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## 1 Scope

The present document establishes the minimum RF requirements for NR User Equipment (UE) operating on frequency Range 1.

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For a specific reference, subsequent revisions do not apply.

For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document in the same Release as the present document.

[1]	3GPP TR 21.905: "Vocabulary for 3GPP Specifications".
[2]	3GPP TS 38.101-2: "NR; User Equipment (UE) radio transmission and reception; Part 2: Range 2 Standalone".
[3]	3GPP TS 38.101-3: "NR; User Equipment (UE) radio transmission and reception; Part 3: Range 1 and Range 2 Interworking operation with other radios".
[4]	3GPP TS 38.521-1: "NR; User Equipment (UE) conformance specification; Radio transmission and reception; Part 1: Range 1 Standalone".
[5]	Recommendation ITU-R M.1545: "Measurement uncertainty as it applies to test limits for the terrestrial component of International Mobile Telecommunications-2000".
[6]	3GPP TS 38.211: "NR; Physical channels and modulation".
[7]	3GPP TS 38.331: "Radio Resource Control (RRC) protocol specification".
[8]	3GPP TS 38.213: "NR; Physical layer procedures for control".

## 3 Definitions, symbols and abbreviations

#### 3.1 Definitions

For the purposes of the present document, the terms and definitions given in 3GPP TR 21.905 [1] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in 3GPP TR 21.905 [1].

**Aggregated Channel Bandwidth**: The RF bandwidth in which a UE transmits and receives multiple contiguously aggregated carriers.

Carrier aggregation: Aggregation of two or more component carriers in order to support wider transmission bandwidths.

Carrier aggregation band: A set of one or more operating bands across which multiple carriers are aggregated with a specific set of technical requirements.

**Carrier aggregation bandwidth class**: A class defined by the aggregated transmission bandwidth configuration and maximum number of component carriers supported by a UE.

**Carrier aggregation configuration**: A combination of CA operating band(s) and CA bandwidth class(es) supported by a UE.

**Contiguous carriers**: A set of two or more carriers configured in a spectrum block where there are no RF requirements based on co-existence for un-coordinated operation within the spectrum block.

Contiguous resource allocation: A resource allocation of consecutive resource blocks within one carrier or across contiguously aggregated carriers. The gap between contiguously aggregated carriers due to the nominal channel spacing is allowed.

Contiguous spectrum: Spectrum consisting of a contiguous block of spectrum with no sub-block gaps.

Inter-band carrier aggregation: Carrier aggregation of component carriers in different operating bands.

NOTE: Carriers aggregated in each band can be contiguous or non-contiguous.

Intra-band contiguous carrier aggregation: Contiguous carriers aggregated in the same operating band.

Intra-band non-contiguous carrier aggregation: Non-contiguous carriers aggregated in the same operating band.

**Sub-block**: This is one contiguous allocated block of spectrum for transmission and reception by the same UE. There may be multiple instances of sub-blocks within an RF bandwidth.

**Sub-block bandwidth**: The bandwidth of one sub-block.

**Sub-block gap**: A frequency gap between two consecutive sub-blocks within an RF bandwidth, where the RF requirements in the gap are based on co-existence for un-coordinated operation.

## 3.2 Symbols

For the purposes of the present document, the following symbols apply:

 $\begin{array}{ll} \Delta F_{Global} & Granularity \ of \ the \ global \ frequency \ raster \\ \Delta F_{Raster} & Band \ dependent \ channel \ raster \ granularity \\ \Delta f_{OOB} & \Delta \ Frequency \ of \ Out \ Of \ Band \ emission \end{array}$ 

 $\begin{array}{ll} \Delta F_{TX\text{-RX}} & \Delta \text{ Frequency of default TX-RX separation of the FDD } \textit{operating band} \\ \Delta P_{PowerClass} & \Delta \text{ djustment to maximum output power for a given power class} \end{array}$ 

 $\Delta_{RB}$  The starting frequency offset between the allocated RB and the measured non-allocated RB $\Delta_{RB,c}$ 

Allowed reference sensitivity relaxation due to support for inter-band CA operation, for serving

cell c

 $\Delta R_{IB,4R}$  Reference sensitivity adjustment due to support for 4 antenna ports

 $\Delta_{Shift}$  Channel raster offset for SUL

 $\Delta T_{\rm C}$  Allowed operating band edge transmission power relaxation

 $\Delta T_{C,c}$  Allowed operating band edge transmission power relaxation for serving cell c

ΔT<sub>IB,c</sub> Allowed maximum configured output power relaxation due to support for inter-band CA

operation, for serving cell c

ΔT<sub>IB,c</sub> Allowed maximum configured output power relaxation due to support for inter-band CA

operation, for serving cell c

BW<sub>Channel</sub> Channel bandwidth

 $BW_{Channel,block} \qquad \text{Sub-block bandwidth, expressed in MHz. } BW_{Channel,block} = F_{edge,block,high} - F_{edge,block,low} - F_{edge,block,low} - F_{edge,block,high} - F_{edge,block,low} - F_{edge,block,high} - F_{e$ 

BW<sub>Channel\_CA</sub> Aggregated channel bandwidth, expressed in MHz

BW<sub>Channel,max</sub> Maximum channel bandwidth supported among all bands in a release

 $BW_{GB}$  max( $BW_{GB,Channel(k)}$ )

 $BW_{GB,block,low}$  The minimum guardband for the lowest assigned component carrier within a *sub-block* The minimum guardband for the highest assigned component carrier within a *sub-block* 

 $BW_{GB,Channel(k)}$  Minimum guard band defined in sub-clause 5.3A.1 of carrier k

 $\begin{array}{ll} BW_{DL} & Channel \ bandwidth \ for \ DL \\ BW_{UL} & Channel \ bandwidth \ for \ UL \\ BW_{interferer} & Bandwidth \ of \ the \ interferer \end{array}$ 

Ceil(x) Rounding upwards; ceil(x) is the smallest integer such that ceil(x)  $\geq$  x Floor(x) Rounding downwards; floor(x) is the greatest integer such that floor(x)  $\leq$  x F<sub>C</sub> RF reference frequency on the channel raster, given in table 5.4.2.2-1

F<sub>C,block, high</sub>
Fc of the highest transmitted/received carrier in a *sub-block*F<sub>C,block, low</sub>
Fc of the lowest transmitted/received carrier in a *sub-block* 

 $\begin{array}{ll} F_{C,low} & \text{The Fc of the lowest carrier, expressed in MHz} \\ F_{C,high} & \text{The Fc of the highest carrier, expressed in MHz} \end{array}$ 

 $\begin{array}{ll} F_{DL\_low} & \text{The lowest frequency of the downlink } \textit{operating band} \\ F_{DL\_high} & \text{The highest frequency of the downlink } \textit{operating band} \\ F_{UL\_low} & \text{The lowest frequency of the uplink } \textit{operating band} \\ F_{UL\_high} & \text{The highest frequency of the uplink } \textit{operating band} \\ \end{array}$ 

 $F_{edge\_low}$  The lower edge of aggregated channel bandwidth, expressed in MHz.  $F_{edge,low} = F_{C,low} - F_{offset,low}$ . The higher edge of aggregated channel bandwidth, expressed in MHz.  $F_{edge,high} = F_{C,high} + F_{offset,high}$ . Frequency offset of the interferer (between the center frequency of the interferer and the carrier

frequency of the carrier measured)

F<sub>Interferer</sub> Frequency of the interferer

F<sub>Ioffset</sub> Frequency offset of the interferer (between the center frequency of the interferer and the closest

edge of the carrier measured)

 $F_{offset}$  Frequency offset from  $F_{C\_high}$  to the *higher edge* or  $F_{C\_low}$  to the *lower edge*.

Foffset,block.low Separation between lower edge of a *sub-block* and the center of the lowest component carrier

within the sub-block

F<sub>offset,block,high</sub> Separation between higher edge of a *sub-block* and the center of the highest component carrier

within the *sub-bloc*k

 $F_{offset,high}$  Frequency offset from  $F_{C,high}$  to the upper *UE RF Bandwidth edge*, Frequency offset from  $F_{C,low}$  to the lower *UE RF Bandwidth edge*,

F<sub>OOB</sub> The boundary between the NR out of band emission and spurious emission domains

 $\begin{array}{ll} F_{REF} & RF \ reference \ frequency \\ F_{REF-Offs} & Offset \ used \ for \ calculating \ F_{REF} \end{array}$ 

F<sub>REF,Shift</sub> RF reference frequency for Supplementary Uplink (SUL) bands

F<sub>uw</sub> (offset) The frequency separation of the center frequency of the carrier closest to the interferer and the

center frequency of the interferer

GB<sub>Channel</sub> Minimum guard band defined in sub-clause 5.3.3

L<sub>CRB</sub> Transmission bandwidth which represents the length of a contiguous resource block allocation

expressed in units of resources blocks

Max()The largest of given numbersMin()The smallest of given numbers $n_{PRB}$ Physical resource block number

NR<sub>ACLR</sub> NR ACLR

N<sub>RB</sub> Transmission bandwidth configuration, expressed in units of resource blocks

 $N_{RB,block,low}$  The transmission bandwidth configurations for the lowest assigned component carrier within the

sub-block

N<sub>RB,block,high</sub> The transmission bandwidth configurations for the highest assigned component carrier within the

sub-block

N<sub>RB,low</sub> The transmission bandwidth configurations according to Table 5.3.2-1 for the lowest assigned

component carrier in section 5.3A.1

N<sub>RB,high</sub> The transmission bandwidth configurations according to Table 5.3.2-1 for the highest assigned

component carrier in section 5.3A.1

NR Absolute Radio Frequency Channel Number (NR-ARFCN)

N<sub>REF-Offs</sub> Offset used for calculating N<sub>REF</sub>

P<sub>CMAX</sub> The configured maximum UE output power

 $P_{CMAX, c}$  The configured maximum UE output power for serving cell c

 $P_{CMAX, f, c}$  The configured maximum UE output power for carrier f of serving cell c in each slot

P<sub>EMAX</sub> Maximum allowed UE output power signalled by higher layers

 $P_{EMAX, c}$  Maximum allowed UE output power signalled by higher layers for serving cell c

 $P_{Interferer}$  Modulated mean power of the interferer

P<sub>PowerClass</sub> is the nominal UE power (i.e., no tolerance)

 $\begin{array}{ll} \text{P-MPR}_c & \text{Maximum allowed UE output power reduction for serving cell } c \\ \text{P}_{\text{RB}} & \text{The transmitted power per allocated RB, measured in dBm} \\ \text{P}_{\text{UMAX}} & \text{The measured configured maximum UE output power} \end{array}$ 

Puw Power of an unwanted DL signal Pw Power of a wanted DL signal

 $\begin{array}{lll} RB_{start} & Indicates the lowest RB index of transmitted resource blocks \\ SCS_{block,low} & SCS for the lowest assigned component carrier within the \textit{sub-block} \\ SCS_{block,high} & SCS for the highest assigned component carrier within the \textit{sub-block} \\ SCS_{low} & SCS for the lowest assigned component carrier in section 5.3A.1 \\ SCS_{high} & SCS for the highest assigned component carrier in section 5.3A.1 \\ \end{array}$ 

 $T(P_{CMAX}, f, c)$  Tolerance for applicable values of  $P_{CMAX}, f, c$  for configured maximum UE output power for carrier

f of serving cell c

T<sub>L,c</sub> Absolute value of the lower tolerance for the applicable *operating band* as specified in section

6.2.1

SS<sub>REF</sub> SS block reference frequency position

UTRA<sub>ACLR</sub> UTRA ACLR

#### 3.3 Abbreviations

For the purposes of the present document, the abbreviations given in 3GPP TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in 3GPP TR 21.905 [1].

Abbreviation format (EW)

ACLR Adjacent Channel Leakage Ratio
ACS Adjacent Channel Selectivity

A-MPR Additional Maximum Power Reduction

BS Base Station
BW Bandwidth
BWP Bandwidth Part
CA Carrier Aggregation

CA\_nX-nY Inter-band CA of component carrier(s) in one sub-block within Band X and component carrier(s)

in one sub-block within Band Y where X and Y are the applicable NR operating band

CC Component Carriers
CP-OFDM Cyclic Prefix-OFDM
CW Continuous Wave
DC Dual Connectivity

DFT-s-OFDM Discrete Fourier Transform-spread-OFDM

DM-RS Demodulation Reference Signal

DTX Discontinuous TransmissionE-UTRA Evolved UTRA

EVM Error Vector Magnitude FR Frequency Range FRC Fixed Reference Channel

GSCN Global Synchronization Channel Number

IBB In-band Blocking

IDFT Inverse Discrete Fourier Transformation

ITU-R Radiocommunication Sector of the International Telecommunication Union

MBW Measurement bandwidth defined for the protected band

MOP Maximum Output Power

MPR Allowed maximum power reduction
MSD Maximum Sensitivity Degradation

NR New Radio

NR-ARFCN NR Absolute Radio Frequency Channel Number

NS Network Signalling

OCNG OFDMA Channel Noise Generator

OOB Out-of-band

P-MPR Power Management Maximum Power Reduction

PRB Physical Resource Block

QAM Quadrature Amplitude Modulation

RE Resource Element
REFSENS Reference Sensitivity
RF Radio Frequency

RMS Root Mean Square (value)

RSRP Reference Signal Receiving PowerRx Receiver

SC Single Carrier
SCS Subcarrier spacing
SDL Supplementary Downlink
SEM Spectrum Emission Mask
SNR Signal-to-Noise Ratio

SRS Sounding Reference SymbolSUL Supplementary uplink

SS Synchronization Symbol TAE Time Alignment Error

Tx Transmitter

UL-MIMO Uplink Multiple Antenna transmission

#### 4 General

## 4.1 Relationship between minimum requirements and test requirements

The present document is a Single-RAT specification for NR UE, covering RF characteristics and minimum performance requirements. Conformance to the present specification is demonstrated by fulfilling the test requirements specified in the conformance specification 3GPP TS 38.521-1 [4].

The Minimum Requirements given in this specification make no allowance for measurement uncertainty. The test specification TS 38.521-1 [4] defines test tolerances. These test tolerances are individually calculated for each test. The test tolerances are used to relax the minimum requirements in this specification to create test requirements. For some requirements, including regulatory requirements, the test tolerance is set to zero.

The measurement results returned by the test system are compared - without any modification - against the test requirements as defined by the shared risk principle.

The shared risk principle is defined in Recommendation ITU-R M.1545 [5].

## 4.2 Applicability of minimum requirements

- a) In this specification the Minimum Requirements are specified as general requirements and additional requirements. Where the Requirement is specified as a general requirement, the requirement is mandated to be met in all scenarios
- b) For specific scenarios for which an additional requirement is specified, in addition to meeting the general requirement, the UE is mandated to meet the additional requirements.
- c) The spurious emissions power requirements are for the long-term average of the power. For the purpose of reducing measurement uncertainty it is acceptable to average the measured power over a period of time sufficient to reduce the uncertainty due to the statistical nature of the signal

## 4.3 Specification suffix information

Unless stated otherwise the following suffixes are used for indicating at 2<sup>nd</sup> level subclause, shown in Table 4.3-1.

Table 4.3-1: Definition of suffixes

Clause suffix	Variant
None	Single Carrier
Α	Carrier Aggregation (CA)
В	Dual-Connectivity (DC)
С	Supplement Uplink (SUL)
D	UL MIMO

A terminal which supports the above features needs to meet both the general requirements and the additional requirement applicable to the additional subclause (suffix A, B, C and D) in clauses 5, 6 and 7. Where there is a difference in requirement between the general requirements and the additional subclause requirements (suffix A, B, C and D) in clauses 5, 6 and 7, the tighter requirements are applicable unless stated otherwise in the additional subclause.

A terminal which supports more than one feature in clauses 5, 6 and 7 shall meet all of the separate corresponding requirements.

For a terminal that supports SUL for the band combination specified in Table 5.2C-1, the current version of the specification assumes the terminal is configured with active transmission either on UL carrier or SUL carrier at any

time in one serving cell and the UE requirements for single carrier shall apply for the active UL or SUL carrier accordingly.

## 5 Operating bands and channel arrangement

#### 5.1 General

The channel arrangements presented in this clause are based on the operating bands and channel bandwidths defined in the present release of specifications.

NOTE: Other operating bands and channel bandwidths may be considered in future releases.

Requirements throughout the RF specifications are in many cases defined separately for different frequency ranges (FR). The frequency ranges in which NR can operate according to this version of the specification are identified as described in Table 5.1-1.

Table 5.1-1: Definition of frequency ranges

Frequency range designation	Corresponding frequency range
FR1	450 MHz – 6000 MHz
FR2	24250 MHz - 52600 MHz

The present specification covers FR1 operating bands.

## 5.2 Operating bands

NR is designed to operate in the FR1 operating bands defined in Table 5.2-1.

Table 5.2-1: NR operating bands in FR1

NR operating band	Uplink (UL) operating band BS receive / UE transmit FuL_low - FuL_high	Downlink (DL) operating band BS transmit / UE receive FDL_low - FDL_high	Duplex Mode
n1	1920 MHz – 1980 MHz	2110 MHz – 2170 MHz	FDD
n2	1850 MHz – 1910 MHz	1930 MHz – 1990 MHz	FDD
n3	1710 MHz – 1785 MHz	1805 MHz – 1880 MHz	FDD
n5	824 MHz – 849 MHz	869 MHz – 894 MHz	FDD
n7	2500 MHz – 2570 MHz	2620 MHz – 2690 MHz	FDD
n8	880 MHz – 915 MHz	925 MHz – 960 MHz	FDD
n12	699 MHz – 716 MHz	729 MHz – 746 MHz	FDD
n20	832 MHz – 862 MHz	791 MHz – 821 MHz	FDD
n25	1850 MHz – 1915 MHz	1930 MHz – 1995 MHz	FDD
n28	703 MHz – 748 MHz	758 MHz – 803 MHz	FDD
n34	2010 MHz – 2025 MHz	2010 MHz – 2025 MHz	TDD
n38	2570 MHz – 2620 MHz	2570 MHz – 2620 MHz	TDD
n39	1880 MHz – 1920 MHz	1880 MHz – 1920 MHz	TDD
n40	2300 MHz – 2400 MHz	2300 MHz – 2400 MHz	TDD
n41	2496 MHz – 2690 MHz	2496 MHz – 2690 MHz	TDD
n50	1432 MHz – 1517 MHz	1432 MHz – 1517 MHz	TDD <sup>1</sup>
n51	1427 MHz – 1432 MHz	1427 MHz – 1432 MHz	TDD
n66	1710 MHz – 1780 MHz	2110 MHz – 2200 MHz	FDD
n70	1695 MHz – 1710 MHz	1995 MHz – 2020 MHz	FDD
n71	663 MHz – 698 MHz	617 MHz – 652 MHz	FDD
n74	1427 MHz – 1470 MHz	1475 MHz – 1518 MHz	FDD
n75	N/A	1432 MHz – 1517 MHz	SDL
n76	N/A	1427 MHz – 1432 MHz	SDL
n77	3300 MHz – 4200 MHz	3300 MHz – 4200 MHz	TDD
n78	3300 MHz – 3800 MHz	3300 MHz – 3800 MHz	TDD
n79	4400 MHz – 5000 MHz	4400 MHz – 5000 MHz	TDD
n80	1710 MHz – 1785 MHz	N/A	SUL
n81	880 MHz – 915 MHz	N/A	SUL
n82	832 MHz – 862 MHz	N/A	SUL
n83	703 MHz – 748 MHz	N/A	SUL
n84	1920 MHz – 1980 MHz	N/A	SUL
n86	1710 MHz – 1780MHz	N/A	SUL

NOTE 1: UE that complies with the NR Band n50 minimum requirements in this specification shall also comply with the NR Band n51 minimum requirements.

## 5.2A Operating bands for CA

#### 5.2A.1 Intra-band CA

NR intra-band contiguous carrier aggregation is designed to operate in the operating bands defined in Table 5.2A.1-1, where all operating bands are within FR1.

Table 5.2A.1-1: Intra-band contiguous CA operating bands in FR1

NR CA Band	NR Band (Table 5.2-1)
CA_n77	n77
CA_n78	n78
CA_n79	n79

#### 5.2A.2 Inter-band CA

NR inter-band carrier aggregation is designed to operate in the operating bands defined in Table 5.2A.2-1, where all operating bands are within FR1.

Table 5.2A.2-1: Inter-band CA operating bands involving FR1 (two bands)

NR Band (Table 5.2-1)
n3, n77
n3, n78
n3, n79
n8, n75
n8, n78
n8, n79
n28, n75
n28, n78
n41, n78
n75, n78
n77, n79
n78, n79

NOTE 1: Applicable for UE supporting inter-band carrier aggregation with mandatory simultaneous Rx/Tx capability.

NOTE 2: The frequency range in band n28 is restricted for this band combination to 703-733 MHz for the UL and 758-788 MHz for the DL.

## 5.2B Operating bands for DC

#### 5.2B.1 General

NR dual connectivity is designed to operate in the operating bands defined in Table 5.2B-1, where all operating bands are within FR1.

Table 5.2B-1: Inter-band DC operating bands involving FR1 (two bands)

NI	R DC Band	NR Band (Table 5.2-1)
NOTE:		supporting inter-band dual mandatory simultaneous Rx/Tx

## 5.2C Operating band combination for SUL

NR operation is designed to operate in the operating band combination defined in Table 5.2C-1, where all operating bands are within FR1.

Table 5.2C-1: Operating band combination for SUL in FR1

NR Band combination for SUL	NR Band (Table 5.2-1)
SUL_n78-n80 <sup>2</sup>	n78, n80
SUL_n78-n81 <sup>2</sup>	n78, n81
SUL_n78-n82 <sup>2</sup>	n78, n82
SUL_n78-n83 <sup>2</sup>	n78, n83
SUL_n78-n84 <sup>2</sup>	n78, n84
SUL_n78-n86 <sup>2</sup>	n78, n86
SUL_n79-n80 <sup>2</sup>	n79, n80
SUL_n79-n81 <sup>2</sup>	n79, n81

NOTE 1: If a UE is configured with both NR UL and NR SUL carriers in a cell, the switching time between NR UL carrier and NR SUL carrier is

Ous.

NOTE 2: For UE supporting SUL band combination simultaneous Rx/Tx capability is mandatory.

#### 5.3 UE channel bandwidth

#### 5.3.1 General

The UE channel bandwidth supports a single NR RF carrier in the uplink or downlink at the UE. From a BS perspective, different UE channel bandwidths may be supported within the same spectrum for transmitting to and receiving from UEs connected to the BS. Transmission of multiple carriers to the same UE (CA) or multiple carriers to different UEs within the BS channel bandwidth can be supported.

From a UE perspective, the UE is configured with one or more BWP / carriers, each with its own UE channel bandwidth. The UE does not need to be aware of the BS channel bandwidth or how the BS allocates bandwidth to different UEs.

The placement of the UE channel bandwidth for each UE carrier is flexible but can only be completely within the BS channel bandwidth.

## 5.3.2 Maximum transmission bandwidth configuration

The maximum transmission bandwidth configuration  $N_{RB}$  for each UE channel bandwidth and subcarrier spacing is specified in Table 5.3.2-1.

Table 5.3.2-1: Maximum transmission bandwidth configuration N<sub>RB</sub>

SCS	5MHz	10MHz	15MHz	20 MHz	25 MHz	30 MHz	40 MHz	50MHz	60 MHz	80 MHz	90 MHz	100 MHz
(kHz)	N <sub>RB</sub>	$N_{RB}$	N <sub>RB</sub>	N <sub>RB</sub>	N <sub>RB</sub>	$N_{RB}$	$N_{RB}$	$N_{RB}$	$N_{RB}$	N <sub>RB</sub>	$N_{RB}$	$N_{RB}$
15	25	52	79	106	133	160	216	270	N/A	N/A	N/A	N/A
30	11	24	38	51	65	78	106	133	162	217	245	273
60	N/A	11	18	24	31	38	51	65	79	107	121	135

## 5.3.3 Minimum guardband and transmission bandwidth configuration

The minimum guardband for each UE channel bandwidth and SCS is specified in Table 5.3.3-1,

Table 5.3.3-1: Minimum guardband for each UE channel bandwidth and SCS (kHz)

SCS (kHz)	5 MHz	10 MHz	15 MHz	20 MHz	25 MHz	30 MHz	40 MHz	50MHz	60 MHz	80 MHz	90 MHz	100 MHz
15	242.5	312.5	382.5	452.5	522.5	592.5	552.5	692.5	N/A	N/A	N/A	N/A
30	505	665	645	805	785	945	905	1045	825	925	885	845
60	N/A	1010	990	1330	1310	1290	1610	1570	1530	1450	1410	1370

NOTE: The minimum guardbands have been calculated using the following equation: (CHBW x 1000 (kHz) - RB value x SCS x 12) / 2 - SCS/2, where RB values are from Table 5.3.2-1.

#### **Figure 5.3.3-1: Void**

The number of RBs configured in any channel bandwidth shall ensure that the minimum guardband specified in this clause is met.

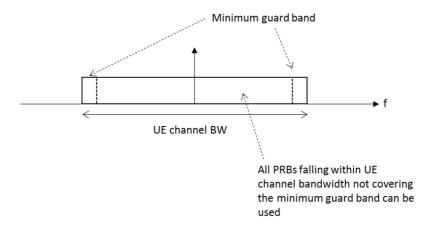


Figure 5.3.3-2: UE PRB utilization

In the case that multiple numerologies are multiplexed in the same symbol due to BS transmission of SSB, the minimum guardband on each side of the carrier is the guardband applied at the configured channel bandwidth for the numerology that is received immediately adjacent to the guard.

If multiple numerologies are multiplexed in the same symbol and the UE channel bandwidth is >50 MHz, the minimum guardband applied adjacent to 15 kHz SCS shall be the same as the minimum guardband defined for 30 kHz SCS for the same UE channel bandwidth.

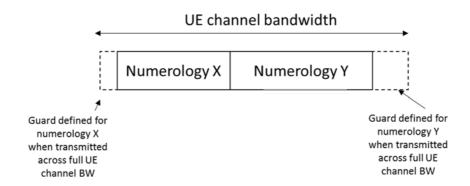


Figure 5.3.3-3 Guard band definition when transmitting multiple numerologies

NOTE: Figure 5.3.3-3 is not intended to imply the size of any guard between the two numerologies. Internumerology guard band within the carrier is implementation dependent.

## 5.3.4 RB alignment with different numerologies

For each numerology, its common resource blocks are specified in Section 4.4.4.3 in [9], and the starting point of its transmission bandwidth configuration on the common resource block grid for a given channel bandwidth is indicated by an offset to "Reference point A" in the unit of the numerology. The indicated transmission bandwidth configuration must fulfil the minimum guardband requirement specified in Section 5.3.3.

## 5.3.5 UE channel bandwidth per operating band

The requirements in this specification apply to the combination of channel bandwidths, SCS and operating bands shown in Table 5.3.5-1. The transmission bandwidth configuration in Table 5.3.2-1 shall be supported for each of the specified channel bandwidths. The channel bandwidths are specified for both the TX and RX path.

Table 5.3.5-1 Channel bandwidths for each NR band

					NR ban	d / SCS	/ UE Cha	annel ba	ndwidth	1			
NR	SCS	5	10 <sup>1,2</sup>	15 <sup>2</sup>	20 <sup>2</sup>	25 <sup>2</sup>	30	40	50	60	80	90	100
Band	kHz	MHz	MHz	MHz	MHz	MHz	MHz	MHz	MHz	MHz	MHz	MHz	MHz
n1	15	Yes	Yes Yes	Yes	Yes								
n1	30			Yes	Yes								
	60	Yes	Yes	Yes	Yes								
n2	15 30	165	Yes Yes	Yes	Yes Yes								-
n2				Yes									-
n3	60 15	Yes	Yes	Yes	Yes Yes	Voo	Yes						
113	30	162	Yes Yes	Yes Yes	Yes	Yes Yes	Yes						
n.F.	60	Yes	Yes	Yes	Yes	Yes	Yes						
n5	15	168	Yes Yes	Yes	Yes								
	30		res	Yes	Yes								
7	60	V	V	V	\/								
n7	15	Yes	Yes	Yes	Yes								
	30		Yes	Yes	Yes								
0	60	\/	Yes	Yes	Yes								
n8	15	Yes	Yes	Yes	Yes								1
	30		Yes	Yes	Yes								
40	60	\/	V	V									
n12	15	Yes	Yes	Yes									
	30		Yes	Yes									
00	60				.,								
n20	15	Yes	Yes	Yes	Yes								
	30		Yes	Yes	Yes								
	60				.,								
n25	15	Yes	Yes	Yes	Yes								
	30		Yes	Yes	Yes								
	60		Yes	Yes	Yes								
n28	15	Yes	Yes	Yes	Yes								
	30		Yes	Yes	Yes								
	60												
n34	15	Yes	Yes	Yes									
	30		Yes	Yes									
	60		Yes	Yes									
n38	15	Yes	Yes	Yes	Yes								
	30		Yes	Yes	Yes								
	60		Yes	Yes	Yes								
n39	15	Yes	Yes	Yes	Yes	Yes	Yes	Yes					
	30		Yes	Yes	Yes	Yes	Yes	Yes					
	60	.,	Yes	Yes	Yes	Yes	Yes	Yes					<u> </u>
n40	15	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	\	\ .		<u> </u>
	30		Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes		<del>                                     </del>
	60		Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes		<u> </u>
n41	15		Yes	Yes	Yes			Yes	Yes				<del></del>
	30		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes
	60		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes
	15	Yes	Yes	Yes	Yes			Yes	Yes		\^		<u> </u>
n50	30		Yes	Yes	Yes			Yes	Yes	Yes	Yes <sup>3</sup>		<del>                                     </del>
	60		Yes	Yes	Yes			Yes	Yes	Yes	Yes <sup>3</sup>		<u> </u>
n51	15	Yes											<u> </u>
	30												<del>                                     </del>
	60												<u> </u>
n66	15	Yes	Yes	Yes	Yes			Yes					<u> </u>
	30		Yes	Yes	Yes			Yes					<u> </u>
	60		Yes	Yes	Yes			Yes					
n70	15	Yes	Yes	Yes	Yes <sup>3</sup>	Yes <sup>3</sup>							<u> </u>
	30		Yes	Yes	Yes <sup>3</sup>	Yes <sup>3</sup>							<u> </u>
	60		Yes	Yes	Yes <sup>3</sup>	Yes <sup>3</sup>							

					NR ban	d / SCS	/ UE Cha	annel ba	ndwidth	1			
NR	SCS	5	10 <sup>1,2</sup>	15 <sup>2</sup>	20 <sup>2</sup>	25 <sup>2</sup>	30	40	50	60	80	90	100
Band	kHz	MHz	MHz	MHz	MHz	MHz	MHz	MHz	MHz	MHz	MHz	MHz	MHz
n71	15	Yes	Yes	Yes	Yes								
	30		Yes	Yes	Yes								
	60												
n74	15	Yes	Yes	Yes	Yes								
	30		Yes	Yes	Yes								
	60		Yes	Yes	Yes								
n75	15	Yes	Yes	Yes	Yes								
	30		Yes	Yes	Yes								
	60		Yes	Yes	Yes								
n76	15	Yes											
	30												
	60												
	15		Yes	Yes	Yes			Yes	Yes				
n77	30		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes
	60		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes
	15		Yes	Yes	Yes			Yes	Yes				
n78	30		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes
	60		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes
	15							Yes	Yes				
n79	30							Yes	Yes	Yes	Yes		Yes
	60							Yes	Yes	Yes	Yes		Yes
	15	Yes	Yes	Yes	Yes	Yes	Yes						
n80	30		Yes	Yes	Yes	Yes	Yes						
	60		Yes	Yes	Yes	Yes	Yes						
	15	Yes	Yes	Yes	Yes								
n81	30		Yes	Yes	Yes								
	60												
	15	Yes	Yes	Yes	Yes								
n82	30		Yes	Yes	Yes								
1.52	60			. 55	. 55								
	15	Yes	Yes	Yes	Yes								
n83	30	. 55	Yes	Yes	Yes								<del>                                     </del>
1100	60												
<del>                                     </del>	15	Yes	Yes	Yes	Yes		-						<del>                                     </del>
n84	30		Yes	Yes	Yes								
1104	60		Yes	Yes	Yes								
	15	Yes	Yes	Yes	Yes		<del>                                     </del>	Yes					1
n86	30	163	Yes	Yes	Yes		<del>                                     </del>	Yes					
1100	60		Yes	Yes	Yes			Yes					<del>                                     </del>
	UU		168	168	168			168					

NOTE 1: 90% spectrum utilization may not be achieved for 30kHz SCS.

NOTE 2: 90% spectrum utilization may not be achieved for 60kHz SCS. NOTE 3: This UE channel bandwidth is applicable only to downlink.

## 5.3.6 Asymmetric channel bandwidths

The UE channel bandwidth can be asymmetric in downlink and uplink. In asymmetric channel bandwidth operation, the narrower carrier shall be confined within the frequency range of the wider channel bandwidth.

In FDD, the confinement is defined as a deviation to the default Tx-Rx carrier center frequency separation (defined in table 5.4.4-1) as following:

$$\Delta F_{TX-RX} = |(BW_{DL} - BW_{UL})/2|$$

The operating bands and supported asymmetric channel bandwidth combinations are defined in table 5.3.6-1.

Table 5.3.6-1: FDD asymmetric UL and DL channel bandwidth combinations

NR Band	Channel bandwidths for UL (MHz)	Channel bandwidths for DL (MHz)
n66	5, 10	20, 40
1100	20	40
n70	5	10, 15
1170	5, 10, 15	20, 25

In TDD, the operating bands and supported asymmetric channel bandwidth combinations are defined in table 5.3.6-2.

Table 5.3.6-2: TDD asymmetric UL and DL channel bandwidth combinations

NR Band	Channel bandwidths for UL (MHz)	Channel bandwidths for DL (MHz)
n50	60	80

#### 5.3A UE channel bandwidth for CA

#### 5.3A.1 General

Figure 5.3A.1-1: Void

Figure 5.3A.1-2: Void

#### 5.3A.2 Maximum transmission bandwidth configuration for CA

For carrier aggregation, the maximum transmission bandwidth configuration is defined per component carrier and the requirement is specified in subclause 5.3.2.

## 5.3A.3 Minimum guardband and transmission bandwidth configuration for CA

For intra-band contiguous carrier aggregation, *Aggregated Channel Bandwidth* and *Guard Bands* are defined as follows, see Figure 5.3A.3-1.

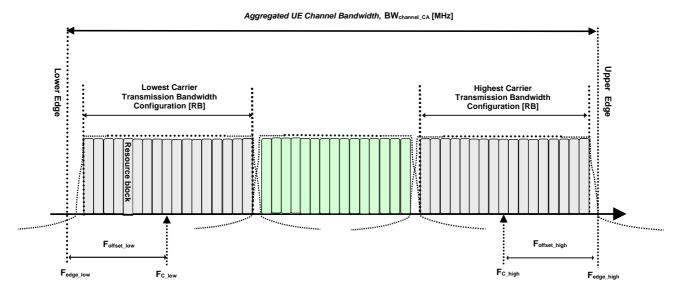


Figure 5.3A.3-1: Definition of Aggregated Channel Bandwidth for intra-band carrier aggregation

The aggregated channel bandwidth, BW<sub>Channel\_CA</sub>, is defined as

$$BW_{Channel\_CA} = F_{edge,high} - F_{edge,low} (MHz).$$

The lower bandwidth edge  $F_{\text{edge, low}}$  and the upper bandwidth edge  $F_{\text{edge,high}}$  of the aggregated channel bandwidth are used as frequency reference points for transmitter and receiver requirements and are defined by

$$F_{edge,low} = F_{C,low} \text{ - } F_{offset,low}$$

$$F_{edge,high} = F_{C,high} + F_{offset,high}$$

The lower and upper frequency offsets depend on the transmission bandwidth configurations of the lowest and highest assigned edge component carrier and are defined as

$$F_{offset,low} = (N_{RB,low}*12 + 1)*SCS_{low}/2 + BW_{GB}(MHz)$$

$$F_{offset,high} = (N_{RB,high}*12 - 1)*SCS_{high}/2 + BW_{GB}(MHz)$$

$$BW_{GB} = max(BW_{GB,Channel(k)}) \\$$

 $BW_{GB,Channel(k)}$  is the minimum guard band defined in sub-clause 5.3.3 of carrier k, while  $N_{RB,low}$  and  $N_{RB,high}$  are the transmission bandwidth configurations according to Table 5.3.2-1 for the lowest and highest assigned component carrier, respectively.

For intra-band non-contiguous carrier aggregation *Sub-block Bandwidth* and *Sub-block edges* are defined as follows, see Figure 5.3A.3-2.

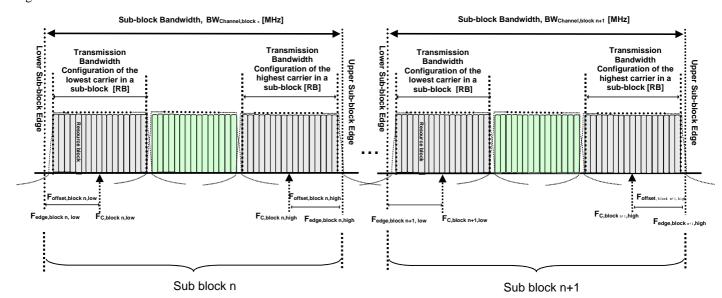


Figure 5.3A.3-2: Definition of sub-block bandwidth for intra-band non-contiguous spectrum

The lower sub-block edge of the Sub-block Bandwidth (BW  $_{\text{Channel,block}}$ ) is defined as

$$F_{\text{edge,block, low}} = F_{\text{C,block,low}} - F_{\text{offset,block, low}}$$

The upper sub-block edge of the Sub-block Bandwidth is defined as

$$F_{edge,block,high} = F_{C,block,high} + {}_{Foffset,block,high}. \label{eq:Fedge}$$

The Sub-block Bandwidth, BW<sub>Channel,block</sub>, is defined as follows:

$$BW_{Channel,block} = F_{edge,block,high -} F_{edge,block,low} \, (MHz)$$

The lower and upper frequency offsets  $F_{offset,block,low}$  and  $F_{offset,block,high}$  depend on the transmission bandwidth configurations of the lowest and highest assigned edge component carriers within a sub-block and are defined as

$$F_{offset,block,low} = (N_{RB,low}*12 + 1)*SCS_{low}/2 + BW_{GB,low}(MHz)$$

$$F_{offset,block,high} = (N_{RB,high}*12 - 1)*SCS_{high}/2 + BW_{GB,high}(MHz)$$

where  $N_{RB,low}$  and  $N_{RB,high}$  are the transmission bandwidth configurations according to Table 5.3.2-1 for the lowest and highest assigned component carrier within a sub-block, respectively.  $BW_{GB,low}$ ,  $BW_{GB,high}$  are the minimum guard band defined in sub-clause 5.3.3 for the lowest and highest assigned component carrier respectively

The sub-block gap size between two consecutive sub-blocks Wgap is defined as

$$W_{gap} = F_{edge,block n+1,low -} F_{edge,block n,high} (MHz)$$

#### 5.3A.4 RB alignment with different numerologies for CA

#### 5.3A.5 UE channel bandwidth per operating band for CA

The requirements for carrier aggregation in this specification are defined for carrier aggregation configurations.

For intra-band contiguous carrier aggregation, a carrier aggregation configuration is a single operating band supporting a carrier aggregation bandwidth class with associated bandwidth combination sets specified in clause 5.5A.1. For each carrier aggregation configuration, requirements are specified for all aggregated channel bandwidths contained in a bandwidth combination set, A UE can indicate support of several bandwidth combination sets per carrier aggregation configuration. For intra-band non-contiguous carrier aggregation, a carrier aggregation configuration is a single operating band supporting two or more sub-blocks, each supporting a carrier aggregation bandwidth class.

For inter-band carrier aggregation, a carrier aggregation configuration is a combination of operating bands, each supporting a carrier aggregation bandwidth class.

NR CA bandwidth class	Aggregated channel bandwidth	Number of contiguous CC	Fallback group
А	BW <sub>Channel_CA</sub> ≤ BW <sub>Channel,max</sub>	1	
В	20 MHz ≤ BW <sub>Channel_CA</sub> ≤ 50 MHz	2	
С	100 MHz < BW <sub>Channel_CA</sub> ≤ 2 x BW <sub>Channel,max</sub>	2	1
D	200 MHz < BW <sub>Channel_CA</sub> ≤ 3 x BW <sub>Channel,max</sub>	3	
Е	300 MHz < BW <sub>Channel_CA</sub> ≤ 4 x BW <sub>Channel,max</sub>	4	
F	50 MHz < BW <sub>Channel_CA</sub> ≤ 100 MHz	2	2
G	100 MHz < BW <sub>Channel_CA</sub> ≤ 150 MHz	3	
Н	150 MHz < BW <sub>Channel_CA</sub> ≤ 200 MHz	4	
1	200 MHz < BW <sub>Channel_CA</sub> ≤ 250 MHz	5	
J	250 MHz < BW <sub>Channel_CA</sub> ≤ 300 MHz	6	
K	300 MHz < BW <sub>Channel_CA</sub> ≤ 350 MHz	7	
L	350 MHz < BW <sub>Channel_CA</sub> ≤ 400 MHz	8	
NOTE: BW Channel, max is n	naximum channel bandwidth supported am	ong all bands in a release	

Table 5.3A.5-1: CA bandwidth classes

## 5.4 Channel arrangement

#### 5.4.1 Channel spacing

#### 5.4.1.1 Channel spacing for adjacent NR carriers

The spacing between carriers will depend on the deployment scenario, the size of the frequency block available and the channel bandwidths. The nominal channel spacing between two adjacent NR carriers is defined as following:

- For NR operating bands with 100 kHz channel raster,

Nominal Channel spacing =  $(BW_{Channel(1)} + BW_{Channel(2)})/2$ 

For NR operating bands with 15 kHz channel raster,

Nominal Channel spacing =  $(BW_{Channel(1)} + BW_{Channel(2)})/2 + \{-5kHz, 0kHz, 5kHz\}$ 

where  $BW_{Channel(1)}$  and  $BW_{Channel(2)}$  are the channel bandwidths of the two respective NR carriers. The channel spacing can be adjusted depending on the channel raster to optimize performance in a particular deployment scenario.

#### 5.4.2 Channel raster

#### 5.4.2.1 NR-ARFCN and channel raster

The global frequency channel raster defines a set of RF reference frequencies  $F_{REF}$ . The RF reference frequency is used in signalling to identify the position of RF channels, SS blocks and other elements.

The global frequency raster is defined for all frequencies from 0 to 100 GHz. The granularity of the global frequency raster is  $\Delta F_{Global}$ .

RF reference frequencies are designated by an NR Absolute Radio Frequency Channel Number (NR-ARFCN) in the range (0.. 2016666) on the global frequency raster. The relation between the NR-ARFCN and the RF reference frequency  $F_{REF}$  in MHz is given by the following equation, where  $F_{REF-Offs}$  and  $N_{Ref-Offs}$  are given in table 5.4.2.1-1 and  $N_{REF}$  is the NR-ARFCN.

$$F_{REF} = F_{REF-Offs} + \Delta F_{Global} (N_{REF} - N_{REF-Offs})$$

Table 5.4.2.1-1: NR-ARFCN parameters for the global frequency raster

Frequency range (MHz)	ΔF <sub>Global</sub> (kHz)	F <sub>REF-Offs</sub> (MHz)	NREF-Offs	Range of N <sub>REF</sub>
0 – 3000	5	0	0	0 – 599999
3000 – 24250	15	3000	600000	600000 - 2016666

The channel raster defines a subset of RF reference frequencies that can be used to identify the RF channel position in the uplink and downlink. The RF reference frequency for an RF channel maps to a resource element on the carrier. For each operating band, a subset of frequencies from the global frequency raster are applicable for that band and forms a channel raster with a granularity  $\Delta$ FRaster, which may be equal to or larger than  $\Delta$ F<sub>Global</sub>.

For SUL bands and Bands n1, n2, n3, n5, n7, n8, n20, n28, n66 and n71 defined in Table 5.2-1.

$$F_{REF \text{ shift}} = F_{REF} + \Delta_{shift}$$
,  $\Delta_{shift} = 0 \text{kHz}$  or 7.5kHz.

where  $\Delta_{\text{shift}}$  is signalled by the network in higher layer parameter frequencyShift7p5khz [7].

The mapping between the channel raster and corresponding resource element is given in Section 5.4.2.2. The applicable entries for each operating band are defined in Section 5.4.2.3

#### 5.4.2.2 Channel raster to resource element mapping

The mapping between the RF reference frequency on the channel raster and the corresponding resource element is given in Table 5.4.2.2-1 and can be used to identify the RF channel position. The mapping depends on the total number of RBs that are allocated in the channel and applies to both UL and DL. The mapping must apply to at least one numerology supported by the UE.

Table 5.4.2.2-1: Channel raster to resource element mapping

	$N_{\rm RB}  {\rm mod}  2 = 0$	$N_{\rm RB}  {\rm mod}  2 = 1$
Resource element index k	0	6
Physical resource block number $n_{ m PRB}$	$n_{\mathrm{PRB}} = \left\lfloor \frac{N_{\mathrm{RB}}}{2} \right\rfloor$	$n_{\mathrm{PRB}} = \left\lfloor \frac{N_{\mathrm{RB}}}{2} \right\rfloor$

k,  $n_{PRB}$ ,  $N_{RB}$  are as defined in TS 38.211[6].

#### 5.4.2.3 Channel raster entries for each operating band

The RF channel positions on the channel raster in each NR operating band are given through the applicable NR-ARFCN in Table 5.4.2.3-1, using the channel raster to resource element mapping in subclause 5.4.2.2.

For NR operating bands with 100 kHz channel raster,  $\Delta F_{Raster} = 20 \times \Delta F_{Global}$ . In this case every  $20^{th}$  NR-ARFCN within the operating band are applicable for the channel raster within the operating band and the step size for the channel raster in Table 5.4.2.3-1 is given as <20>.

For NR operating bands with 15 kHz channel raster below 3GHz,  $\Delta F_{Raster} I \times \Delta F_{Global}$ , where  $I \in \{3,6\}$ . Every  $I^{th}$  NR-ARFCN within the operating band are applicable for the channel raster within the operating band and the step size for the channel raster in Table 5.4.2.3-1 is given as < I >.

For NR operating bands with 15 kHz channel raster above 3GHz,  $\Delta F_{Raster} I \times \Delta F_{Global}$ , where  $I \in \{1,2\}$ . Every  $I^{th}$  NR-ARFCN within the operating band are applicable for the channel raster within the operating band and the step size for the channel raster in table 5.4.2.3-1 is given as < I >.

In frequency bands with two  $\Delta F_{Raster}$ , the higher  $\Delta F_{Raster}$  applies to channels using only the SCS that equals the higher  $\Delta F_{Raster}$ .

NR ΔF <sub>Raster</sub>		Uplink	Downlink					
Operating	(kHz)	Range of N <sub>REF</sub>	Range of N <sub>REF</sub>					
Band		(First – <step size=""> – Last)</step>	(First – <step size=""> – Last)</step>					
n1	100	384000 - <20> - 396000	422000 - <20> - 434000					
n2	100	370000 - <20> - 382000	386000 - <20> - 398000					
n3	100	342000 - <20> - 357000	361000 - <20> - 376000					
n5	100	164800 - <20> - 169800	173800 - <20> - 178800					
n7	100	500000 - <20> - 514000	524000 - <20> - 538000					
n8	100	176000 - <20> - 183000	185000 - <20> - 192000					
n12	100	139800 - <20> - 143200	145800 - <20> - 149200					
n20	100	166400 - <20> - 172400	158200 - <20> - 164200					
n25	100	370000 - <20> - 383000	386000 - <20> - 399000					
n28	100	140600 - <20> - 149600	151600 - <20> - 160600					
n34	100	402000 - <20> - 405000	402000 - <20> - 405000					
n38	100	514000 - <20> - 524000	514000 - <20> - 524000					
n39	100	376000 - <20> - 384000	376000 - <20> - 384000					
n40	100	460000 - <20> - 480000	460000 - <20> - 480000					
n 11	15	499200 - <3> - 537999	499200 - <3> - 537999					
n41	30	499200 - <6> - 537996	499200 - <6> - 537996					
n50	100	286400 - <20> - 303400	286400 - <20> - 303400					
n51	100	285400 - <20> - 286400	285400 - <20> - 286400					
n66	100	342000 - <20> - 356000	422000 - <20> - 440000					
n70	100	339000 - <20> - 342000	399000 - <20> - 404000					
n71	100	132600 - <20> - 139600	123400 - <20> - 130400					
n74	100	285400 - <20> - 294000	295000 - <20> - 303600					
n75	100	N/A	286400 - <20> - 303400					
n76	100	N/A	285400 - <20> - 286400					
n77	15	620000 - <1> - 680000	620000 - <1> - 680000					
n77	30	620000 - <2> - 680000	620000 - <2> - 680000					
n78	15	620000 - <1> - 653333	620000 - <1> - 653333					
n78	30	620000 - <2> - 653332	620000 - <2> - 653332					
n79	15	693334 - <1> - 733333	693334 - <1> - 733333					
n79	30	693334 - <2> - 733332	693334 - <2> - 733332					
n80	100	342000 - <20> - 357000	N/A					
n81	100	176000 - <20> - 183000	N/A					
n82	100	166400 - <20> - 172400	N/A					
n83	100	140600 - <20> -149600	N/A					
n84	100	384000 - <20> - 396000	N/A					
n86	100	342000 - <20> - 356000	N/A					

Table 5.4.2.3-1: Applicable NR-ARFCN per operating band

#### 5.4.3 Synchronization raster

#### 5.4.3.1 Synchronization raster and numbering

The synchronization raster indicates the frequency positions of the synchronization block that can be used by the UE for system acquisition when explicit signalling of the synchronization block position is not present.

A global synchronization raster is defined for all frequencies. The frequency position of the SS block is defined as  $SS_{REF}$  with corresponding number GSCN. The parameters defining the  $SS_{REF}$  and GSCN for all the frequency ranges are in Table 5.4.3.1-1.

The resource element corresponding to the SS block reference frequency  $SS_{REF}$  is given in subclause 5.4.3.2. The synchronization raster and the subcarrier spacing of the synchronization block is defined separately for each band.

Table 5.4.3.1-1: GSCN parameters for the global frequency raster

Frequency range	SS Block frequency position SS <sub>REF</sub>	GSCN	Range of GSCN		
0 – 3000 MHz	N * 1200kHz + M * 50 kHz, N=1:2499, M ε {1,3,5} (Note 1)	3N + (M-3)/2	2 – 7498		
3000-24250 MHz	3000 MHz + N * 1.44 MHz N = 0:14756				
NOTE 1: The default value for operating bands with SCS spaced channel raster is M=3.					

#### 5.4.3.2 Synchronization raster to synchronization block resource element mapping

The mapping between the synchronization raster and the corresponding resource element of the SS block is given in Table 5.4.3.2-1. The mapping depends on the total number of RBs that are allocated in the channel and applies to both UL and DL.

Table 5.4.3.2-1: Synchronization raster to SS block resource element mapping

Resource element index k	0
Physical resource block number $n_{\mathrm{PRB}}$ of the SS block	$n_{\text{PRB}} = 10$

k,  $n_{PRB}$ , are as defined in TS 38.211[6].

#### 5.4.3.3 Synchronization raster entries for each operating band

The synchronization raster for each band is give in Table 5.4.3.3-1. The distance between applicable GSCN entries is given by the <Step size> indicated in Table 5.4.3.3-1.

Table 5.4.3.3-1: Applicable SS raster entries per operating band

NR Operating Band	SS Block SCS	SS Block pattern <sup>1</sup>	Range of GSCN (First – <step size=""> – Last)</step>				
n1	15kHz	Case A	5279 - <1> - 5419				
n2	15kHz	Case A	4829 - <1> - 4969				
n3	15kHz	Case A	4517 - <1> - 4693				
n.F.	15kHz	Case A	2177 - <1> - 2230				
n5	30kHz	Case B	2183 - <1> - 2224				
n7	15kHz	Case A	6554 - <1> - 6718				
n8	15kHz	Case A	2318 - <1> - 2395				
n12	15kHz	Case A	1828 - <1> - 1858				
n20	15kHz	Case A	1982 – <1> – 2047				
n25	15 kHz	Case A	4829 - <1> - 4981				
n28	15kHz	Case A	1901 – <1> – 2002				
n34	15kHz	Case A	5030 - <1> - 5056				
n38	15kHz	Case A	6431 - <1> - 6544				
n39	15kHz	Case A	4706 – <1> – 4795				
n40	15kHz	Case A	5756 – <1> – 5995				
n41	15kHz	Case A	6246 - <3> - 6717				
	30 kHz	Case C	6252 - <3> - 6714				
n50	15kHz	Case A	3584 - <1> - 3787				
n51	15kHz	Case A	3572 - <1> - 3574				
-00	15kHz	Case A	5279 - <1> - 5494				
n66	30kHz	Case B	5285 - <1> - 5488				
n70	15kHz	Case A	4993 - <1> - 5044				
n71	15kHz	Case A	1547 – <1> – 1624				
n74	15kHz	Case A	[3692 - <1> - 3790]				
n75	15kHz	Case A	3584 - <1> - 3787				
n76	15kHz	Case A	3572 - <1> - 3574				
n77	30kHz	Case C	7711 – <1> – 8329				
n78	30kHz	Case C	7711 – <1> – 8051				
n79	30kHz	Case C	8480 - <16> - 8880				
NOTE 1: SS Block pattern	NOTE 1: SS Block pattern is defined in section 4.1 in TS 38.213 [8]						

## 5.4.4 TX-RX frequency separation

The default TX channel (carrier centre frequency) to RX channel (carrier centre frequency) separation for operating bands is specified in Table 5.4.4-1.

Table 5.4.4-1: Default UE TX-RX frequency separation

NR Operating Band	TX – RX carrier centre frequency				
	separation				
n1	190 MHz				
n2	80 MHz				
n3	95 MHz				
n5	45 MHz				
n7	120 MHz				
n8	45 MHz				
n12	30 MHz				
n20	-41 MHz				
n25	80 MHz				
n28	55 MHz				
n66	400 MHz				
n70	295,300 <sup>1</sup> MHz				
n71	-46 MHz				
n74	48 MHz				
NOTE 1: Default TX-RX carrier centre frequency separation.					

## 5.4A Channel arrangement for CA

#### 5.4A.1 Channel spacing for CA

For intra-band contiguous carrier aggregation with two or more component carriers, the nominal channel spacing between two adjacent NR component carriers is defined as the following unless stated otherwise:

For NR operating bands with 100 kHz channel raster:

Nominal channel spacing = 
$$\frac{BW_{Channel (1)} + BW_{Channel (2)} - 2 |GB_{Channel (1)} - GB_{Channel (2)}|}{0.6}$$
 0.3 [MHz]

For NR operating bands with 15 kHz channel raster:

with

$$n = \max(\mu_1, \mu_2)$$

where  $BW_{Channel(1)}$  and  $BW_{Channel(2)}$  are the channel bandwidths of the two respective NR component carriers according to Table 5.3.2-1 with values in MHz. and the  $GB_{Channel(i)}$  is the minimum guard band defined in sub-clause 5.3.3, while  $\mu_1$  and  $\mu_2$  are the subcarrier spacing configurations of the component carriers as defined in TS 38.211. The channel spacing for intra-band contiguous carrier aggregation can be adjusted to any multiple of least common multiple of channel raster and sub-carrier spacing less than the nominal channel spacing to optimize performance in a particular deployment scenario.

For intra-band non-contiguous carrier aggregation the channel spacing between two NR component carriers in different sub-blocks shall be larger than the nominal channel spacing defined in this subclause.

#### 5.4A.2 Channel raster for CA

For inter-band carrier aggregation, the channel raster requirements in subclause 5.4.2 apply for each operating band.

## 5.4A.3 Synchronization raster for CA

For inter-band carrier aggregation, the synchronization raster requirements in subclause 5.4.3 apply for each operating band.

## 5.4A.4 Tx-Rx frequency separation for CA

For inter-band carrier aggregation, the Tx-Rx frequency separation requirements in subclause 5.4.4 apply for each operating band.

## 5.5 Configurations

## 5.5A Configurations for CA

## 5.5A.1 Configurations for intra-band contiguous CA

Table 5.5A.1-1: NR CA configurations and bandwidth combination sets defined for intra-band contiguous CA

E-UTRA CA configuration / Bandwidth combination set  Component carriers in order of increasing carrier frequency							T	
NR CA configuratio n	Uplink CA configur ations	Compone  Channel bandwidths for carrier (MHz)	Channel bandwidth s for carrier (MHz)	Aggregat ed bandwidt h (MHz)	Bandwidth combination set			
		50	60				110	
		60	60				120	
		50	80				130	
CA_n77C		60	80				140	
CA_n78C		50	100				150	
CA_n79C		60	100				160	
		80	80				160	
		80	100				180	
		100	100				200	
		50	60	100			210	
		60	60	100			220	0
		50	80	100			230	
		60	80	100			240	
CA_n77D,		50	100	100			250	
CA_n78D, CA_n79D		80	80	100			260	
_		80	90	100			270	
		80	100	100			280	
		90	100	100			290	
		100	100	100			300	
		50	60	100	100		310	
		60	60	100	100		320	
		50	80	100	100		330	
		60	80	100	100		340	
CA_n77E, CA_n78E, CA_n79E		50	100	100	100		350	
		80	80	100	100		360	
		80	90	100	100		370	
		80	100	100	100		380	
		90	100	100	100		390	1
		100	100	100	100		400	1

Table 5.5A.1-2: NR CA configurations and bandwidth combination sets defined for intra-band contiguous CA for fallback group 2

		E	E-UTRA CA coi	nfiguration / Bandw	idth combination	set
NR CA configurati on	Uplink CA configura tions	Component	carriers in ord			
		Channel bandwidths for carrier (MHz)	Channel bandwidths for carrier (MHz)	Channel bandwidths for the other carrier (MHz	aggregated bandwidth (MHz])	Bandwidth combination set
		40	20		60	
CA_n77F,		50	20		70	
CA_n78F,		40	40		80	
CA_n79F		40	50		90	
		50	50		100	
		40	20	50	110	
CA_n77G,		50	20	50	120	
CA_n78G,		40	40	50	130	
CA_n79G		40	50	50	140	
		50	50	50	150	
		40	20	50x2	160	
CA_n77H,		50	20	50x2	170	
CA_n78H,		40	40	50x2	180	
CA_n79H		40	50	50x2	190	
		50	50	50x2	200	
		40	20	50x3	210	
CA_n77I,		50	20	50x3	220	
CA_n78I,		40	40	50x3	230	0
CA_n79I		40	50	50x3	240	
		50	50	50x3	250	
		40	20	50x4	260	
CA_n77J,		50	20	50x4	270	
CA_n78J,		40	40	50x4	280	
CA_n79J		40	50	50x4	290	
		50	50	50x4	300	
		40	20	50x5	310	
CA_n77K,		50	20	50x5	320	
CA_n77K, CA_n78K, CA_n79K		40	40	50x5	330	
		40	50	50x5	340	
		50	50	50x5	350	
		40	20	50x6	360	
CA_n77L,		50	20	50x6	370	
CA_n78L,		40	40	50x6	380	
CA_n79L		40	50	50x6	390	
		50	50	50x6	400	

## 5.5A.2 Configurations for intra-band non-contiguous CA

Detailed structure of the subclause is TBD.

