

ETSI TS 136 425 V15.0.0 (2018-07)



**Universal Mobile Telecommunications System (UMTS);
LTE;
Evolved Universal Terrestrial Radio
Access Network (E-UTRAN);
X2 interface user plane protocol
(3GPP TS 36.425 version 15.0.0 Release 15)**



Reference

RTS/TSGR-0336425vf00

Keywords

LTE,UMTS

ETSI

650 Route des Lucioles
F-06921 Sophia Antipolis Cedex - FRANCE

Tel.: +33 4 92 94 42 00 Fax: +33 4 93 65 47 16

Siret N° 348 623 562 00017 - NAF 742 C
Association à but non lucratif enregistrée à la
Sous-Préfecture de Grasse (06) N° 7803/88

Important notice

The present document can be downloaded from:

<http://www.etsi.org/standards-search>

The present document may be made available in electronic versions and/or in print. The content of any electronic and/or print versions of the present document shall not be modified without the prior written authorization of ETSI. In case of any existing or perceived difference in contents between such versions and/or in print, the only prevailing document is the print of the Portable Document Format (PDF) version kept on a specific network drive within ETSI Secretariat.

Users of the present document should be aware that the document may be subject to revision or change of status.

Information on the current status of this and other ETSI documents is available at

<https://portal.etsi.org/TB/ETSIDeliverableStatus.aspx>

If you find errors in the present document, please send your comment to one of the following services:

<https://portal.etsi.org/People/CommiteeSupportStaff.aspx>

Copyright Notification

No part may be reproduced or utilized in any form or by any means, electronic or mechanical, including photocopying and microfilm except as authorized by written permission of ETSI.

The content of the PDF version shall not be modified without the written authorization of ETSI.

The copyright and the foregoing restriction extend to reproduction in all media.

© ETSI 2018.

All rights reserved.

DECT™, **PLUGTESTS™**, **UMTS™** and the ETSI logo are trademarks of ETSI registered for the benefit of its Members.
3GPP™ and **LTE™** are trademarks of ETSI registered for the benefit of its Members and
of the 3GPP Organizational Partners.

oneM2M logo is protected for the benefit of its Members.

GSM® and the GSM logo are trademarks registered and owned by the GSM Association.

Intellectual Property Rights

Essential patents

IPRs essential or potentially essential to normative deliverables may have been declared to ETSI. The information pertaining to these essential IPRs, if any, is publicly available for **ETSI members and non-members**, and can be found in ETSI SR 000 314: *"Intellectual Property Rights (IPRs); Essential, or potentially Essential, IPRs notified to ETSI in respect of ETSI standards"*, which is available from the ETSI Secretariat. Latest updates are available on the ETSI Web server (<https://ipr.etsi.org/>).

Pursuant to the ETSI IPR Policy, no investigation, including IPR searches, has been carried out by ETSI. No guarantee can be given as to the existence of other IPRs not referenced in ETSI SR 000 314 (or the updates on the ETSI Web server) which are, or may be, or may become, essential to the present document.

Trademarks

The present document may include trademarks and/or tradenames which are asserted and/or registered by their owners. ETSI claims no ownership of these except for any which are indicated as being the property of ETSI, and conveys no right to use or reproduce any trademark and/or tradename. Mention of those trademarks in the present document does not constitute an endorsement by ETSI of products, services or organizations associated with those trademarks.

Foreword

This Technical Specification (TS) has been produced by ETSI 3rd Generation Partnership Project (3GPP).

The present document may refer to technical specifications or reports using their 3GPP identities, UMTS identities or GSM identities. These should be interpreted as being references to the corresponding ETSI deliverables.

The cross reference between GSM, UMTS, 3GPP and ETSI identities can be found under <http://webapp.etsi.org/key/queryform.asp>.

Modal verbs terminology

In the present document "**shall**", "**shall not**", "**should**", "**should not**", "**may**", "**need not**", "**will**", "**will not**", "**can**" and "**cannot**" are to be interpreted as described in clause 3.2 of the [ETSI Drafting Rules](#) (Verbal forms for the expression of provisions).

