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## **Foreword**

This Technical Specification has been produced by the 3<sup>rd</sup> Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of the present document, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

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- y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.
- z the third digit is incremented when editorial only changes have been incorporated in the document.

## 1 Scope

The present document specifies the Radio Resource Control protocol for the radio interface between UE and E-UTRAN as well as for the radio interface between RN and E-UTRAN.

The scope of the present document also includes:

- the radio related information transported in a transparent container between source eNB and target eNB upon inter eNB handover:
- the radio related information transported in a transparent container between a source or target eNB and another system upon inter RAT handover.

The RRC protocol is also used to configure the radio interface between an IAB-node and its parent nodes as specified in TS 38.300 [106].

### 2 References

[12]

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.
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[10	02]	3GPP TS 23.502: "Procedures for the 5G System; Stage 2".
[10	03]	3GPP TS 38.314: "NR; layer 2 measurements".
[10	94]	3GPP TS 23.287: "Architecture enhancements for 5G System (5GS) to support Vehicle-to-Everything (V2X) services ".
[10	05]	3GPP TS 38.472: "NG-RAN; F1 signalling transport".
[10	06]	3GPP TS 38.300: "NR; Overall description; Stage 2".
[10	07]	3GPP TS 38.174: "NR; Integrated access and backhaul radio transmission and reception".
[10	08]	3GPP TS 36.423: "Evolved Universal Terrestrial Radio Access Network (E-UTRAN); X2 Application Protocol (X2AP)".
[10	99]	3GPP TS 37.355: "LTE Positioning Protocol (LPP)".
[11	0]	NIMA TR 8350.2, Third Edition, Amendment 1, 3 January 2000: "DEPARTMENT OF DEFENSE WORLD GEODETIC SYSTEM 1984".
[11	1]	"Recommendation for Space Data System Standards: ORBIT DATA MESSAGES", BLUE BOOK CCSDS 502.0-B-2, The Consultative Committee for Space Data Systems, November 2009.
[11	2]	3GPP TS 23.304: "Proximity based Services (ProSe) in the 5G System (5GS)".
[11	3]	3GPP TS 36.102: "Evolved Universal Terrestrial Radio Access (E-UTRA); User Equipment (UE) radio transmission and reception for satellite access".
[11	4]	3GPP TS 36.108: "Evolved Universal Terrestrial Radio Access (E-UTRA); Satellite Access Node radio transmission and reception".

## 3 Definitions, symbols and abbreviations

#### 3.1 Definitions

For the purposes of the present document, the terms and definitions given in TR 21.905 [1] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in TR 21.905 [1].

**Anchor carrier:** In NB-IoT, a carrier where the UE assumes that NPSS/NSSS/NPBCH/SIB-NB for FDD or NPSS/NSSS/NPBCH for TDD are transmitted.

Bandwidth Reduced: Refers to operation in downlink and uplink with a limited channel bandwidth of 6 PRBs.

**CEIL:** Mathematical function used to 'round up' i.e. to the nearest integer having a higher or equal value.

Cellular IoT EPS Optimisation: Provides improved support of small data transfer, as defined in TS 24.301 [35].

**Commercial Mobile Alert System:** Public Warning System that delivers *Warning Notifications* provided by *Warning Notification Providers* to CMAS capable UEs.

**Common access barring parameters:** The common access barring parameters refer to the access class barring parameters that are broadcast in *SystemInformationBlockType2* outside the list of PLMN specific parameters (i.e. in *ac-BarringPerPLMN-List*).

**Control plane CIoT 5GS optimisation:** Enables support of efficient transport of user data (IP, Ethernet or unstructured) or SMS messages over control plane via the AMF without triggering data radio bearer establishment, as defined in TS 24.501 [95].

**Control plane CIoT EPS optimisation**: Enables support of efficient transport of user data (IP, non-IP or SMS) over control plane via the MME without triggering data radio bearer establishment, as defined in TS 24.301 [35].

**Control plane EDT**: Early Data Transmission used with the Control plane CIoT EPS optimisation or Control plane CIoT 5GS optimisation.

**Coverage-based paging**: In NB-IoT allows UE to use paging carriers configured for lower levels of coverage enhancement than maximum coverage enhancement supported in the cell as described in TS 36.300 [9].

**CSG member cell:** A cell broadcasting the identity of the selected PLMN, registered PLMN or equivalent PLMN and for which the Permitted CSG list of the UE includes an entry comprising cell's CSG ID and the respective PLMN identity.

**DAPS bearer**: A bearer whose radio protocols are located in both the source eNB and the target eNB during a DAPS handover to use both source eNB and target eNB resources.

**Dual Connectivity**: A UE in RRC\_CONNECTED is configured with Dual Connectivity when configured with a Master and a Secondary Cell Group.

**Early Data Transmission:** Allows one uplink data transmission optionally followed by one downlink data transmission during the random access procedure as specified in TS 36.300 [9]. The S1 connection is established or resumed upon reception of the uplink data and may be released or suspended along with the transmission of the downlink data. Early data transmission refers to both CP-EDT and UP-EDT.

**Early Security Reactivation:** Re-activation of AS security prior to the transmission of *RRCConnectionResumeRequest* message when a UE is provided with an NCC value during suspension.

**Ephemeris:** A set of parameters that describe the movement of an NTN node over time.

**E-UTRA-NR Dual Connectivity:** A form of dual connectivity in which a UE in RRC\_CONNECTED is configured with MCG cells using E-UTRA and SCG cells using NR as defined in TS 37.340 [81].

**EU-Alert:** Public Warning System that delivers Warning Notifications provided by Warning Notification Providers using the same AS mechanisms as defined for CMAS.

**Field:** The individual contents of an information element are referred as fields.

**FLOOR:** Mathematical function used to 'round down' i.e. to the nearest integer having a lower or equal value.

**FR1:** Frequency range 1 as defined in clause 5.1 of TS 38.101-1 [85].

FR2: Frequency range 2 as defined in clause 5.1 of TS 38.101-2 [100].

**Geosynchronous Orbit:** Earth-centred orbit at approximately 35,786 kilometres in altitude above Earth's surface and synchronised with Earth's rotation. A geostationary orbit is a non-inclined geosynchronous orbit, i.e. in the Earth's equator plane.

**Information element:** A structural element containing a single or multiple fields is referred as information element.

**Korean Public Alert System (KPAS):** Public Warning System that delivers Warning Notifications provided by Warning Notification Providers using the same AS mechanisms as defined for CMAS.

**Master Cell Group**: For a UE not configured with DC, the MCG comprises all serving cells. For a UE configured with DC, the MCG concerns a subset of the serving cells comprising of the PCell and zero or more secondary cells.

**Mixed Operation Mode:** In NB-IoT FDD, multi-carrier operation where the anchor carrier is in standalone mode while the non-anchor carrier is in inband or guardand mode, and vice versa. See TS 36.300 [9].

MBMS service: MBMS bearer service as defined in TS 23.246 [56] (i.e. provided via an MRB or an SC-MRB).

NB-IoT allows access to network services via E-UTRA with a channel bandwidth limited to 200 kHz.

**NB-IoT UE:** A UE that uses NB-IoT.

NCSG: Network controlled small gap as defined in TS 36.133 [16].

**Non-geosynchronous orbit:** Earth-centred orbit with an orbital period that does not match Earth's rotation on its axis. This includes Low Earth Orbit (LEO) and Medium Earth Orbit (MEO).

**Non-terrestrial networks:** An E-UTRAN consisting of eNBs, which provide non-terrestrial LTE access to UEs by means of an NTN payload embarked on a space-borne NTN vehicle and an NTN Gateway.

**NR-E-UTRA Dual Connectivity (NE-DC):** A form of dual connectivity in which a UE in RRC\_CONNECTED is configured with MCG cells using NR and SCG cells using E-UTRA as defined in TS 37.340 [81].

**Non-anchor carrier:** In NB-IoT, a carrier where the UE does not assume that NPSS/NSSS/NPBCH/SIB-NB for FDD or NPSS/NSSS/NPBCH for TDD are transmitted.

**NR Carrier Frequency:** Frequency referring to the position of resource element RE=#0 (subcarrier #0) of resource block RB#10 of the SS block.

**NR sidelink communication**: AS functionality enabling at least V2X Communication as defined in TS 23.287 [104], between two or more nearby UEs, using NR technology but not traversing any network node.

**Primary Cell**: The cell, operating on the primary frequency, in which the UE either performs the initial connection establishment procedure or initiates the connection re-establishment procedure, or the cell indicated as the primary cell in the handover procedure.

**Primary Secondary Cell**: The SCG cell in which the UE is instructed to perform random access or initial PUSCH transmission if random access procedure is skipped when performing the SCG change procedure.

Primary Timing Advance Group: Timing Advance Group containing the PCell or the PSCell.

**PUCCH SCell:** An SCell configured with PUCCH.

**Quasi-earth fixed cell:** An NTN cell fixed with respect to a certain geographic area on the earth during a certain time duration. This can be provided by beam(s) covering one geographic area for a finite period and a different geographic area during another period (e.g., the case of NGSO satellites generating steerable beams).

**RLC bearer configuration:** The lower layer part of the radio bearer configuration comprising the RLC and logical channel configurations.

Satellite: A space-borne vehicle orbiting the Earth that carries the NTN payload.

**Secondary Cell**: A cell, operating on a secondary frequency, which may be configured once an RRC connection is established and which may be used to provide additional radio resources. Except for the case of (NG)EN-DC, the PSCell is considered to be an SCell.

**Secondary Cell Group**: For a UE configured with DC, the subset of serving cells not part of the MCG, i.e. comprising of the PSCell and zero or more other secondary cells.

**Secondary Timing Advance Group**: Timing Advance Group neither containing the PCell nor the PSCell. A secondary timing advance group contains at least one cell with configured uplink.

**Serving Cell**: For a UE in RRC\_CONNECTED not configured with CA/ DC there is only one serving cell comprising of the primary cell. For a UE in RRC\_CONNECTED configured with CA/ DC the term 'serving cells' is used to denote the set of one or more cells comprising of the primary cell and all secondary cells.

**Sidelink**: UE to UE interface for sidelink communication, V2X sidelink communication and sidelink discovery. The sidelink corresponds to the PC5 interface as defined in TS 23.303 [68].

**Sidelink communication**: AS functionality enabling ProSe Direct Communication as defined in TS 23.303 [68], between two or more nearby UEs, using E-UTRA technology but not traversing any network node. In this version, the terminology "sidelink communication" without "V2X" prefix only concerns PS unless specifically stated otherwise.

**Sidelink discovery**: AS functionality enabling ProSe Direct Discovery as defined in TS 23.303 [68], using E-UTRA technology but not traversing any network node.

Sidelink operation: Includes sidelink communication, V2X sidelink communication and sidelink discovery.

**Split SRB**: in MR-DC, an SRB between the MN and the UE, allowing selection of either the direct path or the path via the SN as well as duplication of RRC PDUs across both paths as defined in TS 37.340 [81].

**Timing Advance Group**: A group of serving cells that is configured by RRC and that, for the cells with an UL configured, use the same timing reference cell and the same Timing Advance value. A Timing Advance Group only includes cells of the same cell group i.e. it either includes MCG cells or SCG cells.

**Transmission using PUR:** Allows one uplink data transmission using preconfigured uplink resource from RRC\_IDLE mode as specified in TS 36.300 [9]. Transmission using PUR refers to both CP transmission using PUR and UP transmission using PUR.

**UE Inactive AS Context:** UE Inactive AS Context is stored when the connection is suspended and restored when the connection is resumed. It includes information as defined in clause 5.3.8.7.

**UE in CE:** Refers to a UE that is capable of using coverage enhancement, and requires coverage enhancement mode to access a cell or is configured in a coverage enhancement mode.

**User plane CIoT 5GS optimisation:** Enables support for change from 5GMM-IDLE mode to 5GMM-CONNECTED mode without the need for using the Service Request procedure, as defined in TS 24.501 [95].

**User plane CIoT EPS optimisation**: Enables support for change from EMM-IDLE mode to EMM-CONNECTED mode without the need for using the Service Request procedure, as defined in TS 24.301 [35].

**User plane EDT:** Early Data Transmission used with the User plane CIoT EPS optimisation or User plane CIoT 5GS optimisation.

**V2X sidelink communication**: AS functionality enabling V2X Communication as defined in TS 23.285 [78], between nearby UEs, using E-UTRA technology but not traversing any network node.

#### 3.2 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [1], TS 36.300 [9] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [1] or TS 36.300 [9].

1xRTT CDMA2000 1x Radio Transmission Technology

AB Access Barring

ACDC Application specific Congestion control for Data Communication

ACK Acknowledgement

AILC Assistance Information bit for Local Cache

AM Acknowledged Mode

ANDSF Access Network Discovery and Selection Function

ARQ Automatic Repeat Request

AS Access Stratum

ASN.1 Abstract Syntax Notation One

AUL Autonomous Uplink
BCCH Broadcast Control Channel
BCD Binary Coded Decimal
BCH Broadcast Channel

BL Bandwidth reduced Low complexity

BLER Block Error Rate
BR Bandwidth Reduced

BR-BCCH Bandwidth Reduced Broadcast Control Channel

CA Carrier Aggregation Cell Acquisition Subframes **CAS CBP** Coverage-Based Paging Channel Busy Ratio **CBR** Common Control Channel **CCCH** CCO Cell Change Order CE Coverage Enhancement CFI Control Format Indicator

CG Cell Group

CHO Conditional Handover

CIoT Cellular IoT

CMAS Commercial Mobile Alert Service

CP Control Plane

CPA Conditional PSCell Addition CPC Conditional PSCell Change

CP-EDT Control Plane EDT

C-RNTI Cell RNTI

CRS Cell-specific Reference Signal

CSFB CS fallback

CSG Closed Subscriber Group
CSI Channel State Information
DAPS Dual Active Protocol Stack

DC Dual Connectivity

DCCH Dedicated Control Channel
DCI Downlink Control Information
DCN Dedicated Core Networks
DFN Direct Frame Number

DL Downlink

DL-SCH Downlink Shared Channel
DRB (user) Data Radio Bearer
DRX Discontinuous Reception
DTCH Dedicated Traffic Channel
EAB Extended Access Barring
ECEF Earth-Centered, Earth-Fixed
ECI Earth-Centered Inertial

eDRX Extended DRX

EDT Early Data Transmission

EHPLMN Equivalent Home Public Land Mobile Network

eIMTA Enhanced Interference Management and Traffic Adaptation

ENB Evolved Node B

EN-DC E-UTRA NR Dual Connectivity with E-UTRAN connected to EPC

EPC Evolved Packet Core

EPDCCH Enhanced Physical Downlink Control Channel

EPS Evolved Packet System

ETWS Earthquake and Tsunami Warning System
E-UTRA Evolved Universal Terrestrial Radio Access

E-UTRA/5GC E-UTRA connected to 5GC

E-UTRA/EPC E-UTRA connected to EPC

E-UTRAN Evolved Universal Terrestrial Radio Access Network

FDD Frequency Division Duplex

FFS For Further Study

GERAN GSM/EDGE Radio Access Network GNSS Global Navigation Satellite System

G-RNTI Group RNTI

GSM Global System for Mobile Communications

GSO Geosynchronous Orbit GWUS Group Wake Up Signal

HARQ Hybrid Automatic Repeat Request

HFN Hyper Frame Number

HPLMN Home Public Land Mobile Network HRPD CDMA2000 High Rate Packet Data HSDN High Speed Dedicated Network

H-SFN Hyper SFN

IAB Integrated Access and Backhaul

IAB-DU IAB-node DU

IAB-MT IAB Mobile Termination IDC In-Device Coexistence IE Information element

IMEI International Mobile Equipment Identity
IMSI International Mobile Subscriber Identity

IoT Internet of Things

ISM Industrial, Scientific and Medical

kB Kilobyte (1000 bytes)

L1 Layer 1 L2 Layer 2 L3 Layer 3

LAA Licensed-Assisted Access LWA LTE-WLAN Aggregation

LWAAP LTE-WLAN Aggregation Adaptation Protocol

LWIP LTE-WLAN Radio Level Integration with IPsec Tunnel

MAC Medium Access Control

MBMS Multimedia Broadcast Multicast Service

MBSFN Multimedia Broadcast multicast service Single Frequency Network

MCG Master Cell Group

MCOT Maximum Channel Occupancy Time
MCPTT Mission Critical Push To Talk
MDT Minimization of Drive Tests
MIB Master Information Block
MO Mobile Originating

MPDCCH MTC Physical Downlink Control Channel MRB MBMS Point to Multipoint Radio Bearer

MR-DC Multi-Radio Dual Connectivity
MRO Mobility Robustness Optimisation
MSI MCH Scheduling Information

MT Mobile Terminating

MTSI Multimedia Telephony Service for IMS
MUSIM Multi-Universal Subscriber Identity Module
MUST MultiUser Superposition Transmission

N/A Not Applicable

NACC Network Assisted Cell Change

NAICS Network Assisted Interference Cancellation/Suppression

NAS Non Access Stratum

NB-IoT NarrowBand Internet of Things NE-DC NR E-UTRA Dual Connectivity

(NG)EN-DC E-UTRA NR Dual Connectivity (i.e. covering both EN-DC and NGEN-DC)

NGEN-DC E-UTRA NR Dual Connectivity with E-UTRAN connected to 5GC

NGSO Non-Geosynchronous Orbit

NPBCH Narrowband Physical Broadcast channel

NPDCCH Narrowband Physical Downlink Control channel

NPDSCH Narrowband Physical Downlink Shared channel
NPRACH Narrowband Physical Random Access channel
NPSS Narrowband Primary Synchronization Signal
NPUSCH Narrowband Physical Uplink Shared channel

NR NR Radio Access

NRS Narrowband Reference Signal

NSSAI Network Slice Selection Assistance Information NSSS Narrowband Secondary Synchronization Signal

NTN Non-Terrestrial Network

OS OFDM Symbol

P2X Pedestrian-to-Everything PCCH Paging Control Channel

PCell Primary Cell

PDCCH Physical Downlink Control Channel PDCP Packet Data Convergence Protocol

PDU Protocol Data Unit

PLMN Public Land Mobile Network

PMK Pairwise Master Key PO Paging Occasion posSIB Positioning SIB

ProSe Proximity based Services

PS Public Safety (in context of sidelink), Packet Switched (otherwise)

PSCell Primary Secondary Cell

PSK Pre-Shared Key

PTAG Primary Timing Advance Group PUCCH Physical Uplink Control Channel PUR Preconfigured Uplink Resource

QCI QoS Class Identifier
QoE Quality of Experience
QoS Quality of Service
RACH Random Access CHannel
RAI Release Assistance Indication
RAT Radio Access Technology

RB Radio Bearer

RCLWI RAN Controlled LTE-WLAN Integration

RLC Radio Link Control

RLOS Restricted Local Operator Services
RMTC RSSI Measurement Timing Configuration

RN Relay Node

RNA RAN-based Notification Area
RNAU RAN-based Notification Area Update
RNTI Radio Network Temporary Identifier

ROHC RObust Header Compression

RPLMN Registered Public Land Mobile Network

RRC Radio Resource Control
RSCP Received Signal Code Power
RSRP Reference Signal Received Power
RSRQ Reference Signal Received Quality

RSS Resynchronisation signal

RSSI Received Signal Strength Indicator SAE System Architecture Evolution

SAP Service Access Point

SBAS Satellite Based Augmentation System

SC Sidelink Control
SCell Secondary Cell
SCG Secondary Cell Group
SC-MRB Single Cell MRB
SC-RNTI Single Cell RNTI

SD-RSRP Sidelink Discovery Reference Signal Received Power

SFN System Frame Number
SI System Information
SIB System Information Block

SI-RNTI System Information RNTI

SL Sidelink

SLSS Sidelink Synchronisation Signal

SMC Security Mode Control

SMTC SS/PBCH Block Measurement Timing Configuration

SPDCCH Short PDCCH

SPS Semi-Persistent Scheduling
SPT Short Processing Time

SPUCCH Short PUCCH
SR Scheduling Request
SRB Signalling Radio Bearer

S-RSRP Sidelink Reference Signal Received Power

SSAC Service Specific Access Control
SSTD SFN and Subframe Timing Difference
STAG Secondary Timing Advance Group
S-TMSI SAE Temporary Mobile Station Identifier

STTI Short TTI TA Tracking Area

TAG Timing Advance Group
TDD Time Division Duplex
TDM Time Division Multiplexing

TLE Two-Line Element
TM Transparent Mode
TN Terrestrial Network

TPC-RNTI Transmit Power Control RNTI

T-RPT Time Resource Pattern of Transmission

TTI Transmission Time Interval

TTT Time To Trigger

UDC Uplink Data Compression

UE User Equipment

UICC Universal Integrated Circuit Card

UL Uplink

UL-SCH Uplink Shared Channel UM Unacknowledged Mode

UP User Plane UP-EDT User Plane EDT

UTC Coordinated Universal Time

UTRAN Universal Terrestrial Radio Access Network

V2X Vehicle-to-Everything

VoLTE Voice over Long Term Evolution WLAN Wireless Local Area Network

WT WLAN Termination WUS Wake-up Signal

In the ASN.1, lower case may be used for some (parts) of the above abbreviations e.g. c-RNTI.

# 4 General

## 4.1 Introduction

In this specification, (parts of) procedures and messages specified for the UE equally apply to the RN for functionality necessary for the RN. There are also (parts of) procedures and messages which are only applicable to the RN in its communication with the E-UTRAN, in which case the specification denotes the RN instead of the UE. Such RN-specific aspects are not applicable to the UE.

This specification covers MR-DC i.e. the case in which the UE is configured with resources belonging to another node using NR RAT. The NR related configuration is performed using NR RRC as specified in TS 38.331 [82].

NB-IoT is a non backward compatible variant of E-UTRAN supporting a reduced set of functionality. In this specification, (parts of) procedures and messages specified for the UE equally apply to the UE in NB-IoT. There are also some features and related procedures and messages that are not supported by UEs in NB-IoT.

In particular, the following features are not supported in NB-IoT and corresponding procedures and messages do not apply to the UE in NB-IoT:

- Connected mode mobility (Handover and measurement reporting);
- Inter-RAT cell reselection or inter-RAT mobility in connected mode;
- RRC INACTIVE;
- CSG:
- Relay Node (RN);
- Carrier Aggregation (CA);
- Dual connectivity (DC);
- Multi-Radio Dual Connectivity (MR-DC);
- PDCP duplication;
- GBR (OoS);
- ACB, EAB, SSAC and ACDC;
- MBMS, except for MBMS via SC-PTM in Idle mode;
- Measurement logging and reporting for network performance optimisation;
- Public warning systems e.g. CMAS, ETWS and PWS;
- Broadcast of positioning assistance data;
- Real time services (including emergency call);
- CS services and CS fallback;
- In-device coexistence;
- RAN assisted WLAN interworking;
- Network-assisted interference cancellation/suppression;
- Sidelink (including direct communication and direct discovery).

NOTE: In regard to mobility, NB-IoT is a separate RAT from E-UTRAN.

In this specification, there are also (parts of) procedures and messages which are only applicable to UEs in NB-IoT, in which case this is stated explicitly.

This specification is organised as follows:

- clause 4.2 describes the RRC protocol model;
- clause 4.3 specifies the services provided to upper layers as well as the services expected from lower layers;
- clause 4.4 lists the RRC functions;
- clause 5 specifies RRC procedures, including UE state transitions;
- clause 6 specifies the RRC message in a mixed format (i.e. tabular & ASN.1 together);
- clause 7 specifies the variables (including protocol timers and constants) and counters to be used by the UE;
- clause 8 specifies the encoding of the RRC messages;

- clause 9 specifies the specified and default radio configurations;
- clause 10 specifies the RRC messages transferred across network nodes;
- clause 11 specifies the UE capability related constraints and performance requirements.

## 4.2 Architecture

# 4.2.1 UE states and state transitions including inter RAT

A UE is in RRC\_CONNECTED when an RRC connection has been established or in RRC\_INACTIVE (if the UE is connected to 5GC) when RRC connection is suspended. If this is not the case, i.e. no RRC connection is established, the UE is in RRC\_IDLE state. The RRC states can further be characterised as follows:

#### - RRC IDLE:

- A UE specific DRX may be configured by upper layers;
- UE controlled mobility;
- The UE:
  - Monitors a Paging channel to detect incoming calls (by CN paging), system information change, for ETWS capable UEs, ETWS notification, and for CMAS capable UEs, CMAS notification;
  - Performs neighbouring cell measurements and cell (re-)selection;
  - Acquires system information;
  - Performs logging of available measurements together with location and time for logged measurement configured UEs;
  - May perform EDT;
  - May perform transmission using PUR;
  - Performs idle/inactive measurements for idle/inactive measurement configured UEs.

#### - RRC\_INACTIVE:

- A UE specific DRX may be configured by upper layers or by RRC layer;
- A RAN-based notification area is configured by RRC layer;
- The UE stores the UE Inactive AS context;
- The UE:
  - Applies RRC\_IDLE procedures unless specified otherwise;
  - Monitors a Paging channel for CN paging using 5G-S-TMSI and RAN paging using fullI-RNTI;
  - Performs periodic RAN-based notification area update;
  - Performs RAN-based notification area update when moving out of the configured RAN-based notification area.

### - RRC\_CONNECTED:

- Transfer of unicast data to/from UE;
- At lower layers, the UE may be configured with a UE specific DRX;
- For UEs supporting CA, use of one or more SCells, aggregated with the PCell, for increased bandwidth;
- For UEs supporting DC, use of one SCG, aggregated with the MCG, for increased bandwidth;

- For UEs supporting (NG)EN-DC, option to configure one NR SCG in conjunction with the MCG for DRBs and SRBs, for improved performance (SRBs) and increased bandwidth (DRBs);
- For UEs supporting NE-DC, option to configure one SCG in conjunction with the NR MCG for DRBs and SRBs, for improved performance (SRBs) and increased bandwidth (DRBs);
- Network controlled mobility, i.e. handover and cell change order with optional network assistance (NACC) to GERAN (not applicable for NB-IoT);
- The UE:
  - Monitors a Paging channel and/ or System Information Block Type 1 contents to detect system information change, for ETWS capable UEs, ETWS notification, and for CMAS capable UEs, CMAS notification (not applicable for BL UEs, UEs in CE and NB-IoT UEs);
  - Monitors control channels associated with the shared data channel to determine if data is scheduled for it;
  - For UEs in CE supporting reception of ETWS/CMAS indication in RRC\_CONNECTED mode, monitors control channels associated with the shared data channel to acquire ETWS notification and/or CMAS notification;
  - Provides channel quality and feedback information (not applicable for NB-IoT);
  - Performs neighbouring cell measurements and measurement reporting (not applicable for NB-IoT);
  - Acquires system information (not applicable for BL UEs, UEs in CE and NB-IoT UEs), except for ETWS/CMAS reception where applicable.

NOTE: The term "UE is connected to 5GC" covers the scenarios that the UE is connected to 5GC and the UE is requesting to connect with 5GC.

Figure 4.2.1-1 not only provides an overview of the RRC states in E-UTRA/EPC, but also illustrates the mobility support between E-UTRA/EPC, UTRAN and GERAN.

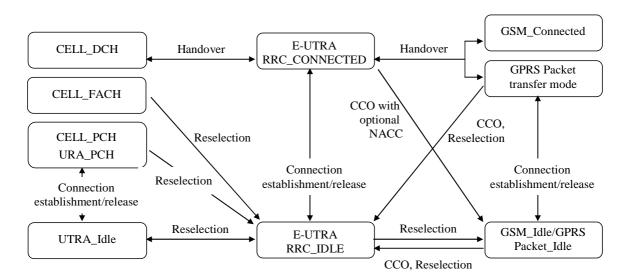


Figure 4.2.1-1: E-UTRA/EPC states and inter RAT mobility procedures, 3GPP

Figure 4.2.1-2 illustrates the mobility support between E-UTRA/EPC, CDMA2000 1xRTT and CDMA2000 HRPD. The details of the CDMA2000 state models are out of the scope of this specification.

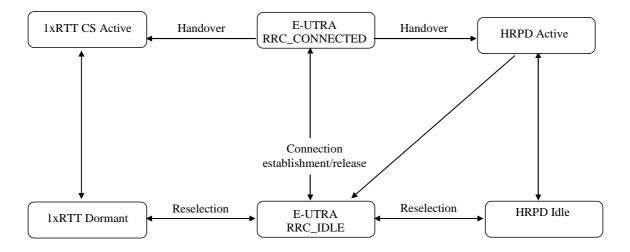


Figure 4.2.1-2: Mobility procedures between E-UTRA/EPC and CDMA2000

Figure 4.2.1-3 not only provides an overview of the RRC states in E-UTRA/5GC, but also illustrates the mobility support between E-UTRA/5GC, UTRAN and GERAN.

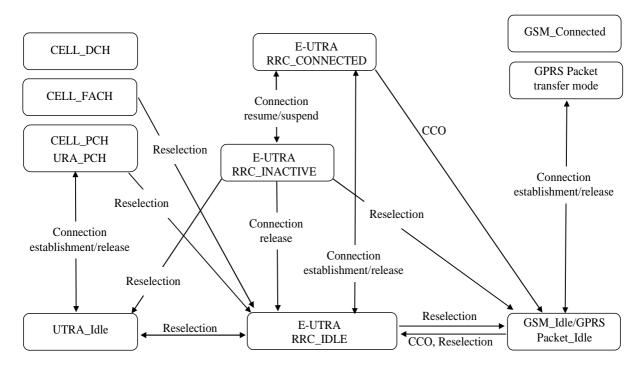


Figure 4.2.1-3: E-UTRA/5GC states and inter RAT mobility procedures, 3GPP

Figure 4.2.1-4 illustrates the mobility procedures supported between E-UTRA/5GC, CDMA2000 1xRTT and CDMA2000 HRPD. The details of the CDMA2000 state models are out of the scope of this specification.

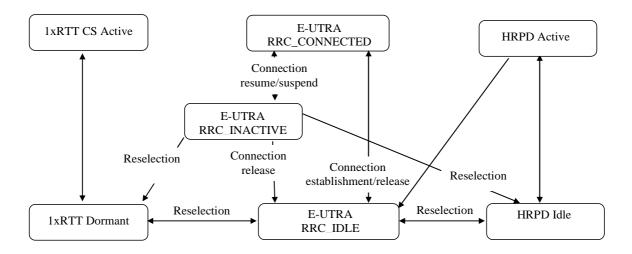


Figure 4.2.1-4: Mobility procedures between E-UTRA/5GC and CDMA2000

Figure 4.2.1-5 illustrates the mobility procedures supported between E-UTRA/5GC and E-UTRA/EPC.

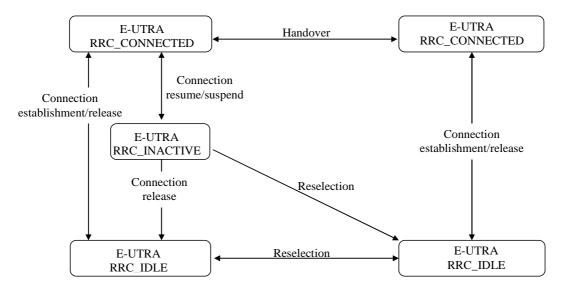


Figure 4.2.1-5: Mobility procedures between E-UTRA/5GC and E-UTRA/EPC

Figure 4.2.1-6 illustrates the mobility procedures supported between E-UTRA/EPC, E-UTRA/5GC and NR.

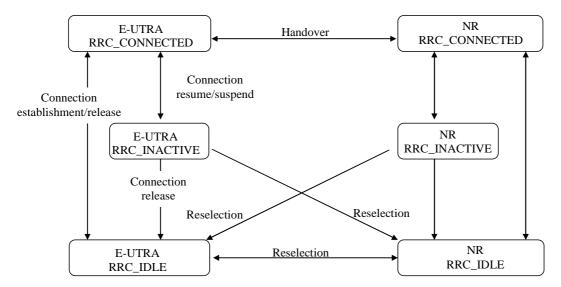


Figure 4.2.1-6: Mobility procedures between E-UTRA/EPC, E-UTRA/5GC and NR

The inter-RAT handover procedure(s) supports the case of signalling, conversational services, non-conversational services and combinations of these.

In addition to the state transitions shown in figures above, there is support for connection release with redirection information from E-UTRA RRC\_CONNECTED to GERAN, UTRAN, CDMA2000 (HRPD Idle/ 1xRTT Dormant mode) and NR. A UE in RRC\_INACTIVE enters RRC\_IDLE when it enters another RAT or switches to another CN type.

For NB-IoT, mobility between E-UTRA and UTRAN, GERAN and between E-UTRA and CDMA2000 1xRTT and CDMA2000 HRPD is not supported at AS level and hence only the E-UTRA states depicted in Figure 4.2.1-1 are applicable.

# 4.2.2 Signalling radio bearers

"Signalling Radio Bearers" (SRBs) are defined as Radio Bearers (RB) that are used only for the transmission of RRC and NAS messages. More specifically, the following SRBs are defined:

- SRB0 is for RRC messages using the CCCH logical channel;
- SRB1 is for RRC messages (which may include a piggybacked NAS message) as well as for NAS messages prior to the establishment of SRB2, all using DCCH logical channel;
- For NB-IoT, SRB1bis is for RRC messages (which may include a piggybacked NAS message) as well as for NAS messages prior to the activation of security, all using DCCH logical channel;
- SRB2 is for RRC messages which include logged measurement information as well as for NAS messages and
  messages which include IAB-DU specific F1-C related information, all using DCCH logical channel. SRB2 has
  a lower-priority than SRB1 and is always configured by E-UTRAN after security activation. SRB2 is not
  applicable for NB-IoT;
- SRB4 is for RRC messages which include application layer measurement reporting information, all using DCCH logical channel. SRB4 can only be configured by E-UTRAN after security activation. SRB4 is not applicable for NB-IoT.

In downlink piggybacking of NAS messages is used only for one dependant (i.e. with joint success/ failure) procedure: bearer establishment/ modification/ release. In uplink NAS message piggybacking is used only for transferring the initial NAS message during connection setup.

NOTE 1: The NAS messages transferred via SRB2 are also contained in RRC messages, which however do not include any RRC protocol control information.

Once security is activated, all RRC messages on SRB1, SRB2 and SRB4, including those containing NAS or non-3GPP messages, are integrity protected and ciphered by PDCP. NAS independently applies integrity protection and ciphering to the NAS messages.

For a UE configured with DC, all RRC messages, regardless of the SRB used and both in downlink and uplink, are transferred via the MCG. In case of EN-DC, after connection establishment NR PDCP may be configured for both SRB1 and SRB2 and if so, these SRBs may be configured as split SRB. In case of NGEN-DC and NE-DC, NR PDCP is always configured. For a split SRB, the UE receives RRC messages via both MCG and NR SCG i.e. handles out of order and duplicate PDUs as specified in TS 38.323 [83]. For a split SRB, the network configures via which cell group(s) the UE sends uplink RRC messages.

NOTE 2: In case of (NG)EN-DC, SRB3 may be configured for the transfer of some NR RRC messages between UE and SgNB via the NR radio interface, see TS 38.331 [82].

An SRB can be configured with PDCP duplication, either by two logical channels within the same CG (CA duplication) or by two logical channels each within a different CG (DC duplication).

# 4.3 Services

# 4.3.1 Services provided to upper layers

The RRC protocol offers the following services to upper layers:

- Broadcast of common control information;
- Broadcast of positioning assistance data;
- Notification of UEs in RRC\_IDLE and RRC\_INACTIVE, e.g. about a terminating call, for ETWS, for CMAS;
- Transfer of dedicated control information, i.e. information for one specific UE.

# 4.3.2 Services expected from lower layers

In brief, the following are the main services that RRC expects from lower layers:

- PDCP: integrity protection and ciphering;
- RLC: reliable and in-sequence transfer of information, without introducing duplicates and with support for segmentation and concatenation.

Further details about the services provided by Packet Data Convergence Protocol layer (e.g. integrity and ciphering) are provided in TS 36.323 [8]. The services provided by Radio Link Control layer (e.g. the RLC modes) are specified in TS 36.322 [7]. Further details about the services provided by Medium Access Control layer (e.g. the logical channels) are provided in TS 36.321 [6]. The services provided by physical layer (e.g. the transport channels) are specified in TS 36.302 [3].

# 4.4 Functions

The RRC protocol includes the following main functions:

- Broadcast of system information:
  - Including NAS common information;
  - Information applicable for UEs in RRC\_IDLE, e.g. cell (re-)selection parameters, neighbouring cell information and information (also) applicable for UEs in RRC\_CONNECTED, e.g. common channel configuration information;
  - Including ETWS notification, CMAS notification (not applicable for NB-IoT);
  - Including positioning assistance data.

- RRC connection control:
  - Paging;
  - Establishment/ modification/ suspension / resumption / release of RRC connection, including e.g. assignment/ modification of UE identity (C-RNTI), establishment/ modification/ suspension/ resumption/ release of SRB1, SRB1bis, SRB2 and SRB4, access class barring;
  - Initial security activation, i.e. initial configuration of AS integrity protection (SRBs) and AS ciphering (SRBs, DRBs);
  - For RNs, configuration of AS integrity protection for DRBs;
  - RRC connection mobility including e.g. intra-frequency and inter-frequency handover, associated security handling, i.e. key/ algorithm change, specification of RRC context information transferred between network nodes;

NOTE 1: In NB-IoT, only key change (but no re-keying) at RRC Connection Resumption and RRC context information transfer are applicable.

- Establishment/ modification/ release of RBs carrying user data (DRBs);
- Radio configuration control including e.g. assignment/ modification of ARQ configuration, HARQ configuration;
- For RNs, RN-specific radio configuration control for the radio interface between RN and E-UTRAN;
- In case of CA, cell management including e.g. change of PCell, addition/ modification/ release of SCell(s) and addition/modification/release of STAG(s);
- In case of DC, cell management including e.g. change of PSCell, addition/ modification/ release of SCG cell(s) and addition/modification/release of SCG TAG(s);
- In case of (NG)EN-DC, transparent transfer of NR RRC messages (e.g. DL: reconfiguration messages used to add or modify the NR SCG configuration or to (re-)configure measurements; configure conditional PSCell change; UL: measurement reports and reconfiguration complete messages) and of configurations of radio bearers using NR PDCP;
- QoS control including assignment/ modification of semi-persistent scheduling (SPS) configuration information for DL and UL, assignment/ modification of parameters for UL rate control in the UE, i.e. allocation of a priority and a prioritised bit rate (PBR) for each RB (not applicable for NB-IoT);
- Recovery from radio link failure;
- In case of LWA, RCLWI and LWIP, WLAN mobility set management including e.g. addition/ modification/ release of WLAN(s) from the WLAN mobility set;
- Inter-RAT mobility including e.g. security activation, transfer of RRC context information (not applicable for NB-IoT);
- Measurement configuration and reporting (not applicable for NB-IoT):
  - Establishment/ modification/ release of measurements (e.g. intra-frequency, inter-frequency and inter- RAT measurements);
  - Setup and release of measurement gaps;
  - Measurement reporting;
- Other functions including e.g. transfer of dedicated NAS information and non-3GPP dedicated information, transfer of UE radio access capability information, support for E-UTRAN sharing (multiple PLMN identities);
- Generic protocol error handling;
- Support of self-configuration and self-optimisation (not applicable for NB-IoT);

- Support of measurement logging and reporting for network performance optimisation, as specified in TS 37.320 [60] (not applicable for NB-IoT).

NOTE 2: Random access is specified entirely in the MAC including initial transmission power estimation.

## 4.5 Data available for transmission for NB-IoT

For the purpose of MAC Data Volume and Power Headroom reporting, the NB-IoT UE shall consider the following as data available for transmission in the RRC layer:

- For SDUs to be submitted to lower layers:
  - the SDU itself, if the SDU has not yet been processed by RRC; or
  - the PDU if the SDU has been processed by RRC;
- The data available for transmission in upper layers not submitted to the RRC layer.

# 5 Procedures

#### 5.1 General

## 5.1.1 Introduction

The procedural requirements are structured according to the main functional areas: system information (5.2), connection control (5.3), inter-RAT mobility (5.4) and measurements (5.5). In addition, clause 5.6 covers other aspects e.g. NAS dedicated information transfer, UE capability transfer, clause 5.7 specifies the generic error handling, clause 5.8 covers MBMS (i.e. MBMS service reception via MRB), clause 5.8a covers SC-PTM (i.e. MBMS service reception via SC-MRB), clause 5.9 covers RN-specific procedures and clause 5.10 covers sidelink.

For NB-IoT, only a subset of the above procedural requirements applies: system information (5.2), connection control (5.3), measurements (5.5), other (5.6), general error handling (5.7), and SC-PTM (5.8a). Clauses inter-RAT mobility (5.4), MBMS (5.8), RN procedures (5.9) and Sidelink (5.10) are not applicable in NB-IoT.

# 5.1.2 General requirements

The UE shall:

- 1> process the received messages in order of reception by RRC, i.e. the processing of a message shall be completed before starting the processing of a subsequent message;
- NOTE 1: E-UTRAN may initiate a subsequent procedure prior to receiving the UE's response of a previously initiated procedure.
- 1> within a clause execute the steps according to the order specified in the procedural description;
- 1> consider the term 'radio bearer' (RB) to cover SRBs and DRBs but not MRBs or SC-MRBs unless explicitly stated otherwise;
- 1> set the *rrc-TransactionIdentifier* in the response message, if included, to the same value as included in the received RRC message that triggered the response message;
- 1> upon receiving a choice value set to *setup*:
  - 2> apply the corresponding received configuration and start using the associated resources, unless explicitly specified otherwise;
- 1> upon receiving a choice value set to *release*:
  - 2> clear the corresponding configuration and stop using the associated resources;

NOTE 1a: Following receipt of choice value set to release, the UE considers the field as if it was never configured.

- 1> upon handover to E-UTRA; or
- 1> upon receiving an RRCConnectionReconfiguration message including the fullConfig:
  - 2> apply the Conditions in the ASN.1 for inclusion of the fields for the DRB/PDCP/RLC setup during the reconfiguration of the DRBs included in the *drb-ToAddModList*;
- NOTE 2: At each point in time, the UE keeps a single value for each field except for during handover when the UE temporarily stores the previous configuration so it can revert back upon handover failure. In other words: when the UE reconfigures a field, the existing value is released except for during handover.
- NOTE 3: Although not explicitly stated, the UE initially considers all functionality to be deactivated/ released until it is explicitly stated that the functionality is setup/ activated. Correspondingly, the UE initially considers lists to be empty e.g. the list of radio bearers, the list of measurements.
- 1> upon receiving an extension field comprising the entries in addition to the ones carried by the original field (regardless of whether E-UTRAN may signal more entries in total); apply the following generic behaviour if explicitly stated to be applicable:
  - 2> create a combined list by concatenating the additional entries included in the extension field to the original field while maintaining the order among both the original and the additional entries;
  - 2> for the combined list, created according to the previous, apply the same behaviour as defined for the original field;
- NOTE 4: A field comprising a list of entries normally includes 'list' in the field name. The typical way to extend (the size of) such a list is to introduce a field comprising the additional entries, which should include 'listExt' in the name of the field/ IE. E.g. field1List-RAT, field1ListExt-RAT.
- 1> consider the term DC to cover the case of an E-UTRA MCG and SCG; Likewise, MCG covers the case of an E-UTRA MCG, SCG covers the case of an E-UTRA SCG, serving cell covers the case of an E-UTRA serving cell, PDCP covers the case of PDCP defined by E-UTRA specifications;
- NOTE 5: In this specification, UE configuration refers to the parameters configured by E-UTRA RRC unless stated otherwise. Likewise, when a procedure is mentioned, this concerns the procedure defined by E-UTRA RRC unless stated otherwise.

# 5.1.3 Requirements for UE in MR-DC

In this specification, the UE considers itself to be configured with;

- EN-DC if and only if it is configured with nr-SecondaryCellGroupConfig and it is connected to EPC,
- NGEN-DC if and only if it is configured with nr-SecondaryCellGroupConfig and it is connected to 5GC,
- NE-DC if and only if it is configured with *mrdc-SecondaryCellGroup* set to *eutra-SCG* according to TS 38.331[82],
- MR-DC if and only if it is configured with (NG)EN-DC or NE-DC.
- NOTE 1: The above deviates from the definition in TS 37.340 [81] (and some other specifications) i.e. according to TS 37.340 [81] a UE that is not configured with an SCG is in MR-DC when one or more bearers are terminated in the secondary node (i.e. using NR PDCP).
- NOTE 2: MR-DC includes NR-DC, but that option is not relevant for this specification.

The UE configured with NE-DC only executes a subclause of clause 5 from this specification when the concerned subclause:

- is referrenced from a subclause, either in this specification or in TS 38.331 [82], that is executed by the UE; or
- covers actions upon (re-)configuration of field(s), IE(s), UE variable(s) or timer(s) applicable for NE-DC;

When executing a subclause of clause 5 in this specification, the UE also follows the related general requirements as defined in clause 5.1.2 and other subclauses of this specification e.g. message processing delay requirements.

# 5.2 System information

#### 5.2.1 Introduction

#### 5.2.1.1 General

System information is divided into the *MasterInformationBlock* (MIB) and a number of *SystemInformationBlocks* (SIBs) and *SystemInformationBlockPos* (posSIBs). The MIB includes a limited number of most essential and most frequently transmitted parameters that are needed to acquire other information from the cell, and is transmitted on BCH. SIBs other than *SystemInformationBlockType1* and posSIBs are carried in *SystemInformation* (SI) messages. The mapping of SIBs and posSIBs to SI messages is flexibly configurable by *schedulingInfoList* and *posSchedulingInfoList*, respectively, included in *SystemInformationBlockType1*, with restrictions that: each SIB is contained only in a single SI message and each SIB and posSIB is contained at most once in that SI message; only SIBs and posSIBs having the same scheduling requirement (periodicity) can be mapped to the same SI message; *SystemInformationBlockType2* is always mapped to the SI message that corresponds to the first entry in the list of SI messages in *schedulingInfoList*. There may be multiple SI messages transmitted with the same periodicity. *SystemInformationBlockType1* and all SI messages are transmitted on DL-SCH.

The Bandwidth reduced Low Complexity (BL) UEs and UEs in Coverage Enhancement (CE) apply Bandwidth Reduced (BR) version of the SIB, posSIB or SI messages. A UE considers itself in enhanced coverage as specified in TS 36.304 [4]. In this and subsequent clauses, anything applicable for a particular SIB, posSIB or SI message equally applies to the corresponding BR version unless explicitly stated otherwise.

For NB-IoT, a reduced set of system information block with similar functionality but different content is defined; the UE applies the NB-IoT (NB) version of the MIB and the SIBs. These are denoted *MasterInformationBlock-NB*, *MasterInformationBlock-TDD-NB and SystemInformationBlockTypeX-NB* in this specification. All other system information blocks (without NB suffix) are not applicable to NB-IoT; this is not further stated in the corresponding text.

NOTE 1: The physical layer imposes a limit to the maximum size a SIB can take. When DCI format 1C is used the maximum allowed by the physical layer is 1736 bits (217 bytes) while for format 1A the limit is 2216 bits (277 bytes), see TS 36.212 [22] and TS 36.213 [23]. For BL UEs and UEs in CE, the maximum SIB and SI message size is 936 bits, see TS 36.213 [23]. For NB-IoT, the maximum SIB and SI message size is 680 bits, see TS 36.213 [23].

In addition to broadcasting, E-UTRAN may provide *SystemInformationBlockType1*, *SystemInformationBlockType2* and/or *SystemInformationBlockType31*, including the same parameter values, via dedicated signalling i.e., within an *RRCConnectionReconfiguration* message.

The UE applies the system information acquisition and change monitoring procedures for the PCell, except when being a BL UE or a UE in CE or a NB-IoT UE in RRC\_CONNECTED mode while T311 is not running. For an SCell, E-UTRAN provides, via dedicated signalling, all system information relevant for operation in RRC\_CONNECTED when adding the SCell. However, a UE that is configured with DC shall aquire the *MasterInformationBlock* of the PSCell but use it only to determine the SFN timing of the SCG, which may be different from the MCG. Upon change of the relevant system information of a configured SCell, E-UTRAN releases and subsequently adds the concerned SCell, which may be done with a single *RRCConnectionReconfiguration* message. If the UE is receiving or interested to receive an MBMS service in a cell, the UE shall apply the system information acquisition and change monitoring procedure to acquire parameters relevant for MBMS operation and apply the parameters acquired from system information only for MBMS operation for this cell.

NOTE 2: E-UTRAN may configure via dedicated signalling different parameter values than the ones broadcast in the concerned SCell.

In MBMS-dedicated cell, non-MBSFN subframes are used for providing *MasterInformationBlock-MBMS* (MIB-MBMS) and *SystemInformationBlockType1-MBMS*. SIBs other than *SystemInformationBlockType1-MBMS* are carried in *SystemInformation-MBMS* message which is also provided on non-MBSFN subframes.

An RN configured with an RN subframe configuration does not need to apply the system information acquisition and change monitoring procedures. Upon change of any system information relevant to an RN, E-UTRAN provides the

system information blocks containing the relevant system information to an RN configured with an RN subframe configuration via dedicated signalling using the *RNReconfiguration* message. For RNs configured with an RN subframe configuration, the system information contained in this dedicated signalling replaces any corresponding stored system information and takes precedence over any corresponding system information acquired through the system information acquired through the system information acquired until overridden.

NOTE 3: E-UTRAN may configure an RN, via dedicated signalling, with different parameter values than the ones broadcast in the concerned cell.

### 5.2.1.2 Scheduling

The MIB uses a fixed schedule with a periodicity of 40 ms and repetitions made within 40 ms. The first transmission of the MIB is scheduled in subframe #0 of radio frames for which the SFN mod 4 = 0, and repetitions are scheduled in subframe #0 of all other radio frames. For TDD/FDD system with a bandwidth larger than 1.4 MHz that supports BL UEs or UEs in CE, MIB transmission may additionally be repeated in subframe#0 of the same radio frame, and in subframe#9 of the previous radio frame for FDD and subframe #5 of the same radio frame for TDD.

NOTE: The UE may assume the scheduling of MIB repetitions does not change. E-UTRAN may indicate in *MobilityControlInfo* whether optional MIB repetitions are enabled or not.

The MIB-MBMS uses a fixed schedule with a periodicity of 160 ms and repetitions made within 160 ms. The first transmission of the MIB-MBMS is scheduled in subframe #0 of radio frames for which the SFN mod 16 = 0, and repetitions are scheduled in subframe #0 of all other radio frames for which the SFN mod 4 = 0.

The SystemInformationBlockType1 uses a fixed schedule with a periodicity of 80 ms and repetitions made within 80 ms. The first transmission of SystemInformationBlockType1 is scheduled in subframe #5 of radio frames for which the SFN mod 8 = 0, and repetitions are scheduled in subframe #5 of all other radio frames for which SFN mod 2 = 0.

For BL UEs or UEs in CE, MIB is applied which may be provided with additional repetitions, while for SIB1 and further SI messages, separate messages are used which are scheduled independently and with content that may differ. The separate instance of SIB1 is named as *SystemInformationBlockType1-BR*. The *SystemInformationBlockType1-BR* uses a schedule with a periodicity of 80ms. TBS for *SystemInformationBlockType1-BR* and the repetitions made within 80ms are indicated via *schedulingInfoSIB1-BR* in MIB or optionally in the *RRCConnectionReconfiguration* message including the *MobilityControlInfo*.

The *SystemInformationBlockType1-MBMS* uses fixed schedule with a periodicity of 160 ms. The first transmission of *SystemInformationBlockType1-MBMS* is scheduled in subframe #0 of radio frames for which the SFN mod 16 = 0, and repetitions are scheduled in subframe #0 of all other radio frames for which SFN mod 8 = 0. Additionally, the *SystemInformationBlockType1-MBMS* and other system informations blocks may be scheduled in additional non-MBSFN subframes indicated in *MasterInformationBlock-MBMS*.

The SI messages are transmitted within periodically occurring time domain windows (referred to as SI-windows) using dynamic scheduling. Each SI message is associated with a SI-window and the SI-windows of different SI messages do not overlap. That is, within one SI-window only the corresponding SI is transmitted. The length of the SI-window is common for all SI messages, and is configurable. Within the SI-window, the corresponding SI message can be transmitted a number of times in any subframe other than MBSFN subframes, uplink subframes in TDD, and subframe #5 of radio frames for which SFN mod 2 = 0. The UE acquires the detailed time-domain scheduling (and other information, e.g. frequency-domain scheduling, used transport format) from decoding SI-RNTI on PDCCH (see TS 36.321 [6]). For a BL UE or a UE in CE, the detailed time/frequency domain scheduling information for the SI messages is provided in *SystemInformationBlockType1-BR*.

For UEs other than BL UE or UEs in CE SI-RNTI is used to address *SystemInformationBlockType1* as well as all SI messages. On MBMS-dedicated cell and on FeMBMS/Unicast-mixed cell, SI-RNTI with value in accordance with TS 36.321 [6] is used to address all SI messages whereas SI-RNTI with value in accordance with TS 36.321 [6] is used to address *SystemInformationBlockType1-MBMS*.

SystemInformationBlockType1 configures the SI-window length and the transmission periodicity for the SI messages.

## 5.2.1.2a Scheduling for NB-IoT

The MasterInformationBlock-NB (MIB-NB) uses a fixed schedule with a periodicity of 640 ms and repetitions made within 640 ms. The first transmission of the MIB-NB is scheduled in subframe #0 of radio frames for which the SFN

mod 64 = 0 and repetitions are scheduled in subframe #0 of all other radio frames. The transmissions are arranged in 8 independently decodable blocks of 80 ms duration.

The MasterInformationBlock-TDD-NB (MIB-TDD-NB) uses a fixed schedule with a periodicity of 640 ms and repetitions made within 640 ms. The first transmission of the MIB-TDD-NB is scheduled in subframe #9 of radio frames for which the SFN mod 64 = 0 and repetitions are scheduled in subframe #9 of all other radio frames. The transmissions are arranged in 8 independently decodable blocks of 80 ms duration.

The SystemInformationBlockType1-NB (SIB1-NB) uses a fixed schedule with a periodicity of 2560 ms.

For FDD, SIB1-NB transmission occurs in subframe #4 of every other frame in 16 continuous frames. The starting frame for the first transmission of the SIB1-NB is derived from the cell PCID and the number of repetitions within the 2560 ms period and repetitions are made, equally spaced, within the 2560 ms period (see TS 36.213 [23]). TBS for *SystemInformationBlockType1-NB* and the repetitions made within the 2560 ms are indicated by *schedulingInfoSIB1* field in the MIB-NB. If *additionalTransmissionSIB1* is set to TRUE in the MIB-NB, additional SIB1-NB transmission occurs in subframe #3 of the same radio frames where SIB1-NB transmission occurs with the same number of repetitions.

For TDD, SIB1-NB transmission on the anchor carrier occurs in either subframe #0 or subframe #4 of every other frame in 16 continuous frames and SIB1-NB transmission on a non-anchor carrier occurs in subframe #0 and next in subframe #5 of every other frame in 16 continuous frames. The starting frame for the first transmission of the SIB1-NB is derived from the cell PCID and the number of repetitions within the 2560 ms period and repetitions are made, equally spaced, within the 2560 ms period (see TS 36.213 [23]). TBS for *SystemInformationBlockType1-NB*, the repetitions made within the 2560 ms, and the subframe index (#0 or #4) are indicated by *schedulingInfoSIB1* field in the MIB-TDD-NB.

The SI messages are transmitted within periodically occurring time domain windows (referred to as SI-windows) using scheduling information provided in *SystemInformationBlockType1-NB*. Each SI message is associated with a SI-window and the SI-windows of different SI messages do not overlap. That is, within one SI-window only the corresponding SI is transmitted. The length of the SI-window is common for all SI messages, and is configurable.

Within the SI-window, the corresponding SI message can be transmitted a number of times over 2 or 8 consecutive NB-IoT downlink subframes depending on TBS. The UE acquires the detailed time/frequency domain scheduling information and other information, e.g. used transport format for the SI messages from *schedulingInfoList* field in *SystemInformationBlockType1-NB*. The UE is not required to accumulate several SI messages in parallel but may need to accumulate a SI message across multiple SI windows, depending on coverage condition.

*SystemInformationBlockType1-NB* configures the SI-window length and the transmission periodicity for all SI messages.

## 5.2.1.3 System information validity and notification of changes

Change of system information (other than for ETWS, CMAS, EAB, UAC, and satellite assistance information parameters for the serving cell and for NB-IoT, other than for AB parameters and satellite assistance information parameters for the serving cell) only occurs at specific radio frames, i.e. the concept of a modification period is used. System information may be transmitted a number of times with the same content within a modification period, as defined by its scheduling. The modification period boundaries are defined by SFN values for which SFN mod m=0, where m is the number of radio frames comprising the modification period. The modification period is configured by system information. If H-SFN is provided in *SystemInformationBlockType1-BR*, modification period boundaries for BL UEs and UEs in CE are defined by SFN values for which (H-SFN \* 1024 + SFN) mod m=0. For NB-IoT, H-SFN is always provided and the modification period boundaries are defined by SFN values for which (H-SFN \* 1024 + SFN) mod m=0.

To enable system information update notification for RRC\_IDLE UEs configured to use a DRX cycle longer than the modification period, an eDRX acquisition period is defined. The boundaries of the eDRX acquisition period are determined by H-SFN values for which H-SFN mod 256 =0. For NB-IoT, the boundaries of the eDRX acquisition period are determined by H-SFN values for which H-SFN mod 1024 =0.

NOTE 1: If the UE in RRC\_IDLE is configured to use extended DRX cycle, e.g., in the order of several minutes or longer, in case the eNB is reset the UE SFN may not be synchronized to the new eNB SFN. The UE is expected to recover, e.g., acquire MIB within a reasonable time, to avoid repeated paging failures.

NOTE 1a: For the UE in RRC\_INACTIVE, the idle mode extended DRX cycle, if configured, is used to compare with the modification period.

When the network changes (some of the) system information, it first notifies the UEs about this change, i.e. this may be done throughout a modification period. In the next modification period, the network transmits the updated system information. During a modification period where ETWS or CMAS transmission is started or stopped, the SI messages carrying the SIBs scheduled in schedulingInfoListExt and/or SI messages carrying the posSIBs scheduled in posSchedulingInfoList may change, so the UE might not be able to successfully receive those SIBs and/or posSIBs in the remainder of the current modification period and next modification period according to the scheduling information received prior to the change. These general principles are illustrated in figure 5.2.1.3-1, in which different colours indicate different system information. Upon receiving a change notification, the UE not configured to use a DRX cycle that is longer than the modification period acquires the new system information immediately from the start of the next modification period. Upon receiving a change notification applicable to eDRX, a UE in RRC\_IDLE configured to use a DRX cycle that is longer than the modification period acquires the updated system information immediately from the start of the next eDRX acquisition period. The UE applies the previously acquired system information until the UE acquires the new system information. The possible boundaries of modification for SystemInformationBlockType1-BR are defined by SFN values for which SFN mod 512 = 0 except for notification of ETWS/CMAS for which the eNB may change SystemInformationBlockType1-BR content at any time. For NB-IoT, the possible boundaries of modification for SystemInformationBlockType1-NB are defined by SFN values for which (H-SFN \* 1024 + SFN) mod 4096 = 0.

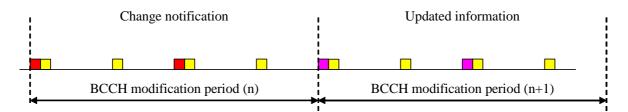


Figure 5.2.1.3-1: Change of system Information

The *Paging* message is used to inform UEs in RRC\_IDLE and UEs in RRC\_CONNECTED about a system information change. If the UE is in RRC\_CONNECTED or is not configured to use a DRX cycle longer than the modification period in RRC\_IDLE, and receives a *Paging* message including the *systemInfoModification*, it knows that the system information will change at the next modification period boundary. A UE in RRC\_IDLE that is configured to use a DRX cycle longer than the modification period, and receives in an eDRX acquisition period at least one *Paging* message including the *systemInfoModification-eDRX*, shall acquire the updated system information at the next eDRX acquisition period boundary. Although the UE may be informed about changes in system information, no further details are provided e.g. regarding which system information will change, except if *systemInfoValueTagSI* is received by BL UEs or UEs in CE.

In RRC\_CONNECTED, BL UEs or UEs in CE or NB-IoT UEs are not required to acquire system information except when T311 is running, or upon handover where the UE is only required to acquire the *MasterInformationBlock* in the target PCell, or for UEs in CE to receive ETWS/CMAS information, or upon expiry of T317 where the UE is only required to acquire the *SystemInformationBlockType31* (*SystemInformationBlockType31-NB* in NB-IoT). In RRC\_IDLE, E-UTRAN may notify BL UEs or UEs in CE or NB-IoT UEs about SI update, and except for NB-IoT, ETWS and CMAS notification, EAB modification and UAC modification, using Direct Indication information, as specified in 6.6 (or 6.7.5 in NB-IoT) and TS 36.212 [22].

NOTE 2: Upon system information change essential for BL UEs, UEs in CE, or NB-IoT UEs in RRC\_CONNECTED, E-UTRAN may initiate connection release.

NOTE 3: When acquiring SIB31(-NB) in RRC\_CONNECTED, UE may assume that the scheduling is unchanged.

SystemInformationBlockType1 (or MasterInformationBlock-NB/ MasterInformationBlock-TDD-NB in NB-IoT) includes a value tag systemInfoValueTag, that indicates if a change has occurred in the SI messages. UEs may use systemInfoValueTag, e.g. upon return from out of coverage, to verify if the previously stored SI messages are still valid. MasterInformationBlock and RSS (if transmitted, see TS 36.211 [21]) may indicate using systemInfoUnchanged-BR that a change has not occurred in the SIB1-BR and SI messages of the current cell at least over the SI validity time, and the BL UEs or UEs in CE may use the systemInfoUnchanged-BR, e.g. upon return from out of coverage, to verify if the previously stored SIB1-BR and SI messages are still valid. Additionally, for other than BL UEs or UEs in CE or NB-IoT UEs, the UE considers stored system information to be invalid after 3 hours from the moment it was successfully confirmed as valid, unless specified otherwise. BL UE or UE in CE considers stored system information to be invalid after 24 hours from the moment it was successfully confirmed as valid, unless the UE is configured by parameter si-ValidityTime to consider stored system information to be invalid 3 hours after validity confirmation. NB-IoT UE

considers stored system information to be invalid after 24 hours from the moment it was successfully confirmed as valid. If a BL UE, UE in CE or NB-IoT UE in RRC\_CONNECTED state considers the stored system information invalid, the UE shall continue using the stored system information while in RRC\_CONNECTED state in the serving cell.

For BL UEs or UEs in CE or NB-IoT UEs, the change of specific SI message can additionally be indicated by a SI message specific value tag <code>systemInfoValueTagSI</code>. If <code>systemInfoValueTag</code> included in the <code>SystemInformationBlockType1-BR</code> (or <code>MasterInformationBlock-NB/MasterInformationBlock-TDD-NB</code> in NB-IoT) is different from the one of the stored system information and if <code>systemInfoValueTagSI</code> is included in the <code>SystemInformationBlockType1-BR</code> (or <code>SystemInformationBlockType1-NB</code> in NB-IoT) for a specific SI message and is different from the stored one, the UE shall consider this specific SI message to be invalid. If only <code>systemInfoValueTag</code> is included and is different from the stored one, the BL UE or UE in CE should consider any stored system information <code>except SystemInformationBlockType10</code>, <code>SystemInformationBlockType11</code>, <code>SystemInformationBlockType12</code>, <code>SystemInformationBlockType14</code>, <code>SystemInformationBlockType25</code> and <code>SystemInformationBlockType14-NB</code> and <code>SystemInformationBlockType31-NB</code> to be invalid.

On MBMS-dedicated cell and on FeMBMS/Unicast-mixed cell, the change of system information and ETWS/CMAS notification is indicated by using Direct Indication FeMBMS defined in 6.6a. The modification periodicity follows MCCH modification periodicity as defined in 5.8.1.3.

E-UTRAN may not update *systemInfoValueTag* upon change of some system information e.g. ETWS information, CMAS information, RLOS indication (i.e., *rlos-Enabled*), regularly changing parameters like time information (*SystemInformationBlockType8*, *SystemInformationBlockType16*, *hyperSFN-MSB* in *SystemInformationBlockType1-NB*), EAB and AB parameters, UAC parameters, positioning system information blocks, or satellite assistance information. Similarly, E-UTRAN may not include the *systemInfoModification* within the *Paging* message upon change of some system information.

NOTE 4: UE connected to NTN is expected to re-acquire SIB32(-NB) based on its own decision regardless of systemInfoValueTag change.

The UE that is not configured to use a DRX cycle longer than the modification period verifies that stored system information remains valid by either checking <code>systemInfoValueTag</code> in <code>SystemInformationBlockType1</code> (or <code>MasterInformationBlock-NB/ MasterInformationBlock-TDD-NB</code> in NB-IoT) after the modification period boundary, or attempting to find the <code>systemInfoModification</code> indication at least <code>modificationPeriodCoeff</code> times during the modification period in case no paging is received, in every modification period. If no paging message is received by the UE during a modification period, the UE may assume that no change of system information will occur at the next modification period boundary. If UE in RRC\_CONNECTED, during a modification period, receives one paging message, it may deduce from the presence/ absence of <code>systemInfoModification</code> whether a change of system information other than ETWS information, CMAS information, EAB and UAC parameters will occur in the next modification period or not.

When the RRC\_IDLE UE is configured with a DRX cycle that is longer than the modification period, and at least one modification period boundary has passed since the UE last verified validity of stored system information, the UE verifies that stored system information remains valid by checking the <code>systemInfoValueTag</code> before establishing or resuming an RRC connection.

ETWS and/or CMAS capable UEs in RRC\_CONNECTED, other than BL UEs and UEs in CE, shall attempt to read paging at least once every *defaultPagingCycle* to check whether ETWS and/or CMAS notification is present or not.

### 5.2.1.4 Indication of ETWS notification

ETWS primary notification and/ or ETWS secondary notification can occur at any point in time. The *Paging* message is used to inform ETWS capable UEs in RRC\_IDLE and UEs not in CE in RRC\_CONNECTED about presence of an ETWS primary notification and/ or ETWS secondary notification. For UEs in CE supporting reception of ETWS indication in RRC\_CONNECTED mode, control channels associated with the shared data channel are used to inform the UE about the presence of an ETWS primary notification and/or ETWS secondary notification. If the UE receives a *Paging* message or control channels associated with the shared data channel including the *etws-Indication*, it shall start receiving the ETWS primary notification and/ or ETWS secondary notification according to *schedulingInfoList* contained in *SystemInformationBlockType1*. If the UE receives *Paging* message or control channels associated with the shared data channel including the *etws-Indication* while it is acquiring ETWS notification(s), the UE shall continue acquiring ETWS notification(s) based on the previously acquired *schedulingInfoList* until it re-acquires *schedulingInfoList* in *SystemInformationBlockType1*.

NOTE: The UE is not required to periodically check schedulingInfoList contained in SystemInformationBlockType1, but Paging message including the etws-Indication triggers the UE to reacquire schedulingInfoList contained in SystemInformationBlockType1 for scheduling changes for SystemInformationBlockType10 and SystemInformationBlockType11. The UE may or may not receive a Paging message including the etws-Indication and/or systemInfoModification when ETWS is no longer scheduled.

ETWS primary notification is contained in *SystemInformationBlockType10* and ETWS secondary notification is contained in *SystemInformationBlockType11*. Segmentation can be applied for the delivery of a secondary notification. The segmentation is fixed for transmission of a given secondary notification within a cell (i.e. the same segment size for a given segment with the same *messageIdentifier*, *serialNumber* and *warningMessageSegmentNumber*). An ETWS secondary notification corresponds to a single *CB data* IE as defined according to TS 23.041 [37].

#### 5.2.1.5 Indication of CMAS notification

CMAS notification can occur at any point in time. The *Paging* message is used to inform CMAS capable UEs in RRC\_IDLE and UEs not in CE in RRC\_CONNECTED about presence of one or more CMAS notifications. For UEs in CE supporting reception of CMAS indication in RRC\_CONNECTED mode, control channels associated with the shared data channel are used to inform the UE about the presence of one or more CMAS notifications. If the UE receives a *Paging* message including the *cmas-Indication*, it shall start receiving the CMAS notifications according to *schedulingInfoList* contained in *SystemInformationBlockType1*. If the UE receives *Paging* message or control channels associated with the shared data channel including the *cmas-Indication* while it is acquiring CMAS notification(s), the UE shall continue acquiring CMAS notification(s) based on the previously acquired *schedulingInfoList* until it reacquires *schedulingInfoList* in *SystemInformationBlockType1*.

NOTE: The UE is not required to periodically check *schedulingInfoList* contained in *SystemInformationBlockType1*, but *Paging* message including the *cmas-Indication* triggers the UE to reacquire *schedulingInfoList* contained in *SystemInformationBlockType1* for scheduling changes for *SystemInformationBlockType12*. The UE may or may not receive a *Paging* message including the *cmas-Indication* and/or *systemInfoModification* when *SystemInformationBlockType12* is no longer scheduled.

CMAS notification is contained in *SystemInformationBlockType12*. A CMAS notification corresponds to a single *CB data* IE as defined according to TS 23.041 [37]. A CMAS notification may optionally have associated warning area coordinates. Segmentation can be applied for the delivery of a CMAS notification and, if present, the associated warning area coordinates. The segmentation is fixed for transmission of a given CMAS notification and, if present, any associated warning area coordinates within a cell (i.e. the same segment size for a given segment with the same *messageIdentifier*, *serialNumber* and *warningMessageSegmentNumber*). E-UTRAN does not interleave transmissions of CMAS notifications, i.e. all segments of a given CMAS notification transmission are transmitted prior to those of another CMAS notification.

#### 5.2.1.6 Notification of EAB parameters change

Change of EAB parameters can occur at any point in time. The EAB parameters are contained in *SystemInformationBlockType14*. The *Paging* message is used to inform EAB capable UEs in RRC\_IDLE about a change of EAB parameters or that *SystemInformationBlockType14* is no longer scheduled. If the UE receives a *Paging* message including the *eab-ParamModification*, it shall acquire *SystemInformationBlockType14* according to *schedulingInfoList* contained in *SystemInformationBlockType1*. If the UE receives a *Paging* message including the *eab-ParamModification* while it is acquiring *SystemInformationBlockType14*, the UE shall continue acquiring *SystemInformationBlockType14* based on the previously acquired *schedulingInfoList* until it re-acquires *schedulingInfoList* in *SystemInformationBlockType1*.

NOTE: The EAB capable UE is not expected to periodically check *schedulingInfoList* contained in *SystemInformationBlockType1*.

#### 5.2.1.7 Access Barring parameters change in NB-IoT

Change of Access Barring (AB) parameters can occur at any point in time. The AB parameters are contained in *SystemInformationBlockType14-NB*. Update of the AB parameters does not impact the *systemInfoValueTag* in the *MasterInformationBlock-NB/ MasterInformationBlock-TDD-NB* or the *systemInfoValueTagSI* in *SystemInformationBlockType1-NB*.

If *SystemInformationBlockType14-NB* is scheduled, a NB-IoT UE connected to EPC is required to acquire *MasterInformationBlock-NB/MasterInformationBlock-TDD-NB* before initiating RRC connection establishment /

resume for all access causes except mobile terminating calls to check *ab-Enabled* indication. If access barring is enabled the UE shall not initiate the RRC connection establishment / resume for all access causes except mobile terminating calls until the UE has acquired the *SystemInformationBlockType14-NB*.

If *SystemInformationBlockType14-NB* is scheduled, a NB-IoT UE connected to 5GC is required to acquire *MasterInformationBlock-NB/ MasterInformationBlock-TDD-NB* before initiating RRC connection establishment / resume / re-establishment to check *ab-Enabled-5GC* indication. If access barring is enabled the UE shall not initiate the RRC connection establishment / resume / re-establishment until the UE has acquired the *SystemInformationBlockType14-NB*.

#### 5.2.1.8 Notification of UAC parameters change

Change of UAC parameters can occur at any point in time. The UAC parameters are contained in *SystemInformationBlockType25*. The *Paging* message is used to inform BL UEs or UEs in CE in RRC\_INACTIVE or RRC\_IDLE connected to 5GC about a change of UAC parameters or that *SystemInformationBlockType25* is no longer scheduled. If the UE receives a *Paging* message including the *uac-ParamModification*, it shall acquire *SystemInformationBlockType25* according to *schedulingInfoList* contained in *SystemInformationBlockType1*. If the UE receives a *Paging* message including the *uac-ParamModification* while it is acquiring *SystemInformationBlockType25*, the UE shall continue acquiring *SystemInformationBlockType25* based on the previously acquired *schedulingInfoList* until it re-acquires *schedulingInfoList* in *SystemInformationBlockType1*.

NOTE: The BL UE or UE in CE is not expected to periodically check *schedulingInfoList* contained in *SystemInformationBlockType1*.

# 5.2.2 System information acquisition

#### 5.2.2.1 General

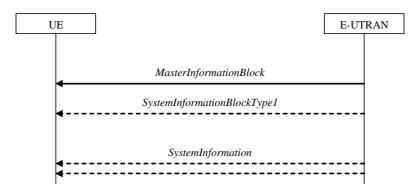


Figure 5.2.2.1-1: System information acquisition, normal

The UE applies the system information acquisition procedure to acquire the AS- and NAS- and positioning-system information that is broadcasted by the E-UTRAN. The procedure applies to UEs in RRC\_IDLE and UEs in RRC\_CONNECTED.

For BL UE, UE in CE and NB-IoT UE, specific conditions apply, as specified below.

#### 5.2.2.2 Initiation

The UE shall apply the system information acquisition procedure upon selecting (e.g. upon power on) and upon reselecting a cell, after handover completion, after entering E-UTRA from another RAT, upon return from out of coverage, upon receiving a notification that the system information has changed, upon receiving an indication about the presence of a CMAS notification, upon receiving a notification, upon receiving a notification that the EAB parameters have changed, upon receiving a request from CDMA2000 upper layers, upon receiving a request from positioning upper layers, upon receiving a notification that the UAC parameters have changed and upon exceeding the maximum validity duration. Unless explicitly stated otherwise in the procedural specification, the system information acquisition procedure overwrites any stored system information, i.e. delta configuration is not applicable for system information and the UE discontinues using a field if it is absent in system information unless explicitly specified otherwise.

In RRC\_CONNECTED, BL UEs and UEs in CE are required to acquire system information when T311 is running or upon handover where the UE is only required to acquire the *MasterInformationBlock* in the target PCell.

NOTE: Upon handover, E-UTRAN provides system information required by the UE in RRC\_CONNECTED except MIB with RRC signalling, i.e. *systemInformationBlockType1Dedicated* and *mobilityControlInfo*.

#### 5.2.2.3 System information required by the UE

The UE shall:

- 1> ensure having a valid version, as defined below, of (at least) the following system information, also referred to as the 'required' system information:
  - 2> if in RRC IDLE:
    - 3> if the UE is a NB-IoT UE:
      - 4> the MasterInformationBlock-NB/ MasterInformationBlock-TDD-NB and SystemInformationBlockType1-NB as well as SystemInformationBlockType2-NB through SystemInformationBlockType5-NB, SystemInformationBlockType22-NB;
    - 3> else:
      - 4> the MasterInformationBlock and SystemInformationBlockType1 (or SystemInformationBlockType1-BR depending on whether the UE is a BL UE or the UE in CE) as well as SystemInformationBlockType2 through SystemInformationBlockType8 and SystemInformationBlockType24 (depending on support of the concerned RATs), SystemInformationBlockType17 (depending on support of RAN-assisted WLAN interworking when the UE is connected to EPC), SystemInformationBlockType25 (depending on support of E-UTRA/5GC), SystemInformationBlockType29 (only for BL UE or the UE in CE depending on support of resource reservation), SystemInformationBlockType21, SystemInformationBlockType26 (if UE is capable of V2X sidelink communication and is configured by upper layers to receive or transmit V2X sidelink communication), and SystemInformationBlockType28 (if UE is capable of NR sidelink communication and is configured by upper layers to receive or transmit NR sidelink communication), SystemInformationBlockType30 (if UE is configured by upper layers to report disaster roaming related information);
    - 3> if initiating a RRC connection establishment/resume procedure; and
    - 3> the UE is NTN capable:
      - 4> SystemInformationBlockType31 (SystemInformationBlockType31-NB in NB-IoT), if scheduled;

#### 2> if in RRC\_INACTIVE:

3> the MasterInformationBlock and SystemInformationBlockType1 as well as SystemInformationBlockType2 through SystemInformationBlockType8 (depending on support of the concerned RATs), SystemInformationBlockType24 (depending on support of the concerned RATs), SystemInformationBlockType25, SystemInformationBlockType29 (only for BL UE or the UE in CE depending on support of resource reservation), SystemInformationBlockType21, SystemInformationBlockType26 (if UE is capable of V2X sidelink communication and is configured by upper layers to receive or transmit V2X sidelink communication), and SystemInformationBlockType28 (if

UE is capable of NR sidelink communication and is configured by upper layers to receive or transmit NR sidelink communication), *SystemInformationBlockType30* (if UE is configured by upper layers to report disaster roaming related information);

- 2> if in RRC\_CONNECTED; and
- 2> the UE is not a BL UE; and
- 2> the UE is not in CE; and
- 2> the UE is not a NB-IoT UE:
  - 3> the MasterInformationBlock, SystemInformationBlockType1 and SystemInformationBlockType2 as well as SystemInformationBlockType8 (depending on support of CDMA2000), SystemInformationBlockType17 (depending on support of RAN-assisted WLAN interworking when the UE is connected to EPC), SystemInformationBlockType25 (depending on support of E-UTRA/5GC);
- 2> if in RRC\_CONNECTED and T311 is running; and
- 2> the UE is a BL UE or the UE is in CE or the UE is a NB-IoT UE:
  - 3> the MasterInformationBlock (or MasterInformationBlock-NB/ MasterInformationBlock-TDD-NB in NB-IoT), SystemInformationBlockType1-BR (or SystemInformationBlockType1-NB in NB-IoT) and SystemInformationBlockType2 (or SystemInformationBlockType2-NB in NB-IoT), SystemInformationBlockType25 (only for BL UE or the UE in CE depending on support of E-UTRA/5GC), SystemInformationBlockType29 (only for BL UE or the UE in CE depending on support of resource reservation), SystemInformationBlockType31 (SystemInformationBlockType31-NB in NB-IoT) (only for NTN capable UE) if scheduled, and for NB-IoT SystemInformationBlockType22-NB;
- 2> if in RRC\_CONNECTED and T317 is not running; and
- 2> the UE is NTN capable:
  - 3> SystemInformationBlockType31 (SystemInformationBlockType31-NB in NB-IoT), if scheduled;
- 1> delete any stored system information after 3 hours or 24 hours from the moment it was confirmed to be valid as defined in 5.2.1.3, unless specified otherwise;
- 1> consider any stored system information except SystemInformationBlockType10, SystemInformationBlockType11, systemInformationBlockType12, systemInformationBlockType14 (systemInformationBlockType14-NB in NB-IoT), systemInformationBlockType25 and systemInformationBlockType31 (systemInformationBlockType31-NB in NB-IoT), to be invalid if systemInfoValueTag included in the SystemInformationBlockType1 (MasterInformationBlock-NB/ MasterInformationBlock-TDD-NB in NB-IoT) is different from the one of the stored system information and in case of NB-IoT UEs, BL UEs and UEs in CE, systemInfoValueTagSI is not broadcasted. Otherwise consider system information validity as defined in 5.2.1.3;

### 5.2.2.4 System information acquisition by the UE

The UE shall:

- 1> apply the specified BCCH configuration defined in 9.1.1.1 or BR-BCCH configuration defined in 9.1.1.8;
- 1> if the procedure is triggered by a system information change notification:
  - 2> if the UE uses an idle DRX cycle longer than the modification period:
    - 3> start acquiring the required system information, as defined in 5.2.2.3, from the next eDRX acquisition period boundary;
  - 2> else
    - 3> start acquiring the required system information, as defined in 5.2.2.3, from the beginning of the modification period following the one in which the change notification was received;
- NOTE 1: The UE continues using the previously received system information until the new system information has been acquired.

- 1> if the UE is in RRC\_IDLE and enters a cell for which the UE does not have stored a valid version of the system information required in RRC\_IDLE, as defined in 5.2.2.3:
  - 2> acquire, using the system information acquisition procedure as defined in 5.2.3, the system information required in RRC\_IDLE, as defined in 5.2.2.3;
- 1> following successful handover completion to a PCell for which the UE does not have stored a valid version of the system information required in RRC\_CONNECTED, as defined in 5.2.2.3:
  - 2> acquire, using the system information acquisition procedure as defined in 5.2.3, the system information required in RRC\_CONNECTED, as defined in 5.2.2.3;
  - 2> upon acquiring the concerned system information:
    - 3> discard the corresponding radio resource configuration information included in the *radioResourceConfigCommon* previously received in a dedicated message, if any;
- 1> following a request from CDMA2000 upper layers:
  - 2> acquire SystemInformationBlockType8, as defined in 5.2.3;
- 1> neither initiate the RRC connection establishment/resume procedure nor initiate transmission of the RRCConnectionReestablishmentRequest message until the UE has a valid version of the MasterInformationBlock (MasterInformationBlock-NB/ MasterInformationBlock-TDD-NB in NB-IoT) and SystemInformationBlockType1 (SystemInformationBlockType1-NB in NB-IoT) messages as well as SystemInformationBlockType2 (SystemInformationBlockType2-NB in NB-IoT), and for NB-IoT, SystemInformationBlockType22-NB;
- 1> not initiate the RRC connection establishment/resume procedure subject to EAB until the UE has a valid version of *SystemInformationBlockType14*, if broadcast;
- 1> if the UE is ETWS capable:
  - 2> upon entering a cell during RRC\_IDLE, following successful handover or upon connection re-establishment:
    - 3> discard any previously buffered warningMessageSegment;
    - 3> clear, if any, the current values of *messageIdentifier* and *serialNumber* for *SystemInformationBlockType11*;
  - 2> when the UE acquires *SystemInformationBlockType1* following ETWS indication, upon entering a cell during RRC IDLE, following successful handover or upon connection re-establishment:
    - 3> if *schedulingInfoList* indicates that *SystemInformationBlockType10* is present:
      - 4> if the UE is in CE:
        - 5> start acquiring SystemInformationBlockType10;
      - 4> else
        - 5> start acquiring SystemInformationBlockType10 immediately;
    - 3> if schedulingInfoList indicates that SystemInformationBlockType11 is present:
      - 4> start acquiring SystemInformationBlockType11 immediately;
- NOTE 2: UEs shall start acquiring *SystemInformationBlockType10* and *SystemInformationBlockType11* as described above even when *systemInfoValueTag* in *SystemInformationBlockType1* has not changed.
- 1> if the UE is CMAS capable:
  - 2> upon entering a cell during RRC\_IDLE, following successful handover or upon connection re-establishment:
    - 3> discard any previously buffered warningMessageSegment;
    - 3> clear, if any, stored values of *messageIdentifier* and *serialNumber* for *SystemInformationBlockType12* associated with the discarded *warningMessageSegment*;

- 2> when the UE acquires *SystemInformationBlockType1* following CMAS indication, upon entering a cell during RRC\_IDLE, following successful handover and upon connection re-establishment:
  - 3> if *schedulingInfoList* indicates that *SystemInformationBlockType12* is present:
    - 4> acquire SystemInformationBlockType12;
- NOTE 3: UEs shall start acquiring *SystemInformationBlockType12* as described above even when *systemInfoValueTag* in *SystemInformationBlockType1* has not changed.
- 1> if the UE is interested to receive MBMS services:
  - 2> if the UE is capable of MBMS reception as specified in 5.8:
    - 3> if *schedulingInfoList* indicates that *SystemInformationBlockType13* is present and the UE does not have stored a valid version of this system information block:
      - 4> acquire SystemInformationBlockType13;
    - 3> else if *SystemInformationBlockType13* is present in *SystemInformationBlockType1-MBMS* and the UE does not have stored a valid version of this system information block:
      - 4> acquire SystemInformationBlockType13 from SystemInformationBlockType1-MBMS;
  - 2> if the UE is capable of SC-PTM reception as specified in 5.8a:
    - 3> if schedulingInfoList indicates that SystemInformationBlockType20 (SystemInformationBlockType20-NB in NB-IoT) is present and the UE does not have stored a valid version of this system information block:
      - 4> acquire SystemInformationBlockType20 (SystemInformationBlockType20-NB in NB-IoT);
  - 2> if the UE is capable of MBMS Service Continuity:
    - 3> if *schedulingInfoList* indicates that *SystemInformationBlockType15* (*SystemInformationBlockType15-NB* in NB-IoT) is present and the UE does not have stored a valid version of this system information block:
      - 4> acquire SystemInformationBlockType15 (SystemInformationBlockType15-NB in NB-IoT);
- 1> if the UE is EAB capable:
  - 2> when the UE does not have stored a valid version of *SystemInformationBlockType14* upon entering RRC\_IDLE, or when the UE acquires *SystemInformationBlockType1* following EAB parameters change notification, or upon entering a cell during RRC\_IDLE, or before establishing an RRC connection if using eDRX with DRX cycle longer than the modification period:
    - 3> if *schedulingInfoList* indicates that *SystemInformationBlockType14* is present:
      - 4> start acquiring SystemInformationBlockType14 immediately;
    - 3> else:
      - 4> discard SystemInformationBlockType14, if previously received;
- NOTE 4: EAB capable UEs start acquiring *SystemInformationBlockType14* as described above even when *systemInfoValueTag* in *SystemInformationBlockType1* has not changed.
- NOTE 5: EAB capable UEs maintain an up to date SystemInformationBlockType14 in RRC\_IDLE.
- 1> if the UE is capable of sidelink communication and is configured by upper layers to receive or transmit sidelink communication:
  - 2> if the cell used for sidelink communication meets the S-criteria as defined in TS 36.304 [4]; and
  - 2> if *schedulingInfoList* indicates that *SystemInformationBlockType18* is present and the UE does not have stored a valid version of this system information block:
    - 3> acquire SystemInformationBlockType18;

- 1> if the UE is capable of sidelink discovery and is configured by upper layers to receive or transmit sidelink discovery announcements on the primary frequency:
  - 2> if *schedulingInfoList* of the serving cell/ PCell indicates that *SystemInformationBlockType19* is present and the UE does not have stored a valid version of this system information block:
    - 3> acquire SystemInformationBlockType19;
- 1> if the UE is capable of sidelink discovery and, for each of the one or more frequencies included in discInterFreqList, if included in SystemInformationBlockType19 and for which the UE is configured by upper layers to receive sidelink discovery announcements on:
  - 2> if SystemInformationBlockType19 of the serving cell/ PCell does not provide the corresponding reception resources; and
  - 2> if *schedulingInfoList* of the cell on the concerned frequency indicates that *SystemInformationBlockType19* is present and the UE does not have stored a valid version of this system information block:
    - 3> acquire SystemInformationBlockType19;
- 1> if the UE is capable of sidelink discovery and, for each of the one or more frequencies included in *discInterFreqList*, if included in *SystemInformationBlockType19* and for which the UE is configured by upper layers to transmit sidelink discovery announcements on:
  - 2> if SystemInformationBlockType19 of the serving cell/ PCell includes discTxResourcesInterFreq which is set to acquireSI-FromCarrier; and
  - 2> if *schedulingInfoList* of the cell on the concerned frequency indicates that *SystemInformationBlockType19* is present and the UE does not have stored a valid version of this system information block:
    - 3> acquire SystemInformationBlockType19;
- 1> if the UE is a NB-IoT UE connected to EPC and if *ab-Enabled* included in *MasterInformationBlock-NB/MasterInformationBlock-TDD-NB* is set to *TRUE*:
  - 2> not initiate the RRC connection establishment/resume procedure for all access causes except mobile terminating calls until the UE has acquired the *SystemInformationBlockType14-NB*;
- 1> if the UE is capable of V2X sidelink communication and is configured by upper layers to receive or transmit V2X sidelink communication on a frequency:
  - 2> if *schedulingInfoList* on the serving cell/PCell indicates that *SystemInformationBlockType21* is present and the UE does not have stored valid version of this system information block:
    - 3> acquire SystemInformationBlockType21 from serving cell/PCell;
  - 2> if *schedulingInfoList* on the serving cell/PCell indicates that *SystemInformationBlockType26* is present and the UE does not have stored valid version of this system information block;
    - 3> acquire SystemInformationBlockType26 from serving cell/PCell;
- 1> if the UE is capable of V2X sidelink communication and is configured by upper layers to receive V2X sidelink communication on a frequency, which is not primary frequency:
  - 2> if neither *SystemInformationBlockType21* nor *SystemInformationBlockType26* of the serving cell/ PCell provide reception resource pool for V2X sidelink communication for the concerned frequency; and
  - 2> if the cell used for V2X sidelink communication on the concerned frequency meets the S-criteria as defined in TS 36.304 [4]:
    - 3> if *schedulingInfoList* on the concerned frequency indicates that *SystemInformationBlockType21* is present and the UE does not have stored a valid version of this system information block:
      - 4> acquire SystemInformationBlockType21 from the concerned frequency;
    - 3> if *schedulingInfoList* on the concerned frequency indicates that *SystemInformationBlockType26* is present and the UE does not have stored a valid version of this system information block:

- 4> acquire SystemInformationBlockType26 from the concerned frequency;
- 1> if the UE is capable of V2X sidelink communication and is configured by upper layers to transmit V2X sidelink communication on a frequency, which is not primary frequency and is not included in *v2x-InterFreqInfoList* in *SystemInformationBlockType21* nor *SystemInformationBlockType26* of the serving cell/PCell:
  - 2> if the cell used for V2X sidelink communication on the concerned frequency meets the S-criteria as defined in TS 36.304 [4]:
    - 3> if *schedulingInfoList* on the concerned frequency indicates that *SystemInformationBlockType21* is present and the UE does not have stored a valid version of this system information block:
      - 4> acquire SystemInformationBlockType21 from the concerned frequency;
    - 3> if *schedulingInfoList* on the concerned frequency indicates that *SystemInformationBlockType26* is present and the UE does not have stored a valid version of this system information block:
      - 4> acquire SystemInformationBlockType26 from the concerned frequency;
- 1> if the NB-IoT UE supports NPRACH resources using preamble format 2:
  - 2> if *schedulingInfoList* indicates that *SystemInformationBlockType23-NB* is present and the UE does not have stored a valid version of this system information block:
    - 3> acquire SystemInformationBlockType23-NB;
- 1> following a request from positioning upper layers:
  - 2> acquire SystemInformationBlockPos, as defined in 5.2.3;
- 1> if the UE is capable of NR sidelink communication and is configured by upper layers to receive or transmit NR sidelink communication on a frequency:
  - 2> if *schedulingInfoList* on the serving cell/PCell indicates that *SystemInformationBlockType28* is present and the UE does not have stored valid version of this system information block:
    - 3> acquire SystemInformationBlockType28 from serving cell/PCell;
- 1> if the UE connected to 5GC is a BL UE or a UE in CE:
  - 2> when the UE does not have stored a valid version of *SystemInformationBlockType25* upon entering RRC\_IDLE, or when the UE acquires *SystemInformationBlockType1-BR* following UAC parameters change notification, or upon entering a cell during RRC\_IDLE, or before establishing, resuming or re-establishing an RRC connection if using an eDRX cycle longer than the modification period:
    - 3> if schedulingInfoList indicates that SystemInformationBlockType25 is present:
      - 4> start acquiring *SystemInformationBlockType25* immediately before establishing, resuming or reestablishing an RRC connection;
    - 3> else:
      - 4> discard SystemInformationBlockType25, if previously received;
- NOTE 5a: When connected to 5GC, BL UEs or a UEs in CE start acquiring *SystemInformationBlockType25* as described above even when *systemInfoValueTag* in *SystemInformationBlockType1-BR* has not changed.
- NOTE 5b: When connected to 5GC, BL UEs or a UEs in CE maintain an up to date *SystemInformationBlockType25* in RRC\_IDLE.
- 1> if the UE is a NB-IoT UE connected to 5GC and if *ab-Enabled5GC* included in *MasterInformationBlock-NB/MasterInformationBlock-TDD-NB* is set to *TRUE*:
  - 2> not initiate the RRC connection establishment/resume/re-establishment procedure for all access causes until the UE has acquired the *SystemInformationBlockType14-NB*;
- 1> if the UE is NTN capable:

- 2> if *schedulingInfoList* indicates that *SystemInformationBlockType31* (*SystemInformationBlockType31-NB* in NB-IoT) is present:
  - 3> immediately before establishing, resuming or re-establishing an RRC connection; or
  - 3> immediately before EDT or transmission using PUR; or
  - 3> if in RRC\_CONNECTED and T317 is not running:
    - 4> acquire SystemInformationBlockType31 (SystemInformationBlockType31-NB in NB-IoT);
- 2> if the UE supports discontinuous coverage; and
- 2> if *schedulingInfoList* indicates that *SystemInformationBlockType32* (*SystemInformationBlockType32-NB* in NB-IoT) is present and the UE does not have a valid version of this system information block:
  - 3> acquire SystemInformationBlockType32 (SystemInformationBlockType32-NB in NB-IoT);

The UE may apply the received SIBs or posSIBs immediately, i.e. the UE does not need to delay using a SIB or posSIB until all SI messages have been received. The UE may delay applying the received SIBs until completing lower layer procedures associated with a received or a UE originated RRC message, e.g. an ongoing random access procedure.

NOTE 6: While attempting to acquire a particular SIB/posSIB, if the UE detects from *schedulingInfoList/ posSchedulingInfoList* that it is no longer present, the UE should stop trying to acquire the particular SIB/ posSIB.

## 5.2.2.5 Essential system information missing

The UE shall:

- 1> if in RRC\_IDLE, RRC\_INACTIVE or in RRC\_CONNECTED while T311 is running:
  - 2> if the UE is unable to acquire the *MasterInformationBlock (MasterInformationBlock-NB/MasterInformationBlock-TDD-NB* in NB-IoT); or
  - 2> if the UE is neither a BL UE nor in CE nor in NB-IoT and the UE is unable to acquire the SystemInformationBlockType1; or
  - 2> if the BL UE or UE in CE is unable to acquire *SystemInformationBlockType1-BR* or *SystemInformationBlockType1-BR* is not scheduled; or
  - 2> if the NB-IoT UE is unable to acquire the *SystemInformationBlockType1-NB*:
    - 3> consider the cell as barred in accordance with TS 36.304 [4]; and
    - 3> perform barring as if intraFreqReselection is set to allowed, and as if the csg-Indication is set to FALSE;
  - 2> else:
    - 3> if the UE is unable to acquire the *SystemInformationBlockType2* (or *SystemInformationBlockType2-NB* in NB-IoT) and for NB-IoT, *SystemInformationBlockType22-NB* if scheduled; or
    - 3> if SystemInformationBlockType25 is broadcast and if the UE is connected to 5GC and is unable to acquire the SystemInformationBlockType25; or
    - 3> if the UE is NTN capable, *SystemInformationBlockType31* (*SystemInformationBlockType31-NB* in NB-IoT) is broadcast and if the UE is unable to acquire the *SystemInformationBlockType31* (*SystemInformationBlockType31-NB* in NB-IoT):
      - 4> treat the cell as barred in accordance with TS 36.304 [4];

#### 5.2.2.6 Actions upon reception of the *MasterInformationBlock* message

Upon receiving the MasterInformationBlock message the UE shall:

1> apply the radio resource configuration included in the *phich-Config*;

- 1> if the UE is in RRC\_IDLE or if the UE is in RRC\_CONNECTED while T311 is running:
  - 2> if the UE has no valid system information stored according to 5.2.2.3 for the concerned cell:
    - 3> apply the received value of *dl-Bandwidth* to the *ul-Bandwidth* until *SystemInformationBlockType2* is received;

Upon receiving the MasterInformationBlock-NB or MasterInformationBlock-TDD-NB message the UE shall:

1> apply the radio resource configuration included in accordance with the *operationModeInfo*.

No UE requirements related to the contents of *MasterInformationBlock-MBMS* apply other than those specified elsewhere e.g. within procedures using the concerned system information, and/ or within the corresponding field descriptions.

#### 5.2.2.7 Actions upon reception of the *SystemInformationBlockType1* message

Upon receiving the *SystemInformationBlockType1* or *SystemInformationBlockType1-BR* either via broadcast or via dedicated signalling, the UE shall:

- 1> if the upper layers indicate the selected core network type as 5GC:
  - 2> if the *cellAccessRelatedInfoList-5GC* contains an entry with the *plmn-Identity* or *plmn-Index* of the selected PLMN:
    - 3> in the remainder of the procedures use *plmn-IdentityList*, *trackingAreaCode*, and *cellIdentity* for the cell as received in the corresponding *cellAccessRelatedInfoList-5GC* containing the selected PLMN;
- 1> else if the cellAccessRelatedInfoList contains an entry with the PLMN-Identity of the selected PLMN:
  - 2> in the remainder of the procedures use *plmn-IdentityList*, *trackingAreaCode*, *trackingAreaList* and *cellIdentity* for the cell as received in the corresponding *cellAccessRelatedInfoList* containing the selected PLMN;
- 1> if in RRC\_IDLE or in RRC\_CONNECTED while T311 is running; and
- 1> if the UE is a category 0 UE according to TS 36.306 [5]; and
- 1> if *category0Allowed* is not included in *SystemInformationBlockType1*:
  - 2> consider the cell as barred in accordance with TS 36.304 [4];
- 1> if in RRC\_CONNECTED while T311 is not running, and the UE supports multi-band cells as defined by bit 31 in featureGroupIndicators:
  - 2> disregard the freqBandIndicator and multiBandInfoList, if received, while in RRC\_CONNECTED;
  - 2> forward the *cellIdentity* to upper layers;
  - 2> forward the *trackingAreaCode* to upper layers;
  - 2> forward the *trackingAreaList* to upper layers, if present;

#### 1> else:

- 2> if UE is IAB-MT and if *iab-Support* is not provided for the selected PLMN nor the registered PLMN nor PLMN of the equivalent PLMN list:
  - 3> consider the cell as barred for IAB-MT in accordance with TS 36.304 [4];
  - 3> perform barring as if intraFreqReselection is set to allowed, and as if the csg-Indication is set to FALSE;
- 2> else:
  - 3> if the frequency band indicated in the *freqBandIndicator* is part of the frequency bands supported by the UE and it is not a downlink only band; or

- 3> if the UE supports *multiBandInfoList*, and if one or more of the frequency bands indicated in the *multiBandInfoList* are part of the frequency bands supported by the UE and they are not downlink only bands:
  - 4> forward the *cellIdentity* to upper layers;
  - 4> forward the *trackingAreaCode* to upper layers;
  - 4> forward the *trackingAreaList* to upper layers, if present;
  - 4> forward the PLMN identity to upper layers;
  - 4> if in RRC\_INACTIVE and the forwarded information does not trigger message transmission by upper layers:
    - 5> if the serving cell does not belong to the configured ran-NotificationAreaInfo:
      - 6> initiate an RNA update as specified in 5.3.17.2;
  - 4> forward the *ims-EmergencySupport* to upper layers, if present;
  - 4> forward the *eCallOverIMS-Support* to upper layers, if present;
  - 4> if the UE is capable of 5G NAS:
    - 5> forward the *ims-EmergencySupport5GC* to upper layers, if present;
    - 5> forward the *eCallOverIMS-Support5GC* to upper layers, if present;
    - 5> forward *cp-CIoT-5GS-Optimisation* to upper layers, if present for the selected PLMN;
    - 5> forward *up-CIoT-5GS-Optimisation* to upper layers, if present for the selected PLMN;
  - 4> if, for the frequency band selected by the UE (from freqBandIndicator or multiBandInfoList), the freqBandInfo or the multiBandInfoList-v10j0 is present and the UE capable of multiNS-Pmax supports at least one additionalSpectrumEmission in the NS-PmaxList within the freqBandInfo or multiBandInfoList-v10j0:
    - 5> apply the first listed *additionalSpectrumEmission* which it supports among the values included in *NS-PmaxList* within *freqBandInfo* or *multiBandInfolist-v10j0*;
    - 5> if the *additionalPmax* is present in the same entry of the selected *additionalSpectrumEmission* within *NS-PmaxList*:
      - 6> apply the additionalPmax;
    - 5> else:
      - 6> apply the *p-Max*;
  - 4> else:
    - 5> apply the additional Spectrum Emission in System Information Block Type 2 and the p-Max;
- 3> else:
  - 4> consider the cell as barred in accordance with TS 36.304 [4]; and
  - 4> perform barring as if *intraFreqReselection* is set to *notAllowed*, and as if the *csg-Indication* is set to *FALSE*;

Upon receiving the SystemInformationBlockType1-NB, the UE shall:

- 1> if the upper layers indicate the selected core network type as 5GC:
  - 2> in the remainder of the procedures use *plmn-IdentityList*, *trackingAreaCode*, and *cellIdentity* for the cell as received in the *cellAccessRelatedInfo-5GC*;

#### 1> else:

- 2> in the remainder of the procedures use *plmn-IdentityList*, *trackingAreaCode*, *trackingAreaList* and *cellIdentity* for the cell as received in the *cellAccessRelatedInfo*;
- 1> if the frequency band indicated in the freqBandIndicator is part of the frequency bands supported by the UE; or
- 1> if one or more of the frequency bands indicated in the *multiBandInfoList* are part of the frequency bands supported by the UE:
  - 2> forward the *cellIdentity* to upper layers;
  - 2> forward the *trackingAreaCode* to upper layers;
  - 2> forward the *trackingAreaList* to upper layers, if present;
  - 2> if attachWithoutPDN-Connectivity is received for the selected PLMN:
    - 3> forward the attachWithoutPDN-Connectivity to upper layers;
  - 2> else:
    - 3> indicate to upper layers that attachWithoutPDN-Connectivity is not present;
  - 2> if the UE is capable of 5G NAS:
    - 3> forward ng-U-DataTransfer to upper layers, if present for the selected PLMN;
    - 3> forward *up-CIoT-5GS-Optimisation* to upper layers, if present for the selected PLMN;
  - 2> if, for the frequency band selected by the UE (from *freqBandIndicator* or *multiBandInfoList*), the *freqBandInfo* is present and the UE capable of *multiNS-Pmax* supports at least one *additionalSpectrumEmission* in the *NS-PmaxList* within the *freqBandInfo*:
    - 3> apply the first listed *additionalSpectrumEmission* which it supports among the values included in *NS-PmaxList* within *freqBandInfo*;
    - 3> if the *additionalPmax* is present in the same entry of the selected *additionalSpectrumEmission* within *NS-PmaxList*:
      - 4> apply the additionalPmax;
    - 3> else:
      - 4> apply the p-Max;
  - 2> else:
    - 3> apply the additional Spectrum Emission in System Information Block Type 2-NB and the p-Max;

#### 1> else:

- 2> consider the cell as barred in accordance with TS 36.304 [4]; and
- 2> perform barring as if *intraFreqReselection* is set to *notAllowed*.

No UE requirements related to the contents of *SystemInformationBlockType1-MBMS* apply other than those specified elsewhere e.g. within procedures using the concerned system information, and/ or within the corresponding field descriptions.

# 5.2.2.8 Actions upon reception of *SystemInformation* messages

No UE requirements related to the contents of the *SystemInformation* messages apply other than those specified elsewhere e.g. within procedures using the concerned system information, and/ or within the corresponding field descriptions.

## 5.2.2.9 Actions upon reception of *SystemInformationBlockType2*

Upon receiving SystemInformationBlockType2, the UE shall:

- 1> apply the configuration included in the radioResourceConfigCommon;
- 1> derive the DRX cycle as specified in TS 36.304 [4], clause 7.1;
- 1> if the *mbsfn-SubframeConfigList* is included:
  - 2> consider that DL assignments may occur in the MBSFN subframes indicated in the *mbsfn-SubframeConfigList* under the conditions specified in TS 36.213 [23], clause 7.1;
- 1> apply the specified PCCH configuration defined in 9.1.1.3;
- 1> not apply the *timeAlignmentTimerCommon*;
- 1> if in RRC\_CONNECTED and UE is configured with RLF timers and constants values received within *rlf-TimersAndConstants*:
  - 2> not update its values of the timers and constants in *ue-TimersAndConstants* except for the value of timer T300;
- 1> if in RRC\_CONNECTED while T311 is not running; and the UE supports multi-band cells as defined by bit 31 in featureGroupIndicators or multipleNS-Pmax:
  - 2> disregard the additional Spectrum Emission and ul-Carrier Freq, if received, while in RRC\_CONNECTED;
- 1> if attachWithoutPDN-Connectivity is received for the selected PLMN:
  - 2> forward attachWithoutPDN-Connectivity to upper layers;
- 1> else:
  - 2> indicate to upper layers that attachWithoutPDN-Connectivity is not present;
- 1> if *cp-CloT-EPS-Optimisation* is received for the selected PLMN:
  - 2> forward *cp-CIoT-EPS-Optimisation* to upper layers;
- 1> else:
  - 2> indicate to upper layers that *cp-CIoT-EPS-Optimisation* is not present;
- 1> if *up-CIoT-EPS-Optimisation* is received for the selected PLMN:
  - 2> forward up-CIoT-EPS-Optimisation to upper layers;
- 1> else:
  - 2> indicate to upper layers that *up-CIoT-EPS-Optimisation* is not present;
- 1> if *SystemInformationBlockType26a* is not present:
  - 2> to upper layers either forward *upperLayerIndication*, if present for the selected PLMN, or otherwise indicate absence of this field:
- NOTE: *upperLayerIndication* is an indication to upper layers that the UE has entered a coverage area that offers 5G capabilities.
  - 1> to upper layers either forward rlos-Enabled, if present, or otherwise indicate absence of this field;

Upon receiving SystemInformationBlockType2-NB, the UE shall:

- 1> apply the configuration included in the *radioResourceConfigCommon*;
- 1> derive the DRX cycle as specified in TS 36.304 [4], clause 7.1;

- 1> if *SystemInformationBlockType22-NB* is scheduled:
  - 2> read and act on information sent in SystemInformationBlockType22-NB;
- 1> apply the specified PCCH configuration defined in 9.1.1.3.
- 1> if in RRC\_CONNECTED and UE is configured with RLF timers and constants values received within *rlf-TimersAndConstants*:
  - 2> not update its values of the timers and constants in *ue-TimersAndConstants* except for the value of timer T300:

Upon receiving SystemInformationBlockType2 (SystemInformationBlockType2-NB in NB-IoT), the UE shall:

- 1> if *up-PUR-5GC* is not included and the UE connected to 5GC in RRC\_IDLE with a suspended RRC connection is configured with *pur-Config*; or
- 1> if *up-PUR-EPC* is not included and the UE connected to EPC in RRC\_IDLE with a suspended RRC connection is configured with *pur-Config*; or
- 1> if *cp-PUR-5GC* is not included and the UE connected to 5GC in RRC\_IDLE without a suspended RRC connection is configured with *pur-Config*; or
- 1> if *cp-PUR-EPC* is not included and the UE connected to EPC in RRC\_IDLE without a suspended RRC connection is configured with *pur-Config*:
  - 2> if pur-TimeAlignmentTimer is configured, indicate to lower layers that pur-TimeAlignmentTimer is released;
  - 2> release pur-Config;
  - 2> discard previously stored pur-Config.

#### 5.2.2.10 Actions upon reception of SystemInformationBlockType3

Upon receiving *SystemInformationBlockType3*, the UE shall:

- 1> if in RRC\_IDLE, the redistributionServingInfo is included and the UE is redistribution capable:
  - 2> perform E-UTRAN inter-frequency redistribution procedure as specified in TS 36.304 [4], clause 5.2.4.10;
- 1> if in RRC\_IDLE, or in RRC\_CONNECTED while T311 is running:
  - 2> if, for the frequency band selected by the UE (from the procedure in clause 5.2.2.7) to represent the serving cell's carrier frequency, the *freqBandInfo* or the *multiBandInfoList-v10j0* is present in *SystemInformationBlockType3* and the UE capable of *multiNS-Pmax* supports at least one *additionalSpectrumEmission* in the NS-PmaxList within the *freqBandInfo* or *multiBandInfoList-v10j0*:
    - 3> apply the first listed *additionalSpectrumEmission* which it supports among the values included in *NS-PmaxList* within *freqBandInfo* or *multiBandInfoList-v10j0*;
    - 3> if the *additionalPmax* is present in the same entry of the selected *additionalSpectrumEmission* within *NS-PmaxList*:
      - 4> apply the additionalPmax;
    - 3> else:
      - 4> apply the p-Max;
  - 2> else:
    - 3> apply the *p-Max*;

Upon receiving SystemInformationBlockType3-NB, the UE shall:

1> if in RRC\_IDLE, or in RRC\_CONNECTED while T311 is running:

- 2> if, for the frequency band selected by the UE (from the procedure in clause 5.2.2.7) to represent the serving cell's carrier frequency, the *freqBandInfo* or the *multiBandInfoList* is present in *SystemInformationBlockType3-NB* and the UE capable of *multiNS-Pmax* supports at least one *additionalSpectrumEmission* in the *NS-PmaxList* within the *freqBandInfo* or the *multiBandInfoList*:
  - 3> apply the first listed *additionalSpectrumEmission* which it supports among the values included in *NS-PmaxList* within *freqBandInfo* or *multiBandInfoList*;
  - 3> if the *additionalPmax* is present in the same entry of the selected *additionalSpectrumEmission* within *NS-PmaxList*:
    - 4> apply the additionalPmax;
  - 3> else:
    - 4> apply the *p-Max*;
- 2> else:
  - 3> apply the p-Max;

## 5.2.2.11 Actions upon reception of SystemInformationBlockType4

No UE requirements related to the contents of this *SystemInformationBlock* (*SystemInformationBlockType4* or *SystemInformationBlockType4-NB*) apply other than those specified elsewhere e.g. within procedures using the concerned system information, and/ or within the corresponding field descriptions.

## 5.2.2.12 Actions upon reception of SystemInformationBlockType5

Upon receiving *SystemInformationBlockType5*, the UE shall:

- 1> if in RRC\_IDLE, the redistributionInterFreqInfo is included and the UE is redistribution capable:
  - 2> perform E-UTRAN inter-frequency redistribution procedure as specified in TS 36.304 [4], clause 5.2.4.10;
- 1> if in RRC\_IDLE, or in RRC\_CONNECTED while T311 is running:
  - 2> if the frequency band selected by the UE to represent a non-serving E UTRA carrier frequency is not a downlink only band:
    - 3> if, for the selected frequency band, the *freqBandInfo* or the *multiBandInfoList-v10j0* is present and the UE capable of *multiNS-Pmax* supports at least one *additionalSpectrumEmission* in the *NS-PmaxList* within *freqBandInfo* or *multiBandInfoList-v10j0*:
      - 4> apply the first listed *additionalSpectrumEmission* which it supports among the values included in *NS-PmaxList* within *freqBandInfo* or *multiBandInfoList-v10j0*;
      - 4> if the *additionalPmax* is present in the same entry of the selected *additionalSpectrumEmission* within *NS-PmaxList*:
        - 5> apply the additionalPmax;
      - 4> else:
        - 5> apply the p-Max;
    - 3> else:
      - 4> apply the p-Max;
- 1> if in RRC\_IDLE or RRC\_INACTIVE, and T331 is running:
  - 2> perform the actions as specified in 5.6.20.1a;

Upon receiving SystemInformationBlockType5-NB, the UE shall:

- 1> if in RRC\_IDLE, or in RRC\_CONNECTED while T311 is running:
  - 2> if, for the frequency band selected by the UE (from *multiBandInfoList*) to represent a non-serving NB-IoT carrier frequency, the *freqBandInfo* is present and the UE capable of *multiNS-Pmax* supports at least one *additionalSpectrumEmission* in the *NS-PmaxList* within the *freqBandInfo*:
    - 3> apply the first listed *additionalSpectrumEmission* which it supports among the values included in *NS-PmaxList* within *freqBandInfo*;
    - 3> if the *additionalPmax* is present in the same entry of the selected *additionalSpectrumEmission* within *NS-PmaxList*:
      - 4> apply the additionalPmax;
    - 3> else:
      - 4> apply the p-Max;
  - 2> else:
    - 3> apply the p-Max;

### 5.2.2.13 Actions upon reception of SystemInformationBlockType6

No UE requirements related to the contents of this *SystemInformationBlock* apply other than those specified elsewhere e.g. within procedures using the concerned system information, and/ or within the corresponding field descriptions.

#### 5.2.2.14 Actions upon reception of SystemInformationBlockType7

No UE requirements related to the contents of this *SystemInformationBlock* apply other than those specified elsewhere e.g. within procedures using the concerned system information, and/ or within the corresponding field descriptions.

# 5.2.2.15 Actions upon reception of SystemInformationBlockType8

Upon receiving SystemInformationBlockType8, the UE shall:

- 1> if sib8-PerPLMN-List is included and the UE is capable of network sharing for CDMA2000:
  - 2> apply the CDMA2000 parameters below corresponding to the RPLMN;
- 1> if the systemTimeInfo is included:
  - 2> forward the *systemTimeInfo* to CDMA2000 upper layers;
- 1> if the UE is in RRC\_IDLE and if searchWindowSize is included:
  - 2> forward the searchWindowSize to CDMA2000 upper layers;
- 1> if *parametersHRPD* is included:
  - 2> forward the *preRegistrationInfoHRPD* to CDMA2000 upper layers only if the UE has not received the *preRegistrationInfoHRPD* within an *RRCConnectionReconfiguration* message after entering this cell;
  - 2> if the cellReselectionParametersHRPD is included:
    - 3> forward the *neighCellList* to the CDMA2000 upper layers;
- 1> if the *parameters1XRTT* is included:
  - 2> if the *csfb-RegistrationParam1XRTT* is included:
    - 3> forward the *csfb-RegistrationParam1XRTT* to the CDMA2000 upper layers which will use this information to determine if a CS registration/re-registration towards CDMA2000 1xRTT in the EUTRA cell is required;
  - 2> else:

- 3> indicate to CDMA2000 upper layers that CSFB Registration to CDMA2000 1xRTT is not allowed;
- 2> if the *longCodeState1XRTT* is included:
  - 3> forward the longCodeState1XRTT to CDMA2000 upper layers;
- 2> if the *cellReselectionParameters1XRTT* is included:
  - 3> forward the *neighCellList* to the CDMA2000 upper layers;
- 2> if the *csfb-SupportForDualRxUEs* is included:
  - 3> forward *csfb-SupportForDualRxUEs* to the CDMA2000 upper layers;
- 2> else:
  - 3> forward csfb-SupportForDualRxUEs, with its value set to FALSE, to the CDMA2000 upper layers;
- 2> if ac-BarringConfig1XRTT is included:
  - 3> forward ac-BarringConfig1XRTT to the CDMA2000 upper layers;
- 2> if the *csfb-DualRxTxSupport* is included:
  - 3> forward csfb-DualRxTxSupport to the CDMA2000 upper layers;
- 2> else:
  - 3> forward csfb-DualRxTxSupport, with its value set to FALSE, to the CDMA2000 upper layers;

## 5.2.2.16 Actions upon reception of SystemInformationBlockType9

Upon receiving SystemInformationBlockType9, the UE shall:

1> if *hnb-Name* is included, forward the *hnb-Name* to upper layers;

#### 5.2.2.17 Actions upon reception of SystemInformationBlockType10

Upon receiving *SystemInformationBlockType10*, the UE shall:

1> forward the received warning Type, message Identifier and serial Number to upper layers;

## 5.2.2.18 Actions upon reception of SystemInformationBlockType11

Upon receiving *SystemInformationBlockType11*, the UE shall:

- 1> if there is no current value for messageIdentifier and serialNumber for SystemInformationBlockType11; or
- 1> if either the received value of *messageIdentifier* or of *serialNumber* or of both are different from the current values of *messageIdentifier* and *serialNumber* for *SystemInformationBlockType11*:
  - 2> use the received values of *messageIdentifier* and *serialNumber* for *SystemInformationBlockType11* as the current values of *messageIdentifier* and *serialNumber* for *SystemInformationBlockType11*;
  - 2> discard any previously buffered warningMessageSegment;
  - 2> if all segments of a warning message have been received:
    - 3> assemble the warning message from the received warningMessageSegment;
    - 3> forward the received warning message, *messageIdentifier*, *serialNumber* and *dataCodingScheme* to upper layers;
    - 3> stop reception of *SystemInformationBlockType11*;
    - 3> discard the current values of messageIdentifier and serialNumber for SystemInformationBlockType11;

- 2> else:
  - 3> store the received warningMessageSegment;
  - 3> continue reception of SystemInformationBlockType11;
- 1> else if all segments of a warning message have been received:
  - 2> assemble the warning message from the received warningMessageSegment;
  - 2> forward the received complete warning message, *messageIdentifier*, *serialNumber* and *dataCodingScheme* to upper layers;
  - 2> stop reception of *SystemInformationBlockType11*;
  - 2> discard the current values of messageIdentifier and serialNumber for SystemInformationBlockType11;
- 1> else:
  - 2> store the received warningMessageSegment;
  - 2> continue reception of SystemInformationBlockType11;

The UE should discard any stored *warningMessageSegment* and the current value of *messageIdentifier* and *serialNumber* for *SystemInformationBlockType11* if the complete warning message has not been assembled within a period of 3 hours.

## 5.2.2.19 Actions upon reception of SystemInformationBlockType12

Upon receiving *SystemInformationBlockType12*, the UE shall:

- 1> if the *SystemInformationBlockType12* contains a complete warning message and the complete geographical area coordinates (if any):
  - 2> forward the received warning message, *messageIdentifier*, *serialNumber*, *dataCodingScheme* and the geographical area coordinates (if any) to upper layers;
  - 2> continue reception of SystemInformationBlockType12;

#### 1> else:

- 2> if the received values of *messageIdentifier* and *serialNumber* are the same (each value is the same) as a pair for which a warning message and the geographical area coordinates (if any) are currently being assembled:
  - 3> store the received warningMessageSegment;
  - 3> store the received warningAreaCoordinatesSegment (if any);
  - 3> if all segments of a warning message and geographical area coordinates (if any) have been received:
    - 4> assemble the warning message from the received warningMessageSegment;
    - 4> assemble the geographical area coordinates from the received warningAreaCoordinatesSegment (if any);
    - 4> forward the received warning message, *messageIdentifier*, *serialNumber*, *dataCodingScheme* and geographical area coordinates (if any) to upper layers;
    - 4> stop assembling a warning message and warning area coordinates (if any) for this *messageIdentifier* and *serialNumber* and delete all stored information held for it;
  - 3> continue reception of SystemInformationBlockType12;
- 2> else if the received values of *messageIdentifier* and/or *serialNumber* are not the same as any of the pairs for which a warning message is currently being assembled:
  - 3> start assembling a warning message for this messageIdentifier and serialNumber pair;

- 3> start assembling the geographical area coordinates (if any) for this *messageIdentifier* and *serialNumber* pair;
- 3> store the received warningMessageSegment;
- 3> store the received warningAreaCoordinatesSegment (if any);
- 3> continue reception of SystemInformationBlockType12;

The UE should discard warningMessageSegment and warningAreaCoordinatesSegment (if any) and the associated values of messageIdentifier and serialNumber for SystemInformationBlockType12 if the complete warning message and the warning area coordinates (if any) have not been assembled within a period of 3 hours.

NOTE: The number of warning messages that a UE can re-assemble simultaneously is a function of UE implementation.

## 5.2.2.20 Actions upon reception of SystemInformationBlockType13

No UE requirements related to the contents of this *SystemInformationBlock* apply other than those specified elsewhere e.g. within procedures using the concerned system information, and/ or within the corresponding field descriptions.

### 5.2.2.21 Actions upon reception of SystemInformationBlockType14

No UE requirements related to the contents of this *SystemInformationBlock* (*SystemInformationBlockType14* or *SystemInformationBlockType14-NB*) apply other than those specified elsewhere e.g. within procedures using the concerned system information, and/ or within the corresponding field descriptions.

#### 5.2.2.22 Actions upon reception of SystemInformationBlockType15

No UE requirements related to the contents of this *SystemInformationBlock* (*SystemInformationBlockType15* or *SystemInformationBlockType15-NB*) apply other than those specified elsewhere e.g. within procedures using the concerned system information, and/ or within the corresponding field descriptions.

#### 5.2.2.23 Actions upon reception of SystemInformationBlockType16

Upon receiving *SystemInformationBlockType16* with *timeReferenceInfo*, the UE may perform the related actions as specified in clause 5.6.1.3.

## 5.2.2.24 Actions upon reception of SystemInformationBlockType17

Upon receiving SystemInformationBlockType17, the UE shall:

- 1> if wlan-OffloadConfigCommon corresponding to the RPLMN is included:
  - 2> if the UE is not configured with rclwi-Configuration with command set to steerToWLAN:
    - 3> apply the wlan-Id-List corresponding to the RPLMN;
  - 2> if not configured with the wlan-OffloadConfigDedicated:
    - 3> apply the wlan-OffloadConfigCommon corresponding to the RPLMN;

## 5.2.2.25 Actions upon reception of SystemInformationBlockType18

Upon receiving SystemInformationBlockType18, the UE shall:

- 1> if SystemInformationBlockType18 message includes the commConfig:
  - 2> if configured to receive sidelink communication:
    - 3> from the next SC period, as defined by *sc-Period*, use the resource pool indicated by *commRxPool* for sidelink communication monitoring, as specified in 5.10.3;

- 2> if configured to transmit sidelink communication:
  - 3> from the next SC period, as defined by *sc-Period*, use the resource pool indicated by *commTxPoolNormalCommon*, *commTxPoolNormalCommonExt* or by *commTxPoolExceptional* for sidelink communication transmission, as specified in 5.10.4;

## 5.2.2.26 Actions upon reception of SystemInformationBlockType19

Upon receiving SystemInformationBlockType19, the UE shall:

- 1> if SystemInformationBlockType19 message includes the discConfig or discConfigPS:
  - 2> from the next discovery period, as defined by *discPeriod*, use the resources indicated by *discRxPool*, *discRxResourcesInterFreq* or *discRxPoolPS* for sidelink discovery monitoring, as specified in 5.10.5;
  - 2> if SystemInformationBlockType19 message includes the discTxPoolCommon or discTxPoolPS-Common; and the UE is in RRC\_IDLE:
    - 3> from the next discovery period, as defined by *discPeriod*, use the resources indicated by *discTxPoolCommon* or *discTxPoolPS-Common* for sidelink discovery announcement, as specified in 5.10.6;
  - 2> if the SystemInformationBlockType19 message includes the discTxPowerInfo:
    - 3> use the power information included in *discTxPowerInfo* for sidelink discovery transmission on the serving frequency, as specified in TS 36.213 [23];
- 1> if SystemInformationBlockType19 message includes the discConfigRelay:
  - 2> if the *SystemInformationBlockType19* message includes the *txPowerInfo*:
    - 3> use the power information included in *txPowerInfo* for sidelink discovery transmission on the corresponding non-serving frequency, as specified in TS 36.213 [23];

#### 5.2.2.27 Actions upon reception of SystemInformationBlockType20

No UE requirements related to the contents of this *SystemInformationBlock* (*SystemInformationBlockType20* or *SystemInformationBlockType20-NB*) apply other than those specified elsewhere e.g. within procedures using the concerned system information, and/ or within the corresponding field descriptions.

#### 5.2.2.28 Actions upon reception of SystemInformationBlockType21

Upon receiving SystemInformationBlockType21, the UE shall:

- 1> if SystemInformationBlockType21 message includes sl-V2X-ConfigCommon:
  - 2> if configured to receive V2X sidelink communication:
    - 3> use the resource pool indicated by v2x-CommRxPool in sl-V2X-ConfigCommon for V2X sidelink communication monitoring, as specified in 5.10.12;
  - 2> if configured to transmit V2X sidelink communication:
    - 3> use the resource pool indicated by v2x-CommTxPoolNormalCommon, p2x-CommTxPoolNormalCommon, v2x-CommTxPoolNormal, p2x-CommTxPoolNormal or by v2x-CommTxPoolExceptional for V2X sidelink communication transmission, as specified in 5.10.13;
    - 3> perform CBR measurement on the transmission resource pool(s) indicated by v2x-CommTxPoolNormalCommon, v2x-CommTxPoolNormal and v2x-CommTxPoolExceptional for V2X sidelink communication transmission, as specified in 5.5.3;

# 5.2.2.29 Actions upon reception of SystemInformationBlockType22-NB

No UE requirements related to the contents of this *SystemInformationBlock* apply other than those specified elsewhere e.g. within procedures using the concerned system information, and/ or within the corresponding field descriptions.

## 5.2.2.30 Actions upon reception of SystemInformationBlockType23-NB

No UE requirements related to the contents of this *SystemInformationBlock* apply other than those specified elsewhere e.g. within procedures using the concerned system information, and/ or within the corresponding field descriptions.

## 5.2.2.31 Actions upon reception of SystemInformationBlockType24

Upon receiving *SystemInformationBlockType24*, the UE shall:

- 1> if in RRC\_IDLE or RRC\_INACTIVE, and T331 is running:
  - 2> perform the actions as specified in 5.6.20.1a;

## 5.2.2.32 Actions upon reception of SystemInformationBlockType25

No UE requirements related to the contents of this *SystemInformationBlock* apply other than those specified elsewhere e.g. within procedures using the concerned system information, and/ or within the corresponding field descriptions.

## 5.2.2.33 Actions upon reception of SystemInformationBlockType26

Upon receiving SystemInformationBlockType26, the UE shall:

- 1> if configured to receive V2X sidelink communication:
  - 2> use the resource pool indicated by *v2x-CommRxPool* for V2X sidelink communication monitoring, as specified in 5.10.12;
- 1> if configured to transmit V2X sidelink communication:
  - 2> use the resource pool indicated by v2x-CommTxPoolNormal, p2x-CommTxPoolNormal or by v2x-CommTxPoolExceptional for V2X sidelink communication transmission, as specified in 5.10.13;
  - 2> perform CBR measurement on the transmission resource pool(s) indicated by *v2x-CommTxPoolNormal* and *v2x-CommTxPoolExceptional* for V2X sidelink communication transmission, as specified in 5.5.3;

## 5.2.2.33a Actions upon reception of SystemInformationBlockType26a

Upon receiving *SystemInformationBlockType26a* the UE shall:

- 1> if *nrBandList* is included for the selected PLMN and the UE supports to operate in EN-DC using the serving cell and at least one of NR bands in *nrBandList*:
  - 2> forward upperLayerIndication, as if the UE receives this field from SIB2, to upper layers;
- 1> else:
  - 2> indicate upper layers absence of upperLayerIndication;

## 5.2.2.34 Actions upon reception of SystemInformationBlockPos

No UE requirements related to the contents of the *SystemInformationBlockPos* apply other than those specified elsewhere e.g. within TS 36.355 [54], and/or within the corresponding field descriptions.

## 5.2.2.35 Actions upon reception of SystemInformationBlockType27

No UE requirements related to the contents of this *SystemInformationBlock* (*SystemInformationBlockType27* or *SystemInformationBlockType27-NB*) apply other than those specified elsewhere e.g. within procedures using the concerned system information, and/ or within the corresponding field descriptions.

## 5.2.2.36 Actions upon reception of SystemInformationBlockType28

- 1> if the UE has stored at least one segment of SIB28 and the value tag of SIB28 has changed since a previous segment was stored:
  - 2> discard all stored segments;
- 1> store the segment;
- 1> if all segments have been received:
  - 2> assemble SIB12-IEs from the received segments;
  - 2> perform actions as specified in 5.2.2.4.13 in TS 38.331 [82].

The UE should discard any stored segments for *SIB28* if the complete *SIB28* has not been assembled within a period of 3 hours. The UE shall discard any stored segments for *SIB 28* upon cell (re-)selection.

## 5.2.2.37 Actions upon reception of SystemInformationBlockType29

No UE requirements related to the contents of this *SystemInformationBlock* apply other than those specified elsewhere e.g. within procedures using the concerned system information, and/ or within the corresponding field descriptions.

## 5.2.2.38 Actions upon reception of SystemInformationBlockType30

Upon receiving *SystemInformationBlockType30*, the UE shall:

1> forward the applicable disaster roaming information for each PLMN sharing the cell to upper layers.

## 5.2.2.39 Actions upon reception of SystemInformationBlockType31

Upon receiving SystemInformationBlockType31 (SystemInformationBlockType31-NB), the UE shall:

1> start or restart timer T317 with the duration *ul-SyncValidityDuration* from the subframe indicated by *epochTime*.

## 5.2.2.40 Actions upon reception of SystemInformationBlockType32

No UE requirements related to the contents of this *SystemInformationBlock* (*SystemInformationBlockType32* or *SystemInformationBlockType32-NB*) apply other than those specified elsewhere e.g. within procedures using the concerned system information, and/ or within the corresponding field descriptions.

# 5.2.3 Acquisition of an SI message

When acquiring an SI message, the UE shall:

- 1> determine the start of the SI-window for the concerned SI message as follows:
  - 2> if the concerned SI message is configured in the *schedulingInfoList*, *schedulingInfoListExt* (if present) or if the concerned SI message is configured in the *posSchedulingInfoList* and *si-posOffset* is not configured;
    - 3> for the concerned SI message, determine the number *n* which corresponds to the order of entry in the concatenated list of SI messages configured by *schedulingInfoList*, *schedulingInfoListExt* (if present) and *posSchedulingInfoList* in *SystemInformationBlockType1*;
    - 3> determine the integer value x = (n-1)\*w, where w is the si-WindowLength;

- 3> the SI-window starts at the subframe #a, where  $a = x \mod 10$ , in the radio frame for which SFN mod T = FLOOR(x/10), where T is the si-Periodicity or the posSI-Periodicity of the concerned SI message;
- 2> else if the concerned SI message is configured by the *posSchedulingInfoList* and *si-posOffset* is configured determine the start of the SI-window for the concerned SI message as follows:
  - 3> determine the number *m* which corresponds to the number of SI messages with an associated *si- Periodicity* of 8 radio frames (80 ms), configured by *schedulingInfoList* and *schedulingInfoListExt* (if present) in *SystemInformationBlockType1*;
  - 3> for the concerned SI message, determine the number *n* which corresponds to the order of entry in the list of SI messages configured by *posSchedulingInfoList* in *SystemInformationBlockType1*;
  - 3> determine the integer value  $x = m^*w + (n-1)^*w$ , where w is the si-WindowLength
  - 3> the SI-window starts at the subframe #a, where  $a = x \mod 10$ , in the radio frame for which SFN mod T = FLOOR(x/10) + 8, where T is the posSI-Periodicity of the concerned SI message;
- NOTE: E-UTRAN should configure an SI-window of 1 ms only if all SIs are scheduled before subframe #5 in radio frames for which SFN mod 2 = 0.
- 1> receive DL-SCH using the SI-RNTI from the start of the SI-window and continue until the end of the SI-window whose absolute length in time is given by *si-WindowLength*, or until the SI message was received, excluding the following subframes:
  - 2> subframe #5 in radio frames for which SFN mod 2 = 0;
  - 2> any MBSFN subframes;
  - 2> any uplink subframes in TDD;
- 1> if the SI message was not received by the end of the SI-window, repeat reception at the next SI-window occasion for the concerned SI message;

# 5.2.3a Acquisition of an SI message by BL UE or UE in CE or a NB-IoT UE

When acquiring an SI message, the BL UE or UE in CE or NB-IoT UE shall:

- 1> determine the start of the SI-window for the concerned SI message as follows:
  - 2> if the concerned SI message is configured in the *schedulingInfoList*, *schedulingInfoListExt* (if present) or if the concerned SI message is configured in the *posSchedulingInfoList* and *si-posOffset* is not configured;
    - 3> for the concerned SI message, determine the number *n* which corresponds to the order of entry in the concatenated list of SI messages configured by *schedulingInfoList*, *schedulingInfoListExt* (if present) in *SystemInformationBlockType1-BR* (or *SystemInformationBlockType1-NB* in NB-IoT) and *posSchedulingInfoList* in *SystemInformationBlockType1-BR*;
    - 3> determine the integer value x = (n-1)\*w, where w is the si-WindowLength-BR (or si-WindowLength in NB-IoT);
    - 3> if the UE is a NB-IoT UE:
      - 4> the SI-window starts at the subframe #0 in the radio frame for which (H-SFN \* 1024 + SFN) mod T = FLOOR(x/10) + Offset, where T is the si-Periodicity of the concerned SI message and, Offset is the offset of the start of the SI-Window (si-RadioFrameOffset);
    - 3> else:
      - 4> the SI-window starts at the subframe #0 in the radio frame for which SFN mod T = FLOOR(x/10), where T is the *si-Periodicity* or the *posSI-Periodicity* of the concerned SI message;
  - 2> else if the concerned SI message is configured by the *posSchedulingInfoList* and *si-posOffset* is configured determine the start of the SI-window for the concerned SI message as follows:

- 3> determine the number *m* which corresponds to the number of SI messages with an associated *si-Periodicity* of 8 radio frames (80 ms), configured by *schedulingInfoList* and *schedulingInfoListExt* (if present) in *SystemInformationBlockType1-BR*;
- 3> for the concerned SI message, determine the number *n* which corresponds to the order of entry in the list of SI messages configured by *posSchedulingInfoList* in *SystemInformationBlockType1-BR*;
- 3> determine the integer value  $x = m^*w + (n-1)^*w$ , where w is the si-WindowLength-BR;
- 3> the SI-window starts at the subframe #0 in the radio frame for which SFN mod T = FLOOR(x/10) + 8, where T is the *posSI-Periodicity* of the concerned SI message;

#### 1> if the UE is a NB-IoT UE:

2> receive and accumulate SI message transmissions on DL-SCH from the start of the SI-window and continue until the end of the SI-window whose absolute length in time is given by *si-WindowLength*, starting from the radio frames as provided in *si-RepetitionPattern* and in subframes as provided in *downlinkBitmap*, or until successful decoding of the accumulated SI message transmissions excluding the subframes used for transmission of NPSS, NSSS, *MasterInformationBlock-NB/MasterInformationBlock-TDD-NB* and *SystemInformationBlockType1-NB*. If there are not enough subframes for one SI message transmission in the radio frames as provided in *si-RepetitionPattern*, the UE shall continue to receive the SI message transmission in the radio frames following the radio frame indicated in *si-RepetitionPattern*;

#### 1> else:

- 2> receive and accumulate SI message transmissions on DL-SCH on narrowband provided by *si-Narrowband*, from the start of the SI-window and continue until the end of the SI-window whose absolute length in time is given by *si-WindowLength-BR*, only in radio frames as provided in *si-RepetitionPattern* and subframes as provided in *fdd-DownlinkOrTddSubframeBitmapBR* in *bandwidthReducedAccessRelatedInfo*, or until successful decoding of the accumulated SI message transmissions;
- 1> if the SI message was not possible to decode from the accumulated SI message transmissions by the end of the SI-window, continue reception and accumulation of SI message transmissions on DL-SCH in the next SIwindow occasion for the concerned SI message;

# 5.2.3b Acquisition of an SI message from MBMS-dedicated cell

When acquiring an SI message, the UE shall:

- 1> determine the start of the SI-window for the concerned SI message as follows:
  - 2> for the concerned SI message, determine the number *n* which corresponds to the order of entry in the list of SI messages configured by *schedulingInfoList* in *SystemInformationBlockType1-MBMS*;
  - 2> determine the integer value x = (n-1)\*w, where w is the si-WindowLength;
  - 2> the SI-window starts always at the subframe #a, where  $a = x \mod 10$ , in the radio frame for which SFN mod T = FLOOR(x/10), where T is the *si-Periodicity* of the concerned SI message;
- 1> receive DL-SCH using SI-RNTI with value in accordance with 36.321 [6] from the start of the SI-window and continue until the end of the SI-window whose absolute length in time is given by *si-WindowLength*, or until the SI message was received, excluding the following subframes:
  - 2> any MBSFN subframes;
- 1> if the SI message was not received by the end of the SI-window, repeat reception at the next SI-window occasion for the concerned SI message;

# 5.3 Connection control

## 5.3.1 Introduction

#### 5.3.1.1 RRC connection control

RRC connection establishment involves the establishment of SRB1. Except for EDT and transmission using PUR, E-UTRAN completes RRC connection establishment prior to completing the establishment of the S1 connection, i.e. prior to receiving the UE context information from the EPC. Consequently, AS security is not activated during the initial phase of the RRC connection. During this initial phase of the RRC connection, the E-UTRAN may configure the UE to perform measurement reporting, but the UE only sends the corresponding measurement reports after successful security activation. However, the UE only accepts a handover message when security has been activated.

NOTE 1: In case the serving frequency broadcasts multiple overlapping bands, E-UTRAN can only configure measurements after having obtained the UE capabilities, as the measurement configuration needs to be set according to the band selected by the UE.

Upon receiving the UE context from the EPC, E-UTRAN activates security (both ciphering and integrity protection) using the initial security activation procedure. The RRC messages to activate security (command and successful response) are integrity protected, while ciphering is started only after completion of the procedure. That is, the response to the message used to activate security is not ciphered, while the subsequent messages (e.g. used to establish SRB2 and DRBs) are both integrity protected and ciphered.

After having initiated the initial security activation procedure, E-UTRAN initiates the establishment of SRB2 and DRBs, i.e. E-UTRAN may do this prior to receiving the confirmation of the initial security activation from the UE. In any case, E-UTRAN will apply both ciphering and integrity protection for the RRC connection reconfiguration messages used to establish SRB2 and DRBs. E-UTRAN should release the RRC connection if the initial security activation and/ or the radio bearer establishment fails (i.e. security activation and DRB establishment are triggered by a joint S1-procedure, which does not support partial success).

For SRB2 and DRBs, security is always activated from the start, i.e. the E-UTRAN does not establish these bearers prior to activating security.

For some radio configuration fields, a critical extension has been defined. A switch from the original version of the field to the critically extended version is allowed using any connection reconfiguration. The UE reverts to the original version of some critically extended fields upon handover and re-establishment as specified elsewhere in this specification. Otherwise, switching a field from the critically extended version to the original version is only possible using the handover or re-establishment procedure with the full configuration option. This also applies for fields that are critically extended within a release (i.e. original and extended version defined in same release).

After having initiated the initial security activation procedure, E-UTRAN may configure a UE that supports CA, with one or more SCells in addition to the PCell that was initially configured during connection establishment. The PCell is used to provide the security inputs and upper layer system information (i.e. the NAS mobility information e.g. TAI). SCells are used to provide additional downlink and optionally uplink radio resources. When not configured with any kind of DC, all SCells the UE is configured with, if any, are part of the MCG.

When configured with DC, some of the SCells are part of a SCG. In this case, user data carried by a DRB may either be transferred via MCG (i.e. MCG-DRB), via SCG (SCG-DRB) or via both MCG and SCG in DL while E-UTRAN configures the CG used in UL (split DRB). An RRC connection reconfiguration message may be used to change the DRB type from MCG-DRB to SCG-DRB or to split DRB, as well as from SCG-DRB or split DRB to MCG-DRB.

DC employs SCG change, which is a synchronous SCG reconfiguration procedure (i.e. involving RA to the PSCell) including reset/ re-establishment of layer 2 and, if SCG DRBs are configured, refresh of security. The procedure is used in a number of different scenarios e.g. SCG establishment, PSCell change, Key refresh, change of DRB type. The UE performs the SCG change related actions upon receiving an *RRCConnectionReconfiguration* message including *mobilityControlInfoSCG*, see 5.3.10.10.

In case of MR-DC, the cells of one CG use another RAT, namely NR. The configuration of an NR CG is specified in TS 38.331 [82]. When configured with MR-DC, user data carried by a DRB may either be transferred via MCG, via NR SCG or via both MCG and NR SCG. Also RRC signalling carried by a SRB may either be transferred via MCG or via both MCG and NR SCG. When DRBs and SRBs are configured with transmission via both MCG and SCG, duplication may be used in both DL and UL.

When connected to EPC, change to NR PDCP or vice versa can be done for both SRBs and DRBs as follows. For DRBs, it can be performed using an *RRCConnectionReconfiguration* message either with or without the *mobilityControlInfo* (handover) by release and addition of the concerned RB. For SRBs, it can be performed using an *RRCConnectionReconfiguration* message with the *mobilityControlInfo* (handover) by release and addition of the concerned PDCP entity. For SRBs and DRBs, it can also be performed using the full configuration option. The same *RRCConnectionReconfiguration* message may be used to make changes regarding the CG(s) used for transmission. For SRB1, change from E-UTRA PDCP to NR PDCP type may, before initial security activation, also be performed using an *RRCConnectionReconfiguration* message not including the *mobilityControlInfo*.

In case of (NG)EN-DC, there are three types of NR SCG reconfigurations:

- Reconfiguration with sync and key change i.e. a procedure involving RA to the PSCell, including NR MAC reset, re-establishment of NR RLC and NR PDCP and refresh of NR SCG security; and
- Reconfiguration with sync but without key change i.e. a procedure involving RA to the PSCell, including NR MAC reset and NR RLC re-establishment and PDCP data recovery (for AM DRB); and
- Regular NR SCG reconfiguration neither involving refresh of NR SCG security, nor RA to the PSCell, NR MAC reset or NR RLC re-establishment;

The network is only required to use the NR SCG reconfiguration with sync and key change in case the NR SCG security key changes (i.e. handover, change of SNs, S-KgNB refresh). Further details are specified in NR RRC TS 38.331 [82].

NOTE 2: In case of MR-DC, E-UTRA RRC configuration parameters should only affect E-UTRA operation. E.g., *s-Measure* only affects measurements configured by parameters defined in this specification. Should an E-UTRA RRC configuration change require a change of NR RRC configuration, the network should indicate such NR change by NR RRC signalling. E.g. a specific indication is used to trigger RLC reestablishment upon reconfigurations changing the CG(s) used for transmission (in DL or UL) that otherwise would only involve NR RRC signalling.

In this release of the specification, change between DC and MR-DC as well as change between DC and E-UTRA configured with SN terminated DRB without SCG are not supported (i.e. neither the direct reconfiguration nor specific measurement events). Likewise, the direct transition between (NG)EN-DC and NR DC or NE-DC is not supported in this release of the specification.

The release of the RRC connection normally is initiated by E-UTRAN. The procedure may be used to re-direct the UE to an E-UTRA frequency or an inter-RAT carrier frequency. Only in exceptional cases, as specified within this specification, TS 36.300 [9], TS 36.304 [4] or TS 24.301 [35], may the UE abort the RRC connection, i.e. move to RRC\_IDLE without notifying E-UTRAN.

The suspension of the RRC connection is initiated by E-UTRA/EPC or E-UTRA/5GC. When the RRC connection is suspended, the UE stores the UE AS context and the *resumeIdentity* (EPC) or I-RNTI (5GC), and transitions to RRC\_IDLE state. The RRC message to suspend the RRC connection is integrity protected and ciphered. Suspension can only be performed when at least 1 DRB is successfully established.

The resumption of a suspended RRC connection is initiated by upper layers when the UE has a stored UE AS context, RRC connection resume is permitted by E-UTRA/EPC or E-UTRA/5GC and the UE needs to transit from RRC\_IDLE state to RRC\_CONNECTED state. When the RRC connection is resumed, RRC configures the UE according to the RRC connection resume procedure based on the stored UE AS context and any RRC configuration received from E-UTRA/EPC or E-UTRA/5GC. The RRC connection resume procedure re-activates security and re-establishes SRB(s) and DRB(s). The request to resume the RRC connection includes the *resumeIdentity* (EPC) or I-RNTI (5GC). The request is not ciphered, but protected with a message authentication code.

In response to a request to resume the RRC connection, E-UTRA/EPC or E-UTRA/5GC may resume the suspended RRC connection, reject the request to resume and instruct the UE to either keep or discard the stored context, or setup a new RRC connection.

In case of CP-EDT or CP transmission using PUR, the data are appended in the *RRCEarlyDataRequest* and *RRCEarlyDataComplete* messages, if available, and sent over SRB0. In case of UP-EDT or UP transmission using PUR, security is re-activated prior to transmission of RRC message using the *nextHopChainingCount* provided in the *RRCConnectionRelease* message with suspend indication during the preceding suspend procedure and the radio bearers are re-established. The uplink data are transmitted ciphered on DTCH multiplexed with the *RRCConnectionResumeRequest* message on CCCH. In the downlink, the data, if available, are transmitted on DTCH

multiplexed with the *RRCConnectionRelease* message on DCCH. In response to a request for EDT or transmission using PUR, E-UTRA/EPC or E-UTRA/5GC may also choose to establish or resume the RRC connection.

A UE in RRC\_CONNECTED enters RRC\_INACTIVE when the network indicates RRC connection suspension in *RRCConnectionRelease* message. When entering RRC\_INACTIVE, the UE stores the UE Inactive AS context and any RRC configuration received from the network.

The resumption of an RRC connection from RRC\_INACTIVE is initiated by upper layers when the UE needs to transit from RRC\_INACTIVE state to RRC\_CONNECTED state or by RRC layer for, e.g. RNAU or reception of RAN paging. When the RRC connection is resumed, network configures the UE according to the RRC connection resume procedure based on the stored UE Inactive AS context and any RRC configuration received from the network. The RRC connection resume procedure re-activates security and re-establishes SRB(s) and DRB(s).

In response to a request to resume the RRC connection from RRC\_INACTIVE, the network may resume the suspended RRC connection and UE enters to RRC\_CONNECTED, or reject the request to resume using RRC message without security protection and send UE to RRC\_INACTIVE with wait time, or directly re-suspend the RRC connection and send UE to RRC\_INACTIVE, or directly release the RRC connection and send UE to RRC\_IDLE, or instruct the UE to initiate NAS level recovery.

NOTE 3: In case the configurations for V2X sidelink communication are acquired from NR, the configurations for V2X sidelink communication in *SystemInformationBlockType21*, *SystemInformationBlockType26*, *SL-V2X-ConfigDedicated* within *RRCConnectionReconfiguration* used in this clause can be provided by *SIB13*, *SIB14*, *sl-ConfigDedicatedEUTRA* within *RRCReconfiguration* as specified in TS 38.331 [82], respectively.

# 5.3.1.2 Security

AS security comprises of the integrity protection of RRC signalling (SRBs) as well as the ciphering of RRC signalling (SRBs) and user data (DRBs). Integrity protection is optionally supported for DRBs when using NR PDCP configured with *nr-RadioBearerConfig1* or *nr-RadioBearerConfig2*.

RRC handles the configuration of the security parameters which are part of the AS configuration: the integrity protection algorithm, the ciphering algorithm and two parameters, namely the *keyChangeIndicator* and the *nextHopChainingCount*, which are used by the UE to determine the AS security keys upon handover, connection reestablishment, connection resume, UP-EDT and/ or UP transmission using PUR.

The integrity protection algorithm is common for signalling radio bearers SRB1, SRB2 and SRB4. The integrity protection algorithm signalled in *nr-RadioBearerConfig1*/ *nr-RadioBearerConfig2* for the DRBs configured to apply integrity protection of user data and *keyToUse* set to *master* as defined in TS 38.331 [82] is the same as the one signalled in *securityAlgorithmConfig*. When configured with MCG only, the ciphering algorithm is common for all radio bearers (i.e. SRB1, SRB2, SRB4 and DRBs). Neither integrity protection nor ciphering applies for SRB0.

RRC integrity and ciphering are always activated together, i.e. in one message/ procedure. RRC integrity and ciphering are never de-activated. However, it is possible to switch to a 'NULL' ciphering algorithm (eea0).

The 'NULL' integrity protection algorithm (eia0) is used only for the UE in limited service mode, as specified in TS 33.401 [32]. In case the 'NULL' integrity protection algorithm is used, 'NULL' ciphering algorithm is also used.

NOTE 1: Lower layers discard RRC messages for which the integrity check has failed and indicate the integrity verification check failure to RRC.

The AS applies different security keys: one for the integrity protection of RRC signalling ( $K_{RRCint}$ ), one for the ciphering of RRC signalling ( $K_{RRCenc}$ ) and one for the ciphering of user data ( $K_{UPenc}$ ). For the UE capable of user plane integrity protection when it is connected to E-UTRA/EPC (TS 33.401 [32]), the AS applies a security key for integrity protection of user data ( $K_{UPint}$ ) for the DRBs that are configured to apply integrity protection of user data. All AS keys are derived from the  $K_{eNB}$  key. The  $K_{eNB}$  is based on the  $K_{ASME}$  key for E-UTRA/EPC, or  $K_{AMF}$  for E-UTRA/5GC, which is handled by upper layers.

Upon connection establishment new AS keys are derived. No AS-parameters are exchanged to serve as inputs for the derivation of the new AS keys at connection establishment.

The integrity and ciphering of the RRC message used to perform handover is based on the security configuration used prior to the handover and is performed by the source eNB.

The integrity and ciphering algorithms can only be changed upon handover. The AS keys ( $K_{eNB}$ ,  $K_{RRCint}$ ,  $K_{RRCenc}$ ,  $K_{UPenc}$  and  $K_{UPint}$ ) change upon every handover, connection re-establishment, connection resume, UP-EDT and UP transmission using PUR. The *keyChangeIndicator* is used upon handover and indicates whether the UE should use the keys associated with the  $K_{ASME}$  key for E-UTRA/EPC, or  $K_{AMF}$  for E-UTRA/5GC, taken into use with the latest successful NAS SMC procedure. The *nextHopChainingCount* parameter is used upon handover, connection reestablishment, connection resume, UP-EDT and UP transmission using PUR by the UE when deriving the new  $K_{eNB}$  that is used to generate  $K_{RRCint}$ ,  $K_{RRCenc}$  and  $K_{UPenc}$  (see TS 33.401 [32]). An intra cell handover procedure may be used to change the keys in RRC\_CONNECTED.

For each radio bearer an independent counter (COUNT, as specified in TS 36.323 [8] for E-UTRA/EPC, and TS 38.323 [83] for E-UTRA/5GC) is maintained for each direction. For each DRB, the COUNT is used as input for ciphering. For each SRB, the COUNT is used as input for both ciphering and integrity protection. It is not allowed to use the same COUNT value more than once for a given security key. At connection resume the COUNT is reset. As specified in TS 33.401 clause 7.2.9.1 [32], the eNB is responsible for avoiding reuse of the COUNT with the same RB identity and with the same  $K_{eNB}$ , e.g. due to the transfer of large volumes of data, release and establishment of new RBs, and multiple termination point changes for RLC-UM bearers, multiple termination point changes for RLC-AM bearer with SN terminated PDCP re-establishment (COUNT reset) due to SN only full configuration whilst the key stream inputs (i.e. bearer ID, security key) at MN have not been updated. In order to avoid such re-use, the eNB may e.g. use different RB identities for successive RB establishments, trigger an intra cell handover or by triggering a transition from RRC\_CONNECTED to RRC\_IDLE or RRC\_INACTIVE and then back to RRC\_CONNECTED.

In order to limit the signalling overhead, individual messages/ packets include a short sequence number (PDCP SN, as specified in TS 36.323 [8] for E-UTRA/EPC, and TS 38.323 [83] for E-UTRA/5GC). In addition, an overflow counter mechanism is used: the hyper frame number (TX\_HFN and RX\_HFN, as specified in TS 36.323 [8] for E-UTRA/EPC, and *HFN* as specified in TS 38.323 [83] for E-UTRA/5GC). The HFN needs to be synchronized between the UE and the eNB.

For each SRB, the value provided by RRC to lower layers to derive the 5-bit BEARER parameter used as input for ciphering and for integrity protection is the value of the corresponding *srb-Identity* with the MSBs padded with zeroes.

With E-UTRA/5GC for a UE not capable of NGEN-DC, the same ciphering algorithm signalled at SMC or handover is used for all radio bearers. Likewise, the same integrity algorithm signalled at SMC or handover is used for all SRBs.

In case of DC, a separate  $K_{eNB}$  is used for SCG-DRBs (S- $K_{eNB}$ ). This key is derived from the key used for the MCG ( $K_{eNB}$ ) and an SCG counter that is used to ensure freshness. To refresh the S- $K_{eNB}$  e.g. when the COUNT will wrap around, E-UTRAN employs an SCG change, i.e. an *RRCConnectionReconfiguration* message including *mobilityControlInfoSCG*. When performing handover, while at least one SCG-DRB remains configured, both  $K_{eNB}$  and S- $K_{eNB}$  are refreshed. In such case E-UTRAN performs handover with SCG change i.e. an *RRCConnectionReconfiguration* message including both *mobilityControlInfo* and *mobilityControlInfoSCG*. The ciphering algorithm is common for all radio bearers within a CG but may be different between MCG and SCG. The ciphering algorithm for SCG DRBs can only be changed upon SCG change.

In case of (NG)EN-DC or of SN terminated RB without SCG, the network indicates whether the UE shall use either  $K_{eNB}$  or S- $K_{gNB}$  for a particular DRB. In case of NE-DC, the network indicates whether the UE shall use either  $K_{gNB}$  or S- $K_{eNB}$  for a particular DRB. S- $K_{gNB}/S$ - $K_{eNB}$  is derived from  $K_{eNB}/K_{gNB}$  as defined in TS 33.501 [86], uses a different counter (sk-Counter) and is used only for DRBs using NR PDCP. Whenever there is a need to refresh S- $K_{gNB}/S$ - $K_{eNB}$ , e.g. upon change of MN or SN, the NR SCG reconfiguration with sync and key change is used for S- $K_{gNB}$  refresh (see 5.3.1.1) and the RRCConnectionReconfiguration message including mobilityControlInfoSCG is used for S- $K_{eNB}$  refresh (see 5.3.10.10). E-UTRAN provides a UE configured with (NG)EN-DC with an sk-Counter even when no DRB is setup using S- $K_{gNB}$  i.e. to facilitate configuration of SRB3. The same ciphering algorithm as signalled by nr-RadioBearerConfig1 and nr-RadioBearerConfig2 as defined in TS 38.331 [82] is used for all radio bearers using the same key (i.e.  $K_{eNB}$  or S- $K_{gNB}$ ). Likewise, the same integrity algorithm as signalled by nr-RadioBearerConfig2 as defined in TS 38.331 [82] is used for all SRBs, and DRBs configured to apply integrity protection of user data, using the same key. Although NR RRC uses different values for the security algorithms than E-UTRA, the actual algorithms are the same in case of (NG)EN-DC and NE-DC in this version of the specification. Hence, for such algorithms, the security capabilities supported by a UE are consistent across these RATs. For MR-DC with 5GC, integrity protection is not enabled for DRBs terminated on ng-eNB or when the master node is an ng-eNB.

NOTE 2: The network ensures that different values are used for the SCG counter and for the *sk-Counter* when deriving  $S-K_{gNB}$  and/or  $S-K_{eNB}$  from the same master key.

## 5.3.1.2a RN security

For RNs, AS security follows the procedures in 5.3.1.2. Furthermore, E-UTRAN may configure per DRB whether or not integrity protection is used. The use of integrity protection may be configured only upon DRB establishment and reconfigured only upon handover or upon the first reconfiguration following RRC connection re-establishment.

To provide integrity protection on DRBs between the RN and the E-UTRAN, the  $K_{UPint}$  key is derived from the  $K_{eNB}$  key as described in TS 33.401 [32]. The same integrity protection algorithm used for SRBs also applies to the DRBs. The  $K_{UPint}$  changes at every handover and RRC connection re-establishment and is based on an updated  $K_{eNB}$  which is derived by taking into account the *nextHopChainingCount*. The COUNT value maintained for DRB ciphering is also used for integrity protection, if the integrity protection is configured for the DRB.

## 5.3.1.3 Connected mode mobility

In RRC\_CONNECTED, the network controls UE mobility, i.e. the network decides when the UE shall connect to which E-UTRA cell(s), or inter-RAT cell. For network controlled mobility in RRC\_CONNECTED, the PCell can be changed using an *RRCConnectionReconfiguration* message including the *mobilityControlInfo* (handover), whereas the SCell(s) can be changed using the *RRCConnectionReconfiguration* message either with or without the *mobilityControlInfo*.

In DC, an SCG can be established, reconfigured or released by using an *RRCConnectionReconfiguration* message with or without the *mobilityControlInfo*. In case Random Access to the PSCell or initial PUSCH transmission to the PSCell if *rach-SkipSCG* is configured is required upon SCG reconfiguration, E-UTRAN employs the SCG change procedure (i.e. an *RRCConnectionReconfiguration* message including the *mobilityControlInfoSCG*). The PSCell can only be changed using the SCG change procedure and by release and addition of the PSCell.

In (NG)EN-DC, an NR SCG can be established or reconfigured by using an *RRCConnectionReconfiguration* message containing *nr-secondaryCellGroupConfig* and *nr-RadioBearerConfig*. The contents of *nr-secondaryCellGroupConfig* and *nr-RadioBearerConfig*, of other (NG)EN-DC fields as well as the associated procedures are specified in TS 38.331 [82]. In (NG)EN-DC, the PSCell can only be changed using the Reconfiguration with sync procedure, with or without MR-DC release and addition.

The network triggers the handover procedure e.g. based on radio conditions, load. To facilitate this, the network may configure the UE to perform measurement reporting (possibly including the configuration of measurement gaps). The network may also initiate handover blindly, i.e. without having received measurement reports from the UE.

Before sending the handover message to the UE, the source eNB prepares one or more target cells. The source eNB selects the target PCell. The source eNB may also provide the target eNB with a list of best cells on each frequency for which measurement information is available, in order of decreasing RSRP. The source eNB may also include available measurement information for the cells provided in the list. The target eNB decides which SCells are configured for use after handover, which may include cells other than the ones indicated by the source eNB. If an SCG is configured, handover involves either SCG release or either SCG change (in case of DC) or an NR SCG reconfiguration with sync and key change (in case of EN-DC and NGEN-DC). In case the UE was configured with (EN-) DC or NGEN-DC, the target eNB indicates in the handover message whether the UE shall release the entire (NR) SCG configuration. Upon connection re-establishment, the UE releases the entire SCG configuration except for the DRB configuration, while E-UTRAN in the first reconfiguration message following the re-establishment either releases the DRB(s) or reconfigures the DRB(s) to MCG DRB(s).

The target eNB generates the message used to perform the handover, i.e. the message including the AS-configuration to be used in the target cell(s). The source eNB transparently (i.e. does not alter values/ content) forwards the handover message/ information received from the target to the UE. When appropriate, the source eNB may initiate data forwarding for (a subset of) the DRBs.

After receiving the handover message, the UE attempts to access the target PCell at the first available RACH occasion according to Random Access resource selection defined in TS 36.321 [6], i.e. the handover is asynchronous, or at the first available PUSCH occasion if *rach-Skip* is configured. Consequently, when allocating a dedicated preamble for the random access in the target PCell, E-UTRA shall ensure it is available from the first RACH occasion the UE may use. The first available PUSCH occasion is provided by *ul-ConfigInfo*, if configured, otherwise UE shall monitor the PDCCH of target eNB. Upon successful completion of the handover, the UE sends a message used to confirm the handover.

If the target eNB does not support the release of RRC protocol which the source eNB used to configure the UE, the target eNB may be unable to comprehend the UE configuration provided by the source eNB. In this case, the target eNB

should use the full configuration option to reconfigure the UE for Handover and Re-establishment. Full configuration option includes an initialization of the radio configuration, which makes the procedure independent of the configuration used in the source cell(s) with the exception that the security algorithms are continued for the RRC re-establishment.

The same behavior applies in (NG)EN-DC, if upon handover the target eNB is unable to comprehend the MCG part of the UE configuration i.e. the target eNB uses the full configuration option which involves release and configuration of (most of the) MCG and NR SCG configuration. In case of (NG)EN-DC, the target SgNB may be unable to comprehend the NR SCG configuration provided by the source SgNB. In such a case, release and addition may be applied for the NR SCG part of the configuration.

NOTE 1: When using release and addition for the NR SCG configuration during handover or SN change, E-UTRAN includes *drb-ToReleaseList* for the SN terminated RBs. For SN modification case, see TS 37.340 [81].

After the successful completion of handover, PDCP SDUs may be re-transmitted in the target cell(s). This only applies for DRBs using RLC-AM mode and for handovers not involving full configuration option. The further details are specified in TS 36.323 [8]. After the successful completion of handover not involving full configuration option, the SN and the HFN are reset except for the DRBs using RLC-AM mode (for which both SN and HFN continue). For reconfigurations involving the full configuration option, the PDCP entities are newly established (SN and HFN do not continue) for all DRBs irrespective of the RLC mode. The further details are specified in TS 36.323 [8].

One UE behaviour to be performed upon handover is specified, i.e. this is regardless of the handover procedures used within the network (e.g. whether the handover includes X2 or S1 signalling procedures).

The source eNB should, for some time, maintain a context to enable the UE to return in case of handover failure. After having detected handover failure, the UE attempts to resume the RRC connection either in the source PCell or in another cell using the RRC re-establishment procedure. This connection resumption succeeds only if the accessed cell is prepared, i.e. concerns a cell of the source eNB or of another eNB towards which handover preparation has been performed. The cell in which the re-establishment procedure succeeds becomes the PCell while SCells and STAGs, if configured, are released.

Normal measurement and mobility procedures are used to support handover to cells broadcasting a CSG identity. In addition, E-UTRAN may configure the UE to report that it is entering or leaving the proximity of cell(s) included in its Permitted CSG list. Furthermore, E-UTRAN may request the UE to provide additional information broadcast by the handover candidate cell e.g. global cell identity, CSG identity, CSG membership status.

NOTE 2: E-UTRAN may use the 'proximity report' to configure measurements as well as to decide whether or not to request additional information broadcast by the handover candidate cell. The additional information is used to verify whether or not the UE is authorised to access the target PCell and may also be needed to identify handover candidate cell (*PCI confusion* i.e. when the physical layer identity that is included in the measurement report does not uniquely identify the cell).

### 5.3.1.4 Connection control in NB-IoT

In NB-IoT, during the RRC connection establishment procedure, SRB1bis is established implicitly with SRB1. SRB1bis uses the logical channel identity defined in 9.1.2a, with the same configuration as SRB1 but no PDCP entity. SRB1bis is used until security is activated. The RRC messages to activate security (command and successful response) are sent over SRB1 being integrity protected and ciphering is started after completion of the procedure. In case of unsuccessful security activation, the failure message is sent over SRB1 and subsequent messages are sent over SRB1bis. Once security is activated, new RRC messages shall be transmitted using SRB1. A NB-IoT UE that only supports the Control Plane CIoT EPS optimisation (see TS 24.301 [35]) or the Control Plane CIoT 5GS optimisation (see TS 24.501 [95]) only establishes SRB1bis.

A NB-IoT UE only supports 0, 1 or 2 DRBs, depending on its capability. A NB-IoT UE that only supports the Control Plane CIoT EPS optimisation (see TS 24.301 [35]) or the Control Plane CIoT 5GS optimisation (see TS 24.501 [95]) does not need to support any DRBs and associated procedures.

Table 5.3.1.4-1 lists the procedures that are applicable for NB-IoT. All other procedures are not applicable; this is not further stated in the corresponding procedures.

Clause	Procedures
5.3.2	Paging
5.3.3	RRC connection establishment
	RRC connection resume (see NOTE)
	CP-EDT
	UP-EDT (see NOTE)
	CP transmission using PUR
	UP transmission using PUR (see NOTE)
5.3.4	Initial security activation (see NOTE)
5.3.5	RRC connection reconfiguration (see NOTE)
5.3.7	RRC connection re-establishment
5.3.8	RRC connection release
5.3.9	RRC connection release requested by upper layers
5.3.10	Radio resource configuration
5.3.11	Radio link failure related actions
5.3.12	UE actions upon leaving RRC_CONNECTED
5.3.13b	Action upon receiving PUR release request
5.3.16	Unified Access Control

Table 5.3.1.4-1: Connection control procedures applicable to a NB-IoT UE

NOTE: Not applicable for a UE that only supports the Control Plane CIoT EPS optimisation (see TS 24.301 [35]) or the Control Plane CIoT 5GS optimisation (see TS 24.501 [95]).

# 5.3.2 Paging

## 5.3.2.1 General



Figure 5.3.2.1-1: Paging

The purpose of this procedure is:

- to transmit CN initiated paging information to a UE in RRC\_IDLE or RRC\_INACTIVE and/or;
- to transmit RAN initiated paging information to a UE in RRC INACTIVE and/or;
- to inform UEs in RRC\_IDLE, UEs in RRC\_INACTIVE and UEs in RRC\_CONNECTED other than NB-IoT UEs, BL UEs and UEs in CE, about a system information change and/or;
- to inform UEs in RRC\_IDLE other than NB-IoT UEs, UEs in RRC\_INACTIVE and UEs in RRC\_CONNECTED other than NB-IoT UEs, BL UEs and UEs in CE, about an ETWS primary notification and/ or ETWS secondary notification and/ or;
- to inform UEs in RRC\_IDLE other than NB-IoT UEs, UEs in RRC\_INACTIVE and UEs in RRC\_CONNECTED other than NB-IoT UEs, BL UEs and UEs in CE, about a CMAS notification and/or;
- to inform UEs other than NB-IoT UEs in RRC\_IDLE, and other than UEs connected to 5GC about an EAB parameters modification and/ or;
- to inform UEs other than NB-IoT UEs in RRC\_IDLE, and UEs in RRC\_INACTIVE to perform E-UTRAN inter-frequency redistribution procedure.

The paging information of CN initiated paging is provided to upper layers, which in response may initiate RRC connection establishment, e.g. to receive an incoming call.

### 5.3.2.2 Initiation

E-UTRAN initiates the paging procedure by transmitting the *Paging* message at the UE's paging occasion as specified in TS 36.304 [4]. E-UTRAN may address multiple UEs within a *Paging* message by including one *PagingRecord* for each UE. E-UTRAN may also indicate a change of system information, and/ or provide an ETWS notification or a CMAS notification in the *Paging* message.

# 5.3.2.3 Reception of the *Paging* message by the UE

Upon receiving the Paging message, the UE shall:

- 1> if in RRC\_IDLE, for each of the *PagingRecord*, if any, included in the *Paging* message:
  - 2> if the *ue-Identity* included in the *PagingRecord* matches one of the UE identities allocated by upper layers:
    - 3> except for NB-IoT, if upper layers indicate the support of paging cause:
      - 4> forward the *ue-Identity*, *accessType* (if present), paging cause (if determined) and the *cn-Domain* to the upper layers;
    - 3> else:
      - 4> forward the *ue-Identity, accessType* (if present) and, except for NB-IoT, the *cn-Domain* to the upper layers;
    - 3> store *mt-EDT*, if present;
- 1> if in RRC\_INACTIVE, for each of the PagingRecord, if any, included in the Paging message:
  - 2> if the *ue-Identity* included in the *PagingRecord* matches the stored *fullI-RNTI*:
    - 3> if UE is configured with one or more access identities equal to 1, 2 or 11-15 applicable in the selected PLMN:
      - 4> initiate RRC connection resume procedure in 5.3.3.2 with cause value set to 'highProrityAccess';
    - 3> else:
      - 4> initiate the RRC connection resumption procedure according to 5.3.3.2 with cause value set to 'mt-access';
  - NOTE 1: A MUSIM UE may not initiate the RRC connection resumption procedure, e.g. when it decides not to respond to the *Paging* message due to UE implementation constraints as specified in TS 24.501 [95].2> else if the *ue-Identity* included in the *PagingRecord* matches one of the UE identities allocated by upper layers:
    - 3> if upper layers indicate the support of paging cause:
      - 4> forward the *ue-Identity*, *accessType* (if present), paging cause (if determined) and the *cn-Domain* to the upper layers;
    - 3> else:
      - 4> forward the *ue-Identity*, *accessType* (if present) and the *cn-Domain* to the upper layers;
    - 3> perform the actions upon leaving RRC INACTIVE as specified in 5.3.12, with release cause 'other';
- 1> if the UE is not configured with a DRX cycle longer than the modification period and the *systemInfoModification* is included; or
- 1> if the UE is configured with a DRX cycle longer than the modification period and the *systemInfoModification-eDRX* is included:
  - 2> re-acquire the required system information using the system information acquisition procedure as specified in 5.2.2;

- 1> if the etws-Indication is included and the UE is ETWS capable:
  - 2> re-acquire *SystemInformationBlockType1* immediately, i.e., without waiting until the next system information modification period boundary;
  - 2> if the schedulingInfoList indicates that SystemInformationBlockType10 is present:
    - 3> acquire SystemInformationBlockType10;
- NOTE 2: If the UE is in CE, it is up to UE implementation when to start acquiring SystemInformationBlockType10.
  - 2> if the *schedulingInfoList* indicates that *SystemInformationBlockType11* is present:
    - 3> acquire SystemInformationBlockType11;
- 1> if the *cmas-Indication* is included and the UE is CMAS capable:
  - 2> re-acquire *SystemInformationBlockType1* immediately, i.e., without waiting until the next system information modification period boundary as specified in 5.2.1.5;
  - 2> if the *schedulingInfoList* indicates that *SystemInformationBlockType12* is present:
    - 3> acquire SystemInformationBlockType12;
- 1> if in RRC\_IDLE, the *eab-ParamModification* is included and the UE is EAB capable:
  - 2> consider previously stored SystemInformationBlockType14 as invalid;
  - 2> re-acquire *SystemInformationBlockType1* immediately, i.e., without waiting until the next system information modification period boundary as specified in 5.2.1.6;
  - 2> re-acquire *SystemInformationBlockType14* using the system information acquisition procedure as specified in 5.2.2.4;
- 1> if in RRC\_IDLE, the uac-ParamModification is included and the UE connected to 5GC is a BL UE or UE in CE:
  - 2> consider previously stored *SystemInformationBlockType25* as invalid;
  - 2> re-acquire *SystemInformationBlockType1* immediately, i.e., without waiting until the next system information modification period boundary as specified in 5.2.1.6;
  - 2> re-acquire *SystemInformationBlockType25* using the system information acquisition procedure as specified in 5.2.2.4;
- 1> if in RRC\_IDLE, the *redistributionIndication* is included and the UE is redistribution capable:
  - 2> perform E-UTRAN inter-frequency redistribution procedure as specified in TS 36.304 [4], clause 5.2.4.10;

# 5.3.3 RRC connection establishment

### 5.3.3.1 General

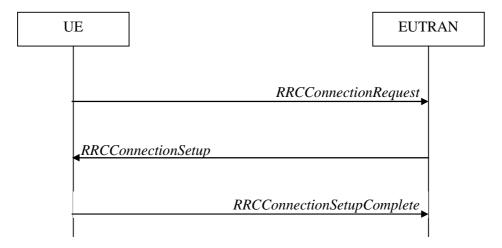


Figure 5.3.3.1-1: RRC connection establishment, successful

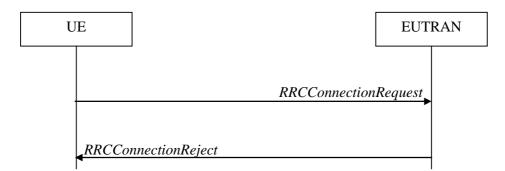


Figure 5.3.3.1-2: RRC connection establishment, network reject

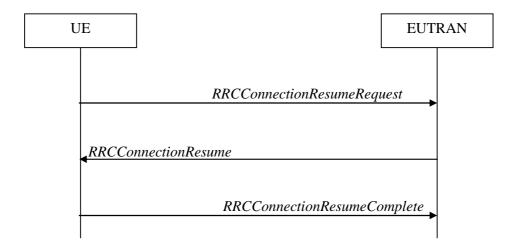


Figure 5.3.3.1-3: RRC connection resume (suspended RRC connection or RRC\_INACTIVE), or UP-EDT fallback or fallback from UP transmission using PUR to RRC connection resume, successful

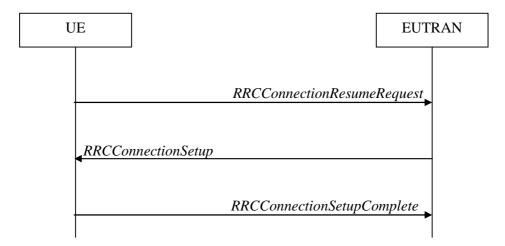


Figure 5.3.3.1-4: RRC connection resume (suspended RRC connection or RRC\_INACTIVE) or UP-EDT fallback or fallback from UP transmission using PUR to RRC connection establishment, successful

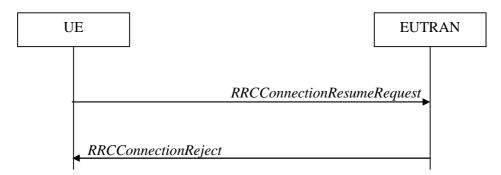


Figure 5.3.3.1-5: RRC connection resume or UP-EDT or UP transmission using PUR, network reject (suspended RRC connection or RRC\_INACTIVE) or release (suspended RRC connection)

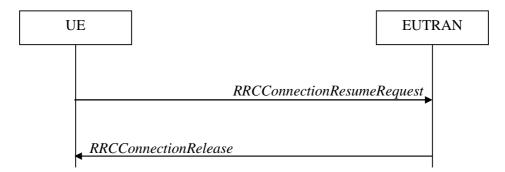


Figure 5.3.3.1-6: RRC connection resume (RRC\_INACTIVE), network release or suspend or UP-EDT or UP transmission using PUR, successful

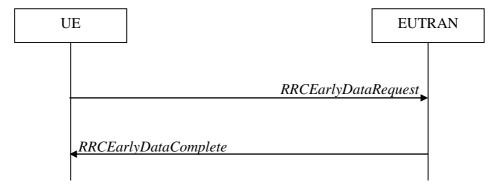


Figure 5.3.3.1-7: CP-EDT or CP transmission using PUR, successful

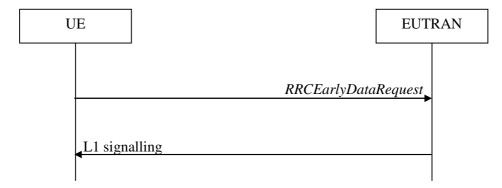


Figure 5.3.3.1-7a: CP transmission using PUR, successful

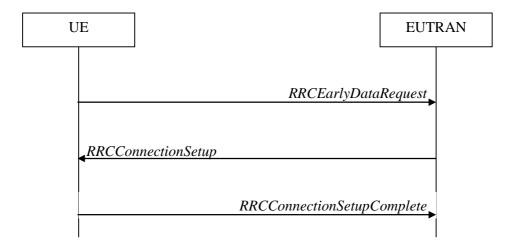


Figure 5.3.3.1-8: CP-EDT fallback or fallback from CP transmission using PUR to RRC connection establishment, successful

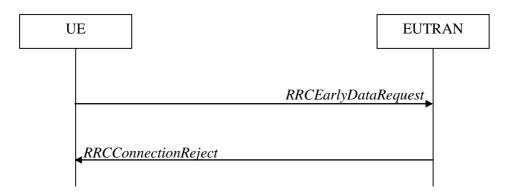


Figure 5.3.3.1-9: CP-EDT or CP transmission using PUR, network reject

The purpose of this procedure is to establish an RRC connection, to resume a suspended RRC connection, to move the UE from RRC\_INACTIVE to RRC\_CONNECTED, to perform EDT or to perform transmission using PUR. RRC connection establishment involves SRB1 (and SRB1bis for NB-IoT) establishment. The procedure is also used to transfer the initial NAS dedicated information/ message from the UE to E-UTRAN.

### E-UTRAN applies the procedure as follows:

- When establishing an RRC connection:
  - to establish SRB1 and, for NB-IoT, SRB1bis;
- When resuming an RRC connection from a suspended RRC connection or from RRC\_INACTIVE:
  - to restore the AS configuration from a stored context including resuming SRB(s) and DRB(s);
- When performing EDT;

- When performing transmission using PUR.

# 5.3.3.1a Conditions for establishing RRC Connection for sidelink communication/ discovery/ V2X sidelink communication/ NR sidelink communication

For sidelink communication an RRC connection is initiated only in the following case:

- 1> if configured by upper layers to transmit non-relay related sidelink communication and related data is available for transmission:
  - 2> if *SystemInformationBlockType18* is broadcast by the cell on which the UE camps; and if the valid version of *SystemInformationBlockType18* does not include *commTxPoolNormalCommon*;
- 1> if configured by upper layers to transmit relay related sidelink communication:
  - 2> if the UE is acting as sidelink relay UE; and if *SystemInformationBlockType18* is broadcast by the cell on which the UE camps; or
  - 2> if the UE has a selected sidelink relay UE; and if the sidelink remote UE threshold conditions as specified in 5.10.11.5 are met and if *SystemInformationBlockType18* is broadcast by the cell on which the UE camps; and if the valid version of *SystemInformationBlockType18* does not include *commTxPoolNormalCommon* or *commTxAllowRelayCommon*;

For V2X sidelink communication an RRC connection is initiated only in the following case:

- 1> if configured by upper layers to transmit non-P2X related V2X sidelink communication and related data is available for transmission:
  - 2> if the frequency on which the UE is configured to transmit non-P2X related V2X sidelink communication concerns the camped frequency; and if *SystemInformationBlockType21* is broadcast by the cell on which the UE camps; and if the valid version of *SystemInformationBlockType21* includes *sl-V2X-ConfigCommon*; and *sl-V2X-ConfigCommon* does not include *v2x-CommTxPoolNormalCommon*; or
  - 2> if the frequency on which the UE is configured to transmit non-P2X related V2X sidelink communication is included in v2x-InterFreqInfoList within SystemInformationBlockType21 or SystemInformationBlockType26 broadcast by the cell on which the UE camps; and if neither the valid version of SystemInformationBlockType21 nor that of SystemInformationBlockType26 includes v2x-CommTxPoolNormal for the concerned frequency;
- 1> if configured by upper layers to transmit P2X related V2X sidelink communication and related data is available for transmission:
  - 2> if the frequency on which the UE is configured to transmit P2X related V2X sidelink communication concerns the camped frequency; and if *SystemInformationBlockType21* is broadcast by the cell on which the UE camps; and if the valid version of *SystemInformationBlockType21* includes *sl-V2X-ConfigCommon*; and *sl-V2X-ConfigCommon* does not include *p2x-CommTxPoolNormalCommon*; or
  - 2> if the frequency on which the UE is configured to transmit P2X related V2X sidelink communication is included in v2x-InterFreqInfoList within SystemInformationBlockType21 or SystemInformationBlockType26 broadcast by the cell on which the UE camps; and if neither the valid version of SystemInformationBlockType21 nor that of SystemInformationBlockType26 includes p2x-CommTxPoolNormal for the concerned frequency;

For NR sidelink communication an RRC connection is initiated only when the conditions for NR sidelink communication specified in clause 5.3.3.1a of TS 38.331 [82] are met;

NOTE 1: SIB12 specified in clause 5.3.3.1a of TS 38.331 is provided in SystemInformationBlockType28.

For sidelink discovery an RRC connection is initiated only in the following case:

- 1> if configured by upper layers to transmit non-PS related sidelink discovery announcements:
  - 2> if the frequency on which the UE is configured to transmit non-PS related sidelink discovery announcements concerns the camped frequency; and *SystemInformationBlockType19* of the cell on which the UE camps does not include *discTxPoolCommon-r12*; or

- 2> if the frequency on which the UE is configured to transmit non-PS related sidelink discovery announcements is included in *discInterFreqList* in *SystemInformationBlockType19* broadcast by the cell on which the UE camps, with *discTxResourcesInterFreq* included within *discResourcesNonPS* and set to *requestDedicated*;
- 1> if configured by upper layers to transmit non-relay PS related sidelink discovery announcements:
  - 2> if the frequency on which the UE is configured to transmit non-relay PS related sidelink discovery announcements concerns the camped frequency; and *SystemInformationBlockType19* of the cell on which the UE camps includes *discConfigPS* but does not include *discTxPoolPS-Common*; or
  - 2> if the frequency on which the UE is configured to transmit non-relay PS related sidelink discovery announcements (e.g. group member discovery) is included in *discInterFreqList* in *SystemInformationBlockType19* broadcast by the cell on which the UE camps, with *discTxResourcesInterFreq* within *discResourcesPS* included and set to *requestDedicated*;
- 1> if configured by upper layers to transmit relay PS related sidelink discovery announcements:
  - 2> if the UE is acting as sidelink relay UE; and if the sidelink relay UE threshold conditions as specified in 5.10.10.4 are met; or
  - 2> if the UE is selecting a sidelink relay UE / has a selected sidelink relay UE; and if the sidelink remote UE threshold conditions as specified in 5.10.11.5 are met:
    - 3> if the frequency on which the UE is configured to transmit relay PS related sidelink discovery announcements concerns the camped frequency; and *SystemInformationBlockType19* of the cell on which the UE camps includes *discConfigRelay* and *discConfigPS* but does not include *discTxPoolPS-Common*;

NOTE: Upper layers initiate an RRC connection. The interaction with NAS is left to UE implementation.

## 5.3.3.1b Conditions for initiating EDT

A BL UE, UE in CE or NB-IoT UE can initiate EDT when all of the following conditions are fulfilled:

- 1> if the UE is connected to EPC:
  - 2> for CP-EDT, the upper layers request establishment of an RRC connection, the UE supports CP-EDT, and SystemInformationBlockType2 (SystemInformationBlockType2-NB in NB-IoT) includes cp-EDT; or
  - 2> for UP-EDT, the upper layers request resumption of an RRC connection, the UE supports UP-EDT, SystemInformationBlockType2 (SystemInformationBlockType2-NB in NB-IoT) includes up-EDT, and the UE has a stored value of the nextHopChainingCount provided in the RRCConnectionRelease message with suspend indication during the preceding suspend procedure;
- 1> else if the UE is connected to 5GC:
  - 2> for CP-EDT, the upper layers request establishment of an RRC connection, the UE connected to 5GC supports CP-EDT, and *SystemInformationBlockType2* (*SystemInformationBlockType2-NB* in NB-IoT) includes *cp-EDT-5GC*; or
  - 2> for UP-EDT, the upper layers request resumption of an RRC connection, the UE connected to 5GC supports UP-EDT, SystemInformationBlockType2 (SystemInformationBlockType2-NB in NB-IoT) includes up-EDT-5GC, and the UE has a stored value of the nextHopChainingCount provided in the RRCConnectionRelease message with suspend indication during the preceding suspend procedure;
- 1> the establishment or resumption request is for mobile originating calls and the establishment cause is *mo-Data* or *mo-ExceptionData* or *delayTolerantAccess*; or
- 1> the establishment or resumption request is for mobile terminating calls, the UE has a stored *mt-EDT* indication and the establishment cause is *mt-Access*;
- 1> the establishment or resumption request is suitable for EDT as specified in TS 36.300 [9], clause 7.3b.1;
- $1 \gt SystemInformationBlockType 2 \ (SystemInformationBlockType 2-NB \ in \ NB-IoT) \ includes \ edt-Parameters;$

- 1> for mobile originating calls, the size of the resulting MAC PDU including the total UL data is expected to be smaller than or equal to the TBS signalled in *edt-TBS* as specified in TS 36.321 [6], clause 5.1.1;
- 1> EDT fallback indication has not been received from lower layers for this establishment or resumption procedure;
- NOTE 1: Upper layers request or resume an RRC connection. The interaction with NAS is up to UE implementation.
- NOTE 2: It is up to UE implementation how the UE determines whether the size of UL data is suitable for EDT.

## 5.3.3.1c Conditions for initiating transmission using PUR

A BL UE, UE in CE or NB-IoT UE can initiate transmission using PUR when all of the following conditions are fulfilled:

- 1> the UE has a valid PUR configuration for the serving cell as specified in 5.3.3.20;
- 1> the UE has a valid timing alignment value as specified in 5.3.3.19;
- 1> the upper layers request establishment of an RRC connection; or the upper layers request resumption of an RRC connection and the UE has a stored value of the *nextHopChainingCount* provided in the *RRCConnectionRelease* message with suspend indication during the preceding suspend procedure;
- 1> the establishment or resumption request is for mobile originating calls and the establishment cause is *mo-Data* or *mo-ExceptionData* or *delayTolerantAccess*;
- 1> for CP transmission using PUR, the size of the resulting MAC PDU including the total UL data is expected to be smaller than or equal to the TBS configured for PUR.
- NOTE 1: Upper layers request or resume an RRC connection. The interaction with NAS is up to UE implementation.
- NOTE 2: It is up to UE implementation how the UE determines whether the establishment or resumption request is suitable for transmission using PUR.

## 5.3.3.1d Condition for establishing RRC Connection in NTN

If systemInformationBlockType31 (systemInformationBlockType31-NB in NB-IoT) is broadcast, a RRC connection is initiated only if the UE has a valid GNSS position.

NOTE: The UE may need to re-acquire the GNSS position before establishing the connection to avoid interruption during the connection.

## 5.3.3.2 Initiation

The UE initiates the procedure when upper layers request establishment or resume of an RRC connection while the UE is in RRC\_IDLE or when upper layers request resume of an RRC connection or RRC layer requests resume of an RRC connection for, e.g. RNAU or reception of RAN paging while the UE is in RRC\_INACTIVE.

Except for NB-IoT, upon initiation of the procedure, if the UE is connected to EPC, the UE shall:

- 1> if SystemInformationBlockType2 includes ac-BarringPerPLMN-List and the ac-BarringPerPLMN-List contains an AC-BarringPerPLMN entry with the plmn-IdentityIndex corresponding to the PLMN selected by upper layers (see TS 23.122 [11], TS 24.301 [35]):
  - 2> select the *AC-BarringPerPLMN* entry with the *plmn-IdentityIndex* corresponding to the PLMN selected by upper layers;
  - 2> in the remainder of this procedure, use the selected *AC-BarringPerPLMN* entry (i.e. presence or absence of access barring parameters in this entry) irrespective of the common access barring parameters included in *SystemInformationBlockType2*;

1> else

- 2> in the remainder of this procedure use the common access barring parameters (i.e. presence or absence of these parameters) included in *SystemInformationBlockType2*;
- 1> if SystemInformationBlockType2 contains acdc-BarringPerPLMN-List and the acdc-BarringPerPLMN-List contains an ACDC-BarringPerPLMN entry with the plmn-IdentityIndex corresponding to the PLMN selected by upper layers (see TS 23.122 [11], TS 24.301 [35]):
  - 2> select the *ACDC-BarringPerPLMN* entry with the *plmn-IdentityIndex* corresponding to the PLMN selected by upper layers;
  - 2> in the remainder of this procedure, use the selected *ACDC-BarringPerPLMN* entry for ACDC barring check (i.e. presence or absence of access barring parameters in this entry) irrespective of the *acdc-BarringForCommon* parameters included in *SystemInformationBlockType2*;

#### 1> else:

- 2> in the remainder of this procedure use the *acdc-BarringForCommon* (i.e. presence or absence of these parameters) included in *SystemInformationBlockType2* for ACDC barring check;
- 1> if upper layers indicate that the RRC connection is subject to EAB (see TS 24.301 [35]):
  - 2> if the result of the EAB check, as specified in 5.3.3.12, is that access to the cell is barred:
    - 3> inform upper layers about the failure to establish the RRC connection or failure to resume the RRC connection with suspend indication and that EAB is applicable, upon which the procedure ends;
- 1> if upper layers indicate that the RRC connection is subject to ACDC (see TS 24.301 [35]), SystemInformationBlockType2 contains BarringPerACDC-CategoryList, and acdc-HPLMNonly indicates that ACDC is applicable for the UE:
  - 2> if the *BarringPerACDC-CategoryList* contains a *BarringPerACDC-Category* entry corresponding to the ACDC category selected by upper layers:
    - 3> select the BarringPerACDC-Category entry corresponding to the ACDC category selected by upper layers;
  - 2> else:
    - 3> select the last BarringPerACDC-Category entry in the BarringPerACDC-CategoryList;
  - 2> stop timer T308, if running;
  - 2> perform access barring check as specified in 5.3.3.13, using T308 as "Tbarring" and *acdc-BarringConfig* in the *BarringPerACDC-Category* as "ACDC barring parameter";
  - 2> if access to the cell is barred:
    - 3> inform upper layers about the failure to establish the RRC connection or failure to resume the RRC connection with suspend indication and that access barring is applicable due to ACDC, upon which the procedure ends;
- 1> else if the UE is establishing the RRC connection for mobile terminating calls:
  - 2> if timer T302 is running:
    - 3> inform upper layers about the failure to establish the RRC connection or failure to resume the RRC connection with suspend indication and that access barring for mobile terminating calls is applicable, upon which the procedure ends;
- 1> else if the UE is establishing the RRC connection for emergency calls:
  - 2> if *SystemInformationBlockType2* includes the *ac-BarringInfo*:
    - 3> if the *ac-BarringForEmergency* is set to *TRUE*:
      - 4> if the UE has one or more Access Classes, as stored on the USIM, with a value in the range 11..15, which is valid for the UE to use according to TS 22.011 [10] and TS 23.122 [11]:

- NOTE 1: ACs 12, 13, 14 are only valid for use in the home country and ACs 11, 15 are only valid for use in the HPLMN/EHPLMN.
  - 5> if the *ac-BarringInfo* includes *ac-BarringForMO-Data*, and for all of these valid Access Classes for the UE, the corresponding bit in the *ac-BarringForSpecialAC* contained in *ac-BarringForMO-Data* is set to *one*:
    - 6> consider access to the cell as barred;
  - 4> else:
    - 5> consider access to the cell as barred:
  - 2> if access to the cell is barred:
    - 3> inform upper layers about the failure to establish the RRC connection or failure to resume the RRC connection with suspend indication, upon which the procedure ends;
- 1> else if the UE is establishing the RRC connection for mobile originating calls:
  - 2> perform access barring check as specified in 5.3.3.11, using T303 as "Tbarring" and *ac-BarringForMO-Data* as "AC barring parameter";
  - 2> if access to the cell is barred:
    - 3> if SystemInformationBlockType2 includes ac-BarringForCSFB or the UE does not support CS fallback:
      - 4> inform upper layers about the failure to establish the RRC connection or failure to resume the RRC connection with suspend indication and that access barring for mobile originating calls is applicable, upon which the procedure ends;
    - 3> else (*SystemInformationBlockType2* does not include *ac-BarringForCSFB* and the UE supports CS fallback):
      - 4> if timer T306 is not running, start T306 with the timer value of T303;
      - 4> inform upper layers about the failure to establish the RRC connection or failure to resume the RRC connection with suspend indication and that access barring for mobile originating calls and mobile originating CS fallback is applicable, upon which the procedure ends;
- 1> else if the UE is establishing the RRC connection for mobile originating signalling:
  - 2> perform access barring check as specified in 5.3.3.11, using T305 as "Tbarring" and *ac-BarringForMO-Signalling* as "AC barring parameter";
  - 2> if access to the cell is barred:
    - 3> inform upper layers about the failure to establish the RRC connection or failure to resume the RRC connection with suspend indication and that access barring for mobile originating signalling is applicable, upon which the procedure ends;
- 1> else if the UE is establishing the RRC connection for mobile originating CS fallback:
  - 2> if *SystemInformationBlockType2* includes *ac-BarringForCSFB*:
    - 3> perform access barring check as specified in 5.3.3.11, using T306 as "Tbarring" and *ac-BarringForCSFB* as "AC barring parameter";
    - 3> if access to the cell is barred:
      - 4> inform upper layers about the failure to establish the RRC connection or failure to resume the RRC connection with suspend indication and that access barring for mobile originating CS fallback is applicable, due to *ac-BarringForCSFB*, upon which the procedure ends;
  - 2> else:

- 3> perform access barring check as specified in 5.3.3.11, using T306 as "Tbarring" and *ac-BarringForMO-Data* as "AC barring parameter";
- 3> if access to the cell is barred:
  - 4> if timer T303 is not running, start T303 with the timer value of T306;
  - 4> inform upper layers about the failure to establish the RRC connection or failure to resume the RRC connection with suspend indication and that access barring for mobile originating CS fallback and mobile originating calls is applicable, due to *ac-BarringForMO-Data*, upon which the procedure ends;
- 1> else if the UE is establishing the RRC connection for mobile originating MMTEL voice, mobile originating MMTEL video, mobile originating SMSoIP or mobile originating SMS; or
- 1> if the UE is establishing the RRC connection after EPS fallback for IMS voice (see TS 23.502 [102]) was triggered in NR via *RRCRelease* with *voiceFallbackIndication* (see TS 38.331 [82]):
  - 2> if the UE is establishing the RRC connection for mobile originating MMTEL voice and *SystemInformationBlockType2* includes *ac-BarringSkipForMMTELVoice*; or
  - 2> if the UE is establishing the RRC connection for mobile originating MMTEL video and *SystemInformationBlockType2* includes *ac-BarringSkipForMMTELVideo*; or
  - 2> if the UE is establishing the RRC connection for mobile originating SMSoIP or SMS and *SystemInformationBlockType2* includes *ac-BarringSkipForSMS*:
    - 3> consider access to the cell as not barred;

#### 2> else:

- 3> if establishmentCause received from higher layers is set to mo-Signalling (including the case that mo-Signalling is replaced by highPriorityAccess according to TS 24.301 [35] or by mo-VoiceCall according to the clause 5.3.3.3):
  - 4> perform access barring check as specified in 5.3.3.11, using T305 as "Tbarring" and *ac-BarringForMO-Signalling* as "AC barring parameter";
  - 4> if access to the cell is barred:
    - 5> inform upper layers about the failure to establish the RRC connection or failure to resume the RRC connection with suspend indication and that access barring for mobile originating signalling is applicable, upon which the procedure ends;
- 3> if establishmentCause received from higher layers is set to mo-Data (including the case that mo-Data is replaced by highPriorityAccess according to TS 24.301 [35] or by mo-VoiceCall according to the clause 5.3.3.3):
  - 4> perform access barring check as specified in 5.3.3.11, using T303 as "Tbarring" and *ac-BarringForMO-Data* as "AC barring parameter";
  - 4> if access to the cell is barred:
    - 5> if SystemInformationBlockType2 includes ac-BarringForCSFB or the UE does not support CS fallback:
      - 6> inform upper layers about the failure to establish the RRC connection or failure to resume the RRC connection with suspend indication and that access barring for mobile originating calls is applicable, upon which the procedure ends;
    - 5> else (*SystemInformationBlockType2* does not include *ac-BarringForCSFB* and the UE supports CS fallback):
      - 6> if timer T306 is not running, start T306 with the timer value of T303;

6> inform upper layers about the failure to establish the RRC connection or failure to resume the RRC connection with suspend indication and that access barring for mobile originating calls and mobile originating CS fallback is applicable, upon which the procedure ends;

Upon initiation of the procedure, if the UE is connected to 5GC, the UE shall:

- 1> if the upper layers provide an Access Category and one or more Access Identities upon requesting establishment of an RRC connection:
  - 2> perform the unified access control procedure as specified in 5.3.16 using the Access Category and Access Identities provided by upper layers;
    - 3> if the access attempt is barred, the procedure ends;
- 1> if the resumption of the RRC connection is triggered by response to NG-RAN paging:
  - 2> select '0' as the Access Category;
  - 2> perform the unified access control procedure as specified in 5.3.16 using the selected Access Category and one or more Access Identities provided by upper layers;
    - 3> if the access attempt is barred, the procedure ends;
- 1> else if the resumption of the RRC connection is triggered by upper layers:
  - 2> if the upper layers provide an Access Category and one or more Access Identities:
    - 3> perform the unified access control procedure as specified in 5.3.16 using the Access Category and Access Identities provided by upper layers;
      - 4> if the access attempt is barred, the procedure ends;
  - 2> set the *resumeCause* in accordance with the information received from upper layers;
- 1> else if the resumption of the RRC connection is triggered due to an RNAU:
  - 2> if an emergency service is ongoing:
    - 3> select '2' as the Access Category;
    - 3> set the resumeCause to emergency;
  - 2> else:
    - 3> select '8' as the Access Category;
  - 2> perform the unified access control procedure as specified in 5.3.16 using the selected Access Category and one or more Access Identities to be applied as specified in TS 24.501 [95];
    - 3> if the access attempt is barred:
      - 4> set the variable *pendingRnaUpdate* to 'TRUE';
      - 4> the procedure ends;

Except for NB-IoT, upon initiating the procedure, if connected to EPC or 5GC, the UE shall:

- 1> if the UE is resuming an RRC connection from a suspended RRC connection or from RRC\_INACTIVE:
  - 2> if the UE was configured with (NG)EN-DC:
    - 3> if the UE does not support maintaining SCG configuration upon connection resumption:
      - 4> perform MR-DC release, as specified in TS 38.331 [82], clause 5.3.5.10;
      - 4> release *p-MaxEUTRA*, if configured;
      - 4> release *p-MaxUE-FR1*, if configured;

- 4> release tdm-PatternConfig or tdm-PatternConfig2, if configured;
- 3> release *otherConfig* associated with the SCG, if configured;
- 3> stop timers T346a, T346b, T346c, T346d and T346e associated with the SCG (see TS 38.331 [82], clause 7.1.1), if running;
- 2> if the UE does not support maintaining the MCG SCell configurations upon connection resumption:
  - 3> release the MCG SCell(s), if configured, in accordance with 5.3.10.3a;
- 2> release powerPrefIndicationConfig, if configured and stop timer T340, if running;
- 2> release reportProximityConfig and clear any associated proximity status reporting timer;
- 2> release *obtainLocationConfig*, if configured;
- 2> release bt-NameListConfig, if configured;
- 2> release wlan-NameListConfig, if configured;
- 2> release *measUncomBarPre*, if configured;
- 2> release *idc-Config*, if configured;
- 2> release sps-AssistanceInfoReport, if configured;
- 2> release scg-DeactivationPreferenceConfig, if configured and stop timer T346, if running;
- 2> release *measSubframePatternPCell*, if configured;
- 2> if the UE was configured with DC:
  - 3> release the entire SCG configuration, if configured, except for the DRB configuration (as configured by drb-ToAddModListSCG);
- 2> release *naics-Info* for the PCell, if configured;
- 2> release the LWA configuration, if configured, as described in 5.6.14.3;
- 2> release the LWIP configuration, if configured, as described in 5.6.17.3;
- 2> release bw-PreferenceIndicationTimer, if configured and stop timer T341, if running;
- 2> release delayBudgetReportingConfig, if configured and stop timer T342, if running;
- 2> release ailc-BitConfig, if configured;
- 2> release uplinkDataCompression, if configured;
- 2> release *overheatingAssistanceConfig* and *overheatingAssistanceConfigForSCG*, if configured and stop timer T345, if running;
- NOTE 1a: The parameters and configurations are released from the UE Inactive AS context if the UE is resuming an RRC connection from RRC\_INACTIVE.
- 1> if the UE is establishing or resuming an RRC connection from a suspended RRC connection:
  - 2> if the UE has a stored *pur-Config* and the cell is different from the cell where *pur-Config* was provided:
    - 3> if *pur-TimeAlignmentTimer* is configured, indicate to lower layers that *pur-TimeAlignmentTimer* is released;
    - 3> release *pur-Config*;
    - 3> discard previously stored pur-Config;
- 1> apply the default physical channel configuration as specified in 9.2.4;

- 1> apply the default semi-persistent scheduling configuration as specified in 9.2.3;
- 1> apply the default MAC main configuration as specified in 9.2.2;
- 1> apply the CCCH configuration as specified in 9.1.1.2;
- 1> apply the timeAlignmentTimerCommon included in SystemInformationBlockType2;
- 1> if UE supports timing advance reporting and ta-Report is included in SystemInformationBlockType2:
  - 2> instruct the associated MAC entity to trigger Timing Advance reporting;
- 1> start timer T300;
- 1> if the UE is resuming an RRC connection from a suspended RRC connection:
  - 2> initiate transmission of the RRCConnectionResumeRequest message in accordance with 5.3.3.3a;
- 1> else if the UE is resuming an RRC connection from RRC\_INACTIVE:
  - 2> set the variable *pendingRnaUpdate* to 'FALSE';
  - 2> initiate transmission of the RRCConnectionResumeRequest message in accordance with 5.3.3.3a;
- 1> else:
  - 2> if stored, discard the UE AS context, UE Inactive AS context and resumeIdentity;
  - 2> release *rrc-InactiveConfig*, if configured;
  - 2> if the UE is initiating CP-EDT in accordance with conditions in 5.3.3.1b; or
  - 2> if the UE is initiating CP transmission using PUR in accordance with conditions in 5.3.3.1c:
    - 3> initiate transmission of the RRCEarlyDataRequest message in accordance with 5.3.3.3b;
  - 2> else:
    - 3> initiate transmission of the RRCConnectionRequest message in accordance with 5.3.3.3;
- 1> if stored, discard *mt-EDT*;
- NOTE 2: Upon initiating the connection establishment procedure, the UE is not required to ensure it maintains up to date system information applicable only for UEs in RRC\_IDLE state or UEs in RRC\_INACTIVE. However, the UE needs to perform system information acquisition upon cell re-selection.
- For NB-IoT, upon initiation of the procedure, the UE shall:
  - 1> if the UE is connected to EPC:
    - 2> if the UE is establishing or resuming the RRC connection for mobile originating exception data; or
    - 2> if the UE is establishing or resuming the RRC connection for mobile originating data; or
    - 2> if the UE is establishing or resuming the RRC connection for delay tolerant access; or
    - 2> if the UE is establishing or resuming the RRC connection for mobile originating signalling;
      - 3> perform access barring check as specified in 5.3.3.14;
      - 3> if access to the cell is barred:
        - 4> inform upper layers about the failure to establish the RRC connection or failure to resume the RRC connection with suspend indication and that access barring is applicable, upon which the procedure ends;
  - 1> if the UE is connected to 5GC:
    - 2> if the Access Category provided by the upper layers is different from '0':

- 3> perform access barring check for per-NRSRP barring as specified in 5.3.3.14;
- 3> if access to the cell is barred:
  - 4> inform upper layers about the failure to establish the RRC connection or failure to resume the RRC connection with suspend indication, upon which the procedure ends;
- 3> else:
  - 4> perform the unified access control procedure as specified in 5.3.16 using the Access Category and Access Identities provided by upper layers;
  - 4> if the access attempt is barred, the procedure ends;
- 1> if the UE is establishing or resuming an RRC connection:
  - 2> if the UE has a stored pur-Config and the cell is different from the cell where pur-Config was provided:
    - 3> if *pur-TimeAlignmentTimer* is configured, indicate to lower layers that *pur-TimeAlignmentTimer* is released;
    - 3> release pur-Config;
    - 3> discard previously stored *pur-Config*;
- 1> apply the default physical channel configuration as specified in 9.2.4;
- 1> apply the default MAC main configuration as specified in 9.2.2;
- 1> apply the CCCH configuration as specified in 9.1.1.2;
- 1> if UE supports timing advance reporting and ta-Report is included in SystemInformationBlockType2-NB:
  - 2> instruct the associated MAC entity to trigger Timing Advance reporting;
- 1> start timer T300;
- 1> if the UE is establishing an RRC connection:
  - 2> if stored, discard the UE AS context and resumeIdentity;
  - 2> if the UE is initiating CP-EDT in accordance with conditions in 5.3.3.1b; or
  - 2> if the UE is initiating CP transmission using PUR in accordance with conditions in 5.3.3.1c:
    - 3> initiate transmission of the RRCEarlyDataRequest message in accordance with 5.3.3.3b;
  - 2> else:
    - 3> initiate transmission of the RRCConnectionRequest message in accordance with 5.3.3.3;
- 1> else if the UE is resuming an RRC connection:
  - 2> release *schedulingRequestConfig*, if configured;
  - 2> initiate transmission of the RRCConnectionResumeRequest message in accordance with 5.3.3.3a;
- 1> if stored, discard *mt-EDT*;
- NOTE 3: Upon initiating the connection establishment or resumption procedure, the UE is not required to ensure it maintains up to date system information applicable only for UEs in RRC\_IDLE state. However, the UE needs to perform system information acquisition upon cell re-selection.
- NOTE 4: For EDT and transmission using PUR, upon initiating the connection establishment or resumption procedure, it is up to UE implementation whether to continue cell re-selection related measurements as well as cell re-selection evaluation and, if the conditions for cell re-selection are fulfilled, whether to perform cell re-selection as specified in 5.3.3.5.

# 5.3.3.3 Actions related to transmission of *RRCConnectionRequest* message

The UE shall set the contents of RRCConnectionRequest message as follows:

- 1> if the UE is connected to EPC:
  - 2> set the *ue-Identity* as follows:
    - 3> if upper layers provide an S-TMSI:
      - 4> set the *ue-Identity* to the value received from upper layers;
    - 3> else:
      - 4> draw a random value in the range 0 ..  $2^{40}$ -1 and set the *ue-Identity* to this value;
- NOTE 1: Upper layers provide the S-TMSI if the UE is registered in the TA of the current cell.
  - 2> if the establishment of the RRC connection is the result of release with redirect with *mpsPriorityIndication* (either in NR or E-UTRAN):
    - 3> set the establishmentCause to highPriorityAccess;
  - 2> else:
    - 3> if the UE supports mo-VoiceCall establishment cause and UE is establishing the RRC connection for mobile originating MMTEL voice and SystemInformationBlockType2 includes voiceServiceCauseIndication and the establishment cause received from upper layers is not set to highPriorityAccess; or
    - 3> if the UE supports mo-VoiceCall establishment cause and EPS fallback for IMS voice (see TS 23.502 [102]) was triggered in NR via RRCRelease with voiceFallbackIndication (see TS 38.331 [82]) and SystemInformationBlockType2 includes voiceServiceCauseIndication and the establishment cause received from upper layers is not set to highPriorityAccess or emergency:
      - 4> set the *establishmentCause* to mo-VoiceCall;
    - 3> else if the UE supports mo-VoiceCall establishment cause for mobile originating MMTEL video and UE is establishing the RRC connection for mobile originating MMTEL video and SystemInformationBlockType2 includes videoServiceCauseIndication and the establishment cause received from upper layers is not set to highPriorityAccess:
      - 4> set the *establishmentCause* to mo-VoiceCall;
    - 3> else:
      - 4> set the establishmentCause in accordance with the information received from upper layers;
- 1> if the UE is connected to 5GC:
  - 2> set the *ue-Identity* as follows:
    - 3> if upper layers provide a 5G-S-TMSI:
      - 4> except for NB-IoT, set the ue-Identity to ng-5G-S-TMSI-Part1;
      - 4> for NB-IoT, set the *ue-Identity* to ng-5G-S-TMSI;
    - 3> else:
      - 4> draw a random value in the range  $0 ... 2^{40}$ -1 and set the *ue-Identity* to this value;
  - 2> if the establishment of the RRC connection is the result of release with redirect with *mpsPriorityIndication* (either in NR or E-UTRAN);
    - 3> set the establishmentCause to *highPriorityAccess*;
  - 2> else:

- 3> set the establishmentCause in accordance with the information received from upper layers;
- 2> except for NB-IoT, apply the default NR PDCP configuration as specified in TS 38.331 [82], clause 9.2.1.1 for SRB1;
- 2> except for NB-IoT, use NR PDCP for all subsequent messages received and sent by the UE via SRB1;
- 1> if the UE is a NB-IoT UE:
  - 2> if the UE is connected to EPC:
    - 3> if the UE supports multi-tone transmission, include *multiToneSupport*;
    - 3> if the UE supports multi-carrier operation, include multiCarrierSupport;
    - 3> set earlyContentionResolution to TRUE;
  - 2> if the UE supports DL channel quality reporting in MSG3 and *cqi-Reporting* is present in *SystemInformationBlockType2-NB*:
    - 3> set the *cqi-NPDCCH* to include the latest results of the downlink channel quality measurements of the carrier where the random access response is received as specified in TS 36.133 [16];
- NOTE 2: The downlink channel quality measurements use measurement period T1 or T2, as defined in TS 36.133 [16].
- 1> if the UE is initiating transmission using PUR in accordance with conditions in 5.3.3.1c:
  - 2> configure, except pur-TimeAlignmentTimer, the lower layers to use transmission using PUR;
  - 2> deliver the UL grant for transmission using PUR to the MAC entity;

The UE shall submit the RRCConnectionRequest message to lower layers for transmission.

The UE shall continue cell re-selection related measurements as well as cell re-selection evaluation. If the conditions for cell re-selection are fulfilled, the UE shall perform cell re-selection as specified in 5.3.3.5.

## 5.3.3.3a Actions related to transmission of RRCConnectionResumeRequest message

If the UE is resuming the RRC connection from a suspended RRC connection, the UE shall set the contents of *RRCConnectionResumeRequest* message as follows:

- 1> if the UE is a NB-IoT UE; or
- 1> if the UE is initiating UP-EDT for mobile originating calls in accordance with conditions in 5.3.3.1b; or
- 1> if the UE is initiating UP transmission using PUR in accordance with conditions in 5.3.3.1c; or
- 1> if field useFullResumeID is signalled in SystemInformationBlockType2:
  - 2> if the UE connected to 5GC is a BL UE or UE in CE:
    - 3> set the *fullI-RNTI* to the stored *fullI-RNTI*;
  - 2> else:
    - 3> set the resumeID to the stored resumeIdentity;
- 1> else:
  - 2> if the UE connected to 5GC is a BL UE or UE in CE:
    - 3> set the *shortI-RNTI* to the stored *shortI-RNTI*;
  - 2> else:

- 3> set the *truncatedResumeID* to include bits in bit position 9 to 20 and 29 to 40 from the left in the stored *resumeIdentity*.
- 1> if the UE is resuming the RRC connection after release with redirect with mpsPriorityIndication:
  - 2> set the resumeCause to highPriorityAccess;
- 1> else if the UE supports *mo-VoiceCall* establishment cause and UE is resuming the RRC connection for mobile originating MMTEL voice and *SystemInformationBlockType2* includes *voiceServiceCauseIndication* and the establishment cause received from upper layers is not set to *highPriorityAccess*:
  - 2> set the resumeCause to mo-VoiceCall;
- 1> else if the UE supports mo-VoiceCall establishment cause for mobile originating MMTEL video and UE is resuming the RRC connection for mobile originating MMTEL video and SystemInformationBlockType2 includes videoServiceCauseIndication and the establishment cause received from upper layers is not set to highPriorityAccess:
  - 2> set the *resumeCause* to *mo-VoiceCall*;
- 1> else if the UE is initiating UP-EDT for mobile terminating calls in accordance with conditions in 5.3.3.1b:
  - 2> set the *resumeCause* to *mt-EDT*;
- 1> else:
  - 2> set the resumeCause in accordance with the information received from upper layers;
- 1> set the *shortResumeMAC-I* to the 16 least significant bits of the MAC-I calculated:
  - 2> over the ASN.1 encoded as per clause 8 (i.e., a multiple of 8 bits) *VarShortResumeMAC-Input* (or *VarShortResumeMAC-Input-NB* in NB-IoT);
  - 2> with the K<sub>RRCint</sub> key and the previously configured integrity protection algorithm; and
  - 2> with all input bits for COUNT, BEARER and DIRECTION set to binary ones;
- 1> if the UE is a NB-IoT UE:
  - 2> if the UE supports DL channel quality reporting in MSG3 and *cqi-Reporting* is present in *SystemInformationBlockType2-NB*:
    - 3> set the *cqi-NPDCCH* to include the latest results of the downlink channel quality measurements of the carrier where the random access response is received as specified in TS 36.133 [16];
- NOTE 0: The downlink channel quality measurements use measurement period T1 or T2, as defined in TS 36.133 [16].
  - 2> if the UE is connected to EPC, set earlyContentionResolution to TRUE;
- 1> restore the RRC configuration and security context from the stored UE AS context, except for the following:
  - MCG SCell(s) configuration, if stored,
  - nr-SecondaryCellGroupConfig, if stored;
- 1> if the UE is initiating UP-EDT for mobile originating calls in accordance with conditions in 5.3.3.1b:
  - 2> if the UE is a NB-IoT UE connected to EPC:
    - 3> if the UE has ANR measurements information available in *VarANR-MeasReport-NB* and if the RPLMN is included in *plmn-IdentityList* stored in *VarANR-MeasReport-NB*:
      - 4> set anr-InfoAvailable to TRUE;
- 1> if the UE is resuming an RRC connection after early security reactivation in accordance with conditions in 5.3.3.18:

- 2> if the UE is initiating UP-EDT in accordance with conditions in 5.3.3.1b; or
- 2> if the UE is initiating UP transmission using PUR in accordance with conditions in 5.3.3.1c:
  - 3> restore the PDCP state and re-establish PDCP entities for all SRBs and all DRBs;
  - 3> if *drb-ContinueROHC* has been provided in immediately preceding RRC connection release message, and the UE is requesting to resume RRC connection in the same cell:
    - 4> indicate to lower layers that stored UE AS context is used and that drb-ContinueROHC is configured;
    - 4> continue the header compression protocol context for the DRBs configured with the header compression protocol;
  - 3> else:
    - 4> indicate to lower layers that stored UE AS context is used;
    - 4> reset the header compression protocol context for the DRBs configured with the header compression protocol;
  - 3> resume all SRBs and all DRBs;
- 2> else:
  - 3> if the UE is a NB-IoT UE or the UE is connected to EPC, restore the PDCP state and re-establish the PDCP entity for SRB1;
  - 3> if the UE is connected to 5GC:
    - 4> apply the default configuration for SRB1 as specified in 9.2.1.1;
    - 4> except for NB-IoT, apply the default NR PDCP configuration as specified in TS 38.331 [82], clause 9.2.1 for SRB1;
  - 3> resume SRB1;
- 2> derive the K<sub>eNB</sub> key based on the K<sub>ASME</sub> key to which the current K<sub>eNB</sub> is associated, using the stored value of *nextHopChainingCount* received in the *RRCConnectionRelease* message in the preceding connection, as specified in TS 33.401 [32] for EPC and TS 33.501 [86] for 5GC;
- 2> derive the  $K_{RRCint}$  key associated with the previously configured integrity algorithm, as specified in TS 33.401 [32] for EPC and TS 33.501 [86] for 5GC;
- 2> derive the K<sub>RRCenc</sub> key and the K<sub>UPenc</sub> key associated with the previously configured ciphering algorithm, as specified in TS 33.401 [32] for EPC and TS 33.501 [86] for 5GC;
- 2> configure lower layers to resume integrity protection using the previously configured algorithm and the K<sub>RRCint</sub> key derived in this clause to all subsequent messages received and sent by the UE;
- 2> configure lower layers to resume ciphering and to apply the ciphering algorithm and the K<sub>RRCenc</sub> key derived in this clause to all subsequent messages received and sent by the UE;
- 2> configure lower layers to resume ciphering and to apply the ciphering algorithm and the  $K_{UPenc}$  key derived in this clause immediately to the user data sent and received by the UE;
- 2> if the UE is initiating UP-EDT for mobile originated calls in accordance with conditions in 5.3.3.1b:
  - 3> configure the lower layers to use EDT;
- 2> else if the UE is initiating UP transmission using PUR in accordance with conditions in 5.3.3.1c:
  - 3> configure, except *pur-TimeAlignmentTimer*, the lower layers to use transmission using PUR;
  - 3> deliver the UL grant for transmission using PUR to the MAC entity;

1> else:

- 2> if SRB1 was configured with NR PDCP:
  - 3> for SRB1, release the NR PDCP entity and establish an E-UTRA PDCP entity with the current (MCG) security configuration;
- NOTE 1: The UE applies the LTE ciphering and integrity protection algorithms that are equivalent to the previously configured NR security algorithms.
  - 2> else:
    - 3> for SRB1, restore the PDCP state and re-establish the PDCP entity;

If the UE is resuming the RRC connection from RRC\_INACTIVE, the UE shall set the contents of *RRCConnectionResumeRequest* message as follows:

- 2> if field useFullResumeID is signalled in SystemInformationBlockType2:
  - 3> set the *fullI-RNTI* to the stored *fullI-RNTI* value provided in suspend;
- 2> else:
  - 3> set the *shortI-RNTI* to the stored *shortI-RNTI* value provided in suspend;
- 2> restore the RRC configuration, RoHC state, the stored QoS flow to DRB mapping rules and the K<sub>eNB</sub> and K<sub>RRCint</sub> keys from the UE Inactive AS context except for the following:
  - MCG physical layer,
  - MCG MAC configuration,
  - NR pdcp-Config,
  - MCG SCell configurations, if stored,
  - nr-SecondaryCellGroupConfig, if stored;
- 2> set the shortResumeMAC-I to the 16 least significant bits of the MAC-I calculated:
  - 3> over the ASN.1 encoded as per clause 8 (i.e., a multiple of 8 bits) VarShortINACTIVE-MAC-Input;
  - 3> with the K<sub>RRCint</sub> key in the UE Inactive AS Context and the previously configured integrity protection algorithm; and
  - 3> with all input bits for COUNT, BEARER and DIRECTION set to binary ones;
- 2> derive the K<sub>eNB</sub> key based on the current K<sub>eNB</sub> or the NH, using the stored *nextHopChainingCount* value, as specified in TS 33.501 [86];
- 2> derive the K<sub>RRCenc</sub> key, the K<sub>RRCint</sub> and the K<sub>UPenc</sub> key, as specified in TS 33.401 [32];
- 2> apply the default configuration for SRB1 as specified in 9.2.1.1;
- 2> apply the default NR PDCP configuration as specified in TS 38.331 [82], clause 9.2.1 for SRB1;
- 2> configure lower layers to resume integrity protection for all SRBs except SRB0 using the configured algorithm and the  $K_{RRCint}$  key derived in this clause immediately, i.e., integrity protection shall be applied to all subsequent messages received and sent by the UE;
- 2> configure lower layers to resume ciphering for all radio bearers except SRB0 and to apply the configured ciphering algorithm, the  $K_{RRCenc}$  key and the  $K_{UPenc}$  key derived in this clause, i.e. the ciphering configuration shall be applied to all subsequent messages received and sent by the UE;

Following procedures are applied for both suspended RRC connection and RRC\_INACTIVE:

2> resume SRB1;

NOTE 2: Until successful connection resumption, the default physical layer configuration and the default MAC Main configuration are applied for the transmission of SRB0 and SRB1, and SRB1 is used only for the transfer of *RRCConnectionResume* message, and *RRCConnectionRelease* message if security has been reactivated.

The UE shall submit the RRCConnectionResumeRequest message to lower layers for transmission.

The UE shall continue cell re-selection related measurements as well as cell re-selection evaluation.

If the UE is resuming the RRC connection from RRC\_INACTIVE and if lower layers indicate an integrity check failure while T300 is running, the UE shall perform actions specified in 5.3.3.16.

# 5.3.3.3b Actions related to transmission of RRCEarlyDataRequest message

The UE shall set the contents of RRCEarlyDataRequest message as follows:

- 1> if upper layers provide an S-TMSI:
  - 2> set the s-TMSI to the value received from upper layers;
- 1> else if upper layers provide a 5G-S-TMSI:
  - 2> set the ng-5G-S-TMSI to the value received from upper layers;
- 1> set the *establishmentCause* in accordance with the information received from upper layers;
- 1> if the UE is a NB-IoT UE:
  - 2> if the UE supports DL channel quality reporting and *cqi-Reporting* is present in *SystemInformationBlockType2-NB*:
    - 3> set the *cqi-NPDCCH* to include the latest results of the downlink channel quality measurements of the carrier where the random access response is received as specified in TS 36.133 [16];
- NOTE: The downlink channel quality measurements may use measurement period T1 or T2, as defined in TS 36.133 [16]. In case period T2 is used the RRC-MAC interactions are left to UE implementation.
- 1> set the *dedicatedInfoNAS* to include the information received from upper layers;

#### The UE shall:

- 1> if the UE is initiating CP-EDT in accordance with conditions in 5.3.3.1b:
  - 2> configure the lower layers to use EDT;
- 1> else if the UE is initiating CP transmission using PUR in accordance with conditions in 5.3.3.1c:
  - 2> configure, except pur-TimeAlignmentTimer, the lower layers to use transmission using PUR;
  - 2> deliver the UL grant for transmission using PUR to the MAC entity;
- 1> submit the RRCEarlyDataRequest message to the lower layers for transmission.

### 5.3.3.3c UE actions upon receiving EDT fallback indication from lower layers

Upon indication from lower layers that EDT is cancelled, the UE shall:

- 1> start or restart timer T300;
- 1> if the fallback is indicated by lower layers in response to the RRCEarlyDataRequest:
  - 2> initiate transmission of RRCConnectionRequest message in accordance with 5.3.3.3;
- 1> else if the fallback is indicated by lower layers in response to the *RRCConnectionResumeRequest* for EDT when connected to EPC and the fallback is not due to the UL grant provided in Random Access Response not being for EDT:

- 2> perform the actions as specified in 5.3.3.9a;
- 2> initiate transmission of the RRCConnectionResumeRequest message in accordance with 5.3.3.3a;

NOTE: It is up to UE implementation to avoid data loss due to EDT fallback.

## 5.3.3.3d UE actions upon receiving PUR indications from lower layers

The UE shall:

- 1> if repetition adjustment is indicated by lower layers:
  - 2> update *numRepetitions* (*npusch-NumRepetitionsIndex* in NB-IoT) in previously stored *pur-Config* in accordance with the received indication;
- 1> if *pur-RSRP-ChangeThreshold* (*pur-NRSRP-ChangeThreshold* in NB-IoT) is configured and timing advance adjustment is indicated by lower layers:
  - 2> replace the serving cell reference (N)RSRP value with the current serving cell (N)RSRP value (see 5.3.3.19);

For CP transmission using PUR, upon indication from lower layers that transmission using PUR is successfully completed, the UE shall perform the actions as specified in 5.3.3.4b as if an empty *RRCEarlyDataComplete* message was received.

Upon reception of PUR fallback or PUR failure indication from lower layers, the procedure ends.

NOTE: For transmission using PUR, further UE actions upon reception of PUR fallback or PUR failure indication from lower layers (see TS 36.321 [6]) is left up to implementation.

## 5.3.3.4 Reception of the RRCConnectionSetup by the UE

NOTE 1: Prior to this, lower layer signalling is used to allocate a C-RNTI. For further details see TS 36.321 [6];

The UE shall:

- 1> except when the UE connected to 5GC is a BL UE or UE in CE, if the *RRCConnectionSetup* is received in response to an *RRCConnectionResumeRequest* from a suspended RRC connection:
  - 2> if the UE is resuming an RRC connection after early security reactivation in accordance with conditions in 5.3.3.18:
    - 3> discard any current AS security context including the  $K_{RRCenc}$  key, the  $K_{RRCint}$  key, the  $K_{UPint}$  key and the  $K_{UPenc}$  key;
  - 2> release all radio resources, including release of the RLC entity, the MAC configuration and the associated PDCP entity for all established or suspended RBs, except for SRB0;
  - 2> discard the stored UE AS context and resumeIdentity;
  - 2> if stored, discard the stored nextHopChainingCount;
  - 2> if stored, discard the stored *drb-ContinueROHC*;
  - 2> indicate to upper layers fallback of the RRC connection;
- 1> if the RRCConnectionSetup is received in response to an RRCConnectionResumeRequest from RRC\_INACTIVE:
  - 2> stop T380 if running;
  - 2> discard the stored UE Inactive AS context;
  - 2> release *rrc-InactiveConfig*, if configured;
- 1> if the UE connected to 5GC is a BL UE or UE in CE, and the *RRCConnectionSetup* is received in response to an *RRCConnectionResumeRequest* from a suspended RRC connection:

- 2> discard the stored UE AS context and resumeIdentity;
- 2> if stored, discard the stored *nextHopChainingCount*;
- 2> if stored, discard the stored *drb-ContinueROHC*;
- 1> if the RRCConnectionSetup is received in response to an RRCConnectionResumeRequest from RRC\_INACTIVE; or
- 1> if the UE connected to 5GC is a BL UE or UE in CE, and the *RRCConnectionSetup* is received in response to an *RRCConnectionResumeRequest* from a suspended RRC connection:
  - 2> discard any current AS security context including the  $K_{RRCenc}$  key, the  $K_{RRCint}$  key, the  $K_{UPint}$  key and the  $K_{UPenc}$  key;
  - 2> release radio resources for all established RBs except SRB0, including release of the RLC entities, of the associated PDCP entities and of SDAP entities;
  - 2> release the RRC configuration except for the default L1 parameter values, default MAC main configuration and CCCH;
  - 2> apply the default NR PDCP configuration as specified in TS 38.331 [82], clause 9.2.1.1 for SRB1;
  - 2> use NR PDCP for all subsequent messages received and sent by the UE via SRB1;
  - 2> indicate to upper layers fallback of the RRC connection;
- 1> if the *RRCConnectionSetup* is received in response to an *RRCEarlyDataRequest* or *RRCConnectionResumeRequest* for transmission using PUR:
  - 2> instruct the associated MAC entity to start timeAlignmentTimer;
- 1> perform the radio resource configuration procedure in accordance with the received *radioResourceConfigDedicated* and as specified in 5.3.10.0;
- 1> if stored, discard the cell reselection priority information provided by the *idleModeMobilityControlInfo* or inherited from another RAT;
- 1> if stored, discard the *altFreqPriorities* provided by the *RRCConnectionRelease*;
- 1> if stored, discard the dedicated offset provided by the redirectedCarrierOffsetDedicated;
- 1> stop timer T300;
- 1> if T302 is running:
  - 2> stop timer T302;
  - 2> if the UE is connected to 5GC:
    - 3> perform the actions as specified in 5.3.16.4;
- 1> stop timer T303, if running;
- 1> stop timer T305, if running;
- 1> stop timer T306, if running;
- 1> stop timer T308, if running;
- 1> perform the actions as specified in 5.3.3.7;
- 1> stop timer T320, if running;
- 1> stop timer T350, if running;
- 1> perform the actions as specified in 5.6.12.4;

- 1> release rclwi-Configuration, if configured, as specified in 5.6.16.2;
- 1> stop timer T360, if running;
- 1> stop timer T322, if running;
- 1> if timer T331 is running:
  - 2> stop timer T331;
  - 2> perform the actions as specified in 5.6.20.3;
- 1> stop timer T323, if running;
- 1> forward the *dedicatedInfoNAS*, if received, to the upper layers;
- 1> if T309 is running:
  - 2> stop timer T309 for all access categories;
  - 2> perform the actions as specified in 5.3.16.4.
- 1> enter RRC\_CONNECTED;
- 1> stop the cell re-selection procedure;
- 1> consider the current cell to be the PCell;
- 1> except for NB-IoT:
  - 2> if the UE supports RLF report for inter-RAT MRO EUTRA as defined in TS 38.306 [87], and if the UE has radio link failure or handover failure information available in *VarRLF-Report* of TS 38.331 [82] and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report* of TS 38.331 [82]:
    - 3> if *reconnectCellId* in *VarRLF-Report* of TS 38.331 [82] is not set, and if the UE failed to perform reestablishment:
      - 4> set *timeUntilReconnection* in *VarRLF-Report* of TS 38.331 [82] to the time that elapsed since the last radio link failure or handover failure;
      - 4> set *eutraReconnectCellId* in *reconnectCellId* in *VarRLF-Report* of TS 38.331 [82] to the global cell identity and the tracking area code of the PCell;
  - 2> if the UE radio link failure or handover failure information available in *VarRLF-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report*:
    - 3> if reconnectCellId in VarRLF-Report is not set, and if the UE failed to perform reestablishment:
      - 4> set *timeUntilReconnection* in *VarRLF-Report* to the time that elapsed since the last radio link failure or handover failure;
      - 4> set *eutraReconnectCellId* in *reconnectCellId* in *VarRLF-Report* to the global cell identity and the tracking area code of the PCell;
- 1> set the content of RRCConnectionSetupComplete message as follows:
  - 2> if the RRCConnectionSetup is received in response to an RRCConnectionResumeRequest:
    - 3> if upper layers provide an S-TMSI:
      - 4> set the *s-TMSI* to the value received from upper layers;
    - 3> else if upper layers provide a 5G-S-TMSI:
      - 4> if the UE is a NB-IoT UE:
        - 5> set the *ng-5G-S-TMSI* to the value received from upper layers;

4> else:

- 5> set the ng-5G-S-TMSI-Bits to ng-5G-S-TMSI with the value received from upper layers;
- 2> else if upper layers provide a 5G-S-TMSI:
  - 3> except for NB-IoT, set the *ng-5G-S-TMSI-Bits* to *ng-5G-S-TMSI-Part2* to the leftmost 8 bits of 5G-S-TMSI received from upper layers;
- 2> set the *selectedPLMN-Identity* to the PLMN selected by upper layers (see TS 23.122 [11], TS 24.301 [35] for E-UTRA/EPC and TS 24.501 [95] for E-UTRA/5GC) from the PLMN(s) included in the *plmn-IdentityList* in *SystemInformationBlockType1* (or *SystemInformationBlockType1-NB* in NB-IoT);
- 2> if upper layers provide the 'Registered MME', include and set the registeredMME as follows:
  - 3> if the PLMN identity of the 'Registered MME' is different from the PLMN selected by the upper layers:
    - 4> include the *plmnIdentity* in the *registeredMME* and set it to the value of the PLMN identity in the 'Registered MME' received from upper layers;
  - 3> set the *mmegi* and the *mmec* to the value received from upper layers;
- 2> if upper layers provided the 'Registered MME':
  - 3> include and set the *gummei-Type* to the value provided by the upper layers;
- 2> if upper layers provide the 'Registered AMF', include and set the registeredAMF as follows:
  - 3> if the PLMN identity of the 'Registered AMF' is different from the PLMN selected by the upper layers:
    - 4> include the *plmnIdentity* in the *registeredAMF* and set it to the value of the PLMN identity in the 'Registered AMF' received from upper layers;
  - 3> set the amf-Identifier to AMF Identifier of the 'Registered AMF' received from upper layers;
- 2> if upper layers provided the 'Registered AMF':
  - 3> include and set the *guami-Type* to the value provided by the upper layers;
- 2> if upper layers provide one or more S-NSSAI (see TS 23.003 [27]):
  - 3> include the s-NSSAI-list and set the content to the values provided by the upper layers;
- 2> if the UE supports CIoT EPS optimisation(s):
  - 3> include attachWithoutPDN-Connectivity if received from upper layers;
  - 3> include *up-CIoT-EPS-Optimisation* if received from upper layers;
  - 3> except for NB-IoT, include *cp-CloT-EPS-Optimisation* if received from upper layers;
- 2> if the UE supports CIoT 5GS optimisation(s):
  - 3> for NB-IoT, include ng-U-DataTransfer if received from upper layers;
  - 3> except for NB-IoT, include *cp-CIoT-5GS-Optimisatoin* if received from upper layers;
- 2> if connecting as an RN:
  - 3> include the *rn-SubframeConfigReq*;
- 2> if the RRCConnectionSetup is received in response to RRCEarlyDataRequest:
  - 3> set the *dedicatedInfoNAS* to a zero-length octet string;
- 2> else:
  - 3> set the *dedicatedInfoNAS* to include the information received from upper layers;

- 2> if the *RRCConnectionSetup* is not in response to transmission using PUR and the UE has a stored *pur-Config* including *pur-ConfigID*:
  - 3> include the stored *pur-ConfigID*;
- 2> if the UE is connected to EPC:
  - 3> except for NB-IoT:
    - 4> include the *mobilityState* and set it to the mobility state (as specified in TS 36.304 [4]) of the UE just prior to entering RRC\_CONNECTED state;
    - 4> if the UE has flight path information available:
      - 5> include flightPathInfoAvailable;
  - 3> for NB-IoT:
    - 4> if the UE has radio link failure information available in *VarRLF-Report-NB* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report-NB*:
      - 5> include rlf-InfoAvailable;
    - 4> if the UE has ANR measurements information available in *VarANR-MeasReport-NB* and if the RPLMN is included in *plmn-IdentityList* stored in *VarANR-MeasReport-NB*:
      - 5> include anr-InfoAvailable;
  - 3> include dcn-ID if a DCN-ID value (see TS 23.401 [41]) is received from upper layers;
- 2> else (i.e. the UE is connected to 5GC):
  - 3> if the UE is a BL UE:
    - 4> include *lte-M*:
- 2> except for NB-IoT:
  - 3> if the UE has radio link failure or handover failure information available in *VarRLF-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report*:
    - 4> include rlf-InfoAvailable;
  - 3> if the UE has MBSFN logged measurements available for E-UTRA and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:
    - 4> include logMeasAvailableMBSFN;
  - 3> if the UE has logged measurements available for E-UTRA and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:
    - 4> include logMeasAvailable;
    - 4> if Bluetooth measurement results are included in the logged measurements the UE has available:
      - 5> include *logMeasAvailableBT*;
    - 4> if WLAN measurement results are included in the logged measurements the UE has available:
      - 5> include logMeasAvailableWLAN;
  - 3> if the UE has connection establishment failure information available in *VarConnEstFailReport* and if the RPLMN is equal to *plmn-Identity* stored in *VarConnEstFailReport*:
    - 4> include connEstFailInfoAvailable;
  - 3> if the UE supports storage of mobility history information and the UE has mobility history information available in *VarMobilityHistoryReport*:

- 4> include the *mobilityHistoryAvail*;
- 3> if the SIB2 contains *idleModeMeasurements* and the UE has E-UTRA idle/inactive measurement information concerning cells other than the PCell available in *VarMeasIdleReport*; or
- 3> if the SIB2 contains *idleModeMeasurementsNR* and the UE has NR idle/inactive measurement information available in *VarMeasIdleReport*:
  - 4> include the *idleMeasAvailable*;
- 3> if upper layers indicate that access to RLOS is initiated (see TS 23.401 [41] clause 4.3.8.3):
  - 4> set rlos-Request to true;
- 2> if UE needs UL gaps during continuous uplink transmission:
  - 3> include ue-CE-NeedULGaps;
- 2> for NB-IoT:
  - 3> if the UE supports serving cell idle mode measurements reporting and *servingCellMeasInfo* is present in *SystemInformationBlockType2-NB*:
    - 4> set the *measResultServCell* to include the measurements of the serving cell;
- NOTE 2: The UE includes the latest results of the serving cell measurements as used for cell selection/ reselection evaluation, which are performed in accordance with the performance requirements as specified in TS 36.133 [16].
  - 2> if connecting as an IAB-node:
    - 3> include *iab-NodeIndication*;
  - 2> if the UE is connected to NTN:
    - 3> include gnss-validityDuration in accordance with the remaining time of the GNSS validity duration;
  - 2> if UE supports uplink RRC Segmentation of UECapabilityInformation:
    - 3> except for NB-IoT, may include *ul-RRC-Segmentation* if upper layers indicate that they are performing an Attach or TA Update;
- 1> submit the RRCConnectionSetupComplete message to lower layers for transmission;
- 1> for NB-IoT:
  - 2> if the UE supports connected mode measurements and *connMeasConfig* is present in *SystemInformationBlockType3-NB*:
    - 3> perform measurements as specified in 5.5.8.
- 1> the procedure ends.

# 5.3.3.4a Reception of the RRCConnectionResume by the UE

- 1> stop timer T300;
- 1> if T309 is running:
  - 2> stop timer T309 for all access categories;
  - 2> perform the actions as specified in 5.3.16.4.
- 1> stop T380 if running;

- 1> if the *RRCConnectionResume* is received in response to an *RRCConnectionResumeRequest* for EDT or for transmission using PUR:
  - 2> discard the stored UE AS context and resumeIdentity;
  - 2> if the *RRCConnectionResume* is received in response to an *RRCConnectionResumeRequest* for transmission using PUR:
    - 3> instruct the associated MAC entity to start timeAlignmentTimer;

#### 1> else:

- 2> if resuming an RRC connection from a suspended RRC connection in EPC; or
- 2> for NB-IoT, if resuming an RRC connection from a suspended RRC connection in 5GC and *fullConfig* is not present in the *RRCConnectionResume* message:
  - 3> restore the PDCP state and re-establish PDCP entities for SRB2, if configured with E-UTRA PDCP, and for all DRBs that are configured with E-UTRA PDCP;
  - 3> if *drb-ContinueROHC* is included:
    - 4> indicate to lower layers that stored UE AS context is used and that drb-ContinueROHC is configured;
    - 4> continue the header compression protocol context for the DRBs configured with the header compression protocol;
  - 3> else:
    - 4> indicate to lower layers that stored UE AS context is used;
    - 4> reset the header compression protocol context for the DRBs configured with the header compression protocol;
  - 3> if restoreMCG-SCells is included:
    - 4> restore the MCG SCell(s) configuration, if stored;
  - 3> else:
    - 4> release the MCG SCell(s) from the UE AS context, if stored;
  - 3> if restoreSCG is included:
    - 4> restore *nr-SecondaryCellGroupConfig*, if stored;
  - 3> else if the UE was configured with EN-DC:
    - 4> perform MR-DC release, as specified in TS 38.331 [82], clause 5.3.5.10;
    - 4> release tdm-PatternConfig or tdm-PatternConfig2, if configured;
  - 3> discard the stored UE AS context and resumeIdentity;
  - 3> configure lower layers to consider the restored MCG and SCG SCell(s) (if any) to be in deactivated state;
- 2> else if the *RRCConnectionResume* message includes the *fullConfig* (i.e., for resuming an RRC connection from RRC\_INACTIVE or for resuming a suspended RRC connection in 5GC):
  - 3> perform the radio configuration procedure as specified in 5.3.5.8;
- 2> else if resuming an RRC connection from RRC\_INACTIVE:
  - 3> restore the following from the stored UE Inactive AS context:
    - MCG physical layer configuration,
    - MCG MAC configuration,

- MCG RLC configuration,
- PDCP configuration;
- 3> if restoreMCG-SCells is included:
  - 4> restore the MCG SCell(s) configuration, if stored;
- 3> else:
  - 4> release the MCG SCell(s) from the UE Inactive AS context, if stored;
- 3> if restoreSCG is included:
  - 4> restore *nr-SecondaryCellGroupConfig*, if stored;
- 3> else if the UE was configured with NGEN-DC:
  - 4> perform MR-DC release, as specified in TS 38.331 [82], clause 5.3.5.10;
  - 4> release tdm-PatternConfig or tdm-PatternConfig2, if configured;
- 3> discard the stored UE Inactive AS context;
- 3> configure lower layers to consider the restored MCG and SCG SCell(s) (if any) to be in deactivated state;
- 3> release the *rrc-InactiveConfig*, except *ran-NotificationAreaInfo*;
- 2> else (i.e., except for NB-IoT for resuming a suspended RRC connection in 5GC):
  - 3> restore the physical layer configuration, the MAC configuration, the RLC configuration and the PDCP configuration from the stored UE AS context;
  - 3> discard the stored UE AS context and resumeIdentity;
- 1> perform the radio resource configuration procedure in accordance with the received *radioResourceConfigDedicated* and as specified in 5.3.10.0;
- NOTE 1: When performing the radio resource configuration procedure, for the physical layer configuration and the MAC Main configuration, the restored RRC configuration from the stored UE AS context is used as basis for the reconfiguration.
- 1> if the received *RRCConnectionResume* includes the *sCellToReleaseList*:
  - 2> perform SCell release as specified in 5.3.10.3a;
- 1> if the received RRCConnectionResume includes the sCellToAddModList:
  - 2> perform SCell addition or modification as specified in 5.3.10.3b;
- 1> if the received RRCConnectionResume includes the sCellGroupToReleaseList:
  - 2> perform SCell group release as specified in 5.3.10.3d;
- 1> if the received RRCConnectionResume includes the sCellGroupToAddModList:
  - 2> perform SCell group addition or modification as specified in 5.3.10.3e;
- 1> if the received RRCConnectionResume message includes the nr-SecondaryCellGroupConfig:
  - 2> perform NR RRC Reconfiguration as specified in TS 38.331 [82], clause 5.3.5.3;
- 1> if the received RRCConnectionResume message includes the sk-Counter:
  - 2> perform key update procedure as specified in TS 38.331 [82], clause 5.3.5.8;
- 1> if the received RRCConnectionResume message includes the nr-RadioBearerConfig1:
  - 2> perform radio bearer configuration as specified in TS 38.331 [82], clause 5.3.5.6;

- 1> if the received *RRCConnectionResume* message includes the *nr-RadioBearerConfig2*:
  - 2> perform radio bearer configuration as specified in TS 38.331 [82], clause 5.3.5.6;
- 1> except if the *RRCConnectionResume* is received in response to an *RRCConnectionResumeRequest* for EDT or for transmission using PUR:
  - 2> resume SRB2, SRB3 (if configured), and all DRBs, if any, including RBs configured with NR PDCP;
- NOTE 1a: If the NR SCG is deactivated, resuming SRB3 and all DRBs does not imply that PDCP or RRC PDUs can be transmitted or received on SCG RLC bearers.
- 1> if stored, discard the cell reselection priority information provided by the *idleModeMobilityControlInfo* or inherited from another RAT;
- 1> if stored, discard the altFreqPriorities provided by the RRCConnectionRelease;
- 1> if stored, discard the dedicated offset provided by the redirectedCarrierOffsetDedicated;
- 1> if the *RRCConnectionResume* message includes the *measConfig*:
  - 2> perform the measurement configuration procedure as specified in 5.5.2;
- 1> if T302 is running:
  - 2> stop timer T302;
  - 2> if the UE is connected to 5GC:
    - 3> perform the actions as specified in 5.3.16.4;
- 1> stop timer T303, if running;
- 1> stop timer T305, if running;
- 1> stop timer T306, if running;
- 1> stop timer T308, if running;
- 1> perform the actions as specified in 5.3.3.7;
- 1> stop timer T320, if running;
- 1> stop timer T350, if running;
- 1> perform the actions as specified in 5.6.12.4;
- 1> stop timer T360, if running;
- 1> stop timer T322, if running;
- 1> stop timer T323, if running;
- 1> if timer T331 is running:
  - 2> stop timer T331;
  - 2> perform the actions as specified in 5.6.20.3;
- 1> if the UE is resuming an RRC connection after early security reactivation in accordance with conditions in 5.3.3.18 or *RRCConnectionResume* is received in response to an *RRCConnectionResumeRequest* from RRC\_INACTIVE:
  - 2> ignore the nextHopChainingCount value indicated in the RRCConnectionResume message;
- 1> else:
  - 2> if resuming an RRC connection from a suspended RRC connection in EPC:

- 3> update the K<sub>eNB</sub> key based on the K<sub>ASME</sub> key to which the current K<sub>eNB</sub> is associated, using the *nextHopChainingCount* value indicated in the *RRCConnectionResume* message, as specified in TS 33.401 [32];
- 3> store the *nextHopChainingCount* value;
- 3> derive the K<sub>RRCint</sub> key associated with the previously configured integrity algorithm, as specified in TS 33.401 [32];
- 3> request lower layers to verify the integrity protection of the *RRCConnectionResume* message, using the previously configured algorithm and the K<sub>RRCint</sub> key;
- 3> if the integrity protection check of the RRCConnectionResume message fails:
  - 4> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'other', upon which the procedure ends;
- 3> derive the K<sub>RRCenc</sub> key and the K<sub>UPenc</sub> key associated with the previously configured ciphering algorithm, as specified in TS 33.401 [32];
- 3> configure lower layers to resume integrity protection using the previously configured algorithm and the K<sub>RRCint</sub> key immediately, i.e., integrity protection shall be applied to all subsequent messages received and sent by the UE;
- 3> configure lower layers to resume ciphering and to apply the ciphering algorithm, the  $K_{RRCenc}$  key and the  $K_{UPenc}$  key, i.e. the ciphering configuration shall be applied to all subsequent messages received and sent by the UE;
- 1> enter RRC\_CONNECTED;
- 1> indicate to upper layers that the suspended RRC connection has been resumed;
- 1> stop the cell re-selection procedure;
- 1> consider the current cell to be the PCell;
- 1> set the content of RRCConnectionResumeComplete message as follows:
  - 2> set the *selectedPLMN-Identity* to the PLMN selected by upper layers (see TS 23.122 [11], TS 24.301 [35] for E-UTRA/EPC and TS 24.501 [95] for E-UTRA/5GC) from the PLMN(s) included in the *plmn-IdentityList* in *SystemInformationBlockType1*;
  - 2> set the *dedicatedInfoNAS* to include the information received from upper layers;
  - 2> except for NB-IoT:
    - 3> if resuming an RRC connection from a suspended RRC connection:
      - 4> if the UE has radio link failure or handover failure information available in *VarRLF-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report*:
        - 5> include rlf-InfoAvailable;
      - 4> if the UE has MBSFN logged measurements available for E-UTRA and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:
        - 5> include *logMeasAvailableMBSFN*;
      - 4> else if the UE has logged measurements available for E-UTRA and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:
        - 5> include *logMeasAvailable*;
        - 5> if Bluetooth measurement results are included in the logged measurements the UE has available:
          - 6> include *logMeasAvailableBT*;

- 5> if WLAN measurement results are included in the logged measurements the UE has available:
  - 6> include logMeasAvailableWLAN;
- 4> if the UE has connection establishment failure information available in *VarConnEstFailReport* and if the RPLMN is equal to *plmn-Identity* stored in *VarConnEstFailReport*:
  - 5> include *connEstFailInfoAvailable*;
- 4> include the *mobilityState* and set it to the mobility state (as specified in TS 36.304 [4]) of the UE just prior to entering RRC\_CONNECTED state;
- 4> if the UE has flight path information available:
  - 5> include *flightPathInfoAvailable*;
- 3> if the UE supports storage of mobility history information and the UE has mobility history information available in *VarMobilityHistoryReport*:
  - 4> include *mobilityHistoryAvail*;
- 3> if the *idleModeMeasurementReq* is included in the *RRCConnectionResume* message:
  - 4> if the UE has idle/inactive measurement information concerning cells other than the PCell available in *VarMeasIdleReport*:
    - 5> set the *measResultListIdle-r16* in the *RRCConnectionResumeComplete* message to the value of *measReportIdle-r15* in the *VarMeasIdleReport*;
    - 5> set the *measResultListExtIdle* in the *RRCConnectionResumeComplete* message to the value of *measReportIdle-r16* in the *VarMeasIdleReport*, if available;
    - 5> set the *measResultListIdleNR* in the *RRCConnectionResumeComplete* message to the value of *measReportIdleNR* in the *VarMeasIdleReport*, if available;
    - 5> discard the *VarMeasIdleReport* upon successful delivery of the *RRCConnectionResumeComplete* message is confirmed by lower layers;

### 3> else:

- 4> if the SIB2 contains *idleModeMeasurements* and the UE has E-UTRA idle/inactive measurement information concerning cells other than the PCell available in *VarMeasIdleReport*; or
- 4> if the SIB2 contains *idleModeMeasurementsNR* and the UE has NR idle/inactive measurement information available in *VarMeasIdleReport*:
  - 5> include the *idleMeasAvailable*;
- 3> if the RRCConnectionResume message includes nr-SecondaryCellGroupConfig:
  - 4> include scg-ConfigResponseNR in accordance with TS 38.331 [82], clause 5.3.5.3;

#### 2> for NB-IoT:

- 3> if the UE supports serving cell idle mode measurements reporting and *servingCellMeasInfo* is present in *SystemInformationBlockType2-NB*:
  - 4> set the *measResultServCell* to include the measurements of the serving cell;
- NOTE 2: The UE includes the latest results of the serving cell measurements as used for cell selection/ reselection evaluation, which are performed in accordance with the performance requirements as specified in TS 36.133 [16].
  - 3> if the UE is connected to EPC:
    - 4> if the UE has radio link failure information available in *VarRLF-Report-NB* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report-NB*:

- 5> include rlf-InfoAvailable;
- 4> if the UE has ANR measurements information available in *VarANR-MeasReport-NB* and if the RPLMN is included in *plmn-IdentityList* stored in *VarANR-MeasReport-NB*:
  - 5> include anr-InfoAvailable;
- 2> if the UE is connected to NTN:
  - 3> include gnss-validityDuration in accordance with the remaining time of the GNSS validity duration;
- 1> if the UE is configured to operate in EN-DC as result of this procedure, forward *upperLayerIndication* to upper layers as if the UE has received this field from SIB2, otherwise indicate to upper layers the absence of this field;
- 1> submit the RRCConnectionResumeComplete message to lower layers for transmission;
- 1> for NB-IoT:
  - 2> if the UE supports connected mode measurements and *connMeasConfig* is present in *SystemInformationBlockType3-NB*:
    - 3> perform measurements as specified in 5.5.8.
- 1> the procedure ends.

# 5.3.3.4b Reception of the RRCEarlyDataComplete by the UE

- 1> indicate to upper layers that the RRC connection has been established;
- 1> if stored, discard the cell reselection priority information provided by the *idleModeMobilityControlInfo* or inherited from another RAT;
- 1> if stored, discard the *altFreqPriorities* provided by the *RRCConnectionRelease*;
- 1> if stored, discard the dedicated offset provided by the redirectedCarrierOffsetDedicated;
- 1> stop timer T300;
- 1> stop timer T302, if running;
- 1> stop timer T303, if running;
- 1> stop timer T305, if running;
- 1> stop timer T306, if running;
- 1> stop timer T308, if running;
- 1> perform the actions as specified in 5.3.3.7;
- 1> stop timer T320, if running;
- 1> stop timer T322, if running;
- 1> stop timer T323, if running;
- 1> forward the *dedicatedInfoNAS*, if received, to the upper layers;
- 1> reset MAC and release the MAC configuration;
- 1> if the RRCEarlyDataComplete message includes redirectedCarrierInfo indicating redirection to geran; and
- 1> if upper layers indicate that redirect to GERAN without AS security is not allowed:
  - 2> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'other', upon which the procedure ends;

- 1> if the RRCEarlyDataComplete message includes idleModeMobilityControlInfo:
  - 2> store the cell reselection priority information provided by the *idleModeMobilityControlInfo*;
  - 2> if the t320 is included:
    - 3> start timer T320, with the timer value set according to the value of t320;
- 1> else:
  - 2> apply the cell reselection priority information broadcast in the system information;
- 1> for NB-IoT, if the RRCEarlyDataComplete message includes redirectedCarrierInfo:
  - 2> if the redirectedCarrierOffsetDedicated is included in the redirectedCarrierInfo:
    - 3> store the dedicated offset for the frequency in *redirectedCarrierInfo*;
    - 3> start timer T322, with the timer value set according to the value of T322 in redirectedCarrierInfo;
- 1> if the extendedWaitTime is present; and
- 1> if the UE supports delay tolerant access or the UE is a NB-IoT UE:
  - 2> forward the extendedWaitTime to upper layers;
- 1> indicate the release of the RRC connection to upper layers together with the release cause 'other', upon which the procedure ends;

# 5.3.3.5 Cell re-selection or cell selection while T300, T302, T303, T305, T306, T308 or T309 is running

- 1> if cell selection or reselection occurs while T309 or T302 is running and if the UE is connected to 5GC:
  - 2> stop timer T309 for all access categories, if running;
  - 2> if in RRC\_INACTIVE and T302 is running:
    - 3> perform the actions upon leaving RRC\_INACTIVE as specified in 5.3.12 with release cause 'RRC Resume failure':
  - 2> else:
    - 3> stop timer T302, if running;
    - 3> perform the actions as specified in 5.3.16.4;
- 1> if in RRC\_INACTIVE:
  - 2> if cell reselection occurs while T300 is running:
    - 3> perform the actions upon leaving RRC\_INACTIVE as specified in 5.3.12 with release cause 'RRC Resume failure';
- 1> else if cell reselection occurs while T300, T302, T303, T305, T306, or T308 is running:
  - 2> if timer T302, T303, T305, T306, and/or T308 is running and if the UE is connected to EPC:
    - 3> stop timer T302, T303, T305, T306, and T308, whichever ones were running;
    - 3> perform the actions as specified in 5.3.3.7;
  - 2> if timer T300 is running:
    - 3> stop timer T300;

- 3> if UE has sent RRCConnectionResumeRequest message and has not received RRCConnectionResume message:
  - 4> reset MAC;
  - 4> if UE is resuming an RRC connection after early security reactivation in accordance with conditions in 5.3.3.18:
    - 5> perform the actions as specified in 5.3.3.9a;
  - 4> else:
    - 5> re-establish RLC for all RBs that are established;
    - 5> suspend SRB1;
- 3> else:
  - 4> reset MAC, release the MAC configuration and re-establish RLC for all RBs that are established;
- 3> inform upper layers about the failure to establish the RRC connection or failure to resume the RRC connection with suspend indication;

# 5.3.3.6 T300 expiry

- 1> if timer T300 expires:
  - 2> if UE has sent RRCConnectionResumeRequest message and has not received RRCConnectionResume message:
    - 3> reset MAC;
    - 3> if UE is resuming an RRC connection after early security reactivation in accordance with conditions in 5.3.3.18:
      - 4> perform the actions as specified in 5.3.3.9a;
    - 3> else:
      - 4> re-establish RLC for all RBs that are established;
      - 4> suspend SRB1;
  - 2> else:
    - 3> reset MAC, release the MAC configuration and re-establish RLC for all RBs that are established;
  - 2> if the UE is a NB-IoT UE:
    - 3> if *connEstFailOffset* is included in *SystemInformationBlockType2-NB*:
      - 4> use *connEstFailOffset* for the parameter Qoffset<sub>temp</sub> for the concerned cell when performing cell selection and reselection according to TS 36.304 [4];
    - 3> else:
      - 4> use value of infinity for the parameter Qoffset<sub>temp</sub> for the concerned cell when performing cell selection and reselection according to TS 36.304 [4];
- NOTE 0: For NB-IoT, the number of times that the UE detects T300 expiry on the same cell before applying connEstFailOffset and the amount of time that the UE applies connEstFailOffset before removing the offset from evaluation of the cell is up to UE implementation.

- 2> else if the UE supports RRC Connection Establishment failure temporary Qoffset and T300 has expired a consecutive *connEstFailCount* times on the same cell for which *txFailParams* is included in *SystemInformationBlockType2*:
  - 3> for a period as indicated by *connEstFailOffsetValidity*:
    - 4> use *connEstFailOffset* for the parameter Qoffset<sub>temp</sub> for the concerned cell when performing cell selection and reselection according to TS 36.304 [4] and TS 25.304 [40];
- NOTE 1: When performing cell selection, if no suitable or acceptable cell can be found, it is up to UE implementation whether to stop using *connEstFailOffset* for the parameter Qoffset<sub>temp</sub> during *connEstFailOffsetValidity* for the concerned cell.
  - 2> except for NB-IoT, store the following connection establishment failure information in the *VarConnEstFailReport* by setting its fields as follows:
    - 3> clear the information included in VarConnEstFailReport, if any;
    - 3> set the *plmn-Identity* to the PLMN selected by upper layers (see TS 23.122 [11], TS 24.301 [35]) from the PLMN(s) included in the *plmn-IdentityList* in *SystemInformationBlockType1*;
    - 3> set the *failedCellId* to the global cell identity of the cell where connection establishment failure is detected:
    - 3> set the *measResultFailedCell* to include the RSRP and RSRQ, if available, of the cell where connection establishment failure is detected and based on measurements collected up to the moment the UE detected the failure;
    - 3> if available, set the *measResultNeighCells*, in order of decreasing ranking-criterion as used for cell reselection, to include neighbouring cell measurements for at most the following number of neighbouring cells: 6 intra-frequency and 3 inter-frequency neighbours per frequency as well as 3 inter-RAT neighbours, per frequency/set of frequencies (GERAN) per RAT and according to the following:
      - 4> for each neighbour cell included, include the optional fields that are available;
- NOTE 2: The UE includes the latest results of the available measurements as used for cell reselection evaluation, which are performed in accordance with the performance requirements as specified in TS 36.133 [16].
  - 3> if available, set the *logMeasResultListWLAN* to include the WLAN measurement results, in order of decreasing RSSI for WLAN APs;
  - 3> if available, set the *logMeasResultListBT* to include the Bluetooth measurement results, in order of decreasing RSSI for Bluetooth beacons;
  - 3> if detailed location information is available, set the content of the *locationInfo* as follows:
    - 4> include the *locationCoordinates*;
    - 4> include the *horizontalVelocity*, if available;
- NOTE 3: Which location information related configuration is used by the UE to make the logMeasResultListWLAN, logMeasResultListBT and locationInfo available for inclusion in the VarConnEstFailReport is left to UE implementation.
  - 3> set the *numberOfPreamblesSent* to indicate the number of preambles sent by MAC for the failed random access procedure;
  - 3> set *contentionDetected* to indicate whether contention resolution was not successful as specified in TS 36.321 [6] for at least one of the transmitted preambles for the failed random access procedure;
  - 3> set *maxTxPowerReached* to indicate whether or not the maximum power level was used for the last transmitted preamble, see TS 36.321 [6];
  - 2> if in RRC\_INACTIVE:

- 3> perform the actions upon leaving RRC\_INACTIVE as specified in 5.3.12, with release cause 'RRC Resume failure';
- 2> else inform upper layers about the failure to establish the RRC connection or failure to resume the RRC connection with suspend indication, upon which the procedure ends;

The UE may discard the connection establishment failure information, i.e. release the UE variable *VarConnEstFailReport*, 48 hours after the failure is detected, upon power off or upon detach.

## 5.3.3.7 T302, T303, T305, T306, or T308 expiry or stop

If the UE is connected to EPC, the UE shall:

- 1> if timer T302 expires or is stopped:
  - 2> inform upper layers about barring alleviation for mobile terminating access;
  - 2> if timer T303 is not running:
    - 3> inform upper layers about barring alleviation for mobile originating calls;
  - 2> if timer T305 is not running:
    - 3> inform upper layers about barring alleviation for mobile originating signalling;
  - 2> if timer T306 is not running:
    - 3> inform upper layers about barring alleviation for mobile originating CS fallback;
  - 2> if timer T308 is not running:
    - 3> inform upper layers about barring alleviation for ACDC;
- 1> if timer T303 expires or is stopped:
  - 2> if timer T302 is not running:
    - 3> inform upper layers about barring alleviation for mobile originating calls;
- 1> if timer T305 expires or is stopped:
  - 2> if timer T302 is not running:
    - 3> inform upper layers about barring alleviation for mobile originating signalling;
- 1> if timer T306 expires or is stopped:
  - 2> if timer T302 is not running:
    - 3> inform upper layers about barring alleviation for mobile originating CS fallback;
- 1> if timer T308 expires or is stopped:
  - 2> if timer T302 is not running:
    - 3> inform upper layers about barring alleviation for ACDC;

# 5.3.3.8 Reception of the RRCConnectionReject by the UE

- 1> stop timer T300;
- 1> stop timer T302, if running;
- 1> reset MAC;

- 1> except for NB-IoT, start timer T302, with the timer value set to the *waitTime*;
- 1> if the UE is a NB-IoT UE; or
- 1> if the extendedWaitTime is present and the UE supports delay tolerant access:
  - 2> forward the *extendedWaitTime* to upper layers;
- 1> if deprioritisationReq is included and the UE supports RRC Connection Reject with deprioritisation:
  - 2> start or restart timer T325 with the timer value set to the deprioritisationTimer signalled;
  - 2> store the *deprioritisationReq* until T325 expiry;
- NOTE: The UE stores the deprioritisation request irrespective of any cell reselection absolute priority assignments (by dedicated or common signalling) and regardless of RRC connections in E-UTRAN or other RATs unless specified otherwise.
- 1> if the *RRCConnectionReject* is received in response to an *RRCConnectionResumeRequest* sent to resume a suspended RRC connection:
  - 2> if the *rrc-SuspendIndication* is not present:
    - 3> release all radio resources, including release of the RLC entity, the MAC configuration and the associated PDCP entity for all established or suspended RBs;
    - 3> discard the stored UE AS context and resumeIdentity;
    - 3> inform upper layers about the failure to resume the RRC connection without suspend indication and that access barring for mobile originating calls, mobile originating signalling, mobile terminating access and except for NB-IoT for mobile originating CS fallback is applicable, upon which the procedure ends;
  - 2> else:
    - 3> if the *RRCConnectionReject* is received in response to an *RRCConnectionResumeRequest* sent after early security reactivation in accordance with conditions in 5.3.3.18:
      - 4> perform the actions as specified in 5.3.3.9a;
    - 3> else:
      - 4> suspend SRB1;
    - 3> inform upper layers about the failure to resume the RRC connection with suspend indication and that access barring for mobile originating calls, mobile originating signalling, mobile terminating access and except for NB-IoT for mobile originating CS fallback is applicable, upon which the procedure ends;
- 1> else if the *RRCConnectionReject* is received in response to an *RRCConnectionResumeRequest* sent while in RRC\_INACTIVE:
  - 2> release the default MAC configuration;
  - 2> if RRCConnectionReject is received in response to a request from upper layers:
    - 3> inform the upper layer that access barring is applicable for all access categories except categories '0' and '2';
  - 2> if RRCConnectionReject is received in response to an RRCConnectionResumeRequest:
    - 3> if resume is triggered by upper layers:
      - 4> inform upper layers about the failure to resume the RRC connection;
    - 3> if resume is triggered due to an RNA update:
      - 4> set the variable *pendingRnaUpdate* to 'TRUE';
    - 3> discard the current Kenb, Krrcenc key, Krrcint, Kupint key and Kupenc key;

- 3> suspend SRB1, upon which the procedure ends;
- 2> The UE shall continue to monitor RAN and CN paging while the timer T302 is running.
- 1> else:
  - 2> release the default MAC configuration;
  - 2> inform upper layers about the failure to establish the RRC connection and that access barring for mobile originating calls, mobile originating signalling, mobile terminating access and except for NB-IoT, for mobile originating CS fallback is applicable, upon which the procedure ends;

#### 5.3.3.9 Abortion of RRC connection establishment

If upper layers abort the RRC connection establishment procedure while the UE has not yet entered RRC\_CONNECTED, the UE shall:

- 1> stop timer T300, if running;
- 1> reset MAC, release the MAC configuration and re-establish RLC for all RBs that are established;

# 5.3.3.9a Abortion of early security reactivation

The UE shall:

- 1> delete the  $K_{eNB}$ ,  $K_{RRCint}$ ,  $K_{RRCenc}$  and  $K_{UPenc}$  keys derived in accordance with 5.3.3.3a;
- 1> re-establish RLC entities for all SRBs and DRBs;
- 1> suspend all SRB(s) and DRB(s) except SRB0;
- 1> configure lower layers to suspend integrity protection and ciphering.

# 5.3.3.10 Handling of SSAC related parameters

Upon request from the upper layers, the UE shall:

- 1> if SystemInformationBlockType2 includes ac-BarringPerPLMN-List and the ac-BarringPerPLMN-List contains an AC-BarringPerPLMN entry with the plmn-IdentityIndex corresponding to the PLMN selected by upper layers (see TS 23.122 [11], TS 24.301 [35]):
  - 2> select the *AC-BarringPerPLMN* entry with the *plmn-IdentityIndex* corresponding to the PLMN selected by upper layers;
  - 2> in the remainder of this procedure, use the selected AC-BarringPerPLMN entry (i.e. presence or absence of access barring parameters in this entry) irrespective of the common access barring parameters included in SystemInformationBlockType2;

1> else:

- 2> in the remainder of this procedure use the common access barring parameters (i.e. presence or absence of these parameters) included in *SystemInformationBlockType2*;
- 1> set the local variables <code>BarringFactorForMMTEL-Voice</code> and <code>BarringTimeForMMTEL-Voice</code> as follows:
  - 2> if ssac-BarringForMMTEL-Voice is present:
    - 3> if the UE has one or more Access Classes, as stored on the USIM, with a value in the range 11..15, which is valid for the UE to use according to TS 22.011 [10] and TS 23.122 [11], and
- NOTE: ACs 12, 13, 14 are only valid for use in the home country and ACs 11, 15 are only valid for use in the HPLMN/EHPLMN.
  - 3> if, for at least one of these Access Classes, the corresponding bit in the *ac-BarringForSpecialAC* contained in *ssac-BarringForMMTEL-Voice* is set to zero:

- 4> set BarringFactorForMMTEL-Voice to one and BarringTimeForMMTEL-Voice to zero;
- 3> else:
  - 4> set BarringFactorForMMTEL-Voice and BarringTimeForMMTEL-Voice to the value of ac-BarringFactor and ac-BarringTime included in ssac-BarringForMMTEL-Voice, respectively;
- 2> else set BarringFactorForMMTEL-Voice to one and BarringTimeForMMTEL-Voice to zero;
- 1> set the local variables BarringFactorForMMTEL-Video and BarringTimeForMMTEL-Video as follows:
  - 2> if *ssac-BarringForMMTEL-Video* is present:
    - 3> if the UE has one or more Access Classes, as stored on the USIM, with a value in the range 11..15, which is valid for the UE to use according to TS 22.011 [10] and TS 23.122 [11], and
    - 3> if, for at least one of these Access Classes, the corresponding bit in the *ac-BarringForSpecialAC* contained in *ssac-BarringForMMTEL-Video* is set to zero:
      - 4> set BarringFactorForMMTEL-Video to one and BarringTimeForMMTEL-Video to zero;
    - 3> else:
      - 4> set BarringFactorForMMTEL-Video and BarringTimeForMMTEL-Video to the value of ac-BarringFactor and ac-BarringTime included in ssac-BarringForMMTEL-Video, respectively;
  - 2> else set BarringFactorForMMTEL-Video to one and BarringTimeForMMTEL-Video to zero;
- 1> forward the variables *BarringFactorForMMTEL-Voice*, *BarringTimeForMMTEL-Voice*, *BarringFactorForMMTEL-Video* and *BarringTimeForMMTEL-Video* to the upper layers;

# 5.3.3.11 Access barring check

- 1> if timer T302 or "Tbarring" is running:
  - 2> consider access to the cell as barred;
- 1> else if *SystemInformationBlockType2* includes "AC barring parameter":
  - 2> if the UE has one or more Access Classes, as stored on the USIM, with a value in the range 11..15, which is valid for the UE to use according to TS 22.011 [10] and TS 23.122 [11], and
- NOTE: ACs 12, 13, 14 are only valid for use in the home country and ACs 11, 15 are only valid for use in the HPLMN/EHPLMN.
  - 2> for at least one of these valid Access Classes the corresponding bit in the *ac-BarringForSpecialAC* contained in "AC barring parameter" is set to *zero*:
    - 3> consider access to the cell as not barred:
  - 2> else if the establishment of the RRC connection is the result of release with redirect with *mpsPriorityIndication* (either in NR or E-UTRAN); and
  - 2> if the corresponding bit for any of the Access Classes 12, 13 or 14 in the *ac-BarringForSpecialAC* contained in "AC barring parameter" is set to *zero*:
    - 3> consider access to the cell as not barred;
  - 2> else:
    - 3> draw a random number 'rand' uniformly distributed in the range:  $0 \le rand < 1$ ;
    - 3> if 'rand' is lower than the value indicated by ac-BarringFactor included in "AC barring parameter":
      - 4> consider access to the cell as not barred;
    - 3> else:

- 4> consider access to the cell as barred;
- 1> else:
  - 2> consider access to the cell as not barred;
- 1> if access to the cell is barred and both timers T302 and "Tbarring" are not running:
  - 2> draw a random number 'rand' that is uniformly distributed in the range  $0 \le rand < 1$ ;
  - 2> start timer "Tbarring" with the timer value calculated as follows, using the *ac-BarringTime* included in "AC barring parameter":

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"Tbarring" = (0.7 + 0.6 \* rand) \* ac-BarringTime;

#### 5.3.3.12 EAB check

- 1> if *SystemInformationBlockType14* is present:
  - 2> if eab-PerRSRP is included:
    - 3> if the establishmentCause received from higher layers is set to a value other than emergency; and
    - 3> if the UE has no Access Class, as stored on the USIM, with a value in the range 11..15, which is valid for the UE to use according to TS 22.011 [10] and TS 23.122 [11]:
      - 4> if *eab-PerRSRP* is set to *thresh0*:
        - 5> consider access to the cell as barred when in enhanced coverage as specified in TS 36.304 [4];
      - 4> else if *eab-PerRSRP* is set to *thresh1*:
        - 5> if the measured RSRP is less than the first entry in *rsrp-ThresholdsPrachInfoList*:
          - 6> consider access to the cell as barred;
        - 5> else:
          - 6> consider that only the resources indicated for the first CE level are configured;
      - 4> else if *eab-PerRSRP* is set to *thresh2*:
        - 5> if the measured RSRP is less than the second entry in rsrp-ThresholdsPrachInfoList:
          - 6> consider access to the cell as barred;
        - 5> else:
          - 6> consider that only the resources indicated for the first and second CE levels are configured;
      - 4> else if *eab-PerRSRP* is set to *thresh3*:
        - 5> if the measured RSRP is less than the third entry in rsrp-ThresholdsPrachInfoList:
          - 6> consider access to the cell as barred;
        - 5> else:
          - 6> consider that only the resources indicated for the first, second, and third CE levels are configured;
  - 2> if access to the cell is not barred due to eab-PerRSRP and eab-Param is included:
    - 3> if the *eab-Common* is included in the *eab-Param*:

- 4> if the UE belongs to the category of UEs as indicated in the *eab-Category* contained in *eab-Common*; and
- 4> if for the Access Class of the UE, as stored on the USIM and with a value in the range 0..9, the corresponding bit in the *eab-BarringBitmap* contained in *eab-Common* is set to *one*:
  - 5> consider access to the cell as barred;
- 4> else:
  - 5> consider access to the cell as not barred due to EAB;
- 3> else (the *eab-PerPLMN-List* is included in the *eab-Param*):
  - 4> select the entry in the *eab-PerPLMN-List* corresponding to the PLMN selected by upper layers (see TS 23.122 [11], TS 24.301 [35]);
  - 4> if the *eab-Config* for that PLMN is included:
    - 5> if the UE belongs to the category of UEs as indicated in the *eab-Category* contained in *eab-Config*; and
    - 5> if for the Access Class of the UE, as stored on the USIM and with a value in the range 0..9, the corresponding bit in the *eab-BarringBitmap* contained in *eab-Config* is set to *one*:
      - 6> consider access to the cell as barred;
    - 5> else:
      - 6> consider access to the cell as not barred due to EAB;
  - 4> else:
    - 5> consider access to the cell as not barred due to EAB;
- 1> else:
  - 2> consider access to the cell as not barred due to EAB;

# 5.3.3.13 Access barring check for ACDC

- 1> if timer T302 is running:
  - 2> consider access to the cell as barred;
- $1> else \ if \ \textit{SystemInformationBlockType2} \ includes \ "ACDC \ barring \ parameter":$ 
  - 2> draw a random number 'rand' uniformly distributed in the range:  $0 \le rand < 1$ ;
  - 2> if 'rand' is lower than the value indicated by ac-BarringFactor included in "ACDC barring parameter":
    - 3> consider access to the cell as not barred;
  - 2> else:
    - 3> consider access to the cell as barred;
- 1> else:
  - 2> consider access to the cell as not barred;
- 1> if access to the cell is barred and timer T302 is not running:
  - 2> draw a random number 'rand' that is uniformly distributed in the range  $0 \le rand < 1$ ;

2> start timer "Tbarring" with the timer value calculated as follows, using the *ac-BarringTime* included in "ACDC barring parameter":

"Tbarring" = (0.7 + 0.6 \* rand) \* ac-BarringTime.

## 5.3.3.14 Access Barring check for NB-IoT

- 1> if the UE is connected to 5GC, *ab-Enabled-5GC* included in *MasterInformationBlock-NB / MasterInformationBlock-TDD-NB* is set to *TRUE* and *SystemInformationBlockType14-NB* is broadcast, or
- 1> if the UE is connected to EPC, *ab-Enabled* included in *MasterInformationBlock-NB / MasterInformationBlock-TDD-NB* is set to *TRUE* and *SystemInformationBlockType14-NB* is broadcast:
  - 2> if ab-PerNRSRP is included:
    - 3> if the establishmentCause received from higher layers is set to a value other than mo-ExceptionData; and
    - 3> if the UE has no Access Class, as stored on the USIM, with a value in the range 11..15, which is valid for the UE to use according to TS 22.011 [10] and TS 23.122 [11]:
      - 4> if *ab-PerNRSRP* is set to *thresh1*:
        - 5> if the measured RSRP is less than the first entry in rsrp-ThresholdsPrachInfoList;
          - 6> consider access to the cell as barred;
        - 5> else:
          - 6> consider that only the resources indicated for the first NPRACH repetition level are configured;
      - 4> if *ab-PerNRSRP* is set to *thresh2*:
        - 5> if the measured RSRP is less than the second entry in rsrp-ThresholdsPrachInfoList;
          - 6> consider access to the cell as barred;
        - 5> else:
          - 6> consider that only the resources indicated for the first and second NPRACH repetition levels are configured;
- 1> if the UE is connected to EPC, *ab-Enabled* included in *MasterInformationBlock-NB / MasterInformationBlock-TDD-NB* is set to *TRUE* and *SystemInformationBlockType14-NB* is broadcast:
  - 2> if access to the cell is not barred due to ab-PerNRSRP and ab-Param is included:
    - 3> if the *ab-Common* is included in *ab-Param*:
      - 4> if the UE belongs to the category of UEs as indicated in the *ab-Category* contained in *ab-Common*; and
      - 4> if for the Access Class of the UE, as stored on the USIM and with a value in the range 0..9, the corresponding bit in the *ab-BarringBitmap* contained in *ab-Common* is set to *one*:
        - 5> if the *establishmentCause* received from higher layers is set to *mo-ExceptionData* and *ab-BarringForExceptionData* is set to *FALSE* in the *ab-Common*:
          - 6> consider access to the cell as not barred;
        - 5> else:
          - 6> if the UE has one or more Access Classes, as stored on the USIM, with a value in the range 11..15, which is valid for the UE to use according to TS 22.011 [10] and TS 23.122 [11] and for at least one of these valid Access Classes for the UE, the corresponding bit in the *ab-BarringForSpecialAC* contained in *ab-Common* is set to *zero*:

NOTE 1: ACs 12, 13, 14 are only valid for use in the home country and ACs 11, 15 are only valid for use in the HPLMN/EHPLMN.

7> consider access to the cell as not barred;

6> else:

7> consider access to the cell as barred:

- 4> else:
  - 5> consider access to the cell as not barred;
- 3> else (the *ab-PerPLMN-List* is included in the *ab-Param*):
  - 4> select the *ab-PerPLMN* entry in *ab-PerPLMN-List* corresponding to the PLMN selected by upper layers (see TS 23.122 [11], TS 24.301 [35]);
  - 4> if the *ab-Config* for that PLMN is included:
    - 5> if the UE belongs to the category of UEs as indicated in the *ab-Category* contained in *ab-Config*; and
    - 5> if for the Access Class of the UE, as stored on the USIM and with a value in the range 0..9, the corresponding bit in the *ab-BarringBitmap* contained in *ab-Config* is set to *one*:
      - 6> if the *establishmentCause* received from higher layers is set to *mo-ExceptionData* and *ab-BarringForExceptionData* is set to *FALSE* in the *ab-Config*:
        - 7> consider access to the cell as not barred;
      - 6> else:
        - 7> if the UE has one or more Access Classes, as stored on the USIM, with a value in the range 11..15, which is valid for the UE to use according to TS 22.011 [10] and TS 23.122 [11] and for at least one of these valid Access Classes for the UE, the corresponding bit in the ab-BarringForSpecialAC contained in ab-Config is set to zero:
- NOTE 2: ACs 12, 13, 14 are only valid for use in the home country and ACs 11, 15 are only valid for use in the HPLMN/ EHPLMN.
  - 8> consider access to the cell as not barred;

7> else:

8> consider access to the cell as barred;

5> else:

6> consider access to the cell as not barred;

4> else:

5> consider access to the cell as not barred;

1> else:

2> consider access to the cell as not barred;

# 5.3.3.15 Failure to deliver NAS information in RRCConnectionSetupComplete message

The UE shall:

1> if the UE is a NB-IoT UE and radio link failure occurs before the successful delivery of *RRCConnectionSetupComplete* message has been confirmed by lower layers:

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2> inform upper layers about the possible failure to deliver the NAS information contained in the *RRCConnectionSetupComplete* message;

# 5.3.3.16 Integrity check failure from lower layers while T300 is running

#### The UE shall:

- 1> upon receiving integrity check failure indication from lower layers concerning SRB1 or SRB2 while T300 is running and if the UE is resuming the RRC connection after early security reactivation in accordance with conditions in 5.3.3.18:
  - 2> discard the stored UE AS context and resumeIdentity;
  - 2> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'other';
- 1> upon receiving integrity check failure indication from lower layers while T300 is running and if the UE is resuming the RRC connection from RRC\_INACTIVE:
  - 2> perform the actions upon leaving RRC\_INACTIVE as specified in 5.3.12, with release cause 'RRC Resume failure':

# 5.3.3.17 Inability to comply with RRCConnectionResume

#### The UE shall:

- 1> if the UE is unable to comply with (part of) the configuration included in the RRCConnectionResume message;
  - 2> perform the actions upon leaving RRC\_INACTIVE as specified in 5.3.12 with release cause 'RRC Resume failure'.
- NOTE 1: The UE may apply above failure handling also in case the *RRCConnectionResume* message causes a protocol error for which the generic error handling as defined in 5.7 specifies that the UE shall ignore the message.
- NOTE 2: If the UE is unable to comply with part of the configuration, it does not apply any part of the configuration, i.e. there is no partial success/failure.

#### 5.3.3.18 Early security reactivation

The UE shall use early security reactivation when resuming a suspended RRC connection and at least one of the following conditions is met:

- the UE is initiating UP-EDT in accordance with conditions in 5.3.3.1b;
- the UE is initiating UP transmission using PUR in accordance with conditions in 5.3.3.1c;
- the UE is resuming a suspended RRC connection in 5GC;
- the UE supports early security reactivation, *SystemInformationBlockType2* (*SystemInformationBlockType2-NB* in NB-IoT) includes *earlySecurityReactivation*, and the UE has a stored value of the *nextHopChainingCount* provided in the *RRCConnectionRelease* message with suspend indication during the preceding suspend procedure;

## 5.3.3.19 Timing alignment validation for transmission using PUR

The UE shall consider the timing alignment value for transmission using PUR to be valid when the following conditions are fulfilled:

- 1> either *pur-TimeAlignmentTimer* is not configured or *pur-TimeAlignmentTimer* is running as confirmed by lower layers; and
- 1> either *pur-RSRP-ChangeThreshold* (*pur-NRSRP-ChangeThreshold* in NB-IoT) is not configured or the following conditions are fulfilled:

- 2> compared to the stored serving cell reference (N)RSRP value, the serving cell (N)RSRP has not increased by more than *increaseThresh*; and
- 2> compared to the stored serving cell reference (N)RSRP value, the serving cell (N)RSRP has not decreased by more than *decreaseThresh*;

## 5.3.3.20 Maintenance of PUR occasions

The UE configured with pur-Config shall:

- 1> consider that the first PUR occasion occurs at the H-SFN/SFN/subframe given by:
  - H-SFN = (H-SFN<sub>Ref</sub> + offset) mod 1024 occurring after FLOOR (offset/1024) H-SFN cycles;
  - SFN and subframe indicated by *startSFN* and *startSubframe*;

#### where:

- offset is given by periodicityAndOffset;
- H-SFN<sub>Ref</sub> corresponds to the last subframe of the first transmission of *RRCConnectionRelease* message containing *pur-Config*, taking into account *hsfn-LSB-Info*;
- H-SFN cycle corresponds to the duration of 1024 H-SFNs;
- 1> if the *pur-NumOccasions* is set to *one*, for the first PUR occasion:
  - 2> if transmission using PUR in accordance with conditions in 5.3.3.1c is not initiated; or
  - 2> if transmission using PUR in accordance with conditions in 5.3.3.1c has been initiated, after the completion of the transmission using PUR:
    - 3> if *pur-TimeAlignmentTimer* is configured, indicate to lower layers that *pur-TimeAlignmentTimer* is released;
    - 3> release *pur-Config*;
    - 3> discard previously stored pur-Config;

#### 1> else:

- 2> consider that the subsequent PUR occasions occur periodically after the occurence of the first PUR occasion at the SFN/subframe indicated by *startSubframe* and *startSFN* and periodicity given by *periodicityAndOffset*;
- 2> if the *pur-ImplicitReleaseAfter* is configured, for each PUR occasion occurring while the UE is in RRC\_IDLE:
  - 3> if transmission using PUR in accordance with conditions in 5.3.3.1c is not initiated; or
  - 3> if PUR failure indication is received from lower layers:
    - 4> consider the PUR occasion as skipped;
    - 4> if *pur-ImplicitReleaseAfter* number of consecutive PUR occasions have been skipped:
      - 5> if *pur-TimeAlignmentTimer* is configured, indicate to lower layers that *pur-TimeAlignmentTimer* is released;
      - 5> release *pur-Config*;
      - 5> discard previously stored pur-Config.

## 5.3.3.21 UE actions upon indication of out-of-date GNSS position

Upon indication that the GNSS position has become out-of-date while in RRC\_CONNECTED, the UE shall:

1> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'other'.

#### 5.3.3.22 Void

# 5.3.4 Initial security activation

#### 5.3.4.1 General

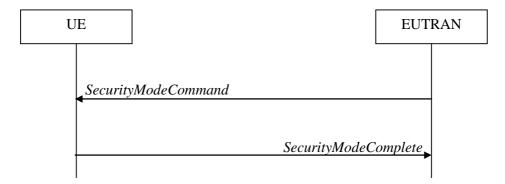


Figure 5.3.4.1-1: Security mode command, successful

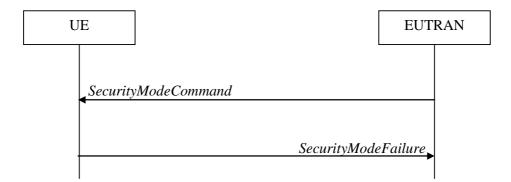


Figure 5.3.4.1-2: Security mode command, failure

The purpose of this procedure is to activate AS security upon RRC connection establishment.

### 5.3.4.2 Initiation

E-UTRAN initiates the security mode command procedure to a UE in RRC\_CONNECTED. Moreover, E-UTRAN applies the procedure as follows:

- when only SRB1, or for NB-IoT SRB1 and SRB1bis, is established, i.e. prior to establishment of SRB2 and/ or DRBs.

# 5.3.4.3 Reception of the SecurityModeCommand by the UE

- 1> derive the K<sub>eNB</sub> key, as specified in TS 33.401 [32] for E-UTRA/EPC, and TS 33.501 [86] for E-UTRA/5GC;
- 1> derive the K<sub>RRCint</sub> key associated with the *integrityProtAlgorithm* indicated in the *SecurityModeCommand* message, as specified in TS 33.401 [32];
- 1> request lower layers to verify the integrity protection of the *SecurityModeCommand* message, using the algorithm indicated by the *integrityProtAlgorithm* as included in the *SecurityModeCommand* message and the K<sub>RRCint</sub> key;
- 1> if the SecurityModeCommand message passes the integrity protection check:

- 2> derive the K<sub>RRCenc</sub> key and the K<sub>UPenc</sub> key associated with the *cipheringAlgorithm* indicated in the *SecurityModeCommand* message, as specified in TS 33.401 [32];
- 2> if connected as an RN; or
- 2> if capable of user plane integrity protection:
  - 3> derive the K<sub>UPint</sub> key associated with the *integrityProtAlgorithm* indicated in the *SecurityModeCommand* message, as specified in TS 33.401 [32];
- 2> configure lower layers to apply integrity protection using the indicated algorithm and the K<sub>RRCint</sub> key immediately, i.e. integrity protection shall be applied to all subsequent messages received and sent by the UE, including the *SecurityModeComplete* message;
- 2> configure lower layers to apply ciphering using the indicated algorithm, the K<sub>RRCenc</sub> key and the K<sub>UPenc</sub> key after completing the procedure, i.e. ciphering shall be applied to all subsequent messages received and sent by the UE, except for the *SecurityModeComplete* message which is sent unciphered;
- 2> if connected as an RN:
  - 3> configure lower layers to apply integrity protection using the indicated algorithm and the K<sub>UPint</sub> key, for DRBs that are subsequently configured to apply integrity protection, if any;
- 2> consider AS security to be activated;
- 2> upon RRC connection establishment, if UE does not need UL gaps during continuous uplink transmission:
  - 3> configure lower layers to stop using UL gaps during continuous uplink transmission in FDD for SecurityModeComplete message and subsequent uplink transmission in RRC\_CONNECTED except for UL transmissions as specified in TS 36.211 [21];
- 2> submit the SecurityModeComplete message to lower layers for transmission, upon which the procedure ends;

### 1> else:

- 2> continue using the configuration used prior to the reception of the *SecurityModeCommand* message, i.e. neither apply integrity protection nor ciphering.
- 2> submit the SecurityModeFailure message to lower layers for transmission, upon which the procedure ends;

# 5.3.5 RRC connection reconfiguration

#### 5.3.5.1 General

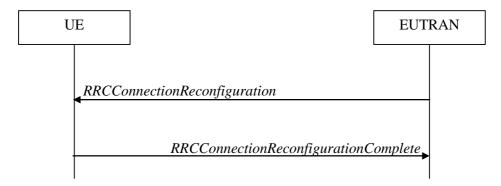


Figure 5.3.5.1-1: RRC connection reconfiguration, successful

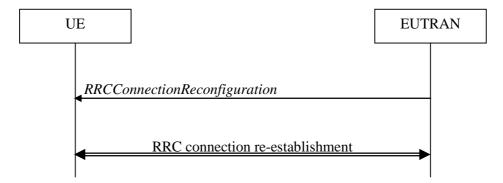


Figure 5.3.5.1-2: RRC connection reconfiguration, failure

The purpose of this procedure is to modify an RRC connection, e.g. to establish/ modify/ release RBs, to perform handover, to setup/ modify/ release measurements, to add/ modify/ release SCells, to add/modify/release conditional reconfigurations. As part of the procedure, NAS dedicated information may be transferred from E-UTRAN to the UE.

#### 5.3.5.2 Initiation

E-UTRAN may initiate the RRC connection reconfiguration procedure to a UE in RRC\_CONNECTED. E-UTRAN applies the procedure as follows:

- the *mobilityControlInfo* is included only when AS-security has been activated, and SRB2 with at least one DRB are setup and not suspended;
- the establishment of RBs (other than SRB1, that is established during RRC connection establishment) is included only when AS security has been activated;
- the addition of SCells is performed only when AS security has been activated;
- the addition, release or modification of conditional reconfigurations is performed only when AS security has been activated, and SRB2 with at least one DRB are setup and not suspended;

The UE initiates the RRC connection reconfiguration procedure while in RRC\_CONNECTED when a conditional reconfiguration (e.g. CHO, CPA, or inter-SN CPC) is executed i.e. upon the fulfilment of an execution condition, an associated *RRCConnectionReconfiguration* that is stored is applied.

NOTE: Embedding in an NR Reconfiguration is used for the transfer of IRAT DL DCCH information as used for V2X sidelink communication related information specified by NR RRC e.g. to configure dedicated pool related information, CBR measurements, provision of grant assistance.