## 5.5A.3 Configurations for inter-band CA

Table 5.5A.3-1: NR CA configurations and bandwith combinations sets defined for inter-band CA (two bands)

NR CA configur ation	Uplink CA configur ation	NR Ban d	SCS (kHz )	5 MHz	10 MHz	15 MHz	20 MHz	25 MHz	30 MHz	40 MHz	50 MHz	60 MHz	80 MHz	90 MHz	100 MHz	Banc width comb ination								
			15	Yes	Yes	Yes	Yes	Yes	Yes															
24 04		n3	30		Yes	Yes	Yes	Yes	Yes															
CA_n3A- n77A	-		60 15		Yes Yes	Yes Yes	Yes Yes	Yes	Yes	Yes	Yes					0								
11777		n77	30		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes	1								
			60		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes									
			15	Yes	Yes	Yes	Yes	Yes	Yes															
CA_n3A-	CA_n3A-	n3	30 60		Yes Yes	Yes Yes	Yes Yes	Yes Yes	Yes Yes							1								
n78A	n78A		15		Yes	Yes	Yes	162	162	Yes	Yes					0								
	n78	n78	30		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes	j								
		60		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes										
		n3	15 30	Yes	Yes Yes	Yes Yes	Yes Yes	Yes Yes	Yes Yes							1								
CA_n3A-		113	60		Yes	Yes	Yes	Yes	Yes							}								
n79A			15		Yes	Yes	Yes	100	100	Yes	Yes					0								
		n79	30		Yes	Yes	Yes			Yes	Yes	Yes	Yes		Yes	]								
			60		Yes	Yes	Yes			Yes	Yes	Yes	Yes		Yes									
		n8	15 30	Yes	Yes Yes	Yes Yes	Yes Yes									-								
CA_n8A-		110	60		res	res	res									1								
n75A			15	Yes	Yes	Yes	Yes									0								
		n75	30		Yes	Yes	Yes									1								
			60		Yes	Yes	Yes																	
	_n8A-	0	15	Yes	Yes	Yes	Yes									1								
CA_n8A-		n8	30 60		Yes	Yes	Yes									}								
n78A	n78A		15		Yes	Yes	Yes			Yes	Yes					0								
		n78	30		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes	1								
			60		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes									
		0	15	Yes	Yes	Yes	Yes									}								
CA_n8A-		n8	30 60		Yes	Yes	Yes									}								
n79A	-		15		Yes	Yes	Yes			Yes	Yes					0								
		n79	30		Yes	Yes	Yes			Yes	Yes	Yes	Yes		Yes	1								
			60		Yes	Yes	Yes			Yes	Yes	Yes	Yes		Yes									
										NICO	15	Yes	Yes	Yes	Yes									1
CA_n28A		N28	30 60		Yes	Yes	Yes									1								
-n75A	-		15	Yes	Yes	Yes	Yes									0								
		n75	30		Yes	Yes	Yes									]								
			60		Yes	Yes	Yes																	
		n28	15 30	Yes	Yes Yes	Yes Yes	Yes Yes									1								
CA_n28A		1120	60		res	res	res									}								
-n78A	-		15		Yes	Yes	Yes			Yes	Yes					0								
		n78	30		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes	]								
			60		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes									
		n41	15 30		Yes Yes	Yes Yes	Yes Yes			Yes Yes	Yes Yes	Yes	Yes		Yes	}								
CA_n41A		1141	60		Yes	Yes	Yes			Yes	Yes	Yes	Yes		Yes	1								
-n78A			15		Yes	Yes	Yes			Yes	Yes	. 55	. 55		, 55	0								
		30		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes	]									
			60	\	Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes									
	_n75A n78A	n75	15 30	Yes	Yes Yes	Yes Yes	Yes Yes			-						1								
CA_n75A		11/3	60		Yes	Yes	Yes									1								
-n78A			15		Yes	Yes	Yes			Yes	Yes					0								
		n78	30		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes	]								
	]	1	60		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes									

		n76	15	Yes											
0.4			30												
CA n76A-			60												0
n78A	-		15		Yes	Yes	Yes		Yes	Yes					0
IIIOA		n78	30		Yes	Yes	Yes		Yes	Yes	Yes	Yes	Yes	Yes	
			60		Yes	Yes	Yes		Yes	Yes	Yes	Yes	Yes	Yes	
'			15		Yes	Yes	Yes		Yes	Yes					,
		n77	30		Yes	Yes	Yes		Yes	Yes	Yes	Yes	Yes	Yes	
CA_n77A			60		Yes	Yes	Yes		Yes	Yes	Yes	Yes	Yes	Yes	0
-n79A	-		15						Yes	Yes					
		n79	30						Yes	Yes	Yes	Yes		Yes	
			60						Yes	Yes	Yes	Yes		Yes	
CA_n78A -n79A	-	n78	15		Yes	Yes	Yes		Yes	Yes					0

# 5.5B Configurations for DC

## 5.5C Configurations for SUL

Table 5.5C-1: Supported channel bandwidths per SUL band combination

SUL configurati on	NR Band	Subcarrier spacing (kHz)	5 MHz	10 MHz	15 MHz	20 MHz	25 MHz	30 MHz	40 MHz	50 MHz	60 MHz	80 MHz	90 MHz	100 MHz
		15		Yes	Yes	Yes			Yes	Yes				
SUL_n78A-	n78	30		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes
n80A		60		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes
	n80	15	Yes	Yes	Yes	Yes	Yes	Yes						
		15		Yes	Yes	Yes			Yes	Yes				
SUL_n78A-	n78	30		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes
n81A		60		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes
	n81	15	Yes	Yes	Yes	Yes								
		15		Yes	Yes	Yes			Yes	Yes				
SUL_n78A-	n78	30		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes
n82A	•	60		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes
	n82	15	Yes	Yes	Yes	Yes								
		15		Yes	Yes	Yes			Yes	Yes				
SUL_n78A- n83A	n78	30		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes
	•	60		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes
	n83	15	Yes	Yes	Yes	Yes								
		15		Yes	Yes	Yes			Yes	Yes				
SUL_n78A-	n78	30		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes
n84A		60		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes
	n84	15	Yes	Yes	Yes	Yes								
		15		Yes	Yes	Yes			Yes	Yes				
SUL_n78A-	n78	30		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes
n86A	•	60		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes
	n86	15	Yes	Yes	Yes	Yes								
		15							Yes	Yes				
SUL_n79A- n80A	n79	30							Yes	Yes	Yes	Yes		Yes
		60							Yes	Yes	Yes	Yes		Yes
	n80	15	Yes	Yes	Yes	Yes	Yes	Yes						
		15							Yes	Yes				
SUL_n79A-	n79	30	1						Yes	Yes	Yes	Yes		Yes
n81A	""	60							Yes	Yes	Yes	Yes		Yes
	n81	15	Yes	Yes	Yes	Yes								

± 2

### 6 Transmitter characteristics

### 6.1 General

Unless otherwise stated, the transmitter characteristics are specified at the antenna connector of the UE with a single or multiple transmit antenna(s). For UE with integral antenna only, a reference antenna with a gain of 0 dBi is assumed.

### 6.2 Transmitter power

n86

### 6.2.1 UE maximum output power

The following UE Power Classes define the maximum output power for any transmission bandwidth within the channel bandwidth of NR carrier unless otherwise stated. The period of measurement shall be at least one sub frame (1ms).

NR Class 1 Tolerance Class 2 **Tolerance** Class 3 **Tolerance** band (dBm) (dB) (dBm) (dB) (dBm) (dB) n1 23 ± 2 ± 2<sup>3</sup> 23 n2 ± 2<sup>3</sup> 23 n3 ± 2 n5 23  $\pm 2^{3}$ n7 23 23  $\pm 2^3$ n8 ± 2<sup>3</sup> n12 23 ± 2<sup>3</sup> n20 23 n25 23 ± 2 n28 23 +2 / - 2.5 n34 23 +2 n38 23 ±2 n39 23 ±2 n40 ± 2  $\pm \overline{2^3}$ +2/-3<sup>3</sup> 23 26 n41 23 ±2 n50 23 ± 2 n51 23 n66 ± 2 n70 23 ± 2 +2 / - 2.5 n71 23 n74 23 ±2 n77 26 +2/-3 23 +2/-3 n78 26 +2/-3 23 +2/-3 n79 26 +2/-3 23 +2/-3 n80 23 ± 2 n81 23 ± 2 n82 23 ± 2 23 ± 2/-2.5 n83 23 23 n84

Table 6.2.1-1: UE Power Class

If a UE supports a different power class than the default UE power class for the band and the supported power class enables the higher maximum output power than that of the default power class:

if the field of UE capability maxUplinkDutyCycle is absent and the percentage of uplink symbols transmitted in a certain evaluation period is larger than 50% (The exact evaluation period is no less than one radio frame); or

NOTE 1: ProwerClass is the maximum UE power specified without taking into account the tolerance

NOTE 2: Power class 3 is default power class unless otherwise stated

NOTE 3: Refers to the transmission bandwidths (Figure 5.3.3-1) confined within Fullow and  $F_{UL\_low}$  + 4 MHz or  $F_{UL\_high}$  – 4 MHz and  $F_{UL\_high}$ , the maximum output power requirement is relaxed by reducing the lower tolerance limit by 1.5 dB

- if the field of UE capability *maxUplinkDutyCycle* is not absent and the percentage of uplink symbols transmitted in a certain evaluation period is larger than *maxUplinkDutyCycle* as defined in TS 38.331 (The exact evaluation period is no less than one radio frame); or
- [may] apply all requirements for the default power class to the supported power class and set the configured transmitted power as specified in sub-clause 6.2.4;
- if the IE P-Max as defined in TS 38.331 [7] is not provided; or
- if the IE P-Max as defined in TS 38.331 [7] is provided and set to the maximum output power of the default power class or lower:
- shall apply all requirements for the default power class to the supported power class and set the configured transmitted power as specified in sub-clause 6.2.4;
- else (i.e the IE *P-Max* as defined in TS 38.331 [7] is provided and set to the higher value than the maximum output power of the default power class and the percentage of uplink symbols transmitted in a certain evaluation period is less than or equal to *maxUplinkDutyCycle* as defined in TS 38.331; or the IE *P-Max* as defined in TS 38.331 [7] is provided and set to the higher value than the maximum output power of the default power class and the percentage of uplink symbols transmitted in a certain evaluation period is less than or equal to 50% when *maxUplinkDutyCycle* is absent. (The exact evaluation period is no less than one radio frame):
- shall apply all requirements for the supported power class and set the configured transmitted power class as specified in sub-clause 6.2.4;

### 6.2.2 UE maximum output power reduction

UE is allowed to reduce the maximum output power due to higher order modulations and transmit bandwidth configurations. For UE Power Class [2] and 3, the allowed maximum power reduction (MPR) is defined in Table 6.2.2-2 and Table 6.2.2-1, respectively for channel bandwidths that meets both following criteria:

Channel bandwidth  $\leq 100 MHz$ .

Relative channel bandwidth < 4% for TDD bands and < 3% for FDD bands

Where relative channel bandwith =  $2*BW_{Channel} / (F_{UL\_low} + F_{UL\_high})$ 

Table 6.2.2-1 Maximum power reduction (MPR) for power class 3

Modulation		MPR	(dB)			
	Edge RB allocations	Outer RB allocations	Inner RB allocations			
DFT-s-OFDM PI/2 BPSK	≤3.5 <sup>1</sup>	≤ 1.2 <sup>1</sup>	≤0.2 <sup>1</sup>			
	$0.5^{2}$	0.5 <sup>2</sup>	O <sup>2</sup>			
DFT-s-OFDM QPSK		≤1	0			
DFT-s-OFDM 16 QAM		≤ 1				
DFT-s-OFDM 64 QAM		≤ 2.5				
DFT-s-OFDM 256 QAM		4.5				
CP-OFDM QPSK		≤3 ≤1.5				
CP-OFDM 16 QAM		≤ 2				
CP-OFDM 64 QAM	≤ 3.5					
CP-OFDM 256 QAM	≤ 6.5					

NOTE 1: Applicable for UE operating in TDD mode with PI/2 PBSK modulation and if the IE [P-Boost-BPSK] is set to 1 and 40% or less slots in radio frame are used for UL transmission for bands n40, n77, n78 and n79.

NOTE 2: Applicable for UE operating in FDD mode, or in TDD mode in bands other than n40, n77, n78 and n79 and if the IE [P-Boost-BPSK] is set to 0 and if more than 40% of slots in radio frame are used for UL transmission for bands n40, n77, n78 and n79.

Table 6.2.2-2 Maximum power reduction (MPR) for power class 2

Modulation		MPR (dB)					
	Edge RB allocations	Outer RB allocations	Inner RB allocations				

DFT-s-OFDM PI/2 BPSK	≤ 3.5	≤ 0.5	0		
DFT-s-OFDM QPSK	≤ 3.5	≤ 1	0		
DFT-s-OFDM 16 QAM	≤ 3.5	≤ 2	≤ 1		
DFT-s-OFDM 64 QAM	≤ 3.5	≤ 2	2.5		
DFT-s-OFDM 256 QAM		≤ 4.5			
CP-OFDM QPSK	≤ 3.5	≤ 3	≤ 1.5		
CP-OFDM 16 QAM	≤ 3.5	≤ 3	≤ 2		
CP-OFDM 64 QAM		≤ 3.5			
CP-OFDM 256 QAM	≤ 6.5				

Where the following parameters are defined to specify valid RB allocation ranges for Outer and Inner RB allocations:

 $N_{RB}$  is the maximum number of RBs for a given Channel bandwidth and sub-carrier spacing defined in Table 5.3.2-1.  $RB_{Start,Low} = max(1, floor(L_{CRB}/2))$ 

where max() indicates the largest value of all arguments and floor(x) is the greatest integer less than or equal to x.

$$RB_{Start,High} = N_{RB} - RB_{Start,Low} - L_{CRB}$$

The RB allocation is an Inner RB allocation if the following conditions are met

$$RB_{Start,Low} \leq RB_{Start} \leq RB_{Start,High},$$
 and 
$$L_{CRB} \leq ceil(N_{RB}/2)$$

where ceil(x) is the smallest integer greater than or equal to x.

An Edge RB allocation is one for which the RB's are allocated at the lowermost or uppermost edge of the channel with LCRB  $\leq$  2 RB's.

The RB allocation is an Outer RB allocation for all other allocations which are not an Inner RB allocation or Edge RB allocation.

If CP-OFDM allocation satisfies following conditions it is considered as almost contiguous allocation

$$N_{RB~gap} / (N_{RB~alloc} + N_{RB~gap}) \le 0.25$$

and  $N_{RB\_alloc} + N_{RB\_gap}$  is larger than 106, 51 or 24 RBs for 15 kHz, 30 kHz or 60 kHz respectively where  $N_{RB\_gap}$  is number of unallocated RBs between allocated RBs and  $N_{RB\_alloc}$  is number of allocated RBs. For these almost contiguous signals in power class 3, the allowed maximum power reduction defined in Table 6.2.2-1 is increased by

CEIL( 
$$10 \log_{10}(1 + N_{RB\_gap} / N_{RB\_alloc}), 0.5$$
) dB.

For the UE maximum output power modified by MPR, the power limits specified in subclause 6.2.4 apply.

### 6.2.3 UE additional maximum output power reduction

#### 6.2.3.1 General

Additional emission requirements can be signalled by the network. Each additional emission requirement is associated with a unique network signalling (NS) value indicated in RRC signalling by a frequency band number of the applicable operating band [in the field *frequencyBandList*] and an associated value in the field *additionalSpectrumEmission*. Throughout this specification, the notion of indication or signalling of an NS value refers to the corresponding indication of a frequency band [in the field *frequencyBandList*] and an associated value of *additionalSpectrumEmission* in the relevant RRC information elements [7].

To meet the additional requirements, additional maximum power reduction (A-MPR) is allowed for the maximum output power as specified in Table 6.2.1-1. Unless stated otherwise, an A-MPR of 0 dB shall be used.

Table 6.2.3-1 specifies for UE Power Class 3 the additional requirements with their associated network signalling values and the allowed A-MPR and applicable operating band(s) for each NS value. The mapping between network signalling labels and the *additionalSpectrumEmission* IE defined in TS 38.331 [7] is specified in Table 6.2.3-1A. Unless otherwise stated, the allowed A-MPR is in addition to the allowed MPR specified in subclause 6.2.2.

Table 6.2.3-1: Additional maximum power reduction (A-MPR)

Network Signalling label	Requirements (subclause)	NR Band	Channel bandwidth (MHz)	Resources Blocks (N <sub>RB</sub> )	A-MPR (dB)	Value of additionalSpectrum Emission
NS_01					N/A	1
NS_03	6.5.2.3.3	n2, n25, n66, n70			Table 6.2.3.7-1	3
NS_03U	6.5.2.3.3, 6.5.2.4.2	n2, n25, n66			FFS	
NS_04	6.5.2.3.1	n41	10, 15, 20, 40, 50, 60 80, 100		Subclause 6.2.3.2	4
NS_05	6.5.3.3.4	n1, n84	5, 10, 15, 20 <sup>5</sup>		Subclause 6.2.3.4	
NS_05U	6.5.3.3.4, 6.5.2.4.2	n1, n84	5, 10, 15, 20		FFS	
NS_06	6.5.2.3.4	n12	5, 10, 15	5.3.5	N/A	2
NS_08	6.5.3.3.5	n8, n81	5, 10, 15		Subclause 6.2.3.6	NS_08
NS_08U	6.5.3.3.5, 6.5.2.4.2	n8, n81	5, 10, 15		FFS	NS_08U
NS_10		n20, n82	15, 20	Table 6.2.3.3- 1	Table 6.2.3.3-1	NS_xx
NS_17	6.5.3.3.2	n28, n83	5,10	Table 5.3.2-1	[1] <sup>3,4</sup>	
NS_18	6.5.3.3.3	n28, n83	5	≥ 2	≤ 2 <sup>4</sup>	
110_10	0.0.0.0.0	1120, 1100	10, 15, 20	≥ 1	≤ 5 <sup>4</sup>	
NS_35	6.5.2.3.1	n71	5, 10, 15, 20	Table 5.3.2-1	N/A	2
NS_37	6.5.3.3.6	n74(NOTE6)	10, 15	Table 6.2.3.8- 1	Table 6.2.3.8-1	1
NS_38	6.5.3.3.7	n74	5, 10, 15, 20	Table 6.2.3.9- 1	Table 6.2.3.9-1	2
NS_39	6.5.3.3.8	n74	10, 15, 20	Table 6.2.3.10-1	Table 6.2.3.10-1	3
NS_40	6.5.2.3.7	n51	5		Table 6.2.3.5-1	
NS_41	6.5.2.3.6	n50	5, 10, 15, 20, 40, 50, 60		Table 6.2.3.11-1	
NS_42	6.5.2.3.7	n50	5, 10, 15, 20, 40, 50, 60		Table 6.2.3.12-1	
NS_100	6.5.2.4.2	n1, n2, n3, n5, n8, n20, n25, n66, n80, n81, n82, n84, NOTE 1			Table 6.2.3-2	

NOTE 1: This NS can be signalled for NR bands that have UTRA services deployed

NOTE 2: The total maximum output power reduction for NS\_17 and NS\_18 is obtained by taking the maximum value of MPR + A-MPR specified in Table 6.2.3-1 and Table 6.2.4-1 in TS 36.101 and A-MPR specified in Table 6.2.3-1.

NOTE 3: The A-MPR is 0dB for inner RB allocations for DFT-s-OFDM PI/2 BPSK and QPSK.

NOTE 4: The A-MPR for CP-OFDM shall also add the corresponding MPR specified in Table 6.2.2-1.

NOTE 5: No A-MPR is applied for 5MHz CBW where the lower channel edge is ≥1930MHz,10MHz CBW where the lower channel edge is ≥1955MHz and 15MHz CBW where the lower channel edge is ≥1955MHz.

NOTE 6: Applicable when the NR carrier is within 1447.9 - 1462.9 MHz

Table 6.2.3-1A: Mapping of Network Signaling label

NR band			Valu	e of additionalS	pectrumEm	ission		
	0	1	2	3	4	5	6	7
n1	NS_01	NS_100	NS_05	NS_05U				
n2	NS_01	NS_100	NS_03	NS_03U				
n3	NS_01	NS_100						
n5	NS_01	NS_100						
n7	NS_01							
n8	NS_01	NS_100	NS_08	NS_08U				
n12	NS_01	NS_06						
n20	NS_01	NS_100	NS_10					
n25	NS_01	NS_100	NS_03	NS_03U				
n28	NS_01	NS_17	NS_18					
n34	NS_01							
n38	NS_01							
n39	NS_01							
n40	NS_01	NS_40						
n41	NS_01	NS_04						
n51	NS_01							
n66	NS_01	NS_100	NS_03	NS_03U				
n70	NS_01	NS_03						
n71	NS_01	NS_35						
n75	NS_01							
n76	NS_01							
n77	NS_01							
n78	NS_01							
n79	NS_01							
n80	NS_01	NS_100						
n81	NS_01	NS_100	NS_08	NS_08U				
n82	NS_01	NS_100	NS_10					
n83	NS_01	NS_17	NS_18					
n84	NS_01	NS_100	NS_05	NS_05U				
n86	NS_01							
NOTE	1.11.01	<del> </del>				•		1 000

NOTE: additionalSpectrumEmission corresponds to an information element of the same name defined in sub-clause 6.3.2 of TS 38.331 [7].

Table 6.2.3-2: A-MPR for UTRA protections

Modulation	A-M	IPR .
Wiodulation	Outer RB allocations	Inner RB allocations
DFT-s-OFDM PI/2 BPSK	≤ 1.5	0
DFT-s-OFDM QPSK	≤ 1	0
DFT-s-OFDM 16 QAM	≤ 0.5	0
DFT-s-OFDM 64 QAM	≤ 0.5	0
DFT-s-OFDM 256 QAM	0	0
CP-OFDM QPSK	≤ 1	0
CP-OFDM 16 QAM	≤ 1	0
CP-OFDM 64 QAM	≤ 0.5	0
CP-OFDM 256 QAM	0	0

NOTE 1: A-MPR defined in this Table is additive to MPR defined in Table 6.2.2-1 NOTE 2: Outer and inner allocations are defined in clause 6.2.2

#### 6.2.3.2 A-MPR for NS\_04

If a UE is configured for n41 on the uplink and it receives IE NS\_04 the allowed maximum power reduction applied to transmissions on n41 is defined as follows. For NS\_04, A-MPR is not added to MPR. Also, when NS\_04 is signalled, MPR shall be set to zero in the  $P_{CMAX}$  equations to avoid double-counting MPR.

Allowed maximum power reduction is defined as A-MPR=max(MPR, A-MPR'),

Note that A-MPR'=0dB means only MPR is applied,

where A-MPR' is defined as

```
if RB<sub>start</sub> \leq f<sub>start,max,IMD3</sub> / (12·SCS) and L<sub>CRB</sub> \leq AW<sub>max,IMD3</sub> / (12·SCS) and F<sub>C</sub> - BW<sub>channel</sub>/2 < F<sub>UL_low</sub> + offset<sub>IMD3</sub>, then the A-MPR' is defined according to Table 6.2.3.2-2 PC3_A2 for Power Class 3 and PC2 A4 for Power Class 2, else, if RB<sub>start</sub> \leq L<sub>CRB</sub>/2 + \Delta<sub>start</sub> / (12·SCS) and L<sub>CRB</sub> \leq AW<sub>max,regrowth</sub> / (12·SCS) and F<sub>C</sub> - BW<sub>channel</sub>/2 < F<sub>UL_low</sub> + offset<sub>regrowth</sub>, then the A-MPR' is defined according to Table 6.2.3.2-2 PC3_A1 for Power Class 3 and PC2 A3 for Power Class 2, else A-MPR' = 0 dB and apply MPR.
```

With the parameters defined in Table 6.2.3.2-1.

Table 6.2.3.2-1: Parameters for region edges and frequency offsets

Dovementor	Cuma la a l	Val	lue	Deleted condition								
Parameter	Symbol	OFDM	DFT-S-OFDM	Related condition								
Max allocation start in IMD3 region	f <sub>start,max,IMD3</sub>	0.33 BW <sub>Channel</sub>		0.33 BW Channel		0.33 BW <sub>Channel</sub>		0.33 BW <sub>Channel</sub>		0.33 BW <sub>Channel</sub>		RB <sub>start</sub> ≤ f <sub>start,max,IMD3</sub> / (12SCS)
Max allocation BW in IMD3 region	AW <sub>max,IMD3</sub>	4 MHz		4 MHz		4 MHz		4 MHz		L <sub>CRB</sub> ≤ AW <sub>max,IMD3</sub> / (12SCS)		
Max freq. offset for IMD3 region	offset <sub>max,IMD3</sub>	BW <sub>Channe</sub>	ı - 6MHz									
Freq. offset required to avoid A-MPR in IMD3 region	offset <sub>IMD3</sub>	offset <sub>max,IMD3</sub>		offset <sub>max,IMD3</sub>		F <sub>C</sub> - BW <sub>Channel</sub> /2 ≥ F <sub>UL_low</sub> + offset <sub>IMD3</sub>						
Right edge of regrowth region	$\Delta_{start}$	0.08 BW <sub>Channel</sub>		RB <sub>start</sub> ≤ L <sub>CRB</sub> /2 + Δ <sub>start</sub> / (12SCS)								
Max allocation BW in regrowth region	AW <sub>max,regrowth</sub>	100 MHz		L <sub>CRB</sub> ≤ Min(L <sub>CRB,Max,</sub> AW <sub>max,regrowth</sub> / (12SCS))								
Freq. offset required to avoid A-MPR in regrowth region	offset <sub>regrowth</sub>	Max (10 MHz, 0.25* BW <sub>Channel</sub> MHz)	Max (10 MHz, 0.45* BW <sub>Channel</sub> MHz)	F <sub>C</sub> - BW <sub>Channel</sub> /2 ≥ F <sub>UL_low</sub> + offset <sub>regrowth</sub>								

Table 6.2.3.2-2: A-MPR'	Modulation		MPR	(dB)	
valuesAccess	Wodulation	PC3_A1	PC3_A2	PC2_A3	PC2_A4
	pi/2-BPSK	3.5	3.5	3.5	[5.5]
	QPSK	4	4	4.5	[6]
DFT-S-OFDM	16-QAM	4	4	5	[6]
	64-QAM		4.5	5	[6.5]
	256-QAM	4.5	6	6.5	[8]
	QPSK	5.5	5.5	6.5	[7.5]
CP-OFDM	16-QAM	5.5	5.5	6.5	[7.5]
	64-QAM	5.5	5.5	6.5	[7.5]
	256-QAM	6.5	8	7.5	[10]

NOTE: The A-MPR' values in this table apply for both A-MPR relative to 23 dBm for PC3 and A-MPR relative to 26 dBm for PC2

### 6.2.3.3 A-MPR for NS\_10

Table 6.2.3.3-1: A-MPR for "NS\_10"

Channel bandwidth [MHz]	Parameters	Region A
	RB <sub>start</sub>	[0 – 10]
15	LCRB [RBs]	[1 -20]
	A-MPR [dB]	≤ 3 <sup>6</sup>
	RB <sub>start</sub>	[0 – 15]
20 L <sub>CRB</sub> [RBs]		[1 -20]
	A-MPR [dB]	≤ 6 <sup>6</sup>
NOTE 1: RBstart inc	dicates the lowest RB index	of transmitted resource blocks

- NOTE 2: LCRB is the length of a contiguous resource block allocation
- NOTE 3: For intra-subframe frequency hopping which intersects Region A, notes 1 and 2 apply on a per slot basis. For intra-slot or intra-subslot frequency hopping which intersects Region A, notes 1 and 2 apply on a T<sub>no\_hopping</sub> basis.
- NOTE 4: For intra-subframe frequency hopping which intersect Region A, the larger A-MPR value may be applied for both slots in the subframe. For intra-slot frequency hopping which intersects Region A, the larger A-MPR value may be applied for the slot. For intra-subslot frequency hopping which intersects Region A, the larger A-MPR value may be applied for the subslot.
- NOTE 5: The total maximum output power reduction for NS\_10 is obtained by taking the maximum value of MPR + A-MPR specified in Table 6.2.3-1 and Table 6.2.4-1 in TS 36.101 and A-MPR specified in Table6.2.3.3-1.
- NOTE 6: The A-MPR for CP-OFDM shall also add the corresponding MPR specified in Table 6.2.2-1.

### 6.2.3.4 A-MPR for NS\_05

Table 6.2.3.4-1: A-MPR for NS\_05

Channel Bandwidth,	Carrier Centre	Region A		Region B			Region C			
MHz	Frequency, Fc, MHz	Rbstart	LCRB	A- MPR	Rbstart	LCRB	A- MPR	Rbstart	LCRB	A- MPR
5MHz	1922.5 ≤ F <sub>C</sub> < 1927.5	<1.62MHz /12/SCS	>2.52MHz /12/SCS	A3						
10MHz	1920 ≤ F <sub>C</sub> < 1935	<1.62 MHz/12/S CS	>0	A1	>1.62MHz/ 12/SCS ≤3.60MHz/ 12/SCS	>5.4MHz /12/SCS	A7	>7.74MHz /12/SCS	≤1.08 MHz/1 2/SCS	A2
10MHz	1935 ≤ F <sub>C</sub> < 1945		>4.5MHz/ 12/SCS	A4						
15MHz	1927.5 ≤ F <sub>C</sub> < 1932.5	<3.24MHz /12/SCS	>0	A1	>3.24MHz/ 12/SCS ≤5.40MHz/ 12/SCS	>8.1MHz /12/SCS	A7	>10.9MHz /12/SCS	≤1.08 MHz/1 2/SCS	A2
15MHz	1932.5 ≤ F <sub>C</sub> < 1942.5	<1.62MHz /12/SCS	>0	A1				>12.6 MHz/12/S CS	≤1.08 MHz/1 2/SCS	A2
15MHz	1942.5 ≤ F <sub>C</sub> < 1947.5		>7.2MHz/ 12/SCS	A5						
20MHz	1930 ≤ F <sub>C</sub> < 1950	<4.86MHz /12/SCS	>0	A1	>4.86MHz/ 12/SCS ≤7.20MHz/ 12/SCS	>9.0MHz /12/SCS	A7	>14.22MH z/12/SCS	≤1.08 MHz/1 2/SCS	A2
20MHz	1950 ≤ F <sub>C</sub> <1960		>9.0MHz/ 12/SCS	A6						

NOTE 1: The A-MPR values are listed in Table 6.2.3.4-1 and 6.2.3.4-2.

NOTE 2: For any undefined region, MPR applies

Table 6.2.3.4-2: A-MPR for modulation and waveform type

Modulation/Waveform	A1	A2	A	3
Wodulation/waveloriii	Outer/Inner	Outer/Inner	Outer	Inner
DFT-s-OFDM PI/2 BPSK	≤ 10	≤ 5	≤ 4	N/A
DFT-s-OFDM QPSK	≤ 10	≤ 5	≤ 4.5	N/A
DFT-s-OFDM 16 QAM	≤ 10	≤ 5	≤ 6	N/A
DFT-s-OFDM 64 QAM	≤ 11	≤ 5	≤ 6	N/A
DFT-s-OFDM 256 QAM	≤ 13	≤ 5	≤7	N/A
CP-OFDM QPSK	≤ 10	≤ 5	≤ 7.5	≤ 2
CP-OFDM 16 QAM	≤ 10	≤ 5	≤ 7.5	N/A
CP-OFDM 64 QAM	≤ 11	≤ 5	≤8	N/A
CP-OFDM 256 QAM	≤ 13	N/A	≤ 10	N/A

NOTE 1: The backoff applied is max (MPR, A-MPR) where MPR is defined in Table 6.2.2-1

NOTE 2: Outer and inner allocations are defined in clause 6.2.2

Table 6.2.3.4-3: A-MPR for modulation and waveform type

Modulation/Waveform	Α	A4		A5		6	A7	
Wodulation/wavelorm	Outer	Inner	Outer	Inner	Outer	Inner	Outer/Inner	
DFT-s-OFDM PI/2 BPSK	≤ 1		≤ 1	N/A	≤ 1		≤ 6	
DFT-s-OFDM QPSK	N/A		≤ 1.5	N/A	≤ 1.5		≤ 6	
DFT-s-OFDM 16 QAM	N/A		N/A	N/A	N/A		≤ 6	
DFT-s-OFDM 64 QAM	N/A		N/A	N/A	N/A		≤ 6	
DFT-s-OFDM 256 QAM	N/A	N/A	N/A	N/A	N/A	N/A	≤ 6	
CP-OFDM QPSK	≤ 3.5		≤ 3.5	N/A	≤ 3.5		≤ 6	
CP-OFDM 16 QAM	≤ 3.5		≤ 3.5	N/A	≤ 3.5		≤ 6	
CP-OFDM 64 QAM	N/A		N/A	≤ 4	N/A		≤ 6	
CP-OFDM 256 QAM	N/A		N/A	N/A	N/A		≤ 6	

NOTE 1: The backoff applied is max (MPR, A-MPR) where MPR is defined in Table 6.2.2-1 NOTE 2: Outer and inner allocations are defined in clause 6.2.2

Table 6.2.3.4-4 - Table 6.2.3.4-9: Void

#### 6.2.3.5 A-MPR for NS\_40

Table 6.2.3.5-1: A-MPR for "NS\_40"

Modulation	A-MPR				
	Channel bandwidth (MHz): 5 MHz				
	Outer RB allocations	Inner RB allocations			
DFT-s-OFDM QPSK	15.5	12			
DFT-s-OFDM 16 QAM	14.5	11			
DFT-s-OFDM 64 QAM	14.5	10			
DFT-s-OFDM 256 QAM	12.5	7.5			
CP-OFDM QPSK	14.5	10			
CP-OFDM 16 QAM	14.5	10			
CP-OFDM 64 QAM	14	8			
CP-OFDM 256 QAM	11	5.5			

NOTE 1: The total maximum output power reduction for NS\_40 is obtained by taking the maximum value of MPR + A-MPR specified in Table 6.2.3-1 and Table 6.2.4-30a in TS 36.101 and MPR+A-MPR specified in Table 6.2.2-1 and Table 6.2.3.5-1.

#### 6.2.3.6 A-MPR for NS\_08

Table 6.2.3.6-1: A-MPR for NS\_08 for 5 MHz CBW

Modulation	A-N	IPR	Configurations for A-MPR
Modulation	Outer RB allocations	Inner RB allocations	(NOTE 3)
DFT-s-OFDM PI/2 BPSK	0	0	
DFT-s-OFDM QPSK	≤ 2	0	L <sub>CRB</sub> > 15 for 15kHz SCS
DFT-s-OFDM 16 QAM	0	0	
DFT-s-OFDM 64 QAM	0	0	
DFT-s-OFDM 256 QAM	0	0	
CP-OFDM QPSK	≤ 3.5	0	L <sub>CRB</sub> > 15 for 15kHz SCS
CP-OFDM 16 QAM	≤ 3.5	0	L <sub>CRB</sub> > 15 for 15kHz SCS
CP-OFDM 64 QAM	0	0	
CP-OFDM 256 QAM	0	0	

NOTE 1: The total backoff applied is max(MPR, A-MPR) where MPR is defined in Table 6.2.2-1

NOTE 2: Outer and inner allocations are defined in clause 6.2.2

NOTE 3: When configurations are specified, A-MPR is only applied for the conditions and zero A-MPR is applied for the rest of RB allocations.

Table 6.2.3.6-2: A-MPR for NS\_08 for 10 MHz CBW

Modulation	A-M	IPR	Configurations for A-MPR
Modulation	Outer RB allocations Inner RB allocation		(NOTE 3)
DFT-s-OFDM PI/2 BPSK	≤ 1.5	0	LCRB > 40 for 15kHz SCS
DFT-s-OFDM QPSK	≤ 2.5	0	LCRB > 30 for 15kHz SCS LCRB > 15 for 30kHz SCS
DFT-s-OFDM 16 QAM	≤ 2.5	0	LCRB > 40 for 15kHz SCS LCRB > 20 for 30kHz SCS
DFT-s-OFDM 64 QAM	≤ 2.5	0	LCRB > 45 for 15kHz SCS
DFT-s-OFDM 256 QAM	0	0	LCRB > 40 for 15kHz SCS LCRB > 20 for 30kHz SCS
CP-OFDM QPSK	≤ 4	0	LCRB > 40 for 15kHz SCS LCRB > 20 for 30kHz SCS
CP-OFDM 16 QAM	≤ 4	0	LCRB > 40 for 15kHz SCS LCRB > 20 for 30kHz SCS
CP-OFDM 64 QAM	≤ 4	0	LCRB > 45 for 15kHz SCS
CP-OFDM 256 QAM	0	0	

NOTE 1: The total backoff applied is max(MPR, A-MPR) where MPR is defined in Table 6.2.2-1

NOTE 2: Outer and inner allocations are defined in clause 6.2.2

NOTE 3: When configurations are specified, A-MPR is only applied for the conditions and zero A-MPR is applied for the rest of RB allocations.

Table 6.2.3.6-3: A-MPR for NS 08 for 15 MHz CBW

Modulation	A-N	A-MPR				
Wiodulation	Outer RB allocations	Inner RB allocations	(NOTE 3)			
DFT-s-OFDM PI/2 BPSK	≤ 9	≤ 9	NOTE 4			
1DFT-s-OFDM QPSK	≤ 9	≤ 9	NOTE 4			
DFT-s-OFDM 16 QAM	≤ 9	≤ 9	NOTE 4			
DFT-s-OFDM 64 QAM	≤ 9	≤ 9	NOTE 4			
DFT-s-OFDM 256 QAM	≤ 9	≤ 9	NOTE 4			
CP-OFDM QPSK	≤ 9	≤ 9	NOTE 4			
CP-OFDM 16 QAM	≤ 9	≤ 9	NOTE 4			
CP-OFDM 64 QAM	≤ 9	≤ 9	NOTE 4			
CP-OFDM 256 QAM	≤ 9	≤ 9	NOTE 4			

NOTE 1: The total backoff applied is max(MPR, A-MPR) where MPR is defined in Table 6.2.2-1

NOTE 2: Outer and inner allocations are defined in clause 6.2.2

NOTE 3: When configurations are specified, A-MPR is only applied for the conditions and zero A-MPR is applied for the rest of RB allocations.

NOTE 4: For 15kHz SCS, applicable for RB<sub>START</sub><10 or >68 and L<sub>CRB</sub>>0, or 10≤ RB<sub>START</sub><34 and L<sub>CRB</sub>>40. For 30kHz SCS, applicable for RB<sub>START</sub><5 or >34 and L<sub>CRB</sub>>0, or 5≤ RB<sub>START</sub><17 and L<sub>CRB</sub>>12.

### 6.2.3.7 A-MPR for NS\_03

Table 6.2.3.7-1 A-MPR for NS\_03

Modulation		Channel BW	// Transmissi	on BW in MH	Z	A-M	PR
	5	10	15	20	40	Outer RB allocations	Inner RB allocations
DFT-s-OFDM PI/2 BPSK, DFT-s-OFDM QPSK, DFT-s-OFDM 16	1.44	1.44 – 2.16	1.44 – 2.88	2.16 – 3.24	2.88 – 4.32	N/A	≤1
QAM	> 1.44	> 2.16	> 2.88	> 3.24	> 4.32	≤1	≤2
DFT-s-OFDM 64	1.44	1.44 – 2.16	1.44 – 2.88	2.16 - 3.24	2.88 – 4.32	≤0.5	≤0.5
QAM	> 1.44	> 2.16	> 2.88	> 3.24	> 4.32	≤1.5	≤1.5
DFT-s-OFDM 256			≤0.5	≤0.5			
QAM			≥1.44			≤1.5	≤1.5
CP-OFDM QPSK	1.44	1.44 – 2.16	1.44 – 2.88	2.16 - 3.24	2.88 - 4.32	N/A	≤1
CI -OI DIVI QI SK	> 1.44	> 2.16	> 2.88	> 3.24	> 4.32	≤1	≤2
CP-OFDM 16	1.44	1.44 – 2.16	1.44 - 2.88	2.16 – 3.24	2.88 - 4.32	N/A	≤2
QAM	> 1.44	> 2.16	> 2.88	> 3.24	> 4.32	≤1	≤2
CP-OFDM 64 QAM	> 1.08	> 1.08	> 1.44	> 1.8	> 2.88	≤1	≤1
CP-OFDM 256 QAM	> 1.08	> 1.08	> 1.44	> 1.8	> 2.88	≤1	≤1

NOTE 1: A-MPR defined in this Table is additive to MPR defined in Table 6.2.2-1

NOTE 2: Inner and outer allocations are defined in clause 6.2.2

#### A-MPR for NS\_37 6.2.3.8

Table 6.2.3.8-1: A-MPR for B11/B21 protection (NS\_37) for 10MHz, 15MHz (1447.9-1462.9MHz)

Channel Bandwidth,	Carrier Centre Frequency,		Region A (Outer/Inner)			Region B (Outer/Inner)			Region C (Outer/Inner)		
MHz	Fc, MHz	RBstart,( MHz/12/ SCS)	LCRB (MHz/12/ SCS)	A-MPR	RBstart (MHz/12/ SCS)	LCRB (MHz/12/ SCS)	A-MPR	RBstart (MHz/12/ SCS)	LCRB (MHz/12/ SCS)	A-MPR	
10MHz	1452.9 < F <sub>C</sub> ≤1457.9	≥0	>40	≤ A1	N/A	N/A	N/A	N/A	N/A	N/A	
15MHz	F <sub>C</sub> =1455.4	≥0	>55	≤ A1	<[0.54]	< [1.08]	[≤ A2]	>[13.86]	< [1.08]	[≤ A2]	

NOTE 1: A-MPR values in Table 6.2.3.8-2.

NOTE 2: The backoff applied is max (MPR, A-MPR) where MPR is defined in Table 6.2.2-1 NOTE 3: For any undefined region, MPR applies

NOTE 4: No A-MPR for SCS=60 kHz.

Table 6.2.3.8-2: A-MPR for modulation and waveform type

Modulation/Waveform	A	1	A2	
Wodulation/wavelorm	Outer	Inner	Outer/Inner	
DFT-s-OFDM PI/2 BPSK	≤ 1	N/A	[≤ 3]	
DFT-s-OFDM QPSK	≤ 1.5	N/A	[≤ 3]	
DFT-s-OFDM 16 QAM	≤ 2.5	N/A	[≤ 3]	
DFT-s-OFDM 64 QAM	≤ 3	N/A	[≤ 3]	
DFT-s-OFDM 256 QAM	N/A	N/A	[N/A]	
CP-OFDM QPSK	≤ 3.5	N/A	[≤ 3]	
CP-OFDM 16 QAM	≤ 3.5	N/A	[≤ 3]	
CP-OFDM 64 QAM	N/A	N/A	[N/A]	
CP-OFDM 256 QAM	N/A	N/A	[N\A]	

NOTE 1: The backoff applied is max(MPR, A-MPR) where MPR is defined in Table 6.2.2-1

NOTE 2: Outer and inner allocations are defined in clause 6.2.2

### 6.2.3.9 A-MPR for NS\_38

Table 6.2.3.9-1: A-MPR for EESS (NS\_38) Protection (1430-1470MHz)

Channel Bandwidth,	Carrier Centre	Regio (Outer/			Region B (Outer/Inner)		
MHz Frequency, Fc, MHz		RBstart,	LCRB	A-MPR	Rbstart+LCRB(M Hz/12/SCS)	A-MPR	
10MHz	[1435 ≤ F <sub>C</sub> < 1442]	[<= -1.8 MHz/12/SCS + LCRB/2]	[>3.6]	[≤ 12]	[≤2.16]	[≤ 9]	
15MHz	[1437.5 ≤ F <sub>C</sub> < 1447.5]	[<= -1.8 MHz/12/SCS + LCRB/2]	[>3.6]	[≤ 13]	[≤3.6]	[≤ 10]	
20MHz	[1440 ≤ F <sub>C</sub> < 1450]	[<= -1.8 MHz/12/SCS + LCRB/2]	[>3.6]	[≤ 13]	[≤5.4]	[≤ 10]	

NOTE 1: The backoff applied is max(MPR, A-MPR) where MPR is defined in Table 6.2.2-1

NOTE 2: Outer and inner allocations are defined in clause 6.2

NOTE 3: For any undefined region, MPR applies

NOTE 4: A-MPR applies to all modulation and waveform types.

#### 6.2.3.10 A-MPR for NS\_39

Table 6.2.3.10-1: A-MPR for own RX (NS\_39) Protection for 10MHz, 15MHz, 20MHz (1440-1470MHz)

Channel	Carrier Centre	Region A (Outer/Inne	r)
Bandwidth, MHz	Frequency, Fc, MHz	Rbstart+LCRB(MHz/ 12/SCS)	A-MPR
10MHz	[1462 < F <sub>C</sub> ≤1465]	[>7.9]	[≤ 6]
15MHz	[1456.3 < F <sub>C</sub> ≤ 1462.5]	[>11.2]	[≤ 6]
20MHz	[1450.8 < F <sub>C</sub> ≤ 1460]	[>14.4]	[≤ 6]

NOTE 1: The backoff applied is max(MPR, A-MPR) where MPR is defined in Table 6.2.2-1

NOTE 2: Outer and inner allocations are defined in clause 6.2

NOTE 3: For any undefined region, MPR applies

NOTE 4: A-MPR applies to all modulation and waveform types.

#### 6.2.3.11 A-MPR for NS 41

Table 6.2.3.11-1: A-MPR for "NS\_41"

Channel Bandwidth,	vidth Centre (Outer/Inner)		Region B (Outer/Inner)				
MHz	Frequency, Fc, MHz	Rbstart	Rbstart LCRB A-MPR		Rbstart	LCRB	A-MPR [dB]
5MHz	-	=	-	NA	-	-	NA
10MHz	1437 ≤ F <sub>C</sub> < 1442	<= -4.5MHz/12/SCS + LCRB	>4.5MHz/12/ SCS	≤ 9	LCRB < 1.7MHz/12/SCS	≤ 2.7 MHz/12/SCS	≤ 9
15MHz	1439.5 ≤ F <sub>C</sub> < 1447.5	<= -5.4MHz/12/SCS + LCRB	>5.4MHz/12/ SCS	≤ 11	LCRB < 3.42MHz/12/SCS	≤ 3.6 MHz/12/SCS	≤ 9
20MHz	1442 ≤ F <sub>C</sub> < 1450	<= -5.4MHz/12/SCS + LCRB	>5.4MHz/12/ SCS	≤ 12	LCRB < 5.04MHz/12/SCS	≤ 5 MHz/12/SCS	≤ 9
40MHz	1452 ≤ F <sub>C</sub> < 1497	<= -7.2MHz/12/SCS + LCRB	>7.2MHz/12/ SCS	≤ 13.5	LCRB < 11.7MHz/12/SCS	≤ 18 MHz/12/SCS	≤ 10.5
50MHz	1457 ≤ F <sub>C</sub> < 1492	<= -7.2MHz/12/SCS + LCRB	>7.2MHz/12/ SCS	≤ 13.5	LCRB < 15.12MHz/12/SCS	≤ 18 MHz/12/SCS	≤ 10.5
60MHz	1462 ≤ F <sub>C</sub> < 1487	<= -7.2MHz/12/SCS + LCRB	>7.2MHz/12/ SCS	≤ 13.5	LCRB < 18.72MHz/12/SCS	≤ 18.72 MHz/12/SCS	≤ 10.5

NOTE 1: The backoff applied is max(MPR, A-MPR) where MPR is defined in Table 6.2.2-1

NOTE 2: Outer and inner allocations are defined in clause 6.2.2

NOTE 3: For any undefined region, MPR applies

NOTE 4: A-MPR applies to all modulation and waveform types.

### 6.2.3.12 A-MPR for NS\_42

Table 6.2.3.12-1: A-MPR for "NS\_42"

Channel	Carrier Centre	Region	Region A Region B			
Bandwidth, MHz	Frequency, Fc, MHz	RBend	A-MPR (Outer/Inner)	Rbstart	A-MPR (Inner)	A-MPR (Outer)
5MHz	1512 ≤ F <sub>C</sub> ≤ 1514.5	>3.1MHz / 12 / SCS	≤ 7	<0.9MHz/12/SCS	≤ 1.5	≤ 4
10MHz	1497 ≤ F <sub>C</sub> ≤ 1512	>6.2MHz / 12 / SCS	≤ 8	<0.9MHz/12/SCS	≤ 1.5	≤ 5
15MHz	1502 F <sub>C</sub> ≤ 1509.5	>9.3MHz / 12 / SCS	≤ 8	<3.06MHz/12/SCS	≤ 1.5	≤ 5
20MHz	1497 ≤ F <sub>C</sub> ≤ 1507	>12.4MHz / 12 / SCS	≤ 8	<4.5MHz/12/SCS	≤ 1.5	≤ 5
40MHz	1477 ≤ F <sub>C</sub> ≤ 1497	>24.8MHz / 12 / SCS	≤ 8	<5.4MHz/12/SCS	≤ 1.5	≤ 5
50MHz	1467 ≤ F <sub>C</sub> ≤ 1492	>31MHz / 12 / SCS	≤ 8	<7.2MHz/12/SCS	≤ 1.5	≤ 5
60MHz	1462 ≤ F <sub>C</sub> ≤ 1487	>37.2MHz / 12 / SCS	≤ 8	<7.2MHz/12/SCS	≤ 1.5	≤ 5

NOTE 1: The backoff applied is max(MPR, A-MPR) where MPR is defined in Table 6.2.2-1

NOTE 2: Outer and inner allocations are defined in clause 6.2.2 NOTE 3: For any undefined region, MPR applies

NOTE 4: A-MPR applies to all modulation and waveform types.

### 6.2.4 Configured transmitted power

The UE is allowed to set its configured maximum output power  $P_{CMAX,f,c}$  for carrier f of serving cell c in each slot. The configured maximum output power  $P_{CMAX,f,c}$  is set within the following bounds:

$$P_{CMAX\_L,f,c} \leq P_{CMAX,f,c} \leq P_{CMAX\_H,f,c}$$
 with

$$P_{CMAX\_L,f,c} = MIN \left\{ P_{EMAX,c} - \Delta T_{C,c}, \; \left( P_{PowerClass} - \Delta P_{PowerClass} \right) - MAX(MPR_c + A-MPR_c + \Delta T_{IB,c} + \Delta T_{C,c} + \Delta T_{RxSRS}, P-MPR_c \right) \right\}$$

$$P_{CMAX\_H,f,c} = MIN \{P_{EMAX,c}, P_{PowerClass} - \Delta P_{PowerClass} \}$$

where

P<sub>EMAX,c</sub> is the value given by IE P-Max for serving cell c, defined in TS 38.331[7];

P<sub>PowerClass</sub> is the maximum UE power specified in Table 6.2.1-1 without taking into account the tolerance specified in the Table 6.2.1-1;

When the IE [P-Boost-BPSK] is set to 1,  $P_{EMAX,c}$  is increased by +3 dB for a power class 3 capable UE operating in TDD bands n40, n77, n78, and n79 with PI/2 BPSK modulation and 40% or less slots in radio frame are used for UL transmission when  $P_{EMAX,c} \ge 20$  dBm.

When the IE [P-Boost-BPSK] is set to 1,  $\Delta P_{PowerClass} = -3$  dB for a power class 3 capable UE operating in TDD bands n40, n77, n78, and n79 with PI/2 BPSK modulation and 40% or less slots in radio frame are used for UL transmission.

 $\Delta P_{PowerClass} = 3$  dB for a power class 2 capable UE operating in Band n41, n77, n78 and n79, when P-max of 23 dBm or lower is indicated; or when the field of UE capability maxUplinkDutyCycle is absent and the percentage of uplink symbols transmitted in a certain evaluation period is larger than 50%; or when the field of UE capability maxUplinkDutyCycle is not absent and the percentage of uplink symbols transmitted in a certain evaluation period is larger than maxUplinkDutyCycle as defined in TS 38.331 (The exact evaluation period is no less than one radio frame); or if P-Max is not indicated in the cell, otherwise  $\Delta P_{PowerClass} = 0$  dB;

 $\Delta T_{IB,c}$  is the additional tolerance for serving cell c as specified in TS 38.101-3 subclause 6.2A.4.2 and 6.2B.4.2;  $\Delta T_{IB,c}$  = 0 dB otherwise;

 $\Delta T_{C,c}$  is TBD;

MPR<sub>c</sub> and A-MPR<sub>c</sub> for serving cell c are specified in subclause 6.2.2 and subclause 6.2.3, respectively;

 $\Delta T_{RxSRS}$  is 3 dB and is applied when UE transmits SRS to the antenna port that is designated as Rx port. For other SRS transmissions  $\Delta T_{RxSRS}$  is zero

P-MPR<sub>c</sub> is the allowed maximum output power reduction for

- a) ensuring compliance with applicable electromagnetic energy absorption requirements and addressing unwanted emissions / self desense requirements in case of simultaneous transmissions on multiple RAT(s) for scenarios not in scope of 3GPP RAN spduiecifications;
- b) ensuring compliance with applicable electromagnetic energy absorption requirements in case of proximity detection is used to address such requirements that require a lower maximum output power.

The UE shall apply P-MPR $_c$  for serving cell c only for the above cases. For UE conducted conformance testing P-MPR $_c$  shall be 0 dB

NOTE 1: P-MPR $_c$  was introduced in the  $P_{CMAX,f,c}$  equation such that the UE can report to the eNB the available maximum output transmit power. This information can be used by the eNB for scheduling decisions. NOTE 2: P-MPR $_c$  may impact the maximum uplink performance for the selected UL transmission path.

 $T_{REF}$  and  $T_{eval}$  are specified in Table 6.2.4-1. For each  $T_{REF}$ , the  $P_{CMAX,L,c}$  for serving cell c are evaluated per  $T_{eval}$  and given by the minimum value taken over the transmission(s) within the  $T_{eval}$ ; the minimum  $P_{CMAX,L,f,c}$  over one or more  $T_{eval}$  is then applied for the entire  $T_{REF}$ 

Table 6.2.4-1: Evaluation and reference periods for Pcmax

$T_{REF}$	T <sub>eval</sub>	T <sub>eval</sub> with frequency hopping
Physical channel length	Physical channel length	$Min(T_{no\_hopping}, Physical\ Channel)$

The measured configured maximum output power P<sub>UMAX,f,c</sub> shall be within the following bounds:

$$P_{CMAX\_L,f,c} \ - \ MAX\{T_{L,c}, T(P_{CMAX\_L,f,c})\} \ \le \ P_{UMAX,f,c} \ \le \ P_{CMAX\_H,f,c} \ + \ T(P_{CMAX\_H,f,c}).$$

where the tolerance  $T(P_{CMAX,f,c})$  for applicable values of  $P_{CMAX,f,c}$  is specified in Table 6.2.4-1. The tolerance  $T_{L,c}$  is the absolute value of the lower tolerance for the applicable operating band as specified in Table 6.2.1-1.

Table 6.2.4-1: PCMAX tolerance

P <sub>CMAX,f,c</sub> (dBm)	Tolerance T(P <sub>CMAX,f,c</sub> ) (dB)
23 < P <sub>CMAX,c</sub> ≤ 33	2.0
21 ≤ P <sub>CMAX,c</sub> ≤ 23	2.0
20 ≤ P <sub>CMAX,c</sub> < 21	2.5
19 ≤ P <sub>CMAX,c</sub> < 20	3.5
18 ≤ P <sub>CMAX,c</sub> < 19	4.0
13 ≤ P <sub>CMAX,c</sub> < 18	5.0
8 ≤ P <sub>CMAX,c</sub> < 13	6.0
-40 ≤ P <sub>CMAX,c</sub> < 8	7.0

### 6.2A Transmitter power for CA

### 6.2A.1 UE maximum output power for CA

6.2A.1.1 UE maximum output power for Intra-band contiguous CA

6.2A.1.1 UE maximum output power for Intra-band non-contiguous CA

#### 6.2A.1.3 UE maximum output power for Inter-band CA

For inter-band carrier aggregation with one uplink carrier assigned to one NR band, the transmitter power requirements in subclause 6.2 apply.

For inter-band carrier aggregation with uplink assigned to two NR bands, UE maximum output power shall be measured over all component carriers from different bands. If each band has separate antenna connectors, maximum output power is measured as the sum of maximum output power at each UE antenna connector. The period of measurement shall be at least one sub frame (1ms). The maximum output power is specified in Table 6.2A.1.3-1.

Table 6.2A.1.3-1 UE Power Class for uplink inter-band CA (two bands)

NR CA Configuration	Class 1 (dBm)	Tolerance (dB)	Class 2 (dBm)	Tolerance (dB)	Class 3 (dBm)	Tolerance (dB)	Class 4 (dBm)	Tolerance (dB)
CA_XA-YA					23	TBD		

NOTE 1: Void

NOTE 2: 2 refers to the transmission bandwidths (Figure 5.3.2-1) confined within FUL\_low and FUL\_low + 4 MHz or FUL\_high – 4 MHz and FUL\_high, the maximum output power requirement is relaxed by reducing the lower tolerance limit by 1.5 dB

NOTE 3: PPowerClass is the maximum UE power specified without taking into account the tolerance

NOTE 4: For inter-band carrier aggregation the maximum power requirement should apply to the total transmitted power over all component carriers (per UE).

### 6.2A.2 UE maximum output power reduction for CA

- 6.2A.2.1 UE maximum output power reduction forIntra-band contiguous CA
- 6.2A.2.2 UE maximum output power reduction for Intra-band non-contiguous CA
- 6.2A.2.3 UE maximum output power reduction for Inter-band CA

For inter-band carrier aggregation with uplink assigned to two NR bands, the requirements in subclause 6.2.2 apply for each uplink component carrier.

### 6.2A.3 UE additional maximum output power reduction for CA

- 6.2A.3.1.1 UE additional maximum output power reduction for Intra-band contiguous CA
- 6.2A.3.1.2 UE additional maximum output power reduction for Intra-band non-contiguous CA
- 6.2A.3.1.3 UE additional maximum output power reduction for Inter-band CA

### 6.2A.4 Configured output power for CA

- 6.2A.4.1 Configured transmitted power level
- 6.2A.4.1.1 Configured transmitted power for Intra-band contiguous CA
- 6.2A.4.1.2 Configured transmitted power for Intra-band non-contiguous CA
- 6.2A.4.1.3 Configured transmitted power for Inter-band CA

For uplink carrier aggregation the UE is allowed to set its configured maximum output power  $P_{CMAX,c}$  for serving cell c and its total configured maximum output power  $P_{CMAX}$ .

The configured maximum output power  $P_{CMAX,c}$  on serving cell c shall be set as specified in subclause 6.2.4.

For uplink inter-band carrier aggregation, MPR<sub>c</sub> and A-MPR<sub>c</sub> apply per serving cell c and are specified in subclause 6.2.2 and subclause 6.2.3, respectively. P-MPR<sub>c</sub> accounts for power management for serving cell c. P<sub>CMAX,c</sub> is calculated under the assumption that the transmit power is increased independently on all component carriers.

The total configured maximum output power P<sub>CMAX</sub> shall be set within the following bounds:

$$P_{CMAX\_L} \leq P_{CMAX} \leq P_{CMAX\_H}$$

For uplink inter-band carrier aggregation with one serving cell c per operating band when same slot symbol pattern is used in all aggregated serving cells,

 $P_{CMAX\_L} = MIN \; \{ \; 10log_{10} \sum MIN \; [ \; p_{EMAX,c} / (\Delta t_{C,c}), \; \; p_{PowerClass} / (mpr_c \cdot a - mpr_c \cdot \Delta t_{C,c} \cdot \Delta t_{IB,c} \cdot \Delta t_{RxSRS,c}) \; , \; p_{PowerClass} / pmpr_c ], \\ P_{PowerClass} \}$ 

$$P_{CMAX\_H} = MIN\{10 \ log_{10} \ \sum p_{EMAX,c} \ , \ P_{PowerClass} \}$$

#### where

- $p_{EMAX,c}$  is the linear value of  $P_{EMAX,c}$  which is given by IE *P-Max* for serving cell *c* in [7];
- P<sub>PowerClass</sub> is the maximum UE power specified in Table 6.2A.1.3-1 without taking into account the tolerance specified in the Table 6.2A.1.3-1; p<sub>PowerClass</sub> is the linear value of P<sub>PowerClass</sub>;
- mpr<sub>c</sub> and a-mpr<sub>c</sub> are the linear values of MPR<sub>c</sub> and A-MPR<sub>c</sub> as specified in subclause 6.2.2 and subclause 6.2.3, respectively;
- pmpr<sub>c</sub> is the linear value of P-MPR<sub>c</sub>;
- $\Delta T_{RxSRS,c}$  is 3 dB and is applied when UE transmits SRS to the antenna port that is designated as Rx port. For other SRS transmissions  $\Delta T_{RxSRS}$  is zero;

- $\Delta t_{C,c}$  is the linear value of  $\Delta T_{C,c}$ .  $\Delta t_{C,c} = 1.41$  when NOTE 2 in Table 6.2A.1.3-1 applies for a serving cell c, otherwise  $\Delta t_{C,c} = 1$ ;
- $\Delta t_{IB,c}$  is the linear value of the inter-band relaxation term  $\Delta T_{IB,c}$  of the serving cell c as specified in Table 6.2A.4.2.3-1; otherwise  $\Delta t_{IB,c} = 1$ ;

For uplink inter-band carrier aggregation with one serving cell c per operating band when at least one different numerology/slot pattern is used in aggregated cells, the UE is allowed to set its configured maximum output power  $P_{\text{CMAX},c(i),i}$  for serving cell c(i) of slot numerology type i, and its total configured maximum output power  $P_{\text{CMAX}}$ .

The configured maximum output power  $P_{CMAX,c(i),i}(p)$  in slot p of serving cell c(i) on slot numerology type i shall be set within the following bounds:

$$P_{CMAX\_L,f,c~(i),i}\left(p\right) \leq \ P_{CMAX,f,c(i),i}\left(p\right) \leq \ P_{CMAX\_H,f,c(i),i}\left(p\right)$$

where  $P_{CMAX\_L,f,c\ (i),i}$  (p and  $P_{CMAX\_Hf,c(i),i}$  (p) are the limits for a serving cell c(i) of slot numerology type i as specified in subclause 6.2.4.

The total UE configured maximum output power  $P_{CMAX}(p,q)$  in a slot p of slot numerology or symbol pattern i, and a slot q of slot numerology or symbol pattern j that overlap in time shall be set within the following bounds unless stated otherwise:

$$P_{CMAX\_L}(p,q) \leq \, P_{CMAX}(p,q) \, \leq \, , \! P_{CMAX\_H}(p,q)$$

When slots p and q have different transmissions lengths and belong to different cells on different bands:

$$P_{CMAX\_L}(p,q) = MIN \{10 log_{10} [p_{CMAX\_L,f,c}(i),i(p) + p_{CMAX\_L,f,c}(i),j(q)], P_{PowerClass}\}$$

$$P_{CMAX\_H}(p,q) = MIN \{10 log_{10} [p_{CMAX\_H,f,c(i),i}(p) + p_{CMAX\_H,f,c(i),j}(q)], P_{PowerClass} \}$$

where  $p_{CMAX\_L,f,c\ (i),i}$  and  $p_{CMAX\_H,f,c(i),i}$  are the respective limits  $P_{CMAX\_L,f,c\ (i),i}$  and  $P_{CMAX\_H,f,c(i),i}$  are expressed in linear scale.

 $T_{REF}$  and  $T_{eval}$  are specified in Table 6.2A.4.1.3-0 when same and different slot patterns are used in aggregated carriers. For each  $T_{REF}$ , the  $P_{CMAX\_L}$  is evaluated per  $T_{eval}$  and given by the minimum value taken over the transmission(s) within the  $T_{eval}$ ; the minimum  $P_{CMAX\_L}$  over the one or more  $T_{eval}$  is then applied for the entire  $T_{REF}$ .  $P_{PowerClass}$  shall not be exceeded by the UE during any period of time.

Table 6.2A.4.1.3-0: P<sub>CMAX</sub> evaluation window for different Slot and Channel durations

T <sub>REF</sub>	Teval	T <sub>eval</sub> with frequency hopping
T <sub>REF</sub> of largest slot duration over	Physical channel	Min(T <sub>no_hopping</sub> , Physical
both UL CCs	length	Channel Length)

If the UE is configured with multiple TAGs and transmissions of the UE on slot i for any serving cell in one TAG overlap some portion of the first symbol of the transmission on slot i+1 for a different serving cell in another TAG, the UE minimum of  $P_{CMAX\_L}$  for slots i and i+1 applies for any overlapping portion of slots i and i+1.  $P_{PowerClass}$  shall not be exceeded by the UE during any period of time.

The measured maximum output power  $P_{UMAX}$  over all serving cells with same slot pattern shall be within the following range:

$$\begin{split} P_{CMAX\_L} - MAX\{T_L, T_{LOW}(P_{CMAX\_L}) \ \} \ \leq \ P_{UMAX} \leq \ P_{CMAX\_H} + \ T_{HIGH}(P_{CMAX\_H}) \end{split}$$
 
$$P_{UMAX} = 10 \ log_{10} \ \sum p_{UMAX,c} \end{split}$$

where  $p_{UMAX,c}$  denotes the measured maximum output power for serving cell c expressed in linear scale. The tolerances  $T_{LOW}(P_{CMAX})$  and  $T_{HIGH}(P_{CMAX})$  for applicable values of  $P_{CMAX}$  are specified in Table 6.2A.4.1.3-1. The tolerance  $T_L$  is the absolute value of the lower tolerance for applicable NR CA configuration as specified in Table 6.2.2A-2 for interband carrier aggregation.