"**must**" and "**must not**" are **NOT** allowed in ETSI deliverables except when used in direct citation.

Contents

Intellectual Property Rights	2
Foreword.....	2
Modal verbs terminology.....	2
Foreword.....	4
1 Scope	5
2 References	5
3 Definitions, symbols and abbreviations	5
3.1 Definitions	5
3.2 Abbreviations	5
4 General	6
4.1 General aspects.....	6
5 X2 user plane protocol	7
5.1 General	7
5.2 X2 user plane protocol layer services.....	7
5.3 Services expected from the X2 Transport Network Layer	7
5.4 Elementary procedures	8
5.4.1 Transfer of Downlink User Data.....	8
5.4.1.1 Successful operation.....	8
5.4.1.2 Unsuccessful operation	8
5.4.2 Downlink Data Delivery Status	8
5.4.2.1 Successful operation.....	8
5.4.2.2 Unsuccessful operation	9
5.5 Elements for the X2 user plane protocol	10
5.5.1 General.....	10
5.5.2 Frame format for the X2 user plane protocol.....	11
5.5.2.1 DL USER DATA (PDU Type 0)	11
5.5.2.2 DL DATA DELIVERY STATUS (PDU Type 1).....	12
5.5.2.3 DL DATA DELIVERY STATUS EXTENDED (PDU Type 2).....	12
5.5.2.4 DL USER DATA EXTENDED (PDU Type 3)	13
5.5.3 Coding of information elements in frames	14
5.5.3.1 PDU Type	14
5.5.3.2 Spare	14
5.5.3.3 X2-U Sequence Number	14
5.5.3.4 Lost Packet Report	14
5.5.3.5 Final Frame Indication	14
5.5.3.6 Highest successfully delivered PDCP Sequence Number	14
5.5.3.7 Desired buffer size for the E-RAB	14
5.5.3.8 Minimum desired buffer size for the UE.....	15
5.5.3.9 Number of lost X2-U Sequence Number ranges reported.....	15
5.5.3.10 Start of lost X2-U Sequence Number range	15
5.5.3.11 End of lost X2-U Sequence Number range	15
5.5.3.12 Padding	15
5.5.3.13 Highest successfully delivered PDCP Sequence Number Extended	15
5.5.3.14 Start of lost X2-U Sequence Number range Extended	15
5.5.3.15 End of lost X2-U Sequence Number range Extended	15
5.5.3.16 X2-U Sequence Number Extended	16
5.5.4 Timers	16
5.6 Handling of unknown, unforeseen and erroneous protocol data	16
Annex A (informative): Change history	17
History	18

Foreword

This Technical Specification has been produced by the 3rd Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of the present document, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

Version x.y.z

where:

- x the first digit:
 - 1 presented to TSG for information;
 - 2 presented to TSG for approval;
 - 3 or greater indicates TSG approved document under change control.
- y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.
- z the third digit is incremented when editorial only changes have been incorporated in the document.

1 Scope

The present document specifies the X2 user plane protocol being used over the X2 interface.

2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

- [1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".
 - [2] 3GPP TS 36.300: "Evolved Universal Terrestrial Radio Access (E-UTRA) and Evolved Universal Terrestrial Radio Access Network (E-UTRAN); Overall description; Stage 2".
 - [3] 3GPP TS 29.281: "General Packet Radio System (GPRS) Tunnelling Protocol User Plane (GTPv1-U)".
-

3 Definitions, symbols and abbreviations

3.1 Definitions

For the purposes of the present document, the terms and definitions given in TR 21.905 [1] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in TR 21.905 [1].

Master eNB: as defined in TS 36.300 [2].

Secondary eNB: as defined in TS 36.300 [2].

Split bearer: as defined in TS 36.300 [2].

3.2 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [1].

DC	Dual Connectivity
DL	Downlink
E-RAB	E-UTRAN Radio Access Bearer
MeNB	Master eNB
SeNB	Secondary eNB
UL	Uplink
UP	User Plane
X2 UP	X2 User Plane

4 General

4.1 General aspects

The X2 user plane (X2 UP) protocol is located in the User Plane of the Radio Network layer over the X2 interface.

