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NR;  
User Equipment (UE) radio transmission and reception;  
Part 5: Satellite access Radio Frequency (RF) and  
performance requirements  
(3GPP TS 38.101-5 version 18.5.0 Release 18)**



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# Contents

Intellectual Property Rights .....	2
Legal Notice .....	2
Modal verbs terminology.....	2
Foreword.....	8
1 Scope .....	10
2 References .....	10
3 Definitions of terms, symbols and abbreviations .....	11
3.1 Terms.....	11
3.2 Symbols.....	11
3.3 Abbreviations .....	12
4 General .....	13
4.1 Relationship between minimum requirements and test requirements .....	13
4.2 Applicability of minimum requirements .....	13
4.3 Specification suffix information.....	14
4.4 Relationship with other core specifications.....	14
5 Operating bands and channel arrangement.....	14
5.1 General .....	14
5.2 Operating bands.....	14
5.2.1 General.....	14
5.2.2 Operating bands with conducted requirements .....	14
5.2.3 Operating bands with radiated requirements .....	15
5.3 UE channel bandwidth .....	15
5.3.1 General.....	15
5.3.2 Maximum transmission bandwidth configuration .....	16
5.3.3 Minimum guardband and transmission bandwidth configuration.....	16
5.3.4 RB alignment .....	17
5.3.5 UE channel bandwidth per operating band .....	17
5.3.6 Asymmetric channel bandwidths .....	18
5.4 Channel arrangement.....	19
5.4.1 Channel spacing.....	19
5.4.1.1 Channel spacing for adjacent NTN satellite carriers .....	19
5.4.2 Channel raster .....	19
5.4.2.1 NR-ARFCN and channel raster.....	19
5.4.2.2 Channel raster to resource element mapping.....	19
5.4.2.3 Channel raster entries for each operating band .....	20
5.4.3 Synchronization raster .....	20
5.4.3.1 Synchronization raster and numbering.....	20
5.4.3.2 Synchronization raster to synchronization block resource element mapping.....	21
5.4.3.3 Synchronization raster entries for each operating band.....	21
5.4.4 TX–RX frequency separation .....	22
6 Conducted transmitter characteristics .....	22
6.1 General .....	22
6.2 Transmitter power .....	22
6.2.1 UE maximum output power.....	22
6.2.2 UE maximum output power reduction.....	23
6.2.3 UE additional maximum output power reduction .....	23
6.2.3.1 General .....	23
6.2.3.2 A-MPR for NS_03N .....	24
6.2.3.3 A-MPR for NS_04N .....	24
6.2.3.4 A-MPR for NS_05N .....	25
6.2.4 Configured transmitted power .....	25
6.3 Output power dynamics.....	25

6.3.1	Minimum output power .....	25
6.3.2	Transmit OFF power.....	26
6.3.3	Transmit ON/OFF time mask .....	26
6.3.4	Power control.....	26
6.4	Transmit signal quality .....	26
6.4.1	Frequency error.....	26
6.4.2	Transmit modulation quality.....	27
6.4.2.1	General .....	27
6.4.2.2	Phase continuity requirements for DMRS bundling .....	27
6.5	Output RF spectrum emissions.....	27
6.5.1	Occupied bandwidth .....	27
6.5.2	Out of band emission .....	27
6.5.2.1	General .....	27
6.5.2.2	Spectrum emission mask.....	28
6.5.2.3	Additional spectrum emission mask .....	28
6.5.2.3.1	Requirements for network signalling value "NS_04N" .....	28
6.5.2.3.2	Requirements for network signalling value "NS_05N" .....	28
6.5.2.4	Adjacent channel leakage ratio .....	29
6.5.2.4.1	NR ACLR.....	29
6.5.2.4.2	UTRA ACLR .....	29
6.5.3	Spurious emission .....	30
6.5.3.1	General spurious emissions.....	30
6.5.3.2	Spurious emissions for UE co-existence .....	30
6.5.3.3	Additional spurious emissions .....	31
6.5.3.3.1	General .....	31
6.5.3.3.2	Requirement for network signalling value "NS_02N" .....	32
6.5.3.3.3	Requirement for network signalling value "NS_03N" .....	32
6.5.3.3.4	Requirement for network signalling value "NS_04N" and "NS_05N".....	32
6.5.4	Transmit intermodulation .....	33
7	Conducted receiver characteristics .....	33
7.1	General .....	33
7.2	Diversity characteristics .....	34
7.3	Reference sensitivity .....	34
7.3.1	General.....	34
7.3.2	Reference sensitivity power level .....	34
7.4	Maximum input level .....	35
7.5	Adjacent channel selectivity.....	35
7.6	Blocking characteristics .....	36
7.6.1	General.....	36
7.6.2	In-band blocking.....	37
7.6.3	Out-of-band blocking.....	37
7.6.4	Narrow band blocking .....	38
7.7	Spurious response.....	39
7.8	Intermodulation characteristics .....	39
7.9	Spurious emissions .....	40
8	Conducted performance requirements.....	40
8.1	General .....	40
8.1.1	Relationship between minimum requirements and test requirements.....	40
8.1.2	Applicability of minimum requirements.....	40
8.1.3	Conducted requirements .....	40
8.1.3.1	Introduction.....	40
8.1.3.2	Reference point .....	41
8.1.3.3	SNR definition .....	41
8.1.3.4	Noc.....	41
8.1.3.4.1	Introduction .....	41
8.1.3.4.2	Noc for NR operating bands in FR1 .....	41
8.2	Demodulation performance requirements .....	42
8.2.1	General.....	42
8.2.1.1	Applicability of requirements.....	42
8.2.1.1.1	General .....	42

8.2.1.1.2	Applicability of requirements for optional UE features .....	42
8.2.1.2	PDSCH demodulation requirements .....	42
8.2.1.2.1	1RX requirements .....	45
8.2.1.2.2	2RX requirements .....	45
8.2.1.2.2.1.1	Minimum requirements for PDSCH Mapping Type A .....	45
8.3	CSI reporting requirements .....	47
9	Radiated transmitter characteristics .....	47
9.1	General .....	47
9.2	Transmitter power .....	47
9.2.1	UE maximum output power .....	47
9.2.1.0	General .....	47
9.2.1.1	Minimum requirements for Fixed VSAT .....	47
9.2.1.2	Minimum requirements for Mobile VSAT .....	48
9.2.2	Off-axis EIRP limit .....	48
9.2.2.1	General .....	48
9.2.2.2	Minimum requirement for bands n510 and n511 .....	48
9.2.2.3	Minimum requirement for band n512 .....	49
9.2.2.3.1	Fixed VSAT .....	49
9.2.2.3.2	Mobile VSAT .....	49
9.2.2.3.3	Additional Off-axis EIRP density requirements for protection of fixed services .....	50
9.2.3	Configured transmitted power .....	50
9.3	Output power dynamics .....	51
9.3.1	Minimum output power .....	51
9.3.1.0	General .....	51
9.3.1.1	Minimum output power for Mobile VSAT .....	51
9.3.1.2	Minimum output power for Fixed VSAT .....	51
9.3.2	Transmit OFF power .....	52
9.3.2.1	General .....	52
9.3.2.2	Minimum output power for Mobile VSAT .....	52
9.3.2.3	Minimum output power for Fixed VSAT .....	52
9.3.3	Transmit ON/OFF time mask .....	52
9.3.3.1	General .....	52
9.3.3.2	General ON/OFF time mask .....	53
9.3.3.3	Transmit power time mask for slot and short or long subslot boundaries .....	53
9.3.3.4	PRACH time mask .....	53
9.3.3.5	Void .....	54
9.3.3.6	SRS time mask .....	54
9.3.3.7	PUSCH-PUCCH and PUSCH-SRS time masks .....	56
9.3.3.8	Transmit power time mask for consecutive slot or long subslot transmission and short subslot transmission boundaries .....	56
9.3.3.9	Transmit power time mask for consecutive short subslot transmissions boundaries .....	56
9.3.4	Power control .....	57
9.3.4.1	General .....	57
9.3.4.2	Absolute power tolerance .....	57
9.3.4.3	Relative power tolerance .....	58
9.3.4.4	Aggregate power tolerance .....	59
9.4	Transmitter signal quality .....	59
9.4.1	Frequency Error .....	59
9.4.2	Transmit modulation quality .....	59
9.5	Output RF spectrum emissions .....	59
9.5.1	Occupied bandwidth .....	59
9.5.2	Out of Band Emissions .....	60
9.5.2.1	General .....	60
9.5.2.2	Spectrum emission mask .....	60
9.5.2.2.1	General NR spectrum emission mask .....	60
9.5.2.2.2	Additional spectrum emission mask .....	60
9.5.2.3	Adjacent channel leakage ratio .....	61
9.5.3	Spurious Emissions .....	61
9.5.3.1	General .....	61
9.5.3.2	On-axis spurious requirement .....	62
9.5.3.2.1	Applicability .....	62

9.5.3.2.2	“Emissions disabled” and “Carrier-off” states.....	62
9.5.3.2.3	“Carrier-on” state.....	62
9.5.3.3	Off-axis spurious requirement.....	63
9.5.3.3.1	Applicability.....	63
9.5.3.3.2	General.....	63
9.5.3.3.3	“Emissions disabled” state.....	63
9.5.3.3.4	“Carrier-on” and “Carrier-off” states.....	63
9.6	Antenna point accuracy.....	64
9.6.1	Minimum requirement for Mobile VSAT.....	64
9.6.2	Minimum requirement for Fixed VSAT.....	64
10	Radiated receiver characteristics.....	65
10.1	General.....	65
10.2	Polarization characteristics.....	65
10.3	OTA reference sensitivity level.....	65
10.3.1	General.....	65
10.3.2	Minimum requirement for mobile VSAT.....	65
10.3.3	Minimum requirement for fixed VSAT.....	66
10.4	Maximum input level.....	66
10.4.1	General.....	66
10.4.2	Minimum requirement for Mobile VSAT.....	66
10.4.3	Minimum requirement for Fixed VSAT.....	67
10.5	Adjacent channel selectivity.....	67
10.5.1	Minimum requirement for Mobile VSAT.....	67
10.5.2	Minimum requirement for Fixed VSAT.....	67
10.6	Blocking characteristics.....	67
10.6.1	General.....	67
10.6.2	Minimum requirement for Mobile VSAT.....	68
10.6.3	Minimum requirement for Fixed VSAT.....	68
10.7	Spurious emissions.....	69
<b>Annex A (normative): Measurement channels.....</b>		<b>70</b>
A.1	General.....	70
A.1.1	Throughput definition.....	70
A.2	UL reference measurement channels.....	70
A.2.1	General.....	70
A.2.2	Reference measurement channels for FDD.....	71
A.2.2.1	DFT-s-OFDM Pi/2-BPSK.....	71
A.2.2.2	DFT-s-OFDM QPSK.....	72
A.2.2.3	DFT-s-OFDM 16QAM.....	73
A.2.2.4	DFT-s-OFDM 64QAM.....	74
A.2.2.5	DFT-s-OFDM 256QAM.....	75
A.2.2.6	CP-OFDM QPSK.....	76
A.2.2.7	CP-OFDM 16QAM.....	77
A.2.2.8	CP-OFDM 64QAM.....	78
A.2.2.9	CP-OFDM 256QAM.....	79
A.3	DL reference measurement channels.....	79
A.3.1	General.....	79
A.3.2	Reference measurement channels for PDSCH performance requirements.....	80
A.3.2.1	FDD.....	80
A.3.2.1.1	Reference measurement channels for SCS 15 kHz FR1.....	80
A.3.2.1.2	Reference measurement channels for SCS 60 kHz FR2-NTN.....	82
A.3.2.1.3	Reference measurement channels for SCS 120 kHz FR2-NTN.....	83
A.4	Testing related to Satellite Access.....	85
A.4.1	General.....	85
A.4.2	Test condition for transmitter characteristics.....	86
A.4.3	Test condition for receiver characteristics.....	86
A.4.4	Test condition for performance requirements.....	86
<b>Annex B (normative): Propagation conditions.....</b>		<b>87</b>

B.1	Static propagation condition.....	87
B.1.1	UE Receiver with 1Rx.....	87
B.1.2	UE Receiver with 2Rx.....	87
B.2.1	Delay profiles .....	87
B.2.2	Combinations of channel model parameters .....	88
B.2.3	MIMO Channel Correlation Matrices .....	88
B.2.3.1	MIMO Correlation Matrices using Uniform Linear Array (ULA) .....	88
B.2.3.1.1	Definition of MIMO Correlation Matrices.....	88
B.2.3.1.2	MIMO Correlation Matrices at High, Medium and Low Level .....	89
<b>Annex C (normative): Downlink physical channels.....</b>		<b>90</b>
C.1	General .....	90
C.2	Setup (Conducted).....	90
C.3	Connection (Conducted).....	90
C.3.1	Measurement of Performance requirements.....	90
<b>Annex D (informative): Void .....</b>		<b>92</b>
<b>Annex E (normative): Environmental conditions .....</b>		<b>93</b>
E.1	General .....	93
E.2	Environmental (Conducted) .....	93
E.2.1	Temperature .....	93
E.2.2	Voltage .....	93
E.2.3	Vibration.....	94
<b>Annex F (informative): Antenna modelling for NTN VSAT.....</b>		<b>95</b>
<b>Annex G (informative): Change history .....</b>		<b>96</b>
History .....		98



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In the present document, modal verbs have the following meanings:

- shall** indicates a mandatory requirement to do something
- shall not** indicates an interdiction (prohibition) to do something

The constructions "shall" and "shall not" are confined to the context of normative provisions, and do not appear in Technical Reports.

The constructions "must" and "must not" are not used as substitutes for "shall" and "shall not". Their use is avoided insofar as possible, and they are not used in a normative context except in a direct citation from an external, referenced, non-3GPP document, or so as to maintain continuity of style when extending or modifying the provisions of such a referenced document.

- should** indicates a recommendation to do something
- should not** indicates a recommendation not to do something
- may** indicates permission to do something
- need not** indicates permission not to do something

The construction "may not" is ambiguous and is not used in normative elements. The unambiguous constructions "might not" or "shall not" are used instead, depending upon the meaning intended.

- can** indicates that something is possible
- cannot** indicates that something is impossible

The constructions "can" and "cannot" are not substitutes for "may" and "need not".

- will** indicates that something is certain or expected to happen as a result of action taken by an agency the behaviour of which is outside the scope of the present document
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- might** indicates a likelihood that something will happen as a result of action taken by some agency the behaviour of which is outside the scope of the present document

**might not** indicates a likelihood that something will not happen as a result of action taken by some agency the behaviour of which is outside the scope of the present document

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**is** (or any other verb in the indicative mood) indicates a statement of fact

**is not** (or any other negative verb in the indicative mood) indicates a statement of fact

The constructions "is" and "is not" do not indicate requirements.

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# 1 Scope

The present document establishes the minimum RF and performance requirements for NR User Equipment (UE) supporting satellite access operation.

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# 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

- [1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".
- [2] 3GPP TS 38.521-5: "NR; User Equipment (UE) conformance specification; Radio transmission and reception; Part 5: Satellite access Radio Frequency (RF) and performance requirements".
- [3] Recommendation ITU-R M.1545: "Measurement uncertainty as it applies to test limits for the terrestrial component of International Mobile Telecommunications-2000".
- [4] 3GPP TS 38.108: "NR; Satellite Node radio transmission and reception"
- [5] 3GPP TS 38.101-1: "NR; User Equipment (UE) radio transmission and reception; Part 1: Range 1 Standalone".
- [6] 3GPP TS 38.101-4: "NR; User Equipment (UE) radio transmission and reception; Part 4: Performance requirements".
- [7] 3GPP TS 38.213: "NR; Physical layer procedures for control"
- [8] 3GPP TS 38.331: "Radio Resource Control (RRC) protocol specification".
- [9] 3GPP TS 38.300: "NR; NR and NG-RAN Overall description; Stage-2".
- [10] 3GPP TS 36.101: "Evolved Universal Terrestrial Radio Access (E-UTRA); User Equipment (UE) radio transmission and reception".
- [11] 3GPP TS 38.306: "User Equipment (UE) radio access capabilities".
- [12] 3GPP TR 38.811: "Study on New Radio (NR) to support non-terrestrial networks".
- [13] 3GPP TS 38.508-1: "5GS; User Equipment (UE) conformance specification; Part 1: Common test environment".
- [14] 3GPP TS 38.214: "NR; Physical layer procedures for data".
- [15] 3GPP TS 38.101-2: "NR; User Equipment (UE) radio transmission and reception; Part 2: Range 2 Standalone".
- [16] ITU-R Recommendation SM.329-12, "Unwanted emissions in the spurious domain".
- [17] EN 303 979, Satellite Earth Stations and Systems (SES); Harmonised Standard for Earth Stations on Mobile Platforms (ESOMP) transmitting towards satellites in non-geostationary orbit, operating in the 27,5 GHz to 29,1 GHz and 29,5 GHz to 30,0 GHz frequency bands covering the essential requirements of article 3.2 of the Directive 2014/53/EU, v2.1.2, 2016-10.

## 3 Definitions of terms, symbols and abbreviations

### 3.1 Terms

For the purposes of the present document, the terms given in 3GPP TR 21.905 [1] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in 3GPP TR 21.905 [1].

**Co-polarized transmission:** when the DUT transmission antenna polarization is aligned with test antenna polarization.

**Cross-polarized transmission:** when the DUT transmission antenna polarization is aligned with the tangent of the test antenna polarization.

**Emissions disables state:** Radio state in which the ESOMP is not emitting (e.g. before system monitoring pass, before the control channel is received, when a failure is detected, when an ESOMP is commanded to disable, and when the ESOMP is in a location requiring cessation of emissions).

**Enhanced channel raster:** channel raster with a 10 kHz granularity in bands with a 100 kHz channel raster.

**Geostationary satellite:** A geosynchronous satellite whose circular and direct orbit lies in the plane of the Earth's equator and which thus remains fixed relative to the Earth; by extension, a geosynchronous satellite which remains approximately fixed relative to the Earth.

**Geostationary-Satellite Orbit:** The orbit of a geosynchronous satellite whose circular and direct orbit lies in the plane of the Earth's equator.

**Geosynchronous Earth Orbit:** Earth-centered orbit at approximately 35786 kilometres above Earth's surface and synchronised with Earth's rotation. A geostationary orbit is a non-inclined geosynchronous orbit, i.e. in the Earth's equator plane.

**Geosynchronous satellite:** An earth satellite whose period of revolution is equal to the period of rotation of the Earth about its axis.

**Low Earth Orbit:** Orbit around the Earth with an altitude between 300 km, and 1500 km.

**Non-terrestrial networks:** Networks, or segments of networks, using an airborne or space-borne vehicle to embark a transmission equipment relay node or base station.

**Plane perpendicular to the GSO arc:** The plane that is perpendicular to the "plane tangent to the GSO arc," as defined below, and includes a line between the [earth station](#) in question and the GSO [space station](#) that it is communicating with (FCC 47 CFR 25.103).

**Plane tangent to the GSO arc:** The plane defined by the location of an [earth station](#)'s transmitting antenna and a line in the equatorial plane that is tangent to the GSO arc at the location of the GSO [space station](#) that the [earth station](#) is communicating with (FCC 47 CFR 25.103).

**Satellite:** A space-borne vehicle embarking a bent pipe payload or a regenerative payload telecommunication transmitter, placed into Low-Earth Orbit (LEO), Medium-Earth Orbit (MEO), or Geostationary Earth Orbit (GEO).

**Satellite Access Node:** see definition in TS 38.108[4].

**UE transmission bandwidth configuration:** Set of resource blocks located within the UE channel bandwidth which may be used for transmitting or receiving by the UE.

### 3.2 Symbols

For the purposes of the present document, the following symbols apply:

$\Delta F_{\text{Global}}$	Granularity of the global frequency raster
$\Delta F_{\text{Raster}}$	Band dependent channel raster granularity

$BW_{\text{Channel}}$	Channel bandwidth
$BW_{\text{interferer}}$	Bandwidth of the interferer
$F_{\text{DL\_low}}$	The lowest frequency of the downlink <i>operating band</i>
$F_{\text{DL\_high}}$	The highest frequency of the downlink <i>operating band</i>
$F_{\text{UL\_low}}$	The lowest frequency of the uplink <i>operating band</i>
$F_{\text{UL\_high}}$	The highest frequency of the uplink <i>operating band</i>
$F_{\text{Interferer}}$	Frequency of the interferer
$F_{\text{Interferer}}(\text{offset})$	Frequency offset of the interferer (between the center frequency of the interferer and the carrier frequency of the carrier measured)
$F_{\text{Ioffset}}$	Frequency offset of the interferer (between the center frequency of the interferer and the closest edge of the carrier measured)
$F_{\text{OOB}}$	The boundary between the NR out of band emission and spurious emission domains
$F_{\text{REF}}$	RF reference frequency
$F_{\text{REF-Offs}}$	Offset used for calculating $F_{\text{REF}}$
$F_{\text{uw}}(\text{offset})$	The frequency separation of the center frequency of the carrier closest to the interferer and the center frequency of the interferer
$N_{\text{RB}}$	Transmission bandwidth configuration, expressed in units of resource blocks
$N_{\text{REF}}$	NR Absolute Radio Frequency Channel Number (NR-ARFCN)
$N_{\text{REF-Offs}}$	Offset used for calculating $N_{\text{REF}}$
$P_{\text{Interferer}}$	Modulated mean power of the interferer
$P_{\text{UEType}}$	Minimum UE type peak EIRP (i.e. no tolerance) as specified in sub-clause 9.2.1
$P_{\text{uw}}$	Power of an unwanted DL signal
$\theta$	Angle in degrees from a line from the <a href="#">earth station</a> antenna to the assigned orbital location of the target satellite

### 3.3 Abbreviations

For the purposes of the present document, the abbreviations given in 3GPP TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in 3GPP TR 21.905 [1].

ACLR	Adjacent Channel Leakage Ratio
ACS	Adjacent Channel Selectivity
A-MPR	Additional Maximum Power Reduction
BW	Bandwidth
BWP	Bandwidth Part
CP-OFDM	Cyclic Prefix-OFDM
CW	Continuous Wave
DFT-s-OFDM	Discrete Fourier Transform-spread-OFDM
DM-RS	Demodulation Reference Signal
DTX	Discontinuous Transmission
EIRP	Equivalent Isotropically Radiated Power
EVM	Error Vector Magnitude
FR	Frequency Range
FRC	Fixed Reference Channel
GEO	Geosynchronous Earth Orbit
GSCN	Global Synchronization Channel Number
GSO	Geostationary-Satellite Orbit
IBB	In-band Blocking
ITU-R	Radiocommunication Sector of the International Telecommunication Union
LEO	Low Earth Orbiting
MBW	Measurement bandwidth defined for the protected band
MEO	Medium Earth Orbiting
MOP	Maximum Output Power
MPR	Allowed maximum power reduction
MSD	Maximum Sensitivity Degradation
NGEO	Non-Geostationary Earth Orbiting
NGSO	Non-Geostationary-Satellite Orbit
NR	New Radio
NR-ARFCN	NR Absolute Radio Frequency Channel Number
NS	Network Signalling

NTN	Non-Terrestrial Network
OCNG	OFDMA Channel Noise Generator
OOB	Out-of-band
PRB	Physical Resource Block
QAM	Quadrature Amplitude Modulation
RAN	Radio Access Network
RE	Resource Element
REFSENS	REFerence SENSitivity
RF	Radio Frequency
RMS	Root Mean Square (value)
RSRP	Reference Signal Receive Power
RSRQ	Reference Signal Receive Quality
RX	Receiver
SAN	Satellite Access Node
SC	Single Carrier
SCS	Subcarrier spacing
SEM	Spectrum Emission Mask
SNR	Signal-to-Noise Ratio
SRS	Sounding Reference Symbol
SS	Synchronization Symbol
TN	Terrestrial Network
TX	Transmitter
TxD	Tx Diversity
UE	User Equipment

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## 4 General

### 4.1 Relationship between minimum requirements and test requirements

The present document is a Single-RAT specification for satellite NR UE, covering RF characteristics and minimum performance requirements. Conformance to the present specification is demonstrated by fulfilling the test requirements specified in the conformance specification 3GPP TS 38.521-5 [2].

The Minimum Requirements given in this specification make no allowance for measurement uncertainty. The test specification 3GPP TS 38.521-5 [2] defines test tolerances. These test tolerances are individually calculated for each test. The test tolerances are used to relax the minimum requirements in this specification to create test requirements. For some requirements, including regulatory requirements, the test tolerance is set to zero.

The measurement results returned by the test system are compared - without any modification - against the test requirements as defined by the shared risk principle.

The shared risk principle is defined in Recommendation ITU-R M.1545 [3].

### 4.2 Applicability of minimum requirements

- a) In this specification the Minimum Requirements are specified as general requirements and additional requirements. Where the Requirement is specified as a general requirement, the requirement is mandated to be met in all scenarios
- b) For specific scenarios for which an additional requirement is specified, in addition to meeting the general requirement, the UE is mandated to meet the additional requirements.
- c) The spurious emissions power requirements are for the long-term average of the power. For the purpose of reducing measurement uncertainty, it is acceptable to average the measured power over a period of time sufficient to reduce the uncertainty due to the statistical nature of the signal.

## 4.3 Specification suffix information

Specification suffix information is not defined for the time being in Release 17.

## 4.4 Relationship with other core specifications

The present document establishes the minimum RF and performance requirements for NR User Equipment (UE) operating in a Non-Terrestrial Network. The present document for the single-RAT specification of a satellite NR UE side is used together with the technical specification 3GPP TS 38.108 [4] specifying the Satellite Access Node (SAN).

# 5 Operating bands and channel arrangement

## 5.1 General

The channel arrangements presented in this clause are based on the operating bands and channel bandwidths defined in the present Release of specifications.

NOTE: Other operating bands and channel bandwidths may be considered in future Releases.

Requirements throughout the RF specifications are in many cases defined separately for different frequency ranges (FR). The frequency ranges in which NTN satellite can operate according to this version of the specification are identified as described in Table 5.1-1.

**Table 5.1-1: Definition of NTN frequency ranges**

Frequency range designation	Corresponding frequency range
FR1-NTN <sup>1</sup>	410 MHz – 7125 MHz
FR2-NTN <sup>2</sup>	17300 MHz – 30000 MHz
NOTE 1: [NTN bands within this frequency range are regarded as a FR1 band when references from other specifications.]	
NOTE 2: [NTN bands within this frequency range are regarded as a FR2 band when references from other specifications.]	

## 5.2 Operating bands

### 5.2.1 General

NTN satellite covers FR1-NTN and FR2-NTN operating bands in the present specification.

### 5.2.2 Operating bands with conducted requirements

NTN satellite is designed to operate in the operating bands defined in Table 5.2.2-1.

**Table 5.2.2-1: NTN satellite bands in FR1-NTN**

NTN satellite operating band	Uplink (UL) operating band Satellite Access Node receive / UE transmit $F_{UL,low} - F_{UL,high}$	Downlink (DL) operating band Satellite Access Node transmit / UE receive $F_{DL,low} - F_{DL,high}$	Duplex mode
n256	1980 MHz – 2010 MHz	2170 MHz – 2200 MHz	FDD
n255	1626.5 MHz – 1660.5 MHz	1525 MHz – 1559 MHz	FDD
n254	1610 – 1626.5 MHz	2483.5 – 2500 MHz	FDD
NOTE: NTN satellite bands are numbered in descending order from n256.			

### 5.2.3 Operating bands with radiated requirements

NTN satellite is designed to operate in the operating bands defined in Table 5.2.3-1.

**Table 5.2.3-1: Satellite operating bands in FR2-NTN**

Satellite operating band	Uplink (UL) operating band SAN receive / UE transmit $F_{UL,low} - F_{UL,high}$	Downlink (DL) operating band SAN transmit / UE receive $F_{DL,low} - F_{DL,high}$	Duplex mode
n512 <sup>1</sup>	27500 MHz - 30000 MHz	17300 MHz - 20200 MHz	FDD
n511 <sup>2</sup>	28350 MHz - 30000 MHz	17300 MHz - 20200 MHz	FDD
n510 <sup>3</sup>	27500 MHz - 28350 MHz	17300 MHz - 20200 MHz	FDD

NOTE 1: This band is applicable in the countries subject to CEPT ECC Decision(05)01 and ECC Decision (13)01.  
 NOTE 2: This band is applicable in the USA subject to FCC 47 CFR part 25.  
 NOTE 3: This band is applicable for Earth Station operations in the USA subject to FCC 47 CFR part 25. FCC rules currently do not include ESIM operations in this band (47 CFR 25.202).

## 5.3 UE channel bandwidth

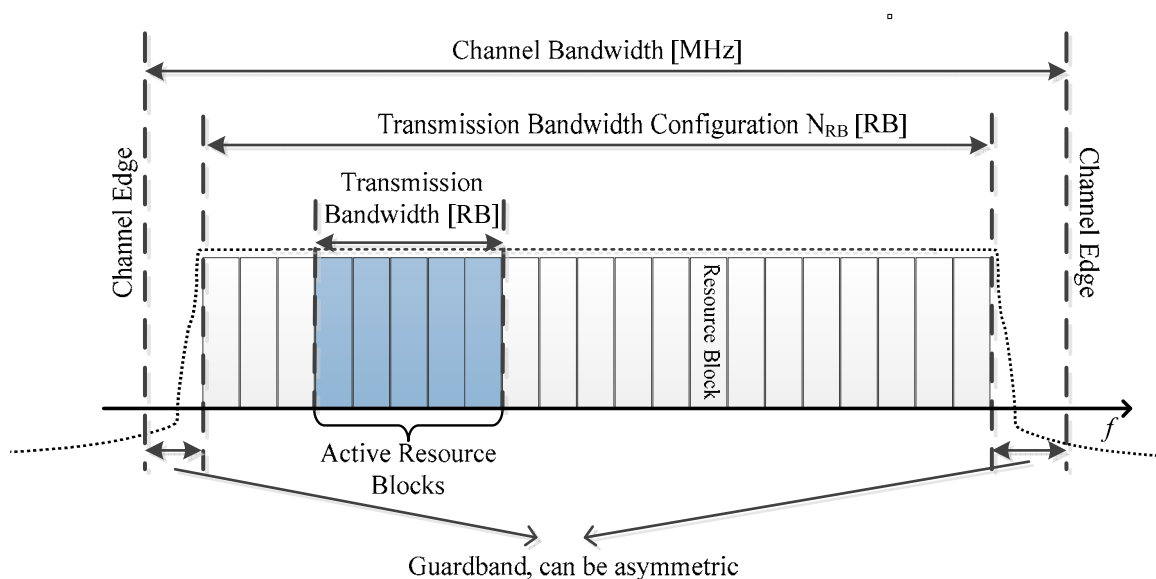
### 5.3.1 General

The UE channel bandwidth supports a single RF carrier in the uplink or downlink at the UE. From a SAN perspective, different UE channel bandwidths may be supported within the same spectrum for transmitting to and receiving from UEs connected to the SAN.