The measured maximum output power  $P_{UMAX}$  over all serving cells, when at least one slot has a different transmission numerology or symbol pattern, shall be within the following range:

$$P'_{CMAX\_L} - \ MAX\{T_L, \, T_{LOW} \, (P'_{CMAX\_L})\} \leq P'_{UMAX} \leq P'_{CMAX\_H} + T_{HIGH} \, (P'_{CMAX\_H})$$

$$P'_{UMAX} = 10 log_{10} \sum p'_{UMAX,c}$$

where  $p'_{UMAX,c}$  denotes the average measured maximum output power for serving cell c expressed in linear scale over  $T_{REF}$ . The tolerances  $T_{LOW}(P'_{CMAX})$  and  $T_{HIGH}(P'_{CMAX})$  for applicable values of  $P'_{CMAX}$  are specified in Table Table 6.2A.4.1.3-1 for inter-band carrier aggregation. The tolerance  $T_L$  is the absolute value of the lower tolerance for applicable NR CA configuration as specified in Table 6.2A.1.3-1 for inter-band carrier aggregation.

where:

 $P'_{CMAX\_L} = MIN\{ MIN \{ 10log_{10} \sum (p_{CMAX\_L,f,c\ (i),i}), P_{PowerClass} \}$  over all overlapping slots in  $T_{REF} \}$ 

 $P'_{CMAX\_H} = MAX\{ MIN\{10 \log_{10} \sum p_{EMAX,c}, P_{PowerClass} \}$  over all overlapping Tslots in  $T_{REF}\}$ 

Table 6.2A.4.1.3-1: PCMAX tolerance for uplink inter-band CA (two bands)

PCMAX (dBm)	Tolerance TLOW(PCMAX) (dB)	Tolerance THIGH(PCMAX) (dB)				
P <sub>CMAX</sub> = 23	3.0	2.0				
22 ≤ P <sub>CMAX</sub> < 23	5.0	2.0				
21 ≤ P <sub>CMAX</sub> < 22	5.0	3.0				
20 ≤ P <sub>CMAX</sub> < 21	6.0	4.0				
16 ≤ P <sub>CMAX</sub> < 20	5.0					
11 ≤ P <sub>CMAc</sub> < 16	6.0					
-40 ≤ P <sub>CMAX</sub> < 11	7	7.0				

6.2A.4.2  $\Delta T_{IB,c}$  for CA

6.2A.4.2.1 ΔT<sub>IB,c</sub> for Intra-band contiguous CA

6.2A.4.2.2 ΔT<sub>IB,c</sub> for Intra-band non-contiguous CA

6.2A.4.2.3  $\Delta T_{IB,c}$  for Inter-band CA

 $\Delta T_{IB,c}$  for NR CA For the UE which supports inter-band NR CA configuration,  $\Delta T_{IB,c}$  in Tables below applies. Unless otherwise stated,  $\Delta T_{IB,c}$  is set to zero.

Table 6.2A.4.2.3-1: ΔT<sub>IB,c</sub> due to NR CA (two bands)

Inter-band CA configuration	NR Band	ΔT <sub>IB,c</sub> (dB)
	n3	0.6
CA_n3-n77	n77	0.8
CA 224 2794	n3	0.6
CA_n3A-n78A	n78	0.8
CA 22 270	n3	0.3
CA_n3-n79	n79	0.8
CA n8-n75	n8	0.3
CA n8A-n78A	n8	0.6
CA 116A-1176A	n78	0.8
CA ng n70	n8	0.3
CA_n8-n79	n79	0.8
CA n28-n75	n28	0.3
CA 2004 2704	n28	0.5
CA_n28A-n78A	n78	0.8
CA p41A p79A1	n41	0.3
CA_n41A-n78A <sup>1</sup>	n78	0.8
CA_n75-n78	n78	0.8
CA_n76-n78	n78	0.8
CA n77 n70A	n77	0.5
CA n77-n79A	n79	0.5
CA n78A-n79A	n78	0.5
CA_11/6A-11/9A	n79	0.5

NOTE: The requirements only apply when the sub-frame and Tx-Rx timings are synchronized between the component carriers. In the absence of synchronization, the requirements are not within scope of these specifications.

## 6.2B Transmitter power for DC

## 6.2C Transmitter power for SUL

### 6.2C.1 Configured transmitted power for SUL

For single carrier configured transmit power, as the UL carrier and SUL carrier is a same cell, the configured transmit power is specified for each UL carrier in a serving cell. The configured transmit power requirement for serving cell is applied for each UL carrier.

### $6.2C.2\Delta T_{IB,c}$

For the UE which supports SUL band combination,  $\Delta T_{IB,c}$  in Tables below applies. Unless otherwise stated,  $\Delta T_{IB,c}$  is set to zero.

Table 6.2C.2-1: ΔT<sub>IB,c</sub> due to SUL

Band combination for SUL	NR Band	ΔT <sub>IB,c</sub> (dB)
CIII n79 n90	n78	0.8
SUL_n78-n80	n80	0.6
SUL n78-n81	n78	0.8
SUL_1176-1161	n81	0.6
SUL n78-n82	n78	0.8
30L_1176-1162	n82	0.6
SUL n78-n83	n78	0.8
30L_1176-1163	n83	0.5
SUL n78-n84	n78	0.8
30L_1176-1164	n84	0.3
SUL n78-n86	n78	0.8
30L_1176-1160	n86	0.6

### 6.2D Transmitter power for UL-MIMO

### 6.2D.1UE maximum output power for UL-MIMO

For PC2 UE with two transmit antenna connectors in closed-loop spatial multiplexing scheme, the maximum output power for any transmission bandwidth within the channel bandwidth is specified in Table 6.2D.1-1. The requirements shall be met with the UL-MIMO configurations specified in Table 6.2D.1-2. For UE supporting UL-MIMO, the maximum output power is measured as the sum of the maximum output power at each UE antenna connector. The period of measurement shall be at least one sub frame (1ms).

The requirements shall be met with the UL-MIMO configurations of using 2-layer UL-MIMO transmission with codebook of  $\frac{1}{\sqrt{2}}\begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$ . DCI Format for UE configured in PUSCH transmission mode for uplink single-user MIMO shall be used.

Table 6.2D.1-1: UE Power Class for UL-MIMO in closed loop spatial multiplexing scheme

NR band	Class 1 (dBm)	Tolerance (dB)	Class 2 (dBm)	Tolerance (dB)	Class 3 (dBm)	Tolerance (dB)	Class 4 (dBm)	Tolerance (dB)
n41			26	+2/-3 <sup>1</sup>	23	+2/-3 <sup>1</sup>		
n77			26	+2/-3	23	+2/-3		
n78			26	+2/-3	23	+2/-3		
n79			26	+2/-3	23	+2/-3		

NOTE 1: <sup>1</sup> refers to the transmission bandwidths confined within F<sub>UL\_low</sub> and F<sub>UL\_low</sub> + 4 MHz or F<sub>UL\_high</sub> – 4 MHz and F<sub>UL\_high</sub>, the maximum output power requirement is relaxed by reducing the lower tolerance limit by 1.5 dB

Table 6.2D.1-2: UL-MIMO configuration in closed-loop spatial multiplexing scheme

Transmission scheme	DCI format	Codebook Index
Codebook based uplink	DCI format 0_1	Codebook index 0

If UE is configured for transmission on single-antenna port, the requirements in subclause 6.2.1 apply.

### 6.2D.2UE maximum output power reduction for UL-MIMO

For UE with two transmit antenna connectors in closed-loop spatial multiplexing scheme, the allowed Maximum Power Reduction (MPR) for the maximum output power in Table 6.2D.1-1 is specified in Table 6.2.2-1. The requirements shall be met with UL-MIMO configurations defined in Table 6.2D.1-2. For UE supporting UL-MIMO, the maximum output power is measured as the sum of the maximum output power at each UE antenna connector.

For the UE maximum output power modified by MPR, the power limits specified in subclause 6.2D.4 apply.

If UE is configured for transmission on single-antenna port, the requirements in subclause 6.2.2 apply.

### 6.2D.3UE additional maximum output power reduction for UL-MIMO

For UE with two transmit antenna connectors in closed-loop spatial multiplexing scheme, the A-MPR values specified in subclause 6.2.3 shall apply to the maximum output power specified in Table 6.2D.1-1. The requirements shall be met with the UL-MIMO configurations specified in Table 6.2D.1-2. For UE supporting UL-MIMO, the maximum output power is measured as the sum of the maximum output power at each UE antenna connector. Unless stated otherwise, an A-MPR of 0 dB shall be used.

For the UE maximum output power modified by A-MPR, the power limits specified in subclause 6.2D.4 apply.

If UE is configured for transmission on single-antenna port, the requirements in subclause 6.2.3 apply.

## 6.2D.4Configured transmitted power for UL-MIMO

For UE supporting UL-MIMO, the transmitted power is configured per each UE.

The definitions of configured maximum output power  $P_{CMAX,c}$ , the lower bound  $P_{CMAX\_L,c}$ , and the higher bound  $P_{CMAX\_H,c}$  specified in subclause 6.2.4 shall apply to UE supporting UL-MIMO, where

 $P_{PowerClass}$ ,  $\Delta P_{PowerClass}$  and  $\Delta T_{C,c}$  are specified in subclause 6.2D.1;

MPR<sub>.c</sub> is specified in subclause 6.2D.2;

A-MPR<sub>,c</sub> is specified in subclause 6.2D.3.

The measured configured maximum output power  $P_{UMAX,c}$  for serving cell c shall be within the following bounds:

$$P_{CMAX\_L,c} - \ MAX\{T_L, T_{LOW}(P_{CMAX\_L,c})\} \ \leq \ P_{UMAX,c} \leq P_{CMAX\_H,c} + \ T_{HIGH}(P_{CMAX\_H,c})$$

where  $T_{LOW}(P_{CMAX\_L,c})$  and  $T_{HIGH}(P_{CMAX\_H,c})$  are defined as the tolerance and applies to  $P_{CMAX\_L,c}$  and  $P_{CMAX\_H,c}$  separately, while  $T_L$  is the absolute value of the lower tolerance in Table 6.2D.1-1 for the applicable operating band.

For UE with two transmit antenna connectors in closed-loop spatial amultiplexing scheme, the tolerance is specified in Table 6.2D.4-1. The requirements shall be met with UL-MIMO configurations specified in Table 6.2D.1-2.

 $P_{CMAX,c}$ Tolerance Tolerance (dBm) TLOW(PCMAX\_L,c) (dB) THIGH(PCMAX\_H,c) (dB)  $P_{CMAX,c} = 26$ 3.0 2.0 3.0 2.0  $23 \le P_{CMAX,c} < 26$  $22 \le P_{CMAX,c} < 23$ 5.0 2.0  $21 \le P_{\text{CMAX},c} < 22$ 5.0 3.0 6.0 4.0  $20 \le P_{CMAX,c} < 21$  $16 \le P_{\text{CMAX},c} < 20$ 5.0  $11 \le P_{CMAX,c} < 16$ 6.0  $-40 \le P_{CMAX,c} < 11$ 7.0

Table 6.2D.4-1: P<sub>CMAX,c</sub> tolerance in closed-loop spatial multiplexing scheme

If UE is configured for transmission on single-antenna port, the requirements in subclause 6.2.4 apply.

### 6.3 Output power dynamics

Detailed structure of the subclause is TBD.

### 6.3.1 Minimum output power

The minimum controlled output power of the UE is defined as the power in the channel bandwidth for all transmit bandwidth configurations (resource blocks), when the power is set to a minimum value.

The minimum output power is defined as the mean power in one sub-frame TBD ms. The minimum output power shall not exceed the values specified in Table 6.3.1-1.

**Channel bandwidth** Minimum output power Measurement bandwidth (MHz) <u>(dB</u>m) (MHz) 4.515 -40 5 10 -40 9.375 15 -40 14.235 -40 19.095 20 25 -39 23.955 30 -38.2 28.815 40 -37 38.895 50 -36 48.615 60 -35.2 58.35 80 -34 78.15 90 -33.5 88.23 100 -33 98.31

Table 6.3.1-1: Minimum output power

### 6.3.2 Transmit OFF power

Transmit OFF power is defined as the mean power in the channel bandwidth when the transmitter is OFF. The transmitter is considered OFF when the UE is not allowed to transmit or during periods when the UE is not transmitting a sub-frame. During DTX and measurements gaps, the transmitter is not considered OFF.

The transmit OFF power is defined as the mean power in a duration of at least one sub-frame (1ms) excluding any transient periods. The transmit OFF power shall not exceed the values specified in Table 6.3.2-1.

Channel bandwidth (MHz)	Transmit OFF power (dBm)	Measurement bandwidth (MHz)
5	-50	4.515
10	-50	9.375
15	-50	14.235
20	-50	19.095
25	-50	23.955
30	-50	28.815
40	-50	38.895
50	-50	48.615
60	-50	58.35
80	-50	78.15
90	-50	88.23
100	-50	98.31

Table 6.3.2-1: Transmit OFF power

### 6.3.3 Transmit ON/OFF time mask

### 6.3.3.1 General

The transmit power time mask defines the transient period(s) allowed

between transmit OFF power as defined in sub-clause 6.3.2 and transmit ON power symbols (transmit ON/OFF)

between continuous ON-power transmissions with powerchange or RB hopping is applied.

In case of RB hopping, transition period is shared symmetrically.

Unless otherwise stated the requirements in clause 6.5 apply also in transient periods.

In the following sub-clauses, following definitions apply:

A slot transmission is a Type A transmission.

A long subslot transmission is a Type B transmission with more than 2 symbols.

A short subslot transmission is a Type B transmission with 1 or 2 symbols.

#### 6.3.3.2 General ON/OFF time mask

The general ON/OFF time mask defines the observation period between transmit OFF and ON power and between transmit ON and OFF power for each SCS. ON/OFF scenarios include; the beginning or end of DTX, measurement gap, contiguous, and non-contiguous transmission, etc

The OFF power measurement period is defined in a duration of at least one slot excluding any transient periods. The ON power is defined as the mean power over one slot excluding any transient period.

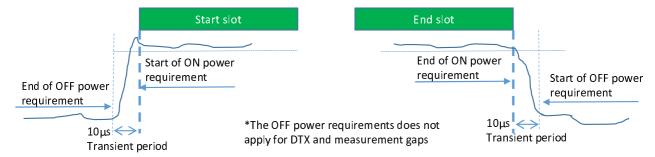


Figure 6.3.3.2-1: General ON/OFF time mask for NR UL transmission in FR1

#### 6.3.3.3 Transmit power time mask for slot and short or subslot boundaries

The transmit power time mask for slot and a long subslot transmissionboundaries defines the transient periods allowed between slot and long subslot PUSCH transmissions. For PUSCH-PUCCH and PUSCH-SRS transitions and multiplexing the time masks in sub-clause 6.3.3.7 apply.

The transmit power time mask for slot or long subslot and short subslot transmission boundaries defines the transient periods allowed between slot or long subslot and short subslot transmissions. The time masks in sub-clause 6.3.3.8 apply.

The transmit power time mask for short subslot transmissiona boundaries defines the transient periods allowed between short subslot transmissions. The time masks in sub-clause 6.3.3.9 apply.

#### 6.3.3.4 PRACH time mask

The PRACH ON power is specified as the mean power over the PRACH measurement period excluding any transient periods as shown in Figure 6.3.3.4-1. The measurement period for different PRACH preamble format is specified in Table 6.3.3.4-1.

Table 6.3.3.4-1: PRACH ON power measurement period

PRACH preamble format	SCS (kHz)	Measurement period (ms)	
0	1.25	0.903125	
1	1.25	2.284375	
2	1.25	3.352604	
3	5	0.903125	
A1	15	0.142708	
AI	30	0.071354	
A2	15	0.285417	
AZ	30	0.142708	
A3	15	0.428125	
AS	30	0.2140625	
B1	15	0.140365	
DI	30	0.070182	
B4	15	0.83046875	
D4	30	0.415234375	
	15	0.142708 ms for first six occasion	
A1/B1	15	0.140365 ms for the last occasion	
AI/DI	30	0.071354 ms for first six occasion	
	30	0.070182 ms for the last occasion	
	15	0.285417 ms for first two occasion	
A2/B2		0.278385 ms for the third occasion	
AZ/DZ	30	0.142708 ms for first two occasion	
		0.1391925 ms for the third occasion	
	15	0.428125 ms for the first occasion	
A3/B3		0.41640625 ms for the second occasion	
A3/D3	30	0.2140625 ms for the first occasion	
	30	0.208203125 ms for the second occasion	
C0	15	0.10703125	
00	30	0.053515625	
C2	15	0.333333	
	30	0.166667	
	NOTE: For PRACH on PRACH occasion start from the beginning of 0.5ms or span the		
boundary	boundary of 0.5ms of the subframe, the measurement period will plus 0.032552µs		

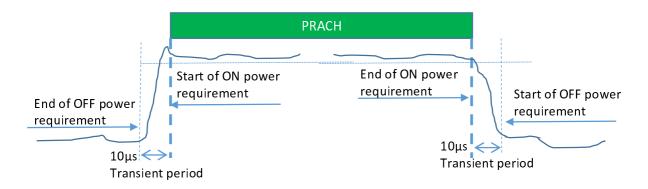


Figure 6.3.3.4-1: PRACH ON/OFF time mask

#### 6.3.3.5 Void

### 6.3.3.6 SRS time mask

For SRS transmission mapped to one OFDM symbol, the ON power is defined as the mean power over the symbol duration excluding any transient period; Figure 6.3.3.6-1

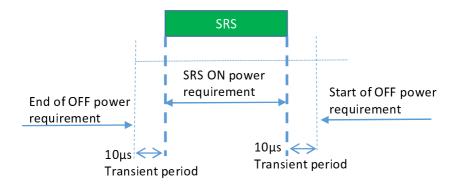


Figure 6.3.3.6-1: Single SRS time mask for NR UL transmission

For SRS transmission mapped to two OFDM symbols the ON power is defined as the mean power for each symbol duration excluding any transient period. See Figure 6.3.3.6-2



Figure 6.3.3.6-2: Consecutive SRS time mask for the case when no power change is required

When power change between consecutive SRS transmissions is required, then Figure 6.3.3.6-3 and Figure 6.3.3.6-4 apply.

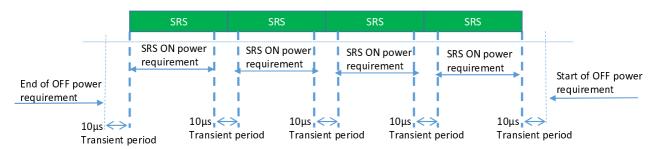


Figure 6.3.3.6-3: Consecutive SRS time mask for the case when power change is required and when 15kHz and 30kHz SCS is used in FR1

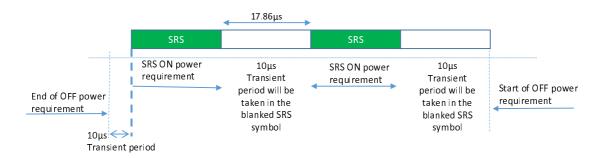


Figure 6.3.3.6-4: Consecutive SRS time mask for the case when power change is required and when 60kHz SCS is used in FR1

#### 6.3.3.7 PUSCH-PUCCH and PUSCH-SRS time masks

The PUCCH/PUSCH/SRS time mask defines the observation period between sounding reference symbol (SRS) and an adjacent PUSCH/PUCCH symbol and subsequent UL transmissions. The time masks apply for all types of frame structures and their allowed PUCCH/PUSCH/SRS transmissions unless otherwise stated.

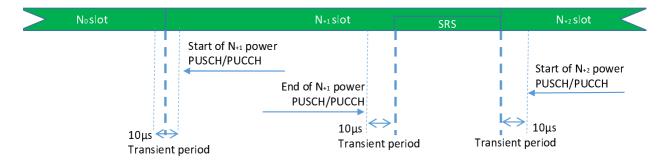


Figure 6.3.3.7-1: PUCCH/PUSCH/SRS time mask when there is a transmission before or after or both before and after SRS

When there is no transmission preceding SRS transmission or succeeding SRS transmission, then the same time mask applies as shown in Figure 6.3.3.7-1.

# 6.3.3.8 Transmit power time mask for consecutive slot or long subslot transmission and short subslot transmission boundaries

The transmit power time mask for consecutive slot or long subslot transmission and short slot transmission boundaries defines the transient periods allowed between such transmissions.

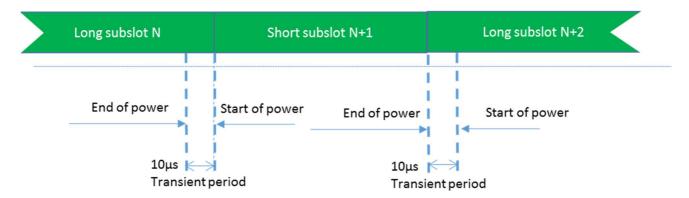


Figure 6.3.3.8-1: Consecutive slot or long subslot transmission and short subslot transmission time mask

#### 6.3.3.9 Transmit power time mask for consecutive short subslot transmissions boundaries

The transmit power time mask for consecutive short subslot transmission boundaries defines the transient periods allowed between short subslot transmissions.

The transient period shall be equally shared as shown on Figure 6.3.3.9-2.

Figure 6.3.3.9-1: Void

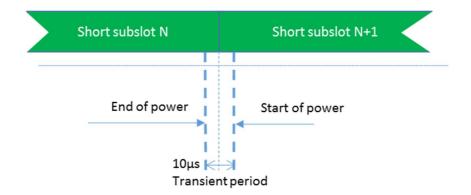


Figure 6.3.3.9-2: Consecutive short subslot transmissions time mask

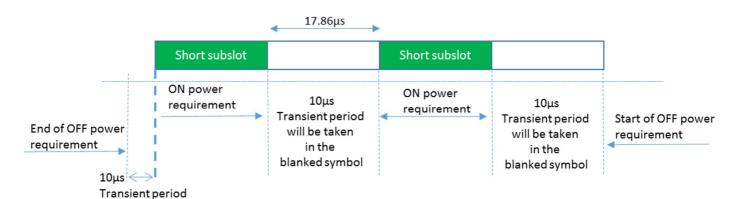


Figure 6.3.3.9-3: Consecutive short subslot (1 symbol gap) time mask for the case when transient period is required on both sides of the symbol and when 60kHz SCS is used in FR1

#### 6.3.4 Power control

#### 6.3.4.1 General

The requirements on power control accuracy apply under normal conditions.

#### 6.3.4.2 Absolute power tolerance

The absolute power tolerance is the ability of the UE transmitter to set its initial output power to a specific value for the first sub-frame at the start of a contiguous transmission or non-contiguous transmission with a transmission gap larger than 20ms. The tolerance includes the channel estimation error.

The minimum requirement specified in Table 6.3.4.2-1 apply in the power range bounded by the minimum output power as specified in sub-clause 6.3.1 and the maximum output power as specified in sub-clause 6.2.1.

Table 6.3.4.2-1: Absolute power tolerance

Conditions	Tolerance
Normal	± 9.0 dB

#### 6.3.4.3 Relative power tolerance

The relative power tolerance is the ability of the UE transmitter to set its output power in a target sub-frame relatively to the power of the most recently transmitted reference sub-frame if the transmission gap between these sub-frames is larger than 20ms.

The minimum requirements specified in Table 6.3.4.3-1 apply when the power of the target and reference sub-frames are within the power range bounded by the minimum output power as defined in sub-clause 6.3.1 and the measured PUMAX as defined in sub-clause 6.2.1.

 $[\pm 4.0]$ 

 $[\pm 5.0]$ 

All combinations of All combinations **PUSCH/PUCCH** and Power step ∆P of PUSCH and PRACH (dB) (Up or down) **SRS** transitions **PUCCH** (dB) between subtransitions (dB) frames (dB) ΔP < 2 [± 2.5] (NOTE)  $[\pm 2.5]$  $[\pm 3.0]$  $2 \le \Delta P < 3$  $[\pm 3.0]$  $[\pm 4.0]$  $[\pm 3.0]$  $3 \le \Delta P < 4$  $[\pm 3.5]$  $[\pm 5.0]$  $[\pm 3.5]$ 

 $[\pm 4.0]$ 

 $[\pm 5.0]$ 

Table 6.3.4.3-1: Relative power tolerance

 $[\pm 6.0]$  $[\pm 9.0]$  $[\pm 6.0]$ NOTE: For PUSCH to PUSCH transitions with the allocated resource blocks fixed in frequency and no transmission gaps other than those generated by downlink subframes, DwPTS fields or Guard Periods: for a power step  $\Delta P \le 1$  dB, the relative power tolerance for transmission is  $\pm 0.7$  dB.

 $[\pm 6.0]$ 

 $[\pm 8.0]$ 

### 6.3.4.4 Aggregate power tolerance

 $4 \le \Delta P \le 10$ 

10 ≤ ΔP < 15

15 ≤ ΔP

The aggregate power control tolerance is the ability of the UE transmitter to maintain its power during non-contiguous transmissions within 21 ms in response to 0 dB commands with respect to the first UE transmission and all other power control parameters as specified in 38.213 kept constant.

The minimum requirement specified in Table 6.3.4.4-1 apply in the power range bounded by the minimum output power as specified in sub-clause 6.3.1 and the maximum output power as specified in sub-clause 6.2.2.

Table 6.3.4.4-1: Aggregate power tolerance

TPC command	UL channel	Aggregate power tolerance within 21ms
0 dB	PUCCH	± 2.5 dB
0 dB	PUSCH	± 3.5 dB

# 6.3A Output power dynamics for CA

For inter-band carrier aggregation with one uplink carrier assigned to one NR band, the output power dynamics requirements in subclause 6.3 apply.

## 6.3A.1 Minimum output power for CA

- Minimum output power for intra-band contiguous CA 6.3A.1.1
- 6.3A.1.2 Minimum output power for intra-band non-contiguous CA
- 6.3A.1.3 Minimum output power for inter-band CA

For inter-band carrier aggregation with uplink assigned to two NR bands, the minimum output power is defined per carrier and the requirement is specified in subclause 6.3.1.

## 6.3A.2 Transmit OFF power for CA

- 6.3A.2.1 Transmit OFF power for intra-band contiguous CA
- 6.3A.2.2 Transmit OFF power for intra-band non-contiguous CA
- 6.3A.2.3 Transmit OFF power for inter-band CA

For inter-band carrier aggregation with uplink assigned to two NR bands, the transmit OFF power specified in subclause 6.3.2.1 is applicable for each component carrier when the transmitter is OFF on all component carriers. The transmitter is considered to be OFF when the UE is not allowed to transmit or during periods when the UE is not transmitting a sub-frame. During measurements gaps, the UE is not considered to be OFF.

### 6.3A.3 Transmit ON/OFF time mask for CA

- 6.3A.3.1 Transmit ON/OFF time mask for intra-band contiguous CA
- 6.3A.3.2 Transmit ON/OFF time mask for intra-band non-contiguous CA
- 6.3A.3.3 Transmit ON/OFF time mask for inter-band CA

For inter-band carrier aggregation with uplink assigned to two NR bands, the general output power ON/OFF time mask specified in subclause 6.3.3.1 is applicable for each component carrier during the ON power period and the transient periods. The OFF period as specified in subclause 6.3.3.1 shall only be applicable for each component carrier when all the component carriers are OFF.

#### 6.3A.4 Power control for CA

- 6.3A.4.1 Power control for intra-band contiguous CA
- 6.3A.4.2 Power control for intra-band non-contiguous CA
- 6.3A.4.3 Power control for inter-band CA

No requirements unique to CA operation are defined.

### 6.3D Output power dynamics for UL-MIMO

### 6.3D.1 Minimum output power for UL-MIMO

For UE with two transmit antenna connectors in closed-loop spatial multiplexing scheme, the minimum output power is defined as the sum of the mean power at each transmit connector in one sub-frame (1ms). The minimum output power shall not exceed the values specified in Table 6.3.1-1.

If UE is configured for transmission on single-antenna port, the requirements in subclause 6.3.1 apply

### 6.3D.2Transmit OFF power for UL-MIMO

The transmit OFF power is defined as the mean power at each transmit antenna connector in a duration of at least one sub-frame (1ms) excluding any transient periods.

The transmit OFF power at each transmit antenna connector shall not exceed the values specified in Table 6.3.2-1.

#### 6.3D.3Transmit ON/OFF time mask for UL-MIMO

For UE supporting UL-MIMO, the ON/OFF time mask requirements in subclause 6.3.3 apply at each transmit antenna connector.

For UE with two transmit antenna connectors in closed-loop spatial multiplexing scheme, the general ON/OFF time mask requirements specified in subclause 6.3.3.1 apply to each transmit antenna connector. The requirements shall be met with the UL-MIMO configurations described in sub-clause 6.2D.1.

If UE is configured for transmission on single-antenna port, the requirements in subclause 6.3.3 apply.

#### 6.3D.4 Power control for UL-MIMO

For UE supporting UL-MIMO, the power control tolerance applies to the sum of output power at each transmit antenna connector.

The power control requirements specified in subclause 6.3.4 apply to UE with two transmit antenna connectors in closed-loop spatial multiplexing scheme. The requirements shall be met with UL-MIMO configurations described in sub-clause 6.2D.1.

If UE is configured for transmission on single-antenna port, the requirements in subclause 6.3.4 apply

### 6.4 Transmit signal quality

### 6.4.1 Frequency error

The UE modulated carrier frequency shall be accurate to within  $\pm 0.1$  PPM observed over a period of 1 ms compared to the carrier frequency received from the NR Node B.

### 6.4.2 Transmit modulation quality

Transmit modulation quality defines the modulation quality for expected in-channel RF transmissions from the UE. The transmit modulation quality is specified in terms of:

Error Vector Magnitude (EVM) for the allocated resource blocks (RBs)

EVM equalizer spectrum flatness derived from the equalizer coefficients generated by the EVM measurement process

Carrier leakage

In-band emissions for the non-allocated RB

All the parameters defined in subclause 6.4.2 are defined using the measurement methodology specified in Annex F.

#### 6.4.2.1 Error Vector Magnitude

The Error Vector Magnitude is a measure of the difference between the reference waveform and the measured waveform. This difference is called the error vector. Before calculating the EVM the measured waveform is corrected by the sample timing offset and RF frequency offset. Then the carrier leakage shall be removed from the measured waveform before calculating the EVM.

The measured waveform is further equalised using the channel estimates subjected to the EVM equaliser spectrum flatness requirement specified in sub-clause 6.4.2.4. For DFT-s-OFDM waveforms, the EVM result is defined after the front-end FFT and IDFT as the square root of the ratio of the mean error vector power to the mean reference power expressed as a %. For CP-OFDM waveforms, the EVM result is defined after the front-end FFT as the square root of the ratio of the mean error vector power to the mean reference power expressed as a %.

The basic EVM measurement interval in the time domain is one preamble sequence for the PRACH and the duration of PUCCH/PUSCH channel, or one hop, if frequency hopping is enabled for PUCCH and PUSCH in the time domain. The EVM measurement interval is reduced by any symbols that contains an allowable power transient as defined in subclause 6.3.3.

The RMS average of the basic EVM measurements for 10 sub-frames excluding any transient period for the average EVM case, and 60 sub-frames excluding any transient period for the reference signal EVM case, for the different modulations schemes shall not exceed the values specified in Table 6.4.2.1-1 for the parameters defined in Table 6.4.2.1-2. For EVM evaluation purposes, all PRACH preamble formats 0-4 and all PUCCH formats 1, 1a, 1b, 2, 2a and 2b are considered to have the same EVM requirement as QPSK modulated.

Table 6.4.2.1-1: Requirements for Error Vector Magnitude

Parameter	Unit	Average EVM Level
Pi/2-BPSK	%	30
QPSK	%	17.5
16 QAM	%	12.5
64 QAM	%	8
256 QAM	%	3.5

Table 6.4.2.1-2: Parameters for Error Vector Magnitude

Parameter	Unit	Level	
UE Output Power	dBm	≥Table 6.3.1-1	
UE Output Power for 256 QAM	dBm	≥ TBD	
Operating conditions		Normal conditions	

### 6.4.2.2 Carrier leakage

Carrier leakage is an additive sinusoid waveform whose frequency is the same as the modulated waveform carrier frequency. The measurement interval is one slot in the time domain.

In the case that uplink sharing, the carrier leakage may have 7.5 kHz shift with the carrier frequency.

The relative carrier leakage power is a power ratio of the additive sinusoid waveform and the modulated waveform. The relative carrier leakage power shall not exceed the values specified in Table 6.4.2.2-1.

Table 6.4.2.2-1: Requirements for Carrier Leakage

Parameter	Relative Limit [dBc]
Output power > 10 dBm	-28
0 dBm ≤ Output power ≤10 dBm	-25
-30 dBm ≤ Output power ≤ 0 dBm	-20
-40 dBm ≤ Output power < -30 dBm	-10

#### 6.4.2.3 In-band emissions

The in-band emission is defined as the average emission across 12 sub-carriers and as a function of the RB offset from the edge of the allocated UL transmission bandwidth. The in-band emission is measured as the ratio of the UE output power in a non–allocated RB to the UE output power in an allocated RB.

The basic in-band emissions measurement interval is defined over one slot in the time domain, however, the minimum requirement applies when the in-band emission measurement is averaged over 10 sub-frames. When the PUSCH or PUCCH transmission slot is shortened due to multiplexing with SRS, the in-band emissions measurement interval is reduced by one or more symbols, accordingly.

The average of the basic in-band emission measurement over 10 sub-frames shall not exceed the values specified in Table 6.4.2.3-1.

Table 6.4.2.3-1: Requirements for in-band emissions

Parameter description	Unit		Limit (NOTE 1)	Applicable Frequencies
General	dB	20	$\begin{cases} -25 - 10 \cdot \log_{10} (N_{RB} / L_{CRB}), \\ \log_{10} EVM - 3 - 5 \cdot (\left  \Delta_{RB} \right  - 1) / L_{CRB}, \\ 7 dBm + 10 \log_{10} (SCS / 15 kHz) - P_{RB} \end{cases}$	Any non-allocated (NOTE 2)
	dB	-28	Image frequencies when output power > 10 dBm	Image
IQ Image		-25	Image frequencies when output power ≤ 10 dBm	frequencies (NOTES 2, 3)
	dBc	-28	Output power > 10 dBm	Camian la akama
Carrier leakage		-25	0 dBm ≤ Output power ≤10 dBm	Carrier leakage
		-20	-30 dBm ≤ Output power ≤ 0 dBm	frequency (NOTES 4, 5)
		-10	-40 dBm ≤ Output power < -30 dBm	(1401234, 5)

- NOTE 1: An in-band emissions combined limit is evaluated in each non-allocated RB. For each such RB, the minimum requirement is calculated as the higher of  $P_{RB}$  30 dB and the power sum of all limit values (General, IQ Image or Carrier leakage) that apply.  $P_{RB}$  is defined in NOTE 10.
- NOTE 2: The measurement bandwidth is 1 RB and the limit is expressed as a ratio of measured power in one nonallocated RB to the measured average power per allocated RB, where the averaging is done across all allocated RBs.
- NOTE 3: The applicable frequencies for this limit are those that are enclosed in the reflection of the allocated bandwidth, based on symmetry with respect to the carrier leakage frequency, but excluding any allocated RBs.
- NOTE 4: The measurement bandwidth is 1 RB and the limit is expressed as a ratio of measured power in one non-allocated RB to the measured total power in all allocated RBs.
- NOTE 5: The applicable frequencies for this limit are those that are enclosed in the RBs containing the carrier leakage frequency if  $N_{RB}$  is odd, or in the two RBs immediately adjacent to the carrier leakage frequency if  $N_{RB}$  is even but excluding any allocated RB.
- NOTE 6:  $L_{CRL}$  is the Transmission Bandwidth (see Figure 5.3.3).
- NOTE 7:  $N_{RR}$  is the Transmission Bandwidth Configuration (see Figure 5.3.3).
- NOTE 8: *EVM* is the limit specified in Table 6.4.2.1-1 for the modulation format used in the allocated RBs.
- NOTE 9:  $\Delta_{RB}$  is the starting frequency offset between the allocated RB and the measured non-allocated RB (e.g.
  - $\Delta_{\!RB} = 1$  or  $\Delta_{\!RB} = -1$  for the first adjacent RB outside of the allocated bandwidth.
- NOTE 10:  $P_{\!R\!L}$  is the transmitted power normalized by the number of allocated RBs, measured in dBm.

### 6.4.2.4 EVM equalizer spectrum flatness

The zero-forcing equalizer correction applied in the EVM measurement process (as described in Annex F) must meet a spectral flatness requirement for the EVM measurement to be valid. The EVM equalizer spectrum flatness is defined in terms of the maximum peak-to-peak ripple of the equalizer coefficients (dB) across the allocated uplink block. The basic measurement interval is the same as for EVM.

The peak-to-peak variation of the EVM equalizer coefficients contained within the frequency range of the uplink allocation shall not exceed the maximum ripple specified in Table 6.4.2.4-1 for normal conditions. For uplink allocations contained within both Range 1 and Range 2, the coefficients evaluated within each of these frequency ranges shall meet the corresponding ripple requirement and the following additional requirement: the relative difference between the maximum coefficient in Range 1 and the minimum coefficient in Range 2 must not be larger than 5 dB, and the relative difference between the maximum coefficient in Range 2 and the minimum coefficient in Range 1 must not be larger than 7 dB (see Figure 6.4.2.4-1).

The EVM equalizer spectral flatness shall not exceed the values specified in Table 6.4.2.4-2 for extreme conditions. For uplink allocations contained within both Range 1 and Range 2, the coefficients evaluated within each of these frequency ranges shall meet the corresponding ripple requirement and the following additional requirement: the relative difference between the maximum coefficient in Range 1 and the minimum coefficient in Range 2 must not be larger than 6 dB, and the relative difference between the maximum coefficient in Range 2 and the minimum coefficient in Range 1 must not be larger than 10 dB (see Figure 6.4.2.4-1).

Table 6.4.2.4-1: Requirements for EVM equalizer spectrum flatness (normal conditions)

Frequency range	Maximum ripple [dB]	
Ful_Meas - Ful_Low ≥ 3 MHz and Ful_High - Ful_Meas ≥ 3 MHz	4 (p-p)	
(Range 1)		
F <sub>UL_Meas</sub> – F <sub>UL_Low</sub> < 3 MHz or F <sub>UL_High</sub> – F <sub>UL_Meas</sub> < 3 MHz	8 (p-p)	
(Range 2)		
NOTE 1: FUL_Meas refers to the sub-carrier frequency for which	the equalizer coefficient is	
evaluated		
NOTE 2: FUL_Low and FUL_High refer to each E-UTRA frequency band specified in Table		
5.5-1		

Table 6.4.2.4-2: Minimum requirements for EVM equalizer spectrum flatness f (extreme conditions)

Frequency range	Maximum Ripple [dB]				
Ful_Meas - Ful_Low ≥ 5 MHz and Ful_High - Ful_Meas ≥ 5 MHz	4 (p-p)				
(Range 1)					
Ful_Meas - Ful_Low < 5 MHz or Ful_High - Ful_Meas < 5 MHz	12 (p-p)				
(Range 2)					
NOTE 1: F <sub>UL_Meas</sub> refers to the sub-carrier frequency for which the equalizer coefficient is evaluated					
NOTE 2: Ful_Low and Ful_High refer to each E-UTRA frequency 5.5-1	band specified in Table				

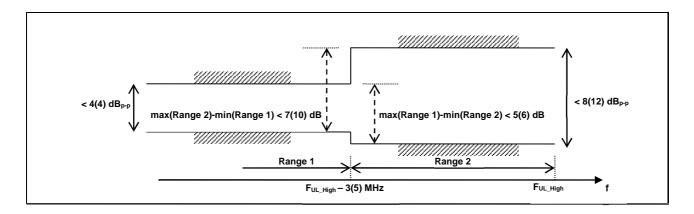


Figure 6.4.2.4-1: The limits for EVM equalizer spectral flatness with the maximum allowed variation of the coefficients indicated (the ETC minimum requirement are within brackets).

## 6.4.2.4.1 Requirements for pi/2 BPSK modulation

These requirements apply if the IE [P-Boost-BPSK] is set to 1 for power class 3 capable UE operating in TDD bands n40, n77, n78 and n79 with pi/2 BPSK modulation and 40% or less slots in radio frame are used for UL transmission. Otherwise the requirements for EVM equalizer spectrum flatness defined in clause 6.4.2.4 apply.

The EVM equalizer coefficients across the allocated uplink block shall be modified to fit inside the mask specified in Table 6.4.2.4.1-1 for normal conditions, prior to the calculation of EVM.

Table 6.4.2.4.1-1: Mask for EVM equalizer coefficients for pi/2 BPSK, normal conditions

Frequency range	Parameter	Maximum ripple [dB]				
F_meas – F_center ≤ X MHz or F_center – F_meas ≤ X MHz	X1	6 (p-p)				
(Range 1)						
F_meas - F_center > X MHz or F_center - F_meas > X MHz	X2	14 (p-p)				
(Range 2)						
NOTE 1: F_meas refers to the sub-carrier frequency for which	the equalizer	coefficient is evaluated				
NOTE 2: F_center refers to the center frequency of an allocated block of PRBs						
NOTE 3: X, in MHz, is equal to 25% of the bandwidth of the PRB allocation						
NOTE 4: See Figure 6.4.2.4-1 for description of X1, X2						

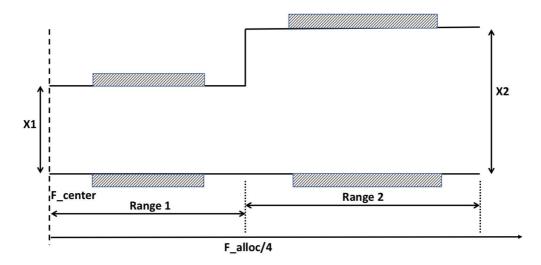


Figure 6.4.2.4.1-1: The limits for EVM equalizer spectral flatness with the maximum allowed variation.

F\_center denotes the center frequency of the allocated block of PRBs. F\_alloc denotes the bandwidth of the PRB allocation.

For pi/2 BPSK modulation the UE shall be allowed to employ spectral shaping and the shaping filter shall be restricted so that the impulse response of the shaping filter itself shall meet

$$\begin{aligned} \left| \tilde{a}_{t}(t,0) \right| &\geq \left| \tilde{a}_{t}(t,\tau) \right| \quad \forall \tau \neq 0 \\ \\ &20log_{10} \left| \tilde{a}_{t}(t,\tau) \right| < -15 \text{ dB} \quad 1 < \tau < M - 1, \end{aligned}$$

where,  $|\tilde{a}_{t}(t,\tau)| = IDFT\{ |\tilde{a}_{t}(t,f)| e^{j\varphi(t,f)}\}$ , f is the frequency of the M allocated subcarriers,  $\tilde{a}(t,f)$  and  $\varphi(t,f)$  are the amplitude and phase response.

0dB reference is defined as  $20log_{10} | \tilde{a}_t(t,0) |$ .

# 6.4A Transmit signal quality for CA

For inter-band carrier aggregation with one uplink carrier assigned to one NR band, the transmit signal quality requirements in subclause 6.4 apply.

# 6.4A.1 Frequency error for CA

- 6.4A.1.1 Frequency error for intra-band contiguous CA
- 6.4A.1.2 Frequency error for intra-band non-contiguous CA
- 6.4A.1.3 Frequency error for inter-band CA

For inter-band carrier aggregation with uplink assigned to two NR bands, the frequency error requirements defined in subclause 6.4.1 shall apply on each component carrier with all component carriers active.

# 6.4A.2 Transmit modulation quality for CA

- 6.4A.2.1 Frequency error for intra-band contiguous CA
- 6.4A.2.2 Frequency error for intra-band non-contiguous CA
- 6.4A.2.3 Frequency error for inter-band CA

For inter-band carrier aggregation with uplink assigned to two NR bands, the requirements shall apply on each component carrier as defined in clause 6.4.2 with all component carriers active.

# 6.4D Transmit signal quality for UL-MIMO

## 6.4D.1 Frequency error for UL-MIMO

For UE(s) supporting UL-MIMO, the UE modulated carrier frequency at each transmit antenna connector shall be accurate to within  $\pm$  0.1 PPM observed over a period of one sub-frame (1 ms) compared to the carrier frequency received from the NR Node B.

## 6.4D.2Transmit modulation quality for UL-MIMO

For UE supporting UL-MIMO, the transmit modulation quality requirements are specified at each transmit antenna connector.

If UE is configured for transmission on single-antenna port, the requirements specified for single carrier apply.

The transmit modulation quality is specified in terms of:

- Error Vector Magnitude (EVM) for the allocated resource blocks (RBs)
- EVM equalizer spectrum flatness derived from the equalizer coefficients generated by the EVM measurement process
- Carrier leakage (caused by IQ offset)
- In-band emissions for the non-allocated RB

## 6.4D.2.1 Error Vector Magnitude

For UE with two transmit antenna connectors in closed-loop spatial multiplexing scheme, the Error Vector Magnitude requirements specified in Table 6.4.2.1-1 which is defined in subclause 6.4.2.1 apply at each transmit antenna connector. The requirements shall be met with the UL-MIMO configurations specified in Table 6.2D.1-2

## 6.4D.2.2 Carrier leakage

For UE with two transmit antenna connectors in closed-loop spatial multiplexing scheme, the Relative Carrier Leakage Power requirements specified in Table 6.4.2.2-1 which is defined in subclause 6.4.2.2 apply at each transmit antenna connector. The requirements shall be met with the UL-MIMO configurations specified in Table 6.2D.1-2

#### 6.4D.2.3 In-band emissions

For UE with two transmit antenna connectors in closed-loop spatial multiplexing scheme, the In-band Emission requirements specified in Table 6.4.2.3-1 which is defined in subclause 6.4.2.3 apply at each transmit antenna connector. The requirements shall be met with the uplink MIMO configurations specified in Table 6.2D.1-2

## 6.4D.2.4 EVM equalizer spectrum flatness for UL-MIMO

For UE with two transmit antenna connectors in closed-loop spatial multiplexing scheme, the EVM Equalizer Spectrum Flatness requirements specified in Table 6.4.2.4-1 and Table 6.4.2.4-2 which are defined in subclause 6.4.2.4 apply at each transmit antenna connector. The requirements shall be met with the UL-MIMO configurations specified in Table 6.2D.1-2

# 6.4D.3Time alignment error for UL-MIMO

For UE(s) with multiple transmit antenna connectors supporting UL-MIMO, this requirement applies to frame timing differences between transmissions on multiple transmit antenna connectors in the closed-loop spatial multiplexing scheme.

The time alignment error (TAE) is defined as the average frame timing difference between any two transmissions on different transmit antenna connectors.

For UE(s) with multiple transmit antenna connectors, the Time Alignment Error (TAE) shall not exceed 130 ns.

## 6.4D.4Requirements for coherent UL MIMO

For coherent UL MIMO, Table 6.4D.4-1 lists the maximum allowable difference between the measured relative power and phase errors between different antenna ports in any slot within the specified time window from the last transmitted SRS on the same antenna ports, for the purpose of uplink transmission (codebook or non-codebook usage) and those measured at that last SRS. The requirements in Table 6.4D.4-1 apply when the UL transmission power at each antenna port is larger than 0 dBm for SRS transmission and for the duration of time window.

Table 6.4D.4-1: Maximum allowable difference of relative phase and power errors in a given slot compared to those measured at last SRS transmitted

Difference of relative phase error	Difference of relative power error	Time window
40 degrees	4 dB	20 msec

The above requirements when all the following conditions are met within the specified time window:

- UE is not signaled with a change in number of SRS ports in SRS-config, or a change in PUSCH-config
- UE remains in DRX active time (UE does not enter DRX OFF time)
- No measurement gap occurs
- No instance of SRS transmission with the usage antenna switching occurs
- Active BWP remains the same
- EN-DC and CA configuration is not changed for the UE (UE is not configured or de-configured with PScell or SCell(s))

## 6.5 Output RF spectrum emissions

## 6.5.1 Occupied bandwidth

Occupied bandwidth is defined as the bandwidth containing 99 % of the total integrated mean power of the transmitted spectrum on the assigned channel. The occupied bandwidth for all transmission bandwidth configurations (Resources Blocks) shall be less than the channel bandwidth specified in Table 6.5.1-1.

Table 6.5.1-1: Occupied channel bandwidth

		NR Channel bandwidth										
	5 MHz	10 MHz	15 MHz	20 MHz	25 MHz	30 MHz	40 MHz	50 MHz	60 MHz	80 MHz	90 MHz	100 MHz
Occupied channel bandwidth (MHz)	5	10	15	20	25	30	40	50	60	80	90	100

## 6.5.2 Out of band emission

#### 6.5.2.1 General

The Out of band emissions are unwanted emissions immediately outside the assigned channel bandwidth resulting from the modulation process and non-linearity in the transmitter but excluding spurious emissions. This out of band emission limit is specified in terms of a spectrum emission mask and an adjacent channel leakage power ratio.

To improve measurement accuracy, sensitivity and efficiency, the resolution bandwidth may be smaller than the measurement bandwidth. When the resolution bandwidth is smaller than the measurement bandwidth, the result should be integrated over the measurement bandwidth in order to obtain the equivalent noise bandwidth of the measurement bandwidth.

-25

## 6.5.2.2 Spectrum emission mask

The spectrum emission mask of the UE applies to frequencies ( $\Delta f_{OOB}$ ) starting from the  $\pm$  edge of the assigned NR channel bandwidth. For frequencies offset greater than  $F_{OOB}$ , the spurious requirements in subclause 6.5.3 are applicable.

NOTE: For measurement conditions at the edge of each frequency range, the lowest frequency of the measurement position in each frequency range should be set at the lowest boundary of the frequency range plus MBW/2. The highest frequency of the measurement position in each frequency range should be set at the highest boundary of the frequency range minus MBW/2. MBW denotes the measurement bandwidth defined for the protected band.

The power of any UE emission shall not exceed the levels specified in Table 6.5.2.2-1 for the specified channel bandwidth.

Spectrum emission limit (dBm) / Channel bandwidth 90 Measurement Δfоов 20 40 50 5 10 15 25 30 60 80 100 MHz bandwidth (MHz) MHz 1 % channel ± 0-1 -13 -13 -13 -13 -13 -13 -13 bandwidth 30 kHz  $\pm 0-1$ -24 -24 -24 -24 -24 -10 -10 -10 -10 -10 -10 -10 -10 -10 -10 ± 1-5 -10 -10  $\pm 5-6$ -13 -13 ± 6-10 -25 -13 -13 -25 ± 10-15 -13 -13 -25 ± 15-20 -13 ± 20-25 -25 -13  $\pm 25-30$ -25 -13 -25  $\pm 30-35$ -13 ± 35-40 -13 ± 40-45 -25 -13 1 MHz ± 45-50 -25  $\pm 50-55$  $\pm 55-60$ -25  $\pm 60-65$  $\pm 65-80$  $\pm 80-90$ -25 ± 90-95 -25 ± 95-100

Table 6.5.2.2-1: NR General spectrum emission mask

## 6.5.2.3 Additional spectrum emission mask

± 100-105

Additional spectrum emission requirements are signalled by the network with network signalling value indicated by the field *additionalSpectrumEmission*.

## 6.5.2.3.1 Requirements for network signalled value "NS\_35"

Additional spectrum emission requirements are signalled by the network to indicate that the UE shall meet an additional requirement for a specific deployment scenario as part of the cell handover/broadcast message.

When "NS\_35" is indicated in the cell, the power of any UE emission shall not exceed the levels specified in Table 6.5.2.3.1-1.

Spectrum emission limit (dBm) / Channel bandwidth Measurement Δfоов 10 20 15 (MHz) MHz MHz MHz MHz bandwidth (unless otherwise stated) -15 -18 -20 -21 30 kHz  $\pm 0 - 0.1$ -13 -13 -13 -13 100 kHz ± 0.1-6 -25<sup>1</sup> ± 6-10 -13 -13 -13 100 kHz ± 10-15 -25<sup>1</sup> -13 -13 100 kHz ± 15-20 -25<sup>1</sup> -13 100 kHz -25 1 MHz  $\pm 20-25$ 

Table 6.5.2.3.1-1: Additional requirements for "NS\_35"

NOTE: As a general rule, the resolution bandwidth of the measuring equipment should be equal to the measurement bandwidth. However, to improve measurement accuracy, sensitivity and efficiency, the resolution bandwidth may be smaller than the measurement bandwidth. When the resolution bandwidth is smaller than the measurement bandwidth, the result should be integrated over the measurement bandwidth in order to obtain the equivalent noise bandwidth of the measurement bandwidth.

The measurement bandwidth shall be 1 MHz

## 6.5.2.3.2 Requirements for network signalled value "NS\_04"

NOTE 1:

Additional spectrum emission requirements are signalled by the network to indicate that the UE shall meet an additional requirement for a specific deployment scenario as part of the cell handover/broadcast message.

The n41 SEM transition point from -13 dBm/MHz to -25 dBm/MHz is based on the emission bandwidth. The emission bandwidth is defined as the width of the signal between two points, one below the carrier center frequency and one above the carrier center frequency, outside of which all emissions are attenuated at least 26 dB below the transmitter power. Since the 26-dB emission bandwidth is implementation dependent, the transmission bandwidths occupied by RBs is used for the SEM.

Table 6.5.2.3.2-1: n41 transmission bandwidths for CP-OFDM

SCS		Channel bandwidths (MHz)								
(kHz)	10	15	20	40	50	60	80	90	100	
15	9.36	14.22	19.08	38.88	48.6	N.A	N.A	N.A	N.A	
30	8.64	13.68	18.36	38.16	47.88	58.32	78.12	88.02	98.28	
60	7.92	12.96	17.28	36.72	46.8	56.88	77.04	87.12	97.20	

Table 6.5.2.3.2-2: n41 transmission bandwidths for DFT-S-OFDM

SCS	Channel bandwidths (MHz)								
(kHz)	10	15	20 40		50	60	80	90	100
15	9.00	13.50	18.00	38.88	48.60	N/A	N/A	N/A	N/A
30	8.64	12.96	18.00	36.00	46.08	58.32	77.76	87.48	97.20
60	7.20	12.96	17.28	36.00	46.08	54.00	72.00	86.40	97.20

When "NS\_04" is indicated in the cell, the power of any UE emission shall not exceed the levels specified in Table 6.5.2.3.2-3.

Table 6.5.2.3.2-3: n41 SEM with "NS\_04"

		Spectrum emission limit (dBm) / measurement bandwidth for each channel bandwidth								ent bandwidth
Δf <sub>OOB</sub> MHz	10 MHz	15 MHz	20 MHz	40 MHz	50 MHz	60 MHz	80 MHz	90 MHz	100 MHz	Measurement bandwidth
± 0 - 1	-10	-10	-10	-10				2 % channel bandwidth		
							-1	10		1 MHz
±1-5					-1	0				
± 5 - X					-1	3				1 MHz
± X - (BW <sub>Channel</sub> + 5 MHz)		-25								
NOTE: X is defined in	Table 6	5.5.2.3	.2-1 fo	r CP-C	)FDM	and 6.5	5.2.3.2	-2 for I	DFT-S	-OFDM

## 6.5.2.3.3 Requirements for network signalled value "NS\_03"

Additional spectrum emission requirements are signalled by the network to indicate that the UE shall meet an additional requirement for a specific deployment scenario as part of the cell handover/broadcast message.

When "NS\_03", is indicated in the cell, the power of any UE emission shall not exceed the levels specified in Table 6.5.2.3.3-1.

Table 6.5.2.3.3-1: Additional requirements for "NS\_03"

Spectrum emission limit (dBm)/ Channel bandwidth										
Δf <sub>OOB</sub> (MHz)	5 MHz	10 MHz	15 MHz	20 MHz	40 MHz	Measurement bandwidth				
± 0-1	-13	-13	-13	-13	-13	1 % of channel BW				
± 1-6	-13	-13	-13	-13	-13	1 MHz				
± 6-10	-25	-13	-13	-13	-13	1 MHz				
± 10-15		-25	-13	-13	-13	1 MHz				
± 15-20			-25	-13	-13	1 MHz				
± 20-25				-25	-13	1 MHz				
± 25-40					-13	1 MHz				
± 40-45					-25	1 MHz				

NOTE: As a general rule, the resolution bandwidth of the measuring equipment should be equal to the measurement bandwidth. However, to improve measurement accuracy, sensitivity and efficiency, the resolution bandwidth may be smaller than the measurement bandwidth. When the resolution bandwidth is smaller than the measurement bandwidth, the result should be integrated over the measurement bandwidth in order to obtain the equivalent noise bandwidth of the measurement bandwidth.

#### Table 6.5.2.3.3-2: Void

## 6.5.2.3.4 Requirements for network signalled value "NS\_06"

Additional spectrum emission requirements are signalled by the network to indicate that the UE shall meet an additional requirement for a specific deployment scenario as part of the cell handover/broadcast message.

When "NS\_06" is indicated in the cell, the power of any UE emission shall not exceed the levels specified in Table 6.5.2.3.4-1.

Table 6.5.2.3.4-1: Additional requirements for "NS\_06"

Spectrum emission limit (dBm) / Channel bandwidth									
Δf <sub>OOB</sub> (MHz)	5 MHz	10 MHz	15 MHz	Measurement bandwidth					
± 0 - 0.1	-15	-18	-20	30 kHz					
± 0.1 – 1	-13	-13	-13	100 kHz					
±1-6	-13	-13							
±6-10	-25	-13	-13	1 MHz					
± 10 – 15		-25		1 IVITZ					
± 15 – 20			-25						

#### 6.5.2.3.5 Requirements for network signalled value "NS 40"

Additional spectrum emission requirements are signalled by the network to indicate that the UE shall meet an additional requirement for a specific deployment scenario as part of the cell handover/broadcast message.

When "NS\_40" is indicated in the cell, the power of any UE emission shall not exceed the levels specified in Table 6.5.2.3.5-1.

Table 6.5.2.3.5-1: Additional requirements for NR channels are assigned within 1427-1452MHz

Frequency band (MHz)	Channel bandwidth / Spectrum emission limit (dBm) 27 MHz	Measurement bandwidth				
1400 ≤ f ≤ 1427	-32	27 MHz				
NOTE 1: This requirement shall be verified with UE transmission power of 15 dBm.						

NOTE: As a general rule, the resolution bandwidth of the measuring equipment should be equal to the measurement bandwidth. However, to improve measurement accuracy, sensitivity and efficiency, the resolution bandwidth may be smaller than the measurement bandwidth. When the resolution bandwidth is smaller than the measurement bandwidth, the result should be integrated over the measurement bandwidth in order to obtain the equivalent noise bandwidth of the measurement bandwidth.

#### 6.5.2.3.6 Requirements for network signalled value "NS\_41"

Additional spectrum emission requirements are signalled by the network to indicate that the UE shall meet an additional requirement for a specific deployment scenario as part of the cell handover/broadcast message.

When "NS\_41" is indicated in the cell, the power of any UE emission shall not exceed the levels specified in Table 6.5.2.3.6-1.

Table 6.5.2.3.6-1: Additional requirements for NR channels assigned within 1427-1452MHz

Frequency band (MHz)	Channel bandwidth / Spectrum emission limit (dBm) 27 MHz	Measurement bandwidth				
1400 ≤ f ≤ 1427	-32	27 MHz				
NOTE 1: This requirement shall be verified with UE transmission power of 15 dBm.						

NOTE: As a general rule, the resolution bandwidth of the measuring equipment should be equal to the measurement bandwidth. However, to improve measurement accuracy, sensitivity and efficiency, the resolution bandwidth may be smaller than the measurement bandwidth. When the resolution bandwidth is smaller than the measurement bandwidth, the result should be integrated over the measurement bandwidth in order to obtain the equivalent noise bandwidth of the measurement bandwidth.

#### 6.5.2.3.7 Requirements for network signalled value "NS\_42"

Additional spectrum emission requirements are signalled by the network to indicate that the UE shall meet an additional requirement for a specific deployment scenario as part of the cell handover/broadcast message.

When "NS\_42" is indicated in the cell, the power of any UE emission shall not exceed the levels specified in Table 6.5.2.3.7-1.

Table 6.5.2.3.7-1: Additional requirements for NR channels assigned within 1492-1517MHz

Frequency band (MHz)	Channel bandwidth / Spectrum emission limit (dBm) 1 MHz	Measurement bandwidth
1518 ≤ f ≤ 1520	-0.8	1 MHz
1520 < f ≤ 1559	-30	1 MHz

NOTE: As a general rule, the resolution bandwidth of the measuring equipment should be equal to the measurement bandwidth. However, to improve measurement accuracy, sensitivity and efficiency, the resolution bandwidth may be smaller than the measurement bandwidth. When the resolution bandwidth is smaller than the measurement bandwidth, the result should be integrated over the measurement bandwidth in order to obtain the equivalent noise bandwidth of the measurement bandwidth.

## 6.5.2.4 Adjacent channel leakage ratio

Adjacent Channel Leakage power Ratio (ACLR) is the ratio of the filtered mean power centred on the assigned channel frequency to the filtered mean power centred on an adjacent channel frequency.

To improve measurement accuracy, sensitivity and efficiency, the resolution bandwidth may be smaller than the measurement bandwidth. When the resolution bandwidth is smaller than the measurement bandwidth, the result should be integrated over the measurement bandwidth in order to obtain the equivalent noise bandwidth of the measurement bandwidth.

#### 6.5.2.4.1 NR ACLR

NR Adjacent Channel Leakage power Ratio ( $NR_{ACLR}$ ) is the ratio of the filtered mean power centred on the assigned NR channel frequency to the filtered mean power centred on an adjacent NR channel frequency at nominal channel spacing.

The assigned NR channel power and adjacent NR channel power are measured with rectangular filters with measurement bandwidths specified in Table 6.5.2.4.1-1.

If the measured adjacent channel power is greater than -50 dBm then the  $NR_{ACLR}$  shall be higher than the value specified in Table 6.5.2.4.1-2.

Table 6.5.2.4.1-1: NR ACLR measurement bandwidth

	NR channel bandwidth / NR ACLR measurement bandwidth											
							100					
	MHz	MHz	MHz	MHz	MHz	MHz	MHz	MHz	MHz	MHz	MHz	MHz
NR ACLR												
measurement	4.515	9.375	14.235	19.095	23.955	28.815	38.895	48.615	58.35	78.15	88.23	98.31
bandwidth												

Table 6.5.2.4.1-2: NR ACLR requirement

	Power class 1	Power class 2	Power class 3
NR ACLR		31 dB	30 dB

#### 6.5.2.4.2 UTRA ACLR

UTRA adjacent channel leakage power ratio (UTRA<sub>ACLR</sub>) is the ratio of the filtered mean power centred on the assigned NR channel frequency to the filtered mean power centred on an adjacent(s) UTRA channel frequency.

UTRA<sub>ACLR</sub> is specified for the first adjacent UTRA channel (UTRA<sub>ACLR1</sub>) which center frequency is  $\pm$  2.5 MHz from NR channel edge and for the  $2^{nd}$  adjacent UTRA channel (UTRA<sub>ACLR2</sub>) which center frequency is  $\pm$  7.5 MHz from NR channel edge.

The UTRA channel power is measured with a RRC filter with roll-off factor  $\alpha$  =0.22 and bandwidth of 3.84 MHz. The assigned NR channel power is measured with a rectangular filter with measurement bandwidth specified in Table 6.5.2.4.2-1.

If the measured adjacent channel power is greater than - 50dBm then the  $UTRA_{ACLR1}$  and  $UTRA_{ACLR2}$  shall be higher than the value specified in Table 6.5.2.4.2-1.