# 5.3.5.3 Reception of an *RRCConnectionReconfiguration* not including the *mobilityControlInfo* by the UE

If the *RRCConnectionReconfiguration* message does not include the *mobilityControlInfo* and the UE is able to comply with the configuration included in this message, the UE shall:

- 1> if the UE is in (NG)EN-DC and;
- 1> if the RRCConnectionReconfiguration does not include the nr-SecondaryCellGroupConfig:
  - 2> if the RRCConnectionReconfiguration includes the scg-State:
    - 3> perform SCG deactivation as specified in TS 38.331 [82], clause 5.3.5.13b;
  - 2> else:
    - 3> perform SCG activation without SN message as specified in TS 38.331 [82], clause 5.3.5.13b1;
- 1> if the received *RRCConnectionReconfiguration* includes the *daps-SourceRelease*:
  - 2> reset source MCG MAC and release the source MCG MAC configuration;

- 2> for each DAPS bearer:
  - 3> re-establish the RLC entity or entities for the source PCell;
  - 3> release the RLC entity or entities and the associated DTCH logical channel for the source PCell;
  - 3> reconfigure the PDCP entity to release DAPS, as specified in TS 36.323 [8];
- 2> for each SRB:
  - 3> release the PDCP entity for the source PCell;
  - 3> release the RLC entity and the associated DCCH logical channel for the source PCell;
- 2> release the physical channel configuration for the source PCell;
- 1> if this is the first *RRCConnectionReconfiguration* message after successful completion of the RRC connection re-establishment procedure:
  - 2> re-establish PDCP for SRB2 configured with E-UTRA PDCP entity and for all DRBs that are established and configured with E-UTRA PDCP, if any;
  - 2> re-establish RLC for SRB2 and for all DRBs that are established and configured with E-UTRA RLC, if any;
  - 2> if the RRCConnectionReconfiguration message includes the fullConfig:
    - 3> perform the radio configuration procedure as specified in 5.3.5.8;
  - 2> if the RRCConnectionReconfiguration message includes the radioResourceConfigDedicated:
    - 3> perform the radio resource configuration procedure as specified in 5.3.10.0;
- NOTE 1: Void
- NOTE 2: Void
- 1> else:
  - 2> if the RRCConnectionReconfiguration message includes the radioResourceConfigDedicated:
    - 3> perform the radio resource configuration procedure as specified in 5.3.10.0;
- NOTE 3: If the *RRCConnectionReconfiguration* message includes the establishment of radio bearers other than SRB1, the UE may start using these radio bearers immediately, i.e. there is no need to wait for an outstanding acknowledgment of the *SecurityModeComplete* message.
- 1> if the received RRCConnectionReconfiguration includes the sCellToReleaseList:
  - 2> perform SCell release as specified in 5.3.10.3a;
- 1> if the received *RRCConnectionReconfiguration* includes the *sCellToAddModList*:
  - 2> perform SCell addition or modification as specified in 5.3.10.3b;
- 1> if the received RRCConnectionReconfiguration includes the sCellGroupToReleaseList:
  - 2> perform SCell group release as specified in 5.3.10.3d;
- 1> if the received RRCConnectionReconfiguration includes the sCellGroupToAddModList:
  - 2> perform SCell group addition or modification as specified in 5.3.10.3e;
- 1> if the received RRCConnectionReconfiguration includes the scg-Configuration; or
- 1> if the current UE configuration includes one or more split DRBs configured with *pdcp-Config* and the received *RRCConnectionReconfiguration* includes *radioResourceConfigDedicated* including *drb-ToAddModList*:
  - 2> perform SCG reconfiguration as specified in 5.3.10.10;

- 1> if the received RRCConnectionReconfiguration includes the nr-Config and it is set to release: or
- 1> if the received RRCConnectionReconfiguration includes endc-ReleaseAndAdd and it is set to TRUE:
  - 2> perform MR-DC release as specified in TS 38.331 [82], clause 5.3.5.10;
- 1> if the received *RRCConnectionReconfiguration* includes the *sk-Counter*:
  - 2> perform key update procedure as specified in TS 38.331 [82], clause 5.3.5.7;
- 1> if the received RRCConnectionReconfiguration includes the nr-SecondaryCellGroupConfig:
  - 2> perform NR RRC Reconfiguration as specified in TS 38.331 [82], clause 5.3.5.3;
- 1> if the received RRCConnectionReconfiguration includes the nr-RadioBearerConfig1:
  - 2> perform radio bearer configuration as specified in TS 38.331 [82], clause 5.3.5.6;
- 1> if the received RRCConnectionReconfiguration includes the nr-RadioBearerConfig2:
  - 2> perform radio bearer configuration as specified in TS 38.331 [82], clause 5.3.5.6;
- 1> if this is the first *RRCConnectionReconfiguration* message after successful completion of the RRC connection re-establishment procedure:
  - 2> resume SRB2 and all DRBs that are suspended, if any, including RBs configured with NR PDCP;
- NOTE 4: The handling of the radio bearers after the successful completion of the PDCP re-establishment, e.g. the re-transmission of unacknowledged PDCP SDUs (as well as the associated status reporting), the handling of the SN and the HFN, is specified in TS 36.323 [8].
- NOTE 5: The UE may discard SRB2 messages and data that it receives prior to completing the reconfiguration used to resume these bearers.
- 1> if the received RRCConnectionReconfiguration includes the systemInformationBlockType1Dedicated:
  - 2> perfom the actions upon reception of the SystemInformationBlockType1 message as specified in 5.2.2.7;
- 1> if the received RRCConnectionReconfiguration includes the systemInformationBlockType2Dedicated:
  - 2> perfom the actions upon reception of the SystemInformationBlockType2 message as specified in 5.2.2.9;
- 1> if the received RRCConnectionReconfiguration includes the systemInformationBlockType31Dedicated:
  - 2> perfom the actions upon reception of the SystemInformationBlockType31 message as specified in 5.2.2.39;
- 1> if the RRCConnectionReconfiguration message includes the dedicatedInfoNASList:
  - 2> forward each element of the *dedicatedInfoNASList* to upper layers in the same order as listed;
- 1> if the RRCConnectionReconfiguration message includes the measConfig:
  - 2> perform the measurement configuration procedure as specified in 5.5.2;
- 1> perform the measurement identity autonomous removal as specified in 5.5.2.2a;
- 1> if the RRCConnectionReconfiguration message includes the otherConfig:
  - 2> perform the other configuration procedure as specified in 5.3.10.9;
- 1> if the RRCConnectionReconfiguration message includes the sl-DiscConfig or sl-CommConfig:
  - 2> perform the sidelink dedicated configuration procedure as specified in 5.3.10.15;
- 1> if the RRCConnectionReconfiguration message includes the sl-V2X-ConfigDedicated:
  - 2> perform the V2X sidelink communication dedicated configuration procedure as specified in 5.3.10.15a;

- NOTE 5a: If the *sl-V2X-ConfigDedicated* was received embedded within an NR *RRCReconfiguration* message, the UE does not build an E-UTRA *RRCConnectionReconfigurationComplete* message for the received *sl-V2X-ConfigDedicated*.
- 1> if the RRCConnectionReconfiguration message includes the sl-ConfigDedicatedForNR:
  - 2> perform the related procedures for NR sidelink communication in accordance with TS 38.331 [82], clause 5.3.5.14 and clause 5.5.2;
- 1> if the RRCConnectionReconfiguration message includes wlan-OffloadInfo:
  - 2> perform the dedicated WLAN offload configuration procedure as specified in 5.6.12.2;
- 1> if the RRCConnectionReconfiguration message includes rclwi-Configuration:
  - 2> perform the WLAN traffic steering command procedure as specified in 5.6.16.2;
- 1> if the RRCConnectionReconfiguration message includes lwa-Configuration:
  - 2> perform the LWA configuration procedure as specified in 5.6.14.2;
- 1> if the RRCConnectionReconfiguration message includes lwip-Configuration:
  - 2> perform the LWIP reconfiguration procedure as specified in 5.6.17.2;
- 1> upon RRC connection establishment, if UE does not need UL gaps during continuous uplink transmission:
  - 2> configure lower layers to stop using UL gaps during continuous uplink transmission in FDD for *RRCConnectionReconfigurationComplete* message and subsequent uplink transmission in RRC\_CONNECTED except for UL transmissions as specified in TS36.211 [21];
- 1> if the RRCConnectionReconfiguration message includes the conditionalReconfiguration:
  - 2> perform conditional reconfiguration as specified in 5.3.5.9;
- NOTE 6: In case of conditional reconfiguration the text "if the received *RRCConnectionReconfiguration*..." corresponds to applying the stored *RRCConnectionReconfiguration* message (according to 5.3.5.9.5).
- 1> set the content of RRCConnectionReconfigurationComplete message as follows:
  - 2> if the RRCConnectionReconfiguration message includes perCC-GapIndicationRequest:
    - 3> include *perCC-GapIndicationList* and *numFreqEffective*;
  - 2> if the frequencies are configured for reduced measurement performance:
    - 3> include numFreqEffectiveReduced;
  - 2> if the received RRCConnectionReconfiguration message included nr-SecondaryCellGroupConfig:
    - 3> include scg-ConfigResponseNR in accordance with TS 38.331 [82], clause 5.3.5.3;
    - 3> if the *RRCConnectionReconfiguration* message is applied due to a conditional reconfiguration execution and the *RRCConnectionReconfiguration* message does not include the *mobilityControlInfo*:
      - 4> include in *selectedCondReconfigurationToApply* the *condReconfigurationId* of the conditional reconfiguration which has been executed;
- 1> if the UE is configured to operate in EN-DC as result of this procedure, forward *upperLayerIndication*, as if the UE receives this field from SIB2, to upper layers, otherwise indicate upper layers absence of this field;
- 1> if the UE is configured with NE-DC:
  - 2> if the received RRCConnectionReconfiguration message was included in an NR RRCResume message:
    - 3> transfer the *RRCConnectionReconfigurationComplete* message via SRB1 embedded in NR RRC message *RRCResumeComplete* as specified in TS 38.331 [82], clause 5.3.13.4;

- 2> else:
  - 3> transfer the *RRCConnectionReconfigurationComplete* message via SRB1 embedded in NR RRC message *RRCReconfigurationComplete* as specified in TS 38.331 [82], clause 5.3.5.3;
- 1> else:
  - 2> submit the *RRCConnectionReconfigurationComplete* message to lower layers for transmission using the new configuration, upon which the procedure ends;

# 5.3.5.4 Reception of an *RRCConnectionReconfiguration* including the *mobilityControlInfo* by the UE (handover)

If the *RRCConnectionReconfiguration* message includes the *mobilityControlInfo* and the UE is able to comply with the configuration included in this message, the UE shall:

- 1> if the *RRCConnectionReconfiguration* is applied due to a conditional reconfiguration execution upon cell selection performed while timer T311 was running, as defined in 5.3.7.3:
  - 2> remove all the entries within VarConditionalReconfiguration, if any;
- 1> if *daps-HO* is not configured for any DRB:
  - 2> stop timer T310, if running;
  - 2> if timer T316 is running:
    - 3> stop timer T316;
    - 3> clear the information included in *VarRLF-Report*, if any;
  - 2> resume MCG transmission, if suspended;
- 1> stop timer T312, if running;
- 1> stop timer T317, if running;
- 1> start timer T304 with the timer value set to t304, as included in the mobility ControlInfo;
- 1> stop timer T370, if running;
- 1> if the *carrierFreq* is included:
  - 2> consider the target PCell to be one on the frequency indicated by the *carrierFreq* with a physical cell identity indicated by the *targetPhysCellId*;
- 1> else:
  - 2> consider the target PCell to be one on the frequency of the source PCell with a physical cell identity indicated by the *targetPhysCellId*;
- 1> if T309 is running:
  - 2> stop timer T309 for all access categories;
  - 2> perform the actions as specified in 5.3.16.4.
- 1> start synchronising to the DL of the target PCell;
- NOTE 1: The UE should perform the handover as soon as possible following the reception of the RRC message triggering the handover, which could be before confirming successful reception (HARQ and ARQ) of this message.
- 1> if BL UE or UE in CE:
  - 2> if sameSFN-Indication is not present in mobilityControlInfo:

- 3> acquire the MasterInformationBlock in the target PCell;
- 1> if *makeBeforeBreak* is configured:
  - 2> perform the remainder of this procedure including and following resetting MAC after the UE has stopped the uplink transmission/downlink reception with the source PCell;
- NOTE 1a: It is up to UE implementation when to stop the uplink transmission/ downlink reception with the source PCell to initiate re-tuning for connection to the target cell, as specified in TS 36.133 [16], if *makeBeforeBreak* is configured.
- NOTE 1b: It is up to UE implementation when to stop the uplink transmission/ downlink reception with the source SCell(s) after receiving *RRCConnectionReconfiguration* message.
- 1> if *daps-HO* is configured for any DRB:
  - 2> establish a MAC entity for the target PCell, with the same configuration as the MAC entity for the source PCell;
  - 2> for each DRB configured with daps-HO:
    - 3> establish the RLC entity or entities and the associated DTCH logical channel for the target PCell, with the same configurations as for the source PCell;
    - 3> reconfigure the PDCP entity to configure DAPS as specified in TS36.323 [8].
  - 2> for each DRB not configured with *daps-HO*:
    - 3> re-establish PDCP;
    - 3> re-establish the RLC entity and associate it, and the associated DTCH logical channel, to the target PCell;
  - 2> for each SRB:
    - 3> establish a PDCP entity for the target PCell, with the same configuration as the PDCP entity for the source PCell:
    - 3> establish an RLC entity and an associated DCCH logical channel for the target PCell, with the same configuration as for the source PCell;
  - 2> suspend the SRBs for the source PCell;
- NOTE 1c: In order to understand if a *daps-HO* is configured, the UE needs to check the presence of the field *daps-HO* within the received *RadioResourceConfigDedicated* IE.
- NOTE 1d:In DAPS handover, the UE may re-establish PDCP and RLC entity for a DRB not configured with *daps-HO* when MAC successfully completes the random access procedure. In this case, the UE suspends data transmission and reception for all DRBs not configured with *daps-HO* in the source PCell for the duration of the DAPS handover.
- 1> else (if *daps-HO* is not configured):
  - 2> reset MCG MAC and SCG MAC, if configured;
  - 2> release *uplinkDataCompression*, if configured;
  - 2> re-establish PDCP for all RBs configured with *pdcp-config* that are established;
- NOTE 2: The handling of the radio bearers after the successful completion of the PDCP re-establishment, e.g. the re-transmission of unacknowledged PDCP SDUs (as well as the associated status reporting), the handling of the SN and the HFN, is specified in TS 36.323 [8].
- NOTE 2a: At handover the *reestablishPDCP* flag will be set for all RBs configured with NR PDCP in *nr-RadioBearerConfig1* or *nr-RadioBearerConfig2* TS 38.331 [82] which will cause the PDCP entity to be re-established also for these RBs.
  - 2> re-establish MCG RLC and SCG RLC, if configured, for all RBs that are established;

- 1> for each SCell configured for the UE other than the PSCell:
  - 2> if the received *RRCConnectionReconfiguration* message includes *sCellState* for the SCell and indicates *activated*:
    - 3> configure lower layers to consider the SCell to be in activated state;
  - 2> else if the received RRCConnectionReconfiguration message includes sCellState for the SCell and indicates dormant:
    - 3> configure lower layers to consider the SCell to be in dormant state;
  - 2> else:
    - 3> configure lower layers to consider the SCell to be in deactivated state;
- 1> apply the value of the *newUE-Identity* as the C-RNTI in the target MCG;
- 1> if the RRCConnectionReconfiguration message includes the fullConfig:
  - 2> perform the radio configuration procedure as specified in 5.3.5.8;
- 1> configure lower layers in accordance with the received radioResourceConfigCommon;
- 1> if the received RRCConnectionReconfiguration message includes the rach-Skip:
  - 2> configure lower layers to apply the *rach-Skip* for the target MCG, as specified in TS 36.213 [23] and 36.321 [6];
- 1> if UE supports timing advance reporting and the received radioResourceConfigCommon includes the ta-Report:
  - 2> instruct the associated MAC entity to trigger Timing Advance reporting;
- 1> configure lower layers in accordance with any additional fields, not covered in the previous, if included in the received mobilityControlInfo;
- 1> if the received RRCConnectionReconfiguration includes the sCellToReleaseList:
  - 2> perform SCell release as specified in 5.3.10.3a;
- 1> if the received RRCConnectionReconfiguration includes the sCellGroupToReleaseList:
  - 2> perform SCell group release as specified in 5.3.10.3d;
- 1> if the received RRCConnectionReconfiguration includes the scg-Configuration; or
- 1> if the current UE configuration includes one or more split DRBs and the received RRCConnectionReconfiguration includes radioResourceConfigDedicated including drb-ToAddModList:
  - 2> perform SCG reconfiguration as specified in 5.3.10.10;
- 1> if the RRCConnectionReconfiguration message includes the radioResourceConfigDedicated:
  - 2> perform the radio resource configuration procedure as specified in 5.3.10.0;
- 1> if the securityConfigHO (without suffix) is included in the RRCConnectionReconfiguration:
  - 2> if the *keyChangeIndicator* received in the *securityConfigHO* is set to *TRUE*:
    - 3> update the  $K_{eNB}$  key based on the  $K_{ASME}$  key taken into use with the latest successful NAS SMC procedure, as specified in TS 33.401 [32];
  - 2> else:
    - 3> update the K<sub>eNB</sub> key based on the current K<sub>eNB</sub> or the NH, using the *nextHopChainingCount* value indicated in the *securityConfigHO*, as specified in TS 33.401 [32];

- NOTE 2b:If the UE needs to update the S- $K_{eNB}$  key as specified in 5.3.10.10, the UE updates the S- $K_{eNB}$  after updating the  $K_{eNB}$  key.
  - 2> store the *nextHopChainingCount* value;
  - 2> if the *securityAlgorithmConfig* is included in the *securityConfigHO*:
    - 3> derive the K<sub>RRCint</sub> key associated with the *integrityProtAlgorithm*, as specified in TS 33.401 [32];
    - 3> if connected as an RN; or
    - 3> if capable of user plane integrity protection:
      - 4> derive the K<sub>UPint</sub> key associated with the *integrityProtAlgorithm*, as specified in TS 33.401 [32];
    - 3> derive the K<sub>RRCenc</sub> key and the K<sub>UPenc</sub> key associated with the *cipheringAlgorithm*, as specified in TS 33.401 [32];
  - 2> else:
    - 3> derive the K<sub>RRCint</sub> key associated with the current integrity algorithm, as specified in TS 33.401 [32];
    - 3> if connected as an RN; or
    - 3> if capable of user plane integrity protection:
      - 4> derive the K<sub>UPint</sub> key associated with the current integrity algorithm, as specified in TS 33.401 [32];
    - 3> derive the  $K_{RRCenc}$  key and the  $K_{UPenc}$  key associated with the current ciphering algorithm, as specified in TS 33.401 [32];
  - 2> configure lower layers to apply the integrity protection algorithm and the K<sub>RRCint</sub> key, i.e. the integrity protection configuration shall be applied to all subsequent messages received and sent by the UE, including the message used to indicate the successful completion of the procedure;
  - 2> configure lower layers to apply the ciphering algorithm, the  $K_{RRCenc}$  key and the  $K_{UPenc}$  key, i.e. the ciphering configuration shall be applied to all subsequent messages received and sent by the UE, including the message used to indicate the successful completion of the procedure;
- NOTE 2c: For a DRB configured for DAPS HO, the new ciphering algorithm and the  $K_{UPenc}$  key is applied for traffic exchange between the UE and the target MCG while the old ciphering algorithm and  $K_{UPenc}$  key is applied for traffic exchange between the UE and the source MCG.
- 1> else if the securityConfigHO-v1530 is included in the RRCConnectionReconfiguration:
  - 2> if the *nas-Container* is received:
    - 3> forward the *nas-Container* to upper layers;
  - 2> if the keyChangeIndicator-r15 is received and is set to TRUE:
    - 3> update the K<sub>eNB</sub> key based on the K<sub>AMF</sub> key, as specified in TS 33.501 [86];
  - 2> else:
    - 3> update the K<sub>eNB</sub> key based on the current K<sub>eNB</sub> or the NH, using the received *nextHopChainingCount-r15*, as specified in TS 33.501 [86];
  - 2> store the *nextHopChainingCount-r15* value;
  - 2> if the security *Algorithm Config-r15* is received:
    - 3> derive the K<sub>RRCint</sub> key associated with the *integrityProtAlgorithm*, as specified in TS 33.401 [32];
    - 3> derive the  $K_{RRCenc}$  key and the  $K_{UPenc}$  key associated with the *cipheringAlgorithm*, as specified in TS 33.401 [32];
  - 2> else:

- 3> derive the K<sub>RRCint</sub> key associated with the current integrity algorithm, as specified in TS 33.401 [32];
- 3> derive the  $K_{RRCenc}$  key and the  $K_{UPenc}$  key associated with the current ciphering algorithm, as specified in TS 33.401 [32];
- 1> if the received RRCConnectionReconfiguration includes the nr-Config and it is set to release; or
- 1> if the received RRCConnectionReconfiguration includes endc-ReleaseAndAdd and it is set to TRUE:
  - 2> perform MR-DC release as specified in TS 38.331 [82], clause 5.3.5.10;
- 1> if the received RRCConnectionReconfiguration includes the sk-Counter:
  - 2> perform key update procedure as specified in in TS 38.331 [82], clause 5.3.5.7;
- 1> if the received RRCConnectionReconfiguration includes the nr-SecondaryCellGroupConfig:
  - 2> perform NR RRC Reconfiguration as specified in TS 38.331 [82], clause 5.3.5.3.
- 1> if the received RRCConnectionReconfiguration includes the nr-RadioBearerConfig1:
  - 2> perform radio bearer configuration as specified in TS 38.331 [82], clause 5.3.5.6;
- 1> if the received RRCConnectionReconfiguration includes the nr-RadioBearerConfig2:
  - 2> perform radio bearer configuration as specified in TS 38.331 [82], clause 5.3.5.6.
- 1> if connected as an RN:
  - 2> configure lower layers to apply the integrity protection algorithm and the K<sub>UPint</sub> key, for current or subsequently established DRBs that are configured to apply integrity protection, if any;
- $1> if the \ received \ \textit{RRCConnectionReconfiguration} \ includes \ the \ \textit{sCellToAddModList}:$ 
  - 2> perform SCell addition or modification as specified in 5.3.10.3b;
- 1> if the received RRCConnectionReconfiguration includes the sCellGroupToAddModList:
  - 2> perform SCell group addition or modification as specified in 5.3.10.3e;
- 1> if the received RRCConnectionReconfiguration includes the systemInformationBlockType1Dedicated:
  - 2> perfom the actions upon reception of the SystemInformationBlockType1 message as specified in 5.2.2.7;
- 1> if the received RRCConnectionReconfiguration includes the systemInformationBlockType31Dedicated:
  - 2> perform the actions upon reception of the SystemInformationBlockType31 message as specified in 5.2.2.39;
- 1> perform the measurement related actions as specified in 5.5.6.1;
- 1> if the *RRCConnectionReconfiguration* message includes the *measConfig*:
  - 2> perform the measurement configuration procedure as specified in 5.5.2;
- 1> perform the measurement identity autonomous removal as specified in 5.5.2.2a;
- 1> release reportProximityConfig and clear any associated proximity status reporting timer;
- 1> if the RRCConnectionReconfiguration message includes the otherConfig:
  - 2> perform the other configuration procedure as specified in 5.3.10.9;
- 1> if the RRCConnectionReconfiguration message includes the sl-DiscConfig or sl-CommConfig:
  - 2> perform the sidelink dedicated configuration procedure as specified in 5.3.10.15;
- 1> if the RRCConnectionReconfiguration message includes wlan-OffloadInfo:
  - 2> perform the dedicated WLAN offload configuration procedure as specified in 5.6.12.2;

- 1> if handoverWithoutWT-Change is not configured:
  - 2> release the LWA configuration, if configured, as described in 5.6.14.3;
- 1> release the LWIP configuration, if configured, as described in 5.6.17.3;
- 1> if the RRCConnectionReconfiguration message includes rclwi-Configuration:
  - 2> perform the WLAN traffic steering command procedure as specified in 5.6.16.2;
- 1> if the RRCConnectionReconfiguration message includes lwa-Configuration:
  - 2> perform the LWA configuration procedure as specified in 5.6.14.2;
- 1> if the *RRCConnectionReconfiguration* message includes *lwip-Configuration*:
  - 2> perform the LWIP reconfiguration procedure as specified in 5.6.17.2;
- 1> if the RRCConnectionReconfiguration message includes the sl-V2X-ConfigDedicated or mobilityControlInfoV2X:
  - 2> perform the V2X sidelink communication dedicated configuration procedure as specified in 5.3.10.15a;
- NOTE 2d:In case of conditional reconfiguration the text "if the received *RRCConnectionReconfiguration*..." corresponds to applying the stored *RRCConnectionReconfiguration* message (according to 5.3.5.9.5).
- 1> if the UE is configured to operate in EN-DC as result of this procedure, forward *upperLayerIndication*, as if the UE receives this field from SIB2, to upper layers, otherwise indicate upper layers absence of this field;
- 1> set the content of RRCConnectionReconfigurationComplete message as follows:
  - 2> if the UE has radio link failure or handover failure information available in *VarRLF-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report*:
    - 3> include rlf-InfoAvailable;
  - 2> if the UE has MBSFN logged measurements available for E-UTRA and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport* and if T330 is not running:
    - 3> include *logMeasAvailableMBSFN*;
  - 2> else if the UE has logged measurements available for E-UTRA and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:
    - 3> include the *logMeasAvailable*;
    - 3> if Bluetooth measurement results are included in the logged measurements the UE has available:
      - 4> include *logMeasAvailableBT*;
    - 3> if WLAN measurement results are included in the logged measurements the UE has available:
      - 4> include logMeasAvailableWLAN;
  - 2> if the UE has connection establishment failure information available in *VarConnEstFailReport* and if the RPLMN is equal to *plmn-Identity* stored in *VarConnEstFailReport*:
    - 3> include *connEstFailInfoAvailable*;
  - 2> if the RRCConnectionReconfiguration message includes perCC-GapIndicationRequest:
    - 3> include *perCC-GapIndicationList* and *numFreqEffective*;
  - 2> if the frequencies are configured for reduced measurement performance:
    - 3> include numFreqEffectiveReduced;
  - 2> if the UE has flight path information available:

- 3> include *flightPathInfoAvailable*;
- 2> if the received RRCConnectionReconfiguration message included nr-SecondaryCellGroupConfig:
  - 3> include scg-ConfigResponseNR in accordance with TS 38.331 [82], clause 5.3.5.3;
- 2> if the target cell is an NTN cell:
  - 3> include gnss-validityDuration in accordance with the remaining time of the GNSS validity duration;
- 1> submit the RRCConnectionReconfigurationComplete message to lower layers for transmission;
- 1> if MAC successfully completes the random access procedure; or
- 1> if MAC indicates the successful reception of a PDCCH transmission addressed to C-RNTI and if *rach-Skip* is configured:
  - 2> stop timer T304;
  - 2> if *daps-HO* is configured for any DRB:
    - 3> stop timer T310 for the source PCell, if running;
    - 3> for each DAPS bearer trigger UL data switching, as specified in TS 36.323 [8];
  - 2> release *rach-Skip*;
  - 2> apply the parts of the CQI reporting configuration, the scheduling request configuration and the sounding RS configuration that do not require the UE to know the SFN of the target PCell, if any;
  - 2> apply the parts of the measurement and the radio resource configuration that require the UE to know the SFN of the target PCell (e.g. measurement gaps, periodic CQI reporting, scheduling request configuration, sounding RS configuration), if any, upon acquiring the SFN of the target PCell;
- NOTE 3: Whenever the UE shall setup or reconfigure a configuration in accordance with a field that is received it applies the new configuration, except for the cases addressed by the above statements.
  - 2> if the UE is configured to provide IDC indications:
    - 3> if the UE has initiated the transmission of an InDeviceCoexIndication message during the last 1 second preceding reception of the RRCConnectionReconfiguration message including mobilityControlInfo; or
    - 3> if the *RRCConnectionReconfiguration* message is applied due to a conditional reconfiguration execution and the UE has initiated transmission of an *InDeviceCoexIndication* message since it was configured to do so in accordance with 5.6.9.2:
      - 4> initiate transmission of the InDeviceCoexIndication message in accordance with 5.6.9.3;
  - 2> if the UE is configured to provide power preference indications, overheating assistance information, SPS assistance information, delay budget report or maximum bandwidth preference indications:
    - 3> if the UE has initiated the transmission of a *UEAssistanceInformation* message during the last 1 second preceding reception of the *RRCConnectionReconfiguration* message including *mobilityControlInfo*; or
    - 3> if the *RRCConnectionReconfiguration* message is applied due to a conditional reconfiguration execution, and the UE has initiated transmission of a *UEAssistanceInformation* message for the corresponding cell group since it was configured to do so in accordance with 5.6.10.2:
      - 4> initiate transmission of the *UEAssistanceInformation* message in accordance with 5.6.10.3;
  - 2> if *SystemInformationBlockType15* is broadcast by the PCell:
    - 3> if the UE has initiated the transmission of a *MBMSInterestIndication* message during the last 1 second preceding reception of the *RRCConnectionReconfiguration* message including *mobilityControlInfo*; or

- 3> if the *RRCConnectionReconfiguration* message is applied due to a conditional reconfiguration execution and the UE supports MBMS reception and the UE has initiated transmission of an *MBMSInterestIndication* message since it was configured to do so in accordance with 5.8.5.2:
  - 4> ensure having a valid version of *SystemInformationBlockType15* for the PCell;
  - 4> determine the set of MBMS frequencies of interest in accordance with 5.8.5.3;
  - 4> determine the set of MBMS services of interest in accordance with 5.8.5.3a;
  - 4> initiate transmission of the MBMSInterestIndication message in accordance with 5.8.5.4;
- 2> if SystemInformationBlockType18 is broadcast by the target PCell; and the UE initiated the transmission of a SidelinkUEInformation message indicating a change of sidelink communication related parameters relevant in target PCell (i.e. change of commRxInterestedFreq or commTxResourceReq, commTxResourceReqUC if SystemInformationBlockType18 includes commTxResourceUC-ReqAllowed or commTxResourceInfoReqRelay if PCell broadcasts SystemInformationBlockType19 including discConfigRelay) during the last 1 second preceding reception of the RRCConnectionReconfiguration message including mobilityControlInfo; or
- 2> if SystemInformationBlockType19 is broadcast by the target PCell; and the UE initiated the transmission of a SidelinkUEInformation message indicating a change of sidelink discovery related parameters relevant in target PCell (i.e. change of discRxInterest or discTxResourceReq, discTxResourceReqPS if SystemInformationBlockType19 includes discConfigPS or discRxGapReq or discTxGapReq if the UE is configured with gapRequestsAllowedDedicated set to true or if the UE is not configured with gapRequestsAllowedDedicated and SystemInformationBlockType19 includes gapRequestsAllowedCommon) during the last 1 second preceding reception of the RRCConnectionReconfiguration message including mobilityControlInfo; or
- 2> if *SystemInformationBlockType21* is broadcast by the target PCell; and the UE initiated the transmission of a *SidelinkUEInformation* message indicating a change of V2X sidelink communication related parameters relevant in target PCell (i.e. change of *v2x-CommRxInterestedFreqList* or *v2x-CommTxResourceReq*) during the last 1 second preceding reception of the *RRCConnectionReconfiguration* message including *mobilityControlInfo*; or
- 2> if the RRCConnectionReconfiguration message is applied due to a conditional reconfiguration execution, and at least one of SystemInformationBlockType18, SystemInformationBlockType19, and SystemInformationBlockType21 is broadcast by the target PCell, and the UE has initiated transmission of a SidelinkUEInformation message since it was configured to do so in accordance with 5.10.2.2:
  - 3> initiate transmission of the SidelinkUEInformation message in accordance with 5.10.2.3;
- 2> remove all the entries within VarConditionalReconfiguration, if any;
- 2> for each measId, if the associated reportConfig is condReconfigurationTriggerEUTRA:
  - 3> remove the entry with the matching measId from the measIdList within the VarMeasConfig;
  - 3> remove the entry with the matching reportConfigId from the reportConfigList within the VarMeasConfig;
  - 3> if the *measObjectId* is only included in a *MeasIdToAddMod*:
    - 4> remove the entry with the matching *measObjectId* from the *measObjectList* within the *VarMeasConfig*;
- 2> the procedure ends;
- NOTE 4: The UE is not required to determine the SFN of the target PCell by acquiring system information from that cell before performing RACH access in the target PCell, except for BL UEs or UEs in CE when *sameSFN-Indication* is not present in *mobilityControlInfo*.

## 5.3.5.5 Reconfiguration failure

- 1> if the UE is unable to comply with (part of) the configuration included in the *RRCConnectionReconfiguration* message or if the upper layers indicate that the *nas-Container* is invalid:
  - 2> continue using the configuration used prior to the reception of RRCConnectionReconfiguration message;
  - 2> if the UE is in NE-DC:
    - 3> perform the actions as specified in TS 38.331 [82], clause 5.3.7;
  - 2> else if security has not been activated:
    - 3> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause other;
  - 2> else:
    - 3> initiate the connection re-establishment procedure as specified in 5.3.7, upon which the connection reconfiguration procedure ends;
- NOTE 1: The UE may apply above failure handling also in case the *RRCConnectionReconfiguration* message causes a protocol error for which the generic error handling as defined in 5.7 specifies that the UE shall ignore the message.
- NOTE 2: If the UE is unable to comply with part of the configuration, it does not apply any part of the configuration, i.e. there is no partial success/ failure.
- NOTE 3: The compliance also covers the NR configuration carried within octet strings e.g. field *nr-SecondaryCellGroupConfig*. I.e. the failure behaviour defined also applies in case the UE cannot comply with the NR configuration or with the combination of (parts of) the LTE and NR configurations.
- NOTE 4: The compliance also covers the NR sidelink configuration carried within an octet string, e.g. field *sl-ConfigDedicatedNR*, i.e. the failure behaviour defined also applies in case the UE cannot comply with the embedded NR sidelink configuration.

## 5.3.5.6 T304 expiry (handover failure)

If T304 expires (handover failure), the UE shall:

- NOTE 1: Following T304 expiry any dedicated preamble, if provided within the *rach-ConfigDedicated*, is not available for use by the UE anymore.
- 1> if no DAPS bearer is configured; or
- 1> if any DAPS bearer is configured and radio link failure has been detected for the source MCG in accordance with 5.3.11.3:
  - 2> if attemptCondReconf is not configured:
    - 3> revert back to the configuration used in the source PCell, excluding the configuration configured by the *physicalConfigDedicated*, the *mac-MainConfig* and the *sps-Config*;
  - 2> else:
    - 3> revert back to the configuration used in the source PCell;
- NOTE 1a: In the context above, "the configuration" includes state variables and parameters of each radio bearer. PDCP entities associtated with RLC UM and SRB bearers are reset after the successful RRC connection re-establishment procedure according to clause 5.2 in TS 36.323 [8]. In the above, "the configuration" includes the RB configuration using NR PDCP, if configured (i.e. by *nr-RadioBearerConfig1* and *nr-RadioBearerConfig2*).
  - 2> store the following handover failure information in VarRLF-Report by setting its fields as follows:
    - 3> clear the information included in *VarRLF-Report*, if any;
    - 3> set the *plmn-IdentityList* to include the list of EPLMNs stored by the UE (i.e. includes the RPLMN);

- 3> set the *measResultLastServCell* to include the RSRP and RSRQ, if available, of the source PCell based on measurements collected up to the moment the UE detected handover failure and in accordance with the following;
  - 4> if the UE includes *rsrqResult*, include the *lastServCellRSRQ-Type*;
- 3> set the *measResultNeighCells* to include the best measured cells, other than the source PCell, ordered such that the best cell is listed first, and based on measurements collected up to the moment the UE detected handover failure, and set its fields as follows;
  - 4> if the UE was configured to perform measurements for one or more EUTRA frequencies, include the *measResultListEUTRA*;
  - 4> if the UE includes *rsrqResult*, include the *rsrq-Type*;
  - 4> if the UE was configured to perform measurement reporting for one or more neighbouring UTRA frequencies, include the *measResultListUTRA*;
  - 4> if the UE was configured to perform measurement reporting for one or more neighbouring GERAN frequencies, include the *measResultListGERAN*;
  - 4> if the UE was configured to perform measurement reporting for one or more neighbouring CDMA2000 frequencies, include the *measResultsCDMA2000*;
  - 4> if the UE was configured to perform measurement reporting, not related to NR sidelink communication, for one or more neighbouring NR frequencies, include the *measResultListNR*;
  - 4> for each neighbour cell included, include the optional fields that are available;
- NOTE 2: The measured quantities are filtered by the L3 filter as configured in the mobility measurement configuration. The measurements are based on the time domain measurement resource restriction, if configured. Exclude-listed cells are not required to be reported.
  - 3> if available, set the *logMeasResultListWLAN* to include the WLAN measurement results, in order of decreasing RSSI for WLAN APs;
  - 3> if available, set the *logMeasResultListBT* to include the Bluetooth measurement results, in order of decreasing RSSI for Bluetooth beacons;
  - 3> if detailed location information is available, set the content of the *locationInfo* as follows:
    - 4> include the *locationCoordinates*;
    - 4> include the *horizontalVelocity*, if available;
  - 3> if last RRCConnectionReconfiguration message including mobilityControlInfo concerned a failed intra-RAT handover (E-UTRA to E-UTRA):
    - 4> set the *failedPCellId* to the global cell identity, if available, and otherwise to the physical cell identity and carrier frequency of the target PCell of the failed handover;
    - 4> include *previousPCellId* and set it to the global cell identity of the PCell where the last *RRCConnectionReconfiguration* message including *mobilityControlInfo* was received;
    - 4> set the *timeConnFailure* to the elapsed time since reception of the last *RRCConnectionReconfiguration* message including the *mobilityControlInfo*;
  - 3> else if last MobilityFromEUTRACommand concerned a failed inter-RAT handover from E-UTRA to NR:
    - 4> set the *failedNR-PCellId* to the global cell identity and tracking area code, if available, and otherwise to the physical cell identity and carrier frequency of the target PCell of the failed handover;
    - 4> include *previousPCellId* and set it to the global cell identity of the PCell where the last *MobilityFromEUTRACommand* message was received;
    - 4> set the *timeConnFailure* to the elapsed time since reception of the last *MobilityFromEUTRACommand* message;

- 3> set the *connectionFailureType* to 'hof';
- 3> set the *c-RNTI* to the C-RNTI used in the source PCell;
- 2> initiate the connection re-establishment procedure as specified in 5.3.7, upon which the RRC connection reconfiguration procedure ends;
- 1> else (any DAPS bearer is configured and radio link failure has not been detected for the source MCG):
  - 2> release the MAC entity for the target PCell;
  - 2> for each DAPS bearer:
    - 3> re-establish the RLC entity for the target PCell;
    - 3> release the RLC entity or entities and the associated DTCH logical channel for the target PCell;
    - 3> reconfigure the PDCP entity to release DAPS as specified in TS 36.323 [8];
  - 2> for each non-DAPS bearer:
    - 3> revert back to the configuration used for the DRB in the source PCell, including PDCP and RLC states and the security configuration;
  - 2> for each SRB:
    - 3> discard any PDCP SDUs along with the PDCP data PDUs for the source PCell;
    - 3> re-establish the RLC entity for the source PCell;
    - 3> release the PDCP entity for the target PCell;
    - 3> release the RLC entity and the associated DCCH logical channel for the target PCell;
  - 2> release the physical channel configuration for the target PCell;
  - 2> resume the SRBs for the source PCell;
  - 2> initiate the failure information procedure as specified in 5.6.21 to report a DAPS HO failure.

The UE may discard the handover failure information, i.e. release the UE variable *VarRLF-Report*, 48 hours after the failure is detected, upon power off or upon detach.

NOTE 3: E-UTRAN may retrieve the handover failure information using the UE information procedure with *rlf-ReportReg* set to *true*, as specified in 5.6.5.3.

## 5.3.5.7 Void

## 5.3.5.7a T307 expiry (SCG change failure)

- 1> if T307 expires:
- NOTE 1: Following T307 expiry any dedicated preamble, if provided within the *rach-ConfigDedicatedSCG*, is not available for use by the UE anymore.
  - 2> if the UE is configured with DC; or
  - 2> if the UE is configured with NE-DC and MCG transmission is not suspended:
    - 3> initiate the SCG failure information procedure as specified in 5.6.13 to report SCG change failure;
  - 2> else:
    - 3> initiate the connection re-establishment procedure as specified in TS 38.331 [82] 5.3.7;

## 5.3.5.8 Radio Configuration involving full configuration option

- 1> if the UE is connected to EPC:
  - 2> release/ clear all current dedicated radio configurations except for the following:
    - the MCG C-RNTI.
    - the MCG security configuration,
    - the PDCP, RLC, logical channel configurations for the RBs,
    - the logged measurement configuration;
    - the *serviceType*;
- 1> else if the UE is connected to 5GC:
  - 2> release/ clear all current dedicated radio configurations except for the following:
    - the MCG C-RNTI,
    - the MCG security configuration,
    - the configurations (SDAP if configured, PDCP, RLC and logical channel) for the RBs;
    - the logged measurement configuration;
- NOTE 1: Radio configuration is not just the resource configuration but includes other configurations like MeasConfig and OtherConfig. In case (NG)EN-DC is configured, this also includes the entire NR SCG configuration. Such NR SCG configuration does not include the DRB configuration as configured by nr-RadioBearerConfig1 and nr-RadioBearerConfig2).
- 1> if the RRCConnectionReconfiguration message includes the measConfigAppLayer set to setup and the measConfigAppLayer includes the serviceType stored in the current UE configuration:
  - 2> discard the *measConfigAppLayer*;
  - 2> consider the *measConfigAppLayer* as not received;
- 1> else if a *serviceType* is stored in the current UE configuration:
  - 2> release the stored *serviceType*;
  - 2> inform upper layers to clear the stored application layer measurement configuration;
  - 2> discard received application layer measurement report information from upper layers;
  - 2> consider itself not to be configured to send application layer measurement report;
- 1> if the RRCConnectionReconfiguration message includes the mobilityControlInfo:
  - 2> release/ clear all current common radio configurations;
  - 2> use the default values specified in 9.2.5 for timer T310, T311 and constant N310, N311;
- 1> else:
  - 2> use values for timers T301, T310, T311 and constants N310, N311, as included in *ue-TimersAndConstants* received in *SystemInformationBlockType2* (or *SystemInformationBlockType2-NB* in NB-IoT);
- 1> apply the default physical channel configuration as specified in 9.2.4;
- 1> apply the default semi-persistent scheduling configuration as specified in 9.2.3;
- 1> apply the default MAC main configuration as specified in 9.2.2;

- 1> if the UE is a NB-IoT UE; or
- 1> for each *srb-Identity* value included in the *srb-ToAddModList* (SRB reconfiguration):
  - 2> apply the specified configuration defined in 9.1.2 for the corresponding SRB;
  - 2> apply the corresponding default RLC configuration for the SRB specified in 9.2.1.1 for SRB1 or in 9.2.1.2 for SRB2;
  - 2> apply the corresponding default logical channel configuration for the SRB as specified in 9.2.1.1 for SRB1 or in 9.2.1.2 for SRB2;
  - 2> if the corresponding SRB was configured with NR PDCP and the UE is connected to EPC:
    - 3> release the NR PDCP entity and establish it with an E-UTRA PDCP entity and with the current (MCG) security configuration;
- NOTE 1a: The UE applies the LTE ciphering and integrity protection algorithms that are equivalent to the previously configured NR security algorithms.
  - 3> associate the RLC bearer of this SRB with the established PDCP entity;
- NOTE 2: This is to get the SRBs (SRB1 and SRB2 for handover and SRB2 for reconfiguration after reestablishment) to a known state from which the reconfiguration message can do further configuration.
  - 2> else if the UE is connected to 5GC:
    - 3> apply the corresponding default PDCP configuration for the SRB as specified in TS 38.331 [82], clause 9.2.1;
- 1> for each *srb-Identity* value which was configured in the *srb-ToAddModListExt* but is not added in the RRC message configuring the full configuration:
  - 2> release the RLC entity or entities;
  - 2> release the DCCH logical channel;
  - 2> release the PDCP entity;
- 1> if the UE is connected to EPC:
  - 2> for each *eps-BearerIdentity* value included in the *drb-ToAddModList* or *nr-RadioBearerConfig1* or *nr-RadioBearerConfig2* that is part of the current E-UTRA and NR UE configuration:
    - 3> release the E-UTRA or NR PDCP entity;
    - 3> release the RLC entity or entities;
    - 3> release the DTCH logical channel;
    - 3> release the *drb-identity*;
- NOTE 3: This will retain the *eps-bearerIdentity* but remove the DRBs including *drb-identity* of these bearers from the current UE configuration and trigger the setup of the DRBs within the AS in clause 5.3.10.3 using the new configuration. The *eps-bearerIdentity* acts as the anchor for associating the released and re-setup DRB. In the AS the DRB re-setup is equivalent with a new DRB setup (including new PDCP and logical channel configurations).
  - 2> for each *eps-BearerIdentity* value that is part of the current E-UTRA and NR UE configuration but not added with same *eps-BearerIdentity* in *drb-ToAddModList* nor in *nr-RadioBearerConfig1* nor in *nr-RadioBearerConfig2*:
    - 3> perform DRB release as specified in 5.3.10.2;
- 1> if the UE is connected to 5GC:
  - 2> except for NB-IoT:

- 3> for each *pdu-Session* that is part of the current NR UE configuration:
  - 4> release the SDAP entity (clause 5.1.2 in TS 37.324 [97]);
  - 4> release the NR PDCP entity for each DRB associated to the *pdu-Session*;
  - 4> release the RLC entity or entities for each DRB associated to the *pdu-Session*;
  - 4> release the DTCH logical channel for each DRB associated to the *pdu-Session*;
  - 4> release the *drb-identity* for each DRB associated to the *pdu-Session*;
- NOTE 4: This will retain the *pdu-Session* but remove the DRBs including *drb-identity* of these bearers from the current NR UE configuration and trigger the setup of the DRBs within the AS in clause 5.3.10.3 using the new configuration. The *pdu-Session* acts as the anchor for associating the released and re-setup DRB. In the AS the DRB re-setup is equivalent with a new DRB setup (including new PDCP and logical channel configurations).
  - 3> for each *pdu-Session* that is part of the current NR UE configuration but not added with same *pdu-Session* in *nr-RadioBearerConfig1* nor in *nr-RadioBearerConfig2*:
    - 4> if the procedure was triggered due to handover:
      - 5> indicate the release of the user plane resources for the *pdu-Session* to upper layers after successful handover;
    - 4> else:
      - 5> indicate the release of the user plane resources for the *pdu-Session* to upper layers immediately;

#### 2> for NB-IoT UE:

- 3> for each *pdu-Session* that is part of the current UE configuration:
  - 4> release the PDCP entity for the DRB associated to the *pdu-Session*;
  - 4> release the RLC entity for the DRB associated to the *pdu-Session*;
  - 4> release the DTCH logical channel for the DRB associated to the *pdu-Session*;
  - 4> release the *drb-identity* for the DRB associated to the *pdu-Session*;
- 3> for each *pdu-Session* that is part of the current UE configuration but not added with same *pdu-Session* in *drb-ToAddModList*:
  - 4> indicate the release of the user plane resources for the *pdu-Session* to upper layers;

## 5.3.5.9 Conditional reconfiguration

#### 5.3.5.9.1 General

The network configures the UE with conditional reconfiguration (i.e. conditional handover, conditional PSCell addition, or inter-SN conditional PSCell change) including per candidate target cell an *RRCConnectionReconfiguration* to be stored and to be applied upon the fulfilment of an associated execution condition.

- 1> if the received conditional Reconfiguration includes the cond Reconfiguration To Remove List:
  - 2> perform the conditional reconfiguration removal procedure as specified in 5.3.5.9.2;
- 1> if the received conditionalReconfiguration includes the condReconfigurationToAddModList:
  - 2> perform the conditional reconfiguration addition/modification procedure as specified in 5.3.5.9.3;

## 5.3.5.9.2 Conditional reconfiguration removal

The UE shall:

- 1> for each *CondReconfigurationId* included in the *condReconfigurationToRemoveList* that is part of the current UE configuration in *VarConditionalReconfiguration*:
  - 2> remove the entry with the matching *condReconfigurationId* from the *condReconfigurationList* within the *VarConditionalReconfiguration*.

NOTE: The UE does not consider the message as erroneous if the *condReconfigurationToRemoveList* includes any *CondReconfigurationId* value that is not part of the current UE configuration.

#### 5.3.5.9.3 Conditional reconfiguration addition/modification

The UE shall:

- 1> for each condReconfigurationId included in the condReconfigurationToAddModList:
  - 2> if an entry with the matching *condReconfigurationId* exists in the *condReconfigurationList* within the *VarConditionalReconfiguration*:
    - 3> if the entry in condReconfigurationToAddModList includes a triggerCondition or triggerConditionSN;
      - 4> replace triggerCondition or triggerConditionSN within the VarConditionalReconfiguration with the value received for this condReconfigurationId
    - 3> if the entry in condReconfigurationToAddModList includes an condReconfigurationToApply;
      - 4> replace *condReconfigurationToApply* within the *VarConditionalReconfiguration* with the value received for this *condReconfigurationId*;
  - 2> else:
    - 3> add a new entry for this condReconfigurationId within the VarConditionalReconfiguration;
    - 3> store the associated RRCConnectionReconfiguration in VarConditionalReconfiguration.

#### 5.3.5.9.4 Conditional reconfiguration evaluation

If AS security has been activated successfully, the UE shall:

- 1> if VarConditionalReconfiguration includes at least one condReconfigurationId:
  - 2> perform conditional reconfiguration evaluation;
- $1{\gt{}}\ for\ each\ cond Reconfiguration Id\ within\ the\ Var Conditional Reconfiguration:$ 
  - 2> if the RRCConnectionReconfiguration within condReconfigurationToApply includes the MobilityControlInfo:
    - 3> consider the cell which has a physical cell identity matching the value indicated in the *MobilityControlInfo* within *condReconfigurationToApply* to be an applicable cell;
  - 2> else if the *RRCConnectionReconfiguration* within *condReconfigurationToApply* includes the *nr-SecondaryCellGroupConfig*:
    - 3> consider the cell which has a physical cell identity matching the value indicated in the *nr-SecondaryCellGroupConfig* within the received *condReconfigurationToApply* to be an applicable cell;
  - 2> if *triggerConditionSN* is configured (in case of SN initiated inter-SN CPC for EN-DC):
    - 3> perform the conditional reconfiguration evaluation as specified in TS 38.331 [82], clause 5.3.5.13.4a;
    - 3> the procedure ends;

- 2> for each *measId* included in the *measIdList* within *VarMeasConfig* indicated in the *triggerCondition* associated to *condReconfigurationId*:
  - 3> if the entry condition(s) applicable for this event associated with the *condReconfigurationId*, i.e. the event corresponding with the *condEventId* of the corresponding *condReconfigurationTriggerEUTRA* within *VarConditionalReconfiguration*, or the event corresponding with the *condEventId* of the corresponding *condReconfigurationTriggerNR* within *VarConditionalReconfiguration*, is fulfilled for the applicable cell for all measurements after layer 3 filtering taken during the corresponding *timeToTrigger* defined for this event within the *VarConditionalReconfiguration*:
    - 4> consider the entry condition for the associated *measId* within *triggerCondition* as fulfilled;
  - 3> if the measId for this event associated with the condReconfigurationId has been modified; or
  - 3> if the leaving condition(s) applicable for this event associated with the *condReconfigurationId*, i.e. the event corresponding with the *condEventId(s)* of the corresponding *condReconfigurationTriggerEUTRA* within *VarConditionalReconfiguration*, or the event corresponding with the *condEventId* of the corresponding *condReconfigurationTriggerNR* within *VarConditionalReconfiguration*, is fulfilled for the applicable cells for all measurements after layer 3 filtering taken during the corresponding *timeToTrigger* defined for this event within the *VarConditionalReconfiguration*:
    - 4> consider the event associated to that *measId* to be not fulfilled;
- 2> if trigger conditions for all associated measId(s) within triggerCondition are fulfilled:
  - 3> consider the target cell candidate within the stored *condReconfigurationToApply*, associated to that *condReconfigurationId*, as a triggered cell;
  - 3> initiate the conditional reconfiguration execution, as specified in 5.3.5.9.5;

#### 5.3.5.9.5 Conditional reconfiguration execution

The UE shall:

- 1> if more than one triggered cell exists:
  - 2> select one of the triggered cells as the selected cell for conditional reconfiguration;
- 1> else:
  - 2> consider the triggered cell as the selected cell for conditional reconfiguration;
- 1> for the selected cell of conditional reconfiguration:
  - 2> apply the stored *condReconfigurationToApply* associated to that *condReconfigurationId* and perform the actions as specified in 5.3.5.4, or perform the actions as specified in 5.3.5.3;

#### 5.3.5.9.6 VarConditionalReconfiguration remove

- 1> remove all the entries within VarConditionalReconfiguration;
- 1> for each *measId*, that is part of the current UE configuration in *VarMeasConfig*, if the associated *reportConfig* has *condReconfigurationTriggerEUTRA/condReconfigurationTriggerNR* configured:
  - 2> remove the entry with the matching reportConfigId from the reportConfigList within the VarMeasConfig;
  - 2> if the associated *measObjectId* is only associated with *condReconfigurationTriggerEUTRA/condReconfigurationTriggerNR*:
    - 3> remove the entry with the matching measObjectId from the measObjectList within the VarMeasConfig;
  - 2> remove the entry with the matching *measId* from the *measIdList* within the *VarMeasConfig*;

## 5.3.5.9.7 VarConditionalReconfiguration CPC remove

The UE shall:

1> remove all the entries within *VarConditionalReconfiguration* for which the *RRCConnectionReconfiguration* within *condReconfigurationToApply* does not include the *MobilityControlInfo*.

## 5.3.6 Counter check

#### 5.3.6.1 General

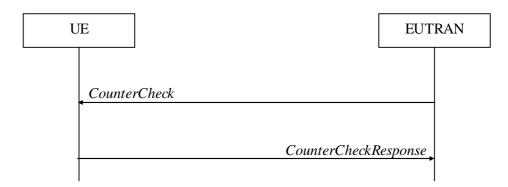


Figure 5.3.6.1-1: Counter check procedure

The counter check procedure is used by E-UTRAN to request the UE to verify the amount of data sent/ received on each DRB. More specifically, the UE is requested to check if, for each DRB, the most significant bits of the COUNT match with the values indicated by E-UTRAN.

NOTE: The procedure enables E-UTRAN to detect packet insertion by an intruder (a 'man in the middle').

#### 5.3.6.2 Initiation

E-UTRAN initiates the procedure by sending a CounterCheck message.

NOTE: E-UTRAN may initiate the procedure when any of the COUNT values reaches a specific value.

## 5.3.6.3 Reception of the *CounterCheck* message by the UE

Upon receiving the CounterCheck message, the UE shall:

- 1> for each DRB that is established:
  - 2> if no COUNT exists for a given direction (uplink or downlink) because it is a uni-directional bearer configured only for the other direction:
    - 3> assume the COUNT value to be 0 for the unused direction;
  - 2> if the *drb-Identity* is not included in the *drb-CountMSB-InfoList*:
    - 3> if the DRB is configured with E-UTRA PDCP:
      - 4> include the DRB in the *drb-CountInfoList* in the *CounterCheckResponse* message by including the *drb-Identity*, the *count-Uplink* and the *count-Downlink* set to the value of the corresponding COUNT;
    - 3> else if the DRB is configured with NR PDCP:
      - 4> include the DRB in the *drb-CountInfoList* in the *CounterCheckResponse* message by including the *drb-Identity*, the *count-Uplink* and the *count-Downlink* set to the value of TX\_NEXT 1 and RX\_NEXT 1 (specified in TS 38.323 [83]), respectively;
  - 2> else if, for at least one direction, the most significant bits of the COUNT are different from the value indicated in the *drb-CountMSB-InfoList*:

- 3> if the DRB is configured with E-UTRA PDCP:
  - 4> include the DRB in the *drb-CountInfoList* in the *CounterCheckResponse* message by including the *drb-Identity*, the *count-Uplink* and the *count-Downlink* set to the value of the corresponding COUNT;
- 3> else if the DRB is configured with NR PDCP:
  - 4> include the DRB in the *drb-CountInfoList* in the *CounterCheckResponse* message by including the *drb-Identity*, the *count-Uplink* and the *count-Downlink* set to the value of TX\_NEXT 1 and RX\_NEXT 1 (specified in TS 38.323 [83]), respectively;
- 1> for each DRB that is included in the *drb-CountMSB-InfoList* in the *CounterCheck* message that is not established:
  - 2> include the DRB in the *drb-CountInfoList* in the *CounterCheckResponse* message by including the *drb-Identity*, the *count-Uplink* and the *count-Downlink* with the most significant bits set identical to the corresponding values in the *drb-CountMSB-InfoList* and the least significant bits set to zero;
- 1> submit the CounterCheckResponse message to lower layers for transmission upon which the procedure ends;

#### 5.3.7 RRC connection re-establishment

#### 5.3.7.1 General

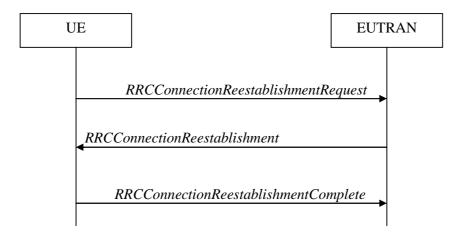


Figure 5.3.7.1-1: RRC connection re-establishment, successful

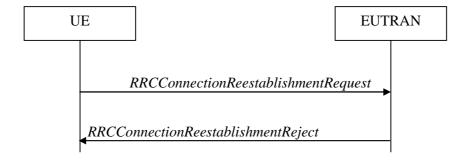


Figure 5.3.7.1-2: RRC connection re-establishment, failure

The purpose of this procedure is to re-establish the RRC connection, which involves the resumption of SRB1 (SRB1bis for a NB-IoT UE for which AS security has not been activated) operation, the re-activation of security (except for a NB-IoT UE for which AS security has not been activated) and the configuration of only the PCell.

Except for a NB-IoT UE for which AS security has not been activated, a UE in RRC\_CONNECTED, for which security has been activated, may initiate the procedure in order to continue the RRC connection. The connection reestablishment succeeds only if the concerned cell is prepared i.e. has a valid UE context. In case E-UTRAN accepts the

re-establishment, SRB1 operation resumes while the operation of other radio bearers remains suspended. If AS security has not been activated, the UE does not initiate the procedure but instead moves to RRC\_IDLE directly.

When AS security has not been activated, a NB-IoT UE supporting RRC connection re-establishment for the Control Plane CIoT EPS/5GS optimisation in RRC\_CONNECTED may initiate the procedure in order to continue the RRC connection.

E-UTRAN applies the procedure as follows:

- When AS security has been activated:
  - to reconfigure SRB1 and to resume data transfer only for this RB;
  - to re-activate AS security without changing algorithms.
- For a NB-IoT UE supporting RRC connection re-establishment for the Control Plane CIoT EPS/5GS optimisation, when AS security has not been activated:
  - to re-establish SRB1bis and to continue data transfer for this RB.

## 5.3.7.1a Condition for re-establishing RRC Connection in NTN

If systemInformationBlockType31 (systemInformationBlockType31-NB in NB-IoT) is broadcast, a RRC connection reestablishment is initiated only if the UE has a valid GNSS position.

NOTE: The UE may need to re-acquire the GNSS position before re-establishing the connection to avoid interruption during the connection.

#### 5.3.7.2 Initiation

The UE shall only initiate the procedure either when AS security has been activated or for a NB-IoT UE supporting RRC connection re-establishment for the Control Plane CIoT EPS/5GS optimisation. The UE initiates the procedure when one of the following conditions is met:

- 1> upon detecting radio link failure and T316 is not configured, in accordance with 5.3.11; or
- 1> upon detecting radio link failure of the MCG while SCG transmission is suspended, in accordance with 5.3.11; or
- 1> upon detecting radio link failure of the MCG while NR PSCell change or PSCell addition is ongoing, in accordance with 5.3.11; or
- 1> upon handover failure, in accordance with 5.3.5.6; or
- 1> upon mobility from E-UTRA failure, in accordance with 5.4.3.5; or
- 1> except when resuming an RRC connection after early security reactivation in accordance with conditions in 5.3.3.18, upon integrity check failure indication from lower layers concerning SRB1 or SRB2; or
- 1> upon an RRC connection reconfiguration failure, in accordance with 5.3.5.5; or
- 1> upon an RRC connection reconfiguration failure, in accordance with TS38.331 [82], clause 5.3.5.8; or
- 1> upon detecting radio link failure for the SCG while MCG transmission is suspended, in accordance with TS 38.331 [82] clause 5.3.10.3 in (NG)EN-DC; or
- 1> upon SCG change failure while MCG transmission is suspended, in accordance with TS 38.331 [82] clause 5.3.5.8.3 in (NG)EN-DC; or
- 1> upon SCG configuration failure while MCG transmission is suspended in accordance with clause TS 38.331 [82] clause 5.3.5.8.2 in (NG)EN-DC; or
- 1> upon integrity check failure indication from SCG lower layers concerning SRB3 while MCG transmission is suspended; or
- 1> upon T316 expiry, in accordance with clause 5.6.26.5.

NOTE: When resuming an RRC connection after early security reactivation in accordance with conditions in 5.3.3.18, integrity check failure indication from lower layers is handled in accordance with clause 5.3.3.16.

Upon initiation of the procedure, the UE shall:

- 1> stop timer T310, if running;
- 1> stop timer T312, if running;
- 1> stop timer T313, if running;
- 1> stop timer T316, if running;
- 1> stop timer T307, if running;
- 1> start timer T311;
- 1> stop timer T370, if running;
- 1> if the UE is not configured with attemptCondReconf:
  - 2> release *uplinkDataCompression*, if configured;
  - 2> suspend all RBs, including RBs configured with NR PDCP, except SRB0;
  - 2> reset MAC;
  - 2> release the MCG SCell(s), if configured, in accordance with 5.3.10.3a;
  - 2> release the SCell group(s), if configured, in accordance with 5.3.10.3d;
  - 2> apply the default physical channel configuration as specified in 9.2.4;
  - 2> except for NB-IoT, for the MCG, apply the default semi-persistent scheduling configuration as specified in 9.2.3;
  - 2> for NB-IoT, release *schedulingRequestConfig*, if configured;
  - 2> for the MCG, apply the default MAC main configuration as specified in 9.2.2;
  - 2> release powerPrefIndicationConfig, if configured and stop timer T340, if running;
  - 2> release reportProximityConfig, if configured and clear any associated proximity status reporting timer;
  - 2> release *obtainLocationConfig*, if configured;
  - 2> release *idc-Config*, if configured;
  - 2> release sps-AssistanceInfoReport, if configured;
  - 2> release scg-DeactivationPreferenceConfig, if configured and stop timer T346, if running;
  - 2> release measSubframePatternPCell, if configured;
  - 2> release the entire SCG configuration, if configured, except for the DRB configuration (as configured by *drb-ToAddModListSCG*);
  - 2> if (NG)EN-DC is configured:
    - 3> perform MR-DC release, as specified in TS 38.331[82], clause 5.3.5.10;
    - 3> release *p-MaxEUTRA*, if configured;
    - 3> release *p-MaxUE-FR1*, if configured;
    - 3> release tdm-PatternConfig or tdm-PatternConfig2, if configured;
  - 2> release *naics-Info* for the PCell, if configured;

- 2> if connected as an RN and configured with an RN subframe configuration:
  - 3> release the RN subframe configuration;
- 2> release the LWA configuration, if configured, as described in 5.6.14.3;
- 2> release the LWIP configuration, if configured, as described in 5.6.17.3;
- 2> release delayBudgetReportingConfig, if configured and stop timer T342, if running;
- 2> release bw-PreferenceIndicationTimer, if configured and stop timer T341, if running;
- 2> release *overheatingAssistanceConfig* and *overheatingAssistanceConfigForSCG*, if configured and stop timer T345, if running;
- 2> release *ailc-BitConfig*, if configured;
- 2> if the UE has a stored pur-Config and the cell is different from the cell where pur-Config was provided:
  - 3> if *pur-TimeAlignmentTimer* is configured, indicate to lower layers that *pur-TimeAlignmentTimer* is released;
  - 3> release pur-Config;
  - 3> discard previously stored *pur-Config*.
- 1> if any DAPS bearer is configured:
  - 2> release the MAC entity for the source PCell;
  - 2> for each DAPS bearer:
    - 3> re-establish the RLC entity for the source PCell;
    - 3> release the RLC entity and the associated DTCH logical channel for the source PCell;
    - 3> reconfigure the PDCP entity to release DAPS, as specified in TS 36.323 [8];
  - 2> for each SRB:
    - 3> release the PDCP entity for the source PCell;
    - 3> release the RLC entity and the associated DCCH logical channel for the source PCell;
  - 2> release the physical channel configuration for the source PCell;
- 1> perform cell selection in accordance with the cell selection process as specified in TS 36.304 [4];

#### 5.3.7.3 Actions following cell selection while T311 is running

Upon selecting a suitable E-UTRA cell, the UE shall:

- 1> if T309 is running:
  - 2> stop timer T309 for all access categories;
  - 2> perform the actions as specified in 5.3.16.4.
- 1> if the UE is connected to 5GC and the selected cell is only connected to EPC; or
- 1> if the UE is connected to EPC and the selected cell is only connected to 5GC:
  - 2> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'RRC connection failure';
- 1> else:
  - 2> stop timer T311;

- 2> if the cell selection is triggered by detecting radio link failure of the MCG or handover failure (including intra-E-UTRA handover and mobility from E-UTRA); and
- 2> if attemptCondReconf is configured; and
- 2> if the selected cell is one of the target candidate cells in VarConditionalReconfiguration:
  - 3> apply the stored *condReconfigurationToApply* of the selected cell and perform the actions as specified in 5.3.5.4;

#### 2> else:

- 3> if the UE is configured with attemptCondReconf:
  - 4> release uplinkDataCompression, if configured;
  - 4> suspend all RBs, including RBs configured with NR PDCP, except SRB0;
  - 4> reset MAC;
  - 4> release the MCG SCell(s), if configured, in accordance with 5.3.10.3a;
  - 4> release the SCell group(s), if configured, in accordance with 5.3.10.3d;
  - 4> apply the default physical channel configuration as specified in 9.2.4;
  - 4> for the MCG, apply the default semi-persistent scheduling configuration as specified in 9.2.3;
  - 4> for the MCG, apply the default MAC main configuration as specified in 9.2.2;
  - 4> release powerPrefIndicationConfig, if configured and stop timer T340, if running;
  - 4> release reportProximityConfig, if configured and clear any associated proximity status reporting timer;
  - 4> release *obtainLocationConfig*, if configured;
  - 4> release *idc-Config*, if configured;
  - 4> release sps-AssistanceInfoReport, if configured;
  - 4> release scg-DeactivationPreferenceConfig, if configured and stop timer T346, if running;
  - 4> release measSubframePatternPCell, if configured;
  - 4> release the entire SCG configuration, if configured, except for the DRB configuration (as configured by *drb-ToAddModListSCG*);
  - 4> if (NG)EN-DC is configured:
    - 5> perform MR-DC release, as specified in TS 38.331[82], clause 5.3.5.10;
    - 5> release *p-MaxEUTRA*, if configured;
    - 5> release *p-MaxUE-FR1*, if configured;
    - 5> release tdm-PatternConfig or tdm-PatternConfig2, if configured;
  - 4> release *naics-Info* for the PCell, if configured;
  - 4> if connected as an RN and configured with an RN subframe configuration:
    - 5> release the RN subframe configuration;
  - 4> release the LWA configuration, if configured, as described in 5.6.14.3;
  - 4> release the LWIP configuration, if configured, as described in 5.6.17.3;
  - 4> release delayBudgetReportingConfig, if configured and stop timer T342, if running;

- 4> release bw-PreferenceIndicationTimer, if configured and stop timer T341, if running;
- 4> release overheatingAssistanceConfig and overheatingAssistanceConfigForSCG, if configured and stop timer T345, if running;
- 4> release ailc-BitConfig, if configured;
- 3> remove all the entries within VarConditionalReconfiguration, if any;
- 3> for each *measId*, that is part of the current UE configuration in *VarMeasConfig*, if the associated *reportConfig* has *condReconfigurationTriggerEUTRA/condReconfigurationTriggerNR* configured:
  - 4> remove the entry with the matching *reportConfigId* from the *reportConfigList* within the *VarMeasConfig*;
  - 4> if the associated *measObjectId* is only associated with *condReconfigurationTriggerEUTRA/condReconfigurationTriggerNR*:
    - 5> remove the entry with the matching *measObjectId* from the *measObjectList* within the *VarMeasConfig*;
  - 4> remove the entry with the matching measId from the measIdList within the VarMeasConfig;
- 3> start timer T301;
- 3> apply the *timeAlignmentTimerCommon* included in *SystemInformationBlockType2*;
- 3> if UE supports timing advance reporting and *ta-Report* is included in *SystemInformationBlockType2* (*SystemInformationBlockType2-NB* in NB-IoT):
  - 4> instruct the associated MAC entity to trigger Timing Advance reporting;
- 3> if the UE is a NB-IoT UE connected to EPC, the UE supports RRC connection re-establishment for the Control Plane CIoT EPS optimisation and AS security has not been activated; and
- 3> if *cp-reestablishment* is not included in *SystemInformationBlockType2-NB*:
  - 4> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'RRC connection failure';
- 3> else:
  - 4> initiate transmission of the *RRCConnectionReestablishmentRequest* message in accordance with 5.3.7.4;

NOTE: This procedure applies also if the UE returns to the source PCell.

Upon selecting an inter-RAT cell, the UE shall:

- 1> if the selected cell is a UTRA cell, and if the UE supports Radio Link Failure Report for Inter-RAT MRO, include *selectedUTRA-CellId* in the *VarRLF-Report* and set it to the physical cell identity and carrier frequency of the selected UTRA cell;
- 1> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'RRC connection failure';

# 5.3.7.4 Actions related to transmission of *RRCConnectionReestablishmentRequest* message

If the procedure was initiated due to radio link failure or handover failure, the UE shall:

1> set the reestablishmentCellId in the VarRLF-Report (VarRLF-Report-NB in NB-IoT) to the global cell identity of the selected cell;

The UE shall set the contents of RRCConnectionReestablishmentRequest message as follows:

- 1> except for a NB-IoT UE for which AS security has not been activated, set the *ue-Identity* as follows:
  - 2> set the *c-RNTI* to the C-RNTI used in the source PCell (handover and mobility from E-UTRA failure) or used in the PCell in which the trigger for the re-establishment occurred (other cases);
  - 2> set the *physCellId* to the physical cell identity of the source PCell (handover and mobility from E-UTRA failure) or of the PCell in which the trigger for the re-establishment occurred (other cases);
  - 2> set the *shortMAC-I* to the 16 least significant bits of the MAC-I calculated:
    - 3> over the ASN.1 encoded as per clause 8 (i.e., a multiple of 8 bits) VarShortMAC-Input (or VarShortMAC-Input-NB in NB-IoT);
    - 3> with the K<sub>RRCint</sub> key and integrity protection algorithm that was used in the source PCell (handover and mobility from E-UTRA failure) or of the PCell in which the trigger for the re-establishment occurred (other cases); and
    - 3> with all input bits for COUNT, BEARER and DIRECTION set to binary ones;
- 1> for a NB-IoT UE for which AS security has not been activated, set the *ue-Identity* as follows:
  - 2> request upper layers for calculated ul-NAS-MAC and ul-NAS-Count using the *cellIdentity* indicated in *SystemInformationBlockType1-NB* of the current cell;
  - 2> if the UE is connected to 5GC:
    - 3> set the truncated 5G-S-TMSI to the truncated 5G-S-TMSI provided by higher layers;
  - 2> else:
    - 3> set the *s-TMSI* to the S-TMSI provided by upper layers;
  - 2> set the *ul-NAS-MAC* to the ul-NAS-MAC value provided by upper layers;
  - 2> set the *ul-NAS-Count* to the ul-NAS-Count value provided by upper layers;
- 1> set the *reestablishmentCause* as follows:
  - 2> if the re-establishment procedure was initiated due to reconfiguration failure as specified in 5.3.5.5 (the UE is unable to comply with the reconfiguration):
    - 3> set the *reestablishmentCause* to the value *reconfigurationFailure*;
  - 2> else if the re-establishment procedure was initiated due to handover failure as specified in 5.3.5.6 (intra-LTE handover failure) or 5.4.3.5 (inter-RAT mobility from EUTRA failure):
    - 3> set the reestablishmentCause to the value handoverFailure;
  - 2> else:
    - 3> set the *reestablishmentCause* to the value *otherFailure*;
- 1> if the UE is a NB-IoT UE:
  - 2> if the UE supports DL channel quality reporting in MSG3 and *cqi-Reporting* is present in *SystemInformationBlockType2-NB*:
    - 3> set the *cqi-NPDCCH* to include the latest results of the downlink channel quality measurements of the carrier where the random access response is received as specified in TS 36.133 [16];
- NOTE: The downlink channel quality measurements use measurement period T1 or T2, as defined in TS 36.133 [16].
  - 2> if the UE is connected to EPC, set earlyContentionResolution to TRUE;

The UE shall submit the RRCConnectionReestablishmentRequest message to lower layers for transmission.

## 5.3.7.5 Reception of the *RRCConnectionReestablishment* by the UE

NOTE 1: Prior to this, lower layer signalling is used to allocate a C-RNTI. For further details see TS 36.321 [6];

- 1> stop timer T301;
- 1> consider the current cell to be the PCell:
- 1> except for a NB-IoT UE for which AS security has not been activated:
  - 2> if SRB1 was configured with NR PDCP and the UE is connected to EPC:
    - 3> for SRB1, release the NR PDCP entity and establish an E-UTRA PDCP entity with the current (MCG) security configuration;
- NOTE 1a: The UE applies the LTE ciphering and integrity protection algorithms that are equivalent to the previously configured NR security algorithms.
  - 2> else:
    - 3> for SRB1, re-establish the PDCP entity;
  - 2> re-establish RLC for SRB1;
  - 2> perform the radio resource configuration procedure in accordance with the received *radioResourceConfigDedicated* and as specified in 5.3.10.0;
  - 2> resume SRB1:
- NOTE 2: E-UTRAN should not transmit any message on SRB1 prior to receiving the RRCConnectionReestablishmentComplete message.
  - 2> if UE is connected to EPC, update the K<sub>eNB</sub> key based on the K<sub>ASME</sub> key to which the current K<sub>eNB</sub> is associated, using the *nextHopChainingCount* value indicated in the *RRCConnectionReestablishment* message, as specified in TS 33.401 [32];
  - 2> else if UE is connected to 5GC, update the K<sub>eNB</sub> key based on the K<sub>AMF</sub> key to which the current K<sub>eNB</sub> is associated, using the *nextHopChainingCount* value indicated in the *RRCConnectionReestablishment* message, as specified in TS 33.501 [86];
  - 2> store the *nextHopChainingCount* value;
  - 2> derive the K<sub>RRCint</sub> key associated with the previously configured integrity algorithm, as specified in TS 33.401 [32];
  - 2> derive the K<sub>RRCenc</sub> key and the K<sub>UPenc</sub> key associated with the previously configured ciphering algorithm, as specified in TS 33.401 [32];
  - 2> if connected as an RN; or
  - 2> if capable of user plane integrity protection:
    - 3> derive the K<sub>UPint</sub> key associated with the previously configured integrity algorithm, as specified in TS 33.401 [32];
  - 2> configure lower layers to activate integrity protection using the previously configured algorithm and the K<sub>RRCint</sub> key immediately, i.e., integrity protection shall be applied to all subsequent messages received and sent by the UE, including the message used to indicate the successful completion of the procedure;
  - 2> if connected as an RN:
    - 3> configure lower layers to apply integrity protection using the previously configured algorithm and the K<sub>UPint</sub> key, for subsequently resumed or subsequently established DRBs that are configured to apply integrity protection, if any;

- 2> configure lower layers to apply ciphering using the previously configured algorithm, the K<sub>RRCenc</sub> key and the K<sub>UPenc</sub> key immediately, i.e., ciphering shall be applied to all subsequent messages received and sent by the UE, including the message used to indicate the successful completion of the procedure;
- 2> if the UE is not a NB-IoT UE:
  - 3> set the content of RRCConnectionReestablishmentComplete message as follows:
    - 4> if the UE has radio link failure or handover failure information available in *VarRLF-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report*:
      - 5> include the *rlf-InfoAvailable*;
    - 4> if the UE has MBSFN logged measurements available for E-UTRA and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport* and if T330 is not running:
      - 5> include logMeasAvailableMBSFN;
    - 4> else if the UE has logged measurements available for E-UTRA and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:
      - 5> include the *logMeasAvailable*;
      - 5> if Bluetooth measurement results are included in the logged measurements the UE has available:
        - 6> include the *logMeasAvailableBT*;
      - 5> if WLAN measurement results are included in the logged measurements the UE has available:
        - 6> include the *logMeasAvailableWLAN*;
    - 4> if the UE has connection establishment failure information available in *VarConnEstFailReport* and if the RPLMN is equal to *plmn-Identity* stored in *VarConnEstFailReport*:
      - 5> include the *connEstFailInfoAvailable*;
    - 4> if the UE has flight path information available and if the UE is connected to EPC:
      - 5> include *flightPathInfoAvailable*;
  - 3> perform the measurement related actions as specified in 5.5.6.1;
  - 3> perform the measurement identity autonomous removal as specified in 5.5.2.2a;
- 2> else:
  - 3> if the UE supports serving cell idle mode measurements reporting and *servingCellMeasInfo* is present in *SystemInformationBlockType2-NB*:
    - 4> set the *measResultServCell* to include the measurements of the serving cell;
- NOTE 2a: The UE includes the latest results of the serving cell measurements as used for cell selection/ reselection evaluation, which are performed in accordance with the performance requirements as specified in TS 36.133 [16].
  - 3> if the UE is connected to EPC:
    - 4> if the UE has radio link failure information available in *VarRLF-Report-NB* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report-NB*:
      - 5> include the *rlf-InfoAvailable*;
    - 4> if the UE has ANR measurements information available in *VarANR-MeasurementReport-NB* and if the RPLMN is included in *plmn-IdentityList* stored in *VarANR-MeasurementReport-NB*:
      - 5> include the *anr-InfoAvailable*;
  - 2> if the UE is connected to NTN:

- 3> include gnss-validityDuration in accordance with the remaining time of the GNSS validity duration;
- 2> submit the RRCConnectionReestablishmentComplete message to lower layers for transmission;
- 2> if *SystemInformationBlockType15* is broadcast by the PCell:
  - 3> if the UE has transmitted an MBMSInterestIndication message during the last 1 second preceding detection of radio link failure:
    - 4> ensure having a valid version of SystemInformationBlockType15 for the PCell;
    - 4> determine the set of MBMS frequencies of interest in accordance with 5.8.5.3;
    - 4> determine the set of MBMS services of interest in accordance with 5.8.5.3a:
    - 4> initiate transmission of the MBMSInterestIndication message in accordance with 5.8.5.4;
- 2> if SystemInformationBlockType18 is broadcast by the PCell; and the UE transmitted a SidelinkUEInformation message indicating a change of sidelink communication related parameters relevant in PCell (i.e. change of commRxInterestedFreq or commTxResourceReq, commTxResourceReqUC if SystemInformationBlockType18 includes commTxResourceUC-ReqAllowed or commTxResourceInfoReqRelay if PCell broadcasts SystemInformationBlockType19 including discConfigRelay) during the last 1 second preceding detection of radio link failure; or
- 2> if SystemInformationBlockType19 is broadcast by the PCell; and the UE transmitted a SidelinkUEInformation message indicating a change of sidelink discovery related parameters relevant in PCell (i.e. change of discRxInterest or discTxResourceReq, discTxResourceReqPS if SystemInformationBlockType19 includes discConfigPS or discRxGapReq or discTxGapReq if the UE is configured with gapRequestsAllowedDedicated set to true or if the UE is not configured with gapRequestsAllowedDedicated and SystemInformationBlockType19 includes gapRequestsAllowedCommon) during the last 1 second preceding detection of radio link failure; or
- 2> if *SystemInformationBlockType21* including *sl-V2X-ConfigCommon* is broadcast by the PCell; and the UE transmitted a *SidelinkUEInformation* message indicating a change of V2X sidelink communication related parameters relevant in PCell (i.e. change of *v2x-CommRxInterestedFreqList* or *v2x-CommTxResourceReq*) during the last 1 second preceding detection of radio link failure:
  - 3> initiate transmission of the SidelinkUEInformation message in accordance with 5.10.2.3;
- 1> for a NB-IoT UE for which AS security has not been activated:
  - 2> validate dl-NAS-MAC, as specified in TS 33.401 [32];
  - 2> if *dl-NAS-MAC* check fails:
    - 3> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'RRC connection failure', upon which the procedure ends;
  - 2> except for a UE that only supports the Control Plane CIoT EPS/5GS optimisation:
    - 3> re-establish PDCP for SRB1;
    - 3> re-establish RLC for SRB1:
  - 2> re-establish RLC for SRB1bis;
  - 2> perform the radio resource configuration procedure in accordance with the received *radioResourceConfigDedicated* and as specified in 5.3.10.0;
  - 2> except for a UE that only supports the Control Plane CloT EPS/5GS optimisation:
    - 3> resume SRB1;
  - 2> resume SRB1bis;
- NOTE 3: E-UTRAN should not transmit any message on SRB1bis prior to receiving the *RRCConnectionReestablishmentComplete* message.

- 2> if the UE supports serving cell idle mode measurements reporting and *servingCellMeasInfo* is present in *SystemInformationBlockType2-NB*:
  - 3> set the *measResultServCell* to include the measurements of the serving cell;
- NOTE 4: The UE includes the latest results of the serving cell measurements as used for cell selection/ reselection evaluation, which are performed in accordance with the performance requirements as specified in TS 36.133 [16].
  - 2> if the UE is connected to NTN:
    - 3> include gnss-validityDuration in accordance with the remaining time of the GNSS validity duration;
  - 2> submit the RRCConnectionReestablishmentComplete message to lower layers for transmission;
- 1> for NB-IoT:
  - 2> if the UE supports connected mode measurements and *connMeasConfig* is present in *SystemInformationBlockType3-NB*:
    - 3> perform measurements as specified in 5.5.8.
- 1> the procedure ends;

## 5.3.7.6 T311 expiry

Upon T311 expiry, the UE shall:

1> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'RRC connection failure';

## 5.3.7.7 T301 expiry or selected cell no longer suitable

The UE shall:

- 1> if timer T301 expires; or
- 1> if the selected cell becomes no longer suitable according to the cell selection criteria as specified in TS 36.304 [4]:
  - 2> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'RRC connection failure';

## 5.3.7.8 Reception of RRCConnectionReestablishmentReject by the UE

Upon receiving the RRCConnectionReestablishmentReject message, the UE shall:

1> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'RRC connection failure';

#### 5.3.8 RRC connection release

#### 5.3.8.1 General



Figure 5.3.8.1-1: RRC connection release, successful

The purpose of this procedure is:

- to release the RRC connection, which includes the release of the established radio bearers as well as all radio resources; or
- to suspend the RRC connection for both suspended RRC connection or RRC\_INACTIVE, which includes the suspension of the established radio bearers;
- to configure, reconfigure or release radio resources for transmission using PUR;
- to complete the UP-EDT procedure and UP transmission using PUR, which includes the release or suspension of the established radio bearers.

#### 5.3.8.2 Initiation

E-UTRAN initiates the RRC connection release procedure to a UE in RRC\_CONNECTED or in RRC\_INACTIVE or to complete UP-EDT or UP transmission using PUR.

## 5.3.8.3 Reception of the RRCConnectionRelease by the UE

- 1> except for NB-IoT, BL UEs or UEs in CE, delay the following actions defined in this clause 60 ms from the moment the *RRCConnectionRelease* message was received or optionally when lower layers indicate that the receipt of the *RRCConnectionRelease* message has been successfully acknowledged, whichever is earlier;
- 1> for BL UEs or UEs in CE, delay the following actions defined in this clause 1.25 seconds from the moment the *RRCConnectionRelease* message was received or optionally when lower layers indicate that the receipt of the *RRCConnectionRelease* message has been successfully acknowledged, whichever is earlier;
- 1> for NB-IoT, delay the following actions defined in this clause 10 seconds from the moment the *RRCConnectionRelease* message was received or optionally when lower layers indicate that the receipt of the *RRCConnectionRelease* message has been successfully acknowledged, whichever is earlier.
- NOTE 0: For BL UEs, UEs in CE and NB-IoT, when STATUS reporting, as defined in TS 36.322 [7], has not been triggered and the UE has sent positive HARQ feedback (ACK), as defined in TS 36.321 [6], the lower layers can be considered to have indicated that the receipt of the *RRCConnectionRelease* message has been successfully acknowledged.
- 1> stop T380, if running;
- 1> if timer T316 is running;
  - 2> stop timer T316;
  - 2> clear the information included in VarRLF-Report, if any;
- 1> for NB-IoT:
  - 2> if the UE has reported anr-InfoAvailable, clear VarANR-MeasConfig-NB and VarANR-MeasReport-NB;
  - 2> if the UE has reported rlf-InfoAvailable, clear VarRLF-Report-NB;
- 1> if the *RRCConnectionRelease* message is received in response to an *RRCConnectionResumeRequest* for EDT or for UP transmission using PUR:
  - 2> indicate to upper layers that the suspended RRC connection has been resumed;
  - 2> discard the stored UE AS context and resumeIdentity;
  - 2> stop timer T300;
  - 2> stop timer T302, if running;
  - 2> stop timer T303, if running;

- 2> stop timer T305, if running;
- 2> stop timer T306, if running;
- 2> stop timer T308, if running;
- 2> perform the actions as specified in 5.3.3.7;
- 2> stop timer T320, if running;
- 2> stop timer T322, if running;
- 2> stop timer T323, if running;
- 1> except for UEs using the Control Plane CIoT 5GS optimisation, if AS security is not activated and if UE is connected to 5GC:
  - 2> ignore any field included in RRCConnectionRelease message except waitTime;
  - 2> perform the actions upon leaving RRC\_CONNECTED or RRC\_INACTIVE as specified in 5.3.12 with the release cause 'other' upon which the procedure ends;
- 1> if the RRCConnectionRelease message includes redirectedCarrierInfo indicating redirection to geran; or
- 1> if the RRCConnectionRelease message includes idleModeMobilityControlInfo including freqPriorityListGERAN:
  - 2> if AS security has not been activated; and
  - 2> if upper layers indicate that redirect to GERAN without AS security is not allowed:
    - 3> ignore the content of the RRCConnectionRelease;
    - 3> perform the actions upon leaving RRC\_CONNECTED or RRC\_INACTIVE as specified in 5.3.12, with release cause 'other', upon which the procedure ends;
- 1> if AS security has not been activated:
  - 2> ignore the content of *redirectedCarrierInfo*, if included and indicating redirection to *nr*;
  - 2> ignore the content of idleModeMobilityControlInfo, if included and including freqPriorityListNR;
  - 2> ignore the altFreqPriorities and T323, if included;
  - 2> if the UE ignores the content of *redirectedCarrierInfo* or of *idleModeMobilityControlInfo*, or of *altFreqPriorities* and T323:
    - 3> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'other', upon which the procedure ends;
- 1> if the *RRCConnectionRelease* message includes *redirectedCarrierInfo* indicating redirection to *eutra* and if UE is connected to 5GC:
  - 2> if *cn-Type* is included:
    - 3> after the cell selection, indicate the available CN Type(s) and the received cn-Type to upper layers;
- NOTE 1: Handling the case if the E-UTRA cell selected after the redirection does not support the core network type specified by the *cn-Type*, is up to UE implementation.
- 1> if the RRCConnectionRelease message includes the idleModeMobilityControlInfo:
  - 2> store the cell reselection priority information provided by the *idleModeMobilityControlInfo*;
  - 2> if the t320 is included:
    - 3> start timer T320, with the timer value set according to the value of t320;
- 1> else if the *RRCConnectionRelease* message includes the *altFreqPriorities*:

- 2> store the received *altFreqPriorities*;
- 2> for E-UTRA frequency, apply the alternative cell reselection priority information broadcast in the system information if available, otherwise apply the cell reselection priority broadcast in the system information;
- 2> for inter-RAT frequency, apply the cell reselection priority broadcast in the system information;
- 2 > if the t323 is included:
  - 3> start timer T323, with the timer value set according to the value of t323;
- 1> else:
  - 2> apply the cell reselection priority information broadcast in the system information;
- 1> if the *RRCConnectionRelease* message includes the *releaseMeasIdleConfig*:
  - 2> if timer T331 is running:
    - 3> stop timer T331;
    - 3> perform the actions as specified in 5.6.20.3;
- 1> if the *RRCConnectionRelease* message includes the *measIdleConfig*:
  - 2> clear VarMeasIdleConfig and VarMeasIdleReport;
  - 2> store the received *measIdleDuration* in *VarMeasIdleConfig*;
  - 2> start or restart T331 with the value of *measIdleDuration*;
  - 2> if the *measIdleConfig* contains *measIdleCarrierListEUTRA*:
    - 3> store the received *measIdleCarrierListEUTRA* in *VarMeasIdleConfig*;
  - 2> if the *measIdleConfig* contains *measIdleCarrierListNR*:
    - 3> store the received *measIdleCarrierListNR* in *VarMeasIdleConfig*;
  - 2> if the *measIdleConfig* contains *validityAreaList*:
    - 3> store the received validityAreaList in VarMeasIdleConfig;
- NOTE 2: If the *measIdleConfig* contains neither *measIdleCarrierListEUTRA* nor *measIdleCarrierListNR*, UE may receive *measIdleCarrierListEUTRA* and/or *measIdleCarrierListNR* as specified in 5.6.20.1a.
- 1> for NB-IoT, if the RRCConnectionRelease message includes the anr-MeasConfig:
  - 2> clear VarANR-MeasConfig-NB and VarANR-MeasReport-NB;
  - 2> store the received anr-QualityThreshold in VarANR-MeasConfig-NB;
  - 2> if the anr-MeasConfig contains anr-CarrierList:
    - 3> store the received anr-CarrierList in VarANR-MeasConfig-NB;
  - 2> set *plmn-IdentityList* in *VarANR-MeasReport-NB* to include the list of EPLMNs stored by the UE (i.e. includes the RPLMN);
  - 2> set servCellIdentity in VarANR-MeasReport-NB to the global cell identity of the Pcell;
  - 2> start performing ANR measurements as specified in 5.6.24;
- 1> if the RRCConnectionRelease message includes the pur-Config:
  - 2> if *pur-Config* is set to *setup*:
    - 3> store or replace the PUR configuration provided by the *pur-Config*;

- 3> if *pur-TimeAlignmentTimer* is included in the received *pur-Config*:
  - 4> configure lower layers in accordance with *pur-TimeAlignmentTimer*;
- 3> else:
  - 4> if *pur-TimeAlignmentTimer* is configured, indicate to lower layers that *pur-TimeAlignmentTimer* is released:
- 3> if pur-RSRP-ChangeThreshold (pur-NRSRP-ChangeThreshold in NB-IoT) is included in the received pur-Config and set to setup; or
- 3> if *pur-RSRP-ChangeThreshold* (*pur-NRSRP-ChangeThreshold* in NB-IoT) is configured and *pur-TimeAlignmentTimer* is included in the received *pur-Config*:
  - 4> store or replace the serving cell reference (N)RSRP value with the current serving cell (N)RSRP value (see 5.3.3.19);
- 3> start maintenance of PUR occasions as specified in 5.3.3.20;
- 2> else:
  - 3> if *pur-TimeAlignmentTimer* is configured, indicate to lower layers that *pur-TimeAlignmentTimer* is released:
  - 3> release *pur-Config*, if configured;
  - 3> discard previously stored *pur-Config*;
- 1> for NB-IoT, if the RRCConnectionRelease message includes the redirectedCarrierInfo:
  - 2> if the redirectedCarrierOffsetDedicated is included in the redirectedCarrierInfo:
    - 3> store the dedicated offset for the frequency in redirectedCarrierInfo;
    - 3> start timer T322, with the timer value set according to the value of T322 in redirectedCarrierInfo;
- 1> if the release Cause received in the RRCConnectionRelease message indicates loadBalancingTAURequired:
  - 2> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'load balancing TAU required';
- 1> else if the release Cause received in the RRCConnectionRelease message indicates cs-FallbackHighPriority:
  - 2> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'CS Fallback High Priority';
- 1> else:
  - 2> if the extendedWaitTime is present; and
  - 2> if the UE supports delay tolerant access or the UE is a NB-IoT UE:
    - 3> forward the extendedWaitTime to upper layers;
  - 2> if the extendedWaitTime-CPdata is present and the NB-IoT UE only supports the Control Plane CIoT EPS optimisation:
    - 3> forward the extendedWaitTime-CPdata to upper layers;
  - 2> if the release Cause received in the RRCConnectionRelease message indicates rrc-Suspend:
    - 3> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'RRC suspension';
  - 2> else if *rrc-InactiveConfig* is included:
    - 3> perform the actions upon entering RRC\_INACTIVE as specified in 5.3.8.7;

2> else:

3> perform the actions upon leaving RRC\_CONNECTED or RRC\_INACTIVE as specified in 5.3.12, with release cause 'other':

## 5.3.8.4 T320 expiry

The UE shall:

- 1> if T320 expires:
  - 2> if stored, discard the cell reselection priority information provided by the *idleModeMobilityControlInfo* or inherited from another RAT;
  - 2> apply the cell reselection priority information broadcast in the system information;

## 5.3.8.5 T322 expiry or stop

The UE shall:

- 1> if T322 expires or is stopped:
  - 2> discard the redirectedCarrierOffsetDedicated provided in RRCConnectionRelease message;

## 5.3.8.6 UE actions upon receiving the expiry of *DataInactivityTimer*

Upon receiving the expiry of *DataInactivityTimer* from lower layers while in RRC\_CONNECTED, the UE shall:

1> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'RRC connection failure';

## 5.3.8.7 UE actions upon entering RRC\_INACTIVE

Upon entering RRC\_INACTIVE, the UE shall:

- 1> reset MAC and release the default MAC configuration if any;
- 1> stop all timers that are running except T302, T309, T320, T323, T325 and T330;
- 1> re-establish RLC entities for all SRBs and DRBs;
- 1> if the *RRCConnectionRelease* message is including the *waitTime*:
  - 2> start timer T302, with the timer value set according to the *waitTime*;
  - 2> inform the upper layer that access barring is applicable for all access categories except categories '0' and '2';
- 1> if T309 is running:
  - 2> stop timer T309 for all access categories;
  - 2> perform the actions as specified in 5.3.16.4.
- 1> apply the received *rrc-InactiveConfig*;
- 1> derive the DRX cycle as specified in TS 36.304 [4], clause 7.1;
- 1> if the RRCConnectionRelease message was received in response to an RRCConnectionResumeRequest:
  - 2> in the stored UE Inactive AS context:
    - 3> replace the  $K_{\text{eNB}}$  and  $K_{\text{RRCint}}$  keys with the current  $K_{\text{eNB}}$  and  $K_{\text{RRCint}}$  keys;
    - 3> replace the C-RNTI with the temporary C-RNTI which the UE has used to receive the *RRCConnectionRelease* message;

- 3> replace the *cellIdentity* with the *cellIdentity* of the PCell at the time the UE has received the *RRCConnectionRelease* message;
- 3> replace the previously stored physical cell identity with the physical cell identity of the PCell at the time the UE has received the *RRCConnectionRelease* message;

#### 1> else:

- 2> store in the UE Inactive AS Context, the current K<sub>eNB</sub> and K<sub>RRCint</sub> keys, the ROHC state, the stored QoS flow to DRB mapping rules, the C-RNTI used in the source PCell, the *cellIdentity* and the physical cell identity of the source PCell, the *spCellConfigCommon* within *ReconfigurationWithSync* of the PSCell (if configured), and all other parameters configured;
- 1> if the *periodic-RNAU-timer* is included:
  - 2> start timer T380, with the timer value set to the *periodic-RNAU-timer*;
- 1> suspend all SRB(s) and DRB(s), except SRB0;
- 1> indicate PDCP suspend to lower layers of all DRBs;
- 1> indicate the suspension of the RRC connection to upper layers;
- 1> enter RRC\_INACTIVE and perform procedures as specified in TS 36.304 [4], clause 5.2.7;

Upon selecting to an inter-RAT cell or switching to another CN type, the UE shall:

1> perform the actions upon leaving RRC\_INACTIVE as specified in 5.3.12, with release cause 'other';

## 5.3.8.8 T323 expiry

The UE shall:

- 1> if T323 expires:
  - 2> if stored, discard the *altFreqPriorities* provided by the *RRCConnectionRelease*;
  - 2> apply the cell reselection priority information broadcast in the system information via *cellReselectionPriority* and *cellReselectionSubPriority*;

## 5.3.9 RRC connection release requested by upper layers

#### 5.3.9.1 General

The purpose of this procedure is to release the RRC connection. Access to the current PCell may be barred as a result of this procedure.

#### 5.3.9.2 Initiation

The UE initiates the procedure when upper layers request the release of the RRC connection as specified in TS 24. 301 [35] for E-UTRA/EPC and TS 24.501 [95] for E-UTRA/5GC. The UE shall not initiate the procedure for power saving purposes.

- 1> if the upper layers indicate barring of the PCell:
  - 2> treat the PCell used prior to entering RRC\_IDLE as barred according to TS 36.304 [4];
- 1> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'other';

## 5.3.10 Radio resource configuration

#### 5.3.10.0 General

- 1> if the received radioResourceConfigDedicated includes the srb-ToAddModList:
  - 2> perform the SRB addition or reconfiguration as specified in 5.3.10.1;
- 1> if the received *radioResourceConfigDedicated* includes the *drb-ToReleaseList*:
  - 2> perform DRB release as specified in 5.3.10.2;
- 1> if the received radioResourceConfigDedicated includes the drb-ToAddModList:
  - 2> perform DRB addition or reconfiguration as specified in 5.3.10.3;
- 1> if the received radioResourceConfigDedicated includes the mac-MainConfig:
  - 2> perform MAC main reconfiguration as specified in 5.3.10.4;
- 1> if the received *radioResourceConfigDedicated* includes *sps-Config*:
  - 2> perform SPS reconfiguration according to 5.3.10.5;
- 1> if the received radioResourceConfigDedicated includes the physicalConfigDedicated:
  - 2> reconfigure the physical channel configuration as specified in 5.3.10.6.
- 1> if the received *radioResourceConfigDedicated* includes the *rlf-TimersAndConstants* or the *rlf-TimersAndConstantsMCG-Failure*:
  - 2> reconfigure the values of timers and constants as specified in 5.3.10.7;
- 1> if the received radioResourceConfigDedicated includes the measSubframePatternPCell:
  - 2> reconfigure the time domain measurement resource restriction for the serving cell as specified in 5.3.10.8;
- 1> if the received *radioResourceConfigDedicated* includes the *naics-Info*:
  - 2> perform NAICS neighbour cell information reconfiguration for the PCell as specified in 5.3.10.13;
- 1> if the received *RadioResourceConfigDedicatedPSCell* includes the *naics-Info*:
  - 2> perform NAICS neighbour cell information reconfiguration for the PSCell as specified in 5.3.10.13;
- 1> if the received *RadioResourceConfigDedicatedSCell-r10* includes the *naics-Info*:
  - 2> perform NAICS neighbour cell information reconfiguration for the SCell as specified in 5.3.10.13;
- 1> if the received radioResourceConfigDedicated includes the srb-ToReleaseList:
  - 2> perform SRB release as specified in 5.3.10.17;
- 1> if the received radioResourceConfigDedicated includes the schedulingRequestConfig:
  - 2> perform scheduling request reconfiguration for the SCell as specified in 5.3.10.18;
- 1> if the UE has initiated transmission using PUR in accordance with conditions in 5.3.3.1c:
  - 2> if the received *radioResourceConfigDedicated* includes *newUE-Identity*:
    - 3> apply the value of the *newUE-Identity* as the C-RNTI;
  - 2> else:
    - 3> apply the value of the *pur-RNTI* as the C-RNTI.

#### 5.3.10.1 SRB addition/ modification

- 1> if the UE is a NB-IoT UE and SRB1 is not established; or
- 1> for each *srb-Identity* value included in the *srb-ToAddModList* that is not part of the current UE configuration (SRB establishment):
  - 2> if the UE is not a NB-IoT UE that only supports the Control Plane CIoT EPS optimisation or the Control Plane CIoT 5GS optimisation:
    - 3> apply the specified configuration defined in 9.1.2 for the corresponding SRB;
    - 3> establish a primary (MCG) RLC entity in accordance with the received *rlc-Config*;
    - 3> establish a primary (MCG) DCCH logical channel in accordance with the received *logicalChannelConfig* and with the logical channel identity set in accordance with 9.1.2;
    - 3> if the same srb-Identity is included in NR srb-ToAddModList:
      - 4> after processing *nr-RadioBearerConfig1* and *nr-RadioBearerConfig2* if present in the *RRCConnectionReconfiguration* message which triggered the execution of the SRB addition/modification procedure, associate MCG RLC bearer with the NR PDCP entity associated with the same value of *srb-Identity* in the current UE configuration as specified in TS 38.331 [82];
    - 3> else:
      - 4> establish a PDCP entity and configure it with the current (MCG) security configuration, if applicable;
    - 3> if *rlc-BearerConfigSecondary* is received with value *setup*:
      - 4> establish a secondary MCG RLC entity or entities and an associated DCCH logical channel in accordance with the received rlc-BearerConfigSecondary and associate these with the E-UTRA PDCP entity with the same value of srb-Identity within the current UE configuration;
      - 4> configure the E-UTRA PDCP entity to activate duplication with t-Reordering set to infinity;
  - 2> if the UE is a NB-IoT UE:
    - 3> apply the specified configuration defined in 9.1.2 for SRB1bis;
    - 3> establish an (MCG) RLC entity in accordance with the received *rlc-Config*;
    - 3> establish a (MCG) DCCH logical channel in accordance with the received *logicalChannelConfig* and with the logical channel identity set in accordance with 9.1.2.1a;
- 1> if the UE is a NB-IoT UE and SRB1 is established; or
- 1> for each *srb-Identity* value included in the *srb-ToAddModList* that is part of the current UE configuration (SRB reconfiguration):
  - 2> if pdcp-verChange is included (i.e, NR PDCP to E-UTRA PDCP change):
    - 3> establish an (E-UTRA) PDCP entity and configure it with the current (MCG) security configuration;
- NOTE 1: The UE applies the LTE ciphering and integrity protection algorithms that are equivalent to the previously configured NR security algorithms.
  - 3> associate the primary RLC bearer of this SRB with the established PDCP entity;
  - 3> release the NR PDCP entity of this SRB;
  - 2> reconfigure the primary RLC entity in accordance with the received *rlc-Config*;
  - 2> reconfigure the primary DCCH logical channel in accordance with the received logicalChannelConfig;
  - 2> if *rlc-BearerConfigSecondary* is included with value *release*:

- 3> release the secondary MCG RLC entity or entities as well as the associated DCCH logical channel;
- 2> if *rlc-BearerConfigSecondary* is received with value *setup*:
  - 3> if the current SRB configuration does not include a secondary RLC bearer:
    - 4> establish a secondary MCG RLC entity or entities and an associated DCCH logical channel in accordance with the received rlc-BearerConfigSecondary and associate these with the E-UTRA PDCP entity with the same value of srb-Identity within the current UE configuration;
    - 4> configure the E-UTRA PDCP entity to activate duplication with t-Reordering set to infinity;
  - 3> else:
    - 4> reconfigure the secondary MCG RLC entity or entities and the associated DCCH logical channel in accordance with the received *rlc-BearerConfigSecondary*;
- NOTE 2: In case of SRB reconfiguration at a DAPS HO, the reconfiguration is applied to the entities/resources for the target MCG.

## 5.3.10.1a SCG RLC bearer addition or reconfiguration for SRBs

The UE shall:

- 1> for each *srb-Identity* value included in the *srb-ToAddModListSCG* that is not part of the current UE E-UTRA SCG configuration (i.e. SCG RLC bearer establishment):
  - 2> apply the specified configuration defined in 9.1.2 for the corresponding SRB;
  - 2> establish an (SCG) RLC entity in accordance with the received rlc-Config;
  - 2> establish a (SCG) DCCH logical channel in accordance with the received *logicalChannelConfig* and with the logical channel identity set in accordance with 9.1.2;
  - 2> if the UE is configured with DC:
    - 3> associate the established SCG RLC bearer and DCCH logical channel with the E-UTRA PDCP entity with the same value of *srb-Identity* within the current UE configuration;
    - 3> configure the E-UTRA PDCP entity to activate duplication with t-Reordering set to infinity;
  - 2> else (i.e. the UE is configured with NE-DC):
    - 3> associate the SCG RLC bearer and DCCH logical channel with the NR PDCP entity, i.e. as configured by NR see TS 38.331 [82], identified with the same *srb-Identity* within the current UE configuration;
- 1> for each *srb-Identity* value included in the *srb-ToAddModListSCG* that is part of the current UE SCG configuration (SCG RLC bearer reconfiguration):
  - 2> re-establish the SCG RLC entity, if reestablishRLC is included;
  - 2> reconfigure the RLC entity in accordance with the received *rlc-Config*;
  - 2> reconfigure the DCCH logical channel in accordance with the received logicalChannelConfig;

#### 5.3.10.2 DRB release

- 1> for each *drb-Identity* value included in the *drb-ToReleaseList* or *drb-ToReleaseListSCG* that is part of the current UE configuration (DRB or RLC bearer release); or
- 1> for each drb-identity value that is to be released as the result of full configuration option according to 5.3.5.8:
  - 2> if release of this DRB is result of full configuration option according to 5.3.5.8:

- 3> release the E-UTRA or NR PDCP entity;
- 2> else if this DRB is configured with *pdcp-config*:
  - 3> release the E-UTRA PDCP entity;
- 2> else (release the RLC bearer configuration of MCG or of SCG):
  - 3> re-establish the RLC entity as specified in 36.322 for this DRB;
- 2> release the RLC entity or entities;
- 2> release the DTCH logical channel;
- 2> if the UE is connected to EPC:
  - 3> if the DRB was configured with *pdcp-config* and new DRB is not added with same *eps-BearerIdentity* in *drb-ToAddModList* nor *nr-radioBearerConfig1* nor in *nr-radioBearerConfig2*:
    - 4> if the procedure was triggered due to handover:
      - 5> indicate the release of the DRB and the *eps-BearerIdentity* of the released DRB to upper layers after successful handover;
    - 4> else:
      - 5> indicate the release of the DRB and the *eps-BearerIdentity* of the released DRB to upper layers immediately.
- 2> if the UE is a NB-IoT UE connected to 5GC:
  - 3> if the DRB was configured with *pdu-session* and new DRB is not added with same *pdu-Session* in *drb-ToAddModList*:
    - 4> indicate the release of the DRB and the *pdu-Session* of the released DRB to upper layers immediately;
- NOTE 1: The UE does not consider the message as erroneous if the *drb-ToReleaseList* includes any *drb-Identity* value that is not part of the current UE configuration.
- NOTE 2: The association of *eps-BearerIdentity* to an NR PDCP configuration as defined in TS 38.331 [82] can be included in the same message that releases an DRB associated to the same *eps-BearerIdentity*.

## 5.3.10.3 DRB addition/ modification

- 1> for each *drb-Identity* value included in the *drb-ToAddModList* that is not part of the current UE configuration (DRB establishment including the case when full configuration option is used):
  - 2> if the concerned entry of drb-ToAddModList includes the drb-TypeLWA set to TRUE (i.e. add LWA DRB):
    - 3> perform the LWA specific DRB addition or reconfiguration as specified in 5.3.10.3a2;
  - 2> if the concerned entry of drb-ToAddModList includes the drb-TypeLWIP (i.e. add LWIP DRB):
    - 3> perform LWIP specific DRB addition or reconfiguration as specified in 5.3.10.3a3;
  - 2> else if *drb-ToAddModListSCG* is not received or does not include the *drb-Identity* value (i.e. add MCG DRB or MCG RLC bearer):
    - 3> if *pdcp-Config* is received, establish a PDCP entity and configure it with the current MCG security configuration and in accordance with the received *pdcp-Config*;
    - 3> if *rlc-Config* is received, establish a (primary) MCG RLC entity or entities in accordance with the received rlc-Config;

- 3> if logicalChannelIdentity and logicalChannelConfig are received, establish a (primary) MCG DTCH logical channel in accordance with the received logicalChannelIdentity and the received logicalChannelConfig;
- 3> if *rlc-BearerConfigSecondary* is received with value *setup*:
  - 4> establish a secondary MCG RLC entity or entities and an associated DTCH logical channel in accordance with the received *rlc-BearerConfigSecondary* and associate these with the E-UTRA PDCP entity with the same value of *drb-Identity* within the current UE configuration;
- 3> if *pdcp-Config* is not received, after processing *nr-RadioBearerConfig1* and *nr-RadioBearerConfig2* if present in the *RRCConnectionReconfiguration* message which triggered the execution of the DRB addition/modification procedure, associate MCG RLC bearer with the NR PDCP entity associated with the same value of *drb-Identity* in the current UE configuration as specified in TS 38.331 [82];
- 2> if the UE is a NB-IoT UE connected to 5GC:
  - 3> if *cipheringDisabled* is included in *pdcp-Config*:
    - 4> instruct the PDCP entity not to apply ciphering;
  - 3> if a DRB was configured with the same *pdu-Session* (fullConfig):
    - 4> associate the established DRB with corresponding included *pdu-Session*;
  - 3> else if the entry of *drb-ToAddModList* includes *pdcp-config* (establishment of bearer):
    - 4> indicate the establishment of the DRB(s) and the *pdu-Session* of the established DRB(s) to upper layers;

#### 2> else:

- 3> if a DRB was configured with the same *eps-BearerIdentity* (fullConfig or change to E-UTRA PDCP):
  - 4> associate the established DRB with corresponding included *eps-BearerIdentity*;
- 3> else if the entry of *drb-ToAddModList* includes *pdcp-config* (establishment of bearer with E-UTRA PDCP):
  - 4> indicate the establishment of the DRB(s) and the *eps-BearerIdentity* of the established DRB(s) to upper layers;
- 1> for each *drb-Identity* value included in the *drb-ToAddModList* that is part of the current UE configuration (DRB reconfiguration):
  - 2> if the DRB indicated by drb-Identity is an LWA DRB (i.e. LWA to LTE only or reconfigure LWA DRB):
    - 3> perform the LWA specific DRB reconfiguration as specified in 5.3.10.3a2;
  - 2> else if the concerned entry of *drb-ToAddModList* includes the *drb-TypeLWA* set to *TRUE* (i.e. LTE only to LWA DRB):
    - 3> perform the LWA specific DRB reconfiguration as specified in 5.3.10.3a2;
  - 2> if the concerned entry of *drb-ToAddModList* includes the *drb-TypeLWIP* (i.e. add or reconfigure LWIP DRB):
    - 3> perform LWIP specific DRB addition or reconfiguration as specified in 5.3.10.3a3;
  - 2> if drb-ToAddModListSCG is not received or does not include the drb-Identity value:
    - 3> if the DRB indicated by *drb-Identity* is an MCG DRB or configured with MCG RLC bearer (reconfigure MCG RLC bearer or reconfigure MCG DRB):
      - 4> if the *pdcp-Config* is included:
        - 5> reconfigure the PDCP entity in accordance with the received *pdcp-Config*;

- 4> if the *rlc-Config* is included:
  - 5> if *reestablishRLC* is received:
    - 6> re-establish the primary RLC entity of this DRB;
    - 6> if the *logicalChannelIdentity* is included and the DRB indicated by *drb-Identity* is configured with MCG RLC bearer (reconfigure logical channel identity of MCG RLC bearer):
      - 7> reconfigure the primary DTCH logical channel identity in accordance with the received *logicalChannelIdentity*;
  - 5> reconfigure the primary RLC entity or entities in accordance with the received *rlc-Config*;
- 4> if the *logicalChannelConfig* is included:
  - 5> reconfigure the primary DTCH logical channel in accordance with the received *logicalChannelConfig*;
- 4> if *rlc-BearerConfigSecondary* is included with value *release*:
  - 5> release the secondary MCG RLC entity or entities as well as the associated DTCH logical channel;
- 4> if rlc-BearerConfigSecondary is included with value setup;
  - 5> if the current DRB configuration does not include a secondary RLC bearer:
    - 6> establish a secondary MCG RLC entity or entities and an associated DTCH logical channel in accordance with the received *rlc-BearerConfigSecondary* and associate these with the E-UTRA PDCP entity with the same value of *drb-Identity* within the current UE configuration;
  - 5> else:
    - 6> reconfigure the secondary MCG RLC entity or entities and the associated DTCH logical channel in accordance with the received *rlc-BearerConfigSecondary*;
- NOTE 1: Removal and addition of DRB with *pdcp-Config* with the same *drb-Identity* in a single *radioResourceConfigDedicated* is not supported. In case *drb-Identity* is removed and added due to handover or re-establishment with the full configuration option, the eNB can use the same value of *drb-Identity*.
- NOTE 2: In case of DRB reconfiguration at a DAPS HO, the reconfiguration is applied to the entities/resources for the target MCG

## 5.3.10.3a1 DC specific DRB addition or reconfiguration

For the *drb-Identity* value for which this procedure is initiated, the UE shall:

- 1> if *drb-ToAddModListSCG* is received and includes the *drb-Identity* value; and *drb-Identity* value is not part of the current UE configuration (i.e. DC specific DRB establishment):
  - 2> if drb-ToAddModList is received and includes the drb-Identity value (i.e. add split DRB):
    - 3> establish a PDCP entity and configure it with the current MCG security configuration and in accordance with the *pdcp-Config* included in *drb-ToAddModList*;
    - 3> establish an MCG RLC entity and an MCG DTCH logical channel in accordance with the *rlc-Config, logicalChannelIdentity* and *logicalChannelConfig* included in *drb-ToAddModList*;
    - 3> establish an SCG RLC entity and an SCG DTCH logical channel in accordance with the *rlc-ConfigSCG*, *logicalChannelIdentitySCG* and *logicalChannelConfigSCG* included in *drb-ToAddModListSCG*;
  - 2> else (i.e. add SCG DRB):
    - 3> establish a PDCP entity and configure it with the current SCG security configuration and in accordance with the *pdcp-Config* included in *drb-ToAddModListSCG*;

- 3> establish a primary SCG RLC entity or entities and a primary SCG DTCH logical channel in accordance with the *rlc-ConfigSCG*, *logicalChannelIdentitySCG* and *logicalChannelConfigSCG* included in *drb-ToAddModListSCG*;
- 3> if *rlc-BearerConfigSecondary* is included with value *setup*;
  - 4> establish a secondary SCG RLC entity or entities and an associated DTCH logical channel in accordance with the received *rlc-BearerConfigSecondary* and associate these with the E-UTRA PDCP entity with the same value of *srb-Identity* within the current UE configuration;
- 2> indicate the establishment of the DRB(s) and the *eps-BearerIdentity* of the established DRB(s) to upper layers;
- 1> else (i.e. DC specific DRB modification; drb-ToAddModList and/ or drb-ToAddModListSCG received):
  - 2> if the DRB indicated by *drb-Identity* is a split DRB:
    - 3> if *drb-ToAddModList* is received and includes the *drb-Identity* value, while for this entry *drb-TypeChange* is included and set to *toMCG* (i.e. split to MCG):
      - 4> release the SCG RLC entity or entities and the SCG DTCH logical channel(s);
      - 4> reconfigure the PDCP entity in accordance with the pdcp-Config, if included in drb-ToAddModList;
      - 4> reconfigure the primary MCG RLC entity and/ or the primary MCG DTCH logical channel in accordance with the *rlc-Config* and *logicalChannelConfig*, if included in *drb-ToAddModList*;
      - 4> if rlc-BearerConfigSecondary is included with value setup;
        - 5> establish a secondary MCG RLC entity or entities and an associated DTCH logical channel in accordance with the received *rlc-BearerConfigSecondary* and associate these with the E-UTRA PDCP entity with the same value of *srb-Identity* within the current UE configuration;
    - 3> else (i.e. reconfigure split):
      - 4> reconfigure the PDCP entity in accordance with the pdcp-Config, if included in drb-ToAddModList;
      - 4> reconfigure the MCG RLC entity and/ or the MCG DTCH logical channel in accordance with the *rlc-Config* and *logicalChannelConfig*, if included in *drb-ToAddModList*;
      - 4> reconfigure the SCG RLC entity and/ or the SCG DTCH logical channel in accordance with the *rlc-ConfigSCG* and *logicalChannelConfigSCG*, if included in *drb-ToAddModListSCG*;
  - 2> if the DRB indicated by *drb-Identity* is an SCG DRB:
    - 3> if *drb-ToAddModList* is received and includes the *drb-Identity* value, while for this entry *drb-TypeChange* is included and set to *toMCG* (i.e. SCG to MCG):
      - 4> reconfigure the PDCP entity with the current MCG security configuration and in accordance with the *pdcp-Config*, if included in *drb-ToAddModList*;
      - 4> reconfigure the SCG RLC entity or entities (both primary and secondary, if configured) and the SCG DTCH logical channel (both primary and secondary, if configured) to be an MCG RLC entity or entities and an MCG DTCH logical channel;
      - 4> reconfigure the primary MCG RLC entity or entities and/ or the primary MCG DTCH logical channel in accordance with the *rlc-Config*, *logicalChannelIdentity* and *logicalChannelConfig*, if included in *drb-ToAddModList*;
      - 4> if *rlc-BearerConfigSecondary* is included with value *release*:
        - 5> release the secondary MCG RLC entity or entities as well as the associated DTCH logical channel;
      - 4> if *rlc-BearerConfigSecondary* is included with value *setup*;
        - 5> if the current DRB configuration does not include a secondary RLC bearer:

6> establish a secondary MCG RLC entity or entities and an associated DTCH logical channel in accordance with the received *rlc-BearerConfigSecondary* and associate these with the E-UTRA PDCP entity with the same value of *srb-Identity* within the current UE configuration;

#### 5> else:

- 6> reconfigure the secondary MCG RLC entity or entities and the associated DTCH logical channel in accordance with the received *rlc-BearerConfigSecondary*;
- 3> else (i.e. drb-ToAddModListSCG is received and includes the drb-Identity value i.e. reconfigure SCG):
  - 4> reconfigure the PDCP entity in accordance with the *pdcp-Config*, if included in *drb-ToAddModListSCG*;
  - 4> reconfigure the primary SCG RLC entity or entities and/ or the primary SCG DTCH logical channel in accordance with the *rlc-ConfigSCG* and *logicalChannelConfigSCG*, if included in *drb-ToAddModListSCG*;
  - 4> if *rlc-BearerConfigSecondary* is included with value *release*:
    - 5> release the secondary SCG RLC entity or entities as well as the associated DTCH logical channel;
  - 4> if *rlc-BearerConfigSecondary* is included with value *setup*;
    - 5> if the current DRB configuration does not include a secondary RLC bearer:
      - 6> establish a secondary SCG RLC entity or entities and an associated DTCH logical channel in accordance with the received *rlc-BearerConfigSecondary* and associate these with the E-UTRA PDCP entity with the same value of *srb-Identity* within the current UE configuration;
    - 5> else:
      - 6> reconfigure the secondary SCG RLC entity or entities and the associated DTCH logical channel in accordance with the received *rlc-BearerConfigSecondary*;
- 2> if the DRB indicated by *drb-Identity* is an MCG DRB:
  - 3> if *drb-ToAddModListSCG* is received and includes the *drb-Identity* value, while for this entry *drb-Type* is included and set to *split* (i.e. MCG to split):
    - 4> reconfigure the PDCP entity in accordance with the pdcp-Config, if included in drb-ToAddModList;
    - 4> reconfigure the primary MCG RLC entity and/ or the primary MCG DTCH logical channel in accordance with the *rlc-Config* and *logicalChannelConfig*, if included in *drb-ToAddModList*;
    - 4> if rlc-BearerConfigSecondary is included with value release:
      - 5> release the secondary MCG RLC entity or entities as well as the associated DTCH logical channel;
    - 4> establish an SCG RLC entity and an SCG DTCH logical channel in accordance with the rlc-ConfigSCG, logicalChannelIdentitySCG and logicalChannelConfigSCG, included in drb-ToAddModListSCG;
  - 3> else (i.e. *drb-Type* is included and set to *scg* i.e. MCG to SCG):
    - 4> reconfigure the PDCP entity with the current SCG security configuration and in accordance with the *pdcp-Config*, if included in *drb-ToAddModListSCG*;
    - 4> reconfigure the MCG RLC entity or entities (both primary and secondary, if configured) and the MCG DTCH logical channel (both primary and secondary, if configured) to be an SCG RLC entity or entities and an SCG DTCH logical channel;
    - 4> reconfigure the primary SCG RLC entity or entities and/ or the primary SCG DTCH logical channel in accordance with the *rlc-ConfigSCG*, *logicalChannelIdentitySCG* and *logicalChannelConfigSCG*, if included in *drb-ToAddModListSCG*;
    - 4> if *rlc-BearerConfigSecondary* is included with value *release*:

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- 5> release the secondary SCG RLC entity or entities as well as the associated DTCH logical channel;
- 4> if rlc-BearerConfigSecondary is included with value setup;
  - 5> if the current DRB configuration does not include a secondary RLC bearer:
    - 6> establish a secondary SCG RLC entity or entities and an associated DTCH logical channel in accordance with the received *rlc-BearerConfigSecondary* and associate these with the E-UTRA PDCP entity with the same value of *srb-Identity* within the current UE configuration;
  - 5> else:
    - 6> reconfigure the secondary SCG RLC entity or entities and the associated DTCH logical channel in accordance with the received *rlc-BearerConfigSecondary*;

## 5.3.10.3a2 LWA specific DRB addition or reconfiguration

For the *drb-Identity* value for which this procedure is initiated, the UE shall:

- 1> if the drb-Identity value is not part of the current UE configuration (i.e. add LWA DRB):
  - 2> establish a PDCP entity and configure it with the current security configuration and in accordance with the *pdcp-Config* included in *drb-ToAddModList*;
  - 2> establish an RLC entity and an DTCH logical channel in accordance with the *rlc-Config, logicalChannelIdentity* and *logicalChannelConfig* included in *drb-ToAddModList*;
  - 2> enable data handling for this DRB at the LWAAP entity;
  - 2> if *lwa-WLAN-AC* is configured:
    - 3> apply the received lwa-WLAN-AC when performing transmissions of packets for this DRB over WLAN;
  - 2> indicate the establishment of the DRB and the eps-BearerIdentity of the established DRB to upper layers;
- 1> else if the DRB indicated by drb-Identity is not an LWA DRB (i.e. LTE only to LWA DRB):
  - 2> reconfigure the PDCP entity in accordance with the pdcp-Config, if included in drb-ToAddModList;
  - 2> reconfigure the RLC entity and/ or the DTCH logical channel in accordance with the *rlc-Config* and *logicalChannelConfig*, if included in *drb-ToAddModList*;
  - 2> enable data handling for this DRB at the LWAAP entity;
  - 2> if *lwa-WLAN-AC* is configured:
    - 3> apply the received lwa-WLAN-AC when performing transmissions of packets for this DRB over WLAN;
- 1> else if the concerned entry of *drb-ToAddModList* includes the *drb-TypeLWA* set to *FALSE* (i.e. LWA to LTE only DRB):
  - 2> reconfigure the PDCP entity in accordance with the pdcp-Config, if included in drb-ToAddModList;
  - 2> reconfigure the RLC entity and/ or the DTCH logical channel in accordance with the *rlc-Config* and *logicalChannelConfig*, if included in *drb-ToAddModList*;
  - 2> perform PDCP data recovery as specified in TS 36.323 [8] if bearer is configured with RLC AM;
  - 2> disable data handling for this DRB at the LWAAP entity;
- 1> else (i.e. reconfigure LWA DRB):
  - 2> reconfigure the PDCP entity in accordance with the pdcp-Config, if included in drb-ToAddModList;
  - 2> reconfigure the RLC entity and/ or the DTCH logical channel in accordance with the *rlc-Config* and *logicalChannelConfig*, if included in *drb-ToAddModList*;

2> if *lwa-WLAN-AC* is configured:

3>apply the received lwa-WLAN-AC when performing transmissions of packets for this DRB over WLAN;

## 5.3.10.3a3 LWIP specific DRB addition or reconfiguration

For the *drb-Identity* value for which this procedure is initiated, the UE shall:

- 1> if the *drb-TypeLWIP* is set to *lwip*:
  - 2> indicate to higher layers to use LWIP resources in both UL and DL for the DRB associated with the *drb-Identity*;
  - 2> if *lwip-DL-Aggregation* is set to TRUE:
    - 3> indicate to higher layers to apply decoding of LWIPEP header with GRE sequence number for both LTE and WLAN DL reception for the DRB associated with the *drb-Identity*;
  - 2> if *lwip-DL-Aggregation* is set to FALSE:
    - 3> indicate to higher layers to stop decoding of LWIPEP header with GRE sequence number for both LTE and WLAN DL reception for the DRB associated with the *drb-Identity*;
  - 2> if *lwip-UL-Aggregation* is set to TRUE:
    - 3> indicate to higher layers to insert LWIPEP header with GRE sequence number for both LTE and WLAN UL transmissions for the DRB associated with the *drb-Identity*;
  - 2> if *lwip-UL-Aggregation* is set to FALSE:
    - 3> indicate to higher layers to stop inserting LWIPEP header with GRE sequence number for both LTE and WLAN UL transmissions for the DRB associated with the *drb-Identity*;
- 1> if the *drb-TypeLWIP* is set to *lwip-DL-only*:
  - 2> indicate to higher layers to use LWIP resources in the DL only for the DRB associated with the *drb-Identity*;
  - 2> if *lwip-DL-Aggregation* is set to TRUE:
    - 3> indicate to higher layers to apply decoding of LWIPEP header with GRE sequence number for both LTE and WLAN DL reception for the DRB associated with the *drb-Identity*;
- 1> if the *drb-TypeLWIP* is set to *lwip-UL-only*:
  - 2> indicate to higher layers to use LWIP resources in the UL only for the DRB associated with the *drb-Identity*;
  - 2> if *lwip-UL-Aggregation* is set to TRUE:
    - 3> indicate to higher layers to insert LWIPEP header with GRE sequence number for both LTE and WLAN UL transmissions for the DRB associated with the *drb-Identity*;
- 1> if the *drb-TypeLWIP* is set to *eutran*:
  - 2> indicate to higher layers to stop using LWIP resources for the DRB associated with the *drb-Identity*;

#### 5.3.10.3a4 SCG RLC bearer addition or reconfiguration for DRBs in NE-DC

- 1> for each *drb-Identity* value included in *drb-ToAddModListSCG*:
  - 2> if *drb-Identity* value is not part of the current UE E-UTRA SCG configuration (SCG RLC bearer establishment):

- 3> establish an SCG RLC entity or entities and an SCG DTCH logical channel in accordance with the *rlc-ConfigSCG*, *logicalChannelIdentitySCG* and *logicalChannelConfigSCG* included in *drb-ToAddModListSCG*;
- 3> associate the SCG RLC bearer and DTCH logical channel with the NR PDCP entity, i.e. as configured by NR see TS 38.331 [82], identified with the same *drb-Identity* within the current UE configuration;

#### 2> else:

- 3> re-establish the SCG RLC entity of this DRB, if reestablishRLC is included in rlc-Config;
- 3> reconfigure the SCG RLC entity or entities and/ or the SCG DTCH logical channel in accordance with the *rlc-ConfigSCG* and *logicalChannelConfigSCG*, if included in *drb-ToAddModListSCG*;

#### 5.3.10.3a SCell release

#### The UE shall:

- 1> if the release is triggered by reception of the sCellToReleaseList or the sCellToReleaseListSCG:
  - 2> for each sCellIndex value included either in the sCellToReleaseList or in the sCellToReleaseListSCG:
    - 3> if the current UE configuration includes an SCell with value sCellIndex:
      - 4> release the SCell;
- 1> if the release is triggered by RRC connection re-establishment; or
- 1> if the release is triggered when the UE is resuming an RRC connection from a suspended RRC connection or from RRC\_INACTIVE as specified in clause 5.3.3.2:
  - 2> release all SCells that are part of the current UE configuration;

## 5.3.10.3b SCell addition/ modification

- 1> for each *sCellIndex* value included either in the *sCellToAddModList* or in the *sCellToAddModListSCG* that is not part of the current UE configuration (SCell addition):
  - 2> add the SCell, corresponding to the *cellIdentification*, in accordance with the *radioResourceConfigCommonSCell* and *radioResourceConfigDedicatedSCell*, both included either in the *sCellToAddModList* or in the *sCellToAddModListSCG*;
  - 2> if sCellState is configured for the SCell and indicates activated:
    - 3> configure lower layers to consider the SCell to be in activated state;
  - 2> else if *sCellState* is configured for the SCell and indicates *dormant*:
    - 3> configure lower layers to consider the SCell to be in dormant state;
  - 2> else:
    - 3> configure lower layers to consider the SCell to be in deactivated state;
  - 2> for each measId included in the measIdList within VarMeasConfig:
    - 3> if SCells are not applicable for the associated measurement; and
    - 3> if the concerned SCell is included in *cellsTriggeredList* defined within the *VarMeasReportList* for this *measId*:
      - 4> remove the concerned SCell from *cellsTriggeredList* defined within the *VarMeasReportList* for this *measId*;

- 1> for each *sCellIndex* value included either in the *sCellToAddModList* or in the *sCellToAddModListSCG* that is part of the current UE configuration (SCell modification):
  - 2> modify the SCell configuration in accordance with the *radioResourceConfigDedicatedSCell*, included either in the *sCellToAddModList* or in the *sCellToAddModListSCG*;
  - 2> if the *sCellToAddModList* was received within an *RRCConnectionResume* or *sCellToAddModListSCG* was received within *RRCConnectionReconfiguration* with *mobilityControlInfoSCG* embedded in an NR *RRCResume* or embedded in an NR *RRCReconfiguration* message:
    - 3> if the *sCellState* is configured for the SCell and indicates *activated*:
      - 4> configure lower layers to consider the SCell to be in activated state;
    - 3> else if *sCellState* is configured for the SCell and indicates *dormant*:
      - 4> configure lower layers to consider the SCell to be in dormant state;
    - 3> else:
      - 4> configure lower layers to consider the SCell to be in deactivated state;

### 5.3.10.3c PSCell addition or modification

The UE shall:

- 1> if the PSCell is not part of the current UE configuration (i.e. PSCell addition):
  - 2> add the PSCell, corresponding to the *cellIdentification*, in accordance with the received *radioResourceConfigCommonPSCell* and *radioResourceConfigDedicatedPSCell*;
  - 2> configure lower layers to consider the PSCell to be in activated state;
- 1> if the PSCell is part of the current UE configuration (i.e. PSCell modification):
  - 2> modify the PSCell configuration in accordance with the received radioResourceConfigDedicatedPSCell;

# 5.3.10.3d SCell group release

The UE shall:

- 1> if the release is triggered by reception of the *sCellGroupToReleaseList*:
  - 2> for each sCellGroupIndex value included in the sCellGroupToReleaseList:
    - 3> if the current UE configuration includes an SCell with value *sCellGroupIndex*:
      - 4> consider the SCell not to be part of the SCell group indicated by sCellGroupIndex;
      - 4> consider the sCellConfigCommon of the SCell group to be not applicable for the SCell;
    - 3> release the SCell group;
- 1> if the release is triggered by RRC connection re-establishment:
  - 2> release all SCell groups that are part of the current UE configuration;

# 5.3.10.3e SCell group addition/ modification

- 1> for each *sCellGroupIndex* value included in the *sCellGroupToAddModList* that is part of the current UE configuration (SCell group modification):
  - 2> for each *sCellIndex* value included in the *sCellToReleaseList* that is part of the SCell group indicated by *sCellGroupIndex* (SCell deletion from SCell group):

- 3> consider the *sCellConfigCommon* of the SCell group to be not applicable for the SCell;
- 3> consider the SCell not to be part of the SCell group indicated by sCellGroupIndex
- 2> for each *sCellIndex* value included in the *sCellToAddModList* that is not part of the SCell group indicated by *sCellGroupIndex* (SCell addition to SCell group):
  - 3> consider the SCell to be part of the SCell group indicated by sCellGroupIndex;
  - 3> apply the SCell configuration for parameters not already configured as part of the current SCell configuration in accordance with the *sCellConfigCommon* for the SCell group;
- 2> if sCellConfigCommon is included (modify the SCell group configuration):
  - 3> for each SCell that is part of the current SCell group indicated by *sCellGroupIndex*:
    - 4> apply the SCell configuration for parameters not already configured as part of the current SCell configuration in accordance with the *sCellConfigCommon* for the SCell group;
- 1> for each *sCellGroupIndex* value included in the *sCellGroupToAddModList* that is not part of the current UE configuration (SCell group addition):
  - 2> for each sCellIndex value included in the sCellToAddModList (SCell addition to the group):
    - 3> consider the SCell to be part of the SCell group indicated by sCellGroupIndex
    - 3> apply the SCell configuration for parameters not already configured as part of the current SCell configuration in accordance with the *sCellConfigCommon* for the SCell group;

# 5.3.10.4 MAC main reconfiguration

Except for NB-IoT, the UE shall:

- 1> if the procedure is triggered to perform SCG MAC main reconfiguration:
  - 2> if SCG MAC is not part of the current UE configuration (i.e. SCG establishment):
    - 3> create an SCG MAC entity;
  - 2> reconfigure the SCG MAC main configuration as specified in the following i.e. assuming it concerns the SCG MAC whenever MAC main configuration is referenced and that it is based on the received *mac-MainConfigSCG* instead of *mac-MainConfig*:
- 1> reconfigure the MAC main configuration in accordance with the received *mac-MainConfig* other than *stag-ToReleaseList* and *stag-ToAddModList*;
- 1> if the received *mac-MainConfig* includes the *stag-ToReleaseList*:
  - 2> for each STAG-Id value included in the stag-ToReleaseList that is part of the current UE configuration:
    - 3> release the STAG indicated by STAG-Id;
- 1> if the received *mac-MainConfig* includes the *stag-ToAddModList*:
  - 2> for each stag-Id value included in stag-ToAddModList that is not part of the current UE configuration (STAG addition):
    - 3> add the STAG, corresponding to the *stag-Id*, in accordance with the received *timeAlignmentTimerSTAG*;
  - 2> for each *stag-Id* value included in *stag-ToAddModList* that is part of the current UE configuration (STAG modification):
    - 3> reconfigure the STAG, corresponding to the *stag-Id*, in accordance with the received *timeAlignmentTimerSTAG*;
- NOTE: In case of MAC main reconfiguration at a DAPS HO, the reconfiguration is applied to the MAC entity for the target MCG.

For NB-IoT, the UE shall:

1> reconfigure the MAC main configuration in accordance with the received mac-MainConfig;

# 5.3.10.5 Semi-persistent scheduling reconfiguration

The UE shall:

1> reconfigure the semi-persistent scheduling in accordance with the received sps-Config;

# 5.3.10.6 Physical channel reconfiguration

Except for NB-IoT, the UE shall:

- 1> if the *antennaInfo-r10* is included in the received *physicalConfigDedicated* and the previous version of this field that was received by the UE was *antennaInfo* (without suffix i.e. the version defined in REL-8):
  - 2> apply the default antenna configuration as specified in 9.2.4;
- 1> if the *cqi-ReportConfig-r10* is included in the received *physicalConfigDedicated* and the previous version of this field that was received by the UE was *cqi-ReportConfig* (without suffix i.e. the version defined in REL-8):
  - 2> apply the default CQI reporting configuration as specified in 9.2.4;
- NOTE 1: Application of the default configuration involves release of all extensions introduced in REL-9 and later.
- 1> reconfigure the physical channel configuration in accordance with the received physicalConfigDedicated;
- 1> if the *antennaInfo* is included and set to *explicitValue*:
  - 2> if the configured transmissionMode is tm1, tm2, tm5, tm6 or tm7; or
  - 2> if the configured transmissionMode is tm8 and pmi-RI-Report is not present; or
  - 2> if the configured transmissionMode is tm9 and pmi-RI-Report is not present; or
  - 2> if the configured *transmissionMode* is *tm9* and *pmi-RI-Report* is present and *antennaPortsCount* within *csi-RS* is set to *an1*:
    - 3> release ri-ConfigIndex in cqi-ReportPeriodic, if previously configured;
- 1> else if the *antennaInfo* is included and set to *defaultValue*:
  - 2> release *ri-ConfigIndex* in *cqi-ReportPeriodic*, if previously configured;
- 1> if the *pusch-EnhancementsConfig* is included in the received *physicalConfigDedicated*, for the associated serving cell:
  - 2> if PUSCH enhancement mode is previously released or not configured and *pusch-EnhancementsConfig* is set to *setup*, or
  - 2> if PUSCH enhancement mode is previously configured and *pusch-EnhancementConfig* is set to *release*:
    - 3> instruct the associated MAC entity to perform partial reset;
- 1> if the procedure was not triggered due to handover and *ce-Mode* is included in the received *physicalConfigDedicated*, for the associated serving cell:
  - 2> if ce-Mode is not currently configured and ce-Mode is set to setup, or
  - 2> if *ce-Mode* is currently configured and *ce-Mode* is set to *release*:
    - 3> instruct the associated MAC entity to perform partial reset;

For NB-IoT, the UE shall:

1> if the carrierConfigDedicated is not included in the received physicalConfigDedicated:

- 2> if the UE is configured with a carrier configuration previously received in *carrierConfigDedicated*:
  - 3> use the carrier configuration received in *carrierConfigDedicated*;
- 2> else:
  - 3> use the carrier configuration received in system information for the uplink and downlink carrier used during the random access procedure;

### 1> else:

- 2> if schedulingRequestConfig is not received or does not include the sr-SPS-BSR-Config:
  - 3> instruct lower layers to clear existing configured uplink grants for BSR (if any);
- 2> use the carrier configuration received in *carrierConfigDedicated*;
- 2> start to use the new carrier immediately after the last transport block carrying the RRC message has been acknowledged by the MAC layer, and any subsequent RRC response message sent for the current RRC procedure is therefore sent on the new carrier;
- 1> reconfigure the physical channel configuration in accordance with the received physicalConfigDedicated.
- NOTE 2: In case of physical channel reconfiguration at a DAPS HO, the reconfiguration is applied for the target PCell.

# 5.3.10.7 Radio Link Failure Timers and Constants reconfiguration

#### The UE shall:

- 1> if the received *rlf-TimersAndConstants* is set to *release*:
  - 2> use values for timers T301, T310, T311 and constants N310, N311, as included in *ue-TimersAndConstants* received in *SystemInformationBlockType2* (or *SystemInformationBlockType2-NB* in NB-IoT);
- 1> else:
  - 2> reconfigure the value of timers and constants in accordance with received rlf-TimersAndConstants;
- NOTE: In case of a DAPS HO, the timer and constant values are to be applied in the target MCG after timer T304 has been stopped.
- 1> if the received *rlf-TimersAndConstantsSCG* is set to *release*:
  - 2> stop timer T313, if running, and
  - 2> release the value of timer t313 as well as constants n313 and n314;
- 1> else:
  - 2> reconfigure the value of timers and constants in accordance with received rlf-TimersAndConstantsSCG;
- 1> if the received *rlf-TimersAndConstantsMCG-Failure* is set to *release*:
  - 2> stop timer T316, if running, and
  - 2> release the value of timer *t316*;
- 1> else:
  - 2> reconfigure the value of the timer in accordance with received rlf-TimersAndConstantsMCG-Failure;

# 5.3.10.8 Time domain measurement resource restriction for serving cell

#### The UE shall:

1> if the received *measSubframePatternPCell* is set to *release*:

- 2> release the time domain measurement resource restriction for the PCell, if previously configured;
- 1> else:
  - 2> apply the time domain measurement resource restriction for the PCell in accordance with the received *measSubframePatternPCell*;

# 5.3.10.9 Other configuration

- 1> if the received *otherConfig* includes the *reportProximityConfig*:
  - 2> if *proximityIndicationEUTRA* is set to *enabled*:
    - 3> consider itself to be configured to provide proximity indications for E-UTRA frequencies in accordance with 5.3.14;
  - 2> else:
    - 3> consider itself not to be configured to provide proximity indications for E-UTRA frequencies;
  - 2> if *proximityIndicationUTRA* is set to *enabled*:
    - 3> consider itself to be configured to provide proximity indications for UTRA frequencies in accordance with 5.3.14;
  - 2> else:
    - 3> consider itself not to be configured to provide proximity indications for UTRA frequencies;
- 1> if the received *otherConfig* includes the *obtainLocation*:
  - 2> attempt to have detailed location information available for any subsequent measurement report;
- NOTE 1: The UE is requested to attempt to have valid detailed location information available whenever sending a measurement report for which it is configured to include available detailed location information. The UE may not succeed e.g. because the user manually disabled the GPS hardware, due to no/poor satellite coverage. Further details, e.g. regarding when to activate GNSS, are up to UE implementation.
- NOTE 1a: Any subsequent measurement report includes RLF report and SCGFailureInformationNR.
- 1> if the received *otherConfig* includes the *bt-NameListConfig*:
  - 2> if *bt-NameListConfig* is set to *setup*, attempt to have Bluetooth measurement results available for subsequent measurement report;
- 1> if the received *otherConfig* includes the *wlan-NameListConfig*:
  - 2> if wlan-NameListConfig is set to setup, attempt to have WLAN measurement results available for subsequent measurement report;
- 1> if the received *otherConfig* includes the *measUncomBarPre*:
  - 2> if *measUncomBarPre* is set to *true*, attempt to have barometer measurement results available for subsequent measurement report;
- NOTE 2: The UE is requested to attempt to have valid Bluetooth measurements, WLAN measurements and Uncompensated Barometric Pressure Sensor measurements whenever sending a measurement report for which it is configured to include these measurements. The UE may not succeed e.g. because the user manually disabled the WLAN, Bluetooth or Sensor hardware. Further details, e.g. regarding when to activate WLAN, Bluetooth or Sensor, are up to UE implementation.
- 1> if the received *otherConfig* includes the *idc-Config*:
  - 2> if *idc-Indication* is included (i.e. set to *setup*):

- 3> consider itself to be configured to provide IDC indications in accordance with 5.6.9;
- 3> if idc-Indication-UL-CA is included (i.e. set to setup):
  - 4> consider itself to be configured to indicate UL CA related information in IDC indications in accordance with 5.6.9;
- 3> if *idc-HardwareSharingIndication* is included (i.e. set to setup):
  - 4> consider itself to be configured to indicate IDC hardware sharing problem indications in IDC indications in accordance with 5.6.9:
- 3> if *idc-Indication-MRDC* is included (i.e. set to *setup*):
  - 4> consider itself to be configured to provide IDC indications for MR-DC in accordance with 5.6.9;
- 2> else:
  - 3> consider itself not to be configured to provide IDC indications;
- 2> if autonomousDenialParameters is included:
  - 3> consider itself to be allowed to deny any transmission in a particular UL subframe if during the number of subframes indicated by *autonomousDenialValidity*, preceding and including this particular subframe, it autonomously denied fewer UL subframes than indicated by *autonomousDenialSubframes*;
- 2> else:
  - 3> consider itself not to be allowed to deny any UL transmission;
- 1> if the received *otherConfig* includes the *powerPrefIndicationConfig*:
  - 2> if powerPrefIndicationConfig is set to setup:
    - 3> consider itself to be configured to provide power preference indications in accordance with 5.6.10;
  - 2> else:
    - 3> consider itself not to be configured to provide power preference indications;
- 1> if the received *otherConfig* includes the sps-AssistanceInfoReport:
  - 2> if *sps-AssistanceInfoReport* is set to TRUE:
    - 3> consider itself to be configured to provide SPS assistance information in accordance with 5.6.10;
  - 2> else
    - 3> consider itself not to be configured to provide SPS assistance information;
- 1> if the received *otherConfig* includes the *bw-PreferenceIndicationTimer*:
  - 2> consider itself to be configured to provide maximum PDSCH/PUSCH bandwidth preference indication in accordance with 5.6.10;
- 1> else:
  - 2> consider itself not to be configured to provide maximum PDSCH/PUSCH bandwidth indication preference;
- 1> if the received *otherConfig* includes the *delayBudgetReportingConfig*:
  - 2> if delayBudgetReportingConfig is set to setup:
    - 3> consider itself to be configured to send delay budget reports in accordance with 5.6.10;
  - 2> else:
    - 3> consider itself not to be configured to send delay budget reports and stop timer T342, if running;

- 1> if the received *otherConfig* includes the *overheatingAssistanceConfig*:
  - 2> if *overheatingAssistanceConfig* is set to *setup*:
    - 3> consider itself to be configured to provide overheating assistance information in accordance with 5.6.10;
    - 3> if *overheatingAssistanceConfigForSCG* is included:
      - 4> if overheatingAssistanceConfigForSCG is set to true:
        - 5> consider itself to be configured to provide overheating assistance information for NR SCG in accordance with 5.6.10;
      - 4> else if *overheatingAssistanceConfigForSCG* is set to false:
        - 5> consider itself not to be configured to provide overheating assistance information for NR SCG and stop timer T345, if running;
  - 2> else:
    - 3> consider itself not to be configured to provide overheating assistance information and stop timer T345, if running;
- 1> for BL UEs or UEs in CE, if the received *otherConfig* includes the *rlm-ReportConfig*:
  - 2> if *rlm-ReportConfig* is set to *setup*:
    - 3> consider itself to be configured to detect "early-out-of-sync" and "early-in-sync" RLM events as specified in 5.3.11;
    - 3> if *rlmReportRep-MPDCCH* is set to *setup*:
      - 4> consider itself to be configured to report rlmReportRep-MPDCCH in accordance with 5.6.10;
  - 2> else:
    - 3> consider itself not to be configured to detect "early-out-of-sync" and "early-in-sync" RLM events and stop timer T343, timer T344, timer T314 and timer T315 if running;
- 1> if the received *otherConfig* includes the *measConfigAppLayer*:
  - 2> if *measConfigAppLayer* is set to setup:
    - 3> forward measConfigAppLayerContainer to upper layers considering the serviceType;
    - 3> consider itself to be configured to send application layer measurement report in accordance with 5.6.19;
  - 2> else:
    - 3> inform upper layers to clear the stored application layer measurement configuration;
    - 3> discard received application layer measurement report information from upper layers;
    - 3> consider itself not to be configured to send application layer measurement report.
- 1> if the received *otherConfig* includes the *ailc-BitConfig*:
  - 2> if *ailc-BitConfig* is set to TRUE:
    - 3> consider itself to be configured to provide assistance information bit for local cache as specified in TS 36.323 [8], clause 6.2.3;
  - 2> else:
    - 3> consider itself not to be configured to provide assistance information bit for local cache;

# 5.3.10.10 SCG reconfiguration

- 1> if *makeBeforeBreakSCG* is configured:
  - 2> stop timer T313, if running;
  - 2> start timer T307 with the timer value set to t307, as included in the mobility ControlInfoSCG;
  - 2> start synchronising to the DL of the target PSCell, if needed;
  - 2> perform the remainder of this procedure including and following resetting MAC after the UE has stopped the uplink transmission/downlink reception with the source PSCell;
- NOTE 0a: It is up to UE implementation when to stop the uplink transmission/ downlink reception with the source PSCell to initiate re-tuning for the connection to the target cell, as specified in TS 36.133 [16], if *makeBeforeBreakSCG* is configured.
- NOTE 0b:It is up to UE implementation when to stop the uplink transmission/ downlink reception with the source SCG SCell(s) after receiving *mobilityControlInfoSCG*.
- 1> if *scg-Configuration* is received and is set to *release* or includes the *mobilityControlInfoSCG* (i.e. SCG release/ change):
  - 2> if *mobilityControlInfo* is not received (i.e. SCG release/ change without HO):
    - 3> reset SCG MAC, if configured;
    - 3> if the UE is not configured with NE-DC:
      - 4> for each *drb-Identity* value that is part of the current UE configuration:
        - 5> if the DRB indicated by *drb-Identity* is an SCG DRB:
          - 6> re-establish the PDCP entity and the SCG RLC entity or entities;
        - 5> if the DRB indicated by *drb-Identity* is a split DRB:
          - 6> perform PDCP data recovery and re-establish the SCG RLC entity;
        - 5> if the DRB indicated by *drb-Identity* is an MCG DRB; and
        - 5> *drb-ToAddModListSCG* is received and includes the *drb-Identity* value, while for this entry *drb-Type* is included and set to *scg* (i.e. MCG to SCG):
          - 6> re-establish the PDCP entity and the MCG RLC entity or entities;
    - 3> configure lower layers to consider the SCG SCell(s), except for the PSCell, to be in deactivated state;
- 1> if scg-Configuration is received and is set to release:
  - 2> release the entire SCG configuration, except for the DRB configuration (i.e. as configured by *drb-ToAddModListSCG*);
  - 2> if the current UE configuration includes one or more split or SCG DRBs and the received RRCConnectionReconfiguration message includes radioResourceConfigDedicated including drb-ToAddModList:
    - 3> reconfigure the SCG or split DRB by drb-ToAddModList as specified in 5.3.10.12;
  - 2> stop timer T313, if running;
  - 2> stop timer T307, if running;
- 1> else:
  - 2> if scg-ConfigPartMCG is received and includes the scg-Counter:

- 3> update the S-K<sub>eNB</sub> key based on the K<sub>eNB</sub> key and using the received *scg-Counter* value, as specified in TS 33.401 [32];
- 3> derive the K<sub>UPenc</sub> key associated with the *cipheringAlgorithmSCG* included in *mobilityControlInfoSCG* within the received *scg-ConfigPartSCG*, as specified in TS 33.401 [32];
- 3> configure lower layers to apply the ciphering algorithm and the K<sub>UPenc</sub> key;
- 2> if scg-ConfigPartSCG is received and includes the radioResourceConfigDedicatedSCG:
  - 3> reconfigure the dedicated radio resource configuration for the SCG as specified in 5.3.10.11;
- 2> if the current UE configuration includes one or more split or SCG DRBs and the received RRCConnectionReconfiguration message includes radioResourceConfigDedicated including drb-ToAddModList:
  - 3> reconfigure the SCG or split DRB by drb-ToAddModList as specified in 5.3.10.12;
- 2> if scg-ConfigPartSCG is received and includes measConfigSN:
  - 3> for *measConfigSN* perform the actions as specified in 5.5.2 for *measConfig* unless explicitly stated otherwise;
- 2> if scg-ConfigPartSCG is received and includes the sCellToReleaseListSCG:
  - 3> perform SCell release for the SCG as specified in 5.3.10.3a;
- 2> if scg-ConfigPartSCG is received and includes the pSCellToAddMod:
  - 3> perform PSCell addition or modification as specified in 5.3.10.3c;
- NOTE 0: This procedure is also used to release the PSCell e.g. PSCell change, SI change for the PSCell.
  - 2> if scg-ConfigPartSCG is received and includes the sCellToAddModListSCG:
    - 3> perform SCell addition or modification as specified in 5.3.10.3b;
  - 2> configure lower layers in accordance with mobilityControlInfoSCG, if received;
  - 2> if *rach-SkipSCG* is configured:
    - 3> configure lower layers to apply the *rach-SkipSCG* for the target SCG, as specified in TS 36.213 [23] and TS 36.321 [6];
  - 2> if scg-ConfigPartSCG is received and includes the mobilityControlInfoSCG (i.e. SCG change):
    - 3> resume all SCG DRBs and resume SCG transmission for split DRBs, if suspended;
    - 3> stop timer T313, if running;
    - 3> start timer T307 with the timer value set to t307, as included in the mobilityControlInfoSCG, if makeBeforeBreakSCG is not configured;
    - 3> start synchronising to the DL of the target PSCell;
    - 3> initiate the random access procedure on the PSCell, as specified in TS 36.321 [6], if *rach-SkipSCG* is not configured:
- NOTE 1: The UE is not required to determine the SFN of the target PSCell by acquiring system information from that cell before performing RACH access in the target PSCell.
  - 3> the procedure ends, except that the following actions are performed when MAC successfully completes the random access procedure on the PSCell or when MAC indicates the successful reception of a PDCCH transmission addressed to C-RNTI and if *rach-skipSCG* is configured:
    - 4> stop timer T307;
    - 4> release rach-SkipSCG;

- 4> apply the parts of the CQI reporting configuration, the scheduling request configuration and the sounding RS configuration that do not require the UE to know the SFN of the target PSCell, if any;
- 4> apply the parts of the measurement and the radio resource configuration that require the UE to know the SFN of the target PSCell (e.g. periodic CQI reporting, scheduling request configuration, sounding RS configuration), if any, upon acquiring the SFN of the target PSCell;
- NOTE 2: Whenever the UE shall setup or reconfigure a configuration in accordance with a field that is received it applies the new configuration, except for the cases addressed by the above statements.

# 5.3.10.11 SCG dedicated resource configuration

#### The UE shall:

- 1> if the received radioResourceConfigDedicatedSCG includes the srb-ToReleaseListSCG:
  - 2> for each *srb-Identity* value included in the *srb-ToReleaseListSCG* perform the SCG RLC bearer release as specified in 5.3.10.17;
- 1> if the received radioResourceConfigDedicatedSCG includes the srb-ToAddModListSCG:
  - 2> for each *srb-Identity* value included in the *srb-ToAddModListSCG* perform the SCG RLC bearer addition or reconfiguration as specified in 5.3.10.1a;
- 1> if the received radioResourceConfigDedicatedSCG includes drb-ToReleaseListSCG:
  - 2> perform the DRB release as specified in 5.3.10.2;
- 1> if the received radioResourceConfigDedicatedSCG includes the drb-ToAddModListSCG:
  - 2> if the UE is configured with NE-DC:
    - 3> for each *drb-Identity* value included in the *drb-ToAddModListSCG* perform the SCG RLC bearer addition or reconfiguration for DRBs in NE-DC as specified in 5.3.10.3a4;
  - 2> else:
    - 3> for each *drb-Identity* value included in the *drb-ToAddModListSCG* perform the DC specific DRB addition or reconfiguration as specified in 5.3.10.3a1;
- 1> if the received *radioResourceConfigDedicatedSCG* includes the *mac-MainConfigSCG*:
  - 2> perform the SCG MAC main reconfiguration as specified in 5.3.10.4;
- 1> if the received radioResourceConfigDedicatedSCG includes the rlf-TimersAndConstantsSCG:
  - 2> reconfigure the values of timers and constants as specified in 5.3.10.7;

# 5.3.10.12 Reconfiguration SCG or split DRB by *drb-ToAddModList*

The UE shall:

- 1> for each split or SCG DRBs that is part of the current configuration:
  - 2> if the corresponding drb-Identity value is included in the received drb-ToAddModList; and
  - 2> if the corresponding *drb-Identity* value is not included in the received *drb-ToAddModListSCG* (i.e. reconfigure split, split to MCG or SCG to MCG):
    - 3> perform the DC specific DRB addition or reconfiguration as specified in 5.3.10.3a1;

# 5.3.10.13 Neighbour cell information reconfiguration

#### The UE shall:

1> if the received *naics-Info* is set to *release*:

- 2> instruct lower layer to release all the NAICS neighbour cell information for the concerned cell, if previously configured;
- 1> if the received *naics-Info* includes the *neighCellsToReleaseList-r12*:
  - 2> for each *physCellId-r12* value included in the *neighCellsToReleaseList-r12* that is part of the current NAICS neighbour cell information of the concerned cell:
    - 3> instruct lower layer to release the NAICS neighbour cell information for the concerned cell;
- 1> if the received *naics-Info* includes the *NeighCellsToAddModList-r12*:
  - 2> for each *physCellId-r12* value included in the *neighCellsToAddModList-r12* that is not part of the current NAICS neighbour cell information of the concerned cell:
    - 3> instruct lower layer to add the NAICS neighbour cell information for the concerned cell;
  - 2> for each *physCellId-r12* value included in the *neighCellsToAddModList-r12* that is part of the current NAICS neighbour cell information of the concerned cell:
    - 3> instruct lower layer to modify the NAICS neighbour cell information in accordance with the received *NeighCellsInfo* for the concerned cell;

#### 5.3.10.14 Void

# 5.3.10.15 Sidelink dedicated configuration

- 1> if the RRCConnectionReconfiguration message includes the sl-CommConfig:
  - 2> if *commTxResources* is included and set to *setup*:
    - 3> from the next SC period use the resources indicated by *commTxResources* for sidelink communication transmission, as specified in 5.10.4;
  - 2> else if commTxResources is included and set to release:
    - 3> from the next SC period, release the resources allocated for sidelink communication transmission previously configured by *commTxResources*;
- 1> if the RRCConnectionReconfiguration message includes the sl-DiscConfig:
  - 2> if *discTxResources* is included and set to *setup*:
    - 3> from the next discovery period, as defined by *discPeriod*, use the resources indicated by *discTxResources* for sidelink discovery announcement, as specified in 5.10.6;
  - 2> else if *discTxResources* is included and set to *release*:
    - 3> from the next discovery period, as defined by *discPeriod*, release the resources allocated for sidelink discovery announcement previously configured by *discTxResources*;
  - 2> if *discTxResourcesPS* is included and set to *setup*:
    - 3> from the next discovery period, as defined by *discPeriod*, use the resources indicated by *discTxResourcesPS* for sidelink discovery announcement, as specified in 5.10.6;
  - 2> else if *discTxResourcesPS* is included and set to *release*:
    - 3> from the next discovery period, as defined by discPeriod, release the resources allocated for sidelink discovery announcement previously configured by discTxResourcesPS;
  - 2> if discTxInterFreqInfo is included and set to setup:

- 3> from the next discovery period, as defined by *discPeriod*, use the resources indicated by *discTxInterFreqInfo* for sidelink discovery announcement, as specified in 5.10.6;
- 2> else if *discTxInterFreqInfo* is included and set to *release*:
  - 3> from the next discovery period, as defined by *discPeriod*, release the resources allocated for sidelink discovery announcement previously configured by *discTxInterFreqInfo*;
- 2> if *discRxGapConfig* is included and set to *setup*:
  - 3> from the next gap period, as defined by *gapPeriod*, use the gaps indicated by *discRxGapConfig* for sidelink discovery monitoring, as specified in 5.10.5;
- 2> else if *discRxGapConfig* is included and set to *release*:
  - 3> from the next gap period, as defined by *gapPeriod*, release the gaps configured for sidelink discovery monitoring previously configured by *discRxGapConfig*;
- 2> if *discTxGapConfig* is included and set to *setup*:
  - 3> from the next gap period, as defined by *gapPeriod*, use the gaps indicated by *discTxGapConfig* for sidelink discovery announcement, as specified in 5.10.6;
- 2> else if *discTxGapConfig* is included and set to *release*:
  - 3> from the next gap period, as defined by *gapPeriod*, release the gaps configured for sidelink discovery announcement previously configured by *discTxGapConfig*;
- 2> if discSysInfoToReportConfig is included and set to setup:
  - 3> start timer T370 with the timer value set to 60s;
- 2> else if *discSysInfoToReportConfig* is included and set to *release*:
  - 3> stop timer T370 and release discSysInfoToReportConfig;

### 5.3.10.15a V2X sidelink Communication dedicated configuration

- 1> if the RRCConnectionReconfiguration message includes the sl-V2X-ConfigDedicated:
  - 2> if *commTxResources* is included and set to *setup*:
    - 3> use the resources indicated by *commTxResources* for V2X sidelink communication transmission, as specified in 5.10.13;
    - 3> perform CBR measurement on the transmission resource pool indicated in *commTxResources* for V2X sidelink communication transmission, as specified in 5.5.3;
  - 2> else if *commTxResources* is included and set to *release*:
    - 3> release the resources allocated for V2X sidelink communication transmission previously configured by *commTxResources*;
  - 2> if *v2x-InterFreqInfoList* is included:
    - 3> use the synchronization configuration and resource configuration parameters for V2X sidelink communication on frequencies included in *v2x-InterFreqInfoList*, as specified in 5.10.13;
    - 3> perform CBR measurement on the transmission resource pool indicated in *v2x-InterFreqInfoList* for V2X sidelink communication transmission, as specified in 5.5.3;
- 1> if the RRCConnectionReconfiguration message includes the mobilityControlInfoV2X:
  - 2> if v2x-CommRxPool is included:

- 3> use the resources indicated by *v2x-CommRxPool* for V2X sidelink communication reception, as specified in 5.10.12;
- 2> if *v2x-CommTxPoolExceptional* is included:
  - 3> use the resources indicated by *v2x-CommTxPoolExceptional* for V2X sidelink communication transmission, as specified in 5.10.13;
  - 3> perform CBR measurement on the transmission resource pool indicated by *v2x-CommTxPoolExceptional* for V2X sidelink communication transmission, as specified in 5.5.3;

# 5.3.10.16 T370 expiry

The UE shall:

- 1> if T370 expires:
  - 2> release discSysInfoToReportConfig;

### 5.3.10.17 SRB release

The UE shall:

- 1> for each *srb-Identity* value included in *srb-ToReleaseList* or in *srb-ToReleaseListSCG* that is part of the current UE configuration:
  - 2> if the SRB configuration does not include an E-UTRA PDCP entity (release the SCG RLC bearer configuration):
    - 3> re-establish the RLC entity as specified in TS 36.322 [7] for this SRB;
    - 3> configure the E-UTRA PDCP entity to deactivate duplication;
  - 2> release the RLC entity or entities;
  - 2> release the DCCH logical channel;
  - 2> if *srb-Identity* value is set to 4, release the PDCP entity;

# 5.3.10.18 Scheduling Request Configuration for NB-IoT

The UE shall:

- 1> apply *sr-WithHARQ-ACK-Config*, if included;
- 1> apply *sr-WithoutHARQ-ACK-Config*, if included;
- 1> if *sr-SPS-BSR-Config* is included:
  - 2> instruct lower layers to clear existing configured uplink grants for BSR (if any);
  - 2> apply *sr-SPS-BSR-Config*.

# 5.3.10.19 NE-DC release

- 1> if NE-DC release is triggered by NR:
  - 2> reset SCG MAC, if configured;
  - 2> for each RLC bearer that is part of the SCG configuration:
    - 3> perform RLC bearer release procedure as specified in 5.3.10.17 (SRBs) and in 5.3.10.2 (DRBs);

- 2> release the measurement configuration;
- 2> release the SCG configuration i.e. release the MAC and physical configuration for each cell that is part of the SCG configuration;
- 2> stop timer T313 for the corresponding PSCell, if running;
- 2> stop timer T307 for the corresponding PSCell, if running.

NOTE: Upon NE-DC release the UE releases all fields configured by the *RRCConnectionReconfiguration* message.

### 5.3.11 Radio link failure related actions

# 5.3.11.1 Detection of physical layer problems in RRC\_CONNECTED

The UE shall:

- 1> if any DAPS bearer is configured, upon receiving N310 consecutive "out-of-sync" indications for the source PCell from lower layers and T304 is running:
  - 2> start timer T310 for the source PCell:
- 1> upon receiving N310 consecutive "out-of-sync" indications for the PCell from lower layers while neither T300, T301, T304, T311, nor T316 is running:
  - 2> start timer T310;
- 1> upon receiving N313 consecutive "out-of-sync" indications for the PSCell from lower layers while T307 is not running:
  - 2> start T313;

NOTE: Physical layer monitoring and related autonomous actions do not apply to SCells except for the PSCell.

# 5.3.11.1a Early detection of physical layer problems in RRC\_CONNECTED

The UE shall:

- 1> upon receiving N310 consecutive "early-out-of-sync" indications for the PCell from lower layers:
  - 2> start timer T314 with the timer value set to the value of T310;

# 5.3.11.1b Detection of physical layer improvements in RRC\_CONNECTED

The UE shall:

- 1> upon receiving N311 consecutive "early-in-sync" indications for the PCell from lower layers:
  - 2> start timer T315 with the timer value set to the value of T310;

### 5.3.11.2 Recovery of physical layer problems

Upon receiving N311 consecutive "in-sync" indications for the PCell from lower layers while T310 is running, the UE shall:

- 1> stop timer T310;
- 1> stop timer T312, if running;
- NOTE 1: In this case, the UE maintains the RRC connection without explicit signalling, i.e. the UE maintains the entire radio resource configuration.

NOTE 2: Periods in time where neither "in-sync" nor "out-of-sync" is reported by layer 1 do not affect the evaluation of the number of consecutive "in-sync" or "out-of-sync" indications.

Upon receiving N314 consecutive "in-sync" indications for the PSCell from lower layers while T313 is running, the UE shall:

1> stop timer T313;

# 5.3.11.2a Recovery of early detection of physical layer problems

Upon receiving N311 consecutive "in-sync" indications for the PCell from lower layers while T314 is running, the UE shall:

1> stop timer T314;

# 5.3.11.2b Cancellation of physical layer improvements in RRC\_CONNECTED

Upon receiving N311 consecutive "in-sync" indications for the PCell from lower layers while T315 is running, the UE shall:

1> stop timer T315;

#### 5.3.11.3 Detection of radio link failure

- 1> in case any DAPS bearer is configured, only the target PCell is considered in the following;
- 1> upon T310 expiry; or
- 1> upon T312 expiry; or
- 1> upon T318 expiry; or
- 1> upon random access problem indication from MCG MAC while neither T300, T301, T304 nor T311 is running; or
- 1> upon indication from MCG RLC, which is allowed to be send on PCell, that the maximum number of retransmissions has been reached for an SRB or DRB:
  - 2> consider radio link failure to be detected for the MCG i.e. RLF;
  - 2> discard any segments of segmented RRC messages received;
  - 2> store the following radio link failure information in the *VarRLF-Report (VarRLF-Report-NB* in NB-IoT) by setting its fields as follows:
    - 3> clear the information included in VarRLF-Report (VarRLF-Report-NB in NB-IoT), if any;
    - 3> set the plmn-IdentityList to include the list of EPLMNs stored by the UE (i.e. includes the RPLMN);
    - 3> set the *measResultLastServCell* to include the RSRP and RSRQ, if available, of the PCell based on measurements collected up to the moment the UE detected radio link failure;
    - 3> except for NB-IoT, set the *measResultNeighCells* to include the best measured cells, other than the PCell, ordered such that the best cell is listed first, and based on measurements collected up to the moment the UE detected radio link failure, and set its fields as follows;
      - 4> if the UE was configured to perform measurements for one or more EUTRA frequencies, include the *measResultListEUTRA*;
      - 4> if the UE was configured to perform measurement reporting for one or more neighbouring UTRA frequencies, include the *measResultListUTRA*;

- 4> if the UE was configured to perform measurement reporting for one or more neighbouring GERAN frequencies, include the *measResultListGERAN*;
- 4> if the UE was configured to perform measurement reporting for one or more neighbouring CDMA2000 frequencies, include the *measResultsCDMA2000*;
- 4> if the UE was configured to perform measurement reporting, not related to NR sidelink communication, for one or more neighbouring NR frequencies, include the *measResultListNR*;
- 4> for each neighbour cell included, include the optional fields that are available;
- NOTE 1: The measured quantities are filtered by the L3 filter as configured in the mobility measurement configuration. The measurements are based on the time domain measurement resource restriction, if configured. Exclude-listed cells are not required to be reported.
  - 3> except for NB-IoT, if available, set the *logMeasResultListWLAN* to include the WLAN measurement results, in order of decreasing RSSI for WLAN APs;
  - 3> except for NB-IoT, if available, set the *logMeasResultListBT* to include the Bluetooth measurement results, in order of decreasing RSSI for Bluetooth beacons;
  - 3> if detailed location information is available, set the content of the *locationInfo* as follows:
    - 4> include the *locationCoordinates*;
    - 4> include the *horizontalVelocity*, if available;
  - 3> set the *failedPCellId* to the global cell identity, if available, and otherwise, except for NB-IoT, to the physical cell identity and carrier frequency of the PCell where radio link failure is detected;
  - 3> except for NB-IoT, set the *tac-FailedPCell* to the tracking area code, if available, of the PCell where radio link failure is detected;
  - 3> except for NB-IoT, if an RRCConnectionReconfiguration message including the mobilityControlInfo was received before the connection failure:
    - 4> if the last RRCConnectionReconfiguration message including the mobilityControlInfo concerned an intra E-UTRA handover:
      - 5> include the *previousPCellId* and set it to the global cell identity of the PCell where the last *RRCConnectionReconfiguration* message including *mobilityControlInfo* was received;
      - 5> set the *timeConnFailure* to the elapsed time since reception of the last *RRCConnectionReconfiguration* message including the *mobilityControlInfo*;
    - 4> if the last *RRCConnectionReconfiguration* message including the *mobilityControlInfo* concerned a handover to E-UTRA from UTRA and if the UE supports Radio Link Failure Report for Inter-RAT MRO:
      - 5> include the *previousUTRA-CellId* and set it to the physical cell identity, the carrier frequency and the global cell identity, if available, of the UTRA Cell in which the last *RRCConnectionReconfiguration* message including *mobilityControlInfo* was received;
      - 5> set the *timeConnFailure* to the elapsed time since reception of the last *RRCConnectionReconfiguration* message including the *mobilityControlInfo*;
    - 4> if the last *RRCConnectionReconfiguration* message including the *mobilityControlInfo* concerned a handover to E-UTRA from NR and if the UE supports Radio Link Failure Report for Inter-RAT MRO NR:
      - 5> include the *previousNR-PCellId* and set it to the global cell identity of the PCell where the last *RRCConnectionReconfiguration* message including *mobilityControlInfo* was received embedded in NR RRC message *MobilityFromNRCommand* message as specified in TS 38.331 [82] clause 5.4.3.3;

- 5> set the *timeConnFailure* to the elapsed time since reception of the last *RRCConnectionReconfiguration* message including the *mobilityControlInfo* embedded in NR RRC message *MobilityFromNRCommand* message as specified in TS 38.331 [82] clause 5.4.3.3.
- 3> except for NB-IoT, if the UE supports QCI1 indication in Radio Link Failure Report and has a DRB for which QCI is 1:
  - 4> include the *drb-EstablishedWithQCI-1*;
- 3> except for NB-IoT, set the *connectionFailureType* to rlf;
- 3> except for NB-IoT, set the *c-RNTI* to the C-RNTI used in the PCell;
- 3> except for NB-IoT, set the *rlf-Cause* to the trigger for detecting radio link failure;
- 2> if the UE is configured with (NG)EN-DC; and
- 2> if T316 is configured; and
- 2> if SCG transmission is not suspended; and
- 2> if the SCG is not deactivated; and
- 2> if neither NR PSCell change nor NR PSCell addition is ongoing (i.e. T304 for the NR PSCell is not running as specified in TS 38.331 [82], clause 5.3.5.5.2, in (NG)EN-DC):
  - 3> initiate the MCG failure information procedure as specified in 5.6.26 to report MCG radio link failure;
- 2> else:
  - 3> if AS security has not been activated:
    - 4> if the UE is a NB-IoT UE:
      - 5> if the UE is connected to EPC and the UE supports RRC connection re-establishment for the Control Plane CIoT EPS optimisation; or
      - 5> if the UE is connected to 5GC, the UE supports RRC connection re-establishment for the Control Plane CIoT 5GS optimisation and the UE is configured with a truncated 5G-S-TMSI:
        - 6> initiate the RRC connection re-establishment procedure as specified in 5.3.7;
      - 5> else:
        - 6> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'RRC connection failure';
    - 4> else:
      - 5> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'other':
  - 3> else:
    - 4> initiate the connection re-establishment procedure as specified in 5.3.7;

In case of DC or NE-DC, the UE shall:

- 1> upon T313 expiry; or
- 1> upon random access problem indication from SCG MAC; or
- 1> upon indication from SCG RLC, which is allowed to be sent on PSCell, that the maximum number of retransmissions has been reached for an SCG, for a split DRB or for a split SRB:
  - 2> consider radio link failure to be detected for the SCG i.e. SCG-RLF;
  - 2> if the UE is configured with DC; or

- 2> if the UE is configured with NE-DC and MCG transmission is not suspended:
  - 3> initiate the SCG failure information procedure as specified in 5.6.13 to report SCG radio link failure;
- 2> else:
  - 3> initiate the connection re-establishment procedure as specified in TS 38.331 [82], clause 5.3.7.

In case of CA PDCP duplication, the UE shall:

- 1> upon indication from an RLC entity, which is restricted to be sent on SCell only, that the maximum number of retransmissions has been reached:
  - 2> initiate the failure information procedure as specified in 5.6.21 to report RLC failure of type duplication;

If any DAPS bearer is configured and T304 is running, the UE shall:

- 1> upon T310 expiry for the source PCell; or
- 1> upon random access problem indication from source MCG MAC; or
- 1> upon indication from source MCG RLC, which is allowed to be sent on source PCell, that the maximum number of retransmissions has been reached for an DRB:
  - 2> consider radio link failure to be detected for the source MCG:
  - 2> suspend the transmission of all DRBs in the source MCG;
  - 2> reset MAC for the source MCG;
  - 2> release the source connection;

The UE may discard the radio link failure information, i.e. release the UE variable *VarRLF-Report (VarRLF-Report-NB* in NB-IoT), 48 hours after the radio link failure is detected, upon power off or upon detach, and for NB-IoT, upon entering another RAT.

### 5.3.11.3a Detection of early-out-of-sync event

The UE shall:

- 1> upon T314 expiry;
  - 2> consider "early-out-of-sync" event to be detected and initiate transmission of the *UEAssistanceInformation* message in accordance with 5.6.10;

# 5.3.11.3b Detection of early-in-sync event

The UE shall:

- 1> upon T315 expiry;
  - 2> consider "early-in-sync" event to be detected and initiate transmission of the *UEAssistanceInformation* message in accordance with 5.6.10;

# 5.3.12 UE actions upon leaving RRC\_CONNECTED or RRC\_INACTIVE

Upon leaving RRC\_CONNECTED or RRC\_INACTIVE, the UE shall:

- 1> reset MAC;
- 1> if leaving RRC\_INACTIVE was not triggered by the reception of *RRCConnectionRelease* including *idleModeMobilityControlInfo* or *altFreqPriorities*:
  - 2> stop the timer T320 and T323, if running;
  - 2> if stored, discard the cell reselection priority information provided by the idleModeMobilityControlInfo;

- 2> if stored, discard the *altFreqPriorities* provided by the *RRCConnectionRelease*;
- 1> if entering RRC\_IDLE was triggered by reception of the RRCConnectionRelease message including a waitTime:
  - 2> start timer T302, with the timer value set according to the *waitTime*;
  - 2> inform the upper layer that access barring is applicable for all access categories except categories '0' and '2';
- 1> else if T302 is running:
  - 2> stop timer T302;
  - 2> if the UE is connected to 5GC:
    - 3> perform the actions as specified in 5.3.16.4;
- 1> if T309 is running:
  - 2> stop timer T309 for all access categories;
  - 2> perform the actions as specified in 5.3.16.4.
- 1> stop all timers that are running except T302, T320, T322, T323, T325, T330, T331;
- 1> release crs-ChEstMPDCCH-ConfigDedicated, if configured;
- 1> if leaving RRC\_CONNECTED was triggered by suspension of the RRC:
  - 2> re-establish RLC entities for all SRBs and DRBs, including RBs configured with NR PDCP;
  - 2> remove all entries within VarConditionalReconfiguration, if any;
  - 2> for each *measId*, that is part of the current UE configuration in *VarMeasConfig*, if the associated *reportConfig* has *condReconfigurationTriggerEUTRA/condReconfigurationTriggerNR* configured:
    - 3> remove the entry with the matching reportConfigId from the reportConfigList within the VarMeasConfig;
    - 3> if the associated *measObjectId* is only associated with *condReconfigurationTriggerEUTRA/condReconfigurationTriggerNR*:
      - 4> remove the entry with the matching *measObjectId* from the *measObjectList* within the *VarMeasConfig*;
    - 3> remove the entry with the matching measId from the measIdList within the VarMeasConfig;
  - 2> store the UE AS Context including the current RRC configuration, the current security context, the PDCP state including ROHC state, C-RNTI used in the source PCell, the *cellIdentity* and the physical cell identity of the source PCell, and the *spCellConfigCommon* within *ReconfigurationWithSync* of the PSCell (if configured);
  - 2> store the following information provided by E-UTRAN:
    - 3> if the UE connected to 5GC is a BL UE or UE in CE:
      - 4> the *fullI-RNTI*, if present;
      - 4> the *shortI-RNTI*, if present;
    - 3> else:
      - 4> the resumeIdentity;
    - 3> the *nextHopChainingCount*, if present. Otherwise discard any stored *nextHopChainingCount* that does not correspond to stored key K<sub>RRCint</sub>;
    - 3> the *drb-ContinueROHC*, if present. Otherwise discard any stored *drb-ContinueROHC*;
  - 2> suspend all SRB(s) and DRB(s), including RBs configured with NR PDCP, except SRB0;

- 2> if the UE connected to 5GC is a BL UE or UE in CE, indicate PDCP suspend to lower layers of all DRBs;
- 2> if the UE is connected to 5GC:
  - 3> indicate the idle suspension of the RRC connection to upper layers;
- 2> else:
  - 3> indicate the suspension of the RRC connection to upper layers;
- 2> configure lower layers to suspend integrity protection and ciphering;
- NOTE 1: Except when resuming an RRC connection after early security reactivation in accordance with conditions in 5.3.3.18, ciphering is not applied for the subsequent *RRCConnectionResume* message used to resume the connection and an integrity check is performed by lower layers, but merely upon request from RRC.
- 1> else:
  - 2> upon leaving RRC\_INACTIVE:
    - 3> discard the UE Inactive AS context;
    - 3> discard the  $K_{eNB}$ , the  $K_{RRCenc}$  key, the  $K_{RRCint}$  and the  $K_{UPenc}$  key;
  - 2> release rrc-InactiveConfig, if configured;
  - 2> remove all entries within VarConditionalReconfiguration, if any;
  - 2> for each *measId*, that is part of the current UE configuration in *VarMeasConfig*, if the associated *reportConfig* has *condReconfigurationTriggerEUTRA/condReconfigurationTriggerNR* configured:
    - 3> remove the entry with the matching reportConfigId from the reportConfigList within the VarMeasConfig;
    - 3> if the associated *measObjectId* is only associated with *condReconfigurationTriggerEUTRA/condReconfigurationTriggerNR*:
      - 4> remove the entry with the matching *measObjectId* from the *measObjectList* within the *VarMeasConfig*;
    - 3> remove the entry with the matching *measId* from the *measIdList* within the *VarMeasConfig*;
  - 2> release all radio resources, including release of the MAC configuration, the RLC entity and the associated PDCP entity and SDAP (if any) for all established RBs, except for the following:
    - pur-Config, if stored;
  - 2> indicate the release of the RRC connection to upper layers together with the release cause;
- 1> release the stored *serviceType*, if any;
- 1> inform upper layers to clear the stored application layer measurement configuration;
- 1> discard received application layer measurement report information from upper layers, if any;
- 1> consider itself not to be configured to send application layer measurement report;
- 1> if leaving RRC\_CONNECTED was triggered neither by reception of the *MobilityFromEUTRACommand* message nor by selecting an inter-RAT cell while T311 was running; or
- 1> if leaving RRC\_INACTIVE was not triggered by the inter-RAT cell reselection:
  - 2> if timer T350 is configured:
    - 3> start timer T350;
    - 3> apply *rclwi-Configuration* if configured, otherwise apply the *wlan-Id-List* corresponding to the RPLMN included in *SystemInformationBlockType17*;

- 2> else:
  - 3> release the wlan-OffloadConfigDedicated, if received;
  - 3> if the wlan-OffloadConfigCommon corresponding to the RPLMN is broadcast by the cell:
    - 4> apply the *wlan-OffloadConfigCommon* corresponding to the RPLMN included in *SystemInformationBlockType17*;
    - 4> apply *steerToWLAN* if configured, otherwise apply the *wlan-Id-List* corresponding to the RPLMN included in *SystemInformationBlockType17*;
- 2> enter RRC\_IDLE and perform procedures as specified in TS 36.304 [4], clause 5.2.7;
- 1> else:
  - 2> release the wlan-OffloadConfigDedicated, if received;
- NOTE 2: BL UEs or UEs in CE verifies validity of SI when released to RRC IDLE.
- 1> discard any segments of segmented RRC messages received;
- 1> release the LWA configuration, if configured, as described in 5.6.14.3;
- 1> release the LWIP configuration, if configured, as described in 5.6.17.3;

# 5.3.13 UE actions upon PUCCH/ SPUCCH/ SRS release request

Upon receiving a PUCCH release request from lower layers, for an indicated serving cell the UE shall:

- 1> apply the default physical channel configuration for cqi-ReportConfig for the indicated serving cell as specified in 9.2.4 and release cqi-ReportConfigSCell, for each SCell that sends HARQ feedback on the indicated serving cell, if any;
- 1> apply the default physical channel configuration for *schedulingRequestConfig* as specified in 9.2.4, for the concerned CG;

Upon receiving a sPUCCH release request from lower layers, the UE shall:

- 1> for each serving cell in the UE configuration:
  - 2> apply the value release to the field schedulingRequest-SPUCCH;

Upon receiving an SRS release request from lower layers, for an indicated serving cell the UE shall:

1> apply the default physical channel configuration for soundingRS-UL-ConfigDedicated, as specified in 9.2.4;

NOTE: Upon PUCCH/ SRS release request, the UE does not modify the *soundingRS-UL-ConfigDedicatedAperiodic* i.e. it does not apply the default for this field (release).

# 5.3.13a UE actions upon SR release request for NB-IoT

Upon receiving a SR release request from lower layers, the UE shall:

- 1> apply the value FALSE for sr-WithHARQ-ACK-Config and release sr-WithHARQ-ACK-Config, if configured;
- 1> apply the value *release* for *sr-WithoutHARQ-ACK-Config* and release *sr-WithoutHARQ-ACK-Config*, if configured;
- 1> apply the value release for sr-SPS-BSR-Config and release sr-SPS-BSR-Config, if configured;

# 5.3.13b UE actions upon PUR release request

Upon receiving a PUR release request from lower layers, the UE shall:

- 1> release *pur-Config*, if configured;
- 1> discard previously stored *pur-Config*, if any.

# 5.3.14 Proximity indication

### 5.3.14.1 General

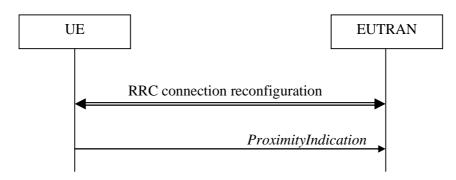


Figure 5.3.14.1-1: Proximity indication

The purpose of this procedure is to indicate that the UE is entering or leaving the proximity of one or more CSG member cells. The detection of proximity is based on an autonomous search function as defined in TS 36.304 [4].

### 5.3.14.2 Initiation

A UE in RRC\_CONNECTED shall:

- 1> if the UE enters the proximity of one or more CSG member cell(s) on an E-UTRA frequency while proximity indication is enabled for such E-UTRA cells; or
- 1> if the UE enters the proximity of one or more CSG member cell(s) on an UTRA frequency while proximity indication is enabled for such UTRA cells; or
- 1> if the UE leaves the proximity of all CSG member cell(s) on an E-UTRA frequency while proximity indication is enabled for such E-UTRA cells; or
- 1> if the UE leaves the proximity of all CSG member cell(s) on an UTRA frequency while proximity indication is enabled for such UTRA cells:
  - 2> if the UE has previously not transmitted a *ProximityIndication* for the RAT and frequency during the current RRC connection, or if more than 5 s has elapsed since the UE has last transmitted a *ProximityIndication* (either entering or leaving) for the RAT and frequency:
    - 3> initiate transmission of the *ProximityIndication* message in accordance with 5.3.14.3;

NOTE: In the conditions above, "if the UE enters the proximity of one or more CSG member cell(s)" includes the case of already being in the proximity of such cell(s) at the time proximity indication for the corresponding RAT is enabled.

# 5.3.14.3 Actions related to transmission of *ProximityIndication* message

The UE shall set the contents of *ProximityIndication* message as follows:

- 1> if the UE applies the procedure to report entering the proximity of CSG member cell(s):
  - 2> set type to entering;
- 1> else if the UE applies the procedure to report leaving the proximity of CSG member cell(s):
  - 2> set type to leaving;

- 1> if the proximity indication was triggered for one or more CSG member cell(s) on an E-UTRA frequency:
  - 2> set the *carrierFreq* to *eutra* with the value set to the E-ARFCN value of the E-UTRA cell(s) for which proximity indication was triggered;
- 1> else if the proximity indication was triggered for one or more CSG member cell(s) on a UTRA frequency:
  - 2> set the *carrierFreq* to *utra* with the value set to the ARFCN value of the UTRA cell(s) for which proximity indication was triggered;

The UE shall submit the *ProximityIndication* message to lower layers for transmission.

### 5.3.15 Void

# 5.3.16 Unified Access Control

### 5.3.16.1 General

The purpose of this procedure is to perform access barring check for an access attempt associated with a given Access Category and one or more Access Identities upon request from upper layers according to TS 24.501 [95] or the RRC layer.

BL UE or UE in CE in RRC\_CONNECTED uses *SystemInformationBlockType25*, if broadcasted, acquired when entering RRC\_CONNECTED or acquired while T311 is running.

Except for BL UE and UE in CE, after a handover resulting in change of PCell in RRC\_CONNECTED the UE shall defer access barring checks until it has obtained valid UAC information (from *SystemInformationBlockType25*) from the target cell if the *SystemInformationBlockType25* is broadcasted. For BL UE or UE in CE after a handover resulting in change of PCell, the UE shall consider systemInformationBlockType25 is not broadcast in the target cell until the UE leaves RRC\_CONNECTED.

In NB-IoT, in RRC\_CONNECTED, the UE uses *MasterInformationBlock-NB / MasterInformationBlock-TDD-NB* and *SystemInformationBlockType14-NB*, if broadcasted, acquired when entering RRC\_CONNECTED or acquired while T311 is running.

### 5.3.16.2 Initiation

Except for NB-IoT, upon initiation of the procedure, the UE shall:

- 1> if T309 is running for the Access Category:
  - 2> consider the access attempt as barred;
- 1> else if timer T302 is running and the Access Category is neither '2' nor '0':
  - 2> consider the access attempt as barred;
- 1> else:
  - 2> if the Access Category is '0':
    - 3> consider the access attempt as allowed;
  - 2> else if *SystemInformationBlockType25* is not broadcasted:
    - 3> consider the access attempt as allowed;
  - 2> else if *ab-PerRSRP* is included:
    - 3> if the establishmentCause received from higher layers is set to a value other than emergency:
      - 4> if *ab-PerRSRP* is set to *thresh0*:
        - 5> consider access to the cell as barred when in enhanced coverage as specified in TS 36.304 [4];

- 4> else if *ab-PerRSRP* is set to *thresh1*:
  - 5> if the measured RSRP is less than the first entry in rsrp-ThresholdsPrachInfoList:
    - 6> consider access to the cell as barred;
  - 5> else:
    - 6> consider that only the resources indicated for the first CE level are configured;
- 4> else if *ab-PerRSRP* is set to *thresh2*:
  - 5> if the measured RSRP is less than the second entry in rsrp-ThresholdsPrachInfoList:
    - 6> consider access to the cell as barred;
  - 5> else:
    - 6> consider that only the resources indicated for the first and second CE levels are configured;
- 4> else if *ab-PerRSRP* is set to *thresh3*:
  - 5> if the measured RSRP is less than the third entry in rsrp-ThresholdsPrachInfoList:
    - 6> consider access to the cell as barred;
  - 5> else:
    - 6> consider that only the resources indicated for the first, second, and third CE levels are configured;
- 2> if the Access Category is not '0', and *SystemInformationBlockType25* is broadcasted, and access to the cell is not barred due to *ab-PerRSRP*:
  - 3> if *SystemInformationBlockType25* includes *uac-BarringPerPLMN-List* and the *uac-BarringPerPLMN-List* contains an *UAC-BarringPerPLMN* entry with the *plmn-IdentityIndex* corresponding to the PLMN selected by upper layers (see TS 24.501 [95]):
    - 4> select the *UAC-BarringPerPLMN* entry with the *plmn-IdentityIndex* corresponding to the PLMN selected by upper layers;
    - 4> in the remainder of this procedure, use the selected UAC-BarringPerPLMN entry (i.e. presence or absence of access barring parameters in this entry) irrespective of the uac-BarringForCommon included in SystemInformationBlockType25;
  - 3> else if *SystemInformationBlockType25* includes *uac-BarringForCommon*:
    - 4> in the remainder of this procedure use the *uac-BarringForCommon* (i.e. presence or absence of these parameters) included in *SystemInformationBlockType25*;
  - 3> else:
    - 4> consider the access attempt as allowed;
  - 3> if uac-BarringForCommon is applicable or the uac-AC-BarringListType indicated that uac-ExplicitAC-BarringList is used:
    - 4> if the corresponding *UAC-BarringPerCatList* contains a *UAC-BarringPerCat* entry corresponding to the Access Category:
      - 5> select the *UAC-BarringPerCat* entry;
      - 5> if the uac-BarringInfoSetList contain a *UAC-BarringInfoSet* entry corresponding to the *uac-barringInfoSetIndex* in the *UAC-BarringPerCat*:
        - 6> select the *UAC-BarringInfoSet* entry;

- 6> perform access barring check for the Access Category as specified in 5.3.16.5, using the *UAC-BarringInfoSet* as "UAC barring parameter";
- 5> else:
  - 6> consider the access attempt as allowed;
- 4> else:
  - 5> consider the access attempt as allowed;
- 3> else if the *uac-AC-BarringListType* indicated that *uac-ImplicitAC-BarringList* is indicated:
  - 4> select the *uac-BarringInfoSetIndex* corresponding to the Access Category in the *uac-ImplicitACBarringList*;
  - 4> if the *uac-BarringInfoSetList* contain the *UAC-BarringInfoSet* entry corresponding to the selected *uac-BarringInfoSetIndex*:
    - 5> select the *UAC-BarringInfoSet* entry;
    - 5> perform access barring check for the Access Category as specified in 5.3.16.5, using the *UAC-BarringInfoSet* as "UAC barring parameter";
  - 4> else:
    - 5> consider the access attempt as allowed;
- 3> else:
  - 4> consider the access attempt as allowed;
- 1> if the access barring check was requested by upper layers:
  - 2> if the access attempt is considered as barred:
    - 3> if timer T302 is running:
      - 4> if timer T309 is running for Access Category '2':
        - 5> inform the upper layer that access barring is applicable for all access categories except categories '0', upon which the procedure ends;
      - 4> else:
        - 5> inform the upper layer that access barring is applicable for all access categories except categories '0' and '2', upon which the procedure ends;
    - 3> else:
      - 4> inform upper layers that the access attempt for the Access Category is barred, upon which the procedure ends;
  - 2> else:
    - 3> inform upper layers that the access attempt for the Access Category is allowed, upon which the procedure ends;
- 1> else:
  - 2> the procedure ends;
- For NB-IoT, upon initiation of the procedure, the UE shall:
  - 1> if T309 is running for the Access Category:
    - 2> consider the access attempt as barred;

- 1> else:
  - 2> if the Access Category is '0':
    - 3> consider the access attempt as allowed;
  - 2> else if ab-Barring-5GC in MasterInformationBlock-NB / MasterInformationBlock-TDD-NB is set to FALSE:
    - 3> consider the access attempt as allowed;
  - 2> else:
    - 3> if SystemInformationBlockType14-NB includes uac-BarringCommon:
      - 4> in the remainder of this procedure, use the *UAC-BarringCommon* as *UAC-Barring*;
    - 3> else if *SystemInformationBlockType14-NB* includes *uac-BarringPerPLMN-List* and the *uac-BarringPerPLMN-List* contains an *UAC-Barring* entry with the *plmn-IdentityIndex* corresponding to the PLMN selected by upper layers (see TS 24.501 [95]):
      - 4> select the *UAC-Barring* entry with the *plmn-IdentityIndex* corresponding to the PLMN selected by upper layers;
      - 4> in the remainder of this procedure, use the selected *UAC-Barring* entry as *UAC-Barring*;
    - 3> else:
      - 4> consider the access attempt as allowed;
    - 3> if *UAC-Barring* is applicable:
      - 4> if one or more Access Identities are indicated according to TS 24.501 [95]; and
      - 4> if for at least one of these Access Identities the corresponding bit in the *uac-BarringForAccessIdentity* is set to zero:
        - 5> consider the access attempt as allowed;
      - 4> else if the *UAC-BarringPerCatList* contains a *UAC-BarringPerCat* entry corresponding to the Access Category:
        - 5> select the *UAC-BarringPerCat* entry;
          - 6> perform access barring check for the Access Category as specified in 5.3.16.5, using the *uac-BarringForAccessIdentity* and the *UAC-BarringPetCat* entry as "UAC barring parameter";
        - 5> else:
          - 6> consider the access attempt as allowed;
- 1> if the access barring check was requested by upper layers:
  - 2> if the access attempt is considered as barred:
    - 3> inform upper layers that the access attempt for the Access Category is barred, upon which the procedure ends;
  - 2> else:
    - 3> inform upper layers that the access attempt for the Access Category is allowed, upon which the procedure ends:
- 1> else:
  - 2> the procedure ends;

### 5.3.16.3 Void

# 5.3.16.4 T302, T309 expiry or stop (Barring alleviation)

Except for NB-IoT, if the UE is connected to 5GC, the UE shall:

- 1> if timer T302 expires or is stopped:
  - 2> for each Access Category for which T309 is not running:
    - 3> consider the barring for this Access Category to be alleviated:
- 1> else if timer T309 corresponding to an Access Category other than '2' expires or is stopped, and if timer T302 is not running:
  - 2> consider the barring for this Access Category to be alleviated;
- 1> else if timer T309 corresponding to the Access Category '2' expires or is stopped:
  - 2> consider the barring for this Access Category to be alleviated;
- 1> When barring for an access category is considered being alleviated:
  - 2> if the Access Category was informed to upper layers as barred:
    - 3> inform upper layers about barring alleviation for the Access Category;
  - 2> if barring is alleviated for Access Category '8'; or
  - 2> if barring is alleviated for Access Category '2':
    - 3> perform actions specified in 5.3.17;

For NB-IoT, if the UE is connected to 5GC, the UE shall:

- 1> if timer T309 expires or is stopped for one Access Category:
  - 2> consider the barring for this Access Category to be alleviated;
  - 2> if the Access Category was informed to upper layers as barred:
    - 3> inform upper layers about barring alleviation for the Access Category;

# 5.3.16.5 Access barring check

- 1> if one or more Access Identities equal to 1, 2, 11, 12, 13, 14, or 15 are indicated according to TS 24.501 [95], and
- 1> if for at least one of these Access Identities the corresponding bit in the *uac-BarringForAccessIdentity* contained in "UAC barring parameter" is set to *zero*:
  - 2> consider the access attempt as allowed;
- 1> else:
  - 2> if the establishment of the RRC connection is the result of relase with redirect with *mpsPriorityIndication* (either in NR or E-UTRAN); and
  - 2> if the bit corresponding to Access Identity 1 in the *uac-BarringForAccessIdentity* contained in the "UAC barring parameter" is set to *zero*:
    - 3> consider the access attempt as allowed;
  - 2> else if Access Identity 3 is indicated:

- 3> draw a random number 'rand' uniformly distributed in the range:  $0 \le \text{rand} < 1$ ;
- 3> if 'rand' is lower than the value indicated by uac-BarringFactorForAI3 included in "UAC barring parameter":
  - 4> consider the access attempt as allowed;
- 3> else:
  - 4> consider the access attempt as barred;
- 2> else:
  - 3> draw a random number 'rand' uniformly distributed in the range:  $0 \le rand < 1$ ;
  - 3> if 'rand' is lower than the value indicated by uac-BarringFactor included in "UAC barring parameter":
    - 4> consider the access attempt as allowed;
  - 3> else:
    - 4> consider the access attempt as barred;
- 1> if the access attempt is considered as barred:
  - 2> draw a random number 'rand' that is uniformly distributed in the range  $0 \le rand < 1$ ;
  - 2> start timer T309 for the Access Category with the timer value calculated as follows, using the *uac-BarringTime* included in "UAC barring parameter":

"Tbarring" = (0.7 + 0.6 \* rand) \* uac-BarringTime;

# 5.3.17 RAN notification area update

### 5.3.17.1 General

The purpose of this procedure is:

- to notify the network that a UE in RRC\_INACTIVE has re-selected to a cell not belonging to the configured RAN notification area; or
- to periodically notify the network by a UE in RRC\_INACTIVE;

### 5.3.17.2 Initiation

When in RRC\_INACTIVE state, the UE shall:

- 1> if T380 expires, or:
- 1> if RNA Update is triggered at reception of *SystemInformationBlockType1*, as specified in 5.2.2.7:
  - 2> initiate RRC connection resume procedure in 5.3.3 with cause value set to 'rna-Update';
- 1> if barring is alleviated for Access Category '8' or Access Category '2', as specified in 5.3.16.4:
  - 2> if upper layers do not request RRC the resumption of an RRC connection, and
  - 2> if the variable *pendingRnaUpdate* is set to 'TRUE':
    - 3> initiate RRC connection resume procedure in 5.3.3 with cause value set to 'rna-Update';

If the UE in RRC\_INACTIVE state fails to find a suitable cell and camps on the acceptable cell to obtain limited service as defined in TS 36.304 [4], the UE shall:

1> perform the actions upon leaving RRC\_INACTIVE as specified in 5.3.12 with release cause 'other'.

# 5.3.17.3 Inter RAT cell reselection or CN type change

Upon reselecting to an inter-RAT cell or to another CN type, the UE shall:

1> perform the actions upon leaving RRC\_INACTIVE as specified in 5.3.12, with release cause 'other'.

# 5.3.18 T317 expiry

The UE shall:

- 1> if in RRC\_CONNECTED:
  - 2> inform lower layers that the UL synchronisation is lost;
  - 2> start timer T318;
  - 2> acquire SystemInformationBlockType31 (SystemInformationBlockType31-NB in NB-IoT) as specified in 5.2.2:
  - 2> upon successful acquisition of *SystemInformationBlockType31* (*SystemInformationBlockType31-NB* in NB-IoT):
    - 3> stop timer T318;
    - 3> inform lower layers when UL synchronisation is restored.
- NOTE 1: *SystemInformationBlockType31* (*SystemInformationBlockType31-NB* in NB-IoT) may be broadcast on a different narrowband or different NB-IoT carrier than the one configured to the UE.
- NOTE 2: The exact time when UL synchronisation is restored (after *SystemInformationBlockType31* or *SystemInformationBlockType31-NB* in NB-IoT is acquired) is left to UE implementation, which can be from the subframe indicated by *epochTime* and optionally before the subframe indicated by *epochTime*.

# 5.4 Inter-RAT mobility

# 5.4.1 Introduction

The general principles of connected mode mobility are described in 5.3.1.3. The general principles of the security handling upon connected mode mobility are described in 5.3.1.2.

For the (network controlled) inter RAT mobility from E-UTRA for a UE in RRC\_CONNECTED, a single procedure is defined that supports both handover, cell change order with optional network assistance (NACC) and enhanced CS fallback to CDMA2000 1xRTT. The same procedure also supports inter-system handover between E-UTRA/EPC and E-UTRA/5GC. In case of mobility to CDMA2000, the eNB decides when to move to the other RAT while the target RAT determines to which cell the UE shall move.

# 5.4.2 Handover to E-UTRA

### 5.4.2.1 General

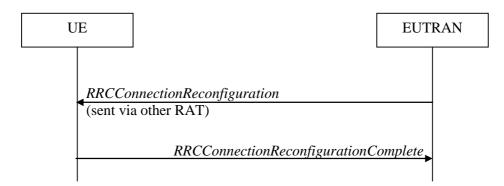


Figure 5.4.2.1-1: Handover to E-UTRA, successful

The purpose of this procedure is to, under the control of the network, transfer a connection between the UE and another Radio Access Network (e.g. GERAN, UTRAN or NR) to E-UTRAN, or transfer a connection between the UE and the E-UTRAN with one type of CN to the E-UTRAN with a different type of CN.

The handover to E-UTRA procedure applies when SRBs, possibly in combination with DRBs, are established in another RAT or in E-UTRA connected to another type of CN. Handover from UTRAN to E-UTRAN applies only after integrity has been activated in UTRAN. Handover to E-UTRA connected to a different type of CN applies only after integrity has been activated in E-UTRAN. Handover from NR to E-UTRAN applies only after integrity has been activated in NR.

#### 5.4.2.2 Initiation

The RAN using another RAT or the E-UTRA connected to a different type of CN initiates the handover to E-UTRA procedure, in accordance with the specifications applicable for the other RAT or for the E-UTRA connected to a different type of CN, by sending the *RRCConnectionReconfiguration* message via the radio access technology from which the inter-RAT handover is performed.

E-UTRAN applies the procedure as follows:

- to activate ciphering, possibly using NULL algorithm, if not yet activated in the other RAT or in the E-UTRA connected to a different type of CN;
- to establish SRB1, SRB2 and one or more DRBs, i.e. at least the DRB associated with the default EPS bearer is established if the target CN is EPC and at least one DRB is established if the target CN is 5GC.

### 5.4.2.3 Reception of the *RRCConnectionReconfiguration* by the UE

If the UE is able to comply with the configuration included in the *RRCConnectionReconfiguration* message, the UE shall:

- 1> if the *RRCConnectionReconfiguration* message does not include the *fullConfig* and the UE is connected to 5GC (i.e., delta signalling during intra 5GC handover):
  - 2> re-use the source SDAP and PDCP configurations (i.e., current SDAP/PDCP configurations for all RBs from source RAT prior to the reception of the inter-RAT handover *RRCConnectionReconfiguration* message);
- 1> if the *RRCConnectionReconfiguration* message includes the *fullConfig* and the source RAT was E-UTRA (i.e., intra-RAT inter-system handover):
  - 2> except the MCG C-RNTI, release/ clear all current dedicated radio resources and configurations, including all SDAP (if configured), PDCP, RLC, logical channel configurations for the DRBs and the logged measurement configuration (if configured);
  - 2> release/ clear all current common radio configurations;

- 2> for each srb-Identity value included in the srb-ToAddModList (SRB reconfiguration):
  - 3> apply the specified configuration defined in 9.1.2 for the corresponding SRB;
  - 3> apply the corresponding default RLC configuration for the SRB specified in 9.2.1.1 for SRB1 or in 9.2.1.2 for SRB2;
  - 3> apply the corresponding default logical channel configuration for the SRB as specified in 9.2.1.1 for SRB1 or in 9.2.1.2 for SRB2;
  - 3> if the handoverType in securityConfigHO is set to fivegc-ToEPC (i.e, the UE is connecting to EPC):
    - 4> release the PDCP entity and establish it with an E-UTRA PDCP entity;
  - 3> else if the handoverType in securityConfigHO is set to epc-To5GC (i.e., the UE is connecting to 5GC):
    - 4> release the PDCP entity and establish it with an NR PDCP and apply the corresponding default PDCP configuration for the SRB as specified in TS 38.331 [82], clause 9.2.1;
  - 3> associate the RLC bearer of this SRB with the established PDCP entity;
- 1> apply the default physical channel configuration as specified in 9.2.4;
- 1> apply the default semi-persistent scheduling configuration as specified in 9.2.3;
- 1> apply the default MAC main configuration as specified in 9.2.2;
- 1> start timer T304 with the timer value set to t304, as included in the mobilityControlInfo;
- 1> consider the target PCell to be one on the frequency indicated by the *carrierFreq* with a physical cell identity indicated by the *targetPhysCellId*;
- 1> start synchronising to the DL of the target PCell;
- 1> set the C-RNTI to the value of the *newUE-Identity*;
- 1> for the target PCell, apply the downlink bandwidth indicated by the *dl-Bandwidth*;
- 1> for the target PCell, apply the uplink bandwidth indicated by (the absence or presence of) the ul-Bandwidth;
- 1> configure lower layers in accordance with the received *radioResourceConfigCommon*;
- 1> configure lower layers in accordance with any additional fields, not covered in the previous, if included in the received *mobilityControlInfo*;
- 1> perform the radio resource configuration procedure as specified in 5.3.10.0;
- 1> if the *handoverType* in *securityConfigHO* is set to *fivegc-ToEPC*:
  - 2> indicate to higher layer that the CN has changed from 5GC to EPC;
  - 2> derive the key K<sub>eNB</sub> based on the mapped K<sub>ASME</sub> key as specified for interworking between EPS and 5GS in TS 33.501 [86];
  - 2> store the *nextHopChainingCount-r15* value;
- 1> else if the *handoverType* in *securityConfigHO* is set to *intra5GC*:
  - 2> if the keyChangeIndicator-r15 received in the securityConfigHO is set to TRUE:
    - 3> forward nas-Container to the upper layers, if included;
    - 3> update the K<sub>eNB</sub> key based on the K<sub>AMF</sub> key, as specified in TS 33.501 [86];
  - 2> else:
    - 3> update the K<sub>eNB</sub> key based on the current K<sub>gNB</sub> or the NH, using the *nextHopChainingCount-r15* value indicated in the *SecurityConfigHO*, as specified in TS 33.501 [86];

- 2> store the *nextHopChainingCount-r15* value;
- 1> else if the *handoverType* in *securityConfigHO* is set to *epc-To5GC*:
  - 2> forward the *nas-Container* to the upper layers;
  - 2> derive the K<sub>eNB</sub> key, as specified in TS 33.501 [86];
- 1> else:
  - 2> forward the *nas-SecurityParamToEUTRA* to the upper layers;
  - 2> derive the K<sub>eNB</sub> key, as specified in TS 33.401 [32];
- 1> derive the K<sub>RRCint</sub> key associated with the *integrityProtAlgorithm*, as specified in TS 33.401 [32];
- 1> derive the K<sub>RRCenc</sub> key and the K<sub>UPenc</sub> key associated with the *cipheringAlgorithm*, as specified in TS 33.401 [32];
- 1> if capable of user plane integrity protection:
  - 2> derive the K<sub>UPint</sub> key associated with the *integrityProtAlgorithm*, as specified in TS 33.401 [32];
- 1> if the received *RRCConnectionReconfiguration* includes the *sk-Counter*:
  - 2> perform key update procedure as specified in in TS 38.331 [82], clause 5.3.5.7;
- 1> if the received RRCConnectionReconfiguration includes the nr-SecondaryCellGroupConfig:
  - 2> perform NR RRC Reconfiguration as specified in TS 38.331 [82], clause 5.3.5.3;
- 1> if the received RRCConnectionReconfiguration includes the nr-RadioBearerConfig1:
  - 2> perform radio bearer configuration as specified in TS 38.331 [82], clause 5.3.5.6;
- 1> if the received RRCConnectionReconfiguration includes the nr-RadioBearerConfig2:
  - 2> perform radio bearer configuration as specified in TS 38.331 [82], clause 5.3.5.6;
- 1> if the handoverType in securityConfigHO is set to fivegc-ToEPC or if the handoverType-v1530 is not present:
  - 2> configure lower layers to apply the indicated integrity protection algorithm and the  $K_{RRCint}$  key immediately, i.e. the indicated integrity protection configuration shall be applied to all subsequent messages received and sent by the UE, including the message used to indicate the successful completion of the procedure;
  - 2> configure lower layers to apply the indicated ciphering algorithm, the K<sub>RRCenc</sub> key and the K<sub>UPenc</sub> key immediately, i.e. the indicated ciphering configuration shall be applied to all subsequent messages received and sent by the UE, including the message used to indicate the successful completion of the procedure;
- 1> if the received RRCConnectionReconfiguration includes the sCellToAddModList:
  - 2> perform SCell addition as specified in 5.3.10.3b;
- 1> if the *RRCConnectionReconfiguration* message includes the *measConfig*:
  - 2> perform the measurement configuration procedure as specified in 5.5.2;
- 1> perform the measurement identity autonomous removal as specified in 5.5.2.2a;
- 1> if the RRCConnectionReconfiguration message includes the otherConfig:
  - 2> perform the other configuration procedure as specified in 5.3.10.9;
- 1> if the *RRCConnectionReconfiguration* message includes *wlan-OffloadInfo*:
  - 2> perform the dedicated WLAN offload configuration procedure as specified in 5.6.12.2;
- 1> if the RRCConnectionReconfiguration message includes rclwi-Configuration:
  - 2> perform the WLAN traffic steering command procedure as specified in 5.6.16.2;

- 1> if the RRCConnectionReconfiguration message includes lwa-Configuration:
  - 2> perform the LWA configuration procedure as specified in 5.6.14.2;
- 1> if the RRCConnectionReconfiguration message includes lwip-Configuration:
  - 2> perform the LWIP reconfiguration procedure as specified in 5.6.17.2;
- 1> set the content of RRCConnectionReconfigurationComplete message as follows:
  - 2> if the UE has radio link failure or handover failure information available in *VarRLF-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report*:
    - 3> include rlf-InfoAvailable;
  - 2> if the UE has MBSFN logged measurements available for E-UTRA and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport* and if T330 is not running:
    - 3> include logMeasAvailableMBSFN;
  - 2> else if the UE has logged measurements available for E-UTRA and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:
    - 3> include the *logMeasAvailable*;
    - 3> if Bluetooth measurement results are included in the logged measurements the UE has available:
      - 4> include the *logMeasAvailableBT*;
    - 3> if WLAN measurement results are included in the logged measurements the UE has available:
      - 4> include the *logMeasAvailableWLAN*;
  - 2> if the UE has connection establishment failure information available in *VarConnEstFailReport* and if the RPLMN is equal to *plmn-Identity* stored in *VarConnEstFailReport*:
    - 3> include connEstFailInfoAvailable;
  - 2> if the received RRCConnectionReconfiguration message included nr-SecondaryCellGroupConfig:
    - 3> include scg-ConfigResponseNR in accordance with TS 38.331 [82], clause 5.3.5.3;
- 1> submit the *RRCConnectionReconfigurationComplete* message to lower layers for transmission using the new configuration;
- 1> if the RRCConnectionReconfiguration message does not include rlf-TimersAndConstants set to setup:
  - 2> use the default values specified in 9.2.5 for timer T310, T311 and constant N310, N311;
- 1> if MAC successfully completes the random access procedure:
  - 2> stop timer T304;
  - 2> apply the parts of the CQI reporting configuration, the scheduling request configuration and the sounding RS configuration that do not require the UE to know the SFN of the target PCell, if any;
  - 2> apply the parts of the measurement and the radio resource configuration that require the UE to know the SFN of the target PCell (e.g. measurement gaps, periodic CQI reporting, scheduling request configuration, sounding RS configuration), if any, upon acquiring the SFN of the target PCell;
- NOTE 1: Whenever the UE shall setup or reconfigure a configuration in accordance with a field that is received it applies the new configuration, except for the cases addressed by the above statements.
  - 2> enter E-UTRA RRC\_CONNECTED, upon which the procedure ends;
- NOTE 2: The UE is not required to determine the SFN of the target PCell by acquiring system information from that cell before performing RACH access in the target PCell.

NOTE 3: If the handover is from NR and target CN is 5GC, the delta configuration on PDCP and SDAP can be used for intra-system inter-RAT handover. For other cases, source RAT configuration is not considered when the UE applies the reconfiguration message of target RAT.

# 5.4.2.4 Reconfiguration failure

The UE shall:

- 1> if the UE is unable to comply with (part of) the configuration included in the *RRCConnectionReconfiguration* message or if the upper layers indicate that the *nas-Container* is invalid:
  - 2> if the source RAT is E-UTRA:
    - 3> perform the actions as specified in 5.3.5.5;
  - 2> else:
    - 3> perform the actions defined for this failure case as defined in the specifications applicable for the other RAT;
- NOTE 1: The UE may apply above failure handling also in case the *RRCConnectionReconfiguration* message causes a protocol error for which the generic error handling as defined in 5.7 specifies that the UE shall ignore the message.
- NOTE 2: If the UE is unable to comply with part of the configuration, it does not apply any part of the configuration, i.e. there is no partial success/ failure.

# 5.4.2.5 T304 expiry (handover to E-UTRA failure)

The UE shall:

- 1> upon T304 expiry (handover to E-UTRA failure):
  - 2> if the source RAT is E-UTRA:
    - 3> perform the actions as specified in 5.3.5.6;
  - 2> else:
    - 3> reset MAC:
    - 3> perform the actions defined for this failure case as defined in the specifications applicable for the other RAT;

# 5.4.3 Mobility from E-UTRA

#### 5.4.3.1 General



Figure 5.4.3.1-1: Mobility from E-UTRA, successful

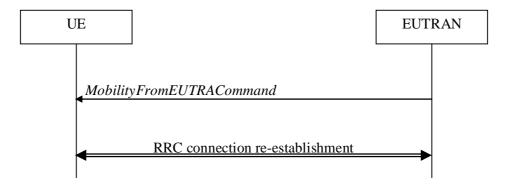


Figure 5.4.3.1-2: Mobility from E-UTRA, failure

The purpose of this procedure is to move a UE in RRC\_CONNECTED to a cell using another Radio Access Technology (RAT), e.g. GERAN, UTRA, CDMA2000 systems, NR, or handover a UE to an E-UTRA cell connected to another type of CN. The mobility from E-UTRA procedure covers the following type of mobility:

- handover, i.e. the *MobilityFromEUTRACommand* message includes radio resources that have been allocated for the UE in the target cell;
- cell change order, i.e. the *MobilityFromEUTRACommand* message may include information facilitating access
  of and/ or connection establishment in the target cell, e.g. system information. Cell change order is applicable
  only to GERAN; and
- enhanced CS fallback to CDMA2000 1xRTT, i.e. the MobilityFromEUTRACommand message includes radio resources that have been allocated for the UE in the target cell. The enhanced CS fallback to CDMA2000 1xRTT may be combined with concurrent handover or redirection to CDMA2000 HRPD.

NOTE: For the case of dual receiver/transmitter enhanced CS fallback to CDMA2000 1xRTT, the DLInformationTransfer message is used instead of the MobilityFromEUTRACommand message (see TS 36.300 [9]).

### 5.4.3.2 Initiation

E-UTRAN initiates the mobility from E-UTRA procedure to a UE in RRC\_CONNECTED, possibly in response to a *MeasurementReport* message, in response to reception of CS fallback indication for the UE from MME, or in response to an *MCGFailureInformation* message by sending a *MobilityFromEUTRACommand* message. E-UTRAN applies the procedure as follows:

- the procedure is initiated only when AS-security has been activated, and SRB2 with at least one DRB are setup and not suspended;
- the procedure is not initiated if any DAPS bearer is configured;

# 5.4.3.3 Reception of the *MobilityFromEUTRACommand* by the UE

The UE shall be able to receive a *MobilityFromEUTRACommand* message and perform a cell change order to GERAN, even if no prior UE measurements have been performed on the target cell.

- 1> stop timer T310, if running;
- 1> stop timer T312, if running;
- 1> if timer T316 is running:
  - 2> stop timer T316;
  - 2> clear the information included in VarRLF-Report, if any;
- 1> if T309 is running:
  - 2> stop timer T309 for all access categories;

- 2> perform the actions as specified in 5.3.16.4.
- 1> if the *MobilityFromEUTRACommand* message includes the *purpose* set to *handover*:
  - 2> if the *targetRAT-Type* is set to *utra* or *geran*:
    - 3> consider inter-RAT mobility as initiated towards the RAT indicated by the *targetRAT-Type* included in the *MobilityFromEUTRACommand* message;
    - 3> forward the *nas-SecurityParamFromEUTRA* to the upper layers;
    - 3> access the target cell indicated in the inter-RAT message in accordance with the specifications of the target RAT;
    - 3> if the *targetRAT-Type* is set to *geran*:
      - 4> use the contents of *systemInformation*, if provided for PS Handover, as the system information to begin access on the target GERAN cell;
- NOTE 1: If there are DRBs for which no radio bearers are established in the target RAT as indicated in the targetRAT-MessageContainer in the message, the E-UTRA RRC part of the UE does not indicate the release of the concerned DRBs to the upper layers. Upper layers may derive which bearers are not established from information received from the AS of the target RAT.
- NOTE 2: In case of SR-VCC, the DRB to be replaced is specified in TS 23.216 [61].
  - 2> else if the *targetRAT-Type* is set to *eutra*:
    - 3> consider inter-system mobility as initiated towards E-UTRA;
    - 3> forward the nas-SecurityParamFromEUTRA to the upper layers, if included;
    - 3> access the target cell indicated in the inter-RAT message in accordance with clause 5.4.2.3;
  - 2> else if the *targetRAT-Type* is set to *nr*:
    - 3> consider inter-RAT mobility as initiated towards NR;
    - 3> access the target cell indicated in the inter-RAT message in accordance with the specifications in TS 38.331 [82];
  - 2> else if the *targetRAT-Type* is set to *cdma2000-1XRTT* or *cdma2000-HRPD*:
    - 3> forward the targetRAT-Type and the targetRAT-MessageContainer to the CDMA2000 upper layers for the UE to access the cell(s) indicated in the inter-RAT message in accordance with the specifications of the CDMA2000 target-RAT;
- 1> else if the MobilityFromEUTRACommand message includes the purpose set to cellChangeOrder:
  - 2> start timer T304 with the timer value set to t304, as included in the MobilityFromEUTRACommand message;
  - 2> if the *targetRAT-Type* is set to *geran*:
    - 3> if networkControlOrder is included in the MobilityFromEUTRACommand message:
      - 4> apply the value as specified in TS 44.060 [36];
    - 3> else:
      - 4> acquire networkControlOrder and apply the value as specified in TS 44.060 [36];
    - 3> use the contents of *systemInformation*, if provided, as the system information to begin access on the target GERAN cell;
  - 2> establish the connection to the target cell indicated in the *CellChangeOrder*;
- NOTE 3: The criteria for success or failure of the cell change order to GERAN are specified in TS 44.060 [36].

- 1> if the MobilityFromEUTRACommand message includes the purpose set to e-CSFB:
  - 2> if *messageContCDMA2000-1XRTT* is present:
    - 3> forward the *messageContCDMA2000-1XRTT* to the CDMA2000 upper layers for the UE to access the cell(s) indicated in the inter-RAT message in accordance with the specification of the target RAT;
  - 2> if mobilityCDMA2000-HRPD is present and is set to handover:
    - 3> forward the *messageContCDMA2000-HRPD* to the CDMA2000 upper layers for the UE to access the cell(s) indicated in the inter-RAT message in accordance with the specification of the target RAT;
  - 2> if mobilityCDMA2000-HRPD is present and is set to redirection:
    - 3> forward the redirectCarrierCDMA2000-HRPD to the CDMA2000 upper layers;
- NOTE 4: When the CDMA2000 upper layers in the UE receive both the *messageContCDMA2000-1XRTT* and *messageContCDMA2000-HRPD* the UE performs concurrent access to both CDMA2000 1xRTT and CDMA2000 HRPD RAT.
- NOTE 5: The UE should perform the handover, the cell change order or enhanced 1xRTT CS fallback as soon as possible following the reception of the RRC message *MobilityFromEUTRACommand*, which could be before confirming successful reception (HARQ and ARQ) of this message.

# 5.4.3.4 Successful completion of the mobility from E-UTRA

Upon successfully completing the handover, the cell change order or enhanced 1xRTT CS fallback, the UE shall:

- 1> if the targetRAT-Type in the received MobilityFromEUTRACommand is set to eutra (intra-E-UTRA inter-system HO):
  - 2> indicate to the upper layers associated to the source system the release of the RRC connection together with the release cause 'other':
  - 2> the procedure ends;
- 1> else if the UE was connected to 5GC prior to the reception of the *MobilityFromEUTRACommand* and the *targetRAT-Type* in the received *MobilityFromEUTRACommand* is set to *nr*:
  - 2> reset MAC;
  - 2> stop all timers that are running except T325, T330;
  - 2> release ran-NotificationAreaInfo, if stored;
  - 2> release the AS security context including the  $K_{RRCenc}$  key, the  $K_{RRCint}$ , the  $K_{UPint}$  key and the  $K_{UPenc}$  key, if stored:
  - 2> release all radio resources, including release of the RLC entity, the MAC configuration and the associated PDCP entity and SDAP entity for all established RBs;
- NOTE 1: PDCP and SDAP configured by the source configurations RAT prior to the handover that are reconfigured and re-used by target RAT when delta signalling (i.e., during inter-RAT intra-system handover when *fullConfig* is not present) is used, are not released as part of this procedure.

1> else:

- 2> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'other';
- NOTE 2: If the UE performs enhanced 1xRTT CS fallback along with concurrent mobility to CDMA2000 HRPD and the connection to either CDMA2000 1xRTT or CDMA2000 HRPD succeeds, then the mobility from E-UTRA is considered successful.

## 5.4.3.5 Mobility from E-UTRA failure

- 1> if T304 configured in the MobilityFromEUTRACommand message expires (mobility from E-UTRA failure); or
- 1> if the UE does not succeed in establishing the connection to the target radio access technology; or
- 1> if the UE is unable to comply with (part of) the configuration included in the *MobilityFromEUTRACommand* message; or
- 1> if there is a protocol error in the inter RAT information included in the *MobilityFromEUTRACommand* message, causing the UE to fail the procedure according to the specifications applicable for the target RAT (i.e. according to clause 5.3.5.6 if the *targetRAT-Type* in the received *MobilityFromEUTRACommand* is set to *eutra*):
  - 2> stop T304, if running;
  - 2> if the *cs-FallbackIndicator* in the *MobilityFromEUTRACommand* message was set to *TRUE* or *e-CSFB* was present:
    - 3> indicate to upper layers that the CS fallback procedure has failed;
  - 2> revert back to the configuration used in the source PCell, excluding the configuration configured by the *physicalConfigDedicated*, *mac-MainConfig* and *sps-Config*;
  - 2> if *MobilityFromEUTRACommand* concerned a failed inter-RAT handover from E-UTRA to NR and if the UE supports Radio Link Failure Report for Inter-RAT MRO NR:
    - 3> store handover failure information in VarRLF-Report according to 5.3.5.6;
  - 2> initiate the connection re-establishment procedure as specified in 5.3.7;

NOTE: For enhanced CS fallback to CDMA2000 1xRTT, the above UE behavior applies only when the UE is attempting the enhanced 1xRTT CS fallback and connection to the target radio access technology fails or if the UE is attempting enhanced 1xRTT CS fallback along with concurrent mobility to CDMA2000 HRPD and connection to both the target radio access technologies fails.

# 5.4.4 Handover from E-UTRA preparation request (CDMA2000)

## 5.4.4.1 General

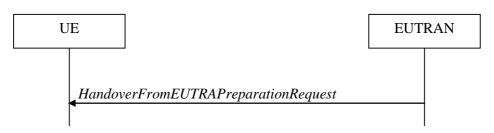


Figure 5.4.4.1-1: Handover from E-UTRA preparation request

The purpose of this procedure is to trigger the UE to prepare for handover or enhanced 1xRTT CS fallback to CDMA2000 by requesting a connection with this network. The UE may use this procedure to concurrently prepare for handover to CDMA2000 HRPD along with preparation for enhanced CS fallback to CDMA2000 1xRTT. This procedure applies to CDMA2000 capable UEs only.

This procedure is also used to trigger the UE which supports dual Rx/Tx enhanced 1xCSFB to redirect its second radio to CDMA2000 1xRTT.

The handover from E-UTRA preparation request procedure applies when signalling radio bearers are established.

### 5.4.4.2 Initiation

E-UTRAN initiates the handover from E-UTRA preparation request procedure to a UE in RRC\_CONNECTED, possibly in response to a *MeasurementReport* message or CS fallback indication for the UE, by sending a *HandoverFromEUTRAPreparationRequest* message. E-UTRA initiates the procedure only when AS security has been activated.

# 5.4.4.3 Reception of the HandoverFromEUTRAPreparationRequest by the UE

Upon reception of the HandoverFromEUTRAPreparationRequest message, the UE shall:

- 1> if *dualRxTxRedirectIndicator* is present in the received message:
  - 2> forward dualRxTxRedirectIndicator to the CDMA2000 upper layers;
  - 2> forward redirectCarrierCDMA2000-1XRTT to the CDMA2000 upper layers, if included;

#### 1> else:

- 2> indicate the request to prepare handover or enhanced 1xRTT CS fallback and forward the *cdma2000-Type* to the CDMA2000 upper layers;
- 2> if *cdma2000-Type* is set to *type1XRTT*:
  - 3> forward the *rand* and the *mobilityParameters* to the CDMA2000 upper layers;
- 2> if *concurrPrepCDMA2000-HRPD* is present in the received message:
  - 3> forward concurrPrepCDMA2000-HRPD to the CDMA2000 upper layers;
- 2> else:
  - 3> forward concurrPrepCDMA2000-HRPD, with its value set to FALSE, to the CDMA2000 upper layers;

# 5.4.5 UL handover preparation transfer (CDMA2000)

## 5.4.5.1 General



Figure 5.4.5.1-1: UL handover preparation transfer

The purpose of this procedure is to tunnel the handover related CDMA2000 dedicated information or enhanced 1xRTT CS fallback related CDMA2000 dedicated information from UE to E-UTRAN when requested by the higher layers. The procedure is triggered by the higher layers on receipt of *HandoverFromEUTRAPreparationRequest* message. If preparing for enhanced CS fallback to CDMA2000 1xRTT and handover to CDMA2000 HRPD, the UE sends two consecutive *ULHandoverPreparationTransfer* messages to E-UTRAN, one per addressed CDMA2000 RAT Type. This procedure applies to CDMA2000 capable UEs only.

## 5.4.5.2 Initiation

A UE in RRC\_CONNECTED initiates the UL handover preparation transfer procedure whenever there is a need to transfer handover or enhanced 1xRTT CS fallback related non-3GPP dedicated information. The UE initiates the UL handover preparation transfer procedure by sending the *ULHandoverPreparationTransfer* message.

# 5.4.5.3 Actions related to transmission of the *ULHandoverPreparationTransfer* message

The UE shall set the contents of the *ULHandoverPreparationTransfer* message as follows:

- 1> include the *cdma2000-Type* and the *dedicatedInfo*;
- 1> if the *cdma2000-Type* is set to *type1XRTT*:

- 2> include the *meid* and set it to the value received from the CDMA2000 upper layers;
- 1> submit the *ULHandoverPreparationTransfer* message to lower layers for transmission, upon which the procedure ends;

# 5.4.5.4 Failure to deliver the *ULHandoverPreparationTransfer* message

The UE shall:

- 1> if the UE is unable to guarantee successful delivery of *ULHandoverPreparationTransfer* messages:
  - 2> inform upper layers about the possible failure to deliver the information contained in the concerned *ULHandoverPreparationTransfer* message;

# 5.4.6 Inter-RAT cell change order to E-UTRAN

### 5.4.6.1 General

The purpose of the inter-RAT cell change order to E-UTRAN procedure is to transfer, under the control of the source radio access technology, a connection between the UE and another radio access technology (e.g. GSM/ GPRS) to E-UTRAN.

### 5.4.6.2 Initiation

The procedure is initiated when a radio access technology other than E-UTRAN, e.g. GSM/GPRS, using procedures specific for that RAT, orders the UE to change to an E-UTRAN cell. In response, upper layers request the establishment of an RRC connection as specified in clause 5.3.3.

NOTE: Within the message used to order the UE to change to an E-UTRAN cell, the source RAT should specify the identity of the target E-UTRAN cell as specified in the specifications for that RAT.

The UE shall:

- 1> upon receiving an RRCConnectionSetup message:
  - 2> consider the inter-RAT cell change order procedure to have completed successfully;

## 5.4.6.3 UE fails to complete an inter-RAT cell change order

If the inter-RAT cell change order fails the UE shall return to the other radio access technology and proceed as specified in the appropriate specifications for that RAT.

The UE shall:

- 1> upon failure to establish the RRC connection as specified in clause 5.3.3:
  - 2> consider the inter-RAT cell change order procedure to have failed;

NOTE: The cell change was network ordered. Therefore, failure to change to the target PCell should not cause the UE to move to UE-controlled cell selection.

# 5.5 Measurements

# 5.5.1 Introduction

For NB-IoT in RRC\_CONNECTED state measurements see clause 5.5.8.

The UE reports measurement information in accordance with the measurement configuration and performs conditional reconfiguration evaluation in accordance with conditional reconfiguration as provided by E-UTRAN. E-UTRAN provides the measurement configuration or the conditional reconfiguration applicable for a UE in RRC\_CONNECTED by means of dedicated signalling, i.e. using the *RRCConnectionReconfiguration* or *RRCConnectionResume* message.

The UE can be requested to perform the following types of measurements:

- Intra-frequency measurements: measurements at the downlink carrier frequency(ies) of the serving cell(s).
- Inter-frequency measurements: measurements at frequencies that differ from any of the downlink carrier frequency(ies) of the serving cell(s).
- Inter-RAT measurements of NR frequencies.
- Inter-RAT measurements of UTRA frequencies.
- Inter-RAT measurements of GERAN frequencies.
- Inter-RAT measurements of CDMA2000 HRPD or CDMA2000 1xRTT or WLAN frequencies.
- CBR measurements for V2X sidelink communication.
- Sensing measurements for V2X sidelink communication.

The measurement configuration includes the following parameters:

- 1. **Measurement objects:** The objects on which the UE shall perform the measurements.
  - For intra-frequency and inter-frequency measurements a measurement object is a single E-UTRA carrier frequency. Associated with this carrier frequency, E-UTRAN can configure a list of cell specific offsets, a list of 'exclude-listed' cells and a list of 'allow-listed' cells. Exclude-listed cells are not considered in event evaluation or measurement reporting.
  - For inter-RAT NR measurements a measurement object is a single NR carrier frequency. Associated with this carrier frequency, E-UTRAN can configure a list of 'exclude-listed' cells. Exclude-listed cells are not considered in event evaluation or measurement reporting.
  - For inter-RAT UTRA measurements a measurement object is a set of cells on a single UTRA carrier frequency.
  - For inter-RAT GERAN measurements a measurement object is a set of GERAN carrier frequencies.
  - For inter-RAT CDMA2000 measurements a measurement object is a set of cells on a single (HRPD or 1xRTT) carrier frequency.
  - For inter-RAT WLAN measurements a measurement object is a set of WLAN identifiers and optionally a set of WLAN frequencies.
  - For CBR measurements and sensing measurements a measurement object is a set of transmission resource pools for V2X sidelink communication.
- NOTE 1: Some measurements using the above mentioned measurement objects, only concern a single cell, e.g. measurements used to report neighbouring cell system information, PCell UE Rx-Tx time difference, or a pair of cells, e.g. SSTD measurements between the PCell and the PSCell.
- 2. **Reporting configurations**: A list of measurement reporting configurations where each measurement reporting configuration consists of the following:
  - Reporting criterion: The criterion that triggers the UE to send a measurement report. This can either be periodical or a single event description.
  - Reporting format: The quantities that the UE includes in the measurement report and associated information (e.g. number of cells to report).
  - In case of conditional handover, conditional PSCell addition or MN initiated inter-SN conditional PSCell change triggering configuration, each configuration consists of the following:
  - Execution criteria: The criteria that triggers the UE to perform conditional handover, conditional PSCell addition or MN initiated inter-SN conditional PSCell change.
- 3. **Measurement identities**: For measurement reporting, a list of measurement identities where each measurement identity links one measurement object with one measurement reporting configuration. By configuring multiple

measurement identities it is possible to link more than one measurement object to the same reporting configuration, as well as to link more than one reporting configuration to the same measurement object. The measurement identity is used as a reference number in the measurement report. For conditional reconfiguration triggering, one measurement identity links to exactly one conditional reconfiguration trigger configuration. And up to two measurement identities can be linked to one conditional reconfiguration execution condition.

- 4. **Quantity configurations:** One quantity configuration is configured per RAT type. The quantity configuration defines the measurement quantities and associated filtering used for all event evaluation and related reporting of that measurement type. One filter can be configured per measurement quantity, except for NR where the network may configure up to 2 sets of quantity configurations each comprising per measurement quantity seperate filters for cell and RS index measurement results. The quantity configuration set that applies for a given measurement is indicated within the NR measurement object.
- 5. **Measurement gaps:** Periods that the UE may use to perform measurements, i.e. no (UL, DL) transmissions are scheduled.

E-UTRAN only configures a single measurement object for a given frequency (except for WLAN and except for CBR measurements), i.e. it is not possible to configure two or more measurement objects for the same frequency with different associated parameters, e.g. different offsets and/or exclude-lists. E-UTRAN may configure multiple instances of the same event e.g. by configuring two reporting configurations with different thresholds.

The UE maintains a single measurement object list, a single reporting configuration list, and a single measurement identities list. The measurement object list includes measurement objects, that are specified per RAT type, possibly including intra-frequency object(s) (i.e. the object(s) corresponding to the serving frequency(ies)), inter-frequency object(s) and inter-RAT objects. Similarly, the reporting configuration list includes E-UTRA and inter-RAT reporting configurations. Any measurement object can be linked to any reporting configuration of the same RAT type. Some reporting configurations may not be linked to a measurement object. Likewise, some measurement objects may not be linked to a reporting configuration.

The measurement procedures distinguish the following types of cells:

- 1. The serving cell(s) these are the PCell and one or more SCells, if configured for a UE supporting CA or DC. Likewise, NR serving cell(s) are the NR PCell, NR PSCell and NR SCells, if the UE is configured with MR-DC.
- 2. Listed cells these are cells listed within the measurement object(s) or, for inter-RAT WLAN, the WLANs matching the WLAN identifiers configured in the measurement object or the WLAN the UE is connected to.
- 3. Detected cells these are cells that are not listed within the measurement object(s) but are detected by the UE on the carrier frequency(ies) indicated by the measurement object(s) or, for inter-RAT WLAN, the WLANs not included in the *measObjectWLAN* but meeting the triggering requirements.

For E-UTRA, the UE measures and reports on the serving cell(s), listed cells, detected cells, transmission resource pools for V2X sidelink communication, and, for RSSI and channel occupancy measurements, the UE measures and reports on any reception on the indicated frequency. For inter-RAT NR, the UE measures and reports on detected cells and, if configured with MR-DC, on NR serving cell(s) and, for RSSI and channel occupancy measurements, the UE measures and reports on the indicated frequency. For inter-RAT UTRA, the UE measures and reports on listed cells and optionally on cells that are within a range for which reporting is allowed by E-UTRAN. For inter-RAT GERAN, the UE measures and reports on detected cells. For inter-RAT CDMA2000, the UE measures and reports on listed cells. For inter-RAT WLAN, the UE measures and reports on listed cells.

- NOTE 2: For inter-RAT UTRA and CDMA2000, the UE measures and reports also on detected cells for the purpose of SON.
- NOTE 3: This specification is based on the assumption that typically CSG cells of home deployment type are not indicated within the neighbour list. Furthermore, the assumption is that for non-home deployments, the physical cell identity is unique within the area of a large macro cell (i.e. as for UTRAN).

Whenever the procedural specification, other than contained in clause 5.5.2, refers to a field it concerns a field included in the *VarMeasConfig* unless explicitly stated otherwise i.e. only the measurement configuration procedure covers the direct UE action related to the received *measConfig*.

# 5.5.2 Measurement configuration

## 5.5.2.1 General

E-UTRAN applies the procedure as follows:

- to ensure that, whenever the UE has a measConfig, it includes a measObject for each LTE serving frequency;
- to configure at most one measurement identity using a reporting configuration with the *purpose* set to *reportCGI*;
- for E-UTRA serving frequencies, set the EARFCN within the corresponding *measObject* according to the band as used for reception/ transmission;
- to configure at most one measurement identity using a reporting configuration with *ul-DelayConfig*;
- to configure at most one measurement identity using a reporting configuration with *ul-DelayValueConfig*;
- to configure at most one measurement identity using a reporting configuration with reportSFTD-Meas;
- to configure at most one *MeasObjectNR* with the same *carrierFreq*;

- 1> if the received *measConfig* includes the *measObjectToRemoveList*:
  - 2> perform the measurement object removal procedure as specified in 5.5.2.4;
- 1> if the received measConfig includes the measObjectToAddModList:
  - 2> perform the measurement object addition/ modification procedure as specified in 5.5.2.5;
- 1> if the received *measConfig* includes the *reportConfigToRemoveList*:
  - 2> perform the reporting configuration removal procedure as specified in 5.5.2.6;
- 1> if the received *measConfig* includes the *reportConfigToAddModList*:
  - 2> perform the reporting configuration addition/ modification procedure as specified in 5.5.2.7;
- 1> if the received *measConfig* includes the *quantityConfig*:
  - 2> perform the quantity configuration procedure as specified in 5.5.2.8;
- 1> if the received *measConfig* includes the *measIdToRemoveList*:
  - 2> perform the measurement identity removal procedure as specified in 5.5.2.2;
- 1> if the received *measConfig* includes the *measIdToAddModList*:
  - 2> perform the measurement identity addition/ modification procedure as specified in 5.5.2.3;
- 1> if the received measConfig includes the measGapConfig or measGapConfigPerCC-List:
  - 2> perform the measurement gap configuration procedure as specified in 5.5.2.9;
- 1> if the received *measConfig* includes the *measGapConfigDensePRS*:
  - 2> perform the measurement gap configuration procedure for RSTD measurements with dense PRS configuration as specified in 5.5.2.9a;
- 1> if the received *measConfig* includes the *measGapSharingConfig*:
  - 2> perform the measurement gap sharing configuration procedure as specified in 5.5.2.12;
- 1> if the received *measConfig* includes the *s-Measure*:

- 2> set the parameter *s-Measure* within *VarMeasConfig* to the lowest value of the RSRP ranges indicated by the received value of *s-Measure*;
- 1> if the received *measConfig* includes the *preRegistrationInfoHRPD*:
  - 2> forward the *preRegistrationInfoHRPD* to CDMA2000 upper layers;
- 1> if the received *measConfig* includes the *speedStatePars*:
  - 2> set the parameter *speedStatePars* within *VarMeasConfig* to the received value of *speedStatePars*;
- 1> if the received *measConfig* includes the *allowInterruptions*:
  - 2> set the parameter allowInterruptions within VarMeasConfig to the received value of allowInterruptions;

## 5.5.2.2 Measurement identity removal

The UE shall:

- 1> for each *measId* included in the received *measIdToRemoveList* that is part of the current UE configuration in *VarMeasConfig*:
  - 2> remove the entry with the matching *measId* from the *measIdList* within the *VarMeasConfig*;
  - 2> remove the measurement reporting entry for this measId from the VarMeasReportList, if included;
  - 2> stop the periodical reporting timer or timer T321, whichever one is running, and reset the associated information (e.g. *timeToTrigger*) for this *measId*;

NOTE: The UE does not consider the message as erroneous if the *measIdToRemoveList* includes any *measId* value that is not part of the current UE configuration.

# 5.5.2.2a Measurement identity autonomous removal

- 1> for each *measId* included in the *measIdList* within *VarMeasConfig*:
  - 2> if the associated *reportConfig* concerns an event involving a serving cell while the concerned serving cell is not configured; or
  - 2> if the associated *reportConfig* concerns an event involving a WLAN mobility set while the concerned WLAN mobility set is not configured; or
  - 2> if the associated *reportConfig* concerns an event involving a transmission resource pool for V2X sidelink communication while the concerned resource pool is not configured; or
  - 2> if the associated *reportConfig* concerns an event involving *reportSFTD-Meas* set to *pSCell* while the *nr-Config* is not configured:
    - 3> remove the *measId* from the *measIdList* within the *VarMeasConfig*;
    - 3> remove the measurement reporting entry for this *measId* from the *VarMeasReportList*, if included;
    - 3> stop the periodical reporting timer if running, and reset the associated information (e.g. *timeToTrigger*) for this *measId*:
- NOTE 1: The above UE autonomous removal of *measId*'s applies only for measurement events A1, A2, A6, and also applies for events A3 and A5 if configured for PSCell and W2 and W3 and V1 and V2 and event involving *reportSFTD-Meas* set to *pSCell*, if configured.
- NOTE 2: When performed during re-establishment, the UE is only configured with a primary frequency (i.e. the SCell(s) and WLAN mobility set are released, if configured).

# 5.5.2.3 Measurement identity addition/ modification

### E-UTRAN applies the procedure as follows:

- configure a *measId* only if the corresponding measurement object, the corresponding reporting configuration and the corresponding quantity configuration, are configured;

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- 1> for each measId included in the received measIdToAddModList:
  - 2> if an entry with the matching *measId* exists in the *measIdList* within the *VarMeasConfig*:
    - 3> replace the entry with the value received for this *measId*;
  - 2> else:
    - 3> add a new entry for this *measId* within the *VarMeasConfig*;
  - 2> remove the measurement reporting entry for this measId from the VarMeasReportList, if included;
  - 2> stop the periodical reporting timer or timer T321, whichever one is running, and reset the associated information (e.g. *timeToTrigger*) for this *measId*;
- NOTE: If the *measId* associated with *reportConfig* for conditional reconfiguration is modified, the conditions need to be set to non-fulfilled as specified in 5.3.5.9.4.
  - 2> if the triggerType is set to periodical and the purpose is set to reportCGI in the reportConfig associated with this measId:
    - 3> if the *measObject* associated with this *measId* concerns E-UTRA:
      - 4> if the *si-RequestForHO* is included in the *reportConfig* associated with this *measId*:
        - 5> if the UE is a category 0 UE according to TS 36.306 [5]:
          - 6> start timer T321 with the timer value set to 190 ms for this *measId*;
        - 5> else:
          - 6> start timer T321 with the timer value set to 150 ms for this *measId*;
      - 4> else:
        - 5> start timer T321 with the timer value set to 1 second for this *measId*;
    - 3> else if the *measObject* associated with this *measId* concerns UTRA:
      - 4> if the si-RequestForHO is included in the reportConfig associated with this measId:
        - 5> for UTRA FDD, start timer T321 with the timer value set to 2 seconds for this *measId*;
        - 5> for UTRA TDD, start timer T321 with the timer value set to [1 second] for this measId;
      - 4> else:
        - 5> start timer T321 with the timer value set to 8 seconds for this *measId*;
    - 3> else if the *measObject* associated with this *measId* concerns NR:
      - 4> if the *measObject* associated with this *measId* concerns FR1:
        - 5> start timer T321 with the timer value set to 2 seconds for this *measId*;
      - 4> if the *measObject* associated with this *measId* concerns FR2:
        - 5> if the useAutonomousGapsNR is included in the reportConfig associated with this measId:

6> start timer T321 with the timer value set to 5 seconds for this *measId*;

5> else:

6> start timer T321 with the timer value set to 16 seconds for this *measId*;

3> else:

4> start timer T321 with the timer value set to 8 seconds for this *measId*;

# 5.5.2.4 Measurement object removal

The UE shall:

- 1> for each *measObjectId* included in the received *measObjectToRemoveList* that is part of the current UE configuration in *VarMeasConfig*:
  - 2> remove the entry with the matching measObjectId from the measObjectList within the VarMeasConfig;
  - 2> remove all measId associated with this measObjectId from the measIdList within the VarMeasConfig, if any;
  - 2> if a *measId* is removed from the *measIdList*:
    - 3> remove the measurement reporting entry for this measId from the VarMeasReportList, if included;
    - 3> stop the periodical reporting timer or timer T321, whichever one is running, and reset the associated information (e.g. *timeToTrigger*) for this *measId*;

NOTE: The UE does not consider the message as erroneous if the *measObjectToRemoveList* includes any *measObjectId* value that is not part of the current UE configuration.

# 5.5.2.5 Measurement object addition/ modification

- 1> for each measObjectId included in the received measObjectToAddModList:
  - 2> if an entry with the matching *measObjectId* exists in the *measObjectList* within the *VarMeasConfig*, for this entry:
    - 3> reconfigure the entry with the value received for this measObject, except for the fields cellsToAddModList, excludedCellsToAddModList, allowedCellsToAddModList, altTTT-CellsToAddModList, cellsToRemoveList, excludedCellsToRemoveList, allowedCellsToRemoveList, altTTT-CellsToRemoveList, measSubframePatternConfigNeigh, measDS-Config, wlan-ToAddModList, wlan-ToRemoveList, tx-ResourcePoolToRemoveList, tx-ResourcePoolToAddList, ssb-PositionQCL-CellsToRemoveListNR;
    - 3> if the received *measObject* includes the *cellsToRemoveList*:
      - 4> for each *cellIndex* included in the *cellsToRemoveList*:
        - 5> remove the entry with the matching *cellIndex* from the *cellsToAddModList*;
    - 3> if the received *measObject* includes the *cellsToAddModList*:
      - 4> for each cellIndex value included in the cellsToAddModList:
        - 5> if an entry with the matching cellIndex exists in the cellsToAddModList:
          - 6> replace the entry with the value received for this *cellIndex*;
        - 5> else:
          - 6> add a new entry for the received cellIndex to the cellsToAddModList;
    - 3> if the received measObject includes the excludedCellsToRemoveList:

- 4> for each *cellIndex* included in the *excludedCellsToRemoveList*:
  - 5> remove the entry with the matching *cellIndex* from the *excludedCellsToAddModList*;
- NOTE 1: For each *cellIndex* included in the *excludedCellsToRemoveList* that concerns overlapping ranges of cells, a cell is removed from the exclude-listed cells only if all cell indexes containing it are removed.
  - 3> if the received measObject includes the excludedCellsToAddModList:
    - 4> for each cellIndex included in the excludedCellsToAddModList:
      - 5> if an entry with the matching *cellIndex* is included in the *excludedCellsToAddModList*:
        - 6> replace the entry with the value received for this *cellIndex*;
      - 5> else:
        - 6> add a new entry for the received *cellIndex* to the *excludedCellsToAddModList*;
  - 3> if the received *measObject* includes the *allowedCellsToRemoveList*:
    - 4> for each *cellIndex* included in the *allowedCellsToRemoveList*:
      - 5> remove the entry with the matching *cellIndex* from the *allowedCellsToAddModList*;
- NOTE 2: For each *cellIndex* included in the *allowedCellsToRemoveList* that concerns overlapping ranges of cells, a cell is removed from the allow-listed cells only if all cell indexes containing it are removed.
  - 3> if the received measObject includes the allowedCellsToAddModList:
    - 4> for each *cellIndex* included in the *allowedCellsToAddModList*:
      - 5> if an entry with the matching *cellIndex* is included in the *allowedCellsToAddModList*:
        - 6> replace the entry with the value received for this *cellIndex*;
      - 5> else:
        - 6> add a new entry for the received *cellIndex* to the *allowedCellsToAddModList*;
  - 3> if the received *measObject* includes the *altTTT-CellsToRemoveList*:
    - 4> for each *cellIndex* included in the *altTTT-CellsToRemoveList*:
      - 5> remove the entry with the matching *cellIndex* from the *altTTT-CellsToAddModList*;
- NOTE 3: For each *cellIndex* included in the *altTTT-CellsToRemoveList* that concerns overlapping ranges of cells, a cell is removed from the list of cells only if all cell indexes containing it are removed.
  - 3> if the received *measObject* includes the *altTTT-CellsToAddModList*:
    - 4> for each *cellIndex* value included in the *altTTT-CellsToAddModList*:
      - 5> if an entry with the matching *cellIndex* exists in the *altTTT-CellsToAddModList*:
        - 6> replace the entry with the value received for this *cellIndex*;
      - 5> else:
        - 6> add a new entry for the received *cellIndex* to the *altTTT-CellsToAddModList*;
  - 3> if the received *measObject* includes *measSubframePatternConfigNeigh*:
    - 4> set measSubframePatternConfigNeigh within the VarMeasConfig to the value of the received field
  - 3> if the received *measObject* includes *measDS-Config*:
    - 4> if *measDS-Config* is set to *setup*:

- 5> if the received *measDS-Config* includes the *measCSI-RS-ToRemoveList*:
  - 6> for each measCSI-RS-Id included in the measCSI-RS-ToRemoveList:
    - 7> remove the entry with the matching *measCSI-RS-Id* from the *measCSI-RS-ToAddModList*;
- 5> if the received *measDS-Config* includes the *measCSI-RS-ToAddModList*, for each *measCSI-RS-Id* value included in the *measCSI-RS-ToAddModList*:
  - 6> if an entry with the matching measCSI-RS-Id exists in the measCSI-RS-ToAddModList:
    - 7> replace the entry with the value received for this *measCSI-RS-Id*;
  - 6> else:
    - 7> add a new entry for the received measCSI-RS-Id to the measCSI-RS-ToAddModList;
- 5> set other fields of the *measDS-Config* within the *VarMeasConfig* to the value of the received fields;
- 5> perform the discovery signals measurement timing configuration procedure as specified in 5.5.2.10;
- 4> else:
  - 5> release the discovery signals measurement configuration;
- 3> if the received *measObject* modifies fields other than *cellsForWhichToReportSFTD*:
  - 4> for each *measId* associated with this *measObjectId* in the *measIdList* within the *VarMeasConfig*, if any:
    - 5> remove the measurement reporting entry for this measId from the VarMeasReportList, if included;
    - 5> stop the periodical reporting timer or timer T321, whichever one is running, and reset the associated information (e.g. *timeToTrigger*) for this *measId*;
- 3> if the received *measObject* includes the *wlan-ToRemoveList*:
  - 4> for each WLAN-Identifiers included in the wlan-ToRemoveList:
    - 5> remove the entry with the matching WLAN-Identifiers from the wlan-ToAddModList;
- NOTE 3a: Matching of WLAN-Identifiers requires that all WLAN identifier fields should be same.
  - 3> if the received *measObject* includes the *wlan-ToAddModList*:
    - 4> for each WLAN-Identifiers included in the wlan-ToAddModList:
      - 5> add a new entry for the received WLAN-Identifiers to the wlan-ToAddModList;
  - 3> if the received *measObject* includes the *tx-ResourcePoolToRemoveList*:
    - 4> for each transmission resource pool indicated in *tx-ResourcePoolToRemoveList*:
      - 5> remove the entry with the matching identity of the transmission resource pool from the *tx-ResourcePoolToAddList*;
  - 3> if the received *measObject* includes the *tx-ResourcePoolToAddList*:
    - 4> for each transmission resource pool indicated in *tx-ResourcePoolToAddList*:
      - 5> add a new entry for the received identity of the transmission resource pool to the *tx-ResourcePoolToAddList*;
  - 3> if the received *measObject* includes the *ssb-PositionQCL-CellsToRemoveListNR*:
    - 4> for each *physCellId* included in the *ssb-PositionQCL-CellsToRemoveListNR*:

- 5> remove the entry with the matching physCellId from the ssb-PositionQCL-CellsToAddModListNR;
- 3> if the received *measObject* includes the *ssb-PositionQCL-CellsToAddModListNR*:
  - 4> for each *physCellId* included in the *ssb-PositionQCL-CellsToAddModListNR*:
    - 5> if an entry with the matching physCellId exists in the ssb-PositionQCL-CellsToAddModListNR:
      - 6> replace the entry with the value received for this *physCellId*;
    - 5> else:
      - 6> add a new entry for the received physCellId to the ssb-PositionQCL-CellsToAddModListNR;

- 3> add a new entry for the received measObject to the measObjectList within VarMeasConfig;
- NOTE 4: UE does not need to retain cellForWhichToReportCGI in the measObject after reporting cgi-Info.

# 5.5.2.6 Reporting configuration removal

The UE shall:

- 1> for each reportConfigId included in the received reportConfigToRemoveList that is part of the current UE configuration in VarMeasConfig:
  - 2> remove the entry with the matching reportConfigId from the reportConfigList within the VarMeasConfig;
  - 2> remove all measId associated with the reportConfigId from the measIdList within the VarMeasConfig, if any;
  - 2> if a *measId* is removed from the *measIdList*:
    - 3> remove the measurement reporting entry for this measId from the VarMeasReportList, if included;
    - 3> stop the periodical reporting timer or timer T321, whichever one is running, and reset the associated information (e.g. *timeToTrigger*) for this *measId*;

NOTE: The UE does not consider the message as erroneous if the *reportConfigToRemoveList* includes any *reportConfigId* value that is not part of the current UE configuration.

# 5.5.2.7 Reporting configuration addition/ modification

The UE shall:

- 1> for each reportConfigId included in the received reportConfigToAddModList:
  - 2> if an entry with the matching *reportConfigId* exists in the *reportConfigList* within the *VarMeasConfig*, for this entry:
    - 3> reconfigure the entry with the value received for this *reportConfig*;
    - 3> for each *measId* associated with this *reportConfigId* included in the *measIdList* within the *VarMeasConfig*, if any:
      - 4> remove the measurement reporting entry for this measId from in VarMeasReportList, if included;
      - 4> stop the periodical reporting timer or timer T321, whichever one is running, and reset the associated information (e.g. *timeToTrigger*) for this *measId*;

## 2> else:

3> add a new entry for the received reportConfig to the reportConfigList within the VarMeasConfig;

# 5.5.2.8 Quantity configuration

The UE shall:

- 1> for each RAT for which the received *quantityConfig* includes parameter(s):
  - 2> set the corresponding parameter(s) in *quantityConfig* within *VarMeasConfig* to the value of the received *quantityConfig* parameter(s);
- 1> for each *measId* included in the *measIdList* within *VarMeasConfig*:
  - 2> remove the measurement reporting entry for this measId from the VarMeasReportList, if included;
  - 2> stop the periodical reporting timer or timer T321, whichever one is running, and reset the associated information (e.g. *timeToTrigger*) for this *measId*;

# 5.5.2.9 Measurement gap configuration

The UE shall:

- 1> if measGapConfig is set to setup:
  - 2> if a measurement gap configuration *measGapConfig* or *measGapConfigPerCC-List* is already setup, release the measurement gap configuration;
  - 2> if the *gapOffset* in *measGapConfig* indicates a non-uniform gap pattern:
    - 3> setup the measurement gap configuration indicated by the *measGapConfig* in accordance with the received *gapOffset*, i.e., the first subframe of the first gap of each non-uniform gap pattern occurs at an SFN and subframe meeting the following condition (SFN and subframe of MCG cells):

```
SFN mod T = \text{FLOOR}(gapOffset/10);

subframe = gapOffset \mod 10;

with T = \text{LMGRP}/10 as defined in TS 36.133 [16];
```

### 2> else:

3> setup the measurement gap configuration indicated by the *measGapConfig* in accordance with the received *gapOffset*, i.e., the first subframe of each gap occurs at an SFN and subframe meeting the following condition (SFN and subframe of MCG cells):

```
SFN mod T = \text{FLOOR}(gapOffset/10);

subframe = gapOffset \mod 10;

with T = \text{MGRP}/10 as defined in TS 36.133 [16];
```

- 2> if (NG)EN-DC is configured:
  - 3> if the UE is configured with fr1-Gap set to TRUE:
    - 4> apply the gap configuration for LTE serving cells and for NR serving cells on FR1;
  - 3> else:
    - 4> apply the gap configuration for all LTE and NR serving cells;
- 2> if *mgta* is set to *TRUE*, apply a timing advance value of 0.5ms to the gap occurrences calculated above according to TS 38.133 [84];
- NOTE 1: The UE applies a single gap, which timing is relative to the MCG cells, even when configured with DC. In case of (NG)EN-DC, the UE may either be configured with a single (common) gap or with two separate gaps i.e. a first one for FR1 (configured by E-UTRA RRC) and a second one for FR2 (configured by NR RRC).

- 1> else if *measGapConfig* is set to *release*:
  - 2> release the measurement gap configuration measGapConfig;
- 1> if measGapConfigPerCC-List is set to setup:
  - 2> if a measurement gap configuration *measGapConfig* is already setup, release *measGapConfig*;
  - 2> if measGapConfigToRemoveList is included:
    - 3> for each ServCellIndex included in the measGapConfigToRemoveList:
      - 4> release measGapConfigCC for the serving cell indicated by servCellId;
  - 2> if measGapConfigToAddModList is included:
    - 3> for each ServCellIndex included in the measGapConfigToAddModList:
      - 4> store measGapConfigCC for the serving cell indicated by servCellId;
  - 2> for each serving cell with stored *measGapConfigCC* indicating a non-uniform gap pattern, setup the measurement gap configuration indicated by the *measGapConfigCC* in accordance with the received *gapOffset*, i.e., the first subframe of the first gap of each non-uniform gap pattern occurs at an SFN and subframe meeting the following condition (SFN and subframe of MCG cells):

```
SFN mod T = \text{FLOOR}(gapOffset/10);

subframe = gapOffset \mod 10;

with T = \text{LMGRP}/10 as defined in TS 36.133 [16];
```

2> for each serving cell with stored measGapConfigCC not indicating a non-uniform gap pattern, setup the measurement gap configuration indicated by the measGapConfigCC in accordance with the received gapOffset, i.e., the first subframe of each gap occurs at an SFN and subframe meeting the following condition (SFN and subframe of MCG cells):

```
SFN mod T = \text{FLOOR}(gapOffset/10);

subframe = gapOffset \mod 10;

with T = \text{MGRP}/10 as defined in TS 36.133 [16];
```

- NOTE 2: The UE applies gap timing relative to the MCG cells, even when configured with DC.
- 1> else (*measGapConfigPerCC-List* is set to *release*):
  - 2> release the measurement gap configuration measGapConfigPerCC-List;
- NOTE 3: When a SCell is released, the UE is not required to apply a per CC measurement gap configuration associated to the SCell.

# 5.5.2.9a Measurement gap configuration for RSTD measurements with dense PRS configuration

- 1> if *measGapConfigDensePRS* is set to *setup*:
  - 2> setup the measurement gap configuration indicated by the measGapConfigDensePRS in accordance with the received gapOffsetDensePRS, i.e., the first subframe of each gap occurs at an SFN and subframe meeting the following condition:

```
SFN mod T = \text{FLOOR}(gapOffsetDensePRS/10);

subframe = gapOffsetDensePRS \mod 10;

with T = \text{MGRP}/10 as defined in TS 36.133 [16];
```

# 5.5.2.10 Discovery signals measurement timing configuration

The UE shall setup the discovery signals measurement timing configuration (DMTC) in accordance with the received *dmtc-PeriodOffset*, i.e., the first subframe of each DMTC occasion occurs at an SFN and subframe of the PCell meeting the following condition:

```
SFN mod T = \text{FLOOR}(dmtc\text{-}Offset/10);

subframe = dmtc\text{-}Offset \mod 10;

with T = dmtc\text{-}Periodicity/10;
```

On the concerned frequency, the UE shall not consider discovery signals transmission in subframes outside the DMTC occasion for measurements including RRM measurements.

# 5.5.2.11 RSSI measurement timing configuration

The UE shall setup the RSSI measurement timing configuration (RMTC) in accordance with the received *rmtc-Period*, *rmtc-SubframeOffset* if configured otherwise determined by the UE randomly, i.e. the first symbol of each RMTC occasion occurs at first symbol of an SFN and subframe of the PCell meeting the following condition:

```
SFN mod T = \text{FLOOR}(rmtc\text{-}SubframeOffset/10);

subframe = rmtc\text{-}SubframeOffset \mod 10;

with T = rmtc\text{-}Period/10;
```

On the concerned frequency, the UE shall not consider RSSI measurements outside the configured RMTC occasion which lasts for *measDuration* for RSSI and channel occupancy measurements.

For inter-RAT NR measurements, the UE shall setup the RMTC in accordance with the received *rmtc-PeriodicityNR*, and, if configured, with *rmtc-SubframeOffsetNR*, i.e. the first symbol of each RMTC occasion occurs at first symbol of an SFN and subframe of the PCell meeting the following condition:

```
SFN mod T = \text{FLOOR}(rmtc\text{-}SubframeOffsetNR/10);
subframe = rmtc\text{-}SubframeOffsetNR \mod 10;
with T = rmtc\text{-}PeriodicityNR/10;
```

The UE derives the RSSI measurement duration from a combination of *measDurationNR* and *refSCS-CP-NR*. On the frequency configured by *rmtc-FrequencyNR*, the UE shall not consider RSSI measurements outside the configured RMTC occasion which lasts for *measDurationNR* for RSSI and channel occupancy measurements.

# 5.5.2.12 Measurement gap sharing configuration

The UE shall:

- 1> if *measGapSharingConfig* is set to *setup*:
  - 2> if a measurement gap sharing configuration is already setup, release the measurement gap sharing configuration;
  - 2> setup the measurement gap sharing configuration indicated by the *measGapSharingConfig* in accordance with the received *measGapSharingScheme* as defined in TS 36.133 [16];

NOTE: In case of (NG)EN-DC, the UE may either be configured with a single (common) gap sharing or with two separate gap sharing configurations, i.e. a first one for FR1 (configured by E-UTRA RRC) and a second one for FR2 (configured by NR RRC). For the case of per FR gap configuration, the gap sharing configured here (i.e. E-UTRA RRC) is applicable only for FR1 gap.

1> else:

2> release the measurement gap sharing configuration;

# 5.5.2.13 NR measurement timing configuration

The UE shall setup the first SS/PBCH block measurement timing configuration (SMTC) in accordance with the received *periodicityAndOffset* (providing *Periodicity* and *Offset* value for the following condition) in the *MTC-SSB-NR* configuration i.e., the first subframe of each SMTC occasion occurs at an SFN and subframe of the PCell meeting the following condition:

```
SFN mod T = \text{FLOOR}(Offset/10);
if the Periodicity is larger than sf5:
subframe = Offset \mod 10;
else:
subframe = Offset or (Offset + 5);
with T = \text{CEIL}(Periodicity/10).
```

On the concerned frequency, the UE shall not consider SS/PBCH block transmission in subframes outside the SMTC occasion which lasts for *ssb-Duration* for measurements including RRM measurements except for SFTD measurement (see TS 36.133 [16], clause 8.1.2.4.25.2 and 8.1.2.4.26.1).

If *smtc2-LP* is present, for cells indicated in the *pci-List* parameter in *smtc2-LP* for inter-RAT cell reselection, the UE shall setup an additional SS/PBCH block measurement timing configuration (SMTC) in accordance with the received *periodicity* parameter in the *smtc2-LP* configuration and use the *Offset* (derived from parameter *periodicityAndOffset*) and *ssb-Duration* parameter from the *measTimingConfig* configuration for that frequency. The first subframe of each SMTC occasion occurs at an SFN and subframe of the NR SpCell or serving cell (for cell reselection) meeting the above condition.

# 5.5.3 Performing measurements

# 5.5.3.1 General

For all measurements, except for UE Rx–Tx time difference measurements, RSSI, UL PDCP Packet Delay per QCI measurement, UL PDCP Packet Delay Value per DRB measurement, channel occupancy measurements, CBR measurement, sensing measurement and except for WLAN measurements of Band, Carrier Info, Available Admission Capacity, Backhaul Bandwidth, Channel Utilization, and Station Count, the UE applies the layer 3 filtering as specified in 5.5.3.2, before using the measured results for evaluation of reporting criteria, for measurement reporting or for evaluation of fulfilment of the criteria to trigger conditional reconfiguration execution. When performing measurements on NR carriers, the UE derives the cell quality as specified in 5.5.3.3 and the beam quality as specified in 5.5.3.4.

- 1> whenever the UE has a measConfig, perform RSRP and RSRQ measurements for each serving cell as follows:
  - 2> for the PCell, apply the time domain measurement resource restriction in accordance with *measSubframePatternPCell*, if configured;
  - 2> if the UE supports CRS based discovery signals measurement:
    - 3> for each SCell in deactivated state, apply the discovery signals measurement timing configuration in accordance with *measDS-Config*, if configured within the *measObject* corresponding to the frequency of the SCell:
- 1> if the UE has a *measConfig* with *rs-sinr-Config* configured, perform RS-SINR (as indicated in the associated *reportConfig*) measurements as follows:
  - 2> perform the corresponding measurements on the frequency indicated in the associated *measObject* using available idle periods or using autonomous gaps as necessary;
- 1> for each measId included in the measIdList within VarMeasConfig:
  - 2> if the *purpose* for the associated *reportConfig* is set to *reportCGI*:

- 3> if the RAT indicated in the associated *measObject* is not NR:
  - 4> if si-RequestForHO is configured for the associated reportConfig:
    - 5> perform the corresponding measurements on the frequency and RAT indicated in the associated *measObject* using autonomous gaps as necessary;
  - 4> else:
    - 5> perform the corresponding measurements on the frequency and RAT indicated in the associated *measObject* using available idle periods or using autonomous gaps as necessary;

- 4> if useAutonomousGapsNR is configured for the associated reportConfig:
  - 5> perform the corresponding measurements on the NR frequency indicated in the associated *measObject* using autonomous gaps as necessary;
- 4> else:
  - 5> perform the corresponding measurements on the NR frequency indicated in the associated *measObject* using available idle periods;
- NOTE 1: If autonomous gaps are used to perform measurements, the UE is allowed to temporarily abort communication with all serving cell(s), i.e. create autonomous gaps to perform the corresponding measurements within the limits specified in TS 36.133 [16]. Otherwise, the UE only supports the measurements with the purpose set to *reportCGI* only if E-UTRAN has provided sufficient idle periods.
  - 3> try to acquire the global cell identity of the cell indicated by the *cellForWhichToReportCGI* in the associated *measObject* by acquiring the relevant system information from the concerned cell;
  - 3> if an entry in the *cellAccessRelatedInfoList* includes the selected PLMN, acquire the relevant system information from the concerned cell;
  - 3> if the cell indicated by the *cellForWhichToReportCGI* included in the associated *measObject* is an E-UTRAN cell:
    - 4> try to acquire the CSG identity, if the CSG identity is broadcast in the concerned cell;
    - 4> try to acquire the *trackingAreaCode* in the concerned cell;
    - 4> try to acquire the list of additional PLMN Identities, as included in the *plmn-IdentityList*, if multiple PLMN identities are broadcast in the concerned cell;
    - 4> if *cellAccessRelatedInfoList* is included, use *trackingAreaCode* and *plmn-IdentityList* from the entry of *cellAccessRelatedInfoList* containing the selected PLMN;
    - 4> if the *includeMultiBandInfo* is configured:
      - 5> try to acquire the *freqBandIndicator* in the *SystemInformationBlockType1* of the concerned cell;
      - 5> try to acquire the list of additional frequency band indicators, as included in the *multiBandInfoList*, if multiple frequency band indicators are included in the *SystemInformationBlockType1* of the concerned cell;
      - 5> try to acquire the *freqBandIndicatorPriority*, if the *freqBandIndicatorPriority* is included in the *SystemInformationBlockType1* of the concerned cell;
    - 4> if *cellAccessRelatedInfoList-5GC* is broadcast in the concerned cell and the UE is E-UTRA/5GC capable:
      - 5> try to acquire the *cellAccessRelatedInfoList-5GC*;
- NOTE 2: The 'primary' PLMN is part of the global cell identity.

- 3> if the cell indicated by the *cellForWhichToReportCGI* included in the associated *measObject* is a UTRAN cell:
  - 4> try to acquire the LAC, the RAC and the list of additional PLMN Identities, if multiple PLMN identities are broadcast in the concerned cell;
  - 4> try to acquire the CSG identity, if the CSG identity is broadcast in the concerned cell;
- 3> if the cell indicated by the *cellForWhichToReportCGI* included in the associated *measObject* is a GERAN cell:
  - 4> try to acquire the RAC in the concerned cell;
- 3> if the cell indicated by the *cellForWhichToReportCGI* included in the associated *measObject* is a CDMA2000 cell and the *cdma2000-Type* included in the *measObject* is *typeHRPD*:
  - 4> try to acquire the Sector ID in the concerned cell;
- 3> if the cell indicated by the *cellForWhichToReportCGI* included in the associated *measObject* is a CDMA2000 cell and the *cdma2000-Type* included in the *measObject* is *type1XRTT*:
  - 4> try to acquire the BASE ID, SID and NID in the concerned cell;
- 3> if the cell indicated by the *cellForWhichToReportCGI* included in the associated *MeasObject* is an NR cell:
  - 4> if the indicated cell is broadcasting SIB1 (see TS 38.213 [88], clause 13):
    - 5> try to acquire the plmn-IdentityInfoList including plmn-IdentityList, trackingAreaCode (if available), ran-AreaCode (if available) and cellIdentity for each entry of the plmn-IdentityInfoList;
    - 5> try to acquire the frequencyBandList, if multiple frequency bands are broadcasted in the concerned cell;
- 2> if the *ul-DelayConfig* is configured for the associated *reportConfig*:
  - 3> ignore the *measObject*;
  - 3> configure the PDCP layer to perform UL PDCP Packet Delay per QCI measurement;
- 2> if the *ul-DelayValueConfig* is configured for the associated *reportConfig*:
  - 3> ignore the measObject;
  - 3> configure the PDCP layer to perform UL PDCP Packet Delay value per DRB measurement;
- 2> else:
  - 3> if a measurement gap configuration is setup; or
  - 3> if the UE does not require measurement gaps to perform the concerned measurements:
    - 4> if s-Measure is not configured; or
    - 4> if the UE is not in NE-DC and the PCell RSRP, after layer 3 filtering, is lower than s-Measure; or
    - 4> if the UE is in NE-DC and the PSCell RSRP, after layer 3 filtering, is lower than s-Measure; or
    - 4> if the associated measObject concerns NR; or
    - 4> if measDS-Config is configured in the associated measObject:
      - 5> if the UE supports CSI-RS based discovery signals measurement; and
      - 5> if the *eventId* in the associated *reportConfig* is set to *eventC1* or *eventC2*, or if *reportStrongestCSI-RSs* is set to *true* in the associated *reportConfig*:

- 6> perform the corresponding measurements of CSI-RS resources on the frequency indicated in the concerned *measObject*, applying the discovery signals measurement timing configuration in accordance with *measDS-Config* in the concerned *measObject*;
- 6> if reportCRS-Meas is set to true in the associated reportConfig, perform the corresponding measurements of neighbouring cells on the frequencies indicated in the concerned measObject as follows:
  - 7> for neighbouring cells on the primary frequency, apply the time domain measurement resource restriction in accordance with *measSubframePatternConfigNeigh*, if configured in the concerned *measObject*;
  - 7> apply the discovery signals measurement timing configuration in accordance with *measDS-Config* in the concerned *measObject*;

- 6> perform the corresponding measurements of neighbouring cells on the frequencies and RATs indicated in the concerned *measObject* as follows:
  - 7> for neighbouring cells on the primary frequency, apply the time domain measurement resource restriction in accordance with *measSubframePatternConfigNeigh*, if configured in the concerned *measObject*;
  - 7> if the UE supports CRS based discovery signals measurement, apply the discovery signals measurement timing configuration in accordance with *measDS-Config*, if configured in the concerned *measObject*;
- 4> if the *ue-RxTxTimeDiffPeriodical* is configured in the associated *reportConfig*:
  - 5> perform the UE Rx-Tx time difference measurements on the PCell;
- 4> if the reportSSTD-Meas is set to true or pSCell in the associated reportConfig:
  - 5> perform SSTD measurements between the PCell and the PSCell;
- 4> if the *reportSFTD-Meas* is set to *pSCell* in the associated *reportConfig*:
  - 5> perform SFTD measurements between the PCell and the NR PSCell;
- 4> if the reportSFTD-Meas is set to neighborCells in the associated reportConfig:
  - 5> perform SFTD measurements between the PCell and NR cell(s) on the frequency indicated in the associated *measObject*;
- 4> if the *measRSSI-ReportConfig* is configured in the associated *reportConfig*:
  - 5> perform the RSSI and channel occupancy measurements on the frequency indicated in the associated *measObject*;
- 2> perform the evaluation of reporting criteria as specified in 5.5.4, except if *reportConfig* is *condReconfigurationTriggerEUTRA* or *condReconfigurationTriggerNR*;
- NOTE 2c: The evaluation of conditional reconfiguration execution criteria is specified in 5.3.5.9.4.

The UE capable of CBR measurement when configured to transmit non-P2X related V2X sidelink communication shall:

- 1> if in coverage on the frequency used for V2X sidelink communication transmission as defined in TS 36.304 [4], clause 11.4; or
- 1> if the concerned frequency is included in *v2x-InterFreqInfoList* in *RRCConnectionReconfiguration* or in *v2x-InterFreqInfoList* within *SystemInformationBlockType21* or *SystemInformationBlockType26*:
  - 2> if the UE is in RRC\_IDLE:
    - 3> if the concerned frequency is the camped frequency:

- 4> perform CBR measurement on the pools in *v2x-CommTxPoolNormalCommon* and *v2x-CommTxPoolExceptional* if included in *SystemInformationBlockType21*;
- 3> else if v2x-CommTxPoolNormal or v2x-CommTxPoolExceptional is included in v2x-InterFreqInfoList for the concerned frequency within SystemInformationBlockType21 or SystemInformationBlockType26:
  - 4> perform CBR measurement on pools in v2x-CommTxPoolNormal and v2x-CommTxPoolExceptional in v2x-InterFreqInfoList for the concerned frequency in SystemInformationBlockType21 or SystemInformationBlockType26;
- 3> else if the concerned frequency broadcasts *SystemInformationBlockType21*:
  - 4> perform CBR measurement on pools in *v2x-CommTxPoolNormalCommon* and *v2x-CommTxPoolExceptional* if included in *SystemInformationBlockType21* broadcast on the concerned frequency;
- 2> if the UE is in RRC\_CONNECTED:
  - 3> if *tx-ResourcePoolToAddList* is included in *VarMeasConfig*:
    - 4> perform CBR measurements on each resource pool indicated in tx-ResourcePoolToAddList;
  - 3> if the concerned frequency is the PCell's frequency:
    - 4> perform CBR measurement on the pools in v2x-CommTxPoolNormalDedicated or v2x-SchedulingPool if included in RRCConnectionReconfiguration, v2x-CommTxPoolExceptional if included in SystemInformationBlockType21 for the concerned frequency and v2x-CommTxPoolExceptional if included in mobilityControlInfoV2X;
  - 3> else if v2x-CommTxPoolNormal, v2x-SchedulingPool or v2x-CommTxPoolExceptional is included in v2x-InterFreqInfoList for the concerned frequency within RRCConnectionReconfiguration:
    - 4> perform CBR measurement on pools in v2x-CommTxPoolNormal, v2x-SchedulingPool, and v2x-CommTxPoolExceptional if included in v2x-InterFreqInfoList for the concerned frequency in RRCConnectionReconfiguration;
  - 3> else if the concerned frequency broadcasts *SystemInformationBlockType21*:
    - 4> perform CBR measurement on pools in *v2x-CommTxPoolNormalCommon* and *v2x-CommTxPoolExceptional* if included in *SystemInformationBlockType21* for the concerned frequency;

2> perform CBR measurement on pools in *v2x-CommTxPoolList* in *SL-V2X-Preconfiguration* for the concerned frequency;

The UE capable of sensing measurement, with *commTxResources* set to *scheduled*, shall:

- 1> for each *measId* included in the *measIdList* within *VarMeasConfig*:
  - 2> if measSensing-Config is configured in the associated measObject
    - 3> perform the sensing measurement in accordance with TS 36.213 [23] on the pools of *v2x-SchedulingPool* and also indicated in *tx-ResourcePoolToAddList* in the associated *measObject*, using *sensingSubchannelNumber*, *sensingPeriodicity*, *sensingReselectionCounter* and *sensingPriority*.

If a UE that is configured by upper layers to transmit NR sidelink communication is configured by EUTRA with transmission resource pool(s) in *SystemInformationBlockType28* or by *sl-ConfigDedicatedForNR* and the measurements concerning NR sidelink communication (i.e. by *sl-ConfigDedicatedForNR*), it shall perform CBR measurement as specified in clause 5.5.3 of TS 38.331 [82], based on the transmission resource pool(s) in *SystemInformationBlockType28* or *sl-ConfigDedicatedForNR*.

NOTE 2a: SIB12 specified in clause 5.5.3 of TS 38.331 is provided in SystemInformationBlockType28.

- NOTE 2b:For NR sidelink communication, each of the CBR measurement results is associated with a resource pool, as indicated by the *sl-poolReportIdentity* (see TS 38.331 [82]), that refers to a pool as included in *sl-ConfigDedicatedForNR* or *SystemInformationBlockType28*.
- NOTE 3: The *s-Measure* defines when the UE is required to perform measurements. The UE is however allowed to perform measurements also when the PCell RSRP (or PSCell RSRP, if the UE is in NE-DC) exceeds *s-Measure*, e.g., to measure cells broadcasting a CSG identity following use of the autonomous search function as defined in TS 36.304 [4].
- NOTE 4: The UE may not perform the WLAN measurements it is configured with e.g. due to connection to another WLAN based on user preferences as specified in TS 23.402 [75] or due to turning off WLAN.
- NOTE 5: In case the configurations for V2X sidelink communication are acquired from NR, the configurations for V2X sidelink communication in *SystemInformationBlockType21*, *SystemInformationBlockType26*, *SL-V2X-ConfigDedicated* within *RRCConnectionReconfiguration* used in this clause can be provided by *SIB13*, *SIB14*, *sl-ConfigDedicatedEUTRA* within *RRCReconfiguration* as specified in TS 38.331 [82], respectively.

# 5.5.3.2 Layer 3 filtering

The UE shall:

1> for each measurement quantity that the UE performs measurements according to 5.5.3.1:

- NOTE 1: This does not include quantities configured solely for UE Rx-Tx time difference, SSTD measurements and RSSI, channel occupancy measurements, WLAN measurements of Band, Carrier Info, Available Admission Capacity, Backhaul Bandwidth, Channel Utilization, and Station Count, CBR measurement, sensing measurement, UL PDCP Packet Delay per QCI measurement and UL PDCP Packet Delay Value per DRB measurement i.e. for those types of measurements the UE ignores the *triggerQuantity* and *reportQuantity*.
  - 2> filter the measured result, before using for evaluation of reporting criteria or for measurement reporting, by the following formula:

$$F_n = (1 - a) \cdot F_{n-1} + a \cdot M_n$$

where

 $M_n$  is the latest received measurement result from the physical layer;

 $F_n$  is the updated filtered measurement result, that is used for evaluation of reporting criteria or for measurement reporting;

 $F_{n-1}$  is the old filtered measurement result, where  $F_{\theta}$  is set to  $M_1$  when the first measurement result from the physical layer is received; and

except for NR,  $\mathbf{a} = 1/2^{(k/4)}$ , where  $\mathbf{k}$  is the *filterCoefficient* for the corresponding measurement quantity received by the *quantityConfig*; for NR,  $\mathbf{a} = 1/2^{(ki/4)}$ , where  $\mathbf{k}_i$  is the *filterCoefficient* for the corresponding measurement quantity of the i:th *QuantityConfigNR* in *quantityConfigNRList*, and i is indicated by *quantityConfigSet* in *MeasObjectNR*;

- 2> adapt the filter such that the time characteristics of the filter are preserved at different input rates, observing that the *filterCoefficient* k assumes a sample rate equal to 200 ms;
- NOTE 2: If k is set to 0, no layer 3 filtering is applicable.
- NOTE 3: The filtering is performed in the same domain as used for evaluation of reporting criteria or for measurement reporting, i.e., logarithmic filtering for logarithmic measurements.
- NOTE 4: The filter input rate is implementation dependent, to fulfil the performance requirements set in TS 36.133 [16]. For further details about the physical layer measurements, see TS 36.133 [16].

# 5.5.3.3 Derivation of NR cell quality

### The UE shall:

- 1> if the associated *measObject*, in RRC\_CONNECTED, or the associated entry in *measIdleCarrierListNR* within *VarMeasIdleConfig*, for measurements performed according to 5.6.20.2 in RRC\_IDLE or RRC\_INACTIVE, includes *maxRS-IndexCellQual*; and
- 1> if there are multiple detected NR-SS beams associated to the cell; and
- 1> if *threshRS-Index* is configured and if for more than one of the NR-SS beams the measured result exceeds this threshold:
  - 2> consider the cell quality to be the linear average of the power values of the, up to *maxRS-IndexCellQual*, best of the detected NR-SS beams exceeding *threshRS-Index*;

#### 1> else:

2> consider the cell quality to be the measurement result of the detected NR-SS beam, associated to the cell, with the highest measurement result;

# 5.5.3.4 Derivation of NR beam quality

The UE shall:

1> consider the beam quality to be the value resulting after layer 3 filtering, as specified in 5.5.3.2, of the measurement results of the concerned beam, where each result is averaged as described in TS 38.215 [89];

# 5.5.4 Measurement report triggering

## 5.5.4.1 General

If security has been activated successfully, the UE shall:

- 1> for each *measId* included in the *measIdList* within *VarMeasConfig*:
  - 2> if the corresponding reportConfig includes a purpose set to reportStrongestCellsForSON:
    - 3> consider any neighbouring cell detected on the associated frequency to be applicable;
  - 2> else if the corresponding reportConfig includes a purpose set to reportCGI:
    - 3> consider any neighbouring cell detected on the associated frequency/ set of frequencies (GERAN) which has a physical cell identity matching the value of the *cellForWhichToReportCGI* included in the corresponding *measObject* within the *VarMeasConfig* to be applicable;

## 2> else:

- 3> if the corresponding *measObject* concerns E-UTRA:
  - 4> if the *ue-RxTxTimeDiffPeriodical* is configured in the corresponding *reportConfig*:
    - 5> consider only the PCell to be applicable;
  - 4> else if the *reportSSTD-Meas* is set to *true* in the corresponding *reportConfig*:
    - 5> consider the PSCell to be applicable;
  - 4> else if the eventA1 or eventA2 is configured in the corresponding reportConfig:
    - 5> consider only the serving cell to be applicable;
  - 4> else if *eventC1* or *eventC2* is configured in the corresponding *reportConfig*; or if *reportStrongestCSI-RSs* is set to *true* in the corresponding *reportConfig*:

- 5> consider a CSI-RS resource on the associated frequency to be applicable when the concerned CSI-RS resource is included in the *measCSI-RS-ToAddModList* defined within the *VarMeasConfig* for this *measId*;
- 4> else if *measRSSI-ReportConfig* is configured in the corresponding *reportConfig*:
  - 5> consider the resource indicated by the *rmtc-Config* on the associated frequency to be applicable;
- 4> else:
  - 5> if *useAllowedCellList* is set to *TRUE*:
    - 6> consider any neighbouring cell detected on the associated frequency to be applicable when the concerned cell is included in the *allowedCellsToAddModList* defined within the *VarMeasConfig* for this *measId*;
  - 5> else:
    - 6> consider any neighbouring cell detected on the associated frequency to be applicable when the concerned cell is not included in the excludedCellsToAddModList defined within the VarMeasConfig for this measId;
  - 5> for events involving a serving cell on one frequency and neighbours on another frequency, consider the serving cell on the other frequency as a neighbouring cell;
- 4> if the corresponding *reportConfig* includes *alternativeTimeToTrigger* and if the UE supports *alternativeTimeToTrigger*:
  - 5> use the value of *alternativeTimeToTrigger* as the time to trigger instead of the value of *timeToTrigger* in the corresponding *reportConfig* for cells included in the *altTTT-CellsToAddModList* of the corresponding *measObject*;
- 3> else if the corresponding *measObject* concerns UTRA or CDMA2000:
  - 4> consider a neighbouring cell on the associated frequency to be applicable when the concerned cell is included in the *cellsToAddModList* defined within the *VarMeasConfig* for this *measId* (i.e. the cell is included in the allow-list);
- NOTE 0: The UE may also consider a neighbouring cell on the associated UTRA frequency to be applicable when the concerned cell is included in the *csg-allowedReportingCells* within the *VarMeasConfig* for this *measId*, if configured in the corresponding *measObjectUTRA* (i.e. the cell is included in the range of physical cell identities for which reporting is allowed).
  - 3> else if the corresponding *measObject* concerns GERAN:
    - 4> consider a neighbouring cell on the associated set of frequencies to be applicable when the concerned cell matches the *ncc-Permitted* defined within the *VarMeasConfig* for this *measId*;
  - 3> else if the corresponding *measObject* concerns WLAN:
    - 4> consider a WLAN on the associated set of frequencies, as indicated by *carrierFreq* or on all WLAN frequencies when *carrierFreq* is not present, to be applicable if the WLAN matches all WLAN identifiers of at least one entry within *wlan-Id-List* for this *measId*;
  - 3> else if the corresponding *measObject* concerns NR:
    - 4> if the *reportSFTD-Meas* is set to *pSCell* in the corresponding *reportConfigInterRAT*:
      - 5> consider the PSCell to be applicable;
    - 4> else if the reportSFTD-Meas is set to neighborCells in the corresponding reportConfigInterRAT:
      - 5> if *cellsForWhichToReportSFTD* is configured in the corresponding *measObjectNR*:
        - 6> consider any neighbouring NR cell on the associated frequency that is included in *cellsForWhichToReportSFTD* to be applicable;

- 5> else:
  - 6> consider up to 3 strongest neighbouring NR cells detected on the associated frequency to be applicable when the concerned cells are not included in the *excludedCellsToAddModList* defined within the *VarMeasConfig* for this measId;
- 4> else if measRSSI-ReportConfigNR is configured in the corresponding reportConfigInterRAT:
  - 5> consider the resource indicated by the *rmtc-ConfigNR* on the associated frequency to be applicable;
- 4> else:
  - 5> if the *eventB1* or *eventB2* is configured in the corresponding *reportConfig*:
    - 6> consider a serving cell, if any, on the associated NR frequency as neighbouring cell;
  - 5> consider any neighbouring cell detected on the associated frequency to be applicable when the concerned cell is not included in the *excludedCellsToAddModList* defined within the *VarMeasConfig* for this *measId*;
- 2> if *tx-ResourcePoolToAddList* is configured in the *measObject*, and if the corresponding *reportConfig* includes a purpose set to *sidelink* or includes *eventV1* or *eventV2*:
  - 3> consider the transmission resource pools indicated by the *tx-ResourcePoolToAddList* defined within the *VarMeasConfig* for this *measId* to be applicable;
- 2> if the corresponding reportConfig includes a purpose set to reportLocation:
  - 3> consider only the PCell to be applicable;
- 2> if the triggerType is set to event, and if the corresponding reportConfig does not include numberOfTriggeringCells, and if the entry condition applicable for this event, i.e. the event corresponding with the eventId of the corresponding reportConfig within VarMeasConfig, is fulfilled for one or more applicable cells for all measurements after layer 3 filtering taken during timeToTrigger defined for this event within the VarMeasConfig, while the VarMeasReportList does not include a measurement reporting entry for this measId (a first cell triggers the event):
  - 3> include a measurement reporting entry within the VarMeasReportList for this measId;
  - 3> set the numberOfReportsSent defined within the VarMeasReportList for this measId to 0;
  - 3> include the concerned cell(s) in the *cellsTriggeredList* defined within the *VarMeasReportList* for this *measId*:
  - 3> if the UE supports T312 and if *useT312* is set to *true* for this event and if T310 is running:
    - 4> if T312 is not running:
      - 5> start timer T312 with the value configured in the corresponding measObject;
  - 3> initiate the measurement reporting procedure, as specified in 5.5.5;
- 2> if the triggerType is set to event, and if the corresponding reportConfig does not include numberOfTriggeringCells, and if the entry condition applicable for this event, i.e. the event corresponding with the eventId of the corresponding reportConfig within VarMeasConfig, is fulfilled for one or more applicable cells not included in the cellsTriggeredList for all measurements after layer 3 filtering taken during timeToTrigger defined for this event within the VarMeasConfig (a subsequent cell triggers the event):
  - 3> set the numberOfReportsSent defined within the VarMeasReportList for this measId to 0;
  - 3> include the concerned cell(s) in the *cellsTriggeredList* defined within the *VarMeasReportList* for this *measId*;
  - 3> if the UE supports T312 and if *useT312* is set to *true* for this event and if T310 is running:
    - 4> if T312 is not running:

- 5> start timer T312 with the value configured in the corresponding measObject;
- 3> initiate the measurement reporting procedure, as specified in 5.5.5;
- 2> if the *triggerType* is set to *event* and if the corresponding *reportConfig* includes *numberOfTriggeringCells*, and if the entry condition applicable for this event, i.e. the event corresponding with the *eventId* of the corresponding *reportConfig* within *VarMeasConfig*, is fulfilled for one or more applicable cells for all measurements after layer 3 filtering taken during *timeToTrigger* defined for this event within the *VarMeasConfig*:
  - 3> If the *VarMeasReportList* does not include a measurement reporting entry for this *measId* (a first cell triggers the event):
    - 4> include a measurement reporting entry within the VarMeasReportList for this measId;
  - 3> If the number of cell(s) in the *cellsTriggeredList* is larger than or equal to *numberOfTriggeringCells*:
    - 4> include the concerned cell(s) in the *cellsTriggeredList* defined within the *VarMeasReportList* for this *measId*;

- 4> include the concerned cell(s) in the *cellsTriggeredList* defined within the *VarMeasReportList* for this *measId*;
- 4> If the number of cell(s) in the *cellsTriggeredList* is larger than or equal to *numberOfTriggeringCells*:
  - 5> set the *numberOfReportsSent* defined within the *VarMeasReportList* for this *measId* to 0;
  - 5> initiate the measurement reporting procedure, as specified in 5.5.5;
- 2> if the triggerType is set to event and if the leaving condition applicable for this event is fulfilled for one or more of the cells included in the cellsTriggeredList defined within the VarMeasReportList for this measId for all measurements after layer 3 filtering taken during timeToTrigger defined within the VarMeasConfig for this event:
  - 3> remove the concerned cell(s) in the *cellsTriggeredList* defined within the *VarMeasReportList* for this *measId*;
  - 3> if *reportOnLeave* is set to *TRUE* for the corresponding reporting configuration or if *a6-ReportOnLeave* is set to *TRUE* or if *a4-a5-ReportOnLeave* is set to TRUE for the corresponding reporting configuration:
    - 4> initiate the measurement reporting procedure, as specified in 5.5.5;
  - 3> if the *cellsTriggeredList* defined within the *VarMeasReportList* for this *measId* is empty:
    - 4> remove the measurement reporting entry within the VarMeasReportList for this measId;
    - 4> stop the periodical reporting timer for this *measId*, if running;
- 2> if the triggerType is set to event and if the entry condition applicable for this event, i.e. the event corresponding with the eventId of the corresponding reportConfig within VarMeasConfig, is fulfilled for one or more applicable CSI-RS resources for all measurements after layer 3 filtering taken during timeToTrigger defined for this event within the VarMeasConfig, while the VarMeasReportList does not include a measurement reporting entry for this measId (i.e. a first CSI-RS resource triggers the event):
  - 3> include a measurement reporting entry within the VarMeasReportList for this measId;
  - 3> set the numberOfReportsSent defined within the VarMeasReportList for this measId to 0;
  - 3> include the concerned CSI-RS resource(s) in the *csi-RS-TriggeredList* defined within the *VarMeasReportList* for this *measId*;
  - 3> initiate the measurement reporting procedure, as specified in 5.5.5;
- 2> if the *triggerType* is set to *event* and if the entry condition applicable for this event, i.e. the event corresponding with the *eventId* of the corresponding *reportConfig* within *VarMeasConfig*, is fulfilled for one

or more applicable CSI-RS resources not included in the *csi-RS-TriggeredList* for all measurements after layer 3 filtering taken during *timeToTrigger* defined for this event within the *VarMeasConfig* (i.e. a subsequent CSI-RS resource triggers the event):

- 3> set the numberOfReportsSent defined within the VarMeasReportList for this measId to 0;
- 3> include the concerned CSI-RS resource(s) in the *csi-RS-TriggeredList* defined within the *VarMeasReportList* for this *measId*;
- 3> initiate the measurement reporting procedure, as specified in 5.5.5;
- 2> if the triggerType is set to event and if the leaving condition applicable for this event is fulfilled for one or more of the CSI-RS resources included in the csi-RS-TriggeredList defined within the VarMeasReportList for this measId for all measurements after layer 3 filtering taken during timeToTrigger defined within the VarMeasConfig for this event:
  - 3> remove the concerned CSI-RS resource(s) in the *csi-RS-TriggeredList* defined within the *VarMeasReportList* for this *measId*;
  - 3> if *c1-ReportOnLeave* is set to *TRUE* for the corresponding reporting configuration or if *c2-ReportOnLeave* is set to *TRUE* for the corresponding reporting configuration:
    - 4> initiate the measurement reporting procedure, as specified in 5.5.5;
  - 3> if the csi-RS-TriggeredList defined within the VarMeasReportList for this measId is empty:
    - 4> remove the measurement reporting entry within the VarMeasReportList for this measId;
    - 4> stop the periodical reporting timer for this *measId*, if running;
- 2> if the triggerType is set to event and if the entry condition applicable for this event, i.e. the event corresponding with the eventId of the corresponding reportConfig within VarMeasConfig, is fulfilled for one or more applicable transmission resource pools for all measurements taken during timeToTrigger defined for this event within the VarMeasConfig, while the VarMeasReportList does not include a measurement reporting entry for this measId (a first transmission resource pool triggers the event):
  - 3> include a measurement reporting entry within the VarMeasReportList for this measId;
  - 3> set the numberOfReportsSent defined within the VarMeasReportList for this measId to 0;
  - 3> include the concerned transmission resource pool(s) in the *poolsTriggeredList* defined within the *VarMeasReportList* for this *measId*;
  - 3> initiate the measurement reporting procedure, as specified in 5.5.5;
- 2> if the *triggerType* is set to *event* and if the entry condition applicable for this event, i.e. the event corresponding with the *eventId* of the corresponding *reportConfig* within *VarMeasConfig*, is fulfilled for one or more applicable transmission resource pools not included in the *poolsTriggeredList* for all measurements taken during *timeToTrigger* defined for this event within the *VarMeasConfig* (a subsequent transmission resource pool triggers the event):
  - 3> set the numberOfReportsSent defined within the VarMeasReportList for this measId to 0;
  - 3> include the concerned transmission resource pool(s) in the *poolsTriggeredList* defined within the *VarMeasReportList* for this *measId*;
  - 3> initiate the measurement reporting procedure, as specified in 5.5.5;
- 2> if the triggerType is set to event and if the leaving condition applicable for this event is fulfilled for one or more applicable transmission resource pools included in the poolsTriggeredList defined within the VarMeasReportList for this measId for all measurements taken during timeToTrigger defined within the VarMeasConfig for this event:
  - 3> remove the concerned transmission resource pool(s) from the *poolsTriggeredList* defined within the *VarMeasReportList* for this *measId*;

- 3> if the *poolsTriggeredList* defined within the *VarMeasReportList* for this *measId* is empty:
  - 4> remove the measurement reporting entry within the VarMeasReportList for this measId;
  - 4> stop the periodical reporting timer for this *measId*, if running;

### NOTE 1: Void.

- 2> if the *triggerType* is set to *event* and if the *eventId* is set to *eventH1* or *eventH2* and if the entering condition applicable for this event, i.e. the event corresponding with the *eventId* of the corresponding *reportConfig* within *VarMeasConfig*, is fulfilled during *timeToTrigger* defined within the *VarMeasConfig* for this event, while the *VarMeasReportList* does not include a measurement reporting entry for this *measId*:
  - 3> include a measurement reporting entry within the VarMeasReportList for this measId;
  - 3> set the numberOfReportsSent defined within the VarMeasReportList for this measId to 0;
  - 3> initiate the measurement reporting procedure, as specified in 5.5.5;
- 2> if the *triggerType* is set to *event* and if the *eventId* is set to *eventH1* or *eventH2* and if the leaving condition applicable for this event, i.e. the event corresponding with the *eventId* of the corresponding *reportConfig* within *VarMeasConfig*, is fulfilled during *timeToTrigger* defined within the *VarMeasConfig* for this event:
  - 3> remove the measurement reporting entry within the VarMeasReportList for this measId;
- 2> if *measRSSI-ReportConfig* is included and if a (first) measurement result is available:
  - 3> include a measurement reporting entry within the VarMeasReportList for this measId;
  - 3> set the numberOfReportsSent defined within the VarMeasReportList for this measId to 0;
  - 3> initiate the measurement reporting procedure as specified in 5.5.5 immediately when RSSI sample values are reported by the physical layer after the first L1 measurement duration;
- 2> if measRSSI-ReportConfigNR is included and if a (first) measurement result is available:
  - 3> include a measurement reporting entry within the VarMeasReportList for this measId;
  - 3> set the numberOfReportsSent defined within the VarMeasReportList for this measId to 0;
  - 3> initiate the measurement reporting procedure as specified in 5.5.5 immediately when RSSI sample values are reported by the physical layer after the first L1 measurement duration;
- 2> else if the *purpose* is included and set to *reportStrongestCells*, *reportStrongestCellsForSON*, *reportLocation sidelink* or *sensing* and if a (first) measurement result is available:
  - 3> include a measurement reporting entry within the *VarMeasReportList* for this *measId*;
  - 3> set the numberOfReportsSent defined within the VarMeasReportList for this measId to 0;
  - 3> if the *purpose* is set to *reportStrongestCells* and *reportStrongestCSI-RSs* is set to *FALSE*:
    - 4> if the *triggerType* is set to *periodical* and the corresponding *reportConfig* includes the *ul-DelayConfig*:
      - 5> initiate the measurement reporting procedure, as specified in 5.5.5, immediately after a first measurement result is provided by lower layers;
    - 4> if the *triggerType* is set to *periodical* and the corresponding *reportConfig* includes the *ul-DelayValueConfig*:
      - 5> initiate the measurement reporting procedure, as specified in 5.5.5, immediately after a first measurement result is provided by lower layers of the associated DRB identity;
    - 4> else if the corresponding measurement object concerns WLAN:

- 5> initiate the measurement reporting procedure, as specified in 5.5.5, immediately after the quantity to be reported becomes available for the PCell and for the applicable WLAN(s);
- 4> else if the *reportAmount* exceeds 1:
  - 5> initiate the measurement reporting procedure, as specified in 5.5.5, immediately after the quantity to be reported becomes available for the PCell;
- 4> else (i.e. the *reportAmount* is equal to 1):
  - 5> initiate the measurement reporting procedure, as specified in 5.5.5, immediately after the quantity to be reported becomes available for the PCell and for the strongest cell among the applicable cells, or becomes available for the pair of PCell and the PSCell in case of SSTD measurements, or becomes available for each requested pair of PCell and NR cell or the maximal measurement reporting delay as specified in TS 36.133 [16], clause 8.17.2.3 in case of SFTD measurements;
- 3> if the *purpose* is set to *reportLocation*, *sidelink* or *sensing*:
  - 4> if the *purpose* is set to *reportLocation*:
    - 5> initiate the measurement reporting procedure, as specified in 5.5.5, immediately after both the quantity to be reported for the PCell and the location information become available;
  - 4> else if the *purpose* is set to *sidelink*:
    - 5> initiate the measurement reporting procedure as specified in 5.5.5 immediately after both the quantity to be reported for the PCell and the CBR measurement result become available;
  - 4> else if the *purpose* is set to *sensing*:
    - 5> initiate the measurement reporting procedure as specified in 5.5.5 immediately after both the quantity to be reported for the PCell and the sensing measurement result become available;
- 3> else if the *purpose* is not set to *reportStrongestCells* or *reportStrongestCSI-RSs* is set to *true*:
  - 4> initiate the measurement reporting procedure, as specified in 5.5.5, when it has determined the strongest cells on the associated frequency;
- 2> upon expiry of the periodical reporting timer for this *measId*:
  - 3> initiate the measurement reporting procedure, as specified in 5.5.5;
- 2> if the *purpose* is included and set to *reportCGI*:
  - 3> if the UE acquired the information needed to set all fields of cgi-Info for the requested cell; or
  - 3> if the UE detects that the requested NR cell is not transmitting SIB1:
    - 4> include a measurement reporting entry within the VarMeasReportList for this measId;
    - 4> set the numberOfReportsSent defined within the VarMeasReportList for this measId to 0;
    - 4> stop timer T321;
    - 4> initiate the measurement reporting procedure, as specified in 5.5.5;
- 2> upon expiry of the T321 for this *measId*:
  - 3> include a measurement reporting entry within the VarMeasReportList for this measId;
  - 3> set the numberOfReportsSent defined within the VarMeasReportList for this measId to 0;
  - 3> initiate the measurement reporting procedure, as specified in 5.5.5;
- NOTE 2: The UE does not stop the periodical reporting with *triggerType* set to *event* or to *periodical* while the corresponding measurement is not performed due to the PCell RSRP (or PSCell RSRP, if the UE is in NE-DC) being equal to or better than *s-Measure* or due to the measurement gap not being setup.

NOTE 3: If the UE is configured with DRX, the UE may delay the measurement reporting for event triggered and periodical triggered measurements until the Active Time, which is defined in TS 36.321 [6].

## 5.5.4.2 Event A1 (Serving becomes better than threshold)

The UE shall:

- 1> consider the entering condition for this event to be satisfied when condition A1-1, as specified below, is fulfilled;
- 1> consider the leaving condition for this event to be satisfied when condition A1-2, as specified below, is fulfilled;
- 1> for this measurement, consider the primary or secondary cell that is configured on the frequency indicated in the associated *measObjectEUTRA* to be the serving cell;

Inequality A1-1 (Entering condition)

Ms - Hys > Thresh

Inequality A1-2 (Leaving condition)

Ms + Hys < Thresh

The variables in the formula are defined as follows:

Ms is the measurement result of the serving cell, not taking into account any offsets.

Hys is the hysteresis parameter for this event (i.e. hysteresis as defined within reportConfigEUTRA for this event).

**Thresh** is the threshold parameter for this event (i.e. *a1-Threshold* as defined within *reportConfigEUTRA* for this event).

Ms is expressed in dBm in case of RSRP, or in dB in case of RSRQ and RS-SINR.

*Hys* is expressed in dB.

Thresh is expressed in the same unit as Ms.

## 5.5.4.3 Event A2 (Serving becomes worse than threshold)

The UE shall:

- 1> consider the entering condition for this event to be satisfied when condition A2-1, as specified below, is fulfilled;
- 1> consider the leaving condition for this event to be satisfied when condition A2-2, as specified below, is fulfilled;
- 1> for this measurement, consider the primary or secondary cell that is configured on the frequency indicated in the associated *measObjectEUTRA* to be the serving cell;

Inequality A2-1 (Entering condition)

Ms + Hys < Thresh

Inequality A2-2 (Leaving condition)

Ms - Hys > Thresh

The variables in the formula are defined as follows:

Ms is the measurement result of the serving cell, not taking into account any offsets.

Hys is the hysteresis parameter for this event (i.e. hysteresis as defined within reportConfigEUTRA for this event).

*Thresh* is the threshold parameter for this event (i.e. *a2-Threshold* as defined within *reportConfigEUTRA* for this event).

Ms is expressed in dBm in case of RSRP, or in dB in case of RSRQ and RS-SINR.

Hys is expressed in dB.

Thresh is expressed in the same unit as Ms.

# 5.5.4.4 Event A3 (Neighbour becomes offset better than PCell/ PSCell)

The UE shall:

1> consider the entering condition for this event to be satisfied when condition A3-1, as specified below, is fulfilled;

1> consider the leaving condition for this event to be satisfied when condition A3-2, as specified below, is fulfilled;

1> if usePSCell of the corresponding reportConfig is set to true:

2> use the PSCell for Mp, Ofp and Ocp;

1> else:

2> use the PCell for Mp, Ofp and Ocp;

NOTE The cell(s) that triggers the event is on the frequency indicated in the associated *measObject* which may be different from the frequency used by the PCell/ PSCell.

Inequality A3-1 (Entering condition)

$$Mn + Ofn + Ocn - Hys > Mp + Ofp + Ocp + Off$$

Inequality A3-2 (Leaving condition)

$$Mn + Ofn + Ocn + Hys < Mp + Ofp + Ocp + Off$$

The variables in the formula are defined as follows:

**Mn** is the measurement result of the neighbouring cell, not taking into account any offsets.

*Ofn* is the frequency specific offset of the frequency of the neighbour cell (i.e. *offsetFreq* as defined within *measObjectEUTRA* corresponding to the frequency of the neighbour cell).

**Ocn** is the cell specific offset of the neighbour cell (i.e. *cellIndividualOffset* as defined within *measObjectEUTRA* corresponding to the frequency of the neighbour cell), and set to zero if not configured for the neighbour cell.

Mp is the measurement result of the PCell/ PSCell, not taking into account any offsets.

*Ofp* is the frequency specific offset of the frequency of the PCell/ PSCell (i.e. *offsetFreq* as defined within *measObjectEUTRA* corresponding to the frequency of the PCell/ PSCell).

*Ocp* is the cell specific offset of the PCell/ PSCell (i.e. *cellIndividualOffset* as defined within *measObjectEUTRA* corresponding to the frequency of the PCell/ PSCell), and is set to zero if not configured for the PCell/ PSCell.

Hys is the hysteresis parameter for this event (i.e. hysteresis as defined within reportConfigEUTRA for this event).

Off is the offset parameter for this event (i.e. a3-Offset as defined within reportConfigEUTRA for this event).

Mn, Mp are expressed in dBm in case of RSRP, or in dB in case of RSRQ and RS-SINR.

Ofn, Ocn, Ofp, Ocp, Hys, Off are expressed in dB.

## 5.5.4.5 Event A4 (Neighbour becomes better than threshold)

The UE shall:

1> consider the entering condition for this event to be satisfied when condition A4-1, as specified below, is fulfilled;

1> consider the leaving condition for this event to be satisfied when condition A4-2, as specified below, is fulfilled;

Inequality A4-1 (Entering condition)

Mn + Ofn + Ocn - Hys > Thresh

Inequality A4-2 (Leaving condition)

Mn + Ofn + Ocn + Hys < Thresh

The variables in the formula are defined as follows:

*Mn* is the measurement result of the neighbouring cell, not taking into account any offsets.

*Ofn* is the frequency specific offset of the frequency of the neighbour cell (i.e. *offsetFreq* as defined within *measObjectEUTRA* corresponding to the frequency of the neighbour cell).

**Ocn** is the cell specific offset of the neighbour cell (i.e. *cellIndividualOffset* as defined within *measObjectEUTRA* corresponding to the frequency of the neighbour cell), and set to zero if not configured for the neighbour cell.

Hys is the hysteresis parameter for this event (i.e. hysteresis as defined within reportConfigEUTRA for this event).

**Thresh** is the threshold parameter for this event (i.e. a4-Threshold as defined within reportConfigEUTRA for this event).

*Mn* is expressed in dBm in case of RSRP, or in dB in case of RSRQ and RS-SINR.

*Ofn, Ocn, Hys* are expressed in dB.

*Thresh* is expressed in the same unit as *Mn*.

# 5.5.4.6 Event A5 (PCell/ PSCell becomes worse than threshold1 and neighbour becomes better than threshold2)

The UE shall:

- 1> consider the entering condition for this event to be satisfied when both condition A5-1 and condition A5-2, as specified below, are fulfilled;
- 1> consider the leaving condition for this event to be satisfied when condition A5-3 or condition A5-4, i.e. at least one of the two, as specified below, is fulfilled;
- 1> if *usePSCell* of the corresponding *reportConfig* is set to *true*:

2> use the PSCell for *Mp*;

1> else:

2> use the PCell for *Mp*;

NOTE: The cell(s) that triggers the event is on the frequency indicated in the associated *measObject* which may be different from the frequency used by the PCell/ PSCell.

Inequality A5-1 (Entering condition 1)

Mp + Hys < Thresh

Inequality A5-2 (Entering condition 2)

Mn + Ofn + Ocn - Hys > Thresh2

Inequality A5-3 (Leaving condition 1)

Mp-Hys > Thresh

Inequality A5-4 (Leaving condition 2)

Mn + Ofn + Ocn + Hys < Thresh2

The variables in the formula are defined as follows:

Mp is the measurement result of the PCell/ PSCell, not taking into account any offsets.

**Mn** is the measurement result of the neighbouring cell, not taking into account any offsets.

*Ofn* is the frequency specific offset of the frequency of the neighbour cell (i.e. *offsetFreq* as defined within *measObjectEUTRA* corresponding to the frequency of the neighbour cell).

*Ocn* is the cell specific offset of the neighbour cell (i.e. *cellIndividualOffset* as defined within *measObjectEUTRA* corresponding to the frequency of the neighbour cell), and set to zero if not configured for the neighbour cell.

Hys is the hysteresis parameter for this event (i.e. hysteresis as defined within reportConfigEUTRA for this event).

**Thresh1** is the threshold parameter for this event (i.e. a5-Threshold1 as defined within reportConfigEUTRA for this event).

*Thresh2* is the threshold parameter for this event (i.e. *a5-Threshold2* as defined within *reportConfigEUTRA* for this event).

Mn, Mp are expressed in dBm in case of RSRP, or in dB in case of RSRQ and RS-SINR.

Ofn, Ocn, Hys are expressed in dB.

*Thresh1* is expressed in the same unit as *Mp*.

**Thresh2** is expressed in the same unit as Mn.

# 5.5.4.6a Event A6 (Neighbour becomes offset better than SCell)

The UE shall:

1> consider the entering condition for this event to be satisfied when condition A6-1, as specified below, is fulfilled;

1> consider the leaving condition for this event to be satisfied when condition A6-2, as specified below, is fulfilled;

1> for this measurement, consider the (secondary) cell that is configured on the frequency indicated in the associated *measObjectEUTRA* to be the serving cell;

NOTE: The neighbour(s) is on the same frequency as the SCell i.e. both are on the frequency indicated in the associated *measObject*.

Inequality A6-1 (Entering condition)

Mn + Ocn - Hys > Ms + Ocs + Off

Inequality A6-2 (Leaving condition)

Mn + Ocn + Hys < Ms + Ocs + Off

The variables in the formula are defined as follows:

Mn is the measurement result of the neighbouring cell, not taking into account any offsets.

**Ocn** is the cell specific offset of the neighbour cell (i.e. *cellIndividualOffset* as defined within *measObjectEUTRA* corresponding to the frequency of the neighbour cell), and set to zero if not configured for the neighbour cell.

Ms is the measurement result of the serving cell, not taking into account any offsets.

Ocs is the cell specific offset of the serving cell (i.e. cellIndividualOffset as defined within measObjectEUTRA corresponding to the serving frequency), and is set to zero if not configured for the serving cell.

Hys is the hysteresis parameter for this event (i.e. hysteresis as defined within reportConfigEUTRA for this event).

Off is the offset parameter for this event (i.e. a6-Offset as defined within reportConfigEUTRA for this event).

Mn, Ms are expressed in dBm in case of RSRP, or in dB in case of RSRQ and RS-SINR.

Ocn, Ocs, Hys, Off are expressed in dB.

# 5.5.4.7 Event B1 (Inter RAT neighbour becomes better than threshold)

The UE shall:

1> for UTRA and CDMA2000, only trigger the event for cells included in the corresponding measurement object;

1> consider the entering condition for this event to be satisfied when condition B1-1, as specified below, is fulfilled;

1> consider the leaving condition for this event to be satisfied when condition B1-2, as specified below, is fulfilled;

Inequality B1-1 (Entering condition)

Mn + Ofn + Ocn - Hys > Thresh

Inequality B1-2 (Leaving condition)

Mn + Ofn + Ocn + Hys < Thresh

The variables in the formula are defined as follows:

*Mn* is the measurement result of the inter-RAT neighbour cell, not taking into account any offsets. For CDMA 2000 measurement result, *pilotStrength* is divided by -2.

*Ofn* is the frequency specific offset of the frequency of the inter-RAT neighbour cell (i.e. *offsetFreq* as defined within the *measObject* corresponding to the frequency of the neighbour inter-RAT cell).

Ocn is the cell specific offset of the inter-RAT NR neighbour cell (i.e. cellIndividualOffset as defined within the measObjectNR corresponding to the neighbour inter-RAT cell), and set to zero if not configured for the neighbour cell.

Hys is the hysteresis parameter for this event (i.e. hysteresis as defined within reportConfigInterRAT for this event).

**Thresh** is the threshold parameter for this event (i.e. b1-Threshold as defined within reportConfigInterRAT for this event). For CDMA2000, b1-Threshold is divided by -2.

Mn is expressed in dBm or in dB, depending on the measurement quantity of the inter-RAT neighbour cell.

Ofn, Ocn, Hys are expressed in dB.

**Thresh** is expressed in the same unit as Mn.

# 5.5.4.8 Event B2 (PCell becomes worse than threshold1 and inter RAT neighbour becomes better than threshold2)

The UE shall:

1> for UTRA and CDMA2000, only trigger the event for cells included in the corresponding measurement object;

1> consider the entering condition for this event to be satisfied when both condition B2-1 and condition B2-2, as specified below, are fulfilled;

1> consider the leaving condition for this event to be satisfied when condition B2-3 or condition B2-4, i.e. at least one of the two, as specified below, is fulfilled;

Inequality B2-1 (Entering condition 1)

Mp + Hys < Thresh

Inequality B2-2 (Entering condition 2)

Mn + Ofn + Ocn - Hys > Thresh2

Inequality B2-3 (Leaving condition 1)

Mp-Hys>Thresh

Inequality B2-4 (Leaving condition 2)

Mn + Ofn + Ocn + Hys < Thresh2

The variables in the formula are defined as follows:

**Mp** is the measurement result of the PCell, not taking into account any offsets.

*Mn* is the measurement result of the inter-RAT neighbour cell, not taking into account any offsets. For CDMA2000 measurement result, *pilotStrength* is divided by -2.

*Ofn* is the frequency specific offset of the frequency of the inter-RAT neighbour cell (i.e. *offsetFreq* as defined within the *measObject* corresponding to the frequency of the inter-RAT neighbour cell).

*Ocn* is the cell specific offset of the inter-RAT NR neighbour cell (i.e. *cellIndividualOffset* as defined within the *measObjectNR* corresponding to the neighbour inter-RAT cell), and set to zero if not configured for the neighbour cell.

Hys is the hysteresis parameter for this event (i.e. hysteresis as defined within reportConfigInterRAT for this event).

*Thresh1* is the threshold parameter for this event (i.e. b2-*Threshold1* as defined within *reportConfigInterRAT* for this event).

*Thresh2* is the threshold parameter for this event (i.e. *b2-Threshold2* as defined within *reportConfigInterRAT* for this event). For CDMA2000, *b2-Threshold2* is divided by -2.

*Mp* is expressed in dBm in case of RSRP, or in dB in case of RSRQ.