From a UE perspective, the UE is configured with one or more BWP / carriers, each with its own UE channel bandwidth. The UE does not need to be aware of the SAN channel bandwidth or how the SAN allocates bandwidth to different UEs.

The placement of the UE channel bandwidth for each UE carrier is flexible but can only be completely within the SAN channel bandwidth.

The relationship between the channel bandwidth, the guardband and the maximum transmission bandwidth configuration is shown in Figure 5.3.1-1.



**Figure 5.3.1-1: Definition of the channel bandwidth and the maximum transmission bandwidth configuration for one channel**



### 5.3.2 Maximum transmission bandwidth configuration

The maximum transmission bandwidth configuration  $N_{RB}$  for each UE channel bandwidth and subcarrier spacing is specified in Table 5.3.2-1 for FR1-NTN and table 5.3.2-2 for FR2-NTN.

**Table 5.3.2-1: Maximum transmission bandwidth configuration  $N_{RB}$  for FR1-NTN**

SCS (kHz)	5 MHz	10 MHz	15 MHz	20 MHz	30 MHz
	$N_{RB}$	$N_{RB}$	$N_{RB}$	$N_{RB}$	$N_{RB}$
15	25	52	79	106	160
30	11	24	38	51	78
60	N/A	11	18	24	38

**Table 5.3.2-2: Maximum transmission bandwidth configuration  $N_{RB}$  for FR2-NTN**

SCS (kHz)	50 MHz	100 MHz	200 MHz	400 MHz
	$N_{RB}$	$N_{RB}$	$N_{RB}$	$N_{RB}$
60	66	132	264	N/A
120	32	66	132	264

### 5.3.3 Minimum guardband and transmission bandwidth configuration

The minimum guardband for each UE channel bandwidth and SCS is specified in Table 5.3.3-1 for FR1-NTN and in table 5.3.3-2 for FR2-NTN.

**Table 5.3.3-1: Minimum guardband for each UE channel bandwidth and SCS (kHz) for FR1-NTN**

SCS (kHz)	5 MHz	10 MHz	15 MHz	20 MHz	30 MHz
15	242.5	312.5	382.5	452.5	592.5
30	505	665	645	805	945
60	N/A	1010	990	1330	1290

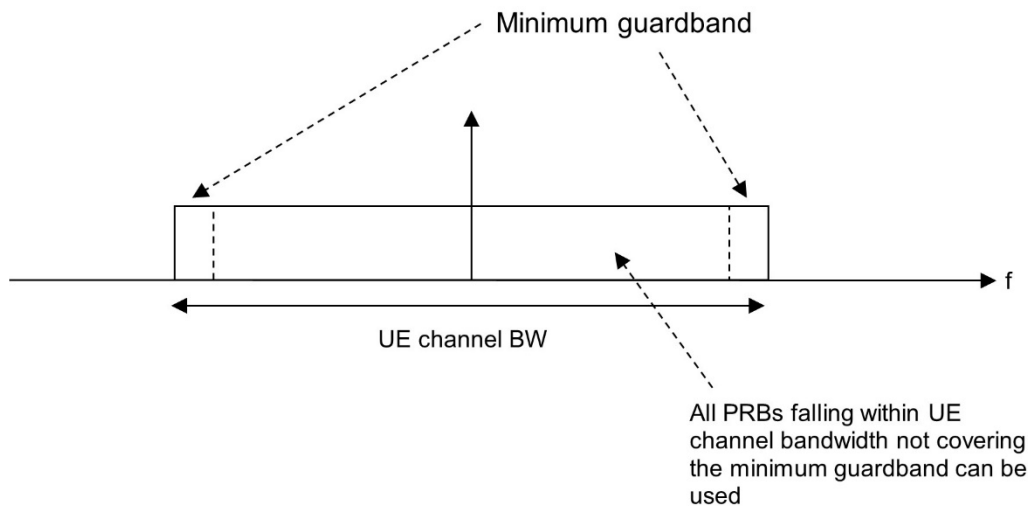
**Table 5.3.3-2: Minimum guardband for each UE channel bandwidth and SCS (kHz) for FR2-NTN**

SCS (kHz)	50 MHz	100 MHz	200 MHz	400 MHz
60	1210	2450	4930	N/A
120	1900	2420	4900	9860

NOTE: The minimum guardbands have been calculated using the following equation:  $(BW_{\text{Channel}} \times 1000 \text{ (kHz)} - N_{RB} \times SCS \times 12) / 2 - SCS/2$ , where  $N_{RB}$  are from Table 5.3.2-1 and Table 5.3.2-2.

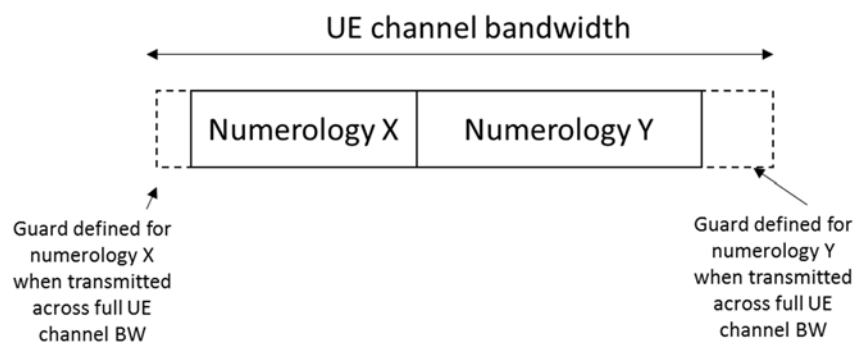
#### Figure 5.3.3-1: Void

The number of RBs configured in any channel bandwidth shall ensure that the minimum guardband specified in this clause is met.



**Figure 5.3.3-2: UE PRB utilization**

In the case that multiple numerologies are multiplexed in the same symbol, the minimum guard band on each side of the carrier is the guard band applied at the configured UE channel bandwidth for the numerology that is transmitted/received immediately adjacent to the guard band.



**Figure 5.3.3-3: Guard band definition when transmitting multiple numerologies**

NOTE: Figure 5.3.3-2 is not intended to imply the size of any guard between the two numerologies. Inter-numerology guard band within the carrier is implementation dependent.

### 5.3.4 RB alignment

The RB alignment for FR1-NTN refers to NR RB alignments as specified in 3GPP TS 38.101-1 [5] clause 5.3.4.

The RB alignment for FR2-NTN refers to NR RB alignments as specified in 3GPP TS 38.101-2 [15] clause 5.3.4.

### 5.3.5 UE channel bandwidth per operating band

The requirements in this specification apply to the combination of channel bandwidths, SCS and operating bands shown in Table 5.3.5-1 for FR1-NTN and table 5.3.5-2 for FR2-NTN. The transmission bandwidth configuration in Table 5.3.2-1 and Table 5.3.2-2 shall be supported for each of the specified channel bandwidths. The channel bandwidths are specified for both the Tx and Rx path.

**Table 5.3.5-1: Channel bandwidths for each NTN satellite band in FR1-NTN**

NTN satellite band	SCS kHz	UE Channel bandwidth (MHz)				
		5	10	15	20	30 (NOTE)
n256	15	5	10	15	20	
	30		10	15	20	
	60		10	15	20	
n255	15	5	10	15	20	
	30		10	15	20	
	60		10	15	20	
n254	15	5	10	15		
	30		10	15		
	60		10	15		

NOTE: Deployment of 30 MHz channel bandwidth for NTN SAN needs to be preceded by introduction of all applicable Tx RF, Rx RF, and demodulation requirements.

**Table 5.3.5-2: Channel bandwidths for each NTN satellite band in FR2-NTN**

SAN Operating Band	SCS (kHz)	SAN channel bandwidth (MHz)			
		50	100	200	400
n512	60	50	100	200	
	120	50	100	200	400
n511	60	50	100	200	
	120	50	100	200	400
n510	60	50	100	200	
	120	50	100	200	400

### 5.3.6 Asymmetric channel bandwidths

The UE channel bandwidth can be asymmetric in downlink and uplink. In asymmetric channel bandwidth operation, the narrower carrier shall be confined within the frequency range of the wider channel bandwidth.

In FDD, the confinement is defined as a maximum deviation to the Tx-Rx carrier center frequency separation (defined in table 5.4.4-1) as following:

$$\Delta F_{\text{TX-RX}} = |(BW_{\text{DL}} - BW_{\text{UL}})/2|$$

The operating bands and supported asymmetric channel bandwidth combinations are defined in table 5.3.6-1.

**Table 5.3.6-1: FDD asymmetric UL and DL channel bandwidth combinations**

NR Band	Channel bandwidths for UL (MHz)	Channel bandwidths for DL (MHz)	Asymmetric channel bandwidth combination set
n254	5	10,15	0
	10	15	0

NOTE 1: The assignment of the paired UL and DL channels are subject to a TX-RX separation as specified in clause 5.4.4.  
NOTE 2: As indicated in TS38.306 [11], it is mandatory for UEs to support asymmetric channel BCS0 if there is an asymmetric BCS0 defined for the band.

## 5.4 Channel arrangement

### 5.4.1 Channel spacing

#### 5.4.1.1 Channel spacing for adjacent NTN satellite carriers

The channel spacing for adjacent NTN satellite carriers in FR1-NTN refers to the NR channel spacing as specified in 3GPP TS 38.101-1 [5] clause 5.4.1.1.

The channel spacing for adjacent NTN satellite carriers in FR2-NTN refers to the NR channel spacing as specified in 3GPP TS 38.101-2 [15] clause 5.4.1.1.

### 5.4.2 Channel raster

#### 5.4.2.1 NR-ARFCN and channel raster

The global frequency channel raster defines a set of RF reference frequencies  $F_{\text{REF}}$ . The RF reference frequency is used in signalling to identify the position of RF channels, SS blocks and other elements.

The global frequency raster is defined for all frequencies from 0 to 100 GHz. The granularity of the global frequency raster is  $\Delta F_{\text{Global}}$ .

RF reference frequencies are designated by an NR Absolute Radio Frequency Channel Number (NR-ARFCN) in the range (0...2016666) on the global frequency raster. The relation between the NR-ARFCN and the RF reference frequency  $F_{\text{REF}}$  in MHz is given by the following equation, where  $F_{\text{REF-Offs}}$  and  $N_{\text{REF-Offs}}$  are given in Table 5.4.2.1-1 and  $N_{\text{REF}}$  is the NR-ARFCN.

$$F_{\text{REF}} = F_{\text{REF-Offs}} + \Delta F_{\text{Global}} (N_{\text{REF}} - N_{\text{REF-Offs}})$$

**Table 5.4.2.1-1: NR-ARFCN parameters for the global frequency raster**

Frequency range (MHz)	$\Delta F_{\text{Global}}$ (kHz)	$F_{\text{REF-Offs}}$ (MHz)	$N_{\text{REF-Offs}}$	Range of $N_{\text{REF}}$
0 – 3000	5	0	0	0 – 599999
3000 – 24250	15	3000	600000	600000 – 2016666
24250 – 30000	60	24250.08	2016667	2016667 – 2112499

The channel raster defines a subset of RF reference frequencies that can be used to identify the RF channel position in the uplink and downlink. The RF reference frequency for an RF channel maps to a resource element on the carrier. For each operating band, a subset of frequencies from the global frequency raster are applicable for that band and forms a channel raster with a granularity  $\Delta F_{\text{Raster}}$ , which may be equal to or larger than  $\Delta F_{\text{Global}}$ .

For the uplink of FDD FR1 NTN bands n256, n255, n254 defined in Table 5.2-1.

$$F_{\text{REF, shift}} = F_{\text{REF}} + \Delta_{\text{shift}}, \Delta_{\text{shift}} = 0 \text{ kHz or } 7.5 \text{ kHz.}$$

where  $\Delta_{\text{shift}}$  is signalled by the network in higher layer parameter *frequencyShift7p5khz* [7].

The mapping between the channel raster and corresponding resource element is given in clause 5.4.2.2. The applicable entries for each operating band are defined in clause 5.4.2.3.

#### 5.4.2.2 Channel raster to resource element mapping

The mapping between the RF reference frequency on the channel raster and the corresponding resource element for FR1-NTN refers to the NR requirements specified in 3GPP TS 38.101-1 [5] clause 5.4.2.2.

The mapping between the RF reference frequency on the channel raster and the corresponding resource element for FR2-NTN refers to the NR requirements specified in 3GPP TS 38.101-2 [15] clause 5.4.2.2.

### 5.4.2.3 Channel raster entries for each operating band

The RF channel positions on the channel raster in each NTN satellite operating band are given through the applicable NR-ARFCN in Table 5.4.2.3-1 and Table 5.4.2.3-2, using the channel raster to resource element mapping in clause 5.4.2.2.

For NTN satellite operating bands with 100 kHz channel raster,  $\Delta F_{\text{Raster}} = 20 \times \Delta F_{\text{Global}}$ . In this case every 20<sup>th</sup> NR-ARFCN within the operating band are applicable for the channel raster within the operating band and the step size for the channel raster in Table 5.4.2.3-1 is given as <20> for FR1-NTN and Table 5.4.2.3-3 for FR2-NTN.

**Table 5.4.2.3-1: Applicable NR-ARFCN per operating band in FR1-NTN**

NTN satellite operating band	$\Delta F_{\text{Raster}}$ (kHz)	Uplink Range of $N_{\text{REF}}$ (First – <Step size> – Last)	Downlink Range of $N_{\text{REF}}$ (First – <Step size> – Last)
n256	100	396000 – <20> – 402000	434000 – <20> – 440000
n255	100	325300 – <20> – 332100	305000 – <20> – 311800
n254	100	322000 – <20> – 325300	496700 – <20> – 500000
NOTE : The channel numbers that designate carrier frequencies so close to the operating band edges that the carrier extends beyond the operating band edge shall not be used.			

For NTN operating bands with 100 kHz channel raster, Enhanced channel raster is defined with  $\Delta F_{\text{Raster}} = 2 \times \Delta F_{\text{Global}}$ . In this case every 2<sup>th</sup> NR-ARFCN within the operating band are applicable for the channel raster within the operating band and the step size for the channel raster in Table 5.4.2.3-2 is given as <2>.

**Table 5.4.2.3-2: Applicable NR-ARFCN per operating band in FR1-NTN**

NTN satellite operating band	$\Delta F_{\text{Raster}}$ (kHz)	Uplink Range of $N_{\text{REF}}$ (First – <Step size> – Last)	Downlink Range of $N_{\text{REF}}$ (First – <Step size> – Last)
n256	10	396000 – <2> – 402000	434000 – <2> – 440000
n255	10	325300 – <2> – 332100	305000 – <2> – 311800
n254	10	322000 – <2> – 325300	496700 – <2> – 500000
NOTE: The channel numbers that designate carrier frequencies so close to the operating band edges that the carrier extends beyond the operating band edge shall not be used. These channel numbers shall also be such that the minimum guard band for each channel bandwidth and SCS specified in Table 5.3.3-1 are met for carriers located at the upper or lower edge of an operating band.			

**Table 5.4.2.3-3: Applicable NR-ARFCN per operating band in FR2-NTN**

SAN operating band	$\Delta F_{\text{Raster}}$ (kHz)	Uplink range of $N_{\text{REF}}$ (First – <Step size> – Last)	Downlink range of $N_{\text{REF}}$ (First – <Step size> – Last)
n512	60	2070833 – <1> – 2112499	1553336 – <4> – 1746664
	120	2070833 – <2> – 2112499	1553336 – <8> – 1746664
n511	60	2084999 – <1> – 2112499	1553336 – <4> – 1746664
	120	2084999 – <2> – 2112499	1553336 – <8> – 1746664
n510	60	2070833 – <1> – 2084999	1553336 – <4> – 1746664
	120	2070833 – <2> – 2084999	1553336 – <8> – 1746664

## 5.4.3 Synchronization raster

### 5.4.3.1 Synchronization raster and numbering

The synchronization raster indicates the frequency positions of the synchronization block that can be used by the UE for system acquisition when explicit signalling of the synchronization block position is not present.

A global synchronization raster is defined for all frequencies. The frequency position of the SS block is defined as  $SS_{REF}$  with corresponding number GSCN. The parameters defining the  $SS_{REF}$  and GSCN for all the frequency ranges are in Table 5.4.3.1-1.

The resource element corresponding to the SS block reference frequency  $SS_{REF}$  is given in clause 5.4.3.2. The synchronization raster and the subcarrier spacing of the synchronization block is defined separately for each band.

The synchronization raster and the corresponding SS block do not cover all possible RF channel bandwidth and locations on Enhanced channel raster.

**Table 5.4.3.1-1: GSCN parameters for the global frequency raster**

Frequency range	SS Block frequency position $SS_{REF}$	GSCN	Range of GSCN
0 – 3000 MHz	$N * 1200\text{kHz} + M * 50\text{ kHz}$ , $N=1:2499, M \in \{1,3,5\}^1$	$3N + (M-3)/2$	2 – 7498
3000 – 24250	$3000\text{ MHz} + N * 1.44\text{ MHz}$ , $N = 0:14756$	$7499 + N$	7499 – 22255

NOTE: The default value for operating bands with which only support SCS spaced channel raster(s) is  $M=3$ .

### 5.4.3.2 Synchronization raster to synchronization block resource element mapping

The mapping between the synchronization raster and the corresponding resource element of the SS block in FR1-NTN refers to 3GPP TS 38.101-1 [5] clause 5.4.3.2.

The mapping between the synchronization raster and the corresponding resource element of the SS block in FR2-NTN refers to 3GPP TS 38.101-2 [15] clause 5.4.3.2.

### 5.4.3.3 Synchronization raster entries for each operating band

The synchronization raster for each band is give in Table 5.4.3.3-1 and Table 5.4.3.3-2. The distance between applicable GSCN entries is given by the <Step size> indicated in Table 5.4.3.3-1 for FR1-NTN and Table 5.4.3.3-2 for FR2-NTN.

**Table 5.4.3.3-1: Applicable SS raster entries per operating band (FR1-NTN)**

NTN satellite operating band	SS Block SCS	SS Block pattern <sup>1</sup>	Range of GSCN (First – <Step size> – Last)
n256	15 kHz	Case A	5429 – <1> – 5494
n255	15 kHz	Case A	3818 – <1> – 3892
	30 kHz	Case B	3824 – <1> – 3886
n254	15 kHz	Case A	6215 – <1> – 6244
	30 kHz	Case C	6218 – <1> – 6241

NOTE : SS Block pattern is defined in clause 4.1 in 3GPP TS 38.213 [7].

**Table 5.4.3.3-2: Applicable SS raster entries per operating band (FR2-NTN)**

SAN operating band	SS Block SCS	SS Block pattern (NOTE)	Range of GSCN (First – <Step size> – Last)
n512	120 kHz	Case D	17448 – <12> – 19428
	240 kHz	Case E	17472 – <24> – 19416
n511	120 kHz	Case D	17448 – <12> – 19428
	240 kHz	Case E	17472 – <24> – 19416
n510	120 kHz	Case D	17448 – <12> – 19428
	240 kHz	Case E	17472 – <24> – 19416

NOTE: SS Block pattern is defined in section 4.1 in TS 38.213 [7].

## 5.4.4 TX–RX frequency separation

The default TX channel (carrier centre frequency) to RX channel (carrier centre frequency) separation for operating bands is specified in Table 5.4.4-1 for FR1-NTN.

**Table 5.4.4-1: UE TX-RX frequency separation (FR1-NTN)**

NTN Satellite Operating Band	TX – RX carrier centre frequency separation
n256	190 MHz
n255	-101.5 MHz
n254	862 – 885 MHz

# 6 Conducted transmitter characteristics

## 6.1 General

Unless otherwise stated, the transmitter characteristics for satellite access UEs are specified at the antenna connector of the UE with a single or multiple transmit antenna(s). For UE with integral antenna only, a reference antenna with a gain of 0 dBi is assumed. Handheld power class 3 UE is assumed in Release 17 for satellite access.

All requirements in this section are applicable to devices supporting GSO and/or NGSO satellites.

## 6.2 Transmitter power

### 6.2.1 UE maximum output power

The following UE Power Classes define the maximum output power for any transmission bandwidth within the channel bandwidth of NR carrier unless otherwise stated. The period of measurement shall be at least one sub frame (1ms).

**Table 6.2.1-1: UE Power Class**

NR satellite band	Class 3 (dBm)	Tolerance (dB)
n256	23	±2
n255	23	±2
n254	23	±2

NOTE 1:  $P_{\text{PowerClass}}$  is the maximum UE power specified without taking into account the tolerance  
 NOTE 2: Power class 3 is default power class unless otherwise stated

The UE shall meet the following additional requirements for maximum mean transmission power density specified in Table 6.2.1-2 when NS is signaled and when the configured channel overlaps with any portion of the specified frequency range.

**Table 6.2.1-2: Additional requirements for transmit power density**

NR Band	NS value	Channel bandwidth (MHz)	Frequency range (MHz)	Maximum power density
n254	NS_04N	5	1610 - 1618.25	27dBm/4kHz (mean) 15dBm/4kHz (peak limit)
	NS_05N	5	1618.25 - 1626.5	
		10, 15	1610 – 1626.5	

## 6.2.2 UE maximum output power reduction

UE is allowed to reduce the maximum output power due to higher order modulations and transmit bandwidth configurations. For UE power class 3, the allowed maximum power reduction (MPR) is defined as Table 6.2.2-1 in 3GPP TS 38.101-1[5] clause 6.2.2.

## 6.2.3 UE additional maximum output power reduction

### 6.2.3.1 General

Additional emission requirements can be signalled by the network. Each additional emission requirement is associated with a unique network signalling (NS) value indicated in RRC signalling by an NR frequency band number of the applicable operating band and an associated value in the field *additionalSpectrumEmission*. Throughout this specification, the notion of indication or signalling of an NS value refers to the corresponding indication of an NR satellite band number of the applicable operating band, the IE field *freqBandIndicatorNR* and an associated value of *additionalSpectrumEmission* in the relevant RRC information elements [6].

To meet the additional requirements, additional maximum power reduction (A-MPR) is allowed for the maximum output power as specified in Table 6.2.1-1. Unless stated otherwise, the total reduction to UE maximum output power is  $\max(\text{MPR}, \text{A-MPR})$  where MPR is defined in clause 6.2.2. Outer and inner allocation notation used in clause 6.2.3 is defined in 3GPP TS 38.101-1 [5] clause 6.2.2. In absence of modulation and waveform types the A-MPR applies to all modulation and waveform types.

Table 6.2.3.1-1 specifies the additional requirements with their associated network signalling values and the allowed A-MPR and applicable operating band(s) for each NS value. The mapping of NR satellite band numbers and values of the *additionalSpectrumEmission* to network signalling labels is specified in Table 6.2.3.1-1A.

**Table 6.2.3.1-1: Additional maximum power reduction (A-MPR)**

Network signalling label	Requirements (clause)	NR satellite Band	Channel bandwidth (MHz)	Resources blocks ( $N_{RB}$ )	A-MPR (dB)
NS_01		Table 5.2-1	5, 10, 15, 20	Table 5.3.2-1 in 3GPP TS 38.101-1 [5]	N/A
NS_24	6.5.3.3.13 in 3GPP TS 38.101-1 [5]	n256	5, 10, 15, 20	Table 6.2.3.15-1 in 3GPP TS 38.101-1 [5]	Clause 6.2.3.15 in 3GPP TS 38.101-1 [5] <sup>2</sup>
NS_02N	6.5.3.3.2	n255	5, 10, 15, 20		N/A
NS_100	6.5.2.4.2 in 3GPP TS 38.101-1 [5]	n256 <sup>1</sup>			Table 6.2.3.1-2 in 3GPP TS 38.101-1 [5]
NS_03N	6.5.3.3.3	n254	5, 10, 15		Clause 6.2.3.2
NS_04N	6.5.3.3.4	n254	5		Clause 6.2.3.3
NS_05N	6.5.3.3.4	n254	5, 10, 15		Clause 6.2.3.4

NOTE 1: This NS can be signalled for NR bands that have UTRA services deployed.

NOTE 2: A-MPR for the upper 5 MHz of the band is not specified, and therefore shall be used as a guard band.

[The NS\_01 label with the field *additionalPmax* [8] absent is default for all NTN satellite bands.]



**Table 6.2.3.1-1A: Mapping of network signalling label**

NR satellite band	Value of additionalSpectrumEmission							
	0	1	2	3	4	5	6	7
n256	NS_01	NS_24	NS_100					
n255	NS_01	NS_02N						
n254	NS_01	NS_03N	NS_04N	NS_05N				

NOTE: *additionalSpectrumEmission* corresponds to an information element of the same name defined in clause 6.3.2 of 3GPP TS 38.331 [8].

6.2.3.2 A-MPR for NS\_03N

**Table 6.2.3.2-1: A-MPR regions for NS\_03N**

Channel BW	Carrier Center Frequency	RB_start*12*SCS (MHz)	LCRB*12*SCS (MHz)	A-MPR
5MHz	1612.5 <= fc < 1613.9	<= 0.36	<= 0.36	A1
			>= 2.88	A2
	1613.9 <= fc < 1615.7		>= 3.24	A3
10MHz	1615 <= fc < 1620.1	<= 1.8	<= 5.04	A4
		<= 1.8	> 5.04	A5
		> 7.2	> 0	A6
		> 1.8	>= 2.88	A2
	1620.1 <= fc < 1621.5		<= 6.48	A6
		<= 0.36	<= 0.36	A1
	fc = 1621.5		>= 7.2	A1
15MHz	all	<= 3.6	<= 5.04	A4
		<= 3.6	> 5.04	A5
		> 10.44		A6
		> 3.6	>= 4.32	A2

**Table 6.2.3.2-2: A-MPR for NS\_03N**

	Modulation	A1	A2	A3	A4	A5	A6
DFT-s-OFDM	Pi/2 BPSK	2.5	3.0	1.0	4.0	6.5	1.5
	QPSK	2.5	4.0	2.5	6.0	7.0	2.0
	16QAM	3.0	4.5	3.0	6.5	7.5	2.5
	64QAM	3.5	5	3.5	7	8	3
	256QAM	4.5	6	4.5	8	9	4
CP-OFDM	QPSK	3.5	6.0	4.0	8.0	10.0	4.0
	16QAM	3.5	6.0	4.0	8.0	10.0	4.0
	64QAM	3.5	6.0	4.0	8.0	10.0	4.0
	256QAM	3.5	6.0	4.0	8.0	10.0	4.0

6.2.3.3 A-MPR for NS\_04N

**Table 6.2.3.3-1: A-MPR regions for NS\_04N**

Channel BW	Carrier Center Frequency	RB_start*12*SCS (MHz)	LCRB*12*SCS (MHz)	A-MPR
5MHz	1612.5 <= fc < 1613.9	<= 0.36	<= 0.36	A1
			>= 2.88	A2
	1613.9 <= fc < 1615.7		>= 3.24	A3

Table 6.2.3.3-2: A-MPR for NS\_04N

	Modulation	A1	A2	A3
DFT-s-OFDM	Pi/2 BPSK	2.5	3.0	1.0
	QPSK	2.5	4.0	2.5
	16QAM	3.0	4.5	3.0
	64QAM	3.5	5	3.5
	256QAM	4.5	6	4.5
CP-OFDM	QPSK	3.5	6.0	4.0
	16QAM	3.5	6.0	4.0
	64QAM	3.5	6.0	4.0
	256QAM	3.5	6.0	4.0

#### 6.2.3.4 A-MPR for NS\_05N

Table 6.2.3.4-1: A-MPR regions for NS\_05N

Channel BW	Carrier Center Frequency	RB_start*12*SCS (MHz)	LCRB*12*SCS (MHz)	A-MPR
5MHz	1622.4 < fc <= 1624	<= 3.6	> 0.36	A3
			>= 2.88	A1
10MHz	1615 <= fc < 1620.1	<= 1.8	<= 5.04	A4
		<= 1.8	> 5.04	A5
		> 7.2	> 0	A6
		> 1.8	>= 2.88	A2
	1620.1 <= fc <= 1621.5		<= 7.2	A6
		<= 0.36	<= 0.36	A1
15MHz	all	> 7.2	> 0	A6
		<= 3.6	<= 5.04	A4
		<= 3.6	> 5.04	A5
		> 10.44		A6
		> 3.6	>= 4.32	A2

Table 6.2.3.4-2: A-MPR for NS\_05N

	Modulation	A1	A2	A3	A4	A5	A6
DFT-s-OFDM	Pi/2 BPSK	1.5	5.0	1.5	6.5	6.5	2.0
	QPSK	1.5	5.0	1.5	6.5	7.0	2.5
	16QAM	2.0	5.5	2.0	7.0	7.5	3.0
	64QAM	2.5	6	2.5	7.5	8	3.5
	256QAM	3.5	7	3.5	8.5	9	4.5
CP-OFDM	QPSK	3.0	6.5		8.0	10.0	4.5
	16QAM	3.0	6.5		8.0	10.0	4.5
	64QAM	3.0	6.5		8.0	10.0	4.5
	256QAM	3.0	6.5		8.0	10.0	4.5

#### 6.2.4 Configured transmitted power

The requirements for configured transmitted power defined in subclause 6.2.4 of 3GPP TS 38.101-1 [5] clause 6.2.4 shall apply to NTN satellite UE.

### 6.3 Output power dynamics.

#### 6.3.1 Minimum output power

The minimum controlled output power of the UE is defined as the power in the channel bandwidth for all transmit bandwidth configurations (resource blocks), when the power is set to a minimum value.

The minimum output power is defined as the mean power in at least one sub-frame (1 ms). The minimum output power shall not exceed the values specified in Table 6.3.1-1.