Table 6.5.2.4.2-1: UTRA ACLR requirement

	Power class 3
UTRA <sub>ACLR1</sub>	33 dB
UTRA <sub>ACLR2</sub>	36 dB

UTRA ACLR requirement is applicable when signalled by the network with network signalling value indicated by the field *additionalSpectrumEmission*.

## 6.5.3 Spurious emissions

Spurious emissions are emissions which are caused by unwanted transmitter effects such as harmonics emission, parasitic emissions, intermodulation products and frequency conversion products, but exclude out of band emissions unless otherwise stated. The spurious emission limits are specified in terms of general requirements in line with SM.329 and NR operating band requirement to address UE co-existence.

To improve measurement accuracy, sensitivity and efficiency, the resolution bandwidth may be smaller than the measurement bandwidth. When the resolution bandwidth is smaller than the measurement bandwidth, the result should be integrated over the measurement bandwidth in order to obtain the equivalent noise bandwidth of the measurement bandwidth.

NOTE: For measurement conditions at the edge of each frequency range, the lowest frequency of the measurement position in each frequency range should be set at the lowest boundary of the frequency range plus MBW/2. The highest frequency of the measurement position in each frequency range should be set at the highest boundary of the frequency range minus MBW/2. MBW denotes the measurement bandwidth defined for the protected band.

#### 6.5.3.1 General spurious emissions

Unless otherwise stated, the spurious emission limits apply for the frequency ranges that are more than  $F_{OOB}$  (MHz) in Table 6.5.3.1-1 from the edge of the channel bandwidth. The spurious emission limits in Table 6.5.3.1-2 apply for all transmitter band configurations ( $N_{RB}$ ) and channel bandwidths.

NOTE: For measurement conditions at the edge of each frequency range, the lowest frequency of the measurement position in each frequency range should be set at the lowest boundary of the frequency range plus MBW/2. The highest frequency of the measurement position in each frequency range should be set at the highest boundary of the frequency range minus MBW/2. MBW denotes the measurement bandwidth defined for the protected band.

Table 6.5.3.1-1: Boundary between NR out of band and general spurious emission domain

Channel bandwidth	OOB boundary F <sub>OOB</sub> (MHz)
BW <sub>Channel</sub>	BW <sub>Channel</sub> + 5

Table 6.5.3.1-2: Requirement for general spurious emissions limits

Frequency Range	Maximum Level	Measurement bandwidth	NOTE
9 kHz ≤ f < 150 kHz	-36 dBm	1 kHz	
150 kHz ≤ f < 30 MHz	-36 dBm	10 kHz	
30 MHz ≤ f < 1000 MHz	-36 dBm	100 kHz	
1 GHz ≤ f < 12.75 GHz	-30 dBm	1 MHz	
	-25 dBm	1 MHz	
12.75 GHz ≤ f < 5 <sup>th</sup> harmonic of the upper frequency edge of the UL operating band in GHz	-30 dBm	1 MHz	1
12.75 GHz < f < 26 GHz	-30 dBm	1 MHz	2

NOTE 1: Applies for Band that the upper frequency edge of the UL Band more than 2.69 GHz

NOTE 2: Applies for Band that the upper frequency edge of the UL Band more than 5.2 GHz

NOTE 3: Applies for EN-DC combinations that include n41 when NS\_04 is signalled.

## 6.5.3.2 Spurious emissions for UE co-existence

This clause specifies the requirements for NR bands for coexistence with protected bands.

Table 6.5.3.2-1: Requirements for spurious emissions for UE co-existence

	Spurio	ous emiss	ion fo	r UE co-exi	stence		
NR Band	Protected band			ige (MHz)	Maximum Level (dBm)	MBW (MHz)	NOTE
n1, n84	E-UTRA Band 1, 5, 7, 8, 11, 18, 19, 20, 21, 22, 26, 27, 28, 31, 32, 38, 40, 41, 42, 43, 44, 45, 50, 51, 65, 67, 68, 69, 72, 73, 74, 75, 76, NR Band n78, n79	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	
	NR Band n77	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	2
	E-UTRA Band 3, 34	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	15
	Frequency range	1880	-	1895	-40	1	15, 27
	Frequency range	1895	-	1915	-15.5	5	15, 26, 27
	Frequency range	1915	1	1920	+1.6	5	15, 26, 27
n2	E-UTRA Band 4, 5, 10, 12, 13, 14, 17, 24, 26, 27, 28, 29, 30, 41, 42, 48, 50, 51, 66, 70, 71, 74	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	
	E-UTRA Band 2, 25	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	15
	E-UTRA Band 43	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	2
n3, n80	E-UTRA Band 1, 5, 7, 8, 20, 26, 27, 28, 31, 32, 33, 34, 38, 39, 40, 41, 43, 44, 45, 50, 51, 65, 67, 68, 69, 72, 73,74, 75, 76. NR Band n79	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	
	E-UTRA Band 3	F <sub>DL_low</sub>	ı	F <sub>DL_high</sub>	-50	1	15
	E-UTRA Band 11, 18, 19, 21	$F_{DL\_low}$	-	$F_{DL\_high}$	-50	1	13
	E-UTRA Band 22, 42, NR Band n77, n78	F <sub>DL_low</sub>	1	F <sub>DL_high</sub>	-50	1	2
	Frequency range	1884.5	-	1915.7	-41	0.3	13
n5	E-UTRA Band 1, 2, 3, 4, 5, 7, 8, 10, 12, 13, 14, 17, 18, 19, 24, 25, 26, 28, 29, 30, 31, 34, 38, 40, 42, 43, 45, 48, 50, 51, 65, 66, 70, 71, 73, 74, 85	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50		
	E-UTRA Band 41, 52	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	2
	E-UTRA Band 11, 21	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	39
	Frequency range	1884.5	-	1915.7	-41	0.3	8,39
n7	E-UTRA Band 1, 2, 3, 4, 5, 7, 8, 10, 12, 13, 14, 17, 20, 22, 26, 27, 28, 29, 30, 31, 32, 33, 34, 40, 42, 43, 50, 51, 65, 66, 67, 68, 72, 74, 75, 76, NR Band n77, n78	F <sub>DL_low</sub>	•	F <sub>DL_high</sub>	-50	1	
	Frequency range	2570	-	2575	+1.6	5	15, 21, 26
	Frequency range	2575	•	2595	-15.5	5	15, 21, 26
	Frequency range	2595	-	2620	-40	1	15, 21
n8, n81	E-UTRA Band 1, 20, 28, 31, 32, 33, 34, 38, 39, 40, 45, 50, 51, 65, 67, 68, 69, 72, 73, 74, 75, 76	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	
	E-UTRA band 3, 7, 22, 41, 42, 43, NR Band n77, n78, n79	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	2
	E-UTRA 8	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	15
	E-UTRA Band 11, 21	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	
	Frequency range	1884.5	-	1915.7	-41	0.3	8
n12	E-UTRA Band 2, 5, 13, 14, 17, 24, 25, 26, 27, 30, 41, 48, 50, 51, 71, 74	FDL_lo w	-	FDL_hi gh	-50	1	
	E-UTRA Band 4, 10, 66, 70	FDL_lo w	-	FDL_hi gh	-50	1	2

	Spurious emission for UE co-existence								
NR Band	Protected band	-	cy rar	ge (MHz)	Maximum Level (dBm)	MBW (MHz)	NOTE		
	E-UTRA Band 12, 85	FDL_lo w	-	FDL_hi gh	-50	1	15		
n20, n82	E-UTRA Band 1, 3, 7, 8, 22, 31, 32, 33, 34, 40, 43, 50, 51, 65, 67, 68, 72, 74, 75, 76	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1			
	E-UTRA Band 20	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	15		
	E-UTRA Band 38, 42, 69, NR Band n77, n78	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	2		
	Frequency range	758	-	788	-50	1			
n25	E-UTRA Band 4, 5, 10,12, 13, 14, 17, 24, 26, 27, 28, 29, 30, 41, 42, 48, 66, 70, 71, 85	F <sub>DL_low</sub>	1	F <sub>DL_high</sub>	-50	1			
	E-UTRA Band 2	$F_{DL\_low}$	-	$F_{DL\_high}$	-50	1	15		
	E-UTRA Band 25	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	15		
	E-UTRA Band 43	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	2		
n28, n83	E-UTRA Band 1, 4, 10, 22, 42, 43, 50, 51, 65, 73, 74, 75, 76, NR Band n77, n78	F <sub>DL_low</sub>	-	$F_{DL\_high}$	-50	1	2		
	E-UTRA Band 1	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	19, 25		
	E-UTRA Band 2, 3, 5, 7, 8, 18, 19, 20, 25, 26, 27, 31, 34, 38, 40, 41, 66, 72, NR Band n79	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1			
	E-UTRA Band 11, 21	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	19, 24		
	Frequency range	470	-	694	-42	8	15, 35		
	Frequency range	470	-	710	-26.2	6	34		
	Frequency range	662	•	694	-26.2	6	15		
	Frequency range	758	ı	773	-32	1	15		
	Frequency range	773	-	803	-50	1			
	Frequency range	1884.5	•	1915.7	-41	0.3	8, 19		
n34	E-UTRA Band 1, 3, 7, 8, 11, 18, 19, 20, 21, 22, 26, 28, 31, 32, 33, 38,39, 40, 41, 42, 43, 44, 45, 50, 51, 65, 67, 69, 72, 74, 75, 76, NR Band n78, n79	FDL_low	-	FDL_high	-50	1	5		
	NR Band n77	F <sub>DL_low</sub> 1884.5	-	F <sub>DL_high</sub> 1915.7	-50 -41	0.3	8		
n38	Frequency range  E-UTRA Band 1, 2, 3, 4, 5, 8, 10, 12, 13, 14, 17, 20, 22, 27, 28, 29, 30, 31, 32, 33, 34, 40, 42, 43, 50, 51, 65, 66, 67, 68, 72, 74, 75, 76	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	-		
	Frequency range	2620	-	2645	-15.5	5	15, 22, 26		
	Frequency range	2645	-	2690	-40	1	15, 22		
n39	E-UTRA Band 1, 8, 22, 26, 34, 40, 41, 42, 44, 45, 50, 51, 74, NR Band n79	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1			
	NR Band n77, n78	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	2		
	Frequency range	1805	-	1855	-40	1	33		
	Frequency range	1855	-	1880	-15.5	5	15, 26, 33		
n40	E-UTRA Band 1, 3, 5, 7, 8, 20, 22, 26, 27, 28, 31, 32, 33, 34, 38, 39, 41, 42, 43, 44, 45, 50, 51, 65, 67, 68, 69, 72, 74, 75, 76, NR Band n77, n78	F <sub>DL_low</sub>	-	$F_{DL\_high}$	-50	1			
	NR Band n79	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	2		

	Spurio	ous emiss	ion fo	r UE co-ex	istence		
NR Band	Protected band	Frequen	icy ran	ige (MHz)	Maximum Level (dBm)	MBW (MHz)	NOTE
n41	E-UTRA Band 1, 2, 3, 4, 5, 8, 10, 12, 13, 14, 17, 24, 25, 26, 27, 28, 29, 30, 34, 39, 40, 42, 44, 45, 48, 50, 51, 65, 66, 70, 71, 73, 74, NR Band n77, n78	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	
	NR Band n79	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	2
	E-UTRA Band 9, 11, 18, 19, 21	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	30
	Frequency range	1884.5		1915.7	-41	0.3	8, 30
n50	E-UTRA Band 1, 2, 3, 4, 5, 7, 8, 12, 13, 17, 20, 26, 28, 29, 31, 34, 38, 39, 40, 41, 42, 43, 48, 65, 66, 67, 68	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	
n51	E-UTRA Band 1, 2, 3, 4, 5, 7, 8, 12, 13, 17, 20, 26, 28, 29, 31, 34, 38, 39, 40, 41, 42, 43, 48, 65, 66, 67, 68	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	
n66, n86	E-UTRA Band 1, 2, 3, 4, 5, 7, 8, 12, 13, 17, 20, 26, 28, 29, 31, 34, 38, 39, 40, 41, 42, 43, 48, 65, 66, 67, 68, 70, 71	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	
	E-UTRA Band 42, 48	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	2
n70	E-UTRA Band 2, 4, 5, 10, 12, 13, 14, 17, 24, 25, 29, 30, 41, 48, 66, 70, 71	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	2
n71	E-UTRA Band 4, 5, 12, 13, 14, 17, 24, 26, 30, 48, 66, 85	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	
	E-UTRA Band 2, 25, 41, 70	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	2
	E-UTRA Band 29	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-38	1	15
	E-UTRA Band 71	F <sub>DL_low</sub>	•	F <sub>DL_high</sub>	-50	1	15
n74	E-UTRA Band 1, 2, 3, 4, 5, 7, 8, 12, 13, 17, 18, 19, 20, 26, 28, 29, 31, 34, 38, 39, 40, 41, 42, 43, 48, 65, 66, 67, 68	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	
	Frequency range	1884.5	-	1915.7	-41	0.3	8
	Frequency range	1400	-	1427	-32	27	15, 41
	Frequency range	1475	-	1488	-50	1	42
	Frequency range	1488	-	1518	-50	1	15
n77, n78	E-UTRA Band 1, 3, 5, 7, 8, 11, 18, 19, 20, 21, 26, 28, 34, 39, 40, 41, 65	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	
	Frequency range	1884.5	-	1915.7	-41	0.3	8
	NR Band n257	26500	-	29500	-5	100	
n79	E-UTRA Band 1, 3, 5, 8, 11, 18, 19, 21, 28, 34, 39, 40, 41, 42, 65	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	
	Frequency range NR Band n257	1884.5 26500	-	1915.7 29500	-41 -5	0.3 100	8
n80	See n3						
n81	See n8						
n82	See n20						
n83	See n28						
n84	See n1						
n86	See n66						

- NOTE 1: F<sub>DL\_low</sub> and F<sub>DL\_high</sub> refer to each frequency band specified in Table 5.2-1 or Table 5.5-1 in TS 36.101
- NOTE 2: As exceptions, measurements with a level up to the applicable requirements defined in Table 6.5.3.1-2 are permitted for each assigned NR carrier used in the measurement due to 2nd, 3rd, 4th or 5th harmonic spurious emissions. Due to spreading of the harmonic emission the exception is also allowed for the first 1 MHz frequency range immediately outside the harmonic emission on both sides of the harmonic emission. This results in an overall exception interval centred at the harmonic emission of (2MHz + N x LCRB x 180kHz), where N is 2, 3, 4, 5 for the 2nd, 3rd, 4th or 5th harmonic respectively. The exception is allowed if the measurement bandwidth (MBW) totally or partially overlaps the overall exception interval.
- NOTE 3: 15 kHz SCS is assumed when RB is mentioned in the note.
- NOTE 4: N/A
- NOTE 5: For non-synchronised TDD operation to meet these requirements some restriction will be needed for either the operating band or protected band
- NOTE 6: N/A
- NOTE 7: Applicable when co-existence with PHS system operating in 1884.5 1919.6 MHz.
- NOTE 8: Applicable when co-existence with PHS system operating in 1884.5 1915.7 MHz.
- NOTE 9: N/A
- NOTE 10: N/A
- NOTE 11: Whether the applicable frequency range should be 793-805MHz instead of 799-805MHz is TBD
- NOTE 12: The emissions measurement shall be sufficiently power averaged to ensure a standard deviation < 0.5 dB
- NOTE 13: This requirement applies for 5, 10, 15 and 20 MHz NR channel bandwidth allocated within 1744.9MHz and 1784.9MHz.
- NOTE 14: N/A
- NOTE 15: These requirements also apply for the frequency ranges that are less than F<sub>OOB</sub> (MHz) in Table 6.5.3.1-1 and Table 6.5A.3.1-1 from the edge of the channel bandwidth.
- NOTE 16: N/A
- NOTE 17: N/A
- NOTE 18: N/A
- NOTE 19: Applicable when the assigned NR carrier is confined within 718 MHz and 748 MHz and when the channel bandwidth used is 5 or 10 MHz.
- NOTE 20: N/A
- NOTE 21: This requirement is applicable for any channel bandwidths within the range 2500 2570 MHz with the following restriction: for carriers of 15 MHz bandwidth when carrier centre frequency is within the range 2560.5 2562.5 MHz and for carriers of 20 MHz bandwidth when carrier centre frequency is within the range 2552 2560 MHz the requirement is applicable only for an uplink transmission bandwidth less than or equal to 54 RB.
- NOTE 22: This requirement is applicable for power class 3 UE for any channel bandwidths within the range 2570 2615 MHz with the following restriction: for carriers of 15 MHz bandwidth when carrier centre frequency is within the range 2605.5 2607.5 MHz and for carriers of 20 MHz bandwidth when carrier centre frequency is within the range 2597 2605 MHz the requirement is applicable only for an uplink transmission bandwidth less than or equal to 54 RB. For power class 2 UE for any channel bandwidths within the range 2570 2615 MHz, NS\_44 shall apply. For power class 2 or 3 UE for carriers with channel bandwidth overlapping the frequency range 2615 2620 MHz the requirement applies with the maximum output power configured to +19 dBm in the IE P-Max.
- NOTE 23: Void
- NOTE 24: As exceptions, measurements with a level up to the applicable requirement of -38 dBm/MHz is permitted for each assigned NR carrier used in the measurement due to 2nd harmonic spurious emissions. An exception is allowed if there is at least one individual RB within the transmission bandwidth (see Figure 5.3.3-1) for which the 2nd harmonic totally or partially overlaps the measurement bandwidth (MBW).
- NOTE 25: As exceptions, measurements with a level up to the applicable requirement of -36 dBm/MHz is permitted for each assigned NR carrier used in the measurement due to 3rd harmonic spurious emissions. An exception is allowed if there is at least one individual RB within the transmission bandwidth (see Figure 5.3.3-1) for which the 3rd harmonic totally or partially overlaps the measurement bandwidth (MBW).
- NOTE 26: For these adjacent bands, the emission limit could imply risk of harmful interference to UE(s) operating in the protected operating band.
- NOTE 27: This requirement is applicable for any channel bandwidths within the range 1920 1980 MHz with the following restriction: for carriers of 15 MHz bandwidth when carrier centre frequency is within the range 1927.5 1929.5 MHz and for carriers of 20 MHz bandwidth when carrier centre frequency is within the range 1930 1938 MHz the requirement is applicable only for an uplink transmission bandwidth less than or equal to 54 RB.
- NOTE 28: N/A
- NOTE 29: N/A
- NOTE 30: This requirement applies when the NR carrier is confined within 2545-2575MHz or 2595-2645MHz and the channel bandwidth is 10 or 20 MHz

	Spurious emission for UE co-existence								
NR Band	Protected band	Frequency range (MHz)	Maximum Level (dBm)	MBW (MHz)	NOTE				
NOTE 31:	: N/A				,				
NOTE 32:	: Void								
NOTE 33.	This requirement is only applicable (requirement for carriers with at lear requirement applies for an uplink to of 15 MHz bandwidth when carrier for carriers of 20 MHz bandwidth when carriers wh	ast 1RB confined within 1880 ransmission bandwidth less the center frequency is within the	- 1885 MHz is han or equal to e range 1892.5	not specifi 54 RB for 5 - 1894.5 M	ed). This carriers MHz and				
NOTE 34:	MHz. This requirement is applicable for \$728MHz. For carriers of 10 MHz be bandwidth less than or equal to 30	andwidth, this requirement ap	plies for an up						
NOTE 35:	This requirement is applicable in the 733 MHz, otherwise the requirement	ne case of a 10 MHz NR carri	er confined wit						
NOTE 36	This requirement is applicable for I								
	: Applicable when the upper edge of								
	: Applicable when NS_33 or NS_34		, ,		·····				
NOTE 40:	In the frequency range x-5950MHz max (5925, fc + 15), where fc is the		MHz should be	e applied; v	where x				
NOTE 41:	Applicable for 1.4 MHz bandwidth, bandwidth frequency is greater tha 10 MHz bandwidth, and when the frequency is greater than or equal	and when the lower edge of an or equal to 1427 MHz + the lower edge of the assigned E	e channel BW a -UTRA UL cha	assigned fo innel band	or 3, 5 ai				
NOTE 42:	Applicable for 1.4, 3 and 5 MHz be channel bandwidth frequency is lead and when the upper edge of the as	andwidth, and when the uppe ss than or equal to 1467 MHz	er edge of the a cassigned for 1	assigned N 0 MHz ban	ndwidth,				

NOTE: To simplify Table 6.5.3.2-1, E-UTRA band numbers are listed for bands which are specified only for E-UTRA operation or both E-UTRA and NR operation. NR band numbers are listed for bands which are specified only for NR operation.

equal to 1463.8 MHz for 15 MHz bandwidth, and when the upper edge of the assigned NR UL channel bandwidth frequency is less than or equal to 1460.8 MHz for 20 MHz bandwidth.

## 6.5.3.3 Additional spurious emissions

These requirements are specified in terms of an additional spectrum emission requirement. Additional spurious emission requirements are signalled by the network to indicate that the UE shall meet an additional requirement for a specific deployment scenario as part of the cell handover/broadcast message.

NOTE: For measurement conditions at the edge of each frequency range, the lowest frequency of the measurement position in each frequency range should be set at the lowest boundary of the frequency range plus MBW/2. The highest frequency of the measurement position in each frequency range should be set at the highest boundary of the frequency range minus MBW/2. MBW denotes the measurement bandwidth defined for the protected band.

#### 6.5.3.3.1 Requirement for network signalled value "NS 04"

When "NS 04" is indicated in the cell, the power of any UE emission shall not exceed the levels specified in Table 6.6.3.3.1-1. This requirement also applies for the frequency ranges that are less than  $F_{OOB}$  (MHz) in Table 6.5.3.1-1 from the edge of the channel bandwidth.

Table 6.5.3.3.1-1: Additional requirements

Frequency range (MHz)	Channel bandwidth / Spectrum emission limit (dBm)	Measurement bandwidth
	10, 15, 20, 40, 50, 60, 80, 90, 100 MHz	
2495 ≤ f < 2496	-13	1% of Channel BW
2490.5 ≤ f < 2495	-13	1 MHz
0 < f < 2490.5	-25	1 MHz

## 6.5.3.3.2 Requirement for network signalled value "NS\_17"

When "NS\_17" is indicated in the cell, the power of any UE emission shall not exceed the levels specified in Table 6.5.3.3.2-1. This requirement also applies for the frequency ranges that are less than  $F_{OOB}$  (MHz) in Table 6.5.3.1-1 from the edge of the channel bandwidth.

Table 6.5.3.3.2-1: Additional requirements

Frequency range (MHz)	Channel bandwidth / Spectrum emission limit (dBm) 5, 10 MHz	Measurement bandwidth	NOTE			
470 ≤ f ≤ 710	-26.2	6 MHz	1			
NOTE 1: Applicable when the assigned E-UTRA carrier is confined within 718 MHz and 748 MHz and when the channel bandwidth used is 5 or 10 MHz.						

## 6.5.3.3.3 Requirement for network signalled value "NS\_18"

When "NS\_18" is indicated in the cell, the power of any UE emission shall not exceed the levels specified in Table 6.5.3.3. 3-1. This requirement also applies for the frequency ranges that are less than  $F_{OOB}$  (MHz) in Table 6.5.3.1-1 from the edge of the channel bandwidth.

Table 6.5.3.3.3-1: Additional requirements

	Frequency range (MHz)	Channel bandwidth / Spectrum emission limit (dBm) 5, 10, 15, 20 MHz	Measurement bandwidth	NOTE
Ī	692-698	-26.2	6 MHz	

## 6.5.3.3.4 Requirement for network signalled value "NS\_05"

When "NS\_05" is indicated in the cell, the power of any UE emission shall not exceed the levels specified in Table 6.5.3.3.4-1. This requirement also applies for the frequency ranges that are less than  $F_{OOB}$  (MHz) in Table 6.5.3.1-1 from the edge of the channel bandwidth.

Table 6.5.3.3.4-1: Additional requirements

Frequency band (MHz)	Channel I	bandwidth / S dB)		ssion limit	Measurement bandwidth	NOTE
	5MHz	10MHz	15MHz	20MHz		
1884.5 ≤ f ≤1915.7	-41	-41	300 KHz			

## 6.5.3.3.5 Requirement for network signalled value "NS\_08"

When "NS08" is indicated in the cell, the power of any UE emission shall not exceed the levels specified in Table 6.5.3.3.5-1. This requirement also applies for the frequency ranges that are less than  $F_{OOB}$  (MHz) in Table 6.5.3.1-1 from the edge of the channel bandwidth.

Table 6.5.3.3.5-1: Additional requirement

Frequency range	Channel bandw	idth / Spectrum emis	Measurement bandwidth	
(MHz)	5 MHz	10 MHz	15 MHz	
860 ≤ f ≤ 890	-40	-40	-40	1 MHz

## 6.5.3.3.6 Requirement for network signalled value "NS\_37"

When "NS 37" is indicated in the cell, the power of any UE emission shall not exceed the levels specified in Table 6.5.3.3.6-1. This requirement also applies for the frequency ranges that are less than FOOB (MHz) in Table 6.5.3.1-1 from the edge of the channel bandwidth.

Table 6.5.3.3.6-1: Additional requirement

Frequency band (MHz)	Channe	Channel bandwidth / Spectrum emission limit (dBm)								
	5MHz	10MHz	15MHz	20MHz						
1475.9 ≤ f ≤ 1510.9	-35	1 MHz								

## 6.5.3.3.7 Requirement for network signalled value "NS\_38"

When "NS 38" is indicated in the cell, the power of any UE emission shall not exceed the levels specified in Table 6.5.3.3.7-1. This requirement also applies for the frequency ranges that are less than FOOB (MHz) in Table 6.5.3.1-1 from the edge of the channel bandwidth.

Table 6.5.3.3.7-1: Additional requirements

Frequency band (MHz)	Channel bandwidth / Spectrum emission limit (dBm) 5 MHz, 10 MHz, 15 MHz, 20 MHz	Measurement bandwidth									
1400 ≤ f ≤ 1427	-32	27MHz									
NOTE 1: This requirement shall be verified with UE transmission power of 15 dBm.											

## 6.5.3.3.8 Requirement for network signalled value "NS\_39"

When "NS 39" is indicated in the cell, the power of any UE emission shall not exceed the levels specified in Table 6.5.3.3.8-1. This requirement also applies for the frequency ranges that are less than FOOB (MHz) in Table 6.5.3.1-1 from the edge of the channel bandwidth.

Table 6.5.3.3.8-1: Additional requirements

Frequency band	Channel bandwidth /	Measurement
(MHz)	Spectrum emission limit	bandwidth
	(dBm)	
	5 MHz, 10 MHz, 15 MHz, 20 MHz	
1475 ≤ f ≤ 1488	-28	1MHz

## 6.5.4 Transmit intermodulation

The transmit intermodulation performance is a measure of the capability of the transmitter to inhibit the generation of signals in its non linear elements caused by presence of the wanted signal and an interfering signal reaching the transmitter via the antenna.

UE transmit intermodulation is defined by the ratio of the mean power of the wanted signal to the mean power of the intermodulation product when an interfering CW signal is added at a level below the wanted signal at each transmitter antenna port with the other antenna port(s) if any terminated. Both the wanted signal power and the intermodulation product power are measured through NR rectangular filter with measurement bandwidth shown in Table 6.5.4-1.

The requirement of transmit intermodulation is specified in Table 6.5.4-1.

Table 6.5.4-1: Transmit Intermodulation

Wanted signal channel bandwidth	BW <sub>Channel</sub>							
Interference signal frequency offset from channel center	BWChannel	2*BW <sub>Channel</sub>						
Interference CW signal level	-40dBc							
Intermodulation product	< -29dBc	< -35dBc						
Measurement bandwidth	The maximum transmission bandwidth co the channel BW as defined in Table 6.5.2							
Measurement offset from channel center	BWChannel and 2*BWChannel	2*BWChannel and 4*BWChannel						

# 6.5A Output RF spectrum emissions for CA

For inter-band carrier aggregation with one uplink carrier assigned to one NR band, the output RF spectrum emissions requirements in subclause 6.5 apply.

## 6.5A.1 Occupied bandwidth for CA

- 6.5A.1.1 Occupied bandwidth for Intra-band contiguous CA
- 6.5A.1.2 Occupied bandwidth for Intra-band non-contiguous CA
- 6.5A.1.3 Occupied bandwidth for Inter-band CA

For inter-band carrier aggregation with uplink assigned to two NR bands, the occupied bandwidth is defined per component carrier. Occupied bandwidth is the bandwidth containing 99 % of the total integrated mean power of the transmitted spectrum on assigned channel bandwidth on the component carrier. The occupied bandwidth shall be less than the channel bandwidth specified in Table 6.5.1-1.

## 6.5A.2 Out of band emission for CA

#### 6.5A.2.1 General

Detailed structure of the subclause is TBD

- 6.5A.2.2 Spectrum emission mask
- 6.5A.2.2.1 Spectrum emission mask for Intra-band contiguous CA
- 6.5A.2.2.2 Spectrum emission mask for Intra-band non-contiguous CA
- 6.5A.2.2.3 Spectrum emission mask for Inter-band CA

For inter-band carrier aggregation with uplink assigned to two NR bands, the spectrum emission mask of the UE is defined per component carrier while both component carriers are active and the requirements are specified in subclauses 6.5.2.1 and 6.5.2.2. If for some frequency spectrum emission masks of component carriers overlap then spectrum emission mask allowing higher power spectral density applies for that frequency. If for some frequency a component carrier spectrum emission mask overlaps with the channel bandwidth of another component carrier, then the emission mask does not apply for that frequency.

- 6.5A.2.3 Additional spectrum emission mask
- 6.5A.2.3.1 Additional spectrum emission mask for Intra-band contiguous CA
- 6.5A.2.3.2 Additional spectrum emission mask for Intra-band non-contiguous CA
- 6.5A.2.3.3 Additional spectrum emission mask for Inter-band CA
- 6.5A.2.4 Adjacent channel leakage ratio
- 6.5A.2.4.1 NR ACLR
- 6.5A.2.4.1.1 NR ACLR for Intra-band contiguous CA
- 6.5A.2.4.1.2 NR ACLR for Intra-band non-contiguous CA
- 6.5A.2.4.1.3 NR ACLR for Inter-band CA

For inter-band carrier aggregation with uplink assigned to two NR bands, the NR Adjacent Channel Leakage power Ratio (NRACLR) is defined per component carrier while both component carriers are active and the requirement is specified in subclause 6.5.2.4.1.

- 6.5A.2.4.2 UTRA ACLR
- 6.5A.2.4.2.1 UTRA ACLR for Intra-band contiguous CA
- 6.5A.2.4.2.2 UTRA ACLR for Intra-band non-contiguous CA
- 6.5A.2.4.2.3 UTRA ACLR for Inter-band CA

For inter-band carrier aggregation with uplink assigned to two NR bands, the UTRA Adjacent Channel Leakage power Ratio (UTRAACLR) is defined per component carrier while both component carrier are active and the requirement is specified in subclause 6.5.2.4.2.

## 6.5A.3 Spurious emission for CA

## 6.5A.3.1 General spurious emissions

For inter-band carrier aggregation with uplink assigned to two NR bands, the spurious emission requirement Table 6.5.3.1-2 apply for the frequency ranges that are more than  $F_{OOB}$  as defined in Table 6.5.3.1-1 away from edges of the assigned channel bandwidth on a component carrier. If for some frequency a spurious emission requirement of individual component carrier overlaps with the spectrum emission mask or channel bandwidth of another component carrier then it does not apply.

- 6.5A.3.2 Spurious emissions for UE co-existence
- 6.5A.3.2.1 Spurious emissions for UE co-existence for Intra-band contiguous CA
- 6.5A.3.2.2 Spurious emissions for UE co-existence for Intra-band non-contiguous CA
- 6.5A.3.2.3 Spurious emissions for UE co-existence for Inter-band CA

For inter-band carrier aggregation with the uplink assigned to two NR bands, the requirements in Table 6.5A.3.2-1 apply on each component carrier with all component carriers are active.

NOTE: For inter-band carrier aggregation with uplink assigned to two NR bands the requirements in Table 6.5A.3.2-1 could be verified by measuring spurious emissions at the specific frequencies where second and third order intermodulation products generated by the two transmitted carriers can occur; in that case, the requirements for remaining applicable frequencies in Table 6.5A.3.2-1 would be considered to be verified by the measurements verifying the one uplink inter-band CA UE to UE co-existence requirements.

Table 6.5A.3.2.3-1: Requirements for uplink inter-band carrier aggregation (two bands)

NR CA		Spur	ious er	nission			
Configuration	Protected Band	Frequen	cy rang	je (Mhz)	Maximum Level (dBm)	MBW (MHz)	NOTE
CA_n3A-n78A	E-UTRA Band 1, 3, 5, 7, 8, 11, 18, 19, 20, 21, 26, 28, 34, 39, 40, 41, 65	$F_{DL\_low}$	-	F <sub>DL_high</sub>	-50	1	
	Frequency range 1884.5 - 1915.7			-41	0.3	3	
	NR Band n257	26500	-	29500	-5	100	
CA_n8A-n78A	E-UTRA Band 1,8, 20, 28, 34, 39, 40,65	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	
	E-UTRA Band 3, 7,41	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	2
	E-UTRA Band 11, 21	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	5
	Frequency range	860	-	890	-40	1	4,5
	Frequency range	1884.5	-	1915.7	-41	0.3	3
	NR Band n257	26500	-	29500	-5	100	
	NR Band n258	24250	-	27500	-5	100	

NOTE 1: FDL\_low and FDL\_high refer to each frequency band specified in Table 5.2-1 or Table 5.5-1 in TS 36.101

NOTE 2: As exceptions, measurements with a level up to the applicable requirements defined in Table 6.5.3.1-2 are permitted for each assigned NR carrier used in the measurement due to 2nd, 3rd, 4th or 5<sup>th</sup> harmonic spurious emissions. Due to spreading of the harmonic emission the exception is also allowed for the first 1 MHz frequency range immediately outside the harmonic emission on both sides of the harmonic emission. This results in an overall exception interval centred at the harmonic emission of (2MHz + N x LCRB x 180kHz), where N is 2, 3, 4, 5 for the 2nd, 3rd, 4th or 5th harmonic respectively. The exception is allowed if the measurement bandwidth (MBW) totally or partially overlaps the overall exception interval.

- NOTE 3: Applicable when co-existence with PHS system operating in 1884.5 -1915.7MHz
- NOTE 4: These requirements also apply for the frequency ranges that are less than F<sub>OOB</sub> (MHz) in Table 6.5.3.1-1 and Table 6.5A.3.1-1 from the edge of the channel bandwidth.
- NOTE 5: This requirement is applicable only for the following cases: for carriers of 5 MHz channel bandwidth when carrier centre frequency (Fc) is within the range 902.5 MHz ≤ Fc < 907.5 MHz with an uplink transmission bandwidth less than or equal to 20 RB for carriers of 5 MHz channel bandwidth when carrier centre frequency (Fc) is within the range 907.5 MHz ≤ Fc ≤ 912.5 MHz without any restriction on uplink transmission bandwidth. for carriers of 10 MHz channel bandwidth when carrier centre frequency (Fc) is Fc = 910 MHz with an uplink transmission bandwidth less than or equal to 32 RB with RBstart > 3.

NOTE: To simplify Table 6.5A.3.2.3-1, E-UTRA band numbers are listed for bands which are specified only for E-UTRA operation or both E-UTRA and NR operation. NR band numbers are listed for bands which are specified only for NR operation.

## 6.5A.4 Transmit intermodulation for CA

- 6.5A.3.2.1 Transmit intermodulation for Intra-band contiguous CA
- 6.5A.3.2.2 Transmit intermodulation for Intra-band non-contiguous CA
- 6.5A.3.2.3 Transmit intermodulation for Inter-band CA

For inter-band carrier aggregation with uplink assigned to two NR bands, the transmit intermodulation requirement is specified in Table 6.5.4-1 which shall apply on each component carrier with both component carriers active.

# 6.5D Output RF spectrum emissions for UL-MIMO

## 6.5D.1 Occupied bandwidth for UL-MIMO

For UE supporting UL-MIMO, the requirements for occupied bandwidth is specified at each transmit antenna connector. The occupied bandwidth is defined as the bandwidth containing 99 % of the total integrated mean power of the transmitted spectrum on the assigned channel at each transmit antenna connector.

For UE with two transmit antenna connectors in closed-loop spatial multiplexing scheme, the occupied bandwidth at each transmitter antenna shall be less than the channel bandwidth specified in table 6.5.1-1. The requirements shall be met with UL-MIMO configurations described in sub-clause 6.2D.1.

If UE is configured for transmission on single-antenna port, the requirements in subclause 6.5.1 apply

## 6.5D.2Out of band emission for UL-MIMO

For UE supporting UL-MIMO, the requirements for Out of band emissions resulting from the modulation process and non-linearity in the transmitters are specified at each transmit antenna connector.

For UEs with two transmit antenna connectors in closed-loop spatial multiplexing scheme, the requirements in subclasuse 6.5.2 apply to each transmit antenna connector. The requirements shall be met with UL-MIMO configurations described in sub-clause 6.2D.1.

If UE is configured for transmission on single-antenna port, the requirements in subclause 6.5.2 apply.

## 6.5D.3Spurious emission for UL-MIMO

For UE supporting UL-MIMO, the requirements for Spurious emissions which are caused by unwanted transmitter effects such as harmonics emission, parasitic emissions, intermodulation products and frequency conversion products are specified at each transmit antenna connector.

For UEs with two transmit antenna connectors in closed-loop spatial multiplexing scheme, the requirements specified in subclasuse 6.5.3 apply to each transmit antenna connector. The requirements shall be met with the UL-MIMO configurations described in sub-clause 6.2D.1.

If UE is configured for transmission on single-antenna port, the requirements in subclause 6.5.3 apply.

## 6.5D.4Transmit intermodulation for UL-MIMO

For UE supporting UL-MIMO, the transmit intermodulation requirements are specified at each transmit antenna connector and the wanted signal is defined as the sum of output power at each transmit antenna connector.

For UEs with two transmit antenna connectors in closed-loop spatial multiplexing scheme, the requirements specified in subclause 6.5.4 apply to each transmit antenna connector. The requirements shall be met with the UL-MIMO configurations described in sub-clause 6.2D.1.

If UE is configured for transmission on single-antenna port, the requirements in subclause 6.5.4 apply.

## 7 Receiver characteristics

## 7.1 General

Unless otherwise stated the receiver characteristics are specified at the antenna connector(s) of the UE. For UE(s) with an integral antenna only, a reference antenna(s) with a gain of 0 dBi is assumed for each antenna port(s). UE with an integral antenna(s) may be taken into account by converting these power levels into field strength requirements, assuming a 0 dBi gain antenna. For UEs with more than one receiver antenna connector, identical interfering signals shall be applied to each receiver antenna port if more than one of these is used (diversity).

The levels of the test signal applied to each of the antenna connectors shall be as defined in the respective sections below.

With the exception of subclause 7.3, the requirements shall be verified with the network signalling value NS\_01 configured (Table 6.2.3-1).

All the parameters in clause 7 are defined using the UL reference measurement channels specified in Annexes A.2.2 and A.2.3, the DL reference measurement channels specified in Annex A.3.2 and using the set-up specified in Annex C.3.1.

# 7.2 Diversity characteristics

The UE is required to be equipped with a minimum of two Rx antenna ports in all operating bands except for the bands n7, n38, n41, n77, n78, n79 where the UE is required to be equipped with a minimum of four Rx antenna ports. This requirement applies when the band is used as a standalone band or as part of a band combination.

For the requirements in Section 7, the UE shall be verified with two Rx antenna ports in all supported frequency bands. Additional requirements for four Rx ports shall be verified in operating bands where the UE is equipped with four Rx antenna ports.

The above rules apply for all subclauses with the exception of subclause 7.9.

# 7.3 Reference sensitivity

## 7.3.1 General

The reference sensitivity power level REFSENS is the minimum mean power applied to each one of the UE antenna ports for all UE categories, at which the throughput shall meet or exceed the requirements for the specified reference measurement channel.

In later subclauses of Section 7 where the value of REFSENS is used as a reference to set the corresponding requirement:

in all bands, the UE shall be verified against those requirements by applying the REFSENS value in Table 7.3.2-1 with 2 Rx antenna ports tested;

for bands where the UE is required to be equipped with 4 Rx antenna ports, the UE shall additionally be verified against those requirements by applying the resulting REFSENS value derived from the requirement in Table 7.3.2-2 with 4 Rx antenna ports tested.

# 7.3.2 Reference sensitivity power level

The throughput shall be  $\geq 95\%$  of the maximum throughput of the reference measurement channels as specified in Annexes A.2.2, A.2.3 and A.3.2 (with one sided dynamic OCNG Pattern OP.1 FDD/TDD for the DL-signal as described in Annex A.5.1.1/A.5.2.1) with parameters specified in Table 7.3.2-1 and Table 7.3.2-2.

Table 7.3.2-1: Two antenna port reference sensitivity QPSK PREFSENS

				Operation	ng band /	/ SCS / C	hannel b	andwidth	ı / Duple	x-mode				
Operating Band	SCS kHz	5 MHz (dBm)	10 MHz (dBm)	15 MHz (dBm)	20 MHz (dBm)	25 MHz (dBm)	30 MHz (dBm)	40 MHz (dBm)	50 MHz (dBm)	60 MHz (dBm)	80 MHz (dBm)	90 MHz (dBm)	100 MHz (dBm)	Duplex Mode
-	15	-100.0	-96.8	-95.0	-93.8									
n1	30		-97.1	-95.1	-94.0									FDD
	60		-97.5	-95.4	-94.2									
-	15	-98.0	-94.8	-93.0	-91.8									
n2	30		-95.1	-93.1	-92.0									FDD
	60		-95.5	-93.4	-92.2									
_	15	-97.0	-93.8	-92.0	-90.8	-89.7	-88.9							
n3	30		-94.1	-92.1	-91.0	-89.8	-89.0							FDD
	60		-94.5	-92.4	-91.2	-90.0	-89.1							
_	15	-98.0	-94.8	-93.0	-90.8									
n5	30		-95.1	-93.1	-91.0									FDD
	60													
	15	-98.0	-94.8	-93.0	-91.8									
n7¹	30		-95.1	-93.1	-92.0									FDD
	60		-95.5	-93.4	-92.2									
	15	-97.0	-93.8	-92.0	-90.0									
n8	30		-94.1	-92.1	-90.2									FDD
	60													
	15	-97.0	-93.8	-92.0										
n12	30		-94.1	-92.1										FDD
	60													
	15	-97.0	-93.8	-91.0	-89.8									
n20	30		-94.1	-91.1	-90.0									FDD
1120	60													1 100
	15	-96.5	-93.3	-91.5	-90.3									
~2F	30	-90.5	-93.6	-91.6	-90.5									FDD
n25	60		-94.0	-91.9	-90.7									FDD
		00.5												
00	15	-98.5	-95.5	-93.5	-90.8									EDD
n28	30		-95.6	-93.6	-91.0									FDD
	60	100.0	06.0	05.0										
0.4	15	-100.0	-96.8	-95.0										TDD
n34	30		-97.1	-95.1										TDD
	60	100.0	-97.5	-95.4	20.0									
	15	-100.0	-96.8	-95.0	-93.8									<b>TDD</b>
n38	30		-97.1	-95.1	-94.0									TDD
	60	400.0	-97.5	-95.4	-94.2	00.7	04.0	00.0						
	15	-100.0	-96.8	-95.0	-93.8	-92.7	-91.9	-90.6						
n39	30		-97.1	-95.1	-94.0	-92.8	-92.0	-90.7						TDD
	60	100.0	-97.5	-95.4	-94.2	-93.0	-92.1	-90.9	20.0					
-	15	-100.0	-96.8	-95.0	-93.8	-92.7	-91.9	-90.6	-89.6	00.5	67.5			
n40	30		-97.1	-95.1	-94.0	-92.8	-92.0	-90.7	-89.7	-88.9	-87.6			TDD
	60		-97.5	-95.4	-94.2	-93.0	-92.1	-90.9	-89.8	-89.1	-87.6			
_	15		-94.8	-93.0	-91.8			-88.6	-87.6					_
n41 <sup>1</sup>	30		-95.1	-93.1	-92.0			-88.7	-87.7	-86.9	-85.6	-85.1	-84.7	TDD
	60		-95.5	-93.4	-92.2			-88.9	-87.8	-87.1	-85.6	-85.1	-84.7	
_	15	-100.0	-96.8	-95.0	-93.8			-90.6	-89.6					
n50	30		-97.1	-95.1	-94.0			-90.7	-89.7	-88.9	-87.6			TDD
	60		-97.5	-95.4	-94.2			-90.9	-89.8	-89.1	-87.6			
n51	15	-100.0												TDD
1101	30						]							טטו

				Operation	ng band /	/ SCS / C	hannel b	andwidth	ı / Duple	x-mode				
Operating Band	SCS kHz	5 MHz (dBm)	10 MHz (dBm)	15 MHz (dBm)	20 MHz (dBm)	25 MHz (dBm)	30 MHz (dBm)	40 MHz (dBm)	50 MHz (dBm)	60 MHz (dBm)	80 MHz (dBm)	90 MHz (dBm)	100 MHz (dBm)	Duplex Mode
	60													
	15	-99.5	-96.3	-94.5	-93.3			-90.1						
n66	30		-96.6	-94.6	-93.5			-90.2						FDD
	60		-97.0	-94.9	-93.7			-90.4						
	15	-100.0	-96.8	-95.0	-93.8	-92.7								
n70	30		-97.1	-95.1	-94.0	-92.8								FDD
	60		-97.5	-95.4	-94.2	-93.0								
	15	-97.2	-94.0	-91.6	-86.0									
n71	30		-94.3	-91.9	-87.4									FDD
	60	-												1
	15	-99.5 <sup>3</sup>	-96.3 <sup>3</sup>	-94.5 <sup>3</sup>	-93.3 <sup>3</sup>									
n74	30		-96.6 <sup>3</sup>	-94.6 <sup>3</sup>	-93.5 <sup>3</sup>									FDD
	60		-97.0 <sup>3</sup>	-94.9 <sup>3</sup>	-93.7 <sup>3</sup>									
n77 (3.3	15		-95.8	-94.0	-92.7			-89.6	-88.6					
to 3.8	30		-96.1	-94.1	-92.9			-89.7	-88.7	-87.9	-86.6	-86.1	-85.6	TDD
GHz) <sup>1</sup>	60	-	-96.5	-94.4	-93.1			-89.9	-88.8	-88.0	-86.7	-86.2	-85.7	
	15		-95.3	-93.5	-92.2			-89.1	-88.1					
n77 <sup>1</sup>	30		-95.6	-93.6	-92.4			-89.2	-88.2	-87.4	-86.1	-85.6	-85.1	TDD
	60	-	-96.0	-93.9	-92.6			-89.4	-88.3	-87.5	-86.2	-85.7	-85.2	
	15		-95.8	-94.0	-92.7			-89.6	-88.6					
n78¹	30		-96.1	-94.1	-92.9			-89.7	-88.7	-87.9	-86.6	-86.1	-85.6	TDD
	60		-96.5	-94.4	-93.1			-89.9	-88.8	-88.0	-86.7	-86.2	-85.7	
	15							-89.6	-88.6					
n79¹	30							-89.7	-88.7	-87.9	-86.6		-85.6	TDD
1	60							-89.9	-88.8	-88.0	-86.7		-85.7	

NOTE 1: Four Rx antenna ports shall be the baseline for this operating band

NOTE 2: The transmitter shall be set to Pumax as defined in subclause 6.2.4

NOTE 3: <sup>3</sup> indicates that the requirement is modified by -0.5 dB when the assigned NR channel bandwidth is confined within 1475.9-

1510.9 MHz.

For UE(s) equipped with 4 Rx antenna ports, reference sensitivity for 2Rx antenna ports in Table 7.3.2-1 shall be modified by the amount given in  $\Delta R_{IB,4R}$  in Table 7.3.2-2 for the applicable operating bands.

Table 7.3.2-2: Four antenna port reference sensitivity allowance  $\Delta R_{IB,4R}$ 

Operating band	$\Delta R_{IB,4R}$ (dB)
n7, n38, n41	-2.7
n77, n78, n79	-2.2

The reference receive sensitivity (REFSENS) requirement specified in Table 7.3.2-1 and Table 7.3.2-2 shall be met for an uplink transmission using QPSK DFT-s-OFDM waveforms and for uplink transmission bandwidth less than or equal to that specified in Table 7.3.2-3.

Table 7.3.2-3: Uplink configuration for reference sensitivity

0	000	-			band / S							- 00	400	D
Operating Band	SCS kHz	5 MHz	10 MHz	15 MHz	20 MHz	25 MHz	30 MHz	40 MHz	50 MHz	60 MHz	80 MHz	90 MHz	100 MHz	Duplex Mode
	15	25	50 <sup>1</sup>	75 <sup>1</sup>	100 <sup>1</sup>									
n1	30		24	36¹	50 <sup>1</sup>									FDD
	60		10 <sup>1</sup>	18	24									Ī
	15	25	50 <sup>1</sup>	50 <sup>1</sup>	50 <sup>1</sup>									
n2	30	10 <sup>1</sup>	24	24 <sup>1</sup>	24 <sup>1</sup>									FDD
	60		10 <sup>1</sup>	10 <sup>1</sup>	10 <sup>11</sup>									-
	15	25	50 <sup>1</sup>	50 <sup>1</sup>	50 <sup>1</sup>	50 <sup>1</sup>	50 <sup>1</sup>							
n3	30		24	24 <sup>1</sup>	24 <sup>1</sup>	24 <sup>1</sup>	24 <sup>1</sup>							FDD
	60		10 <sup>1</sup>	10 <sup>1</sup>	10 <sup>1</sup>	10 <sup>1</sup>	10 <sup>1</sup>							ĺ
	15	25	25 <sup>1</sup>	25 <sup>1</sup>	25 <sup>1</sup>									
n5	30		10 <sup>1</sup>	10 <sup>1</sup>	10 <sup>1</sup>									FDD
	60													
	15	25	50 <sup>1</sup>	75 <sup>1</sup>	75 <sup>1</sup>									
n7	30		24	36 <sup>1</sup>	36 <sup>1</sup>									FDD
	60		10 <sup>1</sup>	18	18 <sup>1</sup>									ļ
	15	25	25 <sup>1</sup>	25 <sup>1</sup>	25 <sup>1</sup>									
n8	30		10 <sup>1</sup>	10 <sup>1</sup>	10 <sup>1</sup>									FDD
	60													1
	15	20 <sup>1</sup>	20 <sup>1</sup>	20 <sup>1</sup>										
n12	30		10 <sup>1</sup>	10 <sup>1</sup>										FDD
	60													
	15	25	20 <sup>1</sup>	20 <sup>2</sup>	20 <sup>2</sup>									
n20	30		10 <sup>1</sup>	10 <sup>2</sup>	10 <sup>2</sup>									FDD
1120	60													FDD
	15	25	50	50 <sup>1</sup>	50 <sup>1</sup>									
n25	30		24	24 <sup>1</sup>	24 <sup>1</sup>									FDD
1120	60		10	10 <sup>1</sup>	10 <sup>1</sup>									100
	15	25	25 <sup>1</sup>	25 <sup>1</sup>	25 <sup>1</sup>									
n28	30	20	10 <sup>1</sup>	10 <sup>1</sup>	10 <sup>1</sup>									FDD
1120	60		10	10	10									100
	15	25	50 <sup>1</sup>	75 <sup>1</sup>										
n34	30	23	24	36 <sup>1</sup>										TDD
110-	60		10 <sup>1</sup>	18										100
	15	25	50 <sup>1</sup>	75 <sup>1</sup>	100 <sup>1</sup>									
n38	30	23	24	36 <sup>1</sup>	50 <sup>1</sup>									TDD
1100	60		10 <sup>1</sup>	18	24									100
	15	25	50 <sup>1</sup>	75 <sup>1</sup>	100 <sup>1</sup>	128 <sup>1</sup>	160	216						
n39	30	23	24	36 <sup>1</sup>	50 <sup>1</sup>	64 <sup>1</sup>	75 <sup>1</sup>	100 <sup>1</sup>						TDD
1133	60		10 <sup>1</sup>	18	24	30 <sup>1</sup>	36 <sup>1</sup>	50 <sup>1</sup>						100
	15	25	50 <sup>1</sup>	75 <sup>1</sup>	100 <sup>1</sup>	128 <sup>1</sup>	160	216	270					
n40	30	20	24	36 <sup>1</sup>	50 <sup>1</sup>	64 <sup>1</sup>	75 <sup>1</sup>	100 <sup>1</sup>	128 <sup>1</sup>	162	216 <sup>1</sup>			TDD
1140	60		10 <sup>1</sup>	18	24	30 <sup>1</sup>	36 <sup>1</sup>	50 <sup>1</sup>	64 <sup>1</sup>	75 <sup>1</sup>	100 <sup>1</sup>			טטו ן
	15		50 <sup>1</sup>	75 <sup>1</sup>	100 <sup>1</sup>	30	30	216	270	13	100			
n41	30		24	36 <sup>1</sup>	50 <sup>1</sup>			100 <sup>1</sup>	128 <sup>1</sup>	162	216 <sup>1</sup>	243 <sup>1</sup>	270 <sup>1</sup>	TDD
<del>   </del>	60		10 <sup>1</sup>	18	24	<del>                                     </del>		50 <sup>1</sup>	64 <sup>1</sup>	75 <sup>1</sup>	100 <sup>1</sup>	120 <sup>1</sup>	1	טטו
	15	25	50 <sup>1</sup>	75 <sup>1</sup>	100 <sup>1</sup>	1		216	270	10	100	120	135	
	30	20	24	36 <sup>1</sup>	50 <sup>1</sup>	1		100 <sup>1</sup>	128 <sup>1</sup>	162	NOTE			1
n50	30			30	30			100	120	102	3			TDD
	60	10 <sup>1</sup>	18	24			50 <sup>1</sup>	64 <sup>1</sup>	75 <sup>1</sup>	NOTE				
	15	25									3			
n51	30													TDD
n51		20												Т

			Оре	erating	band / S	CS / Cha	nnel ba	andwidt	th / Dup	lex mo	de			
Operating Band	SCS kHz	5 MHz	10 MHz	15 MHz	20 MHz	25 MHz	30 MHz	40 MHz	50 MHz	60 MHz	80 MHz	90 MHz	100 MHz	Duplex Mode
	60													
	15	25	50 <sup>1</sup>	75¹	100 <sup>1</sup>			216						
n66	30		24	36 <sup>1</sup>	50 <sup>1</sup>			100 <sup>1</sup>						FDD
	60		10 <sup>1</sup>	18	24			50 <sup>1</sup>						
	15	25	50 <sup>1</sup>	75¹	NOTE 3	NOTE 3								
n70	30		24	36 <sup>1</sup>	NOTE 3	NOTE 3								FDD
	60		10 <sup>1</sup>	18	NOTE 3	NOTE 3								
	15	25	25 <sup>1</sup>	20 <sup>1</sup>	20 <sup>1</sup>									
n71	30		12 <sup>1</sup>	10 <sup>1</sup>	10 <sup>1</sup>									FDD
	60													
	15	25	25 <sup>1</sup>	25 <sup>1</sup>	25 <sup>1</sup>									
N74	30		10 <sup>1</sup>	10 <sup>1</sup>	10 <sup>1</sup>									FDD
	60		5 <sup>1</sup>	5 <sup>1</sup>	5 <sup>1</sup>									
	15		50 <sup>1</sup>	75 <sup>1</sup>	100 <sup>1</sup>			216	270					
n77	30		24	36 <sup>1</sup>	50 <sup>1</sup>			100 <sup>1</sup>	128 <sup>1</sup>	162	216 <sup>1</sup>	243 <sup>1</sup>	270 <sup>1</sup>	TDD
	60	-	10 <sup>1</sup>	18	24			50 <sup>1</sup>	64 <sup>1</sup>	75 <sup>1</sup>	100 <sup>1</sup>	120 <sup>1</sup>	135	
n77 (3.8	15		50 <sup>1</sup>	75 <sup>1</sup>	100 <sup>1</sup>			216	270					
to 4.2	30		24	36 <sup>1</sup>	50 <sup>1</sup>			100 <sup>1</sup>	128 <sup>1</sup>	162	216 <sup>1</sup>	243 <sup>1</sup>	270 <sup>1</sup>	TDD
GHz)	60		10 <sup>1</sup>	18	24			50 <sup>1</sup>	64 <sup>1</sup>	75¹	100 <sup>1</sup>	120 <sup>1</sup>	135	
	15		50 <sup>1</sup>	75¹	100 <sup>1</sup>			216	270					TDD
n78	30		24	36¹	50 <sup>1</sup>			100 <sup>1</sup>	128 <sup>1</sup>	162	216 <sup>1</sup>	243 <sup>1</sup>	270 <sup>1</sup>	
	60		10 <sup>1</sup>	18	24			50 <sup>1</sup>	64 <sup>1</sup>	75 <sup>1</sup>	100 <sup>1</sup>	120 <sup>1</sup>	135	
	15							216	270					
n79	30							100 <sup>1</sup>	128 <sup>1</sup>	162	216¹		270 <sup>1</sup>	
	60							50 <sup>1</sup>	64 <sup>1</sup>	75¹	100 <sup>1</sup>	D <sup>1</sup> 135		

NOTE 1: <sup>1</sup> Refers to the UL resource blocks shall be located as close as possible to the downlink operating band but confined within the transmission bandwidth configuration for the channel bandwidth (Table 5.3.2-1).

NOTE 2: <sup>2</sup> refers to Band 20; for 15kHz SCS, in the case of 15MHz channel bandwidth, the UL resource blocks shall be located at RBstart 11 and in the case of 20MHz channel bandwidth, the UL resource blocks shall be located at RBstart 16; for 30kHz SCS, in the case of 15MHz channel bandwidth, the UL resource blocks shall be located at RBstart 6 and in the case of 20MHz channel bandwidth, the UL resource blocks shall be located at RBstart 8; for 60kHz SCS, in the case of 15MHz channel bandwidth, the UL resource blocks shall be located at RBstart 3 and in the case of 20MHz channel bandwidth, the UL resource blocks shall be located at RBstart 4;

NOTE 3: For DL channel bandwidths that do not have symmetric UL channel bandwidth, highest valid UL configuration with lowest duplex distance shall be used.

Unless given by Table 7.3.2-4, the minimum requirements specified in Tables 7.3.2-1 and 7.3.2-2 shall be verified with the network signalling value NS\_01 (Table 6.2.3-1) configured.

Table 7.3.2-4: Network signaling value for reference sensitivity

Operating band	Network Signalling value
n2	NS_03
n12	NS_06
n25	NS_03
n66	NS_03
n70	NS_03
n71	NS 35

## 7.3.3 $\Delta R_{IB.c}$

<Editor's note: Text to be added >

# 7.3A Reference sensitivity for CA

## 7.3A.1 General

The reference sensitivity power level REFSENS is the minimum mean power applied to each one of the UE antenna ports for all UE categories, at which the throughput shall meet or exceed the requirements for the specified reference measurement channel.

## 7.3A.2 Reference sensitivity power level for CA

## 7.3A.2.1 Reference sensitivity power level for Intra-band contiguous CA

For intra-band contiguous carrier aggregation, the throughput of each component carrier shall be  $\geq$  95% of the maximum throughput of the reference measurement channels as specified in Annex A (with one sided dynamic OCNG Pattern OP.1 FDD/TDD for the DL-signal) with peak reference sensitivity specified in Table 7.3.2-1.

## 7.3A.2.2 Reference sensitivity power level for Intra-band non-contiguous CA

## 7.3A.2.3 Reference sensitivity power level for Inter-band CA

For inter-band carrier aggregation with one component carrier per operating band and the uplink assigned to one NR band the throughput shall be  $\geq$  95% of the maximum throughput of the reference measurement channels as specified in Annex A (with one sided dynamic OCNG Pattern OP.1 FDD/TDD for the DL-signal) with parameters specified in Table 7.3.2-1, Table 7.3.2-2 and Table 7.3.2-3 modified in accordance with sub-clause 7.3A.3.2. The reference sensitivity is defined to be met with all downlink component carriers active and one of the uplink carriers active. Exceptions to reference sensitivity are allowed in accordance with sub-clause 7.3A.4.

## 7.3A.3 $\Delta R_{IB.c}$ for CA

## 7.3A.3.1 General

For a UE supporting a CA configuration, the  $\Delta R_{IB,c}$  applies for both SC and CA operation.

#### 7.3A.3.2 ΔR<sub>IB,c</sub> for Inter-band CA

For the UE which supports inter-band carrier aggregation, the minimum requirement for reference sensitivity in Table 7.3A.3.2-1 shall be increased by the amount given by  $\Delta R_{IB,c}$  defined in subclause 7.3A.3.2 for the applicable operating bands.

## 7.3A.3.2.1 $\Delta R_{IB,c}$ for two bands

Table 7.3A.3.2.1-1:  $\Delta R_{IB,c}$  due to CA (two bands)

Inter-band CA configuration	NR Band	ΔR <sub>IB,c</sub> (dB)
CA_n3-n77	n3	0.2
CA_113-1177	n77	0.5
CA n3A-n78A	n3	0.2
CA_IISA-II76A	n78	0.5
CA_n3-n79	n79	0.5
CA n8A-n78A	n8	0.2
CA 110A-1170A	n78	0.5
CA_n8-n79	n79	0.5
CA n28-n75	n28	0.2
CA 20A 270A	n28	0.2
CA_n28A-n78A	n78	0.5
CA_n41A-n78A <sup>1</sup>	n78	0.5
CA_n75A-n78A	n78	0.5
CA_n76A-n78A	n78	0.5

NOTE 1: The requirements only apply when the sub-frame and Tx-Rx timings are synchronized between the component carriers. In the absence of synchronization, the requirements are not within scope of these specifications.

## 7.3A.3.2.2 $\Delta R_{IB,c}$ for three bands

Table 7.3A.3.2.2-1: ΔR<sub>IB,c</sub> due to CA (three bands)

Inter-band CA configuration	NR Band	ΔR <sub>IB,c</sub> (dB)

# 7.3A.4 Reference sensitivity exceptions due to UL harmonic interference for CA

Sensitivity degradation is allowed for a band in frequency range 1 if it is impacted by UL harmonic interference from another band in frequency range 1 of the same CA configuration. Reference sensitivity exceptions are specified in Table 7.3A.4-1 with uplink configuration specified in Table 7.3A.4-2.