Mn is expressed in dBm or dB, depending on the measurement quantity of the inter-RAT neighbour cell.

Ofn, Ocn, Hys are expressed in dB.

*Thresh1* is expressed in the same unit as *Mp*.

*Thresh2* is expressed in the same unit as *Mn*.

## 5.5.4.9 Event C1 (CSI-RS resource becomes better than threshold)

The UE shall:

1> consider the entering condition for this event to be satisfied when condition C1-1, as specified below, is fulfilled;

1> consider the leaving condition for this event to be satisfied when condition C1-2, as specified below, is fulfilled;

Inequality C1-1 (Entering condition)

Mcr + Ocr - Hys > Thresh

Inequality C1-2 (Leaving condition)

Mcr + Ocr + Hys < Thresh

The variables in the formula are defined as follows:

Mcr is the measurement result of the CSI-RS resource, not taking into account any offsets.

**Ocr** is the CSI-RS specific offset (i.e. *csi-RS-IndividualOffset* as defined within *measObjectEUTRA* corresponding to the frequency of the CSI-RS resource), and set to zero if not configured for the CSI-RS resource.

Hys is the hysteresis parameter for this event (i.e. hysteresis as defined within reportConfigEUTRA for this event).

**Thresh** is the threshold parameter for this event (i.e. c1-Threshold as defined within reportConfigEUTRA for this event).

Mcr, Thresh are expressed in dBm.

Ocr, Hys are expressed in dB.

# 5.5.4.10 Event C2 (CSI-RS resource becomes offset better than reference CSI-RS resource)

The UE shall:

1> consider the entering condition for this event to be satisfied when condition C2-1, as specified below, is fulfilled;

1> consider the leaving condition for this event to be satisfied when condition C2-2, as specified below, is fulfilled;

NOTE: The CSI-RS resource(s) that triggers the event is on the same frequency as the reference CSI-RS resource, i.e. both are on the frequency indicated in the associated *measObject*.

Inequality C2-1 (Entering condition)

Mcr + Ocr - Hys > Mref + Oref + Off

Inequality C2-2 (Leaving condition)

Mcr + Ocr + Hys < Mref + Oref + Off

The variables in the formula are defined as follows:

Mcr is the measurement result of the CSI-RS resource, not taking into account any offsets.

Ocr is the CSI-RS specific offset of the CSI-RS resource (i.e. csi-RS-IndividualOffset as defined within measObjectEUTRA corresponding to the frequency of the CSI-RS resource), and set to zero if not configured for the CSI-RS resource.

*Mref* is the measurement result of the reference CSI-RS resource (i.e. *c2-RefCSI-RS* as defined within *reportConfigEUTRA* for this event), not taking into account any offsets.

*Oref* is the CSI-RS specific offset of the reference CSI-RS resource (i.e. *csi-RS-IndividualOffset* as defined within *measObjectEUTRA* corresponding to the frequency of the reference CSI-RS resource), and is set to zero if not configured for the reference CSI-RS resource.

Hys is the hysteresis parameter for this event (i.e. hysteresis as defined within reportConfigEUTRA for this event).

Off is the offset parameter for this event (i.e. c2-Offset as defined within reportConfigEUTRA for this event).

*Mcr*, *Mref* are expressed in dBm.

*Ocr*, *Oref*, *Hys*, *Off* are expressed in dB.

## 5.5.4.11 Event W1 (WLAN becomes better than a threshold)

The UE shall:

1> consider the entering condition for this event to be satisfied when *wlan-MobilitySet* within *VarWLAN-MobilityConfig* does not contain any entries and condition W1-1, as specified below, is fulfilled;

1> consider the leaving condition for this event to be satisfied when condition W1-2, as specified below, is fulfilled;

Inequality W1-1 (Entering condition)

Mn-Hys>Thresh

Inequality W1-2 (Leaving condition)

Mn + Hys < Thresh

The variables in the formula are defined as follows:

Mn is the measurement result of WLAN(s) configured in the measurement object, not taking into account any offsets

*Hys* is the hysteresis parameter for this event.

**Thresh** is the threshold parameter for this event (i.e. w1-Threshold as defined within reportConfigInterRAT for this event).

Mn is expressed in dBm.

Hys is expressed in dB.

**Thresh** is expressed in the same unit as Mn.

# 5.5.4.12 Event W2 (All WLAN inside WLAN mobility set becomes worse than threshold1 and a WLAN outside WLAN mobility set becomes better than threshold2)

The UE shall:

- 1> consider the entering condition for this event to be satisfied when both conditions W2-1 and W2-2 as specified below are fulfilled;
- 1> consider the leaving condition for this event to be satisfied when condition W2-3 or condition W2-4, i.e. at least one of the two, as specified below is fulfilled;

Inequality W2-1 (Entering condition 1)

Ms + Hys < Thresh

Inequality W2-2 (Entering condition 2)

Mn - Hys > Thresh2

Inequality W2-3 (Leaving condition 1)

Ms - Hys > Thresh

Inequality W2-4 (Leaving condition 2)

Mn + Hys < Thresh2

The variables in the formula are defined as follows:

Ms is the measurement result of WLAN(s) which matches all WLAN identifiers of at least one entry within wlan-MobilitySet in VarWLAN-MobilityConfig, not taking into account any offsets.

**Mn** is the measurement result of WLAN(s) configured in the measurement object which does not match all WLAN identifiers of any entry within *wlan-MobilitySet* in *VarWLAN-MobilityConfig*, not taking into account any offsets.

*Hys* is the hysteresis parameter for this event.

*Thresh1* is the threshold parameter for this event (i.e. w2-Threshold1 as defined within reportConfigInterRAT for this event).

*Thresh2* is the threshold parameter for this event (i.e. w2-Threshold2 as defined within reportConfigInterRAT for this event).

*Mn*, *Ms* are expressed in dBm.

*Hys* is expressed in dB.

*Thresh1* is expressed in the same unit as *Ms*.

*Thresh2* is expressed in the same unit as *Mn*.

## 5.5.4.13 Event W3 (All WLAN inside WLAN mobility set becomes worse than a threshold)

The UE shall:

1> consider the entering condition for this event to be satisfied when condition W3-1, as specified below, is fulfilled;

1> consider the leaving condition for this event to be satisfied when condition W3-2, as specified below, is fulfilled;

Inequality W3-1 (Entering condition)

Ms + Hys < Thresh

Inequality W3-2 (Leaving condition)

Ms - Hys > Thresh

The variables in the formula are defined as follows:

Ms is the measurement result of WLAN(s) which matches all WLAN identifiers of at least one entry within wlan-MobilitySet in VarWLAN-MobilityConfig, not taking into account any offsets.

*Hys* is the hysteresis parameter for this event.

*Thresh* is the threshold parameter for this event (i.e. w3-Threshold as defined within reportConfigInterRAT for this event).

Ms is expressed in dBm.

Hys is expressed in dB.

*Thresh* is expressed in the same unit as *Ms*.

## 5.5.4.14 Event V1 (The channel busy ratio is above a threshold)

The UE shall:

1> consider the entering condition for this event to be satisfied when condition V1-1, as specified below, is fulfilled;

1> consider the leaving condition for this event to be satisfied when condition V1-2, as specified below, is fulfilled;

Inequality V1-1 (Entering condition)

Ms - Hys > Thresh

Inequality V1-2 (Leaving condition)

Ms + Hys < Thresh

The variables in the formula are defined as follows:

Ms is the measurement result of channel busy ratio of the transmission resource pool, not taking into account any offsets.

Hys is the hysteresis parameter for this event (i.e. hysteresis as defined within reportConfigEUTRA for this event).

Thresh is the threshold parameter for this event (i.e. v1-Threshold as defined within ReportConfigEUTRA).

Ms is expressed in decimal from 0 to 1 in steps of 0.01.

*Hys* is expressed is in the same unit as *Ms*.

*Thresh* is expressed in the same unit as *Ms*.

## 5.5.4.15 Event V2 (The channel busy ratio is below a threshold)

The UE shall:

1> consider the entering condition for this event to be satisfied when condition V2-1, as specified below, is fulfilled;

1> consider the leaving condition for this event to be satisfied when condition V2-2, as specified below, is fulfilled;

Inequality V2-1 (Entering condition)

Ms + Hys < Thresh

Inequality V2-2 (Leaving condition)

Ms - Hys > Thresh

The variables in the formula are defined as follows:

**Ms** is the measurement result of channel busy ratio of the transmission resource pool, not taking into account any offsets.

Hys is the hysteresis parameter for this event (i.e. hysteresis as defined within reportConfigEUTRA for this event).

Thresh is the threshold parameter for this event (i.e. v2-Threshold as defined within ReportConfigEUTRA).

Ms is expressed in decimal from 0 to 1 in steps of 0.01.

Hys is expressed is in the same unit as Ms.

Thresh is expressed in the same unit as Ms.

## 5.5.4.16 Event H1 (The Aerial UE height is above a threshold)

The UE shall:

1> consider the entering condition for this event to be satisfied when condition H1-1, as specified below, is fulfilled;

1> consider the leaving condition for this event to be satisfied when condition H1-2, as specified below, is fulfilled;

Inequality H1-1 (Entering condition)

Ms - Hys > Thresh + Offset

Inequality H1-2 (Leaving condition)

Ms + Hys < Thresh + Offset

The variables in the formula are defined as follows:

Ms is the Aerial UE height, not taking into account any offsets.

Hys is the hysteresis parameter (i.e. h1-Hysteresis as defined within ReportConfigEUTRA) for this event.

**Thresh** is the reference threshold parameter for this event given in **MeasConfig**(i.e. **heightThreshRef** as defined within **MeasConfig**).

Offset is the offset value to heightThreshRef to obtain the absolute threshold for this event. (i.e. h1-ThresholdOffset as defined within ReportConfigEUTRA)

*Ms* is expressed in meters.

*Thresh* is expressed in the same unit as *Ms*.

## 5.5.4.17 Event H2 (The Aerial UE height is below a threshold)

The UE shall:

1> consider the entering condition for this event to be satisfied when condition H2-1, as specified below, is fulfilled;

1> consider the leaving condition for this event to be satisfied when condition H2-2, as specified below, is fulfilled;

Inequality H2-1 (Entering condition)

$$Ms + Hys < Thresh + Offset$$

Inequality H2-2 (Leaving condition)

$$Ms - Hys > Thresh + Offset$$

The variables in the formula are defined as follows:

Ms is the Aerial UE height, not taking into account any offsets.

Hys is the hysteresis parameter (i.e. h2-Hysteresis as defined within ReportConfigEUTRA) for this event.

**Thresh** is the reference threshold parameter for this event given in MeasConfig(i.e. *heightThreshRef* as defined within *MeasConfig*).

**Offset** is the offset value to *heightThreshRef* to obtain the absolute threshold for this event. (i.e. *h2-ThresholdOffset* as defined within *ReportConfigEUTRA*)

*Ms* is expressed in meters.

*Thresh* is expressed in the same unit as *Ms*.

5.5.4.18 Void

5.5.4.19 Void

## 5.5.5 Measurement reporting

## 5.5.5.1 General

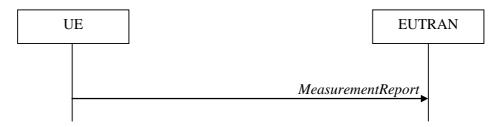


Figure 5.5.5.1-1: Measurement reporting

The purpose of this procedure is to transfer measurement results from the UE to E-UTRAN. The UE shall initiate this procedure only after successful security activation.

For the *measId* for which the measurement reporting procedure was triggered, the UE shall set the *measResults* within the *MeasurementReport* message as follows:

1> set the *measId* to the measurement identity that triggered the measurement reporting;

1> set the *measResultPCell* to include the quantities of the PCell;

1> set the *measResultServFreqList* to include for each E-UTRA SCell that is configured, if any, within *measResultSCell* the quantities of the concerned SCell, if available according to performance requirements in TS 36.133 [16], except if *purpose* for the *reportConfig* associated with the *measId* that triggered the measurement reporting is set to *reportLocation*;

- 1> if the *reportConfig* associated with the *measId* that triggered the measurement reporting includes *reportAddNeighMeas*:
  - 2> for each E-UTRA serving frequency for which *measObjectId* is referenced in the *measIdList*, other than the frequency corresponding with the *measId* that triggered the measurement reporting:
    - 3> set the *measResultServFreqList* to include within *measResultBestNeighCell* the *physCellId* and the quantities of the best non-serving cell, based on RSRP, on the concerned serving frequency;
- 1> if the *triggerType* is set to *event*; and if the corresponding measObject concerns NR; and if *eventId* is set to *eventB1-NR* or *eventB2-NR*; or
- 1> if the triggerType is set to event; and if eventId is set to eventA3 or eventA4 or eventA5:
  - 2> if (NG)EN-DC is configured, and if *purpose* for the *reportConfig* or *reportConfigInterRAT* associated with the *measId* that triggered the measurement reporting is set to a value other than *reportLocation* or if *purpose* is not configured:
    - 3> set the *measResultServFreqListNR* to include for each NR serving frequency that the UE is configured to measure according to TS 38.331 [82], if any, the following:
      - 4> set measResultSCell to include the available results of the NR serving cell, as specified in 5.5.5.2;
      - 4> if the *reportConfig* associated with the *measId* that triggered the measurement reporting includes *reportAddNeighMeas* and if *eventId* is set to *eventA3* or *eventA4* or *eventA5*:
        - 5> set *measResultBestNeighCell* to include the available results, as specified in 5.5.5.2, of the non-serving cell with the highest sorting quantity determined as specified in 5.5.5.3;
    - 3> for each (serving or neighbouring) cell for which the UE reports results according to the previous, additionally include available beam results according to the following:
      - 4> if maxReportRS-Index is configured, set measResultRS-IndexList to include available results, as specified in 5.5.5.2, of up to maxReportRS-Index beams, ordered based on the quantity determined as specified in 5.5.5.3;
- 1> if there is at least one applicable neighbouring cell to report:
  - 2> set the *measResultNeighCells* to include the best neighbouring cells up to *maxReportCells* in accordance with the following:
    - 3> if the *triggerType* is set to *event*:
      - 4> include the cells included in the *cellsTriggeredList* as defined within the *VarMeasReportList* for this *measId*;
    - 3> else:
      - 4> include the applicable cells for which the new measurement results became available since the last periodical reporting or since the measurement was initiated or reset;
- NOTE 1: The reliability of the report (i.e. the certainty it contains the strongest cells on the concerned frequency) depends on the measurement configuration i.e. the *reportInterval*. The related performance requirements are specified in TS 36.133 [16].
  - 3> for each cell that is included in the measResultNeighCells, include the physCellId;
  - 3> if the *triggerType* is set to *event*; or the *purpose* is set to *reportStrongestCells* or to *reportStrongestCellsForSON*:
    - 4> for each included cell, include the layer 3 filtered measured results in accordance with the *reportConfig* for this *measId*, ordered as follows:
      - 5> if the *measObject* associated with this *measId* concerns E-UTRA:
        - 6> set the *measResult* to include the quantity(ies) indicated in the *reportQuantity* within the concerned *reportConfig*;

- 6> sort the included cells in order of decreasing triggerQuantity, i.e. the best cell is included first;
- 5> if the *measObject* associated with this *measId* concerns NR:
  - 6> set the *measResultCell* to include the quantity(ies) indicated in the *reportQuantityCellNR* within the concerned *reportConfig*;
  - 6> if maxReportRS-Index and reportQuantityRS-IndexNR are configured, set measResultRS-IndexList to include the result of the best beam if threshRS-Index is included in the VarMeasConfig for the corresponding measObject, and the remaining beams whose quantity is above threshRS-Index, up to maxReportRS-Index beams in total:
    - 7> order beams based on the sorting quantity determined as specified in 5.5.5.3;
    - 7> for each included beam:
      - 8> include ssbIndex;
      - 8> if reportRS-IndexResultsNR is set to TRUE, for each quantity indicated, include the corresponding measurement result in measResultSSB-Index for each ssb-Index;
  - 6> sort the included cells in order of decreasing sorting quantity determined as specified in 5.5.5.3;
- 5> if the *measObject* associated with this *measId* concerns UTRA FDD and if *ReportConfigInterRAT* includes the *reportQuantityUTRA-FDD*:
  - 6> set the *measResult* to include the quantities indicated by the *reportQuantityUTRA-FDD* in order of decreasing *measQuantityUTRA-FDD* within the *quantityConfig*, i.e. the best cell is included first:
- 5> if the *measObject* associated with this *measId* concerns UTRA FDD and if *ReportConfigInterRAT* does not include the *reportQuantityUTRA-FDD*; or
- 5> if the measObject associated with this measId concerns UTRA TDD, GERAN or CDMA2000:
  - 6> set the *measResult* to the quantity as configured for the concerned RAT within the *quantityConfig* in order of either decreasing quantity for UTRA and GERAN or increasing quantity for CDMA2000 *pilotStrength*, i.e. the best cell is included first;
- 3> else if the *purpose* is set to *reportCGI* and the corresponding *measObject* concerns a RAT other than NR:
  - 4> if the mandatory present fields of the *cgi-Info* for the cell indicated by the *cellForWhichToReportCGI* in the associated *measObject* have been obtained:
    - 5> if the *includeMultiBandInfo* is configured:
      - 6> include the *freqBandIndicator*;
      - 6> if the cell broadcasts the *multiBandInfoList*, include the *multiBandInfoList*;
      - 6> if the cell broadcasts the freqBandIndicatorPriority, include the freqBandIndicatorPriority;
    - 5> if the cell broadcasts a CSG identity:
      - 6> include the *csg-Identity*;
      - 6> include the *csg-MemberStatus* and set it to *member* if the cell is a CSG member cell;
    - 5> if the si-RequestForHO is configured within the reportConfig associated with this measId:
      - 6> include the *cgi-Info* containing all the fields other than the *plmn-IdentityList* that have been successfully acquired;
      - 6> include, within the *cgi-Info*, the field *plmn-IdentityList* in accordance with the following:

- 7> if the cell is a CSG member cell, determine the subset of the PLMN identities, starting from the second entry of PLMN identities in the broadcast information, that meet the following conditions:
  - a) equal to the RPLMN or an EPLMN; and
  - b) the Permitted CSG list of the UE includes an entry comprising of the concerned PLMN identity and the CSG identity broadcast by the cell;
- 7> if the subset of PLMN identities determined according to the previous includes at least one PLMN identity, include the *plmn-IdentityList* and set it to include this subset of the PLMN identities;
- 7> if the cell is a CSG member cell, include the *primaryPLMN-Suitable* if the primary PLMN meets conditions a) and b) specified above;
- 7> if the cell does not broadcast *csg-Identity* and the UE is capable of reporting the *plmn-IdentityList* from cells not broadcasting *csg-Identity*:
  - 8> include in the plmn-IdentityList the list of identities starting from the second entry of PLMN identities in the broadcast information;
- 5> else:
  - 6> include the *cgi-Info* containing all the fields that have been successfully acquired and in accordance with the following:
    - 7> include in the *plmn-IdentityList* the list of identities starting from the second entry of PLMN Identities in the broadcast information;
- 4> if the *cellAccessRelatedInfoList-5GC* has been acquired:
  - 5> include cgi-Info-5GC;

NOTE 1a: The UE may include the cgi-Info-5GC even when the N1 mode is disabled.

- 3> else if the purpose is set to reportCGI and the corresponding measObject concerns NR RAT:
  - 4> if the Cell information of *cgi-Info* for the cell indicated by the *cellForWhichToReportCGI* in the associated *measObject* has been obtained:
    - 5> include *plmn-IdentityInfoList* including *plmn-IdentityList*, *trackingAreaCode* (if available), *ran-AreaCode* (if available) and *cellIdentity* for each entry of the *plmn-IdentityInfoList*;
    - 5> include *frequencyBandList* if broadcasted;
    - 5> for each entry in *plmn-IdentityInfoList*, if the *gNB-ID-Length* is broadcasted:
      - 6> include gNB-ID-Length;
  - 4> else if MIB associated with the concerned *measObject* indicates that SIB1 is not broadcast:
    - 5> include the *noSIB1* field;
- 1> for the cells included according to the previous (i.e. covering the PCell, the SCells, the best non-serving cells on serving frequencies as well as neighbouring EUTRA cells) include results according to the extended RSRQ if corresponding results are available according to the associated performance requirements defined in TS 36.133 [16];
- 1> if there is at least one applicable CSI-RS resource to report:
  - 2> set the *measResultCSI-RS-List* to include the best CSI-RS resources up to *maxReportCells* in accordance with the following:
    - 3> if the *triggerType* is set to *event*:

4> include the CSI-RS resources included in the *csi-RS-TriggeredList* as defined within the *VarMeasReportList* for this *measId*;

- 4> include the applicable CSI-RS resources for which the new measurement results became available since the last periodical reporting or since the measurement was initiated or reset;
- NOTE 2: The reliability of the report (i.e. the certainty it contains the strongest CSI-RS resources on the concerned frequency) depends on the measurement configuration i.e. the *reportInterval*. The related performance requirements are specified in TS 36.133 [16].
  - 3> for each CSI-RS resource that is included in the measResultCSI-RS-List:
    - 4> include the *measCSI-RS-Id*;
    - 4> include the layer 3 filtered measured results in accordance with the *reportConfig* for this *measId*, ordered as follow:
      - 5> set the *csi-RSRP-Result* to include the quantity indicated in the *reportQuantity* within the concerned *reportConfig* in order of decreasing *triggerQuantityCSI-RS*, i.e. the best CSI-RS resource is included first;
    - 4> if *reportCRS-Meas* is set to *true* within the associated *reportConfig*, and the cell indicated by *physCellId* of this CSI-RS resource is not a serving cell:
      - 5> set the *measResultNeighCells* to include the cell indicated by *physCellId* of this CSI-RS resource, and include the *physCellId*;
      - 5> set the *rsrpResult* to include the RSRP of the concerned cell, if available according to performance requirements in TS 36.133 [16];
      - 5> set the *rsrqResult* to include the RSRQ of the concerned cell, if available according to performance requirements in TS 36.133 [16];
- 1> if the *ue-RxTxTimeDiffPeriodical* is configured within the corresponding *reportConfig* for this *measId*;
  - 2> set the *ue-RxTxTimeDiffResult* to the measurement result provided by lower layers;
  - 2> set the *currentSFN*;
- 1> if the measRSSI-ReportConfig is configured within the corresponding reportConfig for this measId:
  - 2> set the *rssi-Result* to the average of sample value(s) provided by lower layers in the *reportInterval*;
  - 2> set the *channelOccupancy* to the rounded percentage of sample values which are beyond to the *channelOccupancyThreshold* within all the sample values in the *reportInterval*;
- 1> if the measRSSI-ReportConfigNR is configured within the corresponding reportConfigInterRAT for this measId:
  - 2> set the rssi-ResultNR to the average of sample value(s) provided by lower layers in the reportInterval;
  - 2> set the *channelOccupancyNR* to the rounded percentage of sample values which are beyond to the *channelOccupancyThresholdNR* within all the sample values in the *reportInterval*;
- 1> if uplink PDCP delay results are available:
  - 2> set the *ul-PDCP-DelayResultList* to include the uplink PDCP delay results available;
- 1> if uplink PDCP delay value results are available:
  - 2> set the *ul-PDCP-DelayValueResultList* to include the corresponding average uplink PDCP delay values;
- 1> if the *includeLocationInfo* is configured in the corresponding *reportConfig* for this *measId* or if *purpose* for the *reportConfig* associated with the *measId* that triggered the measurement reporting is set to *reportLocation*; and detailed location information that has not been reported is available, set the content of the *locationInfo* as follows:

- 2> include the *locationCoordinates*:
- 2> if available, include the *gnss-TOD-msec*, except if *purpose* for the *reportConfig* associated with the *measId* that triggered the measurement reporting is set to *reportLocation*;
- 2> include the *verticalVelocityInfo*, if available;
- 1> if the coarseLocationReq is set to true in the corresponding reportConfig for this measId:
  - 2> if available, include the *coarseLocationInfo*;
- 1> if the *includeWLAN-Meas* is configured in the corresponding *reportConfig* for this *measId*, set the *measResults* as follows:
  - 2> if available, include the logMeasResultListWLAN, in order of decreasing RSSI for WLAN APs;
- 1> if the *includeBT-Meas* is configured in the corresponding *reportConfig* for this *measId*, set the *measResults* as follows:
  - 2> if available, include the logMeasResultListBT, in order of decreasing RSSI for Bluetooth beacons;
- 1> if the *includeUncomBarPreMeas* is configured in the corresponding *reportConfig* for this *measId* and if *includeUncomBarPreMeas* is set to *true*, set the *measResults* as follows:
  - 2> if available, include the *uncomBarPreMeasResult*;
- 1> if the reportSSTD-Meas is set to true or pSCell within the corresponding reportConfig for this measId:
  - 2> set the *measResultSSTD* to the measurement results provided by lower layers;
- 1> if the reportSFTD-Meas is set to neighborCells or pSCell within the corresponding reportConfigInterRAT for this measId, for each applicable cell for which results are available:
  - 2> set sfn-OffsetResult and frameBoundaryOffsetResult to the measurement results provided by lower layers;
  - 2> if the *ss-rsrp* in the *reportQuantityCellNR* is set to *TRUE* within the corresponding *reportConfigInterRAT* for this *measId*:
    - 3> include *rsrpResult* set to the RSRP of the concerned cell;
- 1> if there is at least one applicable transmission resource pool to report:
  - 2> set the measResultListCBR to include the CBR measurement results in accordance with the following:
    - 3> if the *triggerType* is set to *event*:
      - 4> include the transmission resource pools included in the *poolsTriggeredList* as defined within the *VarMeasReportList* for this *measId*;
    - 3> else:
      - 4> include the applicable transmission resource pools for which the new measurement results became available since the last periodical reporting or since the measurement was initiated or reset;
    - 3> for each transmission resource pool to be reported:
      - 4> set the *poolIdentity* to the *poolReportId* of this transmission resource pool;
      - 4> if adjacencyPSCCH-PSSCH is set to TRUE for this transmission resource pool:
        - 5> set the *cbr-PSSCH* to the CBR measurement result on PSSCH and PSCCH of this transmission resource pool provided by lower layers;
      - 4> else:
        - 5> set the *cbr-PSSCH* to the CBR measurement result on PSSCH of this transmission resource pool provided by lower layers if available;

- 5> set the *cbr-PSCCH* to the CBR measurement result on PSCCH of this transmission resource pool provided by lower layers if available;
- 2> set the measResultSensing to include the sensing measurement results in accordance with the following:
  - 3> include the applicable transmission resource pools for which the new measurement results became available since the last periodical reporting or since the measurement was initiated or reset;
  - 3> for each transmission resource pool to be reported:
    - 4> set the *sensingResult* to the sensing measurement results provided by the lower layers;
- 1> if the *triggerType* is set to *event*; and if *eventId* is set to *eventH1* or *eventH2*:
  - 2> set the *heightUE* to include the altitude of the UE;
- 1> increment the *numberOfReportsSent* as defined within the *VarMeasReportList* for this *measId* by 1;
- 1> stop the periodical reporting timer, if running;
- 1> if the *numberOfReportsSent* as defined within the *VarMeasReportList* for this *measId* is less than the *reportAmount* as defined within the corresponding *reportConfig* for this *measId*:
  - 2> start the periodical reporting timer with the value of *reportInterval* as defined within the corresponding *reportConfig* for this *measId*;

- 2> if the *triggerType* is set to *periodical*:
  - 3> remove the entry within the *VarMeasReportList* for this *measId*;
  - 3> remove this *measId* from the *measIdList* within *VarMeasConfig*;
- 1> if the measured results are for CDMA2000 HRPD:
  - 2> set the preRegistrationStatusHRPD to the UE's CDMA2000 upper layer's HRPD preRegistrationStatus;
- 1> if the measured results are for CDMA2000 1xRTT:
  - 2> set the preRegistrationStatusHRPD to FALSE;
- 1> if the measured results are for WLAN:
  - 2> set the *measResultListWLAN* to include the quantities within the *quantityConfigWLAN* for up to *maxReportCells* WLAN(s), determined according to the following:
    - 3> include WLAN the UE is connected to, if any;
    - 3> if reportAnyWLAN is set to TRUE:
      - 4> consider WLAN with any WLAN identifiers to be applicable for measurement reporting;
    - 3> else:
      - 4> consider only WLANs which do not match all WLAN identifiers of any entry within *wlan-MobilitySet* in *VarWLAN-MobilityConfig* to be applicable for measurement reporting;
    - 3> include applicable WLAN in order of decreasing WLAN RSSI, i.e. the best WLAN is included first;
  - 2> for each included WLAN:
    - 3> set wlan-Identifiers to include all WLAN identifiers that can be acquired for the WLAN measured;
    - 3> set connectedWLAN to TRUE if the UE is connected to the WLAN measured;
    - 3> if reportQuantityWLAN exists within the ReportConfigInterRAT within the VarMeasConfig for this measId:

- 4> if bandRequestWLAN is set to TRUE:
  - 5> set bandWLAN to include WLAN band of the WLAN measured;
- 4> if *carrierInfoRequestWLAN* is set to *TRUE*:
  - 5> set *carrierInfoWLAN* to include WLAN carrier information of the WLAN measured if it can be acquired;
- 4> if availableAdmissionCapacityRequestWLAN is set to TRUE:
  - 5> set the measResult to include avaiableAdmissionCapacityWLAN if it can be acquired;
- 4> if backhaulDL-BandwidthRequestWLAN is set to TRUE:
  - 5> set the *measResult* to include *backhaulDL-BandwidthWLAN* if it can be acquired;
- 4> if backhaulUL-BandwidthRequestWLAN is set to TRUE:
  - 5> set the *measResult* to include *backhaulUL-BandwidthWLAN* if it can be acquired;
- 4> if *channelUtilizationRequestWLAN* is set to *TRUE*:
  - 5> set the measResult to include channelUtilizationWLAN if it can be acquired;
- 4> if stationCountRequestWLAN is set to TRUE:
  - 5> set the *measResult* to include *stationCountWLAN* if it can be acquired;
- 1> if the measurement configuration that triggered the measurement reporting procedure was configured by an *sl-ConfigDedicatedEUTRA* that was received within an NR *RRCReconfiguration* message:
  - 2> submit the *MeasurementReport* message via SRB1 embedded in NR RRC message *ULInformationTransferIRAT* as specified in TS 38.331 [82].
- 1> else if the UE is configured with NE-DC:
  - 2> submit the *MeasurementReport* message via SRB1 embedded in NR RRC message *ULInformationTransferMRDC* as specified in TS 38.331 [82].
- 1> else:
  - 2> submit the MeasurementReport message to lower layers for transmission, upon which the procedure ends;

## 5.5.5.2 Determination of available NR measurement results

When configured to report measurement results of the serving and the best neighbouring cells on NR serving frequencies, the UE shall consider NR measurement results to be available as follows:

- 1> only SSB based results are available and only if configured to measure these for the concerned serving frequency;
- 1> for the serving cell:
  - 2> include cell quantities RSRP and RSRQ while SINR is included if the UE is configured to measure this quantity on an NR frequency, possibly different from the concerned serving frequency, but only if configured by NR *measConfig*:
  - 2> include beam results and beam quantities if the UE is configured to measure these on an NR frequency, possibly different from the concerned serving frequency, but only if configured by NR *measConfig*;
- 1> for a neighbouring cell:
  - 2> include cell quantities, beam results and beam quantities if the UE is configured to measure these on an NR frequency, possibly different from the concerned serving frequency, but only if configured by NR measConfig.

1> filter available results according to the applicable field in NR quantityConfig:

## 5.5.5.3 Selection of NR sorting quality

When configured to report the best cells or beams, the UE shall determine the quantity that is used to order and select as follows:

- 1> for cells on the frequency associated with the *measId* that triggered the measurement reporting, if the *reportTrigger* is set to *event*, consider the quantity used in *bN-ThresholdYNR* to be the sorting quantity;
- 1> for other cases, determine the sorting quantity as follows:
  - 2> consider the following quantities as candidate sorting quantities:
    - 3> for cells on the frequency associated with the *measId* that triggered the measurement reporting (for a *triggerType* set to *periodical*):
      - 4> the quantities defined by *reportQuantityCellNR*, when used for sorting cells;
      - 4> the quantities defined by reportQuantityRS-IndexNR, when used for sorting beams;
    - 3> for cells, serving or non-serving (i.e. within *reportAddNeighMeas*), on NR serving frequencies other than the one associated with the *measId* triggering reporting:
      - 4> the available quantities of available NR measurement results as specified in 5.5.5.2;
  - 2> if there is a single candidate sorting quantity;
    - 3> consider the concerned quantity to be the sorting quantity;
  - 2> else:
    - 3> if RSRP is one of the candidate sorting quantities;
      - 4> consider RSRP to be the sorting quantity;
    - 3> else:
      - 4> consider RSRQ to be the sorting quantity;

## 5.5.6 Measurement related actions

## 5.5.6.1 Actions upon handover and re-establishment

E-UTRAN applies the handover procedure as follows:

- when performing the handover procedure, as specified in 5.3.5.4, ensure that a *measObjectId* corresponding to each handover target serving frequency is configured as a result of the procedures described in this clause and in 5.3.5.4;
- when changing the band while the physical frequency remains unchanged, E-UTRAN releases the *measObject* corresponding to the source frequency and adds a *measObject* corresponding to the target frequency (i.e. it does not reconfigure the *measObject*);

E-UTRAN applies the re-establishment procedure as follows:

- when performing the connection re-establishment procedure, as specified in 5.3.7, ensure that a *measObjectId* corresponding each target serving frequency is configured as a result of the procedure described in this clause and the subsequent connection reconfiguration procedure immediately following the re-establishment procedure;
- in the first reconfiguration following the re-establishment when changing the band while the physical frequency remains unchanged, E-UTRAN releases the *measObject* corresponding to the source frequency and adds a *measObject* corresponding to the target frequency (i.e. it does not reconfigure the *measObject*);

The UE shall:

- 1> for each *measId* included in the *measIdList* within *VarMeasConfig*:
  - 2> if the *triggerType* is set to *periodical*:
    - 3> remove this *measId* from the *measIdList* within *VarMeasConfig*:
- 1> if the procedure was triggered due to a handover or successful re-establishment and the procedure involves a change of primary frequency, update the *measId* values in the *measIdList* within *VarMeasConfig* as follows:
  - 2> if a *measObjectId* value corresponding to the target primary frequency exists in the *measObjectList* within *VarMeasConfig*:
    - 3> for each measId value in the measIdList:
      - 4> if the *measId* value is linked to the *measObjectId* value corresponding to the source primary frequency:
        - 5> link this *measId* value to the *measObjectId* value corresponding to the target primary frequency;
      - 4> else if the *measId* value is linked to the *measObjectId* value corresponding to the target primary frequency:
        - 5> link this measId value to the measObjectId value corresponding to the source primary frequency;

- 3> remove all *measId* values that are linked to the *measObjectId* value corresponding to the source primary frequency;
- 1> remove all measurement reporting entries within VarMeasReportList;
- 1> stop the periodical reporting timer or timer T321, whichever one is running, as well as associated information (e.g. *timeToTrigger*) for all *measId*;
- 1> release the measurement gaps (configured by E-UTRA RRC), if activated;
- NOTE 1: If the UE requires measurement gaps to perform inter-frequency or inter-RAT measurements, the UE resumes the inter-frequency and inter-RAT measurements after the E-UTRAN has setup the measurement gaps.
- NOTE 2: In this procedure, the UE may or may not release the *measGapSharingConfig*.

## 5.5.6.2 Speed dependant scaling of measurement related parameters

The UE shall adjust the value of the following parameter configured by the E-UTRAN depending on the UE speed: *timeToTrigger*. The UE shall apply 3 different levels, which are selected as follows:

## The UE shall:

- 1> perform mobility state detection using the mobility state detection as specified in TS 36.304 [4] with the following modifications:
  - 2> counting handovers instead of cell reselections;
  - 2> applying the parameter applicable for RRC\_CONNECTED as included in *speedStatePars* within *VarMeasConfig*;
- 1> if high mobility state is detected:
  - 2> use the *timeToTrigger* value multiplied by *sf-High* within *VarMeasConfig*;
- 1> else if medium mobility state is detected:
  - 2> use the *timeToTrigger* value multiplied by *sf-Medium* within *VarMeasConfig*;

2> no scaling is applied;

## 5.5.7 Inter-frequency RSTD measurement indication

#### 5.5.7.1 General

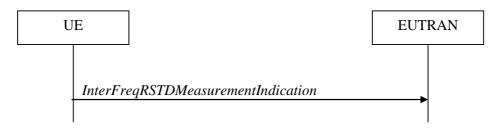


Figure 5.5.7.1-1: Inter-frequency RSTD measurement indication

The purpose of this procedure is to indicate to the network that the UE is going to start/stop OTDOA inter-frequency RSTD measurements which require measurement gaps as specified in TS 36.133 [16], clause 8.1.2.6. The procedure is also used to indicate to the network that the UE is going to start/stop OTDOA intra-frequency RSTD measurements which require measurement gaps. This procedure is also used to indicate to the network the measurement gap that the category M1 or M2 UE prefers to perform RSTD measurements with dense PRS configuration, as specified in TS 36.133 [16], Table 8.1.2.1-3.

NOTE: It is a network decision to configure the measurement gap.

#### 5.5.7.2 Initiation

The UE shall:

- 1> if and only if upper layers indicate to start performing inter-frequency RSTD measurements and the UE requires measurement gaps for these measurements while measurement gaps are either not configured or not sufficient:
  - 2> initiate the procedure to indicate start;
- NOTE 1: The UE verifies the measurement gap situation only upon receiving the indication from upper layers. If at this point in time sufficient gaps are available, the UE does not initiate the procedure. Unless it receives a new indication from upper layers, the UE is only allowed to further repeat the procedure in the same PCell once per frequency if the provided measurement gaps are insufficient.
- 1> if and only if upper layers indicate to stop performing inter-frequency RSTD measurements:
  - 2> initiate the procedure to indicate stop;
- NOTE 2: The UE may initiate the procedure to indicate stop even if it did not previously initiate the procedure to indicate start.

## 5.5.7.3 Actions related to transmission of *InterFreqRSTDMeasurementIndication* message

The UE shall set the contents of InterFreqRSTDMeasurementIndication message as follows:

- 1> if the procedure is initiated to indicate start or stop of inter-frequency RSTD measurements:
  - 2> set the *rstd-InterFreqIndication* as follows:
    - 3> if the procedure is initiated to indicate start of inter-frequency RSTD measurements:
      - 4> set the rstd-InterFreqInfoList according to the information received from upper layers;

- 4> for category M1 or M2 UE, if the procedure is initated to indicate the measurement gap that the UE prefers to perform RSTD measurements with dense PRS configuration:
  - 5> set the *measPRS-Offset-r15* according to the UE preference;
- 3> else if the procedure is initiated to indicate stop of inter-frequency RSTD measurements:
  - 4> set the *rstd-InterFreqIndication* to the value *stop*;

- 2> set the rstd-InterFreqIndication as follows:
  - 3> if the procedure is initiated to indicate start of intra-frequency RSTD measurements:
    - 4> set the *carrierFreq* in the *rstd-InterFreqInfoList* to the carrier frequency of the serving cell;
    - 4> for category M1 or M2 UE, if the procedure is initated to indicate the measurement gap that the UE prefers to perform RSTD measurements with dense PRS configuration:
      - 5> set the *measPRS-Offset-r15* according to the UE preference;
  - 3> else if the procedure is initiated to indicate stop of intra-frequency RSTD measurements:
    - 4> set the *rstd-InterFreqIndication* to the value *stop*;
- 1> submit the *InterFreqRSTDMeasurementIndication* message to lower layers for transmission, upon which the procedure ends;

## 5.5.8 Measurements in NB-IoT

Upon transition to RRC\_CONNECTED mode, the UE shall:

- 1> if neighCellMeasCriteria is present in SystemInformationBlockType3-NB:
  - 2> set NRSRP<sub>Ref</sub> to the latest result of the serving cell measurement as used for cell selection/reselection evaluation;
  - 2> if the relaxed monitoring criterion defined in TS 36.304 [4] was not fulfilled:
    - 3> start T326 with the value t-MeasureDeltaP;

While in RRC\_CONNECTED mode, after performing a measurement, the UE shall:

- 1> in the following use the NRSRP measurement for the measured carrier and *nrs-PowerOffsetNonAnchor* corresponding to the measured carrier;
- 1> if neighCellMeasCriteria is present in SystemInformationBlockType3-NB:
  - $2 > if (NRSRP_{Ref} (NRSRP-nrs-PowerOffsetNonAnchor)) > s-MeasureDeltaP:$ 
    - $3 > set NRSRP_{Ref} = (NRSRP nrs-PowerOffsetNonAnchor);$
    - 3> start or restart T326 with the value *t-MeasureDeltaP*;
- 1> if neighCellMeasCriteria is not present in SystemInformationBlockType3-NB; or
- 1> if T326 is running:
  - 2> if (NRSRP nrs-PowerOffsetNonAnchor) < s-MeasureIntra, perform intra-frequency measurements as defined in TS 36.133 [16];
  - 2> if (NRSRP *nrs-PowerOffsetNonAnchor*) < *s-MeasureInter*, perform inter-frequency measurements as defined in TS 36.133 [16].

## 5.6 Other

## 5.6.0 General

For NB-IoT, only a subset of the procedures described in this clause apply.

Table 5.6.0-1 specifies the procedures that are applicable to NB-IoT. All other procedures are not applicable to NB-IoT; this is not further stated in the corresponding procedures.

Clause	Procedures
5.6.1	DL information transfer
5.6.2	UL information transfer
5.6.3	UE Capability transfer
5.6.5	UE information (see NOTE)
5.6.23	PUR Configuration Request
5.6.24	Neighbour Relation Reporting for SON ANR in NB-IoT

Table 5.6.0-1: "Other" Procedures applicable to a NB-IoT UE

NOTE: Not applicable for a UE that only supports the Control Plane CIoT EPS optimisation (see TS 24.301 [35]).

## 5.6.1 DL information transfer

#### 5.6.1.1 General



Figure 5.6.1.1-1: DL information transfer

The purpose of this procedure is to transfer NAS, (tunnelled) non-3GPP dedicated information or time reference information from E-UTRAN to a UE in RRC\_CONNECTED, or to transfer F1-C related information from IAB-donor-CU to IAB-DU via IAB-MT in RRC\_CONNECTED.

## 5.6.1.2 Initiation

E-UTRAN initiates the DL information transfer procedure whenever there is a need to transfer NAS, non-3GPP dedicated information, time reference information or F1-C related information. E-UTRAN initiates the DL information transfer procedure by sending the *DLInformationTransfer* message.

## 5.6.1.3 Reception of the *DLInformationTransfer* by the UE

Upon receiving *DLInformationTransfer* message, the UE shall:

- 1> if the UE is a NB-IoT UE; or
- 1> if the *dedicatedInfoType* is present and set to *dedicatedInfoNAS*:
  - 2> forward the *dedicatedInfoNAS* to the NAS upper layers.
- 1> if the dedicatedInfoType is present and set to dedicatedInfoCDMA2000-1XRTT or to dedicatedInfoCDMA2000-HRPD:

- 2> forward the *dedicatedInfoCDMA2000* to the CDMA2000 upper layers;
- 1> if timeReferenceInfo is included:
  - 2> calculate the time reference based on the included *time*, *timeInfoType* and *referenceSFN* in *timeReferenceInfo*;
  - 2> calculate the inaccuracy of the time reference based on the *uncertainty* and other implementation-related inaccuracies, if *uncertainty* is included in *timeReferenceInfo*;
  - 2> inform upper layers of the time reference and, if *uncertainty* is included in *timeReferenceInfo*, of the inaccuracy of the time reference.

Upon receiving *DLInformationTransfer* message, the IAB-MT shall:

- 1> if *dedicatedInfoF1c* is included:
  - 2> forward dedicatedInfoF1c to the IAB-DU.

## 5.6.2 UL information transfer

## 5.6.2.1 General



Figure 5.6.2.1-1: UL information transfer

The purpose of this procedure is to transfer NAS or (tunnelled) non-3GPP dedicated information from the UE to E-UTRAN, or to transfer F1-C related information from IAB-DU to IAB-donor-CU via IAB-MT in RRC CONNECTED.

#### 5.6.2.2 Initiation

A UE in RRC\_CONNECTED initiates the UL information transfer procedure whenever there is a need to transfer NAS, non-3GPP dedicated information, except at RRC connection establishment or resume in which case the NAS information is piggybacked to the *RRCConnectionSetupComplete* or *RRCConnectionResumeComplete* message correspondingly. In addition, an IAB-MT in RRC\_CONNECTED may initiate the UL information transfer procedure whenever there is a need to transfer F1-C related information. The UE initiates the UL information transfer procedure by sending the *ULInformationTransfer* message. When CDMA2000 information has to be transferred, the UE shall initiate the procedure only if SRB2 is established. When F1-C related information has to be transferred, the IAB-MT shall initiate the procedure only if SRB2 is established.

## 5.6.2.3 Actions related to transmission of *ULInformationTransfer* message

The UE shall set the contents of the ULInformationTransfer message as follows:

- 1> if there is a need to transfer NAS information:
  - 2> if the UE is a NB-IoT UE:
    - 3> set the *dedicatedInfoNAS* to include the information received from upper layers;
  - 2> else:
    - 3> set the *dedicatedInfoType* to include the *dedicatedInfoNAS*;
- 1> if there is a need to transfer CDMA2000 1XRTT information:

- 2> set the *dedicatedInfoType* to include the *dedicatedInfoCDMA2000-1XRTT*;
- 1> if there is a need to transfer CDMA2000 HRPD information:
  - 2> set the *dedicatedInfoType* to include the *dedicatedInfoCDMA2000-HRPD*;
- 1> upon RRC connection establishment, if UE supports the Control Plane CIoT EPS/5GS optimisation and UE does not need UL gaps during continuous uplink transmission:
  - 2> configure lower layers to stop using UL gaps during continuous uplink transmission in FDD for *ULInformationTransfer* message and subsequent uplink transmission in RRC\_CONNECTED except for UL transmissions as specified in TS 36.211 [21];
- 1> if there is a need to transfer F1-C related information (applies only to IAB-MT):
  - 2> include the *dedicatedInfoF1c*;
- 1> submit the ULInformationTransfer message to lower layers for transmission, upon which the procedure ends;

## 5.6.2.4 Failure to deliver *ULInformationTransfer* message

The UE shall:

- 1> if the UE is a NB-IoT UE, AS security is not started and radio link failure occurs before the successful delivery of *ULInformationTransfer* messages has been confirmed by lower layers; or
- 1> if mobility (i.e. handover, RRC connection re-establishment) occurs before the successful delivery of *ULInformationTransfer* messages has been confirmed by lower layers:
  - 2> inform upper layers about the possible failure to deliver the information contained in the concerned *ULInformationTransfer* messages, unless the messages include *dedicatedInfoF1c* and no *dedicatedInfoType* is included;

## 5.6.2a UL information transfer for MR-DC

## 5.6.2a.1 General

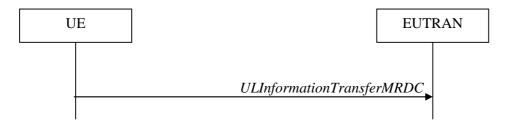


Figure 5.6.2a.1-1: UL information transfer MR-DC

The purpose of this procedure is to transfer from the UE to E-UTRAN MR-DC dedicated information e.g. the NR RRC *MeasurementReport*, the NR RRC *UEAssistanceInformation*, the NR RRC *IABOtherInformation*, NR RRC *FailureInformation* or an NR *RRCReconfigurationComplete* (transmitted upon intra-SN CPC without MN involvement execution if NR *RRCReconfiguration* with *conditionalReconfiguration* for CPC was received via SRB1 and the UE is operating in EN-DC) messages.

#### 5.6.2a.2 Initiation

A UE in RRC\_CONNECTED initiates the UL information transfer procedure whenever there is a need to transfer MR DC dedicated information as specified in TS 38.331 [82]. I.e. the procedure is not used during an RRC connection reconfiguration involving NR connection reconfiguration, in which case the MR DC information is piggybacked to the *RRCConnectionReconfigurationComplete* message, except in the case the UE executes an intra-SN Conditional PSCell Change without MN involvement.

## 5.6.2a.3 Actions related to transmission of *ULInformationTransferMRDC* message

The UE shall set the contents of the *ULInformationTransferMRDC* message as follows:

- 1> if there is a need to transfer MR DC dedicated information:
  - 2> set the *ul-DCCH-MessageNR* to include the MR DC dedicated information to be transferred;
- 1> submit the *ULInformationTransferMRDC* message to lower layers for transmission, upon which the procedure ends;

#### 5.6.2a.4 Void

## 5.6.3 UE capability transfer

## 5.6.3.1 General

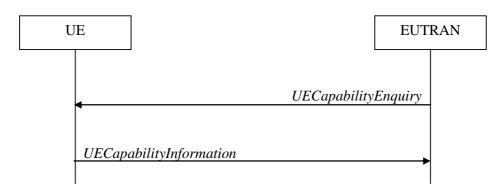


Figure 5.6.3.1-1: UE capability transfer

The purpose of this procedure is to transfer UE radio access capability information from the UE to E-UTRAN.

If the UE is NTN capable, the UE reports its E-UTRAN radio access capabilities for the network type (TN or NTN) to which it is connected.

If the UE has changed its E-UTRAN radio access capabilities, the UE shall request higher layers to initiate the necessary NAS procedures (see TS 23.401 [41]) that would result in the update of UE radio access capabilities using a new RRC connection.

NOTE: Change of the UE's GERAN UE radio capabilities in RRC\_IDLE is supported by use of Tracking Area Update.

#### 5.6.3.2 Initiation

E-UTRAN initiates the procedure to a UE in RRC\_CONNECTED when it needs (additional) UE radio access capability information. Except if the UE is using Control plane CIoT EPS optimisation, E-UTRAN should retrieve UE capabilities only after AS security activation and E-UTRAN does not forward capabilities that were retrieved before AS security activation to the CN.

## 5.6.3.3 Reception of the *UECapabilityEnquiry* by the UE

The UE shall:

- 1> for NB-IoT, set the contents of *UECapabilityInformation* message as follows:
  - 2> include the UE Radio Access Capability Parameters within the *ue-Capability*;
  - 2> include ue-RadioPagingInfo;
  - 2> submit the *UECapabilityInformation* message to lower layers for transmission, upon which the procedure ends;

- 1> else, set the contents of *UECapabilityInformation* message as follows:
  - 2> if the *ue-CapabilityRequest* includes *eutra*:
    - 3> include the *UE-EUTRA-Capability* within a *ue-CapabilityRAT-Container* and with the *rat-Type* set to *eutra*;
    - 3> if the UE supports FDD and TDD:
      - 4> set all fields of *UECapabilityInformation*, except field *fdd-Add-UE-EUTRA-Capabilities* and *tdd-Add-UE-EUTRA-Capabilities* (including their sub-fields), to include the values applicable for both FDD and TDD (i.e. functionality supported by both modes);
      - 4> if (some of) the UE capability fields have a different value for FDD and TDD:
        - 5> if for FDD, the UE supports additional functionality compared to what is indicated by the previous fields of *UECapabilityInformation*:
          - 6> include field *fdd-Add-UE-EUTRA-Capabilities* and set it to include fields reflecting the additional functionality applicable for FDD;
        - 5> if for TDD, the UE supports additional functionality compared to what is indicated by the previous fields of *UECapabilityInformation*:
          - 6> include field *tdd-Add-UE-EUTRA-Capabilities* and set it to include fields reflecting the additional functionality applicable for TDD;
- NOTE 1: The UE includes fields of XDD-Add-UE-EUTRA-Capabilities in accordance with the following:
  - The field is included only if one or more of its sub-fields (or bits in the feature group indicators string) has a value that is different compared to the value signalled elsewhere within *UE-EUTRA-Capability*; (this value signalled elsewhere is also referred to as the *Common value*, that is supported for both XDD modes)
  - For the fields that are included in XDD-Add-UE-EUTRA-Capabilities, the UE sets:
    - the sub-fields (or bits in the feature group indicators string) that are not allowed to be different to the same value as the *Common value*;
    - the sub-fields (or bits in the feature group indicators string) that are allowed to be different to a value indicating at least the same functionality as indicated by the *Common value*;
  - 3> else (UE supports single xDD mode):
    - 4> set all fields of *UECapabilityInformation*, except field *fdd-Add-UE-EUTRA-Capabilities* and *tdd-Add-UE-EUTRA-Capabilities* (including their sub-fields), to include the values applicable for the xDD mode supported by the UE;
  - 3> compile a list of band combinations, candidate for inclusion in the *UECapabilityInformation* message, comprising of band combinations supported by the UE according to the following priority order (i.e. listed in order of decreasing priority):
    - 4> include all non-CA bands, regardless of whether UE supports carrier aggregation, only:
      - if the UE includes ue-Category-v1020 (i.e. indicating category 6 to 8); or
      - if for at least one of the non-CA bands, the UE supports more MIMO layers with TM9 and TM10 than implied by the UE category; or
      - if the UE supports TM10 with one or more CSI processes; or
      - if the UE supports 1024QAM in DL;
    - 4> if the *UECapabilityEnquiry* message includes *requestedFrequencyBands* and UE supports *requestedFrequencyBands*:
      - 5> include all 2DL+1UL CA band combinations, only consisting of bands included in requestedFrequencyBands;

- 5> include all other CA band combinations, only consisting of bands included in requestedFrequencyBands, and prioritized in the order of requestedFrequencyBands, (i.e. first include remaining band combinations containing the first-listed band, then include remaining band combinations containing the second-listed band, and so on);
- 4> else (no requested frequency bands):
  - 5> include all 2DL+1UL CA band combinations;
  - 5> include all other CA band combinations;
- 4> if UE supports maximumCCsRetrieval and if the UECapabilityEnquiry message includes the requestedMaxCCsDL and the requestedMaxCCsUL (i.e. both UL and DL maximums are given):
  - 5> remove from the list of candidates the band combinations for which the number of CCs in DL exceeds the value indicated in the *requestedMaxCCsDL* or for which the number of CCs in UL exceeds the value indicated in the *requestedMaxCCsUL*;
  - 5> indicate in requestedCCsUL the same value as received in requestedMaxCCsUL;
  - 5> indicate in requestedCCsDL the same value as received in requestedMaxCCsDL;
- 4> else if UE supports *maximumCcsRetrieval* and if the *UECapabilityEnquiry* message includes the *requestedMaxCcsDL* (i.e. only DL maximum limit is given):
  - 5> remove from the list of candidates the band combinations for which the number of CCs in DL exceeds the value indicated in the *requestedMaxCCsDL*;
  - 5> indicate value in requestedCCsDL the same value as received in requestedMaxCCsDL;
- 4> else if UE supports *maximumCCsRetrieval* and if the *UECapabilityEnquiry* message includes the *requestedMaxCCsUL* (i.e. only UL maximum limit is given):
  - 5> remove from the list of candidates the band combinations for which the number of CCs in UL exceeds the value indicated in the *requestedMaxCCsUL*;
  - 5> indicate in requestedCCsUL the same value as received in requestedMaxCCsUL;
- 4> if the UE supports *reducedIntNonContComb* and the *UECapabilityEnquiry* message includes *requestReducedIntNonContComb*:
  - 5> set reducedIntNonContCombRequested to true;
  - 5> remove from the list of candidates the intra-band non-contiguous CA band combinations which support is implied by another intra-band non-contiguous CA band combination included in the list of candidates as specified in TS 36.306 [5], clause 4.3.5.21:
- 4> if the UE supports *requestReducedFormat* and UE supports *skipFallbackCombinations* and *UECapabilityEnquiry* message includes *requestSkipFallbackComb*:
  - 5> set skipFallbackCombRequested to true;
  - 5> for each band combination included in the list of candidates (including 2DL+1UL CA band combinations), starting with the ones with the lowest number of DL and UL carriers, that concerns a fallback band combination of another band combination included in the list of candidates as specified in TS 36.306 [5]:
    - 6> remove the band combination from the list of candidates;
    - 6> include *differentFallbackSupported* in the band combination included in the list of candidates whose fallback concerns the removed band combination, if its capabilities differ from the removed band combination;
- 4> if the UE supports requestReducedFormat and diffFallbackCombReport, and UECapabilityEnquiry message includes requestDiffFallbackCombList:

- 5> if the UE does not support *skipFallbackCombinations* or *UECapabilityEnquiry* message does not include *requestSkipFallbackComb*:
  - 6> remove all band combination from the list of candidates;
- 5> for each CA band combination indicated in requestDiffFallbackCombList:
  - 6> include the CA band combination, if not already in the list of candidates;
  - 6> include the fallback combinations for which the supported UE capabilities are different from the capability of the CA band combination;
- 5> include CA band combinations indicated in requestDiffFallbackCombList into requestedDiffFallbackCombList;
- 3> if the UECapabilityEnquiry message includes requestReducedFormat and UE supports requestReducedFormat:
  - 4> include in *supportedBandCombinationReduced* as many as possible of the band combinations included in the list of candidates, including the non-CA combinations, determined according to the rules and priority order defined above;

- 4> if the *UECapabilityEnquiry* message includes *requestedFrequencyBands* and UE supports *requestedFrequencyBands*:
  - 5> include in *supportedBandCombination* as many as possible of the band combinations included in the list of candidates, including the non-CA combinations and up to 5DL+5UL CA band combinations, determined according to the rules and priority order defined above;
  - 5> include in *supportedBandCombinationAdd* as many as possible of the remaining band combinations included in the list of candidates, (i.e. the candidates not included in *supportedBandCombination*), up to 5DL+5UL CA band combinations, determined according to the rules and priority order defined above;

- 5> include in *supportedBandCombination* as many as possible of the band combinations included in the list of candidates, including the non-CA combinations and up to 5DL+5UL CA band combinations, determined according to the rules defined above;
- 5> if it is not possible to include in *supportedBandCombination* all the band combinations to be included according to the above, selection of the subset of band combinations to be included is left up to UE implementation;
- 3> indicate in *requestedBands* the same bands and in the same order as included in *requestedFrequencyBands*, if received;
- 3> if the UE is a category 0, M1 or M2 UE, or supports any UE capability information in *ue-RadioPagingInfo*, according to TS 36.306 [5]:
  - 4> include *ue-RadioPagingInfo* and set the fields according to TS 36.306 [5];
- 3> if the UE supports (NG)EN-DC or NE-DC and if requestedFreqBandsNR-MRDC is included in the request:
  - 4> include into *featureSetsEUTRA* the feature sets that are applicable for the received *requestedFreqBandsNR-MRDC* and *requestedCapabilityCommon* as specified in TS 38.331 [82], clause 5.6.1.4
- NOTE 2: The network must include the *requestedFreqBandsNR-MRDC* in order to obtain feature sets for E-UTRA and MR-DC.

- NOTE 3: Even if the network requests (only) capabilities for *eutra*, it may include NR band numbers in the *requestedFreqBandsNR-MRDC* in order to ensure that the UE includes all necessary feature sets (i.e. E-UTRA and NR) needed for subsequently requested *eutra-nr* capabilities.
  - 3> if the *UECapabilityEnquiry* message includes *requestSTTI-SPT-Capability* and if the UE supports short TTI and/or SPT (i.e., *sTTI-SPT-Supported*):
    - 4> for each band combination the UE included in a field of the *UECapabilityInformation* message in accordance with the previous:
      - 5> if the UE supports short TTI, include the short TTI capabilities for each of the band combinations using the *stti-SPT-BandParameters*;
      - 5> if the UE supports SPT, include the SPT capabilities for each of the band combinations using the *stti-SPT-BandParameters*;
- NOTE 4: The UE may have to add/repeat the band combinations to the list of band combinations included earlier, to include short TTI capabilities and/or SPT capabilities.
  - 3> if the UECapabilityEnquiry message includes sidelinkRequest:
    - 4> for a sidelink band combination the UE included in v2x-SupportedBandCombinationListEUTRA-NR:
      - 5> if the UE supports partial sensing for a band of the sidelink band combination, include the partial sensing capabilities for the band using the *v2x-BandParametersEUTRA-NR-v1710*;
    - 4> set *sidelinkRequested* to true;
  - 2> if the ue-CapabilityRequest includes geran-cs and if the UE supports GERAN CS domain:
    - 3> include the UE radio access capabilities for GERAN CS within a *ue-CapabilityRAT-Container* and with the *rat-Type* set to *geran-cs*;
  - 2> if the ue-CapabilityRequest includes geran-ps and if the UE supports GERAN PS domain:
    - 3> include the UE radio access capabilities for GERAN PS within a *ue-CapabilityRAT-Container* and with the *rat-Type* set to *geran-ps*;
  - 2> if the *ue-CapabilityRequest* includes *utra* and if the UE supports UTRA:
    - 3> include the UE radio access capabilities for UTRA within a *ue-CapabilityRAT-Container* and with the *rat-Type* set to *utra*;
  - 2> if the ue-CapabilityRequest includes cdma2000-1XRTT and if the UE supports CDMA2000 1xRTT:
    - 3> include the UE radio access capabilities for CDMA2000 within a *ue-CapabilityRAT-Container* and with the *rat-Type* set to *cdma2000-1XRTT*;
  - 2> if the *ue-CapabilityRequest* includes *nr* and if the UE supports NR:
    - 3> include the UE radio access capabilities for NR within a *ue-CapabilityRAT-Container*, with the *rat-Type* set to *nr*;
    - 3> include band combinations and feature sets as specified in TS 38.331 [82], clause 5.6.1.4, considering the included *requestedFreqBandsNR-MRDC*, *requestedCapabilityNR*, the *eutra-nr-only* flag and *requestedCapabilityCommon* (if present);
  - 2> if the ue-CapabilityRequest includes eutra-nr and if the UE supports (NG)EN-DC or NE-DC:
    - 3> include the UE radio access capabilities for EUTRA-NR within a *ue-CapabilityRAT-Container*, with the *rat-Type* set to *eutra-nr*;
    - 3> include band combinations as specified in TS 38.331 [82], clause 5.6.1.4, considering the included requestedFreqBandsNR-MRDC, requestedCapabilityNR (if present) and requestedCapabilityCommon (if included);

- 1> if the RRC message segmentation is enabled based on the field *rrc-SegAllowed* received, and the encoded RRC message is larger than the maximum supported size of a PDCP SDU specified in TS 36.323 [8]:
  - 2> initiate the UL message segment transfer procedure as specified in clause 5.6.22;

2> submit the *UECapabilityInformation* message to lower layers for transmission, upon which the procedure ends;

## 5.6.4 CSFB to 1x Parameter transfer

#### 5.6.4.1 General

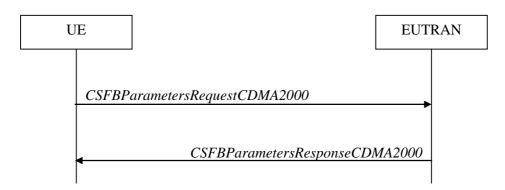


Figure 5.6.4.1-1: CSFB to 1x Parameter transfer

The purpose of this procedure is to transfer the CDMA2000 1xRTT parameters required to register the UE in the CDMA2000 1xRTT network for CSFB support.

## 5.6.4.2 Initiation

A UE in RRC\_CONNECTED initiates the CSFB to 1x parameter transfer procedure upon request from the CDMA2000 upper layers. The UE initiates the CSFB to 1x parameter transfer procedure by sending the *CSFBParametersRequestCDMA2000* message.

## 5.6.4.3 Actions related to transmission of *CSFBParametersRequestCDMA2000* message

The UE shall:

1> submit the *CSFBParametersRequestCDMA2000* message to lower layers for transmission using the current configuration;

## 5.6.4.4 Reception of the CSFBParametersResponseCDMA2000 message

Upon reception of the CSFBParametersResponseCDMA2000 message, the UE shall:

1> forward the rand and the mobilityParameters to the CDMA2000 1xRTT upper layers;

## 5.6.5 UE Information

#### 5.6.5.1 General

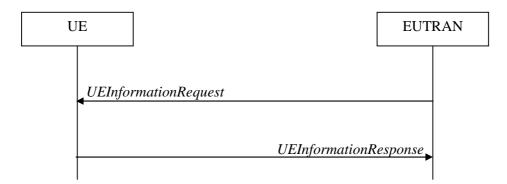


Figure 5.6.5.1-1: UE information procedure

The UE information procedure is used by E-UTRAN to request the UE to report information.

#### 5.6.5.2 Initiation

E-UTRAN initiates the procedure by sending the *UEInformationRequest* message. E-UTRAN should initiate this procedure only after successful security activation.

## 5.6.5.3 Reception of the *UEInformationRequest* message

Upon receiving the UEInformationRequest message, the UE shall, only after successful security activation:

- 1> if rach-ReportReq is set to true, set the contents of the rach-Report in the UEInformationResponse message as follows:
  - 2> set the *numberOfPreamblesSent* to indicate the number of preambles sent by MAC for the last successfully completed random access procedure;
  - 2> if contention resolution was not successful as specified in TS 36.321 [6] for at least one of the transmitted preambles for the last successfully completed random access procedure:
    - 3> set the *contentionDetected* to *true*;
  - 2> else:
    - 3> set the *contentionDetected* to *false*;
  - 2> if the UE is a BL UE or UE in CE:
    - 3> set the *initialCEL* to indicate the initial CE level used for the last successfully completed random access procedure;
  - 2> if the UE is a NB-IoT UE:
    - 3> set the *initialNRSRP-Level* to indicate the NRSRP level of the NPRACH resource selected for the first preamble transmission for the last successfully completed random access procedure;
  - 2> if the UE is a BL UE, UE in CE or NB-IoT UE:
    - 3> if the last successfully completed random access procedure was initiated with EDT PRACH resource and succeeded after receiving EDT fallback indication from lower layers:
      - 4> set the *edt-Fallback* to *true*;
    - 3> else:
      - 4> set the edt-Fallback to false;

- 1> if *rlf-ReportReq* is set to *true* and the UE has radio link failure information or handover failure information available in *VarRLF-Report* (*VarRLF-Report-NB* in NB-IoT) and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report*:
  - 2> for NB-IoT, if the global cell identity of the selected cell is the same as the *reestablishmentCellId* in the *VarRLF-Report-NB*:
    - 3> remove the *reestablishmentCellId* from the *VarRLF-Report-NB*;
  - 2> set *timeSinceFailure* in *VarRLF-Report* (*VarRLF-Report-NB* in NB-IoT) to the time that elapsed since the last radio link or handover failure in E-UTRA;
  - 2> set the *rlf-Report* in the *UEInformationResponse* message to the value of *rlf-Report* in *VarRLF-Report* (*VarRLF-Report-NB* in NB-IoT);
  - 2> discard the *rlf-Report* from *VarRLF-Report* (*VarRLF-Report-NB* in NB-IoT) upon successful delivery of the *UEInformationResponse* message confirmed by lower layers;
- 1> except for NB-IoT, if *connEstFailReportReq* is set to *true* and the UE has connection establishment failure information in *VarConnEstFailReport* and if the RPLMN is equal to *plmn-Identity* stored in *VarConnEstFailReport*:
  - 2> set *timeSinceFailure* in *VarConnEstFailReport* to the time that elapsed since the last connection establishment failure in E-UTRA;
  - 2> set the *connEstFailReport* in the *UEInformationResponse* message to the value of *connEstFailReport* in *VarConnEstFailReport*;
  - 2> discard the *connEstFailReport* from *VarConnEstFailReport* upon successful delivery of the *UEInformationResponse* message confirmed by lower layers;
- 1> except for NB-IoT, if the *logMeasReportReq* is present and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:
  - 2> if *VarLogMeasReport* includes one or more logged measurement entries, set the contents of the *logMeasReport* in the *UEInformationResponse* message as follows:
    - 3> include the absoluteTimeStamp and set it to the value of absoluteTimeInfo in the VarLogMeasReport;
    - 3> include the traceReference and set it to the value of traceReference in the VarLogMeasReport;
    - 3> include the *traceRecordingSessionRef* and set it to the value of *traceRecordingSessionRef* in the *VarLogMeasReport*;
    - 3> include the *tce-Id* and set it to the value of *tce-Id* in the *VarLogMeasReport*;
    - 3> include the *logMeasInfoList* and set it to include one or more entries from the *VarLogMeasReport* starting from the entries logged first, and for each entry of the *logMeasInfoList* that is included, include all information stored in the corresponding *logMeasInfoList* entry in *VarLogMeasReport*;
    - 3> if the *VarLogMeasReport* includes one or more additional logged measurement entries that are not included in the *logMeasInfoList* within the *UEInformationResponse* message:
      - 4> include the *logMeasAvailable*;
      - 4> if *logMeasResultListBT* is included in one or more of the additional logged measurement entries in *VarLogMeasReport* that are not included in the *logMeasInfoList* within the *UEInformationResponse* message:
        - 5> include the *logMeasAvailableBT*;
      - 4> if *logMeasResultListWLAN* is included in one or more of the additional logged measurement entries in *VarLogMeasReport* that are not included in the *logMeasInfoList* within the *UEInformationResponse* message:
        - 5> include the *logMeasAvailableWLAN*;

- 1> except for NB-IoT, if mobilityHistoryReportReq is set to true:
  - 2> include the *mobilityHistoryReport* and set it to include entries from *VarMobilityHistoryReport*;
  - 2> include in the *mobilityHistoryReport* an entry for the current cell, possibly after removing the oldest entry if required, and set its fields as follows:
    - 3> set *visitedCellId* to the global cell identity or the physical cell identity and carrier frequency of the current cell:
    - 3> set field *timeSpent* to the time spent in the current cell;
- 1> except for NB-IoT, if the *idleModeMeasurementReq* is included in the *UEInformationRequest* and the UE has stored *VarMeasIdleReport* that contains measurement information concerning cells other than the PCell:
  - 2> set the *measResultListIdle-r15* in the *UEInformationResponse* message to the value of *measReportIdle-r15* in the *VarMeasIdleReport*;
  - 2> set the *measResultListExtIdle* in the *UEInformationResponse* message to the value of *measReportIdle-r16* in the *VarMeasIdleReport*, if available;
  - 2> set the *measResultListIdleNR* in the *UEInformationResponse* message to the value of *measReportIdleNR* in the *VarMeasIdleReport*, if available;
  - 2> discard the *VarMeasIdleReport* upon successful delivery of the *UEInformationResponse* message confirmed by lower layers;
- 1> except for NB-IoT, if *flightPathInfoReq* field is present and the UE has flight path information available:
  - 2> include the *flightPathInfoReport* and set it to include the list of waypoints along the flight path;
  - 2> if the *includeTimeStamp* is set to TRUE:
    - 3> set the field *timeStamp* to the time when UE intends to arrive to each waypoint if this information is available at the UE:
- 1> for NB-IoT, if anr-ReportReq is set to true and the UE has measResultList available in VarANR-MeasReport-NB:
  - 2> set the anr-MeasReport in the UEInformationResponse message as follows:
    - 3> if the global cell identity of the PCell is different from servCellIdentity in the VarANR-MeasReport-NB;
      - 4> include the servCellIdentity and set it to the value of servCellIdentity in the VarANR-MeasReport-NB;
    - 3> set measResultServCell to the value of measResultServCell in the VarANR-MeasReport-NB;
    - 3> set relativeTimeStamp to the value of relativeTimeStamp in the VarANR-MeasReport-NB;
    - 3> set measResultList to the value of measResultList in the VarANR-MeasReport-NB;
  - 2> discard the *VarANR-MeasReport-NB* upon successful delivery of the *UEInformationResponse* message confirmed by lower layers;
- 1> except for NB-IoT, if the *coarseLocationReq* is set to true:
  - 2> if available, include the coarseLocationInfo;
- 1> if the *logMeasReport* is included in the *UEInformationResponse*:
  - 2> submit the *UEInformationResponse* message to lower layers for transmission via SRB2;
  - 2> discard the logged measurement entries included in the *logMeasInfoList* from *VarLogMeasReport* upon successful delivery of the *UEInformationResponse* message confirmed by lower layers;
- 1> else:
  - 2> submit the *UEInformationResponse* message to lower layers for transmission via SRB1;

## 5.6.6 Logged Measurement Configuration

#### 5.6.6.1 General



Figure 5.6.6.1-1: Logged measurement configuration

The purpose of this procedure is to configure the UE to perform logging of measurement results while in RRC\_IDLE and to perform logging of measurement results for MBSFN in both RRC\_IDLE and RRC\_CONNECTED. The procedure applies to logged measurements capable UEs that are in RRC\_CONNECTED.

NOTE: E-UTRAN may retrieve stored logged measurement information by means of the UE information procedure.

#### 5.6.6.2 Initiation

E-UTRAN initiates the logged measurement configuration procedure to UE in RRC\_CONNECTED by sending the *LoggedMeasurementConfiguration* message.

## 5.6.6.3 Reception of the LoggedMeasurementConfiguration by the UE

Upon receiving the *LoggedMeasurementConfiguration* message the UE shall:

- 1> discard the logged measurement configuration as well as the logged measurement information as specified in 5.6.7:
- 1> store the received loggingDuration, loggingInterval and areaConfiguration, if included, in VarLogMeasConfig;
- 1> if the LoggedMeasurementConfiguration message includes plmn-IdentityList:
  - 2> set plmn-IdentityList in VarLogMeasReport to include the RPLMN as well as the PLMNs included in plmn-IdentityList;
- 1> else:
  - 2> set *plmn-IdentityList* in *VarLogMeasReport* to include the RPLMN;
- 1> store the received *absoluteTimeInfo*, *traceReference*, *traceRecordingSessionRef* and *tce-Id* in *VarLogMeasReport*;
- 1> store the received targetMBSFN-AreaList, if included, in VarLogMeasConfig;
- 1> store the received *bt-NameList*, if included, in *VarLogMeasConfig*;
- 1> store the received wlan-NameList, if included, in VarLogMeasConfig;
- 1> store the received *loggedEventTriggerConfig*, if included, in *VarLogMeasConfig*;
- 1> store the received *measUncomBarPre*, if included, in *VarLogMeasConfig*;

1> start timer T330 with the timer value set to the *loggingDuration*;

## 5.6.6.4 T330 expiry

Upon expiry of T330 the UE shall:

1> release VarLogMeasConfig;

The UE is allowed to discard stored logged measurements, i.e. to release *VarLogMeasReport*, 48 hours after T330 expiry.

## 5.6.7 Release of Logged Measurement Configuration

#### 5.6.7.1 General

The purpose of this procedure is to release the logged measurement configuration as well as the logged measurement information.

#### 5.6.7.2 Initiation

The UE shall initiate the procedure upon receiving a logged measurement configuration in another RAT. The UE shall also initiate the procedure upon power off or detach.

The UE shall:

- 1> stop timer T330, if running;
- 1> if stored, discard the logged measurement configuration as well as the logged measurement information, i.e. release the UE variables *VarLogMeasConfig* and *VarLogMeasReport*;

## 5.6.8 Measurements logging

## 5.6.8.1 General

This procedure specifies the logging of available measurements by a UE in RRC\_IDLE that has a logged measurement configuration and the logging of available measurements by a UE in both RRC\_IDLE and RRC\_CONNECTED if *targetMBSFN-AreaList* is included in *VarLogMeasConfig*.

When UE is configured to perform logging of measurements, measurements are performed with CRS.

#### 5.6.8.2 Initiation

While T330 is running, the UE shall:

- 1> if measurement logging is suspended:
  - 2> if during the last logging interval the IDC problems detected by the UE is resolved, resume measurement logging;
- 1> if not suspended, perform the logging in accordance with the following:
  - 2> if targetMBSFN-AreaList is included in VarLogMeasConfig:
    - 3> if the UE is camping normally on an E-UTRA cell or is connected to E-UTRA; and
    - 3> if the RPLMN is included in plmn-IdentityList stored in VarLogMeasReport; and
    - 3> if the PCell (in RRC\_CONNECTED) or cell where the UE is camping (in RRC\_IDLE) is part of the area indicated by *areaConfiguration* if configured in *VarLogMeasConfig*:
      - 4> for MBSFN areas, indicated in *targetMBSFN-AreaList*, from which the UE is receiving MBMS service:

- 5> perform MBSFN measurements in accordance with the performance requirements as specified in TS 36.133 [16];
- NOTE 1: When configured to perform MBSFN measurement logging by *targetMBSFN-AreaList*, the UE is not required to receive additional MBSFN subframes, i.e. logging is based on the subframes corresponding to the MBMS services the UE is receiving.
  - 5> perform logging at regular time intervals as defined by the *loggingInterval* in *VarLogMeasConfig*, but only for those intervals for which MBSFN measurement results are available as specified in TS 36.133 [16];

- 3> if the *loggedEventTriggerConfig* is configured in *VarLogMeasConfig*, and *eventType* is set to *outOfCoverage*:
  - 4> perform the logging at regular time intervals as defined by the *loggingInterval* in *VarLogMeasConfig* only when the UE is in *any cell selection* state;
  - 4> upon transition from any cell selection state to camped normally state in E-UTRA:
    - 5> if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*; and
    - 5> if *areaConfiguration* is not included in *VarLogMeasConfig* or if the current camping cell is part of the area indicated by *areaConfiguration* in *VarLogMeasConfig*:
      - 6> perform the logging;
- 3> else if the loggedEventTriggerConfig is configured in VarLogMeasConfig and eventType is set to eventL1:
  - 4> if the UE is in *camped normally* state on an E-UTRA cell and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:
    - 5> if areaConfiguration is not included in VarLogMeasConfig; or
    - 5> if the serving cell is part of the area indicated by areaConfiguration in VarLogMeasConfig:
      - 6> perform the logging at regular time intervals as defined by the *loggingInterval* in *VarLogMeasConfig* only when the conditions indicated by the *eventL1* are met;
- 3> else if the UE is in any cell selection state (as specified in TS 36.304 [4]):
  - 4> perform the logging at regular time intervals, as defined by the loggingInterval in VarLogMeasConfig;
- 3> else if the UE is camping normally on an E-UTRA cell and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport* and, if the cell is part of the area indicated by *areaConfiguration* if configured in *VarLogMeasConfig*:
  - 4> perform the logging at regular time intervals, as defined by the loggingInterval in VarLogMeasConfig;
- 2> when adding a logged measurement entry in *VarLogMeasReport*, include the fields in accordance with the following:
  - 3> if the UE detected IDC problems during the last logging interval:
    - 4> if measResultServCell in VarLogMeasReport is not empty:
      - 5> include inDeviceCoexDetected;
      - 5> suspend measurement logging from the next logging interval;
    - 4> else:
      - 5> suspend measurement logging;

- NOTE 1A: The UE may detect the start of IDC problems as early as Phase 1 as described in clause 23.4 of TS 36.300 [9].
  - 3> set the *relativeTimeStamp* to indicate the elapsed time since the moment at which the logged measurement configuration was received;
  - 3> if detailed location information became available during the last logging interval, set the content of the *locationInfo* as follows:
    - 4> include the *locationCoordinates*;
  - 3> if wlan-NameList is included in VarLogMeasConfig:
    - 4> if detailed WLAN measurements are available:
      - 5> include logMeasResultListWLAN, in order of decreasing RSSI for WLAN APs;
  - 3> if *bt-NameList* is included in *VarLogMeasConfig*:
    - 4> if detailed Bluetooth measurements are available:
      - 5> include logMeasResultListBT, in order of decreasing RSSI for Bluetooth beacons;
  - 3> if measUncomBarPre is included in VarLogMeasConfig:
    - 4> if available, include the *uncomBarPreMeasResult*;
  - 3> if targetMBSFN-AreaList is included in VarLogMeasConfig:
    - 4> for each MBSFN area, for which the mandatory measurements result fields became available during the last logging interval:
      - 5> set the *rsrpResultMBSFN*, *rsrqResultMBSFN* to include measurement results that became available during the last logging interval;
      - 5> include the fields *signallingBLER-Result* or *dataBLER-MCH-ResultList* if the concerned BLER results are availble,
      - 5> set the *mbsfn-AreaId* and *carrierFreq* to indicate the MBSFN area in which the UE is receiving MBSFN transmission;
    - 4> if in RRC\_CONNECTED:
      - 5> set the *servCellIdentity* to indicate global cell identity of the PCell;
      - 5> set the measResultServCell to include the layer 3 filtered measured results of the PCell;
      - 5> if available, set the *measResultNeighCells* to include the layer 3 filtered measured results of SCell(s) and neighbouring cell(s) measurements that became available during the last logging interval, in order of decreasing RSRP, for at most the following number of cells: 6 intra-frequency and 3 inter-frequency cells per frequency and according to the following:
        - 6> for each cell included, include the optional fields that are available;
      - 5> if available, optionally set the <code>measResultNeighCells</code> to include the layer 3 filtered measured results of neighbouring cell(s) measurements that became available during the last logging interval, in order of decreasing RSCP(UTRA)/RSSI(GERAN)/PilotStrength(cdma2000), for at most the following number of cells: 3 inter-RAT cells per frequency/set of frequencies (GERAN), and according to the following:
        - 6> for each cell included, include the optional fields that are available;
    - 4> if in RRC\_IDLE:
      - 5> set the *servCellIdentity* to indicate global cell identity of the serving cell;
      - 5> set the *measResultServCell* to include the quantities of the serving cell;

- 5> if available, set the *measResultNeighCells*, in order of decreasing ranking-criterion as used for cell re-selection, to include neighbouring cell measurements that became available during the last logging interval for at most the following number of neighbouring cells: 6 intra-frequency and 3 inter-frequency neighbours per frequency and according to the following:
  - 6> for each neighbour cell included, include the optional fields that are available;
- 5> if available, optionally set the *measResultNeighCells*, in order of decreasing ranking-criterion as used for cell re-selection, to include neighbouring cell measurements that became available during the last logging interval, for at most the following number of cells: 3 inter-RAT cells per frequency/set of frequencies (GERAN), and according to the following:
  - 6> for each cell included, include the optional fields that are available;
- 4> for the cells included according to the previous (i.e. covering previous and current serving cells as well as neighbouring EUTRA cells) include results according to the extended RSRQ if corresponding results are available according to the associated performance requirements defined in TS 36.133 [16];
- 4> for the cells included according to the previous (i.e. covering previous and current serving cells as well as neighbouring EUTRA cells) include RSRQ type if the result was based on measurements using a wider band or using all OFDM symbols;
- NOTE 2: The UE includes the latest results in accordance with the performance requirements as specified in TS 36.133 [16]. E.g. RSRP and RSRQ results are available only if the UE has a sufficient number of results/receives a sufficient number of subframes during the logging interval.

- 4> if the UE is in any cell selection state (as specified in TS 36.304 [4]):
  - 5> set any Cell Selection Detected to indicate the detection of no suitable or no acceptable cell found;
  - 5> if the *loggedEventTriggerConfig* is not configured in the *VarLogMeasConfig*;
    - 6> set the *servCellIdentity* to indicate global cell identity of the last logged cell that the UE was camping on;
    - 6> set the *measResultServCell* to include the quantities of the last logged cell the UE was camping on:
  - 5> else if the RPLMN at the time of entering the *any cell selection* state is included in *plmn-IdentityList* stored in *VarLogMeasReport*; and
  - 5> if *areaConfiguration* is not included in *VarLogMeasConfig* or if the last suitable cell that the UE was camping on is part of the area indicated by *areaConfiguration* in *VarLogMeasConfig*:
    - 6> set the *servCellIdentity* to indicate global cell identity of the last suitable cell that the UE was camping on;
    - 6> set the *measResultServingCell* to include the quantities of the last suitable cell the UE was camping on;

#### 5> else:

6> set the fields within the *servCellIdentity* and *measResultServingCell* to all zeros to indicate unavailability of the *servCellIdentity* and *measResultServCell*.

- 5> set the servCellIdentity to indicate global cell identity of the cell the UE is camping on;
- 5> set the *measResultServCell* to include the quantities of the cell the UE is camping on;
- 4> if available, set the *measResultNeighCells*, in order of decreasing ranking-criterion as used for cell reselection, to include neighbouring cell measurements that became available during the last logging interval for at most the following number of neighbouring cells: 6 intra-frequency and 3 inter-

frequency neighbours per frequency as well as 3 inter-RAT neighbours, per frequency/ set of frequencies (GERAN) per RAT and according to the following:

- 5> for each neighbour cell included, include the optional fields that are available;
- 4> for the cells included according to the previous (i.e. covering previous and current serving cells as well as neighbouring EUTRA cells) include results according to the extended RSRQ if corresponding results are available according to the associated performance requirements defined in TS 36.133 [16];
- 4> for the cells included according to the previous (i.e. covering previous and current serving cells as well as neighbouring EUTRA cells) include RSRQ type if the result was based on measurements using a wider band or using all OFDM symbols;
- NOTE 3: The UE includes the latest results of the available measurements as used for cell reselection evaluation in RRC\_IDLE or as used for evaluation of reporting criteria or for measurement reporting according to 5.5.3 in RRC\_CONNECTED, which are performed in accordance with the performance requirements as specified in TS 36.133 [16].
  - 2> when the memory reserved for the logged measurement information becomes full, stop timer T330 and perform the same actions as performed upon expiry of T330, as specified in 5.6.6.4;

## 5.6.9 In-device coexistence indication

#### 5.6.9.1 General

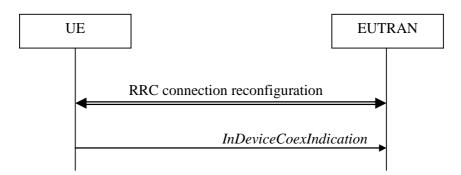


Figure 5.6.9.1-1: In-device coexistence indication

The purpose of this procedure is to inform E-UTRAN about (a change of) the In-Device Coexistence (IDC) problems experienced by the UE in RRC\_CONNECTED, as described in TS 36.300 [9], and to provide the E-UTRAN with information in order to resolve them.

## 5.6.9.2 Initiation

A UE capable of providing IDC indications may initiate the procedure when it is configured to provide IDC indications and upon change of IDC problem information.

Upon initiating the procedure, the UE shall:

- 1> if configured to provide IDC indications:
  - 2> if the UE did not transmit an *InDeviceCoexIndication* message since it was configured to provide IDC indications:
    - 3> if on one or more frequencies for which a *measObjectEUTRA* is configured, the UE is experiencing IDC problems that it cannot solve by itself; or
    - 3> if configured to provide IDC indications for UL CA; and if on one or more supported UL CA combination comprising of carrier frequencies for which a measurement object is configured, the UE is experiencing IDC problems that it cannot solve by itself; or

- 3> if configured to provide IDC indications for MR-DC, and if on one or more supported MR-DC combination comprising of at least one E-UTRA carrier frequency for which a measurement object is configured and at least one NR carrier frequency included in *candidateServingFreqListNR*, the UE is experiencing IDC problems that it cannot solve by itself:
  - 4> initiate transmission of the InDeviceCoexIndication message in accordance with 5.6.9.3;

- 3> if the set of frequencies, for which a *measObjectEUTRA* is configured and on which the UE is experiencing IDC problems that it cannot solve by itself, is different from the set indicated in the last transmitted *InDeviceCoexIndication* message; or
- 3> if for one or more of the frequencies in the previously reported set of frequencies, the *interferenceDirection* is different from the value indicated in the last transmitted *InDeviceCoexIndication* message; or
- 3> if the TDM assistance information is different from the assistance information included in the last transmitted *InDeviceCoexIndication* message; or
- 3> if configured to provide IDC indications for UL CA; and if the *victimSystemType* is different from the value indicated in the last transmitted *InDeviceCoexIndication* message; or
- 3> if configured to provide IDC indications for UL CA; and if the set of supported UL CA combinations on which the UE is experiencing IDC problems that it cannot solve by itself and that the UE includes in affectedCarrierFreqCombList according to 5.6.9.3, is different from the set indicated in the last transmitted InDeviceCoexIndication message; or
- 3> if configured to provide IDC indications for MR-DC, and if the *victimSystemType* is different from the value indicated in the last transmitted *InDeviceCoexIndication* message; or
- 3> if configured to provide IDC indications for MR-DC, for one or more of the frequencies in the previously reported set of frequencies, if *interferenceDirectionMRDC* is different from the value indicated in the last transmitted *InDeviceCoexIndication* message; or
- 3> if configured to provide IDC indications for MR-DC, and if the set of supported MR-DC combinations on which the UE is experiencing IDC problems that it cannot solve by itself and that the UE includes in <a href="mailto:affectedCarrierFreqCombInfoListMRDC">affectedCarrierFreqCombInfoListMRDC</a> according to 5.6.9.3, is different from the set indicated in the last transmitted <a href="mailto:InDeviceCoexIndication">InDeviceCoexIndication</a> message:
  - 4> initiate transmission of the InDeviceCoexIndication message in accordance with 5.6.9.3;
- NOTE 1: The term "IDC problems" refers to interference issues applicable across several subframes/slots where not necessarily all the subframes/slots are affected.
- NOTE 2: For the frequencies on which a serving cell or serving cells is configured that is activated, IDC problems consist of interference issues that the UE cannot solve by itself, during either active data exchange or upcoming data activity which is expected in up to a few hundred milliseconds.

  For frequencies on which a SCell or SCells is configured that is deactivated, reporting IDC problems indicates an anticipation that the activation of the SCell or SCells would result in interference issues that the UE would not be able to solve by itself.

  For a non-serving frequency, reporting IDC problems indicates an anticipation that if the non-serving frequency or frequencies became a serving frequency or serving frequencies then this would result in interference issues that the UE would not be able to solve by itself.

## 5.6.9.3 Actions related to transmission of *InDeviceCoexIndication* message

The UE shall set the contents of the *InDeviceCoexIndication* message as follows:

- 1> if there is at least one E-UTRA carrier frequency, for which a measurement object is configured, that is affected by IDC problems:
  - 2> include the field *affectedCarrierFreqList* with an entry for each affected E-UTRA carrier frequency for which a measurement object is configured;

- 2> for each E-UTRA carrier frequency included in the field *affectedCarrierFreqList*, include *interferenceDirection* and set it accordingly;
- 2> include Time Domain Multiplexing (TDM) based assistance information, unless *idc-HardwareSharingIndication* is configured and the UE has no Time Doman Multiplexing based assistance information that could be used to resolve the IDC problems:
  - 3> if the UE has DRX related assistance information that could be used to resolve the IDC problems:
    - 4> include *drx-CycleLength*, *drx-Offset* and *drx-ActiveTime*;
  - 3> else (the UE has desired subframe reservation patterns related assistance information that could be used to resolve the IDC problems):
    - 4> include idc-SubframePatternList;
  - 3> use the MCG as timing reference if TDM based assistance information regarding the SCG is included;
- 1> if the UE is configured to provide UL CA information and there is a supported UL CA combination comprising of carrier frequencies for which a measurement object is configured, that is affected by IDC problems:
  - 2> include *victimSystemType* in *ul-CA-AssistanceInfo*;
  - 2> if the UE sets *victimSystemType* to *wlan* or *Bluetooth*:
    - 3> include affectedCarrierFreqCombList in ul-CA-AssistanceInfo with an entry for each supported UL CA combination comprising of carrier frequencies for which a measurement object is configured, that is affected by IDC problems;

- 3> optionally include *affectedCarrierFreqCombList* in *ul-CA-AssistanceInfo* with an entry for each supported UL CA combination comprising of carrier frequencies for which a measurement object is configured, that is affected by IDC problems;
- 1> if *idc-HardwareSharingIndication* is configured, and there is at least one E-UTRA carrier frequency, for which a measurement object is configured, the UE is experiencing hardware sharing problems that it cannot solve by itself:
  - 2> include the *hardwareSharingProblem* and set it accordingly;
- 1> if the UE is configured to provide IDC indications for MR-DC and there is a supported MR-DC band combination comprising of at least one E-UTRA carrier frequency for which a measurement object is configured and at least one NR carrier frequency included in *candidateServingFreqListNR*, that is affected by IDC problems; and
- 1> if the IDC problem does not only concern the E-UTRA band combination as the UE already included in affectedCarrierFreqCombList:
  - 2> for each entry of affectedCarrierFreqCombInfoListMRDC in mrdc-AssistanceInfo;
    - 3> include *victimSystemType*;
    - 3> include interferenceDirectionMRDC;
    - 3> if the UE sets *victimSystemType* to *wlan* or *Bluetooth*:
      - 4> include a set of at least one NR carrier frequency included in *candidateServingFreqListNR* and optionally one or more E-UTRA carrier frequency for which a measurement object is configured, that is affected by IDC problems;

#### 3> else:

4> optionally include a set of at least one NR carrier frequency included in *candidateServingFreqListNR* and optionally one or more E-UTRA carrier frequency for which a measurement object is configured, that is affected by IDC problems;

- NOTE 1: When sending an *InDeviceCoexIndication* message to inform E-UTRAN the IDC problems, the UE includes all assistance information (rather than providing e.g. the changed part(s) of the assistance information).
- NOTE 2: Upon not anymore experiencing a particular IDC problem that the UE previously reported, the UE provides an IDC indication with the modified contents of the *InDeviceCoexIndication* message (e.g. by an empty message).

The UE shall submit the InDeviceCoexIndication message to lower layers for transmission.

## 5.6.10 UE Assistance Information

#### 5.6.10.1 General

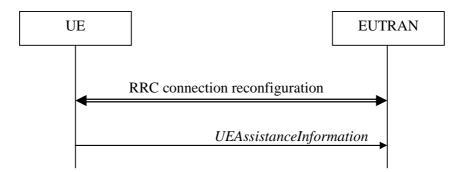


Figure 5.6.10.1-1: UE Assistance Information

The purpose of this procedure is to inform E-UTRAN of the UE's power saving preference and SPS assistance information, maximum PDSCH/PUSCH bandwidth configuration preference, overheating assistance information, or the UE's delay budget report carrying desired increment/decrement in the Uu air interface delay or connected mode DRX cycle length and for BL UEs or UEs in CE of the RLM event ("early-out-of-sync" or "early-in-sync") and RLM information or the UE preference for the NR SCG deactivation or that the UE with a deactivated NR SCG has uplink data to send on a DRB for which there is no MCG RLC bearer. Upon configuring the UE to provide power preference indications E-UTRAN may consider that the UE does not prefer a configuration primarily optimised for power saving until the UE explictly indicates otherwise.

#### 5.6.10.2 Initiation

A UE capable of providing power preference indications in RRC\_CONNECTED may initiate the procedure in several cases including upon being configured to provide power preference indications and upon change of power preference.

A UE capable of providing SPS assistance information in RRC\_CONNECTED may initiate the procedure in several cases including upon being configured to provide SPS assistance information and upon change of SPS assistance information.

A UE capable of providing delay budget report in RRC\_CONNECTED may initiate the procedure in several cases, including upon being configured to provide delay budget report and upon change of delay budget preference.

A UE capable of CE mode and providing maximum PDSCH/PUSCH bandwidth preference in RRC\_CONNECTED may initiate the procedure upon being configured to provide maximum PDSCH/PUSCH bandwidth preference and/or upon change of maximum PDSCH/PUSCH bandwidth preference.

A UE capable of providing overheating assistance information in RRC\_CONNECTED may initiate the procedure if it was configured to do so, upon detecting internal overheating, or upon detecting that it is no longer experiencing an overheating condition.

A UE supporting NR SCG deactivation may intiate the procedure in several cases including upon being configured to provide its preference for NR SCG deactivation and upon change of its preference for NR SCG deactivation.

A UE in EN-DC that has uplink data to transmit for a DRB for which there is no MCG RLC bearer while the SCG is deactivated shall initiate the procedure.

Upon initiating the procedure, the UE shall:

- 1> if configured to provide power preference indications:
  - 2> if the UE did not transmit a *UEAssistanceInformation* message with *powerPrefIndication* since it was configured to provide power preference indications; or
  - 2> if the current power preference is different from the one indicated in the last transmission of the *UEAssistanceInformation* message and timer T340 is not running:
    - 3> start or restart timer T340 with the timer value set to the *powerPrefIndicationTimer*, if the UE does not prefer a configuration primarily optimised for power saving;
    - 3> initiate transmission of the *UEAssistanceInformation* message in accordance with 5.6.10.3;
- 1> if configured to provide maximum PDSCH/PUSCH bandwidth preference:
  - 2> if the UE did not transmit a *UEAssistanceInformation* message with *bw-Preference* since it was configured to provide maximum PDSCH/PUSCH bandwidth preference; or
  - 2> if the current maximum PDSCH/PUSCH bandwidth preference is different from the one indicated in the last transmission of the *UEAssistanceInformation* message and timer T341 is not running;
    - 3> start timer T341 with the timer value set to the *bw-PreferenceIndicationTimer*;
    - 3> initiate transmission of the *UEAssistanceInformation* message in accordance with 5.6.10.3;
- 1> if configured to provide SPS assistance information:
  - 2> if the UE did not transmit a *UEAssistanceInformation* message with *sps-AssistanceInformation* since it was configured to provide SPS assistance information; or
  - 2> if the current SPS assistance information is different from the one indicated in the last transmission of the *UEAssistanceInformation* message:
    - 3> initiate transmission of the *UEAssistanceInformation* message in accordance with 5.6.10.3;
- 1> if configured to report RLM events:
  - 2> if "early-out-of-sync" event has been detected (T314 has expired) and T343 is not running:
    - 3> start timer T343 with the timer value set to the *rlmReportTimer*:
    - 3> initiate transmission of the *UEAssistanceInformation* message in accordance with 5.6.10.3;
  - 2> if "early-in-sync" event has been detected (T315 has expired) and T344 is not running:
    - 3> start timer T344 with the timer value set to the *rlmReportTimer*:
    - 3> initiate transmission of the *UEAssistanceInformation* message in accordance with 5.6.10.3;
- 1> if configured to provide delay budget report:
  - 2> if the UE did not transmit a *UEAssistanceInformation* message with *delayBudgetReport* since it was configured to provide delay budget report; or
  - 2> if the current delay budget is different from the one indicated in the last transmission of the *UEAssistanceInformation* message and timer T342 is not running:
    - 3> start or restart timer T342 with the timer value set to the *delayBudgetReportingProhibitTimer*;
    - 3> initiate transmission of the *UEAssistanceInformation* message in accordance with 5.6.10.3;
- 1> if configured to provide overheating assistance information:
  - 2> if the overheating condition has been detected and T345 is not running; or

- 2> if the current overheating assistance information is different from the one indicated in the last transmission of the *UEAssistanceInformation* message and timer T345 is not running:
  - 3> start timer T345 with the timer value set to the *overheatingIndicationProhibitTimer*;
  - 3> initiate transmission of the *UEAssistanceInformation* message in accordance with 5.6.10.3;
- NOTE: In case overheating assistance for NR SCG is released while the regular overheating assistance remains configured, a UE that included SCG overheating parameters in the last reported overheating assistance considers overheating assistance information to be different regardless whether or not its preferences for the regular overheating assistance changed.
- 1> if configured to provide its preference for NR SCG deactivation:
  - 2> if the UE did not transmit a UEAssistanceInformation message with scg-DeactivationPreference since it was configured to provide its preference for NR SCG deactivation and the UE prefers the NR SCG to be deactivated; or
  - 2> if the UE preference for NR SCG deactivation is different from the one indicated in the last transmission of the *UEAssistanceInformation* message and timer T346 is not running:
    - 3> start or restart timer T346 with the timer value set to the scg-DeactivationPreferenceProhibitTimer;
    - 3> initiate transmission of the *UEAssistanceInformation* message in accordance with 5.6.10.3;
- 1> if the UE is configured with a deactivated NR SCG and there are uplink data to send on a DRB for which *rlc-Config* is not configured in *drb-ToAddModList*; and
- 1> if the UE previously did not have any uplink data to send for any SCG RLC entity:
  - 2> initiate transmission of the UEAssistanceInformation message in accordance with 5.6.10.3.

## 5.6.10.3 Actions related to transmission of *UEAssistanceInformation* message

The UE shall set the contents of the UEAssistanceInformation message for power preference indications:

- 1> if configured to provide power preference indication and if the UE prefers a configuration primarily optimised for power saving:
  - 2> set powerPrefIndication to lowPowerConsumption;
- 1> else if configured to provide power preference indication:
  - $2> set \ powerPrefIndication \ to \ normal;$

The UE shall set the contents of the UEAssistanceInformation message for SPS assistance information:

- 1> if configured to provide SPS assistance information:
  - 2> if there is any traffic for V2X sidelink communication which needs to report SPS assistance information:
    - 3> include trafficPatternInfoListSL in the UEAssistanceInformation message;
  - 2> if there is any traffic for uplink communication which needs to report SPS assistance information:
    - 3> include trafficPatternInfoListUL in the UEAssistanceInformation message;

The UE shall set the contents of the UEAssistanceInformation message for bandwidth preference indications:

1> set bw-Preference to its preferred configuration;

The UE shall set the contents of the UEAssistanceInformation message for delay budget report:

- 1> if configured to provide delay budget report:
  - 2> if the UE prefers an adjustment in the connected mode DRX cycle length:

- 3> set delayBudgetReport to type1 according to a desired value;
- 2> else if the UE prefers coverage enhancement configuration change:
  - 3> set delayBudgetReport to type2 according to a desired value;

The UE shall set the contents of the UEAssistanceInformation message for the RLM report:

- 1> if configured to provide RLM report:
  - 2> if T314 has expired:
    - 3> set rlm-event to earlyOutOfSync;
  - 2> if T315 has expired:
    - 3> set *rlm-event* to *earlyInSync*;
    - 3> if configured to report *rlmReportRep-MPDCCH*:
      - 4> set excessRep-MPDCCH to the value indicated by lower layers;

The UE shall set the contents of the UEAssistanceInformation message for overheating assistance indication:

- 1> if configured to provide overheating assistance indication:
  - 2> if the UE experiences internal overheating:
    - 3> if the UE prefers to temporarily reduce its DL category and UL category:
      - 4> include reducedUE-Category in the OverheatingAssistance IE;
      - 4> set *reducedUE-CategoryDL* to the number to which the UE prefers to temporarily reduce its DL category;
      - 4> set *reducedUE-CategoryUL* to the number to which the UE prefers to temporarily reduce its UL category;
    - 3> if the UE prefers to temporarily reduce the number of maximum secondary component carriers:
      - 4> include reducedMaxCCs in the OverheatingAssistance IE;
      - 4> set *reducedCCsDL* to the number of maximum SCells the UE prefers to be temporarily configured in downlink;
      - 4> set *reducedCCsUL* to the number of maximum SCells the UE prefers to be temporarily configured in uplink;
    - 3> if configured to provide overheating assistance indication for NR SCG:
      - 4> include *overheatingAssistanceForSCG* in the *OverheatingAssistance* IE;
      - 4> if configured with serving cells operating on FR2-2 for NR SCG
        - 5> include overheatingAssistanceForSCG-FR2-2 in the OverheatingAssistance IE;
      - 4> set overheatingAssistanceForSCG and if applicable, overheatingAssistanceForSCG-FR2-2, in accordance with clause 5.7.4.3a as specified in TS 38.331 [82];
  - 2> else (if the UE no longer experiences an overheating condition):
    - 3> if the UE had a preference for the *OverheatingAssistance*:
      - 4> do not include reducedUE-Category, reducedMaxCCs in OverheatingAssistance IE;
    - 3> if the UE had a preference for the *overheatingAssistanceForSCG*:
      - 4> do not include overheating Assistance-v1610 in the UEAssistance Information-v1610 IE; or

- 4> do not include UEAssistanceInformation-v1610 IE in the UEAssistanceInformation-v1530 IE; or
- 4> do not include UEAssistanceInformation-v1530 IEs in UEAssistanceInformation-v1450 IEs;
- 4> if configured with serving cells operating on FR2-2 for NR SCG
  - 5> do not include OverheatingAssistance-v1710 in the UEAssistanceInformation-v1710 IE;
- NOTE 0: It is up to UE implementation to whether include an empty *OverheatingAssistance* IE or not, for the case where UE only had a preference for the *overheatingAssistanceForSCG*.

The UE shall set the contents of the UEAssistanceInformation message for NR SCG deactivation:

- 1> if configured to provide its preference for NR SCG deactivation;
  - 2> if the UE prefers NR SCG to be deactivated
    - 3> include the scg-DeactivationPreference and set it to scgDeactivationPreferred:
  - 2> else:
    - 3> include the scg-DeactivationPreference and set it to noPreference:

#### The UE shall:

- 1> if the UE is configured with a deactivated NR SCG and there are uplink data to send on a DRB for which *rlc-Config* is not configured in *drb-ToAddModList*: and
- 1> if the UE previously did not have any uplink data to send for any SCG RLC entity:
  - 2> include uplinkData in the UEAssistanceInformation message;
- 1> if the procedure was triggered to provide SPS assistance information and the related configuration was provided by an *RRCConnectionReconfiguration* message that was received embedded within an NR *RRCReconfiguration* message:
  - 2> submit the *UEAssistanceInformation* message via SRB1 embedded in NR RRC message *ULInformationTransferIRAT* as specified in TS 38.331 [82];

#### 1> else:

- 2> submit the *UEAssistanceInformation* message to lower layers for transmission.
- NOTE 1: It is up to UE implementation when and how to trigger SPS assistance information.
- NOTE 2: It is up to UE implementation to set the content of trafficPatternInfoListSL and trafficPatternInfoListUL.
- NOTE 3: Traffic patterns for different Destination Layer 2 IDs are provided in different entries in *trafficPatternInfoListSL*.
- NOTE 4: Although not recommended, UE may start or restart the following timers whenever it sends the *UEAssistanceInformation* message (i.e. even if the message was not triggered for the concerned feature): T340, T341, T342, T343, T344 and T345.

# 5.6.11 Mobility history information

#### 5.6.11.1 General

This procedure specifies how the mobility history information is stored by the UE, covering RRC\_CONNECTED and RRC\_IDLE.

## 5.6.11.2 Initiation

If the UE supports storage of mobility history information, the UE shall:

- 1> Upon change of cell, consisting of PCell in RRC\_CONNECTED or serving cell in RRC\_IDLE, to another E-UTRA or inter-RAT cell or when entering out of service:
  - 2> include an entry in variable *VarMobilityHistoryReport* possibly after removing the oldest entry, if necessary, according to following:
    - 3> if the global cell identity of the previous PCell/ serving cell is available:
      - 4> include the global cell identity of that cell in the field *visitedCellId* of the entry;
    - 3> else:
      - 4> include the physical cell identity and carrier frequency of that cell in the field *visitedCellId* of the entry;
    - 3> set the field *timeSpent* of the entry as the time spent in the previous PCell/ serving cell;
- 1> upon entering E-UTRA (in RRC\_CONNECTED or RRC\_IDLE) while previously out of service and/ or using another RAT:
  - 2> include an entry in variable *VarMobilityHistoryReport* possibly after removing the oldest entry, if necessary, according to following:
    - 3> set the field timeSpent of the entry as the time spent outside E-UTRA;

# 5.6.12 RAN-assisted WLAN interworking

#### 5.6.12.1 General

The purpose of this procedure is to facilitate access network selection and traffic steering between E-UTRAN and WLAN.

If required by upper layers (see TS 24.312 [66], the UE shall provide an up-to-date set of the applicable parameters provided by *wlan-OffloadConfigCommon* or *wlan-OffloadConfigDedicated* to upper layers, and inform upper layers when no parameters are configured. The parameter set from either *wlan-OffloadConfigCommon* or *wlan-OffloadConfigDedicated* is selected as specified in clauses 5.2.2.24, 5.3.12, 5.6.12.2 and 5.6.12.4.

#### 5.6.12.2 Dedicated WLAN offload configuration

The UE shall:

- 1> if the received wlan-OffloadInfo is set to release:
  - 2> release wlan-OffloadConfigDedicated and t350;
  - 2> if the wlan-OffloadConfigCommon corresponding to the RPLMN is broadcast by the cell:
    - 3> apply the *wlan-OffloadConfigCommon* corresponding to the RPLMN included in *SystemInformationBlockType17*;
- 1> else:
  - 2> apply the received wlan-OffloadConfigDedicated:

### 5.6.12.3 WLAN offload RAN evaluation

- 1> if the UE is configured with either wlan-OffloadConfigCommon or wlan-OffloadConfigDedicated; and
- 1> if the UE is in RRC\_IDLE or none of *rclwi-Configuration*, *lwa-Configuration* and *lwip-Configuration* is configured:

- 2> provide measurement results required for the evaluation of the network selection and traffic steering rules as defined in TS 24.312 [66] to upper layers;
- 2> evaluate the network selection and traffic steering rules as defined in TS 36.304 [4] using WLAN identifiers as indicated in other clauses (either provided in *steerToWLAN* included in *rclwi-Configuration* or in *wlan-Id-List* included in *SystemInformationBlockType17*);

# 5.6.12.4 T350 expiry or stop

The UE shall:

- 1> if T350 expires or is stopped:
  - 2> release the wlan-OffloadConfigDedicated and t350;
  - 2> release *rclwi-Configuration* if configured;
  - 2> if the wlan-OffloadConfigCommon corresponding to the RPLMN is broadcast by the cell:
    - 3> apply the *wlan-OffloadConfigCommon* and the *wlan-Id-List* corresponding to the RPLMN included in *SystemInformationBlockType17*;

## 5.6.12.5 Cell selection/ re-selection while T350 is running

The UE shall:

- 1> if, while T350 is running, the UE selects/ reselects a cell which is not the PCell when the wlan-OffloadDedicated was configured:
  - 2> stop timer T350;
  - 2> perform the actions as specified in 5.6.12.4;

#### 5.6.13 SCG failure information

# 5.6.13.1 General

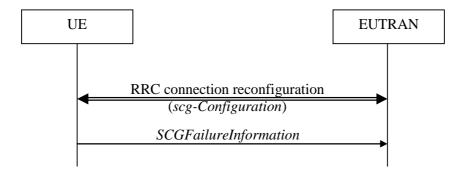


Figure 5.6.13.1-1: SCG failure information

The purpose of this procedure is to inform E-UTRAN about an SCG failure the UE has experienced i.e. SCG radio link failure, SCG change failure.

#### 5.6.13.2 Initiation

A UE initiates the procedure to report SCG failures when neither MCG nor SCG transmission is suspended and when one of the following conditions is met:

- 1> upon detecting radio link failure for the SCG, in accordance with 5.3.11; or
- 1> upon SCG change failure, in accordance with 5.3.5.7a; or

1> upon stopping uplink transmission towards the PSCell due to exceeding the maximum uplink transmission timing difference when *powerControlMode* is configured to 1, in accordance with clause 7.17.2 of TS 36.133 [29].

In case of DC, upon initiating the procedure, the UE shall:

- 1> suspend all SCG DRBs and suspend SCG transmission for split DRBs;
- 1> reset SCG-MAC;
- 1> stop T307;
- 1> if the UE is configured with NE-DC:
  - 2> initiate transmission of the *SCGFailureInformationEUTRA* message via the NR MCG as specified in TS 38.331 [82], clause 5.7.3a;
- 1> else:
  - 2> initiate transmission of the SCGFailureInformation message in accordance with 5.6.13.3;

# 5.6.13.3 Actions related to transmission of SCGFailureInformation message

The UE shall set the contents of the SCGFailureInformation message as follows:

- 1> if the UE initiates transmission of the *SCGFailureInformation* message to provide SCG radio link failure information:
  - 2> include failureType and set it to the trigger for detecting SCG radio link failure;
- 1> else if the UE initiates transmission of the *SCGFailureInformation* message to provide SCG change failure information:
  - 2> include failureType and set it to scg-ChangeFailure;
- 1> else if the UE initiates transmission of the *SCGFailureInformation* message due to exceeding maximum uplink transmission timing difference:
  - 2> include failureType and set it to maxUL-TimingDiff;
- 1> set the *measResultServFreqList* to include for each E-UTRA SCG cell that is configured, if any, within *measResultSCell* the quantities of the concerned SCell, if available according to performance requirements in TS 36.133 [16];
- 1> for each E-UTRA SCG serving frequency included in *measResultServFreqList*, include within *measResultBestNeighCell* the *physCellId* and the quantities of the best non-serving cell, based on RSRP, on the concerned serving frequency;
- 1> set the *measResultNeighCells* to include the best measured cells on non-serving E-UTRA frequencies, ordered such that the best cell is listed first, and based on measurements collected up to the moment the UE detected the failure, and set its fields as follows;
  - 2> if the UE was configured to perform measurements for one or more non-serving EUTRA frequencies and measurement results are available, include the *measResultListEUTRA*;
  - 2> for each neighbour cell included, include the optional fields that are available;
- NOTE 1: The measured quantities are filtered by the L3 filter as configured in the mobility measurement configuration. The measurements are based on the time domain measurement resource restriction, if configured. Exclude-listed cells are not required to be reported.

The UE shall submit the SCGFailureInformation message to lower layers for transmission.

## 5.6.13.4 Failure type determination in NE-DC

- 1> if SCG failure is due to T313 expiry:
  - 2> consider the *failureType* to be *t313-Expiry*;
- 1> else if SCG failure is due to indication from SCG MAC that a random access problem was detected:
  - 2> consider the *failureType* to be *randomAccessProblem*;
- 1> else if SCG failure is due to indication from SCG RLC that the maximum number of retransmissions was reached:
  - 2> consider the *failureType* to be *rlc-MaxNumRetx*;
- 1> else if SCG failure is due to SCG change failure:
  - 2> consider the *failureType* to be *scg-ChangeFailure*;

# 5.6.13.5 Setting the contents of *MeasResultSCG-FailureMRDC*

- 1> set the contents of the MeasResultSCG-FailureMRDC as follows:
  - 2> for each measObjectEUTRA for which a measId is configured and for which measurement results are available;
    - 3> include an entry in measResultsFreqListEUTRA;
    - 3> if a serving cell is associated with the MeasObjectEUTRA:
      - 4> set *measResultServingCell* to include the available quantities of the concerned cell and in accordance with the performance requirements in TS 36.133 [16];
    - 3> set the *measResultNeighCellList* to include the best measured cells, ordered such that the best cell is listed first, and based on measurements collected up to the moment the UE detected the failure, and set its fields as follows:
      - 4> ordering the cells with sorting as follows:
        - 5> using RSRP if RSRP measurement results are available, otherwise using RSRQ if RSRQ measurement results are available, otherwise using SINR;
      - 4> for each neighbour cell included:
        - 5> include the optional fields for which measurement results are available;
  - 2> if detailed location information is available, set the content of the *locationInfo* as follows;
    - 3> include the *locationCoordinates*:
    - 3> include the *horizontalVelocity*, if available:
  - 2> if available, set the logMeasResultListWLAN to include the WLAN measurement results, in order of decreasing RSSI for WLAN APs;
  - 2> if available, set the *logMeasResultListBT* to include the Bluetooth measurement results, in order of decreasing RSSI for Bluetooth beacons;
- NOTE: The measured quantities are filtered by the L3 filter as configured in the mobility measurement configuration. The measurements are based on the time domain measurement resource restriction, if configured. Exclude-listed cells are not required to be reported.

## 5.6.13a NR SCG failure information

#### 5.6.13a.1 General

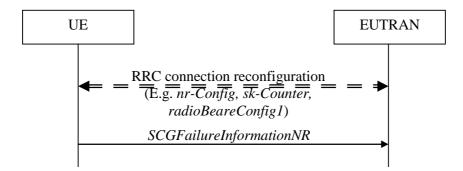


Figure 5.6.13a.1-1: NR SCG failure information

The purpose of this procedure is to inform E-UTRAN about an SCG failure the UE has experienced (e.g. SCG radio link failure, failure to successfully complete an SCG reconfiguration with sync), as specified in TS 38.331 [82], clause 5.7.3.2.

#### 5.6.13a.2 Initiation

A UE initiates the procedure to report NR SCG failures when neither E-UTRA MCG nor NR SCG transmission is not suspended and in accordance with TS 38.331 [82], clause 5.7.3.2. Actions the UE shall perform upon initiating the procedure, other than related to the transmission of the *SCGFailureInformationNR* message are specified in TS 38.331 [82], clause 5.7.3.2.

## 5.6.13a.3 Actions related to transmission of SCGFailureInformationNR message

The UE shall set the contents of the SCGFailureInformationNR message as follows:

- 1> include *failureType* within *failureReportSCG-NR* and set it to indicate the SCG failure in accordance with TS 38.331 [82], clause 5.7.3.3;
- NOTE 1: This may involve including both *failureType-r15* and *failureType-v1610*, see TS 38.331 [82], clause 5.7.3.3.
- 1> include and set measResultSCG in accordance with TS 38.331 [82], clause 5.7.3.4:
- 1> for each NR frequency the UE is configured to measure by *measConfig* for which measurement results are available:
  - 2> set the measResultFreqListNR to include the best measured cells, ordered such that the best cell is listed first using RSRP to order if RSRP measurement results are available for cells on this frequency, otherwise using RSRQ to order if RSRQ measurement results are available for cells on this frequency, otherwise using SINR to order, and based on measurements collected up to the moment the UE detected the failure, and for each cell that is included, include the optional fields that are available;
- NOTE 2: Field *measResultSCG* is used to report available results for NR frequencies the UE is configured to measure by NR RRC signalling.
- 1> if detailed location information is available, set the content of the *locationInfo* as follows:
  - 2> include the *locationCoordinates*;
  - 2> include the *horizontalVelocity*, if available;
- 1> if available, set the *logMeasResultListWLAN* to include the WLAN measurement results, in order of decreasing RSSI for WLAN APs;

1> if available, set the *logMeasResultListBT* to include the Bluetooth measurement results, in order of decreasing RSSI for Bluetooth beacons;

The UE shall submit the SCGFailureInformationNR message to lower layers for transmission.

# 5.6.14 LTE-WLAN Aggregation

#### 5.6.14.1 Introduction

E-UTRAN can configure the UE to connect to a WLAN and configure bearers for LWA (referred to as LWA DRBs). The UE uses the WLAN parameters received from E-UTRAN in performing WLAN measurements. The UE also performs WLAN connection management as described in 5.6.15 while LWA is configured.

## 5.6.14.2 Reception of LWA configuration

Upon reception of LWA configuration, the UE shall:

- 1> if the received *lwa-Configuration* is set to *release*:
  - 2> release the LWA configuration as described in 5.6.14.3;
- 1> else:
  - 2> if the received *lwa-Config* includes *lwa-WT-Counter*:
    - 3> determine the S-K<sub>WT</sub> key based on the K<sub>eNB</sub> key and received *lwa-WT-Counter* value, as specified in TS 33.401 [32];
    - 3> forward the S-K<sub>WT</sub> key to upper layers to be used as a PMK or PSK for WLAN authentication;
  - 2> if the received *lwa-Config* includes *lwa-MobilityConfig*:
    - 3> if the received *lwa-MobilityConfig* includes *wlan-ToReleaseList*:
      - 4> for each WLAN-Identifiers included in wlan-ToReleaseList:
        - 5> remove the *WLAN-Identifiers* if already part of the current *wlan-MobilitySet* in *VarWLAN-MobilityConfig*;
    - 3> if the received lwa-MobilityConfig includes wlan-ToAddList:
      - 4> for each WLAN-Identifiers included in wlan-ToAddList:
        - 5> add the WLAN-Identifiers to the current wlan-MobilitySet in VarWLAN-MobilityConfig;
    - 3> if the received *lwa-MobilityConfig* includes *associationTimer*:
      - 4> start or restart timer T351 with the timer value set to the associationTimer;
    - 3> if the received *lwa-MobilityConfig* includes *successReportRequested*:
      - 4> set successReportRequested in VarWLAN-MobilityConfig to the value of successReportRequested;
    - 3> if the received *lwa-MobilityConfig* includes *wlan-SuspendConfig*:
      - 4> set the field(s) in *wlan-SuspendConfig* within *VarWLAN-MobilityConfig* to the value(s) of field(s) included in *wlan-SuspendConfig*;
  - 2> start WLAN Status Monitoring as described in 5.6.15.4;

## 5.6.14.3 Release of LWA configuration

To release the LWA configuration, the UE shall:

1> for each LWA DRB that is part of the current UE configuration:

- 2> disable data handling for this DRB at the LWAAP entity;
- 2> perform PDCP data recovery as specified in TS 36.323 [8];
- 1> delete any existing values in VarWLAN-MobilityConfig and VarWLAN-Status;
- 1> stop timer T351, if running;
- 1> stop WLAN status monitoring and WLAN connection attempts for LWA;
- 1> indicate the release of LWA configuration, if configured, to upper layers;

# 5.6.15 WLAN connection management

#### 5.6.15.1 Introduction

WLAN connection management procedures in this clause are triggered as specified in other clauses where the UE is using a WLAN connection for LWA, RCLWI or LWIP.

The UE stores the current WLAN mobility set, which is a set of one or more WLAN identifier(s) (e.g. BSSID, SSID, HESSID) in *wlan-MobilitySet* in *VarWLAN-MobilityConfig*. This WLAN mobility set can be configured and updated by the eNB. A WLAN is considered to be inside the WLAN mobility set if its identifiers match all WLAN identifiers of at least one entry in *wlan-MobilitySet* and outside the WLAN mobility set otherwise. When the UE receives a new or updated WLAN mobility set, it initiates connection to a WLAN inside the WLAN mobility set, if not already connected to such a WLAN, and starts WLAN status monitoring as described in 5.6.15.4. The UE can perform WLAN mobility within the WLAN mobility set (connect or reconnect to a WLAN inside the WLAN mobility set) without any signalling to E-UTRAN.

The UE reports the WLAN connection status information to E-UTRAN as described in 5.6.15.2. The information in this report is based on the monitoring of WLAN connection as described in 5.6.15.4.

## 5.6.15.2 WLAN connection status reporting

### 5.6.15.2.1 General



Figure 5.6.15.2.1-1: WLAN connection status reporting

The purpose of this procedure is to inform E-UTRAN about the status of WLAN connection for LWA, RCLWI, or LWIP.

#### 5.6.15.2.2 Initiation

The UE in RRC\_CONNECTED initiates the WLAN status reporting procedure when:

- 1> it connects successfully to a WLAN inside WLAN mobility set while T351 is running after a WLAN mobility set change; or
- 1> after a lwa-WT-Counter update or after a lwip-Counter update (if success report is requested by the eNB); or
- 1> its connection or connection attempts to all WLAN(s) inside WLAN mobility set fails in accordance with WLAN Status Monitoring described in 5.6.15.4; or
- 1> T351 expires; or

- 1> its WLAN connection to all WLAN(s) inside WLAN mobility set becomes temporarily unavailable; or
- 1> its WLAN connection to a WLAN inside the WLAN mobility set is successfully established after its previous WLAN Connection Status Report indicating WLAN temporary suspension;

Upon initiating the procedure, the UE shall:

1> initiate transmission of the WLANConnectionStatusReport message in accordance with 5.6.15.2.3;

#### 5.6.15.2.3 Actions related to transmission of WLANConnectionStatusReport message

The UE shall set the contents of the WLANConnectionStatusReport message as follows:

- 1> set wlan-status to status in VarWLAN-Status;
- 1> submit the *WLANConnectionStatusReport* message to lower layers for transmission, upon which the procedure ends;

# 5.6.15.3 T351 Expiry (WLAN connection attempt timeout)

Upon T351 expiry, the UE shall:

- 1> set the *status* in *VarWLAN-Status* to *failureTimeout*;
- 1> perform WLAN connection status reporting procedure in 5.6.15.2;
- 1> stop WLAN status monitoring and WLAN connection attempts;

## 5.6.15.4 WLAN status monitoring

To perform WLAN status monitoring, the UE shall:

- 1> if UE is not configured with *rclwi-Configuration* and WLAN connection to a WLAN inside the WLAN mobility set is successfully established or maintained after a WLAN mobility set configuration update, after a *lwa-WT-Counter* update or after a *lwip-Counter* update:
  - 2> set the status in VarWLAN-Status to successful Association;
  - 2> stop timer T351, if running;
  - 2> if successReportRequested in VarWLAN-MobilityConfig is set to TRUE:
    - 3> perform WLAN Connection Status Reporting procedure in 5.6.15.2;
- 1> if WLAN connection or connection attempts to all WLAN(s) inside WLAN mobility set fails:
  - 2> if the failure is due to WLAN radio link issues:
    - 3> set the status in VarWLAN-Status to failureWlanRadioLink;
  - 2> else if the failure is due to UE internal problems related to WLAN:
    - 3> set the status in VarWLAN-Status to failureWlanUnavailable;
- NOTE 1: The UE internal problems related to WLAN include connection to another WLAN based on user preferences or turning off WLAN connection or connection rejection from WLAN or other WLAN problems.
  - 3> remove all WLAN related measurement reporting entries within VarMeasReportList;
  - 2> stop timer T351, if running;
  - 2> perform WLAN Connection Status Reporting procedure in 5.6.15.2;
  - 2> if the UE is configured with *rclwi-Configuration*:

- 3> release *rclwi-Configuration* and inform upper layers of a move-traffic-from-WLAN indication (see TS 24.302 [74]);
- 2> stop WLAN Status Monitoring and WLAN connection attempts;
- 1> if wlan-SuspendResumeAllowed in wlan-SuspendConfig within VarWLAN-MobilityConfig is set to TRUE:
  - 2> if WLAN connection to all WLAN(s) inside WLAN mobility set becomes temporarily unavailable:
    - 3> set the status in VarWLAN-Status to suspended;
    - 3> if wlan-SuspendTriggersStatusReport in wlan-SuspendConfig within VarWLAN-MobilityConfig is set to TRUE:
      - 4> trigger PDCP Status Report as specified in TS 36.323 [8];
    - 3> perform WLAN Connection Status Reporting procedure in 5.6.15.2;
  - 2> if the *status* in *VarWLAN-Status* in the last WLAN Connection Status Report by this UE was *suspended* and WLAN connection to a WLAN inside the WLAN mobility set is successfully established:
    - 3> set the status in VarWLAN-Status to resumed;
    - 3> perform WLAN Connection Status Reporting procedure in 5.6.15.2;

# 5.6.16 RAN controlled LTE-WLAN interworking

#### 5.6.16.1 General

The purpose of this procedure is to perform RAN-controlled LTE-WLAN interworking (RCLWI) i.e. control access network selection and traffic steering between E-UTRAN and WLAN.

# 5.6.16.2 WLAN traffic steering command

- 1> if the received *rclwi-Configuration* is set to *setup*:
  - 2> if the *command* is set to *steerToWLAN*:
    - 3> inform the upper layers of a move-traffic-to-WLAN indication along with the WLAN identifier lists in *steerToWLAN* (see TS 24.302 [74]);
    - 3> store steerToWLAN in wlan-MobilitySet in VarWLAN-MobilityConfig;
    - 3> perform the WLAN status monitoring procedure as specified in 5.6.15.4 using *steerToWLAN* as the WLAN mobility set;
  - 2> else:
    - 3> inform the upper layers of a move-traffic-from-WLAN indication (see TS 24.302 [74]);
    - 3> clear wlan-MobilitySet in VarWLAN-MobilityConfig;
    - 3> stop performing the WLAN status monitoring procedure as specified in 5.6.15.4;
    - 3> delete any existing values in VarWLAN-Status;
- 1> else (the *rclwi-Configuration* is released):
  - 2> clear wlan-MobilitySet in VarWLAN-MobilityConfig;
  - 2> stop performing the WLAN status monitoring procedure as specified in 5.6.15.4;
  - 2> delete any existing values in VarWLAN-Status;

2> inform the upper layers of release of the *rclwi-Configuration*.

# 5.6.17 LTE-WLAN aggregation with IPsec tunnel

#### 5.6.17.1 General

The WLAN resources that are used over the LWIP tunnel as described in TS 36.300 [9] established as part of LWIP procedures are referred to as 'LWIP resources'. The purpose of this clause is to specify procedures to indicate to higher layers to initiate the establishment/ release of the LWIP tunnel over WLAN and to indicate which DRB(s) shall use the LWIP resources.

# 5.6.17.2 LWIP reconfiguration

The UE shall:

- 1> if the received *lwip-Configuration* is set to *release*:
  - 2> release the LWIP configuration, if configured, as described in 5.6.17.3;
- 1> else:
  - 2> if *lwip-MobilityConfig* is included:
    - 3> if the received lwip-MobilityConfig includes wlan-ToReleaseList:
      - 4> for each WLAN-Identifiers included in wlan-ToReleaseList:
        - 5> remove the WLAN-Identifiers if already part of the current wlan-MobilitySet in VarWLAN-MobilityConfig;
    - 3> if the received lwip-MobilityConfig includes wlan-ToAddList:
      - 4> for each WLAN-Identifiers included in wlan-ToAddList:
        - 5> add the WLAN-Identifiers to the current wlan-MobilitySet in VarWLAN-MobilityConfig;
    - 3> if the received *lwip-MobilityConfig* includes *associationTimer*:
      - 4> start timer T351 with the timer value set according to the value of associationTimer;
    - 3> if the received *lwip-MobilityConfig* includes *successReportRequested*:
      - 4> set successReportRequested in VarWLAN-MobilityConfig to the value of successReportRequested;
  - 2> if tunnelConfigLWIP is included:
    - 3> indicate to higher layers to configure the LWIP tunnel according to the received *tunnelConfigLWIP*, as specified in TS 33.401 [32];
    - 3> if *lwip-Counter* is included:
      - 4> determine the LWIP-PSK based on the K<sub>eNB</sub> key and received *lwip-Counter* value, as specified in TS 33.401 [32];
      - 4> forward the LWIP-PSK to upper layers for LWIP tunnel establishment;
  - 2> start WLAN Status Monitoring as described in 5.6.15.4;

## 5.6.17.3 LWIP release

- 1> delete any existing values in VarWLAN-MobilityConfig and VarWLAN-Status;
- 1> stop timer T351, if running;

- 1> release the *lwip-Configuration*;
- 1> indicate to higher layers to stop all DRBs from using the LWIP resources;
- 1> indicate to higher layers to release the LWIP tunnel, as specified in TS 33.401 [32];
- 1> stop WLAN status monitoring and WLAN connection attempts for LWIP;

## 5.6.18 Void

# 5.6.19 Application layer measurement reporting

#### 5.6.19.1 General

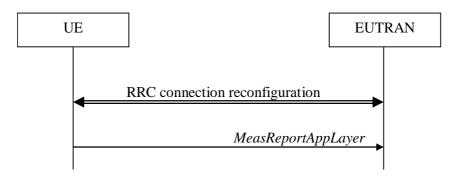


Figure 5.6.19.1-1: Application layer measurement reporting

The purpose of this procedure is to inform E-UTRAN about application layer measurement report.

#### 5.6.19.2 Initiation

A UE capable of application layer measurement reporting in RRC\_CONNECTED may initiate the procedure when configured with application layer measurement, i.e. when *measConfigAppLayer* has been configured by E-UTRAN.

Upon initiating the procedure, the UE shall:

- 1> if configured with application layer measurement, and SRB4 is configured, and the UE has received application layer measurement report information from upper layers:
  - 2> set the *measReportAppLayerContainer* in the *MeasReportAppLayer* message to the value of the application layer measurement report information;
  - 2> set the *serviceType* in the *MeasReportAppLayer* message to the type of the application layer measurement report information;
  - 2> submit the MeasReportAppLayer message to lower layers for transmission via SRB4.

## 5.6.20 Idle/Inactive Measurements

### 5.6.20.1 General

This procedure specifies the measurements to be performed and stored by a UE in RRC\_IDLE or RRC\_INACTIVE when it has an idle/inactive measurement configuration.

#### 5.6.20.1a Measurement configuration

The purpose of this procedure is to update the idle/inactive measurement configuration.

The UE initiates this procedure while T331 is running and one of the following conditions is met:

- 1> upon selecting a cell when entering RRC\_IDLE or RRC-INACTIVE from RRC\_CONNECTED; or
- 1> upon update of system information (SIB5, or SIB24), e.g. due to intra-RAT cell (re)selection;

While in RRC\_IDLE or RRC\_INACTIVE and T331 is running, the UE shall:

- 1> if VarMeasIdleConfig includes neither a measIdleCarrierListEUTRA nor a measIdleCarrierListNR received from the RRCConnectionRelease message:
  - 2> if the UE is capable of idle/inactive measurements for E-UTRA:
    - 3> if the SIB5 includes the measIdleConfigSIB:
      - 4> store or replace the *measIdleCarrierListEUTRA* of *measIdleConfigSIB* of *SIB5* within *VarMeasIdleConfig*;
    - 3> else:
      - 4> remove the *measIdleCarrierListEUTRA* in *VarMeasIdleConfig*, if stored;
  - 2> if the UE is capable of idle/inactive measurements for NR:
    - 3> if the SIB5 includes the measIdleConfigSIB-NR:
      - 4> store or replace the *measIdleCarrierListNR* of *measIdleConfigSIB-NR* of *SIB5* within *VarMeasIdleConfig*;
    - 3> else:
      - 4> remove the *measIdleCarrierListNR* in *VarMeasIdleConfig*, if stored;
- 1> for each entry in the *measIdleCarrierListNR* within *VarMeasIdleConfig* that does not contain an *ssb-MeasConfig* received from the *RRCConnectionRelease* message:
  - 2> if there is an entry in *measIdleCarrierListNR* in *measIdleConfigSIB-NR* of *SIB5* that has the same carrier frequency and subcarrier spacing as the entry in the *measIdleCarrierListNR* within *VarMeasIdleConfig* and that contains *ssb-MeasConfig*:
    - 3> delete the *ssb-MeasConfig* of the corresponding entry in the *measIdleCarrierListNR* within *VarMeasIdleConfig*;
    - 3> store the SSB measurement configuration from SIB5 into maxRS-IndexCellQual, threshRS-Index, measTimingConfig, ssb-ToMeasure, deriveSSB-IndexFromCell, and ss-RSSI-Measurement within ssb-MeasConfig of the corresponding entry in the measIdleCarrierListNR within VarMeasIdleConfig;
  - 2> else if there is an entry in *carrierFreqListNR* of *SIB24* with the same carrier frequency and subcarrier spacing as the entry in *measIdleCarrierListNR* within *VarMeasIdleConfig*:
    - 3> delete the *ssb-MeasConfig* of the corresponding entry in the *measIdleCarrierListNR* within *VarMeasIdleConfig*;
    - 3> store the SSB measurement configuration from SIB24 into maxRS-IndexCellQual, threshRS-Index, measTimingConfig, ssb-ToMeasure, deriveSSB-IndexFromCell, and ss-RSSI-Measurement within ssb-MeasConfig of the corresponding entry in measIdleCarrierListNR within VarMeasIdleConfig;
  - 2> else:
    - 3> remove the *ssb-MeasConfig* of the corresponding entry in the *measIdleCarrierListNR* within *VarMeasIdleConfig*, if stored;

# 5.6.20.2 Performing measurements

When performing measurements on NR carriers according to this clause, the UE shall derive the cell quality as specified in 5.5.3.3 and consider the beam quality to be the value of the measurement results of the concerned beam, where each result is averaged as described in TS 38.215 [89].

While in RRC\_IDLE or RRC\_INACTIVE, and T331 is running, the UE shall:

- 1> perform the measurements in accordance with the following:
  - 2> if the SIB2 contains *idleModeMeasurements*, for each entry in *measIdleCarrierListEUTRA* within *VarMeasIdleConfig*:
    - 3> if UE supports carrier aggregation between serving carrier and the carrier frequency and bandwidth indicated by *carrierFreq* and *allowedMeasBandwidth* within the corresponding entry;
      - 4> perform measurements in the carrier frequency and bandwidth indicated by *carrierFreq* and *allowedMeasBandwidth* within the corresponding entry;
- NOTE 1: How the UE performs the idle/inactive measurements is up to UE implementation as long as the requirements in TS 36.133 [16] are met for measurement reporting.
  - 4> if the *reportQuantities* is set to *rsrq*:
    - 5> consider RSRQ as the sorting quantity;
  - 4> else:
    - 5> consider RSRP as the sorting quantity;
  - 4> if the *measCellList* is included:
    - 5> consider cells identified by each entry within the *measCellList* to be applicable for idle /inactive measurement reporting;
  - 4> else:
    - 5> consider up to *maxCellMeasIdle* strongest identified cells, according to the sorting quantity, to be applicable for idle/inactive measurement reporting;
  - 4> for all cells applicable for idle/inactive measurement reporting and for the serving cell, derive measurement results for the measurement quantities indicated by *reportQuantities*;
  - 4> store the derived measurement result as indicated by *reportQuantities* for the serving cell within *measResultServingCell* in the *measReportIdle* in *VarMeasIdleReport*;
  - 4> store the derived measurement results as indicated by *reportQuantities* for cells applicable for idle/inactive measurement reporting within *measResultNeighCells* in the *measReportIdle* in *VarMeasIdleReport* in decreasing order of the sorting quantity, i.e. the best cell is included first, as follows:
    - 5> if *qualityThreshold* is configured:
      - 6> include the measurement results from the cells applicable for idle/inactive measurement reporting whose RSRP/RSRQ measurement results are above the value(s) provided in qualityThreshold;
    - 5> else:
      - 6> include the measurement results from all cells applicable for idle/inactive measurement reporting;
  - 2> if the SIB2 contains *idleModeMeasurementsNR* and *VarMeasIdleConfig* includes the *measIdleCarrierListNR*:
    - 3> for each entry in measIdleCarrierListNR within VarMeasIdleConfig that contains ssb-MeasConfig:
      - 4> if UE supports (NG)EN-DC between serving carrier and the carrier frequency and subcarrier spacing indicated by *carrierFreqNR* and *subCarrierSpacingSSB* within the corresponding entry:
        - 5> perform measurements in the carrier frequency and subcarrier spacing indicated by *carrierFreqNR* and *subCarrierSpacingSSB* within the corresponding entry;

- 5> if the *reportQuantitiesNR* is set to *rsrq*:
  - 6> consider RSRQ as the cell sorting quantity;
- 5> else:
  - 6> consider RSRP as the cell sorting quantity;
- 5> if the *measCellListNR* is included:
  - 6> consider cells identified by each entry within the *measCellListNR* to be applicable for idle/inactive measurement reporting;
- 5> else:
  - 6> consider up to *maxCellMeasIdle* strongest identified cells, according to the sorting quantity, to be applicable for idle/inactive measurement reporting;
- 5> for all cells applicable for idle/inactive measurement reporting, derive the cell measurement results for the measurement quantities indicated by *reportQuantitiesNR*;
- 5> store the derived measurement results as indicated by *reportQuantitiesNR* within the *measReportIdleNR* in *VarMeasIdleReport* in decreasing order of the cell sorting quantity, i.e. the best cell is included first, as follows:
  - 6> if qualityThresholdNR is configured:
    - 7> include the measurement results from the cells applicable for idle/inactive measurement reporting whose RSRP/RSRQ measurement results are above the value(s) provided in qualityThresholdNR;
  - 6> else:
    - 7> include the measurement results from all cells applicable for idle/inactive measurement reporting;
- 5> if beamMeasConfigIdle is included in the associated entry in measIdleCarrierListNR and if UE supports nr-IdleInactiveBeamMeasFR1 or nr-IdleInactiveBeamMeasFR2 for the FR of the carrier frequency indicated by carrierFreqNR within the associated entry, for each cell in the measurement results:
  - 6> derive beam measurements based on SS/PBCH block for each measurement quantity indicated in *reportQuantityRS-IndexNR*, as described in TS 38.215 [89];
  - 6> if the reportQuantityRS-IndexNR is set to rsrq:
    - 7> consider RSRQ as the beam sorting quantity;
  - 6> else:
    - 7> consider RSRP as the beam sorting quantity;
  - 6> set *resultRS-IndexList* to include up to *maxReportRS-Index* SS/PBCH block indexes in order of decreasing sorting quantity as follows:
    - 7> include the index associated to the best beam for the sorting quantity and if *threshRS-Index* is included, the remaining beams whose sorting quantity is above *threshRS-Index*;
  - 6> if the *reportRS-IndexResultsNR* is set to true:
    - 7> include the beam measurement results as indicated by reportQuantityRS-IndexNR;
- 3> if, as the result of the procedure in this clause, the UE performs measurements in one or more carrier frequency indicated by *measIdleCarrierListNR*:
  - 4> store the cell measurement results for RSRP and RSRQ for the serving cell within *measResultServingCell* in the *measReportIdle* in *VarMeasIdleReport*;

- NOTE 2: The UE is not required to perform idle/inactive measurements on a given carrier if the SSB configuration of that carrier provided via dedicated signaling is different from the SSB configuration broadcasted in the serving cell, if any.
- NOTE 3: How the UE prioritizes which frequencies to measure or report (in case it is configured with more frequencies than it can measure or report) is left to UE implementation.

## 5.6.20.3 T331 expiry or stop

The UE shall:

- 1> if T331 expires or is stopped:
  - 2> release the *VarMeasIdleConfig*;

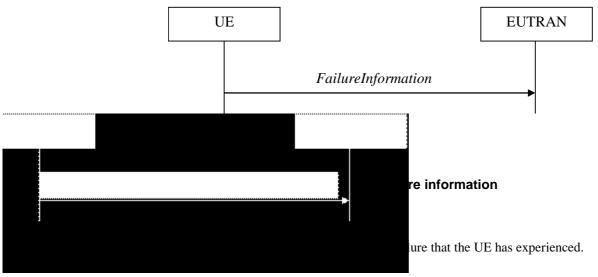
NOTE: It is up to UE implementation whether to continue idle/inactive measurements according to SIB5 and SIB24 configuration or according to NR SIB11 and NR SIB4 configuration as specified in TS 38.331 [82] upon inter-RAT cell reselection to NR, after T331 has expired or stopped.

# 5.6.20.4 Cell re-selection or selection while T331 is running

- 1> if intra-RAT cell selection or reselection occurs while T331 is runing:
  - 2> if validityAreaList is configured in VarMeasIdleConfig:
    - 3> if the serving cell frequency does not match with the carrierFreq of any entry in the validityAreaList; or
    - 3> if the serving frequency matches with the *carrierFreq* of an entry in the *validityAreaList*, the *validityCellList* is included in that entry, and the physical cell identity of the serving cell does not match with any entry in *validityCellList*:
      - 4> stop timer T331;
      - 4> perform the actions as specified in 5.6.20.3, upon which the procedure ends;
  - 2> else if *validityArea* is configured in *VarMeasIdleConfig* and UE reselects to a serving cell whose physical cell identity does not match any entry in *validityArea* for the corresponding carrier frequency:
    - 3> stop timer T331;
    - 3> perform the actions as specified in 5.6.20.3, upon which the procedure ends;
- 1> if inter-RAT cell selection or reselection occurs while timer T331 is running;
  - 2> stop timer T331;
  - 2> perform the actions as specified in 5.6.20.3;

# 5.6.21 Failure information

#### 5.6.21.1 General



## 5.6.21.2 Initiation

A UE initiates the procedure to report failures when one of the following conditions is met:

- 1> upon detecting RLC failure, in accordance with 5.3.11;
- 1> upon detecting a DAPS HO failure, in accordance with 5.3.5.6.

Upon initiating the procedure, the UE shall:

1> initiate transmission of the FailureInformation message in accordance with 5.6.21.3;

## 5.6.21.3 Actions related to transmission of *FailureInformation* message

When initiating the procedure according to 5.6.21.2, the UE shall:

- 1> set the contents of the FailureInformation message as follows:
  - 2> if the procedure is initiated to report RLC failure:
    - 3> set logicalChannelIdentity to the logical channel identity of the RLC entity;
    - 3> set cellGroupIndication to the cell group where the RLC entity is located;
    - 3> set failureType to the type of failure that has been detected;
  - 2> if the procedure is initiated to report a DAPS HO failure:
    - 3> set failureType to dapsHO-failure;
- 1> submit the FailureInformation message to lower layers for transmission.

# 5.6.22 UL message segment transfer

#### 5.6.22.1 General

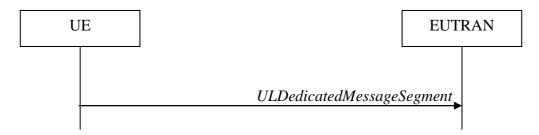


Figure 5.6.22.1-1: UL message segment transfer

The purpose of this procedure is to transfer segments of UL DCCH messages from UE to E-UTRAN in RRC\_CONNECTED.

NOTE: The segmentation of UL DCCH message is only applicable to UECapabilityInformation in this release.

#### 5.6.22.2 Initiation

A UE capable of UL RRC message segmentation in RRC\_CONNECTED will initiate the procedure when the following conditions are met:

- 1> if the RRC message segmentation is enabled based on the field rrc-SegAllowed received, and
- 1> if the encoded RRC message is larger than the maximum supported size of a PDCP SDU specified in TS 36.323 [8];

Upon initiating the procedure, the UE shall:

1> initiate transmission of the *ULDedicatedMessageSegment* message as specified in 5.6.22.3;

#### 5.6.22.3 Actions related to transmission of *ULDedicatedMessageSegment* message

The UE shall segment the encoded RRC PDU based on the maximum supported size of a PDCP SDU specified in TS 36.323 [8]. UE shall minimize the number of segments and set the contents of the *ULDedicatedMessageSegment* messages as follows:

- 1> For each new UL DCCH message, set the *segmentNumber* to 0 for the first message segment and increment the *segmentNumber* for each subsequent RRC message segment;
- 1> set rrc-MessageSegmentContainer to include the segment of the UL DCCH message corresponding to the segmentNumber;
- 1> if the segment included in the *rrc-MessageSegmentContainer* is the last segment of the UL DCCH message:
  - 2> set the *rrc-MessageSegmentType* to *lastSegment*;
- 1> else:
  - 2> set the *rrc-MessageSegmentType* to *notLastSegment*;
- 1> submit all the *ULDedicatedMessageSegment* messages generated for the segmented RRC message to lower layers for transmission in ascending order based on the *segmentNumber*, upon which the procedure ends.

# 5.6.23 PUR Configuration Request

#### 5.6.23.1 General



Figure 5.6.23.1-1: PUR Configuration Request

The purpose of this procedure is to indicate to the E-UTRAN that the UE is interested to be configured with PUR and provide PUR related information to E-UTRAN, or that the UE is no longer interested to be configured with PUR.

The procedure is applicable only for BL UEs, UEs in CE or NB-IoT UEs.

#### 5.6.23.2 Initiation

A UE in RRC\_CONNECTED may initiate the procedure when all of the following conditions are fulfilled:

- 1> if the UE is connected to EPC:
  - 2> for CP transmission using PUR, SystemInformationBlockType2 (SystemInformationBlockType2-NB in NB-IoT) includes cp-PUR-EPC; or
  - 2> for UP transmission using PUR, SystemInformationBlockType2 (SystemInformationBlockType2-NB in NB-IoT) includes up-PUR-EPC;
- 1> else if the UE is connected to 5GC:
  - 2> for CP transmission using PUR, SystemInformationBlockType2 (SystemInformationBlockType2-NB in NB-IoT) includes cp-PUR-5GC; or
  - 2> for UP transmission using PUR, SystemInformationBlockType2 (SystemInformationBlockType2-NB in NB-IoT) includes up-PUR-5GC;
- 1> the size of the resulting MAC PDU including the total UL data size of the traffic is smaller than or equal to the maximum supported TBS based on the UE category.
- NOTE 1: It is up to UE implementation how the UE determines whether the size of UL data is suitable for transmission using PUR.

Upon initiating the procedure, the UE shall:

1> initiate transmission of the *PURConfigurationRequest* message in accordance with 5.6.23.3;

## 5.6.23.3 Actions related to transmission of *PURConfigurationRequest* message

When initiating the procedure according to 5.6.23.2, the UE shall set the contents of the *PURConfigurationRequest* message as follows:

- 1> if the UE is interested to be configured with PUR, include *pur-SetupRequest* and set the contents of *pur-SetupRequest* as follows:
  - 2> set requestedNumOccasions to the requested number of PUR occasions requested;

- 2> set requestedPeriodicityAndOffset according to the requested periodicity between consecutive PUR occasions and the requested time offset with respect to current time until the first PUR occasion;
- 2> set requestedTBS to the requested TBS for the PUR occasion(s);
- 2> if RRC response message is preferred by the UE for acknowledging the reception of a transmission using PUR, include *rrc-ACK*;
- 1> if the UE is no longer interested to be configured with PUR:
  - 2> include *pur-ReleaseRequest*;

The UE shall submit the PURConfigurationRequest message to lower layers for transmission.

# 5.6.24 Neighbour Relation Reporting for SON ANR in NB-IoT

#### 5.6.24.0 General

This procedure specifies the neighbour measurements and CGI reading performed when the UE is in RRC\_IDLE when it has an ANR measurement configuration and the storage of the associated information by a UE in RRC\_IDLE and RRC\_CONNECTED.

NOTE: E-UTRAN may retrieve the stored ANR measurements information by means of the UE information procedure.

#### 5.6.24.1 Initiation

While the UE is in RRC\_IDLE, the UE shall:

- 1> store the measurement results for the serving cell in measResultServCell in VarANR-MeasReport-NB;
- 1> while the serving cell global cell identity is the same as stored in servCellIdentity in VarANR-MeasReport-NB:
  - 2> perform the measurements once in accordance with the following:
    - 3> for each carrier frequency indicated by an entry in *anr-CarrierList*, if present, within *VarANR-MeasConfig-NB*:
      - 4> add a new entry in measResultList in VarANR-MeasReport-NB;
      - 4> set the *carrierFreq* to the carrier frequency;
      - 4> perform measurements on the corresponding carrier frequency and determines the strongest cell, if any, on the carrier frequency;
- NOTE: How the UE performs ANR measurement in RRC\_IDLE is up to UE implementation as long as the measurement requirements (see TS 36.133 [16], clause 4.6) are met. While performing an ANR measurement, the UE performs inter-frequency measurements on the configured frequency regardless of the measurement rules for cell re-selection and the relaxed monitoring measurement rules as specified in TS 36.304 [4].
  - 4> if the strongest cell is not identified by an entry within the *excludedCellList*, if present, for the corresponding entry in *anr-CarrierList*:
    - 5> set the *physCellId* to the physical cell identity of the cell;
    - 5> set the *measResultLastServCell* to the last measurement results of the PCell;
    - 5> set the *measResult* to the measurement results of the cell;
    - 5> if the NRSRP measurement result is above the value provided in *anr-qualityThreshold*:
      - 6> set the *cgi-Info* with the information obtained from the *systemInformationBlockType1-NB* of the cell;

2> set the relativeTimeStamp to the elapsed time since the measurements configuration was received;

1> release the *VarANR-MeasConfig-NB*.

The UE may discard the ANR measurements information, i.e. release the UE variables *VarANR-MeasConfig-NB* and *VarANR-MeasReport-NB*, 96 hours after the configuration was received, upon power off or upon detach and upon entering another RAT.

# 5.6.25 DL message segment transfer

#### 5.6.25.1 General



Figure 5.6.25.1-1: DL message segment transfer

The purpose of this procedure is to transfer segments of DL DCCH messages from E-UTRAN to the UE.

NOTE: The segmentation of DL DCCH message is only applicable to RRCConnectionReconfiguration and RRCConnectionResume messages in this release.

#### 5.6.25.2 Initiation

E-UTRAN initiates the DL Dedicated Message Segment transfer procedure whenever the encoded RRC message PDU exceeds the maximum PDCP SDU size. E-UTRAN initiates the DL Dedicated Message Segment transfer procedure by sending the *DLDedicatedMessageSegment* message.

## 5.6.25.3 Reception of *DLDedicatedMessageSegment* by the UE

Upon receiving *DLDedicatedMessageSegment* message, the UE shall:

- 1> store the segment;
- 1> if all segments of the message have been received:
  - 2> assemble the message from the received segments and process the message according to 5.3.5 for the *RRCConnectionReconfiguration* message or 5.3.3.4a for the *RRCConnectionResume* message;
  - 2> discard all segments.

## 5.6.26 MCG failure information

#### 5.6.26.1 General

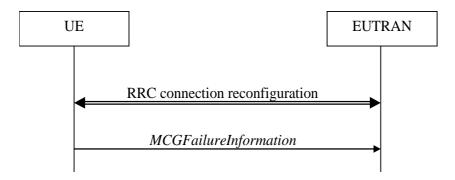


Figure 5.6.26.1-1: MCG failure information

The purpose of this procedure is to inform the network about an MCG failure the UE has experienced i.e. MCG radio link failure. A UE in RRC\_CONNECTED, for which AS security has been activated with SRB2 and at least one DRB setup, may initiate the fast MCG link recovery procedure in order to continue the RRC connection without re-establishment.

#### 5.6.26.2 Initiation

A UE configured with split SRB1 or SRB3 initiates the procedure to report MCG failures when neither MCG nor SCG transmission is suspended, the SCG is not deactivated, *t316* is configured, and when the following condition is met:

1> upon detecting radio link failure of the MCG, in accordance with 5.3.11, while T316 is not running.

Upon initiating the procedure, the UE shall:

- 1> stop timer T310, if running;
- 1> stop timer T312, if running;
- 1> suspend MCG transmission for all SRBs and DRBs, except SRB0;
- 1> reset MCG MAC;
- 1> stop conditional reconfiguration evaluation for CHO, if configured;
- 1> stop conditional reconfiguration evaluation for CPC, if configured;
- 1> initiate transmission of the MCGFailureInformation message in accordance with 5.6.26.4.

NOTE: The handling of any outstanding UL RRC messages during the initiation of the fast MCG link recovery is left to UE implementation.

# 5.6.26.3 Failure type determination

The UE shall set the MCG failure type as follows:

- 1> if the UE initiates transmission of the MCGFailureInformation message due to T310 expiry:
  - 2> set the *failureType* as *t310-Expiry*;
- 1> else if the UE initiates transmission of the MCGFailureInformation message due to T312 expiry:
  - 2> set the *failureType* as *t312-Expiry*;
- 1> else if the UE initiates transmission of the *MCGFailureInformation* message to provide random access problem indication from MCG MAC:
  - 2> set the *failureType* as *randomAccessProblem*;

- 1> else if the UE initiates transmission of the *MCGFailureInformation* message to provide indication from MCG RLC that the maximum number of retransmissions has been reached:
  - 2> set the *failureType* as *rlc-MaxNumRetx*.

# 5.6.26.4 Actions related to transmission of *MCGFailureInformation* message

The UE shall set the contents of the MCGFailureInformation message as follows:

- 1> include and set *failureType* in accordance with 5.6.26.3;
- 1> for each measObjectEUTRA for which a measId is configured and for which measurement results are available:
  - 2> include an entry in *measResultsFreqListEUTRA*;
  - 2> if a serving cell is associated with the *MeasObjectEUTRA*:
    - 3> set *measResultServingCell* to include the available quantities of the concerned cell and in accordance with the performance requirements in TS 36.133 [16];
  - 2> set the *measResultNeighCellList* to include the best measured cells, ordered such that the best cell is listed first, and based on measurements collected up to the moment the UE detected the failure, and set its fields as follows:
    - 3> ordering the cells with sorting as follows:
      - 4> using RSRP if RSRP measurement results are available, otherwise using RSRQ if RSRQ measurement results are available, otherwise using SINR;
    - 3> for each neighbour cell included:
      - 4> include the optional fields for which measurement results are available;
- NOTE 1: The measured quantities are filtered by the L3 filter as configured in the mobility measurement configuration. The measurements are based on the time domain measurement resource restriction, if configured. Exclude-listed cells are not required to be reported.
- 1> for each NR frequency the UE is configured to measure by *measConfig* for which measurement results are available:
  - 2> set the measResultFreqListNR to include the best measured cells, ordered such that the best cell is listed first using RSRP to order the cells if RSRP measurement results are available for cells on this frequency, otherwise using RSRQ to order the cells if RSRQ measurement results are available for cells on this frequency, otherwise using SINR to order the cells, based on measurements collected up to the moment the UE detected the failure, and for each cell that is included, include the optional fields that are available;
- 1> for each UTRA frequency the UE is configured to measure by *measConfig* for which measurement results are available:
  - 2> set the measResultFreqListUTRA to include the best measured cells, ordered such that the best cell is listed first using RSCP to order the cells if RSCP measurement results are available for cells on this frequency, otherwise using EcN0 to order the cells, based on measurements collected up to the moment the UE detected the failure, and for each cell that is included, include the optional fields that are available;
- 1> for each GERAN frequency the UE is configured to measure by *measConfig* for which measurement results are available:
  - 2> set the *measResultFreqListGERAN* to include the best measured cells based on measurements collected up to the moment the UE detected the failure, and for each cell that is included, include the optional fields that are available:
- 1> if the UE is in (NG)EN-DC:
  - 2> include and set *measResultSCG* in accordance with TS 38.331 [82], clause 5.7.3.4:

- NOTE 2: Field *measResultSCG* is used to report available results for NR frequencies the UE is configured to measure by NR RRC signalling.
- 1> if SRB1 is configured as split SRB and *pdcp-Duplication* is not configured in accordance with TS 38.331 [82, 6.3.2]:
  - 2> if the *primaryPath* for the PDCP entity of SRB1 refers to to the MCG:
    - 3> set the *primaryPath* to refer to the SCG.

#### The UE shall:

- 1> start timer T316;
- 1> if SRB1 is configured as split SRB:
  - 2> submit the *MCGFailureInformation* message to lower layers for transmission via SRB1, upon which the procedure ends;
- 1> else (i.e. SRB3 is configured):
  - 2> submit the MCGFailureInformation message to lower layers for transmission, embedded in NR RRC message ULInformationTransferMRDC via SRB3 as specified in TS 38.331 [82], clause 5.7.2a.3.

# 5.6.26.5 T316 expiry

The UE shall:

- 1> if T316 expires:
  - 2> initiate the connection re-establishment procedure as specified in 5.3.7.

# 5.6.27 Void

# 5.6.28 UL transfer of IRAT information

## 5.6.28.1 General

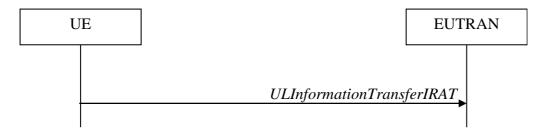


Figure 5.6.28.1-1: UL transfer of IRAT information

The purpose of this procedure is to transfer from the UE to E-UTRAN dedicated information terminated by E-UTRAN but specified by another RAT e.g. the NR RRC *MeasurementReport* message, the NR RRC *SidelinkUEInformationNR* message or the NR RRC *UEAssistanceInformation* message. The specific information transferred in this message is set in accordance with:

- the procedure specified in 5.7.4 of TS 38.331 [82] for NR UEAssistanceInformation message;
- the procedure specified in 5.8.3 of TS 38.331 [82] for NR SidelinkUEInformationNR message;
- the procedure specified in 5.5.5 of TS 38.331 [82] for NR MeasurementReport Message.

#### 5.6.28.2 Initiation

A UE in RRC\_CONNECTED initiates the UL information transfer procedure whenever there is a need to transfer dedicated IRAT information as specified in TS 38.331 [82].

## 5.6.28.3 Actions related to transmission of *ULInformationTransferIRAT* message

The UE shall set the contents of the *ULInformationTransferIRAT* message as follows:

- 1> if there is a need to transfer dedicated NR information:
  - 2> set the *ul-DCCH-MessageNR* to include the IRAT dedicated information to be transferred;
- 1> submit the *ULInformationTransferIRAT* message to lower layers for transmission, upon which the procedure ends.

# 5.7 Generic error handling

## 5.7.1 General

The generic error handling defined in the subsequent clauses applies unless explicitly specified otherwise e.g. within the procedure specific error handling.

The UE shall consider a value as not comprehended when it is set:

- to an extended value that is not defined in the version of the transfer syntax supported by the UE.
- to a spare or reserved value unless the specification defines specific behaviour that the UE shall apply upon receiving the concerned spare/ reserved value.

The UE shall consider a field as not comprehended when it is defined:

- as spare or reserved unless the specification defines specific behaviour that the UE shall apply upon receiving the concerned spare/reserved field.

# 5.7.2 ASN.1 violation or encoding error

The UE shall:

- 1> when receiving an RRC message on the BCCH, BR-BCCH, PCCH, CCCH, MCCH, SC-MCCH or SBCCH for which the abstract syntax is invalid, as specified in ITU-T X.680 (07/2002) [13]:
  - 2> ignore the message;

NOTE: This clause applies in case one or more fields is set to a value, other than a spare, reserved or extended value, not defined in this version of the transfer syntax. E.g. in the case the UE receives value 12 for a field defined as INTEGER (1..11). In cases like this, it may not be possible to reliably detect which field is in the error hence the error handling is at the message level.

# 5.7.3 Field set to a not comprehended value

The UE shall, when receiving an RRC message on any logical channel:

- 1> if the message includes a field that has a value that the UE does not comprehend:
  - 2> if a default value is defined for this field:
    - 3> treat the message while using the default value defined for this field;
  - 2> else if the concerned field is optional:

3> treat the message as if the field were absent and in accordance with the need code for absence of the concerned field:

2> else:

3> treat the message as if the field were absent and in accordance with clause 5.7.4;

# 5.7.4 Mandatory field missing

The UE shall:

- 1> if the message includes a field that is mandatory to include in the message (e.g. because conditions for mandatory presence are fulfilled) and that field is absent or treated as absent:
  - 2> if the RRC message was received on DCCH or CCCH:
    - 3> ignore the message;
  - 2> else:
    - 3> if the field concerns a (sub-field of) an entry of a list (i.e. a SEQUENCE OF):
      - 4> treat the list as if the entry including the missing or not comprehended field was not present;
    - 3> else if the field concerns a sub-field of another field, referred to as the 'parent' field i.e. the field that is one nesting level up compared to the erroneous field:
      - 4> consider the 'parent' field to be set to a not comprehended value;
      - 4> apply the generic error handling to the subsequent 'parent' field(s), until reaching the top nesting level i.e. the message level;
    - 3> else (field at message level):
      - 4> ignore the message;
- NOTE 1: The error handling defined in these clauses implies that the UE ignores a message with the message type or version set to a not comprehended value.
- NOTE 2: The nested error handling for messages received on logical channels other than DCCH and CCCH applies for errors in extensions also, even for errors that can be regarded as invalid E-UTRAN operation e.g. E-UTRAN not observing conditional presence.

The following ASN.1 further clarifies the levels applicable in case of nested error handling for errors in extension fields.

```
-- /example/ ASN1START
-- Example with extension addition group
ItemInfoList ::=
                                   SEQUENCE (SIZE (1..max)) OF ItemInfo
ItemInfo ::=
                                   SEOUENCE {
   itemIdentity
                                       INTEGER (1..max),
    field1
                                       Field1,
   field2
                                       Field2
                                                               OPTIONAL,
                                                                                   -- Need ON
    [[ field3-r9
                                       Field3-r9
                                                               OPTIONAL,
                                                                                   -- Cond Cond1
        field4-r9
                                       Field4-r9
                                                                                   -- Need ON
                                                               OPTIONAL
}
-- Example with traditional non-critical extension (empty sequence)
BroadcastInfoBlock1 ::=
                                   SEQUENCE {
                                       INTEGER (1..max),
    itemIdentity
    field1
                                       Field1,
    field2
                                                               OPTIONAL,
                                                                                    -- Need ON
   nonCriticalExtension
                                       BroadcastInfoBlock1-v940-IEs OPTIONAL
}
```

The UE shall, apply the following principles regarding the levels applicable in case of nested error handling:

- an extension addition group is not regarded as a level on its own. E.g. in the ASN.1 extract in the previous, a error regarding the conditionality of *field3* would result in the entire itemInfo entry to be ignored (rather than just the extension addition group containing *field3* and *field4*)
- a traditional *nonCriticalExtension* is not regarded as a level on its own. E.g. in the ASN.1 extract in the previous, a error regarding the conditionality of *field3* would result in the entire *BroadcastInfoBlock1* to be ignored (rather than just the non critical extension containing *field3* and *field4*).

# 5.7.5 Not comprehended field

The UE shall, when receiving an RRC message on any logical channel:

- 1> if the message includes a field that the UE does not comprehend:
  - 2> treat the rest of the message as if the field was absent;

NOTE: This clause does not apply to the case of an extension to the value range of a field. Such cases are addressed instead by the requirements in clause 5.7.3.

# 5.8 MBMS

# 5.8.1 Introduction

## 5.8.1.1 General

In general the control information relevant only for UEs supporting MBMS is separated as much as possible from unicast control information. Most of the MBMS control information is provided on a logical channel specific for MBMS common control information: the MCCH. E-UTRA employs one MCCH logical channel per MBSFN area. In case the network configures multiple MBSFN areas, the UE acquires the MBMS control information from the MCCHs that are configured to identify if services it is interested to receive are ongoing. The action applicable when the UE is unable to simultaneously receive MBMS and unicast services is up to UE implementation. In this release of the specification, an MBMS capable UE is only required to support reception of a single MBMS service at a time, and reception of more than one MBMS service (also possibly on more than one MBSFN area) in parallel is left for UE implementation. The MCCH carries the *MBSFNAreaConfiguration* message, which indicates the MBMS sessions that are ongoing as well as the (corresponding) radio resource configuration. The MCCH may also carry the *MBMSCountingRequest* message, when E-UTRAN wishes to count the number of UEs in RRC\_CONNECTED that are receiving or interested to receive one or more specific MBMS services.

A limited amount of MBMS control information is provided on the BCCH. This primarily concerns the information needed to acquire the MCCH(s). This information is carried by means of a single MBMS specific *SystemInformationBlock: SystemInformationBlockType13*. An MBSFN area is identified solely by the *mbsfn-AreaId* in *SystemInformationBlockType13*. At mobility, the UE considers that the MBSFN area is continuous when the source cell and the target cell broadcast the same value in the *mbsfn-AreaId*.

# 5.8.1.2 Scheduling

The MCCH information is transmitted periodically, using a configurable repetition period. Scheduling information is not provided for MCCH i.e. both the time domain scheduling as well as the lower layer configuration are semi-statically configured, as defined within *SystemInformationBlockType13*.

For MBMS user data, which is carried by the MTCH logical channel, E-UTRAN periodically provides MCH scheduling information (MSI) at lower layers (MAC). This MCH information only concerns the time domain scheduling i.e. the frequency domain scheduling and the lower layer configuration are semi-statically configured. The periodicity of the MSI is configurable and defined by the MCH scheduling period.

# 5.8.1.3 MCCH information validity and notification of changes

Change of MCCH information only occurs at specific radio frames, i.e. the concept of a modification period is used. Within a modification period, the same MCCH information may be transmitted a number of times, as defined by its scheduling (which is based on a repetition period). The modification period boundaries are defined by SFN values for which SFN mod m=0, where m is the number of radio frames comprising the modification period. The modification period is configured by means of SystemInformationBlockType13.

When the network changes (some of) the MCCH information, it notifies the UEs about the change during a first modification period. In the next modification period, the network transmits the updated MCCH information. These general principles are illustrated in figure 5.8.1.3-1, in which different colours indicate different MCCH information. Upon receiving a change notification, a UE interested to receive MBMS services acquires the new MCCH information immediately from the start of the next modification period. The UE applies the previously acquired MCCH information until the UE acquires the new MCCH information.

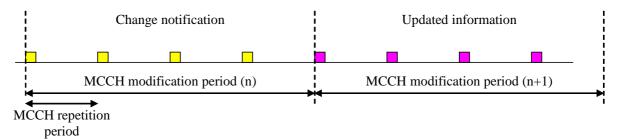


Figure 5.8.1.3-1: Change of MCCH Information

Indication of an MBMS specific RNTI, the M-RNTI (see TS 36.321 [6]), on PDCCH is used to inform UEs in RRC\_IDLE and UEs in RRC\_CONNECTED about an MCCH information change. When receiving an MCCH information change notification, the UE knows that the MCCH information will change at the next modification period boundary. The notification on PDCCH indicates which of the MCCHs will change, which is done by means of an 8-bit bitmap. Within this bitmap, the bit at the position indicated by the field *notificationIndicator* is used to indicate changes for that MBSFN area: if the bit is set to "1", the corresponding MCCH will change. No further details are provided e.g. regarding which MCCH information will change. The MCCH information change notification is used to inform the UE about a change of MCCH information upon session start or about the start of MBMS counting.

The MCCH information change notifications on PDCCH are transmitted periodically and are carried on MBSFN subframes only except on MBMS-dedicated cell or FeMBMS/Unicast-mixed cell where the MCCH information change is provided on non-MBSFN subframes. These MCCH information change notification occasions are common for all MCCHs that are configured, and configurable by parameters included in *SystemInformationBlockType13*: a repetition coefficient, a radio frame offset and a subframe index. These common notification occasions are based on the MCCH with the shortest modification period.

NOTE 1: E-UTRAN may modify the MBMS configuration information provided on MCCH at the same time as updating the MBMS configuration information carried on BCCH i.e. at a coinciding BCCH and MCCH modification period. Upon detecting that a new MCCH is configured on BCCH, a UE interested to receive one or more MBMS services should acquire the MCCH, unless it knows that the services it is interested in are not provided by the corresponding MBSFN area.

A UE that is receiving an MBMS service via MRB shall acquire the MCCH information from the start of each modification period. A UE interested to receive MBMS from a carrier on which *dl-Bandwidth* included in *MasterInformationBlock* is set to *n6* shall acquire the MCCH information at least once every MCCH modification period. A UE that is not receiving an MBMS service via MRB, as well as UEs that are receiving an MBMS service via MRB but potentially interested to receive other services not started yet in another MBSFN area from a carrier on which *dl-Bandwidth* included in *MasterInformationBlock* is other than n6, shall verify that the stored MCCH information remains valid by attempting to find the MCCH information change notification at least *notificationRepetitionCoeff* times during the modification period of the applicable MCCH(s), if no MCCH information change notification is received.

NOTE 2: In case the UE is aware which MCCH(s) E-UTRAN uses for the service(s) it is interested to receive, the UE may only need to monitor change notifications for a subset of the MCCHs that are configured, referred to as the 'applicable MCCH(s)' in the above.

# 5.8.2 MCCH information acquisition

# 5.8.2.1 General



Figure 5.8.2.1-1: MCCH information acquisition

The UE applies the MCCH information acquisition procedure to acquire the MBMS control information that is broadcasted by the E-UTRAN. The procedure applies to MBMS capable UEs that are in RRC\_IDLE or in RRC\_CONNECTED.

#### 5.8.2.2 Initiation

A UE interested to receive MBMS services shall apply the MCCH information acquisition procedure upon entering the corresponding MBSFN area (e.g. upon power on, following UE mobility) and upon receiving a notification that the MCCH information has changed. A UE that is receiving an MBMS service shall apply the MCCH information acquisition procedure to acquire the MCCH, that corresponds with the service that is being received, at the start of each modification period.

Unless explicitly stated otherwise in the procedural specification, the MCCH information acquisition procedure overwrites any stored MCCH information, i.e. delta configuration is not applicable for MCCH information and the UE discontinues using a field if it is absent in MCCH information unless explicitly specified otherwise.

#### 5.8.2.3 MCCH information acquisition by the UE

An MBMS capable UE shall:

- 1> if the procedure is triggered by an MCCH information change notification:
  - 2> start acquiring the *MBSFNAreaConfiguration* message and the *MBMSCountingRequest* message if present, from the beginning of the modification period following the one in which the change notification was received;
- NOTE 1: The UE continues using the previously received MCCH information until the new MCCH information has been acquired.
- 1> if the UE enters an MBSFN area:
  - 2> acquire the *MBSFNAreaConfiguration* message and the *MBMSCountingRequest* message if present, at the next repetition period;
- 1> if the UE is receiving an MBMS service:
  - 2> start acquiring the MBSFNAreaConfiguration message and the MBMSCountingRequest message if present, that both concern the MBSFN area of the service that is being received, from the beginning of each modification period;

# 5.8.2.4 Actions upon reception of the MBSFNAreaConfiguration message

No UE requirements related to the contents of this MBSFNAreaConfiguration apply other than those specified elsewhere e.g. within procedures using the concerned system information, the corresponding field descriptions.

# 5.8.2.5 Actions upon reception of the MBMSCountingRequest message

Upon receiving *MBMSCountingRequest* message, the UE shall perform the MBMS Counting procedure as specified in 5.8.4.

# 5.8.3 MBMS PTM radio bearer configuration

#### 5.8.3.1 General

The MBMS PTM radio bearer configuration procedure is used by the UE to configure RLC, MAC and the physical layer upon starting and/or stopping to receive an MRB. The procedure applies to UEs interested to receive one or more MBMS services.

NOTE: In case the UE is unable to receive an MBMS service due to capability limitations, upper layers may take appropriate action e.g. terminate a lower priority unicast service.

#### 5.8.3.2 Initiation

The UE applies the MRB establishment procedure to start receiving a session of a service it has an interest in. The procedure may be initiated e.g. upon start of the MBMS session, upon (re-)entry of the corresponding MBSFN service area, upon becoming interested in the MBMS service, upon removal of UE capability limitations inhibiting reception of the concerned service.

The UE applies the MRB release procedure to stop receiving a session. The procedure may be initiated e.g. upon stop of the MBMS session, upon leaving the corresponding MBSFN service area, upon losing interest in the MBMS service, when capability limitations start inhibiting reception of the concerned service.

#### 5.8.3.3 MRB establishment

Upon MRB establishment, the UE shall:

- 1> establish an RLC entity in accordance with the configuration specified in 9.1.1.4;
- 1> configure an MTCH logical channel in accordance with the received *locgicalChannelIdentity*, applicable for the MRB, as included in the *MBSFNAreaConfiguration* message;
- 1> configure the physical layer in accordance with the *pmch-Config*, applicable for the MRB, as included in the *MBSFNAreaConfiguration* message;
- 1> inform upper layers about the establishment of the MRB by indicating the corresponding tmgi and session1d;

#### 5.8.3.4 MRB release

Upon MRB release, the UE shall:

- 1> release the RLC entity as well as the related MAC and physical layer configuration;
- 1> inform upper layers about the release of the MRB by indicating the corresponding tmgi and sessionId;

# 5.8.4 MBMS Counting Procedure

#### 5.8.4.1 General

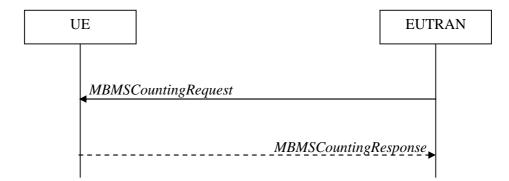


Figure 5.8.4.1-1: MBMS Counting procedure

The MBMS Counting procedure is used by the E-UTRAN to count the number of RRC\_CONNECTED mode UEs which are receiving via an MRB or interested to receive via an MRB the specified MBMS services.

The UE determines interest in an MBMS service, that is identified by the TMGI, by interaction with upper layers.

#### 5.8.4.2 Initiation

E-UTRAN initiates the procedure by sending an MBMSCountingRequest message.

### 5.8.4.3 Reception of the MBMSCountingRequest message by the UE

Upon receiving the MBMSCountingRequest message, the UE in RRC\_CONNECTED mode shall:

- 1> if the *SystemInformationBlockType1*, that provided the scheduling information for the *systemInformationBlockType13* that included the configuration of the MCCH via which the *MBMSCountingRequest* message was received, contained the identity of the Registered PLMN; and
- 1> if the UE is receiving via an MRB or interested to receive via an MRB at least one of the services in the received *countingRequestList*:
  - 2> if more than one entry is included in the *mbsfn-AreaInfoList* received in the *SystemInformationBlockType13* that included the configuration of the MCCH via which the *MBMSCountingRequest* message was received:
    - 3> include the *mbsfn-AreaIndex* in the *MBMSCountingResponse* message and set it to the index of the entry in the *mbsfn-AreaInfoList* within the received *SystemInformationBlockType13* that corresponds with the MBSFN area used to transfer the received *MBMSCountingRequest* message;
  - 2> for each MBMS service included in the received *countingRequestList*:
    - 3> if the UE is receiving via an MRB or interested to receive via an MRB this MBMS service:
      - 4> include an entry in the *countingResponseList* within the *MBMSCountingResponse* message with *countingResponseService* set it to the index of the entry in the *countingRequestList* within the received *MBMSCountingRequest* that corresponds with the MBMS service the UE is receiving or interested to receive;
  - 2> submit the *MBMSCountingResponse* message to lower layers for transmission upon which the procedure ends;
- NOTE 1: UEs that are receiving an MBMS User Service, as specified in TS 23.246 [56], by means of a Unicast Bearer Service, as specified in TS 26.346 [57], (i.e. via a DRB), but are interested to receive the concerned MBMS User Service, as specified in TS 23.246 [56], via an MBMS Bearer Service (i.e. via an MRB), respond to the counting request.
- NOTE 2: If ciphering is used at upper layers, the UE does not respond to the counting request if it can not decipher the MBMS service for which counting is performed (see TS 22.146 [62], clause 5.3).

NOTE 3: The UE treats the *MBMSCountingRequest* messages received in each modification period independently. In the unlikely case E-UTRAN would repeat an *MBMSCountingRequest* (i.e. including the same services) in a subsequent modification period, the UE responds again. The UE provides at most one *MBMSCountingResponse* message to multiple transmission attempts of an *MBMSCountingRequest* messages in a given modification period.

## 5.8.5 MBMS interest indication

#### 5.8.5.1 General

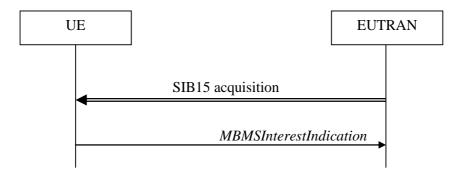


Figure 5.8.5.1-1: MBMS interest indication

The purpose of this procedure is to inform E-UTRAN that the UE is receiving or is interested to receive MBMS service(s) via an MRB or SC-MRB, and if so, to inform E-UTRAN about the priority of MBMS versus unicast reception or MBMS service(s) reception in receive only mode.

#### 5.8.5.2 Initiation

An MBMS or SC-PTM capable UE in RRC\_CONNECTED may initiate the procedure in several cases including upon successful connection establishment, upon entering or leaving the service area, upon session start or stop, upon change of interest, upon change of priority between MBMS reception and unicast reception, upon change to a PCell broadcasting <code>SystemInformationBlockType15</code>, upon starting and stopping of MBMS service(s) in receive only mode, upon change of receive only mode frequency, bandwidth or subcarrier spacing of MBMS service(s) in receive only mode.

Upon initiating the procedure, the UE shall:

- 1> if SystemInformationBlockType15 is broadcast by the PCell; or
- 1> if mbms-ROM-ServiceIndication is received in SystemInformationBlockType2 from PCell:
  - 2> ensure having a valid version of SystemInformationBlockType15 for the PCell, if present;
  - 2> if the UE did not transmit an MBMSInterestIndication message since last entering RRC\_CONNECTED state; or
  - 2> if since the last time the UE transmitted an *MBMSInterestIndication* message, the UE connected to a PCell neither broadcasting *SystemInformationBlockType15* nor including *mbms-ROM-ServiceIndication* in *SystemInformationBlockType2*:
    - 3> if the set of MBMS frequencies of interest, determined in accordance with 5.8.5.3, is not empty:
      - 4> initiate transmission of the MBMSInterestIndication message in accordance with 5.8.5.4;

#### 2> else:

3> if the set of MBMS frequencies of interest, determined in accordance with 5.8.5.3, has changed since the last transmission of the *MBMSInterestIndication* message; or

- 3> if at least one of the subcarrier spacing or bandwidth parameter of receive only mode MBMS frequency of interest, determined in accordance with 5.8.5.3, has changed since the last transmission of the *MBMSInterestIndication* message; or
- 3> if the prioritisation of reception of all indicated MBMS frequencies compared to reception of any of the established unicast bearers has changed since the last transmission of the *MBMSInterestIndication* message:
  - 4> initiate transmission of the MBMSInterestIndication message in accordance with 5.8.5.4;
- NOTE: The UE may send an *MBMSInterestIndication* even when it is able to receive the MBMS services it is interested in i.e. to avoid that the network allocates a configuration inhibiting MBMS reception.
  - 3> else if *SystemInformationBlockType20* is broadcast by the PCell:
    - 4> if since the last time the UE transmitted an *MBMSInterestIndication* message, the UE connected to a PCell not broadcasting *SystemInformationBlockType20*; or
    - 4> if the set of MBMS services of interest determined in accordance with 5.8.5.3a is different from *mbms-Services* included in the last transmission of the *MBMSInterestIndication* message;
      - 5> initiate the transmission of the MBMSInterestIndication message in accordance with 5.8.5.4.

# 5.8.5.3 Determine MBMS frequencies of interest

- 1> consider a frequency to be part of the MBMS frequencies of interest if the following conditions are met:
  - 2> at least one MBMS session the UE is receiving or interested to receive via an MRB or SC-MRB is ongoing or about to start; and
- NOTE 1: The UE may determine whether the session is ongoing from the start and stop time indicated in the User Service Description (USD), see TS 36.300 [9] or TS 26.346 [57].
  - 2> for at least one of these MBMS sessions either *SystemInformationBlockType15* acquired from the PCell includes for the concerned frequency one or more MBMS SAIs as indicated in the USD for this session or this session is in receive only mode; and
- NOTE 2: The UE considers a frequency to be part of the MBMS frequencies of interest even though E-UTRAN may (temporarily) not employ an MRB or SC-MRB for the concerned session. I.e. the UE does not verify if the session is indicated on (SC-)MCCH
- NOTE 3: The UE considers the frequencies of interest independently of any synchronization state, e.g. TS 36.300 [9], Annex J.1.
  - 2> the UE is capable of simultaneously receiving MRBs and/or is capable of simultaneously receiving SC-MRBs on the set of MBMS frequencies of interest, regardless of whether a serving cell is configured on each of these frequencies or not; and
  - 2> the *supportedBandCombination* the UE included in *UE-EUTRA-Capability* contains at least one band combination including the set of MBMS frequencies of interest;
- NOTE 4: Indicating a frequency implies that the UE supports *SystemInformationBlockType13* or *SystemInformationBlockType20* acquisition for the concerned frequency i.e. the indication should be independent of whether a serving cell is configured on that frequency.
- NOTE 5: When evaluating which frequencies it can receive simultaneously, the UE does not take into account the serving frequencies that are currently configured i.e. it only considers MBMS frequencies it is interested to receive.

NOTE 6: The set of MBMS frequencies of interest includes at most one frequency for a given physical frequency. The UE only considers a physical frequency to be part of the MBMS frequencies of interest if it supports at least one of the bands indicated for this physical frequency in *SystemInformationBlockType1* (for serving frequency) or *SystemInformationBlockType15* (for neighbouring frequencies). In this case, E-UTRAN may assume the UE supports MBMS reception on any of the bands supported by the UE (i.e. according to *supportedBandCombination*).

#### 5.8.5.3a Determine MBMS services of interest

The UE shall:

- 1> consider a MBMS service to be part of the MBMS services of interest if the following conditions are met:
  - 2> the UE is SC-PTM capable; and
  - 2> the UE is receiving or interested to receive this service via an SC-MRB; and
  - 2> one session of this service is ongoing or about to start; and
  - 2> one or more MBMS SAIs in the USD for this service is included in *SystemInformationBlockType15* acquired from the PCell for a frequency belonging to the set of MBMS frequencies of interest, determined according to 5.8.5.3.

## 5.8.5.4 Actions related to transmission of MBMSInterestIndication message

The UE shall set the contents of the MBMSInterestIndication message as follows:

- 1> if the set of MBMS frequencies of interest, determined in accordance with 5.8.5.3, is not empty:
  - 2> include mbms-FreqList and set it to include the MBMS frequencies of interest sorted by decreasing order of interest, using the EARFCN corresponding with freqBandIndicator included in SystemInformationBlockType1 (for serving frequency), if applicable, and the EARFCN(s) as included in SystemInformationBlockType15 (for neighbouring frequencies);
- NOTE 1: The EARFCN included in *mbms-FreqList* is merely used to indicate a physical frequency the UE is interested to receive i.e. the UE may not support the band corresponding to the included EARFCN (but it does support at least one of the bands indicated in system information for the concerned physical frequency).
  - 2> include *mbms-Priority* if the UE prioritises reception of all indicated MBMS frequencies above reception of any of the unicast bearers;
  - 2> if *SystemInformationBlockType20* is broadcast by the PCell:
    - 3> include *mbms-Services* and set it to indicate the set of MBMS services of interest determined in accordance with 5.8.5.3a;
- NOTE 2: If the UE prioritises MBMS reception and unicast data cannot be supported because of congestion on the MBMS carrier(s), E-UTRAN may initiate release of unicast bearers. It is up to E-UTRAN implementation whether all bearers or only GBR bearers are released. E-UTRAN does not initiate reestablishment of the released unicast bearers upon alleviation of the congestion.
- 1> if the UE is receiving MBMS service(s) in receive only mode:
  - 2> if the *supportedBandCombination* the UE included in *UE-EUTRA-Capability* contains at least one band combination including the *mbms-ROM-Freq*:
    - 3> include mbms-ROM-Freq, mbms-ROM-SubcarrierSpacing and mbms-Bandwidth;
- NOTE 3: The EARFCN included in *mbms-ROM-Freq* is used to indicate a physical frequency the UE is interested to receive MBMS service(s) in receive only mode and is determined based on UE implementation.

The UE shall submit the MBMSInterestIndication message to lower layers for transmission.

## 5.8a SC-PTM

#### 5.8a.1 Introduction

#### 5.8a.1.1 General

SC-PTM control information is provided on a specific logical channel: the SC-MCCH. The SC-MCCH carries the *SCPTMConfiguration* message which indicates the MBMS sessions that are ongoing as well as the (corresponding) information on when each session may be scheduled, i.e. scheduling period, scheduling window and start offset. The *SCPTMConfiguration* message also provides information about the neighbour cells transmitting the MBMS sessions which are ongoing on the current cell. In this release of the specification, an SC-PTM capable UE is only required to support reception of a single MBMS service at a time, and reception of more than one MBMS service in parallel is left for UE implementation.

A limited amount of SC-PTM control information is provided on the BCCH or BR-BCCH. This primarily concerns the information needed to acquire the SC-MCCH.

NOTE: For BL UEs and UEs in CE, SC-MCCH transmission uses a 1.4 MHz channel bandwidth and a maximum TBS of 936 bits, see TS 36.213 [23]. For NB-IoT UEs, the maximum TBS for SC-MCCH transmission is 680 bits, see TS 36.213 [23].

#### 5.8a.1.2 SC-MCCH scheduling

The SC-MCCH information (i.e. information transmitted in messages sent over SC-MCCH) is transmitted periodically, using a configurable repetition period. SC-MCCH transmissions (and the associated radio resources and MCS) are indicated on PDCCH.

## 5.8a.1.3 SC-MCCH information validity and notification of changes

Change of SC-MCCH information only occurs at specific radio frames, i.e. the concept of a modification period is used. Within a modification period, the same SC-MCCH information may be transmitted a number of times, as defined by its scheduling (which is based on a repetition period). The modification period boundaries are defined by SFN values for which SFN mod m=0, where m=0, where m=0, where m=0 is the number of radio frames comprising the modification period. The modification period is configured by means of SystemInformationBlockType20 (SystemInformationBlockType20-NB in NB-IoT). If H-SFN is provided in SystemInformationBlockType1-BR, modification period boundaries for BL UEs or UEs in CE are defined by SFN values for which (H-SFN \* 1024 + SFN) mod m=0. The modification period boundaries for NB-IoT UEs are defined by SFN values for which (H-SFN \* 1024 + SFN) mod m=0.

When the network changes (some of) the SC-MCCH information, it notifies the UEs, other than BL UEs, UEs in CE or NB-IoT UEs, about the change in the first subframe which can be used for SC-MCCH transmission in a repetition period. LSB bit in 8-bit bitmap when set to '1' indicates the change in SC-MCCH. Upon receiving a change notification, a UE interested to receive MBMS services transmitted using SC-PTM acquires the new SC-MCCH information starting from the same subframe. The UE applies the previously acquired SC-MCCH information until the UE acquires the new SC-MCCH information.

When the network changes (some of) the SC-MCCH information for start of new MBMS service(s) transmitted using SC-PTM, it notifies BL UEs, UEs in CE or NB-IoT UEs about the change in every PDCCH which schedules the first SC-MCCH in a repetition period in the current modification period. The notification is transmitted with 1 bit. The bit, when set to '1', indicates the start of new MBMS service(s), see TS 36.212 [22], clauses 5.3.3.1.14 and 6.4.3.3. Upon receiving a change notification, a BL UE, UE in CE or NB-IoT UE interested to receive MBMS services transmitted using SC-PTM acquires the new SC-MCCH information scheduled by the PDCCH. The BL UE, UE in CE or NB-IoT UE applies the previously acquired SC-MCCH information until the BL UE, UE in CE or NB-IoT UE acquires the new SC-MCCH information.

When the network changes SC-MTCH specific information e.g. start of new MBMS service(s) transmitted using SC-PTM or change of ongoing MBMS service(s) transmitted using SC-PTM, it notifies the BL UEs, UEs in CE or NB-IoT UEs in the PDCCH which schedules the SC-MTCH in the current modification period. The notification is transmitted with a 2 bit bitmap. The LSB in the 2-bit bitmap, when set to '1', indicates the change of the on-going MBMS service and the MSB in the 2-bit bitmap, when set to '1', indicates the start of new MBMS service(s), see TS 36.212 [22], clauses 5.3.3.1.12, 5.3.3.1.13 and 6.4.3.2. In the case the network changes an on-going SC-MTCH transmission in the next modification period, it notifies the BL UEs, UEs in CE or NB-IoT UEs in the PDCCH which schedules this SC-

MTCH in the current modification period. In the case the network starts new MBMS service(s) transmitted using SC-PTM, the network notifies the UEs which have on-going SC-MTCH in the PDCCH scheduling each of the SC-MTCH. Upon receiving such notification, a BL UE, UE in CE or NB-IoT UE acquires the new SC-MCCH information at the start of the next modification period. The BL UE, UE in CE or NB-IoT UE applies the previously acquired SC-MCCH information until the BL UE, UE in CE or NB-IoT UE acquires the new SC-MCCH information.

#### 5.8a.1.4 Procedures

The SC-PTM capable UE receiving or interested to receive MBMS service(s) via SC-MRB applies SC-PTM procedures described in 5.8a and, except for NB-IoT UE, the MBMS interest indication procedure as specified in 5.8.5.

## 5.8a.2 SC-MCCH information acquisition

#### 5.8a.2.1 General



Figure 5.8a.2.1-1: SC-MCCH information acquisition

The UE applies the SC-MCCH information acquisition procedure to acquire the SC-PTM control information that is broadcast by the E-UTRAN. The procedure applies to SC-PTM capable UEs that are in RRC\_IDLE except for BL UEs, UEs in CE and NB-IoT UEs, performing EDT procedure. This procedure also applies to SC-PTM capable UEs that are in RRC\_CONNECTED except for BL UEs, UEs in CE or NB-IoT UEs.

#### 5.8a.2.2 Initiation

A UE interested to receive MBMS services via SC-MRB shall apply the SC-MCCH information acquisition procedure upon entering the cell broadcasting *SystemInformationBlockType20* (*SystemInformationBlockType20-NB* in NB-IoT) (e.g. upon power on, following UE mobility) and upon receiving a notification that the SC-MCCH information has changed. A UE, except for BL UE, UE in CE or NB-IoT UE, that is receiving an MBMS service via SC-MRB shall apply the SC-MCCH information acquisition procedure to acquire the SC-MCCH information that corresponds with the service that is being received, at the start of each modification period. The BL UE, UE in CE or NB-IoT UE that is receiving an MBMS service via SC-MRB shall apply the SC-MCCH information acquisition procedure upon receiving a notification that the SC-MCCH information that corresponds with the service that is being received is about to be changed. The BL UE, UE in CE or NB-IoT UE that is receiving an MBMS service via SC-MRB may apply the SC-MCCH information acquisition procedure upon receiving a notification that the SC-MCCH information is about to be changed due to start of a new service.

Unless explicitly stated otherwise in the procedural specification, the SC-MCCH information acquisition procedure overwrites any stored SC-MCCH information, i.e. delta configuration is not applicable for SC-MCCH information and the UE discontinues using a field if it is absent in SC-MCCH information unless explicitly specified otherwise.

#### 5.8a.2.3 SC-MCCH information acquisition by the UE

A SC-PTM capable UE shall:

- 1> if the procedure is triggered by an SC-MCCH information change notification and the UE has no ongoing MBMS service:
  - 2> except for a BL UE, UE in CE or NB-IoT UE, start acquiring the *SCPTMConfiguration* message from the subframe in which the change notification was received;

- 2> for a BL UE, UE in CE or NB-IoT UE, acquire the *SCPTMConfiguration* message scheduled by the PDCCH in which the change notification was received;
- NOTE 1: The UE continues using the previously received SC-MCCH information until the new SC-MCCH information has been acquired.
- 1> if the UE enters a cell broadcasting SystemInformationBlockType20 (SystemInformationBlockType20-NB in NB-IoT):
  - 2> acquire the SCPTMConfiguration message at the next repetition period;
- 1> if the UE is receiving an MBMS service via an SC-MRB:
  - 2> except for BL UE, UE in CE or NB-IoT UE, start acquiring the *SCPTMConfiguration* message from the beginning of each modification period;
  - 2> a BL UE, UE in CE or NB-IoT UE shall start acquiring the *SCPTMConfiguration* message at the start of the next modification period upon receiving a notification that the SC-MCCH information that corresponds with the service that is being received is about to be changed;
  - 2> a BL UE, UE in CE or NB-IoT UE may start acquiring the *SCPTMConfiguration* message at the start of the next modification period upon receiving a notification that the SC-MCCH information is about to be changed due to start of a new service;

## 5.8a.2.4 Actions upon reception of the SCPTMConfiguration message

No UE requirements related to the contents of this *SCPTMConfiguration* apply other than those specified elsewhere e.g. within procedures using the concerned system information, the corresponding field descriptions.

## 5.8a.3 SC-PTM radio bearer configuration

#### 5.8a.3.1 General

The SC-PTM radio bearer configuration procedure is used by the UE to configure RLC, MAC and the physical layer upon starting and/or stopping to receive an SC-MRB transmitted on SC-MTCH. The procedure applies to SC-PTM capable UEs that are in RRC\_IDLE and to SC-PTM capable UEs that are not BL UEs, UEs in CE or NB-IoT UEs in RRC\_CONNECTED, and are interested to receive one or more MBMS services via SC-MRB.

NOTE: In case the UE is unable to receive an MBMS service via an SC-MRB due to capability limitations, upper layers may take appropriate action e.g. terminate a lower priority unicast service.

#### 5.8a.3.2 Initiation

The UE applies the SC-MRB establishment procedure to start receiving a session of a MBMS service it has an interest in. The procedure may be initiated e.g. upon start of the MBMS session, upon entering a cell providing via SC-MRB a MBMS service in which the UE has interest, upon becoming interested in the MBMS service, upon removal of UE capability limitations inhibiting reception of the concerned service.

The UE applies the SC-MRB release procedure to stop receiving a session. The procedure may be initiated e.g. upon stop of the MBMS session, upon leaving the cell where a SC-MRB is established, upon losing interest in the MBMS service, when capability limitations start inhibiting reception of the concerned service.

#### 5.8a.3.3 SC-MRB establishment

Upon SC-MRB establishment, the UE shall:

- 1> establish an RLC entity in accordance with the configuration specified in 9.1.1.7;
- 1> configure a SC-MTCH logical channel applicable for the SC-MRB and instruct MAC to receive DL-SCH on the cell where the *SCPTMConfiguration* message was received for the MBMS service for which the SC-MRB is established and using *g-RNTI* and *sc-mtch-SchedulingInfo* (if included) in this message for this MBMS service;

- 1> configure the physical layer in accordance with the *sc-mtch-InfoList*, applicable for the SC-MRB, as included in the *SCPTMConfiguration* message;
- 1> inform upper layers about the establishment of the SC-MRB by indicating the corresponding *tmgi* and *sessionId*;

#### 5.8a.3.4 SC-MRB release

Upon SC-MRB release, the UE shall:

- 1> release the RLC entity as well as the related MAC and physical layer configuration;
- 1> inform upper layers about the release of the SC-MRB by indicating the corresponding tmgi and sessionId;

## 5.9 RN procedures

## 5.9.1 RN reconfiguration

#### 5.9.1.1 General

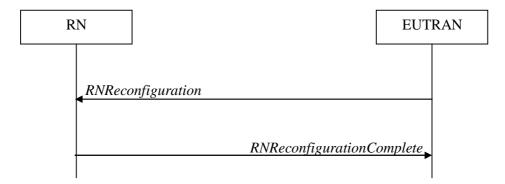


Figure 5.9.1.1-1: RN reconfiguration

The purpose of this procedure is to configure/reconfigure the RN subframe configuration and/or to update the system information relevant for the RN in RRC\_CONNECTED.

#### 5.9.1.2 Initiation

E-UTRAN may initiate the RN reconfiguration procedure to an RN in RRC\_CONNECTED when AS security has been activated.

#### 5.9.1.3 Reception of the RNReconfiguration by the RN

The RN shall:

- 1> if the *rn-SystemInfo* is included:
  - 2> if the *systemInformationBlockType1* is included:
    - 3> act upon the received *SystemInformationBlockType1* as specified in 5.2.2.7;
  - 2> if the *SystemInformationBlockType2* is included:
    - 3> act upon the received *SystemInformationBlockType2* as specified in 5.2.2.9;
- 1> if the *rn-SubframeConfig* is included:
  - 2> reconfigure lower layers in accordance with the received *subframeConfigPatternFDD* or *subframeConfigPatternTDD*;
  - 2> if the *rpdcch-Config* is included:

- 3> reconfigure lower layers in accordance with the received *rpdcch-Config*;
- 1> submit the RNReconfigurationComplete message to lower layers for transmission, upon which the procedure ends;

## 5.10 Sidelink

### 5.10.1 Introduction

The sidelink communication and associated synchronisation resource configuration applies for the frequency at which it was received/acquired. Moreover, for a UE configured with one or more SCells, the sidelink communication and associated synchronisation resource configuration provided by dedicated signalling applies for the PCell/ the primary frequency. The sidelink discovery and associated synchronisation resource configuration applies for the frequency at which it was received/acquired or the indicated frequency in the configuration. For a UE configured with one or more SCells, the sidelink discovery and associated synchronisation resource configuration provided by dedicated signalling applies for the PCell/ the primary frequency / any other indicated frequency.

- NOTE 1: Upper layers configure the UE to receive or transmit sidelink communication on a specific frequency, to monitor or transmit non-PS related sidelink discovery announcements on one or more frequencies or to monitor or transmit PS related sidelink discovery announcements on a specific frequency, but only if the UE is authorised to perform these particular ProSe related sidelink activities.
- NOTE 2: It is up to UE implementation which actions to take (e.g. termination of unicast services, detach) when it is unable to perform the desired sidelink activities, e.g. due to UE capability limitations.

Sidelink communication consists of one-to-many and one-to-one sidelink communication. One-to-many sidelink communication consists of relay related and non-relay related one-to-many sidelink communication. One-to-one sidelink communication consists of relay related and non-relay related one-to-one sidelink communication. In relay related one-to-one sidelink communication the communicating parties consist of one sidelink relay UE and one sidelink remote UE.

Sidelink discovery consists of public safety related (PS related) and non-PS related sidelink discovery. PS related sidelink discovery consists of relay related and non-relay related PS related sidelink discovery. Upper layers indicate to RRC whether a particular sidelink announcement is PS related or non-PS related.

Upper layers indicate to RRC whether a particular sidelink procedure is V2X related or not.

The specification covers the use of UE to network sidelink relays by specifying the additional requirements that apply for a sidelink relay UE and a sidelink remote UE, i.e. for such UEs the regular sidelink UE requirements equally apply unless explicitly stated otherwise.

NOTE 3: In case the configurations for V2X sidelink communication are acquired from NR, the configurations for V2X sidelink communication in *SystemInformationBlockType21*, *SystemInformationBlockType26*, *SL-V2X-ConfigDedicated* within *RRCConnectionReconfiguration* used in this clause can be provided by *SIB13*, *SIB14*, *sl-ConfigDedicatedEUTRA* within *RRCReconfiguration* as specified in TS 38.331 [82], respectively.

# 5.10.1a Conditions for sidelink communication operation

The UE shall perform sidelink communication operation only if the conditions defined in this clause are met:

- 1> if the UE's serving cell is suitable (RRC\_IDLE or RRC\_CONNECTED); and if either the selected cell on the frequency used for sidelink communication operation belongs to the registered or equivalent PLMN as specified in TS 24.334 [69] or the UE is out of coverage on the frequency used for sidelink communication operation as defined in TS 36.304 [4], clause 11.4; or
- 1> if the UE is camped on a serving cell (RRC\_IDLE) on which it fulfils the conditions to support sidelink communication in limited service state as specified in TS 23.303 [68], clause 4.5.6; and if either the serving cell is on the frequency used for sidelink communication operation or the UE is out of coverage on the frequency used for sidelink communication operation as defined in TS 36.304 [4], clause 11.4; or
- 1> if the UE has no serving cell (RRC\_IDLE);

## 5.10.1b Conditions for PS related sidelink discovery operation

The UE shall perform PS related sidelink discovery operation only if the conditions defined in this clause are met:

- 1> if the UE's serving cell is suitable (RRC\_IDLE or RRC\_CONNECTED); and if either the selected cell on the frequency used for PS related sidelink discovery operation belongs to the registered or other PLMN as specified in TS 24.334 [69] or the UE is out of coverage on the frequency used for PS related sidelink discovery operation as defined in TS 36.304 [4], clause 11.4; or
- 1> if the UE is camped on a serving cell (RRC\_IDLE) on which it fulfils the conditions to support sidelink discovery in limited service state as specified in TS 23.303 [68], clause 4.5.6; and if either the serving cell is on the frequency used for PS related sidelink discovery operation or the UE is out of coverage on the frequency used for PS related sidelink discovery operation as defined in TS 36.304 [4], clause 11.4; or
- 1> if the UE has no serving cell (RRC\_IDLE);

## 5.10.1c Conditions for non-PS related sidelink discovery operation

The UE shall perform non-PS related sidelink discovery operation only if the conditions defined in this clause are met:

1> if the UE's serving cell (RRC\_IDLE) or PCell (RRC\_CONNECTED) is suitable; and if the selected cell on the frequency used for non-PS related sidelink discovery operation belongs to the registered or other PLMN as specified in TS 24.334 [69].

## 5.10.1d Conditions for V2X sidelink communication operation

The UE shall perform V2X sidelink communication operation only if the conditions defined in this clause are met:

- 1> if the UE's serving cell is suitable; and if either the selected cell on the frequency used for V2X sidelink communication operation belongs to the registered or equivalent PLMN as specified in TS 24.334 [69] or the UE is out of coverage on the frequency used for V2X sidelink communication operation as defined in TS 36.304 [4], clause 11.4 and TS 38.304 [92], clause 8.1; or
- 1> if the UE's serving cell fulfils the conditions to support V2X sidelink communication in limited service state as specified in TS 23.285 [78], clause 4.4.8; and if either the serving cell is on the frequency used for V2X sidelink communication operation or the UE is out of coverage on the frequency used for V2X sidelink communication operation as defined in TS 36.304 [4], clause 11.4 and TS 38.304 [92], clause 8.1; or
- 1> if the UE has no serving cell (RRC\_IDLE);

#### 5.10.2 Sidelink UE information

#### 5.10.2.1 General

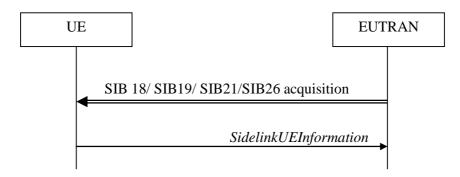


Figure 5.10.2-1: Sidelink UE information

The purpose of this procedure is to inform E-UTRAN that the UE is interested or no longer interested to receive sidelink communication or discovery, to receive V2X sidelink communication, as well as to request assignment or release of transmission resources for sidelink communication or discovery announcements or V2X sidelink communication or sidelink discovery gaps, to report parameters related to sidelink discovery from system information

of inter-frequency/PLMN cells and to report the synchronization reference used by the UE for V2X sidelink communication.

#### 5.10.2.2 Initiation

A UE capable of sidelink communication or V2X sidelink communication or sidelink discovery that is in RRC\_CONNECTED may initiate the procedure to indicate it is (interested in) receiving sidelink communication or V2X sidelink communication or sidelink discovery in several cases including upon successful connection establishment, upon change of interest, upon change to a PCell broadcasting *SystemInformationBlockType18* or *SystemInformationBlockType21* including *sl-V2X-ConfigCommon*. A UE capable of sidelink communication or V2X sidelink communication or sidelink discovery may initiate the procedure to request assignment of dedicated resources for the concerned sidelink communication transmission or discovery announcements or V2X sidelink communication transmission or to request sidelink discovery gaps for sidelink discovery transmission or sidelink discovery reception and a UE capable of inter-frequency/PLMN sidelink discovery parameter reporting may initiate the procedure to report parameters related to sidelink discovery from system information of inter-frequency/PLMN cells.

NOTE 1: A UE in RRC\_IDLE that is configured to transmit sidelink communication / V2X sidelink communication / sidelink discovery announcements, while SystemInformationBlockType18/
SystemInformationBlockType19/SystemInformationBlockType21 including sl-V2X-ConfigCommon or SystemInformationBlockType26 does not include the resources for transmission (in normal conditions), initiates connection establishment in accordance with 5.3.3.1a.

Upon initiating the procedure, the UE shall:

- 1> if SystemInformationBlockType18 is broadcast by the PCell:
  - 2> ensure having a valid version of SystemInformationBlockType18 for the PCell;
  - 2> if configured by upper layers to receive sidelink communication:
    - 3> if the UE did not transmit a *SidelinkUEInformation* message since last entering RRC\_CONNECTED state; or
    - 3> if since the last time the UE transmitted a *SidelinkUEInformation* message the UE connected to a PCell not broadcasting *SystemInformationBlockType18*; or
- NOTE 2: After handover/ re-establishment from a source PCell not broadcasting *SystemInformationBlockType18* the UE repeats the same interest information that it provided previously as such a source PCell may not forward the interest information.
  - 3> if the last transmission of the *SidelinkUEInformation* message did not include *commRxInterestedFreq*; or if the frequency configured by upper layers to receive sidelink communication on has changed since the last transmission of the *SidelinkUEInformation* message:
    - 4> initiate transmission of the *SidelinkUEInformation* message to indicate the sidelink communication reception frequency of interest in accordance with 5.10.2.3;

- 3> if the last transmission of the SidelinkUEInformation message included commRxInterestedFreq:
  - 4> initiate transmission of the *SidelinkUEInformation* message to indicate it is no longer interested in sidelink communication reception in accordance with 5.10.2.3;
- 2> if configured by upper layers to transmit non-relay related one-to-many sidelink communication:
  - 3> if the UE did not transmit a *SidelinkUEInformation* message since last entering RRC\_CONNECTED state; or
  - 3> if since the last time the UE transmitted a *SidelinkUEInformation* message the UE connected to a PCell not broadcasting *SystemInformationBlockType18*; or

- 3> if the last transmission of the *SidelinkUEInformation* message did not include *commTxResourceReq*; or if the information carried by the *commTxResourceReq* has changed since the last transmission of the *SidelinkUEInformation* message:
  - 4> initiate transmission of the *SidelinkUEInformation* message to indicate the non-relay related one-to-many sidelink communication transmission resources required by the UE in accordance with 5.10.2.3;

- 3> if the last transmission of the SidelinkUEInformation message included commTxResourceReq:
  - 4> initiate transmission of the *SidelinkUEInformation* message to indicate it no longer requires non-relay related one-to-many sidelink communication transmission resources in accordance with 5.10.2.3;
- 2> if configured by upper layer to transmit relay related one-to-many sidelink communication:
  - 3> if the UE did not transmit a SidelinkUEInformation message since entering RRC\_CONNECTED state; or
  - 3> if since the last time the UE transmitted a *SidelinkUEInformation* message the UE connected to a PCell not broadcasting *SystemInformationBlockType18*, connected to a PCell not broadcasting *SystemInformationBlockType19* or broadcasting *SystemInformationBlockType19* not including *discConfigRelay*; or
  - 3> if the last transmission of *SidelinkUEInformation* message did not include *commTxResourceReqRelay*; or if the information carried by the *commTxResourceReqRelay* has changed since the last transmission of the *SidelinkUEInformation* message:
    - 4> if the UE is acting as sidelink relay UE:
      - 5> initiate transmission of the *SidelinkUEInformation* message to indicate the relay related one-tomany sidelink communication transmission resources required by the UE in accordance with 5.10.2.3;

#### 2> else:

- 3> if the last transmission of the SidelinkUEInformation message included commTxResourceReqRelay:
  - 4> initiate transmission of the SidelinkUEInformation message to indicate it no longer requires relay related one-to-many sidelink communication transmission resources in accordance with 5.10.2.3;
- 2> if configured by upper layers to transmit non-relay related one-to-one sidelink communication:
  - 3> if the UE did not transmit a *SidelinkUEInformation* message since last entering RRC\_CONNECTED state; or
  - 3> if since the last time the UE transmitted a *SidelinkUEInformation* message the UE connected to a PCell not broadcasting *SystemInformationBlockType18* or connected to a PCell broadcasting *SystemInformationBlockType18* not including *commTxResourceUC-ReqAllowed*; or
  - 3> if the last transmission of the *SidelinkUEInformation* message did not include *commTxResourceReqUC*; or if the information carried by the *commTxResourceReqUC* has changed since the last transmission of the *SidelinkUEInformation* message:
    - 4> if commTxResourceUC-RegAllowed is included in SystemInformationBlockType18:
      - 5> initiate transmission of the *SidelinkUEInformation* message to indicate the non-relay related one-to-one sidelink communication transmission resources required by the UE in accordance with 5.10.2.3;

- 3> if the last transmission of the SidelinkUEInformation message included commTxResourceReqUC:
  - 4> initiate transmission of the *SidelinkUEInformation* message to indicate it no longer requires non-relay related one-to-one sidelink communication transmission resources in accordance with 5.10.2.3;
- 2> if configured by upper layers to transmit relay related one-to-one sidelink communication:

- 3> if the UE did not transmit a *SidelinkUEInformation* message since last entering RRC\_CONNECTED state; or
- 3> if since the last time the UE transmitted a *SidelinkUEInformation* message the UE connected to a PCell not broadcasting *SystemInformationBlockType18*, connected to a PCell not broadcasting *SystemInformationBlockType19* or broadcasting *SystemInformationBlockType19* not including *discConfigRelay*; or
- 3> if the last transmission of the *SidelinkUEInformation* message did not include *commTxResourceReqRelayUC*; or if the information carried by the *commTxResourceReqRelayUC* has changed since the last transmission of the *SidelinkUEInformation* message:
  - 4> if the UE is acting as sidelink relay UE; or
  - 4> if the UE has a selected sidelink relay UE; and if *SystemInformationBlockType19* is broadcast by the PCell and includes *discConfigRelay*; and if the sidelink remote UE threshold conditions as specified in 5.10.11.5 are met;
    - 5> initiate transmission of the *SidelinkUEInformation* message to indicate the relay related one-to-one sidelink communication transmission resources required by the UE in accordance with 5.10.2.3;

- 3> if the last transmission of the SidelinkUEInformation message included commTxResourceReqRelayUC:
  - 4> initiate transmission of the *SidelinkUEInformation* message to indicate it no longer requires relay related one-to-one sidelink communication transmission resources in accordance with 5.10.2.3;
- 1> if SystemInformationBlockType19 is broadcast by the PCell:
  - 2> ensure having a valid version of SystemInformationBlockType19 for the PCell;
  - 2> if configured by upper layers to receive sidelink discovery announcements on a serving frequency or on one or more frequencies included in *discInterFreqList*, if included in *SystemInformationBlockType19* of the PCell:
    - 3> if the UE did not transmit a *SidelinkUEInformation* message since last entering RRC\_CONNECTED state; or
    - 3> if since the last time the UE transmitted a *SidelinkUEInformation* message the UE connected to a PCell not broadcasting *SystemInformationBlockType19*; or
    - 3> if the last transmission of the SidelinkUEInformation message did not include discRxInterest:
      - 4> initiate transmission of the *SidelinkUEInformation* message to indicate it is interested in sidelink discovery reception in accordance with 5.10.2.3;

- 3> if the last transmission of the SidelinkUEInformation message included discRxInterest:
  - 4> initiate transmission of the *SidelinkUEInformation* message to indicate it is no longer interested in sidelink discovery reception in accordance with 5.10.2.3;
- 2> if the UE is configured by upper layers to transmit non-PS related sidelink discovery announcements on the primary frequency or on one or more frequencies included in discInterFreqList, if included in SystemInformationBlockType19 of the PCell, with discTxResourcesInterFreq included within discResourcesNonPS and not set to noTxOnCarrier:
  - 3> if the UE did not transmit a *SidelinkUEInformation* message since last entering RRC\_CONNECTED state; or
  - 3> if since the last time the UE transmitted a *SidelinkUEInformation* message the UE connected to a PCell not broadcasting *SystemInformationBlockType19* or connected to a PCell broadcasting *SystemInformationBlockType19* not including *discTxResourcesInterFreq* within *discResourcesNonPS* or *discTxResourcesInterFreq* did not include all frequencies for which the UE will request resources; or

- 3> if the last transmission of the *SidelinkUEInformation* message did not include *discTxResourceReq*; or if the non-PS related sidelink discovery announcement resources required by the UE have changed (i.e. resulting in a change of *discTxResourceReq*) since the last transmission of the *SidelinkUEInformation* message:
  - 4> initiate transmission of the *SidelinkUEInformation* message to indicate the non-PS related sidelink discovery announcement resources required by the UE in accordance with 5.10.2.3;

- 3> if the last transmission of the SidelinkUEInformation message included discTxResourceReq:
  - 4> initiate transmission of the *SidelinkUEInformation* message to indicate it no longer requires non-PS related sidelink discovery announcement resources in accordance with 5.10.2.3;
- 2> if configured by upper layers to transmit PS related sidelink discovery announcements on the primary frequency or, in case of non-relay PS related sidelink discovery announcements, on a frequency included in *discInterFreqList*, if included in *SystemInformationBlockType19*, with *discTxResourcesInterFreq* included within *discResourcesPS* and not set to *noTxOnCarrier*:
  - 3> if the UE did not transmit a *SidelinkUEInformation* message since last entering RRC\_CONNECTED state; or
  - 3> if since the last time the UE transmitted a *SidelinkUEInformation* message the UE connected to a PCell not broadcasting *SystemInformationBlockType19*, connected to a PCell broadcasting *SystemInformationBlockType19* not including *discConfigPS*, or in case of non-relay PS related transmission: (connected to a PCell broadcasting *SystemInformationBlockType19* not including *discTxResourcesInterFreq* within *discResourcesPS* or for which *discTxResourcesInterFreq* did not include all frequencies for which the UE will request resources), or in case of relay related PS sidelink discovery announcements: (connected to a PCell broadcasting *SystemInformationBlockType19* not including *discConfigRelay*) sidelink; or
  - 3> if the last transmission of the *SidelinkUEInformation* message did not include *discTxResourceReqPS*; or if the PS related sidelink discovery announcement resources required by the UE have changed (i.e. resulting in a change of *discTxResourceReqPS*) since the last transmission of the *SidelinkUEInformation* message:
    - 4> if configured by upper layers to transmit non-relay PS related sidelink discovery announcements; or
    - 4> if the UE is acting as sidelink relay UE; and if *SystemInformationBlockType19* includes *discConfigRelay*; and if the sidelink relay UE threshold conditions as specified in 5.10.10.4 are met; or
    - 4> if the UE is selecting a sidelink relay UE / has a selected sidelink relay UE; and if SystemInformationBlockType19 includes discConfigRelay; and if the sidelink remote UE threshold conditions as specified in 5.10.11.5 are met:
      - 5> initiate transmission of the *SidelinkUEInformation* message to indicate the PS related sidelink discovery announcement resources required by the UE in accordance with 5.10.2.3;

- 3> if the last transmission of the SidelinkUEInformation message included discTxResourceReqPS:
  - 4> initiate transmission of the *SidelinkUEInformation* message to indicate it no longer requires PS related sidelink discovery announcement resources in accordance with 5.10.2.3;
- 2> if configured by upper layers to monitor or transmit sidelink discovery announcements; and if the UE requires sidelink discovery gaps, to perform such actions:
  - 3> if the UE did not transmit a *SidelinkUEInformation* message since last entering RRC\_CONNECTED state; or
  - 3> if since the last time the UE transmitted a *SidelinkUEInformation* message the UE connected to a PCell not broadcasting *SystemInformationBlockType19* or connected to a PCell broadcasting *SystemInformationBlockType19* not including *gapRequestsAllowedCommon* while at the same time the UE was not configured with *gapRequestsAllowedDedicated*; or

- 3> if the last transmission of the *SidelinkUEInformation* message did not include the gaps required to monitor or transmit the sidelink discovery announcements (i.e. UE requiring gaps to monitor discovery announcements while *discRxGapReq* was not included or UE requiring gaps to transmit discovery announcements while *discTxGapReq* was not included); or if the sidelink discovery gaps required by the UE have changed (i.e. resulting in a change of *discRxGapReq* or *discTxGapReq*) since the last transmission of the *SidelinkUEInformation* message:
  - 4> if the UE is configured with gapRequestsAllowedDedicated set to true; or
  - 4> if the UE is not configured with gapRequestsAllowedDedicated and gapRequestsAllowedCommon is included in SystemInformationBlockType19:
    - 5> initiate transmission of the *SidelinkUEInformation* message to indicate the sidelink discovery gaps required by the UE in accordance with 5.10.2.3;

- 3> if the last transmission of the SidelinkUEInformation message included discTxGapReq or discRxGapReq:
  - 4> initiate transmission of the *SidelinkUEInformation* message to indicate it no longer requires sidelink discovery gaps in accordance with 5.10.2.3;
- 2> if the UE acquired the relevant parameters from the system information of one or more cells on a carrier included in the *discSysInfoToReportConfig* and T370 is running:
  - 3> if the UE has configured lower layers to transmit or monitor the sidelink discovery announcements on those cells:
    - 4> initiate transmission of the *SidelinkUEInformation* message to report the acquired system information parameters and stop T370;
- 1> if SystemInformationBlockType21 including sl-V2X-ConfigCommon is broadcast by the PCell:
  - 2> ensure having a valid version of *SystemInformationBlockType21* and *SystemInformationBlockType26*, if broadcast, for the PCell;
  - 2> if configured by upper layers to receive V2X sidelink communication on a primary frequency or on one or more frequencies included in *v2x-InterFreqInfoList*, if included in *SystemInformationBlockType21* or *SystemInformationBlockType26* of the PCell:
    - 3> if the UE did not transmit a *SidelinkUEInformation* message since last entering RRC\_CONNECTED state; or
    - 3> if since the last time the UE transmitted a *SidelinkUEInformation* message the UE connected to a PCell not broadcasting *SystemInformationBlockType21* including *sl-V2X-ConfigCommon*; or
    - 3> if the last transmission of the *SidelinkUEInformation* message did not include *v2x-CommRxInterestedFreqList*; or if the frequency(ies) configured by upper layers to receive V2X sidelink communication on has changed since the last transmission of the *SidelinkUEInformation* message:
      - 4> initiate transmission of the *SidelinkUEInformation* message to indicate the V2X sidelink communication reception frequency(ies) of interest in accordance with 5.10.2.3;

- 3> if the last transmission of the SidelinkUEInformation message included v2x-CommRxInterestedFreqList:
  - 4> initiate transmission of the *SidelinkUEInformation* message to indicate it is no longer interested in V2X sidelink communication reception in accordance with 5.10.2.3;
- 2> if configured by upper layers to transmit V2X sidelink communication on a primary frequency or on one or more frequencies included in *v2x-InterFreqInfoList*, if included in *SystemInformationBlockType21* or *SystemInformationBlockType26* of the PCell:
  - 3> if the UE did not transmit a *SidelinkUEInformation* message since last entering RRC\_CONNECTED state; or

- 3> if since the last time the UE transmitted a *SidelinkUEInformation* message the UE connected to a PCell not broadcasting *SystemInformationBlockType21* including *sl-V2X-ConfigCommon*; or
- 3> if the last transmission of the *SidelinkUEInformation* message did not include *v2x-CommTxResourceReq*; or if the information carried by the *v2x-CommTxResourceReq* has changed since the last transmission of the *SidelinkUEInformation* message:
  - 4> initiate transmission of the *SidelinkUEInformation* message to indicate the V2X sidelink communication transmission resources required by the UE in accordance with 5.10.2.3;

- 3> if the last transmission of the SidelinkUEInformation message included v2x-CommTxResourceReq:
  - 4> initiate transmission of the *SidelinkUEInformation* message to indicate it no longer requires V2X sidelink communication transmission resources in accordance with 5.10.2.3:

#### 5.10.2.3 Actions related to transmission of SidelinkUEInformation message

The UE shall set the contents of the SidelinkUEInformation message as follows:

- 1> if the UE initiates the procedure to indicate it is (no more) interested to receive sidelink communication or discovery or receive V2X sidelink communication or to request (configuration/ release) of sidelink communication or V2X sidelink communication or sidelink discovery transmission resources (i.e. UE includes all concerned information, irrespective of what triggered the procedure):
  - 2> if *SystemInformationBlockType18* is broadcast by the PCell:
    - 3> if configured by upper layers to receive sidelink communication:
      - 4> include *commRxInterestedFreq* and set it to the sidelink communication frequency;
    - 3> if configured by upper layers to transmit non-relay related one-to-many sidelink communication:
      - 4> include *commTxResourceReq* and set its fields as follows:
        - 5> set *carrierFreq* to indicate the sidelink communication frequency i.e. the same value as indicated in *commRxInterestedFreq* if included;
        - 5> set *destinationInfoList* to include the non-relay related one-to-many sidelink communication transmission destination(s) for which it requests E-UTRAN to assign dedicated resources;
    - 3> if configured by upper layers to transmit non-relay related one-to-one sidelink communication; and
    - 3> if *commTxResourceUC-ReqAllowed* is included in *SystemInformationBlockType18*:
      - 4> include *commTxResourceReqUC* and set its fields as follows:
        - 5> set *carrierFreq* to indicate the one-to-one sidelink communication frequency i.e. the same value as indicated in *commRxInterestedFreq* if included;
        - 5> set *destinationInfoList* to include the non-relay related one-to-one sidelink communication transmission destination(s) for which it requests E-UTRAN to assign dedicated resources;
    - 3> if configured by upper layers to transmit relay related one-to-one sidelink communication; and
    - 3> if SystemInformationBlockType19 is broadcast by the PCell including discConfigRelay; and
    - 3> if the UE is acting as sidelink relay UE; or if the UE has a selected sidelink relay UE; and if the sidelink remote UE threshold conditions as specified in 5.10.11.5 are met:
      - 4> include *commTxResourceReqRelayUC* and set its fields as follows:
        - 5> set *destinationInfoList* to include the one-to-one sidelink communication transmission destination(s) for which it requests E-UTRAN to assign dedicated resources;

- 4> include *ue-Type* and set it to *relayUE* if the UE is acting as sidelink relay UE and to *remoteUE* otherwise;
- 3> if configured by upper layers to transmit relay related one-to-many sidelink communication; and
- 3> if SystemInformationBlockType19 is broadcast by the PCell including discConfigRelay; and
- 3> if the UE is acting as sidelink relay UE:
  - 4> include *commTxResourceReqRelay* and set its fields as follows:
    - 5> set *destinationInfoList* to include the one-to-many sidelink communication transmission destination(s) for which it requests E-UTRAN to assign dedicated resources;
  - 4> include *ue-Type* and set it to *relayUE*;
- 2> if *SystemInformationBlockType19* is broadcast by the PCell:
  - 3> if configured by upper layers to receive sidelink discovery announcements on a serving frequency or one or more frequencies included in *discInterFreqList*, if included in *SystemInformationBlockType19*:
    - 4> include discRxInterest;
  - 3> if the UE is configured by upper layers to transmit non-PS related sidelink discovery announcements:
    - 4> for each frequency on which the UE is configured to transmit non-PS related sidelink discovery announcements that concerns the primary frequency or that is included in *discInterFreqList* with *discTxResourcesInterFreq* included within *discResourcesNonPS* and not set to *noTxOnCarrier*:
      - 5> for the first frequency, include *discTxResourceReq* and set it to indicate the number of discovery messages for sidelink discovery announcement(s) for which it requests E-UTRAN to assign dedicated resources as well as the concerned frequency, if different from the primary;
      - 5> for any additional frequency, include *discTxResourceReqAddFreq* and set it to indicate the number of discovery messages for sidelink discovery announcement(s) for which it requests E-UTRAN to assign dedicated resources as well as the concerned frequency;
  - 3> if configured by upper layers to transmit PS related sidelink discovery announcements; and
  - 3> if the frequency on which the UE is configured to transmit PS related sidelink discovery announcements either concerns the primary frequency or, in case of non-relay PS related sidelink discovery announcements, is included in *discInterFreqList* with *discTxResources InterFreq* included within *discResourcesPS* and not set to *noTxOnCarrier*:
    - 4> if configured by upper layers to transmit non-relay PS related sidelink discovery announcements and SystemInformationBlockType19 includes discConfigPS; or
    - 4> if the UE is acting as sidelink relay UE; and if *SystemInformationBlockType19* includes *discConfigRelay*; and if the sidelink relay UE threshold conditions as specified in 5.10.10.4 are met; or
    - 4> if the UE is selecting a sidelink relay UE / has a selected sidelink relay UE; and if SystemInformationBlockType19 includes discConfigRelay; and if the sidelink remote UE threshold conditions as specified in 5.10.11.5 are met:
      - 5> include *discTxResourceReqPS* and set it to indicate the number of discovery messages for PS related sidelink discovery announcement(s) for which it requests E-UTRAN to assign dedicated resources as well as the concerned frequency, if different from the primary;
- 2> if *SystemInformationBlockType21* is broadcast by the PCell and *SystemInformationBlockType21* includes *sl-V2X-ConfigCommon*:
  - 3> if configured by upper layers to receive V2X sidelink communication:
    - 4> include *v2x-CommRxInterestedFreqList* and set it to the frequency(ies) for V2X sidelink communication reception;
  - 3> if configured by upper layers to transmit V2X sidelink communication:

- 4> if configured by upper layers to transmit P2X related V2X sidelink communication:
  - 5> include *p2x-CommTxType* set to *true*;
- 4> include *v2x-CommTxResourceReq* and set its fields as follows for each frequency on which the UE is configured for V2X sidelink communication transmission:
  - 5> set carrierFreqCommTx to indicate the frequency for V2X sidelink communication transmission;
  - 5> set v2x-TypeTxSync to the current synchronization reference type used on the associated carrierFreqCommTx for V2X sidelink communication transmission;
  - 5> set *v2x-DestinationInfoList* to include the V2X sidelink communication transmission destination(s) for which it requests E-UTRAN to assign dedicated resources;
- 1> else if the UE initiates the procedure to request sidelink discovery transmission and/ or reception gaps:
  - 2> if the UE is configured with gapRequestsAllowedDedicated set to true; or
  - 2> if the UE is not configured with *gapRequestsAllowedDedicated* and *gapRequestsAllowedCommon* is included in *SystemInformationBlockType19*:
    - 3> if the UE requires sidelink discovery gaps to monitor the sidelink discovery announcements the UE is configured to monitor by upper layers:
      - 4> include *discRxGapReq* and set it to indicate, for each frequency that either concerns the primary frequency or is included in *discInterFreqList* on which the UE is configured to monitor sidelink discovery announcements and for which it requires sidelink discovery gaps to do so, the gap pattern(s) as well as the concerned frequency, if different from the primary;
    - 3> if the UE requires sidelink discovery gaps to transmit the sidelink discovery announcements the UE is configured to transmit by upper layers:
      - 4> include *discTxGapReq* and set it to indicate, for each frequency that either concerns the primary or is included in *discInterFreqList* on which the UE is configured to transmit sidelink discovery announcements and for which it requires sidelink discovery gaps to do so, the gap pattern(s) as well as the concerned frequency, if different from the primary;
- 1> else if the UE initiates the procedure to report the system information parameters related to sidelink discovery of carriers other than the primary:
  - 2> include *discSysInfoReportFreqList* and set it to report the system information parameter acquired from the cells on those carriers;

#### The UE shall:

- 1> if the UE initiates the sidelink UE information procedure while connected to an NR PCell:
  - 2> submit the *SidelinkUEInformation* message via SRB1 embedded in NR RRC message *ULInformationTransferIRAT* as specified in TS 38.331 [82];
- 1> else:
  - 2> submit the SidelinkUEInformation message to lower layers for transmission.

# 5.10.3 Sidelink communication monitoring

- A UE capable of sidelink communication that is configured by upper layers to receive sidelink communication shall:
  - 1> if the conditions for sidelink communication operation as defined in 5.10.1a are met:
    - 2> if in coverage on the frequency used for sidelink communication, as defined in TS 36.304 [4], clause 11.4:
      - 3> if the cell chosen for sidelink communication reception broadcasts *SystemInformationBlockType18* including *commRxPool*:

- 4> configure lower layers to monitor sidelink control information and the corresponding data using the pool of resources indicated by *commRxPool*;
- NOTE 1: If *commRxPool* includes one or more entries including *rxParametersNCell*, the UE may only monitor such entries if the associated PSS/SSS or SLSSIDs is detected. When monitoring such pool(s), the UE applies the timing of the concerned PSS/SSS or SLSS.
  - 2> else (i.e. out of coverage on the sidelink carrier):
    - 3> configure lower layers to monitor sidelink control information and the corresponding data using the pool of resources that were preconfigured (i.e. *preconfigComm* in *SL-Preconfiguration* defined in 9.3);
- NOTE 2: The UE may monitor in accordance with the timing of the selected SyncRef UE, or if the UE does not have a selected SyncRef UE, based on the UE's own timing.

#### 5.10.4 Sidelink communication transmission

A UE capable of sidelink communication that is configured by upper layers to transmit non-relay related sidelink communication and has related data to be transmitted or a UE capable of relay related sidelink communication that is configured by upper layers to transmit relay related sidelink communications and satisfies the conditions for relay related sidelink communication specified in this clause shall:

- 1> if the conditions for sidelink communication operation as defined in 5.10.1a are met:
  - 2> if in coverage on the frequency used for sidelink communication, as defined in TS 36.304 [4], clause 11.4:
    - 3> if the UE is in RRC\_CONNECTED and uses the PCell for sidelink communication:
      - 4> if the UE is configured, by the current PCell/ the PCell in which physical layer problems or radio link failure was detected, with *commTxResources* set to *scheduled*:
        - 5> if T310 or T311 is running; and if the PCell at which the UE detected physical layer problems or radio link failure broadcasts *SystemInformationBlockType18* including *commTxPoolExceptional*; or
        - 5> if T301 is running and the cell on which the UE initiated connection re-establishment broadcasts SystemInformationBlockType18 including commTxPoolExceptional:
          - 6> configure lower layers to transmit the sidelink control information and the corresponding data using the pool of resources indicated by the first entry in *commTxPoolExceptional*;
        - 5> else:
          - 6> configure lower layers to request E-UTRAN to assign transmission resources for sidelink communication;
      - 4> else if the UE is configured with commTxPoolNormalDedicated or commTxPoolNormalDedicatedExt:
        - 5> if *priorityList* is included for the entries of *commTxPoolNormalDedicated* or *commTxPoolNormalDedicatedExt*:
          - 6> configure lower layers to transmit the sidelink control information and the corresponding data using the one or more pools of resources indicated by *commTxPoolNormalDedicated* or *commTxPoolNormalDedicatedExt* i.e. indicate all entries of this field to lower layers;
        - 5> else:
          - 6> configure lower layers to transmit the sidelink control information and the corresponding data using the pool of resources indicated by the first entry in *commTxPoolNormalDedicated*;
    - 3> else (i.e. sidelink communication in RRC\_IDLE or on cell other than PCell in RRC\_CONNECTED):
      - 4> if the cell chosen for sidelink communication transmission broadcasts *SystemInformationBlockType18*:
        - 5> if SystemInformationBlockType18 includes commTxPoolNormalCommon:
          - 6> if *priorityList* is included for the entries of *commTxPoolNormalCommon* or *commTxPoolNormalCommonExt*:
            - 7> configure lower layers to transmit the sidelink control information and the corresponding data using the one or more pools of resources indicated by *commTxPoolNormalCommon* and/or *commTxPoolNormalCommonExt* i.e. indicate all entries of these fields to lower layers;
          - 6> else:
            - 7> configure lower layers to transmit the sidelink control information and the corresponding data using the pool of resources indicated by the first entry in *commTxPoolNormalCommon*;

- 5> else if *SystemInformationBlockType18* includes *commTxPoolExceptional*:
  - 6> from the moment the UE initiates connection establishment until receiving an RRCConnectionReconfiguration including sl-CommConfig or until receiving an RRCConnectionRelease or an RRCConnectionReject;
    - 7> configure lower layers to transmit the sidelink control information and the corresponding data using the pool of resources indicated by the first entry in *commTxPoolExceptional*;
- 2> else (i.e. out of coverage on sidelink carrier):
  - 3> if priorityList is included for the entries of preconfigComm in SL-Preconfiguration defined in 9.3:
    - 4> configure lower layers to transmit the sidelink control information and the corresponding data using the one or more pools of resources indicated *preconfigComm* i.e. indicate all entries of this field to lower layers and in accordance with the timing of the selected SyncRef UE, or if the UE does not have a selected SyncRef UE, based on the UEs own timing;

4> configure lower layers to transmit the sidelink control information and the corresponding data using the pool of resources that were preconfigured i.e. indicated by the first entry in *preconfigComm* in *SL-Preconfiguration* defined in 9.3 and in accordance with the timing of the selected SyncRef UE, or if the UE does not have a selected SyncRef UE, based on the UEs own timing;

The conditions for relay related sidelink communication are as follows:

- 1> if the transmission concerns sidelink relay communication; and the UE is capable of sidelink relay or sidelink remote operation:
  - 2> if the UE is in RRC\_IDLE; and if the UE has a selected sidelink relay UE: configure lower layers to transmit the sidelink control information and the corresponding data using the resources, as specified previously in this clause, only if the following condition is met:
    - 3> if the sidelink remote UE threshold conditions as specified in 5.10.11.5 are met; and if the UE configured lower layers with a pool of resources included in *SystemInformationBlockType18* (i.e. commTxPoolNormalCommon, commTxPoolNormalCommonExt or commTxPoolExceptional); and commTxAllowRelayCommon is included in *SystemInformationBlockType18*;
  - 2> if the UE is in RRC\_CONNECTED: configure lower layers to transmit the sidelink control information and the corresponding data using the resources, as specified previously in this clause, only if the following condition is met:
    - 3> if the UE configured lower layers with resources provided by dedicated signalling (i.e. *commTxResources*); and the UE is configured with *commTxAllowRelayDedicated* set to *true*;

## 5.10.5 Sidelink discovery monitoring

A UE capable of non-PS related sidelink discovery that is configured by upper layers to monitor non-PS related sidelink discovery announcements shall:

- 1> for each frequency the UE is configured to monitor non-PS related sidelink discovery announcements on, prioritising the frequencies included in *discInterFreqList*, if included in *SystemInformationBlockType19*:
  - 2> if the PCell or the cell the UE is camping on indicates the pool of resources to monitor sidelink discovery announcements on by discRxResourcesInterFreq in discResourcesNonPS within discInterFreqList in SystemInformationBlockType19:
    - 3> configure lower layers to monitor sidelink discovery announcements using the pool of resources indicated by discRxResourcesInterFreqin discResourcesNonPS within SystemInformationBlockType19;
  - 2> else if the cell used for sidelink discovery monitoring broadcasts SystemInformationBlockType19:

- 3> configure lower layers to monitor sidelink discovery announcements using the pool of resources indicated by *discRxPool* in *SystemInformationBlockType19*;
- 2> if the UE is configured with *discRxGapConfig* and requires sidelink discovery gaps to monitor sidelink discovery announcements on the concerned frequency;
  - 3> configure lower layers to monitor the concerned frequency using the sidelink discovery gaps indicated by discRxGapConfig;

3> configure lower layers to monitor the concerned frequency without affecting normal operation;

A UE capable of PS related sidelink discovery that is configured by upper layers to monitor PS related sidelink discovery announcements shall:

- 1> if out of coverage on the frequency, as defined in TS 36.304 [4], clause 11.4:
  - 2> configure lower layers to monitor sidelink discovery announcements using the pool of resources that were preconfigured (i.e. indicated by *discRxPoolList* within *preconfigDisc* in *SL-Preconfiguration* defined in 9.3);
- 1> else if configured by upper layers to monitor non-relay PS related discovery announcements; and if the PCell or the cell the UE is camping on indicates a pool of resources to monitor sidelink discovery announcements on by discRxResourcesInterFreq in discResourcesPS within discInterFreqList in SystemInformationBlockType19:
  - 2> configure lower layers to monitor sidelink discovery announcements using the pool of resources indicated by discRxResourcesInterFreq in discResourcesPS in SystemInformationBlockType19;
- 1> else if configured by upper layers to monitor PS related sidelink discovery announcements; and if the cell used for sidelink discovery monitoring broadcasts *SystemInformationBlockType19*:
  - 2> configure lower layers to monitor sidelink discovery announcements using the pool of resources indicated by discRxPoolPS in SystemInformationBlockType19;
- 1> if the UE is configured with *discRxGapConfig* and requires sidelink discovery gaps to monitor sidelink discovery announcements on the concerned frequency;
  - 2> configure lower layers to monitor the concerned frequency using the sidelink discovery gaps indicated by discRxGapConfig;

1> else:

- 2> configure lower layers to monitor the concerned frequency without affecting normal operation;
- NOTE 1: The requirement not to affect normal UE operation also applies for the acquisition of sidelink discovery related system and synchronisation information from inter-frequency cells.
- NOTE 2: The UE is not required to monitor all pools simultaneously.
- NOTE 3: It is up to UE implementation to decide whether a cell is sufficiently good to be used to monitor sidelink discovery announcements.
- NOTE 4: If *discRxPool*, *discRxPoolPS* or *discRxResourcesInterFreq* includes one or more entries including *rxParameters*, the UE may only monitor such entries if the associated SLSSIDs are detected. When monitoring such pool(s) the UE applies the timing of the corresponding SLSS.

# 5.10.6 Sidelink discovery announcement

A UE capable of non-PS related sidelink discovery that is configured by upper layers to transmit non-PS related sidelink discovery announcements shall, for each frequency the UE is configured to transmit such announcements on:

- NOTE: In case the configured resources are insufficient it is up to UE implementation to decide which sidelink discovery announcements to transmit.
- 1> if the frequency used to transmit sidelink discovery announcements concerns the serving frequency (RRC\_IDLE) or primary frequency (RRC\_CONNECTED):

- 2> if the UE's serving cell (RRC\_IDLE) or PCell (RRC\_CONNECTED) is suitable as defined in TS 36.304 [4]:
  - 3> if the UE is in RRC\_CONNECTED (i.e. PCell is used for sidelink discovery announcement):
    - 4> if the UE is configured with *discTxResources* set to *scheduled*:
      - 5> configure lower layers to transmit the sidelink discovery announcement using the assigned resources indicated by *scheduled* in *discTxResources*;
    - 4> else if the UE is configured with *discTxPoolDedicated* (i.e. *discTxResources* set to *ue-Selected*):
      - 5> select an entry of the list of resource pool entries in *discTxPoolDedicated* and configure lower layers to use it to transmit the sidelink discovery announcements as specified in 5.10.6a;
  - 3> else if T300 is not running (i.e. UE in RRC\_IDLE, announcing via serving cell):
    - 4> if *SystemInformationBlockType19* of the serving cell includes *discTxPoolCommon*:
      - 5> select an entry of the list of resource pool entries in *discTxPoolCommon* and configure lower layers to use it to transmit the sidelink discovery announcements as specified in 5.10.6a;
- 1> else if, for the frequency used to transmit sidelink discovery announcements on, the UE is configured with dedicated resources (i.e. with *discTxResources-r12*, if *discTxCarrierFreq* is included in *discTxInterFreqInfo*, or with *discTxResources* within *discTxInfoInterFreqListAdd* in *discTxInterFreqInfo*); and the conditions for non-PS related sidelink discovery operation as defined in 5.10.1c are met:
  - 2> if the UE is configured with *discTxResources* set to *scheduled*:
    - 3> configure lower layers to transmit the sidelink discovery announcement using the assigned resources indicated by *scheduled* in *discTxResources*;
  - 2> else if the UE is configured with *discTxResources* set to *ue-Selected*:
    - 3> select an entry of the list of resource pool entries in *ue-Selected* and configure lower layers to use it to transmit the sidelink discovery announcements as specified in 5.10.6a;
- 1> else if the frequency used to transmit sidelink discovery announcements on is included in *discInterFreqList* within *SystemInformationBlockType19* of the serving cell/ PCell, and *discTxResourcesInterFreq* within *discResourcesNonPS* in the corresponding entry of *discInterFreqList* is set to *discTxPoolCommon* (i.e. serving cell/ PCell broadcasts pool of resources) and the conditions for non-PS related sidelink discovery operation as defined in 5.10.1c are met; or
- 1> else if *discTxPoolCommon* is included in *SystemInformationBlockType19* acquired from cell selected on the sidelink discovery announcement frequency; and the conditions for non-PS related sidelink discovery operation as defined in 5.10.1c are met:
  - 2> select an entry of the list of resource pool entries in *discTxPoolCommon* and configure lower layers to use it to transmit the sidelink discovery announcements as specified in 5.10.6a;
- 1> if the UE is configured with *discTxGapConfig* and requires sidelink discovery gaps to transmit sidelink discovery announcements on the concerned frequency;
  - 2> configure lower layers to transmit on the concerned frequency using the sidelink discovery gaps indicated by discTxGapConfig,
- 1> else:
  - 2> configure lower layers to transmit on the concerned frequency without affecting normal operation;

A UE capable of PS related sidelink discovery that is configured by upper layers to transmit PS related sidelink discovery announcements shall:

- 1> if out of coverage on the frequency used to transmit PS related sidelink discovery announcements as defined in TS 36.304 [4], clause 11.4, and the conditions for PS-related sidelink discovery operation as defined in 5.10.1b are met:
  - 2> if configured by upper layers to transmit non-relay PS related sidelink discovery announcements; or

- 2> if the UE is selecting a sidelink relay UE/ has a selected sidelink relay UE:
  - 3> configure lower layers to transmit sidelink discovery announcements using the pool of resources that were preconfigured and in accordance with the following;
    - 4> randomly select, using a uniform distribution, an entry of *preconfigDisc* in *SL-Preconfiguration* defined in 9.3:
    - 4> using the timing of the selected SyncRef UE, or if the UE does not have a selected SyncRef UE, based on the UEs own timing;
- 1> else if the frequency used to transmit sidelink discovery announcements concerns the serving frequency (RRC\_IDLE) or primary frequency (RRC\_CONNECTED) and the conditions for PS related sidelink discovery operation as defined in 5.10.1b are met:
  - 2> if configured by upper layers to transmit non-relay PS related sidelink discovery announcements; or
  - 2> if the UE is acting as sidelink relay UE; and if the UE is in RRC\_IDLE; and if the sidelink relay UE threshold conditions as specified in 5.10.10.4 are met; or
  - 2> if the UE is acting as sidelink relay UE; and if the UE is in RRC\_CONNECTED; or
  - 2> if the UE is selecting a sidelink relay UE / has a selected sidelink relay UE; and if the sidelink remote UE threshold conditions as specified in 5.10.11.5 are met:
    - 3> if the UE is configured with discTxPoolPS-Dedicated; or
    - 3> if the UE is in RRC\_IDLE; and if discTxPoolPS-Common is included in SystemInformationBlockType19:
      - 4> select an entry of the list of resource pool entries and configure lower layers to use it to transmit the sidelink discovery announcements as specified in 5.10.6a;
    - 3> else if the UE is configured with *discTxResourcesPS* set to *scheduled*:
      - 4> configure lower layers to transmit the sidelink discovery announcement using the assigned resources indicated by *scheduled* in *discTxResourcesPS*;
- 1> else if, for the frequency used to transmit sidelink discovery announcements on, the UE is configured with dedicated resources (i.e. with *discTxResourcesPS* in *discTxInterFreqInfo* within *sl-DiscConfig*); and the conditions for PS related sidelink discovery operation as defined in 5.10.1b are met:
  - 2> if configured by upper layers to transmit non-relay PS related sidelink discovery announcements:
    - 3> if the UE is configured with *discTxResourcesPS* set to *scheduled*:
      - 4> configure lower layers to transmit the sidelink discovery announcement using the assigned resources indicated by *scheduled* in *discTxResourcesPS*;
    - 3> else if the UE is configured with *discTxResourcesPS* set to *ue-Selected*:
      - 4> select an entry of the list of resource pool entries in *ue-Selected* and configure lower layers to use it to transmit the sidelink discovery announcements as specified in 5.10.6a;
- 1> else if the frequency used to transmit sidelink discovery announcements on is included in *discInterFreqList* within *SystemInformationBlockType19* of the serving cell/ PCell, while *discTxResourcesInterFreq* within *discResourcesPS* in the corresponding entry of *discInterFreqList* is set to *discTxPoolCommon* (i.e. serving cell/ PCell broadcasts pool of resources) and the conditions for PS related sidelink discovery operation as defined in 5.10.1b are met:
  - 2> if configured by upper layers to transmit non-relay PS related sidelink discovery announcements:
    - 3> select an entry of the list of resource pool entries in *discTxPoolCommon* and configure lower layers to use it to transmit the sidelink discovery announcements as specified in 5.10.6a;
- 1> else if *discTxPoolPS-Common* is included in *SystemInformationBlockType19* acquired from cell selected on the sidelink discovery announcement frequency; and the conditions for PS related sidelink discovery operation as defined in 5.10.1b are met:

- 2> if configured by upper layers to transmit non-relay PS related sidelink discovery announcements:
  - 3> select an entry of the list of resource pool entries in *discTxPoolPS-Common* and configure lower layers to use it to transmit the sidelink discovery announcements as specified in 5.10.6a;
- 1> if the UE is configured with *discTxGapConfig* and requires gaps to transmit sidelink discovery announcements on the concerned frequency;
  - 2> configure lower layers to transmit on the concerned frequency using the gaps indicated by discTxGapConfig,
- 1> else:
  - 2> configure lower layers to transmit on the concerned frequency without affecting normal operation;

## 5.10.6a Sidelink discovery announcement pool selection

A UE that is configured with a list of resource pool entries for sidelink discovery announcement transmission (i.e. by *SL-DiscTxPoolList*) shall:

- 1> if *poolSelection* is set to *rsrpBased*:
  - 2> select a pool from the list of pools the UE is configured with for which the RSRP measurement of the reference cell selected as defined in 5.10.6b, after applying the layer 3 filter defined by *quantityConfig* as specified in 5.5.3.2, is in-between *threshLow* and *threshHigh*;
- 1> else:
  - 2> randomly select, using a uniform distribution, a pool from the list of pools the UE is configured with;
- 1> configure lower layers to transmit the sidelink discovery announcement using the selected pool of resources;
- NOTE 1: When performing resource pool selection based on RSRP, the UE uses the latest results of the available measurements used for cell reselection evaluation in RRC\_IDLE/ for measurement report triggering evaluation in RRC\_CONNECTED, which are performed in accordance with the performance requirements specified in TS 36.133 [16].

# 5.10.6b Sidelink discovery announcement reference carrier selection

A UE capable of sidelink discovery that is configured by upper layers to transmit sidelink discovery announcements shall:

- 1> for each frequency the UE is transmitting sidelink discovery announcements on, select a cell to be used as reference for synchronisation and DL measurements in accordance with the following:
  - 2> if the frequency concerns the primary frequency:
    - 3> use the PCell as reference;
  - 2> else if the frequency concerns a secondary frequency:
    - 3> use the concerned SCell as reference;
  - 2> else if the UE is configured with *discTxRefCarrierDedicated* for the frequency:
    - 3> use the cell indicated by this field as reference;
  - 2> else if the UE is configured with *refCarrierCommon* for the frequency:
    - 3> use the serving cell (RRC\_IDLE)/ PCell (RRC\_CONNECTED) as reference;
  - 2> else:
    - 3> use the DL frequency paired with the one used to transmit sidelink discovery announcements on as reference;

## 5.10.7 Sidelink synchronisation information transmission

#### 5.10.7.1 General

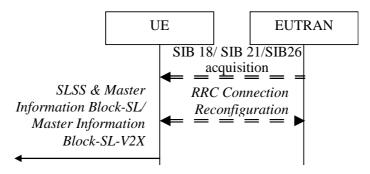


Figure 5.10.7.1-1: Synchronisation information transmission for sidelink communication or V2X sidelink communication, in (partial) coverage

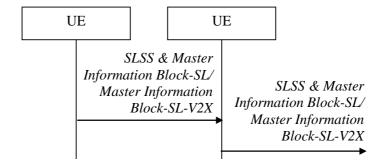


Figure 5.10.7.1-2: Synchronisation information transmission for sidelink communication or V2X sidelink communication / sidelink discovery, out of coverage

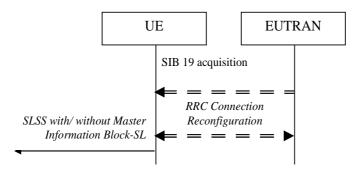


Figure 5.10.7.1-3: Synchronisation information transmission for sidelink discovery, in (partial) coverage

The purpose of this procedure is to provide synchronisation information to a UE. For sidelink discovery, the synchronisation information concerns a Sidelink Synchronisation Signal (SLSS) and, in case of PS related discovery, also timing information and some additional configuration parameters (i.e. the *MasterInformationBlock-SL* message), while for sidelink communication or V2X sidelink communication it concerns an SLSS and the *MasterInformationBlock-SL* or *MasterInformationBlock-SL-V2X* message. A UE transmits synchronisation information either when E-UTRAN configures it to do so by dedicated signalling (i.e. network based), or when not configured by dedicated signalling (i.e. UE based) and E-UTRAN broadcasts (in coverage) or pre-configures a threshold (out of coverage).

The synchronisation information transmitted by the UE may be derived from information/ signals received from E-UTRAN (in coverage) or received from a UE acting as synchronisation reference for the transmitting UE or received from GNSS. In the remainder, the UE acting as synchronisation reference is referred to as SyncRef UE.

#### 5.10.7.2 Initiation

A UE capable of SLSS transmission shall, when transmitting sidelink discovery announcements in accordance with 5.10.6 and when the following conditions are met:

- 1> if in coverage on the frequency used for sidelink discovery, as defined in TS 36.304 [4], clause 11.4:
  - 2> if in RRC CONNECTED; and if networkControlledSyncTx is configured and set to on; or
  - 2> if networkControlledSyncTx is not configured; and syncTxThreshIC is included in SystemInformationBlockType19; and the RSRP measurement of the reference cell, selected as defined in 5.10.6b, is below the value of syncTxThreshIC:
    - 3> if the sidelink discovery announcements are not PS related; or if syncTxPeriodic is not included:
      - 4> transmit SLSS on the frequency used for sidelink discovery in accordance with 5.10.7.3 and TS 36.211 [21];
    - 3> else:
      - 4> transmit SLSS on the frequency used for sidelink discovery in accordance with 5.10.7.3 and TS 36.211 [21];
      - 4> transmit the *MasterInformationBlock-SL* message on the frequency used for sidelink discovery, in the same subframe as SLSS, and in accordance with 5.10.7.4;
- 1> else (i.e. out of coverage, PS):
  - 2> if *syncTxThreshOoC* is included in the preconfigured sidelink parameters (i.e. *SL-Preconfiguration* defined in 9.3); and the UE has not selected SyncRef UE or the S-RSRP measurement result of the selected SyncRef UE is below the value of *syncTxThreshOoC*:
    - 3> transmit SLSS on the frequency used for sidelink discovery in accordance with 5.10.7.3 and TS 36.211 [21];
    - 3> transmit the *MasterInformationBlock-SL* message on the frequency used for sidelink discovery, in the same subframe as SLSS, and in accordance with 5.10.7.4;

A UE capable of sidelink communication that is configured by upper layers to transmit sidelink communication shall, irrespective of whether or not it has data to transmit:

- 1> if the conditions for sidelink communication operation as defined in 5.10.1a are met:
  - 2> if in RRC CONNECTED; and if networkControlledSyncTx is configured and set to on:
    - 3> transmit SLSS in accordance with 5.10.7.3 and TS 36.211 [21];
    - 3> transmit the *MasterInformationBlock-SL* message, in the same subframe as SLSS, and in accordance with 5.10.7.4;

A UE shall, when transmitting sidelink communication in accordance with 5.10.4 and when the following conditions are met:

- 1> if in coverage on the frequency used for sidelink communication, as defined in TS 36.304 [4], clause 11.4:
  - 2> if the UE is in RRC\_CONNECTED; and networkControlledSyncTx is not configured; and syncTxThreshIC is included in SystemInformationBlockType18; and the RSRP measurement of the cell chosen for sidelink communication transmission is below the value of syncTxThreshIC; or
  - 2> if the UE is in RRC\_IDLE; and *syncTxThreshIC* is included in *SystemInformationBlockType18*; and the RSRP measurement of the cell chosen for sidelink communication transmission is below the value of *syncTxThreshIC*:
    - 3> transmit SLSS in accordance with 5.10.7.3 and TS 36.211 [21];
    - 3> transmit the *MasterInformationBlock-SL* message, in the same subframe as SLSS, and in accordance with 5.10.7.4;

- 1> else (i.e. out of coverage):
  - 2> if *syncTxThreshOoC* is included in the preconfigured sidelink parameters (i.e. *SL-Preconfiguration* defined in 9.3); and the UE has no selected SyncRef UE or the S-RSRP measurement result of the selected SyncRef UE is below the value of *syncTxThreshOoC*:
    - 3> transmit SLSS in accordance with 5.10.7.3 and TS 36.211 [21];
    - 3> transmit the *MasterInformationBlock-SL* message, in the same subframe as SLSS, and in accordance with 5.10.7.4;

A UE capable of V2X sidelink communication and SLSS/PSBCH transmission shall, when transmitting non-P2X related V2X sidelink communication in accordance with 5.10.13, and if the conditions for V2X sidelink communication operation as defined in 5.10.1d are met and when the following conditions are met:

- 1> if in coverage on the frequency used for V2X sidelink communication, as defined in TS 36.304 [4], clause 11.4; and has selected GNSS or the cell as synchronization reference as defined in 5.10.13.3; or
- 1> if out of coverage on the frequency used for V2X sidelink communication, as defined in TS 36.304 [4], clause 11.4, and the frequency used to transmit V2X sidelink communication is included in v2x-InterFreqInfoList in RRCConnectionReconfiguration or in v2x-InterFreqInfoList within SystemInformationBlockType21 or SystemInformationBlockType26 of the serving cell/ PCell; and has selected GNSS or the cell as synchronization reference as defined in 5.10.13.3:
  - 2> if syncFreqList is not included in RRCConnectionReconfiguration nor in SystemInformationBlockType26; or
  - 2> if *syncFreqList* is included in *RRCConnectionReconfiguration* or in *SystemInformationBlockType26*; and if none of the frequency(ies) selected as specified in TS 36.321 [6] is included in the *syncFreqList* or the concerned frequency is selected as the synchronisation carrier frequency in accordance with 5.10.8a; or
  - 2> if syncFreqList and slss-TxMultiFreq are included in RRCConnectionReconfiguration or in SystemInformationBlockType26; and if the UE has selected a frequency other than the concerned frequency as the synchronisation carrier frequency; and if slss-TxDisabled corresponding to the concerned frequency is not configured in RRCConnectionReconfiguration; and if the concerned frequency has been selected for V2X sidelink communication transmission as specified in TS 36.321 [6] and is included in syncFreqList; and if UE is capable of SLSS/PSBCH transmission on the concerned frequency:
    - 3> if in RRC CONNECTED; and if networkControlledSyncTx is configured and set to on; or
    - 3> if networkControlledSyncTx is not configured; and for the concerned frequency syncTxThreshIC is configured; and the RSRP measurement of the reference cell, selected as defined in 5.10.13.3, for V2X sidelink communication transmission is below the value of syncTxThreshIC:
      - 4> transmit SLSS on the frequency used for V2X sidelink communication in accordance with 5.10.7.3 and TS 36.211 [21];
      - 4> transmit the *MasterInformationBlock-SL-V2X* message on the frequency used for V2X sidelink communication, in the same subframe as SLSS, and in accordance with 5.10.7.4;

- 2> for the frequency used for V2X sidelink communication, if *syncOffsetIndicators* is included in *SL-V2X-Preconfiguration*:
  - 3> If syncFreqList is not included in SL-V2X-Preconfiguration; or
  - 3> if *syncFreqList* is included in *SL-V2X-Preconfiguration*, and if none of the frequency(ies) selected as specified in TS 36.321 [6] is included in the *syncFreqList* or the concerned frequency is selected as the synchronisation carrier frequency in accordance with 5.10.8a; or
  - 3> if *syncFreqList* and *slss-TxMultiFreq* are included in *SL-V2X-Preconfiguration*, and if the UE has selected a frequency other than the concerned frequency as the synchronisation carrier frequency; and if *slss-TxDisabled* corresponding to the concerned frequency is not configured in *SL-V2X-Preconfiguration*; and if the concerned frequency has been selected for V2X sidelink communication transmission as specified in TS 36.321 [6] and included in *syncFreqList*; and if the UE is capable of SLSS/PSBCH transmission on the frequency:

- 4> if *syncTxThreshOoC* is included in *SL-V2X-Preconfiguration*; and the UE is not directly synchronized to GNSS, and the UE has no selected SyncRef UE or the S-RSRP measurement result of the selected SyncRef UE is below the value of *syncTxThreshOoC*; or
- 4> if the UE selects GNSS as the synchronization reference source:
  - 5> transmit SLSS in accordance with 5.10.7.3 and TS 36.211 [21];
  - 5> transmit the *MasterInformationBlock-SL-V2X* message, in the same subframe as SLSS, and in accordance with 5.10.7.4;
- NOTE 1: In the case of limited transmission capabilities on multiple carrier frequencies, when the UE is configured with *syncFreqList*, whether to transmit SLSS/PSBCH on a frequency, which is selected for V2X sidelink communication transmission as specified in TS 36.321 [6] and is other than the synchronisation carrier frequency, is up to UE implementation.

#### 5.10.7.3 Transmission of SLSS

The UE shall select the SLSSID and the subframe in which to transmit SLSS as follows:

- 1> if triggered by sidelink discovery announcement and in coverage on the frequency used for sidelink discovery, as defined in TS 36.304 [4], clause 11.4:
  - 2> select the SLSSID included in the entry of *discSyncConfig* included in the received *SystemInformationBlockType19*, that includes *txParameters*;
  - 2> use *syncOffsetIndicator* corresponding to the selected SLSSID;
  - 2> for each pool used for the transmission of discovery announcements (each corresponding to the selected SLSSID):
    - 3> if a subframe indicated by *syncOffsetIndicator* corresponds to the first subframe of the discovery transmission pool;
      - 4> if *discTxGapConfig* is configured and includes the concerned subframe; or the subframe is not used for regular uplink transmission:
        - 5> select the concerned subframe:
    - 3> else:
      - 4> if *discTxGapConfig* is configured and includes the concerned subframe; or the subframe is not used for regular uplink transmission:
        - 5> select the subframe indicated by *syncOffsetIndicator* that precedes and which, in time domain, is nearest to the first subframe of the discovery transmission pool;
    - 3> if the sidelink discovery announcements concern PS; and if syncTxPeriodic is included:
      - 4> additionally select each subframe that periodically occurs 40 subframes after the selected subframe;
- 1> if triggered by sidelink communication and in coverage on the frequency used for sidelink communication, as defined in TS 36.304 [4], clause 11.4:
  - 2> select the SLSSID included in the entry of *commSyncConfig* that is included in the received *SystemInformationBlockType18* and includes *txParameters*;
  - 2> use syncOffsetIndicator corresponding to the selected SLSSID;
  - 2> if in RRC\_CONNECTED; and if networkControlledSyncTx is configured and set to on:
    - 3> select the subframe(s) indicated by syncOffsetIndicator;
  - 2> else (when transmitting communication):

- 3> select the subframe(s) indicated by *syncOffsetIndicator* within the SC period in which the UE intends to transmit sidelink control information or data:
- 1> if triggered by V2X sidelink communication and in coverage on the frequency used for V2X sidelink communication, as defined in TS 36.304 [4], clause 11.4; or
- 1> if triggered by V2X sidelink communication, and out of coverage on the frequency used for V2X sidelink communication, and the concerned frequency is included in v2x-InterFreqInfoList in RRCConnectionReconfiguration or in v2x-InterFreqInfoList within SystemInformationBlockType21 of the serving cell/ PCell;
  - 2> if the UE has selected GNSS as synchronization reference in accordance with 5.10.8.2:
    - 3> select SLSSID 0:
    - 3> use *syncOffsetIndicator* included in the entry of *v2x-SyncConfig* corresponding to the concerned frequency in *v2x-InterFreqInfoList* or within *SystemInformationBlockType21*, that includes *txParameters* and *gnss-Sync*;
    - 3> select the subframe(s) indicated by syncOffsetIndicator;
  - 2> if the UE has selected a cell as synchronization reference in accordance with 5.10.8.2:
    - 3> select the SLSSID included in the entry of *v2x-SyncConfig* configured for the concerned frequency in *v2x-InterFreqInfoList* or within *SystemInformationBlockType21*, that includes *txParameters* and does not include *gnss-Sync*;
    - 3> use syncOffsetIndicator corresponding to the selected SLSSID;
    - 3> select the subframe(s) indicated by syncOffsetIndicator;
- 1> else if triggered by V2X sidelink communication and the UE has GNSS as the synchronization reference:
  - 2> select SLSSID 0;
  - 2> if *syncOffsetIndicator3* is configured for the frequency used for V2X sidelink communication in *SL-V2X-Preconfiguration*:
    - 3> select the subframe(s) indicated by *syncOffsetIndicator3*;
  - 2> else:
    - 3> select the subframe(s) indicated by syncOffsetIndicator1;
- 1> else:
  - 2> select the synchronisation reference UE (i.e. SyncRef UE) as defined in 5.10.8;
  - 2> if the UE has a selected SyncRef UE and *inCoverage* in the *MasterInformationBlock-SL* or *MasterInformationBlock-SL-V2X* message received from this UE is set to *TRUE*; or
  - 2> if the UE has a selected SyncRef UE and *inCoverage* in the *MasterInformationBlock-SL* or *MasterInformationBlock-SL-V2X* message received from this UE is set to *FALSE* while the SLSS from this UE is part of the set defined for out of coverage, see TS 36.211 [21]:
    - 3> select the same SLSSID as the SLSSID of the selected SyncRef UE;
    - 3> select the subframe in which to transmit the SLSS according to the *syncOffsetIndicator1* or *syncOffsetIndicator2* included in the preconfigured sidelink parameters (i.e. *preconfigSync* in *SL-Preconfiguration* or *v2x-CommPreconfigSync* in *SL-V2X-Preconfiguration* defined in 9.3) corresponding to the concerned frequency, such that the subframe timing is different from the SLSS of the selected SyncRef UE;
  - 2> else if the UE has a selected SyncRef UE and the SLSS from this UE was transmitted on the subframe indicated by *syncOffsetIndicator3* that is included in the *syncOffsetIndicators* in *SL-V2X-Preconfiguration*, and is corresponding to the frequency used for V2X sidelink communication:

- 3> select SLSSID 169;
- 3> select the subframe(s) indicated by syncOffsetIndicator2;
- 2> else if the UE has a selected SyncRef UE:
  - 3> select the SLSSID from the set defined for out of coverage having an index that is 168 more than the index of the SLSSID of the selected SyncRef UE, see TS 36.211 [21];
  - 3> select the subframe in which to transmit the SLSS according to *syncOffsetIndicator1* or *syncOffsetIndicator2* included in the preconfigured sidelink parameters (i.e. *preconfigSync* in *SL-Preconfiguration* or *v2x-CommPreconfigSync* in *SL-V2X-Preconfiguration* defined in 9.3), such that the subframe timing is different from the SLSS of the selected SyncRef UE;
- 2> else (i.e. no SyncRef UE selected):
  - 3> if the UE has not randomly selected an SLSSID:
    - 4> if triggered by V2X sidelink communication, randomly select, using a uniform distribution, an SLSSID from the set of sequences defined for out of coverage except SLSSID 168 and 169, see TS 36.211 [21];
    - 4> else, randomly select, using a uniform distribution, an SLSSID from the set of sequences defined for out of coverage, see TS 36.211 [21];
    - 4> select the subframe in which to transmit the SLSS according to the *syncOffsetIndicator1* or *syncOffsetIndicator2* (arbitrary selection between these) included in the preconfigured sidelink parameters (i.e. *preconfigSync* in *SL-Preconfiguration* or *v2x-CommPreconfigSync* in *SL-V2X-Preconfiguration* defined in 9.3);

# 5.10.7.4 Transmission of *MasterInformationBlock-SL* or *MasterInformationBlock-SL-V2X* message

The UE shall set the contents of the *MasterInformationBlock-SL* or *MasterInformationBlock-SL-V2X* message as follows:

- 1> if in coverage on the frequency used for the sidelink operation that triggered this procedure as defined in TS 36.304 [4], clause 11.4:
  - 2> set inCoverage to TRUE;
  - 2> set *sl-Bandwidth* to the value of *ul-Bandwidth* as included in the received *SystemInformationBlockType2* of the cell chosen for the concerned sidelink operation;
  - 2> if tdd-Config is included in the received SystemInformationBlockType1:
    - 3> set *subframeAssignmentSL* to the value representing the same meaning as of *subframeAssignment* that is included in *tdd-Config* in the received *SystemInformationBlockType1*;
  - 2> else:
    - 3> set *subframeAssignmentSL* to *none*;
  - 2> if triggered by sidelink communication; and if *syncInfoReserved* is included in an entry of *commSyncConfig* from the received *SystemInformationBlockType18*:
    - 3> set reserved to the value of syncInfoReserved in the received SystemInformationBlockType18;
  - 2> if triggered by sidelink discovery; and if *syncInfoReserved* is included in an entry of *discSyncConfig* from the received *SystemInformationBlockType19*:
    - 3> set reserved to the value of syncInfoReserved in the received SystemInformationBlockType19;
  - 2> if triggered by V2X sidelink communication; and if *syncInfoReserved* is included in an entry of *v2x-SyncConfig* from the received *SystemInformationBlockType21* or *SystemInformationBlockType26*:

3> set reserved to the value of syncInfoReserved in the received SystemInformationBlockType21 or SystemInformationBlockType26;

#### 2> else:

- 3> set all bits in reserved to 0;
- 1> else if out of coverage on the frequency used for V2X sidelink communication as defined in TS 36.304 [4], clause 11.4; and the concerned frequency is included in v2x-InterFreqInfoList in RRCConnectionReconfiguration or in v2x-InterFreqInfoList within SystemInformationBlockType21 or SystemInformationBlockType26 of the serving cell/ PCell:
  - 2> set inCoverage to TRUE;
  - 2> set sl-Bandwidth to the value of the corresponding field included in v2x-InterFreqInfoList;
  - 2> set *subframeAssignmentSL* and *reserved* to the value of the corresponding field included in the preconfigured sidelink parameters (i.e. *v2x-CommPreconfigGeneral* in *SL-V2X-Preconfiguration* defined in 9.3);
- 1> else if out of coverage on the frequency used for V2X sidelink communication as defined in TS 36.304 [4], clause 11.4; and the UE selects GNSS timing as the synchronization reference source and *syncOffsetIndicator3* is not included in *SL-V2X-Preconfiguration*:
  - 2> set inCoverage to TRUE;
  - 2> set *sl-Bandwidth*, *subframeAssignmentSL* and *reserved* to the value of the corresponding field included in the preconfigured sidelink parameters (i.e. *v2x-CommPreconfigGeneral* in *SL-V2X-Preconfiguration* defined in 9.3);
- 1> else if the UE has a selected SyncRef UE (as defined in 5.10.8) and if the SyncRef UE is selected on the concern frequency:
  - 2> set inCoverage to FALSE;
  - 2> set *sl-Bandwidth*, *subframeAssignmentSL* and *reserved* to the value of the corresponding field included in the received *MasterInformationBlock-SL* or *MasterInformationBlock-SL-V2X*;

#### 1> else:

- 2> set inCoverage to FALSE;
- 2> set *sl-Bandwidth*, *subframeAssignmentSL* and *reserved* to the value of the corresponding field included in the preconfigured sidelink parameters (i.e. *preconfigGeneral* in *SL-Preconfiguration* or *v2x-CommPreconfigGeneral* in *SL-V2X-Preconfiguration* defined in 9.3);
- 1> set *directFrameNumber* and *directSubframeNumber* according to the subframe used to transmit the SLSS, as specified in 5.10.7.3;
- 1> submit the *MasterInformationBlock-SL* or *MasterInformationBlock-SL-V2X* message to lower layers for transmission upon which the procedure ends;

#### 5.10.7.5 Void

## 5.10.8 Sidelink synchronisation reference

#### 5.10.8.1 General

The purpose of this procedure is to select a synchronisation reference and used a.o. when transmitting sidelink communication, V2X sidelink communication, sidelink discovery or synchronisation information.

#### 5.10.8.2 Selection and reselection of synchronisation reference

The UE shall:

- 1> if triggered by V2X sidelink communication, and in coverage on the frequency for V2X sidelink communication; or
- 1> if triggered by V2X sidelink communication, and out of coverage on the frequency for V2X sidelink communication, and the frequency used to transmit V2X sidelink communication is included in v2x-InterFreqInfoList in RRCConnectionReconfiguration or in v2x-InterFreqInfoList within SystemInformationBlockType21 or SystemInformationBlockType26 of the serving cell/ PCell:
  - 2> if syncFreqList is not included in RRCConnectionReconfiguration nor in SystemInformationBlockType26; or
  - 2> if syncFreqList is included in RRCConnectionReconfiguration or in SystemInformationBlockType26, and none of the frequency(ies) selected as specified in TS 36.321 [6] is included in the syncFreqList; or
  - 2> if *syncFreqList* is included in *RRCConnectionReconfiguration* or in *SystemInformationBlockType26*, and no synchronisation carrier frequency is selected as specified in 5.10.8a:
    - 3> if typeTxSync is configured for the concerned frequency and set to enb:
      - 4> select a cell as the synchronization reference source as defined in 5.10.13.3;
    - 3> else if *typeTxSync* for the concerned frequency is not configured or is set to *gnss*, and GNSS is reliable in accordance with TS 36.101 [42] and TS 36.133 [16]:
      - 4> select GNSS as the synchronization reference source;
    - 3> else (i.e., there is no GNSS which is reliable in accordance with TS 36.101 [42] and TS 36.133 [16]):
      - 4> search SLSSID=0 on the concerned frequency to detect candidate SLSS, in accordance with TS 36.133 [16];
      - 4> when evaluating the detected SLSS, apply layer 3 filtering as specified in 5.5.3.2 using the preconfigured *filterCoefficient* as defined in 9.3, before using the S-RSRP measurement results;
      - 4> if the S-RSRP of the SyncRef UE identified by the detected SLSS exceeds the minimum requirement defined in TS 36.133 [16]:
        - 5> select the SyncRef UE;
      - 4> else (i.e., no SLSSID=0 detected):
        - 5> select a cell as the synchronization reference source as defined in 5.10.13.3;
  - 2> if *syncFreqList* is included in *RRCConnectionReconfiguration* or in *SystemInformationBlockType26*, and the UE has selected a synchronisation carrier frequency as specified in 5.10.8a:
    - 3> consider the synchronisation reference source (i.e. eNB, GNSS or SyncRef UE) that is selected on the synchronisation carrier frequency as the synchronization reference;
- 1> else, if triggered by V2X sidelink communication, and out of coverage on the frequency for V2X sidelink communication, and for the frequency used for V2X sidelink communication, if *syncPriority* in *SL-V2X-Preconfiguration* is set to *gnss* and GNSS is reliable in accordance with TS 36.101 [42] and TS 36.133 [16]:
  - 2> select GNSS as the synchronization reference source;
- 1> else, for the frequency used for sidelink communication, V2X sidelink communication or sidelink discovery, if out of coverage on that frequency as defined in TS 36.304 [4], clause 11.4:
  - 2> if triggered by sidelink communication or sidelink discovery; or
  - 2> if triggered by V2X sidelink communication, and syncFreqList is not included in SL-V2X-Preconfiguration; or
  - 2> if triggered by V2X sidelink communication, and *syncFreqList* is included in *SL-V2X-Preconfiguration*, and none of the frequency(ies) selected as specified in TS 36.321 [6] is included in the *syncFreqList*; or
  - 2> if triggered by V2X sidelink communication, and *syncFreqList* is included in *SL-V2X-Preconfiguration*, and no synchronisation carrier frequency is selected as specified in 5.10.8a:

- 3> perform a full search (i.e. covering all subframes and all possible SLSSIDs) to detect candidate SLSS, in accordance with TS 36.133 [16]
- 3> when evaluating the one or more detected SLSSIDs, apply layer 3 filtering as specified in 5.5.3.2 using the preconfigured *filterCoefficient* as defined in 9.3, before using the S-RSRP measurement results;
- 3> if the UE has selected a SyncRef UE:
  - 4> if the S-RSRP of the strongest candidate SyncRef UE exceeds the minimum requirement TS 36.133 [16] by *syncRefMinHyst* and the strongest candidate SyncRef UE belongs to the same priority group as the current SyncRef UE and the S-RSRP of the strongest candidate SyncRef UE exceeds the S-RSRP of the current SyncRef UE by *syncRefDiffHyst*; or
  - 4> if the S-RSRP of the candidate SyncRef UE exceeds the minimum requirement TS 36.133 [16] by *syncRefMinHyst* and the candidate SyncRef UE belongs to a higher priority group than the current SyncRef UE; or
  - 4> if GNSS becomes reliable in accordance with TS 36.101 [42] and TS 36.133 [16], and GNSS belongs to a higher priority group than the current SyncRef UE; or
  - 4> if the S-RSRP of the current SyncRef UE is less than the minimum requirement defined in TS 36.133 [16]:
    - 5> consider no SyncRef UE to be selected;
- 3> if the UE has selected GNSS as the synchronization reference for V2X sidelink communication:
  - 4> if the S-RSRP of the candidate SyncRef UE exceeds the minimum requirement defined in TS 36.133 [16] by *syncRefMinHyst* and the candidate SyncRef UE belongs to a higher priority group than GNSS; or
  - 4> if GNSS becomes not reliable in accordance with TS 36.101 [42] and TS 36.133 [16]:
    - 5> consider GNSS not to be selected;
- 3> if the UE has not selected a SyncRef UE and has not selected GNSS as synchronization reference source:
  - 4> if not concerning V2X sidelink communication, and if the UE detects one or more SLSSIDs for which the S-RSRP exceeds the minimum requirement defined in TS 36.133 [16] by *syncRefMinHyst* and for which the UE received the corresponding *MasterInformationBlock-SL* message (candidate SyncRef UEs), select a SyncRef UE according to the following priority group order:
    - 5> UEs of which *inCoverage*, included in the *MasterInformationBlock-SL* message received from this UE, is set to *TRUE*, starting with the UE with the highest S-RSRP result (priority group 1);
    - 5> UEs of which SLSSID is part of the set defined for in coverage, starting with the UE with the highest S-RSRP result (priority group 2);
    - 5> Other UEs, starting with the UE with the highest S-RSRP result (priority group 3);
  - 4> for V2X sidelink communication, if the UE detects one or more SLSSIDs for which the S-RSRP exceeds the minimum requirement defined in TS 36.133 [16] by *syncRefMinHyst* and for which the UE received the corresponding *MasterInformationBlock-SL-V2X* message (candidate SyncRef UEs), or if the UE detects GNSS that is reliable in accordance with TS 36.101 [42] and TS 36.133 [16], select a synchronization reference according to the following priority group order:
    - 5> if *syncPriority* corresponding to the concerned frequency in *SL-V2X-Preconfiguration* is set to *enb*:
      - 6> UEs of which SLSSID is part of the set defined for in coverage, and *inCoverage*, included in the *MasterInformationBlock-SL-V2X* message received from this UE, is set to *TRUE*, starting with the UE with the highest S-RSRP result (priority group 1);
      - 6> UE of which SLSSID is part of the set defined for in coverage, and *inCoverage*, included in the *MasterInformationBlock-SL-V2X* message received from this UE, is set to *FALSE*, starting with the UE with the highest S-RSRP result (priority group 2);

- 6> GNSS that is reliable in accordance with TS 36.101 [42] and TS 36.133 [16] (priority group 3);
- 6> UEs of which SLSSID is 0, and *inCoverage*, included in the *MasterInformationBlock-SL-V2X* message received from this UE, is set to *TRUE*, or of which SLSSID is 0 and SLSS is transmitted on subframes indicated by *syncOffsetIndicator3*, starting with the UE with the highest S-RSRP result (priority group 4);
- 6> UEs of which SLSSID is 0 and is not transmitted on subframes indicated by *syncOffsetIndicator3*, and *inCoverage*, included in the *MasterInformationBlock-SL-V2X* message received from this UE, is set to *FALSE*, starting with the UE with the highest S-RSRP result (priority group 5);
- 6> UEs of which SLSSID is 169, and *inCoverage*, included in the *MasterInformationBlock-SL-V2X* message received from this UE, is set to *FALSE*, starting with the UE with the highest S-RSRP result (priority group 5);
- 6> Other UEs, starting with the UE with the highest S-RSRP result (priority group 6);
- 5> if *syncPriority* corresponding to the concerned frequency in *SL-V2X-Preconfiguration* is set to *gnss*:
  - 6> GNSS that is reliable in accordance with TS 36.101 [42] and TS 36.133 [16] (priority group 1);
  - 6> UEs of which SLSSID is part of the set defined for in coverage, and *inCoverage*, included in the *MasterInformationBlock-SL-V2X* message received from this UE, is set to *TRUE*, starting with the UE with the highest S-RSRP result (priority group 2);
  - 6> UEs of which SLSSID is 0, and *inCoverage*, included in the *MasterInformationBlock-SL-V2X* message received from this UE, is set to *TRUE*, or of which SLSSID is 0 and SLSS is transmitted on subframes indicated by *syncOffsetIndicator3*, starting with the UE with the highest S-RSRP result (priority group 2);
  - 6> UE of which SLSSID is part of the set defined for in coverage, and *inCoverage*, included in the *MasterInformationBlock-SL-V2X* message received from this UE, is set to *FALSE*, starting with the UE with the highest S-RSRP result (priority group 3);
  - 6> UEs of which SLSSID is 0 and is not transmitted on subframes indicated by *syncOffsetIndicator3*, and *inCoverage*, included in the *MasterInformationBlock-SL-V2X* message received from this UE, is set to *FALSE*, starting with the UE with the highest S-RSRP result (priority group 3);
  - 6> UEs of which SLSSID is 169, and *inCoverage*, included in the *MasterInformationBlock-SL-V2X* message received from this UE, is set to *FALSE*, starting with the UE with the highest S-RSRP result (priority group 3);
  - 6> Other UEs, starting with the UE with the highest S-RSRP result (priority group 4);
- 2> if triggered by V2X sidelink communication, and *syncFreqList* is included in *SL-V2X-Preconfiguration*, and the UE has selected a synchronisation carrier frequency as specified in 5.10.8a;
  - 3> consider the synchronization reference source (i.e. eNB, GNSS or SyncRef UE) that selected on the synchronization carrier frequency as the synchronization reference;

# 5.10.8a Selection and reselection of synchronisation carrier frequency

For the frequency(ies) which are in coverage for the UE as defined in TS 36.304 [4], clause 11.4 and which have been selected for V2X sidelink communication as specified in TS 36.321 [6], and/or for the frequency(ies) which are out of coverage for the UE and included in *v2x-InterFreqInfoList* within *RRCConnectionReconfiguration* or *SystemInformationBlockType21* or *SystemInformationBlockType26* of the serving cell/ PCell and which have been selected for V2X sidelink communication as specified in TS 36.321 [6], the UE capable of V2X sidelink communication and synchronisation carrier frequency selection shall:

1> if *syncFreqList* is included in *RRCConnectionReconfiguration* or in *SystemInformationBlockType26*, and includes at least one of the concerned frequency(ies):

- 2> if no synchronisation carrier frequency is selected:
  - 3> if typeTxSync is configured for the concerned frequency(ies) and set to enb; or
  - 3> if *typeTxSync* for the concerned frequency(ies) is not configured or is set to *gnss*, and GNSS is reliable in accordance with TS 36.101 [42] and TS 36.133 [16]:
    - 4> select one frequency from the concerned frequency(ies) which are included in *syncFreqList* as the synchronisation carrier frequency.
  - 3> else (i.e., there is no GNSS which is reliable in accordance with TS 36.101 [42] and TS 36.133 [16]):
    - 4> select the synchronisation reference source(s) on the concerned frequency(ies) which are included in *syncFreqList* according to 5.10.8.2:
    - 4> if SyncRef UE(s) with SLSSID=0 is detected on at least one frequency from the concerned frequency(ies):
      - 5> select one frequency from the concerned frequency(ies) with the SyncRef UE(s) with SLSSID=0 detected as the synchronisation carrier frequency;
    - 4> else (i.e., no SLSSID=0 detected and UE selects a cell as the synchronisation reference source):
      - 5> select one frequency from the concerned frequencies which are included in *syncFreqList* as the synchronisation carrier frequency;
- 2> else (i.e. the synchronisation carrier frequency is selected):
  - 3> if the UE selects GNSS as the synchronisation reference source, and GNSS is unreliable in accordance with TS 36.101 [42] and TS 36.133 [16]; or
  - 3> if the UE selects a cell as the synchronisation reference source, and the cell cannot fulfil the S criterion in accordance with TS 36.304 [4]; or
  - 3> if the UE selects a SyncRef UE and the S-RSRP of the current SyncRef UE is less than the minimum requirement defined in TS 36.133 [16]; or
  - 3> if the synchronisation carrier frequency is not selected for V2X sidelink communication as specified in TS 36.321 [6]:
    - 4> consider no synchronisation carrier frequency is selected;

For the frequency(ies) which are out of coverage for the UE and not included in *v2x-InterFreqInfoList* within *RRCConnectionReconfiguration* nor *SystemInformationBlockType21* nor *SystemInformationBlockType26* of the serving cell/ PCell and which have been selected for V2X sidelink carrier communication as specified in TS 36.321 [6], the UE capable of V2X sidelink communication and selection of synchronisation carrier frequency selection shall:

- 1> if *syncFreqList* is included in *SL-V2X-Preconfiguration*, and at least one of the concerned frequency(ies) is included in *syncFreqList*:
  - 2> if no synchronisation carrier frequency is selected:
    - 3> if *syncPriority* in *SL-V2X-Preconfiguration* is set to gnss and GNSS is reliable in accordance with TS 36.101 [42] and TS 36.133 [16]:
      - 4> select one frequency from the concerned frequency(ies) which are included in *syncFreqList* as the synchronisation carrier frequency.
    - 3> else:
      - 4> select the synchronisation reference source(s) on the concerned frequency(ies) which are included in *SyncFreqList* according to 5.10.8.2;
      - 4> select the frequency with the highest synchronisation reference source priority as the synchronisation carrier frequency, according to the following priority gourp order:

- 5> if *syncPriority* corresponding to the concerned frequency(ies) in *SL-V2X-Preconfiguration* is set to *enb*:
  - 6> the frequency(ies) with SyncRef UE of which SLSSID is part of the set defined for in coverage, and *inCoverage*, included in the *MasterInformationBlock-SL-V2X* message received from this UE, is set to *TRUE* (priority group 1);
  - 6> the frequency(ies) with SyncRef UE of which SLSSID is part of the set defined for in coverage, and *inCoverage*, included in the *MasterInformationBlock-SL-V2X* message received from this UE, is set to *FALSE* (priority group 2);
  - 6> the frequency(ies) using GNSS as synchronisation reference source (priority group 3);
  - 6> the frequency(ies) with SyncRef UE of which SLSSID is 0, and *inCoverage*, included in the *MasterInformationBlock-SL-V2X* message received from this UE, is set to *TRUE*, or of which SLSSID is 0 and SLSS is transmitted on subframes indicated by *syncOffsetIndicator3* (priority group 4);
  - 6> the frequency(ies) with SyncRef UE of which SLSSID is 0 and is not transmitted on subframes indicated by *syncOffsetIndicator3*, and *inCoverage*, included in the *MasterInformationBlock-SL-V2X* message received from this UE, is set to *FALSE* (priority group 5);
  - 6> the frequency(ies) with SyncRef UE of which SLSSID is 169, and *inCoverage*, included in the *MasterInformationBlock-SL-V2X* message received from this UE, is set to *FALSE* (priority group 5);
  - 6> the frequency(ies) with other SyncRef UE (priority group 6);
- 5> if *syncPriority* corresponding to the concerned frequency(ies) in *SL-V2X-Preconfiguration* is set to *gnss*:
  - 6> the frequency(ies) with SyncRef UE of which SLSSID is part of the set defined for in coverage, and *inCoverage*, included in the *MasterInformationBlock-SL-V2X* message received from this UE, is set to *TRUE* (priority group 1);
  - 6> the frequency(ies) with SyncRef UE of which SLSSID is 0, and *inCoverage*, included in the *MasterInformationBlock-SL-V2X* message received from this UE, is set to *TRUE*, or of which SLSSID is 0 and SLSS is transmitted on subframes indicated by *syncOffsetIndicator3* (priority group 1);
  - 6> the frequency(ies) with SyncRef UE of which SLSSID is part of the set defined for in coverage, and *inCoverage*, included in the *MasterInformationBlock-SL-V2X* message received from this UE, is set to *FALSE* (priority group 2);
  - 6> the frequency(ies) with SyncRef UE of which SLSSID is 0 and is not transmitted on subframes indicated by *syncOffsetIndicator3*, and *inCoverage*, included in the *MasterInformationBlock-SL-V2X* message received from this UE, is set to *FALSE* (priority group 2);
  - 6> the frequency(ies) with SyncRef UE of which SLSSID is 169, and *inCoverage*, included in the *MasterInformationBlock-SL-V2X* message received from this UE, is set to *FALSE* (priority group 2);
  - 6> the frequency(ies) with other SyncRef UE (priority group 3);
- 2> else (i.e. the synchronisation carrier frequency is selected):
  - 3> if the UE selects GNSS as the synchronisation reference source, and GNSS is unreliable in accordance with TS 36.101 [42] and TS 36.133 [16]; or
  - 3> if the UE selects a SyncRef UE and the S-RSRP of the current SyncRef UE is less than the minimum requirement defined in TS 36.133 [16]; or
  - 3> if the synchronisation carrier frequency is not selected for V2X sidelink communication as specified in TS 36.321 [6]:
    - 4> consider no synchronisation carrier frequency is selected;

- NOTE 1: If more than one selected carrier frequencies satisfy the condition as the synchronisation carrier frequency for V2X sidelink communication, how to select one synchronisation carrier frequency is up to UE implementation.
- NOTE 2: All concerned carrier frequency(ies) have the same typeTxSync and syncPriority configured.

### 5.10.9 Sidelink common control information

## 5.10.9.1 General

The sidelink common control information is carried by a single message, the *MasterInformationBlock-SL* (MIB-SL) message for sidelink discovery and sidelink communication or the *MasterInformationBlock-SL-V2X* (MIB-SL-V2X) message for V2X sidelink communication. The MIB-SL or MIB-SL-V2X includes timing information as well as some configuration parameters and is transmitted via SL-BCH.

The MIB-SL for sidelink discovery and sidelink communication uses a fixed schedule with a periodicity of 40 ms without repetitions. In particular, the MIB-SL is scheduled in subframes indicated by syncOffsetIndicator-r12 i.e. for which  $(10*DFN + subframe number) \mod 40 = syncOffsetIndicator-r12$ .

The MIB-SL-V2X for V2X sidelink communication uses a fixed schedule with a periodicity of 160 ms without repetitions. In particular, the MIB-SL-V2X is scheduled in subframes indicated by SL-OffsetIndicatorSync i.e. for which (10\*DFN + subframe number) mod 160 = SL-OffsetIndicatorSync.

The sidelink common control information may change at any transmission i.e. neither a modification period nor a change notification mechanism is used.

A UE configured to receive or transmit sidelink communication or PS related sidelink discovery shall:

- 1> if the UE has a selected SyncRef UE, as specified in 5.10.8.2:
  - 2> ensure having a valid version of the *MasterInformationBlock-SL* message of that SyncRefUE;

A UE configured to receive or transmit V2X sidelink communication shall:

- 1> if the UE has a selected SyncRef UE, as specified in 5.10.8.2:
  - 2> ensure having a valid version of the *MasterInformationBlock-SL-V2X* message of that SyncRefUE;

# 5.10.9.2 Actions related to reception of *MasterInformationBlock-SL/MasterInformationBlock-SL-V2X* message

Upon receiving MasterInformationBlock-SL or MasterInformationBlock-SL-V2X, the UE shall:

1> apply the values of *sl-Bandwidth*, *subframeAssignmentSL*, *directFrameNumber* and *directSubframeNumber* included in the received *MasterInformationBlock-SL* or *MasterInformationBlock-SL-V2X* message;

# 5.10.10 Sidelink relay UE operation

#### 5.10.10.1 General

This procedure is used by a UE supporting sidelink relay UE operation and involves evaluation of the AS-layer conditions that need to be met in order for upper layers to configure a sidelink relay UE to receive/ transmit relay related PS sidelink discovery/ relay related sidelink communication. The AS-layer conditions merely comprise of being configured with radio resources that can be used for transmission.

A UE that fulfils the criteria specified in 5.10.10.2 and 5.10.10.3 and that is configured by higher layers accordingly is acting as a sidelink relay UE.

# 5.10.10.2 AS-conditions for relay related sidelink communication transmission by sidelink relay UE

A UE capable of sidelink relay UE operation shall inform upper layers that it is configured with radio resources that can be used for relay related sidelink communication transmission if the following conditions are met:

1> if in RRC\_CONNECTED; and if the UE is configured with *commTxResources*; and the UE is configured with *commTxAllowRelayDedicated* set to *true*;

# 5.10.10.3 AS-conditions for relay PS related sidelink discovery transmission by sidelink relay UE

A UE capable of sidelink relay UE operation shall inform upper layers that it is configured with radio resources that can be used for relay PS related sidelink discovery transmission if the following conditions are met:

- 1> if in RRC\_IDLE; and if the UE's serving cell is suitable as defined in TS 36.304 [4]; and if SystemInformationBlockType19 includes discConfigPS including discTxPoolPS-Common and discConfigRelay; and if the sidelink relay UE threshold conditions as specified in 5.10.10.4 are met;
- 1> else if in RRC\_CONNECTED; and if discTxResourcesPS is configured;

### 5.10.10.4 Sidelink relay UE threshold conditions

A UE capable of sidelink relay UE operation shall:

- 1> if the threshold conditions specified in this clause were not met:
  - 2> if neither threshHigh nor threshLow is included in relayUE-Config within SystemInformationBlockType19:
    - 3> consider the threshold conditions to be met (entry);
  - 2> else if *threshHigh* is not included in *relayUE-Config* within *SystemInformationBlockType19*; or the RSRP measurement of the PCell, or the cell on which the UE camps, is below *threshHigh* by *hystMax* (also included within *relayUE-Config*); and
  - 2> if *threshLow* is not included in *relayUE-Config* within *SystemInformationBlockType19*; or the RSRP measurement of the PCell, or the cell on which the UE camps, is above *threshLow* by *hystMin* (also included within *relayUE-Config*):
    - 3> consider the threshold conditions to be met (entry);

#### 1> else:

- 2> if *threshHigh* is included in *relayUE-Config* within *SystemInformationBlockType19*; and the RSRP measurement of the PCell, or the cell on which the UE camps, is above *threshHigh* (also included within *relayUE-Config*); or
- 2> if *threshLow* is included in *relayUE-Config* within *SystemInformationBlockType19*; and the RSRP measurement of the PCell, or the cell on which the UE camps, is below *threshLow* (also included within *relayUE-Config*);
  - 3> consider the threshold conditions not to be met (leave);

## 5.10.11 Sidelink remote UE operation

## 5.10.11.1 General

This procedure is used by a UE supporting sidelink remote UE operation and involves evaluation of the AS-layer conditions that need to be met in order for upper layers to configure a sidelink remote UE to receive/ transmit relay related sidelink PS discovery/ relay related sidelink communication. The AS-layer conditions merely comprise of being configured with radio resources that can be used for transmission, as well as whether or not having a selected sidelink relay UE.

# 5.10.11.2 AS-conditions for relay related sidelink communication transmission by sidelink remote UE

A UE capable of sidelink remote UE operation shall inform upper layers whether it is configured with radio resources that can be used for relay related sidelink communication transmission if the following conditions are met:

- 1> if the UE is out of coverage; and is preconfigured with *SL-Preconfiguration* including *discTxPoolList* and *preconfigRelay*;
- 1> else if in RRC\_IDLE; and if the UE's serving cell is suitable as defined in TS 36.304 [4]; and if SystemInformationBlockType18 includes commTxPoolNormalCommon and commTxAllowRelayCommon; and if SystemInformationBlockType19 includes discConfigRelay; and if the sidelink remote UE threshold conditions as specified in 5.10.11.5 are met;
- 1> else if in RRC\_CONNECTED; and if the UE is configured with *commTxResources*; and the UE is configured with *commTxAllowRelayDedicated* set to *true*;

# 5.10.11.3 AS-conditions for relay PS related sidelink discovery transmission by sidelink remote UE

A UE capable of sidelink remote UE operation shall inform upper layers whether it is configured with radio resources that can be used for relay PS related sidelink discovery transmission if the following conditions are met:

- 1> if the UE is out of coverage; and is preconfigured with *SL-Preconfiguration* including *discTxPoolList* and *preconfigRelay*;
- 1> else if in RRC\_IDLE; and if the UE's serving cell is suitable as defined in TS 36.304 [4]; and if SystemInformationBlockType19 includes discConfigPS including discTxPoolPS-Common and discConfigRelay; and if the sidelink remote UE threshold conditions as specified in 5.10.11.5 are met;
- 1> else if in RRC\_CONNECTED; and if *discTxResourcesPS* is configured;

# 5.10.11.4 Selection and reselection of sidelink relay UE

A UE capable of sidelink remote UE operation that is configured by upper layers to search for a sidelink relay UE shall:

- 1> if out of coverage on the frequency used for sidelink communication, as defined in TS 36.304 [4], clause 11.4; or
- 1> if the serving frequency is used for sidelink communication and the RSRP measurement of the cell on which the UE camps (RRC IDLE)/ the PCell (RRC CONNECTED) is below *threshHigh* within *remoteUE-Config*:
  - 2> search for candidate sidelink relay UEs, in accordance with TS 36.133 [16]
  - 2> when evaluating the one or more detected sidelink relay UEs, apply layer 3 filtering as specified in 5.5.3.2 across measurements that concern the same ProSe Relay UE ID and using the *filterCoefficient* in *SystemInformationBlockType19* (in coverage) or the preconfigured *filterCoefficient* as defined in 9.3(out of coverage), before using the SD-RSRP measurement results;
- NOTE 1: The details of the interaction with upper layers are up to UE implementation.
  - 2> if the UE does not have a selected sidelink relay UE:
    - 3> select a candidate sidelink relay UE which SD-RSRP exceeds *q-RxLevMin* included in either *reselectionInfoIC* (in coverage) or *reselectionInfoOoC* (out of coverage) by *minHyst*;
  - 2> else if SD-RSRP of the currently selected sidelink relay UE is below *q-RxLevMin* included in either *reselectionInfoIC* (in coverage) or *reselectionInfoOoC* (out of coverage); or if upper layers indicate not to use the currently selected sidelink relay: (i.e. sidelink relay UE reselection):
    - 3> select a candidate sidelink relay UE which SD-RSRP exceeds *q-RxLevMin* included in either *reselectionInfoIC* (in coverage) or *reselectionInfoOoC* (out of coverage) by *minHyst*;
  - 2> else if the UE did not detect any candidate sidelink relay UE which SD-RSRP exceeds *q-RxLevMin* included in either *reselectionInfoIC* (in coverage) or *reselectionInfoOoC* (out of coverage) by *minHyst*:

- 3> consider no sidelink relay UE to be selected;
- NOTE 2: The UE may perform sidelink relay UE reselection in a manner resulting in selection of the sidelink relay UE, amongst all candidate sidelink relay UEs meeting higher layer criteria, that has the best radio link quality. Further details, including interaction with upper layers, are up to UE implementation.