The X2 UP protocol is used to convey control information related to the user data flow management of E-RABs.

Each X2 UP protocol instance is associated to one E-RAB only.

This version of the present document defines the X2 UP protocol in the context of dual connectivity only, more specifically, only for X2 user data bearers setup for E-RABs configured with the split bearer option.

If configured, X2 UP protocol instances exist at the eNBs between which the X2 user data bearers are setup, specifically for dual connectivity between the MeNB and the SeNB.

NOTE: X2 user data bearers may be setup for data forwarding purposes during X2 HO or during DC related mobility, however, these X2 user data bearers do not require the execution of any additional E-RAB related UP protocol functions related to an X2 UP protocol instance.

In this version of the present document, X2 UP protocol data is conveyed by GTP-U protocol means, more specifically, by means of the "RAN Container" GTP-U extension header as defined in TS 29.281 [3].

5 X2 user plane protocol

5.1 General

The X2 UP protocol layer is using services of the transport network layer in order to allow flow control of user data packets transferred over the X2 interface.

5.2 X2 user plane protocol layer services

The following functions are provided by the X2 UP protocol:

- Provision of X2 UP specific sequence number information for user data transferred from the MeNB to the SeNB for a specific E-RAB configured with the split bearer option;
- Information of successful in sequence delivery of PDCP PDUs to the UE from SeNB for user data associated with a specific E-RAB configured with the split bearer option;
- Information of PDCP PDUs that were not delivered to the UE;
- Information of the currently desired buffer size at the SeNB for transmitting to the UE user data associated with a specific E-RAB configured with the split bearer option;
- Information of the currently minimum desired buffer size at the SeNB for transmitting to the UE user data associated with all E-RABs configured with the split bearer option.

5.3 Services expected from the X2 Transport Network Layer

The X2 user plane protocol layer expects the following services from the Transport Network Layer:

- Transfer of user data.

5.4 Elementary procedures

5.4.1 Transfer of Downlink User Data

5.4.1.1 Successful operation

The purpose of the Transfer of Downlink User Data procedure is to provide X2-U specific sequence number information at the transfer of user data carrying a DL PDCP PDU from the MeNB to the SeNB via the X2-U interface.

An X2 user plane instance making use of the Transfer of Downlink User Data procedure is associated to a single E-RAB only. The Transfer of Downlink User Data procedure is invoked whenever user data for that particular E-RAB needs to be sent across the X2-U interface.

The MeNB shall assign consecutive X2-U sequence numbers to each transferred X2-U packet.

The SeNB shall detect whether an X2-U packet was lost and memorise the respective sequence number after it has declared the respective X2-U packet as being "lost".

The SeNB shall transfer the remaining PDCP PDUs towards the UE and memorise the highest PDCP PDU sequence number of the PDCP PDU that was successfully delivered in sequence towards the UE.

NOTE: The Transfer of Downlink User Data procedure and the associated feedback of lost X2-U packets assist the MeNB in avoiding PDCP HFN de-synchronisation. If an E-UTRAN deployment decides to not use the Transfer of Downlink User Data procedure, PDCP HFN synchronization should be ensured by other means.

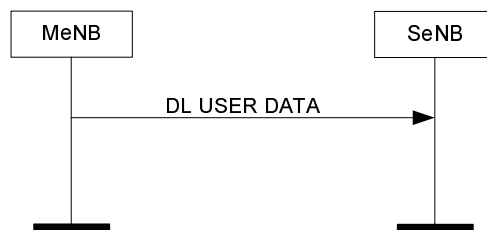


Figure 5.4.1.1-1: Successful Transfer of Downlink User Data

5.4.1.2 Unsuccessful operation

Void.

5.4.2 Downlink Data Delivery Status

5.4.2.1 Successful operation

The purpose of the Downlink Data Delivery Status procedure is to provide feedback from the SeNB to the MeNB to allow the MeNB to control the downlink user data flow via the SeNB for the respective E-RAB. The SeNB may also transfer uplink user data for the concerned E-RAB to the MeNB together with a DL DATA DELIVERY STATUS frame within the same GTP-U PDU.