Table 7.3A.4-1: Reference sensitivity exceptions due to UL harmonic for NR CA FR1

			М	SD due	to harm	onic exc	eption f	or the D	L band				
UL band	DL band	5 MHz	10 MHz	15 MHz	20 MHz	25 MHz	30 MHz	40 MHz	50 MHz	60 MHz	80 MHz	90 MHz	100 MHz
		dB	dB	dB	dB	dB	dB	dB	dB	dB	dB	dB	dB
n3	n77 <sup>1,2</sup>		23.9	22.1	20.9			17.9	16.9	16.1			
113	n77 <sup>3</sup>		1.1	0.8	0.3								
n3	n78 <sup>1,2</sup>		23.9	22.1	20.9			17.9	16.9	16.1			
	n78³		1.1	0.8	0.3								
n8	n78 <sup>4,5</sup>		10.8	9.1	8.0			5.1	4.2	3.5	2.3		1.4
n8	n78 <sup>1,2</sup>		10.8	9.1	8			3.5	2.3	1.4			
n8	n79 <sup>1,2</sup>							[6.8]	6.2	[5.6]	4.9		4.4
n28	n75 <sup>1,2</sup>	28.1	25.3	24.0	22.8								
1120	n78 <sup>1,2</sup>		[10.4]	[8.9]	[7.8]			[4.7]	[3.7]	[3]	[1.7]	[1.2]	[0.7]

- NOTE 1: These requirements apply when there is at least one individual RE within the uplink transmission bandwidth of the aggressor (lower) band for which the 2nd transmitter harmonic is within the downlink transmission bandwidth of a victim (higher) band.

- NOTE 4: These requirements apply when there is at least one individual RE within the uplink transmission bandwidth of a low band for which the 4<sup>th</sup> transmitter harmonic is within the downlink transmission bandwidth of a high band.
- NOTE 5: The requirements should be verified for UL NR-ARFCN of a low band (superscript LB) such that  $f_{\scriptscriptstyle UL}^{\scriptscriptstyle LB} = \left\lfloor f_{\scriptscriptstyle DL}^{\scriptscriptstyle HB} / 0.4 \right\rfloor 0.1_{\rm in~MHz~and} \ F_{\scriptscriptstyle UL\_low}^{\scriptscriptstyle LB} + BW_{\scriptscriptstyle Channel}^{\scriptscriptstyle LB} / 2 \leq f_{\scriptscriptstyle UL}^{\scriptscriptstyle LB} \leq F_{\scriptscriptstyle UL\_high}^{\scriptscriptstyle LB} BW_{\scriptscriptstyle Channel}^{\scriptscriptstyle LB} / 2 \text{ with } f_{\scriptscriptstyle DL}^{\scriptscriptstyle HB} \text{ the carrier frequency of a high band in MHz and } BW_{\scriptscriptstyle Channel}^{\scriptscriptstyle LB} \text{ the channel bandwidth configured in the low band.}$

Table 7.3A.4-2: Uplink configuration for reference sensitivity exceptions due to UL harmonic interference for NR CA, FR1

				NR Ban	d / Chan	nel ban	dwidth c	of the hi	gh band				
UL band	DL band	5 MHz	10 MHz	15 MHz	20 MHz	25 MHz	30 MHz	40 MHz	50 MHz	60 MHz	80 MHz	90 MHz	100 MHz
n3	n77		26	39	53			106	133	160			
n3	n78		26	39	53			106	133	160		25	
n8	n78		16	25	25			25	25	25	25	25	25
n8	n79							25	25	25	25		25
n28	n75	12	25	36	50								
n28	n78	5	10	15	20								
NOTE:	15kHz	SCS is	assume	d for UL	band.								

For unsynchronized operation, Rx de-sensing in one band will be caused by another band due to lack of isolation in the band filters. Reference sensitivity exceptions for cross band are specified in Table 7.3A.4-3 with uplink configuration specified in Table 7.3A.4-4.

Table 7.3A.4-3: MSD for the CA configuration for asynchronous operation and cross band isolation for CA

	Channel bandwidth											
NR CA Configuration												
CA_n41A-n78A	n41		90.3	- 88.5	- 87.3	- 84.1	- 83.1					TDD

Table 7.3A.4-3a: Uplink configuration for reference sensitivity exceptions due to cross band isolation for CA

	NR Band / Channel bandwidth of the high band												
UL         DL         SCS         5         10         15         20         25         30         40         50         60         80         90         100           band         band         (kHz)         MHz         MHz <t< th=""></t<>													
n78												273	

Table 7.3A.4-4: Reference sensitivity exceptions due to harmonic mixing for CA in NR FR1

UL band	DL band	5 MHz (dB)	10 MHz (dB)	15 MHz (dB)	20 MHz (dB)	25 MHz (dB)	40 MHz (dB)	50 MHz (dB)	60 MHz (dB)	80 MHz (dB)	90 MHz (dB)	100 MHz (dB)
n41	n78¹	N/A	8.3	8.0	6.9	N/A	3.9	3	2.3	1.2		0.4
n78	n41 <sup>2</sup>	N/A	10.4	10.4	10.4	N/A	7.2	6.2	5.5	4.5		4.5

NOTE 1: The requirements should be verified for UL EARFCN of the aggressor (lower) band (superscript LB) such that  $f_{UL}^{LB} = \left \lfloor f_{DL}^{HB} / 0.15 \right \rfloor 0.1$  in MHz and  $F_{UL\_low}^{LB} + BW_{Channel}^{LB} / 2 \le f_{UL}^{LB} \le F_{UL\_high}^{LB} - BW_{Channel}^{LB} / 2$  with  $f_{DL}^{HB}$  carrier frequency in the victim (higher) band in MHz and  $\frac{BW_{Channel}^{LB}}{BW_{Channel}}$  the channel bandwidth configured in the lower band

NOTE 2: The requirements should be verified for UL EARFCN of the aggressor (high) band (superscript HB) such that  $f_{\mathit{UL}}^{\mathit{LB}} = \lfloor 15 * f_{\mathit{DL}}^{\mathit{HB}} \rfloor 0.1_{\mathsf{in}} \; \mathsf{MHz} \; \mathsf{and} \; F_{\mathit{UL\_low}}^{\mathit{HB}} + BW_{\mathit{Channel}}^{\mathit{HB}} \; / 2 \leq f_{\mathit{UL}}^{\mathit{HB}} \leq f_{\mathit{UL\_high}}^{\mathit{HB}} - BW_{\mathit{Channel}}^{\mathit{HB}} \; / 2 \; \mathsf{with} \; f_{\mathit{DL}}^{\mathit{LB}} \; \mathsf{carrier} \; frequency in the victim (lower) band in MHz and <math>BW_{\mathit{Channel}}^{\mathit{LB}} \; \mathsf{deg} \; \mathsf{deg}$ 

Table 7.3A.4-4a: Uplink configuration for reference sensitivity exceptions due to receiver harmonic mixing for CA in NR FR1

UL band	DL band	SCS (kHz)	5 MHz	10 MHz	15 MHz	20 MHz	25 MHz	40 MHz	50 MHz	60 MHz	80 MHz	90 MHz	100 MHz
n41	n78	30	N/A	24	24	24	N/A	24	24	24	24	24	24
n78	n41	30	N/A	50	50	50	N/A	50	50	50	50	50	50

# 7.3A.5 Reference sensitivity exceptions due to intermodulation interference due to 2UL CA

For inter-band carrier aggregation with uplink assigned to two NR bands given in Table 7.3A.5-1 the reference sensitivity is defined only for the specific uplink and downlink test points specified in Table 7.3A.5-1. For these test points the reference sensitivity requirement specified in Table 7.3.2-1 and Table 7.3.2-2 are relaxed by the amount of the corresponding parameter MSD given in Table 7.3A.5-1.

Table 7.3A.5-1: 2DL/2UL interband Reference sensitivity QPSK PREFSENS and uplink/downlink configurations

	Band / C	hannel ban	dwidth / N <sub>R</sub>	в / Duplex n	node			Source of IMD					
NR CA Configuration	Configuration NR band (MHz) BW (MHz) (dB) mode												
CA_n3A-n78A	n3	1740	5	25	1835	[26] [28.7 <sup>5</sup> ]	FDD	IMD2 <sup>4</sup>					
	n78	3575	10	25	3575	N/A	TDD	N/A					
CA_n3A-n78A	n3	1765	5	25	1860	[8.0] [10.7 <sup>5</sup> ]	FDD	IMD4 <sup>4</sup>					
	n78	3435	10	25	3435	N/A	TDD	N/A					
CA noA n70A	n8	897.5	5	25	942.5	8.3	FDD	IMD4					
CA_n8A-n78A	n78	3635	10	52	3635	N/A	TDD	N/A					

- NOTE 1: Both of the transmitters shall be set min(+20 dBm, PcMAX\_L,f,c) as defined in subclause 6.2A.4
- NOTE 2: RB<sub>START</sub> = 0, 15kHz SCS is assumed.
- NOTE 3: No requirements apply when there is at least one individual RE within the intermodulation generated by the dual uplink is within the downlink transmission bandwidth of the FDD band. The reference sensitivity should only be verified when this is not the case (the requirements specified in clause 7.3 apply).
- NOTE4: This band is subject to IMD5 also which MSD is not specified.
- NOTE 5: Applicable only if operation with 4 antenna ports is supported in the band with carrier aggregation configured.

# 7.3B Reference sensitivity for DC

# 7.3C Reference sensitivity for SUL

## 7.3C.1General

The reference sensitivity power level REFSENS is the minimum mean power applied to each one of the UE antenna ports for all UE categories, at which the throughput shall meet or exceed the requirements for the specified reference measurement channel.

# 7.3C.2Reference sensitivity power level for SUL

For SUL operation, the reference receive sensitivity (REFSENS) requirement for downlink bands specified in Table 7.3.2-1 shall be met for an uplink transmission bandwidth less than or equal to that specified in Table 7.3.2-3 or supplementary uplink transmission bandwidth less than or equal to that specified in Table 7.3C.2-1.

Table 7.3C.2-1: Supplementary Uplink configuration for reference sensitivity

Downlink b			hannel	Downlink band/ Uplink band / Channel bandwidth / N <sub>RB</sub>												
Downlink band	Uplink band	5 MHz	10 MHz	15 MHz	20 MHz											
n78	n80	25	52	79	106											
n78	n81	25	52	79	106											
n78	n82	25	52	79	106											
n78	n83	25	52	79	106											
n78	n84	25	52	79	106											
n78	n86	25	52	79	106											
n79	n80	25	52	79	106											
n79	n81	25	52	79	106											

For the UE that supports any of the SUL operation given in Table 7.3C.2-2, exceptions to the requirements specified in Table 7.3.2-1 are allowed when the uplink is active in a lower frequency band and is within a specified frequency range such that transmitter harmonics fall within the downlink transmission bandwidth assigned in a higher band as noted in Table 7.3C.2-2. For these exceptions, the UE shall meet the requirements specified in Table 7.3C.2-2 and Table 7.3C.2-3.

Table 7.3C.2-2: Reference sensitivity for SUL operation (exceptions due to harmonic issue)

UL	DL	5	10	15	20	25	30	40	50	60	80	90	100
band	band	MHz	MHz	MHz	MHz	MHz	MHz	MHz	MHz	MHz	MHz	MHz	MHz
		dB	dB	dB	dB	dB	dB	dB	dB	dB	dB	dB	dB
n80	n78 <sup>1,2</sup>		23.9	22.1	20.9			17.9					
	n78³		1.1	0.8	0.3								
n82	n78 <sup>4,5</sup>		10.8	9.1	8			6					
n81	n78 <sup>1,2</sup>		10.8	9.1	8			5.1	4.2	3.5	2.3		1.4
n81	n78 <sup>6,7</sup>		10.4	8.9	7.8			4.7	3.7	3	1.7	1.2	0.7
n86	n78 <sup>1,2</sup>		23.9	22.1	20.9			17.9					
1100	n78³		1.1	0.8	0.3								
n81	n79 <sup>6,7</sup>							[6.8]	6.2	[5.6]	4.9		4.4

- NOTE 1: These requirements apply when there is at least one individual RE within the uplink transmission bandwidth of the aggressor (lower) band for which the 2nd transmitter harmonic is within the downlink transmission bandwidth of a victim (higher) band.

- NOTE 4: These requirements apply when there is at least one individual RE within the uplink transmission bandwidth of the aggressor (lower) band for which the 4<sup>th</sup> transmitter harmonic is within the downlink transmission bandwidth of a victim (higher) band.
- NOTE 5: The requirements should be verified for UL EARFCN of the aggressor (lower) band (superscript LB) such that  $f_{UL}^{LB} = \left \lfloor f_{DL}^{HB} / 0.4 \right \rfloor 0.1$  in MHz and  $F_{UL_{low}}^{LB} + BW_{Channel}^{LB} / 2 \le f_{UL}^{LB} \le F_{UL_{high}}^{LB} BW_{Channel}^{LB} / 2$  with  $f_{DL}^{HB}$  carrier frequency in the victim (higher) band in MHz and  $BW_{Channel}^{LB}$  the channel bandwidth configured in the lower band
- NOTE 6: The requirements should be verified for UL EARFCN of the aggressor (lower) band (superscript LB) such that  $f_{UL}^{LB} = \left \lfloor f_{DL}^{HB} / 0.5 \right \rfloor \!\!\!\! D.1$  in MHz and  $F_{UL\_low}^{LB} + BW_{Channel}^{LB} / 2 \le f_{UL}^{LB} \le F_{UL\_high}^{LB} BW_{Channel}^{LB} / 2$  with  $f_{DL}^{HB}$  carrier frequency in the victim (higher) band in MHz and  $BW_{Channel}^{LB}$  the channel bandwidth configured in the lower band
- NOTE 7: These requirements apply when there is at least one individual RE within the uplink transmission bandwidth of the aggressor (lower) band for which the 4<sup>th</sup> transmitter harmonic is within the downlink transmission bandwidth of a victim (higher) band.

Table 7.3C.2-3: Supplementary Uplink configuration (exceptions due to harmonic issue)

NR Band / Channel bandwidth of the high band													
UL band	DL band	5 MHz (N <sub>RB</sub> )	10 MHz (N <sub>RB</sub> )	15 MHz (N <sub>RB</sub> )	20 MHz (N <sub>RB</sub> )	25 MHz (N <sub>RB</sub>	30 MHz (N <sub>RB</sub>	40 MHz (N <sub>RB</sub>	50 MHz (N <sub>RB</sub>	60 MHz (N <sub>RB</sub>	80 MHz (N <sub>RB</sub>	90 MHz (N <sub>RB</sub>	100 MHz (N <sub>RB</sub>
n80	n78		25	36	50			100					
n81	n78		16	25	25			25	25	25	25	25	25
n81	n79							25	25	25	25	25	25
n83	n78		10	15	20			25	25	25	25	25	25
n86	n78		26	39	53			100					

## 7.3C.3AR<sub>IB.c</sub> for SUL

## 7.3C.3.1 General

For a UE supporting a SUL configuration, the  $\Delta R_{IB,c}$  applies for both SC and SUL operation.

#### 7.3C.3.2 SUL band combination

For the UE which supports SUL band combination, the minimum requirement for reference sensitivity in Table 7.3C.2-1 shall be increased by the amount given in  $\Delta R_{IB,c}$  defined in subclause 7.3C.3.2 for the applicable operating bands.

#### 7.3C.3.2.1 $\Delta R_{IB,c}$ for two bands

Table 7.3C.3.2.1-1:  $\Delta R_{IB,c}$  due to SUL (two bands)

Band combination for SUL	NR Band	ΔR <sub>IB,c</sub> (dB)
SUL_n78-n80	n78	0.5
30L_1176-1160	n80	0.2
SUL n78-n81	n78	0.2
30L_1176-1161	n81	0.2
SUL_n78-n82	n78	0.5
SUL_n78-n83	n78	0.5
30L_1176-1163	n83	0.2
SUL_n78-n84	n78	0.5
SUL n78-n86	n78	0.5
30L_11/6-1166	n86	0.2

# 7.3D Reference sensitivity for UL-MIMO

For UE with two transmitter antenna connectors in closed-loop spatial multiplexing scheme, the minimum requirements specified in subclause 7.3 shall be met with the UL-MIMO configurations described in sub-clause 6.2D.1. For UL-MIMO, the parameter  $P_{\text{UMAX}}$  is the total transmitter power over the two transmits power over the two transmit antenna connectors.

# 7.4 Maximum input level

Maximum input level is defined as the maximum mean power received at the UE antenna port, at which the specified relative throughput shall meet or exceed the minimum requirements for the specified reference measurement channel. The throughput shall be  $\geq 95\%$  of the maximum throughput of the reference measurement channels as specified in Annex A.3.2 (with one sided dynamic OCNG Pattern OP.1 FDD/TDD as described in Annex A.5.1.1/A.5.2.1) with parameters specified in Table 7.4-1.

Table 7.4-1: Maximum input level

Rx	Units		Channel bandwidth										
Parameter		5 MHz	10 MHz	15 MHz	20 MHz	25 MHz	30 MHz	40 MHz	50 MHz	60 MHz	80 MHz	90 MHz	100 MHz
Power in			-25 <sup>2</sup>			-24 <sup>2</sup>	-23 <sup>2</sup>	-22 <sup>2</sup>	-21 <sup>2</sup>	-202			
Transmission Bandwidth Configuration	dBm		-2	7 <sup>3</sup>		-26 <sup>3</sup>	-25 <sup>3</sup>	-24 <sup>3</sup>	-23 <sup>3</sup>		-2	2 <sup>3</sup>	

NOTE 1: The transmitter shall be set to 4dB below P<sub>CMAX</sub> at the minimum uplink configuration specified in Table 7.3-3 with P<sub>CMAX</sub> as defined in subclause 6.2.4.

NOTE 2: Reference measurement channel is [TBD] for 64-QAM.

NOTE 3: Reference measurement channel is [TBD] for 256-QAM.

# 7.4A Maximum input level for CA

# 7.4A.1 Maximum input level for Intra-band contiguous CA

For carrier aggregation maximum input level is defined as the exact wording TBD, over the aggregated receiver bandwidth, at which the specified relative throughput shall meet or exceed the minimum requirements for the specified reference measurement channel over each component carrier. The minimum requirement is the same as the one specified in Table 7.4-1.

## 7.4A.2 Maximum input level for Intra-band non-contiguous CA

## 7.4A.3 Maximum input level for Inter-band CA

For inter-band carrier aggregation with one component carrier per operating band and the uplink assigned to one NR band, the maximum input level is defined with the uplink active on the band(s) other than the band whose downlink is being tested. The UE shall meet the requirements specified in subclause 7.4 for each component carrier while all downlink carriers are active.

# 7.4D Maximum input level for UL-MIMO

For UE with two transmitter antenna connectors in closed-loop spatial multiplexing, the minimum requirements specified in sub-clause 7.4 shall be met with the UL-MIMO configurations described in sub-clause 6.2D.1. For UL-MIMO, the parameter  $P_{\text{CMAX\_L}}$  is defined as the total transmitter power over the two transmit antenna connectors.

# 7.5 Adjacent channel selectivity

Adjacent channel selectivity (ACS) is a measure of a receiver's ability to receive an NR signal at its assigned channel frequency in the presence of an adjacent channel signal at a given frequency offset from the centre frequency of the assigned channel. ACS is the ratio of the receive filter attenuation on the assigned channel frequency to the receive filter attenuation on the adjacent channel(s).

The UE shall fulfil the minimum requirements specified in Table 7.5-1 for NR bands with  $F_{DL\_high} < 2700$  MHz and  $F_{UL\_high} < 2700$  MHz and the minimum requirements specified in Table 7.5-2. for NR bands with  $F_{DL\_low} \ge 3300$  MHz and  $F_{UL\_low} \ge 3300$  MHz. These requirements apply for all values of an adjacent channel interferer up to -25 dBm and for any SCS specified for the channel bandwidth of the wanted signal. However, it is not possible to directly measure the ACS; instead the lower and upper range of test parameters are chosen as in Table 7.5-3 and Table 7.5-4 for verification of the requirements specified in Table 7.5-1 and as in Table 7.5-5, and Table 7.5-6 for verification of the requirements specified in Table 7.5-2. For these test parameters, the throughput shall be  $\ge 95\%$  of the maximum throughput of the reference measurement channels as specified in [Annexes A.2.2, A.2.3 and A.3.2 (with one sided dynamic OCNG Pattern OP.1 FDD/TDD for the DL-signal as described in Annex A.5.1.1/A.5.2.1)]. For operating bands with an unpaired DL part (as noted in [Table 5.5-1]), the requirements only apply for carriers assigned in the paired part.

Table 7.5-1: ACS for NR bands with  $F_{DL\ high}$  < 2700 MHz and  $F_{UL\ high}$  < 2700 MHz

RX parameter	Units	Channel bandwidth					
		5 MHz	10 MHz	15 MHz	20 MHz	25 MHz	
ACS	dB	[33]	[33]	[30]	[27]	[26]	
RX parameter	Units	Channel bandwidth					
		30 MHz	40 MHz	50 MHz	60 MHz	80 MHz	
ACS	dB	[25.5]	[24]	[23]	[22.5]	[21]	
RX parameter	Units		Cha	annel bandw	idth		
		90 MHz	100 MHz				
ACS	dB	[20.5]	[20]				

Table 7.5-2: ACS for NR bands with F<sub>DL</sub> low ≥ 3300 MHz and F<sub>UL</sub> low ≥ 3300 MHz

RX parameter	Units	Channel bandwidth						
		10 MHz	15 MHz	20 MHz	40 MHz	50 MHz		
ACS	dB	[33]	[33]	[33]	[33]	[33]		
RX parameter	Units	Channel bandwidth						
		60 MHz	80 MHz	90 MHz	100 MHz			
ACS	dB	[33]	[33]	[33]	[33]			

Table 7.5-3: Test parameters for NR bands with  $F_{DL\_high}$  < 2700 MHz and  $F_{UL\_high}$  < 2700 MHz, case 1

RX parameter	Units		CI	hannel bandwid	lth	
•		5 MHz	10 MHz	15 MHz	20 MHz	25 MHz
Power in transmission bandwidth configuration	dBm		R	REFSENS + 14 d	В	
Pinterferer	dBm	REFSENS + [45.5] dB	REFSENS + [45.5] dB	REFSENS + [42.5] dB	REFSENS + [39.5] dB	REFSENS + [38.5] dB
BWinterferer	MHz	5	5	5	5	5
Finterferer (offset)	MHz	5 / -5	7.5 / -7.5	10 / -10	12.5 / -12.5	15 / -15
RX parameter	Units	-		hannel bandwid		
		30 MHz	40 MHz	50 MHz	60 MHz	80 MHz
Power in transmission bandwidth configuration	dBm		R	REFSENS + 14 d	В	
P <sub>interferer</sub>	dBm	REFSENS + [38] dB	REFSENS + [36.5] dB	REFSENS + [35.5] dB	REFSENS + [35] dB	REFSENS + [33.5] dB
BWinterferer	MHz	5	5	5	5	5
Finterferer (offset)	MHz	17.5 / -17.5	22.5 / -22.5	27.5 / -27.5	32.5 / -32.5	42.5 / -42.5
RX parameter	Units			hannel bandwid	th	
		90 MHz	100 MHz			
Power in transmission bandwidth configuration	dBm	REFSEN	S + 14 dB			
Pinterferer	dBm	REFSENS + [33] dB	REFSENS + [32.5] dB			
BW <sub>interferer</sub>	MHz	5	5			
Finterferer (offset)	MHz	47.5 / -47.5	52.5 / -52.5			

NOTE 1: The transmitter shall be set to 4dB below [...].

NOTE 2: The absolute value of the interferer offset  $F_{interferer}$  (offset) shall be further adjusted to  $([F_{interferer} \mid /SCS \mid + 0.5)SCS$  MHz with SCS the sub-carrier spacing of the wanted signal in MHz.

The interferer is an NR signal with an SCS equal to that of the wanted signal. NOTE 3: The interferer consists of the NR interferer RMC specified in [...]

Table 7.5-4: Test parameters for NR bands with  $F_{DL\_high}$  < 2700 MHz and  $F_{UL\_high}$  < 2700 MHz, case 2

RX parameter	Units		C	hannel bandwid	ith	
		5 MHz	10 MHz	15 MHz	20 MHz	25 MHz
Power in transmission bandwidth configuration	dBm	[-56.5]	[-56.5]	[-53.5]	[-50.5]	[-49.5]
Pinterferer	dBm		L	-25	l	· L
BWinterferer	MHz	5	5	5	5	5
Finterferer (offset)	MHz	5 / -5	7.5 / -7.5	10 / -10	12.5 / -12.5	15 / -15
RX parameter	Units		C	hannel bandwid	ith	
		30 MHz	40 MHz	50 MHz	60 MHz	80 MHz
Power in transmission bandwidth configuration	dBm	[-49]	[-47]	[-46.5]	[-46]	[-44.5]
Pinterferer	dBm			-25		
BW <sub>interferer</sub>	MHz	5	5	5	5	5
F <sub>interferer</sub> (offset)	MHz	17.5 / -17.5	22.5 / -22.5	27.5 / -27.5	32.5 / -32.5	42.5 / -42.5
RX parameter	Units			hannel bandwid		
		90 MHz	100 MHz			
Power in transmission bandwidth configuration	dBm	[-44]	[-43.5]			
Pinterferer	dBm	-	25			
BWinterferer	MHz	5	5			
Finterferer (offset)	MHz	47.5 / -47.5	52.5 / -52.5			

NOTE 1: The transmitter shall be set to 24 dB below [...]. NOTE 2: The absolute value of the interferer offset  $F_{interferer}$  (offset) shall be further adjusted to  $(|F_{interferer}|/SCS|+0.5)SCS$  MHz with SCS the sub-carrier spacing of the wanted signal in MHz.

The interferer is an NR signal with an SCS equal to that of the wanted signal. NOTE 3: The interferer consists of the RMC specified in [...]

Table 7.5-5: Test parameters for NR bands with F<sub>DL\_low</sub> ≥ 3300 MHz and F<sub>UL\_low</sub> ≥ 3300 MHz, case 1

RX parameter	Units		CI	nannel bandwid	lth			
		10 MHz	15 MHz	20 MHz	40 MHz	50 MHz		
Power in transmission bandwidth configuration	dBm		R	EFSENS + 14 d	В			
Pinterferer	dBm		REFSENS + [45.5] dB					
BWinterferer	MHz	10	15	20	40	50		
Finterferer (offset)	MHz	10 / -10	15 / -15	20 / -20	40 / -40	50 / -50		
RX parameter	Units		CI	nannel bandwid	th			
_		60 MHz	80 MHz	90 MHz	100 MHz			
Power in transmission bandwidth configuration	dBm		REFSENS	S + 14 dB				
Pinterferer	dBm	REFSENS + [45.5] dB	REFSENS + [45.5] dB	REFSENS + [45.5] dB	REFSENS + [45.5] dB			
BW <sub>interferer</sub>	MHz	60	80	90	100			
Finterferer (offset)	MHz	60	80	90	100			
		/	/	/	/			
		-60	-80	-90	-100			

NOTE 1: The transmitter shall be set to 4dB below [...].

NOTE 2: The absolute value of the interferer offset  $F_{\text{interferer}}$  (offset) shall be further adjusted to  $(|F_{\text{interferer}}|/SCS|+0.5)SCS$  MHz with SCS the sub-carrier spacing of the wanted signal in MHz. The interferer is an NR signal with an SCS equal to that of the wanted signal.

NOTE 3: The interferer consists of the RMC specified in [...]

Table 7.5-6: Test parameters for NR bands with F<sub>DL\_low</sub> ≥ 3300 MHz and F<sub>UL\_low</sub> ≥ 3300 MHz, case 2

RX parameter	Units		CI	hannel bandwid	lth	
-		10 MHz	15 MHz	20 MHz	40 MHz	50 MHz
Power in	dBm					
transmission bandwidth configuration				[-56.5]		
Pinterferer	dBm			-25		
BWinterferer	MHz	10	15	20	40	50
Finterferer (offset)	MHz	10	15	20	40	50
		/	/	/	/	/
		-10	-15	-20	-40	-50
RX parameter	Units		CI	hannel bandwid	lth	
		60 MHz	80 MHz	90 MHz	100 MHz	
Power in transmission bandwidth configuration	dBm		[-56	6.5]		
Pinterferer	dBm	-25	-25	-25	-25	
BW <sub>interferer</sub>	MHz	60	80	90	100	
Finterferer (offset)	MHz	60	80	90	100	
		/	/	/	/	
		-60	-80	-90	-100	

NOTE 1: The transmitter shall be set to 24 dB below [...]. NOTE 2: The absolute value of the interferer offset  $F_{interferer}$  (offset) shall be further adjusted to  $(|F_{interferer}|/SCS|+0.5)SCS$  MHz with SCS the sub-carrier spacing of the wanted signal in MHz.

The interferer is an NR signal with an SCS equal to that of the wanted signal.

NOTE 3: The interferer consists of the RMC specified in [...]

# 7.5A Adjacent channel selectivity for CA

## 7.5A.1 Adjacent channel selectivity for Intra-band contiguous CA

For intra-band contiguous carrier aggregation with two component carriers and aggregated bandwidth BWChannel\_CA shall be configured at nominal channel spacing tothe PCC. The UE shall fulfil the minimum requirement specified in Table 7.5A.1-1 for an adjacent channel interferer on either side of the aggregated downlink signal at a specified frequency offset and for an interferer power up to -25 dBm.

The throughput of each carrier shall be  $\geq$  95% of the maximum throughput of the reference measurement channels as specified in Annexes A.X.X (with one sided dynamic OCNG Pattern for the DL-signal as described in Annex A.X.X.X) with parameters specified in Tables 7.5A.1-2 and 7.5A.1-3.

Table 7.5A.1-1: ACS for intra-band contiguous CA with F<sub>DL low</sub> ≥ 3300 MHz and F<sub>UL low</sub> ≥ 3300 MHz

RX parameter	Units		Cha	nnel bandw	idth	
		110 MHz	120 MHz	130 MHz	140 MHz	150 MHz
ACS	dB	[33]	[33]	[33]	[33]	[33]
RX parameter	Units		Cha	nnel bandw	idth	
		160 MHz	180 MHz	200 MHz		
ACS	dB	[33]	[33]	[33]		

Table 7.5A.1-2: Test parameters for intra-band contiguous CA with F<sub>DL\_low</sub> ≥ 3300 MHz and F<sub>UL\_low</sub> ≥ 3300 MHz, case 1

RX parameter	Units		CI	nannel bandwid	th			
-		110 MHz	120 MHz	130 MHz	140 MHz	150 MHz		
Power in transmission bandwidth configuration	dBm		R	EFSENS + 14 di	8			
Pinterferer	dBm		RE	FSENS + [45.5]	dB			
BWinterferer	MHz	110	120	130	140	150		
Finterferer (offset)	MHz	110 / -110	120 / -120	130 / -130	140 / -140	150 / -150		
RX parameter	Units		Channel bandwidth					
•		160 MHz	180 MHz	200 MHz				
Power in transmission bandwidth configuration	dBm	REFSENS + 14 dB	REFSENS + 14 dB	REFSENS + 14 dB				
P <sub>interferer</sub>	dBm	REFSENS + [45.5] dB	REFSENS + [45.5] dB	REFSENS + [45.5] dB				
BWinterferer	MHz	160	180	200				
Finterferer (offset)	MHz	160 / -160	180 / -180	200 / -200				

NOTE 1: The transmitter shall be set to 4dB below [...].

NOTE 2: The absolute value of the interferer offset  $F_{\text{interferer}}$  (offset) shall be further adjusted to  $([F_{\text{interferer}} \mid /SCS \mid + 0.5)SCS]$  MHz with SCS the sub-carrier spacing of the wanted signal in MHz.

The interferer is an NR signal with an SCS equal to that of the wanted signal.

NOTE 3: The interferer consists of the RMC specified in [...]

Table 7.5A.1-3: Test parameters for intra-band contiguous CA with F<sub>DL\_low</sub> ≥ 3300 MHz and F<sub>UL\_low</sub> ≥ 3300 MHz, case 2

RX parameter	Units		CI	nannel bandwid	lth	
		110 MHz	120 MHz	130 MHz	140 MHz	150 MHz
Power in	dBm					
transmission bandwidth configuration				[-56.5]		
Pinterferer	dBm			-25		
BWinterferer	MHz	110	120	130	140	150
Finterferer (offset)	MHz	110	120	130	140	150
		/	/	/	/	/
		-110	-120	-130	-140	-150
RX parameter	Units		CI	nannel bandwid	lth	
		160 MHz	180 MHz	200 MHz		
Power in transmission bandwidth configuration	dBm	[-56.5]	[-56.5]	[-56.5]		
Pinterferer	dBm	-25	-25	-25		
BWinterferer	MHz	160	180	200		
Finterferer	MHz	160	180	200		
(offset)		/	/	/		
, ,		-160	-180	-200		

NOTE 1: The transmitter shall be set to 4dB below [...].

NOTE 2: The absolute value of the interferer offset  $F_{\text{interferer}}$  (offset) shall be further adjusted to  $(|F_{\text{interferer}}|/SCS|+0.5)SCS$  MHz with SCS the sub-carrier spacing of the wanted signal in MHz.

The interferer is an NR signal with an SCS equal to that of the wanted signal.

NOTE 3: The interferer consists of the RMC specified in [...]

## 7.5A.2 Adjacent channel selectivity Intra-band non-contiguous CA

Detailed structure of the subclause is TBD.

# 7.5A.3 Adjacent channel selectivity Inter-band CA

For inter-band carrier aggregation with one component carrier per operating band and the uplink assigned to one NR band, the adjacent channel requirements are defined with the uplink active on the band(s) other than the band whose downlink is being tested. The UE shall meet the requirements specified in subclause 7.5 for each component carrier while all downlink carriers are active.

The throughput of each carrier shall be  $\geq$  95% of the maximum throughput of the reference measurement channels as specified in Annexes A.X.X (with one sided dynamic OCNG Pattern for the DL-signal as described in Annex A.X.X.X).

# 7.5D Adjacent channel selectivity for UL-MIMO

For UE(s) with two transmitter antenna connectors in closed-loop spatial multiplexing scheme, the minimum requirements specified in sub-clause 7.5 shall be met with the UL-MIMO configurations described in sub-clause 6.2D.1. For UL-MIMO, the parameter  $P_{CMAX\_L}$  is defined as the total transmitter power over the two transmit antenna connectors.

# 7.6 Blocking characteristics

#### 7.6.1 General

The blocking characteristic is a measure of the receiver's ability to receive a wanted signal at its assigned channel frequency in the presence of an unwanted interferer on frequencies other than those of the spurious response or the adjacent channels, without this unwanted input signal causing a degradation of the performance of the receiver beyond a specified limit. The blocking performance shall apply at all frequencies except those at which a spurious response occurs.

## 7.6.2 In-band blocking

For NR bands with  $F_{DL\_high}$  < 2700 MHz and  $F_{UL\_high}$  < 2700 in-band blocking (IBB) is defined for an unwanted interfering signal falling into the UE receive band or into the first 15 MHz below or above the UE receive band. The throughput of the wanted signal shall be  $\geq$  95% of the maximum throughput of the reference measurement channels as specified in Annexes A.2.2, A.2.3 and A.3.2 (with one sided dynamic OCNG Pattern OP.1 FDD/TDD for the DL-signal as described in Annex A.5.1.1/A.5.2.1) with parameters specified in Table 7.6.2-1 and Table 7.6.2-2. The said relative throughput requirement shall be met for any SCS specified for the channel bandwidth of the wanted signal. For operating bands with an unpaired DL part (as noted in Table 5.5-1), the requirements only apply for carriers assigned in the paired part.

Table 7.6.2-1: In-band blocking parameters for NR bands with  $F_{DL\_high}$  < 2700 MHz and  $F_{UL\_high}$  < 2700 MHz

RX parameter	Units		Cl	nannel bandwid	lth		
-		5 MHz	10 MHz	15 MHz	20 MHz	25 MHz	
Power in	dBm		REFSENS +	channel specific	value below		
transmission	dB	6	6	7	9	10	
bandwidth							
configuration							
BWinterferer	MHz			5			
Floffset, case 1	MHz			7.5			
Floffset, case 2	MHz		12.5				
RX parameter	Units			nannel bandwid	ith		
		30 MHz	40 MHz	50 MHz	60 MHz	80 MHz	
Power in	dBm		REFSENS + channel specific value below				
transmission							
bandwidth	dB	11	12	13	14	15	
configuration							
BWinterferer	MHz			5			
Floffset, case 1	MHz			7.5			
Floffset, case 2	MHz			12.5			
RX parameter	Units			nannel bandwic	lth		
		90 MHz	100 MHz				
Power in	dBm						
transmission			channel specific				
bandwidth		value	below				
configuration			1				
	dB	15.5	16				
BWinterferer							
Floffset, case 1							
Floffset, case 2	MHz		2.5				
BWinterferer Floffset, case 1 Floffset, case 2 NOTE 1: The tra		7					

NOTE 1: The transmitter shall be set to 4dB below [...].

NOTE 2: The interferer consists of the RMC specified in [...]

Table 7.6.2-2: In-band blocking for NR bands with F<sub>DL\_high</sub> < 2700 MHz and F<sub>UL\_high</sub> < 2700 MHz

NR band	Parameter	Unit	Case 1	Case 2	Case 3
	Pinterferer	dBm	-56	-44	-15
n1, n2, n3,	Finterferer (offset)	MHz	-CBW/2 -	≤ -CBW/2 -	
n5, n7, n8,			Floffset, case 1	Floffset, case 2	
n12, n20,			and	and	
n25, n28,			CBW/2 +	≥ CBW/2 +	
n34, n38,			Floffset, case 1	Floffset, case 2	
n39, n40,	Finterferer	MHz		F <sub>DL_low</sub> – 15	
n41, n50,				to	
n51, n66,			NOTE 2	FDL_high + 15	
n70, n74,					
n75, n76					
n71	Finterferer	MHz	NOTE 2	F <sub>DL_low</sub> – 12 to	F <sub>DL_low</sub> – 12
			INOTEZ	F <sub>DL high</sub> + 15	

NOTE 1: The absolute value of the interferer offset Finterferer (offset) shall be further adjusted to  $(F_{\text{interferer}} \mid / SCS \mid + 0.5)SCS$  MHz with SCS the sub-carrier spacing of the wanted signal in MHz. The interferer is an NR signal with an SCS equal to that of the wanted signal.

NOTE 2: For each carrier frequency, the requirement applies for two interferer carrier frequencies: a: -CBW/2 - Floffset, case 1; b: CBW/2 + Floffset, case 1

For NR bands with  $F_{DL\_low} \ge 3300$  MHz and  $F_{UL\_low} \ge 3300$  MHz in-band blocking (IBB) is defined for an unwanted interfering signal falling into the UE receive band or into an immediately adjacent frequency range up 3CBW below or above the UE receive band with CBW is the bandwidth of the wanted signal. The throughput of the wanted signal shall be  $\ge 95\%$  of the maximum throughput of the reference measurement channels as specified in [Annexes A.2.2, A.2.3 and A.3.2 (with one sided dynamic OCNG Pattern OP.1 FDD/TDD for the DL-signal as described in Annex A.5.1.1/A.5.2.1)] with parameters specified in Table 7.6.2-3 and Table 7.6.2-4. The said relative throughput requirement shall be met for any SCS specified for the channel bandwidth of the wanted signal.

Table 7.6.2-3: In-band blocking parameters for NR bands with F<sub>DL\_low</sub> ≥ 3300 MHz and F<sub>UL\_low</sub> ≥ 3300 MHz and F<sub>UL\_low</sub> ≥ 3300 MHz

RX parameter	Units		C	hannel bandwic	ith				
		10 MHz	15 MHz	20 MHz	40 MHz	50 MHz			
Power in	dBm		REFSENS + channel specific value below						
transmission	dB			6					
bandwidth									
configuration									
BWinterferer	MHz	10	15	20	40	50			
F <sub>loffset, case 1</sub>	MHz	15	22.5	30	60	75			
Floffset, case 2	MHz	25	37.5	50	100	125			
RX parameter	Units		CI	hannel bandwid	dth				
		60 MHz	80 MHz	90 MHz	100 MHz				
Power in	dBm	REF	SENS + channe	l specific value b	elow				
transmission	dB			6					
bandwidth									
configuration									
BWinterferer	MHz	60	80	90	100				
Floffset, case 1	MHz	90	120	135	150				
Floffset, case 2	MHz	150	200	225	250				
NOTE 1: The tra	ansmitter sh	all be set to 4dB	below [].	•		•			

NOTE 1: The transmitter shall be set to 4dB below [...].

NOTE 2: The interferer consists of the RMC specified in [...]

Table 7.6.2-4: In-band blocking for NR bands with F<sub>DL\_low</sub> ≥ 3300 MHz and F<sub>UL\_low</sub> ≥ 3300 MHz

NR band	Parameter	Unit	Case 1	Case 2
	Pinterferer	dBm	-56	-44
n77, n78,	F <sub>interferer</sub> (offset)	MHz	-CBW/2 -	≤ -CBW/2 -
n79			Floffset, case 1	Floffset, case 2
			and	and
			BW/2 +	≥ CBW/2 +
			Floffset, case 1	Floffset, case 2
	Finterferer			F <sub>DL_low</sub> – 3CBW
			NOTE 2	to
				F <sub>DL_high</sub> + 3CBW
NOTE 1:	The absolute value of	of the inter	ferer offset Finterfere	er (offset) shall be
f	urther adjusted to (	$ F_{ m interferer} $ /	$SCS \mid + 0.5)SCS \text{ MH}$	Iz with SCS the
5	sub-carrier spacing of	of the want	ted signal in MHz. Th	ne interferer is an
1	NR signal with an SC	CS equal to	o that of the wanted	signal.
NOTE 2: F	For each carrier freq	uency, the	requirement applies	for two interferer
	carrier frequencies: a	a: -CBW/2	- Floffset, case 1; b: CB'	W/2 + Floffset, case 1
NOTE 3: (	CBW denotes the ch	annel ban	dwidth of the wanted	d signal

## 7.6.3 Out-of-band blocking

For NR bands with  $F_{DL\_high} < 2700$  MHz and  $F_{UL\_high} < 2700$  MHz out-of-band band blocking is defined for an unwanted CW interfering signal falling outside a frequency range 15 MHz below or above the UE receive band. The throughput of the wanted signal shall be  $\geq 95\%$  of the maximum throughput of the reference measurement channels as specified in Annexes A.2.2, A.2.3 and A.3.2 (with one sided dynamic OCNG Pattern OP.1 FDD/TDD for the DL-signal as described in Annex A.5.1.1/A.5.2.1) with parameters specified in Table 7.6.3-1 and Table 7.6.3-2. The said relative throughput requirement shall be met for any SCS specified for the channel bandwidth of the wanted signal. For operating bands with an unpaired DL part (as noted in Table 5.5-1), the requirements only apply for carriers assigned in the paired part.

Table 7.6.3-1: Out-of-band blocking parameters for NR bands with F<sub>DL\_high</sub> < 2700 MHz and F<sub>UL\_high</sub> < 2700 MHz

DV navamatar	l linita		Channel bandwidth						
RX parameter	Units	5 MHz	10 MHz	15 MHz	20 MHz	25 MHz			
Power in	dBm		value below						
transmission bandwidth configuration	dB	6	6	7	9	10			
_			CI	nannel bandwid	th				
RX parameter	Units	30 MHz	40 MHz	50 MHz	60 MHz	80 MHz			
Power in	dBm	REFSENS + channel specific value below							
transmission									
bandwidth	dB	11	12	13	14	15			
configuration									
RX parameter	Units	Channel bandwidth							
NA parameter	Ullits	90 MHz	100 MHz						
Power in	dBm	REFSENS + c	hannel specific						
transmission		value below							
bandwidth									
configuration	dB	15.5	16						
NOTE: The tra	ansmitter sh	all be set to 4dB	below		·	·			

Table 7.6.3-2: Out of-band blocking for NR bands with  $F_{DL\_high}$  < 2700 MHz and  $F_{UL\_high}$  < 2700 MHz

NR band	Parameter	Unit	Range 1	Range 2	Range 3				
n1, n2, n3,	Pinterferer	dBm	-44	-30	-15				
n5, n7, n8,	F <sub>interferer</sub> (CW)	MHz							
n12, n20,									
n25, n28,									
n34, n38,			-60 < f – F <sub>DL low</sub> < -15	-85 < f – F <sub>DL low</sub> ≤ -60	$1 \le f \le F_{DL\_low} - 85$				
n39, n40,			=		or				
n41, n50,			or $15 < f - F_{DL\_high} < 60$	or 60 ≤ f – F <sub>DL_high</sub> < 85	F <sub>DL_high</sub> + 85 ≤ f				
n51, n66,			15 < 1 - 1 DL_nigh < 00	00 = 1 - 1 DL_nign < 05	≤ 12750				
n70, n71,									
n74, n75,									
n76									
NOTE: Th	NOTE: The power level of the interferer (P <sub>Interferer</sub> ) for Range 3 shall be modified to -20 dBm for F <sub>Interferer</sub> >								
60	000 MHz.		_						

For interferer frequencies across ranges 1, 2 and 3 in Table 7.6.3-2, a maximum of

$$\left| \max \left\{ 24,6 \cdot \left\lceil n \cdot N_{RB} / 6 \right\rceil \right\} / \min \left\{ \left\lceil n \cdot N_{RB} / 10 \right\rceil, 5 \right\} \right|$$

exceptions are allowed for spurious response frequencies in each assigned frequency channel when measured using a step size of  $\min(\lfloor CBW/2 \rfloor,5)$  MHz with  $N_{RB}$  the number of resource blocks in the downlink transmission bandwidth configuration, CBW the bandwidth of the frequency channel in MHz and n = 1,2,3 for SCS = 15,30,60 kHz, respectively. For these exceptions, the requirements in sub-clause 7.7 apply.

For NR bands with  $F_{DL\_low} \ge 3300$  MHz and  $F_{UL\_low} \ge 3300$  MHz out-of-band band blocking is defined for an unwanted CW interfering signal falling outside a frequency range up to 3CBW below or from 3CBW above the UE receive band, where CBW is the channel bandwidth. The throughput of the wanted signal shall be  $\ge 95\%$  of the maximum throughput of the reference measurement channels as specified in Annexes A.2.2, A.2.3 and A.3.2 (with one sided dynamic OCNG Pattern OP.1 FDD/TDD for the DL-signal as described in Annex A.5.1.1/A.5.2.1) with parameters specified in Table 7.6.3-3 and Table 7.6.3-4. The said relative throughput requirement shall be met for any SCS specified for the channel bandwidth of the wanted signal.

Table 7.6.3-3: Out-of-band blocking parameters for NR bands with F<sub>DL\_low</sub> ≥ 3300 MHz and F<sub>UL\_low</sub> ≥ 3300 MHz

RX parameter	Units	Channel bandwidth							
		10 MHz	15 MHz	20 MHz	40 MHz	50 MHz			
Power in	dBm		REFSENS + channel specific value below						
transmission bandwidth configuration	dB	6	7	9	9	9			
RX parameter	Units		CI	nannel bandwid	lth				
		60 MHz	80 MHz	90 MHz	100 MHz				
Power in	dBm	REF	SENS + channe	specific value b	elow				
transmission bandwidth configuration	dB	9	9	9	9				

Table 7.6.3-4: Out of-band blocking for NR bands with F<sub>DL\_low</sub> ≥ 3300 MHz and F<sub>UL\_low</sub> ≥ 3300 MHz

NR band	Parameter	Unit	Range1	Range 2	Range 3
n77, n78	Pinterferer	dBm	-44	-30	-15
(NOTE 3)	Finterferer (CW)	MHz	$\begin{array}{c} -60 < f - F_{DL\_low} \leq \\ -3CBW \\ or \\ 3CBW \leq f - F_{DL\_high} < \\ 60 \end{array}$	$\begin{array}{l} -200 < f - F_{DL\_low} \leq \\ -MAX(60,3CBW) \\ or \\ MAX(60,3CBW) \leq f - \\ F_{DL\_high} < 200 \end{array}$	$1 \le f \le F_{DL\_low} - \\ MAX(200,3CBW) \\ or \\ F_{DL\_high} \\ + MAX(200,3CBW) \\ \le f \le 12750$
n79 (NOTE 4)	Finterferer (CW)	MHz	N/A	$\begin{array}{l} -150 < f - F_{DL\_low} \leq \\ -MAX(60,3CBW) \\ \text{or} \\ MAX(60,3CBW) \leq f - \\ F_{DL\_high} < 150 \end{array}$	$1 \le f \le F_{DL\_low} - MAX(150,3CBW)$ or $F_{DL\_high} + MAX(150,3CBW)$ $\le f \le 12750$

- NOTE 1: The power level of the interferer (P<sub>Interferer</sub>) for Range 3 shall be modified to -20 dBm for F<sub>Interferer</sub> > 6000 MHz.
- NOTE 2: CBW denotes the channel bandwidth of the wanted signal
- NOTE 3: The power level of the interferer (P<sub>Interferer</sub>) for Range 3 shall be modified to -20 dBm, for F<sub>Interferer</sub> > 2700 MHz and F<sub>Interferer</sub> < 4800 MHz. For CBW > 15 MHz, the requirement for Range 1 is not applicable and Range 2 applies from the frequency offset of 3CBW from the band edge. For CBW larger than 60 MHz, the requirement for Range 2 is not applicable and Range 3 applies from the frequency offset of 3CBW from the band edge.
- NOTE 4: The power level of the interferer (P<sub>Interferer</sub>) for Range 3 shall be modified to -20 dBm, for F<sub>Interferer</sub> > 3650 MHz and F<sub>Interferer</sub> < 5750 MHz. For CBW ≥ 40 MHz, the requirement for Range 2 is not applicable and Range 3 applies from the frequency offset of 3CBW from the band edge.

For interferer frequencies across ranges 1, 2 and 3 in Table 7.6.3-4, a maximum of

$$\left| \max \left\{ 24,6 \cdot \left\lceil n \cdot N_{RB} / 6 \right\rceil \right\} / \min \left\{ \left\lceil n \cdot N_{RB} / 10 \right\rceil, 5 \right\} \right|$$

exceptions are allowed for spurious response frequencies in each assigned frequency channel when measured using a step size of  $\min(\lfloor CBW/2 \rfloor, 5)$  MHz with  $N_{RB}$  the number of resource blocks in the downlink transmission bandwidth configuration, CBW the bandwidth of the frequency channel in MHz and n = 1,2,3 for SCS = 15, 30, 60 kHz, respectively. For these exceptions, the requirements in sub-clause 7.7 apply.

## 7.6.4 Narrow band blocking

This requirement is measure of a receiver's ability to receive a NR signal at its assigned channel frequency in the presence of an unwanted narrow band CW interferer at a frequency, which is less than the nominal channel spacing.

The relative throughput shall be  $\geq 95\%$  of the maximum throughput of the reference measurement channels as specified in Annexes A.2.2, A.2.3 and A.3.2 (with one sided dynamic OCNG Pattern OP.1 FDD/TDD for the DL-signal as described in Annex A.5.1.1/A.5.2.1) with parameters specified in Table 7.6.2-1. For operating bands with an unpaired DL part (as noted in Table 5.5-1), the requirements only apply for carriers assigned in the paired part.

Table 7.6.4-1: Narrow Band Blocking

NR	Para	Unit					Channel E	Bandwidth					
band	meter		5 MHz	10 MHz	15 MHz	20 MHz	25 MHz	40 MHz	50 MHz	60 MHz	80 MHz	90 MHz	100 MH
n1,n2,	Pw	dBm				Prefsens +	- channel-b	andwidth sp		e below	ı	ı	
n3,			16	13	14	16	16	16	16	16	16	16	16
n5, n7,	P <sub>uw</sub> (CW)	dBm	-55	-55	-55	-55	-55	-55	-55	-55	-55	-55	-55
n8, n12, n20, n25 n28,	F <sub>uw</sub> (offset SCS= 15 kHz)	MHz	2.7075	5.2125	7.7025	10.2075	13.0275	20.5575	NA	NA	NA	NA	NΑ
n34, n38, n39, n40, n41, n50, n51, n66, n70, n71, n74, n75,	Fuw (offset SCS= 30 kHz)	MHz	NA	NA	NA	NA			TBD	TBD			

NOTE 1: The transmitter shall be set a 4 dB below PCMAX\_L at the minimum uplink configuration specified in Table 7.3.1-2 with PCMAX\_L as defined in subclause 6.2.5.

NOTE 2: Reference measurement channel is specified in Annex A.3.2 with one sided dynamic OCNG Pattern OP.1 FDD/TDD as described in Annex A.5.1.1/A.5.2.1.

NOTE 3: The PREFSENS power level is specified in Table 7.3.1-1 and Table 7.3.1-1a for two and four antenna ports, respectively

## 7.6A Blocking characteristics for CA

#### 7.6A.1 General

## 7.6A.2 In-band blocking for CA

#### 7.6A.2.1 In-band blocking for Intra-band contiguous CA

For intra-band contiguous carrier aggregation with two component carriers and aggregated bandwidth BWchannel\_CA, the SCC(s) shall be configured at nominal channel spacing to the PCC. The UE shall fulfil the minimum requirement specified in Table 7.6A.2.1-1 for an adjacent channel interferer on either side of the aggregated downlink signal at a specified frequency offset and for an interferer power up to -25 dBm. The throughput of each carrier shall be  $\geq$  95% of the maximum throughput of the reference measurement channels as specified in Annexes A.X.X (with one sided dynamic OCNG Pattern for the DL-signal as described in Annex A.X.X.X).

Table 7.6A.2.1-1: In-band blocking parameters for intra-band contiguous CA with F<sub>DL\_low</sub> ≥ 3300 MHz and F<sub>UL\_low</sub> ≥ 3300 MHz

Units		hannel bandwid	bandwidth					
Ī	110 MHz	120 MHz	130 MHz	140 MHz	150 MHz			
dBm		REFSENS + channel specific value below						
dB			6					
MHz	110	120	130	140	150			
MHz	165	180	195	210	225			
MHz	275	300	325	350	375			
Units		CI	hannel bandwid	lth				
	160 MHz	180 MHz	200 MHz					
dBm	REFSENS +	channel specific	value below					
dB	6	6	6					
MHz	160	180	200					
MHz	240	270	300					
MHz	400	450	500					
	dBm dB MHz MHz MHz Units dBm dB MHz MHz	110 MHz   dBm   dB	110 MHz	110 MHz	110 MHz			

NOTE 1: The transmitter shall be set to 4dB below [...].

NOTE 2: The interferer consists of the RMC specified in [...]

Table 7.6A.2.1-2: In-band blocking for intra-band contiguous CA with F<sub>DL\_low</sub> ≥ 3300 MHz and F<sub>UL\_low</sub> ≥ 3300 MHz

NR band	Parameter	Unit	Case 1	Case 2				
	Pinterferer	dBm	[-56]	[-44]				
n77, n78,	Finterferer (offset)	MHz	-CBW/2 -	≤ -CBW/2 -				
n79			Floffset, case 1	Floffset, case 2				
			and	and				
			BW/2 +	≥ CBW/2 +				
			Floffset, case 1	Floffset, case 2				
	Finterferer			F <sub>DL_low</sub> – 3CBW				
			NOTE 2	to				
				FDL_high + 3CBW				
NOTE 1: T	he absolute value o	of the inter	ferer offset Finterfere	er (offset) shall be				
fu	ırther adjusted to (	$ F_{ m interferer} $ /	$SCS \mid + 0.5)SCS \text{ MH}$	Iz with SCS the				
sub-carrier spacing of the wanted signal in MHz. The interferer is an NR signal with an SCS equal to that of the wanted signal.								
	•	•	requirement applies	•				

carrier frequencies: a: -CBW/2 - Floffset, case 1; b: CBW/2 + Floffset, case 1

CBW denotes the channel bandwidth of the wanted signal

#### 7.6A.2.2 In-band blocking for Intra-band non-contiguous CA

Detailed structure of the subclause is TBD

#### 7.6A.2.3 In-band blocking for Inter-band CA

For inter-band carrier aggregation with one component carrier per operating band and the uplink assigned to one NR band, the in-band blocking requirements are defined with the uplink active on the band(s) other than the band whose downlink is being tested. The UE shall meet the requirements specified in subclause 7.6.2 for each component carrier while all downlink carriers are active.

For the UE which supports inter-band CA configuration in Table 7.3A.3.2,  $P_{interferer}$  power defined in Table 7.6.2-2 and 7.6.2-4 is increased by the amount given by  $\Delta R_{IB,c}$  in Table 7.3A.3.2.

The throughput of each carrier shall be  $\geq$  95% of the maximum throughput of the reference measurement channels as specified in Annexes A.X.X (with one sided dynamic OCNG Pattern for the DL-signal as described in Annex A.X.X.X).

## 7.6A.3 Out-of-band blocking for CA

#### 7.6A.3.1 Out-of-band blocking for Intra-band contiguous CA

For intra-band contiguous carrier aggreagations the downlink SCC(s) shall be configured at nominal channel spacing to the PCC. For FDD, the PCC shall be configured closest to the uplink band. All downlink carriers shall be active throughout the test. The uplink output power shall be set as specified in Table 7.6.X with the uplink configuration set according to Table 7.3A-1 for the applicable carrier aggregation configuration. For UE(s) supporting one uplink carrier, the uplink configuration of the PCC shall be in accordance with Table 7.3-2.

The UE shall fulfil the minimum requirement in presence of an interfering signal specified in Tables 7.6.X and Tables 7.6.Y being on either side of the aggregated signal. The throughput of each carrier shall be  $\geq$  95% of the maximum throughput of the reference measurement channels as specified in Annexes.

Details for exceptions are TBD.

Table 7.6A.3-1: Out-of-band blocking parameters for intra-band contiguous CA with F<sub>DL\_low</sub> ≥ 3300 MHz and F<sub>UL\_low</sub> ≥ 3300 MHz

RX parameter	Units	Channel bandwidth							
		110 MHz	120 MHz	130 MHz	140 MHz	150 MHz			
Power in	dBm		REFSENS + channel specific value below						
transmission bandwidth configuration	dB	9	9	9	9	9			
RX parameter	Units	Channel bandwidth							
		160 MHz	180 MHz	200 MHz					
Power in transmission bandwidth configuration	dBm	REFSENS + channel specific value below	REFSENS + channel specific value below	REFSENS + channel specific value below					
	dB	9	9	9		_			
NOTE 1: The tra	ansmitter sh	all be set to 4dB	below						

Table 7.6A.3-2: Out of-band blocking for intra-band contiguous CA with F<sub>DL\_low</sub> ≥ 3300 MHz and F<sub>UL\_low</sub> ≥ 3300 MHz

NR band	Parameter	Unit	Range1	Range 2	Range 3
n77, n78	Pinterferer	dBm	-45	-30	-15
(NOTE 3)	Finterferer (CW)	MHz	N/A	N/A	$\begin{array}{l} 1 \leq f \leq F_{DL\_low} - \\ MAX(200,3CBW) \\ or \\ F_{DL\_high} \\ + MAX(200,3CBW) \\ \leq f \leq 12750 \end{array}$
n79 (NOTE 4)	Finterferer (CW)	MHz	N/A	N/A	$1 \le f \le F_{DL\_low} - MAX(150,3CBW)$ or $F_{DL\_high}$ + MAX(150,3CBW) $\le f \le 12750$

NOTE 1: The power level of the interferer (P<sub>Interferer</sub>) for Range 3 shall be modified to -20 dBm for F<sub>Interferer</sub> > 6000 MHz.

NOTE 2: CBW denotes the channel bandwidth of the wanted signal

NOTE 3: The power level of the interferer (P<sub>Interferer</sub>) for Range 3 shall be modified to -20 dBm, for F<sub>Interferer</sub> > 2700 MHz and F<sub>Interferer</sub> < 4800 MHz. For CBW > 15 MHz, the requirement for Range 1 is not applicable and Range 2 applies from the frequency offset of 3CBW from the band edge. For CBW larger than 60 MHz, the requirement for Range 2 is not applicable and Range 3 applies from the frequency offset of 3CBW from the band edge.

NOTE 4: The power level of the interferer (P<sub>Interferer</sub>) for Range 3 shall be modified to -20 dBm, for F<sub>Interferer</sub> > 3650 MHz and F<sub>Interferer</sub> < 5750 MHz. For CBW ≥ 40 MHz, the requirement for Range 2 is not applicable and Range 3 applies from the frequency offset of 3CBW from the band edge.

#### 7.6A.3.2 Out-of-band blocking for Intra-band non-contiguous CA

Detailed structure of the subclause is TBD

#### 7.6A.3.3 Out-of-band blocking for Inter-band CA

For inter-band carrier aggregation with one component carrier per operating band and the uplink assigned to one NR band, the out-of-band blocking requirements are defined with the uplink active on the band(s) other than the band whose downlink is being tested. The UE shall meet the requirements specified in subclause 7.6.3 for each component carrier while all downlink carriers are active.

For inter-band carrier aggregation with uplink assigned to two NR bands, the out-of-band blocking requirements specified in subclause 7.6.3 shall be met with the transmitter power for the uplink set to 7 dB below  $P_{CMAX\_L,f,c}$  for each serving cell c.

For the UE which supports inter-band CA configuration in Table 7.3A.3.2,  $P_{interferer}$  power defined in Table 7.6.3-2 and 7.6.3-4 is increased by the amount given by  $\Delta R_{IB,c}$  in Table 7.3A.3.2.

The throughput of each carrier shall be  $\geq$  95% of the maximum throughput of the reference measurement channels as specified in Annexes A.X.X (with one sided dynamic OCNG Pattern for the DL-signal as described in Annex A.X.X.X).

## 7.6A.4 Narrow band blocking for CA

#### 7.6A.4.1 Narrow band blocking for Intra-band contiguous CA

Detailed structure of the subclause is TBD

#### 7.6A.4.2 Narrow band blocking for Intra-band non-contiguous CA

Detailed structure of the subclause is TBD

#### 7.6A.4.3 Narrow band blocking for Inter-band CA

For inter-band carrier aggregation with one component carrier per operating band and the uplink assigned to one NR band, the narrow band blocking requirements are defined with the uplink active on the band(s) other than the band whose downlink is being tested. The UE shall meet the requirements specified in subclause 7.6.4 for each component carrier while all downlink carriers are active.

For the UE which supports inter-band CA configuration in Table 7.3A.3.2,  $P_{UW}$  power defined in Table 7.6.4-1 is increased by the amount given by  $\Delta R_{IB,c}$  in Table 7.3A.3.2.

The throughput of each carrier shall be  $\geq$  95% of the maximum throughput of the reference measurement channels as specified in Annexes A.X.X (with one sided dynamic OCNG Pattern for the DL-signal as described in Annex A.X.X.X).

# 7.6D Blocking characteristics for UL-MIMO

For UE with two transmitter antenna connectors in closed-loop spatial multiplexing scheme, the minimum requirements specified in subclause 7.6 shall be met with the UL-MIMO configurations described in sub-clause 6.2D.1. For UL-MIMO, the parameter  $P_{\text{CMAX L}}$  is defined as the total transmitter power over the two transmit antenna connectors.

# 7.7 Spurious response

Spurious response is a measure of the ability of the receiver to receive a wanted signal on its assigned channel frequency without exceeding a given degradation due to the presence of an unwanted CW interfering signal at any other frequency forwhich a response is obtained, i.e. for which the out-of-band blocking limit as specified in subclause 7.6.3 is not met.