**Table 6.3.1-1: Minimum output power**

Channel bandwidth (MHz)	Minimum output power (dBm)	Measurement bandwidth (MHz)
5	-40	4.515
10	-40	9.375
15	-40	14.235
20	-40	19.095

## 6.3.2 Transmit OFF power

Transmit OFF power is defined as the mean power in the channel bandwidth when the transmitter is OFF. The transmitter is considered OFF when the UE is not allowed to transmit on any of its ports.

The transmit OFF power is defined as the mean power in a duration of at least one sub-frame (1 ms) excluding any transient periods. The transmit OFF power shall not exceed the values specified in Table 6.3.2-1.

**Table 6.3.2-1: Transmit OFF power**

<b>Channel bandwidth</b>	(MHz)	5, 10, 15, 20
<b>REF_SCS</b>	(kHz)	15
<b>Transmit OFF power</b>	(dBm)	-50
<b>Measurement bandwidth</b>	(MHz)	$MBW=REF\_SCS*(12*N_{RB}+1)/1000$

## 6.3.3 Transmit ON/OFF time mask

The requirements for transmit ON/OFF time mask defined in 3GPP TS 38.101-1 [5] clause 6.3.3 shall apply for NTN satellite UE.

## 6.3.4 Power control

The requirements for Power control defined in 3GPP TS 38.101-1 [5] clause 6.3.4 shall apply for NTN satellite UE.

## 6.4 Transmit signal quality

### 6.4.1 Frequency error

The NTN satellite UE basic measurement interval of modulated carrier frequency is 1 UL slot. The NTN satellite UE pre-compensates the uplink modulated carrier frequency by the estimated Doppler shift according to 3GPP TS 38.300 [9] clause 16.14.2. The mean value of basic measurements of NTN UE modulated carrier frequency shall be accurate to within  $\pm 0.1$  PPM observed over a period of 1 ms of cumulated measurement intervals compared to ideally pre-compensated reference uplink carrier frequency.

[NOTE: The ideally pre-compensated reference uplink carrier frequency consists of the UL carrier frequency signalled to the UE by SAN and UL pre-compensated Doppler frequency shift.]

## 6.4.2 Transmit modulation quality

### 6.4.2.1 General

The requirements for transmit modulation quality defined in 3GPP TS 38.101-1 [5] clause 6.4.2 shall apply for NTN satellite UE except for clause 6.4.2.5.

### 6.4.2.2 Phase continuity requirements for DMRS bundling

For bands that NTN UE indicates the support of DMRS bundling, when the NTN UE is configured with DMRS bundling, the maximum allowable difference between the measured phase value in any slot p-1 and slot p, or slot 0 and any slot p for each antenna connector shall satisfy the requirements as listed in Table 6.4.2.5-1 of TS 38.101-1 [5] for the measurement conditions defined in Table 6.4.2.5-2 of TS 38.101-1 [5], within a measurement time window limited by the UE capability of maximum duration for DMRS bundling [*maxDurationDMRS-Bundling-r17*] for GSO scenario and [*maxDurationDMRS-Bundling-NTN-NGSO-r18*] for NGSO scenario, and defined for each frequency band separately. The phase value for each slot is measured as shown in Annex F.9 of TS 38.101-1 [5]. These requirements apply to PUCCH and PUSCH transmissions with DFT-s-OFDM and CP-OFDM waveforms.

The above requirements are applicable when all the following conditions are met within the measurement time window:

- RB allocation in terms of length and frequency position does not change, and intra-slot and inter-slot frequency hopping is not activated.
- Modulation order does not change.
- No network commanded TA takes effect.
- The TPMI precoder does not change.
- There is no change in UE transmission power level, and no change in the level of P-MPR applied by the UE.
- UE is not scheduled with uplink transmission of other physical channel/signal in-between the PUSCH or PUCCH transmissions.
- Doppler conditions are set to zero and delay conditions are set to constant.

## 6.5 Output RF spectrum emissions

### 6.5.1 Occupied bandwidth

Occupied bandwidth is defined as the bandwidth containing 99 % of the total integrated mean power of the transmitted spectrum on the assigned channel. The occupied bandwidth for all transmission bandwidth configurations (Resources Blocks) shall be less than the channel bandwidth specified in Table 6.5.1-1.

**Table 6.5.1-1: Occupied channel bandwidth**

	<b>NR NTN satellite channel bandwidth (MHz)</b>
	<b>5, 10, 15, 20</b>
<b>Occupied channel bandwidth (MHz)</b>	Same as NR NTN satellite channel bandwidth

### 6.5.2 Out of band emission

#### 6.5.2.1 General

The Out of band emissions are unwanted emissions immediately outside the assigned channel bandwidth resulting from the modulation process and non-linearity in the transmitter but excluding spurious emissions. This out of band emission limit is specified in terms of a spectrum emission mask and an adjacent channel leakage power ratio.

To improve measurement accuracy, sensitivity and efficiency, the resolution bandwidth may be smaller than the measurement bandwidth. When the resolution bandwidth is smaller than the measurement bandwidth, the result should be integrated over the measurement bandwidth in order to obtain the equivalent noise bandwidth of the measurement bandwidth.

### 6.5.2.2 Spectrum emission mask

The spectrum emission mask of the UE applies to frequencies ( $\Delta f_{\text{OoB}}$ ) starting from the  $\pm$  edge of the assigned NR channel bandwidth. For frequencies offset greater than  $\Delta f_{\text{OoB}}$ , the spurious requirements in clause 6.5.3 are applicable.

NOTE: For measurement conditions at the edge of each frequency range, the lowest frequency of the measurement position in each frequency range should be set at the lowest boundary of the frequency range plus MBW/2. The highest frequency of the measurement position in each frequency range should be set at the highest boundary of the frequency range minus MBW/2. MBW denotes the measurement bandwidth defined for the protected band.

The power of any UE emission shall not exceed the levels specified in Table 6.5.2.2-1 for the specified channel bandwidth.

**Table 6.5.2.2-1: General NR spectrum emission mask**

$\Delta f_{\text{OoB}}$ (MHz)	Channel bandwidth (MHz) / Spectrum emission limit (dBm)		Measurement bandwidth
	5	10, 15, 20	
$\pm 0-1$	-13	-13	1 % of channel BW  1 MHz
$\pm 1-5$	-10	-10	
$\pm 5-6$	-13		
$\pm 6-10$	-25		
$\pm 5-BW_{\text{Channel}}$		-13	
$\pm BW_{\text{Channel}}-(BW_{\text{Channel}}+5)$		-25	

### 6.5.2.3 Additional spectrum emission mask

#### 6.5.2.3.1 Requirements for network signalling value "NS\_04N"

When "NS\_04N" is indicated in the cell, the power of any UE emission shall not exceed the levels specified in Table 6.5.2.3.1-1 for any channel bandwidth configured within 1610-1618.25MHz.

**Table 6.5.2.3.1-1: Additional requirements for "NS\_04N"**

$\Delta f_{\text{OoB}}$ (kHz)	Spectrum emission limit (dBm)	Measurement bandwidth
$\pm 0-160$	-2	30kHz
$\pm 160-2300$	-2 to -26	
$\pm 2300-18500$	-26	
NOTE 1: Spectrum emissions are linearly interpolated in dBm versus frequency offset.		
NOTE 2: The EIRP requirement in regulation is converted to conducted requirement using a 0dBi antenna.		

#### 6.5.2.3.2 Requirements for network signalling value "NS\_05N"

When "NS\_05N" is indicated in the cell, the power of any UE emission shall not exceed the levels specified in Table 6.5.2.3.2-1 for any channel bandwidth configured within 1618.25-1626.5MHz.

**Table 6.5.2.3.2-1: Additional requirements for "NS\_05N"**

$\Delta f_{\text{OoB}}$ (kHz)	Spectrum emission limit (dBm)	Measurement bandwidth
$\pm 0-160$	-5	30kHz
$\pm 160-225$	-5 to -8.5	
$\pm 225-650$	-8.5 to -15	
$\pm 650-1365$	-15	
$\pm 1365-1800$	-23 to -26	
$\pm 1800-16500$	-26	
NOTE 1: Spectrum emissions are linearly interpolated in dBm versus frequency offset.		
NOTE 2: The EIRP requirement in regulation is converted to conducted requirement using a 0dBi antenna.		

## 6.5.2.4 Adjacent channel leakage ratio

Adjacent Channel Leakage power Ratio (ACLR) is the ratio of the filtered mean power centred on the assigned channel frequency to the filtered mean power centred on an adjacent channel frequency.

To improve measurement accuracy, sensitivity and efficiency, the resolution bandwidth may be smaller than the measurement bandwidth. When the resolution bandwidth is smaller than the measurement bandwidth, the result should be integrated over the measurement bandwidth in order to obtain the equivalent noise bandwidth of the measurement bandwidth.

### 6.5.2.4.1 NR ACLR

NR Adjacent Channel Leakage power Ratio ( $NR_{\text{ACLR}}$ ) is the ratio of the filtered mean power centred on the assigned NR channel frequency to the filtered mean power centred on an adjacent NR channel frequency at nominal channel spacing.

The assigned NR channel power and adjacent NR channel power are measured with rectangular filters with measurement bandwidths specified in Table 6.5.2.4.1-1.

If the measured adjacent channel power is greater than  $-50$  dBm then the  $NR_{\text{ACLR}}$  shall be higher than the value specified in Table 6.5.2.4.1-2.

**Table 6.5.2.4.1-1: NR ACLR measurement bandwidth**

<b>Channel bandwidth</b>	(MHz)	5,10,15,20
<b>REF_SCS</b>	(kHz)	15
<b>NR ACLR measurement bandwidth</b>	(MHz)	$MBW = \text{REF\_SCS} * (12 * N_{\text{RB}} + 1) / 1000$

**Table 6.5.2.4.1-2: NR ACLR requirement**

	<b>Power class 3</b>
<b>NR ACLR</b>	30 dB

### 6.5.2.4.2 UTRA ACLR

UTRA adjacent channel leakage power ratio ( $UTRA_{\text{ACLR}}$ ) is the ratio of the filtered mean power centred on the assigned NR channel frequency to the filtered mean power centred on an adjacent(s) UTRA channel frequency.

$UTRA_{\text{ACLR}}$  is specified for the first adjacent UTRA channel ( $UTRA_{\text{ACLR}1}$ ) which center frequency is  $\pm 2.5$  MHz from NR channel edge and for the 2<sup>nd</sup> adjacent UTRA channel ( $UTRA_{\text{ACLR}2}$ ) which center frequency is  $\pm 7.5$  MHz from NR channel edge.

The UTRA channel power is measured with a RRC filter with roll-off factor  $\alpha = 0.22$  and bandwidth of 3.84 MHz. The assigned NR channel power is measured with a rectangular filter with measurement bandwidth specified in Table 6.5.2.4.1-1.

If the measured adjacent channel power is greater than  $-50$  dBm then the  $UTRA_{ACLR1}$  and  $UTRA_{ACLR2}$  shall be higher than the value specified in Table 6.5.2.4.2-1.

**Table 6.5.2.4.2-1: UTRA ACLR requirement**

	Power class 3
$UTRA_{ACLR1}$	33 dB
$UTRA_{ACLR2}$	36 dB

UTRA ACLR requirement is applicable when signalled by the network with network signalling value indicated by the field *additionalSpectrumEmission*.

## 6.5.3 Spurious emission

### 6.5.3.1 General spurious emissions

Unless otherwise stated, the spurious emission limits apply for the frequency ranges that are more than  $F_{OOB}$  (MHz) in Table 6.5.3.1-1 from the edge of the channel bandwidth. The spurious emission limits in Table 6.5.3.1-2 apply for all transmitter band configurations ( $N_{RB}$ ) and channel bandwidths.

**Table 6.5.3.1-1: Boundary between NR out of band and general spurious emission domain**

Channel bandwidth	OOB boundary $F_{OOB}$ (MHz)
$BW_{Channel}$	$BW_{Channel} + 5$

**Table 6.5.3.1-2: Requirement for general spurious emissions limits**

Frequency Range	Maximum Level	Measurement bandwidth	NOTE
$9 \text{ kHz} \leq f < 150 \text{ kHz}$	-36 dBm	1 kHz	
$150 \text{ kHz} \leq f < 30 \text{ MHz}$	-36 dBm	10 kHz	
$30 \text{ MHz} \leq f < 1000 \text{ MHz}$	-36 dBm	100 kHz	
$1 \text{ GHz} \leq f < 5^{\text{th}}$ harmonic of the upper frequency edge of the UL operating band in GHz	-30 dBm	1 MHz	

### 6.5.3.2 Spurious emissions for UE co-existence

This clause specifies the requirements for NR NTN satellite bands for UE coexistence with protected bands.

Table 6.5.3.2-1: Requirements for spurious emissions for UE co-existence

NR NTN satellite Band	Spurious emission for UE co-existence						
	Protected band	Frequency range (MHz)			Maximum Level (dBm)	MBW (MHz)	NOTE
n254	NR Band n1, n2, n3, n5, n7, n8, n12, n13, n14, n18, n20, n24, n25, n26, n28, n29, n30, n31, n34, n38, n39, n40, n41, n48, n50, n51, n53, n54, n65, n66, n67, n70, n71, n72, n74, n75, n76, n77, n78, n85, n90, n91, n92, n93, n94, n100, n101, n105, n106, n109	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	
	E-UTRA Band 73, 87, 88, 103	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	
	NR Band n79, n104	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	2
n255	NR Band n1, n2, n3, n5, n7, n8, n12, n13, n14, n18, n20, n24, n25, n26, n28, n29, n30, n31, n34, n38, n39, n40, n41, n48, n50, n51, n53, n65, n66, n67, n70, n71, n72, n74, n75, n76, n85, n90, n91, n92, n93, n94, n100, n101, n105, n106, n109	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	
	NR Band n77, n78, n79	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	2
n256	NR Band n1, n3, n5, n7, n8, n12, n13, n14, n18, n20, n24, n26, n28, n29, n30, n31, n38, n39, n40, n41, n48, n50, n51, n53, n54, n65, n66, n67, n71, n72, n74, n75, n76, n78, n79, n85, n90, n91, n92, n93, n94, n100, n101, n105, n106, n109	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	
	E-UTRA Band 33, 35	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	
	NR Band n77	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	2
	NR Band n2, n25, n70	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	NA	NA	3
NOTE 1: The protected NR or E-UTRA bands are specified in clause 5.2 from 3GPP TS 38.101-1 [5] or 3GPP TS 36.101 [10]. F <sub>DL_low</sub> and F <sub>DL_high</sub> refer to each frequency band specified in Table 5.2-1 in 3GPP TS 38.101-1 [5] or 3GPP TS 36.101 [10].							
NOTE 2: As exceptions, measurements with a level up to the applicable requirements defined in Table 6.5.3.1-2 are permitted for each assigned NR carrier used in the measurement due to 2nd, 3rd, 4th or 5th harmonic spurious emissions. Due to spreading of the harmonic emission the exception is also allowed for the first 1 MHz frequency range immediately outside the harmonic emission on both sides of the harmonic emission. This results in an overall exception interval centred at the harmonic emission of (2 MHz + N x L <sub>CRB</sub> x R <sub>BSize</sub> kHz), where N is 2, 3, 4, 5 for the 2nd, 3rd, 4th or 5th harmonic respectively. The exception is allowed if the measurement bandwidth (MBW) totally or partially overlaps the overall exception interval.							
NOTE 3: The co-existence between n256 and band n2, n25 and n70 is subject to regional/national regulation.							

NOTE: To simplify Table 6.5.3.2-1, NR band numbers are listed for bands which are specified only for NR operation or both E-UTRA and NR operation. E-UTRA band numbers are listed for bands which are specified only for E-UTRA operation.

### 6.5.3.3 Additional spurious emissions

#### 6.5.3.3.1 General

These requirements are specified in terms of an additional spectrum emission requirement. Additional spurious emission requirements are signalled by the network to indicate that the UE shall meet an additional requirement for a specific deployment scenario as part of the cell handover/broadcast message.



### 6.5.3.3.2 Requirement for network signalling value "NS\_02N"

When "NS\_02N" is indicated in the cell, the power of any UE emission shall not exceed the levels specified in Table 6.5.3.3.2-1. This requirement also applies for the frequency ranges that are less than  $F_{OOB}$  (MHz) in Table 6.5.3.1-1 from the edge of the channel bandwidth.

**Table 6.5.3.3.2-1: Additional requirements for "NS\_02N"**

Frequency range (MHz)	Channel bandwidth / Spectrum emission limit <sup>1</sup> (dBm)	Measurement bandwidth	NOTE
	5 MHz, 10 MHz, 15 MHz, 20 MHz		
$1559 \leq f < 1605$	-50	700 Hz	Averaged over any 2 millisecond active transmission interval
$1605 \leq f \leq 1610$	$-50 + 24/5 (f-1605)$	700Hz	
$1559 \leq f < 1605$	-40	1MHz	Averaged over any 2 millisecond active transmission interval
$1605 \leq f \leq 1610$	$-40 + 24/5 (f-1605)$	1MHz	
NOTE: The EIRP requirement in regulation is converted to conducted requirement using a 0 dBi antenna.			

### 6.5.3.3.3 Requirement for network signalling value "NS\_03N"

When "NS\_03N" is indicated in the cell, the power of any UE emission shall not exceed the levels specified in Table 6.5.3.3.3-1. This requirement also applies for the frequency ranges that are less than  $F_{OOB}$  (MHz) in Table 6.5.3.1-1 from the edge of the channel bandwidth.

**Table 6.5.3.3.3-1: Additional out-of-band requirements for "NS\_03N"**

Frequency range (MHz)	Channel bandwidth / Spectrum emission limit <sup>1</sup> (dBm)	Measurement bandwidth	NOTE
	5 MHz, 10 MHz, 15 MHz		
$1559 \leq f < 1605$	-50	700 Hz	Discreet emissions averaged over any 2 millisecond active transmission interval
$1605 \leq f \leq 1610$	$-50 + 60/5 (f-1605)$	700 Hz	
$1559 \leq f < 1605$	-40	1MHz	Averaged over any 2 millisecond active transmission interval
$1605 \leq f \leq 1610$	$-40 + 60/5 (f-1605)$	1MHz	
NOTE: The EIRP requirement in regulation is converted to conducted requirement using a 0dBi antenna.			

### 6.5.3.3.4 Requirement for network signalling value "NS\_04N" and "NS\_05N"

When "NS\_04N" or "NS\_05N" is indicated in the cell, the power of any UE emission shall not exceed the levels specified in Table 6.5.3.3.4-1. This requirement also applies for the frequency ranges that are less than  $F_{OOB}$  (MHz) in Table 6.5.3.1-1 from the edge of the channel bandwidth.

Table 6.5.3.3.4-1: Additional out-of-band requirements for "NS\_04N" and "NS\_05N"

Frequency range (MHz)	Channel bandwidth / Spectrum emission limit <sup>1</sup> (dBm)	Measurement bandwidth	NOTE
	5 MHz, 10 MHz, 15 MHz		
$1559 \leq f < 1605$	-40	1MHz	Averaged over any 2 millisecond active transmission interval
$1605 \leq f \leq 1610$	$-40 + 60/5 (f-1605)$	1MHz	
$1628.5 \leq f < 1631.5$	-30	30kHz	
$1631.5 \leq f < 1636.5$	-30	100kHz	
$1636.5 \leq f < 1646.5$	-30	300kHz	
$1646.5 \leq f < 1666.5$	-30	1MHz	
$1666.5 \leq f \leq 2200$	-30	3MHz	
NOTE: The EIRP requirement in regulation is converted to conducted requirement using a 0dBi antenna.			

## 6.5.4 Transmit intermodulation

The transmit intermodulation performance is a measure of the capability of the transmitter to inhibit the generation of signals in its non-linear elements caused by presence of the wanted signal and an interfering signal reaching the transmitter via the antenna.

UE transmit intermodulation is defined by the ratio of the mean power of the wanted signal to the mean power of the intermodulation product when an interfering CW signal is added at a level below the wanted signal at each transmitter antenna port with the other antenna port(s) if any terminated. Both the wanted signal power and the intermodulation product power are measured through NR rectangular filter with measurement bandwidth shown in Table 6.5.4-1.

The requirement of transmit intermodulation is specified in Table 6.5.4-1.

Table 6.5.4-1: Transmit Intermodulation

Wanted signal channel bandwidth	$BW_{\text{Channel}}$	
Interference signal frequency offset from channel center	$BW_{\text{Channel}}$	$2 * BW_{\text{Channel}}$
Interference CW signal level	-40 dBc	
Intermodulation product	< -29 dBc	< -35 dBc
Measurement bandwidth	The maximum transmission bandwidth configuration among the different SCS's for the channel BW as defined in Table 6.5.2.4.1-1	
Measurement offset from channel center	$BW_{\text{Channel}}$ and $2 * BW_{\text{Channel}}$	$2 * BW_{\text{Channel}}$ and $4 * BW_{\text{Channel}}$

# 7 Conducted receiver characteristics

## 7.1 General

Unless otherwise stated the receiver characteristics are specified at the antenna connector(s) of the UE. For UE(s) with an integral antenna only, a reference antenna(s) with a gain of 0 dBi is assumed for each antenna port(s). UE with an integral antenna(s) may be taken into account by converting these power levels into field strength requirements, assuming a 0 dBi gain antenna. For UEs with more than one receiver antenna connector, identical interfering signals shall be applied to each receiver antenna port if more than one of these is used (diversity).

The levels of the test signal applied to each of the antenna connectors shall be as defined in the respective clauses below.

With the exception of clause 7.3, the requirements shall be verified with the network signalling value NS\_01 configured in Table 6.2.3.1-1.

All requirements in this section are applicable to devices supporting GSO and/or NGSO satellites.

All the parameters in clause 7 are defined using the UL reference measurement channels specified in 3GPP TS 38.101-1 [5] Annex A.2.2, the DL reference measurement channels specified in 3GPP TS 38.101-1 [5] Annex A.3.2 and using the set-up specified in 3GPP TS 38.101-1 [5] Annex C.3.1.

## 7.2 Diversity characteristics

The UE is required to be equipped with a minimum of two RX antenna ports in all operating bands.

The UE shall be verified with two RX antenna ports in all supported frequency bands.

The above rules apply for all clauses with the exception of clause 7.9.

## 7.3 Reference sensitivity

### 7.3.1 General

The reference sensitivity power level REFSSENS is the minimum mean power applied to each one of the UE antenna ports for all UE categories, at which the throughput shall meet or exceed the requirements for the specified reference measurement channel.

In later clauses of Clause 7 where the value of REFSSENS is used as a reference to set the corresponding requirement:

- In all bands, the UE shall be verified against those requirements by applying the REFSSENS value in Table 7.3.2-1.

### 7.3.2 Reference sensitivity power level

The throughput shall be  $\geq 95\%$  of the maximum throughput of the reference measurement channels as specified in Annex A3.2.2 of 3GPP TS 38.101-1 [5], with parameters specified in Table 7.3.2-1.

**Table 7.3.2-1: Two antenna port reference sensitivity QPSK Prefsens for FDD bands**

Operating Band	Operating band / SCS / Channel bandwidth										
	SCS kHz	5 MHz (dBm)	10 MHz (dBm)	15 MHz (dBm)	20 MHz (dBm)	25 MHz (dBm)	30 MHz (dBm)	35 MHz (dBm)	40 MHz (dBm)	45 MHz (dBm)	50 MHz (dBm)
n256	15	-99.5	-96.3	-94.5	-93.8						
	30		-96.6	-94.6	-94.0						
	60		-97.0	-94.9	-94.2						
n255	15	-100.0	-96.8	-95.0	-93.8						
	30		-97.1	-95.1	-94.0						
	60		-97.5	-95.4	-94.2						
n254	15	-99.5	-96.3	-94.5							
	30		-96.6	-94.6							
	60		-97.0	-94.9							

NOTE: The transmitter shall be set to  $P_{UMAX}$  as defined in clause 6.2.4 of 3GPP TS 38.101-1 [5].

The reference receiver sensitivity (REFSENS) requirement specified in Table 7.3.2-1 shall be met with uplink transmission bandwidth less than or equal to that specified in Table 7.3.2-2.

**Table 7.3.2-2: Uplink configuration for reference sensitivity**

Operating band / SCS (kHz) / Channel bandwidth (MHz) / Duplex mode						
Operating Band	SCS	5	10	15	20	Duplex Mode
n256	15	25	50	75	100	FDD
	30		24	36	50	
	60		10	18	24	
n255	15	25	50	75	[75]	FDD
	30		24	36	[36]	
	60		10	18	[18]	
n254	15	25	50	75		FDD
	30		24	36		
	60		10	18		

NOTE: UL resource blocks shall be located as close as possible to the downlink operating band but confined within the transmission bandwidth configuration for the channel bandwidth in Table 5.3.2-1.

The minimum requirements specified in Table 7.3.2-1 shall be verified with the network signalling value NS\_01 configured in Table 6.2.3.1-1.

## 7.4 Maximum input level

Maximum input level is defined as the maximum mean power received at the UE antenna port, at which the specified relative throughput shall meet or exceed the minimum requirements for the specified reference measurement channel. The throughput shall be  $\geq 95\%$  of the maximum throughput of the reference measurement channels as specified in 3GPP TS 38.101-1 [5] Annex A.3.2 (with one sided dynamic OCNG Pattern OP.1 FDD as described in 3GPP TS 38.101-1 [5] Annex A.5.1.1) with parameters specified in Table 7.4-1.

**Table 7.4-1: Maximum input level**

Rx Parameter	Units	Channel bandwidth (MHz)
		5, 10, 15, 20
Power in Transmission Bandwidth Configuration <sup>3</sup>	dBm	-40 <sup>2</sup>

NOTE 1: The transmitter shall be set to 4 dB below  $P_{\text{CMAX\_L,f,c}}$  at the minimum uplink configuration specified in Table 7.3.2-2 with  $P_{\text{CMAX\_L,f,c}}$  as defined in clause 6.2.4.

NOTE 2: Reference measurement channel is specified in 3GPP TS 38.101-1 [5] Annex A.3.2.3 for 64 QAM.

NOTE 3: Power in transmission bandwidth configuration value is rounded to the nearest 0.5dB value.

## 7.5 Adjacent channel selectivity

Adjacent channel selectivity (ACS) is a measure of a receiver's ability to receive an NR signal at its assigned channel frequency in the presence of an adjacent channel signal at a given frequency offset from the centre frequency of the assigned channel. ACS is the ratio of the receive filter attenuation on the assigned channel frequency to the receive filter attenuation on the adjacent channel(s).

In Release 17, only frequency bands below 2.7GHz are considered. The NR satellite UE shall fulfil the minimum requirements specified in Table 7.5-1 for NR satellite bands with  $\text{FDL\_high} < 2700$  MHz and  $\text{FUL\_high} < 2700$  MHz. These requirements apply for all values of an adjacent channel interferer in case 1 and for any SCS specified for the channel bandwidth of the wanted signal. The lower and upper range of test parameters are chosen as in Table 7.5-2 and Table 7.5-3 for verification of the requirements specified in Table 7.5-1. For these test parameters, the throughput shall be  $\geq 95\%$  of the maximum throughput of the reference measurement channels as specified in 3GPP TS 38.101-1 [5] Annexes A.2.2 and A.3.2 (with one sided dynamic OCNG Pattern OP.1 FDD for the DL-signal as described in 3GPP TS 38.101-1 [5] Annex A.5.1.1).

**Table 7.5-1: ACS for NR satellite bands with  $F_{DL\_high} < 2700$  MHz and  $F_{UL\_high} < 2700$  MHz**

RX parameter	Units	Channel bandwidth (MHz)		
		5, 10	15	20
ACS	dB	33	30	27

**Table 7.5-2: Test parameters for NR bands with  $F_{DL\_high} < 2700$  MHz and  $F_{UL\_high} < 2700$  MHz, case 1**

RX parameter	Units	Channel bandwidth (MHz)		
		5, 10	15	20
Power in transmission bandwidth configuration	dBm	REFSENS + 14 dB		
$P_{interferer}^4$	dBm	REFSENS + 45.5 dB	REFSENS + 42.5 dB	REFSENS + 39.5
$BW_{interferer}$	MHz	5		
$F_{interferer}$ (offset) <sup>2</sup>	MHz	$\frac{BW_{Channel}/2 + 2.5}{-(BW_{Channel}/2 + 2.5)}$		
NOTE 1: The transmitter shall be set to 4 dB below $P_{CMAX\_L,f,c}$ at the minimum UL configuration specified in clause 7.3.2 with $P_{CMAX\_L,f,c}$ defined in clause 6.2.4.				
NOTE 2: The absolute value of the interferer offset $F_{interferer}$ (offset) shall be further adjusted to $(\lceil F_{interferer} / SCS \rceil + 0.5) SCS$ MHz with SCS the sub-carrier spacing of the wanted signal in MHz. The interferer is an NR signal with 15 kHz SCS.				
NOTE 3: The interferer consists of the NR interferer RMC specified in 3GPP TS 38.101-1 [5] Annex A.3.2.2 with one sided dynamic OCNG Pattern OP.1 FDD for the DL-signal as described in 3GPP TS 38.101-1 [5] Annex A.5.1.1.				
NOTE 4: $P_{interferer}$ shall be rounded to the next higher 0.5dB value.				

**Table 7.5-3: Test parameters for NR bands with  $F_{DL\_high} < 2700$  MHz and  $F_{UL\_high} < 2700$  MHz, case 2**

RX parameter	Units	Channel bandwidth (MHz)		
		5, 10	15	20
Power in transmission bandwidth configuration	dBm	-71.5	-68.5	-65.5
$P_{interferer}$	dBm	-40		
$BW_{interferer}$	MHz	5		
$F_{interferer}$ (offset)	MHz	$\frac{BW_{Channel}/2 + 2.5}{-(BW_{Channel}/2 + 2.5)}$		
NOTE 1: The transmitter shall be set to 24 dB below $P_{CMAX\_L,f,c}$ at the minimum UL configuration specified in clause 7.3.2 with $P_{CMAX\_L,f,c}$ defined in clause 6.2.4.				
NOTE 2: The absolute value of the interferer offset $F_{interferer}$ (offset) shall be further adjusted to $(\lceil F_{interferer} / SCS \rceil + 0.5) SCS$ MHz with SCS the sub-carrier spacing of the wanted signal in MHz. The interferer is an NR signal with 15 kHz SCS.				
NOTE 3: The interferer consists of the NR interferer RMC specified in 3GPP TS 38.101-1 [5] Annex A.3.2.2 with one sided dynamic OCNG Pattern OP.1 FDD for the DL-signal as described in 3GPP TS 38.101-1 [5] Annex A.5.1.1.				
NOTE 4: $P_{interferer}$ shall be rounded to the next higher 0.5dB value.				