#### 5.10.11.5 Sidelink remote UE threshold conditions

A UE capable of sidelink remote UE operation shall:

- 1> if the threshold conditions specified in this clause were not met:
  - 2> if threshHigh is not included in remoteUE-Config within SystemInformationBlockType19; or
  - 2> if *threshHigh* is included in *remoteUE-Config* within *SystemInformationBlockType19*; and the RSRP measurement of the PCell, or the cell on which the UE camps, is below *threshHigh* by *hystMax* (also included within *remoteUE-Config*):
    - 3> consider the threshold conditions to be met (entry);

#### 1> else:

- 2> if *threshHigh* is included in *remoteUE-Config* within *SystemInformationBlockType19*; and the RSRP measurement of the PCell, or the cell on which the UE camps, is above *threshHigh* (also included within *remoteUE-Config*):
  - 3> consider the threshold conditions not to be met (leave);

# 5.10.12 V2X sidelink communication monitoring

A UE capable of V2X sidelink communication that is configured by upper layers to receive V2X sidelink communication shall:

- 1> if the conditions for sidelink operation as defined in 5.10.1d are met:
  - 2> if in coverage on the frequency used for V2X sidelink communication, as defined in TS 36.304 [4], clause 11.4, or TS 38.304 [92], clause 8.1:
    - 3> if the frequency used to receive V2X sidelink communication is included in v2x-InterFreqInfoList within RRCConnectionReconfiguration or in v2x-InterFreqInfoList within SystemInformationBlockType21 or SystemInformationBlockType26 of the serving cell/Pcell, and v2x-CommRxPool is included in SL-V2X-InterFreqUE-Config within v2x-UE-ConfigList in the entry of v2x-InterFreqInfoList for the concerned frequency:
      - 4> configure lower layers to monitor sidelink control information and the corresponding data using the pool of resources indicated in *v2x-CommRxPool*;

#### 3> else:

- 4> if the cell chosen for V2X sidelink communication reception broadcasts SystemInformationBlockType21 including v2x-CommRxPool in sl-V2X-ConfigCommon or,
- 4> if the UE is configured with *v2x-CommRxPool* included in *mobilityControlInfoV2X* in *RRCConnectionReconfiguration*:
  - 5> configure lower layers to monitor sidelink control information and the corresponding data using the pool of resources indicated in *v2x-CommRxPool*;
- 2> else (i.e. out of coverage on the frequency used for V2X sidelink communication, as defined in TS 36.304 [4], clause 11.4 and TS 38.304 [92], clause 8.1):
  - 3> if the frequency used to receive V2X sidelink communication is included in v2x-InterFreqInfoList within RRCConnectionReconfiguration or in v2x-InterFreqInfoList within SystemInformationBlockType21 or SystemInformationBlockType26 of the serving cell/PCell, and v2x-CommRxPool is included in SL-V2X-

*InterFreqUE-Config* within *v2x-UE-ConfigList* in the entry of *v2x-InterFreqInfoList* for the concerned frequency:

4> configure lower layers to monitor sidelink control information and the corresponding data using the pool of resources indicated in *v2x-CommRxPool*;

#### 3> else:

4> configure lower layers to monitor sidelink control information and the corresponding data using the pool of resources that were preconfigured (i.e. *v2x-CommRxPoolList* in *SL-V2X-Preconfiguration* defined in 9.3);

#### 5.10.13 V2X sidelink communication transmission

#### 5.10.13.1 Transmission of V2X sidelink communication

A UE capable of V2X sidelink communication that is configured by upper layers to transmit V2X sidelink communication and has related data to be transmitted shall:

- 1> if the conditions for sidelink operation as defined in 5.10.1d are met:
  - 2> if in coverage on the frequency used for V2X sidelink communication as defined in TS 36.304 [4], clause 11.4, or TS 38.304 [92], clause 8.1; or
  - 2> if the frequency used to transmit V2X sidelink communication is included in v2x-InterFreqInfoList in RRCConnectionReconfiguration or in v2x-InterFreqInfoList within SystemInformationBlockType21 or SystemInformationBlockType26:
    - 3> if the UE is in RRC\_CONNECTED and uses the PCell or the frequency included in *v2x-InterFreqInfoList* in *RRCConnectionReconfiguration* for V2X sidelink communication:
      - 4> if the UE is configured, by the current PCell with commTxResources set to scheduled:
        - 5> if T310 or T311 is running; and if the PCell at which the UE detected physical layer problems or radio link failure broadcasts *SystemInformationBlockType21* including *v2x-CommTxPoolExceptional* in *sl-V2X-ConfigCommon*, or *v2x-CommTxPoolExceptional* is included in *v2x-InterFreqInfoList* for the concerned frequency in *SystemInformationBlockType21* or *SystemInformationBlockType26* or *RRCConnectionReconfiguration*; or
        - 5> if T301 is running and the cell on which the UE initiated connection re-establishment broadcasts SystemInformationBlockType21 including v2x-CommTxPoolExceptional in sl-V2X-ConfigCommon, or v2x-CommTxPoolExceptional is included in v2x-InterFreqInfoList for the concerned frequency in SystemInformationBlockType21 or SystemInformationBlockType26; or
        - 5> if T304 is running and the UE is configured with v2x-CommTxPoolExceptional included in mobilityControlInfoV2X in RRCConnectionReconfiguration or in v2x-InterFreqInfoList for the concerned frequency in RRCConnectionReconfiguration:
          - 6> configure lower layers to transmit the sidelink control information and the corresponding data based on random selection using the pool of resources indicated by *v2x-CommTxPoolExceptional* as defined in TS 36.321 [6];

#### 5> else:

- 6> configure lower layers to request E-UTRAN to assign transmission resources for V2X sidelink communication;
- 4> else if the UE is configured with v2x-CommTxPoolNormalDedicated or v2x-CommTxPoolNormal or p2x-CommTxPoolNormal in the entry of v2x-InterFreqInfoList for the concerned frequency in sl-V2X-ConfigDedicated in RRCConnectionReconfiguration:
  - 5> if the UE is configured to transmit non-P2X related V2X sidelink communication and a result of sensing on the resources configured in *v2x-CommTxPoolNormalDedicated* or *v2x-*

- CommTxPoolNormal in the entry of v2x-InterFreqInfoList for the concerned frequency in RRCConnectionReconfiguration is not available in accordance with TS 36.213 [23]; or
- 5> if the UE is configured to transmit P2X related V2X sidelink communication and selects to use partial sensing according to 5.10.13.1a, and a result of partial sensing on the resources configured in v2x-CommTxPoolNormalDedicated or p2x-CommTxPoolNormal in the entry of v2x-InterFreqInfoList for the concerned frequency in RRCConnectionReconfiguration is not available in accordance with TS 36.213 [23]:
  - 6> if *v2x-CommTxPoolExceptional* is included in *mobilityControlInfoV2X* in *RRCConnectionReconfiguration* (i.e., handover case); or
  - 6> if v2x-CommTxPoolExceptional is included in the entry of v2x-InterFreqInfoList for the concerned frequency in RRCConnectionReconfiguration; or
  - 6> if the PCell broadcasts SystemInformationBlockType21 including v2x-CommTxPoolExceptional in sl-V2X-ConfigCommon or v2x-CommTxPoolExceptional in v2x-InterFreqInfoList for the concerned frequency or broadcasts SystemInformationBlockType26 including v2x-CommTxPoolExceptional in v2x-InterFreqInfoList for the concerned frequency:
    - 7> configure lower layers to transmit the sidelink control information and the corresponding data based on random selection using the pool of resources indicated by v2x-CommTxPoolExceptional as defined in TS 36.321 [6];
- 5> else if the UE is configured to transmit P2X related V2X sidelink communication:
  - 6> select a resource pool according to 5.10.13.2;
  - 6> perform P2X related V2X sidelink communication according to 5.10.13.1a;
- 5> else if the UE is configured to transmit non-P2X related V2X sidelink communication:
  - 6> configure lower layers to transmit the sidelink control information and the corresponding data based on sensing (as defined in TS 36.321 [6] and TS 36.213 [23]) using one of the resource pools indicated by v2x-commTxPoolNormalDedicated or v2x-CommTxPoolNormal in the entry of v2x-InterFreqInfoList for the concerned frequency, which is selected according to 5.10.13.2;

#### 3> else:

- 4> if the cell chosen for V2X sidelink communication transmission broadcasts SystemInformationBlockType21 or SystemInformationBlockType26:
  - 5> if the UE is configured to transmit non-P2X related V2X sidelink communication, and if SystemInformationBlockType21 includes v2x-CommTxPoolNormalCommon or v2x-CommTxPoolNormal in v2x-InterFreqInfoList for the concerned frequency, or SystemInformationBlockType26 includes v2x-CommTxPoolNormal in v2x-InterFreqInfoList for the concerned frequency, and if a result of sensing on the resources configured in v2x-CommTxPoolNormalCommon or v2x-CommTxPoolNormal in v2x-InterFreqInfoList for the concerned frequency is available in accordance with TS 36.213 [23]:
    - 6> configure lower layers to transmit the sidelink control information and the corresponding data based on sensing (as defined in TS 36.321 [6] and TS 36.213 [23]) using one of the resource pools indicated by v2x-CommTxPoolNormalCommon or v2x-CommTxPoolNormal in v2x-InterFreqInfoList for the concerned frequency, which is selected according to 5.10.13.2;
  - 5> else if the UE is configured to transmit P2X related V2X sidelink communication, and if SystemInformationBlockType21 includes p2x-CommTxPoolNormalCommon or p2x-CommTxPoolNormal in v2x-InterFreqInfoList for the concerned frequency, or SystemInformationBlockType26 includes p2x-CommTxPoolNormal in v2x-InterFreqInfoList for the concerned frequency, and if the UE selects to use random selection according to 5.10.13.1a, or selects to use partial sensing according to 5.10.13.1a and a result of partial sensing on the resources configured in p2x-CommTxPoolNormalCommon or p2x-CommTxPoolNormal in v2x-InterFreqInfoList for the concerned frequency is available in accordance with TS 36.213 [23]:

- 6> select a resource pool from p2x-CommTxPoolNormalCommon or p2x-CommTxPoolNormal in v2x-InterFreqInfoList for the concerned frequency according to 5.10.13.2, but ignoring zoneConfig in SystemInformationBlockType21 or SystemInformationBlockType26;
- 6> perform P2X related V2X sidelink communication according to 5.10.13.1a;
- 5> else if SystemInformationBlockType21 includes v2x-CommTxPoolExceptional in sl-V2X-ConfigCommon or v2x-CommTxPoolExceptional in v2x-InterFreqInfoList for the concerned frequency, or SystemInformationBlockType26 includes v2x-CommTxPoolExceptional in v2x-InterFreqInfoList for the concerned frequency:
  - 6> from the moment the UE initiates connection establishment until receiving an RRCConnectionReconfiguration including sl-V2X-ConfigDedicated, or until receiving an RRCConnectionRelease or an RRCConnectionReject; or
  - 6> if the UE is in RRC\_IDLE and a result of sensing on the resources configured in v2x-CommTxPoolNormalCommon or v2x-CommTxPoolNormal in v2x-InterFreqInfoList for the concerned frequency in Systeminformationblocktype21 or v2x-CommTxPoolNormal in v2x-InterFreqInfoList for the concerned frequency in Systeminformationblocktype26 is not available in accordance with TS 36.213 [23]; or
  - 6> if the UE is in RRC\_IDLE and UE selects to use partial sensing according to 5.10.13.1a and a result of partial sensing on the resources configured in *p2x-CommTxPoolNormalCommon* or *p2x-CommTxPoolNormal* in *v2x-InterFreqInfoList* for the concerned frequency in *Systeminformationblocktype21* or *v2x-CommTxPoolNormal* in *v2x-InterFreqInfoList* for the concerned frequency in *Systeminformationblocktype26* is not available in accordance with TS 36.213 [23]:
    - 7> configure lower layers to transmit the sidelink control information and the corresponding data based on random selection (as defined in TS 36.321 [6]) using the pool of resources indicated in *v2x-CommTxPoolExceptional*;

#### 2> else:

3> configure lower layers to transmit the sidelink control information and the corresponding data based on sensing (as defined in TS 36.321 [6] and TS 36.213 [23]) using one of the resource pools indicated by v2x-CommTxPoolList in SL-V2X-Preconfiguration in case of non-P2X related V2X sidelink communication, which is selected according to 5.10.13.2, or using one of the resource pools indicated by p2x-CommTxPoolList in SL-V2X-Preconfiguration in case of P2X related V2X sidelink communication, which is selected according to 5.10.13.2, and in accordance with the timing of the selected reference as defined in 5.10.8;

The UE capable of non-P2X related V2X sidelink communication that is configured by upper layers to transmit V2X sidelink communication shall perform sensing on all pools of resources which may be used for transmission of the sidelink control information and the corresponding data. The pools of resources are indicated by SL-V2X-Preconfiguration, v2x-CommTxPoolNormalCommon, v2x-CommTxPoolNormalDedicated in sl-V2X-ConfigDedicated, or v2x-CommTxPoolNormal in v2x-InterFreqInfoList for the concerned frequency, as configured above.

# 5.10.13.1a Transmission of P2X related V2X sidelink communication

A UE configured to transmit P2X related V2X sidelink communication shall:

- 1> if partialSensing is included and randomSelection is not included in resourceSelectionConfigP2X of the pool selected; or
- 1> if both *partialSensing* and *randomSelection* are included in *resourceSelectionConfigP2X* of the pool selected, and the UE selects to use partial sensing:
  - 2> configure lower layers to transmit the sidelink control information and the corresponding data based on partial sensing (as defined in TS 36.321 [6] and TS 36.213 [23]) using the selected resource pool, if the UE supports partial sensing;
- 1> if *partialSensing* is not included and *randomSelection* is included in *resourceSelectionConfigP2X* of the pool selected.

- 2> configure lower layers to transmit the sidelink control information and the corresponding data based on random selection (as defined in TS 36.321 [6] and TS 36.213 [23]) using the selected resource pool;
- 1> if both *partialSensing* and *randomSelection* is included in *resourceSelectionConfigP2X* of the pool selected, and the UE selects to use random selection:
  - 2> configure lower layers to transmit the sidelink control information and the corresponding data based on random selection using the selected resource pool and indicates to lower layers that transmissions of multiple MAC PDUs are allowed (as defined in TS 36.321 [6] and TS 36.213 [23]).

NOTE: If both *partialSensing* and *randomSelection* is included in *resourceSelectionConfigP2X* of the pool selected, the selection between partial sensing and random selection is left to UE implementation.

# 5.10.13.2 V2X sidelink communication transmission pool selection

For a frequency used for V2X sidelink communication, if *zoneConfig* is not ignored as specified in 5.10.13.1, the UE configured by upper layers for V2X sidelink communication shall only use the pool which corresponds to geographical coordinates of the UE, if *zoneConfig* is included in *SystemInformationBlockType21* or *SystemInformationBlockType26* of the serving cell (RRC\_IDLE)/ PCell (RRC\_CONNECTED) or in *RRCConnectionReconfiguration* for the concerned frequency, and the UE is configured to use resource pools provided by RRC signalling for the concerned frequency; or if *zoneConfig* is included in *SL-V2X-Preconfiguration* for the concerned frequency, and the UE is configured to use resource pools in *SL-V2X-Preconfiguration* for the frequency, according to 5.10.13.1. The UE shall only use the pool which is associated with the synchronization reference source selected in accordance with 5.10.8.2.

- 1> if the UE is configured to transmit on p2x-CommTxPoolNormalCommon or on p2x-CommTxPoolNormal in v2x-InterFreqInfoList in SystemInformationBlockType21 or on p2x-CommTxPoolNormal in v2x-InterFreqInfoList in SystemInformationBlockType26 according to 5.10.13.1; or
- 1> if the UE is configured to transmit on *p2x-CommTxPoolList-r14* in *SL-V2X-Preconfiguration* according to 5.10.13.1; or
- 1> if zoneConfig is not included in SystemInformationBlockType21 and the UE is configured to transmit on v2x-CommTxPoolNormalCommon or v2x-CommTxPoolNormalDedicated; or
- 1> if zoneConfig is included in SystemInformationBlockType21 and the UE is configured to transmit on v2x-CommTxPoolNormalDedicated for P2X related V2X sidelink communication and zoneID is not included in v2x-CommTxPoolNormalDedicated; or
- 1> if zoneConfig is not included in the entry of v2x-InterFreqInfoList for the concerned frequency and the UE is configured to transmit on v2x-CommTxPoolNormal in v2x-InterFreqInfoList or p2x-CommTxPoolNormal in v2x-InterFreqInfoList in RRCConnectionReconfiguration; or
- 1> if *zoneConfig* is included in the entry of *v2x-InterFreqInfoList* for the concerned frequency and the UE is configured to transmit on *p2x-CommTxPoolNormal* in *v2x-InterFreqInfoList* in *RRCConnectionReconfiguration* and *zoneID* is not included in *p2x-CommTxPoolNormal*; or
- 1> if *zoneConfig* is not included in *SL-V2X-Preconfiguration* for the concerned frequency and the UE is configured to transmit on *v2x-CommTxPoolList* in *SL-V2X-Preconfiguration* for the concerned frequency:
  - 2> select a pool associated with the synchronization reference source selected in accordance with 5.10.8.2;
- NOTE 0: If multiple pools are associated with the selected synchronization reference source, it is up to UE implementation which resource pool is selected for V2X sidelink communication transmission.
- 1> if zoneConfig is included in SystemInformationBlockType21 and the UE is configured to transmit on v2x-CommTxPoolNormalCommon or v2x-CommTxPoolNormalDedicated for non-P2X related V2X sidelink communication; or
- 1> if zoneConfig is included in SystemInformationBlockType21 and the UE is configured to transmit on v2x-CommTxPoolNormalDedicated for P2X related V2X sidelink communication and zoneID is included in v2x-CommTxPoolNormalDedicated; or
- 1> if zoneConfig is included in the entry of v2x-InterFreqInfoList for the concerned frequency and if the UE is configured to transmit on v2x-CommTxPoolNormal in v2x-InterFreqInfoList or is configured to transmit on p2x-

CommTxPoolNormal in v2x-InterFreqInfoList in RRCConnectionReconfiguration and zoneID is included in p2x-CommTxPoolNormal; or

- 1> if *zoneConfig* is included in *SL-V2X-Preconfiguration* for the concerned frequency and the UE is configured to transmit on *v2x-CommTxPoolList* in *SL-V2X-Preconfiguration* for the concerned frequency:
  - 2> select the pool configured with *zoneID* equal to the zone identity determined below and associated with the synchronization reference source selected in accordance with 5.10.8.2;

The UE shall determine an identity of the zone (i.e. Zone\_id) in which it is located using the following formulae, if zoneConfig is included in SystemInformationBlockType21 or SystemInformationBlockType26 or in SL-V2X-Preconfiguration:

$$x_1$$
= FLOOR  $(x / L)$  Mod  $Nx$ ;  
 $y_1$ = FLOOR  $(y / W)$  Mod  $Ny$ ;  
Zone\_id =  $y_1 * Nx + x_1$ .

The parameters in the formulae are defined as follows:

*L* is the value of *zoneLength* included in *zoneConfig* in *SystemInformationBlockType21* or *SystemInformationBlockType26* or in *SL-V2X-Preconfiguration*;

W is the value of zoneWidth included in zoneConfig in SystemInformationBlockType21 or SystemInformationBlockType26 or in SL-V2X-Preconfiguration;

*Nx* is the value of *zoneIdLongiMod* included in *zoneConfig* in *SystemInformationBlockType21* or *SystemInformationBlockType26* or in *SL-V2X-Preconfiguration*;

*Ny* is the value of *zoneIdLatiMod* included in *zoneConfig* in *SystemInformationBlockType21* or *SystemInformationBlockType26* or in *SL-V2X-Preconfiguration*;

x is the geodesic distance in longitude between UE's current location and geographical coordinates (0, 0) according to WGS84 model [80] and it is expressed in meters;

y is the geodesic distance in latitude between UE's current location and geographical coordinates (0, 0) according to WGS84 model [80] and it is expressed in meters.

The UE shall select a pool of resources which includes a *zoneID* equals to the Zone\_id calculated according to above mentioned formulae and indicated by *v2x-CommTxPoolNormalDedicated*, *v2x-CommTxPoolNormalCommon*, *v2x-CommTxPoolNormal* in *v2x-InterFreqInfoList* or *p2x-CommTxPoolNormal* in *v2x-InterFreqInfoList* in *RRCConnectionReconfiguration*, or *v2x-CommTxPoolList* according to 5.10.13.1.

- NOTE 1: The UE uses its latest geographical coordinates to perform resource pool selection.
- NOTE 2: If geographical coordinates are not available and zone specific TX resource pools are configured for the concerned frequency, it is up to UE implementation which resource pool is selected for V2X sidelink communication transmission.

#### 5.10.13.3 V2X sidelink communication transmission reference cell selection

A UE capable of V2X sidelink communication that is configured by upper layers to transmit V2X sidelink communication shall:

- 1> for each frequency used to transmit V2X sidelink communication, select a cell to be used as reference for synchronisation and DL measurements in accordance with the following:
  - 2> if the frequency concerns the primary frequency:
    - 3> use the PCell (RRC\_CONNECTED) or the serving cell (RRC\_IDLE) as reference;
  - 2> else if the frequency concerns a secondary frequency:
    - 3> use the concerned SCell as reference;

2> else if the UE is in coverage of the concerned frequency:

3> use the DL frequency paired with the one used to transmit V2X sidelink communication as reference;

2> else (i.e., out of coverage on the concerned frequency):

3> use the PCell (RRC\_CONNECTED) or the serving cell (RRC\_IDLE) as reference, if needed;

# 5.10.14 DFN derivation from GNSS

When the UE selects GNSS as the synchronization reference source, the DFN used for V2X sidelink communication is derived from the current UTC time, by the following formulae:

DFN= FLOOR (0.1\*(Tcurrent -Tref-offsetDFN)) mod 1024

SubframeNumber= FLOOR (Tcurrent -Tref-offsetDFN) mod 10

Where:

**Tcurrent** is the current UTC time that obtained from GNSS. This value is expressed in milliseconds;

*Tref* is the reference UTC time 00:00:00 on Gregorian calendar date 1 January, 1900 (midnight between Thursday, December 31, 1899 and Friday, January 1, 1900). This value is expressed in milliseconds;

OffsetDFN is the value offsetDFN if configured, otherwise it is zero. This value is expressed in milliseconds.

NOTE: In case of leap second change event, how V2X UE obtains the scheduled time of leap second change to adjust *Tcurrent* correspondingly is left to UE implementation. How V2X UE handles the sudden discontinuity of DFN is left to UE implementation.

# 5.10.15 Void

# 5.10.16 Sidelink synchronisation information transmission for NR sidelink communication

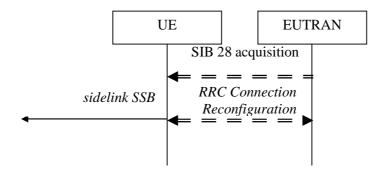


Figure 5.10.16-1: Synchronisation information transmission for NR sidelink communication, in (partial) coverage

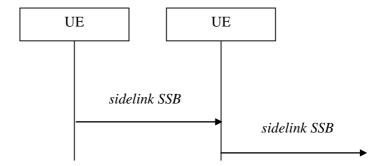


Figure 5.10.16-2: Synchronisation information transmission for NR sidelink communication, out of coverage

The purpose of this procedure is to provide synchronisation information to a UE.

The initiation and the procedure for the transmission of sidelink SSB follow the procedure specified for NR sidelink communication in clause 5.8.5 of TS 38.331 [82].

NOTE: When applying the procedure in this clause, *SystemInformationBlockType28* in Figure 5.10.16-1 corresponds to *SIB12* specified in TS 38.331 [82].

# 6 Protocol data units, formats and parameters (tabular & ASN.1)

# 6.1 General

The contents of each RRC message is specified in clause 6.2 using ASN.1 to specify the message syntax and using tables when needed to provide further detailed information about the fields specified in the message syntax. The syntax of the information elements that are defined as stand-alone abstract types is further specified in a similar manner in clause 6.3.

The need for fields to be present in a message or an abstract type, i.e., the ASN.1 fields that are specified as OPTIONAL in the abstract notation (ASN.1), is specified by means of comment text tags attached to the OPTIONAL statement in the abstract syntax. All comment text tags are available for use in the downlink direction only. The meaning of each tag is specified in table 6.1-1.

Table 6.1-1: Meaning of abbreviations used to specify the need for fields to be present

Abbreviation	Meaning
Cond conditionTag	Conditionally present
(Used in downlink only)	A field for which the need is specified by means of conditions. For each <i>conditionTag</i> , the need is specified in a tabular form following the ASN.1 segment. In case, according to the conditions, a field is not present, the UE takes no action and where applicable shall continue to use the existing value (and/ or the associated functionality) unless explicitly stated otherwise (e.g. in the conditional presence table or in the description of the field itself).
Need OP (Used in downlink only)	Optionally present A field that is optional to signal. For downlink messages, the UE is not required to take any special action on absence of the field beyond what is specified in the procedural text or the field description table following the ASN.1 segment. The UE behaviour on absence should be captured either in the procedural text or in the field description.
Need ON (Used in downlink only)	Optionally present, No action A field that is optional to signal. If the message is received by the UE, and in case the field is absent, the UE takes no action and where applicable shall continue to use the existing value (and/ or the associated functionality).

Abbreviation	Meaning
Need OR	Optionally present, Release
(Used in downlink only)	A field that is optional to signal. If the message is received by the UE, and in case the field is absent, the UE shall discontinue/ stop using/ delete any existing value (and/ or the associated functionality).

Any field with Need ON in system information shall be interpreted as Need OR.

Need codes may not be specified for a parent extension field/ extension group, used in downlink, which includes one or more child extension fields. Upon absence of such a parent extension field/ extension group, the UE shall:

- For each individual child extension field, including extensions that are mandatory to include in the optional group, act in accordance with the need code that is defined for the extension;
- Apply this behaviour not only for child extension fields included directly within the optional parent extension field/extension group, but also for extension fields defined at further nesting levels as long as for none of the fields in-between the concerned extension field and the parent extension field a need code is specified;

NOTE 1: The above applies for groups of non critical extensions using double brackets (referred to as extension groups), as well as non-critical extensions at the end of a message or at the end of a structure contained in a BIT STRING or OCTET STRING (referred to as parent extension fields).

Need codes, conditions and ASN.1 defaults specified for a particular (child) field only apply in case the (parent) field including the particular field is present. This rule does not apply for optional parent extension fields/ extension groups without need codes.

NOTE 2: The previous rule implies that E-UTRAN has to include such a parent extension field to release a child field that is either:

- Optional with need OR, or
- Conditional while the UE releases the child field when absent.

The handling of need codes as specified in the previous is illustrated by means of an example, as shown in the following ASN.1.

```
-- /example/ ASN1START
                                       SEQUENCE {
RRCMessage-r8-IEs ::=
                                           InformationElement1,
    field1
                                           InformationElement2
                                                                         OPTIONAL,
    field2
                                                                                       -- Need ON
    nonCriticalExtension
                                           RRCMessage-v8a0-IEs
                                                                           OPTIONAL
RRCMessage-v8a0-IEs ::=
                                       SEQUENCE {
                                           InformationElement3
                                                                         OPTIONAL,
   field3
                                                                                       -- Need ON
    nonCriticalExtension
                                           RRCMessage-v940-IEs
                                                                           OPTIONAL
                                       SEQUENCE {
RRCMessage-v940-IEs ::=
   field4
                                           InformationElement4
                                                                          OPTIONAL,
                                                                                       -- Need OR
    nonCriticalExtension
                                           SEQUENCE {}
                                                                           OPTIONAL
InformationElement1 ::=
                                   SEOUENCE {
    field11
                                       InformationElement11
                                                                           OPTIONAL,
                                                                                       -- Need ON
    field12
                                        InformationElement12
                                                                           OPTIONAL,
                                                                                       -- Need OR
    [[ field13
                                           InformationElement13
                                                                           OPTIONAL,
                                                                                       -- Need OR
        field14
                                           InformationElement14
                                                                           OPTIONAL
                                                                                       -- Need ON
    11
}
InformationElement2 ::=
                               SEQUENCE {
                                        InformationElement11
    field21
                                                                           OPTIONAL,
                                                                                       -- Need OR
-- ASN1STOP
```

The handling of need codes as specified in the previous implies that:

- if *field2* in *RRCMessage-r8-IEs* is absent, the UE does not modify *field21*;
- if field2 in RRCMessage-r8-IEs is present but does not include field21, the UE releases field21;
- if the extension group containing field13 is absent, the UE releases field13 and does not modify field14;
- if *nonCriticalExtension* defined by IE *RRCMessage-v8a0-IEs* is absent, the UE does not modify *field3* and releases *field4*;

In the ASN.1 of this specification, the first bit of a bit string refers to the leftmost bit, unless stated otherwise.

# 6.2 RRC messages

NOTE: The messages included in this clause reflect the current status of the discussions. Additional messages may be included at a later stage.

# 6.2.1 General message structure

# EUTRA-RRC-Definitions

This ASN.1 segment is the start of the E-UTRA RRC PDU definitions.

```
-- ASN1START

EUTRA-RRC-Definitions DEFINITIONS AUTOMATIC TAGS ::=

BEGIN
-- ASN1STOP
```

# BCCH-BCH-Message

The *BCCH-BCH-Message* class is the set of RRC messages that may be sent from the E-UTRAN to the UE via BCH on the BCCH logical channel.

# BCCH-BCH-Message-MBMS

The BCCH-BCH-Message-MBMS class is the set of RRC messages that may be sent from the E-UTRAN to the UE via BCH on the BCCH logical channel in an MBMS-dedicated cell.

# BCCH-DL-SCH-Message

The *BCCH-DL-SCH-Message* class is the set of RRC messages that may be sent from the E-UTRAN to the UE via DL-SCH on the BCCH logical channel.

# BCCH-DL-SCH-Message-BR

The BCCH-DL-SCH-Message-BR class is the set of RRC messages that may be sent from the E-UTRAN to the UE via DL-SCH on the BR-BCCH logical channel.

# BCCH-DL-SCH-Message-MBMS

The BCCH-DL-SCH-Message-MBMS class is the set of RRC messages that may be sent from the E-UTRAN to the UE via DL-SCH on the BCCH logical channel in an MBMS-dedicated cell.

#### MCCH-Message

The MCCH-Message class is the set of RRC messages that may be sent from the E-UTRAN to the UE on the MCCH logical channel.

# - PCCH-Message

The *PCCH-Message* class is the set of RRC messages that may be sent from the E-UTRAN to the UE on the PCCH logical channel.

# DL-CCCH-Message

The *DL-CCCH-Message* class is the set of RRC messages that may be sent from the E-UTRAN to the UE on the downlink CCCH logical channel.

# DL-DCCH-Message

The *DL-DCCH-Message* class is the set of RRC messages that may be sent from the E-UTRAN to the UE or from the E-UTRAN to the RN on the downlink DCCH logical channel.

```
-- ASN1START
DL-DCCH-Message ::= SEQUENCE {
    message
                     DL-DCCH-MessageType
DL-DCCH-MessageType ::= CHOICE {
        csfbParametersResponseCDMA2000
                                                   CSFBParametersResponseCDMA2000,
        dlInformationTransfer DLInformationTransfer, handoverFromEUTRAPreparationRequest,
        dlInformationTransfer
                                                   DLInformationTransfer,
        mobilityFromEUTRACommand
                                                   MobilityFromEUTRACommand,
        mobilityFromEUTRACommand MobilityFromEUTRACommand, rrcConnectionReconfiguration RRCConnectionReconfiguration,
        rrcConnectionRelease
                                                   RRCConnectionRelease,
        securityModeCommand
                                                   SecurityModeCommand,
        ueCapabilityEnquiry
                                                  UECapabilityEnquiry,
        counterCheck
                                                   CounterCheck,
                                                   UEInformationRequest-r9,
        ueInformationRequest-r9
        loggedMeasurementConfiguration-r10 LoggedMeasurementConfiguration-r10, rnReconfiguration-r10 RNReconfiguration-r10,
        rrcConnectionResume-r13
                                                   RRCConnectionResume-r13,
        dlDedicatedMessageSegment-r16
                                                  DLDedicatedMessageSegment-r16,
        spare2 NULL, spare1 NULL
    messageClassExtension SEQUENCE {}
}
-- ASN1STOP
```

# UL-CCCH-Message

The *UL-CCCH-Message* class is the set of RRC messages that may be sent from the UE to the E-UTRAN on the uplink CCCH logical channel.

```
-- ASN1START
UL-CCCH-Message ::= SEOUENCE {
                          UL-CCCH-MessageType
   message
UL-CCCH-MessageType ::= CHOICE {
                           CHOICE {
       rrcConnectionReestablishmentRequest
                                              RRCConnectionReestablishmentRequest,
       rrcConnectionRequest
                                              RRCConnectionRequest
   messageClassExtension CHOICE {
                             CHOICE {
           rrcConnectionResumeRequest-r13
                                              RRCConnectionResumeRequest-r13
       messageClassExtensionFuture-r13 CHOICE {
                            CHOICE {
           c3
               rrcEarlyDataRequest-r15
                                             RRCEarlyDataRequest-r15,
               spare3 NULL, spare2 NULL, spare1 NULL
           },
                                              SEQUENCE {}
           messageClassExtensionFuture-r15
   }
}
-- ASN1STOP
```

#### UL-DCCH-Message

The *UL-DCCH-Message* class is the set of RRC messages that may be sent from the UE to the E-UTRAN or from the RN to the E-UTRAN on the uplink DCCH logical channel.

```
-- ASN1START
UL-DCCH-Message ::= SEQUENCE {
    message
                       UL-DCCH-MessageType
UL-DCCH-MessageType ::= CHOICE {
                                   CHOICE {
          csfbParametersRequestCDMA2000
                                                                   CSFBParametersRequestCDMA2000,
          measurementReport
                                                                    MeasurementReport,
                                                               RRCConnectionReconfigurationComplete, RRCConnectionReestablishmentComplete,
          rrcConnectionReconfigurationComplete
          rrcConnectionReestablishmentComplete
          rrcConnectionSetupComplete
                                                                   RRCConnectionSetupComplete,
          securityModeComplete
                                                                   SecurityModeComplete,
          securityModeFailure
                                                                    SecurityModeFailure,
          ueCapabilityInformation
                                                                   UECapabilityInformation,
          ulHandoverPreparationTransfer
                                                                  ULHandoverPreparationTransfer,
          ulInformationTransfer
                                                                    ULInformationTransfer,
          counterCheckResponse
                                                                   CounterCheckResponse,
                                                                   UEInformationResponse-r9,
          ueInformationResponse-r9
          proximityIndication-r9
                                                                   ProximityIndication-r9,
          rnReconfigurationComplete-r10
                                                                   RNReconfigurationComplete-r10,
          mbmsCountingResponse-r10
                                                                    MBMSCountingResponse-r10,
          interFreqRSTDMeasurementIndication-r10
                                                                  InterFreqRSTDMeasurementIndication-r10
     messageClassExtension CHOICE {
                                               CHOICE {
               ueAssistanceInformation-r11
                                                              UEAssistanceInformation-r11,
               inDeviceCoexIndication-r11
                                                              InDeviceCoexIndication-r11.
               mbmsInterestIndication-r11
                                                              MBMSInterestIndication-r11,
               scgFailureInformation-r12
sidelinkUEInformation-r12
wlanConnectionStatusReport-r13
rrcConnectionResumeComplete-r13
ulInformationTransferMRDC-r15
scgFailureInformationNR-r15
measReportAppLayer-r15

SidelinkUEInformation-r12,
WLANConnectionStatusReport-r13,
RRCConnectionResumeComplete-r13,
ULInformationTransferMRDC-r15,
SCGFailureInformationNR-r15,
MeasReportAppLayer-r15,
TailureInformation-r15,
               scgFailureInformation-r12
                                                              SCGFailureInformation-r12,
               failureInformation-r15 FailureInformation-r15, ulDedicatedMessageSegment-r16, purConfigurationRequest-r16 PURConfigurationRequest-r16, failureInformation-r15
               failureInformation-r16
mcgFailureInformation-r16
ulInformationTransferIRAT-r16
                                                              FailureInformation-r16,
                                                              MCGFailureInformation-r16.
                                                              ULInformationTransferIRAT-r16
          messageClassExtensionFuture-r11
     SEQUENCE {}
-- ASN1STOP
```

# SC-MCCH-Message

The SC-MCCH-Message class is the set of RRC messages that may be sent from the E-UTRAN to the UE on the SC-MCCH logical channel.

-- ASN1STOP

# 6.2.2 Message definitions

# CounterCheck

The *CounterCheck* message is used by the E-UTRAN to indicate the current COUNT MSB values associated to each DRB and to request the UE to compare these to its COUNT MSB values and to report the comparison results to E-UTRAN.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to UE

# CounterCheck message

```
-- ASN1START
CounterCheck ::=
                         SEQUENCE {
   rrc-TransactionIdentifier
                                      RRC-TransactionIdentifier,
   criticalExtensions
                                      CHOICE {
                                    CHOICE {
       c1
                                             CounterCheck-r8-IEs,
           counterCheck-r8
           spare3 NULL, spare2 NULL, spare1 NULL
       criticalExtensionsFuture
                                         SEQUENCE {}
}
CounterCheck-r8-IEs ::= SEQUENCE {
   drb-CountMSB-InfoList
                                     DRB-CountMSB-InfoList,
   nonCriticalExtension
                                     CounterCheck-v8a0-IEs
                                                                       OPTIONAL
CounterCheck-v8a0-IEs ::= SEQUENCE {
   lateNonCriticalExtension
                                     OCTET STRING
                                                                        OPTIONAL.
   nonCriticalExtension
                                     CounterCheck-v1530-IEs
                                                                        OPTIONAL
CounterCheck-v1530-IEs ::= SEQUENCE {
   drb-CountMSB-InfoListExt-r15
                                     DRB-CountMSB-InfoListExt-r15 OPTIONAL,
                                                                                   -- Need ON
   nonCriticalExtension
                                      SEQUENCE {}
                                                                        OPTIONAL
DRB-CountMSB-InfoList ::= SEQUENCE (SIZE (1..maxDRB)) OF DRB-CountMSB-Info
DRB-CountMSB-InfoListExt-r15 ::=
                                 SEQUENCE (SIZE (1..maxDRBExt-r15)) OF DRB-CountMSB-Info
DRB-CountMSB-Info ::= SEQUENCE {
   drb-Identity
                                  DRB-Identity,
   countMSB-Uplink
                                 INTEGER(0..33554431),
   countMSB-Downlink
                                  INTEGER(0..33554431)
}
-- ASN1STOP
```

#### CounterCheck field descriptions

#### count-MSB-Downlink

If configured with E-UTRA PDCP, it indicates the value of 25 MSBs from downlink COUNT associated to this DRB. If configured with NR PDCP, it indicates the value of 25 MSBs from RX\_NEXT – 1 (specified in TS 38.323 [83]) associated to this DRB.

#### count-MSB-Uplink

If configured with E-UTRA PDCP, it indicates the value of 25 MSBs from uplink COUNT associated to this DRB. If configured with NR PDCP, it indicates the value of 25 MSBs from TX\_NEXT – 1 (specified in TS 38.323 [83]) associated to this DRB.

#### drb-CountMSB-InfoList

Indicates the MSBs of the COUNT values of the DRBs.

# CounterCheckResponse

The CounterCheckResponse message is used by the UE to respond to a CounterCheck message.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

## CounterCheckResponse message

```
-- ASN1START
   nterCheckResponse ::=
rrc-TransactionIdentifier
CounterCheckResponse ::=
                                   SEQUENCE {
                                     RRC-TransactionIdentifier,
    criticalExtensions
                                       CHOICE {
       counterCheckResponse-r8
                                          CounterCheckResponse-r8-IEs,
        criticalExtensionsFuture
                                           SEQUENCE {}
}
CounterCheckResponse-r8-IEs ::= SEQUENCE {
    drb-CountInfoList
                                       DRB-CountInfoList,
    nonCriticalExtension
                                       CounterCheckResponse-v8a0-IEs
                                                                         OPTIONAL
CounterCheckResponse-v8a0-IEs ::= SEQUENCE {
    lateNonCriticalExtension OCTET STRING
                                                                           OPTIONAL.
    nonCriticalExtension
                                       CounterCheckResponse-v1530-IEs
                                                                           OPTIONAL
CounterCheckResponse-v1530-IEs ::= SEQUENCE {
    drb-CountInfoListExt-r15
                                      DRB-CountInfoListExt-r15
                                                                           OPTIONAL,
    nonCriticalExtension
                                       SEQUENCE {}
                                                                           OPTIONAL
                              SEQUENCE (SIZE (0..maxDRB)) OF DRB-CountInfo
DRB-CountInfoList ::=
DRB-CountInfoListExt-r15 ::= SEQUENCE (SIZE (1..maxDRBExt-r15)) OF DRB-CountInfo
DRB-CountInfo ::= SEQUENCE {
   drb-Identity
                                   DRB-Identity,
    count-Uplink
                                   INTEGER(0..4294967295),
   count-Downlink
                                   INTEGER(0..4294967295)
-- ASN1STOP
```

#### CounterCheckResponse field descriptions

#### count-Downlink

If configured with E-UTRA PDCP, it indicates the value of downlink COUNT associated to this DRB. If configured with NR PDCP, it indicates the value of RX\_NEXT – 1 (specified in TS 38.323 [83]) associated to this DRB.

#### count-Uplink

If configured with E-UTRA PDCP, it indicates the value of uplink COUNT associated to this DRB. If configured with NR PDCP, it indicates the value of TX\_NEXT – 1 (specified in TS 38.323 [83]) associated to this DRB.

#### drb-CountInfoList

Indicates the COUNT values of the DRBs

# – CSFBParametersRequestCDMA2000

The *CSFBParametersRequestCDMA2000* message is used by the UE to obtain the CDMA2000 1xRTT Parameters from the network. The UE needs these parameters to generate the CDMA2000 1xRTT Registration message used to register with the CDMA2000 1xRTT Network which is required to support CSFB to CDMA2000 1xRTT.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

#### CSFBParametersRequestCDMA2000 message

```
-- ASN1START
CSFBParametersRequestCDMA2000 ::= SEQUENCE {
   criticalExtensions
                               CHOICE {
      criticalExtensionsFuture
                                    SEOUENCE { }
CSFBParametersRequestCDMA2000-r8-IEs ::= SEQUENCE {
   nonCriticalExtension
                                CSFBParametersRequestCDMA2000-v8a0-IEs OPTIONAL
CSFBParametersRequestCDMA2000-v8a0-IEs ::= SEQUENCE {
   lateNonCriticalExtension OCTET STRING
                                                               OPTIONAL,
   nonCriticalExtension
                                 SEQUENCE {}
                                                               OPTIONAL
-- ASN1STOP
```

# CSFBParametersResponseCDMA2000

The *CSFBParametersResponseCDMA2000* message is used to provide the CDMA2000 1xRTT Parameters to the UE so the UE can register with the CDMA2000 1xRTT Network to support CSFB to CDMA2000 1xRTT.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to UE

## CSFBParametersResponseCDMA2000 message

```
-- ASN1START

CSFBParametersResponseCDMA2000 ::= SEQUENCE {
    rrc-TransactionIdentifier RRC-TransactionIdentifier,
    criticalExtensions CHOICE {
        csfbParametersResponseCDMA2000-r8 CSFBParametersResponseCDMA2000-r8-IEs,
```

```
criticalExtensionsFuture
                                                 SEQUENCE {}
}
CSFBParametersResponseCDMA2000-r8-IEs ::= SEQUENCE {
                      RAND-CDMA2000,
MobilityParame
con CSFBParameters
    mobilityParameters
                                        MobilityParametersCDMA2000,
                                        CSFBParametersResponseCDMA2000-v8a0-IEs OPTIONAL
    nonCriticalExtension
CSFBParametersResponseCDMA2000-v8a0-IEs ::= SEQUENCE {
    lateNonCriticalExtension
                                        OCTET STRING
                                                                             OPTIONAL.
    nonCriticalExtension
                                        SEQUENCE {}
                                                                             OPTIONAL
-- ASN1STOP
```

# DLDedicatedMessageSegment

The *DLDedicatedMessageSegment* message is used to transfer one segment of the *RRCConnectionResume* or *RRCConnectionReconfiguration* messages.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to UE

#### DLDedicatedMessageSegment message

```
-- ASN1START
                                          SEQUENCE {
DLDedicatedMessageSegment-r16 ::=
                                           CHOICE {
    criticalExtensions
        dlDedicatedMessageSegment-r16
                                                  DLDedicatedMessageSegment-r16-IEs,
        criticalExtensionsFuture
                                                  SEQUENCE {}
DLDedicatedMessageSegment-r16-IEs ::= SEQUENCE {
   rrc-MessageSegmentContainer-r16 INTEGER (0..4),
rrc-MessageSegmentType-r16 ENUMERATED {not
                                              ENUMERATED {notLastSegment, lastSegment},
                                                                               OPTIONAL.
    nonCriticalExtension
                                              SEQUENCE {}
                                                                                 OPTIONAL
-- ASN1STOP
```

#### DLDedicatedMessageSegment field descriptions

#### segmentNumber

Identifies the sequence number of a segment within the encoded DL DCCH message. The network transmits the segments with continuously increasing *segmentNumber* order so that the UE's RRC layer may expect to obtain them from lower layers in the correct order. Hence, the UE is not required to perform segment re-ordering on RRC level.

#### rrc-MessageSegmentContainer

Includes a segment of the encoded DL DCCH message. The size of the included segment in this container should be small enough so the resulting encoded RRC message PDU is less than or equal to the PDCP SDU size limit.

# rrc-MessageSegmentType

Indicates whether the included DL DCCH message segment is the last segment of the message or not.

# DLInformationTransfer

The *DLInformationTransfer* message is used for the downlink transfer of NAS, non-3GPP dedicated information, IAB-DU specific F1-C related information, or time reference information.

NOTE: The UE may use the time reference information provided in the *timeReferenceInfo* IE for numerous purposes, possibly involving upper layers e.g. to synchronise the UE clock.

Signalling radio bearer: SRB2 or SRB1. If only *timeReferenceInfo* is included in the message, SRB1 is used. Otherwise, SRB1 is used only if SRB2 not established yet, and if SRB2 is suspended, E-UTRAN does not send this message until SRB2 is resumed. If only *dedicatedInfoF1c* is included, SRB2 is used.

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to UE

#### DLInformationTransfer message

```
-- ASN1START
                                  SEQUENCE {
DLInformationTransfer ::=
   rrc-TransactionIdentifier
                                      RRC-TransactionIdentifier,
   criticalExtensions
                                      CHOICE {
           dlinformationTransfer-r8 CHOICE {
                                          DLInformationTransfer-r8-IEs,
           dlInformationTransfer-r15
                                             DLInformationTransfer-r15-IEs,
           spare2 NULL, spare1 NULL
       criticalExtensionsFuture
                                          SEOUENCE { }
}
DLInformationTransfer-r8-IEs ::= SEQUENCE {
   dedicatedInfoType
                         CHOICE {
                                     DedicatedInfoNAS,
       dedicatedInfoNAS
       dedicatedInfoCDMA2000-1XRTT
                                          DedicatedInfoCDMA2000,
       dedicatedInfoCDMA2000-HRPD
                                         DedicatedInfoCDMA2000
   nonCriticalExtension
                                     DLInformationTransfer-v8a0-IEs
                                                                         OPTIONAL
DLInformationTransfer-v8a0-IEs ::= SEQUENCE {
   lateNonCriticalExtension OCTET STRING
                                                                         OPTIONAL,
   nonCriticalExtension
                                      DLInformationTransfer-v1610-IEs
                                                                         OPTIONAL
DLInformationTransfer-r15-IEs ::= SEQUENCE {
                         CHOICE {
   dedicatedInfoType-r15
       dedicatedInfoCDMA2000-1XRTT DedicatedInfoCDMAdedicatedInfoCDMA2000-HRPD DedicatedInfoCDMA
                                          DedicatedInfoCDMA2000,
                                          DedicatedInfoCDMA2000
                                                                         OPTIONAL,
                                                                                     -- Need ON
                              TimeReferenceInfo-r15
    timeReferenceInfo-r15
                                                                         OPTIONAL,
                                                                                     -- Need ON
   nonCriticalExtension
                                    DLInformationTransfer-v8a0-IEs
                                                                         OPTIONAL
DLInformationTransfer-v1610-IEs ::= SEQUENCE {
   dedicatedInfoFlc-r16
                                      DedicatedInfoF1c-r16
                                                                         OPTIONAL,
                                                                                     -- Need ON
                                      SEQUENCE {}
   nonCriticalExtension
                                                                         OPTIONAL
-- ASN1STOP
```

# FailureInformation

The *FailureInformation* message is used to provide information regarding failures detected by the UE, e.g. radio link failure for one of the RLC entities configured with PDCP duplication or failure of a DAPS HO.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

# FailureInformation message

```
-- ASN1START
                                  SEQUENCE {
FailureInformation-r15 ::=
    failedLogicalChannelInfo-r15 FailedLogicalChannelInfo-r15
                                                                                 OPTIONAL
     -- nonCriticalExtension is removed in this version as OPTIONAL was missing
FailureInformation-r16 ::= SEQUENCE {
         failureInformation-r16
criticalPri
   criticalExtensions
                                                FailureInformation-r16-IEs,
         criticalExtensionsFuture
                                                 SEQUENCE { }
}
FailedLogicalChannelInfo-r15 ::= SEQUENCE {
    failedLogicalChannelIdentity-r15 SEQUENCE {
    cellGroupIndication-r15 ENUMERATED {mn, sst
    logicalChannelIdentity-r15 INTEGER (1..10)
    logicalChannelIdentityExt-r15 INTEGER (32..38)
                                                 ENUMERATED {mn, sn},
                                                                                 OPTIONAL,
                                                                                 OPTIONAL
    failureType ENUMERATED {duplication, spare3, spare2, spare1}
}
FailureInformation-r16-IEs ::= SEQUENCE {
    failedLogicalChannelIdentity-r16 FailedLogicalChannelIdentity-r16 OPTIONAL,
    failureType-r16
                                             ENUMERATED {duplication, dapsHO-failure,
                                                                          OPTIONAL,
                                                 spare2, spare1}
    nonCriticalExtension
                                            SEQUENCE {}
                                                                                       OPTIONAL
{\tt FailedLogicalChannelIdentity-r16} ::= \qquad {\tt SEQUENCE} \ \{
    logicalChannelIdentity=r16 INTEGER (1..10)
logicalChannelIdentityExt-r16 INTEGER (32..38)
                                                                             OPTIONAL,
                                                                             OPTIONAL
-- ASN1STOP
```

#### FailureInformation field descriptions

#### cellGroupIndication

This field indicates the cell group (MCG, SCG) of the RLC entity for which the PDCP duplication failure occurred.

#### failureTvpe

This field indicates the type of failure reported. Value *duplication* indicates that a radio link failure for one of the RLC entities configured with PDCP duplication has been detected. Value *dapsHO-failure* indicates that timer T304 expired during a DAPS HO.

#### logicalChannelIdentity, logicalChannelIdentityExt

This field indicates the logical channel identity of the RLC entity for which the PDCP duplication failure occurred.

NOTE: The UE may apply the *FailureInformation-r16* message to report a failure defined in REL-15, but only if it is configured with a feature incorporating a failure that can only be reported by the *FailureInformation-r16* message.

# HandoverFromEUTRAPreparationRequest (CDMA2000)

The *HandoverFromEUTRAPreparationRequest* message is used to trigger the handover preparation procedure with a CDMA2000 RAT. This message is also used to trigger a tunneled preparation procedure with a CDMA2000 1xRTT RAT to obtain traffic channel resources for the enhanced CS fallback to CDMA2000 1xRTT, which may also involve a

concurrent preparation for handover to CDMA2000 HRPD. Also, this message is used to trigger the dual Rx/Tx redirection procedure with a CDMA2000 1xRTT RAT.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to UE

#### HandoverFromEUTRAPreparationRequest message

```
-- ASN1START
HandoverFromEUTRAPreparationRequest ::= SEQUENCE {
    rrc-TransactionIdentifier RRC-TransactionIdentifier,
    criticalExtensions
                                     CHOICE {
                                            CHOICE {
            handoverFromEUTRAPreparationRequest-r8
                                                        HandoverFromEUTRAPreparationRequest-r8-IEs,
            spare3 NULL, spare2 NULL, spare1 NULL
        criticalExtensionsFuture
                                             SEQUENCE {}
    }
}
HandoverFromEUTRAPreparationRequest-r8-IEs ::= SEQUENCE {
   cdma2000-Type CDMA2000-Type, rand RAND-CDMA2000 mobilityParameters MobilityParameters nonCriticalExtension HandoverFromEUT
                                                                  OPTIONAL,
                                                                              -- Cond cdma2000-Type
   mobilityParameters
nonCriticalExtension
                                    MobilityParametersCDMA2000 OPTIONAL,
                                                                              -- Cond cdma2000-Type
                                   HandoverFromEUTRAPreparationRequest-v890-IEs
                                                                                      OPTIONAL
HandoverFromEUTRAPreparationRequest-v890-IEs ::= SEQUENCE {
    lateNonCriticalExtension OCTET STRING
                                                                  OPTIONAL.
    nonCriticalExtension
                                   HandoverFromEUTRAPreparationRequest-v920-IEs
                                                                                      OPTIONAL
HandoverFromEUTRAPreparationRequest-v920-IEs ::= SEQUENCE {
    concurrPrepCDMA2000-HRPD-r9 BOOLEAN
                                                              OPTIONAL,
                                                                         -- Cond cdma2000-Type
    nonCriticalExtension
                                    HandoverFromEUTRAPreparationRequest-v1020-IEs
HandoverFromEUTRAPreparationRequest-v1020-IEs ::= SEQUENCE {
    dualRxTxRedirectIndicator-r10
                                        ENUMERATED {true}
                                                                 OPTIONAL,
                                                                              -- Cond cdma2000-1XRTT
    redirectCarrierCDMA2000-1XRTT-r10
                                       CarrierFreqCDMA2000 OPTIONAL,
                                                                              -- Cond dualRxTxRedirect
                                        SEQUENCE {}
    nonCriticalExtension
                                                                  OPTIONAL
-- ASN1STOP
```

#### HandoverFromEUTRAPreparationRequest field descriptions

#### concurrPrepCDMA2000-HRPD

Value TRUE indicates that upper layers should initiate concurrent preparation for handover to CDMA2000 HRPD in addition to preparation for enhanced CS fallback to CDMA2000 1xRTT.

#### dualRxTxRedirectIndicator

Value TRUE indicates that the second radio of the dual Rx/Tx UE is being redirected to CDMA2000 1xRTT, as specified in TS 23.272 [51].

# redirectCarrierCDMA2000-1XRTT

Used to indicate the CDMA2000 1xRTT carrier frequency where the UE is being redirected to.

Conditional presence	Explanation
cdma2000-1XRTT	The field is optionally present, need ON, if the <i>cdma2000-Type</i> = <i>type1XRTT</i> ; otherwise it
	is not present.
cdma2000-Type	The field is mandatory present if the <i>cdma2000-Type</i> = <i>type1XRTT</i> ; otherwise it is not
	present.
dualRxTxRedirect	The field is optionally present, need ON, if dualRxTxRedirectIndicator is present;
	otherwise it is not present.

#### InDeviceCoexIndication

The *InDeviceCoexIndication* message is used to inform E-UTRAN about IDC problems which can not be solved by the UE itself, as well as to provide information that may assist E-UTRAN when resolving these problems.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

#### InDeviceCoexIndication message

```
-- ASN1START
                                  SEQUENCE {
InDeviceCoexIndication-r11 ::=
                                     CHOICE {
    criticalExtensions
           inDeviceCoexIndication-r11 spare3 NULL Transfer
                                                  InDeviceCoexIndication-r11-IEs,
           spare3 NULL, spare2 NULL, spare1 NULL
       },
       criticalExtensionsFuture
                                         SEQUENCE {}
   }
}
{\tt InDeviceCoexIndication-r11-IEs} \ ::= \ {\tt SEQUENCE} \ \big\{
   affectedCarrierFreqList-rll AffectedCarrierFreqList-rll
tdm-AssistanceInfo-rl1 TDM-AssistanceInfo-rl1
                                                                                 OPTIONAL,
   tdm-AssistanceInfo-rll
lateNonCriticalExtension
                                                                                OPTIONAL,
                                      OCTET STRING
                                                                                OPTIONAL,
                                      InDeviceCoexIndication-v11d0-IEs
    nonCriticalExtension
                                                                                OPTIONAL
InDeviceCoexIndication-v11d0-IEs ::= SEQUENCE {
                                      SEQUENCE {
    ul-CA-AssistanceInfo-r11
       affectedCarrierFreqCombList-r11
                                          AffectedCarrierFreqCombList-r11
                                                                            OPTIONAL,
       victimSystemType-r11
                                          VictimSystemType-r11
                                                                             OPTIONAL,
                                     InDeviceCoexIndication-v1310-IEs
    nonCriticalExtension
                                                                            OPTIONAL
}
AffectedCarrierFreqList-v1310 OPTIONAL,
InDeviceCoexIndicat:
   {\tt nonCriticalExtension}
                                         InDeviceCoexIndication-v1360-IEs OPTIONAL
}
                                    SEQUENCE {
InDeviceCoexIndication-v1360-IEs ::=
    hardwareSharingProblem-r13
                                         ENUMERATED {true}
                                                                             OPTIONAL,
   nonCriticalExtension
                                          InDeviceCoexIndication-v1530-IEs OPTIONAL
InDeviceCoexIndication-v1530-IEs ::=
                                    SEQUENCE {
   mrdc-AssistanceInfo-r15
                                          MRDC-AssistanceInfo-r15
                                          InDeviceCoexIndication-v1610-IES OPTIONAL
   nonCriticalExtension
InDeviceCoexIndication-v1610-IEs::= SEQUENCE {
   victimSystemType-v1610
                                          VictimSystemType-v1610
                                                                       OPTIONAL,
                                          SEQUENCE {}
   nonCriticalExtension
                                                                             OPTIONAL
AffectedCarrierFreqList-r11 ::= SEQUENCE (SIZE (1..maxFreqIDC-r11)) OF AffectedCarrierFreq-r11
AffectedCarrierFreqList-v1310 ::= SEQUENCE (SIZE (1..maxFreqIDC-r11)) OF AffectedCarrierFreq-v1310
AffectedCarrierFreq-rll ::= SEQUENCE {
   carrierFreq-r11
                             MeasObjectId,
    interferenceDirection-r11     ENUMERATED {eutra, other, both, spare}
AffectedCarrierFreq-v1310 ::= SEQUENCE {
                                                                                 OPTIONAL
   carrierFreq-v1310
                               MeasObjectId-v1310
```

```
AffectedCarrierFreqCombList-r11 ::= SEQUENCE (SIZE (1..maxCombIDC-r11)) OF AffectedCarrierFreqComb-
AffectedCarrierFreqCombList-r13 ::= SEQUENCE (SIZE (1..maxCombIDC-r11)) OF AffectedCarrierFreqComb-
AffectedCarrierFreqComb-r11 ::= SEQUENCE (SIZE (2..maxServCell-r10)) OF MeasObjectId
AffectedCarrierFreqComb-r13 ::= SEQUENCE (SIZE (2..maxServCell-r13)) OF MeasObjectId-r13
TDM-AssistanceInfo-rll ::= CHOICE {
    drx-AssistanceInfo-r11
                                        SEQUENCE {
                                            ENUMERATED {sf40, sf64, sf80, sf128, sf160,
        drx-CycleLength-r11
                                                sf256, spare2, spare1},
                                            INTEGER (0..255) OPTIONAL,
ENUMERATED {sf20, sf30, sf40, sf60, sf80,
        drx-Offset-r11
       drx-ActiveTime-r11
                                                sf100, spare2, spare1}
    idc-SubframePatternList-r11
                                       IDC-SubframePatternList-r11,
}
IDC-SubframePatternList-r11 ::= SEQUENCE (SIZE (1..maxSubframePatternIDC-r11)) OF IDC-
SubframePattern-r11
IDC-SubframePattern-r11 ::= CHOICE {
   subframePatternFDD-r11
subframePatternTDD-r11
                                        BIT STRING (SIZE (4)),
                                        CHOICE {
                                           BIT STRING (SIZE (70)),
       subframeConfig0-r11
        subframeConfig1-5-r11
                                            BIT STRING (SIZE (10)),
        subframeConfig6-r11
                                            BIT STRING (SIZE (60))
    },
    . . .
}
VictimSystemType-r11 ::= SEQUENCE {
   gps-r11
                                    ENUMERATED {true}
                                                                    OPTIONAL,
    glonass-r11
                                    ENUMERATED {true}
                                                                    OPTIONAL,
   bds-r11
                                    ENUMERATED {true}
                                                                    OPTIONAL,
                                    ENUMERATED {true}
   galileo-r11
                                                                    OPTIONAL,
    wlan-r11
                                    ENUMERATED {true}
                                                                    OPTIONAL,
   bluetooth-r11
                                    ENUMERATED {true}
                                                                    OPTIONAL
}
VictimSystemType-v1610 ::= SEQUENCE {
   navic-r16
                                    ENUMERATED {true}
                                                                    OPTIONAL
MRDC-AssistanceInfo-r15 ::= SEQUENCE {
   affectedCarrierFreqCombInfoListMRDC-r15 SEQUENCE (SIZE (1..maxCombIDC-r11)) OF
AffectedCarrierFreqCombInfoMRDC-r15,
    [[ affectedCarrierFreqCombInfoListMRDC-v1610
                                                      SEQUENCE (SIZE (1..maxCombIDC-r11)) OF
VictimSystemType-v1610
   ]]
}
AffectedCarrierFreqCombInfoMRDC-r15 ::= SEQUENCE {
                                            VictimSystemType-r11,
    victimSystemType-r15
   interferenceDirectionMRDC-r15
                                            ENUMERATED {eutra-nr, nr, other, eutra-nr-other,
                                            nr-other, spare3, spare2, spare1},
    affectedCarrierFreqCombMRDC-r15
                                       SEQUENCE {
       affectedCarrierFreqCombEUTRA-r15 AffectedCarrierFreqComb-r15
        affectedCarrierFreqCombNR-r15
                                              AffectedCarrierFreqCombNR-r15
                   OPTIONAL
}
AffectedCarrierFreqComb-r15 ::= SEQUENCE (SIZE (1..maxServCell-r13)) OF MeasObjectId-r13
AffectedCarrierFreqCombNR-r15 ::= SEQUENCE (SIZE (1..maxServCellNR-r15)) OF ARFCN-ValueNR-r15
-- ASN1STOP
```

#### InDeviceCoexIndication field descriptions

#### AffectedCarrierFreq

If carrierFreq-v1310 is included, carrierFreq-r11 is ignored by eNB.

#### affectedCarrierFreqCombList

Indicates a list of E-UTRA carrier frequencies that are affected by IDC problems due to Inter-Modulation Distortion and harmonics from E-UTRA when configured with UL CA. affectedCarrierFreqCombList-r13 is used when more than 5 serving cells are configured or affected combinations contain MeasObjectId larger than 32. If affectedCarrierFreqCombList-r13 is included, affectedCarrierFreqCombList-r11 shall not be included.

#### affectedCarrierFreqCombMRDC

Indicates a set of at least one NR carrier frequency and optionally one or more E-UTRA carrier frequency that is affected by IDC problems due to Inter-Modulation Distortion and harmonics when configured with MR-DC.

#### affectedCarrierFreqList

List of E-UTRA carrier frequencies affected by IDC problems. If E-UTRAN includes affectedCarrierFreqList-v1310 it includes the same number of entries, and listed in the same order, as in affectedCarrierFreqList-r11.

#### drx-ActiveTime

Indicates the desired active time that the E-UTRAN is recommended to configure. Value in number of subframes. Value sf20 corresponds to 20 subframes, sf30 corresponds to 30 subframes and so on.

#### drx-CycleLength

Indicates the desired DRX cycle length that the E-UTRAN is recommended to configure. Value in number of subframes. Value sf40 corresponds to 40 subframes, sf64 corresponds to 64 subframes and so on.

#### drx-Offset

Indicates the desired DRX starting offset that the E-UTRAN is recommended to configure. The UE shall set the value of drx-Offset smaller than the value of drx-CycleLength. The starting frame and subframe satisfy the relation: [(SFN \* 10) + subframe number] modulo (drx-CycleLength) = drx-Offset.

#### hardwareSharingProblem

Indicates whether the UE has hardware sharing problems that the UE cannot solve by itself. The field is present (i.e. value *true*), if the UE has such hardware sharing problems. Otherwise the field is absent.

#### idc-SubframePatternList

A list of one or more subframe patterns indicating which HARQ process E-UTRAN is requested to abstain from using. Value 0 indicates that E-UTRAN is requested to abstain from using the subframe. For FDD, the radio frame in which the pattern starts (i.e. the radio frame in which the first/leftmost bit of the *subframePatternFDD* corresponds to subframe #0) occurs when SFN mod 2 = 0. For TDD, the first/leftmost bit corresponds to the subframe #0 of the radio frame satisfying SFN mod x = 0, where x is the size of the bit string divided by 10. The UE shall indicate a subframe pattern that follows HARQ time line, as specified in TS 36.213 [23], i.e, if a subframe is set to 1 in the subframe pattern, also the corresponding subframes carrying the potential UL grant, as specified in TS 36.213 [23], clause 8.0, the UL HARQ retransmission, as specified in TS 36.213 [23], clause 8.0, and the DL/UL HARQ feedback, as specified in TS 36.213 [23], clauses 7.3, 8.3 and 9.1.2, shall be set to 1.

#### interferenceDirection

Indicates the direction of IDC interference. Value *eutra* indicates that only E-UTRA is victim of IDC interference, value *other* indicates that only another radio is victim of IDC interference and value *both* indicates that both E-UTRA and another radio are victims of IDC interference. The other radio refers to either the ISM radio or GNSS (see TR 36.816 [63]).

#### interferenceDirectionMRDC

Indicates the direction of IDC interference. Value *eutra-nr* indicates E-UTRA and NR is victim, value *nr* indicates NR, value *other* indicates other radio system and so on. The other radio refers to either the ISM radio or GNSS (see TR 36.816 [63]).

#### victimSystemType

Indicate the list of victim system types to which IDC interference is caused from E-UTRA when configured with UL CA or from E-UTRA and NR when configured with MR-DC. *gps*, *glonass*, *bds*, *galileo*, *and navic* indicate the type of GNSS. Value *wlan* indicates WLAN and value *bluetooth* indicates Bluetooth.

# InterFregRSTDMeasurementIndication

The *InterFreqRSTDMeasurementIndication* message is used to indicate that the UE is going to either start or stop OTDOA inter-frequency RSTD measurement which requires measurement gaps as specified in TS 36.133 [16], clause 8.1.2.6. The *InterFreqRSTDMeasurementIndication* message is also used to indicate to the network that the UE is going to start/stop OTDOA intra-frequency RSTD measurements which require measurement gaps. The *InterFreqRSTDMeasurementIndication* message is also used to indicate to the network the measurement gap that the category M1 or M2 UE prefers to perform RSTD measurements with dense PRS configuration, as specified in TS 36.133 [16], Table 8.1.2.1-3.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

# InterFreqRSTDMeasurementIndication message

```
-- ASN1START
InterFreqRSTDMeasurementIndication-r10 ::=
                                                           SEQUENCE {
                           CHOICE {
    criticalExtensions
                                                 CHOICE {
             inter FreqRSTD Measurement Indication-r10 \\ Inter FreqRSTD Measurement Indication-r10-IEs, \\
             spare3 NULL, spare2 NULL, spare1 NULL
         },
         criticalExtensionsFuture
                                                 SEQUENCE { }
}
InterFreqRSTDMeasurementIndication-r10-IEs ::=
                                                          SEQUENCE {
    rstd-InterFreqIndication-r10 CHOICE {
                                                 SEQUENCE {
         start
             rstd-InterFreqInfoList-r10
                                                         RSTD-InterFreqInfoList-r10
                                                 NULL
         stop
    lateNonCriticalExtension
                                             OCTET STRING
                                                                                      OPTIONAL,
    nonCriticalExtension
                                             SEQUENCE {}
                                                                                      OPTIONAL
}
 \texttt{RSTD-InterFreqInfoList-r10} ::= \\ \texttt{SEQUENCE} \ (\texttt{SIZE(1..maxRSTD-Freq-r10)}) \ \texttt{OF} \ \texttt{RSTD-InterFreqInfo-r10} 
RSTD-InterFreqInfo-r10 ::= SEQUENCE {
    carrierFreq-r10
                                        ARFCN-ValueEUTRA,
    measPRS-Offset-r10
                                        INTEGER (0..39),
    [[ carrierFreq-v1090
                                       ARFCN-ValueEUTRA-v9e0
                                                                                 OPTIONAL
    11,
    [[ measPRS-Offset-r15 CHOICE {
                                   INTEGER (0..79)
             rstd0-r15
                                       INTEGER (0..159),
             rstd1-r15
                                       INTEGER (0..319),
INTEGER (0..639),
             rstd2-r15
             rstd3-r15
                                       INTEGER (0..1279),
             rstd4-r15
             rstd5-r15
                                        INTEGER (0..159),
             rstd6-r15
                                       INTEGER (0..319),
             rstd7-r15
                                       INTEGER (0..639),
INTEGER (0..1279),
             rstd8-r15
             rstd9-r15
                                       INTEGER (0..319),
             rstd10-r15
                                        INTEGER (0..639),
                                       INTEGER (0..1279),
             rstd11-r15
                                      INTEGER (0..319),
INTEGER (0..639),
             rstd12-r15
                                 INTEGER (0..639),

INTEGER (0..1279),

INTEGER (0..639),

INTEGER (0..1279),

INTEGER (0..639),

INTEGER (0..639),

INTEGER (0..639),

INTEGER (0..1279)
             rstd13-r15
             rstd14-r15
             rstd15-r15
             rstd16-r15
             rstd17-r15
             rstd18-r15
             rstd19-r15
             rstd20-r15
                                        INTEGER (0..1279)
                                                                        OPTIONAL
    ]]
}
-- ASN1STOP
```

#### InterFreqRSTDMeasurementIndication field descriptions

#### carrierFreq

The EARFCN value of the carrier received from upper layers for which the UE needs to perform the inter-frequency RSTD measurements. If the UE includes *carrierFreq-v1090*, it shall set *carrierFreq-r10* to *maxEARFCN*. In case the UE starts intra-frequency RSTD measurements the *carrierFreq* indicates the carrier frequency of the serving cell.

#### measPRS-Offset

Indicates the requested gap offset for performing inter-frequency or intra-frequency RSTD measurements. It is the smallest subframe offset from the beginning of subframe 0 of SFN=0 of the serving cell of the requested gap for measuring PRS positioning occasions in the carrier frequency *carrierFreq* for which the UE needs to perform the inter-frequency or intra-frequency RSTD measurements. The PRS positioning occasion information is received from upper layers. The value of *measPRS-Offset-r10* is obtained by mapping the starting subframe of the PRS positioning occasion in the measured cell onto the corresponding subframe in the serving cell and is calculated as the serving cell's number of subframes from SFN=0 mod 40.

If *measPRS-Offset-r15* is included, the field further indicates the requested gap pattern that the category M1 or M2 UE prefers to perform RSTD measurements with dense PRS configuration, as specified in TS 36.133 [16], Table 8.1.2.1-3, where value rstd0 corresponds to Gap Pattern Id rstd0, value rstd1 corresponds to Gap Pattern Id rstd1 and so on. The value of *measPRS-Offset-r15* is obtained by mapping the starting subframe of the PRS positioning occasion in the measured cell onto the corresponding subframe in the serving cell and is calculated as the serving cell's number of subframes from SFN=0 mod MGRP corresponding to the requested Gap pattern Id. If *measPRS-Offset-r15* is included, *measPRS-Offset-r10* is ignored.

The UE shall take into account any additional time required by the UE to start PRS measurements on the other carrier when it does this mapping for determining the *measPRS-Offset*.

NOTE: Figure 6.2.2-1 illustrates the measPRS-Offset field.

# rstd-InterFreqIndication

Indicates the inter-frequency or intra-frequency RSTD measurement action, i.e. the UE is going to start or stop inter-frequency or intra-frequency RSTD measurement.

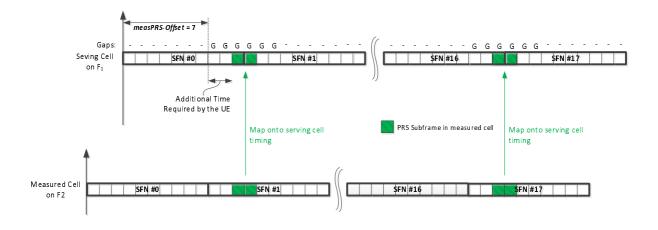


Figure 6.2.2-1 (informative): Exemplary calculation of measPRS-Offset field.

# LoggedMeasurementConfiguration

The *LoggedMeasurementConfiguration* message is used by E-UTRAN to configure the UE to perform logging of measurement results while in RRC\_IDLE or to perform logging of measurement results for MBSFN while in both RRC\_IDLE and RRC\_CONNECTED. It is used to transfer the logged measurement configuration for network performance optimisation, see TS 37.320 [60].

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to UE

#### LoggedMeasurementConfiguration message

```
-- ASN1START
LoggedMeasurementConfiguration-r10 ::= SEQUENCE {
    criticalExtensions
                                           CHOICE {
                                                     LoggedMeasurementConfiguration-r10-IEs,
            loggedMeasurementConfiguration-r10
            spare3 NULL, spare2 NULL, spare1 NULL
        criticalExtensionsFuture
                                                SEQUENCE {}
    }
}
LoggedMeasurementConfiguration-r10-IEs ::= SEQUENCE {
   OPTIONAL, -- Need OR
                                    LoggedMeasurementConfiguration-v1080-IES OPTIONAL
}
LoggedMeasurementConfiguration-v1080-IEs ::= SEQUENCE {
   lateNonCriticalExtension-r10 OCTET STRING
                                                                         OPTIONAL,
   nonCriticalExtension
                                    LoggedMeasurementConfiguration-v1130-IEs OPTIONAL
}
LoggedMeasurementConfiguration-v1130-IEs ::= SEQUENCE {
   plmn-IdentityList-r11 PLMN-IdentityList3-r11
areaConfiguration-v1130 AreaConfiguration-v1130
                                   PLMN-IdentityList3-rl1 OPTIONAL, -- Need OR
AreaConfiguration-v1130 OPTIONAL, -- Need OR
LoggedMeasurementConfiguration-v1250-IES OPTIONAL
    areaConfiguration-v1130
    nonCriticalExtension
}
LoggedMeasurementConfiguration-v1250-IEs ::= SEQUENCE {
    targetMBSFN-AreaList-r12 TargetMBSFN-AreaList-r12
                                                                 OPTIONAL,
                                                                            -- Need OP
    nonCriticalExtension
                                    LoggedMeasurementConfiguration-v1530-IEs
    OPTIONAL
}
LoggedMeasurementConfiguration-v1530-IEs ::= SEQUENCE {
                      BT-NameList-r15
WLAN-NameList-r15
   bt-NameList-r15
                                                                     OPTIONAL,
    wlan-NameList-r15
                                                                    OPTIONAL,
   nonCriticalExtension
                                   LoggedMeasurementConfiguration-v1700-IEs
}
LoggedMeasurementConfiguration-v1700-IEs ::= SEQUENCE {
   {\tt loggedEventTriggerConfig-r17} \qquad {\tt LoggedEventTriggerConfig-r17}
                                                                   OPTIONAL,
                                                                                 --Need OR
    measUncomBarPre-r17
                                                                    OPTIONAL,
                                    ENUMERATED {true}
                                                                                 --Need OR
    {\tt nonCriticalExtension}
                                    SEQUENCE {}
                                                                     OPTIONAL
TargetMBSFN-AreaList-r12 ::=
                                       SEQUENCE (SIZE (0..maxMBSFN-Area)) OF TargetMBSFN-Area-r12
TargetMBSFN-Area-r12 ::=
                                        SEQUENCE {
                                       MBSFN-AreaId-r12
   mbsfn-AreaId-r12
                                                               OPTIONAL, -- Need OR
    carrierFreq-r12
                                        ARFCN-ValueEUTRA-r9,
}
LoggedEventTriggerConfig-r17 ::= SEQUENCE {
                                    EventType-r17
    eventType-r17
EventType-r17 ::= CHOICE {
   outOfCoverage NULL,
    eventL1
                       SEQUENCE {
       11-Threshold-r17 ThresholdEUTRA, hysteresis-r17 Hysteresis,
        timeToTrigger-r17
                               TimeToTrigger
    },
    . . .
-- ASN1STOP
```

#### LoggedMeasurementConfiguration field descriptions

#### absoluteTimeInfo

Indicates the absolute time in the current cell.

#### areaConfiguration

Used to restrict the area in which the UE performs measurement logging to cells broadcasting either one of the included cell identities or one of the included tracking area codes/ identities.

#### eventType

The value *outOfCoverage* indicates the UE to perform logging of measurements when the UE enters *any cell selection* state, and the value *eventL1* indicates the UE to perform logging of measurements when the triggering condition (similar as event A2 as specified in 5.5.4.3) as configured in the event is met for the camping cell in *camped normally* state.

#### measUncomBarPre

If configured, the UE attempts to perform the uncompensated Barometeric pressure measurement in RRC\_IDLE as defined in TS 37.355 [109].

#### plmn-IdentityList

Indicates a set of PLMNs defining when the UE performs measurement logging as well as the associated status indication and information retrieval i.e. the UE performs these actions when the RPLMN is part of this set of PLMNs.

#### targetMBSFN-AreaList

Used to indicate logging of MBSFN measurements and further restrict the area and frequencies for which the UE performs measurement logging for MBSFN. If both MBSFN area id and carrier frequency are present, a specific MBSFN area is indicated. If only carrier frequency is present, all MBSFN areas on that carrier frequency are indicated. If there is no entry in the list, any MBSFN area is indicated.

#### tce-la

Parameter Trace Collection Entity Id: See TS 32.422 [58].

#### traceRecordingSessionRef

Parameter Trace Recording Session Reference: See TS 32.422 [58]

#### – MasterInformationBlock

The MasterInformationBlock includes the system information transmitted on BCH.

Signalling radio bearer: N/A

RLC-SAP: TM

Logical channel: BCCH

Direction: E-UTRAN to UE

#### MasterInformationBlock

```
-- ASN1START
MasterInformationBlock ::=
                                    SEQUENCE {
                                        ENUMERATED {
    dl-Bandwidth
                                            n6, n15, n25, n50, n75, n100},
                                        PHICH-Config,
    phich-Config
    systemFrameNumber
                                        BIT STRING (SIZE (8)),
    schedulingInfoSIB1-BR-r13
                                        INTEGER (0..31),
    systemInfoUnchanged-BR-r15
                                        BOOLEAN,
   partEARFCN-17
                                    CHOICE {
        spare
                                        BIT STRING (SIZE (2)),
        earfcn-LSB
                                        BIT STRING (SIZE (2))
    spare
                                        BIT STRING (SIZE (1))
-- ASN1STOP
```

#### MasterInformationBlock field descriptions

#### dl-Bandwidth

Parameter: transmission bandwidth configuration, N<sub>RB</sub> in downlink, see TS 36.101 [42], table 5.6-1 and TS 36.102 [113], table 5.3A-1. n6 corresponds to 6 resource blocks, n15 to 15 resource blocks and so on.

#### earfcn-LSB

Indicates the 2 least significant bits of the EARFCN for NTN bands where 100 kHz raster is used, see TS 36.102 [113].

#### phich-Config

Specifies the PHICH configuration. If the UE is a BL UE or UE in CE, it shall ignore this field.

#### schedulingInfoSIB1-BR

Indicates the index to the tables that define *SystemInformationBlockType1-BR* scheduling information. The tables are specified in TS 36.213 [23], Table 7.1.6-1 and Table 7.1.7.2.7-1. Value 0 means that *SystemInformationBlockType1-BR* is not scheduled.

#### systemFrameNumber

Defines the 8 most significant bits of the SFN. As indicated in TS 36.211 [21], 6.6.1, the 2 least significant bits of the SFN are acquired implicitly in the P-BCH decoding, i.e. timing of 40ms P-BCH TTI indicates 2 least significant bits (within 40ms P-BCH TTI, the first radio frame: 00, the second radio frame: 01, the third radio frame: 10, the last radio frame: 11). One value applies for all serving cells of a Cell Group (i.e. MCG or SCG). The associated functionality is common (i.e. not performed independently for each cell).

#### systemInfoUnchanged-BR

Value TRUE indicates that no change has occurred in the SIB1-BR and SI messages at least over the SI validity time. NOTE: Value of *systemInfoUnchanged-BR* is also carried in RSS (if transmitted), see TS 36.211 [21].

#### MasterInformationBlock-MBMS

The MasterInformationBlock-MBMS includes the system information transmitted on BCH.

Signalling radio bearer: N/A

RLC-SAP: TM

Logical channel: BCCH

Direction: E-UTRAN to UE

#### MasterInformationBlock-MBMS

#### MasterInformationBlock-MBMS field descriptions

#### additionalNonMBSFNSubframes

Configures additional non-MBSFN subframes where *SystemInformationBlockType1-MBMS* and *SystemInformation-MBMS* may be transmitted. Value 0, 1, 2, 3 mean zero, one, two, three additional non-MBSFN subframes are configured after each subframe which has PBCH.

#### dl-Bandwidth-MBMS

Parameter: transmission bandwidth configuration, N<sub>RB</sub> in downlink, see TS 36.101 [42], table 5.6-1. n6 corresponds to 6 resource blocks, n15 to 15 resource blocks and so on.

#### semiStaticCFI-MBMS

Indicates semi-static value of CFI as specified in TS 36.213 [23], clause 9.1.3. If value 0 is indicated, CFI is obtained from PCFICH, otherwise the UE may assume the CFI in CAS is given by this field.

#### systemFrameNumber

Defines the 6 most significant bits of the SFN of the MBMS-dedicated cell. As indicated in TS 36.211 [21], clause 6.6.1, the 4 least significant bits of the SFN are acquired implicitly in the P-BCH decoding, i.e. timing of 160ms P-BCH TTI indicates 4 least significant bits (within 40ms P-BCH TTI, the first radio frame: 00, the fourth radio frame: 01, the eighth radio frame: 10, the last radio frame: 11).

# MBMSCountingRequest

The *MBMSCountingRequest* message is used by E-UTRAN to count the UEs that are receiving or interested to receive specific MBMS services.

Signalling radio bearer: N/A

RLC-SAP: UM

Logical channel: MCCH

Direction: E-UTRAN to UE

#### MBMSCountingRequest message

```
-- ASN1START
MBMSCountingRequest-r10 ::=
                               SEOUENCE {
                                CountingRequestList-r10,
   countingRequestList-r10
    lateNonCriticalExtension
                                   OCTET STRING
                                                                        OPTIONAL,
    nonCriticalExtension
                                    SEQUENCE {}
                                                                        OPTIONAL
CountingRequestList-r10 ::= SEQUENCE (SIZE (1..maxServiceCount)) OF CountingRequestInfo-r10
CountingRequestInfo-r10 ::=
                               SEQUENCE {
    tmgi-r10
                                        TMGI-r9,
-- ASN1STOP
```

#### – MBMSCountingResponse

The MBMSCountingResponse message is used by the UE to respond to an MBMSCountingRequest message.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

#### MBMSCountingResponse message

```
-- ASN1START

MBMSCountingResponse-r10 ::= SEQUENCE {
    criticalExtensions CHOICE {
```

```
c1
                                                 CHOICE {
                                                     MBMSCountingResponse-r10-IEs,
             countingResponse-r10
             spare3 NULL, spare2 NULL, spare1 NULL
         criticalExtensionsFuture
                                                 SEQUENCE { }
    }
}
    countingResponseList-r10 CountingResponseList-r10 lateNonCriticalExtension CTET STRING nonCriticalExtension SECULEMEN ()
MBMSCountingResponse-r10-IEs ::= SEQUENCE {
                                                                                                  OPTIONAL,
                                                                              OPTIONAL,
                                                                                OPTIONAL,
                                                                                OPTIONAL
CountingResponseList-r10 ::=
                                        SEQUENCE (SIZE (1..maxServiceCount)) OF CountingResponseInfo-r10
CountingResponseInfo-r10 ::=
                                        SEQUENCE {
    countingResponseService-r10 INTEGER (0..maxServiceCount-1),
-- ASN1STOP
```

#### MBMSCountingResponse field descriptions

#### countingResponseList

List of MBMS services which the UE is receiving or interested to receive. Value 0 for field *countingResponseService* corresponds to the first entry in *countingRequestList* within *MBMSCountingRequest*, value 1 corresponds to the second entry in this list and so on.

#### mbsfn-AreaIndex

Index of the entry in field *mbsfn-AreaInfoList* within *SystemInformationBlockType13*. Value 0 corresponds to the first entry in 1st *mbsfn-AreaInfoList* within *SystemInformationBlockType13*, value 1 corresponds to the second entry in the same list, or when no more entry are present within the same *mbsfn-AreaInfoList*, then the first entry in the subsequent *mbsfn-AreaInfoList* within the same *SystemInformationBlockType13* and so on.

#### MBMSInterestIndication

The MBMSInterestIndication message is used to inform E-UTRAN that the UE is receiving/interested to receive or no longer receiving/ interested to receive MBMS via an MRB or SC-MRB including MBMS service(s) in receive only mode.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

#### MBMSInterestIndication message

```
-- ASN1START
MBMSInterestIndication-r11 ::=
                                 SEQUENCE {
                                   CHOICE {
   criticalExtensions
                                         CHOICE {
       c1
           interestIndication-r11
                                             MBMSInterestIndication-r11-IEs,
           spare3 NULL, spare2 NULL, spare1 NULL
       },
       criticalExtensionsFuture
                                         SEQUENCE { }
}
MBMSInterestIndication-r11-IEs ::= SEQUENCE {
   mbms-FreqList-rll CarrierFreqListMBMS-rll
                                                                      OPTIONAL,
                                     ENUMERATED {true}
   mbms-Priority-r11
                                                                       OPTIONAL,
   lateNonCriticalExtension
                                     OCTET STRING
                                                                       OPTIONAL,
                                     MBMSInterestIndication-v1310-IEs
                                                                       OPTIONAL
   nonCriticalExtension
MBMSInterestIndication-v1310-IEs ::= SEQUENCE {
```

```
mbms-Services-r13
                                       MBMS-ServiceList-r13
                                                                          OPTIONAL,
   nonCriticalExtension
                                       MBMSInterestIndication-v1540-IEs
                                                                              OPTIONAL
}
MBMSInterestIndication-v1540-IEs ::=
                                      SEQUENCE {
   mbms-ROM-InfoList-r15
                                 SEQUENCE (SIZE(1..maxMBMS-ServiceListPerUE-r13)) OF MBMS-ROM-
Info-r15
                                                                          OPTIONAL.
                                       MBMSInterestIndication-v1610-IEs
   nonCriticalExtension
                                                                          OPTIONAL
MBMSInterestIndication-v1610-IEs ::= SEQUENCE {
   mbms-ROM-InfoList-r16
                                       SEQUENCE (SIZE(1..maxMBMS-ServiceListPerUE-r13)) OF MBMS-
ROM-Info-r16
                OPTIONAL,
   nonCriticalExtension
                                      SEQUENCE {}
                                                                          OPTIONAL
MBMS-ROM-Info-r15 ::= SEQUENCE {
   mbms-ROM-Freq-r15
                                           ARFCN-ValueEUTRA-r9,
   mbms-ROM-SubcarrierSpacing-r15
                                       ENUMERATED {kHz15, kHz7dot5, kHz1dot25},
   mbms-Bandwidth-r15
                                       ENUMERATED {n6, n15, n25, n50, n75, n100}
}
MBMS-ROM-Info-r16 ::= SEQUENCE {
   mbms-ROM-Freg-r16
                                      ARFCN-ValueEUTRA-r9,
                                       ENUMERATED {kHz2dot5, kHz0dot37},
   mbms-ROM-SubcarrierSpacing-r16
   mbms-Bandwidth-r16
                                       ENUMERATED {n6, n15, n25, n50, n75, n100}
-- ASN1STOP
```

#### MBMSInterestIndication field descriptions

#### mbms-Bandwidth

Indicates the UE received MBMS service frequency bandwidth configuration, N<sub>RB</sub> in downlink, see TS 36.101 [42], table 5.6-1. n6 corresponds to 6 resource blocks, n15 to 15 resource blocks and so on.

#### mbms-FreqList

List of MBMS frequencies on which the UE is receiving or interested to receive MBMS via an MRB or SC-MRB.

#### mbms-Priority

Indicates whether the UE prioritises MBMS reception above unicast reception. The field is present (i.e. value *true*), if the UE prioritises reception of all listed MBMS frequencies above reception of any of the unicast bearers. Otherwise the field is absent.

# mbms-ROM-Freq

The value indicates the carrier frequency used by the UE to receive MBMS service(s) in receive only mode.

#### mbms-ROM-InfoList

List of receive only mode MBMS service(s) related parameters which the UE is receiving or interested to receive.

#### mbms-ROM-SubcarrierSpacing

The value indicates subcarrier spacing for MBSFN subframes received by UE in receive only mode and kHz15 refers to 15kHz, kHz7dot5 refers to 7.5kHz subcarrier spacing and so on as defined in TS 36.211 [21], clause 6.12.

# MBSFNAreaConfiguration

The MBSFNAreaConfiguration message contains the MBMS control information applicable for an MBSFN area. For each MBSFN area included in SystemInformationBlockType13 E-UTRAN configures an MCCH (i.e. the MCCH identifies the MBSFN area) and signals the MBSFNAreaConfiguration message.

Signalling radio bearer: N/A

RLC-SAP: UM

Logical channel: MCCH

Direction: E-UTRAN to UE

#### MBSFNAreaConfiguration message

```
-- ASN1START

MBSFNAreaConfiguration-r9 ::= SEQUENCE {
    commonSF-Alloc-r9 CommonSF-AllocPatternList-r9,
    commonSF-AllocPeriod-r9 ENUMERATED {
```

```
rf4, rf8, rf16, rf32, rf64, rf128, rf256},
    pmch-InfoList-r9
                                        PMCH-InfoList-r9,
    nonCriticalExtension
                                        MBSFNAreaConfiguration-v930-IEs OPTIONAL
}
MBSFNAreaConfiguration-v930-IEs ::= SEQUENCE {
    lateNonCriticalExtension
                                        OCTET STRING
                                                                            OPTIONAL.
    nonCriticalExtension
                                        MBSFNAreaConfiguration-v1250-IEs
                                                                            OPTIONAL
MBSFNAreaConfiguration-v1250-IEs ::= SEQUENCE {
    pmch-InfoListExt-r12
                                        PMCH-InfoListExt-r12
                                                                            OPTIONAL,
                                                                                        -- Need OR
                                        MBSFNAreaConfiguration-v1430-IEs OPTIONAL
    nonCriticalExtension
MBSFNAreaConfiguration-v1430-IEs ::= SEQUENCE {
    commonSF-Alloc-v1430
                                            CommonSF-AllocPatternList-v1430,
    nonCriticalExtension
                                        MBSFNAreaConfiguration-v1610-IEs
    OPTIONAL
}
MBSFNAreaConfiguration-v1610-IEs ::= SEQUENCE {
    commonSF-Alloc-v1610
                                            CommonSF-AllocPatternList-v1610
                                                                                OPTIONAL,
                                                                                            -- Need
OR
   nonCriticalExtension
                                        SEOUENCE {}
                                                                            OPTIONAL
CommonSF-AllocPatternList-r9 ::=
                                  SEQUENCE (SIZE (1..maxMBSFN-Allocations)) OF MBSFN-
SubframeConfig
CommonSF-AllocPatternList-v1430 ::= SEQUENCE (SIZE (1..maxMBSFN-Allocations)) OF MBSFN-
SubframeConfig-v1430
CommonSF-AllocPatternList-v1610 ::= SEQUENCE (SIZE (1..maxMBSFN-Allocations)) OF MBSFN-
SubframeConfig-v1610
-- ASN1STOP
```

#### MBSFNAreaConfiguration field descriptions

#### commonSF-Alloc

Indicates the subframes allocated to the MBSFN area. E-UTRAN always sets this field to cover at least the subframes configured by *SystemInformationBlockType13* for this MCCH, regardless of whether any MBMS sessions are ongoing. E-UTRAN includes *commonSF-Alloc-v1610* only when the cell is a MBMS-dedicated cell. If E-UTRAN includes *commonSF-Alloc-v1610*, it includes the same number of entries, and listed in the same order, as in *commonSF-Alloc-r9*.

#### commonSF-AllocPeriod

Indicates the period during which resources corresponding with field *commonSF-Alloc* are divided between the (P)MCH that are configured for this MBSFN area. The subframe allocation patterns, as defined by *commonSF-Alloc*, repeat continously during this period. Value rf4 corresponds to 4 radio frames, rf8 corresponds to 8 radio frames and so on. The *commonSF-AllocPeriod* starts in the radio frames for which: SFN mod *commonSF-AllocPeriod* = 0.

#### pmch-InfoList

EUTRAN may include *pmch-InfoListExt* even if *pmch-InfoList* does not include *maxPMCH-PerMBSFN* entries. EUTRAN configures at most *maxPMCH-PerMBSFN* entries i.e. across *pmch-InfoList* and *pmch-InfoListExt*.

# MCGFailureInformation

The MCGFailureInformation message is used to provide information regarding E-UTRA MCG failures detected by the UE.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

#### MCGFailureInformation message

-- ASN1START

```
MCGFailureInformation-r16 ::=
                                           SEQUENCE {
   criticalExtensions
                                           CHOICE {
                                               MCGFailureInformation-r16-IEs,
       mcgFailureInformation
        criticalExtensionsFuture
                                               SEQUENCE {}
}
MCGFailureInformation-r16-IEs ::=
                                           SEQUENCE {
    failureReportMCG-r16
                                               FailureReportMCG-r16
                                                                                       OPTIONAL,
                                                                               OPTIONAL,
    lateNonCriticalExtension
                                           OCTET STRING
   nonCriticalExtension
                                           SEQUENCE {}
                                                                               OPTIONAL
FailureReportMCG-r16 ::=
                                           SEQUENCE {
                                               ENUMERATED {
   failureType-r16
                                               t310-Expiry, randomAccessProblem,
                                               rlc-MaxNumRetx, t312-Expiry, spare4,
                                               spare3, spare2, spare1 OPTIONAL,
                                               MeasResultList3EUTRA-r15
                                                                                  OPTIONAL,
   measResultFreqListEUTRA-r16
   measResultFreqListNR-r16
                                               MeasResultFreqListFailNR-r15
                                                                                  OPTIONAL,
                                               MeasResultList2GERAN-r10
                                                                                  OPTIONAL,
    measResultFreqListGERAN-r16
    measResultFreqListUTRA-r16
                                               MeasResultList2UTRA-r9
                                                                                   OPTIONAL,
   measResultSCG-r16
                                               OCTET STRING
                                                                                   OPTIONAL,
 - ASN1STOP
```

# MCGFailureInformation field descriptions

#### measResultFreqListEUTRA

The field contains available results of measurements on EUTRA frequencies the UE is configured to measure by measConfig.

#### measResultFreqListGERAN

The field contains available results of measurements on GERAN frequencies the UE is configured to measure by measConfig.

#### measResultFreqListNR

The field contains available results of measurements on NR frequencies the UE is configured to measure by measConfig.

#### measResultFreqListUTRA

The field contains available results of measurements on UTRA frequencies the UE is configured to measure by measConfig.

#### measResultSCG

Includes the NR MeasResultSCG-Failure IE as specified in TS 38.331 [82]. The field contains available results of measurements on NR frequencies the UE is configured to measure by the NR RRCConfiguration message.

# MeasReportAppLayer

The MeasReportAppLayer message is used for sending application layer measurement report.

Signalling radio bearer: SRB4

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

#### MeasReportAppLayer message

#### MeasReportAppLayer field descriptions

#### measReportAppLayerContainer

The field contains container of application layer measurements, see Annex L (normative) in TS 26.247 [90] and clause 16.5 in TS 26.114 [99].

#### serviceType

Indicates the type of application layer measurement. Value qoe indicates Quality of Experience Measurement Collection for streaming services, value qoemtsi indicates Quality of Experience Measurement Collection for MTSI.

# - MeasurementReport

The MeasurementReport message is used for the indication of measurement results.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

#### MeasurementReport message

```
-- ASN1START
MeasurementReport ::=
                                  SEQUENCE {
                                    CHOICE {
   criticalExtensions
                                       CHOICE {
       c1
           measurementReport-r8
                                              MeasurementReport-r8-IEs,
           spare7 NULL,
           spare6 NULL, spare5 NULL, spare4 NULL,
           spare3 NULL, spare2 NULL, spare1 NULL
       criticalExtensionsFuture
                                          SEQUENCE {}
   }
}
MeasurementReport-r8-IEs ::=
                                  SEQUENCE {
   measResults
                                      MeasResults,
   nonCriticalExtension
                                      MeasurementReport-v8a0-IEs
                                                                         OPTIONAL
MeasurementReport-v8a0-IEs ::= SEQUENCE {
   lateNonCriticalExtension OCTET STRING
                                                                         OPTIONAL,
                                      SEQUENCE {}
   nonCriticalExtension
                                                                         OPTIONAL
-- ASN1STOP
```

# MobilityFromEUTRACommand

The *MobilityFromEUTRACommand* message is used to command handover or a cell change from E-UTRA to another RAT (3GPP or non-3GPP), or enhanced CS fallback to CDMA2000 1xRTT.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to UE

# MobilityFromEUTRACommand message

```
-- ASN1START
MobilityFromEUTRACommand ::= SEQUENCE {
    rrc-TransactionIdentifier RRC-TransactionIdentifier,
    criticalExtensions CHOICE {
            mobilityFromEUTRACommand-r8 Mob
            mobilityFromEUTRACommand-r8 MobilityFromEUTRACommand-r8-IEs, mobilityFromEUTRACommand-r9 MobilityFromEUTRACommand-r9-IEs, spare2 NULL, spare1 NULL.
        criticalExtensionsFuture SEQUENCE {}
    }
}
MobilityFromEUTRACommand-r8-IEs ::= SEQUENCE {
   cs-FallbackIndicator
purpose
                                          CHOICE {
                                              Handover,
        handover
        cellChangeOrder
                                               CellChangeOrder
    nonCriticalExtension
                                          MobilityFromEUTRACommand-v8a0-IEs OPTIONAL
}
MobilityFromEUTRACommand-v8a0-IEs ::= SEQUENCE {
    lateNonCriticalExtension
                                          OCTET STRING
                                          MobilityFromEUTRACommand-v8d0-IEs OPTIONAL
    nonCriticalExtension
}
MobilityFromEUTRACommand-v8d0-IEs ::= SEQUENCE {
                                          BandIndicatorGERAN OPTIONAL,
SEQUENCE {} OPTIONAL
    bandIndicator
                                                                                      -- Cond GERAN
                                          SEQUENCE {}
    nonCriticalExtension
MobilityFromEUTRACommand-r9-IEs ::= SEQUENCE {
    cs-FallbackIndicator
                                          BOOLEAN,
                                           CHOICE{
    purpose
        handover
                                               Handover,
        cellChangeOrder
                                               CellChangeOrder,
        e-CSFB-r9
                                               E-CSFB-r9,
    nonCriticalExtension
                                         MobilityFromEUTRACommand-v930-IEs OPTIONAL
}
MobilityFromEUTRACommand-v930-IEs ::= SEQUENCE {
    lateNonCriticalExtension
                                          OCTET STRING
                                                                                  OPTIONAL,
                                          MobilityFromEUTRACommand-v960-IEs OPTIONAL
    nonCriticalExtension
}
MobilityFromEUTRACommand-v960-IEs ::= SEQUENCE {
                                      BandIndicatorGERAN
                                                                             OPTIONAL,
    bandIndicator
    nonCriticalExtension
                                     MobilityFromEUTRACommand-v1530-IEs OPTIONAL
MobilityFromEUTRACommand-v1530-IEs ::= SEQUENCE {
                                          MTC-SSB-NR-r15
   smtc-r15
                                                                        OPTIONAL,
                                                                                          -- Need OP
                                           SEQUENCE {}
    nonCriticalExtension
                                                                         OPTIONAL
}
Handover ::=
                                      SEQUENCE {
    targetRAT-Type
                                          ENUMERATED {
                                              utra, geran, cdma2000-1XRTT, cdma2000-HRPD,
                                              nr, eutra, spare2, spare1, ...},
    targetRAT-MessageContainer OCTET STRING,
nas-SecurityParamFromEUTRA OCTET STRING (SIZE (1)) OPTIONAL, -- Cond UTRAGERANEPC
SI-OrPSI-GERAN OPTIONAL -- Cond PSHO
}
```

```
CellChangeOrder ::=
                                     SEQUENCE {
                                               ENUMERATED {
    t304
                                                   ms100, ms200, ms500, ms1000,
                                                   ms2000, ms4000, ms8000, ms10000-v1310},
    targetRAT-Type
                                               CHOICE {
                                                  SEQUENCE {
             geran
                                                    PhysCellIdGERAN,
                  physCellId
                  carrierFreq
                                                       CarrierFreqGERAN,
                  networkControlOrder
                                                        BIT STRING (SIZE (2)) OPTIONAL, -- Need OP SI-OrPSI-GERAN OPTIONAL -- Need OP
                  systemInformation
                                                       SI-OrPSI-GERAN
              },
SI-OrPSI-GERAN ::=
                                          CHOICE {
                                               SystemInfoListGERAN,
                                              SystemInfoListGERAN
    psi
   SFB-r9 ::= SEQUENCE {
messageContCDMA2000-1XRTT-r9 OCTET STRING OPTION,
mobilityCDMA2000-HRPD-r9 ENUMERATED {
    handover, redirection
} OPTION.
E-CSFB-r9 ::=
                                                                OPTIONAL, -- Need ON
   BessageContCDMA2000-HRPD-r9 OCTET STRING OPTIONAL, -- Need OP CarrierFreqCDMA2000 OPTIONAL, -- Cond concHO ConcRedir
}
-- ASN1STOP
```

### MobilityFromEUTRACommand field descriptions

#### bandIndicator

Indicates how to interpret the ARFCN of the BCCH carrier.

#### carrierFreq

contains the carrier frequency of the target GERAN cell.

### cs-FallbackIndicator

Value true indicates that the CS fallback procedure to UTRAN or GERAN is triggered.

#### messageContCDMA2000-1XRTT

This field contains a message specified in CDMA2000 1xRTT standard that either tells the UE to move to specific 1xRTT target cell(s) or indicates a failure to allocate resources for the enhanced CS fallback to CDMA2000 1xRTT.

### messageContCDMA2000-HRPD

This field contains a message specified in CDMA2000 HRPD standard that either tells the UE to move to specific HRPD target cell(s) or indicates a failure to allocate resources for the handover to CDMA2000 HRPD.

### mobilityCDMA2000-HRPD

This field indicates whether or not mobility to CDMA2000 HRPD is to be performed by the UE and it also indicates the type of mobility to CDMA2000 HRPD that is to be performed; If this field is not present the UE shall perform only the enhanced CS fallback to CDMA2000 1xRTT.

### nas-SecurityParamFromEUTRA

If the *targetRAT-Type* is set to "eutra" and the source CN is 5GC, this field is used to deliver the key synchronisation and key freshness for the Key freshness for the 5GS to EPS handovers as specified in TS 33.501 [86] and the content of the parameter is defined in TS 24.501 [95]. Otherwise, this field is used to deliver the key synchronisation and Key freshness for the E-UTRAN to UTRAN handovers as specified in TS 33.401 [32] and the content of the parameter is defined in TS24.301 [35].

### networkControlOrder

Parameter NETWORK\_CONTROL\_ORDER in TS 44.060 [36].

#### purpose

Indicates which type of mobility procedure the UE is requested to perform. EUTRAN always applies value *e-CSFB* in case of enhanced CS fallback to CDMA2000 (e.g. also when that procedure results in handover to CDMA2000 1XRTT only, in handover to CDMA2000 HRPD only or in redirection to CDMA2000 HRPD only),

### redirectCarrierCDMA2000-HRPD

The *redirectCarrierCDMA2000-HRPD* indicates a CDMA2000 carrier frequency and is used to redirect the UE to a HRPD carrier frequency.

#### smtc

The SSB periodicity/offset/duration configuration of target cell for inter-RAT handover to NR. It is based on timing reference of EUTRA PCell. If the field is absent, the UE uses the SMTC in the *measObjectNR* having the same SSB frequency and subcarrier spacing, as configured before the reception of the RRC message.

### SystemInfoListGERAN

If purpose = CellChangeOrder and if the field is not present, the UE has to acquire SI/PSI from the GERAN cell.

### t304

Timer T304 as described in clause 7.3. Value ms100 corresponds with 100 ms, ms200 corresponds with 200 ms and so on. EUTRAN includes extended value *ms10000-v1310* only when UE supports CE.

## targetRAT-Type

Indicates the target RAT type.

## targetRAT-MessageContainer

The field contains a message specified in another standard, as indicated by the *targetRAT-Type*, and carries information about the target cell identifier(s) and radio parameters relevant for the target radio access technology. NOTE 1.

A complete message is included, as specified in the other standard.

Conditional presence	Explanation
concHO	The field is mandatory present if the mobilityCDMA2000-HRPD is set to "handover";
	otherwise the field is optional present, need ON.
concRedir	The field is mandatory present if the mobilityCDMA2000-HRPD is set to "redirection";
	otherwise the field is not present.
GERAN	The field should be present if the <i>purpose</i> is set to "handover" and the targetRAT-Type is
	set to "geran"; otherwise the field is not present
PSH0	The field is mandatory present in case of PS handover toward GERAN; otherwise the
	field is optionally present, but not used by the UE
UTRAGERANEPC	The field is mandatory present if the targetRAT-Type is set to "utra" or "geran" or if the
	targetRAT-Type is set to "eutra" and the source CN is 5GC; otherwise the field is not
	present

NOTE 1: The correspondence between the value of the *targetRAT-Type*, the standard to apply and the message contained within the *targetRAT-MessageContainer* is shown in the table below:

targetRAT-Type	Standard to apply	targetRAT-MessageContainer
cdma2000-	C.S0001 or later, C.S0007 or later, C.S0008 or	
1XRTT	later	
cdma2000-HRPD	C.S0024 or later	
eutra	TS 36.331 (clause 5.4.2)	RRCConnectionReconfiguration
geran	GSM TS 04.18, version 8.5.0 or later, or TS 44.018 (clause 9.1.15)	HANDOVER COMMAND
	TS 44.060, version 6.13.0 or later (clause 11.2.43)	PS HANDOVER COMMAND
	TS 44.060, version 7.6.0 or later (clause 11.2.46)	DTM HANDOVER COMMAND
nr	TS 38.331 (clause 6.2.2)	RRCReconfiguration
utra	TS 25.331 (clause 10.2.16a)	HANDOVER TO UTRAN COMMAND

# – Paging

The *Paging* message is used for the notification of one or more UEs.

Signalling radio bearer: N/A

RLC-SAP: TM

Logical channel: PCCH

Direction: E-UTRAN to UE

# Paging message

```
-- ASN1START
    pagingRecordList
systemInfor
Paging ::=
     pagingRecordList
pagingRecordList
systemInfoModification
etws-Indication
nonCriticalExtension
PagingRecordList
ENUMERATED {true}
Paging-v890-IEs
                                                                                        OPTIONAL,
                                                                                                        -- Need ON
                                                                           OPTIONAL,
                                                                                                        -- Need ON
     nonCriticalExtension
                                                                                        OPTIONAL,
                                                                                                        -- Need ON
                                                                                        OPTIONAL
}
Paging-v890-IEs ::= SEQUENCE {
     lateNonCriticalExtension OCTET STRING
                                                                                        OPTIONAL,
     nonCriticalExtension
                                            Paging-v920-IEs
                                                                                         OPTIONAL
Paging-v920-IEs ::= SEQUENCE {
  cmas-Indication-r9 ENUMERATED {true}
  nonCriticalExtension Paging-v1130-IEs
                                                                                        OPTIONAL,
                                                                                                        -- Need ON
                                                                                         OPTIONAL
Paging-v1130-IEs ::=
                                     SEQUENCE {
    eab-ParamModification-rll ENUMERATED {true}
nonCriticalExtension Paging-v1310-IEs
                                                                                        OPTIONAL,
                                                                                                        -- Need ON
                                                                                        OPTIONAL
Paging-v1310-IEs ::=
                                      SEQUENCE {
    redistributionIndication-r13 ENUMERATED {true}
                                                                                       OPTIONAL,
                                                                                                        -- Need ON
                                                                                        OPTIONAL,
     systemInfoModification-eDRX-r13 ENUMERATED {true}
                                                                                                        -- Need ON
     nonCriticalExtension
                                           Paging-v1530-IEs
                                                                                        OPTIONAL
}
Paging-v1530-IEs ::= SEQUENCE {
    accessType ENUMERA
    nonCriticalExtension Paging-
                                      ENUMERATED {non3GPP}
Paging-v1610-IEs
                                                                                        OPTIONAL,
                                                                                                        -- Need ON
                                                                                         OPTIONAL
   ging-v1610-IEs ::= SEQUENCE {
   pagingRecordList-v1610 PagingRecordList-v1610 OPTIONAL,
   uac-ParamModification-r16 ENUMERATED {true} OPTIONAL,
   nonCriticalExtension Paging-v1700-IEs OPTIONAL
Paging-v1610-IEs ::=
                                                                                                        -- Need ON
                                                                                                        -- Need ON
Paging-v1700-IEs ::=
   ging-v1700-IEs ::= SEQUENCE {
   pagingRecordList-v1700 PagingF
                                          PagingRecordList-v1700
                                                                                    OPTIONAL, -- Need ON
```

```
SEQUENCE {}
   nonCriticalExtension
                                                                    OPTIONAL
PagingRecordList ::=
                                  SEQUENCE (SIZE (1..maxPageRec)) OF PagingRecord
PagingRecordList-v1610 ::=
                                  SEQUENCE (SIZE (1..maxPageRec)) OF PagingRecord-v1610
PagingRecordList-v1700 ::=
                                  SEQUENCE (SIZE (1..maxPageRec)) OF PagingRecord-v1700
PagingRecord ::=
                                  SEQUENCE {
  ue-Identity
                                     PagingUE-Identity,
                                      ENUMERATED {ps, cs},
   cn-Domain
}
PagingRecord-v1610 ::=
                                  SEQUENCE {
                                      ENUMERATED {non3GPP} OPTIONAL, -- Need ON ENUMERATED {true} OPTIONAL -- Need ON
   accessType-r16
                                      ENUMERATED {true}
   mt-EDT-r16
}
                                  SEQUENCE {
PagingRecord-v1700 ::=
                                     ENUMERATED {voice} OPTIONAL -- Need ON
  pagingCause-r17
PagingUE-Identity ::=
                                  CHOICE {
   s-TMSI
                                     S-TMSI,
   imsi
                                     IMSI,
   ng-5G-S-TMSI-r15
                                    NG-5G-S-TMSI-r15,
   fullI-RNTI-r15
                                     I-RNTI-r15
IMSI ::=
                                  SEQUENCE (SIZE (6..21)) OF IMSI-Digit
IMSI-Digit ::=
                                  INTEGER (0..9)
-- ASN1STOP
```

### Paging field descriptions

### accessType

It indicates whether Paging is originated due to the PDU sessions from the non-3GPP access when E-UTRA is connected to 5GC. E-UTRAN does not include both *accessType* (i.e., without suffix) and *accessType-r16* in a single paging message.

#### cmas-Indication

If present: indication of a CMAS notification.

#### cn-Domain

Indicates the origin of paging.

#### eab-ParamModification

If present: indication of an EAB parameters (SIB14) modification.

#### etws-Indication

If present: indication of an ETWS primary notification and/ or ETWS secondary notification.

### imsi

The International Mobile Subscriber Identity, a globally unique permanent subscriber identity, see TS 23.003 [27]. The first element contains the first IMSI digit, the second element contains the second IMSI digit and so on.

### mt-EDT

Indication of mobile terminating EDT.

# pagingCause

Indicates whether the *Paging* message is originated due to IMS voice. If the field is present, it implies that the corresponding paging entry is for IMS voice. If upper layers indicate the support of paging cause and if this field is not present but *pagingRecordList-v1700* is present, it implies that the corresponding paging entry is for a service other than IMS voice. Otherwise, paging cause is undetermined.

### pagingRecordList

If E-UTRAN includes *pagingRecordList-v1610* and/or *pagingRecordList-v1700*, it includes the same number of entries, and listed in the same order, as in *pagingRecordList* (i.e. without suffix).

### redistributionIndication

If present: indication to trigger E-UTRAN inter-frequency redistribution procedure as specified in TS 36.304 [4], clause 5.2.4.10.

### systemInfoModification

If present: indication of a BCCH modification other than SIB10, SIB11, SIB12, SIB14 and SIB31. This indication does not apply to UEs using eDRX cycle longer than the BCCH modification period.

### systemInfoModification-eDRX

If present: indication of a BCCH modification other than SIB10, SIB11, SIB12, SIB14 and SIB31. This indication applies only to UEs using eDRX cycle longer than the BCCH modification period.

### uac-ParamModification

If present: indication of UAC parameters (SIB25) modification.

# ue-Identity

Provides the NAS identity of the UE that is being paged. The IMSI is not applicable for E-UTRA/5GC.

# ProximityIndication

The *ProximityIndication* message is used to indicate that the UE is entering or leaving the proximity of one or more CSG member cell(s).

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

# ProximityIndication message

```
ProximityIndication-r9-IEs ::= SEQUENCE {
    type-r9
                                       ENUMERATED {entering, leaving},
    carrierFreq-r9
                                       CHOICE {
       eutra-r9
                                           ARECN-ValueEUTRA.
       utra-r9
                                           ARFCN-ValueUTRA,
       eutra2-v9e0
                                           ARFCN-ValueEUTRA-v9e0
    nonCriticalExtension
                                      ProximityIndication-v930-IEs
    OPTIONAL
}
ProximityIndication-v930-IEs ::= SEQUENCE {
   lateNonCriticalExtension OCTET STRING
                                                                          OPTIONAL,
    nonCriticalExtension
                                       SEQUENCE {}
                                                                           OPTIONAL
-- ASN1STOP
```

# ProximityIndication field descriptions

# carrierFreq

Indicates the RAT and frequency of the CSG member cell(s), for which the proximity indication is sent. For E-UTRA and UTRA frequencies, the UE shall set the ARFCN according to a band it previously considered suitable for accessing (one of) the CSG member cell(s), for which the proximity indication is sent.

#### type

Used to indicate whether the UE is entering or leaving the proximity of CSG member cell(s).

# PURConfigurationRequest

The *PURConfigurationRequest* message is used by BL UE or UE in CE to indicate to the E-UTRAN that the UE is interested to be configured with PUR and provide PUR related information to E-UTRAN.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

# PURConfigurationRequest message

```
-- ASN1START
PURConfigurationRequest-r16 ::=
                                     SEQUENCE {
       purConfigurationRequest CHOICE {
CriticalExtern
   criticalExtensions
                                           PURConfigurationRequest-r16-IEs,
        criticalExtensionsFuture
                                                SEQUENCE {}
}
PURConfigurationRequest-r16-IEs ::= SEQUENCE {
   pur-ConfigRequest-r16 CHOICE {
    pur-ReleaseRequest NULL
           pur-ReleaseRequest
        pur-SetupRequest
                                              ENUMERATED {one, infinite},
            requestedPeriodicityAndOffset-r16 PUR-PeriodicityAndOffset-r16
                                                                                  OPTIONAL.
                                                 ENUMERATED {b328, b344, b376, b392, b408,
            requestedTBS-r16
                                                     b424, b440, b456, b472, b488, b504, b536,
                                                     b568, b584, b616, b648, b680, b712, b744, b776, b808, b840, b872, b904, b936, b968,
                                                     b1000, b1032, b1064, b1096, b1128, b1160,
                                                     b1192, b1224, b1256, b1288, b1320, b1352,
                                                     b1384, b1416, b1480, b1544, b1608, b1672,
                                                     b1736, b1800, b1864, b1928, b1992, b2024,
                                                     b2088, b2152, b2216, b2280, b2344, b2408,
                                                     b2472, b2536, b2600, b2664, b2728, b2792,
                                                     b2856, b2984},
                                                 ENUMERATED {true}
            rrc-ACK-r16
                                                                              OPTIONAL
        }
                                                                              OPTIONAL,
```

### PURConfigurationRequest field descriptions

#### requestedNumOccasions

Indicates the requested number of PUR grant occasions. Value *one* corresponds to one occasion and value *infinite* corresponds to infinite occasions.

### requestedPeriodicityAndOffset

Indicates the requested periodicity for the PUR occasions and time offset until the first PUR occasion.

### requestedTBS

Indicates the requested TBS for the PUR. b328 corresponds to 328 bits, b344 corresponds to 344 bits and so on. The maximum requested TBS is limited to the UL TBS size supported by the UE.

#### rrc-ACK

Indicates RRC response message is preferred by the UE for acknowledging the reception of a transmission using PUR.

# RNReconfiguration

The RNReconfiguration is a command to modify the RN subframe configuration and/or to convey changed system information.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to RN

# **RNReconfiguration message**

```
-- ASN1START
RNReconfiguration-r10 ::= SEQUENCE {
   rrc-TransactionIdentifier RRC-TransactionIdentifier, criticalExtensions CHOICE {
            CHOICE {
rnReconfiguration-r10 RNReconfiguration-r10-IEs,
        c1
            spare3 NULL, spare2 NULL, spare1 NULL
        criticalExtensionsFuture
                                         SEOUENCE {}
    }
RNReconfiguration-r10-IEs ::=
                                   SEQUENCE {
                                    RN-SystemInfo-r10
RN-SubframeConfig-r10
   rn-SystemInfo-r10
rn-SubframeConfig-r10
lateNonCriticalExtension
                                                                           OPTIONAL,
                                                                                         -- Need ON
                                                                          OPTIONAL,
                                                                                         -- Need ON
                                         OCTET STRING
                                                                            OPTIONAL,
   nonCriticalExtension
                                         SEQUENCE {}
                                                                            OPTIONAL
}
                         SEQUENCE {
RN-SystemInfo-r10 ::=
    {\tt systemInformationBlockType1-r10} \qquad {\tt OCTET\ STRING\ (CONTAINING\ SystemInformationBlockType1)}
   OPTIONAL, -- Need ON
    systemInformationBlockType2-r10 SystemInformationBlockType2 OPTIONAL, -- Need ON
}
-- ASN1STOP
```

# RNReconfigurationComplete

The RNReconfigurationComplete message is used to confirm the successful completion of an RN reconfiguration.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: RN to E-UTRAN

# RNReconfigurationComplete message

```
-- ASN1START
RNReconfigurationComplete-r10 ::=
                                        SEQUENCE {
   rrc-TransactionIdentifier
                                            RRC-TransactionIdentifier,
    criticalExtensions
                                            CHOICE {
        c1
                                                CHOICE {
            rnReconfigurationComplete-r10
                                                    RNReconfigurationComplete-r10-IEs,
            spare3 NULL, spare2 NULL, spare1 NULL
                                                SEQUENCE {}
        criticalExtensionsFuture
}
RNReconfigurationComplete-r10-IEs ::= SEQUENCE {
                                            OCTET STRING
    lateNonCriticalExtension
                                                                        OPTIONAL,
    nonCriticalExtension
                                            SEQUENCE {}
                                                                        OPTIONAL
-- ASN1STOP
```

# RRCConnectionReconfiguration

The RRCConnectionReconfiguration message is the command to modify an RRC connection. It may convey information for measurement configuration, mobility control, conditional reconfigurations (conditional handover, conditional PSCell addition or inter-SN conditional PSCell change), radio resource configuration (including RBs, MAC main configuration and physical channel configuration) including any associated dedicated NAS information and security configuration.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to UE

# RRCConnectionReconfiguration message

```
-- ASN1START
RRCConnectionReconfiguration ::=
                                   SEQUENCE {
    rrc-TransactionIdentifier
                                       RRC-TransactionIdentifier,
    criticalExtensions
                                       CHOICE {
                                           CHOICE {
           rrcConnectionReconfiguration-r8
                                              RRCConnectionReconfiguration-r8-IEs,
           spare7 NULL,
           spare6 NULL, spare5 NULL, spare4 NULL,
           spare3 NULL, spare2 NULL, spare1 NULL
        criticalExtensionsFuture
                                           SEQUENCE {}
RRCConnectionReconfiguration-r8-IEs ::= SEQUENCE {
                                       MeasConfig
                                                                      OPTIONAL.
    measConfig
                                                                                   -- Need ON
                                                                                   -- Cond HO
    mobilityControlInfo
                                       MobilityControlInfo
                                                                       OPTIONAL,
                                      SEQUENCE (SIZE(1..maxDRB)) OF
    dedicatedInfoNASList
                                           DedicatedInfoNAS
                                                                      OPTIONAL,
                                                                                  -- Cond nonHO
                                                                      OPTIONAL, -- Cond HO-toEUTRA
   radioResourceConfigDedicated RadioResourceConfigDedicated
                                                                                 -- Cond HO-toEPC
    securityConfigHO
                                       SecurityConfigHO
                                                                      OPTIONAL,
    nonCriticalExtension
                                       RRCConnectionReconfiguration-v890-IEs OPTIONAL
```

```
}
RRCConnectionReconfiguration-v890-IEs ::= SEQUENCE {
  lateNonCriticalExtension OCTET STRING (CONTAINING RRCConnectionReconfiguration-v8m0-
IEs) OPTIONAL,
  nonCriticalExtension
                                        RRCConnectionReconfiguration-v920-IEs OPTIONAL
-- Late non-critical extensions:
RRCConnectionReconfiguration-v8m0-IEs ::= SEQUENCE {
     -- Following field is only for pre REL-10 late non-critical extensions
    lateNonCriticalExtension OCTET STRING
                                                                                         OPTIONAL.
    nonCriticalExtension
                                         RRCConnectionReconfiguration-v10i0-IEs OPTIONAL
}
RRCConnectionReconfiguration-v10i0-IEs ::= SEQUENCE {
    antennaInfoDedicatedPCell-v10i0 AntennaInfoDedicated-v10i0 OPTIONAL, -- Need ON
    nonCriticalExtension
                                         RRCConnectionReconfiguration-v1010-IEs
                                                                                        OPTIONAL
}
{\tt RRCConnectionReconfiguration-v1010-IEs} \ ::= \ {\tt SEQUENCE} \ \{
    mobilityControlInfo-v1010 MobilityControlInfo-v1010 OPTIONAL, sCellToAddModList-v1010 OPTIONAL, -- Need ON
    -- Following field is only for late non-critical extensions from REL-10 to REL-11
    lateNonCriticalExtension OCTET STRING
                                                                                OPTIONAL.
                                          RRCConnectionReconfiguration-v12f0-IEs OPTIONAL
    nonCriticalExtension
{\tt RRCConnectionReconfiguration-v12f0-IEs} \ ::= \ {\tt SEQUENCE} \ \{
    scg-Configuration-v12f0 SCG-Configuration-v12f0 OPTIONAL,
                                                                               -- Cond nonFullConfig
    -- Following field is only for late non-critical extensions from REL-12
                                                                           OPTIONAL,
    lateNonCriticalExtension OCTET STRING
    nonCriticalExtension
                                         RRCConnectionReconfiguration-v1370-IEs
                                                                                        OPTIONAL
}
RRCConnectionReconfiguration-v1370-IEs ::= SEQUENCE {
   radioResourceConfigDedicated-v1370 RadioResourceConfigDedicated-v1370 OPTIONAL, -- Need ON
    sCellToAddModListExt-v1370 SCellToAddModListExt-v1370 OPTIONAL, -- Need ON nonCriticalExtension
    nonCriticalExtension
                                              RRCConnectionReconfiguration-v13c0-IEs OPTIONAL
}
RRCConnectionReconfiguration-v13c0-IEs ::= SEQUENCE {
    radioResourceConfigDedicated-v13c0 RadioResourceConfigDedicated-v13c0 OPTIONAL, -- Need ON
   scellToAddModList-v13c0 SCellToAddModList-v13c0 OPTIONAL, -- Need ON scellToAddModListExt-v13c0 SCellToAddModListExt-v13c0 OPTIONAL, -- Need ON scellToAddModListExt-v13c0 SCG-Configuration-v13c0 OPTIONAL, -- Need ON SCG-Configuration-v13c0 OPTIONAL
    -- Following field is only for late non-critical extensions from REL-13 onwards
    nonCriticalExtension
                                              SEQUENCE {}
                                                                            OPTIONAL
-- Regular non-critical extensions:
RRCConnectionReconfiguration-v920-IEs ::= SEQUENCE {
                       OtherConfig-r9
                                          OtherConfigre OPTIONAL, -- Need ON ENUMERATED {true} OPTIONAL, -- Cond HO-Reestab
   otherConfig-r9
    fullConfig-r9
   nonCriticalExtension
                                         RRCConnectionReconfiguration-v1020-IEs OPTIONAL
}
RRCConnectionReconfiguration-v1020-IEs ::= SEQUENCE {
   sCellToReleaseList-r10 SCellToReleaseList-r10 OPTIONAL, -- Need ON sCellToAddModList-r10 OPTIONAL, -- Need ON nonCriticalExtension RRCConnectionReconfiguration-v1130-IES OPTIONAL
{\tt RRCConnectionReconfiguration-v1130-IEs} \ ::= \ {\tt SEQUENCE} \ \{
    systemInformationBlockTypelDedicated-r11 OCTET STRING (CONTAINING
SystemInformationBlockType1)
   OPTIONAL, -- Need ON
   nonCriticalExtension
                                       RRCConnectionReconfiguration-v1250-IEs OPTIONAL
RRCConnectionReconfiguration-v1250-IEs ::= SEQUENCE {
    wlan-OffloadInfo-r12
                                         CHOICE {
                                         NULL,
       release
                                               SEQUENCE {
        setup
            wlan-OffloadConfigDedicated-r12
                                                  WLAN-OffloadConfig-r12,
            t350-r12
                                                    ENUMERATED {min5, min10, min20, min30, min60,
                                                  min120, min180, spare1} OPTIONAL -- Need OR
```

```
OPTIONAL, -- Need ON
                                                       SCG-Configuration-r12 OPTIONAL, -- Cond
     scg-Configuration-r12
nonFullConfig
    sl-SyncTxControl-r12
sl-DiscConfig-r12
                                                       SL-SyncTxControl-r12 OPTIONAL,
SL-DiscConfig-r12 OPTIONAL,
SL-CommConfig-r12 OPTIONAL,
                                                                                                                          -- Need ON
                                                                                                        OPTIONAL, -- Need ON
OPTIONAL, -- Need ON
     sl-CommConfig-r12
                                                         RRCConnectionReconfiguration-v1310-IEs OPTIONAL
     nonCriticalExtension
}
RRCConnectionReconfiguration-v1310-IEs ::= SEQUENCE {
    sCellToReleaseListExt-r13 SCellToReleaseListExt-r13 OPTIONAL, -- Need ON sCellToAddModListExt-r13 SCellToAddModListExt-r13 OPTIONAL, -- Need ON lwa-Configuration-r13 LWA-Configuration-r13 OPTIONAL, -- Need ON lwip-Configuration-r13 LWIP-Configuration-r13 OPTIONAL, -- Need ON rclwi-Configuration-r13 RCLWI-Configuration-r13 OPTIONAL, -- Need ON nonCriticalExtension RRCConnectionReconfiguration-v1430-IEs
     OPTIONAL
RRCConnectionReconfiguration-v1430-IEs ::= SEQUENCE {
     sl-V2X-ConfigDedicated-r14 SL-V2X-ConfigDedicated-r14 OPTIONAL, -- Need ON sCellToAddModListExt-v1430 SCellToAddModListExt-v1430 OPTIONAL, -- Need ON perCC-GapIndicationRequest-r14 ENUMERATED{true} OPTIONAL, -- Need ON
                                                                                                        OPTIONAL, -- Need ON
     systemInformationBlockType2Dedicated-r14 OCTET STRING (CONTAINING
SystemInformationBlockType2)
                                                                                                        OPTIONAL -- Cond nonHO
                                                   RRCConnectionReconfiguration-v1510-IES OPTIONAL
     nonCriticalExtension
RRCConnectionReconfiguration-v1510-IEs ::= SEQUENCE {
     nr-Config-r15
                                                   CHOICE {
           release
                                                     NULL
           setup
                                                          SEQUENCE {
                 endc-ReleaseAndAdd-r15 BOOLEAN,
                 nr-SecondaryCellGroupConfig-r15 OCTET STRING
                                                                                                       OPTIONAL,
                                                                                                                          -- Need ON
                p-MaxEUTRA-r15
                                                                                                        OPTIONAL
                                                                                                                          -- Need ON
                                                               P-Max
                                                                                                        OPTIONAL,
                                                                                                                          -- Need ON
     sk-Counter-r15 INTEGER (0.. 65535) OPT:
nr-RadioBearerConfig1-r15 OCTET STRING OPT:
nr-RadioBearerConfig2-r15 OCTET STRING OPT:
tdm-PatternConfig-r15 TDM-PatternConfig-r15 OPTIONAL
nonCriticalExtension RRCConnectionReconfiguration-v1530-IES
                                                                                                        OPTIONAL,
                                                                                                                          -- Need ON
                                                                                                        OPTIONAL,
                                                                                                                          -- Need ON
                                                   OCTET STRING
OCTET STRING
OCTET STRING
OPTIONAL, -- Need ON
TDM-PatternConfig-r15
OPTIONAL, -- Cond FDD-PCell
OPTIONAL
OPTIONAL
}
RRCConnectionReconfiguration-v1530-IEs ::= SEQUENCE {
     securityConfigHO-v1530 SecurityConfigHO-v1530 OPTIONAL, -- Cond HO-sCellGroupToReleaseList-r15 SCellGroupToReleaseList-r15 OPTIONAL, -- Need ON dedicatedInfoNASList-r15 SEQUENCE (SIZE(1..maxDRB-r15)) OF
                                                                                                                          -- Cond HO-5GC
                                                           DedicatedInfoNAS
                                                                                                         OPTIONAL,
                                                                                                         OPTIONAL, -- Need OR OPTIONAL, -- Need OP
     p-MaxUE-FR1-r15
                                                   P-Max
     nonCriticalExtension
     smtc-r15
                                                    MTC-SSB-NR-r15
                                                                                                         OPTIONAL,
                                                   RRCConnectionReconfiguration-v1610-IES OPTIONAL
}
RRCConnectionReconfiguration-v1610-IEs ::= SEQUENCE {
     conditionalReconfiguration-r16 ConditionalReconfiguration-r16 OPTIONAL, -- Need ON
daps-SourceRelease-r16 ENUMERATED{true} OPTIONAL, -- Need ON
tdm-PatternConfig2-r16 TDM-PatternConfig-r15 OPTIONAL, -- Need ON
sl-ConfigDedicatedForNR-r16 OCTET STRING OPTIONAL, -- Need OR
sl-SSB-PriorityEUTRA-r16 INTEGER (1..8) OPTIONAL, -- Need OR
nonCriticalExtension RRCConnectionReconfiguration-v1700-IEs OPTIONAL
}
{\tt RRCConnectionReconfiguration-v1700-IEs} \ ::= \ {\tt SEQUENCE} \ \{
    systemInformationBlockType31Dedicated-r17 OCTET STRING (CONTAINING
SystemInformationBlockType31-r17)
       OPTIONAL, -- Cond NTN
                                                         ENUMERATED{deactivated} OPTIONAL, -- Need OP SEQUENCE {} OPTIONAL
     scg-State-r17
     nonCriticalExtension
}
SL-SyncTxControl-r12 ::=
                                                  SEQUENCE {
                                                      ENUMERATED {on, off} OPTIONAL
     networkControlledSyncTx-r12
                                                                                                                          -- Need OP
PSCellToAddMod-r12 ::=
                                                   SEQUENCE {
   sCellIndex-r12
                                                    SCellIndex-r10,
```

```
cellIdentification-r12
                                       SEQUENCE {
       physCellId-r12
                                           PhysCellId,
       dl-CarrierFreg-r12
                                           ARFCN-ValueEUTRA-r9
                                                                      OPTIONAL,
                                                                                  -- Cond SCellAdd
    radioResourceConfigCommonPSCell-r12
                                           RadioResourceConfigCommonPSCell-r12 OPTIONAL, -- Cond
SCellAdd
    radioResourceConfigDedicatedPSCell-r12 RadioResourceConfigDedicatedPSCell-r12 OPTIONAL,
Cond SCellAdd2
       antennaInfoDedicatedPSCell-v1280
                                              AntennaInfoDedicated-v10i0 OPTIONAL
    ]],
    [[ sCellIndex-r13
                                       SCellIndex-r13 OPTIONAL
                                                                      -- Need ON
    ]],
    [[ radioResourceConfigDedicatedPSCell-v1370 RadioResourceConfigDedicatedPSCell-v1370
    OPTIONAL
             -- Need ON
    1],
    [[ radioResourceConfigDedicatedPSCell-v13c0 RadioResourceConfigDedicatedPSCell-v13c0
    OPTIONAL
              -- Need ON
    11
}
PSCellToAddMod-v12f0 ::=
                                       SEQUENCE {
    radioResourceConfigCommonPSCell-r12 RadioResourceConfigCommonPSCell-v12f0
PSCellToAddMod-v1440 ::=
                                       SEQUENCE {
    radioResourceConfigCommonPSCell-r14
                                         RadioResourceConfigCommonPSCell-v1440
PowerCoordinationInfo-r12 ::= SEQUENCE {
   p-MeNB-r12
                                       INTEGER (1..16),
    p-SeNB-r12
                                       INTEGER (1..16),
   powerControlMode-r12
                                       INTEGER (1..2)
SCellToAddModList-r10 ::=
                               SEQUENCE (SIZE (1..maxSCell-r10)) OF SCellToAddMod-r10
SCellToAddModList-v1010 ::=
                               SEQUENCE (SIZE (1..maxSCell-r10)) OF SCellToAddMod-v1010
SCellToAddModList-v13c0 ::=
                               SEQUENCE (SIZE (1..maxSCell-r10)) OF SCellToAddMod-v13c0
SCellToAddModList-r16 ::=
                               SEQUENCE (SIZE (1..maxSCell-r13)) OF SCellToAddMod-r16
SCellToAddModListExt-r13 ::=
                               SEQUENCE (SIZE (1..maxSCell-r13)) OF SCellToAddModExt-r13
SCellToAddModListExt-v1370 ::= SEQUENCE (SIZE (1..maxSCell-r13)) OF SCellToAddModExt-v1370
SCellToAddModListExt-v13c0 ::= SEQUENCE (SIZE (1..maxSCell-r13)) OF SCellToAddMod-v13c0
SCellToAddModListExt-v1430 ::= SEQUENCE (SIZE (1..maxSCell-r13)) OF SCellToAddModExt-v1430
SCellGroupToAddModList-r15 ::= SEQUENCE (SIZE (1..maxSCellGroups-r15)) OF SCellGroupToAddMod-r15
SCellToAddMod-r10 ::=
                               SEQUENCE {
                                       SCellIndex-r10,
   sCellIndex-r10
    cellIdentification-r10
                                       SEOUENCE {
       physCellId-r10
                                           PhysCellId,
        dl-CarrierFreq-r10
                                           ARFCN-ValueEUTRA
                                                                  OPTIONAL,
                                                                               -- Cond SCellAdd
                                          RadioResourceConfigCommonSCell-r10 OPTIONAL,
    radioResourceConfigCommonSCell-r10
                                                                                         -- Cond
SCellAdd
   radioResourceConfigDedicatedSCell-r10
                                          RadioResourceConfigDedicatedSCell-r10
                                                                                 OPTIONAL,
Cond SCellAdd2
                                           ARFCN-ValueEUTRA-v9e0 OPTIONAL -- Cond EARFCN-max
    [[ dl-CarrierFreg-v1090
    ]],
    [[ antennaInfoDedicatedSCell-v10i0
                                           AntennaInfoDedicated-v10i0 OPTIONAL
    ]],
    [[ srs-SwitchFromServCellIndex-r14
                                           INTEGER (0.. 31) OPTIONAL -- Need ON
    11,
    [[ sCellState-r15
                                           ENUMERATED {activated, dormant} OPTIONAL
    11
}
                               SEQUENCE {
SCellToAddMod-v1010 ::=
   radioResourceConfigCommonSCell-v1010
                                               RadioResourceConfigCommonSCell-v1010
}
```

```
SCellToAddMod-v13c0 ::=
                                                       SEQUENCE {
     radioResourceConfigDedicatedSCell-v13c0 RadioResourceConfigDedicatedSCell-v13c0 OPTIONAL
SCellToAddMod-r16 ::=
                                                       SEQUENCE {
     sCellIndex-r16
                                                                    SCellIndex-r13,
                                                                    SEQUENCE {
      cellIdentification-r16
                                                                           PhysCellId,
             physCellId-r16
             dl-CarrierFreq-r16
                                                                           ARFCN-ValueEUTRA-r9
                                                                                                                    OPTIONAL,
                                                                                                                                         -- Cond SCellAdd
      radioResourceConfigCommonSCell-r16
                                                                          RadioResourceConfigCommonSCell-r10 OPTIONAL, -- Cond
SCellAdd.
      radioResourceConfigDedicatedSCell-r16 \\ RadioResourceConfigDedicatedSCell-r10 \\ OPTIONAL, \\ RadioResourceConfigDedicatedSCell-r10 \\ OPTIONAL \\ 
Cond SCellAdd2
      antennaInfoDedicatedSCell-r16
                                                                    AntennaInfoDedicated-v10i0 OPTIONAL,
                                                                                                                                          -- Need ON
      srs-SwitchFromServCellIndex-r16
                                                                           INTEGER (0.. 31) OPTIONAL, -- Need ON
                                                                           ENUMERATED {activated, dormant} OPTIONAL,
      sCellState-r16
                                                                                                                                                    -- Need ON
}
SCellToAddModExt-r13 ::=
                                                             SEQUENCE {
      sCellIndex-r13
                                                                    SCellIndex-r13,
      cellIdentification-r13
                                                                     SEQUENCE {
             physCellId-r13
                                                                          PhysCellId,
             dl-CarrierFreq-r13
                                                                           ARFCN-ValueEUTRA-r9
                                                                                                                    OPTIONAL,
                                                                                                                                         -- Cond SCellAdd
      radioResourceConfigCommonSCell-r13
                                                                          RadioResourceConfigCommonSCell-r10 OPTIONAL, -- Cond
SCellAdd
      radioResourceConfigDedicatedSCell-r13 RadioResourceConfigDedicatedSCell-r10 OPTIONAL, --
Cond SCellAdd2
      antennaInfoDedicatedSCell-r13
                                                                          AntennaInfoDedicated-v10i0
                                                                                                                                OPTIONAL
                                                                                                                                                       -- Need ON
                                                           SEQUENCE {
SCellToAddModExt-v1370 ::=
                                                                                                                                                      OPTIONAL
      radioResourceConfigCommonSCell-v1370
                                                                                 RadioResourceConfigCommonSCell-v1010
SCellToAddModExt-v1430 ::=
                                                            SEQUENCE {
      srs-SwitchFromServCellIndex-r14
                                                                   INTEGER (0.. 31)
                                                                                                                           OPTIONAL, -- Need ON
       [[
            sCellState-r15
                                                                    ENUMERATED {activated, dormant}
                                                                                                                               OPTIONAL
                                                                                                                                                      -- Need ON
      11
}
SCellGroupToAddMod-r15 ::=
                                                             SEQUENCE {
      sCellGroupIndex-r15
                                                                    SCellGroupIndex-r15,
      sCellConfigCommon-r15
                                                                    SCellConfigCommon-r15
                                                                                                                           OPTIONAL,
                                                                                                                                                -- Need ON
                                                                                                                          OPTIONAL,
      sCellToReleaseList-r15
                                                                    SCellToReleaseListExt-r13
                                                                                                                                                -- Need ON
      sCellToAddModList-r15
                                                                    SCellToAddModListExt-r13
                                                                                                                           OPTIONAL
                                                                                                                                                -- Need ON
}
                                                           SEQUENCE (SIZE (1..maxSCell-r10)) OF SCellIndex-r10
SCellToReleaseList-r10 ::=
SCellToReleaseListExt-r13 ::=
                                                                    SEQUENCE (SIZE (1..maxSCell-r13)) OF SCellIndex-r13
SCellGroupToReleaseList-r15 ::=
                                                                   SEQUENCE (SIZE (1..maxSCellGroups-r15)) OF SCellGroupIndex-
r15
SCellGroupIndex-r15 ::=
                                                     INTEGER (1..maxSCellGroups-r15)
SCellConfigCommon-r15 ::= SEQUENCE {
      radioResourceConfigCommonSCell-r15
                                                                           RadioResourceConfigCommonSCell-r10 OPTIONAL,
      radioResourceConfigDedicatedSCell-r15 RadioResourceConfigDedicatedSCell-r10 OPTIONAL, -- Need
OM
      antennaInfoDedicatedSCell-r15
                                                                           AntennaInfoDedicated-v10i0
                                                                                                                               OPTIONAL
                                                                                                                                                       -- Need ON
}
                                                             CHOICE {
SCG-Configuration-r12 ::=
                                                                    NULL,
      release
      setup
                                                                     SEOUENCE {
             scg-ConfigPartMCG-r12
                                                                          SEQUENCE {
                                                                                 INTEGER (0.. 65535)
                    scg-Counter-r12
                                                                                                                                  OPTIONAL,
                                                                                                                                                       -- Need ON
                    powerCoordinationInfo-r12
                                                                                 PowerCoordinationInfo-r12 OPTIONAL,
                                                                                                                                                      -- Need ON
                                                                                                                           OPTIONAL, -- Need ON
             scg-ConfigPartSCG-r12
                                                                          SCG-ConfigPartSCG-r12
                                                                                                                           OPTIONAL
                                                                                                                                                -- Need ON
```

```
}
SCG-Configuration-v12f0 ::=
                                    CHOICE {
                                          NIII.I.
    release
                                           SEQUENCE {
    setup
        scg-ConfigPartSCG-v12f0
                                              SCG-ConfigPartSCG-v12f0 OPTIONAL
                                                                                          -- Need ON
}
SCG-Configuration-v13c0 ::=
                                      CHOICE {
   release
                                        NULL,
                                           SEQUENCE {
    setup
                                               SCG-ConfigPartSCG-v13c0 OPTIONAL
        scg-ConfigPartSCG-v13c0
                                                                                          -- Need ON
}
SCG-ConfigPartSCG-r12 ::=
                                     SEQUENCE {
    radioResourceConfigDedicatedSCG-r12 RadioResourceConfigDedicatedSCG-r12 OPTIONAL,
    sCellToReleaseListSCG-r12 SCellToReleaseList-r10 OPTIONAL, -- Need ON pSCellToAddMod-r12 PSCellToAddMod-r12 OPTIONAL, -- Need ON sCellToAddModListSCG-r12 SCellToAddModList-r10 OPTIONAL, -- Need ON
                                                                         OPTIONAL,
    mobilityControlInfoSCG-r12 SCEIITOAGGMOGLIST-R10 OPTIONAL, -- Need ON MobilityControlInfoSCG-r12 MobilityControlInfoSCG-r12 OPTIONAL, -- Need ON
    ] ]
    sCellToReleaseListSCG-Ext-r13
sCellToAddModListSCG-Ext-r13
                                               SCellToReleaseListExt-r13 OPTIONAL,
SCellToAddModListExt-r13 OPTIONAL
                                                                                             -- Need ON
                                                                                              -- Need ON
    ]],
    [ [
    sCellToAddModListSCG-Ext-v1370 SCellToAddModListExt-v1370 OPTIONAL -- Need ON
    ]],
    [[
    pSCellToAddMod-v1440
                                        PSCellToAddMod-v1440
                                                                       OPTIONAL -- Need ON
    ]],
        sCellGroupToReleaseListSCG-r15 SCellGroupToReleaseList-r15 OPTIONAL, -- Need ON
    [ [
        sCellGroupToAddModListSCG-r15 SCellGroupToAddModList-r15 OPTIONAL -- Need ON
    [[ -- NE-DC addition for setup/ modification and release SN configured measurements
                                          MeasConfig
        measConfigSN-r15
                                                                            OPTIONAL, -- Need ON
        -- NE-DC additions concerning DRBs/ SRBs are within RadioResourceConfigDedicatedSCG
        tdm-PatternConfigNE-DC-r15 TDM-PatternConfig-r15
                                                                            OPTIONAL -- Cond FDD-
PSCell
    11.
    [[ p-MaxEUTRA-r15
                                          P-Max
                                                                             OPTIONAL
                                                                                          -- Need ON
    ]]
}
SCG-ConfigPartSCG-v12f0 ::= SEQUENCE {
    pSCellToAddMod-v12f0 PSCellToAddMod-v12f0 OPTIONAL,
    sCellToAddModListSCG-v12f0 SCellToAddModList-v1010 OPTIONAL
                                                                                      -- Need ON
                                                                                      -- Need ON
}
SCellToAddModList-v13c0 OPTIONAL,
                                                                                      -- Need ON
    sCellToAddModListSCG-Ext-v13c0
                                          SCellToAddModListExt-v13c0 OPTIONAL
                                                                                      -- Need ON
}
SecurityConfigHO ::=
                                       SEQUENCE {
   handoverType
                                        CHOICE {
                                             SEQUENCE {
        intraLTE
                                                   SecurityAlgorithmConfig OPTIONAL, -- Cond
             securityAlgorithmConfig
fullConfig
            keyChangeIndicator
                                                   BOOLEAN,
            nextHopChainingCount
                                                  NextHopChainingCount
        interRAT
                                              SEOUENCE {
                                              SecurityAlgorithmConfig,
            securityAlgorithmConfig
nas-SecurityParamToEUTRA
                                                   OCTET STRING (SIZE(6))
    },
}
SecurityConfigHO-v1530 ::= handoverType-v1530
                                 SEQUENCE {
                                      CHOICE {
        intra5GC
                                               SEQUENCE {
            securityAlgorithmConfig-r15
                                                   SecurityAlgorithmConfig OPTIONAL, -- Cond HO-
t.oEUTRA
          keyChangeIndicator-r15 BOOLEAN,
```

### conditionalReconfiguration

This field is used to configure the UE with a conditional reconfiguration. The reconfiguration is applied when the execution condition(s) is fulfilled. The field is absent if *daps-HO* is configured for any DRB or if *MobilityControlInfo* is included in the *RRCConnectionReconfiguration* message. The *conditionalReconfiguration* is not configured in the *RRCConnectionReconfiguration* message included in a *conditionalReconfiguration*.

### daps-SourceRelease

A one-shot field that indicates that the UE shall release the resources associated with source PCell at a DAPS HO, including reconfiguration of the PDCP entity to release DAPS.

### dedicatedInfoNASList

This field is used to transfer UE specific NAS layer information between the network and the UE. The RRC layer is transparent for each PDU in the list. If *dedicatedInfoNASList-r15* is present, UE shall ignore the *dedicatedInfoNASList* (without suffix).

### endc-ReleaseAndAdd

A one-shot field indicating whether the UE simultaneously releases and adds all the NR SCG related configuration within *nr-Config*, i.e. the configuration set by the NR *RRCReconfiguration* message (e.g. *secondaryCellGroup, SRB3* and *measConfig*).

#### fullConfig

Indicates the full configuration option is applicable for the RRC Connection Reconfiguration message for intra-system intra-RAT handover. For inter-RAT handover from NR to E-UTRA, *fullConfig* indicates whether or not delta signalling of SDAP/PDCP from source RAT is applicable. This field is absent when the *RRCConnectionReconfiguration* message is generated by the E-UTRA SCG.

# keyChangeIndicator

If UE is connected to EPC, true is used only in an intra-cell handover when a  $K_{\text{eNB}}$  key is derived from a  $K_{\text{ASME}}$  key taken into use through the latest successful NAS SMC procedure, as described in TS 33.401 [32] for  $K_{\text{eNB}}$  re-keying. false is used in an intra-LTE handover when the new  $K_{\text{eNB}}$  key is obtained from the current  $K_{\text{eNB}}$  key or from the NH as described in TS 33.401 [32].

If UE is connected to 5GC, with keyChangeIndicator-r15, true is used in an intra-cell handover when a  $K_{\text{eNB}}$  key is derived from a  $K_{\text{AMF}}$  key taken into use through the latest successful NAS SMC procedure, as described in TS 33.501 [86] for  $K_{\text{eNB}}$  re-keying.

False is used for intra-system handover when the new K<sub>eNB</sub> key is obtained from the current K<sub>eNB</sub> key or from the NH as described in TS 33.501 [86]. True is also used in NG based handover procedure with K<sub>AMF</sub> change, when a K<sub>eNB</sub> key is derived from the new K<sub>AMF</sub> key as described in TS 33.501 [86].

### **Iwa-Configuration**

This field is used to provide parameters for LWA configuration. E-UTRAN does not simultaneously configure LWA with DC, LWIP or RCLWI for a UE.

# Iwip-Configuration

This field is used to provide parameters for LWIP configuration. E-UTRAN does not simultaneously configure LWIP with DC, LWA or RCLWI for a UE.

## measConfig

Measurements that E-UTRAN may configure when the UE is not configured with NE-DC.

# measConfigSN

Measurements that E-UTRAN may configure when the UE is configured with NE-DC and for which reports are carried within an NR RRC message.

### nas-Container

This field is used to transfer UE specific NAS layer information between the network and the UE. The RRC layer is transparent for this field, although, if included, it affects activation of AS- security after handover within E-UTRA/5GC. The content is defined in TS 24.501 [95]. In case of NG based handover, the content of nas-Container is. the Intra N1 mode NAS transparent container IE. In case of inter-system handover to from 5GS to EPS, the content of NAS-Container is. the S1 mode to N1 mode NAS transparent container IE.

### nas-securityParamToEUTRA

This field is used to transfer UE specific NAS layer information between the network and the UE. The RRC layer is transparent for this field, although, if included, it affects activation of AS- security after inter-RAT handover to E-UTRA/EPC or inter-system handover to E-UTRA/EPC. The content is defined in TS 24.301 [35]. This field is not used for handover from 5GC.

# network Controlled Sync Tx

This field indicates whether the UE shall transmit synchronisation information (i.e. become synchronisation source). Value *On* indicates the UE to transmit synchronisation information while value *Off* indicates the UE to not transmit such information.

# nextHopChainingCount

Parameter NCC: See TS 33.401 [32] if UE is connected to EPC, else see 33.501 [86] if UE is connected to 5GC.

### nr-Config

Includes the NR related configurations. This field is used to configure (NG)EN-DC configuration, possibly in conjunction with fields *sk-Counter* and *nr-RadioBearerConfig1/2*. NOTE 1.

### nr-RadioBearerConfig1, nr-RadioBearerConfig2

Includes the NR *RadioBearerConfig* IE as specified in TS 38.331 [82]. The field includes the configuration of RBs configured with NR PDCP.

### nr-SecondaryCellGroupConfig

Includes the NR *RRCReconfiguration* message as specified in TS 38.331 [82]. In this version of the specification, the NR RRC message only includes fields *secondaryCellGroup*, *conditionalReconfiguration*, *otherConfig*, *bap-Config*, *iab-IP-AddressConfigurationList* and/ or *measConfig*. If *nr-SecondaryCellGroupConfig* is configured, the network always includes this field upon MN handover to initiate an NR SCG reconfiguration with sync and key change.

# perCC-GapIndicationRequest

Indicates that UE shall include perCC-GapIndicationList and numFreqEffective in the

RRCConnectionReconfigurationComplete message. numFreqEffectiveReduced may also be included if frequencies are configured for reduced measurement performance.

### p-MaxEUTRA

Indicates the maximum power available for LTE.

# p-MaxUE-FR1

The maximum total transmit power to be used by the UE across all serving cells in frequency range 1 (FR1) across all cell groups. The maximum transmit power that the UE may use may be additionally limited on cell- or cell-group level. The field is optionally present, if (NG)EN-DC (nr-Config-r15) has been configured. It is absent otherwise.

#### p-MeNB

Indicates the guaranteed power for the MeNB, as specified in TS 36.213 [23]. The value N corresponds to N-1 in TS 36.213 [23].

# powerControlMode

Indicates the power control mode used in DC. Value 1 corresponds to DC power control mode 1 and value 2 indicates DC power control mode 2, as specified in TS 36.213 [23].

#### p-SeNB

Indicates the guaranteed power for the SeNB as specified in TS 36.213 [23], Table 5.1.4.2-1. The value N corresponds to N-1 in TS 36.213 [23].

# rclwi-Configuration

WLAN traffic steering command as specified in 5.6.16.2. E-UTRAN does not simultaneously configure RCLWI with DC, LWA or LWIP for a UE.

### sCellConfiaCommon

Indicates the common configuration for the SCell group.

### sCellGroupIndex

Indicates the identity of SCell groups for which a common configuration is provided.

### sCellIndex |

The sCellIndex is unique within the scope of the UE. In case of DC, an SCG cell can not use the same value as used for an MCG cell. For pSCellToAddMod, if sCellIndex-r13 is present the UE shall ignore sCellIndex-r12.

## sCellGroupToAddModList, sCellGroupToAddModListSCG

Indicates the SCell group to be added or modified. E-UTRAN only configures at most 4 SCell groups per UE over all cell groups. SCell groups can only be configured for LTE SCells, and all SCells in an SCell group must belong to the same cell group.

# sCellGroupToReleaseList

Indicates the SCell group to be released.

### sCellState

A one-shot field that indicates whether the SCell shall be considered to be in activated or dormant state upon SCell configuration.

## sCellToAddModList, sCellToAddModListExt

Indicates the SCell to be added or modified. E-UTRAN uses field sCellToAddModList-r10 to add or modify SCells (with sCellIndex-r10) for a UE that does not support carrier aggregation with more than 5 component carriers. If E-UTRAN includes sCellToAddModListExt-v1430 it includes the same number of entries, and listed in the same order, as in sCellToAddModListExt-r13. If E-UTRAN includes sCellToAddModList-v100 it includes the same number of entries, and listed in the same order, as in sCellToAddModList-r10. If E-UTRAN includes sCellToAddModListExt-v1370 it includes the same number of entries, and listed in the same order, as in sCellToAddModListExt-v13c0 it includes the same number of entries, and listed in the same order, as in sCellToAddModListExt-v13c0 it includes the same number of entries, and listed in the same order, as in sCellToAddModListExt-r13.

# $s Cell To Add ModList SCG, \, s Cell To Add ModList SCG-Ext$

Indicates the SCG cell to be added or modified. The field is used for SCG cells other than the PSCell (which is added/modified by field pSCellToAddMod). E-UTRAN uses field sCellToAddModListSCG-r12 to add or modify SCells (with sCellIndex-r10) for a UE that does not support carrier aggregation with more than 5 component carriers. If E-UTRAN includes sCellToAddModListSCG-v10l0 it includes the same number of entries, and listed in the same order, as in sCellToAddModListSCG-r12. If E-UTRAN includes sCellToAddModListSCG-Ext-v1370 it includes the same number of entries, and listed in the same order, as in sCellToAddModListSCG-Ext-r13. If E-UTRAN includes sCellToAddModListSCG-Ext-v13c0 it includes the same number of entries, and listed in the same order, as in sCellToAddModListSCG-Ext-v13c0 it includes the same number of entries, and listed in the same order, as in sCellToAddModListSCG-Ext-r13.

# sCellToReleaseList, sCellToReleaseListExt

Indicates the SCell to be released. E-UTRAN uses field *sCellToReleaseList-r10* to release SCells for a UE that does not support carrier aggregation with more than 5 component carriers.

### sCellToReleaseListSCG, sCellToReleaseListSCG-Ext

Indicates the SCG cell to be released. The field is also used to release the PSCell e.g. upon change of PSCell, upon system information change for the PSCell. E-UTRAN uses field *sCellToReleaseListSCG-r12* to release SCells for a UE that does not support carrier aggregation with more than 5 component carriers.

### scg-Configuration

Covers the SCG configuration as used in case of DC and NE-DC. When the UE is configured with NE-DC, E-UTRAN neither applies value release nor configures *scg-ConfigPartMCG*. When resuming a connection with NE-DC, this field is included, containing at least the *mobilityControlInfoSCG*.

#### scg-Counter

A counter used upon initial configuration of SCG security as well as upon refresh of S-K<sub>eNB</sub>. E-UTRAN includes the field upon SCG change when one or more SCG DRBs are configured. Otherwise E-UTRAN does not include the field.

## scg-State

Indicates that the NR SCG is deactivated. The field is absent if CPA or CPC is configured for the UE, or if the RRCConnectionReconfiguration message is contained in condReconfigurationToApply.

### securityConfigHO

This field contains the parameters required to update the security keys at handover. If E-UTRAN includes the securityConfigHO (i.e., without suffix), the choice intraLTE is used for handover within E-UTRA/EPC while the choice interRAT is used for handover from GERAN or UTRAN to E-UTRA/EPC. If E-UTRAN includes the securityConfigHO-v1530 (i.e., with suffix), the choice intra5GC is used for handover from NR or E-UTRA/5GC to E-UTRA/5GC while the choice fivegc-ToEPC is used for inter-system handover from NR or E-UTRA/5GC.

### sk-Counter

A one-shot counter used upon initial configuration of  $S-K_{gNB}$  as well as upon refresh of  $S-K_{gNB}$ . E-UTRAN always provides this field either upon initial configuration of an NR SCG, or upon configuration of the first (SN terminated) RB using  $S-K_{gNB}$ , whichever happens first.

# sl-ConfigDedicatedForNR

Container for providing the dedicated configurations for NR sidelink communication, the octet string contains the NR *RRCReconfiguration* message as specified in TS 38.331 [82]. In this version of the specification, the NR RRC message only includes fields related to NR sidelink communication, i.e. *sl-ConfigDedicatedNR*, *measConfig* and/or *otherConfig*. If the UE is configured by the current Pcell with *sl-ScheduledConfig* set to setup (i.e., NR sidelink communication mode 1), the network only includes *sl-PrioritizationThres* and *sl-ConfiguredGrantConfig* that only includes the configurations of configured sidelink grant Type 1 in the field *sl-ScheduledConfig*.

This field is not applicable to 5GS Proximity based Services (ProSe) as defined in TS 23.304 [112] in this release.

## sI-SSB-PriorityEUTRA

Indicates the priority of LTE PSSS/SSSS/PSBCH transmission and reception. NOTE 3.

# sI-V2X-ConfigDedicated

Indicates sidelink configuration for non-P2X related V2X sidelink communication as well as P2X related V2X sidelink communication.

### smto

The SSB periodicity/offset/duration configuration of target cell for NR PSCell addition and SN change. It is based on timing reference of EUTRA PCell. NOTE 2.

If the field is absent, the UE uses the SMTC in the *measObjectNR* having the same SSB frequency and subcarrier spacing, as configured before the reception of the RRC message.

### srs-SwitchFromServCellIndex

Indicates the serving cell whose UL transmission may be interrupted during SRS transmission on a PUSCH-less cell. During SRS transmission on a PUSCH-less cell, the UE may temporarily suspend the UL transmission on a serving cell with PUSCH in the same CG to allow the PUSCH-less cell to transmit SRS. The PUSCH-less cell is always a TDD cell but the serving cell with PUSCH may be either a FDD or TDD cell.

### systemInformationBlockType1Dedicated

This field is used to transfer SystemInformationBlockType1 or SystemInformationBlockType1-BR to the UE.

# systemInformationBlockType2Dedicated

This field is used to transfer BR version of *SystemInformationBlockType2* to BL UEs or UEs in CE or *SystemInformationBlockType2* to non-BL UEs.

### systemInformationBlockType31Dedicated

This field is used to transfer SystemInformationBlockType31 to BL UEs or UEs in CE in a NTN cell.

### t350

Timer T350 as described in clause 7.3. Value minN corresponds to N minutes.

### tdm-PatternConfig

This field is used when power control or IMD issues require single UL transmission in (NG)EN-DC as specified in TS 38.101-3 [101] and TS 38.213 [88].

# tdm-PatternConfig2

This field is used for dual UL transmission in EN-DC with LTE FDD PCell and for single UL transmission in EN-DC with LTE FDD/TDD PCell, as specified in TS 38.101-3 [101] and TS 38.213 [88].

The network sets at most one of tdm-PatternConfig and tdm-PatternConfig2 to setup.

When this field is configured in EN-DC with LTE TDD PCell, it is not applicable if TDD configuration is sa0 or sa6 in SIB1.

# tdm-PatternConfigNE-DC

This field is used when power control or IMD issues require single UL transmission in NE-DC as specified in TS 38.101-3 [101] and TS 38.213 [88].

Conditional presence	Explanation
EARFCN-max	The field is mandatory present if <i>dl-CarrierFreq-r10</i> is included and set to <i>maxEARFCN</i> .
	Otherwise the field is not present.
FDD-PCell	This field is optionally present, need ON, for a FDD PCell if there is no SCell with
	configured uplink. Otherwise, the field is not present.
FDD-PSCell	This field is optionally present, need ON, for a FDD PSCell if there is no SCell with
	configured uplink. Otherwise, the field is not present.
fullConfig	This field is mandatory present for handover within E-UTRA when the fullConfig is
-	included; otherwise it is optionally present, Need OP.
НО	The field is mandatory present in case of handover within E-UTRA or to E-UTRA and in a
	message contained in a NR DLInformationTransferMRDC message; otherwise the field is
	not present. The field is not present if source PCell resources after a DAPS handover
	have not been released.
HO-Reestab	The field is mandatory present in case of inter-system handover within E-UTRA or
	handover from NR to E-UTRA/EPC; it is optionally present, need ON, in case of intra-
	system handover within E-UTRA or upon the first reconfiguration after RRC connection
	re-establishment; or for intra-system handover from NR to E-UTRA, otherwise the field is
	not present.
HO-5GC	The field is mandatory present in case of handover within E-UTRA/5GC, handover to E-
	UTRA/5GC, handover from NR to E-UTRA/EPC, or handover from E-UTRA/5GC to E-
	UTRA/EPC, otherwise the field is not present.
HO-toEPC	The field is mandatory present in case of handover within E-UTRA/EPC or to E-
	UTRA/EPC, except handover from NR or E-UTRA/5GC, otherwise the field is not present.
HO-toEUTRA	The field is mandatory present in case of handover to E-UTRA or for reconfigurations
	when fullConfig is included; otherwise the field is optionally present, need ON.
nonFullConfig	The field is not present when the <i>fullConfig</i> is included or in case of handover to E-UTRA;
	otherwise it is optional present, need ON.
nonHO	The field is not present in case of handover within E-UTRA or to E-UTRA; otherwise it is
	optional present, need ON.
NTN	The field is mandatory present in case of handover to a NTN cell. Otherwise the field is
	optionally present, Need ON, in a NTN cell.
SCellAdd	The field is mandatory present upon SCell addition; otherwise it is not present.
SCellAdd2	The field is mandatory present upon SCell addition; otherwise it is optionally present,
	need ON.

- NOTE 1: Fields *sk-Counter* and *nr-RadioBearerConfig1*/2 are placed outside *nr-Config*, as these may be configured while the UE is not configured with (NG)EN-DC.
- NOTE 2: It is not specified whether the timing reference for the SMTC configuration is the source EUTRA PCell or the target EUTRA PCell in case the NR PSCell addition or SN change takes place simultaneously with handover. As a consequence, explicit SMTC configuration is only supported when the source EUTRA PCell and the target EUTRA PCell of the handover are SFN/subframe-synchronized.
- NOTE 3: For UEs in RRC\_IDLE, RRC\_INACTIVE or out-of coverage, and for the case that *sl-SSB-PriorityEUTRA* is absent, it is up to UE implementation to decide the priority of LTE PSSS/SSSS/PSBCH transmission and reception.

# RRCConnectionReconfigurationComplete

The RRCConnectionReconfigurationComplete message is used to confirm the successful completion of an RRC connection reconfiguration.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

# RRCConnectionReconfigurationComplete message

```
-- ASN1START
RRCConnectionReconfigurationComplete ::= SEQUENCE {
    rrc-TransactionIdentifier RRC-TransactionIdentifier,
                                           CHOICE {
    criticalExtensions
        rrcConnectionReconfigurationComplete-r8
                                                RRCConnectionReconfigurationComplete-r8-IEs,
        criticalExtensionsFuture
                                                SEQUENCE {}
}
RRCConnectionReconfigurationComplete-r8-IEs ::= SEQUENCE {
                                          RRCConnectionReconfigurationComplete-v8a0-IEs OPTIONAL
    nonCriticalExtension
RRCConnectionReconfigurationComplete-v8a0-IEs ::= SEQUENCE {
    lateNonCriticalExtension
                                           OCTET STRING
                                                                                    OPTIONAL,
    nonCriticalExtension
                                            RRCConnectionReconfigurationComplete-v1020-IEs OPTIONAL
}
RRCConnectionReconfigurationComplete-v1020-IEs ::= SEQUENCE {
    rlf-InfoAvailable-rl0 ENUMERATED {true}
                                                                                OPTIONAL.
                                            ENUMERATED {true}
    logMeasAvailable-r10
                                                                                OPTIONAL.
    nonCriticalExtension
                                           RRCConnectionReconfigurationComplete-v1130-IEs OPTIONAL
RRCConnectionReconfigurationComplete-v1130-IEs ::= SEQUENCE {
    connEstFailInfoAvailable-r11 ENUMERATED {true}
                                                                                OPTIONAL,
    nonCriticalExtension
                                           RRCConnectionReconfigurationComplete-v1250-IEs OPTIONAL
RRCConnectionReconfigurationComplete-v1250-IEs ::= SEQUENCE {
    logMeasAvailableMBSFN-r12 ENUMERATED {true} OPTIONAL, nonCriticalExtension RRCConnectionReconfigurationComplete-v1430-IEs
    nonCriticalExtension
        OPTIONAL
}
RRCConnectionReconfigurationComplete-v1430-IEs ::= SEQUENCE {
   perCC-GapIndicationList-r14 PerCC-GapIndicationList-r14 OPTIONAL,
numFreqEffective-r14 INTEGER (1..12) OPTIONAL,
numFreqEffectiveReduced-r14 INTEGER (1..12) OPTIONAL,
nonCriticalExtension RCConnectionReconfigurationComplete-v1510-IEs
        OPTIONAL
}
RRCConnectionReconfigurationComplete-v1510-IEs ::= SEQUENCE {
    scg-ConfigResponseNR-r15 OCTET STRING nonCriticalExtension RRCConnection
                                                                                OPTIONAL,
    nonCriticalExtension
                                           RRCConnectionReconfigurationComplete-v1530-IEs
        OPTIONAL
RRCConnectionReconfigurationComplete-v1530-IEs ::= SEQUENCE {
    logMeasAvailableBT-r15 ENUMERATED {true}
logMeasAvailableWLAN-r15 ENUMERATED {true}
flightPathInfoAvailable-r15 ENUMERATED {true}
pnpCriticalExtension RRCConnectionRecon
                                                                               OPTIONAL,
                                                                                OPTIONAL,
                                                                               OPTIONAL,
    nonCriticalExtension
                                           RRCConnectionReconfigurationComplete-v1700-IEs
                                            OPTIONAL
}
RRCConnectionReconfigurationComplete-v1700-IEs ::= SEQUENCE {
    selectedCondReconfigurationToApply-r17
                                                             CondReconfigurationId-r16 OPTIONAL,
                                            RRCConnectionReconfigurationComplete-v1710-IEs
    nonCriticalExtension
                                            OPTIONAL
{\tt RRCConnectionReconfigurationComplete-v1710-IEs} \ ::= \ {\tt SEQUENCE} \ \{
                                   GNSS-ValidityDuration-r17 OPTIONAL,
    gnss-ValidityDuration-r17
```

```
nonCriticalExtension SEQUENCE {} OPTIONAL
}
-- ASN1STOP
```

# numFreqEffective

This field is used to indicate the number of effective frequencies that a UE measures in series according to TS 36.133 [16]. Simultaneous measurement in parallel on multiple frequencies can be equivalent to a single effective frequency. The frequencies configured for reduced measurement performance should not be included.

# numFreqEffectiveReduced

This field is used to indicate the number of effective frequencies that a UE measures in series according to TS 36.133 [16] for frequencies configured for reduced measurement performance. Simultaneous measurement in parallel on multiple frequencies can be equivalent to a single effective frequency.

#### perCC-GapIndicationList

This field is used to indicate per CC measurement gap preference by the UE.

# scg-ConfigResponseNR

Includes the NR RRCReconfigurationComplete message as defined in TS 38.331 [82].

# selectedCondReconfigurationToApply

This field indicates the selected conditional RRC connection reconfiguration the UE applied upon the execution of CPA or inter-SN CPC.

## RRCConnectionReestablishment

The RRCConnectionReestablishment message is used to re-establish SRB1.

Signalling radio bearer: SRB0

RLC-SAP: TM

Logical channel: CCCH

Direction: E-UTRAN to UE

### RRCConnectionReestablishment message

```
-- ASN1START
RRCConnectionReestablishment ::=
                                  SEOUENCE {
   rrc-TransactionIdentifier
                                      RRC-TransactionIdentifier,
   criticalExtensions
                                      CHOICE {
                                          CHOICE {
           rrcConnectionReestablishment-r8
                                              RRCConnectionReestablishment-r8-IEs,
           spare7 NULL,
                                              NULL,
           spare6 NULL, spare5 NULL, spare4
           spare3 NULL, spare2 NULL, spare1
                                              NULL
                                          SEQUENCE { }
       criticalExtensionsFuture
   }
RRCConnectionReestablishment-r8-IEs ::= SEQUENCE {
   radioResourceConfigDedicated RadioResourceConfigDedicated,
   nextHopChainingCount
                                      NextHopChainingCount,
   nonCriticalExtension
                                      RRCConnectionReestablishment-v8a0-IEs
RRCConnectionReestablishment-v8a0-IEs ::= SEQUENCE {
   lateNonCriticalExtension
                                      OCTET STRING
                                                                         OPTIONAL,
   nonCriticalExtension
                                      SEQUENCE {}
                                                                          OPTIONAL
-- ASN1STOP
```

# RRCConnectionReestablishmentComplete

The RRCConnectionReestablishmentComplete message is used to confirm the successful completion of an RRC connection re-establishment.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

# RRCConnectionReestablishmentComplete message

```
-- ASN1START
RRCConnectionReestablishmentComplete ::= SEQUENCE {
    rrcConnectionReestablishmentComplete-r8
                                             RRCConnectionReestablishmentComplete-r8-IEs,
        criticalExtensionsFuture
                                             SEQUENCE { }
}
RRCConnectionReestablishmentComplete-r8-IEs ::= SEQUENCE {
   nonCriticalExtension RRCConnectionReestablishmentComplete-v920-IEs OPTIONAL
{\tt RRCConnectionReestablishmentComplete-v920-IEs} \ ::= \ {\tt SEQUENCE} \ \big\{
   rlf-InfoAvailable-r9 ENUMERATED {true} nonCriticalExtension RRCConnectionRees
                                         RRCConnectionReestablishmentComplete-v8a0-IEs OPTIONAL
RRCConnectionReestablishmentComplete-v8a0-IEs ::= SEQUENCE {
    lateNonCriticalExtension OCTET STRING
                                                                           OPTIONAL,
    nonCriticalExtension
                                         RRCConnectionReestablishmentComplete-v1020-IEs OPTIONAL
RRCConnectionReestablishmentComplete-v1020-IEs ::= SEQUENCE {
   logMeasAvailable-r10 ENUMERATED {true} nonCriticalExtension RRCConnectionReest
                                         RRCConnectionReestablishmentComplete-v1130-IEs OPTIONAL
RRCConnectionReestablishmentComplete-v1130-IEs ::= SEQUENCE {
   connEstFailInfoAvailable-r11 ENUMERATED {true} OPTIONAL, nonCriticalExtension RRCConnectionReestablishmentComplete-v1250-IEs OPTIONAL
RRCConnectionReestablishmentComplete-v1250-IEs ::= SEQUENCE {
    logMeasAvailableMBSFN-r12 ENUMERATED {true}
                                                                          OPTIONAL,
                                         RRCConnectionReestablishmentComplete-v1530-IEs OPTIONAL
    nonCriticalExtension
RRCConnectionReestablishmentComplete-v1530-IEs ::= SEQUENCE {
   logMeasAvailableBT-r15 ENUMERATED {true}
logMeasAvailableWLAN-r15 ENUMERATED {true}
                                                                          OPTIONAL,
    logMeasAvailableWLAN-r15 ENUMERATED (CIRCLE) flightPathInfoAvailable-r15 ENUMERATED {true} RRCConnectionR
                                                                             OPTIONAL,
                                                                          OPTIONAL,
    nonCriticalExtension
                                            RRCConnectionReestablishmentComplete-v1710-IEs OPTIONAL
}
{\tt RRCConnectionReestablishmentComplete-v1710-IEs} ::= {\tt SEQUENCE} \ \{
   gnss-ValidityDuration-r17 GNSS-ValidityDuration-r17 nonCriticalExtension SEQUENCE {}
                                                                           OPTIONAL,
                                                                      OPTIONAL
-- ASN1STOP
```

# RRCConnectionReestablishmentComplete field descriptions

# rlf-InfoAvailable

This field is used to indicate the availability of radio link failure or handover failure related measurements

# RRCConnectionReestablishmentReject

The RRCConnectionReestablishmentReject message is used to indicate the rejection of an RRC connection reestablishment request.

Signalling radio bearer: SRB0

RLC-SAP: TM

Logical channel: CCCH

Direction: E-UTRAN to UE

# RRCConnectionReestablishmentReject message

```
-- ASN1START
RRCConnectionReestablishmentReject ::= SEQUENCE {
                                       CHOICE {
   criticalExtensions
       rrcConnectionReestablishmentReject-r8
                                           RRCConnectionReestablishmentReject-r8-IEs,
       criticalExtensionsFuture
                                           SEQUENCE {}
RRCConnectionReestablishmentReject-r8-IEs ::= SEQUENCE {
                                      RRCConnectionReestablishmentReject-v8a0-IEs OPTIONAL
   nonCriticalExtension
RRCConnectionReestablishmentReject-v8a0-IEs ::= SEQUENCE {
   lateNonCriticalExtension OCTET STRING
                                                                          OPTIONAL.
   nonCriticalExtension
                                      SEQUENCE { }
                                                                          OPTIONAL
-- ASN1STOP
```

# RRCConnectionReestablishmentRequest

The RRCConnectionReestablishmentRequest message is used to request the reestablishment of an RRC connection.

Signalling radio bearer: SRB0

RLC-SAP: TM

Logical channel: CCCH

Direction: UE to E-UTRAN

# RRCConnectionReestablishmentRequest message

```
-- ASN1START
RRCConnectionReestablishmentRequest ::= SEQUENCE {
   criticalExtensions
                                     CHOICE {
       rrcConnectionReestablishmentRequest-r8
                                           RRCConnectionReestablishmentRequest-r8-IEs,
       criticalExtensionsFuture
                                           SEQUENCE {}
}
RRCConnectionReestablishmentRequest-r8-IEs ::= SEQUENCE {
   reestablishmentCause ReestablishmentCause ReestablishmentCause
                                       ReestablishmentCause,
                                       BIT STRING (SIZE (2))
   spare
ReestabUE-Identity ::=
                                   SEQUENCE {
                                       C-RNTI,
   physCellId
                                       PhysCellId,
                                       ShortMAC-I
    shortMAC-I
```

### RRCConnectionReestablishmentRequest field descriptions

#### physCellId

The Physical Cell Identity of the PCell the UE was connected to prior to the failure.

#### reestablishmentCause

Indicates the failure cause that triggered the re-establishment procedure. eNB is not expected to reject a RRCConnectionReestablishmentRequest due to unknown cause value being used by the UE.

### ue-Identity

UE identity included to retrieve UE context and to facilitate contention resolution by lower layers.

# RRCConnectionReject

The RRCConnectionReject message is used to reject the RRC connection establishment or to reject the EDT procedure.

Signalling radio bearer: SRB0

RLC-SAP: TM

Logical channel: CCCH

Direction: E-UTRAN to UE

# RRCConnectionReject message

```
-- ASN1START
RRCConnectionReject ::=
                                  SEQUENCE {
                                   CHOICE {
   criticalExtensions
                                    CHOICE {
       c1
           rrcConnectionReject-r8
                                              RRCConnectionReject-r8-IEs,
           spare3 NULL, spare2 NULL, spare1 NULL
                                          SEQUENCE {}
       criticalExtensionsFuture
RRCConnectionReject-r8-IEs ::= SEQUENCE {
   nonCriticalExtension
   waitTime
                                      INTEGER (1..16),
                                      RRCConnectionReject-v8a0-IEs
                                                                        OPTIONAL
RRCConnectionReject-v8a0-IEs ::= SEQUENCE {
   lateNonCriticalExtension OCTET STRING
                                                                         OPTIONAL,
   nonCriticalExtension
                                      RRCConnectionReject-v1020-IEs
                                                                         OPTIONAL
RRCConnectionReject-v1020-IEs ::= SEQUENCE {
   extendedWaitTime-r10
nonCriticalExtension
                                      INTEGER (1..1800)
                                                                         OPTIONAL,
                                                                                     -- Need ON
   nonCriticalExtension
                                      RRCConnectionReject-v1130-IEs
                                                                         OPTIONAL
RRCConnectionReject-v1130-IEs ::= SEQUENCE {
   deprioritisationReq-rll SEQUENCE {
   deprioritisationType-rll ENUMER
                                     ENUMERATED {frequency, e-utra},
       deprioritisationTimer-r11
                                        ENUMERATED {min5, min10, min15, min30}
                                                                         OPTIONAL,
                                                                                     -- Need ON
   nonCriticalExtension
                                    RRCConnectionReject-v1320-IEs
   OPTIONAL
}
RRCConnectionReject-v1320-IEs ::=
                                         ENUMERATED {true}
   rrc-SuspendIndication-r13
                                                                         OPTIONAL,
                                                                                     -- Need ON
   nonCriticalExtension
                                          SEQUENCE {}
                                                                         OPTIONAL
```

-- ASN1STOP

### RRCConnectionReject field descriptions

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## deprioritisationReq

Indicates whether the current frequency or RAT is to be de-prioritised. The UE shall be able to store a depriotisation request for up to 8 frequencies (applicable when receiving another frequency specific deprioritisation request before T325 expiry).

### deprioritisationTimer

Indicates the period for which either the current carrier frequency or E-UTRA is deprioritised. Value *minN* corresponds to N minutes.

#### extendedWaitTime

Value in seconds for the wait time for Delay Tolerant access requests.

### rrc-SuspendIndication

If present, this field indicates that the UE should remain suspended and not release its stored context.

#### waitTime

Wait time value in seconds.

## RRCConnectionRelease

The RRCConnectionRelease message is used to command the release of an RRC connection, or to complete an UP-EDT procedure.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to UE

# RRCConnectionRelease message

```
-- ASN1START
                                 SEQUENCE {
RRCConnectionRelease ::=
                            RRC-TransactionIdentifier,
CHOICE {
   rrc-TransactionIdentifier
   criticalExtensions
           rrcConnectionRelease-r8 CHOICE {
       c1
                                           RRCConnectionRelease-r8-IEs,
           spare3 NULL, spare2 NULL, spare1 NULL
       criticalExtensionsFuture
                                        SEQUENCE {}
   }
}
RRCConnectionRelease-r8-IEs ::= SEQUENCE {
                                    ReleaseCause,
   releaseCause
                                                                    OPTIONAL,
                                     RedirectedCarrierInfo
   redirectedCarrierInfo
                                     RedirectedCarrierInfo
IdleModeMobilityControlInfo
                                                                                 -- Need ON
   idleModeMobilityControlInfo
                                                                      OPTIONAL,
                                                                                 -- Need OP
                                   RRCConnectionRelease-v890-IEs
   nonCriticalExtension
RRCConnectionRelease-v890-IEs ::= SEQUENCE {
   lateNonCriticalExtension
                                    OCTET STRING (CONTAINING RRCConnectionRelease-v9e0-IEs)
   OPTIONAL,
   nonCriticalExtension
                                    RRCConnectionRelease-v920-IEs
                                                                      OPTIONAL
 - Late non critical extensions
RRCConnectionRelease-v9e0-IEs ::= SEQUENCE {
                               RedirectedCarrierInfo-v9e0
   redirectedCarrierInfo-v9e0
                                                                      OPTIONAL,
                                                                                 -- Cond
NoRedirect-r8
   OPTIONAL,
IdleInfoEUTRA
   nonCriticalExtension
                                     SEQUENCE {}
                                                                      OPTIONAL
 -- Regular non critical extensions
RRCConnectionRelease-v920-IEs ::= SEQUENCE {
```

```
cellInfoList-r9
                       CHOICE {
       geran-r9
                                         CellInfoListGERAN-r9,
       utra-FDD-r9
                                            CellInfoListUTRA-FDD-r9,
                                            CellInfoListUTRA-TDD-r9.
       utra-TDD-r9
       utra-TDD-r10
                                           CellInfoListUTRA-TDD-r10
                                                                     OPTIONAL, -- Cond Redirection
   nonCriticalExtension
                             RRCConnectionRelease-v1020-IEs
                                                                            OPTIONAL
}
RRCConnectionRelease-v1020-IEs ::= SEQUENCE {
   extendedWaitTime-r10
                                       INTEGER (1..1800) OPTIONAL, -- Need ON
   nonCriticalExtension
                                        RRCConnectionRelease-v1320-IEs
                                                                                     OPTIONAL
}
RRCConnectionRelease-v1320-IEs::= SEQUENCE {
   resumeIdentity-r13 ResumeIdentity-r13 nonCriticalExtension RRCConnectionRelease
                                                                        OPTIONAL, -- Need OR
   nonCriticalExtension
                                        RRCConnectionRelease-v1530-IEs OPTIONAL
}
RRCConnectionRelease-v1530-IEs ::= SEQUENCE {
   }
RRCConnectionRelease-v1540-IEs ::= SEQUENCE {
                                        INTEGER (1..16) OPTIONAL, -- Cond 5GC
    waitTime
                                        RRCConnectionRelease-v15b0-IEs OPTIONAL
   nonCriticalExtension
}
RRCConnectionRelease-v15b0-IEs ::= SEQUENCE {
   noLastCellUpdate-r15 ENUMERATED {true}
                                                                OPTIONAL, -- Need OP
   nonCriticalExtension
                                        RRCConnectionRelease-v1610-IEs OPTIONAL
}
RRCConnectionRelease-v1610-IEs ::= SEQUENCE {
   fullI-RNTI-r16 I-RNTI-r15 OPTIONAL, -- Need OR shortI-RNTI-r16 ShortI-RNTI-r15 OPTIONAL, -- Need OR pur-Config-r16 SetupRelease {PUR-Config-r16} OPTIONAL, -- Need ON rrc-InactiveConfig-v1610 RRC-InactiveConfig-v1610 OPTIONAL, -- Cond BLCE-IDLEEDRX releaseIdleMeasConfig-r16 ENUMERATED {true} OPTIONAL, -- Need ON altFreqPriorities-r16 ENUMERATED {true} OPTIONAL, -- Need ON t323-r16
                                        ENUMERATED {
   t323-r16
                                        min5, min10, min20, min30, min60, min120, min180,
                                        min720}
                                                                    OPTIONAL, -- Need OR
                                       RRCConnectionRelease-v1650-IES OPTIONAL
   nonCriticalExtension
}
RRCConnectionRelease-v1650-IEs ::= SEQUENCE {
   mpsPriorityIndication-r16
                                            ENUMERATED {true} OPTIONAL, -- Cond Redirection2
   nonCriticalExtension
                                            SEQUENCE {} OPTIONAL
                               ENUMERATED {loadBalancingTAUrequired,
ReleaseCause ::=
                                            other, cs-FallbackHighPriority-v1020, rrc-Suspend-v1320}
RedirectedCarrierInfo ::=
                                   CHOICE {
   eutra
                                        ARFCN-ValueEUTRA,
   geran
                                        CarrierFreqsGERAN,
   utra-FDD
                                        ARFCN-ValueUTRA.
   ut.ra-TDD
                                        ARFCN-ValueUTRA
   cdma2000-HRPD
                                        CarrierFreqCDMA2000,
   cdma2000-1xRTT
                                        CarrierFreqCDMA2000,
   utra-TDD-r10
                                        CarrierFregListUTRA-TDD-r10,
   nr-r15
                                        CarrierInfoNR-r15,
                                        CarrierInfoNR-r17
}
RedirectedCarrierInfo-v9e0 ::=
                                     SEQUENCE {
   eutra-v9e0
                                            ARFCN-ValueEUTRA-v9e0
RRC-InactiveConfig-r15::= SEQUENCE {
```

```
fullI-RNTI-r15
                                   I-RNTI-r15,
   shortI-RNTI-r15
                                   ShortI-RNTI-r15,
                                   ENUMERATED { rf32, rf64, rf128, rf256} OPTIONAL, --Need
   ran-PagingCycle-r15
OR
   ran-NotificationAreaInfo-r15 RAN-NotificationAreaInfo-r15
                                                                      OPTIONAL,
   periodic-RNAU-timer-r15
                                 ENUMERATED {min5, min10, min20, min30, min60,
                                           min120, min360, min720}
                                                                     OPTIONAL.
                                                                                  --Need OR
   nextHopChainingCount-r15
                                   NextHopChainingCount
                                                              OPTIONAL, --Cond INACTIVE
                                   SEQUENCE { }
                                                  OPTIONAL
}
RRC-InactiveConfig-v1610::=
                               SEQUENCE {
                                       ENUMERATED {rf512, rf1024}
   ran-PagingCycle-v1610
                               ::= CHOICE {
RAN-NotificationAreaInfo-r15
   cellList
                               PLMN-RAN-AreaCellList-r15,
   ran-AreaConfigList
                               PLMN-RAN-AreaConfigList-r15
PLMN-RAN-AreaCellList-r15 ::= SEQUENCE (SIZE (1..maxPLMN-r15)) OF PLMN-RAN-AreaCell-r15
                               SEQUENCE {
PLMN-RAN-AreaCell-r15 ::=
   plmn-Identity-r15
                                   PLMN-Identity OPTIONAL,
                                   SEQUENCE (SIZE (1..32)) OF CellIdentity
   ran-AreaCells-r15
PLMN-RAN-AreaConfigList-r15 ::= SEQUENCE (SIZE (1..maxPLMN-r15)) OF PLMN-RAN-AreaConfig-r15
PLMN-RAN-AreaConfig-r15 ::= SEQUENCE {
   plmn-Identity-r15
                               PLMN-Identity
                                              OPTIONAL,
                               SEQUENCE (SIZE (1..16)) OF RAN-AreaConfig-r15
   ran-Area-r15
}
RAN-AreaConfig-r15 ::= SEQUENCE {
   trackingAreaCode-5GC-r15 TrackingAreaCode-5GC-r15,
ran-AreaCodeList-r15 SEQUENCE (SIZE (1..32)) OF RAN-AreaCode-r15 OPTIONAL
                                                                                      --Need OR
CarrierFreqListUTRA-TDD-r10 ::=
                                     SEQUENCE (SIZE (1..maxFreqUTRA-TDD-r10)) OF ARFCN-ValueUTRA
IdleModeMobilityControlInfo ::=
                                  SEQUENCE {
                                   FreqPriorityListEUTRA
                                                                     OPTIONAL,
   freqPriorityListEUTRA
                                                                                      -- Need ON
                                      FreqsPriorityListGERAN
                                                                      OPTIONAL,
   freqPriorityListGERAN
                                                                                      -- Need ON
   freqPriorityListUTRA-FDD
                                                                     OPTIONAL,
                                      FreqPriorityListUTRA-FDD
                                                                                      -- Need ON
   freqPriorityListUTRA-TDD
                                      FreqPriorityListUTRA-TDD
                                                                      OPTIONAL,
                                                                                      -- Need ON
   bandClassPriorityListHRPD
                                      BandClassPriorityListHRPD
                                                                      OPTIONAL,
                                                                                      -- Need ON
   bandClassPriorityList1XRTT
                                      BandClassPriorityList1XRTT
                                                                     OPTIONAL,
                                                                                      -- Need ON
   t320
                                       ENUMERATED {
                                          min5, min10, min20, min30, min60, min120, min180,
                                           spare1}
                                                                      OPTIONAL,
                                                                                     -- Need OR
   ...,
[[ freqPriorityListExtEUTRA-r12
                                          FreqPriorityListExtEUTRA-r12
                                                                             OPTIONAL
Need ON
   ]],
   [[ freqPriorityListEUTRA-v1310
                                          FreqPriorityListEUTRA-v1310
                                                                              OPTIONAL.
Need ON
       freqPriorityListExtEUTRA-v1310
                                          FreqPriorityListExtEUTRA-v1310
Need ON
   ]],
                                           FreqPriorityListNR-r15
   [[ freqPriorityListNR-r15
                                                                    OPTIONAL
                                                                                     -- Need ON
}
IdleModeMobilityControlInfo-v9e0 ::=
                                      SEOUENCE {
   freqPriorityListEUTRA-v9e0
                                      SEQUENCE (SIZE (1..maxFreq)) OF FreqPriorityEUTRA-v9e0
                            SEQUENCE (SIZE (1..maxFreq)) OF FreqPriorityEUTRA
FreqPriorityListEUTRA ::=
FregPriorityListExtEUTRA-r12 ::=
                                      SEQUENCE (SIZE (1..maxFreq)) OF FreqPriorityEUTRA-r12
FreqPriorityListEUTRA-v1310 ::=
                                      SEQUENCE (SIZE (1..maxFreq)) OF FreqPriorityEUTRA-v1310
FreqPriorityListExtEUTRA-v1310 ::=
                                      SEQUENCE (SIZE (1..maxFreq)) OF FreqPriorityEUTRA-v1310
FreqPriorityEUTRA ::=
                                   SEOUENCE {
                                       ARFCN-ValueEUTRA,
  carrierFreq
```

```
cellReselectionPriority
                                     CellReselectionPriority
FreqPriorityEUTRA-v9e0 ::=
                                 SEQUENCE {
   carrierFreq-v9e0
                                      ARFCN-ValueEUTRA-v9e0
                                                                  OPTIONAL
                                                                              -- Cond EARFCN-max
FreqPriorityEUTRA-r12 ::=
                                       SEQUENCE {
   carrierFreq-r12
                                          ARFCN-ValueEUTRA-r9,
   cellReselectionPriority-r12
                                          CellReselectionPriority
FreqPriorityEUTRA-v1310 ::=
                                       SEQUENCE {
   cellReselectionSubPriority-r13
                                               CellReselectionSubPriority-r13
                                                                                OPTIONAL
Need ON
FreqPriorityListNR-r15 ::=
                             SEQUENCE (SIZE (1..maxFreq)) OF FreqPriorityNR-r15
                             SEQUENCE {
FreqPriorityNR-r15 ::=
   carrierFreq-r15 ARFCN-ValueNR-r15, cellReselectionPriority-r15 CellReselectionPriority,
   carrierFreq-r15
   cellReselectionSubPriority-r15
                                      CellReselectionSubPriority-r13 OPTIONAL
                                                                                          -- Need
OR
}
FreqsPriorityListGERAN ::=
                                   SEQUENCE (SIZE (1..maxGNFG)) OF FreqsPriorityGERAN
                                   SEQUENCE {
FreqsPriorityGERAN ::=
                                       CarrierFreqsGERAN,
   carrierFreqs
   cellReselectionPriority
                                       CellReselectionPriority
FreqPriorityListUTRA-FDD ::=
                                  SEQUENCE (SIZE (1..maxUTRA-FDD-Carrier)) OF FreqPriorityUTRA-FDD
FreqPriorityUTRA-FDD ::=
                                  SEOUENCE {
   carrierFreq
                                      ARFCN-ValueUTRA,
   cellReselectionPriority
                                       CellReselectionPriority
FreqPriorityListUTRA-TDD ::=
                                  SEQUENCE (SIZE (1..maxUTRA-TDD-Carrier)) OF FreqPriorityUTRA-TDD
FreqPriorityUTRA-TDD ::=
                                   SEQUENCE {
   carrierFreq
                                       ARFCN-ValueUTRA,
   cellReselectionPriority
                                       CellReselectionPriority
BandClassPriorityListHRPD ::=
                                  SEQUENCE (SIZE (1..maxCDMA-BandClass)) OF BandClassPriorityHRPD
BandClassPriorityHRPD ::=
                                   SEQUENCE {
   bandClass
                                       BandclassCDMA2000,
   cellReselectionPriority
                                       CellReselectionPriority
BandClassPriorityList1XRTT ::= SEQUENCE (SIZE (1..maxCDMA-BandClass)) OF BandClassPriority1XRTT
BandClassPriority1XRTT ::=
                                   SEQUENCE {
   bandClass
                                      BandclassCDMA2000,
   cellReselectionPriority
                                       CellReselectionPriority
CellInfoListGERAN-r9 ::=
                              SEQUENCE (SIZE (1..maxCellInfoGERAN-r9)) OF CellInfoGERAN-r9
                                   SEOUENCE {
CellInfoGERAN-r9 ::=
                                      PhysCellIdGERAN,
   physCellId-r9
   carrierFreq-r9
                                       CarrierFreqGERAN,
   systemInformation-r9
                                       SystemInfoListGERAN
}
CarrierInfoNR-r15 ::= SEQUENCE {
   carrierFreq-r15
                                   ARFCN-ValueNR-r15,
   subcarrierSpacingSSB-r15
                                      ENUMERATED {kHz15, kHz30, kHz120, kHz240},
                                                                                  -- Need OP
                                       MTC-SSB-NR-r15
                                                                  OPTIONAL
   smtc-r15
CarrierInfoNR-r17 ::= SEQUENCE {
   carrierFreq-r17
                                   ARFCN-ValueNR-r15.
   subcarrierSpacingSSB-r17
                                       ENUMERATED {kHz15, kHz30, kHz120, kHz240, kHz480, spare1},
```

```
MTC-SSB-NR-r15
                                                               OPTIONAL -- Need OP
  smtc-r17
CellInfoListUTRA-FDD-r9 ::=
                                SEQUENCE (SIZE (1..maxCellInfoUTRA-r9)) OF CellInfoUTRA-FDD-r9
                                SEQUENCE {
CellInfoUTRA-FDD-r9 ::=
   physCellId-r9
                                     PhysCellIdUTRA-FDD,
   utra-BCCH-Container-r9
                                     OCTET STRING
CellInfoListUTRA-TDD-r9 ::=
                                 SEQUENCE (SIZE (1..maxCellInfoUTRA-r9)) OF CellInfoUTRA-TDD-r9
CellInfoUTRA-TDD-r9 ::=
                                 SEQUENCE {
                                    PhysCellIdUTRA-TDD,
  physCellId-r9
   utra-BCCH-Container-r9
                                     OCTET STRING
CellInfoListUTRA-TDD-r10 ::=
                                SEQUENCE (SIZE (1..maxCellInfoUTRA-r9)) OF CellInfoUTRA-TDD-r10
CellInfoUTRA-TDD-r10 ::=
                               SEQUENCE {
                                 PhysCellIdUTRA-TDD,
  physCellId-r10
                                   ARFCN-ValueUTRA,
OCTET STRING
   carrierFreq-r10
   utra-BCCH-Container-r10
-- ASN1STOP
```

# RRCConnectionRelease field descriptions

### altFreqPriorities

Indicates that the UE shall apply the alternative cell reselection priorities, when available. This field is not configured together with *idleModeMobilityControlInfo*.

### carrierFreq or bandClass

The carrier frequency (UTRA, E-UTRA, and NR) and band class (HRPD and 1xRTT) for which the associated cellReselectionPriority is applied. For NR, the *ARFCN-ValueNR* corresponds to a GSCN value as specified in TS 38.101 [85].

### carrierFregs

The list of GERAN carrier frequencies organised into one group of GERAN carrier frequencies.

#### cellInfoList

Used to provide system information of one or more cells on the redirected inter-RAT carrier frequency. The system information can be used if, upon redirection, the UE selects an inter-RAT cell indicated by the *physCellId* and *carrierFreq* (GERAN and UTRA TDD) or by the *physCellId* (other RATs). The choice shall match the *redirectedCarrierInfo*. In particular, E-UTRAN only applies value *utra-TDD-r10* in case *redirectedCarrierInfo* is set to *utra-TDD-r10*.

### cellList

Indicates a list of cells configured as RAN area. For each element, in the absence of *plmn-Identity* the UE considers the registered PLMN. Total number of cells across all PLMNs does not exceed 32.

### cn-Type

The *cn-Type* is used to indicate that the UE is redirected from 5GC to EPC or 5GC when *redirectedCarrierInfo* indicates E-UTRA frequency.

# drb-ContinueROHC

This field indicates whether to continue or reset the header compression protocol context for the DRBs configured with the header compression protocol. Presence of the field indicates that the header compression protocol context continues when UE initiates UP-EDT in the same cell, while absence indicates that the header compression protocol context is reset.

### dummy

This field is not used in the specification. If received it shall be ignored by the UE.

#### extendedWaitTime

Value in seconds for the wait time for Delay Tolerant access requests.

# freqPriorityListX

Provides a cell reselection priority for each frequency, by means of separate lists for each RAT (including E-UTRA). The UE shall be able to store at least 3 occurrences of FreqsPriorityGERAN. If E-UTRAN includes freqPriorityListEUTRA-v9e0 and/or freqPriorityListEUTRA-v1310 it includes the same number of entries, and listed in the same order, as in freqPriorityListEUTRA (i.e. without suffix). Field freqPriorityListExt includes additional neighbouring inter-frequencies, i.e. extending the size of the inter-frequency carrier list using the general principles specified in 5.1.2. EUTRAN only includes freqPriorityListExtEUTRA if freqPriorityListEUTRA (i.e. without suffix) includes maxFreq entries. If E-UTRAN includes freqPriorityListExtEUTRA-v1310 it includes the same number of entries, and listed in the same order, as in freqPriorityListExtEUTRA-r12.

# idleModeMobilityControlInfo

Provides dedicated cell reselection priorities. Used for cell reselection as specified in TS 36.304 [4]. For E-UTRA and UTRA frequencies, a UE that supports multi-band cells for the concerned RAT considers the dedicated priorities to be common for all overlapping bands (i.e. regardless of the ARFCN that is used).

# measIdleConfig

Indicates a one-shot measurement configuration to be stored and used by the UE while in RRC\_IDLE or RRC\_INACTIVE.

### mpsPriorityIndication

Indicates the UE can set the establishment cause to *highPriorityAccess* for a new connection following a redirect to E-UTRA or set the resume cause to *highPriorityAccess* for a resume following a redirect to E-UTRA. If the target RAT is NR, see TS 38.331 [82]. The eNB/ng-eNB sets the indication only for UEs authorized to receive MPS treatment as indicated by ARP and/or QoS characteristics at the eNB/ng-eNB, and it is applicable only for this instance of release with redirection to carrier/RAT included in the *redirectedCarrierInfo* field in the *RRCConnectionRelease* message.

## noLastCellUpdate

Presence of the field indicates that the last used cell for (G)WUS shall not be updated.

# periodic-RNAU-timer

Refers to the timer that triggers the periodic RNAU procedure in UE. Value min5 corresponds to 5 minutes, value min10 corresponds to 10 minutes and so on.

### ran-Area

Indicates whether TA code(s) or RAN area code(s) are used for the RAN notification area. The network uses only TA code(s) or RAN area code(s) to configure a UE. Total number of TACs across all PLMNs does not exceed 16. Total number of RAN-AreaCode across all PLMNs does not exceed 32.

# ran-NotificationAreaInfo

Network ensures that the UE in RRC\_INACTIVE always has a valid ran-NotificationAreaInfo.

# ranAreaConfigList

Indicates a list of RAN area codes or RA code(s) as RAN area. For each element, in the absence of *plmn-Identity* the UE considers the registered PLMN.

### RRCConnectionRelease field descriptions

### altFregPriorities

Indicates that the UE shall apply the alternative cell reselection priorities, when available. This field is not configured together with *idleModeMobilityControlInfo*.

# ran-pagingCycle

Refers to the UE specific cycle for RAN-initiated paging. Value rf32 corresponds to 32 radio frames, rf64 corresponds to 64 radio frames and so on.

### redirectedCarrierInfo

The redirectedCarrierInfo indicates a carrier frequency (downlink for FDD) and is used to redirect the UE to an E-UTRA or an inter-RAT carrier frequency, by means of the cell selection upon leaving RRC\_CONNECTED as specified in TS 36.304 [4]. The value *geran* can only be included after successful security activation when UE is connected to 5GC.

# releaseCause

The releaseCause is used to indicate the reason for releasing the RRC Connection. The cause value cs-FallbackHighPriority is only applicable when redirectedCarrierInfo is present with the value set to utra-FDD, utra-TDD or utra-TDD-r10. E-UTRAN should not set the releaseCause to loadBalancingTAURequired or to cs-FallbackHighPriority if the extendedWaitTime is present. The network should not set the releaseCause to loadBalancingTAURequired if the UE is connected to 5GC. The network does not set the releaseCause to rrc-Suspend if the UE is configured with a DAPS bearer, i.e. if source PCell resources after a DAPS handover have not been released.

### releaseIdIeMeasConfig

Indicates that the UE shall release the idle/inactive measurement configurations, if configured.

### rrc-InactiveConfig

Indicates configuration for the RRC\_INACTIVE state. The network does not configure this field when the UE is redirected to an inter-RAT carrier frequency or if the UE is configured with a DAPS bearer.

#### smtc

The SSB periodicity/offset/duration configuration of the redirected target NR frequency. It is based on the timing reference of EUTRAN PCell. If the field is absent, the UE uses the SMTC configured in the *measObjectNR* having the same SSB frequency and subcarrier spacing

# subcarrierSpacingSSB

Indicate subcarrier spacing of SSB of redirected target NR frequency. Only the values 15 kHz or 30 kHz (FR1), 120 kHz or 240 kHz (FR2-1), 120kHz or 480kHz (FR2-2) are applicable.

# systemInformation

Container for system information of the GERAN cell i.e. one or more System Information (SI) messages as defined in TS 44.018 [45], table 9.1.1.

### t320

Timer T320 as described in clause 7.3. Value minN corresponds to N minutes.

### t323

Timer T323 as described in clause 7.3. Value minN corresponds to N minutes.

# utra-BCCH-Container

Contains System Information Container message as defined in TS 25.331 [19].

### waitTime

Wait time value in seconds.

Conditional presence	Explanation
5GC	The field is optionally present, Need ON, if the UE is connected to 5GC; otherwise the
	field is not present.
BLCE-IDLEeDRX	The field is optionally present, Need OR, if the UE is a BL UE or UE in CE and the UE is
	connected to 5GC and IDLE mode eDRX is configured and ran-PagingCycle-r15 is
	absent; otherwise the field is not present.
EARFCN-max	The field is mandatory present if the corresponding <i>carrierFreq</i> (i.e. without suffix) is set to
	maxEARFCN. Otherwise the field is not present.
EarlySec	When the UE is connected to 5GC, the field is mandatory present. When the UE is
	connected to EPC, the field is optionally present, Need ON, if the UE supports UP-EDT or
	UP transmission using PUR or early security reactivation and releaseCause is set to rrc-
	Suspend; otherwise the field is not present.
IdleInfoEUTRA	The field is optionally present, Need OP, if the IdleModeMobilityControlInfo (i.e. without
	suffix) is included and includes <i>freqPriorityListEUTRA</i> ; otherwise the field is not present.
INACTIVE	The field is mandatory present in this release.
NoRedirect-r8	The field is optionally present, Need OP, if the redirectedCarrierInfo (i.e. without suffix) is
	not included; otherwise the field is not present.
Redirection	The field is optionally present, Need ON, if the redirectedCarrierInfo is included and set to
	geran, utra-FDD, utra-TDD or utra-TDD-r10; otherwise the field is not present.
Redirection2	The field is optionally present, Need OR, if redirectedCarrierInfo is included; otherwise the
	field is not present.
UP-EDTorPUR	The field is optionally present, Need ON, if the UE supports UP-EDT or UP transmission
	using PUR and releaseCause is set to rrc-Suspend; otherwise the field is not present.

# RRCConnectionRequest

The RRCConnectionRequest message is used to request the establishment of an RRC connection.

Signalling radio bearer: SRB0

RLC-SAP: TM

Logical channel: CCCH

Direction: UE to E-UTRAN

# RRCConnectionRequest message

```
-- ASN1START
                            SEQUENCE {
RRCConnectionRequest ::=
        ticalExtensions CHOICE {
rrcConnectionRequest-r8 RRCConnectionRequest-r8-IEs,
rrcConnectionRequest-r15 RRCConnectionRequest-5GC-r15-IEs
   criticalExtensions
RRCConnectionRequest-r8-IEs ::= SEQUENCE {
    ue-Identity Initial
    establishmentCause Establ
                                      InitialUE-Identity,
                                             EstablishmentCause,
                                           BIT STRING (SIZE (1))
    spare
RRCConnectionRequest-5GC-r15-IEs ::= SEQUENCE {
    ue-Identity-r15 Initia
    establishmentCause-r15 Establ
                                                 InitialUE-Identity-5GC-r15,
                                                 EstablishmentCause-5GC-r15,
                                                 BIT STRING (SIZE (1))
    spare
InitialUE-Identity ::=
                                      CHOICE {
                                         S-TMSI,
   s-TMSI
    randomValue
                                            BIT STRING (SIZE (40))
ng-5G-S-TMSI-Part1
                                       BIT STRING (SIZE (40)),
    randomValue
                                            BIT STRING (SIZE (40))
```

### RRCConnectionRequest field descriptions

#### establishmentCause

Provides the establishment cause for the RRC connection request as provided by the upper layers. W.r.t. the cause value names: highPriorityAccess concerns AC11..AC15, 'mt' stands for 'Mobile Terminating' and 'mo' for 'Mobile Originating. eNB is not expected to reject a *RRCConnectionRequest* due to unknown cause value being used by the UE. The cause value of *delayTolerantAccess* is not used for E-UTRA/5GC in this release.

#### random Value

Integer value in the range 0 to  $2^{40} - 1$ .

### ng-5G-S-TMSI-Part1

The rightmost 40 bits of 5G-S-TMSI.

#### ue-Identity

UE identity included to facilitate contention resolution by lower layers.

# RRCConnectionResume

The RRCConnectionResume message is used to resume the suspended RRC connection.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to UE

### RRCConnectionResume message

```
-- ASN1START
RRCConnectionResume-r13 ::=
                               SEQUENCE {
   rrc-TransactionIdentifier
                                   RRC-TransactionIdentifier,
    criticalExtensions
                                   CHOICE {
        c1
                                       CHOICE {
           rrcConnectionResume-r13
                                           RRCConnectionResume-r13-IEs,
           spare3
                                           NULL,
           spare2
                                           NULL.
           spare1
                                           NULL
                                       SEQUENCE {}
        criticalExtensionsFuture
    }
}
RRCConnectionResume-r13-IEs ::=
                                  SEQUENCE {
                                                                                       -- Need ON
   radioResourceConfigDedicated-r13 RadioResourceConfigDedicated
                                                                           OPTIONAL,
   nextHopChainingCount-r13
                                           NextHopChainingCount,
                                                                           OPTIONAL,
   measConfig-r13
                                          MeasConfig
                                                                                       -- Need ON
    antennaInfoDedicatedPCell-r13
                                           AntennaInfoDedicated-v10i0
                                                                           OPTIONAL,
                                                                                       -- Need ON
                                          ENUMERATED {true}
    drb-ContinueROHC-r13
                                                                          OPTIONAL,
                                                                                       -- Need OP
    lateNonCriticalExtension
                                           OCTET STRING
                                                                           OPTIONAL,
                                           RRCConnectionResume-v1430-IEs OPTIONAL
    rrcConnectionResume-v1430-IEs
}
RRCConnectionResume-v1430-IEs ::= SEQUENCE {
    otherConfig-r14
                                       OtherConfig-r9
                                                                       OPTIONAL,
                                                                                       -- Need ON
    rrcConnectionResume-v1510-IEs
                                       RRCConnectionResume-v1510-IEs OPTIONAL
RRCConnectionResume-v1510-IEs ::= SEQUENCE {
                                                                       OPTIONAL,
    sk-Counter-r15
                                       INTEGER (0.. 65535)
                                                                                   -- Need ON
                                                                                   -- Need ON
    nr-RadioBearerConfig1-r15
                                       OCTET STRING
                                                                       OPTIONAL,
```

```
nr-RadioBearerConfig2-rl5 OCTET STRING
                                                                                               OPTIONAL, -- Need ON
     nonCriticalExtension
                                                    RRCConnectionResume-v1530-IEs OPTIONAL
}
RRCConnectionResume-v1530-IEs ::= SEQUENCE {
                                   ENUMERATED {true}
    fullConfig-r15
                                                                                               OPTIONAL,
                                                                                                                -- Need ON
    nonCriticalExtension
                                                    RRCConnectionResume-v1610-IEs OPTIONAL
}
RRCConnectionResume-v1610-IEs ::= SEQUENCE {
  idleModeMeasurementReq-r16 ENUMERATED {true}
  restoreMCG-SCells-r16 ENUMERATED {true}
  restoreSCG-r16 ENUMERATED {true}
    restoreMCG-SCells-r16 ENUMERATED {true} OPTIONAL,
restoreSCG-r16 ENUMERATED {true} OPTIONAL,
scellToAddModList-r16 SCellToAddModList-r16 OPTIONAL,
sCellToReleaseList-r16 SCellToReleaseList-r13 OPTIONAL,
sCellGroupToReleaseList-r16 SCellGroupToReleaseList-r15 OPTIONAL,
scellGroupToAddModList-r16 SCellGroupToAddModList-r15 OPTIONAL,
nr-SecondaryCellGroupConfig-r16 OCTET STRING OPTIONAL,
toreSCG
p-MaxeUTRA-r16
                                                                                                               -- Need ON
                                                                                                               -- Need ON
                                                                                                                -- Cond EarlySec
                                                                                                               -- Cond EarlySec
                                                                                                                -- Need ON
                                                                                                               -- Need ON
                                                                                                               -- Cond EarlySec
                                                                                                                -- Cond
RestoreSCG
                                                                                               OPTIONAL,
    p-MaxEUTRA-r16
                                                                                                                -- Cond SCG
                                                     P-Max
                                                                                                               -- Cond SCG
     p-MaxUE-FR1-r16
                                                     P-Max
     tdm-PatternConfig-r16
                                                                                               OPTIONAL, -- Cond FDD-
                                                    TDM-PatternConfig-r15
PCell
     tdm-PatternConfig2-r16 TDM-PatternConfig-r15
                                                                                              OPTIONAL, -- Need OR
     nonCriticalExtension
                                                   RRCConnectionResume-v1700-IEs
                                                                                                                          OPTIONAL
}
RRCConnectionResume-v1700-IEs ::= SEQUENCE {
                                                                                                   OPTIONAL, -- Need OP
                                                  ENUMERATED {deactivated}
     scg-State-r17
                                                                                              OPTIONAL
     nonCriticalExtension
                                                    SEQUENCE {}
-- ASN1STOP
```

### RRCConnectionResume field descriptions

#### drb-ContinueROHC

This field indicates whether to continue or reset the header compression protocol context for the DRBs configured with EUTRA PDCP and the header compression protocol. Presence of the field indicates that the header compression protocol context continues while absence indicates that the header compression protocol context is reset.

#### fullConfig

Indicates that the full configuration option is applicable for the RRCConnectionResume message.

### idleModeMeasurementReq

This field indicates that the UE shall report the idle/inactive measurements to the network in the RRCConnectionResumeComplete message

#### p-MaxEUTRA

Indicates the maximum power available for E-UTRA.

# p-MaxUE-FR1

The maximum total transmit power to be used by the UE across all serving cells in frequency range 1 (FR1) across all cell groups. The maximum transmit power that the UE may use may be additionally limited on cell- or cell-group level.

# nr-RadioBearerConfig1, nr-RadioBearerConfig2

Includes the NR *RadioBearerConfig* IE as specified in TS 38.331 [82]. The field includes the configuration of RBs configured with NR PDCP.

# nr-SecondaryCellGroupConfig

Includes the NR *RRCReconfiguration* message as specified in TS 38.331 [82]. In this version of the specification, the NR RRC message only includes fields *secondaryCellGroup*, with at least *reconfigurationWithSync*, *otherConfig* and/ or *measConfig*.

### restoreMCG-Scells

Indicates that the UE shall restore the MCG Scell configurations from the UE AS Context or UE Inactive AS Context, if configured.

#### restoreSCG

If included, the UE shall restore the SCG configurations from the UE AS Context or UE Inactive AS Context.

### sCellGroupToAddModList

Indicates the SCell group to be added or modified.

### sCellGroupToReleaseList

Indicates the SCell group to be released.

### sCellToAddModList

List of SCells to be added or modified.

### sCellToReleaseList

List of SCells to be released.

# scg-State

Indicates that the SCG is deactivated.

### sk-Counter

A one-shot counter used upon initial configuration of  $S-K_{gNB}$  as well as upon refresh of  $S-K_{gNB}$ . E-UTRAN provides this field when the UE is configured with an (SN-terminated) RB using S-KgNB or NR SCG is configured.

# tdm-PatternConfig

This field is used when power control or IMD issues require single UL transmission in (NG)EN-DC as specified in TS 38.101-3 [101] and TS 38.213 [88].

### tdm-PatternConfig2

This field is used for dual UL transmission in EN-DC with LTE FDD PCell and for single UL transmission in EN-DC with LTE FDD/TDD PCell, as specified in TS 38.101-3 [101] and TS 38.213 [88].

The network sets at most one of tdm-PatternConfig and tdm-PatternConfig2 to setup.

When this field is configured in EN-DC with LTE TDD PCell, it is not applicable if TDD configuration is sa0 or sa6 in SIB1.

Conditional presence	Explanation
EarlySec	For EPC, the field is optionally present, Need ON, if the UE supports early security reactivation; otherwise the field is not present.
	For 5GC, the field is optionally present, Need ON.
RestoreSCG	The field is mandatory present if <i>restoreSCG</i> is configured. It is optionally present, Need ON, otherwise.
	For EPC, this field can be present only if the UE supports early security reactivation.
FDD-PCell	This field is optionally present, need ON, for an FDD PCell if there is no SCell with configured uplink. Otherwise, the field is not present, need OR.
SCG	This field is optionally present, need OR, if <i>nr-SecondaryCellGroupConfig</i> is present, otherwise it is absent, need OR.

# RRCConnectionResumeComplete

The RRCConnectionResumeComplete message is used to confirm the successful completion of an RRC connection resumption.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

# RRCConnectionResumeComplete message

```
-- ASN1START
RRCConnectionResumeComplete-r13 ::= SEQUENCE {
                                   RRC-TransactionIdentifier,
    rrc-TransactionIdentifier
    criticalExtensions
        rrcConnectionResumeComplete-r13
                                                      RRCConnectionResumeComplete-r13-IEs,
         criticalExtensionsFuture
                                                          SEQUENCE {}
RRCConnectionResumeComplete-r13-IEs ::= SEQUENCE {
    selectedPLMN-Identity-r13 INTEGER (1..maxPLMN-r11)
                                                                                                    OPTIONAL,
    dedicatedInfoNAS-r13
                                                 DedicatedInfoNAS
                                                                                                    OPTIONAL,
    rlf-InfoAvailable-r13
                                                 ENUMERATED {true}
                                                                                                    OPTIONAL,
                                                ENUMERATED {true}
    logMeasAvailable-r13
                                                                                                    OPTIONAL,
    OPTIONAL,
                                                                                                    OPTIONAL,
                                                                                                    OPTIONAL,
                                                OCTET STRING
    lateNonCriticalExtension
                                                                                                    OPTIONAL,
    nonCriticalExtension
                                                 RRCConnectionResumeComplete-v1530-IEs
                                                                                                    OPTIONAL
RRCConnectionResumeComplete-v1530-IEs ::= SEQUENCE {
    logMeasAvailableBT-r15 ENUMERATED {true} logMeasAvailableWLAN-r15 ENUMERATED {true} idleMeasAvailable-r15 ENUMERATED {true}
                                                                             OPTIONAL.
                                                                             OPTIONAL,
                                                                             OPTIONAL,
    flightPathInfoAvailable-r15 ENUMERATED {true} OPTIONAL, nonCriticalExtension RRCConnectionResumeComplete-v1610-IES OPTIONAL
RRCConnectionResumeComplete-v1610-IEs ::= SEQUENCE {
    measResultListIdle-r16 MeasResultListIdle-r15 OPTIONAL,
measResultListExtIdle-r16 MeasResultListExtIdle-r16 OPTIONAL,
measResultListIdleNR-r16 MeasResultListIdleNR-r16 OPTIONAL,
scg-ConfigResponseNR-r16 OCTET STRING OPTIONAL,
nonCriticalExtension RRCConnectionResumeComplete ville TRANS
                                                                                           OPTIONAL.
    nonCriticalExtension
                                            RRCConnectionResumeComplete-v1710-IEs
}
RRCConnectionResumeComplete-v1710-IEs ::= SEQUENCE {
    gnss-ValidityDuration-r17 GNSS-ValidityDuration-r17 OPTIONAL,
    nonCriticalExtension
                                             SEQUENCE { }
                                                                                  OPTIONAL
-- ASN1STOP
```

### RRCConnectionResumeComplete field descriptions

### idleMeasAvailable

Indication that the UE has idle/inactive measurement report available.

### selectedPLMN-Identity

Index of the PLMN selected by the UE from the *plmn-IdentityList* fields included in SIB1. 1 if the 1st PLMN is selected from the 1st *plmn-IdentityList* included in SIB1, 2 if the 2nd PLMN is selected from the same *plmn-IdentityList*, or when no more PLMN are present within the same *plmn-IdentityList*, then the PLMN listed 1st in the subsequent *plmn-IdentityList* within the same SIB1 and so on. The *selectedPLMN-Identity* is referred to the PLMN list for 5GC if the UE is in RRC\_INACTIVE state.

# RRCConnectionResumeRequest

The *RRCConnectionResumeRequest* message is used to request the resumption of a suspended RRC connection or to perform UP-EDT.

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Signalling radio bearer: SRB0

RLC-SAP: TM

Logical channel: CCCH

Direction: UE to E-UTRAN

# RRCConnectionResumeRequest message

```
-- ASN1START
RRCConnectionResumeRequest-r13 ::= SEQUENCE {
    criticalExtensions
                                           CHOICE {
       rrcConnectionResumeRequest-r13
                                            RRCConnectionResumeRequest-r13-IEs,
       rrcConnectionResumeRequest-r15
                                               RRCConnectionResumeRequest-5GC-r15-IEs
}
RRCConnectionResumeRequest-r13-IEs ::=
                                           SEQUENCE {
   resumeIdentity-r13
                                                    CHOICE {
       resumeID-r13
                                                       ResumeIdentity-r13,
       truncatedResumeID-r13
                                                        BIT STRING (SIZE (24))
   shortResumeMAC-I-r13
                                                   BIT STRING (SIZE (16)),
   resumeCause-r13
                                                    ResumeCause,
                                                    BIT STRING (SIZE (1))
    spare
RRCConnectionResumeRequest-5GC-r15-IEs ::=
                                               SEQUENCE {
    resumeIdentity-r15
                                                    CHOICE {
       fullI-RNTI-r15
                                                        I-RNTI-r15,
       shortI-RNTI-r15
                                                       ShortI-RNTI-r15
    shortResumeMAC-I-r15
                                                   BIT STRING (SIZE (16)),
   resumeCause-r15
                                                    ResumeCause-r15,
    spare
                                                    BIT STRING (SIZE (1))
}
ResumeCause ::=
                           ENUMERATED {
                               emergency, highPriorityAccess, mt-Access, mo-Signalling,
                               mo-Data, delayTolerantAccess-v1020, mo-VoiceCall-v1280,
                               mt.-EDT-v1610
                           ENUMERATED {
ResumeCause-r15 ::=
                               emergency, highPriorityAccess, mt-Access, mo-Signalling,
                               mo-Data, rna-Update, mo-VoiceCall, spare1
-- ASN1STOP
```

# RRCConnectionResumeRequest field descriptions

# resumeCause

Provides the resume cause for the RRC connection resume request as provided by the upper layers. The network is not expected to reject a *RRCConnectionResumeRequest* due to unknown cause value being used by the UE.

### resumeldentity

UE identity to facilitate UE context retrieval at eNB

## shortResumeMAC-I

Authentication token to facilitate UE authentication at eNB

# RRCConnectionSetup

The RRCConnectionSetup message is used to establish SRB1.

RLC-SAP: TM

Logical channel: CCCH

Direction: E-UTRAN to UE

# RRCConnectionSetup message

```
-- ASN1START
    rrc-TransactionIdentifier RRC-TransactionIdentifier, criticalExtensions CHOICE {
RRCConnectionSetup ::=
             rrcConnectionSetup-r8
                                                      RRCConnectionSetup-r8-IEs,
             spare7 NULL,
             spare6 NULL, spare5 NULL, spare4 NULL,
             spare3 NULL, spare2 NULL, spare1 NULL
         criticalExtensionsFuture
    }
}
RRCConnectionSetup-r8-IEs ::= SEQUENCE {
    radioResourceConfigDedicated RadioResourceConfigDedicated,
    ponCriticalExtension RRCConnectionSetup-v8a0-IEs
                                                                                    OPTIONAL
RRCConnectionSetup-v8a0-IEs ::= SEQUENCE {
    lateNonCriticalExtension OCTET STRING
                                                                                      OPTIONAL.
                                            RRCConnectionSetup-v1610-IEs
    nonCriticalExtension
                                                                                      OPTIONAL
{\tt RRCConnectionSetup-v1610-IEs} \ ::= \ \ {\tt SEQUENCE} \ \{
                                                                                      OPTIONAL,
    dedicatedInfoNAS-r16 DedicatedInfoNAS
                                                                                                   -- Need ON
    nonCriticalExtension
                                             SEQUENCE {}
                                                                                      OPTIONAL
-- ASN1STOP
```

### RRCConnectionSetup field descriptions

### dedicatedInfoNAS

Downlink NAS PDU in case of mobile terminated CP-EDT. E-UTRAN may include this field only if the RRCConnectionSetup is in response to RRCEarlyDataRequest with establishment cause mt-Access.

# RRCConnectionSetupComplete

The RRCConnectionSetupComplete message is used to confirm the successful completion of an RRC connection establishment.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

# RRCConnectionSetupComplete message

```
criticalExtensionsFuture
                                                SEQUENCE {}
    }
}
RRCConnectionSetupComplete-r8-IEs ::= SEQUENCE {
    selectedPLMN-Identity
                                           INTEGER (1..maxPLMN-r11),
    registeredMME
                                            RegisteredMME
                                                                                   OPTIONAL,
    dedicatedInfoNAS
                                            DedicatedInfoNAS,
    nonCriticalExtension
                                           RRCConnectionSetupComplete-v8a0-IEs OPTIONAL
}
RRCConnectionSetupComplete-v8a0-IEs ::= SEQUENCE {
    lateNonCriticalExtension
                                           OCTET STRING
                                                                                        OPTIONAL,
    nonCriticalExtension
                                           RRCConnectionSetupComplete-v1020-IEs
}
RRCConnectionSetupComplete-v1020-IEs ::= SEQUENCE {
    rlf-InfoAvailable-r10
logMeasAvailable-r10
rn-Subfrace
                                          ENUMERATED {native, mapped}
                                                                                        OPTIONAL.
                                           ENUMERATED {true}
ENUMERATED {true}
                                                                                        OPTIONAL,
                                                                                       OPTIONAL,
                                         ENUMERATED {required, notRequired} OPTIONAL,
    rn-SubframeConfigReq-r10
    nonCriticalExtension
                                           RRCConnectionSetupComplete-v1130-IEs
                                                                                        OPTIONAL
}
RRCConnectionSetupComplete-v1130-IEs ::= SEQUENCE {
    OPTIONAL,
    nonCriticalExtension
                                            RRCConnectionSetupComplete-v1250-IEs
                                                                                            OPTIONAL
}
RRCConnectionSetupComplete-v1250-IEs ::= SEQUENCE {
    mobilityState-r12 ENUMERATED {normal, medium, high, spare} mobilityHistoryAvail-r12 ENUMERATED {+rno}
                                                                                            OPTIONAL,
                                      ENUMERATED {true}
ENUMERATED {true}
                                                                                            OPTIONAL,
    logMeasAvailableMBSFN-r12
                                                                                            OPTIONAL.
    nonCriticalExtension
                                          RRCConnectionSetupComplete-v1320-IEs
                                                                                            OPTIONAL
}
RRCConnectionSetupComplete-v1320-IEs ::= SEQUENCE {
                                           ENUMERATED {supported}
                                                                                            OPTIONAL,
    ce-ModeB-r13
                                                                                            OPTIONAL,
    s-TMSI-r13
                                            S-TMSI
    attachWithoutPDN-Connectivity-r13 ENUMERATED {true} up-CIoT-EPS-Optimisation-r13 ENUMERATED {true} cp-CIoT-EPS-Optimisation-r13 ENUMERATED {true}
                                                                                            OPTIONAL.
                                                                                            OPTIONAL.
                                                                                            OPTIONAL,
    {\tt nonCriticalExtension}
                                            RRCConnectionSetupComplete-v1330-IEs
                                                                                            OPTIONAL
}
RRCConnectionSetupComplete-v1330-IEs ::= SEQUENCE {
    ue-CE-NeedULGaps-r13
                                          ENUMERATED {true}
                                                                                            OPTIONAL,
    nonCriticalExtension
                                           RRCConnectionSetupComplete-v1430-IEs
                                                                                            OPTIONAL
}
RRCConnectionSetupComplete-v1430-IEs ::= SEQUENCE {
    dcn-ID-r14
                                           INTEGER (0..65535)
                                                                                            OPTIONAL,
    nonCriticalExtension
                                            RRCConnectionSetupComplete-v1530-IEs
                                                                                            OPTIONAL
}
{\tt RRCConnectionSetupComplete-v1530-IEs} \; ::= \; {\tt SEQUENCE} \; \left\{ \right. \\
    logMeasAvailableBT-r15 ENUMERATED {true} logMeasAvailableWLAN-r15 ENUMERATED {true} idleMeasAvailable-r15 ENUMERATED {true}
                                                                                        OPTIONAL,
                                                                                        OPTIONAL,
                                                                                        OPTIONAL,
    OPTIONAL,
                                                                                        OPTIONAL,
    registeredAMF-r15
                                          RegisteredAMF-r15
                                                                                        OPTIONAL,
    s-NSSAI-list-r15
                                          SEQUENCE(SIZE (1..maxNrofS-NSSAI-r15)) OF S-NSSAI-r15
OPTIONAL.

      ng-5G-S-TMSI-Bits-r15
      CHOICE {

      ng-5G-S-TMSI-r15
      NG-5

      ng-5G-S-TMSI-Part2-r15
      BIT

                                                NG-5G-S-TMSI-r15,
                                                BIT STRING (SIZE (8))
                                                                                        OPTIONAL.
    nonCriticalExtension
                                           RRCConnectionSetupComplete-v1540-IEs
                                                                                        OPTIONAL
}
RRCConnectionSetupComplete-v1540-IEs ::= SEQUENCE {
    gummei-Type-v1540 ENUMERATED {mappedFrom5G-v1540} OPTIONAL, guami-Type-r15 ENUMERATED {native, mapped} OPTIONAL,
    nonCriticalExtension
                                           RRCConnectionSetupComplete-v1610-IEs OPTIONAL
}
```

```
RRCConnectionSetupComplete-v1610-IEs ::= SEQUENCE {
   OPTIONAL,
                                                                         OPTIONAL,
                                                                         OPTIONAL,
                                                                         OPTIONAL,
                                                                         OPTIONAL,
                                                                      OPTIONAL,
                                                                            OPTIONAL
}
RRCConnectionSetupComplete-v1690-IEs ::= SEQUENCE {
   ul-RRC-Segmentation-r16 ENUMERATED {true}
nonCriticalExtension RRCConnection
                                                                      OPTIONAL,
    nonCriticalExtension
                                  RRCConnectionSetupComplete-v1710-IEs
                                                                                 OPTIONAL
}
RRCConnectionSetupComplete-v1710-IEs ::= SEQUENCE {
   gnss-ValidityDuration-r17 GNSS-ValidityDuration-r17 OPTIONAL, nonCriticalExtension SEQUENCE {} OPTIONAL
                                      SEQUENCE {}
}
RegisteredMME ::=
                                  SEQUENCE {
   plmn-Identity
                                      PLMN-Identity
                                                                         OPTIONAL,
    mmegi
                                       BIT STRING (SIZE (16)),
   mmec
}
RegisteredAMF-r15 ::= SEQUENCE {
   plmn-Identity-r15 PLMN-Identity
   amf-Identifier-r15 AMF-Identifier-r15
                                                                        OPTIONAL,
-- ASN1STOP
```

# RRCConnectionSetupComplete field descriptions

#### attachWithoutPDN-Connectivity

This field is used to indicate that the UE performs an Attach without PDN connectivity procedure, as indicated by the upper layers and specified in TS 24.301 [35].

### cp-CloT-5GS-Optimisation

This field is included when the UE supports the Control plane CloT 5GS optimisation, as indicated by the upper layers, see TS 24.501 [95].

### cp-CloT-EPS-Optimisation

This field is included when the UE supports the Control plane CIoT EPS Optimisation, as indicated by the upper layers, see TS 24.301 [35].

#### ce-ModeB

Indicates whether the UE supports operation in CE mode B, as specified in TS 36.306 [5].

### connectTo5GC

This field is not used in the specification. It shall not be sent by the UE.

### dcn-ID

The Dedicated Core Network Identity, see TS 23.401 [41].

### guami-Type

This field is used to indicate whether the GUAMI included is native (derived from native 5G-GUTI) or mapped (from EPS, derived from EPS GUTI) as specified in TS 24.501 [95].

### gummei-Type

This field is used to indicate whether the GUMMEI included is native (assigned by EPC) or mapped. The value native indicates the GUMMEI is native, mapped indicates the GUMMEI is mapped from 2G/3G identifiers, and mappedFrom5G indicates the GUMMEI is mapped from 5G identifiers. A UE that sets *gummei-Type-v1540* to mappedFrom5G shall also include *gummei-Type-r10* and set it to native.

#### iab-NodeIndication

This field is used to indicate that the connection is being established by an IAB-node as specified in TS 38.300 [106].

#### idleMeasAvailable

Indication that the UE has idle/inactive measurement report available.

#### Ite-M

Indicates the UE is category M.

#### mmegi

Provides the Group Identity of the registered MME within the PLMN, as provided by upper layers, see TS 23.003 [27].

### mobilityState

This field indicates the UE mobility state (as defined in TS 36.304 [4], clause 5.2.4.3) just prior to UE going into RRC\_CONNECTED state. The UE indicates the value of *medium* and *high* when being in Medium-mobility and High-mobility states respectively. Otherwise the UE indicates the value *normal*.

# ng-5G-S-TMSI-Part2

The leftmost 8 bits of 5G-S-TMSI.

### registeredAMF

This field is used to transfer the GUAMI of the AMF where the UE is registered, as provided by upper layers, see TS 23.003 [27].

# registeredMME

This field is used to transfer the GUMMEI of the MME where the UE is registered, as provided by upper layers.

# rlos-Request

Indicates whether the UE is initiating RLOS as specified in TS 23.401 [41].

# rn-SubframeConfigReq

If present, this field indicates that the connection establishment is for an RN and whether a subframe configuration is requested or not.

# selectedPLMN-Identity

Index of the PLMN selected by the UE from the *plmn-IdentityList* fields included in SIB1. 1 if the 1st PLMN is selected from the 1st *plmn-IdentityList* included in SIB1, 2 if the 2nd PLMN is selected from the same *plmn-IdentityList*, or when no more PLMN are present within the same *plmn-IdentityList*, then the PLMN listed 1st in the subsequent *plmn-IdentityList* within the same SIB1 and so on.

### s-NSSAI-List

This field is a list of S-NSSAI as indicated by the upper layers. The UE can report up to eight S-NSSAI per NSSAI, see TS 23.003 [27].

# ue-CE-NeedULGaps

Indicates whether the UE needs uplink gaps during continuous uplink transmission in FDD as specified in TS 36.211 [21] and TS 36.306 [5].

### ul-RRC-Segmentation

This field indicates the UE supports uplink RRC segmentation of UECapabilityInformation.

# up-CloT-5GS-Optimisation

This field is included when the UE supports the User plane CloT 5GS optimisation, as indicated by the upper layers, see TS 24.501 [95].

### up-CloT-EPS-Optimisation

This field is included when the UE supports the User plane CloT EPS Optimisation, as indicated by the upper layers, see TS 24.301 [35].

# RRCEarlyDataComplete

The RRCEarlyDataComplete message is used to confirm the successful completion of the CP-EDT procedure.

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Signalling radio bearer: SRB0

RLC-SAP: TM

Logical channel: CCCH

Direction: E-UTRAN to UE

# RRCEarlyDataComplete message

```
-- ASN1START
RRCEarlyDataComplete-r15 ::=
                                              SEQUENCE {
                                              CHOICE {
    criticalExtensions
          rrcEarlyDataComplete-r15
                                                        RRCEarlyDataComplete-r15-IEs,
          criticalExtensionsFuture
                                                        SEQUENCE {}
RRCEarlyDataComplete-r15-IEs ::= SEQUENCE {
    dedicatedInfoNAS-r15 DedicatedInfoNAS
    extendedWaitTime-r15 INTEGER (1..1800)
    idleModeMobilityControlInfo-r15 IdleModeMobilityControlInfo-r15
                                                   DedicatedInfoNAS OPTIONAL,
INTEGER (1..1800) OPTIONAL,
IdleModeMobilityControlInfo OPTIONAL,
IdleModeMobility ControlInfo
                                                                                                                -- Need ON
                                                                                                                -- Need ON
                                                                                                                -- Need OP
     idleModeMobilityControlInfoExt-r15 IdleModeMobilityControlInfo-v9e0 OPTIONAL,
                                                                                                                -- Cond
     redirectedCarrierInfo-r15 RedirectedCarrierInfo-r15-IEs OPTIC
nonCriticalExtension RRCEarlyDataComplete-v1590-IEs OPTIONAL
IdleInfoEUTRA
                                                                                             OPTIONAL,
                                                                                                                -- Need ON
     nonCriticalExtension
RRCEarlyDataComplete-v1590-IEs ::= SEQUENCE {
     lateNonCriticalExtension
                                                        OCTET STRING
                                                                                                 OPTIONAL,
     nonCriticalExtension
                                                        SEQUENCE {}
                                                                                            OPTIONAL
RedirectedCarrierInfo-r15-IEs ::= CHOICE {
                   ARFCN-ValueEUTRA-r9,
CarrierFreqsGERAN,
    eutra
     geran
     utra-FDD
cdma2000-HRPD
cdma2000-1xRTT
utra-TDD
                                        ARFCN-ValueUTRA,
                                        CarrierFreqCDMA2000,
                                       CarrierFreqCDMA2000,
     utra-TDD
                                        CarrierFreqListUTRA-TDD-r10
-- ASN1STOP
```

RRCEarlyDataComplete field descriptions			
extendedWaitTime			
Value in seconds for the wait time for Delay Tolerant access requests.			

Conditional presence	Explanation
IdleInfoEUTRA	The field is optionally present, Need OP, if the IdleModeMobilityControlInfo-r15 is
	included and includes freqPriorityListFUTRA: otherwise the field is not present

# RRCEarlyDataRequest

The RRCEarlyDataRequest message is used to initiate CP-EDT.

RLC-SAP: TM

Logical channel: CCCH

Direction: UE to E-UTRAN

# RRCEarlyDataRequest message

```
-- ASN1START
RRCEarlyDataRequest-r15 ::= SEQUENCE {
          rrcEarlyDataRequest-r15 RRCEarlyDataRequest-r15-IEs,
criticalExtensionsFuture CHOICE {
    rrcEarlyDataRequest-5GC-r16 RRCEarlyDataRequest-5GC-r16-IEs,
    criticalExtensionsFuture-r16 SEQUENCE {}
     criticalExtensions
RRCEarlyDataRequest-r15-IEs ::= SEQUENCE {
     s-TMSI-r15 S-TMSI,
establishmentCause-r15 ENUMERA
dedicatedInfoNAS-r15 Ded
nonCriticalExtension RRC
                                                ENUMERATED {mo-Data, delayTolerantAccess},
                                                    DedicatedInfoNAS,
                                                     RRCEarlyDataRequest-v1590-IEs
                                                                                                           OPTIONAL
RRCEarlyDataRequest-v1590-IEs ::= SEQUENCE {
    lateNonCriticalExtension OCTET STRING
                                                                                                      OPTIONAL,
     nonCriticalExtension
                                                          RRCEarlyDataRequest-v1610-IEs OPTIONAL
RRCEarlyDataRequest-v1610-IEs ::= SEQUENCE {
    establishmentCause-v1610 ENUMERATED {mt-Access, spare3, spare2, spare1},
     nonCriticalExtension
                                                     SEQUENCE {}
                                                                                          OPTIONAL
RRCEarlyDataRequest-5GC-r16-IEs ::= SEQUENCE {
     ng-5G-S-TMSI-r16 NG-5G-S-TMSI-r15, establishmentCause-r16 ENUMERATED {mo-Dat dedicatedInfoNAS-r16 DedicatedInfoNAS, lateNonCriticalExtension OCTET STRING nonCriticalExtension SEQUENCE {}
    ng-5G-S-TMSI-r16
                                                    ENUMERATED {mo-Data, spare3, spare2, spare1},
                                              DedicatedInfoNAS,
OCTET STRING OPTIC
SEQUENCE {} OPTIONAL
                                                                                    OPTIONAL,
-- ASN1STOP
```

### RRCEarlyDataRequest field descriptions

## establishmentCause

Provides the establishment cause for the RRC Early Data Request as provided by the upper layers. W.r.t. the cause value names: 'mo' stands for 'Mobile Originating'. eNB is not expected to reject a RRCEarlyDataRequest due to unknown cause value being used by the UE. If establishmentCause-v1610 is included, E-UTRAN ignores establishmentCause-r15.

# SCGFailureInformation

The SCGFailureInformation message is used to provide information regarding E-UTRA SCG failures detected by the UE.

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

# SCGFailureInformation message

```
-- ASN1START
SCGFailureInformation-r12 ::=
                                  SEQUENCE {
                                 CHOICE {
   criticalExtensions
           ScgFailureInformation-r12 ScgFailureInformation-r12
        c1
                                             SCGFailureInformation-r12-IEs,
            spare3 NULL, spare2 NULL, spare1 NULL
       criticalExtensionsFuture
                                           SEQUENCE { }
}
SCGFailureInformation-r12-IEs ::= SEQUENCE {
   failureReportSCG-r12 FailureReportSCG-r12 nonCriticalExtension SCGFailureInformation_v12d0a_tt
                                                                      OPTIONAL.
    nonCriticalExtension
                                       SCGFailureInformation-v12d0a-IEs OPTIONAL
SCGFailureInformation-v12d0a-IEs ::= SEQUENCE {
   lateNonCriticalExtension OCTET STRING (CONTAINING SCGFailureInformation-v12d0b-IEs)
                      OPTIONAL,
   nonCriticalExtension
                                     SEQUENCE {}
                                                                           OPTIONAL
}
-- Late non-critical extensions:
SCGFailureInformation-v12d0b-IEs ::= SEQUENCE {
   failureReportSCG-v12d0 FailureReportSCG-v12d0
                                                                         OPTIONAL,
                                      SEQUENCE {}
   nonCriticalExtension
                                                                           OPTIONAL
-- Regular non-critical extensions:
FailureReportSCG-r12 ::= SEQUENCE {
                                  ENUMERATED {t313-Expiry, randomAccessProblem,
    failureType-r12
   rlc-MaxNumRetx, scg-ChangeFailure },
measResultServFreqList-r12 MeasResultServFreqList-r10 OPTIONAL,
measResultNeighCells-r12 MeasResultList2EUTRA-r9 OPTIONAL.
...,
    [[ failureType-v1290
                                     ENUMERATED {maxUL-TimingDiff-v1290} OPTIONAL
    ]],
    11
}
FailureReportSCG-v12d0 ::= SEQUENCE {
   measResultNeighCells-v12d0
                                                                          OPTIONAL
                                       MeasResultList2EUTRA-v9e0
-- ASN1STOP
```

# SCGFailureInformationNR

The SCGFailureInformationNR message is used to provide information regarding NR SCG failures detected by the UE.

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

# SCGFailureInformationNR message

```
-- ASN1START
SCGFailureInformationNR-r15 ::=
                                    SEQUENCE {
                                     CHOICE {
    criticalExtensions
                                            CHOICE {
        c1
            scgFailureInformationNR-r15
                                                SCGFailureInformationNR-r15-IEs,
            spare3 NULL, spare2 NULL, spare1 NULL
        },
        criticalExtensionsFuture
                                            SEOUENCE { }
}
SCGFailureInformationNR-r15-IEs ::= SEQUENCE {
    failureReportSCG-NR-r15 FailureReportSCG-NR-r15
                                                                             OPTIONAL.
    nonCriticalExtension
                                             SCGFailureInformationNR-v1590-IES OPTIONAL
SCGFailureInformationNR-v1590-IEs ::= SEQUENCE {
    lateNonCriticalExtension
                                                 OCTET STRING
                                                                                  OPTIONAL,
                                                                            OPTIONAL
    {\tt nonCriticalExtension}
                                                 SEQUENCE {}
FailureReportSCG-NR-r15 ::= SEQUENCE {
    failureType-r15
                                         ENUMERATED {
                                             t310-Expiry, randomAccessProblem,
                                             rlc-MaxNumRetx,
                                             synchReconfigFailureSCG, scg-reconfigFailure,
                                             srb3-IntegrityFailure, dummy},
    measResultFreqListNR-r15
                                             MeasResultFreqListFailNR-r15
                                                                                  OPTIONAL,
    measResultSCG-r15
                                             OCTET STRING
                                                                                  OPTIONAL,
        logMeasResultListBT-r16 LogMeasResultList LogMeasResultList LogMeasResultList failureType-v1610 LogMeasResultList ENUMERATED {+212}
    [[ locationInfo-r16
                                                                                  OPTIONAL,
                                         LogMeasResultListBT-r15
                                                                                  OPTIONAL,
                                         LogMeasResultListWLAN-r15
                                                                                  OPTIONAL,
                                        ENUMERATED {t312-Expiry, scg-lbtFailure,
                                             beamFailureRecoveryFailure, bh-RLF-r16,
                                             beamFailure-r17,
                                                     spare3, spare2, spare1} OPTIONAL
    ]]
MeasResultFreqListFailNR-r15 ::= SEQUENCE (SIZE (1..maxFreqNR-r15)) OF MeasResultFreqFailNR-r15
                                    SEQUENCE {
MeasResultFreqFailNR-r15 ::=
                                      ARFCN-ValueNR-r15,
    carrierFreq-r15
    measResultCellList-r15
                                         MeasResultCellListNR-r15
                                                                            OPTIONAL,
}
-- ASN1STOP
```

### SCGFailureInformationNR field descriptions

### failureType

Indicates the cause of the SCG failure. When the field *failureType-v1610* is included, the network ignores the field *failureType-r15*.

### measResultFreqListNR

The field contains available results of measurements on NR frequencies the UE is configured to measure by measConfig.

### measResultSCG

Includes the NR *MeasResultSCG-Failure* IE as specified in TS 38.331 [82]. The field contains available results of measurements on NR frequencies the UE is configured to measure by the NR RRCConfiguration message.

# SCPTMConfiguration

The SCPTMConfiguration message contains the control information applicable for MBMS services transmitted via SC-MRB.

Signalling radio bearer: N/A

RLC-SAP: UM

Logical channel: SC-MCCH
Direction: E-UTRAN to UE

# SCPTMConfiguration message

```
-- ASN1START
SCPTMConfiguration-r13 ::= SEQUENCE {
    sc-mtch-InfoList-r13 SC-MTCH-InfoList-r13, scptm-NeighbourCellList-r13 SCPTM-NeighbourCellList-r13
                                                                              OPTIONAL,
    scptm-Neighbource:::::
lateNonCriticalExtension OCTET STRING
SCPTMConfiguration-v1340
                                                                                               -- Need OP
                                                                                OPTIONAL,
    nonCriticalExtension
                                                                                OPTIONAL
SCPTMConfiguration-v1340 ::= SEQUENCE {
                                             INTEGER (0..3)
    p-b-r13
                                                                       OPTIONAL.
                                                                                     -- Need ON
    nonCriticalExtension
                                             SEQUENCE {}
                                                                        OPTIONAL
-- ASN1STOP
```

### SCPTMConfiguration field descriptions

### sc-mtch-InfoList

Provides the configuration of each SC-MTCH in the current cell.

# scptm-NeighbourCellList

List of neighbour cells providing MBMS services via SC-MRB. When absent, the UE shall assume that MBMS services listed in the *SCPTMConfiguration* message are not provided via SC-MRB in any neighbour cell.

p-b

Parameter:  $P_B$  for the PDSCH scrambled by G-RNTI, see TS 36.213 [23], Table 5.2-1.

# SCPTMConfiguration-BR

The *SCPTMConfiguration-BR* message contains the control information applicable for MBMS services transmitted via SC-MRB for BL UEs or UEs in CE.

Signalling radio bearer: N/A

RLC-SAP: UM

Logical channel: SC-MCCH
Direction: E-UTRAN to UE

# SCPTMConfiguration-BR message

```
-- ASN1START
SCPTMConfiguration-BR-r14 ::= SEQUENCE {
                                SC-MTCH-InfoList-BR-r14,
    sc-mtch-InfoList-r14
    scptm-NeighbourCellList-r14
                                  SCPTM-NeighbourCellList-r13
                                                                      OPTIONAL,
                                                                                   -- Need OP
                            INTEGER (0..3)
OCTET STRING
                                                                      OPTIONAL,
    p-b-r14
                                                                                   -- Need OR
    lateNonCriticalExtension
                                                                      OPTIONAL,
   nonCriticalExtension
                                  SCPTMConfiguration-BR-v1610
                                                                      OPTIONAL
}
SCPTMConfiguration-BR-v1610 ::= SEQUENCE {
    sc-MTCH-InfoList-MultiTB-r16
                                   SC-MTCH-InfoList-BR-r14,
                                   ENUMERATED {sf2, sf4, sf8, sf16, sf32, sf64, sf128, spare}
   multiTB-Gap-r16
```

```
OPTIONAL, -- Need OR
nonCriticalExtension SEQUENCE {}

OPTIONAL

OPTIONAL

OPTIONAL
```

## SCPTMConfiguration-BR field descriptions

#### p-b

Parameter:  $P_B$  for the PDSCH scrambled by G-RNTI, see TS 36.213 [23], Table 5.2-1.

#### multiTB-Gar

Indicates scheduling gaps in sub-frames for SC-MTCH using multi-TB scheduling. Value sf2 corresponds to 2 sub-frames, value sf4 corresponds to 4 sub-frames and so on. If the field is absent, there is no scheduling gap.

### sc-mtch-InfoList

Provides the configuration of each SC-MTCH not using multi-TB scheduling in the current cell for BL UEs or UEs in CE.

### sc-MTCH-InfoList-MultiTB

Provides the configuration of each SC-MTCH using multi-TB scheduling in the current cell for BL UEs or UEs in CE. When this field is included, the total number of SC-MTCH configurations in *sc-mtch-InfoList* and *sc-MTCH-InfoList-MultiTB* cannot be more than *maxSC-MTCH-BR-r14*.

### scptm-NeighbourCellList

List of neighbour cells providing MBMS services via SC-MRB. When absent, the BL UE or UE in CE shall assume that MBMS services listed in the *SCPTMConfiguration-BR* message are not provided via SC-MRB in any neighbour cell.

# SecurityModeCommand

The SecurityModeCommand message is used to command the activation of AS security.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to UE

### SecurityModeCommand message

```
-- ASN1START
SecurityModeCommand ::=
                                   SEQUENCE {
   rrc-TransactionIdentifier
criticalExtensions
                                  RRC-TransactionIdentifier,
   criticalExtensions
                                      CHOICE {
           securityModeCommand-r8 CHOICE {
       c1
                                              SecurityModeCommand-r8-IEs,
           spare3 NULL, spare2 NULL, spare1 NULL
       criticalExtensionsFuture
                                          SEQUENCE {}
}
SecurityModeCommand-r8-IEs ::=
                                 SEQUENCE {
   securityConfigSMC
                                      SecurityConfigSMC,
   nonCriticalExtension
                                      SecurityModeCommand-v8a0-IEs
SecurityModeCommand-v8a0-IEs ::= SEQUENCE {
   lateNonCriticalExtension
                                      OCTET STRING
                                                                          OPTIONAL,
   nonCriticalExtension
                                      SEQUENCE {}
                                                                          OPTIONAL
SecurityConfigSMC ::=
                                       SEQUENCE {
   securityAlgorithmConfig
                                          SecurityAlgorithmConfig,
-- ASN1STOP
```

# SecurityModeComplete

The SecurityModeComplete message is used to confirm the successful completion of a security mode command.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

# SecurityModeComplete message

```
-- ASN1START
  SecurityModeComplete ::=
      securityModeComplete-r8
      criticalExtensionsFuture
                                     SecurityModeComplete-r8-IEs,
                                     SEQUENCE {}
SecurityModeComplete-r8-IEs ::=
                              SEQUENCE {
                                 SecurityModeComplete-v8a0-IEs
                                                                 OPTIONAL
  nonCriticalExtension
SecurityModeComplete-v8a0-IEs ::= SEQUENCE {
   lateNonCriticalExtension OCTET STRING
nonCriticalExtension SEQUENCE {}
                                                                 OPTIONAL.
   nonCriticalExtension
                                  SEQUENCE {}
                                                                 OPTIONAL
-- ASN1STOP
```

# SecurityModeFailure

The SecurityModeFailure message is used to indicate an unsuccessful completion of a security mode command.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

# SecurityModeFailure message

```
-- ASN1START
    urityModeFailure ::= SEQUENCE {
    rrc-TransactionIdentifier RRC-TransactionIdentifier,
    criticalExtensions CHOICE {
        securityModeFailure-r8 SecurityModeFailure-r8
        criticalExtensionsFuture SEQUENCE {}
SecurityModeFailure ::=
                                                    SecurityModeFailure-r8-IEs,
}
SecurityModeFailure-r8-IEs ::=
                                               SEQUENCE {
                                                    SecurityModeFailure-v8a0-IEs
                                                                                                  OPTIONAL
    nonCriticalExtension
SecurityModeFailure-v8a0-IEs ::= SEQUENCE {
    lateNonCriticalExtension OCTET STRING
                                                                                                    OPTIONAL,
    nonCriticalExtension
                                                    SEQUENCE {}
                                                                                                    OPTIONAL
-- ASN1STOP
```

### SidelinkUEInformation

The SidelinkUEInformation message is used for the indication of sidelink information to the eNB.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

## SidelinkUEInformation message

```
-- ASN1START
{\tt SidelinkUEInformation-r12 ::= SEQUENCE } \{
     criticalExtensions CHOICE {
                 sidelinkUEInformation-r12 Side spare3 NULL -
           c1
                                                            SidelinkUEInformation-r12-IEs,
                 spare3 NULL, spare2 NULL, spare1 NULL
                                                              SEQUENCE {}
            criticalExtensionsFuture
     }
}
SidelinkUEInformation-r12-IEs ::= SEQUENCE {
    commRxInterestedFreq-r12 ARFCN-ValueEUTRA-r9 OPTIONAL, commTxResourceReq-r12 SL-CommTxResourceReq-r12 OPTIONAL, discRxInterest-r12 ENUMERATED {true} OPTIONAL, discTxResourceReq-r12 INTEGER (1..63) OPTIONAL, lateNonCriticalExtension OCTET STRING OPTIONAL, nonCriticalExtension SidelinkUEInformation-v1310-IES OPTIONAL
                                                         SidelinkUEInformation-v1310-IEs OPTIONAL
}
SidelinkUEInformation-v1310-IEs ::= SEQUENCE {
     commTxResourceReqUC-r13 SL-CommTxResourceReq-r12
commTxResourceInfoReqRelay-r13 SEQUENCE {
   commTxResourceReqRelay-r13 SL-CommTxResourceReq-r12
   commTxResourceReqRelayUC-r13 SL-CommTxResourceReq-r12
   ue-Type-r13 ENUMERATED {relayUE, remoteUE}
                                                                                                                    OPTIONAL,
                                                                                                                    OPTIONAL,
                                                                                                                     OPTIONAL,
                                                                                                                     OPTIONAL,
     discTxResourceReq-v1310 SEQUENCE {
    carrierFreqDiscTx-r13 INTEGE
           carrierFreqDiscTx-r13 INTEGER (1..maxFreq)
discTxResourceReqAddFreq-r13 SL-DiscTxResourceReqPerFreqList-r13
                                                                                                                     OPTIONAL,
                                                                                                                     OPTIONAL
     discTxResourceReqPS-r13 SL-DiscTxResourceReq-r13 discRxGapReq-r13 SL-GapRequest-r13 SL-GapRequest-r13 SL-GapRequest-r13
                                                                                                                     OPTIONAL,
                                                                                                                     OPTIONAL,
                                                                                                                     OPTIONAL,
     discTxGapReq-F13
discSysInfoReportFreqList-r13
SL-DiscSysInfoReportFreqList-r13
                                                                                                                     OPTIONAL,
     nonCriticalExtension SidelinkUEInformation-v1430-IEs
                                                                                                                     OPTIONAL
SidelinkUEInformation-v1430-IEs ::= SEQUENCE {
     v2x-CommTxType-r14 SL-V2X-CommTxFreqList-r14
p2x-CommTxType-r14 ENUMERATED {true}
v2x-CommTxResourceReq-r14 SL-V2X-CommTxFreqList-r14
nonCriticalExtension SidelinkUEInformation-v1530-IEs
                                                                                                                  OPTIONAL,
                                                                                                                     OPTIONAL,
                                                                                                                     OPTIONAL.
                                                                                                                    OPTIONAL
SidelinkUEInformation-v1530-IEs ::= SEQUENCE {
     reliabilityInfoListSL-r15 SL-ReliabilityList-r15
                                                                                                                     OPTIONAL,
     nonCriticalExtension
                                                         SEQUENCE {}
                                                                                                                     OPTIONAL
SL-CommTxResourceReq-r12 ::= SEQUENCE {
    carrierFreq-r12 ARFCN-\
    destinationInfoList-r12 SL-Des
                                                          ARFCN-ValueEUTRA-r9
                                                                                                                   OPTIONAL,
                                                          SL-DestinationInfoList-r12
SL-DiscTxResourceReqPerFreqList-r13 ::= SEQUENCE (SIZE (1..maxFreq)) OF SL-DiscTxResourceReq-r13
SL-DiscTxResourceReq-r13 ::= SEQUENCE {
  carrierFreqDiscTx-r13 INTEGER (1..maxFreq)
  discTxResourceReq-r13 INTEGER (1..63)
                                                                                                                    OPTIONAL,
```

```
SL-DestinationInfoList-r12 ::= SEQUENCE (SIZE (1..maxSL-Dest-r12)) OF SL-DestinationIdentity-r12
SL-DestinationIdentity-r12 ::= BIT STRING (SIZE (24))
SL-DiscSysInfoReportFreqList-r13 ::= SEQUENCE (SIZE (1.. maxSL-DiscSysInfoReportFreq-r13)) OF SL-
DiscSysInfoReport-r13
{\tt SL-V2X-CommFreqList-r14} ::= {\tt SEQUENCE} \ ({\tt SIZE} \ (1..maxFreqV2X-r14)) \ OF \ INTEGER \ (0..maxFreqV2X-l-r14)
SL-V2X-CommTxFreqList-r14 ::= SEQUENCE (SIZE (1..maxFreqV2X-r14)) OF SL-V2X-CommTxResourceReq-r14
SL-V2X-CommTxResourceReq-r14 ::= SEQUENCE {
                                         INTEGER (0.. maxFreqV2X-1-r14)
SL-TypeTxSync-r14
SL-DestinationInfoList-r12
                                                                                    OPTIONAL,
   carrierFreqCommTx-r14
    v2x-TypeTxSync-r14
                                                                                     OPTIONAL,
    v2x-DestinationInfoList-r14
                                                                                    OPTIONAL
}
-- ASN1STOP
```

### SidelinkUEInformation field descriptions

### carrierFreqCommTx

Indicates the index of the frequency on which the UE is interested to transmit V2X sidelink communication. The value 1 corresponds to the frequency of first entry in *v2x-InterFreqInfoList* broadcast in SIB21, the value 2 corresponds to the frequency of second entry in *v2x-InterFreqInfoList* broadcast in SIB21 and so on. If SIB26 is broadcast and the number of entries included in *v2x-InterFreqInfoList* of SIB21 is N, the value N+1 corresponds to the frequency of the first entry which is included in *v2x-InterFreqInfoList* broadcast in SIB26 and has a frequency not included in SIB21, the value N+2 corresponds to the frequency of the second entry which is included in *v2x-InterFreqInfoList* broadcast in SIB26 and has a frequency not included in SIB21, and so on. The value 0 corresponds the PCell's frequency.

## carrierFreqDiscTx

Indicates the frequency by the index of the entry in field discInterFreqList within SystemInformationBlockType19. Value 1 corresponds to the first entry in discInterFreqList within SystemInformationBlockType19, value 2 corresponds to the second entry in this list and so on.

## commRxInterestedFreq

Indicates the frequency on which the UE is interested to receive sidelink communication.

### commTxResourceReg

Indicates the frequency on which the UE is interested to transmit non-relay related sidelink communication as well as the one-to-many sidelink communication transmission destination(s) for which the UE requests E-UTRAN to assign dedicated resources. NOTE 1.

#### commTxResourceReaRelay

Indicates the relay related one-to-many sidelink communication transmission destination(s) for which the sidelink relay UE requests E-UTRAN to assign dedicated resources.

## commTxResourceReqRelayUC

Indicates the relay related one-to-one sidelink communication transmission destination(s) for which the sidelink relay UE or sidelink remote UE requests E-UTRAN to assign dedicated resources i.e. either contains the unicast destination identity of the sidelink relay UE or of the sidelink remote UE.

### commTxResourceReaUC

Indicates the frequency on which the UE is interested to transmit non-relay related one-to-one sidelink communication as well as the sidelink communication transmission destination(s) for which the UE requests E-UTRAN to assign dedicated resources. NOTE 1.

#### destinationInfoList

Indicates the destination(s) for relay or non-relay related one-to-one or one-to-many sidelink communication. For one-to-one sidelink communication the destination is identified by the ProSe UE ID for unicast communication, while for one-to-many the destination it is identified by the ProSe Layer-2 Group ID as specified in TS 23.303 [68].

### discRxInterest

Indicates that the UE is interested to monitor sidelink discovery announcements.

### discSysInfoReportFreqList

Indicates, for one or more frequencies, a list of sidelink discovery related parameters acquired from system Information of cells on configured inter-frequency carriers.

# discTxResourceReq

Indicates the number of separate discovery message(s) the UE wants to transmit every discovery period. This field concerns the resources the UE requires every discovery period for transmitting sidelink discovery announcement(s).

### discTxResourceRegAddFreq

Indicates, for any frequencies in addition to the one covered by *discTxResourceReq*, the number of separate discovery message(s) the UE wants to transmit every discovery period. This field concerns the resources the UE requires every discovery period for transmitting sidelink discovery announcement(s).

### discTxResourceReqPS

Indicates the number of separate PS related discovery message(s) the UE wants to transmit every discovery period. This field concerns the resources the UE requires every discovery period for transmitting PS related sidelink discovery announcement(s).

# p2x-CommTxType

Indicates that the requested transmission resource pool is for P2X related V2X sidelink communication.

### reliabilityInfoListSL

Indicates the reliability(ies) (i.e., PPPRs as specified in TS 36.300 [9]), associated with the reported traffic to be transmitted for V2X sidelink communication.

# v2x-CommRxInterestedFreqList

Indicates the index(es) of the frequency(ies) on which the UE is interested to receive V2X sidelink communication. The value 1 corresponds to the frequency of first entry in *v2x-InterFreqInfoList* broadcast in SIB21, the value 2 corresponds to the frequency of second entry in *v2x-InterFreqInfoList* broadcast in SIB21 and so on. If SIB26 is broadcast and the number of entries included in *v2x-InterFreqInfoList* of SIB21 is N, the value N+1 corresponds to the frequency of the first entry which is included in *v2x-InterFreqInfoList* broadcast in SIB26 and has a frequency not included in SIB21, the value N+2 corresponds to the frequency of the second entry which is included in *v2x-InterFreqInfoList* broadcast in SIB26 and has a frequency not included in SIB21, and so on. The value 0 corresponds the PCell's frequency.

### v2x-DestinationInfoList

Indicates the destination(s) for V2X sidelink communication.

### v2x-TypeTxSync

Indicates the synchronization reference used by the UE.

NOTE 1: When configuring *commTxResourceReq*, *commTxResourceReqUC*, *commTxResourceReqRelay* and *commTxResourceReqRelayUC*, E-UTRAN configures at most *maxSL-Dest-r12* destinations in total (i.e. as included in the four fields together).

# SystemInformation

The *SystemInformation* message is used to convey one or more System Information Blocks or Positioning System Information Blocks. All the SIBs or posSIBs included are transmitted with the same periodicity. *SystemInformation-BR* and *SystemInformation-MBMS* use the same structure as *SystemInformation*.

Signalling radio bearer: N/A

**RLC-SAP: TM** 

Logical channels: BCCH and BR-BCCH

Direction: E-UTRAN to UE

# SystemInformation message

```
-- ASN1START
SystemInformation-BR-r13 ::=
                                SystemInformation
SystemInformation-MBMS-r14 ::= SystemInformation
SystemInformation ::=
                                    SEOUENCE {
                               CHOICE {
    criticalExtensions
                                          SystemInformation-r8-IEs,
        systemInformation-r8
        criticalExtensionsFuture-r15
posSystemInformation-r15
                                            CHOICE {
                                                PosSystemInformation-r15-IEs,
                                                 SEQUENCE {}
            criticalExtensionsFuture
SystemInformation-r8-IEs ::=
                                     SEQUENCE {
    sib-TypeAndInfo
                                         SEQUENCE (SIZE (1..maxSIB)) OF CHOICE {
        sib2
                                             SystemInformationBlockType2,
                                             SystemInformationBlockType3,
        sib3
        sib4
                                             SystemInformationBlockType4,
        sib5
                                             SystemInformationBlockType5,
        sib6
                                             SystemInformationBlockType6,
        sib7
                                             SystemInformationBlockType7,
        sib8
                                             SystemInformationBlockType8,
        sib9
                                             SystemInformationBlockType9
        sib10
                                             SystemInformationBlockType10,
        sib11
                                             SystemInformationBlockType11,
        sib12-v920
                                             SystemInformationBlockType12-r9,
        sib13-v920
                                             SystemInformationBlockType13-r9,
        sib14-v1130
                                             SystemInformationBlockType14-r11,
        sib15-v1130
                                             SystemInformationBlockType15-r11,
        sib16-v1130
                                             SystemInformationBlockType16-r11,
        sib17-v1250
                                             SystemInformationBlockType17-r12,
        sib18-v1250
                                             SystemInformationBlockType18-r12,
        sib19-v1250
                                             SystemInformationBlockType19-r12,
        sib20-v1310
                                             SystemInformationBlockType20-r13,
        sib21-v1430
                                             SystemInformationBlockType21-r14,
        sib24-v1530
                                             SystemInformationBlockType24-r15,
                                             SystemInformationBlockType25-r15,
        sib25-v1530
        sib26-v1530
                                             SystemInformationBlockType26-r15,
        sib26a-v1610
                                                 SystemInformationBlockType26a-r16,
        sib27-v1610
                                             SystemInformationBlockType27-r16,
        sib28-v1610
                                             SystemInformationBlockType28-r16,
        sib29-v1610
                                             SystemInformationBlockType29-r16,
        sib30-v1700
                                             SystemInformationBlockType30-r17,
        sib31-v1700
                                             SystemInformationBlockType31-r17,
        sib32-v1700
                                             SystemInformationBlockType32-r17
```

```
nonCriticalExtension
                                       SystemInformation-v8a0-IEs
                                                                      OPTIONAL
SystemInformation-v8a0-IEs ::= SEQUENCE {
   lateNonCriticalExtension
                                  OCTET STRING
                                                                      OPTIONAL,
   nonCriticalExtension
                                  SEQUENCE {}
                                                                      OPTIONAL
PosSystemInformation-r15-IEs ::= SEQUENCE {
   posSib1-1-r15
                                      SystemInformationBlockPos-r15,
       posSib1-2-r15
                                       SystemInformationBlockPos-r15,
       posSib1-3-r15
                                       SystemInformationBlockPos-r15,
       posSib1-4-r15
                                       SystemInformationBlockPos-r15,
       posSib1-5-r15
                                       SystemInformationBlockPos-r15,
       posSib1-6-r15
                                       SystemInformationBlockPos-r15,
       posSib1-7-r15
                                      SystemInformationBlockPos-r15,
       posSib2-1-r15
                                       SystemInformationBlockPos-r15,
       posSib2-2-r15
                                      SystemInformationBlockPos-r15,
       posSib2-3-r15
                                       SystemInformationBlockPos-r15,
       posSib2-4-r15
                                       SystemInformationBlockPos-r15,
       posSib2-5-r15
                                       SystemInformationBlockPos-r15,
       posSib2-6-r15
                                       SystemInformationBlockPos-r15,
       posSib2-7-r15
                                      SystemInformationBlockPos-r15,
       posSib2-8-r15
                                       SystemInformationBlockPos-r15,
       posSib2-9-r15
                                       SystemInformationBlockPos-r15,
       posSib2-10-r15
                                       SystemInformationBlockPos-r15,
       posSib2-11-r15
                                       SystemInformationBlockPos-r15,
       posSib2-12-r15
                                       SystemInformationBlockPos-r15,
       posSib2-13-r15
                                       SystemInformationBlockPos-r15,
       posSib2-14-r15
                                       SystemInformationBlockPos-r15,
       posSib2-15-r15
                                       SystemInformationBlockPos-r15,
       posSib2-16-r15
                                       SystemInformationBlockPos-r15,
       posSib2-17-r15
                                       SystemInformationBlockPos-r15,
       posSib2-18-r15
                                       SystemInformationBlockPos-r15,
       posSib2-19-r15
                                       SystemInformationBlockPos-r15,
       posSib3-1-r15
                                       SystemInformationBlockPos-r15,
       ] ]
       posSib1-8-v1610
                                      SystemInformationBlockPos-r15,
       posSib2-20-v1610
                                       SystemInformationBlockPos-r15,
                                       SystemInformationBlockPos-r15,
       posSib2-21-v1610
       posSib2-22-v1610
                                      SystemInformationBlockPos-r15,
       posSib2-23-v1610
                                      SystemInformationBlockPos-r15,
       posSib2-24-v1610
                                           SystemInformationBlockPos-r15,
       posSib2-25-v1610
                                           SystemInformationBlockPos-r15,
       posSib4-1-v1610
                                      SystemInformationBlockPos-r15,
       posSib5-1-v1610
                                       SystemInformationBlockPos-r15
       [[
       posSib1-9-v1700
                                      SystemInformationBlockPos-r15,
       posSib1-10-v1700
                                          SystemInformationBlockPos-r15
   lateNonCriticalExtension
                                   OCTET STRING
                                                                          OPTIONAL,
                                   SEQUENCE {}
   nonCriticalExtension
                                                                          OPTIONAL
-- ASN1STOP
```

# SystemInformationBlockType1

SystemInformationBlockType1 contains information relevant when evaluating if a UE is allowed to access a cell and defines the scheduling of other system information. SystemInformationBlockType1-BR uses the same structure as SystemInformationBlockType1.

Signalling radio bearer: N/A

RLC-SAP: TM

Logical channels: BCCH and BR-BCCH

Direction: E-UTRAN to UE

# SystemInformationBlockType1 message

```
-- ASN1START
SystemInformationBlockType1-BR-r13 ::= SystemInformationBlockType1
SystemInformationBlockType1 ::= SEQUENCE {
    cellAccessRelatedInfo
plmn-IdentityList
trackingAreaCode
                                           SEQUENCE {
                                               PLMN-IdentityList,
                                                TrackingAreaCode,
                                                CellIdentity,
        cellIdentity
                                               ENUMERATED {barred, notBarred},
        cellBarred
        intraFreqReselection csg-Indication
                                               ENUMERATED {allowed, notAllowed},
        csg-Indication
                                                BOOLEAN,
        csg-Identity
                                                CSG-Identity
                                                                          OPTIONAL
                                                                                        -- Need OR
    },
    cellSelectionInfo SEQUENCE {
                                           Q-RxLevMin,
        a-RxLevMin
        q-RxLevMinOffset
                                                INTEGER (1..8)
                                                                        OPTIONAL
                                                                                        -- Need OP
    p-Max
    p-Max
freqBandIndicator
schedulingInfoList
                                           P-Max
                                                                          OPTIONAL,
                                                                                                -- Need OP
                                           FreqBandIndicator,
                                         SchedulingInfoList,
                                           TDD-Config
                                                                          OPTIONAL.
                                                                                        -- Cond TDD
    si-WindowLength
                                           ENUMERATED {
                                               ms1, ms2, ms5, ms10, ms15, ms20,
                                                ms40},
                                         ms40},
INTEGER (0..31),
    systemInfoValueTag
    nonCriticalExtension
                                           SystemInformationBlockType1-v890-IEs OPTIONAL
SystemInformationBlockType1-v890-IEs::= SEQUENCE {
  lateNonCriticalExtension OCTET STRING (CONTAINING SystemInformationBlockType1-v8h0-
TES)
                OPTIONAL,
    nonCriticalExtension
                                           SystemInformationBlockType1-v920-IEs
-- Late non critical extensions
SystemInformationBlockType1-v8h0-IEs ::= SEQUENCE {
                                           MultiBandInfoList OPTIONAL, -- Need OR
    multiBandInfoList
    nonCriticalExtension
                                           SystemInformationBlockType1-v9e0-IEs OPTIONAL
{\tt SystemInformationBlockType1-v9e0-IEs} \ ::= \ {\tt SEQUENCE} \ \{
    freqBandIndicator-v9e0 FreqBandIndicator-v9e0 OPTIONAL, -- Cond FBI-max multiBandInfoList-v9e0 MultiBandInfoList-v9e0 OPTIONAL, -- Cond mFBI-max
    nonCriticalExtension
                                           SystemInformationBlockType1-v10j0-IEs OPTIONAL
SystemInformationBlockType1-v10j0-IEs ::= SEQUENCE {
    freqBandInfo-r10 NS-PmaxList-r10 OPTIONAL, -- Need OR multiBandInfoList-v10j0 MultiBandInfoList-v10j0 OPTIONAL, -- Need OR nonCriticalExtension SystemInformationBlockType1-v1010-IEs
}
SystemInformationBlockType1-v1010-IEs ::= SEQUENCE {
    freqBandInfo-v1010 NS-PmaxList-v1010 OPTIONAL, -- Need OR multiBandInfoList-v1010 MultiBandInfoList-v1010 OPTIONAL, -- Need OR nonCriticalExtension SystemInformationBlockTypel-v10x0-IES OPTIONAL
                                         SystemInformationBlockType1-v10x0-IEs OPTIONAL
{\tt SystemInformationBlockType1-v10x0-IEs} ::= {\tt SEQUENCE} \ \{
    -- This field is only for late non-critical extensions from Rel-10 or Rel-11 onwards
    lateNonCriticalExtension OCTET STRING
                                                                                            OPTIONAL,
                                           SystemInformationBlockType1-v12j0-IEs
    nonCriticalExtension
                                                                                            OPTIONAL
SystemInformationBlockType1-v12j0-IEs ::= SEQUENCE {
```

```
schedulingInfoList-v12j0 SchedulingInfoList-v12j0 OPTIONAL, -- Need OR schedulingInfoListExt-r12 SchedulingInfoListExt-r12 OPTIONAL, -- Need OR nonCriticalExtension SystemInformationBlockTypel-v15g0-IEs OPTIONAL
    nonCriticalExtension
}
SystemInformationBlockType1-v15g0-IEs ::= SEQUENCE {
    bandwidthReducedAccessRelatedInfo-v15g0 SEQUENCE {
        posSchedulingInfoList-BR-r15
                                          SchedulingInfoList-BR-r13
                                                                            OPTIONAL, -- Need OR
    nonCriticalExtension
                                                      SEQUENCE {} OPTIONAL
}
-- Regular non critical extensions
SystemInformationBlockType1-v920-IEs ::= SEQUENCE {
    ims-EmergencySupport-r9 ENUMERATED {true}
cellSelectionInfo-v920 CellSelectionInfo-
                                            ENUMERATED {true} OPTIONAL, CellSelectionInfo-v920 OPTIONAL,
                                                                                          -- Need OR
                                                                                          -- Cond RSRQ
                                            SystemInformationBlockType1-v1130-IEs OPTIONAL
    nonCriticalExtension
SystemInformationBlockType1-v1130-IEs ::= SEQUENCE {
    tdd-Config-v1130 TDD-Config-v1130 OPTIONAL, -- Cond TDD-OR cellSelectionInfo-v1130 CellSelectionInfo-v1130 OPTIONAL, -- Cond WB-RSRQ nonCriticalExtension SystemInformationBlockType1-v1250-IEs OPTIONAL
    tdd-Config-v1130
}
SystemInformationBlockType1-v1250-IEs ::= SEQUENCE {
   cellAccessRelatedInfo-v1250
                                                 SEQUENCE {
        category0Allowed-r12
                                                          ENUMERATED {true} OPTIONAL
    cellSelectionInfo-v1250 CellSelectionInfo-v1250 OPTIONAL, -- Cond RSRQ2 freqBandIndicatorPriority-r12 ENUMERATED {true} OPTIONAL, -- Cond mFBI nonCriticalExtension SystemInformationBlockType1-v1310-IES OPTIONAL
    nonCriticalExtension
}
SystemInformationBlockType1-v1310-IEs ::= SEQUENCE {
                                                  BIT STRING (SIZE (10)) OPTIONAL, -- Need OR ENUMERATED {true} OPTIONAL, -- Need OR
    hyperSFN-r13
    eDRX-Allowed-r13
                                                 CellSelectionInfoCE-r13 OPTIONAL, -- Need OP
    cellSelectionInfoCE-r13
    bandwidthReducedAccessRelatedInfo-r13 SEQUENCE {
        si-WindowLength-BR-r13
                                                     ENUMERATED {
                                                         ms20, ms40, ms60, ms80, ms120,
                                                          ms160, ms200, spare},
                                                      ENUMERATED {everyRF, every2ndRF, every4thRF,
        si-RepetitionPattern-r13
                                                                   every8thRF},
        schedulingInfoList-BR-r13
                                                     SchedulingInfoList-BR-r13 OPTIONAL, -- Cond SI-
BR
         {\tt fdd-DownlinkOrTddSubframeBitmapBR-r13} \quad {\tt CHOICE} \ \{
                                                     BIT STRING (SIZE (10)),
             subframePattern10-r13
             subframePattern40-r13
                                                          BIT STRING (SIZE (40))
                                                                                     OPTIONAL,
         fdd-UplinkSubframeBitmapBR-r13
                                                     BIT STRING (SIZE (10))
                                                                                     OPTIONAL,
                                                                                                  -- Need OP
        si-HoppingConfigCommon-r13
         startSymbolBR-r13
                                                      INTEGER (1..4),
                                                      ENUMERATED {on,off},
                                                     ENUMERATED {true} OPTIONAL,
         si-ValidityTime-r13
                                                                                                   -- Need OP
        systemInfoValueTagList-r13
                                                     SystemInfoValueTagList-r13 OPTIONAL -- Need OR
                                                                            OPTIONAL, -- Cond BW-reduced
    {\tt nonCriticalExtension}
                                                     SystemInformationBlockType1-v1320-IEs OPTIONAL
}
SystemInformationBlockType1-v1320-IEs ::= SEQUENCE {
        mpdcch-pdsch-HoppingNB-r13
interval-DIM-
    freqHoppingParametersDL-r13
                                                     ENUMERATED {nb2, nb4}
                                                                                    OPTIONAL,
                                                                                                   -- Need OR
         interval-DLHoppingConfigCommonModeA-r13 CHOICE {
                                                     ENUMERATED {int1, int2, int4, int8},
             interval-FDD-r13
                                                     ENUMERATED {int1, int5, int10, int20}
             interval-TDD-r13
                                                                                     OPTIONAL,
                                                                                                   -- Need OR
         interval-DLHoppingConfigCommonModeB-r13 CHOICE {
            interval-FDD-r13
                                                   ENUMERATED {int2, int4, int8, int16},
                                                     ENUMERATED { int5, int10, int20, int40}
             interval-TDD-r13
                                                                                     OPTIONAL,
                                                                                                   -- Need OR
         mpdcch-pdsch-HoppingOffset-r13 INTEGER (1..maxAvailNarrowBands-r13)
Need OR
                                                                            OPTIONAL,
                                                                                          -- Cond Hopping
    nonCriticalExtension
                                                     SystemInformationBlockType1-v1350-IEs
    OPTIONAL
{\tt SystemInformationBlockType1-v1350-IEs} \ ::= \ {\tt SEQUENCE} \ \{
```

```
CellSelectionInfoCE1-r13 OPTIONAL,
   cellSelectionInfoCE1-r13
                                                                                 -- Need OP
   nonCriticalExtension
                                          SystemInformationBlockType1-v1360-IEs
   OPTIONAL
}
SystemInformationBlockType1-v1360-IEs ::= SEQUENCE {
   cellSelectionInfoCE1-v1360
                                          CellSelectionInfoCE1-v1360 OPTIONAL, -- Cond
OrxlevminCE1
   nonCriticalExtension
                                              SystemInformationBlockType1-v1430-IEs
                                                                                        OPTIONAL
SystemInformationBlockType1-v1430-IEs ::= SEQUENCE {
                             ENUMERATED (0-1),
TDD-Config-v1430
                                          ENUMERATED {true}
                                                                    OPTIONAL,
   eCallOverIMS-Support-r14
                                                                                 -- Need OR
   tdd-Config-v1430
                                                                    OPTIONAL,
                                                                                 -- Cond TDD-OR
   cellAccessRelatedInfoList-r14
                                          SEQUENCE (SIZE (1..maxPLMN-1-r14)) OF
                                          CellAccessRelatedInfo-r14 OPTIONAL,
                                                                                 -- Need OR
   nonCriticalExtension
                                          SystemInformationBlockType1-v1450-IEs
   OPTIONAL
}
SystemInformationBlockType1-v1450-IEs ::= SEQUENCE {
                                          TDD-Config-v1450 OPTIONAL, -- Cond TDD-OR
   tdd-Config-v1450
   nonCriticalExtension
                                          SystemInformationBlockType1-v1530-IEs
   OPTIONAL
}
SystemInformationBlockType1-v1530-IEs ::= SEQUENCE {
   hsdn-Cell-r15 ENUMERATED {true} OPTIONAL, -- Need OR cellselectionInfoCE-v1530 CellselectionInfoCE-v1530 OPTIONAL, -- Need OP
   hsdn-Cell-r15
   crs-IntfMitigConfig-r15
                                    CHOICE {
       crs-IntfMitigEnabled
                                              NULL.
       crs-IntfMitigNumPRBs
                                    ENUMERATED {n6, n24}
                   -- Need OR
       OPTIONAL,
   cellBarred-CRS-r15 ENUMERATED {barred, notBarred},
plmn-IdentityList-v1530 PLMN-IdentityList-v1530 OPTIONAL, -- Need OR
posSchedulingInfoList-r15 PosSchedulingInfoList-r15 OPTIONAL, -- Need OR
cellAccessRelatedInfo-5GC-r15 SEQUENCE {
   cellBarred-CRS-r15
                                     ENUMERATED {barred, notBarred},
       CellAccessRelatedInfo-5GC-r15
                             -- Need OP
                  OPTIONAL.
   ims-EmergencySupport5GC-r15 ENUMERATED {true} OPTIONAL, -- Need OR eCallOverIMS-Support5GC-r15 ENUMERATED {true} OPTIONAL, -- Need OR
                                     ENUMERATED {true} OPTIONAL, -- Need OR SystemInformationBlockTypel-v1540-IES OPTIONAL
   nonCriticalExtension
}
SystemInformationBlockType1-v1540-IEs ::= SEQUENCE {
                                                  ENUMERATED {true} OPTIONAL, -- Need ON
   si-posOffset-r15
                                                 SystemInformationBlockType1-v1610-IEs OPTIONAL
   nonCriticalExtension
SystemInformationBlockType1-v1610-IEs ::= SEQUENCE {
   SystemInformationBlockType1-v1700-IES OPTIONAL
   nonCriticalExtension
SystemInformationBlockType1-v1700-IEs ::= SEQUENCE {
   cellaccessRelatedInfo-NTN-r17 SEQUENCE {
                                              ENUMERATED {barred, notBarred},
       cellBarred-NTN-r17
       cellBarred-NTN-r17
plmn-IdentityList-v1700
                                             PLMN-IdentityList-v1700 OPTIONAL -- Need OR
   } OPTIONAL, -- Need OR
   nonCriticalExtension
                                          SEQUENCE {}
                                                             OPTIONAL
}
PLMN-IdentityList ::=
                                     SEQUENCE (SIZE (1..maxPLMN-r11)) OF PLMN-IdentityInfo
PLMN-IdentityInfo ::=
                                      SEOUENCE {
   plmn-Identity
                                          PLMN-Identity,
   cellReservedForOperatorUse
                                          ENUMERATED {reserved, notReserved}
PLMN-IdentityList-v1530 ::=
                                     SEQUENCE (SIZE (1..maxPLMN-r11)) OF PLMN-IdentityInfo-v1530
                           SEQUENCE {
PLMN-IdentityInfo-v1530 ::=
```

```
cellReservedForOperatorUse-CRS-r15 ENUMERATED {reserved, notReserved}
PLMN-IdentityList-r15::=
                                    SEQUENCE (SIZE (1..maxPLMN-r11)) OF PLMN-IdentityInfo-r15
PLMN-IdentityList-v1610::= SEQUENCE (SIZE (1..maxPLMN-r11)) OF PLMN-IdentityInfo-v1610
PLMN-IdentityList-v1700::= SEQUENCE (SIZE (1..maxPLMN-r11)) OF PLMN-IdentityInfo-v1700
PLMN-IdentityInfo-r15 ::=
                                    SEQUENCE {
   plmn-Identity-5GC-r15
                                       CHOICE {
       plmn-Identity-r15
                                            PLMN-Identity,
        plmn-Index-r15
                                             INTEGER (1..maxPLMN-r11)
    cellReservedForOperatorUse-r15
                                            ENUMERATED {reserved, notReserved},
                                           ENUMERATED \{reserved, notReserved\}
    cellReservedForOperatorUse-CRS-r15
}
PLMN-IdentityInfo-v1610 ::= SEQUENCE {
   cp-CloT-5GS-Optimisation-rl6 ENUMERATED {true} OPTIONAL, -- Need OR up-CloT-5GS-Optimisation-rl6 ENUMERATED {true} OPTIONAL, -- Need OR iab-Support-rl6 ENUMERATED {true} OPTIONAL -- Need OR
PLMN-IdentityInfo-v1700 ::= SEQUENCE {
                                    TrackingAreaList-r17
                                                                    OPTIONAL -- Need OP
   trackingAreaList-r17
SchedulingInfoList ::= SEQUENCE (SIZE (1..maxSI-Message)) OF SchedulingInfo
SchedulingInfoList-v12j0 ::=
                                SEQUENCE (SIZE (1..maxSI-Message)) OF SchedulingInfo-v12j0
SchedulingInfoListExt-r12::=
                               SEQUENCE (SIZE (1..maxSI-Message)) OF SchedulingInfoExt-r12
SchedulingInfo ::= SEQUENCE {
    si-Periodicity
                                SI-Periodicity-r12,
                             SIB-MappingInfo
   sib-MappingInfo
SchedulingInfo-v12j0 ::= SEQUENCE {
   sib-MappingInfo-v12j0
                             SIB-MappingInfo-v12j0
                                                                    OPTIONAL
                                                                                  -- Need OR
SchedulingInfoExt-r12 ::= SEQUENCE {
                            SI-Periodicity-r12,
   si-Periodicity-r12
    sib-MappingInfo-r12
                                SIB-MappingInfo-v12j0
SchedulingInfoList-BR-r13 ::= SEQUENCE (SIZE (1..maxSI-Message)) OF SchedulingInfo-BR-r13
SchedulingInfo-BR-r13 ::= SEQUENCE {
    si-Narrowband-r13
                            INTEGER (1..maxAvailNarrowBands-r13),
    si-TBS-r13
                            ENUMERATED {b152, b208, b256, b328, b408, b504, b600, b712, b808, b936}
\verb|SIB-MappingInfo| ::= \verb|SEQUENCE| (SIZE (0..maxSIB-1)) | OF SIB-Type| \\
SIB-MappingInfo-v12j0 ::= SEQUENCE (SIZE (1..maxSIB-1)) OF SIB-Type-v12j0
-- Note: The IE SIB-Type (without suffix) will not be extended any further in this release of the
specification. If needed, the IE SIB-Type-v12j0 will be used for new SIB(s).
SIB-Type ::=
                                     ENUMERATED {
                                         sibType3, sibType4, sibType5, sibType6,
                                         sibType7, sibType8, sibType9, sibType10
                                         sibType11, sibType12-v920, sibType13-v920,
                                         sibType14-v1130, sibType15-v1130,
                                         sibType16-v1130, sibType17-v1250, sibType18-v1250,
                                         ..., sibType19-v1250, sibType20-v1310, sibType21-v1430,
                                         sibType24-v1530, sibType25-v1530, sibType26-v1530,
                                         sibType26a-v1610, sibType27-v1610, sibType28-v1610,
                                         sibType29-v1610
SIB-Type-v12j0 ::=
                            ENUMERATED {
                                sibType19-v1250, sibType20-v1310, sibType21-v1430,
                                 sibType24-v1530, sibType25-v1530, sibType26-v1530,
                                 sibType26a-v1610, sibType27-v1610, sibType28-v1610,
```

```
sibType29-v1610, sibType30-v1700, sibType31-v1700, sibType32-v1700,
                                 spare3, spare2, spare1, ...}
SI-Periodicity-r12 ::=
                           ENUMERATED {rf8, rf16, rf32, rf64, rf128, rf256, rf512}
SystemInfoValueTagList-r13 ::=
                                    SEQUENCE (SIZE (1..maxSI-Message)) OF SystemInfoValueTagSI-r13
SystemInfoValueTagSI-r13 ::=
                                    INTEGER (0..3)
CellSelectionInfo-v920 ::=
                                     SEQUENCE {
   q-QualMin-r9
                                       Q-QualMin-r9,
   q-QualMinOffset-r9
                                         INTEGER (1..8)
                                                                             OPTIONAL
                                                                                           -- Need OP
CellSelectionInfo-v1130 ::=
                                   SEQUENCE {
                                       Q-QualMin-r9
   q-QualMinWB-r11
CellSelectionInfo-v1250 ::=
                                    SEQUENCE {
    q-QualMinRSRQ-OnAllSymbols-r12 Q-QualMin-r9
CellAccessRelatedInfo-r14 ::= SEQUENCE {
                               PLMN-IdentityList,
   plmn-IdentityList-r14
    trackingAreaCode-r14
                                         TrackingAreaCode,
    cellIdentity-r14
                                         CellIdentity
{\tt CellAccessRelatedInfo-5GC-r15} \ ::= \ \ {\tt SEQUENCE} \ \big\{
   plmn-IdentityList-r15 PLMN-IdentityList-r15,
ran-AreaCode-r15 RAN-AreaCode-r15 OPTIONAL, -- Need OR
trackingAreaCode-5GC-r15 TrackingAreaCode-5GC-r15,
cellIdentity-5GC-r15 CellIdentity-5GC-r15
    cellIdentity-5GC-r15
                                        CellIdentity-5GC-r15
}
CellIdentity-5GC-r15 ::= CHOICE{
   cellIdentity-r15 CellIdentity,
    cellId-Index-r15
                      INTEGER (1..maxPLMN-r11)
TrackingAreaList-r17 ::= SEQUENCE (SIZE (1..maxTAC-r17)) OF TrackingAreaCode
PosSchedulingInfoList-r15 ::= SEQUENCE (SIZE (1..maxSI-Message)) OF PosSchedulingInfo-r15
PosSchedulingInfo-r15 ::= SEQUENCE {
   posSI-Periodicity-r15
                                ENUMERATED {rf8, rf16, rf32, rf64, rf128, rf256, rf512},
    posSIB-MappingInfo-r15
                                PosSIB-MappingInfo-r15
PosSIB-MappingInfo-r15 ::= SEQUENCE (SIZE (1..maxSIB)) OF PosSIB-Type-r15
PosSIB-Type-r15 ::= SEQUENCE {
    encrypted-r15 ENUMERATED { true }
                                                          OPTIONAL,
                                                                         -- Need OP
                                                          OPTIONAL,
    gnss-id-r15
sbas-id-r15
                                                                          -- Need OP
                        GNSS-ID-r15
                                                                         -- Need OP
                        SBAS-ID-r15
                                                          OPTIONAL,
    posSibType-r15
                                       posSibType1-1,
                      ENUMERATED {
                                         posSibType1-2,
                                         posSibType1-3,
                                         posSibType1-4,
                                         posSibType1-5,
                                         posSibType1-6,
                                         posSibType1-7,
                                         posSibType2-1,
                                         posSibType2-2,
                                         posSibType2-3,
                                         posSibType2-4,
                                         posSibType2-5,
                                         posSibType2-6,
                                         posSibType2-7,
                                         posSibType2-8,
                                         posSibType2-9,
                                         posSibType2-10,
                                         posSibType2-11,
                                         posSibType2-12,
                                         posSibType2-13,
                                         posSibType2-14,
                                         posSibType2-15,
                                         posSibType2-16,
```

```
posSibType2-17,
posSibType2-18,
posSibType2-19,
posSibType3-1,
...,
posSibType1-8-v1610,
posSibType2-20-v1610,
posSibType2-21-v1610,
posSibType2-23-v1610,
posSibType2-23-v1610,
posSibType2-24-v1610,
posSibType2-25-v1610,
posSibType2-25-v1610,
posSibType2-5-v1610,
posSibType3-1-v1610,
posSibType4-1-v1610,
posSibType4-1-v1610,
posSibType1-9-v1700,
},
...
}
```

### bandwithReducedAccessRelatedInfo

Access related information for BL UEs and UEs in CE. NOTE 3.

# campingAllowedInCE

Indicates whether non-BL UE is allowed to camp in the non-standalone BL cell in enhanced coverage mode when S-criterion for normal coverage is fulfilled. The field is not applicable for standalone BL cell.

### category0Allowed

The presence of this field indicates category 0 UEs are allowed to access the cell.

### cellAccessRelatedInfoList

This field contains a list allowing signalling of access related information per PLMN. One PLMN can be included in only one entry of this list. NOTE 4.

### cellAccessRelatedInfoList-5GC

This field contains a PLMN list and a list allowing signalling of access related information per PLMN for PLMNs that provides connectivity to 5GC. One PLMN can be included in only one entry of this list. NOTE4

## cellBarred, cellBarred-CRS

barred means the cell is barred, as defined in TS 36.304 [4].

# cellBarred-5GC, cellBarred-5GC-CRS

barred means the cell is barred for connectivity to 5GC, as defined in TS 36.304 [4].

#### cellBarred-NTN

barred means the cell is barred for connectivity to NTN, as defined in TS 36.304 [4].

E-UTRAN always includes cellBarred-NTN and sets cellBarred to 'barred' in an NTN cell.

### cellIdentity

Indicates the cell identity. NOTE 2.

#### cellid-index

The index of the cell ID in the PLMN lists for EPC, indicates UE the corresponding cell ID is used for 5GC. Value 1 indicates the cell ID of the 1st PLMN list for EPC in the SIB1. Value 2 indicates the cell ID of the 2nd PLMN list for EPC, and so on.

## cellReservedForOperatorUse, cellReservedForOperatorUse-CRS

As defined in TS 36.304 [4].

#### cellSelectionInfoCE

Cell selection information for BL UEs and UEs in CE. If absent, coverage enhancement S criteria is not applicable. NOTE 3.

# cellSelectionInfoCE1

Cell selection information for BL UEs and UEs in CE supporting CE Mode B. E-UTRAN includes this IE only if cellSelectionInfoCE is present in SystemInformationBlockType1-BR. NOTE 3.

# cp-CloT-5GS-Optimisation

Indicates whether the UE is allowed to establish the connection with Control plane CIoT 5GS optimisation, see TS 24.501 [95].

# crs-IntfMitigConfig

crs-IntfMitigEnabled indicates CRS interference mitigation is enabled for the cell, as specified in TS 36.133 [16], clause 3.6.1.1. For BL UEs supporting ce-CRS-IntfMitig, presence of crs-IntfMitigNumPRBs indicates CRS interference mitigation is enabled in the cell, as specified in TS 36.133 [16], clauses 3.6.1.2 and 3.6.1.3, and the value of crs-IntfMitigNumPRBs indicates number of PRBs, i.e. 6 or 24 PRBs, for CRS transmission in the central cell BW when CRS interference mitigation is enabled. For UEs not supporting this feature, the behaviour is undefined if this field is configured and the field cellBarred in SystemInformationBlockType1 (SystemInformationBlockType1-BR for BL UEs or UEs in CE) is set to notbarred.

### csg-Identity

Identity of the Closed Subscriber Group the cell belongs to.

### csg-Indication

If set to TRUE the UE is only allowed to access the cell if it is a CSG member cell, if selected during manual CSG selection or to obtain limited service, see TS 36.304 [4].

# eCallOverIMS-Support

Indicates whether the cell supports eCall over IMS services via EPC for UEs as defined in TS 23.401 [41]. If absent, eCall over IMS via EPC is not supported by the network in the cell. NOTE 2.

### eCallOverIMS-Support5GC

Indicates whether the cell supports eCall over IMS services via 5GC as defined in TS 23.401 [41]. If absent, eCall over IMS via 5GC is not supported by the network in the cell. NOTE 2.

### eDRX-Allowed

The presence of this field indicates if idle mode extended DRX is allowed in the cell for the UE connected to EPC. The UE shall stop using extended DRX in idle mode if *eDRX-Allowed* is not present when connected to EPC.

### eDRX-Allowed-5GC

The presence of this field indicates if idle mode extended DRX is allowed in the cell for the UE connected to 5GC. The UE shall stop using extended DRX in idle mode if *eDRX-Allowed-5GC* is not present when connected to 5GC.

## encrypted

The presence of this field indicates that the posSibType is encrypted as specified in TS 36.355 [54].

### fdd-DownlinkOrTddSubframeBitmapBR

The set of valid subframes for FDD downlink or TDD transmissions, see TS 36.213 [23].

If this field is present, *SystemInformationBlockType1-BR-r13* is transmitted in *RRCConnectionReconfiguration*, and if *RRCConnectionReconfiguration* does not include *systemInformationBlockType2Dedicated*, UE may assume the valid subframes in fdd-*DownlinkOrTddSubframeBitmapBR* are not indicated as MBSFN subframes. If this field is not present, the set of valid subframes is the set of non-MBSFN subframes as indicated by *mbsfn-SubframeConfigList*. If neither this field nor *mbsfn-SubframeConfigList* is present, all subframes are considered as valid subframes for FDD downlink transmission, all DL subframes according to the uplink-downlink configuration (see TS 36.211 [21]) are considered as valid subframes for TDD DL transmission, and all UL subframes for TDD UL transmission.

The first/leftmost bit corresponds to the subframe #0 of the radio frame satisfying SFN mod x = 0, where x is the size of the bit string divided by 10. Value 0 in the bitmap indicates that the corresponding subframe is invalid for transmission. Value 1 in the bitmap indicates that the corresponding subframe is valid for transmission.

### fdd-UplinkSubframeBitmapBR

The set of valid subframes for FDD uplink transmissions for BL UEs, see TS 36.213 [23].

If the field is not present, then UE considers all uplink subframes as valid subframes for FDD uplink transmissions. The first/leftmost bit corresponds to the subframe #0 of the radio frame satisfying SFN mod x = 0, where x is the size of the bit string divided by 10. Value 0 in the bitmap indicates that the corresponding subframe is invalid for transmission. Value 1 in the bitmap indicates that the corresponding subframe is valid for transmission.

### fregBandIndicatorPriority

If the field is present and supported by the UE, the UE shall prioritize the frequency bands in the *multiBandInfoList* field in decreasing priority order. Only if the UE does not support any of the frequency band in *multiBandInfoList*, the UE shall use the value in *freqBandIndicator* field. Otherwise, the UE applies frequency band according to the rules defined in *multiBandInfoList*. NOTE 2.

### freqBandInfo

A list of additionalPmax and additionalSpectrumEmission values, as defined in TS 36.101 [42], table 6.2.4-1, for UEs neither in CE nor BL UEs, TS 36.101 [42], table 6.2.4E-1, for UEs in CE or BL UEs and TS 36.102 [113], table 6.2.A.3-1, for NTN capable UE, for the frequency band in *freqBandIndicator*. If E-UTRAN includes *freqBandInfo-v10l0* it includes the same number of entries, and listed in the same order, as in *freqBandInfo-r10*.

### freqHoppingParametersDL

Downlink frequency hopping parameters for BR versions of SI messages, MPDCCH/PDSCH of paging, MPDCCH/PDSCH of RAR/Msg4 and unicast MPDCCH/PDSCH. If not present, the UE is not configured downlink frequency hopping.

# gnss-ID

The presence of this field indicates that the posSibType is for a specific GNSS.

### hsdn-Cell

This field indicates this is a HSDN cell as specified in TS 36.304 [4].

### hyperSFN

Indicates hyper SFN which increments by one when the SFN wraps around.

# iab-Support

This field combines both the support of IAB-node and the cell status for IAB-node. If the field is present, the cell supports IAB-nodes and the cell is also considered as a candidate for cell (re)selection for IAB-nodes; if the field is absent, the cell does not support IAB and/or the cell is barred for IAB-node.

### ims-EmergencySupport

Indicates whether the cell supports IMS emergency bearer services via EPC for UEs in limited service mode. If absent, IMS emergency call via EPC is not supported by the network in the cell for UEs in limited service mode. NOTE 2.

# ims-EmergencySupport5GC

Indicates whether the cell supports IMS emergency bearer services for UEs in limited service mode via 5GC. If absent, IMS emergency call via 5GC is not supported by the network in the cell for UEs in limited service mode. NOTE 2.

# intraFreqReselection

Used to control cell reselection to intra-frequency cells when the highest ranked cell is barred, or treated as barred by the UE, as specified in TS 36.304 [4]. NOTE 2.

### multiBandInfoList

A list of additional frequency band indicators, as defined in TS 36.101 [42], table 5.5-1 and TS 36.102 [113], table 5.2-1, for NTN capable UE that the cell belongs to. If the UE supports the frequency band in the *freqBandIndicator* field it shall apply that frequency band. Otherwise, the UE shall apply the first listed band which it supports in the *multiBandInfoList* field. If E-UTRAN includes *multiBandInfoList-v9e0* it includes the same number of entries, and listed in the same order, as in *multiBandInfoList* (i.e. without suffix). See Annex D for more descriptions. The UE shall ignore the rule defined in this field description if *freqBandIndicatorPriority* is present and supported by the UE.

### multiBandInfoList-v10j0

A list of additionalPmax and additionalSpectrumEmission values, as defined in TS 36.101 [42], table 6.2.4-1, for UEs neither in CE nor BL UEs, TS 36.101 [42], table 6.2.4E-1, for UEs in CE or BL UEs and TS 36.102 [113], table 6.2.A.3-1, for NTN capable UE, for the frequency bands in multiBandInfoList (i.e. without suffix) and multiBandInfoList-v9e0. If E-UTRAN includes multiBandInfoList-v10j0, it includes the same number of entries, and listed in the same order, as in multiBandInfoList (i.e. without suffix). If E-UTRAN includes multiBandInfoList-v10j0 it includes the same number of entries, and listed in the same order, as in multiBandInfoList-v10j0.

### plmn-IdentityList

List of PLMN identities. The first listed *PLMN-Identity* is the primary PLMN. If *plmn-IdentityList-v1530* is included, E-UTRAN includes the same number of entries, and listed in the same order, as in *plmn-IdentityList* (without suffix). If *plmn-IdentityList-v1610* is included, E-UTRAN includes the same number of entries, and listed in the same order, as in *plmn-IdentityList-v1700* is included, E-UTRAN includes the same number of entries, and listed in the same order, as in *plmn-IdentityList* (without suffix). NOTE 2.

### plmn-Index

Index of the PLMN in the *plmn-IdentityList* fields included in SIB1 for EPC, indicating the same PLMN ID is connected to 5GC. Value 1 indicates the 1st PLMN in the 1st *plmn-IdentityList* included in SIB1, value 2 indicates the 2nd PLMN in the same *plmn-IdentityList*, or when no more PLMNs are present within the same *plmn-IdentityList*, then the PLMN listed 1st in the subsequent *plmn-IdentityList* within the same SIB1 and so on. NOTE 6.

### p-Max

Value applicable for the cell. If absent the UE applies the maximum power according to its capability as specified in TS 36.101 [42], clause 6.2.2. NOTE 2. This field is ignored by IAB-MT. The IAB-MT applies output power and emissions requirements, as specified in TS 38.174 [107].

### posSchedulingInfoList-BR

Indicates additional scheduling information of positioning SI messages for BL UEs and UEs in CE. E-UTRAN always includes this field if *posSchedulingInfoList-r15* is included in *SystemInformationBlockType1-BR*, and includes the same number of entries, and listed in the same order, as in *posSchedulingInfoList-r15*.

### posSIB-MappingInfo

List of the posSIBs mapped to this SystemInformation message.

### posSibType

The positioning SIB type is defined in TS 36.355 [54].

### g-QualMin

Parameter "Q<sub>qualmin</sub>" in TS 36.304 [4]. If *cellSelectionInfo-v920* is not present, the UE applies the (default) value of negative infinity for Q<sub>qualmin</sub>. NOTE 1.

# q-QualMinRSRQ-OnAllSymbols

If this field is present and supported by the UE, the UE shall, when performing RSRQ measurements, perform RSRQ measurement on all OFDM symbols in accordance with TS 36.214 [48]. NOTE 1.

### q-QualMinOffset

Parameter "Q<sub>qualminoffset</sub>" in TS 36.304 [4]. Actual value Q<sub>qualminoffset</sub> = field value [dB]. If *cellSelectionInfo-v920* is not present or the field is not present, the UE applies the (default) value of 0 dB for Q<sub>qualminoffset</sub>. Affects the minimum required quality level in the cell.

# q-QualMinWB

If this field is present and supported by the UE, the UE shall, when performing RSRQ measurements, use a wider bandwidth in accordance with TS 36.133 [16]. NOTE 1.

# q-RxLevMinOffset

Parameter Q<sub>rxlevminoffset</sub> in TS 36.304 [4]. Actual value Q<sub>rxlevminoffset</sub> = field value \* 2 [dB]. If absent, the UE applies the (default) value of 0 dB for Q<sub>rxlevminoffset</sub>. Affects the minimum required Rx level in the cell.

### sbas-ID

The presence of this field indicates that the *posSibType* is for a specific SBAS.

# schedulingInfoList

Indicates scheduling information of SI messages. The *schedulingInfoList-v12j0* (if present) provides additional SIBs mapped into the SI message scheduled via *schedulingInfoList* (without suffix). If E-UTRAN includes *schedulingInfoList-v12j0*, it includes the same number of entries, and listed in the same order, as in *schedulingInfoList* (without suffix).

# schedulingInfoListExt

Indicates scheduling information of additional SI messages. The UE concatenates the entries of *schedulingInfoListExt* to the entries in *schedulingInfoList*, according to the general concatenation principles for list extension as defined in 5.1.2. If the *schedulingInfoListExt* is present, E-UTRAN ensures that the total number of entries of this field plus *schedulingInfoList* (without suffix) shall not exceed the value of *maxSI-Message*.