The throughput shall be  $\geq 95\%$  of the maximum throughput of the reference measurement channels as specified in Annexes A.2.2, A.2.3 and A.3.2 (with one sided dynamic OCNG Pattern OP.1 FDD/TDD for the DL-signal as described in Annex A.5.1.1/A.5.2.1) with parameters for the wanted signal as specified in Table 7.7-1 for NR bands

with  $F_{DL\_high} < 2700$  MHz and  $F_{UL\_high} < 2700$  MHz and in Table 7.7-1a for NR bands with  $F_{DL\_high} \ge 3300$  MHz and  $F_{UL\_high} \ge 3300$  MHz and for the interferer as specified in Table 7.7-2. The said relative throughput requirement shall be met for any SCS specified for the channel bandwidth of the wanted signal. For operating bands with an unpaired DL part (as noted in Table 5.5-1), the requirements only apply for carriers assigned in the paired part.

Table 7.7-1: Spurious response parameters for NR bands with  $F_{DL\_high}$  < 2700 MHz and  $F_{UL\_high}$  < 2700 MHz

DV maramatar	l linita		CI	nannel bandwid	th						
RX parameter	Units	5 MHz	10 MHz	15 MHz	20 MHz	25 MHz					
Power in	dBm		REFSENS +	- channel specific value below							
transmission bandwidth	dB	6	6	7	9	10					
configuration											
RX parameter	Units	Channel bandwidth									
IXX parameter	Offics	30 MHz	40 MHz	50 MHz	60 MHz	80 MHz					
Power in	dBm		REFSENS +	channel specific	value below						
transmission bandwidth configuration	dB	11	12	13	14	15					
RX parameter	Units	Channel bandwidth									
NA parameter	Ullits	90 MHz	100 MHz								
Power in	dBm	REFSENS + c	hannel specific								
transmission		value	below								
bandwidth configuration	dB	15.5	16								
NOTE 1: The tra	ansmitter sh	all be set to 4dB	below								

Table 7.7.1-1a: Spurious response parameters for NR bands with F<sub>DL\_low</sub> ≥ 3300 MHz and F<sub>UL\_low</sub> ≥ 3300 MHz and F<sub>UL\_low</sub> ≥ 3300 MHz

RX parameter	Units		Channel bandwidth									
		10 MHz	15 MHz	20 MHz	40 MHz	50 MHz						
Power in	Power in dBm REFSENS + channel specific value											
transmission bandwidth configuration	dB	6	7	9	9	9						
RX parameter	Units		Channel bandwidth									
		60 MHz	80 MHz	90 MHz	100 MHz							
Power in	dBm	REF	SENS + channe	l specific value b	elow							
transmission bandwidth configuration	dB	9	9	9	9							
NOTE 1: The tra	ansmitter sh	all be set to 4dB	below									

Table 7.7-2: Spurious response

Parameter	Unit	Level
P <sub>Interferer</sub> (CW)	dBm	-44
FInterferer	MHz	Spurious response frequencies

# 7.7A Spurious response for CA

## 7.7A.1 Spurious response for Intra-band contiguous CA

Table 7.7A-1: Spurious response parameters for intra-band contiguous CA with  $F_{DL\_low} \ge 3300$  MHz and  $F_{UL\_low} \ge 3300$  MHz

<b>110 MHz</b> 9	9	channel specific	9	<b>150 MHz</b> 9						
9	9	9	9	9						
9		-		9						
	CI	hannel handwid	th.							
	Channel bandwidth									
160 MHz	180 MHz	200 MHz								
REFSENS + channel specific value below	REFSENS + channel specific value below	REFSENS + channel specific value below								
9	9	9								
	specific value below 9	specific value specific value below below	specific value specific value below below below 9 9 9	specific value specific value specific value below below 9 9 9						

Table 7.7A-2: Spurious response for CA

Parameter	Unit	Level
P <sub>Interferer</sub> (CW)	dBm	-44
F <sub>Interferer</sub>	MHz	Spurious response frequencies

## 7.7A.2 Spurious response for Intra-band non-contiguous CA

Detailed structure of the subclause is TBD

# 7.7A.3 Spurious response for Inter-band CA

For inter-band carrier aggregation with one component carrier per operating band and the uplink assigned to one NR band, the spurious response are defined with the uplink active on the band(s) other than the band whose downlink is being tested. The UE shall meet the requirements specified in subclause 7.7 for each component carrier while all downlink carriers are active.

For the UE which supports inter-band CA configuration in Table 7.3A.3.2,  $P_{interferer}$  power defined in Table 7.7-2 is increased by the amount given by  $\Delta R_{IB,c}$  in Table 7.3A.3.2.

The throughput of each carrier shall be  $\geq$  95% of the maximum throughput of the reference measurement channels as specified in Annexes A.X.X (with one sided dynamic OCNG Pattern for the DL-signal as described in Annex A.X.X.X).

# 7.7D Spurious response for UL-MIMO

For UE with two transmitter antenna connectors in closed-loop spatial multiplexing scheme, the minimum requirements specified in subclause 7.7 shall be met with the UL-MIMO configurations described in sub-clause 6.2D.1. For UL-MIMO, the parameter  $P_{\text{CMAX\_L}}$  is defined as the total transmitter power over the two transmit antenna connectors.

## 7.8 Intermodulation characteristics

#### 7.8.1 General

Intermodulation response rejection is a measure of the capability of the receiver to receiver a wanted signal on its assigned channel frequency in the presence of two or more interfering signals which have a specific frequency relationship to the wanted signal

#### 7.8.2 Wide band Intermodulation

The wide band intermodulation requirement is defined using a CW carrier and modulated NR signal as interferer 1 and interferer 2 respectively.

The throughput shall be  $\geq$  95% of the maximum throughput of the reference measurement channels as specified in Annexes A.2.2, A.2.3 and A.3.2 (with one sided dynamic OCNG Pattern OP.1 FDD/TDD for the DL-signal as described in Annex A.5.1.1/A.5.2.1) with parameters specified in Table 7.8.2-1 for NR bands with  $F_{DL\_high} < 2700$  MHz and  $F_{UL\_high} < 2700$  MHz and Table 7.8.2-2 for NR bands with  $F_{DL\_low} \geq 3300$  MHz and  $F_{UL\_low} \geq 3300$  MHz. The said relative throughput requirement shall be met for any SCS specified for the channel bandwidth of the wanted signal. For operating bands with an unpaired DL part (as noted in Table 5.5-1), the requirements only apply for carriers assigned in the paired part.

Table 7.8.1-1: Wide band intermodulation parameters for NR bands with  $F_{DL\_high}$  < 2700 MHz and  $F_{UL\_high}$  < 2700 MHz

Rx parameter	Units		Channel bandwidth										
-		5 MHz	10 MHz	15 MHz	20 MHz	25 MHz	30 MHz	40 MHz	50 MHz	60 MHz	80 MHz	90 MHz	100 MHz
P <sub>w</sub> in					REFSE	NS + cha	annel bar	idwidth sj	oecific va	lue belo	W		
Transmission Bandwidth Configuration, per CC	dBm	6	6	7	9	10	11	12	13	14	15	15	16
P <sub>Interferer 1</sub> (CW)	dBm		-46										
P <sub>Interferer 2</sub> (Modulated)	dBm							-46					
BW <sub>Interferer 2</sub>	MHz							5					
F <sub>Interferer 1</sub> (Offset)	MHz		-BW/2 – 7.5 / +BW/2 + 7.5										
F <sub>Interferer 2</sub> (Offset)	MHz						2	*FInterferer 1	l				

- NOTE 1: The transmitter shall be set to 4dB below Pcmax\_L,c or Pcmax\_L as defined in TBD.
- NOTE 2: Reference measurement channel is TBD.
- NOTE 3: The modulated interferer consists of the Reference measurement channel specified in TBD.
- NOTE 4: The F<sub>interferer 1</sub> (offset) is the frequency separation of the center frequency of the carrier closest to the interferer and the center frequency of the CW interferer and F<sub>interferer 2</sub> (offset) is the frequency separation of the center frequency of the carrier closest to the interferer and the center frequency of the modulated interferer.

Table 7.8.2-2: Wide band intermodulation parameters for NR bands with F<sub>DL\_low</sub> ≥ 3300 MHz and F<sub>UL\_low</sub> ≥ 3300 MHz

Dv	Unit		Channel bandwidth										
Rx parameter	S	10 MHz	20 MHz	40 MHz	50 MHz	60 MHz	80 MHz	90 MHz	100 MHz				
P <sub>w</sub> in Transmission Bandwidth Configuration , per CC	dBm			REFSENS + 6									
P <sub>Interferer 1</sub> (CW)	dBm			-46									
P <sub>Interferer 2</sub> (Modulated)	dBm					-46							
BW <sub>Interferer 2</sub>	MHz					BW							
F <sub>Interferer 1</sub> (Offset)	MHz			-2BW / +2BW									
F <sub>Interferer 2</sub> (Offset)	MHz			2*FInterferer 1									

NOTE 1: The transmitter shall be set to 4dB below PCMAX\_L,c or PCMAX\_L as defined in TBD.

NOTE 2: Reference measurement channel is TBD.

NOTE 3: The modulated interferer consists of the Reference measurement channel specified in TBD.

NOTE 4: The F<sub>interferer 1</sub> (offset) is the frequency separation of the center frequency of the carrier closest to the interferer and the center frequency of the CW interferer and F<sub>interferer 2</sub> (offset) is the frequency separation of the center frequency of the carrier closest to the interferer and the center frequency of the modulated interferer.

## 7.8A Intermodulation characteristics for CA

#### 7.8A.1 General

#### 7.8A.2 Wide band intermodulation for CA

#### 7.8A.2.1 Wide band intermodulation for Intra-band contiguous CA

Table 7.8A.2.1-1: Wide band intermodulation parameters for intra-band contiguous CA with  $F_{DL\_low} \ge 3300$  MHz and  $F_{UL\_low} \ge 3300$  MHz

Rx	Uni				Cha	annel band	lwidth						
parameter	ts	110 MHz	120 MHz	130 MHz	140 MHz	150 MHz	160 MHz	180 MHz	200 MHz				
P <sub>w</sub> in Transmissio n Bandwidth Configuratio n, per CC	dB m					REFS	ENS + 6						
P <sub>Interferer 1</sub> (CW)	dB m			-46									
P <sub>Interferer 2</sub> (Modulated)	dB m						-46						
BW <sub>Interferer 2</sub>	MH z					E	3W						
F <sub>Interferer 1</sub> (Offset)	MH z			-2BW / +2BW									
F <sub>Interferer 2</sub> (Offset)	MH z					2*Fı	nterferer 1						

NOTE 1: The transmitter shall be set to 4dB below PCMAX\_L,c or PCMAX\_L as defined in TBD.

NOTE 2: Reference measurement channel is TBD.

NOTE 3: The modulated interferer consists of the Reference measurement channel specified in TBD.

NOTE 4: The Finterferer 1 (offset) is the frequency separation of the center frequency of the carrier closest to the interferer and the center frequency of the CW interferer and Finterferer 2 (offset) is the frequency separation of the center frequency of the carrier closest to the interferer and the center frequency of the modulated interferer.

#### 7.8A.2.2 Wide band intermodulation for Intra-band non-contiguous CA

Detailed structure of the subclause is TBD

#### 7.8A.2.3 Wide band intermodulation for Inter-band CA

For inter-band carrier aggregation with one component carrier per operating band and the uplink assigned to one NR band, the wide band intermodulation requirements are defined with the uplink active on the band(s) other than the band whose downlink is being tested. The UE shall meet the requirements specified in subclause 7.8 for each component carrier while all downlink carriers are active.

For the UE which supports inter-band CA configuration in Table 7.3A.3.2,  $P_{interferer}$  power defined in Table 7.8.2-1 and 7.8.2-2 is increased by the amount given by  $\Delta R_{IB,c}$  in Table 7.3A.3.2.

The throughput of each carrier shall be  $\geq$  95% of the maximum throughput of the reference measurement channels as specified in Annexes A.X.X (with one sided dynamic OCNG Pattern for the DL-signal as described in Annex A.X.X.X).

#### 7.8D Intermodulation characteristics for UL-MIMO

For UE(s) with two transmitter antenna connectors in closed-loop spatial multiplexing scheme, the minimum requirements in subclause 7.8 shall be met with the UL-MIMO configurations described in sub-clause 6.2D.1. For UL-MIMO, the parameter  $P_{\text{CMAX\_L}}$  is defined as the total transmitter power over the two transmit antenna connectors.

# 7.9 Spurious emissions

The spurious emissions power is the power of emissions generated or amplified in a receiver that appear at the UE antenna connector.

The power of any narrow band CW spurious emission shall not exceed the maximum level specified in Table 7.9-1

Table 7.9-1: General receiver spurious emission requirements

Frequency range	Measurement bandwidth	Maximum level	NOTE
30 MHz ≤ f < 1 GHz	100 kHz	-57 dBm	
1 GHz ≤ f ≤ 12.75 GHz	1 MHz	-47 dBm	
12.75 GHz ≤ f ≤ 5 <sup>th</sup> harmonic of the upper frequency edge of the DL operating band in GHz	1 MHz	-47 dBm	2
12.75 GHz – 26 GHz	1 MHz	-47dBm	3

NOTE 1: Unused PDCCH resources are padded with resource element groups with power level given by PDCCH\_RA/RB as defined in Annex C.3.1.

NOTE 2: Applies for Band that the upper frequency edge of the DL Band more than 2.69 GHz.

NOTE 3: Applies for Band that the upper frequency edge of the DL Band more than 5.2 GHz.

# 7.9A Spurious emissions for CA

## 7.9A.1 Spurious emissions for Intra-band contiguous CA

Table 7.9A-1: General receiver spurious emission requirements for CA

Frequency range	Measurement bandwidth	Maximum level	NOTE
30 MHz ≤ f < 1 GHz	100 kHz	-57 dBm	
1 GHz ≤ f ≤ 12.75 GHz	1 MHz	-47 dBm	
12.75 GHz ≤ f ≤ 5 <sup>th</sup> harmonic of the upper frequency edge of the DL operating band in GHz	1 MHz	-47 dBm	2
12.75 GHz – 26 GHz	1 MHz	-47dBm	3

NOTE 1: Unused PDCCH resources are padded with resource element groups with power level given by PDCCH\_RA/RB as defined in Annex C.3.1.

NOTE 2: Applies for Band that the upper frequency edge of the DL Band more than 2.69 GHz.

NOTE 3: Applies for Band that the upper frequency edge of the DL Band more than 5.2 GHz.

# 7.9A.2 Spurious emissions for Intra-band non-contiguous CA

Detailed structure of the subclause is TBD

# 7.9A.3 Spurious emissions for Inter-band CA

For inter-band carrier aggregation with one component carrier per operating band and the uplink assigned to one NR band, the UE shall meet the Rx spurious emissions requirements specified in subclause 7.9 for each component carrier while all downlink carriers are active.

# Annex A (normative): Measurement channels

## A.1 General

The throughput values defined in the measurement channels specified in Annex A, are calculated and are valid per datastream (codeword). For multi-stream (more than one codeword) transmissions, the throughput referenced in the minimum requirements is the sum of throughputs of all datastreams (codewords).

The UE category entry in the definition of the reference measurement channel in Annex A is only informative and reveals the UE categories, which can support the corresponding measurement channel. Whether the measurement channel is used for testing a certain UE category or not is specified in the individual minimum requirements.

# A.2 UL reference measurement channels

## A.2.1 General

The measurement channels in the following subclauses are defined to derive the requirements in clause 6 (Transmitter Characteristics) and clause 7 (Receiver Characteristics). The measurement channels represent example configurations of physical channels for different data rates.

## A.2.2 Reference measurement channels for FDD

#### A.2.2.1 DFT-s-OFDM Pi/2-BPSK

Table A.2.2.1-1: Reference Channels for DFT-s-OFDM Pi/2-BPSK for 15kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	DFT-s- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulate d symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	5-50	15	1	11	pi/2 BPSK	0	1/4	32	16	2	1	132	132
	5	15	12	11	pi/2 BPSK	0	1/4	384	16	2	1	1584	1584
	5	15	25	11	pi/2 BPSK	0	1/4	808	16	2	1	3300	3300
	10	15	25	11	pi/2 BPSK	0	1/4	808	16	2	1	3300	3300
	10	15	50	11	pi/2 BPSK	0	1/4	1544	16	2	1	6600	6600
	15	15	36	11	pi/2 BPSK	0	1/4	1128	16	2	1	4752	4752
	15	15	75	11	pi/2 BPSK	0	1/4	2408	16	2	1	9900	9900
	20	15	50	11	pi/2 BPSK	0	1/4	1544	16	2	1	6600	6600
	20	15	100	11	pi/2 BPSK	0	1/4	3104	16	2	1	13200	13200
	25	15	64	11	pi/2 BPSK	0	1/4	2024	16	2	1	8448	8448
	25	15	128	11	pi/2 BPSK	0	1/4	3976	24	2	2	16896	16896
	30	15	80	11	pi/2 BPSK	0	1/4	2472	16	2	1	10560	10560
	30	15	160	11	pi/2 BPSK	0	1/4	4872	24	2	2	21120	21120
	40	15	108	11	pi/2 BPSK	0	1/4	3368	16	2	1	14256	14256
	40	15	216	11	pi/2 BPSK	0	1/4	6664	24	2	2	28512	28512
	50	15	135	11	pi/2 BPSK	0	1/4	4104	24	2	2	17820	17820
	50	15	270	11	pi/2 BPSK	0	1/4	8448	24	2	3	35640	35640

NOTE 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

NOTE 2: MCS Index is based on MCS table 6.1.4.1-1 defined in 38.214.

NOTE 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.2.1-2: Reference Channels for DFT-s-OFDM Pi/2-BPSK for 30kHz SCS

Parameter	Channel bandwidth	Subcarrier Spacing	Allocated resource blocks	DFT-s- OFDM Symbols per slot (Note 1)	Modulation	MCS Index (Note 2)	Target Coding Rate	Payload size	Transport block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulated symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	5-50	30	1	11	pi/2 BPSK	0	1/4	32	16	2	1	132	132
	5	30	5	11	pi/2 BPSK	0	1/4	160	16	2	1	660	660
	5	30	10	11	pi/2 BPSK	0	1/4	320	16	2	1	1320	1320
	10	30	12	11	pi/2 BPSK	0	1/4	384	16	2	1	1584	1584
	10	30	24	11	pi/2 BPSK	0	1/4	768	16	2	1	3168	3168
	15	30	18	11	pi/2 BPSK	0	1/4	576	16	2	1	2376	2376
	15	30	36	11	pi/2 BPSK	0	1/4	1128	16	2	1	4752	4752
	20	30	25	11	pi/2 BPSK	0	1/4	808	16	2	1	3300	3300
	20	30	50	11	pi/2 BPSK	0	1/4	1544	16	2	1	6600	6600
	25	30	32	11	pi/2 BPSK	0	1/4	1032	16	2	1	4224	4224
	25	30	64	11	pi/2 BPSK	0	1/4	2024	16	2	1	8448	8448
	30	30	36	11	pi/2 BPSK	0	1/4	1128	16	2	1	4752	4752
	30	30	75	11	pi/2 BPSK	0	1/4	2408	16	2	1	9900	9900
	40	30	50	11	pi/2 BPSK	0	1/4	1544	16	2	1	6600	6600
	40	30	100	11	pi/2 BPSK	0	1/4	3104	16	2	1	13200	13200
	50	30	64	11	pi/2 BPSK	0	1/4	2024	16	2	1	8448	8448
	50	30	128	11	pi/2 BPSK	0	1/4	3976	24	2	2	16896	16896
	60	30	81	11	pi/2 BPSK	0	1/4	2536	16	2	1	10692	10692
	60	30	162	11	pi/2 BPSK	0	1/4	5000	24	2	2	21384	21384
	80	30	108	11	pi/2 BPSK	0	1/4	3368	16	2	1	14256	14256
	80	30	216	11	pi/2 BPSK	0	1/4	6664	24	2	2	28512	28512
	90	30	120	11	pi/2 BPSK	0	1/4	3752	16	2	1	15840	15840
	90	30	243	11	pi/2 BPSK	0	1/4	7560	24	2	2	32076	32076
	100	30	135	11	pi/2 BPSK	0	1/4	4104	24	2	2	17820	17820
	100	30	270	11	pi/2 BPSK	0	1/4	8448	24	2	3	35640	35640

NOTE 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

NOTE 2: MCS Index is based on MCS table 6.1.4.1-1 defined in 38.214.

NOTE 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.2.1-3: Reference Channels for DFT-s-OFDM Pi/2-BPSK for 60kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	DFT-s- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulate d symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	10-100	60	1	11	pi/2 BPSK	0	1/4	32	16	2	1	132	132
	10	60	5	11	pi/2 BPSK	0	1/4	160	16	2	1	660	660
	10	60	10	11	pi/2 BPSK	0	1/4	320	16	2	1	1320	1320
	15	60	9	11	pi/2 BPSK	0	1/4	288	16	2	1	1188	1188
	15	60	18	11	pi/2 BPSK	0	1/4	576	16	2	1	2376	2376
	20	60	12	11	pi/2 BPSK	0	1/4	384	16	2	1	1584	1584
	20	60	24	11	pi/2 BPSK	0	1/4	768	16	2	1	3168	3168
	25	60	15	11	pi/2 BPSK	0	1/4	480	16	2	1	1980	1980
	25	60	30	11	pi/2 BPSK	0	1/4	984	16	2	1	3960	3960
	30	60	18	11	pi/2 BPSK	0	1/4	576	16	2	1	2376	2376
	30	60	36	11	pi/2 BPSK	0	1/4	1128	16	2	1	4752	4752
	40	60	25	11	pi/2 BPSK	0	1/4	808	16	2	1	3300	3300
	40	60	50	11	pi/2 BPSK	0	1/4	1544	16	2	1	6600	6600
	50	60	32	11	pi/2 BPSK	0	1/4	1032	16	2	1	4224	4224
	50	60	64	11	pi/2 BPSK	0	1/4	2024	16	2	1	8448	8448
	60	60	36	11	pi/2 BPSK	0	1/4	1128	16	2	1	4752	4752
	60	60	75	11	pi/2 BPSK	0	1/4	2408	16	2	1	9900	9900
	80	60	50	11	pi/2 BPSK	0	1/4	1544	16	2	1	6600	6600
	80	60	100	11	pi/2 BPSK	0	1/4	3104	16	2	1	13200	13200
	90	60	60	11	pi/2 BPSK	0	1/4	1864	16	2	1	7920	7920
	90	60	120	11	pi/2 BPSK	0	1/4	3752	16	2	1	15840	15840
	100	60	64	11	pi/2 BPSK	0	1/4	2024	16	2	1	8448	8448
	100	60	135	11	pi/2 BPSK	0	1/4	4104	24	2	2	17820	17820

NOTE 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

NOTE 2: MCS Index is based on MCS table 6.1.4.1-1 defined in 38.214.

NOTE 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

#### A.2.2.2 DFT-s-OFDM QPSK

Table A.2.2.2-1: Reference Channels for DFT-s-OFDM QPSK for 15kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	DFT-s- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulate d symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	5-50	15	1	11	QPSK	2	1/6	56	16	2	1	264	132
	5	15	12	11	QPSK	2	1/6	608	16	2	1	3168	1584
	5	15	20	11	QPSK	2	1/6	1032	16	2	1	5280	2640
	5	15	25	11	QPSK	2	1/6	1256	16	2	1	6600	3300
	10	15	20	11	QPSK	2	1/6	1032	16	2	1	5280	2640
	10	15	25	11	QPSK	2	1/6	1256	16	2	1	6600	3300
	10	15	50	11	QPSK	2	1/6	2472	16	2	1	13200	6600
	15	15	20	11	QPSK	2	1/6	1032	16	2	1	5280	2640
	15	15	25	11	QPSK	2	1/6	1256	16	2	1	6600	3300
	15	15	36	11	QPSK	2	1/6	1800	16	2	1	9504	4752
	15	15	50	11	QPSK	2	1/6	2472	16	2	1	13200	6600
	15	15	75	11	QPSK	2	1/6	3752	16	2	1	19800	9900
	20	15	20	11	QPSK	2	1/6	1032	16	2	1	5280	2640
	20	15	25	11	QPSK	2	1/6	1256	16	2	1	6600	3300
	20	15	50	11	QPSK	2	1/6	2472	16	2	1	13200	6600
	20	15	100	11	QPSK	2	1/6	5000	24	2	2	26400	13200
	25	15	50	11	QPSK	2	1/6	2472	16	2	1	13200	6600
	25	15	64	11	QPSK	2	1/6	3240	16	2	1	16896	8448
	25	15	128	11	QPSK	2	1/6	6408	24	2	2	33792	16896
	30	15	50	11	QPSK	2	1/6	2472	16	2	1	13200	6600
	30	15	80	11	QPSK	2	1/6	3976	24	2	2	21120	10560
	30	15	160	11	QPSK	2	1/6	7944	24	2	3	42240	21120
<u>-</u>	40	15	108	11	QPSK	2	1/6	5384	24	2	2	28512	14256
	40	15	216	11	QPSK	2	1/6	10752	24	2	3	57024	28512
	50	15	135	11	QPSK	2	1/6	6664	24	2	2	35640	17820
	50	15	270	11	QPSK	2	1/6	13320	24	2	4	71280	35640

NOTE 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

NOTE 2: MCS Index is based on MCS table 6.1.4.1-1 defined in 38.214.

NOTE 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.2.2-2: Reference Channels for DFT-s-OFDM QPSK for 30kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	DFT-s- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulate d symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	5-50	30	1	11	QPSK	2	1/6	56	16	2	1	264	132
	5	30	5	11	QPSK	2	1/6	256	16	2	1	1320	660
	5	30	10	11	QPSK	2	1/6	504	16	2	1	2640	1320
	10	30	12	11	QPSK	2	1/6	608	16	2	1	3168	1584
	10	30	24	11	QPSK	2	1/6	1192	16	2	1	6336	3168
	15	30	18	11	QPSK	2	1/6	928	16	2	1	4752	2376
	15	30	36	11	QPSK	2	1/6	1800	16	2	1	9504	4752
	20	30	25	11	QPSK	2	1/6	1256	16	2	1	6600	3300
	20	30	50	11	QPSK	2	1/6	2472	16	2	1	13200	6600
	25	30	32	11	QPSK	2	1/6	1608	16	2	1	8448	4224
	25	30	64	11	QPSK	2	1/6	3240	16	2	1	16896	8448
	30	30	36	11	QPSK	2	1/6	1800	16	2	1	9504	4752
	30	30	75	11	QPSK	2	1/6	3752	16	2	1	19800	9900
	40	30	50	11	QPSK	2	1/6	2472	16	2	1	13200	6600
	40	30	100	11	QPSK	2	1/6	5000	24	2	2	26400	13200
	50	30	64	11	QPSK	2	1/6	3240	16	2	1	16896	8448
	50	30	128	11	QPSK	2	1/6	6408	24	2	2	33792	16896
	60	30	81	11	QPSK	2	1/6	4040	24	2	2	21384	10692
	60	30	162	11	QPSK	2	1/6	8064	24	2	3	42768	21384
	80	30	108	11	QPSK	2	1/6	5384	24	2	2	28512	14256
	80	30	216	11	QPSK	2	1/6	10752	24	2	3	57024	28512
	90	30	120	11	QPSK	2	1/6	5896	24	2	2	31680	15840
	90	30	243	11	QPSK	2	1/6	12040	24	2	4	64152	32076
	100	30	135	11	QPSK	2	1/6	6664	24	2	2	35640	17820
	100	30	270	11	QPSK	2	1/6	13320	24	2	4	71280	35640

NOTE 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

NOTE 2: MCS Index is based on MCS table 6.1.4.1-1 defined in 38.214.

NOTE 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.2.2-3: Reference Channels for DFT-s-OFDM QPSK for 60kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	DFT-s- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulate d symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	10-100	60	1	11	QPSK	2	1/6	56	16	2	1	264	132
	10	60	5	11	QPSK	2	1/6	256	16	2	1	1320	660
	10	60	10	11	QPSK	2	1/6	504	16	2	1	2640	1320
	15	60	9	11	QPSK	2	1/6	456	16	2	1	2376	1188
	15	60	18	11	QPSK	2	1/6	928	16	2	1	4752	2376
	20	60	12	11	QPSK	2	1/6	608	16	2	1	3168	1584
	20	60	24	11	QPSK	2	1/6	1192	16	2	1	6336	3168
	25	60	15	11	QPSK	2	1/6	768	16	2	1	3960	1980
	25	60	30	11	QPSK	2	1/6	1544	16	2	1	7920	3960
	30	60	18	11	QPSK	2	1/6	928	16	2	1	4752	2376
	30	60	36	11	QPSK	2	1/6	1800	16	2	1	9504	4752
	40	60	25	11	QPSK	2	1/6	1256	16	2	1	6600	3300
	40	60	50	11	QPSK	2	1/6	2472	16	2	1	13200	6600
	50	60	32	11	QPSK	2	1/6	1608	16	2	1	8448	4224
	50	60	64	11	QPSK	2	1/6	3240	16	2	1	16896	8448
	60	60	36	11	QPSK	2	1/6	1800	16	2	1	9504	4752
	60	60	75	11	QPSK	2	1/6	3752	16	2	1	19800	9900
	80	60	50	11	QPSK	2	1/6	2472	16	2	1	13200	6600
	80	60	100	11	QPSK	2	1/6	5000	24	2	2	26400	13200
	90	60	60	11	QPSK	2	1/6	3104	16	2	1	15840	7920
	90	60	120	11	QPSK	2	1/6	5896	24	2	2	31680	15840
	100	60	64	11	QPSK	2	1/6	3240	16	2	1	16896	8448
	100	60	135	11	QPSK	2	1/6	6664	24	2	2	35640	17820

NOTE 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

NOTE 2: MCS Index is based on MCS table 6.1.4.1-1 defined in 38.214.

NOTE 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

#### A.2.2.3 DFT-s-OFDM 16QAM

Table A.2.2.3-1: Reference Channels for DFT-s-OFDM 16QAM for 15kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	DFT-s- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulate d symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	5-50	15	1	11	16QAM	10	1/3	176	16	2	1	528	132
	5	15	12	11	16QAM	10	1/3	2088	16	2	1	6336	1584
	5	15	25	11	16QAM	10	1/3	4352	24	1	1	13200	3300
	10	15	25	11	16QAM	10	1/3	4352	24	1	1	13200	3300
	10	15	50	11	16QAM	10	1/3	8712	24	1	2	26400	6600
	15	15	36	11	16QAM	10	1/3	6272	24	1	1	19008	4752
	15	15	75	11	16QAM	10	1/3	13064	24	1	2	39600	9900
	20	15	50	11	16QAM	10	1/3	8712	24	1	2	26400	6600
	20	15	100	11	16QAM	10	1/3	17424	24	1	3	52800	13200
	25	15	64	11	16QAM	10	1/3	11272	24	1	2	33792	8448
	25	15	128	11	16QAM	10	1/3	22536	24	1	3	67584	16896
	30	15	80	11	16QAM	10	1/3	14088	24	1	2	42240	10560
	30	15	160	11	16QAM	10	1/3	28168	24	1	4	84480	21120
	40	15	108	11	16QAM	10	1/3	18960	24	1	3	57024	14256
	40	15	216	11	16QAM	10	1/3	37896	24	1	5	114048	28512
	50	15	135	11	16QAM	10	1/3	23568	24	1	3	71280	17820
	50	15	270	11	16QAM	10	1/3	47112	24	1	6	142560	35640

NOTE 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

NOTE 2: MCS Index is based on MCS table 6.1.4.1-1 defined in 38.214.

NOTE 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.2.3-2: Reference Channels for DFT-s-OFDM 16QAM for 30kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	DFT-s- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulate d symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	5-50	30	1	11	16QAM	10	1/3	176	16	2	1	528	132
	5	30	5	11	16QAM	10	1/3	888	16	2	1	2640	660
	5	30	10	11	16QAM	10	1/3	1800	16	2	1	5280	1320
	10	30	12	11	16QAM	10	1/3	2088	16	2	1	6336	1584
	10	30	24	11	16QAM	10	1/3	4224	24	1	1	12672	3168
	15	30	18	11	16QAM	10	1/3	3240	16	2	1	9504	2376
	15	30	36	11	16QAM	10	1/3	6272	24	1	1	19008	4752
	20	30	25	11	16QAM	10	1/3	4352	24	1	1	13200	3300
	20	30	50	11	16QAM	10	1/3	8712	24	1	2	26400	6600
	25	30	32	11	16QAM	10	1/3	5632	24	1	1	16896	4224
	25	30	64	11	16QAM	10	1/3	11272	24	1	2	33792	8448
	30	30	36	11	16QAM	10	1/3	6272	24	1	1	19008	4752
	30	30	75	11	16QAM	10	1/3	13064	24	1	2	39600	9900
	40	30	50	11	16QAM	10	1/3	8712	24	1	2	26400	6600
	40	30	100	11	16QAM	10	1/3	17424	24	1	3	52800	13200
	50	30	64	11	16QAM	10	1/3	11272	24	1	2	33792	8448
	50	30	128	11	16QAM	10	1/3	22536	24	1	3	67584	16896
	60	30	81	11	16QAM	10	1/3	14088	24	1	2	42768	10692
	60	30	162	11	16QAM	10	1/3	28168	24	1	4	85536	21384
	80	30	108	11	16QAM	10	1/3	18960	24	1	3	57024	14256
-	80	30	216	11	16QAM	10	1/3	37896	24	1	5	114048	28512
	90	30	120	11	16QAM	10	1/3	21000	24	1	3	63360	15840
-	90	30	243	11	16QAM	10	1/3	43032	24	1	6	128304	32076
-	100	30	135	11	16QAM	10	1/3	23568	24	1	3	71280	17820
	100	30	270	11	16QAM	10	1/3	47112	24	1	6	142560	35640

NOTE 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

NOTE 2: MCS Index is based on MCS table 6.1.4.1-1 defined in 38.214.

NOTE 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.2.3-3: Reference Channels for DFT-s-OFDM 16QAM for 60kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	DFT-s- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulate d symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	10-100	60	1	11	16QAM	10	1/3	176	16	2	1	528	132
	10	60	5	11	16QAM	10	1/3	888	16	2	1	2640	660
	10	60	10	11	16QAM	10	1/3	1800	16	2	1	5280	1320
	15	60	9	11	16QAM	10	1/3	1608	16	2	1	4752	1188
	15	60	18	11	16QAM	10	1/3	3240	16	2	1	9504	2376
	20	60	12	11	16QAM	10	1/3	2088	16	2	1	6336	1584
	20	60	24	11	16QAM	10	1/3	4224	24	1	1	12672	3168
	25	60	15	11	16QAM	10	1/3	2664	16	2	1	7920	1980
	25	60	30	11	16QAM	10	1/3	5248	24	1	1	15840	3960
	30	60	18	11	16QAM	10	1/3	3240	16	2	1	9504	2376
	30	60	36	11	16QAM	10	1/3	6272	24	1	1	19008	4752
	40	60	25	11	16QAM	10	1/3	4352	24	1	1	13200	3300
	40	60	50	11	16QAM	10	1/3	8712	24	1	2	26400	6600
	50	60	32	11	16QAM	10	1/3	5632	24	1	1	16896	4224
	50	60	64	11	16QAM	10	1/3	11272	24	1	2	33792	8448
	60	60	36	11	16QAM	10	1/3	6272	24	1	1	19008	4752
	60	60	75	11	16QAM	10	1/3	13064	24	1	2	39600	9900
	80	60	50	11	16QAM	10	1/3	8712	24	1	2	26400	6600
	80	60	100	11	16QAM	10	1/3	17424	24	1	3	52800	13200
	90	60	60	11	16QAM	10	1/3	10504	24	1	2	31680	7920
	90	60	120	11	16QAM	10	1/3	21000	24	1	3	63360	15840
	100	60	64	11	16QAM	10	1/3	11272	24	1	2	33792	8448
	100	60	135	11	16QAM	10	1/3	23568	24	1	3	71280	17820

NOTE 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

NOTE 2: MCS Index is based on MCS table 6.1.4.1-1 defined in 38.214.

NOTE 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

#### A.2.2.4 DFT-s-OFDM 64QAM

Table A.2.2.4-1: Reference Channels for DFT-s-OFDM 64QAM for 15kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	DFT-s- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulate d symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	5	15	25	11	64QAM	18	1/2	9992	24	1	2	19800	3300
	10	15	50	11	64QAM	18	1/2	19968	24	1	3	39600	6600
	15	15	75	11	64QAM	18	1/2	30216	24	1	4	59400	9900
	20	15	100	11	64QAM	18	1/2	39936	24	1	5	79200	13200
	25	15	128	11	64QAM	18	1/2	51216	24	1	7	101376	16896
	30	15	160	11	64QAM	18	1/2	63528	24	1	8	126720	21120
	40	15	216	11	64QAM	18	1/2	86040	24	1	11	171072	28512
	50	15	270	11	64QAM	18	1/2	108552	24	1	13	213840	35640

NOTE 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

NOTE 2: MCS Index is based on MCS table 6.1.4.1-1 defined in 38.214.

NOTE 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.2.4-2: Reference Channels for DFT-s-OFDM 64QAM for 30kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	DFT-s- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulate d symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	5	30	10	11	64QAM	18	1/2	3968	24	1	1	7920	1320
	10	30	24	11	64QAM	18	1/2	9480	24	1	2	19008	3168
	15	30	36	11	64QAM	18	1/2	14344	24	1	2	28512	4752
	20	30	50	11	64QAM	18	1/2	19968	24	1	3	39600	6600
	25	30	64	11	64QAM	18	1/2	25608	24	1	4	50688	8448
	30	30	75	11	64QAM	18	1/2	30216	24	1	4	59400	9900
	40	30	100	11	64QAM	18	1/2	39936	24	1	5	79200	13200
	50	30	128	11	64QAM	18	1/2	51216	24	1	7	101376	16896
	60	30	162	11	64QAM	18	1/2	64552	24	1	8	128304	21384
	80	30	216	11	64QAM	18	1/2	86040	24	1	11	171072	28512
	90	30	243	11	64QAM	18	1/2	96264	24	1	12	192456	32076
	100	30	270	11	64QAM	18	1/2	108552	24	1	13	213840	35640

NOTE 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

NOTE 2: MCS Index is based on MCS table 6.1.4.1-1 defined in 38.214.

NOTE 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.2.4-3: Reference Channels for DFT-s-OFDM 64QAM for 60kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	DFT-s- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulate d symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	10	60	10	11	64QAM	18	1/2	3968	24	1	1	7920	1320
	15	60	18	11	64QAM	18	1/2	7168	24	1	1	14256	2376
	20	60	24	11	64QAM	18	1/2	9480	24	1	2	19008	3168
	25	60	30	11	64QAM	18	1/2	12040	24	1	2	23760	3960
	30	60	36	11	64QAM	18	1/2	14344	24	1	2	28512	4752
	40	60	50	11	64QAM	18	1/2	19968	24	1	3	39600	6600
	50	60	64	11	64QAM	18	1/2	25608	24	1	4	50688	8448
	60	60	75	11	64QAM	18	1/2	30216	24	1	4	59400	9900
	80	60	100	11	64QAM	18	1/2	39936	24	1	5	79200	13200
	90	60	120	11	64QAM	18	1/2	48168	24	1	6	95040	15840
	100	60	135	11	64QAM	18	1/2	54296	24	1	7	106920	17820

NOTE 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

NOTE 2: MCS Index is based on MCS table 6.1.4.1-1 defined in 38.214.

NOTE 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

#### A.2.2.5 DFT-s-OFDM 256QAM

Table A.2.2.5-1: Reference Channels for DFT-s-OFDM 256QAM for 15kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	DFT-s- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulate d symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	5	15	25	11	256QAM	20	2/3	17424	24	1	3	26400	3300
	10	15	50	11	256QAM	20	2/3	34816	24	1	5	52800	6600
	15	15	75	11	256QAM	20	2/3	53288	24	1	7	79200	9900
	20	15	100	11	256QAM	20	2/3	69672	24	1	9	105600	13200
	25	15	128	11	256QAM	20	2/3	90176	24	1	11	135168	16896
	30	15	160	11	256QAM	20	2/3	112648	24	1	14	168960	21120
	40	15	216	11	256QAM	20	2/3	151608	24	1	18	228096	28512
	50	15	270	11	256QAM	20	2/3	188576	24	1	23	285120	35640

NOTE 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

NOTE 2: MCS Index is based on MCS table 5.1.3.1-2 defined in 38.214.

NOTE 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.2.5-2: Reference Channels for DFT-s-OFDM 256QAM for 30kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	DFT-s- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulate d symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	5	30	10	11	256QAM	20	2/3	7040	24	1	1	10560	1320
	10	30	24	11	256QAM	20	2/3	16896	24	1	3	25344	3168
	15	30	36	11	256QAM	20	2/3	25104	24	1	3	38016	4752
	20	30	50	11	256QAM	20	2/3	34816	24	1	5	52800	6600
	25	30	64	11	256QAM	20	2/3	45096	24	1	6	67584	8448
	30	30	75	11	256QAM	20	2/3	53288	24	1	7	79200	9900
	40	30	100	11	256QAM	20	2/3	69672	24	1	9	105600	13200
	50	30	128	11	256QAM	20	2/3	90176	24	1	11	135168	16896
	60	30	162	11	256QAM	20	2/3	114776	24	1	14	171072	21384
	80	30	216	11	256QAM	20	2/3	151608	24	1	18	228096	28512
	90	30	243	11	256QAM	20	2/3	172176	24	1	21	256608	32076
	100	30	270	11	256QAM	20	2/3	188576	24	1	23	285120	35640

NOTE 2: MCS Index is based on MCS table 5.1.3.1-2 defined in 38.214.

Table A.2.2.5-3: Reference Channels for DFT-s-OFDM 256QAM for 60kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	DFT-s- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulate d symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	10	60	10	11	256QAM	20	2/3	7040	24	1	1	10560	1320
	15	60	18	11	256QAM	20	2/3	12552	24	1	2	19008	2376
	20	60	24	11	256QAM	20	2/3	16896	24	1	3	25344	3168
	25	60	30	11	256QAM	20	2/3	21000	24	1	3	31680	3960
	30	60	36	11	256QAM	20	2/3	25104	24	1	3	38016	4752
	40	60	50	11	256QAM	20	2/3	34816	24	1	5	52800	6600
	50	60	64	11	256QAM	20	2/3	45096	24	1	6	67584	8448
	60	60	75	11	256QAM	20	2/3	53288	24	1	7	79200	9900
	80	60	100	11	256QAM	20	2/3	69672	24	1	9	105600	13200
	90	60	120	11	256QAM	20	2/3	83976	24	1	10	126720	15840
	100	60	135	11	256QAM	20	2/3	94248	24	1	12	142560	17820

NOTE 2: MCS Index is based on MCS table 5.1.3.1-2 defined in 38.214.

NOTE 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

## A.2.2.6 CP-OFDM QPSK

Table A.2.2.6-1: Reference Channels for CP-OFDM QPSK for 15kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	CP- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulate d symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	5-50	15	1	11	QPSK	2	1/6	56	16	2	1	264	132
	5	15	13	11	QPSK	2	1/6	672	16	2	1	3432	1716
	5	15	25	11	QPSK	2	1/6	1256	16	2	1	6600	3300
	10	15	26	11	QPSK	2	1/6	1288	16	2	1	6864	3432
	10	15	52	11	QPSK	2	1/6	2600	16	2	1	13728	6864
	15	15	40	11	QPSK	2	1/6	2024	16	2	1	10560	5280
	15	15	79	11	QPSK	2	1/6	3912	24	2	2	20856	10428
	20	15	53	11	QPSK	2	1/6	2664	16	2	1	13992	6996
	20	15	106	11	QPSK	2	1/6	5256	24	2	2	27984	13992
	25	15	67	11	QPSK	2	1/6	3368	16	2	1	17688	8844
	25	15	133	11	QPSK	2	1/6	6664	24	2	2	35112	17556
	30	15	80	11	QPSK	2	1/6	3976	24	2	2	21120	10560
•	30	15	160	11	QPSK	2	1/6	7944	24	2	3	42240	21120
	40	15	108	11	QPSK	2	1/6	5384	24	2	2	28512	14256
•	40	15	216	11	QPSK	2	1/6	10752	24	2	3	57024	28512
•	50	15	135	11	QPSK	2	1/6	6664	24	2	2	35640	17820
	50	15	270	11	QPSK	2	1/6	13320	24	2	4	71280	35640

NOTE 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

NOTE 2: MCS Index is based on MCS table 5.1.3.1-1 defined in 38.214.

Table A.2.2.6-2: Reference Channels for CP-OFDM QPSK for 30kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	CP- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulate d symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	5-50	30	1	11	QPSK	2	1/6	56	16	2	1	264	132
	5	30	6	11	QPSK	2	1/6	304	16	2	1	1584	792
	5	30	11	11	QPSK	2	1/6	552	16	2	1	2904	1452
	10	30	12	11	QPSK	2	1/6	608	16	2	1	3168	1584
	10	30	24	11	QPSK	2	1/6	1192	16	2	1	6336	3168
	15	30	19	11	QPSK	2	1/6	984	16	2	1	5016	2508
	15	30	38	11	QPSK	2	1/6	1928	16	2	1	10032	5016
	20	30	26	11	QPSK	2	1/6	1288	16	2	1	6864	3432
	20	30	51	11	QPSK	2	1/6	2536	16	2	1	13464	6732
	25	30	33	11	QPSK	2	1/6	1672	16	2	1	8712	4356
	25	30	65	11	QPSK	2	1/6	3240	16	2	1	17160	8580
	30	30	39	11	QPSK	2	1/6	2024	16	2	1	10296	5148
	30	30	78	11	QPSK	2	1/6	3848	24	2	2	20592	10296
	40	30	53	11	QPSK	2	1/6	2664	16	2	1	13992	6996
	40	30	106	11	QPSK	2	1/6	5256	24	2	2	27984	13992
	50	30	67	11	QPSK	2	1/6	3368	16	2	1	17688	8844
	50	30	133	11	QPSK	2	1/6	6664	24	2	2	35112	17556
	60	30	81	11	QPSK	2	1/6	4040	24	2	2	21384	10692
	60	30	162	11	QPSK	2	1/6	8064	24	2	3	42768	21384
	80	30	109	11	QPSK	2	1/6	5384	24	2	2	28776	14388
	80	30	217	11	QPSK	2	1/6	10752	24	2	3	57288	28644
	90	30	123	11	QPSK	2	1/6	6152	24	2	2	32472	16236
	90	30	245	11	QPSK	2	1/6	12296	24	2	4	64680	32340
	100	30	137	11	QPSK	2	1/6	6792	24	2	2	36168	18084
	100	30	273	11	QPSK	2	1/6	13576	24	2	4	72072	36036

NOTE 2: MCS Index is based on MCS table 5.1.3.1-1 defined in 38.214.

Table A.2.2.6-3: Reference Channels for CP-OFDM QPSK for 60kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	CP- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulate d symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	10-100	60	1	11	QPSK	2	1/6	56	16	2	1	264	132
	10	60	6	11	QPSK	2	1/6	304	16	2	1	1584	792
	10	60	11	11	QPSK	2	1/6	552	16	2	1	2904	1452
	15	60	9	11	QPSK	2	1/6	456	16	2	1	2376	1188
	15	60	18	11	QPSK	2	1/6	928	16	2	1	4752	2376
	20	60	12	11	QPSK	2	1/6	608	16	2	1	3168	1584
	20	60	24	11	QPSK	2	1/6	1192	16	2	1	6336	3168
	25	60	16	11	QPSK	2	1/6	808	16	2	1	4224	2112
	25	60	31	11	QPSK	2	1/6	1544	16	2	1	8184	4092
	30	60	19	11	QPSK	2	1/6	984	16	2	1	5016	2508
	30	60	38	11	QPSK	2	1/6	1928	16	2	1	10032	5016
	40	60	26	11	QPSK	2	1/6	1288	16	2	1	6864	3432
	40	60	51	11	QPSK	2	1/6	2536	16	2	1	13464	6732
	50	60	33	11	QPSK	2	1/6	1672	16	2	1	8712	4356
	50	60	65	11	QPSK	2	1/6	3240	16	2	1	17160	8580
	60	60	40	11	QPSK	2	1/6	2024	16	2	1	10560	5280
	60	60	79	11	QPSK	2	1/6	3912	24	2	2	20856	10428
	80	60	54	11	QPSK	2	1/6	2664	16	2	1	14256	7128
	80	60	107	11	QPSK	2	1/6	5256	24	2	2	28248	14124
	90	60	61	11	QPSK	2	1/6	3104	16	2	1	16104	8052
	90	60	121	11	QPSK	2	1/6	6024	24	2	2	31944	15972
	100	60	68	11	QPSK	2	1/6	3368	16	2	1	17952	8976
NOTE 4 D	100	60	135	11	QPSK	2	1/6	6664	24	2	2	35640	17820

NOTE 2: MCS Index is based on MCS table 5.1.3.1-1 defined in 38.214.

NOTE 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

#### A.2.2.7 CP-OFDM 16QAM

Table A.2.2.7-1: Reference Channels for CP-OFDM 16QAM for 15kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	CP- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulate d symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	5-50	15	1	11	16QAM	10	1/3	176	16	2	1	528	132
	5	15	13	11	16QAM	10	1/3	2280	16	2	1	6864	1716
	5	15	25	11	16QAM	10	1/3	4352	24	1	1	13200	3300
	10	15	26	11	16QAM	10	1/3	4480	24	1	1	13728	3432
	10	15	52	11	16QAM	10	1/3	9224	24	1	2	27456	6864
	15	15	40	11	16QAM	10	1/3	7040	24	1	1	21120	5280
	15	15	79	11	16QAM	10	1/3	13832	24	1	2	41712	10428
	20	15	53	11	16QAM	10	1/3	9224	24	1	2	27984	6996
	20	15	106	11	16QAM	10	1/3	18432	24	1	3	55968	13992
	25	15	67	11	16QAM	10	1/3	11784	24	1	2	35376	8844
	25	15	133	11	16QAM	10	1/3	23040	24	1	3	70224	17556
	30	15	80	11	16QAM	10	1/3	14088	24	1	2	42240	10560
•	30	15	160	11	16QAM	10	1/3	28168	24	1	4	84480	21120
	40	15	108	11	16QAM	10	1/3	18960	24	1	3	57024	14256
•	40	15	216	11	16QAM	10	1/3	37896	24	1	5	114048	28512
	50	15	135	11	16QAM	10	1/3	23568	24	1	3	71280	17820
	50	15	270	11	16QAM	10	1/3	47112	24	1	6	142560	35640

NOTE 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

NOTE 2: MCS Index is based on MCS table 5.1.3.1-1 defined in 38.214.

Table A.2.2.7-2: Reference Channels for CP-OFDM 16QAM for 30kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	CP- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulate d symbols per slot
Unit	MHz	KHz		-				Bits	Bits			Bits	
	5-50	30	1	11	16QAM	10	1/3	176	16	2	1	528	132
	5	30	6	11	16QAM	10	1/3	1064	16	2	1	3168	792
	5	30	11	11	16QAM	10	1/3	1928	16	2	1	5808	1452
	10	30	12	11	16QAM	10	1/3	2088	16	2	1	6336	1584
	10	30	24	11	16QAM	10	1/3	4224	24	1	1	12672	3168
	15	30	19	11	16QAM	10	1/3	3368	16	2	1	10032	2508
	15	30	38	11	16QAM	10	1/3	6656	24	1	1	20064	5016
	20	30	26	11	16QAM	10	1/3	4480	24	1	1	13728	3432
	20	30	51	11	16QAM	10	1/3	8968	24	1	2	26928	6732
	25	30	33	11	16QAM	10	1/3	5760	24	1	1	17424	4356
	25	30	65	11	16QAM	10	1/3	11272	24	1	2	34320	8580
	30	30	39	11	16QAM	10	1/3	6784	24	1	1	20592	5148
	30	30	78	11	16QAM	10	1/3	13576	24	1	2	41184	10296
	40	30	53	11	16QAM	10	1/3	9224	24	1	2	27984	6996
	40	30	106	11	16QAM	10	1/3	18432	24	1	3	55968	13992
	50	30	67	11	16QAM	10	1/3	11784	24	1	2	35376	8844
	50	30	133	11	16QAM	10	1/3	23040	24	1	3	70224	17556
	60	30	81	11	16QAM	10	1/3	14088	24	1	2	42768	10692
	60	30	162	11	16QAM	10	1/3	28168	24	1	4	85536	21384
	80	30	109	11	16QAM	10	1/3	18960	24	1	3	57552	14388
	80	30	217	11	16QAM	10	1/3	37896	24	1	5	114576	28644
	90	30	123	11	16QAM	10	1/3	21504	24	1	3	64944	16236
	90	30	245	11	16QAM	10	1/3	43032	24	1	6	129360	32340
	100	30	137	11	16QAM	10	1/3	24072	24	1	3	72336	18084
	100	30	273	11	16QAM	10	1/3	48168	24	1	6	144144	36036

NOTE 2: MCS Index is based on MCS table 5.1.3.1-1 defined in 38.214.

NOTE 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.2.7-3: Reference Channels for CP-OFDM 16QAM for 60kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	CP- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulate d symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	10-100	60	1	11	16QAM	10	1/3	176	16	2	1	528	132
	10	60	6	11	16QAM	10	1/3	1064	16	2	1	3168	792
	10	60	11	11	16QAM	10	1/3	1928	16	2	1	5808	1452
	15	60	9	11	16QAM	10	1/3	1608	16	2	1	4752	1188
	15	60	18	11	16QAM	10	1/3	3240	16	2	1	9504	2376
	20	60	12	11	16QAM	10	1/3	2088	16	2	1	6336	1584
	20	60	24	11	16QAM	10	1/3	4224	24	1	1	12672	3168
	25	60	16	11	16QAM	10	1/3	2792	16	2	1	8448	2112
	25	60	31	11	16QAM	10	1/3	5376	24	1	1	16368	4092
	30	60	19	11	16QAM	10	1/3	3368	16	2	1	10032	2508
	30	60	38	11	16QAM	10	1/3	6656	24	1	1	20064	5016
	40	60	26	11	16QAM	10	1/3	4480	24	1	1	13728	3432
	40	60	51	11	16QAM	10	1/3	8968	24	1	2	26928	6732
	50	60	33	11	16QAM	10	1/3	5760	24	1	1	17424	4356
	50	60	65	11	16QAM	10	1/3	11272	24	1	2	34320	8580
	60	60	40	11	16QAM	10	1/3	7040	24	1	1	21120	5280
	60	60	79	11	16QAM	10	1/3	13832	24	1	2	41712	10428
	80	60	54	11	16QAM	10	1/3	9480	24	1	2	28512	7128
	80	60	107	11	16QAM	10	1/3	18960	24	1	3	56496	14124
	90	60	61	11	16QAM	10	1/3	10760	24	1	2	32208	8052
	90	60	121	11	16QAM	10	1/3	21000	24	1	3	63888	15972
	100	60	68	11	16QAM	10	1/3	11784	24	1	2	35904	8976
	100	60	135	11	16QAM	10	1/3	23568	24	1	3	71280	17820

NOTE 2: MCS Index is based on MCS table 5.1.3.1-1 defined in 38.214.

NOTE 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

## A.2.2.8 CP-OFDM 64QAM

Table A.2.2.8-1: Reference Channels for CP-OFDM 64QAM for 15kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	CP- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulate d symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	5	15	25	11	64QAM	19	1/2	9992	24	1	2	19800	3300
	10	15	52	11	64QAM	19	1/2	21000	24	1	3	41184	6864
	15	15	79	11	64QAM	19	1/2	31752	24	1	4	62568	10428
	20	15	106	11	64QAM	19	1/2	42016	24	1	5	83952	13992
	25	15	133	11	64QAM	19	1/2	53288	24	1	7	105336	17556
	30	15	160	11	64QAM	19	1/2	63528	24	1	8	126720	21120
	40	15	216	11	64QAM	19	1/2	86040	24	1	11	171072	28512
-	50	15	270	11	64QAM	19	1/2	108552	24	1	13	213840	35640

NOTE 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

NOTE 2: MCS Index is based on MCS table 5.1.3.1-1 defined in 38.214.

Table A.2.2.8-2: Reference Channels for CP-OFDM 64QAM for 30kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	CP- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulate d symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	5	30	11	11	64QAM	19	1/2	4352	24	1	1	8712	1452
	10	30	24	11	64QAM	19	1/2	9480	24	1	2	19008	3168
	15	30	38	11	64QAM	19	1/2	15112	24	1	2	30096	5016
	20	30	51	11	64QAM	19	1/2	20496	24	1	3	40392	6732
	25	30	65	11	64QAM	19	1/2	26120	24	1	4	51480	8580
	30	30	78	11	64QAM	19	1/2	31240	24	1	4	61776	10296
	40	30	106	11	64QAM	19	1/2	42016	24	1	5	83952	13992
	50	30	133	11	64QAM	19	1/2	53288	24	1	7	105336	17556
	60	30	162	11	64QAM	19	1/2	64552	24	1	8	128304	21384
	80	30	217	11	64QAM	19	1/2	86040	24	1	11	171864	28644
	90	30	245	11	64QAM	19	1/2	98376	24	1	12	194040	32340
	100	30	273	11	64QAM	19	1/2	108552	24	1	13	216216	36036

NOTE 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

NOTE 2: MCS Index is based on MCS table 5.1.3.1-1 defined in 38.214.

Table A.2.2.8-3: Reference Channels for CP-OFDM 64QAM for 60kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	CP- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulate d symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	10	60	11	11	64QAM	19	1/2	4352	24	1	1	8712	1452
	15	60	18	11	64QAM	19	1/2	7168	24	1	1	14256	2376
	20	60	24	11	64QAM	19	1/2	9480	24	1	2	19008	3168
	25	60	31	11	64QAM	19	1/2	12296	24	1	2	24552	4092
	30	60	38	11	64QAM	19	1/2	15112	24	1	2	30096	5016
	40	60	51	11	64QAM	19	1/2	20496	24	1	3	40392	6732
	50	60	65	11	64QAM	19	1/2	26120	24	1	4	51480	8580
	60	60	79	11	64QAM	19	1/2	31752	24	1	4	62568	10428
	80	60	107	11	64QAM	19	1/2	43032	24	1	6	84744	14124
	90	60	121	11	64QAM	19	1/2	48168	24	1	6	95832	15972
	100	60	135	11	64QAM	19	1/2	54296	24	1	7	106920	17820

NOTE 2: MCS Index is based on MCS table 5.1.3.1-1 defined in 38.214.

NOTE 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

#### A.2.2.9 CP-OFDM 256QAM

Table A.2.2.9-1: Reference Channels for CP-OFDM 256QAM for 15kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	CP- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulate d symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	5	15	25	11	256QAM	20	2/3	17424	24	1	3	26400	3300
	10	15	52	11	256QAM	20	2/3	36896	24	1	5	54912	6864
	15	15	79	11	256QAM	20	2/3	55304	24	1	7	83424	10428
	20	15	106	11	256QAM	20	2/3	73776	24	1	9	111936	13992
	25	15	133	11	256QAM	20	2/3	94248	24	1	12	140448	17556
	30	15	160	11	256QAM	20	2/3	112648	24	1	14	168960	21120
	40	15	216	11	256QAM	20	2/3	151608	24	1	18	228096	28512
	50	15	270	11	256QAM	20	2/3	188576	24	1	23	285120	35640

NOTE 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

NOTE 2: MCS Index is based on MCS table 5.1.3.1-2 defined in 38.214.

Table A.2.2.9-2: Reference Channels for CP-OFDM 256QAM for 30kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	CP- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulate d symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	5	30	11	11	256QAM	20	2/3	7680	24	1	1	11616	1452
	10	30	24	11	256QAM	20	2/3	16896	24	1	3	25344	3168
	15	30	38	11	256QAM	20	2/3	26632	24	1	4	40128	5016
	20	30	51	11	256QAM	20	2/3	35856	24	1	5	53856	6732
	25	30	65	11	256QAM	20	2/3	46104	24	1	6	68640	8580
	30	30	78	11	256QAM	20	2/3	55304	24	1	7	82368	10296
	40	30	106	11	256QAM	20	2/3	73776	24	1	9	111936	13992
	50	30	133	11	256QAM	20	2/3	94248	24	1	12	140448	17556
	60	30	162	11	256QAM	20	2/3	114776	24	1	14	171072	21384
	80	30	217	11	256QAM	20	2/3	151608	24	1	18	229152	28644
	90	30	245	11	256QAM	20	2/3	172176	24	1	21	258720	32340
	100	30	273	11	256QAM	20	2/3	192624	24	1	23	288288	36036

NOTE 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

NOTE 2: MCS Index is based on MCS table 5.1.3.1-2 defined in 38.214.

NOTE 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.2.9-3: Reference Channels for CP-OFDM 256QAM for 60kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	CP- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulate d symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	10	60	11	11	256QAM	20	2/3	7680	24	1	1	11616	1452
	15	60	18	11	256QAM	20	2/3	12552	24	1	2	19008	2376
	20	60	24	11	256QAM	20	2/3	16896	24	1	3	25344	3168
	25	60	31	11	256QAM	20	2/3	22032	24	1	3	32736	4092
	30	60	38	11	256QAM	20	2/3	26632	24	1	4	40128	5016
	40	60	51	11	256QAM	20	2/3	35856	24	1	5	53856	6732
	50	60	65	11	256QAM	20	2/3	46104	24	1	6	68640	8580
	60	60	79	11	256QAM	20	2/3	55304	24	1	7	83424	10428
	80	60	107	11	256QAM	20	2/3	75792	24	1	9	112992	14124
	90	60	121	11	256QAM	20	2/3	86040	24	1	11	127776	15972
	100	60	135	11	256QAM	20	2/3	94248	24	1	12	142560	17820

NOTE 2: MCS Index is based on MCS table 5.1.3.1-2 defined in 38.214.

# A.2.3 Reference measurement channels for TDD

TDD slot patterns defined for reference sensitivity tests will be used for UL RMCs defined below.