When the SeNB decides to trigger the Feedback for Downlink Data Delivery procedure it shall report:

- the highest PDCP PDU sequence number successfully delivered in sequence to the UE among those PDCP PDUs received from the MeNB;
- the desired buffer size in bytes for the concerned E-RAB;
- the minimum desired buffer size in bytes for the UE;
- the X2-U packets that were declared as being "lost" by the SeNB and have not yet been reported to the MeNB within the DL DATA DELIVERY STATUS frame.

NOTE: If an E-UTRAN deployment has decided not to use the Transfer of Downlink User Data procedure, d) above is not applicable.

The DL DATA DELIVERY STATUS frame shall also include an indication whether the frame is the last DL status report received in the course of releasing a bearer from the SeNB. When receiving such indication, if applicable, the MeNB considers that no more UL data is to be expected from the SeNB.

The MeNB, when receiving the DL DATA DELIVERY STATUS frame:

- regards the desired buffer size under b) and c) above as the amount of data desired from the SeNB being declared
- from the PDCP sequence number reported under a) above within the same frame, as well as from the most recently reported PDCP sequence number(s) of all other E-RABs established for the UE;
- as the momentary desired buffer sizes, independent of buffer sizes indicated in the past.
- is allowed to remove the buffered PDCP PDUs according to the feedback of successfully delivered PDCP PDUs;
- decides upon the actions necessary to take for PDCP PDUs reported other than successfully delivered.

After being reported to the MeNB, the SeNB removes the respective PDCP sequence numbers.



Figure 5.4.2.1-1: Successful Downlink Data Delivery Status

5.4.2.2 Unsuccessful operation

Void.

5.5 Elements for the X2 user plane protocol

5.5.1 General

In the present document the structure of frames are specified by using figures similar to figure 5.5.1-1.

Bits								Number of Octets	
7	6	5	4	3	2	1	0		
Field 1				Field 2				1	Octet 1
Field 3						Field 4		2	Octet 2
Field 4 continue				Spare					Octet 3
Field 6								2	Octet 4
Field 6 continue				Padding Bits					Octet 5
Future extension								0-m	
Padding								0-3	

Figure 5.5.1-1: Example frame format

Unless otherwise indicated, fields which consist of multiple bits within an octet have the most significant bit located at the higher bit position (indicated above frame in figure 5.5.1-1). In addition, if a field spans several octets, most significant bits are located in lower numbered octets (right of frame in figure 5.5.1-1).

On the X2 interface, the frame is transmitted starting from the lowest numbered octet. Within each octet, the bits are sent according decreasing bit position (bit position 7 first).

Bits labelled as "Spare" should be set to "0" by the sender and should not be checked by the receiver.

The header part of the frame is always an integer number of octets. The payload part is octet aligned (by adding 'Padding Bits' when needed). The total size of the frame shall not exceed 1018 octets (see TS 29.281 [3]).

The receiver should be able to remove an additional future extension field that may be present.

Padding octets may be added at the end of the frame, see Padding in 5.5.3.12.

5.5.2 Frame format for the X2 user plane protocol

5.5.2.1 DL USER DATA (PDU Type 0)

This frame format is defined to allow the SeNB to detect lost X2-U packets and is associated with the transfer of a Downlink PDCP PDU over the X2-U interface when the length of the PDCP SN is less than 16 bits.

The following shows the respective DL USER DATA frame.

Bits								Number of Octets
7	6	5	4	3	2	1	0	
PDU Type (=0)				spare				1
X2-U Sequence Number								2
Padding								0-3

Figure 5.5.2.1-1: DL USER DATA (PDU Type 0) Format

5.5.2.2 DL DATA DELIVERY STATUS (PDU Type 1)

This frame format is defined to transfer feedback to allow the receiving MeNB to control the downlink user data flow via the SeNB when the length of the PDCP SN is less than 16 bits.