### sib-MappingInfo

List of the SIBs mapped to this *SystemInformation* message. There is no mapping information of SIB2; it is always present in the first *SystemInformation* message listed in the *schedulingInfoList* (without suffix) list. If present, *sib-MappingInfo-v12j0* indicates one or more additional SIBs mapped to the concerned SI message listed in the *schedulingInfoList* (without suffix) list. If *schedulingInfoList-v12j0* or *schedulingInfoListExt-r12* is present, E-UTRAN does not include any value indicating SIB of type 19 or higher in *sib-MappingInfo* (without suffix). If *schedulingInfoList-v12j0* is present, E-UTRAN ensures that the total number of entries of this field plus *sib-MappingInfo* (without suffix) shall not exceed the value of *maxSIB-1*.

### si-HoppingConfigCommon

Frequency hopping activation/deactivation for BR versions of SI messages and MPDCCH/PDSCH of paging.

#### si-Narrowband

This field indicates the index of a narrowband used to broadcast the SI message towards BL UEs and UEs in CE, see TS 36.211 [21], clause 6.4.1 and TS 36.213 [23], clause 7.1.6. Field values (1...maxAvailNarrowBands-r13) correspond to narrowband indices (0...maxAvailNarrowBands-r13-1) as specified in TS 36.211 [21].

#### si-RepetitionPattern

Indicates the radio frames within the SI window used for SI message transmission. Value everyRF corresponds to every radio frame, value every2ndRF corresponds to every 2 radio frames, and so on. The first transmission of the SI message is transmitted from the first radio frame of the SI window.

### si-Periodicity, posSI-Periodicity

Periodicity of the SI-message in radio frames, such that rf8 denotes 8 radio frames, rf16 denotes 16 radio frames, and so on. If the *si-posOffset* is configured, the *posSI-Periodicity* of rf8 cannot be used.

### si-posOffset

This field, if present and set to *true* indicates that the SI messages in *PosSchedulingInfoList* are scheduled with an offset of 8 radio frames compared to SI messages in *SchedulingInfoList*. *si-posOffset* may be present only if the shortest configured SI message periodicity for SI messages in *SchedulingInfoList* is 80ms.

#### si-TBS

This field indicates the transport block size information used to broadcast the SI message towards BL UEs and UEs in CE, see TS 36.213 [23], Table 7.1.7.2.1-1, for a 6 PRB bandwidth and a QPSK modulation.

### schedulingInfoList-BR

Indicates additional scheduling information of SI messages for BL UEs and UEs in CE. It includes the same number of entries, and listed in the same order, as in *schedulingInfoList* (without suffix).

### si-ValidityTime

Indicates system information validity timer. If set to TRUE, the timer is set to 3h, otherwise the timer is set to 24h.

### si-WindowLength, si-WindowLength-BR

Common SI scheduling window for all SIs. Unit in milliseconds, where ms1 denotes 1 millisecond, ms2 denotes 2 milliseconds and so on. In case si-WindowLength-BR-r13 is present and the UE is a BL UE or a UE in CE, the UE shall use si-WindowLength-BR-r13 and ignore the original field si-WindowLength (without suffix). UEs other than BL UEs or UEs in CE shall ignore the extension field si-WindowLength-BR-r13.

### startSymbolBR

For BL UEs and UEs in CE, indicates the OFDM starting symbol for any MPDCCH, PDSCH scheduled on the same cell except the PDSCH carrying *SystemInformationBlockType1-BR*, see TS 36.213 [23]. Values 1, 2, and 3 are applicable for *dl-Bandwidth* greater than 10 resource blocks. Values 2, 3, and 4 are applicable otherwise.

### systemInfoValueTagList

Indicates SI message specific value tags for BL UEs and UEs in CE. It includes the same number of entries, and listed in the same order, as in *schedulingInfoList* (without suffix).

# systemInfoValueTagSI

SI message specific value tag as specified in clause 5.2.1.3. Common for all SIBs within the SI message other than MIB, SIB1, SIB10, SIB11, SIB12, SIB14 and SIB31.

### systemInfoValueTag

Common for all SIBs other than MIB, MIB-MBMS, SIB1, SIB1-MBMS, SIB10, SIB11, SIB12, SIB14 and SIB31. Change of MIB, MIB-MBMS, SIB1 and SIB1-MBMS is detected by acquisition of the corresponding message.

# tdd-Config

Specifies the TDD specific physical channel configurations. NOTE 2.

# trackingAreaCode/trackingAreaCode-5GC

A trackingAreaCode that is common for all the PLMNs listed. NOTE2. NOTE 5.

# trackingAreaList

A list of tracking area codes for the PLMN listed.

For the first entry in plmn-IdentityList-v1700: If this field is present, the list of tracking area codes include the tracking area code in trackingAreaCode (without suffix) and the tracking area codes in trackingAreaList. If this field is absent, trackingAreaCode (without suffix) applies.

For other entries in plmn-IdentityList-v1700: If this field is present, the list of tracking area codes include the tracking area codes in trackingAreaList. If this field is absent, the list of tracking area codes of the preceding entry in plmn-IdentityList-v1700 applies.

The total number of signalled tracking area codes across all PLMNs cannot be more than maxTAC-r17.

## transmissionInControlChRegion

Indicates, for BL UEs and UEs in CE, LTE control channel region may be used for DL broadcast transmission. NOTE 3.

# up-CloT-5GS-Optimisation

Indicates whether the UE is allowed to resume the connection with User plane CloT 5GS optimisation, see TS 24.501 [95].

NOTE 1: The value the UE applies for parameter "Q<sub>qualmin</sub>" in TS 36.304 [4] depends on the *q-QualMin* fields signalled by E-UTRAN and supported by the UE. In case multiple candidate options are available, the UE shall select the highest priority candidate option according to the priority order indicated by the following table (top row is highest priority).

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q-QualMinRSRQ-OnAllSymbols	q-QualMinWB	Value of parameter "Q <sub>qualmin</sub> " in TS 36.304 [4]
Included	Included	q-QualMinRSRQ-OnAllSymbols – (q-QualMin – q-
		QualMinWB)
Included	Not included	q-QualMinRSRQ-OnAllSymbols
Not included	Included	q-QualMinWB
Not included	Not included	g-QualMin

- NOTE 2: E-UTRAN sets this field to the same value for all instances of SIB1 message that are broadcasted within the same cell.
- NOTE 3: E-UTRAN configures this field only in the BR version of SIB1 message.
- NOTE 4: E-UTRAN configures at most 6 EPC PLMNs in total (i.e. across all the PLMN lists except for PLMN lists in *cellAccessRelatedInfoList-5GC* in SIB1). E-UTRAN configures at most 6 5GC PLMNs in total (i.e. across all the PLMN lists in *cellAccessRelatedInfoList-5GC* in SIB1).
- NOTE 5: E-UTRAN configures only one value for this parameter per PLMN.
- NOTE 6: E-UTRAN configures *plmn-Index* only if the *cellBarred* is set to *notBarred*.

Conditional presence	Explanation
BW-reduced	The field is optional present, Need OR, if <i>schedulingInfoSIB1-BR</i> in MIB is set to a value
ED!	greater than 0. Otherwise the field is not present.
FBI-max	The field is mandatory present if <i>freqBandIndicator</i> (i.e. without suffix) is set to <i>maxFBI</i> .
	Otherwise the field is not present.
mFBI	The field is optional present, Need OR, if <i>multiBandInfoList</i> is present. Otherwise the field
	is not present.
mFBI-max	The field is mandatory present if one or more entries in <i>multiBandInfoList</i> (i.e. without
	suffix, introduced in -v8h0) is set to <i>maxFBI</i> . Otherwise the field is not present.
RSRQ	The field is mandatory present if SIB3 is being broadcast and threshServingLowQ is
	present in SIB3; otherwise optionally present, Need OP.
RSRQ2	The field is mandatory present if <i>q-QualMinRSRQ-OnAllSymbols</i> is present in SIB3;
	otherwise it is not present and the UE shall delete any existing value for this field.
Hopping	The field is mandatory present if si-HoppingConfigCommon field is broadcasted and set
	to on. Otherwise the field is optionally present, need OP.
QrxlevminCE1	The field is optionally present, Need OR, if <i>q-RxLevMinCE1-r13</i> is set below -140 dBm.
	Otherwise the field is not present.
TDD	This field is mandatory present for TDD; it is not present for FDD and the UE shall delete
	any existing value for this field.
TDD-OR	The field is optional present for TDD, need OR; it is not present for FDD.
WB-RSRQ	The field is optionally present, need OP if the measurement bandwidth indicated by
	allowedMeasBandwidth in systemInformationBlockType3 is 50 resource blocks or larger;
	otherwise it is not present.
SI-BR	The field is mandatory present if schedulingInfoSIB1-BR is included in MIB with a value
	greater than 0. Otherwise the field is not present.

# SystemInformationBlockType1-MBMS

SystemInformationBlockType1-MBMS contains information relevant for receiving service from MBMS-dedicated cell and defines the scheduling of other system information.

Signalling radio bearer: N/A

RLC-SAP: TM

Logical channels: BCCH

Direction: E-UTRAN to UE

# SystemInformationBlockType1-MBMS message

```
-- ASN1START
SystemInformationBlockType1-MBMS-r14 ::= SEQUENCE {
   cellAccessRelatedInfo-r14
                                              SEQUENCE
                                                  PLMN-IdentityList-MBMS-r14,
        plmn-IdentityList-r14
        trackingAreaCode-r14
                                                      TrackingAreaCode,
        cellIdentity-r14
                                                       CellIdentity
    freqBandIndicator-r14
                                              FreqBandIndicator-r11,
   multiBandInfoList-r14
                                              MultiBandInfoList-r11
                                                                                   OPTIONAL, -- Need OR
    schedulingInfoList-MBMS-r14 SchedulingInfoList-MBMS-r14,
    si-WindowLength-r14
                                         ENUMERATED
                                                  ms1, ms2, ms5, ms10, ms15, ms20, ms40, ms80},
    systemInfoValueTag-r14
                                              INTEGER (0..31),
   nonMBSFN-SubframeConfig-r14
                                             NonMBSFN-SubframeConfig-r14
                                                                              OPTIONAL, --Need OR
   pdsch-ConfigCommon-r14
                                             PDSCH-ConfigCommon,
   systemInformationBlockType13-r14 SystemInformationBlockType13-r9 OF cellAccessRelatedInfoList-r14 SEQUENCE (SIZE (1..maxPLMN-1-r14)) OF
                                             SystemInformationBlockType13-r9 OPTIONAL, --Need OR
                                              CellAccessRelatedInfo-r14 OPTIONAL,
                                                                                        -- Need OR
    {\tt nonCriticalExtension}
                                              SEQUENCE {}
                                                                                    OPTIONAL
PLMN-IdentityList-MBMS-r14 ::=
                                              SEQUENCE (SIZE (1..maxPLMN-r11)) OF PLMN-Identity
SchedulingInfoList-MBMS-r14 ::= SEQUENCE (SIZE (1..maxSI-Message)) OF SchedulingInfo-MBMS-r14
SchedulingInfo-MBMS-r14 ::= SEQUENCE {
    si-Periodicity-r14
                                              ENUMERATED {
                                                  rf16, rf32, rf64, rf128, rf256, rf512},
    sib-MappingInfo-r14
                                              SIB-MappingInfo-MBMS-r14
}
SIB-MappingInfo-MBMS-r14 ::= SEQUENCE (SIZE (0..maxSIB-1)) OF SIB-Type-MBMS-r14
SIB-Type-MBMS-r14 ::=
                                          ENUMERATED {
                                              sibType10, sibType11, sibType12-v920, sibType13-v920,
                                              sibType15-v1130, sibType16-v1130, ...}
NonMBSFN-SubframeConfig-r14 ::=
   radioFrameAllocationPeriod-r14
radioFrameAllocationOffset-r14
subframeAllocation_r14
                                         SEQUENCE {
                                         ENUMERATED {rf4, rf8, rf16, rf32, rf64, rf128, rf512},
                                        INTEGER (0..7),
    subframeAllocation-r14
                                         BIT STRING (SIZE(9))
}
-- ASN1STOP
```

#### cellAccessRelatedInfoList

This field contains a list allowing signalling of access related information per PLMN. One PLMN can be included in only one entry of this list. NOTE 2.

### cellIdentity

Indicates the cell identity. NOTE 1.

### freqBandIndicator

A list of as defined in TS 36.101 [42], table 6.2.4-1, for the frequency band in freqBandIndicator.

### multiBandInfoList

A list of additional frequency band indicators, as defined in TS 36.101 [42], table 5.5-1, that the cell belongs to. If the UE supports the frequency band in the *freqBandIndicator* field it shall apply that frequency band. Otherwise, the UE shall apply the first listed band which it supports in the *multiBandInfoList* field.

# nonMBSFN-SubframeConfig

Defines the non-MBSFN subframes within the radio frame allocation period defined by the radioFrameAllocationPeriod and the radioFrameAllocationOffset.

### plmn-IdentityList

List of PLMN identities. The first listed *PLMN-Identity* is the primary PLMN. NOTE 1.

### radioFrameAllocationPeriod, radioFrameAllocationOffset

Radio-frames that contain non-MBSFN subframes occur when equation *SFN* mod *radioFrameAllocationPeriod* = *radioFrameAllocationOffset* is satisfied. Value rf4 for *radioframeAllocationPeriod* denotes 4 radio frames, rf8 detones 8 radion frames, and so on.

### schedulingInfoList-MBMS

Indicates additional scheduling information of SI messages on MBMS-dedicated cell.

### sib-MappingInfo

List of the SIBs mapped to this SystemInformation message.

# si-Periodicity

Periodicity of the SI-message in radio frames, such that rf16 denotes 16 radio frames, rf32 denotes 32 radio frames, and so on.

# si-WindowLength

Common SI scheduling window for all SIs. Unit in milliseconds, where ms1 denotes 1 millisecond, ms2 denotes 2 milliseconds and so on.

#### subframeAllocation

Defines the subframes that are allocated for non-MBSFN within the radio frame allocation period defined by the radioFrameAllocationPeriod and the radioFrameAllocationOffset. "0" denotes that the corresponding subframe is a MBSFN subframe. "1" denotes that the corresponding subframe is a non-MBSFN subframe. If E-UTRAN configures a value other than "0" for additionalNonMBSFNSubframes within MasterInformationBlock-MBMS, subframeAllocation configuration should also indicate subframes pointed out by additionalNonMBSFNSubframes as non-MBSFN subframes.

# systemInformationBlockType13

E-UTRAN does not configure this field if *schedulingInfoList–MBMS* indicates that *SystemInformationBlockType13* is present.

### systemInfoValueTag

Common for all SIBs other than MIB, SIB1, SIB10, SIB11, SIB12 and SIB14. Change of MIB and SIB1 is detected by acquisition of the corresponding message.

### trackingAreaCode

A trackingAreaCode that is common for all the PLMNs listed. NOTE1.

NOTE 1: E-UTRAN sets this field to the same value for all instances of SIB1-MBMS message that are broadcasted within the same cell.

# UEAssistanceInformation

The UEAssistanceInformation message is used for the indication of UE assistance information to the eNB.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

# UEAssistanceInformation message

-- ASN1START

```
UEAssistanceInformation-r11 ::= SEQUENCE {
                                    CHOICE {
    criticalExtensions
            ueAssistanceInformation-rll CHOICE {
        c1
                                              UEAssistanceInformation-r11-IEs,
            spare3 NULL, spare2 NULL, spare1 NULL
                                            SEQUENCE {}
        criticalExtensionsFuture
}
UEAssistanceInformation-r11-IEs ::= SEQUENCE {
    powerPrefIndication-r11 ENUMERATED {normal, lowPowerConsumption} lateNonCriticalExtension OCTET STRING nonCriticalExtension UEAssistanceInformation-v1430-IEs
                                                                                        OPTIONAL.
                                                                                        OPTIONAL,
                                        UEAssistanceInformation-v1430-IEs
    nonCriticalExtension
                                                                                        OPTIONAL
}
UEAssistanceInformation-v1430-IEs ::= SEQUENCE {
   OPTIONAL,
                                            TrafficPatternInfoList-r14
TrafficPatternInfoList-r14
                                                                                        OPTIONAL,
                                                                                        OPTIONAL
                                              ENUMERATED {earlyOutOfSync, earlyInSync},
       rlm-Event-r14
excessRep-MPDCCH-r14
                                                ENUMERATED {excessRep1, excessRep2} OPTIONAL
                                                                                       OPTIONAL,
                                           DelayBudgetReport-r14
    delayBudgetReport-r14
                                                                                       OPTIONAL,
    nonCriticalExtension
                                             UEAssistanceInformation-v1450-IEs
                                                                                       OPTIONAL
}
UEAssistanceInformation-v1450-IEs ::= SEQUENCE {
   overheatingAssistance-r14 OverheatingAssistance-r14
                                             UEAssistanceInformation-v1530-IEs
    nonCriticalExtension
                                                                                       OPTIONAL
}
UEAssistanceInformation-v1530-IES ::= SEQUENCE {
    sps-AssistanceInformation-v1530 SEQUENCE {
       trafficPatternInfoListSL-v1530 SEQUENCE {
                                             TrafficPatternInfoList-v1530
               OPTIONAL,
    nonCriticalExtension
                                             UEAssistanceInformation-v1610-IEs
    OPTIONAL
}
UEAssistanceInformation-v1610-IEs ::= SEQUENCE {
   overheatingAssistance-v1610 OverheatingAssistance-v1610 OPTIONAL, nonCriticalExtension UEAssistanceInformation-v1700-IES OPTIO
                                                                                    OPTIONAL
}
UEAssistanceInformation-v1700-IEs ::= SEQUENCE {
   scg-DeactivationPreference-r17 ENUMERATED { true }

scg-DeactivationPreference-r17 ENUMERATED { scgDeactivationPreference-r19
  uplinkData-r17
                                             ENUMERATED { scgDeactivationPreferred,
                                                          noPreference }
                                                                                           OPTIONAL,
   nonCriticalExtension
                                                  UEAssistanceInformation-v1710-IES OPTIONAL
{\tt UEAssistanceInformation-v1710-IEs} \ ::= \ {\tt SEQUENCE} \ \{
   overheatingAssistance-v1710 OverheatingAssistance-v1710 OPTIONAL,
    nonCriticalExtension
                                                 SEQUENCE {}
                                                                                       OPTIONAL
BW-Preference-r14 ::= SEQUENCE {
   dl-Preference-r14 ENUMERATED {mhzldot4, mhz5, mhz20 }
ul-Preference-r14 ENUMERATED {mhzldot4, mhz5}
                                                                                   OPTIONAL,
                            ENUMERATED [mhz1dot4, mhz5]
                                                                                   OPTIONAL
TrafficPatternInfoList-r14 ::= SEQUENCE (SIZE (1..maxTrafficPattern-r14)) OF TrafficPatternInfo-r14
TrafficPatternInfo-r14 ::= SEQUENCE {
                                     ENUMERATED {
    trafficPeriodicity-r14
                                         sf20, sf50, sf100, sf200, sf300, sf400, sf500,
                                        sf600, sf700, sf800, sf900, sf1000},
   priorityInfoSL-r14
                                     INTEGER (0..10239),
                                    SL-Priority-r13
                                                                                   OPTIONAL,
    logicalChannelIdentityUL-r14 INTEGER (3..10)
messageSize-r14 BIT STRING (SIZE (6))
                                                                                  OPTIONAL,
}
```

```
TrafficPatternInfoList-v1530 ::= SEQUENCE (SIZE (1..maxTrafficPattern-r14)) OF TrafficPatternInfo-
TrafficPatternInfo-v1530 ::= SEQUENCE {
    trafficDestination-r15 SL-DestinationIdentity-r12
    reliabilityInfoSL-r15 SL-Reliability-r15
                                                                                                   OPTIONAL,
                                                                                                   OPTIONAL
DelayBudgetReport-r14::= CHOICE {
    type1
                                            ENUMERATED {
                                                 msMinus1280, msMinus640, msMinus320, msMinus160,
                                                 {\tt msMinus80}, {\tt msMinus60}, {\tt msMinus40}, {\tt msMinus20}, {\tt ms0}, {\tt ms20},
                                                           ms40, ms60, ms80, ms160, ms320, ms640, ms1280},
    type2
                                                msMinus192, msMinus168, msMinus144, msMinus120,
                                                 msMinus96, msMinus72, msMinus48, msMinus24, ms0, ms24,
                                                           ms48, ms72, ms96, ms120, ms144, ms168, ms192}
}
OverheatingAssistance-r14 ::= SEQUENCE {
              reducedUE-CategoryDL SEQUENCE {
reducedUE-CategoryDL INTEGER
         reducedUE-Category
              reducedUE-CategoryDL INTEGER (0..19), reducedUE-CategoryUL INTEGER (0..21)
            PERFORMANCE OPTIONAL,
educedMaxCCs SEQUENCE {
   reducedCCsDL INTEGEF
   reducedCCSUL INTEGEF
   OPTIONAL
          reducedMaxCCs
                                           INTEGER (0..31),
INTEGER (0..31)
OverheatingAssistance-v1610 ::= SEQUENCE {
   overheatingAssistanceForSCG-r16
                                                     OCTET STRING
OverheatingAssistance-v1710 ::= SEQUENCE {
OCTET STRING
-- ASN1STOP
```

### **UEAssistanceInformation** field descriptions

### delayBudgetReport

Indicates the UE-preferred adjustment to connected mode DRX or coverage enhancement configuration.

#### dl-Preference

Indicates UE's preference on configuration of maximum PDSCH bandwidth. The value mhz1dot4 corresponds to CE mode usage in 1.4MHz bandwidth, mhz5 corresponds to CE mode usage in 5MHz bandwidth, and mhz20 corresponds to CE mode usage in 20MHz bandwidth or normal coverage.

#### excessRep-MPDCCH

Indicates the excess number of repetitions on MPDCCH. Value excessRep1 and excessRep2 indicate the excess number of repetitions defined in TS 36.133 [16].

### logicalChannelIdentityUL

Indicates the logical channel identity associated with the reported traffic pattern in the uplink logical channel.

### messageSize

Indicates the maximum TB size based on the observed traffic pattern. The value refers to the index of TS 36.321 [6], table 6.1.3.1-1.

### overheatingAssistanceForSCG

Includes the NR *OverheatingAssistance* IE as specified in TS 38.331 [82]. The field indicates UE's preference on reduced configuration for NR SCG to address overheating in FR1 and/or FR2-1.

### overheatingAssistanceForSCG-FR2-2

Includes the NR *OverheatingAssistance-r17* IE for FR2-2 as specified in TS 38.331 [82]. The field indicates UE's preference on reduced configuration for NR SCG to address overheating in FR2-2.

# powerPrefIndication

Value *lowPowerConsumption* indicates the UE prefers a configuration that is primarily optimised for power saving. Otherwise the value is set to *normal*.

# priorityInfoSL

Indicates the traffic priority (i.e., PPPP) associated with the reported traffic pattern for V2X sidelink communication.

### reducedCCsDL

Indicates the UE's preference on reduced configuration corresponding to the maximum number of downlink SCells indicated by the field, to address overheating. This maximum number includes both SCells of E-UTRA and PSCell/SCells of NR in (NG)EN-DC.

#### reducedCCsUL

Indicates the UE's preference on reduced configuration corresponding to the maximum number of uplink SCells indicated by the field, to address overheating. This maximum number includes both SCells of E-UTRA and PSCell/SCells of NR in (NG)EN-DC.

### reducedUE-CategoryDL, reducedUE-CategoryUL

Indicates that UE prefers a configuration corresponding to the reduced UE category, to address overheating. The reduced UE DL category and reduced UE UL category should be indicated according to supported combinations for UE UL and DL Categories, see TS 36.306 [5], Table 4.1A-6.

# reliabilityInfoSL

Indicates the traffic reliability (i.e., PPPR) associated with the reported traffic pattern for V2X sidelink communication.

### rlm-Evont

This field provides the RLM event ("early-out-of-sync" or "early-in-sync").

# rlm-Report

This field provides the RLM report for BL UEs and UEs in CE.

### sps-AssistanceInformation

Indicates the UE assistance information to assist E-UTRAN to configure SPS.

# timingOffset

This field indicates the estimated timing for a packet arrival in a SL/UL logical channel. Specifically, the value indicates the timing offset with respect to subframe#0 of SFN#0 in milliseconds.

### trafficDestination

Indicates the destination associated with the reported traffic pattern for V2X sidelink communication.

# trafficPatternInfoListSL

This field provides the traffic characteristics of sidelink logical channel(s) that are setup for V2X sidelink communication. If *trafficPatternInfoListSL-v1530* is included, it includes the same number of entries, and listed in the same order, as in *trafficPatternInfoListSL-r14*.

### trafficPatternInfoListUL

This field provides the traffic characteristics of uplink logical channel(s).

## trafficPeriodicity

This field indicates the estimated data arrival periodicity in a SL/UL logical channel. Value sf20 corresponds to 20 ms, sf50 corresponds to 50 ms and so on.

### type1

Indicates the preferred amount of increment/decrement to the connected mode DRX cycle length with respect to the current configuration. Value in number of milliseconds. Value ms40 corresponds to 40 milliseconds, msMinus40 corresponds to -40 milliseconds and so on.

### **UEAssistanceInformation** field descriptions

### type2

Indicates the preferred amount of increment/decrement to the coverage enhancement configuration with respect to the current configuration so that the Uu air interface delay changes by the indicated amount. Value in number of milliseconds. Value ms24 corresponds to 24 milliseconds, msMinus24 corresponds to -24 milliseconds and so on.

#### ul-Preference

Indicates UE's preference on configuration of maximum PUSCH bandwidth. The value mhz1dot4 corresponds to CE mode usage in 1.4MHz bandwidth, and mhz5 corresponds to CE mode usage in 5MHz bandwidth.

# UECapabilityEnquiry

The *UECapabilityEnquiry* message is used to request the transfer of UE radio access capabilities for E-UTRA as well as for other RATs.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to UE

# UECapabilityEnquiry message

```
-- ASN1START
UECapabilityEnquiry ::=
                                    SEQUENCE {
                                       RRC-TransactionIdentifier,
   rrc-TransactionIdentifier
    criticalExtensions
                                        CHOICE {
                                           CHOICE {
            ueCapabilityEnquiry-r8
                                               UECapabilityEnquiry-r8-IEs,
            spare3 NULL, spare2 NULL, spare1 NULL
        criticalExtensionsFuture
                                            SEQUENCE { }
}
UECapabilityEnquiry-r8-IEs ::=
                                   SEQUENCE {
    ue-CapabilityRequest
                                        UE-CapabilityRequest,
   nonCriticalExtension
                                       UECapabilityEnquiry-v8a0-IEs
                                                                           OPTIONAL
UECapabilityEnquiry-v8a0-IEs ::=
                                    SEQUENCE {
   lateNonCriticalExtension
                                        OCTET STRING
                                                                            OPTIONAL,
   nonCriticalExtension
                                       UECapabilityEnquiry-v1180-IEs
                                                                            OPTIONAL
UECapabilityEnquiry-v1180-IEs ::= SEQUENCE {
                                  SEQUENCE (SIZE (1..16)) OF FreqBandIndicator-r11
   requestedFrequencyBands-r11
    OPTIONAL,
    {\tt nonCriticalExtension}
                                       UECapabilityEnquiry-v1310-IEs
                                                                                            OPTIONAL
UECapabilityEnquiry-v1310-IEs ::= SEQUENCE {
                                 ENUMERATED {true}
   requestReducedFormat-r13
requestSkipFallbackComb-r13
                                                                            OPTIONAL,
                                                                                        -- Need ON
                                                                            OPTIONAL,
                                                                                        -- Need ON
                                        ENUMERATED {true}
   requestedMaxCCsDL-r13
                                                                           OPTIONAL,
                                                                                       -- Need ON
                                       INTEGER (2..32)
    requestedMaxCCsUL-r13
                                       INTEGER (2..32)
                                                                            OPTIONAL,
                                                                                        -- Need ON
                                                                                        -- Need ON
                                       ENUMERATED {true}
    requestReducedIntNonContComb-r13
                                                                            OPTIONAL,
   nonCriticalExtension
                                       UECapabilityEnquiry-v1430-IEs
                                                                           OPTIONAL
UECapabilityEnquiry-v1430-IEs ::= SEQUENCE {
    requestDiffFallbackCombList-r14
                                       BandCombinationList-r14
                                                                            OPTIONAL,
                                                                                        -- Need ON
                                        UECapabilityEnquiry-v1510-IEs
    nonCriticalExtension
                                                                            OPTIONAL
UECapabilityEnquiry-v1510-IEs ::=
                                   SEQUENCE {
    requestedFreqBandsNR-MRDC-r15
                                       OCTET STRING
                                                                            OPTIONAL,
    nonCriticalExtension
                                        UECapabilityEnquiry-v1530-IEs
```

```
UECapabilityEnquiry-v1530-IES ::= SEQUENCE {
    requestSTTI-SPT-Capability-r15 ENUMERATED {true}
eutra-nr-only-r15 ENUMERATED {true}
                                                                                       OPTIONAL,
                                                                                       OPTIONAL,
                                             UECapabilityEnquiry-v1550-IES OPTIONAL
    nonCriticalExtension
UECapabilityEnquiry-v1550-IEs ::= SEQUENCE {
    requestedCapabilityNR-r15 OCTET STRING
    nonCriticalExtension UECapabilityF
                                                                                       OPTIONAL,
                                             UECapabilityEnquiry-v1560-IES OPTIONAL
    nonCriticalExtension
UECapabilityEnquiry-v1560-IES ::= SEQUENCE {
   requestedCapabilityCommon-r15 OCTET STRING
   nonCriticalExtension UECapabilityF
                                                                                       OPTIONAL,
                                             UECapabilityEnquiry-v1610-IES OPTIONAL
   nonCriticalExtension
UECapabilityEnquiry-v1610-IEs ::= SEQUENCE {
                                             ENUMERATED {enabled}
   rrc-SegAllowed-r16
                                                                                       OPTIONAL,
                                                                                                     -- Need ON
                                             ENUMERATED {enabled} OPTIONAL,
UECapabilityEnquiry-v1710-IES OPTIONAL
    nonCriticalExtension
}
UECapabilityEnquiry-v1710-IEs ::= SEQUENCE {
                              ENUMERATED {true}
SEOUENCE {}
   sidelinkRequest-r17
                                                                               OPTIONAL, -- Need ON
    nonCriticalExtension
                                                  SEQUENCE {}
                                                                                  OPTIONAL
                             SEQUENCE (SIZE (1..maxRAT-Capabilities)) OF RAT-Type
UE-CapabilityRequest ::=
-- ASN1STOP
```

# **UECapabilityEnquiry** field descriptions

#### eutra-nr-only

Indicates that the UE is requested to provide UE capabilities related to (NG)EN-DC only as specified in TS38.331 [82].

### requestDiffFallbackCombList

List of CA band combinations for which the UE is requested to provide different capabilities for their fallback band combinations in conjunction with the capabilities supported for the CA band combinations in this list. The UE shall exclude fallback band combinations for which their supported UE capabilities are the same as the CA band combination indicated in this list.

### requestReducedFormat

Indicates that the UE is requested to provide supported CA band combinations in the

supportedBandCombinationReduced-r13 instead of the supportedBandCombination-r10. The E-UTRAN includes this field if requestSkipFallbackComb or requestDiffFallbackCombList is included in the message.

### requestSkipFallbackComb

Indicates that the UE shall explicitly exclude fallback CA band combinations in capability signalling.

### ue-CapabilityRequest

List of the RATs for which the UE is requested to transfer the UE radio access capabilities i.e. E-UTRA, UTRA, GERAN-CS, GERAN-PS, CDMA2000. A separate *RAT-Type* value applies for some EUTRA-NR capabilities that are transferred by a separate UE capability container, used in case of MRDC.

### requestedFrequencyBands

List of frequency bands for which the UE is requested to provide supported CA band combinations and non CA bands.

### requestedFreqBandsNR-MRDC

Interpreted as *FreqBandList* IE as specified in TS 38.331 [82]. It concerns a list of NR and/ or E-UTRA frequency bands for which the UE is requested to provide its supported NR CA and/or MR-DC band combinations (i.e. within the UE capability containers for NR and MR-DC, as requested by E-UTRAN) and feature sets corresponding to the MR-DC band combinations (i.e. within the UE capability containers for LTE and NR, as requested by E-UTRAN).

### requestedCapabilityCommon

Contains the filter common for all requested MR-DC related capability containers as defined by *UE-CapabilityRequestFilterCommon* IE in TS 38.331 [82].

### requestedCapabilitvNR

Interpreted as *UE-CapabilityRequestFilterNR* IE as specified in TS 38.331 [82], in which the field *frequencyBandListFilter* is omitted.

### requestedMaxCCsDL, requestedMaxCCsUL

Indicates the maximum number of CCs for which the UE is requested to provide supported CA band combinations and non-CA bands.

### requestReducedIntNonContComb

Indicates that the UE shall explicitly exclude supported intra-band non-contiguous CA band combinations other than included in capability signalling as specified in TS 36.306 [5], clause 4.3.5.21.

# requestSTTI-SPT-Capability

Indicates that the UE is requested to provide its supported short TTI and SPT capabilities in capability signalling.

# rrc-SegAllowed

A one-shot field that indicates that the UE is enabled to segment the response message into a series of *ULDedicatedMessageSegment* messages.

# UECapabilityInformation

The UECapabilityInformation message is used to transfer of UE radio access capabilities requested by the E-UTRAN.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

# **UECapabilityInformation message**

```
SEQUENCE {}
       criticalExtensionsFuture
}
UECapabilityInformation-r8-IEs ::= SEQUENCE {
   ue-CapabilityRAT-ContainerList
                                      UE-CapabilityRAT-ContainerList,
                                      UECapabilityInformation-v8a0-IEs
                                                                      OPTIONAL
   nonCriticalExtension
UECapabilityInformation-v8a0-IEs ::= SEQUENCE {
   lateNonCriticalExtension OCTET STRING
                                                                        OPTIONAL.
                                     UECapabilityInformation-v1250-IES OPTIONAL
   nonCriticalExtension
UECapabilityInformation-v1250-IEs ::= SEQUENCE {
   ue-RadioPagingInfo-r12
                                     UE-RadioPagingInfo-r12
                                                                        OPTIONAL.
   nonCriticalExtension
                                     SEQUENCE {}
                                                                        OPTIONAL
-- ASN1STOP
```

### **UECapabilityInformation** field descriptions

### ue-RadioPagingInfo

This field contains UE capability information used for paging.

# ULDedicatedMessageSegment

The ULDedicatedMessageSegment message is used to transfer segments of the UECapabilityInformation message.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

### ULDedicatedMessageSegment message

### ULDedicatedMessageSegment field descriptions

### segmentNumber

Identifies the sequence number of a segment within the encoded UL DCCH message.

### rrc-MessageSegmentContainer

Includes a segment of the encoded UL DCCH message. The size of the included segment in this container should be small enough that the resulting encoded RRC message PDU is less than or equal to the PDCP SDU size limit.

# rrc-MessageSegmentType

Indicates whether the included UL DCCH message segment is the last segment or not.

# UEInformationRequest

The UEInformationRequest is the command used by E-UTRAN to retrieve information from the UE.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to UE

### **UEInformationRequest message**

```
-- ASN1START
CHOICE { ueInformationRequest-r9
                                                                                                          UEInformationRequest-r9-IEs,
                           spare3 NULL, spare2 NULL, spare1 NULL
                  criticalExtensionsFuture
}
 UEInformationRequest-r9-IEs ::= SEQUENCE {
        rach-ReportReq-r9
                                                                                 BOOLEAN,
                                                                                         BOOLEAN.
         rlf-ReportReg-r9
         nonCriticalExtension
                                                                                         UEInformationRequest-v930-IEs
                                                                                                                                                                      OPTIONAL
UEInformationRequest-v930-IEs ::= SEQUENCE {
         lateNonCriticalExtension OCTET STRING
                                                                                                                                                                         OPTIONAL.
                                                                                         UEInformationRequest-v1020-IEs
         nonCriticalExtension
                                                                                                                                                                         OPTIONAL
UEInformationRequest-v1020-IEs ::= SEQUENCE {
         logMeasReportReq-r10 ENUMERATED {true}
                                                                                                                                                                         OPTIONAL,
                                                                                                                                                                                                    -- Need ON
         nonCriticalExtension
                                                                                         UEInformationRequest-v1130-IEs
                                                                                                                                                                          OPTIONAL
UEInformationRequest-v1130-IEs ::= SEQUENCE {
        connEstFailReportReq-r11 ENUMERATED {true}
                                                                                                                                                                         OPTIONAL,
                                                                                                                                                                                                    -- Need ON
                                                                                        UEInformationRequest-v1250-IEs
         nonCriticalExtension
                                                                                                                                                                         OPTIONAL
}
UEInformationRequest-v1250-IEs ::= SEQUENCE {
        mobilityHistoryReportReq-r12 ENUMERATED {true}
                                                                                                                                                                         OPTIONAL,
                                                                                                                                                                                                    -- Need ON
         nonCriticalExtension
                                                                                       UEInformationRequest-v1530-IEs
                                                                                                                                                                         OPTIONAL
 UEInformationRequest-v1530-IEs ::= SEQUENCE {
        idleModeMeasurementReq-r15 ENUMERATED {true} flightPathInfoReq-r15 FlightPathInfoReport INTERPORTED FOR THE INTERPORTED FOR TH
                                                                                                                                                                     OPTIONAL,
                                                                                                                                                                                                    -- Need ON
                                                                                       FlightPathInfoReportConfig-r15
UEInformationRequest-v1710-IEs
                                                                                                                                                                        OPTIONAL,
                                                                                                                                                                                                    -- Need ON
         nonCriticalExtension
                                                                                                                                                                        OPTIONAL
UEInformationRequest-v1710-IEs ::= SEQUENCE {
                                                                                       JENCE {
ENUMERATED {true} OPTIONAL
         coarseLocationReq-r17
                                                                                                                                                                        OPTIONAL,
                                                                                                                                                                                                  -- Need ON
         nonCriticalExtension
 -- ASN1STOP
```

### UEInformationRequest field descriptions

## coarseLocationReq

This field is used to request UE to report coarse location information.

### rach-ReportReq

This field is used to indicate whether the UE shall report information about the random access procedure.

# UEInformationResponse

The UEInformationResponse message is used by the UE to transfer the information requested by the E-UTRAN.

Signalling radio bearer: SRB1 or SRB2 (when logged measurement information is included)

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

### UEInformationResponse message

```
-- ASN1START
UEInformationResponse-r9 ::= SEQUENCE {
    rrc-TransactionIdentifier RRC-TransactionIdentifier,
    criticalExtensions CHOICE {
             ueInformationResponse-r9 CHOICE {
spare3 NIII I
                                                  UEInformationResponse-r9-IEs,
             spare3 NULL, spare2 NULL, spare1 NULL
         criticalExtensionsFuture
                                                SEQUENCE {}
}
UEInformationResponse-r9-IEs ::= SEQUENCE {
                                                RACH-Report-r16 OPTIONAL,
RLF-Report-r9 OPTIO
    rach-Report-r9
                                                                           OPTIONAL,
    rlf-Report-r9
    nonCriticalExtension
                                                 UEInformationResponse-v930-IEs
                                                                                              OPTIONAL
-- Late non critical extensions
UEInformationResponse-v9e0-IEs ::= SEQUENCE {
                              RLF-Report-v9e0
    rlf-Report-v9e0
                                                                                OPTIONAL,
    nonCriticalExtension
                                            SEQUENCE {}
                                                                               OPTIONAL
}
-- Regular non critical extensions
UEInformationResponse-v930-IEs ::= SEQUENCE {
    lateNonCriticalExtension OCTET STRING (CONTAINING UEInformationResponse-v9e0-IEs)
    OPTIONAL,
                                 UEInformationResponse-v1020-IES OPTIONAL
    nonCriticalExtension
UEInformationResponse-v1020-IEs ::= SEQUENCE {
                             LogMeasReport-r10
    logMeasReport-r10
                                                                                    OPTIONAL.
                                            UEInformationResponse-v1130-IES OPTIONAL
    nonCriticalExtension
UEInformationResponse-v1130-IEs ::= SEQUENCE {
    connEstFailReport-r11 ConnEstFailReport-r11
                                            UEInformationResponse-v1250-IEs
                                                                                    OPTIONAL,
    nonCriticalExtension
                                                                                     OPTIONAL
}
UEInformationResponse-v1250-IEs ::= SEQUENCE {
                                            UEInformationResponse-v1530-IES OPTIONAL,
    mobilityHistoryReport-r12 MobilityHistoryReport-r12
    nonCriticalExtension
}
UEInformationResponse-v1530-IEs ::= SEQUENCE {
                                            FlightPathInfoReport-r15 OPTIONAL,
UEInformatical
   measResultListIdle-r15 MeasResultListIdle-r15 OPTIONAL, flightPathInfoReport-r15 FlightPathInfoReport-r15 OPTIONAL, nonCriticalExtension UEInformationResponse-v1610-IEs OPTIONAL
}
UEInformationResponse-v1610-IEs ::= SEQUENCE {
    rach-Report-v1610 RACH-Report-v1610
measResultListExtIdle-r16 MeasResultListExtIdle
measResultListIdleNR-r16 MeasResultListIdle
nonCriticalExtension UEInformationPoses
                                            MeasResultListExtIdle-r16 OPTIONAL,
MeasResultListIdleNR-r16 OPTIONAL,
                                            UEInformationResponse-v1710-IEs OPTIONAL
}
UEInformationResponse-v1710-IEs ::= SEQUENCE {
```

```
coarseLocationInfo-r17 OCTET STRING
                                                                             OPTIONAL,
    nonCriticalExtension
                                               SEQUENCE {}
                                                                             OPTIONAL
}
RACH-Report-r16 ::=
                                     SEQUENCE {
                                    NumberOfPreamblesSent-r11,
  numberOfPreamblesSent-r16
   contentionDetected-r16
                                          BOOLEAN
}
RACH-Report-v1610 ::= SEQUENCE {
   initialCEL-r16
                                          INTEGER (0..3),
    edt-Fallback-r16
                                          BOOLEAN
RLF-Report-r9 ::=
                                     SEQUENCE {
   measResultLastServCell-r9
                                      SEQUENCE {
                                             RSRP-Range,
        rsrpResult-r9
        rsrqResult-r9
                                               RSRQ-Range
                                                                                OPTIONAL
    measResultNeighCells-r9
                                        SEQUENCE {
        measResultListEUTRA-r9
                                         MeasResultList2EUTRA-r9
MeasResultList2UTRA-r9
MeasResultListGERAN
                                                                                OPTIONAL,
                                                                                OPTIONAL,
        measResultListUTRA-r9
        measResultListGERAN-r9
measResultsCDMA2000-r9
                                                                                  OPTIONAL,
                                             MeasResultList2CDMA2000-r9
                                                                                OPTIONAL
        OPTIONAL,
    }
    [[ locationInfo-r10
                                        LocationInfo-r10
                                                                                OPTIONAL,
                                         CHOICE {
        failedPCellId-r10
            cellGlobalId-r10
                                                CellGlobalIdEUTRA,
                                                   SEQUENCE {
            pci-arfcn-r10
                physCellId-r10
                                                   PhysCellId,
                                                       ARFCN-ValueEUTRA
                 carrierFreq-r10
                                                                                 OPTIONAL.
        reestablishmentCellId-r10 CellGlobalIdEUTRA
timeConnFailure-r10 INTEGER (0..1023)
connectionFailureType-r10 ENUMERATED {rlf, hof}
previousPCellId-r10 CellGlobalIdEUTRA
                                                                                 OPTIONAL,
                                                                                 OPTIONAL,
                                                                                 OPTIONAL,
                                                                                 OPTIONAL
    11,
           lledPCellId-v1090 SEQUENCE {
carrierFreq-v1090 ARFCN-
    [[ failedPCellId-v1090
                                            ARFCN-ValueEUTRA-v9e0
        }
                                                                                 OPTIONAL
    ]],
[[ basicFields-r11
                             SEQUENCE {
                                             C-RNTI,
            rlf-Cause-r11
                                               ENUMERATED {
                                                   t310-Expiry, randomAccessProblem,
                                                   rlc-MaxNumRetx, t312-Expiry-r12},
            timeSinceFailure-r11
                                               TimeSinceFailure-r11
                                                                                OPTIONAL,
        previousUTRA-CellId-r11
carrierFreq-r11
                                      SEQUENCE {
                                         ARFCN-ValueUTRA,
            physCellId-r11
                                               CHOICE {
                                                   PhysCellIdUTRA-FDD,
                 fdd-r11
                 tdd-r11
                                                   PhysCellIdUTRA-TDD
             cellGlobalId-r11
                                              CellGlobalIdUTRA
                                                                                 OPTIONAL
                                                                                 OPTIONAL,
        selectedUTRA-CellId-rl1 SEQUENCE {
    carrierFreq-rl1 ARFCN-'
    physCellId-rl1 CHOICE
                                              ARFCN-ValueUTRA,
                                               CHOICE {
             physCellId-r11
                 fdd-r11
                                                   PhysCellIdUTRA-FDD,
                                                   PhysCellIdUTRA-TDD
                 tdd-r11
             }
        }
                                                                                OPTIONAL
    ]], ´
[[ failedPCellId-v1250
tac-FailedPCell-r12
                                        SEQUENCE {
                                           TrackingAreaCode
                                                                                 OPTIONAL.
        measResultLastServCell-v1250 RSRQ-Range-v1250 lastServCellRSRQ-Type-r12 RSRQ-Type-r12 measResultListEUTRA-v1250 MeasResultList2EU
                                                                                 OPTIONAL,
                                                                                 OPTIONAL,
                                          MeasResultList2EUTRA-v1250
                                                                                 OPTIONAL
    11,
    [[ drb-EstablishedWithQCI-1-r13 ENUMERATED {qcil}
                                                                                 OPTIONAL
    ]],
    [[ measResultLastServCell-v1360 RSRP-Range-v1360
                                                                                 OPTIONAL
    [[ logMeasResultListBT-r15 LogMeasResultListBT-r15 OPTIONAL,
```

```
logMeasResultListWLAN-r15 LogMeasResultListWLAN-r15
                                                                                OPTIONAL
        measResultListNR-r16 MeasResultCellListNR-r15
previousNR-PCellId-r16 CellGlobalIdNR-r16
failedNR-PCellId-r16 CHOICE {
   cellGlobalId CellGlobalId
   pci-arfcn
    ]],
[[ measResultListNR-r16
                                                                                 OPTIONAL,
                                                                                 OPTIONAL,
                                             SEQUENCE {
                 physCellId-r16
carrierFreq-r16
                                                   PhysCellIdNR-r15,
                                             ARFCN-ValueNR-r15
            nrReconnectCellId CellGlobalIdNR-r16,
eutraReconnectCellId SEQUENCE {
   cellGlobalId-r16
   trackingAreaCell
        }
                                                                                 OPTIONAL,
        reconnectCellId-r16
                cellGlobalId-r16 CellGlobalIdEUTRA,
trackingAreaCode-EPC-r16 TrackingAreaCode OPTIONAL,
trackingAreaCode-5GC-r16 TrackingAreaCode-5GC-r15 OPTIONAL
                                                                                 OPTIONAL,
        timeUntilReconnection-r16
                                         TimeUntilReconnection-r16
                                                                                 OPTIONAL
    11.
        measResultListNR-v1640
                                        SEQUENCE {
            carrierFreqNR-r16
                                          ARFCN-ValueNR-r15
                                                                                OPTIONAL.
        measResultListExtNR-r16
                                         MeasResultFreqListNR-r16
                                                                           OPTIONAL
    11
}
RLF-Report-v9e0 ::=
                                SEQUENCE {
    measResultListEUTRA-v9e0
                                         MeasResultList2EUTRA-v9e0
MeasResultList2EUTRA-r9 ::=
                                        SEQUENCE (SIZE (1..maxFreq)) OF MeasResult2EUTRA-r9
MeasResultList2EUTRA-v9e0 ::=
                                         SEQUENCE (SIZE (1..maxFreq)) OF MeasResult2EUTRA-v9e0
MeasResultList2EUTRA-v1250 ::=
                                         SEQUENCE (SIZE (1..maxFreq)) OF MeasResult2EUTRA-v1250
                             SEQUENCE {
MeasResult2EUTRA-r9 ::=
   carrierFreq-r9
                                           ARFCN-ValueEUTRA,
    measResultList-r9
                                           MeasResultListEUTRA
}
MeasResult2EUTRA-v9e0 ::=
                                      SEQUENCE {
   carrierFreq-v9e0
                                         ARFCN-ValueEUTRA-v9e0
                                                                      OPTIONAL
}
MeasResult2EUTRA-v1250 ::=
                                      SEQUENCE {
                                          RSRQ-Type-r12 OPTIONAL
   rsrq-Type-r12
                                     SEQUENCE (SIZE (1..maxFreq)) OF MeasResult2UTRA-r9
MeasResultList2UTRA-r9 ::=
MeasResult2UTRA-r9 ::=
                                      SEQUENCE {
                                          ARFCN-ValueUTRA,
   carrierFreg-r9
                                           MeasResultListUTRA
    measResultList-r9
MeasResultList2CDMA2000-r9 ::= SEQUENCE (SIZE (1..maxFreq)) OF MeasResult2CDMA2000-r9
MeasResult2CDMA2000-r9 ::=
                                     SEQUENCE {
   carrierFreq-r9
                                           CarrierFreqCDMA2000,
    measResultList-r9
                                           MeasResultsCDMA2000
}
LogMeasReport-r10 ::=
                                     SEQUENCE {
    MeasReport-r10 ::= SEQUENCE {
  absoluteTimeStamp-r10 AbsoluteTimeInfo-r10,
  traceReference-r10 TraceReference-r10.
    traceReference-r10
                                          TraceReference-r10,
    traceReierence-110
traceRecordingSessionRef-r10
oCTET STRING (SIZE (2)),
tce-Id-r10
oCTET STRING (SIZE (1)),
                                         LogMeasInfoList-r10,
    logMeasInfoList-r10
    logMeasAvailable-r10
                                          ENUMERATED {true}
                                                                            OPTIONAL,
    OPTIONAL,
                                                                             OPTIONAL
    ]]
}
```

```
LogMeasInfoList-r10 ::= SEQUENCE (SIZE (1..maxLogMeasReport-r10)) OF LogMeasInfo-r10
LogMeasInfo-r10 ::=
                       SEQUENCE {
    locationInfo-r10
                                        LocationInfo-r10
                                                                          OPTIONAL.
    relativeTimeStamp-r10
                                         INTEGER (0..7200),
    servCellIdentity-r10
                                         CellGlobalIdEUTRA,
                                        SEQUENCE {
    measResultServCell-r10
                                             RSRP-Range,
       rsrpResult-r10
        rsrqResult-r10
                                             RSRQ-Range
    measResultNeighCells-r10
                                       SEQUENCE {
        measResultListEUTRA-r10
                                        MeasResultList2EUTRA-r9 OPTIONAL,
MeasResultList2UTRA-r9 OPTIONAL,
MeasResultList2GERAN-r10 OPTIONAL,
       measResultListEUTRA-r10
measResultListUTRA-r10
measResultListGERAN-r10
measResultListCDMA2000-r10
                                            MeasResultList2CDMA2000-r9 OPTIONAL
    }
       OPTIONAL,
    [[ measResultListEUTRA-v1090
                                           MeasResultList2EUTRA-v9e0 OPTIONAL
    ]],
    [[ measResultListMBSFN-r12
                                             MeasResultListMBSFN-r12
                                                                          OPTIONAL,
                                             RSRQ-Range-v1250
        measResultServCell-v1250
                                                                          OPTIONAL,
                                                                          OPTIONAL,
        servCellRSRQ-Type-r12
                                             RSRQ-Type-r12
        measResultListEUTRA-v1250
                                             MeasResultList2EUTRA-v1250 OPTIONAL
    ]],
    [[ inDeviceCoexDetected-r13
                                             ENUMERATED {true}
                                                                          OPTIONAL
    ]],
    [[ measResultServCell-v1360
                                             RSRP-Range-v1360
                                                                          OPTIONAL
    ]],
    [[ logMeasResultListBT-r15
                                            LogMeasResultListBT-r15
                                                                          OPTIONAL.
        logMeasResultListWLAN-r15
                                             LogMeasResultListWLAN-r15 OPTIONAL
    [[ anyCellSelectionDetected-r15
                                            ENUMERATED {true}
                                                                          OPTIONAL
    ]],
                                            MeasResultCellListNR-r15 OPTIONAL
    [[ measResultListNR-r16
    ]],
    [[ measResultListNR-v1640
                                        SEQUENCE {
           carrierFregNR-r16
                                            ARFCN-ValueNR-r15
                                                                              OPTIONAL,
        measResultListExtNR-r16 MeasResultFreqListNR-r16
                                                                          OPTIONAL
    ]],
    [[
        uncomBarPreMeasResult-r17
                                                 OCTET STRING
                                                                     OPTIONAL
    11
}
MeasResultListMBSFN-r12 ::=
                                   SEQUENCE (SIZE (1..maxMBSFN-Area)) OF MeasResultMBSFN-r12
MeasResultMBSFN-r12 ::=
                               SEQUENCE {
                                SEQUENCE {
   mbsfn-Area-r12
        mbsfn-AreaId-r12
                                        MBSFN-Areald-r12,
                                    MBSFN-ALEALG 112,
ARFCN-ValueEUTRA-r9
       carrierFreq-r12
    rsrpResultMBSFN-r12
                                    RSRP-Range,
                                   MBSFN-RSRQ-Range-r12,
   rsrqResultMBSFN-r12
    signallingBLER-Result-r12
                                    BLER-Result-r12
                                                                      OPTIONAL.
   signallingBLER-Result-r12 BLER-Result-r12 dataBLER-MCH-ResultList-r12 DataBLER-MCH-ResultList-r12
                                                                     OPTIONAL,
}
DataBLER-MCH-ResultList-r12 ::=
                                    SEQUENCE (SIZE (1.. maxPMCH-PerMBSFN)) OF DataBLER-MCH-Result-
r12
DataBLER-MCH-Result-r12 ::=
                                     SEQUENCE {
   mch-Index-r12
                                        INTEGER (1..maxPMCH-PerMBSFN),
                                        BLER-Result-r12
    dataBLER-Result-r12
}
BLER-Result-r12 ::=
                                     SEQUENCE {
   bler-r12
                                       BLER-Range-r12,
                                         SEQUENCE {
    blocksReceived-r12
        n-r12
                                             BIT STRING (SIZE (3)),
                                             BIT STRING (SIZE (8))
        m-r12
}
BLER-Range-r12 ::=
                                        INTEGER(0..31)
MeasResultList2GERAN-r10 ::=
                                        SEQUENCE (SIZE (1..maxCellListGERAN)) OF MeasResultListGERAN
```

```
MeasResultFreqListNR-r16::= SEQUENCE (SIZE (1..maxFreq-1-r16)) OF MeasResultFreqFailNR-r15
ConnEstFailReport-r11 ::=
                                       SEQUENCE {
                                       CellGlobalIdEUTRA,
    failedCellId-r11
    locationInfo-r11
                                        LocationInfo-r10
                                                                            OPTIONAL,
    measResultFailedCell-r11
                                       SEQUENCE {
       rsrpResult-r11
                                            RSRP-Range,
                                           RSRQ-Range
                                                                            OPTIONAL
       rsrqResult-r11
    measResultNeighCells-r11
                                      SEQUENCE {
                                       MeasResultList2EUTRA-r9
       measResultListEUTRA-r11
                                                                          OPTIONAL,
                                           MeasResultList2UTRA-r9
        measResultListUTRA-r11
                                                                           OPTIONAL.
       measResultListGERAN-r11
                                           MeasResultListGERAN
                                                                            OPTIONAL.
        measResultsCDMA2000-r11
                                           MeasResultList2CDMA2000-r9
                                                                           OPTIONAL
       OPTIONAL,
   numberOfPreamblesSent-r11
                                      NumberOfPreamblesSent-r11,
                                       BOOLEAN,
    contentionDetected-r11
    maxTxPowerReached-r11
                                       BOOLEAN,
    timeSinceFailure-r11
                                       TimeSinceFailure-r11,
   measResultListEUTRA-v1130
                                       MeasResultList2EUTRA-v9e0
                                                                           OPTIONAL,
    [[ measResultFailedCell-v1250 RSRQ-Range-v1250 failedCellRSRQ-Type-r12 RSRQ-Type-r12 measResultListEUTRA-v1250 MeasResultList2EUTRA-v1250
                                                                            OPTIONAL,
                                                                            OPTIONAL,
                                                                            OPTIONAL
    ]],
    [[ measResultFailedCell-v1360
                                       RSRP-Range-v1360
                                                                            OPTIONAL
    ]],
       logMeasResultListBT-r15
                                       LogMeasResultListBT-r15
    [ [
                                                                            OPTIONAL,
        logMeasResultListWLAN-r15
                                       LogMeasResultListWLAN-r15
                                                                            OPTIONAL
    ]],
    [[ measResultListNR-r16
                                        MeasResultCellListNR-r15
                                                                            OPTIONAL
    ]],
    [[ measResultListNR-v1640
                                        SEQUENCE {
                                           ARFCN-ValueNR-r15
           carrierFregNR-r16
                                                                            OPTIONAL,
        measResultListExtNR-r16
                                       MeasResultFreqListNR-r16
                                                                        OPTIONAL
    ]]
}
NumberOfPreamblesSent-r11::=
                                       INTEGER (1..200)
TimeSinceFailure-r11 ::=
                                       INTEGER (0..172800)
TimeUntilReconnection-r16 ::=
                                       INTEGER (0..172800)
MobilityHistoryReport-r12 ::=
                              VisitedCellInfoList-r12
FlightPathInfoReport-r15 ::=
                                    SEQUENCE {
    flightPath-r15 SEQUENCE (SIZE (1..maxWayPoint-r15)) OF WayPointLocation-r15
                                                                                    OPTIONAL,
    dummy
                                    SEQUENCE {}
WayPointLocation-r15 ::=
                                    SEQUENCE {
    wayPointLocation-r15
                                                LocationInfo-r10,
    timeStamp-r15
                                           AbsoluteTimeInfo-r10
                                                                      OPTIONAL
-- ASN1STOP
```

### **UEInformationResponse** field descriptions

#### absoluteTimeStamp

Indicates the absolute time when the logged measurement configuration logging is provided, as indicated by E-UTRAN within absoluteTimeInfo.

### anvCellSelectionDetected

This field is used to indicate the detection of *any cell selection* state, as defined in TS 36.304 [4]. The UE sets this field when performing the logging of measurement results in RRC\_IDLE and there is no suitable cell or no acceptable cell.

#### hler

Indicates the measured BLER value. The coding of BLER value is defined in TS 36.133 [16].

#### blocksReceived

Indicates total number of MCH blocks, which were received by the UE and used for the corresponding BLER calculation, within the measurement period as defined in TS 36.133 [16].

### carrierFreq

In case the UE includes *carrierFreq-v9e0* and/ or *carrierFreq-v1090*, the UE shall set the corresponding entry of *carrierFreq-r9* and/ or *carrierFreq-r10* respectively to *maxEARFCN*. For E-UTRA and UTRA frequencies, the UE sets the ARFCN according to the band used when obtaining the concerned measurement results.

### carrierFreqNR

In case the UE includes *measResultListNR*, the UE uses this field to indicate the ARFCN value according to the band used when obtaining the concrned measurement results

### connectionFailureType

This field is used to indicate whether the connection failure is due to radio link failure or handover failure.

#### contentionDetected

This field is used to indicate that contention was detected for at least one of the transmitted preambles, see TS 36.321 [6].

### coarseLocationInfo

This field indicates the coarse location information reported by the UE. This field is coded as the *Ellipsoid-Point* IE defined in TS 37.355 [109]. The first/leftmost bit of the first octet contains the most significant bit. The least significant bits of *degreesLatitude* and *degreesLongitude* are set to 0 to meet the accuracy requirement which corresponds to a granularity of approximately 2 km.

It is up to UE implementation as to how many LSBs are set to 0 to meet the accuracy requirement.

#### c-RNTI

This field indicates the C-RNTI used in the PCell upon detecting radio link failure or the C-RNTI used in the source PCell upon handover failure.

### dataBLER-MCH-ResultList

Includes a BLER result per MCH on subframes using *dataMCS*, with the applicable MCH(s) listed in the same order as in *pmch-InfoList* within *MBSFNAreaConfiguration*.

### drb-EstablishedWithQCI-1

This field is used to indicate the radio link failure occurred while a bearer with QCI value equal to 1 was configured, see TS 24.301 [35].

### dummy

This field is not used in the specification. It shall not be sent by the UE.

### edt-Fallback

Value TRUE indicates the last successfully completed random access procedure was initiated with EDT PRACH resource and succeeded after receiving EDT fallback indication from lower layers.

# failedCellId

This field is used to indicate the cell in which connection establishment failed.

### failedPCellId

This field is used to indicate the PCell in which RLF is detected or the target PCell of the failed handover. The UE sets the EARFCN according to the band used for transmission/ reception when the failure occurred.

### inDeviceCoexDetected

Indicates that measurement logging is suspended due to IDC problem detection.

### initialCEL

Indicates the initial CE level used for the last successfully completed random access procedure for BL UEs and UEs in CE.

# logMeasResultListBT

This field refers to the Bluetooth measurement results.

# logMeasResultListWLAN

This field refers to the WLAN measurement results.

### maxTxPowerReached

This field is used to indicate whether or not the maximum power level was used for the last transmitted preamble, see TS 36.321 [6].

# mch-Index

Indicates the MCH by referring to the entry as listed in *pmch-InfoList* within *MBSFNAreaConfiguration*.

# measResultFailedCell

This field refers to the last measurement results taken in the cell, where connection establishment failure happened. For UE supporting CE Mode B, when CE mode B is not restricted by upper layers, *measResultFailedCell-v1360* is reported if the measured RSRP is less than -140 dBm.

### **UEInformationResponse** field descriptions

#### measResultLastServCell

This field refers to the last measurement results taken in the PCell, where radio link failure or handover failure happened. For BL UEs or UEs in CE, when operating in CE Mode B, *measResultLastServCell-v1360* is reported if the measured RSRP is less than -140 dBm.

### measResultListEUTRA

If measResultListEUTRA-v9e0, measResultListEUTRA-v1090 or measResultListEUTRA-v1130 is included, the UE shall include the same number of entries, and listed in the same order, as in measResultListEUTRA-r9, measResultListEUTRA-r10 and/ or measResultListEUTRA-r11 respectively.

### measResultListEUTRA-v1250

If included in *RLF-Report-r9* the UE shall include the same number of entries, and listed in the same order, as in *measResultListEUTRA-r9*.

If included in *LogMeasInfo-r10* the UE shall include the same number of entries, and listed in the same order, as in *measResultListEUTRA-r10*.

If included in ConnEstFailReport-r11 the UE shall include the same number of entries, and listed in the same order, as in measResultListEUTRA-r11.

### measResultListIdle

This field indicates the E-UTRA measurement results done during RRC\_IDLE and RRC\_INACTIVE at network request.

### measResultListIdleNR

This field indicates the NR measurement results done during RRC\_IDLE and RRC\_INACTIVE at network request.

### measResultListNR, measResultListExtNR

Includes NR measurement results, with *measResultListNR* including results of a first NR frequency and *measResultListExtNR* including results of additinal NR frequencies, if available.

#### measResultServCell

This field refers to the log measurement results taken in the Serving cell. For UE supporting CE Mode B, when CE mode B is not restricted by upper layers, *measResultServCell-v1360* is reported if the measured RSRP is less than -140 dBm.

### mobilityHistoryReport

This field is used to indicate the time of stay in 16 most recently visited E-UTRA cells or of stay out of E-UTRA.

### numberOfPreamblesSent

This field is used to indicate the number of RACH preambles that were transmitted. Corresponds to parameter PREAMBLE\_TRANSMISSION\_COUNTER in TS 36.321 [6].

### previousPCellId

This field is used to indicate the source PCell of the last handover (source PCell when the last *RRCConnectionReconfiguration* message including *mobilityControlInfo* was received).

### previousUTRA-CellId

This field is used to indicate the source UTRA cell of the last successful handover to E-UTRAN, when RLF occurred at the target PCell. The UE sets the ARFCN according to the band used for transmission/ reception on the concerned cell

### reconnectCellId

This field is used to indicate the cell in which the UE comes back to connected after connection failure and after failing to perform reestablishment. If the UE comes back to RRC CONNECTED in an NR cell then *nrReconnectCellID* is included and if the UE comes back to RRC CONNECTED in an LTE cell then *eutraReconnectCellID* is included.

### reestablishmentCellId

This field is used to indicate the cell in which the re-establishment attempt was made after connection failure.

### relativeTimeStamp

Indicates the time of logging measurement results, measured relative to the absoluteTimeStamp. Value in seconds.

### rlf-Cause

This field is used to indicate the cause of the last radio link failure that was detected. In case of handover failure information reporting (i.e., the *connectionFailureType* is set to 'hof'), the UE is allowed to set this field to any value.

### selectedUTRA-CellId

This field is used to indicate the UTRA cell that the UE selects after RLF is detected, while T311 is running. The UE sets the ARFCN according to the band selected for transmission/ reception on the concerned cell.

## signallingBLER-Result

Includes a BLER result of MBSFN subframes using signallingMCS.

### tac-FailedPCell

This field is used to indicate the Tracking Area Code of the PCell in which RLF is detected.

### tce-ld

Parameter Trace Collection Entity Id: See TS 32.422 [58].

### timeConnFailure

This field is used to indicate the time elapsed since the last HO initialization until connection failure. Actual value = field value \* 100ms. The maximum value 1023 means 102.3s or longer.

# timeSinceFailure

This field is used to indicate the time that elapsed since the connection (establishment) failure. Value in seconds. The maximum value 172800 means 172800s or longer.

### UEInformationResponse field descriptions

#### timeStamp

Includes time stamps for the waypoints that describe planned locations for the UE.

#### timeUntilReconnection

This field is used to indicate the time that elapsed between the connection (radio link or handover) failure and the next time the UE comes to RRC CONNECTED in an NR or EUTRA cell, after failing to perform reestablishment. Value in seconds. The maximum value 172800 means 172800s or longer.

### traceRecordingSessionRef

Parameter Trace Recording Session Reference: See TS 32.422 [58].

### uncomBarPreMeasResult

This field provides barometric pressure measurements as *Sensor-MeasurementInformation* defined in TS 37.355 [109]. The first/leftmost bit of the first octet contains the most significant bit.

### wayPointLocation

Includes location coordinates for a UE for Aerial UE operation. The waypoints describe planned locations for the UE.

# ULHandoverPreparationTransfer (CDMA2000)

The *ULHandoverPreparationTransfer* message is used for the uplink transfer of handover related CDMA2000 information when requested by the higher layers.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

### ULHandoverPreparationTransfer message

```
-- ASN1START
                                   SEQUENCE {
ULHandoverPreparationTransfer ::=
    criticalExtensions
                                       CHOICE {
                                           CHOICE {
       c1
            ulHandoverPreparationTransfer-r8
                                                   ULHandoverPreparationTransfer-r8-IEs,
            spare3 NULL, spare2 NULL, spare1 NULL
       criticalExtensionsFuture
                                               SEQUENCE {}
}
ULHandoverPreparationTransfer-r8-IEs ::= SEQUENCE {
   cdma2000-Type
                                       CDMA2000-Type,
                                       BIT STRING (SIZE (56))
    meid
                                                                                OPTIONAL,
    dedicatedInfo
                                       DedicatedInfoCDMA2000,
    nonCriticalExtension
                                       ULHandoverPreparationTransfer-v8a0-IEs OPTIONAL
}
ULHandoverPreparationTransfer-v8a0-IEs ::= SEQUENCE {
    lateNonCriticalExtension
                                       OCTET STRING
                                                                           OPTIONAL,
    nonCriticalExtension
                                       SEQUENCE {}
                                                                            OPTIONAL
-- ASN1STOP
```

### ULHandoverPreparationTransfer field descriptions

### meia

The 56 bit mobile identification number provided by the CDMA2000 Upper layers.

### UI InformationTransfer

The *ULInformationTransfer* message is used for the uplink transfer of NAS, non-3GPP dedicated information, or IAB-DU specific F1-C related information.

Signalling radio bearer: SRB2 or SRB1 (only if SRB2 not established yet). If SRB2 is suspended, the UE does not send this message until SRB2 is resumed. If only *dedicatedInfoF1c* is included, SRB2 is used.

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

### ULInformationTransfer message

```
-- ASN1START
ULInformationTransfer ::=
                                     SEQUENCE {
    criticalExtensions
                                        CHOICE {
                                            CHOICE {
        c1
                                            ULInformationTransfer-r8-IEs,
            ulInformationTransfer-r8
            ulInformationTransfer-r16
spare2 NULL, spare1 NULL
                                                ULInformationTransfer-r16-IEs,
                                   SEQUENCE {}
        criticalExtensionsFuture
}
ULInformationTransfer-r8-IEs ::= SEQUENCE {
                          CHOICE {
   dedicatedInfoType
        dedicatedInfoNAS DedicatedInfoNAS, dedicatedInfoCDMA2000-1XRTT DedicatedInfoCDMA2000 dedicatedInfoCDMA2000-HRPD DedicatedInfoCDMA2000
                                            DedicatedInfoCDMA2000,
                              ULInformationTransfer-v8a0-IEs
    nonCriticalExtension
                                                                              OPTIONAL
}
ULInformationTransfer-v8a0-IEs ::= SEQUENCE {
    lateNonCriticalExtension OCTET STRING
                                                                             OPTIONAL,
    nonCriticalExtension
                                         SEQUENCE {}
                                                                             OPTIONAL
ULInformationTransfer-r16-IEs ::= SEQUENCE {
                            CHOICE {
    dedicatedInfoType-r16
        dedicatedInfoCDMA2000-1XRTT-r16 DedicatedInfoCDMA2000
                                            DedicatedInfoCDMA2000,
                                          DedicatedInfoCDMA2000
        dedicatedInfoCDMA2000-HRPD-r16
                                                                              OPTIONAL,
    dedicatedInfoF1c-r16
                                       DedicatedInfoF1c-r16
                                                                              OPTIONAL.
    nonCriticalExtension
                                        ULInformationTransfer-v8a0-IEs OPTIONAL
-- ASN1STOP
```

# ULInformationTransferIRAT

The *ULInformationTransferIRAT* message is used for the uplink transfer of information terminated by E-UTRAN but specified by another RAT. In this release of the specification, the message is used for sidelink information specified by TS 38.331.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

### **ULInformationTransferIRAT** message

# ULInformationTransferIRAT field descriptions

### ul-DCCH-MessageNR

Includes the *UL-DCCH-Message* as defined in TS 38.331 [82]. In this version of the specification, the field is only used to transfer the NR RRC *MeasurementReport*, NR RRC *SidelinkUEInformationNR* and the NR RRC *UEAssistanceInformation* messages.

This field is not applicable to 5GS Proximity based Services (ProSe) as defined in TS 23.304 [112] in this release.

### ULInformationTransferMRDC

The *ULInformationTransferMRDC* message is used for the uplink transfer of MR DC information (i.e. for the case the SCG employs another RAT e.g. for transferring the NR RRC Measurement Report message).

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

### ULInformationTransferMRDC message

```
-- ASN1START
ULInformationTransferMRDC-r15 ::= SEQUENCE {
                             CHOICE {
   criticalExtensions
          c1
                                             ULInformationTransferMRDC-r15-IEs,
          spare3 NULL, spare2 NULL, spare1 NULL
      criticalExtensionsFuture
                                      SEQUENCE {}
   }
ULInformationTransferMRDC-r15-IEs ::=
                                 SEQUENCE {
   ul-DCCH-MessageNR-r15 OCTET STRING
                                                              OPTIONAL.
   lateNonCriticalExtension
                              OCTET STRING
                                                              OPTIONAL,
   nonCriticalExtension
                              SEQUENCE {}
                                                              OPTIONAL
-- ASN1STOP
```

### ULInformationTransferMRDC field descriptions

## ul-DCCH-MessageNR

Includes the *UL-DCCH-Message* as defined in TS 38.331 [82]. In this version of the specification, the field is only used to transfer the NR RRC *MeasurementReport*, NR RRC *UEAssistanceInformation*, NR RRC *IABOtherInformation*, NR RRC *FailureInformation*, and the NR RRC *RRCReconfigurationComplete* messages.

# WLANConnectionStatusReport

The WLANConnectionStatusReport message is used to inform the successful connection to WLAN or failure of the WLAN connection or connection attempt(s).

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

### WLANConnectionStatusReport message

```
-- ASN1START
WLANConnectionStatusReport-r13 ::= SEQUENCE {
                            CHOICE {
    criticalExtensions
                                                CHOICE {
             wlanConnectionStatusReport-r13
                                                  WLANConnectionStatusReport-r13-IEs,
             spare3 NULL, spare2 NULL, spare1 NULL
        criticalExtensionsFuture
                                               SEOUENCE { }
}
WLANConnectionStatusReport-r13-IEs ::= SEQUENCE {
    wlan-Status-r13 WLAN-Status-r13,
lateNonCriticalExtension OCTET STRING OPTIONAL
nonCriticalExtension WLANConnectionStatusReport-v1430-IES OPTIONAL
                                                                                    OPTIONAL,
WLANConnectionStatusReport-v1430-IEs ::= SEQUENCE {
    wlan-Status-v1430 WLAN-Status-v1430, nonCriticalExtension SEQUENCE {}
                                                                                     OPTIONAL
-- ASN1STOP
```

### WLANConnectionStatusReport field descriptions

### wlan-Status

Indicates the connection status to WLAN and the cause of failures. If the *wlan-Status-v1430* is included, E-UTRAN ignores the *wlan-Status-r13*.

# 6.3 RRC information elements

# 6.3.0 Parameterized types

# SetupRelease

SetupRelease allows the ElementTypeParam to be used as the referenced data type for the setup and release entries. See A.3.8 for guidelines.

```
-- ASN1START

SetupRelease { ElementTypeParam } ::= CHOICE { release NULL, setup ElementTypeParam }

-- ASN1STOP
```

# 6.3.1 System information blocks

# SystemInformationBlockPos

The IE SystemInformationBlockPos contains positioning assistance data as defined in TS 36.355 [54].

### SystemInformationBlockPos information element

```
-- ASN1START

SystemInformationBlockPos-r15 ::= SEQUENCE {
   assistanceDataSIB-Element-r15 OCTET STRING,
   lateNonCriticalExtension OCTET STRING OPTIONAL,
   ...
}

-- ASN1STOP
```

### SystemInformationBlockPos field descriptions

#### assistanceDataSIB-Element

Parameter AssistanceDataSIBelement defined in TS 36.355 [54]. The first/leftmost bit of the first octet contains the most significant bit.

# SystemInformationBlockType2

The IE SystemInformationBlockType2 contains radio resource configuration information that is common for all UEs.

NOTE: UE timers and constants related to functionality for which parameters are provided in another SIB are included in the corresponding SIB.

### SystemInformationBlockType2 information element

```
-- ASN1START
SystemInformationBlockType2 ::=
                                   SEQUENCE {
   ac-BarringInfo
                                      SEQUENCE {
       ac-BarringForEmergency
                                          BOOLEAN.
                                                                          OPTIONAL,
       ac-BarringForMO-Signalling
                                           AC-BarringConfig
                                                                                      -- Need OP
       ac-BarringForMO-Data
                                           AC-BarringConfig
                                                                           OPTIONAL
                                                                                       -- Need OP
                                                                                      -- Need OP
   radioResourceConfigCommon
                                       RadioResourceConfigCommonSIB,
   ue-TimersAndConstants
                                       UE-TimersAndConstants,
                                      SEQUENCE {
    fregInfo
       ul-CarrierFreq
                                           ARFCN-ValueEUTRA
                                                                           OPTIONAL,
                                                                                        -- Need OP
       ul-Bandwidth
                                           ENUMERATED {n6, n15, n25, n50, n75, n100}
                                                                           OPTIONAL,
                                                                                       -- Need OP
       additionalSpectrumEmission
                                          AdditionalSpectrumEmission
                              MBSFN-Subtraucc.
TimeAlignmentTimer,
   mbsfn-SubframeConfigList
                                      MBSFN-SubframeConfigList
                                                                         OPTIONAL,
                                                                                       -- Need OR
   timeAlignmentTimerCommon
   lateNonCriticalExtension
                                  OCTET STRING (CONTAINING SystemInformationBlockType2-v8h0-IEs)
                      OPTIONAL,
   [[ ssac-BarringForMMTEL-Voice-r9
                                           AC-BarringConfig
                                                                           OPTIONAL.
                                                                                       -- Need OP
                                                                                       -- Need OP
       ssac-BarringForMMTEL-Video-r9
                                           AC-BarringConfig
                                                                           OPTIONAL
                                                                       OPTIONAL
    [[
       ac-BarringForCSFB-r10
                                           AC-BarringConfig
    ]],
       ac-BarringSkipForMMTELVoice-r12 ENUMERATED {true} ac-BarringSkipForMMTELVideo-r12 ENUMERATED {true} ac-BarringSkipForSMS-r12 ENUMERATED {true}
                                                                       OPTIONAL.
    1 1
                                                                                   -- Need OP
                                                                       OPTIONAL,
                                                                                   -- Need OP
                                                                                   -- Need OP
                                                                       OPTIONAL,
       ac-BarringPerPLMN-List-r12
                                           AC-BarringPerPLMN-List-r12 OPTIONAL
                                                                                   -- Need OP
   11,
   [ [
       OPTIONAL
                                                                                   -- Need OP
   [[ acdc-BarringForCommon-r13
                                           ACDC-BarringForCommon-r13
                                                                           OPTIONAL,
                                                                                      -- Need OP
       acdc-BarringPerPLMN-List-r13
                                           ACDC-BarringPerPLMN-List-r13 OPTIONAL
                                                                                       -- Need OP
   ]],
    [[
       udt-RestrictingForCommon-r13
                                           UDT-Restricting-r13
                                                                           OPTIONAL,
                                                                                       -- Need OR
       udt-RestrictingPerPLMN-List-r13
                                           UDT-RestrictingPerPLMN-List-r13 OPTIONAL,
                                                                                       -- Need OR
       cIoT-EPS-OptimisationInfo-r13
                                           CIOT-EPS-OptimisationInfo-r13 OPTIONAL,
                                                                                       -- Need OP
       useFullResumeID-r13
                                           ENUMERATED {true}
                                                                           OPTIONAL
                                                                                       -- Need OP
   ]],
   [ [
       unicastFreqHoppingInd-r13
                                           ENUMERATED {true}
                                                                           OPTIONAL
                                                                                       -- Need OP
   11,
    [[ mbsfn-SubframeConfigList-v1430
                                           MBSFN-SubframeConfigList-v1430 OPTIONAL,
                                                                                       -- Need OP
       videoServiceCauseIndication-r14
                                           ENUMERATED {true}
                                                                           OPTIONAL
                                                                                       -- Need OP
```

```
[[ plmn-InfoList-r15
                                           PLMN-InfoList-r15
                                                                          OPTIONAL
                                                                                       -- Need OP
    ]],
                                                                          OPTIONAL,
    [[ cp-EDT-r15
                                           ENUMERATED {true}
                                                                                      -- Need OR
       idleModeMeasurements-r15
       up-EDT-r15
                                           ENUMERATED {true}
                                                                          OPTIONAL,
                                                                                      -- Need OR
                                                                                      -- Need OR
                                           ENUMERATED {true}
                                                                          OPTIONAL,
       reducedCP-LatencyEnabled-r15
                                          ENUMERATED {true}
                                                                          OPTIONAL
                                                                                      -- Need OR
    ]],
    [[ mbms-ROM-ServiceIndication-r15 ENUMERATED {true}
                                                                      OPTIONAL -- Need OR
                                                                          OPTIONAL,
    [[ rlos-Enabled-r16
                                          ENUMERATED {true}
                                                                                     -- Need OR
                                          ENUMERATED {true}
                                                                          OPTIONAL,
       earlySecurityReactivation-r16
                                                                                      -- Need OR
                                                                          OPTIONAL,
                                                                                      -- Need OR
        cp-EDT-5GC-r16
                                           ENUMERATED {true}
       up-EDT-5GC-r16
                                           ENUMERATED {true}
                                                                          OPTIONAL,
                                                                                      -- Need OR
       cp-PUR-EPC-r16
                                           ENUMERATED {true}
                                                                          OPTIONAL,
       up-PUR-EPC-r16
                                          ENUMERATED {true}
                                                                          OPTIONAL,
                                                                                      -- Need OR
                                                                          OPTIONAL,
       cp-PUR-5GC-r16
                                          ENUMERATED {true}
                                                                                      -- Need OR
        up-PUR-5GC-r16
                                           ENUMERATED {true}
                                                                          OPTIONAL,
                                                                                      -- Need OR
       mpdcch-CQI-Reporting-r16 ENUMERATED {fourBits, both} OPTIONAL, rai-ActivationEnh-r16 ENUMERATED {true} OPTIONAL,
                                                                                      -- Need OR
                                          ENUMERATED {true}
                                                                          OPTIONAL,
                                                                                      -- Need OR
       idleModeMeasurementsNR-r16
                                          ENUMERATED {true}
                                                                                      -- Need OR
   ]]
}
SystemInformationBlockType2-v8h0-IEs ::= SEQUENCE {
   multiBandInfoList SEQUENCE (SIZE (1..maxMultiBands)) OF AdditionalSpectrumEmission OPTIONAL, -- Need OR
    nonCriticalExtension
                                 SystemInformationBlockType2-v9e0-IEs OPTIONAL
SystemInformationBlockType2-v9e0-IEs ::= SEQUENCE {
   ul-CarrierFreq-v9e0 ARFCN-ValueEUTRA-v9e0 OPTIONAL, -- Cond ul-FreqMax nonCriticalExtension SystemInformationBlockType2-v9i0-IEs
    OPTIONAL
}
SystemInformationBlockType2-v9i0-IEs ::= SEQUENCE {
-- Following field is for any non-critical extensions from REL-9
   nonCriticalExtension
                                  OCTET STRING (CONTAINING SystemInformationBlockType2-v10m0-IEs)
                      OPTIONAL,
               SEQUENCE {} OPTIONAL
    dummy
}
{\tt SystemInformationBlockType2-v10m0-IEs} \ ::= \ {\tt SEQUENCE} \ \{
   freqInfo-v1010
                                      SEQUENCE {
       additionalSpectrumEmission-v1010
                                                  AdditionalSpectrumEmission-v1010
   multiBandInfoList-v1010
                                                         OPTIONAL,
                                      SEQUENCE (SIZE (1..maxMultiBands)) OF
               AdditionalSpectrumEmission-v1010
                                                               OPTIONAL,
   nonCriticalExtension SystemInformationBlockType2-v10n0-IEs
}
SystemInformationBlockType2-v10n0-IEs ::= SEQUENCE {
 - Following field is for non-critical extensions up-to REL-12
   lateNonCriticalExtension OCTET STRING
                                                                          OPTIONAL,
   nonCriticalExtension SystemInformationBlockType2-v13c0-IEs
                                                                          OPTIONAL
}
SystemInformationBlockType2-v13c0-IEs ::= SEQUENCE {
  uplinkPowerControlCommon-v13c0 UplinkPowerControlCommon-v1310 OPTIONAL, -- Need OR
-- Following field is for non-critical extensions from REL-13
   nonCriticalExtension
                                   SEQUENCE {} OPTIONAL
}
                                  SEQUENCE {
AC-BarringConfig ::=
   ac-BarringFactor
                                       ENUMERATED {
                                          p00, p05, p10, p15, p20, p25, p30, p40,
                                           p50, p60, p70, p75, p80, p85, p90, p95},
                                       ENUMERATED {s4, s8, s16, s32, s64, s128, s256, s512},
   ac-BarringTime
    ac-BarringForSpecialAC
                                       BIT STRING (SIZE(5))
}
                                  SEQUENCE (SIZE (1..maxMBSFN-Allocations)) OF MBSFN-
MBSFN-SubframeConfigList ::=
SubframeConfig
MBSFN-SubframeConfigList-v1430 ::= SEQUENCE (SIZE (1..maxMBSFN-Allocations)) OF MBSFN-
SubframeConfig-v1430
```

```
AC-BarringPerPLMN-List-r12 ::= SEQUENCE (SIZE (1.. maxPLMN-r11)) OF AC-BarringPerPLMN-r12
AC-BarringPerPLMN-r12 ::=
                                   SEOUENCE {
                                            INTEGER (1..maxPLMN-r11),
    plmn-IdentityIndex-r12
    ac-BarringInfo-r12
                                            SEQUENCE {
       ac-BarringForEmergency-r12
                                            BOOLEAN,
                                            AC-BarringConfig OPTIONAL,
AC-BarringConfig OPTIONAL
        ac-BarringForMO-Signalling-r12
                                                                 OPTIONAL,
                                                                             -- Need OP
                                                                             -- Need OP
       ac-BarringForMO-Data-r12
                                                                             -- Need OP
                                                                 OPTIONAL,
   ac-BarringSkipForMMTELVoice-r12 ENUMERATED {true} ac-BarringSkipForMMTELVideo-r12 ENUMERATED {true}
                                                              OPTIONAL,
                                                                             -- Need OP
                                        ENUMERATED {true}
                                                             OPTIONAL,
    ac-BarringSkipForSMS-r12
                                                                             -- Need OP
                                       AC-BarringConfig
AC-BarringConfig
AC-BarringConfig
                                                                             -- Need OP
   ac-BarringForCSFB-r12
   ssac-BarringForMMTEL-Voice-r12
ssac-BarringForMMTEL-Video-r12
                                                               OPTIONAL,
                                                                             -- Need OP
                                                                OPTIONAL
}
ACDC-BarringForCommon-r13 ::=
                                        SEQUENCE {
    acdc-HPLMNonly-r13
                                            BOOLEAN.
    barringPerACDC-CategoryList-r13
                                            BarringPerACDC-CategoryList-r13
ACDC-BarringPerPLMN-List-r13 ::= SEQUENCE (SIZE (1.. maxPLMN-r11)) OF ACDC-BarringPerPLMN-r13
ACDC-BarringPerPLMN-r13 ::=
                                    SECUENCE {
   plmn-IdentityIndex-r13
                                       INTEGER (1..maxPLMN-r11),
    acdc-OnlyForHPLMN-r13
                                        BOOLEAN,
   barringPerACDC-CategoryList-r13
                                       BarringPerACDC-CategoryList-r13
}
BarringPerACDC-CategoryList-r13 ::= SEQUENCE (SIZE (1..maxACDC-Cat-r13)) OF BarringPerACDC-Category-
BarringPerACDC-Category-r13 ::= SEQUENCE {
   acdc-Category-r13
                                    INTEGER (1..maxACDC-Cat-r13),
   acdc-BarringConfig-r13
                                    SEQUENCE {
       ac-BarringFactor-r13
                                        ENUMERATED {
                                            p00, p05, p10, p15, p20, p25, p30, p40,
                                            p50, p60, p70, p75, p80, p85, p90, p95}
       ac-BarringTime-r13
                                        ENUMERATED {s4, s8, s16, s32, s64, s128, s256, s512}
   }
                                            OPTIONAL
                                                        -- Need OP
}
UDT-Restricting-r13 ::= SEQUENCE {
    udt-Restricting-r13
                                        ENUMERATED {true}
                                                                    OPTIONAL, --Need OR
    udt-RestrictingTime-r13
                                        ENUMERATED {s4, s8, s16, s32, s64, s128, s256, s512}
OPTIONAL --Need OR
UDT-RestrictingPerPLMN-List-r13 ::= SEQUENCE (SIZE (1..maxPLMN-r11)) OF UDT-RestrictingPerPLMN-r13
UDT-RestrictingPerPLMN-r13 ::= SEQUENCE {
   plmn-IdentityIndex-r13 INTEGER (1..maxPLMN-r11),
    udt-Restricting-r13
                                    UDT-Restricting-r13
                                                                 OPTIONAL
}
CIOT-EPS-OptimisationInfo-r13 ::= SEQUENCE (SIZE (1.. maxPLMN-r11)) OF CIOT-OptimisationPLMN-r13
CIOT-OptimisationPLMN-r13::= SEQUENCE {
    up-CIoT-EPS-Optimisation-r13
                                        ENUMERATED {true}
                                                                    OPTIONAL,
                                                                                 -- Need OP
                                                                     OPTIONAL,
    cp-CIoT-EPS-Optimisation-r13
                                        ENUMERATED {true}
                                                                                 -- Need OP
    attachWithoutPDN-Connectivity-r13 ENUMERATED {true}
                                                                     OPTIONAL
                                                                                 -- Need OP
PLMN-InfoList-r15 ::=
                                    SEQUENCE (SIZE (1..maxPLMN-r11)) OF PLMN-Info-r15
                            SEQUENCE {
                                        ENUMERATED {true}
                                                                    OPTIONAL
                                                                                     -- Need OR
   upperLayerIndication-r15
-- ASN1STOP
```

### SystemInformationBlockType2 field descriptions

### ac-BarringFactor

If the random number drawn by the UE is lower than this value, access is allowed. Otherwise the access is barred. The values are interpreted in the range [0,1): p00 = 0, p05 = 0.05, p10 = 0.10,..., p95 = 0.95. Values other than p00 can only be set if all bits of the corresponding *ac-BarringForSpecialAC* are set to 0.

### ac-BarringForCSFB

Access class barring for mobile originating CS fallback.

### ac-BarringForEmergency

Access class barring for AC 10.

### ac-BarringForMO-Data

Access class barring for mobile originating calls.

### ac-BarringForMO-Signalling

Access class barring for mobile originating signalling.

### ac-BarringForSpecialAC

Access class barring for AC 11-15. The first/ leftmost bit is for AC 11, the second bit is for AC 12, and so on.

### ac-BarringTime

Mean access barring time value in seconds.

### acdc-BarringConfig

Barring configuration for an ACDC category. If the field is absent, access to the cell is considered as not barred for the ACDC category in accordance with clause 5.3.3.13.

### acdc-Category

Indicates the ACDC category as defined in TS 24.105 [72].

#### acdc-OnlyForHPLMN

Indicates whether ACDC is applicable for UEs not in their HPLMN for the corresponding PLMN. *TRUE* indicates that ACDC is applicable only for UEs in their HPLMN for the corresponding PLMN. *FALSE* indicates that ACDC is applicable for both UEs in their HPLMN and UEs not in their HPLMN for the corresponding PLMN.

### additional Spectrum Emission

The UE requirements related to IE *AdditionalSpectrumEmission* are defined in TS 36.101 [42], table 6.2.4-1, for UEs neither in CE nor BL UEs and TS 36.101 [42], table 6.2.4E-1, for UEs in CE or BL UEs. NOTE 1.

### attachWithoutPDN-Connectivity

If present, the field indicates that attach without PDN connectivity as specified in TS 24.301 [35] is supported for this PLMN.

### barringPerACDC-CategoryList

A list of barring information per ACDC category according to the order defined in TS 22.011 [10]. The first entry in the list corresponds to the highest ACDC category of which applications are the least restricted in access attempts at a cell, the second entry in the list corresponds to the ACDC category of which applications are restricted more than applications of the highest ACDC category in access attempts at a cell, and so on. The last entry in the list corresponds to the lowest ACDC category of which applications are the most restricted in access attempts at a cell.

### cloT-EPS-OptimisationInfo

A list of CIoT EPS related parameters. Value 1 indicates parameters for the PLMN listed 1st in the 1st *plmn-ldentityList* included in SIB1. Value 2 indicates parameters for the PLMN listed 2nd in the same *plmn-ldentityList*, or when no more PLMN are present within the same *plmn-ldentityList*, then the value indicates parameters for PLMN listed 1st in the subsequent *plmn-ldentityList* within the same SIB1 and so on. NOTE 1.

# cp-CloT-EPS-Optimisation

This field indicates if the UE is allowed to establish the connection with Control plane CloT EPS Optimisation, see TS 24.301 [35].

### cp-EDT

This field indicates whether the UE is allowed to initiate CP-EDT when connected to EPC, see 5.3.3.1b.

### cp-EDT-5GC

This field indicates whether the UE is allowed to initiate CP-EDT when connected to 5GC, see 5.3.3.1b.

### cp-PUR-5GC

This field indicates whether CP transmission using PUR is supported in the cell when connected to 5GC, see 5.3.3.1c. *cp-PUR-EPC* 

This field indicates whether CP transmission using PUR is supported in the cell when connected to EPC, see 5.3.3.1c.

This field is not used in the specification. If received it shall be ignored by the UE.

### earlySecurityReactivation

If present, this field indicates that early security reactivation when resuming a suspended RRC connection as specified in 5.3.3.18 is supported.

### idleModeMeasurements

This field indicates that a UE that is configured for EUTRA idle/inactive measurements shall perform the measurements while camping in this cell and report availability of these measurements when establishing or resuming a connection in this cell. If absent, a UE is not required to perform EUTRA idle/inactive measurements.

### idleModeMeasurementsNR

This field indicates that a UE that is configured for NR idle/inactive measurements shall perform the measurements while camping in this cell and report availability of these measurements when establishing or resuming a connection in this cell. If absent, a UE is not required to perform NR idle/inactive measurements.

### SystemInformationBlockType2 field descriptions

### mbms-ROM-ServiceIndication

This field indicates whether the UE is allowed to send *MBMSInterestIndication* message for the purpose of indicating receive only mode MBMS service parameters.

### mbsfn-SubframeConfigList

Defines the subframes that are reserved for MBSFN in downlink.

NOTE 1. If the cell is a FeMBMS/Unicast mixed cell, EUTRAN includes *mbsfn-SubframeConfigList-v1430*. If a FeMBMS/Unicast mixed cell does not use sub-frames #4 or #9 as MBSFN sub-frames, *mbsfn-SubframeConfigList-v1430* is still included and indicates all sub-frames as non-MBSFN sub-frames.

#### mpdcch-CQI-Reporting

This field indicates if downlink channel quality reporting during random access procedure is allowed, see TS 36.321 [6]. Value 'fourBits' indicates 4-bit CQI reporting is allowed and value 'both' indicates both 2-bit and 4-bit reporting are allowed.

### multiBandInfoList

A list of *AdditionalSpectrumEmission* i.e. one for each additional frequency band included in *multiBandInfoList* in *SystemInformationBlockType1*, listed in the same order. If E-UTRAN includes *multiBandInfoList-v10l0* it includes the same number of entries, and listed in the same order, as in *multiBandInfoList*.

### plmn-IdentityIndex

Index of the PLMN across the *plmn-IdentityList* fields included in SIB1. Value 1 indicates the PLMN listed 1st in the 1st *plmn-IdentityList* included in SIB1. Value 2 indicates the PLMN listed 2nd in the same *plmn-IdentityList*, or when no more PLMN are present within the same *plmn-IdentityList*, then the PLMN listed 1st in the subsequent *plmn-IdentityList* within the same SIB1 and so on. NOTE 1.

### plmn-InfoList

If E-UTRAN includes this field, it includes the same number of entries, and listed in the same order as PLMNs across the plmn-IdentityList fields included in SIB1. I.e. the first entry corresponds to the first entry of the combined list that results from concatenating the entries included in the second to the original plmn-IdentityList field.

### rai-ActivationEnh

Indicates whether UE connected to EPC is allowed to report the AS release assistance indication using the DCQR and AS RAI MAC CE in the cell as specified in TS 36.321 [6].

### reducedCP-LatencyEnabled

If present, reduced control plane latency is enabled. UEs supporting reduced CP latency transmit Msg3 according to  $k_1 \ge 5$  timing as specified in TS 36.213 [23] when transmitting *RRCConnectionResumeRequest* in Msg3.

#### rlos-Enabled

Indicates whether access to RLOS is allowed as specified in TS 23.401 [41].

### ssac-BarringForMMTEL-Video

Service specific access class barring for MMTEL video originating calls.

### ssac-BarringForMMTEL-Voice

Service specific access class barring for MMTEL voice originating calls.

### udt-Restricting

Value TRUE indicates that the UE should indicate to the higher layers to restrict unattended data traffic TS 22.101 [77] irrespective of the UE being in RRC\_IDLE or RRC\_CONNECTED. The UE shall not indicate to the higher layers if the UE has one or more Access Classes, as stored on the USIM, with a value in the range 11..15, which is valid for the UE to use according to TS 22.011 [10] and TS 23.122 [11].

### udt-RestrictingTime

If present and when the *udt-Restricting* changes from TRUE, the UE runs a timer for a period equal to rand \* *udt-RestrictingTime*, where rand is a random number drawn that is uniformly distributed in the range  $0 \le \text{rand} < 1$  value in seconds. The timer stops if *udt-Restricting* changes to TRUE. Upon timer expiry, the UE indicates to the higher layers that the restriction is alleviated.

# unicastFreqHoppingInd

This field indicates if the UE is allowed to indicate support of frequency hopping for unicast MPDCCH/PDSCH/PUSCH as described in TS 36.321 [6]. This field is included only in the BR version of SI message carrying SystemInformationBlockType2.

### ul-Bandwidth

Parameter: transmission bandwidth configuration, N<sub>RB</sub>, in uplink, see TS 36.101 [42], table 5.6-1 and TS 36.108 [114], table 5.3A-1. Value n6 corresponds to 6 resource blocks, n15 to 15 resource blocks and so on. If for FDD this parameter is absent, the uplink bandwidth is equal to the downlink bandwidth. For TDD this parameter is absent and it is equal to the downlink bandwidth. NOTE 1.

### ul-CarrierFreq

For FDD: If absent, the (default) value determined from the default TX-RX frequency separation defined in TS 36.101 [42], table 5.7.3-1 and 36.108 [114], table 5.4A.2-1, applies.

For TDD: This parameter is absent and it is equal to the downlink frequency. NOTE 1.

### up-CloT-EPS-Optimisation

This field indicates if the UE is allowed to resume the connection with User plane CloT EPS Optimisation, see TS 24.301 [35].

### up-EDT

This field indicates whether the UE is allowed to initiate UP-EDT when connected to EPC, see 5.3.3.1b.

### SystemInformationBlockType2 field descriptions

#### up-EDT-5GC

This field indicates whether the UE is allowed to initiate UP-EDT when connected to 5GC, see 5.3.3.1b.

#### up-PUR-5GC

This field indicates whether UP transmission using PUR is supported in the cell when connected to 5GC, see 5.3.3.1c. **up-PUR-EPC** 

This field indicates whether UP transmission using PUR is supported in the cell when connected to EPC, see 5.3.3.1c.

### upperLayerIndication

Indication to be provided to upper layers.

### useFullResumeID

This field indicates if the UE indicates full resume ID of 40 bits in RRCConnectionResumeRequest.

### videoServiceCauseIndication

Indicates whether the UE is requested to use the establishment cause *mo-VoiceCall* for mobile originating MMTEL video calls.

### voiceServiceCauseIndication

Indicates whether UE is requested to use the establishment cause *mo-VoiceCall* for mobile originating MMTEL voice calls.

Conditional presence	Explanation
ul-FreqMax	The field is mandatory present if <i>ul-CarrierFreq</i> (i.e. without suffix) is present and set to
	maxEARFCN. Otherwise the field is not present.

NOTE 1: E-UTRAN sets this field to the same value for all instances of SI message that are broadcasted within the same cell.

# SystemInformationBlockType3

The IE *SystemInformationBlockType3* contains cell re-selection information common for intra-frequency, inter-frequency and/ or inter-RAT cell re-selection (i.e. applicable for more than one type of cell re-selection but not necessarily all) as well as intra-frequency cell re-selection information other than neighbouring cell related.

### SystemInformationBlockType3 information element

```
-- ASN1START
SystemInformationBlockType3 ::=
                                    SEOUENCE {
   cellReselectionInfoCommon
                                        SEQUENCE {
       q-Hyst
                                                dB0, dB1, dB2, dB3, dB4, dB5, dB6, dB8, dB10,
                                                dB12, dB14, dB16, dB18, dB20, dB22, dB24},
        speedStateReselectionPars
                                            SEQUENCE {
            mobilityStateParameters
                                                MobilityStateParameters,
                                            SEQUENCE {
            a-HystSF
                sf-Medium
                                                ENTIMERATED {
                                                        dB-6, dB-4, dB-2, dB0},
                sf-High
                                                ENUMERATED {
                                                        dB-6, dB-4, dB-2, dB0}
            }
                                                                         OPTIONAL
                                                                                         -- Need OP
                                        SEQUENCE {
   cellReselectionServingFreqInfo
        s-NonIntraSearch
                                            ReselectionThreshold
                                                                         OPTIONAL,
                                                                                         -- Need OP
        threshServingLow
                                            ReselectionThreshold,
        cellReselectionPriority
                                            CellReselectionPriority
    intraFreqCellReselectionInfo
                                        SEQUENCE {
        q-RxLevMin
                                            Q-RxLevMin,
       p-Max
                                                                         OPTIONAL,
                                            P-Max
                                                                                         -- Need OP
        s-IntraSearch
                                            ReselectionThreshold
                                                                         OPTIONAL,
                                                                                         -- Need OP
        allowedMeasBandwidth
                                            AllowedMeasBandwidth
                                                                         OPTIONAL,
                                                                                         -- Need OP
        presenceAntennaPort1
                                            PresenceAntennaPort1,
        neighCellConfig
                                            NeighCellConfig,
        t-ReselectionEUTRA
                                            T-Reselection,
        t-ReselectionEUTRA-SF
                                            SpeedStateScaleFactors
                                                                         OPTIONAL
                                                                                         -- Need OP
   },
```

```
lateNonCriticalExtension OCTET STRING (CONTAINING SystemInformationBlockType3-
v10j0-IEs) OPTIONAL,
   [[ s-IntraSearch-v920
                                       SEOUENCE {
           s-IntraSearchP-r9
                                            ReselectionThreshold,
           s-IntraSearchQ-r9
                                             ReselectionThresholdQ-r9
                                                                   OPTIONAL,
                                                                                  -- Need OP
          s-NonIntraSearchP-r9
                                       SEQUENCE {
       s-NonIntraSearch-v920
                                        ReselectionThreshold,
           s-NonIntraSearchQ-r9
                                            ReselectionThresholdQ-r9
                                                                   OPTIONAL,
                                                                   OPTIONAL,
                                                                                  -- Need OP
       q-QualMin-r9
                                         Q-QualMin-r9
       threshServingLowQ-r9
                                         ReselectionThresholdQ-r9 OPTIONAL
                                                                                  -- Need OP
   ]],
   [[ q-QualMinWB-r11
                                         Q-QualMin-r9
                                                                  OPTIONAL -- Cond WB-RSRQ
   ]],
[[ q-QualMinRSRQ-OnAllSymbols-r12
                                           O-OualMin-r9
                                                                       OPTIONAL
Cond RSRO
   [[ cellReselectionServingFreqInfo-v1310 CellReselectionServingFreqInfo-v1310 OPTIONAL,
Need OP
      redistributionServingInfo-r13 cellSelectionInfoCE-r13
                                            RedistributionServingInfo-r13 OPTIONAL, --Need OR
                                            CellSelectionInfoCE-r13 OPTIONAL,
OP
       t-ReselectionEUTRA-CE-r13
                                             T-ReselectionEUTRA-CE-r13 OPTIONAL
                                                                                      -- Need
ΩP
   ]],
   [[ cellSelectionInfoCE1-r13
                                           CellSelectionInfoCE1-r13 OPTIONAL
                                                                                   -- Need OP
   ]],
   [[ cellSelectionInfoCE1-v1360 CellSelectionInfoCE1-v1360 OPTIONAL
                                                                                   -- Cond
OrxlevminCE1
    [[ cellReselectionInfoCommon-v1460 CellReselectionInfoCommon-v1460 OPTIONAL
                                                                                   -- Need OR
   ]],
                                                                                   -- Need OR
                                       CellSelectionInfoCE-v1530 OPTIONAL,
                                                                                     -- Need
OP
      crs-IntfMitigNeighCellsCE-r15
                                        ENUMERATED {enabled}
                                                                  OPTIONAL
                                                                                  -- Need OP
   Need OR
   ]],
                                       TimeOffsetUTC-r17
                                                                  OPTIONAL -- Need OR
   [[ t-Service-r17
   ]]
  RedistributionServingInfo-r13 ::=
                                                                              --Need OP
                                       spare3, spare2, spare1},
   redistrOnPagingOnly-r13
                                     ENUMERATED {true} OPTIONAL --Need OP
}
CellReselectionServingFreqInfo-v1310 ::= SEQUENCE {
   cellReselectionSubPriority-r13
                                         CellReselectionSubPriority-r13
}
CellReselectionServingFreqInfo-v1610 ::= SEQUENCE {
   altCellReselectionPriority-r16 CellReselectionPriority OPTIONAL, -- Need OR altCellReselectionSubPriority-r16 CellReselectionSubPriority-r13 OPTIONAL -- Need OR
-- Late non critical extensions
SystemInformationBlockType3-v10j0-IEs ::= SEQUENCE {

      temInformationBlockType3-v10j0-IEs
      ::= SEQUENCE {

      freqBandInfo-r10
      NS-PmaxList-r10
      OPTIONAL,

      multiBandInfoList-v10j0
      MultiBandInfoList-v10j0
      OPTIONAL,

      nonCriticalExtension
      SystemInformationBlockType3-v1010-IEs

                                                                           -- Need OR
                                                                           -- Need OR
   OPTIONAL
}
   {\tt SystemInformationBlockType3-v1010-IEs} \ ::= \ {\tt SEQUENCE} \ \{
                                                                           -- Need OR
                                                                           -- Need OR
   nonCriticalExtension
                                                               OPTIONAL
                                     SEQUENCE { }
CellReselectionInfoCommon-v1460 ::= SEQUENCE {
                                    ENUMERATED {dB6, dB9, dB12, dB15}
  s-SearchDeltaP-r14
```

### SystemInformationBlockType3 field descriptions

### allowedMeasBandwidth

If absent, the value corresponding to the downlink bandwidth indicated by the *dl-Bandwidth* included in *MasterInformationBlock* applies.

### altCellReselectionPriority

Alternative cell reselection priorities to be used by the UEs for which the *altFreqPriorities* is set to *true* in the *RRCConnectionRelease* message.

### altCellReselectionSubPriority

Alternative cell reselection sub-priorities to be used by the UEs for which the *altFreqPriorities* is set to *true* in the *RRCConnectionRelease* message.

### cellEquivalentSize

The number of cell count used for mobility state estimation for this cell as specified in TS 36.304 [4].

### cellSelectionInfoCE

Parameters included in coverage enhancement S criteria for BL UEs and UEs in CE, applicable for intra-frequency neighbour cells. If absent, coverage enhancement S criteria is not applicable.

### cellSelectionInfoCE1

Parameters included in coverage enhancement S criteria for BL UEs and UEs in CE supporting CE Mode B, applicable for intra-frequency neighbour cells. E-UTRAN includes this IE only if *cellSelectionInfoCE* in SIB3 is present.

#### cellReselectionInfoCommon

Cell re-selection information common for cells.

### cellReselectionServingFreqInfo

Information common for Cell re-selection to inter-frequency and inter-RAT cells.

### crs-IntfMitigNeighCellsCE

For BL UEs supporting *ce-CRS-IntfMitig*, this field indicates CRS interference mitigation, as specified in TS 36.133 [16], clause 3.6.1.2 and 3.6.1.3, is enabled in any of the intra-frequency neibhour cells, and the UE shall perform intra-frequency neighbour cell RRM measurements in the center 6 PRBs.

#### fregBandInfo

A list of additionalPmax and additionalSpectrumEmission values, as defined in TS 36.101 [42], table 6.2.4-1, for UEs neither in CE nor BL UEs, TS 36.101 [42], table 6.2.4E-1, for UEs in CE or BL UEs and TS 36.102 [113], table 6.2.A:3-1, for NTN capable UE, applicable for the intra-frequency neighouring E-UTRA cells if the UE selects the frequency band from freqBandIndicator in SystemInformationBlockType1. If E-UTRAN includes freqBandInfo-v10I0 it includes the same number of entries, and listed in the same order, as in freqBandInfo-r10.

### intraFreqcellReselectionInfo

Cell re-selection information common for intra-frequency cells.

### multiBandInfoList-v10j0

A list of additionalPmax and additionalSpectrumEmission values, as defined in TS 36.101 [42], table 6.2.4-1, for UEs neither in CE nor BL UEs, TS 36.101 [42], table 6.2.4E-1, for UEs in CE or BL UEs and TS 36.102 [113], table 6.2.A:3-1, for NTN capable UE, applicable for the intra-frequency neighouring E-UTRA cells if the UE selects the frequency bands in multiBandInfoList (i.e. without suffix) or multiBandInfoList-v9e0. If E-UTRAN includes multiBandInfoList-v10j0, it includes the same number of entries, and listed in the same order, as in multiBandInfoList (i.e. without suffix). If E-UTRAN includes multiBandInfoList-v10j0 it includes the same number of entries, and listed in the same order, as in multiBandInfoList-v10j0.

# р-Мах

Value applicable for the intra-frequency neighbouring E-UTRA cells. If absent the UE applies the maximum power according to its capability as specified in TS 36.101 [42], clause 6.2.2. This field is ignored by IAB-MT. The IAB-MT applies output power and emissions requirements, as specified in TS 38.174 [107].

### redistrOnPagingOnly

If this field is present and the UE is redistribution capable, the UE shall only wait for the paging message to trigger E-UTRAN inter-frequency redistribution procedure as specified in clause 5.2.4.10 of TS 36.304 [4].

### q-Hyst

Parameter Q<sub>hyst</sub> in TS 36.304 [4], Value in dB. Value dB1 corresponds to 1 dB, dB2 corresponds to 2 dB and so on.

# q-HystSF

Parameter "Speed dependent ScalingFactor for  $Q_{nyst}$ " in TS 36.304 [4]. The sf-Medium and sf-High concern the additional hysteresis to be applied, in Medium and High Mobility state respectively, to  $Q_{hyst}$  as defined in TS 36.304 [4]. In dB. Value dB-6 corresponds to -6dB, dB-4 corresponds to -4dB and so on.

### q-QualMin

Parameter "Q<sub>qualmin</sub>" in TS 36.304 [4], applicable for intra-frequency neighbour cells. If the field is not present, the UE applies the (default) value of negative infinity for Q<sub>qualmin</sub>. NOTE 1.

# q-QualMinRSRQ-OnAllSymbols

If this field is present and supported by the UE, the UE shall, when performing RSRQ measurements, perform RSRQ measurement on all OFDM symbols in accordance with TS 36.214 [48]. NOTE 1.

# q-QualMinWB

If this field is present and supported by the UE, the UE shall, when performing RSRQ measurements, use a wider bandwidth in accordance with TS 36.133 [16]. NOTE 1.

### q-RxLevMin

Parameter "Q<sub>rxlevmin</sub>" in TS 36.304 [4], applicable for intra-frequency neighbour cells.

### SystemInformationBlockType3 field descriptions

### redistributionFactorCell

If redistributionFactorCell is present, redistributionFactorServing is only applicable for the serving cell otherwise it is applicable for serving frequency

### redistributionFactorServing

Parameter redistributionFactorServing in TS 36.304 [4].

#### s-IntraSearch

Parameter "S<sub>IntraSearchP</sub>" in TS 36.304 [4]. If the field *s-IntraSearchP* is present, the UE applies the value of *s-IntraSearchP* instead. Otherwise if neither *s-IntraSearch* nor *s-IntraSearchP* is present, the UE applies the (default) value of infinity for S<sub>IntraSearchP</sub>.

#### s-IntraSearchP

Parameter "S<sub>IntraSearchP</sub>" in TS 36.304 [4]. See descriptions under s-IntraSearch.

### s-IntraSearchQ

Parameter " $S_{IntraSearchQ}$ " in TS 36.304 [4]. If the field is not present, the UE applies the (default) value of 0 dB for  $S_{IntraSearchQ}$ .

### s-NonIntraSearch

Parameter "SnonIntraSearchP" in TS 36.304 [4]. If the field *s-NonIntraSearchP* is present, the UE applies the value of *s-NonIntraSearchP* instead. Otherwise if neither *s-NonIntraSearch* nor *s-NonIntraSearchP* is present, the UE applies the (default) value of infinity for SnonIntraSearchP.

### s-NonIntraSearchP

Parameter "SnonIntraSearchP" in TS 36.304 [4]. See descriptions under s-NonIntraSearch.

### s-NonIntraSearchQ

Parameter " $S_{nonIntraSearchQ}$ " in TS 36.304 [4]. If the field is not present, the UE applies the (default) value of 0 dB for  $S_{nonIntraSearchQ}$ .

### s-SearchDeltaP

Parameter "S<sub>SearchDeltaP</sub>" in TS 36.304 [4]. This parameter is only applicable for UEs supporting relaxed monitoring as specified in TS 36.306 [5]. Value dB6 corresponds to 6 dB, dB9 corresponds to 9 dB and so on.

# speedStateReselectionPars

Speed dependent reselection parameters, see TS 36.304 [4]. If this field is absent, i.e, *mobilityStateParameters* is also not present, UE behaviour is specified in TS 36.304 [4].

#### t-Service

Time information on when a NTN quasi-Earth fixed cell is going to stop serving the area it is currently covering, as specified in TS 36.304 [4].

### t360

Parameter "T360" in TS 36.304 [4]. Value *min4* corresponds to 4 minutes, value *min8* corresponds to 8 minutes, and so on.

# threshServingLow

Parameter "Thresh<sub>Serving, LowP</sub>" in TS 36.304 [4].

### threshServingLowQ

Parameter "Thresh<sub>Serving, LowQ</sub>" in TS 36.304 [4].

### t-ReselectionEUTRA

Parameter "Treselection<sub>EUTRA</sub>" in TS 36.304 [4].

### t-ReselectionEUTRA-SF

Parameter "Speed dependent ScalingFactor for Treselection<sub>EUTRA</sub>" in TS 36.304 [4]. If the field is not present, the UE behaviour is specified in TS 36.304 [4].

NOTE 1: The value the UE applies for parameter "Q<sub>qualmin</sub>" in TS 36.304 [4] depends on the *q-QualMin* fields signalled by E-UTRAN and supported by the UE. In case multiple candidate options are available, the UE shall select the highest priority candidate option according to the priority order indicated by the following table (top row is highest priority).

q-QualMinRSRQ-OnAllSymbols	q-QualMinWB	Value of parameter "Q <sub>qualmin</sub> " in TS 36.304 [4]
Included	Included	q-QualMinRSRQ-OnAllSymbols – (q-QualMin – q-
		QualMinWB)
Included	Not included	q-QualMinRSRQ-OnAllSymbols
Not included	Included	q-QualMinWB
Not included	Not included	q-QualMin

Conditional presence	Explanation
QrxlevminCE1	The field is optionally present, Need OR, if <i>q-RxLevMinCE1-r13</i> is set below -140 dBm.
	Otherwise the field is not present.
RSRQ	The field is optionally present, Need OR, if <i>threshServingLowQ</i> is present in SIB3;
	otherwise it is not present.
WB-RSRQ	The field is optionally present, need OP if the measurement bandwidth indicated by
	allowedMeasBandwidth is 50 resource blocks or larger; otherwise it is not present.

# SystemInformationBlockType4

The IE *SystemInformationBlockType4* contains neighbouring cell related information relevant only for intra-frequency cell re-selection. The IE includes cells with specific re-selection parameters as well as exclude-listed cells.

### SystemInformationBlockType4 information element

```
-- ASN1START
SystemInformationBlockType4 ::= SEQUENCE {
                                                                  OPTIONAL, -- Need OR
                                      IntraFreqNeighCellList
    intraFreqNeighCellList
    intraFreqExcludedCellList
                                           IntraFreqExcludedCellList
                                                                                   OPTIONAL,
Need OR
   csg-PhysCellIdRange
                                       PhysCellIdRange
                                                                   OPTIONAL, -- Cond CSG
    lateNonCriticalExtension
                                           OCTET STRING
                                                                       OPTIONAL,
    [[ intraFreqNeighHSDN-CellList-r15
                                           IntraFreqNeighHSDN-CellList-r15 OPTIONAL
                                                                                        -- Need OR
                                           RSS-ConfigCarrierInfo-r16 OPTIONAL, -- Cond RSS IntraFreqNeighCellList-v1610 OPTIONAL -- Cond RSS
    [[ rss-ConfigCarrierInfo-r16
       intraFreqNeighCellList-v1610
    ]]
}
IntraFreqNeighCellList ::=
                              SEQUENCE (SIZE (1..maxCellIntra)) OF IntraFreqNeighCellInfo
IntraFreqNeighCellList-v1610 ::= SEQUENCE (SIZE (1..maxCellIntra)) OF IntraFreqNeighCellInfo-
v1610
IntraFreqNeighHSDN-CellList-r15 ::= SEQUENCE (SIZE (1..maxCellIntra)) OF PhysCellIdRange
IntraFreqNeighCellInfo ::= SEQUENCE {
   physCellId
                                            PhysCellId,
    q-OffsetCell
                                            Q-OffsetRange,
IntraFreqNeighCellInfo-v1610 ::=
                                   SEQUENCE {
   rss-MeasPowerBias-r16
                                       RSS-MeasPowerBias-r16
IntraFreqExcludedCellList ::= SEQUENCE (SIZE (1..maxExcludedCell)) OF PhysCellIdRange
-- ASN1STOP
```

### SystemInformationBlockType4 field descriptions

### csg-PhysCellIdRange

Set of physical cell identities reserved for CSG cells on the frequency on which this field was received. The received csg-PhysCellIdRange applies if less than 24 hours has elapsed since it was received and the UE is camped on a cell of the same primary PLMN where this field was received. The 3 hour validity restriction (clause 5.2.1.3) does not apply to this field. The UE shall not apply any stored csg-PhysCellIdRange when it is in any cell selection state defined in TS 36.304 [4].

### intraFreqExcludedCellList

List of exclude-listed intra-frequency neighbouring cells.

#### intraFreqNeighCellList

List of intra-frequency neighbouring cells with specific cell re-selection parameters. *intraFreqNeighCellList-v1610* indicates list of RSS assistance information which is used for the corresponding *physCellId*. If E-UTRAN includes *intraFreqNeighCellList-v1610*, it includes the same number of entries, and listed in the same order, as in *intraFreqNeighCellList* (i.e. without suffix). If *intraFreqNeighCellList-v1610* is absent, measurement based on RSS is not applicable for all the neighbour cells in *intraFreqNeighCellList* (i.e. without suffix).

### intraFreqNeighHSDN-CellList

List of intra-frequency neighbouring HSDN cells as specified in TS 36.304 [4].

### q-OffsetCell

Parameter "Qoffsets,n" in TS 36.304 [4].

### rss-ConfigCarrierInfo

RSS configurations for this carrier frequency. If absent and *rss-MeasConfig* is included in SIB2, RSS is collocated (time and frequency domain) in all cells.

Conditional presence	Explanation
CSG	This field is optional, need OP, for non-CSG cells, and mandatory for CSG cells.
RSS	This field is optional, need OP, if <i>rss-MeasConfig</i> is included in SIB2. Otherwise the field
	is not present, and the UE shall delete any existing value for this field.

# SystemInformationBlockType5

The IE *SystemInformationBlockType5* contains information relevant for inter-frequency cell re-selection (i.e. information about other E-UTRA frequencies and inter-frequency neighbouring cells relevant for cell re-selection) and information relevant for E-UTRA and NR idle/inactive measurements. The IE includes cell re-selection parameters common for a frequency as well as cell specific re-selection parameters.

### SystemInformationBlockType5 information element

```
-- ASN1START
SystemInformationBlockType5 ::=
                                    SEQUENCE {
    interFreqCarrierFreqList
                                        InterFreqCarrierFreqList,
                                                             (CONTAINING SystemInformationBlockType5-
    lateNonCriticalExtension
                                            OCTET STRING
v8h0-IEs)
                        OPTIONAL,
    [[ interFreqCarrierFreqList-v1250 InterFreqCarrierFreqList-v1250
                                                                             OPTIONAL,
                                                                                          -- Need OR
        \verb|interFreqCarrierFreqListExt-r12| InterFreqCarrierFreqListExt-r12| OPTIONAL|
                                                                                     -- Need OR
    ]],
        interFreqCarrierFreqListExt-v1280
                                             InterFreqCarrierFreqListExt-v1280
    [ [
                                                                                  OPTIONAL
OR
        interFreqCarrierFreqList-v1310
                                             InterFreqCarrierFreqList-v1310
                                                                                  OPTIONAL,
                                                                                              -- Need
OR
        interFreqCarrierFreqListExt-v1310
                                            InterFreqCarrierFreqListExt-v1310
                                                                                  OPTIONAL
                                                                                              -- Need
OR
    ]],
[[ interFreqCarrierFreqList-v1350
                                             InterFreqCarrierFreqList-v1350
                                                                              OPTIONAL,
                                                                                          -- Need OR
    interFreqCarrierFreqListExt-v1350
                                        InterFreqCarrierFreqListExt-v1350
                                                                              OPTIONAL
                                                                                          -- Need OR
    11,
        interFreqCarrierFreqListExt-v1360
                                             InterFreqCarrierFreqListExt-v1360
    [ [
                                                                                  OPTIONAL
                                                                                              -- Need
OR
       scptm-FreqOffset-r14
                                                                              OPTIONAL
    [ [
                                             INTEGER (1..8)
                                                                                          -- Need OP
    1],
        interFreqCarrierFreqList-v1530
                                             InterFreqCarrierFreqList-v1530
                                                                                  OPTIONAL,
                                                                                              -- Need
OR
        interFreqCarrierFreqListExt-v1530
                                             InterFreqCarrierFreqListExt-v1530
                                                                                OPTIONAL,
                                                                                              -- Need
OR
        measIdleConfigSIB-r15
                                             MeasIdleConfigSIB-r15
                                                                              OPTIONAL
                                                                                          -- Need OR
    ]],
```

```
[[ interFreqCarrierFreqList-v1610 InterFreqCarrierFreqList-v1610 OPTIONAL, -- Need
OR
        interFreqCarrierFreqListExt-v1610 InterFreqCarrierFreqListExt-v1610 OPTIONAL,
                                                                                           -- Need
OR
        measIdleConfigSIB-NR-r16
                                          MeasIdleConfigSIB-NR-r16
                                                                               OPTIONAL
                                                                                           -- Need
OR
   11
 - Late non critical extensions
SystemInformationBlockType5-v8h0-IEs ::= SEQUENCE {
    interFreqCarrierFreqList-v8h0 SEQUENCE (SIZE (1..maxFreq)) OF InterFreqCarrierFreqInfo-v8h0
        OPTIONAL, -- Need OP
                                   SystemInformationBlockType5-v9e0-IEs
    nonCriticalExtension
    OPTIONAL
}
SystemInformationBlockType5-v9e0-IEs ::=
                                         SEQUENCE {
    interFreqCarrierFreqList-v9e0 SEQUENCE (SIZE (1..maxFreq)) OF InterFreqCarrierFreqInfo-v9e0
           OPTIONAL, -- Need OR
                                   SystemInformationBlockType5-v10j0-IEs OPTIONAL
    nonCriticalExtension
SystemInformationBlockType5-v10j0-IEs ::= SEQUENCE {
    interFreqCarrierFreqList-v10j0 SEQUENCE (SIZE (1..maxFreq)) OF InterFreqCarrierFreqInfo-v10j0
               OPTIONAL, -- Need OR
    nonCriticalExtension
                                   SystemInformationBlockType5-v1010-IEs
                                                                              OPTIONAL
SystemInformationBlockType5-v1010-IEs ::= SEQUENCE {
    interFreqCarrierFreqList-v1010 SEQUENCE (SIZE (1..maxFreq)) OF InterFreqCarrierFreqInfo-v1010
               OPTIONAL, -- Need OR
    nonCriticalExtension
                                   SystemInformationBlockType5-v13a0-IEs
                                                                                  OPTIONAL
SystemInformationBlockType5-v13a0-IEs ::=
                                          SEOUENCE {
     - Late non critical extensions from REL-10 upto REL-12
   lateNonCriticalExtension OCTET STRING OPTIONAL, interFreqCarrierFreqList-v13a0 InterFreqCarrierFreqList-v13a0 OPTIONAL,
                                                                   OPTIONAL,
                                                                               -- Need OR
                                                                               -- Need OR
    -- Late non critical extensions from REL-13
   nonCriticalExtension
                                  SEQUENCE {}
                                                                   OPTIONAL
}
InterFreqCarrierFreqList ::=
                               SEQUENCE (SIZE (1..maxFreq)) OF InterFreqCarrierFreqInfo
InterFreqCarrierFreqList-v1250 ::= SEQUENCE (SIZE (1..maxFreq)) OF InterFreqCarrierFreqInfo-v1250
InterFreqCarrierFreqList-v1310 ::= SEQUENCE (SIZE (1..maxFreq)) OF InterFreqCarrierFreqInfo-v1310
InterFreqCarrierFreqList-v1350 ::= SEQUENCE (SIZE (1..maxFreq)) OF InterFreqCarrierFreqInfo-v1350
InterFreqCarrierFreqList-v13a0 ::= SEQUENCE (SIZE (1..maxFreq)) OF InterFreqCarrierFreqInfo-v1360
InterFreqCarrierFreqList-v1530 ::= SEQUENCE (SIZE (1..maxFreq)) OF InterFreqCarrierFreqInfo-v1530
InterFreqCarrierFreqList-v1610 ::= SEQUENCE (SIZE (1..maxFreq)) OF InterFreqCarrierFreqInfo-v1610
InterFreqCarrierFreqListExt-r12 ::= SEQUENCE (SIZE (1..maxFreq)) OF InterFreqCarrierFreqInfo-r12
InterFreqCarrierFreqListExt-v1280 ::= SEQUENCE (SIZE (1..maxFreq)) OF InterFreqCarrierFreqInfo-
        v10j0
InterFreqCarrierFreqListExt-v1310 ::= SEQUENCE (SIZE (1..maxFreq)) OF InterFreqCarrierFreqInfo-
        v1310
InterFreqCarrierFreqListExt-v1350 ::= SEQUENCE (SIZE (1..maxFreq)) OF InterFreqCarrierFreqInfo-
        v1350
InterFreqCarrierFreqListExt-v1360 ::= SEQUENCE (SIZE (1..maxFreq)) OF InterFreqCarrierFreqInfo-
v1360
InterFreqCarrierFreqListExt-v1530 ::= SEQUENCE (SIZE (1..maxFreq)) OF InterFreqCarrierFreqInfo-
        v1530
InterFreqCarrierFreqListExt-v1610 ::= SEQUENCE (SIZE (1..maxFreq)) OF InterFreqCarrierFreqInfo-
v1610
InterFreqCarrierFreqInfo ::= SEQUENCE {
```

```
dl-CarrierFreq
                                    ARFCN-ValueEUTRA,
   q-RxLevMin
                                     Q-RxLevMin,
   p-Max
                                    P-Max
                                                                   OPTIONAL,
                                                                                  -- Need OP
   t-ReselectionEUTRA
                                     T-Reselection,
   t-ReselectionEUTRA-SF
                                     SpeedStateScaleFactors
                                                                   OPTIONAL,
                                                                                  -- Need OP
   threshX-High
                                    ReselectionThreshold,
   threshX-Low
                                    ReselectionThreshold,
   allowedMeasBandwidth
                                    AllowedMeasBandwidth,
   presenceAntennaPort1
                                    PresenceAntennaPort1,
   cellReselectionPriority
                                     CellReselectionPriority
                                                                 OPTIONAL,
   neighCellConfig
                                   NeighCellConfig,
                                   Q-OffsetRange
   q-OffsetFreq
                                                                  DEFAULT dB0,
   interFreqNeighCellList
                                     InterFreqNeighCellList
                                                                   OPTIONAL,
                                                                                -- Need OR
   interFreqExcludedCellList
                                        InterFreqExcludedCellList
                                                                        OPTIONAL,
Need OR
                                   Q-QualMin-r9
    [[ q-QualMin-r9
                                                                   OPTIONAL,
                                                                                 -- Need OP
       threshX-Q-r9
          reshX-Q-r9
threshX-HighQ-r9
threshX-LowQ-r9
                                     SEQUENCE {
                                    ReselectionThresholdQ-r9,
                                        ReselectionThresholdQ-r9
                                                                   OPTIONAL
                                                                                 -- Cond RSRO
       q-QualMinWB-r11
                                     Q-QualMin-r9
                                                                   OPTIONAL
                                                                              -- Cond WB-RSRQ
   11
}
InterFreqCarrierFreqInfo-v8h0 ::= SEQUENCE {
                                     MultiBandInfoList
   multiBandInfoList
                                                                  OPTIONAL
                                                                              -- Need OR
InterFreqCarrierFreqInfo-v9e0 ::= SEQUENCE {
                               ARFCN-ValueEUTRA-v9e0 OPTIONAL, -- Cond dl-FreqMax
   dl-CarrierFreq-v9e0
   multiBandInfoList-v9e0
                                    MultiBandInfoList-v9e0 OPTIONAL
                                                                      -- Need OR
}
InterFreqCarrierFreqInfo-v10j0 ::= SEQUENCE {
                          NS-PmaxList-r10
                                                             OPTIONAL,
   fregBandInfo-r10
                                                                          -- Need OR
   multiBandInfoList-v10j0
                                     MultiBandInfoList-v10j0 OPTIONAL
                                                                          -- Need OR
}
InterFreqCarrierFreqInfo-v1010 ::= SEQUENCE {
                          NS-PmaxList-v1010
                                                             OPTIONAL,
   fregBandInfo-v1010
                                                                          -- Need OR
   multiBandInfoList-v1010
                                    MultiBandInfoList-v1010 OPTIONAL
                                                                          -- Need OR
-- Need OP
                                                        OPTIONAL -- Cond RSRQ2
InterFreqCarrierFreqInfo-r12 ::= SEQUENCE {
   dl-CarrierFreq-r12
                                     ARFCN-ValueEUTRA-r9,
   q-RxLevMin-r12
                                    Q-RxLevMin,
   p-Max-r12
                                     P-Max
                                                                  OPTIONAL.
                                                                                  -- Need OP
                                    T-Reselection,
   t-ReselectionEUTRA-r12
   t-ReselectionEUTRA-112
t-ReselectionEUTRA-SF-r12
threshX-High-r12
ReselectionThreshold,
                                                                 OPTIONAL,
                                                                                 -- Need OP
   threshX-Low-r12
                                   ReselectionThreshold,
                                   AllowedMeasBandwidth,
PresenceAntennaPort1,
   allowedMeasBandwidth-r12
   presenceAntennaPort1-r12
   cellReselectionPriority-r12
                                    CellReselectionPriority
                                                                 OPTIONAL,
                                                                                 -- Need OP
   neighCellConfig-r12
                                     NeighCellConfig,
                                    InterFreqExcludedCellList DEFAULT dB0,

OPTIONAL,

OPTIONAL
   q-OffsetFreq-r12
   interFreqExcludedCellList-r12
                                                                                  -- Need OR
                                                                         OPTIONAL,
Need OR
                                                                   OPTIONAL,
                                     Q-QualMin-r9
   q-QualMin-r12
                                                                                 -- Need OP
   threshX-Q-r12
                                     SEQUENCE {
       threshX-HighQ-r12
                                        ReselectionThresholdQ-r9,
       threshX-LowQ-r12
                                        ReselectionThresholdQ-r9
                                                                  OPTIONAL,
                                                                              -- Cond RSRO
   q-QualMinWB-r12
                                     Q-QualMin-r9
                                                                  OPTIONAL,
                                                                              -- Cond WB-RSRQ
                                                                  OPTIONAL,
                                                                              -- Need OR
   multiBandInfoList-r12
                                    MultiBandInfoList-r11
   q-QualMinRSRQ-OnAllSymbols-r12 ENUMERATED {true}
                                                                  OPTIONAL,
                                                                              -- Need OP
                                                                  OPTIONAL,
                                                                              -- Cond RSRQ2
} . . .
```

```
InterFreqCarrierFreqInfo-v1310 ::= SEQUENCE {
                                     CellReselectionSubPriority-r13
    cellReselectionSubPriority-r13
                                                                          OPTIONAL,
                                                                                            -- Need
OP
   redistributionInterFreqInfo-r13 RedistributionInterFreqInfo-r13 cellSelectionInfoCE-r13 CellSelectionInfoCE-r13 (
                                                                           OPTIONAL, --Need OP
                                                                   OPTIONAL, -- Need OP
OPTIONAL -- Need OP
    t-ReselectionEUTRA-CE-r13
                                       T-ReselectionEUTRA-CE-r13
}
InterFreqCarrierFreqInfo-v1350 ::= SEQUENCE {
    cellSelectionInfoCE1-r13
                                       CellSelectionInfoCE1-r13
                                                                           OPTIONAL
                                                                                        -- Need OP
InterFreqCarrierFreqInfo-v1360 ::= SEQUENCE {
   cellSelectionInfoCE1-v1360
                                  CellSelectionInfoCE1-v1360 OPTIONAL -- Cond OrxlevminCE1
InterFreqCarrierFreqInfo-v1530 ::= SEQUENCE {
   hsdn-Indication-r15
                                       BOOLEAN,
   interFreqNeighHSDN-CellList-r15
                                       InterFreqNeighHSDN-CellList-r15 OPTIONAL,
   cellSelectionInfoCE-v1530
                                       CellSelectionInfoCE-v1530
                                                                           OPTIONAL
                                                                                        -- Need OP
}
InterFreqCarrierFreqInfo-v1610 ::= SEQUENCE {
   altCellReselectionPriority-r16 CellReselectionPriority OPTIONAL, -- Need OR
    altCellReselectionSubPriority-r16 CellReselectionSubPriority-r13 OPTIONAL, -- Need OR
                                           RSS-ConfigCarrierInfo-r16 OPTIONAL, -- Cond RSS InterFreqNeighCellList-v1610 OPTIONAL -- Cond RSS
    rss-ConfigCarrierInfo-r16
                                           RSS-ConfigCarrierInfo-r16
    interFreqNeighCellList-v1610
InterFreqNeighCellList ::=
                                  SEQUENCE (SIZE (1..maxCellInter)) OF InterFreqNeighCellInfo
                                      SEQUENCE (SIZE (1..maxCellInter)) OF InterFreqNeighCellInfo-
InterFreqNeighCellList-v1610 ::=
v1610
InterFreqNeighHSDN-CellList-r15 ::= SEQUENCE (SIZE (1..maxCellInter)) OF PhysCellIdRange
InterFreqNeighCellInfo ::=
                                    SEQUENCE {
   physCellId
                                        PhysCellId,
    q-OffsetCell
                                        O-OffsetRange
InterFreqNeighCellInfo-v1610 ::= SEQUENCE {
   rss-MeasPowerBias-r16 RSS-MeasPowerBias-r16
InterFreqExcludedCellList ::=
                                      SEQUENCE (SIZE (1..maxExcludedCell)) OF PhysCellIdRange
RedistributionInterFreqInfo-r13 ::= SEQUENCE {
    redistributionFactorFreg-r13
                                           RedistributionFactor-r13 OPTIONAL, --Need OP
   redistributionNeighCellList-r13
                                          RedistributionNeighCellList-r13 OPTIONAL --Need
ΟP
RedistributionNeighCellList-r13 ::= SEQUENCE (SIZE (1..maxCellInter)) OF RedistributionNeighCell-r13
RedistributionNeighCell-r13 ::= SEQUENCE {
   physCellId-r13
                                                    PhysCellId,
    redistributionFactorCell-r13
                                                    RedistributionFactor-r13
RedistributionFactor-r13 ::= INTEGER(1..10)
-- ASN1STOP
```

### SystemInformationBlockType5 field descriptions

### altCellReselectionPriority

Alternative cell reselection priorities to be used by the UEs for which the *altFreqPriorities* is set to *true* in the *RRCConnectionRelease* message.

### altCellReselectionSubPriority

Alternative cell reselection sub-priorities to be used by the UEs for which the *altFreqPriorities* is set to *true* in the *RRCConnectionRelease* message.

#### cellSelectionInfoCE

Parameters included in coverage enhancement S criteria for BL UEs and UEs in CE, applicable for inter-frequency neighbour cells. If absent, coverage enhancement S criteria is not applicable.

#### cellSelectionInfoCE1

Parameters included in coverage enhancement S criteria for BL UEs and UEs in CE supporting CE Mode B. E-UTRAN includes this IE only in an entry of *InterFreqCarrierFreqList-v1350* or *InterFreqCarrierFreqListExt-v1350* if *cellSelectionInfoCE* is present in the corresponding entry of *InterFreqCarrierFreqList-v1310* or *InterFreqCarrierFreqListExt-v1310* is present.

### fregBandInfo

A list of *additionalPmax* and *additionalSpectrumEmission* values, as defined in TS 36.101 [42], table 6.2.4-1, for UEs neither in CE nor BL UEs, TS 36.101 [42], table 6.2.4E-1, for UEs in CE or BL UEs and TS 36.102 [113], table 6.2A.3-1, for NTN capable UE, for the frequency band represented by *dl-CarrierFreq* for which cell reselection parameters are common. If E-UTRAN includes *freqBandInfo-v10l0* it includes the same number of entries, and listed in the same order, as in *freqBandInfo-r10*.

#### hsdn-Indication

Indicates whether there are deployed HSDN cells or not on the the DL carrier frequency indicated by *dl-CarrierFreq-r12*.

### interFreqCarrierFreqList

List of neighbouring inter-frequencies. E-UTRAN does not configure more than one entry for the same physical frequency regardless of the E-ARFCN used to indicate this. If E-UTRAN includes *interFreqCarrierFreqList-v8h0*, *interFreqCarrierFreqList-v19e0*, *InterFreqCarrierFreqList-v1250*, *InterFreqCarrierFreqList-v1310*, *InterFreqCarrierFreqList-v1350*, *InterFreqCarrierFreqList-v1330*, *InterFreqCarrierFreqList-v1530* and/or *InterFreqCarrierFreqList-v1610*, it includes the same number of entries, and listed in the same order, as in *interFreqCarrierFreqList* (i.e. without suffix). See Annex D for more descriptions.

### interFreqCarrierFreqListExt

List of additional neighbouring inter-frequencies, i.e. extending the size of the inter-frequency carrier list using the general principles specified in 5.1.2. E-UTRAN does not configure more than one entry for the same physical frequency regardless of the E-ARFCN used to indicate this. EUTRAN may include *interFreqCarrierFreqListExt* even if *interFreqCarrierFreqList* (i.e without suffix) does not include *maxFreq* entries. If E-UTRAN includes *InterFreqCarrierFreqListExt-v1310*, *InterFreqCarrierFreqListExt-v1350*, *InterFreqCarrierFreqListExt-v1360*, *InterFreqCarrierFreqListExt-v1530* and/or *InterFreqCarrierFreqList-v1610*, it includes the same number of entries, and listed in the same order, as in *interFreqCarrierFreqListExt-r12*.

### interFreqExcludedCellList

List of exclude-listed inter-frequency neighbouring cells.

# interFreqNeighCellList

List of inter-frequency neighbouring cells with specific cell re-selection parameters. *interFreqNeighCellList-v1610* indicates list of RSS assistance information which is used for the corresponding *physCellId*. If E-UTRAN includes *interFreqNeighCellList-v1610* in *interFreqCarrierFreqList-v1610* / *interFreqCarrierFreqListExt-v1610*, it includes the same number of entries, and listed in the same order, as in *interFreqNeighCellList* (i.e. without suffix) / *interFreqNeighCellList-r12*. If *interFreqNeighCellList-v1610* is absent in *interFreqCarrierFreqList-v1610*/ *interFreqCarrierFreqListExt-v1610*, measurement based on RSS is not applicable for all the neighbour cells in *interFreqNeighCellList* (i.e. without suffix) / *interFreqNeighCellList-r12*.

### interFreqNeighHSDN-CellList

List of inter-frequency neighbouring HSDN cells as specified in TS 36.304 [4].

### measIdleConfigSIB

Indicates E-UTRA measurement configuration to be stored and used by the UE while in RRC\_IDLE or RRC\_INACTIVE.

### measIdleConfigSIB-NR

Indicates the NR measurement configuration to be stored and used by the UE while in RRC\_IDLE or RRC\_INACTIVE.

# multiBandInfoList

Indicates the list of frequency bands in addition to the band represented by dl-CarrierFreq for which cell reselection parameters are common. E-UTRAN indicates at most *maxMultiBands* frequency bands (i.e. the total number of entries across both *multiBandInfoList* and *multiBandInfoList-v9e0* is below this limit).

### multiBandInfoList-v10j0

A list of additionalPmax and additionalSpectrumEmission values, as defined in TS 36.101 [42], table 6.2.4-1, for UEs neither in CE nor BL UEs, TS 36.101 [42], table 6.2.4E-1, for UEs in CE or BL UEs and TS 36.102 [113], table 6.2.A.3-1, for NTN capable UE, for the frequency bands in multiBandInfoList (i.e. without suffix) and multiBandInfoList-v9e0. If E-UTRAN includes multiBandInfoList-v10j0, it includes the same number of entries, and listed in the same order, as in multiBandInfoList (i.e. without suffix). If E-UTRAN includes multiBandInfoList-v10j0 it includes the same number of entries, and listed in the same order, as in multiBandInfoList-v10j0.

### SystemInformationBlockType5 field descriptions

### altCellReselectionPriority

Alternative cell reselection priorities to be used by the UEs for which the *altFreqPriorities* is set to *true* in the *RRCConnectionRelease* message.

### altCellReselectionSubPriority

Alternative cell reselection sub-priorities to be used by the UEs for which the *altFreqPriorities* is set to *true* in the *RRCConnectionRelease* message.

#### p-Max

Value applicable for the neighbouring E-UTRA cells on this carrier frequency. If absent the UE applies the maximum power according to its capability as specified in TS 36.101 [42], clause 6.2.2. This field is ignored by IAB-MT. The IAB-MT applies output power and emissions requirements, as specified in TS 38.174 [107].

### q-OffsetCell

Parameter "Qoffsets,n" in TS 36.304 [4].

### q-OffsetFreq

Parameter "Qoffsetfrequency" in TS 36.304 [4].

### q-QualMin

Parameter " $Q_{qualmin}$ " in TS 36.304 [4]. If the field is not present, the UE applies the (default) value of negative infinity for  $Q_{qualmin}$ . NOTE 1.

#### q-QualMinRSRQ-OnAllSymbols

If this field is present and supported by the UE, the UE shall, when performing RSRQ measurements, perform RSRQ measurement on all OFDM symbols in accordance with TS 36.214 [48]. NOTE 1.

#### q-QualMinWB

If this field is present and supported by the UE, the UE shall, when performing RSRQ measurements, use a wider bandwidth in accordance with TS 36.133 [16]. NOTE 1.

### redistributionFactorFreq

Parameter redistributionFactorFreq in TS 36.304 [4].

### redistributionFactorCell

Parameter redistributionFactorCell in TS 36.304 [4].

### reducedMeasPerformance

Value *TRUE* indicates that the neighbouring inter-frequency is configured for reduced measurement performance, see TS 36.133 [16]. If the field is not included, the neighbouring inter-frequency is configured for normal measurement performance, see TS 36.133 [16].

## rss-ConfigCarrierInfo

RSS configuration for this carrier frequency. If absent and *rss-MeasConfig* is included in *SIB*2, RSS is collocated (time and frequency domain) in all cells on this carrier.

### scptm-FreaOffset

Parameter Qoffset<sub>SCPTM</sub> in TS 36.304 [4]. Actual value Qoffset<sub>SCPTM</sub> = field value \* 2 [dB]. If the field is not present, the UE uses infinite dBs for the SC-PTM frequency offset with cell ranking as specified in TS 36.304 [4].

### threshX-High

Parameter "Threshx, HighP" in TS 36.304 [4].

# threshX-HighQ

Parameter "Threshx, HighQ" in TS 36.304 [4].

### threshX-Low

Parameter "Thresh<sub>X, LowP</sub>" in TS 36.304 [4].

### threshX-LowQ

Parameter "Threshx, LowQ" in TS 36.304 [4].

### t-ReselectionEUTRA

Parameter "Treselection<sub>EUTRA</sub>" in TS 36.304 [4].

### t-ReselectionEUTRA-SF

Parameter "Speed dependent ScalingFactor for Treselection<sub>EUTRA</sub>" in TS 36.304 [4]. If the field is not present, the UE behaviour is specified in TS 36.304 [4].

NOTE 1: The value the UE applies for parameter " $Q_{qualmin}$ " in TS 36.304 [4] depends on the *q-QualMin* fields signalled by E-UTRAN and supported by the UE. In case multiple candidate options are available, the UE shall select the highest priority candidate option according to the priority order indicated by the following table (top row is highest priority).

q-QualMinRSRQ-OnAllSymbols	q-QualMinWB	Value of parameter "Qqualmin" in TS 36.304 [4]
Included	Included	q-QualMinRSRQ-OnAllSymbols – (q-QualMin – q-
		QualMinWB)
Included	Not included	q-QualMinRSRQ-OnAllSymbols
Not included	Included	q-QualMinWB
Not included	Not included	q-QualMin

Conditional presence	Explanation
dl-FreqMax	The field is mandatory present if, for the corresponding entry in <i>InterFreqCarrierFreqList</i> (i.e. without suffix), <i>dl-CarrierFreq</i> (i.e. without suffix) is set to <i>maxEARFCN</i> . Otherwise
	the field is not present.
QrxlevminCE1	The field is optionally present, Need OR, if <i>q-RxLevMinCE1-r13</i> is set below -140 dBm. Otherwise the field is not present.
RSRQ	The field is mandatory present if <i>threshServingLowQ</i> is present in <i>systemInformationBlockType3</i> ; otherwise it is not present.
RSRQ2	The field is mandatory present for all EUTRA carriers listed in SIB5 if <i>q-QualMinRSRQ-OnAllSymbols</i> is present in SIB3; otherwise it is not present and the UE shall delete any existing value for this field.
RSS	This field is optional, need OP, if <i>rss-MeasConfig</i> is included in SIB2. Otherwise the field is not present, and the UE shall delete any existing value for this field.
WB-RSRQ	The field is optionally present, need OP if the measurement bandwidth indicated by allowedMeasBandwidth is 50 resource blocks or larger; otherwise it is not present.

# SystemInformationBlockType6

The IE *SystemInformationBlockType6* contains information relevant only for inter-RAT cell re-selection i.e. information about UTRA frequencies and UTRA neighbouring cells relevant for cell re-selection. The IE includes cell re-selection parameters common for a frequency.

# SystemInformationBlockType6 information element

```
-- ASN1START
SystemInformationBlockType6 ::=
                                   SEQUENCE {
                                    SEQUENCE {
    CarrierFreqListUTRA-FDD
    CarrierFreqListUTRA-TDD
   carrierFreqListUTRA-FDD
                                                                        OPTIONAL,
                                                                                         -- Need OR
    carrierFreqListUTRA-TDD
                                                                        OPTIONAL,
                                                                                         -- Need OR
    t-ReselectionUTRA
                                        T-Reselection,
    t-ReselectionUTRA-SF
                                                                        OPTIONAL.
                                        SpeedStateScaleFactors
                                                                                         -- Need OP
    lateNonCriticalExtension
                                        OCTET STRING
                                                       (CONTAINING SystemInformationBlockType6-
                            OPTIONAL,
v8h0-IEs)
    [[ carrierFreqListUTRA-FDD-v1250 SEQUENCE (SIZE (1..maxUTRA-FDD-Carrier)) OF
                                        CarrierFreqInfoUTRA-v1250
                                                                       OPTIONAL,
                                                                                     -- Cond UTRA-FDD
        carrierFreqListUTRA-TDD-v1250 SEQUENCE (SIZE (1..maxUTRA-TDD-Carrier)) OF
                                        CarrierFreqInfoUTRA-v1250
                                                                       OPTIONAL, -- Cond UTRA-TDD
        carrierFreqListUTRA-FDD-Ext-r12 CarrierFreqListUTRA-FDD-Ext-r12 OPTIONAL, -- Cond UTRA-FDD
        carrierFreqListUTRA-TDD-Ext-r12 CarrierFreqListUTRA-TDD-Ext-r12 OPTIONAL
                                                                                         -- Cond
UTRA-TDD
    ]]
SystemInformationBlockType6-v8h0-IEs ::= SEQUENCE {
   carrierFreqListUTRA-FDD-v8h0 SEQUENCE (SIZE (1..maxUTRA-FDD-Carrier)) OF CarrierFreqInfoUTRA-
FDD-v8h0 OPTIONAL, -- Cond UTRA-FDD
                                        SEQUENCE {}
   nonCriticalExtension
                                                                             OPTIONAL
CarrierFreqInfoUTRA-v1250 ::=
                                  SEQUENCE {
   reducedMeasPerformance-r12
                                    ENUMERATED {true}
                                                            OPTIONAL
                                                                             -- Need OP
CarrierFreqListUTRA-FDD ::= SEQUENCE (SIZE (1..maxUTRA-FDD-Carrier)) OF CarrierFreqUTRA-FDD
CarrierFreqUTRA-FDD ::=
                                    SEOUENCE {
                                        ARFCN-ValueUTRA,
    cellReselectionPriority
                                        CellReselectionPriority
                                                                       OPTIONAL,
                                                                                         -- Need OP
    threshX-High
                                        ReselectionThreshold,
                                        ReselectionThreshold,
    threshX-Low
    q-RxLevMin
                                        INTEGER (-60..-13),
    p-MaxUTRA
                                        INTEGER (-50..33),
    q-QualMin
                                       INTEGER (-24..0),
            eshX-Q-r9 SEQUENCE {
threshX-HighQ-r9 ReselectorshX-LowQ-r9 Reselectorsh
    [[ threshX-Q-r9
                                           ReselectionThresholdQ-r9,
                                            ReselectionThresholdQ-r9
                                                                         OPTIONAL
                                                                                         -- Cond RSRQ
    11
```

```
CarrierFreqInfoUTRA-FDD-v8h0 ::=
                                        SEQUENCE {
   multiBandInfoList
                                     SEQUENCE (SIZE (1..maxMultiBands)) OF FreqBandIndicator-
                      OPTIONAL -- Need OR
UTRA-FDD
}
CarrierFreqListUTRA-FDD-Ext-r12 ::= SEQUENCE (SIZE (1..maxUTRA-FDD-Carrier)) OF
                                 CarrierFreqUTRA-FDD-Ext-r12
CarrierFreqUTRA-FDD-Ext-r12 ::=
                                         SEQUENCE {
   carrierFreq-r12
                                    ARFCN-ValueUTRA,
                                   CellReselectionPriority
   cellReselectionPriority-r12
                                                                  OPTIONAL, -- Need OP
   threshX-High-r12
                                     ReselectionThreshold,
   threshX-Low-r12
                                     ReselectionThreshold,
   q-RxLevMin-r12
                                    INTEGER (-60..-13),
   p-MaxUTRA-r12
                                     INTEGER (-50..33),
                                     INTEGER (-24..0),
   q-QualMin-r12
                                    SEQUENCE {
   threshX-0-r12
           threshX-HighQ-r12
                                    ReselectionThresholdQ-r9
                                         ReselectionThresholdQ-r9,
          threshX-LowQ-r12
   multiBandInfoList-r12
                                                                OPTIONAL,
                                                                               -- Cond RSRQ
                                    SEQUENCE (SIZE (1..maxMultiBands)) OF FreqBandIndicator-
                     OPTIONAL, -- Need OR
UTRA-FDD
   reducedMeasPerformance-r12
                                     ENUMERATED {true}
                                                                   OPTIONAL, -- Need OP
CarrierFreqListUTRA-TDD ::= SEQUENCE (SIZE (1..maxUTRA-TDD-Carrier)) OF CarrierFreqUTRA-TDD
CarrierFreqUTRA-TDD ::=
                                 SEQUENCE {
                                     ARFCN-ValueUTRA,
   carrierFreq
   cellReselectionPriority
                                                                  OPTIONAL,
                                      CellReselectionPriority
                                                                                  -- Need OP
   threshX-High
                                     ReselectionThreshold,
   threshX-Low
                                     ReselectionThreshold,
                                     INTEGER (-60..-13),
   q-RxLevMin
   p-MaxUTRA
                                     INTEGER (-50..33),
}
CarrierFreqListUTRA-TDD-Ext-r12 ::= SEQUENCE (SIZE (1..maxUTRA-TDD-Carrier)) OF
                                 CarrierFreqUTRA-TDD-r12
CarrierFreqUTRA-TDD-r12 ::= SEQUENCE {
                                     ARFCN-ValueUTRA,
   carrierFreq-r12
   cellReselectionPriority-r12
                                     CellReselectionPriority
                                                                  OPTIONAL,
                                                                                  -- Need OP
   threshX-High-r12
                                    ReselectionThreshold,
   threshX-Low-r12
                                     ReselectionThreshold,
                                     INTEGER (-60..-13),
   g-RxLevMin-r12
   p-MaxUTRA-r12
                                     INTEGER (-50..33),
   reducedMeasPerformance-r12
                                                              OPTIONAL, -- Need OP
                                     ENUMERATED {true}
}
FreqBandIndicator-UTRA-FDD ::=
                                        INTEGER (1..86)
-- ASN1STOP
```

### SystemInformationBlockType6 field descriptions

### carrierFreqListUTRA-FDD

List of carrier frequencies of UTRA FDD. E-UTRAN does not configure more than one entry for the same physical frequency regardless of the ARFCN used to indicate this. If E-UTRAN includes *carrierFreqListUTRA-FDD-v8h0* and/or *carrierFreqListUTRA-FDD-v1250*, it includes the same number of entries, and listed in the same order, as in *carrierFreqListUTRA-FDD* (i.e. without suffix). See Annex D for more descriptions.

#### carrierFreqListUTRA-FDD-Ext

List of additional carrier frequencies of UTRA FDD. E-UTRAN does not configure more than one entry for the same physical frequency regardless of the ARFCN used to indicate this. EUTRAN may include *carrierFreqListUTRA-FDD-Ext* even if *carrierFreqListUTRA-FDD* (i.e without suffix) does not include *maxUTRA-FDD-Carrier* entries.

#### carrierFreqListUTRA-TDD

List of carrier frequencies of UTRA TDD. E-UTRAN does not configure more than one entry for the same physical frequency regardless of the ARFCN used to indicate this If E-UTRAN includes *carrierFreqListUTRA-TDD-v1250*, it includes the same number of entries, and listed in the same order, as in *carrierFreqListUTRA-TDD* (i.e. without suffix).

### carrierFreqListUTRA-TDD-Ext

List of additional carrier frequencies of UTRA TDD. E-UTRAN does not configure more than one entry for the same physical frequency regardless of the ARFCN used to indicate this. EUTRAN may include *carrierFreqListUTRA-TDD-Ext* even if *carrierFreqListUTRA-TDD* (i.e without suffix) does not include *maxUTRA-TDD-Carrier* entries.

#### multiBandInfoList

Indicates the list of frequency bands in addition to the band represented by carrierFreq in the *CarrierFreqUTRA-FDD* for which UTRA cell reselection parameters are common.

#### p-MaxUTRA

The maximum allowed transmission power on the (uplink) carrier frequency, see TS 25.304 [40]. In dBm

#### g-QualMin

Parameter "Qqualmin" in TS 25.304 [40]. Actual value = field value [dB].

#### a-RxLevMin

Parameter "Q<sub>rxlevmin</sub>" in TS 25.304 [40]. Actual value = field value \* 2+1 [dBm]

### reducedMeasPerformance

Value *TRUE* indicates that the UTRA carrier frequency is configured for reduced measurement performance, see TS 36.133 [16]. If the field is not included, the UTRA carrier frequency is configured for normal measurement performance, see TS 36.133 [16].

#### t-ReselectionUTRA

Parameter "TreselectionuTRAN" in TS 36.304 [4].

### t-ReselectionUTRA-SF

Parameter "Speed dependent ScalingFactor for Treselection<sub>UTRA</sub>" in TS 36.304 [4]. If the field is not present, the UE behaviour is specified in TS 36.304 [4].

### threshX-High

Parameter "Thresh<sub>X, HighP</sub>" in TS 36.304 [4].

### threshX-HighQ

Parameter "Threshx, HighQ" in TS 36.304 [4].

### threshX-Low

Parameter "Thresh<sub>X, LowP</sub>" in TS 36.304 [4].

### threshX-LowQ

Parameter "Threshx, LowQ" in TS 36.304 [4].

Conditional presence	Explanation
RSRQ	The field is mandatory present if the threshServingLowQ is present in
	systemInformationBlockType3; otherwise it is not present.
UTRA-FDD	The field is optionally present, need OR, if the <i>carrierFreqListUTRA-FDD</i> is present.
	Otherwise it is not present.
UTRA-TDD	The field is optionally present, need OR, if the <i>carrierFreqListUTRA-TDD</i> is present.
	Otherwise it is not present

# SystemInformationBlockType7

The IE *SystemInformationBlockType7* contains information relevant only for inter-RAT cell re-selection i.e. information about GERAN frequencies relevant for cell re-selection. The IE includes cell re-selection parameters for each frequency.

### SystemInformationBlockType7 information element

```
t-ReselectionGERAN-SF
                                       SpeedStateScaleFactors
                                                                           OPTIONAL,
                                                                                       -- Need OR
   carrierFreqsInfoList
                                       CarrierFregsInfoListGERAN
                                                                           OPTIONAL,
                                                                                       -- Need OR
   lateNonCriticalExtension
                                           OCTET STRING
                                                                       OPTIONAL
CarrierFreqsInfoListGERAN ::=
                                       SEQUENCE (SIZE (1..maxGNFG)) OF CarrierFreqsInfoGERAN
                                  SEQUENCE {
CarrierFreqsInfoGERAN ::=
   carrierFreqs
                                       CarrierFreqsGERAN,
                                       SEQUENCE {
   commonInfo
       cellReselectionPriority
                                           CellReselectionPriority
                                                                          OPTIONAL.
                                                                                       -- Need OP
       ncc-Permitted
                                           BIT STRING (SIZE (8)),
                                           INTEGER (0..45),
       q-RxLevMin
       p-MaxGERAN
                                           INTEGER (0..39)
                                                                           OPTIONAL,
                                                                                       -- Need OP
                                           ReselectionThreshold,
       threshX-High
       threshX-Low
                                           ReselectionThreshold
   },
-- ASN1STOP
```

### SystemInformationBlockType7 field descriptions

#### carrierFreqs

The list of GERAN carrier frequencies organised into one group of GERAN carrier frequencies.

#### carrierFregsInfol ist

Provides a list of neighbouring GERAN carrier frequencies, which may be monitored for neighbouring GERAN cells. The GERAN carrier frequencies are organised in groups and the cell reselection parameters are provided per group of GERAN carrier frequencies.

#### commoninfo

Defines the set of cell reselection parameters for the group of GERAN carrier frequencies.

#### ncc-Permitted

Field encoded as a bit map, where bit N is set to "0" if a BCCH carrier with NCC = N-1 is not permitted for monitoring and set to "1" if the BCCH carrier with NCC = N-1 is permitted for monitoring; N = 1 to 8; bit 1 of the bitmap is the leading bit of the bit string.

### p-MaxGERAN

Maximum allowed transmission power for GERAN on an uplink carrier frequency, see TS 45.008 [28]. Value in dBm. Applicable for the neighbouring GERAN cells on this carrier frequency. If *pmaxGERAN* is absent, the maximum power according to the UE capability is used.

### q-RxLevMin

Parameter " $Q_{rxlevmin}$ " in TS 36.304 [4], minimum required RX level in the GSM cell. The actual value of  $Q_{rxlevmin}$  in dBm = (field value \* 2) – 115.

### threshX-High

Parameter "ThreshX, HighP" in TS 36.304 [4].

### threshX-Low

Parameter "Threshx, LowP" in TS 36.304 [4].

### t-ReselectionGERAN

Parameter "Treselection<sub>GERAN</sub>" in TS 36.304 [4].

### t-ReselectionGERAN-SF

Parameter "Speed dependent ScalingFactor for Treselection<sub>GERAN</sub>" in TS 36.304 [4]. If the field is not present, the UE behaviour is specified in TS 36.304 [4].

# SystemInformationBlockType8

The IE *SystemInformationBlockType8* contains information relevant only for inter-RAT cell re-selection i.e. information about CDMA2000 frequencies and CDMA2000 neighbouring cells relevant for cell re-selection. The IE includes cell re-selection parameters common for a frequency as well as cell specific re-selection parameters.

# SystemInformationBlockType8 information element

```
-- ASN1START

SystemInformationBlockType8 ::= SEQUENCE {
    systemTimeInfo SystemTimeInfoCDMA2000 OPTIONAL, -- Need OR searchWindowSize INTEGER (0..15) OPTIONAL, -- Need OR parametersHRPD SEQUENCE {
        preRegistrationInfoHRPD PreRegistrationInfoHRPD,
```

```
cellReselectionParametersHRPD CellReselectionParametersCDMA2000 OPTIONAL -- Need OR
                                                                          OPTIONAL, -- Need OR
   parameters1XRTT
                                      SEOUENCE {
                                                                                    -- Need OP
       csfb-RegistrationParam1XRTT
                                          CSFB-RegistrationParam1XRTT
                                                                          OPTIONAL,
       longCodeState1XRTT
                                          BIT STRING (SIZE (42))
                                                                          OPTIONAL,
                                                                                     -- Need OR
       cellReselectionParameters1XRTT
                                          CellReselectionParametersCDMA2000 OPTIONAL -- Need OR
                                                                          OPTIONAL. -- Need OR
   [[ csfb-SupportForDualRxUEs-r9 BOOLEAN CellResolatt
                                                                          OPTIONAL,
                                                                          OPTIONAL,
       cellReselectionParametersHRPD-v920 CellReselectionParametersCDMA2000-v920 OPTIONAL, --
Cond NCL-HRPD
       cellReselectionParameters1XRTT-v920 CellReselectionParametersCDMA2000-v920 OPTIONAL,
Cond NCL-1XRTT
       csfb-RegistrationParam1XRTT-v920
                                          CSFB-RegistrationParam1XRTT-v920
                                                                                 OPTIONAL,
Cond REG-1XRTT
       ac-BarringConfig1XRTT-r9
                                          AC-BarringConfig1XRTT-r9
                                                                     OPTIONAL
                                                                                  -- Cond REG-
1XRTT
   ]],
   [[ csfb-DualRxTxSupport-r10
                                          ENUMERATED {true}
                                                                      OPTIONAL
                                                                                  -- Cond REG-
1XRTT
   ]],
       sib8-PerPLMN-List-r11
                                   SIB8-PerPLMN-List-r11
                                                                    OPTIONAL
                                                                                  -- Need OR
   11
}
CellReselectionParametersCDMA2000 ::= SEQUENCE {
   bandClassList
                                      BandClassListCDMA2000,
   neighCellList.
                                      NeighCellListCDMA2000.
   t-ReselectionCDMA2000
                                  T-Reselection,
   t-ReselectionCDMA2000-SF
                                      SpeedStateScaleFactors
                                                                        OPTIONAL
                                                                                     -- Need OP
CellReselectionParametersCDMA2000-r11 ::= SEQUENCE {
   bandClassList
                                      BandClassListCDMA2000,
   neighCellList-r11
                                      SEQUENCE (SIZE (1..16)) OF NeighCellCDMA2000-r11,
   t-ReselectionCDMA2000
                                      T-Reselection,
   t-ReselectionCDMA2000-SF
                                     SpeedStateScaleFactors
                                                                         OPTIONAL
                                                                                   -- Need OP
CellReselectionParametersCDMA2000-v920 ::= SEQUENCE {
                                          NeighCellListCDMA2000-v920
   neighCellList-v920
NeighCellListCDMA2000 ::=
                                 SEQUENCE (SIZE (1..16)) OF NeighCellCDMA2000
NeighCellCDMA2000 ::= SEQUENCE {
   bandClass
                                      BandclassCDMA2000,
   neighCellsPerFreqList
                                      NeighCellsPerBandclassListCDMA2000
}
NeighCellCDMA2000-r11 ::= SEQUENCE {
   bandClass
                                       BandclassCDMA2000,
   neighFreqInfoList-r11
                                       SEQUENCE (SIZE (1..16)) OF NeighCellsPerBandclassCDMA2000-
r11
}
NeighCellsPerBandclassListCDMA2000 ::= SEQUENCE (SIZE (1..16)) OF NeighCellsPerBandclassCDMA2000
NeighCellsPerBandclassCDMA2000 ::= SEQUENCE {
   arfcn
                                       ARFCN-ValueCDMA2000,
   physCellIdList
                                       PhysCellIdListCDMA2000
{\tt NeighCellsPerBandclassCDMA2000-r11 ::= SEQUENCE } \{
                                       ARFCN-ValueCDMA2000,
   physCellIdList-r11
                                       SEQUENCE (SIZE (1..40)) OF PhysCellIdCDMA2000
NeighCellListCDMA2000-v920 ::=
                                  SEQUENCE (SIZE (1..16)) OF NeighCellCDMA2000-v920
NeighCellCDMA2000-v920 ::=
                                   SEQUENCE {
                                      NeighCellsPerBandclassListCDMA2000-v920
   neighCellsPerFreqList-v920
NeighCellsPerBandclassListCDMA2000-v920 ::= SEQUENCE (SIZE (1..16)) OF
NeighCellsPerBandclassCDMA2000-v920
```

```
NeighCellsPerBandclassCDMA2000-v920 ::= SEQUENCE {
                                        PhysCellIdListCDMA2000-v920
   physCellIdList-v920
PhysCellIdListCDMA2000 ::=
                                   SEQUENCE (SIZE (1..16)) OF PhysCellIdCDMA2000
PhysCellIdListCDMA2000-v920 ::=
                                   SEQUENCE (SIZE (0..24)) OF PhysCellidCDMA2000
BandClassListCDMA2000 ::=
                                   SEQUENCE (SIZE (1..maxCDMA-BandClass)) OF BandClassInfoCDMA2000
BandClassInfoCDMA2000 ::= SEQUENCE {
   bandClass
                                        BandclassCDMA2000.
                                        CellReselectionPriority OPTIONAL, -- Need OP
    cellReselectionPriority
    threshX-High
                                        INTEGER (0..63),
    threshX-Low
                                        INTEGER (0..63),
}
                                   SEQUENCE {
AC-BarringConfig1XRTT-r9 ::=
   ac-BarringOto9-r9
                                        INTEGER (0..63),
                                        INTEGER (0..7),
   ac-Barring10-r9
   ac-Barring11-r9
                                       INTEGER (0..7),
   ac-Barring12-r9
                                        INTEGER (0..7),
   ac-Barring13-r9
                                       INTEGER (0..7),
                                       INTEGER (0..7),
INTEGER (0..7),
   ac-Barring14-r9
   ac-Barring15-r9
   ac-BarringMsg-r9
                                       INTEGER (0..7),
   ac-BarringReg-r9
                                        INTEGER (0..7),
                                       INTEGER (0..7)
   ac-BarringEmg-r9
}
SIB8-PerPLMN-List-r11 ::=
                                   SEQUENCE (SIZE (1..maxPLMN-r11)) OF SIB8-PerPLMN-r11
SIB8-PerPLMN-r11 ::=
                                   SEOUENCE {
   plmn-Identity-r11
                                       INTEGER (1..maxPLMN-r11),
   parametersCDMA2000-r11
                                        CHOICE {
       explicitValue
                                            ParametersCDMA2000-r11,
       defaultValue
                                            NULL
}
ParametersCDMA2000-r11 ::=
                                   SEOUENCE {
   systemTimeInfo-r11
                                    CHOICE {
        explicitValue
                                            SystemTimeInfoCDMA2000,
       defaultValue
                                            NULL
                                                                    OPTIONAL, -- Need OR
                                        INTEGER (0..15),
    searchWindowSize-r11
       preRegistrationInfoHRPD-r11 SEQUENCE {
cellRegistrationInfoHRPD-r11
   parametersHRPD-r11
                                            PreRegistrationInfoHRPD,
        cellReselectionParametersHRPD-r11 CellReselectionParametersCDMA2000-r11 OPTIONAL -- Need
OR
           OPTIONAL,
                        -- Need OR
   parameters1XRTT-r11
                                       SEQUENCE {
       csfb-RegistrationParamlXRTT-rll CSFB-RegistrationParamlXRTT OPTIONAL, -- Need OP csfb-RegistrationParamlXRTT-Ext-rll CSFB-RegistrationParamlXRTT-v920 OPTIONAL, -- Cond
REG-1XRTT-PerPLMN
       longCodeState1XRTT-r11
                                            BIT STRING (SIZE (42)) OPTIONAL, -- Cond PerPLMN-LC
       cellReselectionParameters1XRTT-r11 CellReselectionParametersCDMA2000-r11 OPTIONAL, --
Need OR
       ac-BarringConfig1XRTT-r11
                                            AC-BarringConfig1XRTT-r9
                                                                                OPTIONAL, -- Cond
REG-1XRTT-PerPLMN
  csfb-SupportForDualRxUEs-r11
                                            BOOLEAN
                                                                                OPTIONAL, -- Need OR
       csfb-DualRxTxSupport-r11
                                            ENUMERATED {true}
                                                                       OPTIONAL -- Cond REG-1XRTT-
PerPLMN
           OPTIONAL, -- Need OR
   }
    . . .
-- ASN1STOP
```

## SystemInformationBlockType8 field descriptions

## ac-BarringConfig1XRTT

Contains the access class barring parameters the UE uses to calculate the access class barring factor, see C.S0097 [53].

# ac-Barring0to9

Parameter used for calculating the access class barring factor for access overload classes 0 through 9. It is the parameter "PSIST" in C.S0004 [34] for access overload classes 0 through 9.

#### ac-BarringEmg

Parameter used for calculating the access class barring factor for emergency calls and emergency message transmissions for access overload classes 0 through 9. It is the parameter "PSIST\_EMG" in C.S0004 [34].

#### ac-BarringMsg

Parameter used for modifying the access class barring factor for message transmissions. It is the parameter "MSG\_PSIST" in C.S0004 [34].

#### ac-BarringN

Parameter used for calculating the access class barring factor for access overload class N (N = 10 to 15). It is the parameter "PSIST" in C.S0004 [34] for access overload class N.

#### ac-BarringReg

Parameter used for modifying the access class barring factor for autonomous registrations. It is the parameter "REG\_PSIST" in C.S0004 [34].

#### bandClass

Identifies the Frequency Band in which the Carrier can be found. Details can be found in C.S0057 [24, Table 1.5].

#### bandClassList

List of CDMA2000 frequency bands.

#### cellReselectionParameters1XRTT

Cell reselection parameters applicable only to CDMA2000 1xRTT system.

#### cellReselectionParameters1XRTT-Ext

Cell reselection parameters applicable for cell reselection to CDMA2000 1XRTT system.

#### cellReselectionParameters1XRTT-v920

Cell reselection parameters applicable for cell reselection to CDMA2000 1XRTT system. The field is not present if *cellReselectionParameters1XRTT* is not present; otherwise it is optionally present.

#### cellReselectionParametersHRPD

Cell reselection parameters applicable for cell reselection to CDMA2000 HRPD system

#### cellReselectionParametersHRPD-Ext

Cell reselection parameters applicable for cell reselection to CDMA2000 HRPD system.

# cellReselectionParametersHRPD-v920

Cell reselection parameters applicable for cell reselection to CDMA2000 HRPD system. The field is not present if *cellReselectionParametersHRPD* is not present; otherwise it is optionally present.

## csfb-DualRxTxSupport

Value TRUE indicates that the network supports dual Rx/Tx enhanced 1xCSFB, which enables UEs capable of dual Rx/Tx enhanced 1xCSFB to switch off their 1xRTT receiver/transmitter while camped in E-UTRAN [51].

# csfb-RegistrationParam1XRTT

Contains the parameters the UE will use to determine if it should perform a CDMA2000 1xRTT Registration/Re-Registration. This field is included if either CSFB or enhanced CS fallback to CDMA2000 1xRTT is supported.

# csfb-SupportForDualRxUEs

Value TRUE indicates that the network supports dual Rx CSFB [51].

#### IongCodeState1XRTT

The state of long code generation registers in CDMA2000 1XRTT system as defined in C.S0002 [12], clause 1.3, at  $\lceil t/10 \rceil \times 10 + 320$  ms, where t equals to the *cdma-SystemTime*. This field is required for reporting CGI for 1xRTT,

SRVCC handover and enhanced CS fallback to CDMA2000 1xRTT operation. Otherwise this IE is not needed. This field is excluded when estimating changes in system information, i.e. changes of *longCodeState1XRTT* should neither result in system information change notifications nor in a modification of *systemInfoValueTag* in SIB1.

## neighCellList

List of CDMA2000 neighbouring cells. The total number of neighbouring cells in neighCellList for each RAT (1XRTT or HRPD) is limited to 32.

#### neighCellList-v920

Extended List of CDMA2000 neighbouring cells. The combined total number of CDMA2000 neighbouring cells in both neighCellList and neighCellList-v920 is limited to 32 for HRPD and 40 for 1xRTT.

## SystemInformationBlockType8 field descriptions

# neighCellsPerFreqList

List of carrier frequencies and neighbour cell ids in each frequency within a CDMA2000 Band, see C.S0002 [12] or C.S0024 [26].

# neighCellsPerFreqList-v920

Extended list of neighbour cell ids, in the same CDMA2000 Frequency Band as the corresponding instance in "NeighCellListCDMA2000".

#### parameters1XRTT

Parameters applicable for interworking with CDMA2000 1XRTT system.

# parametersCDMA2000

Provides the corresponding SIB8 parameters for the CDMA2000 network associated with the PLMN indicated in *plmn-Identity*. A choice is used to indicate whether for this PLMN the parameters are signalled explicitly or set to the (default) values common for all PLMNs i.e. the values not included in *sib8-PerPLMN-List*.

#### parametersHRPD

Parameters applicable only for interworking with CDMA2000 HRPD systems.

#### physCellIdList

Identifies the list of CDMA2000 cell ids, see C.S0002 [12] or C.S0024 [26].

## physCellIdList-v920

Extended list of CDMA2000 cell ids, in the same CDMA2000 ARFCN as the corresponding instance in "NeighCellsPerBandclassCDMA2000".

#### plmn-Identity

Indicates the PLMN associated with this CDMA2000 network. Value 1 indicates the PLMN listed 1st in the 1st *plmn-IdentityList* included in SIB1, value 2 indicates the PLMN listed 2nd in the same *plmn-IdentityList*, or when no more PLMN are present within the same *plmn\_identityList*, then the PLMN listed 1st in the subsequent *plmn-IdentityList* within the same SIB1 and so on. A PLMN which identity is not indicated in the *sib8-PerPLMN-List*, does not support inter-working with CDMA2000.

## preRegistrationInfoHRPD

The CDMA2000 HRPD Pre-Registration Information tells the UE if it should pre-register with the CDMA2000 HRPD network and identifies the Pre-registration zone to the UE.

#### searchWindowSize

The search window size is a CDMA2000 parameter to be used to assist in searching for the neighbouring pilots. For values see C.S0005 [25], Table 2.6.6.2.1-1, and C.S0024 [26], Table 8.7.6.2-4. This field is required for a UE with *rx-ConfigHRPD*= single and/ or *rx-Config1XRTT*= single to perform handover, cell re-selection, UE measurement based redirection and enhanced 1xRTT CS fallback from E-UTRAN to CDMA2000 according to this specification and TS 36.304 [4].

# sib8-PerPLMN-List

This field provides the values for the interworking CDMA2000 networks corresponding, if any, to the UE's RPLMN.

## systemTimeInfo

Information on CDMA2000 system time. This field is required for a UE with *rx-ConfigHRPD= single* and/ or *rx-Config1XRTT= single* to perform handover, cell re-selection, UE measurement based redirection and enhanced 1xRTT CS fallback from E-UTRAN to CDMA2000 according to this specification and TS 36.304 [4]. This field is excluded when estimating changes in system information, i.e. changes of *systemTimeInfo* should neither result in system information change notifications nor in a modification of *systemInfoValueTag* in SIB1.

For the field included in *ParametersCDMA2000*, a choice is used to indicate whether for this PLMN the parameters are signalled explicitly or set to the (default) value common for all PLMNs i.e. the value not included in *sib8-PerPLMN-List*.

# threshX-High

Parameter "Threshx,  $_{HighP}$ " in TS 36.304 [4]. This specifies the high threshold used in reselection towards this CDMA2000 band class expressed as an unsigned binary number equal to FLOOR (-2 x 10 x  $log_{10}$  E<sub>c</sub>/ $l_o$ ) in units of 0.5 dB, as defined in C.S0005 [25].

#### threshX-Low

Parameter "Thresh<sub>X, LowP</sub>" in TS 36.304 [4]. This specifies the low threshold used in reselection towards this CDMA2000 band class expressed as an unsigned binary number equal to FLOOR (-2 x 10 x  $log_{10}$  E<sub>c</sub>/I<sub>o</sub>) in units of 0.5 dB, as defined in C.S0005 [25].

## t-ReselectionCDMA2000

Parameter "TreselectionCDMA\_HRPD" or "TreselectionCDMA\_1xRTT" in TS 36.304 [4].

# t-ReselectionCDMA2000-SF

Parameter "Speed dependent ScalingFactor for Treselection<sub>CDMA-HRPD</sub>" or Treselection<sub>CDMA-1xRTT</sub>" in TS 36.304 [4]. If the field is not present, the UE behaviour is specified in TS 36.304 [4].

Conditional presence	Explanation
NCL-1XRTT	The field is optional present, need OR, if <i>cellReselectionParameters1xRTT</i> is present;
	otherwise it is not present.
NCL-HRPD	The field is optional present, need OR, if cellReselectionParametersHRPD is present;
	otherwise it is not present.
PerPLMN-LC	The field is optional present, need OR, when systemTimeInfo is included in
	SIB8PerPLMN for this CDMA2000 network; otherwise it is not present.
REG-1XRTT	The field is optional present, need OR, if csfb-RegistrationParam1XRTT is present;
	otherwise it is not present.
REG-1XRTT-PerPLMN	The field is optional present, need OR, if csfb-RegistrationParam1XRTT is included in
	SIB8PerPLMN for this CDMA2000 network; otherwise it is not present.

# SystemInformationBlockType9

The IE SystemInformationBlockType9 contains a home eNB name (HNB Name).

# SystemInformationBlockType9 information element

```
-- ASN1START

SystemInformationBlockType9 ::= SEQUENCE {
   hnb-Name OCTET STRING (SIZE(1..48)) OPTIONAL, -- Need OR
   ...,
   lateNonCriticalExtension OCTET STRING OPTIONAL
}

-- ASN1STOP
```

# SystemInformationBlockType9 field descriptions hnb-Name Carries the name of the home eNB, coded in UTF-8 with variable number of bytes per character, see TS 22.011 [10].

# SystemInformationBlockType10

The IE SystemInformationBlockType10 contains an ETWS primary notification.

# SystemInformationBlockType10 information element

```
-- ASN1START
SystemInformationBlockType10 ::=
                                SEQUENCE {
   messageIdentifier
                                 BIT STRING (SIZE (16)),
   serialNumber
                                    BIT STRING (SIZE (16)),
   warningType
                                    OCTET STRING (SIZE (2)),
   dummy
                                    OCTET STRING (SIZE (50))
                                                              OPTIONAL,
                                                                             -- Need OP
   lateNonCriticalExtension
                             OCTET STRING
                                                              OPTIONAL
-- ASN1STOP
```

# SystemInformationBlockType10 field descriptions

#### messageldentifier

Identifies the source and type of ETWS notification. The leading bit (which is equivalent to the leading bit of the equivalent IE defined in TS 36.413 [39], clause 9.2.1.44) contains bit 7 of the first octet of the equivalent IE, defined in and encoded according to TS 23.041 [37], clause 9.4.3.2.1, while the trailing bit contains bit 0 of the second octet of the same equivalent IE.

#### serialNumber

Identifies variations of an ETWS notification. The leading bit (which is equivalent to the leading bit of the equivalent IE defined in TS 36.413 [39], clause 9.2.1.45), contains bit 7 of the first octet of the equivalent IE, defined in and encoded according to TS 23.041 [37], clause 9.4.3.2.2, while the trailing bit contains bit 0 of the second octet of the same equivalent IE.

#### dummy

This field is not used in the specification. If received it shall be ignored by the UE.

## warningType

Identifies the warning type of the ETWS primary notification and provides information on emergency user alert and UE popup. The first octet (which is equivalent to the first octet of the equivalent IE defined in TS 36.413 [39], clause 9.2.1.50) contains the first octet of the equivalent IE defined in and encoded according to TS 23.041 [37], clause 9.3.24, and so on.

# SystemInformationBlockType11

The IE SystemInformationBlockType11 contains an ETWS secondary notification.

# SystemInformationBlockType11 information element

```
-- ASN1START
SystemInformationBlockType11 ::= SEQUENCE {
    messageIdentifier
                                     BIT STRING (SIZE (16)),
    serialNumber
                                     BIT STRING (SIZE (16)),
    warningMessageSegmentType
                                      ENUMERATED {notLastSegment, lastSegment},
    warningMessageSegmentNumber
                                      INTEGER (0..63),
    warningMessageSegment
                                      OCTET STRING,
    dataCodingScheme
                                      OCTET STRING (SIZE (1)) OPTIONAL, -- Cond Segment1
    lateNonCriticalExtension
                                          OCTET STRING
                                                                     OPTIONAL
}
-- ASN1STOP
```

# SystemInformationBlockType11 field descriptions

#### dataCodingScheme

Identifies the alphabet/coding and the language applied variations of an ETWS notification. The octet (which is equivalent to the octet of the equivalent IE defined in TS 36.413 [39], clause 9.2.1.52), contains the octet of the equivalent IE defined in TS 23.041 [37], clause 9.4.3.2.3, and encoded according to TS 23.038 [38].

#### messageldentifier

Identifies the source and type of ETWS notification. The leading bit (which is equivalent to the leading bit of the equivalent IE defined in TS 36.413 [39], clause 9.2.1.44), contains bit 7 of the first octet of the equivalent IE, defined in and encoded according to TS 23.041 [37], clause 9.4.3.2.1, while the trailing bit contains bit 0 of second octet of the same equivalent IE.

#### serialNumber

Identifies variations of an ETWS notification. The leading bit (which is equivalent to the leading bit of the equivalent IE defined in TS 36.413 [39], clause 9.2.1.45) contains bit 7 of the first octet of the equivalent IE, defined in and encoded according to TS 23.041 [37], clause 9.4.3.2.2, while the trailing bit contains bit 0 of second octet of the same equivalent IE.

# warningMessageSegment

Carries a segment of the *Warning Message Contents* IE defined in TS 36.413 [39], clause 9.2.1.53. The first octet of the *Warning Message Contents* IE is equivalent to the first octet of the *CB data* IE defined in and encoded according to TS 23.041 [37], clause 9.4.2.2.5, and so on.

# warningMessageSegmentNumber

Segment number of the ETWS warning message segment contained in the SIB. A segment number of zero corresponds to the first segment, one corresponds to the second segment, and so on.

# SystemInformationBlockType11 field descriptions warningMessageSegmentType Indicates whether the included ETWS warning message segment is the last segment or not.

Conditional presence	Explanation
Segment1	The field is mandatory present in the first segment of SIB11, otherwise it is not present.

# SystemInformationBlockType12

The IE SystemInformationBlockType12 contains a CMAS notification.

# SystemInformationBlockType12 information element

# SystemInformationBlockType12 field descriptions

# dataCodingScheme

Identifies the alphabet/coding and the language applied variations of a CMAS notification. The octet (which is equivalent to the octet of the equivalent IE defined in TS 36.413 [39], clause 9.2.1.52), contains the octet of the equivalent IE defined in TS 23.041 [37], clause 9.4.3.2.3, and encoded according to TS 23.038 [38].

#### messageldentifier

Identifies the source and type of CMAS notification. The leading bit (which is equivalent to the leading bit of the equivalent IE defined in TS 36.413 [39], clause 9.2.1.44) contains bit 7 of the first octet of the equivalent IE, defined in and encoded according to TS 23.041 [37], clause 9.4.3.2.1, while the trailing bit contains bit 0 of second octet of the same equivalent IE.

# serialNumber

Identifies variations of a CMAS notification. The leading bit (which is equivalent to the leading bit of the equivalent IE defined in TS 36.413 [39], clause 9.2.1.45), contains bit 7 of the first octet of the equivalent IE, defined in and encoded according to TS 23.041 [37], clause 9.4.3.2.2, while the trailing bit contains bit 0 of second octet of the same equivalent IE.

# warningAreaCoordinatesSegment

If present, carries a segment, with one or more octets, of the geographical area where the CMAS warning message is valid as defined in [98]. The first octet of the first warningAreaCoordinatesSegment is equivalent to the first octet of Warning Area Coordinates IE defined in and encoded according to TS 23.041 [37] and so on.

# warningMessageSegment

Carries a segment, with one or more octets, of the *Warning Message Contents* IE defined in TS 36.413 [39]. The first octet of the *Warning Message Contents* IE is equivalent to the first octet of the *CB data* IE defined in and encoded according to TS 23.041 [37], clause 9.4.2.2.5, and so on.

#### warningMessageSegmentNumber

Segment number of the CMAS warning message segment contained in the SIB. A segment number of zero corresponds to the first segment, one corresponds to the second segment, and so on. If warning area coordinates are provided for the warning message, then this field applies to both warning message segment and warning area coordinates segment.

# warningMessageSegmentType

Indicates whether the included CMAS warning message segment is the last segment or not. If warning area coordinates are provided for the warning message, then this field applies to both warning message segment and warning area coordinates segment.

Conditional presence	Explanation
Segment1	The field is mandatory present in the first segment of SIB12, otherwise it is not present.

# SystemInformationBlockType13

The IE *SystemInformationBlockType13* contains the information required to acquire the MBMS control information associated with one or more MBSFN areas.

# SystemInformationBlockType13 information element

# SystemInformationBlockType13 field descriptions

## notificationConfig

Indicates the MBMS notification related configuration parameters. The UE shall ignore this field when *dl-Bandwidth* included in *MasterInformationBlock* is set to n6.

Conditional presence	Explanation
Ded15or25PRB	The field is optionally present, need OR, for an MBMS-dedicated cell when dl-Bandwidth-
	MBMS is set to n15 or n25. Otherwise the field is not present.

# SystemInformationBlockType14

The IE SystemInformationBlockType14 contains the EAB parameters.

# SystemInformationBlockType14 information element

```
-- ASN1START
{\tt SystemInformationBlockType14-r11} ::= {\tt SEQUENCE} \ \{
        -Param-r11 CHOICE {
eab-Common-r11 EAB-
eab-PerPLMN-List-r11 SEQU
    eab-Param-r11
       eab-Common-r11
                                                EAB-Config-r11,
                                                 SEQUENCE (SIZE (1..maxPLMN-r11)) OF EAB-ConfigPLMN-
r11
                                                            OPTIONAL, -- Need OR
    lateNonCriticalExtension
                                           OCTET STRING
                                                                     OPTIONAL,
    ...,
[[ eab-PerRSRP-r15
                             ENUMERATED {thresh0, thresh1, thresh2, thresh3} OPTIONAL --
Need OR
    ]]
EAB-ConfigPLMN-r11 ::=
eab-Config-r11
                                    SEQUENCE {
                                        EAB-Config-r11 OPTIONAL -- Need OR
    eab-Config-r11
EAB-Config-r11 ::=
                                    SEQUENCE {
                                        ENUMERATED {a, b, c},
    eab-Category-r11
    eab-BarringBitmap-r11
                                        BIT STRING (SIZE (10))
```

-- ASN1STOP

# SystemInformationBlockType14 field descriptions

## eab-BarringBitmap

Extended access class barring for AC 0-9. The first/ leftmost bit is for AC 0, the second bit is for AC 1, and so on.

#### eab-Category

Indicates the category of UEs for which EAB applies. Value *a* corresponds to all UEs, value *b* corresponds to the UEs that are neither in their HPLMN nor in a PLMN that is equivalent to it, and value *c* corresponds to the UEs that are neither in the PLMN listed as most preferred PLMN of the country where the UEs are roaming in the operator-defined PLMN selector list on the USIM, nor in their HPLMN nor in a PLMN that is equivalent to their HPLMN, see TS 22.011 [10].

#### eab-Common

The EAB parameters applicable for all PLMN(s).

#### eab-PerPLMN-List

The EAB parameters per PLMN, listed in the same order as the PLMN(s) listed across the *plmn-IdentityList* fields in *SystemInformationBlockType1*.

## eab-PerRSRP

Access barring per RSRP. Value *thresh0* means access to the cell is barred when in enhanced coverage as specified in TS 36.304 [4] and does not apply to UEs satisfying S criteria for normal coverage. Value *thresh1* is compared to the first entry configured in *rsrp-ThresholdsPrachInfoList*, value thresh2 is compared to the second entry configured in *rsrp-ThresholdsPrachInfoList* and so on.

# SystemInformationBlockType15

The IE *SystemInformationBlockType15* contains the MBMS Service Area Identities (SAI) of the current and/ or neighbouring carrier frequencies.

# SystemInformationBlockType15 information element

```
-- ASN1START
SystemInformationBlockType15-r11 ::=
                                       SEQUENCE {
    mbms-SAI-IntraFreq-r11
                                           MBMS-SAI-List-r11
                                                                           OPTIONAL,
                                                                                       -- Need OR
                                           MBMS-SAI-InterFreqList-r11
    mbms-SAI-InterFreqList-r11
                                                                           OPTIONAL,
                                                                                       -- Need OR
    lateNonCriticalExtension
                                           OCTET STRING
                                                                           OPTIONAL.
    [[ mbms-SAI-InterFreqList-v1140
                                           MBMS-SAI-InterFreqList-v1140
                                                                           OPTIONAL
                                                                                       -- Cond
InterFreq
    ]],
    [[ mbms-IntraFreqCarrierType-r14
                                           MBMS-CarrierType-r14
                                                                           OPTIONAL,
                                                                                      -- Need OR
       mbms-InterFreqCarrierTypeList-r14
                                           MBMS-InterFreqCarrierTypeList-r14 OPTIONAL
                                                                                           -- Need
OR
    11
MBMS-SAI-List-r11 ::=
                                       SEQUENCE (SIZE (1..maxSAI-MBMS-r11)) OF MBMS-SAI-r11
                                       INTEGER (0..65535)
MBMS-SAI-r11 ::=
MBMS-SAI-InterFreqList-r11 ::=
                                       SEQUENCE (SIZE (1..maxFreq)) OF MBMS-SAI-InterFreq-r11
MBMS-SAI-InterFreqList-v1140 ::=
                                       SEQUENCE (SIZE (1..maxFreq)) OF MBMS-SAI-InterFreq-v1140
MBMS-SAI-InterFreq-r11 ::=
                                       SEQUENCE {
                                           ARFCN-ValueEUTRA-r9,
   dl-CarrierFreq-r11
                                           MBMS-SAI-List-r11
   mbms-SAI-List-r11
MBMS-SAI-InterFreq-v1140 ::=
                                       SEQUENCE {
       multiBandInfoList-r11
                                           MultiBandInfoList-r11
                                                                         OPTIONAL
                                                                                       -- Need OR
MBMS-InterFreqCarrierTypeList-r14 ::= SEQUENCE (SIZE (1..maxFreq)) OF MBMS-CarrierType-r14
MBMS-CarrierType-r14 ::=
                                       SEOUENCE {
                                           ENUMERATED {mbms, fembmsMixed, fembmsDedicated},
    carrierType-r14
    frameOffset-r14
                                           INTEGER (0..3)
                                                                           OPTIONAL
                                                                                      -- Need OR
```

-- ASN1STOP

# SystemInformationBlockType15 field descriptions

# carrierType

Indicates whether the carrier is pre-Rel-14 MBMS carrier (*mbms*) or FeMBMS/Unicast mixed carrier (*fembmsMixed*) or MBMS-dedicated carrier (*fembmsDedicated*).

#### frameOffse:

For MBMS-dedicated carrier, the *frameOffset* gives the radio frame which contains PBCH by SFN mod 4 = *frameOffset*.

## mbms-InterFregCarrierTypeList

Indicates whether this is an feMBMS carrier. The field is included only if *mbms-SAI-InterFreqList-r11* is included. The number of entries is the same in both fields and carrier type relates to the frequency indicated in *mbms-SAI-InterFreqList-r11* in the corresponding entry index.

#### mbms-IntraFreqCarrierType

Contains indication whether the carrier is pre-Rel-14 MBMS carrier, FeMBMS/Unicast mixed carrier or MBMS-dedicated carrier.

#### mbms-SAI-InterFreqList

Contains a list of neighboring frequencies including additional bands, if any, that provide MBMS services and the corresponding MBMS SAIs.

#### mbms-SAI-IntraFreq

Contains the list of MBMS SAIs for the current frequency. A duplicate MBMS SAI indicates that this and all following SAIs are not offered by this cell but only by neighbour cells on the current frequency. For MBMS service continuity, the UE shall use all MBMS SAIs listed in *mbms-SAI-IntraFreq* to derive the MBMS frequencies of interest.

#### mbms-SAI-List

Contains a list of MBMS SAIs for a specific frequency.

#### multiBandInfoList

A list of additional frequency bands applicable for the cells participating in the MBSFN transmission.

Conditional presence	Explanation
<i>InterFreq</i>	The field is optionally present, need OR, if the <i>mbms-SAI-InterFreqList-r11</i> is present.
	Otherwise it is not present.

# SystemInformationBlockType16

The IE *SystemInformationBlockType16* contains information related to GPS time and Coordinated Universal Time (UTC). The UE may use the parameters provided in this system information block to obtain the UTC, the GPS and the local time.

NOTE: The UE may use the time information for numerous purposes, possibly involving upper layers e.g. to assist GPS initialisation, to synchronise the UE clock (a.o. to determine MBMS session start/stop).

# SystemInformationBlockType16 information element

```
-- ASN1START
                                          SEQUENCE
SystemInformationBlockType16-r11 ::=
    timeInfo-r11
                                          SEOUENCE
       timeInfoUTC-r11
                                          INTEGER (0..549755813887),
                                          BIT STRING (SIZE (2))
       dayLightSavingTime-r11
                                                                     OPTIONAL,
                                                                                 -- Need OR
                                                                     OPTIONAL,
                                          INTEGER (-127..128)
       leapSeconds-r11
                                                                                 -- Need OR
                                                                     OPTIONAL
       localTimeOffset-r11
                                          INTEGER (-63..64)
                                                                                 -- Need OR
                                                                     OPTIONAL,
                                                                                 -- Need OR
   lateNonCriticalExtension
                                      OCTET STRING
                                                                  OPTIONAL,
       timeReferenceInfo-r15
                                          TimeReferenceInfo-r15 OPTIONAL
                                                                             -- Need OR
-- ASN1STOP
```

# SystemInformationBlockType16 field descriptions

#### dayLightSavingTime

It indicates if and how daylight saving time (DST) is applied to obtain the local time. The semantics is the same as the semantics of the *Daylight Saving Time* IE in TS 24.301 [35] and TS 24.008 [49]. The first/leftmost bit of the bit string contains the b2 of octet 3, i.e. the value part of the *Daylight Saving Time* IE, and the second bit of the bit string contains b1 of octet 3.

#### **leapSeconds**

Number of leap seconds offset between GPS Time and UTC. UTC and GPS time are related i.e. GPS time - leapSeconds = UTC time.

#### **localTimeOffset**

Offset between UTC and local time in units of 15 minutes. Actual value = field value \* 15 minutes. Local time of the day is calculated as UTC time + *localTimeOffset*.

#### timeInfoUTC

Coordinated Universal Time corresponding to the SFN boundary at or immediately after the ending boundary of the SI-window in which *SystemInformationBlockType16* is transmitted. In an NTN cell, the indicated time is referenced at the uplink time synchronization reference point (RP), i.e., UE should take into account the propagation delay between UE and RP when determining the UTC time at the UE. The field counts the number of UTC seconds in 10 ms units since 00:00:00 on Gregorian calendar date 1 January, 1900 (midnight between Sunday, December 31, 1899 and Monday, January 1, 1900). NOTE 1.

This field is excluded when estimating changes in system information, i.e. changes of *timeInfoUTC* should neither result in system information change notifications nor in a modification of *systemInfoValueTag* in SIB1.

NOTE 1: The UE may use this field together with the leapSeconds field to obtain GPS time as follows: GPS Time (in seconds) = timeInfoUTC (in seconds) - 2,524,953,600 (seconds) + leapSeconds, where 2,524,953,600 is the number of seconds between 00:00:00 on Gregorian calendar date 1 January, 1900 and 00:00:00 on Gregorian calendar date 6 January, 1980 (start of GPS time).

# SystemInformationBlockType17

The IE SystemInformationBlockType17 contains information relevant for traffic steering between E-UTRAN and WLAN.

# SystemInformationBlockType17 information element

```
-- ASN1START
                                       SEQUENCE {
SystemInformationBlockType17-r12 ::=
    wlan-OffloadInfoPerPLMN-List-r12
                                              SEQUENCE (SIZE (1..maxPLMN-r11)) OF
                                          WLAN-OffloadInfoPerPLMN-r12
                                                                                OPTIONAL, -- Need OR
    lateNonCriticalExtension
                                              OCTET STRING
                                                                            OPTIONAL,
}
WLAN-OffloadInfoPerPLMN-r12 ::=
                                         SEQUENCE {
                                              WLAN-OffloadConfig-r12 OPTIONAL,
                                                                                       -- Need OR
        wlan-OffloadConfigCommon-r12
        wlan-Id-List-r12
                                              WLAN-Id-List-r12
                                                                            OPTIONAL.
                                                                                         -- Need OR
WLAN-Id-List-r12 ::=
                                     SEQUENCE (SIZE (1..maxWLAN-Id-r12)) OF WLAN-Identifiers-r12
WLAN-Identifiers-r12 ::=
                                      SEQUENCE {
                                      OCTET STRING (SIZE (1..32)) OPTIONAL,
OCTET STRING (SIZE (6)) OPTIONAL,
OCTET STRING (SIZE (6)) OPTIONAL,
    ssid-r12
                                                                                     -- Need OR
                                                                                     -- Need OR
    bssid-r12
    hessid-r12
                                                                                   -- Need OR
-- ASN1STOP
```

## SystemInformationBlockType17 field descriptions

# bssid

Basic Service Set Identifier (BSSID) defined in IEEE 802.11-2012 [67].

# hessid

Homogenous Extended Service Set Identifier (HESSID) defined in IEEE 802.11-2012 [67].

#### ssid

Service Set Identifier (SSID) defined in IEEE 802.11-2012 [67].

# SystemInformationBlockType17 field descriptions

#### wlan-OffloadInfoPerPLMN-List

The WLAN offload configuration per PLMN includes the same number of entries, listed in the same order as the PLMN(s) listed across the *plmn-IdentityList* fields in *SystemInformationBlockType1*.

# SystemInformationBlockType18

The IE *SystemInformationBlockType18* indicates E-UTRAN supports the sidelink UE information procedure and may contain sidelink communication related resource configuration information.

# SystemInformationBlockType18 information element

```
-- ASN1START
SystemInformationBlockType18-r12 ::= SEQUENCE {
                          SEQUENCE {
    commConfig-r12
                                           SL-CommRxPoolList-r12,
        commRxPool-r12
        commTxPoolNormalCommon-r12
commTxPoolExceptional-r12
commSyncConfig-r12
                                               SL-CommTxPoolList-r12
SL-CommTxPoolList-r12
                                                                                   OPTIONAL,
                                                                                                 -- Need OR
                                                                             OPTIONAL, -- Need OR
                                                SL-SyncConfigList-r12
                                                                                            -- Need OR
                                                                                OPTIONAL
                                                                                    OPTIONAL,
                                                                                                 -- Need OR
                                          OCTET STRING
    lateNonCriticalExtension
                                                                                    OPTIONAL.
       commTxPoolNormalCommonExt-r13
commTxResourceUC-ReqAllowed-r13
                                                    SL-CommTxPoolListExt-r13 OPTIONAL, -- Need OR
                                                    ENUMERATED {true} OPTIONAL, -- Need OR ENUMERATED {true} OPTIONAL -- Need
        commTxAllowRelayCommon-r13
                                                                                    OPTIONAL -- Need OR
    11
-- ASN1STOP
```

## SystemInformationBlockType18 field descriptions

#### commRxPool

Indicates the resources by which the UE is allowed to receive sidelink communication while in RRC\_IDLE and while in RRC\_CONNECTED.

## commSyncConfig

Indicates the configuration by which the UE is allowed to receive and transmit synchronisation information. E-UTRAN configures *commSyncConfig* including *txParameters* when configuring UEs by dedicated signalling to transmit synchronisation information.

# commTxAllowRelayCommon

Indicates whether the UE is allowed to transmit relay related sidelink communication data using the transmission pools included in *SystemInformationBlockType18* i.e. either via *commTxPoolNormalCommon*, *commTxPoolNormalCommonExt* or via *commTxPoolExceptional*.

#### commTxPoolExceptional

Indicates the resources by which the UE is allowed to transmit sidelink communication in exceptional conditions, as specified in 5.10.4.

# commTxPoolNormalCommon

Indicates the resources by which the UE is allowed to transmit sidelink communication while in RRC\_IDLE or when in RRC\_CONNECTED while transmitting sidelink via a frequency other than the primary.

# commTxPoolNormalCommonExt

Indicates transmission resource pool(s) in addition to the pool(s) indicated by field *commTxPoolNormalCommon*, by which the UE is allowed to transmit sidelink communication while in RRC\_IDLE or when in RRC\_CONNECTED while transmitting sidelink via a frequency other than the primary. E-UTRAN configures *commTxPoolNormalCommonExt* only when it configures *commTxPoolNormalCommon*.

## commTxResourceUC-RegAllowed

Indicates whether the UE is allowed to request transmission pools for non-relay related one-to-one sidelink communication.

# SystemInformationBlockType19

The IE *SystemInformationBlockType19* indicates E-UTRAN supports the sidelink UE information procedure and may contain sidelink discovery related resource configuration information.

# SystemInformationBlockType19 information element

```
-- ASN1START
SystemInformationBlockType19-r12 ::= SEQUENCE {
        discrxpool-r12 SEQUENCE {
    discConfig-r12
        discTxPowerInfo-r12 SL-DiscTxPowerInfoList-r12, SL-DiscTxPowerInfo-r12 SL-DiscTxPowerInfoList-r12 OPTIONAL, -- Need OR OPTIONAL, -- Need OR OPTIONAL, -- Need OR
                                                                                                -- Need OR
                                                                                   OPTIONAL, -- Need OR
                                         SL-CarrierFreqInfoList-r12
OCTET STRING
    discInterFreqList-r12
                                                                              OPTIONAL,
    discInterFreqList-r12
lateNonCriticalExtension
                                                                                   OPTIONAL.
            cConfig-v1310 SEQUENCE {
discInterFreqList-v1310 SL-CarrierFreqInfoList-v1310 OPTIONAL, -- Need
gapRequestsAllowedCommon ENUMERATED {true} OPTIONAL -- Need OR
OPTIONAL, -- Need OR
    [[ discConfig-v1310
                                                                                                -- Need OR
            relayUE-Config-r13 SEQUENCE {
relayUE-Config-r13 SL-Disc
remoteUE-Config-r13 SI.-Disc
                                                                              OPTIONAL,
                                                                                           -- Need OR
         discConfigRelay-r13
                                          SL-DiscConfigRelayUE-r13,
SL-DiscConfigRemoteUE-r13
            cConfigPS-13 SEQUENCE {
discRxPoolPS-r13 SL-DiscRxPoolList-r12,
discTxPoolPS-Common-r13 SL-DiscTxPoolList-r12
                                                                               OPTIONAL,
                                                                                             -- Need OR
         discConfigPS-13
                                                                             OPTIONAL
                                                                                             -- Need OR
                                                                               OPTIONAL
                                                                                             -- Need OR
    ]]
}
SL-CarrierFreqInfoList-r12 ::= SEQUENCE (SIZE (1..maxFreq)) OF SL-CarrierFreqInfo-r12
SL-CarrierFreqInfoList-v1310 ::= SEQUENCE (SIZE (1..maxFreq)) OF SL-CarrierFreqInfo-v1310
SL-CarrierFreqInfo-r12::= SEQUENCE {
                                  ARFCN-ValueEUTRA-r9,
   carrierFreq-r12
    plmn-IdentityList-r12
                                      PLMN-IdentityList4-r12 OPTIONAL -- Need OP
OPTIONAL,
                                                                           OPTIONAL, -- Need OR
    hystMax-r13
                             ENUMERATED {dB0, dB3, dB6, dB9, dB12, dBinf} OPTIONAL, -- Cond
ThreshHigh
    hystMin-r13
                             ENUMERATED {dB0, dB3, dB6, dB9, dB12} OPTIONAL -- Cond ThreshLow
OPTIONAL, -- Need OR
    hvstMax-r13
                              ENUMERATED {dB0, dB3, dB6, dB9, dB12} OPTIONAL, -- Cond ThreshHigh
    reselectionInfoIC-r13 ReselectionInfoRelay-r13
}
ReselectionInfoRelay-r13 ::= SEQUENCE {
   q-RxLevMin-r13
                                      Q-RxLevMin,
     -- Note that the mapping of invidual values may be different for PC5, but the granularity/
    -- number of values is same as for Uu
    filterCoefficient-r13 FilterCoefficient,
    minHyst-r13
                                   ENUMERATED {dB0, dB3,
                                           dB6, dB9, dB12, dBinf} OPTIONAL -- Need OR
}
SL-CarrierFreqInfo-v1310::= SEQUENCE {
    discResourcesNonPS-r13 SL-ResourcesInterFreq-r13 OPTIONAL, -- Need OR discResourcesPS-r13 SL-ResourcesInterFreq-r13 OPTIONAL, -- Need OR discConfigOther-r13 SL-DiscConfigOtherInterFreq-r13 OPTIONAL, -- Need OR
}
PLMN-IdentityList4-r12 ::= SEQUENCE (SIZE (1..maxPLMN-r11)) OF PLMN-IdentityInfo2-r12
PLMN-IdentityInfo2-r12 ::=
                                   CHOICE {
   plmn-Index-r12
                                       INTEGER (1..maxPLMN-r11),
    plmnIdentity-r12
                                       PLMN-Identity
SL-DiscTxResourcesInterFreq-r13 ::= CHOICE {
   acquireSI-FromCarrier-r13 NULL,
discTxPoolCommon-r13 SL-DiscTxPoolList-r12,
```

```
requestDedicated-r13
                                           NULL,
    noTxOnCarrier-r13
                                           NULL
}
SL-DiscConfigOtherInterFreq-r13::= SEQUENCE {
    txPowerInfo-r13 SL-DiscTxPowerInfoList-r12 refCarrierCommon-r13 ENUMERATED {pCell} discSyncConfig-r13 SL-SyncConfigListNFreq-r13
                                                                                    OPTIONAL,
    txPowerInfo-r13
                                                                                                     -- Cond Tx
                                                                                      OPTIONAL,
                                                                                                     -- Need OR
                                                                                                     -- Need OR
                                                                                      OPTIONAL,
    discCellSelectionInfo-r13 CellSelectionInfoNFreq-r13
                                                                                      OPTIONAL
                                                                                                     -- Need OR
SL-ResourcesInterFreq-r13 ::= SEQUENCE {
    discRxResourcesInterFreq-r13 SL-DiscRxPoolList-r12 discTxResourcesInterFreq-r13 SL-DiscTxResourcesInterFreq-r13
                                                                                      OPTIONAL,
                                                                                                     -- Need OR
                                                                                      OPTIONAL
                                                                                                     -- Need OR
-- ASN1STOP
```

# SystemInformationBlockType19 field descriptions

#### discCellSelectionInfo

Parameters that may be used by the UE to select/ reselect a cell on the concerned non serving frequency. If absent, the UE acquires the information from the target cell on the concerned frequency. See TS 36.304 [4], clause 11.4.

#### discInterFreqList

Indicates the neighbouring frequencies on which sidelink discovery announcement is supported. May also provide further information i.e. reception resource pool and/ or transmission resource pool, or an indication how resources could be obtained.

## discRxPool

Indicates the resources by which the UE is allowed to receive non-PS related sidelink discovery announcements while in RRC\_IDLE and while in RRC\_CONNECTED.

## discRxPoolPS

Indicates the resources by which the UE is allowed to receive PS related sidelink discovery announcements while in RRC\_IDLE and while in RRC\_CONNECTED.

# discRxResourcesInterFreq

Indicates the resource pool configuration for receiving discovery announcements on a carrier frequency.

#### discSyncConfig

Indicates the configuration by which the UE is allowed to receive and transmit synchronisation information. E-UTRAN configures *discSyncConfig* including *txParameters* when configuring UEs by dedicated signalling to transmit synchronisation information.

## discTxPoolCommon

Indicates the resources by which the UE is allowed to transmit non-PS related sidelink discovery announcements while in RRC\_IDLE.

#### discTxPoolPS-Common

Indicates the resources by which the UE is allowed to transmit PS related sidelink discovery announcements while in RRC IDLE.

# discTxResourcesInterFreq

For the concerned frequency, either provides the UE with a pool of sidelink discovery announcement transmission resources the UE is allowed to use while in RRC\_IDLE, or indicates whether such transmission is allowed, and if so how the UE may obtain the required resources. Value *noTxOnCarrier* indicates that the UE is not allowed to transmit sidelink discovery announcements on the concerned frequency. Value *acquireSI-FromCarrier* indicates that the required resources are to be obtained by autonomously acquiring SIB19 and other relevant SIBs from the concerned frequency. Value *requestDedicated* indicates, that for the concerned carrier, the required sidelink discovery resources are to be obtained by means of a dedicated resource request using the *SidelinkUEInformation* message.

# plmn-IdentityList

List of PLMN identities for the neighbouring frequency indicated by *carrierFreq*. Absence of the field indicates the same PLMN identities as listed across the *plmn-IdentityList* fields (without suffix) in *SystemInformationBlockType1*.

## plmn-Index

Index of the corresponding entry across the *plmn-IdentityList* fields (without suffix) within *SystemInformationBlockType1*.

## refCarrierCommon

Indicates if the PCell (RRC\_CONNECTED)/ serving cell (RRC\_IDLE) is to be used as reference for DL measurements and synchronization, instead of the DL frequency paired with the one used to transmit sidelink discovery announcements on, see TS 36.213 [23], clause 14.3.1.

# reselectionInfolC

Includes the parameters used by the UE when selecting/ reselecting a sidelink relay UE.

#### SL-CarrierFregInfoList-v1310

If included, the UE shall include the same number of entries, and listed in the same order, as in *SL-CarrierFreqInfoList-r12*.

# SystemInformationBlockType19 field descriptions

## threshHigh, threshLow (relayUE)

Indicates when a sidelink remote UE or sidelink relay UE that is in network coverage may use the broadcast PS related sidelink discovery Tx resource pool, if broadcast, or request Tx resources by dedicated signalling otherwise. For remote UEs, this parameter is used similarly for relay related sidelink communication.

Conditional presence	Explanation
ThreshHigh	The field is mandatory present if <i>threshHigh</i> is included in the corresponding IE.
	Otherwise the field is not present and UE shall delete any existing value for this field.
ThreshLow	The field is mandatory present if <i>threshLow</i> is included. Otherwise the field is not present
	UE shall delete any existing value for this field.
Tx	The field is mandatory present if <i>discTxPoolCommon</i> is included. Otherwise the field is
	optional present, need OR.

# SystemInformationBlockType20

The IE *SystemInformationBlockType20* contains the information required to acquire the control information associated transmission of MBMS using SC-PTM.

# SystemInformationBlockType20 information element

```
-- ASN1START
SystemInformationBlockType20-r13 ::=
                                      SEOUENCE {
    sc-mcch-RepetitionPeriod-r13
                                      ENUMERATED {rf2, rf4, rf8, rf16, rf32, rf64, rf128, rf256},
    sc-mcch-Offset-r13
                                   INTEGER (0..10),
   sc-mcch-Offset-r13 INTEGER (0..10)
sc-mcch-FirstSubframe-r13 INTEGER (0..9),
                                   INTEGER (2..9) OPTIONAL,
    sc-mcch-duration-r13
    sc-mcch-ModificationPeriod-r13 ENUMERATED {rf2, rf4, rf8, rf16, rf32, rf64, rf128, rf256,
                                       rf512, rf1024, r2048, rf4096, rf8192, rf16384, rf32768,
                                               rf65536},
    lateNonCriticalExtension
                                       OCTET STRING
                                                                           OPTIONAL,
      br-BCCH-Config-r14
                                           SEQUENCE {
           dummy
                                            ENUMERATED {rf1},
                                               ENUMERATED {rf1},
           dummy2
           mpdcch-Narrowband-SC-MCCH-r14
                                               INTEGER (1..maxAvailNarrowBands-r13),
           mpdcch-NumRepetition-SC-MCCH-r14 ENUMERATED {r1, r2, r4, r8, r16,
                                                           r32, r64, r128, r256},
           mpdcch-StartSF-SC-MCCH-r14
                                               CHOICE {
               fdd-r14
                                                   ENUMERATED {v1, v1dot5, v2, v2dot5, v4,
                                                               v5, v8, v10},
                                                   ENUMERATED {v1, v2, v4, v5, v8, v10, v20}
           mpdcch-PDSCH-HoppingConfig-SC-MCCH-r14 ENUMERATED {off, ce-ModeA, ce-ModeB},
           sc-mcch-CarrierFreq-r14 ARFCN-ValueEUTRA-r9,
           sc-mcch-Offset-BR-r14
                                               INTEGER (0..10),
           sc-mcch-RepetitionPeriod-BR-r14 ENUMERATED {rf32, rf128, rf512, rf1024,
                                                  rf2048, rf4096, rf8192, rf16384},
           sc-mcch-ModificationPeriod-BR-r14 ENUMERATED { rf32, rf128, rf256, rf512, rf1024,
                                                  rf2048, rf4096, rf8192, rf16384, rf32768,
                                                   rf65536, rf131072, rf262144, rf524288,
                                                   rf1048576}
                                                                           OPTIONAL,
                                                                                       -- Need OR
        sc-mcch-SchedulingInfo-r14
                                          SC-MCCH-SchedulingInfo-r14
                                                                           OPTIONAL,
                                                                                       -- Need OP
       pdsch-maxNumRepetitionCEmodeA-SC-MTCH-r14
                                           ENUMERATED { r16, r32 } OPTIONAL, -- Need OR
       pdsch-maxNumRepetitionCEmodeB-SC-MTCH-r14
                                           ENUMERATED {
                                               r192, r256, r384, r512, r768, r1024,
                                               r1536, r2048}
                                                                          OPTIONAL
                                                                                       -- Need OR
       sc-mcch-RepetitionPeriod-v1470
                                           ENUMERATED {rf1}
                                                                           OPTIONAL,
                                                                                       -- Need OR
        sc-mcch-ModificationPeriod-v1470
                                           ENUMERATED {rf1}
                                                                           OPTIONAL
                                                                                       -- Need OR
}
SC-MCCH-SchedulingInfo-r14::=
                               SEOUENCE
                                      ENUMERATED {psf10, psf20, psf100, psf300,
    onDurationTimerSCPTM-r14
                                               psf500, psf1000, psf1200, psf1600},
   drx-InactivityTimerSCPTM-r14 ENUMERATED {psf0, psf1, psf2, psf4, psf8, psf16,
```

```
psf32, psf64, psf128, psf256, ps512, psf1024, psf2048, psf4096, psf8192, psf16384},
    schedulingPeriodStartOffsetSCPTM-r14
                                                 CHOICE {
        sf10
                                                     INTEGER(0..9),
INTEGER(0..19),
         sf20
        sf32
                                                     INTEGER(0..31),
        sf40
                                                     INTEGER(0..39),
                                                     INTEGER(0..63),
        sf64
        sf80
                                                     INTEGER(0..79),
        sf128
                                                     INTEGER(0..127),
        sf160
                                                     INTEGER(0..159),
                                                     INTEGER(0..255),
        sf256
        sf320
                                                     INTEGER(0..319),
        sf512
                                                     INTEGER(0..511),
        sf640
                                                     INTEGER(0..639),
        sf1024
                                                     INTEGER(0..1023),
        sf2048
                                                     INTEGER(0..2047),
        sf4096
                                                     INTEGER(0..4095),
        sf8192
                                                     INTEGER(0..8191)
    },
}
-- ASN1STOP
```

## SystemInformationBlockType20 field descriptions

## br-BCCH-Config-r14

The field is present if SystemInformationBlockType20 is sent on BR-BCCH. Otherwise the field is absent.

#### dummy

This field is not used in the specification. If received it shall be ignored by the UE.

## drx-InactivityTimerSCPTM

Timer for listening to SC-MCCH scheduling in TS 36.321 [6]. Value in number of MPDCCH sub-frames. Value psf0 corresponds to 0 MPDCCH sub-frame, psf1 corresponds to 1 MPDCCH sub-frame and so on.

# mpdcch-Narrowband-SC-MCCH

Narrowband for MPDCCH for SC-MCCH, see TS 36.213 [23].

#### mpdcch-NumRepetitions-SC-MCCH

The maximum number of MPDCCH repetitions the UE needs to monitor for SC-MCCH, see TS 36.213 [23].

## mpdcch-StartSF-SC-MCCH

Configuration of the starting subframes of the MPDCCH search space for SC-MCCH, see TS 36.213 [23].

## mpdcch-PDSCH-HoppingConfig-SC-MCCH

Frequency hopping configuration for MPDCCH/PDSCH for SC-MCCH, see TS 36.213 [23].

#### onDurationTimerSCPTM

Indicates the duration in subframes during which SC-MCCH may be scheduled in MPDCCH sub-frames, see TS 36.321 [6].

# pdsch-maxNumRepetitionCEmodeA-SC-MTCH

Maximum value to indicate the set of PDSCH repetition numbers for SC-MTCH to UEs in CE mode A, see TS 36.213 [23].

# pdsch-maxNumRepetitionCEmodeB-SC-MTCH

Maximum value to indicate the set of PDSCH repetition numbers for SC-MTCH CE to UEs in mode B, see TS 36.213 [23].

#### schedulingPeriodStartOffsetSCPTM

SCPTM-SchedulingCycle and SCPTM-SchedulingOffset in TS 36.321 [6]. The value of SCPTM-SchedulingCycle is in number of sub-frames. Value sf10 corresponds to 10 sub-frames, sf20 corresponds to 20 sub-frames and so on. The value of SCPTM-SchedulingOffset is in number of sub-frames.

## sc-mcch-CarrierFreq

Downlink carrier used for all multicast SC-MCCH transmissions.

#### sc-mcch-duration

Indicates, starting from the subframe indicated by *sc-mcch-FirstSubframe*, the duration in subframes during which SC-MCCH may be scheduled in PDCCH sub-frames, see TS 36.321 [6]. Absence of this IE means that SC-MCCH is only scheduled in the subframe indicated by *sc-mcch-FirstSubframe*.

## sc-mcch-ModificationPeriod

Defines periodically appearing boundaries, i.e. radio frames for which SFN mod *sc-mcch-ModificationPeriod* = 0. The contents of different transmissions of SC-MCCH information can only be different if there is at least one such boundary in-between them. Value rf2 corresponds to 2 radio frames, value rf4 corresponds to 4 radio frames and so on. In case sc-mcch-ModificationPeriod-v1470 is configured, the UE shall ignore the configuration of *sc-mcch-ModificationPeriod-r13*.

# sc-mcch-ModificationPeriod-BR

Defines periodically appearing boundaries for BL UE or UE in CE, i.e. radio frames for which (H-SFN\*1024 + SFN) mod *sc-mcch-ModificationPeriod-BR* = 0 if hyperSFN is present in *SystemInformationBlockType1-BR* or radio frames for which SFN mod *sc-mcchModificationPeriod-BR* = 0 otherwise. The contents of different transmissions of SC-MCCH information can only be different if there is at least one such boundary in-between them. Value rf32 corresponds to 32 radio frames, value rf128 corresponds to 128 radio frames and so on.

#### sc-mcch-FirstSubframe

Indicates the first subframe in which SC-MCCH is scheduled

#### sc-mcch-Offset

Indicates, together with the *sc-mcch-RepetitionPeriod*, the radio frames in which SC-MCCH is scheduled i.e. SC-MCCH is scheduled in radio frames for which: SFN mod sc-*mcch-RepetitionPeriod* = *sc-mcch-Offset*.

#### sc-mcch-Offset-BR

Indicates, together with the sc-mcch-RepetitionPeriod-BR, the boundary of the SC-MCCH repetition period for BL UE or UE in CE: (H-SFN\*1024 + SFN) mod sc-mcch-RepetitionPeriod-BR = sc-mcch-Offset-BR if hyperSFN is present in SystemInformationBlockType1-BR or radio frames for which (SFN mod mod sc-mcch-RepetitionPeriod-BR) = sc-mcch-Offset-BR otherwise.

# sc-mcch-RepetitionPeriod

Defines the interval between transmissions of SC-MCCH information, in radio frames. Value rf2 corresponds to 2 radio frames, rf4 corresponds to 4 radio frames and so on. In case *sc-mcch-RepetitionPeriod-v1470* is configured, the UE shall ignore the configuration of *sc-mcch-RepetitionPeriod-r13*.

#### sc-mcch-RepetitionPeriod-BR

Defines the interval between transmissions of SC-MCCH information for BL UE or UE in CE, in radio frames. Value rf32 corresponds to 32 radio frames, rf128 corresponds to 128 radio frames and so on.

# sc-mcch-SchedulingInfo

DRX information for the SC-MCCH. If this field is absent, DRX is not used for SC-MCCH reception.

# SystemInformationBlockType21

The IE SystemInformationBlockType21 contains V2X sidelink communication configuration.

# SystemInformationBlockType21 information element

```
-- ASN1START
SystemInformationBlockType21-r14 ::= SEQUENCE {
            sl-V2X-ConfigCommon-r14
                                                                                                                          SL-V2X-ConfigCommon-r14
                                                                                                                                                                                                                                            OPTIONAL,
                                                                                                                                                                                                                                                                                  -- Need OR
            lateNonCriticalExtension
                                                                                                                          OCTET STRING
                                                                                                                                                                                                                                            OPTIONAL,
                       anchorCarrierFreqListNR-r16 SL-NR-AnchorCarrierFreqList-r16 OPTIONAL
                                                                                                                                                                                                                                                                                  -- Need OR
}
SL-V2X-ConfigCommon-r14 ::= SEQUENCE {
                                                                                                                                                                                                                                      OPTIONAL,
            v2x-CommRxPool-r14
                                                                                                                          SL-CommRxPoolListV2X-r14
                                                                                                                                                                                                                                                                                  -- Need OR
           v2x-CommRxPool-r14 SL-CommRxPoolListV2X-r14 v2x-CommTxPoolNormalCommon-r14 SL-CommTxPoolListV2X-r14
                                                                                                                                                                                                                                                                                 -- Need OR
                                                                                                                                                                                                                         OPTIONAL,
          v2x-CommTxPoolNormalCommon-r14 SL-CommTxPoolListV2X-r14 OPTIONAL, v2x-CommTxPoolExceptional-r14 SL-CommTxPoolListV2X-r14 OPTIONAL, v2x-SyncConfig-r14 SL-SyncConfigListV2X-r14 OPTIONAL, v2x-InterFreqInfoList-r14 SL-InterFreqInfoListV2X-r14 OPTIONAL, v2x-ResourceSelectionConfig-r14 SL-CommTxPoolSensingConfig-r14 OPTIONAL, zoneConfig-r14 SL-ZoneConfig-r14 OPTIONAL, o
                                                                                                                                                                                                                                                                                 -- Need OR
                                                                                                                                                                                                                                                                                 -- Need OR
                                                                                                                                                                                                                                                                                  -- Need OR
                                                                                                                                                                                                                                                                                  -- Need OR
                                                                                                                                                                                                                                                                                 -- Need OR
                                                                                                                                                                                                                                                                                 -- Need OR
                                                                                                                                                                                                                                                                                  -- Need OR
            typeTxSync-r14
                                                                                                                           SL-TypeTxSync-r14
                                                                                                                                                                                                                                            OPTIONAL,
           thresSL-TxPrioritization-rl4 SL-Priority-rl3 OPTIONAL, anchorCarrierFreqList-rl4 SL-AnchorCarrierFreqList-V2X-rl4 OPTIONAL, OPTIONAL, OPTIONAL,
                                                                                                                                                                                                                                                                                 -- Need OR
                                                                                                                                                                                                                                                                                 -- Need OR
                                                                                                                                                                                                                                                                                 -- Need OR
            offsetDFN-r14
                                                                                                                           INTEGER (0..1000)
                                                                                                                                                                                                                                            OPTIONAL,
            cbr-CommonTxConfigList-r14 SL-CBR-CommonTxConfigList-r14 OPTIONAL
                                                                                                                                                                                                                                                                                 -- Need OR
-- ASN1STOP
```

## SystemInformationBlockType21 field descriptions

#### anchorCarrierFreqList

Indicates EUTRA carrier frequencies which may include inter-carrier resource configuration for V2X sidelink communication.

#### anchorCarrierFreqListNR

Indicates NR carrier frequencies which may include inter-carrier resource configuration for V2X sidelink communication.

#### cbr-CommonTxConfigList

Indicates the common list of CBR ranges and the list of PSSCH transmissions parameter configurations available to configure congestion control to the UE for V2X sidelink communication.

#### offsetDFN

Indicates the timing offset for the UE to determine DFN timing when GNSS is used for timing reference for the PCell. Value 0 corresponds to 0 milliseconds, value 1 corresponds to 0.001 milliseconds, value 2 corresponds to 0.002 milliseconds, and so on.

## p2x-CommTxPoolNormalCommon

Indicates the resources by which the UE is allowed to transmit P2X related V2X sidelink communication. *zoneID* is not configured in the pools in this field.

#### thresSL-TxPrioritization

Indicates the threshold used to determine whether SL V2X transmission is prioritized over uplink transmission if they overlap in time (see TS 36.321 [6]). This value shall overwrite *thresSL-TxPrioritization* configured in *SL-V2X-Preconfiguration* if any.

## typeTxSync

Indicates the prioritized synchronization type (i.e. eNB or GNSS) for performing V2X sidelink communication on the carrier frequency on which this field is broadcast.

#### v2x-CommRxPool

Indicates the resources by which the UE is allowed to receive V2X sidelink communication while in RRC\_IDLE and in RRC\_CONNECTED.

#### v2x-CommTxPoolExceptional

Indicates the resources by which the UE is allowed to transmit V2X sidelink communication in exceptional conditions, as specified in 5.10.13.

#### v2x-CommTxPoolNormalCommon

Indicates the resources by which the UE is allowed to transmit non-P2X related V2X sidelink communication when in RRC\_IDLE or when in RRC\_CONNECTED while transmitting V2X sidelink communication via a frequency other than the primary. E-UTRAN configures one resource pool per zone.

#### v2x-InterFreqInfoList

Indicates synchronization and resource allocation configurations of neighboring frequencies for V2X sidelink communication.

# v2x-ResourceSelectionConfig

Indicates V2X sidelink communication configurations used for UE autonomous resource selection.

# v2x-SyncConfig

Indicates the configuration by which the UE is allowed to receive and transmit synchronisation information for V2X sidelink communication. E-UTRAN configures *v2x-SyncConfig* including *txParameters* when configuring UEs to transmit synchronisation information.

# zoneConfig

Indicates zone configurations used for V2X sidelink communication in 5.10.13.2.

# SystemInformationBlockType24

The IE *SystemInformationBlockType24* contains information relevant for inter-RAT cell re-selection (i.e. information about NR frequencies and NR neighbouring cells relevant for cell re-selection), which can also be used for NR idle/inactive measurements. The IE includes cell re-selection parameters common for a frequency.

# SystemInformationBlockType24 information element

```
-- ASN1START
SystemInformationBlockType24-r15 ::=
                                       SEQUENCE {
    carrierFreqListNR-r15
                                       CarrierFreqListNR-r15
                                                                           OPTIONAL,
                                                                                           -- Need
    t-ReselectionNR-r15
                                       T-Reselection,
    t-ReselectionNR-SF-r15
                                                                           OPTIONAL,
                                       SpeedStateScaleFactors
                                                                                       -- Need OR
    lateNonCriticalExtension
                                       OCTET STRING
                                                                       OPTIONAL,
    [[ carrierFreqListNR-v1610
                                       CarrierFreqListNR-v1610
                                                                   OPTIONAL
                                                                                   -- Need OR
       carrierFreqListNR-v1700
                                       CarrierFreqListNR-v1700
                                                                   OPTIONAL
                                                                                   -- Need OR
```

```
11
}
CarrierFreqListNR-r15 ::=
                                                   SEQUENCE (SIZE (1..maxFreq)) OF CarrierFreqNR-r15
CarrierFreqListNR-v1610 ::=
                                                 SEQUENCE (SIZE (1..maxFreq)) OF CarrierFreqNR-v1610
CarrierFreqListNR-v1700 ::=
                                                 SEQUENCE (SIZE (1..maxFreq)) OF CarrierFreqNR-v1700
CarrierFreqListNR-v1720 ::=
                                                 SEQUENCE (SIZE (1..maxFreq)) OF CarrierFreqNR-v1720
CarrierFreqNR-r15 ::=
                                                         SEOUENCE {
      carrierFreq-r15
                                                               ARFCN-ValueNR-r15,
      multiBandInfoList-r15
                                                                MultiFrequencyBandListNR-r15
                                                                                                                         OPTIONAL,
                                                                                                                                              -- Need OR
                                                               MultiFrequencyBandListNR-r15
MultiFrequencyBandListNR-r15
                                                                                                                                             -- Need OR
      multiBandInfoListSUL-r15
                                                                                                                         OPTIONAL,
                                                             MTC-SSB-NR-r15
      measTimingConfig-r15
                                                                                                                         OPTIONAL, -- Need OR
      subcarrierSpacingSSB-r15
                                                               ENUMERATED {kHz15, kHz30, kHz120, kHz240},
                                                             SS-RSSI-Measurement-r15 OPTIONAL,
CellReselectionPriority OPTIONAL,
      ss-RSSI-Measurement-r15
                                                                                                                                       -- Cond RSRO2
      cellReselectionPriority-r15 CellReselectionPriority OPTIONAL, -- Need OR cellReselectionSubPriority-r15 CellReselectionSubPriority-r13 OPTIONAL, -- Need OR threshX-High-r15 ReselectionThreshold,
      cellReselectionPriority-r15
      threshX-Low-r15
                                                                ReselectionThreshold,
      threshX-Q-r15
                                                               SEQUENCE {
                                                               ReselectionThresholdQ-r9,
                  threshX-HighQ-r15
                   threshX-LowQ-r15
                                                                      ReselectionThresholdQ-r9
                                                                                                                    OPTIONAL, -- Cond RSRQ
      q-RxLevMin-r15
                                                              INTEGER (-70..-22),
                                                              INTEGER (-70..-22)
      q-RxLevMinSUL-r15
                                                                                                                   OPTIONAL,
                                                                                                                                             -- Need OR
      p-MaxNR-r15
                                                               P-MaxNR-r15,
      ns-PmaxListNR-r15
                                                               NS-PmaxListNR-r15
                                                                                                                          OPTIONAL,
                                                                                                                                             -- Need OR
                                                                                                                OPTIONAL,
                                                                                                                                             -- Need OP
      q-OualMin-r15
                                                              INTEGER (-43..-12)
      deriveSSB-IndexFromCell-r15
                                                               BOOLEAN,
                                                               MaxRS-IndexCellQualNR-r15 OPTIONAL,
      maxRS-IndexCellQual-r15
                                                                                                                                             -- Need OR
      threshRS-Index-r15
                                                               ThresholdListNR-r15
                                                                                                                  OPTIONAL,
                                                                                                                                             -- Need OR
      [[ multiBandNsPmaxListNR-v1550 MultiBandNsPmaxListNR-1-v1550 OPTIONAL, -- Need OR
            multiBandNsPmaxListNR-SUL-v1550 MultiBandNsPmaxListNR-v1550 OPTIONAL, -- Need OR
            ssb-ToMeasure-r15
                                                               SSB-ToMeasure-r15
                                                                                                                   OPTIONAL
                                                                                                                                             -- Need OR
      ]],
            multiBandNsPmaxListNR-v1760 MultiBandNsPmaxListNR-1-v1760 OPTIONAL, multiBandNsPmaxListNR-2UI-v1760 MultiBandNsPmaxListNR-1-v1760 OPTIONAL,
      [[ ns-PmaxListNR-v1760
                                                                                                                                -- Need OR
                                                                                                              OPTIONAL, -- Need OR
            multiBandNsPmaxListNR-SUL-v1760 MultiBandNsPmaxListNR-v1760 OPTIONAL
      ]]
}
CarrierFreqNR-v1610 ::= SEQUENCE {
     smtc2-LP-r16
                                                                MTC-SSB2-LP-NR-r16
                                                                                                                         OPTIONAL, -- Need OR
      smtc2-LP-r16
ssb-PositionQCL-CommonNR-r16
                                                               SSB-PositionQCL-RelationNR-r16 OPTIONAL, -- Cond
SharedSpectrum2
     allowedCellListNR-r16
                                                               AllowedCellListNR-r16
                                                                                                                         OPTIONAL, -- Cond
SharedSpectrum
     highSpeedCarrierNR-r16
                                                       ENUMERATED {true}
                                                                                                                 OPTIONAL -- Need OR
CarrierFreqNR-v1700 ::=
                                           SEQUENCE {
      nr-FreqNeighHSDN-CellList-r17 NR-FreqNeighHSDN-CellList-r17 OPTIONAL
                                                                                                                                       -- Need OR
CarrierFreqNR-v1720 ::=
      ssb-PositionQCL-CommonNR-r17 SSB-PositionQCL-Political Statement SSB-PositionQCL-Political SSB-Political SSB-PositionQCL-Political SSB-PositionQCL-Political SSB-Political SSB-P
                                          SEQUENCE {
                                                                                                                 OPTIONAL,
                                                                                                                                       -- Need OR
                                                               SSB-PositionQCL-RelationNR-r17 OPTIONAL
                                                                                                                                       -- Cond
SharedSpectrum2
}
MultiBandNsPmaxListNR-1-v1550 ::= SEQUENCE (SIZE (1.. maxMultiBandsNR-1-r15)) OF NS-PmaxListNR-r15
MultiBandNsPmaxListNR-v1550 ::= SEQUENCE (SIZE (1.. maxMultiBandsNR-r15)) OF NS-PmaxListNR-r15
MultiBandNsPmaxListNr-1-v1760 ::= SEQUENCE (SIZE (1.. maxMultiBandsNr-1-r15)) OF NS-PmaxListNr-
MultiBandNsPmaxListNR-v1760 ::= SEQUENCE (SIZE (1.. maxMultiBandsNR-r15)) OF NS-PmaxListNR-v1760
AllowedCellListNR-r16 ::=
                                                        SEQUENCE (SIZE (1..maxCellAllowedNR-r16)) OF PhysCellIdNR-r15
NR-FreqNeighHSDN-CellList-r17 ::= SEQUENCE (SIZE (1..maxCellNR-r17)) OF PhysCellIdRangeNR-r16
```

-- ASN1STOP

## SystemInformationBlockType24 field descriptions

#### allowedCellListNR

List of allow-listed neighbouring NR cells.

#### carrierFreqListNR

List of carrier frequencies of NR carriers. These frequencies correspond to GSCN values as specified in TS 38.101 [85]. If the *carrierFreqListNR-v1610* is present, it contains the same number of entries, listed in the same order as in the *carrierFreqListNR* (without suffix).

# cellReselectionPriority

The field concerns the absolute priority of the concerned carrier frequency as used by the cell reselection procedure. Corresponds with parameter "priority" in TS 36.304 [4].

#### deriveSSB-IndexFromCell

The field indicates whether the UE may use, to derive the SSB index of a cell on the indicated SSB frequency and subcarrier spacing, the timing of any detected cell with the same SSB frequency and subcarrier spacing. If this field is set to TRUE, the UE assumes SFN and frame boundary alignment across cells on the same NR carrier frequency as specified in TS 36.133 [16].

## highSpeedCarrierNR

If the field is present, the UE shall apply the enhanced inter-RAT NR measurement requirements to support high speed up to 500 km/h as specified in TS 36.133 [16] to the NR carrier.

#### maxRS-IndexCellQual

Number of SS blocks to average for cell measurement derivation. Corresponds to the parameter *nrofSS-BlocksToAverage* in TS 38.304 [92].

#### measTimingConfig

Used to configure measurement timing configurations, i.e., timing occasions at which the UE measures SSBs. If the field is absent, the UE assumes that SSB periodicity is 5ms in this frequency.

#### multiRandInfol ist

Indicates the list of frequency bands for which the NR cell reselection parameters apply. The UE shall select the first listed band which it supports in the *multiBandInfoList* field to represent the NR neighbour carrier frequency. The network always includes this field.

#### multiBandInfoListSUL

Indicates the list of frequency bands for which the NR cell reselection parameters apply. The UE shall select the first listed band which it supports in the *multiBandInfoListSUL* field to represent the NR neighbour carrier frequency.

#### multiBandNsPmaxListNR

Indicates the NS-PmaxListNR configuration for the NR frequency band(s) listed in multiBandInfoList. The first entry corresponds to the second listed band in multiBandInfoList, and second entry corresponds to the third listed band in multiBandInfoList, and so on.

# multiBandNsPmaxListNR-SUL

Indicates the NS-PmaxListNR configuration for the NR SUL frequency band(s) listed in multiBandInfoListSUL. The first entry corresponds to the first listed band in multiBandInfoListSUL, and second entry corresponds to the second listed band in multiBandInfoListSUL, and so on.

# nr-FreqNeighHSDN-CellList

List of neighbouring NR HSDN cells as specified in TS 38.304 [92].

## ns-PmaxListNR

Indicates a list of additionalPmax and additionalSpectrumEmission, corresponds to the first listed band in the multiBandInfoList.

# p-MaxNR

Indicates the maximum power for NR (see TS 38.104 [91]).

#### q-QualMin

Parameter "Q<sub>qualmin</sub>" in TS 36.304 [4], applicable for NR neighbour cells. If the field is not present, the UE applies the (default) value of negative infinity for Q<sub>qualmin</sub>. The actual value Q<sub>qualmin</sub> = field value [dB].

#### q-RxLevMin

Parameter "Q<sub>rxlevmin</sub>" in TS 38.304 [92], applicable for NR neighbour cells. The actual value Q<sub>rxlevmin</sub> = field value \* 2 [dBm].

#### a-RxLevMinSUL

Parameter "Q<sub>rxlevmin</sub>" in TS 38.304 [92], applicable for NR neighbouring cells. The actual value Q<sub>rxlevmin</sub> = field value \* 2 [dBm].

## smtc2-LP

Measurement timing configuration for inter-RAT neighbour cells in NR with a Long Periodicity (LP) indicated by periodicity in *smtc2-LP*. The timing offset and duration are equal to the offset and duration indicated in *measTimingConfig* in *CarrierFreqNR*. The periodicity in *smtc2-LP* can only be set to a value strictly larger than the periodicity in *measTimingConfig* in *CarrierFreqNR* (e.g. if *measTimingConfig* indicates sf20 the Long Periodicity can only be set to sf40, sf80 or sf160, if *measTimingConfig* indicates sf160, *smtc2-LP* cannot be configured). The *pci-List*, if present, includes the physical cell identities of the inter-RAT neighbour cells with Long Periodicity. If *smtc2-LP* is absent, the UE assumes that there are no inter-RAT neighbour cells with a Long Periodicity.

#### ssb-PositionQCL-CommonNR

Indicates the QCL relationship between SS/PBCH blocks for NR neighbor cells on the indicated frequency as specified in TS 38.213 [88], clause 4.1. If ssb-PositionQCL-CommonNR-r17 is present, the UE ignores ssb-PositionQCL-CommonNR-r16.

# SystemInformationBlockType24 field descriptions

#### ssb-ToMeasure

The set of SS blocks to be measured within the SMTC measurement duration (see TS 38.215 [89]). When the field is absent the UE measures on all SS-blocks.

#### ss-RSSI-Measurements

Indicates the SSB-based RSSI measurement configuration. If the field is absent, the UE behaviour is defined in TS 38.215 [89], clause 5.1.3.

#### subcarrierSpacingSSB

Indicates the subcarrier spacing of SSB of NR frequency. Only the values 15 kHz or 30 kHz (FR1), 120 kHz or 240 kHz (FR2-1), 120 kHz or 480 kHz (FR2-2) are applicable. If *subcarrierSpacingSSB-r17* is present, the UE ignores *subcarrierSpacingSSB-r15*.

## threshRS-Index

List of thresholds for consolidation of L1 measurements per RS index. Corresponds to the parameter absThreshSS-BlocksConsolidation in TS 38.304 [92].

## threshX-High

Parameter "Threshx, HighP" in TS 36.304 [4].

# threshX-HighQ

Parameter "Thresh<sub>X, HighQ</sub>" in TS 36.304 [4].

#### threshX-Low

Parameter "Threshx, LowP" in TS 36.304 [4].

#### threshX-LowQ

Parameter "Thresh<sub>X, LowQ</sub>" in TS 36.304 [4].

#### t-ReselectionNR

Parameter "Treselection<sub>NR</sub>" in TS 36.304 [4].

# t-ReselectionNR-SF

Parameter "Speed dependent ScalingFactor for Treselection<sub>NR</sub>" in TS 36.304 [4]. If the field is not present, the UE behaviour is specified in TS 36.304 [4].

Conditional presence	Explanation
RSRQ	The field is mandatory present if the threshServingLowQ is present in
	systemInformationBlockType3; otherwise it is not present.
RSRQ2	The field is optional Need OP if the threshServingLowQ is present in
	systemInformationBlockType3; otherwise it is not present.
SharedSpectrum	The field is optional Need OP if NR operates with shared spectrum channel access;
·	otherwise, it is not present.
SharedSpectrum2	The field is mandatory present if NR operates with shared spectrum channel access;
	otherwise, it is not present.

# SystemInformationBlockType25

The IE SystemInformationBlockType25 contains the UAC parameters.

# SystemInformationBlockType25 information element

```
-- ASN1START
SystemInformationBlockType25-r15 ::=
                                       SEQUENCE {
   uac-BarringForCommon-r15
                                           UAC-BarringPerCatList-r15
                                                                                    OPTIONAL,
Need OP
    uac-BarringPerPLMN-List-r15
                                       UAC-BarringPerPLMN-List-r15
                                                                               OPTIONAL, -- Need
ΩP
    uac-BarringInfoSetList-r15
                                        UAC-BarringInfoSetList-r15,
    uac-AC1-SelectAssistInfo-r15
                                       CHOICE {
                                                UAC-AC1-SelectAssistInfo-r15.
       plmnCommon-r15
        individualPLMNList-r15 SEQUENCE (SIZE (2..maxPLMN-r11)) OF UAC-AC1-SelectAssistInfo-r15
                   OPTIONAL, -- Need OR
    lateNonCriticalExtension
                                           OCTET STRING
                                                                                        OPTIONAL,
    ...,
[[ ab-PerRSRP-r16
                                        ENUMERATED {thresh0, thresh1, thresh2, thresh3} OPTIONAL --
Need OR
    ]],
    [ [
       uac-AC1-SelectAssistInfo-r16 SEQUENCE (SIZE (2..maxPLMN-r11)) OF UAC-AC1-SelectAssistInfo-
r16 OPTIONAL -- Need OR
    ]],
    [ [
        uac-BarringInfoSetList-v1700
                                           UAC-BarringInfoSetList-v1700
                                                                            OPTIONAL
                                                                                        -- Cond MINT
    ]]
```

```
}
UAC-BarringPerPLMN-List-r15::= SEQUENCE (SIZE (1.. maxPLMN-r11)) OF UAC-BarringPerPLMN-r15
UAC-BarringPerPLMN-r15 ::= SEQUENCE {
   plmn-IdentityIndex-r15 INTEGER (1.. maxPLMN-r11), uac-AC-BarringListType-r15 CHOICE{
                                 CHOICE {
                                            SEQUENCE (SIZE(maxAccessCat-1-r15)) OF UAC-
       uac-ImplicitAC-BarringList-r15
BarringInfoSetIndex-r15,
       uac-ExplicitAC-BarringList-r15
                                            UAC-BarringPerCatList-r15
                                        -- Need OR
                           OPTIONAL
UAC-BarringPerCatList-r15 ::= SEQUENCE (SIZE (1..maxAccessCat-1-r15)) OF UAC-BarringPerCat-r15
UAC-BarringPerCat-r15 ::= SEQUENCE {
                                        INTEGER (1..maxAccessCat-1-r15),
   accessCategory-r15
    uac-barringInfoSetIndex-r15 UAC-BarringInfoSetIndex-r15
}
UAC-BarringInfoSetIndex-r15 ::= INTEGER (1..maxBarringInfoSet-r15)
UAC-BarringInfoSetList-r15 ::=
                                  SEQUENCE (SIZE (1..maxBarringInfoSet-r15)) OF UAC-BarringInfoSet-
UAC-BarringInfoSetList-v1700 ::= SEQUENCE (SIZE(1..maxBarringInfoSet-r15)) OF UAC-BarringInfoSet-
v1700
UAC-BarringInfoSet-r15 ::= SEQUENCE {
   uac-BarringFactor-r15 ENUMERATED {
                                    p00, p05, p10, p15, p20, p25, p30, p40,
                                    p50, p60, p70, p75, p80, p85, p90, p95}
   p50, p60, p70, p75, p80, p85, p90, p95}, uac-BarringTime-r15 ENUMERATED {s4, s8, s16, s32, s64, s128, s256, s512},
   uac-BarringForAccessIdentity-r15
                                                BIT STRING (SIZE(7))
}
UAC-BarringInfoSet-v1700 ::= SEQUENCE {
   uac-BarringFactorForAI3-r17 ENUMERATED {p00, p05, p10, p15, p20, p25, p30, p40,
                       p50, p60, p70, p75, p80, p85, p90, p95} OPTIONAL
                                                                                -- Need OP
UAC-AC1-SelectAssistInfo-r15::= ENUMERATED {a, b, c}
UAC-AC1-SelectAssistInfo-r16::= ENUMERATED {a, b, c, notConfigured}
-- ASN1STOP
```

# SystemInformationBlockType25 field descriptions

## accessCategory

The Access Category according to TS 22.261 [96].

#### ab-PerRSRP

Access barring per RSRP. Value *thresh0* means access to the cell is barred when UE is in enhanced coverage as specified in TS 36.304 [4] and does not apply to UEs satisfying S criteria for normal coverage. Value *thresh1* is compared to the first entry configured in *rsrp-ThresholdsPrachInfoList*, value thresh2 is compared to the second entry configured in *rsrp-ThresholdsPrachInfoList* and so on. E-UTRA/5GC includes this field only in the BR version of *SystemInformationBlockType25*.

#### SystemInformationBlockType25 field descriptions

#### accessCategory

The Access Category according to TS 22.261 [96].

#### uac-AC-BarringListType

Access control parameters for each access category valid only for a specific PLMN. UE behaviour upon absence of this field is specified in clause 5.3.16.2.

#### uac-AC1-SelectAssistInfo

Information used to determine whether Access Category 1 applies to the UE, as defined in TS 22.261 [96]. If plmnCommon is chosen, the UAC-AC1-SelectAssistInfo is applicable to all the PLMNs in cellAccessRelatedInfoList-5GC. If individualPLMNList is chosen, the 1st entry in the list corresponds to the first PLMN in cellAccessRelatedInfoList-5GC, the 2nd entry in the list corresponds to the second PLMN in cellAccessRelatedInfoList-5GC and so on. If uac-AC1-SelectAssistInfo-r16 is present, the UE shall ignore the uac-AC1-SelectAssistInfo-r15. Value notConfigured indicates that Access Category1 is not configured for the corresponding PLMN. The corresponding UAC-AC1-SelectAssistInfo for the selected PLMN is forwarded to upper layers, if present and set to a, b or c.

## uac-BarringFactor

Represents the probability that access attempt would be allowed during access barring check.

# uac-BarringFactorForAl3

Barring factor applicable for Access Identity 3. Represents the probability that access attempt would be allowed during access barring check. If absent, the UE considers the access attempt as allowed.

#### uac-BarringForAccessIdentity

Indicates whether access attempt is allowed for each Access Identity. The leftmost bit, bit 0 in the bit string corresponds to Access Identity 1, bit 1 in the bit string corresponds to Access Identity 2, bit 2 in the bit string corresponds to Access Identity 11, bit 3 in the bit string corresponds to Access Identity 12 and so on. Value 0 means that access attempt is allowed for the corresponding access identity.

#### uac-BarringForCommon

Common access control parameters for each access category. Common values are used for all PLMNs, unless overwritten by the PLMN specific configuration provided in *uac-BarringPerPLMN-List*. The parameters are specified by providing an index to the set of configurations (*uac-BarringInfoSetList*). UE behaviour upon absence of this field is specified in clause 5.3.16.2.

#### uac-barringInfoSetIndex

Index of the entry in field *uac-BarringInfoSetList*. Value 1 corresponds to the first entry in *uac-BarringInfoSetList*, value 2 corresponds to the second entry in this list and so on. An index value referring to an entry not included in *uac-BarringInfoSetList* indicates no barring.

# uac-BarringInfoSetList

List of access control parameter sets. Each access category can be configured with access parameters corresponding to a particular set by *uac-barringInfoSetIndex*. Association of an access category with an index that has no corresponding entry in the *uac-BarringInfoSetList* is valid configuration and indicates no barring.

# uac-BarringPerPLMN-List

Access control parameters for each access category valid only for a specific PLMN.

# uac-BarringTime

The average time in seconds before a new access attempt is to be performed after an access attempt was barred at access barring check for the same access category, see 5.3.16.5.

Conditional presence	Explanation
MINT	The field is optionally present, Need OR, in a cell that provides a configuration for disaster
	roaming, otherwise it is absent.

# SystemInformationBlockType26

The IE *SystemInformationBlockType26* contains V2X sidelink communication configurations which can be used jointly with those included in *SystemInformationBlockType21*.

# SystemInformationBlockType26 information element

```
-- ASN1START
SystemInformationBlockType26-r15 ::= SEQUENCE {
   cbr-pssch-TxConfigList-r15
                                      SL-InterFreqInfoListV2X-r14
                                                                          OPTIONAL,
                                                                                     -- Need OR
                                       SL-CBR-PPPP-TxConfigList-r15
                                                                                     -- Need OR
                                                                          OPTIONAL,
   v2x-PacketDuplicationConfig-r15
                                      SL-V2X-PacketDuplicationConfig-r15 OPTIONAL,
                                                                                     -- Need OR
                                                                          OPTIONAL,
                                                                                     -- Need OR
   syncFreqList-r15
                                      SL-V2X-SyncFreqList-r15
                                                                          OPTIONAL,
   {\tt slss-TxMultiFreq-r15}
                                      ENUMERATED{true}
                                                                                     -- Need OR
   v2x-FreqSelectionConfigList-r15
                                       SL-V2X-FreqSelectionConfigList-r15 OPTIONAL,
                                                                                     -- Need OR
   threshS-RSSI-CBR-r15
                                      INTEGER (0..45)
                                                                          OPTIONAL,
                                                                                     -- Need OR
```

```
lateNonCriticalExtension OCTET STRING OPTIONAL
}
-- ASN1STOP
```

# SystemInformationBlockType26 field descriptions

#### cbr-pssch-TxConfigList

Indicates the mapping between PPPPs, CBR ranges by using indexes of the entry in *cbr-RangeCommonConfigList* included in SIB21, and PSSCH transmission parameters and CR limit by using indexes of the entry in *sl-CBR-PSSCH-TxConfigList* included in SIB21. The configurations in this field apply to all the resource pools on all the carrier frequencies included in SIB26 for V2X sidelink communication transmission. The *mcs-PSSCH-RangeList-r15* included in this field also applies to all the resource pools on all the carrier frequencies included in SIB21 for V2X sidelink communication transmission.

## slss-TxMultiFreq

Value TRUE indicates the UE transmits SLSS on multiple carrier frequencies for V2X sidelink communication. If this field is absent, the UE transmits SLSS only on the synchronisation carrier frequency.

#### syncFreqList

Indicates a list of candidate carrier frequencies that can be used for the synchronisation of V2X sidelink communication.

#### threshS-RSSI-CBR

Indicates the S-RSSI threshold for determining the contribution of a sub-channel to the CBR measurement, as specified in TS 36.214 [48]. Value 0 corresponds to -112 dBm, value 1 to -110 dBm, value n to (-112 + n\*2) dBm, and so on. If included, the *threshS-RSSI-CBR* in *SL-CommResourcePoolV2X* in SIB26 is absent.

# v2x-FreqSelectionConfigList

Indicates the configuration information for the carrier selection for V2X sidelink communication transmission on the carrier frequency where the field is broadcast.

#### v2x-PacketDuplicationConfig

Indicates the configuration information for sidelink packet duplication for V2X sidelink communication.

#### v2x-InterFreqInfoList

If this field includes a carrier frequency which is included in SIB21 and some configuration(s) for that carrier are already included in SIB21, the corresponding configuration(s) for that carrier frequency are not included in this field.

# SystemInformationBlockType26a

The IE *SystemInformationBlockType26a* contains NR bands list which can be used for EN-DC operation with the serving cell.

# SystemInformationBlockType26a information element

```
-- ASN1START
{\tt SystemInformationBlockType26a-r16} ::= {\tt SEQUENCE} \ \{
    plmn-InfoList-r16
                                             PLMN-InfoList-r16,
    bandListENDC-r16
                                              BandListENDC-r16,
    lateNonCriticalExtension
                                              OCTET STRING
                                                                           OPTIONAL.
BandListENDC-r16 ::=
                             SEQUENCE (SIZE (1.. maxBandsENDC-r16)) OF FreqBandIndicatorNR-r15
PLMN-InfoList-r16 ::=
                             SEQUENCE (SIZE (0..maxPLMN-r11)) OF PLMN-Info-r16
PLMN-Info-r16 ::=
                             SEQUENCE {
   nr-BandList-r16
                                 BIT STRING (SIZE(maxBandsENDC-r16)) OPTIONAL
                                                                                        -- Need OR
-- ASN1STOP
```

# SystemInformationBlockType26a field descriptions

#### bandListENDC

A list of NR bands which can be configured as SCG in EN-DC operation with serving cell for the forwarding of *upperLayerIndication* to upper layers.

## plmn-InfoList

This field includes the same number of entries, and listed in the same order as PLMNs across the *plmn-IdentityList* fields *plmn-IdentityList* and *plmn-IdentityList-r14* included in SIB1. I.e. the first entry corresponds to the first entry of the combined list that results from concatenating the entries included in the second to the original *plmn-IdentityList* field in SIB1. If the size of the field is set to 0, all bands in *bandListENDC* apply for all PLMNs listed in SIB1.

#### nr-BandList

This field indicates a list of bands and is encoded as a bitmap, where the bit N is set to "1" if the current serving cell supports EN-DC operation with the *N*-th NR band in *bandListENDC*. The bits which have no corresponding bands in *bandListENDC* shall be set to 0; bit 1 of the bitmap is the leading bit of the bit string.

# SystemInformationBlockType27

The IE *SystemInformationBlockType27* contains information relevant only for inter-RAT cell selection i.e. assistance information about NB-IoT frequencies for cell selection.

# SystemInformationBlockType27 information element

```
-- ASN1START
SystemInformationBlockType27-r16 ::=
                                   SEQUENCE {
   carrierFreqListNBIOT-r16
                                      CarrierFreqListNBIOT-r16
                                                                   OPTIONAL,
                                                                              -- Need OR
   lateNonCriticalExtension
                                       OCTET STRING
                                                                   OPTIONAL,
CarrierFreqListNBIOT-r16 ::=
                                       SEQUENCE (SIZE (1.. maxFreqNBIOT-r16)) OF
   CarrierFreqNBIOT-r16
CarrierFreqNBIOT-r16 ::=
                            SEQUENCE {
                              ARFCN-ValueEUTRA-r9,
   carrierFreq-r16
   carrierFreqOffset-r16
                               v-4,v-3, v-2, v-1, v-0dot5, v0, v1, v2, v3, v3dot5,
                                          v4, v5, v6, v7, v7dot5, v8, v9}
-- ASN1STOP
```

# SystemInformationBlockType27 field descriptions

# carrierFreqListNBIOT

Provides a list of neighbouring NB-IoT carrier frequencies, which may be searched for neighbouring NB-IoT cells.

Provides the ARFCN applicable for the NB-IoT carrier frequency as defined in TS 36.101 [42], Table 5.7.3-1.

## carrierFreqOffset

Offset of the NB-IoT channel number to EARFCN as defined in TS 36.101 [42], clause 5.7.3F. Value *v-10* means -10, *v-9* means -9, and so on. The values *v-8dot5*, *v-4dot5*, *v3dot5* and *v7dot5* are only applicable for a carrier in a TDD band.

# SystemInformationBlockType28

The IE SystemInformationBlockType28 contains NR sidelink communication configuration.

# SystemInformationBlockType28 information element

-- ASN1STOP

# SystemInformationBlockType28 field descriptions

# segmentContainer

Container for the configuration for NR sidelink communication, this field includes a segment of *SIB12-IEs* as specified in TS 38.331 [82]. The size of the included segment in this container should be small enough that the SIB message size is less than or equal to the maximum size of a LTE SI i.e. 2216 bits.

This field is not applicable to 5GS Proximity based Services (ProSe) as defined in TS 23.304 [112] in this release.

#### segmentNumber

This field identifies the sequence number of a segment of *SIB12-IEs* IE as specified in TS 38.331 [82]. A segment number of zero corresponds to the first segment, a segment number of one corresponds to the second segment, and so on.

#### segmentType

This field indicates whether the included segment is the last segment or not.

# SystemInformationBlockType29

The IE SystemInformationBlockType29 contains common resource reservation, e.g. for coexistence with NR.

# SystemInformationBlockType29 information element

# SystemInformationBlockType30

The IE SystemInformationBlockType30 contains configurations of disaster roaming information.

# SystemInformationBlockType30 information element

```
-- ASN1START
SystemInformationBlockType30-r17 ::= SEQUENCE {
    commonPLMNsWithDisasterCondition-r17 SEQUENCE (SIZE (1..maxPLMN-r11)) OF PLMN-Identity
            OPTIONAL, -- Need OR
    applicableDisasterInfoList-r17
                                              SEQUENCE (SIZE (1..maxPLMN-r11)) OF
   licableDisasterInfo-r17 OPTIONAL, -- Need OR
lateNonCriticalExtension OCTET STRING OPTIONAL,
ApplicableDisasterInfo-r17
ApplicableDisasterInfo-r17 ::= CHOICE {
   noDisasterRoaming-r17
                                          NULL.
                                     NULL,
    disasterRelatedIndication-r17
                                      NULL,
    commonPLMNs-r17
    dedicatedPLMNs-r17
                                          SEQUENCE (SIZE (1..maxPLMN-r11)) OF PLMN-Identity
-- ASN1STOP
```

# SystemInformationBlockType30 field descriptions

#### commonPLMNsWithDisasterCondition

A list of PLMN(s) for which disaster condition applies and that disaster inbound roaming is accepted, which can be commonly applicable to the PLMNs sharing the cell.

#### applicableDisasterInfoList

A list indicating the applicable disaster roaming information for the networks indicated by *plmn-IdentityList-r15* in *CellAccessRelatedInfo-5GC-r15*. The first entry in this list indicates the disaster roaming information applicable for the network(s) in the first entry of *plmn-IdentityList*, the second entry in this list indicates the disaster roaming information applicable for the network(s) in the second entry on *plmn-IdentityList*, and so on. Each entry in this list can either be having the value *noDisasterRoaming*, *disasterRelatedIndication*, *commonPLMNs*, or *dedicatedPLMNs*. If an entry in this list takes the value *noDisasterRoaming*, disaster inbound roaming is not allowed in this network(s). If an entry in this list takes the value *disasterRelatedIndication*, the meaning of this field for this network(s) is as specified for "disaster related indication" in TS 23.122 [11], clause 4.4.3.1.1. If an entry in this list takes the value *commonPLMNs*, the PLMN(s) with disaster conditions indicated in the field *commonPLMNsWithDisasterCondition* apply for this network(s). If an entry in this list contains the value *dedicatedPLMNs*, the listed PLMN(s) are the PLMN(s) with disaster conditions that the network(s) corresponding to this entry accepts disaster inbound roamers from.

# SystemInformationBlockType31

The IE *SystemInformationBlockType31* contains satellite assistance information for the serving cell. *SystemInformationBlockType31* is only signalled in a NTN cell.

# SystemInformationBlockType31 information element

```
-- ASN1START
SystemInformationBlockType31-r17 ::= SEQUENCE {
   servingSatelliteInfo-r17 ServingSatelliteInfo-r17, lateNonCriticalExtension OCTET STRING
   lateNonCriticalExtension
                              OCTET STRING
                                                          OPTIONAL.
ServingSatelliteInfo-r17 ::= SEQUENCE {
   ephemerisInfo-r17
                          CHOICE {
      stateVectors
                              EphemerisStateVectors-r17,
      orbitalParameters
                               EphemerisOrbitalParameters-r17
   INTEGER (0..8316827)
                                                          OPTIONAL, -- Need OP
      nta-Common-r17
                                                          OPTIONAL,
      nta-CommonDrift-r17
                                  INTEGER (-261935..261935)
                                  INTEGER (0..29479)
                                                                     -- Need OP
      nta-CommonDriftVariation-r17
                                                          OPTIONAL
   s45, s50, s55, s60, s120, s180, s240, s900},
   epochTime-r17
                               SEQUENCE {
      startSFN-r17
                                  INTEGER (0..1023),
      startSubFrame-r17
                                  INTEGER (0..9)
                                                          OPTIONAL,
                                                                     -- Need OP
   k-Offset-r17
                              INTEGER (0..1023),
   k-Mac-r17
                               INTEGER (1..512)
                                                          OPTIONAL, -- Need OP
-- ASN1STOP
```

## SystemInformationBlockType31 field descriptions

#### **epochTime**

Epoch time of the satellite ephemeris data and common TA parameters, see TS 36.213 [23]. The reference point for epoch time of the serving satellite ephemeris and Common TA parameters is the uplink time synchronization reference point.

epochTime is the starting time of a DL subframe indicated by startSFN and startSubframe. For serving cell, the startSFN indicates the current SFN or the next upcoming SFN after the frame where the message indicating the epochTime is received.

If the field is absent, the UE uses the starting time of the DL subframe corresponding to the end of the SI window during which the SI message carrying SIB31(-NB) is transmitted.

E-UTRAN always includes epochTime when SIB31(-NB) is provided through dedicated signalling.

In case of handover or conditional handover, this field is based on the timing of the target cell, i.e. the *startSFN* and *startSubFrame* number indicated in this field refers to the SFN and sub-frame of the target cell, and UE considers the target cell epoch time (indicated by the *startSFN* and *startSubFrame* in this field) to be the frame nearest to the frame where *RRCConnectionReconfiguration* message is received.

#### k-Mad

Scheduling offset used when downlink and uplink frame timing are not aligned at the eNB, see TS 36.213 [23]. Unit in ms.

If the field if absent, the UE uses the (default) value of 0.

#### k-Offset

Scheduling offset used in the timing relationships in NTN, see TS 36.213 [23]. Unit in ms.

#### nta-Common

Network-controlled common TA, see TS 36.213 [23]. Unit of µs.

Step of 32.55208  $\times 10^{-3}$  µs. Actual value = field value \* 32.55208  $\times 10^{-3}$ .

If the field is absent, the UE uses the (default) value of 0.

#### nta-CommonDrift

Drift rate of the common TA, see TS 36.213 [23]. Unit of µs/s.

Step of 0.2  $\times 10^{-3}$  µs/s. Actual value = field value \* 0.2  $\times 10^{-3}$ .

If the field is absent, the UE uses the (default) value of 0.

#### nta-CommonDriftVariation

Drift rate variation of the common TA, see TS 36.213 [23]. Unit of µs/s<sup>2</sup>.

Step of 0.2  $\times 10^{-4} \,\mu s/s^2$ . Actual value = field value \* 0.2  $\times 10^{-4}$ .

If the field is absent, the UE uses the (default) value of 0.

#### orbitalParameters

Instantaneous values of the satellite orbital parameters. The signalled values are only valid for the duration as defined by *ul-SyncValidityDuration* and *epochTime*.

# state Vectors

Instantaneous values of the satellite state vectors. The signalled values are only valid for the duration as defined by *ul-SyncValidityDuration* and *epochTime*.

# ul-SyncValidityDuration

Validity duration of the satellite ephemeris data and common TA parameters, i.e. maximum time duration (from *epochTime*) during which the UE can apply the satellite ephemeris without acquiring new satellite ephemeris, see TS 36.213 [23]. Unit in second.

Value s5 corresponds to 5 seconds, value s10 corresponds to 10 seconds and so on.

# SystemInformationBlockType32

The IE *SystemInformationBlockType32* contains satellite assistance information for prediction of discontinuous coverage. *SystemInformationBlockType32* is only signalled in a NTN cell.

## SystemInformationBlockType32 information element

```
-- ASN1START
SystemInformationBlockType32-r17 ::= SEQUENCE {
    lateNonCriticalExtension
                                      SatelliteInfoList-r17 OPTIONAL, -- Need OR
                                       OCTET STRING
                                                                      OPTIONAL.
SatelliteInfoList-r17 ::= SEQUENCE (SIZE (1..maxSat-r17)) OF SatelliteInfo-r17
SatelliteInfo-r17 ::=
---+elliteId-r17
                              SEQUENCE {
                               INTEGER (0..255),
                              SEQUENCE {
        tle-EphemerisParameters-r17 TLE-EphemerisParameters-r17 OPTIONAL, -- Need OR
        t-ServiceStart-r17
                                       TimeOffsetUTC-r17
                                                                      OPTIONAL
                                                                                   -- Need OR
```

```
footprintInfo-r17
                                 SEQUENCE {
                              SEQUENCE {
INTEGE
        referencePoint-r17
            longitude-r17
                                           INTEGER (-131072..131071),
                                           INTEGER (-131072..131071)
            latitude-r17
        } OPTIONAL, -- Need OR
        elevationAngles-r17
                                  SEQUENCE {
            elevationAngleRight-r17 INTEGER (-14..14), elevationAngleLeft-r17 INTEGER (-14..14)
                                                                          OPTIONAL
                                                                                       -- Need OP
        } OPTIONAL, -- Need OR
        radius-r17
                                       INTEGER (1..256)
                                                                               OPTIONAL
                                                                                            -- Need OR
-- ASN1STOP
```

# SystemInformationBlockType32 field descriptions

# elevationAngleLeft, elevationAngleRight

Leftmost and rightmost (with reference to the satellite direction) elevation angle. Unit in degree.

Step of 5 degree. Actual value = field value \* 5.

If the field elevationAngleLeft is absent, the leftmost elevation angle is equal to the value of field elevationAngleRight.

#### footprintlnfo

Satellite footprint.

E-UTRAN may configure elevationAngles and/or radius for earth moving cell.

E-UTRAN may configure referencePoint and radius for quasi-earth fixed cell.

#### latitude

Latitude of the reference point. Unit in degree.

Step of 360 / 262144 degree. Actual value = field value \* (360 / 262144).

#### Ionaitude

Longitude of the reference point. Unit in degree.

Step of 360 / 262144 degree. Actual value = field value \* (360 / 262144).

#### radius

Distance between the reference point and the edge of the satellite or beam coverage. Unit in km.

Step of 10 km. Actual value = field value \* 10.

#### serviceInfo

Information on when the satellite will provide coverage.

E-UTRAN always configures *tle-EphemerisParameters* for a satellite with earth moving cell(s) and always configures *t-ServiceStart* for a quasi-earth fixed cell.

### tle-EphemerisParameters

Mean values of the satellite orbital parameters based on the TLE set format for estimating in-coverage and out-of-coverage periods for a satellite with earth moving cell(s), see TS 36.304 [4].

#### t-ServiceStart

Time information on when the incoming satellite is going to start serving the area for quasi-earth fixed cell.

# 6.3.2 Radio resource control information elements

# – Alpha

The IE *Alpha* is used to indicate parameter  $\alpha$ , see TS 36.213 [23], clause 5.1.1.1 and 5.1.3.1. Value al0 corresponds to 0, al04 corresponds to value 0.4, al05 to 0.5, al06 to 0.6, al07 to 0.7, al08 to 0.8, al09 to 0.9 and al1 corresponds to 1.

# Alpha information element

```
-- ASN1START

Alpha-r12 ::= ENUMERATED {al0, al04, al05, al06, al07, al08, al09, al1}

-- ASN1STOP
```

# Antennalnfo

The IE *AntennaInfoCommon* and the *AntennaInfoDedicated* are used to specify the common and the UE specific antenna configuration respectively.

#### Antennalnfo information elements

```
-- ASN1START
AntennaInfoCommon ::=
                                                               SEQUENCE {
      antennaPortsCount
                                                                     ENUMERATED {an1, an2, an4, spare1}
AntennaInfoDedicated ::=
                                                             SEQUENCE {
       transmissionMode
                                                                     ENUMERATED {
                                                                        tm1, tm2, tm3, tm4, tm5, tm6,
                                                                             tm7, tm8-v920},
                                                                      CHOICE {
       codebookSubsetRestriction
             n2TxAntenna-tm3
                                                                            BIT STRING (SIZE (2)),
             n4TxAntenna-tm3
                                                                            BIT STRING (SIZE (4)),
             n2TxAntenna-tm4
                                                                            BIT STRING (SIZE (6)),
             n4TxAntenna-tm4
                                                                            BIT STRING (SIZE (64)),
             n2TxAntenna-tm5
                                                                            BIT STRING (SIZE (4)),
              n4TxAntenna-tm5
                                                                            BIT STRING (SIZE (16)),
             n2TxAntenna-tm6
                                                                            BIT STRING (SIZE (4)),
             n4TxAntenna-tm6
                                                                            BIT STRING (SIZE (16))
                OPTIONAL,
                                                                                                                                            -- Cond TM
       ue-TransmitAntennaSelection CHOICE{
               release
                    setup
                                                                            ENUMERATED {closedLoop, openLoop}
       }
}
BIT STRING (SIZE (6)),
             n4TxAntenna-tm8-r9
                                                                            BIT STRING (SIZE (32))
                                                                                                                                           -- Cond TM8
                  OPTIONAL
}
ENUMERATED {
                                                                        tm1, tm2, tm3, tm4, tm5, tm6, tm7, tm8-v920,
                                                                            tm9-v1020, tm10-v1130, spare6, spare5, spare4,
                                                                            spare3, spare2, spare1},
      codebookSubsetRestriction-r10
                                                                    BIT STRING
                                                                                               OPTIONAL,
      ue-TransmitAntennaSelection CHOICE{
             release
                                                                    NULL.
                                                                      ENUMERATED {closedLoop, openLoop}
             setup
}
AntennaInfoDedicated-v10i0::= SEQUENCE {
      maxLayersMIMO-r10
                                                    ENUMERATED {twoLayers, fourLayers, eightLayers} OPTIONAL --
Need OR
}
AntennaInfoDedicated-v1250 ::=
                                                             SEQUENCE {
    alternativeCodebookEnabledFor4TX-r12 BOOLEAN
AntennaInfoDedicated-v1430 ::= SEQUENCE {
                                                                                   ENUMERATED {on} OPTIONAL -- Need OR
      ce-UE-TxAntennaSelection-config-r14
AntennaInfoDedicatedSTTI-r15 ::= CHOICE {
                                                                     NULL,
      release
              transmissionModeDL-MBSFN-r15 ENHIMED
                                                                       ENUMERATED {tm9, tm10} OPTIONAL,
              {\tt transmission Mode DL-non MBSFN-r15\ ENUMERATED\ \{tm1,\ tm2,\ tm3,\ tm4,\ tm6,\ tm8,\ tm9,\ 
                                                                                                  tm10}
                                                                                                                      OPTIONAL, -- Need OR
             codebookSubsetRestriction
                                                                             CHOICE {
                    n2TxAntenna-tm3-r15
                                                                                   BIT STRING (SIZE (2)),
                                                                                   BIT STRING (SIZE (4)),
                    n4TxAntenna-tm3-r15
                    n2TxAntenna-tm4-r15
n4TxAntenna-tm4-r15
                                                                                   BIT STRING (SIZE (6)),
                                                                                   BIT STRING (SIZE (64)),
                                                                                 BIT STRING (SIZE (4)),
                     n2TxAntenna-tm5-r15
                     n4TxAntenna-tm5-r15
                                                                                   BIT STRING (SIZE (16)),
                                                                                  BIT STRING (SIZE (4)),
                     n2TxAntenna-tm6-r15
                     n4TxAntenna-tm6-r15
                                                                                BIT STRING (SIZE (16)),
                                                                                   BIT STRING (SIZE (6)),
                     n2TxAntenna-tm8-r15
                     n4TxAntenna-tm8-r15
                                                                                  BIT STRING (SIZE (64)),
                     n2TxAntenna-tm9and10-r15 BIT STRING (SIZE (6)),
```

```
n4TxAntenna-tm9and10-r15 BIT STRING (SIZE (96)),
n8TxAntenna-tm9and10-r15 BIT STRING (SIZE (109))
                OPTIONAL,
                                                                                                            -- Cond TM
          OPTIONAL, -- Cond TM maxLayersMIMO-STTI-r15 ENUMERATED {twoLayers, fourLayers} OPTIONAL, -- Need OR
          slotSubslotPDSCH-TxDiv-2Layer-r15 BOOLEAN, slotSubslotPDSCH-TxDiv-4Layer-r15 BOOLEAN
}
AntennaInfoDedicated-v1530 ::=
                                            CHOICE {
                                              NULL,
    release
                                                   CHOICE {
         ue-IXANtennaSelection-SRS-1T4R-Config-r15 NULL, ue-TxAntennaSelection-SRS-2T4R-NrOfPairs-r15 E
                                                                                 ENUMERATED {two, three}
}
-- ASN1STOP
```

## Antennalnfo field descriptions

#### alternativeCodebookEnabledFor4TX

Indicates whether code book in TS 36.213 [23] Table 7.2.4-0A to Table 7.2.4-0D is being used for deriving CSI feedback and reporting. E-UTRAN only configures the field if the UE is configured with a) *tm8* with 4 CRS ports, *tm9* or *tm10* with 4 CSI-RS ports and b) PMI/RI reporting.

#### antennaPortsCount

Parameter represents the number of cell specific antenna ports where an1 corresponds to 1, an2 to 2 antenna ports etc. see TS 36.211 [21], clause 6.2.1.

# ce-ue-TxAntennaSelection-config

Configuration of UL closed-loop transmit antenna selection for non-BL UE in CE Mode A, see TS 36.212 [22].

#### codebookSubsetRestriction

Parameter: codebookSubsetRestriction, see TS 36.213 [23], clause 7.2 and TS 36.211 [21], clause 6.3.4.2.3. The number of bits in the codebookSubsetRestriction for applicable transmission modes is defined in TS 36.213 [23], Table 7.2-1b. If the UE is configured with transmissionMode tm8, E-UTRAN configures the field codebookSubsetRestriction if PMI/RI reporting is configured. If the UE is configured with transmissionMode tm9, E-UTRAN configures the field codebookSubsetRestriction if PMI/RI reporting is configured and if the number of CSI-RS ports is greater than 1. E-UTRAN does not configure the field codebookSubsetRestriction in other cases where the UE is configured with transmissionMode tm8 or tm9. Furthermore, E-UTRAN does not configure the field codebookSubsetRestriction if the UE is configured with eMIMO-Type unless it is set to beamformed, alternativeCodebookEnabledBeamformed is set to FALSE and csi-RS-ConfigNZPIdListExt is not configured.

#### maxLayersMIMO

Indicates the maximum number of layers for spatial multiplexing used to determine the rank indication bit width and Kc determination of the soft buffer size for the corresponding serving cell according to TS 36.212 [22]. EUTRAN configures this field only when *transmissionMode* is set to *tm3*, *tm4*, *tm9* or *tm10* for the corresponding serving cell. When configuring the field for a serving cell which *transmissionMode* is set to *tm3* or *tm4*, EUTRAN only configures value *fourLayers*: For a serving cell which *transmissionMode* is set to *tm9* or *tm10*, EUTRAN only configures the field only if *intraBandContiguousCC-InfoList* or *FeatureSetDL-PerCC* is indicated for the band and the band combination of the corresponding serving cell or the UE supports *maxLayersMIMO-Indication*.

# maxLayersMIMO-STTI

Indicates the maximum number of layers, for each serving cell, to be used when determining if the shifted DMRS pattern is applicable TS 36.211 [21], clause 6.10.3.2.

# slotSubslotPDSCH-TxDiv-2Layer, slotSubslotPDSCH-TxDiv-4Layer

Indicates the table to be used in case of dynamic TX diversity fallback for TM9 and 10 for up to 2-layer/4-layer slot or subslot PDSCH operation, see TS 36.212 [22], clause 5.3.3.1.22.

# transmissionMode

Points to one of Transmission modes defined in TS 36.213 [23], clause 7.1, where tm1 refers to transmission mode 1, tm2 to transmission mode 2 etc.

# transmissionModeDL-MBSFN

Indicates, for MBSFN, the transmission mode as defined in TS 36.213 [23], clause 7.1, where *tm1* refers to transmission mode 1, *tm2* to transmission mode 2 etc for slot or subslot operation. In case of FDD, TM8 is not applicable.

# transmissionModeDL-nonMBSFN

Indicates, for non-MBSFN, the transmission mode as defined in TS 36.213 [23], clause 7.1, where *tm1* refers to transmission mode 1, *tm2* to transmission mode 2 etc. for slot or subslot operation. In case of FDD, TM8 is not applicable.

## ue-TransmitAntennaSelection

For value *setup*, the field indicates whether UE transmit antenna selection control is closed-loop or open-loop as described in TS 36.213 [23], clause 8.7.

## ue-TxAntennaSelection-SRS-1T4R-Config

Configuration of UL closed-loop transmit antenna selection for UE to select one antenna among four antennas to transmit SRS for the corresponding serving cell as described in TS 36.213 [23]. When *ue-TxAntennaSelection-SRS-1T4R-Config* and *ue-TransmitAntennaSelection* are configured simultaneously for a given serving cell, the UE selects one of the first two antennas for PUSCH transmission and selects one antenna among four antennas at each SRS instance for SRS transmission for the corresponding serving cell as described in TS 36.213 [23].

# ue-TxAntennaSelection-SRS-2T4R-NrOfPairs

Presence of the field indicates configuration of UL closed-loop transmit antenna selection for UE to select two antennas among four antennas to transmit SRS simultaneously for the corresponding serving cell as described in TS 36.213 [23]. Further, the field indicates the number of antenna pairs to select from for SRS transmission for a given serving cell as described in TS 36.213 [23]. Value two indicates the UE to select one antenna pair between two antenna pairs to transmit SRS simultaneously at each SRS instance for the corresponding serving cell. Value three indicates the UE to select one antenna pair among three antenna pairs to transmit SRS simultaneously at each SRS instance for the corresponding serving cell. EUTRAN does not simultaneously configure *ue-TransmitAntennaSelection* and *ue-TxAntennaSelection-SRS-2T4R-NrOfPairs* for a given serving cell.

Conditional presence	Explanation
TM	The field is mandatory present if the <i>transmissionMode</i> is set to tm3, tm4, tm5 or tm6.
	Otherwise the field is not present and the UE shall delete any existing value for this field.
TM8	The field is optional present, need OR, if AntennaInfoDedicated is included and
	transmissionMode is set to tm8. If AntennaInfoDedicated is included and
	transmissionMode is set to a value other than tm8, the field is not present and the UE
	shall delete any existing value for this field. Otherwise the field is not present.
TMX	The field is mandatory present if the <i>transmissionMode-r10</i> is set to <i>tm3</i> , <i>tm4</i> , <i>tm5</i> or <i>tm6</i> .
	The field is optionally present, need OR, if the <i>transmissionMode-r10</i> is set to <i>tm8</i> or <i>tm9</i> .
	Otherwise the field is not present and the UE shall delete any existing value for this field.

# AntennalnfoUL

The IE AntennaInfoUL is used to specify the UL antenna configuration.

#### AntennalnfoUL information elements

```
-- ASN1START
                            SEQUENCE {
AntennaInfoIII.-r10 ::=
    transmissionModeUL-r10
                                        ENUMERATED {tm1, tm2, spare6, spare5,
                                                    spare4, spare3, spare2, spare1} OPTIONAL,
Need OR
    fourAntennaPortActivated-r10
                                            ENUMERATED {setup}
                                                                        OPTIONAL
                                                                                         -- Need OR
AntennaInfoUL-STTI-r15 ::= SEQUENCE {
    transmissionModeUL-STTI-r15
                                        ENUMERATED {tm1, tm2}
                                                                    OPTIONAL
                                                                                 -- Need OR
-- ASN1STOP
```

# AntennalnfoUL field descriptions

## fourAntennaPortActivated

Parameter indicates if four antenna ports are used. See TS 36.213 [23], clause 8.2. E-UTRAN optionally configures four Antenna Port Activated only if transmission Mode UL is set to tm2.

#### transmissionModeUL

Points to one of UL Transmission modes defined in TS 36.213 [23], clause 8.0, where tm1 refers to transmission mode 1, tm2 to transmission mode 2 etc.

#### transmissionModeUL-STTI

Indicates the UL transmission mode as defined in TS 36.213 [23], clause 8.0, where tm1 refers to transmission mode 1 and tm2 to transmission mode 2 for slot or subslot operation.

# AUL-Config

The IE AUL-Config is used to specify the autonomous uplink configuration.

## **AUL-Config** information element

```
-- ASN1START
AUL-Config-r15 ::= CHOICE {
    release
                                      NULL,
                                      SEQUENCE {
        aul-CRNTI-r15
                                                   C-RNTI,
        aul-Subframes-r15
                                                   BIT STRING (SIZE (40)),
        aul-HARQ-Processes-r15
                                                   INTEGER (1..16),
        transmissionModeUL-AUL-r15
                                                   ENUMERATED {tm1,tm2},
        aul-StartingFullBW-InsideMCOT-r15
                                                   BIT STRING (SIZE (5)),
        aul-StartingFullBW-OutsideMCOT-r15
                                                   BIT STRING (SIZE (7)),
        aul-StartingPartialBW-InsideMCOT-r15
                                                   ENUMERATED {034, 043, 052, 061, 00S1},
                                                   ENUMERATED {016, 025, 034, 043, 052, 061, 00S1}, ENUMERATED {psf4, psf5, psf6, psf8, psf10, psf12,
        aul-StartingPartialBW-OutsideMCOT-r15
        aul-RetransmissionTimer-r15
                                                   psf20, psf28, psf37, psf44, psf68, psf84, psf100,
                                                   psf116, psf132, psf164, psf324},
        endingSymbolAUL-r15
                                                   INTEGER(12..13),
        subframeOffsetCOT-Sharing-r15
                                                   INTEGER(2..4),
```

## **AUL-Config** field descriptions

## aul-CRNTI

AUL C-RNTI, see TS 36.321 [6].

#### aul-HARQ-Processes

This field indicates which HARQ process IDs are configured for AUL operation as described in TS 36.321 [6]. In case tm1 is configured for the *transmissionModeUL-AUL* the number of configured HARQ processes equals to field value. In case tm2 is configured for the *transmissionModeUL-AUL* the number of configured HARQ processes equals to double of the field value. The largest value of the HARQ process ID is equal to the number of configured HARQ processes - 1.

## aul-RetransmissionTimer

This timer is used to restrict both new transmission and retransmission for the same HARQ process for AUL operation as described in TS 36.321 [6]. Value psf4 corresponds to 4 PDCCH subframes etc.

#### aul-StartingFullBW-InsideMCOT

This field indicates the AUL-specific set of PUSCH starting offset values for the AUL transmission inside of eNB obtained MCOT when a UE configured with AUL configuration is allocated to occupy the full channel bandwidth as described in TS 36.213 [23], clause 8.0. The first/leftmost bit corresponds to value 34, second bit corresponds to value 43, third bit corresponds to value 52, fourth bit corresponds to value 61 and last bit corresponds to value OS#1.

## aul-StartingFullBW-OutsideMCOT

This field indicates the AUL-specific set of PUSCH starting offset values for the AUL transmission outside of eNB obtained MCOT when a UE configured with AUL configuration is allocated to occupy the full channel bandwidth as described in TS 36.213 [23], clause 8.0. The first/leftmost bit corresponds to value 16, second bit corresponds to value 25, third bit corresponds to value 34, fourth bit corresponds to value 43, fifth bit corresponds to value 52, sixth bit corresponds to value 61 and last bit corresponds to value OS#1.

## aul-StartingPartialBW-InsideMCOT

This field indicates the exact AUL-specific PUSCH starting offset value for the AUL transmission inside of eNB obtained MCOT when a UE configured with AUL configuration is allocated to occupy partial channel bandwidth as described in TS 36.213 [23], clause 8.0. The value o34 corresponds to 34, and the value o43 corresponds to 43 and so on.

#### aul-StartingPartialBW-OutsideMCOT

This field indicates the exact AUL-specific PUSCH starting offset value for the AUL transmission outside of eNB obtained MCOT when a UE configured with AUL configuration is allocated to occupy partial channel bandwidth as described in TS 36.213 [23], clause 8.0. The value o16 corresponds to 16, the value o25 corresponds to 25 and so on.

## aul-Subframes

This field indicates which subframes are allowed for AUL operation as described in TS 36.321 [6]. The first/leftmost bit corresponds to the subframe #0 of the radio frame satisfying SFN mod 4 = 0. Value 0 in the bitmap indicates that the corresponding subframe is not allowed for AUL. Value 1 in the bitmap indicates that the corresponding subframe is allowed for AUL.

# contentionWindowSizeTimer

This field indicates contention window size adjustment timer as described in TS 37.213 [94], clause 4.2.2. The value n0 corresponds to 0ms, value n5 corresponds to 5ms, value n10 corresponds to 10ms. The value is set to n0 or n5 if the absence of other technologies on the same carrier cannot be guaranteed. The value is set to n0 or n10 if the absence of other technologies on the same carrier can be guaranteed.

# endingSymbolAUL

This field indicates PUSCH ending symbol of the last AUL subframe in an AUL burst as described in TS 36.211 [21], clause 4.1.3.

# subframeOffsetCOT-Sharing

This field is COT sharing indication parameter X indicating if subframe n+X is an applicable subframe for UL to DL sharing as described in TS 37.213 [94], clause 4.1.3.

#### transmissionModeUL-AUL

This field indicates which UL transmission mode is used for AUL as described in TS 36.213 [23], clause 8.0, where tm1 refers to transmission mode 1, tm2 to transmission mode 2.

# CQI-ReportAperiodic

The IE *CQI-ReportAperiodic* is used to specify the aperiodic CQI reporting configuration.

# CQI-ReportAperiodic information elements

```
-- ASN1START
```

```
CHOICE {
CQI-ReportAperiodic-r10 ::=
    release
                                         NULL,
                                         SEQUENCE {
    setup
        cqi-ReportModeAperiodic-r10
                                             CQI-ReportModeAperiodic,
        aperiodicCSI-Trigger-r10
                                             SEQUENCE {
            trigger1-r10
                                             BIT STRING (SIZE (8)),
                                             BIT STRING (SIZE (8))
            trigger2-r10
                                                                              OPTIONAL
                                                                                           -- Need OR
    }
}
                                    CHOICE {
CQI-ReportAperiodic-v1250 ::=
                                         NULL,
    release
                                         SEQUENCE {
    setup
        aperiodicCSI-Trigger-v1250
                                             SEQUENCE {
            trigger-SubframeSetIndicator-r12 ENUMERATED {s1, s2},
            trigger1-SubframeSetIndicator-r12
                                                 BIT STRING (SIZE (8)),
            trigger2-SubframeSetIndicator-r12
                                                BIT STRING (SIZE (8))
    }
}
CQI-ReportAperiodic-v1310
                                     CHOICE {
    release
                                         NULL.
    setup
                                         SEOUENCE {
        aperiodicCSI-Trigger-v1310
                                             SEQUENCE {
            trigger1-r13
                                             BIT STRING (SIZE (32)),
            trigger2-r13
                                             BIT STRING (SIZE (32)),
            trigger3-r13
                                             BIT STRING (SIZE (32)),
            trigger4-r13
                                             BIT STRING (SIZE (32)),
            trigger5-r13
                                             BIT STRING (SIZE (32)),
            trigger6-r13
                                             BIT STRING (SIZE (32))
                                                                              OPTIONAL,
                                                                                           -- Need ON
        aperiodicCSI-Trigger2-r13
                                         CHOICE {
            release
                                             NULL,
            setup
                                         SEQUENCE {
                trigger1-SubframeSetIndicator-r13
                                                     BIT STRING (SIZE (32)),
                                                    BIT STRING (SIZE (32)),
BIT STRING (SIZE (32)),
                trigger2-SubframeSetIndicator-r13
                trigger3-SubframeSetIndicator-r13
                trigger4-SubframeSetIndicator-r13    BIT STRING (SIZE (32)),
                trigger5-SubframeSetIndicator-r13
                                                     BIT STRING (SIZE (32)),
                trigger6-SubframeSetIndicator-r13 BIT STRING (SIZE (32))
                                                                              OPTIONAL
                                                                                           -- Need ON
    }
}
CQI-ReportAperiodicProc-r11 ::=
                                     SEQUENCE {
    cqi-ReportModeAperiodic-r11
                                         CQI-ReportModeAperiodic,
    trigger01-r11
                                         BOOLEAN,
                                         BOOLEAN,
    trigger10-r11
    trigger11-r11
                                         BOOLEAN
                                         SEQUENCE {
CQI-ReportAperiodicProc-v1310
    trigger001-r13
                                         BOOLEAN,
    trigger010-r13
                                         BOOLEAN
    trigger011-r13
                                         BOOLEAN,
    trigger100-r13
                                         BOOLEAN,
    trigger101-r13
                                         BOOLEAN.
    trigger110-r13
                                         BOOLEAN,
    trigger111-r13
                                         BOOLEAN
}
                                         SEQUENCE {
CQI-ReportAperiodicHybrid-r14
    triggers-r14
                                         CHOICE {
        oneBit-r14
                                             SEOUENCE {
            trigger1-Indicator-r14
                                                 BIT STRING (SIZE (8))
        },
        twoBit-r14
                                             SEQUENCE {
            trigger01-Indicator-r14
                                                 BIT STRING (SIZE (8)),
            trigger10-Indicator-r14
                                                 BIT STRING (SIZE (8)),
            trigger11-Indicator-r14
                                                 BIT STRING (SIZE (8))
        threeBit-r14
                                             SEQUENCE {
            trigger001-Indicator-r14
                                                 BIT STRING (SIZE (32)),
            trigger010-Indicator-r14
                                                 BIT STRING (SIZE (32)),
            trigger011-Indicator-r14
                                                 BIT STRING (SIZE (32)),
```

```
trigger100-Indicator-r14
    trigger101-Indicator-r14
    trigger110-Indicator-r14
    trigger110-Indicator-r14
    trigger111-Indicator-r14
    trigger111-Indicator-r14
    BIT STRING (SIZE (32)),
    trigger111-Indicator-r14
    BIT STRING (SIZE (32))

}

OPTIONAL -- Need OR

CQI-ReportModeAperiodic ::=

ENUMERATED {
    rm12, rm20, rm22, rm30, rm31,
    rm32-v1250, rm10-v1310, rm11-v1310
}

-- ASN1STOP
```

### CQI-ReportAperiodic field descriptions

#### aperiodicCSI-Trigger

Indicates for which serving cell(s) the aperiodic CSI report is triggered when one or more SCells are configured. 
trigger1-r10 corresponds to the CSI request field 10 while trigger1-r13 corresponds to the CSI request field 010, 
trigger2-r10 corresponds to the CSI request field 11 while trigger2-r13 corresponds to the CSI request field 011, 
trigger3-r13 corresponds to the CSI request field 100, see TS 36.213 [23], table 7.2.1-1A and table 7.2.1-1D, and so 
on. The leftmost bit, bit 0 in the bit string corresponds to the cell with ServCellIndex=0 and bit 1 in the bit string 
corresponds to the cell with ServCellIndex=1 etc. Each bit has either value 0 (means no aperiodic CSI report is 
triggered) or value 1 (means the aperiodic CSI report is triggered). At most 5 bits can be set to value 1 in the bit string 
in aperiodicCSI-Trigger-r10 and in aperiodicCSI-Trigger-v1250 and at most 32 bits can be set to value 1 in the bit 
string in aperiodicCSI-Trigger-v1310. E-UTRAN configures value 1 only for cells configured with transmissionMode set 
in range tm1 to tm9. One value applies for all serving cells configured with transmissionMode set in range tm1 to tm9 
and belonging to the same PUCCH group (the associated functionality is common i.e. not performed independently for 
each cell).

#### trigger-SubframeSetIndicator

For a serving cell configured with *csi-MeasSubframeSets-r12*, indicates for which CSI subframe set the aperiodic CSI report is triggered for the serving cell if the aperiodic CSI is triggered by the CSI request field 01 or 001, see TS 36.213 [23], table 7.2.1-1C or table 7.2.1.-1E. Value s1 corresponds to CSI subframe set 1 and value s2 corresponds to CSI subframe set 2.

#### trigger001

Indicates whether or not reporting for this CSI-process or reporting for this CSI-process corresponding to a CSI subframe set is triggered by CSI request field set to 001, for a CSI request applicable for the serving cell on the same frequency as the CSI process, see TS 36.213 [23], table 7.2.1-1D and 7.2.1-E.

### trigger001-IndicatorN.. trigger111-IndicatorN

Indicates for which eMIMO-Type the aperiodic CSI report is triggered (the corresponding CSI process, CSI subframe set}-pair(s) and/or a serving cell) as applicable, See TS 36.213 [23], table 7.2.1-1A, 7.2.1-1B, and 7.2.1-1C.

#### trigger01

Indicates whether or not reporting for this CSI-process or reporting for this CSI-process corresponding to a CSI subframe set is triggered by CSI request field set to 01, for a CSI request applicable for the serving cell on the same frequency as the CSI process, see TS 36.213 [23], table 7.2.1-1D and 7.2.1-1E.

#### trigger010, trigger011, trigger100, trigger101, Trigger110, Trigger111

Indicates whether or not reporting for this CSI-process or reporting for this CSI-process corresponding to a CSI subframe set is triggered by CSI request field set to 010, 011, 100, 101, 110 or 111, see TS 36.213 [23], table 7.2.1-1D and 7.2.1-1E.

# trigger10, trigger11

Indicates whether or not reporting for this CSI-process or reporting for this CSI-process corresponding to a CSI subframe set is triggered by CSI request field set to 10 or 11, see TS 36.213 [23], table 7.2.1-1B. EUTRAN configures at most 5 CSI processes, across all serving frequencies within each CG, to be triggered by a CSI request field set to value 10. The same restriction applies for value 11. In case E-UTRAN simultaneously triggers CSI requests for more than 5 CSI processes some limitations apply, see TS 36.213 [23].

### trigger1-SubframeSetIndicator

If signalled in the aperiodic CSI-Trigger-v1250, indicates for which CSI subframe set the aperiodic CSI report is triggered when aperiodic CSI is triggered by the CSI request field 10, see TS 36.213 [23], table 7.2.1-1C, or by the CSI request field 010, see TS 36.213 [23], table 7.2.1-1E. The leftmost bit, bit 0 in the bit string corresponds to the cell with ServCellIndex=0 and bit 1 in the bit string corresponds to the cell with ServCellIndex=1 etc. Each bit has either value 0 (means that aperiodic CSI report is triggered for CSI subframe set 1) or value 1 (means that aperiodic CSI report is triggered for CSI subframe set 2).

# trigger2-SubframeSetIndicator

If signalled in the aperiodic CSI-Trigger-v1250, indicates for which CSI subframe set the aperiodic CSI report is triggered when aperiodic CSI is triggered by the CSI request field 11, see TS 36.213 [23], table 7.2.1-1C, or by the CSI request field 011, see TS 36.213 [23], table 7.2.1-1E. The leftmost bit, bit 0 in the bit string corresponds to the cell with ServCellIndex=0 and bit 1 in the bit string corresponds to the cell with ServCellIndex=1 etc. Each bit has either value 0 (means that aperiodic CSI report is triggered for CSI subframe set 1) or value 1 (means that aperiodic CSI report is triggered for CSI subframe set 2).

# trigger3-SubframeSetIndicator

Indicates for which CSI subframe set the aperiodic CSI report is triggered when aperiodic CSI is triggered by the CSI request field100, see TS 36.213 [23], table 7.2.1-1E.The leftmost bit, bit 0 in the bit string corresponds to the cell with ServCellIndex=0 and bit 1 in the bit string corresponds to the cell with ServCellIndex=1 etc. Each bit has either value 0 (means that aperiodic CSI report is triggered for CSI subframe set 1) or value 1 (means that aperiodic CSI report is triggered for CSI subframe set 2).

# trigger4-SubframeSetIndicator

Indicates for which CSI subframe set the aperiodic CSI report is triggered when aperiodic CSI is triggered by the CSI request field 101, see TS 36.213 [23], table 7.2.1-1E. The leftmost bit, bit 0 in the bit string corresponds to the cell with ServCellIndex=0 and bit 1 in the bit string corresponds to the cell with ServCellIndex=1 etc. Each bit has either value 0 (means that aperiodic CSI report is triggered for CSI subframe set 1) or value 1 (means that aperiodic CSI report is triggered for CSI subframe set 2).

### CQI-ReportAperiodic field descriptions

#### trigger5-SubframeSetIndicator

Indicates for which CSI subframe set the aperiodic CSI report is triggered when aperiodic CSI is triggered by the CSI request field 110, see TS 36.213 [23], table 7.2.1-1E.The leftmost bit, bit 0 in the bit string corresponds to the cell with ServCellIndex=0 and bit 1 in the bit string corresponds to the cell with ServCellIndex=1 etc. Each bit has either value 0 (means that aperiodic CSI report is triggered for CSI subframe set 1) or value 1 (means that aperiodic CSI report is triggered for CSI subframe set 2).

# trigger6-SubframeSetIndicator

Indicates for which CSI subframe set the aperiodic CSI report is triggered when aperiodic CSI is triggered by the CSI request field 111, see TS 36.213 [23], table 7.2.1-1E.The leftmost bit, bit 0 in the bit string corresponds to the cell with ServCellIndex=0 and bit 1 in the bit string corresponds to the cell with ServCellIndex=1 etc. Each bit has either value 0 (means that aperiodic CSI report is triggered for CSI subframe set 1) or value 1 (means that aperiodic CSI report is triggered for CSI subframe set 2).

# CQI-ReportBoth

The IE *CQI-ReportBoth* is used to specify the CQI reporting configuration common to both periodic and aperiodic configurations.

### CQI-ReportBoth information elements

```
-- ASN1START
COI-ReportBoth-r11 ::=
                            SEOUENCE {
   csi-IM-ConfigToReleaseList-rll CSI-IM-ConfigToReleaseList-rll OPTIONAL,
                                                                              -- Need ON
                                                                   OPTIONAL,
   csi-IM-ConfigToAddModList-r11
                                     CSI-IM-ConfigToAddModList-r11
                                                                              -- Need ON
   csi-ProcessToReleaseList-r11
                                     CSI-ProcessToReleaseList-r11
                                                                  OPTIONAL,
                                                                              -- Need ON
   csi-ProcessToAddModList-r11
                                     CSI-ProcessToAddModList-r11
                                                                   OPTIONAL
                                                                              -- Need ON
CQI-ReportBoth-v1250 ::=
                                SEQUENCE {
   csi-IM-ConfigToReleaseListExt-r12 CSI-IM-ConfigId-v1250 OPTIONAL,
                                                                           -- Need ON
                                                               OPTIONAL
   csi-IM-ConfigToAddModListExt-r12
                                        CSI-IM-ConfigExt-r12
                                                                           -- Need ON
CQI-ReportBoth-v1310 ::=
                                 SEQUENCE {
   csi-IM-ConfiqToReleaseListExt-r13 CSI-IM-ConfiqToReleaseListExt-r13 OPTIONAL,
                                                                                  -- Need ON
   OPTIONAL
                                                                                  -- Need ON
CSI-IM-ConfigToAddModList-r11 ::=
                                    SEQUENCE (SIZE (1..maxCSI-IM-r11)) OF CSI-IM-Config-r11
CSI-IM-ConfigToAddModListExt-r13 ::=
                                    SEQUENCE (SIZE (1..maxCSI-IM-v1310)) OF CSI-IM-ConfigExt-r12
CSI-IM-ConfigToReleaseList-r11 ::=
                                    SEQUENCE (SIZE (1..maxCSI-IM-r11)) OF CSI-IM-ConfigId-r11
CSI-IM-ConfigToReleaseListExt-r13 ::= SEQUENCE (SIZE (1..maxCSI-IM-v1310)) OF CSI-IM-ConfigId-
CSI-ProcessToAddModList-r11 ::=
                                 SEQUENCE (SIZE (1..maxCSI-Proc-r11)) OF CSI-Process-r11
CSI-ProcessToReleaseList-r11 ::=
                                 SEQUENCE (SIZE (1..maxCSI-Proc-r11)) OF CSI-ProcessId-r11
CQI-ReportBothProc-r11 ::=
                                 SEQUENCE {
   ri-Ref-CSI-ProcessId-rl1
                                                                   OPTIONAL,
                                     CSI-ProcessId-r11
                                                                                  -- Need OR
   pmi-RI-Report-r11
                                     ENUMERATED {setup}
                                                                   OPTIONAL
                                                                                  -- Need OR
-- ASN1STOP
```

### CQI-ReportBoth field descriptions

#### csi-IM-ConfigToAddModList

For a serving frequency E-UTRAN configures one or more *CSI-IM-Config* only when transmission mode 10 is configured for the serving cell on this carrier frequency.