## 7.6 Blocking characteristics

### 7.6.1 General

The blocking characteristic is a measure of the receiver's ability to receive a wanted signal at its assigned channel frequency in the presence of an unwanted interferer on frequencies other than those of the spurious response or the adjacent channels, without this unwanted input signal causing a degradation of the performance of the receiver beyond a specified limit. The blocking performance shall apply at all frequencies except those at which a spurious response occurs.

## 7.6.2 In-band blocking

For NR satellite bands with  $F_{DL\_high} < 2700$  MHz and  $F_{UL\_high} < 2700$  MHz in-band blocking (IBB) is defined for an unwanted interfering signal falling into the UE receive band or into the first 15 MHz below or above the UE receive band.

The throughput of the wanted signal shall be  $\geq 95$  % of the maximum throughput of the reference measurement channels as specified in 3GPP TS 38.101-1 [5] Annexes A.2.2 and A.3.2 (with one sided dynamic OCNG Pattern OP.1 FDD for the DL-signal as described in Annex A.5.1.1) with parameters specified in Table 7.6.2-1 and Table 7.6.2-2. The relative throughput requirement shall be met for any SCS specified for the channel bandwidth of the wanted signal.

**Table 7.6.2-1: In-band blocking parameters for NR satellite bands with  $F_{DL\_high} < 2700$  MHz and  $F_{UL\_high} < 2700$  MHz**

RX parameter	Units	Channel bandwidth (MHz)		
		5, 10	15	20
Power in transmission bandwidth configuration <sup>3</sup>	dBm	REFSENS + 6 dB	REFSENS + 7 dB	REFSENS + 9 dB
$BW_{interferer}$	MHz	5		
$F_{offset, case 1}$	MHz	7.5		
$F_{offset, case 2}$	MHz	12.5		
NOTE 1: The transmitter shall be set to 4 dB below $P_{CMAX\_L,f,c}$ at the minimum UL configuration specified in clause 7.3.2 with $P_{CMAX\_L,f,c}$ defined in clause 6.2.4.				
NOTE 2: The interferer consists of the RMC specified in 3GPP TS 38.101-1 [5] Annex A.3.2.2 with one sided dynamic OCNG Pattern OP.1 FDD for the DL-signal as described in Annex A.5.1.1 and 15 kHz SCS.				
NOTE 3: Power in transmission bandwidth configuration shall be rounded to the next higher 0.5dB value.				

**Table 7.6.2-2: In-band blocking for NR satellite bands with  $F_{DL\_high} < 2700$  MHz and  $F_{UL\_high} < 2700$  MHz**

Operating Band	Parameter	Unit	Case 1	Case 2
	$P_{interferer}$	dBm	-56	-44
n254, n255, n256	$F_{interferer}$ (offset)	MHz	$-BW_{Channel}/2 - F_{offset, case 1}$ and $BW_{Channel}/2 + F_{offset, case 1}$	$\leq -BW_{Channel}/2 - F_{offset, case 2}$ and $\geq BW_{Channel}/2 + F_{offset, case 2}$
	$F_{interferer}$	MHz	NOTE 2	$F_{DL\_low} - 15$ to $F_{DL\_high} + 15$
NOTE 1: The absolute value of the interferer offset $F_{interferer}$ (offset) shall be further adjusted to $(\lceil F_{interferer} / SCS \rceil + 0.5) SCS$ MHz with SCS the sub-carrier spacing of the wanted signal in MHz. The interferer is an NR signal with 15 kHz SCS.				
NOTE 2: For each carrier frequency, the requirement applies for two interferer carrier frequencies: a: $-BW_{Channel}/2 - F_{offset, case 1}$ ; b: $BW_{Channel}/2 + F_{offset, case 1}$				

## 7.6.3 Out-of-band blocking

For NR satellite bands with  $F_{DL\_high} < 2700$  MHz and  $F_{UL\_high} < 2700$  MHz out-of-band band blocking is defined for an unwanted CW interfering signal falling outside a frequency range 15 MHz below or above the UE receive band.

The throughput of the wanted signal shall be  $\geq 95$  % of the maximum throughput of the reference measurement channels as specified in 3GPP TS 38.101-1 [5] Annexes A.2.2 and A.3.2 (with one sided dynamic OCNG Pattern OP.1 FDD for the DL-signal as described in Annex A.5.1.1) with parameters specified in Table 7.6.3-1 and Table 7.6.3-2. The relative throughput requirement shall be met for any SCS specified for the channel bandwidth of the wanted signal.

**Table 7.6.3-1: Out-of-band blocking parameters for NR satellite bands with  $F_{DL\_high} < 2700$  MHz and  $F_{UL\_high} < 2700$  MHz**

RX parameter	Units	Channel bandwidth (MHz)		
		5, 10	15	20
Power in transmission bandwidth configuration <sup>2</sup>	dBm	REFSENS + 6 dB	REFSENS + 7 dB	REFSENS + 9 dB
NOTE 1: The transmitter shall be set to 4 dB below $P_{CMAX\_L,f,c}$ at the minimum UL configuration specified in clause 7.3.2 with $P_{CMAX\_L,f,c}$ defined in clause 6.2.4.				
NOTE 2: Power in transmission bandwidth configuration shall be rounded to the next higher 0.5dB value.				

**Table 7.6.3-2: Out of-band blocking for NR satellite bands with  $F_{DL\_high} < 2700$  MHz and  $F_{UL\_high} < 2700$  MHz**

Operating Band	Parameter	Unit	Range 1	Range 2	Range 3
	$P_{interferer}$	dBm	-44	-30	-15
n254 <sup>2</sup>	$F_{interferer}$ (CW)	MHz	$-60 < f - F_{DL\_low} < -15$ or $15 < f - F_{DL\_high} < 60$	$-85 < f - F_{DL\_low} \leq -60$ or $60 \leq f - F_{DL\_high} < 85$	$1 \leq f \leq F_{DL\_low} - 85$ or $F_{DL\_high} + 85 \leq f \leq 12750$
n255	$F_{interferer}$ (CW)	MHz	$-60 < f - F_{DL\_low} < -15$ or $15 < f - F_{DL\_high} < 60$	$-85 < f - F_{DL\_low} \leq -60$ or $60 \leq f - F_{DL\_high} < 85$	$1 \leq f \leq F_{DL\_low} - 85$ or $F_{DL\_high} + 85 \leq f \leq 12750$
n256 <sup>1</sup>	$F_{interferer}$ (CW)	MHz	$-100 < f - F_{DL\_low} < -15$ or $15 < f - F_{DL\_high} < 60$	$-145 < f - F_{DL\_low} \leq -100$ or $60 \leq f - F_{DL\_high} < 85$	$1 \leq f \leq F_{DL\_low} - 145$ or $F_{DL\_high} + 85 \leq f \leq 12750$
NOTE 1: Band n256 lower frequency ranges are modified to enable specific implementations					
NOTE 2: Band n254 power level of the interferer ( $P_{interferer}$ ) for Range 3 shall be modified to -20 dBm for $F_{interferer} > 2585$ MHz and $F_{interferer} < 2775$ MHz.					
NOTE 3: void					
NOTE 4: void					

For interferer frequencies across ranges 1, 2 and 3 in Table 7.6.3-1, a maximum of

$$\lfloor \max\{24,6 \cdot \lceil n \cdot N_{RB} / 6 \rceil\} / \min\{\lfloor n \cdot N_{RB} / 10 \rfloor, 5\} \rfloor$$

exceptions are allowed for spurious response frequencies in each assigned frequency channel when measured using a step size of  $\min(\lfloor BW_{channel} / 2 \rfloor, 5)$  MHz with  $N_{RB}$  the number of resource blocks in the downlink transmission bandwidth configuration,  $BW_{channel}$  the bandwidth of the frequency channel in MHz and  $n = 1, 2, 3$  for SCS = 15, 30, 60 kHz, respectively. For these exceptions, the requirements in clause 7.7 apply.

## 7.6.4 Narrow band blocking

This requirement is measure of a receiver's ability to receive a NR signal at its assigned channel frequency in the presence of an unwanted narrow band CW interferer at a frequency, which is less than the nominal channel spacing. The relative throughput shall be  $\geq 95$  % of the maximum throughput of the reference measurement channels as specified in 3GPP TS 38.101-1 [5] Annexes A.2.2 and A.3.2 (with one sided dynamic OCNG Pattern OP.1 FDD for the DL-signal as described in Annex A.5.1.1) with parameters specified in Table 7.6.4-1.

**Table 7.6.4-1: Narrow Band Blocking**

Operating Band	Parameter	Unit	Channel Bandwidth (MHz)			
			5	10	15	20
n254, n255, n256	P <sub>w</sub>	dBm	P <sub>REFSENS</sub> + channel-bandwidth specific value below			
	P <sub>uw</sub> (CW)	dBm	16	13	14	16
	F <sub>uw</sub> (offset SCS= 15 kHz) 3	MHz	$\left( \left( \frac{BW_{channel}}{2} + 0.2 \right) \frac{1}{SCS} + 0.5 \right) + 0.5 \right) SCS$			
	F <sub>uw</sub> (offset SCS= 30 kHz) 3	MHz	NA			
NOTE 1: The transmitter shall be set a 4 dB below P <sub>C<sub>MAX</sub>L,f,c</sub> at the minimum UL configuration specified in clause 7.3.2 with P <sub>C<sub>MAX</sub>L,f,c</sub> defined in clause 6.2.4						
NOTE 2: The P <sub>REFSENS</sub> power level is specified in clause 7.3.2.						
NOTE 3: F <sub>uw</sub> shall be rounded to half of SCS.						

## 7.7 Spurious response

Spurious response is a measure of the ability of the receiver to receive a wanted signal on its assigned channel frequency without exceeding a given degradation due to the presence of an unwanted CW interfering signal at any other frequency for which a response is obtained, i.e. for which the out-of-band blocking limit as specified in clause 7.6.3 is not met.

The throughput shall be ≥ 95 % of the maximum throughput of the reference measurement channels as specified in 3GPP TS 38.101-1 [5] Annexes A.2.2 and A.3.2 (with one sided dynamic OCNG Pattern OP.1 FDD for the DL-signal as described in Annex A.5.1.1) with parameters for the wanted signal as specified in Table 7.7-1 for NR bands with F<sub>DL\_high</sub> < 2700 MHz and F<sub>UL\_high</sub> < 2700 MHz for the interferer as specified in Table 7.7-2. The relative throughput requirement shall be met for any SCS specified for the channel bandwidth of the wanted signal.

**Table 7.7-1: Spurious response parameters for NR bands with F<sub>DL\_high</sub> < 2700 MHz and F<sub>UL\_high</sub> < 2700 MHz**

RX parameter	Units	Channel bandwidth (MHz)		
		5, 10	15	20
Power in transmission bandwidth configuration <sup>2</sup>	dBm	REFSENS + 6 dB	REFSENS + 7 dB	REFSENS + 9 dB
NOTE 1: The transmitter shall be set to 4 dB below P <sub>C<sub>MAX</sub>L,f,c</sub> at the minimum UL configuration specified in Table 7.3.2-3 with P <sub>C<sub>MAX</sub>L,f,c</sub> defined in clause 6.2.4.				
NOTE 2: Power in transmission bandwidth configuration value is rounded to the next higher 0.5dB value.				

**Table 7.7-2: Spurious response**

Parameter	Unit	Level
P <sub>Interferer</sub> (CW)	dBm	-44
F <sub>Interferer</sub>	MHz	Spurious response frequencies

## 7.8 Intermodulation characteristics

The definition and requirements for intermodulation characteristics specified in 3GPP TS 38.101-1 [5] clause 7.8 shall apply for NTN satellite UE.



## 7.9 Spurious emissions

The spurious emissions power is the power of emissions generated or amplified in a receiver that appear at the UE antenna connector.

The power of any narrow band CW spurious emission shall not exceed the maximum level specified in Table 7.9-1

**Table 7.9-1: General receiver spurious emission requirements**

Frequency range	Measurement bandwidth	Maximum level	NOTE
$30 \text{ MHz} \leq f < 1 \text{ GHz}$	100 kHz	-57 dBm	
$1 \text{ GHz} \leq f \leq 12.75 \text{ GHz}$	1 MHz	-47 dBm	
NOTE: Unused PDCCH resources are padded with resource element groups with power level given by PDCCH as defined in 3GPP TS 38.101-1 [5] Annex C.3.1.			

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## 8 Conducted performance requirements

### 8.1 General

#### 8.1.1 Relationship between minimum requirements and test requirements

The present document is a Single-RAT and interwork specification for NR UE, covering minimum performance requirements of both conducted and radiated requirements. Conformance to the present specification is demonstrated by fulfilling the test requirements specified in the conformance specification TS TBD [TBD].

The Minimum Requirements given in this specification make no allowance for measurement uncertainty. The test specification TS TBD [TBD] defines test tolerances. These test tolerances are individually calculated for each test. The test tolerances are used to relax the minimum requirements in this specification to create test requirements.

The measurement results returned by the test system are compared – without any modification – against the test requirements as defined by the shared risk principle.

The shared risk principle is defined in Recommendation ITU-R M.1545 [TBD].

The applicability of each requirement is described under each sub-clause in [8.2.1] and [8.3.1].

#### 8.1.2 Applicability of minimum requirements

The conducted minimum requirements specified in this specification shall be met in all applicable scenarios for FR1.

Unless otherwise stated, all minimum performance requirements defined in Clauses 8 are applicable to UE power class 3 only.

### 8.1.3 Conducted requirements

#### 8.1.3.1 Introduction

The requirements are defined for the following modes:

- Mode 1: Conditions with external noise source
  - Wanted signal with power level  $E_s$  is transmitted.
  - External white noise source with power spectral density  $N_{oc}$  is used.
  - $E_s$  and  $N_{oc}$  levels are selected to achieve target SNR as described in Clause 8.1.3.3.

### 8.1.3.2 Reference point

The reference point for SNR, Es and Noc of DL signal is the UE antenna connector or connectors.

### 8.1.3.3 SNR definition

For Mode 1 conditions conducted UE demodulation and CSI requirements the SNR is defined as:

$$SNR = \frac{\sum_{j=1}^{N_{RX}} E_s^{(j)}}{\sum_{j=1}^{N_{RX}} N_{oc}^{(j)}}$$

Where

- $N_{RX}$  denotes the number of receiver antenna connectors and the superscript receiver antenna connector  $j$ .
- The above SNR definition assumes that the REs are not precoded, and does not account for any gain which can be associated to the precoding operation.
- Unless otherwise stated, the SNR refers to the SSS wanted signal.
- The downlink SSS transmit power is defined as the linear average over the power contributions in [W] of all resource elements that carry the SSS within the operating system bandwidth.
- The power ratio of other wanted signals to the SSS is defined in clause [C.3.1].

### 8.1.3.4 Noc

#### 8.1.3.4.1 Introduction

This clause describes the Noc power level for Mode 1 conditions conducted testing of demodulation and CSI requirements.

#### 8.1.3.4.2 Noc for NR operating bands in FR1

The Noc power spectrum density shall be larger or equal to the minimum Noc power level for each operating band supported by the UE as defined in clause 8.1.3.4.2.1.

Unless otherwise stated, a fixed Noc power level of -145 dBm/Hz shall be used for all operating bands.

##### 8.1.3.4.2.1 Derivation of Noc values for NR operating bands in FR1

The minimum Noc power level for an operating band, subcarrier spacing and channel bandwidth is derived based on the following equation:

$$NOC_{Band\_X, SCS\_Y, CBW\_Z} = REFSENS_{Band\_X, SCS\_Y, CBW\_Z} - 10 \cdot \log_{10}(12 \cdot SCS\_Y \cdot nPRB) + D - SNR_{REFSENS} + \Delta_{thermal}$$

where

- $REFSENS_{Band\_X, SCS\_Y, CBW\_Z}$  is the REFSENS value in dBm for Band X, SCS Y and CBW Z specified in Table 7.3.2-1 of TS 38.101-5 [TBD]
- 12 is the number of subcarriers in a PRB
- SCS Y is the subcarrier spacing associated with the REFSENS value
- nPRB is the maximum number of PRB for SCS Y and CBW Z associated with the REFSENS value, and is specified in Table 5.3.2-1 of TS 38.101-5 [TBD]
- D is diversity gain equal to 3 dB
- $SNR_{REFSENS} = -1$  dB is the SNR used for simulation of REFSENS

- $\Delta_{\text{thermal}}$  is the amount of dB that the wanted noise is set above UE thermal noise, giving a defined rise in total noise.  $\Delta_{\text{thermal}} = 16\text{dB}$ , giving a rise in total noise of 0.1dB, regarded as insignificant.

The calculated Noc value for the baseline of Band n256, 15 kHz SCS, 10 MHz CBW is -146.5 dBm/Hz.

An allowance of 1.5dB is made for future bands, giving an Noc power level of -145 dBm/Hz.

## 8.2 Demodulation performance requirements

### 8.2.1 General

#### 8.2.1.1 Applicability of requirements

##### 8.2.1.1.1 General

The minimum performance requirements are applicable to all FR1 operating bands defined in clause 5.2.

If same test is listed for different UE features/capabilities in Clauses 8.2.1.1.2, then this test shall apply for UEs which support all corresponding UE features/capabilities.

##### 8.2.1.1.2 Applicability of requirements for optional UE features

The performance requirements in Table 8.2.1.1.2-1 shall apply for UEs which support optional UE features only.

**Table 8.2.1.1.2-1: Requirements applicability for optional UE features**

UE feature/capability [TBD]	Test type		Test list	Applicability notes
NR NTN access (nonTerrestrialNetwork-r17)	FR1 FDD	PDSCH	Clause 8.2.1.2.2.1 (Test 1-1, Test 1-2, Test 1-3, Test 1-4)	
NR NTN scenario support (ntn-ScenarioSupport-r17)	FR1 FDD	PDSCH	Clause 8.2.1.2.2.1 (Test 1-1, Test 1-2, Test 1-3, Test 1-4)	The requirements apply only when <i>ntn-ScenarioSupport-r17</i> is "ngso" or is not configured.
Increasing the number of HARQ processes (max-HARQ-ProcessNumber-r17)	FR1 FDD	PDSCH	Clause 8.2.1.2.2.1 (Test 1-3)	
Disabled HARQ feedback for downlink transmission (harq-FeedbackDisabled-r17)	FR1 FDD	PDSCH	Clause 8.2.1.2.2.1 (Test 1-4)	
Note:	For UE supporting NR NTN access (nonTerrestrialNetwork-r17), the requirements in TS38.101-4 also applies to UE according to applicability rules in TS38.101-4 Clause 5.1, 6.1, 7.1 and 8.1			

##### 8.2.1.2 PDSCH demodulation requirements

The parameters specified in Table 8.2.1.2-1 are valid for all PDSCH tests unless otherwise stated.

**Table 8.2.1.2-1: Common test parameters**

Parameter		Unit	Value
PDSCH transmission scheme			Transmission scheme 1
Carrier configuration	Offset between Point A and the lowest usable subcarrier on this carrier (Note 2)	RBs	0
	Subcarrier spacing	kHz	15
DL BWP configuration #1	Cyclic prefix		Normal
	RB offset	RBs	0
	Number of contiguous PRB	PRBs	Maximum transmission bandwidth configuration as specified in clause 5.3.2 of TS 38.101-1 [6] for tested channel bandwidth and subcarrier spacing
Common serving cell parameters	Physical Cell ID		0
	SSB position in burst		First SSB in Slot #0
	SSB periodicity	ms	20
PDCCH configuration	Slots for PDCCH monitoring		Each slot
	Symbols with PDCCH	Symbols	0, 1
	Number of PRBs in CORESET		Table 5.2-2 of 38.101-4 for tested channel bandwidth and subcarrier spacing
	Number of PDCCH candidates and aggregation levels		1/AL8
	CCE-to-REG mapping type		Non-interleaved
	DCI format		1_1
	TCI state		TCI state #1
	PDCCH & PDCCH DMRS Precoding configuration		Single Panel Type I, Random per slot with equal probability of each applicable $i_1, i_2$ combination, and with REG bundling granularity for number of Tx larger than 1
Cross carrier scheduling			Not configured
CSI-RS for tracking	First subcarrier index in the PRB used for CSI-RS		$k_0=0$ for CSI-RS resource 1,2,3,4
	First OFDM symbol in the PRB used for CSI-RS		$l_0 = 6$ for CSI-RS resource 1 and 3 $l_0 = 10$ for CSI-RS resource 2 and 4
	Number of CSI-RS ports (X)		1 for CSI-RS resource 1,2,3,4
	CDM Type		'No CDM' for CSI-RS resource 1,2,3,4
	Density ( $\rho$ )		3 for CSI-RS resource 1,2,3,4
	CSI-RS periodicity	Slots	15 kHz SCS: 20 for CSI-RS resource 1,2,3,4
	CSI-RS offset	Slots	15 kHz SCS: 10 for CSI-RS resource 1 and 2 11 for CSI-RS resource 3 and 4
	Frequency Occupation		Start PRB 0 Number of PRB = $\text{ceil}(\text{BWP size}/4)*4$
	QCL info		TCI state #0
NZP CSI-RS for CSI acquisition	Row index (Note 3)		3 for 2 CSI-RS ports and 5 for 4 CSI-RS ports
	First subcarrier index in the PRB used for CSI-RS		$k_0 = 0$
	First OFDM symbol in the PRB used for CSI-RS		$l_0 = 12$
	Number of CSI-RS ports (X)		Same as number of transmit antenna
	CDM Type		'No CDM' for 1 transmit antenna 'FD-CDM2' for 2 and 4 transmit antenna
	Density ( $\rho$ )		1
	CSI-RS periodicity	Slots	15 kHz SCS: 20
	CSI-RS offset	Slots	0
	Frequency Occupation		Start PRB 0 Number of PRB = $\text{ceil}(\text{BWP size}/4)*4$
QCL info		TCI state #1	
ZP CSI-RS for CSI acquisition	Row index (Note 3)		5
	First subcarrier index in the PRB used for CSI-RS		$k_0 = 4$
	First OFDM symbol in the PRB used for CSI-RS		$l_0 = 12$

	Number of CSI-RS ports (X)		4
	CDM Type		'FD-CDM2'
	Density ( $\rho$ )		1
	CSI-RS periodicity	Slots	15 kHz SCS: 20
	CSI-RS offset	Slots	0
	Frequency Occupation		Start PRB 0 Number of PRB = $\text{ceil}(\text{BWP size}/4)*4$
PDSCH DMRS configuration	Antenna ports indexes		{1000} for Rank 1 tests
	Position of the first DMRS for PDSCH mapping type A		2
	Number of PDSCH DMRS CDM group(s) without data		1 for Rank 1
TCI state #0	Type 1 QCL information	SSB index	SSB #0
		QCL Type	Type C
	Type 2 QCL information	SSB index	N/A
		QCL Type	N/A
TCI state #1	Type 1 QCL information	CSI-RS resource	CSI-RS resource 1 from 'CSI-RS for tracking' configuration
		QCL Type	Type A
	Type 2 QCL information	CSI-RS resource	N/A
		QCL Type	N/A
PT-RS configuration			PT-RS is not configured
Maximum number of code block groups for ACK/NACK feedback			1
Maximum number of HARQ transmission			4
HARQ ACK/NACK bundling			Multiplexed
Redundancy version coding sequence			{0,2,3,1}
PDSCH & PDSCH DMRS Precoding configuration			Single Panel Type I, Random precoder selection updated per slot, with equal probability of each applicable $i_1, i_2$ combination, and with PRB bundling granularity
Symbols for all unused REs			OP.1 FDD as defined in Annex A.5.1.1 of 38.101-4
Physical signals, channels mapping and precoding			As specified in Annex B.4.1 of 38.101-4
Note 1: UE assumes that the TCI state for the PDSCH is identical to the TCI state applied for the PDCCH transmission.			
Note 2: Point A coincides with minimum guard band as specified in Table 5.3.3-1 from TS 38.101-1 [6] for tested channel bandwidth and subcarrier spacing.			
Note 3: Refer to Table 7.4.1.5.3-1 in [9]			

8.2.1.2.1 1RX requirements

8.2.1.2.2 2RX requirements

8.2.1.2.2.1 FDD

8.2.1.2.2.1.1 Minimum requirements for PDSCH Mapping Type A

The performance requirements are specified in Table 8.2.1.2.2.1.1-3 with the addition of test parameters in Table 8.2.1.2.2.1.1-2 and the downlink physical channel setup according to Annex A.3.

The test purposes are specified in Table 8.2.1.2.2.1.1-1.

**Table 8.2.1.2.2.1.1-1: Tests purpose**

Purpose	Test index
Verify the PDSCH mapping Type A normal performance under 2 receive antenna conditions and with different channel models and MCS	1-1, 1-2, 1-3, 1-4

Table 8.2.1.2.2.1.1-2: Test parameters

Parameter		Unit	Value
Duplex mode			FDD
Active DL BWP index			1
PDSCH configuration	Mapping type		Type A
	k0		0
	Starting symbol (S)		2
	Length (L)		12
	PDSCH aggregation factor		1
	PRB bundling type		Static
	PRB bundling size		2
	Resource allocation type		Type 0
	RBG size		Config2
	VRB-to-PRB mapping type		Non-interleaved
	VRB-to-PRB mapping interleaver bundle size		N/A
PDSCH DMRS configuration	DMRS Type		Type 1
	Number of additional DMRS		1
	Maximum number of OFDM symbols for DL front loaded DMRS		1
CSI-RS for tracking	CSI-RS periodicity	Slots	20 for CSI-RS resource 1,2,3,4.
	CSI-RS offset	Slots	10 for CSI-RS resource 1 and 2 11 for CSI-RS resource 3 and 4.
Number of HARQ Processes			16 for Test 1-1, Test 1-2 32 for Test 1-3 4 with feedback disabled, 12 with feedback enabled in 16 HARQ processes with re-Tx disable for all HARQ for Test 1-4 in which 4 disabled processes are randomly select at test configuration
The number of slots between PDSCH and corresponding HARQ-ACK information			10 for Test 1-1, Test 1-2, Test 1-3 N/A for Test 1-4
Maximum number of HARQ transmission			4 for Test 1-1, Test 1-2, Test 1-3 Disabled for all HARQ processes for Test 1-4

Table 8.2.1.2.2.1.1-3: Minimum performance for Rank 1

Test num.	Reference channel	Bandwidth (MHz) / Subcarrier spacing (kHz)	Modulation format and code rate	Propagation condition	Correlation matrix and antenna configuration	Reference value	
						Fraction of maximum throughput (%)	SNR (dB)
1-1	R.PDSCH.1-1.1 FDD	10 / 15	QPSK, 0.30	NTN-TDLA100-200	1x2, ULA Low	70	0.3
1-2	R.PDSCH.1-2.1 FDD	10 / 15	16QAM, 0.48	NTN-TDLC5-200	1x2, ULA Low	70	7.6
1-3	R.PDSCH.1-1.1 FDD	10 / 15	QPSK, 0.30	NTN-TDLC5-200	1x2, ULA Low	70	-0.4
1-4	R.PDSCH.1-1.1 FDD	10 / 15	QPSK, 0.30	NTN-TDLA100-200	1x2, ULA Low	70*	1.1

## 8.3 CSI reporting requirements

[To be updated]

# 9 Radiated transmitter characteristics

[Editor note: All the tables might be removed based on the conclusion how to capture the regulatory requirement for NTN VSAT.]

## 9.1 General

Unless otherwise stated, the transmitter characteristics are specified over the air (OTA) with a single or multiple transmit chains.

## 9.2 Transmitter power

### 9.2.1 UE maximum output power

#### 9.2.1.0 General

The UE classes are specified based on the assumptions of certain UE types with specific device architectures including antenna beam steering types. The requirements are specified for different UE types. And for the the hybrid beam steering capable UE, which can adjust its antenna(s) or beam(s) in both electronic steering and mechanical steering ways, the applicable requirements should follow either electronic or mechanical beam steering requirements depending on the UE type it declared. The UE types can be found in Table 9.2.1.0-1 below.

**Table 9.2.1.0-1: Assumptions of UE Types**

UE class	UE type	Type description
Fixed VSAT	1	Fixed VSAT communicating with GSO and LEO with mechanical steering antenna.
	2	Fixed VSAT communicating with GSO and LEO with electronic steering antenna.
	3	Fixed VSAT communicating with LEO only with electronic steering antenna.
Mobile VSAT	4	Mobile VSAT communicating with GSO with mechanical steering antenna.
	5	Mobile VSAT communicating with GSO with electronic steering antenna.
Note 1: The UE types are assuming UE has only one antenna beam towards one satellite at a given time in this release.		
Note 2: The Mobile VSAT communicating with non-GSO is not considered in this release.		

#### 9.2.1.1 Minimum requirements for Fixed VSAT

The following requirements define the maximum output power radiated by the UE for any transmission bandwidth within the channel bandwidth for non-CA configuration, unless otherwise stated. The period of measurement shall be at least one sub frame (1ms). The minimum output power values for EIRP are found in Table 9.2.1.1-1. The requirement is verified with the test metric of EIRP (Link=TX beam peak direction, Meas=Link angle).

**Table 9.2.1.1-1: UE minimum peak EIRP for Fixed VSAT**

Operating band	UE Type	Min peak EIRP (dBm)
n512, n511, n510	1	70
	2	70
	3	61
Note: Minimum peak EIRP is defined as the lower limit without tolerance.		

The maximum output power values for EIRP are found in Table 9.2.1.1-2 below.



**Table 9.2.1.1-2: UE maximum output power limits for Fixed VSAT**

Operating band	Max EIRP (dBm)
n512, n511, n510	76.2

The maximum output power values for TRP are TBD, FFS how to specify them.

Note: The maximum TRP limit for UE should also follow the regulatory requirements, including both ECC and FCC requirements.

### 9.2.1.2 Minimum requirements for Mobile VSAT

The following requirements define the maximum output power radiated by the UE for any transmission bandwidth within the channel bandwidth for non-CA configuration, unless otherwise stated. The period of measurement shall be at least one sub frame (1ms). The minimum output power values for EIRP are found in Table 9.2.1.2-1. The requirement is verified with the test metric of EIRP (Link=TX beam peak direction, Meas=Link angle).