Bits								Number of Octets
7	6	5	4	3	2	1	0	
PDU Type (=1)				Spare		Final Frame Ind.	Lost Packet Report	1
Highest successfully delivered PDCP Sequence Number								2
Desired buffer size for the E-RAB								4
Minimum desired buffer size for the UE								4
Number of lost X2-U Sequence Number ranges reported								1
Start of lost X2-U Sequence Number range								4* (Number of reported lost X2-u SN ranges)
End of lost X2-U Sequence Number range								
Padding								0-3

Figure 5.5.2.2-1: DL DATA DELIVERY STATUS (PDU Type 1) Format

5.5.2.3 DL DATA DELIVERY STATUS EXTENDED (PDU Type 2)

This frame format is defined to transfer feedback to allow the receiving MeNB to control the downlink user data flow via the SeNB when the length of the PDCP SN is 18 bits.

Bits								Number of Octets
7	6	5	4	3	2	1	0	
PDU Type (=2)				Spare		Final Frame Ind.	Lost Packet Report	1
Highest successfully delivered PDCP Sequence Number Extended								3
Desired buffer size for the E-RAB								4
Minimum desired buffer size for the UE								4
Number of lost X2-U Sequence Number ranges reported								1
Start of lost X2-U Sequence Number range Extended								6* (Number of reported lost X2-U SN ranges)
End of lost X2-U Sequence Number range Extended								
Padding								0-3

Figure 5.5.2.3-1: DL DATA DELIVERY STATUS EXTENDED (PDU Type 2) Format

5.5.2.4 DL USER DATA EXTENDED (PDU Type 3)

This frame format is defined to allow the SeNB to detect lost X2-U packets and is associated with the transfer of a Downlink PDCP PDU over the X2-U interface when the length of PDCP SN is 18 bits.

The following shows the respective DL USER DATA EXTENDED frame.

Bits								Number of Octets
7	6	5	4	3	2	1	0	
PDU Type (=3)				spare				1
X2-U Sequence Number Extended								3
Padding								0-3

Figure 5.5.2.4-1: DL USER DATA (PDU Type 3) Format

5.5.3 Coding of information elements in frames

5.5.3.1 PDU Type

Description: The PDU Type indicates the structure of the X2 UP frame. The field takes the value of the PDU Type it identifies; i.e. "0" for PDU Type 0. The PDU type is in bit 4 to bit 7 in the first octet of the frame.

Value range: {0=DL USER DATA, 1=DL DATA DELIVERY STATUS, 2=DL DATA DELIVERY STATUS EXTENDED, 3=DL USER DATA EXTENDED, 4-15=reserved for future PDU type extensions }

Field length: 4 bits

5.5.3.2 Spare

Description: The spare field is set to "0" by the sender and should not be interpreted by the receiver. This field is reserved for later versions.

Value range: (0–2ⁿ-1).

Field Length: n bits.

5.5.3.3 X2-U Sequence Number

Description: This parameter indicates the X2-U sequence number as assigned by the respective eNB when the length of PDCP SN is less than 16 bits.

Value range: {0..2¹⁶-1}.

Field length: 2 octets.

5.5.3.4 Lost Packet Report

Description: This parameter indicates the presence of a list of lost X2-U packets in the respective X2 UP frame.

Value range: {0=Lost Frame List not present, 1=Lost Frame List present}.

Field length: 1 bit.

5.5.3.5 Final Frame Indication

Description: This parameter indicates whether the frame is the last DL status report as described in clause 5.4.2.1.

Value range: {0=Frame is not final, 1= Frame is final}.

Field length: 1 bit.

5.5.3.6 Highest successfully delivered PDCP Sequence Number

Description: This parameter indicates feedback about the in-sequence delivery status of PDCP PDUs at the SeNB towards the UE when the length of the PDCP SN is less than 16 bits.

Value range: {0..2¹⁵-1}.

Field length: 2 octets.

5.5.3.7 Desired buffer size for the E-RAB

Description: This parameter indicates the desired buffer size for the concerned E-RAB as specified in clause 5.4.2.1.

Value range: {0..2³²-1}.