#### csi-ProcessToAddModList

For a serving frequency E-UTRAN configures one or more *CSI-Process* only when transmission mode 10 is configured for the serving cell on this carrier frequency.

#### cgi-ReportModeAperiodic

Parameter: reporting mode. Value rm12 corresponds to Mode 1-2, rm20 corresponds to Mode 2-0, rm22 corresponds to Mode 2-2 etc. PUSCH reporting modes are described in TS 36.213 [23], clause 7.2.1. The UE shall ignore *cqi-ReportModeAperiodic-r10* when transmission mode 10 is configured for the serving cell on this carrier frequency. The UE shall ignore *cqi-ReportModeAperiodic-r10* configured for the PCell/ PSCell when the transmission bandwidth of the PCell/PSCell in downlink is 6 resource blocks.

### pmi-RI-Report

See TS 36.213 [23], clause 7.2. The presence of this field means PMI/RI reporting is configured; otherwise the PMI/RI reporting is not configured. EUTRAN configures this field only when *transmissionMode* is set to *tm8*, *tm9* or *tm10*. The UE shall ignore *pmi-RI-Report-r9*/ *pmi-RI-Report-r10* when transmission mode 10 is configured for the serving cell on this carrier frequency.

#### ri-Ref-CSI-ProcessId

CSI process whose RI value the UE inherits when reporting RI, in the same subframe, for CSI reporting. E-UTRAN ensures that the CSI process that inherits the RI value is configured in accordance with the conditions specified in TS 36.213 [23], clauses 7.2.1 and 7.2.2.

# CQI-ReportConfig

The IE *CQI-ReportConfig* is used to specify the CQI reporting configuration.

# CQI-ReportConfig information elements

```
-- ASN1START
CQI-ReportConfig ::=
                                  SEQUENCE {
   cqi-ReportModeAperiodic
                                  CQI-ReportModeAperiodic OPTIONAL,
                                                                             -- Need OR
   nomPDSCH-RS-EPRE-Offset
                                     INTEGER (-1..6),
   cqi-ReportPeriodic
                                  CQI-ReportPeriodic OPTIONAL
                                                                             -- Need ON
CQI-ReportConfig-v920 ::=
                             SEOUENCE {
                                  ENUMERATED {setup}
   cgi-Mask-r9
                                                         OPTIONAL,
                                                                         -- Cond cqi-Setup
                                  ENUMERATED (setup)
   pmi-RI-Report-r9
                                                         OPTIONAL
                                                                         -- Cond PMIRI
                          SEQUENCE {
CQI-ReportConfig-r10 ::=
                                      CQI-ReportAperiodic-r10
   cqi-ReportAperiodic-r10
                                                                    OPTIONAL,
                                                                                 -- Need ON
   nomPDSCH-RS-EPRE-Offset
                                  INTEGER (-1..6),
                                      CQI-ReportPeriodic-r10
   cqi-ReportPeriodic-r10
                                                                     OPTIONAL,
                                                                                 -- Need ON
   pmi-RI-Report-r9
                                      ENUMERATED {setup}
                                                                     OPTIONAL,
                                                                                 -- Cond
PMIRIPCell
   csi-SubframePatternConfig-r10
                                      CHOICE {
       release
                                      NULL,
                                      SEQUENCE {
           csi-MeasSubframeSet1-r10
                                             MeasSubframePattern-r10.
           csi-MeasSubframeSet2-r10
                                             MeasSubframePattern-r10
   }
                                                                     OPTIONAL
                                                                                 -- Need ON
CQI-ReportConfig-v1130 ::= SEQUENCE {
   cqi-ReportPeriodic-v1130
                                      CQI-ReportPeriodic-v1130,
   cqi-ReportBoth-r11
                                      CQI-ReportBoth-r11
CQI-ReportConfig-v1250 ::=
                             SEQUENCE {
                                      CHOICE {
   csi-SubframePatternConfig-r12
                                      NULL,
       release
       setup
                                      SEQUENCE {
                                           BIT STRING (SIZE (10))
           csi-MeasSubframeSets-r12
                                         OPTIONAL, -- Need ON CQI-ReportBoth-v1250 OPTIONAL, -- Need ON
    cqi-ReportBoth-v1250
   cqi-ReportAperiodic-v1250 CQI-ReportAperiodic-v1250 OPTIONAL, -- Need ON
   altCQI-Table-r12
                              ENUMERATED {
```

```
allSubframes, csi-SubframeSet1,
                                         csi-SubframeSet2, spare1} OPTIONAL
                                                                                                -- Need OP
}
CQI-ReportConfig-v1310 ::=
                                         SEQUENCE {
                                         CQI-ReportBoth-v1310
        cqi-ReportBoth-v1310
                                                                                 OPTIONAL,
                                                                                                -- Need ON
                                                 CQI-ReportAperiodic-v1310 OPTIONAL, -- Need ON CQI-ReportPeriodic-v1310 OPTIONAL -- Need ON
        cqi-ReportAperiodic-v1310
         cqi-ReportPeriodic-v1310
}
CQI-ReportConfig-v1320 ::= SEQUENCE {
    cqi-ReportPeriodic-v1320 CQI
                                           CQI-ReportPeriodic-v1320 OPTIONAL -- Need ON
CQI-ReportConfig-v1430 ::=
                                        SEQUENCE {
       cqi-ReportAperiodicHybrid-r14 CQI-ReportAperiodicHybrid-r14 OPTIONAL
                                                                                                    -- Need ON
CQI-ReportConfig-v1530 ::=
                                  SEQUENCE {
    altCQI-Table-1024QAM-r15
                                    ENUMERATED {
                                          allSubframes, csi-SubframeSet1,
                                                                                OPTIONAL
                                             csi-SubframeSet2, spare1}
                                                                                                    -- Need OP
CQI-ReportConfig-r15 ::=
                               CHOICE {
                               NULL,
    release
                               SEQUENCE {
                                     CQI-ReportConfig-r10 OPTIONAL,
CQI-ReportConfig-v1130 OPTIONAL,
CQI-ReportConfig-v1250 OPTIONAL,
CQI-ReportConfig-v1310 OPTIONAL,
CQI-ReportConfig-v1320 OPTIONAL,
CQI-ReportConfig-v1430 OPTIONAL,
         cqi-ReportConfig-r10
         cqi-ReportConfig-v1130
                                                                                                    -- Need ON
         cqi-ReportConfigPCell-v1250 CQI-ReportConfig-v1250
                                                                                                    -- Need ON
         cqi-ReportConfig-v1310
                                                                                                    -- Need ON
        cqi-ReportConfig-v1320 CQI-ReportConfig-v1320 OPTIONAL, cqi-ReportConfig-v1430 CQI-ReportConfig-v1430 OPTIONAL, altCQI-Table-1024QAM-r15 ENUMERATED {allsubframes, csi-SubframeSet1,
                                                                                                    -- Need ON
                                                                                                     -- Need ON
                                             csi-SubframeSet2, spare1}
                                                                                OPTIONAL
                                                                                                    -- Need OP
}
CQI-ReportConfigSCell-r10 ::=
                                                SEQUENCE {
    cqi-ReportModeAperiodic-r10
                                            CQI-ReportModeAperiodic OPTIONAL,
                                                                                               -- Need OR
                                            INTEGER (-1..6),

CQI-ReportPeriodic-r10 OPTIONAL, -- Need ON
ENUMERATED {setup} OPTIONAL -- Cond
    nomPDSCH-RS-EPRE-Offset-r10
    cqi-ReportPeriodicSCell-r10
    pmi-RI-Report-r10
PMIRISCell
}
CQI-ReportConfigSCell-r15 ::=
                                             SEQUENCE {
    -ReportConfigSCell-r15 ::= cqi-ReportPeriodicSCell-r15
                                             CQI-ReportPeriodicSCell-r15 OPTIONAL, -- Need ON
    altCQI-Table-1024QAM-r15
                                                  ENUMERATED {allSubframes, csi-SubframeSet1,
                                                  csi-SubframeSet2, spare1} OPTIONAL
                                                                                                          -- Need
OP
-- ASN1STOP
```

### CQI-ReportConfig field descriptions

#### altCQI-Table, altCQI-Table-1024QAM

Indicates the applicability of the alternative CQI table (i.e. Table 7.2.3-2 and Table 7.2.3-4 in TS 36.213 [23]) for both aperiodic and periodic CSI reporting for the concerned serving cell. Value *allSubframes* means the alternative CQI table applies to all the subframes and CSI processes, if configured, and value *csi-SubframeSet1* means the alternative CQI table applies to CSI subframe set1, and value *csi-SubframeSet2* means the alternative CQI table applies to CSI subframe set2. EUTRAN sets the value to *csi-SubframeSet1* or *csi-SubframeSet2* only if *transmissionMode* is set in range *tm1* to *tm9* and *csi-SubframePatternConfig-r10* is configured for the concerned serving cell and different CQI tables apply to the two CSI subframe sets; otherwise EUTRAN sets the value to *allSubframes*. EUTRAN does not configure *altCQI-Table-r12* in *CQI-ReportConfig-v1250* and *altCQI-Table-1024QAM-r15* in *CQI-ReportConfig-v1530* or in *CQI-ReportConfigSCell-r15* in the same serving cell simultaneously. If *altCQI-Table-r12* and *altCQI-Table-1024QAM-r15* are absent, the UE shall use Table 7.2.3-1 in TS 36.213 [23] for all subframes and CSI processes, if configured.

### cqi-Mask

Limits CQI/PMI/PTI/RI reports to the on-duration period of the DRX cycle, see TS 36.321 [6]. One value applies for all CSI processes and all serving cells (the associated functionality is common i.e. not performed independently for each cell).

### cqi-ReportAperiodic

E-UTRAN does not configure *CQI-ReportAperiodic* when transmission mode 10 is configured for all serving cells. E-UTRAN configures *cqi-ReportAperiodic-v1250* only if *cqi-ReportAperiodic-r10* and *csi-MeasSubframeSets-r12* are configured. E-UTRAN configures *cqi-ReportAperiodic-v1310* only if *cqi-ReportAperiodic-r10* is configured.

#### cgi-ReportModeAperiodic

Parameter: reporting mode. Value rm12 corresponds to Mode 1-2, rm20 corresponds to Mode 2-0, rm22 corresponds to Mode 2-2 etc. PUSCH reporting modes are described in TS 36.213 [23], clause 7.2.1. The UE shall ignore *cqi-ReportModeAperiodic-r10* when transmission mode 10 is configured for the serving cell on this carrier frequency. The UE shall ignore *cqi-ReportModeAperiodic-r10* configured for the PCell/ PSCell when the transmission bandwidth of the PCell/PSCell in downlink is 6 resource blocks.

#### cgi-ReportPeriodic

E-UTRAN does not configure CQI-ReportPeriodic for sTTI within CQI-ReportConfig.

#### csi-MeasSubframeSets

Indicates the two CSI subframe sets. Value 0 means the subframe belongs to CSI subframe set 1 and value 1 means the subframe belongs to CSI subframe set 2. CSI subframe set 1 refers to  $C_{CSI,0}$  in TS 36.213 [23], clause 7.2, and CSI subframe set 2 refers to  $C_{CSI,1}$  in TS 36.213 [23], clause 7.2. EUTRAN does not configure csi-MeasSubframeSet1-r10 and csi-MeasSubframeSet2-r10 if either csi-MeasSubframeSets-r12 for PCell or csi-MainConfigPCell-r12 is configured.

# csi-MeasSubframeSet1, csi-MeasSubframeSet2

Indicates the CSI measurement subframe sets. csi-MeasSubframeSet1 refers to  $C_{CSI,0}$  in TS 36.213 [23], clause 7.2 and csi-MeasSubframeSet2 refers to  $C_{CSI,1}$  in TS 36.213 [23], clause 7.2. E-UTRAN only configures the two CSI measurement subframe sets for the PCell.

### nomPDSCH-RS-EPRE-Offset

Parameter:  $\Delta_{offset}$  see TS 36.213 [23], clause 7.2.3. Actual value = field value \* 2 [dB].

#### pmi-RI-Report

See TS 36.213 [23], clause 7.2. The presence of this field means PMI/RI reporting is configured; otherwise the PMI/RI reporting is not configured. EUTRAN configures this field only when *transmissionMode* is set to *tm8*, *tm9* or *tm10*. The UE shall ignore *pmi-RI-Report-r9*/ *pmi-RI-Report-r10* when transmission mode 10 is configured for the serving cell on this carrier frequency.

Conditional presence	Explanation
cqi-Setup	This field is not present for an Scell except for the PSCell, while it is conditionally present for the PCell and the PSCell according to the following. The field is optional present, need OR, if the <i>cqi-ReportPeriodic</i> in the <i>cqi-ReportConfig</i> is set to <i>setup</i> . If the field <i>cqi-ReportPeriodic</i> is present and set to <i>release</i> , the field is not present and the UE shall delete any existing value for this field. Otherwise the field is not present.
PMIRI	The field is optional present, need OR, if cqi-ReportPeriodic is included and set to setup, or cqi-ReportModeAperiodic is included. If the field cqi-ReportPeriodic is present and set to release and cqi-ReportModeAperiodic is absent, the field is not present and the UE shall delete any existing value for this field. Otherwise the field is not present.
PMIRIPCell PMIRIPCE	The field is optional present, need OR, if cqi-ReportPeriodic is included in the CQI-ReportConfig-r10 and set to setup, or cqi-ReportAperiodic is included in the CQI-ReportConfig-r10 and set to setup. If the field cqi-ReportPeriodic is present in the CQI-ReportConfig-r10 and set to release and cqi-ReportAperiodic is included in the CQI-ReportConfig-r10 and set to release, the field is not present and the UE shall delete any existing value for this field. Otherwise the field is not present.
PMIRISCell	The field is optional present, need OR, if cqi-ReportPeriodicSCell is included and set to setup, or cqi-ReportModeAperiodic-r10 is included in the CQI-ReportConfigSCell. If the field cqi-ReportPeriodicSCell is present and set to release and cqi-ReportModeAperiodic-r10 is absent in the CQI-ReportConfigSCell, the field is not present and the UE shall delete any existing value for this field. Otherwise the field is not present.

# CQI-ReportPeriodic

The IE CQI-ReportPeriodic is used to specify the periodic CQI reporting configuration elements.

# CQI-ReportPeriodic information elements

```
-- ASN1START
                              CHOICE {
CQI-ReportPeriodic ::=
                                      NULL,
    release
    setup
                                      SEQUENCE {
        cqi-PUCCH-ResourceIndex INTEGER (0..1185),
        cqi-pmi-ConfigIndex
                                               INTEGER (0..1023),
        cqi-FormatIndicatorPeriodic
                                              CHOICE {
                                                   NULL,
            widebandCQI
                                                   SEQUENCE {
            subbandCQI
                 k
                                                       INTEGER (1..4)
        ri-ConfigIndex
                                              INTEGER (0..1023) OPTIONAL,
                                                                                              -- Need OR
        simultaneousAckNackAndCQI
                                               BOOLEAN
CQI-ReportPeriodic-r10 ::=
                                CHOICE {
                                          NULL,
        cqi-PUCCH-ResourceIndex-r10 SEQUENCE {
    setup
        cqi-PUCCH-ResourceIndex-r10 INTEGER (0..1184), cqi-PUCCH-ResourceIndexP1-r10 INTEGER (0..1184) cqi-pmi-ConfigIndex INTEGER (0..1023),
                                                                                OPTIONAL,
                                                                                              -- Need OR
        cqi-FormatIndicatorPeriodic-r10 CHOICE {
            widebandCQI-r10
                 ebandCQI-r10 SEQUENCE {
csi-ReportMode-r10 ENUMERATED {submode1, submode2} OPTIONAL
                                                  SEQUENCE {
                                                                                              -- Need OR
                                                   SEQUENCE {
            subbandCQI-r10
                                                   INTEGER (1..4),
                k
                 periodicityFactor-r10
                                                       ENUMERATED {n2, n4}
        ri-ConfigIndex
                                         INTEGER (0..1023)
                                                                  OPTIONAL,
                                                                                              -- Need OR
        simultaneousAckNackAndCQI
cqi-Mask-r9
csi-ConfigIndex-r10
                                          BOOLEAN,
                                                                                              -- Need OR
                                          ENUMERATED {setup}
                                                                    OPTIONAL,
                                          CHOICE {
            release
                                              NULL,
                                               SEQUENCE {
            setup
                                            INTEGER (0..1023),
                 cqi-pmi-ConfigIndex2-r10
                 ri-ConfigIndex2-r10
                                                   INTEGER (0..1023)
                                                                             OPTIONAL
                                                                                              -- Need OR
                 OPTIONAL
                                                                                               -- Need ON
```

```
}
CQI-ReportPeriodic-v1130 ::=
                            SEQUENCE {
   simultaneousAckNackAndCQI-Format3-r11
                                            ENUMERATED {setup}
                                                                  OPTIONAL,
                                                                              -- Need OR
   cqi-ReportPeriodicProcExtToReleaseList-r11 CQI-ReportPeriodicProcExtToReleaseList-r11
   OPTIONAL,
              -- Need ON
   cqi-ReportPeriodicProcExtToAddModList-r11 CQI-ReportPeriodicProcExtToAddModList-r11 OPTIONAL
   -- Need ON
\verb|simultaneousAckNackAndCQI-Format4-Format5-r13| & \verb|ENUMERATED| {setup} | & \verb|OPTIONAL--| Need OR| \\
CQI-ReportPeriodicSCell-r15 ::= CHOICE {
   release
                                     NULL,
                                     SEQUENCE {
       cgi-pmi-ConfigIndexDormant-r15
                                        INTEGER (0..1023),
                                         INTEGER (0..1023)
       ri-ConfigIndexDormant-r15
                                                             OPTIONAL,
                                                                            -- Need OR
       csi-SubframePatternDormant-r15
                                         CHOICE {
           release
                                        NULL,
                                        SEQUENCE {
              CSi-MeasSubframeSet1-r15 MeasSubframePattern-r10, CSi-MeasSubframeSet2-r15 MeasSubframePattern-r10
              csi-MeasSubframeSet1-r15
                                                                   OPTIONAL, -- Need ON
       cqi-FormatIndicatorDormant-r15 CHOICE {
                                     SEQUENCE {
           widebandCQI-r15

      lebandCQI-r15
      SEQUENCE {

      csi-ReportMode-r15
      ENUMERATED {submode1, submode2} OPTIONAL -- Need OR

                            SEQUENCE {
           subbandCQI-r15
              periodicityFactor-r15 INTEGER (1..4),
              k-r15
                                        ENUMERATED {n2, n4}
       }
                                                                OPTIONAL
                                                                              -- Need OR
   }
}
CQI-ReportPeriodicProcExtToAddModList-r11 ::= SEQUENCE (SIZE (1..maxCQI-ProcExt-r11)) OF CQI-
ReportPeriodicProcExt-r11
CQI-ReportPeriodicProcExtToReleaseList-r11 ::= SEQUENCE (SIZE (1..maxCQI-ProcExt-r11)) OF CQI-
ReportPeriodicProcExtId-r11
                                     SEQUENCE {
COI-ReportPeriodicProcExt-r11 ::=
   cqi-ReportPeriodicProcExtId-r11 CQI-ReportPeriodicProcExtId-r11,
   cqi-pmi-ConfigIndex-r11 INTEGER (0..1023),
   cqi-FormatIndicatorPeriodic-r11 CHOICE {
          epandCQI-r11 SEQUENCE {
csi-ReportMode-r11 ENUMER
       widebandCQI-r11
                                    ENUMERATED {submode1, submode2} OPTIONAL -- Need OR
       subbandCQI-r11
                               SEQUENCE {
           k INTEGER (1..4), periodicityFactor-rl1 ENUMERATED {n2, n4}
   csi-ConfigIndex-rll
release
                                INTEGER (0..1023)
                                                                  OPTIONAL,
                                                                               -- Need OR
                                CHOICE {
                                    NULL.
                                     SEQUENCE {
           cqi-pmi-ConfigIndex2-rl1 INTEGER (0..1023), ri-ConfigIndex2-rl1 INTEGER (0..1023)
                                                               OPTIONAL
                                                                               -- Need OR
   }
                                                                OPTIONAL, -- Need ON
   [[ cri-ReportConfig-r13
                                     CRI-ReportConfig-r13
                                                                      OPTIONAL -- Need ON
   11,
                                   ENUMERATED {n2, n4}
   [[ periodicityFactorWB-r13
                                                               OPTIONAL
                                                                             -- Need ON
   ]]
CQI-ShortConfigSCell-r15 ::= CHOICE {
```

```
release
                                              NULL,
         pp SEQUENCE {
cqi-pmi-ConfigIndexShort-r15 INTEGER (0..1023),
    setup
         ri-ConfigIndexShort-r15 INTEGER (0..1023) OPTIONAL, -- Need OR
cqi-FormatIndicatorShort-r15 CHOICE {
   widebandCQI-Short-r15 SEQUENCE {
    csi-ReportModeShort-r15 ENUMERATED {submode1, submode2} OPTIONAL -- Need OR
              subbandCQI-Short-r15 SEQUENCE {
                                                   INTEGER (1..4),
                  periodicityFactor-r15
                                                   ENUMERATED {n2, n4}
                                                                                OPTIONAL -- Need OR
    }
}
CQI-ReportPeriodicSCell-v1730 ::= SEQUENCE {
   cqi-pmi-ConfigIndex2Dormant-r17 INTEGER (0..1023),
ri-ConfigIndex2Dormant-r17 INTEGER (0..1023) OPTIONAL -- Need OR
CRI-ReportConfig-r13 ::= CHOICE {
                                          NULL,
SEQUENCE {
   release
    setup
         up
cri-ConfigIndex-r13
cri-ConfigIndex2-r13
                                              CRI-ConfigIndex-r13,
         cri-ConfigIndex-r13
                                                   CRI-ConfigIndex-r13 OPTIONAL -- Need OR
CRI-ConfigIndex-r13 ::=
                             INTEGER (0..1023)
-- ASN1STOP
```

# CQI-ReportPeriodic field descriptions

#### cgi-FormatIndicatorPeriodic

Parameter: *PUCCH CQI Feedback Type*, see TS 36.213 [23], table 7.2.2-1. Depending on transmissionMode, reporting mode is implicitly given from the table.

#### cqi-Mask

Limits CQI/PMI/PTI/RI reports to the on-duration period of the DRX cycle, see TS 36.321 [6]. One value applies for all CSI processes and all serving cells (the associated functionality is common i.e. not performed independently for each cell).

# cqi-pmi-ConfigIndex

Parameter: *CQI/PMI Periodicity and Offset Configuration Index Icqi/PMI*, see TS 36.213 [23], tables 7.2.2-1A and 7.2.2-1C. If subframe patterns for CSI (CQI/PMI/PTI/RI) reporting are configured (i.e. *csi-SubframePatternConfig* is configured), the parameter applies to the subframe pattern corresponding to *csi-MeasSubframeSet1* or corresponding to the CSI subframe set 1 indicated by *csi-MeasSubframeSets-r12*.

# cqi-pmi-ConfigIndexDormant

If subframe patterns for CSI (CQI/PMI/PTI/RI) reporting for dormant SCell are configured (i.e. *csi-SubframePatternDormant* is configured), the parameter applies to the subframe pattern corresponding to *csi-MeasSubframeSet1-r15*.

# cqi-pmi-ConfigIndex2

Parameter: CQI/PMI Periodicity and Offset Configuration Index IcquPMI, see TS 36.213 [23], tables 7.2.2-1A and 7.2.2-1C. The parameter applies to the subframe pattern corresponding to csi-MeasSubframeSet2 or corresponding to the CSI subframe set 2 indicated by csi-MeasSubframeSets-r12.

#### cqi-pmi-ConfigIndex2Dormant

If subframe patterns for CSI (CQI/PMI/PTI/RI) reporting for dormant SCell are configured (i.e. *csi-SubframePatternDormant* is configured), the parameter applies to the subframe pattern corresponding to *csi-MeasSubframeSet2-r15*.

### cqi-PUCCH-ResourceIndex, cqi-PUCCH-ResourceIndexP1

Parameter  $n_{\text{PUCCH}}^{(2,p)}$  for antenna port P0 and for antenna port P1 respectively, see TS 36.213 [23], clause 7.2. E-

UTRAN does not apply value 1185. One value applies for all CSI processes.

#### cgi-ReportAperiodic

E-UTRAN does not configure *CQI-ReportAperiodic* when transmission mode 10 is configured for all serving cells. E-UTRAN configures *cqi-ReportAperiodic-v1250* only if *cqi-ReportAperiodic-r10* and *csi-MeasSubframeSets-r12* are configured. E-UTRAN configures *cqi-ReportAperiodic-v1310* only if *cqi-ReportAperiodic-r10* is configured.

# cqi-ReportModeAperiodic

Parameter: reporting mode. Value rm12 corresponds to Mode 1-2, rm20 corresponds to Mode 2-0, rm22 corresponds to Mode 2-2 etc. PUSCH reporting modes are described in TS 36.213 [23], clause 7.2.1. The UE shall ignore cqi-ReportModeAperiodic-r10 when transmission mode 10 is configured for the serving cell on this carrier frequency. The UE shall ignore cqi-ReportModeAperiodic-r10 configured for the PCell/PSCell when the transmission bandwidth of the PCell/PSCell in downlink is 6 resource blocks.

### CQI-ReportPeriodicProcExt

A set of periodic CQI related parameters for which E-UTRAN may configure different values for each CSI process. For a serving frequency E-UTRAN configures one or more CQI-ReportPeriodicProcExt only when transmission mode 10 is configured for the serving cell on this carrier frequency.

#### cri-ConfigIndex

Parameter: *cri-ConfigIndex Icri*see TS 36.213 [23]. The parameter applies to the subframe pattern corresponding to *csi-MeasSubframeSet1*. EUTRAN configures the field if subframe patterns for CSI (CQI/PMI/PTI/RI/CRI) reporting are configured (i.e. *csi-SubframePatternConfig* is configured).

#### cri-ConfigIndex2

Parameter: *cri-ConfigIndex I<sub>CRI</sub>*see TS 36.213 [23]. The parameter applies to the subframe pattern corresponding to *csi-MeasSubframeSet2* or corresponding to the CSI subframe set 2 indicated by *csi-MeasSubframeSets*. E-UTRAN configures *cri-ConfigIndex2* only if *cri-ConfigIndex* is configured.

### cri-ReportConfig

E-UTRAN configures the field only if the UE is configured with *eMIMO-Ty*pe set to "*beamformed*" and if multiple references to RS configuration using non-zero power transmission are configured (i.e. if *csi-RS-ConfigNZPIdListExt* is configured).

# csi-ConfigIndex

E-UTRAN configures csi-ConfigIndex only for PCell and only if csi-SubframePatternConfig is configured. The UE shall release csi-ConfigIndex if csi-SubframePatternConfig is released.

#### csi-ProcessToAddModList

For a serving frequency E-UTRAN configures one or more *CSI-Process* only when transmission mode 10 is configured for the serving cell on this carrier frequency.

# csi-ReportMode

Parameter: PUCCH\_format1-1\_CSI\_reporting\_mode, see TS 36.213 [23], clause 7.2.2.

# Κ

Parameter: K, see TS 36.213 [23], clause 7.2.2.

# nomPDSCH-RS-EPRE-Offset

Parameter:  $\Delta_{offset}$  see TS 36.213 [23], clause 7.2.3. Actual value = field value \* 2 [dB].

### CQI-ReportPeriodic field descriptions

### periodicityFactor, periodicityFactorWB

Parameter: H', see TS 36.213 [23], clause 7.2.2. EUTRAN configures field *periodicityFactorWB* only when the UE is configured with *eMIMO-Type* set to *nonPrecoded* and with *cqi-FormatIndicatorPeriodic* set to *widebandCQI*.

#### ri-ConfigIndex

Parameter: RI Config Index  $I_{RI}$ , see TS 36.213 [23], clause 7.2.2-1B. If subframe patterns for CSI (CQI/PMI/PTI/RI/CRI) reporting are configured (i.e. csi-SubframePatternConfig is configured), the parameter applies to the subframe pattern corresponding to csi-MeasSubframeSet1.

#### ri-ConfigIndexDormant

If subframe patterns for CSI (CQI/PMI/PTI/RI) reporting for dormant SCell are configured (i.e. *csi-SubframePatternDormant* is configured), the parameter applies to the subframe pattern corresponding to *csi-MeasSubframeSet1-r15*.

# ri-ConfigIndex2

Parameter: RI Config Index  $I_{RI}$ , see TS 36.213 [23], clause 7.2.2-1B. The parameter applies to the subframe pattern corresponding to csi-MeasSubframeSet2 or corresponding to the CSI subframe set 2 indicated by csi-MeasSubframeSets-r12. E-UTRAN configures ri-ConfigIndex2 only if ri-ConfigIndex is configured.

### ri-ConfigIndex2Dormant

If subframe patterns for CSI (CQI/PMI/PTI/RI) reporting for dormant SCell are configured (i.e. *csi-SubframePatternDormant* is configured), the parameter applies to the subframe pattern corresponding to *csi-MeasSubframeSet2-r15*.

### simultaneousAckNackAndCQI

Parameter: Simultaneous-AN-and-CQI, see TS 36.213 [23], clause 10.1. TRUE indicates that simultaneous transmission of ACK/NACK and CQI is allowed. One value applies for all CSI processes. For SCells except for the PSCell and PUCCH SCell this field is not applicable and the UE shall ignore the value.

#### simultaneousAckNackAndCQI-Format3

Indicates that the UE shall perform simultaneous transmission of HARQ A/N and periodic CQI report multiplexing on PUCCH format 3, see TS 36.213 [23], clauses 7.2 and 10.1.1. E-UTRAN configures this information only when *pucch-Format* is set to *format3*. One value applies for all CSI processes. For SCells except for the PSCell and PUCCH SCell this field is not applicable and the UE shall ignore the value.

### simultaneousAckNackAndCQI-Format4-Format5

Indicates that the UE shall perform simultaneous transmission of HARQ A/N and periodic CSI report multiplexing on PUCCH format 4 and format 5, see TS 36.213 [23], clause 10.1.1. E-UTRAN configures this information only when *pucch-Format* is set to *format4* or *format5*. One value applies for all CSI processes. For SCells except for the PSCell and PUCCH SCell this field is not applicable and the UE shall ignore the value.

# CQI-ReportPeriodicProcExtId

The IE *CQI-ReportPeriodicProcExtId* is used to identify a periodic CQI reporting configuration that E-UTRAN may configure in addition to the configuration specified by the IE *CQI-ReportPeriodic-r10*. These additional configurations are specified by the IE *CQI-ReportPeriodicProcExt-r11*. The identity is unique within the scope of a carrier frequency.

# CQI-ReportPeriodicProcExtId information elements

```
-- ASN1START

CQI-ReportPeriodicProcExtId-r11 ::= INTEGER (1..maxCQI-ProcExt-r11)

-- ASN1STOP
```

# CrossCarrierSchedulingConfig

The IE CrossCarrierSchedulingConfig is used to specify the configuration when the cross carrier scheduling is used in a cell.

# CrossCarrierSchedulingConfig information elements

```
-- ASN1START

CrossCarrierSchedulingConfig-r10 ::= SEQUENCE {
    schedulingCellInfo-r10 CHOICE {
        own-r10 SEQUENCE {
            -- No cross carrier
            scheduling
            cif-Presence-r10 BOOLEAN
            },
```

```
other-r10
                                                SEQUENCE {
                                                                            -- Cross carrier
scheduling
            schedulingCellId-r10
                                                ServCellIndex-r10,
            pdsch-Start-r10
                                                INTEGER (1..4)
    }
CrossCarrierSchedulingConfig-r13 ::=
                                           SEQUENCE {
    schedulingCellInfo-r13
                                       CHOICE {
       own-r13
                                           SEQUENCE {
                                                                        -- No cross carrier
scheduling
            cif-Presence-r13
                                                    BOOLEAN
        other-r13
                                            SEQUENCE {
                                                                        -- Cross carrier scheduling
                                             ServCellIndex-r13,
           schedulingCellId-r13
            pdsch-Start-r13
                                               INTEGER (1..4),
            cif-InSchedulingCell-r13
                                                    INTEGER (1..7)
}
CrossCarrierSchedulingConfigLAA-UL-r14 ::=
                                                SEQUENCE {
    schedulingCellId-r14
                                                    ServCellIndex-r13,
    cif-InSchedulingCell-r14
                                                    INTEGER (1..7)
 - ASN1STOP
```

### CrossCarrierSchedulingConfig field descriptions

#### cif-Presence

The field is used to indicate whether carrier indicator field is present (value TRUE) or not (value FALSE) in PDCCH/EPDCCH DCI formats, see TS 36.212 [22], clause 5.3.3.1.

#### cif-InSchedulingCell

The field indicates the CIF value used in the scheduling cell to indicate this cell, see TS 36.212 [22], clause 5.3.3.1. In case of carrier indicator field is present, the CIF value is 0.

#### pdsch-Start

The starting OFDM symbol of PDSCH for the concerned SCell, see TS 36.213 [23]. clause 7.1.6.4. Values 1, 2, 3 are applicable when *dl-Bandwidth* for the concerned SCell is greater than 10 resource blocks, values 2, 3, 4 are applicable when *dl-Bandwidth* for the concerned SCell is less than or equal to 10 resource blocks, see TS 36.211 [21], Tables 6 and 7-1.

#### schedulingCellId

Indicates which cell signals the downlink allocations and uplink grants, if applicable, for the concerned SCell. In case the UE is configured with DC, the scheduling cell is part of the same cell group (i.e. MCG or SCG) as the scheduled cell. In case the UE is configured with crossCarrierSchedulingConfigLAA-UL, schedulingCellId indicated in crossCarrierSchedulingConfigLAA-UL only indicates which cell signals the uplink grants.

# CRS-ChEstMPDCCH-Config

The IE CRS-ChEstMPDCCH-Config is used to configure and enable use of CRS for MPDCCH performance improvement, see TS 36.211 [21], clause 6.8B.5 and TS 36.213 [23], clause 9.1.5.

# CRS-ChEstMPDCCH-Config information elements

```
-- ASN1STOP

CRS-ChEstMPDCCH-ConfigCommon-r16 ::= SEQUENCE {
   powerRatio-r16 ENUMERATED {dB-4dot77, dB-3, dB-1dot77, dB0, dB1, dB2, dB3, dB4dot77} }

CRS-ChEstMPDCCH-ConfigDedicated-r16 ::= SEQUENCE {
   powerRatio-r16 ENUMERATED {dB-4dot77, dB-3, dB-1dot77, dB0, dB1, dB2, dB3, dB4dot77} OPTIONAL, -- Cond setup localizedMappingType-r16 ENUMERATED {predefined, csi-Based, reciprocityBased} DEFAULT predefined
}

-- ASN1STOP
```

# CRS-ChEstMPDCCH-Config field descriptions

#### powerRatio

Power ratio in dB between DMRS and CRS antenna ports of MPDCCH, see TS 36.213 [23], clause 5.2. Value dB-4dot77 corresponds to -4.77 dB, value dB-3 corresponds to -3 dB and so on.

# localizedMappingType

DMRS mapping type for MPDCCH performance improvement with localized MPDCCH allocation for CE mode A or B in RRC\_CONNECTED, see TS 36.213 [23], clause 9.1.5. Value *predefined* corresponds to predefined mapping, value *csi-Based* corresponds to CSI-based mapping, and value *reciprocityBased* corresponds to reciprocity based mapping. Reciprocity based mapping is only applicable for TDD.

Conditional presence	Explanation
setup	The field is mandatory present if CRS-ChEstMPDCCH-ConfigDedicated is set to setup
	and this field has not been configured in CRS-ChEstMPDCCH-ConfigCommon; otherwise
	the field is optional, need ON.

# — CSI-IM-Config

The IE *CSI-IM-Config* is the CSI Interference Measurement (IM) configuration that E-UTRAN may configure on a serving frequency, see TS 36.213 [23], clause 7.2.6.

### CSI-IM-Config information elements

```
-- ASN1START
CSI-IM-Config-r11 ::=
                              SEQUENCE {
    csi-IM-ConfigId-rll CSI-IM-ConfigId-rll, resourceConfig-rll INTEGER (0..31), subframeConfig-rll INTEGER (0..154),
         interferenceMeasRestriction-r13
                                                   BOOLEAN
                                                                 OPTIONAL
                                                                                -- Need ON
CSI-IM-ConfigExt-r12 ::=
                                   SEQUENCE {
    csi-IM-ConfigId-v1250
resourceConfig-r12
subframeConfig-r12
                                      CSI-IM-ConfigId-v1250,
                                    INTEGER (0..31),
    subframeConfig-r12
                                     INTEGER (0..154),
    [[ interferenceMeasRestriction-r13 BOOLEAN
                                                                      OPTIONAL,
                                                                                    -- Need ON
         csi-IM-ConfigId-v1310 CSI-IM-ConfigId-v1310 OPTIONAL
                                                                                    -- Need ON
-- ASN1STOP
```

### CSI-IM-Config field descriptions

# resourceConfig

Parameter: CSI reference signal configuration, see TS 36.213 [23], clause 7.2.6 and TS 36.211 [21], tables 6.10.5.2-1 and 6.10.5.2-2 for 4 REs.

#### subframeConfig

Parameter:  $I_{\rm CSI-RS}$ , see TS 36.213 [23], clause 7.2.6 and TS 36.211 [21], table 6.10.5.3-1.

# CSI-IM-Configld

The IE *CSI-IM-ConfigId* is used to identify a CSI-IM configuration that is configured by the IE *CSI-IM-Config*. The identity is unique within the scope of a carrier frequency.

# CSI-IM-ConfigId information elements

```
-- ASN1START

CSI-IM-ConfigId-r11 ::= INTEGER (1..maxCSI-IM-r11)
CSI-IM-ConfigId-r12 ::= INTEGER (1..maxCSI-IM-r12)
CSI-IM-ConfigId-v1250 ::= INTEGER (maxCSI-IM-r12)
CSI-IM-ConfigId-v1310 ::= INTEGER (minCSI-IM-r13..maxCSI-IM-r13)
```

```
CSI-IM-ConfigId-r13 ::= INTEGER (1..maxCSI-IM-r13)
-- ASN1STOP
```

# – CSI-Process

The IE CSI-Process is the CSI process configuration that E-UTRAN may configure on a serving frequency.

### CSI-Process information elements

```
-- ASN1START
    -Process-r11 ::= SEQUENCE {
csi-ProcessId-r11 CSI-ProcessId-r11,
csi-RS-ConfigNZPId-r11 CSI-RS-ConfigNZPId-r11,
CSI-Process-r11 ::=
    csi-Rs-configNzFid-fil
csi-IM-ConfigId-rl1
p-C-AndCBSRList-rl1
p-C-AndCBSR-pair-rl3a,
cqi-ReportBothProc-rl1
CQI-ReportBothProc-rl1
    cqi-ReportBothProc-rl1 CQI-ReportBothProc-rl1 cqi-ReportPeriodicProcId-rl1 INTEGER (0..maxCQI-ProcExt-rl1) OPTIONAL, OPTIONAL,
                                                                                                    -- Need OR
                                                                                                    -- Need OR
    \hbox{\tt [[ alternativeCodebookEnabledFor4TXProc-r12 } \hbox{\tt ENUMERATED \{true\} } \hbox{\tt OPTIONAL},
                                                                                                -- Need ON
         csi-IM-ConfigIdList-r12
                                         CHOICE {
             release
                                             NULL,
                                             SEQUENCE (SIZE (1..2)) OF CSI-IM-ConfigId-r12
             setup
                                                                                  OPTIONAL, -- Need ON
                                             CHOICE {
         cqi-ReportAperiodicProc2-r12
             release
                                             NULL,
                                             CQI-ReportAperiodicProc-r11
         }
                                                                                  OPTIONAL
                                                                                                -- Need ON
    11,
        cqi-ReportAperiodicProc-v1310
                                             CHOICE {
                                                  CQI-ReportAperiodicProc-v1310
             setup
                                                                                  OPTIONAL,
                                                                                                    -- Need ON
         cqi-ReportAperiodicProc2-v1310 CHOICE {
                                                  NULL,
                                                  CQI-ReportAperiodicProc-v1310
             setup
                                                                                  OPTIONAL,
                                                                                                     -- Need ON
         eMIMO-Type-r13
                                             CSI-RS-ConfigEMIMO-r13
                                                                                                    -- Need ON
                                                                                  OPTIONAL
    ]],
[[ dummy
                              CSI-RS-ConfigEMIMO-v1430
                                                                    OPTIONAL,
                                                                                       -- Need ON
                                            CSI-RS-ConfigEMIMO-Hybrid-r14
                                                                                     OPTIONAL,
         eMIMO-Hybrid-r14
                                                                                                     -- Need ON
                                                                                  OPTIONAL
                                                                                                     -- Need ON
         advancedCodebookEnabled-r14
                                             BOOLEAN
    [[ eMIMO-Type-v1480
                                             CSI-RS-ConfigEMIMO-v1480
                                                                                OPTIONAL
                                                                                                     -- Need ON
    ]],
                                                                                  OPTIONAL,
        feCOMP-CSI-Enabled-v1530 BOOLEAN
                                                                                                     -- Need ON
    [ [
         eMIMO-Type-v1530
                                             CSI-RS-ConfigEMIMO-v1530
                                                                                  OPTIONAL
                                                                                                     -- Need ON
    11
}
-- ASN1STOP
```

### CSI-Process field descriptions

#### advancedCodebookEnabled

Value TRUE indicates that the UE should use the advanced code book defined in TS 36.213 [23]. EUTRAN does not configure the field when the UE is configured with *eMIMO-Type* is set to *beamformed*, when the UE is configured with *eMIMO-Hybrid* or when the UE is configured with *semiOpenLoop*.

#### alternativeCodebookEnabledFor4TXProc

Indicates whether code book in TS 36.213 [23] Table 7.2.4-0A to Table 7.2.4-0D is being used for deriving CSI feedback and reporting for a CSI process. EUTRAN may configure the field only if the number of CSI-RS ports for non-zero power transmission CSI-RS configuration is 4.

#### cgi-ReportAperiodicProc

If csi-MeasSubframeSets-r12 is configured for the same frequency as the CSI process, cqi-ReportAperiodicProc applies for CSI subframe set 1. If csi-MeasSubframeSet1-r10 or csi-MeasSubframeSet2-r10 are configured for the same frequency as the CSI process, cqi-ReportAperiodicProc applies for CSI subframe set 1 or CSI subframe set 2. Otherwise, cqi-ReportAperiodicProc applies for all subframes. E-UTRAN configures cqi-ReportAperiodicProc-v1310 only if cqi-ReportAperiodicProc-r11 is configured

#### cgi-ReportAperiodicProc2

cqi-ReportAperiodicProc2 is configured only if csi-MeasSubframeSets-r12 is configured for the same frequency as the CSI process. cqi-ReportAperiodicProc2 is for CSI subframe set 2. E-UTRAN shall set cqi-ReportModeAperiodic-r11 in cqi-ReportAperiodicProc2 the same as in cqi-ReportAperiodicProc. E-UTRAN configures cqi-ReportAperiodicProc2-v1310 only if cqi-ReportAperiodicProc2-r12 is configured.

#### cgi-ReportBothProc

Includes CQI configuration parameters applicable for both aperiodic and periodic CSI reporting, for which CSI process specific values may be configured. E-UTRAN configures the field if and only if *cqi-ReportPeriodicProcId* is included and/ or if *cqi-ReportAperiodicProc* is included.

#### cgi-ReportPeriodicProcId

Refers to a periodic CQI reporting configuration that is configured for the same frequency as the CSI process. Value 0 refers to the set of parameters defined by the REL-10 CQI reporting configuration fields, while the other values refer to the additional configurations E-UTRAN assigns by *CQI-ReportPeriodicProcExt-r11* (and as covered by *CQI-ReportPeriodicProcExtId*).

### csi-IM-Configld

Refers to a CSI-IM configuration that is configured for the same frequency as the CSI process. If *csi-IM-Configld-v1250* or *csi-IM-Configld-v1310* is configured, the UE only considers this extension (i.e., UE ignores *csi-IM-Configld-r11* and *csi-IM-Configld-r12*).

### csi-IM-ConfigIdList

Refers to one or two CSI-IM configurations that are configured for the same frequency as the CSI process. *csi-IM-ConfigIdList* can include 2 entries only if *csi-MeasSubframeSets-r12* is configured for the same frequency as the CSI process.

# csi-RS-ConfigNZPId

Refers to a CSI RS configuration using non-zero power transmission that is configured for the same frequency as the CSI process.

# dummy

This field is not used in the specification. If received it shall be ignored by the UE.

#### eMIMO-Type

Parameter: eMIMO-Type, see TS 36.213 [23], TS 36.211 [21]. If eMIMO-Type is set to nonPrecoded, the codebooks used for deriving CSI feedback are in TS 36.213 [23], Table 7.2.4-10 to Table 7.2.4-17. Choice values nonPrecoded and beamformed correspond to 'CLASS A' and 'CLASS B' respectively, see TS 36.212 [22] and TS 36.213 [23].

# feCOMP-CSI-Enabled

Parameter: FeCoMPCSIEnabled, see TS 36.213 [23], clause 7.1.10. Refers to CSI feedback based on FeCoMP. E-UTRAN only configures the field when the UE is configured with eMIMO-Type-r13 set to beamformed with two NZP CSI-RS resources using the IE CSI-RS-ConfigBeamformed-r13 which contains the two NZP CSI-RS reources configued with csi-RS-ConfigNZPIdListExt-r13.

# p-C-AndCBSRList

The UE shall ignore *p-C-AndCBSRList-r11* if configured with *eMIMO-Type* unless it is set to *beamformed*, *alternativeCodebookEnabledBeamformed* (in *CSI-RS-ConfigBeamformed*) is set to *FALSE* and *csi-RS-ConfigNZPIdListExt* is not configured,

# CSI-ProcessId

The IE *CSI-ProcessId* is used to identify a CSI process that is configured by the IE *CSI-Process*. The identity is unique within the scope of a carrier frequency.

#### CSI-ProcessId information elements

```
-- ASN1START

CSI-ProcessId-r11 ::= INTEGER (1..maxCSI-Proc-r11)
```

-- ASN1STOP

# – CSI-RS-Config

The IE CSI-RS-Config is used to specify the CSI (Channel-State Information) reference signal configuration.

# CSI-RS-Config information elements

```
-- ASN1START
CSI-RS-Config-r10 ::= SEQUENCE {
    csi-RS-r10
                               CHOICE {
                               NULL,
        release
                                    SEQUENCE {
           antennaPortsCount-r10
resourceConfig-r10
subframeConfig-r10
                                             ENUMERATED {an1, an2, an4, an8},
                                            INTEGER (0..31),
                                             INTEGER (0..154),
                                             INTEGER (-8..15)
            p-C-r10
                                                                      OPTIONAL,
                                                                                           -- Need ON
                                                                                           -- Need ON
    zeroTxPowerCSI-RS-r10 ZeroTxPowerCSI-RS-Conf-r12
                                                                     OPTIONAL
}
CSI-RS-Config-v1250 ::= SEQUENCE {
    zeroTxPowerCSI-RS2-r12 ZeroTxl
    zeroTxPowerCSI-RS2-r12 ZeroTxPowerCSI-RS-Conf-r12 OPTIONAL, ds-ZeroTxPowerCSI-RS-r12 CHOICE {
                                                                                           -- Need ON
                               CHOICE {
        release
                                        NULL.
                                         SEQUENCE {
           zeroTxPowerCSI-RS-List-r12 SEQUENCE (SIZE (1..maxDS-ZTP-CSI-RS-r12)) OF ZeroTxPowerCSI-
RS-r12
    }
                                                                  OPTIONAL
                                                                                           -- Need ON
}
-- Need ON
CSI-RS-Config-v1430 ::= SEQUENCE {
    eMIMO-Hybrid-r14
                                                                         OPTIONAL,
OPTIONAL,
                                                                                          -- Need ON
                                         CSI-RS-ConfigEMIMO-v1430
                                                                                          -- Need ON
                                         CSI-RS-ConfigEMIMO-Hybrid-r14
                                                                                     -- Need ON
    advancedCodebookEnabled-r14 BOOLEAN
                                                                          OPTIONAL
}
OPTIONAL
                                                                                       -- Need ON
CSI-RS-Config-v1530 ::= SEQUENCE {
   eMIMO-Type-v1530 CS
                             CSI-RS-ConfigEMIMO-v1530
    eMIMO-Type-v1530
                                                                        OPTIONAL
CSI-RS-Config-r15 ::=
                            CHOICE {
                             NULL,
        csi-RS-Config-r10 CSI-RS-Config-r10 OPTIONAL, --
csi-RS-Config-v1250 CSI-RS-Config-v1250 OPTIONAL, -- Need ON
csi-RS-Config-v1310 CSI-RS-Config-v1310 OPTIONAL, -- Need ON
csi-RS-Config-v1430 CSI-RS-Config-v1430 OPTIONAL -- Need ON
                                                                                       -- Need ON
}
ZeroTxPowerCSI-RS-Conf-r12 ::= CHOICE {
                                         NULL,
        release
                                         ZeroTxPowerCSI-RS-r12
        setup
ZeroTxPowerCSI-RS-r12 ::= SEQUENCE {
    zeroTxPowerSubframeConfigList-r12 BIT STRING (SIZE zeroTxPowerSubframeConfig-r12 INTEGER (0..154)
                                             BIT STRING (SIZE (16)),
-- ASN1STOP
```

### CSI-RS-Config field descriptions

#### advancedCodebookEnabled

Value TRUE indicates that the UE should use the advanced code book defined in TS 36.213 [23]. EUTRAN does not configure the field when the UE is configured with *eMIMO-Type* is set to *beamformed*, when the UE is configured with *eMIMO-Hybrid* or when the UE is configured with *semiOpenLoop*.

#### antennaPortsCount

Parameter represents the number of antenna ports used for transmission of CSI reference signals where value and corresponds to 1 antenna port, and to 2 antenna ports and so on, see TS 36.211 [21], clause 6.10.5.

### ds-ZeroTxPowerCSI-RS

Parameter for additional zeroTxPowerCSI-RS for a serving cell, concerning the CSI-RS included in discovery signals.

#### dummy

This field is not used in the specification. If received it shall be ignored by the UE.

#### eMIMO-Type

Parameter: *eMIMO-Type*, see TS 36.213 [23], TS 36.211 [21]. If *eMIMO-Type* is set to *nonPrecoded*, the codebooks used for deriving CSI feedback are in TS 36.213 [23], Table 7.2.4-10 to Table 7.2.4-17. Choice values *nonPrecoded* and *beamformed* correspond to '*CLASS A*' and '*CLASS B*' respectively, see TS 36.212 [22] and TS 36.213 [23].

#### p-C

Parameter:  $P_c$ , see TS 36.213 [23], clause 7.2.5. The UE shall ignore p-C-r10 if configured with eMIMO-Type unless it is set to beamformed, alternativeCodebookEnabledBeamformed (in CSI-RS-ConfigBeamformed) is set to FALSE and csi-RS-ConfigNZPIdListExt is not configured.

### resourceConfig

Parameter: CSI reference signal configuration, see TS 36.211 [21], tables 6.10.5.2-1 and 6.10.5.2-2.

#### subframeConfig

Parameter:  $I_{\text{CSI-RS}}$ , see TS 36.211 [21], table 6.10.5.3-1.

# zeroTxPowerCSI-RS2

Parameter for additional *zeroTxPowerCSI-RS* for a serving cell. E-UTRAN configures the field only if *csi-MeasSubframeSets-r12* and *TM 1 – 9* are configured for the serving cell.

#### zeroTxPowerResourceConfigList

Parameter: ZeroPowerCSI-RS, see TS 36.213 [23], clause 7.2.7.

#### zeroTxPowerSubframeConfig

Parameter:  $I_{\mathrm{CSI-RS}}$  , see TS 36.211 [21], table 6.10.5.3-1.

# CSI-RS-ConfigBeamformed

The IE CSI-RS-ConfigBeamformed is used to specify the beamforming configuration of EBF/ FD-MIMO.

### CSI-RS-ConfigBeamformed information elements

```
-- ASN1START
CSI-RS-ConfigBeamformed-r13 ::=
   csi-RS-ConfigNZPIdListExt-r13
                                          SEQUENCE (SIZE (1..7)) OF CSI-RS-ConfigNZPId-r13
   OPTIONAL,
               -- Need OR
   csi-IM-ConfigIdList-r13
                                          SEQUENCE (SIZE (1..8)) OF CSI-IM-ConfigId-r13
              -- Need OR
   OPTIONAL,
   p-C-AndCBSR-PerResourceConfigList-r13 SEQUENCE (SIZE (1..8)) OF P-C-AndCBSR-Pair-r13
   OPTIONAL, -- Need OR
   ace-For4Tx-PerResourceConfigList-r13
                                          SEQUENCE (SIZE (1..7)) OF BOOLEAN OPTIONAL, -- Need
OR
   -- Need OR
   channelMeasRestriction-r13
                                          ENUMERATED {on}
                                                                 OPTIONAL
                                                                             -- Need OR
}
CSI-RS-ConfigBeamformed-r14 ::= SEQUENCE {
                                   SEQUENCE (SIZE (1..7)) OF CSI-RS-ConfigNZPId-r13
   csi-RS-ConfigNZPIdListExt-r14
   OPTIONAL,
              -- Need OR
                                          SEQUENCE (SIZE (1..8)) OF CSI-IM-ConfigId-r13
   {\tt csi-IM-ConfigIdList-r14}
   OPTIONAL,
              -- Need OR
   p-C-AndCBSR-PerResourceConfigList-r14 SEQUENCE (SIZE (1..8)) OF P-C-AndCBSR-Pair-r13
   OPTIONAL, -- Need OR
   ace-For4Tx-PerResourceConfigList-r14 SEQUENCE (SIZE (1..7)) OF BOOLEAN OPTIONAL,
                                                                                        -- Need
OR
   {\tt alternativeCodebookEnabledBeamformed-r14} \qquad {\tt ENUMERATED} \ \{{\tt true}\} \qquad {\tt OPTIONAL}, \quad {\tt --} \ {\tt Need} \ {\tt OR}
   channelMeasRestriction-r14 csi-RS-ConfigNZP-ApList-r14
                                                                            -- Need OR
                                          ENUMERATED {on}
                                                                 OPTIONAL,
                                          SEQUENCE (SIZE (1..8)) OF CSI-RS-ConfigNZP-r11
                                                                         OPTIONAL, -- Need OR
   nzp-ResourceConfigOriginal-v1430 CSI-RS-Config-NZP-v1430 OPTIONAL, -- Need OR
```

```
csi-RS-NZP-Activation-r14
                                         CSI-RS-ConfigNZP-Activation-r14 OPTIONAL
                                                                                        -- Need
OR
}
CSI-RS-ConfigBeamformed-v1430::=
                                      SEQUENCE {
   csi-RS-ConfigNZP-ApList-r14
                                       SEQUENCE (SIZE (1..8)) OF CSI-RS-ConfigNZP-r11
                                                                        OPTIONAL, -- Need OR
   nzp-ResourceConfigOriginal-v1430 CSI-RS-Config-NZP-v1430 OPTIONAL, -- Need OR
   csi-RS-NZP-Activation-r14
                                          CSI-RS-ConfigNZP-Activation-r14 OPTIONAL
                                                                                        -- Need
OR
CSI-RS-Config-NZP-v1430::=
                             SEQUENCE {
   transmissionComb-r14
                                          NZP-TransmissionComb-r14 OPTIONAL, -- Need OR
   frequencyDensity-r14
                                          NZP-FrequencyDensity-r14 OPTIONAL
                                                                                -- Need OR
CSI-RS-ConfigNZP-Activation-r14::= SEQUENCE {
                                         ENUMERATED {semiPersistent, aperiodic},
   csi-RS-NZP-mode-r14
   activatedResources-r14
                                          INTEGER (0..4)
-- ASN1STOP
```

### CSI-RS-ConfigBeamformed field descriptions

#### ace-For4Tx-PerResourceConfigList

The field indicates the *alternativeCodeBookEnabledFor4TX-r12* per CSI-RS resource. E-UTRAN configures the field only if *csi-RS-ConfigNZPIdListExt* is configured.

#### activatedResources

The number of activated CSI-RS resources, which concerns a subset of the aperiodic CSI-RS resources (for both semi-persistent and aperiodic mode). E-UTRAN configures at most the minimum between *nMaxResource* as configured by *MIMO-UE-ParametersPerTM-r1430* and the number of resources as configured by *csi-RS-ConfigNZP-ApList-r14*.

### alternativeCodebookEnabledBeamformed

The field indicates whether code book in TS 36.213 [23], Table 7.2.4-18 to Table 7.2.4-20, is being used for deriving CSI feedback and reporting for a CSI process. E-UTRAN configures the field only for a process referring to a single RS configuration using non-zero power transmission (i.e a process for which *csi-RS-ConfigNZPIdListExt* is not configured). Field *alternativeCodebookEnabledBeamformed* corresponds to parameter alternativeCodebookEnabledCLASSB\_K1 in TS 36.212 [22] and TS 36.213 [23].

#### csi-IM-ConfigldList

E-UTRAN configures the field *csi-IM-ConfigIdList* only if the IE is included in CSI-Process is configured (i.e. when TM10 is configured for the serving cell).

#### CSI-RS-ConfigBeamformed

If csi-RS-ConfigNZPIdListExt-r13 is configured, E-UTRAN configures the same total number of entries for NZP, csi-IM-ConfigIdList-r13 and p-C-AndCBSR-PerResourceConfigList-r13.

# csi-RS-ConfigNZP-ApList

The field is used to configure NZP configurations for aperiodic or semi-persistent CSI RS reporting for which MAC controls activation. EUTRAN configures this field only when the UE is configured to use 2, 4 or and 8 ports CSI-RS, in which case EUTRAN configures the number of entries to be the same as the number of NZP resource configurations. For all these entries the UE shall ignore field *subframeConfig.* EUTRAN always configures this field together with *csi-RS-NZP-Activation*. Furthermore, for a given process, E-UTRAN does not simultaneously configure the periodic NZP configuration(s) and NZP CSI RS configurations for aperiodic or semi-persistent reporting.

# csi-RS-ConfigNZP-EMIMO

The field is used to configure NZP configurations additional to the one defined by the original NZP configuration as included in CSI-RS-Config/ CSI-Process when using 12 and 16 ports CSI-RS.

# csi-RS-ConfigNZPIdListExt (in CSI-RS-ConfigBeamformed)

Indicates the NZP configuration(s)in addition to the original NZP configuration, as defined by *csi-RS-Config-r10* (TM9) or *csi-RS-ConfigNZPId-r11* (TM10). I.e. extends the size of the NZP configuration list (originally a single entry i.e. list of size 1) using the general principles specified in 5.1.2.

# p-C-AndCBSR-PerResourceConfigList

E-UTRAN does not configure the field *p-C-AndCBSR-PerResourceConfigList* if the UE is configured with *eMIMO-Type* set to *beamformed*, *alternativeCodebookEnabledBeamformed* is set to *FALSE* and *csi-RS-ConfigNZPIdListExt* is not configured.

# CSI-RS-ConfigEMIMO

The IE *CSI-RS-ConfigEMIMO* is used to specify the CSI (Channel-State Information) reference signal configuration for EBF/ FD-MIMO.

# CSI-RS-ConfigEMIMO information elements

```
-- ASN1START
CSI-RS-ConfigEMIMO-r13 ::= CHOICE {
                                CHOICE {
    setup
        nonPrecoded-r13
                                    CSI-RS-ConfigNonPrecoded-r13,
        beamformed-r13
                                    CSI-RS-ConfigBeamformed-r13
}
CSI-RS-ConfigEMIMO-v1430 ::=
                                CHOICE {
    release
                                NULL,
                                CHOICE {
       nonPrecoded-v1430
                                        CSI-RS-ConfigNonPrecoded-v1430,
                                        {\tt CSI-RS-ConfigBeamformed-v1430}
       beamformed-v1430
CSI-RS-ConfigEMIMO-v1480 ::=
                                CHOICE {
   release
                                NULL,
                                CHOICE {
        nonPrecoded-v1480
                                        CSI-RS-ConfigNonPrecoded-v1480,
       beamformed-v1480
                                        CSI-RS-ConfigBeamformed-v1430
CSI-RS-ConfigEMIMO-v1530 ::=
                                CHOICE {
                                NULL.
   release
                                CHOICE {
    setup
        nonPrecoded-v1530
                                        CSI-RS-ConfigNonPrecoded-v1530
CSI-RS-ConfigEMIMO2-r14 ::= CHOICE {
                                CSI-RS-ConfigBeamformed-r14
    setup
CSI-RS-ConfigEMIMO-Hybrid-r14 ::= CHOICE {
   release
                               NULL,
                                SEQUENCE {
    setup
       periodicityOffsetIndex-r14
                                            INTEGER (0..1023)
                                            INTEGER (0..1023) OPTION
CSI-RS-ConfigEMIMO2-r14 OPTIONAL
                                                                            OPTIONAL,
                                                                                        -- Need OR
        eMIMO-Type2-r14
                                                                                    -- Need ON
-- ASN1STOP
```

# CSI-RS-ConfigEMIMO field descriptions

# periodicityOffsetIndex

This parameter is associated with the first EMIMO configuration of the hybrid eMIMO configuration.

# CSI-RS-ConfigNonPrecoded

The IE CSI-RS-ConfigNonPrecoded is used to specify the non-precoded EBF/FD-MIMO configuration.

```
-- ASN1START
CSI-RS-ConfigNonPrecoded-r13 ::=
                                        SEQUENCE {
   p-C-AndCBSRList-r13
                                            P-C-AndCBSR-Pair-r13
                                                                             OPTIONAL,
   codebookConfigN1-r13
                                            ENUMERATED {n1, n2, n3, n4, n8},
                                            ENUMERATED {n1, n2, n3, n4, n8}, ENUMERATED {n4, n8}
   codebookConfigN2-r13
   codebookOverSamplingRateConfig-01-r13
                                                                             OPTIONAL,
                                                                                         -- Need OR
   codebookOverSamplingRateConfig-O2-r13 ENUMERATED {n4, n8}
                                                                            OPTIONAL,
                                                                                         -- Need OR
   codebookConfig-r13
                                            INTEGER (1..4),
   csi-IM-ConfigIdList-r13
                                            SEQUENCE (SIZE (1..2)) OF CSI-IM-ConfigId-r13
   OPTIONAL, -- Need OR
   csi-RS-ConfigNZP-EMIMO-r13
                                            CSI-RS-ConfigNZP-EMIMO-r13 OPTIONAL -- Need ON
```

```
}
CSI-RS-ConfigNonPrecoded-v1430::= SEQUENCE {
    csi-RS-ConfigNZP-EMIMO-v1430
    codebookConfigN1-v1430
    ENUMERATED {n5, n6, n7, n10, result of the confign of the configuration of th
                                                                                                                                                                                                                                                                                                OPTIONAL,
                                                                                                                                                                                                                                                                                                                                                    -- Need ON
                                                                                                                                                                         ENUMERATED {n5, n6, n7, n10, n12, n14, n16},
               nzp-ResourceConfigTM9-Original-v1430 CSI-RS-Config-NZP-v1430
 CSI-RS-ConfigNonPrecoded-v1480::=
                                                                                                                                                          SEQUENCE {
                                                                                                                                                             CSI-RS-ConfigNZP-EMIMO-v1430
               csi-RS-ConfigNZP-EMIMO-v1480
                                                                                                                                                                                                                                                                                                    OPTIONAL,
                                                                                                                                                                                                                                                                                                                                                     -- Need ON
                codebookConfiqN1-v1480
                                                                                                                                                                         ENUMERATED {n5, n6, n7, n10, n12, n14, n16}
                OPTIONAL,
                                                           -- Need OR
                codebookConfigN2-r1480
                                                                                                                                                                         ENUMERATED {n5, n6, n7}
                                                                                                                                                                                                                                                                                                      OPTIONAL,
                                                                                                                                                                                                                                                                                                                                                  -- Need OR
               nzp-ResourceConfigTM9-Original-v1480
                                                                                                                                                                         CSI-RS-Config-NZP-v1430
}
 CSI-RS-ConfigNonPrecoded-v1530 ::=
                                                                                                                                                                         SEQUENCE {
               p-C-AndCBSRList-r15
                                                                                                                                                                          P-C-AndCBSR-Pair-r15
                                                                                                                                                                                                                                                                                                     OPTIONAL
                                                                                                                                                                                                                                                                                                                                                     -- Need OR
 -- ASN1STOP
```

#### CSI-RS-ConfigNonPrecoded field descriptions

#### codebookConfig

Indicates a sub-set of the codebook entry, see TS 36.213 [23].

#### codebookConfigNx

Indicates the number of antenna ports per polarization in dimension x as used for transmission of CSI reference signals. Value n1 corresponds to 1, value n2 corresponds to 2 and so on, see TS 36.213 [23]. E-UTRAN configures the field in accordance with the restrictions as specified in TS 36.213 [23]. If codebookConfigNx in CSI-RS-ConfigNonPrecoded-v1480 is configured, the UE shall ignore the field codebookConfigNx in CSI-RS-ConfigNonPrecoded-r13.

### codebookOverSamplingRateConfig-Ox

Indicates the spatial over-sampling rate in dimension x as used for transmission of CSI reference signals. Value n4 corresponds to 4 and value n8 corresponds to 8, see TS 36.213 [23].

## csi-IM-ConfigId(List)

E-UTRAN configures the field *csi-IM-ConfigIdList* only if the IE is included in CSI-Process is configured (i.e. when TM10 is configured for the serving cell).

### csi-RS-ConfigNZP-EMIMO

The field is used to configure NZP configurations additional to the one defined by the original NZP configuration as included in *CSI-RS-Config/ CSI-Process* when using more than 8 ports CSI-RS as defined in TS 36.211 [21], table 6.10.5-1.

# CSI-RS-ConfigNZP

The IE *CSI-RS-ConfigNZP* is the CSI-RS resource configuration using non-zero power transmission that E-UTRAN may configure on a serving frequency.

# CSI-RS-ConfigNZP information elements

```
-- ASN1START
CSI-RS-ConfigNZP-r11 ::=
                               SEOUENCE {
                               CSI-RS-ConfigNZPId-r11,
   csi-RS-ConfigNZPId-r11
   antennaPortsCount-r11
resourceConfig-r11
subframeConfig-r11
                                   ENUMERATED {an1, an2, an4, an8},
                                   INTEGER (0..31)
                                  INTEGER (0..154),
   scramblingIdentity-r11 INTEGER (0..503),
   qcl-CRS-Info-r11
                                   SEQUENCE {
       qcl-ScramblingIdentity-rll INTEGER (0..503),
       crs-PortsCount-r11
                                       ENUMERATED {n1, n2, n4, spare1},
       mbsfn-SubframeConfigList-r11
                                       CHOICE {
               release
                                           NULL.
                                           SEQUENCE {
                   subframeConfigList
                                               MBSFN-SubframeConfigList
                                                                       OPTIONAL
                                                                                    -- Need ON
                                                                       OPTIONAL,
                                                                                    -- Need OR
   [[ csi-RS-ConfigNZPId-v1310 CSI-RS-ConfigNZPId-v1310 OPTIONAL
                                                                                   -- Need ON
```

```
transmissionComb-r14
                                       NZP-TransmissionComb-r14
                                                                      OPTIONAL,
                                                                                  -- Need OR
   11
                                      NZP-FrequencyDensity-r14
       frequencyDensity-r14
                                                                                  -- Need OR
                                                                      OPTIONAL
   1],
       mbsfn-SubframeConfigList-v1430 CHOICE {
                                   NULL,
               release
               setup
                                          SEQUENCE {
                   subframeConfigList-v1430
                                              MBSFN-SubframeConfigList-v1430
                                                                      OPTIONAL
                                                                                  -- Need OP
   ]]
}
CSI-RS-ConfigNZP-EMIMO-r13 ::= CHOICE {
   release
                               NULL,
   setup
                              SEOUENCE {
                                    SEQUENCE (SIZE (1..2)) OF NZP-ResourceConfig-r13,
       nzp-resourceConfigList-r13
       cdmType-r13
                                       ENUMERATED {cdm2, cdm4} OPTIONAL -- Need OR
}
CSI-RS-ConfigNZP-EMIMO-v1430 ::= SEQUENCE {
    -- All extensions are for Non-Precoded so could be grouped by setup/ release choice
   nzp-resourceConfigListExt-r14 SEQUENCE (SIZE (0..4)) OF NZP-ResourceConfig-r13,
                                  ENUMERATED {cdm8 }
                                                             OPTIONAL -- Need OR
   cdmTvpe-v1430
}
NZP-ResourceConfig-r13 ::= SEQUENCE {
                           ResourceConfig-r13,
   resourceConfig-r13
       transmissionComb-r14 NZP-TransmissionComb-r14 frequencyDensity-r14 NZP-FrequencyDensity-r14
                                                                  OPTIONAL,
                                                                              -- Need OR
                                                                              -- Need OR
                                                                  OPTIONAL
}
ResourceConfig-r13 ::=
                                  INTEGER (0..31)
NZP-TransmissionComb-r14 ::=
                                      INTEGER (0..2)
NZP-FrequencyDensity-r14 ::=
                                      ENUMERATED {d1, d2, d3}
-- ASN1STOP
```

### CSI-RS-ConfigNZP field descriptions

# antennaPortsCount

Parameter represents the number of antenna ports used for transmission of CSI reference signals where an1 corresponds to 1, an2 to 2 antenna ports etc. see TS 36.211 [21], clause 6.10.5.

#### cdmTvpe

Parameter: CDMType, see TS 36.211 [21], clause 6.10.5.2.

#### csi-RS-ConfigNZPId

Refers to a CSI RS configuration using non-zero power transmission that is configured for the same frequency as the CSI process. UE shall ignore CSI-RS-ConfigNZPId-r11 if CSI-RS-ConfigNZPId-v1310 is signalled.

#### frequencyDensity

Indicates the frequency-domain density reduction. E-UTRAN configures the values in accordance with the restrictions specified in TS 36.213 [23].

### mbsfn-SubframeConfigList

Indicates the MBSFN configuration for the CSI-RS resources. If qcl-CRS-Info-r11 is absent, the field is released.

# nzp-resourceConfigList

Indicate a list of non-zero power transmission CSI-RS resources using parameter resourceConfig.

### qcI-CRS-Info

Indicates CRS antenna ports that is quasi co-located with the CSI-RS antenna ports, see TS 36.213 [23], clause 7.2.5. EUTRAN configures this field if and only if the UE is configured with *qcl-Operation* set to *typeB*.

# resourceConfig

Parameter: CSI reference signal configuration, see TS 36.211 [21], table 6.10.5.2-1 and 6.10.5.2-2.

#### subframeConfig

Parameter:  $I_{\mathrm{CSI-RS}}$  , see TS 36.211 [21], table 6.10.5.3-1.

# scramblingIdentity

Parameter: Pseudo-random sequence generator parameter,  $n_{\mathrm{ID}}$  , see TS 36.213 [23], clause 7.2.5.

#### transmissionComb

Indicates the transmission combining offset. E-UTRAN configures the values in accordance with the restrictions specified in TS 36.213 [23].

# – CSI-RS-ConfigNZPId

The IE *CSI-RS-ConfigNZPId* is used to identify a CSI-RS resource configuration using non-zero transmission power, as configured by the IE *CSI-RS-ConfigNZP*. The identity is unique within the scope of a carrier frequency.

# CSI-RS-ConfigNZPId information elements

```
-- ASN1START

CSI-RS-ConfigNZPId-r11 ::= INTEGER (1..maxCSI-RS-NZP-r11)
CSI-RS-ConfigNZPId-v1310 ::= INTEGER (minCSI-RS-NZP-r13..maxCSI-RS-NZP-r13)
CSI-RS-ConfigNZPId-r13 ::= INTEGER (1..maxCSI-RS-NZP-r13)
-- ASN1STOP
```

# – CSI-RS-ConfigZP

The IE *CSI-RS-ConfigZP* is the CSI-RS resource configuration, for which UE assumes zero transmission power, that E-UTRAN may configure on a serving frequency.

# CSI-RS-ConfigZP information elements

# CSI-RS-ConfigZP field descriptions

#### CSI-RS-ConfigZP-ApList

Indicates the aperiodic zero power CSI-RS present in a given subframe. See 36.213 [23], Table 7.1.9-2. First entry in the list corresponds to aperiodic trigger 00, second entry in the list corresponds to aperiodic trigger 01 and so on.

# resourceConfigList

Parameter: ZeroPowerCSI-RS, see TS 36.213 [23], clause 7.2.7.

#### subframeConfig

Parameter:  $I_{\text{CSI-RS}}$ , see TS 36.211 [21], table 6.10.5.3-1.

# CSI-RS-ConfigZPId

The IE *CSI-RS-ConfigZPId* is used to identify a CSI-RS resource configuration for which UE assumes zero transmission power, as configured by the IE *CSI-RS-ConfigZP*. The identity is unique within the scope of a carrier frequency.

### CSI-RS-ConfigZPId information elements

```
-- ASN1START

CSI-RS-ConfigZPId-r11 ::= INTEGER (1..maxCSI-RS-ZP-r11)

-- ASN1STOP
```

# DataInactivityTimer

The IE *DataInactivityTimer* is used to control Data inactivity operation. Corresponds to the timer for data inactivity monitoring in TS 36.321 [6]. Value s1 corresponds to 1 second, s2 corresponds to 2 seconds and so on.

## DataInactivityTimer information element

# DMRS-Config

The IE DMRS-Config is the DMRS configuration that E-UTRAN may configure on a serving frequency.

# **DMRS-Config** information elements

# – DRB-Identity

The IE DRB-Identity is used to identify a DRB used by a UE.

# DRB-Identity information elements

```
-- ASN1START

DRB-Identity ::= INTEGER (1..32)

-- ASN1STOP
```

# EPDCCH-Config

The IE EPDCCH-Config specifies the subframes and resource blocks for EPDCCH monitoring that E-UTRAN may configure for a serving cell.

# **EPDCCH-Config** information element

```
-- ASN1START
```

```
EPDCCH-Config-r11 ::=
    config-r11 CHOICE {
         release
                                         NULL,
                                         SEOUENCE {
         setup
              subframePatternConfig-r11 CHOICE {
                                               NULL,
                  release
                  setup
                                                  SEQUENCE {
                       subframePattern-r11
                                                       MeasSubframePattern-r10
                                                                                        OPTIONAL, -- Need ON
             startSymbol-r11 INTEGER (1..4) OPTIONAL, -- Need OP setConfigToReleaseList-r11 EPDCCH-SetConfigToReleaseList-r11 OPTIONAL, -- Need ON setConfigToAddModList-r11 EPDCCH-SetConfigToAddModList-r11 OPTIONAL -- Need ON
    }
}
EPDCCH-SetConfigToAddModList-r11 ::= SEQUENCE (SIZE(1..maxEPDCCH-Set-r11)) OF EPDCCH-SetConfig-r11
EPDCCH-SetConfigToReleaseList-r11 ::= SEQUENCE (SIZE(1..maxEPDCCH-Set-r11)) OF EPDCCH-SetConfigId-
r11
EPDCCH-SetConfig-r11 ::=
                                     SEQUENCE {
                                        EPDCCH-SetConfigId-r11,
    setConfigId-r11
                                          ENUMERATED {localised, distributed},
    transmissionType-r11
    resourceBlockAssignment-r11 SEQUENCE{
        numberPRB-Pairs-rll ENUMERATED {n2, n4, n8}, resourceBlockAssignment-rll BIT STRING (SIZE(4..38))
    dmrs-ScramblingSequenceInt-rl1 INTEGER (0..503),
pucch-ResourceStartOffset-rl1 INTEGER (0..2047),
re-MappingQCL-ConfigId-rl1 PDSCH-RE-MappingQCL-ConfigId-rl1 OPTIONAL, -- Need OR
    [[ csi-RS-ConfigZPId2-r12 CHOICE {
             release
                                                   NULL.
              setup
                                                   CSI-RS-ConfigZPId-r11
         }
                                                                               OPTIONAL
                                                                                             -- Need ON
    ]],
    [[ numberPRB-Pairs-v1310
                                              CHOICE {
                                                   NULL,
             release
             setup
                                                   ENUMERATED {n6}
                                                                               OPTIONAL,
                                                                                             -- Need ON
                                              CHOICE {
         mpdcch-config-r13
             release
                                                   NULL,
                                                   SEQUENCE {
                  csi-NumRepetitionCE-r13
                                                       ENUMERATED {sf1, sf2, sf4, sf8, sf16, sf32},
                  mpdcch-pdsch-HoppingConfig-r13 ENUMERATED {on,off},
                  mpdcch-StartSF-UESS-r13
                                                     CHOICE {
                       fdd-r13
                                                            ENUMERATED {v1, v1dot5, v2, v2dot5, v4,
                                                            v5, v8, v10},
ENUMERATED {v1, v2, v4, v5, v8, v10, v20, spare1}
                       tdd-r13
                  mpdcch-NumRepetition-r13
                                                       ENUMERATED {r1, r2, r4, r8, r16,
                                                                     r32, r64, r128, r256},
                  mpdcch-Narrowband-r13
                                                       INTEGER (1.. maxAvailNarrowBands-r13)
              }
         }
                                                                               OPTIONAL
                                                                                             -- Need ON
    ]]
}
EPDCCH-SetConfigId-r11 ::= INTEGER (0..1)
-- ASN1STOP
```

### **EPDCCH-Config** field descriptions

#### csi-NumRepetitionCE

Number of subframes for CSI reference resource, see TS 36.213 [23]. Value sf1 corresponds to 1 subframe, sf2 corresponds to 2 subframes and so on.

## csi-RS-ConfigZPId2

Indicates the rate matching parameters in addition to those indicated by *re-MappingQCL-ConfigId*. E-UTRAN configures this field only when tm10 is configured.

#### dmrs-ScramblingSequenceInt

The DMRS scrambling sequence initialization parameter  $n_{{\rm ID},i}^{\rm EPDCCH}$  or  $n_{{\rm ID},i}^{\rm MPDCCH}$  defined in TS 36.211 [21], clause 6.10.3A.1.

# EPDCCH-SetConfig

Provides EPDCCH configuration set. See TS 36.213 [23], clause 9.1.4. E-UTRAN configures at least one *EPDCCH-SetConfig when EPDCCH-Config* is configured. For BL UEs or UEs in CE, EUTRAN does not configure more than one EPDCCH-SetConfig.

### mpdcch-Narrowband

Parameter: <sup>1</sup>/<sub>20</sub>, see TS 36.211 [21], clause 6.8B.5. Field values (1..*maxAvailNarrowBands-r13*) correspond to narrowband indices (0..*maxAvailNarrowBands-r13*-1) as specified in TS 36.211 [21].

#### mpdcch-NumRepetition

Maximum numbers of repetitions for UE-SS for MPDCCH, see TS 36.213 [23].

#### mpdcch-pdsch-HoppingConfig

Frequency hopping activation/deactivation for unicast MPDCCH/PDSCH, see TS 36.211 [21]. E-UTRAN does not configure the value on if freqHoppingParametersDL is not present in SystemInformationBlockType1.

#### mpdcch-StartSF-UESS

Starting subframe configuration for an MPDCCH UE-specific search space, see TS 36.213 [23]. Value v1 corresponds to 1. value v1dot5 corresponds to 1.5, and so on.

#### numberPRB-Pairs

Indicates the number of physical resource-block pairs used for the EPDCCH set. Value n2 corresponds to 2 physical resource-block pairs; n4 corresponds to 4 physical resource-block pairs and so on. Value n8 is not supported if *dl-Bandwidth* is set to 6 resource blocks. EUTRAN only configures values up to n6 for BL UEs or UEs in CE. Value n6 is only applicable to BL UEs or UEs in CE.

### pucch-ResourceStartOffset

PUCCH format 1a, 1b and 3 resource starting offset for the EPDCCH set. See TS 36.213 [23], clause 10.1.

# re-MappingQCL-ConfigId

Indicates the starting OFDM symbol, the related rate matching parameters and quasi co-location assumption for EPDCCH when the UE is configured with tm10. This field provides the identity of a configured *PDSCH-RE-MappingQCL-Config.* E-UTRAN configures this field only when tm10 is configured.

# resourceBlockAssignment

Indicates the index to a specific combination of physical resource-block pair for EPDCCH set. See TS 36.213 [23], clause 9.1.4.4. The size of *resourceBlockAssignment* is specified in TS 36.213 [23], clause 9.1.4.4, and based on *numberPRB-Pairs* and the signalled value of *dl-Bandwidth*. If *numberPRB-Pairs-v1310* field is present, the total number of physical resource-block pairs is 6 and it is composed of one subset of 2 physical resource-block pairs and another subset of 4 physical resource-block pairs, and the *resourceBlockAssignment* field defines the subset of 2 physical resource-block pairs.

### setConfigId

Indicates the identity of the EPDCCH configuration set.

#### startSvmbol

Indicates the OFDM starting symbol for any EPDCCH and PDSCH scheduled by EPDCCH on the same cell, see TS 36.213 [23], clause 9.1.4.1. If not present, the UE shall release the configuration and shall derive the starting OFDM symbol of EPDCCH and PDSCH scheduled by EPDCCH from PCFICH. Values 1, 2, and 3 are applicable for *dl-Bandwidth* greater than 10 resource blocks. Values 2, 3, and 4 are applicable otherwise. E-UTRAN does not configure the field for UEs configured with tm10.

### subframePatternConfig

Configures the subframes which the UE shall monitor the UE-specific search space on EPDCCH, except for predefined rules in TS 36.213 [23], clause 9.1.4. If the field is not configured when EPDCCH is configured, the UE shall monitor the UE-specific search space on EPDCCH in all subframes except for pre-defined rules in TS 36.213 [23], clause 9.1.4.

### transmissionType

Indicates whether distributed or localized EPDCCH transmission mode is used as defined in TS 36.211 [21], clause 6.8A.1.

# EIMTA-MainConfig

The IE *EIMTA-MainConfig* is used to specify the eIMTA-RNTI used for eIMTA and the subframes used for monitoring PDCCH with eIMTA-RNTI. The IE *EIMTA-MainConfigServCell* is used to specify the eIMTA related parameters applicable for the concerned serving cell.

## **EIMTA-MainConfig** information element

```
-- ASN1START
                           CHOICE {
EIMTA-MainConfig-r12 ::=
    release
                                    NULL,
    setup
                                    SEQUENCE {
        eimta-RNTI-r12
                                    C-RNTI,
        eimta-CommandPeriodicity-r12
                                       ENUMERATED {sf10, sf20, sf40, sf80},
        eimta-CommandSubframeSet-r12
                                       BIT STRING (SIZE(10))
}
EIMTA-MainConfigServCell-r12 ::=
                                   CHOICE {
                                       NULL,
    release
                                        SEQUENCE {
        eimta-UL-DL-ConfigIndex-r12
                                           INTEGER (1..5),
        eimta-HARQ-ReferenceConfig-r12
                                           ENUMERATED {sa2, sa4, sa5},
        mbsfn-SubframeConfigList-v1250
                                         CHOICE {
                release
                                                    NULL.
                setup
                                                    SEOUENCE {
                subframeConfigList-r12
                                                   MBSFN-SubframeConfigList
            }
 - ASN1STOP
```

## EIMTA-MainConfig field descriptions

# eimta-CommandPeriodicity

Configures the periodicity to monitor PDCCH with eIMTA-RNTI, see TS 36.213 [23], clause 13.1. Value sf10 corresponds to 10 subframes, sf20 corresponds to 20 subframes and so on.

## eimta-CommandSubframeSet

Configures the subframe(s) to monitor PDCCH with eIMTA-RNTI within the periodicity configured by *eimta-CommandPeriodicity*. The 10 bits correspond to all subframes in the last radio frame within each periodicity. The left most bit is for subframe 0 and so on. Each bit can be of value 0 or 1. The value of 1 means that the corresponding subframe is configured for monitoring PDCCH with eIMTA-RNTI, and the value of 0 means otherwise. In case of TDD as PCell, only the downlink and the special subframes indicated by the UL/ DL configuration in SIB1 can be configured for monitoring PDCCH with eIMTA-RNTI. In case of FDD as PCell, any of the ten subframes can be configured for monitoring PDCCH with eIMTA-RNTI.

# eimta-HARQ-ReferenceConfig

Indicates UL/ DL configuration used as the DL HARQ reference configuration for this serving cell. Value sa2 corresponds to Configuration2, sa4 to Configuration4 etc, as specified in TS 36.211 [21], table 4.2-2. E-UTRAN configures the same value for all serving cells residing on same frequency band.

#### eimta-UL-DL-ConfigIndex

Index of *I*, see TS 36.212 [22], clause 5.3.3.1.4. E-UTRAN configures the same value for all serving cells residing on same frequency band.

#### mbsfn-SubframeConfigList

Configure the MBSFN subframes for the UE on this serving cell. An uplink subframe indicated by the DL/UL subframe configuration in SIB1 can be configured as MBSFN subframe.

### – GWUS-Confia

The IE *GWUS-Config* is used to specify the Group WUS configuration. For the UEs supporting GWUS, E-UTRAN uses GWUS to indicate that the UE shall attempt to receive paging in that cell, see TS 36.304 [4].

# **GWUS-Config** information element

```
-- ASN1START

GWUS-Config-r16 ::= SEQUENCE {
```

```
OPTIONAL,
    groupAlternation-r16 ENUMERATED {true}
                                                                                            -- Need OR
                                         ENUMERATED (g0, g126)
                                                                             OPTIONAL,
    commonSequence-r16
                                                                                            -- Need OR
    timeParameters-r16
                                        GWUS-TimeParameters-r16
                                                                             OPTIONAL,
                                                                                           -- Cond NoWUSr15
    resourceConfigDRX-r16
                                        GWUS-ResourceConfig-r16,
    resourceConfig-eDRX-Short-r16 GWUS-ResourceConfig-r16
                                                                         OPTIONAL, -- Need Of OPTIONAL, -- Cond TimeOffset
    resourceConfig-ePRX-Long-r16 GWUS-ResourceConfig-r16 OPTION probThreshList-r16 GWUS-ProbThreshList-r16 OPTIONAL,
    probThreshList-r16
                                                                                     -- Cond ProbabilityBased
    groupNarrowBandList-r16 GWUS-ProDThreshList-r16 OPTIONAL, -- Cond Prop
groupNarrowBandList-r16 GWUS-GroupNarrowBandList-r16 OPTIONAL -- Need OR
}
GWUS-TimeParameters-r16 ::= SEQUENCE {
    maxDurationFactor-r16
                                         ENUMERATED {one32th, one16th, one8th, one4th},
    numPOs-r16
                                         ENUMERATED {n1, n2, n4, spare1}
                                                                                       DEFAULT n1.
                                       ENUMERATED (ms40, ms80, ms160, ms240),
    timeOffsetDRX-r16
    timeOffset-eDRX-Short-r16 ENUMERATED {ms10, ms30, ms16} timeOffset-eDRX-Long-r16 ENUMERATED {ms40, ms80, ms16} timeOffset-eDRX-Long-r16 ENUMERATED {ms1000, ms2000} numDRX-CyclesRelaxed-r16 ENUMERATED {n1, n2, n4, n8}
                                        ENUMERATED {ms40, ms80, ms160, ms240},
                                                                                       OPTIONAL,
                                                                                                    -- Need OP
                                                                                       OPTIONAL,
                                                                                                    -- Need OR
    powerBoost-r16
                                         ENUMERATED {dB0, dB1dot8, dB3, dB4dot8} OPTIONAL,
                                                                                                    -- Need OR
}
   S-ResourceConfig-r16 ::= SEQUENCE {
resourceMappingPattern-r16 CHOICE
GWUS-ResourceConfig-r16 ::=
        resourceLocationWithWUS FNITM
        resourceLocationWithWUS ENUMERATED {primary, secondary, primary3FDM}, resourceLocationWithoutWUS ENUMERATED {primary, secondary, primary3FDM},
    numGroupsList-r16
                                        GWUS-NumGroupsList-r16
                                                                             OPTIONAL,
                                                                                           -- Need OP
                                        GWUS-GroupsForServiceList-r16 OPTIONAL
    groupsForServiceList-r16
ProbabilityBased
GWUS-GroupsForServiceList-r16 ::= SEQUENCE (SIZE (1..maxGWUS-ProbThresholds-r16)) OF INTEGER
(1..maxGWUS-Groups-1-r16)
GWUS-GroupNarrowBandList-r16 ::= SEQUENCE (SIZE (1..maxAvailNarrowBands-r13)) OF BOOLEAN
GWUS-NumGroupsList-r16 ::=
                                   SEQUENCE (SIZE (1..maxGWUS-Resources-r16)) OF GWUS-NumGroups-r16
GWUS-ProbThreshList-r16 ::=
                                   SEQUENCE (SIZE (1..maxGWUS-ProbThresholds-r16)) OF GWUS-
PagingProbThresh-r16
GWUS-NumGroups-r16 ::=
                                    ENUMERATED {n1, n2, n4, n8}
GWUS-PagingProbThresh-r16 ::= ENUMERATED {p20, p30, p40, p50, p60, p70, p80, p90}
-- ASN1STOP
```

### **GWUS-Config** field descriptions

#### commonSequence

Presence of the field indicates common WUS sequence is configured. Value g0 indicates common WUS sequence for the shared WUS resource corresponds to g = 0, and value g126 indicates common WUS sequence for the shared WUS resource corresponds to g = 126, see TS 36.211 [21].

#### groupAlternation

Presence of the field enables WUS group alternation between the two or more WUS resources for the gap type, see TS 36.304 [4].

# groupNarrowBandList

List indicating which paging narrowbands support group WUS see TS 36.304 [4]. First entry in the list indicates WUS support for first paging narrowband, second entry in the list indicates WUS support for second paging narrowband, and so on. If E-UTRAN includes *groupNarrowBandList*, the number of entries is equal to the value of *paging-narrowBands*. If this list is absent, group WUS is supported on all paging narrowbands.

E-UTRAN does not configure this field when RRC\_INACTIVE is used in the cell.

### groupsForServiceList

Number of WUS groups for each paging probability group see TS 36.304 [4]. The first entry corresponds to the first probability group, the second entry corresponds to the second paging probability group, and so on. Total number of WUS groups in this list cannot be more than the total number of WUS groups in *numGroupsList*. If E-UTRAN includes *groupsForServiceList*, it includes the same number of entries and listed in the same order as in *probThreshList*.

#### numGroupsList

List of WUS groups for each WUS resource see TS 36.304 [4]. First entry corresponds to the first resource, second entry corresponds to the second resource, and so on. *numGroupsList* is mandatory present in *resourceConfigDRX*. If *numGroupsList* is not present in *resourceConfig-eDRX-Short*, parameter for DRX WUS resource applies for short eDRX WUS resource. If *numGroupsList* is not present in *resourceConfig-eDRX-Long*, parameter for short eDRX WUS resource applies for long eDRX WUS resource.

# probThreshList

Paging probability thresholds corresponding to the paging probability groups, see TS 36.304 [4]. Value *p20* corresponds to 20%, value *p30* corresponds to 30%, and so on.

# resourceConfigDRX, resourceConfig-eDRX-Short, resourceConfig-eDRX-Long

WUS resource configured for each gap type see TS 36.304 [4]. If *resourceConfig-eDRX-Short* is not present, DRX WUS parameters apply for short eDRX WUS resource. If *resourceConfig-eDRX-Long* is not present, short eDRX WUS parameters apply for long eDRX WUS resource.

#### resourceMappingPattern

Identifies the WUS resource mapping to time/frequency as defined in TS 36.304 [4]. If wus-Config-r15 is present in SystemInformationBlockType2, the field is set to value resourceLocationWithWUS; otherwise the field is set to value resourceLocationWithoutWUS.

# timeParameters

Time domain WUS configuration information. For individual field descriptions, see *WUS-Config.* If the field is absent, the parameters in *wus-Config* apply.

Conditional presence	Explanation
NoWUSr15	The field is mandatory present if wus-Config-r15 is not present in
	SystemInformationBlockType2; otherwise the field is not present.
ProbabilityBased	The field is mandatory present if paging probability based WUS group selection is configured; otherwise the field is not present and the UE shall delete any existing value for this field.
TimeOffset	The field is optionally present, Need OP, if <i>timeOffset-eDRX-Long</i> is present in <i>timeParameters</i> ; otherwise the field is not present, and the UE shall delete any existing value for this field.

# LogicalChannelConfig

The IE *LogicalChannelConfig* is used to configure the logical channel parameters.

### LogicalChannelConfig information element

```
ms50, ms100, ms150, ms300, ms500, ms1000, spare2,
                                           spare1},
   logicalChannelGroup
} OPTIONAT
                                                      OPTIONAL
                                        INTEGER (0..3)
                                                                                -- Need OR
                                                                                 -- Cond UL
   [[ logicalChannelSR-Mask-r9 ENUMERATED {setup} OPTIONAL
                                                                            -- Cond SRmask
   [[ logicalChannelSR-Prohibit-r12
                                      BOOLEAN
                                                              OPTIONAL
                                                                            -- Need ON
   [[ laa-UL-Allowed-r14
                                       BOOLEAN
                                                            OPTIONAL,
                                                                            -- Need ON
       bitRateQueryProhibitTimer-r14    ENUMERATED {
                                        s0, s0dot4, s0dot8, s1dot6, s3, s6, s12,
                                                 OPTIONAL --Need OR
                                        s30}
   [[ allowedTTI-Lengths-r15 CHOICE {
          release NULL,
setup SEQUENCE {
             shortTTI-r15 BOOLEAN, subframeTTI-r15 BOOLEAN
                                           OPTIONAL,
                                                                                 -- Need ON
       logicalChannelSR-Restriction-r15 CHOICE {
          release NULL,
setup ENUMERATED {spucch, pucch}
                                                                                -- Need ON
                                            OPTIONAL,
       channelAccessPriority-r15
                                           ICE {
    NULL,
    INTEGER (1..4)
    -- Need ON
    -- ServCell-r
                                        CHOICE {
          release
          setup
       1ch-CellRestriction-r15
                                        BIT STRING (SIZE (maxServCell-r13)) OPTIONAL -- Need ON
   ]],
   [[
       bitRateMultiplier-r16 ENUMERATED {x40, x70, x100, x200} OPTIONAL -- Need OR
   ]]
}
-- ASN1STOP
```

#### LogicalChannelConfig field descriptions

#### allowedTTI-Lengths

Indicates the allowed TTI lengths for the logical channel. If not configured, the UE is allowed to transmit the logical channel using any TTI length.

## bitRateMultiplier

Bit rate multiplier for recommended bit rate MAC CE as specified in TS 36.321 [6]. Value *x40* indicates bit rate multiplier 40, value *x70* indicates bit rate multiplier 70 and so on.

#### bitRateQueryProhibitTimer

The timer is used for bit rate recommendation query in TS 36.321 [6], clause 5.18, in seconds. Value s0 means 0s, s0dot4 means 0.4s and so on.

#### bucketSizeDuration

Bucket Size Duration for logical channel prioritization in TS 36.321 [6]. Value in milliseconds. Value ms50 corresponds to 50 ms, ms100 corresponds to 100 ms and so on.

#### channelAccessPriority

Indicates the channel access priority class for the logical channel. UE shall select the lowest channel access priority class (i.e. highest signalled value) of the logical channel with MAC SDU multiplexed into the MAC PDU. MAC CEs except padding BSR apply the highest channel access priority class (i.e. lowest signalled value), as defined in TS 36.300 [9].

#### laa-UL-Allowed

Indicates whether the data of a logical channel is allowed to be transmitted via UL of LAA SCells. Value *TRUE* indicates that the logical channel is allowed to be sent via UL of LAA SCells. Value *FALSE* indicates that the logical channel is not allowed to be sent via UL of LAA SCells.

#### Ich-CellRestriction

Indicates cells which are restricted for the logical channel, The bit is set to 1 if the cell is restricted and to 0 if the cell is not restricted, for each cell. The least significant bit corresponds to the serving cell with index 0, the next bit corresponds to the serving cell with index 1, and so on. If the cell is restricted for the logical channel, then data for the logical channel is not allowed to be sent using that cell. If the field is not included, no cells are restricted. See also TS 36.321 [6], clause 5.4.3.1. The restriction is only active when PDCP duplication using CA is activated.

#### **logicalChannelGroup**

Mapping of logical channel to logical channel group for BSR reporting in TS 36.321 [6].

#### logicalChannelSR-Mask

Controlling SR triggering on a logical channel basis when an uplink grant is configured. See TS 36.321 [6].

# logicalChannelSR-Prohibit

Value *TRUE* indicates that the *logicalChannelSR-ProhibitTimer* is enabled for the logical channel. E-UTRAN only (optionally) configures the field (i.e. indicates value *TRUE*) if *logicalChannelSR-ProhibitTimer* is configured. See TS 36.321 [6].

### logicalChannelSR-Restriction

Defines the restricted SR configuration for the logical channel. Value spucch indicates that the SR cannot be sent on SPUCCH and value pucch indicates that the SR cannot be sent on PUCCH. If not configured, the UE is allowed to transmit the SR on any SR resource.

## prioritisedBitRate

Prioritized Bit Rate for logical channel prioritization in TS 36.321 [6]. Value in kilobytes/second. Value kBps0 corresponds to 0 kB/second, kBps8 corresponds to 8 kB/second, kBps16 corresponds to 16 kB/second and so on. Infinity is the only applicable value for SRB1 and SRB2

# priority

Logical channel priority in TS 36.321 [6]. Value is an integer.

### shortTTI, subframeTTI

For short TTIs and subframe TTIs respectively: Value TRUE indicates that the UE is allowed to transmit using this TTI length for the logical channel and the value FALSE indicates that the UE is not allowed to transmit using this TTI length for the logical channel. If not configured for a TTI length, then the UE is allowed to transmit this logical channel using this TTI length.

Conditional presence	Explanation
SRmask	The field is optionally present if <i>ul-SpecificParameters</i> is present, need OR; otherwise it is
	not present.
UL	The field is mandatory present for UL logical channels; otherwise it is not present.

# LWA-Configuration

The IE LWA-Configuration is used to setup/modify/release LTE-WLAN Aggregation.

```
-- ASN1START

LWA-Configuration-r13 ::= CHOICE {
    release NULL,
```

### LWA-Configuration field descriptions

#### Iwa-MobilityConfig

Indicates the parameters used for WLAN mobility.

#### Iwa-WT-Counter

Indicates the parameter used by UE for WLAN authentication.

#### wt-MAC-Address

Indicates the WT MAC address of the WT handling the LWA operation for the UE. The UE uses this MAC address in uplink transmissions to enable routing of LWA uplink data from the AP to the WT. E-UTRAN configures the field only if *ul-LWA-Config-r14* is configured for at least one LWA bearer.

# LWIP-Configuration

The IE LWIP-Configuration is used to add, modify or release DRBs that are using LWIP Tunnel.

```
-- ASN1START

LWIP-Configuration-r13 ::= CHOICE {
    release NULL,
    setup SEQUENCE {
        lwip-Config-r13 LWIP-Config-r13
    }
}

LWIP-Config-r13 ::= SEQUENCE {
    lwip-MobilityConfig-r13 WLAN-MobilityConfig-r13 OPTIONAL, -- Need ON tunnelConfigLWIP-r13 TunnelConfigLWIP-r13 OPTIONAL, -- Need ON
    ...
}

-- ASN1STOP
```

# LWIP-Configuration field descriptions

## Iwip-MobilityConfig

Indicates the WLAN mobility set for LWIP.

# tunnelConfigLWIP

Indicates the parameters used for establishing the LWIP tunnel.

# MAC-MainConfig

The IE *MAC-MainConfig* is used to specify the MAC main configuration for signalling and data radio bearers. All MAC main configuration parameters can be configured independently per Cell Group (i.e. MCG or SCG), unless explicitly specified otherwise.

# **MAC-MainConfig** information element

```
-- ASN1START

MAC-MainConfig ::= SEQUENCE {
    ul-SCH-Config SEQUENCE {
        maxHARQ-Tx ENUMERATED {
            n1, n2, n3, n4, n5, n6, n7, n8, n10, n12, n16, n20, n24, n28,
```

```
spare2, spare1} OPTIONAL,
                                                                              -- Need ON
                                           PeriodicBSR-Timer-r12 OPTIONAL,
       periodicBSR-Timer
                                                                               -- Need ON
       retxBSR-Timer
                                           RetxBSR-Timer-r12,
       ttiBundling
                                           BOOLEAN
                                                                   OPTIONAL, -- Need ON
                                      DRX-Config
                                                                   OPTIONAL, -- Need ON
   drx-Config
                                       TimeAlignmentTimer,
   timeAlignmentTimerDedicated
   phr-Config
                                       CHOICE {
       release
                                           NULL,
       setup
                                           SEQUENCE {
          periodicPHR-Timer
                                               ENUMERATED {sf10, sf20, sf50, sf100, sf200,
                                                           sf500, sf1000, infinity},
                                               ENUMERATED {sf0, sf10, sf20, sf50, sf100,
           prohibitPHR-Timer
                                                              sf200, sf500, sf1000},
           dl-PathlossChange
                                               ENUMERATED {dB1, dB3, dB6, infinity}
   }
                                                                   OPTIONAL, -- Need ON
    [[ sr-ProhibitTimer-r9
                                          INTEGER (0..7)
                                                                   OPTIONAL -- Need ON
    ]],
                                           SEQUENCE {
   [[ mac-MainConfig-v1020
          sCellDeactivationTimer-r10
                                             ENUMERATED {
                                                rf2, rf4, rf8, rf16, rf32, rf64, rf128,
                                                   extendedBSR-Sizes-r10
                                               ENUMERATED {setup} OPTIONAL, -- Need OR
ENUMERATED {setup} OPTIONAL -- Need OR
           extendedPHR-r10
                                                                  OPTIONAL -- Need ON
   ]],
   [[ stag-ToReleaseList-r11 STAG-ToReleaseList-r11 OPTIONAL, -- Need ON stag-ToAddModList-r11 STAG-ToAddModList-r11 OPTIONAL, -- Need ON drx-Config-v1130 DRX-Config-v1130 OPTIONAL -- Need ON
    [[ e-HARQ-Pattern-r12
                                           BOOLEAN
                                                                   OPTIONAL, -- Need ON
       dualConnectivityPHR
                                           CHOICE {
          release
                                            NULL,
           setup
                                               SEQUENCE {
              phr-ModeOtherCG-r12
                                                ENUMERATED {real, virtual}
                                                              OPTIONAL, -- Need ON
       logicalChannelSR-Config-r12 CHOICE {
           release
                                               NULL,
                                               SEQUENCE {
           setup
               logicalChannelSR-ProhibitTimer-r12
                                                    ENUMERATED {sf20, sf40, sf64, sf128, sf512,
sf1024, sf2560, spare1}
         }
       }
                                                                   OPTIONAL
                                                                                   -- Need ON
   1],
                                           DRX-Config-v1310 OPTIONAL, -- Need ON BOOLEAN OPTIONAL, -- Need ON
    [[ drx-Config-v1310
       extendedPHR2-r13
       eDRX-Config-CycleStartOffset-r13 CHOICE {
                                           NULL,
           release
           setup
                                           CHOICE {
                                                   INTEGER(0..1),
           sf5120
           sf10240
                                                  INTEGER(0..3)
       }
                                              OPTIONAL -- Need ON
   11,
    [[ drx-Config-r13
                                           CHOICE {
          release
                                               NULT.
                                               DRX-Config-r13
           setup
                                                                   OPTIONAL -- Need ON
   ]],
                                           CHOICE {
   [[ skipUplinkTx-r14
                                             NULL,
           release
               up
skipUplinkTxSPS-r14
skipUplinkTxDynamic-r14
                                               SEQUENCE {
                                              ENUMERATED {true} OPTIONAL,
ENUMERATED {true} OPTIONAL
                                                                                       -- Need OR
                                                                 OPTIONAL, -- Need ON
       dataInactivityTimerConfig-r14
                                           CHOICE {
           release
                                               NULL,
                                               SEQUENCE {
           setup
               dataInactivityTimer-r14
                                                   DataInactivityTimer-r14
                                                       OPTIONAL -- Need ON
    11.
                           ENUMERATED {true} OPTIONAL -- Need OR
    [[ rai-Activation-r14
```

```
[[ shortTTI-AndSPT-r15
                                        CHOICE {
           release
                                          NULL,
                                            SEQUENCE {
            setup
                drx-Config-r15
                                                DRX-Config-r15
                                                                            OPTIONAL, -- Need ON
                periodicBSR-Timer-r15
                                                ENUMERATED {
                                                    sf1, sf5, sf10, sf16, sf20, sf32, sf40,
                                                    sf64, sf80, sf128, sf160, sf320, sf640,
                                                    sf1280, sf2560, infinity}
                                                                             OPTIONAL, -- Need ON
               proc-Timeline-r15
                                                ENUMERATED {nplus4set1, nplus6set1,
                                                nplus6set2, nplus8set2 } OPTIONAL, -- Need ON
                ssr-ProhibitTimer-r15
                                                                            OPTIONAL -- Need ON
                                                INTEGER (0..7)
           }
                                                                            OPTIONAL, -- Need ON
        mpdcch-UL-HARQ-ACK-FeedbackConfig-r15 BOOLEAN OPTIONAL, -- Need ON
        dormantStateTimers-r15 CHOICE {
                                                NULL,
           release
                                               SEQUENCE {
            setup
                sCellHibernationTimer-r15
                                                   ENUMERATED {
                rf2, rf4, rf8, rf16, rf32, rf64, rf128, spare}
dormantSCellDeactivationTimer-r15 ENUMERATED {
                                                                       OPTIONAL,
                                                                                     -- Need OR
                   rf2, rf4, rf8, rf16, rf32, rf64,
                   rf128, rf320, rf640, rf1280, rf2560,
                   rf5120, rf10240, spare3, spare2, spare1}
                                                                        OPTIONAL
                                                                                     -- Need OR
            }
                                                                        OPTIONAL
                                                                                     -- Need ON
    ]],
    [[ ce-ETWS-CMAS-RxInConn-r16
                                                ENUMERATED {true}
                                                                        OPTIONAL
                                                                                     -- Need OR
    [[ offsetThresholdTA-r17
                                                SetupRelease {OffsetThresholdTA-r17}
                                                                OPTIONAL, -- Need ON
       sr-ProhibitTimerOffset-r17
                                                SetupRelease {SR-ProhibitTimerOffset-r17}
                                                            OPTIONAL -- Need ON
   ]]
}
MAC-MainConfigSCell-r11 ::= SEQUENCE {
                                       STAG-Id-r11 OPTIONAL, -- Need OP
    stag-Id-r11
}
                                   CHOICE {
DRX-Config ::=
    release
                                       NULL
    setup
                                        SEQUENCE {
       onDurationTimer
                                            ENUMERATED {
                                                psf1, psf2, psf3, psf4, psf5, psf6,
                                                psf8, psf10, psf20, psf30, psf40,
                                                psf50, psf60, psf80, psf100,
                                                psf200},
                                            ENUMERATED {
       drx-InactivityTimer
                                                psf1, psf2, psf3, psf4, psf5, psf6,
                                                psf8, psf10, psf20, psf30, psf40,
psf50, psf60, psf80, psf100,
psf200, psf300, psf500, psf750,
                                                psf1280, psf1920, psf2560, psf0-v1020,
                                                spare9, spare8, spare7, spare6,
                                                spare5, spare4, spare3, spare2,
                                                spare1},
        drx-RetransmissionTimer
                                            ENTIMERATED {
                                                psf1, psf2, psf4, psf6, psf8, psf16,
                                                psf24, psf33},
        longDRX-CycleStartOffset
                                      CHOICE {
                                            INTEGER(0..9),
           sf10
            sf20
                                            INTEGER(0..19),
            sf32
                                            INTEGER(0..31),
            sf40
                                            INTEGER(0..39),
            sf64
                                            INTEGER(0..63),
                                            INTEGER(0..79),
            sf80
            sf128
                                            INTEGER(0..127),
            sf160
                                            INTEGER(0..159),
            sf256
                                            INTEGER(0..255),
            sf320
                                            INTEGER(0..319),
            sf512
                                            INTEGER(0..511),
            sf640
                                            INTEGER(0..639),
            sf1024
                                            INTEGER(0..1023),
                                            INTEGER(0..1279),
            sf1280
            sf2048
                                            INTEGER(0..2047),
```

```
sf2560
                                          INTEGER(0..2559)
       shortDRX
                                           SEOUENCE {
           shortDRX-Cvcle
                                               ENUMERATED {
                                                   sf2, sf5, sf8, sf10, sf16, sf20,
                                                   sf32, sf40, sf64, sf80, sf128, sf160,
                                                   sf256, sf320, sf512, sf640},
           drxShortCycleTimer
                                              INTEGER (1..16)
              OPTIONAL
                                                                            -- Need OR
}
DRX-Config-v1130 ::=
                                       SEQUENCE {
   drx-RetransmissionTimer-v1130
longDRX-CycleStartOffset-v1130
sf60-v1130
sf70-v1130
                                          ENUMERATED {psf0-v1130} OPTIONAL, --Need OR
                                           CHOICE {
                                             INTEGER(0..59),
                                               INTEGER(0..69)
       sf70-v1130
                                                                   OPTIONAL,
                                                                                --Need OR
   shortDRX-Cycle-v1130
                                           ENUMERATED {sf4-v1130} OPTIONAL
DRX-Config-v1310 ::=
                                       SEQUENCE {
   longDRX-CycleStartOffset-v1310 SEQUENCE {
       sf60-v1310
                                               INTEGER(0..59)
                                                                   OPTIONAL --Need OR
}
DRX-Config-r13 ::=
                                   SEQUENCE {
   onDurationTimer-v1310
                                           ENUMERATED {psf300, psf400, psf500, psf600,
                                                   psf800, psf1000, psf1200, psf1600}
                                                   OPTIONAL, --Need OR
   drx-RetransmissionTimer-v1310
                                            ENUMERATED {psf40, psf64, psf80, psf96, psf112,
                                                  psf128, psf160, psf320}
                                           OPTIONAL, --Need OR
ENUMERATED {psf0, psf1, psf2, psf4, psf6, psf8, psf16,
   drx-ULRetransmissionTimer-r13
                                                       psf24, psf33, psf40, psf64, psf80, psf96,
                                                       psf112, psf128, psf160, psf320}
                                                       OPTIONAL --Need OR
}
DRX-Config-r15 ::=
                                   SEQUENCE {
   drx-RetransmissionTimerShortTTI-r15 ENUMERATED {
                                                   tti10, tti20, tti40, tti64, tti80, tti96,
                                                   tti112,tti128, tti160, tti320} OPTIONAL, --Need
    drx-UL-RetransmissionTimerShortTTI-r15 ENUMERATED {
                                               tti0, tti1, tti2, tti4, tti6, tti8, tti16,
                                                tti24, tti33, tti40, tti64, tti80, tti96, tti112,
                                                tti128, tti160, tti320} OPTIONAL --Need OR
PeriodicBSR-Timer-r12 ::=
                                           ENUMERATED {
                                               sf5, sf10, sf16, sf20, sf32, sf40, sf64, sf80,
                                                sf128, sf160, sf320, sf640, sf1280, sf2560,
                                               infinity, spare1}
                                               ENUMERATED {
RetxBSR-Timer-r12 ::=
                                               sf320, sf640, sf1280, sf2560, sf5120,
                                               sf10240, spare2, spare1}
                                           ENUMERATED {
OffsetThresholdTA-r17 ::=
                                               ms0dot5, ms1, ms2, ms3, ms4, ms5, ms6 ,ms7,
                                               ms8, ms9, ms10, ms11, ms12, ms13, ms14, ms15
}
SR-ProhibitTimerOffset-r17 ::= ENUMERATED {
                                               ms90, ms180, ms270, ms360,
                                               ms450, ms540, ms1080, spare
STAG-ToReleaseList-r11 ::= SEQUENCE (SIZE (1..maxSTAG-r11)) OF STAG-Id-r11
STAG-ToAddModList-r11 ::= SEQUENCE (SIZE (1..maxSTAG-r11)) OF STAG-ToAddMod-r11
STAG-ToAddMod-r11 ::= SEQUENCE {
   stag-Id-r11
                               STAG-Id-r11,
    timeAlignmentTimerSTAG-r11 TimeAlignmentTimer,
```

STAG-Id-rll::= INTEGER (1..maxSTAG-rll)
-- ASN1STOP

### MAC-MainConfig field descriptions

#### ce-ETWS-CMAS-RxInConn

Indicates UE shall monitor for ETWS/CMAS notification on control channels associated with the shared data channel in RRC\_CONNECTED as specified in TS 36.213 [23], clause 7.1.

# dl-PathlossChange

DL Pathloss Change and the change of the required power backoff due to power management (as allowed by P-MPRc, see TS 36.101 [42]) for PHR reporting in TS 36.321 [6]. Value in dB. Value dB1 corresponds to 1 dB, dB3 corresponds to 3 dB and so on. The same value applies for each serving cell (although the associated functionality is performed independently for each cell).

#### dormantSCellDeactivationTimer

SCell deactivation timer for UEs supporting dormant state as specified in TS 36.321 [6]. Value in number of radio frames. Value rf4 corresponds to 4 radio frames, value rf8 corresponds to 8 radio frames and so on. E-UTRAN only configures the field if the UE is configured with one or more SCells other than the PSCell and PUCCH SCell. The same value applies for each SCell of a Cell Group (i.e. MCG or SCG) (although the associated functionality is performed independently for each SCell). Field dormantSCellDeactivationTimer does not apply for the PUCCH SCell.

#### drx-Config

Used to configure DRX as specified in TS 36.321 [6]. E-UTRAN configures the values in *DRX-Config-v1130* only if the UE indicates support for IDC indication. E-UTRAN configures *drx-Config-v1130*, *drx-Config-v1310* and *drx-Config-r13* only if *drx-Config* (without suffix) is configured. E-UTRAN configures *drx-Config-r13* only if UE supports CE or if the UE is configured with uplink of an LAA SCell.

#### drx-InactivityTimer

Timer for DRX in TS 36.321 [6]. Value in number of PDCCH sub-frames. Value psf0 corresponds to 0 PDCCH sub-frame and behaviour as specified in 7.3.2 applies, value psf1 corresponds to 1 PDCCH sub-frame, psf2 corresponds to 2 PDCCH sub-frames and so on.

#### drx-RetransmissionTimer

Timer for DRX in TS 36.321 [6]. Value in number of PDCCH sub-frames. Value psf0 corresponds to 0 PDCCH sub-frame and behaviour as specified in 7.3.2 applies, value psf1 corresponds to 1 PDCCH sub-frame, psf2 corresponds to 2 PDCCH sub-frames and so on. In case *drx-RetransmissionTimer-v1130* or *drx-RetransmissionTimer-v1310* is signalled, the UE shall ignore *drx-RetransmissionTimer* (i.e. without suffix).

#### drx-RetransmissionTimerShortTTI

Timer for DRX in TS 36.321 [6]. Value in number of short TTIs when short TTI is configured. Value *tti10* corresponds to 10 TTIs, value *tti20* corresponds to 20 TTIs and so on.

#### drx-ULRetransmissionTimer

Timer for DRX in TS 36.321 [6]. Value in number of PDCCH sub-frames. Value psf0 correponds to 0 PDCCH sub-frame and behaviour as specified in 7.3.2 applies, value psf1 corresponds to 1 PDCCH sub-frame, psf2 corresponds to 2 PDCCH sub-frames and so on.

# drx-UL-RetransmissionTimerShortTTI

Timer for DRX in TS 36.321 [6]. Value in number of short TTIs when short TTI is configured. Value *tti0* corresponds to 0 TTIs and behaviour as specified in 7.3.2 applies, value *tti1* corresponds to 1 TTI and so on.

#### drxShortCycleTimer

Timer for DRX in TS 36.321 [6]. Value in multiples of shortDRX-Cycle. A value of 1 corresponds to shortDRX-Cycle, a value of 2 corresponds to 2 \* shortDRX-Cycle and so on.

#### dualConnectivityPHR

Indicates if power headroom shall be reported using Dual Connectivity Power Headroom Report MAC Control Element defined in TS 36.321 [6] (value *setup*). For both LTE DC and (NG)EN-DC, if PHR functionality is configured, E-UTRAN always configures the value *setup* for this field and configures *phr-Config* and *dualConnectivityPHR*. For LTE DC, E-UTRAN configures the field for both CGs while for (NG)EN-DC, E-UTRAN configures the field only for MCG. E-UTRAN does not configure this field when a DAPS bearer is configured.

### e-HARQ-Pattern

TRUE indicates that enhanced HARQ pattern for TTI bundling is enabled for FDD. E-UTRAN enables this field only when *ttiBundling* is set to *TRUE*.

# eDRX-Config-CycleStartOffset

Indicates *longDRX-Cycle* and *drxStartOffset* in TS 36.321 [6]. The value of *longDRX-Cycle* is in number of subframes. The value of *drxStartOffset*, in number of subframes, is indicated by the value of *eDRX-Config-CycleStartOffset* multiplied by 2560 plus the offset value configured in *longDRX-CycleStartOffset*. E-UTRAN only configures value *setup* when the value in *longDRX-CycleStartOffset* is sf2560.

### extendedBSR-Sizes

If value *setup* is configured, the BSR index indicates extended BSR size levels as defined in TS 36.321 [6], Table 6.1.3.1-2.

# extendedPHR

Indicates if power headroom shall be reported using the Extended Power Headroom Report MAC control element defined in TS 36.321 [6] (value *setup*). E-UTRAN always configures the value *setup* if more than one and up to eight Serving Cell(s) with uplink is configured and none of the serving cells with uplink configured has a *servingCellIndex* higher than seven and if PUCCH on SCell is not configured and if dual connectivity is not configured. E-UTRAN configures *extendedPHR* only if *phr-Config* is configured. E-UTRAN does not configure this field when a DAPS bearer is configured. The UE shall release *extendedPHR* if *phr-Config* is released.

### MAC-MainConfig field descriptions

# extendedPHR2

Indicates if power headroom shall be reported using the Extended Power Headeroom Report MAC Control Element defined in TS 36.321 [6] (value *setup*). E-UTRAN always configures the value *setup* if any of the serving cells with uplink configured has a *servingCellIndex* higher than seven in case dual connectivity is not configured or if PUCCH SCell (with any number of serving cells with uplink configured) is configured. E-UTRAN configures *extendedPHR2* only if *phr-Config* is configured. E-UTRAN does not configure this field when a DAPS bearer is configured. The UE shall release *extendedPHR2* if *phr-Config* is released.

#### logicalChannelSR-ProhibitTimer

Timer used to delay the transmission of an SR for logical channels enabled by *logicalChannelSR-Prohibit*. Value sf20 corresponds to 20 subframes, sf40 corresponds to 40 subframes, and so on. See TS 36.321 [6].

### IongDRX-CycleStartOffset

longDRX-Cycle and drxStartOffset in TS 36.321 [6] unless eDRX-Config-CycleStartOffset is configured. The value of longDRX-Cycle is in number of sub-frames. Value sf10 corresponds to 10 sub-frames, sf20 corresponds to 20 sub-frames and so on. If shortDRX-Cycle is configured, the value of longDRX-Cycle shall be a multiple of the shortDRX-Cycle value. The value of drxStartOffset value is in number of sub-frames. In case longDRX-CycleStartOffset-v1130 is signalled, the UE shall ignore longDRX-CycleStartOffset (i.e. without suffix). In case longDRX-CycleStartOffset-v1310 is signalled, the UE shall ignore longDRX-CycleStartOffset (i.e. without suffix).

### maxHARQ-Tx

Maximum number of transmissions for UL HARQ in TS 36.321 [6].

#### mpdcch-UL-HARQ-ACK-FeedbackConfig

TRUE indicates E-UTRAN may send UL HARQ-ACK feedback or UL grant corresponding to a new transmission for early termination of PUSCH transmission, or positive acknowledgement of completed PUSCH transmissions as specified in TS 36.321 [6] and TS 36.212 [22]. In case of acknowledgement of RRC Connection Release, MPDCCH monitoring is terminated.

# offsetThresholdTA

Offset for TA reporting as specified in TS 36.321 [6]. Value *ms0dot5* corresponds to 0.5 millisecond, value *ms1* corresponds to 1 millisecond and so on.

#### onDurationTimer

Timer for DRX in TS 36.321 [6]. Value in number of PDCCH sub-frames. Value psf1 corresponds to 1 PDCCH sub-frame, psf2 corresponds to 2 PDCCH sub-frames and so on. In case *onDurationTimer-v1310* is signalled, the UE shall ignore *onDurationTimer* (i.e. without suffix).

#### periodicBSR-Timer

Timer for BSR reporting in TS 36.321 [6]. Value in number of sub-frames. Value sf10 corresponds to 10 sub-frames, sf20 corresponds to 20 sub-frames and so on.

#### periodicPHR-Timer

Timer for PHR reporting in TS 36.321 [6]. Value in number of sub-frames. Value sf10 corresponds to 10 subframes, sf20 corresponds to 20 subframes and so on.

#### phr-ModeOtherCG

Indicates the mode (i.e. real or virtual) used for the PHR of the activated cells that are part of the other Cell Group (i.e. MCG or SCG), when DC is configured.

# proc-Timeline

Minimum processing timeline for short TTI with subslot operation. Value nplus4set1 indicates processing time n+4 for set 1, value nplus6set1 indicates processing time n+6 for set 1, value nplus6set2 indicates processing time n+6 for set and value nplus8set2 indicates processing time n+8 for set 2. See also UE capability min-Proc-TimelineSubslot for

#### prohibitPHR-Timer

Timer for PHR reporting in TS 36.321 [6]. Value in number of sub-frames. Value sf0 corresponds to 0 subframes and behaviour as specified in 7.3.2 applies, sf100 corresponds to 100 subframes and so on.

#### rai-Activation

Activation of release assistance indication (RAI) in TS 36.321 [6] for BL UEs.

### retxBSR-Timer

Timer for BSR reporting in TS 36.321 [6]. Value in number of sub-frames. Value sf640 corresponds to 640 sub-frames, sf1280 corresponds to 1280 sub-frames and so on.

#### sCellDeactivationTimer

SCell deactivation timer in TS 36.321 [6]. Value in number of radio frames. Value rf4 corresponds to 4 radio frames, value rf8 corresponds to 8 radio frames and so on. E-UTRAN only configures the field if the UE is configured with one or more SCells other than the PSCell and PUCCH SCell. If the field is absent, the UE shall delete any existing value for this field and assume the value to be set to *infinity*. The same value applies for each SCell of a Cell Group (i.e. MCG or SCG) (although the associated functionality is performed independently for each SCell). Field *sCellDeactivationTimer* does not apply for the PUCCH SCell.

# sCellHibernationTimer

SCell hibernation timer for UEs supporting dormant SCell state as specified in TS 36.321 [6]. Value in number of radio frames. Value rf4 corresponds to 4 radio frames, value rf8 corresponds to 8 radio frames and so on. E-UTRAN only configures the field if the UE is configured with one or more SCells other than the PSCell and PUCCH SCell. The same value applies for each SCell of a Cell Group (i.e. MCG or SCG) (although the associated functionality is performed independently for each SCell). Field *sCellHibernationTimer* does not apply for the PUCCH SCell.

# MAC-MainConfig field descriptions

### shortDRX-Cycle

Short DRX cycle in TS 36.321 [6]. Value in number of sub-frames. Value sf2 corresponds to 2 sub-frames, sf5 corresponds to 5 subframes and so on. In case *shortDRX-Cycle-v1130* is signalled, the UE shall ignore *shortDRX-Cycle* (i.e. without suffix). Short DRX cycle is not configured for UEs in CE.

### skipUplinkTxDynamic

If configured, the UE skips UL transmissions for an uplink grant other than a configured uplink grant if no data is available for transmission in the UE buffer as described in TS 36.321 [6].

### skipUplinkTxSPS

If configured, the UE skips UL transmissions for a configured uplink grant if no data is available for transmission in the UE buffer as described in TS 36.321 [6]. E-UTRAN always configures <code>skipUplinkTxSPS</code> when there is at least one SPS configuration with <code>semiPersistSchedIntervalUL</code> shorter than sf10 or when at least one SPS-ConfigUL-STTI is configured for the cell group.

# sr-ProhibitTimer

Timer for SR transmission on PUCCH in TS 36.321 [6]. Value in number of SR period(s) of shortest SR period of any serving cell with PUCCH. Value 0 means that behaviour as specified in 7.3.2 applies. Value 1 corresponds to one SR period, Value 2 corresponds to 2\*SR periods and so on. SR period is defined in TS 36.213 [23], table 10.1.5-1. If *sr-ProhibitTimerOffset* is present, actual value of *sr-ProhibitTimer* = CEIL (*sr-ProhibitTimerOffset*/ SR period) + signalled value of *sr-ProhibitTimer*.

### sr-ProhibitTimerOffset

Time offset for SR transmission on PUCCH. Value in milliseconds. Value *ms90* corresponds to 90 ms, value *ms180* corresponds to 180 ms and so on.

### ssr-ProhibitTimer

Timer for prohibiting SR transmission on SPUCCH in TS 36.321 [6]. Value in number of SR period(s) of shortest SR period of any serving cell with SPUCCH. Value 0 means that behaviour as specified in 7.3.2 applies. Value 1 corresponds to one SR period, value 2 corresponds to 2 SR periods and so on. SR period is defined in TS 36.213 [23], table 10.1.5-1.

### stag-Id

Indicates the TAG of an SCell, see TS 36.321 [6]. Uniquely identifies the TAG within the scope of a Cell Group (i.e. MCG or SCG). If the field is not configured for an SCell (e.g. absent in *MAC-MainConfigSCell*), the SCell is part of the PTAG.

### stag-ToAddModList, stag-ToReleaseList

Used to configure one or more STAGs. E-UTRAN ensures that a STAG contains at least one SCell with configured uplink. If, due to SCell release a reconfiguration would result in an 'empty' TAG, E-UTRAN includes release of the concerned TAG.

# timeAlignmentTimerSTAG

Indicates the value of the time alignment timer for an STAG, see TS 36.321 [6].

### ttiBundling

TRUE indicates that TTI bundling TS 36.321 [6] is enabled while FALSE indicates that TTI bundling is disabled. TTI bundling can be enabled for FDD and for TDD for configurations 0, 1 and 6 and additionally for configurations 2 and 3 when *symPUSCH-UpPTS-r14* is configured. The functionality is performed independently per Cell Group (i.e. MCG or SCG), but E-UTRAN does not configure TTI bundling for the SCG. For a TDD PCell, E-UTRAN does not simultaneously enable TTI bundling and semi-persistent scheduling in this release of specification. Furthermore, for a Cell Group, E-UTRAN does not simultaneously configure TTI bundling and SCells with configured uplink, and E-UTRAN does not simultaneously configure TTI bundling and elMTA.

# P-C-AndCBSR

The IE *P-C-AndCBSR* is used to specify the power control and codebook subset restriction configuration.

# P-C-AndCBSR information elements

```
-- ASN1START
P-C-AndCBSR-r11 ::= SEQUENCE {
                                INTEGER (-8..15),
    codebookSubsetRestriction-r11 BIT STRING
P-C-AndCBSR-r13 ::= SEQUENCE {
                               INTEGER (-8..15),
   p-C-r13
cbsr-Selection-r13
                                   SEQUENCE {
       nonPrecoded-r13
            codebookSubsetRestriction1-r13
                                                        BIT STRING,
            codebookSubsetRestriction2-r13
                                                        BIT STRING
        beamformedKla-r13
                                    SEQUENCE {
            codebookSubsetRestriction3-r13
                                                       BIT STRING
```

# P-C-AndCBSR field descriptions

### cbsr-Selection

Indicates which codebook subset restriction parameter(s) are to be used. E-UTRAN applies values nonPrecoded when eMIMO-Type is set to nonPrecoded. E-UTRAN applies value beamformedK1a when eMIMO-Type is set to beamformed, alternativeCodebookEnabledBeamformed is set to TRUE and csi-RS-ConfigNZPIdListExt is not configured. E-UTRAN applies value beamformedKN when csi-RS-ConfigNZPIdListExt is configured. E-UTRAN applies value beamformedKN when eMIMO-Type is set to beamformed, csi-RS-ConfigNZPIdListExt is not configured and alternativeCodebookEnabledBeamformed is set to FALSE.

### codebookSubsetRestriction

Parameter: codebookSubsetRestriction, see TS 36.213 [23] and TS 36.211 [21]. The number of bits in the *codebookSubsetRestriction* for applicable transmission modes is defined in TS 36.213 [23].

### codebookSubsetRestriction1

Parameter: codebookSubsetRestriction1, see TS 36.213 [23], Table 7.2-1d. The number of bits in the *codebookSubsetRestriction1* for applicable transmission modes is defined in TS 36.213 [23].

### codebookSubsetRestriction2

Parameter: codebookSubsetRestriction2, see TS 36.213 [23], Table 7.2-1e. The number of bits in the *codebookSubsetRestriction2* for applicable transmission modes is defined in TS 36.213 [23].

### codebookSubsetRestriction3

Parameter: codebookSubsetRestriction3, see TS 36.213 [23], Table 7.2-1f. The UE shall ignore codebookSubsetRestriction-r11 or codebookSubsetRestriction-r10 if codebookSubsetRestriction3-r13 is configured. The number of bits in the codebookSubsetRestriction3 for applicable transmission modes is defined in TS 36.213 [23].

# codebookSubsetRestriction4

Parameter: codebookSubsetRestriction4, see TS 36.213 [23], Table 7.2. The number of bits in the *codebookSubsetRestriction4* for applicable transmission modes is defined in TS 36.213 [23].

### p-C

Parameter:  $P_c$ , see TS 36.213 [23], clause 7.2.5.

### P-C-AndCBSR-Pair

E-UTRAN includes a single entry if the UE is configured with TM9. If the UE is configured with TM10 and E-UTRAN includes 2 entries, this indicates that the subframe patterns configured for CSI (CQI/PMI/PTI/RI/CRI) reporting (i.e. as defined by field *csi-MeasSubframeSet1* and *csi-MeasSubframeSet2*, or as defined by *csi-MeasSubframeSets-r12*) are to be used for this CSI process, while including a single entry indicates that the subframe patterns are not to be used for this CSI process. For a UE configured with TM10, E-UTRAN does not include 2 entries with *csi-MeasSubframeSet1* and *csi-MeasSubframeSet2* for CSI processes concerning a secondary frequency. Furthermore, E-UTRAN includes 2 entries when configuring both *cqi-pmi-ConfigIndex* and *cqi-pmi-ConfigIndex2*.

# PDCCH-ConfigSCell

The IE PDCCH-ConfigSCell specifies PDCCH monitoring parameters that E-UTRAN may configure for a serving cell.

# PDCCH-ConfigSCell information element

```
PDCCH-ConfigLAA-r14 ::=
                            SEQUENCE {
    maxNumberOfSchedSubframes-Format0B-r14 ENUMERATED {sf2, sf3, sf4} OPTIONAL,
                                                                                         -- Need OR
    maxNumberOfSchedSubframes-Format4B-r14 ENUMERATED {sf2, sf3, sf4} OPTIONAL,
                                                                                         -- Need OR
                                                ENUMERATED {true}
                                                                        OPTIONAL,
    skipMonitoringDCI-Format0A-r14
                                                                                         -- Need OR
    skipMonitoringDCI-Format4A-r14
                                                ENUMERATED {true}
                                                                        OPTIONAL,
                                                                                         -- Need OR
    pdcch-CandidateReductions-Format0A-r14
                                PDCCH-CandidateReductions-r13
                                                                        OPTIONAL.
                                                                                         -- Need ON
    {\tt pdcch-CandidateReductions-Format4A-r14}
                                PDCCH-CandidateReductionsLAA-UL-r14
                                                                        OPTIONAL,
                                                                                          -- Need ON
    pdcch-CandidateReductions-Format0B-r14
                                PDCCH-CandidateReductionsLAA-UL-r14
                                                                        OPTIONAL,
                                                                                          -- Need ON
    pdcch-CandidateReductions-Format4B-r14
                                PDCCH-CandidateReductionsLAA-UL-r14 OPTIONAL
                                                                                     -- Need ON
PDCCH-CandidateReductionValue-r13 ::= ENUMERATED {n0, n33, n66, n100}
PDCCH-CandidateReductionValue-r14 ::= ENUMERATED {n0, n50, n100, n150}
PDCCH-CandidateReductions-r13 ::= CHOICE {
    release
                                    SEQUENCE {
        pdcch-candidateReductionAL1-r13
                                            PDCCH-CandidateReductionValue-r13,
        pdcch-candidateReductionAL2-r13
                                            PDCCH-CandidateReductionValue-r13,
        pdcch-candidateReductionAL3-r13
                                            PDCCH-CandidateReductionValue-r13.
        pdcch-candidateReductionAL4-r13
                                            PDCCH-CandidateReductionValue-r13,
        pdcch-candidateReductionAL5-r13
                                            PDCCH-CandidateReductionValue-r13
}
PDCCH-CandidateReductionsLAA-UL-r14 ::= CHOICE {
    release
                                    NULL,
                                    SEQUENCE {
    setup
                                           PDCCH-CandidateReductionValue-r13,
        pdcch-candidateReductionAL1-r14
        pdcch-candidateReductionAL2-r14
                                           PDCCH-CandidateReductionValue-r13,
        pdcch-candidateReductionAL3-r14
                                            PDCCH-CandidateReductionValue-r14,
        pdcch-candidateReductionAL4-r14
                                            PDCCH-CandidateReductionValue-r14,
        pdcch-candidateReductionAL5-r14
                                            PDCCH-CandidateReductionValue-r14
-- ASN1STOP
```

# PDCCH-ConfigSCell field descriptions

# maxNumberOfSchedSubframes-Format0B

Indicates maximum number of schedulable subframes for DCI format 0B as specified in TS 36.213 [23]. Value sf2 corresponds to 2 subframes, value sf3 corresponds to 3 subframes and so on.

# maxNumberOfSchedSubframes-Format4B

Indicates maximum number of schedulable subframes for DCI format 4B as specified in TS 36.213 [23]. Value sf2 corresponds to 2 subframes, value sf3 corresponds to 3 subframes and so on.

# skipMonitoringDCI-format0-1A

Indicates whether the UE is configured to omit monitoring DCI fromat 0/1A, see TS 36.213 [23], clause 9.1.1.

### skipMonitoringDCI-Format0A

Indicates whether the UE is configured to omit monitoring DCI fromat 0A as specified in TS 36.213 [23].

# skipMonitoringDCI-Format4A

Indicates whether the UE is configured to omit monitoring DCI fromat 4A as specified in TS 36.213 [23].

### pdcch-candidateReductionALx

Indicates reduced (E)PDCCH monitoring requirements on UE specific search space of the x-th aggregation level, see TS 36.213 [23], clause 9.1.1. Value n0 corresponds to 0%, value n33 corresponds to 33% and so on.

# pdcch-CandidateReductions-Formatx

Indicates number of blind detections on UE specific search space for each aggregation layer as specified in TS 36.213 [23]. The field can only be present when the UE is configured with uplink of an LAA SCell. If *pdcch-CandidateReductions-Formatx* is not configured, *pdcch-CandidateReductions-r13* applies to the corresponding DCIs (if configured).

# PDCP-Config

The IE *PDCP-Config* is used to set the configurable PDCP parameters for data radio bearers.

# PDCP-Config information element

```
-- ASN1START
PDCP-Config ::=
                                  SEQUENCE {
   discardTimer
                                     ENUMERATED {
                                         ms50, ms100, ms150, ms300, ms500,
                                         ms750, ms1500, infinity
                                                            OPTIONAL.
                                                                               -- Cond Setup
   rlc-AM
                                      SEQUENCE {
       statusReportRequired
                                         BOOLEAN
                                                            OPTIONAL,
                                                                               -- Cond Rlc-AM-
TTM
   rlc-UM
                                     SEOUENCE {
      pdcp-SN-Size
                                        ENUMERATED {len7bits, len12bits}
                                                            OPTIONAL,
                                                                               -- Cond Rlc-UM
                                     CHOICE {
   headerCompression
      notUsed
                                         NULL,
       rohc
                                         SEQUENCE {
                                             INTEGER (1..16383)
                                                                  DEFAULT 15,
          maxCID
           profiles
                                             SEQUENCE {
              profile0x0001
                                                BOOLEAN,
              profile0x0002
                                                 BOOLEAN,
               profile0x0003
                                                 BOOLEAN
              profile0x0004
                                                BOOLEAN,
               profile0x0006
                                                 BOOLEAN.
               profile0x0101
                                                 BOOLEAN
              profile0x0102
                                                BOOLEAN,
               profile0x0103
                                                 BOOLEAN,
               profile0x0104
                                                BOOLEAN
           },
           . . .
       }
   },
   [[ rn-IntegrityProtection-r10
                                    ENUMERATED {enabled} OPTIONAL -- Cond RN
   [[ pdcp-SN-Size-v1130
                                     ENUMERATED {len15bits} OPTIONAL
                                                                        -- Cond Rlc-AM2
   ]],
    [[ ul-DataSplitDRB-ViaSCG-r12
                                     BOOLEAN
                                                 OPTIONAL, -- Need ON
       t-Reordering-r12
                                      ENUMERATED {
                                      ms0, ms20, ms40, ms60, ms80, ms100, ms120, ms140,
                                      \verb|ms160, ms180, ms200, ms220, ms240, ms260, ms280, ms300,\\
                                      ms500, ms750, spare14, spare13, spare12, spare11, spare10,
                                      spare9, spare8, spare7, spare6, spare5, spare4, spare3,
                                                                    OPTIONAL -- Cond SetupS
                                      spare2, spare1}
   11,
   [[ ul-DataSplitThreshold-r13
                                     CHOICE {
           release
                                     NULL,
                                      ENUMERATED {
           setup
                                     b0, b100, b200, b400, b800, b1600, b3200, b6400, b12800,
                                      b25600, b51200, b102400, b204800, b409600, b819200,
                                      spare1}
                                                                    OPTIONAL,
                                                                               -- Need ON
                                     ENUMERATED {len18bits} OPTIONAL, -- Cond Rlc-AM3
       pdcp-SN-Size-v1310
                                     CHOICE {
       statusFeedback-r13
                                     NULL,
          release
           setup
                                      SEQUENCE {
              statusPDU-TypeForPolling-r13
                                                ENUMERATED {type1, type2}
                                                                              OPTIONAL, --
Need ON
               statusPDU-Periodicity-Type1-r13
                                               ENUMERATED {
                                      ms5, ms10, ms20, ms30, ms40, ms50, ms60, ms70, ms80, ms90,
                                      \verb|ms100, ms150, ms200, ms300, ms500, ms1000, ms2000, ms5000,\\
                                      ms10000, ms20000, ms50000} OPTIONAL,
                                                                               -- Need ON
                                               ENUMERATED {
               statusPDU-Periodicity-Type2-r13
                                      ms5, ms10, ms20, ms30, ms40, ms50, ms60, ms70, ms80, ms90,
                                      ms100, ms150, ms200, ms300, ms500, ms1000, ms2000, ms5000,
                                      ms10000, ms20000, ms50000} OPTIONAL, -- Need ON
               ms1, ms2, ms5, ms10, ms25, ms50, ms100, ms250, ms500,
                                     ms2500, ms5000, ms25000} OPTIONAL -- Need ON
           }
       }
                                                                    OPTIONAL
                                                                                -- Need ON
   11,
      ul-LWA-Config-r14
                                 CHOICE {
           release
                                     SEQUENCE {
           setup
              ul-LWA-DRB-ViaWLAN-r14
                                        BOOLEAN.
```

```
b0, b100, b200, b400, b800, b1600, b3200, b6400,
                                 b12800, b25600, b51200, b102400, b204800, b409600,
                                 b819200 }
                                                OPTIONAL -- Need OR
                                                    OPTIONAL,
                                                                 -- Need ON
      uplinkOnlyHeaderCompression-r14
                                   CHOICE {
         notUsed-r14
                                       NULL,
                                           SEQUENCE {
         rohc-r14
             maxCID-r14
                                              INTEGER (1..16383)
                                                                 DEFAULT 15,
             profiles-r14
                                              SEQUENCE {
                profile0x0006-r14
                                                 BOOLEAN
             },
             . . .
         }
      }
                                                 OPTIONAL -- Need ON
   ]],
      dictionary-r15
                                ENUMERATED {sip-SDP, operator} OPTIONAL, -- Need OR
                                                        OPTIONAL, -- Cond Rlc-AM4
      pdcp-DuplicationConfig-r15 CHOICE {
         release
                                NULL,
                                 SEQUENCE {
         setup
            pdcp-Duplication-r15
                                   ENUMERATED {configured, activated}
                                                 OPTIONAL -- Need ON
   ]],
   [ [
   ethernetHeaderCompression-r16 SetupRelease {EthernetHeaderCompression-r16} OPTIONAL -- Need
ON
   ]]
}
EthernetHeaderCompression-r16 ::= SEQUENCE {
   ehc-Common-r16 SEQUENCE {
     ehc-CID-Length-r16 ENUMERATED {bits7, bits15}
   ehc-Downlink-r16 SEQUENCE {
      drb-ContinueEHC-DL-r16
                             ENUMERATED {true} OPTIONAL -- Need OR
   } OPTIONAL,-- Need ON
ehc-Uplink-r16 SEQUENCE {
                               INTEGER (1..32767),
OPTIONAL -- Need OR
      maxCID-EHC-UL-r16
      maxCID-EHC-UL-r16 INTEGER (1..32767)
drb-ContinueEHC-UL-r16 ENUMERATED {true}
     OPTIONAL, -- Need ON
}
DiscardTimerExt-r17 ::= ENUMERATED {ms2000, spare}
-- ASN1STOP
```

# **PDCP-Config** field descriptions

### bufferSize

Indicates the buffer size applied for UDC specified in TS 36.323 [8]. Value *kbyte2* means 2048 bytes, *kbyte4* means 4096 bytes and so on.

# dictionary

Indicates which pre-defined dictionary is used for UDC as specified in TS 36.323 [8]. The value *sip-SDP* means that UE shall prefill the buffer with standard dictionary for SIP and SDP defined in TS 36.323 [8], and the value *operator* means that UE shall prefill the buffer with operator-defined dictionary.

### discardTimer

Indicates the discard timer value specified in TS 36.323 [8]. Value in milliseconds. Value ms50 means 50 ms, ms100 means 100 ms and so on.

# discardTimerExt

Indicates the discard timer value specified in TS 36.323 [8]. Value in milliseconds. Value *ms2000* means 2000 ms. The UE shall use the extended value *discardTimerExt*, if present, and ignore the value signaled by *discardTimer*.

### drb-ContinueEHC-DL

Indicates whether the PDCP entity continues or resets the downlink EHC header compression protocol during PDCP re-establishment, as specified in TS 36.323 [8]. The field is configured only in case of resuming an RRC connection or reconfiguration with sync, where the PDCP termination point is not changed and the *fullConfig* is not indicated.

### drb-ContinueEHC-UL

Indicates whether the PDCP entity continues or resets the uplink EHC header compression protocol during PDCP reestablishment, as specified in TS 36.323 [8]. The field is configured only in case of resuming an RRC connection or reconfiguration with sync, where the PDCP termination point is not changed and the *fullConfig* is not indicated.

### ehc-CID-Length

Indicates the length of the CID field for EHC packet. Once the field *ethernetHeaderCompression-r16* is configured for a DRB, the value of the field *ehc-CID-Length* for this DRB is not reconfigured to a different value.

### ehc-Common

Indicates the configurations that apply for both downlink and uplink.

### ehc-Downlink

Indicates the configurations that apply for only downlink. If the field is configured, then Ethernet header compression is configured for downlink. Otherwise, it is not configured for downlink.

### ehc-Uplink

Indicates the configurations that apply for only uplink. If the field is configured, then Ethernet header compression is configured for uplink. Otherwise, it is not configured for uplink.

# ethernetHeaderCompression

This field configures Ethernet Header Compression. This field can only be configured for DRB.
E-UTRAN does not reconfigure ethernetHeaderCompression for an MCG DRB except for upon handover and upon the first reconfiguration after RRC connection re-establishment. E-UTRAN does not reconfigure ethernetHeaderCompression for a SCG DRB except for upon SCG change involving PDCP re-establishment.
E-UTRAN does not configure this field if uplinkDataCompression is configured. E-UTRAN does not configure this field for split and LWA DRBs.

# headerCompression

E-UTRAN does not reconfigure header compression for an MCG DRB except for upon handover and upon the first reconfiguration after RRC connection re-establishment, and without any *drb-ContinueROHC*. E-UTRAN does not reconfigure header compression for a SCG DRB except for upon SCG change involving PDCP re-establishment. E-UTRAN does not configure header compression while *t-Reordering* is configured except for DAPS bearers. E-UTRAN only configures this field when neither *uplinkOnlyHeaderCompression* nor *uplinkDataCompression* is configured. If *headerCompression* is configured, the UE shall apply the configured ROHC profile(s) in both uplink and downlink. ROHC and EHC can be both configured simultaneously for a DRB.

### maxCID

Indicates the value of the MAX\_CID parameter as specified in TS 36.323 [8]. The total value of MAX\_CIDs across all bearers for the UE should be less than or equal to the value of *maxNumberROHC-ContextSessions* parameter as indicated by the UE.

# maxCID-EHC-UL

Indicates the value of the MAX\_CID\_EHC\_UL parameter as specified in TS 36.323 [8]. The total value of MAX\_CID\_EHC\_UL across all bearers for the UE should be less than or equal to the value of *maxNumberEHC-Contexts* parameter as indicated by the UE.

# pdcp-Duplication

Parameter for configuring PDCP duplication as specified in TS 36.323 [8]. Value *configured* indicates that PDCP duplication is configured but initially deactivated and value *activated* indicates that PDCP duplication is configured and activated upon configuration. For EN-DC, E-UTRAN configures PDCP duplication for MCG DRB only if PDCP duplication is not configured for any split DRB. PDCP duplication is not supported during a DAPS handover.

# pdcp-SN-Size

Indicates the PDCP Sequence Number length in bits. For RLC UM: value *len7bits* means that the 7-bit PDCP SN format is used and *len12bits* means that the 12-bit PDCP SN format is used. For RLC AM: value *len15bits* means that the 15-bit PDCP SN format is used, value *len18bits* means that the 18-bit PDCP SN format is used, otherwise if the field is not included upon setup of the PCDP entity 12-bit PDCP SN format is used, as specified in TS 36.323 [8].

# **PDCP-Config** field descriptions

# profiles

The profiles used by both compressor and decompressor in both UE and E-UTRAN. The field indicates which of the ROHC profiles specified in TS 36.323 [8] are supported, i.e. value *true* indicates that the profile is supported. Profile 0x0000 shall always be supported when the use of ROHC is configured. If support of two ROHC profile identifiers with the same 8 LSB's is signalled, only the profile corresponding to the highest value shall be applied.

### statusFeedback

Indicates whether the UE shall send PDCP Status Report periodically or by E-UTRAN polling as specified in TS 36.323 [8]. E-UTRAN configures this field only for LWA DRB.

### statusPDU-TypeForPolling

Indicates the PDCP Control PDU option when it is triggered by E-UTRAN polling. Value *type1* indicates using the legacy PDCP Control PDU for PDCP status reporting and value *type2* indicates using the LWA specific PDCP Control PDU for LWA status reporting as specified in TS 36.323 [8].

# statusPDU-Periodicity-Type1

Indicates the value of the PDCP Status reporting periodicity for *type1* Status PDU, as specified in TS 36.323 [8]. Value in milliseconds. Value ms5 means 5 ms, ms10 means 10 ms and so on.

# statusPDU-Periodicity-Type2

Indicates the value of the PDCP Status reporting periodicity for *type2* Status PDU, as specified in TS 36.323 [8]. Value in milliseconds. Value ms5 means 5 ms, ms10 means 10 ms and so on.

# statusPDU-Periodicity-Offset

Indicates the value of the offset for *type2* Status PDU periodicity, as specified in TS 36.323 [8]. Value in milliseconds. Value ms1 means 1 ms, ms2 means 2 ms and so on.

### t-Reordering

Indicates the value of the reordering timer, as specified in TS 36.323 [8]. Value in milliseconds. Value ms0 means 0 ms and behaviour as specified in 7.3.2 applies, ms20 means 20 ms and so on.

### rn-IntegrityProtection

Indicates that integrity protection or verification shall be applied for all subsequent packets received and sent by the RN on the DRB.

### statusReportRequired

Indicates whether or not the UE shall send a PDCP Status Report upon re-establishment of the PDCP entity, upon PDCP data recovery, upon uplink data switching during DAPS handover and upon release of the source cell after DAPS handover as specified in TS 36.323 [8]. If the UE supports DAPS handover, for RLC UM radio bearers, the field has the value FALSE if it has not been configured.

# ul-DataSplitDRB-ViaSCG

Indicates whether the UE shall send PDCP PDUs via SCG as specified in TS 36.323 [8]. E-UTRAN only configures the field (i.e. indicates value *TRUE*) for split DRBs. For PDCP duplication, if this field is set to *TRUE*, the primary RLC entity is SCG RLC entity and the secondary RLC entity is MCG RLC entity. If this field is not configured or set to *FALSE*, the primary RLC entity is MCG RLC entity and the secondary RLC entity is SCG RLC entity.

### ul-DataSplitThreshold

Indicates the threshold value for uplink data split operation specified in TS 36.323 [8]. Value b100 means 100 Bytes, b200 means 200 Bytes and so on. E-UTRAN only configures this field for split DRBs.

# ul-LWA-DRB-ViaWLAN

Indicates whether the UE shall send PDCP PDUs via the LWAAP entity as specified in TS 36.323 [8]. E-UTRAN only configures this field (i.e. indicates value *TRUE*) for LWA DRBs.

# ul-LWA-DataSplitThreshold

Indicates the threshold value for uplink data split operation as specified in TS 36.323 [8]. Value b0 means 0 Bytes, b100 means 100 Bytes and so on. E-UTRAN only configures this field for LWA DRBs.

### uplinkDataCompression

Indicates the UDC configuration that the UE shall apply. E-UTRAN does not configure *uplinkDataCompression* for a DRB, if *ethernetHeaderCompression*, *headerCompression* or *uplinkOnlyHeaderCompression* is already configured for the DRB. E-UTRAN does not configure *uplinkDataCompression* for the split and LWA DRBs. The maximum number of DRBs where *uplinkDataCompression* can be applied is two. In this version of the specification, for existing DRBs, E-UTRAN can only (re)configure *uplinkDataCompression* via handover procedure or the first *RRCConnectionReconfiguration* message after RRC connection re-establishment.

### uplinkOnlyHeaderCompression

Indicates the ROHC configuration that the UE shall apply uplink-only ROHC operations, see TS 36.323 [8]. E-UTRAN only configures this field when *headerCompression* is not configured.

E-UTRAN does not reconfigure header compression for an MCG DRB except for upon handover and upon the first reconfiguration after RRC connection re-establishment. E-UTRAN does not reconfigure header compression for a SCG DRB except for upon SCG change involving PDCP re-establishment. For split and LWA DRBs E-UTRAN configures only *notUsed*.

Conditional presence	Explanation
RIc-AM-UM	The field is mandatory present upon setup of a PDCP entity for a radio bearer configured with RLC AM. The field is optional, need ON, in case of reconfiguration of a PDCP entity at handover, at the first reconfiguration after RRC re-establishment or at SCG change involving PDCP re-establishment or PDCP data recovery for a radio bearer configured with RLC AM. If the UE supports DAPS handover, this field is optional, need ON, for a radio bearer configured with RLC UM. Otherwise the field is not present.
RIc-AM2	The field is optionally present, need OP, upon setup of a PDCP entity for a radio bearer configured with RLC AM. Otherwise the field is not present.
RIc-AM3	The field is optionally present, need OP, upon setup of a PDCP entity for a radio bearer configured with RLC AM, if <i>pdcp-SN-Size-v1130</i> is absent. Otherwise the field is not present.
RIc-AM4	The field is optionally present, need ON, upon setup of a PDCP entity for a radio bearer configured with RLC AM. The field is optional, need OP, in case of reconfiguration of a PDCP entity at handover, or at the first reconfiguration after RRC re-establishment. Otherwise the field is not present and the UE shall continue to use the existing value.
RIc-UM	The field is mandatory present upon setup of a PDCP entity for a radio bearer configured with RLC UM. It is optionally present, Need ON, upon handover within E-UTRA, upon the first reconfiguration after re-establishment and upon SCG change involving PDCP re-establishment. Otherwise the field is not present.
RN	The field is optionally present when signalled to the RN, need OR. Otherwise the field is not present.
Setup	The field is mandatory present in case of radio bearer setup. Otherwise the field is optionally present, need ON.
SetupS	The field is mandatory present in case of setup of or reconfiguration to a split DRB or LWA DRB as well as in case of setup of or reconfiguration to a DRB associated with at least one RLC entity configured with <i>rlc-OutOfOrderDelivery</i> . The field is optionally present upon reconfiguration of a split DRB or LWA DRB or upon DRB type change from split to MCG DRB or from LWA to LTE only as well as upon reconfiguration of a DRB associated with at least one RLC entity configured with <i>rlc-OutOfOrderDelivery</i> , need ON. Otherwise the field is not present.

# PDSCH-Config

The IE *PDSCH-ConfigCommon* and the IE *PDSCH-ConfigDedicated* are used to specify the common and the UE specific PDSCH configuration respectively.

# **PDSCH-Config** information element

```
-- ASN1START
PDSCH-ConfigCommon ::= SEQUENCE {
   referenceSignalPower
                                      INTEGER (-60..50),
                                     INTEGER (0..3)
   p-b
PDSCH-ConfigCommon-v1310 ::= SEQUENCE {
   pdsch-maxNumRepetitionCEmodeA-r13 ENUMERATED {
                                       r16, r32 }
                                                                  OPTIONAL, -- Need OR
   pdsch-maxNumRepetitionCEmodeB-r13 ENUMERATED {
                                         r192, r256, r384, r512, r768, r1024,
                                         r1536, r2048}
                                                                       OPTIONAL -- Need OR
PDSCH-ConfigDedicated::= SEQUENCE {
                                     ENUMERATED {
  p-a
                                       dB-6, dB-4dot77, dB-3, dB-1dot77,
                                         dB0, dB1, dB2, dB3}
PDSCH-ConfigDedicated-v1130 ::=
                                  SEQUENCE {
                                     DMRS-Config-rll OPTIONAL, -- Need ON ENUMERATED {typeA, typeB} OPTIONAL, -- Need OR
  dmrs-ConfigPDSCH-rll DMRS-Config-rll
   qcl-Operation
   re-MappingQCLConfigToReleaseList-rl1 RE-MappingQCLConfigToReleaseList-rl1 OPTIONAL, --
  re-MappingQCLConfigToAddModList-r11 RE-MappingQCLConfigToAddModList-r11 OPTIONAL
Need ON
PDSCH-ConfigDedicated-v1280 ::= SEQUENCE {
```

```
tbsIndexAlt-r12
                                        ENUMERATED {a26, a33}
                                                                           OPTIONAL
                                                                                       -- Need OR
PDSCH-ConfigDedicated-v1310 ::= SEQUENCE {
    dmrs-ConfigPDSCH-v1310
                                       DMRS-Config-v1310
                                                                           OPTIONAL
                                                                                       -- Need ON
PDSCH-ConfigDedicated-v1430 ::=
                                   SEQUENCE {
    ce-PDSCH-MaxBandwidth-r14
ce-PDSCH-TenProcesses-r14
ce-HARQ-AckBundling-r14
                                                                           OPTIONAL,
                                    ENUMERATED {bw5, bw20}
                                                                                       -- Need OP
                                       ENUMERATED {on}
                                                                           OPTIONAL,
                                                                                       -- Need OR
                                                                                       -- Need OR
                                       ENUMERATED {on}
                                                                          OPTIONAL,
                                       ENUMERATED {range1, range2}
                                                                          OPTIONAL,
    ce-SchedulingEnhancement-r14
                                                                                       -- Need OR
    tbsIndexAlt2-r14
                                           ENUMERATED {b33}
                                                                           OPTIONAL
                                                                                       -- Need OR
}
PDSCH-ConfigDedicated-v1530 ::=
                                  SEQUENCE {
                                           ENUMERATED {typeC}
                                                                           OPTIONAL,
    qcl-Operation-v1530
                                                                                       -- Need OR
    tbs-IndexAlt3-r15
                                               ENUMERATED {a37}
                                                                           OPTIONAL,
                                                                                       -- Need OR
                                                                                       -- Need OR
    ce-CQI-AlternativeTableConfig-r15
                                               ENUMERATED {on}
                                                                           OPTIONAL,
    ce-PDSCH-64QAM-Config-r15
                                               ENUMERATED {on}
                                                                                       -- Need OR
                                                                           OPTIONAL,
    ce-PDSCH-FlexibleStartPRB-AllocConfig-r15 ENUMERATED (on)
                                                                           OPTIONAL,
                                                                                       -- Need OR
    altMCS-TableScalingConfig-r15 ENUMERATED {oDot5, oDot625, oDot75, oDot875}
                                                                                       OPTIONAL --
Need OR
}
PDSCH-ConfigDedicated-v1610 ::=
                                   SEQUENCE {
    ce-PDSCH-MultiTB-Config-r16
                                  SetupRelease {CE-PDSCH-MultiTB-Config-r16}
PDSCH-ConfigDedicated-v1700 ::=
                                   SEQUENCE {
    ce-PDSCH-14HARQ-Config-r17
                                   SetupRelease {CE-PDSCH-14HARQ-Config-r17} OPTIONAL,
    ce-PDSCH-maxTBS-r17
                                   ENUMERATED {enabled} OPTIONAL
                                                                      -- Need OR
}
PDSCH-ConfigDedicatedSCell-v1430 ::=
                                           SEOUENCE {
    tbsIndexAlt2-r14
                                           ENUMERATED {b33}
                                                                          OPTIONAL
                                                                                       -- Need OR
CE-PDSCH-MultiTB-Config-r16 ::= SEQUENCE {
    interleaving-r16
                                           ENUMERATED {on}
                                            ENUMERATED {on}
                                                               OPTIONAL,
                                                                               -- Need OR
                                                                               -- Need OR
    harq-AckBundling-r16
                                                               OPTIONAL
}
CE-PDSCH-14HARQ-Config-r17 ::= SEQUENCE {
   ce-HARQ-AckDelay-r17 ENUMERATED {alt-1, alt-2e}
RE-MappingQCLConfigToAddModList-r11 ::= SEQUENCE (SIZE (1..maxRE-MapQCL-r11)) OF PDSCH-RE-
MappingQCL-Config-r11
RE-MappingQCLConfigToReleaseList-r11 ::= SEQUENCE (SIZE (1..maxRE-MapQCL-r11)) OF PDSCH-RE-
MappingQCL-ConfigId-r11
PDSCH-RE-MappingQCL-Config-r11 ::=
                                     SEQUENCE {
    \verb|pdsch-RE-MappingQCL-ConfigId-r11| & \verb|PDSCH-RE-MappingQCL-ConfigId-r11|, \\
    optionalSetOfFields-r11
                                       SEQUENCE {
       crs-PortsCount-r11
                                           ENUMERATED {n1, n2, n4, spare1},
        crs-FreqShift-r11
                                           INTEGER (0..5),
        mbsfn-SubframeConfigList-r11
                                           CHOICE {
           release
                                               NULL.
                                               SEQUENCE {
            setup
                subframeConfigList
                                                  MBSFN-SubframeConfigList
                                                                           OPTIONAL,
                                                                                      -- Need ON
       pdsch-Start-r11
                                          ENUMERATED {reserved, n1, n2, n3, n4, assigned}
                                                                           OPTIONAL, -- Need OP
    csi-RS-ConfigZPId-r11
                                       CSI-RS-ConfigZPId-r11,
    qcl-CSI-RS-ConfigNZPId-r11
                                       CSI-RS-ConfigNZPId-r11
                                                                          OPTIONAL.
                                                                                       -- Need OR
    [[ mbsfn-SubframeConfigList-v1430 CHOICE {
                                       NULL,
           release
                                       SEQUENCE {
            setup
                subframeConfigList-v1430
                                          MBSFN-SubframeConfigList-v1430
                                                                           OPTIONAL
                                                                                       -- Need OP
    11
    [[ codewordOneConfig-v1530 CHOICE {
```

```
release
                                                                                                                                                                                                                                          NULL,
                                                                                                                                                                                                                                           SEQUENCE {
                                                                                              crs-PortsCount-v1530
                                                                                                                                                                                                                                                                                                                                     ENUMERATED {n1, n2, n4, spare1},
                                                                                            crs-Fortscount-v1530 ENNERATED (NI., N2, N4, Spatel),
crs-FreqShift-v1530 INTEGER (0..5),
mbsfn-SubframeConfigList-v1530 MBsFn-SubframeConfigList OPTIONAL,
pdsch-Start-v1530 MBsFn-SubframeConfigList-v1430 OPTIONAL,
pdsch-Start-v1530 ENNERATED (NI., N2, N4, Spatel),
ENUMERATED (NI., N4, Spatel),
ENUMERATED (NI., N4, Spatel),
ENUMERATED (NI., N4,
                                                                                             pdsch-Start-v1530
                                                                                                                                                                                                                                                                                                                                   ENUMERATED {reserved, n1, n2, n3, n4,
assigned},
                                                                                                                                                                                                                                                                                                                                   CSI-RS-ConfigZPId-r11,
                                                                                            csi-RS-ConfigZPId-v1530
                                                                                              qcl-CSI-RS-ConfigNZPId-v1530
                                                                                                                                                                                                                                                                                                                                       CSI-RS-ConfigNZPId-r11 OPTIONAL
                                                                      }
                                                                                                                                                                                                                                                                                                                                                                                                                                          OPTIONAL -- Cond TypeC
                        ]]
}
-- ASN1STOP
```

# PDSCH-Config field descriptions

### altMCS-TableScalingConfig

Presence of the field indicates activation of 6-bit MCS table (i.e., *altMCS-Table*) for UE indicating support for *altMCS-Table*, see TS 36.212 [22] and TS 36.213 [23]. The indicated value configures the parameter *altMCS-Table-Scaling* where value oDot5 corresponds to scaling factor 0.5, value oDot625 corresponds to scaling factor 0.625 and so on, see TS 36.213 [23].

### ce-CQI-AlternativeTableConfig

Configures the UE supporting alternative CQI table to use the alternative CQI table in CE mode A. See TS 36.213 [23].

### ce-HARQ-AckBundling

Activation of PDSCH HARQ-ACK bundling in half duplex FDD in CE mode A, see TS 36.212 [22] and TS 36.213 [23].

# ce-HARQ-AckDelay

Configures the HARQ ACK delay between different subframe types and absolute subframes when UE is configured with 14 HARQ, see TS 36.212 [22] and TS 36.213 [23]. Value *alt-1* corresponds to Alt-1 and value *alt-2e* corresponds to Alt-2e.

# ce-PDSCH-14HARQ-Config

Indicates whether 14-HARQ is enabled for HD-FDD Cat M1 UE, see TS 36.211 [21], TS 36.212 [22] and TS 36.213 [23]. E-UTRAN may set this field to setup only when DL multi-TB scheduling is not enabled and PUCCH repetition with HARQ-ACK bundling is not configured.

# ce-PDSCH-64QAM-Config

Activation of 64 QAM for non-repeated unicast PDSCH in CE mode A.

### ce-PDSCH-FlexibleStartPRB-AllocConfig

Activation of flexible starting PRB for PDSCH resource allocation in CE mode A or B. E-UTRAN does not configure this field when E-UTRA system bandwidth is 1.4 MHz.

### ce-PDSCH-MaxBandwidth

Maximum PDSCH channel bandwidth in CE mode A and B, see TS 36.212 [22] and TS 36.213 [23]. Value bw5 corresponds to 5 MHz, and value bw20 corresponds to 20 MHz. If this field is absent, the UE shall release any existing value and set the maximum PDSCH channel bandwidth in CE mode A and B to 1.4 MHz. Parameter: transmission bandwidth configuration, see TS 36.101 [42], table 5.6-1. The max bandwidth can by configured to 5MHz for BL UEs and 5MHz or 20MHz for UEs in CE.

### ce-PDSCH-maxTBS

Indicates whether DL TBS of 1736 bits is enabled for HD-FDD Cat M1 UE in CE mode A, see TS 36.213 [23], clause 7.1.7.2.

# ce-PDSCH-MultiTB-Config

Indicates whether DL multi-TB scheduling is enabled, i.e., a single DCI can schedule up to 8 PDSCH transport blocks in CE mode A and up to 4 PDSCH transport blocks in CE mode B. See TS 36.213 [23], clause 7.1.11.

### ce-PDSCH-TenProcesses

Configuration of 10 (instead of 8) DL HARQ processes in FDD in CE mode A, see TS 36.212 [22] and TS 36.213 [23].

# ce-SchedulingEnhancement

Activation of dynamic HARQ-ACK delay for HD-FDD for PDSCH in CE mode A controlled by the DCI, see TS 36.212 [22] and TS 36.213 [23]. Value range1 corresponds to the first range of HARQ-ACK delays, and value range2 corresponds to second range of HARQ-ACK delays.

# codewordOneConfig

The field corresponds to codeword 1, see TS 36.213 [23], clause 7.1.10. If absent, the UE applies the values from the serving cell configured on the same frequency.

### harq-AckBundling

Indicates whether HARQ-ACK bundling for DL multi-TB scheduling is enabled, see TS 36.213 [23], clause 7.3.

### interleaving

Indicates whether interleaving for DL multi-TB scheduling is enabled, see TS 36.213 [23], clause 7.1.11.

# mbsfn-SubframeConfigList

Indicates the MBSFN configuration for the CSI-RS resources. If optionalSetOfFields is absent, the fields mbsfn-SubframeConfigList-r11 and mbsfn-SubframeConfigList-v1430 are released.

### optionalSetOfFields

If absent, the UE releases the configuration provided previously, if any, and applies the values from the serving cell configured on the same frequency. If the UE is configured with *qcl-Operation-v1530*, this field corresponds to codeword 0, see TS 36.213 [23], clause 7.1.10.

### p-a

Parameter:  $P_A$ , see TS 36.213 [23], clause 5.2. Value dB-6 corresponds to -6 dB, dB-4dot77 corresponds to -4.77 dB etc.

### p-b

Parameter:  $P_B$ , see TS 36.213 [23], clause Table 5.2-1.

# pdsch-maxNumRepetitionCEmodeA

Maximum value to indicate the set of PDSCH repetition numbers for CE mode A, see TS 36.211 [21] and TS 36.213 [23].

# pdsch-maxNumRepetitionCEmodeB

Maximum value to indicate the set of PDSCH repetition numbers for CE mode B, see TS 36.211 [21] and TS 36.213 [23].

# pdsch-Start

The starting OFDM symbol of PDSCH for the concerned serving cell, see TS 36.213 [23], clause 7.1.6.4. Values 1, 2, 3 are applicable when *dl-Bandwidth* for the concerned serving cell is greater than 10 resource blocks, values 2, 3, 4 are applicable when *dl-Bandwidth* for the concerned serving cell is less than or equal to 10 resource blocks, see TS 36.211 [21], Table 6.7-1. Value *n1* corresponds to 1, value *n2* corresponds to 2 and so on. If the field *pdsch-Start-v1530* is also configured, E-UTRAN ensures that this value is the same as *pdsch-Start* (i.e., without suffix).

# qcI-CSI-RS-ConfigNZPId

Indicates the CSI-RS resource that is quasi co-located with the PDSCH antenna ports, see TS 36.213 [23], clause 7.1.9. E-UTRAN configures this field if and only if the UE is configured with *qcl-Operation* set to *typeB* or *qcl-Operation-v1530* set to *typeC*. If the UE is configured with *qcl-Operation-v1530* set to *typeC*, the field *qcl-CSI-RS-ConfigNZPId-v1530* corresponds to codeword 0, and the field *qcl-CSI-RS-ConfigNZPId-v1530* corresponds to codeword 1, see TS 36.213 [23], clause 7.1.10..

### gcl-Operation

Indicates the quasi co-location behaviour to be used by the UE, type A, type B, or type C, as described in TS 36.213 [23], clause 7.1.10. In case *qcl-Operation-v1530* is present, the UE shall ignore the field qcl-Operation (without suffix). E-UTRAN configures *qcl-Operation-v1530* only when transmission mode 10 is configured for the serving cell on this carrier frequency and QCL type C is configured.

# referenceSignalPower

Parameter: Reference-signal power, which provides the downlink reference-signal EPRE, see TS 36.213 [23], clause 5.2. The actual value in dBm.

# re-MappingQCLConfigToAddModList, re-MappingQCLConfigToReleaseList

For a serving frequency E-UTRAN configures at least one *PDSCH-RE-MappingQCL-Config* when transmission mode 10 is configured for the serving cell on this carrier frequency. Otherwise it does not configure this field.

### tbsIndexAlt

Indicates the applicability of the alternative TBS index for the  $I_{TBS}$  26 and 33 (see TS 36.213 [23], Table 7.1.7.2.1-1), to all subframes scheduled by DCI format 2C or 2D. Value a26 refers to the alternative TBS index  $I_{TBS}$  26A, and value a33 refers to the alternative TBS index  $I_{TBS}$  33A. If this field is not configured, the UE shall use  $I_{TBS}$  26 specified in Table 7.1.7.2.1-1 in TS 36.213 [23] for all subframes instead. If neither this field nor tbsIndexAlt2 configures an alternative TBS index for  $I_{TBS}$  33, the UE shall use  $I_{TBS}$  33 specified in Table 7.1.7.2.1-1 in TS 36.213 [23] for all subframes instead.

### tbsIndexAlt2

Indicates the applicability of the alternative TBS index for the  $I_{\text{TBS}}$  33 (see TS 36.213 [23], Table 7.1.7.2.1-1) to all subframes. Value b33 refers to the alternative TBS index  $I_{\text{TBS}}$  33B. If neither this field nor tbsIndexAlt configures an alternative TBS index for  $I_{\text{TBS}}$  33, the UE shall use  $I_{\text{TBS}}$  33 specified in Table 7.1.7.2.1-1 in TS 36.213 [23] for all subframes instead.

### tbs-IndexAlt3

Indicates the applicability of the alternative TBS index for the  $h_{\rm BS}$  37 (see TS 36.213 [23], Table 7.1.7.2.1-1) to all subframes. Value a37 refers to the alternative TBS index  $h_{\rm TBS}$  37A.

Conditional presence	Explanation
TypeC	The field is optional, need ON when <i>qcl-Operation</i> is configured with <i>typeC</i> . Otherwise the
	field is not present and the UE shall delete any existing value for this field.

# PDSCH-RE-MappingQCL-Configld

The IE *PDSCH-RE-MappingQCL-ConfigId* is used to identify a set of PDSCH parameters related to resource element mapping and quasi co-location, as configured by the IE *PDSCH-RE-MappingQCL-Config*. The identity is unique within the scope of a carrier frequency.

# PDSCH-RE-MappingQCL-ConfigId information elements

```
-- ASN1START

PDSCH-RE-MappingQCL-ConfigId-r11 ::= INTEGER (1..maxRE-MapQCL-r11)

-- ASN1STOP
```

# PerCC-GapIndicationList

The IE *PerCC-GapIndicationList* is used to specify the UE measurement gap preference.

# PerCC-GapIndication information elements

```
-- ASN1START
PerCC-GapIndicationList-r14 ::= SEQUENCE (SIZE (1..maxServCell-r13)) OF PerCC-GapIndication-r14

PerCC-GapIndication-r14 ::= SEQUENCE {
    servCellId-r14 ServCellIndex-r13,
    gapIndication-r14 ENUMERATED {gap, ncsg, nogap-noNcsg}
}

-- ASN1STOP
```

### PerCC-GapIndication field descriptions

### servCellId

This field identifies the serving cell for which the measurement gap perference is provided.

# gapIndication

This field is used to indicate the measurement gap preference per component carrier (serving cell) by the UE both in non-CA and CA configurations. Value *gap* indicates that a measurement gap is needed for the associated *servCellId*, value *nogap-noNcsg* indicates that neither a measurement gap nor a ncsg is needed for the associated *servCellId*, value *ncsg* indicates that ncsg is needed for the associated *servCellId*. The UE shall indicate the per CC measurement gap preference consistently for the same non-CA or CA configuration and measurement configuration during the same RRC connection.

# PHICH-Config

The IE *PHICH-Config* is used to specify the PHICH configuration.

# **PHICH-Config** information element

```
-- ASN1START

PHICH-Config ::= SEQUENCE {
    phich-Duration ENUMERATED {normal, extended}, phich-Resource ENUMERATED {oneSixth, half, one, two}
}

-- ASN1STOP
```

# PHICH-Config field descriptions phich-Duration Parameter: PHICH-Duration, see TS 36.211 [21], Table 6.9.3-1. phich-Resource Parameter: Ng, see TS 36.211 [21], clause 6.9. Value oneSixth corresponds to 1/6, half corresponds to 1/2 and so on.

# PhysicalConfigDedicated

The IE *PhysicalConfigDedicated* is used to specify the UE specific physical channel configuration.

# PhysicalConfigDedicated information element

```
-- ASN1START
PhysicalConfigDedicated ::=
                               SEQUENCE {
                                                                       OPTIONAL,
    pdsch-ConfigDedicated
                                       PDSCH-ConfigDedicated
                                                                                       -- Need ON
    pucch-ConfigDedicated
                                        PUCCH-ConfigDedicated
                                                                       OPTIONAL,
                                                                                       -- Need ON
   pusch-ConfigDedicated
                                       PUSCH-ConfigDedicated
                                                                       OPTIONAL,
                                                                                       -- Need ON
    uplinkPowerControlDedicated
                                       UplinkPowerControlDedicated
                                                                       OPTIONAL,
                                                                                       -- Need ON
                                       TPC-PDCCH-Config
                                                                                       -- Need ON
    tpc-PDCCH-ConfigPUCCH
                                                                       OPTIONAL,
    tpc-PDCCH-ConfigPUSCH
                                       TPC-PDCCH-Config
                                                                       OPTIONAL,
                                                                                       -- Need ON
    cqi-ReportConfig
                                       CQI-ReportConfig
                                                                       OPTIONAL,
                                                                                       -- Cond CQI-
r8
    soundingRS-UL-ConfigDedicated
                                       SoundingRS-UL-ConfigDedicated OPTIONAL,
                                                                                       -- Need ON
                                        CHOICE {
    antennaInfo
        explicitValue
                                           AntennaInfoDedicated,
       defaultValue
                                                                       OPTIONAL, -- Cond AI-r8
```

```
schedulingRequestConfig
                                       SchedulingRequestConfig
                                                                        OPTIONAL,
                                                                                         -- Need ON
    [[ cqi-ReportConfig-v920
                                            CQI-ReportConfig-v920
                                                                        OPTIONAL,
                                                                                         -- Cond COI-
r8
        antennaInfo-v920
                                            AntennaInfoDedicated-v920
                                                                        OPTIONAL
                                                                                         -- Cond AI-
r8
    ]],
                                        CHOICE {
       antennaInfo-r10
            explicitValue-r10
                                            AntennaInfoDedicated-r10,
            defaultValue
                                            NULL
                                                                        OPTIONAL,
                                                                                     -- Cond AI-r10
                                       AntennaInfoUL-r10
                                                                        OPTIONAL,
        antennaInfoUL-r10
                                                                                         -- Need ON
                                                                        OPTIONAL,
                                                                                         -- Need ON
        cif-Presence-r10
                                        BOOLEAN
        cqi-ReportConfig-r10
                                       CQI-ReportConfig-r10
                                                                        OPTIONAL,
                                                                                     -- Cond CQI-r10
        csi-RS-Config-r10
                                        CSI-RS-Config-r10
                                                                        OPTIONAL,
                                                                                        -- Need ON
                                                                                         -- Need ON
        pucch-ConfigDedicated-v1020
                                       PUCCH-ConfigDedicated-v1020
                                                                        OPTIONAL,
        pusch-ConfigDedicated-v1020 pusch-ConfigDedicated-v1020 pusch-ConfigDedicated-v1020 schedulingRequestConfig-v1020 SchedulingRequestConfig-v1020
                                                                        OPTIONAL,
        pusch-ConfigDedicated-v1020
                                                                                         -- Need ON
                                                                        OPTIONAL,
                                                                                         -- Need ON
        soundingRS-UL-ConfigDedicated-v1020
                                SoundingRS-UL-ConfigDedicated-v1020
                                                                        OPTIONAL,
                                                                                         -- Need ON
        soundingRS-UL-ConfigDedicatedAperiodic-r10
                            SoundingRS-UL-ConfigDedicatedAperiodic-r10 OPTIONAL,
                                                                                         -- Need ON
        uplinkPowerControlDedicated-v1020
                                    UplinkPowerControlDedicated-v1020 OPTIONAL
                                                                                         -- Need ON
    11,
    [[ additionalSpectrumEmissionCA-r10
                                                    CHOICE {
           release
                                                    SEQUENCE {
                additionalSpectrumEmissionPCell-r10
                                                       AdditionalSpectrumEmission
        }
                    OPTIONAL
                                -- Need ON
       -- DL configuration as well as configuration applicable for DL and UL
        csi-RS-ConfigNZPToReleaseList-r11
                                    CSI-RS-ConfigNZPToReleaseList-r11 OPTIONAL,
                                                                                         -- Need ON
        csi-RS-ConfigNZPToAddModList-r11
                                    CSI-RS-ConfigNZPToAddModList-r11
                                                                        OPTIONAL,
                                                                                         -- Need ON
        csi-RS-ConfigZPToReleaseList-r11
                                    CSI-RS-ConfigZPToReleaseList-r11
                                                                        OPTIONAL,
                                                                                         -- Need ON
        csi-RS-ConfigZPToAddModList-r11 CSI-RS-ConfigZPToAddModList-r11 OPTIONAL,
                                                                                         -- Need ON
        epdcch-Config-r11
                                        EPDCCH-Config-r11
                                                                        OPTIONAL,
                                                                                         -- Need ON
        pdsch-ConfigDedicated-v1130
                                                                                         -- Need ON
                                        PDSCH-ConfigDedicated-v1130
                                                                        OPTIONAL.
    -- UL configuration
        cqi-ReportConfig-v1130
                                       CQI-ReportConfig-v1130
                                                                        OPTIONAL,
                                                                                         -- Need ON
        pucch-ConfigDedicated-v1130 PUCCH-ConfigDedicated-v1130
                                                                         OPTIONAL,
                                                                                         -- Need ON
        pusch-ConfigDedicated-v1130
                                        PUSCH-ConfigDedicated-v1130
                                                                        OPTIONAL,
                                                                                         -- Need ON
        uplinkPowerControlDedicated-v1130
                                   UplinkPowerControlDedicated-v1130
                                                                        OPTIONAL
                                                                                         -- Need ON
    [[ antennaInfo-v1250
                                        AntennaInfoDedicated-v1250
                                                                        OPTIONAL,
                                                                                     -- Cond AI-r10
                                        EIMTA-MainConfig-r12
                                                                        OPTIONAL,
        eimta-MainConfig-r12
                                                                                         -- Need ON
                                                                         OPTIONAL,
                                                                                         -- Need ON
        eimta-MainConfigPCell-r12
                                        EIMTA-MainConfigServCell-r12
        pucch-ConfigDedicated-v1250
                                        PUCCH-ConfigDedicated-v1250
                                                                         OPTIONAL,
                                                                                         -- Need ON
        cqi-ReportConfigPCell-v1250
                                        CQI-ReportConfig-v1250
                                                                        OPTIONAL,
                                                                                         -- Need ON
        uplinkPowerControlDedicated-v1250
                                   UplinkPowerControlDedicated-v1250 OPTIONAL,
                                                                                         -- Need ON
                                                                         OPTIONAL,
                                                                                         -- Need ON
        pusch-ConfigDedicated-v1250
                                     PUSCH-ConfigDedicated-v1250
        csi-RS-Config-v1250
                                            CSI-RS-Config-v1250
                                                                        OPTIONAL
                                                                                         -- Need ON
    11,
    [[ pdsch-ConfigDedicated-v1280
                                            PDSCH-ConfigDedicated-v1280 OPTIONAL
                                                                                         -- Need ON
    ]],
       pdsch-ConfigDedicated-v1310
                                            PDSCH-ConfigDedicated-v1310 OPTIONAL,
                                                                                         -- Need ON
       pucch-ConfigDedicated-r13
                                            PUCCH-ConfigDedicated-r13 OPTIONAL,
                                                                                         -- Need ON
        pusch-ConfigDedicated-r13
                                            PUSCH-ConfigDedicated-r13 OPTIONAL,
                                                                                         -- Need ON
        pdcch-CandidateReductions-r13
                                        PDCCH-CandidateReductions-r13
                                                                         OPTIONAL,
                                                                                         -- Need ON
        cqi-ReportConfig-v1310
                                                CQI-ReportConfig-v1310 OPTIONAL,
                                                                                         -- Need ON
        soundingRS-UL-ConfigDedicated-v1310
                                SoundingRS-UL-ConfigDedicated-v1310
                                                                                         -- Need ON
                                                                         OPTIONAL.
        soundingRS-UL-ConfigDedicatedUpPTsExt-r13
                       SoundingRS-UL-ConfigDedicatedUpPTsExt-r13
                                                                         OPTIONAL,
                                                                                         -- Need ON
        soundingRS-UL-ConfigDedicatedAperiodic-v1310
                       SoundingRS-UL-ConfigDedicatedAperiodic-v1310
                                                                         OPTIONAL,
                                                                                         -- Need ON
        {\tt soundingRS-UL-ConfigDedicatedAperiodicUpPTsExt-r13}
                {\tt SoundingRS-UL-ConfigDedicatedAperiodicUpPTsExt-r13}
                                                                         OPTIONAL,
                                                                                         -- Need ON
        csi-RS-Config-v1310
                                        CSI-RS-Config-v1310
                                                                         OPTIONAL,
                                                                                         -- Need ON
        ce-Mode-r13
                                    CHOICE {
           release
                                        NULL,
```

```
ENUMERATED {ce-ModeA,ce-ModeB}
            setup
                                                                           OPTIONAL,
                                                                                            -- Need ON
        , csi-RS-ConfigNZPToAddModListExt-r13 CSI-RS-ConfigNZPToAddModListExt-r13 OPTIONAL, -- Need
ON
        OPTIONAL --
Need ON
    ]],
        cqi-ReportConfig-v1320
                                                 CQI-ReportConfig-v1320 OPTIONAL
    [ [
                                                                                           -- Need ON
        typeA-SRS-TPC-PDCCH-Group-r14 CHOICE {
                                             NULL,
            release
                                             SEQUENCE (SIZE (1..32)) OF SRS-TPC-PDCCH-Config-r14
            setup
                                                                          OPTIONAL,
                                                                                           -- Need ON
        must-Config-r14
                                              CHOICE {
            release
                                                  NULL,
                                                  SEQUENCE {
            setup
                                                  ENUMERATED {11, 13},
                k-max-r14
                p-a-must-r14
                                                  ENUMERATED {
                                                      dB-6, dB-4dot77, dB-3, dB-1dot77,
                                                      dB0, dB1, dB2, dB3} OPTIONAL
                                                                                           -- Need ON
            }
                                                                      OPTIONAL,
                                                                                       -- Need ON
        pusch-EnhancementsConfig-r14 PUSCH-EnhancementsConfig-r14
                                                                                  OPTIONAL, -- Need
ON
        ce-pdsch-pusch-EnhancementConfig-r14
                                                     ENUMERATED {on} OPTIONAL,
                                                                                   -- Need OR
        antennaInfo-v1430 AntennaInfoDedicated-v1430 OPTIONAL, -- Need ON pucch-ConfigDedicated-v1430 PUCCH-ConfigDedicated-v1430 OPTIONAL, -- Need ON pdsch-ConfigDedicated-v1430 PDSCH-ConfigDedicated-v1430 OPTIONAL, -- Need ON pusch-ConfigDedicated-v1430 OPTIONAL, -- Need ON pusch-ConfigDedicated-v1430 OPTIONAL, -- Need ON
                                                                                           -- Need ON
        soundingRS-UL-PeriodicConfigDedicatedList-r14
                                                                 SEQUENCE (SIZE (1..2)) OF
SoundingRS-UL-ConfigDedicated OPTIONAL, -- Cond PeriodicSRSPCell
        soundingRS-UL-PeriodicConfigDedicatedUpPTsExtList-r14 SEQUENCE (SIZE (1..4)) OF
SoundingRS-UL-ConfigDedicatedUpPTsExt-r13 OPTIONAL, -- Cond PeriodicSRSExt soundingRS-UL-AperiodicConfigDedicatedList-r14 SEQUENCE (SIZE (1..2)) OF SoundingRS-UL-ConfigDedicatedAperiodic-r10 OPTIONAL, -- Cond AperiodicSRS
        soundingRS-UL-ConfigDedicatedApUpPTsExtList-r14 SEQUENCE (SIZE (1..4)) OF SoundingRS-UL-
ConfigDedicatedAperiodicUpPTsExt-r13 OPTIONAL,
                                                      -- Cond AperiodicSRSExt
        csi-RS-ConfigZP-ApList-r14 CSI-RS-Config-v1430
                                                                   OPTIONAL,
                                                                                           -- Need ON
                                                 CSI-RS-ConfigZP-ApList-r14 OPTIONAL, -- Need ON
        cqi-ReportConfig-v1430
                                                  CQI-ReportConfig-v1430 OPTIONAL, -- Need ON
        semiOpenLoop-r14
                                                  BOOLEAN
                                                                          OPTIONAL
                                                                                       -- Need ON
    11.
    [[ csi-RS-Config-v1480
                                             CSI-RS-Config-v1480
                                                                          OPTIONAL
                                                                                           -- Need ON
    [[ physicalConfigDedicatedSTTI-r15 PhysicalConfigDedicatedSTTI-r15 OPTIONAL,-- Need ON
        pdsch-ConfigDedicated-v1530
                                             PDSCH-ConfigDedicated-v1530 OPTIONAL,-- Need ON
                                             PUSCH-ConfigDedicated-v1530
        pusch-ConfigDedicated-v1530
                                                                               OPTIONAL, -- Need ON
                                             CQI-ReportConfig-v1530 OPTIONAL, -- Need ON AntennaInfoDedicated-v1530 OPTIONAL, -- Need ON
        cqi-ReportConfig-v1530
                                             CQI-ReportConfig-v1530
        antennaInfo-v1530
                                                                              OPTIONAL, -- Need ON
        csi-RS-Config-v1530
                                             CSI-RS-Config-v1530
        uplinkPowerControlDedicated-v1530
                                    UplinkPowerControlDedicated-v1530 OPTIONAL,
                                                                                           -- Need ON
        semiStaticCFI-Config-r15
                                      CHOICE {
            release
                                             CHOICE {
            setup
                cfi-Config-r15
                                                 CFI-Config-r15,
                cfi-PatternConfig-r15
                                                  CFI-PatternConfig-r15
                                                                  OPTIONAL,
                                                                                -- Need ON
                                             CHOICE {
        blindPDSCH-Repetition-Config-r15
            release
                                             NULL,
                                             SEQUENCE {
                blindSubframePDSCH-Repetitions-r15
                                                          BOOLEAN,
                blindSlotSubslotPDSCH-Repetitions-r15
                                                         BOOLEAN.
                maxNumber-SubframePDSCH-Repetitions-r15 ENUMERATED {n4,n6} OPTIONAL, -- Need ON
                maxNumber-SlotSubslotPDSCH-Repetitions-r15 ENUMERATED {n4,n6}
Need ON
                rv-SubframePDSCH-Repetitions-r15 ENUMERATED {dlrvseq1, dlrvseq2} OPTIONAL, --
Need ON
                rv-SlotsublotPDSCH-Repetitions-r15 ENUMERATED {dlrvseq1, dlrvseq2} OPTIONAL, --
Need ON
                numberOfProcesses-SubframePDSCH-Repetitions-r15 INTEGER(1..16) OPTIONAL, -- Need ON
                Need ON
                mcs-restrictionSubframePDSCH-Repetitions-r15 ENUMERATED {n0, n1} OPTIONAL, --
Need ON
                mcs-restrictionSlotSubslotPDSCH-Repetitions-r15 ENUMERATED {n0, n1} OPTIONAL -- Need
ON
```

```
OPTIONAL
                                                                               -- Need ON
    ]],
    [[ spucch-Config-v1550
                                        SPUCCH-Config-v1550
                                                                     OPTIONAL -- Need ON
    ]],
    pdsch-ConfigDedicated-v1610 PDSCH-ConfigDedicated-v1610 OPTIONAL, -- Need ON pusch-ConfigDedicated-v1610 PUSCH-ConfigDedicated-v1610 OPTIONAL, -- Need ON ce-CSI-RS-Feedback-r16 ENUMERATED {enabled} OPTIONAL, -- Need OR
        resourceReservationConfigDedicatedDL-r16 SetupRelease
{ResourceReservationConfigDedicatedDL-r16}
                                                OPTIONAL, -- Need ON
       resourceReservationConfigDedicatedUL-r16 SetupRelease
{ResourceReservationConfigDedicatedUL-r16} OPTIONAL, -- Need ON soundingRS-IUL-ConfigDedicatedAdd-r16 SetupRelease {SoundingRS-IUL-ConfigDedicatedAdd-r16
        soundingRS-UL-ConfigDedicatedAdd-r16
                                                SetupRelease {SoundingRS-UL-ConfigDedicatedAdd-
r16}
                                                                           OPTIONAL, -- Need ON
        uplinkPowerControlAddSRS-r16 SetupRelease {UplinkPowerControlAddSRS-r16} OPTIONAL, --
Need ON
        soundingRS-VirtualCellID-r16 SetupRelease {SoundingRS-VirtualCellID-r16} OPTIONAL, --
Need ON
        widebandPRG-r16
                                        SetupRelease {WidebandPRG-r16}
                                                                                       OPTIONAL --
Need ON
   ]],
    [[ pdsch-ConfigDedicated-v1700 PDSCH-ConfigDedicated-v1700 OPTIONAL, -- Need ON
        ntn-ConfigDedicated-r17
pucch-TxDuration-r17
                                         SEQUENCE {
                                         SetupRelease {PUCCH-TxDuration-r17} OPTIONAL, -- Need ON
            pusch-TxDuration-r17
                                             SetupRelease {PUSCH-TxDuration-r17} OPTIONAL -- Need ON
        } OPTIONAL --Cond NTN
    ]],
    [ [
    uplinkSegmentedPrecompensationGap-r17 ENUMERATED {sym1,sl1,sf1} OPTIONAL -- Need OR
}
PhysicalConfigDedicated-v1370 ::= SEQUENCE {
   pucch-ConfigDedicated-v1370 PUCCH-ConfigDedicated-v1370 OPTIONAL
                                                                                          -- Cond
PUCCH-Format4or5
PhysicalConfigDedicated-v13c0 ::= SEQUENCE {
   pucch-ConfigDedicated-v13c0 PUCCH-ConfigDedicated-v13c0
PhysicalConfigDedicatedSCell-r10 ::= SEQUENCE {
    -- DL configuration as well as configuration applicable for DL and UL
    nonUL-Configuration-r10
                                             SEOUENCE {
        antennaInfo-r10
                                             AntennaInfoDedicated-r10 OPTIONAL,
        crossCarrierSchedulingConfig-r10
                             CrossCarrierSchedulingConfig-rl0 OPTIONAL, CSI-RS-Config-rl0 OPTIONAL,
                                                                                           -- Need ON
       pdsch-ConfigDedicated-r10
                                                                                           -- Need ON
                                                 PDSCH-ConfigDedicated OPTIONAL
                                                                                           -- Need ON
                                                                          OPTIONAL, -- Cond SCellAdd
    }
    -- UL configuration
    ul-Configuration-r10
                                             SEOUENCE {
                                                AntennaInfoUL-r10 OPTIONAL,
        antennaInfoUL-r10
                                                                                        -- Need ON
        pusch-ConfigDedicatedSCell-r10
                                PUSCH-ConfigDedicatedSCell-r10
                                                                    OPTIONAL, -- Cond PUSCH-SCell1
        uplinkPowerControlDedicatedSCell-r10
                                             ControlDedicatedSCell-r10 OPTIONAL, CQI-ReportConfigSCell-r10 OPTIONAL,
                                UplinkPowerControlDedicatedSCell-r10
                                                                                           -- Need ON
        cqi-ReportConfigSCell-r10
                                                                                           -- Need ON
        soundingRS-UL-ConfigDedicated-r10
                                         SoundingRS-UL-ConfigDedicated OPTIONAL,
                                                                                           -- Need ON
        \verb|soundingRS-UL-ConfigDedicated-v1020|\\
                                     SoundingRS-UL-ConfigDedicated-v1020 OPTIONAL,
                                                                                           -- Need ON
        soundingRS-UL-ConfigDedicatedAperiodic-r10
                             SoundingRS-UL-ConfigDedicatedAperiodic-r10 OPTIONAL
                                                                                       -- Need ON
    }
                                                                           OPTIONAL, -- Cond CommonUL
    [[ -- DL configuration as well as configuration applicable for DL and UL
        csi-RS-ConfigNZPToReleaseList-r11
                                     CSI-RS-ConfigNZPToReleaseList-r11 OPTIONAL,
                                                                                           -- Need ON
        csi-RS-ConfigNZPToAddModList-r11
                                     CSI-RS-ConfigNZPToAddModList-r11
                                                                           OPTIONAL,
                                                                                           -- Need ON
        csi-RS-ConfigZPToReleaseList-r11
                                     CSI-RS-ConfigZPToReleaseList-r11 OPTIONAL,
                                                                                           -- Need ON
        csi-RS-ConfigZPToAddModList-r11
                                         CSI-RS-ConfigZPToAddModList-r11 OPTIONAL,
                                                                                           -- Need ON
        epdcch-Config-r11
                                             EPDCCH-Config-r11
                                                                   OPTIONAL,
                                                                                           -- Need ON
```

```
pdsch-ConfigDedicated-v1130 PDSCH-ConfigDedicated-v1130 OPTIONAL,
                                                                                    -- Need ON
    -- UL configuration
       cgi-ReportConfig-v1130
                                        CQI-ReportConfig-v1130
                                                                    OPTIONAL,
                                                                                    -- Need ON
       pusch-ConfigDedicated-v1130
                                  PUSCH-ConfigDedicated-v1130 OPTIONAL, -- Cond PUSCH-SCell1
       uplinkPowerControlDedicatedSCell-v1130
                                  UplinkPowerControlDedicated-v1130 OPTIONAL
                                                                                     -- Need ON
   [[ antennaInfo-v1250
                                          AntennaInfoDedicated-v1250 OPTIONAL,
                                                                                     -- Need ON
       eimta-MainConfigSCell-r12
                                                                                     -- Need ON
                                                                                     -- Need ON
       {\tt uplinkPowerControlDedicatedSCell-v1250}
                                 UplinkPowerControlDedicated-v1250 OPTIONAL,
                                                                                     -- Need ON
       csi-RS-Config-v1250
                                          CSI-RS-Config-v1250
                                                                     OPTIONAL
                                                                                     -- Need ON
   11,
   [[ pdsch-ConfigDedicated-v1280
                                          PDSCH-ConfigDedicated-v1280 OPTIONAL
                                                                                    -- Need ON
   [[ pucch-Cell-r13
                                          ENUMERATED {true}
                                                              OPTIONAL, -- Cond PUCCH-SCell1
       pucch-SCell
                                          CHOICE {
           release
                                              NULL.
           setup
                                              SEOUENCE {
               pucch-ConfigDedicated-r13
                                          PUCCH-ConfigDedicated-r13 OPTIONAL,
                                                                                     -- Need ON
               schedulingRequestConfig-r13
                                  SchedulingRequestConfigSCell-r13
                                                                     OPTIONAL,
                                                                                     -- Need ON
               tpc-PDCCH-ConfigPUCCH-SCell-r13
                                          TPC-PDCCH-ConfigSCell-r13 OPTIONAL,
               pusch-ConfigDedicated-r13
                                      PUSCH-ConfigDedicated-r13 OPTIONAL, -- Cond PUSCH-SCell
               uplinkPowerControlDedicated-r13
                             UplinkPowerControlDedicatedSCell-v1310 OPTIONAL
           }
                                                                     OPTIONAL. -- Need ON
       crossCarrierSchedulingConfig-r13
                      CrossCarrierSchedulingConfig-r13 OPTIONAL,
                                                                      -- Cond Cross-Carrier-Config
                              PDCCH-ConfigSCell-r13 OPTIONAL, -- Need ON
       pdcch-ConfigSCell-r13
       cqi-ReportConfig-v1310
                                          CQI-ReportConfig-v1310
                                                                     OPTIONAL,
                                                                                     -- Need ON
                                         PDSCH-ConfigDedicated-v1310 OPTIONAL,
       pdsch-ConfigDedicated-v1310
                                                                                     -- Need ON
       soundingRS-UL-ConfigDedicated-v1310
                              SoundingRS-UL-ConfigDedicated-v1310
                                                                   OPTIONAL,
                                                                                     -- Need ON
       soundingRS-UL-ConfigDedicatedUpPTsExt-r13
                           SoundingRS-UL-ConfigDedicatedUpPTsExt-r13 OPTIONAL,
                                                                                     -- Need ON
       sounding RS-UL-Config Dedicated {\tt Aperiodic-v1310}
                      SoundingRS-UL-ConfigDedicatedAperiodic-v1310 OPTIONAL,
       soundingRS-UL-ConfigDedicatedAperiodicUpPTsExt-r13
                  SoundingRS-UL-ConfigDedicatedAperiodicUpPTsExt-r13 OPTIONAL,
                                                                                    -- Need ON
       csi-RS-Config-v1310 CSI-RS-Config-v1310 OPTIONAL, laa-SCellConfiguration-r13 LAA-SCellConfiguration-r13 OPTIONAL,
                                                                                     -- Need ON
                                                                                    -- Need ON
       csi-RS-ConfigNZPToAddModListExt-r13 CSI-RS-ConfigNZPToAddModListExt-r13 OPTIONAL, -- Need
ON
       Need ON
      cqi-ReportConfig-v1320
                                          CQI-ReportConfig-v1320 OPTIONAL
   [ [
                                                                                 -- Need ON
      laa-SCellConfiguration-v1430
                                         LAA-SCellConfiguration-v1430
                                                                     OPTIONAL,
       typeB-SRS-TPC-PDCCH-Config-r14
                                          SRS-TPC-PDCCH-Config-r14 OPTIONAL,
                                                                                 -- Need ON
       uplinkPUSCH-LessPowerControlDedicated-v1430
                                                   UplinkPUSCH-LessPowerControlDedicated-v1430
OPTIONAL,
              -- Need ON
      soundingRS-UL-PeriodicConfigDedicatedList-r14
                                                                     SEQUENCE (SIZE (1..2)) OF
       gRS-UL-ConfigDedicated OPTIONAL, soundingRS-UL-PeriodicConfigDedicatedUpPTsExtList-r14
                                                                 -- Cond PeriodicSRS
SoundingRS-UL-ConfigDedicated
                                                                            SEQUENCE (SIZE
                                                                                        -- Cond
(1..4)) OF SoundingRS-UL-ConfigDedicatedUpPTsExt-r13
                                                                         OPTIONAL,
PeriodicSRSExt
       soundingRS-UL-AperiodicConfigDedicatedList-r14
                                                                     SEQUENCE (SIZE (1..2)) OF
                                             OPTIONAL, -- Cond AperiodicSRS

Tigt-r14 SEQUENCE (SIZE (1..4)) OF
SoundingRS-AperiodicSet-r14
       gRS-AperiodicSet-r14 SEQUENCE (Sequence) soundingRS-UL-ConfigDedicatedApUpPTsExtList-r14 SEQUENCE (Sequence) soundingRS-UL-ConfigDedicatedApUpPTsExtList-r14 -- Cond AperiodicSRSExt
SoundingRS-AperiodicSetUpPTsExt-r14 OPTIONAL,
       must-Config-r14
                                          CHOICE {
          release
                                              NULL,
                                              SEQUENCE {
           setup
                                              ENUMERATED {11, 13},
               k-max-r14
              p-a-must-r14
                                                  dB-6, dB-4dot77, dB-3, dB-1dot77,
                                                  dB0, dB1, dB2, dB3} OPTIONAL
                                                                                   -- Need ON
```

```
- Need ON
                                                                   OPTIONAL,
       pusch-ConfigDedicated-v1430
                                         PUSCH-ConfigDedicatedSCell-v1430
                                                                              OPTIONAL, -- Need
ON
        csi-RS-Config-v1430
                                               CSI-RS-Config-v1430
                                                                          OPTIONAL,
                                                                                      -- Need ON
       csi-RS-ConfigZP-ApList-r14
                                               CSI-RS-ConfigZP-ApList-r14
                                                                             OPTIONAL,
ON
       cqi-ReportConfig-v1430
                                               CQI-ReportConfig-v1430 OPTIONAL, -- Need ON
        semiOpenLoop-r14
                                               BOOLEAN
                                                                          OPTIONAL, -- Need ON
       pdsch-ConfigDedicatedSCell-v1430
                                               PDSCH-ConfigDedicatedSCell-v1430
                                                                                      OPTIONAL
    -- Need ON
    ]],
    11
       csi-RS-Config-v1480
                                           CSI-RS-Config-v1480
                                                                          OPTIONAL
                                                                                      -- Need ON
    ]],
    [[ physicalConfigDedicatedSTTI-r15
                                           PhysicalConfigDedicatedSTTI-r15 OPTIONAL,
                                                                                       -- Need ON
                                          PDSCH-ConfigDedicated-v1530 OPTIONAL,
                                                                                      -- Need ON
       pdsch-ConfigDedicated-v1530
                                                                          OPTIONAL,
                                           CQI-ReportConfig-v1530
                                                                                      -- Need ON
        dummy
        cqi-ReportConfigSCell-r15
                                           CQI-ReportConfigSCell-r15
                                                                          OPTIONAL,
                                                                                      -- Need ON
                                                                          OPTIONAL,
                                                                                      -- Need ON
        cqi-ShortConfigSCell-r15
                                           CQI-ShortConfigSCell-r15
        csi-RS-Config-v1530
                                           CSI-RS-Config-v1530
                                                                          OPTIONAL,
                                                                                      -- Need ON
    uplinkPowerControlDedicatedSCell-v1530
                                  UplinkPowerControlDedicated-v1530 OPTIONAL,
                                                                                      -- Need ON
                                          LAA-SCellConfiguration-v1530 OPTIONAL, -- Need ON
        laa-SCellConfiguration-v1530
       pusch-ConfigDedicated-v1530
                                           PUSCH-ConfigDedicatedScell-v1530 OPTIONAL, -- Cond
ATIT.
                                       CHOICE {
        semiStaticCFI-Config-r15
           release
                                          NULL,
           setup
                                           CHOICE {
                                               CFI-Config-r15,
               cfi-Config-r15
               cfi-PatternConfig-r15
                                               CFI-PatternConfig-r15
                                                               OPTIONAL,
                                                                            -- Need ON
       blindPDSCH-Repetition-Config-r15
                                           CHOICE {
           release
                                           NULL.
                                           SEQUENCE {
           setup
               blindSubframePDSCH-Repetitions-r15
                                                       BOOLEAN,
               blindSlotSubslotPDSCH-Repetitions-r15
                                                      BOOLEAN,
               maxNumber-SubframePDSCH-Repetitions-r15 ENUMERATED {n4,n6} OPTIONAL, -- Need ON
               maxNumber-SlotSubslotPDSCH-Repetitions-r15 ENUMERATED {n4,n6}
                                                                                 OPTIONAL, --
Need ON
               rv-SubframePDSCH-Repetitions-r15
                                                 ENUMERATED {dlrvseq1, dlrvseq2} OPTIONAL, --
Need ON
               rv-SlotsublotPDSCH-Repetitions-r15 ENUMERATED {dlrvseq1, dlrvseq2} OPTIONAL, --
Need ON
               numberOfProcesses-SubframePDSCH-Repetitions-r15 INTEGER(1..16) OPTIONAL, -- Need ON
               numberOfProcesses-SlotSubslotPDSCH-Repetitions-r15 INTEGER(1..16) OPTIONAL, --
Need ON
               mcs-restrictionSubframePDSCH-Repetitions-r15 ENUMERATED {n0, n1} OPTIONAL, --
Need ON
               mcs-restrictionSlotSubslotPDSCH-Repetitions-r15 ENUMERATED {n0, n1} OPTIONAL -- Need
ON
           }
                                                               OPTIONAL
                                                                           -- Need ON
    ]],
    [[ spucch-Config-v1550
                                      SPUCCH-Config-v1550
                                                                  OPTIONAL -- Need ON
    ]],
    [ [
       soundingRS-UL-ConfigDedicatedAdd-r16
                                                   SetupRelease {SoundingRS-UL-ConfigDedicatedAdd-
r16}
                                                               OPTIONAL, -- Need ON
       uplinkPowerControlAddSRS-r16
                                                   SetupRelease {UplinkPowerControlAddSRS-r16}
                                                               OPTIONAL, -- Need ON
        soundingRS-VirtualCellID-r16
                                                   SetupRelease {SoundingRS-VirtualCellID-r16}
                                                               OPTIONAL, -- Need ON
                                               SetupRelease {WidebandPRG-r16}
                                                                                      OPTIONAL --
       widebandPRG-r16
Need ON
    ]]
PhysicalConfigDedicatedSCell-v1370 ::= SEQUENCE {
   pucch-SCell-v1370
                                   CHOICE {
       release
                                       SEQUENCE {
           pucch-ConfigDedicated-v1370
                                          PUCCH-ConfigDedicated-v1370
                                                                         OPTIONAL
                                                                                      -- Cond
PUCCH-Format4or5
       }
}
```

```
PhysicalConfigDedicatedSCell-v13c0 ::= SEQUENCE {
    pucch-SCell-v13c0
                                    CHOICE {
        release
                                       NULL.
        setup
                                        SEQUENCE {
           pucch-ConfigDedicated-v13c0
PUCCH-ConfigDedicated-v13c0
}
PhysicalConfigDedicatedSCell-v1730 ::= SEQUENCE {
   cqi-ReportPeriodicSCell-v1730
                                      SetupRelease {CQI-ReportPeriodicSCell-v1730}
CFI-Config-r15 ::= SEQUENCE {
   cfi-SubframeNonMBSFN-r15
                                    INTEGER (1..4)
                                                                        OPTIONAL,
                                                                                      -- Need ON
    cfi-SlotSubslotNonMBSFN-r15 INTEGER (1..3)
                                                                   OPTIONAL, -- Need ON
                                                                       OPTIONAL,
    cfi-SubframeMBSFN-r15
                                   INTEGER (1..2)
                                                                                     -- Need ON
                                                                                     -- Need ON
    cfi-SlotSubslotMBSFN-r15
                                   INTEGER (1..2)
                                                                        OPTIONAL
}
CFI-PatternConfig-r15 ::= SEQUENCE {
    cfi-PatternSubframe-r15 SEQUENCE (SIZE(10)) OF INTEGER (1..4) OPTIONAL, cfi-PatternSlotSubslot-r15 SEQUENCE (SIZE(10)) OF INTEGER (1..3) OPTIONAL
                                                                                     -- Need ON
                                                                                     -- Need ON
}
LAA-SCellConfiguration-r13 ::=
                                       SEQUENCE {
    subframeStartPosition-r13
                                           ENUMERATED {s0, s07},
    laa-SCellSubframeConfig-r13
                                            BIT STRING (SIZE(8))
LAA-SCellConfiguration-v1430 ::=
                                        SEQUENCE {
    crossCarrierSchedulingConfig-UL-r14 CHOICE {
                                                NULL,
        release
                                                SEOUENCE {
        setup
            crossCarrierSchedulingConfigLAA-UL-r14
                                                       CrossCarrierSchedulingConfigLAA-UL-r14
                                                       OPTIONAL, -- Cond Cross-Carrier-ConfigUL
fig-r14 OPTIONAL -- Need ON
                                                                       OPTIONAL,
    1bt-Config-r14
                                                LBT-Config-r14
                                                                                        -- Need ON
    pdcch-ConfigLAA-r14
                                                PDCCH-ConfigLAA-r14 OPTIONAL,
                                                                                    -- Need ON
    absenceOfAnyOtherTechnology-r14
                                           ENUMERATED {true}
                                                                  OPTIONAL,
                                                                                   -- Need OR
    soundingRS-UL-ConfigDedicatedAperiodic-v1430
                        SoundingRS-UL-ConfigDedicatedAperiodic-v1430 OPTIONAL
                                                                                        -- Need ON
LAA-SCellConfiguration-v1530 ::= SEQUENCE {
    aul-Config-r15
                                            AUL-Config-r15 OPTIONAL,
                                                                                -- Need ON
                                            PUSCH-ModeConfigLAA-r15 OPTIONAL -- Need OR
    pusch-ModeConfigLAA-r15
PUSCH-ModeConfigLAA-r15 ::=
                                   SEQUENCE {
        laa-PUSCH-Model BOOLEAN,
        laa-PUSCH-Mode2 BOOLEAN,
        laa-PUSCH-Mode3 BOOLEAN
}
LBT-Config-r14 ::=
                       CHOICE {
    maxEnergyDetectionThreshold-r14
                                               INTEGER(-85..-52),
    energyDetectionThresholdOffset-r14
                                              INTEGER(-13..20)
}
CSI-RS-ConfigNZPToAddModList-r11 ::= SEQUENCE (SIZE (1..maxCSI-RS-NZP-r11)) OF CSI-RS-ConfigNZP-
CSI-RS-ConfigNZPToAddModListExt-r13 ::= SEQUENCE (SIZE (1..maxCSI-RS-NZP-v1310)) OF CSI-RS-
ConfigNZP-r11
CSI-RS-ConfigNZPToAddModList-r15 ::= SEQUENCE (SIZE (1..maxCSI-RS-NZP-r13)) OF CSI-RS-ConfigNZP-
r11
CSI-RS-ConfigNZPToReleaseList-r11 ::= SEQUENCE (SIZE (1..maxCSI-RS-NZP-r11)) OF CSI-RS-
ConfigNZPId-r11
CSI-RS-ConfigNZPToReleaseListExt-r13 ::= SEQUENCE (SIZE (1..maxCSI-RS-NZP-v1310)) OF CSI-RS-
ConfigNZPId-v1310
CSI-RS-ConfigNZPToReleaseList-r15 ::= SEQUENCE (SIZE (1..maxCSI-RS-NZP-r13)) OF CSI-RS-
ConfigNZPId-r13
```

```
CSI-RS-ConfiqZPToAddModList-r11 ::= SEQUENCE (SIZE (1..maxCSI-RS-ZP-r11)) OF CSI-RS-ConfiqZP-r11
CSI-RS-ConfigZPToReleaseList-r11 ::= SEQUENCE (SIZE (1..maxCSI-RS-ZP-r11)) OF CSI-RS-ConfigZPId-
PhysicalConfigDedicatedSTTI-r15 ::= CHOICE {
   release
                           NULL.
                            SEQUENCE {
    setup
        antennaInfoDedicatedSTTI-r15
                                            AntennaInfoDedicatedSTTI-r15
                                                                                OPTIONAL, -- Need ON
                                                                                OPTIONAL, -- Need ON
        antennaInfoUL-STTI-r15
                                           AntennaInfoUL-STTI-r15
        antennaInfoUL-STTI-r15
pucch-ConfigDedicated-v1530
        pucch-ConfigDedicated-v1530 PUCCH-ConfigDedicated-v1530 schedulingRequestConfig-v1530 SchedulingRequestConfig-v1530
                                                                                OPTIONAL, -- Need ON
                                                                                OPTIONAL, -- Need ON
        uplinkPowerControlDedicatedSTTI-r15 UplinkPowerControlDedicatedSTTI-r15 OPTIONAL,
ON
        cgi-ReportConfig-r15
                                            CQI-ReportConfig-r15
                                                                                OPTIONAL, -- Need ON
                                            CSI-RS-Config-r15
                                                                                OPTIONAL, -- Need ON
        csi-RS-Config-r15
                                                                                OPTIONAL, -- Need ON
        csi-RS-ConfigNZPToReleaseList-r15
                                            CSI-RS-ConfigNZPToReleaseList-r15
                                                                                OPTIONAL, -- Need ON
        csi-RS-ConfigNZPToAddModList-r15
                                            CSI-RS-ConfigNZPToAddModList-r15
                                            CSI-RS-ConfigZPToReleaseList-r11
        csi-RS-ConfigZPToReleaseList-r15
                                                                                OPTIONAL, -- Need ON
                                                                                OPTIONAL, -- Need ON
        csi-RS-ConfigZPToAddModList-r11
                                            CSI-RS-ConfigZPToAddModList-r11
                                                                                OPTIONAL, -- Need ON
        csi-RS-ConfigZP-ApList-r15
                                            CSI-RS-ConfigZP-ApList-r14
        eimta-MainConfig-r12
                                            EIMTA-MainConfig-r12
                                                                                OPTIONAL, -- Need ON
                                                                                OPTIONAL, -- Need ON
        eimta-MainConfigServCell-r15
                                            EIMTA-MainConfigServCell-r12
                                            BOOLEAN.
        semiOpenLoopSTTI-r15
        slotOrSubslotPDSCH-Config-r15
                                            SlotOrSubslotPDSCH-Config-r15
                                                                                OPTIONAL, -- Need ON
        slotOrSubslotPUSCH-Config-r15
                                           SlotOrSubslotPUSCH-Config-r15
                                                                                OPTIONAL, -- Need ON
                                                                                OPTIONAL, -- Need ON
        spdcch-Config-r15
                                            SPDCCH-Config-r15
                                                                                OPTIONAL, -- Need ON
                                            SPUCCH-Config-r15
        spucch-Config-r15
        srs-DCI7-TriggeringConfig-r15
                                            BOOLEAN.
        shortProcessingTime-r15
                                            BOOLEAN.
                                            ShortTTI-r15
        shortTTI-r15
                                                                               OPTIONAL -- Need ON
    }
}
SoundingRS-AperiodicSet-r14 ::= SEQUENCE{
   srs-CC-SetIndexList-r14
                                SEQUENCE (SIZE (1..4)) OF SRS-CC-SetIndex-r14
                                                            OPTIONAL, -- Cond SRS-Trigger-TypeA
    soundingRS-UL-ConfigDedicatedAperiodic-r14
                                                SoundingRS-UL-ConfigDedicatedAperiodic-r10
}
SoundingRS-AperiodicSetUpPTsExt-r14 ::= SEQUENCE{
   srs-CC-SetIndexList-r14
                                SEQUENCE (SIZE (1..4)) OF SRS-CC-SetIndex-r14
                                                            OPTIONAL, -- Cond SRS-Trigger-TypeA
    sounding RS-UL-Config Dedicated {\tt Aperiodic UpPTsExt-r14}
                                            SoundingRS-UL-ConfigDedicatedAperiodicUpPTsExt-r13
}
ShortTTI-r15 ::=
                                    SEQUENCE {
                                       ShortTTI-Length-r15
   dl-STTI-Length-r15
                                                                    OPTIONAL,
                                                                                -- Need OR
    ul-STTI-Length-r15
                                        ShortTTI-Length-r15
                                                                    OPTIONAL
                                                                                -- Need OR
}
ShortTTI-Length-r15 ::=
                                       ENUMERATED {slot, subslot}
SoundingRS-VirtualCellID-r16 ::=
                                            SEOUENCE {
                                               INTEGER (0..503),
    srs-VirtualCellTD-r16
    srs-VirtualCellID-AllSRS-r16
                                                BOOLEAN
WidebandPRG-r16 ::= SEQUENCE {
    widebandPRG-Subframe-r16
                                            BOOLEAN.
    widebandPRG-SlotSubslot-r16
                                      BOOLEAN
}
ResourceReservationConfigDedicatedDL-r16 ::= SEQUENCE {
   resourceReservationDedicatedDL-r16
                                                ResourceReservationConfigDL-r16 OPTIONAL -- Need OP
}
ResourceReservationConfigDedicatedUL-r16 ::= SEQUENCE {
    resourceReservationDedicatedUL-r16
                                                ResourceReservationConfigUL-r16 OPTIONAL -- Need OP
-- ASN1STOP
```

### absenceOfAnyOtherTechnology

Presence of this field indicates absence on a long term basis (e.g. by level of regulation) of any other technology sharing the carrier; absence of this field indicates the potential presence of any other technology sharing the carrier, as specified in TS 37.213 [94].

### additionalSpectrumEmissionPCell

E-UTRAN does not configure this field in this release of the specification.

### antennalnfo

A choice is used to indicate whether the *antennalnfo* is signalled explicitly or set to the default antenna configuration as specified in clause 9.2.4.

# blindSlotSubslotPDSCH-Repetitions

Enables HARQ-less/blind slot or subslot PDSCH repetitions for a UE in a given cell, i.e. back to back slot/subslot PDSCH transmissions for the same transport block. The number of slot/subslot PDSCH transmissions is indicated in the DCI.

# blindSubframePDSCH-Repetitions

Enables HARQ-less/blind subframe PDSCH repetitions for a UE in a given cell, i.e. back to back PDSCH transmissions for the same transport block. The number of PDSCH transmissions is indicated in the DCI.

### ce-CSI-RS-Feedback

Indicates whether CSI-RS-based CSI feedback is enabled for non-BL UE in CE mode A, see TS 36.213 [23], clause 7.2.2.

### ce-Mode

Indicates the CE mode as specified in TS 36.213 [23].

### ce-pdsch-pusch-Enhancement-Config

Activation of new numbers of repetitions for PUSCH and modulation restrictions for PDSCH/PUSCH in CE mode A, see TS 36.212 [22] and TS 36.213 [23].

# cfi-SlotSubslotNonMBSFN

Indicates the semi-static control format indicator for slot/subslot operation in non-MBSFN subframes.

### cfi-SlotSubslotMBSFN

Indicates the semi-static control format indicator for slot/subslot operation in MBSFN subframes.

### cfi-SubframeMBSFN

Indicates the semi-static control format indicator for subframe operation in MBSFN subframes.

### cfi-SubframeNonMBSFN

Indicates the semi-static control format indicator for subframe operation in non-MBSFN subframes.

### cgi-ShortConfigSCell

Indicates whether the CSI (CQI/PMI/RI/PTI/CRI) reporting resource configured by *cqi-ShortConfigSCell* is available upon receiving the SCell activation command for this SCell. E-UTRAN only configures this field when transmission mode 1-8 is configured for the serving cell on this carrier frequency.

# csi-RS-Config

For a serving frequency E-UTRAN does not configure *csi-RS-Config* (includes *zeroTxPowerCSI-RS*) when transmission mode 10 is configured for the serving cell on this carrier frequency.

# csi-RS-ConfigNZPToAddModList

For a serving frequency E-UTRAN configures one or more *CSI-RS-ConfigNZP* only when transmission mode 9 or 10 is configured for the serving cell on this carrier frequency. For a serving frequency, EUTRAN configures a maximum number of *CSI-RS-ConfigNZP* in accordance with transmission mode (including CSI processes), eMIMO (including class) and associated UE capabilities (e.g. k-Max, n-MaxList).

### csi-RS-ConfigZP-ApList

The aperiodic ZP CSI-RS for PDSCH rate matching. The field *subframeConfig* is applicable to semi-persistent CSI RS reporting. In other cases, the UE shall ignore field *subframeConfig*.

# csi-RS-ConfigZPToAddModList

For a serving frequency E-UTRAN configures one or more *CSI-RS-ConfigZP* only when transmission mode 10 is configured for the serving cell on this carrier frequency.

# dl-STTI-Length, ul-STTI-Length

Indicates the DL and UL short TTI lengths. Value slot corresponds to 7 OFDM symbols and value subslot corresponds to 2 or 3 OFDM symbols. E-UTRAN configures the same value for all serving cells sending PUCCH feedback on the same cell. If one SCell is configured with short TTI in the group of cells configured to send PUCCH on the same cell, the cell carrying PUCCH shall be configured with short TTI. E-UTRAN can configure different value of *dl-STTI-Length* and *ul-STTI-Length* for serving cells sending PUCCH feedback on different cells. E-UTRAN does not configure the combination {slot,subslot} for {DL,UL}.

# dummv

This field is not used in the specification. If received it shall be ignored by the UE.

# eimta-MainConfigPCell, eimta-MainConfigSCell

If E-UTRAN configures eimta-MainConfigPCell or eimta-MainConfigSCell for one serving cell in a frequency band, E-UTRAN configures eimta-MainConfigPCell or eimta-MainConfigSCell for all serving cells residing on the frequency band. E-UTRAN configures eimta-MainConfigPCell or eimta-MainConfigSCell only if eimta-MainConfig is configured.

# energyDetectionThresholdOffset

Indicates the offset to the default maximum energy detection threshold value. Unit in dB. Value -13 corresponds to -13dB, value -12 corresponds to -12dB, and so on (i.e. in steps of 1dB) as specified in TS 37.213 [94].

### epdcch-Config

indicates the *EPDCCH-Config* for the cell. E-UTRAN does not configure *EPDCCH-Config* for an SCell that is configured with value *other* for *schedulingCellInfo* in *CrossCarrierSchedulingConfig*.

### k-max

Indicates the maximum number of interfering spatial layers signaled in the assistance information for MUST. Value I1 corresponds to 1 layer, Value I3 corresponds to 3 layers.

# laa-PUSCH-Mode1, laa-PUSCH-Mode2, laa-PUSCH-Mode3

Indicates whether LAA PUSCH mode 1, 2 and/or 3 is configured as specified in TS 36.212 [22], clause 5.3.3.1.

# laa-SCellSubframeConfig

A bit-map indicating LAA SCell subframe configuration, "1" denotes that the corresponding subframe is allocated as MBSFN subframe. The bitmap is interpreted as follows:

Starting from the first/leftmost bit in the bitmap, the allocation applies to subframes #1, #2, #3, #4, #6, #7, #8, and #9.

# maxEnergyDetectionThreshold

Indicates the absolute maximum energy detection threshold value. Unit in dBm. Value -85 corresponds to -85 dBm, value -84 corresponds to -84 dBm, and so on (i.e. in steps of 1dBm) as specified in TS 36.213 [23]. If the field is not configured, the UE shall use a default maximum energy detection threshold value as specified in TS 37.213 [94].

### maxNumber-SlotSubslotPDSCH-Repetitions

Indicates the maximum number of PDSCH transmissions for slot or subslot PDSCH repetitions.

# maxNumber-SubframePDSCH-Repetitions

Indicates the maximum number of PDSCH transmissions for subframe PDSCH repetitions.

# mcs-restrictionSlotSubslotPDSCH-Repetitions

Indicates the MCS restriction in terms of number of non-addressable MSB in the MCS bit-field for slot or subslot PDSCH repetition applicable when k > 1.

# mcs-restrictionSubframePDSCH-Repetitions

Indicates MCS restriction in terms of number of non-addressable MSB in the MCS bit-field for subframe PDSCH repetition applicable when k > 1.

# numberOfProcesses-SlotSubslotPDSCH-Repetitions

Indicates the number of HARQ processes for slot/subslot PDSCH repetition applicable when k > 1 configured per serving cell.

# numberOfProcesses-SubframePDSCH-Repetitions

Indicates the number of HARQ processes for subframe PDSCH repetition applicable when k > 1 configured per serving cell.

# p-a-must

Parameter:  $P_A$ , see TS 36.213 [23], clause 5.2. Value dB-6 corresponds to -6 dB, dB-4dot77 corresponds to -4.77 dB etc.

# pdsch-ConfigDedicated-v1130

For a serving frequency, E-UTRAN configures *pdsch-ConfigDedicated-v1130* only when transmission mode 10 is configured for the serving cell on this carrier frequency.

# pdsch-ConfigDedicated-v1280

For a serving frequency, E-UTRAN configures *pdsch-ConfigDedicated-v1280* only when transmission mode 9 or 10 is configured for the serving cell on this carrier frequency.

# pucch-Cell

If present, PUCCH feedback of this SCell is sent on the PUCCH SCell. If absent, PUCCH feedback of this SCell is sent on PCell or PSCell, or if the cell concerns the PUCCH SCell, on the concerned cell. If this field is not modified upon change of PUCCH SCell, the UE shall always send the PUCCH feedback of the concerned SCell using the configured PUCCH SCell.

# pucch-ConfigDedicated

E-UTRAN configures *pucch-ConfigDedicated-r13* only if *pucch-ConfigDedicated* (i.e., without suffix) is not configured. UE shall ignore *pucch-ConfigDedicated-v1020* when *pucch-ConfigDedicated-r13* is configured.

# pucch-SCell

If present, the concerned SCell is the PUCCH SCell. E-UTRAN only configures this field upon SCell addition i.e. this field is only released when the SCell is released. The field is not applicable for an LAA SCell in this release.

# pusch-ConfigDedicated-r13

E-UTRAN configures pusch-ConfigDedicated-r13 only if pusch-ConfigDedicated is not configured.

# pusch-ConfigDedicated-v1250

E-UTRAN configures pusch-ConfigDedicated-v1250 only if tpc-SubframeSet is configured.

# pusch-EnhancementsConfig

Indicates that the UE shall transmit in the PUSCH enhancement mode if *pusch-EnhancementsConfig* is set to *setup*, see TS 36.211 [21] and TS 36.213 [23].

# resourceReservationConfigDedicatedDL

Indicates whether the DL resource reservation is enabled for the UE, e.g. for NR coexistence. If the field is set to *setup* and *resourceReservationDedicatedDL* is not included, then *resourceReservationConfigCommonDL* in *SystemInformationBlockType29* applies.

### resourceReservationConfigDedicatedUL

Indicates whether the UL resource reservation is enabled for the UE, e.g. for NR coexistence. If the field is set to *setup* and *resourceReservationDedicatedUL* is not included, then *resourceReservationConfigCommonUL* in *SystemInformationBlockType29* applies.

### rv-SlotsublotPDSCH-Repetitions

Indicates the RV cycling sequence for slot or subslot PDSCH repetition. Value dlrvseq1 = {0, 0, 0, 0} and value dlrvseq2 = {0, 2, 3, 1}.

# rv-SubframePDSCH-Repetitions

Indicates the RV cycling sequence for subframe PDSCH repetition. Value dlrvseq1 = {0, 0, 0, 0} and value dlrvseq2 = {0, 2, 3, 1}.

# semiOpenLoop, semiOpenLoopSTTI

Value TRUE indicates that semi-open-loop transmission is used for deriving CSI reporting and corresponding PDSCH transmission (DMRS).

# shortProcessingTime

Indicates whether short processing time is configured as specific in TS 36.321 [6]. An SCell can only be configured with short processing if the cell carrying PUCCH for that SCell is configured with short processing time.

### soundingRS-UL-PeriodicConfigDedicatedList

Indicates periodic soundingRS configuration except for the extension sounding symbols of the UpPTs subframe. E-UTRAN configures this field in *PhysicalConfigDedicated* only for the UE indicating support of *ce-SRS-Enhancement-r14* or *ce-SRS-EnhancementWithoutComb4-r14*. E-UTRAN configures this field in *PhysicalConfigDedicatedSCell-r10* only for the UE indicating support of *srs-UpPTS-6sym-r14*.

# soundingRS-UL-PeriodicConfigDedicatedUpPTsExtList

Indicates periodic soundingRS configuration in extension sounding symbols of the UpPTs subframe. E-UTRAN configures this field in *PhysicalConfigDedicated* only for the UE indicating support of *ce-SRS-Enhancement-r14* or *ce-SRS-EnhancementWithoutComb4-r14*. E-UTRAN configures this field in *PhysicalConfigDedicatedSCell-r10* only for the UE indicating support of *srs-UpPTS-6sym-r14*.

# soundingRS-UL-AperiodicConfigDedicatedList

Indicates aperiodic soundingRS configuration except for the extension sounding symbols of the UpPTs subframe. E-UTRAN configures this field in *PhysicalConfigDedicated* only for the UE indicating support of *ce-SRS-Enhancement-r14* or *ce-SRS-EnhancementWithoutComb4-r14*. E-UTRAN configures this field in *PhysicalConfigDedicatedSCell-r10* only for the UE indicating support of *srs-UpPTS-6sym-r14*.

### soundingRS-UL-DedicatedApUpPTsExtList

Indicates aperiodic soundingRS configuration in extension sounding symbols of the UpPTs subframe. E-UTRAN configures this field in *PhysicalConfigDedicated* only for the UE indicating support of *ce-SRS-Enhancement-r14* or *ce-SRS-EnhancementWithoutComb4-r14*. E-UTRAN configures this field in *PhysicalConfigDedicatedSCell-r10* only for the UE indicating support of *srs-UpPTS-6sym-r14*.

# srs-CC-SetIndexList

Indicates the srs-CC-SetIndex list which the soundingRS-UL-ConfigDedicatedAperiodic and soundingRS-UL-ConfigDedicatedAperiodicUpPTsExt belongs to.

# srs-DCI7-TriggeringConfig

Indicates whether SRS triggering via DCI7 is configured.

### srs-VirtualCellID

Indicates the virtual cell ID for SRS.

# srs-VirtualCellID-AllSRS

Value TRUE indicates the configured virtual cell ID is applied to all SRS symbols. Value FALSE indicates the configured virtual cell ID is applied only to additional SRS symbols.

### subframeStartPosition

Indicates possible starting positions of transmission in the first subframe of the DL transmission burst, see TS 36.211 [21]. Value *s0* means the starting position is subframe boundary, *s07* means the starting position is either subframe boundary or slot boundary.

# tpc-PDCCH-ConfigPUCCH

PDCCH configuration for power control of PUCCH using format 3/3A, see TS 36.212 [22].

# tpc-PDCCH-ConfigPUSCH

PDCCH configuration for power control of PUSCH using format 3/3A, see TS 36.212 [22].

# typeA-SRS-TPC-PDCCH-Group

Indicates Type A trigger configuration for SRS transmission on a PUSCH-less SCell. E-UTRAN configures the UE with either *typeA-SRS-TPC-PDCCH-Group* or *typeB-SRS-TPC-PDCCH-Group*, if any.

# uplinkPowerControlDedicated

E-UTRAN configures *uplinkPowerControlDedicated-v1130* only if *uplinkPowerControlDedicated* (without suffix) is configured.

# uplinkPowerControlDedicatedSCell

E-UTRAN configures *uplinkPowerControlDedicatedSCell-v1130* only if *uplinkPowerControlDedicatedSCell-r10* is configured for this serving cell.

# uplinkSegmentedPrecompensationGap

Indicates the gap value between segments for PUSCH and PUCCH for TA pre-compensation. Value sym1 corresponds to 1 symbol, value sl1 corresponds to 1 slot, value sf1 corresponds to 1 subframe.

### widebandPRG-SlotSubslot

Indicates whether the precoding resource block group size is the whole scheduled bandwidth for slot or subslot PDSCH operation as specified in TS 36.213 [23].

# widebandPRG-Subframe

Indicates whether the precoding resource block group size is the whole scheduled bandwidth for subframe PDSCH operation as specified in TS 36.213 [23].

Conditional presence	Explanation
AI-r8	The field is optionally present, need ON, if antennalnfoDedicated-r10 is absent. Otherwise
	the field is not present
AI-r10	The field is optionally present, need ON, if antennalnfoDedicated is absent. Otherwise the
	field is not present
AperiodicSRS	If soundingRS-UL-ConfigDedicatedAperiodic-r10 is absent, the field is optional, Need ON.
	Otherwise the field is not present and the UE shall delete any existing value for this field.
AperiodicSRSExt	If soundingRS-UL-ConfigDedicatedAperiodicUpPTsExt-r13 is absent, the field is optional,
	Need ON. Otherwise the field is not present and the UE shall delete any existing value for
	this field.
AUL	The field is optionally present, need ON, if <i>aul-config-r15</i> is present. Otherwise the field is
	not present.
CommonUL	The field is mandatory present if <i>ul-Configuration</i> of <i>RadioResourceConfigCommonSCell-</i>
	r10 is present; otherwise it is optional, need ON.
CQI-r8	The field is optionally present, need ON, if cqi-ReportConfig-r10 is absent. Otherwise the
	field is not present
CQI-r10	The field is optionally present, need ON, if cqi-ReportConfig is absent. Otherwise the field
	is not present
Cross-Carrier-Config	The field is optionally present, need ON, if crossCarrierSchedulingConfig-r10 is absent.
	Otherwise the field is not present
Cross-Carrier-ConfigUL	The field is optionally present, need ON, if crossCarrierSchedulingConfig-r10 and
	crossCarrierSchedulingConfig-r13 are absent or schedulingCellInfo is set to 'own'.
	Otherwise the field is not present.
NTN	The field is optionally present, Need ON, for NTN. Otherwise, the field is not present and
	the UE shall delete any existing value for this field.
PeriodicSRS	If soundingRS-UL-ConfigDedicated-r10 is absent, the field is optional, Need ON.
	Otherwise the field is not present and the UE shall delete any existing value for this field.
PeriodicSRSPCell	If soundingRS-UL-ConfigDedicated is absent, the field is optional, Need ON. Otherwise
	the field is not present and the UE shall delete any existing value for this field.
PeriodicSRSExt	If soundingRS-UL-ConfigDedicatedUpPTsExt-r13 is absent, the field is optional, Need
	ON. Otherwise the field is not present and the UE shall delete any existing value for this
	field.
PUCCH-Format4or5	The field is mandatory present with pucch-Format-v1370 set to setup if pucch-
	ConfigDedicated-r13 is configured and pucch-ConfigDedicated-r13 indicates PUCCH
	format 4 or PUCCH format 5; otherwise it is not present and the UE shall delete any
	existing value for this field.
PUCCH-SCell1	The field is optionally present, need OR, for SCell not configured with pucch-
	configDedicated-r13. Otherwise it is not present.
PUSCH-SCell	The field is optionally present, need ON, if pusch-ConfigDedicatedSCell-r10 and pusch-
	ConfigDedicated-v1130 are absent. Otherwise the field is not present
PUSCH-SCell1	The field is optionally present, need ON, for SCell not configured with <i>pucch</i> -
	configDedicated-r13. Otherwise it is not present.
SCellAdd	The field is mandatory present if <i>cellIdentification</i> is present; otherwise it is optional, need
	ON.
SRS-Trigger-TypeA	The field is mandatory present if <i>typeA-SRS-TPC-PDCCH-Group-r14</i> is present.
	Otherwise the field is not present and the UE shall delete any existing value for this field.

NOTE 1: During handover, the UE performs a MAC reset, which involves reverting to the default CQI/ SRS/ SR configuration in accordance with clause 5.3.13 and TS 36.321 [6], clauses 5.9 and 5.2. Hence, for these parts of the dedicated radio resource configuration, the default configuration (rather than the configuration used in the source PCell) is used as the basis for the delta signalling that is included in the message used to perform handover.

NOTE 2: Since delta signalling is not supported for the common SCell configuration, E-UTRAN can only add or release the uplink of an SCell by releasing and adding the concerned SCell.

# 

The IE *P-Max* is used to limit the UE's uplink transmission power on a carrier frequency and is used to calculate the parameter *Pcompensation* defined in TS 36.304 [4]. Corresponds to parameter P<sub>EMAX</sub> or P<sub>EMAX,c</sub> in TS 36.101 [42]. The UE transmit power on one serving cell shall not exceed the configured maximum UE output power of the serving cell determined by this value as specified in TS 36.101 [42], clauses 6.2.5 or 6.2.5A, or, when transmitting sidelink discovery announcements within the coverage of the concerned cell, as specified in TS 36.101 [42], clause 6.2.5D.

### P-Max information element

```
-- ASN1START

P-Max ::= INTEGER (-30..33)

-- ASN1STOP
```

# PRACH-Config

The IE *PRACH-ConfigSIB* and IE *PRACH-Config* are used to specify the PRACH configuration in the system information and in the mobility control information, respectively.

# PRACH-Config information elements

```
-- ASN1START
PRACH-ConfigSIB ::=
                              SEQUENCE {
                            INTEGER (0..837),
   rootSequenceIndex
                                     PRACH-ConfigInfo
   prach-ConfigInfo
                         SEQUENCE {
PRACH-ConfigSIB-v1310 ::=
   rsrp-ThresholdsPrachInfoList-r13 RSRP-ThresholdsPrachInfoList-r13,
   mpdcch-startSF-CSS-RA-r13
                                      CHOICE {
       fdd-r13
                                         ENUMERATED {v1, v1dot5, v2, v2dot5, v4, v5, v8,
       tdd-r13
                                         ENUMERATED {v1, v2, v4, v5, v8, v10, v20, spare}
                                                                        OPTIONAL, -- Cond MP
                                                                        OPTIONAL, -- Need OR
   prach-HoppingOffset-r13
   prach-HoppingOffset-r13
prach-ParametersListCE-r13
                                     INTEGER (0..94)
                                     PRACH-ParametersListCE-r13
}
PRACH-ConfigSIB-v1530 ::=
                                  SEQUENCE {
   edt-PRACH-ParametersListCE-r15
                                   SEQUENCE (SIZE(1..maxCE-Level-r13)) OF EDT-PRACH-
ParametersCE-r15
   rootSequenceIndex
PRACH-Config ::=
                                  SEQUENCE {
                                    INTEGER (0..837),
                                      PRACH-ConfigInfo
                                                                        OPTIONAL
                                                                                    -- Need ON
                        SEQUENCE {
PRACH-Config-v1310 ::=
   rsrp-ThresholdsPrachInfoList-r13 RSRP-ThresholdsPrachInfoList-r13
                                                                               OPTIONAL, --
Cond MP
   mpdcch-startSF-CSS-RA-r13
                                      CHOICE {
       fdd-r13
                                         ENUMERATED {v1, v1dot5, v2, v2dot5, v4, v5, v8,
                                         ENUMERATED {v1, v2, v4, v5, v8, v10, v20, spare}
       tdd-r13
                                                                        OPTIONAL, -- Cond MP
   }
prach-HoppingOffset-r13
prach-ParametersListCE-r13
                                                                        OPTIONAL,
                                                                                    -- Need OR
                                     INTEGER (0..94)
                                    PRACH-ParametersListCE-r13
                                                                       OPTIONAL,
                                                                                    -- Cond MP
   initial-CE-level-r13
                                         INTEGER (0..3) OPTIONAL -- Need OR
PRACH-Config-v1430 ::=
                                  SEQUENCE {
   rootSequenceIndexHighSpeed-r14
                                             INTEGER (0..837),
                                             INTEGER (0..12),
   zeroCorrelationZoneConfigHighSpeed-r14
   prach-ConfigIndexHighSpeed-r14
                                             INTEGER (0..63),
   prach-FreqOffsetHighSpeed-r14
                                             INTEGER (0..94)
}
                                      SEQUENCE {
PRACH-ConfigSCell-r10 ::=
  prach-ConfigIndex-r10
                                         INTEGER (0..63)
```

```
}
   prach-ConfigIndex
highSpeedFlag
PRACH-ConfigInfo ::=
                                    SEQUENCE {
                                     INTEGER (0..63),
                                          BOOLEAN,
    zeroCorrelationZoneConfig
                                          INTEGER (0..15),
                                          INTEGER (0..94)
    prach-FreqOffset
}
PRACH-ParametersListCE-r13 ::= SEQUENCE (SIZE(1..maxCE-Level-r13)) OF PRACH-ParametersCE-r13
PRACH-ParametersCE-r13 ::=
                                    SEQUENCE {
                                              INTEGER (0..63),
    prach-ConfigIndex-r13
    prach-FreqOffset-r13
                                                  INTEGER (0..94),
    prach-StartingSubframe-r13
                                              ENUMERATED {sf2, sf4, sf8, sf16, sf32, sf64, sf128,
                                                           sf256}
                                                                           OPTIONAL, -- Need OP
    maxNumPreambleAttemptCE-r13
                                 ENUMERATED {n3, n4, n5, n6, n7, n8, n10} OPTIONAL,
   ENUMERATED {r1, r2, r4, r8, r16,
    mpdcch-NumRepetition-RA-r13
                                                           r32, r64, r128, r256},
                                              ENUMERATED {on,off}
   prach-HoppingConfig-r13
}
EDT-PRACH-ParametersCE-r15 ::= SEQUENCE {
    edt-PRACH-ParametersCE-r15 SEQUENCE {
        prach-ConfigIndex-r15 INTEGER (0..63),
        prach-FreqOffset-r15 INTEGER (0..94),
        prach-StartingSubframe-r15 ENUMERATED {sf2, sf4, sf8, sf16, sf32, sf64, sf128,
        sf256} OPTIONAL, -- Need OP
        mpdcch-NarrowbandsToMonitor-r15 SEQUENCE (SIZE(1..2)) OF INTEGER
(1..maxAvailNarrowBands-r13)
  } OPTIONAL -- Need OR
RSRP-ThresholdsPrachInfoList-r13 ::= SEQUENCE (SIZE(1..3)) OF RSRP-Range
PRACH-TxDuration-r17::=
                                SEQUENCE {
   prach-TxDuration-r17
                                  ENUMERATED {n1, n2, n4, n8, n16, n32, n64, n128}
-- ASN1STOP
```

# PRACH-Config field descriptions

### edt-PRACH-ParametersListCE

Configures PRACH parameters for each CE level applicable to a UE performing EDT. If included, the number of entries is same as number of entries in *prach-ParametersListCE*. The first entry in the list is the PRACH parameters for CE level 0, the second entry in the list is the PRACH parameters for CE level 1, and so on. The parameters *maxNumPreambleAttemptCE*, *numRepetitionPerPreambleAttempt*, *mpdcch-NumRepetition-RA*, *prach-HoppingConfig* included in *prach-ParametersListCE* for CE level X are also applicable for EDT.

### initial-CE-level

Indicates initial PRACH CE level at random access, see TS 36.321 [6]. If not configured, UE selects PRACH CE level based on measured RSRP level, see TS 36.321 [6].

### highSpeedFlag

Parameter: High-speed-flag, see TS 36.211 [21], clause 5.7.2]. TRUE corresponds to Restricted set and FALSE to Unrestricted set.

# maxNumPreambleAttemptCE

Maximum number of preamble transmission attempts per CE level. See TS 36.321 [6].

If the field is absent, the UE shall use the default value n3.

# mpdcch-NarrowbandsToMonitor

Narrowbands to monitor for MPDCCH for RAR, see TS 36.213 [23], clause 6.2. Field values

(1...maxAvailNarrowBands-r13) correspond to narrowband indices (0..maxAvailNarrowBands-r13-1) as specified in TS 36.211 [21].

### mpdcch-NumRepetition-RA

Maximum number of repetitions for MPDCCH common search space (CSS) for RAR, Msg3 and Msg4, see TS 36.211 [21].

# mpdcch-startSF-CSS-RA

Starting subframe configuration for MPDCCH common search space (CSS), including RAR, Msg3 retransmission, PDSCH with contention resolution and PDSCH with CCCH MAC SDU, see TS 36.211 [21] and TS 36.213 [23]. Value v1 corresponds to 1, value v1dot5 corresponds to 1.5, and so on.

### numRepetitionPerPreambleAttempt

Number of PRACH repetitions per attempt for each CE level, See TS 36.211 [21].

### prach-ConfigIndex

Parameter: prach-ConfigurationIndex, see TS 36.211 [21], clause 5.7.1.

# prach-ConfigIndexHighSpeed

Parameter: prach-ConfigurationIndexHighSpeed, see TS 36.211 [21], clause 5.7.1. If this field is present, the UE shall ignore prach-ConfigIndex.

# prach-FreqOffset

Parameter: *prach-FrequencyOffset*, see TS 36.211 [21], clause 5.7.1. For TDD the value range is dependent on the value of *prach-ConfigIndex*.

# prach-FreqOffsetHighSpeed

Parameter: prach-FrequencyOffsetHighSpeed, see TS 36.211 [21], clause 5.7.1. For TDD the value range is dependent on the value of prach-ConfigIndexHighSpeed. If this field is present, the UE shall ignore prach-FreqOffset.

# prach-HoppingConfig

Coverage level specific frequency hopping configuration for PRACH.

# prach-HoppingOffset

Parameter: PRACH frequency hopping offset, expressed as a number of resource blocks, see TS 36.211 [21], clause 5.7.1.

# prach-ParametersListCE

Configures PRACH parameters for each CE level. The first entry in the list is the PRACH parameters of CE level 0, the second entry in the list is the PRACH parameters of CE level 1, and so on.

# prach-StartingSubframe

PRACH starting subframe periodicity, expressed in number of subframes available for preamble transmission (PRACH opportunities), see TS 36.211 [21]. Value sf2 corresponds to 2 subframes, sf4 corresponds to 4 subframes and so on. EUTRAN configures the PRACH starting subframe periodicity larger than or equal to the number of PRACH repetitions per attempt for each CE level (numRepetitionPerPreambleAttempt).

If the field is absent, the value is determined implicitly in TS 36.211 [21], clause 5.7.1.

# prach-TxDuration

Duration of PRACH segment transmission in NTN transmission, see TS 36.213 [23]. Unit in duration of one preamble transmission including guard period (TCP+TSEQ+TGP).

Value *n1* corresponds to the duration of 1 preamble transmission, value *n2* corresponds to the duration of 2 preambles transmission and so on.

# rootSequenceIndex

Parameter: RACH\_ROOT\_SEQUENCE, see TS 36.211 [21], clause 5.7.1.

### rootSequenceIndexHighSpeed

The field indicates starting logical root sequence index used to derive the 64 random access preambles based on restricted set type B in high speed scenario, see TS 36.211 [21], clause 5.7.2. If this field is present, the UE shall generate random access preambles based on restricted set type B and ignore *rootSequenceIndex*.

# PRACH-Config field descriptions

### rsrp-ThresholdsPrachInfoList

The criterion for BL UEs and UEs in CE to select PRACH resource set. Up to 3 RSRP threshold values are signalled to determine the CE level for PRACH, see TS 36.213 [23]. The first element corresponds to RSRP threshold 1, the second element corresponds to RSRP threshold 2 and so on, see TS 36.321 [6]. The UE shall ignore this field if only one CE level, i.e. CE level 0, is configured in *prach-ParametersListCE*. The number of RSRP thresholds present in *rsrp-ThresholdsPrachInfoList* is equal to the number of CE levels configured in *prach-ParametersListCE* minus one. A UE that supports *powerClass-14dBm* shall correct the RSRP threshold values before applying them as follows: RSRP threshold = Signalled RSRP threshold - min{0, (14-min(23, P-Max))} where P-Max is the value of *p-Max* field in *SystemInformationBlockType1-BR*.

### zeroCorrelationZoneConfig

Parameter: N<sub>CS</sub> configuration, see TS 36.211 [21], clause 5.7.2: table 5.7.2-2, for preamble format 0..3 and TS 36.211 [21], clause 5.7.2: table 5.7.2-3, for preamble format 4.

# zeroCorrelationZoneConfigHighSpeed

The field indicates N<sub>CS</sub> configuration for the restricted set type B in high speed scenario, see TS 36.211 [21], clause 5.7.2. If this field is present, the UE shall generate random access preambles based on restricted set type B and ignore *zeroCorrelationZoneConfig*.

Conditional presence	Explanation
MP	The field is mandatory present.

# PresenceAntennaPort1

The IE *PresenceAntennaPort1* is used to indicate whether all the neighbouring cells use Antenna Port 1. When set to *TRUE*, the UE may assume that at least two cell-specific antenna ports are used in all neighbouring cells.

### PresenceAntennaPort1 information element

```
-- ASN1START

PresenceAntennaPort1 ::= BOOLEAN

-- ASN1STOP
```

# PUCCH-Config

The IE *PUCCH-ConfigCommon* and IE *PUCCH-ConfigDedicated* are used to specify the common and the UE specific PUCCH configuration respectively.

### **PUCCH-Config** information elements

```
-- ASN1START
PUCCH-ConfigCommon ::=
                                     SEOUENCE {
    deltaPUCCH-Shift
                                         ENUMERATED {ds1, ds2, ds3},
    nRB-COI
                                         INTEGER (0..98),
    nCS-AN
                                         INTEGER (0..7)
    n1PUCCH-AN
                                         INTEGER (0..2047)
}
PUCCH-ConfigCommon-v1310 ::=
                                     SEQUENCE {
                                           N1PUCCH-AN-InfoList-r13 OPTIONAL,
   n1PUCCH-AN-InfoList-r13
                                                                                      -- Need OR
                                            ENUMERATED {n1, n2, n4, n8} OPTIONAL, -- Need OR ENUMERATED {n1, n2, n4, n8} OPTIONAL, -- Need OR
    pucch-NumRepetitionCE-Msg4-Level0-r13
    pucch-NumRepetitionCE-Msg4-Level1-r13
                                                                              OPTIONAL,
    pucch-NumRepetitionCE-Msg4-Level2-r13
                                             ENUMERATED {n4, n8, n16, n32}
                                                                                           -- Need OR
    pucch-NumRepetitionCE-Msg4-Level3-r13
                                             ENUMERATED {n4, n8, n16, n32}
                                                                                           -- Need OR
                                                                              OPTIONAL
}
                                SEQUENCE {
PUCCH-ConfigCommon-v1430 ::=
    pucch-NumRepetitionCE-Msg4-Level3-r14 ENUMERATED {n64, n128} OPTIONAL
                                                                                   -- Need OR
PUCCH-ConfigDedicated ::=
                                     SEOUENCE {
    ackNackRepetition
                                         CHOICE {
        release
                                             NULL,
```

```
setup
                                           SEQUENCE {
                                                ENUMERATED {n2, n4, n6, spare1},
            repetitionFactor
           n1PUCCH-AN-Rep
                                               INTEGER (0..2047)
    tdd-AckNackFeedbackMode
                                      ENUMERATED {bundling, multiplexing} OPTIONAL
                                                                                     -- Cond TDD
PUCCH-ConfigDedicated-v1020 ::= SEQUENCE {
   pucch-Format-r10
                                       CHOICE {
                                       PUCCH-Format3-Conf-r13,
       format3-r10
                                           SEQUENCE {
        channelSelection-r10
           n1PUCCH-AN-CS-r10
                                               CHOICE {
               release
                                                   NULL,
                setup
                                                   SEQUENCE {
                   n1PUCCH-AN-CS-List-r10
                                                       SEQUENCE (SIZE (1..2)) OF N1PUCCH-AN-CS-r10
            }
                                                                           OPTIONAL
                                                                                       -- Need ON
        }
                                                                           OPTIONAL,
                                                                                       -- Need OR
                                                   ENUMERATED {true}
                                                                           OPTIONAL,
                                                                                       -- Need OR
    twoAntennaPortActivatedPUCCH-Formatlalb-r10
                                                                                       -- Need OR
    simultaneousPUCCH-PUSCH-r10
                                                   ENUMERATED {true}
                                                                           OPTIONAL,
    n1PUCCH-AN-RepP1-r10
                                                   INTEGER (0..2047)
                                                                           OPTIONAL
                                                                                       -- Need OR
}
PUCCH-ConfigDedicated-v1130 ::=
                                  SEOUENCE {
   n1PUCCH-AN-CS-v1130
                                       CHOICE {
                                           NULL,
       release
       setup
                                           SEQUENCE {
           n1PUCCH-AN-CS-ListP1-r11
                                               SEQUENCE (SIZE (2..4)) OF INTEGER (0..2047)
                                                                           OPTIONAL, -- Need ON
    nPUCCH-Param-r11
                                       CHOICE {
       release
                                           NULL.
        setup
                                           SEQUENCE {
           nPUCCH-Identity-r11
                                               INTEGER (0..503),
           n1PUCCH-AN-r11
                                               INTEGER (0..2047)
                                                                           OPTIONAL
                                                                                       -- Need ON
}
PUCCH-ConfigDedicated-v1250 ::=
                                   SEQUENCE {
   nkaPUCCH-Param-r12
                                       CHOICE {
       release
                                           NULL,
       setup
                                           SEQUENCE {
           nkaPUCCH-AN-r12
                                               INTEGER (0..2047)
PUCCH-ConfigDedicated-r13 ::=
                                  SEOUENCE {
--Release 8
   ackNackRepetition-r13
                                       CHOICE {
       release
                                           SEQUENCE {
       setup
           repetitionFactor-r13
                                               ENUMERATED {n2, n4, n6, spare1},
            n1PUCCH-AN-Rep-r13
                                           INTEGER (0..2047)
                                      ENUMERATED {bundling, multiplexing} OPTIONAL,
    tdd-AckNackFeedbackMode-r13
                                                                                      -- Cond TDD
--Release 10
   pucch-Format-r13
                                       CHOICE {
                                               SEQUENCE {
       format3-r13
           n3PUCCH-AN-List-r13 SEQUENCE (SIZE (1..4)) OF INTEGER (0..549) OPTIONAL,
                                                                                       -- Need ON
            twoAntennaPortActivatedPUCCH-Format3-r13
                                                           CHOICE {
               release
                                                               NULL.
                                                               SEQUENCE {
                setup
                   n3PUCCH-AN-ListP1-r13 SEQUENCE (SIZE (1..4)) OF INTEGER (0..549)
                                                                           OPTIONAL
                                                                                      -- Need ON
        channelSelection-r13
                                           SEQUENCE {
           n1PUCCH-AN-CS-r13
                                               CHOICE {
                release
                                                   NULT.
                setup
                                                   SEQUENCE {
                                                       SEQUENCE (SIZE (1..2)) OF N1PUCCH-AN-CS-r10,
                   n1PUCCH-AN-CS-List-r13
                                  SEQUENCE (SIZE (2..4)) OF INTEGER (0..2047)
                   dummv1
```

```
OPTIONAL -- Need ON
                                            SEQUENCE {
        format4-r13
            format4-resourceConfiguration-r13
                                                           SEQUENCE (SIZE (4)) OF Format4-resource-r13,
            format4-MultiCSI-resourceConfiguration-r13 SEQUENCE (SIZE (1..2)) OF Format4-resource-
r13 OPTIONAL -- Need OR
        format5-r13
                                SEQUENCE {
           format5-resourceConfiguration-r13 SEQUENCE (SIZE (4)) OF Format5-resource-r13, format5-MultiCSI-resourceConfiguration-r13 Format5-resource-r13 OPTIONAL -- Need OR
                                                                               OPTIONAL, -- Need OR OPTIONAL, -- Need OR
    twoAntennaPortActivatedPUCCH-Formatlalb-r13 ENUMERATED {true}
                                                                             OPTIONAL,
    simultaneousPUCCH-PUSCH-r13
                                                     ENUMERATED {true}
                                                                                            -- Need OR
    n1PUCCH-AN-RepP1-r13
                                                      INTEGER (0..2047)
                                                                                OPTIONAL,
--Release 11
                                         CHOICE {
   nPUCCH-Param-r13
        release
                                            NULL,
                                              SEQUENCE {
        setup
            nPUCCH-Identity-r13
                                                  INTEGER (0..503),
                                                  INTEGER (0..2047)
            n1PUCCH-AN-r13
                                                                                OPTIONAL, -- Need ON
--Release 12
   nkaPUCCH-Param-r13
                                        CHOICE {
                                           NULL,
       release
                                              SEQUENCE {
        setup
            nkaPUCCH-AN-r13
                                                  INTEGER (0..2047)
                                                                                            -- Need ON
                                                                                OPTIONAL.
--Release 13
   spatialBundlingPUCCH-r13 BOOLEAN, spatialBundlingPUSCH-r13 BOOLEAN, harq-TimingTDD-r13 BOOLEAN,
   codebooksizeDetermination-r13 ENUMERATED {dai,cc}
maximumPayloadCoderate-r13 INTEGER (0..7)
pucch-NumRepetitionCE-r13 CHOICE {
                                                                              OPTIONAL,
                                                                                             -- Need OR
                                                                                OPTIONAL,
                                                                                             -- Need OR
                                   NULL,
        release
                                     CHOICE {
        setup
                                         SEQUENCE {
               pucch-NumRepetitionCE-format1-r13
                                                                        ENUMERATED {r1, r2, r4, r8},
                pucch-NumRepetitionCE-format2-r13
                                                                       ENUMERATED {r1, r2, r4, r8}
            modeB
                                          SEQUENCE {
               pucch-NumRepetitionCE-format1-r13
                                                                       ENUMERATED {r4, r8, r16, r32},
                pucch-NumRepetitionCE-format2-r13
                                                                        ENUMERATED {r4, r8, r16, r32}
        }
                                                                                OPTIONAL --Need ON
}
PUCCH-ConfigDedicated-v1370 ::=
                                     SEQUENCE {
   pucch-Format-v1370
                                      CHOICE {
        release NULL,
        setup PUCCH-Format3-Conf-r13
PUCCH-ConfigDedicated-v13c0 ::= SEQUENCE {
    channelSelection-v13c0 SEQUENCE {
        n1PUCCH-AN-CS-v13c0 CHOICE
                                              CHOICE {
            release
                                                  NULL,
                                                  SEQUENCE {
                   n1PUCCH-AN-CS-ListP1-v13c0
                                                      SEQUENCE (SIZE (2..4)) OF INTEGER (0..2047)
    }
}
PUCCH-Format3-Conf-r13 ::= SEQUENCE {
   n3PUCCH-AN-List-r13 SEQUENCE (SIZE (1..4)) OF INTEGER (0..549) OPTIONAL, -- Need ON
    release
                                                         NULL,
                                                           SEOUENCE {
        setup
            n3PUCCH-AN-ListP1-r13 SEQUENCE (SIZE (1..4)) OF INTEGER (0..549)
                                                                        OPTIONAL
                                                                                     -- Need ON
    }
```

```
PUCCH-ConfigDedicated-v1430 ::= SEQUENCE {
          pucch-NumRepetitionCE-format1-r14 ENUMERATED {r64,r128} OPTIONAL -- Need OR
PUCCH-ConfigDedicated-v1530 ::= SEQUENCE {
             n1PUCCH-AN-SPT-r15 INTEGER (0..2047) OPTIONAL, -- Need OR codebooksizeDeterminationSTTI-r15 ENUMERATED {dai,cc} OPTIONAL -- Need OR
           n1PUCCH-AN-SPT-r15
                                                                                                                                  SEQUENCE {
Format4-resource-r13 ::=
          startingPRB-format4-r13
numberOfPRB-format4-r13
                                                                                                                                                                   INTEGER (0..109),
                                                                                                                                            INTEGER (0..7)
Format5-resource-r13 ::=
                                                                                                                                  SEQUENCE {
           mat5-resource-r13 ::=
startingPRB-format5-r13
                                                                                                                                                                   INTEGER (0..109),
             cdm-index-format5-r13
                                                                                                                                                                    INTEGER (0..1)
N1PUCCH-AN-CS-r10 ::= SEQUENCE (SIZE (1..4)) OF INTEGER (0..2047)
\verb|N1PUCCH-AN-InfoList-r13| ::= SEQUENCE (SIZE(1..maxCE-Level-r13)) | OF INTEGER (0..2047) | OF INTEGER (0..2047)
PUCCH-TxDuration-r17 ::= SEQUENCE {
          pucch-TxDuration-r17 ENUMERATED {sf2, sf4, sf8, sf16, sf32, sf64, sf128}
-- ASN1STOP
```

# **PUCCH-Config** field descriptions

### ackNackRepetition

Parameter indicates whether ACK/NACK repetition is configured, see TS 36.213 [23], clause 10.1.

### cdm-index-format5

Parameter  $n_{oc}$  see TS 36.211 [21], clause 5.4.2c, for determining PUCCH resource(s) of PUCCH format 5.

# codebooksizeDetermination, codebooksizeDeterminationSTTI

Parameter indicates whether HARQ codebook size is determined with downlink assignment indicator based solution or number of configured CCs, see TS 36.212 [22], clauses 5.2.2.6, 5.2.3.1 and 5.3.3.1.2, and TS 36.213 [23], clauses 10.1.2.2.3, 10.1.3.2.3, 10.1.3.2.3.1, 10.1.3.2.3.2 and 10.1.3.2.4.

### deltaPUCCH-Shift

Parameter:  $\Delta_{shift}^{PUCCH}$ , see TS 36.211 [21], clause 5.4.1, where ds1 corresponds to value 1, ds2 corresponds to value 2 etc.

### dummy1

This field is not used in the specification. If received it shall be ignored by the UE.

### hara-TiminaTDD

Parameter indicates for a TDD SCell when aggregated with a TDD PCell of different UL/DL configurations whether deriving the HARQ timing for such a cell is done in the same way as the DL HARQ timing of an FDD SCell with a TDD PCell, see TS 36.213 [23], clause 10.2.

# maximumPayloadCoderate

Maximum payload or code rate for multi P-CSI on each PUCCH resource, see TS 36.213 [23], clause 10.1.1.

# n1PUCCH-AN

Parameter:  $N_{PUCCH}^{(1)}$ , see TS 36.213 [23], clause 10.1.

n1PUCCH-AN-r11 indicates UE-specific PUCCH AN resource offset, see TS 36.213 [23], clause 10.1.

# n1PUCCH-AN-CS-List

Parameter:  $n_{\text{PUCCH},i}^{(1)}$  for antenna port  $p_0$  for PUCCH format 1b with channel selection, see TS 36.213 [23], clauses 10.1.2.2.1 and 10.1.3.2.1.

# n1PUCCH-AN-CS-ListP1

Parameter:  $n_{\text{PUCCH},j}^{(1,\widetilde{p}_1)}$  for antenna port  $p_1$  for PUCCH format 1b with channel selection, see TS 36.213 [23], clause

10.1. E-UTRAN configures this field only when pucch-Format is set to channelSelection.

# n1PUCCH-AN-Rep, n1PUCCH-AN-RepP1

Parameter:  $n_{\mathrm{PUCCH,ANRep}}^{(1,p)}$  for antenna port P0 and for antenna port P1 respectively, see TS 36.213 [23], clause 10.1.

# n3PUCCH-AN-List, n3PUCCH-AN-ListP1

Parameter:  $n_{\text{PUCCH}}^{(3,p)}$  for antenna port P0 and for antenna port P1 respectively, see TS 36.213 [23], clause 10.1.

# n1PUCCH-AN-SPT

Parameter:  $N_{PUCCH}^{(1)}$ , see TS 36.213 [23], clause 10.1. Indicates UE-specific PUCCH AN resource offset for short processing time.

# nCS-An

Parameter:  $N_{cs}^{(1)}$  see TS 36.211 [21], clause 5.4.

Parameter:  $N_{\rm PLICCH}^{\rm K_A}$ , see TS 36.213 [23], clause 10.1.3.

*nkaPUCCH-AN-r12* indicates PUCCH format 1a/1b starting offset for the subframe set  $K^A$ , see TS 36.213 [23], clause 10.1.3. E-UTRAN configures nkaPUCCH-AN only if eimta-MainConfig is configured.

# nPUCCH-Identity

Parameter:  $n_{\mathrm{ID}}^{\mathrm{PUCCH}}$  , see TS 36.211 [21], clause 5.5.1.5.

# nRB-CQI

Parameter:  $N_{RB}^{(2)}$ , see TS 36.211 [21], clause 5.4.

# numberOfPRB-format4

Parameter  $n_{PUCCH}^{(4)}$  see TS 36.213 [23], Table 10.1.1-2, for determining PUCCH resource(s) of PUCCH format 4.

# n1PUCCH-AN-InfoList

Starting offsets of the PUCCH resource(s) indicated by SIB1-BR. The first entry in the list is the starting offset of the PUCCH resource(s) of CE level 0, the second entry in the list is the starting offset of the PUCCH resource(s) of CE level 1, and so on. If E-UTRAN includes n1PUCCH-AN-InfoList, it includes the same number of entries as in prach-ParametersListCE. See TS 36.213 [23].

# **PUCCH-Config** field descriptions

# pucch-Format

Parameter indicates one of the PUCCH formats for transmission of HARQ-ACK, see TS 36.213 [23], clause 10.1. For TDD, if the UE is configured with PCell only, the *channelSelection* indicates the transmission of HARQ-ACK multiplexing as defined in Tables 10.1.3-5, 10.1.3-6, and 10.1.3-7 in TS 36.213 [23] for PUCCH, and in 7.3 in TS 36.213 [23] for PUSCH. E-UTRAN only configures *pucch-Format-v1370* when *pucch-Format-r13* is configured and set to *format4* or *format5*.

# pucch-NumRepetitionCE

Number of PUCCH repetitions for PUCCH format 1/1a and for PUCCH format 2/2a/2b for CE modes A and B, see TS 36.211 [21] and TS 36.213 [23]. The UE shall ignore *pucch-NumRepetitionCE-format2-r13*, if received, for CE mode B in this release of specification. For UE in CE mode B supporting extended PUCCH repetition, if *pucch-NumRepetitionCE-format1-r14* is included then the UE shall ignore *pucch-NumRepetitionCE-format1-r13*.

# pucch-NumRepetitionCE-Msg4-Level0, pucch-NumRepetitionCE-Msg4-Level1, pucch-NumRepetitionCE-Msg4-Level2, pucch-NumRepetitionCE-Msg4-Level3

Number of repetitions for PUCCH carrying HARQ response to PDSCH containing Msg4 for PRACH CE levels 0, 1, 2 and 3, see TS 36.211 [21] and TS 36.213 [23]. Value n1 corresponds to 1 repetition, value n2 corresponds to 2 repetitions, and so on. For BL UEs or non-BL UEs in enhanced coverage supporting extended PUCCH repetition, if pucch-NumRepetitionCE-Msg4-Level3-r14 is included then the UE shall ignore pucch-NumRepetitionCE-Msg4-Level3-r13.

### pucch-TxDuration

Duration of PUCCH segment transmission in NTN transmission, see TS 36.213 [23]. Unit in subframe. Value *sf2* corresponds to 2 subframes, value *sf4* corresponds to 4 subframes and so on.

### repetitionFactor

Parameter  $N_{\mathrm{ANRep}}$  see TS 36.213 [23], clause 10.1, where n2 corresponds to repetition factor 2, n4 to 4.

### simultaneousPUCCH-PUSCH

Parameter indicates whether simultaneous PUCCH and PUSCH or simultaneous SPUCCH and SlotOrSubslotPUSCH transmissions are configured, see TS 36.213 [23], clauses 10.1 and 5.1.1. E-UTRAN configures this field for the PCell, only when the *nonContiguousUL-RA-WithinCC-Info* is set to *supported* in the band on which PCell is configured. Likewise, E-UTRAN configures this field for the PSCell, only when the *nonContiguousUL-RA-WithinCC-Info* is set to *supported* in the band on which PSCell is configured. Likewise, E-UTRAN configures this field for the PUCCH SCell, only when the *nonContiguousUL-RA-WithinCC-Info* is set to *supported* in the band on which PUCCH SCell is configured.

# spatialBundlingPUCCH

Parameter indicates whether spatial bundling is enabled or not for PUCCH, see TS 36.212 [22], clause 5.2.3.1.

# spatialBundlingPUSCH

Parameter indicates whether spatial bundling is enabled or not for PUSCH, see see TS 36.212 [22], clause 5.2.2.6.

# startingPRB-format4

Parameter  $n_{PUCCH}^{(4)}$  see TS 36.211 [21], clause5.4.3 for determining PUCCH resource(s) of PUCCH format 4.

# startingPRB-format5

Parameter  $n_{\text{PUCCH}}^{(5)}$  see TS 36.211 [21], clause 5.4.3 for determining PUCCH resource(s) of PUCCH format 5.

# tdd-AckNackFeedbackMode

Parameter indicates one of the TDD ACK/NACK feedback modes used, see TS 36.213 [23], clauses 7.3 and 10.1.3. The value bundling corresponds to use of ACK/NACK bundling whereas, the value multiplexing corresponds to ACK/NACK multiplexing as defined in Tables 10.1.3-2, 10.1.3-3, and 10.1.3-4 in TS 36.213 [23]. The same value applies to both ACK/NACK feedback modes on PUCCH as well as on PUSCH.

# twoAntennaPortActivatedPUCCH-Format1a1b

Indicates whether two antenna ports are configured for PUCCH format 1a/1b for HARQ-ACK, see TS 36.213 [23], clause 10.1. The field also applies for PUCCH format 1a/1b transmission when *format3* is configured, see TS 36.213 [23], clauses 10.1.2.2.2 and 10.1.3.2.2.

# twoAntennaPortActivatedPUCCH-Format3

Indicates whether two antenna ports are configured for PUCCH format 3 for HARQ-ACK, see TS 36.213 [23], clause 10.1.

Conditional presence	Explanation
TDD	The field is mandatory present for TDD if the pucch-Format is not present. If the pucch-
	Format is present, the field is not present and the UE shall delete any existing value for
	this field. It is not present for FDD and the UE shall delete any existing value for this field.

# – PUR-Confia

The IE *PUR-Config* is used to specify the PUR configuration.

# **PUR-Config** information element

```
-- ASN1START
PUR-Config-r16 ::=
                      SEQUENCE {
                                    PUR-ConfigID-r16
   pur-ConfigID-r16
                                                                OPTIONAL, -- Need OR
    pur-ImplicitReleaseAfter-r16 ENUMERATED {n2, n4, n8, spare} OPTIONAL, -- Need OR
                                 SEQUENCE {
    pur-StartTimeParameters-r16
                                       PUR-PeriodicityAndOffset-r16,
        periodicityAndOffset-r16
        startSFN-r16
                                        INTEGER (0..1023),
        startSubFrame-r16
                                        INTEGER (0..9),
       hsfn-LSB-Info-r16
                                       BIT STRING (SIZE(1))
         OPTIONAL, --Need ON
                           ENO...
C-RNTI
                                   ENUMERATED {one, infinite},
    pur-NumOccasions-r16
                                                                OPTIONAL, -- Need ON OPTIONAL, -- Need OR
   pur-RNTI-r16
                                    INTEGER (1..8)
    pur-TimeAlignmentTimer-r16
                                                                OPTIONAL,
    pur-TimeAllgnmentIlmer-rio INIEGER (1..0) OFFICINAL, -- Need OR
pur-RSRP-ChangeThreshold-r16 SetupRelease {PUR-RSRP-ChangeThreshold-r16} OFFICINAL, -- Need
OM
    OPTIONAL, -- Need ON
   pur-MPDCCH-Config-r16 PUR-MPDCCH-Config-r16 BOOLEAN,
pur-PUCCH-Config-r16 PUR-PUCCH-Config-r16
pur-PUSCH-Config-r16 PUR-PUSCH-Config-r16
   pur-MPDCCH-Config-r16
                                                                OPTIONAL,
                                                                             -- Need ON
                                                                OPTIONAL, -- Need ON
                                                                OPTIONAL,
    [[ pur-PDSCH-maxTBS-r17
                                   BOOLEAN
                                                                OPTIONAL
                                                                           -- Need ON
    11
}
PUR-MPDCCH-Config-r16 ::=
                              SEQUENCE {
    mpdcch-FreqHopping-r16 BOOLEAN,
mpdcch-Narrowband-r16 INTEGER
                                    INTEGER (1..maxAvailNarrowBands-r13),
    mpdcch-PRB-PairsConfig-r16 SEQUENCE {
       numberPRB-Pairs-r16 ENUMERATED {n2, n4, n6, sparel}, resourceBlockAssignment-r16 BIT STRING (SIZE(4))
   mpdcch-NumRepetition-r16 ENUMERAT:
mpdcch-StartSF-UESS-r16 CHOICE {
                                   ENUMERATED {r1, r2, r4, r8, r16, r32, r64, r128, r256},
                                        ENUMERATED {v1, v1dot5, v2, v2dot5, v4, v5, v8, v10},
        fdd
        tdd
                                    ENUMERATED {v1, v2, v4, v5, v8, v10, v20, spare1}
    threeEighth, oneHalf, fiveEighth,
                                            threeQuarter, sevenEighth}
}
PUR-PUCCH-Config-r16 ::=
                                    SEOUENCE {
                                       INTEGER (0..2047) OPTIONAL, -- Need ON
   n1PUCCH-AN-r16
   pucch-NumRepetitionCE-Format1-r16 ENUMERATED {n1, n2, n4, n8} OPTIONAL
                                                                                -- Need ON
PUR-PUSCH-Config-r16 ::= SEQUENCE {
                                CHOICE {
   pur-GrantInfo-r16
                                     SEQUENCE {
           numRUs-r16
                                         BIT STRING (SIZE(2)),
BIT STRING (SIZE(10)),
            prb-AllocationInfo-r16
                                            BIT STRING (SIZE(4)),
            mcs-r16
            numRepetitions-r16
                                            BIT STRING (SIZE(3))
        },
                                      SEQUENCE {
        ce-ModeB
            subPRB-Allocation-r16
                                           BOOLEAN.
            numRUs-r16
                                            BOOLEAN,
            prb-AllocationInfo-r16
                                            BIT STRING (SIZE(8)),
            mcs-r16
                                            BIT STRING (SIZE(4)),
           numRepetitions-r16
                                            BIT STRING (SIZE(3))
       OPTIONAL, -- Need ON
   } OPTIONAL,
pur-PUSCH-FreqHopping-r16 BOOLEAN,
INTEGER (-8..7),
                                 Alpha-r12,
ENUMERATED {n0, n6},
BOOLEAN,
    alpha-r16
    pusch-CyclicShift-r16
pusch-NB-MaxTBS-r16
    pusch-NB-MaxTBS-r16
    locationCE-ModeB-r16
                                    INTEGER (0..5) OPTIONAL -- Cond SubPRB
}
PUR-RSRP-ChangeThreshold-r16 ::= SEQUENCE {
   increaseThresh-r16 RSRP-ChangeThresh-r16, decreaseThresh-r16 RSRP-ChangeThresh-r16
    decreaseThresh-r16
                                   RSRP-ChangeThresh-r16 OPTIONAL --Need OP
```

```
RSRP-ChangeThresh-r16 ::= ENUMERATED {dB4, dB6, dB8, dB10, dB14, dB18, dB22, dB26, dB30, dB34,
spare6, spare5, spare4, spare3, spare2, spare1}
-- ASN1STOP
```

### **PUR-Config** field descriptions

#### alpha

Parameter:  $\alpha_c(3)$ . See TS 36.213 [23], clause 5.1.1.1.

#### hsfn-LSB-Info

Indicates the LSB of the H-SFN corresponding to the last subframe of the first transmission of *RRCConnectionRelease* message containing *pur-Config*.

### IocationCE-ModeB

PRB location within the narrowband when PUSCH sub-PRB resource allocation is enabled for PUR grant in CE mode

### mpdcch-FreqHopping

Frequency hopping activation/deactivation for MPDCCH. See TS 36.213 [23].

# mpdcch-Narrowband

Indicates the index of a narrowband on which the UE monitors for MPDCCH, see TS 36.213 [23], clause 9.1.5. Field values (1...maxAvailNarrowBands-r13) correspond to narrowband indices (0..maxAvailNarrowBands-r13-1) as specified in TS 36.211 [21].

# mpdcch-NumRepetition

Maximum number of repetitions levels for UE-SS for MPDCCH, see TS 36.213 [23].

### mpdcch-Offset-PUR-SS

Starting subframes configuration of the MPDCCH search space for PUR, see TS 36.213 [23].

# mpdcch-PRB-PairsConfig

Indicates the configuration of physical resource-block pairs used for MPDCCH. See TS 36.213 [23]. *mpdcch-PRB-Pairs* indicates the number of PRB pairs. Value n2 corresponds to 2 PRB pairs; n4 corresponds to 4 PRB pairs and so on. *resourceBlockAssignment* indicates the index to a specific combination of PRB pair for MPDCCH set. See TS 36.213 [23], clause 9.1.4.4.

### mpdcch-StartSF-UESS

Starting subframe configuration for an MPDCCH PUR search space, see TS 36.213 [23]. Value v1 corresponds to 1, value v1dot5 corresponds to 1.5, and so on.

### n1PUCCH-AN

Indicates UE-specific PUCCH AN resource offset, see TS 36.213 [23], clause 10.1.

#### p0-UE-PUSCH

Parameter: P<sub>0\_UE\_PUSCH,c</sub> (3). See TS 36.213 [23], clause 5.1.1.1, unit dB.

# pucch-NumRepetitionCE-Format1

Number of PUCCH repetitions for PUCCH format 1/1a, see TS 36.211 [21] and TS 36.213 [23]. When *pur-GrantInfo* is set to *ce-ModeA*, value n1 corresponds to 1 repetition, value n2 corresponds to 2 repetitions, and so on. When *pur-GrantInfo* is set to *ce-ModeB*, actual value corresponds to 4 \* indicated value.

### pusch-CyclicShift

Parameter:  $n_{cs,\lambda}$ . See TS 36.211 [21] clause 5.5.2.1.1. Value n0 corresponds to 0 and n6 corresponds to 6.

# pusch-NB-MaxTBS

Activation of 2984 bits maximum PUSCH TBS in 1.4 MHz in CE mode A, see TS 36.212 [22] and TS 36.213 [23].

### pur-GrantInfo

Indicates UL grant for transmission using PUR. Field set to *ce-ModeA* indicates the PUR grant is for CE Mode A and the field set to *ce-ModeB* indicates the PUR grant is for CE Mode B. *numRUs* indicates DCI field for PUSCH number of resource units, see TS 36.213 [23] clause 8.1.6. *prbAllocationInfo* indicates DCI field for PUSCH resource block assignment, see TS 36.212 [22], clause 5.3.3.1.10 (CE Mode A) and clause 5.3.3.1.11 (CE Mode B). *mcs* indicates DCI field for PUSCH modulation and coding scheme, see TS 36.213 [23] clause 8.6. *numRepetitions* indicates DCI field for PUSCH repetition number, see TS 36.213 [23] clause 8.0.

For CE Mode A, *numRUs* set to '00' indicates use of full-PRB resource allocation, otherwise sub-PRB resource allocation as defined in TS 36.213 [23], clause 8.1.6. For CE Mode B, *subPRB-Allocation* indicates whether sub-PRB resource allocation is used.

# pur-ImplicitReleaseAfter

Number of consecutive PUR occasions that can be skipped before implicit release, as specified in 5.3.3.20. Value *n2* corresponds to 2 PUR occasions, value *n4* corresponds to 4 PUR occasions and so on.

#### pur-NumOccasions

Number of PUR occasions. Value *one* corresponds to 1 PUR occasion, and value *infinite* corresponds to an infinite number of PUR occasions.

# pur-PDSCH-FreqHopping

Frequency hopping activation/deactivation for PDSCH. See TS 36.213 [23].

# pur-PDSCH-maxTBS

Activation/deactivation of DL TBS of 1736 bits for HD-FDD BL UE in CE mode A, see TS 36.213 [23], clause 7.1.7.2.

# pur-PeriodicityAndOffset

Indicates the periodicity for the PUR occasions and time offset until the first PUR occasion.

# pur-PUSCH-FreqHopping

Frequency hopping activation/deactivation for PUSCH. See TS 36.213 [23].

# pur-ResponseWindowTimer

PUR MPDCCH search space window duration. See TS 36.321 [6] and TS 36.213 [23]. Value in subframes. Value sf240 corresponds to 240 subframes, value sf480 corresponds to 480 subframes and so on.

### pur-RSRP-ChangeThreshold

Indicates the threshold(s) of change in serving cell RSRP in dB for TA validation. Value dB4 corresponds to 4 dB, value dB6 corresponds to 6 dB and so on. When *pur-RSRP-ChangeThreshold* is set to *setup*, if *decreaseThresh* is absent the value of *increaseThresh* is also used for *decreaseThresh*.

# pur-TimeAlignmentTimer

Indicates the idle mode TA timer in seconds for TA validation. Actual value = indicated value \* PUR periodicity.

Conditional presence	Explanation
SubPRB	This field is optionally present, need ON, if subPRB-Allocation is set to TRUE; otherwise
	the field is not present and UE shall delete any existing value for this field.

# PUR-ConfigID

The IE *PUR-ConfigID* is used to indicate the PUR configuration identity.

# PUR-ConfigID information element

```
-- ASN1START

PUR-ConfigID-r16 ::= BIT STRING (SIZE(20))

-- ASN1STOP
```

# PUR-PeriodicityAndOffset

The IE *PUR-PeriodicityAndOffset* is used to indicate H-SFN of the first PUR occasion and periodicity of the subsequent PUR occasions. The value of periodicity is in the unit of H-SFN duration (i.e., 10.24s). Value *periodicity8* corresponds to periodicity of 8 H-SFN, value *periodicity16* corresponds to periodicity of 16 H-SFN and so on. The value of offset is in the unit of H-SFN duration (i.e., 10.24s).

# PUR-PeriodicityAndOffset information element

```
-- ASN1START
PUR-PeriodicityAndOffset-r16 ::= CHOICE {
   periodicity8 INTEGER (1..7),
   periodicity16
                      INTEGER (1..15),
                      INTEGER (1..31),
   periodicity32
   periodicity64
                     INTEGER (1..63),
                       INTEGER (1..127),
   periodicity128
                     INTEGER (1..255),
   periodicity256
                      INTEGER (1..511)
   periodicity512
   periodicity1024
                       INTEGER (1..1023),
   periodicity2048
                      INTEGER (1..2047),
   periodicity4096
                       INTEGER (1..4095),
   periodicity8192
                       INTEGER (1..8191)
-- ASN1STOP
```

# PUSCH-Config

The IE *PUSCH-ConfigCommon* is used to specify the common PUSCH configuration and the reference signal configuration for PUSCH and PUCCH. The IE *PUSCH-ConfigDedicated* is used to specify the UE specific PUSCH configuration.

# **PUSCH-Config** information element

```
-- ASN1START

PUSCH-ConfigCommon ::= SEQUENCE {
   pusch-ConfigBasic SEQUENCE {
        n-SB INTEGER (1..4),
        hoppingMode ENUMERATED {interSubFrame, intraAndInterSubFrame},
```

```
pusch-HoppingOffset
                                         INTEGER (0..98),
       enable64QAM
                                         BOOLEAN
   ul-ReferenceSignalsPUSCH
                                     UL-ReferenceSignalsPUSCH
                                 SEQUENCE {
PUSCH-ConfigCommon-v1270 ::=
                                         ENUMERATED {true}
   enable640AM-v1270
PUSCH-ConfigCommon-v1310 ::= SEQUENCE {
   r8, r16, r32 }
                                                                       OPTIONAL,
                                                                                   -- Need OR
   pusch-maxNumRepetitionCEmodeB-r13 ENUMERATED
                                         r192, r256, r384, r512, r768, r1024,
                                         r1536, r2048}
                                                                       OPTIONAL,
                                                                                   -- Need OR
   pusch-HoppingOffset-v1310
                                  INTEGER (1..maxAvailNarrowBands-r13)
                                                                     OPTIONAL
                                                                                   -- Need OR
}
PUSCH-ConfigDedicated ::=
                                 SEQUENCE {
   betaOffset-ACK-Index
                                   INTEGER (0..15),
                                      INTEGER (0..15),
   betaOffset-RI-Index
   betaOffset-CQI-Index
                                     INTEGER (0..15)
}
PUSCH-ConfigDedicated-v1020 ::= SEQUENCE {
   betaOffsetMC-r10
                                     SEQUENCE {
                                      INTEGER (0..15),
       betaOffset-ACK-Index-MC-r10
                                         INTEGER (0..15),
       betaOffset-RI-Index-MC-r10
       betaOffset-CQI-Index-MC-r10
                                         INTEGER (0..15)
                                                                       OPTIONAL,
                                                                                   -- Need OR
   groupHoppingDisabled-r10
                                     ENUMERATED {true}
                                                                       OPTIONAL,
                                                                                   -- Need OR
                                                                                   -- Need OR
   dmrs-WithOCC-Activated-r10
                                     ENUMERATED {true}
                                                                       OPTIONAL
}
PUSCH-ConfigDedicated-v1130 ::=
                                SEQUENCE {
   pusch-DMRS-r11
                                     CHOICE {
       release
                                         NIII.I.
       setup
                                         SEQUENCE {
           nPUSCH-Identity-r11
                                             INTEGER (0..509),
           nDMRS-CSH-Identity-r11
                                             INTEGER (0..509)
}
PUSCH-ConfigDedicated-v1250::=
                                  SECTIENCE {
   uciOnPUSCH
                                     CHOICE {
       release
                                         NULL,
                                            SEQUENCE {
       setup
           betaOffset-ACK-Index-SubframeSet2-r12
                                                        INTEGER (0..15),
           betaOffset-RI-Index-SubframeSet2-r12
                                                        INTEGER (0..15),
                                                       INTEGER (0..15),
           betaOffset-CQI-Index-SubframeSet2-r12
           betaOffsetMC-r12
                                                SEQUENCE {
               betaOffset-RI-Index-MC-SubframeSet2-r12
                                                        INTEGER (0..15),
               betaOffset-CQI-Index-MC-SubframeSet2-r12
                                                        INTEGER (0..15)
           }
                                                                       OPTIONAL
                                                                                   -- Need OR
   }
PUSCH-ConfigDedicated-r13 ::=
                                     SEQUENCE {
   betaOffset-ACK-Index-r13
                                        INTEGER (0..15),
                                         INTEGER (0..15)
   betaOffset2-ACK-Index-r13
                                                                       OPTIONAL.
                                                                                   -- Need OR
                                         INTEGER (0..15),
   betaOffset-RI-Index-r13
   betaOffset-CQI-Index-r13
                                         INTEGER (0..15),
   betaOffsetMC-r13
                                         SEQUENCE {
       betaOffset-ACK-Index-MC-r13
                                            INTEGER (0..15),
                                             INTEGER (0..15)
       betaOffset2-ACK-Index-MC-r13
                                                                       OPTIONAL.
                                                                                   -- Need OR
       betaOffset-RI-Index-MC-r13
                                             INTEGER (0..15),
                                            INTEGER (0..15)
       betaOffset-CQI-Index-MC-r13
                                                                                   -- Need OR
                                                                       OPTIONAL,
   groupHoppingDisabled-r13
                                         ENUMERATED {true}
                                                                       OPTIONAL,
                                                                                   -- Need OR
                                         ENUMERATED {true}
                                                                       OPTIONAL,
                                                                                   -- Need OR
   dmrs-WithOCC-Activated-r13
   pusch-DMRS-r11
                                         CHOICE {
       release
                                             NULL.
                                             SEQUENCE {
       setup
          nPUSCH-Identity-r13
                                                INTEGER (0..509),
```

```
nDMRS-CSH-Identity-r13 INTEGER (0..509)
                                                                                       OPTIONAL, -- Need ON
    uciOnPUSCH
                                                   CHOICE {
         release
                                                   NULL,
             betaOffset-ACK-Index-SubframeSet2-r13 INTEGER (0..15),
betaOffset2-ACK-Index-SubframeSet2-r13 INTEGER (0..15) OPTIONAL,
betaOffset-RI-Index-SubframeSet2-r13 INTEGER (0..15),
betaOffset-CQI-Index-SubframeSet2-r13 INTEGER (0..15),
betaOffsetMC-r12 SEQUENCE {
                                                        SEQUENCE {
         setup
                                                                                                      -- Need OR
                  betaOffset-ACK-Index-MC-SubframeSet2-r13 INTEGER (0..15),
betaOffset2-ACK-Index-MC-SubframeSet2-r13 INTEGER (0..15) OPTIONAL,
                                                                                                       -- Need OR
                  betaOffset-RI-Index-MC-SubframeSet2-r13 INTEGER (0..15)
betaOffset-CQI-Index-MC-SubframeSet2-r13 INTEGER (0..15)
                                                                     INTEGER (0..15),
              }
                                                                                         OPTIONAL
                                                                                                       -- Need OR
                                                                                         OPTIONAL,
                                                                                                       -- Need ON
                                                                                                       -- Need OR
    pusch-HoppingConfig-r13
                                                 ENUMERATED {on}
                                                                                         OPTIONAL
}
                                            SEQUENCE {
PUSCH-ConfigDedicated-v1430 ::=
                                                                                   OPTIONAL,
    ce-PUSCH-NB-MaxTBS-r14
                                                   ENUMERATED {on}
    ce-PUSCH-NB-MaxTBS-r14
ce-PUSCH-MaxBandwidth-r14
                                                   ENUMERATED {bw5}
                                                                                                       -- Need OR
    tdd-PUSCH-UpPTS-r14
                                                   TDD-PUSCH-UpPTS-r14
                                                                                       OPTIONAL,
                                                                                                      -- Need ON
    ul-DMRS-IFDMA-r14
                                                   BOOLEAN,
    enable256QAM-r14
                                                   Enable256QAM-r14
                                                                                       OPTIONAL
                                                                                                       -- Need ON
}
PUSCH-ConfigDedicated-v1530 ::=
                                             SEOUENCE {
    ce-PUSCH-FlexibleStartPRB-AllocConfig-r15 CHOICE {
         release NULL,
                                SEQUENCE {
         setup
                                             INTEGER (-1..3) OPTIONAL -- Cond CE-ModeB
             offsetCE-ModeB-r15
    ce-PUSCH-SubPRB-Config-r15 CHOICE {
         release NULL,
setup SEQUENCE {
             locationCE-ModeB-r15 INTEGER (0...
sixToneCyclicShift-r15 INTEGER (0...3),
threeToneCyclicShift-r15 INTEGER (0...
                                                 INTEGER (0..5) OPTIONAL, -- Cond CE-ModeB
                                                INTEGER (0..2)
             OPTIONAL -- Need ON
}
PUSCH-ConfigDedicated-v1610 ::= SEQUENCE {
    ce-PUSCH-MultiTB-Config-r16 SetupRelease {CE-PUSCH-MultiTB-Config-r16}
PUSCH-ConfigDedicatedSCell-r10 ::= SEQUENCE {
    groupHoppingDisabled-r10 ENUMER
    dmrs-WithOCC-Activated-r10 ENUMER
                                              ENUMERATED {true}
                                                                                         OPTIONAL,
                                                                                                       -- Need OR
    dmrs-WithOCC-Activated-r10
                                                   ENUMERATED {true}
                                                                                        OPTIONAL
                                                                                                       -- Need OR
PUSCH-ConfigDedicatedSCell-v1430 ::=
                                                        SEQUENCE {
                                                                                       OPTIONAL
                                                 Enable256QAM-r14
    enable256QAM-r14
                                                                                                      -- Need OR
PUSCH-ConfigDedicatedScell-v1530 ::=
                                                        SECUENCE {
    uci-OnPUSCH-r15
                                              CHOICE {
         release
                                                        NULL.
                                                        SEQUENCE {
         setup
             betaOffsetAUL-r15
                                                                      INTEGER (0..15)
}
                                               CHOICE {
TDD-PUSCH-UpPTS-r14 ::=
    release
                                                   NULL.
                                                   SEQUENCE {
        symPUSCH-UpPTS-r14
                                                        ENUMERATED {sym1, sym2, sym3, sym4, sym5, sym6}
                                                                                        OPTIONAL, -- Need ON
                                                                                                       -- Need OR
                                                        ENUMERATED {true}
                                                                                        OPTIONAL
         dmrs-LessUpPTS-Config-r14
CE-PUSCH-MultiTB-Config-r16 ::= SEQUENCE {
```

```
interleaving-r16
                                                  ENUMERATED {on}
                                                                             OPTIONAL -- Need OR
PUSCH-TxDuration-r17 ::= SEQUENCE { pusch-TxDuration-r17 ENUMERATED {n2, n4, n8, n16, n32, n64, n128, n256}
Enable256QAM-r14 ::=
                                             CHOICE {
         release
                                                  NULL,
         setup
                                                 CHOICE {
             tpc-SubframeSet-Configured-r14
                                                  SEQUENCE {
                      subframeSet1-DCI-Format0-r14
                                                                                                    BOOLEAN.
                      subframeSet1-DCI-Format4-r14
                                                                                                    BOOLEAN,
                      subframeSet2-DCI-Format0-r14
                                                                                                    BOOLEAN,
                      subframeSet2-DCI-Format4-r14
                                                                                                    BOOLEAN
             },
             tpc-SubframeSet-NotConfigured-r14 SEQUENCE {
                      dci-Format0-r14 BOOLEAN, dci-Format4-r14 BOOLEAN
             }
                                           CHOICE {
PUSCH-EnhancementsConfig-r14 ::=
                                        NULL,
    release
        pusch-HoppingOffsetPUSCH-Enh-r14 INTEC
    setup
                                                          INTEGER (1..100)
                                                                                    OPTIONAL, -- Need ON
         interval-ULHoppingPUSCH-Enh-r14 CHOICE {
  interval-FDD-PUSCH-Enh-r14 ENUMERATED {int1, int2, int4, int8},
  interval-TDD-PUSCH-Enh-r14 ENUMERATED {int1, int5, int10, int20
  OPTIONAL
                                                         ENUMERATED {int1, int5, int10, int20}
                                                                                      OPTIONAL -- Need ON
}
UL-ReferenceSignalsPUSCH ::=
groupHoppingEnabled
groupAssignmentPUSCH
                                      SEQUENCE {
                                         BOOLEAN,
                                             INTEGER (0..29),
    sequenceHoppingEnabled
                                            BOOLEAN,
    cyclicShift
                                            INTEGER (0..7)
-- ASN1STOP
```

### **PUSCH-Config** field descriptions

# betaOffset-ACK-Index, betaOffset2-ACK-Index, betaOffset-ACK-Index-MC, betaOffset2-ACK-Index-MC

Parameter:  $I_{offset}^{HARQ-ACK}$ ,  $I_{offset,X}^{HARQ-ACK}$ ,  $I_{offset,MC}^{HARQ-ACK}$  and  $I_{offset,MC,X}^{HARQ-ACK}$ , for single- and multiple-codeword respectively,

see TS 36.213 [23], Table 8.6.3-1. betaOffset-ACK-Index and betaOffset2-ACK-Index are used for single-codeword and betaOffset-ACK-Index-MC and betaOffset2-ACK-Index-MC are used for multiple-codeword. If betaOffset2-ACK-Index-MC are used for multiple-codeword. Index is configured; betaOffset-ACK-Index is used when up to 22 HARQ-ACK bits are transmitted otherwise betaOffset2-ACK-Index is used. If betaOffset-ACK2-Index-MC is configured; betaOffset-ACK-Index-MC is used when up to 22 HARQ-ACK bits are transmitted otherwise betaOffset2-ACK-Index-MC is used. One value applies for all serving cells with an uplink in a cell group (MCG or SCG or the group of cells configured to send PUCCH on the same cell in case PUCCH SCell is configured) and not configured with uplink power control subframe sets. The same value also applies for subframe set 1 of all serving cells with an uplink in that cell group and configured with uplink power control subframe sets (the associated functionality is common i.e. not performed independently for each cell).

# betaOffset-ACK-Index-SubframeSet2, betaOffset2-ACK-Index-SubframeSet2, betaOffset-ACK-Index-MC-SubframeSet2, betaOffset2-ACK-Index-MC-SubframeSet2

Parameter:  $I_{offset,set2}^{HARQ-ACK}$ ,  $I_{offset,set2,X}^{HARQ-ACK}$ ,  $I_{offset,MC,set2}^{HARQ-ACK}$  and  $I_{offset,MC,set2,X}^{HARQ-ACK}$  respectively, see TS 36.213 [23], Table 8.6.3-1.

betaOffset-ACK-Index-SubframeSet2 and betaOffset2-ACK-Index-SubframeSet2 are used for single-codeword, betaOffset-ACK-Index-MC-SubframeSet2, betaOffset2-ACK-Index-MC-SubframeSet2 are used for multiple-codeword. If betaOffset2-ACK-Index-SubframeSet2 is configured; betaOffset-ACK-Index-SubframeSet2 is used when up to 22 HARQ-ACK bits are transmitted otherwise betaOffset2-ACK-Index-SubframeSet2 is used. If betaOffset2-ACK-Index-MC-SubframeSet2 is configured; betaOffset-ACK-Index-MC-SubframeSet2 is used when up to 22 HARQ-ACK bits are transmitted otherwise betaOffset2-ACK-Index-MC-SubframeSet2 is used. One value applies for subframe set 2 of all serving cells with an uplink in a cell group (MCG or SCG or the group of cells configured to send PUCCH on the same cell in case PUCCH SCell is configured) and configured with uplink power control subframe sets (the associated functionality is common i.e. not performed independently for each cell configured with uplink power control subframe sets).

#### betaOffsetAUL

Parameter:  $\beta_{offset}^{AUI-UCI}$ 

# Parameter: Pofat see TS 36.213 [23], clause 8.6.3. betaOffset-CQI-Index, betaOffset-CQI-Index-MC

Parameter:  $I_{\mathit{offset}}^{\mathit{CQI}}$ , for single- and multiple-codeword respectively, see TS 36.213 [23], Table 8.6.3-3. One value

applies for all serving cells with an uplink in a cell group (MCG or SCG or the group of cells configured to send PUCCH on the same cell in case PUCCH SCell is configured) and not configured with uplink power control subframe sets. The same value also applies for subframe set 1 of all serving cells with an uplink in that cell group and configured with uplink power control subframe sets (the associated functionality is common i.e. not performed independently for each cell).

# betaOffset-CQI-Index-SubframeSet2, betaOffset-CQI-Index-MC-SubframeSet2

Parameter:  $I_{offset}^{CQI}$ , for single- and multiple-codeword respectively, see TS 36.213 [23], Table 8.6.3-3. One value applies for subframe set 2 of all serving cells with an uplink in a cell group (MCG or SCG or the group of cells configured to send PUCCH on the same cell in case PUCCH SCell is configured) and configured with uplink power control subframe sets (the associated functionality is common i.e. not performed independently for each cell configured with uplink power control subframe sets).

# betaOffset-RI-Index, betaOffset-RI-Index-MC

Parameter:  $I_{offset}^{RI}$ , for single- and multiple-codeword respectively, see TS 36.213 [23], Table 8.6.3-2. One value

applies for all serving cells with an uplink in a cell group (MCG or SCG or the group of cells configured to send PUCCH on the same cell in case PUCCH SCell is configured) and not configured with uplink power control subframe sets. The same value also applies for subframe set 1 of all serving cells with an uplink in that cell group and configured with uplink power control subframe sets (the associated functionality is common i.e. not performed independently for each cell).

# betaOffset-RI-Index-SubframeSet2, betaOffset-RI-Index-MC-SubframeSet2

Parameter:  $I_{\mathit{offset}}^{\mathit{RI}}$ , for single- and multiple-codeword respectively, see TS 36.213 [23], Table 8.6.3-2. One value

applies for subframe set 2 of all serving cells with an uplink in a cell group (MCG or SCG or the group of cells configured to send PUCCH on the same cell in case PUCCH SCell is configured) and configured with uplink power control subframe sets (the associated functionality is common i.e. not performed independently for each cell configured with uplink power control subframe sets).

### ce-PUSCH-FlexibleStartPRB-AllocConfig

Activation of flexible starting PRB for PUSCH resource allocation in CE mode A or B. offsetCE-ModeB indicates starting PRB offset when flexible starting PRB for PUSCH resource allocation in CE mode B is enabled. See TS 36.212 [22] and TS 36.213 [23]. E-UTRAN does not configure this field when E-UTRA system bandwidth is 1.4 MHz.

### **PUSCH-Config** field descriptions

#### ce-PUSCH-MaxBandwidth

Maximum PUSCH channel bandwidth in CE mode A, see TS 36.212 [22] and TS 36.213 [23]. Value bw5 corresponds to 5 MHz. If this field is not configured, the maximum PUSCH channel bandwidth in CE mode A set to 1.4 MHz. The maximum PUSCH channel bandwidth in CE mode B is 1.4 MHz regardless of the setting of this parameter. Parameter: transmission bandwidth configuration, see TS 36.101 [42], table 5.6-1.

#### ce-PUSCH-MultiTB-Config

Indicates whether UL multi-TB scheduling is enabled, i.e., a single DCI can schedule up to 8 PUSCH transport blocks in CE mode A and up to 4 PUSCH transport blocks in CE mode B. See TS 36.213 [23], clause 8.0.