**Table 9.2.1.2-1: UE minimum peak EIRP for Mobile VSAT**

Operating band	UE Type	Min peak EIRP (dBm)
n512, n511	4	70
	5	70

Note: Minimum peak EIRP is defined as the lower limit without tolerance.

The maximum output power values for EIRP are found in Table 9.2.1.2-2 below.

**Table 9.2.1.2-2: UE maximum output power limits for Mobile VSAT**

Operating band	Max EIRP (dBm)
n512, n511	76.2

The maximum output power values for TRP are TBD, FFS how to specify them.

Note: The maximum TRP limit for UE should also follow the regulatory requirements, including both ECC and FCC requirements.

## 9.2.2 Off-axis EIRP limit

### 9.2.2.1 General

The Off-axis EIRP density envelope is applicable within the band to FR2-NTN UE transmitting to a SAN.

### 9.2.2.2 Minimum requirement for bands n510 and n511

For co-polarized transmissions in the plane tangent to the GSO arc, the requirements specified in table 9.2.2.2-1 apply to fixed VSAT and mobile VSAT.

**Table 9.2.2.2-1: Off-axis EIRP density limits for co-polarized transmissions in the plane tangent to the GSO arc**

$\theta$ value	Maximum Off-axis EIRP (dBm)	Measurement bandwidth (MHz)
$2.0^\circ \leq \theta \leq 7^\circ$	$62.5 - 25\log(\theta)$	1
$7^\circ \leq \theta \leq 9.2^\circ$	41.5	1
$9.2^\circ \leq \theta \leq 19.1^\circ$	$65.5 - 25\log(\theta)$	1
$19.1^\circ < \theta \leq 180^\circ$	33.5	1

For co-polarized transmissions in the plane perpendicular to the GSO arc, the requirements specified in table 9.2.2.2-2 apply to fixed VSAT and mobile VSAT.

**Table 9.2.2.2-2: Off-axis EIRP density limits for co-polarized transmissions in the plane perpendicular to the GSO arc**

$\theta$ value	Maximum Off-axis EIRP (dBm)	Measurement bandwidth (MHz)
$3.5^\circ \leq \theta \leq 7^\circ$	$65.5 - 25\log(\theta)$	1
$7^\circ \leq \theta \leq 9.2^\circ$	44.5	1
$9.2^\circ \leq \theta \leq 19.1^\circ$	$68.5 - 25\log(\theta)$	1
$19.1^\circ < \theta \leq 180^\circ$	36.5	1

The EIRP density levels specified in table 9.2.2.2-1 and table 9.2.2.2-2 may be exceeded by up to 3 dB, for values of  $\theta > 7^\circ$ , over 10% of the range of theta ( $\theta$ ) angles from 7–180° on each side of the line from the NTN UE to the target SAN.

For cross-polarized transmissions in the plane tangent to the GSO arc and in the plane perpendicular to the GSO arc, the requirements specified in table 9.2.2.2-3 apply to fixed VSAT and mobile VSAT.

**Table 9.2.2.2-2: Off-axis EIRP density limits for cross-polarized transmissions in the plane tangent to the GSO arc and in the plane perpendicular to the GSO arc**

$\theta$ value	Maximum Off-axis EIRP (dBm)	Measurement bandwidth (MHz)
$2.0^\circ \leq \theta \leq 7^\circ$	$52.5 - 25\log(\theta)$	1

### 9.2.2.3 Minimum requirement for band n512

#### 9.2.2.3.1 Fixed VSAT

For co-polarized transmissions, the requirements specified in table 9.2.2.3.1-1 apply to fixed VSAT.

**Table 9.2.2.3.1-1: Off-axis EIRP density limits for co-polarized transmissions**

$\theta$ value	Maximum Off-axis EIRP (dBm)	Measurement bandwidth (kHz)
$1.8^\circ \leq \theta \leq 7^\circ$	$49 - 25\log(\theta)$	40
$7^\circ \leq \theta \leq 9.2^\circ$	28	40
$9.2^\circ \leq \theta \leq 48^\circ$	$52 - 25\log(\theta)$	40
$48^\circ < \theta \leq 180^\circ$	20	40

For cross-polarized transmissions, the requirements specified in table 9.2.2.3.1-2 apply to fixed VSAT.

**Table 9.2.2.3.1-2: Off-axis EIRP density limits for cross-polarized transmissions**

$\theta$ value	Maximum Off-axis EIRP (dBm)	Measurement bandwidth (kHz)
$1.8^\circ \leq \theta \leq 7^\circ$	$39 - 25\log(\theta)$	40
$7^\circ \leq \theta \leq 9.2^\circ$	18	40

#### 9.2.2.3.2 Mobile VSAT

For co-polarized transmissions, the requirements specified in table 9.2.2.3.2-1 apply to mobile VSAT.

**Table 9.2.2.3.2-1: Off-axis EIRP density limits for co-polarized transmissions**

$\theta$ value	Maximum Off-axis EIRP (dBm)	Measurement bandwidth (kHz)
$2.0^\circ \leq \theta \leq 7^\circ$	$49 - 25\log(\theta)$	40
$7^\circ \leq \theta \leq 9.2^\circ$	28	40
$9.2^\circ \leq \theta \leq 48^\circ$	$52 - 25\log(\theta)$	40
$48^\circ < \theta \leq 180^\circ$	20	40

For cross-polarized transmissions, the requirements specified in table 9.2.2.3.2-2 apply to mobile VSAT.

**Table 9.2.2.3.2-2: Off-axis EIRP density limits for cross-polarized transmissions**

$\theta$ value	Maximum Off-axis EIRP (dBm)	Measurement bandwidth (kHz)
$2.0^\circ \leq \theta \leq 7^\circ$	$39 - 25\log(\theta)$	40
$7^\circ \leq \theta \leq 9.2^\circ$	18	40

### 9.2.2.3.3 Additional Off-axis EIRP density requirements for protection of fixed services

For uncoordinated NTN fixed VSAT and for NTN mobile VSAT, the requirements specified in table 9.2.2.3.3-1 apply.

**Table 9.2.2.3.3-1: Off-axis EIRP density limits for protection of fixed services**

Frequency Range (GHz)	Maximum Off-axis EIRP (dBm)	Measurement bandwidth (MHz)
27.8285—28.4445	-5	1
28.8365 – 28.9485 (NOTE1)		
28.9485 – 29.4525		
NOTE1: When applicable, if this frequency range is allocated to fixed service.		

## 9.2.3 Configured transmitted power

The NTN UE can configure its maximum output power. The configured NTN UE maximum output power  $P_{\text{CMAX},f,c}$  for carrier  $f$  of a serving cell  $c$  is defined as that available to the reference point of a given transmitter branch that corresponds to the reference point of the higher-layer filtered RSRP measurement as specified in TS 38.215 [11].

The configured NTN UE maximum output power  $P_{\text{CMAX},f,c}$  for carrier  $f$  of a serving cell  $c$  shall be set such that the corresponding measured peak EIRP  $P_{\text{UMAX},f,c}$  is within the following bounds

$$P_{\text{UEType}} \leq P_{\text{UMAX},f,c} \leq \text{EIRP}_{\text{max}}$$

while the corresponding measured total radiated power  $P_{\text{TMAX},f,c}$  is bounded by the maximum TRP limit for UE defined in sub-clause 9.2.1.

with  $P_{\text{UEType}}$  is the NTN UE minimum peak EIRP as specified in sub-clause 9.2.1,  $\text{EIRP}_{\text{max}}$  the applicable maximum EIRP as specified in sub-clause 9.2.1. The requirement is verified in beam peak direction.

The tolerance  $T(\Delta P)$  for applicable values of  $\Delta P$  (values in dB) is specified in Table 9.2.3-1.

**Table 9.2.3-1:  $P_{UMAX,f,c}$  tolerance for FR2-NTN**

Operating Band	$\Delta P$ (dB)	Tolerance $T(\Delta P)$ (dB)
n510, n511, n512	$[\Delta P = 0]$	[0]
	$[0 < \Delta P \leq 2]$	[1.5]
	$[2 < \Delta P \leq 3]$	[2.0]
	$[3 < \Delta P \leq 4]$	[3.0]
	$[4 < \Delta P \leq 5]$	[4.0]
	$[5 < \Delta P \leq 10]$	[5.0]
	$[10 < \Delta P \leq 15]$	[7.0]
	$[15 < \Delta P \leq X]$	[8.0]
NOTE: X is the value such that $P_{umax,f,c}$ lower bound, $P_{UETType} - \Delta P - T(\Delta P)$ = minimum output power specified in clause 9.2.1		

## 9.3 Output power dynamics

### 9.3.1 Minimum output power

#### 9.3.1.0 General

The minimum controlled output power of the VSAT is defined as the EIRP in the channel bandwidth for all transmit bandwidth configurations (resource blocks) when the power is set to a minimum value.

The minimum output power is defined as the mean power in at least one sub frame (1ms).

#### 9.3.1.1 Minimum output power for Mobile VSAT

For mobile VSAT, the minimum output power shall not exceed the values specified in Table 9.3.1.1-1 for each operating band supported. The minimum power is verified in beam locked mode with the test metric of EIRP (Link=TX beam peak direction, Meas=Link angle).

**Table 9.3.1.1-1: Minimum output power for mobile VAST type 4 and type 5**

Operating band	Channel bandwidth (MHz)	Minimum output power (dBm)	Measurement bandwidth (MHz)
n512, n511	50	[TBD]	47.58
	100	[TBD]	95.16
	200	[TBD]	190.20
	400	[TBD]	380.28

#### 9.3.1.2 Minimum output power for Fixed VSAT

For fixed VSAT, the minimum output power shall not exceed the values specified in Table 9.3.1.2-1 for each operating band supported. The minimum power is verified in beam locked mode with the test metric of EIRP (Link=TX beam peak direction, Meas=Link angle).

**Table 9.3.1.2-1: Minimum output power for fixed VAST type 1, type 2 and type 3**

Operating band	Channel bandwidth (MHz)	Minimum output power (dBm)	Measurement bandwidth (MHz)
n512, n511, n510	50	[TBD]	47.58
	100	[TBD]	95.16
	200	[TBD]	190.20
	400	[TBD]	380.28

## 9.3.2 Transmit OFF power

### 9.3.2.1 General

The transmit OFF power is defined as the TRP in the channel bandwidth when the transmitter is OFF. The transmitter is considered OFF when the UE is not allowed to transmit on any of its ports.

### 9.3.2.2 Minimum output power for Mobile VSAT

The transmit OFF power shall not exceed the values specified in Table 9.3.2.2-1 for each operating band supported. The requirement is verified with the test metric of TRP (Link=TX beam peak direction, Meas=TRP grid).

**Table 9.3.2.2-1: Transmit OFF power**

Operating band	Channel bandwidth / Transmit OFF power (dBm) / measurement bandwidth			
	50 MHz	100 MHz	200 MHz	400 MHz
n512, n511	[-35]	[-35]	[-35]	[-35]
	47.58 MHz	95.16 MHz	190.20 MHz	380.28 MHz

### 9.3.2.3 Minimum output power for Fixed VSAT

The transmit OFF power shall not exceed the values specified in Table 9.3.2.2-1 for each operating band supported. The requirement is verified with the test metric of TRP (Link=TX beam peak direction, Meas=TRP grid).

**Table 9.3.2.2-1: Transmit OFF power**

Operating band	Channel bandwidth / Transmit OFF power (dBm) / measurement bandwidth			
	50 MHz	100 MHz	200 MHz	400 MHz
n512, n511, n510	[-35]	[-35]	[-35]	[-35]
	47.58 MHz	95.16 MHz	190.20 MHz	380.28 MHz

## 9.3.3 Transmit ON/OFF time mask

### 9.3.3.1 General

The transmit ON/OFF time mask defines the transient period(s) allowed

- between transmit OFF power and transmit ON power symbols (transmit ON/OFF)
- between continuous ON-power transmissions when power change or RB hopping is applied.

In case of RB hopping, transition period is shared symmetrically.

Unless otherwise stated the minimum requirements in clause 9.5 apply also in transient periods.

The transmit ON/OFF time mask is defined as a directional requirement. The requirement is verified in beam locked mode at beam peak direction. The maximum allowed EIRP OFF power level is [-30dBm] at beam peak direction. The requirement is verified with the test metric of EIRP (Link=TX beam peak direction, Meas=Link angle).

In the following sub-clauses, following definitions apply:

- A slot transmission is a Type A transmission.
- A long subslot transmission is a Type B transmission with more than 2 symbols.
- A short subslot transmission is a Type B transmission with 1 or 2 symbols.

### 9.3.3.2 General ON/OFF time mask

The general ON/OFF time mask defines the observation period allowed between transmit OFF and ON power. ON/OFF scenarios include: contiguous, and non-contiguous transmission, etc

The OFF power measurement period is defined in a duration of at least one slot excluding any transient periods. The ON power is defined as the mean power over one slot excluding any transient period.



**Figure 9.3.3.2-1: General ON/OFF time mask for NR UL transmission in FR2-NTN**

### 9.3.3.3 Transmit power time mask for slot and short or long subslot boundaries

The transmit power time mask for slot and a long subslot transmission boundaries defines the transient periods allowed between slot and long subslot PUSCH transmissions. For PUSCH-PUCCH and PUSCH-SRS transitions and multiplexing the time masks in sub-clause 9.3.3.7 apply.

The transmit power time mask for slot or long subslot and short subslot transmission boundaries defines the transient periods allowed between slot or long subslot and short subslot transmissions. The time masks in sub-clause 9.3.3.8 apply.

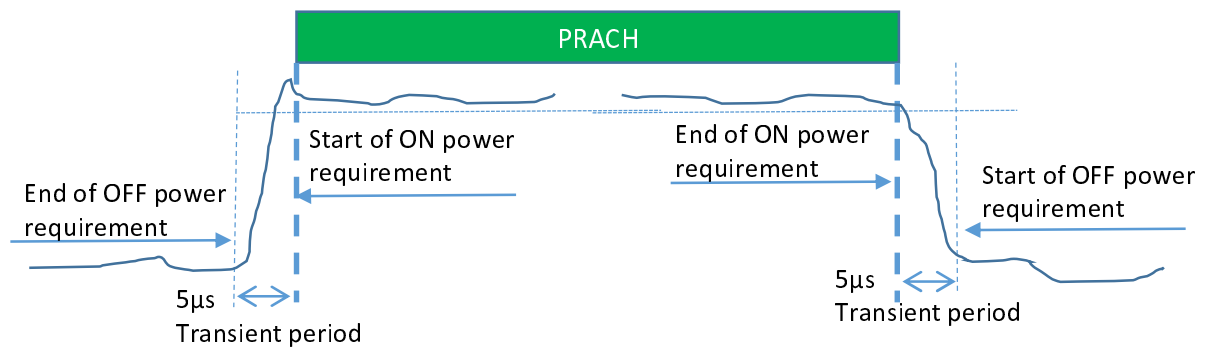
The transmit power time mask for short subslot transmission boundaries defines the transient periods allowed between short subslot transmissions. The time masks in sub-clause 9.3.3.9 apply.

### 9.3.3.4 PRACH time mask

The PRACH ON power is specified as the mean power over the PRACH measurement period excluding any transient periods as shown in Figure 9.3.3.4-1. The measurement period for different PRACH preamble format is specified in Table 9.3.3.4-1.

**Table 9.3.3.4-1: PRACH ON power measurement period**

Format	SCS	Measurement period
A <sub>1</sub>	60 kHz	0.035677 ms
	120 kHz	0.017839 ms
A <sub>2</sub>	60 kHz	0.071354 ms
	120 kHz	0.035677 ms
A <sub>3</sub>	60 kHz	0.107031 ms
	120 kHz	0.053516 ms
B <sub>1</sub>	60 kHz	0.035091 ms
	120 kHz	0.0175455 ms
B <sub>4</sub>	60 kHz	0.207617 ms
	120 kHz	0.103809 ms
A <sub>1</sub> /B <sub>1</sub>	60 kHz	0.035677 ms for front X1 occasion 0.035091 ms for last occasion X1 = [2,5]
		120 kHz
A <sub>2</sub> /B <sub>2</sub>	60 kHz	0.071354 ms for front X2 occasion 0.069596 ms for last occasion X2 = [1,2]
		120 kHz
A <sub>3</sub> /B <sub>3</sub>	60 kHz	0.107031 ms for first occasion 0.104101 ms for second occasion
		120 kHz
C <sub>0</sub>	60 kHz	0.026758 ms
	120 kHz	0.013379 ms
C <sub>2</sub>	60 kHz	0.083333 ms
	120 kHz	0.0416667 ms
NOTE: For PRACH on PRACH occasion start from begin of 0ms or 0.5 ms boundary, the measurement period will plus 0.032552 μs		

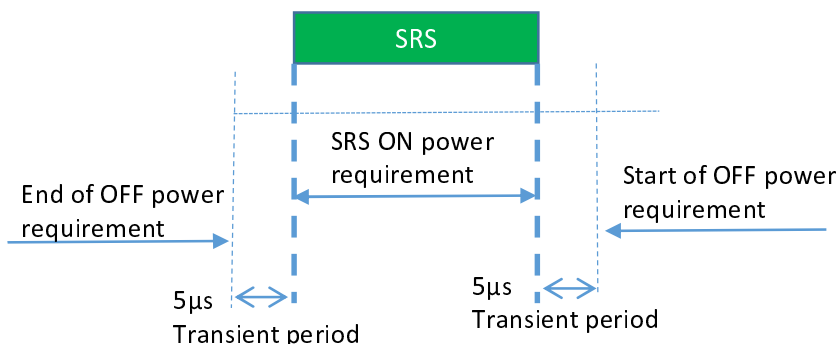


**Figure 9.3.3.4-1: PRACH ON/OFF time mask**

9.3.3.5 Void

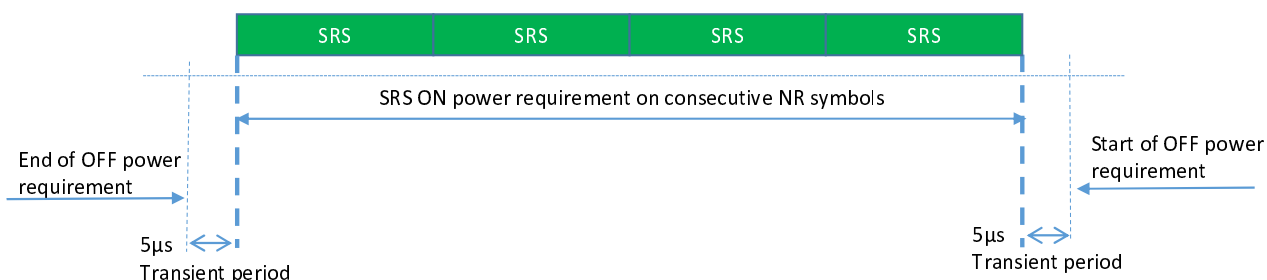
9.3.3.6 SRS time mask

In the case a single SRS transmission, the ON power is defined as the mean power over the symbol duration excluding any transient period; Figure 9.3.3.6-1.



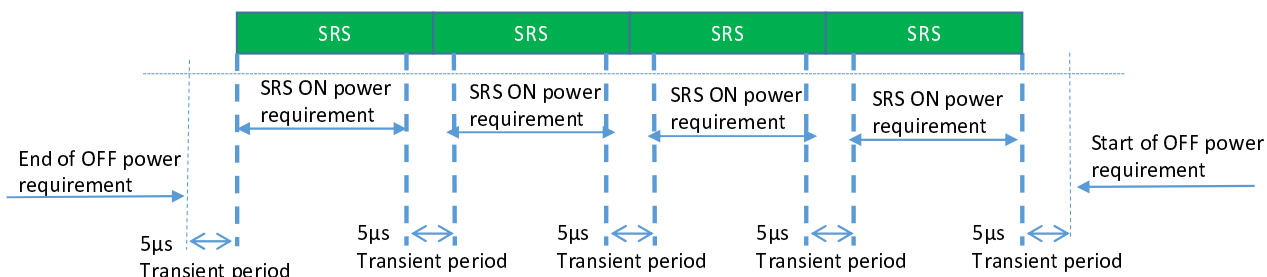
**Figure 9.3.3.6-1: Single SRS time mask for NR UL transmission**

In the case multiple consecutive SRS transmission, the ON power is defined as the mean power for each symbol duration excluding any transient period. See Figure 7.7.4-2

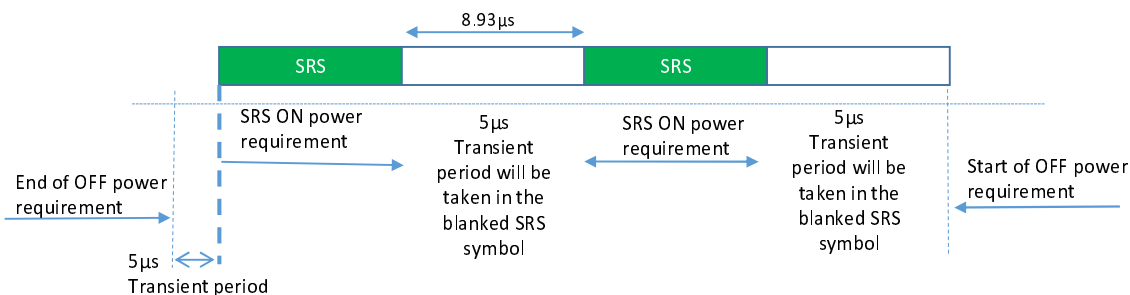


**Figure 9.3.3.6-2: Consecutive SRS time mask for the case when no power change is required**

When power change between consecutive SRS transmissions is required, then Figure 9.3.3.6-3 and Figure 9.3.3.6-4 apply.



**Figure 9.3.3.6-3: Consecutive SRS time mask for the case when power change is required and when 60kHz SCS is used in FR2**

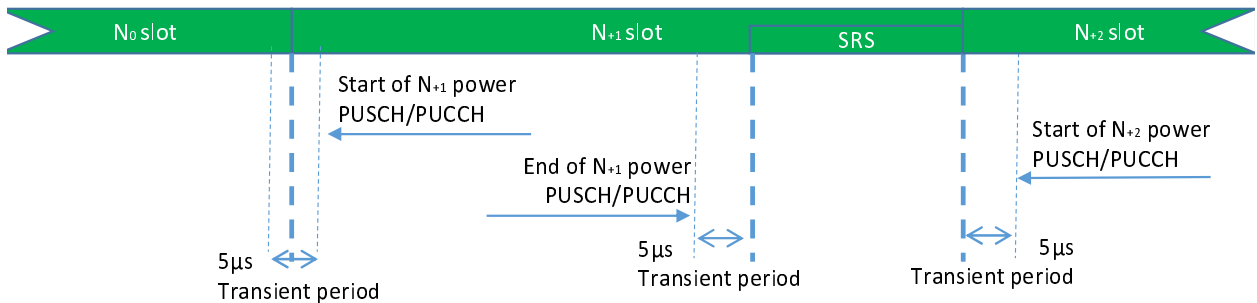


**Figure 9.3.3.6-4: Consecutive SRS time mask for the case when power change is required and when 120kHz SCS is used in FR2**



### 9.3.3.7 PUSCH-PUCCH and PUSCH-SRS time masks

The PUCCH/PUSCH/SRS time mask defines the observation period between sounding reference symbol (SRS) and an adjacent PUSCH/PUCCH symbol and subsequent UL transmissions. The time masks apply for all types of frame structures and their allowed PUCCH/PUSCH/SRS transmissions unless otherwise stated.

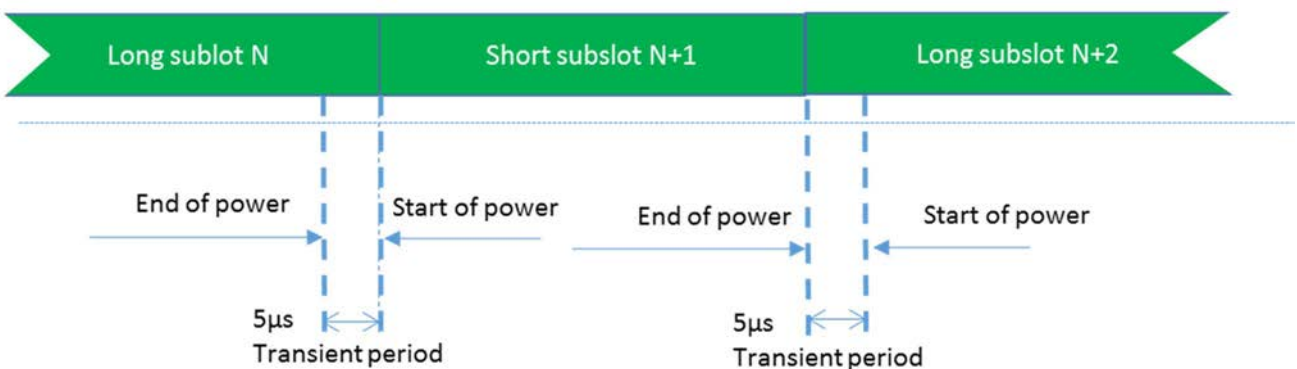


**Figure 9.3.3.7-1: PUCCH/PUSCH/SRS time mask when there is a transmission before or after or both before and after SRS**

When there is no transmission preceding SRS transmission or succeeding SRS transmission, then the same time mask applies as shown in Figure 9.3.3.7-1.

### 9.3.3.8 Transmit power time mask for consecutive slot or long subslot transmission and short subslot transmission boundaries

The transmit power time mask for consecutive slot or long subslot transmission and short subslot transmission boundaries defines the transient periods allowed between such transmissions.



**Figure 9.3.3.8-1: Consecutive slot or long subslot transmission and short subslot transmission time mask**

### 9.3.3.9 Transmit power time mask for consecutive short subslot transmissions boundaries

The transmit power time mask for consecutive short subslot transmission boundaries defines the transient periods allowed between short subslot transmissions.

The transient period shall be equally shared as shown on Figure 9.3.3.9-1.

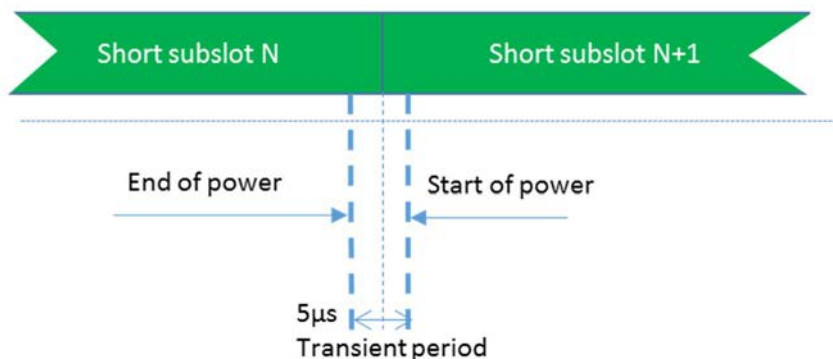


Figure 9.3.3.9-1: Consecutive short subslot transmissions time mask where DMRS is not the first symbol in the adjacent short subslot transmission

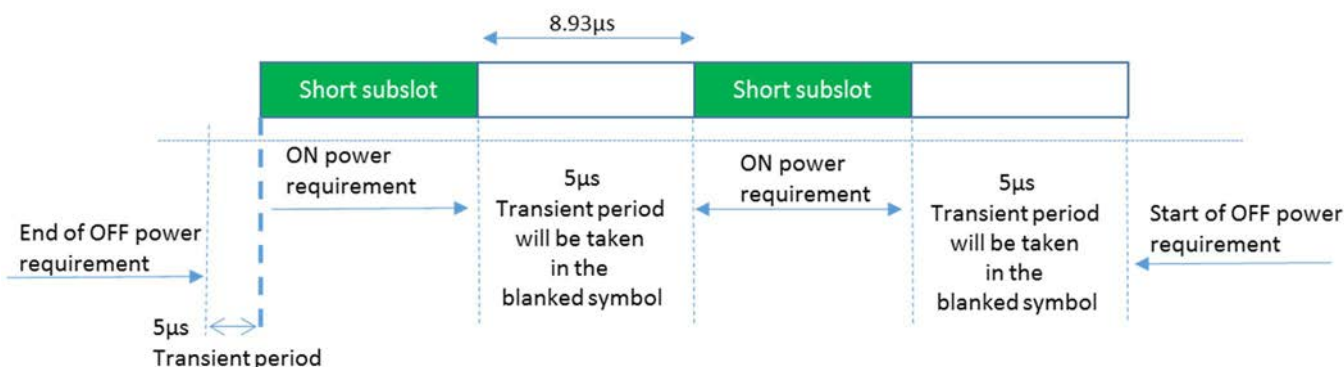


Figure 9.3.3.9-2: Consecutive short subslot (1 symbol gap) time mask for the case when transient period is required on both sides of the symbol and when 120 kHz SCS is used in FR2

### 9.3.4 Power control

#### 9.3.4.1 General

The requirements on power control accuracy apply under normal conditions and are defined as a directional requirement. The requirements are verified in beam locked mode on beam peak direction.

#### 9.3.4.2 Absolute power tolerance

The absolute power tolerance is the ability of the UE transmitter to set its initial output power to a specific value for the first sub-frame (1 ms) at the start of a contiguous transmission or non-contiguous transmission with a transmission gap larger than 20 ms. The tolerance includes the channel estimation error RSRP estimate.

The minimum requirements specified in Table 9.3.4.2-1 apply in the power range bounded by the minimum output power as specified in sub-clause 9.3.1 (' $P_{min}$ ') and the maximum output power as specified in sub-clause 9.2.1 as minimum peak EIRP (' $P_{max}$ '). The intermediate power point ' $P_{int}$ ' is defined in table 9.3.4.2-2.

Table 9.3.4.2-1: Absolute power tolerance

Power Range	Tolerance
$P_{int} \geq P \geq P_{min}$	[± 14.0 dB]
$P_{max} \geq P > P_{int}$	[± 12.0 dB]

**Table 9.3.4.2-2: Intermediate power point**

Power Parameter	Value
$P_{int}$	$[P_{max} - 12.0 \text{ dB}]$

### 9.3.4.3 Relative power tolerance

The relative power tolerance is the ability of the UE transmitter to set its output power in a target sub-frame (1 ms) relatively to the power of the most recently transmitted reference sub-frame (1 ms) if the transmission gap between these sub-frames is less than or equal to 20 ms.