Field length: 4 octets.

5.5.3.8 Minimum desired buffer size for the UE

Description: This parameter indicates the minimum desired buffer size for all E-RABs established for the UE as specified in clause 5.4.2.1.

Value range: $\{0..2^{32}-1\}$.

Field length: 4 octets.

5.5.3.9 Number of lost X2-U Sequence Number ranges reported

Description: This parameter indicates the number of X2-U Sequence Number ranges reported to be lost.

Value range: $\{1.. 162\}$.

Field length: 1 octet.

5.5.3.10 Start of lost X2-U Sequence Number range

Description: This parameter indicates the start of an X2-U sequence number range.

Value range: $\{0..2^{16}-1\}$.

Field length: 2 octets.

5.5.3.11 End of lost X2-U Sequence Number range

Description: This parameter indicates the end of an X2-U sequence number range.

Value range: $\{0..2^{16}-1\}$.

Field length: 2 octets.

5.5.3.12 Padding

Description: The padding is included at the end of the frame to ensure that the NR user plane protocol pdu length (including padding and the future extension) is $(n*4- 2)$ octets, where n is a positive integer . If there is any future extension, the padding should be added after the future extensions.

Field Length: 0–3.

5.5.3.13 Highest successfully delivered PDCP Sequence Number Extended

Description: This parameter indicates feedback about the in-sequence delivery status of PDCP PDUs at the SeNB towards the UE when the length of the PDCP SN is 18 bits.

Value range: $\{0..2^{18}-1\}$.

Field length: 3 octets.

5.5.3.14 Start of lost X2-U Sequence Number range Extended

Description: This parameter indicates the start of an X2-U sequence number range when the length of the PDCP SN is 18 bits.

Value range: $\{0..2^{24}-1\}$.

Field length: 3 octets.

5.5.3.15 End of lost X2-U Sequence Number range Extended

Description: This parameter indicates the end of an X2-U sequence number range when the length of the PDCP SN is 18 bits.

Value range: $\{0..2^{24}-1\}$.

Field length: 3 octets.

5.5.3.16 X2-U Sequence Number Extended

Description: This parameter indicates the X2-U sequence number as assigned by the respective eNB when the length of PDCP SN is 18 bits.

Value range: $\{0..2^{24}-1\}$.

Field length: 3 octets.

5.5.4 Timers

Not applicable.

5.6 Handling of unknown, unforeseen and erroneous protocol data

Void.

Annex A (informative): Change history

Change history						
Date	TSG #	TSG Doc.	CR	Rev	Subject/Comment	New
2014-08					First draft version of this specification.	0.0.0
2014-08					Version edited during RAN3#85	0.1.0
2014-08					MCC clean-up	0.1.1
2014-10					Version provided to RAN3#85bis with new TS number	0.2.0
2014-10					Version edited during RAN3#85bis	0.3.0
2014-11					Version edited during RAN3#86	0.4.0
2014-12					Submitted for one-step approval	1.0.0
2014-12	RAN#66	RP-141980			Specification approved at RAN#66 and places under change control	12.0.0
2015-03	RAN#67	RP-150351	0001	1	Correction on DL USER DATA (PDU Type 0) Format for multiple PDCP PDUs	12.1.0
2015-12	RAN#70	RP-152099	0006	2	Extension of PDCP SN	13.0.0
2016-06	RAN#72	RP-161043	0007	1	Correction on Extension of X2 SN	13.1.0
2016-09					Corrected history table	13.1.1

Change history							
Date	Meeting	TDoc	CR	Rev	Cat	Subject/Comment	New version
2017-03	SA#75					Promotion to Release 14 without technical change	14.0.0
2018-03	RP#79	RP-180473	0010	1	F	Avoiding exceeding the max size of the NR RAN Container	14.1.0
2018-06	RP#80	RP-181245	0011	1	F	Enabling future extendability of the RAN Container	14.2.0
2018-06	SA#80	-	-	-	-	Promotion to Release 15 without technical change	15.0.0

History

Document history		
V15.0.0	July 2018	Publication