## A.2.3.1 DFT-s-OFDM Pi/2-BPSK

Table A.2.3.1-1: Reference Channels for DFT-s-OFDM Pi/2-BPSK for 15kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	DFT-s- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 4 and 9	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot for slots 4 and 9 (Note 3)	Total number of bits per slot for slots 4 and 9	Total modulate d symbols per slot for slots 4 and 9
Unit	MHz	KHz						Bits	Bits			Bits	
	5-50	15	1	11	pi/2 BPSK	0	1/4	32	16	2	1	132	132
	5	15	12	11	pi/2 BPSK	0	1/4	384	16	2	1	1584	1584
	5	15	25	11	pi/2 BPSK	0	1/4	808	16	2	1	3300	3300
	10	15	25	11	pi/2 BPSK	0	1/4	808	16	2	1	3300	3300
	10	15	50	11	pi/2 BPSK	0	1/4	1544	16	2	1	6600	6600
	15	15	36	11	pi/2 BPSK	0	1/4	1128	16	2	1	4752	4752
	15	15	75	11	pi/2 BPSK	0	1/4	2408	16	2	1	9900	9900
	20	15	50	11	pi/2 BPSK	0	1/4	1544	16	2	1	6600	6600
	20	15	100	11	pi/2 BPSK	0	1/4	3104	16	2	1	13200	13200
	25	15	64	11	pi/2 BPSK	0	1/4	2024	16	2	1	8448	8448
	25	15	128	11	pi/2 BPSK	0	1/4	3976	24	2	2	16896	16896
	30	15	80	11	pi/2 BPSK	0	1/4	2472	16	2	1	10560	10560
	30	15	160	11	pi/2 BPSK	0	1/4	4872	24	2	2	21120	21120
	40	15	108	11	pi/2 BPSK	0	1/4	3368	16	2	1	14256	14256
	40	15	216	11	pi/2 BPSK	0	1/4	6664	24	2	2	28512	28512
	50	15	135	11	pi/2 BPSK	0	1/4	4104	24	2	2	17820	17820
1	50	15	270	11	pi/2 BPSK	0	1/4	8448	24	2	3	35640	35640

NOTE 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

NOTE 2: MCS Index is based on MCS table 6.1.4.1-1 defined in 38.214.

NOTE 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.3.1-2: Reference Channels for DFT-s-OFDM Pi/2-BPSK for 30kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	DFT-s- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 8, 9, 18 and 19	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot for slots 8, 9, 18 and 19 (Note 3)	Total number of bits per slot for slots 8, 9, 18 and 19	Total modulate d symbols per slot for slots 8, 9, 18 and 19
Unit	MHz	KHz						Bits	Bits			Bits	
	5-50	30	1	11	pi/2 BPSK	0	1/4	32	16	2	1	132	132
	5	30	5	11	pi/2 BPSK	0	1/4	160	16	2	1	660	660
	5	30	10	11	pi/2 BPSK	0	1/4	320	16	2	1	1320	1320
	10	30	12	11	pi/2 BPSK	0	1/4	384	16	2	1	1584	1584
	10	30	24	11	pi/2 BPSK	0	1/4	768	16	2	1	3168	3168
	15	30	18	11	pi/2 BPSK	0	1/4	576	16	2	1	2376	2376
	15	30	36	11	pi/2 BPSK	0	1/4	1128	16	2	1	4752	4752
	20	30	25	11	pi/2 BPSK	0	1/4	808	16	2	1	3300	3300
	20	30	50	11	pi/2 BPSK	0	1/4	1544	16	2	1	6600	6600
	25	30	32	11	pi/2 BPSK	0	1/4	1032	16	2	1	4224	4224
	25	30	64	11	pi/2 BPSK	0	1/4	2024	16	2	1	8448	8448
	30	30	36	11	pi/2 BPSK	0	1/4	1128	16	2	1	4752	4752
	30	30	75	11	pi/2 BPSK	0	1/4	2408	16	2	1	9900	9900
	40	30	50	11	pi/2 BPSK	0	1/4	1544	16	2	1	6600	6600
	40	30	100	11	pi/2 BPSK	0	1/4	3104	16	2	1	13200	13200
	50	30	64	11	pi/2 BPSK	0	1/4	2024	16	2	1	8448	8448
	50	30	128	11	pi/2 BPSK	0	1/4	3976	24	2	2	16896	16896
	60	30	81	11	pi/2 BPSK	0	1/4	2536	16	2	1	10692	10692
	60	30	162	11	pi/2 BPSK	0	1/4	5000	24	2	2	21384	21384
-	80	30	108	11	pi/2 BPSK	0	1/4	3368	16	2	1	14256	14256
-	80	30	216	11	pi/2 BPSK	0	1/4	6664	24	2	2	28512	28512
-	90	30	120	11	pi/2 BPSK	0	1/4	3752	16	2	1	15840	15840
	90	30	243	11	pi/2 BPSK	0	1/4	7560	24	2	2	32076	32076
	100	30	135	11	pi/2 BPSK	0	1/4	4104	24	2	2	17820	17820
	100	30	270	11	pi/2 BPSK	0	1/4	8448	24	2	3	35640	35640

NOTE 2: MCS Index is based on MCS table 6.1.4.1-1 defined in 38.214.

Table A.2.3.1-3: Reference Channels for DFT-s-OFDM Pi/2-BPSK for 60kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	DFT-s- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 4, 9, 14, 19, 24, 29, 34 and 39	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot for slots 4, 9, 14, 19, 24, 29, 34 and 39 (Note 3)	Total number of bits per slot for slots 4, 9, 14, 19, 24, 29, 34 and 39	Total modulate d symbols per slot for slots 4, 9, 14, 19, 24, 29, 34 and 39
Unit	MHz	KHz						Bits	Bits			Bits	
	10-100	60	1	11	pi/2 BPSK	0	1/4	32	16	2	1	132	132
	10	60	5	11	pi/2 BPSK	0	1/4	160	16	2	1	660	660
	10	60	10	11	pi/2 BPSK	0	1/4	320	16	2	1	1320	1320
	15	60	9	11	pi/2 BPSK	0	1/4	288	16	2	1	1188	1188
	15	60	18	11	pi/2 BPSK	0	1/4	576	16	2	1	2376	2376
	20	60	12	11	pi/2 BPSK	0	1/4	384	16	2	1	1584	1584
	20	60	24	11	pi/2 BPSK	0	1/4	768	16	2	1	3168	3168
	25	60	15	11	pi/2 BPSK	0	1/4	480	16	2	1	1980	1980
	25	60	30	11	pi/2 BPSK	0	1/4	984	16	2	1	3960	3960
	30	60	18	11	pi/2 BPSK	0	1/4	576	16	2	1	2376	2376
	30	60	36	11	pi/2 BPSK	0	1/4	1128	16	2	1	4752	4752
	40	60	25	11	pi/2 BPSK	0	1/4	808	16	2	1	3300	3300
	40	60	50	11	pi/2 BPSK	0	1/4	1544	16	2	1	6600	6600
	50	60	32	11	pi/2 BPSK	0	1/4	1032	16	2	1	4224	4224
	50	60	64	11	pi/2 BPSK	0	1/4	2024	16	2	1	8448	8448
	60	60	36	11	pi/2 BPSK	0	1/4	1128	16	2	1	4752	4752
	60	60	75	11	pi/2 BPSK	0	1/4	2408	16	2	1	9900	9900
	80	60	50	11	pi/2 BPSK	0	1/4	1544	16	2	1	6600	6600
	80	60	100	11	pi/2 BPSK	0	1/4	3104	16	2	1	13200	13200
	90	60	60	11	pi/2 BPSK	0	1/4	1864	16	2	1	7920	7920
	90	60	120	11	pi/2 BPSK	0	1/4	3752	16	2	1	15840	15840
	100	60	64	11	pi/2 BPSK	0	1/4	2024	16	2	1	8448	8448
	100	60	135	11	pi/2 BPSK	0	1/4	4104	24	2	2	17820	17820

NOTE 2: MCS Index is based on MCS table 6.1.4.1-1 defined in 38.214.

NOTE 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

## A.2.3.2 DFT-s-OFDM QPSK

Table A.2.3.2-1: Reference channels for DFT-s-OFDM QPSK for 15kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	DFT-s- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 4 and 9	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot for slots 4 and 9 (Note 3)	Total number of bits per slot for slots 4 and 9	Total modulate d symbols per slot for slots 4 and 9
Unit	MHz	KHz						Bits	Bits			Bits	
	5-50	15	1	11	QPSK	2	1/6	56	16	2	1	264	132
	5	15	12	11	QPSK	2	1/6	608	16	2	1	3168	1584
	5	15	20	11	QPSK	2	1/6	1032	16	2	1	5280	2640
	5	15	25	11	QPSK	2	1/6	1256	16	2	1	6600	3300
	10	15	20	11	QPSK	2	1/6	1032	16	2	1	5280	2640
	10	15	25	11	QPSK	2	1/6	1256	16	2	1	6600	3300
	10	15	50	11	QPSK	2	1/6	2472	16	2	1	13200	6600
	15	15	20	11	QPSK	2	1/6	1032	16	2	1	5280	2640
	15	15	25	11	QPSK	2	1/6	1256	16	2	1	6600	3300
	15	15	36	11	QPSK	2	1/6	1800	16	2	1	9504	4752
	15	15	50	11	QPSK	2	1/6	2472	16	2	1	13200	6600
	15	15	75	11	QPSK	2	1/6	3752	16	2	1	19800	9900
	20	15	20	11	QPSK	2	1/6	1032	16	2	1	5280	2640
	20	15	25	11	QPSK	2	1/6	1256	16	2	1	6600	3300
	20	15	50	11	QPSK	2	1/6	2472	16	2	1	13200	6600
	20	15	100	11	QPSK	2	1/6	5000	24	2	2	26400	13200
	25	15	50	11	QPSK	2	1/6	2472	16	2	1	13200	6600
-	25	15	64	11	QPSK	2	1/6	3240	16	2	1	16896	8448
-	25	15	128	11	QPSK	2	1/6	6408	24	2	2	33792	16896
-	30	15	50	11	QPSK	2	1/6	2472	16	2	1	13200	6600
-	30	15	80	11	QPSK	2	1/6	3976	24	2	2	21120	10560
-	30	15	160	11	QPSK	2	1/6	7944	24	2	3	42240	21120
-	40	15	108	11	QPSK	2	1/6	5384	24	2	2	28512	14256
-	40	15	216	11	QPSK	2	1/6	10752	24	2	3	57024	28512
-	50	15	135	11	QPSK	2	1/6	6664	24	2	2	35640	17820
	50	15	270	11	QPSK	2	1/6	13320	24	2	4	71280	35640

NOTE 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

NOTE 2: MCS Index is based on MCS table 6.1.4.1-1 defined in 38.214.

Table A.2.3.2-2: Reference channels for DFT-s-OFDM QPSK for 30kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	DFT-s- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 8, 9, 18 and 19	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot for slots 8, 9, 18 and 19 (Note 3)	Total number of bits per slot for slots 8, 9, 18 and 19	Total modulate d symbols per slot for slots 8, 9, 18 and 19
Unit	MHz	KHz						Bits	Bits			Bits	
	5-50	30	1	11	QPSK	2	1/6	56	16	2	1	264	132
	5	30	5	11	QPSK	2	1/6	256	16	2	1	1320	660
	5	30	10	11	QPSK	2	1/6	504	16	2	1	2640	1320
	10	30	12	11	QPSK	2	1/6	608	16	2	1	3168	1584
	10	30	24	11	QPSK	2	1/6	1192	16	2	1	6336	3168
	15	30	18	11	QPSK	2	1/6	928	16	2	1	4752	2376
	15	30	36	11	QPSK	2	1/6	1800	16	2	1	9504	4752
	20	30	25	11	QPSK	2	1/6	1256	16	2	1	6600	3300
	20	30	50	11	QPSK	2	1/6	2472	16	2	1	13200	6600
	25	30	32	11	QPSK	2	1/6	1608	16	2	1	8448	4224
	25	30	64	11	QPSK	2	1/6	3240	16	2	1	16896	8448
	30	30	36	11	QPSK	2	1/6	1800	16	2	1	9504	4752
	30	30	75	11	QPSK	2	1/6	3752	16	2	1	19800	9900
	40	30	50	11	QPSK	2	1/6	2472	16	2	1	13200	6600
	40	30	100	11	QPSK	2	1/6	5000	24	2	2	26400	13200
	50	30	64	11	QPSK	2	1/6	3240	16	2	1	16896	8448
	50	30	128	11	QPSK	2	1/6	6408	24	2	2	33792	16896
	60	30	81	11	QPSK	2	1/6	4040	24	2	2	21384	10692
	60	30	162	11	QPSK	2	1/6	8064	24	2	3	42768	21384
	80	30	108	11	QPSK	2	1/6	5384	24	2	2	28512	14256
	80	30	216	11	QPSK	2	1/6	10752	24	2	3	57024	28512
	90	30	120	11	QPSK	2	1/6	5896	24	2	2	31680	15840
	90	30	243	11	QPSK	2	1/6	12040	24	2	4	64152	32076
	100	30	135	11	QPSK	2	1/6	6664	24	2	2	35640	17820
	100	30	270	11	QPSK	2	1/6	13320	24	2	4	71280	35640

NOTE 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

NOTE 2: MCS Index is based on MCS table 6.1.4.1-1 defined in 38.214.

NOTE 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.3.2-3: Reference channels for DFT-s-OFDM QPSK for 60kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	DFT-s- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 4, 9, 14, 19, 24, 29, 34 and 39	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot for slots 4, 9, 14, 19, 24, 29, 34 and 39 (Note 3)	Total number of bits per slot for slots 4, 9, 14, 19, 24, 29, 34 and 39	Total modulate d symbols per slot for slots 4, 9, 14, 19, 24, 29, 34 and 39
Unit	MHz	KHz						Bits	Bits			Bits	
	10-100	60	1	11	QPSK	2	1/6	56	16	2	1	264	132
	10	60	5	11	QPSK	2	1/6	256	16	2	1	1320	660
	10	60	10	11	QPSK	2	1/6	504	16	2	1	2640	1320
	15	60	9	11	QPSK	2	1/6	456	16	2	1	2376	1188
	15	60	18	11	QPSK	2	1/6	928	16	2	1	4752	2376
	20	60	12	11	QPSK	2	1/6	608	16	2	1	3168	1584
	20	60	24	11	QPSK	2	1/6	1192	16	2	1	6336	3168
	25	60	15	11	QPSK	2	1/6	768	16	2	1	3960	1980
	25	60	30	11	QPSK	2	1/6	1544	16	2	1	7920	3960
	30	60	18	11	QPSK	2	1/6	928	16	2	1	4752	2376
	30	60	36	11	QPSK	2	1/6	1800	16	2	1	9504	4752
	40	60	25	11	QPSK	2	1/6	1256	16	2	1	6600	3300
	40	60	50	11	QPSK	2	1/6	2472	16	2	1	13200	6600
	50	60	32	11	QPSK	2	1/6	1608	16	2	1	8448	4224
	50	60	64	11	QPSK	2	1/6	3240	16	2	1	16896	8448
	60	60	36	11	QPSK	2	1/6	1800	16	2	1	9504	4752
	60	60	75	11	QPSK	2	1/6	3752	16	2	1	19800	9900
	80	60	50	11	QPSK	2	1/6	2472	16	2	1	13200	6600
	80	60	100	11	QPSK	2	1/6	5000	24	2	2	26400	13200
	90	60	60	11	QPSK	2	1/6	3104	16	2	1	15840	7920
	90	60	120	11	QPSK	2	1/6	5896	24	2	2	31680	15840
	100	60	64	11	QPSK	2	1/6	3240	16	2	1	16896	8448
	100	60	135	11	QPSK	2	1/6	6664	24	2	2	35640	17820

NOTE 2: MCS Index is based on MCS table 6.1.4.1-1 defined in 38.214.

NOTE 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

#### A.2.3.3 DFT-s-OFDM 16QAM

Table A.2.3.3-1: Reference channels for DFT-s-OFDM 16QAM for 15kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	DFT-s- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 4 and 9	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot for slots 4 and 9 (Note 3)	Total number of bits per slot for slots 4 and 9	Total modulate d symbols per slot for slots 4 and 9
Unit	MHz	KHz						Bits	Bits			Bits	
	5-50	15	1	11	16QAM	10	1/3	176	16	2	1	528	132
	5	15	12	11	16QAM	10	1/3	2088	16	2	1	6336	1584
	5	15	25	11	16QAM	10	1/3	4352	24	1	1	13200	3300
	10	15	25	11	16QAM	10	1/3	4352	24	1	1	13200	3300
	10	15	50	11	16QAM	10	1/3	8712	24	1	2	26400	6600
	15	15	36	11	16QAM	10	1/3	6272	24	1	1	19008	4752
	15	15	75	11	16QAM	10	1/3	13064	24	1	2	39600	9900
	20	15	50	11	16QAM	10	1/3	8712	24	1	2	26400	6600
	20	15	100	11	16QAM	10	1/3	17424	24	1	3	52800	13200
	25	15	64	11	16QAM	10	1/3	11272	24	1	2	33792	8448
	25	15	128	11	16QAM	10	1/3	22536	24	1	3	67584	16896
	30	15	80	11	16QAM	10	1/3	14088	24	1	2	42240	10560
	30	15	160	11	16QAM	10	1/3	28168	24	1	4	84480	21120
	40	15	108	11	16QAM	10	1/3	18960	24	1	3	57024	14256
	40	15	216	11	16QAM	10	1/3	37896	24	1	5	114048	28512
	50	15	135	11	16QAM	10	1/3	23568	24	1	3	71280	17820
•	50	15	270	11	16QAM	10	1/3	47112	24	1	6	142560	35640

NOTE 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

NOTE 2: MCS Index is based on MCS table 6.1.4.1-1 defined in 38.214.

Table A.2.3.3-2: Reference channels for DFT-s-OFDM 16QAM for 30kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	DFT-s- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 8, 9, 18 and 19	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot for slots 8, 9, 18 and 19 (Note 3)	Total number of bits per slot for slots 8, 9, 18 and 19	Total modulate d symbols per slot for slots 8, 9, 18 and 19
Unit	MHz	KHz						Bits	Bits			Bits	
	5-50	30	1	11	16QAM	10	1/3	176	16	2	1	528	132
	5	30	5	11	16QAM	10	1/3	888	16	2	1	2640	660
	5	30	10	11	16QAM	10	1/3	1800	16	2	1	5280	1320
	10	30	12	11	16QAM	10	1/3	2088	16	2	1	6336	1584
	10	30	24	11	16QAM	10	1/3	4224	24	1	1	12672	3168
	15	30	18	11	16QAM	10	1/3	3240	16	2	1	9504	2376
	15	30	36	11	16QAM	10	1/3	6272	24	1	1	19008	4752
	20	30	25	11	16QAM	10	1/3	4352	24	1	1	13200	3300
	20	30	50	11	16QAM	10	1/3	8712	24	1	2	26400	6600
	25	30	32	11	16QAM	10	1/3	5632	24	1	1	16896	4224
	25	30	64	11	16QAM	10	1/3	11272	24	1	2	33792	8448
	30	30	36	11	16QAM	10	1/3	6272	24	1	1	19008	4752
	30	30	75	11	16QAM	10	1/3	13064	24	1	2	39600	9900
	40	30	50	11	16QAM	10	1/3	8712	24	1	2	26400	6600
	40	30	100	11	16QAM	10	1/3	17424	24	1	3	52800	13200
	50	30	64	11	16QAM	10	1/3	11272	24	1	2	33792	8448
	50	30	128	11	16QAM	10	1/3	22536	24	1	3	67584	16896
	60	30	81	11	16QAM	10	1/3	14088	24	1	2	42768	10692
	60	30	162	11	16QAM	10	1/3	28168	24	1	4	85536	21384
	80	30	108	11	16QAM	10	1/3	18960	24	1	3	57024	14256
	80	30	216	11	16QAM	10	1/3	37896	24	1	5	114048	28512
	90	30	120	11	16QAM	10	1/3	21000	24	1	3	63360	15840
	90	30	243	11	16QAM	10	1/3	43032	24	1	6	128304	32076
	100	30	135	11	16QAM	10	1/3	23568	24	1	3	71280	17820
	100	30	270	11	16QAM	10	1/3	47112	24	1	6	142560	35640

NOTE 2: MCS Index is based on MCS table 6.1.4.1-1 defined in 38.214.

NOTE 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.3.3-3: Reference channels for DFT-s-OFDM 16QAM for 60kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	DFT-s- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 4, 9, 14, 19, 24, 29, 34 and 39	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot for slots 4, 9, 14, 19, 24, 29, 34 and 39 (Note 3)	Total number of bits per slot for slots 4, 9, 14, 19, 24, 29, 34 and 39	Total modulate d symbols per slot for slots 4, 9, 14, 19, 24, 29, 34 and 39
Unit	MHz	KHz						Bits	Bits			Bits	
	10-100	60	1	11	16QAM	10	1/3	176	16	2	1	528	132
	10	60	5	11	16QAM	10	1/3	888	16	2	1	2640	660
	10	60	10	11	16QAM	10	1/3	1800	16	2	1	5280	1320
	15	60	9	11	16QAM	10	1/3	1608	16	2	1	4752	1188
	15	60	18	11	16QAM	10	1/3	3240	16	2	1	9504	2376
	20	60	12	11	16QAM	10	1/3	2088	16	2	1	6336	1584
	20	60	24	11	16QAM	10	1/3	4224	24	1	1	12672	3168
	25	60	15	11	16QAM	10	1/3	2664	16	2	1	7920	1980
	25	60	30	11	16QAM	10	1/3	5248	24	1	1	15840	3960
	30	60	18	11	16QAM	10	1/3	3240	16	2	1	9504	2376
	30	60	36	11	16QAM	10	1/3	6272	24	1	1	19008	4752
	40	60	25	11	16QAM	10	1/3	4352	24	1	1	13200	3300
	40	60	50	11	16QAM	10	1/3	8712	24	1	2	26400	6600
	50	60	32	11	16QAM	10	1/3	5632	24	1	1	16896	4224
	50	60	64	11	16QAM	10	1/3	11272	24	1	2	33792	8448
	60	60	36	11	16QAM	10	1/3	6272	24	1	1	19008	4752
	60	60	75	11	16QAM	10	1/3	13064	24	1	2	39600	9900
	80	60	50	11	16QAM	10	1/3	8712	24	1	2	26400	6600
	80	60	100	11	16QAM	10	1/3	17424	24	1	3	52800	13200
	90	60	60	11	16QAM	10	1/3	10504	24	1	2	31680	7920
	90	60	120	11	16QAM	10	1/3	21000	24	1	3	63360	15840
	100	60	64	11	16QAM	10	1/3	11272	24	1	2	33792	8448
	100	60	135	11	16QAM	10	1/3	23568	24	1	3	71280	17820

NOTE 2: MCS Index is based on MCS table 6.1.4.1-1 defined in 38.214.

NOTE 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

#### A.2.3.4 DFT-s-OFDM 64QAM

Table A.2.3.4-1: Reference channels for DFT-s-OFDM 64QAM for 15kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	DFT-s- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 4 and 9	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot for slots 4 and 9 (Note 3)	Total number of bits per slot for slots 4 and 9	Total modulate d symbols per slot for slots 4 and 9
Unit	MHz	KHz						Bits	Bits			Bits	
	5	15	25	11	64QAM	18	1/2	9992	24	1	2	19800	3300
	10	15	50	11	64QAM	18	1/2	19968	24	1	3	39600	6600
	15	15	75	11	64QAM	18	1/2	30216	24	1	4	59400	9900
	20	15	100	11	64QAM	18	1/2	39936	24	1	5	79200	13200
	25	15	128	11	64QAM	18	1/2	51216	24	1	7	101376	16896
	30	15	160	11	64QAM	18	1/2	63528	24	1	8	126720	21120
	40	15	216	11	64QAM	18	1/2	86040	24	1	11	171072	28512
	50	15	270	11	64QAM	18	1/2	108552	24	1	13	213840	35640

NOTE 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

NOTE 2: MCS Index is based on MCS table 6.1.4.1-1 defined in 38.214.

Table A.2.3.4-2: Reference channels for DFT-s-OFDM 64QAM for 30kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	DFT-s- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 8, 9, 18 and 19	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot for slots 8, 9, 18 and 19 (Note 3)	Total number of bits per slot for slots 8, 9, 18 and 19	Total modulate d symbols per slot for slots 8, 9, 18 and 19
Unit	MHz	KHz						Bits	Bits			Bits	
	5	30	10	11	64QAM	18	1/2	3968	24	1	1	7920	1320
	10	30	24	11	64QAM	18	1/2	9480	24	1	2	19008	3168
	15	30	36	11	64QAM	18	1/2	14344	24	1	2	28512	4752
	20	30	50	11	64QAM	18	1/2	19968	24	1	3	39600	6600
	25	30	64	11	64QAM	18	1/2	25608	24	1	4	50688	8448
	30	30	75	11	64QAM	18	1/2	30216	24	1	4	59400	9900
	40	30	100	11	64QAM	18	1/2	39936	24	1	5	79200	13200
	50	30	128	11	64QAM	18	1/2	51216	24	1	7	101376	16896
	60	30	162	11	64QAM	18	1/2	64552	24	1	8	128304	21384
	80	30	216	11	64QAM	18	1/2	86040	24	1	11	171072	28512
	90	30	243	11	64QAM	18	1/2	96264	24	1	12	192456	32076
	100	30	270	11	64QAM	18	1/2	108552	24	1	13	213840	35640

NOTE 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

NOTE 2: MCS Index is based on MCS table 6.1.4.1-1 defined in 38.214.

NOTE 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.3.4-3: Reference channels for DFT-s-OFDM 64QAM for 60kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	DFT-s- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 4, 9, 14, 19, 24, 29, 34 and 39	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot for slots 4, 9, 14, 19, 24, 29, 34 and 39 (Note 3)	Total number of bits per slot for slots 4, 9, 14, 19, 24, 29, 34 and 39	Total modulate d symbols per slot for slots 4, 9, 14, 19, 24, 29, 34 and 39
Unit	MHz	KHz						Bits	Bits			Bits	
	10	60	10	11	64QAM	18	1/2	3968	24	1	1	7920	1320
	15	60	18	11	64QAM	18	1/2	7168	24	1	1	14256	2376
	20	60	24	11	64QAM	18	1/2	9480	24	1	2	19008	3168
	25	60	30	11	64QAM	18	1/2	12040	24	1	2	23760	3960
	30	60	36	11	64QAM	18	1/2	14344	24	1	2	28512	4752
	40	60	50	11	64QAM	18	1/2	19968	24	1	3	39600	6600
	50	60	64	11	64QAM	18	1/2	25608	24	1	4	50688	8448
	60	60	75	11	64QAM	18	1/2	30216	24	1	4	59400	9900
	80	60	100	11	64QAM	18	1/2	39936	24	1	5	79200	13200
	90	60	120	11	64QAM	18	1/2	48168	24	1	6	95040	15840
	100	60	135	11	64QAM	18	1/2	54296	24	1	7	106920	17820

NOTE 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

NOTE 2: MCS Index is based on MCS table 6.1.4.1-1 defined in 38.214.

NOTE 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

## A.2.3.5 DFT-s-OFDM 256QAM

Table A.2.3.5-1: Reference channels for DFT-s-OFDM 256QAM for 15kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	DFT-s- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 4 and 9	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot for slots 4 and 9 (Note 3)	Total number of bits per slot for slots 4 and 9	Total modulate d symbols per slot for slots 4 and 9
Unit	MHz	KHz						Bits	Bits			Bits	
	5	15	25	11	256QAM	20	2/3	17424	24	1	3	26400	3300
	10	15	50	11	256QAM	20	2/3	34816	24	1	5	52800	6600
	15	15	75	11	256QAM	20	2/3	53288	24	1	7	79200	9900
	20	15	100	11	256QAM	20	2/3	69672	24	1	9	105600	13200
	25	15	128	11	256QAM	20	2/3	90176	24	1	11	135168	16896
	30	15	160	11	256QAM	20	2/3	112648	24	1	14	168960	21120
	40	15	216	11	256QAM	20	2/3	151608	24	1	18	228096	28512
	50	15	270	11	256QAM	20	2/3	188576	24	1	23	285120	35640

NOTE 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

NOTE 2: MCS Index is based on MCS table 5.1.3.1-2 defined in 38.214.

Table A.2.3.5-2: Reference channels for DFT-s-OFDM 256QAM for 30kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	DFT-s- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 8, 9, 18 and 19	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot for slots 8, 9, 18 and 19 (Note 3)	Total number of bits per slot for slots 8, 9, 18 and 19	Total modulate d symbols per slot for slots 8, 9, 18 and 19
Unit	MHz	KHz						Bits	Bits			Bits	
	5	30	10	11	256QAM	20	2/3	7040	24	1	1	10560	1320
	10	30	24	11	256QAM	20	2/3	16896	24	1	3	25344	3168
	15	30	36	11	256QAM	20	2/3	25104	24	1	3	38016	4752
	20	30	50	11	256QAM	20	2/3	34816	24	1	5	52800	6600
	25	30	64	11	256QAM	20	2/3	45096	24	1	6	67584	8448
	30	30	75	11	256QAM	20	2/3	53288	24	1	7	79200	9900
	40	30	100	11	256QAM	20	2/3	69672	24	1	9	105600	13200
	50	30	128	11	256QAM	20	2/3	90176	24	1	11	135168	16896
	60	30	162	11	256QAM	20	2/3	114776	24	1	14	171072	21384
	80	30	216	11	256QAM	20	2/3	151608	24	1	18	228096	28512
	90	30	243	11	256QAM	20	2/3	172176	24	1	21	256608	32076
	100	30	270	11	256QAM	20	2/3	188576	24	1	23	285120	35640

NOTE 2: MCS Index is based on MCS table 5.1.3.1-2 defined in 38.214.

NOTE 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.3.5-3: Reference channels for DFT-s-OFDM 256QAM for 60kHz SCS

Parameter	Channel bandwidth	Subcarrier Spacing	Allocated resource blocks	DFT-s- OFDM Symbols per slot (Note 1)	Modulation	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 4, 9, 14, 19, 24, 29, 34 and 39	Transport block CRC	LDPC Base Graph	Number of code blocks per slot for slots 4, 9, 14, 19, 24, 29, 34 and 39 (Note 3)	Total number of bits per slot for slots 4, 9, 14, 19, 24, 29, 34 and 39	Total modulated symbols per slot for slots 4, 9, 14, 19, 24, 29, 34 and 39
Unit	MHz	KHz						Bits	Bits			Bits	
	10	60	10	11	256QAM	20	2/3	7040	24	1	1	10560	1320
	15	60	18	11	256QAM	20	2/3	12552	24	1	2	19008	2376
	20	60	24	11	256QAM	20	2/3	16896	24	1	3	25344	3168
	25	60	30	11	256QAM	20	2/3	21000	24	1	3	31680	3960
	30	60	36	11	256QAM	20	2/3	25104	24	1	3	38016	4752
	40	60	50	11	256QAM	20	2/3	34816	24	1	5	52800	6600
	50	60	64	11	256QAM	20	2/3	45096	24	1	6	67584	8448
	60	60	75	11	256QAM	20	2/3	53288	24	1	7	79200	9900
	80	60	100	11	256QAM	20	2/3	69672	24	1	9	105600	13200
	90	60	120	11	256QAM	20	2/3	83976	24	1	10	126720	15840
	100	60	135	11	256QAM	20	2/3	94248	24	1	12	142560	17820

NOTE 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

NOTE 2: MCS Index is based on MCS table 5.1.3.1-2 defined in 38.214.

NOTE 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

## A.2.3.6 CP-OFDM QPSK

Table A.2.3.6-1: Reference channels for CP-OFDM QPSK for 15kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	CP- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 4 and 9	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot for slots 4 and 9 (Note 3)	Total number of bits per slot for slots 4 and 9	Total modulate d symbols per slot for slots 4 and 9
Unit	MHz	KHz						Bits	Bits			Bits	
	5-50	15	1	11	QPSK	2	1/6	56	16	2	1	264	132
	5	15	13	11	QPSK	2	1/6	672	16	2	1	3432	1716
	5	15	25	11	QPSK	2	1/6	1256	16	2	1	6600	3300
	10	15	26	11	QPSK	2	1/6	1288	16	2	1	6864	3432
	10	15	52	11	QPSK	2	1/6	2600	16	2	1	13728	6864
	15	15	40	11	QPSK	2	1/6	2024	16	2	1	10560	5280
	15	15	79	11	QPSK	2	1/6	3912	24	2	2	20856	10428
	20	15	53	11	QPSK	2	1/6	2664	16	2	1	13992	6996
	20	15	106	11	QPSK	2	1/6	5256	24	2	2	27984	13992
	25	15	67	11	QPSK	2	1/6	3368	16	2	1	17688	8844
	25	15	133	11	QPSK	2	1/6	6664	24	2	2	35112	17556
	30	15	80	11	QPSK	2	1/6	3976	24	2	2	21120	10560
	30	15	160	11	QPSK	2	1/6	7944	24	2	3	42240	21120
	40	15	108	11	QPSK	2	1/6	5384	24	2	2	28512	14256
	40	15	216	11	QPSK	2	1/6	10752	24	2	3	57024	28512
	50	15	135	11	QPSK	2	1/6	6664	24	2	2	35640	17820
NOTE 4	50	15	270	11	QPSK	2	1/6	13320	24	2	4	71280	35640

NOTE 2: MCS Index is based on MCS table 5.1.3.1-1 defined in 38.214.

NOTE 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.3.6-2: Reference channels for CP-OFDM QPSK for 30kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	CP- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 8, 9, 18 and 19	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot for slots 8, 9, 18 and 19 (Note 3)	Total number of bits per slot for slots 8, 9, 18 and 19	Total modulate d symbols per slot for slots 8, 9, 18 and 19
Unit	MHz	KHz						Bits	Bits			Bits	
	5-50	30	1	11	QPSK	2	1/6	56	16	2	1	264	132
	5	30	6	11	QPSK	2	1/6	304	16	2	1	1584	792
	5	30	11	11	QPSK	2	1/6	552	16	2	1	2904	1452
	10	30	12	11	QPSK	2	1/6	608	16	2	1	3168	1584
	10	30	24	11	QPSK	2	1/6	1192	16	2	1	6336	3168
	15	30	19	11	QPSK	2	1/6	984	16	2	1	5016	2508
	15	30	38	11	QPSK	2	1/6	1928	16	2	1	10032	5016
	20	30	26	11	QPSK	2	1/6	1288	16	2	1	6864	3432
	20	30	51	11	QPSK	2	1/6	2536	16	2	1	13464	6732
	25	30	33	11	QPSK	2	1/6	1672	16	2	1	8712	4356
	25	30	65	11	QPSK	2	1/6	3240	16	2	1	17160	8580
	30	30	39	11	QPSK	2	1/6	2024	16	2	1	10296	5148
	30	30	78	11	QPSK	2	1/6	3848	24	2	2	20592	10296
	40	30	53	11	QPSK	2	1/6	2664	16	2	1	13992	6996
	40	30	106	11	QPSK	2	1/6	5256	24	2	2	27984	13992
-	50	30	67	11	QPSK	2	1/6	3368	16	2	1	17688	8844
-	50	30	133	11	QPSK	2	1/6	6664	24	2	2	35112	17556
-	60	30	81	11	QPSK	2	1/6	4040	24	2	2	21384	10692
-	60	30	162	11	QPSK	2	1/6	8064	24	2	3	42768	21384
-	80	30	109	11	QPSK	2	1/6	5384	24	2	2	28776	14388
-	80	30	217	11	QPSK	2	1/6	10752	24	2	3	57288	28644
-	90	30	123	11	QPSK	2	1/6	6152	24	2	2	32472	16236
	90	30	245	11	QPSK	2	1/6	12296	24	2	4	64680	32340
	100	30	137	11	QPSK	2	1/6	6792	24	2	2	36168	18084
	100	30	273	11	QPSK	2	1/6	13576	24	2	4	72072	36036

NOTE 2: MCS Index is based on MCS table 5.1.3.1-1 defined in 38.214.

Table A.2.3.6-3: Reference channels for CP-OFDM QPSK for 60kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	CP- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 4, 9, 14, 19, 24, 29, 34 and 39	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot for slots 4, 9, 14, 19, 24, 29, 34 and 39 (Note 3)	Total number of bits per slot for slots 4, 9, 14, 19, 24, 29, 34 and 39	Total modulate d symbols per slot for slots 4, 9, 14, 19, 24, 29, 34 and 39
Unit	MHz	KHz						Bits	Bits			Bits	
	10-100	60	1	11	QPSK	2	1/6	56	16	2	1	264	132
	10	60	6	11	QPSK	2	1/6	304	16	2	1	1584	792
	10	60	11	11	QPSK	2	1/6	552	16	2	1	2904	1452
	15	60	9	11	QPSK	2	1/6	456	16	2	1	2376	1188
	15	60	18	11	QPSK	2	1/6	928	16	2	1	4752	2376
	20	60	12	11	QPSK	2	1/6	608	16	2	1	3168	1584
	20	60	24	11	QPSK	2	1/6	1192	16	2	1	6336	3168
	25	60	16	11	QPSK	2	1/6	808	16	2	1	4224	2112
	25	60	31	11	QPSK	2	1/6	1544	16	2	1	8184	4092
	30	60	19	11	QPSK	2	1/6	984	16	2	1	5016	2508
	30	60	38	11	QPSK	2	1/6	1928	16	2	1	10032	5016
	40	60	26	11	QPSK	2	1/6	1288	16	2	1	6864	3432
	40	60	51	11	QPSK	2	1/6	2536	16	2	1	13464	6732
	50	60	33	11	QPSK	2	1/6	1672	16	2	1	8712	4356
	50	60	65	11	QPSK	2	1/6	3240	16	2	1	17160	8580
	60	60	40	11	QPSK	2	1/6	2024	16	2	1	10560	5280
	60	60	79	11	QPSK	2	1/6	3912	24	2	2	20856	10428
	80	60	54	11	QPSK	2	1/6	2664	16	2	1	14256	7128
	80	60	107	11	QPSK	2	1/6	5256	24	2	2	28248	14124
	90	60	61	11	QPSK	2	1/6	3104	16	2	1	16104	8052
	90	60	121	11	QPSK	2	1/6	6024	24	2	2	31944	15972
<u> </u>	100	60	68	11	QPSK	2	1/6	3368	16	2	1	17952	8976
	100	60	135	11	QPSK	2	1/6	6664	24	2	2	35640	17820

NOTE 2: MCS Index is based on MCS table 5.1.3.1-1 defined in 38.214.

NOTE 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

#### A.2.3.7 CP-OFDM 16QAM

Table A.2.3.7-1: Reference channels for CP-OFDM 16QAM for 15kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	CP- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 4 and 9	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot for slots 4 and 9 (Note 3)	Total number of bits per slot for slots 4 and 9	Total modulate d symbols per slot for slots 4 and 9
Unit	MHz	KHz						Bits	Bits			Bits	
	5-50	15	1	11	16QAM	10	1/3	176	16	2	1	528	132
	5	15	13	11	16QAM	10	1/3	2280	16	2	1	6864	1716
	5	15	25	11	16QAM	10	1/3	4352	24	1	1	13200	3300
	10	15	26	11	16QAM	10	1/3	4480	24	1	1	13728	3432
	10	15	52	11	16QAM	10	1/3	9224	24	1	2	27456	6864
	15	15	40	11	16QAM	10	1/3	7040	24	1	1	21120	5280
	15	15	79	11	16QAM	10	1/3	13832	24	1	2	41712	10428
	20	15	53	11	16QAM	10	1/3	9224	24	1	2	27984	6996
	20	15	106	11	16QAM	10	1/3	18432	24	1	3	55968	13992
	25	15	67	11	16QAM	10	1/3	11784	24	1	2	35376	8844
	25	15	133	11	16QAM	10	1/3	23040	24	1	3	70224	17556
	30	15	80	11	16QAM	10	1/3	14088	24	1	2	42240	10560
	30	15	160	11	16QAM	10	1/3	28168	24	1	4	84480	21120
	40	15	108	11	16QAM	10	1/3	18960	24	1	3	57024	14256
	40	15	216	11	16QAM	10	1/3	37896	24	1	5	114048	28512
	50	15	135	11	16QAM	10	1/3	23568	24	1	3	71280	17820
	50	15	270	11	16QAM	10	1/3	47112	24	1	6	142560	35640

NOTE 2: MCS Index is based on MCS table 5.1.3.1-1 defined in 38.214.

NOTE 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.3.7-2: Reference channels for CP-OFDM 16QAM for 30kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	CP- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 8, 9, 18 and 19	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot for slots 8, 9, 18 and 19 (Note 3)	Total number of bits per slot for slots 8, 9, 18 and 19	Total modulate d symbols per slot for slots 8, 9, 18 and 19
Unit	MHz	KHz						Bits	Bits			Bits	
	5-50	30	1	11	16QAM	10	1/3	176	16	2	1	528	132
	5	30	6	11	16QAM	10	1/3	1064	16	2	1	3168	792
	5	30	11	11	16QAM	10	1/3	1928	16	2	1	5808	1452
	10	30	12	11	16QAM	10	1/3	2088	16	2	1	6336	1584
	10	30	24	11	16QAM	10	1/3	4224	24	1	1	12672	3168
	15	30	19	11	16QAM	10	1/3	3368	16	2	1	10032	2508
	15	30	38	11	16QAM	10	1/3	6656	24	1	1	20064	5016
	20	30	26	11	16QAM	10	1/3	4480	24	1	1	13728	3432
	20	30	51	11	16QAM	10	1/3	8968	24	1	2	26928	6732
	25	30	33	11	16QAM	10	1/3	5760	24	1	1	17424	4356
	25	30	65	11	16QAM	10	1/3	11272	24	1	2	34320	8580
	30	30	39	11	16QAM	10	1/3	6784	24	1	1	20592	5148
	30	30	78	11	16QAM	10	1/3	13576	24	1	2	41184	10296
	40	30	53	11	16QAM	10	1/3	9224	24	1	2	27984	6996
	40	30	106	11	16QAM	10	1/3	18432	24	1	3	55968	13992
	50	30	67	11	16QAM	10	1/3	11784	24	1	2	35376	8844
	50	30	133	11	16QAM	10	1/3	23040	24	1	3	70224	17556
	60	30	81	11	16QAM	10	1/3	14088	24	1	2	42768	10692
	60	30	162	11	16QAM	10	1/3	28168	24	1	4	85536	21384
	80	30	109	11	16QAM	10	1/3	18960	24	1	3	57552	14388
	80	30	217	11	16QAM	10	1/3	37896	24	1	5	114576	28644
	90	30	123	11	16QAM	10	1/3	21504	24	1	3	64944	16236
	90	30	245	11	16QAM	10	1/3	43032	24	1	6	129360	32340
	100	30	137	11	16QAM	10	1/3	24072	24	1	3	72336	18084
	100	30	273	11	16QAM	10	1/3	48168	24	1	6	144144	36036

NOTE 2: MCS Index is based on MCS table 5.1.3.1-1 defined in 38.214.

Table A.2.3.7-3: Reference channels for CP-OFDM 16QAM for 60kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	CP- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 4, 9, 14, 19, 24, 29, 34 and 39	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot for slots 4, 9, 14, 19, 24, 29, 34 and 39 (Note 3)	Total number of bits per slot for slots 4, 9, 14, 19, 24, 29, 34 and 39	Total modulate d symbols per slot for slots 4, 9, 14, 19, 24, 29, 34 and 39
Unit	MHz	KHz						Bits	Bits			Bits	
	10-100	60	1	11	16QAM	10	1/3	176	16	2	1	528	132
	10	60	6	11	16QAM	10	1/3	1064	16	2	1	3168	792
	10	60	11	11	16QAM	10	1/3	1928	16	2	1	5808	1452
	15	60	9	11	16QAM	10	1/3	1608	16	2	1	4752	1188
	15	60	18	11	16QAM	10	1/3	3240	16	2	1	9504	2376
	20	60	12	11	16QAM	10	1/3	2088	16	2	1	6336	1584
	20	60	24	11	16QAM	10	1/3	4224	24	1	1	12672	3168
	25	60	16	11	16QAM	10	1/3	2792	16	2	1	8448	2112
	25	60	31	11	16QAM	10	1/3	5376	24	1	1	16368	4092
	30	60	19	11	16QAM	10	1/3	3368	16	2	1	10032	2508
	30	60	38	11	16QAM	10	1/3	6656	24	1	1	20064	5016
	40	60	26	11	16QAM	10	1/3	4480	24	1	1	13728	3432
	40	60	51	11	16QAM	10	1/3	8968	24	1	2	26928	6732
	50	60	33	11	16QAM	10	1/3	5760	24	1	11	17424	4356
	50	60	65	11	16QAM	10	1/3	11272	24	1	2	34320	8580
	60	60	40	11	16QAM	10	1/3	7040	24	1	1	21120	5280
	60	60	79	11	16QAM	10	1/3	13832	24	1	2	41712	10428
	80	60	54	11	16QAM	10	1/3	9480	24	1	2	28512	7128
	80	60	107	11	16QAM	10	1/3	18960	24	1	3	56496	14124
	90	60	61	11	16QAM	10	1/3	10760	24	1	2	32208	8052
	90	60	121	11	16QAM	10	1/3	21000	24	1	3	63888	15972
	100	60	68	11	16QAM	10	1/3	11784	24	1	2	35904	8976
	100	60	135	11	16QAM	10	1/3	23568	24	1	3	71280	17820

NOTE 2: MCS Index is based on MCS table 5.1.3.1-1 defined in 38.214.

NOTE 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

## A.2.3.8 CP-OFDM 64QAM

Table A.2.3.8-1: Reference channels for CP-OFDM 64QAM for 15kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	CP- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 4 and 9	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot for slots 4 and 9 (Note 3)	Total number of bits per slot for slots 4 and 9	Total modulate d symbols per slot for slots 4 and 9
Unit	MHz	KHz						Bits	Bits			Bits	
	5	15	25	11	64QAM	19	1/2	9992	24	1	2	19800	3300
	10	15	52	11	64QAM	19	1/2	21000	24	1	3	41184	6864
	15	15	79	11	64QAM	19	1/2	31752	24	1	4	62568	10428
	20	15	106	11	64QAM	19	1/2	42016	24	1	5	83952	13992
	25	15	133	11	64QAM	19	1/2	53288	24	1	7	105336	17556
	30	15	160	11	64QAM	19	1/2	63528	24	1	8	126720	21120
	40	15	216	11	64QAM	19	1/2	86040	24	1	11	171072	28512
	50	15	270	11	64QAM	19	1/2	108552	24	1	13	213840	35640

NOTE 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data

NOTE 2: MCS Index is based on MCS table 5.1.3.1-1 defined in 38.214.

Table A.2.3.8-2: Reference channels for CP-OFDM 64QAM for 30kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	CP- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 8, 9, 18 and 19	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot for slots 8, 9, 18 and 19 (Note 3)	Total number of bits per slot for slots 8, 9, 18 and 19	Total modulate d symbols per slot for slots 8, 9, 18 and 19
Unit	MHz	KHz						Bits	Bits			Bits	
	5	30	11	11	64QAM	19	1/2	4352	24	1	1	8712	1452
	10	30	24	11	64QAM	19	1/2	9480	24	1	2	19008	3168
	15	30	38	11	64QAM	19	1/2	15112	24	1	2	30096	5016
	20	30	51	11	64QAM	19	1/2	20496	24	1	3	40392	6732
	25	30	65	11	64QAM	19	1/2	26120	24	1	4	51480	8580
	30	30	78	11	64QAM	19	1/2	31240	24	1	4	61776	10296
	40	30	106	11	64QAM	19	1/2	42016	24	1	5	83952	13992
	50	30	133	11	64QAM	19	1/2	53288	24	1	7	105336	17556
	60	30	162	11	64QAM	19	1/2	64552	24	1	8	128304	21384
	80	30	217	11	64QAM	19	1/2	86040	24	1	11	171864	28644
	90	30	245	11	64QAM	19	1/2	98376	24	1	12	194040	32340
	100	30	273	11	64QAM	19	1/2	108552	24	1	13	216216	36036

NOTE 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

NOTE 2: MCS Index is based on MCS table 5.1.3.1-1 defined in 38.214.

NOTE 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.3.8-3: Reference channels for CP-OFDM 64QAM for 60kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	CP- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 4, 9, 14, 19, 24, 29, 34 and 39	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot for slots 4, 9, 14, 19, 24, 29, 34 and 39 (Note 3)	Total number of bits per slot for slots 4, 9, 14, 19, 24, 29, 34 and 39	Total modulate d symbols per slot for slots 4, 9, 14, 19, 24, 29, 34 and 39
Unit	MHz	KHz						Bits	Bits			Bits	
	10	60	11	11	64QAM	19	1/2	4352	24	1	1	8712	1452
	15	60	18	11	64QAM	19	1/2	7168	24	1	1	14256	2376
	20	60	24	11	64QAM	19	1/2	9480	24	1	2	19008	3168
	25	60	31	11	64QAM	19	1/2	12296	24	1	2	24552	4092
	30	60	38	11	64QAM	19	1/2	15112	24	1	2	30096	5016
	40	60	51	11	64QAM	19	1/2	20496	24	1	3	40392	6732
	50	60	65	11	64QAM	19	1/2	26120	24	1	4	51480	8580
	60	60	79	11	64QAM	19	1/2	31752	24	1	4	62568	10428
	80	60	107	11	64QAM	19	1/2	43032	24	1	6	84744	14124
	90	60	121	11	64QAM	19	1/2	48168	24	1	6	95832	15972
	100	60	135	11	64QAM	19	1/2	54296	24	1	7	106920	17820

NOTE 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

NOTE 2: MCS Index is based on MCS table 5.1.3.1-1 defined in 38.214.

NOTE 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

#### A.2.3.9 CP-OFDM 256QAM

Table A.2.3.9-1: Reference channels for CP-OFDM 256QAM for 15kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	CP- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 4 and 9	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot for slots 4 and 9 (Note 3)	Total number of bits per slot for slots 4 and 9	Total modulate d symbols per slot for slots 4 and 9
Unit	MHz	KHz						Bits	Bits			Bits	
	5	15	25	11	256QAM	20	2/3	17424	24	1	3	26400	3300
	10	15	52	11	256QAM	20	2/3	36896	24	1	5	54912	6864
	15	15	79	11	256QAM	20	2/3	55304	24	1	7	83424	10428
	20	15	106	11	256QAM	20	2/3	73776	24	1	9	111936	13992
	25	15	133	11	256QAM	20	2/3	94248	24	1	12	140448	17556
	30	15	160	11	256QAM	20	2/3	112648	24	1	14	168960	21120
	40	15	216	11	256QAM	20	2/3	151608	24	1	18	228096	28512
	50	15	270	11	256QAM	20	2/3	188576	24	1	23	285120	35640

NOTE 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

NOTE 2: MCS Index is based on MCS table 5.1.3.1-2 defined in 38.214.

NOTE 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.3.9-2: Reference channels for CP-OFDM 256QAM for 30kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	CP- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 8, 9, 18 and 19	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot for slots 8, 9, 18 and 19 (Note 3)	Total number of bits per slot for slots 8, 9, 18 and 19	Total modulate d symbols per slot for slots 8, 9, 18 and 19
Unit	MHz	KHz						Bits	Bits			Bits	
	5	30	11	11	256QAM	20	2/3	7680	24	1	1	11616	1452
	10	30	24	11	256QAM	20	2/3	16896	24	1	3	25344	3168
	15	30	38	11	256QAM	20	2/3	26632	24	1	4	40128	5016
	20	30	51	11	256QAM	20	2/3	35856	24	1	5	53856	6732
	25	30	65	11	256QAM	20	2/3	46104	24	1	6	68640	8580
	30	30	78	11	256QAM	20	2/3	55304	24	1	7	82368	10296
	40	30	106	11	256QAM	20	2/3	73776	24	1	9	111936	13992
	50	30	133	11	256QAM	20	2/3	94248	24	1	12	140448	17556
	60	30	162	11	256QAM	20	2/3	114776	24	1	14	171072	21384
	80	30	217	11	256QAM	20	2/3	151608	24	1	18	229152	28644
	90	30	245	11	256QAM	20	2/3	172176	24	1	21	258720	32340
	100	30	273	11	256QAM	20	2/3	192624	24	1	23	288288	36036

NOTE 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

NOTE 2: MCS Index is based on MCS table 5.1.3.1-2 defined in 38.214.

NOTE 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.3.9-3: Reference channels for CP-OFDM 256QAM for 60kHz SCS

Paramete r	Channel bandwidt h	Subcarrie r Spacing	Allocate d resource blocks	CP- OFDM Symbol s per slot (Note 1)	Modulatio n	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 4, 9, 14, 19, 24, 29, 34 and 39	Transpor t block CRC	LDPC Base Graph	Number of code blocks per slot for slots 4, 9, 14, 19, 24, 29, 34 and 39 (Note 3)	Total number of bits per slot for slots 4, 9, 14, 19, 24, 29, 34 and 39	Total modulate d symbols per slot for slots 4, 9, 14, 19, 24, 29, 34 and 39
Unit	MHz	KHz						Bits	Bits			Bits	
	10	60	11	11	256QAM	20	2/3	7680	24	1	1	11616	1452
	15	60	18	11	256QAM	20	2/3	12552	24	1	2	19008	2376
	20	60	24	11	256QAM	20	2/3	16896	24	1	3	25344	3168
	25	60	31	11	256QAM	20	2/3	22032	24	1	3	32736	4092
	30	60	38	11	256QAM	20	2/3	26632	24	1	4	40128	5016
	40	60	51	11	256QAM	20	2/3	35856	24	1	5	53856	6732
	50	60	65	11	256QAM	20	2/3	46104	24	1	6	68640	8580
	60	60	79	11	256QAM	20	2/3	55304	24	1	7	83424	10428
	80	60	107	11	256QAM	20	2/3	75792	24	1	9	112992	14124
	90	60	121	11	256QAM	20	2/3	86040	24	1	11	127776	15972
	100	60	135	11	256QAM	20	2/3	94248	24	1	12	142560	17820

NOTE 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

NOTE 2: MCS Index is based on MCS table 5.1.3.1-2 defined in 38.214.

NOTE 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

### A.3 DL reference measurement channels

### A.3.1 General

Unless otherwise stated, Tables A.3.2.2-1, A.3.2.2-2, A.3.2.2-3, A.3.3.2-1, A.3.3.2-2 and A.3.3.2-3 are applicable for measurements of the Receiver Characteristics (clause 7) with the exception of subclauses 7.4 (Maximum input level).

Unless otherwise stated, Tables A.3.2.3-1, A.3.2.3-2, A.3.2.3-3, A.3.3.3-1, A.3.3.3-2 and A.3.3.3-3 are applicable for subclauses 7.4 (Maximum input level) and for UE not supporting PDSCH 256QAM,

Unless otherwise stated, Tables A.3.2.4-1, A.3.2.4-2, A.3.2.4-3, A.3.3.4-1, A.3.3.4-2 and A.3.3.4-3 are applicable for subclauses 7.4 (Maximum input level) and for UE supporting PDSCH 256QAM,

Unless otherwise stated, Tables A.3.2.2-1, A.3.2.2-2, A.3.2.2-3, A.3.3.2-1, A.3.3.2-2 and A.3.3.2-3 also apply for the modulated interferer used in Clauses 7.5, 7.6 and 7.8 with test specific bandwidths.

Table A.3.1-1. Common reference channel parameters

Parameter	Unit	Value
CORESET frequency domain allocation		Full BW
CORESET time domain allocation		2 OFDM symbols at the begin of each slot
PDSCH mapping type		Type A
PDSCH start symbol index (S)		2
Number of consecutive PDSCH symbols (L)		12
PDSCH PRB bundling	PRBs	2
Dynamic PRB bundling		false
Overhead value for TBS determination		0
First DMRS position for Type A PDSCH mapping		2
DMRS type		Type 1
Number of additional DMRS		2
FDM between DMRS and PDSCH		Disable
TRS configuration		1 slot, periodicity 10 ms, offset 0
PTRS configuration		PTRS is not configured

#### A.3.2 DL reference measurement channels for FDD

#### A.3.2.1 General

Table A.3.2.1-1 Additional reference channels parameters for FDD

Parameter	Unit	Value
Number of HARQ Processes		4
K1 value		2 for all slots

### A.3.2.2 FRC for receiver requirements for QPSK

Table A.3.2.2-1 Fixed reference channel for receiver requirements (SCS 15 kHz, FDD, QPSK 1/3)

Parameter	Unit				Va	lue			
Channel bandwidth	MHz	5	10	15	20	25	30	40	50
Subcarrier spacing	kHz	15	15	15	15	15	15	15	15
Subcarrier spacing configuration $\mu$		0	0	0	0	0	0	0	0
Allocated resource blocks		25	52	79	106	133	160	216	270
Subcarriers per resource block		12	12	12	12	12	12	12	12
Allocated slots per Frame		9	9	9	9	9	9	9	9
MCS Index		4	4	4	4	4	4	4	4
MCS Table for TBS determination				64	4QAM				
Modulation		QPSK	QPSK						
Target Coding Rate		1/3	1/3	1/3	1/3	1/3	1/3	1/3	1/3
Maximum number of HARQ transmissions		1	1	1	1	1	1	1	1
Information Bit Payload per Slot									
For Slot 0	Bits	N/A	N/A						
For Slots 1,2,3,4,5,6,7,8,9	Bits	1672	3368	5120	6912	8712	10504	14088	17424
Transport block CRC	Bits	16	16	24	24	24	24	24	24
LDPC base graph		2	2	1	1	1	1	1	1
Number of Code Blocks per Slot									
For Slot 0	CBs	N/A	N/A						
For Slots 1,2,3,4,5,6,7,8,9	CBs	1	1	1	1	2	2	2	3
Binary Channel Bits per Slot									
For Slot 0	Bits	N/A	N/A						
For Slots 1,2,3,4,5,6,7,8,9	Bits	5400	11232	17064	22896	28728	34560	46656	58320
Max. Throughput averaged over 1 frame	Mbps	1.504	3.031	4.608	6.220	7.841	9.454	12.67 9	15.68 2

NOTE 1: Additional parameters are specified in Table A.3.1-1 and Table A.3.2.1-1...

NOTE 2: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit).

NOTE 3: SS/PBCH block is transmitted in slot #0 of each frame

NOTE 4: Slot i is slot index per frame, QPSK 1/3

Table A.3.2.2-2 Fixed reference channel for receiver requirements (SCS 30 kHz, FDD, QPSK 1/3)

ameter	Unit						Va	lue			
	MHz	5	10	15	20	25	30	40	50	60	80
configuration $\mu$		1	1	1	1	1	1	1	1	1	1
blocks		11	24	38	51	65	78]	106	133	162	217
ource block		12	12	12	12	12	12	12	12	12	12
-rame		19	19	19	19	19	19	19	19	19	19
		4	4	4	4	4	4	4	4	4	4
determination							64QAM				
		QPSK	QPSK	QPSK	QPSK						
1		1/3	1/3	1/3	1/3	1/3	1/3	1/3	1/3	1/3	1/3
of HARQ transmissions		1	1	1	1	1	1	1	1	1	1
oad per Slot											
-	Bits	N/A	N/A	N/A	N/A						
	Bits	736	1608	2472	3368	4224	4992	6912	8712	10504	14088
С	Bits	16	16	16	16	24	24	24	24	24	24
		2	2	2	2	1	1	1	1	1	1
ocks per Slot											
	CBs	N/A	N/A	N/A	N/A						
	CBs	1	1	1	1	1	1	1	2	2	2
per Slot											
	Bits	N/A	N/A	N/A	N/A						
	Bits	2376	5184	8208	11016	14040	16848	22896	28728	34992	46872
/eraged over 1 frame	Mbps	1.398	3.055	4.697	6.399	8.025	9.485	13.133	16.553	19.958	26.767

Il parameters are specified in Table A.3.1-1 and Table A.3.2.1-1...

nan one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit).

I block is transmitted in slot #0 of each frame

lot index per frame

Table A.3.2.2-3 Fixed reference channel for receiver requirements (SCS 60 kHz, FDD, QPSK 1/3)

Parameter	Unit						Value				
<i>i</i> idth	MHz	10	15	20	25	30	40	50	60	80	
sing configuration $\mu$		2	2	2	2	2	2	2	2	2	
irce blocks		11	18	24	31	38	51	65	79	107	
resource block		12	12	12	12	12	12	12	12	12	
per Frame		38	38	38	38	38	38	38	38	38	
		4	4	4	4	4	4	4	4	4	
TBS Determination							64QAM				
		QPSK	QPSK	QPSK	QPSK	QPSK	QPSK	QPSK	QPSK	QPSK	C
Rate		1/3	1/3	1/3	1/3	1/3	1/3	1/3	1/3	1/3	
per of HARQ transmissions		1	1	1	1	1	1	1	1	1	
Payload per Slot											
	Bits	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	
,39	Bits	736	1192	1608	2024	2472	3368	4224	5120	6912	
CRC	Bits	16	16	16	16	16	16	24	24	24	
ıph		2	2	2	2	2	2	1	1	1	
e Blocks per Slot											
	CBs	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	
,39	CBs	1	1	1	1	1	1	1	1	1	
Bits per Slot											
	Bits	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	
,39	Bits	2376	3888	5184	6696	8208	11016	14040	17064	23112	2
ut averaged over 1 frame	Mbps	2.870	4.649	6.271	7.894	9.641	13.135	16.474	19.968	26.957	3

tional parameters are specified in Table A.3.1-1 and Table A.3.2.1-1...

ore than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit).

<sup>&#</sup>x27;BCH block is transmitted in slot #0 of each frame

i is slot index per frame

### A.3.2.3 FRC for maximum input level for 64QAM

Table A.3.2.3-1 Fixed reference channel for maximum input level receiver requirements (SCS 15 kHz, FDD, 64QAM)

Parameter	Unit				Va	lue			
Channel bandwidth	MHz	5	10	15	20	25	30	40	50
Subcarrier spacing	kHz	15	15	15	15	15	15	15	15
Subcarrier spacing configuration $\mu$		0	0	0	0	0	0	0	0
Allocated resource blocks		25	52	79	106	133	[160]	216	270
Subcarriers per resource block		12	12	12	12	12	12	12	12
Allocated slots per Frame		9	9	9	9	9	9	9	9
MCS Index		24	24	24	24	24	24	24	24
MCS Table for TBS determination				6	4QAM				
Modulation		64	64	64	64	64	64	64	64
Modulation		QAM							
Target Coding Rate		3/4	3/4	3/4	3/4	3/4	3/4	3/4	3/4
Maximum number of HARQ transmissions		1	1	1	1	1	1	1	1
Information Bit Payload per Slot									
For Slot 0	Bits	N/A							
For Slots 1,2,3,4,5,6,7,8,9	Bits	12296	25608	38936	52224	64552	77896	10657 6	13117 6
Transport block CRC	Bits	24	24	24	24	24	24	24	24
LDPC base graph		1	1	1	1	1	1	1	1
Number of Code Blocks per Slot									
For Slot 0	CBs	N/A							
For Slots 1,2,3,4,5,6,7,8,9	CBs	2	4	5	7	8	10	13	16
Binary Channel Bits per Slot									
For Slot 0	Bits	N/A							
For Slots 1,2,3,4,5,6,7,8,9	Bits	16200	33696	51192	68688	86184	10368 0	13996 8	17496 0
Max. Throughput averaged over 1 frame	Mbps	11.06 6	23.04 7	35.04 2	47.00 2	58.09 7	70.10 6	95.91 8	118.0 58

NOTE 1: Additional parameters are specified in Table A.3.1-1 and Table A.3.2.1-1.