The minimum requirements specified in Table 9.3.4.3-1 apply when the power of the target and reference sub-frames are within the power range bounded by the minimum output power as defined in sub-clause 9.3.1 and  $P_{int}$  as defined in sub-clause 9.3.4.2. The minimum requirements specified in Table 9.3.4.3-2 apply when the power of the target and reference sub-frames are within the power range bounded by  $P_{int}$  as defined in sub-clause 9.3.4.2 and the measured  $P_{UMAX}$  as defined in sub-clause 9.2.4.

For a test pattern that is either a monotonically increasing or monotonically decreasing power sweep over the range specified for Tables 9.3.4.3-1 and 9.3.4.3-2, 3 exceptions are allowed for each of the test patterns. For these exceptions, the power tolerance limit is a maximum of  $\pm 11.0$  dB.

**Table 9.3.4.3-1: Relative power tolerance,  $P_{int} \geq P \geq P_{min}$** 

Power step $\Delta P$ (Up or down) (dB)	All combinations of PUSCH and PUCCH, PUSCH/PUCCH and SRS transitions between sub-frames, PRACH (dB)
$\Delta P < 2$	$[\pm 5.0]$
$2 \leq \Delta P < 3$	$[\pm 6.0]$
$3 \leq \Delta P < 4$	$[\pm 7.0]$
$4 \leq \Delta P < 10$	$[\pm 8.0]$
$10 \leq \Delta P < 15$	$[\pm 10.0]$
$15 \leq \Delta P$	$[\pm 11.0]$
NOTE: The requirements apply with <i>ue-BeamLockFunction</i> enabled.	

**Table 9.3.4.3-2: Relative power tolerance,  $P_{UMAX} \geq P > P_{int}$** 

Power step $\Delta P$ (Up or down) (dB)	All combinations of PUSCH and PUCCH, PUSCH/PUCCH and SRS transitions between sub-frames, PRACH (dB)
$\Delta P < 2$	$[\pm 3.0]$
$2 \leq \Delta P < 3$	$[\pm 4.0]$
$3 \leq \Delta P < 4$	$[\pm 5.0]$
$4 \leq \Delta P < 10$	$[\pm 6.0]$
$10 \leq \Delta P < 15$	$[\pm 8.0]$
$15 \leq \Delta P$	$[\pm 9.0]$
NOTE 1: The requirements apply with <i>ue-BeamLockFunction</i> enabled.	
NOTE 2: For PUSCH to PUSCH transitions with the allocated resource blocks fixed in frequency and no transmission gaps other than those generated by downlink subframes, guard periods: for a power step $\Delta P = 1$ dB, the relative power tolerance for transmission is $\pm 1.0$ dB.	

### 9.3.4.4 Aggregate power tolerance

The aggregate power control tolerance is the ability of the UE transmitter to maintain its power in a sub-frame (1 ms) during non-contiguous transmissions within 21ms in response to 0 dB TPC commands with respect to the first UE transmission and all other power control parameters as specified in 38.213 kept constant.

The minimum requirements specified in Table 9.3.4.4-1 apply when the power of the target and reference sub-frames are within the power range bounded by the minimum output power as defined in sub-clause 9.3.1 and  $P_{\text{int}}$  as defined in sub-clause 9.3.4.2. The minimum requirements specified in Table 9.3.4.4-2 apply when the power of the target and reference sub-frames are within the power range bounded by  $P_{\text{int}}$  as defined in sub-clause 9.3.4.2 and the maximum output power as specified in sub-clause 9.2.1.

**Table 9.3.4.4-1: Aggregate power tolerance,  $P_{\text{int}} \geq P \geq P_{\text{min}}$**

TPC command	UL channel	Aggregate power tolerance within 21 ms
0 dB	PUCCH	[± 5.5 dB]
0 dB	PUSCH	[± 5.5 dB]

**Table 9.3.4.4-2: Aggregate power tolerance,  $P_{\text{max}} \geq P > P_{\text{int}}$**

TPC command	UL channel	Aggregate power tolerance within 21 ms
0 dB	PUCCH	[± 3.5 dB]
0 dB	PUSCH	[± 3.5 dB]

## 9.4 Transmitter signal quality

### 9.4.1 Frequency Error

The VSAT UE basic measurement interval of modulated carrier frequency is 1 UL slot. The VSAT UE pre-compensates the uplink modulated carrier frequency by the estimated Doppler shift according to 3GPP TS 38.300 [9] clause 16.14.2. The mean value of basic measurements of VSAT UE modulated carrier frequency shall be accurate to within ± 0.1 PPM observed over a period of 1 ms of cumulated measurement intervals compared to ideally pre-compensated reference uplink carrier frequency.

[NOTE: The ideally pre-compensated reference uplink carrier frequency consists of the UL carrier frequency signalled to the VSAT UE by SAN and UL pre-compensated Doppler frequency shift. For the test case, the location of the VSAT UE is explicitly provided to the VSAT UE from the test equipment.]

Requirement will be verified for at least two cases of which one has zero Doppler conditions.

The frequency error is defined as a directional requirement. The requirement is verified in beam locked mode with the test metric of Frequency (Link=TX beam peak direction, Meas=Link angle).

### 9.4.2 Transmit modulation quality

The requirements for transmit modulation quality defined in 3GPP TS 38.101-2 [15] clause 6.4.2 except clause 6.4.2.6 shall apply for VSAT UE.

## 9.5 Output RF spectrum emissions

### 9.5.1 Occupied bandwidth

Occupied bandwidth is defined as the bandwidth containing 99 % of the total integrated mean power of the transmitted spectrum on the assigned channel. The occupied bandwidth for all transmission bandwidth configurations (Resources Blocks) shall be less than the channel bandwidth specified in Table 9.5.1-1.

The occupied bandwidth is defined as a directional requirement. The requirement is verified in beam locked mode with the test metric of OBW (Link=TX beam peak direction, Meas=Link angle).

**Table 9.5.1-1: Occupied channel bandwidth**

	Occupied channel bandwidth / Channel bandwidth			
	50 MHz	100 MHz	200 MHz	400 MHz
Channel bandwidth (MHz)	50	100	200	400

## 9.5.2 Out of Band Emissions

### 9.5.2.1 General

The Out of band emissions are unwanted emissions immediately outside the assigned channel bandwidth resulting from the modulation process and non-linearity in the transmitter but excluding spurious emissions. This out of band emission limit is specified in terms of a spectrum emission mask and an adjacent channel leakage power ratio. Additional requirements to protect specific bands are also considered.

The requirements in clause 9.5.2.2 only apply when both UL and DL of a VSAT UE are configured for single CC operation, and they are of the same bandwidth.

All out of band emissions for FR2-NTN are TRP.

### 9.5.2.2 Spectrum emission mask

The spectrum emission mask of the VSAT UE applies to frequencies starting from the ± edge of the assigned NR channel bandwidth.

#### 9.5.2.2.1 General NR spectrum emission mask

The power of any VSAT UE emission shall not exceed the levels specified in Table 9.5.2.2-1 for the specified channel bandwidth. The requirement is verified in beam locked mode with the test metric of TRP (Link=TX beam peak direction, Meas=TRP grid).

**Table 9.5.2.2.1-1: General NR spectrum emission mask for NTN-FR2**

Frequency offset of measurement filter -3dB point, Δf	Frequency offset of measurement filter centre frequency, f_offset	Basic limits (dBm)	Measurement bandwidth
0 MHz ≤ Δf < 2x BW	0.5 MHz ≤ f_offset < 2x BW + 0.5 MHz	$\max\left(SE\ limit, P_{rated,UE} - 10\log_{10}(BW) - 40 \times \log_{10}\left(\frac{f_{offset} - 0.5}{BW} \times 2 + 1\right)\right) dBm$	1 MHz
NOTE 1: P <sub>rated,UE</sub> is TRP for VSAT UE; NOTE 2: Transmission BW is in the unit of MHz; NOTE 3: SE limit 11dBm/1MHz is spurious emission limit specified in spurious emission clause 9.5.3, and is converted from the SE limit requirement defined on 4 kHz to a value defined over 1 MHz; NOTE 4: PSD attenuation as in ITU-R SM.1541-6 [6], Annex 5 OoB domain emission limits for earth stations.			

#### 9.5.2.2.2 Additional spectrum emission mask

For bands n511 and n510 the mean power of emissions shall be attenuated below the mean output power of the transmitter (measured in dBm) in accordance with [FCC 25.202].

The power of any VSAT UE emission shall not exceed the levels specified in Table 9.5.2.2.2-1 for the specified channel bandwidth. The requirement is verified in beam locked mode with the test metric of TRP (Link=TX beam peak direction, Meas=TRP grid).

Table 9.5.2.2-1: Additional spectrum emission mask

Frequency offset of measurement filter centre frequency, $f_{\text{offset}}$	Basic limits (dBm)	Measurement bandwidth
$0.002\text{MHz} + 0.5 \times \text{BW} \leq f_{\text{offset}} < 1 \times \text{BW} - 0.002\text{MHz}$	$P_{\text{rated,UE}}(\text{dBm}) - 25 \text{ dB}$	4 kHz
$0.002\text{MHz} + 1 \times \text{BW} \leq f_{\text{offset}} < 2.5 \times \text{BW} - 0.002\text{MHz}$	$P_{\text{rated,UE}}(\text{dBm}) - 35 \text{ dB}$	4 kHz
$0.002\text{MHz} + 2.5 \times \text{BW} \leq f_{\text{offset}} < 2^{\text{nd}}$ harmonic of the upper frequency edge of the UL operating band in GHz	-13 dBm	4 kHz
NOTE 1: $P_{\text{rated,UE}}$ is TRP for VSAT UE; NOTE 2: Transmission BW is in the unit of MHz; NOTE 3: <i>Measurement bandwidths</i> as in ITU-R SM.329 [16], s4.1. NOTE 4: Upper frequency as in ITU-R SM.329 [16], s2.5 table 1.		

### 9.5.2.3 Adjacent channel leakage ratio

Adjacent Channel Leakage power Ratio (ACLR) is the ratio of the filtered mean power centred on the assigned channel frequency to the filtered mean power centred on an adjacent channel frequency. ACLR requirement is specified for a scenario in which adjacent carrier is another NR channel.

NR Adjacent Channel Leakage power Ratio ( $\text{NR}_{\text{ACLR}}$ ) is the ratio of the filtered mean power centred on the assigned channel frequency to the filtered mean power centred on an adjacent channel frequency at nominal channel spacing. The assigned NR channel power and adjacent NR channel power are measured with rectangular filters with measurement bandwidths specified in Table 9.5.2.3-1 for FR2-NTN.

If the measured adjacent channel power is greater than  $-35 \text{ dBm}$  then the  $\text{NR}_{\text{ACLR}}$  shall be higher than the value specified in Table 9.5.2.3-1. The requirement is verified in beam locked mode with the test metric of TRP (Link=TX beam peak direction, Meas=TRP grid).

Table 9.5.2.3-1: General requirements for  $\text{NR}_{\text{ACLR}}$  for FR2-NTN

	Channel bandwidth / $\text{NR}_{\text{ACLR}}$ / Measurement bandwidth			
	50 MHz	100 MHz	200 MHz	400 MHz
$\text{NR}_{\text{ACLR}}$ for band n512, n511, n510	[14] dB	[14] dB	[14] dB	[14] dB
NR channel measurement bandwidth (MHz)	47.58	95.16	190.20	380.28
Adjacent channel centre frequency offset (MHz)	+50 / -50	+100 / -100	+200 / -200	+400 / -400

## 9.5.3 Spurious Emissions

### 9.5.3.1 General

Spurious emissions are emissions which are caused by unwanted transmitter effects such as harmonics emission, parasitic emissions, intermodulation products and frequency conversion products, but exclude out of band emissions unless otherwise stated. The spurious emission limits are specified in terms of general requirements in line with SM.329 [16] and NR operating band requirement to address UE co-existence. Spurious emissions are measured as TRP.

To improve measurement accuracy, sensitivity and efficiency, the resolution bandwidth may be smaller than the measurement bandwidth. When the resolution bandwidth is smaller than the measurement bandwidth, the result should be integrated over the measurement bandwidth in order to obtain the equivalent noise bandwidth of the measurement bandwidth.

Unless otherwise stated, the spurious emission limits apply for the frequency ranges that are more than  $F_{OOB}$  (MHz) in Table 9.5.3.1-1 starting from the edge of the assigned NR channel bandwidth. The spurious emission limits in Table 9.5.3.1-2 apply for all transmitter band configurations (NRB) and channel bandwidths. The requirement is verified in beam locked mode with the test metric of TRP (Link=TX beam peak direction, Meas=TRP grid).

NOTE: For measurement conditions at the edge of each frequency range, the lowest frequency of the measurement position in each frequency range should be set at the lowest boundary of the frequency range plus MBW/2. The highest frequency of the measurement position in each frequency range should be set at the highest boundary of the frequency range minus MBW/2. MBW denotes the measurement bandwidth defined for the protected band.

**Table 9.5.3.1-1: Boundary between NR out of band and spurious emission domain**

Channel bandwidth	50 MHz	100 MHz	200 MHz	400 MHz
OOB boundary $F_{OOB}$ (MHz)	100	200	400	800

**Table 9.5.3.1-2: Spurious emissions limits**

Frequency Range	Maximum Level	Measurement bandwidth
$30 \text{ MHz} \leq f \leq 2^{\text{nd}}$ harmonic of the upper frequency edge of the UL operating band in GHz	-13 dBm	4 kHz

### 9.5.3.2 On-axis spurious requirement

#### 9.5.3.2.1 Applicability

The On-axis spurious requirement is applicable to NTN VSAT operating in band n512. The On-axis spurious emissions are measured as EIRP.

These limits are applicable to the complete NTN VSAT equipment, including cabling between the units.

#### 9.5.3.2.2 “Emissions disabled” and “Carrier-off” states

The requirements specified in table 9.5.3.2.2-1 apply to NTN VSAT in “Emissions disabled” and “Carrier-off” states. They apply outside the transmission bandwidth.

**Table 9.5.3.2.2-1: On-axis spurious limits in “Emissions disabled” and “Carrier-off” states**

Frequency range (GHz)	EIRP Limit (dBm)	Measurement bandwidth (MHz)
27.5 – 30.0	19	1

#### 9.5.3.2.3 “Carrier-on” state

The requirements specified in tables 9.5.3.2.3-1 and 9.5.3.2.3-2 apply to NTN VSAT in “Carrier-on”.

The requirement specified in table requirements specified in table 9.5.3.2.3-1 apply outside a bandwidth of 5 times the occupied bandwidth centred on the carrier centre frequency.

The requirement specified in table requirements specified in table 9.5.3.2.3-2 apply inside a bandwidth of 5 times the occupied bandwidth centred on the carrier centre frequency, and outside the transmission bandwidth.

Note: The on-axis spurious radiations, outside the bands 27,5 GHz to 29,1 GHz and 29,5 GHz to 30,0 GHz, are indirectly limited by subclause 9.5.3.2.3. Consequently no specification is needed.

**Table 9.5.5.2.2.3-1: On-axis spurious limits in “Carrier-on” state - outside**

Frequency range (GHz)	EIRP Limit (dBm)	Measurement bandwidth (MHz)
27.5 – 30.0	44 - K (Note)	1
Note: K = 0 if only one NTN VSAT transmits at any one time on a given carrier frequency, see 4.2.2.2.1 in [15] if not.		

**Table 9.5.5.2.2.3-2: On-axis spurious limits in “Carrier-on” state - inside**

Frequency range (GHz)	EIRP Limit (dBm)	Measurement bandwidth (MHz)
27.5 – 30.0	58 - K (Note)	1
Note: K = 0 if only one NTN VSAT transmits at any one time on a given carrier frequency, see 4.2.2.2.1 in [15] if not.		

### 9.5.3.3 Off-axis spurious requirement

#### 9.5.3.3.1 Applicability

The Off-axis spurious requirement is applicable to NTN VSAT operating in band n512. The Off-axis spurious emissions are measured as EIRP.

These limits are applicable to the complete NTN VSAT equipment, including cabling between the units.

#### 9.5.3.3.2 General

The requirements specified in table 9.5.3.3.2-1 apply to NTN VSAT at 10 meters distance from the NTN VSAT.

**Table 9.5.3.3.2-1: Radiated field strength limits at 10m from the NTN VSAT**

Frequency range (MHz)	EIRP Limit (dBμV/m)	Measurement bandwidth (kHz)
30 – 230	30	120
230 – 1000	37	120

#### 9.5.3.3.3 “Emissions disabled” state

The requirements specified in table 9.5.3.3.3-1 apply to NTN VSAT in “Emissions disabled” state for all off-axis angles greater than 7° or greater than the minimum elevation angle supported, whichever is lower.

**Table 9.5.3.3.3-1: Off-axis spurious limits in “Emissions disabled” state**

Frequency range (GHz)	EIRP Limit (dBm)	Measurement bandwidth (kHz)
1.0 – 2.0	-48	100
2.0 – 10.7	-42	100
10.7 – 21.2	-36	100
21.2 – 60.0	-30	100

#### 9.5.3.3.4 “Carrier-on” and “Carrier-off” states

The requirements specified in table 9.5.3.3.4-1 apply to NTN VSAT in “Carrier-on” and “Carrier-off” states for all off-axis angles greater than 7° or greater than the minimum elevation angle supported, whichever is lower.



**Table 9.5.3.3.4-1: Off-axis spurious limits in “Carrier-on” and “Carrier-off” states**

Frequency range (GHz)	EIRP Limit (dBm)	Measurement bandwidth (MHz)
1.00 – 3.40	-41	0.1
3.40 – 10.70	-35	0.1
10.70 – 21.20	-29	0.1
21.20 – 27.35	-23	0.1
27.35 – 27.50	-5 (note 1)	1
	-23 (note 2)	0.1
27.50 – 29.35	-5 (note 1)	1
	-23 (note 2)	0.1
29.35 – 29.50	-5	1
30.00 – 30.15	-5	1
30.15 – 60.00	-23	0.1
Note 1:	For mobile VSAT transmitting in the frequency range 29.5 – 30.0 GHz	
Note 2:	For mobile VSAT transmitting in the frequency range 27.5 – 29.5 GHz	

## 9.6 Antenna point accuracy

### 9.6.1 Minimum requirement for Mobile VSAT

The applicant shall declare the peak pointing accuracy ( $\delta\phi$ ) and the associated statistical basis.

The antenna shall maintain the declared peak pointing accuracy ( $\delta\phi$ ), such that the off-axis EIRP emission density pattern projected onto the geostationary arc remains within the mask specified in clauses 9.2.2.2 and 9.2.2.3 when shifted by an angle of  $\pm(\delta\phi^\circ)$ , taking into account the following factors [EN 303 978]:

- the worst case operational environmental conditions;
- maximum ESOMP dynamics; and
- the range of latitude, longitude and altitude relative to the satellite orbital position.
- For linearly polarized ESOMPs, the following specification is required. The applicant shall declare the on-axis cross polarization isolation of the ESOMP [EN 303 978]:
- The polarization angle shall be continuously adjustable within the operational range as declared by the applicant.
- It shall be possible to fix the transmit antenna polarization angle with an accuracy of at least  $1^\circ$ .
- When linear polarization is used for both transmission and reception, the angle between the receive and corresponding transmit polarization planes shall not deviate by more than  $1^\circ$  from the nominal value declared by the applicant.

For circularly polarized ESOMPs, the applicant shall declare the voltage axial ratio.

### 9.6.2 Minimum requirement for Fixed VSAT

The minimum requirements are defined in terms of a) pointing stability, b) pointing accuracy capability and c) polarization angle alignment capability for linear polarization, as follows:

- a) Pointing stability: Under the condition of 100 km/h maximum wind speed, with gusts of 130 km/h lasting 3 seconds, the installation shall not show any sign of permanent distortion and shall not need repointing after the application of the wind load.
- b) Pointing accuracy capability: The applicant shall declare the usage area in terms of the range of latitude and longitude relative to the satellite orbital position where the alignments specified below are possible. Two sets of specifications are further defined:
  - Specification 1: Main beam pointing accuracy. The antenna sub-system alignment facilities shall enable the main beam axis to be adjusted and fixed with a pointing accuracy ( $\delta\phi$ ) of either:

- 1) 0,1°; or
  - 2) a greater value declared by the applicant, subject to the following restrictions:
    - the pointing accuracy ( $\delta\phi$ ) shall not exceed 30 % of the antenna transmit main beam half power beamwidth;
    - the off-axis e.i.r.p. emission density pattern remains within the mask specified in clause 9.2.2.3 when shifted by an angle of  $\pm(\delta\phi - 0,1^\circ)$ .
  - Specification 2: Alignment with the geostationary satellite orbit. For antennas with asymmetric main beam, the antenna shall be capable of having the plane defined by the antenna main beam axis and its major axis aligned with the tangent to the geostationary orbit in accordance with the method declared by the applicant.
- c) Polarization angle alignment capability for linear polarization. Following conditions will apply:
- The polarization angle shall be continuously adjustable within the operational range as declared by the applicant.
  - It shall be possible to fix the transmit antenna polarization angle with an accuracy of at least 1°.
  - When linear polarization is used for both transmission and reception, the angle between the receive and corresponding transmit polarization planes shall not deviate by more than 1° from the nominal value declared by the applicant.

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## 10 Radiated receiver characteristics

### 10.1 General

Unless otherwise stated, the receiver characteristics are specified over the air (OTA) at the RIB for Ka bands fixed and mobile VSAT. The reference effective isotropic sensitivity (EIS), wanted signals and interference is defined assuming a 0 dBi reference antenna located at the center of the quiet zone.

### 10.2 Polarization characteristics

The minimum requirements on the receiver characteristics apply under one polarization.

### 10.3 OTA reference sensitivity level

#### 10.3.1 General

The OTA REFSENS requirement is a *directional requirement* and is intended to ensure the minimum OTA reference sensitivity level at the centre of the quiet zone in the RX beam peak direction. The OTA reference sensitivity power level EIS<sub>REFSENS</sub> is the minimum mean power received over the air at the RIB, at which the throughput shall meet or exceed the requirements for a specified reference measurement channel.

#### 10.3.2 Minimum requirement for mobile VSAT

The throughput shall be  $\geq 95$  % of the maximum throughput of the reference measurement channels as [specified in Annexes A.2.3.2 and A.3.3.2 (with one sided dynamic OCNG Pattern OP.1 FDD for the DL-signal as described in Annex A.5.2.1) with peak reference sensitivity specified in Table 10.3.2-1]. The requirement is verified with the test metric of EIS (Link=RX beam peak direction, Meas=Link Angle).

**Table 10.3.2-1: OTA reference sensitivity requirement for mobile VSAT**

<i>Operating band</i>	<i>VSAT channel bandwidth (MHz)</i>	<i>UL/DL RB allocation</i>	<i>OTA reference sensitivity level, EIS<sub>REFSENS</sub> (dBm)</i>
n512, n511	50, 100, 200, 400	Full RB allocation $N_{RB}$ as specified in clause 5.3.2	$EIS_{REFSENS\_50MHz} + 10\log_{10}(N_{RB} \times SCS \times 12 / \text{factor})$ (NOTE 1)
NOTE 1: The "factor" represents the normalized factor to scale EIS for different (Channel bandwidth, SCS) configurations. The value of factor is 66 RBs x 60 kHz SCS x 12, i.e. 47520 kHz.			

For Mobile VSAT communication with GSO,  $EIS_{REFSENS\_50MHz}$  is [-126.8] dBm.

### 10.3.3 Minimum requirement for fixed VSAT

The throughput shall be  $\geq 95\%$  of the maximum throughput of the reference measurement channels as [specified in Annexes A.2.3.2 and A.3.3.2 (with one sided dynamic OCNG Pattern OP.1 FDD for the DL-signal as described in Annex A.5.2.1) with peak reference sensitivity specified in Table 10.3.3-1]. The requirement is verified with the test metric of EIS (Link=RX beam peak direction, Meas=Link Angle).

**Table 10.3.3-1: OTA reference sensitivity requirement for fixed VSAT**

<i>Operating band</i>	<i>VSAT channel bandwidth (MHz)</i>	<i>UL/DL RB allocation</i>	<i>OTA reference sensitivity level, EIS<sub>REFSENS</sub> (dBm)</i>
n512, n511, n510	50, 100, 200, 400	Full RB allocation $N_{RB}$ as specified in clause 5.3.2	$EIS_{REFSENS\_50MHz} + 10\log_{10}(N_{RB} \times SCS \times 12 / \text{factor})$ (NOTE 1)
NOTE 1: The "factor" represents the normalized factor to scale EIS for different (Channel bandwidth, SCS) configurations. The value of factor is 66 RBs x 60 kHz SCS x 12, i.e. 47520 kHz.			

For fixed VSAT communication with GSO and LEO,  $EIS_{REFSENS\_50MHz}$  is [-126.8] dBm.

For fixed VSAT communication with LEO only,  $EIS_{REFSENS\_50MHz}$  is [-115.6] dBm.

## 10.4 Maximum input level

### 10.4.1 General

The maximum input level is defined as the maximum mean power, for which the throughput shall meet or exceed the minimum requirements for the specified reference measurement channel.

The maximum input level is defined as a directional requirement. The requirement is verified in beam locked mode in the direction where peak gain is achieved.

### 10.4.2 Minimum requirement for Mobile VSAT

For mobile VSAT, the throughput shall be  $\geq 95\%$  of the maximum throughput of the reference measurement channels as specified in Annex A (with one sided dynamic OCNG Pattern for the DL-signal as described in Annex A.x.x.x) with parameters specified in Table 10.4.2-1. The requirement is verified with the test metric of EIS (Link=RX beam peak direction, Meas=Link angle).

**Table 10.4.2-1: Maximum input level**

Rx Parameter	Units	Channel bandwidth			
		50 MHz	100 MHz	200 MHz	400 MHz
Power in transmission bandwidth configuration	dBm	-[TBD](NOTE 2)			
NOTE 1: The transmitter shall be set to [4 dB] below the $P_{UMAX,f,c}$ as defined in clause 9.2.3, with uplink configuration specified in Table 10.3-x.					
NOTE 2: Reference measurement channel is specified in Annex A.3.3.2: [QPSK, R=1/3] variant with one sided dynamic OCNG Pattern as described in Annex A.					

### 10.4.3 Minimum requirement for Fixed VSAT

For fixed VSAT, the throughput shall be  $\geq 95$  % of the maximum throughput of the reference measurement channels as specified in Annex A (with one sided dynamic OCNG Pattern for the DL-signal as described in Annex A.x.x.x) with parameters specified in Table 10.4.3-1. The requirement is verified with the test metric of EIS (Link=RX beam peak direction, Meas=Link angle).

**Table 10.4.3-1: Maximum input level**

Rx Parameter	Units	Channel bandwidth			
		50 MHz	100 MHz	200 MHz	400 MHz
Power in transmission bandwidth configuration	dBm	-[TBD](NOTE 2)			
NOTE 1: The transmitter shall be set to [4 dB] below the $P_{UMAX,f,c}$ as defined in clause 9.2.3, with uplink configuration specified in Table 10.3-x.					
NOTE 2: Reference measurement channel is specified in Annex A.3.3.2: [QPSK, R=1/3] variant with one sided dynamic OCNG Pattern as described in Annex A.					

## 10.5 Adjacent channel selectivity

### 10.5.1 Minimum requirement for Mobile VSAT

### 10.5.2 Minimum requirement for Fixed VSAT

## 10.6 Blocking characteristics

### 10.6.1 General

The blocking characteristic is a measure of the receiver's ability to receive a wanted signal at its assigned channel frequency in the presence of an unwanted interferer on frequencies other than those of the spurious response or the adjacent channels, without this unwanted input signal causing a degradation of the performance of the receiver beyond a specified limit. The blocking performance shall apply at all frequencies except those at which a spurious response occurs.

The requirement applies at the RIB when the AoA of the incident wave of the wanted signal and the interfering signal are both from the direction where peak gain is achieved.

The wanted and interfering signals apply to all supported polarizations, under the assumption of polarization match.

## 10.6.2 Minimum requirement for Mobile VSAT

In-band blocking is a measure of a receiver's ability to receive a NR signal at its assigned channel frequency in the presence of an interferer at a given frequency offset from the centre frequency of the assigned channel.

For mobile VSAT, the throughput shall be  $\geq 95$  % of the maximum throughput of the reference measurement channels as specified in Annexes A.2.3.2 and A.3.3.2 (with one sided dynamic OCNG Pattern for the DL-signal as described in Annex A.5.2.1). The requirement is verified with the test metric of EIS (Link=RX beam peak direction, Meas=Link angle).

**Table 10.6.2-1: In band blocking requirements**

Rx parameter	Units	Channel bandwidth			
		50 MHz	100 MHz	200 MHz	400 MHz
Power in Transmission Bandwidth Configuration	dBm	REFSENS + 14 dB			
BW <sub>Interferer</sub>	MHz	50	100	200	400
P <sub>Interferer</sub> for bands n512, n511	dBm	REFSENS + [TBD] dB	REFSENS + [TBD] dB	REFSENS + [TBD] dB	REFSENS + [TBD] dB
F <sub>Interferer</sub> (offset)	MHz	$\leq -100$ & $\geq 100$ NOTE 5	$\leq -200$ & $\geq 200$ NOTE 5	$\leq -400$ & $\geq 400$ NOTE 5	$\leq -800$ & $\geq 800$ NOTE 5
F <sub>Interferer</sub>	MHz	F <sub>DL_low</sub> + 25 to F <sub>DL_high</sub> - 25	F <sub>DL_low</sub> + 50 to F <sub>DL_high</sub> - 50	F <sub>DL_low</sub> + 100 to F <sub>DL_high</sub> - 100	F <sub>DL_low</sub> + 200 to F <sub>DL_high</sub> - 200
NOTE 1: The interferer consists of the Reference measurement channel specified in Annex A.3.3.2 with one sided dynamic OCNG Pattern as described in Annex A.5.2.1 and set-up according to Annex C.					
NOTE2: The REFSENS power level is specified in Clause 10.3.2, which are applicable according to different VSAT types.					
NOTE 3: The wanted signal consists of the reference measurement channel specified in Annex A.3.3.2 with one sided dynamic OCNG pattern as described in Annex A.5.2.1 and set-up according to Annex C.					
NOTE 4: Void					
NOTE 5: The absolute value of the interferer offset F <sub>Interferer</sub> (offset) shall be further adjusted $(\text{CEIL}( F_{\text{Interferer}}(\text{offset}) /\text{SCS}) + 0.5) * \text{SCS}$ MHz with SCS the sub-carrier spacing of the wanted signal in MHz. Wanted and interferer signal have same SCS.					
NOTE 6: F <sub>Interferer</sub> range values for unwanted modulated interfering signals are interferer center frequencies.					
NOTE 7: The transmitter shall be set to [4 dB] below the P <sub>UMAX,f,c</sub> as defined in clause 9.2.3, with uplink configuration specified in Table 10.3.2.1-2.					