#### ce-PUSCH-NB-MaxTBS

Activation of 2984 bits maximum PUSCH TBS in 1.4 MHz in CE mode A, see TS 36.212 [22] and TS 36.213 [23].

# ce-PUSCH-SubPRB-Config

Activation of PUSCH sub-PRB allocation in CE mode A or B, see TS 36.211 [21], TS 36.212 [22] and TS 36.213 [23].

### cyclicShift

Parameters: cyclicShift, see TS 36.211 [21], Table 5.5.2.1.1-2.

### dmrs-LessUpPTS-Config

Indicates the UE not to transmit DMRS for PUSCH in UpPTS, see TS36.211 [21], clause 5.5.2.1.2.

#### dmrs-WithOCC-Activated

Parameter: Activate-DMRS-with OCC, see TS 36.211 [21], clause 5.5.2.1.

#### enable256QAM

See TS 36.213 [23], clause 8.6.1. If *enable256QAM* is included and if uplink power control subframe sets are configured by *tpc-SubframeSet*, the field indicates (if set to TRUE) per uplink power control subframe set and DCI format 0/0A/0B and 4/4A/4B that 256QAM is allowed for UE UL categories as indicated in TS 36.306 [5], Table 4.1A-2, while FALSE indicates that 256 QAM is not allowed. If *enable256QAM* is included and if uplink power control subframe sets are not configured by *tpc-SubframeSet*, the field indicates (if set to TRUE) per DCI format 0/0A/0B and 4/4A/4B that 256QAM is allowed for UE UL categories as indicated in TS 36.306 [5], Table 4.1A-2, while FALSE indicates that 256 QAM is not allowed.

#### enable64QAM

See TS 36.213 [23], clause 8.6.1. If *enable64QAM* (without suffix) is set to TRUE, it indicates that 64QAM is allowed for UE categories 5 and 8 indicated in *ue-Category* and UL categories indicated in *ue-CategoryUL* which support UL 64QAM and can fallback to category 5 or 8, see TS 36.306 [5], Table 4.1A-2 and Table 4.1A-6, while FALSE indicates that 64QAM is not allowed. If *enable64QAM-v1270* is set to TRUE, it indicates that 64QAM is allowed for UL categories indicated in *ue-CategoryUL* which support UL 64QAM but cannot fallback category 5 or 8, see TS 36.306 [5], Table 4.1A-2 and Table 4.1A-6. E-UTRAN configures *enable64QAM-v1270* only when *enable64QAM* (without suffix) is set to TRUE.

# interleaving

Indicates whether interleaving for UL multi-TB scheduling is enabled, see TS 36.213 [23], clause 8.0.

# interval-ULHoppingPUSCH-Enh

Number of consecutive absolute subframes over which PUSCH stays at the same PRBs before hopping to other PRBs. For *interval-FDD-PUSCH-Enh*, int1 corresponds to 1 subframe, int2 corresponds to 2 subframes, and so on. For *interval-TDD-PUSCH-Enh*, int1 corresponds to 1 subframe, int5 corresponds to 5 subframes, and so on. See TS 36.211 [21], clause 5.3.4.

# groupAssignmentPUSCH

Parameter:  $\triangle$ SS See TS 36.211 [21], clause 5.5.1.3.

# groupHoppingDisabled

Parameter: Disable-sequence-group-hopping, see TS 36.211 [21], clause 5.5.1.3.

# groupHoppingEnabled

Parameter: Group-hopping-enabled, see TS 36.211 [21], clause 5.5.1.3.

# hoppingMode

Parameter: Hopping-mode, see TS 36.211 [21], clause 5.3.4.

#### IocationCE-ModeB

PRB location within the narrowband when PUSCH sub-PRB allocation is enabled in CE mode B.

# nDMRS-CSH-Identity

Parameter:  $N_{\mathrm{ID}}^{\mathrm{csh\_DMRS}}$  , see TS 36.211 [21], clause 5.5.2.1.1.

# nPUSCH-Identity

Parameter:  $n_{\mathrm{ID}}^{\mathrm{PUSCH}}$  , see TS 36.211 [21], clause 5.5.1.5.

# n-SB

Parameter: N<sub>sb</sub> see TS 36.211 [21], clause 5.3.4.

# pusch-HoppingConfig

For BL UEs and UEs in CE, frequency hopping activation/deactivation for unicast PUSCH, see TS 36.211 [21]

#### pusch-hoppingOffset

Except for BL UEs and UEs in CE, parameter:  $N_{\rm RB}^{\rm HO}$ , see TS 36.211 [21], clause 5.3.4. For BL UEs and UEs in CE,

the pusch-hoppingOffset-v1310 indicates the parameter  $f_{\mathrm{NB,hop}}^{\mathrm{PUSCH}}$ , see TS 36.211 [21], clause 5.3.4. In case pusch-hoppingOffset-v1310 is signalled, the BL UEs and UEs in CE shall ignore pusch-hoppingOffset (i.e. without suffix).

# **PUSCH-Config** field descriptions

### pusch-HoppingOffsetPUSCH-Enh

Indicates the frequency domain hopping offset between PRBs for PUSCH in frequency hopping, see TS 36.211 [21], clause 5.3.4. Value 1 corresponds to 1 PRB, value 2 corresponds to 2 PRBs, and so on.

# pusch-maxNumRepetitionCEmodeA

Maximum value to indicate the set of PUSCH repetition numbers for CE mode A, see TS 36.211 [21] and TS 36.213 [23]. E-UTRAN does not configure value r8. If the field is not configured, the UE shall apply the default value as defined in TS 36.213 [23], clause 8.0.

#### pusch-maxNumRepetitionCEmodeB

Maximum value to indicate the set of PUSCH repetition numbers for CE mode B, see TS 36.211 [21] and TS 36.213 [23].

#### pusch-TxDuration

Duration of PUSCH segment transmission in NTN transmission, see TS 36.213 [23]. Value in number of resource units. Value *n2* corresponds to 2 resource units, value *n4* corresponds to 4 resource units and so on.

The signalled value corresponds to full-PRB allocation (unit: subframe). If PUSCH sub-PRB is configured, the signalled value is divided by 2, 4 and 8 for sub-PRB allocation of 6, 3 and 2-out-of-3 tones allocation and corresponds to the resource unit for 6 tones, 3 and 2-out-of-3 tones, respectively. If value n2 is signalled and PUSCH sub-PRB is configured, segment transmission is not applicable to 3 and 2-out-of-3 tones allocation. If value n4 is signalled and PUSCH sub-PRB is configured, segment transmission is not applicable to 2-out-of-3 tones allocation.

# sequenceHoppingEnabled

Parameter: Sequence-hopping-enabled, see TS 36.211 [21], clause 5.5.1.4.

# sixToneCyclicShift, threeToneCyclicShift

Cyclic shift for PUSCH reference signal sequence of six/three subcarriers in CE mode A or B.

# symPUSCH-UpPTS

Indicates the number of data symbols that configured for PUSCH transmission in UpPTS. Values *sym2*, *sym3*, *sym4*, *sym5* and *sym6* can be used for normal cyclic prefix, if *dmrsLess-UpPTS* is set to *true*, otherwise, values *sym2*, *sym3*, *sym4*, *sym5* can be used for normal cyclic prefix and values *sym1*, *sym2*, *sym3* and *sym4* can be used for extended cyclic prefix, see TS 36.213 [23], clause 8.6.2 and TS 36.211 [21], clause 5.3.4.

### ul-DMRS-IFDMA

Value TRUE indicates that the UE is configured with enhanced UL DMRS.

#### ul-ReferenceSignalsPUSCH

Used to specify parameters needed for the transmission on PUSCH (or PUCCH).

Conditional presence	Explanation
CE-ModeB	The field is optionally present, need ON, for CE Mode B. Otherwise, the field is not
	present.

# RACH-ConfigCommon

The IE RACH-ConfigCommon is used to specify the generic random access parameters.

# RACH-ConfigCommon information element

```
-- ASN1START
RACH-ConfigCommon ::=
                            SEQUENCE {
    preambleInfo
                                         SEQUENCE {
        numberOfRA-Preambles
                                             ENUMERATED {
                                                 n4, n8, n12, n16, n20, n24, n28,
                                                 n32, n36, n40, n44, n48, n52, n56,
                                                 n60, n64},
        preamblesGroupAConfig
                                             SEQUENCE ·
            sizeOfRA-PreamblesGroupA
                                                 ENUMERATED {
                                                     n4, n8, n12, n16, n20, n24, n28,
                                                     n32, n36, n40, n44, n48, n52, n56,
                                                     n60},
            messageSizeGroupA
                                                     ENUMERATED {b56, b144, b208, b256},
            messagePowerOffsetGroupB
                                                 ENUMERATED {
                                                     minusinfinity, dB0, dB5, dB8, dB10, dB12,
                                                     dB15, dB18},
        }
                    OPTIONAL
                                                                                  -- Need OP
    powerRampingParameters
                                         PowerRampingParameters,
    ra-SupervisionInfo
                                         SEQUENCE {
                                             PreambleTransMax,
        preambleTransMax
        ra-ResponseWindowSize
                                             ENUMERATED {
                                                 sf2, sf3, sf4, sf5, sf6, sf7,
```

```
sf8, sf10},
        mac-ContentionResolutionTimer
                                                ENUMERATED {
                                                    sf8, sf16, sf24, sf32, sf40, sf48,
                                                     sf56, sf64}
    maxHARQ-Msg3Tx
                                           INTEGER (1..8),
    [[ preambleTransMax-CE-r13 PreambleTransMax rach-CE-LevelInfoList-r13 RACH-CE-LevelInfoList-r13
                                                                                   OPTIONAL,
                                                                                                -- Need OR
-- Need OR
                                                                                  OPTIONAL
    [[ edt-SmallTBS-Subset-r15
                                          ENUMERATED {true}
                                                                                  OPTIONAL
                                                                                                     -- Cond
EDT-OR
    ]]
}
RACH-ConfigCommon-v1250 ::= SEQUENCE {
        connEstFailCount-r12

ConnEstFailCount-r12
    txFailParams-r12
        connEstFailOffsetValidity-r12
                                                     ENUMERATED {n1, n2, n3, n4},
                                                    ENUMERATED {s30, s60, s120, s240,
                                                             s300, s420, s600, s900},
        connEstFailOffset-r12
                                                    INTEGER (0..15) OPTIONAL -- Need OP
}
RACH-ConfigCommonSCell-rl1 ::= SEQUENCE {
   powerRampingParameters-rl1 PowerRampingParameters,
   ra-SupervisionInfo-rl1 SEQUENCE {
      preambleTransMax-rl1 PreambleTransMax
RACH-CE-LevelInfoList-r13 ::= SEQUENCE (SIZE (1..maxCE-Level-r13)) OF RACH-CE-LevelInfo-r13
RACH-CE-LevelInfo-r13 ::=
                                 SEQUENCE {
                                  SEQUENCE {
   preambleMappingInfo-r13
                                                INTEGER(0..63),
       firstPreamble-r13
        lastPreamble-r13
                                                INTEGER(0..63)
    ra-ResponseWindowSize-r13 ENUMERATED {sf20, sf50, sf80, sf120, sf180,
                                                         sf240, sf320, sf400},
    \verb|mac-ContentionResolutionTimer-r13| & \verb|ENUMERATED| & $\{ \verb|sf80|, \verb|sf100|, \verb|sf120|, \\
                                                         sf160, sf200, sf240, sf480, sf960},
                                     ENUMERATED {on,off},
    rar-HoppingConfig-r13
    [[ edt-Parameters-r15
             edt-LastPreamble-r15 SEQUENCE {
edt-SmallTRG
             edt-LastPreamble-r15 INTEGER(0..63), edt-SmallTBS-Enabled-r15 BOOLEAN,
                           ENUMERATED {b328, b408, b504, b600, b712,
             b808, b936, b1000or456}, mac-ContentionResolutionTimer-r15 ENUMERATED {sf240, sf480, sf960,
                                                        sf1920, sf3840, sf5760, sf7680, sf10240}
    OPTIONAL -- Need OP
          } OPTIONAL -- Cond EDT
    11
}
PowerRampingParameters ::= SEQUENCE { powerRampingStep ENUMER
                                       ENUMERATED {dB0, dB2,dB4, dB6},
    preambleInitialReceivedTargetPower ENUMERATED {
                                                dBm-120, dBm-118, dBm-116, dBm-114, dBm-112,
                                                dBm-110, dBm-108, dBm-106, dBm-104, dBm-102,
                                                dBm-100, dBm-98, dBm-96, dBm-94, dBm-92, dBm-90}
PreambleTransMax ::=
                                      ENUMERATED {
                                                n3, n4, n5, n6, n7, n8, n10, n20, n50,
                                                n100, n200}
-- ASN1STOP
```

# **RACH-ConfigCommon field descriptions**

#### connEstFailCount

Number of times that the UE detects T300 expiry on the same cell before applying connEstFailOffset.

#### connEstFailOffset

Parameter "Qoffsettemp" in TS 36.304 [4]. If the field is not present the value of infinity shall be used for "Qoffsettemp".

### connEstFailOffsetValidity

Amount of time that the UE applies *connEstFailOffset* before removing the offset from evaluation of the cell. Value s30 corresponds to 30 seconds, s60 corresponds to 60 seconds, and so on.

#### edt-LastPreamble

Provides the mapping of preambles to groups for each CE level for EDT, as specified in TS 36.321 [6]. For the concerned CE level, if PRACH resources configured by *edt-PRACH-ParametersCE-r15* are different from the PRACH resources configured by *PRACH-ParametersCE-r13* for all CE levels and *edt-PRACH-ParametersCE-r15* for all other CE levels, the preambles for EDT are the preambles *firstPreamble-r13* to *edt-LastPreamble-r15*, otherwise the preambles for EDT are the preambles *lastPreamble-r13* +1 to *edt-LastPreamble-r15*.

### edt-SmallTBS-Enabled

Value TRUE indicates UE performing EDT is allowed to select TBS smaller than *edt-TBS* for Msg3 in the corresponding CE level, as specified in TS 36.213 [23].

#### edt-SmallTBS-Subset

Presence indicates only two of the TBS values can be used according to *edt-TBS* corresponding to the CE level, as specified in TS 36.213 [23]. When the field is not present, any of the TBS values according to *edt-TBS* corresponding to the CE level can be used. This field is applicable for a CE level only when *edt-SmallTBS-Enabled* is included for the corresponding CE level.

#### edt-TBS

Largest TBS for Msg3 for a CE level applicable to a UE performing EDT. Value in bits. Value b328 corresponds to 328 bits, b408 corresponds to 408 bits and so on. Additionally, value b1000or456 corresponds to 1000 bits for CE levels 0 and 1, and 456 bits for CE levels 2 and 3. See TS 36.213 [23].

#### mac-ContentionResolutionTimer

Timer for contention resolution in TS 36.321 [6]. Value in subframes. Value sf8 corresponds to 8 subframes, sf16 corresponds to 16 subframes and so on. *mac-ContentionResolutionTimer-r15* is only applicable for EDT. UE performing EDT shall use *mac-ContentionResolutionTimer-r15*, if present.

### maxHARQ-Msg3Tx

Maximum number of Msg3 HARQ transmissions in TS 36.321 [6], used for contention based random access. Value is an integer.

# messagePowerOffsetGroupB

Threshold for preamble selection in TS 36.321 [6]. Value in dB. Value minusinfinity corresponds to –infinity. Value dB0 corresponds to 0 dB, dB5 corresponds to 5 dB and so on.

#### messageSizeGroupA

Threshold for preamble selection in TS 36.321 [6]. Value in bits. Value b56 corresponds to 56 bits, b144 corresponds to 144 bits and so on.

# numberOfRA-Preambles

Number of non-dedicated random access preambles in TS 36.321 [6]. Value is an integer. Value n4 corresponds to 4, n8 corresponds to 8 and so on.

# powerRampingStep

Power ramping factor in TS 36.321 [6]. Value in dB. Value dB0 corresponds to 0 dB, dB2 corresponds to 2 dB and so on.

# preambleInitialReceivedTargetPower

Initial preamble power in TS 36.321 [6]. Value in dBm. Value dBm-120 corresponds to -120 dBm, dBm-118 corresponds to -118 dBm and so on.

# preambleMappingInfo

Provides the mapping of preambles to groups for each CE level, except for EDT, as specified in TS 36.321 [6]. When random access preambles group B is used, *firstPreamble-r13* is set to 0 and *lastPreamble-r13* is set to *numberOfRA-Preambles-1*.

# preamblesGroupAConfig

Provides the configuration for preamble grouping in TS 36.321 [6]. If the field is not signalled, the size of the random access preambles group A, as specified in TS 36.321 [6], is equal to *numberOfRA-Preambles*.

# preambleTransMax, preambleTransMax-CE

Maximum number of preamble transmission in TS 36.321 [6]. Value is an integer. Value n3 corresponds to 3, n4 corresponds to 4 and so on.

#### rach-CE-LevelInfoList

Provides RACH information for each coverage level. The first entry in the list contains RACH information of CE level 0, the second entry in the list contains RACH information of CE level 1, and so on. If E-UTRAN includes *rach-CE-LevelInfoList*, it includes the same number of entries as in *prach-ParametersListCE*.

#### ra-ResponseWindowSize

Duration of the RA response window in TS 36.321 [6]. Value in subframes. Value sf2 corresponds to 2 subframes, sf3 corresponds to 3 subframes and so on. The same value applies for each serving cell (although the associated functionality is performed independently for each cell).

RACH-ConfigCommon field descriptions	
connEstFailCount	
Number of times that the UE detects T300 expiry on the same cell before applying connEstFailOffset.	
rar-HoppingConfig	
Frequency hopping activation/deactivation for RAR/Msg3/Msg4 for a CE level, see TS 36.211 [21].	
sizeOfRA-PreamblesGroupA	
Size of the random access preambles group A in TS 36.321 [6]. Value is an integer. Value n4 corresponds to 4, n8 corresponds to 8 and so on	

Conditional presence	Explanation
EDT	The field is mandatory present if <i>cp-EDT</i> or <i>up-EDT</i> in <i>SystemInformationBlockType2</i> is present; otherwise the field is not present and the UE shall delete any existing value for this field.
EDT-OR	The field is optionally present, Need OR, if <i>cp-EDT</i> or <i>up-EDT</i> in <i>SystemInformationBlockType2</i> is present; otherwise the field is not present and the UE shall delete any existing value for this field.

# RACH-ConfigDedicated

The IE RACH-ConfigDedicated is used to specify the dedicated random access parameters.

# RACH-ConfigDedicated information element

```
-- ASN1START

RACH-ConfigDedicated ::= SEQUENCE {
    ra-PreambleIndex INTEGER (0..63),
    ra-PRACH-MaskIndex INTEGER (0..15)
}

-- ASN1STOP
```

RACH-ConfigDedicated field descriptions	
ra-PRACH-Maskindex	
Explicitly signalled PRACH Mask Index for RA Resource selection in TS 36.321 [6].	
ra-PreambleIndex	
Explicitly signalled Random Access Preamble for RA Resource selection in TS 36.321 [6].	

# RadioResourceConfigCommon

The IE *RadioResourceConfigCommonSIB* and IE *RadioResourceConfigCommon* are used to specify common radio resource configurations in the system information and in the mobility control information, respectively, e.g., the random access parameters and the static physical layer parameters.

# RadioResourceConfigCommon information element

```
-- ASN1START
RadioResourceConfigCommonSIB ::=
                                  SEQUENCE {
   rach-ConfigCommon
                                      RACH-ConfigCommon,
   bcch-Config
                                   BCCH-Config,
   pcch-Config
                                   PCCH-Config,
                                      PRACH-ConfigSIB,
   prach-Config
   pdsch-ConfigCommon
                                       PDSCH-ConfigCommon,
   pusch-ConfigCommon
                                       PUSCH-ConfigCommon,
   pucch-ConfigCommon
                                     PUCCH-ConfigCommon,
   soundingRS-UL-ConfigCommon
                                      SoundingRS-UL-ConfigCommon,
   uplinkPowerControlCommon
                                       UplinkPowerControlCommon,
   ul-CyclicPrefixLength
                                      UL-CyclicPrefixLength,
   [[ uplinkPowerControlCommon-v1020 UplinkPowerControlCommon-v1020
                                                                          OPTIONAL
                                                                                      -- Need OR
   ]],
    [[ rach-ConfigCommon-v1250
                                       RACH-ConfigCommon-v1250
                                                                          OPTIONAL
                                                                                      -- Need OR
   [[ pusch-ConfigCommon-v1270
                                       PUSCH-ConfigCommon-v1270
                                                                          OPTIONAL
                                                                                      -- Need OR
```

```
]],
[[ bcch-Config-v1310
                                        BCCH-Config-v1310
                                                                            OPTIONAL,
                                                                                        -- Need OR
        pcch-Config-v1310
                                       PCCH-Config-v1310
                                                                            OPTIONAL,
                                                                                        -- Need OR
                                                                            OPTIONAL,
        freqHoppingParameters-r13
                                        FreqHoppingParameters-r13
                                                                                        -- Need OR
        pdsch-ConfigCommon-v1310
                                        PDSCH-ConfigCommon-v1310
                                                                            OPTIONAL,
                                                                                        -- Need OR
        pusch-ConfigCommon-v1310
                                        PUSCH-ConfigCommon-v1310
                                                                            OPTIONAL,
                                                                                        -- Need OR
        prach-ConfigCommon-v1310
                                                                            OPTIONAL,
                                        PRACH-ConfigSIB-v1310
                                                                                        -- Need OR
                                                                                        -- Need OR
                                       PUCCH-ConfigCommon-v1310
       pucch-ConfigCommon-v1310
                                                                            OPTIONAL
    ]],
    [[ highSpeedConfig-r14
                                        HighSpeedConfig-r14
                                                                            OPTIONAL,
                                                                                        -- Need OR
                                                                                        -- Need OR
       prach-Config-v1430
                                        PRACH-Config-v1430
                                                                            OPTIONAL,
        pucch-ConfigCommon-v1430
                                       PUCCH-ConfigCommon-v1430
                                                                            OPTIONAL
                                                                                        -- Need OR
    11,
    [[ prach-Config-v1530
                                        PRACH-ConfigSIB-v1530
                                                                            OPTIONAL,
                                                                                        -- Cond EDT
        ce-RSS-Config-r15
                                        RSS-Config-r15
                                                                            OPTIONAL,
                                                                                        -- Need OR
                                                                            OPTIONAL,
        wus-Config-r15
                                        WUS-Config-r15
                                                                                        -- Need OR
                                                                                        -- Need OR
       highSpeedConfig-v1530
                                       HighSpeedConfig-v1530
                                                                            OPTIONAL
    [[ uplinkPowerControlCommon-v1540 UplinkPowerControlCommon-v1530
                                                                            OPTIONAL
                                                                                        -- Need OR
    ]],
    [[ wus-Config-v1560
                                        WUS-Config-v1560
                                                                            OPTIONAL
                                                                                        -- Need OR
    ]],
    [[
        wus-Config-v1610
                                       WUS-Config-v1610
                                                           OPTIONAL, -- Need OR
                                                                            OPTIONAL,
                                                                                       -- Need OR
       wus-Config-v1610 WUS-Config-v1610 highSpeedConfig-v1610 HighSpeedConfig-v1610
                                                                       n-r16 OPTIONAL, -- Need OR
OPTIONAL, -- Need OR
        crs-ChEstMPDCCH-ConfigCommon-r16 CRS-ChEstMPDCCH-ConfigCommon-r16
        gwus-Config-r16
                                       GWUS-Config-r16
        uplinkPowerControlCommon-v1610 UplinkPowerControlCommon-v1610
                                                                            OPTIONAL,
                                                                            OPTIONAL,
        rss-MeasConfig-r16
                                        ENUMERATED {enabled}
                                                                                        -- Need OR
        rss-MeasNonNCL-r16
puncturedSubcarriersDL-r16
BIT STRI
BOOLEAN
BOOLEAN
                                       ENUMERATED {enabled}
                                                                                        -- Need OR
                                                                            OPTIONAL,
                                                                                        -- Need OR
                                        BIT STRING (SIZE (2))
                                                                            OPTIONAL,
                                                                                        -- Need OR
                                                                            OPTIONAL
    ]],
    ГΓ
        pcch-Config-v1700
                                        PCCH-Config-v1700
                                                                          OPTIONAL, -- Need OR
                                        SEQUENCE {
        ntn-ConfigCommon-r17
           ta-Report-r17
                                           ENUMERATED {enabled}
                                                                                OPTIONAL, -- Need
OR
            t.318-r17
                                            ENUMERATED {
                                                ms0, ms50, ms100, ms200,
                                                ms500, ms1000, ms2000, ms4000},
                                           PRACH-TxDuration-r17 OPTIONAL,
            prach-TxDuration-r17
                                                                                        -- Need OR
                                                                            OPTIONAL,
                                                                                        -- Need OR
            pucch-TxDuration-r17
                                           PUCCH-TxDuration-r17
                                                                                        -- Need OR
            pusch-TxDuration-r17
                                           PUSCH-TxDuration-r17
                                                                            OPTIONAL
            OPTIONAL -- Cond NTN
    ]]
}
RadioResourceConfigCommon ::=
                                    SEQUENCE {
   rach-ConfigCommon
                                       RACH-ConfigCommon
                                                                           OPTIONAL,
                                                                                        -- Need ON
   prach-Config
                                        PRACH-Config,
    pdsch-ConfigCommon
                                        PDSCH-ConfigCommon
                                                                            OPTIONAL,
                                                                                        -- Need ON
    pusch-ConfigCommon
                                        PUSCH-ConfigCommon,
    phich-Config
                                        PHICH-Config
                                                                            OPTIONAL,
                                                                                        -- Need ON
                                                                                        -- Need ON
    pucch-ConfigCommon
                                       PUCCH-ConfigCommon
                                                                            OPTIONAL,
    soundingRS-UL-ConfigCommon
                                        SoundingRS-UL-ConfigCommon
                                                                            OPTIONAL,
                                                                                        -- Need ON
                                                                            OPTIONAL,
                                                                                        -- Need ON
    uplinkPowerControlCommon
                                        UplinkPowerControlCommon
                                                                                        -- Need ON
    antennaInfoCommon
                                       AntennaInfoCommon
                                                                            OPTIONAL,
    p-Max
                                        P-Max
                                                                            OPTIONAL,
                                                                                        -- Need OP
                                                                                        -- Cond TDD
    tdd-Confia
                                        TDD-Config
                                                                            OPTIONAL.
    ul-CyclicPrefixLength
                                       UL-CyclicPrefixLength,
    [[ uplinkPowerControlCommon-v1020 UplinkPowerControlCommon-v1020
                                                                            OPTIONAL
                                                                                        -- Need ON
    ]],
    [[ tdd-Config-v1130
                                        TDD-Config-v1130
                                                                            OPTIONAL
                                                                                        -- Cond TDD3
    ]],
    [[ pusch-ConfigCommon-v1270
                                        PUSCH-ConfigCommon-v1270
                                                                            OPTIONAL
                                                                                        -- Need OR
    ]],
    Π
                                                                            OPTIONAL,
        prach-Config-v1310
                                        PRACH-Config-v1310
                                                                                        -- Need ON
        freqHoppingParameters-r13
                                        FreqHoppingParameters-r13
                                                                            OPTIONAL,
                                                                                        -- Need ON
        pdsch-ConfigCommon-v1310
                                        PDSCH-ConfigCommon-v1310
                                                                            OPTIONAL,
                                                                                        -- Need ON
                                                                            OPTIONAL,
                                                                                        -- Need ON
        pucch-ConfigCommon-v1310
                                        PUCCH-ConfigCommon-v1310
                                                                            OPTIONAL,
        pusch-ConfigCommon-v1310
                                        PUSCH-ConfigCommon-v1310
                                                                                        -- Need ON
        uplinkPowerControlCommon-v1310 UplinkPowerControlCommon-v1310
                                                                            OPTIONAL
                                                                                        -- Need ON
    [[ highSpeedConfig-r14
                                        HighSpeedConfig-r14
                                                                            OPTIONAL.
                                                                                        -- Need OR
                                                                                       -- Need OR
       prach-Config-v1430
                                        PRACH-Config-v1430
                                                                            OPTIONAL,
```

```
pucch-ConfigCommon-v1430
                                       PUCCH-ConfigCommon-v1430
                                                                                       -- Need OR
                                                                           OPTIONAL,
        tdd-Config-v1430
                                       TDD-Config-v1430
                                                                           OPTIONAL
                                                                                       -- Cond TDD3
    11,
    [[
        tdd-Config-v1450
                                       TDD-Config-v1450
                                                                           OPTIONAL
                                                                                       -- Cond TDD3
       uplinkPowerControlCommon-v1530 UplinkPowerControlCommon-v1530
                                                                          OPTIONAL.
                                                                                       -- Need ON
    [ [
                                                                                       -- Need OR
       highSpeedConfig-v1530
                                       HighSpeedConfig-v1530
                                                                           OPTIONAL
    ]],
    [ [
       highSpeedConfig-v1610
                                       HighSpeedConfig-v1610
                                                                           OPTIONAL,
                                                                                       -- Need OR
                                                                           OPTIONAL,
       uplinkPowerControlCommon-v1610 UplinkPowerControlCommon-v1610
                                                                                       -- Need OR
       highSpeedInterRAT-NR-r16
                                       BOOLEAN
                                                                           OPTIONAL
                                                                                       -- Need ON
    [[ ntn-ConfigCommon-r17
                                       SEQUENCE {
                                           ENUMERATED {enabled}
           ta-Report-r17
                                                                          OPTIONAL,
                                                                                       -- Need OR
           t318-r17
                                           ENUMERATED
                                               ms0, ms50, ms100, ms200, ms500,
                                               ms1000, ms2000, ms4000, ms6000},
                                           PRACH-TxDuration-r17
PUCCH-TxDuration-r17
                                                                          OPTIONAL,
           prach-TxDuration-r17
                                                                                       -- Need OR
                                                                                       -- Need OR
           pucch-TxDuration-r17
                                                                           OPTIONAL,
           pusch-TxDuration-r17
                                           PUSCH-TxDuration-r17
                                                                          OPTIONAL
                                                                                       -- Need OR
                      -- Cond NTN
           OPTIONAL
    11
}
RadioResourceConfigCommonPSCell-r12 ::= SEQUENCE {
    basicFields-r12
                                       RadioResourceConfigCommonSCell-r10,
    pucch-ConfigCommon-r12
                                       PUCCH-ConfigCommon,
    rach-ConfigCommon-r12
                                       RACH-ConfigCommon,
    uplinkPowerControlCommonPSCell-r12 UplinkPowerControlCommonPSCell-r12,
    [[ uplinkPowerControlCommonPSCell-v1310
                                   UplinkPowerControlCommon-v1310
                                                                     OPTIONAL
                                                                                   -- Need ON
    [[ uplinkPowerControlCommonPSCell-v1530
                                   UplinkPowerControlCommon-v1530
                                                                     OPTIONAL
                                                                                   -- Need ON
    11
}
RadioResourceConfigCommonPSCell-v12f0 ::= SEQUENCE {
    basicFields-v12f0
                                       RadioResourceConfigCommonSCell-v1010
RadioResourceConfigCommonPSCell-v1440 ::= SEQUENCE {
   basicFields-v1440
                                       RadioResourceConfigCommonSCell-v1440
RadioResourceConfigCommonSCell-r10 ::= SEQUENCE {
     - DL configuration as well as configuration applicable for DL and UL
   nonUL-Configuration-r10
                                         SEQUENCE {
         -- 1: Cell characteristics
        dl-Bandwidth-r10
                                               ENUMERATED {n6, n15, n25, n50, n75, n100},
        -- 2: Physical configuration, general
        antennaInfoCommon-r10
                                               AntennaInfoCommon,
        mbsfn-SubframeConfigList-r10
                                               MBSFN-SubframeConfigList OPTIONAL,
                                                                                     -- Need OR
        -- 3: Physical configuration, control
                                               PHICH-Config,
        phich-Config-r10
        -- 4: Physical configuration, physical channels
                                               PDSCH-ConfigCommon,
        pdsch-ConfigCommon-r10
        tdd-Config-r10
                                               TDD-Config
                                                                           OPTIONAL
                                                                                       -- Cond
TDDSCell
   },
    -- UL configuration
                                       SEQUENCE {
    ul-Configuration-r10
        ul-FreqInfo-r10
                                           SEQUENCE {
                                               ARFCN-ValueEUTRA
           ul-CarrierFreq-r10
                                                                           OPTIONAL,
                                                                                       -- Need OP
           ul-Bandwidth-r10
                                               ENUMERATED {n6, n15,
                                                                         OPTIONAL,
                                                  n25, n50, n75, n100}
                                                                                       -- Need OP
           additionalSpectrumEmissionSCell-r10
                                                  AdditionalSpectrumEmission
        },
                                                                                       -- Need OP
       p-Max-r10
                                                                           OPTIONAL,
                                           P-Max
                                              UplinkPowerControlCommonSCell-r10,
        uplinkPowerControlCommonSCell-r10
        -- A special version of IE UplinkPowerControlCommon may be introduced
        -- 3: Physical configuration, control
        soundingRS-UL-ConfigCommon-r10
                                         SoundingRS-UL-ConfigCommon,
        ul-CyclicPrefixLength-r10
                                           UL-CyclicPrefixLength,
        -- 4: Physical configuration, physical channels
```

```
prach-ConfigSCell-r10
                                PRACH-ConfigSCell-r10 OPTIONAL, -- Cond TDD-
OR-NoR11
       pusch-ConfigCommon-r10
                                         PUSCH-ConfigCommon
                                                                            OPTIONAL.
                                                                                        -- Need OR
    [[ ul-CarrierFreq-v1090
                                          ARFCN-ValueEUTRA-v9e0
                                                                           OPTIONAL
                                                                                        -- Need OP
    ]],
    [[ rach-ConfigCommonSCell-r11
                                          RACH-ConfigCommonSCell-r11
                                                                           OPTIONAL,
                                                                                        -- Cond
ULSCell
       prach-ConfigSCell-r11
                                                                            OPTIONAL,
        tdd-Config-v1130
                                           TDD-Config-v1130
                                                                            OPTIONAL,
                                                                                        -- Cond TDD2
       uplinkPowerControlCommonSCell-v1130
                          UplinkPowerControlCommonSCell-v1130
                                                                           OPTIONAL
                                                                                        -- Cond III.
    [[ pusch-ConfigCommon-v1270
                                      PUSCH-ConfigCommon-v1270
                                                                            OPTIONAL
                                                                                         -- Need OR
    11,
    [[ pucch-ConfigCommon-r13
                                           PUCCH-ConfigCommon OPTIONAL, -- Cond UL
        uplinkPowerControlCommonSCell-v1310
                              UplinkPowerControlCommonSCell-v1310 OPTIONAL -- Cond UL
    [[ highSpeedConfigSCell-r14 HighSpeedConfigSCell prach-Config-v1430 PRACH-Config-v1430 ul-Configuration-r14 SEQUENCE {
                                       HighSpeedConfigSCell-r14
PRACH-Config-v1430
                                                                            OPTIONAL,
                                                                                        -- Need OR
                                                                            OPTIONAL,
                                                                                        -- Cond UL
       ul-FregInfo-r14
                                           SEQUENCE {
           ul-CarrierFreq-r14
                                               ARFCN-ValueEUTRA-r9
                                                                            OPTIONAL.
                                                                                        -- Need OP
           ul-Bandwidth-r14
                                                ENUMERATED {n6, n15,
           n25, n50, n75, n100} OPTIONAL, additionalSpectrumEmissionSCell-r14 AdditionalSpectrumEmission
                                                                                        -- Need OP
        p-Max-r14
                                                                            OPTIONAL,
                                                                                        -- Need OP
                                           P-Max
       soundingRS-UL-ConfigCommon-r14 SoundingRS-UL-ConfigCom ul-CyclicPrefixLength-r14 UL-CyclicPrefixLength, prach-ConfigSCell-r14 PRACH-ConfigSCell-r
                                            SoundingRS-UL-ConfigCommon,
                                           PRACH-ConfigSCell-r10 OPTIONAL, -- Cond TDD-
       prach-ConfigSCell-r14
OR-NoR11
        uplinkPowerControlCommonPUSCH-LessCell-v1430
                   UplinkPowerControlCommonPUSCH-LessCell-v1430 OPTIONAL -- Need OR OPTIONAL, -- Cond ULSRS
}
                                            ENUMERATED {sa2,sa4,sa5} OPTIONAL, -- Need
   harq-ReferenceConfig-r14
OR
    soundingRS-FlexibleTiming-r14
                                          ENUMERATED {true} OPTIONAL
                                                                                       -- Need OR
                                           MBSFN-SubframeConfigList-v1430
    [[ mbsfn-SubframeConfigList-v1430
                                                                               OPTIONAL -- Need ON
    ]],
    [[ uplinkPowerControlCommonSCell-v1530 UplinkPowerControlCommon-v1530
                                                                              OPTIONAL -- Need ON
    ]],
    [[ highSpeedEnhMeasFlagSCell-r16
                                               BOOLEAN
                                                                           OPTIONAL -- Need ON
    11
}
RadioResourceConfigCommonSCell-v1010 ::= SEQUENCE {
    -- UL configuration
                                       SEQUENCE {
    ul-Configuration-v1010
       additionalSpectrumEmissionSCell-v1010
                                                    AdditionalSpectrumEmission-v1010
}
RadioResourceConfigCommonSCell-v1440 ::= SEQUENCE {
   ul-Configuration-v1440 SEQUENCE {
        ul-FreqInfo-v1440
                                               SEQUENCE {
           additionalSpectrumEmissionScell-v1440 AdditionalSpectrumEmission-v1010
}
                                    SEQUENCE {
BCCH-Config ::=
   modificationPeriodCoeff
                                       ENUMERATED {n2, n4, n8, n16}
BCCH-Config-v1310 ::=
                                   SEQUENCE {
   H-CONTIG-V1310 ::= SEQUENCE {
  modificationPeriodCoeff-v1310 ENUMERATED {n64}
FreqHoppingParameters-r13 ::=
                                   SEQUENCE {
   dummy ENUMERATED {nb2, nb4} dummy2 CHOICE {
                                                       OPTIONAL,
                   CHOICE {
       interval-FDD-r13
                                              ENUMERATED {int1, int2, int4, int8},
       interval-TDD-r13
                                                ENUMERATED {int1, int5, int10, int20}
                                                                           OPTIONAL,
```

```
CHOICE {
                                                     ENUMERATED {int2, int4, int8, int16},
ENUMERATED { int5, int10, int20, int40}
         interval-FDD-r13
        interval-TDD-r13
                                                                                    OPTIONAL,
    interval-ULHoppingConfigCommonModeA-r13 CHOICE {
                                                  ENUMERATED {int1, int2, int4, int8},
ENUMERATED {int1, int5, int10, int20}
        interval-FDD-r13
         interval-TDD-r13
                                                                                                -- Cond MP-A
                                                                                    OPTIONAL,
    interval-ULHoppingConfigCommonModeB-r13 CHOICE {
         interval-FDD-r13
                                                     ENUMERATED {int2, int4, int8, int16},
         interval-TDD-r13
                                                     ENUMERATED { int5, int10, int20, int40}
                                                                                   OPTIONAL, -- Cond MP-B
                      INTEGER (1..maxAvailNarrowBands-r13)
                                                                             OPTIONAL
    dummv4
}
PCCH-Config ::=
                                       SEQUENCE {
    defaultPagingCycle
                                           ENUMERATED {
                                                rf32, rf64, rf128, rf256},
                                            ENUMERATED {
                                                fourT, twoT, oneT, halfT, quarterT, oneEighthT,
                                                oneSixteenthT, oneThirtySecondT}
   paging-narrowBands-r13 SEQUENCE {
paging-narrowBands-r13
PCCH-Config-v1310 ::=
                                          INTEGER (1..maxAvailNarrowBands-r13),
ENUMERATED {r1, r2, r4, r8, r16, r32, r64, r128, r256},
    mpdcch-NumRepetition-Paging-r13
    nB-v1310
                                          ENUMERATED {one64thT, one128thT, one256thT}
                                                                                   OPTIONAL
}
                                      SEQUENCE {
PCCH-Config-v1700 ::=
   ranPagingInIdlePO-r17
                                          ENUMERATED {true}
UL-CyclicPrefixLength ::=
                                      ENUMERATED {len1, len2}
                                  SEQUENCE {
HighSpeedConfig-r14 ::=
                                                ENUMERATED {true}
                                                                                  OPTIONAL, -- Need OR
OPTIONAL -- Need OR
    highSpeedEnhancedMeasFlag-r14
    highSpeedEnhancedDemodulationFlag-r14 ENUMERATED {true}
HighSpeedConfig-v1530 ::=
                                 SEQUENCE {
                                                ENUMERATED {true}
    highSpeedMeasGapCE-ModeA-r15
HighSpeedConfigSCell-r14 ::=
                                       SEQUENCE {
    highSpeedEnhancedDemodulationFlag-r14 ENUMERATED {true}
                                                                                  OPTIONAL
                                                                                                 -- Need OR
HighSpeedConfig-v1610 ::= SEQUENCE {
  highSpeedEnhMeasFlag2-r16 ENUMERATED {true}
  highSpeedEnhDemodFlag2-r16 ENUMERATED {true}
                                                                                   OPTIONAL,
                                                                                                -- Need OR
                                                                                                 -- Need OR
                                                                                   OPTIONAL
-- ASN1STOP
```

### RadioResourceConfigCommon field descriptions

### additionalSpectrumEmissionSCell

The UE requirements related to additional Spectrum Emission SCell are defined in TS 36.101 [42]. E-UTRAN configures the same value in additional Spectrum Emission SCell for all SCell(s) of the same band with UL configured. The additional Spectrum Emission SCell is applicable for all serving cells (including PCell) of the same band with UL configured.

# crs-ChEstMPDCCH-ConfigCommon

Presence of this field indicates use of CRS for improving channel estimation on MPDCCH is enabled in RRC\_IDLE and RRC\_CONNECTED.

#### defaultPagingCycle

Default paging cycle, used to derive 'T' in TS 36.304 [4]. Value rf32 corresponds to 32 radio frames, rf64 corresponds to 64 radio frames and so on.

#### dummy

This field is not used in the specification. If received it shall be ignored by the UE.

#### harg-ReferenceConfig

Indicates UL/ DL configuration used as the DL HARQ reference configuration for this serving cell. Value sa2 corresponds to Configuration2, sa4 to Configuration4 etc, as specified in TS 36.211 [21], table 4.2-2. E-UTRAN configures the same value for all serving cells residing on same frequency band.

### highSpeedEnhancedMeasFlag

If the field is present, the UE shall apply the high speed (350 km/h) measurement enhancements as specified in TS 36.133 [16]. If *highSpeedEnhMeasFlag2* is present, the UE indicating *measurementEnhancements2* shall ignore this field.

# highSpeedEnhancedDemodulationFlag

If the field is present, the UE shall apply the advanced receiver in SFN scenario (350 km/h) as specified in TS 36.101 [42]. If this field is included in *HighSpeedConfig* and *highSpeedEnhDemodFlag2* is present, the UE indicating *demodulationEnhancements2* shall ignore this field in *HighSpeedConfig*.

### highSpeedEnhDemodFlag2

If the field is present, the UE shall apply the further enhanced receiver in HST-SFN scenario (500 km/h) as specified in TS 36.101 [42].

#### highSpeedEnhMeasFlag2

If the field is present, the UE shall apply the high speed (500 km/h) measurement enhancements as specified in TS 36.133 [16].

# highSpeedEnhMeasFlagSCell

If configured with value TRUE, the UE shall apply the high speed (350 km/h) SCell measurement enhancements as specified in TS 36.133 [16].

# highSpeedInterRAT-NR

If the field is present, the UE shall apply the enhanced inter-RAT NR measurement requirements to support high speed up to 500 km/h as specified in TS 36.133 [16].

# highSpeedMeasGapCE-ModeA

If the field is present, the UE in CE mode A shall apply the measurement gap sharing table associated with high-velocity scenario for measurements, as specified in TS 36.133 [16].

# interval-DLHoppingConfigCommonModeX

Number of consecutive absolute subframes over which MPDCCH or PDSCH for CE mode X stays at the same narrowband before hopping to another narrowband. For interval-FDD, int1 corresponds to 1 subframe, int2 corresponds to 2 subframes, and so on. For interval-TDD, int1 corresponds to 1 subframe, int5 corresponds to 5 subframes, and so on.

### interval-ULHoppingConfigCommonModeX

Number of consecutive absolute subframes over which PUCCH or PUSCH for CE mode X stays at the same narrowband before hopping to another narrowband. For interval-FDD, int1 corresponds to 1 subframe, int2 corresponds to 2 subframes, and so on. For interval-TDD, int1 corresponds to 1 subframe, int5 corresponds to 5 subframes, and so on.

# modificationPeriodCoeff

Actual modification period, expressed in number of radio frames= modificationPeriodCoeff\* defaultPagingCycle. n2 corresponds to value 2, n4 corresponds to value 4, n8 corresponds to value 8, n16 corresponds to value 16, and n64 corresponds to value 64.

# mpdcch-NumRepetition-Paging

Maximum number of repetitions for MPDCCH common search space (CSS) for paging, see TS 36.211 [21].

# mpdcch-pdsch-HoppingOffset

Parameter:  $f_{NB,hoo}^{DL}$ , see TS 36.211 [21], clause 6.4.1.

### mpdcch-pdsch-HoppingNB

The number of narrowbands for MPDCCH/PDSCH frequency hopping. Value nb2 corresponds to 2 narrowbands and value nb4 corresponds to 4 narrowbands.

#### пB

Parameter: nB is used as one of parameters to derive the Paging Frame and Paging Occasion according to TS 36.304 [4]. Value in multiples of 'T' as defined in TS 36.304 [4]. A value of fourT corresponds to 4 \* T, a value of twoT corresponds to 2 \* T and so on. In case nB-v1310 is signalled, the UE shall ignore nB (i.e. without suffix). EUTRAN configures nB-v1310 only in the BR version of SI message.

# paging-narrowBands

Number of narrowbands used for paging, see TS 36.304 [4], TS 36.212 [22] and TS 36.213 [23].

#### р-Мах

Pmax to be used in the target cell. If absent, for the band used in the target cell, the UE applies the maximum power according to its capability as specified in 36.101 [42], clause 6.2.2. In case the UE is configured with uplink intra-band contiguous CA and the UE indicates *ue-CA-PowerClass-N* in that band combination, then the *p-Max* in *RadioResourceConfigCommonSCell* for that SCell, if present, also applies for that band combination whenever that SCell is activated. This field is ignored by IAB-MT. The IAB-MT applies output power and emissions requirements, as specified in TS 38.174 [107]

# prach-ConfigSCell

Indicates a PRACH configuration for an SCell. The field is not applicable for an LAA SCell in this release.

# puncturedSubcarriersDL

Indicates number of punctured DL subcarriers and their locations, see TS 36.211 [31].

# rach-ConfigCommonSCell

Indicates a RACH configuration for an SCell. The field is not applicable for an LAA SCell in this release.

#### ranPagingInIdlePO

Indicates that the network supports to send RAN paging in PO that corresponds to the i\_s determined by UE in RRC\_IDLE state, see TS 36.304 [4].

#### rss-MeasConfig

Indicates whether RSS-based measurement is enabled

#### rss-MeasNonNCL

Indicates RSS of neighbour cells not in the Neighbour Cell List may be used for measurements. When this field is included, the UE assumes for all neighbour cells not in the Neighbour Cell List the RSS power bias is same as used for the serving cell or the camped cell.

# soundingRS-FlexibleTiming

Indicates the SRS flexible timing (if configured) for aperiodic SRS triggered by DL grant. If the SRS transmission is collided with ACK/NACK, postpone once to the next configured SRS transmission opportunity.

#### ta-Report

When this field is included in *SystemInformationBlockType2*, it indicates reporting of timing advance is enabled during Random Access due to RRC connection establishment, RRC connection resume or RRC connection reestablishment. When this field is included in *MobilityControlInfo*, it indicates TA reporting is enabled during Random Access due to handover, see TS 36.321 [6], clause 5.4.9.

### t318

The value of timer T318. Value ms0 corresponds with 0 ms, ms50 corresponds with 50 ms and so on.

# ul-Bandwidth

Parameter: transmission bandwidth configuration,  $N_{RB}$ , in uplink, see TS 36.101 [42], table 5.6-1 and TS 36.108 [114], table 5.3A-1. Value n6 corresponds to 6 resource blocks, n15 to 15 resource blocks and so on. If for FDD this parameter is absent, the uplink bandwidth is equal to the downlink bandwidth. For TDD this parameter is absent and it is equal to the downlink bandwidth.

# ul-CarrierFreq

For FDD: If absent, the (default) value determined from the default TX-RX frequency separation defined in TS 36.101 [42], table 5.7.3-1 and TS 36.108 [114], table 5.4A.2-1, applies.

For TDD: This parameter is absent and it is equal to the downlink frequency.

# ul-CyclicPrefixLength

Parameter: Uplink cyclic prefix length see TS 36.211 [21], clause 5.2.1, where len1 corresponds to normal cyclic prefix and len2 corresponds to extended cyclic prefix.

Conditional presence	Explanation
EDT	The field is optionally present, Need OR, if edt-Parameters is present; otherwise the field
	is not present and the UE shall delete any existing value for this field.
MP-A	The field is mandatory present for CE mode A. Otherwise the field is optional, Need OR.
MP-B	The field is mandatory present for CE mode B. Otherwise the field is optional, Need OR.
NTN	The field is mandatory present for NTN. Otherwise, the field is not present.
TDD	The field is optional for TDD, Need ON; it is not present for FDD and the UE shall delete any existing value for this field.
TDD2	If <i>tdd-Config-r10</i> is present, the field is optional, Need OR. Otherwise the field is not present and the UE shall delete any existing value for this field.
TDD3	If <i>tdd-Config</i> is present, the field is optional, Need OR. Otherwise the field is not present and the UE shall delete any existing value for this field.
TDD-OR-NoR11	If prach-ConfigSCell-r11 is absent, the field is optional for TDD, Need OR. Otherwise the field is not present and the UE shall delete any existing value for this field.
TDDSCell	This field is mandatory present for TDD; it is not present for FDD and LAA SCell, and the UE shall delete any existing value for this field.
UL	If the SCell is part of the STAG or concerns the PSCell or PUCCH SCell and if <i>ul-Configuration</i> is included, the field is optional, Need OR. Otherwise the field is not present and the UE shall delete any existing value for this field.
ULSCell	For the PSCell (IE is included in <i>RadioResourceConfigCommonPSCell</i> ) the field is absent. Otherwise, if the SCell is part of the STAG and if <i>ul-Configuration</i> is included, the field is optional, Need OR. Otherwise the field is not present and the UE shall delete any existing value for this field.
ULSRS	If <i>ul-Configuration-r10</i> is absent, the field is optional, Need OR. Otherwise the field is not present and the UE shall delete any existing value for this field.

# RadioResourceConfigDedicated

The IE *RadioResourceConfigDedicated* is used to setup/modify/release RBs, to modify the MAC main configuration, to modify the SPS configuration and to modify dedicated physical configuration.

# RadioResourceConfigDedicated information element

```
-- ASN1START
RadioResourceConfigDedicated ::= SEQUENCE {
    srb-ToAddModList SRB-ToAddMod.ist DRB-ToAddMod.ist DRB-ToAdd
                                                                                                  SEQUENCE (
SRB-ToAddModList
                                                                                                                                                                    OPTIONAL,
                                                                                                                                                                                                                 -- Cond HO-Conn
          drb-ToAddModList
                                                                                                   DRB-ToAddModList
                                                                                                                                                                         OPTIONAL,
                                                                                                                                                                                                                  -- Cond HO-
toEUTRA
          drb-ToReleaseList
                                                                                              DRB-ToReleaseList
                                                                                                                                                                     OPTIONAL,
                                                                                                                                                                                                                   -- Need ON
                                                                                                    CHOICE {
          mac-MainConfig
                           explicitValue
                                                                                                          MAC-MainConfig,
                             defaultValue
                                                                                                             NULL
                            OPTIONAL,
                                                                                                                                                                                                                  -- Cond HO-
toEUTRA2
                                                                                               SPS-Config
                                                                                                                                                                                                                 -- Need ON
          sps-Config
                                                                                                                                                                        OPTIONAL,
                                                                                                PhysicalConfigDedicated OPTIONAL,
          physicalConfigDedicated
                                                                                                                                                                                                               -- Need ON
          [[ rlf-TimersAndConstants-r9
                                                                                                RLF-TimersAndConstants-r9
                                                                                                                                                                                            OPTIONAL
                                                                                                                                                                                                                            -- Need ON
          ]],
          [[ measSubframePatternPCell-r10 MeasSubframePatternPCell-r10
                                                                                                                                                                                          OPTIONAL
                                                                                                                                                                                                                            -- Need ON
          ]],
          [[ neighCellsCRS-Info-r11
                                                                                                  NeighCellsCRS-Info-r11
                                                                                                                                                                                          OPTIONAL
                                                                                                                                                                                                                            -- Need ON
          ]],
          [[ naics-Info-r12
                                                                                     NAICS-AssistanceInfo-r12
                                                                                                                                                                               OPTIONAL -- Need ON
          ]],
          [[ neighCellsCRS-Info-r13
                                                                                                  NeighCellsCRS-Info-r13
                                                                                                                                                                                             OPTIONAL, -- Cond
CRSIM
                   rlf-TimersAndConstants-r13
                                                                                                  RLF-TimersAndConstants-r13
                                                                                                                                                                                            OPTIONAL
                                                                                                                                                                                                                            -- Need ON
          ]],
                   sps-Config-v1430
                                                                                                  SPS-Config-v1430
                                                                                                                                                                                             OPTIONAL
                                                                                                                                                                                                                            -- Cond SPS
          [ [
          [[ srb-ToAddModListExt-r15 SRB-ToAddModListExt-r15 srb-ToReleaseListExt-r15 INTEGER (4)
                                                                                                                                                                                             OPTIONAL,
                                                                                                                                                                                                                          -- Need ON
                                                                                                                                                                                              OPTIONAL,
                                                                                                                                                                                                                           -- Need ON
                    sps-Config-v1530
                                                                                                   SPS-Config-v1530
                                                                                                                                                                                             OPTIONAL,
                                                                                                                                                                                                                          -- Need ON
                    crs-IntfMitigConfig-r15 CHOICE {
                             release
                                                                                         CHOICE {
                              setup
```

```
\begin{array}{ll} \text{crs-IntfMitigEnabled} & \text{NULL,} \\ \text{crs-IntfMitigNumPRBs} & \text{ENUMERATED} & \{\text{n6, n24}\} \end{array}
            }
                                                      OPTIONAL,
                                                                        -- Need ON
        OPTIONAL, -- Need ON
neighCellsCRS-Info-r15 NeighCellsCRS-Info-r15 OPTIONAL, -- Need ON
drb-ToAddModList-r15 DRB-ToAddModList-r15 OPTIONAL, -- Need ON
drb-ToReleaseList-r15 DRB-ToReleaseList-r15 OPTIONAL, -- Need ON
                                          SEQUENCE (SIZE (1..2)) OF INTEGER (1..2) OPTIONAL
        dummy
Need ON
    ]],
    [[ sps-Config-v1540
                                         SPS-Config-v1540
                                                                                OPTIONAL -- Need ON
    ]],
    [[
        rlf-TimersAndConstantsMCG-Failure-rl6 RLF-TimersAndConstantsMCG-Failure-rl6
                                                           OPTIONAL, -- Cond Split-SRB1-SRB3
        crs-ChEstMPDCCH-ConfigDedicated-r16 SetupRelease{CRS-ChEstMPDCCH-ConfigDedicated-r16}
    OPTIONAL, -- Need ON
        newUE-Identity-r16
                                          C-RNTI
                                                                        OPTIONAL -- Need OP
}
RadioResourceConfigDedicated-v1370 ::= SEQUENCE {
    physicalConfigDedicated-v1370 PhysicalConfigDedicated-v1370 OPTIONAL -- Need ON
}
RadioResourceConfigDedicated-v13c0 ::=
                                             SEQUENCE {
   physicalConfigDedicated-v13c0
                                        PhysicalConfigDedicated-v13c0
RadioResourceConfigDedicatedPSCell-r12 ::= SEQUENCE {
    -- UE specific configuration extensions applicable for an PSCell
    physicalConfigDedicatedPSCell-r12 PhysicalConfigDedicated
                                                                            OPTIONAL,
                                                                                          -- Need ON
    sps-Config-r12
                                               SPS-Config
                                                                             OPTIONAL,
                                                                                          -- Need ON
                                              NAICS-AssistanceInfo-r12 OPTIONAL,
                                                                                          -- Need ON
    naics-Info-r12
    [[ neighCellsCRS-InfoPSCell-r13
                                             NeighCellsCRS-Info-r13
                                                                            OPTIONAL
                                                                                          -- Need ON
    ]],
    [[ sps-Config-v1430
                                          SPS-Config-v1430
                                                                             OPTIONAL
                                                                                          -- Cond SPS2
    ]],
                                                                            OPTIONAL,
    [[ sps-Config-v1530
                                        SPS-Config-v1530
                                                                                          -- Need ON
        sps-Config-v1530
crs-IntfMitigEnabled-r15
neighCellsCRS-Info-r15
                                         BOOLEAN
NeighCellsCRS-Info-r15
                                                                             OPTIONAL,
                                                                                          -- Need ON
        neighCellsCRS-Info-r15
                                                                                          -- Need ON
                                                                            OPTIONAL
    ]],
        sps-Config-v1540
                                         SPS-Config-v1540
                                                                            OPTIONAL
                                                                                          -- Need ON
    ]]
}
RadioResourceConfigDedicatedPSCell-v1370 ::= SEQUENCE {
   physicalConfigDedicatedPSCell-v1370 PhysicalConfigDedicated-v1370 OPTIONAL -- Need ON
}
RadioResourceConfigDedicatedPSCell-v13c0 ::=
                                                     SEQUENCE {
   physicalConfigDedicatedPSCell-v13c0 PhysicalConfigDedicated-v13c0
RadioResourceConfiqDedicatedSCG-r12 ::=
                                              SEQUENCE {
    drb-ToAddModListSCG-r12 DRB-ToAddModListSCG-r12 OPTIONAL,
mac-MainConfigSCG-r12 MAC-MainConfig OPTIONAL,
rlf-TimersAndConstantsSCG-r12 RLF-TimersAndConstantsSCG-r12 OPTIONAL,
                                                                            OPTIONAL,
                                                                                         -- Need ON
                                                                            OPTIONAL,
                                                                                          -- Need ON
    [[ drb-ToAddModListSCG-r15
                                        DRB-ToAddModListSCG-r15
                                                                          OPTIONAL
                                                                                         -- Need ON
        srb-ToAddModListSCG-r15SRB-ToAddModListsrb-ToReleaseListSCG-r15SRB-ToReleaseList-r15
    [[ srb-ToAddModListSCG-r15
                                                                               OPTIONAL, -- Need ON
                                          SRB-ToReleaseList-r15
                                                                                   OPTIONAL -- Need
ON
    ]],
       -- NE-DC additions for release of RLC bearer config for DRBs
    [[
        drb-ToReleaseListSCG-r15 DRB-ToReleaseList-r15 OPTIONAL
                                                                                        -- Need ON
    11
RadioResourceConfigDedicatedSCell-r10 ::= SEQUENCE {
    -- UE specific configuration extensions applicable for an SCell
    physicalConfigDedicatedSCell-r10
                                             PhysicalConfigDedicatedSCell-r10 OPTIONAL, -- Need
ON
    [[ mac-MainConfigSCell-r11
                                        MAC-MainConfigSCell-r11
                                                                           OPTIONAL -- Cond SCellAdd
```

```
[[ naics-Info-r12 NAICS-AssistanceInfo-r12
                                                               OPTIONAL -- Need ON
   11,
   [[ neighCellsCRS-InfoSCell-r13 NeighCellsCRS-Info-r13
                                                                 OPTIONAL -- Need ON
   ]],
   [[ physicalConfigDedicatedSCell-v1370 PhysicalConfigDedicatedSCell-v1370 OPTIONAL -- Need
ON
   ]],
[[ crs-IntfMitigEnabled-r15
                                                                   OPTIONAL,
                                        BOOLEAN
                                                                              -- Need ON
                                                                   OPTIONAL,
       neighCellsCRS-Info-r15
                                         NeighCellsCRS-Info-r15
                                                                              -- Need ON
       sps-Config-v1530
                                         SPS-Config-v1530
                                                                   OPTIONAL
                                                                              -- Need ON
   [[ physicalConfigDedicatedSCell-v1730 PhysicalConfigDedicatedSCell-v1730 OPTIONAL -- Cond
CQI-ReportPeriodicSCell
   ]]
}
RadioResourceConfigDedicatedSCell-v13c0 ::= SEQUENCE {
   physicalConfigDedicatedSCell-v13c0 PhysicalConfigDedicatedSCell-v13c0
SRB-ToAddModList ::=
                                SEQUENCE (SIZE (1..2)) OF SRB-ToAddMod
SRB-ToAddModListExt-r15 ::=
                                    SEQUENCE (SIZE (1)) OF SRB-ToAddMod
SRB-ToAddMod ::=
                 SEQUENCE {
   srb-Identity
                                     INTEGER (1..2),
   rlc-Config
                                     CHOICE {
       explicitValue
                                         RLC-Config,
       defaultValue
                                        NULL
          OPTIONAL.
                                                                              -- Cond Setup
   logicalChannelConfig
                                    CHOICE {
                                      LogicalChannelConfig,
      explicitValue
       defaultValue
                                         NULL
   }
          OPTIONAL,
                                                                              -- Cond Setup
   [[ pdcp-verChange-r15
                                    ENUMERATED {true} OPTIONAL, RLC-Config-v1530 OPTIONAL,
                                                                              -- Cond NR-PDCP
                                                                              -- Need ON
       rlc-Config-v1530
                                    RLC-Config-v1530
       rlc-BearerConfigSecondary-rl5 RLC-BearerConfig-rl5 OPTIONAL, srb-Identity-v1530 INTEGER (4) OPTIONAL
                                                                              -- Need ON
                                                                              -- Need ON
   ]],
   [[ rlc-Config-v1560
                                        RLC-Config-v1510
                                                               OPTIONAL
                                                                               -- Need ON
   11.
   [[ rlc-Config-v1700
                                        RLC-Config-v1700
                                                               OPTIONAL
                                                                              -- Need ON
   ]]
}
                                SEQUENCE (SIZE (1..maxDRB)) OF DRB-ToAddMod
DRB-ToAddModList ::=
DRB-ToAddModList-r15 ::=
                            SEQUENCE (SIZE (1..maxDRB-r15)) OF DRB-ToAddMod
DRB-ToAddModListSCG-r12 ::= SEQUENCE (SIZE (1..maxDRB)) OF DRB-ToAddModSCG-r12
DRB-ToAddModListSCG-r15 ::=
                             SEQUENCE (SIZE (1..maxDRB-r15)) OF DRB-ToAddModSCG-r12
DRB-ToAddMod ::= SEQUENCE {
   eps-BearerIdentity
                                     INTEGER (0..15) OPTIONAL,
                                                                          -- Cond DRB-Setup
                                    DRB-Identity,
   drb-Identity
   pdcp-Config
                                     PDCP-Config
                                                           OPTIONAL,
                                                                          -- Cond PDCP
                                    RLC-Config OPTIONAL,
INTEGER (3..10) OPTIONAL,
                                                                          -- Cond SetupM
   rlc-Config
                                                                          -- Cond DRB-SetupM
   logicalChannelIdentity
   logicalChannelConfig
                                    LogicalChannelConfig OPTIONAL,
                                                                          -- Cond SetupM
   [[ drb-TypeChange-r12
                                      ENUMERATED {toMCG} OPTIONAL,
                                                                              -- Need OP
       rlc-Config-v1250
                                         RLC-Config-v1250
                                                               OPTIONAL
                                                                              -- Need ON
                                                               OPTIONAL,
   [[ rlc-Config-v1310
                                         RLC-Config-v1310
                                                                              -- Need ON
       drb-TypeLWA-r13
                                         BOOLEAN
                                                                              -- Need ON
                                         ENUMERATED {lwip, lwip-DL-only,
       drb-TypeLWIP-r13
                                         lwip-UL-only, eutran} OPTIONAL
                                                                                  -- Need ON
   ]],
                                                            OPTIONAL,
                                        RLC-Config-v1430
   [[ rlc-Config-v1430
                                                                              -- Need ON
                                                               OPTIONAL,
       lwip-UL-Aggregation-r14
                                        BOOLEAN
                                                                              -- Cond LWIP
                                                                              -- Cond LWIP
       lwip-DL-Aggregation-r14
                                        BOOLEAN
                                                               OPTIONAL,
       -- Cond UL-LWA
   ]],
   [[ rlc-Config-v1510
                                         RLC-Config-v1510
                                                               OPTIONAL
                                                                               -- Need ON
   ]],
                                   RLC-BearerConfig-r15 OPTIONAL,
INTEGER (32..38) OPTIONAL
   [[ rlc-Config-v1530
                                                                              -- Need ON
       rlc-BearerConfigSecondary-r15
                                                                               -- Need ON
                                                                          -- Need ON
       logicalChannelIdentity-r15
```

```
]],
    [[ daps-HO-r16
                                            ENUMERATED {true}
                                                                    OPTIONAL
                                                                                     -- Cond DAPS
    11,
    [[ rlc-Config-v1700
                                            RLC-Config-v1700
                                                                    OPTIONAL
                                                                                    -- Need ON
}
DRB-ToAddModSCG-r12 ::= SEQUENCE {
    drb-Identity-r12
                                        DRB-Identity,
    drb-Type-r12
                                        CHOICE {
       split-r12
                                           NULL,
                                            SEQUENCE {
       scg-r12
                                                INTEGER (0..15) OPTIONAL, -- Cond DRB-Setup
            eps-BearerIdentity-r12
           pdcp-Config-r12
                                                PDCP-Config
                                                               OPTIONAL
                                                                          -- Cond PDCP-S
                                                               OPTIONAL, -- Cond SetupS2
OPTIONAL, -- Cond SetupS
   rlc-ConfigSCG-r12
                                       RLC-Config
    rlc-Config-v1250
                                            RLC-Config-v1250
                                                                     OPTIONAL, -- Need ON
    ric-config-v1250 REC-Config-v1250 logicalChannelIdentitySCG-r12 INTEGER (3..10)
                                                                OPTIONAL, -- Cond DRB-SetupS
    logicalChannelConfigSCG-r12
                                       LogicalChannelConfig
                                                                OPTIONAL,
                                                                           -- Cond SetupS
    [[ rlc-Config-v1430
                                            RLC-Config-v1430
                                                                    OPTIONAL
                                                                                    -- Need ON
    ]],
    [[ logicalChannelIdentitySCG-r15
                                          INTEGER (32..38) OPTIONAL, RLC-Config-v1530 OPTION
                                                                                    -- Need ON
       rlc-Config-v1530
                                                                    OPTIONAL,
                                                                                    -- Need ON
       rlc-BearerConfigSecondary-r15
                                            RLC-BearerConfig-r15
                                                                    OPTIONAL
                                                                                    -- Need ON
    ]],
       rlc-Config-v1560
    [ [
                                            RLC-Config-v1510
                                                                    OPTIONAL
                                                                                    -- Need ON
    ]]
}
                                   SEQUENCE (SIZE (1..maxDRB)) OF DRB-Identity
DRB-ToReleaseList ::=
DRB-ToReleaseList-r15 ::=
                                   SEQUENCE (SIZE (1..maxDRB-r15)) OF DRB-Identity
SRB-ToReleaseList-r15 ::=
                                   SEQUENCE (SIZE (1..2)) OF INTEGER (1..2)
MeasSubframePatternPCell-r10 ::=
                                        CHOICE {
                                        NULL,
   release
                                    MeasSubframePattern-r10
    setup
NeighCellsCRS-Info-r11 ::=
                                CHOICE {
                                    NULL
   release
                                    CRS-AssistanceInfoList-r11
}
CRS-AssistanceInfoList-r11 ::= SEQUENCE (SIZE (1..maxCellReport)) OF CRS-AssistanceInfo-r11
CRS-AssistanceInfo-r11 ::= SEQUENCE {
   physCellId-r11
                                        PhysCellId,
    antennaPortsCount-r11
                                        ENUMERATED {an1, an2, an4, spare1},
    mbsfn-SubframeConfigList-r11
                                        MBSFN-SubframeConfigList,
       mbsfn-SubframeConfigList-v1430 MBSFN-SubframeConfigList-v1430 OPTIONAL
                                                                                      -- Need ON
    ]]
}
NeighCellsCRS-Info-r13 ::=
                                CHOICE {
    release
                                    NULT.
                                    CRS-AssistanceInfoList-r13
    setup
CRS-AssistanceInfoList-r13 ::= SEQUENCE (SIZE (1..maxCellReport)) OF CRS-AssistanceInfo-r13
CRS-AssistanceInfo-r13 ::= SEQUENCE {
                                        PhysCellId,
   physCellId-r13
                                        ENUMERATED {an1, an2, an4, spare1},
    antennaPortsCount-r13
   mbsfn-SubframeConfigList-r13
                                       MBSFN-SubframeConfigList
                                                                            OPTIONAL,
                                                                                        -- Need ON
       mbsfn-SubframeConfigList-v1430 MBSFN-SubframeConfigList-v1430
                                                                          OPTIONAL
                                                                                        -- Need ON
    ]]
}
NeighCellsCRS-Info-r15 ::= CHOICE {
   release
                                        NULL.
                                        CRS-AssistanceInfoList-r15
    setup
}
```

```
CRS-AssistanceInfoList-r15 ::= SEQUENCE (SIZE (1..maxCellReport)) OF CRS-AssistanceInfo-r15
CRS-AssistanceInfo-r15 ::= SEQUENCE {
    physCellId-r15
                                              PhysCellId, ENUMERATED {enabled}
    crs-IntfMitigEnabled-r15
                                                                                      OPTIONAL -- Need ON
}
NAICS-AssistanceInfo-r12 ::=
                                       CHOICE {
    release
                                        NULL,
                                         SEQUENCE {
        neighCellsToReleaseList-r12 NeighCellsToReleaseList-r12
                                                                                      OPTIONAL,
                                                                                                     -- Need ON
                                                                                       OPTIONAL, -- Need ON
OPTIONAL -- Need ON
                                             NeighCellsToAddModList-r12
         neighCellsToAddModList-r12
         servCellp-a-r12
                                             P-a
}
NeighCellsToReleaseList-r12 ::= SEQUENCE (SIZE (1..maxNeighCell-r12)) OF PhysCellId
NeighCellsToAddModList-r12 ::= SEQUENCE (SIZE (1..maxNeighCell-r12)) OF NeighCellsInfo-r12
NeighCellsInfo-r12 ::=
                                       SEQUENCE {
   physCellId-r12
                                      PhysCellId,
    mbsfn-SubframeConfig-r12 ENUMERATED {n1, n2, n4, spare},
mbsfn-SubframeConfig-r12 MBSFN-SubframeConfigList OPTIONAL,
p-aList-r12 SEQUENCE (SIZE (1..maxP-a-PerNeighCell-r12)) OF P-a,
transmissionModeList-r12 BIT STRING (SIZE(8)),
resAllocGranularity-r12 INTEGER (1..4),
...
                                                                                                     -- Need ON
P-a ::= ENUMERATED { dB-6, dB-4dot77, dB-3, dB-1dot77,
                                         dB0, dB1, dB2, dB3}
                                         CHOICE {
RLC-BearerConfig-r15 ::=
    release
                                             NULL,
    setup
                                              SEQUENCE {
         rlc-Config-r15
                                               RLC-Config-r15
                                                                                  OPTIONAL,
                                                                                                 -- Need ON
         logicalChannelIdentityConfig-r15 CHOICE {
             logicalChannelIdentity-r15 INTEGER (1..10), logicalChannelIdentityExt-r15 INTEGER (32..38)
         logicalChannelConfig-r15
                                                LogicalChannelConfig
                                                                                 OPTIONAL
                                                                                                 -- Need ON
-- ASN1STOP
```

### RadioResourceConfigDedicated field descriptions

### crs-ChEstMPDCCH-ConfigDedicated

Indicates whether use of CRS for improving channel estimation on MPDCCH is enabled in RRC\_CONNECTED. If this field is not configured, the field *crs-ChEstMPDCCH-ConfigCommon* in *SystemInformationBlockType2* applies, if present.

### crs-IntfMitigConfig

crs-IntfMitigEnabled-r15 indicates CRS interference mitigation is enabled for the cell, as specified in TS 36.133 [16], clause 3.6.1.1. For BL UEs supporting ce-CRS-IntfMitig, presence of this field indicates CRS interference mitigation is enabled in the cell, as specified in TS 36.133 [16], clauses 3.6.1.2 and 3.6.1.3, and the value crs-IntfMitigNumPRBs indicates number of PRBs, i.e. 6 or 24 PRBs, for CRS transmission in the central cell BW when CRS interference mitigation is enabled. For UEs not supporting this feature, the behaviour is undefined if this field is configured and the field cellBarred in SystemInformationBlockType1 (SystemInformationBlockType1-BR for BL UEs or UEs in CE) is set to notbarred.

# crs-PortsCount

Parameter represents the number of antenna ports for cell-specific reference signal used by the signaled neighboring cell where n1 corresponds to 1 antenna port, n2 to 2 antenna ports etc. see TS 36.211 [21], clause 6.10.1.

#### daps-HO

This field indicates that the handover, triggered in the same *RRCConnectionReconfiguration* message, shall be performed as a DAPS HO for the DRB. *daps-HO* is not configured if sidelink is configured.

#### drb-Identity

In case of DC, the DRB identity is unique within the scope of the UE i.e. an SCG DRB can not use the same value as used for an MCG or split DRB. For a split DRB the same identity is used for the MCG- and SCG parts of the configuration.

#### drb-ToAddModList

When drb-ToAddModList-r15 is configured, UE shall ignore the drb-ToAddModList (without suffix).

# drb-ToAddModListSCG

When an SCG is configured, E-UTRAN configures at least one SCG or split DRB. When drb-ToAddModListSCG-r15 is configured, UE shall ignore the drb-ToAddModListSCG (without suffix). When NE-DC is configured, this field indicates the SCG RLC bearers to be (re-)configured.

#### drb-ToReleaseList

When drb-ToReleaseList-r15 is configured, UE shall ignore the drb-ToReleaseList (without suffix).

#### drb-ToReleaseListSCG

When NE-DC is configured, this field indicates the SCG RLC bearers to be released.

#### drb-Type

This field indicates whether the DRB is split or SCG DRB. E-UTRAN does not configure split and SCG DRBs simultaneously for the UE.

### drb-TypeChange

Indicates that a split/SCG DRB is reconfigured to an MCG DRB (i.e. E-UTRAN only signals the field in case the DRB type changes).

# drb-TypeLWA

Indicates whether a DRB is (re)configured as an LWA DRB or an LWA DRB is reconfigured not to use WLAN resources. NOTE 1

# drb-TypeLWIP

Indicates whether a DRB is (re)configured to use LWIP Tunnel in UL and DL (value *lwip*), DL only (value *lwip-DL-only*), UL only (value *lwip-UL-only*) or not to use LWIP Tunnel (value *eutran*).

#### dummy

This field is not used in the specification. If received it shall be ignored by the UE.

#### logicalChannelConfig

For SRBs a choice is used to indicate whether the logical channel configuration is signalled explicitly or set to the default logical channel configuration for SRB1 as specified in 9.2.1.1 or for SRB2 as specified in 9.2.1.2.

# logicalChannelIdentity, LogicalChannelIdentityExt

The logical channel identity for both UL and DL. Value 4 is not configured for DRBs if SRB4 is configured. When logicalChannelIdentity-r15 is signalled, UE shall ignore contents of logicalChannelIdentity (without suffix).

# IogicalChannelIdentitySCG

The logical channel identity for both UL and DL. When *logicalChannelIdentitySCG-r15* is signalled, UE shall ignore contents of *logicalChannelIdentitySCG* (without suffix).

#### Iwa-WLAN-AC

For LWA bearers, indicates the corresponding WLAN access category for uplink. AC-BK (value *ac-bk*) corresponds to Background access category, AC-BE (value *ac-be*) corresponds to Best Effort access category, AC-VI (value *ac-vi*) corresponds to Video access category and AC-VO (value *ac-vo*) corresponds to Voice access category as defined by IEEE 802.11-2012 [67]. If *Iwa-WLAN-AC* is not configured, it is left up to UE to decide which IEEE 802.11 AC value to use when performing transmissions of packets for this DRB over WLAN in the uplink.

# Iwip-DL-Aggregation, Iwip-UL-Aggregation

Indicates whether LWIP is configured to utilize LWIP aggregation in DL or UL.

# mac-MainConfig

Although the ASN.1 includes a choice that is used to indicate whether the mac-MainConfig is signalled explicitly or set to the default MAC main configuration as specified in 9.2.2, EUTRAN does not apply "defaultValue".

### RadioResourceConfigDedicated field descriptions

# mbsfn-SubframeConfig

Defines the MBSFN subframe configuration used by the signaled neighboring cell. If absent, UE assumes no MBSFN configuration for the neighboring cell.

#### measSubframePatternPCell

Time domain measurement resource restriction pattern for the PCell measurements (RSRP, RSRQ and the radio link monitoring).

# neighCellsCRS-Info, neighCellsCRS-InfoSCell, neighCellsCRS-InfoPSCell

This field contains assistance information used by the UE to mitigate interference from CRS while performing RRM/RLM/CSI measurement or data demodulation or DL control channel demodulation. When the received CRS assistance information is for a cell with CRS non-colliding with that of the CRS of the cell to measure, the UE may use the CRS assistance information to mitigate CRS interference. When the received CRS assistance information is for a cell with CRS colliding with that of the CRS of the cell to measure, the UE may use the CRS assistance information to mitigate CRS interference RRM/RLM (as specified in TS 36.133 [16]) and for CSI (as specified in TS 36.101 [42]) on the subframes indicated by measSubframePatternPCell, measSubframePatternConfigNeigh, csi-MeasSubframeSet1 if configured, and the CSI subframe set 1 if csi-MeasSubframeSets-r12 is configured. The UE may use CRS assistance information to mitigate CRS interference from the cells in the CRS-AssistanceInfoList for the demodulation purpose or DL control channel demodulation as specified in TS 36.101 [42]. EUTRAN does not configure neighCellsCRS-Info-r11 or neighCellsCRS-Info-r13 if eimta-MainConfigPCell-r12 is configured.

#### neighCellsToAddModList

This field contains assistance information used by the UE to cancel and suppress interference of a neighbouring cell. If this field is present for a neighbouring cell, the UE assumes that the transmission parameters listed in the sub-fields are used by the neighbouring cell. If this field is present for a neighbouring cell, the UE assumes the neighbour cell is subframe and SFN synchronized to the serving cell, has the same system bandwidth, UL/DL and special subframe configuration, and cyclic prefix length as the serving cell.

#### newUE-Identity

C-RNTI used after moving to RRC CONNECTED in response to transmission using PUR.

#### p-aList

Indicates the restricted subset of power offset for QPSK, 16QAM, and 64QAM PDSCH transmissions for the neighbouring cell by using the parameter  $P_A$ , see TS 36.213 [23], clause 5.2. Value dB-6 corresponds to -6 dB, dB-4dot77 corresponds to -4.77 dB etc.

#### p-b

Parameter:  $P_B$ , indicates the cell-specific ratio used by the signaled neighboring cell, see TS 36.213 [23], Table 5.2-1.

# pdcp-verChange

Indicates that the PDCP version of the SRB is changed from NR PDCP to E-UTRA PDCP. Network only configures this version change for during handover, resume and first reconfiguration after re-establishment. E-UTRAN does not include this field when SRB-ToAddMod is included in srb-ToAddModListSCG.

# physicalConfigDedicated

The default dedicated physical configuration is specified in 9.2.4.

#### resAllocGranularity

Indicates the resource allocation and precoding granularity in PRB pair level of the signaled neighboring cell, see TS 36.213 [23], clause 7.1.6.

# rlc-BearerConfigSecondary

The configuration of a secondary RLC bearer within the same Cell Group as may e.g. be used in case of PDCP duplication using CA. The configuration comprises a (secondary) RLC entity, a logical channel identity and a logical channel configuration. E-UTRAN may configure this for SRB1, SRB2 and DRBs. For SRBs, E-UTRAN only configures the field for MCG (i.e. if included in *radioResourceConfigDedicated*. E-UTRAN configures the same RLC mode (AM/UM) as used for the original RLC entity. The primary RLC entity is configured by *RLC-Config*.

# rlc-Config

For SRBs a choice is used to indicate whether the RLC configuration is signalled explicitly or set to the values defined in the default RLC configuration for SRB1 in 9.2.1.1 or for SRB2 in 9.2.1.2. RLC AM is the only applicable RLC mode for SRB1 and SRB2. E-UTRAN does not reconfigure the RLC mode of DRBs except when a full configuration option is used, and may reconfigure the RLC SN field size and the AM RLC LI field size only upon handover within E-UTRA or upon the first reconfiguration after RRC connection re-establishment or upon SCG Change for SCG and split DRBs.

# servCellp-a

Indicates the power offset for QPSK C-RNTI based PDSCH transmissions used by the serving cell, see TS 36.213 [23], clause 5.2. Value dB-6 corresponds to -6 dB, dB-4dot77 corresponds to -4.77 dB etc.

### sps-Config

The default SPS configuration is specified in 9.2.3. Except for handover or releasing SPS for MCG, E-UTRAN does not reconfigure *sps-Config* for MCG when there is a configured downlink assignment or a configured uplink grant for MCG (see TS 36.321 [6]). Except for SCG change or releasing SPS for SCG, E-UTRAN does not reconfigure *sps-Config* for SCG when there is a configured downlink assignment or a configured uplink grant for SCG (see TS 36.321 [6]). In one serving cell, *sps-Config-v1530* is not present simultaneously with either *sps-Config* (without suffix) or *sps-Config-r12*.

### RadioResourceConfigDedicated field descriptions

### srb-Identity

Value 1 is applicable for SRB1 only. Value 2 is applicable for SRB2 only. Value 4 is applicable for SRB4 only, if configured. For a split SRB the same identity is used for the MCG and NR SCG RLC bearer configurations. If *srb-Identity-v1530* is received, the UE shall ignore *srb-Identity* (i.e. without suffix).

### srb-Identity-v1530

E-UTRAN does not include this field when SRB-ToAddMod is included in srb-ToAddModListSCG.

### srb-ToAddModListExt

The field is to configure SRB4.

#### srb-ToAddModList

E-UTRAN configures the same RAT type (i.e. EUTRA or NR) for PDCP configuration of SRB1 and SRB2.

#### transmissionModeList

Indicates a subset of transmission mode 1, 2, 3, 4, 6, 8, 9, 10, for the signaled neighboring cell for which *NeighCellsInfo* applies. When TM10 is signaled, other signaled transmission parameters in *NeighCellsInfo* are not applicable to up to 8 layer transmission scheme of TM10. E-UTRAN may indicate TM9 when TM10 with QCL type A

and DMRS scrambling with  $n_{\rm ID}^{(i)} = N_{\rm ID}^{\rm cell}$  in TS 36.211 [21], clause 6.10.3.1, is used in the signalled neighbour cell and

TM9 or TM10 with QCL type A and DMRS scrambling with  $n_{\rm ID}^{(i)}=N_{\rm ID}^{\rm cell}$  in TS 36.211 [21], clause 6.10.3.1, is used in the serving cell. UE behaviour with NAICS when TM10 is used is only defined when QCL type A and DMRS

scrambling with  $n_{\rm ID}^{(i)} = N_{\rm ID}^{\rm cell}$  in TS 36.211 [21], clause 6.10.3.1, is used for the serving cell and all signalled neighbour cells. The first/ leftmost bit is for transmission mode 1, the second bit is for transmission mode 2, and so on.

NOTE 1: It is up to eNB to ensure that the field indicating LWA bearer type is set to FALSE when LWA bearer is no longer used (e.g. during handover or re-establishment where LWA configuration is released).

Conditional presence	Explanation
CRSIM	The field is optionally present, need ON, if neighCellsCRS-Info-r11 is not present; otherwise it is not present.
CQI-ReportPeriodicSCell	The field is optionally present, Need ON, if <i>cqi-ReportPeriodicSCell-r15</i> is configured. Otherwise the field is not present.
DRB-Setup	The field is mandatory present if the corresponding DRB is being set up and the UE is connected to EPC; otherwise it is not present.
DRB-SetupM	The field is:  - mandatory present: - for the UE without SCG: upon setup of MCG DRB; - for E-UTRA DC, upon setup of MCG or split DRB; - for (NG)EN-DC: - upon setup of MCG RLC bearer; - optionally present, Need ON: - for E-UTRA DC, upon change from SCG to MCG DRB; - for (NG)EN-DC: - upon change of <i>keyToUse</i> , as defined in TS 38.331 [82], for a DRB configured with an MCG RLC bearer; - when configured with MCG RLC bearer, upon change of S-K <sub>gNB</sub> without handover; - not present otherwise.
DRB-SetupS	The field is:  - mandatory present:  - for E-UTRA DC:  - upon setup of SCG or split DRB;  - upon change from MCG to split DRB;  - for NE-DC:  - upon setup of SCG RLC bearer;  - optionally present, Need ON:  - for E-UTRA DC, upon change from MCG to SCG DRB;  - for NE-DC, upon change of keyToUse, as defined in TS 38.331 [82], for a DRB configured with an SCG RLC bearer;  - not present otherwise.
HO-Conn	The field is mandatory present in case of handover to E-UTRA or when the <i>fullConfig</i> is included in the <i>RRCConnectionReconfiguration</i> message or in case of RRC connection establishment (excluding <i>RRCConnectionResume</i> ); otherwise the field is optionally present, need ON. Upon connection establishment/ re-establishment only SRB1 is applicable (excluding <i>RRCConnectionResume</i> ).
HO-toEUTRA	The field is mandatory present  - in case of handover to E-UTRA with the configuration for at least one MCG RLC bearer; or  - when the fullConfig is included in the RRCConnectionReconfiguration message with the configuration for at least one MCG bearer or split data bearer; In case of RRC connection establishment (excluding RRCConnectionResume); and RRC connection re-establishment the field is not present; otherwise the field is optionally present, need ON.
HO-toEUTRA2	The field is mandatory present in case of handover to E-UTRA or when the <i>fullConfig</i> is included in the <i>RRCConnectionReconfiguration</i> message; otherwise the field is optionally present, need ON.
LWIP	The field is optionally present, Need ON, if <i>drb-TypeLWIP-r13</i> is configured and not set to eutran; otherwise it is not present and the UE shall delete any existing value for this field.
DAPS	<ul> <li>This field is optionally present, Need ON,</li> <li>in case of handover within E-UTRA when the fullConfig and the rach-Skip are not included in the RRCConnectionReconfiguration message; and</li> <li>when the uplinkDataCompression and the ethernetHeaderCompression are not configured for the DRB; and</li> <li>when SCell(s) and SCG are not configured; and</li> <li>when the conditionalReconfiguration is not configured; and</li> <li>when the RRCConnectionReconfiguration message is not included in a conditionalReconfiguration.</li> <li>Otherwise the field is not present.</li> </ul>
NR-PDCP	The field is optional present, Need ON, when the SRB is configured with NR-PDCP prior to reception of this reconfiguration message. Otherwise it is not present.

Conditional presence	Explanation
PDCP	The field is mandatory present:
	- when connected to E-UTRA/EPC:
	- for the bearers configured with E-UTRA PDCP, if the corresponding DRB is
	being setup;
	the field is optionally present, need ON: :
	- when connected to E-UTRA/EPC:
	- for the bearers configured with E-UTRA PDCP, upon reconfiguration of the corresponding split DRB or LWA DRB, upon the corresponding DRB type change from split to MCG bearer, upon the corresponding DRB type change from MCG to split bearer or LWA bearer, upon the corresponding DRB type change from LWA to LTE only bearer, upon handover within E-UTRA and upon the first reconfiguration after re-establishment but in all these cases only when <i>fullConfig</i> is not included in the <i>RRCConnectionReconfiguration</i> message;
	otherwise it is not present.
PDCP-S	The field is mandatory present if the corresponding DRB is being setup; the field is optionally present, need ON, upon SCG change; otherwise it is not present.
RLC-Setup	This field is optionally present if the corresponding DRB is being setup, need ON; otherwise it is not present.
SCellAdd	The field is optionally present, need ON, upon SCell addition; otherwise it is not present.
Setup	The field is mandatory present, fleed ON, upon odell addition, otherwise it is not present.  The field is mandatory present if the corresponding SRB/DRB is being setup; otherwise
•	the field is optionally present, need ON.
SetupM	The field is mandatory present upon setup of an MCG or split DRB, or upon setup of MCG RLC bearer; otherwise the field is optionally present, need ON.
SetupS	The field is mandatory present: - for E-UTRA DC:
	- upon setup of an SCG or split DRB,
	<ul> <li>upon change from MCG to split DRB;</li> </ul>
	- for NE-DC, upon setup of SCG RLC bearer;
	otherwise the field is optionally present, need ON.
SetupS2	The field is:
	- mandatory present: - for E-UTRA DC:
	<ul> <li>upon setup of an SCG or split DRB, as well as upon change from MCG to split or SCG DRB.</li> </ul>
	- optionally present, need ON: - for E-UTRA DC:
	- for an SCG DRB
	otherwise the field is not present.
Split-SRB1-SRB3	This field is optionally present, Need ON, if the UE is configured with split SRB1 or SRB3. It is absent otherwise.
SPS	The field is optionally present, need ON, if sps-Config (without suffix) is not configured; otherwise it is not present.
SPS2	The field is optionally present, need ON, if sps-Config-r12 is not configured; otherwise it is not present.
UL-LWA	The field is optionally present, need ON if <i>ul-LWA-Config-r14</i> is present. Otherwise the field is not present.

# RCLWI-Configuration

The IE RCLWI-Configuration is used to add, modify or release the RCLWI configuration.

```
-- ASN1START

RCLWI-Configuration-rl3 ::= CHOICE {
    release NULL,
    setup SEQUENCE {
        rclwi-Config-rl3 RCLWI-Config-rl3
    }
}

RCLWI-Config-rl3 ::= SEQUENCE {
    command CHOICE {
        steerToWLAN-rl3 SEQUENCE {
            mobilityConfig-rl3 WLAN-Id-List-rl2
        },
        steerToLTE-rl3 NULL
```

```
...
}
-- ASN1STOP
```

# ResourceReservationConfig

The IE ResourceReservationConfig is used to specify the resource reservation, e.g. for coexistence with NR.

# ResourceReservationConfig information element

### ResourceReservationConfig field descriptions

#### periodicityStartPos

Indicates periodicity and start offset of the reserved resources. Value set to *periodicity10ms* corresponds to periodicity 10 milliseconds and corresponding start position is 0, value set to *periodicity20ms* corresponds to periodicity 20 milliseconds and corresponding start position in milliseconds = indicated value \* 10ms, and so on.

#### resourceReservationFreq

Downlink frequency domain resource reservation bitmap where each bit corresponds to a resource block group (RBG), see TS 36.213 [23]. Value *rbg-Bitmap1dot4* corresponds to 1.4 MHz system bandwidth, value *rbg-Bitmap3* corresponds to 3 MHz system bandwidth, and so on. If the field is absent, all RBGs in the system bandwidth are reserved.

### slotBitmap

Slot-level resource reservation configuration. Value *slotPattern10ms* corresponds to 10ms slot pattern and *slotPattern40ms* corresponds to 40ms slot pattern, see TS 36.213 [23] for DL and TS 36.211 [21] for UL. The first/leftmost 2-bits corresponds to the subframe #0 of the radio frame satisfying SFN mod periodicity = start position, as indicated by *periopdicityStartPos*. Two bits for each subframe coded as:

00: both slots are not reserved

- 01: the first slot is not reserved, the second slot is reserved
- 10: the first slot is reserved, the second slot is not reserved
- 11: both slots are reserved.

For a UE that supports subframe-level resource reservation but does not support slot/symbol-level resource reservation, two bits for each subframe are interpreted as:

00: subframe is not reserved

- 01: subframe is reserved. E-UTRAN does not set the field to this value when included in dedicated signalling.
- 10: subframe is reserved. E-UTRAN does not set the field to this value when included in dedicated signalling.
- 11: subframe is reserved.

If the field is not included in UL configuration, the value of the field from DL configuration applies.

#### symbolBitmap1, symbolBitmap2

Provides the symbol-level resource reservation for one subframe. If *symbolBitmap1* is absent, value '01' in the *slotBitmap* corresponds to the whole 2nd slot being reserved. If *symbolBitmap2* is absent, value '10' in the *slotBitmap* corresponds to the whole 1st slot being reserved.

A UE that supports subframe-level resource reservation but does not support slot/symbol-level resource reservation shall ignore symbolBitmap1 and symbolBitmap2, if present.

Conditional presence	Explanation
Bitmap1	The field is optionally present, need OR, if value of slotBitmap corresponding to at least
	one subframe is '01'; otherwise the field is not present.
Bitmap2	The field is optionally present, need OR, if value of slotBitmap corresponding to at least
	one subframe is '10'; otherwise the field is not present.
FDDandTDDnoDL	The field is mandatory present for TDD when resource reservation for DL is not
	configured, and for FDD; otherwise the field is optionally present, need OP.

# RLC-Config

The IE RLC-Config is used to specify the RLC configuration of SRBs and DRBs.

# **RLC-Config** information element

```
-- ASN1START
RLC-Config ::=
                            CHOICE {
                                         SEQUENCE {
        ul-AM-RLC
                                             UL-AM-RLC.
                                             DL-AM-RLC
        dl-AM-RLC
    um-Bi-Directional
                                         SEQUENCE {
       ul-UM-RLC
                                            UL-UM-RLC,
        dl-UM-RLC
                                             DL-UM-RLC
    um-Uni-Directional-UL
                                         SEOUENCE {
        ul-UM-RLC
                                            UL-UM-RLC
    um-Uni-Directional-DL
                                         SEQUENCE {
        dl-UM-RLC
                                             DL-UM-RLC
    },
RLC-Config-v1250 ::=
                                     SEQUENCE {
```

```
ul-extended-RLC-LI-Field-r12 BOOLEAN, dl-extended-RLC-LI-Field-r12 BOOLEAN
}
RLC-Config-v1310 ::=
                                 SEQUENCE {
  ul-extended-RLC-AM-SN-r13
                                              BOOLEAN,
   dl-extended-RLC-AM-SN-r13
                                              BOOLEAN.
                                              PollPDU-v1310 OPTIONAL -- Need OR
   pollPDU-v1310
}
RLC-Config-v1430 ::=
                                 CHOICE {
                                      NULL,
   release
                                      SEQUENCE {
   setup
     pollByte-r14
                                          PollByte-r14
}
RLC-Config-v1510 ::=
                                  SEQUENCE {
  C-Config-v1510 ::= SEQUENCE {
  reestablishRLC-r15 ENUMERATED {true}
RLC-Config-v1530 ::=
                                  CHOICE {
  release
                                      SEQUENCE {
   setup
       rlc-OutOfOrderDelivery-r15
                                        ENUMERATED {true}
}
                                 SEQUENCE {
RLC-Config-v1700 ::=
   -Config-v1700 ::=
t-ReorderingExt-r17
                                     SetupRelease {T-ReorderingExt-r17}
RLC-Config-r15 ::= SEQUENCE {
   mode-r15
                                          CHOICE {
       am-r15
                                          SEQUENCE {
           ul-AM-RLC-r15
                                              UL-AM-RLC-r15,
          dl-AM-RLC-r15
                                              DL-AM-RLC-r15
       },
       um-Bi-Directional-r15
                                          SEOUENCE {
        ul-UM-RLC-r15
                                            UL-UM-RLC,
          dl-UM-RLC-r15
                                              DL-UM-RLC-r15
       um-Uni-Directional-UL-r15 SEQUENCE {
           ul-UM-RLC-r15
                                             UL-UM-RLC
       um-Uni-Directional-DL-r15 SEQUENCE {
          dl-UM-RLC-r15
                                             DL-UM-RLC-r15
                                                                OPTIONAL, -- Need ON
   reestablishRLC-r15 ENUMERATED {true} rlc-OutOfOrderDelivery-r15 ENUMERATED {true}
                                                                     OPTIONAL,
                                                                                -- Need ON
}
UL-AM-RLC ::=
                                   SEQUENCE {
   t-PollRetransmit
                                     T-PollRetransmit,
   pollPDU
                                      PollPDU,
   pollByte
                                      PollByte,
                                      ENUMERATED {
t1, t2, t3, t4, t6, t8, t16, t32}
   maxRetxThreshold
}
UL-AM-RLC-r15 ::=
                                 SEQUENCE {
  t-PollRetransmit-r15
                                  T-PollRetransmit,
                                      PollPDU-r15,
   pollPDU-r15
   pollByte-r15
                                      PollByte-r14,
   maxRetxThreshold-r15
                                      ENUMERATED {
                                         t1, t2, t3, t4, t6, t8, t16, t32},
   extended-RLC-LI-Field-r15 BOOLEAN
DL-AM-RLC ::=
                                  SEQUENCE {
                                    T-Reordering,
  t-Reordering
   t-StatusProhibit
                                      T-StatusProhibit
DL-AM-RLC-r15 ::=
                                  SEQUENCE {
 t-Reordering-r15
                                    T-Reordering,
```

```
t-StatusProhibit-r15
                                           T-StatusProhibit,
   extended-RLC-LI-Field-r15
                                            BOOLEAN
}
UL-UM-RLC ::=
                                        SEQUENCE {
  sn-FieldLength
                                           SN-FieldLength
DL-UM-RLC ::=
                                        SEQUENCE {
   sn-FieldLength
                                             SN-FieldLength,
    t-Reordering
                                             T-Reordering
DL-UM-RLC-r15 ::=
                                        SEQUENCE {
   sn-FieldLength-r15
t-Reordering-r15
                                            SN-FieldLength-r15,
                                             T-Reordering
                                        ENUMERATED {size5, size10}
SN-FieldLength ::=
                                        ENUMERATED {size5, size10, size16-r15}
SN-FieldLength-r15 ::=
T-PollRetransmit ::=
                                        ENUMERATED {
                                             ms5, ms10, ms15, ms20, ms25, ms30, ms35,
                                             {\tt ms40}\,,\;{\tt ms45}\,,\;{\tt ms50}\,,\;{\tt ms55}\,,\;{\tt ms60}\,,\;{\tt ms65}\,,\;{\tt ms70}\,,
                                             ms75, ms80, ms85, ms90, ms95, ms100, ms105,
                                             ms140, ms145, ms150, ms155, ms160, ms165,
                                             ms170, ms175, ms180, ms185, ms190, ms195,
                                             ms200, ms205, ms210, ms215, ms220, ms225,
                                             ms230, ms235, ms240, ms245, ms250, ms300,
                                             ms350, ms400, ms450, ms500, ms800-v1310,
                                             ms1000-v1310, ms2000-v1310, ms4000-v1310,
                                             spare5, spare4, spare3, spare2, spare1}
PollPDU ::=
                                        ENUMERATED {
                                            p4, p8, p16, p32, p64, p128, p256, pInfinity}
PollPDH-v1310 ::=
                                        ENUMERATED {
                                            p512, p1024, p2048, p4096, p6144, p8192, p12288, p16384}
PollPDU-r15 ::=
                                         ENUMERATED {
                                            p4, p8, p16, p32, p64, p128, p256, p512, p1024,
                                             p2048-r15, p4096-r15, p6144-r15, p8192-r15,
                                             p12288-r15, p16384-r15, pInfinity}
PollByte ::=
                                             kB25, kB50, kB75, kB100, kB125, kB250, kB375,
                                             kB500, kB750, kB1000, kB1250, kB1500, kB2000,
                                             kB3000, kBinfinity, spare1}
PollByte-r14 ::=
                                        ENUMERATED {
                                            kB1, kB2, kB5, kB8, kB10, kB15, kB3500,
                                             kB4000, kB4500, kB5000, kB5500, kB6000, kB6500, kB7000, kB7500, kB8000, kB9000, kB11000, kB12000,
                                             kB13000, kB14000, kB15000, kB16000, kB17000, kB18000, kB19000, kB20000, kB25000, kB35000, kB35000, kB40000}
T-Reordering ::=
                                        ENUMERATED {
                                             ms0, ms5, ms10, ms15, ms20, ms25, ms30, ms35,
                                             ms40, ms45, ms50, ms55, ms60, ms65, ms70,
                                             ms75, ms80, ms85, ms90, ms95, ms100, ms110
                                             ms120, ms130, ms140, ms150, ms160, ms170,
                                             ms180, ms190, ms200, ms1600-v1310}
T-ReorderingExt-r17 ::=
                                        ENUMERATED {ms2200, ms3200}
T-StatusProhibit ::=
                                        ENUMERATED {
                                             {\tt ms0}\,,\;{\tt ms5}\,,\;{\tt ms10}\,,\;{\tt ms15}\,,\;{\tt ms20}\,,\;{\tt ms25}\,,\;{\tt ms30}\,,\;{\tt ms35}\,,
                                             {\tt ms40}, \; {\tt ms45}, \; {\tt ms50}, \; {\tt ms55}, \; {\tt ms60}, \; {\tt ms65}, \; {\tt ms70},
                                             ms75, ms80, ms85, ms90, ms95, ms100, ms105,
                                             ms110, ms115, ms120, ms125, ms130, ms135,
                                             ms140, ms145, ms150, ms155, ms160, ms165,
                                             {\tt ms170}\,,\;{\tt ms175}\,,\;{\tt ms180}\,,\;{\tt ms185}\,,\;{\tt ms190}\,,\;{\tt ms195}\,,
                                             ms200, ms205, ms210, ms215, ms220, ms225,
                                             ms230, ms235, ms240, ms245, ms250, ms300,
                                             ms350, ms400, ms450, ms500, ms800-v1310,
```

```
ms1000-v1310, ms1200-v1310, ms1600-v1310, ms2000-v1310, ms2400-v1310, ms2400-v1310, ms1200-v1310, ms1600-v1310, ms2000-v1310, ms
```

# **RLC-Config** field descriptions

# dl-extended-RLC-LI-Field, ul-extended-RLC-LI-Field

Indicates the RLC LI field size. Value *TRUE* means that 15 bit LI length shall be used, otherwise 11 bit LI length shall be used; see TS 36.322 [7]. E-UTRAN enables this field only when *RLC-Config* (without suffix) is set to *am*.

#### maxRetxThreshold

Parameter for RLC AM in TS 36.322 [7]. Value t1 corresponds to 1 retransmission, t2 to 2 retransmissions and so on.

#### reestablishRLC

Indicates that RLC shall be re-established. For a UE configured with (NG)EN-DC, E-UTRAN may include this field for the (primary) RLC entity of an MCG RLC bearer of a DRB (used upon change from SN terminated split to MN terminated MCG RLC bearer). For a UE configured with NE-DC, E-UTRAN may include this field for the (primary) RLC entity of an SCG RLC bearer of a DRB or of an SRB (used upon key refresh for MN terminated split RB).

### pollByte

Parameter for RLC AM in TS 36.322 [7]. Value kB25 corresponds to 25 kBytes, kB50 to 50 kBytes and so on. kBInfinity corresponds to an infinite amount of kBytes. In case *pollByte-r14* is signalled, the UE shall ignore pollByte (i.e. without suffix).

# pollPDU

Parameter for RLC AM in TS 36.322 [7]. Value p4 corresponds to 4 PDUs, p8 to 8 PDUs and so on. pInfinity corresponds to an infinite number of PDUs. In case *pollPDU-r13* is signalled, the UE shall ignore *pollPDU* (i.e. without suffix). E-UTRAN enables *pollPDU-v1310* field only when *RLC-Config* (without suffix) is set to *am*.

#### rlc-OutOfOrderDelivery

Indicates that out-of-order delivery from RLC to PDCP is configured for this RLC entity as specified in TS 36.322 [7].

#### sn-FieldLength

Indicates the UM RLC SN field size, see TS 36.322 [7], in bits. Value size5 means 5 bits, size10 means 10 bits.

#### t-PollRetransmit

Timer for RLC AM in TS 36.322 [7], in milliseconds. Value ms5 means 5ms, ms10 means 10ms and so on. EUTRAN configures values msX-v1310 (with suffix) only if UE supports CE.

#### t-Reordering

Timer for reordering in TS 36.322 [7], in milliseconds. Value ms0 means 0ms and behaviour as specified in 7.3.2 applies, ms5 means 5ms and so on.

### t-ReorderingExt

Timer for reordering in TS 36.322 [7], in milliseconds. Value *ms2200* corresponds to 2200 ms, value *ms3200* corresponds to 3200 ms.

The UE shall use the extended value *t-ReorderingExt-r17*, if present, and ignore the value signaled by *t-Reordering* or *t-Reordering-r15*.

# t-StatusProhibit

Timer for status reporting in TS 36.322 [7], in milliseconds. Value ms0 means 0ms and behaviour as specified in 7.3.2 applies, ms5 means 5ms and so on. EUTRAN configures values msX-v1310 (with suffix) only if UE supports operation in CF.

# ul-extended-RLC-AM-SN, dl-extended-RLC-AM-SN

Indicates whether or not the UE shall use the extended SN and SO length for AM bearer. Value *TRUE* means that 16 bit SN length and 16 bit SO length shall be used, otherwise 10 bit SN length and 15 bit SO length shall be used; see TS 36.322 [7].

# RLF-TimersAndConstants

The IE *RLF-TimersAndConstants* contains UE specific timers and constants applicable for UEs in RRC\_CONNECTED.

#### RLF-TimersAndConstants information element

```
-- ASN1START
                                         CHOICE {
RLF-TimersAndConstants-r9 ::=
    release
                                             NULL,
                                             SEQUENCE {
    setup
        t301-r9
                                             ENUMERATED {
                                                 ms100, ms200, ms300, ms400, ms600, ms1000, ms1500,
                                                 ms2000}
        t310-r9
                                             ENUMERATED
                                                 ms0, ms50, ms100, ms200, ms500, ms1000, ms2000},
        n310-r9
                                             ENUMERATED {
```

```
n1, n2, n3, n4, n6, n8, n10, n20},
                                            ENUMERATED {
        t311-r9
                                                ms1000, ms3000, ms5000, ms10000, ms15000,
                                                ms20000, ms30000},
        n311-r9
                                            ENUMERATED {
                                                n1, n2, n3, n4, n5, n6, n8, n10},
}
RLF-TimersAndConstants-r13 ::=
                                       CHOICE {
                                            NIII.I.
   release
    setup
                                            SEOUENCE {
                                                ENUMERATED {
       t301-v1310
                                                    ms2500, ms3000, ms3500, ms4000, ms5000,
                                                    ms6000, ms8000, ms10000},
        [[ t310-v1330
                                                ENUMERATED {ms4000, ms6000} OPTIONAL -- Need ON
}
RLF-TimersAndConstantsSCG-r12 ::=
                                            CHOICE {
   release
                                        NULL,
                                        SEQUENCE {
    setup
        t313-r12
                                            ENUMERATED {
                                                ms0, ms50, ms100, ms200, ms500, ms1000, ms2000},
        n313-r12
                                               n1, n2, n3, n4, n6, n8, n10, n20},
                                            ENUMERATED {
       n314-r12
                                                n1, n2, n3, n4, n5, n6, n8, n10},
    }
}
RLF-TimersAndConstantsMCG-Failure-r16 ::=
   release
                                            NULL,
                                            SEQUENCE {
   setup
        t316-r16
                                                ENUMERATED {ms50, ms100, ms200, ms300, ms400,
                                                    ms500, ms600, ms1000, ms1500, ms2000},
        . . .
-- ASN1STOP
```

# RLF-TimersAndConstants field descriptions

#### n3xy

Constants are described in clause 7.4. n1 corresponds with 1, n2 corresponds with 2 and so on.

#### t3xv

Timers are described in clause 7.3. Value ms0 corresponds with 0 ms, ms50 corresponds with 50 ms and so on. E-UTRAN configures *RLF-TimersAndConstants-r13* only if UE supports *ce-ModeB*. UE shall use the extended values *t3xy-v1310* and *t3xy-v1330*, if present, and ignore the values signaled by *t3xy-r9*.

# – RN-SubframeConfig

The IE RN-SubframeConfig is used to specify the subframe configuration for an RN.

# RN-SubframeConfig information element

```
-- ASN1START
RN-SubframeConfig-r10 ::=
                               SEQUENCE {
    subframeConfigPattern-r10
                                     CHOICE {
       subframeConfigPatternFDD-r10
                                       BIT STRING (SIZE(8)),
                                     INTEGER (0..31)
       subframeConfigPatternTDD-r10
                                                                      OPTIONAL, -- Need ON
    rpdcch-Config-r10
                                   SEQUENCE {
       resourceAllocationType-r10
                                     ENUMERATED {type0, type1, type2Localized, type2Distributed,
                                                   spare4, spare3, spare2, spare1},
                                           CHOICE {
        resourceBlockAssignment-r10
           type01-r10
                                               CHOICE {
               nrb6-r10
                                                  BIT STRING (SIZE(6)),
```

```
BIT STRING (SIZE(8)),
             nrb15-r10
             nrb25-r10
                                           BIT STRING (SIZE(13)),
                                          BIT STRING (SIZE(17)),
            nrb50-r10
             nrb75-r10
                                           BIT STRING (SIZE(19)),
             nrb100-r10
                                           BIT STRING (SIZE(25))
          },
                                        CHOICE {
BIT STRING (SIZE(5)),
          type2-r10
            nrb6-r10
            nrb15-r10
                                           BIT STRING (SIZE(7)),
             nrb25-r10
                                           BIT STRING (SIZE(9)),
            nrb50-r10
                                           BIT STRING (SIZE(11)),
                                           BIT STRING (SIZE(12)),
BIT STRING (SIZE(13))
            nrb75-r10
             nrb100-r10
         },
         . . .
      },
      pdsch-Start-r10
                                INTEGER (1..3),
      pucch-Config-r10
                               CHOICE {
         tdd
                                  CHOICE {
             channelSelectionMultiplexingBundling SEQUENCE {
               n1PUCCH-AN-List-r10 SEQUENCE (SIZE (1..4)) OF INTEGER (0..2047)
             fallbackForFormat3
                                       SEQUENCE {
              n1PUCCH-AN-P0-r10
n1PUCCH-AN-P1-r10
                                       INTEGER (0..2047),
INTEGER (0..2047) OPTIONAL -- Need OR
                                   SEQUENCE {
             },
      . . .
   }
                                                            OPTIONAL, -- Need ON
-- ASN1STOP
```

# RN-SubframeConfig field descriptions

#### demodulationRS

Indicates which reference signals are used for R-PDCCH demodulation according to TS 36.216 [55], clause 7.4.1. Value interleaving corresponds to cross-interleaving and value noInterleaving corresponds to no cross-interleaving according to TS 36.216 [55], clauses 7.4.2 and 7.4.3.

### n1PUCCH-AN-List

Parameter:  $n_{\text{PUCCH},t}^{(1)}$ , see TS 36.216, [55], clause 7.5.1. This parameter is only applicable for TDD. Configures PUCCH HARQ-ACK resources if the RN is configured to use HARQ-ACK channel selection, HARQ-ACK multiplexing or HARQ-ACK bundling.

# n1PUCCH-AN-P0, n1PUCCH-AN-P1

Parameter:  $n_{\text{PUCCH}}^{(1,p)}$ , for antenna port P0 and for antenna port P1 respectively, see TS 36.216, [55], clause 7.5.1, for FDD and [55], clause 7.5.2 for TDD.

# pdsch-Start

Parameter: DL-StartSymbol, see TS 36.216 [55], Table 5.4-1.

# resourceAllocationType

Represents the resource allocation used: type 0, type 1 or type 2 according to TS 36.213 [23], clause 7.1.6. Value type0 corresponds to type 0, value type1 corresponds to type 1, value type2Localized corresponds to type 2 with localized virtual resource blocks and type2Distributed corresponds to type 2 with distributed virtual resource blocks.

#### resourceBlockAssignment

Indicates the resource block assignment bits according to TS 36.213 [23], clause 7.1.6. Value type01 corresponds to type 0 and type 1, and the value type2 corresponds to type 2. Value nrb6 corresponds to a downlink system bandwidth of 6 resource blocks, value nrb15 corresponds to a downlink system bandwidth of 15 resource blocks, and so on.

# subframeConfigPatternFDD

Parameter: SubframeConfigurationFDD, see TS 36.216 [55], Table 5.2-1. Defines the DL subframe configuration for eNB-to-RN transmission, i.e. those subframes in which the eNB may indicate downlink assignments for the RN. The radio frame in which the pattern starts (i.e. the radio frame in which the first bit of the subframeConfigPatternFDD corresponds to subframe #0) occurs when SFN mod 4 = 0.

# subframeConfigPatternTDD

Parameter: SubframeConfigurationTDD, see TS 36.216 [55], Table 5.2-2. Defines the DL and UL subframe configuration for eNB-RN transmission.

# – RSS-Config

The IE RSS-Config is used to specify the RSS configuration, see TS 36.211 [21].

# **RSS-Config** information element

# **RSS-Config** field descriptions

#### duration

Duration of RSS in subframes. Value sf8 corresponds to 8 subframes, value sf16 corresponds to 16 subframes and so on.

#### freqLocation

Frequency location (lowest PRB number) of RSS.

#### periodicity

Periodicity of RSS. Value ms160 corresponds to 160 ms, value ms320 corresponds to 320 ms and so on.

### powerBoost

Power offset of RSS relative to CRS in dB. Value dB0 corresponds to 0 dB, value dB3 corresponds to 3 dB, value dB4dot8 corresponds to 4.8 dB and so on.

#### timeOffset

Time offset of RSS in frames. The actual value of time offset is based on the value of periodicity, as follows:

For periodicity 160 ms, only value range 0 to 15 are applicable. Actual value = timeOffset \* 1 frame.

For *periodicity* 320 ms, actual value = *timeOffset* \* 1 frame.

For *periodicity* 640 ms, actual value = *timeOffset* \* 2 frames.

For *periodicity* 1280 ms, actual value = *timeOffset* \* 4 frames.

# SchedulingRequestConfig

The IE Scheduling Request Config is used to specify the Scheduling Request related parameters

# SchedulingRequestConfig information element

```
-- ASN1START
                                CHOICE {
SchedulingRequestConfig ::=
    release
                                         NULL,
                                         SEQUENCE {
       sr-PUCCH-ResourceIndex
                                             INTEGER (0..2047),
                                             INTEGER (0..157),
        sr-ConfigIndex
                                             ENUMERATED {
       dsr-TransMax
                                                 n4, n8, n16, n32, n64, spare3, spare2, spare1}
    }
}
SchedulingRequestConfig-v1020 ::=
                                    SEQUENCE {
    sr-PUCCH-ResourceIndexP1-r10
                                        INTEGER (0..2047)
                                                               OPTIONAL
                                                                                      -- Need OR
SchedulingRequestConfigSCell-r13 ::=
                                            CHOICE {
   release
                                        SEQUENCE {
                                            INTEGER (0..2047),
        sr-PUCCH-ResourceIndex-r13
        sr-PUCCH-ResourceIndexP1-r13
                                            INTEGER (0..2047)
                                                                         OPTIONAL,
                                                                                          -- Need OR
        sr-ConfigIndex-r13
                                             INTEGER (0..157),
        dsr-TransMax-r13
                                            ENUMERATED {
                                                n4, n8, n16, n32, n64, spare3, spare2, spare1}
    }
SchedulingRequestConfig-v1530 ::= CHOICE {
                                        NULL,
    release
                                        SEQUENCE {
                                            JENCE {
INTEGER (0..1319) OPTIONAL,
OPTIONAL, -- Need OR
OPTIONAL, -- Need OR
        sr-SlotSPUCCH-IndexFH-r15
                                                                     OPTIONAL, -- Need OR
        sr-SlotSPUCCH-IndexNoFH-r15 INTEGER (0..3959)
        sr-SubslotSPUCCH-ResourceList-r15 SR-SubslotSPUCCH-ResourceList-r15 OPTIONAL, -- Need OR
        sr-ConfigIndexSlot-r15
                                             INTEGER (0..36)
                                                                     OPTIONAL,
                                                                                     -- Need OR
        sr-ConfigIndexSubslot-r15
                                            INTEGER (0..122)
                                                                    OPTIONAL,
                                            ENUMERATED {
        dssr-TransMax-r15
                                                n4, n8, n16, n32, n64, spare3, spare2, spare1}
SR-SubslotSPUCCH-ResourceList-r15 ::= SEQUENCE (SIZE(1..4)) OF INTEGER (0..1319)
-- ASN1STOP
```

# SchedulingRequestConfig field descriptions

### dsr-TransMax

Parameter for SR transmission in TS 36.321 [6], clause 5.4.4. The value n4 corresponds to 4 transmissions, n8 corresponds to 8 transmissions and so on. EUTRAN configures the same value for all serving cells for which this field is configured.

### dssr-TransMax

Parameter for SPUCCH SR transmission in TS 36.321 [6], clause 5.4.4. EUTRAN configures the same value for all serving cells for which this field is configured.

# $sr-ConfigIndex, \ sr-ConfigIndexSlot, \ sr-ConfigIndexSubslot$

Parameter  $I_{SR}$ . See TS 36.213 [23], clause 10.1. The values 156 and 157 are not applicable for Release 8.

### sr-PUCCH-ResourceIndex, sr-PUCCH-ResourceIndexP1

Parameter:  $n_{\text{PUCCH,SRI}}^{(1,p)}$  for antenna port P0 and for antenna port P1 respectively, see TS 36.213 [23], clause 10.1. E-UTRAN configures *sr-PUCCH-ResourceIndexP1* only if *sr-PUCCHResourceIndex* is configured.

# sr-SlotSPUCCH-IndexFH

Resource configuration for SR using slot-SPUCCH when frequency hopping is enabled, see TS 36.213 [23], clause 10.1.5.

### sr-SlotSPUCCH-IndexNoFH

Resource configuration for SR using slot-SPUCCH when frequency hopping is disabled, see TS 36.213 [23], clause 10.1.5.

### sr-SubslotSPUCCH-ResourceList

Resource configuration for SR using subslot-SPUCCH, see TS 36.213 [23], clause 10.1.5.

# SlotOrSubslotPDSCH-Config

The IE SlotOrSubslotPDSCH-Config is used to specify the UE specific PDSCH configuration when sTTI is used.

### SlotOrSubslotPDSCH-Config information element

```
-- ASN1START
SlotOrSubslotPDSCH-Config-r15 ::= CHOICE {
    release
                                      SEQUENCE {
        altCQI-TableSTTI-r15
                                         ENUMERATED {
                                              allSubframes, csi-SubframeSet1,
                                                                                    OPTIONAL, -- Need OR
                                              csi-SubframeSet2, spare1}
        altCQI-Table1024QAM-STTI-r15 ENUMERATED {
                                              allSubframes, csi-SubframeSet1,
                                              csi-SubframeSet2, spare1}
                                                                                    OPTIONAL, -- Need OR
                                         ENUMERATED {
        resourceAllocation-r15
                                ENUMERATED {a33} OPTIONAL, -- Need OR ENUMERATED {b33} OPTIONAL, -- Need OR ENUMERATED {a27}
                             resourceAllocationType0,resourceAllocationType2}
                                                                                    OPTIONAL, -- Need OR
        tbsIndexAlt-STTI-r15
        tbsIndexAlt2-STTI-r15
        tbsIndexAlt3-STTI-r15
-- ASN1STOP
```

# SlotOrSubslotPDSCH-Config field descriptions

### altCQI-TableSTTI, altCQI-Table1024QAM-STTI

Indicates the applicability of the alternative CQI table (i.e. Table 7.2.3-2 and Table 7.2.3-4 in TS 36.213 [23]) for aperiodic CSI reporting for slot or subslot PDSCH for the concerned serving cell. Value *allSubframes* means the alternative CQI table applies to all the subframes and CSI processes, if configured, and value *csi-SubframeSet1* means the alternative CQI table applies to CSI subframe set1, and value *csi-SubframeSet2* means the alternative CQI table applies to CSI subframe set2. EUTRAN sets the value to *csi-SubframeSet1* or *csi-SubframeSet2* only if transmissionMode is set in range *tm1* to *tm9* and csi-SubframePatternConfig-r10 is configured for the concerned serving cell and different CQI tables apply to the two CSI subframe sets; otherwise EUTRAN sets the value to *allSubframes*. EUTRAN does not configure the same value for altCQI-TableSTTI-r15 and altCQI-Table-1024QAM-STTI-r15 in SlotOrSubslotPDSCH-Config-r15. EUTRAN does not configure altCQI-Table-1024QAM-STTI-r15 if the value of altCQI-TableSTTI-r15 is set to *allSubframes*. EUTRAN does not configure altCQI-TableSTTI-r15 if the value of altCQI-Table-1024QAM-STTI-r15 is set to *allSubframes*. If both altCQI-TableSTTI-r15 and altCQI-Table-1024QAM-STTI-r15 are absent, the UE shall use Table 7.2.3-1 in TS 36.213 [23] for all subframes and CSI processes, if configured.

### resourceAllocation

Parameter indicates resource allocation type for slot-PDSCH or subslot-PDSCH.

### tbsIndexAlt-STTI

Indicates the applicability of the alternative TBS index for the  $h_{\rm TBS}$  33 (see TS 36.213 [23], Table 7.1.7.2.1-1) to all slots/subslots scheduled by DCI format 7-1F and 7-1G. Value a 33 refers to the alternative TBS index  $h_{\rm TBS}$  33A. If neither this field nor *tbsIndexAlt2-STTI* configures an alternative TBS index for ITBS 33, the UE shall use ITBS 33 specified in Table 7.1.7.2.1-1 in TS 36.213 [23] for all slots/subslots instead.

### tbsIndexAlt2-STTI

Indicates the applicability of the alternative TBS index for the IrBs 33 (see TS 36.213 [23], Table 7.1.7.2.1-1) to all slots/subslots scheduled by DCI format 7-1B/7-1C/7-1D. Value b33 refers to the alternative TBS index IrBs 33B. If neither this field nor tbsIndexAlt-STTI configures an alternative TBS index for IrBs 33, the UE shall use IrBs 33 specified in Table 7.1.7.2.1-1 in TS 36.213 [23] for all slots/subslots instead.

### tbsIndexAlt3-STTI

Indicates the applicability of the alternative TBS index for the  $h_{\rm TBS}$  37 (see TS 36.213 [23], Table 7.1.7.2.1-1) to all slots/subslots scheduled by DCI format 7-1F/7-1G. Value a37 refers to the alternative TBS index  $h_{\rm TBS}$  37A. If this field does not configure an alternative TBS index for  $h_{\rm TBS}$  37, the UE shall use  $h_{\rm TBS}$  37 specified in TS 36.213 [23], Table 7.1.7.2.1-1 for all slots/subslots instead.

# SlotOrSubslotPUSCH-Config

The IE SlotOrSubslotPUSCH-Config is used to specify the UE specific PUSCH configuration when sTTI is used.

### SlotOrSubslotPUSCH-Config information element

```
-- ASN1START
SlotOrSubslotPUSCH-Config-r15 ::= CHOICE {
   release
    setup
                                   SEOUENCE {
                                       INTEGER(0..15)
        betaOffsetSlot-ACK-Index-r15
                                                              OPTIONAL, -- Need OR
                                                              OPTIONAL, -- Need OR
       betaOffset2Slot-ACK-Index-r15
                                           INTEGER(0..15)
        betaOffsetSubslot-ACK-Index-r15
                                           SEQUENCE (SIZE(1..2)) OF INTEGER(0..15) OPTIONAL, --
Need OR
                                           SEQUENCE (SIZE(1..2)) OF INTEGER(0..15) OPTIONAL, --
       betaOffset2Subslot-ACK-Index-r15
Need OR
        betaOffsetSlot-RI-Index-r15
                                           INTEGER(0..15)
                                                               OPTIONAL, -- Need OR
                                           SEQUENCE (SIZE(1..2)) OF INTEGER(0..15) OPTIONAL, --
        betaOffsetSubslot-RI-Index-r15
Need OR
       betaOffsetSlot-CQI-Index-r15
                                           INTEGER(0..15)
                                           INTEGER(0..15)
                                                               OPTIONAL, -- Need OR
        betaOffsetSubslot-CQI-Index-r15
                                                               OPTIONAL, -- Need OR
        enable256QAM-SlotOrSubslot-r15
                                           Enable256QAM-r14
                                                              OPTIONAL, -- Need ON
                                           INTEGER (1..2)
        resourceAllocationOffset-r15
                                                               OPTIONAL, -- Need OR
        ul-DMRS-TFDMA-SlotOrSubslot-r15
                                           BOOLEAN.
-- ASN1STOP
```

# SlotOrSubslotPUSCH-Config field descriptions

# betaOffsetSlot-ACK-Index, betaOffsetSubslot-ACK-Index, betaOffset2Slot-ACK-Index, betaOffset2Subslot-ACK-Index

Parameter:  $I_{offset}^{HARQ-ACK}$  and  $I_{offset,X}^{HARQ-ACK}$  for single-codeword, see TS 36.213 [23], Table 8.6.3-1. If betaOffset2Slot-

ACK-Index/betaOffset2Subslot-ACK-Index is configured; betaOffsetSlot-ACK-Index/betaOffsetSubslot-ACK-Index is used when up to 22 HARQ-ACK bits are transmitted otherwise betaOffset2Slot-ACK-Index/betaOffset2Subslot-ACK-Index is used. The values apply for all serving cells with an uplink in a cell group (MCG or SCG or the group of cells configured to send SPUCCH on the same cell in case SPUCCH SCell is configured) and not configured with uplink power control subframe sets. It is indicated in DCI format 7-0A/7-0B which of the two values taken by betaOffsetSubslot-ACK-Index-r15/betaOffset2Subslot-ACK-Index-r15/betaOffset2Subslot-ACK-Index-r15 to use. The same value also applies for subframe set 1 of all serving cells with an uplink in that cell group and configured with uplink power control subframe sets (the associated functionality is common i.e. not performed independently for each cell).

### betaOffsetSlot-RI-Index, betaOffsetSubslot-RI-Index

Parameter:  $I_{\mathit{offset}}^{\mathit{RI}}$ , for single codeword, see TS 36.213 [23], Table 8.6.3-2. One value applies for subframe set 2 of all serving cells with an uplink in a cell group (MCG or SCG or the group of cells configured to send SPUCCH on the same cell in case SPUCCH SCell is configured) and configured with uplink power control subframe sets (the associated functionality is common i.e. not performed independently for each cell configured with uplink power control subframe sets).

### betaOffsetSlot-CQI-Index, betaOffsetSubslot-CQI-Index

Parameter:  $I_{\it offset}^{\it CQI}$ , for single codeword, see TS 36.213 [23], Table 8.6.3-3. One value applies for all serving cells with an uplink in a cell group (MCG or SCG or the group of cells configured to send SPUCCH on the same cell in case SPUCCH SCell is configured) and not configured with uplink power control subframe sets. The same value also applies for subframe set 1 of all serving cells with an uplink in that cell group and configured with uplink power control subframe sets (the associated functionality is common i.e. not performed independently for each cell).

### enable256QAM-SlotOrSubslot

Indicates that 256QAM for slot or subslot is enabled, see TS 36.213 [23], clause 8.6.1.

### resourceAllocationOffset

Indicates an RB resource allocation offset of 1 or 2 PRBs for slot-PUSCH or subslot-PUSCH. When the field is absent, the UE assumes no offset is used (i.e. offset = 0).

### ul-DMRS-IFDMA-SlotOrSubslot

Value TRUE indicates that the UE is configured with enhanced UL DMRS.

# SoundingRS-UL-Config

The IE *SoundingRS-UL-Config* is used to specify the uplink Sounding RS configuration for periodic and aperiodic sounding.

# SoundingRS-UL-Config information element

```
-- ASN1START
SoundingRS-UL-ConfigCommon ::=
                                    CHOICE {
   release
                                        NULL.
                                        SEOUENCE {
    setup
        srs-BandwidthConfig
                                            ENUMERATED {bw0, bw1, bw2, bw3, bw4, bw5, bw6, bw7},
        srs-SubframeConfig
                                            ENUMERATED
                                                sc0, sc1, sc2, sc3, sc4, sc5, sc6, sc7,
                                                sc8, sc9, sc10, sc11, sc12, sc13, sc14, sc15},
        ackNackSRS-SimultaneousTransmission BOOLEAN,
        srs-MaxUpPts
                                            ENUMERATED {true}
                                                                         OPTIONAL
                                                                                     -- Cond TDD
}
SoundingRS-UL-ConfigDedicated ::= CHOICE{
        srs-Bandwidth
                                            ENUMERATED {bw0, bw1, bw2, bw3},
        srs-HoppingBandwidth
                                            ENUMERATED {hbw0, hbw1, hbw2, hbw3},
        freqDomainPosition
                                            INTEGER (0..23),
        duration
                                            BOOLEAN,
        srs-ConfigIndex
                                            INTEGER (0..1023),
                                            INTEGER (0..1),
        transmissionComb
        cvclicShift
                                            ENUMERATED {cs0, cs1, cs2, cs3, cs4, cs5, cs6, cs7}
}
```

```
SoundingRS-UL-ConfigDedicated-v1020 ::= SEQUENCE {
    srs-AntennaPort-r10
                                         SRS-AntennaPort
SoundingRS-UL-ConfigDedicated-v1310 ::= CHOICE{
    release
                                         SEQUENCE {
    setup
                                                                         OPTIONAL,
        transmissionComb-v1310
                                             INTEGER (2..3)
                                                                                    -- Need OR
        cyclicShift-v1310
                                             ENUMERATED {cs8, cs9, cs10, cs11} OPTIONAL,
OR
        transmissionCombNum-r13
                                            ENUMERATED {n2, n4}
                                                                   OPTIONAL
                                                                                  -- Need OR
}
SoundingRS-UL-ConfigDedicatedUpPTsExt-r13 ::= CHOICE{
    release
                                         NULL,
                                         SEQUENCE {
    setup
                                                ENUMERATED {sym2, sym4},
        srs-UpPtsAdd-r13
                                             ENUMERATED {bw0, bw1, bw2, bw3}, ENUMERATED {hbw0, hbw1, hbw2, hbw3},
        srs-Bandwidth-r13
        srs-HoppingBandwidth-r13
        freqDomainPosition-r13
                                             INTEGER (0..23),
        duration-r13
                                             BOOLEAN,
        srs-ConfigIndex-r13
                                            INTEGER (0..1023),
                                             INTEGER (0..3),
        transmissionComb-r13
                                             ENUMERATED {cs0, cs1, cs2, cs3, cs4, cs5, cs6, cs7,
        cyclicShift-r13
                                                         cs8, cs9, cs10, cs11},
        srs-AntennaPort-r13
                                             SRS-AntennaPort,
        transmissionCombNum-r13
                                            ENUMERATED {n2, n4}
}
SoundingRS-UL-ConfigDedicatedAperiodic-r10 ::= CHOICE{
    release
                                        NULL.
    setup
                                         SEQUENCE {
        srs-ConfigIndexAp-r10
                                             INTEGER (0..31),
                                            SEQUENCE (SIZE (1..3)) OF SRS-ConfigAp-r10 OPTIONAL, --
        srs-ConfigApDCI-Format4-r10
Need ON
        srs-ActivateAp-r10
                                            CHOICE {
                release
                                                NULL,
                setup
                                                 SEQUENCE {
                                                       SRS-ConfigAp-r10,
                    srs-ConfigApDCI-Format0-r10
                    {\tt srs-ConfigApDCI-Format1a2b2c-r10}
                                                            SRS-ConfigAp-r10,
                }
                                                                             OPTIONAL
                                                                                          -- Need ON
}
SoundingRS-UL-ConfigDedicatedAperiodic-v1310 ::=
    release
                                        NULL,
                                         SEQUENCE {
        {\tt srs-ConfigApDCI-Format4-v1310}
                                            SEQUENCE (SIZE (1..3)) OF SRS-ConfigAp-v1310
    OPTIONAL, -- Need ON
        srs-ActivateAp-v1310
                                             CHOICE {
                                                 NULL.
                release
                                                 SEQUENCE {
                    srs-ConfigApDCI-Format0-v1310
                                                        SRS-ConfigAp-v1310 OPTIONAL,
                    srs-ConfigApDCI-Format1a2b2c-v1310 SRS-ConfigAp-v1310 OPTIONAL
                                                                                          -- Need ON
                                                                             OPTIONAL
                                                                                          -- Need ON
}
SoundingRS-UL-ConfigDedicatedAperiodicUpPTsExt-r13 ::= CHOICE{
    release
                                         NULL,
    setup
                                         SEOUENCE {
        srs-UpPtsAdd-r13
                                            ENUMERATED {sym2, sym4},
                                            INTEGER (0..31),
        srs-ConfigIndexAp-r13
        srs-ConfigApDCI-Format4-r13
                                            SEQUENCE (SIZE (1..3)) OF SRS-ConfigAp-r13 OPTIONAL, --
Need ON
       srs-ActivateAp-r13
                                             CHOICE {
                release
                                               NULL,
                                                 SEQUENCE {
                    srs-ConfigApDCI-Format0-r13
                                                        SRS-ConfigAp-r13,
                    srs-ConfigApDCI-Format1a2b2c-r13
                                                            SRS-ConfigAp-r13
                }
                                                                             OPTIONAL -- Need ON
```

```
SoundingRS-UL-ConfigDedicatedAperiodic-v1430 ::= CHOICE{
    release
                                                 NULL,
                                                  SEQUENCE {
     setup
         srs-SubframeIndication-r14
                                                       INTEGER (1..4) OPTIONAL -- Need ON
}
SoundingRS-UL-ConfigDedicatedAdd-r16 ::= SEQUENCE {
          Srs-ConfigApDCI-Format4-r16 INTEGER (0..31),
                                                      SEQUENCE (SIZE (1..3)) OF SRS-ConfigAdd-r16
                                                                                    OPTIONAL, --Need ON
          srs-ActivateAp-r13
                                                      CHOICE {
          release
                                                         NULL,
                                                           SEQUENCE {
           setup
               srs-ConfigApDCI-Format0-r16
                                                           SRS-ConfigAdd-r16,
               srs-ConfigApDCI-Formatla2b2c-r16 SRS-ConfigAdd-r16
    }
                                                                                    OPTIONAL --Need ON
}
SRS-ConfigAp-r10 ::= SEQUENCE {
    srs-AntennaPortAp-r10 SRS-AntennaPort,
srs-BandwidthAp-r10 ENUMERATED {bw0, bw1, bw2, bw3},
freqDomainPositionAp-r10 INTEGER (0..23),
transmissionCombAp-r10 INTEGER (0..1),
graliaShiftAp_r10 ENUMERATED {co0, re1, re2, re2
                                                ENUMERATED {cs0, cs1, cs2, cs3, cs4, cs5, cs6, cs7}
     cyclicShiftAp-r10
}
ENUMERATED {n2, n4}
                                                                                    OPTIONAL -- Need OR
}
SRS-ConfigAp-r13 ::= SEQUENCE {
                                                SRS-AntennaPort,
     srs-AntennaPortAp-r13
     srs-BandwidthAp-r13
                                               ENUMERATED {bw0, bw1, bw2, bw3},
     freqDomainPositionAp-r13
transmissionCombAp-r13
qualiaShiftAp-r13
                                                 INTEGER (0..23),
                                                INTEGER (0..3),
    cyclicShiftAp-r13
                                                ENUMERATED {cs0, cs1, cs2, cs3, cs4, cs5, cs6, cs7,
                                                      cs8, cs9, cs10, cs11},
     transmissionCombNum-r13
                                                ENUMERATED {n2, n4}
}
SRS-AntennaPort ::=
                                           ENUMERATED {an1, an2, an4, spare1}
SRS-ConfigAdd-r16 ::= srs-RepNumAdd-r16
                                SEQUENCE {

        srs-RepNumAdd-r16
        ENUMERATED {n1, n2, n3, n4, n6, n7, n8, n9, n12, n13},

        srs-BandwidthAdd-r16
        ENUMERATED {bw0, bw1, bw2, bw3},

    srs-BalawidthAdd-r16 ENUMERATED {hbw0, hbw1, hbw2, hbw3},
srs-FreqDomainPosAdd-r16 INTEGER (0..23),
srs-AntennaPortAdd-r16 SRS-AntennaPort,
srs-CyclicShiftAdd-r16 ENUMERATED {cs0, cs1, cs2, cs3, cs4, cs5, cs6, cs7,
                                                            cs8, cs9, cs10, cs11},
    srs-TransmissionCombNumAdd-r16 ENUMERATED {n2, n4},
    srs-TransmissionCombAdd-r16 INTEGER (0..3),
srs-StartPosAdd-r16 INTEGER (1..13),
srs-DurationAdd-r16 INTEGER (1..13),
srs-GuardSymbolAS-Add-r16 ENUMERATED {enabled}
srs-GuardSymbolFH-Add-r16 ENUMERATED {enabled}
                                                                                   OPTIONAL,
                                                                                                    -- Need ON
                                                                                  OPTIONAL
                                                                                                    -- Need ON
-- ASN1STOP
```

### SoundingRS-UL-Config field descriptions

### ackNackSRS-SimultaneousTransmission

Parameter: Simultaneous-AN-and-SRS, see TS 36.213 [23], clause 8.2. For SCells without PUCCH configured, this field is not applicable and the UE shall ignore the value.

### cyclicShift, cyclicShiftAp, srs-CyclicShiftAdd

Parameter: n\_SRS for periodic, aperiodic and additional sounding reference signal transmission respectively except for an LAA SCell. See TS 36.211 [21], clause 5.5.3.1, where cs0 corresponds to 0 etc.

### duration

Parameter: Duration for periodic sounding reference signal transmission except for an LAA SCell. See TS 36.213 [21], clause 8.2. FALSE corresponds to "single" and value TRUE to "indefinite".

### freqDomainPosition, freqDomainPositionAp, srs-FreqDomainPosAdd

Parameter:  $n_{\rm RRC}$  for periodic, aperiodic and additional sounding reference signal transmission respectively, see TS 36.211 [21], clause 5.5.3.2.

# srs-AntennaPort, srs-AntennaPortAp, srs-AntennaPortAdd

Indicates the number of antenna ports used for periodic, aperiodic and additional sounding reference signal transmission respectively, see TS 36.211 [21], clause 5.5.3. UE shall release *srs-AntennaPort* if *SoundingRS-UL-ConfigDedicated* is released.

### srs-Bandwidth, srs-BandwidthAp, srs-BandwidthAdd

Parameter:  $B_{SRS}$  for periodic, aperiodic and additional sounding reference signal transmission respectively, see TS 36.211 [21], tables 5.5.3.2-1, 5.5.3.2-2, 5.5.3.2-3 and 5.5.3.2-4. For LAA SCell only bw0 is applied.

# srs-BandwidthConfig

Parameter: SRS Bandwidth Configuration. See TS 36.211, [21], tables 5.5.3.2-1, 5.5.3.2-2, 5.5.3.2-3 and 5.5.3.2-4. Actual configuration depends on UL bandwidth. bw0 corresponds to value 0, bw1 to value 1 and so on.

# srs-ConfigApDCI-Format0 / srs-ConfigApDCI-Format1a2b2c / srs-ConfigApDCI-Format4

Parameters indicate the resource configurations for aperiodic sounding reference signal transmissions triggered by DCI formats 0, 1A, 2B, 2C, 4. See TS 36.213 [23], clause 8.2.

### srs-ConfigIndex, srs-ConfigIndexAp

Parameter: I<sub>SRS</sub> for periodic and aperiodic sounding reference signal transmission respectively except for an LAA SCell. See TS 36.213 [23], tables 8.2-1 and 8.2-2, for periodic and TS 36.213 [23], tables 8.2-4 an8.2-5, for aperiodic and additional SRS transmission. If both *srs-ConfigIndexAp-r10* and *srs-ConfigIndexAp-r16* are included, E-UTRAN configures the same value for both fields.

### srs-DurationAdd

Indicates the duration of the additional SRS including guard symbols within a UL subframe, see TS 36.211 [21], clause 5.5.3. E-UTRAN configures *addSRS-StartPos* and this field such that all the configured additional SRS occur within the same subframe.

# srs-GuardSymbolAS-Add

If enabled, there is a guard period of one symbol after antenna switching, see TS 36.211 [21], clause 5.5.3 and TS 36.213 [23] clause 8.2.

### srs-GuardSymbolFH-Add

If enabled, there is a guard period of one symbol after frequency hopping, see TS 36.211 [21], clause 5.5.3 and TS 36.213 [23] clause 8.2.

# srs-HoppingBandwidth, srs-HoppingBandwidthAdd

Parameter: SRS hopping bandwidth  $b_{hop} \in \{0,1,2,3\}$  for periodic and additional sounding reference signal transmission respectively except for an LAA SCell, see TS 36.211 [21], clause 5.5.3.2, where hbw0 corresponds to value 0, hbw1 to value 1 and so on.

### srs-MaxUpPts

Parameter: srsMaxUpPts, see TS 36.211 [21], clause 5.5.3.2. If this field is present, reconfiguration of  $m_{SRS,0}^{max}$  applies for UpPts, otherwise reconfiguration does not apply.

### srs-RepNumAdd

Parameter: R which indicates the number of the additional SRS repetitions, see TS 36.211 [21], clause 5.5.3.2 and TS 36.213 [23] clause 8.3.

### srs-StartPosAdd

Indicates the starting position of the additional SRS within a UL subframe excluding UpPTS, see TS 36.211 [21], clause 5.5.3.

### srs-SubframeConfig

Parameter: SRS SubframeConfiguration except for an LAA SCell. See TS 36.211, [21], table 5.5.3.3-1, applies for FDD whereas TS 36.211 [21], table 5.5.3.3-2, applies for TDD. sc0 corresponds to value 0, sc1 corresponds to value 1 and so on.

# srs-SubframeIndication

Parameter: SRS subframe indication in SRS parameter set configuration for aperiodic sounding reference signal transmission on an LAA SCell configured with uplink, see TS 36.213 [23].

# SoundingRS-UL-Config field descriptions

# srs-UpPtsAdd

The field only applies for TDD and frame structure type 3, see TS 36.211 [21]. If E-UTRAN configures both soundingRS-UL-ConfigDedicatedUpPTsExt and soundingRS-UL-ConfigDedicatedAperiodicUpPTsExt, srs-UpPtsAdd in both fields is set to the same value. If E-UTRAN configures soundingRS-UL-PeriodicConfigDedicatedUpPTsExtList-r14 with a number of soundingRS-UL-ConfigDedicatedUpPTsExt and/or soundingRS-UL-AperiodicConfigDedicatedUpPTsExt srs-upplies to the same value.

AperiodicConfigDedicatedList-r14 with a number of soundingRS-UL-ConfigDedicatedAperiodicUpPTsExt, srs-UpPtsAdd in all fields are set to the same value.

# transmissionComb, transmissionCombAp, srs-TransmissionCombAdd

Parameter:  $k_{\text{TC}} \in \{0..3\}$  for periodic, aperiodic and additional sounding reference signal transmission respectively, see TS 36.211 [21], clause 5.5.3.2.

Conditional presence	Explanation
TDD	This field is optional present for TDD, need OR; it is not present for FDD and the UE shall
	delete any existing value for this field.

# SPDCCH-Config

The IE SPDCCH-Config is used to specify the UE specific SPDCCH configuration.

# SPDCCH-Config information element

```
-- ASN1START
SPDCCH-Config-r15 ::=
                               CHOICE {
    release
                                   NULL.
                                   SEQUENCE {
                                                  \label{eq:enumerated} \texttt{ENUMERATED} \  \, \left\{ \texttt{n0}\,, \texttt{n1}\,, \texttt{n2} \right\} \quad \, \texttt{OPTIONAL}\,, \ \text{--} \  \, \texttt{Need OR} 
         spdcch-L1-ReuseIndication-r15
         spdcch-SetConfig-r15
                                                 SPDCCH-Set-r15
                                                                           OPTIONAL -- Need OR
}
SPDCCH-Set-r15 ::= SEQUENCE (SIZE (1..4)) OF SPDCCH-Elements-r15
SPDCCH-Elements-r15 ::= CHOICE {
    release
                                        NULL,
                                        SEQUENCE {
         spdcch-SetConfigId-r15
                                                 INTEGER (0..3)
                                                                           OPTIONAL, -- Need OR
                                                ENUMERATED {crs, dmrs} OPTIONAL, -- Need OR
         spdcch-SetReferenceSig-r15
                                              INTEGER (1..2)
INTEGER (0..503)
SEQUENCE (SIZE 1)
         transmissionType-r15
                                                 ENUMERATED {localised, distributed} OPTIONAL, -- Need OR
                                                                          OPTIONAL, -- Need OR OPTIONAL, -- Need OR
         spdcch-NoOfSymbols-r15
         dmrs-ScramblingSequenceInt-r15
         dci7-CandidatesPerAL-PDCCH-r15
                                                SEQUENCE (SIZE(1..4)) OF
                                                     DCI7-Candidates-r15 OPTIONAL, -- Need OR
         dci7-CandidateSetsPerAL-SPDCCH-r15 SEQUENCE (SIZE(1..2)) OF
                                                    DCI7-CandidatesPerAL-SPDCCH-r15 OPTIONAL, -- Need OR
         resourceBlockAssignment-r15
                                                 SEQUENCE {
             numberRB-InFreq-domain-r15
                                                     INTEGER (2..100),
             resourceBlockAssignment-r15
                                                     BIT STRING (SIZE(98))
                                                                            OPTIONAL, -- Need OR
                                             BIT STRING (SIZE(5)) (
SEQUENCE (SIZE(1..4)) OF
         subslotApplicability-r15
                                                                           OPTIONAL, -- Need OR
        al-StartingPointSPDCCH-r15
                                                     INTEGER(0..49)
                                                                           OPTIONAL, -- Need OR
                                                 ENUMERATED {mbsfn, nonmbsfn, all} OPTIONAL, -- Need OR
         subframeType-r15
        rateMatchingMode-r15
                                                 ENUMERATED {m1, m2, m3, m4}
                                                                                   OPTIONAL, -- Need OR
DCI7-Candidates-r15 ::=
                                                 INTEGER (0..6)
DCI7-CandidatesPerAL-SPDCCH-r15 ::=
                                                     SEQUENCE (SIZE(1..4)) OF DCI7-Candidates-r15
-- ASN1STOP
```

### SPDCCH-Config field descriptions

### al-StartingPointSPDCCH

Indicates the starting SCCE index for an aggregation level, see TS 36.213 [23], clause 9.1.6.

### dci7-Candidates

Number of candidates in each aggregation level for DCI format 7. The number of PDCCH/SPDCCH candidate(s) M\_DCI format 7^((L)) at aggregation level L for monitoring DCI format 7 in PDCCH and SPDCCH region shall conform to the following restriction:

- · less than or equal to 2 for aggregation level 4 and 8,
- less than or equal to 6 for aggregation level 1 and 2

### dci7-CandidatesPerAL-SPDCCH

SPDCCH candidates configured per aggregation level in SPDCCH region

# dmrs-ScramblingSeqSPDCCH

The DMRS scrambling sequence initialization parameter  $n_{{
m ID},i}^{
m SPDCCH}$  defined in TS 36.211 [21], clause 6.10.3A.1.

### numberRB-InFreq-domain

Indicates the number of resource-blocks in the frequency domain used for the SPDCCH set. There is no restriction on the number of RBs in the frequency domain that can be configured to an SPDCCH resource set (up to 100), but at least two need to be configured to contain at least one SCCE. The granularity of resource block allocation in frequency domain for configuring an SPDCCH resource set is one in case spdcch-SetReferenceSig-r15 is set to crs. The granularity of resource block allocation for configuring an SPDCCH resource set is two in case sPDCCH-SetReferenceSig-r15 is set to dmrs.

### rateMatchingMode

Indicates, per resource-set, the mode of SPDCCH rate-matching operation

- Mode 1: UE rate-matches only around the DCI format 7 scheduling the slot or subslot PDSCH (if transmitted in the SPDCCH resource-set), otherwise no rate-matching is performed for the RB set.
- Mode 2: UE rate-matches around the whole SPDCCH resource set
- Mode 3: UE rate-matches around the whole SPDCCH resource set if DCI format 7 scheduling the slot or subslot PDSCH is found in the resource-set, otherwise no rate-matching is performed for the RB set.
- Mode 4: UE rate-matches around the whole SPDCCH resource set if DCI format 7 scheduling the slot or subslot PDSCH is not found in the resource-set, otherwise UE rate-matches only around the DCI format 7 scheduling the slot or subslot PDSCH (if transmitted in the SPDCCH resource-set)

If the DCI format 7 scheduling the slot or subslot PDSCH is found on a candidate belonging to two SPDCCH resource sets, the DCI format 7 is assumed to be found in both resource sets.

### resourceBlockAssignment

Indicates the index to a specific combination of physical resource block in frequency for SPDCCH set, see TS 36.213 [23], clause 9.1.4.4. The value range is dependent on the combinatorial number defined in 36.213 [23], clause 9.1.4.4 with the assumption of no limitation in the number of RBs in frequency domain configured by the set.

### spdcch-NoOfSymbols

Indicates the number of OFDM symbols that the CRS based SPDCCH is mapped over.

# spdcch-L1-ReuseIndication

For the up to two resource sets configured with the same *subframeType* applicability, the *SPDCCH-L1-ReuseIndication* defines the allowed combinations for the two resource sets: {1,1}, {2,0} or {0,2} corresponding to the values n0, n1 and n2 repsectively. In case one resource set is configured, the allowed combinations are {2, 0} or {0,2} corresponding to n1 or n2. EUTRAN does not configure n0 in case one resource set is configured.

### spdcch-SetConfigld

Indicates the ID of the SPDCCH set configured in *SPDCCH-Elements*. Maximum two sets can be configured for MBSFN and two for non-MBSFN.

### spdcch-SetReferenceSig

Indicates CRS or DMRS based SPDCCH set.

### subframeType

Indicates applicable subframe type(s) for the SPDCCH set. CRS-based SPDCCH is only applied to non-MBSFN subframe.

### subslotApplicability

Indicates the set of subslots within the subframe where SPDCCH candidate set per aggregation levels applies, see *DCI7-CandidateSetsPerAL-SPDCCH*. The bitmap applies to the 5 DL subslot indices in a DL subframe. The first element in the sequence *DCI7-CandidateSetsPerAL-SPDCCH* applies to the indicated *subslotApplicability*. The second element in the sequence (if present) applies to the complement of the *subslotApplicability*.

### transmissionType

Indicates whether distributed or localized SPDCCH transmission mode is used as defined in TS 36.211 [21], clause 6.8A.1.

# SPS-Config

The IE SPS-Config is used to specify the semi-persistent scheduling configuration.

### SPS-Config information element

```
-- ASN1START
SPS-Config ::= SEQUENCE {
    semiPersistSchedC-RNTI
                                    C-RNTI
                                                            OPTIONAL,
                                                                                -- Need OR
    sps-ConfigDL
                                    SPS-ConfigDL
                                                            OPTIONAL,
                                                                                -- Need ON
                                    SPS-ConfigUL
                                                            OPTIONAL
                                                                                -- Need ON
    sps-ConfigUL
SPS-Config-v1430 ::=
                       SEQUENCE {
   ul-SPS-V-RNTI-r14
                                        C-RNTI
                                                                OPTIONAL,
                                                                                    -- Need OR
    sl-SPS-V-RNTI-r14
                                        C-RNTI
                                                                OPTIONAL,
                                                                                    -- Need OR
    sps-ConfigUL-ToAddModList-r14
                                        SPS-ConfigUL-ToAddModList-r14 OPTIONAL,
                                                                                    -- Need ON
    sps-ConfigUL-ToReleaseList-r14
                                      SPS-ConfigUL-ToReleaseList-r14 OPTIONAL,
                                                                                    -- Need ON
    sps-ConfigSL-ToAddModList-r14
                                        SPS-ConfigSL-ToAddModList-r14
                                                                       OPTIONAL,
                                                                                    -- Need ON
                                       SPS-ConfigSL-ToReleaseList-r14 OPTIONAL
                                                                                    -- Need ON
    sps-ConfigSL-ToReleaseList-r14
SPS-ConfigUL-ToAddModList-r14 ::= SEQUENCE (SIZE (1..maxConfigSPS-r14)) OF SPS-ConfigUL
SPS-ConfigUL-ToReleaseList-r14 ::= SEQUENCE (SIZE (1..maxConfigSPS-r14)) OF SPS-ConfigIndex-r14
SPS-ConfigSL-ToAddModList-r14 ::= SEQUENCE (SIZE (1..maxConfigSPS-r14)) OF SPS-ConfigSL-r14
SPS-ConfigSL-ToReleaseList-r14 ::= SEQUENCE (SIZE (1..maxConfigSPS-r14)) OF SPS-ConfigIndex-r14
SPS-Config-v1530 ::=
                        SEQUENCE {
    semiPersistSchedC-RNTI-r15
                                   C-RNTI
                                                                OPTIONAL,
                                                                                    -- Need OR
                                       SPS-ConfigDL
                                                                    OPTIONAL.
                                                                                       -- Need ON
    sps-ConfigDL-r15
    \verb|sps-ConfigUL-STTI-ToAddModList-r15| SPS-ConfigUL-STTI-ToAddModList-r15| OPTIONAL, \\
                                                                                       -- Need ON
    sps-ConfigUL-STTI-ToReleaseList-r15 SPS-ConfigUL-STTI-ToReleaseList-r15 OPTIONAL,
                                                                                       -- Need ON
                                    SPS-ConfigUL-ToAddModList-r15
    sps-ConfigUL-ToAddModList-r15
                                                                         OPTIONAL, -- Need ON
                                       SPS-ConfigUL-ToReleaseList-r15
    sps-ConfigUL-ToReleaseList-r15
                                                                           OPTIONAL
                                                                                       -- Need ON
}
SPS-Config-v1540 ::=
                       SEQUENCE {
   sps-ConfigDL-STTI-r15
                                        SPS-ConfigDL-STTI-r15
                                                                            OPTIONAL
                                                                                        -- Need OR
SPS-ConfigUL-STTI-ToAddModList-r15 ::= SEQUENCE (SIZE (1..maxConfigSPS-r15)) OF SPS-ConfigUL-STTI-
r15
SPS-ConfigUL-STTI-ToReleaseList-r15 ::= SEQUENCE (SIZE (1..maxConfigSPS-r15)) OF SPS-ConfigIndex-r15
SPS-ConfigUL-ToAddModList-r15 ::= SEQUENCE (SIZE (1..maxConfigSPS-r15)) OF SPS-ConfigUL
SPS-ConfigUL-ToReleaseList-r15 ::= SEQUENCE (SIZE (1..maxConfigSPS-r15)) OF SPS-ConfigIndex-r15
SPS-ConfigDL ::=
                   CHOICE {
   release
                                    NULL,
                                    SEQUENCE {
    setup
        semiPersistSchedIntervalDL
                                            ENUMERATED {
                                                sf10, sf20, sf32, sf40, sf64, sf80,
                                                sf128, sf160, sf320, sf640, spare6,
                                                spare5, spare4, spare3, spare2,
                                                spare1},
        numberOfConfSPS-Processes
                                            INTEGER (1..8),
                                            N1PUCCH-AN-PersistentList,
        n1PUCCH-AN-PersistentList
           twoAntennaPortActivated-r10
                                            CHOICE {
                                                NULL,
               release
                                                SEQUENCE {
                    n1PUCCH-AN-PersistentListP1-r10 N1PUCCH-AN-PersistentList
                                                                            OPTIONAL
                                                                                       -- Need ON
        ]]
    }
}
                    CHOICE {
SPS-ConfigUL ::=
   release
    setup
                                    SEQUENCE {
        semiPersistSchedIntervalUL
                                            ENUMERATED {
                                                sf10, sf20, sf32, sf40, sf64, sf80,
                                                sf128, sf160, sf320, sf640, sf1-v1430,
                                                sf2-v1430, sf3-v1430, sf4-v1430, sf5-v1430,
                                                spare1},
```

```
p0-Persistent
                                        SEQUENCE {
          p0-NominalPUSCH-Persistent
                                            INTEGER (-126..24),
           p0-UE-PUSCH-Persistent
                                            INTEGER (-8..7)
              OPTIONAL,
                                                                   -- Need OP
       twoIntervalsConfig
                                        ENUMERATED {true}
                                                                  OPTIONAL, -- Cond TDD
       [[ p0-PersistentSubframeSet2-r12
                                            CHOICE {
              release
                                                NULL,
                                                SEQUENCE {
                  p0-NominalPUSCH-PersistentSubframeSet2-r12
                                                                 INTEGER (-126..24),
                  p0-UE-PUSCH-PersistentSubframeSet2-r12
                                                                  INTEGER (-8..7)
          }
                                                                  OPTIONAL
                                                                              -- Need ON
       ]],
       [[ numberOfConfUlSPS-Processes-r13
                                                                 OPTIONAL
                                              INTEGER (1..8)
                                                                             -- Need OR
       ]],
                                               ENUMERATED {true}
          fixedRV-NonAdaptive-r14
                                                                      OPTIONAL,
                                                                                 -- Need OR
                                               SPS-ConfigIndex-r14 OPTIONAL, -- Need OR
           sps-ConfigIndex-r14
                                               ENUMERATED {
           semiPersistSchedIntervalUL-v1430
                                        sf50, sf100, sf200, sf300, sf400, sf500,
                                        sf600, sf700, sf800, sf900, sf1000, spare5,
                                        spare4, spare3, spare2, spare1} OPTIONAL -- Need OR
       11,
                                        ENUMERATED {cs0, cs1, cs2, cs3, cs4, cs5, cs6, cs7}
       [[ cyclicShiftSPS-r15
                                         OPTIONAL, -- Need ON
INTEGER (0..7) OPTIONAL, -- Need ON
           harq-ProcID-Offset-r15
           rv-SPS-UL-Repetitions-r15
                                            ENUMERATED {ulrvseq1, ulrvseq2, ulrvseq3} OPTIONAL,
-- Need ON
                                                                  OPTIONAL,
           tpc-PDCCH-ConfigPUSCH-SPS-r15
                                           TPC-PDCCH-Config
           totalNumberPUSCH-SPS-UL-Repetitions-r15 ENUMERATED {n2,n3,n4,n6} OPTIONAL, -- Need ON
          sps-ConfigIndex-r15
                                  SPS-ConfigIndex-r15 OPTIONAL
                                                                            -- Cond SPS
       ]]
   }
}
SPS-ConfigSL-r14 ::= SEQUENCE {
   sps-ConfigIndex-r14
                                 SPS-ConfigIndex-r14,
   semiPersistSchedIntervalSL-r14 ENUMERATED {
                                    sf20, sf50, sf100, sf200, sf300, sf400,
                                     sf500, sf600, sf700, sf800, sf900, sf1000,
                                     spare4, spare3, spare2, spare1}
SPS-ConfigIndex-r14 ::=
                            INTEGER (1..maxConfigSPS-r14)
SPS-ConfigIndex-r15 ::=
                            INTEGER (1..maxConfigSPS-r15)
N1PUCCH-AN-PersistentList ::=
                              SEQUENCE (SIZE (1..4)) OF INTEGER (0..2047)
                                    SEQUENCE (SIZE (1..4)) OF INTEGER (0..2047)
N1SPUCCH-AN-PersistentList-r15 ::=
SPS-ConfigDL-STTI-r15 ::= CHOICE{
                                 NULL,
   release
                                 SEQUENCE {
   setup
       semiPersistSchedIntervalDL-STTI-r15
                                            ENUMERATED {
                                            sTTI1, sTTI2, sTTI3, sTTI4, sTTI6, sTTI8, sTTI12,
sTTI16,
                                            sTTI20, sTTI40, sTTI60, sTTI80, sTTI120, sTTI240,
                                            spare2, spare1},
       numberOfConfSPS-Processes-STTI-r15
                                            INTEGER (1..12),
       twoAntennaPortActivated-r15
                                        CHOICE {
                                            NULL,
              release
                                            SEQUENCE {
              setup
                  n1SPUCCH-AN-PersistentListP1-r15
                                                      N1SPUCCH-AN-PersistentList-r15
              }
                                                                  OPTIONAL,
                                                                              -- Need ON
                                            INTEGER (0..5),
       sTTI-StartTimeDL-r15
       tpc-PDCCH-ConfigPUCCH-SPS-r15 TPC-PDCCH-Config
                                                                 OPTIONAL,
                                                                              -- Need ON
       . . .
}
SPS-ConfigUL-STTI-r15 ::= CHOICE {
   release
                                 NULL.
                                 SEQUENCE {
   setup
    semiPersistSchedIntervalUL-STTI-r15
                                           ENUMERATED {
```

```
sTTI1, sTTI2, sTTI3, sTTI4, sTTI6, sTTI8, sTTI12,
sTTI16,
                                                  sTTI20, sTTI40, sTTI60, sTTI80, sTTI120, sTTI240,
                                                  spare2, spare1},
        implicitReleaseAfter
                                                  ENUMERATED {e2, e3, e4, e8},
        p0-Persistent-r15
                                             SEQUENCE {
            pO-NominalSPUSCH-Persistent-r15 INTEGER (-126..24),
pO-UE-SPUSCH-Persistent-r15 INTEGER (-8..7)
            p0-UE-SPUSCH-Persistent-r15
                                                                          OPTIONAL, -- Need OP
        twoIntervalsConfig-r15
                                                 ENUMERATED {true}
                                                                          OPTIONAL,
                                                                                      -- Cond TDD
        p0-PersistentSubframeSet2-r15 CHOICE {
                                                 NULL,
                release
                                                  SEQUENCE {
                setup
                    p0-NominalSPUSCH-PersistentSubframeSet2-r15 INTEGER (-126..24),
                    p0-UE-SPUSCH-PersistentSubframeSet2-r15
                                                                          INTEGER (-8..7)
                                                                                      -- Need ON
                                                                          OPTIONAL,
        numberOfConfUL-SPS-Processes-STTI-r15 INTEGER (1..12) OPTIONAL,
                                                                                       -- Need OR
        numberOfConfUL-SPS-Processes-SIII-II3 INTEGER (1...12, stTII-StartTimeUL-r15 INTEGER (0..5), tpc-PDCCH-ConfigPUSCH-SPS-r15 TPC-PDCCH-Config OPTIONAL, -- Need ON cyclicShiftSPS-sTTI-r15 ENUMERATED {cs0, cs1, cs2, cs3, cs4, cs5, cs6, cs7}
                                                                          OPTIONAL, -- Need ON OPTIONAL, -- Need ON
        harq-ProcID-offset-r15
                                             BOOLEAN
                                                                           OPTIONAL,
                                             INTEGER (0..15)
                                                                      OPTIONAL, -- Need ON
        rv-SPS-STTI-UL-Repetitions-r15 ENUMERATED {ulrvseq1, ulrvseq2, ulrvseq3} OPTIONAL, --
Need ON
        sps-ConfigIndex-r15 SPS-ConfigIndex-r15
        OPTIONAL, --
Need ON
        totalNumberPUSCH-SPS-STTI-UL-Repetitions-r15 ENUMERATED {n2,n3,n4,n6} OPTIONAL, --
Need ON
}
-- ASN1STOP
```

### SPS-Config field descriptions

### cyclicShiftSPS, cyclicShiftSPS-sTTI,

Indicates the cyclic shift  $n_{\rm DMRS}^{(2)}$  to be used for the UE-specific reference signal in case of UL SPS, see TS 36.211 [5] clause 5.2.1.1.

### fixedRV-NonAdaptive

If this field is present and *skipUplinkTxSPS* is configured, non-adaptive retransmissions on configured uplink grant uses redundancy version 0, otherwise the redundancy version for each retransmission is updated based on the sequence of redundancy versions as described in TS 36.321 [6].

### harg-ProcID-offset

If configured, this field indicates the offset used in deriving the HARQ process IDs, see TS 36.321 [6], clause 5.4.1.

# Ifdma-Config-SPS

Indicated  $\varpi$  to be used for the UE-specific reference signal in case of UL SPS see TS 36.211 [5], clause 5.2,1.1.

### implicitReleaseAfter

Number of empty transmissions before implicit release, see TS 36.321 [6], clause 5.10.2. Value e2 corresponds to 2 transmissions, e3 corresponds to 3 transmissions and so on. If *skipUplinkTxSPS* is configured, the UE shall ignore this field.

# n1PUCCH-AN-PersistentList, n1PUCCH-AN-PersistentListP1

List of parameter:  $n_{\text{PUCCH}}^{(1,p)}$  for antenna port P0 and for antenna port P1 respectively, see TS 36.213 [23], clause 10.1. Field n1-PUCCH-AN-PersistentListP1 is applicable only if the twoAntennaPortActivatedPUCCH-Format1a1b in PUCCH-ConfigDedicated-v1020 is set to true. Otherwise the field is not configured.

### numberOfConfSPS-Processes

The number of configured HARQ processes for downlink Semi-Persistent Scheduling, see TS 36.321 [6].

### numberOfConfSPS-Processes-STTI

The number of configured HARQ processes for downlink Semi-Persistent Scheduling for sTTI in DL, see TS 36.321 [6].

### numberOfConfUISPS-Processes

The number of configured HARQ processes for uplink Semi-Persistent Scheduling, see TS 36.321 [6]. E-UTRAN always configures this field for asynchronous UL HARQ. Otherwise it does not configure this field.

### numberOfConfUL-SPS-Processes-STTI

The number of configured HARQ processes for uplink Semi-Persistent Scheduling for sTTI in UL, see TS 36.321 [6]. E-UTRAN always configures this field for asynchronous UL HARQ. Otherwise it does not configure this field.

# p0-NominalPUSCH-Persistent, p0-NominalSPUSCH-Persistent

Parameter:  $P_{\rm O\_NOMINAL\_PUSCH}(0)$ . See TS 36.213 [23], clause 5.1.1.1, unit dBm step 1. This field is applicable for persistent scheduling, only. If choice setup is used and p0-Persistent is absent, apply the value of p0-NominalPUSCH for p0-NominalPUSCH-Persistent. If uplink power control subframe sets are configured by tpc-SubframeSet, this field applies for uplink power control subframe set 1.

# p0-NominalPUSCH-PersistentSubframeSet2, p0-NominalSPUSCH-PersistentSubframeSet2

Parameter:  $P_{\rm O\_NOMINAL\_PUSCH}(0)$ . See TS 36.213 [23], clause 5.1.1.1, unit dBm step 1. This field is applicable for persistent scheduling, only. If pO-PersistentSubframeSet2-r12 is not configured, apply the value of pO-NominalPUSCH-SubframeSet2-r12 for pO-NominalPUSCH-PersistentSubframeSet2. E-UTRAN configures this field only if uplink power control subframe sets are configured by tpc-SubframeSet, in which case this field applies for uplink power control subframe set 2.

# p0-UE-PUSCH-Persistent

Parameter:  $P_{\text{O\_UE\_PUSCH}}(0)$ . See TS 36.213 [23], clause 5.1.1.1, unit dB. This field is applicable for persistent scheduling, only. If choice setup is used and p0-Persistent is absent, apply the value of p0-UE-PUSCH for p0-UE-PUSCH-Persistent. If uplink power control subframe sets are configured by tpc-SubframeSet, this field applies for uplink power control subframe set 1.

# p0-UE-PUSCH-PersistentSubframeSet2

Parameter:  $P_{\text{O\_UE\_PUSCH}}(0)$ . See TS 36.213 [23], clause 5.1.1.1, unit dB. This field is applicable for persistent scheduling, only. If p0-PersistentSubframeSet2-r12 is not configured, apply the value of p0-UE-PUSCH-SubframeSet2 for p0-UE-PUSCH-PersistentSubframeSet2. E-UTRAN configures this field only if uplink power control subframe sets are configured by tpc-SubframeSet, in which case this field applies for uplink power control subframe set 2.

### rv-SPS-STTI-UL-Repetitions

### rv-SPS-UL-Repetitions

### semiPersistSchedC-RNTI

Semi-persistent Scheduling C-RNTI, see TS 36.321 [6]. If *sps-Config* is present for more than one cells in the same cell group, *semiPersistSchedC-RNTI* is present in only one *sps-Config*.

### SPS-Config field descriptions

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### semiPersistSchedIntervalDL

Semi-persistent scheduling interval in downlink, see TS 36.321 [6]. Value in number of sub-frames. Value sf10 corresponds to 10 sub-frames, sf20 corresponds to 20 sub-frames and so on. For TDD, the UE shall round this parameter down to the nearest integer (of 10 sub-frames), e.g. sf10 corresponds to 10 sub-frames, sf32 corresponds to 30 sub-frames, sf128 corresponds to 120 sub-frames.

### semiPersistSchedIntervalDL-STTI

Semi-persistent scheduling interval for sTTI in downlink, see TS 36.321 [6]. Value in number of sTTI. Value sTTI1 corresponds to a spacing of 1 sTTI interval, sTTI2 corresponds to 2 spacings of sTTI intervals and so on, e.g. sTTI1 equal to sub-slot of 2 symbols or 3 symbols when the type of 2OS sTTI is configured, or e.g. sTTI1 equal to slot of 7 symbols when type of 7OS sTTI is configured. SPS for sTTI is not supported for TDD.

### semiPersistSchedIntervalSL

Semi-persistent scheduling interval in sidelink, see TS 36.321 [6]. Value in number of sub-frames. Value sf20 corresponds to 20 sub-frames, sf50 corresponds to 50 sub-frames and so on.

### semiPersistSchedIntervalUL

Semi-persistent scheduling interval in uplink, see TS 36.321 [6]. Value in number of sub-frames. Value sf10 corresponds to 10 sub-frames, sf20 corresponds to 20 sub-frames and so on. For TDD, when the configured Semi-persistent scheduling interval is greater than or equal to 10 sub-frames, the UE shall round this parameter down to the nearest integer (of 10 sub-frames), e.g. sf10 corresponds to 10 sub-frames, sf32 corresponds to 30 sub-frames, sf128 corresponds to 120 sub-frames. If semiPersistSchedIntervalUL-v1430 is configured, the UE only considers this extension (and igno*res semiPersistSchedIntervalUL* i.e. without suffix).

### semiPersistSchedIntervalUL-STTI

Semi-persistent scheduling interval for sTTI in uplink, see TS 36.321 [6]. Value in number of sTTI. Value sTTI1 corresponds to a spacing of 1 sTTI interval, sTTI2 corresponds to 2 spacings of sTTI intervals and so on, e.g. sTTI1 equal to sub-slot of 2 symbols or 3 symbols when the type of 2OS sTTI is configured, or e.g. sTTI1 equal to slot of 7 symbols when type of 7OS sTTI is configured. SPS for sTTI is not supported for TDD.

### sI-SPS-V-RNTI

SL Semi-Persistent Scheduling V-RNTI for V2X sidelink communication, see TS 36.321 [6].

### sps-ConfigIndex

Indicates the index of one of multiple SL/UL SPS configurations.

### sps-ConfigDL-STTI

If sps-ConfigDL-sTTI-r15 is signalled, the UE ignores sps-ConfigDL.

### sps-ConfigSL-ToAddModList

Indicates the SL SPS configurations to be added or modified, identified by SPS-ConfigIndex.

# sps-ConfigSL-ToReleaseList

Indicates the SL SPS configurations to be released, identified by SPS-ConfigIndex.

### sps-ConfigUL-STTI-ToAddModList

Indicates the UL sTTI SPS configurations to be added or modified, identified by SPS-ConfigIndex. If this list includes more than one entry, E-UTRAN includes totalNumberPUSCH-SPS-STTI-UL-Repetitions in each entry.

# sps-ConfigUL-STTI-ToReleaseList

Indicates the UL sTTI SPS configurations to be released, identified by SPS-ConfigIndex.

### sps-ConfigUL-ToAddModList

Indicates the UL SPS configurations to be added or modified, identified by SPS-ConfigIndex. If this list includes more than one entry, E-UTRAN includes *totalNumberPUSCH-SPS-UL-Repetitions* in each entry.

### sps-ConfigUL-ToReleaseList

Indicates the UL SPS configurations to be released, identified by SPS-ConfigIndex.

# sTTI-StartTimeDL

Indicates the DL sTTI index start offset for SPS (re-)initialization, see TS 36.321 [6].

### sTTI-StartTimeUL

Indicates the UL sTTI index start offset for SPS (re-)initialization, see TS 36.321 [6].

# $tbs\hbox{-}scaling \textit{FactorSubslotSPS-UL-Repetitions}$

Indicates the TBS scaling factor of subslot PUSCH for UL SPS repetitions. Value n6 corresponds to 1/6 and value n12 corresponds to 1/12.

# totalNumberPUSCH-SPS-STTI-UL-Repetitions

Indicates the total number of UL transmissions for slot or subslot UL SPS repetitions. If the UE is configured with UL SPS and the configured number of SPS PUSCH transmissions k>1, simultaneous transmission of PUSCH and PUCCH is not configured.

# totalNumberPUSCH-SPS-UL-Repetitions

Indicates the total number of UL transmissions for subframe UL SPS repetitions. If the UE is configured with UL SPS and the configured number of SPS PUSCH transmissions k>1, simultaneous transmission of PUSCH and PUCCH is not configured.

### tpc-PDCCH-ConfigPUCCH-SPS

PDCCH configuration for power control of slot/subslot-PUCCH using format 3/3A, see TS 36.212 [22], when SPS-ConfigDL-STTI is configured.

### SPS-Config field descriptions

### tpc-PDCCH-ConfigPUSCH-SPS

PDCCH configuration for power control of slot/subslot-PUSCH using format 3/3A, see TS 36.212 [22], when SPS-ConfigUL-STTI is configured. If a UE is configured with multiple UL SPS configurations in a serving cell, the same TPC index for DCI format 3/3A applies to all the UL SPS configurations in the serving cell.

### twoIntervalsConfig

Trigger of two-intervals-Semi-Persistent Scheduling in uplink. See TS 36.321 [6], clause 5.10. If this field is present and the configured Semi-persistent scheduling interval greater than or equal to 10 sub-frames, two-intervals-SPS is enabled for uplink. Otherwise, two-intervals-SPS is disabled.

### ul-SPS-V-RNTI

UL Semi-Persistent Scheduling V-RNTI for UEs capable of multiple uplink SPS configurations and which support V2X communication, see TS 36.321 [6].

Conditional presence	Explanation
TDD	This field is optional present for TDD, need OR; it is not present for FDD and the UE shall
	delete any existing value for this field.
SPS	This field is optional present if sps-ConfigIndex-r14 is not configured, need OR; otherwise
	it is not present.

# SPUCCH-Config

The IE SPUCCH-Config is used to specify the UE specific SPUCCH configuration.

### SPUCCH-Config information element

```
-- ASN1START
SPUCCH-Config-r15 ::= CHOICE {
                                     NULL,
    release
    setup
                                     SEQUENCE {
        spucch-Set-r15
                                         SPUCCH-Set-r15 OPTIONAL, -- Need ON
        twoAntennaPortActivatedSPUCCH-Formatlalb-r15 ENUMERATED {true} OPTIONAL,
                                                                                           -- Need OR
            n3SPUCCH-AN-List-r15 SEQUENCE {
        dummy
                                             SEQUENCE (SIZE (1..4)) OF INTEGER (0..549)
    }
SPUCCH-Config-v1550 ::= CHOICE {
                                     NULL,
    release
                                     SEQUENCE {
    setup
        twoAntennaPortActivatedSPUCCH-Format3-v1550
                                                          SEQUENCE {
            n3SPUCCH-AN-List-v1550
                                     SEQUENCE (SIZE (1..4)) OF INTEGER (0..549)
SPUCCH-Set-r15 ::= SEQUENCE (SIZE (1..4)) OF SPUCCH-Elements-r15
SPUCCH-Elements-r15 ::= CHOICE {
    release
                                     NULL,
                                     SEQUENCE {
        n1SubslotSPUCCH-AN-List-r15
                                         SEQUENCE (SIZE(1..4)) OF INTEGER (0..1319) OPTIONAL, -- Need
OR
        n1SlotSPUCCH-FH-AN-List-r15
                                         INTEGER (0..1319)
                                                                  OPTIONAL, -- Need OR
                                          INTEGER (0..3959)
        nlSlotSPUCCH-NoFH-AN-List-r15
                                                                   OPTIONAL, -- Need OR
                                                                   OPTIONAL, -- Need OR
        n3SPUCCH-AN-List-r15
                                         INTEGER (0..549)
        n3SPUCCH-AN-List-r15 INTEGER (0..549) OPTIONAL, -- Need OR
n4SPUCCHSlot-Resource-r15 SEQUENCE (SIZE(1..2)) OF N4SPUCCH-Resource-r15 OPTIONAL, --
Need OR
        n4SPUCCHSubslot-Resource-r15
                                         SEQUENCE (SIZE(1..2)) OF N4SPUCCH-Resource-r15 OPTIONAL, --
Need OR
        n4maxCoderateSlotPUCCH-r15
                                        INTEGER (0..7)
                                                                  OPTIONAL, -- Need OR
        n4maxCoderateSubslotPUCCH-r15 INTEGER (0..7) OPTIONAL, -- Need OR n4maxCoderateMultiResourceSlotPUCCH-r15 INTEGER (0..7) OPTIONAL, -- Need OR
        n4maxCoderateMultiResourceSubslotPUCCH-r15 INTEGER (0..7)
                                                                          OPTIONAL
    }
}
N4SPUCCH-Resource-r15 ::= SEQUENCE {
   n4startingPRB-r15
                                     INTEGER (0..109),
```

```
n4numberOfPRB-r15 INTEGER (0..7)
}
-- ASN1STOP
```

# SPUCCH-Config field descriptions

### dummy

This field is not used in the specification. If received it shall be ignored by the UE.

### n1SlotSPUCCH-FH-AN-List

Resource configuration for slot-SPUCCH format 1 when frequency hopping is enabled. Parameter:  $n_{\text{SPUCCH}}^{(1,p)}$  for antenna port P0 and for antenna port P1 respectively, see TS 36.213 [23], clause 10.1.

### n1SlotSPUCCH-NoFH-AN-List

Resource configuration for slot-SPUCCH format 1 when frequency hopping is disabled. Parameter:  $n_{\text{SPUCCH}}^{(3,p)}$  for antenna port P0 and for antenna port P1 respectively, see TS 36.213 [23], clause 10.1.

### n1SubslotSPUCCH-AN-List

Resource configuration for subslot-SPUCCH format 1. Parameter:  $n_{\text{SPUCCH}}^{(1,p)}$  for antenna port P0 and for antenna port P1 respectively, see TS 36.213 [23], clause 10.1.

### n3SPUCCH-AN-List

Resource index for slot-SPUCCH format 3:  $n_{\mathrm{SPUCCH}}^{(3,p)}$ , see TS 36.213 [23], clause 10.1.

### n4maxCoderateSlotPUCCH, n4maxCoderateSubslotPUCCH

Indicates the maximum coding rate for slot-PUCCH and subslot-PUCCH format 4 transmission.

### n4maxCoderateMultiResourceSlotPUCCH, n4maxCoderateMultiResourceSubslotPUCCH

Indicates the maximum coding rate for slot-PUCCH and subslot-PUCCH format 4 transmission in case of multiple resource configuration.

# n4numberOfPRB, n4numberOfPRBSubslot

Parameter  $n_{SPUCCH}^{(4)}$  see TS 36.213 [23], Table 10.1.1-2 for determining SPUCCH resource(s) of SPUCCH format 4.

### n4startingPRB

Parameter  $n_{SPUCCH}^{(4)}$  see TS 36.211 [21], clause 5.4A.3 for determining SPUCCH resource(s) of SPUCCH format 4.

# twoAntennaPortActivatedSPUCCH-Format1a1b

Indicates whether two antenna ports are configured for SPUCCH format 1a/1b for HARQ-ACK, see TS 36.213 [23], clause 10.1. The field also applies for SPUCCH format 1a/1b transmission when *format3* is configured, see TS 36.213 [23], clauses 10.1.2.2.2 and 10.1.3.2.2.

### twoAntennaPortActivatedSPUCCH-Format3

Indicates whether two antenna ports are configured for SPUCCH format 3 for HARQ-ACK, see TS 36.213 [23], clause 10.1.

# SRS-TPC-PDCCH-Config

The IE SRS-TPC-PDCCH-Config is used to specify the RNTIs and indexes for A-SRS trigger and TPC according to TS 36.212 [22].

# SRS-TPC-PDCCH-Config information element

```
-- ASN1START
SRS-TPC-PDCCH-Config-r14 ::=
                                                CHOICE {
   release
                                        NULL.
    setup
                                        SEQUENCE {
        srs-TPC-RNTI-r14
                                                           BIT STRING (SIZE (16)),
        startingBitOfFormat3B-r14
                                                           INTEGER (0..31),
        fieldTypeFormat3B-r14
                                                            INTEGER (1..4),
        srs-CC-SetIndexlist-r14
                                                        SEQUENCE (SIZE(1..4)) OF SRS-CC-SetIndex-r14
    OPTIONAL
              -- Cond SRS-Trigger-TypeA
SRS-CC-SetIndex-r14 ::=
                               SECTIENCE {
    cc-SetIndex-r14
                               INTEGER (0..3),
    cc-IndexInOneCC-Set-r14
                               INTEGER (0..7)
-- ASN1STOP
```

### SRS-TPC-PDCCH-Config field descriptions

### cc-IndexInOneCC-Set

Indicates the CC index in one CC set for Type A associated with the group DCI with SRS request field (optional) and TPC commands for a PUSCH-less SCell

### cc-SetIndex

Indicates the CC set index for Type A associated with the group DCI with SRS request field (optional) and TPC commands for a PUSCH-less SCell.

### fieldTypeFormat3B

The type of a field within the group DCI with SRS request fields (optional) and TPC commands for a PUSCH-less SCell, which indicates how many bits in the field are for SRS request (0 or 1/2) and how many bits in the field are for TPC (1 or 2). Note that for Type A, there is a common SRS request field for all SCells in the set, but each SCell has its own TPC command bits. See TS 36.212 [22], clause 5.3.3.1.7A. EUTRAN configures this field with the same value for all PUSCH-less SCells.

### srs-CC-SetIndexlist

Indicates the index of the SRS-TPC-PDCCH-Config for Type A trigger by the group DCI with SRS request field (optional) and TPC commands for a PUSCH-less SCell. Each set may contain at most 8 CCs.

### srs-TPC-RNTI

RNTI for SRS trigger and power control using DCI format 3B, see TS 36.212 [22], clause 5.1.3.1.

### startingBitOfFormat3B

The starting bit position of a block within the group DCI with SRS request fields (optional) and TPC commands for a PUSCH-less SCell.

Conditional presence	Explanation
SRS-Trigger-TypeA	The field is mandatory present if <i>typeA-SRS-TPC-PDCCH-Group-r14</i> is present.
	Otherwise the field is not present and the UE shall delete any existing value for this field.

# TDD-Config

The IE TDD-Config is used to specify the TDD specific physical channel configuration.

### TDD-Config information element

```
-- ASN1START
                                    SEQUENCE {
TDD-Config ::=
    subframeAssignment
                                        ENUMERATED {
                                           sa0, sa1, sa2, sa3, sa4, sa5, sa6},
    specialSubframePatterns
                                        ENUMERATED {
                                            ssp0, ssp1, ssp2, ssp3, ssp4,ssp5, ssp6, ssp7,
                                            ssp8}
}
TDD-Config-v1130 ::=
                                    SEQUENCE {
    specialSubframePatterns-v1130
                                     ENUMERATED {ssp7,ssp9}
TDD-Config-v1430 ::=
                                    SEQUENCE {
                                       ENUMERATED {ssp10}
    specialSubframePatterns-v1430
TDD-Config-v1450 ::=
                                   SEQUENCE {
    specialSubframePatterns-v1450
                                       ENUMERATED {ssp10-CRS-LessDwPTS}
TDD-ConfigSL-r12 ::=
                           SEQUENCE {
    subframeAssignmentSL-r12
                                            ENUMERATED {
                                           none, sa0, sa1, sa2, sa3, sa4, sa5, sa6}
-- ASN1STOP
```

### TDD-Config field descriptions

### specialSubframePatterns

Indicates Configuration as in TS 36.211 [21], table 4.2-1, where ssp0 points to Configuration 0, ssp1 to Configuration 1 etc. Value ssp7 points to Configuration 7 for extended cyclic prefix, value ssp9 points to Configuration 9 for normal cyclic prefix and value ssp10 points to Configuration 10 for normal cyclic prefix. Value ssp10-CRS-LessDwPTS corresponds to ssp10 without CRS transmission on the 5th symbol of DwPTS. E-UTRAN signals ssp7 only when setting specialSubframePatterns (without suffix i.e. the version defined in REL-8) to ssp4. E-UTRAN signals value ssp9 only when setting specialSubframePatterns (without suffix) to ssp5. E-UTRAN signals value ssp10 or ssp10-CRS-LessDwPTS only when setting specialSubframePatterns (without suffix) to ssp0 or ssp5. If specialSubframePatterns-v1130, specialSubframePatterns-v1430, or specialSubframePatterns-v1450 is present, the UE shall ignore specialSubframePatterns-v1430 or specialSubframePatterns-v1430 is present, the UE shall ignore specialSubframePatterns-v1130. E-UTRAN does not simultanuosly configure specialSubframePatterns-v1430 and specialSubframePatterns-v1130. E-UTRAN does not simultanuosly configure specialSubframePatterns-v1430 and specialSubframePatterns-v1130.

### subframeAssignment

Indicates DL/UL subframe configuration where sa0 points to Configuration 0, sa1 to Configuration 1 etc. as specified in TS 36.211 [21], table 4.2-2. E-UTRAN configures the same value for serving cells residing on same frequency band.

### subframeAssignmentSL

Indicates UL/ DL subframe configuration where sa0 points to Configuration 0, sa1 to Configuration 1 etc. as specified in TS 36.211 [21], table 4.2-2. The value *none* means that no TDD specific physical channel configuration is applicable (i.e. the carrier on which *MasterInformationBlock-SL* is transmitted is an FDD UL carrier or the carrier on which *MasterInformationBlock-SL-V2X* is transmitted is a carrier for V2X sidelink communication).

# TDM-PatternConfig

The IE *TDM-PatternConfig* is used to specify the UL/DL reference configuration indicating the time during which a UE configured with (NG)EN-DC or NE-DC is allowed to transmit, as specified in TS 38.101-3 [101] and TS 38.213 [88].

# TDM-PatternConfig information element

### TDM-PatternConfig field descriptions

# subframeAssignment

Indicates DL/UL subframe configuration where sa0 points to Configuration 0, sa1 to Configuration 1 etc. as specified in TS 36.211 [21], table 4.2-2. When configured in EN-DC with LTE TDD PCell, the value range of this field is {sa2, sa4, sa5}.

### harq-Offset

Indicates a HARQ subframe offset that is applied to the subframes designated as UL in the associated subrame assignment, see TS 36.213 [23]. When configured in EN-DC with LTE TDD PCell, the network ensures it does not violate the TDD configuration in SIB1, and the value range of this field is {0, 1, 2, 5, 6}.

# TimeAlignmentTimer

The IE *TimeAlignmentTimer* is used to control how long the UE considers the serving cells belonging to the associated TAG to be uplink time aligned. Corresponds to the Timer for time alignment in TS 36.321 [6]. Value in number of subframes. Value sf500 corresponds to 500 sub-frames, sf750 corresponds to 750 sub-frames and so on.

### TimeAlignmentTimer information element

```
-- ASN1START

TimeAlignmentTimer ::= ENUMERATED {
```

```
sf500, sf750, sf1280, sf1920, sf2560, sf5120, sf10240, infinity}
-- ASN1STOP
```

### TimeReferenceInfo

### TimeReferenceInfo information elements

```
-- ASN1START
                               SEQUENCE {
TimeReferenceInfo-r15 ::=
                                      ReferenceTime-r15,
   time-r15
                                                                  OPTIONAL,
   uncertainty-r15
                                       INTEGER (0..12)
                                                                              -- Need OR
                                                                  OPTIONAL,
   timeInfoType-r15
                                       ENUMERATED {localClock}
                                                                              -- Need OR
                                                                  OPTIONAL
                                                                             -- Cond TimeRef
   referenceSFN-r15
                                       INTEGER (0..1023)
ReferenceTime-r15 ::=
                               SEQUENCE {
                               INTEGER (0..72999),
   refDavs-r15
   refSeconds-r15
                                      INTEGER (0..86399),
                                      INTEGER (0..999),
   refMilliSeconds-r15
   refQuarterMicroSeconds-r15
                                       INTEGER (0..3999)
-- ASN1STOP
```

### TimeReferenceInfo field descriptions

### referenceSFN

This field indicates the reference SFN for time reference information. The *time* field indicates the time at the ending boundary of the SFN indicated by *referenceSFN*. The UE considers the frame indicated by the *referenceSFN* nearest to the frame where the field is received.

If the *time* field is included in *SystemInformationBlockType16* and the *referenceSFN* field is not included, the *time* field indicates the time at the SFN boundary at or immediately after the ending boundary of the SI-window in which *SystemInformationBlockType16* is transmitted.

### time, timeInfoTvpe

This field indicates time reference with 0.25 us granularity. The indicated time is referenced at the network, i.e., without compensating for RF propagation delay. In an NTN cell, the indicated time is referenced at the uplink time synchronization reference point (RP), i.e., UE should take into account the propagation delay between UE and RP when determining the time at the UE. The indicated time in 0.25 us unit from the origin is *refDays*\*86400\*1000\*4000 + *refSeconds*\*1000\*4000 + *refMilliSeconds*\*4000 + *refQuarterMicroSeconds*. The *refDays* field specifies the sequential number of days (with day count starting at 0) from the origin of the *time* field. If *timeInfoType* is not included, the origin of the *time* field is 00:00:00 on Gregorian calendar date 6 January, 1980 (start of GPS time). If *timeInfoType* is set to *localClock*, the interpretation of the origin of the *time* is unspecified and left up to upper layers.

If time field is included in SystemInformationBlockType16, this field is excluded when estimating changes in system information, i.e. changes of time should neither result in system information change notifications nor in a modification of systemInfoValueTag in SIB1.

NOTE: The estimated time in an NTN-cell may be less accurate than the estimated time in a TN-cell.

# uncertainty

This field indicates the number of LSBs which may be inaccurate in the *refQuarterMicroSeconds* field. If *uncertainty* is absent, the uncertainty of *refQuarterMicroSeconds* is not specified.

Conditional presence	Explanation
TimeRef	The field is mandatory present if <i>TimeReferenceInfo</i> is included in <i>DLInformationTransfer</i>
	message; otherwise the field is not present.

# TPC-PDCCH-Config

The IE *TPC-PDCCH-Config* is used to specify the RNTIs and indexes for PUCCH and PUSCH power control according to TS 36.212 [22]. The power control function can either be setup or released with the IE.

# TPC-PDCCH-Config information element

```
-- ASN1START
                                        CHOICE {
TPC-PDCCH-Config ::=
                                        NULL,
                                        SEQUENCE {
   setup
        tpc-RNTI
                                            BIT STRING (SIZE (16)),
                                           TPC-Index
        tpc-Index
}
TPC-PDCCH-ConfigSCell-r13 ::=
                                               CHOICE {
                                     NULL,
   release
                                       SEQUENCE {
       tpc-Index-PUCCH-SCell-r13
                                       TPC-Index
}
TPC-Index ::=
                                       CHOICE {
   indexOfFormat3
                                           INTEGER (1..15),
    indexOfFormat3A
                                           INTEGER (1..31)
-- ASN1STOP
```

### TPC-PDCCH-Config field descriptions

### indexOfFormat3

Index of N when DCI format 3 is used. See TS 36.212 [22], clause 5.3.3.1.6.

### IndexOfFormat3A

Index of M when DCI format 3A is used. See TS 36.212 [22], clause 5.3.3.1.7.

### tpc-Index

Index of N or M, see TS 36.212 [22], clauses 5.3.3.1.6 and 5.3.3.1.7, where N or M is dependent on the used DCI format (i.e. format 3 or 3a).

# tpc-Index-PUCCH-SCell

Index of N or M, see TS 36.212 [22], clauses 5.3.3.1.6 and 5.3.3.1.7, where N or M is dependent on the used DCI format (i.e. format 3 or 3a).

# tpc-RNTI

RNTI for power control using DCI format 3/3A, see TS 36.212 [22].

# TunnelConfigLWIP

The IE *TunnelConfigLWIP* is used to setup/release LWIP Tunnel.

```
-- ASN1START
TunnelConfigLWIP-r13 ::= SEQUENCE {
  ip-Address-r13 IP-Address-r13,
   ike-Identity-r13
                       IKE-Identity-r13,
   11
IKE-Identity-r13 ::= SEQUENCE {
                    OCTET STRING
  idI-r13
IP-Address-r13 ::= CHOICE {
   ipv4-r13
                        BIT STRING (SIZE (32)),
   ipv6-r13
                       BIT STRING (SIZE (128))
-- ASN1STOP
```

# TunnelConfigLWIP field descriptions

### ip-Address

Parameter indicates the LWIP-SeGW IP Address to be used by the UE for initiating LWIP Tunnel establishment [32].

### ike-Identity

Parameter indicates the IKE Identity elements (IDi) to be used in IKE Authentication Procedures [32].

### Iwip-Counter

Indicates the parameter used by UE for computing the security keys used in LWIP tunnel establishment, as specified in TS 33.401 [32].

Conditional presence	Explanation
LWIP-Setup	The field is mandatory present upon setup of LWIP tunnel. Otherwise the field is optional, Need ON.

# UplinkPowerControl

The IE *UplinkPowerControlCommon* and IE *UplinkPowerControlDedicated* are used to specify parameters for uplink power control in the system information and in the dedicated signalling, respectively.

### UplinkPowerControl information elements

```
-- ASN1START
UplinkPowerControlCommon ::=
                                   SEQUENCE {
   p0-NominalPUSCH
                                       INTEGER (-126..24),
   alpha
                                       Alpha-r12,
   p0-NominalPUCCH
                                       INTEGER (-127..-96),
   deltaFList-PUCCH
                                       DeltaFList-PUCCH,
   deltaPreambleMsq3
                                       INTEGER (-1..6)
UplinkPowerControlCommon-v1020 ::= SEQUENCE {
   deltaF-PUCCH-Format3-r10 ENUMERATED {deltaF-1, deltaF0, deltaF1, deltaF2,
                                                       deltaF3, deltaF4, deltaF5, deltaF6},
   deltaF-PUCCH-Format1bCS-r10
                                          ENUMERATED {deltaF1, deltaF2, spare2, spare1}
UplinkPowerControlCommon-v1310 ::= SEQUENCE {
                                    ENUMERATED {deltaF16, deltaF15, deltaF14,deltaF13, deltaF12,
   deltaF-PUCCH-Format4-r13
                                     deltaF11, deltaF10, spare1}
                                                                         OPTIONAL, -- Need OR
                                     ENUMERATED { deltaF13, deltaF12, deltaF11, deltaF10, deltaF9,
   deltaF-PUCCH-Format5-13
                                                                         OPTIONAL -- Need OR
                                     deltaF8, deltaF7, spare1}
}
UplinkPowerControlCommon-v1530 ::= SEQUENCE {
   deltaFList-SPUCCH-r15 DeltaFList-SPUCCH-r15
UplinkPowerControlCommon-v1610 ::= SEQUENCE {
   alphaSRS-Add-r16
                                          Alpha-r12,
   p0-NominalSRS-Add-r16
                                           INTEGER (-126..24)
UplinkPowerControlCommonPSCell-r12 ::=
                                           SEQUENCE {
-- For uplink power control the additional/ missing fields are signalled (compared to SCell)
                                  ENUMERATED {deltaF-1, deltaF0, deltaF1, deltaF2,
   deltaF-PUCCH-Format3-r12
                                                       deltaF3, deltaF4, deltaF5, deltaF6},
   deltaF-PUCCH-Format1bCS-r12 ENUMERATED {deltaF1, deltaF2, spare2, spare1}, p0-NominalPUCCH-r12 INTEGER (-127...-96).
                                           INTEGER (-127..-96),
   p0-NominalPUCCH-r12
                                           DeltaFList-PUCCH
   deltaFList-PUCCH-r12
UplinkPowerControlCommonSCell-r10 ::= SEQUENCE {
                                       INTEGER (-126..24),
   p0-NominalPUSCH-r10
   alpha-r10
                                      Alpha-r12
UplinkPowerControlCommonSCell-v1130 ::= SEQUENCE {
   deltaPreambleMsg3-r11
                                       INTEGER (-1..6)
```

```
UplinkPowerControlCommonSCell-v1310 ::= SEQUENCE {
-- For uplink power control the additional/ missing fields are signalled (compared to SCell)
   p0-NominalPUCCH
                                          INTEGER (-127..-96),
                                          DeltaFList-PUCCH,
   deltaFList-PUCCH
   deltaF-PUCCH-Format3-r12
                                          ENUMERATED {deltaF-1, deltaF0, deltaF1,
                                          deltaF2, deltaF3, deltaF4, deltaF5,
                                          deltaF6}
                                                                          OPTIONAL,
                                                                                     -- Need OR
   deltaF-PUCCH-Format1bCS-r12
                                          ENUMERATED {deltaF1, deltaF2,
                                          spare2, spare1}
                                                                         OPTIONAL,
                                                                                     -- Need OR
                                          ENUMERATED {deltaF16, deltaF15, deltaF14,
   deltaF-PUCCH-Format4-r13
                                          deltaF13, deltaF12, deltaF11, deltaF10,
                                                                         OPTIONAL,
                                          spare1}
                                                                                     -- Need OR
                                          ENUMERATED { deltaF13, deltaF12, deltaF11,
   deltaF-PUCCH-Format5-13
                                          deltaF10, deltaF9, deltaF8, deltaF7,
                                          spare1}
                                                                         OPTIONAL
                                                                                     -- Need OR
}
UplinkPowerControlCommonPUSCH-LessCell-v1430 ::= SEQUENCE {
                                                  INTEGER (-126..24) OPTIONAL,
INTEGER (-126..24) OPTIONAL,
   p0-Nominal-PeriodicSRS-r14
                                                                                     -- Need OR
                                                                                     -- Need OR
   p0-Nominal-AperiodicSRS-r14
                                                                                     -- Need OR
   alpha-SRS-r14
                                                                          OPTIONAL
                                              Alpha-r12
UplinkPowerControlDedicated ::= SEQUENCE {
   deltaMCS-Enabled
   p0-UE-PUSCH
                                      INTEGER (-8..7),
                                       ENUMERATED {en0, en1},
   accumulationEnabled
                                      BOOLEAN,
   p0-UE-PUCCH
                                      INTEGER (-8..7),
   pSRS-Offset
                                      INTEGER (0..15),
   filterCoefficient
                                      FilterCoefficient
                                                                        DEFAULT fc4
}
UplinkPowerControlDedicated-v1020 ::= SEQUENCE {
   deltaTxD-OffsetListPUCCH-r10 DeltaTxD-OffsetListPUCCH-r10
                                                                        OPTIONAL.
                                                                                     -- Need OR
   pSRS-OffsetAp-r10
                                      INTEGER (0..15)
                                                                          OPTIONAL
                                                                                     -- Need OR
UplinkPowerControlDedicated-v1130 ::=
                                          SEOUENCE {
   pSRS-Offset-v1130
                                          INTEGER (16..31)
   pSRS-OffsetAp-v1130
                                                                         OPTIONAL,
                                                                                     -- Need OR
                                          INTEGER (16..31)
                                                                          OPTIONAL,
                                                                                     -- Need OR
   deltaTxD-OffsetListPUCCH-v1130
                                          DeltaTxD-OffsetListPUCCH-v1130 OPTIONAL
                                                                                     -- Need OR
UplinkPowerControlDedicated-v1250 ::= SEQUENCE {
   set2PowerControlParameter CHOICE {
           NULL,

ip SEQUENCE {

tpc-SubframeSet-r12 RTr
       release
       setup
                                           BIT STRING (SIZE(10)),
           p0-NominalPUSCH-SubframeSet2-r12
                                              INTEGER (-126..24),
           alpha-SubframeSet2-r12
p0-UE-PUSCH-SubframeSet2-r12
                                              Alpha-r12,
                                               INTEGER (-8..7)
   }
}
UplinkPowerControlDedicated-v1530 ::= SEQUENCE {
   alpha-UE-r15
                              Alpha-r12
                                                                  OPTIONAL,
                                                                              -- Need OR
   p0-UE-PUSCH-r15
                              INTEGER (-16..15)
                                                                  OPTIONAL
                                                                              -- Need OR
UplinkPowerControlDedicatedSTTI-r15 ::= SEQUENCE {
   accumulationEnabledSTTI-r15 BOOLEAN,
   deltaTxD-OffsetListSPUCCH-r15 DeltaTxD-OffsetListSPUCCH-r15 OPTIONAL,
                                                                              -- Need OR
   uplinkPower-CSIPayload
                                  BOOLEAN
UplinkPUSCH-LessPowerControlDedicated-v1430 ::=
                                                  SEQUENCE {
   p0-UE-PeriodicSRS-r14
                                             INTEGER (-8..7)
                                                                    OPTIONAL,
                                                                                  -- Need OR
                                              INTEGER (-8..7)
   p0-UE-AperiodicSRS-r14
                                                                    OPTIONAL,
                                                                                  -- Need OR
   accumulationEnabled-r14
                                              BOOLEAN
}
UplinkPowerControlAddSRS-r16 ::= SEQUENCE {
                                TPC-Index
                                                                 OPTIONAL,
   tpc-IndexSRS-Add-r16
                                                                             -- Need ON
    startingBitOfFormat3B-SRS-Add-r16
                                       INTEGER (0..31)
                                                                 OPTIONAL,
                                                                              -- Need ON
                                                                              -- Need ON
   fieldTypeFormat3B-SRS-Add-r16 INTEGER (1..2)
                                                                 OPTIONAL,
                                      INTEGER (-16..15)
   p0-UE-SRS-Add-r16
                                                                  OPTIONAL.
                                                                              -- Need ON
   accumulationEnabledSRS-Add-r16 BOOLEAN
```

```
}
UplinkPowerControlDedicatedSCell-r10 ::= SEQUENCE {
       p0-UE-PUSCH-r10 INTEGER (-8..7), deltaMCS-Enabled-r10 ENUMERATED { accumulationEnabled-r10 BOOLEAN,
                                                                                ENUMERATED {en0, en1},
                                                                          INTEGER (0..15),
INTEGER (0..15)
       pSRS-Offset-r10
       pSRS-OffsetAp-r10
       pSRS-OffsetAp-r10
filterCoefficient-r10
pathlossReferenceLinking-r10
                                                                                                                                        OPTIONAL, -- Need OR
                                                                            FilterCoefficient
                                                                                                                                          DEFAULT fc4,
                                                                            ENUMERATED {pCell, sCell}
}
UplinkPowerControlDedicatedSCell-v1310 ::= SEQUENCE {
--Release 8
      p0-UE-PUCCH
                                                                            INTEGER (-8..7),
--Release 10
      deltaTxD-OffsetListPUCCH-r10
                                                                         DeltaTxD-OffsetListPUCCH-r10 OPTIONAL -- Need OR
DeltaFList-PUCCH ::=
                                                                  SEQUENCE {
      deltaF-PUCCH-Format1
                                                                  ENUMERATED {deltaF-2, deltaF0, deltaF2},
                                                       ENUMERATED {deltar 2, deltar 3, deltar 3, enumerated {deltaf1, deltaf3, deltaf5}, enumerated {deltaf-2, deltaf0, deltaf1, deltaf2}, enumerated {deltaf-2, deltaf0, deltaf2}, enumerated {deltaf-2, deltaf0, deltaf2}
      deltaF-PUCCH-Format1b
deltaF-PUCCH-Format2
deltaF-PUCCH-Format2a
       deltaF-PUCCH-Format2b
}
DeltaFList-SPUCCH-r15 ::= CHOICE {
                                                             NULL.
              release
               setup
                                                             SEQUENCE {
       deltaF3, deltaF4, deltaF5, deltaF6} OPTIONAL, --Need OR
       deltaF-slotSPUCCH-Formatla-r15 ENUMERATED {deltaF1, deltaF2, deltaF3, deltaF4,
                                                                         deltaF5, deltaF6, deltaF7, deltaF8} OPTIONAL, --Need OR
       deltaF-slotSPUCCH-Format1b-r15 ENUMERATED {deltaF3, deltaF4, deltaF5, deltaF6,
                                                                            deltaF7, deltaF8, deltaF9, deltaF10} OPTIONAL, -- Need OR
       deltaF-slotSPUCCH-Format3-r15 ENUMERATED {deltaF4, deltaF5, deltaF6, deltaF7,
                                                                            deltaF8, deltaF9, deltaF10, deltaF11} OPTIONAL, -- Need OR
       deltaF-slotSPUCCH-RM-Format4-r15
                                                                            ENUMERATED {deltaF13, deltaF14, deltaF15, deltaF16,
                                                                            deltaF17, deltaF18, deltaF19, deltaF20} OPTIONAL,
--Need OR
      deltaF-slotSPUCCH-TBCC-Format4-r15 ENUMERATED {deltaF10, deltaF11, deltaF12, deltaF13,
                                                                                  deltaF14, deltaF15, deltaF16, deltaF17} OPTIONAL,
--Need OR
      deltaF-subslotSPUCCH-Formatlandla-r15 ENUMERATED {deltaF5, deltaF6, deltaF7, deltaF8,
                                                                                            deltaF9, deltaF10, deltaF11, deltaF12} OPTIONAL,
      deltaF10, deltaF11, deltaF12, deltaF13} OPTIONAL,
      deltaF-subslotSPUCCH-RM-Format4-r15 ENUMERATED {deltaF15, deltaF16, deltaF17, deltaF18,
                                                                                    deltaF19, deltaF20, deltaF21, deltaF22} OPTIONAL,
--Need OR
      deltaF-subslotSPUCCH-TBCC-Format4-r15 ENUMERATED {deltaF10, deltaF11, deltaF12, deltaF13,
                                                                                            deltaF14, deltaF15, deltaF16, deltaF17} OPTIONAL,
--Need OR
DeltaTxD-OffsetListPUCCH-r10 ::= SEQUENCE {
      deltaTxD-OffsetPUCCH-Format1-r10 ENUMERATED {dB0, dB-2}, deltaTxD-OffsetPUCCH-Formatlalb-r10 ENUMERATED {dB0, dB-2},
       deltaTxD-OffsetPUCCH-Format22a2b-r10 ENUMERATED {dB0, dB-2}, deltaTxD-OffsetPUCCH-Format3-r10 ENUMERATED {dB0, dB-2},
}
DeltaTxD-OffsetListPUCCH-v1130 ::= SEQUENCE {
      DeltaTxD-OffsetListSPUCCH-r15 ::= SEQUENCE {
      \begin{array}{llll} & \text{deltaTxD-OffsetSPUCCH-Format1-r15} & \text{ENUMERATED } \{\text{dB0, dB-2}\}, \\ & \text{deltaTxD-OffsetSPUCCH-Format1a-r15} & \text{ENUMERATED } \{\text{dB0, dB-2}\}, \\ & \text{deltaTxD-OffsetSPUCCH-Format1b-r15} & \text{ENUMERATED } \{\text{dB0, dB-2}\}, \\ & \text{deltaTxD-OffsetSPUCCH-Format3-r15} & \text{ENUMERATED } \{\text{dB0, dB-2}\}, \\ & \text{deltaTxD-OffsetSPUCCH-Format3-r1
```

```
...
}
-- ASN1STOP
```

# UplinkPowerControl field descriptions

### accumulationEnabled, accumulationEnabledSTTI

Parameter: Accumulation-enabled, see TS 36.213 [23], clauses 5.1.1.1 and 5.1.3.1. TRUE corresponds to "enabled" whereas FALSE corresponds to "disabled".

### accumulationEnabledSRS-Add

Parameter: accumulationEnabled-additionalSRS, see TS 36.213 [23], clauses 5.1.3.1. TRUE corresponds to "enabled" whereas FALSE corresponds to "disabled".

### alpha

Parameter:  $\alpha$  See TS 36.213 [23], clause 5.1.1.1. This field applies for uplink power control subframe set 1 if uplink power control subframe sets are configured by *tpc-SubframeSet*.

### alpha-SRS, alphaSRS-Add

Parameter: α<sub>SRS</sub>. See TS 36.213 [23], clause 5.1.3.1. *alpha-SRS* applies for SRS power control on a PUSCH-less SCell, *alphaSRS-Add* applies for SRS power control on the additional SRS symbols.

### alpha-SubframeSet2

Parameter: α. See TS 36.213 [23], clause 5.1.1.1. This field applies for uplink power control subframe set 2 if uplink power control subframe sets are configured by *tpc-SubframeSet*.

### alpha-UE

Parameter:  $\alpha_{UE}$  See TS 36.213 [23], clause 5.1.1.1.

### deltaF-PUCCH-FormatX

Parameter:  $\Delta_{F\_PUCCH}(F)$  for the PUCCH formats 1, 1b, 2, 2a, 2b, 3, 4, 5 and 1b with channel selection. See TS 36.213 [23], clause 5.1.2, where deltaF-2 corresponds to -2 dB, deltaF0 corresponds to 0 dB and so on.

# deltaF-PUCCH-FormatX, deltaF-slotSPUCCH-FormatX, deltaF-subslotSPUCCH-FormatX

Parameter:  $\Delta_{\text{F\_PUCCH}}(F)$  for the SPUCCH formats 1, 1a, 1b, 3 and 4. See TS 36.213 [23], clause 5.1.2 where

deltaF-2 corresponds to -2 dB, deltaF0 corresponds to 0 dB and so on. In case both an A and a B configuration exist, configuration A is used in case SPUCCH carries ≤ 22 HARQ-ACK bits, and B otherwise.

### deltaMCS-Enabled

Parameter: Ks See TS 36.213 [23], clause 5.1.1.1. en0 corresponds to value 0 corresponding to state "disabled". en1 corresponds to value 1.25 corresponding to "enabled".

### deltaPreambleMsg3

Parameter:  $\Delta_{PREAMBLE-MSg3}$  see TS 36.213 [23], clause 5.1.1.1. Actual value = field value \* 2 [dB].

# deltaTxD-OffsetPUCCH-FormatX

Parameter:  $\Delta_{TxD}(F')$  for the PUCCH formats 1, 1a/1b, 1b with channel selection, 2/2a/2b and 3 when two antenna ports are configured for PUCCH transmission. See TS 36.213 [23], clause 5.1.2.1, where dB0 corresponds to 0 dB, dB-1 corresponds to -1 dB, dB-2 corresponds to -2 dB. EUTRAN configures the field deltaTxD-OffsetPUCCH-Format1bCS-r11 for the PCell and/or the PSCell only.

### deltaTxD-OffsetSPUCCH-FormatX

Parameter:  $\Delta_{TxD}(F')$  for the SPUCCH formats 1, 1a/1b, 1b with channel selection and 3 when two antenna ports are configured for SPUCCH transmission. See TS 36.213 [23], clause 5.1.2.1 where dB0 corresponds to 0 dB, dB-1 corresponds to -1 dB, dB-2 corresponds to -2 dB.

### fieldTypeFormat3B-SRS-Add

Indicates the field width of power control field in DCI format 3B for additional SRS. See TS 36.212 [22], clause 5.3.3.1.7A.

### filterCoefficient

Specifies the filtering coefficient for RSRP measurements used to calculate path loss, as specified in TS 36.213 [23], clause 5.1.1.1. The same filtering mechanism applies as for *quantityConfig* described in 5.5.3.2.

# p0-Nominal-AperiodicSRS

Parameter:  $P_{\rm O~NOMINAL~SRS,c}(m)$  where m=1. See TS 36.213 [23], clause 5.1.3.1, unit dBm.

# p0-Nominal-PeriodicSRS

Parameter:  $P_{\text{O\_NOMINAL\_SRS,c}}(m)$  where m=0. See TS 36.213 [23], clause5.1.3.1, unit dBm.

# p0-NominalPUCCH

Parameter:  $P_{\rm O\_NOMINAL\_PUCCH}$  See TS 36.213 [23], clause 5.1.2.1, unit dBm.

# p0-NominalPUSCH

Parameter:  $P_{\text{O\_NOMINAL\_PUSCH}}(1)$  See TS 36.213 [23], clause 5.1.1.1, unit dBm. This field is applicable for non-persistent scheduling only. This field applies for uplink power control subframe set 1 if uplink power control subframe sets are configured by tpc-SubframeSet.

# p0-NominalPUSCH-SubframeSet2

Parameter:  $P_{\text{O\_NOMINAL\_PUSCH}}(1)$ . See TS 36.213 [23], clause 5.1.1.1, unit dBm. This field is applicable for non-persistent scheduling only. This field applies for uplink power control subframe set 2 if uplink power control subframe sets are configured by tpc-SubframeSet.

### UplinkPowerControl field descriptions

### p0-NominalSRS-Add

Parameter:  $P_{\text{O NOMINAL SRS,c}}(m)$  where m=2. See TS 36.213 [23], clause 5.1.3.1, unit dBm.

# p0-UE-SRS-Add

Parameter:  $P_{\text{O UE SRS.c}}(m)$  where m=2. See TS 36.213 [23], clause 5.1.3.1, unit dB.

# p0-UE-AperiodicSRS

Parameter:  $P_{\text{O UE SRS.c}}(m)$  where m=1. See TS 36.213 [23], clause 5.1.3.1, unit dB.

# p0-UE-PeriodicSRS

Parameter:  $P_{\text{O UE SRS.c}}(m)$  where m=0. See TS 36.213 [23], clause 5.1.3.1, unit dB.

### p0-UE-PUCCH

Parameter:  $P_{
m O~UE~PUCCH}$  See TS 36.213 [23], clause 5.1.2.1. Unit dB

# p0-UE-PUSCH

Parameter:  $P_{\text{O\_UE\_PUSCH}}(1)$  See TS 36.213 [23], clause 5.1.1.1, unit dB. This field is applicable for non-persistent scheduling, only. This field applies for uplink power control subframe set 1 if uplink power control subframe sets are configured by tpc-SubframeSet. If p0-UE-PUSCH-r15 is included, the UE ignores p0-UE-PUSCH (i.e., without suffix).

### p0-UE-PUSCH-SubframeSet2

Parameter:  $P_{\text{O\_UE\_PUSCH}}(1)$  See TS 36.213 [23], clause 5.1.1.1, unit dB. This field is applicable for non-persistent scheduling, only. This field applies for uplink power control subframe set 2 if uplink power control subframe sets are configured by tpc-SubframeSet.

### pathlossReferenceLinking

Indicates whether the UE shall apply as pathloss reference either the downlink of the PCell or of the SCell that corresponds with this uplink (i.e. according to the *cellIdentification* within the field *sCellToAddMod*). For SCells part of an STAG E-UTRAN sets the value to sCell.

### pSRS-Offset, pSRS-OffsetAp

Parameter:  $P_{SRS\_OFFSET}$  for periodic and aperiodic sounding reference signal transmission repectively. See TS 36.213 [23], clause 5.1.3.1. For Ks=1.25, the actual parameter value is pSRS-Offset value - 3. For Ks=0, the actual parameter value is -10.5 + 1.5\*-1

If *pSRS-Offset-v1130* is included, the UE ignores *pSRS-Offset* (i.e., without suffix). Likewise, if *pSRS-OffsetAp-v1130* is included, the UE ignores *pSRS-OffsetAp-r10*. For *Ks*=0, E-UTRAN does not set values larger than 26.

### startingBitOfFormat3B-SRS-Add

Indicates the starting position of a block to trigger and TPC commands for the additional SRS symbols. See TS 36.212 [22], clause 5.3.3.1.7A.

# tpc-IndexSRS-Add

Indicates the index to the TPC command for the SRS in additional symbols. See TS 36.212 [22], clause 5.3.3.1.6 and 5.3.3.1.7.

### tpc-SubframeSet

Indicates the uplink subframes (including UpPTS in special subframes) of the uplink power control subframe sets. Value 0 means the subframe belongs to uplink power control subframe set 1, and value 1 means the subframe belongs to uplink power control subframe set 2.

### uplinkPower-CSIPayload

TRUE indicates that the UE shall derive BPRE based on the actual value of O\_CQI for slot/subslot-PUSCH, whereas FALSE indicates that the largest value of O\_CQI across all RI values shall be used for the derivation of BPRE for slot/subslot-PUSCH.

### - WLAN-Id-List

The IE WLAN-Id-List is used to list WLAN(s) for configuration of WLAN measurements and WLAN mobility set.

```
-- ASN1START

WLAN-Id-List-r13 ::= SEQUENCE (SIZE (1..maxWLAN-Id-r13)) OF WLAN-Identifiers-r12

-- ASN1STOP
```

# WLAN-MobilityConfig

The IE *WLAN-MobilityConfig* is used for configuration of WLAN mobility set and WLAN Status Reporting. E-UTRAN configures at least one WLAN identifier in the *WLAN-MobilityConfig*.

```
-- ASN1START
WLAN-MobilityConfig-r13 ::= SEQUENCE {
                                        WLAN-Id-List-r13
WLAN-Id-List-r13
                                                                     OPTIONAL,
    wlan-ToReleaseList-r13
                                                                                  -- Need ON
    wlan-ToAddList-r13
                                                                      OPTIONAL,
                                                                                  -- Need ON
    associationTimer-r13
                                        ENUMERATED {s10, s30,
                                        s60, s120, s240}
ENUMERATED {true}
                                                                     OPTIONAL,
                                                                                  -- Need OR
                                                                                  -- Need OR
    successReportRequested-r13
                                                                      OPTIONAL,
        wlan-SuspendConfig-r14
                                        WLAN-SuspendConfig-r14
                                                                      OPTIONAL
                                                                                  -- Need ON
-- ASN1STOP
```

# WLAN-MobilityConfig field descriptions

### associationTimer

Indicates the maximum time for connection to WLAN before connection failure reporting is initiated. Value s10 means 10 seconds, value s30 means 30 seconds and so on. E-UTRAN includes associationTimer only upon change in WLAN mobility set, *Iwa-WT-Counter* or *Iwip-Counter*.

### successReportRequested

Indicates whether the UE shall report successful connection to WLAN. Applicable to LWA and LWIP.

### wlan-ToAddList

Indicates the WLAN identifiers to be added to the WLAN mobility set.

### wlan-ToReleaseList

Indicates the WLAN identifiers to be removed from the WLAN mobility set.

# WUS-Config

The IE *WUS-Config* is used to specify the WUS configuration. For the UEs supporting WUS, E-UTRAN uses WUS to indicate that the UE shall attempt to receive paging in that cell, see TS 36.304 [4].

# WUS-Config information element

```
-- ASN1START
                              SEQUENCE {
WUS-Config-r15 ::=
   maxDurationFactor-r15
                                          ENUMERATED {one32th, one16th, one8th, one4th},
   numPOs-r15
                                  ENUMERATED {n1, n2, n4, spare1} DEFAULT n1,
   freqLocation-r15
                                   ENUMERATED {n0, n2, n4, spare1},
   timeOffsetDRX-r15
                                 ENUMERATED {ms40, ms80, ms160, ms240},
                             ENUMERATED [ms40, ms80, ms160, ms240],
   timeOffset-eDRX-Short-r15
   timeOffset-eDRX-Long-r15
                                 ENUMERATED {ms1000, ms2000}
                                                               OPTIONAL
                                                                            -- Need OP
}
WUS-Config-v1560 ::=
                              SEQUENCE {
                                 ENUMERATED {dB0, dB1dot8, dB3, dB4dot8}
   powerBoost-r15
WUS-Config-v1610 ::=
                              SEQUENCE {
   numDRX-CyclesRelaxed-r16
                                  ENUMERATED {n1, n2, n4, n8}
-- ASN1STOP
```

### WUS-Config field descriptions

### freqLocation

Frequency location of WUS within paging narrowband for BL UEs and UEs in CE. Value *n0* corresponds to WUS in the 1st and 2nd PRB, value *n2* represents the 3rd and 4th PRB, and value *n4* represents the 5th and 6th PRB.

### maxDurationFactor

Maximum WUS duration, expressed as a ratio of Rmax associated with Type 1-CSS, see TS 36.211 [21]. Value one 32th corresponds to Rmax \* 1/32, value one 16th corresponds to Rmax \* 1/16 and so on.

The value  $L_{\text{MWUS}_{\text{max}}}$  in TS 36.213 [23] considered by the UE is : maxDuration = Max (signalled value \* Rmax, 1) where Rmax is the value of *mpdcch-NumRepetitionPaging* for the carrier.

### numDRX-CyclesRelaxed

Maximum number of consecutive DRX cycles during which the UE can use WUS for synchronisation and skip serving cell measurements, see TS 36.133 [16]. Value n1 corresponds to 1 DRX cycle, value n2 corresponds to 2 DRX cycles and so on.

# numPOs

Number of consecutive Paging Occasions (PO) mapped to one WUS, applicable to UEs configured to use extended DRX, see TS 36.304 [4]. Value *n1* corresponds to 1 PO, value *n2* corresponds to 2 POs and so on.

### powerBoos

Power offset of WUS relative to CRS in dB, see TS 36.213 [23] clause 5.2. Value *db0* corresponds to 0dB, value *db1dot8* corresponds to 1.8dB, and so on.

### timeOffsetDRX

Minimum time gap in milliseconds from the end of the configured maximum WUS duration to the first associated PO, see TS 36.211 [21]. Value *ms40* corresponds to 40 ms, value *ms80* corresponds to 80 ms and so on.

### timeOffset-eDRX-Short

When eDRX is used, the short non-zero gap in milliseconds from the end of the configured maximum WUS duration to the associated PO, see TS 36.211 [21]. Value *ms40* corresponds to 40 ms, value *ms80* corresponds to 80 ms and so on.

E-UTRAN configures timeOffset-eDRX-Short to a value longer than or equal to timeOffsetDRX.

### timeOffset-eDRX-Long

When eDRX is used, the long non-zero gap in milliseconds from the end of the configured maximum WUS duration to the associated PO, see TS 36.211 [21]. Value *ms1000* corresponds to 1000 ms and value *ms2000* corresponds to 2000 ms.

If the field is absent, UE uses timeOffset-eDRX-Short for monitoring WUS.

# 6.3.3 Security control information elements

# NextHopChainingCount

The IE *NextHopChainingCount* is used to update the K<sub>eNB</sub> key and corresponds to parameter NCC: See TS 33.401 [32], clause 7.2.8.4.

# NextHopChainingCount information element

```
-- ASN1START

NextHopChainingCount ::= INTEGER (0..7)

-- ASN1STOP
```

# SecurityAlgorithmConfig

The IE *SecurityAlgorithmConfig* is used to configure AS integrity protection algorithm and AS ciphering algorithm for SRBs and DRBs. For RNs, the IE *SecurityAlgorithmConfig* is also used to configure AS integrity protection algorithm for DRBs between the RN and the E-UTRAN.

# SecurityAlgorithmConfig information element

### SecurityAlgorithmConfig field descriptions

### cipheringAlgorithm

Indicates the ciphering algorithm to be used for SRBs and DRBs, as specified in TS 33.401 [32], clause 5.1.3.2.

### integrityProtAlgorithm

Indicates the integrity protection algorithm to be used for SRBs, as specified in TS 33.401 [32], clause 5.1.4.2. For RNs, this field also indicates the integrity protection algorithm to be used for integrity protection-enabled DRB(s). For UEs capable of user plane integrity protection, this field also indicates the integrity protection algorithm to be used to derive the Kupint key.

### ShortMAC-I

The IE *ShortMAC-I* is used to identify and verify the UE at RRC connection re-establishment. The 16 least significant bits of the MAC-I calculated using the security configuration of the source PCell, as specified in 5.3.7.4.

### ShortMAC-I information element

```
-- ASN1START

ShortMAC-I ::= BIT STRING (SIZE (16))

-- ASN1STOP
```

# 6.3.4 Mobility control information elements

# AdditionalSpectrumEmission

If an extension is signalled using the extended value range (as defined by IE *AdditionalSpectrumEmission-v1010*), the corresponding original field, using the value range as defined by IE *AdditionalSpectrumEmission* i.e. without suffix) shall be set to value 32, if signalled. UE supporting an LTE band assigned NS values larger than 32 as defined in TS 36.101 [42], clause 6.2.4 and TS 36.102 [113] clause 6.2A.3 for NTN capable UE, needs to support extension signaling (as defined by IE *AdditionalSpectrumEmission-v1010*).

### AdditionalSpectrumEmission information element

```
-- ASN1START

AdditionalSpectrumEmission ::= INTEGER (1..32)

AdditionalSpectrumEmission-v1010 ::= INTEGER (33..288)

-- ASN1STOP
```

# AdditionalSpectrumEmissionNR

The IE *AdditionalSpectrumEmissionNR* is used to indicate NR emission requirements to be fulfilled by the UE (see TS 38.101-1 [85], clause 6.2.3, and TS 38.101-2 [100], clause 6.2.3 and TS 38.101-3 [101], clause 6.5B.2). If an extension is signalled using the extended value range (as defined by the IE *AdditionalSpectrumEmissionNR-v1760*), the corresponding original field, using the value range as defined by the IE *AdditionalSpectrumEmissionNR* (with suffix - r15) shall be set to value 7.

### AdditionalSpectrumEmissionNR information element

```
-- ASN1START

AdditionalSpectrumEmissionNR-r15 ::= INTEGER (0..7)
```

```
AdditionalSpectrumEmissionNR-v1760 ::= INTEGER (8..39)
-- ASN1STOP
```

# ARFCN-ValueCDMA2000

The IE *ARFCN-ValueCDMA2000* used to indicate the CDMA2000 carrier frequency within a CDMA2000 band, see C.S0002 [12].

### ARFCN-ValueCDMA2000 information element

```
-- ASN1START

ARFCN-ValueCDMA2000 ::= INTEGER (0..2047)

-- ASN1STOP
```

# ARFCN-ValueEUTRA

The IE *ARFCN-ValueEUTRA* is used to indicate the ARFCN applicable for a downlink, uplink or bi-directional (TDD) E-UTRA carrier frequency, as defined in TS 36.101 [42] and TS 36.108 [114]. If an extension is signalled using the extended value range (as defined by IE *ARFCN-ValueEUTRA-v9e0*), the UE shall only consider this extension (and hence ignore the corresponding original field, using the value range as defined by IE *ARFCN-ValueEUTRA* i.e. without suffix, if signalled). In dedicated signalling, E-UTRAN only provides an EARFCN corresponding to an E-UTRA band supported by the UE.

### ARFCN-ValueEUTRA information element

```
-- ASN1START

ARFCN-ValueEUTRA ::= INTEGER (0..maxEARFCN)

ARFCN-ValueEUTRA-v9e0 ::= INTEGER (maxEARFCN-plus1..maxEARFCN2)

ARFCN-ValueEUTRA-r9 ::= INTEGER (0..maxEARFCN2)

-- ASN1STOP
```

NOTE: For fields using the original value range, as defined by IE *ARFCN-ValueEUTRA* i.e. without suffix, value *maxEARFCN* indicates that the E-UTRA carrier frequency is indicated by means of an extension. In such a case, UEs not supporting the extension consider the field to be set to a not supported value.

# ARFCN-ValueGERAN

The IE ARFCN-ValueGERAN is used to specify the ARFCN value applicable for a GERAN BCCH carrier frequency, see TS 45.005 [20].

## ARFCN-ValueGERAN information element

```
-- ASN1START

ARFCN-ValueGERAN ::= INTEGER (0..1023)

-- ASN1STOP
```

# - ARFCN-ValueNR

The IE *ARFCN-ValueNR* is used to indicate the ARFCN applicable for a downlink, uplink or bi-directional (TDD) NR carrier frequency, as defined in TS 38.101 [85].

### ARFCN-ValueNR information element

```
-- ASN1START

ARFCN-ValueNR-r15 ::= INTEGER (0.. 3279165)

-- ASN1STOP
```

# ARFCN-ValueUTRA

The IE *ARFCN-ValueUTRA* is used to indicate the ARFCN applicable for a downlink (Nd, FDD) or bi-directional (Nt, TDD) UTRA carrier frequency, as defined in TS 25.331 [19].

### ARFCN-ValueUTRA information element

```
-- ASN1START

ARFCN-ValueUTRA ::= INTEGER (0..16383)

-- ASN1STOP
```

### BandclassCDMA2000

The IE *BandclassCDMA2000* is used to define the CDMA2000 band in which the CDMA2000 carrier frequency can be found, as defined in C.S0057 [24], table 1.5-1.

### BandclassCDMA2000 information element

```
-- ASN1START

BandclassCDMA2000 ::=

ENUMERATED {
    bc0, bc1, bc2, bc3, bc4, bc5, bc6, bc7, bc8, bc9, bc10, bc11, bc12, bc13, bc14, bc15, bc16, bc17, bc18-v9a0, bc19-v9a0, bc20-v9a0, bc21-v9a0, spare10, spare9, spare8, spare7, spare6, spare5, spare4, spare3, spare2, spare1, ...}

-- ASN1STOP
```

# BandIndicatorGERAN

The IE *BandIndicatorGERAN* indicates how to interpret an associated GERAN carrier ARFCN, see TS 45.005 [20]. More specifically, the IE indicates the GERAN frequency band in case the ARFCN value can concern either a DCS 1800 or a PCS 1900 carrier frequency. For ARFCN values not associated with one of these bands, the indicator has no meaning.

# BandIndicatorGERAN information element

```
-- ASN1START

BandIndicatorGERAN ::= ENUMERATED {dcs1800, pcs1900}

-- ASN1STOP
```

# CarrierFreqCDMA2000

The IE CarrierFreqCDMA2000 used to provide the CDMA2000 carrier information.

### CarrierFreqCDMA2000 information element

```
-- ASN1START

CarrierFreqCDMA2000 ::= SEQUENCE {
   bandClass BandclassCDMA2000,
```

```
arfcn ARFCN-ValueCDMA2000
}
-- ASN1STOP
```

# CarrierFreqGERAN

The IE CarrierFreqGERAN is used to provide an unambiguous carrier frequency description of a GERAN cell.

# CarrierFreqGERAN information element

```
-- ASN1START

CarrierFreqGERAN ::= SEQUENCE {
   arfcn ARFCN-ValueGERAN,
   bandIndicator BandIndicatorGERAN
}

-- ASN1STOP
```

# CarrierFreqGERAN field descriptions arfcn GERAN ARFCN of BCCH carrier. bandIndicator Indicates how to interpret the ARFCN of the BCCH carrier.

# CarrierFreqsGERAN

The IE *CarrierFreqListGERAN* is used to provide one or more GERAN ARFCN values, as defined in TS 45.005 [43], which represents a list of GERAN BCCH carrier frequencies.

# CarrierFreqsGERAN information element

```
-- ASN1START
CarrierFreqsGERAN ::=
                                SEQUENCE {
   startingARFCN
                                        ARFCN-ValueGERAN,
    bandIndicator
                                        BandIndicatorGERAN,
    followingARFCNs
                                        CHOICE {
        explicitListOfARFCNs
                                            ExplicitListOfARFCNs,
                                           SEQUENCE {
        equallySpacedARFCNs
            arfcn-Spacing
                                                INTEGER (1..8),
            numberOfFollowingARFCNs
                                                INTEGER (0..31)
        variableBitMapOfARFCNs
                                          OCTET STRING (SIZE (1..16))
}
ExplicitListOfARFCNs ::=
                                    SEQUENCE (SIZE (0..31)) OF ARFCN-ValueGERAN
-- ASN1STOP
```

### CarrierFreqsGERAN field descriptions

### arfcn-Spacing

Space, d, between a set of equally spaced ARFCN values.

### bandIndicator

Indicates how to interpret the ARFCN of the BCCH carrier.

### explicitListOfARFCNs

The remaining ARFCN values in the set are explicitly listed one by one.

### *followingARFCNs*

Field containing a representation of the remaining ARFCN values in the set.

### numberOfFollowingARFCNs

The number, n, of the remaining equally spaced ARFCN values in the set. The complete set of (n+1) ARFCN values is defined as:  $\{s, ((s+d) \mod 1024), ((s+2*d) \mod 1024), ... ((s+n*d) \mod 1024)\}$ .

### startingARFCN

The first ARFCN value, s, in the set.

### variableBitMapOfARFCNs

Bitmap field representing the remaining ARFCN values in the set. The leading bit of the first octet in the bitmap corresponds to the ARFCN = ((s + 1) mod 1024), the next bit to the ARFCN = ((s + 2) mod 1024), and so on. If the bitmap consists of N octets, the trailing bit of octet N corresponds to ARFCN = ((s + 8\*N) mod 1024). The complete set of ARFCN values consists of ARFCN = s and the ARFCN values, where the corresponding bit in the bitmap is set to "1".

# CarrierFreqListMBMS

The IE CarrierFreqListMBMS is used to indicate the E-UTRA ARFCN values of the one or more MBMS frequencies the UE is interested to receive.

# CarrierFreqListMBMS information element

```
-- ASN1START

CarrierFreqListMBMS-r11 ::= SEQUENCE (SIZE (1..maxFreqMBMS-r11)) OF ARFCN-ValueEUTRA-r9

-- ASN1STOP
```

# 

The IE *CDMA2000-Type* is used to describe the type of CDMA2000 network.

### CDMA2000-Type information element

```
-- ASN1START

CDMA2000-Type ::= ENUMERATED {type1XRTT, typeHRPD}

-- ASN1STOP
```

# – CellGloballdNR

The IE *CellGlobalIdNR* specifies the Cell Global Identifier (CGI), the globally unique identity and the tracking area code (TAC) of a cell in NR.

# CellGloballdNR information element

```
-- ASN1START

CellGlobalIdNR-r16 ::= SEQUENCE {
   plmn-Identity-r16 PLMN-Identity,
   cellIdentity-r16 CellIdentityNR-r15,
   trackingAreaCode-r16 TrackingAreaCodeNR-r15 OPTIONAL
}

-- ASN1STOP
```

# CellGlobalIdNR field descriptions cellIdentity Identity of the cell within the context of the PLMN. plmn-Identity Identifies the PLMN of the cell as given by the first PLMN entry in the plmn-IdentityInfoList in SIB1. trackingAreaCode Indicates Tracking Area Code to which the cell indicated by cellIdentity field belongs.

# CellIdentity

The IE CellIdentity is used to unambiguously identify a cell within a PLMN.

# **CellIdentity** information element

```
-- ASN1START

Cellidentity ::= BIT STRING (SIZE (28))

-- ASN1STOP
```

### CellIndexList

The IE CellIndexList concerns a list of cell indices, which may be used for different purposes.

### CellIndexList information element

```
-- ASN1START

CellIndexList ::= SEQUENCE (SIZE (1..maxCellMeas)) OF CellIndex

CellIndex ::= INTEGER (1..maxCellMeas)

-- ASN1STOP
```

# CellReselectionPriority

The IE *CellReselectionPriority* concerns the absolute priority of the concerned carrier frequency/ set of frequencies (GERAN)/ bandclass (CDMA2000), as used by the cell reselection procedure. Corresponds with parameter "priority" in TS 36.304 [4]. Value 0 means: lowest priority. The UE behaviour for the case the field is absent, if applicable, is specified in TS 36.304 [4].

# CellReselectionPriority information element

```
-- ASN1START

CellReselectionPriority ::= INTEGER (0..7)

-- ASN1STOP
```

### CellSelectionInfoCE

The IE CellSelectionInfoCE contains cell selection information for CE. The q-RxLevMinCE corresponds to parameter  $Q_{rxlevmin\_CE}$  in TS 36.304 [4]. The q-QualMinRSRQ-CE corresponds to parameter  $Q_{qualmin\_CE}$  in TS 36.304 [4]. If q-QualMinRSRQ-CE is not present, the UE applies the (default) value of negative infinity for  $Q_{qualmin}$ .

# CellSelectionInfoCE information element

```
CellSelectionInfoCE-v1530 ::= SEQUENCE {
   powerClass14dBm-Offset-r15 ENUMERATED {dB-6, dB-3, dB3, dB6, dB9, dB12}
}
-- ASN1STOP
```

### CellSelectionInfoCE field descriptions

### powerClass14dBm-Offset

Parameter "Poffset" in TS 36.304 [4], only applicable for UE supporting *powerClass-14dBm*. Value in dB. Value dB-6 corresponds to -6 dB, dB-3 corresponds to -3 dB and so on. E-UTRAN configures this field only if *cellSelectionInfoCE-r13* is configured. If the field is absent, the UE applies the (default) value of 0 dB for "Poffset" in TS 36.304 [4].

### CellSelectionInfoCE1

The IE *CellSelectionInfoCE1* contains cell selection information for BL UEs or UEs in CE supporting CE Mode B. The q-RxLevMinCE1 corresponds to parameter  $Q_{rxlevmin\_CE1}$  in TS 36.304 [4]. If delta-RxLevMinCE1 is not included, actual value  $Q_{rxlevmin\_CE1} = q$ -RxLevMinCE1 \*2 [dBm]. If delta-RxLevMinCE1 is included, the actual value  $Q_{rxlevmin\_CE1} = (q$ -RxLevMinCE1 + delta-RxLevMinCE1) \*2 [dBm]. The q-QualMinRSRQ-CE1 corresponds to parameter  $Q_{qualmin\_CE1}$  in TS 36.304 [4]. If q-QualMinRSRQ-CE1 is not present, the UE applies the (default) value of negative infinity for  $Q_{qualmin}$ .

# CellSelectionInfoCE1 information element

```
-- ASN1START

CellSelectionInfoCE1-r13 ::= SEQUENCE {
    q-RxLevMinCE1-r13 Q-RxLevMin,
    q-QualMinRSRQ-CE1-r13 Q-QualMin-r9 OPTIONAL -- Need OR
}

CellSelectionInfoCE1-v1360 ::= SEQUENCE {
    delta-RxLevMinCE1-v1360 INTEGER (-8..-1)
}
-- ASN1STOP
```

# CellReselectionSubPriority

The IE *CellReselectionSubPriority* indicates a fractional value to be added to the value of cellReselectionPriority to obtain the absolute priority of the concerned carrier frequency for E-UTRA and NR. Value oDot2 corresponds to 0.2, oDot4 corresponds to 0.4 and so on.

# CellReselectionSubPriority information element

```
-- ASN1START

CellReselectionSubPriority-r13 ::= ENUMERATED {oDot2, oDot4, oDot6, oDot8}

-- ASN1STOP
```

# CSFB-RegistrationParam1XRTT

The IE *CSFB-RegistrationParam1XRTT* is used to indicate whether or not the UE shall perform a CDMA2000 1xRTT pre-registration if the UE does not have a valid / current pre-registration.

```
-- ASN1START
CSFB-RegistrationParam1XRTT ::=
                                     SEQUENCE {
    sid
                                         BIT STRING (SIZE (15)),
                                         BIT STRING (SIZE (16)),
   nid
    multipleSID
                                         BOOLEAN.
    multipleNID
                                         BOOLEAN
    homeReg
                                         BOOLEAN,
    foreignSIDReg
                                         BOOLEAN,
    foreignNIDReg
                                         BOOLEAN
```

```
parameterReg
                                       BOOLEAN,
   powerUpReg
                                        BOOLEAN,
   registrationPeriod
                                       BIT STRING (SIZE (7)),
   registrationZone
                                       BIT STRING (SIZE (12)),
   totalZone
                                        BIT STRING (SIZE (3)),
   zoneTimer
                                       BIT STRING (SIZE (3))
CSFB-RegistrationParam1XRTT-v920 ::= SEQUENCE {
   powerDownReg-r9
                                        ENUMERATED {true}
-- ASN1STOP
```

### CSFB-RegistrationParam1XRTT field descriptions

### foreignNIDReg

The CDMA2000 1xRTT NID roamer registration indicator.

# foreignSIDReg

The CDMA2000 1xRTT SID roamer registration indicator.

### homeRea

The CDMA2000 1xRTT Home registration indicator.

### multipleNID

The CDMA2000 1xRTT Multiple NID storage indicator.

### multipleSID

The CDMA2000 1xRTT Multiple SID storage indicator.

### nid

Used along with the *sid* as a pair to control when the UE should Register or Re-Register with the CDMA2000 1xRTT network.

### parameterReg

The CDMA2000 1xRTT Parameter-change registration indicator.

# powerDownReg

The CDMA2000 1xRTT Power-down registration indicator. If set to TRUE, the UE that has a valid / current CDMA2000 1xRTT pre-registration will perform a CDMA2000 1xRTT power down registration when it is switched off.

### powerUpReg

The CDMA2000 1xRTT Power-up registration indicator.

### registrationPeriod

The CDMA2000 1xRTT Registration period.

# registrationZone

The CDMA2000 1xRTT Registration zone.

### sid

Used along with the *nid* as a pair to control when the UE should Register or Re-Register with the CDMA2000 1xRTT network.

### totalZone

The CDMA2000 1xRTT Number of registration zones to be retained.

### zoneTimer

The CDMA2000 1xRTT Zone timer length.

# CellGloballdEUTRA

The IE *CellGlobalIdEUTRA* specifies the Evolved Cell Global Identifier (ECGI), the globally unique identity of a cell in E-UTRA.

### CellGlobalIdEUTRA information element

```
-- ASN1START

CellGlobalIdEUTRA ::= SEQUENCE {
    plmn-Identity PLMN-Identity,
    cellIdentity CellIdentity
}

-- ASN1STOP
```

### CellGlobalIdEUTRA field descriptions

### cellidentity

Identity of the cell within the context of the PLMN.

### plmn-Identity

Identifies the PLMN of the cell as given by the first PLMN entry in the *plmn-IdentityList* in *SystemInformationBlockType1*.

### CellGlobalIdUTRA

The IE CellGlobalIdUTRA specifies the global UTRAN Cell Identifier, the globally unique identity of a cell in UTRA.

### CellGlobalIdUTRA information element

```
-- ASN1START

CellGlobalIdUTRA ::= SEQUENCE {
    plmn-Identity PLMN-Identity,
    cellIdentity BIT STRING (SIZE (28))
}

-- ASN1STOP
```

# CellGloballdUTRA field descriptions

### cellidentity

UTRA Cell Identifier which is unique within the context of the identified PLMN as defined in TS 25.331 [19].

### plmn-Identity

Identifies the PLMN of the cell as given by the common PLMN broadcast in the MIB, as defined in TS 25.331 [19].

# CellGlobalIdGERAN

The IE *CellGlobalIdGERAN* specifies the Cell Global Identification (CGI), the globally unique identity of a cell in GERAN.

### CellGloballdGERAN information element

```
-- ASN1START

CellGlobalIdGERAN ::= SEQUENCE {
    plmn-Identity PLMN-Identity,
    locationAreaCode BIT STRING (SIZE (16)),
    cellIdentity BIT STRING (SIZE (16))
}

-- ASN1STOP
```

# CellGloballdGERAN field descriptions

### cellIdentity

Cell Identifier which is unique within the context of the GERAN location area as defined in TS 23.003 [27].

### IocationAreaCode

A fixed length code identifying the location area within a PLMN as defined in TS 23.003 [27].

# plmn-Identity

Identifies the PLMN of the cell, as defined in TS 23.003 [27]..

# CellGlobalIdCDMA2000

The IE *CellGlobalIdCDMA2000* specifies the Cell Global Identification (CGI), the globally unique identity of a cell in CDMA2000.

### CellGlobalIdCDMA2000 information element

```
-- ASN1START
```

```
CellGlobalIdCDMA2000 ::= CHOICE {
    cellGlobalIdIXRTT BIT STRING (SIZE (47)),
    cellGlobalIdHRPD BIT STRING (SIZE (128))
}
-- ASN1STOP
```

### CellGloballdCDMA2000 field descriptions

#### cellGloballd1XRTT

Unique identifier for a CDMA2000 1xRTT cell, corresponds to BASEID, SID and NID parameters (in that order) defined in C.S0005 [25].

#### cellGloballdHRPD

Unique identifier for a CDMA2000 HRPD cell, corresponds to SECTOR ID parameter defined in C.S0024 [26], clause 14.9.

# CellSelectionInfoNFreq

The IE *CellSelectionInfoNFreq* includes the parameters used for cell selection on a neighbouring frequency, see TS 36.304 [4].

# CellSelectionInfoNFreq information element

```
-- ASN1START
CellSelectionInfoNFreq-r13 ::= SEQUENCE {
    -- Cell selection information as in SIB1
                       Q-RxLevMin,
   q-RxLevMin-r13
                                                             OPTIONAL, -- Need OP
   q-RxLevMinOffset
                                      INTEGER (1..8)
    -- Cell re-selection information as in SIB3
                                         dB0, dB1, dB2, dB3, dB4, dB5, dB6, dB8, dB10,
                                          dB12, dB14, dB16, dB18, dB20, dB22, dB24},
   q-RxLevMinReselection-r13
                                      Q-RxLevMin,
   t-ReselectionEUTRA-r13
                                     T-Reselection
-- ASN1STOP
```

# ConditionalReconfiguration

The IE *ConditionalReconfiguration* is used to add, modify or release the configuration of a conditional handover, conditional PSCell addition or inter-SN conditional PSCell change per target candidate cell.

# ConditionalReconfiguration information element

```
-- ASN1START

ConditionalReconfiguration-r16 ::= SEQUENCE {
    condReconfigurationToAddModList-r16 CondReconfigurationToAddModList-r16 OPTIONAL, -- Need ON condReconfigurationToRemoveList-r16 CondReconfigurationToRemoveList-r16 OPTIONAL, -- Need ON attemptCondReconf-r16 ENUMERATED {true}

CHO
    ...
}

CondReconfigurationToRemoveList-r16 ::= SEQUENCE (SIZE (1..maxCondConfig-r16)) OF CondReconfigurationId-r16
-- ASN1STOP
```

#### ConditionalReconfiguration field descriptions

#### attemptCondReconf

If present, the UE shall perform conditional reconfiguration if selected cell is a target candidate cell and it is the first cell selection after failure as described in 5.3.7.3.

#### condReconfigurationToAddModList

List of conditional reconfigurations (i.e. conditional handover, conditional PSCell addition or inter-SN conditional PSCell change) to add and/or modify.

# condReconfigurationToRemoveList

List of conditional reconfigurations (i.e. conditional handover, conditional PSCell addition or inter-SN conditional PSCell change) to remove.

Conditional presence	Explanation	
CHO	The field is optional present, Need OR, if the UE is configured with at least a candidate	
	cell for CHO. Otherwise the field is not present.	

# ConditionalReconfigurationId

The IE *ConditionalReconfigurationId* is used to identify a conditional reconfiguration (e.g. CHO, CPA or inter-SN CPC).

# ConditionalReconfigurationId information element

```
-- ASN1START

CondReconfigurationId-r16 ::= INTEGER (1.. maxCondConfig-r16)

-- ASN1STOP
```

# CondReconfigurationToAddModList

The IE *CondReconfigurationToAddModList* concerns a list of conditional reconfigurations (i.e. conditional handover, conditional PSCell addition or inter-SN conditional PSCell change) to add or modify, for each entry the *measId* (associated to the triggering condition configuration) and the associated *RRCConnectionReconfiguration*.

# CondReconfigurationToAddModList information element

# CondReconfigurationToAddMod field descriptions

# condReconfigurationToApply

The RRCConnectionReconfiguration message to be applied when the condition(s) are fulfilled.

# triggerCondition

The condition that needs to be fulfilled in order to trigger the execution of a conditional reconfiguration for CHO, CPA or MN initiated inter-SN CPC. When configuring two triggering events (MeasIds) for a candidate cell, the network ensures that both refer to the same *measObject*. For each *condReconfigurationId*, the network always configures either *triggerCondition* or *triggerConditionSN* (not both).

#### triggerConditionSN

Includes the NR CondReconfigExecCondSCG as specified in TS 38.331 [82]. For each condReconfigurationId, the network always configures either triggerCondition or triggerConditionSN (not both). The field is applied to the case of SN initiated inter-SN CPC.

Conditional presence	Explanation	
CondReconfigurationAdd	The field is mandatory present if a condReconfigurationId is being added. Otherwise it is	
	optional, need ON.	

# CSG-Identity

The IE CSG-Identity is used to identify a Closed Subscriber Group.

## **CSG-Identity** information element

```
-- ASN1START

CSG-Identity ::= BIT STRING (SIZE (27))

-- ASN1STOP
```

# EphemerisOrbitalParameters

The IE EphemerisOrbitalParameters provides satellite ephemeris in format of orbital parameters in ECI.

NOTE: The ECI and ECEF coincide at Epoch time (e.g. x,y,z axis in ECEF are aligned with x,y,z axis in ECI).

# EphemerisOrbitalParameters information element

# EphemerisOrbitalParameters field descriptions anomaly Mean anomaly M at epoch time, see NIMA TR 8350.2 [110]. Unit in radian. Step of 2.341\* $10^{-8}$ rad. Actual value = field value \* $(2.341* 10^{-8})$ . eccentricity Eccentricity e, see NIMA TR 8350.2 [110]. Step 1.431 \* 10<sup>-8</sup>. Actual value = field value \* (1.431 \* 10<sup>-8</sup>). Inclination i, see NIMA TR 8350.2 [110]. Unit in radian. Step of $2.341^* \cdot 10^{-8}$ rad. Actual value = field value \* $(2.341^* \cdot 10^{-8})$ . Longitude of ascending node $\Omega$ , see NIMA TR 8350.2 [110]. Unit in radian. Step of 2.341\* 10<sup>-8</sup> rad. Actual value = field value \* (2.341\* 10<sup>-8</sup>). Argument of periapsis $\omega$ , see NIMA TR 8350.2 [110]. Unit in radian. Step of 2.341\* 10<sup>-8</sup> rad. Actual value = field value \* (2.341\* 10<sup>-8</sup>). semiMajorAxis Semi major axis $\alpha$ , see NIMA TR 8350.2 [110]. Unit in meter. Step of $4.249 * 10^{-3}$ m. Actual value = $6500000 + \text{ field value } * (4.249 * 10^{-3})$ .

# EphemerisStateVectors

The IE *EphemerisStateVectors* provides satellite ephemeris in format of position and velocity state vectors in ECEF.

## EphemerisStateVectors information element

```
-- ASN1START
EphemerisStateVectors-r17 ::= SEQUENCE {
   positionX-r17
                                    PositionStateVector-r17,
   positionY-r17
                                    PositionStateVector-r17,
   positionZ-r17
                                    PositionStateVector-r17
   velocityVX-r17
                                    VelocityStateVector-r17,
   velocityVY-r17
                                    VelocityStateVector-r17,
   velocityVZ-r17
                                    VelocityStateVector-r17
PositionStateVector-r17 ::= INTEGER (-33554432..33554431)
VelocityStateVector-r17 ::= INTEGER (-131072..131071)
-- ASN1STOP
```

```
EphemerisStateVectors field descriptions

positionX, positionY, positionZ

X, Y, Z coordinate of satellite position state vector in ECEF. Unit in meter.

Step of 1.3 m. Actual value = field value * 1.3.

velocityVX, velocityVY, velocityVZ

X, Y, Z coordinate of satellite velocity state vector in ECEF. Unit in meter/second.

Step of 0.06 m/s. Actual value = field value * 0.06.
```

# FreqBandIndicator

The IE *FreqBandIndicator* indicates the E-UTRA operating band as defined in TS 36.101 [42], table 5.5-1 and TS 36.102 [113], table 5.2-1 for NTN capable UE. If an extension is signalled using the extended value range (as defined by IE *FreqBandIndicator-v9e0*), the UE shall only consider this extension (and hence ignore the corresponding original field, using the value range as defined by IE *FreqBandIndicator* i.e. without suffix, if signalled).

## FreqBandIndicator information element

```
-- ASN1START

FreqBandIndicator ::= INTEGER (1..maxFBI)

FreqBandIndicator-v9e0 ::= INTEGER (maxFBI-Plus1..maxFBI2)
```

```
FreqBandIndicator-rl1 ::= INTEGER (1..maxFBI2)
-- ASNISTOP
```

NOTE: For fields using the original value range, as defined by IE *FreqBandIndicator* i.e. without suffix, value *maxFBI* indicates that the frequency band is indicated by means of an extension. In such a case, UEs not supporting the extension consider the field to be set to a not supported value.

# FregBandIndicatorNR

The IE FreqBandIndicatorNR indicates the NR operating band as defined in TS 38.101 [85].

# FreqBandIndicatorNR information element

```
-- ASN1START

FreqBandIndicatorNR-r15 ::= INTEGER (1.. maxFBI-NR-r15)

-- ASN1STOP
```

# *MobilityControlInfo*

The IE Mobility Controllnfo includes parameters relevant for network controlled mobility to/within E-UTRA.

# MobilityControlInfo information element

```
-- ASN1START
MobilityControlInfo ::=
                            SEQUENCE {
   targetPhysCellId
                                        PhysCellId,
    carrierFreq
                                        CarrierFreqEUTRA
                                                                             OPTIONAL,
                                                                                         -- Cond HO-
toEUTRA2
   carrierBandwidth
                                        CarrierBandwidthEUTRA
                                                                             OPTIONAL,
                                                                                         -- Cond HO-
   additionalSpectrumEmission
                                        AdditionalSpectrumEmission
                                                                             OPTIONAL,
                                                                                         -- Cond HO-
toEUTRA
                                        ENUMERATED {
    t304
                                            ms50, ms100, ms150, ms200, ms500, ms1000,
                                            ms2000, ms10000-v1310},
                                        C-RNTI,
   newUE-Identity
   radioResourceConfigCommon
                                        RadioResourceConfigCommon,
    rach-ConfigDedicated
                                        RACH-ConfigDedicated
                                                                             OPTIONAL,
                                                                                         -- Need OP
    [[ carrierFreq-v9e0
                                        CarrierFregEUTRA-v9e0
                                                                             OPTIONAL
                                                                                         -- Need ON
    11.
    [[
       drb-ContinueROHC-r11
                                        ENUMERATED {true}
                                                                             OPTIONAL
                                                                                         -- Cond HO
    [[ mobilityControlInfoV2X-r14 MobilityControlInfoV2X-r14
                                                                                         -- Need ON
                                                                             OPTIONAL,
        handoverWithoutWT-Change-r14
                                      ENUMERATED {keepLWA-Config, sendEndMarker} OPTIONAL,
Cond HO
        makeBeforeBreak-r14
                                        ENUMERATED {true}
                                                                             OPTIONAL,
                                                                                         -- Need OR
        rach-Skip-r14
                                        RACH-Skip-r14
                                                                             OPTIONAL,
                                                                                         -- Need OR
        sameSFN-Indication-r14
                                        ENUMERATED {true}
                                                                                         -- Cond HO-
                                                                             OPTIONAL
SFNsynced
    ]],
       mib-RepetitionStatus-r14 BOOLEAN schedulingInfoSIB1-BR-r14 INTEGER (0..31)
                                                                             OPTIONAL,
                                                                                         -- Need OR
                                                                                         -- Cond HO-
                                                                             OPTIONAL
SFNsynced
    [[ daps-Config-r16
                                      DAPS-Config-r16
                                                                             OPTIONAL
                                                                                         -- Cond
NotFullConfigHO
    ]]
MobilityControlInfo-v1010 ::=
                                    SEQUENCE {
    additionalSpectrumEmission-v1010
                                      AdditionalSpectrumEmission-v1010
                                                                             OPTIONAL
                                                                                          -- Need ON
```

```
MobilityControlInfoSCG-r12 ::=
                                          SEQUENCE {
    t307-r12
                                               ENUMERATED {
                                                   ms50, ms100, ms150, ms200, ms500, ms1000,
                                                    ms2000, spare1},
    ue-IdentitySCG-r12 C-RNTI OPTIONAL, -- Cond SCGEst rach-ConfigDedicated-r12 RACH-ConfigDedicated OPTIONAL, -- Need OP cipheringAlgorithmSCG-r12 CipheringAlgorithm-r12 OPTIONAL, -- Need ON
     ...,
[[ makeBeforeBreakSCG-r14 ENUMERATED {tr
RACH-Skip-r14
                                               ENUMERATED {true}
                                                                                      OPTIONAL,
                                                                                                     -- Need OR
                                                                                                     -- Need OR
                                                                                      OPTIONAL
     11
}
MobilityControlInfoV2X-r14 ::= SEQUENCE {
   v2x-CommTxPoolExceptional-r14 SL-CommResourcePoolV2X-r14 OPTIONAL, v2x-CommRxPool-r14 SL-CommRxPoolListV2X-r14 OPTIONAL, v2x-CommSyncConfig-r14 SL-SyncConfigListV2X-r14 OPTIONAL, cbr-MobilityTxConfigList-r14 SL-CBR-CommonTxConfigList-r14 OPTIONAL
                                                                                                         -- Need OR
                                                                                                         -- Need OR
                                                                                                         -- Need OR
                                                                                                         -- Need OR
}
CarrierBandwidthEUTRA ::= SEQUENCE {
    dl-Bandwidth
                                                ENUMERATED {
                                                         n6, n15, n25, n50, n75, n100, spare10,
                                                         spare9, spare8, spare7, spare6, spare5,
spare4, spare3, spare2, spare1},
    ul-Bandwidth
                                                ENUMERATED {
                                                         n6, n15, n25, n50, n75, n100, spare10,
                                                         spare9, spare8, spare7, spare6, spare5,
spare4, spare3, spare2, spare1} OPTIONAL -- Need OP
CarrierFreqEUTRA ::= SEQUENCE {
                                            ARFCN-ValueEUTRA,
    dl-CarrierFreq
    ul-CarrierFreq
                                               ARFCN-ValueEUTRA
                                                                                    OPTIONAL -- Cond FDD
CarrierFreqEUTRA-v9e0 ::= SEQUENCE {
    dl-CarrierFreq-v9e0 ARFCN-v
    ul-CarrierFreq-v9e0 ARFCN-v
                                         ARFCN-ValueEUTRA-r9,
ARFCN-ValueEUTRA-r9
                                                                               OPTIONAL -- Cond FDD
}
    S-Config-r16 ::= SEQUENCE {
daps-PowerCoordinationInfo-r16 DAPS-PowerCoordinationInfo-r16 OPTIONAL,
DAPS-Config-r16 ::=
                                                                                                     -- Need ON
}
DAPS-PowerCoordinationInfo-r16 ::= SEQUENCE {
                                               INTEGER (1..16),
    p-DAPS-Source-r16
    p-DAPS-Target-r16
                                                INTEGER (1..16),
    powerControlMode-r16
                                               INTEGER (1..2)
RACH-Skip-r14 ::=
                                          SEQUENCE {
    targetTA-r14
                                          CHOICE {
         ta0-r14
                                               NULL,
         mcg-PTAG-r14
                                               NULL,
         scg-PTAG-r14
                                                    NULL,
         mcg-STAG-r14
                                               STAG-Id-r11,
         scg-STAG-r14
                                               STAG-Id-r11
     ul-ConfigInfo-r14
                                          SEQUENCE {
         numberOfConfUL-Processes-r14
                                                         INTEGER (1..8),
         ul-SchedInterval-r14
ul-StartSubframe-r14
                                               ENUMERATED {sf2, sf5, sf10},
                                               INTEGER (0..9),
         ul-Grant-r14
                                               BIT STRING (SIZE (16))
                                                                                 OPTIONAL -- Need OR
}
-- ASN1STOP
```

# MobilityControlInfo field descriptions

#### additionalSpectrumEmission

For a UE with no SCells configured for UL in the same band as the PCell, the UE shall apply the value for the PCell instead of the corresponding value from *SystemInformationBlockType2* or *SystemInformationBlockType1*. For a UE with SCell(s) configured for UL in the same band as the PCell, the UE shall, in case all SCells configured for UL in that band are released after handover completion, apply the value for the PCell instead of the corresponding value from *SystemInformationBlockType1*. The UE requirements related to IE *AdditionalSpectrumEmission* are defined in TS 36.101 [42], table 6.2.4-1, for UEs neither in CE nor BL UEs, TS 36.101 [42], table 6.2.4-1, for UEs in CE or BL UEs and TS 36.102 [113], table 6.2.A.3-1, for NTN capable UE.

#### carrierBandwidth

Provides the parameters Downlink bandwidth, and Uplink bandwidth, see TS 36.101 [42].

## carrierFreq

Provides the EARFCN to be used by the UE in the target cell.

### cbr-MobilityTxConfigList

Indicates the list of CBR ranges and the list of PSSCH transmission parameter configurations available to configure congestion control to the UE for V2X sidelink communication during handover.

## cipheringAlgorithmSCG

Indicates the ciphering algorithm to be used for SCG DRBs. E-UTRAN includes the field upon SCG change when one or more SCG DRBs are configured. Otherwise E-UTRAN does not include the field.

#### dl-Bandwidth

Parameter: Downlink bandwidth, see TS 36.101 [42].

#### drb-ContinueROHC

This field indicates whether to continue or reset, for this handover, the header compression protocol context for the RLC UM bearers configured with the header compression protocol. Presence of the field indicates that the header compression protocol context continues while absence indicates that the header compression protocol context is reset. E-UTRAN includes the field only in case of a handover within the same eNB. This field does not apply to any configured DAPS bearers.

# handoverWithoutWT-Change

Indicates whether UE performs handover where LWA configuration is retained with the same WT If sendEndMarker is configured, the LWA end-marker for PDCP key change indication is used as defined in [8]. If value keepLWA-Config is configured, LWA end marker is not used and UE shall only retain the LWA configuration.

#### makeBeforeBreak

Indicates that the UE shall continue uplink transmission/ downlink reception with the source cell(s) before performing the first transmission through PRACH to the target intra-frequency PCell, or performing initial PUSCH transmission to the target intra-frequency PCell while *rach-Skip* is configured.

# makeBeforeBreakSCG

Indicates that the UE shall continue uplink transmission/ downlink reception with the source cell(s) before performing the first transmission through PRACH to the target intra-frequency PSCell, or performing initial PUSCH transmission to the target intra-frequency PSCell while *rach-SkipSCG* is configured.

#### mib-RepetitionStatus

Indicates whether additional MIB repetition is enabled in the target cell or not. Value TRUE indicates additional MIB repetition is enabled in the target cell. Value FALSE indicates additional MIB repetition is not enabled in the target cell. The absence of this field indicates additional MIB repetition may or may not be enabled in the target cell. See 5.2.1.2 and TS 36.211 [21], clause 6.4.1. This field is applicable to BL UE or UE in CE.

# mobilityControlInfoV2X

Indicates the sidelink configurations of the target cell for V2X sidelink communication during handover.

#### numberOfConfUL-Processes

The number of configured HARQ processes for preallocated uplink grant, see TS 36.321 [6], clause 5.20. This field is applicable if a UE is configured with asynchronous HARQ, otherwise it shall be ignored.

# p-DAPS-Source

Indicates the guaranteed power for the source PCell during a DAPS handover, as specified in TS 36.213 [23]. The value N corresponds to N-1 in TS 36.213 [23].

#### p-DAPS-Target

Indicates the guaranteed power for the target PCell during a DAPS handover as specified in TS 36.213 [23], Table 5.1.4.2-1. The value N corresponds to N-1 in TS 36.213 [23].

# powerControlMode

Indicates the power control mode used in during a DAPS handover. Value 1 corresponds to DC power control mode 1 and value 2 indicates DC power control mode 2, as specified in TS 36.213 [23].

#### rach-ConfigDedicated

The dedicated random access parameters. If absent the UE applies contention based random access as specified in TS 36.321 [6].

# rach-Skip

This field indicates whether random access procedure for the target PCell is skipped.

## rach-SkipSCG

This field indicates whether random access procedure for the target PSCell is skipped.

### MobilityControlInfo field descriptions

#### additionalSpectrumEmission

For a UE with no SCells configured for UL in the same band as the PCell, the UE shall apply the value for the PCell instead of the corresponding value from *SystemInformationBlockType2* or *SystemInformationBlockType1*. For a UE with SCell(s) configured for UL in the same band as the PCell, the UE shall, in case all SCells configured for UL in that band are released after handover completion, apply the value for the PCell instead of the corresponding value from *SystemInformationBlockType1*. The UE requirements related to IE *AdditionalSpectrumEmission* are defined in TS 36.101 [42], table 6.2.4-1, for UEs neither in CE nor BL UEs, TS 36.101 [42], table 6.2.4E-1, for UEs in CE or BL UEs and TS 36.102 [113], table 6.2.A.3-1, for NTN capable UE.

# sameSFN-Indication

This field indicates that the target cell has the same SFN as the source cell and that the BL UE or UE in CE is not required to acquire *MasterInformationBlock* in the target PCell during handover to obtain the SFN of the target cell, as specified in clause 5.3.5.4.

### schedulingInfoSIB1-BR

Indicates the index to the tables that define *SystemInformationBlockType1-BR* scheduling information. The tables are specified in TS 36.213 [23], Table 7.1.6-1 and Table 7.1.7.2.7-1. Value 0 means *SystemInformationBlockType1-BR* is not scheduled. If absent when *sameSFN-Indication* is present, UE assumes that *SystemInformationBlockType1-BR* scheduling information in target cell may be different from source cell.

#### t304

Timer T304 as described in clause 7.3. ms50 corresponds with 50 ms, ms100 corresponds with 100 ms and so on. EUTRAN includes extended value *ms10000-v1310* only when UE supports CE.

#### t307

Timer T307 as described in clause 7.3. ms50 corresponds with 50 ms, ms100 corresponds with 100 ms and so on.

#### targetTA

This field refers to the timing adjustment indication, see TS 36.213 [23], indicating the  $N_{TA}$  value which the UE shall use for the target PTAG of handover or the target PSTAG of SCG change. *ta0* corresponds to  $N_{TA}=0$ . mcg-PTAG corresponds to the latest  $N_{TA}$  value of the PTAG associated with MCG. scg-PTAG corresponds to the latest  $N_{TA}$  value of the PTAG associated with SCG. mcg-STAG corresponds to the latest  $N_{TA}$  value of a MCG STAG indicated by the STAG-Id. scg-STAG corresponds to the latest  $N_{TA}$  value of a SCG STAG indicated by the STAG-Id.

#### ul-Bandwidth

Parameter: *Uplink bandwidth*, see TS 36.101 [42], table 5.6-1. For TDD, the parameter is absent and it is equal to downlink bandwidth. If absent for FDD, apply the same value as applies for the downlink bandwidth.

#### ul-Grant

Indicates the resources of the target PCell/PSCell to be used for the uplink transmission of PUSCH [23], clause 8.8.

## ul-SchedInterval

Indicates the scheduling interval in uplink, see TS 36.321 [6], clause 5.20. Value in number of sub-frames. Value sf2 corresponds to 2 subframes, sf5 corresponds to 5 subframes and so on.

#### ul-StartSubframe

Indicates the subframe in which the UE may initiate the uplink transmission, see TS 36.321 [6], clause 5.20. Value 0 corresponds to subframe number 0, 1 corresponds to subframe number 1 and so on. The subframe indicating a valid uplink grant according to the calculation of UL grant configured by *ul-StartSubframe* and *ul-SchedInterval*, see TS 36.321 [6], clause 5.20, is the same across all radio frames.

#### v2x-CommRxPool

Indicates reception pools for receiving V2X sidelink communication during handover.

# v2x-CommSyncConfig

Indicates synchronization configurations for performing V2X sidelink communication during handover.

#### v2x-CommTxPoolExceptional

Indicates the transmission resources by which the UE is allowed to transmit V2X sidelink communication during handover.

Conditional presence	Explanation		
FDD	The field is mandatory with default value (the default duplex distance defined for the concerned band, as specified in TS 36.101 [42]) in case of "FDD"; otherwise the field is not present.		
НО	This field is optionally present, need OP, in case of handover within E-UTRA when the fullConfig is not included; otherwise the field is not present.		
HO-SFNsynced	This field is optionally present, need OP, in case of source E-UTRA and target E-UTRA cells are SFN synchronised.		
HO-toEUTRA	The field is mandatory present in case of inter-RAT handover to E-UTRA; otherwise the field is optionally present, need ON.		
HO-toEUTRA2	The field is absent if <i>carrierFreq-v9e0</i> is present. Otherwise it is mandatory present in case of inter-RAT handover to E-UTRA and optionally present, need ON, in all other cases.		
NotFullConfigHO	This field is optionally present, Need OR, in case of handover within E-UTRA when the fullConfig is not included in the RRCConnectionReconfiguration message. Otherwise the field is not present.		
SCGEst	This field is mandatory present in case of SCG establishment; otherwise the field is optionally present, need ON.		

# – MobilityParametersCDMA2000 (1xRTT)

The *MobilityParametersCDMA2000* contains the parameters provided to the UE for handover and (enhanced) CSFB to 1xRTT support, as defined in C.S0097 [53].

# MobilityParametersCDMA2000 information element

```
-- ASN1START

MobilityParametersCDMA2000 ::= OCTET STRING

-- ASN1STOP
```

# MobilityStateParameters 4 6 1

The IE MobilityStateParameters contains parameters to determine UE mobility state.

### MobilityStateParameters information element

# MobilityStateParameters field descriptions

## n-CellChangeHigh

The number of cell changes to enter high mobility state. Corresponds to N<sub>CR\_H</sub> in TS 36.304 [4].

# n-CellChangeMedium

The number of cell changes to enter medium mobility state. Corresponds to N<sub>CR\_M</sub> in TS 36.304 [4].

# t-Evaluation

The duration for evaluating criteria to enter mobility states. Corresponds to  $T_{CRmax}$  in TS 36.304 [4]. Value in seconds, s30 corresponds to 30 s and so on.

#### t-HystNormal

The additional duration for evaluating criteria to enter normal mobility state. Corresponds to T<sub>CRmaxHyst</sub> in TS 36.304 [4]. Value in seconds, s30 corresponds to 30 s and so on.

## MultiBandInfoList

#### MultiBandInfoList information element

# MultiFrequencyBandListNR

The IE MultiFrequencyBandListNR is used to configure a list of one or multiple NR frequency bands.

## MultiFrequencyBandListNR information element

```
-- ASN1START

MultiFrequencyBandListNR-r15 ::= SEQUENCE (SIZE (1.. maxMultiBandsNR-r15)) OF

FreqBandIndicatorNR-r15

-- ASN1STOP
```

## – NS-PmaxList

The IE NS-PmaxList concerns a list of additionalPmax and additionalSpectrumEmission, as defined in TS 36.101 [42], table 6.2.4-1, for UEs neither in CE nor BL UEs, TS 36.101 [42], table 6.2.4E-1, for UEs in CE or BL UEs and TS 36.102 [113], table 6.2A.3-1, for NTN capable UE, for a given frequency band. E-UTRAN does not include the same value of additionalSpectrumEmission in SystemInformationBlockType2 within this list.

## **NS-PmaxList** information element

```
-- ASN1START
NS-PmaxList-r10 ::=
                                SEQUENCE (SIZE (1..maxNS-Pmax-r10)) OF NS-PmaxValue-r10
NS-PmaxList-v1010 ::=
                                SEQUENCE (SIZE (1..maxNS-Pmax-r10)) OF NS-PmaxValue-v1010
NS-PmaxValue-r10 ::=
                                SEQUENCE {
   additionalPmax-r10
                                       P-Max
                                                                        OPTIONAL,
                                                                                    -- Need OP
   additionalSpectrumEmission
                                       AdditionalSpectrumEmission
NS-PmaxValue-v1010 ::=
                                SEQUENCE {
   additionalSpectrumEmission-v1010
                                      AdditionalSpectrumEmission-v1010
                                                                            OPTIONAL
                                                                                        -- Need OP
-- ASN1STOP
```

# NS-PmaxListNR

The IE NS-PmaxListNR concerns a list of additionalPmax and additionalSpectrumEmission, as defined in TS 38.101-1 [85], table 6.2.3.1-1A and TS 38.101-2 [100], table 6.2.3.1-2 for a given frequency band.

#### NS-PmaxListNR information element

```
-- ASN1START
NS-PmaxListNR-r15 ::=
                                   SEQUENCE (SIZE (1..8)) OF NS-PmaxValueNR-r15
                              SEQUENCE {
NS-PmaxValueNR-r15 ::=
   additionalPmaxNR-r15
                                           P-MaxNR-r15
                                                                      OPTIONAL.
                                                                                  -- Need ON
   additionalSpectrumEmissionNR-r15
                                          AdditionalSpectrumEmissionNR-r15
NS-PmaxListNR-v1760 ::=
                              SEQUENCE (SIZE (1..8)) OF NS-PmaxValueNR-v1760
                                   SEQUENCE {
NS-PmaxValueNR-v1760 ::=
   additionalSpectrumEmissionNR-v1760 AdditionalSpectrumEmissionNR-v1760 OPTIONAL
                                                                                     -- Need OR
}
-- ASN1STOP
```

# - PhysCellId

The IE *PhysCellId* is used to indicate the physical layer identity of the cell, as defined in TS 36.211 [21].

# PhysCellId information element

```
-- ASN1START

PhysCellid ::= INTEGER (0..503)

-- ASN1STOP
```

# PhysCellIdCDMA2000

The IE *PhysCellIdCDMA2000* identifies the PNOffset that represents the "Physical cell identity" in CDMA2000.

# PhysCellIdCDMA2000 information element

```
-- ASN1START

PhysCellIdCDMA2000 ::= INTEGER (0..maxPNOffset)

-- ASN1STOP
```

# PhysCellIdGERAN

The IE *PhysCellIdGERAN* contains the Base Station Identity Code (BSIC).

# PhysCellIdGERAN information element

```
-- ASN1START

PhysCellIdGERAN ::= SEQUENCE {
   networkColourCode BIT STRING (SIZE (3)),
   baseStationColourCode BIT STRING (SIZE (3))
}

-- ASN1STOP
```

```
PhysCellIdGERAN field descriptions

baseStationColourCode

Base station Colour Code as defined in TS 23.003 [27].

networkColourCode

Network Colour Code as defined in TS 23.003 [27].
```

# – PhysCellIdNR

The IE *PhysCellIdNR* indicates the physical layer identity (PCI) of an NR cell.

## PhysCellIdNR information element

```
-- ASN1START

PhysCellidnR-r15 ::= INTEGER (0.. 1007)

-- ASN1STOP
```

# PhysCellIdRange

The IE *PhysCellIdRange* is used to encode either a single or a range of physical cell identities. The range is encoded by using a *start* value and by indicating the number of consecutive physical cell identities (including *start*) in the range. For fields comprising multiple occurrences of *PhysCellIdRange*, E-UTRAN may configure overlapping ranges of physical cell identities.

## PhysCellIdRange information element

# PhysCellIdRange field descriptions

#### range

Indicates the number of physical cell identities in the range (including *start*). Value n4 corresponds with 4, n8 corresponds with 8 and so on. The UE shall apply value 1 in case the field is absent, in which case only the physical cell identity value indicated by *start* applies.

#### start

Indicates the lowest physical cell identity in the range.

# – PhysCellIdRangeNR

The IE *PhysCellIdRangeNR* is used to encode either a single or a range of physical layer identities of NR cells. The range is encoded by using a *start* value and by indicating the number of consecutive physical layer identities (including *start*) in the range. For fields comprising multiple occurrences of *PhysCellIdRangeNR*, E-UTRAN may configure overlapping ranges of physical layer identities.

# PhysCellIdRangeNR information element

# PhysCellIdRangeNR field descriptions

#### range

Indicates the number of physical layer identities in the range (including *start*). Value n4 corresponds with 4, n8 corresponds with 8 and so on. The UE shall apply value 1 in case the field is absent, in which case only the physical layer identity value indicated by *start* applies.

#### start

Indicates the lowest physical layer identity in the range.

# PhysCellIdRangeUTRA-FDDList

The IE *PhysCellIdRangeUTRA-FDDList* is used to encode one or more of *PhysCellIdRangeUTRA-FDD*. While the IE *PhysCellIdRangeUTRA-FDD* is used to encode either a single physical layer identity or a range of physical layer identities, i.e. primary scrambling codes. Each range is encoded by using a *start* value and by indicating the number of consecutive physical cell identities (including *start*) in the range.

# PhysCellIdRangeUTRA-FDDList information element

# PhysCellIdRangeUTRA-FDDList field descriptions

## range

Indicates the number of primary scrambling codes in the range (including *start*). The UE shall apply value 1 in case the field is absent, in which case only the primary scrambling code value indicated by *start* applies.

#### start

Indicates the lowest primary scrambling code in the range.

# PhysCellIdUTRA-FDD

The IE *PhysCellIdUTRA-FDD* is used to indicate the physical layer identity of the cell, i.e. the primary scrambling code, as defined in TS 25.331 [19].

# PhysCellIdUTRA-FDD information element

```
-- ASN1START

PhysCellidutra-fdd ::= INTEGER (0..511)

-- ASN1STOP
```

# PhysCellIdUTRA-TDD

The IE *PhysCellIdUTRA-TDD* is used to indicate the physical layer identity of the cell, i.e. the cell parameters ID (TDD), as specified in TS 25.331 [19]. Also corresponds to the Initial Cell Parameter Assignment in TS 25.223 [46].

## PhysCellIdUTRA-TDD information element

```
-- ASN1START

PhysCellIdutra-TDD ::= INTEGER (0..127)

-- ASN1STOP
```

# PLMN-Identity

The IE *PLMN-Identity* identifies a Public Land Mobile Network. Further information regarding how to set the IE are specified in TS 23.003 [27].

# **PLMN-Identity** information element

```
-- ASN1START
                                     SEQUENCE {
PLMN-Identity ::=
                                                             OPTIONAL,
                                                                                           -- Cond MCC
   mcc
                                         MCC
    mnc
                                         MNC
MCC ::=
                                     SEQUENCE (SIZE (3)) OF
                                             MCC-MNC-Digit
MNC ::=
                                     SEQUENCE (SIZE (2..3)) OF
                                            MCC-MNC-Digit
MCC-MNC-Digit ::=
                                     INTEGER (0..9)
-- ASN1STOP
```

# **PLMN-Identity** field descriptions

#### mcc

The first element contains the first MCC digit, the second element the second MCC digit and so on. If the field is absent, it takes the same value as the mcc of the immediately preceding IE PLMN-Identity. See TS 23.003 [27].

#### mnc

The first element contains the first MNC digit, the second element the second MNC digit and so on. See TS 23.003 [27].

Conditional presence	Explanation	
MCC	This IE is mandatory when PLMN-Identity is included in CellGlobalIdEUTRA, in	
	CellGloballdUTRA, in CellGloballdGERAN or in RegisteredMME. This IE is also	
	mandatory in the first occurrence of the IE <i>PLMN-Identity</i> within the IE <i>PLMN-IdentityList</i> .	
	Otherwise it is optional, need OP.	

# PLMN-IdentityList3

Includes a list of PLMN identities.

# PLMN-IdentityList3 information element

```
-- ASN1START

PLMN-IdentityList3-rl1 ::= SEQUENCE (SIZE (1..16)) OF PLMN-Identity

-- ASN1STOP
```

# - PmaxNR

The IE *PmaxNR* concerns a list of *additionalPmax* and *additionalSpectrumEmission*, as defined in TS 38.101 [85], table 6.2.3-1 for a given frequency band.

# **PmaxNR** information element

```
-- ASN1START

P-MaxNR-r15 ::= INTEGER (-30..33)

-- ASN1STOP
```

# PreRegistrationInfoHRPD

```
-- ASN1START

PreRegistrationInfoHRPD ::= SEQUENCE {
    preRegistrationAllowed BOOLEAN,
    preRegistrationZoneId PreRegistrationZoneIdHRPD OPTIONAL, -- cond PreRegAllowed secondaryPreRegistrationZoneIdList SecondaryPreRegistrationZoneIdListHRPD OPTIONAL -- Need OR }

SecondaryPreRegistrationZoneIdListHRPD ::= SEQUENCE (SIZE (1..2)) OF PreRegistrationZoneIdHRPD

PreRegistrationZoneIdHRPD ::= INTEGER (0..255)

-- ASN1STOP
```

## PreRegistrationInfoHRPD field descriptions

#### preRegistrationAllowed

TRUE indicates that a UE shall perform a CDMA2000 HRPD pre-registration if the UE does not have a valid / current pre-registration. FALSE indicates that the UE is not allowed to perform CDMA2000 HRPD pre-registration in the current cell.

# preRegistrationZoneID

ColorCode (see C.S0024 [26], C.S0087 [44]) of the CDMA2000 Reference Cell corresponding to the HRPD sector under the HRPD AN that is configured for this LTE cell. It is used to control when the UE should register or re-register.

### secondaryPreRegistrationZoneldList

List of SecondaryColorCodes (see C.S0024 [26], C.S0087 [44]) of the CDMA2000 Reference Cell corresponding to the HRPD sector under the HRPD AN that is configured for this LTE cell. They are used to control when the UE should reregister.

Conditional presence	Explanation	
PreRegAllowed	The field is mandatory in case the preRegistrationAllowed is set to true. Otherwise the	
	field is not present and the UE shall delete any existing value for this field.	

### – Q-QualMin

The IE *Q-QualMin* is used to indicate for cell selection/ re-selection the required minimum received RSRQ level in the (E-UTRA) cell. Corresponds to parameter  $Q_{qualmin}$  in TS 36.304 [4]. Actual value  $Q_{qualmin}$  = field value [dB].

# Q-QualMin information element

```
-- ASN1START
Q-QualMin-r9 ::= INTEGER (-34..-3)
-- ASN1STOP
```

# – Q-RxLevMin

The IE Q-RxLevMin is used to indicate for cell selection/ re-selection the required minimum received RSRP level in the (E-UTRA) cell. Corresponds to parameter  $Q_{rxlevmin}$  in TS 36.304 [4]. Actual value  $Q_{rxlevmin}$  = field value \* 2 [dBm].

## Q-RxLevMin information element

```
-- ASN1START

Q-RxLevMin ::= INTEGER (-70..-22)

-- ASN1STOP
```

# Q-OffsetRange

The IE *Q-OffsetRange* is used to indicate a cell, CSI-RS resource or frequency specific offset to be applied when evaluating candidates for cell re-selection or when evaluating triggering conditions for measurement reporting. The value in dB. Value dB-24 corresponds to -24 dB, dB-22 corresponds to -22 dB and so on.

## Q-OffsetRange information element

# Q-OffsetRangeInterRAT

The IE *Q-OffsetRangeInterRAT* is used to indicate a frequency specific offset to be applied when evaluating triggering conditions for measurement reporting. The value in dB.

## Q-OffsetRangeInterRAT information element

```
-- ASN1START

Q-OffsetRangeInterRAT ::= INTEGER (-15..15)

-- ASN1STOP
```

# ReselectionThreshold

The IE *ReselectionThreshold* is used to indicate an Rx level threshold for cell reselection. Actual value of threshold = field value \* 2 [dB].

#### ReselectionThreshold information element

```
-- ASN1START

ReselectionThreshold ::= INTEGER (0..31)

-- ASN1STOP
```

# ReselectionThresholdQ

The IE *ReselectionThresholdQ* is used to indicate a quality level threshold for cell reselection. Actual value of threshold = field value [dB].

## ReselectionThresholdQ information element

```
-- ASN1START

ReselectionThresholdQ-r9 ::= INTEGER (0..31)

-- ASN1STOP
```

# RSS-ConfigCarrierInfo

The IE RSS-ConfigCarrierInfo contains RSS configuration for a carrier.

## RSS-ConfigCarrierInfo information element

## RSS-ConfigCarrierInfo field descriptions

#### narrowbandIndex

Bitmap containing narrowbands used for RSS deployment in the carrier. Narrowbands including central 6 PRBs are excluded from the bitmap. The RSS Cell Frequency Location of a specific cell is determined according to  $I_{RSS} = PCID$  MOD ( $3N_{NB}$ ) where  $I_{RSS}$  is the index of possible RSS frequency locations starting with the lowest location and  $N_{NB}$  is the number of narrowbands, determined from narrowbandIndex, such that there are three non-overlapping RSS locations in each narrowband.

#### timeOffsetGranularity

RSS Time Offset granularity (G<sub>RSS</sub>). Value *g1* corresponds to 1 frame, value *g2* corresponds to 2 frames, and so on. Only the following values of G<sub>RSS</sub> are applicable depending on the serving cell RSS periodicity (P<sub>RSS</sub>) given by parameter *periodicity* in *ce-RSS-Config-r15*:

```
G_{RSS} = \{1, 2, 4, 8, 16\} frames for P_{RSS} = 160 \text{ ms}
```

 $G_{RSS} = \{1, 2, 4, 8, 16, 32\}$  frames for  $P_{RSS} = 320 \text{ ms}$ 

 $G_{RSS} = \{2, 4, 8, 16, 32, 64\}$  frames for  $P_{RSS} = 640$  ms

 $G_{RSS} = \{4, 8, 16, 32, 64, 128\}$  frames for  $P_{RSS} = 1280$  ms.

The actual RSS time offset of a specific cell ( $O_{RSS}$ , see TS 36.211 [21] clause 6.11.3.2) in SFN radio frames is given by ( $X_{RSS} \times G_{RSS}$ ) +  $\Delta_{RSS}$  where:

- RSS Time Offset of a specific cell ( $X_{RSS}$ ) is determined based on its PCID using  $X_{RSS}$  = FLOOR (PCID/(3  $N_{NB}$ )) modulo  $M_{RSS}$ , and distributed across  $M_{RSS}$  time locations per  $P_{RSS}$  such that  $M_{RSS} = P_{RSS}$  /(10 ×  $G_{RSS}$ ); and
- $\Delta_{RSS}$  is calculated by using the serving cell  $X_{RSS}$  (i.e., based on serving cell PCID and parameters given in *ce-RSS-Config-r15*) such that serving cell  $O_{RSS} = (X_{RSS} \times G_{RSS}) + \Delta_{RSS}$ .

# RSS-MeasPowerBias

The IE *RSS-MeasPowerBias* indicates power bias in dB relative to Qoffset of neighbour cell CRS. Value *dB-6* corresponds to -6 dB, value *dB-3* corresponds to -3 dB and so on. Value *rssNotUsed* indicates measurement based on RSS is not applicable for the corresponding neighbour cell.

#### RSS-MeasPowerBias information element

```
-- ASN1START

RSS-MeasPowerBias-r16 ::= ENUMERATED {dB-6, dB-3, dB0, dB3, dB6, dB9, dB12, rssNotUsed}

-- ASN1STOP
```

# – SCellIndex

The IE SCellIndex concerns a short identity, used to identify an SCell.

## SCellIndex information element

```
-- ASN1START

SCellIndex-r10 ::= INTEGER (1..7)
SCellIndex-r13 ::= INTEGER (1..31)

-- ASN1STOP
```

# ServCellIndex

The IE ServCellIndex concerns a short identity, used to identify a serving cell (i.e. the PCell or an SCell). Value 0 applies for the PCell, while the SCellIndex that has previously been assigned applies for SCells.

#### ServCellIndex information element

```
-- ASN1START

ServCellIndex-r10 ::= INTEGER (0..7)

ServCellIndex-r13 ::= INTEGER (0..31)

-- ASN1STOP
```

# SpeedStateScaleFactors

The IE *SpeedStateScaleFactors* concerns factors, to be applied when the UE is in medium or high speed state, used for scaling a mobility control related parameter.

# SpeedStateScaleFactors information element

### SpeedStateScaleFactors field descriptions

#### sf-High

The concerned mobility control related parameter is multiplied with this factor if the UE is in High Mobility state as defined in TS 36.304 [4]. Value oDot25 corresponds to 0.25, oDot5 corresponds to 0.5, oDot75 corresponds to 0.75 and so on

# sf-Medium

The concerned mobility control related parameter is multiplied with this factor if the UE is in Medium Mobility state as defined in TS 36.304 [4]. Value oDot25 corresponds to 0.25, oDot5 corresponds to 0.5, oDot75 corresponds to 0.75 and so on.

# SystemInfoListGERAN

The IE SystemInfoListGERAN contains system information of a GERAN cell.

### SystemInfoListGERAN information element

```
-- ASN1START

SystemInfoListGERAN ::= SEQUENCE (SIZE (1..maxGERAN-SI)) OF OCTET STRING (SIZE (1..23))

-- ASN1STOP
```

# SystemInfoListGERAN field descriptions

# SystemInfoListGERAN

Each OCTET STRING contains one System Information (SI) message as defined in TS 44.018 [45], table 9.1.1, excluding the L2 Pseudo Length, the RR management Protocol Discriminator and the Skip Indicator or a complete Packet System Information (PSI) message as defined in TS 44.060 [36], table 11.2.1.

# SystemTimeInfoCDMA2000

The IE *SystemTimeInfoCDMA2000* informs the UE about the absolute time in the current cell. The UE uses this absolute time knowledge to derive the CDMA2000 Physical cell identity, expressed as PNOffset, of neighbour CDMA2000 cells.

NOTE: The UE needs the CDMA2000 system time with a certain level of accuracy for performing measurements as well as for communicating with the CDMA2000 network (HRPD or 1xRTT).

## SystemTimeInfoCDMA2000 information element

#### SystemTimeInfoCDMA2000 field descriptions

## asynchronousSystemTime

The CDMA2000 system time corresponding to the SFN boundary at or after the ending boundary of the SI-Window in which *SystemInformationBlockType8* is transmitted. E-UTRAN includes this field if the E-UTRA frame boundary is not aligned to the start of CDMA2000 system time. This field size is 49 bits and the unit is 8 CDMA chips based on 1.2288 Mcps.

#### cdma-EUTRA-Synchronisation

TRUE indicates that there is no drift in the timing between E-UTRA and CDMA2000. FALSE indicates that the timing between E-UTRA and CDMA2000 can drift. NOTE 1

#### synchronousSystemTime

CDMA2000 system time corresponding to the SFN boundary at or after the ending boundary of the SI-window in which *SystemInformationBlockType8* is transmitted. E-UTRAN includes this field if the E-UTRA frame boundary is aligned to the start of CDMA2000 system time. This field size is 39 bits and the unit is 10 ms based on a 1.2288 Mcps chip rate.

NOTE 1: The following table shows the recommended combinations of the *cdma-EUTRA-Synchronisation* field and the choice of cdma-SystemTime included by E-UTRAN for FDD and TDD:

FDD/TDD	cdma-EUTRA-Synchronisation	synchronousSystemTime	asynchronousSystemTime
FDD	FALSE	Not Recommended	Recommended
FDD	TRUE	Recommended	Recommended
TDD	FALSE	Not Recommended	Recommended
TDD	TRUE	Recommended	Recommended

# ThresholdNR

The IE *ThresholdNR* and IE *ThresholdListNR* contain thresholds for NR related inter-RAT measurements.

# ThresholdNR information element

```
nr-RSRQ-r15 RSRQ-RangeNR-r15 OPTIONAL, -- Need OR nr-SINR-r15 RS-SINR-RangeNR-r15 OPTIONAL -- Need OR }
-- ASN1STOP
```

# TLE-EphemerisParameters

The IE *TLE-EphemerisParameters* provides satellite ephemeris parameters based on the CCSDS orbit mean-elements message (OMM) format, see [111]. The reference frame for SGP4 propagator and SGP4 parameter generation is TEME as per the NORAD Space Track standard.

## TLE-EphemerisParameters information element

```
-- ASN1START
TLE-EphemerisParameters-r17 ::= SEQUENCE {
    inclination-r17
                                   INTEGER (0..2097151),
                                   INTEGER (0..4194303),
    argumentPerigee-r17
                                      INTEGER (0..4194303),
   rightAscension-r17
   meanAnomaly-r17
                                   INTEGER (0..4194303),
                                    INTEGER (0..16777215),
   eccentricity-r17
                                       INTEGER (0..17179869183),
    meanMotion-r17
                                       INTEGER (-99999..99999),
   bStarDecimal-r17
   bStarExponent-r17
                                       INTEGER (-9..9),
    epochStar-r17
                                       INTEGER (-1048575..1048575)
-- ASN1STOP
```

### TLE-EphemerisParameters field descriptions

#### argumentPerigee

Argument of perigee, see [111] Table 4-3: OMM Data. Unit in degree.

Step of 360 / 4194303 degree. Actual value = field value \* (360 / 4194303).

#### bStarDecimal

Decimal part of B\*, see [111] Table 4-3: OMM Data. Unit in inverse Earth radii.

Step of 0.00001. Actual value = field value \* 0.00001.

# bStarExponent

Exponent part of B\*, see [111] Table 4-3: OMM Data.

#### eccentricity

Eccentricity, see [111] Table 4-3: OMM Data.

Step of 0.9999999 / 16777215. Actual value = field value \* (0.9999999 / 16777215).

# epochStar

Time offset to the beginning of the current week (Monday 00:00:00 UTC) of the Epoch. Unit in second.

#### inclination

Inclination, see [111] Table 4-3: OMM Data. Unit in degree.

Step of 180 / 2097151 degree. Actual value = field value \* (180 / 2097151).

## meanAnomaly

Mean anomaly at epoch time, see [111] Table 4-3: OMM Data. Unit in degree.

Step of 360 / 4194303 degree. Actual value = field value \* (360 / 4194303).

#### meanMotion

Mean motion at epoch time, see [111] Table 4-3: OMM Data]. Unit in revolution/day.

Step of 99.9999999 / 17179869183 rev/day. Actual value = field value \* (99.99999999 / 17179869183).

#### rightAscension

Right ascension of ascending node, see [111] Table 4-3: OMM Data. Unit in degree.

Step of 360 / 4194303 degree. Actual value = field value \* (360 / 4194303).

# TrackingAreaCode

The IE *TrackingAreaCode* is used to identify a tracking area within the scope of a PLMN, see TS 24.301 [35].

## TrackingAreaCode information element

```
-- ASN1START

TrackingAreaCode ::= BIT STRING (SIZE (16))

TrackingAreaCode-5GC-r15 ::= BIT STRING (SIZE (24))
```

```
-- ASN1STOP
```

# T-Reselection

The IE *T-Reselection* concerns the cell reselection timer Treselection<sub>RAT</sub> for E-UTRA, UTRA, GERAN or CDMA2000. Value in seconds. For value 0, behaviour as specified in 7.3.2 applies.

#### T-Reselection information element

```
-- ASN1START

T-Reselection ::= INTEGER (0..7)

-- ASN1STOP
```

## T-ReselectionEUTRA-CE

The IE *T-ReselectionEUTRA-CE* concerns the cell reselection timer Treselection<sub>EUTRA\_CE</sub> as specified in TS 36.304 [4]. Value in seconds. For value 0, behaviour as specified in 7.3.2 applies.

#### T-ReselectionEUTRA-CE information element

```
-- ASN1START

T-ReselectionEUTRA-CE-r13 ::= INTEGER (0..15)

-- ASN1STOP
```

# 6.3.5 Measurement information elements

# AllowedMeasBandwidth

The IE *AllowedMeasBandwidth* is used to indicate the maximum allowed measurement bandwidth on a carrier frequency as defined by the parameter Transmission Bandwidth Configuration "N<sub>RB</sub>" TS 36.104 [47]. The values mbw6, mbw15, mbw25, mbw50, mbw75, mbw100 indicate 6, 15, 25, 50, 75 and 100 resource blocks respectively.

# AllowedMeasBandwidth information element

```
-- ASN1START

AllowedMeasBandwidth ::= ENUMERATED {mbw6, mbw15, mbw25, mbw50, mbw75, mbw100}

-- ASN1STOP
```

# - BT-NameList

The IE BT-NameList is used to indicate the names of the Bluetooth beacon which the UE is configured to measure.

## BT-NameList information element

```
-- ASN1START

BT-NameListConfig-r15 ::= CHOICE{
    release NULL,
    setup BT-NameList-r15
}

BT-NameList-r15 ::= SEQUENCE (SIZE (1..maxBT-Name-r15)) OF BT-Name-r15

BT-Name-r15 ::= OCTET STRING (SIZE (1..248))

-- ASN1STOP
```

### BT-NameList field descriptions

#### bt-Name

If configured, the UE only performs Bluetooth measurements according to the names identified. For each name, it refers to LOCAL NAME defined in Bluetooth specification [93].

# – CSI-RSRP-Range

The IE *CSI-RSRP-Range* specifies the value range used in CSI-RSRP measurements and thresholds. Integer value for CSI-RSRP measurements according to mapping table in TS 36.133 [16].

# CSI-RSRP-Range information element

```
-- ASN1START

CSI-RSRP-Range-r12 ::= INTEGER(0..97)

-- ASN1STOP
```

# – Hysteresis

The IE *Hysteresis* is a parameter used within the entry and leave condition of an event triggered reporting condition. The actual value is field value \* 0.5 dB, except if included in *reportConfigEUTRA* and associated to *eventV1* or *eventV2*. If included in *reportConfigEUTRA* and associated to *eventV1* or *eventV2*, the actual value is field value divided by 100.

# Hysteresis information element

```
-- ASN1START

Hysteresis ::= INTEGER (0..30)

-- ASN1STOP
```

### LocationInfo

The IE *LocationInfo* is used to transfer detailed location information available at the UE to correlate measurements and UE position information.

#### LocationInfo information element

```
-- ASN1START
LocationInfo-r10 ::=
                        SEQUENCE {
    locationCoordinates-r10
                                             CHOICE {
        ellipsoid-Point-r10
                                                OCTET STRING,
        ellipsoidPointWithAltitude-r10
                                                OCTET STRING,
        ellipsoidPointWithUncertaintyCircle-r11
                                                                 OCTET STRING,
        ellipsoidPointWithUncertaintyEllipse-r11
                                                                 OCTET STRING,
        ellipsoidPointWithAltitudeAndUncertaintyEllipsoid-rll
                                                                 OCTET STRING,
        ellipsoidArc-r11
                                                                 OCTET STRING,
                                                                 OCTET STRING
        polygon-r11
    horizontalVelocity-r10
                                                                         OPTIONAL,
                                             OCTET STRING
    gnss-TOD-msec-r10
                                            OCTET STRING
                                                                         OPTIONAL,
       verticalVelocityInfo-r15
                                        CHOICE {
            verticalVelocity-r15
                                                 OCTET STRING,
            verticalVelocityAndUncertainty-r15 OCTET STRING
                OPTIONAL
    11
-- ASN1STOP
```

## LocationInfo field descriptions

#### ellipsoidArc

Parameter *EllipsoidArc* defined in TS 36.355 [54]. The first/leftmost bit of the first octet contains the most significant bit.

#### ellipsoid-Point

Parameter *Ellipsoid-Point* defined in TS 36.355 [54]. The first/leftmost bit of the first octet contains the most significant bit.

#### ellipsoidPointWithAltitude

Parameter *EllipsoidPointWithAltitude* defined in TS 36.355 [54]. The first/leftmost bit of the first octet contains the most significant bit.

## ellipsoidPointWithAltitudeAndUncertaintyEllipsoid

Parameter *EllipsoidPointWithAltitudeAndUncertaintyEllipsoid* defined in TS 36.355 [54]. The first/leftmost bit of the first octet contains the most significant bit.

### ellipsoidPointWithUncertaintyCircle

Parameter *Ellipsoid-PointWithUncertaintyCircle* defined in TS 36.355 [54]. The first/leftmost bit of the first octet contains the most significant bit.

#### ellipsoidPointWithUncertaintyEllipse

Parameter *EllipsoidPointWithUncertaintyEllipse* defined in TS 36.355 [54]. The first/leftmost bit of the first octet contains the most significant bit.

#### gnss-TOD-msec

Parameter *Gnss-TOD-msec* defined in TS 36.355 [54]. The first/leftmost bit of the first octet contains the most significant bit.

#### horizontalVelocity

Parameter *HorizontalVelocity* defined in TS 36.355 [54]. The first/leftmost bit of the first octet contains the most significant bit.

### polygon

Parameter Polygon defined in TS 36.355 [54]. The first/leftmost bit of the first octet contains the most significant bit.

#### verticalVelocityAndUncertainty

Parameter *verticalVelocityAndUncertainty* corresponds to *horizontalWithVerticalVelocityAndUncertainty* defined in TS 36.355 [54]. The first/leftmost bit of the first octet contains the most significant bit.

# verticalVelocity

Parameter *verticalVelocity* corresponds to *horizontalWithVerticalVelocity* defined in TS 36.355 [54]. The first/leftmost bit of the first octet contains the most significant bit.

# LogMeasResultListBT

The IE *LogMeasResultListBT* covers measured results for Bluetooth.

# LogMeasResultListBT information element

#### LogMeasResultListBT field descriptions

# bt-Addr

This field indicates the Bluetooth public address of the Bluetooth beacon as defined in TS 36.355 [54].

#### rssi-BT

This field provides the beacon received signal strength indicator (RSSI) in dBm as defined in TS 36.355 [54].