NOTE 2: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit).

NOTE 3: SS/PBCH block is transmitted in slot 0 of each frame

Table A.3.2.3-2 Fixed reference channel for maximum input level receiver requirements (SCS 30 kHz, FDD, 64QAM)

Parameter	Unit						Value					
Channel bandwidth	MHz	5	10	15	20	25	30	40	50	60	80	100
Subcarrier spacing configuration $\mu$		1	1	1	1	1	1	1	1	1	1	1
Allocated resource blocks		11	24	38	51	65	[78]	106	133	162	217	273
Subcarriers per resource block		12	12	12	12	12	12	12	12	12	12	12
Allocated slots per Frame		19	19	19	19	19	19	19	19	19	19	19
MCS Index		24	24	24	24	24	24	24	24	24	24	24
MCS Table for TBS determination							64QAM					
Modulation		64	64	64	64	64	64	64	64	64	64	64
		QAM	QAM	QAM	QAM							
Target Coding Rate		3/4	3/4	3/4	3/4	3/4	3/4	3/4	3/4	3/4	3/4	3/4
Maximum number of HARQ transmissions		1	1	1	1	1	1	1	1	1	1	1
Information Bit Payload per Slot												
For Slot 0	Bits	N/A	N/A	N/A	N/A							
For Slots 1,,19	Bits	5376	11784	18432	25104	31752	37896	52224	64552	79896	106576	135296
Transport block CRC	Bits	24	24	24	24	24	24	24	24	24	24	24
LDPC base graph		1	1	1	1	1	1	1	1	1	1	1
Number of Code Blocks per Slot												
For Slot 0	CBs	N/A	N/A	N/A	N/A							
For Slots 1,,19	CBs	1	2	3	3	4	5	7	8	10	13	17
Binary Channel Bits per Slot												
For Slot 0	Bits	N/A	N/A	N/A	N/A							
For Slots 1,,19	Bits	7128	15552	24624	33048	42120	50544	68688	86184	104976	140616	176904
Max. Throughput averaged over 1 frame	Mbps	10.214	22.390	35.021	47.698	60.329	72.002	99.226	122.64 9	151.80 2	202.49 4	257.06 2

NOTE 1: Additional parameters are specified in Table A.3.1-1 and Table A.3.2.1-1.

NOTE 2: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit).

NOTE 3: SS/PBCH block is transmitted in slot 0 of each frame

NOTE 4: Slot i is slot index per frame

Table A.3.2.3-3 Fixed Reference Channel for Maximum input level receiver requirements (SCS 60 kHz, FDD, 64QAM)

Parameter	Unit												
Channel bandwidth	MHz	10	15	20	25	30	40	50	60	80	100		
Subcarrier spacing configuration $\mu$		2	2	2	2	2	2	2	2	2	2		
Allocated resource blocks		11	18	24	31	[38]	51	65	79	107	135		
Subcarriers per resource block		12	12	12	12	12	12	12	12	12	12		
Allocated slots per Frame		38	38	38	38	38	38	38	38	38	38		
MCS Index		24	24	24	24	24	24	24	24	24	24		
MCS Table for TBS determination						640	QAM						
Modulation		64	64	64	64	64	64	64	64	64	64		
		QAM	QAM	QAM	QAM								
Target Coding Rate		3/4	3/4	3/4	3/4	3/4	3/4	3/4	3/4	3/4	3/4		
Maximum number of HARQ transmissions		1	1	1	1	1	1	1	1	1	1		
Information Bit Payload per Slot													
For Slot 0,1	Bits	N/A	N/A	N/A	N/A								
For Slots 2,,39	Bits	5376	8712	11784	15112	18432	25104	31752	38936	52224	65576		
Transport block CRC	Bits	24	24	24	24	24	24	24	24	24	24		
LDPC base graph		1	1	1	1	1	1	1	1	1	1		
Number of Code Blocks per Slot													
For Slot 0,1	CBs	N/A	N/A	N/A	N/A								
For Slots 2,,39	CBs	1	2	2	2	3	3	4	5	7	8		
Binary Channel Bits per Slot													
For Slot 0,1	Bits	N/A	N/A	N/A	N/A								
For Slots 2,,39	Bits	7128	11664	15552	20088	24624	33048	42120	51192	69336	87480		
Max. Throughput averaged over 1 frame	Mbps	20.429	33.106	44.779	57.426	70.042	95.395	120.65 8	147.95 7	198.45 1	249.18 9		

NOTE 1: Additional parameters are specified in Table A.3.1-1 and Table A.3.2.1-1.

NOTE 2: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit).

NOTE 3: SS/PBCH block is transmitted in slot #0 of each frame

NOTE 4: Slot i is slot index per frame

### A.3.2.4 FRC for maximum input level for 256 QAM

Table A.3.2.4-1 Fixed reference channel for maximum input level receiver requirements (SCS 15 kHz, **FDD**, 256QAM)

Parameter	Unit				Va	lue			
Channel bandwidth	MHz	5	10	15	20	25	30	40	50
Subcarrier spacing	kHz	15	15	15	15	15	15	15	15
Subcarrier spacing configuration $\mu$		0	0	0	0	0	0	0	0
Allocated resource blocks		25	52	79	106	133	[160]	216	270
Subcarriers per resource block		12	12	12	12	12	12	12	12
Allocated slots per Frame		9	9	9	9	9	9	9	9
MCS Index		23	23	23	23	23	23	23	23
MCS Table for TBS determination					640	(MA)			
Modulation		256 QAM	256 QAM						
Target Coding Rate		4/5	4/5	4/5	4/5	4/5	4/5	4/5	4/5
Maximum number of HARQ transmissions		1	1	1	1	1	1	1	1
Information Bit Payload per Slot									
For Slot 0	Bits	N/A	N/A						
For Slots 1,2,3,4,5,6,7,8,9	Bits	16896	34816	53288	71688	90176	10855 2	14340 0	18037 6
Transport block CRC	Bits	24	24	24	24	24	24	24	24
LDPC base graph		1	1	1	1	1	1	1	1
Number of Code Blocks per Slot									
For Slot 0	CBs	N/A	N/A						
For Slots 1,2,3,4,5,6,7,8,9	CBs	3	5	7	9	12	14	18	23
Binary Channel Bits per Slot									
For Slot 0	Bits	N/A	N/A						
For Slots 1,2,3,4,5,6,7,8,9	Bits	21600	44928	68256	91584	11491 2	13824 0	18662 4	23328 0
Max. Throughput averaged over 1 frame	Mbps	15.20 6	31.33 4	47.95 9	64.51 9	81.15 8	97.69 7	129.0 60	162.3 38

NOTE 1: Additional parameters are specified in Table A.3.1-1 and Table A.3.2.1-1.

NOTE 2: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit). SS/PBCH block is transmitted in slot 0 of each frame

Table A.3.2.4-2 Fixed reference channel for maximum input level receiver requirements (SCS 30 kHz, FDD, 256QAM)

Parameter	Unit						Value					
Channel bandwidth	MHz	5	10	15	20	25	30	40	50	60	80	100
Subcarrier spacing configuration $\mu$		1	1	1	1	1	1	1	1	1	1	1
Allocated resource blocks		11	24	38	51	65	[78]	106	133	162	217	273
Subcarriers per resource block		12	12	12	12	12	12	12	12	12	12	12
Allocated slots per Frame		19	19	19	19	19	19	19	19	19	19	19
MCS Index		23	23	23	23	23	23	23	23	23	23	23
MCS Table for TBS determination							256QAM					
Modulation		256	256	256	256	256	256	256	256	256	256	256
		QAM	QAM	QAM	QAM							
Target Coding Rate		4/5	4/5	4/5	4/5	4/5	4/5	4/5	4/5	4/5	4/5	4/5
Maximum number of HARQ transmissions		1	1	1	1	1	1	1	1	1	1	1
Information Bit Payload per Slot												
For Slot 0	Bits	N/A	N/A	N/A	N/A							
For Slots 1,,19	Bits	7424	16136	25608	33816	44040	52224	71688	90176	108552	147576	184424
Transport block CRC	Bits	24	24	24	24	24	24	24	24	24	24	24
LDPC base graph		1	1	1	1	1	1	1	1	1	1	1
Number of Code Blocks per Slot												
For Slot 0	CBs	N/A	N/A	N/A	N/A							
For Slots 1,,19	CBs	1	3	4	5	6	7	9	12	14	19	23
Binary Channel Bits per Slot												
For Slot 0	Bits	N/A	N/A	N/A	N/A							
For Slots 1,,19	Bits	9504	20736	32832	44064	56160	67392	91584	114912	139968	187488	235872
Max. Throughput averaged over 1 frame	Mbps	14.106	30.658	48.655	64.250	83.676	99.226	136.20	171.33 4	206.24 9	280.39 4	350.40 6

Note 1:

Additional parameters are specified in Table A.3.1-1 and Table A.3.2.1-1.

If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit). Note 2:

SS/PBCH block is transmitted in slot 0 of each frame Note 3:

Table A.3.2.4-3 Fixed reference channel for maximum input level receiver requirements (SCS 60 kHz, FDD, 256QAM)

Parameter	Unit												
Channel bandwidth	MHz	10	15	20	25	30	40	50	60	80	100		
Subcarrier spacing configuration $\mu$		2	2	2	2	2	2	2	2	2	2		
Allocated resource blocks		11	18	24	31	[38]	51	65	79	107	135		
Subcarriers per resource block		12	12	12	12	12	12	12	12	12	12		
Allocated slots per Frame		38	38	38	38	38	38	38	38	38	38		
MCS Index		23	23	23	23	23	23	23	23	23	23		
MCS Table for TBS determination						256	QAM						
Modulation		256	256	256	256	256	256	256	256	256	256		
		QAM	QAM	QAM	QAM	QAM	QAM	QAM	QAM	QAM	QAM		
Target Coding Rate		4/5	4/5	4/5	4/5	4/5	4/5	4/5	4/5	4/5	4/5		
Maximum number of HARQ transmissions		1	1	1	1	1	1	1	1	1	1		
Information Bit Payload per Slot													
For Slot 0,1	Bits	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A		
For Slots 2,,39	Bits	7424	12040	16136	21000	25608	33816	44040	53288	71688	90176		
Transport block CRC	Bits	24	24	24	24	24	24	24	24	24	24		
LDPC base graph		1	1	1	1	1	1	1	1	1	1		
Number of Code Blocks per Slot													
For Slot 0,1	CBs	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A		
For Slots 2,,39	CBs	1	2	3	3	4	5	6	7	9	12		
Binary Channel Bits per Slot													
For Slot 0,1	Bits	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A		
For Slots 2,,39	Bits	9504	15552	20736	26784	32832	44064	56160	68256	92448	116640		
Max. Throughput averaged over 1 frame	Mbps	28.211	45.752	61.317	79.800	97.310	128.50 1	167.35 2	202.49 4	272.41 4	342.66 9		

NOTE 1: Additional parameters are specified in Table A.3.1-1 and Table A.3.2.1-1.

NOTE 2: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit).

NOTE 3: SS/PBCH block is transmitted in slot #0 of each frame

NOTE 4: Slot i is slot index per frame

### A.3.3 DL reference measurement channels for TDD

#### A.3.3.1 General

Table A.3.3.1-1 Additional reference channels parameters for TDD

D	arameter		Value	
P.	arameter	SCS 15 kHz (µ=0)	SCS 30 kHz (µ=1)	SCS 60 kHz (µ=2)
UL-DL configuration	referenceSubcarrie rSpacing	15 kHz	30 kHz	60 kHz
	dl-UL- TransmissionPerio dicity	5 ms	5 ms	1.25 ms
	nrofDownlinkSlots	3	7	3
	nrofDownlinkSymb ols	10	6	6
	nrofUplinkSlot	1	2	1
	nrofUplinkSymbols	2	4	4
Number of HA	RQ Processes	8	8	8
K1 value		K1 = 4 if mod(i,5) = 0 K1 = 3 if mod(i,5) = 1 K1 = 2 if mod(i,5) = 2 where i is slot index per frame; i = {0,,9}	K1 =8 if mod(i,10) = 0 K1 =7 if mod(i,10) = 1 K1 =6 if mod(i,10) = 2 K1 =5 if mod(i,10) = 3 K1 =4 if mod(i,10) = 4 K1 =3 if mod(i,10) = 5 K1 =2 if mod(i,10) = 6 where i is slot index per frame; i = {0,,19}	K1 = 4  if mod(i,5) = 0 K1 = 3  if mod(i,5) = 1 K1 = 2  if mod(i,5) = 2 where i is slot index per frame; i = $\{0,,39\}$

### A.3.3.2 FRC for receiver requirements for QPSK

Table A.3.3.2-1 Fixed reference channel for receiver requirements (SCS 15 kHz, TDD, QPSK 1/3)

Parameter	Unit				Va	lue			
Channel bandwidth	MHz	5	10	15	20	25	30	40	50
Subcarrier spacing	kHz	15	15	15	15	15	15	15	15
Subcarrier spacing configuration $\mu$		0	0	0	0	0	0	0	0
Allocated resource blocks		25	52	79	106	133	[160]	216	270
Subcarriers per resource block		12	12	12	12	12	12	12	12
Allocated slots per Frame		5	5	5	5	5	5	5	5
MCS Index		4	4	4	4	4	4	4	4
MCS Table for TBS determination					64C	)AM			
Modulation		QPSK							
Target Coding Rate		1/3	1/3	1/3	1/3	1/3	1/3	1/3	1/3
Maximum number of HARQ transmissions		1	1	1	1	1	1	1	1
Information Bit Payload per Slot									
For Slots 0,3,4,8,9	Bits	N/A							
For Slots 1,2,5,6,7	Bits	1672	3368	5120	6912	8712	10504	14088	17424
Transport block CRC	Bits	16	16	24	24	24	24	24	24
LDPC base graph		2	2	1	1	1	1	1	1
Number of Code Blocks per Slot									
For Slots 0,3,4,8,9	CBs	N/A							
For Slots 1,2,5,6,7	CBs	1	1	1	1	2	2	2	3
Binary Channel Bits per Slot									
For Slots 0,3,4,8,9	Bits	N/A							
For Slots 1,2,5,6,7	Bits	5400	11232	17064	22896	28728	34560	46656	58320
Max. Throughput averaged over 1 frame	Mbps	0.836	1.684	2.560	3.456	4.356	5.252	7.044	8.712

Note 1: Additional parameters are specified in Table A.3.1-1 and Table A.3.3.1-1.

Note 2: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit).

Note 3: SS/PBCH block is transmitted in slot 0 of each frame

Table A.3.3.2-2 Fixed reference channel for receiver requirements (SCS 30 kHz, TDD, QPSK 1/3)

Parameter	Unit						Value					
Channel bandwidth	MHz	5	10	15	20	25	30	40	50	60	80	100
Subcarrier spacing configuration $\mu$		1	1	1	1	1	1	1	1	1	1	1
Allocated resource blocks		11	24	38	51	65	78	106	133	162	217	273
Subcarriers per resource block		12	12	12	12	12	12	12	12	12	12	12
Allocated slots per Frame		13	13	13	13	13	13	13	13	13	13	13
MCS Index		4	4	4	4	4	4	4	4	4	4	4
MCS Table for TBS determination							64QAM					
Modulation		QPSK	QPSK	QPSK	QPSK							
Target Coding Rate		1/3	1/3	1/3	1/3	1/3	1/3	1/3	1/3	1/3	1/3	1/3
Maximum number of HARQ transmissions		1	1	1	1	1	1	1	1	1	1	1
Information Bit Payload per Slot												
For Slots 0 and Slot i, if mod(i, 10) = {7,8,9} for i from {0,,19}	Bits	N/A	N/A	N/A	N/A							
For Slot i, if mod(i, 10) = {0,1,2,3,4,5,6} for i from {1,,19}	Bits	736	1608	2472	3368	4224	4992	6912	8712	10504	14088	17928
Transport block CRC	Bits	16	16	16	16	24	24	24	24	24	24	24
LDPC base graph		2	2	2	2	1	1	1	1	1	1	1
Number of Code Blocks per Slot												
For Slots 0 and Slot i, if mod(i, 10) = {7,8,9} for i from {0,,19}	CBs	N/A	N/A	N/A	N/A							
For Slot i, if $mod(i, 10) = \{0,1,2,3,4,5,6\}$ for i from $\{1,,19\}$	CBs	1	1	1	1	1	1	1	2	2	2	3
Binary Channel Bits per Slot												
For Slots 0 and Slot i, if mod(i, 10) = {7,8,9} for i from {0,,19}	Bits	N/A	N/A	N/A	N/A							
For Slot i, if mod(i, 10) = {0,1,2,3,4,5,6} for i from {1,,19}	Bits	2376	5184	8208	11016	14040	16848	22896	28728	34992	46872	58968
Max. Throughput averaged over 1 frame	Mbps	0.957	2.090	3.214	4.378	5.491	6.490	8.986	11.326	13.655	18.314	23.306

NOTE 1: Additional parameters are specified in Table A.3.1-1 and Table A.3.3.1-1.

NOTE 2: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit).

NOTE 3: SS/PBCH block is transmitted in slot #0 of each frame NOTE 4: Slot i is slot index per frame

Table A.3.3.2-3 Fixed reference channel for receiver requirements (SCS 60 kHz, TDD, QPSK 1/3)

Parameter	Unit	******											
Channel bandwidth	MHz	10	15	20	25	30	40	50	60	80	100		
Subcarrier spacing configuration $\mu$		2	2	2	2	2	2	2	2	2	2		
Allocated resource blocks		11	18	24	31	38	51	65	79	107	135		
Subcarriers per resource block		12	12	12	12	12	12	12	12	12	12		
Allocated slots per Frame		22	22	22	22	22	22	22	22	22	22		
MCS Index		4	4	4	4	4	4	4	4	4	4		
MCS Table for TBS determination						640	QAM						
Modulation		QPSK	QPSK	QPSK	QPSK	QPSK	QPSK	QPSK	QPSK	QPSK	QPSK		
Target Coding Rate		1/3	1/3	1/3	1/3	1/3	1/3	1/3	1/3	1/3	1/3		
Maximum number of HARQ transmissions		1	1	1	1	1	1	1	1	1	1		
Information Bit Payload per Slot													
For Slots 0,1 and Slot i, if $mod(i, 5) = \{3,4\}$ for i from $\{0,,39\}$	Bits	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A		
For Slot i, if $mod(i, 5) = \{0,1,2\}$ for i from $\{2,,39\}$	Bits	736	1192	1608	2024	2472	3368	4224	5120	6912	8712		
Transport block CRC	Bits	16	16	16	16	16	16	24	24	24	24		
LDPC base graph		2	2	2	2	2	2	1	1	1	1		
Number of Code Blocks per Slot													
For Slots 0,1 and Slot i, if $mod(i, 5) = \{3,4\}$ for i from $\{0,,39\}$	CBs	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A		
For Slot i, if $mod(i, 5) = \{0,1,2\}$ for i from $\{2,,39\}$	CBs	1	1	1	1	1	1	1	1	1	2		
Binary Channel Bits per Slot													
For Slots 0,1 and Slot i, if $mod(i, 5) = \{3,4\}$ for i from $\{0,,39\}$	Bits	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A		
For Slot i, if $mod(i, 5) = \{0,1,2\}$ for i from $\{2,,39\}$	Bits	2376	3888	5184	6696	8208	11016	14040	17064	23112	29160		
Max. Throughput averaged over 1 frame	Mbps	1.619	2.622	3.538	4.453	5.438	7.410	9.293	11.264	15.206	19.166		

NOTE 1: Additional parameters are specified in Table A.3.1-1 and Table A.3.3.1-1.

NOTE 2: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit).

NOTE 3: SS/PBCH block is transmitted in slot #0 of each frame

NOTE 4: Slot i is slot index per frame

### A.3.3.3 FRC for maximum input level for 64QAM

Table A.3.3.3-1 Fixed reference channel for maximum input level receiver requirements (SCS 15 kHz, TDD, 64QAM)

Parameter	Unit				Va	lue			
Channel bandwidth	MHz	5	10	15	20	25	30	40	50
Subcarrier spacing	kHz	15	15	15	15	15	15	15	15
Subcarrier spacing configuration $\mu$		0	0	0	0	0	0	0	0
Allocated resource blocks		25	52	79	106	133	[160]	216	270
Subcarriers per resource block		12	12	12	12	12	12	12	12
Allocated slots per Frame		5	5	5	5	5	5	5	5
MCS Index		24	24	24	24	24	24	24	24
MCS Table for TBS determination					64C	MA(			
Modulation		64	64	64	64	64	64	64	64
Modulation		QAM	QAM	QAM	QAM	QAM	QAM	QAM	QAM
Target Coding Rate		3/4	3/4	3/4	3/4	3/4	3/4	3/4	3/4
Maximum number of HARQ transmissions		1	1	1	1	1	1	1	1
Information Bit Payload per Slot									
For Slots 0,3,4,8,9	Bits	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
For Slots 1,2,5,6,7	Bits	12296	25608	38936	52224	64552	77896	10657 6	13117 6
Transport block CRC	Bits	24	24	24	24	24	24	24	24
LDPC base graph		1	1	1	1	1	1	1	1
Number of Code Blocks per Slot									
For Slots 0,3,4,8,9	CBs	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
For Slots 1,2,5,6,7	CBs	2	4	5	7	8	10	13	16
Binary Channel Bits per Slot									
For Slots 0,3,4,8,9	Bits	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
For Slots 1,2,5,6,7	Bits	16200	33696	51192	68688	86184	10368 0	13996 8	17496 0
Max. Throughput averaged over 1 frame	Mbps	6.148	12.80 4	19.46 8	26.11 2	32.27 6	38.94 8	53.28 8	65.58 8

NOTE 1: Additional parameters are specified in Table A.3.1-1 and Table A.3.3.1-1.

NOTE 2: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit).

NOTE 3: SS/PBCH block is transmitted in slot 0 of each frame

Table A.3.3.3-2 Fixed reference channel for maximum input level receiver requirements (SCS 30 kHz, TDD, 64QAM)

Parameter	Unit						Value					
Channel bandwidth	MHz	5	10	15	20	25	30	40	50	60	80	100
Subcarrier spacing configuration $\mu$		1	1	1	1	1	1	1	1	1	1	1
Allocated resource blocks		11	24	38	51	65	78	106	133	162	217	273
Subcarriers per resource block		12	12	12	12	12	12	12	12	12	12	12
Allocated slots per Frame		13	13	13	13	13	13	13	13	13	13	13
MCS Index		24	24	24	24	24	24	24	24	24	24	24
MCS Table for TBS determination							64QAM					
Modulation		64 QAM	64 QAM	64 QAM								
Target Coding Rate		3/4	3/4	3/4	3/4	3/4	3/4	3/4	3/4	3/4	3/4	3/4
Maximum number of HARQ transmissions		1	1	1	1	1	1	1	1	1	1	1
Information Bit Payload per Slot												
For Slots 0 and Slot i, if mod(i, 10) = {7,8,9} for i from {0,,19}	Bits	N/A	N/A	N/A								
For Slot i, if $mod(i, 10) = \{0,1,2,3,4,5,6\}$ for i from $\{1,,19\}$	Bits	5376	11784	18432	25104	31752	37896	52224	64552	79896	106576	135296
Transport block CRC	Bits	24	24	24	24	24	24	24	24	24	24	24
LDPC base graph		1	1	1	1	1	1	1	1	1	1	1
Number of Code Blocks per Slot												
For Slots 0 and Slot i, if mod(i, 10) = {7,8,9} for i from {0,,19}	CBs	N/A	N/A	N/A								
For Slot i, if $mod(i, 10) = \{0,1,2,3,4,5,6\}$ for i from $\{1,,19\}$	CBs	1	2	3	3	4	5	7	8	10	13	17
Binary Channel Bits per Slot												
For Slots 0 and Slot i, if mod(i, 10) = {7,8,9} for i from {0,,19}	Bits	N/A	N/A	N/A								
For Slot i, if mod(i, 10) = $\{0,1,2,3,4,5,6\}$ for i from $\{1,,19\}$	Bits	7128	15552	24624	33048	42120	50544	68688	86184	104976	140616	176904
Max. Throughput averaged over 1 frame	Mbps	6.989	15.319	23.962	32.635	41.278	49.265	67.891	83.918	103.86 5	138.54 9	175.88 5

NOTE 1: Additional parameters are specified in Table A.3.1-1 and Table A.3.3.1-1.

NOTE 2: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit).

NOTE 3: SS/PBCH block is transmitted in slot #0 of each frame

Table A.3.3.3-3. Fixed reference channel for maximum input level receiver requirements (SCS 60 kHz, TDD, 64QAM)

Parameter	Unit					Va	lue				
Channel bandwidth	MHz	10	15	20	25	30	40	50	60	80	100
Subcarrier spacing configuration $\mu$		2	2	2	2	2	2	2	2	2	2
Allocated resource blocks		11	18	24	31	38	51	65	79	107	135
Subcarriers per resource block		12	12	12	12	12	12	12	12	12	12
Allocated slots per Frame		22	22	22	22	22	22	22	22	22	22
MCS Index		24	24	24	24	24	24	24	24	24	24
MCS Table for TBS determination						640	QAM				
Modulation		64 QAM	64 QAM								
Target Coding Rate		3/4	3/4	3/4	3/4	3/4	3/4	3/4	3/4	3/4	3/4
Maximum number of HARQ transmissions		1	1	1	1	1	1	1	1	1	1
Information Bit Payload per Slot											
For Slots 0,1 and Slot i, if $mod(i, 5) = \{3,4\}$ for i from $\{0,,39\}$	Bits	N/A	N/A								
For Slot i, if $mod(i, 5) = \{0,1,2\}$ for i from $\{2,,39\}$	Bits	5376	8712	11784	15112	18432	25104	31752	38936	52224	65576
Transport block CRC	Bits	24	24	24	24	24	24	24	24	24	24
LDPC base graph		1	1	1	1	1	1	1	1	1	1
Number of Code Blocks per Slot											
For Slots 0,1 and Slot i, if $mod(i, 5) = \{3,4\}$ for i from $\{0,,39\}$	CBs	N/A	N/A								
For Slot i, if $mod(i, 5) = \{0,1,2\}$ for i from $\{2,,39\}$	CBs	1	2	2	2	3	3	4	5	7	8
Binary Channel Bits per Slot											
For Slots 0,1 and Slot i, if $mod(i, 5) = \{3,4\}$ for i from $\{0,,39\}$	Bits	N/A	N/A								
For Slot i, if $mod(i, 5) = \{0,1,2\}$ for i from $\{2,,39\}$	Bits	7128	11664	15552	20088	24624	33048	42120	51192	69336	87480
Max. Throughput averaged over 1 frame	Mbps	11.827	19.166	25.925	33.246	40.550	55.229	69.854	85.659	114.89 3	144.26 7

NOTE 1: Additional parameters are specified in Table A.3.1-1 and Table A.3.3.1-1.

NOTE 2: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit).

NOTE 3: SS/PBCH block is transmitted in slot #0 of each frame

NOTE 4: Slot i is slot index per frame

### A.3.3.4 FRC for maximum input level for 256 QAM

Table A.3.3.4-1 Fixed reference channel for maximum input level receiver requirements (SCS 15 kHz, **TDD, 256QAM)** 

Parameter	Unit									
Channel bandwidth	MHz	5	10	15	20	25	30	40	50	
Subcarrier spacing	kHz	15	15	15	15	15	15	15	15	
Subcarrier spacing configuration $\mu$		0	0	0	0	0	0	0	0	
Allocated resource blocks		25	52	79	106	133	[160]	216	270	
Subcarriers per resource block		12	12	12	12	12	12	12	12	
Allocated slots per Frame		5	5	5	5	5	5	5	5	
MCS Index		23	23	23	23	23	23	23	23	
MCS table for TBS determination					2560	MAÇ				
Modulation		256 QAM								
Target Coding Rate		4/5	4/5	4/5	4/5	4/5	4/5	4/5	4/5	
Maximum number of HARQ transmissions		1	1	1	1	1	1	1	1	
Information Bit Payload per Slot										
For Slots 0,3,4,8,9	Bits	N/A								
For Slots 1,2,5,6,7	Bits	16896	34816	53288	71688	90176	10855 2	14340 0	18037 6	
Transport block CRC	Bits	24	24	24	24	24	24	24	24	
LDPC base graph		1	1	1	1	1	1	1	1	
Number of Code Blocks per Slot										
For Slots 0,3,4,8,9	CBs	N/A								
For Slots 1,2,5,6,7	CBs	3	5	7	9	12	14	18	23	
Binary Channel Bits per Slot										
For Slots 0,3,4,8,9	Bits	N/A								
For Slots 1,2,5,6,7	Bits	21600	44928	68256	91584	11491 2	13824 0	18662 4	23328 0	
Max. Throughput averaged over 1 frame	Mbps	8.448	17.40 8	26.64 4	35.84 4	45.08 8	54.27 6	71.70 0	90.18 8	

NOTE 1: Additional parameters are specified in Table A.3.1-1 and Table A.3.3.1-1.

NOTE 2: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit).

NOTE 3: SS/PBCH block is transmitted in slot 0 of each frame

Table A.3.3.4-2 Fixed Reference channel for maximum input level receiver requirements (SCS 30 kHz, **TDD, 256QAM)** 

Parameter	Unit						Value				
width	MHz	5	10	15	20	25	30	40	50	60	
icing configuration $\mu$		1	1	1	1	1	1	1	1	1	
urce blocks		11	24	38	51	65	78	106	133	162	1
r resource block		12	12	12	12	12	12	12	12	12	
per Frame		13	13	13	13	13	13	13	13	13	
		23	23	23	23	23	23	23	23	23	<u></u>
TBS determination							256QAM				
		256 QAM	256 QAM	ζ.							
Rate		4/5	4/5	4/5	4/5	4/5	4/5	4/5	4/5	4/5	
iber of HARQ transmissions		1	1	1	1	1	1	1	1	1	
: Payload per Slot											
nd Slot i, if mod(i, 10) = m {0,,19}	Bits	N/A	N/A	ı							
$nod(i, 10) = \{0,1,2,3,4,5,6\}$ for $\}$	Bits	7424	16136	25608	33816	44040	52224	71688	90176	108552	14
k CRC	Bits	24	24	24	24	24	24	24	24	24	
aph		1	1	1	1	1	1	1	1	1	
de Blocks per Slot											
nd Slot i, if mod(i, 10) = m {0,,19}	CBs	N/A	N/A	ı							
$nod(i, 10) = \{0,1,2,3,4,5,6\}$ for	CBs	1	1	1	1	1	1	1	2	2	
il Bits per Slot											
nd Slot i, if mod(i, 10) = m {0,,19}	Bits	N/A	N/A	ı							
nod(i, 10) = {0,1,2,3,4,5,6} for }	Bits	9504	20736	32832	44064	56160	67392	91584	114912	139968	18
out averaged over 1 frame	Mbps	9.651	20.977	33.290	43.961	57.252	67.891	93.194	117.22 9	141.11 8	19

litional parameters are specified in Table A.3.1-1 and Table A.3.3.1-1. ore than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit). PBCH block is transmitted in slot #0 of each frame

i is slot index per frame

Table A.3.3.4-3 Fixed reference channel for maximum input level receiver requirements (SCS 60 kHz, TDD, 256QAM)

Unit					Va	lue			•
MHz	10	15	20	25	30	40	50	60	80
	2	2	2	2	2	2	2	2	2
	11	18	24	31	38	51	65	79	107
	12	12	12	12	12	12	12	12	12
	22	22		22	22	22	22		22
	23	23	23	23	23	23	23	23	23
					2560	QAM			
	256 QAM	256 QAM	256 QAM	256 QAM	256 QAM	256 QAM	256 QAM	256 QAM	256 QAM
	4/5	4/5	4/5	4/5	4/5	4/5	4/5	4/5	4/5
	1	1	1	1	1	1	1	1	1
Bits	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Bits	7424	12040	16136	21000	25608	33816	44040	53288	71688
Bits	24	24	24	24	24	24	24	24	24
	1	1	1	1	1	1	1	1	1
CBs	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
CBs	1	2	3	3	4	5	6	7	9
Bits	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Bits	9504	15552	20736	26784	32832	44064	56160	68256	92448
Mbps	16.333	26.488	35.499	46.200	56.338	74.395	96.888	117.23 4	157.71 4
	Bits Bits CBs CBs Bits Bits	MHz 10  2  11  12  22  23  256  QAM  4/5  1  Bits N/A  Bits 7424  Bits 24  1  CBs N/A  CBs 1  Bits N/A  Bits 9504	MHz 10 15  2 2  11 18  12 12  22 22  23 23  256 QAM QAM  4/5 4/5  1 1  Bits N/A N/A  Bits 24 24  1 1  CBs N/A N/A  CBs 1 2  Bits N/A N/A  Bits N/A N/A  Bits N/A N/A  CBs 1 2	MHz         10         15         20           2         2         2         2           11         18         24         12         12         12         12         12         12         12         22         22         22         22         22         22         22         23         24         24         4/5         4/5         4/5         4/5         4/5         4/5         4/5         4/5         4/5         4/5         4/5         4/5         4/2         24         24         24	MHz         10         15         20         25           2         2         2         2           11         18         24         31           12         12         12         12           22         22         22         22           23         23         23         23           256         QAM         QAM         QAM           QAM         QAM         QAM         QAM           4/5         4/5         4/5         4/5           1         1         1         1         1           Bits         7424         12040         16136         21000           Bits         24         24         24         24           1         1         1         1         1           CBs         N/A         N/A         N/A         N/A           N/A         N/A         N/A         N/A         N/A           Bits         N/A         N/A         N/A         N/A           Bits         9504         15552         20736         26784	MHz         10         15         20         25         30           2         2         2         2         2         2           11         18         24         31         38           12         12         12         12         12         12           22         256         QAM         QAM         QAM         QAM         N/A         N/A         N/A </td <td>MHz         10         15         20         25         30         40           2         2         2         2         2         2           11         18         24         31         38         51           12         12         12         12         12         12         12         12         12         12         12         12         12         12         24         24         24</td> <td>MHz         10         15         20         25         30         40         50           2         2         2         2         2         2         2         2           11         18         24         31         38         51         65           12         12         12         12         12         12         12           22         22         22         22         22         22         22         22         22           23         256         256         256         256         256         256         256         256         256         256         256         256         256         256         2</td> <td>MHz         10         15         20         25         30         40         50         60           2         <td< td=""></td<></td>	MHz         10         15         20         25         30         40           2         2         2         2         2         2           11         18         24         31         38         51           12         12         12         12         12         12         12         12         12         12         12         12         12         12         24         24         24	MHz         10         15         20         25         30         40         50           2         2         2         2         2         2         2         2           11         18         24         31         38         51         65           12         12         12         12         12         12         12           22         22         22         22         22         22         22         22         22           23         256         256         256         256         256         256         256         256         256         256         256         256         256         256         2	MHz         10         15         20         25         30         40         50         60           2 <td< td=""></td<>

Additional parameters are specified in Table A.3.1-1 and Table A.3.3.1-1.

If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit). SS/PBCH block is transmitted in slot #0 of each frame

Slot i is slot index per frame

### A.4 CSI reference measurement channels

### A.5 OFDMA Channel Noise Generator (OCNG)

### A.5.1 OCNG Patterns for FDD

### A.5.1.1 OCNG FDD pattern 1: Generic OCNG FDD Pattern for all unused REs

Table A.5.1.1-1: OP.1 FDD: Generic OCNG FDD Pattern for all unused REs

OCNG Appliance	Control Region	Data Region
OCNG Parameters	(Core Set)	
Resources allocated	All unused REs (Note 1)	All unused REs (Note 2)

Structure	PDCCH	PDSCH					
Content	Uncorrelated pseudo random	Uncorrelated pseudo random QPSK					
	QPSK modulated data	modulated data					
Transmission scheme for multiple	Single Tx port transmission	Spatial multiplexing using any					
antennas ports transmission		precoding matrix with dimensions					
		same as the precoding matrix for					
		PDSCH					
Subcarrier Spacing	Same as for RMC PDCCH in	Same as for RMC PDSCH in the					
	the active BWP	active BWP					
Power Level	Same as for RMC PDCCH	Same as for RMC PDSCH					
Note 1: All unused REs in the active C							
Note 2: Unused available REs refer to	REs in PRBs not allocated for any	physical channels, CORESETs,					
synchronization signals or refe	rence signals in channel bandwidt	h.					

### A.5.2 OCNG Patterns for TDD

## A.5.2.1 OCNG TDD pattern 1: Generic OCNG TDD Pattern for all unused REs

Table A.5.2.1-1: OP.1 TDD: Generic OCNG TDD Pattern for all unused REs

OCNG Appliance OCNG Parameters	Control Region (Core Set)	Data Region				
Resources allocated	All unused REs (Note 1)	All unused REs (Note 2)				
Structure	PDCCH	PDSCH				
Content	Uncorrelated pseudo random QPSK modulated data	Uncorrelated pseudo random QPSK modulated data				
Transmission scheme for multiple antennas ports transmission	Single Tx port transmission	Spatial multiplexing using any precoding matrix with dimensions same as the precoding matrix for PDSCH				
Subcarrier Spacing	Same as for RMC PDCCH in the active BWP	Same as for RMC PDSCH in the active BWP				
Power Level	Same as for RMC PDCCH	Same as for RMC PDSCH				
Note 1: All unused REs in the active CORESETS appointed by the search spaces in use.  Note 2: Unused available REs refer to REs in PRBs not allocated for any physical channels, CORESETs, synchronization signals or reference signals in channel bandwidth.						

### A.6 Void

### Annex B (informative): Void

## Annex C (informative): Downlink physical channels

### C.1 General

The following clauses, describes the downlink Physical Channels that are transmitted during a connection i.e., when measurements are done.

### C.2 Setup

Table C.2-1 describes the downlink Physical Channels that are required for connection set up.

Table C.2-1: Downlink Physical Channels required for connection set-up

Physical Channel
PBCH
SSS
PSS
PDCCH
PDSCH
PBCH DMRS
PDCCH DMRS
PDSCH DMRS
CSI-RS

### C.3 Connection

#### C.3.1 Measurement of Receiver Characteristics

Unless otherwise stated, Table C.3.1-1 is applicable for measurements on the Receiver Characteristics (clause 7).

Table C.3.1-1: Downlink Physical Channels transmitted during a connection (FDD and TDD)

Unit	Value
W	Test specific
dB	0
dB	3
dB	-3
dB	0
dB	Test specific
dB	0
dB	0
	W dB

NOTE 1: No boosting is applied to any of the channels except PDSCH DMRS. For PDSCH DMRS, 3 dB power boosting is applied assuming DMRS Type 1 configuration when DMRS and PDSCH are TDM'ed and only half of the DMRS REs are occupied.

NOTE 2: Number of DMRS CDM groups without data for PDSCH DMRS configuration for OCNG is set to 1.

### Annex D (informative): Void

## Annex E (normative): Environmental conditions

### E.1 General

This normative annex specifies the environmental requirements of the UE. Within these limits the requirements of the present documents shall be fulfilled.

### E.2 Environmental

The requirements in this clause apply to all types of UE(s).

### E.2.1 Temperature

The UE shall fulfil all the requirements in the full temperature range of:

Table E.2.1-1: Temperature conditions

+15°C to +35°C	For normal conditions (with relative humidity of 25 % to 75 %)
-10°C to +55°C	For extreme conditions (see IEC publications 68-2-1 and 68-2-2)

Outside this temperature range the UE, if powered on, shall not make ineffective use of the radio frequency spectrum. In no case shall the UE exceed the transmitted levels as defined in clause 6.2 for extreme operation.

### E.2.2 Voltage

The UE shall fulfil all the requirements in the full voltage range, i.e. the voltage range between the extreme voltages.

The manufacturer shall declare the lower and higher extreme voltages and the approximate shutdown voltage. For the equipment that can be operated from one or more of the power sources listed below, the lower extreme voltage shall not be higher, and the higher extreme voltage shall not be lower than that specified below.

Table E.2.2-1: Voltage conditions

Power source	Lower extreme voltage	Higher extreme voltage	Normal conditions voltage	
AC mains	0,9 * nominal	1,1 * nominal	nominal	
Regulated lead acid battery	0,9 * nominal	1,3 * nominal	1,1 * nominal	
Non regulated batteries:				
Leclanché	0,85 * nominal	Nominal	Nominal	
Lithium	0,95 * nominal	1,1 * Nominal	1,1 * Nominal	
Mercury/nickel & cadmium	0.90 * nominal		Nominal	

Outside this voltage range the UE if powered on, shall not make ineffective use of the radio frequency spectrum. In no case shall the UE exceed the transmitted levels as defined in clause 6.2 for extreme operation. In particular, the UE shall inhibit all RF transmissions when the power supply voltage is below the manufacturer declared shutdown voltage.

### E.2.3 Vibration

The UE shall fulfil all the requirements when vibrated at the following frequency/amplitudes.

Table E.2.3-1: Vibration conditions

Frequency	ASD (Acceleration Spectral Density) random vibration
5 Hz to 20 Hz	$0.96 \text{ m}^2/\text{s}^3$
20 Hz to 500 Hz	0,96 m <sup>2</sup> /s <sup>3</sup> at 20 Hz, thereafter –3 dB/Octave

Outside the specified frequency range the UE, if powered on, shall not make ineffective use of the radio frequency spectrum. In no case shall the UE exceed the transmitted levels as defined in TS 38.101-1 for extreme operation.

## Annex F (normative): Transmit modulation

### F.1 Measurement Point

Figure F.1-1 shows the measurement point for the unwanted emission falling into non-allocated RB(s) and the EVM for the allocated RB(s).

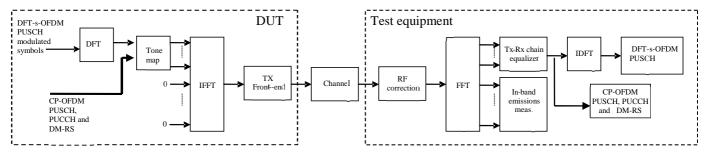


Figure F.1-1: EVM measurement points

### F.2 Basic Error Vector Magnitude measurement

The EVM is the difference between the ideal waveform and the measured waveform for the allocated RB(s)

$$EVM = \sqrt{\frac{\sum_{v \in T_m} |z'(v) - i(v)|^2}{|T_m| \cdot P_0}},$$

where

 $T_m$  is a set of  $|T_m|$  modulation symbols with the considered modulation scheme being active within the measurement period,

z'(v) are the samples of the signal evaluated for the EVM,

i(v) is the ideal signal reconstructed by the measurement equipment, and

 $P_0$  is the average power of the ideal signal. For normalized modulation symbols  $P_0$  is equal to 1.

The basic EVM measurement interval is defined over one slot in the time domain for PUCCH and PUSCH and over one preamble sequence for the PRACH.

### F.3 Basic in-band emissions measurement

The in-band emissions are a measure of the interference falling into the non-allocated resources blocks. The in-band emission requirement is evaluated for PUCCH and PUSCH transmissions. The in-band emission requirement is not evaluated for PRACH transmissions.

The in-band emissions are measured as follows

$$Emissions_{absolute}(\Delta_{RB}) = \begin{cases} \frac{1}{\left|T_{s}\right|} \sum_{t \in T_{s}} \sum_{\substack{max(f_{\min}, f_{l} + 12 \cdot \Delta_{RB} + \Delta f) \\ \min(f_{\max}, f_{h} + 12 \cdot \Delta_{RB} * \Delta f)}} \left|Y(t, f)\right|^{2}, \Delta_{RB} < 0 \\ \frac{1}{\left|T_{s}\right|} \sum_{t \in T_{s}} \sum_{\substack{f_{h} + (12 \cdot \Delta_{RB} - 11) * \Delta f \\ f_{h} + (12 \cdot \Delta_{RB} - 11) * \Delta f}} \left|Y(t, f)\right|^{2}, \Delta_{RB} > 0 \end{cases}$$

where

 $T_s$  is a set of  $|T_s|$  OFDM symbols with the considered modulation scheme being active within the measurement period,

 $\Delta_{RB}$  is the starting frequency offset between the allocated RB and the measured non-allocated RB (e.g.  $\Delta_{RB}=1$  or  $\Delta_{RB}=-1$  for the first adjacent RB),

 $f_{\min}$  (resp.  $f_{\max}$ ) is the lower (resp. upper) edge of the UL system BW,

 $f_{l}$  and  $f_{h}$  are the lower and upper edge of the allocated BW, and

Y(t, f) is the frequency domain signal evaluated for in-band emissions as defined in the subsection (ii)

The relative in-band emissions are, given by

$$Emissions_{relative}(\Delta_{RB}) = \frac{Emissions_{absolute}(\Delta_{RB})}{\frac{1}{|T_s| \cdot N_{RB}} \sum_{t \in T_s} \sum_{f_l}^{f_l + (12 \cdot N_{RB} - 1)\Delta f} |Y(t, f)|^2}$$

where

 $N_{RB}$  is the number of allocated RBs

The basic in-band emissions measurement interval is defined over one slot in the time domain. When the PUSCH or PUCCH transmission slot is shortened due to multiplexing with SRS, the in-band emissions measurement interval is reduced by one OFDM symbol, accordingly.

In the evaluation of in-band emissions, the timing is set according to  $\Delta \widetilde{t} = \Delta \widetilde{c}$ , where sample time offsets  $\Delta \widetilde{t}$  and  $\Delta \widetilde{c}$  are defined in subclause F.4.

### F.4 Modified signal under test

Implicit in the definition of EVM is an assumption that the receiver is able to compensate a number of transmitter impairments.

The PUSCH data or PRACH signal under test is modified and, in the case of PUSCH data signal, decoded according to:

$$Z'(t,f) = IDFT \left\{ \frac{FFT \left\{ z(v - \Delta \tilde{t}) \cdot e^{-j2\pi \Delta \tilde{y}_{v}} \right\} e^{j2\pi j\Delta \tilde{t}}}{\tilde{a}(t,f) \cdot e^{j\tilde{\varphi}(t,f)}} \right\}$$

where

z(v) is the time domain samples of the signal under test.

The PUCCH or PUSCH demodulation reference signal or PUCCH data signal under test is equalised and, in the case of PUCCH data signal decoded according to:

$$Z'(t,f) = \frac{FFT\left\{z(v - \Delta \tilde{t}) \cdot e^{-j2\pi\Delta \tilde{f}v}\right\}}{\tilde{a}(t,f) \cdot e^{j\tilde{\varphi}(t,f)}} e^{j2\pi j\Delta \tilde{t}}$$

where

z(v) is the time domain samples of the signal under test.

To minimize the error, the signal under test should be modified with respect to a set of parameters following the procedure explained below.

Notation:

 $\Delta \tilde{t}$  is the sample timing difference between the FFT processing window in relation to nominal timing of the ideal signal.

 $\Delta \widetilde{f}$  is the RF frequency offset.

 $\widetilde{\varphi}(t, f)$  is the phase response of the TX chain.

 $\tilde{a}(t, f)$  is the amplitude response of the TX chain.

In the following  $\Delta \tilde{c}$  represents the middle sample of the EVM window of length W (defined in the next subsections) or the last sample of the first window half if W is even.

The EVM analyser shall

- detect the start of each slot and estimate  $\Delta \tilde{t}$  and  $\tilde{\Delta f}$ ,
- determine  $\Delta \widetilde{c}$  so that the EVM window of length W is centred
  - on the time interval determined by the measured cyclic prefix minus  $16\kappa$  samples of the considered OFDM symbol for symbol 1 for subcarrier spacing configuration  $\mu$  in a subframe, with l=0 or  $l=7*2^{\mu}$  for normal CP, i.e. the first  $16\kappa$  samples of the CP should not be taken into account for this step. In the determination of the number of excluded samples, a sampling rate of  $1/T_c$  is assumed. If a different sampling rate is used, the number of excluded samples is scaled linearly.
  - on the measured cyclic prefix of the considered OFDM symbol symbol for all other symbols for normal CP and for symbol 0 to 11 for extended CP.
  - on the measured preamble cyclic prefix for the PRACH

To determine the other parameters a sample timing offset equal to  $\Delta\!\widetilde{c}$  is corrected from the signal under test. The EVM analyser shall then

- correct the RF frequency offset  $\Delta \widetilde{f}$  for each time slot, and
- apply an FFT of appropriate size. The chosen FFT size shall ensure that in the case of an ideal signal under test, there is no measured inter-subcarrier interference.

The carrier leakage shall be removed from the evaluated signal before calculating the EVM and the in-band emissions; however, the removed relative carrier leakage power also has to satisfy the applicable requirement.

At this stage the allocated RBs shall be separated from the non-allocated RBs. In the case of PUCCH and PUSCH EVM, the signal on the non-allocated RB(s), Y(t, f), is used to evaluate the in-band emissions.

Moreover, the following procedure applies only to the signal on the allocated RB(s).

- In the case of PUCCH and PUSCH, the UL EVM analyzer shall estimate the TX chain equalizer coefficients  $\tilde{a}(t,f)$  and  $\tilde{\varphi}(t,f)$  used by the ZF equalizer for all subcarriers by time averaging at each signal subcarrier of the amplitude and phase of the reference and data symbols. The time-averaging length is 1 slot. This process creates an average amplitude and phase for each signal subcarrier used by the ZF equalizer. The knowledge of data modulation symbols may be required in this step because the determination of symbols by demodulation is not reliable before signal equalization.

In the case of PRACH, the UL EVM analyzer shall estimate the TX chain coefficients  $\tilde{a}(t)$  and  $\tilde{\varphi}(t)$  used for phase and amplitude correction and are seleted so as to minimize the resulting EVM. The TX chain coefficients are not dependent on frequency, i.e.  $\tilde{a}(t,f) = \tilde{a}(t)$  and  $\tilde{\varphi}(t,f) = \tilde{\varphi}(t)$ . The TX chain coefficient are chosen independently for each preamble transmission and for each  $\Delta \tilde{t}$ .

At this stage estimates of  $\Delta \widetilde{f}$ ,  $\widetilde{a}(t,f)$ ,  $\widetilde{\varphi}(t,f)$  and  $\Delta \widetilde{c}$  are available.  $\Delta \widetilde{t}$  is one of the extremities of the window W, i.e.  $\Delta \widetilde{f}$  can be  $\Delta \widetilde{c} + \alpha - \left\lfloor \frac{W}{2} \right\rfloor$  or  $\Delta \widetilde{c} + \left\lfloor \frac{W}{2} \right\rfloor$ , where  $\alpha = 0$  if W is odd and  $\alpha = 1$  if W is even. The EVM analyser shall then

- calculate EVM<sub>1</sub> with  $\Delta \tilde{t}$  set to  $\Delta \tilde{c} + \alpha \left\lfloor \frac{W}{2} \right\rfloor$ ,
- calculate EVM<sub>h</sub> with  $\Delta \tilde{t}$  set to  $\Delta \tilde{c} + \left\lfloor \frac{W}{2} \right\rfloor$ .

### F.5 Window length

### F.5.1 Timing offset

As a result of using a cyclic prefix, there is a range of  $\Delta \tilde{t}$ , which, at least in the case of perfect Tx signal quality, would give close to minimum error vector magnitude. As a first order approximation, that range should be equal to the length of the cyclic prefix. Any time domain windowing or FIR pulse shaping applied by the transmitter reduces the  $\Delta \tilde{t}$  range within which the error vector is close to its minimum.

### F.5.2 Window length

The window length W affects the measured EVM, and is expressed as a function of the configured cyclic prefix length. In the case where equalization is present, as with frequency domain EVM computation, the effect of FIR is reduced. This is because the equalization can correct most of the linear distortion introduced by the FIR. However, the time domain windowing effect can't be removed.

### F.5.3 Window length for normal CP

Contents in this section are FFS.

### F.5.4 Window length for Extended CP

Contents in this section are FFS.

### F.5.5 Window length for PRACH

Contents in this section are FFS.

### F.6 Averaged EVM

The general EVM is averaged over basic EVM measurements for n slots in the time domain.

$$\overline{EVM} = \sqrt{\frac{1}{n} \sum_{i=1}^{n} EVM_{i}^{2}},$$

where n is

$$n = \begin{cases} 10, for \ 15 \ kHz \ SCS \\ 20, for \ 30 \ kHz \ SCS \\ 40, for \ 60 \ kHz \ SCS \end{cases}$$

for PUCCH, PUSCH.

The EVM requirements shall be tested against the maximum of the RMS average at the window W extremities of the EVM measurements:

Thus  $\overline{\text{EVM}}_1$  is calculated using  $\Delta \tilde{t} = \Delta \tilde{t}_l$  in the expressions above and  $\overline{\text{EVM}}_h$  is calculated using  $\Delta \tilde{t} = \Delta \tilde{t}_h$ .

Thus we get:

$$EVM = \max(\overline{EVM}_1, \overline{EVM}_n)$$

The calculation of the EVM for the demodulation reference signal,  $EVM_{DMRS}$ , follows the same procedure as calculating the general EVM, with the exception that the modulation symbol set  $T_m$  defined in clause F.2 is restricted to symbols containing uplink demodulation reference signals.

The basic  $EVM_{DMRS}$  measurements are first averaged over n slots in the time domain to obtain an intermediate average  $\overline{EVM}_{DMRS}$ .

$$\overline{EVM}_{DMRS} = \sqrt{\frac{1}{n} \sum_{i=1}^{n} EVM_{DMRS,i}^{2}}$$

In the determination of each  $EVM_{DMRS,i}$ , the timing is set to  $\Delta \tilde{t} = \Delta \tilde{t}_l$  if  $EVM_l > EVM_h$ , and it is set to  $\Delta \tilde{t} = \Delta \tilde{t}_h$  otherwise, where  $EVM_l$  and  $EVM_h$  are the general average EVM values calculated in the same n slots over which the intermediate average  $EVM_{DMRS}$  is calculated. Note that in some cases, the general average EVM may be calculated only for the purpose of timing selection for the demodulation reference signal EVM.

Then the results are further averaged to get the EVM for the demodulation reference signal,  $EVM_{DMRS}$ ,

$$EVM_{DMRS} = \sqrt{\frac{1}{6} \sum_{j=1}^{6} \overline{EVM}_{DMRS,j}^{2}}$$

The PRACH EVM,  $EVM_{PRACH}$ , is averaged over TBD preamble sequence measurements for all preamble formats.

The EVM requirements shall be tested against the maximum of the RMS average at the window *W* extremities of the EVM measurements:

Thus  $\overline{\text{EVM}}_{\text{PRACH, 1}}$  is calculated using  $\Delta \tilde{t} = \Delta \tilde{t}_l$  and  $\overline{\text{EVM}}_{\text{PRACH, h}}$  is calculated using  $\Delta \tilde{t} = \Delta \tilde{t}_h$ .

Thus we get:

$$EVM_{PRACH} = \max(\overline{EVM}_{PRACH}, \overline{EVM}_{PRACH})$$

### F.7 Spectrum Flatness

The data shall be taken from FFT coded data symbols and the demodulation reference symbols of the allocated resource block.

Annex G (informative): Void

Annex H (informative): Void

Annex I (informative): Void

# Annex J (informative): Change history

					Change history	
Date	Meeting	TDoc	CR R	v Ca	Subject/Comment	New versio n
2017-08	RAN4#84	R4- 1708909			Initial Skeleton	0.0.1
2017-10	RAN4#84 Bis	R4- 1709958			Added approved TPs in RAN4-NR-AH#3 R4-1709948, TP for TS 38.101-1: minimum output power, Huawei R4-1709454, TP for TS 38.101-1:UE Tx spurious emission for range 1, ZTE Corporation	0.1.0
2017-10	RAN4#84 Bis	R4- 1711978			Embedded approved TPs in RAN4#84Bis R4-1711556, "TP to TS 38.101: Draft CR to Transmitter power clause", Nokia R4-1710962, "TP to TS 38.101-1: Draft CR to Output RF spectrum emissions" Nokia R4-1711608, "TP for TS38.101-1 on conducted UE transmitter intermodulation for FR1(section 6.5)" ZTE Corporation Number of TPs by editors	0.2.0
2017-12	RAN4#85	R4- 1713805			Approved TPs in RAN4#85 R4-1713204, TP on general parts for 38.101-1 NR FR1, Ericsson R4-1714047, WF on MPR for sub6GHz, NTT DOCOMO, INC. R4-1714052, TP for TS 38.101-1 introduction of band n71 for transmitter characteristics, T-Mobile USA Inc. R4-1714163, TP to 38.101-1: ACS, Ericsson R4-1714163, TP to 36.101-1: In-band blocking, Ericsson R4-1714446, TP to 36.101-1: Out-of-band blocking and exceptions for spurious response, Ericsson R4-1714369, TP for NBB requirement for FR1, Intel Corporation R4-1714529, TP on introducing operating bands for NR-LTE DC including SUL band combinations in 38.101-1, Huawei R4-1714097, TP for TS 38.101-1: UE RF requirements for standalone SUL, Huawei R4-1714536, TP for TS 38.101-1: Channel Bandwidth Definition, Qualcomm Incorporated (Note, this TP was further discussed and edited in the reflector) R4-1714114, TP for TS 38.101-1: Channel Arrangement, Qualcomm Incorporated (Note, this TP was further discussed and edited in the reflector) R4-1714029, Sub6 Reference Sensitivity, Qualcomm Incorporated R4-1714329, TP to TR 38.101-01 v0.2.0: ON/OFF mask design for NR UE transmissions for FR1, Ericsson  Band list according to R4-1714542, List of bands and band combinations to be introduced into RAN4 NR core requirements by December 2017, RAN4 Chairmen	0.3.0
0047.40	DANAWOS	D.4			Input from: R4-1714479, TP for TR 38.817-01 NR channel bandwidth, Huawei, Hisilicon	0.40
2017-12	RAN4#85	R4- 1714569			Further corrections and alignments with 38.104 after email review	0.4.0
2017-12		RP-172475			v1.0.0 submitted for plenary approval. Contents same as 0.4.0	1.0.0
2017-12	RAN#78				Approved by plenary – Rel-15 spec under change control	15.0.0

2018-03	RAN#70	RP-180264	0003	l F	Implementation of endorced CRs to 38.101-1	15.1.0
2010-03	INAIN#19	KF-100204	0003	'	Endorsed draft CRs	13.1.0
					F: R4-1800400, Editorial corrections for 38.101-1, Qualcomm	
					B: R4-1801102, Draft CR for 30 MHz CBW support, Huawei	
					F: R4-1800032, 38.101-1 n71 draft CR for section 6.2.3 - UE A-MPR	
					- NS values, T-Mobile USA Inc.	
					B: R4-1801121, Draft pCR for TS 38.101-1 version 15.0.0: Remaining ON/OFF masks for FR1 NR UE transmissions, Ericsson	
					F: R4-1800417, Correction of NR SEM table and additional	
					requirements table, vivo	
					F: R4-1800033, 38.101-1 n71 draft CR for section 6.5.3.2 Spurious	
					emissions for UE co-existence, T-Mobile USA Inc.	
					F: R4-1801114, Proposal on protected band numbering in UE specs,	
					Sprint Corporation F: R4-1800407, Draft CR for TS 38.101-1: Mandatory 4Rx antenna	
					performance for NR UE, Vodafone Group Plc	
					F: R4-1800451 Draft CR for TS 38.101-1: Clarification of 4Rx NR	
					bands, Huawei, HiSilicon	
					F: R4-1801136, Draft CR for TS 38.101-1: REFSENS for NR bands,	
					Huawei, HiSilicon	
	]				F: R4-1801137, Draft CR: n71 REFSENS, Dish Network F: R4-1800395, Draft CR to 38.101-1: corrections to ACS and in-	
					band blocking, Ericsson	
					F: R4-1800396, Draft CR to 38.101-1: corrections to out-of-band	
	]				blocking, Ericsson	
					F: R4-1800397, Draft CR to 38.101-1: corrections to spurious	
				1	response, Ericsson	
				1	F: R4-1800305, Draft CR for NR FR1 wide band intermodulation requirements, MediaTek Inc.	
					F: R4-1800320, Draft CR to 38.101-1: Rx Spurious emission for NR	
					FR1 (section 7.9), ZTE Corporation	
					F: R4-1800473, Draft CR on UE RF requirements for SUL in TS	
					38.101-1, Huawei	
					F: R4-1800965, Draft CR to TS 38.101-1: Asymmetric CH BW operation, Dish Network	
					F: R4-1800882, Draft CR for correction of UE channel bandwidth for	
					Bands n77 and n78 for TS 38.101-1, Orange UK	
					F: R4-1801012, Draft CR to 38.101-1: Clarifications to UE spectrum	
					utilization section 5.3, Ericsson	
					F: R4-1800030, 38.101-1 n71 draft CR for section 5.4.4 - TX–RX frequency separation, T-Mobile USA Inc	
					F: R4-1801228, Draft CR to 38.101-1: Channel spacing for CA for	
					NR FR1(section 5.4.1.2), ZTE Corporation	
					F: R4-1801231, Correction CR for channel spacing:38.101-1,	
					Samsung	
					F: R4-1801235, Draft CR to TS 38.101-1: Corrections on channel	
					raster calculation in section 5.4.2, ZTE Corporation F: R4-1801318, Draft CR on synchronization raster, Huawei	
					11. 174 100 10 10, Drait Ort on Synonionization raster, mawer	
					RAN4#86:	
					R4-1803053, Draft CR for new spec structure of 38.101-1, Ericsson	
					R4-1801479, Draft CR to 38.101-1: Default Tx-RX frequency	
				1	separation for NR FR1(section 5.4.4), ZTE R4-1801581, Draft CR for TS 38.101-1 update of 4Rx bands,	
				1	Huawei Technologies France	
	]				R4-1802211, draft CR TS 38.101-1 Uplink configuration for FR1 NR	
				1	REFSENS, Skyworks Solutions Inc.	
				1	R4-1802342, Draft CR for NR FR1 ACS case 2 transmitter power	
				1	setting correction (Note 1), MediaTek Inc. R4-1802509, Draft CR on 38.101-1 v15.0.0: Remaining ON/OFF	
	]				masks for FR1 NR UE transmissions. Ericsson	
					R4-1802566, Draft CR to TS 38.101-1: Clarification of mixed	
				1	numerology guardband size, Ericsson	
				1	R4-1802978, Draft CR to TS 38.101-1: Corrections on channel	
				1	raster in Section 5.4.2.3, Intel Corporation R4-1803064, Draft CR for 38.101-1: Correction of errors, Sprint	
					Corporation	
				1	R4-1803065, Draft CR for 38.101-1 Introduction of n41requirements,	
				1	Sprint Corporation	
					R4-1803242, Draft CR to 38.101-1: Corrections to n66, Dish	
					Network  P4 1903295 Droft CP to 39 101 1: Correction to CH PWe without	
				1	R4-1803285, Draft CR to 38.101-1: Correction to CH BWs without symmetric uplink Dish Network, Skyworks Solutions Inc.	
1				1	R4-1803436, Introduction of UL subcarrier alignment for additional	
				1	bands, AT&T	
1					R4-1803456, Draft CR for 38.101-1: Spurious Emissions for UE	
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### History

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