## 10.6.3 Minimum requirement for Fixed VSAT

In-band blocking is a measure of a receiver's ability to receive a NR signal at its assigned channel frequency in the presence of an interferer at a given frequency offset from the centre frequency of the assigned channel.

For fixed VSAT, the throughput shall be  $\geq 95$  % of the maximum throughput of the reference measurement channels as specified in Annexes A.2.3.2 and A.3.3.2 (with one sided dynamic OCNG Pattern OP.1 TDD for the DL-signal as described in Annex A.5.2.1). The requirement is verified with the test metric of EIS (Link=RX beam peak direction, Meas=Link angle).

Table 10.6.3-1: In band blocking requirements

Rx parameter	Units	Channel bandwidth			
		50 MHz	100 MHz	200 MHz	400 MHz
Power in Transmission Bandwidth Configuration	dBm	REFSENS + 14 dB			
$BW_{\text{Interferer}}$	MHz	50	100	200	400
$P_{\text{Interferer}}$ for bands n512, n511, n510	dBm	REFSENS + [TBD] dB	REFSENS + [TBD] dB	REFSENS + [TBD] dB	REFSENS + [TBD] dB
$F_{\text{Interferer (offset)}}$	MHz	$\leq -100$ & $\geq 100$ NOTE 5	$\leq -200$ & $\geq 200$ NOTE 5	$\leq -400$ & $\geq 400$ NOTE 5	$\leq -800$ & $\geq 800$ NOTE 5
$F_{\text{Interferer}}$	MHz	$F_{\text{DL\_low}} + 25$ to $F_{\text{DL\_high}} - 25$	$F_{\text{DL\_low}} + 50$ to $F_{\text{DL\_high}} - 50$	$F_{\text{DL\_low}} + 100$ to $F_{\text{DL\_high}} - 100$	$F_{\text{DL\_low}} + 200$ to $F_{\text{DL\_high}} - 200$
<p>NOTE 1: The interferer consists of the Reference measurement channel specified in Annex A.3.3.2 with one sided dynamic OCNG Pattern as described in Annex A.5.2.1 and set-up according to Annex C.</p> <p>NOTE2: The REFSENS power level is specified in Clause 10.3.2, which are applicable according to different VSAT types.</p> <p>NOTE 3: The wanted signal consists of the reference measurement channel specified in Annex A.3.3.2 with one sided dynamic OCNG pattern as described in Annex A.5.2.1 and set-up according to Annex C.</p> <p>NOTE 4: Void</p> <p>NOTE 5: The absolute value of the interferer offset <math>F_{\text{Interferer (offset)}}</math> shall be further adjusted <math>(\text{CEIL}( F_{\text{Interferer (offset)}} /\text{SCS}) + 0.5) * \text{SCS}</math> MHz with SCS the sub-carrier spacing of the wanted signal in MHz. Wanted and interferer signal have same SCS.</p> <p>NOTE 6: <math>F_{\text{Interferer}}</math> range values for unwanted modulated interfering signals are interferer center frequencies.</p> <p>NOTE 7: The transmitter shall be set to [4 dB] below the <math>P_{\text{UMAX,t,c}}</math> as defined in clause 9.2.3, with uplink configuration specified in Table 10.3.2.1-2.</p>					

## 10.7 Spurious emissions

The requirement is not applicable in this version of the specification.

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## Annex A (normative): Measurement channels

### A.1 General

#### A.1.1 Throughput definition

The throughput values defined in the measurement channels specified in Annex A, are calculated and are valid per codeword. For multi-codeword transmissions, the throughput referenced in the minimum requirements is the sum of throughputs of all codewords.

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### A.2 UL reference measurement channels

#### A.2.1 General

The measurement channels in the following subclauses are defined to derive the requirements in clause 6 (Transmitter Characteristics) and clause 7 (Receiver Characteristics). The measurement channels represent example configurations of physical channels for different data rates.

The measurement channels in the following clauses are applicable only to FDD.

## A.2.2 Reference measurement channels for FDD

### A.2.2.1 DFT-s-OFDM Pi/2-BPSK

Table A.2.2.1-1: Reference Channels for DFT-s-OFDM Pi/2-BPSK

Parameter	Allocated resource blocks (L <sub>CRB</sub> )	DFT-s-OFDM Symbols per slot (Note 1)	Modulation	MCS Index (Note 2)	Payload size	Transport block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulated symbols per slot
Unit					Bits	Bits			Bits	
	1	11	pi/2 BPSK	0	24	16	2	1	132	132
	5	11	pi/2 BPSK	0	160	16	2	1	660	660
	9	11	pi/2 BPSK	0	288	16	2	1	1188	1188
	10	11	pi/2 BPSK	0	320	16	2	1	1320	1320
	12	11	pi/2 BPSK	0	384	16	2	1	1584	1584
	15	11	pi/2 BPSK	0	480	16	2	1	1980	1980
	18	11	pi/2 BPSK	0	576	16	2	1	2376	2376
	24	11	pi/2 BPSK	0	768	16	2	1	3168	3168
	25	11	pi/2 BPSK	0	808	16	2	1	3300	3300
	30	11	pi/2 BPSK	0	984	16	2	1	3960	3960
	32	11	pi/2 BPSK	0	1032	16	2	1	4224	4224
	36	11	pi/2 BPSK	0	1128	16	2	1	4752	4752
	45	11	pi/2 BPSK	0	1416	16	2	1	5940	5940
	50	11	pi/2 BPSK	0	1544	16	2	1	6600	6600
	60	11	pi/2 BPSK	0	1864	16	2	1	7920	7920
	64	11	pi/2 BPSK	0	2024	16	2	1	8448	8448
	75	11	pi/2 BPSK	0	2408	16	2	1	9900	9900
	80	11	pi/2 BPSK	0	2472	16	2	1	10560	10560
	81	11	pi/2 BPSK	0	2536	16	2	1	10692	10692
	90	11	pi/2 BPSK	0	2792	16	2	1	11880	11880
	100	11	pi/2 BPSK	0	3104	16	2	1	13200	13200

NOTE 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data. DM-RS symbols are not counted.

NOTE 2: MCS Index is based on MCS table 6.1.4.1-1 defined in TS 38.214 [14].

NOTE 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

NOTE 4: The RMCs apply to all channel bandwidth where L<sub>CRB</sub> ≤ N<sub>RB</sub>.



## A.2.2.2 DFT-s-OFDM QPSK

Table A.2.2.2-1: Reference Channels for DFT-s-OFDM QPSK

Parameter	Allocated resource blocks (L <sub>CRB</sub> )	DFT-s-OFDM Symbols per slot (Note 1)	Modulation	MCS Index (Note 2)	Payload size	Transport block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulated symbols per slot
Unit					Bits	Bits			Bits	
	1	11	QPSK	2	48	16	2	1	264	132
	5	11	QPSK	2	256	16	2	1	1320	660
	9	11	QPSK	2	456	16	2	1	2376	1188
	10	11	QPSK	2	504	16	2	1	2640	1320
	12	11	QPSK	2	608	16	2	1	3168	1584
	15	11	QPSK	2	768	16	2	1	3960	1980
	18	11	QPSK	2	928	16	2	1	4752	2376
	20	11	QPSK	2	1032	16	2	1	5280	2640
	24	11	QPSK	2	1192	16	2	1	6336	3168
	25	11	QPSK	2	1256	16	2	1	6600	3300
	30	11	QPSK	2	1544	16	2	1	7920	3960
	32	11	QPSK	2	1608	16	2	1	8448	4224
	36	11	QPSK	2	1800	16	2	1	9504	4752
	45	11	QPKS	2	2208	16	2	1	11880	5940
	50	11	QPSK	2	2472	16	2	1	13200	6600
	60	11	QPSK	2	3104	16	2	1	15840	7920
	64	11	QPSK	2	3240	16	2	1	16896	8448
	75	11	QPSK	2	3752	16	2	1	19800	9900
	80	11	QPSK	2	3976	24	2	2	21120	10560
	81	11	QPSK	2	4040	24	2	2	21384	10692
	90	11	QPSK	2	4488	24	2	2	23760	11880
	100	11	QPSK	2	5000	24	2	2	26400	13200

NOTE 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data. DM-RS symbols are not counted.

NOTE 2: MCS Index is based on MCS table 6.1.4.1-1 defined in TS 38.214 [14].

NOTE 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

NOTE 4: The RMCs apply to all channel bandwidth where L<sub>CRB</sub> ≤ N<sub>RB</sub>.

## A.2.2.3 DFT-s-OFDM 16QAM

Table A.2.2.3-1: Reference Channels for DFT-s-OFDM 16QAM

Parameter	Allocated resource blocks ( $L_{CRB}$ )	DFT-s-OFDM Symbols per slot (Note 1)	Modulation	MCS Index (Note 2)	Payload size	Transport block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulated symbols per slot
Unit					Bits	Bits			Bits	
	1	11	16QAM	10	176	16	2	1	528	132
	5	11	16QAM	10	888	16	2	1	2640	660
	9	11	16QAM	10	1608	16	2	1	4752	1188
	10	11	16QAM	10	1800	16	2	1	5280	1320
	12	11	16QAM	10	2088	16	2	1	6336	1584
	15	11	16QAM	10	2664	16	2	1	7920	1980
	18	11	16QAM	10	3240	16	2	1	9504	2376
	24	11	16QAM	10	4224	24	1	1	12672	3168
	25	11	16QAM	10	4352	24	1	1	13200	3300
	30	11	16QAM	10	5248	24	1	1	15840	3960
	32	11	16QAM	10	5632	24	1	1	16896	4224
	36	11	16QAM	10	6272	24	1	1	19008	4752
	45	11	16QAM	10	7808	24	1	1	23760	5940
	50	11	16QAM	10	8712	24	1	2	26400	6600
	60	11	16QAM	10	10504	24	1	2	31680	7920
	64	11	16QAM	10	11272	24	1	2	33792	8448
	75	11	16QAM	10	13064	24	1	2	39600	9900
	80	11	16QAM	10	14088	24	1	2	42240	10560
	81	11	16QAM	10	14088	24	1	2	42768	10692
	90	11	16QAM	10	15880	24	1	2	47520	11880
	100	11	16QAM	10	17424	24	1	3	52800	13200

NOTE 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data. DM-RS symbols are not counted.

NOTE 2: MCS Index is based on MCS table 6.1.4.1-1 defined in TS 38.214 [14].

NOTE 3: If more than one Code Block is present, an additional CRC sequence of  $L = 24$  Bits is attached to each Code Block (otherwise  $L = 0$  Bit)

NOTE 4: The RMCs apply to all channel bandwidth where  $L_{CRB} \leq N_{RB}$ .

## A.2.2.4 DFT-s-OFDM 64QAM

Table A.2.2.4-1: Reference Channels for DFT-s-OFDM 64QAM

Parameter	Allocated resource blocks ( $L_{CRB}$ )	DFT-s-OFDM Symbols per slot (Note 1)	Modulation	MCS Index (Note 2)	Payload size	Transport block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulated symbols per slot
Unit					Bits	Bits			Bits	
	1	11	64QAM	18	408	16	2	1	792	132
	5	11	64QAM	18	2024	16	2	1	3960	660
	9	11	64QAM	18	3624	16	2	1	7128	1188
	10	11	64QAM	18	3968	24	1	1	7920	1320
	12	11	64QAM	18	4736	24	1	1	9504	1584
	15	11	64QAM	18	6016	24	1	1	11880	1980
	18	11	64QAM	18	7168	24	1	1	14256	2376
	24	11	64QAM	18	9480	24	1	2	19008	3168
	25	11	64QAM	18	9992	24	1	2	19800	3300
	30	11	64QAM	18	12040	24	1	2	23760	3960
	32	11	64QAM	18	12808	24	1	2	25344	4224
	36	11	64QAM	18	14344	24	1	2	28512	4752
	45	11	64QAM	18	17928	24	1	3	35640	5940
	50	11	64QAM	18	19968	24	1	3	39600	6600
	60	11	64QAM	18	24072	24	1	3	47520	7920
	64	11	64QAM	18	25608	24	1	4	50688	8448
	75	11	64QAM	18	30216	24	1	4	59400	9900
	80	11	64QAM	18	31752	24	1	4	63360	10560
	81	11	64QAM	18	32264	24	1	4	64152	10692
	90	11	64QAM	18	35856	24	1	5	71280	11880
	100	11	16QAM	10	17424	24	1	3	52800	13200

NOTE 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data. DM-RS symbols are not counted.

NOTE 2: MCS Index is based on MCS table 6.1.4.1-1 defined in TS 38.214 [14].

NOTE 3: If more than one Code Block is present, an additional CRC sequence of  $L = 24$  Bits is attached to each Code Block (otherwise  $L = 0$  Bit)

NOTE 4: The RMCs apply to all channel bandwidth where  $L_{CRB} \leq N_{RB}$ .

## A.2.2.5 DFT-s-OFDM 256QAM

Table A.2.2.5-1: Reference Channels for DFT-s-OFDM 256QAM

Parameter	Allocated resource blocks ( $L_{CRB}$ )	DFT-s-OFDM Symbols per slot (Note 1)	Modulation	MCS Index (Note 2)	Payload size	Transport block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulated symbols per slot
Unit					Bits	Bits			Bits	
	1	11	256QAM	20	704	16	2	1	1056	132
	5	11	256QAM	20	3496	16	2	1	5280	660
	9	11	256QAM	20	6272	24	1	1	9504	1188
	10	11	256QAM	20	7040	24	1	1	10560	1320
	12	11	256QAM	20	8456	24	1	2	12672	1584
	15	11	256QAM	20	10504	24	1	2	15840	1980
	18	11	256QAM	20	12552	24	1	2	19008	2376
	24	11	256QAM	20	16896	24	1	3	25344	3168
	25	11	256QAM	20	17424	24	1	3	26400	3300
	30	11	256QAM	20	21000	24	1	3	31680	3960
	32	11	256QAM	20	22536	24	1	3	33792	4224
	36	11	256QAM	20	25104	24	1	3	38016	4752
	45	11	256QAM	20	31752	24	1	4	47520	5940
	50	11	256QAM	20	34816	24	1	5	52800	6600
	60	11	256QAM	20	42016	24	1	5	63360	7920
	64	11	256QAM	20	45096	24	1	6	67584	8448
	75	11	256QAM	20	53288	24	1	7	79200	9900
	80	11	256QAM	20	56368	24	1	7	84480	10560
	81	11	256QAM	20	57376	24	1	7	85536	10692
	90	11	256QAM	20	63528	24	1	8	95040	11880
	100	11	256QAM	20	69672	24	1	9	105600	13200

NOTE 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data. DM-RS symbols are not counted.

NOTE 2: MCS Index is based on MCS table 5.1.3.1-2 defined in TS 38.214 [14].

NOTE 3: If more than one Code Block is present, an additional CRC sequence of  $L = 24$  Bits is attached to each Code Block (otherwise  $L = 0$  Bit)

NOTE 4: The RMCs apply to all channel bandwidth where  $L_{CRB} \leq N_{RB}$ .

## A.2.2.6 CP-OFDM QPSK

Table A.2.2.6-1: Reference Channels for CP-OFDM QPSK

Parameter	Allocated resource blocks (L <sub>CRB</sub> )	CP-OFDM Symbols per slot (Note 1)	Modulation	MCS Index (Note 2)	Payload size	Transport block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulated symbols per slot
Unit					Bits	Bits			Bits	
	1	11	QPSK	2	48	16	2	1	264	132
	5	11	QPSK	2	256	16	2	1	1320	660
	6	11	QPSK	2	304	16	2	1	1584	792
	9	11	QPSK	2	456	16	2	1	2376	1188
	10	11	QPSK	2	504	16	2	1	2640	1320
	11	11	QPSK	2	552	16	2	1	2904	1452
	12	11	QPSK	2	608	16	2	1	3168	1584
	13	11	QPSK	2	672	16	2	1	3432	1716
	15	11	QPSK	2	768	16	2	1	3960	1980
	16	11	QPSK	2	808	16	2	1	4224	2112
	18	11	QPSK	2	928	16	2	1	4752	2376
	19	11	QPSK	2	984	16	2	1	5016	2508
	24	11	QPSK	2	1192	16	2	1	6336	3168
	25	11	QPSK	2	1256	16	2	1	6600	3300
	26	11	QPSK	2	1288	16	2	1	6864	3432
	31	11	QPSK	2	1544	16	2	1	8184	4092
	33	11	QPSK	2	1672	16	2	1	8712	4356
	38	11	QPSK	2	1928	16	2	1	10032	5016
	39	11	QPSK	2	2024	16	2	1	10296	5148
	40	11	QPSK	2	2024	16	2	1	10560	5280
	47	11	QPSK	2	2408	16	2	1	12408	6204
	51	11	QPSK	2	2536	16	2	1	13464	6732
	52	11	QPSK	2	2600	16	2	1	13728	6864
	53	11	QPSK	2	2664	16	2	1	13992	6996
	54	11	QPSK	2	2664	16	2	1	14256	7128
	61	11	QPSK	2	3104	16	2	1	16104	8052
	65	11	QPSK	2	3240	16	2	1	17160	8580
	67	11	QPSK	2	3368	16	2	1	17688	8844
	68	11	QPSK	2	3368	16	2	1	17952	8976
	78	11	QPSK	2	3848	24	2	2	20592	10296
	79	11	QPSK	2	3912	24	2	2	20856	10428
	80	11	QPSK	2	3976	24	2	2	21120	10560
	81	11	QPSK	2	4040	24	2	2	21384	10692
	93	11	QPSK	2	4616	24	2	2	24552	12276
	95	11	QPSK	2	4744	24	2	2	25080	12540
	106	11	QPSK	2	5256	24	2	2	27984	13992

NOTE 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data. DM-RS symbols are not counted.

NOTE 2: MCS Index is based on MCS table 5.1.3.1-1 defined in TS 38.214 [14].

NOTE 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

NOTE 4: The RMCs apply to all channel bandwidth where  $L_{CRB} \leq N_{RB}$ .

## A.2.2.7 CP-OFDM 16QAM

Table A.2.2.7-1: Reference Channels for CP-OFDM 16QAM

Parameter	Allocated resource blocks (L <sub>CRB</sub> )	CP-OFDM Symbols per slot (Note 1)	Modulation	MCS Index (Note 2)	Payload size	Transport block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulated symbols per slot
Unit					Bits	Bits			Bits	
	1	11	16QAM	10	176	16	2	1	528	132
	5	11	16QAM	10	888	16	2	1	2640	660
	6	11	16QAM	10	1064	16	2	1	3168	792
	9	11	16QAM	10	1608	16	2	1	4752	1188
	10	11	16QAM	10	1800	16	2	1	5280	1320
	11	11	16QAM	10	1928	16	2	1	5808	1452
	12	11	16QAM	10	2088	16	2	1	6336	1584
	13	11	16QAM	10	2280	16	2	1	6864	1716
	15	11	16QAM	10	2664	16	2	1	7920	1980
	16	11	16QAM	10	2792	16	2	1	8448	2112
	18	11	16QAM	10	3240	16	2	1	9504	2376
	19	11	16QAM	10	3368	16	2	1	10032	2508
	24	11	16QAM	10	4224	24	1	1	12672	3168
	25	11	16QAM	10	4352	24	1	1	13200	3300
	26	11	16QAM	10	4480	24	1	1	13728	3432
	31	11	16QAM	10	5376	24	1	1	16368	4092
	33	11	16QAM	10	5760	24	1	1	17424	4356
	38	11	16QAM	10	6656	24	1	1	20064	5016
	39	11	16QAM	10	6784	24	1	1	20592	5148
	40	11	16QAM	10	7040	24	1	1	21120	5280
	47	11	16QAM	10	8192	24	1	1	24816	6204
	51	11	16QAM	10	8968	24	1	2	26928	6732
	52	11	16QAM	10	9224	24	1	2	27456	6864
	53	11	16QAM	10	9224	24	1	2	27984	6996
	54	11	16QAM	10	9480	24	1	2	28512	7128
	61	11	16QAM	10	10760	24	1	2	32208	8052
	65	11	16QAM	10	11272	24	1	2	34320	8580
	67	11	16QAM	10	11784	24	1	2	35376	8844
	68	11	16QAM	10	11784	24	1	2	35904	8976
	78	11	16QAM	10	13576	24	1	2	41184	10296
	79	11	16QAM	10	13832	24	1	2	41712	10428
	80	11	16QAM	10	14088	24	1	2	42240	10560
	81	11	16QAM	10	14088	24	1	2	42768	10692
	93	11	16QAM	10	16392	24	1	2	49404	12276
	95	11	16QMA	10	16392	24	1	2	50160	12540
	106	11	16QAM	10	18432	24	1	3	55968	13992

NOTE 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data. DM-RS symbols are not counted.

NOTE 2: MCS Index is based on MCS table 5.1.3.1-1 defined in TS 38.214 [14].

NOTE 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

NOTE 4: The RMCs apply to all channel bandwidth where  $L_{CRB} \leq N_{RB}$ .

## A.2.2.8 CP-OFDM 64QAM

Table A.2.2.8-1: Reference Channels for CP-OFDM 64QAM

Parameter	Allocated resource blocks (L <sub>CRB</sub> )	CP-OFDM Symbols per slot (Note 1)	Modulation	MCS Index (Note 2)	Payload size	Transport block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulated symbols per slot
Unit					Bits	Bits			Bits	
	1	11	64QAM	19	408	16	2	1	792	132
	5	11	64QAM	19	2024	16	2	1	3960	660
	9	11	64QAM	19	3624	16	2	1	7128	1188
	10	11	64QAM	19	3968	24	1	1	7920	1320
	11	11	64QAM	19	4352	24	1	1	8712	1452
	12	11	64QAM	19	4736	24	1	1	9504	1584
	13	11	64QAM	19	5120	24	1	1	10296	1716
	15	11	64QAM	19	6016	24	1	1	11880	1980
	18	11	64QAM	19	7168	24	1	1	14256	2376
	19	11	64QAM	19	7552	24	1		15048	2508
	24	11	64QAM	19	9480	24	1	2	19008	3168
	25	11	64QAM	19	9992	24	1	2	19800	3300
	26	11	64QAM	19	10504	24	1	2	20592	3432
	31	11	64QAM	19	12296	24	1	2	24552	4092
	33	11	64QAM	19	13064	24	1	2	26136	4356
	38	11	64QAM	19	15112	24	1	2	30096	5016
	39	11	64QAM	19	15624	24	1	2	30888	5148
	47	11	64QAM	19	18960	24	1	3	37224	6204
	51	11	64QAM	19	20496	24	1	3	40392	6732
	52	11	64QAM	19	21000	24	1	3	41184	6864
	53	11	64QAM	19	21000	24	1	3	41976	6996
	61	11	64QAM	19	24567	24	1	3	48312	8052
	65	11	64QAM	19	26120	24	1	4	51480	8580
	67	11	64QAM	19	26632	24	1	4	53064	8844
	78	11	64QAM	19	31240	24	1	4	61776	10296
	79	11	64QAM	19	31752	24	1	4	62568	10428
	80	11	64QAM	19	31752	24	1	4	63360	10560
	81	11	64QAM	19	32264	24	1	4	64152	10692
	93	11	64QAM	19	36896	24	1	5	73656	12276
	95	11	64QAM	19	37896	24	1	5	75240	12540
	106	11	64QAM	19	42016	24	1	5	83952	13992

NOTE 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data. DM-RS symbols are not counted.

NOTE 2: MCS Index is based on MCS table 5.1.3.1-1 defined in TS 38.214 [14].

NOTE 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

NOTE 4: The RMCs apply to all channel bandwidth where  $L_{CRB} \leq N_{RB}$ .

## A.2.2.9 CP-OFDM 256QAM

Table A.2.2.9-1: Reference Channels for CP-OFDM 256QAM

Parameter	Allocated resource blocks ( $L_{CRB}$ )	DFT-s-OFDM Symbols per slot (Note 1)	Modulation	MCS Index (Note 2)	Payload size	Transport block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulated symbols per slot
Unit					Bits	Bits			Bits	
	1	11	256QAM	20	704	16	2	1	1056	132
	5	11	256QAM	20	3496	16	2	1	5280	660
	9	11	256QAM	20	6272	24	1	1	9504	1188
	10	11	256QAM	20	7040	24	1	1	10560	1320
	11	11	256QAM	20	7680	24	1	1	11616	1452
	12	11	256QAM	20	8456	24	1	2	12672	1584
	13	11	256QAM	20	9224	24	1	2	13728	1716
	15	11	256QAM	20	10504	24	1	2	15840	1980
	18	11	256QAM	20	12552	24	1	2	19008	2376
	19	11	256QAM	20	13320	24	1	2	20064	2508
	24	11	256QAM	20	16896	24	1	3	25344	3168
	25	11	256QAM	20	17424	24	1	3	26400	3300
	26	11	256QAM	20	18432	24	1	3	27456	3432
	31	11	256QAM	20	22032	24	1	3	32736	4092
	33	11	256QAM	20	23040	24	1	3	34848	4356
	38	11	256QAM	20	26632	24	1	4	40128	5016
	39	11	256QAM	20	27656	24	1	4	41184	5148
	47	11	256QAM	20	32776	24	1	4	49632	6204
	51	11	256QAM	20	35856	24	1	5	53856	6732
	52	11	256QAM	20	36896	24	1	5	54912	6864
	53	11	256QAM	20	36896	24	1	5	55968	6996
	61	11	256QAM	20	43032	24	1	6	64416	8052
	65	11	256QAM	20	46104	24	1	6	68640	8580
	67	11	256QAM	20	47112	24	1	6	70752	8844
	78	11	256QAM	20	55304	24	1	7	82368	10296
	79	11	256QAM	20	55304	24	1	7	83424	10428
	80	11	256QAM	20	56368	24	1	7	84480	10560
	81	11	256QAM	20	57376	24	1	7	85536	10692
	93	11	256QAM	20	65576	24	1	8	98208	12276
	95	11	256QAM	20	67584	24	1	8	100320	12540
	106	11	256QAM	20	73776	24	1	9	111936	13992

NOTE 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data. DM-RS symbols are not counted.

NOTE 2: MCS Index is based on MCS table 5.1.3.1-2 defined in TS 38.214 [14].

NOTE 3: If more than one Code Block is present, an additional CRC sequence of  $L = 24$  Bits is attached to each Code Block (otherwise  $L = 0$  Bit)

NOTE 4: The RMCs apply to all channel bandwidth where  $L_{CRB} \leq N_{RB}$ .

## A.3 DL reference measurement channels

### A.3.1 General

The transport block size (TBS) determination procedure is described in clause 5.1.3.2 of TS 38.214 [12].

Unless otherwise stated, no user data is scheduled on slot #0 within 20 ms in order to avoid SSB and PDSCH transmissions in one slot and simplify test configuration.



## A.3.2 Reference measurement channels for PDSCH performance requirements

For PDSCH reference channels if more than one Code Block is present, an additional CRC sequence of  $L = 24$  Bits is attached to each Code Block (otherwise  $L = 0$  Bit).

### A.3.2.1 FDD

#### A.3.2.1.1 Reference measurement channels for SCS 15 kHz FR1

**Table A.3.2.1.1-1: PDSCH Reference Channel for FDD (QPSK)**

Parameter	Unit	Value			
Reference channel		R.PDSCH.1-1.1 FDD			
Channel bandwidth	MHz	10			
Subcarrier spacing	kHz	15			
Number of allocated resource blocks	PRBs	52			
Number of consecutive PDSCH symbols		12			
Allocated slots per 2 frames	Slots	19			
MCS table		64QAM			
MCS index		4			
Modulation		QPSK			
Target Coding Rate		0.30			
Number of MIMO layers		1			
Number of DMRS REs		12			
Overhead for TBS determination		0			
Information Bit Payload per Slot					
For Slot $i = 0$	Bits	N/A			
For Slots $i = 1, \dots, 19$	Bits	4096			
Transport block CRC per Slot					
For Slot $i = 0$	Bits	N/A			
For Slots $i = 1, \dots, 19$	Bits	24			
Number of Code Blocks per Slot					
For Slot $i = 0$	CBs	N/A			
For Slots $i = 1, \dots, 19$	CBs	1			
Binary Channel Bits Per Slot					
For Slot $i = 0$	Bits	N/A			
For Slots $i = 10, 11$	Bits	13104			
For Slots $i = 1, \dots, 9, 12, \dots, 19$	Bits	13728			
Max. Throughput averaged over 2 frames	Mbps	3.891			
Note 1: SS/PBCH block is transmitted in slot #0 with periodicity 20 ms					
Note 2: Slot $i$ is slot index per 2 frames					

Table A.3.2.1.1-2: PDSCH Reference Channel for FDD (16QAM)

Parameter	Unit	Value					
Reference channel		R.PDSCH.1-2.1 FDD					
Channel bandwidth	MHz	10					
Subcarrier spacing	kHz	15					
Number of allocated resource blocks	PRBs	52					
Number of consecutive PDSCH symbols		12					
Allocated slots per 2 frames	Slots	19					
MCS table		64QAM					
MCS index		13					
Modulation		16QAM					
Target Coding Rate		0.48					
Number of MIMO layers		1					
Number of DMRS REs		12					
Overhead for TBS determination		0					
Information Bit Payload per Slot							
For Slot $i = 0$	Bits	N/A					
For Slots $i = 1, \dots, 19$	Bits	13064					
Transport block CRC per Slot							
For Slot $i = 0$	Bits	N/A					
For Slots $i = 1, \dots, 19$	Bits	24					
Number of Code Blocks per Slot							
For Slot $i = 0$	CBs	N/A					
For Slots $i = 1, \dots, 19$	CBs	2					
Binary Channel Bits Per Slot							
For Slot $i = 0$	Bits	N/A					
For Slots $i = 10, 11$	Bits	26208					
For Slots $i = 1, \dots, 9, 12, \dots, 19$	Bits	27456					
Max. Throughput averaged over 2 frames	Mbps	12.411					
Note 1: SS/PBCH block is transmitted in slot #0 with periodicity 20 ms							
Note 2: Slot $i$ is slot index per 2 frames							

## A.3.2.1.2 Reference measurement channels for SCS 60 kHz FR2-NTN

[Editor note: The values in Table 3.2.1.2-1, 3.2.1.2-2, 3.2.1.3-1, and 3.2.1.3-2 may need to be changed.]

Table A.3.2.1.2-1: PDSCH Reference Channel for FDD (QPSK)

Parameter	Unit	Value		
Channel bandwidth	MHz	50	100	200
Subcarrier spacing configuration $\mu$		2	2	2
Allocated resource blocks		66	132	264
Subcarriers per resource block		12	12	12
Allocated slots per Frame (NOTE 7)		23/24	23/24	23/24
MCS index		4	4	4
Modulation		QPSK	QPSK	QPSK
Target Coding Rate		1/3	1/3	1/3
Maximum number of HARQ transmissions		1	1	1
Information Bit Payload per Slot				
For Slots 0 and Slot i, if $\text{mod}(i, 5) = \{3,4\}$ for i from $\{0, \dots, 79\}$ (NOTE 5)	Bits	N/A	N/A	N/A
For Slot i, if $\text{mod}(i, 5) = \{0,1,2\}$ for i from $\{1, \dots, 79\}$ (NOTE 6)	Bits	4224	8456	16896
Transport block CRC	Bits	24	24	24
LDPC base graph		1	1	1
Number of Code Blocks per Slot				
For Slots 0 and Slot i, if $\text{mod}(i, 5) = \{3,4\}$ for i from $\{0, \dots, 79\}$ (NOTE 5)	CBs	N/A	N/A	N/A
For Slot i, if $\text{mod}(i, 5) = \{0,1,2\}$ for i from $\{1, \dots, 79\}$ (NOTE 6)	CBs	1	2	3
Binary Channel Bits Per Slot				
For Slots 0 and Slot i, if $\text{mod}(i, 5) = \{3,4\}$ for i from $\{0, \dots, 79\}$ (NOTE 5)	Bits	N/A	N/A	N/A
For Slot i, if $\text{mod}(i, 5) = \{0,1,2\}$ for i from $\{1, \dots, 79\}$ (NOTE 6)	Bits	14256	28512	57024
Max. Throughput averaged over 1 frame (NOTE 8)	Mbps	TBD	TBD	TBD
NOTE 1: Additional parameters are specified in Table A.3.1-1 and Table A.3.3.1-1.				
NOTE 2: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit).				
NOTE 3: SS/PBCH block is transmitted in slot 0 with periodicity 20 ms				
NOTE 4: Slot i is slot index per 2 frames				
NOTE 5: When this DL RMC used together with the UL RMC for the transmitter requirements requiring at least one sub frame (1ms) for the measurement period, Slot i, if $\text{mod}(i, 8) = \{3,4,5,6,7\}$ for i from $\{0, \dots, 79\}$ together with the TDD UL-DL configuration specified in A2.3.				
NOTE 6: When this DL RMC used together with the UL RMC for the transmitter requirements requiring at least one sub frame (1ms) for the measurement period, Slot i, if $\text{mod}(i, 8) = \{0,1,2\}$ for i from $\{0, \dots, 79\}$ together with the TDD UL-DL configuration specified in A2.3.				
NOTE 7: First number corresponds to the number slots allocated in the first frame of the RMC; second number corresponds to the number slots allocated in the second frame of the RMC.				
NOTE 8: Throughput is averaged over 2nd frame of RMC.				

Table A.3.2.1.2-2: PDSCH Reference Channel for FDD (16/64QAM)

Parameter	Unit	Value		
Channel bandwidth	MHz	50	100	200
Subcarrier spacing configuration $\mu$		2	2	2
Allocated resource blocks		66	132	264
Subcarriers per resource block		12	12	12
Allocated slots per Frame (NOTE 6)		23 / 24	23 / 24	23 / 24
MCS index				
Modulation				
Target Coding Rate				
Maximum number of HARQ transmissions				
Information Bit Payload per Slot				
For Slots 0 and Slot i, if $\text{mod}(i, 5) = \{3,4\}$ for i from $\{0, \dots, 79\}$	Bits			
For Slot i, if $\text{mod}(i, 5) = \{0,1,2\}$ for i from $\{1, \dots, 79\}$	Bits			
Transport block CRC	Bits			
LDPC base graph				
Number of Code Blocks per Slot				
For Slot i, if $\text{mod}(i, 10) = \{0,1,2\}$ for i from $\{1, \dots, 79\}$	CBs			
For Slot i, if $\text{mod}(i, 5) = \{0,1,2\}$ for i from $\{1, \dots, 79\}$	CBs			
Binary Channel Bits Per Slot				
For Slots 0 and Slot i, if $\text{mod}(i, 5) = \{3,4\}$ for i from $\{0, \dots, 79\}$	Bits			
For Slot i, if $\text{mod}(i, 5) = \{0,1,2\}$ for i from $\{1, \dots, 79\}$	Bits			
Max. Throughput averaged over 1 frame (NOTE 7)	Mbps			
NOTE 1: Additional parameters are specified in Table A.3.1-1 and Table A.3.3.1-1.				
NOTE 2: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit).				
NOTE 3: SS/PBCH block is transmitted in slot 0 with periodicity 20 ms				
NOTE 4: Slot i is slot index per 2 frames				
NOTE 5: PTRS is configured on symbols containing PDSCH with 1 port, per 2PRB in frequency domain, per symbol in time domain. Overhead for TBS calculation is assumed to be 6.				
NOTE 6: First number corresponds to the number slots allocated in the first frame of the RMC; second number corresponds to the number slots allocated in the second frame of the RMC.				
NOTE 7: Throughput is averaged over 2nd frame of RMC				

### A.3.2.1.3 Reference measurement channels for SCS 120 kHz FR2-NTN

[Editor note: The values in Table 3.2.1.2-1, 3.2.1.2-2, 3.2.1.3-1, and 3.2.1.3-2 may need to be changed.]

Table A.3.2.1.3-1: PDSCH Reference Channel for FDD (QPSK)

Parameter	Unit	Value			
		50	100	200	400
Channel bandwidth	MHz	50	100	200	400
Subcarrier spacing configuration $\mu$		3	3	3	3
Allocated resource blocks		32	66	132	264
Subcarriers per resource block		12	12	12	12
Allocated slots per Frame (NOTE 7)		47 / 48	47 / 48	47 / 48	47 / 48
MCS index		4	4	4	4
Modulation		QPSK	QPSK	QPSK	QPSK
Target Coding Rate		1/3	1/3	1/3	1/3
Maximum number of HARQ transmissions		1	1	1	1
Information Bit Payload per Slot					
For Slots 0 and Slot i, if $\text{mod}(i, 5) = \{3,4\}$ for i from $\{0, \dots, 159\}$ (NOTE 5)	Bits	N/A	N/A	N/A	N/A
For Slot i, if $\text{mod}(i, 5) = \{0,1,2\}$ for i from $\{1, \dots, 159\}$ (NOTE 6)	Bits	2088	4224	8456	16896
Transport block CRC	Bits	16	24	24	24
LDPC base graph		2	1	1	1
Number of Code Blocks per Slot					
For Slots 0 and Slot i, if $\text{mod}(i, 5) = \{3,4\}$ for i from $\{0, \dots, 159\}$ (NOTE 5)	CBs	N/A	N/A	N/A	N/A
For Slot i, if $\text{mod}(i, 5) = \{0,1,2\}$ for i from $\{1, \dots, 159\}$ (NOTE 6)	CBs	1	1	2	3
Binary Channel Bits Per Slot					
For Slots 0 and Slot i, if $\text{mod}(i, 5) = \{3,4\}$ for i from $\{0, \dots, 159\}$ (NOTE 5)	Bits	N/A	N/A	N/A	N/A
For Slot i, if $\text{mod}(i, 5) = \{0,1,2\}$ for i from $\{1, \dots, 159\}$ (NOTE 6)	Bits	6912	14256	28512	57024
Max. Throughput averaged over 1 frame (NOTE 8)	Mbps	TBD	TBD	TBD	TBD
NOTE 1: Additional parameters are specified in Table A.3.1-1 and Table A.3.3.1-1.					
NOTE 2: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit).					
NOTE 3: SS/PBCH block is transmitted in slot 0 with periodicity 20 ms					
NOTE 4: Slot i is slot index per 2 frames					
NOTE 5: When this DL RMC used together with the UL RMC for the transmitter requirements requiring at least one sub frame (1ms) for the measurement period, Slot i, if $\text{mod}(i, 16) = \{7, \dots, 15\}$ for i from $\{0, \dots, 159\}$ together with the TDD UL-DL configuration specified in A2.3.					
NOTE 6: When this DL RMC used together with the UL RMC for the transmitter requirements requiring at least one sub frame (1ms) for the measurement period, Slot i, if $\text{mod}(i, 16) = \{0, \dots, 6\}$ for i from $\{0, \dots, 159\}$ together with the TDD UL-DL configuration specified in A2.3.					
NOTE 7: First number corresponds to the number slots allocated in the first frame of the RMC; second number corresponds to the number slots allocated in the second frame of the RMC.					
NOTE 8: Throughput is averaged over 2nd frame of RMC.					

Table A.3.2.1.3-2: PDSCH Reference Channel for FDD (16/64QAM)

Parameter	Unit	Value			
		50	100	200	400
Channel bandwidth	MHz	50	100	200	400
Subcarrier spacing configuration $\mu$		3	3	3	3
Allocated resource blocks		32	66	132	264
Subcarriers per resource block		12	12	12	12
Allocated slots per Frame (NOTE 6)					
MCS index					
Modulation					
Target Coding Rate					
Maximum number of HARQ transmissions					
Information Bit Payload per Slot					
For Slots 0 and Slot i, if $\text{mod}(i, 5) = \{3,4\}$ for i from $\{0, \dots, 159\}$	Bits				
For Slot i, if $\text{mod}(i, 5) = \{0,1,2\}$ for i from $\{1, \dots, 159\}$	Bits				
Transport block CRC	Bits				
LDPC base graph					
Number of Code Blocks per Slot					
For Slots 0 and Slot i, if $\text{mod}(i, 5) = \{3,4\}$ for i from $\{0, \dots, 159\}$	CBs				
For Slot i, if $\text{mod}(i, 5) = \{0,1,2\}$ for i from $\{1, \dots, 159\}$	CBs				
Binary Channel Bits Per Slot					
For Slots 0 and Slot i, if $\text{mod}(i, 5) = \{3,4\}$ for i from $\{0, \dots, 159\}$	Bits				
For Slot i, if $\text{mod}(i, 5) = \{0,1,2\}$ for i from $\{1, \dots, 159\}$	Bits				
Max. Throughput averaged over 1 frame (NOTE 7)	Mbps				
NOTE 1: Additional parameters are specified in Table A.3.1-1 and Table A.3.3.1-1.					
NOTE 2: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit).					
NOTE 3: SS/PBCH block is transmitted in slot 0 of each frame					
NOTE 4: Slot i is slot index per frame					
NOTE 5: PTRS is configured on symbols containing PDSCH with 1 port, per 2PRB in frequency domain, per symbol in time domain. Overhead for TBS calculation is assumed to be 6.					
NOTE 6: First number corresponds to the number slots allocated in the first frame of the RMC; second number corresponds to the number slots allocated in the second frame of the RMC.					
NOTE 7: Throughput is averaged over 2nd frame of RMC.					

## A.4 Testing related to Satellite Access

### A.4.1 General

The following test conditions should be maintained for Satellite Access when test equipment emulates the snapshot of the satellite link channel.

- The same ephemeris info will be maintained during each test.
- A set of ephemeris information are pre-defined for each satellite corresponding to respective epoch times in TS 38.508-1 [13].
- The range of the selected constant delay shift is as follows:
  - For NGSO an altitude of 600km and 1200km on a circular orbit are considered. The range of the one-way delay between UE and satellite is from 2ms (lowest value for LEO orbit 600km) to 6.67ms (highest value for LEO orbit 1200km).
  - For GSO the range of the one-way delay from UE to satellite is within 119.375ms to 128.79ms.

- Constant delay value is derived from ephemeris info (SIB19) and UE location associated to zero Doppler or non-zero Doppler value under test.

## A.4.2 Test condition for transmitter characteristics

All requirements in section 6 for transmitter characteristics, other than frequency error in clause 6.4.1, shall be verified when Doppler conditions are set to zero and delay conditions are set to constant for all types of satellites.

Frequency error requirement in clause 6.4.1 shall be verified for at least two cases: one with zero Doppler condition and the other with a constant Doppler shift where the range of the absolute value of Doppler is greater than zero and up to [0.93] ppm if the IE field *ntn-ScenarioSupport-r17* is present and indicated as GSO and up to 24 ppm if the IE field *ntn-ScenarioSupport-r17* is present and indicated as NGSO or only the IE field *nonTerrestrialNetwork-r17* is present. The delay condition is a constant.

## A.4.3 Test condition for receiver characteristics

All requirements in section 7 for receiver characteristics shall be verified when Doppler conditions are set to zero and delay conditions are set to constant for all types of satellites.

## A.4.4 Test condition for performance requirements

All requirements in section 8 for performance requirements shall be verified when Doppler conditions related to satellite motion for DL in service link are set to zero and delay conditions are set to constant for all types of NGSO satellites.

The one-way delay between UE and satellite for NGSO at an altitude of 600km is 2ms.

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## Annex B (normative): Propagation conditions

### B.1 Static propagation condition

#### B.1.1 UE Receiver with 1Rx

For 2 port transmission the channel matrix is defined in the frequency domain by

$$\mathbf{H} = [1 \ 1].$$

#### B.1.2 UE Receiver with 2Rx

For 1 port transmission the channel matrix is defined in the frequency domain by

$$\mathbf{H} = \begin{pmatrix} 1 \\ 1 \end{pmatrix}.$$

For 2 port transmission the channel matrix is defined in the frequency domain by

$$\mathbf{H} = \begin{pmatrix} 1 & j \\ 1 & -j \end{pmatrix}.$$

---

## B.2 Multi-path fading propagation conditions

The multipath propagation conditions consist of several parts:

- A delay profile in the form of a "tapped delay-line", characterized by a number of taps at fixed positions on a sampling grid. The profile can be further characterized by the r.m.s. delay spread and the maximum delay spanned by the taps.
- A combination of channel model parameters that include the Delay profile and the Doppler spectrum that is characterized by a classical spectrum shape and a maximum Doppler frequency.

Initial channel matrix for LOS component of NTN-TDL-C channel model is equal to channel matrix of Static propagation conditions in Clause B.1.

### B.2.1 Delay profiles

The delay profiles are derived from the TR 38.811 [12] NTN-TDL models for the desired delay spread and tap resolution. After scaling the normalized delay spread values for each tap by the desired RMS delay spread, the tap delays are quantized to a delay resolution of 5ns by rounding to the nearest multiple of the delay resolution.



**Table B.2.1-1: Delay profiles for NR NTN channel models**

Type	Model	Delay spread (r.m.s.)	Delay resolution
NLOS	NTN-TDLA100	100 ns	5 ns
LOS	NTN-TDLC5	5 ns	5 ns

**Table B.2.1-2: NTN-TDLA100 (DS = 100 ns)**

Tap #	Delay [ns]	Power [dB]	Fading distribution
1	0	0	Rayleigh
2	110	-4.7	Rayleigh
3	285	-6.5	Rayleigh

**Table B.2.1-3 NTN-TDLC5 (DS = 5 ns)**

Tap #	Delay [ns]	Power [dB]	Fading distribution
1	0	-0.6	LOS path
	0	-8.9	Rayleigh
2	60	-21.5	Rayleigh

Note 1: Tap #1 follows a Rician distribution.

## B.2.2 Combinations of channel model parameters

The propagation conditions used for the performance measurements in multi-path fading environment are indicated as a combination of a channel model name and a maximum Doppler frequency, i.e., NTN-TDLA<DS>-<Doppler>, or NTN-TDLC<DS>-<Doppler> where '<DS>' indicates the desired delay spread and '<Doppler>' indicates the maximum Doppler frequency (Hz).

Table B.2.2-1 show the propagation conditions that are used for the performance measurements in multi-path fading environment for NLOS and LOS propagation conditions.

**Table B.2.2-1: Channel model parameters for NTN**

Combination name	Model	Maximum Doppler frequency
NTN-TDLA100-200	NTN-TDLA100	200 Hz
NTN-TDLC5-200	NTN-TDLC5	200 Hz

## B.2.3 MIMO Channel Correlation Matrices

The MIMO channel correlation matrices defined in B.2.3 apply for the antenna configuration using uniform linear arrays at both gNB and UE.

### B.2.3.1 MIMO Correlation Matrices using Uniform Linear Array (ULA)

The MIMO channel correlation matrices defined in B.2.3.1 apply for the antenna configuration using uniform linear array (ULA) at both gNB and UE.

#### B.2.3.1.1 Definition of MIMO Correlation Matrices

Table B.2.3.1.1-1 defines the correlation matrix for the gNB.

**Table B.2.3.1.1-1: gNB correlation matrix**

	One antenna	Two antennas
gNB Correlation	$R_{gNB} = 1$	$R_{gNB} = \begin{pmatrix} 1 & \alpha \\ \alpha^* & 1 \end{pmatrix}$

Table B.2.3.1.1-2 defines the correlation matrix for the UE:

**Table B.2.3.1.1-2: UE correlation matrix**

	One antenna	Two antennas
UE Correlation	$R_{UE} = 1$	$R_{UE} = \begin{pmatrix} 1 & \beta \\ \beta^* & 1 \end{pmatrix}$

Table B.2.3.1.1-3 defines the channel spatial correlation matrix  $R_{spat}$ . The parameters,  $\alpha$  and  $\beta$  in Table B.2.3.1-3 defines the spatial correlation between the antennas at the gNB and UE.

**Table B.2.3.1.1-3:  $R_{spat}$  correlation matrices**

<b>1x2 case</b>	$R_{spat} = R_{UE} = \begin{bmatrix} 1 & \beta \\ \beta^* & 1 \end{bmatrix}$
<b>2x1 case</b>	$R_{spat} = R_{gNB} = \begin{bmatrix} 1 & \alpha \\ \alpha^* & 1 \end{bmatrix}$
<b>2x2 case</b>	$R_{spat} = R_{gNB} \otimes R_{UE} = \begin{bmatrix} 1 & \alpha \\ \alpha^* & 1 \end{bmatrix} \otimes \begin{bmatrix} 1 & \beta \\ \beta^* & 1 \end{bmatrix} = \begin{bmatrix} 1 & \beta & \alpha & \alpha\beta \\ \beta^* & 1 & \alpha\beta^* & \alpha \\ \alpha^* & \alpha^*\beta & 1 & \beta \\ \alpha^*\beta^* & \alpha^* & \beta^* & 1 \end{bmatrix}$

### B.2.3.1.2 MIMO Correlation Matrices at High, Medium and Low Level

The  $\alpha$  and  $\beta$  for different correlation types are given in Table B.2.3.1.2-1.

**Table B.2.3.1.2-1: The  $\alpha$  and  $\beta$  parameters for ULA MIMO correlation matrices**

Correlation Model	$\alpha$	$\beta$
Low correlation	0	0

The correlation matrices low correlation are defined in Table B.2.3.1.2-2 below.

**Table B.2.3.1.2-2: MIMO correlation matrices for low correlation**

1x2 case	$R_{low} = \mathbf{I}_2$
2x1 case	$R_{low} = \mathbf{I}_2$
2x2 case	$R_{low} = \mathbf{I}_4$
Note: $\mathbf{I}_d$ is the $d \times d$ identity matrix.	

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## Annex C (normative): Downlink physical channels

### C.1 General

This annex specifies the downlink physical channels that are needed for setting a connection and channels that are needed during a connection.

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### C.2 Setup (Conducted)

Table C.2-1 describes the downlink Physical Channels that are required for connection set up.

**Table C.2-1: Downlink Physical Channels required for connection set-up**

Physical Channel
PBCH
SSS
PSS
PDCCH
PDSCH
PBCH DMRS
PDCCH DMRS
PDSCH DMRS
CSI-RS

---

### C.3 Connection (Conducted)

The following clauses, describes the downlink Physical Channels that are transmitted during a connection i.e., when measurements are done.

#### C.3.1 Measurement of Performance requirements

Table C.3.1-1 is applicable for measurements in which uniform RS-to-EPRE boosting for all downlink physical channels, unless otherwise stated.

**Table C.3.1-1: Downlink Physical Channels transmitted during a connection (FDD and TDD)**

Parameter	Unit	Value (Note 2)
SSS transmit power	W	Test specific
EPRE ratio of PSS to SSS	dB	0
EPRE ratio of PBCH to SSS	dB	0
EPRE ratio of PBCH to PBCH DMRS	dB	0
EPRE ratio of PDCCH to SSS	dB	0
EPRE ratio of PDCCH to PDCCH DMRS	dB	0
EPRE ratio of PDSCH to SSS	dB	0
EPRE ratio of PDSCH to PDSCH DMRS	dB	Test specific (Note 1)
EPRE ratio of CSI-RS to SSS	dB	$-10 \cdot \log_{10}(L)$ (Note 3)
EPRE ratio of OCNG to SSS	dB	0
EPRE ratio of PDCCH OCNG to SSS	dB	0
EPRE ratio of LTE CRS to NR SSS	dB	0 (Note 4)
Note 1: Value is derived from Table 4.1-1 in TS 38.214 [12] based on "Number of DM-RS CDM groups without data" and "DMRS Type" parameters specified for each test.		
Note 2: The value is the energy of per RE for a single antenna port before pre-coding.		
Note 3: $L \in \{1,2,4,8\}$ is the CDM group size of NZP CSI-RS specified for each test.		
Note 4: It is only applicable to LTE-NR coexistence tests.		

Annex D (informative):  
Void

## Annex E (normative): Environmental conditions

### E.1 General

This annex specifies the environmental requirements of the UE. Within these limits the requirements of the present documents shall be fulfilled.

### E.2 Environmental (Conducted)

The requirements in this clause apply to all types of UE(s).

#### E.2.1 Temperature

The UE shall fulfil all the requirements in the temperature range defined in Table E.2.1-1.

**Table E.2.1-1: Temperature conditions**

Temperature	Temperature conditions
+15°C to +35°C	For normal conditions (with relative humidity of 25 % to 75 %)

Outside this temperature range the UE, if powered on, shall not make ineffective use of the radio frequency spectrum. In no case shall the UE exceed the transmitted levels as defined in clause 6.2 of TS 38.101-1 [6] for extreme operation.

#### E.2.2 Voltage

The UE shall fulfil all the requirements in the voltage range defined in Table E.2.2-1.

**Table E.2.2-1: Voltage conditions**

Power source	Normal conditions voltage
AC mains	nominal
Regulated lead acid battery	1,1 * nominal
Non regulated batteries:	
Leclanché	Nominal
Lithium	1,1 * Nominal
Mercury/nickel & cadmium	Nominal

Outside this voltage range the UE if powered on, shall not make ineffective use of the radio frequency spectrum. In no case shall the UE exceed the transmitted levels as defined in TS 38.101-1[6, Clause 6.2] for extreme operation. In particular, the UE shall inhibit all RF transmissions when the power supply voltage is below the manufacturer declared shutdown voltage.

## E.2.3 Vibration

The UE shall fulfil all the requirements when vibrated at the following frequency/amplitudes.

**Table E.2.3-1: Vibration conditions**

Frequency	ASD (Acceleration Spectral Density) random vibration
5 Hz to 20 Hz	0,96 m <sup>2</sup> /s <sup>3</sup>
20 Hz to 500 Hz	0,96 m <sup>2</sup> /s <sup>3</sup> at 20 Hz, thereafter –3 dB/Octave

Outside the specified frequency range the UE, if powered on, shall not make ineffective use of the radio frequency spectrum. In no case shall the UE exceed the transmitted levels as defined in TS 38.101-1[6] for extreme operation.

## Annex F (informative): Antenna modelling for NTN VSAT

[To be updated]



## Annex G (informative): Change history

Change history							
Date	Meeting	TDoc	CR	Rev	Cat	Subject/Comment	New version
2022-01	RAN4#10 1-bis-e	R4-2203086				Draft skeleton approved	0.0.1
2022-03	RAN4#10 2-e	R4-2207514				Added approved TPs in RAN4#102-e including: R4-2207332, R4-2207334, R4-2207343, R4-2207344, R4-2207391, R4-2207393, R4-2207394, R4-2207396, R4-2207400, R4-2207404, R4-2207405, R4-2207410, R4-2207411, R4-2207413, R4-2207415	0.1.0
2022-05	RAN4#10 3-e	R4-2208641				Added approved TPs in RAN4#103-e including: R4-2208662, TP to TS 38.101-5 on Conducted transmitter characteristics R4-2209366, TP for 38.101-5 on Output RF spectrum emissions for satellite UE except for UE coexistence R4-2210851, Draft text proposal for Clause 3 - TS 38.101-5 R4-2210874, TP to TS 38.101-5 on 7.3 Reference Sensitivity R4-2210876, Updates to TS 38.101-5 related to n255 A-MPR clause R4-2210877, TP for 38.101-5 on Spurious emissions for UE coexistence R4-2210878, TP to update TS 38.101-5 clause 7.6.3 on OOB R4-2211220, TP for 38.101-5 on frequency error	0.2.0

Change history							
Date	Meeting	TDoc	CR	Rev	Cat	Subject/Comment	New version
2022-06	RAN#96					Approved by plenary – Rel-17 spec under change control	17.0.0
2022-09	RAN#97	RP-222035	0001	1	F	CR to 38.101-5: Corrections on Rx requirements for NTN UE	17.1.0
2022-09	RAN#97	RP-222035	0002		F	CR to TS 38.101-5 - Tx requirements issues fixes	17.1.0
2022-09	RAN#97	RP-222035	0003	1	F	CR to TS 38.101-5 - Rx requirements issues fixes	17.1.0
2022-12	RAN#98-e	RP-223306	0005	1	F	CR: 0005 Doppler test conditions for RF requirements 38.101-5	17.2.0
2022-12	RAN#98-e	RP-223306	0006		F	CR to 38.101-5: Corrections on section 5.3.3 for NTN UE	17.2.0
2022-12	RAN#98-e	RP-223311	0010	2	F	CR to 38.101-5 for NTN UE RF requirements corrections	17.2.0
2022-12	RAN#98-e	RP-223309	0012		F	CR addition of protection for n100 and n101 into 38.101-5	17.2.0
2022-12	RAN#98-e	RP-223311	0013		F	CR to 38.101-5: Corrections on reference for NTN UE	17.2.0
2022-12	RAN#98-e	RP-223303	0015		B	Big CR for UE NTN performance requirements (TS38.101-5, Rel-17, CAT B)	17.2.0

Date	Meeting	TDoc	CR	Rev	Cat	Subject/Comment	New version
2022-12	RAN#98-e	RP-223315	0009	1	B	CR related to Introduction of LTE TDD Band in 1670 – 1675 MHz	18.0.0
2023-03	RAN#99	RP-230535	0016		B	CR related to Introduction of NR TDD Band n54	18.1.0
2023-03	RAN#99	RP-230516	0018	1	A	Correction of the out-of-band blocking requirements	18.1.0
2023-06	RAN#100	RP-231344	0026		A	Correction to reference measurement channels for NTN	18.2.0
2023-09	RAN#101	RP-232494	0028		A	CR to TS38.101-5: Corrections to NR-NTN requirements (Rel-18)	18.3.0
2023-09	RAN#101	RP-232494	0031		A	[NR_NTN_solutions-Core] CR to 38.101-5 corrections A-MPR requirement reference-r18	18.3.0
2023-09	RAN#101	RP-232522	0033	1	B	CR for TS 38.101-5 – Adding 30 MHz CBW for NTN UE	18.3.0
2023-09	RAN#101	RP-232494	0035	1	A	Clarifications to 38.101-5 (Rel-18)	18.3.0
2023-12	RAN#102	RP-233365	0039		B	Introduction of the enhanced channel raster to TS 38.101-5	18.4.0
2023-12	RAN#102	RP-233349	0042		A	CR to 38.101-5 on clarification for NR NTN UE RF and Demod requirements test conditions	18.4.0
2023-12	RAN#102	RP-233349	0044		A	CR to 38.101-5: Correction on the reference measurement channel for NTN PDSCH requirement	18.4.0
2023-12	RAN#102	RP-233349	0046		A	[NR_NTN_solutions-Core] CR for 38.101-5 to align the understanding of GEO (R18)	18.4.0
2023-12	RAN#102	RP-233349	0052		A	Clarification for the Pi/2 BPSK modulation	18.4.0
2023-12	RAN#102	RP-233366	0054	1	B	Introduction of the NTN L/S-band	18.4.0
2024-03	RAN#103	RP-240609	0055	1	F	Correction of the A-MPR values for the satellite band n254	18.5.0
2024-03	RAN#103	RP-240609	0057		F	Adding satellite band n254 to the list of bands with enhanced channel raster	18.5.0
2024-03	RAN#103	RP-240569	0059		A	(NR_NTN_solutions-Core) CR for TS 38.101-5 on NTN spurious emission and reference sensitivity power level (R18_CAT_A)	18.5.0
2024-03	RAN#103	RP-240589	0060		B	Big CR on TS38.101-5 for UE RF Requirements	18.5.0
2024-03	RAN#103	RP-240570	0064		A	(NR_NTN_solutions-Core) CR for TS 38.101-5 to update NTN frequency range (R18)	18.5.0
2024-03	RAN#103	RP-240589	0068	1	B	CR for 38.101-5 to introduce Phase continuity requirements for NTN UE DMRS bundling	18.5.0
2024-03	RAN#103	RP-240610	0070		F	CR to TS38.101-5: Addition of some missing bands in UE spurious emissions coexistence clause	18.5.0
2024-03	RAN#103	RP-240570	0074	4	F	Correction on DSS support for the NTN bands from Rel-18	18.5.0
2024-03	RAN#103	RP-240552	0076		A	UL RMCs updates for NR NTN (Rel-18)	18.5.0

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# History

<b>Document history</b>		
V18.5.0	May 2024	Publication