# LogMeasResultListWLAN

The IE *LogMeasResultListWLAN* covers measured results for WLAN.

# LogMeasResultListWLAN information element

# LogMeasResultListWLAN field descriptions

#### rssiWLAN

Measured WLAN RSSI result in dBm.

#### rtt-WLAN

This field provides the measured round trip time between the target device and WLAN AP and optionally the accuracy expressed as the standard deviation of the delay. Units for each of these are 1000ns, 100ns, 10ns, 1ns, and 0.1ns as defined in TS 36.355 [54].

#### wlan-Identifiers

Indicates the WLAN parameters used for identification of the WLAN for which the measurement results are applicable.

# – MaxRS-IndexCellQualNR

The IE *MaxRS-IndexCellQualNR* indicates the maximum number of RS indices to be considered/ averaged to derive the cell quality for RRM.

#### MaxRS-IndexCellQualNR information element

```
-- ASN1START

MaxRS-IndexCellQualNR-r15::= INTEGER (1..maxRS-IndexCellQual-r15)

-- ASN1STOP
```

# – MBSFN-RSRQ-Range

The IE *MBSFN-RSRQ-Range* specifies the value range used in MBSFN RSRQ measurements. Integer value for MBSFN RSRQ measurements according to mapping table in TS 36.133 [16].

# MBSFN-RSRQ-Range information element

```
-- ASN1START

MBSFN-RSRQ-Range-r12 ::= INTEGER(0..31)

-- ASN1STOP
```

# – MeasConfig

The IE *MeasConfig* specifies measurements to be performed by the UE, and covers intra-frequency, inter-frequency and inter-RAT mobility as well as configuration of measurement gaps.

# MeasConfig information element

```
-- ASN1START

MeasConfig ::= SEQUENCE {
    -- Measurement objects
    measObjectToRemoveList MeasObjectToRemoveList OPTIONAL, -- Need ON
    measObjectToAddModList MeasObjectToAddModList OPTIONAL, -- Need ON
    -- Reporting configurations
```

```
reportConfigToRemoveList
                                        ReportConfigToRemoveList
                                                                            OPTIONAL,
                                                                                         -- Need ON
                                        ReportConfigToAddModList
    reportConfigToAddModList
                                                                            OPTIONAL,
                                                                                         -- Need ON
    -- Measurement identities
                                                                            OPTIONAL,
    measIdToRemoveList
                                        MeasIdToRemoveList
                                                                                        -- Need ON
    measIdToAddModList
                                        MeasIdToAddModList
                                                                            OPTIONAL,
                                                                                        -- Need ON
     - Other parameters
                                        QuantityConfig
    quantityConfig
                                                                            OPTIONAL,
                                                                                        -- Need ON
                                                                            OPTIONAL,
                                                                                        -- Need ON
    measGapConfig
                                        MeasGapConfig
                                                                                        -- Need ON
    s-Measure
                                        RSRP-Range
                                                                            OPTIONAL,
    preRegistrationInfoHRPD
                                        PreRegistrationInfoHRPD
                                                                            OPTIONAL,
                                                                                        -- Need OP
    speedStatePars
                          CHOICE {
                                            NULT.
        release
        setup
                                            SEOUENCE {
            mobilityStateParameters
                                                MobilityStateParameters,
            timeToTrigger-SF
                                                SpeedStateScaleFactors
    }
                                                                            OPTIONAL,
                                                                                        -- Need ON
    [[ measObjectToAddModList-v9e0
                                            MeasObjectToAddModList-v9e0
                                                                            OPTIONAL
                                                                                         -- Need ON
    ]],
                                            BOOLEAN
    [[ allowInterruptions-r11
                                                                            OPTIONAL
                                                                                        -- Need ON
    ]],
       measScaleFactor-r12
                                    CHOICE {
    [ [
           release
                                       NULL,
            setup
                                        MeasScaleFactor-r12
                                                                        OPTIONAL,
                                                                                   -- Need ON
                                                                            OPTIONAL, -- Need ON OPTIONAL, -- Need ON
        measIdToRemoveListExt-r12
                                            MeasIdToRemoveListExt-r12
                                            MeasIdToAddModListExt-r12
                                                                            OPTIONAL,
        measIdToAddModListExt-r12
        measRSRQ-OnAllSymbols-r12
                                        BOOLEAN
                                                                        OPTIONAL -- Need ON
    ]],
    [ [
        measObjectToRemoveListExt-r13
                                            MeasObjectToRemoveListExt-r13
                                                                            OPTIONAL,
                                                                                         -- Need ON
        measObjectToAddModListExt-r13
                                            MeasObjectToAddModListExt-r13
                                                                            OPTIONAL,
                                                                                         -- Need ON
                                                                            OPTIONAL,
                                                                                        -- Need ON
        measIdToAddModList-v1310
                                            MeasIdToAddModList-v1310
        measIdToAddModListExt-v1310
                                            MeasIdToAddModListExt-v1310
                                                                            OPTIONAL
                                                                                         -- Need ON
                                                                            OPTIONAL,
    [[ measGapConfigPerCC-List-r14
                                            MeasGapConfigPerCC-List-r14
                                                                                         -- Need ON
       measGapSharingConfig-r14
                                            MeasGapSharingConfig-r14
                                                                            OPTIONAL
                                                                                        -- Need ON
    1],
    [[ fr1-Gap-r15
                                                BOOLEAN
                                                            OPTIONAL,
                                                                       -- Need ON
        mgta-r15
                                                BOOLEAN
                                                                            OPTIONAL
                                                                                         -- Need ON
    11.
    [ [
       measGapConfigDensePRS-r15
                                            MeasGapConfigDensePRS-r15 OPTIONAL,
                                                                                    -- Need ON
        heightThreshRef-r15 CHOICE {
           release
                                    NULL,
            setup
                                    INTEGER (0..31)
                                                OPTIONAL
                                                            --Need ON
    11
MeasIdToRemoveList ::=
                                    SEQUENCE (SIZE (1..maxMeasId)) OF MeasId
MeasIdToRemoveListExt-r12 ::=
                                    SEQUENCE (SIZE (1..maxMeasId)) OF MeasId-v1250
MeasObjectToRemoveList ::=
                                    SEQUENCE (SIZE (1..maxObjectId)) OF MeasObjectId
MeasObjectToRemoveListExt-r13 ::=
                                    SEQUENCE (SIZE (1..maxObjectId)) OF MeasObjectId-v1310
ReportConfigToRemoveList ::=
                                    SEQUENCE (SIZE (1..maxReportConfigId)) OF ReportConfigId
-- ASN1STOP
```

### MeasConfig field descriptions

#### allowInterruptions

Value TRUE indicates that the UE is allowed to cause interruptions to serving cells when performing measurements of deactivated SCell carriers for *measCycleSCell* of less than 640ms, as specified in TS 36.133 [16]. E-UTRAN enables this field only when an SCell is configured.

#### fr1-Gap

Indicates whether the gap is only applicable for measurements on FR1. E-UTRAN sets this field to *TRUE* only when the UE is configured with (NG)EN-DC.

#### heightThreshRef

Reference height threshold for *eventH1* and *eventH2* in *reportConfig.* Value 0 refers to -420m, value 1 refers to - 120m, and so on until value 30 refers to 8880m. The actual value is height in meters relative to sea level. Value 31 is reserved.

# measGapConfig

Used to setup and release measurement gaps. E-UTRAN includes either measGapConfig or measGapConfigPerCC-List, if any.

#### meas Gap Config Dense PRS

Used to setup and release additional measurement gap pattern with dense PRS configuration as specified in TS 36.133 [16], Table 8.1.2.1-3. E-UTRAN configures this field only when UE indicates the preference of measurement gap configuration for dense PRS, i.e., *measPRS-Offset-r15*.

#### measGapConfigPerCC-List

Used to setup and release serving cell sepecific measurement gaps. E-UTRAN includes either *measGapConfig* or *measGapConfigPerCC*-List, if any.

## measGapSharingConfig

Used to setup and release measurement gap sharing for intra- and inter-frequency measurement as specified in TS 36.133 [16].

#### measIdToAddModList

List of measurement identities. Field *measIdToAddModListExt* includes additional measurement identities i.e. extends the size of the measurement identity list using the general principles specified in 5.1.2. If E-UTRAN includes *measIdToAddModList-v1310* it includes the same number of entries, and listed in the same order, as in *measIdToAddModList* (i.e. without suffix). If E-UTRAN includes *measIdToAddModListExt-v1310*, it includes the same number of entries, and listed in the same order, as in *measIdToAddModListExt-r12*.

#### measIdToRemoveList

List of measurement identities to remove. Field *measIdToRemoveListExt* includes additional measurement identities i.e. extends the size of the measurement identity list using the general principles specified in 5.1.2.

# measObjectToAddModList

If E-UTRAN includes measObjectToAddModList-v9e0 it includes the same number of entries, and listed in the same order, as in measObjectToAddModList (i.e. without suffix). Field measObjectToAddModListExt includes additional measurement object identities i.e. extends the size of the measurement object identity list using the general principles specified in 5.1.2.

# measObjectToRemoveList

List of measurement objects to remove. Field *measObjectToRemoveListExt* includes additional measurement object identities i.e. extends the size of the measurement object identity list using the general principles specified in 5.1.2.

### measRSRQ-OnAllSymbols

Value *TRUE* indicates that the UE shall, when performing RSRQ measurements, perform RSRQ measurement on all OFDM symbols in accordance with TS 36.214 [48]. If *widebandRSRQ-Meas* is enabled for the frequency in *MeasObjectEUTRA*, the UE shall, when performing RSRQ measurements, perform RSRQ measurement on all OFDM symbols with wider bandwidth for concerned frequency in accordance with TS 36.214 [48].

#### measScaleFactor

Even if reducedMeasPerformance is not included in any measObjectEUTRA or measObjectUTRA, E-UTRAN may configure this field. The UE behavior is specified in TS 36.133 [16].

#### mgta

Indicates whether a timing advance value of 0.5 ms is applicable to the measurement gap configuration provided by E-UTRAN according to TS 38.133 [84]. E-UTRAN sets *mgta* to TRUE only when the UE is configured to perform NR measurements.

## preRegistrationInfoHRPD

The CDMA2000 HRPD Pre-Registration Information tells the UE if it should pre-register with the CDMA2000 HRPD network and identifies the Pre-registration zone to the UE.

# reportConfigToRemoveList

List of measurement reporting configurations to remove.

## s-Measure

PCell (or PSCell, if the UE is in NE-DC) quality threshold controlling whether or not the UE is required to perform measurements of intra-frequency, inter-frequency and inter-RAT neighbouring cells. Value "0" indicates to disable *s-Measure*.

# timeToTrigger-SF

The timeToTrigger in ReportConfigEUTRA and in ReportConfigInterRAT are multiplied with the scaling factor applicable for the UE's speed state.

# MeasDS-Config

The IE MeasDS-Config specifies information applicable for discovery signals measurement.

# MeasDS-Config information elements

```
-- ASN1START
MeasDS-Config-r12 ::=
                                 CHOICE {
                                     NULL,
    release
                                     SEQUENCE {
    setup
        dmtc-PeriodOffset-r12
                                     CHOICE {
            ms40-r12
                                              INTEGER(0..39),
            ms80-r12
                                              INTEGER(0..79),
            ms160-r12
                                              INTEGER(0..159),
        ds-OccasionDuration-r12 CHOICE {
   durationFDD-r12 INTEGER(1..maxDS-Duration-r12),
   durationTDD-r12 INTEGER(2..maxDS-Duration-r12)
        measCSI-RS-ToRemoveList-r12 MeasCSI-RS-ToRemoveList-r12 OPTIONAL, -- Need ON
        measCSI-RS-ToAddModList-r12 MeasCSI-RS-ToAddModList-r12 OPTIONAL, -- Need ON
}
MeasCSI-RS-ToRemoveList-r12 ::= SEQUENCE (SIZE (1..maxCSI-RS-Meas-r12)) OF MeasCSI-RS-Id-r12
MeasCSI-RS-ToAddModList-r12 ::= SEQUENCE (SIZE (1..maxCSI-RS-Meas-r12)) OF MeasCSI-RS-Config-r12
MeasCSI-RS-Id-r12 ::=
                                INTEGER (1..maxCSI-RS-Meas-r12)
MeasCSI-RS-Id-r12,
                                    INTEGER (0..503),
INTEGER (0..503),
    physCellId-r12
   scramblingIdentity-r12
resourceConfig-r12
subframeOffset-r12
                                    INTEGER (0..31),
                                     INTEGER (0..4),
   csi-RS-IndividualOffset-r12 Q-OffsetRange,
-- ASN1STOP
```

# MeasDS-Config field descriptions

#### csi-RS-IndividualOffset

CSI-RS individual offset applicable to a specific CSI-RS resource. Value dB-24 corresponds to -24 dB, dB-22 corresponds to -22 dB and so on.

#### dmtc-PeriodOffset

Indicates the discovery signals measurement timing configuration (DMTC) periodicity (*dmtc-Periodicity*) and offset (*dmtc-Offset*) for this frequency. For DMTC periodicity, value ms40 corresponds to 40ms, ms80 corresponds to 80ms and so on. The value of DMTC offset is in number of subframe(s). The duration of a DMTC occasion is 6ms.

#### ds-OccasionDuration

Indicates the duration of discovery signal occasion for this frequency. Discovery signal occasion duration is common for all cells transmitting discovery signals on one frequency. If the *carrierFreq* in the measurement object is on an unlicensed band as specified in [42], the UE shall ignore the field *ds-OccasionDuration* for the carrier frequency and apply a value 1 instead.

# measCSI-RS-ToAddModList

List of CSI-RS resources to add/ modify in the CSI-RS resource list for discovery signals measurement.

# measCSI-RS-ToRemoveList

List of CSI-RS resources to remove from the CSI-RS resource list for discovery signals measurement.

#### physCellId

Indicates the physical cell identity where UE may assume that the CSI-RS and the PSS/SSS/CRS corresponding to the indicated physical cell identity are quasi co-located with respect to average delay and doppler shift.

#### resourceConfid

Parameter: CSI reference signal configuration, see TS 36.211 [21], tables 6.10.5.2-1 and 6.10.5.2-2. If the *carrierFreq* in the measurement object is on an unlicensed band as specified in TS 36.101 [42], E-UTRAN does not configure the values {0, 4, 5, 9, 10, 11, 18, 19}.

#### scramblingIdentity

Parameter: Pseudo-random sequence generator parameter,  $n_{\rm ID}$ , see TS 36.213 [23], clause 7.2.5.

#### subframeOffset

Indicates the subframe offset between SSS of the cell indicated by physCellId and the CSI-RS resource in a discovery signal occasion. The field *subframeOffset* is set to values 0 if the *carrierFreq* in the measurement object is on an unlicensed band as specified in TS 36.101 [42].

# MeasGapConfig

The IE *MeasGapConfig* specifies the measurement gap configuration and controls setup/ release of measurement gaps.

### MeasGapConfig information element

```
-- ASN1START
MeasGapConfig ::=
                                     CHOICE {
   release
                                        NULL,
                                         SEQUENCE {
    setup
                                             CHOICE {
        gapOffset
                gp0
                                                      INTEGER (0..39),
                                                      INTEGER (0..79),
                qp1
                                                      INTEGER (0..39),
                gp2-r14
                gp3-r14
                                                     INTEGER (0..79),
                gp-ncsg0-r14
                                                      INTEGER (0..39),
                gp-ncsg1-r14
                                                     INTEGER (0..79),
                gp-ncsg2-r14
                                                     INTEGER (0..39),
                gp-ncsg3-r14
                                                     INTEGER (0..79),
                                                     INTEGER (0..1279),
                qp-nonUniform1-r14
                gp-nonUniform2-r14
                                                     INTEGER (0..2559),
                gp-nonUniform3-r14
                                                      INTEGER (0..5119)
                gp-nonUniform4-r14
                                                     INTEGER (0..10239),
                qp4-r15
                                                      INTEGER (0..19),
                                                     INTEGER (0..159)
                gp5-r15
                gp6-r15
                                                     INTEGER (0..19),
                                                      INTEGER (0..39),
                gp7-r15
                gp8-r15
                                                     INTEGER (0..79),
                gp9-r15
                                                      INTEGER (0..159),
                gp10-r15
                                                      INTEGER (0..19)
                gp11-r15
                                                     INTEGER (0..159)
   }
```

-- ASN1STOP

## MeasGapConfig field descriptions

# gapOffset

Value gapOffset of gp0 corresponds to gap offset of Gap Pattern Id "0" with MGRP = 40ms, gapOffset of gp1 corresponds to gap offset of Gap Pattern Id "1" with MGRP = 80ms, gapOffset of gp2 corresponds to gap offset of Gap Pattern Id "2" with MGRP = 40ms and MGL = 3ms, gapOffset of gp3 Gap Pattern Id "3" with MGRP = 80ms and MGL = 3ms, gapOffset of gp-ncsg0 corresponds to gap offset of NCSG Pattern Id "0" with VIRP = 40ms and ML = 4ms, gapOffset of gp-ncsg1 corresponds to gap offset of of NCSG Pattern Id "1" with VIRP = 80ms and ML = 4ms, gapOffset of gp-ncsg2 corresponds to gap offset of NCSG Pattern Id "2" with VIRP = 40ms and ML = 3ms, gapOffset of gp-ncsg3 corresponds to gap offset of of NCSG Pattern Id "3" with VIRP = 80ms and ML =3ms. gapOffset of gpnonUniform1 corresponds to gap offset of non uniform gap pattern Id "1" with LMGRP = 1280ms, gapOffset of gpnonUniform2 corresponds to gap offset of non uniform gap pattern Id "2" with LMGRP = 2560ms, gapOffset of gpnonUniform3 corresponds to gap offset of non uniform gap pattern Id "3" with LMGRP = 5120ms, gapOffset of gpnonUniform4 corresponds to gap offset of non uniform gap pattern Id "4" with LMGRP = 10240ms. Also used to specify the measurement gap pattern to be applied, as defined in TS 36.133 [16]. For Gap Patterns (including nonuniform gap patterns, but excluding NCSG patterns), E-UTRAN includes the same gapOffset value (gap pattern id and gap offset) for all serving cells that are configured with a Gap Pattern. For NCSG Patterns, E-UTRAN includes gapOffset value indicating VIRP and gap offset consistent with the Gap Pattern configuration (MGRP and gap offset). Value gapOffset of gp4, gp5,...,gp11 are corresponding to gap pattern with Gap Pattern ID 4, 5,...11 respectively, see TS 38.133 [84], Table 9.1.2-1. Value *gp4*, *gp5*, ..., *gp11* can be applied for (NG)EN-DC, see TS 38.133 [84], Table 9.1.2-2

### servCellId

Identifies the serving cell for which measurement gap configuration is provided (setup) or deleted (release).

# MeasGapConfigDensePRS

The IE *MeasGapConfigDensePRS* specifies the additional measurement gap pattern configuration for RSTD measurements with dense PRS configuration, see TS 36.133 [16], Table 8.1.2.1-3. Measurement gaps are configured according to applicability rules specified in 36.133 [16], Table 8.1.2.1-3.

### MeasGapConfigDensePRS information element

```
-- ASN1START
MeasGapConfigDensePRS-r15 ::=
                                CHOICE {
    release
                                     SEQUENCE {
    setup
        gapOffsetDensePRS-r15
                                         CHOICE {
            rstd0-r15
                                             INTEGER (0..79),
            rstd1-r15
                                             INTEGER (0..159),
            rstd2-r15
                                             INTEGER (0..319),
            rstd3-r15
                                             INTEGER (0..639),
            rstd4-r15
                                             INTEGER (0..1279),
                                             INTEGER (0..159),
            rstd5-r15
                                             INTEGER (0..319)
            rstd6-r15
            rstd7-r15
                                             INTEGER (0..639)
            rstd8-r15
                                             INTEGER (0..1279),
            rstd9-r15
                                             INTEGER (0..319),
                                             INTEGER (0..639),
            rstd10-r15
            rstd11-r15
                                             INTEGER (0..1279),
            rstd12-r15
                                             INTEGER (0..319),
                                             INTEGER (0..639),
            rstd13-r15
                                             INTEGER (0..1279),
            rstd14-r15
            rstd15-r15
                                             INTEGER (0..639),
            rstd16-r15
                                             INTEGER (0..1279),
            rstd17-r15
                                             INTEGER (0..639),
                                            INTEGER (0..1279),
            rstd18-r15
            rstd19-r15
                                             INTEGER (0..639)
            rstd20-r15
                                             INTEGER (0..1279),
-- ASN1STOP
```

# MeasGapConfigDensePRS field descriptions

# gapOffsetDensePRS

Indicates the gap offset for performing RSTD measurements with dense PRS configurations as specified in 5.5.2.9a corresponding to measurement gap pattern ID specified in TS 36.133 [16].

# MeasGapConfigPerCC-List

The IE *MeasGapConfigPerCC-List* specifies the measurement gap configuration and controls setup/ release of measurement gaps.

# MeasGapConfigPerCC-List information element

```
-- ASN1START
MeasGapConfigPerCC-List-r14 ::= CHOICE {
    release
                               NULL,
                               SEOUENCE {
       measGapConfigToRemoveList-r14 MeasGapConfigToRemoveList-r14
                                                                      OPTIONAL,
                                                                                   -- Need ON
       measGapConfigToAddModList-r14 MeasGapConfigToAddModList-r14 OPTIONAL
                                                                                   -- Need ON
MeasGapConfigToRemoveList-r14 ::=
                                  SEQUENCE (SIZE (1..maxServCell-r13)) OF ServCellIndex-r13
MeasGapConfigToAddModList-r14 ::= SEQUENCE (SIZE (1..maxServCell-r13)) OF MeasGapConfigPerCC-r14
MeasGapConfigPerCC-r14 ::= SEQUENCE {
                               ServCellIndex-r13,
    servCellId-r14
    measGapConfigCC-r14
                               MeasGapConfig
-- ASN1STOP
```

## MeasGapConfigPerCC-List field descriptions

#### measGapConfigToAddModList

List of serving cells and corresponding serving cell specific measurement gap configuration to add /modify.

# measGapConfigToRemoveList

List of serving cells for which measurement gap configuration is removed.

# MeasGapSharingConfig

The IE *MeasGapSharingConfig* specifies the measurement gap sharing scheme and controls setup/ release of measurement gap sharing.

# MeasGapSharingConfig information element

```
-- ASN1START

MeasGapSharingConfig-r14 ::= CHOICE {
    release NULL,
    setup SEQUENCE {
        measGapSharingScheme-r14 ENUMERATED {scheme00, scheme01, scheme11}
    }
}

-- ASN1STOP
```

# MeasGapSharingConfig field descriptions

# measGapSharingScheme

Indicates the measurement gaps sharing scheme for BL UEs in CE mode A and CE mode B and for (NG)EN-DC (for the measurement gap configured by E-UTRAN). For BL UEs, see TS 36.133 [16], Table 8.13.2.1.1.1-2 and Table 8.13.3.1.1.1-3. For (NG)EN-DC, see TS 36.133 [16], Table 8.17.1.1-1. Value *scheme00* corresponds to "00", value *scheme01* corresponds to "01", and so on.

## – Measld

The IE *MeasId* is used to identify a measurement configuration, i.e., linking of a measurement object and a reporting configuration.

#### MeasId information element

```
-- ASN1START

MeasId ::= INTEGER (1..maxMeasId)

MeasId-v1250 ::= INTEGER (maxMeasId-Plus1..maxMeasId-r12)

-- ASN1STOP
```

# MeasIdleConfig

The IE *MeasIdleConfig* is used to convey information to UE about measurements requested to be done while in RRC\_IDLE or RRC\_INACTIVE.

# MeasIdleConfig information element

```
-- ASN1START
MeasIdleConfigSIB-r15 ::= SEQUENCE {
   measIdleCarrierListEUTRA-r15
                                   EUTRA-CarrierList-r15,
MeasIdleConfigSIB-NR-r16 ::= SEQUENCE {
   measIdleCarrierListNR-r16 NR-CarrierList-r16,
}
MeasIdleConfigDedicated-r15 ::= SEQUENCE {
   measIdleCarrierListEUTRA-r15 EUTRA-CarrierList-r15
                                                                      OPTIONAL, -- Need OR
   measIdleDuration-r15 ENUMERATED {sec10, sec30, sec60, sec120,
                                              sec180, sec240, sec300, spare},
   Π
   measIdleCarrierListNR-r16 NR-CarrierList-r16 validityAreaList-r16 ValidityAreaList-r1
                                                                      OPTIONAL, -- Need OR
                                   ValidityAreaList-r16
                                                                      OPTIONAL
EUTRA-CarrierList-r15 ::= SEQUENCE (SIZE (1..maxFreqIdle-r15)) OF MeasIdleCarrierEUTRA-r15
NR-CarrierList-r16 ::= SEQUENCE (SIZE (1..maxFreqIdle-r15)) OF MeasIdleCarrierNR-r16
MeasIdleCarrierEUTRA-r15::=
                                 SEQUENCE {
                                      ARFCN-ValueEUTRA-r9,
   carrierFreg-r15
   allowedMeasBandwidth-r15
validityArea-r15
measCellList-r15
                                      AllowedMeasBandwidth,
                                      CellList-r15
                                                                      OPTIONAL,
                                                                                  -- Need OR
   -- Need OR
                                                                      OPTIONAL,
                                                                     OPTIONAL,
                                                                                  -- Need OR
                                                                      OPTIONAL
                                                                                  -- Need OR
                                                                                  -- Need OP
                                                                      OPTIONAL,
ValidityAreaList-r16 ::= SEQUENCE (SIZE (1..maxFreqIdle-r15)) OF ValidityArea-r16
ValidityArea-r16 ::= SEQUENCE {
   carrierFreq-r16 ARFCN-ValueEUTRA-r9, validityCellList-r16 ValidityCellList
   validityCellList-r16
                          ValidityCellList-r16
                                                                      OPTIONAL -- Need ON
ValidityCellList-r16 ::= SEQUENCE (SIZE (1.. maxCellMeasIdle-r15)) OF PhysCellIdRange
MeasIdleCarrierNR-r16 ::=
                              SEQUENCE {
                               ARFCN-ValueNR-r15,
   carrierFreqNR-r16
   subcarrierSpacingSSB-r16 ENUMERATED {kHz15, kHz30, kHz120, kHz240},
```

```
OPTIONAL, -- Need OR OPTIONAL, -- Need OR
     frequencyBandList
                                        MultiFrequencyBandListNR-r15
    measCellListNR-r16 CellListNR-r16
reportQuantitiesNR-r16 ENUMERATED {rsrp, rsrq, both},
qualityThresholdNR-r16
     qualityThresholdNR-r16
                                         SEQUENCE {
         idleRSRP-ThresholdNR-r16
                                          RSRP-kangenn -
RSRQ-RangeNR-r15
                                               RSRP-RangeNR-r15
                                                                                     OPTIONAL, -- Need OR
         idleRSRQ-ThresholdNR-r16
                                                                                     OPTIONAL -- Need OR OPTIONAL, -- Need OR
         maxRS-IndexCellQual-r16 MaxRS-Index-r16
     ssb-MeasConfig-r16
         maxRS-IndexCellQual-r16 MaxRS-IndexCellQualNR-r15 OPTIONAL, -- Need OR threshRS-Index-r16 ThresholdListNR-r15 OPTIONAL, -- Need OR measTimingConfig-r16 MTC-SSB-NR-r15 OPTIONAL, -- Need OR ssb-ToMeasure-r16 SSR-TOMeasure-r15
                                              SSB-ToMeasure-r15
         ssb-ToMeasure-r16
                                                                                    OPTIONAL, -- Need OR
         deriveSSB-IndexFromCell-r16
                                               BOOLEAN,
                                                                                OPTIONAL -- Need OP
         ss-RSSI-Measurement-r16
                                             SS-RSSI-Measurement-r15
                                                                                     OPTIONAL, -- Need OP
OPTIONAL, -- Need OR
     beamMeasConfigIdle-r16
                                         BeamMeasConfigIdleNR-r16
     subcarrierSpacingSSB-r17 ENUMERATED {kHz480, spare1} OPTIONAL -- Need OR
     ]]
}
CellList-r15 ::=
CellListNR-r16 ::=
                                SEQUENCE (SIZE (1..maxCellMeasIdle-r15)) OF PhysCellIdRange
                               SEQUENCE (SIZE (1..maxCellMeasIdle-r15)) OF PhysCellIdRangeNR-r16
   amMeasConfigIdleNR-r16 ::= SEQUENCE {
   reportQuantityRS-IndexNR-r16 ENUMERATED {rsrp, rsrq, both},
BeamMeasConfigIdleNR-r16 ::=
    maxReportRS-Index-r16
                                               INTEGER (0..maxRS-IndexReport-r15),
    reportRS-IndexResultsNR-r16
                                              BOOLEAN
}
-- ASN1STOP
```

### MeasIdleConfig field descriptions

#### allowedMeasBandwidth

If absent, the value corresponding to the downlink bandwidth indicated by the *dl-Bandwidt*h included in *MasterInformationBlock* of serving cell applies.

# beamMeasConfigIdle

Indicates the beam level measurement configuration.

#### carrierFreq

Indicates the E-UTRA carrier frequency to be used for measurements during RRC\_IDLE or RRC\_INACTIVE.

# carrierFreqNR

Indicates the NR carrier frequency to be used for measurements during RRC\_IDLE or RRC\_INACTIVE.

#### frequencyBandList

Indicates the list of frequency bands for which the NR idle/inactive measurement parameters apply. The UE shall select the first listed band which it supports in the frequencyBandList field to represent the NR neighbour carrier frequency.

#### deriveSSB-IndexFromCell

The field indicates whether the UE may use, to derive the SSB index of a cell on the indicated SSB frequency and subcarrier spacing, the timing of any detected cell with the same SSB frequency and subcarrier spacing. If this field is set to TRUE, the UE assumes SFN and frame boundary alignment across cells on the same NR carrier frequency as specified in TS 36.133 [16].

### maxReportRS-Index

Max number of beam indices to include in the idle/inactive measurement result.

#### maxRS-IndexCellQual

Number of SS blocks to average for cell measurement derivation. Corresponds to the parameter *nrofSS-BlocksToAverage* in TS 38.304 [92].

#### measCellList

Indicates the list of E-UTRA cells which the UE is requested to measure and report for idle/inactive measurements.

#### measCellListNR

Indicates the list of NR cells which the UE is requested to measure and report for idle/inactive measurements.

#### measIdleCarrierListEUTRA

Indicates the E-UTRA carriers to be measured during RRC\_IDLE or RRC\_INACTIVE.

#### measIdleCarrierListNR

Indicates the NR carriers to be measured during RRC IDLE or RRC INACTIVE.

## measIdleDuration

Indicates the duration for performing measurements during RRC\_IDLE or RRC\_INACTIVE for measurements assigned via *RRCConnectionRelease*. Value sec10 correspond to 10 seconds, value sec30 to 30 seconds and so on.

# measTimingConfig

Used to configure the NR measurement timing configurations, i.e., timing occasions at which the UE measures SSBs. If the field is absent in *VarMeasConfig*, the UE assumes that SSB periodicity is 5ms in this frequency.

## qualityThreshold

Indicates the quality thresholds for reporting the measured cells for idle/inactive E-UTRA measurements.

## qualityThresholdNR

Indicates the quality thresholds for reporting the measured cells for idle/inactive NR measurements.

## reportQuantities

Indicates which E-UTRA measurement quantities the UE is requested to report in the idle/inactive measurement report. In this version of the specification, E-UTRAN always configures the value 'both'.

# reportQuantitiesNR

Indicates which NR measurement quantities the UE is requested to report in the idle/inactive measurement report.

#### reportQuantityRS-IndexNR

Indicates which measurement information per beam index the UE shall include in the NR idle/inactive measurement results.

# reportRS-IndexResultsNR

Indicates whether or not the UE shall include beam measurements in the NR idle/inactive measurement results.

#### ss-RSSI-Measurement

Indicates the SSB-based RSSI measurement configuration. If the field is absent in *VarMeasConfig*, the UE behaviour is defined in TS 38.215 [89], clause 5.1.3.

# ssb-ToMeasure

The set of SS blocks to be measured within the SMTC measurement duration (see TS 38.215 [89]). When the field is absent in *VarMeasConfig*, the UE measures on all SS-blocks.

#### subcarrierSpacingSSB

Indicates subcarrier spacing of SSB of NR frequency. If *subcarrierSpacingSSB-r17* is present, the UE shall ignore *subcarrierSpacingSSB-r16*.

# threshRS-Index

List of thresholds for consolidation of L1 measurements per RS index. Corresponds to the *parameter absThreshSS-BlocksConsolidation* in TS 38.304 [92].

## MeasIdleConfig field descriptions

#### validityArea

Indicates the list of cells within which UE is requested to do measurements during RRC\_IDLE or RRC\_INACTIVE. If the UE reselects to a cell whose physical cell identity does not match any entry in *validityArea* for the corresponding carrier frequency, the measurements are no longer required. E-UTRAN configures this field only in *RRCConnectionRelease*.

#### validityAreaList

Indicates the list of frequencies and optionally, for each frequency, a list of cells within which the UE is required to perform measurements during RRC\_IDLE or RRC\_INACTIVE. E-UTRAN configures this field only in RRCConnectionRelease. A UE can be configured either with validityArea or validityAreaList, but not both.

### MeasIdToAddModList

The IE *MeasIdToAddModList* concerns a list of measurement identities to add or modify, with for each entry the *measId*, the associated *measObjectId* and the associated *reportConfigId*. Field *measIdToAddModListExt* includes additional measurement identities i.e. extends the size of the measurement identity list using the general principles specified in 5.1.2.

#### MeasIdToAddModList information element

```
-- ASN1START
MeasIdToAddModList ::=
                                   SEQUENCE (SIZE (1..maxMeasId)) OF MeasIdToAddMod
MeasIdToAddModList-v1310 ::=
                                    SEQUENCE (SIZE (1..maxMeasId)) OF MeasIdToAddMod-v1310
MeasIdToAddModListExt-r12 ::=
                                   SEQUENCE (SIZE (1..maxMeasId)) OF MeasIdToAddModExt-r12
MeasIdToAddModListExt-v1310 ::=
                                   SEQUENCE (SIZE (1..maxMeasId)) OF MeasIdToAddMod-v1310
MeasIdToAddMod ::= SEQUENCE {
   measId
                                       MeasId,
   measObjectId
                                       MeasObjectId,
    reportConfigId
                                       ReportConfigId
}
MeasIdToAddModExt-r12 ::= SEQUENCE {
   measId-v1250
                                        MeasId-v1250,
   measObjectId-r12
                                       MeasObjectId,
   reportConfigId-r12
                                       ReportConfigId
MeasIdToAddMod-v1310 ::= SEQUENCE {
                               MeasObjectId-v1310
   measObjectId-v1310
                                                       OPTIONAL
-- ASN1STOP
```

## MeasIdToAddModList field descriptions

#### measObjectId

If the measObjectId-v1310 is included, the measObjectId or measObjectId-r12 is ignored by the UE.

# MeasObjectCDMA2000

The IE MeasObjectCDMA2000 specifies information applicable for inter-RAT CDMA2000 neighbouring cells.

## MeasObjectCDMA2000 information element

```
-- ASN1START
MeasObjectCDMA2000 ::=
                                    SEQUENCE {
   cdma2000-Type
                                       CDMA2000-Type,
   carrierFreq
                                        CarrierFreqCDMA2000,
                                        INTEGER (0..15)
   searchWindowSize
                                                                             OPTIONAL,
                                                                                         -- Need ON
                                                                             DEFAULT 0,
   offsetFreq
                                        Q-OffsetRangeInterRAT
                                                                             OPTIONAL,
   cellsToRemoveList
                                        CellIndexList
                                                                                         -- Need ON
   cellsToAddModList
                                        CellsToAddModListCDMA2000
                                                                             OPTIONAL,
                                                                                        -- Need ON
```

# CarrierInfo Identifies CDMA2000 carrier frequency for which this configuration is valid. cdma2000-Type The type of CDMA2000 network: CDMA2000 1xRTT or CDMA2000 HRPD. cellIndex Entry index in the neighbouring cell list. cellsToAddModList List of cells to add/ modify in the neighbouring cell list. cellsToRemoveList List of cells to remove from the neighbouring cell list. physCellId CDMA2000 Physical cell identity of a cell in neighbouring cell list expressed as PNOffset. searchWindowSize Provides the search window size to be used by the UE for the neighbouring pilot, see C.S0005 [25].

# MeasObjectEUTRA

The IE MeasObjectEUTRA specifies information applicable for intra-frequency or inter-frequency E-UTRA cells.

### MeasObjectEUTRA information element

```
-- ASN1START
                                 SEOUENCE {
MeasObjectEUTRA ::=
   carrierFreq
                                   ARFCN-ValueEUTRA,
   allowedMeasBandwidth
                                     AllowedMeasBandwidth,
   presenceAntennaPort1
                                    PresenceAntennaPort1,
                                    NeighCellConfig,
   neighCellConfig
   offsetFreq
                                     Q-OffsetRange
                                                             DEFAULT dB0,
    -- Cell list
   cellsToRemoveList
                                     CellIndexList
                                                              OPTIONAL,
                                                                              -- Need ON
   cellsToAddModList
                                     CellsToAddModList
                                                                             -- Need ON
                                                              OPTIONAL,
    -- Excluded list
   excludedCellsToRemoveList
                                        CellIndexList
                                                                  OPTIONAL,
                                                                                 -- Need ON
                                       ExcludedCellsToAddModList OPTIONAL,
   excludedCellsToAddModList
ON
                                                              OPTIONAL,
   cellForWhichToReportCGI
                                    PhysCellId
                                                                              -- Need ON
   [[measCycleSCell-r10
                                     MeasCycleSCell-r10
                                                        OPTIONAL,
       measSubframePatternConfiqNeigh-r10 MeasSubframePatternConfiqNeigh-r10 OPTIONAL
             -- Need ON
    [[widebandRSRQ-Meas-r11
                                    BOOLEAN OPTIONAL
                                                           -- Cond WB-RSRQ
   [[ altTTT-CellsToRemoveList-r12 CellIndexList
                                                              OPTIONAL.
                                                                              -- Need ON
       altTTT-CellsToAddModList-r12 AltTTT-CellsToAddModList-r12 OPTIONAL,
                                                                                -- Need ON
       t312-r12
                                     CHOICE {
          release
                                        ENUMERATED {ms0, ms50, ms100, ms200,
          setup
                                        ms300, ms400, ms500, ms1000}
                                                          OPTIONAL,
                                                                          -- Need ON
       reducedMeasPerformance-r12
                                    BOOLEAN
                                                                          -- Need ON
                                                           OPTIONAL,
       measDS-Config-r12
                                    MeasDS-Config-r12
                                                            OPTIONAL
                                                                             -- Need ON
   ]],
   [ [
       allowedCellsToRemoveList-r13 CellIndexList OPTIONAL, -- Need ON
```

```
allowedCellsToAddModList-r13 AllowedCellsToAddModList-r13 OPTIONAL, -- Need
ON
       rmtc-Config-r13
carrierFreq-r13
                                  RMTC-Config-r13 OPTIONAL, -- Need ON
                                      ARFCN-ValueEUTRA-v9e0 OPTIONAL
                                                                                         -- Need ON
    ]],
    [[
       tx-ResourcePoolToAddList-r14 Tx-ResourcePoolMeasList-r14 OPTIONAL, -- Need ON fembms-MixedCarrier-r14 BOOLEAN OPTIONAL, -- Need ON
                                                                                        -- Need ON
    [[
       measSensing-Config-r15 MeasSensing-Config-r15 OPTIONAL -- Need ON
    11,
       measRSS-DedicatedConfig-r16 SetupRelease {MeasRSS-DedicatedConfig-r16}
                                                                                      OPTIONAL --
Need ON
   ]]
}
MeasObjectEUTRA-v9e0 ::=
                                  SEQUENCE {
   carrierFreq-v9e0
                                      ARFCN-ValueEUTRA-v9e0
MeasRSS-DedicatedConfig-r16 ::= SEQUENCE {
  rss-ConfigCarrierInfo-r16 RSS-ConfigCarrierInfo-r16 OPTIONAL, -- Need OP cellsToAddModList-v1610 CellsToAddModList-v1610 OPTIONAL -- Need ON
CellsToAddModList ::=
                                   SEQUENCE (SIZE (1..maxCellMeas)) OF CellsToAddMod
CellsToAddModList-v1610 ::=
                                   SEQUENCE (SIZE (1..maxCellMeas)) OF CellsToAddMod-v1610
CellsToAddMod ::= SEQUENCE {
                                       INTEGER (1..maxCellMeas),
   cellIndex
   physCellId
                                       PhysCellId,
   cellIndividualOffset
                                        Q-OffsetRange
}
CellsToAddMod-v1610 ::= SEQUENCE {
   rss-MeasPowerBias-r16 RSS-MeasPowerBias-r16
ExcludedCellsToAddModList ::=
                                       SEQUENCE (SIZE (1..maxCellMeas)) OF ExcludedCellsToAddMod
ExcludedCellsToAddMod ::= SEQUENCE {
   cellIndex
                                        INTEGER (1..maxCellMeas),
   physCellIdRange
                                       PhysCellIdRange
MeasCycleSCell-r10 ::=
                                   ENUMERATED {sf160, sf256, sf320, sf512,
                                                   sf640, sf1024, sf1280, spare1}
MeasSubframePatternConfigNeigh-r10 ::= CHOICE {
   release
       measSubframePatternNeigh-r10 MeasSubframePattern-r10, measSubframeCellList-r10 MeasSubframeCellList-r10
                                                MeasSubframeCellList-r10 OPTIONAL -- Cond
always
}
MeasSubframeCellList-r10 ::= SEQUENCE (SIZE (1..maxCellMeas)) OF PhysCellIdRange
AltTTT-CellsToAddModList-r12 ::= SEQUENCE (SIZE (1..maxCellMeas)) OF AltTTT-CellsToAddMod-r12
AltTTT-CellsToAddMod-r12 ::= SEQUENCE {
   cellIndex-r12
                                            INTEGER (1..maxCellMeas),
   physCellIdRange-r12
                                            PhysCellIdRange
AllowedCellsToAddModList-r13 ::=
                                          SEQUENCE (SIZE (1..maxCellMeas)) OF
AllowedCellsToAddMod-r13
AllowedCellsToAddMod-r13 ::= SEQUENCE {
                                            INTEGER (1..maxCellMeas),
   cellIndex-r13
   physCellIdRange-r13
                                            PhysCellIdRange
```

#### MeasObjectEUTRA field descriptions

#### allowedCellsToAddModList

List of cells to add/modify in the list of allow-listed cells.

#### allowedCellsToRemoveList

List of cells to remove from the list of allow-listed cells.

#### altTTT-CellsToAddModList

List of cells to add/ modify in the cell list for which the alternative time to trigger specified by alternativeTimeToTrigger in reportConfigEUTRA, if configured, applies.

# altTTT-CellsToRemoveList

List of cells to remove from the list of cells for alternative time to trigger.

#### carrierFreg

Identifies E-UTRA carrier frequency for which this configuration is valid. E-UTRAN does not configure more than one measurement object for the same physical frequency regardless of the E-ARFCN used to indicate this. CarrierFreq-r13 is included only when the extension list measObjectToAddModListExt-r13 is used. If *carrierFreq-r13* is present, *carrierFreq* (i.e., without suffix) shall be set to value *maxEARFCN*.

#### cellIndex

Entry index in the cell list. An entry may concern a range of cells, in which case this value applies to the entire range.

#### cellIndividualOffset

Cell individual offset applicable to a specific cell. Value dB-24 corresponds to -24 dB, dB-22 corresponds to -22 dB and so on.

#### cellsToAddModList

List of cells to add/ modify in the cell list. *cellsToAddModList-v1610* indicates list of RSS assistance information which is used for the corresponding *physCellId*. If E-UTRAN includes *cellsToAddModList-v1610*, it includes the same number of entries, and listed in the same order, as in *cellsToAddModList* (i.e. without suffix).

#### cellsToRemoveList

List of cells to remove from the cell list.

#### excludedCellsToAddModList

List of cells to add/ modify in the list of exclude-listed cells.

#### excludedCellsToRemoveList

List of cells to remove from the list of exclude-listed cells.

#### fembms-MixedCarrier

If this field is set to *TRUE*, the cells on the carrier frequency indicated by the *measObject* are FeMBMS/Unicast-mixed cells.

## measCycleSCell

The parameter is used only when an SCell is configured on the frequency indicated by the *measObject* and is in deactivated state, see TS 36.133 [16], clause 8.3.3. E-UTRAN configures the parameter whenever an SCell is configured on the frequency indicated by the *measObject*, but the field may also be signalled when an SCell is not configured. Value *sf160* corresponds to 160 sub-frames, *sf256* corresponds to 256 sub-frames and so on.

## measDS-Config

Parameters applicable to discovery signals measurement on the carrier frequency indicated by carrierFreq.

### measDuration

Number of consecutive symbols for which the Physical Layer reports samples of RSSI, see TS 36.214 [48]. Value *sym1* corresponds to one symbol, *sym14* corresponds to 14 symbols, and so on.

## measRSS-DedicatedConfig

The field indicates whether measurements based on RSS in RRC\_CONNECTED is enabled and provides neighbour cell RSS information.

## measSubframeCellList

List of cells for which measSubframePatternNeigh is applied.

#### measSubframePatternNeigh

Time domain measurement resource restriction pattern applicable to neighbour cell RSRP and RSRQ measurements on the carrier frequency indicated by *carrierFreq*. For cells in *measSubframeCellList* the UE shall assume that the subframes indicated by *measSubframePatternNeigh* are non-MBSFN subframes, and have the same special subframe configuration as PCell.

## offsetFreq

Offset value applicable to the carrier frequency. Value dB-24 corresponds to -24 dB, dB-22 corresponds to -22 dB and so on.

## physCellId

Physical cell identity of a cell in the cell list.

### physCellIdRange

Physical cell identity or a range of physical cell identities.

## reducedMeasPerformance

If set to *TRUE*, the EUTRA carrier frequency is configured for reduced measurement performance, otherwise it is configured for normal measurement performance, see TS 36.133 [16].

#### rmtc-Config

Parameters applicable to RSSI and channel occupancy measurement on the carrier frequency indicated by *carrierFreq*.

## MeasObjectEUTRA field descriptions

## rmtc-Period

Indicates the RSSI measurement timing configuration (RMTC) periodicity for this frequency. Value *ms40* corresponds to 40 ms periodicity, *ms80* corresponds to 80 ms periodicity and so on, see TS 36.214 [48].

#### rmtc-SubframeOffset

Indicates the RSSI measurement timing configuration (RMTC) subframe offset for this frequency. The value of *rmtc-SubframeOffset* should be smaller than the value of *rmtc-Period*, see TS 36.214 [48]. For inter-frequency measurements, this field is optional present and if it is not configured, the UE chooses a random value as *rmtc-SubframeOffset* for *measDuration* which shall be selected to be between 0 and the configured *rmtc-Period* with equal probability.

### rss-ConfigCarrierInfo

RSS configurations for this carrier frequency. If absent, RSS is collocated (time and frequency domain) in all cells.

#### t312

The value of timer T312. Value ms0 represents 0 ms, ms50 represents 50 ms and so on.

#### tx-ResourcePoolToAddList

List of transmission pools identities to be added to the list of pools configured for CBR measurements and for which poolReportId is included in SL-V2X-ConfigDedicated, SystemInformationBlockType21 or SystemInformationBlockType26.

#### tx-ResourcePoolToRemoveList

List of transmission resource pools identities to be removed from the list of pools configured for CBR measurements and for which *poolReportId* is included in *SL-V2X-ConfigDedicated*, *SystemInformationBlockType21* or *SystemInformationBlockType26*.

## widebandRSRQ-Meas

If this field is set to TRUE, the UE shall, when performing RSRQ measurements, use a wider bandwidth in accordance with TS 36.133 [16].

Conditional presence	Explanation
always	The field is mandatory present.
WB-RSRQ	The field is optionally present, need ON, if the measurement bandwidth indicated by
	allowedMeasBandwidth is 50 resource blocks or larger; otherwise it is not present and the
	UE shall delete any existing value for this field, if configured.

# MeasObjectGERAN

The IE MeasObjectGERAN specifies information applicable for inter-RAT GERAN neighbouring frequencies.

## MeasObjectGERAN information element

```
-- ASN1START
MeasObjectGERAN ::=
                                   SEQUENCE {
   carrierFreqs
                                       CarrierFreqsGERAN,
                                                                  DEFAULT 0,
   offsetFreq
                                       O-OffsetRangeInterRAT
                                       BIT STRING(SIZE (8))
                                                                  DEFAULT '11111111'B,
   ncc-Permitted
   cellForWhichToReportCGI
                                       PhysCellIdGERAN
                                                                   OPTIONAL,
                                                                               -- Need ON
-- ASN1STOP
```

## MeasObjectGERAN field descriptions

## ncc-Permitted

Field encoded as a bit map, where bit N is set to "0" if a BCCH carrier with NCC = N-1 is not permitted for monitoring and set to "1" if a BCCH carrier with NCC = N-1 is permitted for monitoring; N = 1 to 8; bit 1 of the bitmap is the leading bit of the bit string.

# carrierFreqs

If E-UTRAN includes cellForWhichToReportCGI, it includes only one GERAN ARFCN value in carrierFregs.

# - MeasObjectId

The IE MeasObjectId used to identify a measurement object configuration.

# MeasObjectId information element

```
-- ASN1START

MeasObjectId ::= INTEGER (1..maxObjectId)

MeasObjectId-v1310 ::= INTEGER (maxObjectId-Plus1-r13..maxObjectId-r13)

MeasObjectId-r13 ::= INTEGER (1..maxObjectId-r13)

-- ASN1STOP
```

# MeasObjectNR

The IE MeasObjectNR specifies information applicable for inter-RAT NR neighbouring cells.

## MeasObjectNR information element

```
-- ASN1START
                                   SEQUENCE {
MeasObjectNR-r15 ::=
   carrierFreq-r15
                                       ARFCN-ValueNR-r15,
    rs-ConfigSSB-r15
                                       RS-ConfigSSB-NR-r15,
                                                                      OPTIONAL,
    threshRS-Index-r15
                                       ThresholdListNR-r15
                                                                                       -- Need OR
    maxRS-IndexCellQual-r15
                                       MaxRS-IndexCellQualNR-r15
                                                                       OPTIONAL,
                                                                                       -- Need OR
                                       Q-OffsetRangeInterRAT
                                                                     DEFAULT 0,
    offsetFreq-r15
    excludedCellsToRemoveList-r15
                                           CellIndexList
                                                                           OPTIONAL,
                                                                                           -- Need
ON
    excludedCellsToAddModList-r15
                                         CellsToAddModListNR-r15
                                                                           OPTIONAL,
                                                                                          -- Need
                                      INTEGER (1.. maxQuantSetsNR-r15),
    quantityConfigSet-r15
    cellsForWhichToReportSFTD-r15 SEQUENCE (SIZE (1..maxCellSFTD)) OF PhysCellIdNR-r15
    OPTIONAL,
               -- Need OR
    [[ cellForWhichToReportCGI-r15
                                           PhysCellIdNR-r15
                                                                           OPTIONAL,
                                                                                       -- Need ON
       cellForWhichToReportCGI-r15 PhysCell
deriveSSB-IndexFromCell-r15 BOOLEAN
ss-RSSI-Measurement-r15 SS-RSSI-
                                                                                       -- Need ON
                                                                           OPTIONAL,
        ss-RSSI-Measurement-r15
                                           SS-RSSI-Measurement-r15
                                                                          OPTIONAL,
                                                                                      -- Need ON
       bandNR-r15
                                   CHOICE {
           release
                                      NULL.
                                       FreqBandIndicatorNR-r15
           setup
                                                                       OPTIONAL -- Need ON
    ]],
    [[
    rmtc-ConfigNR-r16
                                           SetupRelease {RMTC-ConfigNR-r16}
                                                                                      OPTIONAL
    -- Cond SharedSpectrum
    [ [
                                                                   OPTIONAL, -- Need ON
    cellsToRemoveList-r16 CellIndexList OPTIONAL cellsToAddModList-r16 CellsToAddModListNR-r16 OPTIONAL
                                                                                   -- Need ON
    ]]
RS-ConfigSSB-NR-r15 ::= SEQUENCE {
    measTimingConfig-r15 MTC-SS
                                MTC-SSB-NR-r15,
    subcarrierSpacingSSB-r15 ENUMERATED {kHz15, kHz30, kHz120, kHz240},
    [[ ssb-ToMeasure-r15
                                  CHOICE {
           release
                                       NULL.
            setup
                                       SSB-ToMeasure-r15
                                                               -- Need ON
    ]],
    Π
    ssb-PositionQCL-CommonNR-r16 SSB-PositionQCL-RelationNR-r16 OPTIONAL, -- Cond
SharedSpectrum2
    ssb-PositionQCL-CellsToAddModListNR-r16 SSB-PositionQCL-CellsToAddModListNR-r16 OPTIONAL,
Cond SharedSpectrum
    ssb-PositionQCL-CellsToRemoveListNR-r16 SEQUENCE (SIZE (1..maxCellMeas)) OF PhysCellIdNR-r15
    OPTIONAL -- Cond SharedSpectrum
    [[
    OPTIONAL,
                                                                                       -- Need OR
    ssb-PositionQCL-CommonNR-r17 SSB-PositionQCL-RelationNR-r17 OPTIONAL, -- Cond
    {\tt ssb-PositionQCL-CellsToAddModListNR-r17~SSB-PositionQCL-CellsToAddModListNR-r17~OPTIONAL,}
Cond SharedSpectrum
```

```
ssb-PositionQCL-CellsToRemoveListNR-r17 SEQUENCE (SIZE (1..maxCellMeas)) OF PhysCellIdNR-r15
    OPTIONAL -- Cond SharedSpectrum
    11
}
CellsToAddModListNR-r15 ::=
                                   SEQUENCE (SIZE (1..maxCellMeas)) OF CellsToAddModNR-r15
CellsToAddModListNR-r16 ::=
                                   SEQUENCE (SIZE (1..maxCellMeas)) OF CellsToAddModNR-r16
CellsToAddModNR-r15 ::=
                               SEQUENCE {
   cellIndex-r15
                                   INTEGER (1..maxCellMeas),
   physCellId-r15
                                    PhysCellIdNR-r15
CellsToAddModNR-r16 ::=
                                SEQUENCE {
                                 INTEGER (1..maxCellMeas),
   cellIndex-r16
    physCellId-r16
                                        PhysCellIdNR-r15,
    cellIndividualOffset-r16
                                         Q-OffsetRange
}
SSB-PositionQCL-CellsToAddModListNR-r16 ::= SEQUENCE (SIZE (1..maxCellMeas)) OF SSB-PositionQCL-
CellsToAddNR-r16
SSB-PositionQCL-CellsToAddNR-r16 ::= SEQUENCE {
   physCellId-r16
                                          PhysCellIdNR-r15,
    ssb-PositionQCL-NR-r16
                                             SSB-PositionQCL-RelationNR-r16
}
                               ENUMERATED {ms40, ms80, ms160, ms320, ms640}, INTEGER(0..639)
RMTC-ConfigNR-r16 ::= SEQUENCE {
   rmtc-PeriodicityNR-r16
                                       INTEGER(0..639) OPTIONAL, -- Need ON ENUMERATED {sym1, sym14or12, sym28or24, sym42or36,
   rmtc-SubframeOffsetNR-r16
   measDurationNR-r16
sym70or60},
                                        ARFCN-ValueNR-r15,
   rmtc-FrequencyNR-r16
                                         ENUMERATED {kHz15, kHz30, kHz60-NCP, kHz60-ECP},
   refSCS-CP-NR-r16
    [ [
    rmtc-BandwidthNR-r17 ENUMERATED {mhz100, mhz400, mhz800, mhz1600, mhz2000} OPTIONAL, -- Need
OR
   measDurationNR-r17 ENUMERATED {sym140, sym560, sym1120} OPTIONAL, -- Need or refSCS-CP-NR-r17 ENUMERATED {kHz120, kHz480, kHz960} OPTIONAL -- Need OR
                                                                                          -- Need OR
    ]]
SSB-PositionQCL-CellsToAddModListNR-r17 ::= SEQUENCE (SIZE (1..maxCellMeas)) OF SSB-PositionQCL-
CellsToAddNR-r17
SSB-PositionQCL-CellsToAddNR-r17 ::= SEQUENCE {
   physCellIdNR-r17
                                            PhysCellIdNR-r15,
   ssb-PositionQCL-NR-r17
                                             SSB-PositionQCL-RelationNR-r17
-- ASN1STOP
```

#### MeasObjectNR field descriptions

#### bandNR

Indicates the frequency band of the NR carrier frequency configured in this *MeasObjectNR*. This field is always set to setup when the network configures measurements with this *MeasObjectNR*.

#### carrierFreq

Identifies the SSB frequency to be measured. E-UTRAN does not configure more than one measurement object for the same SSB frequency.

# cellIndividualOffset

Cell individual offset applicable to a specific cell.

#### deriveSSB-IndexFromCell

The field indicates whether the UE may use, to derive the SSB index of a cell on the indicated SSB frequency and subcarrier spacing, the timing of the NR serving cell with the same SSB frequency and subcarrier spacing if configured. Otherwise, the field indicates whether the UE may use the timing of any detected cell with the same SSB frequency and subcarrier spacing.

### measDurationNR

Number of consecutive symbols for which the Physical Layer reports samples of RSSI (see TS 38.215 [89]). Value *sym1* corresponds to one symbol, *sym14or12* corresponds to 14 *symbols* of the reference numerology for NCP and 12 symbols for ECP, and so on. If *measDurationNR-r17* is present, the UE shall ignore *measDurationNR-r16*.

#### quantityConfigSet

Indicates the n-th element of quantityConfigNRList provided in MeasConfig.

#### refSCS-CP-NR

Indicates a reference subcarrier spacing and cyclic prefix to be used for RSSI measurements (see TS 38.215 [89]).

#### rmtc-FrequencyNR

Indicates the center frequency of the measured bandwidth (see TS 38.215 [89]).

#### rmtc-PeriodicityNR

Indicates the RSSI measurement timing configuration (RMTC) periodicity (see TS 38.215 [89]). Value *ms40* corresponds to 40 ms periodicity, *ms80* corresponds to 80 ms periodicity, and so on.

#### rmtc-SubframeOffsetNR

Indicates the RSSI measurement timing configuration (RMTC) subframe offset (see TS 38.215 [89)). If not configured, the UE chooses a random value as *rmtc-SubframeOffsetNR* for *measDurationNR* which shall be selected to be between 0 and the configured *rmtc-PeriodicityNR* with equal probability.

#### rs-ConfigSSB

Indicates the SSB configuration for measuring the set of SS blocks within the SMTC measurement duration.

## ssb-PositionQCL-NR

Indicates the QCL relationship between SS/PBCH blocks for a specific neighbor cell as specified in TS 38.213 [88], clause 4.1. If provided, the cell specific value overwrites the common value signalled by ssb-PositionQCL-CommonNR in MeasObjectNR for the indicated cell.

# ssb-PositionQCL-CommonNR

Indicates the QCL relationship between SS/PBCH blocks for NR neighbor cells as specified in TS 38.213 [88], clause 4.1. If ssb-PositionQCL-CommonNR-r17 is present, the UE shall ignore ssb-PositionQCL-CommonNR-r16.

# subcarrierSpacingSSB

Subcarrier spacing of SSB.

Only the following values are applicable depending on the used frequency:

FR1: 15 or 30 kHz FR2-1: 120 or 240 kHz FR2-2: 120, 480, or 960 kHz

#### rmtc-BandwidthNR

Indicates the bandwidth for the RSSI measurement.

## threshRS-Index

List of thresholds for consolidation of L1 measurements per RS index.

Conditional presence	Explanation
SharedSpectrum	The field is optional Need ON if NR operates with shared spectrum channel access;
	otherwise, it is not present.
SharedSpectrum2	The field is mandatory present if NR operates with shared spectrum channel access;
	otherwise, it is not present.

## MeasObjectToAddModList

The IE MeasObjectToAddModList concerns a list of measurement objects to add or modify

## MeasObjectToAddModList information element

-- ASN1START

```
MeasObjectToAddModList ::=
                                   SEQUENCE (SIZE (1..maxObjectId)) OF MeasObjectToAddMod
MeasObjectToAddModListExt-r13 ::= SEQUENCE (SIZE (1..maxObjectId)) OF MeasObjectToAddModExt-r13
MeasObjectToAddModList-v9e0 ::=
                                   SEQUENCE (SIZE (1..maxObjectId)) OF MeasObjectToAddMod-v9e0
MeasObjectToAddMod ::= SEQUENCE {
                                       MeasObjectId,
   measObjectId
   measObject
                                       CHOICE {
       measObjectEUTRA
                                           MeasObjectEUTRA,
       measObjectUTRA
                                           MeasObjectUTRA,
                                           MeasObjectGERAN,
       measObjectGERAN
       measObjectCDMA2000
                                           MeasObjectCDMA2000,
       measObjectWLAN-r13
                                           MeasObjectWLAN-r13,
                                           MeasObjectNR-r15
       measObjectNR-r15
    }
}
MeasObjectToAddModExt-r13 ::= SEQUENCE {
                                       MeasObjectId-v1310,
    measObjectId-r13
    measObject-r13
                                           CHOICE {
        measObjectEUTRA-r13
                                               MeasObjectEUTRA,
       measObjectUTRA-r13
                                               MeasObjectUTRA,
       measObjectGERAN-r13
                                               MeasObjectGERAN,
       measObjectCDMA2000-r13
                                               MeasObjectCDMA2000,
       measObjectWLAN-v1320
                                               MeasObjectWLAN-r13,
                                               MeasObjectNR-r15
       measObjectNR-r15
    }
}
MeasObjectToAddMod-v9e0 ::= SEQUENCE {
                                        MeasObjectEUTRA-v9e0
                                                                   OPTIONAL -- Cond eutra
    measObjectEUTRA-v9e0
-- ASN1STOP
```

Conditional presence	Explanation
eutra	The field is optional present, need OR, if for the corresponding entry in
	MeasObjectToAddModList or MeasObjectToAddModListExt-r13 field measObject is set to
	measObjectEUTRA and its sub-field carrierFreq is set to maxEARFCN. Otherwise the
	field is not present and the UE shall delete any existing value for this field.

# MeasObjectUTRA

The IE MeasObjectUTRA specifies information applicable for inter-RAT UTRA neighbouring cells.

## MeasObjectUTRA information element

```
-- ASN1START
MeasObjectUTRA ::=
                                  SEOUENCE {
   carrierFreq
                                      ARFCN-ValueUTRA,
   offsetFreq
                                      Q-OffsetRangeInterRAT
                                                                 DEFAULT 0,
   cellsToRemoveList
                                      CellIndexList
                                                                  OPTIONAL,
                                                                                      -- Need ON
   cellsToAddModList
                                      CHOICE {
       cellsToAddModListUTRA-FDD
                                         CellsToAddModListUTRA-FDD,
       cellsToAddModListUTRA-TDD
                                          CellsToAddModListUTRA-TDD
                                                                  OPTIONAL,
                                                                                     -- Need ON
   cellForWhichToReportCGI
                                      CHOICE {
                                          PhysCellIdUTRA-FDD,
       utra-FDD
       utra-TDD
                                          PhysCellIdUTRA-TDD
                                                                  OPTIONAL,
                                                                              -- Need ON
   [[ csg-allowedReportingCells-v930 CSG-AllowedReportingCells-r9
                                                                             OPTIONAL
Need ON
   ]],
       reducedMeasPerformance-r12
    [ [
                                              BOOLEAN
                                                              OPTIONAL
                                                                             -- Need ON
   ]]
CellsToAddModListUTRA-FDD ::= SEQUENCE (SIZE (1..maxCellMeas)) OF CellsToAddModUTRA-FDD
```

```
CellsToAddModUTRA-FDD ::= SEQUENCE {
   cellIndex
                                       INTEGER (1..maxCellMeas),
                                       PhysCellIdUTRA-FDD
   physCellId
CellsToAddModListUTRA-TDD ::=
                                  SEQUENCE (SIZE (1..maxCellMeas)) OF CellsToAddModUTRA-TDD
CellsToAddModUTRA-TDD ::= SEQUENCE {
   cellIndex
                                       INTEGER (1..maxCellMeas),
   physCellId
                                       PhysCellIdUTRA-TDD
CSG-AllowedReportingCells-r9 ::=
                                      SEQUENCE {
   physCellIdRangeUTRA-FDDList-r9
                                          PhysCellIdRangeUTRA-FDDList-r9 OPTIONAL
-- ASN1STOP
```

## MeasObjectUTRA field descriptions

## carrierFreq

Identifies UTRA carrier frequency for which this configuration is valid. E-UTRAN does not configure more than one measurement object for the same physical frequency regardless of the ARFCN used to indicate this.

#### cellIndex

Entry index in the neighbouring cell list.

#### cellsToAddModListUTRA-FDD

List of UTRA FDD cells to add/ modify in the neighbouring cell list.

#### cellsToAddModListUTRA-TDD

List of UTRA TDD cells to add/modify in the neighbouring cell list.

#### cellsToRemoveList

List of cells to remove from the neighbouring cell list.

## csg-allowedReportingCells

One or more ranges of physical cell identities for which UTRA-FDD reporting is allowed.

## reducedMeasPerformance

If set to *TRUE* the UTRA carrier frequency is configured for reduced measurement performance, otherwise it is configured for normal measurement performance, see TS 36.133 [16].

# MeasObjectWLAN

The IE *MeasObjectWLAN* specifies information applicable for inter-RAT WLAN measurements. E-UTRAN configures at least one WLAN identifier in the *MeasObjectWLAN*.

```
-- ASN1START
MeasObjectWLAN-r13 ::= SEQUENCE {
   carrierFreq-r13
                                   CHOICE {
       bandIndicatorListWLAN-r13
                                     SEQUENCE (SIZE (1..maxWLAN-Bands-r13)) OF WLAN-
BandIndicator-r13.
       carrierInfoListWLAN-r13
                                      SEQUENCE (SIZE (1..maxWLAN-CarrierInfo-r13)) OF WLAN-
CarrierInfo-r13
          OPTIONAL,
                       -- Need ON
                                                                  OPTIONAL, -- Need ON
   wlan-ToAddModList-r13
                                       WLAN-Id-List-r13
   wlan-ToRemoveList-r13
                                       WLAN-Id-List-r13
                                                                  OPTIONAL, -- Need ON
}
WLAN-BandIndicator-r13 ::= ENUMERATED {band2dot4, band5, band60-v1430, spare5, spare4, spare3,
spare2, spare1, ...}
-- ASN1STOP
```

## MeasObjectWLAN field descriptions

#### bandIndicatorListWLAN

Includes the list of WLAN bands. Value band2dot4 indicates the 2.4GHz band, value band5 indicates the 5GHz band and value band60 indicates the 60GHz band.

#### carrierInfoListWLAN

Includes the list of WLAN carrier information for the measurement object.

#### wlan-ToAddModList

Includes the list of WLAN identifiers to be added to the measurement configuration.

#### wlan-ToRemoveList

Includes the list of WLAN identifiers to be removed from the measurement configuration.

#### MeasResults

The IE *MeasResults* covers measured results for intra-frequency, inter-frequency and inter- RAT mobility and for idle/inactive measurements.

#### MeasResults information element

```
-- ASN1START
MeasResults ::=
                                      SEOUENCE {
    measId
                                          MeasId,
    measResultPCell
                                           SEQUENCE {
        rsrpResult
                                               RSRP-Range,
                                              RSRQ-Range
        rsraResult
    measResultNeighCells
                                          CHOICE {
                                            MeasResultListEUTRA,
        measResultListEUTRA
        measResultListUTRA
                                              MeasResultListUTRA,
        measResultListGERAN
                                              MeasResultListGERAN,
        measResultsCDMA2000
                                              MeasResultsCDMA2000,
        measResultNeighCellListNR-r15
                                                   MeasResultCellListNR-r15
    }
                                                                                 OPTIONAL,
    [[ measResultForECID-r9
                                              MeasResultForECID-r9
                                                                                 OPTIONAL
    11,
    [[ locationInfo-r10
                                              LocationInfo-r10
                                                                                 OPTIONAL.
        measResultServFreqList-r10
                                              MeasResultServFreqList-r10
                                                                                 OPTIONAL
    [[ measId-v1250
                                              MeasId-v1250
                                                                                 OPTIONAL,
                                               RSRQ-Range-v1250
        measResultPCell-v1250
                                                                                 OPTIONAL.
        measResultCSI-RS-List-r12
                                               MeasResultCSI-RS-List-r12
                                                                                 OPTIONAL
                                              MeasResultForRSSI-r13
       measResultForRSSI-r13
                                                                                 OPTIONAL,
        measResultServFreqListExt-r13 MeasResultServFreqListExt-r13 OPTIONAL,
measResultSSTD-r13 MeasResultSSTD-r13 OPTIONAL,
        measResultSSTD-r13
measResultPCell-v1310
rs-sinr-Result-r13
                                              SEQUENCE {
            rs-sinr-Result-r13
                                                  RS-SINR-Range-r13
                                                                                 OPTIONAL.
        ul-PDCP-DelayResultList-r13 UL-PDCP-DelayResultList-r13 measResultListWLAN-r13 MeasResultListWLAN-r13
                                                                                 OPTIONAL.
                                                                                 OPTIONAL
    [[ measResultPCell-v1360
                                             RSRP-Range-v1360
                                                                                 OPTIONAL
    ]],
                                              MeasResultListCBR-r14
    [[ measResultListCBR-r14
        measResultListWLAN-r14
                                                                                 OPTIONAL,
                                                                                 OPTIONAL
    ]],
    [[ measResultServFreqListNR-r15 MeasResultServFreqListNI measResultCellListSFTD-r15 MeasResultCellListSFTD-r15
                                              MeasResultServFreqListNR-r15 OPTIONAL,
                                                                               OPTIONAL
        logMeasResultListBT-r15LogMeasResultListBTlogMeasResultListWLAN-r15LogMeasResultListWLmeasResultSensing-r15MeasResultSensing-r15heightUE-r15TANDOOR
    [[ logMeasResultListBT-r15
                                             LogMeasResultListBT-r15
                                                                                OPTIONAL.
                                              LogMeasResultListWLAN-r15
                                                                                 OPTIONAL,
                                                                                 OPTIONAL.
                                            INTEGER (-400..8880) OPTIONAL
        heightUE-r15
    [[ ul-PDCP-DelayValueResultList-r16
                                                   UL-PDCP-DelayValueResultList-r16 OPTIONAL,
        measResultForRSSI-NR-r16
                                                   MeasResultForRSSI-NR-r16
                                                                                    OPTIONAL
    ]],
[[ uncomBarPreMeasResult-r17
                                                  OCTET STRING
                                                                            OPTIONAL,
                                        OCTET STRING
                                                                      OPTIONAL
        coarseLocationInfo-r17
    11
```

```
MeasResultListEUTRA ::=
                                   SEQUENCE (SIZE (1..maxCellReport)) OF MeasResultEUTRA
MeasResultEUTRA ::= SEQUENCE {
   physCellId
                                       PhysCellId,
   cgi-Info
                                       SEQUENCE {
        cellGlobalId
                                           CellGlobalIdEUTRA,
        trackingAreaCode
                                           TrackingAreaCode,
       plmn-IdentityList
                                          PLMN-IdentityList2
                                                                          OPTIONAL
                                                              OPTIONAL,
    measResult
                                      SEQUENCE {
       rsrpResult
                                           RSRP-Range
                                                                          OPTIONAL.
        rsrqResult
                                           RSRQ-Range
                                                                          OPTIONAL,
        [[ additionalSI-Info-r9
                                              AdditionalSI-Info-r9
                                                                          OPTIONAL
        11,
        [[ primaryPLMN-Suitable-r12
                                              ENUMERATED {true}
                                                                          OPTIONAL.
           measResult-v1250
                                               RSRQ-Range-v1250
                                                                          OPTIONAL
        [[ rs-sinr-Result-r13
                                               RS-SINR-Range-r13
                                                                          OPTIONAL,
           cgi-Info-v1310
                                               SEQUENCE {
               freqBandIndicator-r13
                                                  FreqBandIndicator-r11
                                                                              OPTIONAL,
                                                   MultiBandInfoList-r11
               multiBandInfoList-r13
                                                                              OPTIONAL,
               freqBandIndicatorPriority-r13
                                                  ENUMERATED {true}
                                                                             OPTIONAL
                                                                          OPTIONAL
           }
        ]],
        [[
           measResult-v1360
                                               RSRP-Range-v1360
                                                                                  OPTIONAL
        11.
        [ [
           cgi-Info-5GC-r15
                                  SEQUENCE (SIZE (1..maxPLMN-r11)) OF CellAccessRelatedInfo-5GC-
        OPTIONAL
r15
        ]]
    }
MeasResultListIdle-r15 ::= SEQUENCE (SIZE (1..maxIdleMeasCarriers-r15)) OF MeasResultIdle-r15
MeasResultIdle-r15 ::= SEQUENCE {
   measResultServingCell-r15
                                              SEQUENCE {
       rsrpResult-r15
                                       RSRP-Range,
                                       RSRQ-Range-r13
       rsrqResult-r15
    measResultNeighCells-r15 CHOICE {
      measResultIdleListEUTRA-r15
                                    MeasResultIdleListEUTRA-r15,
    }
                                                                      OPTIONAL.
MeasResultIdleListEUTRA-r15 ::= SEQUENCE (SIZE (1..maxCellMeasIdle-r15)) OF MeasResultIdleEUTRA-r15
MeasResultIdleEUTRA-r15 ::= SEQUENCE {
                                       ARFCN-ValueEUTRA-r9,
   carrierFreq-r15
    physCellId-r15
                                       PhysCellId,
   measResult-r15
                                       SEQUENCE {
       rsrpResult-r15
                                          RSRP-Range,
       rsrqResult-r15
                                           RSRQ-Range-r13
    },
}
MeasResultListExtIdle-r16 ::= SEQUENCE(SIZE (1..maxIdleMeasCarriersExt-r16)) OF
MeasResultIdleListEUTRA-r15
MeasResultListIdleNR-r16 ::= SEQUENCE(SIZE (1..maxIdleMeasCarriers-r16)) OF MeasResultIdleNR-r16
MeasResultIdleNR-r16 ::=
                              SEQUENCE {
                                           ARFCN-ValueNR-r15,
   carrierFreqNR-r16
    measResultsPerCellListIdleNR-r16
                                      SEQUENCE (SIZE (1..maxCellMeasIdle-r15)) OF
MeasResultsPerCellIdleNR-r16,
MeasResultsPerCellIdleNR-r16 ::=
                                   SEQUENCE {
                                       PhysCellIdNR-r15,
   physCellIdNR-r16
                                          SEQUENCE {
   measIdleResultNR-r16
                                                                   OPTIONAL,
      rsrpResultNR-r16
                                              RSRP-RangeNR-r15
```

```
rsrqResultNR-r16
                                               RSRQ-RangeNR-r15
                                                                               OPTIONAL,
       resultRS-IndexList-r16
                                           ResultsPerSSB-IndexList-r16
                                                                           OPTIONAL
    },
}
ResultsPerSSB-IndexList-r16 ::= SEQUENCE (SIZE (1..maxRS-IndexReport-r15)) OF ResultsPerSSB-
IndexIdle-r16
ResultsPerSSB-IndexIdle-r16 ::=
                                   SEQUENCE {
   ssb-Index-r16
                                           RS-IndexNR-r15,
                                           SEQUENCE {
    ssb-Results-r16
       ssb-RSRP-Result-r16
                                               RSRP-RangeNR-r15
                                                                           OPTIONAL,
        ssb-RSRQ-Result-r16
                                               RSRQ-RangeNR-r15
                                                                           OPTIONAL
                                                                           OPTIONAL
}
MeasResultServFreqListNR-r15 ::=
                                   SEQUENCE (SIZE (1..maxServCell-r13)) OF MeasResultServFreqNR-r15
                                   SEQUENCE {
MeasResultServFreqNR-r15 ::=
   carrierFreq-r15
                                      ARFCN-ValueNR-r15,
    measResultSCell-r15
                                       MeasResultCellNR-r15
                                                                           OPTIONAL,
    measResultBestNeighCell-r15
                                       MeasResultCellNR-r15
                                                                           OPTIONAL,
}
MeasResultCellListNR-r15::=
                             SEQUENCE (SIZE (1..maxCellReport)) OF MeasResultCellNR-r15
MeasResultCellNR-r15 ::=
                                   SEQUENCE {
   pci-r15
                                       PhysCellIdNR-r15,
    measResultCell-r15
                                       MeasResultNR-r15,
   measResultRS-IndexList-r15
                                       MeasResultSSB-IndexList-r15
                                                                               OPTIONAL,
                                           CGI-InfoNR-r15
                                                                       OPTIONAL
    [[ cgi-Info-r15
    ]]
}
MeasResultNR-r15 ::=
                                   SEQUENCE {
                                                                               OPTIONAL.
   rsrpResult-r15
                                      RSRP-RangeNR-r15
                                                                               OPTIONAL,
   rsrqResult-r15
                                       RSRQ-RangeNR-r15
   rs-sinr-Result-r15
                                       RS-SINR-RangeNR-r15
                                                                               OPTIONAL,
}
MeasResultSSB-IndexList-r15::=
                                 SEQUENCE (SIZE (1..maxRS-IndexReport-r15)) OF MeasResultSSB-
Index-r15
MeasResultSSB-Index-r15 ::=
                              SEQUENCE {
    ssb-Index-r15
                                       RS-IndexNR-r15,
   measResultSSB-Index-r15
                                       MeasResultNR-r15
                                                                           OPTIONAL,
}
MeasResultServFreqList-r10 ::= SEQUENCE (SIZE (1..maxServCell-r10)) OF MeasResultServFreq-r10
MeasResultServFreqListExt-r13 ::= SEQUENCE (SIZE (1..maxServCell-r13)) OF MeasResultServFreq-r13
MeasResultServFreq-r10 ::=
                                   SEQUENCE {
    servFreqId-r10
                                       ServCellIndex-r10,
                                       SEQUENCE {
    measResultSCell-r10
       rsrpResultSCell-r10
                                           RSRP-Range,
       rsrqResultSCell-r10
                                           RSRQ-Range
                                                               OPTIONAL,
                                       SEQUENCE {
    measResultBestNeighCell-r10
       physCellId-r10
                                           PhysCellId,
       rsrpResultNCell-r10
                                           RSRP-Range,
       rsrqResultNCell-r10
                                           RSRQ-Range
    }
                                                               OPTIONAL,
    [[ measResultSCell-v1250
                                           RSRQ-Range-v1250
                                                               OPTIONAL,
       measResultBestNeighCell-v1250
                                           RSRQ-Range-v1250
    11,
    [[ measResultSCell-v1310
                                           SEQUENCE {
          rs-sinr-Result-r13
                                              RS-SINR-Range-r13
            OPTIONAL,
       measResultBestNeighCell-v1310
                                           SEQUENCE {
           rs-sinr-Result-r13
                                               RS-SINR-Range-r13
             OPTIONAL
```

```
]]
{\tt MeasResultServFreq-r13} ::= \\ {\tt SEQUENCE} \ \{
                                   ServCellIndex-r13,
SEQUENCE {
   servFreqId-r13
   measResultSCell-r13
                                        RSRP-Range,
        rsrpResultSCell-r13
        rsrqResultSCell-r13
                                            RSRO-Range-r13,
       rs-sinr-Result-r13
                                           RS-SINR-Range-r13 OPTIONAL
                                                                 OPTIONAL,
                                      SEQUENCE {
    measResultBestNeighCell-r13
                                          PhysCellId,
       physCellId-r13
        rsrpResultNCell-r13
                                            RSRP-Range,
        rsrqResultNCell-r13
                                            RSRQ-Range-r13,
        rs-sinr-Result-r13
                                            RS-SINR-Range-r13 OPTIONAL
    }
                                                                OPTIONAL,
    [[ measResultBestNeighCell-v1360 rsrpResultNCell-v1360
                                            SEQUENCE {
                                                RSRP-Range-v1360
                                                                 OPTIONAL
    ]]
MeasResultCSI-RS-List-r12 ::= SEQUENCE (SIZE (1..maxCellReport)) OF MeasResultCSI-RS-r12
MeasResultCSI-RS-r12 ::=
                              SEQUENCE {
                                MeasCSI-RS-Id-r12,
   measCSI-RS-Id-r12
    meascs1-RS-Id-r12
csi-RSRP-Result-r12
                                    CSI-RSRP-Range-r12,
}
MeasResultListUTRA ::=
                                   SEQUENCE (SIZE (1..maxCellReport)) OF MeasResultUTRA
MeasResultUTRA ::= SEQUENCE {
   physCellId
                                        CHOICE {
                                            PhysCellIdUTRA-FDD,
        fdd
        tdd
                                            PhysCellIdUTRA-TDD
    },
    cgi-Info
                                        SEQUENCE {
        cellGlobalId
                                           CellGlobalIdUTRA,
                                            BIT STRING (SIZE (16)) OPTIONAL,
BIT STRING (SIZE (8)) OPTIONAL,
PLMN-IdentityList2 OPTIONAL
        locationAreaCode
        routingAreaCode
       plmn-IdentityList
                                           PLMN-IdentityList2
                                                                OPTIONAL,
    measResult
                                        SEQUENCE {
        utra-RSCP
                                            INTEGER (-5..91)
                                                                             OPTIONAL,
                                            INTEGER (0..49)
        utra-EcNO
                                                                             OPTIONAL.
        [[ additionalSI-Info-r9
                                                AdditionalSI-Info-r9
                                                                                     OPTIONAL
        ]],
                                               ENUMERATED {true}
        [[ primaryPLMN-Suitable-r12
                                                                            OPTIONAL
        ]]
    }
MeasResultListGERAN ::=
                                   SEQUENCE (SIZE (1..maxCellReport)) OF MeasResultGERAN
MeasResultGERAN ::= SEQUENCE {
   carrierFreq
                                        CarrierFreqGERAN,
                                        PhysCellIdGERAN,
    physCellId
                                        SEQUENCE {
    cgi-Info
        cellGlobalId
                                            CellGlobalIdGERAN,
       routingAreaCode
                                            BIT STRING (SIZE (8))
                                                                             OPTIONAL.
                                        SEQUENCE {
    measResult
       rssi
                                           INTEGER (0..63),
        . . .
}
                                  SEQUENCE {
MeasResultsCDMA2000 ::=
   sResultsCDMA2000 ::=
preRegistrationStatusHRPD
measResultListCDMA2000
                                   BOOLEAN,
                                        MeasResultListCDMA2000
                                   SEQUENCE (SIZE (1..maxCellReport)) OF MeasResultCDMA2000
MeasResultListCDMA2000 ::=
MeasResultCDMA2000 ::= SEQUENCE {
```

```
physCellId
                                      PhysCellIdCDMA2000,
    cgi-Info
                                      CellGlobalIdCDMA2000
                                                                        OPTIONAL,
   measResult
                                      SEQUENCE {
                                         INTEGER (0..32767)
                                                                       OPTIONAL.
       pilotPnPhase
       pilotStrength
                                          INTEGER (0..63),
}
MeasResultListWLAN-r13 ::=
                             SEQUENCE (SIZE (1..maxCellReport)) OF MeasResultWLAN-r13
MeasResultListWLAN-r14 ::=
                             SEQUENCE (SIZE (1..maxWLAN-Id-Report-r14)) OF MeasResultWLAN-r13
MeasResultWLAN-r13 ::= SEQUENCE {
   wlan-Identifiers-r13
                                          WLAN-Identifiers-r12,
    carrierInfoWLAN-r13
                                         WLAN-CarrierInfo-r13
                                                                OPTIONAL,
   bandWLAN-r13
                                         WLAN-BandIndicator-r13 OPTIONAL,
   rssiWLAN-r13
                                         WLAN-RSSI-Range-r13,
   availableAdmissionCapacityWLAN-r13
                                        INTEGER (0..31250)
                                                                OPTIONAL.
   backhaulDL-BandwidthWLAN-r13
                                         WLAN-backhaulRate-r12
                                                                OPTIONAL,
                                         WLAN-backhaulRate-r12 OPTIONAL,
   backhaulUL-BandwidthWLAN-r13
                                         INTEGER (0..65535) OPTIONAL,
   channelUtilizationWLAN-r13
                                         INTEGER (0..255)
    stationCountWLAN-r13
   connectedWLAN-r13
                                         ENUMERATED {true}
                                                                OPTIONAL,
}
MeasResultListCBR-r14 ::=
                                 SEQUENCE (SIZE (1..maxCBR-Report-r14)) OF MeasResultCBR-r14
{\tt MeasResultCBR-r14} ::= {\tt SEQUENCE} \ \{
   poolIdentity-r14 SL-V2X-TxPoolReportIdentity-r14,
    cbr-PSSCH-r14
                          SL-CBR-r14,
    cbr-PSCCH-r14
                          SL-CBR-r14
                                                 OPTIONAL
}
MeasResultSensing-r15 ::= SEQUENCE {
   sl-SubframeRef-r15 INTEGER (0..10239),
    sensingResult-r15
                             SEQUENCE (SIZE (0..400)) OF SensingResult-r15
INTEGER (1..2000)
                             SEQUENCE {
MeasResultForECID-r9 ::=
   ue-RxTxTimeDiffResult-r9
                                          INTEGER (0..4095),
    current SFN-r9
                                          BIT STRING (SIZE (10))
PLMN-IdentityList2 ::=
                                 SEQUENCE (SIZE (1..5)) OF PLMN-Identity
AdditionalSI-Info-r9 ::=
                                  SEOUENCE {
  csg-MemberStatus-r9
                                  ENUMERATED {member}
                                                                OPTIONAL,
                                                                        OPTIONAL
   csg-Identity-r9
                                     CSG-Identity
MeasResultForRSSI-r13 ::=
                                  SEQUENCE {
   rssi-Result-r13
                                         RSSI-Range-r13,
                                         INTEGER (0..100),
   channelOccupancy-r13
}
MeasResultForRSSI-NR-r16 ::=
                                  SEQUENCE {
  rssi-ResultNR-r16
                                     RSSI-Range-r13,
   channelOccupancyNR-r16
                                 INTEGER (0..100),
UL-PDCP-DelayResultList-r13 ::=
                                 SEQUENCE (SIZE (1..maxQCI-r13)) OF UL-PDCP-DelayResult-r13
UL-PDCP-DelayResult-r13 ::=
                                  SEOUENCE {
   qci-Id-r13
                                     ENUMERATED {gci1, gci2, gci3, gci4, spare4, spare3, spare2,
                                      spare1},
                                     INTEGER (0..31),
    excessDelay-r13
}
```

```
UL-PDCP-DelayValueResultList-r16 ::= SEQUENCE (SIZE (1..maxDRB)) OF UL-PDCP-DelayValueResult-
UL-PDCP-DelayValueResult-r16 ::= SEQUENCE {
   drb-Id-r16
                                           DRB-Identity,
                                           INTEGER (0..10000),
   averageDelay-r16
}
CGI-InfoNR-r15 ::=
                                  SEQUENCE {
   plmn-IdentityInfoList-r15
frequencyBandList-r15
                                  PLMN-IdentityInfoListNR-r15
MultiFrequencyBandListNR-r15
                                                                         OPTIONAL,
                                                                              OPTTONAL.
   noSIB1-r15
                                      SEQUENCE {
       ssb-SubcarrierOffset-r15
                                               INTEGER (0..15),
       pdcch-ConfigSIB1-r15
                                               INTEGER (0..255)
                                                                               OPTIONAL,
    [[
   plmn-IdentityInfoList-v1710 PLMN-IdentityInfoListNR-v1710 OPTIONAL
    ]]
}
CellIdentityNR-r15 ::=
                                   BIT STRING (SIZE (36))
PLMN-IdentityListNR-r15 ::=
                                  SEQUENCE (SIZE (1.. maxPLMN-NR-r15)) OF PLMN-Identity
PLMN-IdentityInfoListNR-r15 ::=
                                  SEQUENCE (SIZE (1..maxPLMN-NR-r15)) OF PLMN-IdentityInfoNR-r15
PLMN-IdentityInfoListNR-v1710 ::= SEQUENCE (SIZE (1..maxPLMN-NR-r15)) OF PLMN-IdentityInfoNR-v1710
PLMN-IdentityInfoNR-r15 ::= SEQUENCE {
   N-IdentityInfonk 115
plmn-IdentityList-r15
                              PLMN-IdentityListNR-r15,
    trackingAreaCode-r15
                                       TrackingAreaCodeNR-r15
                                                                     OPTIONAL,
   ran-AreaCode-r15
                                      RAN-AreaCode-r15
                                                                          OPTIONAL.
   cellIdentity-r15
                                      CellIdentityNR-r15
PLMN-IdentityInfoNR-v1710 ::= SEQUENCE {
                                       INTEGER (22..32)
                                                                         OPTIONAL
   gNB-ID-Length-r17
TrackingAreaCodeNR-r15 ::=
                                  BIT STRING (SIZE (24))
-- ASN1STOP
```

#### MeasResults field descriptions

### availableAdmissionCapacityWLAN

Indicates the available admission capacity of WLAN as defined in IEEE 802.11-2012 [67].

#### averageDelay

Indicates average delay for the packets during the reporting period, as specified in TS 38.314 [103]. Value 0 corresponds to 0 millisecond, value 1 corresponds to 0.1 millisecond, value 2 corresponds to 0.2 millisecond, and so on.

### backhaulDL-BandwidthWLAN

Indicates the backhaul available downlink bandwidth of WLAN, equal to Downlink Speed times Downlink Load defined in Wi-Fi Alliance Hotspot 2.0 [76].

#### backhaulUL-BandwidthWLAN

Indicates the backhaul available uplink bandwidth of WLAN, equal to Uplink Speed times Uplink Load defined in Wi-Fi Alliance Hotspot 2.0 [76].

## bandWLAN

Indicates the WLAN band.

## carrierFreq

Indicates the E-UTRA carrier frequency. Within *MeasResultIdleListEUTRA-r15*, UE only includes measurements with the same carrier frequency.

## carrierFreqNR

Indicates the NR carrier frequency.

#### carrierInfoWLAN

Indicates the WLAN channel information.

#### cbr-PSSCH

Indicates the CBR measurement results on the PSSCH of the pool indicated by *poolIdentity*. If *adjacencyPSCCH-PSSCH* is set to *TRUE* for the pool indicated by *poolIdentity*, this field indicates the CBR measurement of both the PSSCH and PSCCH resources which are measured together.

#### chr-PSCCH

Indicates the CBR measurement results on the PSCCH of the pool indicated by *poolIdentity*. This field is only included if *adjacencyPSCCH-PSSCH* is set to *FALSE* for the pool indicated by *poolIdentity*.

### channelOccupancy

Indicates the percentage of samples when the RSSI was above the configured *channelOccupancyThreshold* for the associated *reportConfig*.

### channelUtilizationWLAN

Indicates WLAN channel utilization as defined in IEEE 802.11-2012 [67].

#### coarseLocationInfo

This field indicates the coarse location information reported by the UE. This field is coded as the Ellipsoid-Point IE defined in TS 37.355 [109]. The first/leftmost bit of the first octet contains the most significant bit. The least significant bits of *degreesLatitude* and *degreesLongitude* are set to 0 to meet the accuracy requirement which corresponds to a granularity of approximately 2 km.

It is up to UE implementation as to how many LSBs are set to 0 to meet the accuracy requirement.

#### connectedWLAN

Indicates whether the UE is connected to the WLAN for which the measurement results are applicable.

## csg-MemberStatus

Indicates whether or not the UE is a member of the CSG of the neighbour cell.

#### currentSFN

Indicates the current system frame number when receiving the UE Rx-Tx time difference measurement results from lower layer.

## drb-ld

Indicates the identity of DRB for which UL PDCP Packet Delay value is provided, according to TS 38.314 [103].

#### excessDelay

Indicates excess queueing delay ratio in UL, according to excess delay ratio measurement report mapping table, as defined in TS 36.314 [71], Table 4.2.1.1.1-1.

# gNB-ID-Length

Indicates the length of the gNB ID corresponding to the associated entry in the PLMN-IdentityInfoNR.

#### heightUE

Indicates height of the UE in meters relative to the sea level. Value 0 corresponds to sea level (i.e., negative value indicates depth of the UE below sea level). Value -400 corresponds to -400 m, value -399 corresponds to -399 m and so on.

### IocationAreaCode

A fixed length code identifying the location area within a PLMN, as defined in TS 23.003 [27].

#### measlo

Identifies the measurement identity for which the reporting is being performed. If the *measId-v1250* is included, the *measId* (i.e. without a suffix) is ignored by eNB.

## measIdleResultNR

Idle/inactive measurement results for an NR cell (optionally including beam level measurements).

#### MeasResults field descriptions

#### measResult

Measured result of an E-UTRA cell;

Measured result of a UTRA cell;

Measured result of a GERAN cell or frequency:

Measured result of a CDMA2000 cell;

Measured result of a WLAN:

Measured result of UE Rx-Tx time difference:

Measured result of UE SFN, radio frame and subframe timing difference; or

Measured result of RSSI and channel occupancy.

#### measResultCSI-RS-List

Measured results of the CSI-RS resources in discovery signals measurement.

## measResultListCDMA2000

List of measured results for the maximum number of reported best cells for a CDMA2000 measurement identity.

#### measResultListEUTRA

List of measured results for the maximum number of reported best cells for an E-UTRA measurement identity. For UE supporting CE Mode B, when CE mode B is not restricted by upper layers, *measResult-v1360* is reported if the measured RSRP is less than -140 dBm.

#### measResultListGERAN

List of measured results for the maximum number of reported best cells or frequencies for a GERAN measurement identity.

## measResultListIdle

List of measured results for E-UTRA idle/inactive measurements.

#### measResultListIdleNR

List of measured results for NR idle/inactive measurements.

#### measResultListSFTD

List of measured SFTD results for the reported cells for a NR measurement identity.

#### measResultListUTRA

List of measured results for the maximum number of reported best cells for a UTRA measurement identity.

#### measResultListWLAN

List of measured results for the maximum number of reported best WLAN outside the WLAN mobility set and connected WLAN, if any, for a WLAN measurement identity.

### measResultPCell

Measured result of the PCell. For BL UEs or UEs in CE, when operating in CE Mode B, *measResultPCell-v1360* is reported if the measured RSRP is less than -140 dBm. If sending of the MeasurementReport message is triggered by a measurement configured by the field *sl-ConfigDedicatedEUTRA* that was received within an NR RRCReconfiguration message (i.e. CBR measurements), *measResultPCell* is not applicable, its contents is invalid

and ignored by the network.

## measResultsCDMA2000

Contains the CDMA2000 HRPD pre-registration status and the list of CDMA2000 measurements.

## measResultServFreqList

Measured results of the serving frequencies: the measurement result of each SCell, if any, and of the best neighbouring cell on each serving frequency. For UE supporting CE Mode B, when CE mode B is not restricted by upper layers, *measResultBestNeighCell-v1360* is reported if the measured RSRP is less than -140 dBm.

## measResultServingCell

Measured results of the serving cell (i.e., PCell) from idle/inactive measurements.

## measResultsPerCellListIdleNR

List of idle/inactive measured results for the maximum number of reported best cells for a given NR carrier.

#### noSIB1

Contains ssb-SubcarrierOffset and pdcch-ConfigSIB1 fields acquired by the UE from MIB of the cell for which report CGI procedure was requested by the network in case SIB1 was not broadcast by the cell.

## pilotPnPhase

Indicates the arrival time of a CDMA2000 pilot, measured relative to the UE's time reference in units of PN chips, see C.S0005 [25]. This information is used in either SRVCC handover or enhanced 1xRTT CS fallback procedure to CDMA2000 1xRTT.

## pilotStrength

CDMA2000 Pilot Strength, the ratio of pilot power to total power in the signal bandwidth of a CDMA2000 Forward Channel. See C.S0005 [25] for CDMA2000 1xRTT and C.S0024 [26] for CDMA2000 HRPD.

#### poolldentity

The identity of the transmission resource pool which is corresponding to the *poolReportId* configured in a resource pool for V2X sidelink communication.

### plmn-IdentityList

The list of PLMN Identity read from broadcast information when the multiple PLMN Identities are broadcast.

# preRegistrationStatusHRPD

Set to TRUE if the UE is currently pre-registered with CDMA2000 HRPD. Otherwise set to FALSE. This can be ignored by the eNB for CDMA2000 1xRTT.

## MeasResults field descriptions

#### qci-ld

Indicates QCI value for which excessDelay is provided, according to TS 36.314 [71].

#### resourceIndex

Indicates the available resource candidates within the [T1, T2] window as specified in TS 36.213 [23]. clause 14.1.1.6. Value 1 indicates the resource candidate on the subframe indicated by *sl-SubframeRef*, from subchannel 0 to *sensingSubchannelNumber-*1. Value 2 indicates the resource candidate on the first subframe following the subframe indicated by *sl-SubframeRef*, from subchannel 0 to *sensingSubchannelNumber-*1 (Value 101 indicates the resource candidate on the subframe indicated by *sl-SubframeRef*, from subchannel 1 to *sensingSubchannelNumber*, if the *numSubchannel* of the resource pool is larger than *sensingSubchannelNumber*) and so on.

## resultRS-IndexList

Beam level measurement results (indexes and optionally, beam measurements).

# routingAreaCode

The RAC identity read from broadcast information, as defined in TS 23.003 [27].

#### rsrpResult

Measured RSRP result of an E-UTRA cell.

The rsrpResult is only reported if configured by the eNB.

#### rsrpResultNR

Measured RSRP result of an NR cell.

The rsrpResultNR is only reported if configured by the eNB.

#### rsraResult

Measured RSRQ result of an E-UTRA cell.

The *rsrqResult* is only reported if configured by the eNB.

If the measurement is performed in RRC\_CONNECTED and measurements based on RSS is enabled in the cell in measRSS-DedicatedConfig-r16, E-UTRAN ignores rsrqResult.

#### rsrgResultNR

Measured RSRQ result of an NR cell.

The rsrqResultNR is only reported if configured by the eNB.

#### rssi

GERAN Carrier RSSI. RXLEV is mapped to a value between 0 and 63, TS 45.008 [28]. When mapping the RXLEV value to the RSSI bit string, the first/leftmost bit of the bit string contains the most significant bit.

#### rssi-Result

Measured RSSI result in dBm.

## rs-sinr-Result

Measured RS-SINR result of an E-UTRA or NR cell. The rs-sinr-Result is only reported if configured by the eNB.

## rssiWLAN

Measured WLAN RSSI result in dBm.

# sl-SubframeRef

Indicates the subframe corresponding to n+T1 used to obtain the sensing measurement results (see TS 36.213 [23]). Specifically, the value indicates the timing offset with respect to subframe#0 of DFN#0 in milliseconds.

#### stationCountWLAN

Indicates the total number stations currently associated with this WLAN as defined in IEEE 802.11-2012 [67].

## ue-RxTxTimeDiffResult

UE Rx-Tx time difference measurement result of the PCell, provided by lower layers. If ue-

RxTxTimeDiffPeriodicalTDD-r13 is set to TRUE, the measurement mapping is according to EUTRAN TDD UE Rx-Tx time difference report mapping in TS 36.133 [16] and measurement result includes  $N_{TAOffset}$ , else the measurement mapping is according to EUTRAN FDD UE Rx-Tx time difference report mapping in TS 36.133 [16].

## uncomBarPreMeasResult

This field provides barometric pressure measurements as *Sensor-MeasurementInformation* defined in TS 37.355 [109]. The first/leftmost bit of the first octet contains the most significant bit.

#### utra-EcN0

According to CPICH\_Ec/No in TS 25.133 [29] for FDD. Fourteen spare values. The field is not present for TDD.

## utra-RSCP

According to CPICH\_RSCP in TS 25.133 [29] for FDD and P-CCPCH\_RSCP in TS 25.123 [30] for TDD. Thirty-one spare values.

## wlan-Identifiers

Indicates the WLAN parameters used for identification of the WLAN for which the measurement results are applicable.

## MeasResultCellSFTD

The IE *MeasResultCellSFTD* consists of SFN and radio frame boundary difference between the PCell and an NR cell as specified in TS 38.215 [89] and TS 38.133 [84].

## MeasResultCellSFTD information element

## MeasResultCellSFTD field descriptions

## physCellId

Indicates the physical layer identity (PCI) of an NR cell.

#### sfn-OffsetResult

Indicates the SFN difference between the PCell and the NR cell as an integer value according to TS 38.215 [89].

#### frameBoundaryOffsetResult

Indicates the frame boundary difference between the PCell and the NR cell as an integer value according to TS 38.215 [89].

## rsrpResult

Measured RSRP result of an NR cell.

## MeasResultSCG-FailureMRDC

The IE *MeasResultSCG-FailureMRDC* is used to provide measurement information concerning E-UTRA measurements upon SCG failure detected by a UE configured with NE-DC.

## MeasResultSCG-FailureMRDC information element

```
-- ASN1START
MeasResultSCG-FailureMRDC-r15 ::= SEOUENCE {
    measResultFreqListEUTRA-r15 MeasResultList3EUTRA-r15,
        logMeasResultListBT-r16
    [[ locationInfo-r16
                                      LocationInfo-r10
                                                                               OPTIONAL,
        J...caskesultListBT-r16
logMeasResultListWLAN-r16
                                       LogMeasResultListBT-r15
                                                                                OPTIONAL.
                                       LogMeasResultListWLAN-r15
                                                                                OPTIONAL
    ]]
MeasResultList3EUTRA-r15 ::=
                                   SEQUENCE (SIZE (1..maxFreq)) OF MeasResult3EUTRA-r15
MeasResult3EUTRA-r15 ::=
                                    SEQUENCE {
   carrierFreq-r15
                                       ARFCN-ValueEUTRA-r9,
   measResultServingCell-r15
                                                                        OPTIONAL,
                                        MeasResultEUTRA
   measResultNeighCellList-r15
                                   MeasResultListEUTRA
                                                                   OPTIONAL,
}
-- ASN1STOP
```

## MeasResultSSTD

The IE *MeasResultSSTD* consists of SFN, radio frame and subframe boundary difference between the PCell and the PSCell as specified in TS 36.214 [48] and TS 36.133 [16].

## MeasResultSSTD information element

```
-- ASN1START

MeasResultSSTD-r13 ::= SEQUENCE {
    sfn-OffsetResult-r13 INTEGER (0..1023),
    frameBoundaryOffsetResult-r13 INTEGER (-5..4),
    subframeBoundaryOffsetResult-r13 INTEGER (0..127)
}

-- ASN1STOP
```

## MeasResultSSTD field descriptions

#### sfn-OffsetResult

Indicates the SFN difference between the PCell and the PSCell as an integer value according to TS 36.214 [48].

#### frameBoundaryOffsetResult

Indicates the frame boundary difference between the PCell and the PSCell as an integer value according to TS 36.214 [48].

#### subframeBoundaryOffsetResult

Indicates the subframe boundary difference between the PCell and the PSCell as an integer value according to the mapping table in TS 36.133 [16].

## MeasScaleFactor

The IE MeasScaleFactor specifies the factor for scaling the measurement performance requirements in TS 36.133 [16].

#### MeasScaleFactor information element

```
-- ASN1START

MeasScaleFactor-r12 ::= ENUMERATED {sf-EUTRA-cf1, sf-EUTRA-cf2}

-- ASN1STOP
```

NOTE: If the *reducedMeasPerformance* is not included in any *measObjectEUTRA* or *measObjectUTRA* and the *measScaleFactor* is included in the *measConfig*, E-UTRAN can configure any of the values for the *measScaleFactor* as specified in TS 36.133 [16].

# MeasSensing-Config

The IE MeasSensing-Config specifies the input factors for sensing measurement as specified in TS 36.213 [23].

## MeasSensing-Config information element

## MeasSensing-Config field descriptions

## sensingReselectionCounter

Indicate the value of SL\_RESOURCE\_RESELECTION\_COUNTER, which is used to derive  $C_{\it resel}$ , as specified in TS 36.213 [23], clause 14.1.1.6.

### sensingSubchannelNumber

Indicate the number of sub-channels, i.e., parameter  $L_{\rm subCH}$ , as specified in TS 36.213 [23], clause 14.1.1.6.

## sensingPeriodicity

Indicate the resource reservation interval, i.e., parameter  $P_{\rm rsvp\_TX}$  , as specified in TS 36.213 [23], clause 14.1.1.6.

## sensingPriority

Indicate the priority, i.e., parameter  $prio_{TX}$  as specified in TS 36.213 [23], clause 14.1.1.6.

## – MTC-SSB-NR

The IE *MTC-SSB-NR* specifies the SS/PBCH block measurement timing configuration (SMTC) applicable for SSB based NR measurements i.e. the time occasions for performing these measurements, see 5.5.2.13.

#### MTC-SSB-NR information elements

```
-- ASN1START
MTC-SSB-NR-r15 ::= SEQUENCE {
                                  CHOICE {
   periodicityAndOffset-r15
                                   INTEGER (0..4),
       sf5-r15
       sf10-r15
                                     INTEGER (0..9),
       sf20-r15
                                       INTEGER (0..19),
                                      INTEGER (0..39),
       sf40-r15
       sf80-r15
                                      INTEGER (0..79),
       sf160-r15
                                  INTEGER (0..159)
   ssb-Duration-r15
                                     ENUMERATED {sf1, sf2, sf3, sf4, sf5 }
MTC-SSB2-LP-NR-r16::= SEQUENCE {
                    SEQUENCE (SIZE (1..maxNrofPCI-PerSMTC-r16)) OF PhysCellidNR-r15
   pci-List-r16
                                                                      OPTIONAL,
                                                                                 -- Need OR
   periodicity-r16 ENUMERATED {sf10, sf20, sf40, sf80, sf160, spare3, spare2, spare1}
}
-- ASN1STOP
```

## MTC-SSB-NR field descriptions

## pci-List

PCIs that are known to follow this SMTC.

# QuantityConfig

The IE *QuantityConfig* specifies the measurement quantities and layer 3 filtering coefficients for E-UTRA and inter-RAT measurements.

# **QuantityConfig** information element

```
-- ASN1START
QuantityConfig ::=
                                   SEQUENCE {
    quantityConfigEUTRA
                                       QuantityConfigEUTRA
                                                                          OPTIONAL,
                                                                                      -- Need ON
    quantityConfigUTRA
                                       QuantityConfigUTRA
                                                                          OPTIONAL,
                                                                                      -- Need ON
    quantityConfigGERAN
                                       QuantityConfigGERAN
                                                                          OPTIONAL,
                                                                                      -- Need ON
    quantityConfigCDMA2000
                                       QuantityConfigCDMA2000
                                                                          OPTIONAL,
                                                                                      -- Need ON
    [[ quantityConfigUTRA-v1020
                                       QuantityConfigUTRA-v1020
                                                                          OPTIONAL
                                                                                      -- Need ON
    ]],
    [[ quantityConfigEUTRA-v1250
                                      QuantityConfigEUTRA-v1250
                                                                          OPTIONAL
                                                                                      -- Need ON
    ]],
    [[ quantityConfigEUTRA-v1310
                                       QuantityConfigEUTRA-v1310
                                                                          OPTIONAL,
                                                                                      -- Need ON
       quantityConfigWLAN-r13
                                       QuantityConfigWLAN-r13
                                                                          OPTIONAL
                                                                                      -- Need ON
    [[ quantityConfigNRList-r15
                                       QuantityConfigNRList-r15
                                                                          OPTIONAL
                                                                                       -- Need ON
    ]]
QuantityConfigEUTRA ::=
                                   SEQUENCE {
   filterCoefficientRSRP
                                    FilterCoefficient
                                                                          DEFAULT fc4,
    filterCoefficientRSRQ
                                      FilterCoefficient
                                                                          DEFAULT fc4
QuantityConfigEUTRA-v1250 ::=
                                   SEQUENCE {
                                      FilterCoefficient
                                                                          OPTIONAL
                                                                                          -- Need
    filterCoefficientCSI-RSRP-r12
OR
}
QuantityConfigEUTRA-v1310 ::=
                                   SEOUENCE {
   filterCoefficientRS-SINR-r13
                                   FilterCoefficient
                                                                          DEFAULT fc4
```

```
}
QuantityConfigUTRA ::= SEQUENCE {
   measQuantityUTRA-FDD ENUMERATED {cpich-RSCP, cpich-EcN0},
   measQuantityUTRA-TDD ENUMERATED {pccpch-RSCP},
   filterCoefficient FilterCoefficient
    filterCoefficient
                                              FilterCoefficient
                                                                                         DEFAULT fc4
    filterCoefficient2-FDD-r10 FilterC
QuantityConfigUTRA-v1020 ::=
                                           FilterCoefficient
                                                                                          DEFAULT fc4
QuantityConfigGERAN ::= SEQUENCE {
    measQuantityGERAN ENUMERA

                                            ENUMERATED {rssi},
    filterCoefficient
                                               FilterCoefficient
                                                                                         DEFAULT fc2
}
QuantityConfigCDMA2000 ::= SEQUENCE {
    measOuantityCDMA2000 ENUMER
   measQuantityCDMA2000
                                            ENUMERATED {pilotStrength, pilotPnPhaseAndPilotStrength}
QuantityConfigNRList-r15 ::= SEQUENCE (SIZE (1..maxQuantSetsNR-r15)) OF QuantityConfigNR-r15
    ntityConfigNR-r15 ::=
measQuantityCellNR-r15
measQuantityRS-IndexNR-r15
                                         SEQUENCE {
QuantityConfigNR-r15 ::=
                                                QuantityConfigRS-NR-r15,
                                                                                         OPTIONAL
                                                QuantityConfigRS-NR-r15
QuantityConfigRS-NR-r15 ::= SEQUENCE {
    filterCoeff-RSRP-r15 FilterCoefficient
    filterCoeff-RSRQ-r15 FilterCoefficient
    filterCoefficient-SINR-r13 FilterCoefficient
                                                                            DEFAULT fc4,
                                                                                         DEFAULT fc4
DEFAULT fc4
-- ASN1STOP
```

## QuantityConfig field descriptions

#### filterCoefficient2-FDD

Specifies the filtering coefficient used for the UTRAN FDD measurement quantity, which is not included in measQuantityUTRA-FDD, when reportQuantityUTRA-FDD is present in ReportConfigInterRAT.

# filterCoefficientCSI-RSRP

Specifies the filtering coefficient used for CSI-RSRP.

#### filterCoefficientRSRP

Specifies the filtering coefficient used for RSRP.

#### filterCoefficientRSRQ

Specifies the filtering coefficient used for RSRQ.

#### filterCoefficientRS-SINR

Specifies the filtering coefficient used for RS-SINR.

## measQuantityCDMA2000

Measurement quantity used for CDMA2000 measurements. *pilotPnPhaseAndPilotStrength* is only applicable for *MeasObjectCDMA2000* of *cdma2000-Type* = *type1XRTT*.

## measQuantityRS-IndexNR

Specifies L3 filter configurations for measurement results of an NR RS index for a particular RS Type (e.g. SS/PBCH block) and the configurable measurement quantities (e.g. RSRP, RSRQ and SINR).

#### measQuantityGERAN

Measurement quantity used for GERAN measurements.

#### measQuantityCellINR

Specifies L3 filter configurations for measurement results of an NR cell for a particular RS Type (e.g. SS/PBCH block) and the configurable measurement quantities (e.g. RSRP, RSRQ and SINR).

#### measQuantityUTRA

Measurement quantity used for UTRA measurements.

## measQuantityWLAN

Measurement quantity used for WLAN measurements.

## quantityConfigCDMA2000

Specifies quantity configurations for CDMA2000 measurements.

## quantityConfigEUTRA

Specifies filter configurations for E-UTRA measurements.

## quantityConfigGERAN

Specifies quantity and filter configurations for GERAN measurements.

#### quantityConfigUTRA

Specifies quantity and filter configurations for UTRA measurements. Field *quantityConfigUTRA-v1020* is applicable only when *reportQuantityUTRA-FDD* is configured.

### quantityConfigWLAN

Specifies quantity and filter configurations for WLAN measurements.

# ReportConfigEUTRA

The IE *ReportConfigEUTRA* specifies criteria for triggering of an E-UTRA measurement reporting or conditional reconfiguration (i.e. conditional handover) event. The E-UTRA measurement reporting events concerning CRS are labelled AN with N equal to 1, 2 and so on.

Event A1: Serving becomes better than absolute threshold;

Event A2: Serving becomes worse than absolute threshold;

Event A3: Neighbour becomes amount of offset better than PCell/ PSCell;

Event A4: Neighbour becomes better than absolute threshold;

Event A5: PCell/ PSCell becomes worse than absolute threshold1 AND Neighbour becomes better than another

absolute threshold2;

Event A6: Neighbour becomes amount of offset better than SCell.

The E-UTRA measurement reporting events concerning CRS for conditional reconfigurations are labelled AN with N equal to 3 or 5.

CondEvent A3: Conditional reconfiguration candidate becomes amount of offset better than PCell;

CondEvent A5: PCell becomes worse than absolute threshold1 AND conditional reconfiguration candidate becomes better than another absolute threshold2;

The E-UTRA measurement reporting events concerning CSI-RS are labelled CN with N equal to 1 and 2.

Event C1: CSI-RS resource becomes better than absolute threshold;

Event C2: CSI-RS resource becomes amount of offset better than reference CSI-RS resource.

The E-UTRA measurement reporting events concerning CBR are labelled VN with N equal to 1 and 2.

Event V1: CBR becomes larger than absolute threshold;

Event V2: CBR becomes smaller than absolute threshold.

The E-UTRA reporting events concerning Aerial UE height are labelled HN with N equal to 1 and 2.

Event H1: Aerial UE height becomes higher than absolute threshold;

Event H2: Aerial UE height becomes lower than absolute threshold.

# ReportConfigEUTRA information element

```
-- ASN1START
ReportConfigEUTRA ::=
                                    SEQUENCE {
                                       CHOICE {
    triggerType
        event
                                            SEOUENCE {
            eventId
                                                CHOICE {
               eventA1
                                                    SEQUENCE {
                   al-Threshold
                                                        ThresholdEUTRA
                eventA2
                                                    SEQUENCE {
                   a2-Threshold
                                                        ThresholdEUTRA
                eventA3
                                                    SEQUENCE {
                                                        INTEGER (-30..30),
                   a3-Offset
                   reportOnLeave
                                                        BOOLEAN
                },
                                                    SEQUENCE {
                event.A4
                   a4-Threshold
                                                        ThresholdEUTRA
                eventA5
                                                    SEQUENCE {
                   a5-Threshold1
                                                        ThresholdEUTRA,
                    a5-Threshold2
                                                        ThresholdEUTRA
                },
                eventA6-r10
                                                    SEQUENCE {
                   a6-Offset-r10
                                                        INTEGER (-30..30),
                    a6-ReportOnLeave-r10
                                                        BOOLEAN
                eventC1-r12
                                                    SEQUENCE {
                   c1-Threshold-r12
                                                        ThresholdEUTRA-v1250,
                                                        BOOLEAN
                    c1-ReportOnLeave-r12
                eventC2-r12
                                                    SEOUENCE {
                   c2-RefCSI-RS-r12
                                                        MeasCSI-RS-Id-r12,
                    c2-Offset-r12
                                                        INTEGER (-30..30),
                    c2-ReportOnLeave-r12
                                                        BOOLEAN
                eventV1-r14
                                                    SEQUENCE {
                   v1-Threshold-r14
                                                        SL-CBR-r14
                eventV2-r14
                                                    SEQUENCE {
                    v2-Threshold-r14
                                                        SL-CBR-r14
                                                    SEQUENCE {
                eventH1-r15
                   h1-ThresholdOffset-r15
                                                        INTEGER (0..300),
                                                       INTEGER (1..16)
                    hl-Hysteresis-r15
                eventH2-r15
                                                    SEOUENCE {
                    h2-ThresholdOffset-r15
                                                        INTEGER (0..300),
                    h2-Hysteresis-r15
                                                        INTEGER (1..16)
```

```
hysteresis
                                                   Hysteresis,
            timeToTrigger
                                                   TimeToTrigger
        periodical
                                                   SEQUENCE {
                                                       ENUMERATED {
            purpose
                                                           reportStrongestCells, reportCGI}
    triggerQuantity
                                         ENUMERATED {rsrp, rsrq},
    reportQuantity
                                          ENUMERATED {sameAsTriggerQuantity, both},
    maxReportCells
                                          INTEGER (1..maxCellReport),
                                          ReportInterval,
    reportInterval
    reportAmount
                                          ENUMERATED {r1, r2, r4, r8, r16, r32, r64, infinity},
    [[ si-RequestForHO-r9
                                              ENUMERATED {setup}
                                                                      OPTIONAL,
                                                                                     -- Cond reportCGI
        ue-RxTxTimeDiffPeriodical-r9
                                              ENUMERATED {setup}
                                                                        OPTIONAL
                                                                                     -- Need OR
                                                                        OPTIONAL,
    [[ includeLocationInfo-r10
                                              ENUMERATED {true}
                                                                                     -- Need OR
                                              ENUMERATED {setup}
                                                                                     -- Need OR
        reportAddNeighMeas-r10
    ]],
       alternativeTimeToTrigger-r12
                                              CHOICE {
    [ [
           release
                                                  NULT.
                                                   TimeToTrigger
            setup
                                             OPTIONAL, -- Need ON

BOOLEAN OPTIONAL, -- Need ON

BOOLEAN OPTIONAL, -- Need ON

RSRQ-RangeConfig-r12 OPTIONAL, -- Need ON

RSRQ-RangeConfig-r12 OPTIONAL, -- Need ON

ROOLEAN OPTIONAL Need ON
                                             BOOLEAN
        useT312-r12
        usePSCell-r12
        aN-Threshold1-v1250
        a5-Threshold2-v1250
        reportStrongestCSI-RSs-r12
                                              BOOLEAN OPTIONAL, -- Need ON
BOOLEAN OPTIONAL, -- Need ON
        reportCRS-Meas-r12
                                             BOOLEAN
        triggerQuantityCSI-RS-r12
                                                               OPTIONAL
    11.
                                                              OPTIONAL,
    [[ reportSSTD-Meas-r13
                                              BOOLEAN
                                                                                 -- Need ON
        rs-sinr-Config-r13
                                              CHOICE {
            release
                                                 NULL,
                                                   SEQUENCE {
            setup
                                                     ENUMERATED {sinr} OPTIONAL, -- Need ON RS-SINR-Range-r13 OPTIONAL, -- Need ON RS-SINR-Range-r13 OPTIONAL, -- Need ON ENUMERATED {rsrpANDsinr, rsrqANDsinr, all}
                 triggerQuantity-v1310
                 aN-Threshold1-r13
                a5-Threshold2-r13
                reportQuantity-v1310
                                                                            OPTIONAL,
                                                                                          -- Need ON
        measRSSI-ReportConfig-r13 BOOLEAN
includeMultiBandInfo-r13 ENTIMPDAM
                                                                            OPTIONAL,
                                                                                         -- Need ON
                                              MeasRSSI-ReportConfig-r13 OPTIONAL,
                                                                                         -- Need ON
                                                                                         -- Cond
                                              ENUMERATED {true}
                                                                            OPTIONAL,
reportCGI
        ul-DelayConfig-r13
                                              UL-DelayConfig-r13
                                                                            OPTIONAL
                                                                                         -- Need ON
    [[ ue-RxTxTimeDiffPeriodicalTDD-r13
                                              BOOLEAN
                                                                             OPTIONAL
                                                                                          -- Need ON
    1],
    [ [
        purpose-v1430 ENUMERATED {reportLocation, sidelink, spare2, spare1}
                                                                           -- Need ON
                                                                OPTIONAL
    ]],
    [[
        maxReportRS-Index-r15 INTEGER (0..maxRS-IndexReport-r15) OPTIONAL
    11,
                                        BT-NameListConfig-r15 OPTIONAL, -- Need ON
    [[ includeBT-Meas-r15
        includeWLAN-Meas-r15
                                              WLAN-NameListConfig-r15
                                                                               OPTIONAL, -- Need
ON
        purpose-r15
                                ENUMERATED {sensing}
                                                                            OPTIONAL,
                                                                                         -- Need ON
        numberOfTriggeringCells-r15 INTEGER (2..maxCellReport) OPTIONAL, -- Cond a3a4a5 a4-a5-ReportOnLeave-r15 BOOLEAN OPTIONAL -- Cond a4a5
        a4-a5-ReportOnLeave-r15
    [[\ cond Reconfiguration Trigger EUTRA-r16\ Cond Reconfiguration Trigger EUTRA-r16\ OPTIONAL,\\
-- Need ON
                                              UL-DelayValueConfig-r16 OPTIONAL
        ul-DelayValueConfig-r16
                                                                                         -- Need ON
    ]],
    [[ includeUncomBarPreMeas-r17 BOOLEAN
                                                                            OPTIONAL,
                                                                                         -- Need ON
                                              ENUMERATED {true}
        coarseLocationReg-r17
                                                                            OPTIONAL
                                                                                          -- Need OR
    11
}
CondReconfigurationTriggerEUTRA-r16 ::= SEQUENCE {
    condEventId-r16
                                             CHOICE {
       condEventA3-r16
                                                  SEOUENCE {
```

```
INTEGER (-30..30),
          a3-Offset-r16
          hysteresis-r16
                                                  Hysteresis,
          timeToTrigger-r16
                                                  TimeToTrigger
       },
       condEventA5-r16
                                           SEQUENCE {
         a5-Threshold1-r16
                                                  ThresholdEUTRA,
          a5-Threshold2-r16
                                                  ThresholdEUTRA,
          hysteresis-r16
                                                  Hysteresis,
          timeToTrigger-r16
                                                  TimeToTrigger
       },
       . . .
   }
}
RSRQ-RangeConfig-r12 ::=
                               CHOICE {
                                NULL,
   setup
                                   RSRQ-Range-v1250
                              CHOICE {
ThresholdEUTRA ::=
   threshold-RSRP
                               RSRP-Range,
   threshold-RSRQ
                                   RSRQ-Range
ThresholdEUTRA-v1250 ::=
                               CSI-RSRP-Range-r12
MeasRSSI-ReportConfig-r13 ::= SEQUENCE {
  channelOccupancyThreshold-r13
                                       RSSI-Range-r13 OPTIONAL -- Need OR
-- ASN1STOP
```

## ReportConfigEUTRA field descriptions

#### a3-Offset/ a6-Offset/ c2-Offset

Offset value to be used in EUTRA measurement report triggering condition for event a3/ a6/ c2, or to be used in conditional reconfiguration trigger condition for cond event a3. The actual value is field value \* 0.5 dB.

## a5-Threshold1/a5-Threshold2

Threshold value associated to the selected trigger quantity (e.g. RSRP, RSRQ, SINR) to be used in conditional reconfiguration trigger condition for cond event a5. In the same *condeventA5*, the network configures the same quantity for the *TriggerQuantity* of the *a5-Threshold1* and for the *MeasTriggerQuantity* of the *a5-Threshold2*.

#### alternativeTimeToTrigger

Indicates the time to trigger applicable for cells specified in altTTT-CellsToAddModList of the associated measurement object, if configured

## aN-ThresholdM/ cN-ThresholdM

Threshold to be used in EUTRA measurement report triggering condition for event number aN/ cN. If multiple thresholds are defined for event number aN/ cN, the thresholds are differentiated by M. E-UTRAN configures aN-Threshold1 only for events A1, A2, A4, A5 and a5-Threshold2 only for event A5.

## c1-ReportOnLeave/c2-ReportOnLeave

Indicates whether or not the UE shall initiate the measurement reporting procedure when the leaving condition is met for a CSI-RS resource in *csi-RS-TriggeredList*, as specified in 5.5.4.1.

#### c2-RefCSI-RS

Identity of the CSI-RS resource from the *measCSI-RS-ToAddModList* of the associated *measObject*, to be used as the reference CSI-RS resource in EUTRA measurement report triggering condition for event c2.

## channelOccupancyThreshold

RSSI threshold which is used for channel occupancy evaluation.

## coarseLocationReg

If this field is set to true, the UE shall report coarse loaction information if available.

#### condEventId

Choice of conditional reconfiguration event triggered criteria.

# condReconfigurationTriggerEUTRA

Event configured for conditional reconfiguration. If this field is configured, the UE shall ignore the configuration of triggerType, reportQuantity, maxReportCells, reportInterval, and reportAmount.

#### eventld

Choice of E-UTRA event triggered reporting criteria. EUTRAN may set this field to *eventC1* or *eventC2* only if *measDS-Config* is configured in the associated *measObject* with one or more CSI-RS resources. The *eventC1* and *eventC2* are not applicable for the *eventId* if RS-SINR is configured as *triggerQuantity* or *reportQuantity*.

## h1-Hysteresis, h2-Hysteresis

This parameter is used within the entry and leave condition of an event triggered reporting condition for event H1 and event H2. The actual value is field value. If this field is configured UE shall ignore parameter *hysteresis*.

## h1-ThresholdOffset, h2-ThresholdOffset

An offset value to *heightThreshRef* to obtain the threshold to be used in EUTRA height report triggering condition for event H1 and event H2. The value for h1-ThresholdOffset and h2-ThresholdOffset is expressed in meters such that granularity is 2meters. Value 0 corresponds to offset value 0m, value 1 corresponds to offset value 2m, value 2 correspond to offset value 4m, and so on.

## includeMultiBandInfo

If this field is present, the UE shall acquire and include multi band information in the measurement report.

## maxReportCells

Max number of cells, excluding the serving cell, to include in the measurement report concerning CRS, and max number of CSI-RS resources to include in the measurement report concerning CSI-RS.

## measRSSI-ReportConfig

If this field is present, the UE shall perform measurement reporting for RSSI and channel occupancy and ignore the triggerQuantity, reportQuantity and maxReportCells fields. E-UTRAN sets this field to true only when setting triggerType to periodical and purpose to reportStrongestCells.

# number Of Triggering Cells

Indicates the number of cells detected that are required to fulfill an event for a measurement report to be triggered. This field is set only for the events concerning neighbor cells, i.e. eventA3, eventA4, eventA5.

#### reportAmount

Number of measurement reports applicable for *triggerType event* as well as for *triggerType periodical*. In case *purpose* is set to *reportCGI* or *reportSSTD-Meas* is set to *true*, only value 1 applies.

### reportCRS-Meas

If this field is set to TRUE the UE shall include rsrp, rsrq together with csi-rsrp in the measurement report, if possible.

## reportOnLeave/ a6-ReportOnLeave/ a4-a5-ReportOnLeave

Indicates whether or not the UE shall initiate the measurement reporting procedure when the leaving condition is met for a cell in *cellsTriggeredList*, as specified in 5.5.4.1.

## ReportConfigEUTRA field descriptions

### reportQuantity

The quantities to be included in the measurement report. The value both means that both the rsrp and rsrq quantities are to be included in the measurement report. The value *rsrpANDsinr* and *rsrqANDsinr* mean that both *rsrp* and *rs-sinr* quantities, and both *rsrq* and *rs-sinr* quantities are to be included respectively in the measurement report. The value *all* means that *rsrp*, *rsrq* and *rs-sinr* are to be included in the measurement report. In case *triggerQuantityCSI-RS* is set to *TRUE*, only value *sameAsTriggerQuantity* applies. If *reportQuantity-v1310* is configured, the UE only considers this extension (and ignores *reportQuantity* i.e. without suffix).

#### reportSSTD-Meas

If this field is set to *true*, the UE shall measure SSTD between the PCell and the PSCell as specified in TS 36.214 [48] and ignore the *triggerQuantity*, *reportQuantity* and *maxReportCells* fields. E-UTRAN sets this field to *true* only when setting *triggerType* to *periodical* and *purpose* to *reportStrongestCells*.

## reportStrongestCSI-RSs

Indicates that periodical CSI-RS measurement report is performed. EUTRAN configures value *TRUE* only if *measDS-Config* is configured in the associated *measObject* with one or more CSI-RS resources.

#### si-RequestForHO

The field applies to the *reportCGI* functionality, and when the field is included, the UE is allowed to use autonomous gaps in acquiring system information from the neighbour cell, applies a different value for T321, and includes different fields in the measurement report.

#### **ThresholdEUTRA**

For RSRP: RSRP based threshold for event evaluation. The actual value is field value - 140 dBm.

For RSRQ: RSRQ based threshold for event evaluation. The actual value is (field value - 40)/2 dB.

For RS-SINR: RS-SINR based threshold for event evaluation. The actual value is (field value -46)/2 dB.

For CSI-RSRP: CSI-RSRP based threshold for event evaluation. The actual value is field value - 140 dBm.

EUTRAN configures the same threshold quantity for all the thresholds of an event.

## timeToTrigger

Time during which specific criteria for the event needs to be met in order to trigger a measurement report, or to execute the conditional reconfiguration evaluation.

#### triggerQuantity

The quantity used to evaluate the triggering condition for the event concerning CRS. EUTRAN sets the value according to the quantity of the *ThresholdEUTRA* for this event. The values rsrp, rsrq and *sinr* correspond to Reference Signal Received Power (RSRP), Reference Signal Received Quality (RSRQ) and Reference Signal Signal to Noise and Interference Ratio (RS-SINR), see TS 36.214 [48]. If *triggerQuantity-v1310* is configured, the UE only considers this extension (and ignores *triggerQuantity* i.e. without suffix).

## triggerQuantityCSI-RS

The quantity used to evaluate the triggering condition for the event concerning CSI-RS. The value *TRUE* corresponds to CSI Reference Signal Received Power (CSI-RSRP), see TS 36.214 [48]. E-UTRAN configures value *TRUE* if and only if the measurement reporting event concerns CSI-RS.

#### ue-RxTxTimeDiffPeriodical

If this field is present, the UE shall perform UE Rx-Tx time difference measurement reporting and ignore the fields triggerQuantity, reportQuantity and maxReportCells. If the field is present, the only applicable values for the corresponding triggerType and purpose are periodical and reportStrongestCells respectively.

### ue-RxTxTimeDiffPeriodicalTDD

If this field is set to *TRUE*, the UE shall perform UE Rx-Tx time difference measurement reporting according to EUTRAN TDD UE Rx-Tx time difference report mapping in TS 36.133 [16]. If the field is configured, the *ue-RxTxTimeDiffPeriodical* shall be configured. The field is applicable for TDD only.

### useAllowedCellList

Indicates whether only the cells included in the list of allow-listed cells of the associated *measObject* are applicable as specified in 5.5.4.1. E-UTRAN does not configure the field for events A1, A2, C1 and C2.

### usePSCell

If this field is set to *TRUE* the UE shall use the PSCell instead of the PCell. E-UTRAN configures value *TRUE* only for events A3 and A5, see 5.5.4.4 and 5.5.4.6.

#### useT312

If value *TRUE* is configured, the UE shall use the timer T312 with the value *t312* as specified in the corresponding *measObject*. If the corresponding *measObject* does not include the timer T312 then the timer T312 is considered as not configured. E-UTRAN configures value *TRUE* only if *triggerType* is set to *event*.

#### ul-DelayConfig

If the field is present, E-UTRAN configures UL PDCP Packet Delay per QCI measurement and the UE shall ignore the fields *triggerQuantity* and *maxReportCells*. The applicable values for the corresponding *triggerType* and *reportInterval* are *periodical* and (one of the) ms1024, ms2048, ms5120 or ms10240 respectively. The *reportInterval* indicates the periodicity for performing and reporting of UL PDCP Delay per QCI measurement as specified in TS 36.314 [71].

## ul-DelayValueConfig

If the field is present, the UE shall perform the UL PDCP Packet Delay measurement per DRB as specified in TS 38.314 [103] and the UE shall ignore the fields *reportQuantityCell* and *maxReportCells*. The applicable values for the corresponding *reportInterval* are (one of the) { ms120, ms240, ms480, ms640, ms1024, ms2048, ms5120, ms10240, min1, min6, min12, min30, min60}. The *reportInterval* indicates the periodicity for performing and reporting of UL PDCP Packet Delay per DRB measurement as specified in TS 38.314 [103].

Conditional presence	Explanation
reportCGI	The field is optional, need OR, in case <i>purpose</i> is included and set to <i>reportCGI</i> ;
	otherwise the field is not present and the UE shall delete any existing value for this field.
a3a4a5	This field is optional, need OR, in case eventId is set to eventA3 or eventA4 or eventA5;
	otherwise, this field is not present and the UE shall delete any existing value of this field.
a4a5	This field is optional, need OR, in case eventId is set to eventA4 or eventA5; otherwise,
	this field is not present and the UE shall delete any existing value of this field.

# ReportConfigld

The IE ReportConfigId is used to identify a measurement reporting configuration.

# ReportConfigId information element

```
-- ASN1START

ReportConfigId ::= INTEGER (1..maxReportConfigId)

-- ASN1STOP
```

# ReportConfigInterRAT

The IE *ReportConfigInterRAT* specifies criteria for triggering of an inter-RAT measurement reporting event or of a CPA or MN initiated inter-SN CPC event. The inter-RAT measurement reporting events for NR, UTRAN, GERAN and CDMA2000 are labelled BN with N equal to 1, 2 and so on. The inter-RAT measurement reporting events for WLAN are labelled WN with N equal to 1, 2 and so on.

Event B1: Neighbour becomes better than absolute threshold;

Event B2: PCell becomes worse than absolute threshold1 AND Neighbour becomes better than another absolute

threshold2.

Event W1: WLAN becomes better than a threshold;

Event W2: All WLAN inside WLAN mobility set become worse than a threshold1 and a WLAN outside WLAN

mobility set becomes better than a threshold2;

Event W3: All WLAN inside WLAN mobility set become worse than a threshold.

CondEvent B1: Conditional reconfiguration candidate becomes better than absolute threshold.

The b1 and b2 event thresholds for CDMA2000 are the CDMA2000 pilot detection thresholds are expressed as an unsigned binary number equal to  $[-2 \times 10 \log 10 \text{ E}_c/I_o]$  in units of 0.5dB, see C.S0005 [25] for details.

# ReportConfigInterRAT information element

```
-- ASN1START
ReportConfigInterRAT ::=
                                     SEOUENCE {
    triggerType
        event
                                             SEQUENCE {
                                                 CHOICE {
            eventId
                eventB1
                                                     SEQUENCE {
                    b1-Threshold
                                                          CHOICE {
                        bl-ThresholdUTRA
                                                              ThresholdUTRA,
                        b1-ThresholdGERAN
                                                              ThresholdGERAN
                        b1-ThresholdCDMA2000
                                                              ThresholdCDMA2000
                eventB2
                                                     SEQUENCE {
                                                          ThresholdEUTRA,
                    b2-Threshold1
                    b2-Threshold2
                                                          CHOICE {
                        b2-Threshold2UTRA
                                                              ThresholdUTRA,
                        b2-Threshold2GERAN
                                                              ThresholdGERAN,
                        b2-Threshold2CDMA2000
                                                              ThresholdCDMA2000
```

```
},
              eventW1-r13
                                           SEQUENCE {
                 w1-Threshold-r13
                                           WLAN-RSSI-Range-r13
                                           SEQUENCE {
              eventW2-r13
                                           WLAN-RSSI-Range-r13,
                 w2-Threshold1-r13
                 w2-Threshold2-r13
                                           WLAN-RSSI-Range-r13
              eventW3-r13
                                           SEQUENCE {
                 w3-Threshold-r13
                                           WLAN-RSSI-Range-r13
              eventB1-NR-r15
                                                  SEQUENCE {
                 b1-ThresholdNR-r15
                                                  ThresholdNR-r15,
                 reportOnLeave-r15
                                                  BOOLEAN
              },
              eventB2-NR-r15
                                                  SEQUENCE {
                 b2-Threshold1-r15
                                                  ThresholdEUTRA,
                 b2-Threshold2NR-r15
                                                  ThresholdNR-r15,
                  reportOnLeave-r15
                                                  BOOLEAN
          hysteresis
                                       Hysteresis,
          timeToTrigger
                                       TimeToTrigger
       periodical
                                           SEQUENCE {
                                               ENUMERATED {
          purpose
                                                  reportStrongestCells,
                                                  reportStrongestCellsForSON,
                                                  reportCGI}
       }
   },
                                INTEGER (1..maxCellReport),
   {\tt maxReportCells}
   reportInterval
                                ReportInterval,
   reportAmount
                                ENUMERATED {r1, r2, r4, r8, r16, r32, r64, infinity},
   [[ si-RequestForHO-r9
                                   ENUMERATED {setup}
                                                         OPTIONAL -- Cond reportCGI
   ]],
   [[ reportQuantityUTRA-FDD-r10
                                  ENUMERATED {both}
                                                          OPTIONAL
                                                                    -- Need OR
   ]],
   [[ includeLocationInfo-r11
                                   BOOLEAN
                                                          OPTIONAL
                                                                    -- Need ON
   ]],
      b2-Threshold1-v1250
                                    CHOICE {
          release
                                       NULL,
                                       RSRQ-Range-v1250
          setup
       }
                                                         OPTIONAL
                                                                    -- Need ON
   ]],
   [[ reportQuantityWLAN-r13 ReportQuantityWLAN-r13 OPTIONAL
                                                                    -- Need ON
   ]],
   [[ reportAnyWLAN-r14
                                   BOOLEAN
                                                         OPTIONAL
                                                                    -- Need ON
   [[ reportQuantityCellNR-r15 ReportQuantityNR-r15 OPTIONAL,
                                                                    -- Need ON
       maxReportRS-Index-r15
                                    INTEGER (0..maxRS-IndexReport-r15) OPTIONAL,
                                                                               -- Need ON
       reportQuantityRS-IndexNR-r15 ReportQuantityNR-r15 OPTIONAL, -- Need ON reportRS-IndexResultsNR BOOLEAN OPTIONAL, -- Need ON
                                                         OPTIONAL,
       reportRS-IndexResultsNR
       reportSFTD-Meas-r15
                                   ENUMERATED {pSCell, neighborCells } OPTIONAL -- Need ON
   ]],
   11
       useAutonomousGapsNR-r16
       ENUMERATED {setup} OPTIONAL, -- Cond reportCGI-NR
   ]]
}
CondReconfigurationTriggerNR-r17 ::= SEQUENCE {
   condEventId-r17
                                    CHOICE {
       condEventB1-NR-r17
                                           SEQUENCE {
          b1-ThresholdNR-r17
                                              ThresholdNR-r15,
          hysteresis-r17
                                              Hysteresis,
          timeToTrigger-r17
                                              TimeToTrigger
       },
ThresholdUTRA ::=
                              CHOICE {
```

```
utra-RSCP
                                                                       INTEGER (-5..91),
      utra-EcN0
                                                                       INTEGER (0..49)
}
ThresholdGERAN ::=
                                                       INTEGER (0..63)
ThresholdCDMA2000 ::=
                                                      INTEGER (0..63)
                                                                              SEQUENCE {
ReportQuantityNR-r15::=
      ss-rsrp
                                                                                     BOOLEAN,
                                                                                     BOOLEAN,
      ss-rsrq
                                                                                     BOOLEAN
      ss-sinr
}
ReportQuantityWLAN-r13 ::=
                                                      SEQUENCE {
     bandRequestWLAN-r13
                                                                                     ENUMERATED {true} OPTIONAL, -- Need OR
      carrierInfoRequestWLAN-r13 ENUMERATED {true} OPTIONAL, -- Need OR availableAdmissionCapacityRequestWLAN-r13 ENUMERATED {true} OPTIONAL, -- Need OR backhaulDL-BandwidthRequestWLAN-r13 ENUMERATED {true} OPTIONAL, -- Need OR backhaulDL-BandwidthRequestWLAN-r13 ENUMERATED {true} OPTIONAL, -- Need OR channelUtilizationRequestWLAN-r13 ENUMERATED {true} OPTIONAL, -- Need OR stationCountRequestWLAN-r13 ENUMERATED {true} OPTIONAL, -- Need OR
}
-- ASN1STOP
```

## ReportConfigInterRAT field descriptions

### availableAdmissionCapacityRequestWLAN

The value true indicates that the UE shall include, if available, WLAN Available Admission Capacity in measurement reports.

## backhaulDL-BandwidthRequestWLAN

The value true indicates that the UE shall include, if available, WLAN Backhaul Downlink Bandwidth in measurement reports.

## backhaulUL-BandwidthRequestWLAN

The value true indicates that the UE shall include, if available, WLAN Backhaul Uplink Bandwidth in measurement reports.

### bandRequestWLAN

The value true indicates that the UE shall include WLAN band in measurement reports.

#### bN-ThresholdM

Threshold to be used in inter RAT measurement report triggering condition for event number bN. If multiple thresholds are defined for event number bN, the thresholds are differentiated by M.

## carrierInfoRequestWLAN

The value true indicates that the UE shall include, if available, WLAN Carrier Information in measurement reports.

#### channelUtilizationRequest-WLAN

The value true indicates that the UE shall include, if available, WLAN Channel Utilization in measurement reports.

## condReconfigurationTriggerNR

The conditional reconfiguration trigger event that is used for CPA or MN initiated inter-SN CPC. If this field is configured, the UE shall ignore the configuration of *triggerType, maxReportCells, reportInterval*, and *reportAmount*.

#### condEventId

Choice of conditional reconfiguration event triggered criteria.

#### eventld

Choice of inter-RAT event triggered reporting criteria.

#### maxReportCells

Max number of cells, excluding the serving cell, to include in the measurement report. In case *purpose* is set to *reportStrongestCellsForSON* only value 1 applies. For inter-RAT WLAN, it is the maximum number of WLANs to include in the measurement report.

#### maxReportRS-Index

Max number of RS indices to include in the measurement report. E-UTRAN configures value 0 only if it sets *reportRS-IndexResultsNR* to *FALSE*.

## measRSSI-ReportConfigNR

If this field is present, the UE shall perform measurement reporting for RSSI and channel occupancy and ignore the triggerQuantity, reportQuantity and maxReportCells fields. E-UTRAN sets this field to true only when setting triggerType to periodical and purpose to reportStrongestCells.

## **Purpose**

reportStrongestCellsForSON applies only in case reportConfig is linked to a measObject set to measObjectUTRA or measObjectCDMA2000.

## reportAmount

Number of measurement reports applicable for *triggerType event* as well as for *triggerType periodical*. In case *purpose* is set to *reportCGI* or reportStrongestCellsForSON only value 1 applies. In case *reportSFTD-Meas* is configured, only value 1 applies.

## reportAnyWLAN

Indicates UE to report any WLAN AP meeting the triggering requirements, even if it is not included in the corresponding *MeasObjectWLAN*.

## reportOnLeave

Indicates whether or not the UE shall initiate the measurement reporting procedure when the leaving condition is met for a cell in *cellsTriggeredList*, as specified in 5.5.4.1.

## reportQuantityUTRA-FDD

The quantities to be included in the UTRA measurement report. The value *both* means that both the cpich RSCP and cpich EcN0 quantities are to be included in the measurement report.

## reportRS-IndexResultsNR

Indicates whether or not the UE shall report beam measurement result of NR in the measurement report.

## reportSFTD-Meas

If this field is set to *pSCell*, the UE shall measure SFTD between the PCell and the PSCell as specified in TS 38.215 [89], in this case, the frequency of PSCell is configured in the corresponding *measObjectNR*. If the field is set to *neighborCells*, the UE shall measure SFTD between the PCell and the NR cells included in

cellsForWhichToReportSFTD (if configured in the corresponding measObjectNR) or between the PCell and up to 3 strongest detected NR cells (if cellsForWhichToReportSFTD is not configured in the corresponding measObjectNR), as specified in TS 38.215 [89]. E-UTRAN only includes this field when setting triggerType to periodical and purpose to reportStrongestCells. If included, the UE shall ignore the maxReportCells field.

## ReportConfigInterRAT field descriptions

### availableAdmissionCapacityRequestWLAN

The value true indicates that the UE shall include, if available, WLAN Available Admission Capacity in measurement reports.

#### backhaulDL-BandwidthRequestWLAN

The value true indicates that the UE shall include, if available, WLAN Backhaul Downlink Bandwidth in measurement reports.

#### backhaulUL-BandwidthRequestWLAN

The value true indicates that the UE shall include, if available, WLAN Backhaul Uplink Bandwidth in measurement reports.

#### bandRequestWLAN

The value true indicates that the UE shall include WLAN band in measurement reports.

## si-RequestForHO

The field applies to the *reportCGI* functionality, and when the field is included, the UE is allowed to use autonomous gaps in acquiring system information from the neighbour cell, applies a different value for T321, and includes different fields in the measurement report. EUTRAN does not configure the field if *reportConfig* is linked to a *measObject* set to *measObjectNR*.

#### ss-rsrp

Indicates whether or not the UE shall report SS-RSRP quantity of NR.

#### ss-rsrq

Indicates whether or not the UE shall report SS-RSRQ quantity of NR.

#### ss-sinr

Indicates whether or not the UE shall report SS-SINR quantity of NR.

#### stationCountRequestWLAN

The value true indicates that the UE shall include, if available, WLAN Station Count in measurement reports.

#### b1-ThresholdGERAN, b2-Threshold2GERAN

The actual value is field value – 110 dBm.

#### b1-ThresholdUTRA, b2-Threshold2UTRA

*utra-RSCP* corresponds to CPICH\_RSCP in TS 25.133 [29] for FDD and P-CCPCH\_RSCP in TS 25.123 [30] for TDD. *utra-EcN0* corresponds to CPICH\_Ec/No in TS 25.133 [29] for FDD, and is not applicable for TDD.

For utra-RSCP: The actual value is field value – 115 dBm.

For utra-EcNO: The actual value is (field value - 49)/2 dB.

#### timeToTrigger

Time during which specific criteria for the event needs to be met in order to trigger a measurement report or to execute the conditional reconfiguration evaluation.

### triggerType

E-UTRAN does not configure the value *periodical* in case *reportConfig* is linked to a *measObject* set to *measObjectWLAN*.

## useAutonomousGapsNR

The field applies to the *reportCGI* functionality, and when the field is included, the UE is allowed to use autonomous gaps in acquiring system information from the NR neighbour cell, applies the corresponding value for T321, EUTRAN can configure the field only if *reportConfig* is linked to a *measObject* set to *measObjectNR*.

Conditional presence	Explanation
reportCGI	The field is optional, need OR, in case <i>purpose</i> is included and set to <i>reportCGI</i> ;
	otherwise the field is not present and the UE shall delete any existing value for this field.
reportCGI-NR	The field is optional, need OR, in case <i>purpose</i> is included and set to <i>reportCGI</i> , and
	reportConfig is linked to a measObject set to measObjectNR, otherwise the field is not
	present and the UE shall delete any existing value for this field.

## ReportConfigToAddModList

The IE ReportConfigToAddModList concerns a list of reporting configurations to add or modify

## ReportConfigToAddModList information element

```
-- ASN1START

ReportConfigToAddModList ::= SEQUENCE (SIZE (1..maxReportConfigId)) OF ReportConfigToAddMod

ReportConfigToAddMod ::= SEQUENCE {
    reportConfigId ReportConfigId,
    reportConfig CHOICE {
        reportConfigEUTRA ReportConfigEUTRA,
        reportConfigInterRAT ReportConfigInterRAT
    }
```

```
}
-- ASN1STOP
```

# – ReportInterval

The *ReportInterval* indicates the interval between periodical reports. The *ReportInterval* is applicable if the UE performs periodical reporting (i.e. when *reportAmount* exceeds 1), for *triggerType event* as well as for *triggerType periodical*. Value ms120 corresponds with 120 ms, ms240 corresponds with 240 ms and so on, while value min1 corresponds with 1 min, min6 corresponds with 6 min and so on.

## ReportInterval information element

## RS-IndexNR

The IE RS-IndexNR is used to identify an NR Reference Signal.

## RS-IndexNR information element

```
-- ASN1START

RS-IndexNR-r15 ::= INTEGER (0.. maxRS-Index-1-r15)

-- ASN1STOP
```

# RSRP-Range

The IE *RSRP-Range* specifies the value range used in RSRP measurements and thresholds. Integer value for RSRP measurements according to mapping table in TS 36.133 [16]. A given field using *RSRP-Range-v1360* shall only be signalled if the corresponding original field (using *RSRP-Range* i.e. without suffix) is set to value 0.

## RSRP-Range information element

```
-- ASN1START

RSRP-Range ::= INTEGER(0..97)

RSRP-Range-v1360 ::= INTEGER(-17..-1)

RSRP-RangeSL-r12 ::= INTEGER(0..13)

RSRP-RangeSL2-r12 ::= INTEGER(0..7)

RSRP-RangeSL3-r12 ::= INTEGER(0..11)

RSRP-RangeSL4-r13 ::= INTEGER(0..49)

-- ASN1STOP
```

## RSRP-Range field descriptions

### RSRP-Range

For UE supporting CE Mode B, when CE mode B is not restricted by upper layers, *RSRP-Range-v1360* (i.e., with suffix) is reported if the measured RSRP is less than -140 dBm.

## RSRP-RangeSL

Value 0 corresponds to -infinity, value 1 to -115dBm, value 2 to -110dBm, and so on (i.e. in steps of 5dBm) until value 12, which corresponds to -60dBm, while value 13 corresponds to +infinity.

#### RSRP-RangeSL2

Value 0 corresponds to -infinity, value 1 to -110dBm, value 2 to -100dBm, and so on (i.e. in steps of 10dBm) until value 6, which corresponds to -60dBm, while value 7 corresponds to +infinity.

#### RSRP-RangeSL3

Value 0 corresponds to -110dBm, value 1 to -105dBm, value 2 to -100dBm, and so on (i.e. in steps of 5dBm) until value 10, which corresponds to -60dBm, while value 11 corresponds to +infinity.

### RSRP-RangeSL4

Indicates the range for SD-RSRP. Value 0 corresponds to -130dBm, value 1 to -128dBm, value 2 to -126dBm, and so on (i.e. in steps of 2dBm) until value 48, which corresponds to -34dBm, while value 49 corresponds to +infinity.

# RSRP-RangeNR

The IE *RSRP-RangeNR* specifies the value range used in RSRP measurements and thresholds. For RSRP measurements, integer value is according to mapping table in TS 38.133 [84]. For thresholds, the actual value is (field value – 156) dBm, except for field value 127, in which case the actual value is infinity.

# RSRP-RangeNR information element

```
-- ASN1START

RSRP-RangeNR-r15 ::= INTEGER (0..127)

-- ASN1STOP
```

# RSRQ-Range

The IE RSRQ-Range specifies the value range used in RSRQ measurements and thresholds. Integer value for RSRQ measurements is according to mapping table in TS 36.133 [16]. A given field using RSRQ-Range-v1250 shall only be signalled if the corresponding original field (using RSRQ-Range i.e. without suffix) is set to value 0 or 34. Only a UE indicating support of extendedRSRQ-LowerRange-r12 or rsrq-OnAllSymbols-r12 may report RSRQ-Range-v1250, and this may be done without explicit configuration from the E-UTRAN. If received, the UE shall use the value indicated by the RSRQ-Range-v1250 and ignore the value signalled by RSRQ-Range (without the suffix). RSRQ-Range-r13 covers the original range and extended RSRQ-Range-v1250. RSRQ-Range-r13 may be signalled without the corresponding original field and without any requirements for indicated support of extendedRSRQ-LowerRange-r12 or rsrq-OnAllSymbols-r12.

## RSRQ-Range information element

```
-- ASN1START

RSRQ-Range ::= INTEGER(0..34)

RSRQ-Range-v1250 ::= INTEGER(-30..46)

RSRQ-Range-r13 ::= INTEGER(-30..46)

-- ASN1STOP
```

# – RSRQ-RangeNR

The IE *RSRQ-RangeNR* specifies the value range used in RSRQ measurements and thresholds. For RSRQ measurements, integer value is according to mapping table in TS 38.133 [84]. For thresholds, the actual value is (field value – 87) / 2 dB.

## RSRQ-RangeNR information element

```
-- ASN1START

RSRQ-RangeNR-r15 ::= INTEGER (0..127)

-- ASN1STOP
```

# 

The IE RSRQ-Type specifies the RSRQ value type used in RSRQ measurements, see TS 36.214 [48].

## RSRQ-Type information element

```
-- ASN1START

RSRQ-Type-r12 ::= SEQUENCE {
   allSymbols-r12 BOOLEAN,
   wideBand-r12 BOOLEAN
}

-- ASN1STOP
```

## RSRQ-Type field descriptions

## allSymbols

Value TRUE indicates use of all OFDM symbols when performing RSRQ measurements.

#### wideBand

Value TRUE indicates use of a wider bandwidth when performing RSRQ measurements.

# RS-SINR-Range

The IE RS-SINR-Range specifies the value range used in RS-SINR measurements and thresholds. Integer value for RS-SINR measurements is according to mapping table in TS 36.133 [16].

## RS-SINR-Range information element

```
-- ASN1START

RS-SINR-Range-r13 ::= INTEGER(0..127)

-- ASN1STOP
```

# RS-SINR-RangeNR

The IE RS-SINR-RangeNR specifies the value range used in RS-SINR measurements and thresholds. For RS-SINR measurements, integer value is according to mapping table in TS 38.133 [84]. For thresholds, the actual value is (field value -46) / 2 dB.

## RS-SINR-RangeNR information element

```
-- ASN1START

RS-SINR-RangeNR-r15 ::= INTEGER (0..127)

-- ASN1STOP
```

# – RSSI-Range-r13

The IE *RSSI-Range* specifies the value range used in RSSI measurements and thresholds. Integer value for RSSI measurements is according to mapping table in TS 36.133 [16].

## RSSI-Range information element

```
-- ASN1START

RSSI-Range-r13 ::= INTEGER(0..76)

-- ASN1STOP
```

## SS-RSSI-Measurement

The IE SS-RSSI-Measurement specifies the configuration of NR SSB based RSSI measurements.

## SS-RSSI-Measurement information element

```
-- ASN1START

SS-RSSI-Measurement-r15 ::= SEQUENCE {
    measurementSlots-r15 BIT STRING (SIZE(1..80)),
    endSymbol-r15 INTEGER(0..3)
}

-- ASN1STOP
```

### SS-RSSI-Measurement field descriptions

#### endSymbol

Within a slot that is configured for RSSI measurements (see measurementSlots) the UE measures the RSSI from symbol 0 to symbol endSymbol. This field identifies the entry in Table 5.1.33-1 in TS 36.214 which determines the actual end symbol.

### measurementSlots

Indicates the slots in which the UE can perform NR RSSI measurements. The length of the BIT STRING is equal to the number of slots in the configured SMTC window (determined by the ssb-duration and by the subcarrierSpacingSSB). The first (left-most / most significant) bit in the bitmap corresponds to the first slot in the SMTC window, the second bit in the bitmap corresponds to the second slot in the SMTC window, and so on. The UE measures in slots for which the corresponding bit in the bitmap is set to 1.

## SSB-PositionQCL-RelationNR

The IE SSB-PositionQCL-RelationNR is used to indicate the QCL relationship between SSB positions on the indicated frequency or cell (see TS 38.213 [88], clause 4.1) for NR operation with shared spectrum channel access. Value n1 corresponds to 1, value n2 corresponds to 2 and so on.

## SSB-PositionQCL-RelationNR information element

```
-- ASN1START

SSB-PositionQCL-RelationNR-r16 ::= ENUMERATED {n1, n2, n4, n8}

SSB-PositionQCL-RelationNR-r17 ::= ENUMERATED {n32, n64}

-- ASN1STOP
```

# SSB-ToMeasure

The IE SSB-ToMeasure is used to configure a pattern of SSBs. For operation with shared spectrum channel access, only *mediumBitmap* is used.

## SSB-ToMeasure information element

```
-- ASN1START
```

```
SSB-ToMeasure-r15 ::= CHOICE {
    shortBitmap-r15 BIT STRING (SIZE (4)),
    mediumBitmap-r15 BIT STRING (SIZE (8)),
    longBitmap-r15 BIT STRING (SIZE (64))
}
-- ASN1STOP
```

#### SSB-ToMeasure field descriptions

#### IongBitmap

Bitmap when maximum number of SS/PBCH blocks per half frame equals to 64 as defined in TS 38.213 [88], clause 4.1.

#### mediumBitmap

Bitmap when maximum number of SS/PBCH blocks per half frame equals to 8 as defined in TS 38.213 [88], clause 4.1. For operation with shared spectrum channel access, if the k-th bit is set to 1, the UE assumes that one or more SS/PBCH blocks within the SMTC measurement duration with candidate SS/PBCH block indexes corresponding to SS/PBCH block index equal to k - 1 may be transmitted; if the k-th bit is set to 0, the UE assumes that the corresponding SS/PBCH block(s) are not transmitted. The k-th bit is set to 0, where k > ssb-PositionQCL-CommonNR and the number of actually transmitted SS/PBCH blocks is not larger than the number of 1's in the bitmap. If ssb-PositionQCL-NR is configured with a value smaller than ssb-PositionQCL-CommonNR, only the leftmost K bits (K = ssb-PositionQCL-NR) are applicable for the corresponding cell.

#### shortBitmap

Bitmap when maximum number of SS/PBCH blocks per half frame equals to 4 as defined in TS 38.213 [88], clause 4.1.

## – TimeToTrigger

The IE *TimeToTrigger* specifies the value range used for time to trigger parameter, which concerns the time during which specific criteria for the event needs to be met in order to trigger a measurement report. Value ms0 corresponds to 0 ms and behaviour as specified in 7.3.2 applies, ms40 corresponds to 40 ms, and so on.

## TimeToTrigger information element

# UL-DelayConfig

The IE *UL-DelayConfig* IE specifies the configuration of the UL PDCP Packet Delay per QCI measurement specified in TS 36.314 [71].

## **UL-DelayConfig** information element

## **UL-DelayConfig** field descriptions

#### delayThreshold

Indicates the delay threshold value used by UE to provide results of UL PDCP Packet Delay per QCI measurement as specified in TS 36.314 [71]. Value in milliseconds. Value ms30 means 30 ms and so on.

# UL-DelayValueConfig

The IE *UL-DelayValueConfig* specifies the configuration of the UL PDCP Packet Delay value per DRB measurements specified in TS 38.314 [103].

## UL-Delay Value Config information element

## UL-DelayValueConfig field descriptions

#### delay-DRBlist

Indicates the DRB IDs used by UE to provide results of UL PDCP Packet Delay value per DRB measurement as specified in TS 38.314 [103].

## WLAN-CarrierInfo

The IE WLAN-CarrierInfo is used to identify the WLAN frequency band information, as specified in Annex E in [67].

#### WLAN-CarrierInfo information element

```
-- ASN1START
WLAN-CarrierInfo-r13 ::=
                          SEQUENCE {
                        INTEGER (0..255)
   operatingClass-r13
                                                        OPTIONAL, -- Need ON
                              ENUMERATED {unitedStates, europe, japan, global, ...}
   countryCode-r13
                                                         OPTIONAL, -- Need ON
   channelNumbers-r13
                             WLAN-ChannelList-r13
                                                         OPTIONAL,
}
WLAN-ChannelList-r13 ::=
                          SEQUENCE (SIZE (1..maxWLAN-Channels-r13)) OF WLAN-Channel-r13
WLAN-Channel-r13 ::=
                     INTEGER (0..255)
-- ASN1STOP
```

## WLAN-CarrierInfo field descriptions

#### channelNumbers

Indicates the WLAN channels as defined in IEEE 802.11-2012 [67]. Value 0 is not used.

### countryCode

Indicates the country code of WLAN as defined in IEEE 802.11-2012 [67].

#### operatingClass

Indicates the Operating Class of WLAN as defined in IEEE 802.11-2012 [67].

## WLAN-NameList

The IE WLAN-NameList is used to indicate the names of the WLAN AP for which the UE is configured to measure.

## WLAN-NameList information element

## WLAN-NameList field descriptions

#### WLAN-Name

If configured, the UE only performs WLAN measurements according to the names identified. For each name, it refers to Service Set Identifier (SSID) defined in IEEE 802.11-2012 [67].

# – WLAN-RSSI-Range

The IE *WLAN-RSSI-Range* specifies the value range used in WLAN RSSI measurements and thresholds. Integer value for WLAN RSSI measurements is according to mapping table in TS 36.133 [16]. Value 0 corresponds to -infinity, value 1 to -100dBm, value 2 to -99dBm, and so on (i.e. in steps of 1dBm) until value 140, which corresponds to 39dBm, while value 141 corresponds to +infinity.

## WLAN-RSSI-Range information element

```
-- ASN1START

WLAN-RSSI-Range-r13 ::= INTEGER(0..141)

-- ASN1STOP
```

## – WLAN-RTT

The IE WLAN-RTT covers the measured round trip time between the target device and WLAN AP and optionally the accuracy expressed as the standard deviation of the delay.

## WLAN-RTT information element

#### WLAN-RTT field descriptions

#### rttValue

This field specifies the Round Trip Time (RTT) measurement between the target device and WLAN AP in units given by the field rttUnits as defined in TS 36.355 [54].

#### rttUnits

This field specifies the Units for the fields rttValue and rttAccuracy. The available Units are 1000ns, 100ns, 10ns, 1ns, and 0.1ns as defined in TS 36.355 [54].

#### rttAccuracy

This field provides the estimated accuracy of the provided rttValue expressed as the standard deviation in units given by the field rttUnits as defined in TS 36.355 [54].

## WLAN-Status

The IE WLAN-Status indicates the current status of WLAN connection. The values are set as described in clause 5.6.15.2 and 5.6.15.4.

#### WLAN-Status information element

```
-- ASN1START

WLAN-Status-r13 ::= ENUMERATED {successfulAssociation, failureWlanRadioLink, failureWlanUnavailable, failureTimeout}

WLAN-Status-v1430 ::= ENUMERATED {suspended, resumed}

-- ASN1STOP
```

# WLAN-SuspendConfig

The IE WLAN-SuspendConfig is used for configuration of WLAN suspend/resume functionality.

#### WLAN-SuspendConfig field descriptions

#### wlan-SuspendResumeAllowed

Indicates whether the UE is allowed to use suspend-resume mechanism, i.e., to indicate WLAN being temporarily unavailable and WLAN being available again after temporary unavailability.

#### wlan-SuspendTriggersStatusReport

Indicates whether the UE shall trigger PDCP status report as defined in TS 36.323 [8] when WLAN is temporarily unavailable and UE reports this status.

## 6.3.6 Other information elements

## AbsoluteTimeInfo

The IE *AbsoluteTimeInfo* indicates an absolute time in a format YY-MM-DD HH:MM:SS and using BCD encoding. The first/ leftmost bit of the bit string contains the most significant bit of the most significant digit of the year and so on.

#### AbsoluteTimeInfo information element

```
-- ASN1START

AbsoluteTimeInfo-r10 ::= BIT STRING (SIZE (48))

-- ASN1STOP
```

## – AMF-Identifier

The IE *AMF-Identifier* (AMFI) comprises of an AMF Region ID, an AMF Set ID and an AMF Pointer as specified in 23.003 [27], clause 2.10.1.

#### AMF-Identifier information element

```
-- ASN1START

AMF-Identifier-r15 ::= BIT STRING (SIZE (24))

-- ASN1STOP
```

# AreaConfiguration

The AreaConfiguration indicates area for which UE is requested to perform measurement logging. If not configured, measurement logging is not restricted to specific cells or tracking areas but applies as long as the RPLMN is contained in plmn-IdentityList stored in VarLogMeasReport.

#### AreaConfiguration information element

```
-- ASN1START
AreaConfiguration-r10 ::= CHOICE {
                                   CellGlobalIdList-r10,
    cellGlobalIdList-r10
    trackingAreaCodeList-r10
                                   TrackingAreaCodeList-r10
AreaConfiguration-v1130 ::= SEQUENCE {
    trackingAreaCodeList-v1130
                                 TrackingAreaCodeList-v1130
CellGlobalIdList-r10 ::=
                                       SEQUENCE (SIZE (1..32)) OF CellGlobalIdEUTRA
TrackingAreaCodeList-r10 ::=
                                       SEQUENCE (SIZE (1..8)) OF TrackingAreaCode
TrackingAreaCodeList-v1130 ::= SEQUENCE {
   plmn-Identity-perTAC-List-r11
                                           SEQUENCE (SIZE (1..8)) OF PLMN-Identity
-- ASN1STOP
```

## AreaConfiguration field descriptions

## plmn-Identity-perTAC-List

Includes the PLMN identity for each of the TA codes included in *trackingAreaCodeList*. The PLMN identity listed first in *plmn-Identity-perTAC-List* corresponds with the TA code listed first in *trackingAreaCodeList* and so on.

## BandCombinationList

The IE BandCombinationList contains a list of CA band combinations.

## BandCombinationList information element

## – C-RNTI

The IE C-RNTI identifies a UE having a RRC connection within a cell.

#### **C-RNTI** information element

```
-- ASN1START

C-RNTI ::= BIT STRING (SIZE (16))

-- ASN1STOP
```

#### DedicatedInfoCDMA2000

The *DedicatedInfoCDMA2000* is used to transfer UE specific CDMA2000 information between the network and the UE. The RRC layer is transparent for this information.

#### DedicatedInfoCDMA2000 information element

```
-- ASN1START

DedicatedInfoCDMA2000 ::= OCTET STRING

-- ASN1STOP
```

## DedicatedInfoF1c

The IE *DedicatedInfoF1c* is used to transfer IAB-DU specific F1-C related information between the network and the IAB-node. The carried information consists of F1AP message encapsulated in SCTP/IP or F1-C related IP packet with or without SCTP encapsulation, see TS 38.472 [105] and TS 36.423 [108]. The RRC layer is transparent for this information.

## DedicatedInfoF1c information element

```
-- ASN1START

DedicatedInfoF1c-r16 ::= OCTET STRING

-- ASN1STOP
```

## DedicatedInfoNAS

The IE *DedicatedInfoNAS* is used to transfer UE specific NAS layer information between the network and the UE. The RRC layer is transparent for this information.

#### DedicatedInfoNAS information element

```
-- ASN1START

DedicatedInfoNAS ::= OCTET STRING

-- ASN1STOP
```

## FilterCoefficient

The IE *FilterCoefficient* specifies the measurement filtering coefficient. Value fc0 corresponds to k = 0, fc1 corresponds to k = 1, and so on.

## FilterCoefficient information element

```
-- ASN1START

FilterCoefficient ::= ENUMERATED {
    fc0, fc1, fc2, fc3, fc4, fc5,
```

```
fc6, fc7, fc8, fc9, fc11, fc13,
fc15, fc17, fc19, spare1, ...}
-- ASN1STOP
```

# FlightPathInfoReportConfig

The IE FlightPathInfoReportConfig specifies flight path information report configuration.

## FlightPathInfoReportConfig information element

#### FlightPathInfoReportConfig field descriptions

#### maxWayPointNumber

Indicates the maximum number of way points UE can include in the flight path information report if this information is available at the UE.

#### includeTimeStamp

Indicates whether time stamp of each way point can be reported in the flight path information report if time stamp information is available at the UE.

#### – GNSS-ID

The IE GNSS-ID is used to indicate a specific GNSS (see also TS 36.355 [54]).

## **GNSS-ID** information element

# – GNSS-ValidityDuration

The IE *GNSS-ValidityDuration* indicates the remaining GNSS validity duration in the UE. Value s10 corresponds to 10 seconds, s20 corresponds to 20 seconds and so on. Value min5 corresponds to 5 minutes, value min10 corresponds to 10 minutes and so on.

## GNSS-ValidityDuration information element

## – I-RNTI

The *I-RNTI* IE is used to identify the suspended UE context of a UE in RRC\_INACTIVE and for User plane CIoT 5GS optimisation.

#### I-RNTI information element

```
-- ASN1START

I-RNTI-r15 ::= BIT STRING (SIZE(40))

-- ASN1STOP
```

# LoggingDuration

The *LoggingDuration* indicates the duration for which UE is requested to perform measurement logging. Value min10 corresponds to 10 minutes, value min20 corresponds to 20 minutes and so on.

## LoggingDuration information element

# LoggingInterval

The *LoggingInterval* indicates the periodicity for logging measurement results. Value ms1280 corresponds to 1.28s, value ms2560 corresponds to 2.56s and so on.

## LoggingInterval information element

## MeasSubframePattern

The IE MeasSubframePattern is used to specify a subframe pattern. The first/leftmost bit corresponds to the subframe #0 of the radio frame satisfying SFN mod x = 0, where SFN is that of PCell and x is the size of the bit string divided by 10. "1" denotes that the corresponding subframe is used.

#### MeasSubframePattern information element

#### MMEC

The IE MMEC identifies an MME within the scope of an MME Group within a PLMN, see TS 23.003 [27].

#### **MMEC** information element

```
-- ASN1START

MMEC ::= BIT STRING (SIZE (8))

-- ASN1STOP
```

# NeighCellConfig

The IE *NeighCellConfig* is used to provide the information related to MBSFN and TDD UL/DL configuration of neighbour cells.

## NeighCellConfig information element

```
-- ASN1START

NeighCellConfig ::= BIT STRING (SIZE (2))

-- ASN1STOP
```

#### NeighCellConfig field descriptions

## neighCellConfig

Provides information related to MBSFN and TDD UL/DL configuration of neighbour cells of this frequency

- 00: Not all neighbour cells have the same MBSFN subframe allocation as the serving cell on this frequency, if configured, and as the PCell otherwise
- 10: The MBSFN subframe allocations of all neighbour cells are identical to or subsets of that in the serving cell on this frequency, if configured, and of that in the PCell otherwise
- 01: No MBSFN subframes are present in all neighbour cells
- 11: Different UL/DL allocation in neighbouring cells for TDD compared to the serving cell on this frequency, if configured, and compared to the PCell otherwise
- For TDD, 00, 10 and 01 are only used for same UL/DL allocation in neighbouring cells compared to the serving cell on this frequency, if configured, and compared to the PCell otherwise.

#### – NG-5G-S-TMSI

The IE *NG-5G-S-TMSI* contains a 5G S-Temporary Mobile Subscriber Identity, a temporary UE identity provided by the AMF which uniquely identifies the UE within the tracking area, see TS 23.003 [27].

#### NG-5G-S-TMSI information element

```
-- ASN1START

NG-5G-S-TMSI-r15::= BIT STRING (SIZE (48))

-- ASN1STOP
```

## OtherConfig

The IE OtherConfig contains configuration related to other configuration.

#### OtherConfig information element

```
idc-Config-r11 IDC-Config-r11 OPTIONAL, powerPrefIndicationConfig-r11 PowerPrefIndicationConfig-r11 OPTIONAL,
    [[ idc-Config-r11
                                                                                      -- Need ON
                                                                                     -- Need ON
                                                                                    -- Need ON
        obtainLocationConfig-r11 ObtainLocationConfig-r11 OPTIONAL
    ENUMERATED {s0, s0dot5, s1, s2, s5, s10, s20, s30, s60, s90, s120, s300, s600, spare3, spare2, spare1} OPTIONAL, -- Need OR sps-AssistanceInfoReport-r14 BOOLEAN OPTIONAL, -- Need ON
        delayBudgetReportingConfig-r14 CHOICE{
           release NULL,
            setup
                                    SECUENCE {
                s0, s0dot4, s0dot8,
                                                                  sldot6, s3, s6, s12, s30}
            }
                                                                          OPTIONAL, -- Need ON
                                 CHOICE {
        rlm-ReportConfig-r14
           release setup
                                     SEQUENCE {
               rlmReportTimer-r14
                                                 ENUMERATED {s0, s0dot5, s1, s2, s5, s10, s20, s30,
               rlmReportTimer-r14 ENUMERATED {s0, s0dot5, s1, s2, s5, s10, s20, s30, s60, s90, s120, s300, s600, spare3, spare2, spare1}, rlmReportRep-MPDCCH-r14 ENUMERATED {setup} OPTIONAL -- Need OR
        } OPTIONAL -- Need ON
    11,
    [[ overheatingAssistanceConfig-r14 CHOICE{
           release NULL,
                                    SEQUENCE {
            setup
               overheatingIndicationProhibitTimer-r14 ENUMERATED {s0, s0dot5, s1, s2, s5, s10,
                                                         s20, s30, s60, s90, s120, s300, s600,
                                                         spare3, spare2, spare1}
        OPTIONAL -- Need ON
    ]],
    [[ measConfigAppLayer-r15 CHOICE release NULL,
                                    CHOICE {
                                    SEQUENCE {
            setup
           measConfigAppLayerContainer-r15 serviceType-r15
                                                  OCTET STRING (SIZE(1..1000)),
                                                    ENUMERATED {qoe, qoemtsi, spare6, spare5,
spare4, spare3, spare2, spare1}
        }
} OPTIONAL, -- Need ON
ailc-BitConfig-r15 BOOLEAN
bt-NameListConfig-r15 BT-NameListConfig-r15
wlan-NameListConfig-r15 WLAN-NameListConfig-r15
                                                                          OPTIONAL, -- Need ON
                                                                         OPTIONAL, --Need ON
                                                                             OPTIONAL
ON
    ]],
       overheatingAssistanceConfigForSCG-r16 BOOLEAN OPTIONAL -- Cond overheating
    ]],
[[ measUncomBarPre-r17 BOOLEAN OPTIONAL, --Need ON scg-DeactivationPreferenceConfig-r17 SetupRelease {SCG-DeactivationPreferenceConfig-r17}
    ]],
    OPTIONAL
                 -- Need ON
    ]]
}
ENUMERATED {n2, n5, n10, n15,
            autonomousDenialSubframes-r11
                                                        n20, n30, spare2, spare1},
            autonomousDenialValidity-r11
                                                     ENUMERATED {
                                                        sf200, sf500, sf1000, sf2000,
                                                         spare4, spare3, spare2, spare1}
    }
          OPTIONAL, -- Need OR
    [[ idc-Indication-UL-CA-r11
                                           ENUMERATED {setup}
                                                                    OPTIONAL
                                                                                  -- Cond idc-Ind
    [[ idc-HardwareSharingIndication-r13 ENUMERATED {setup} OPTIONAL -- Need OR
    ]],
    [[ idc-Indication-MRDC-r15 CHOICE{
           release
                                    NULL,
                                    CandidateServingFreqListNR-r15
            setup
                    OPTIONAL -- Cond idc-Ind
    ]]
}
ObtainLocationConfig-rll ::= SEQUENCE {
```

```
ENUMERATED {setup}
   obtainLocation-r11
                                                                             OPTIONAL -- Need OR
PowerPrefIndicationConfig-r11 ::= CHOICE{
   release NULL,
                              SEQUENCE {
    setup
                                                ENUMERATED {s0, s0dot5, s1, s2, s5, s10, s20, s30, s60, s90, s120, s300, s600, spare3, spare2, spare1}
        powerPrefIndicationTimer-r11
}
ReportProximityConfig-r9 ::= SEQUENCE {
   proximityIndicationEUTRA-r9 ENUMERATED {enabled} OPTIONAL, -- Need OR proximityIndicationUTRA-r9 ENUMERATED {enabled} OPTIONAL -- Need OR
CandidateServingFreqListNR-r15 ::= SEQUENCE (SIZE (1..maxFreqIDC-r11)) OF ARFCN-ValueNR-r15
SCG-DeactivationPreferenceConfig-r17 ::= SEQUENCE {
   scg-DeactivationPreferenceProhibitTimer-r17
                               ENUMERATED {s0, s1, s2, s4, s8, s10, s20, s30,
                                            s60, s120, s180, s240, s300, s600, s900, s1800}
-- ASN1STOP
```

#### OtherConfig field descriptions

#### ailc-BitConfig

Indicates whether the UE is allowed to provide assistance information bit for local cache. If configured, the UE shall only apply to a DRB configured with 12-bit PDCP SN format as specified in TS 36.323 [8].

#### autonomous Denial Subframes

Indicates the maximum number of the UL subframes for which the UE is allowed to deny any UL transmission. Value n2 corresponds to 2 subframes, n5 to 5 subframes and so on. E-UTRAN does not configure autonomous denial for frequencies on which SCG cells are configured.

#### autonomous Denial Validity

Indicates the validity period over which the UL autonomous denial subframes shall be counted. Value sf200 corresponds to 200 subframes, sf500 corresponds to 500 subframes and so on.

## bt-NameListConfig

Configuration for the UE to report measurements from specific Bluetooth beacons. E-UTRAN configures the field only if *includeBT-Meas* is configured for one or more measurements.

#### bw-PreferenceIndicationTimer

Prohibit timer for bandwidth preference indication reporting. Value in seconds. Value s0 means prohibit timer is set to 0 second, value s0dot5 means prohibit timer is set to 0.5 second, value s1 means prohibit timer is set to 1 second and so on

## **CandidateServingFreqListNR**

Indicates for each candidate NR serving cells, the center frequency around which UE is requested to report IDC issues for MR-DC.

#### delayBudgetReportingProhibitTimer

Prohibit timer for delay budget reporting. Value in seconds. Value s0 means prohibit timer is set to 0 second, value s0dot4 means prohibit timer is set to 0.4 second, and so on.

## idc-HardwareSharingIndication

The field is used to indicate whether the UE is allowed indicate in *InDeviceCoexIndication* that the cause of the problems are due to hardware sharing, and whether the UE is allowed to omit the TDM assistance information.

#### idc-Indication

The field is used to indicate whether the UE is configured to initiate transmission of the *InDeviceCoexIndication* message to the network.

#### idc-Indication-MRDC

The field is used to indicate whether the UE is configured to provide IDC indications for MR-DC using the InDeviceCoexIndication message.

#### idc-Indication-UL-CA

The field is used to indicate whether the UE is configured to provide IDC indications for UL CA using the InDeviceCoexIndication message.

#### measConfigAppLayerContainer

The field contains configuration of application layer measurements, see Annex L (normative) in TS 26.247 [90] and clause 16.5 in TS 26.114 [99]. The maximum number of configurations of application layer measurements that a UE supports is one regardless of *serviceType*.

#### serviceType

Indicates the type of application layer measurement. Value qoe indicates Quality of Experience Measurement Collection for streaming services, value qoemtsi indicates Enhanced Quality of Experience Measurement Collection for MTSI.

#### obtainLocation

Requests the UE to attempt to have detailed location information available using GNSS. E-UTRAN configures the field only if *includeLocationInfo* is configured for one or more measurements.

#### overheatingAssistanceConfig

Configuration for the UE to report assistance information to inform the eNB about UE detected internal overheating.

#### overheatingAssistanceConfigForSCG

The field is used to indicate whether the UE is configured to provide overheating assistance information for NR SCG. E-UTRAN configures value *TRUE* only when the UE is configured with an NR SCG.

#### overheatingIndicationProhibitTimer

Prohibit timer for overheating assistance information reporting. Value in seconds. Value s0 means prohibit timer is set to 0 seconds, value s0dot5 means prohibit timer is set to 0.5 second, value s1 means prohibit timer is set to 1 second and so on.

#### powerPrefIndicationTimer

Prohibit timer for Power Preference Indication reporting. Value in seconds. Value s0 means prohibit timer is set to 0 second, value s0dot5 means prohibit timer is set to 0.5 second, value s1 means prohibit timer is set to 1 second and so on.

## reportProximityConfig

Indicates, for each of the applicable RATs (EUTRA, UTRA), whether or not proximity indication is enabled for CSG member cell(s) of the concerned RAT. Note.

# rlmReportTimer

Prohibit timer for RLM event reporting, i.e. "early-out-of-sync" and "early-in-sync" event reporting, as specified in clause 5.6.10. Value in seconds. Value s0 means prohibit timer is set to 0 second, value s0dot5 means prohibit timer is set to 0.5 second, value s1 means prohibit timer is set to 1 second and so on.

#### OtherConfig field descriptions

#### ailc-BitConfig

Indicates whether the UE is allowed to provide assistance information bit for local cache. If configured, the UE shall only apply to a DRB configured with 12-bit PDCP SN format as specified in TS 36.323 [8].

#### autonomous Denial Subframes

Indicates the maximum number of the UL subframes for which the UE is allowed to deny any UL transmission. Value n2 corresponds to 2 subframes, n5 to 5 subframes and so on. E-UTRAN does not configure autonomous denial for frequencies on which SCG cells are configured.

#### autonomous Denial Validity

Indicates the validity period over which the UL autonomous denial subframes shall be counted. Value sf200 corresponds to 200 subframes, sf500 corresponds to 500 subframes and so on.

## bt-NameListConfig

Configuration for the UE to report measurements from specific Bluetooth beacons. E-UTRAN configures the field only if *includeBT-Meas* is configured for one or more measurements.

## rlmReportRep-MPDCCH

The field is used to indicate whether the UE is configured to report excess repetitions on MPDCCH.

#### sps-AssistanceInfoReport

Value TRUE indicates that the UE is allowed to report SPS-AssistanceInformation. If the *sl-V2X-SPS-Config* is provided by an E-UTRA *RRCConnectionReconfiguration* message embedded within an NR *RRCReconfiguration* for V2X sidelink communication (i.e. *sl-ConfigDedicatedEUTRA*) as in TS 38.331 [82], the network should configure the *otherConfig* and set this field to TRUE.

#### wlan-NameListConfig

Configuration for the UE to report measurements from specific WLAN APs. E-UTRAN configures the field only if *includeWLAN-Meas* is configured for one or more measurements.

NOTE: Enabling/ disabling of proximity indication includes enabling/ disabling of the related functionality e.g. autonomous search in connected mode.

Conditional presence	Explanation
idc-Ind	The field is optionally present if <i>idc-Indication</i> is present, need OR. Otherwise the field is
	not present.
overheating	The field is optionally present, need ON, if the UE is configured with overheatingAssistanceConfig; if overheatingAssistanceConfig is included and set to release, the UE shall delete any existing value for this field; otherwise, the field is not present.

#### – RAN-AreaCode

The RAN-AreaCode IE indicates RAN area code of the cell.

#### RAN-AreaCode information element

```
-- ASN1START

RAN-AreaCode-r15 ::= INTEGER (0..255)

-- ASN1STOP
```

## RAND-CDMA2000 (1xRTT)

The RAND-CDMA2000 concerns a random value, generated by the eNB, to be passed to the CDMA2000 upper layers.

#### RAND-CDMA2000 information element

```
-- ASN1START

RAND-CDMA2000 ::= BIT STRING (SIZE (32))

-- ASN1STOP
```

# RAT-Type

The IE *RAT-Type* is used to indicate the radio access technology (RAT), including E-UTRA, of the requested/ transferred UE capabilities. A separate value applies for some EUTRA-NR capabilities that are transferred by a separate UE capability container, used in case of MR-DC.

## **RAT-Type** information element

# ResumeIdentity

The IE ResumeIdentity is used to identify the suspended UE context

## Resumeldentity information element

```
-- ASN1START

ResumeIdentity-r13 ::= BIT STRING (SIZE(40))

-- ASN1STOP
```

## RRC-TransactionIdentifier

The IE *RRC-TransactionIdentifier* is used, together with the message type, for the identification of an RRC procedure (transaction).

## RRC-TransactionIdentifier information element

```
-- ASN1START

RRC-TransactionIdentifier ::= INTEGER (0..3)

-- ASN1STOP
```

## – SBAS-ID

The IE SBAS-ID is used to indicate a specific SBAS (see also TS 36.355 [54]).

#### SBAS-ID information element

## – ShortI-RNTI

The *ShortI-RNTI* IE is used to identify the suspended UE context of a UE in RRC\_INACTIVE using fewer bits compared to *I-RNTI*.

## Shortl-RNTI information element

```
-- ASN1START

ShortI-RNTI-r15 ::= BIT STRING (SIZE(24))

-- ASN1STOP
```

## – S-NSSAI

The IE *S-NSSAI* identifies a Network Slice end to end and comprises a slice/service type and a slice differentiator, see TS 23.003 [27].

#### S-NSSAI information element

# S-NSSAI field descriptions sst Indicates the S-NSSAI consists of Slice/Service Type, see TS 23.003 [27]. sst-SD Indicates the S-NSSAI consists of Slice/Service Type and Slice Differentiator, see TS 23.003 [27].

## – S-TMSI

The IE *S-TMSI* contains an S-Temporary Mobile Subscriber Identity, a temporary UE identity provided by the EPC which uniquely identifies the UE within the tracking area, see TS 23.003 [27].

## S-TMSI information element

```
-- ASN1START

S-TMSI ::= SEQUENCE {
    mmec MMEC,
    m-TMSI BIT STRING (SIZE (32))
}

-- ASN1STOP
```

```
S-TMSI field descriptions

m-TMSI

The first/leftmost bit of the bit string contains the most significant bit of the M-TMSI.
```

## TimeOffsetUTC

The IE *TimeOffsetUTC* provides the time offset to the beginning of week (Monday 00:00:00 UTC). Units in seconds.

## TimeOffsetUTC information element

```
-- ASN1START

TimeOffsetUTC-r17 ::= INTEGER (0..1048575)

-- ASN1STOP
```

## TraceReference

The TraceReference contains parameter Trace Reference as defined in TS 32.422 [58].

#### TraceReference information element

```
-- ASN1START

TraceReference-r10 ::= SEQUENCE {
   plmn-Identity-r10 PLMN-Identity,
   traceId-r10 OCTET STRING (SIZE (3))
}

-- ASN1STOP
```

# UE-CapabilityRAT-ContainerList

The IE *UE-CapabilityRAT-ContainerList* contains list of containers, one for each RAT for which UE capabilities are transferred, if any.

## UE-CapabilityRAT-ContainerList information element

```
-- ASN1START

UE-CapabilityRAT-ContainerList ::=SEQUENCE (SIZE (0..maxRAT-Capabilities)) OF UE-CapabilityRAT-Container

UE-CapabilityRAT-Container ::= SEQUENCE {
    rat-Type RAT-Type,
    ueCapabilityRAT-Container OCTET STRING
}

-- ASN1STOP
```

#### UECapabilityRAT-ContainerList field descriptions

#### ueCapabilityRAT-Container

Container for the UE capabilities of the indicated RAT. The encoding is defined in the specification of each RAT: For E-UTRA: the encoding of UE capabilities is defined in IE *UE-EUTRA-Capability*.

For UTRA: the octet string contains the INTER RAT HANDOVER INFO message defined in TS 25.331 [19]. For GERAN CS: the octet string contains the concatenated string of the Mobile Station Classmark 2 and Mobile Station Classmark 3. The first 5 octets correspond to Mobile Station Classmark 2 and the following octets correspond to Mobile Station Classmark 3. The Mobile Station Classmark 2 is formatted as 'TLV' and is coded in the same way as the *Mobile Station Classmark 2* information element in TS 24.008 [49]. The first octet is the *Mobile station classmark 2* IEI and its value shall be set to 33H. The second octet is the *Length of mobile Station Classmark 2* and its value shall be set to 3. The octet 3 contains the first octet of the value part of the *Mobile Station Classmark 2* information element, the octet 4 contains the second octet of the value part of the *Mobile Station Classmark 2* information element and so on. For each of these octets, the first/ leftmost/ most significant bit of the octet contains b8 of the corresponding octet of the Mobile Station Classmark 2. The Mobile Station Classmark 3 is formatted as 'V' and is coded in the same way as the value part in the *Mobile station classmark 3* information element in TS 24.008 [49]. The sixth octet of this octet string contains octet 1 of the value part of *Mobile station classmark 3* and so on. Note.

For GERAN PS: the encoding of UE capabilities is formatted as 'V' and is coded in the same way as the value part in the MS Radio Access Capability information element in TS 24.008 [49].

For CDMA2000-1XRTT: the octet string contains the A21 Mobile Subscription Information and the encoding of this is defined in A.S0008 [33]. The A21 Mobile Subscription Information contains the supported CDMA2000 1xRTT band class and band sub-class information.

For NR: The octet string contains the IE UE-NR-Capability as defined in TS 38.331 [82].

For EUTRA-NR: The octet string contains the IE UE-MRDC-Capability as defined in TS 38.331 [82]

NOTE: The value part is specified by means of CSN.1, which encoding results in a bit string, to which final padding may be appended up to the next octet boundary TS 24.008 [49]. The first/ leftmost bit of the CSN.1 bit string is placed in the first/ leftmost/ most significant bit of the first octet. This continues until the last bit of the CSN.1 bit string, which is placed in the last/ rightmost/ least significant bit of the last octet.

## UE-EUTRA-Capability

The IE *UE-EUTRA-Capability* is used to convey the E-UTRA UE Radio Access Capability Parameters, see TS 36.306 [5], and the Feature Group Indicators for mandatory features (defined in Annexes B.1 and C.1) to the network. The IE *UE-EUTRA-Capability* is transferred in E-UTRA or in another RAT.

NOTE 0: For (UE capability specific) guidelines on the use of keyword OPTIONAL, see Annex A.3.5.

#### **UE-EUTRA-Capability** information element

```
-- ASN1START
UE-EUTRA-Capability ::=
                                     SEQUENCE {
                                     AccessStratumRelease,
     accessStratumRelease
     ue-Category
                                         INTEGER (1..5),
                                         PDCP-Parameters,
     pdcp-Parameters
                                        PhyLayerParameters,
     phyLayerParameters
     rf-Parameters
                                        RF-Parameters,
     measParameters
featureGroupIndicators

BIT STRING
SEQUENCE {
                                         MeasParameters,
                                       BIT STRING (SIZE (32))
                                                                                       OPTIONAL,
         utraFDD
                                              IRAT-ParametersUTRA-FDD
                                                                                       OPTIONAL,
         utraTDD128
                                             IRAT-ParametersUTRA-TDD128
         utraTDD384
                                              IRAT-ParametersUTRA-TDD384
                                                                                       OPTIONAL,
                                             IRAT-ParametersUTRA-TDD768
         utraTDD768
                                                                                       OPTIONAL,
         geran
                                             IRAT-ParametersGERAN
                                                                                       OPTIONAL,
                                             IKAT-ParametersCDMA2000-HRPD
IRAT-ParametersCDMA2000-1XRTT
         cdma2000-HRPD
                                                                                       OPTIONAL,
         cdma2000-1xRTT
                                                                                      OPTIONAL
                                        UE-EUTRA-Capability-v920-IEs
     nonCriticalExtension
                                                                                      OPTIONAL
 -- Late non critical extensions
-- Late non critical extensions

UE-EUTRA-Capability-v9a0-IEs ::= SEQUENCE {
    featureGroupIndRel9Add-r9 BIT STRING (SIZE (32)) OPTIONAL,
    fdd-Add-UE-EUTRA-Capabilities-r9 UE-EUTRA-CapabilityAddXDD-Mode-r9 OPTIONAL,
    tdd-Add-UE-EUTRA-Capabilities-r9 UE-EUTRA-CapabilityAddXDD-Mode-r9 OPTIONAL,
    nonCriticalExtension UE-EUTRA-Capability-v9c0-IEs OPTIONAL
}
UE-EUTRA-Capability-v9c0-IEs ::= SEQUENCE {
                                              IRAT-ParametersUTRA-v9c0 OPTIONAL UE-EUTRA-Capability-v9d0-IES OPTIONAL
     interRAT-ParametersUTRA-v9c0
                                                                                  OPTIONAL,
     nonCriticalExtension
UE-EUTRA-Capability-v9d0-IEs ::= SEQUENCE {
    phyLayerParameters-v9d0
                                             PhyLayerParameters-v9d0
                                                                                  OPTIONAL.
                                              UE-EUTRA-Capability-v9e0-IEs
     nonCriticalExtension
                                                                                  OPTIONAL
UE-EUTRA-Capability-v9e0-IEs ::= SEQUENCE {
                                        RF-Parameters-v9e0
     rf-Parameters-v9e0
                                                                                            OPTIONAL.
     nonCriticalExtension
                                              UE-EUTRA-Capability-v9h0-IEs
                                                                                            OPTIONAL
UE-EUTRA-Capability-v9h0-IES ::= SEQUENCE {
  interRAT-ParametersUTRA-v9h0 IRAT-ParametersUTRA-v9h0
                                                                                            OPTIONAL,
      - Following field is only to be used for late REL-9 extensions
                                    OCTET STRING
     lateNonCriticalExtension
                                                                                            OPTIONAL,
    nonCriticalExtension
                                             UE-EUTRA-Capability-v10c0-IEs
                                                                                            OPTIONAL
UE-EUTRA-Capability-v10c0-IEs ::= SEQUENCE {
     otdoa-PositioningCapabilities-r10 OTDOA-PositioningCapabilities-r10
                                                                                           OPTIONAL.
     nonCriticalExtension
                                             UE-EUTRA-Capability-v10f0-IEs
                                                                                            OPTIONAL
UE-EUTRA-Capability-v10f0-IEs ::= SEQUENCE {
    rf-Parameters-v10f0
                                             RF-Parameters-v10f0
                                                                                            OPTIONAL.
     nonCriticalExtension
                                             UE-EUTRA-Capability-v10i0-IEs
                                                                                            OPTIONAL
UE-EUTRA-Capability-v10i0-IEs ::= SEQUENCE {
     rf-Parameters-v10i0
                                             RF-Parameters-v10i0
                                                                                            OPTIONAL,
     -- Following field is only to be used for late REL-10 extensions
```

```
lateNonCriticalExtension OCTET STRING (CONTAINING UE-EUTRA-Capability-v10j0-IEs)
    OPTIONAL,
   nonCriticalExtension
                                     UE-EUTRA-Capability-v11d0-IEs
                                                                              OPTIONAL
}
UE-EUTRA-Capability-v10j0-IEs ::= SEQUENCE {
   rf-Parameters-v10j0 RF-Parameters-v10j0
nonCriticalExtension SEQUENCE {}
                                                                               OPTIONAL.
                                       SEQUENCE {}
   nonCriticalExtension
                                                                               OPTIONAL
UE-EUTRA-Capability-v11d0-IEs ::= SEQUENCE {
   rf-Parameters-v11d0 RF-Parameters-v11d0 otherParameters-v11d0 Other-Parameters-v11d0 nonCriticalExtension UE-EUTRA-Capability-
                                                                              OPTTONAL.
                                      Other-Parameters-v11d0
                                                                               OPTIONAL,
                                      UE-EUTRA-Capability-v11x0-IEs
                                                                              OPTIONAL
}
UE-EUTRA-Capability-v11x0-IEs ::= SEQUENCE {
   -- Following field is only to be used for late REL-11 extensions
   lateNonCriticalExtension OCTET STRING
                                                                                   OPTIONAL.
   nonCriticalExtension
                                      UE-EUTRA-Capability-v12b0-IEs
                                                                                   OPTIONAL
}
   UE-EUTRA-Capability-v12b0-IEs ::= SEQUENCE {
   rf-Parameters-v12b0
                                                                              OPTIONAL.
                                      UE-EUTRA-Capability-v12x0-IEs
                                                                              OPTIONAL
}
UE-EUTRA-Capability-v12x0-IEs ::= SEQUENCE {
    -- Following field is only to be used for late REL-12 extensions
   lateNonCriticalExtension OCTET STRING
                                                                               OPTIONAL.
    nonCriticalExtension
                                       UE-EUTRA-Capability-v1370-IEs
                                                                               OPTIONAL
}
{\tt UE-EUTRA-Capability-v1370-IEs} \; ::= \; {\tt SEQUENCE} \; \left\{ \right.
   ce-Parameters-v1370
                                      CE-Parameters-v1370
                                                                              OPTIONAL,
    fdd-Add-UE-EUTRA-Capabilities-v1370 UE-EUTRA-CapabilityAddXDD-Mode-v1370
                                                                               OPTIONAL,
    tdd-Add-UE-EUTRA-Capabilities-v1370 UE-EUTRA-CapabilityAddXDD-Mode-v1370
                                                                              OPTIONAL,
                                      UE-EUTRA-Capability-v1380-IEs
                                                                         OPTIONAL
   nonCriticalExtension
}
UE-EUTRA-Capability-v1380-IEs ::= SEQUENCE {
   rf-Parameters-v1380 RF-Parameters-v1380
                                                                              OPTIONAL.
    ce-Parameters-v1380
                                      CE-Parameters-v1380,
    fdd-Add-UE-EUTRA-Capabilities-v1380 UE-EUTRA-CapabilityAddXDD-Mode-v1380,
    tdd-Add-UE-EUTRA-Capabilities-v1380 UE-EUTRA-CapabilityAddXDD-Mode-v1380,
   nonCriticalExtension
                                      UE-EUTRA-Capability-v1390-IEs
                                                                               OPTIONAL
}
UE-EUTRA-Capability-v1390-IEs ::= SEQUENCE {
  rf-Parameters-v1390 RF-Parameters-v1390
                                                                              OPTIONAL,
   nonCriticalExtension
                                       UE-EUTRA-Capability-v13e0a-IEs
                                                                               OPTIONAL
}
UE-EUTRA-Capability-v13e0a-IEs ::= SEQUENCE {
   lateNonCriticalExtension OCTET STRING (CONTAINING UE-EUTRA-Capability-v13e0b-IEs)
OPTIONAL,
   nonCriticalExtension
                                     UE-EUTRA-Capability-v1470-IEs
                                                                              OPTIONAL
}
UE-EUTRA-Capability-v13e0b-IEs ::= SEQUENCE {
   phyLayerParameters-v13e0
                                      PhyLayerParameters-v13e0,
    -- Following field is only to be used for late REL-13 extensions
   nonCriticalExtension
                                      SEQUENCE {}
                                                                              OPTIONAL
UE-EUTRA-Capability-v1470-IEs ::= SEQUENCE {
   mbms-Parameters-v1470
phyLayerParameters-v1470
rf-Parameters-v1470
nonCriticalExtension

MBMS-Parameters-v1470
phyLayerParameters-v1470
RF-Parameters-v1470
UE-EUTRA-Capability-v14a0-IEs
                                                                              OPTIONAL,
                                                                              OPTIONAL,
                                                                              OPTIONAL,
                                       UE-EUTRA-Capability-v14a0-IEs
                                                                              OPTIONAL
}
UE-EUTRA-Capability-v14a0-IEs ::= SEQUENCE {
   phyLayerParameters-v14a0
                                           PhyLayerParameters-v14a0,
                                           UE-EUTRA-Capability-v14b0-IES OPTIONAL
    nonCriticalExtension
UE-EUTRA-Capability-v14b0-IEs ::= SEQUENCE {
```

```
rf-Parameters-v14b0 RF-Parameters-v14b0
      nonCriticalExtension
                                                               UE-EUTRA-Capability-v14x0-IEs
                                                                                                                        OPTIONAL
}
UE-EUTRA-Capability-v14x0-IEs ::= SEQUENCE {
       -- Following field is only to be used for late REL-14 extensions
      lateNonCriticalExtension
                                                               OCTET STRING
                                                                                                                               OPTIONAL.
      nonCriticalExtension
                                                               UE-EUTRA-Capability-v15x0-IEs
                                                                                                                               OPTIONAL
}
UE-EUTRA-Capability-v15x0-IEs ::= SEQUENCE {
      -- Following field is only to be used for late REL-15 extensions
      lateNonCriticalExtension
                                                              OCTET STRING
                                                                                                                               OPTIONAL.
      nonCriticalExtension
                                                              UE-EUTRA-Capability-v16c0-IEs
                                                                                                                               OPTIONAL
}
UE-EUTRA-Capability-v16c0-IEs ::= SEQUENCE {
     measParameters-v16c0
                                                               MeasParameters-v16c0,
       -- Following field is only to be used for late REL-16 extensions
      lateNonCriticalExtension
                                                               OCTET STRING
                                                                                                                               OPTIONAL,
      nonCriticalExtension
                                                               SEQUENCE {}
                                                                                                                         OPTIONAL
-- Regular non critical extensions
UE-EUTRA-Capability-v920-IEs ::=
                                                               SEQUENCE {
     phyLayerParameters-v920
                                                               PhyLayerParameters-v920,
      interRAT-ParametersGERAN-v920
                                                                      IRAT-ParametersGERAN-v920,
      interRAT-ParametersUTRA-v920
                                                                      IRAT-ParametersUTRA-v920
      interRAT-ParametersCDMA2000-v920
                                                                     IRAT-ParametersCDMA2000-1XRTT-v920 OPTIONAL,
                                                                     ENUMERATED {noBenFromBatConsumpOpt} OPTIONAL,
      deviceType-r9
      csg-ProximityIndicationParameters-r9
                                                                      CSG-ProximityIndicationParameters-r9,
      neighCellSI-AcquisitionParameters-r9
                                                                     NeighCellSI-AcquisitionParameters-r9,
      son-Parameters-r9
                                                                      SON-Parameters-r9,
      nonCriticalExtension
                                                                     UE-EUTRA-Capability-v940-IEs
                                                                                                                              OPTIONAL
}
UE-EUTRA-Capability-v940-IEs ::= SEQUENCE {
      lateNonCriticalExtension
                                                              OCTET STRING (CONTAINING UE-EUTRA-Capability-v9a0-IEs)
      OPTIONAL,
                                                                                                                               OPTIONAL
      nonCriticalExtension
                                                              UE-EUTRA-Capability-v1020-IEs
}
UE-EUTRA-Capability-v1020-IEs ::= SEQUENCE {
                                                               INTEGER (6..8)
      ue-Category-v1020
                                                                                                                               OPTIONAL,
     phyLayerParameters-v1020
                                                               PhyLayerParameters-v1020
                                                                                                                               OPTIONAL,
      rf-Parameters-v1020
                                                               RF-Parameters-v1020
                                                                                                                               OPTIONAL.
                                                              MeasParameters-v1020
                                                                                                                               OPTIONAL,
      measParameters-v1020
      featureGroupIndRel10-r10 BIT STRING (SIZE (32)) interRAT-ParametersCDMA2000-v1020 IRAT-ParametersCDMA2000-1XRTT-v1020
                                                                                                                               OPTIONAL,
                                                                                                                               OPTIONAL,
      ue-BasedNetwPerfMeasParameters-r10 UE-BasedNetwPerfMeasParameters-r10
                                                                                                                             OPTIONAL,
      interRAT-ParametersUTRA-TDD-v1020 IRAT-ParametersUTRA-TDD-v1020
                                                                                                                               OPTIONAL,
                                                               UE-EUTRA-Capability-v1060-IEs
      nonCriticalExtension
                                                                                                                               OPTIONAL
UE-EUTRA-Capability-v1060-IEs ::= SEQUENCE {
      fdd-Add-UE-EUTRA-Capabilities-v1060 UE-EUTRA-CapabilityAddXDD-Mode-v1060
                                                                                                                               OPTIONAL.
      \verb|tdd-Add-UE-EUTRA-Capabil| it is s-v1060 | UE-EUTRA-Capabil| it yAddXDD-Mode-v1060 | vertical and the property of the prope
                                                                                                                               OPTIONAL,
      rf-Parameters-v1060
                                                             RF-Parameters-v1060
                                                                                                                               OPTIONAL,
      nonCriticalExtension
                                                               UE-EUTRA-Capability-v1090-IEs
                                                                                                                               OPTIONAL
}
UE-EUTRA-Capability-v1090-IEs ::= SEQUENCE {
     rf-Parameters-v1090
                                                              RF-Parameters-v1090
                                                               UE-EUTRA-Capability-v1130-IEs
      nonCriticalExtension
                                                                                                                               OPTIONAL
}
UE-EUTRA-Capability-v1130-IEs ::= SEQUENCE {
     pdcp-Parameters-v1130
                                                         PDCP-Parameters-v1130,
      phyLayerParameters-v1130
                                                                                                                               OPTIONAL.
                                                               PhyLayerParameters-v1130
      rf-Parameters-v1130
                                                               RF-Parameters-v1130,
      measParameters-v1130
                                                              MeasParameters-v1130
      \verb|interRAT-ParametersCDMA2000-v1130| IRAT-ParametersCDMA2000-v1130,\\
      otherParameters-r11
                                                              Other-Parameters-r11,
      fdd-Add-UE-EUTRA-Capabilities-v1130 UE-EUTRA-CapabilityAddXDD-Mode-v1130 OPTIONAL,
      tdd-Add-UE-EUTRA-Capabilities-v1130 UE-EUTRA-CapabilityAddXDD-Mode-v1130
                                                                                                                               OPTIONAL,
      nonCriticalExtension
                                                              UE-EUTRA-Capability-v1170-IEs
                                                                                                                               OPTIONAL
}
```

```
UE-EUTRA-Capability-v1170-IEs ::= SEQUENCE {
    phyLayerParameters-v1170
                                      PhyLayerParameters-v1170
                                                                              OPTIONAL,
    ue-Category-v1170
                                       INTEGER (9..10)
                                                                              OPTIONAL,
                                       UE-EUTRA-Capability-v1180-IEs
    nonCriticalExtension
                                                                              OPTIONAL
UE-EUTRA-Capability-v1180-IEs ::= SEQUENCE {
                                                                              OPTIONAL,
   rf-Parameters-v1180
                                      RF-Parameters-v1180
                                                                              OPTIONAL,
    mbms-Parameters-r11
                                       MBMS-Parameters-r11
    fdd-Add-UE-EUTRA-Capabilities-v1180 UE-EUTRA-CapabilityAddXDD-Mode-v1180
                                                                              OPTIONAL,
                                                                              OPTIONAL,
    tdd-Add-UE-EUTRA-Capabilities-v1180 UE-EUTRA-CapabilityAddXDD-Mode-v1180
                                      UE-EUTRA-Capability-v11a0-IEs
    nonCriticalExtension
                                                                              OPTIONAL
UE-EUTRA-Capability-v11a0-IEs ::= SEQUENCE {
   ue-Category-v11a0
                                  INTEGER (11..12)
                                                                              OPTIONAL,
   measParameters-v11a0
                                       MeasParameters-v11a0
                                                                              OPTIONAL,
    nonCriticalExtension
                                       UE-EUTRA-Capability-v1250-IEs
                                                                              OPTIONAL
}
UE-EUTRA-Capability-v1250-IEs ::= SEQUENCE {
   phyLayerParameters-v1250
                                           PhyLayerParameters-v1250
                                                                                  OPTIONAL,
    rf-Parameters-v1250
                                           RF-Parameters-v1250
                                                                                  OPTIONAL,
   rlc-Parameters-r12
                                           RLC-Parameters-r12
                                                                                  OPTIONAL,
                                           UE-BasedNetwPerfMeasParameters-v1250
    ue-BasedNetwPerfMeasParameters-v1250
                                                                                  OPTIONAL.
    ue-CategoryDL-r12
                                           INTEGER (0..14)
                                                                                  OPTIONAL,
    ue-CategoryUL-r12
                                           INTEGER (0..13)
                                                                                  OPTIONAL,
    wlan-IW-Parameters-r12
                                           WLAN-IW-Parameters-r12
                                                                                  OPTIONAL,
   measParameters-v1250
                                          MeasParameters-v1250
                                                                                  OPTIONAL.
                                                                                  OPTIONAL,
    dc-Parameters-r12
                                           DC-Parameters-r12
    mbms-Parameters-v1250
                                           MBMS-Parameters-v1250
                                                                                  OPTIONAL.
   mac-Parameters-r12
                                           MAC-Parameters-r12
                                                                                  OPTIONAL,
    fdd-Add-UE-EUTRA-Capabilities-v1250
                                           UE-EUTRA-CapabilityAddXDD-Mode-v1250
                                                                                  OPTIONAL,
                                          UE-EUTRA-CapabilityAddXDD-Mode-v1250
    tdd-Add-UE-EUTRA-Capabilities-v1250
                                                                                  OPTIONAL.
                                                                                  OPTIONAL,
    sl-Parameters-r12
                                           SL-Parameters-r12
    nonCriticalExtension
                                           UE-EUTRA-Capability-v1260-IEs
                                                                                  OPTIONAL
}
UE-EUTRA-Capability-v1260-IEs ::= SEQUENCE {
   ue-CategoryDL-v1260
                                       INTEGER (15..16)
                                                                              OPTIONAL,
   nonCriticalExtension
                                       UE-EUTRA-Capability-v1270-IEs
                                                                              OPTIONAL
}
UE-EUTRA-Capability-v1270-IEs ::= SEQUENCE {
   rf-Parameters-v1270
                                      RF-Parameters-v1270
                                                                              OPTIONAL,
   nonCriticalExtension
                                       UE-EUTRA-Capability-v1280-IEs
                                                                              OPTIONAL
}
UE-EUTRA-Capability-v1280-IEs ::= SEQUENCE {
   phyLayerParameters-v1280 PhyLayerParameters-v1280
                                                                              OPTIONAL,
   nonCriticalExtension
                                       UE-EUTRA-Capability-v1310-IEs
                                                                              OPTIONAL
UE-EUTRA-Capability-v1310-IEs ::= SEQUENCE {
                          ENUMERATED {n17, m1}
   ue-CategoryDL-v1310
                                                                              OPTIONAL,
                                      ENUMERATED {n14, m1}
    ue-CategoryUL-v1310
                                                                              OPTIONAL,
    pdcp-Parameters-v1310
                                      PDCP-Parameters-v1310,
   rlc-Parameters-v1310
                                     RLC-Parameters-v1310,
   mac-Parameters-v1310
                                      MAC-Parameters-v1310
                                                                              OPTIONAL,
                                    PhyLayerParameters-v1310

PhyLayerParameters-v1310

RF-Parameters-v1310
   phyLayerParameters-v1310
                                                                              OPTIONAL.
    rf-Parameters-v1310
                                      RF-Parameters-v1310
                                                                              OPTIONAL,
    measParameters-v1310
                                       MeasParameters-v1310
                                                                              OPTIONAL,
    dc-Parameters-v1310
                                      DC-Parameters-v1310
                                                                              OPTIONAL,
    sl-Parameters-v1310
                                      SL-Parameters-v1310
                                                                              OPTIONAL.
                                                                              OPTIONAL,
    scptm-Parameters-r13
                                      SCPTM-Parameters-r13
    ce-Parameters-r13
                                      CE-Parameters-r13
                                                                              OPTIONAL,
    interRAT-ParametersWLAN-r13
                                       IRAT-ParametersWLAN-r13,
                                     LAA-Parameters-r13
                                                                              OPTIONAL,
    laa-Parameters-r13
                                      LWA-Parameters-r13
    lwa-Parameters-r13
                                                                              OPTIONAL,
    wlan-IW-Parameters-v1310
                                       WLAN-IW-Parameters-v1310,
    lwip-Parameters-r13
                                      LWIP-Parameters-r13,
    fdd-Add-UE-EUTRA-Capabilities-v1310 UE-EUTRA-CapabilityAddXDD-Mode-v1310
                                                                              OPTIONAL,
    tdd-Add-UE-EUTRA-Capabilities-v1310 UE-EUTRA-CapabilityAddXDD-Mode-v1310
                                                                              OPTIONAL,
                                      UE-EUTRA-Capability-v1320-IEs
    nonCriticalExtension
                                                                              OPTIONAL
UE-EUTRA-Capability-v1320-IEs ::= SEQUENCE {
   ce-Parameters-v1320
                                      CE-Parameters-v1320
                                                                          OPTIONAL,
```

```
phyLayerParameters-v1320
                                         PhyLayerParameters-v1320
                                                                                   OPTIONAL,
    rf-Parameters-v1320
                                         RF-Parameters-v1320
                                                                                   OPTIONAL,
    fdd-Add-UE-EUTRA-Capabilities-v1320 UE-EUTRA-CapabilityAddXDD-Mode-v1320
                                                                                  OPTIONAL,
    tdd-Add-UE-EUTRA-Capabilities-v1320 UE-EUTRA-CapabilityAddXDD-Mode-v1320
                                                                                  OPTIONAL,
    nonCriticalExtension
                                         UE-EUTRA-Capability-v1330-IEs
                                                                                  OPTIONAL
}
UE-EUTRA-Capability-v1330-IEs ::= SEQUENCE {
   phyLayerParameters-v1330 phyLayerParameters ue-CE-NeedULGaps-r13 ENUMERATED {true} nonCriticalExtension
                                                                                  OPTIONAL,
                                         PhyLayerParameters-v1330
                                                                                   OPTIONAL,
                                        ENUMERATED {true}
                                                                                   OPTIONAL.
                                        UE-EUTRA-Capability-v1340-IEs
                                                                                  OPTIONAL
UE-EUTRA-Capability-v1340-IEs ::= SEQUENCE {
                           INTEGER (15)
   ue-CategoryUL-v1340
                                                                                  OPTIONAL,
    nonCriticalExtension
                                        UE-EUTRA-Capability-v1350-IEs
                                                                                  OPTIONAL
UE-EUTRA-Capability-v1350-IEs ::= SEQUENCE {
   ue-CategoryDL-v1350 ENUMERATED (oneBis)
                                                                                   OPTIONAL.
    ue-CategoryUL-v1350
                                        ENUMERATED {oneBis}
                                                                                  OPTIONAL,
    ce-Parameters-v1350
                                         CE-Parameters-v1350,
   nonCriticalExtension
                                        UE-EUTRA-Capability-v1360-IEs
                                                                                 OPTIONAL
}
UE-EUTRA-Capability-v1360-IEs ::= SEQUENCE {
    other-Parameters-v1360
                                        Other-Parameters-v1360
                                         UE-EUTRA-Capability-v1430-IEs
                                                                                OPTIONAL
    nonCriticalExtension
}
UE-EUTRA-Capability-v1430-IEs ::= SEQUENCE {
   phyLayerParameters-v1430 PhyLayerParameters-v1430, ue-CategoryDL-v1430 ENUMERATED {m2}
                                                                                       OPTIONAL.
                                                                                       OPTIONAL,
    ue-CategoryUL-v1430
                                        ENUMERATED {n16, n17, n18, n19, n20, m2}
   ue-CategoryUL-v1430b
mac-Parameters-v1430
                                         ENUMERATED (n21)
                                                                                       OPTIONAL,
                                       MAC-Parameters-v1430
                                                                                       OPTIONAL,
                                     MeasParameters-v1430
PDCP-Parameters-v1430
RLC-Parameters-v1430,
RF-Parameters-v1430
LAA-Parameters-v1430
    measParameters-v1430
                                                                                       OPTIONAL,
    pdcp-Parameters-v1430
                                                                                       OPTIONAL,
    rlc-Parameters-v1430
    rf-Parameters-v1430
                                                                                       OPTIONAL,
    laa-Parameters-v1430
                                                                                       OPTIONAL.
                                      LWA-Parameters-v1430
LWIP-Parameters-v1430
Other-Parameters-v1430,
                                                                                       OPTIONAL,
    lwa-Parameters-v1430
    lwip-Parameters-v1430
                                                                                       OPTIONAL,
    otherParameters-v1430
    mmtel-Parameters-r14
                                        MMTEL-Parameters-r14
                                                                                       OPTIONAL,
                                       MobilityParameters-r14
    mobilityParameters-r14
                                                                                       OPTIONAL,
    ce-Parameters-v1430
                                        CE-Parameters-v1430,
    fdd-Add-UE-EUTRA-Capabilities-v1430 UE-EUTRA-CapabilityAddXDD-Mode-v1430
                                                                                       OPTIONAL,
    tdd-Add-UE-EUTRA-Capabilities-v1430 UE-EUTRA-CapabilityAddXDD-Mode-v1430
                                                                                       OPTIONAL,
                            MBMS-Parameters-v1430
    mbms-Parameters-v1430
                                                                                       OPTIONAL,
                                                                                       OPTIONAL,
    sl-Parameters-v1430
                                         SL-Parameters-v1430
    ue-BasedNetwPerfMeasParameters-v1430
                                            UE-BasedNetwPerfMeasParameters-v1430
                                                                                       OPTIONAL,
    highSpeedEnhParameters-r14 HighSpeedEnhParameters-r14 nonCriticalExtension UE-EUTRA-Capability-v1440-IEs
                                                                                       OPTIONAL.
                                                                                      OPTIONAL
}
UE-EUTRA-Capability-v1440-IEs ::= SEQUENCE {
    lwa-Parameters-v1440 LWA-Parameters-v1440,
    mac-Parameters-v1440
                                         MAC-Parameters-v1440.
    nonCriticalExtension
                                        UE-EUTRA-Capability-v1450-IEs
                                                                                 OPTIONAL
UE-EUTRA-Capability-v1450-IEs ::= SEQUENCE {
   phyLayerParameters-v1450 PhyLayerParameters-v1450 rf-Parameters-v1450 RF-Parameters-v1450
                                                                          OPTIONAL,
                                                                      OPTIONAL,
    otherParameters-v1450
                                         OtherParameters-v1450,
    ue-CategoryDL-v1450
                                        INTEGER (20)
                                                                          OPTIONAL,
    nonCriticalExtension
                                             UE-EUTRA-Capability-v1460-IES OPTIONAL
UE-EUTRA-Capability-v1460-IEs ::= SEQUENCE {
   ue-CategoryDL-v1460 INTEGER (21)
                                                                              OPTIONAL,
    otherParameters-v1460
                                        Other-Parameters-v1460,
    nonCriticalExtension
                                         UE-EUTRA-Capability-v1510-IEs
                                                                            OPTIONAL
UE-EUTRA-Capability-v1510-IEs ::= SEQUENCE {
```

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irat-ParametersNR-r15
                                            IRAT-ParametersNR-r15
                                                                                    OPTIONAL,
   featureSetsEUTRA-r15
                                            FeatureSetsEUTRA-r15
                                                                                    OPTIONAL,
   pdcp-ParametersNR-r15
                                            PDCP-ParametersNR-r15
                                                                                    OPTIONAL,
                                            UE-EUTRA-CapabilityAddXDD-Mode-v1510
   {\tt fdd-Add-UE-EUTRA-Capabilities-v1510}
                                                                                    OPTIONAL,
   tdd-Add-UE-EUTRA-Capabilities-v1510
                                            UE-EUTRA-CapabilityAddXDD-Mode-v1510
                                                                                    OPTIONAL,
                                            UE-EUTRA-Capability-v1520-IEs
   nonCriticalExtension
UE-EUTRA-Capability-v1520-IEs ::= SEQUENCE {
   measParameters-v1520
                                            MeasParameters-v1520,
   nonCriticalExtension
                                            UE-EUTRA-Capability-v1530-IEs OPTIONAL
UE-EUTRA-Capability-v1530-IEs ::= SEQUENCE {
   measParameters-v1530
                                            MeasParameters-v1530
   otherParameters-v1530
                                            Other-Parameters-v1530
                                                                                    OPTIONAL,
   neighCellSI-AcquisitionParameters-v1530 NeighCellSI-AcquisitionParameters-v1530 OPTIONAL.
   mac-Parameters-v1530
                                            MAC-Parameters-v1530
                                                                                    OPTIONAL.
   phyLayerParameters-v1530
                                            PhyLayerParameters-v1530
                                            RF-Parameters-v1530
                                                                                    OPTIONAL,
   rf-Parameters-v1530
   pdcp-Parameters-v1530
                                            PDCP-Parameters-v1530
                                                                                    OPTIONAL.
   ue-CategoryDL-v1530
                                            INTEGER (22..26)
                                                                                    OPTIONAL,
   ue-BasedNetwPerfMeasParameters-v1530
                                            UE-BasedNetwPerfMeasParameters-v1530
                                                                                    OPTIONAL,
   rlc-Parameters-v1530
                                            RLC-Parameters-v1530
                                                                                    OPTIONAL,
   sl-Parameters-v1530
                                            SL-Parameters-v1530
                                                                                    OPTIONAL.
   extendedNumberOfDRBs-r15
                                            ENUMERATED {supported}
                                                                                    OPTIONAL,
   reducedCP-Latency-r15
                                            ENUMERATED {supported}
                                                                                    OPTIONAL,
   laa-Parameters-v1530
                                            LAA-Parameters-v1530
                                                                                    OPTIONAL,
   ue-CategoryUL-v1530
                                            INTEGER (22..26)
                                                                                    OPTIONAL.
                                            UE-EUTRA-CapabilityAddXDD-Mode-v1530
   fdd-Add-UE-EUTRA-Capabilities-v1530
                                                                                    OPTIONAL,
   tdd-Add-UE-EUTRA-Capabilities-v1530
                                            UE-EUTRA-CapabilityAddXDD-Mode-v1530
                                                                                    OPTIONAL.
   nonCriticalExtension
                                            UE-EUTRA-Capability-v1540-IEs
                                                                                    OPTIONAL
UE-EUTRA-Capability-v1540-IEs ::= SEQUENCE {
   phyLayerParameters-v1540
                                            PhyLayerParameters-v1540
                                                                                    OPTIONAL,
   otherParameters-v1540
                                            Other-Parameters-v1540,
   fdd-Add-UE-EUTRA-Capabilities-v1540
                                            UE-EUTRA-CapabilityAddXDD-Mode-v1540
                                                                                    OPTIONAL,
   tdd-Add-UE-EUTRA-Capabilities-v1540
                                                                                    OPTIONAL,
                                            UE-EUTRA-CapabilityAddXDD-Mode-v1540
                                                                                    OPTIONAL,
   sl-Parameters-v1540
                                            SL-Parameters-v1540
   irat-ParametersNR-v1540
                                            IRAT-ParametersNR-v1540
                                                                                    OPTIONAL.
   nonCriticalExtension
                                            UE-EUTRA-Capability-v1550-IEs
                                                                                    OPTIONAL
UE-EUTRA-Capability-v1550-IEs ::= SEQUENCE {
   neighCellSI-AcquisitionParameters-v1550 NeighCellSI-AcquisitionParameters-v1550 OPTIONAL,
   phyLayerParameters-v1550
                                           PhyLaverParameters-v1550,
   mac-Parameters-v1550
                                            MAC-Parameters-v1550,
   fdd-Add-UE-EUTRA-Capabilities-v1550
                                            UE-EUTRA-CapabilityAddXDD-Mode-v1550,
   tdd-Add-UE-EUTRA-Capabilities-v1550
                                           UE-EUTRA-CapabilityAddXDD-Mode-v1550,
                                            UE-EUTRA-Capability-v1560-IES OPTIONAL
   nonCriticalExtension
UE-EUTRA-Capability-v1560-IEs ::= SEQUENCE {
   pdcp-ParametersNR-v1560 PDCP-ParametersNR-v1560,
                                       IRAT-ParametersNR-v1560,
   irat-ParametersNR-v1560
   appliedCapabilityFilterCommon-r15
                                           OCTET STRING
                                                                                    OPTIONAL,
    fdd-Add-UE-EUTRA-Capabilities-v1560 UE-EUTRA-CapabilityAddXDD-Mode-v1560,
   tdd-Add-UE-EUTRA-Capabilities-v1560 UE-EUTRA-CapabilityAddXDD-Mode-v1560,
   nonCriticalExtension
                                           UE-EUTRA-Capability-v1570-IEs
                                                                                    OPTIONAL
UE-EUTRA-Capability-v1570-IEs ::= SEQUENCE {
                            RF-Parameters-v1570
                                   NF-rarameters-v1570
IRAT-ParametersNR-v1570
   rf-Parameters-v1570
                                                                        OPTIONAL.
   irat-ParametersNR-v1570
                                                                        OPTIONAL,
   nonCriticalExtension
                                        UE-EUTRA-Capability-v15a0-IEs
                                                                                OPTIONAL
UE-EUTRA-Capability-v15a0-IEs ::= SEQUENCE {
   neighCellSI-AcquisitionParameters-v15a0 NeighCellSI-AcquisitionParameters-v15a0,
                                           EUTRA-5GC-Parameters-r15
                                                                                    OPTIONAL,
   eutra-5GC-Parameters-r15
   fdd-Add-UE-EUTRA-Capabilities-v15a0 UE-EUTRA-CapabilityAddXDD-Mode-v15a0
                                                                                OPTIONAL,
   tdd-Add-UE-EUTRA-Capabilities-v15a0 UE-EUTRA-CapabilityAddXDD-Mode-v15a0
                                                                                OPTIONAL,
   nonCriticalExtension
                                       UE-EUTRA-Capability-v1610-IEs
                                                                                OPTIONAL
UE-EUTRA-Capability-v1610-IEs ::= SEQUENCE {
   highSpeedEnhParameters-v1610
                                           HighSpeedEnhParameters-v1610
                                                                                        OPTIONAL,
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neighCellSI-AcquisitionParameters-v1610 NeighCellSI-AcquisitionParameters-v1610
                                                                                        OPTIONAL,
    mbms-Parameters-v1610
                                           MBMS-Parameters-v1610
                                                                                        OPTIONAL,
   pdcp-Parameters-v1610
                                           PDCP-Parameters-v1610
                                                                                        OPTIONAL,
                                                                                        OPTIONAL,
    mac-Parameters-v1610
                                           MAC-Parameters-v1610
    phyLayerParameters-v1610
                                            PhyLayerParameters-v1610
                                                                                        OPTIONAL,
   measParameters-v1610
                                            MeasParameters-v1610
                                                                                        OPTIONAL,
    pur-Parameters-r16
                                            PUR-Parameters-r16
                                                                                        OPTIONAL.
                                           EUTRA-5GC-Parameters-v1610
    eutra-5GC-Parameters-v1610
                                                                                        OPTIONAL,
    otherParameters-v1610
                                            Other-Parameters-v1610
                                                                                        OPTIONAL,
    dl-DedicatedMessageSegmentation-r16
                                            ENUMERATED {supported}
                                                                                        OPTIONAL,
   mmtel-Parameters-v1610
                                           MMTEL-Parameters-v1610,
    irat-ParametersNR-v1610
                                            IRAT-ParametersNR-v1610
                                                                                        OPTIONAL,
    rf-Parameters-v1610
                                            RF-Parameters-v1610
                                                                                        OPTIONAL,
    mobilityParameters-v1610
                                            MobilityParameters-v1610
                                                                                        OPTIONAL,
    ue-BasedNetwPerfMeasParameters-v1610
                                            UE-BasedNetwPerfMeasParameters-v1610,
    sl-Parameters-v1610
                                            SL-Parameters-v1610
                                                                                        OPTIONAL,
    fdd-Add-UE-EUTRA-Capabilities-v1610
                                            UE-EUTRA-CapabilityAddXDD-Mode-v1610
                                                                                        OPTIONAL,
    tdd-Add-UE-EUTRA-Capabilities-v1610
                                            UE-EUTRA-CapabilityAddXDD-Mode-v1610
                                                                                        OPTIONAL,
    nonCriticalExtension
                                            UE-EUTRA-Capability-v1630-IEs
                                                                                        OPTIONAL
}
UE-EUTRA-Capability-v1630-IEs ::= SEQUENCE {
    rf-Parameters-v1630
                                            RF-Parameters-v1630
                                                                                        OPTIONAL,
   sl-Parameters-v1630
                                            SL-Parameters-v1630
                                                                                        OPTIONAL,
                                            ENUMERATED {supported}
    earlySecurityReactivation-r16
                                                                                    OPTIONAL,
   mac-Parameters-v1630
                                            MAC-Parameters-v1630,
    measParameters-v1630
                                            MeasParameters-v1630
                                                                                        OPTIONAL,
    fdd-Add-UE-EUTRA-Capabilities-v1630
                                            UE-EUTRA-CapabilityAddXDD-Mode-v1630,
    tdd-Add-UE-EUTRA-Capabilities-v1630
                                           UE-EUTRA-CapabilityAddXDD-Mode-v1630,
                                                                                OPTIONAL
    nonCriticalExtension
                                            UE-EUTRA-Capability-v1650-IEs
}
UE-EUTRA-Capability-v1650-IEs ::= SEQUENCE {
    otherParameters-v1650
                                       Other-Parameters-v1650
                                                                        OPTIONAL.
    nonCriticalExtension
                                       UE-EUTRA-Capability-v1660-IEs
                                                                            OPTIONAL
UE-EUTRA-Capability-v1660-IEs ::= SEQUENCE {
   irat-ParametersNR-v1660
                                   IRAT-ParametersNR-v1660,
                                                                            OPTIONAL
    nonCriticalExtension
                                        UE-EUTRA-Capability-v1690-IEs
}
UE-EUTRA-Capability-v1690-IEs ::= SEQUENCE {
    other-Parameters-v1690
                                   Other-Parameters-v1690,
    nonCriticalExtension
                                       UE-EUTRA-Capability-v1700-IEs
                                                                                    OPTIONAL
}
UE-EUTRA-Capability-v1700-IEs ::= SEQUENCE {
    measParameters-v1700
                                            MeasParameters-v1700
                                                                                    OPTIONAL,
    ue-BasedNetwPerfMeasParameters-v1700
                                           UE-BasedNetwPerfMeasParameters-v1700
                                                                                    OPTIONAL,
   phyLayerParameters-v1700
                                            PhyLaverParameters-v1700,
    ntn-Parameters-r17
                                           NTN-Parameters-r17
                                                                                    OPTIONAL.
    irat-ParametersNR-v1700
                                       IRAT-ParametersNR-v1700
                                                                           OPTIONAL,
    mbms-Parameters-v1700
                                            MBMS-Parameters-v1700,
    nonCriticalExtension
                                            UE-EUTRA-Capability-v1710-IEs
                                                                              OPTIONAL
}
UE-EUTRA-Capability-v1710-IEs ::= SEQUENCE {
    irat-ParametersNR-v1710
                                            IRAT-ParametersNR-v1710,
    {\tt neighCellSI-AcquisitionParameters-v1710~NeighCellSI-AcquisitionParameters-v1710~OPTIONAL,}
    sl-Parameters-v1710
                                            SL-Parameters-v1710
                                                                               OPTIONAL,
    sidelinkRequested-r17
                                                ENUMERATED {true}
                                                                                        OPTIONAL,
                                                UE-EUTRA-Capability-v1720-IEs
    nonCriticalExtension
                                                                                        OPTIONAL
UE-EUTRA-Capability-v1720-IEs ::= SEQUENCE {
    ntn-Parameters-v1720
                                                NTN-Parameters-v1720,
    nonCriticalExtension
                                                UE-EUTRA-Capability-v1730-IEs
                                                                                    OPTIONAL
}
UE-EUTRA-Capability-v1730-IEs ::= SEQUENCE {
   phyLayerParameters-v1730
                                                PhyLayerParameters-v1730,
    nonCriticalExtension
                                                SEQUENCE {}
                                                                                    OPTIONAL
}
UE-EUTRA-CapabilityAddXDD-Mode-r9 ::=
                                       SEQUENCE {
    phyLayerParameters-r9
                                            PhyLayerParameters
                                                                                    OPTIONAL,
                                                                                    OPTIONAL,
    featureGroupIndicators-r9
                                            BIT STRING (SIZE (32))
```

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featureGroupIndRel9Add-r9
                                                                      BIT STRING (SIZE (32))
                                                                                                                                             OPTIONAL,
      interRAT-ParametersGERAN-r9
                                                                         IRAT-ParametersGERAN
                                                                                                                                             OPTIONAL,
                                                                       IRAT-ParametersUTRA-v920
      interRAT-ParametersUTRA-r9
                                                                                                                                             OPTIONAL,
      interRAT-ParametersCDMA2000-r9
                                                                         IRAT-ParametersCDMA2000-1XRTT-v920
                                                                                                                                             OPTIONAL,
      neighCellSI-AcquisitionParameters-r9
                                                                         NeighCellSI-AcquisitionParameters-r9
                                                                                                                                             OPTIONAL.
UE-EUTRA-CapabilityAddXDD-Mode-v1060 ::=
                                                                         SEQUENCE {
      phyLayerParameters-v1060
                                                                          PhyLayerParameters-v1020
      featureGroupIndRel10-v1060
                                                                         BIT STRING (SIZE (32))
                                                                                                                                             OPTIONAL,
      interRAT-ParametersCDMA2000-v1060
interRAT-ParametersUTRA-TDD-v1060
                                                                         TRAT-ParametersCDMA2000-1XRTT-v1020
                                                                                                                                             OPTIONAL.
                                                                         TRAT-ParametersUTRA-TDD-v1020
                                                                                                                                             OPTIONAL.
            otdoa-PositioningCapabilities-r10 OTDOA-PositioningCapabilities-r10
      [[
      11
}
UE-EUTRA-CapabilityAddXDD-Mode-v1130 ::=
                                                                         SEQUENCE {
      phyLayerParameters-v1130
                                                                                PhyLayerParameters-v1130
                                                                                                                                             OPTIONAL,
      measParameters-v1130
                                                                                 MeasParameters-v1130
                                                                                                                                             OPTIONAL,
      otherParameters-r11
                                                                                Other-Parameters-r11
                                                                                                                                             OPTIONAL,
}
UE-EUTRA-CapabilityAddXDD-Mode-v1180 ::= SEQUENCE {
     mbms-Parameters-r11
                                                                 MBMS-Parameters-r11
UE-EUTRA-CapabilityAddXDD-Mode-v1250 ::=
                                                                      SEQUENCE {
      phyLayerParameters-v1250
                                                                 PhyLayerParameters-v1250
                                                                                                                               OPTIONAL.
      measParameters-v1250
                                                                  MeasParameters-v1250
                                                                                                                              OPTIONAL
}
UE-EUTRA-CapabilityAddXDD-Mode-v1310 ::= SEQUENCE {
     phyLayerParameters-v1310
                                                                 PhyLayerParameters-v1310
                                                                                                                              OPTIONAL
UE-EUTRA-CapabilityAddXDD-Mode-v1320 ::=
                                                                      SEQUENCE {
     phyLayerParameters-v1320 PhyLayerParameters-v1320
                                                                                                                               OPTIONAL,
      scptm-Parameters-r13
                                                                  SCPTM-Parameters-r13
                                                                                                                                OPTIONAL
}
UE-EUTRA-CapabilityAddXDD-Mode-v1370 ::= SEQUENCE {
      ce-Parameters-v1370
                                                                  CE-Parameters-v1370
                                                                                                                             OPTIONAL
}
UE-EUTRA-CapabilityAddXDD-Mode-v1380 ::= SEQUENCE {
      ce-Parameters-v1380
                                                                  CE-Parameters-v1380
}
UE-EUTRA-CapabilityAddXDD-Mode-v1430 ::=
                                                                      SEQUENCE {
     phyLayerParameters-v1430
                                                                PhyLayerParameters-v1430
                                                                                                                              OPTIONAL.
      mmtel-Parameters-r14
                                                                  MMTEL-Parameters-r14
                                                                                                                                OPTIONAL
}
UE-EUTRA-CapabilityAddXDD-Mode-v1510 ::=
                                                                         SEQUENCE {
     pdcp-ParametersNR-r15
                                                                             PDCP-ParametersNR-r15
UE-EUTRA-CapabilityAddXDD-Mode-v1530 ::=
                                                                        SEQUENCE {
      \verb|neighCellSI-AcquisitionParameters-v1530| NeighCellSI-AcquisitionParameters-v1530| OPTIONAL, | Constant of the context of t
      reducedCP-Latency-r15
                                                          ENUMERATED {supported}
}
UE-EUTRA-CapabilityAddXDD-Mode-v1540 ::= SEQUENCE {
      eutra-5GC-Parameters-r15
                                                                                EUTRA-5GC-Parameters-r15
                                                                                                                                       OPTIONAL,
      irat-ParametersNR-v1540
                                                                                IRAT-ParametersNR-v1540
                                                                                                                                      OPTIONAL
UE-EUTRA-CapabilityAddXDD-Mode-v1550 ::= SEQUENCE {
      neighCellSI-AcquisitionParameters-v1550 NeighCellSI-AcquisitionParameters-v1550 OPTIONAL
UE-EUTRA-CapabilityAddXDD-Mode-v1560 ::=
                                                                         SEQUENCE {
     pdcp-ParametersNR-v1560
                                                                         PDCP-ParametersNR-v1560
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UE-EUTRA-CapabilityAddXDD-Mode-v15a0 ::=
                                         SEQUENCE {
   phyLayerParameters-v1530
                                         PhyLayerParameters-v1530
                                                                                OPTIONAL,
   phyLayerParameters-v1530
phyLayerParameters-v1540
                                                                                OPTIONAL,
                                         PhyLayerParameters-v1540
   phyLayerParameters-v1550
                                          PhyLayerParameters-v1550
                                                                                OPTIONAL,
   neighCellSI-AcquisitionParameters-v15a0 NeighCellSI-AcquisitionParameters-v15a0
UE-EUTRA-CapabilityAddXDD-Mode-v1610 ::= SEQUENCE {
   phyLayerParameters-v1610
                                             PhyLayerParameters-v1610
   pur-Parameters-r16
                                             PUR-Parameters-r16
                                                                                   OPTIONAL,
   measParameters-v1610
                                                                                   OPTIONAL,
                                             MeasParameters-v1610
   eutra-5GC-Parameters-v1610
                                                                                   OPTIONAL,
                                             EUTRA-5GC-Parameters-v1610
   irat-ParametersNR-v1610
                                             IRAT-ParametersNR-v1610
   neighCellSI-AcquisitionParameters-v1610
                                             NeighCellSI-AcquisitionParameters-v1610 OPTIONAL,
   mobilityParameters-v1610
                                             MobilityParameters-v1610
                                                                                   OPTIONAL
}
UE-EUTRA-CapabilityAddXDD-Mode-v1630 ::= SEQUENCE {
                                             MeasParameters-v1630
   measParameters-v1630
AccessStratumRelease ::=
                                  ENUMERATED {
                                     rel8, rel9, rel10, rel11, rel12, rel13,
                                      rel14, rel15, ..., rel16, rel17}
FeatureSetsEUTRA-r15 ::=
                        SEQUENCE {
                             SEQUENCE (SIZE (1..maxFeatureSets-r15)) OF FeatureSetDL-r15
   featureSetsDL-r15
   OPTIONAL.
                            SEQUENCE (SIZE (1..maxPerCC-FeatureSets-r15)) OF FeatureSetDL-PerCC-
   featureSetsDL-PerCC-r15
       OPTIONAL,
r15
   featureSetsUL-r15
                             SEQUENCE (SIZE (1..maxFeatureSets-r15)) OF FeatureSetUL-r15
   OPTIONAL,
   featureSetsUL-PerCC-r15 SEQUENCE (SIZE (1..maxPerCC-FeatureSets-r15)) OF FeatureSetUL-PerCC-
r15
       OPTIONAL,
   OPTIONAL
   11
}
                                SEQUENCE {
MobilityParameters-r14 ::=
   makeBeforeBreak-r14
                                     ENUMERATED {supported}
                                                                            OPTIONAL,
   rach-Less-r14
                                      ENUMERATED {supported}
                                                                            OPTIONAL
MobilityParameters-v1610 ::=
                                SEQUENCE {
   cho-r16
                                      ENUMERATED {supported}
                                                                            OPTIONAL,
   cho-FDD-TDD-r16
                                      ENUMERATED {supported}
                                                                           OPTIONAL,
   cho-Failure-r16
                                      ENUMERATED {supported}
                                                                            OPTIONAL,
                                     ENUMERATED {supported}
   cho-TwoTriggerEvents-r16
                                                                            OPTIONAL
                             SEQUENCE {
DC-Parameters-r12 ::=
                                          ENUMERATED {supported}
   drb-TypeSplit-r12
                                                                       OPTIONAL.
   drb-TypeSCG-r12
                                          ENUMERATED {supported}
                                                                        OPTIONAL
                             SEQUENCE {
DC-Parameters-v1310 ::=
                                          ENUMERATED {supported}
   pdcp-TransferSplitUL-r13
                                                                       OPTIONAL,
                                          ENUMERATED (supported)
   ue-SSTD-Meas-r13
                                                                        OPTIONAL
}
MAC-Parameters-r12 ::=
                                 SEQUENCE {
   logicalChannelSR-ProhibitTimer-r12 ENUMERATED {supported}
                                                                            OPTIONAL,
                                      ENUMERATED {supported}
                                                                            OPTIONAL
   longDRX-Command-r12
}
MAC-Parameters-v1310 ::=
                                     SEQUENCE {
   extendedMAC-LengthField-r13 ENUMERATED {supported}
                                                                   OPTIONAL,
   extendedLongDRX-r13
                                 ENUMERATED (supported)
                                                                    OPTIONAL
}
MAC-Parameters-v1430 ::=
                                      SEQUENCE {
   shortSPS-IntervalFDD-r14
                                     ENUMERATED {supported}
                                                                        OPTIONAL,
   shortSPS-IntervalTDD-r14
                                      ENUMERATED {supported}
                                                                        OPTIONAL,
   skipUplinkDynamic-r14
                                     ENUMERATED {supported}
                                                                        OPTIONAL,
```

```
skipUplinkSPS-r14
                                                    ENUMERATED {supported}
                                                                                                     OPTIONAL,
     multipleUplinkSPS-r14
                                                    ENUMERATED {supported}
ENUMERATED {supported}
                                                                                                      OPTIONAL,
     dataInactMon-r14
                                                                                                     OPTIONAL
}
MAC-Parameters-v1440 ::=
                                                     SEQUENCE {
                                               ENUMERATED {supported} OPTIONAL
    rai-Support-r14
MAC-Parameters-v1530 ::=
                                         SEQUENCE {
    min-Proc-TimelineSubslot-r15 SEQUENCE (SIZE(1..3)) OF ProcessingTimelineSet-r15 OPTIONAL,
    skipSubframeProcessing-r15
supported}
supported}
supported}
supported}
sps-ServingCell-r15
supported}
supported}
                                                                                                                      OPTIONAL,
                                                                                                                      OPTIONAL,
                                                                                                                      OPTIONAL,
                                                                                                                      OPTIONAL,
                                                                                                                      OPTIONAL,
                                                                                                                      OPTIONAL,
                                                     ENUMERATED {supported}
                                                                                                                      OPTIONAL
}
                                                    SEQUENCE {
MAC-Parameters-v1550 ::=
                                                    ENUMERATED {supported}
     eLCID-Support-r15
                                   SEQUENCE {
MAC-Parameters-v1610 ::=
                                                                                               OPTIONAL,
    directMCG-SCellActivationResume-r16 ENUMERATED {supported}
     directSCG-SCellActivationResume-r16 ENUMERATED {supported}
                                                                                                OPTIONAL,
     earlyData-UP-5GC-r16 ENUMERATED {supported} rai-SupportEnh-r16 ENUMERATED {supported}
     rai-SupportEnh-r16
                                                    ENUMERATED {supported}
                                                                                                OPTIONAL
}
MAC-Parameters-v1630 ::= SEQUENCE {
    directSCG-SCellActivationNEDC-r16 ENUMERATED {supported} OPTIONAL
NTN-Parameters-r17 ::= SEQUENCE {
   ntn-Connectivity-EPC-r17 ENUMERATED {supported} OPTION
ntn-TA-Report-r17 ENUMERATED {supported} OPTION
ntn-PUR-TimerDelay-r17 ENUMERATED {supported} OPTIONAL,
ntn-OffsetTimingEnh-r17 ENUMERATED {supported} OPTIONAL,
ntn-ScenarioSupport-r17 ENUMERATED {ngso,gso} OPTION
                                                                                   OPTIONAL,
                                                                                        OPTIONAL
}
NTN-Parameters-v1720 ::= SEQUENCE {
     ntn-SegmentedPrecompensationGaps-r17
                                                             ENUMERATED {sym1,sl1,sf1}
ProcessingTimelineSet-r15 ::= ENUMERATED {set1, set2}
RLC-Parameters-r12 ::=
                                              SEQUENCE {
     extended-RLC-LI-Field-r12
                                                    ENUMERATED {supported}
RLC-Parameters-v1310 ::=
                                                    SEQUENCE {
     extendedRLC-SN-SO-Field-r13
                                                         ENUMERATED {supported}
                                                                                                         OPTIONAL
RLC-Parameters-v1430 ::=
                                                    SEQUENCE {
    extendedPollByte-r14
                                                                ENUMERATED {supported} OPTIONAL
}
RLC-Parameters-v1530 ::=
                                                     SEQUENCE {
                                                     ENUMERATED {supported}
    flexibleUM-AM-Combinations-r15
     rlc-AM-Ooo-Delivery-r15
                                                                                                    OPTIONAL,
                                                          ENUMERATED {supported}
ENUMERATED {supported}
     rlc-UM-Ooo-Delivery-r15
                                                                                                     OPTIONAL
}
                                      SEQUENCE {
PDCP-Parameters ::=
                                          ROHC-ProfileSupportList-r15,
     supportedROHC-Profiles
     maxNumberROHC-ContextSessions
                                                     ENUMERATED {
                                                           cs2, cs4, cs8, cs12, cs16, cs24, cs32,
                                                           cs48, cs64, cs128, cs256, cs512, cs1024,
                                                           cs16384, spare2, spare1}
                                                                                                                 DEFAULT cs16,
PDCP-Parameters-v1130 ::=
                                          SEQUENCE {
                                                          ENUMERATED {supported} OPTIONAL,
   pdcp-SN-Extension-r11
```

```
supportRohcContextContinue-rl1 ENUMERATED {supported} OPTIONAL
PDCP-Parameters-v1310 ::=
                                       SEQUENCE {
   pdcp-SN-Extension-18bits-r13
                                             ENUMERATED {supported} OPTIONAL
                                SEQUENCE {
PDCP-Parameters-v1430 ::=
   profile0x0006-r14
                                                 BOOLEAN
    maxNumberROHC-ContextSessions-r14
                                           ENUMERATED {
                                             cs2, cs4, cs8, cs12, cs16, cs24, cs32,
                                             cs48, cs64, cs128, cs256, cs512, cs1024,
                                              cs16384, spare2, spare1}
                                                                                       DEFAULT cs16
}
PDCP-Parameters-v1530 ::= SEQUENCE {
                                    SupportedUDC-r15
   supportedUDC-r15
                                                                          OPTIONAL.
                                        ENUMERATED {supported} OPTIONAL
    pdcp-Duplication-r15
PDCP-Parameters-v1610 ::= SEQUENCE {
   pdcp-VersionChangeWithoutHO-r16 ENUMERATED {supported} OPTIONAL, ehc-r16 ENUMERATED {supported} OPTIONAL, continueEHC-Context-r16 ENUMERATED {supported} OPTIONAL,
    continueEHC-Context-r16
    maxNumberEHC-Contexts-r16
                                         ENUMERATED {cs2, cs4, cs8, cs16, cs32, cs64, cs128, cs256,
                                                     cs512, cs1024, cs2048, cs4096, cs8192, cs16384,
                                                      cs32768, cs65536} OPTIONAL,
                                                                    OPTIONAL
    jointEHC-ROHC-Config-r16
                                       ENUMERATED {supported}
}
SupportedUDC-r15 ::=
                                    SEQUENCE {
    supportedStandardDic-r15
                                     ENUMERATED {supported}
SupportedOperatorDic-r15
                                                                      OPTIONAL.
    supportedOperatorDic-r15
                                                                      OPTIONAL
INTEGER (0..15),
    associatedPLMN-ID-r15
                                        PLMN-Identity
    LayerParameters ::= SEQUENCE { ue-TxAntennaSelectionSupported BOOLEAN,
PhyLayerParameters ::=
    ue-SpecificRefSigsSupported BOOLEAN
PhyLayerParameters-v920 ::= SEQUENCE {
   enhancedDualLayerFDD-r9 ENUMERATED {supported} }
   enhancedDualLayerTDD-r9 ENUMERATED {supported}
                                                                      OPTIONAL,
PhyLayerParameters-v9d0 ::=
                                    SEQUENCE {
                                     ENUMERATED {supported}
    tm5-FDD-r9
                                                                      OPTIONAL,
                                    ENUMERATED (supported)
    tm5-TDD-r9
                                                                      OPTIONAL
PhyLayerParameters-v1020 ::=
    LayerParameters-v1020 ::=
twoAntennaPortsForPUCCH-r10
                                       SEQUENCE {
                                             ENUMERATED {supported}
ENUMERATED {supported}
                                                                                       OPTIONAL,
    tm9-With-8Tx-FDD-r10
                                                                                       OPTIONAL,
    pmi-Disabling-r10
                                            ENUMERATED {supported}
                                                                                       OPTIONAL,
    crossCarrierScheduling-r10
                                             ENUMERATED {supported}
                                                                                       OPTIONAL,
    simultaneousPUCCH-PUSCH-r10
                                            ENUMERATED {supported}
                                                                                       OPTIONAL,
    multiClusterPUSCH-WithinCC-r10 ENUMERATED {supported} nonContiguousUL-RA-WithinCC-List-r10 NonContiguousUL-RA-WithinCC-List-r10
                                                                                       OPTIONAL.
                                                                                       OPTIONAL
PhyLayerParameters-v1130 ::=
                                        SEQUENCE {
                                              ENUMERATED {supported}
    crs-InterfHandl-r11
                                                                                       OPTIONAL.
    ePDCCH-r11
                                             ENUMERATED {supported}
                                                                                       OPTIONAL,
    multiACK-CSI-Reporting-r11
                                            ENUMERATED {supported}
                                                                                       OPTIONAL,
                                             ENUMERATED {supported}
ENUMERATED {supported}
    ss-CCH-InterfHandl-r11
                                                                                       OPTIONAL,
    tdd-SpecialSubframe-r11
                                                                                       OPTIONAL,
    txDiv-PUCCH1b-ChSelect-r11
                                             ENUMERATED {supported}
                                                                                       OPTIONAL,
    ul-CoMP-r11
                                             ENUMERATED {supported}
                                                                                       OPTIONAL
PhyLayerParameters-v1170 ::= SEQUENCE {
```

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interBandTDD-CA-WithDifferentConfig-r11 BIT STRING (SIZE (2))
                                                                             OPTIONAL
PhyLayerParameters-v1250 ::=
                                        SEQUENCE {
    e-HARQ-Pattern-FDD-r12
                                            ENUMERATED {supported}
                                                                             OPTIONAL,
                                                                            OPTIONAL,
    enhanced-4TxCodebook-r12
                                            ENUMERATED {supported}
                                            BIT STRING (SIZE (2))
    tdd-FDD-CA-PCellDuplex-r12
                                                                             OPTIONAL,
                                           ENUMERATED {supported}
    phy-TDD-ReConfig-TDD-PCell-r12
                                                                            OPTIONAL,
                                                                            OPTIONAL,
    phy-TDD-ReConfig-FDD-PCell-r12
                                           ENUMERATED {supported}
    pusch-FeedbackMode-r12
                                             ENUMERATED {supported}
   pusch-SRS-PowerControl-SubframeSet-r12 ENUMERATED {supported}
                                                                            OPTIONAL,
   csi-SubframeSet-r12 ENUMERATED {supported} noResourceRestrictionForTTIBundling-r12 ENUMERATED {supported}
                                                                            OPTIONAL,
                                                                            OPTIONAL,
    discoverySignalsInDeactSCell-r12 ENUMERATED (supported)
                                                                            OPTIONAL,
    naics-Capability-List-r12
                                            NAICS-Capability-List-r12
                                                                             OPTIONAL
}
   agerrarameters-v1280 ::= SEQUENCE {
alternativeTBS-Indices-r12 FNUMPP
PhyLayerParameters-v1280 ::=
                                          ENUMERATED {supported}
PhyLayerParameters-v1310 ::= SEQUENCE { aperiodicCSI-Reporting-r13 BIT STR
                                      BIT STRING (SIZE (2))
BIT STRING (SIZE (2))
                                                                          OPTIONAL,
   codebook-HARQ-ACK-r13
                                            ENUMERATED {supported}
ENUMERATED {supported}
    crossCarrierScheduling-B5C-r13
                                                                           OPTIONAL,
    fdd-HARQ-TimingTDD-r13
    maxNumberUpdatedCSI-Proc-r13
                                            INTEGER(5..32)
                                                                            OPTIONAL,
    pucch-Format4-r13
                                            ENUMERATED {supported}
                                                                            OPTIONAL,
    pucch-Format5-r13
                                            ENUMERATED {supported}
                                                                            OPTIONAL,
                                            ENUMERATED {supported}
    pucch-SCell-r13
                                                                            OPTIONAL,
    spatialBundling-HARQ-ACK-r13
                                            ENUMERATED {supported}
                                                                             OPTIONAL,
    supportedBlindDecoding-r13
                                          SEQUENCE {
        maxNumberDecoding-r13
                                                INTEGER(1..32)
                                                                             OPTIONAL,
                                             ENUMERATED {supported} OPTIONAL,
ENUMERATED {supported} OPTIONAL
        pdcch-CandidateReductions-r13
        skipMonitoringDCI-Format0-1A-r13
                                                                             OPTIONAL,
    uci-PUSCH-Ext-r13
                                           ENUMERATED {supported}
                                                                             OPTIONAL,
   crs-InterfMitigationTM10-r13
pdsch-CollisionHandling-r13
                                           ENUMERATED {supported}
ENUMERATED {supported}
                                                                             OPTIONAL,
                                                                            OPTIONAL
PhyLayerParameters-v1320 ::=
                                      SEQUENCE {
   mimo-UE-Parameters-r13
                                           MIMO-UE-Parameters-r13
                                                                           OPTIONAL
PhyLayerParameters-v1330 ::=
                                        SEQUENCE {
   cch-InterfMitigation-RefRecTypeA-r13 ENUMERATED {supported}
                                                                            OPTIONAL,
   OPTIONAL,
                                                                             OPTIONAL,
}
PhyLayerParameters-v13e0 ::=
                                      SEQUENCE {
   mimo-UE-Parameters-v13e0
                                            MIMO-UE-Parameters-v13e0
}
PhyLayerParameters-v1430 ::= SEQUENCE {
                                        ENUMERATED {supported}
   ce-PUSCH-NB-MaxTBS-r14
    ce-PDSCH-PUSCH-MaxBandwidth-r14
                                            ENUMERATED {bw5, bw20}
                                                                             OPTIONAL,
                                           ENUMERATED {supported}
    ce-HARQ-AckBundling-r14
   ce-PDSCH-TenProcesses-r14
                                                                            OPTIONAL.
                                           ENUMERATED {supported}
                                                                            OPTIONAL,
    ce-RetuningSymbols-r14
                                            ENUMERATED (n0, n1)
                                                                             OPTIONAL,
    ce-PDSCH-PUSCH-Enhancement-r14
                                          ENUMERATED {supported}
                                                                            OPTIONAL,
    ce-SchedulingEnhancement-r14
                                          ENUMERATED {supported}
ENUMERATED {supported}
                                                                            OPTIONAL,
    ce-SRS-Enhancement-r14
                                                                            OPTIONAL,
    ce-PuCCH-Enhancement-r14
ce-ClosedLoopTxAntennaSelection-r14
ce-ClosedLoopTxAntennaSelection-r14
ENUMERATED {supported}
ENUMERATED {supported}
                                                                            OPTIONAL,
                                                                             OPTIONAL,
                                                                            OPTIONAL,
                                            ENUMERATED {supported}
                                                                            OPTIONAL,
    tdd-TTI-Bundling-r14
    dmrs-LessUpPTS-r14
                                            ENUMERATED {supported}
                                                                            OPTIONAL,
                                           MIMO-UE-Parameters-v1430
ENUMERATED {supported}
    mimo-UE-Parameters-v1430
                                                                           OPTIONAL,
    alternativeTBS-Index-r14
                                                                             OPTIONAL,
    feMBMS-Unicast-Parameters-r14
                                            FeMBMS-Unicast-Parameters-r14 OPTIONAL
PhyLayerParameters-v1450 ::=
                                       SEQUENCE {
    ce-SRS-EnhancementWithoutComb4-r14
                                            ENUMERATED {supported}
                                                                             OPTIONAL.
    crs-LessDwPTS-r14
                                            ENUMERATED {supported}
                                                                             OPTIONAL }
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PhyLayerParameters-v1470 ::=
                                        SEQUENCE {
   mimo-UE-Parameters-v1470
                                            MIMO-UE-Parameters-v1470
                                                                             OPTIONAL,
   srs-UpPTS-6sym-r14
                                            ENUMERATED {supported}
                                                                             OPTIONAL
                                        SEQUENCE {
PhyLaverParameters-v14a0 ::=
                                            ENUMERATED {supported} OPTIONAL
   ssp10-TDD-Only-r14
PhyLayerParameters-v1530 ::=
                                        SEQUENCE {
                                            SEQUENCE {
   stti-SPT-Capabilities-r15
                                                ENUMERATED {supported}
        aperiodicCsi-ReportingSTTI-r15
                                                                                OPTIONAL,
        dmrs-BasedSPDCCH-MBSFN-r15
                                                ENUMERATED {supported}
                                                                                OPTIONAL,
        dmrs-BasedSPDCCH-nonMBSFN-r15
                                                ENUMERATED {supported}
                                                                                 OPTIONAL,
                                                ENUMERATED {supported}
        dmrs-PositionPattern-r15
                                                                                OPTIONAL,
        dmrs-SharingSubslotPDSCH-r15
                                               ENUMERATED (supported)
                                                                                 OPTIONAL,
        dmrs-RepetitionSubslotPDSCH-r15
                                                ENUMERATED {supported}
                                                                                 OPTIONAL.
        epdcch-SPT-differentCells-r15
                                               ENUMERATED {supported}
        epdcch-STTI-differentCells-r15
                                                ENUMERATED {supported}
                                                                                 OPTIONAL,
        maxLayersSlotOrSubslotPUSCH-r15
                                                ENUMERATED {oneLayer,twoLayers,fourLayers}
        OPTIONAL,
        maxNumberUpdatedCSI-Proc-SPT-r15 INTEGER(5..32)
                                                                                 OPTIONAL,
        maxNumberUpdatedCSI-Proc-STTI-Comb77-r15 INTEGER(1..32)
                                                                                OPTIONAL,
        maxNumberUpdatedCSI-Proc-STTI-Comb27-r15
                                                        INTEGER(1..32)
                                                                                 OPTTONAL.
        maxNumberUpdatedCSI-Proc-STTI-Comb22-Set1-r15 INTEGER(1..32)
                                                                                 OPTIONAL,
        maxNumberUpdatedCSI-Proc-STTI-Comb22-Set2-r15 INTEGER(1..32)
                                                                                 OPTIONAL,
       maxNumberUpdateqcSi-FioC Silvinian mimo-UE-ParametersSTTI-r15 MIMO-UE-Parameters-ris mimo-UE-ParametersSTTI-v1530 MIMO-UE-Parameters-v1430 numberOfBlindDecodesUSS-r15 INTEGER(4..32) pdsch-SlotSubslotPDSCH-Decoding-r15 ENUMERATED {supported} supported}
                                                                                 OPTIONAL,
                                                                                OPTIONAL,
                                                                                 OPTIONAL,
                                                                                 OPTIONAL.
                                                                                OPTIONAL,
        powerUCI-SubslotPUSCH
                                                ENUMERATED {supported}
                                                                                 OPTIONAL,
        slotPDSCH-TxDiv-TM9and10
                                                ENUMERATED {supported}
                                                                                OPTIONAL,
        subslotPDSCH-TxDiv-TM9and10
                                                ENUMERATED {supported}
                                                                                OPTIONAL,
        spdcch-differentRS-types-r15
                                                ENUMERATED
                                                            {supported}
                                                                                 OPTIONAL,
        srs-DCI7-TriggeringFS2-r15
                                                ENUMERATED {supported}
                                                                                OPTIONAL,
                                                ENUMERATED {supported}
        sps-cyclicShift-r15
                                                                                 OPTIONAL,
                                                ENUMERATED {supported}
        spdcch-Reuse-r15
                                                                                OPTIONAL.
        sps-STTI-r15
                                                ENUMERATED {slot, subslot, slotAndSubslot}
        OPTIONAL.
        tm8-slotPDSCH-r15
                                                ENUMERATED {supported}
                                                                                 OPTIONAL.
                                                                                 OPTIONAL,
        tm9-slotSubslot-r15
                                                ENUMERATED {supported}
                                                ENUMERATED {supported}
        tm9-slotSubslotMBSFN-r15
                                                                                 OPTIONAL,
                                                ENUMERATED {supported}
        tm10-slotSubslot-r15
                                                                                OPTIONAL,
        tm10-slotSubslotMBSFN-r15
                                                ENUMERATED {supported}
                                                                                 OPTIONAL,
                                                ENUMERATED {supported}
                                                                                 OPTIONAL,
        txDiv-SPUCCH-r15
        ul-AsyncHarqSharingDiff-TTI-Lengths-r15 ENUMERATED {supported}
                                                                                 OPTIONAL
                                                                                 OPTIONAL,
   ce-Capabilities-r15
                                        SEQUENCE {
        ce-CRS-IntfMitig-r15
                                                ENUMERATED {supported}
                                                                                 OPTIONAL,
        ce-CQI-AlternativeTable-r15
                                                ENUMERATED {supported}
                                                                                 OPTIONAL,
        ce-PDSCH-FlexibleStartPRB-CE-ModeA-r15 ENUMERATED {supported}
                                                                                 OPTIONAL,
        ce-PDSCH-FlexibleStartPRB-CE-ModeB-r15 ENUMERATED {supported}
                                                                                 OPTIONAL.
        ce-PDSCH-64QAM-r15
                                                ENUMERATED {supported}
                                                                                OPTIONAL,
        OPTIONAL,
                                                                                 OPTIONAL,
        ce-PUSCH-SubPRB-Allocation-r15
                                              ENUMERATED {supported}
                                                                                 OPTIONAL,
        ce-UL-HARQ-ACK-Feedback-r15
                                                ENUMERATED {supported}
                                                                                 OPTIONAL
       OPTIONAL.
   shortCQI-ForSCellActivation-r15
                                          ENUMERATED {supported}
                                                                             OPTIONAL,
   mimo-CBSR-AdvancedCSI-r15
                                            ENUMERATED {supported}
                                                                             OPTIONAL,
                                            ENUMERATED {supported}
   crs-IntfMitig-r15
                                                                             OPTIONAL,
                                            ENUMERATED {supported}
   ul-PowerControlEnhancements-r15
                                                                             OPTIONAL.
   urllc-Capabilities-r15
                                            SEOUENCE {
        pdsch-RepSubframe-r15
                                                ENUMERATED {supported}
                                                                             OPTIONAL,
                                                ENUMERATED {supported}
        pdsch-RepSlot-r15
                                                                             OPTIONAL,
        pdsch-RepSubslot-r15
                                                ENUMERATED {supported}
                                                                             OPTIONAL,
        pusch-SPS-MultiConfigSubframe-r15
                                                INTEGER (0..6)
                                                                             OPTIONAL.
        pusch-SPS-MaxConfigSubframe-r15
                                                INTEGER (0..31)
                                                                             OPTIONAL,
        pusch-SPS-MultiConfigSlot-r15
                                               INTEGER (0..6)
                                                                             OPTIONAL,
        pusch-SPS-MaxConfigSlot-r15
                                                INTEGER (0..31)
                                                                             OPTIONAL,
       pusch-SPS-MultiConfigSubslot-r15
                                                INTEGER (0..6)
                                                                             OPTIONAL,
        pusch-SPS-MaxConfigSubslot-r15
                                                INTEGER (0..31)
                                                                             OPTIONAL,
        pusch-SPS-SlotRepPCell-r15
                                                ENUMERATED {supported}
                                                                             OPTIONAL,
                                                                             OPTIONAL,
        pusch-SPS-SlotRepPSCell-r15
                                                ENUMERATED {supported}
                                                ENUMERATED {supported}
        pusch-SPS-SlotRepSCell-r15
                                                                             OPTIONAL,
                                                ENUMERATED {supported}
       pusch-SPS-SubframeRepPCell-r15
                                                                             OPTIONAL,
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pusch-SPS-SubframeRepPSCell-r15
                                                ENUMERATED {supported}
                                                                            OPTIONAL,
        pusch-SPS-SubframeRepSCell-r15
                                                ENUMERATED {supported}
                                                                            OPTIONAL,
        pusch-SPS-SubslotRepPCell-r15
                                                ENUMERATED {supported}
                                                                            OPTIONAL,
        pusch-SPS-SubslotRepPSCell-r15
                                               ENUMERATED {supported}
                                                                            OPTIONAL,
        pusch-SPS-SubslotRepSCell-r15
                                                ENUMERATED {supported}
                                                                            OPTIONAL,
        semiStaticCFI-r15
                                                ENUMERATED {supported}
                                                                            OPTIONAL.
        semiStaticCFI-Pattern-r15
                                                ENUMERATED {supported}
                                                                            OPTIONAL
        OPTIONAL,
                                                                            OPTIONAL
    altMCS-Table-r15
                                            ENUMERATED {supported}
}
PhyLaverParameters-v1540 ::=
                                      SEQUENCE {
    stti-SPT-Capabilities-v1540
                                        SEOUENCE {
                                                ENUMERATED {supported}
        slotPDSCH-TxDiv-TM8-r15
                                                   OPTIONAL,
    crs-IM-TM1-toTM9-OneRX-Port-v1540
                                           ENUMERATED {supported}
                                                                            OPTIONAL.
                                           ENUMERATED {supported}
    cch-IM-RefRecTypeA-OneRX-Port-v1540
                                                                            OPTIONAL.
PhyLayerParameters-v1550 ::=
                                       SEQUENCE {
    dmrs-OverheadReduction-r15
                                           ENUMERATED {supported}
                                                                           OPTIONAL
PhyLayerParameters-v1610 ::=
                                        SEQUENCE {
    ce-Capabilities-v1610 SEQUENCE {
       ce-CSI-RS-Feedback-r16
                                                   ENUMERATED {supported}
                                                                                    OPTIONAL,
        ce-CSI-RS-FeedbackCodebookRestriction-r16 ENUMERATED {supported}
                                                                                    OPTIONAL,
        crs-ChEstMPDCCH-CE-ModeA-r16
                                                    ENUMERATED {supported}
                                                                                    OPTIONAL,
                                                  ENUMERATED {supported}
        crs-ChEstMPDCCH-CE-ModeB-r16
                                                                                    OPTIONAL.
                                                                                    OPTIONAL,
        crs-ChEstMPDCCH-CSI-r16
                                                   ENUMERATED {supported}
        crs-ChEstMPDCCH-ReciprocityTDD-r16
                                                   ENUMERATED {supported}
                                                                                    OPTIONAL.
        etws-CMAS-RxInConnCE-ModeA-r16
                                                   ENUMERATED {supported}
                                                                                    OPTIONAL,
        etws-CMAS-RxInConnCE-ModeB-r16
                                                   ENUMERATED {supported}
                                                                                    OPTIONAL,
                                                  ENUMERATED {supported}
        mpdcch-InLteControlRegionCE-ModeA-r16
                                                                                    OPTIONAL.
        OPTIONAL,
                                                    ENUMERATED {supported}
        pdsch-InLteControlRegionCE-ModeA-r16
                                                                                    OPTIONAL,
        pdsch-InLteControlRegionCE-ModeB-r16
                                                   ENUMERATED {supported}
                                                                                    OPTIONAL,
                                                    CE-MultiTB-Parameters-r16
        multiTB-Parameters-r16
                                                                                    OPTIONAL,
                                                    CE-ResourceResvParameters-r16 OPTIONAL
        resourceResvParameters-r16
        OPTIONAL,
    widebandPRG-Slot-r16
                                       ENUMERATED {supported}
                                                                        OPTIONAL.
                                  ENUMERATED {supported}
    widebandPRG-Subslot-r16
                                                                       OPTIONAL.
                                      ENUMERATED {supported}
    widebandPRG-Subframe-r16
                                                                        OPTIONAL,
                   SEQUENCE {
    addSRS-r16
       addSRS-FrequencyHopping-r16 ENUMERATED {supported} addSRS-AntennaSwitching-r16 ENUMERATED {useBasic} addSRS-CarrierSwitching-r16 ENUMERATED {supported}
                                                                       OPTIONAL,
                                                                        OPTIONAL,
                                                                        OPTIONAL
    } OPTIONAL,
    virtualCellID-BasicSRS-r16 ENUMERATED {supported}
virtualCellID-AddSRS-r16 ENUMERATED {supported}
                                     ENUMERATED {supported}
                                                                       OPTIONAL,
                                                                   OPTIONAL
}
PhyLayerParameters-v1700 ::= SEQUENCE {
    ce-Capabilities-v1700
                                   SEQUENCE {
                                          ENUMERATED {supported}
        ce-PDSCH-14HARQProcesses-r17
                                                                          OPTIONAL,
                                            ENUMERATED {supported}
ENUMERATED {supported}
                                                                            OPTIONAL,
        ce-PDSCH-14HARQProcesses-Alt2-r17
        ce-PDSCH-MaxTBS-r17
                                                                            OPTIONAL
        OPTIONAL
PhyLayerParameters-v1730 ::= SEQUENCE {
    csi-SubframeSet2ForDormantSCell-r17
                                            ENUMERATED {supported}
                                                                           OPTIONAL
MIMO-UE-Parameters-r13 ::=
                                        SEQUENCE {
                                            MIMO-UE-ParametersPerTM-r13
   parametersTM9-r13
                                                                            OPTIONAL.
                                            MIMO-UE-ParametersPerTM-r13
    parametersTM10-r13
                                                                            OPTIONAL,
    srs-EnhancementsTDD-r13
                                            ENUMERATED {supported}
                                                                            OPTIONAL,
                                            ENUMERATED {supported}
    srs-Enhancements-r13
                                                                            OPTIONAL.
                                            ENUMERATED {supported}
    interferenceMeasRestriction-r13
                                                                            OPTIONAL.
}
MIMO-UE-Parameters-v13e0 ::=
                                        SEQUENCE {
                                          MIMO-WeightedLayersCapabilities-r13 OPTIONAL
    mimo-WeightedLayersCapabilities-r13
MIMO-UE-Parameters-v1430 ::=
                                        SEQUENCE {
                                           MIMO-UE-ParametersPerTM-v1430 OPTIONAL,
  parametersTM9-v1430
```

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parametersTM10-v1430
                                          MIMO-UE-ParametersPerTM-v1430 OPTIONAL
MIMO-UE-Parameters-v1470 ::=
                                       SEQUENCE {
   parametersTM9-v1470
                                       MIMO-UE-ParametersPerTM-v1470,
    parametersTM10-v1470
                                           MIMO-UE-ParametersPerTM-v1470
}
MIMO-UE-ParametersPerTM-r13 ::=
                                     SEQUENCE {
    nonPrecoded-r13
                                           MIMO-NonPrecodedCapabilities-r13
    beamformed-r13
                                           MIMO-UE-BeamformedCapabilities-r13 OPTIONAL,
    channelMeasRestriction-r13
                                           ENUMERATED {supported}
                                                                              OPTIONAL,
                                                                               OPTIONAL,
    dmrs-Enhancements-r13
                                           ENUMERATED {supported}
    csi-RS-EnhancementsTDD-r13
                                           ENUMERATED {supported}
                                                                               OPTIONAL
}
MIMO-UE-ParametersPerTM-v1430 ::= SEQUENCE {
nzp-CSI-RS-AperiodicInfo-r14 SEQUENCE {
INTEGE
                                            INTEGER(5..32),
       nMaxProc-r14
       nMaxResource-r14
                                               ENUMERATED {n1, n2, n4, n8}
                                                                                OPTIONAL.
    nzp-CSI-RS-PeriodicInfo-r14 SEQUENCE {
       nMaxResource-r14
                                               ENUMERATED {n1, n2, n4, n8}
                                                                               OPTIONAL,
    zp-CSI-RS-AperiodicInfo-r14 ENUMERATED {support u1-dmrs-Enhancements-r14 ENUMERATED {supported}
                                               ENUMERATED {supported}
                                                                               OPTIONAL.
                                                                               OPTIONAL,
    densityReductionNP-r14
                                          ENUMERATED (supported)
                                                                               OPTIONAL,
    densityReductionBF-r14
                                           ENUMERATED {supported}
                                                                               OPTIONAL,
                                           ENUMERATED {supported}
   hybridCSI-r14
                                                                               OPTIONAL,
                                                                               OPTIONAL,
    semiOL-r14
                                           ENUMERATED {supported}
    csi-ReportingNP-r14
                                            ENUMERATED {supported}
                                                                               OPTIONAL,
    csi-ReportingAdvanced-r14
                                           ENUMERATED {supported}
}
MIMO-UE-ParametersPerTM-v1470 ::=
                                      SEQUENCE {
   csi-ReportingAdvancedMaxPorts-r14 ENUMERATED {n8, n12, n16, n20, n24, n28} OPTIONAL
MIMO-CA-ParametersPerBoBC-r13 ::= SEQUENCE {
   parametersTM9-r13
                                           MIMO-CA-ParametersPerBoBCPerTM-r13
                                                                                   OPTIONAL,
   parametersTM10-r13
                                           MIMO-CA-ParametersPerBoBCPerTM-r13
                                                                                    OPTIONAL
}
MIMO-CA-ParametersPerBoBC-r15 ::= SEQUENCE {
                                           MIMO-CA-ParametersPerBoBCPerTM-r15 OPTIONAL,
   parametersTM9-r15
   parametersTM10-r15
                                           MIMO-CA-ParametersPerBoBCPerTM-r15 OPTIONAL
}
{\tt MIMO-CA-ParametersPerBoBC-v1430} ::= \\ {\tt SEQUENCE} \ \{
   parametersTM9-v1430
                                           MIMO-CA-ParametersPerBoBCPerTM-v1430
                                                                                   OPTIONAL,
   parametersTM10-v1430
                                           MIMO-CA-ParametersPerBoBCPerTM-v1430
                                                                                    OPTIONAL
MIMO-CA-ParametersPerBoBC-v1470 ::= SEQUENCE {
   parametersTM9-v1470
                                          MIMO-CA-ParametersPerBoBCPerTM-v1470,
    parametersTM10-v1470
                                               MIMO-CA-ParametersPerBoBCPerTM-v1470
MIMO-CA-ParametersPerBoBCPerTM-r13 ::= SEQUENCE {
   nonPrecoded-r13
                                           MIMO-NonPrecodedCapabilities-r13
                                                                               OPTTONAL.
    beamformed-r13
                                            MIMO-BeamformedCapabilityList-r13 OPTIONAL,
    dmrs-Enhancements-r13
                                            ENUMERATED {different}
                                                                                OPTIONAL
}
MIMO-CA-ParametersPerBoBCPerTM-v1430 ::= SEQUENCE {
                                           ENUMERATED {different} OPTIONAL, ENUMERATED {different} OPTIONAL
    csi-ReportingNP-r14
    csi-ReportingAdvanced-r14
}
MIMO-CA-ParametersPerBoBCPerTM-v1470 ::=
                                           SEQUENCE {
                                           ENUMERATED {n8, n12, n16, n20, n24, n28} OPTIONAL
   csi-ReportingAdvancedMaxPorts-r14
}
MIMO-CA-ParametersPerBoBCPerTM-r15 ::= SEQUENCE {
    nonPrecoded-r13
                                           MIMO-NonPrecodedCapabilities-r13
                                                                               OPTIONAL,
                                           MIMO-BeamformedCapabilityList-r13 OPTIONAL,
    beamformed-r13
                                            ENUMERATED {different} OPTIONAL,
PNUMERATED {different} OPTIONAL,
    dmrs-Enhancements-r13
                                       ENUMERATED {different}
    csi-ReportingNP-r14
```

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csi-ReportingAdvanced-r14 ENUMERATED {different}
                                                                              OPTIONAL
MIMO-NonPrecodedCapabilities-r13 ::= SEQUENCE {
   config1-r13
                                           ENUMERATED {supported}
                                                                          OPTIONAL,
                                                                       OPTIONAL,
   config2-r13
                                           ENUMERATED {supported}
                                           ENUMERATED {supported}
ENUMERATED {supported}
                                                                         OPTIONAL,
   config3-r13
   config4-r13
}
MIMO-UE-BeamformedCapabilities-r13 ::=
                                         SEQUENCE {
                                                                   OPTIONAL,
   altCodebook-r13
                                           ENUMERATED {supported}
   mimo-BeamformedCapabilities-r13
                                           MIMO-BeamformedCapabilityList-r13
MIMO-BeamformedCapabilityList-r13 ::=
                                         SEQUENCE (SIZE (1..maxCSI-Proc-r11)) OF MIMO-
BeamformedCapabilities-r13
MIMO-BeamformedCapabilities-r13 ::= SEQUENCE {
   k-Max-r13
                                           INTEGER (1..8),
                                           BIT STRING (SIZE (1..7))
                                                                         OPTIONAL
   n-MaxList-r13
   NO-WeightedLayersCapabilities-r13 ::= SEQUENCE {
  relWeightTwoLayers-r13 ENUMERATED {v1, v1dot25, v1dot5, v1dot75, v2, v2dot5, v3, v4},
  relWeightFourLayers-r13 ENUMERATED {v1, v1dot25, v1dot5, v1dot75, v2, v2dot5, v3, v4}
MIMO-WeightedLayersCapabilities-r13 ::=
   OPTIONAL,
   relWeightEightLayers-r13 ENUMERATED {v1, v1dot25, v1dot5, v1dot75, v2, v2dot5, v3, v4}
   OPTIONAL.
    totalWeightedLayers-r13 INTEGER (2..128)
NonContiguousUL-RA-WithinCC-List-r10 ::= SEQUENCE (SIZE (1..maxBands)) OF NonContiguousUL-RA-
WithinCC-r10
NonContiguousUL-RA-WithinCC-r10 ::=
                                      SEOUENCE {
   nonContiguousUL-RA-WithinCC-Info-r10 ENUMERATED {supported}
                                                                                   OPTIONAL
RF-Parameters ::=
                                  SEQUENCE {
   supportedBandListEUTRA
                                      SupportedBandListEUTRA
RF-Parameters-v9e0 ::=
                                      SEQUENCE {
   supportedBandListEUTRA-v9e0
                                         SupportedBandListEUTRA-v9e0
                                                                                 OPTIONAL
}
   supportedBandCombination-r10 SEQUENCE {
RF-Parameters-v1020 ::=
                                   SupportedBandCombination-r10
}
RF-Parameters-v1060 ::=
                                   SEQUENCE {
   supportedBandCombinationExt-r10
                                    SupportedBandCombinationExt-r10
RF-Parameters-v1090 ::=
                                       SEQUENCE {
   supportedBandCombination-v1090
                                         SupportedBandCombination-v1090
                                                                                  OPTIONAL
RF-Parameters-v10f0 ::=
   modifiedMPR-Behavior-r10
                                       SECUENCE {
                                              BIT STRING (SIZE (32))
                                                                                   OPTIONAL
                                       SEQUENCE {
RF-Parameters-v10i0 ::=
    supportedBandCombination-v10i0
                                       SupportedBandCombination-v10i0
                                                                                  OPTIONAL
RF-Parameters-v10j0 ::=
                                       SEQUENCE {
                                           ENUMERATED {supported}
   multiNS-Pmax-r10
                                                                                   OPTIONAL
RF-Parameters-v1130 ::=
                                   SEQUENCE {
   supportedBandCombination-v1130
                                      SupportedBandCombination-v1130
                                                                                  OPTIONAL
RF-Parameters-v1180 ::=
                                   SEQUENCE {
freqBandRetrieval-r11
                                  ENUMERATED {supported} OPTIONAL,
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requestedBands-r11
                                            SEQUENCE (SIZE (1.. maxBands)) OF FreqBandIndicator-r11
                        OPTIONAL,
    supportedBandCombinationAdd-r11
                                            SupportedBandCombinationAdd-r11
                                                                                 OPTIONAL
}
RF-Parameters-v11d0 ::=
                                         SEQUENCE {
                                            SupportedBandCombinationAdd-v11d0
    supportedBandCombinationAdd-v11d0
                                                                                      OPTIONAL
RF-Parameters-v1250 ::=
                                    SEQUENCE {
    supportedBandListEUTRA-v1250
                                             SupportedBandListEUTRA-v1250
                                                                                      OPTIONAL.
                                            SupportedBandCombination-v1250
    supportedBandCombination-v1250
                                                                                      OPTIONAL.
                                             SupportedBandCombinationAdd-v1250
                                                                                     OPTIONAL,
    supportedBandCombinationAdd-v1250
    freqBandPriorityAdjustment-r12
                                             ENUMERATED {supported}
                                                                                      OPTIONAL
}
RF-Parameters-v1270 ::=
                                    SEQUENCE {
    supportedBandCombination-v1270
                                            {\tt SupportedBandCombination-v1270}
                                                                                      OPTIONAL,
                                            SupportedBandCombinationAdd-v1270
    supportedBandCombinationAdd-v1270
                                                                                      OPTIONAL
RF-Parameters-v1310 ::=
                                    SEQUENCE {
                                        SEQUENCE {
    eNB-RequestedParameters-r13
        reducedIntNonContCombRequested-r13 ENUMERATED {true}
                                                                                      OPTIONAL,
        requestedCCsDL-r13
                                            INTEGER (2..32)
                                                                                      OPTIONAL.
        requestedCCsUL-r13
                                            INTEGER (2..32)
                                                                                      OPTIONAL,
        skipFallbackCombRequested-r13
                                            ENUMERATED {true}
                                                                                      OPTIONAL
                                                                                      OPTIONAL,
    maximumCCsRetrieval-r13
                                            ENUMERATED {supported}
                                                                                      OPTIONAL.
                                            ENUMERATED {supported}
    skipFallbackCombinations-r13
                                                                                      OPTIONAL,
    reducedIntNonContComb-r13
                                            ENUMERATED {supported}
                                                                                      OPTIONAL,
    supportedBandListEUTRA-v1310
                                            SupportedBandListEUTRA-v1310
    supportedBandCombinationReduced-r13
                                            SupportedBandCombinationReduced-r13
                                                                                      OPTIONAL
RF-Parameters-v1320 ::=
                                    SEOUENCE {
    supportedBandListEUTRA-v1320
                                            SupportedBandListEUTRA-v1320
                                                                                      OPTIONAL,
    supportedBandCombination-v1320
                                            SupportedBandCombination-v1320
                                                                                      OPTIONAL,
                                            SupportedBandCombinationAdd-v1320
                                                                                      OPTIONAL,
    supportedBandCombinationAdd-v1320
    supportedBandCombinationReduced-v1320
                                            SupportedBandCombinationReduced-v1320
                                                                                      OPTIONAL
}
RF-Parameters-v1380 ::=
                                    SEQUENCE {
    supportedBandCombination-v1380
                                            SupportedBandCombination-v1380
                                                                                      OPTIONAL,
    supportedBandCombinationAdd-v1380
                                             SupportedBandCombinationAdd-v1380
                                                                                      OPTIONAL,
    supportedBandCombinationReduced-v1380
                                            SupportedBandCombinationReduced-v1380
                                                                                      OPTIONAL
}
RF-Parameters-v1390 ::=
                                    SEQUENCE {
    supportedBandCombination-v1390
                                            SupportedBandCombination-v1390
                                                                                      OPTIONAL,
    supportedBandCombinationAdd-v1390
                                            SupportedBandCombinationAdd-v1390
                                                                                      OPTIONAL,
    supportedBandCombinationReduced-v1390
                                            SupportedBandCombinationReduced-v1390
                                                                                      OPTIONAL
RF-Parameters-v12b0 ::=
                                    SEOUENCE {
                                            ENUMERATED {supported}
    maxLayersMIMO-Indication-r12
                                                                                      OPTIONAL
RF-Parameters-v1430 ::=
                                    SEOUENCE {
                                            SupportedBandCombination-v1430
    supportedBandCombination-v1430
                                                                                      OPTIONAL.
                                             SupportedBandCombinationAdd-v1430
    supportedBandCombinationAdd-v1430
                                                                                      OPTIONAL,
    supportedBandCombinationReduced-v1430
                                             SupportedBandCombinationReduced-v1430
                                                                                      OPTIONAL,
    eNB-RequestedParameters-v1430
                                             SEQUENCE {
                                                BandCombinationList-r14
        requestedDiffFallbackCombList-r14
                                                                                      OPTIONAL.
    diffFallbackCombReport-r14
                                            ENUMERATED {supported}
                                                                                      OPTIONAL
}
RF-Parameters-v1450 ::=
                                    SEQUENCE {
    supportedBandCombination-v1450
                                            SupportedBandCombination-v1450
                                                                                      OPTIONAL,
    supportedBandCombinationAdd-v1450
                                             SupportedBandCombinationAdd-v1450
                                                                                      OPTIONAL,
    supportedBandCombinationReduced-v1450
                                            SupportedBandCombinationReduced-v1450
}
RF-Parameters-v1470 ::=
                                    SEQUENCE {
    supportedBandCombination-v1470
                                            SupportedBandCombination-v1470
                                                                                      OPTIONAL.
                                             SupportedBandCombinationAdd-v1470
    supportedBandCombinationAdd-v1470
                                                                                      OPTIONAL,
    supportedBandCombinationReduced-v1470
                                            SupportedBandCombinationReduced-v1470
                                                                                      OPTIONAL
```

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}
RF-Parameters-v14b0 ::=
                                                           SEOUENCE {
                                                                SupportedBandCombination-v14b0
      supportedBandCombination-v14b0
                                                                                                                                          OPTIONAL,
                                                                        SupportedBandCombinationAdd-v14b0
      supportedBandCombinationAdd-v14b0
                                                                                                                                          OPTIONAL,
      supportedBandCombinationReduced-v14b0 SupportedBandCombinationReduced-v14b0
RF-Parameters-v1530 ::=
                                                        SEQUENCE {
      sTTI-SPT-Supported-r15
                                                                        ENUMERATED {supported}
      supportedBandCombination-v1530
                                                                        SupportedBandCombination-v1530
                                                                                                                                          OPTIONAL,
                                                                        SupportedBandCombinationAdd-v1530
      supportedBandCombinationAdd-v1530
                                                                                                                                          OPTIONAL.
      supportedBandCombinationReduced-v1530
                                                                        SupportedBandCombinationReduced-v1530
                                                                                                                                          OPTIONAL,
      powerClass-14dBm-r15
                                                                        ENUMERATED {supported}
                                                                                                                                          OPTIONAL
RF-Parameters-v1570 ::=
                                                 SEQUENCE {
      dl-1024QAM-ScalingFactor-r15
                                                                        ENUMERATED {v1, v1dot2, v1dot25},
      dl-1024QAM-TotalWeightedLayers-r15
                                                                        INTEGER (0..10)
}
RF-Parameters-v1610 ::=
                                                           SEQUENCE {
      supportedBandCombination-v1610
                                                                        SupportedBandCombination-v1610
      supportedBandCombinationAdd-v1610
                                                                        SupportedBandCombinationAdd-v1610
                                                                                                                                          OPTIONAL.
      supportedBandCombinationReduced-v1610 SupportedBandCombinationReduced-v1610
                                                                                                                                          OPTIONAL
}
RF-Parameters-v1630 ::=
                                                          SEQUENCE {
                                                                SupportedBandCombination-v1630
      supportedBandCombination-v1630
                                                                                                                                          OPTIONAL.
      {\tt supportedBandCombinationAdd-v1630}
                                                                                                                                          OPTIONAL,
                                                                        SupportedBandCombinationAdd-v1630
      supportedBandCombinationReduced-v1630 SupportedBandCombinationReduced-v1630
                                                                                                                                          OPTIONAL
                                                           SEQUENCE {
SkipSubframeProcessing-r15 ::=
                                                           INTEGER (0..3)
      skipProcessingDL-Slot-r15
                                                                                                                      OPTIONAL,
      skipProcessingDL-SubSlot-r15
                                                                 INTEGER (0..3)
                                                                                                                      OPTIONAL,
      skipProcessingUL-Slot-r15
                                                                INTEGER (0..3)
                                                                                                                      OPTIONAL,
                                                                INTEGER (0..3)
      skipProcessingUL-SubSlot-r15
                                                                                                                      OPTIONAL
SPT-Parameters-r15 ::=
                                                          SEQUENCE {
                                                                BIT STRING (SIZE (3))
      frameStructureType-SPT-r15
                                                                                                                      OPTIONAL.
      maxNumberCCs-SPT-r15
                                                                 INTEGER (1..32)
                                                                                                                      OPTIONAL
STTI-SPT-BandParameters-r15 ::= SEQUENCE {
                                                                        ENUMERATED {supported}
      dl-1024OAM-Slot-r15
                                                                                                                            OPTIONAL,
      dl-1024QAM-SubslotTA-1-r15
                                                                       ENUMERATED {supported}
                                                                                                                           OPTIONAL,
      dl-1024QAM-SubslotTA-2-r15
                                                                        ENUMERATED {supported}
                                                                                                                             OPTIONAL,
      simultaneousTx-differentTx-duration-r15 ENUMERATED {supported}
      sTTI-CA-MIMO-ParametersDL-r15 CA-MIMO-ParametersDL-r15
                                                                                                                           OPTIONAL,
                                                                       CA-MIMO-ParametersUL-r15,
      sTTI-CA-MIMO-ParametersUL-r15
      STTI-MIMO-CA-ParametersPerBoBCs-r15 MIMO-CA-ParametersPerBoBCs-r15 MIMO-CA-ParametersPerBoBCs
      sTTI-FD-MIMO-CoexistenceENUMERATED {supported}OPTIONAL,sTTI-MIMO-CA-ParametersPerBoBCs-r15MIMO-CA-ParametersPerBoBC-r13OPTIONAL,sTTI-MIMO-CA-ParametersPerBoBCs-v1530MIMO-CA-ParametersPerBoBC-v1430OPTIONAL,
      sTTI-SupportedCombinations-r15 OPTIONAL,
                                                                       ENUMERATED {n1, n3, n4} OPTIONAL,
ENUMERATED {supported} OPTIONAL,
      sTTI-SupportedCSI-Proc-r15
      ul-256QAM-Slot-r15
                                                                       ENUMERATED {supported}
      ul-256QAM-Subslot-r15
                                                                        ENUMERATED {supported}
                                                                                                                           OPTIONAL,
}
STTI-SupportedCombinations-r15 ::= SEQUENCE {
                                                                 DL-UL-CCs-r15
DL-UL-CCs-r15
      combination-22-r15
                                                                                                                      OPTIONAL.
      combination-77-r15
                                                                                                                      OPTIONAL,
      combination-27-r15
                                                                 DL-UL-CCs-r15
                                                                                                                      OPTIONAL,
                                                                 DL-UL-CCS-115
SEQUENCE (SIZE (1..2)) OF DL-UL-CCS-r15
      combination-22-27-r15
                                                                                                                                          OPTIONAL,
      combination-77-22-r15
                                                                SEQUENCE (SIZE (1..2)) OF DL-UL-CCs-r15
                                                                                                                                          OPTIONAL,
      combination-77-27-r15
                                                                SEQUENCE (SIZE (1..2)) OF DL-UL-CCs-r15
                                                                                                                                          OPTIONAL
DL-UL-CCs-r15 ::= SEQUENCE {
     maxNumberDL-CCs-r15
                                                          INTEGER (1..32)
                                                                                                                      OPTIONAL,
      maxNumberUL-CCs-r15
                                                           INTEGER (1..32)
                                                                                                                      OPTIONAL
SupportedBandCombination-r10 ::= SEQUENCE (SIZE (1..maxBandComb-r10)) OF BandCombinationParameters-
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SupportedBandCombinationExt-r10 ::= SEQUENCE (SIZE (1..maxBandComb-r10)) OF
BandCombinationParametersExt-r10
SupportedBandCombination-v1090 ::= SEQUENCE (SIZE (1..maxBandComb-r10)) OF
BandCombinationParameters-v1090
SupportedBandCombination-v10i0 ::= SEQUENCE (SIZE (1..maxBandComb-r10)) OF
BandCombinationParameters-v10i0
SupportedBandCombination-v1130 ::= SEQUENCE (SIZE (1..maxBandComb-r10)) OF
BandCombinationParameters-v1130
{\tt SupportedBandCombination-v1250} ::= {\tt SEQUENCE} \ ({\tt SIZE} \ ({\tt 1..maxBandComb-r10})) \ {\tt OF}
BandCombinationParameters-v1250
SupportedBandCombination-v1270 ::= SEQUENCE (SIZE (1..maxBandComb-r10)) OF
BandCombinationParameters-v1270
SupportedBandCombination-v1320 ::= SEQUENCE (SIZE (1..maxBandComb-r10)) OF
BandCombinationParameters-v1320
{\tt SupportedBandCombination-v1380} ::= {\tt SEQUENCE} \ ({\tt SIZE} \ ({\tt 1..maxBandComb-r10})) \ {\tt OF}
BandCombinationParameters-v1380
{\tt SupportedBandCombination-v1390} ::= {\tt SEQUENCE} \ ({\tt SIZE} \ ({\tt 1..maxBandComb-r10})) \ {\tt OF}
BandCombinationParameters-v1390
SupportedBandCombination-v1430 ::= SEQUENCE (SIZE (1..maxBandComb-r10)) OF
BandCombinationParameters-v1430
{\tt SupportedBandCombination-v1450} \ ::= \ {\tt SEQUENCE} \ ({\tt SIZE} \ ({\tt 1..maxBandComb-r10})) \ {\tt OF}
BandCombinationParameters-v1450
SupportedBandCombination-v1470 ::= SEQUENCE (SIZE (1..maxBandComb-r10)) OF
BandCombinationParameters-v1470
SupportedBandCombination-v14b0 ::= SEQUENCE (SIZE (1..maxBandComb-r10)) OF
BandCombinationParameters-v14b0
SupportedBandCombination-v1530 ::= SEQUENCE (SIZE (1..maxBandComb-r10)) OF
BandCombinationParameters-v1530
{\tt SupportedBandCombination-v1610} ::= {\tt SEQUENCE} \ ({\tt SIZE} \ ({\tt 1..maxBandComb-r10})) \ {\tt OF}
BandCombinationParameters-v1610
SupportedBandCombination-v1630 ::= SEQUENCE (SIZE (1..maxBandComb-r10)) OF
BandCombinationParameters-v1630
SupportedBandCombinationAdd-r11 ::= SEQUENCE (SIZE (1..maxBandComb-r11)) OF
BandCombinationParameters-r11
SupportedBandCombinationAdd-v11d0 ::= SEQUENCE (SIZE (1..maxBandComb-r11)) OF
BandCombinationParameters-v10i0
{\tt SupportedBandCombinationAdd-v1250} \; ::= \; {\tt SEQUENCE} \; ({\tt SIZE} \;\; ({\tt 1..maxBandComb-r11})) \; \; {\tt OF} \; \\
BandCombinationParameters-v1250
SupportedBandCombinationAdd-v1270 ::= SEQUENCE (SIZE (1..maxBandComb-r11)) OF
BandCombinationParameters-v1270
{\tt SupportedBandCombinationAdd-v1320} \; ::= \; {\tt SEQUENCE} \; ({\tt SIZE} \; ({\tt 1..maxBandComb-r11})) \; \; {\tt OF} \; \\
BandCombinationParameters-v1320
{\tt SupportedBandCombinationAdd-v1380} \ ::= \ {\tt SEQUENCE} \ ({\tt SIZE} \ (1..maxBandComb-r11)) \ {\tt OF}
BandCombinationParameters-v1380
SupportedBandCombinationAdd-v1390 ::= SEQUENCE (SIZE (1..maxBandComb-r11)) OF
BandCombinationParameters-v1390
{\tt SupportedBandCombinationAdd-v1430} \; ::= \; {\tt SEQUENCE} \; ({\tt SIZE} \; ({\tt 1..maxBandComb-r11})) \; \; {\tt OF} \; \\
BandCombinationParameters-v1430
{\tt SupportedBandCombinationAdd-v1450} \ ::= \ {\tt SEQUENCE} \ ({\tt SIZE} \ ({\tt 1..maxBandComb-r11})) \ {\tt OF}
BandCombinationParameters-v1450
SupportedBandCombinationAdd-v1470 ::= SEQUENCE (SIZE (1..maxBandComb-r11)) OF
BandCombinationParameters-v1470
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SupportedBandCombinationAdd-v14b0 ::= SEQUENCE (SIZE (1..maxBandComb-r11)) OF
BandCombinationParameters-v14b0
SupportedBandCombinationAdd-v1530 ::= SEQUENCE (SIZE (1..maxBandComb-r11)) OF
BandCombinationParameters-v1530
SupportedBandCombinationAdd-v1610 ::= SEQUENCE (SIZE (1..maxBandComb-r11)) OF
BandCombinationParameters-v1610
SupportedBandCombinationAdd-v1630 ::= SEQUENCE (SIZE (1..maxBandComb-r11)) OF
BandCombinationParameters-v1630
SupportedBandCombinationReduced-r13 ::= SEQUENCE (SIZE (1..maxBandComb-r13)) OF
BandCombinationParameters-r13
SupportedBandCombinationReduced-v1320 ::= SEQUENCE (SIZE (1..maxBandComb-r13)) OF
BandCombinationParameters-v1320
SupportedBandCombinationReduced-v1380 ::= SEQUENCE (SIZE (1..maxBandComb-r13)) OF
BandCombinationParameters-v1380
SupportedBandCombinationReduced-v1390 ::=
                                            SEQUENCE (SIZE (1..maxBandComb-r13)) OF
BandCombinationParameters-v1390
SupportedBandCombinationReduced-v1430 ::=
                                            SEQUENCE (SIZE (1..maxBandComb-r13)) OF
BandCombinationParameters-v1430
SupportedBandCombinationReduced-v1450 ::=
                                            SEQUENCE (SIZE (1..maxBandComb-r13)) OF
BandCombinationParameters-v1450
SupportedBandCombinationReduced-v1470 ::=
                                            SEQUENCE (SIZE (1..maxBandComb-r13)) OF
BandCombinationParameters-v1470
SupportedBandCombinationReduced-v14b0 ::=
                                            SEQUENCE (SIZE (1..maxBandComb-r13)) OF
BandCombinationParameters-v14b0
SupportedBandCombinationReduced-v1530 ::=
                                            SEQUENCE (SIZE (1..maxBandComb-r13)) OF
BandCombinationParameters-v1530
SupportedBandCombinationReduced-v1610 ::=
                                            SEQUENCE (SIZE (1..maxBandComb-r13)) OF
BandCombinationParameters-v1610
{\tt SupportedBandCombinationReduced-v1630} ::= {\tt SEQUENCE} ({\tt SIZE} ({\tt 1...maxBandComb-r13})) \ {\tt OF} \\
BandCombinationParameters-v1630
BandCombinationParameters-r10 ::= SEQUENCE (SIZE (1..maxSimultaneousBands-r10)) OF BandParameters-
r10
BandCombinationParametersExt-r10 ::= SEQUENCE {
    supportedBandwidthCombinationSet-r10 SupportedBandwidthCombinationSet-r10
                                                                                    OPTIONAL
BandCombinationParameters-v1090 ::= SEQUENCE (SIZE (1..maxSimultaneousBands-r10)) OF BandParameters-
v1090
BandCombinationParameters-v10i0::= SEQUENCE {
                                   SEQUENCE (SIZE (1..maxSimultaneousBands-r10)) OF
   bandParameterList-v10i0
                                   OPTIONAL
           BandParameters-v10i0
}
BandCombinationParameters-v1130 ::= SEQUENCE {
   multipleTimingAdvance-rl1 ENUMERATED {supported}
                                                                            OPTIONAL,
    simultaneousRx-Tx-r11
                                   ENUMERATED {supported}
                                                                            OPTIONAL.
                                   SEQUENCE (SIZE (1..maxSimultaneousBands-r10)) OF BandParameters-
    bandParameterList-r11
v1130 OPTIONAL,
    . . .
BandCombinationParameters-r11 ::=
                                    SEQUENCE
                                    SEQUENCE (SIZE (1..maxSimultaneousBands-r10)) OF
   bandParameterList-r11
           BandParameters-r11,
    supportedBandwidthCombinationSet-r11
                                           SupportedBandwidthCombinationSet-r10
                                                                                    OPTIONAL,
                                                                            OPTIONAL,
    multipleTimingAdvance-r11 ENUMERATED {supported}
                                                                            OPTIONAL,
    simultaneousRx-Tx-r11
                                    ENUMERATED {supported}
    bandInfoEUTRA-r11
                                    BandInfoEUTRA,
```

```
OPTIONAL,
                                                   BIT STRING (SIZE(3)),
                fourEntries-r12
                                                   BIT STRING (SIZE(7)),
                                                   BIT STRING (SIZE(15))
                fiveEntries-r12
                                                                             OPTIONAL
                                                                             OPTIONAL,
    supportedNAICS-2CRS-AP-r12 BIT STRING (SIZE (1..maxNAICS-Entries-r12))
commSupportedBandsPerBC-r12 BIT STRING (SIZE (1.. maxBands))
                                                                                          OPTIONAL,
                                                                                         OPTIONAL,
}
BandCombinationParameters-v1270 ::= SEQUENCE {
    bandParameterList-v1270 SEQUENCE (SIZE (1..maxSimultaneousBands-r10)) OF BandParameters-v1270 OPTIONAL
}
BandCombinationParameters-r13 ::= SEQUENCE {
    differentFallbackSupported-r13 ENUMERATED {true}
                                                                        OPTIONAL,
                                      SEQUENCE (SIZE (1..maxSimultaneousBands-r10)) OF BandParameters-
    bandParameterList-r13
r13.
                                              SupportedBandwidthCombinationSet-r10
    supportedBandwidthCombinationSet-r13
                                                                                         OPTIONAL.
    OPTIONAL,
        supportedCellGrouping-r13 CHOICE {
    threeEntries-r13 fourEntries-r13 fiveEntries-r13 BIT STRING
    fiveEntries-r13 BIT STRING
}
                                                                           OPTIONAL,
                                                   BIT STRING (SIZE(3)),
                                                   BIT STRING (SIZE(7)),
                                                   BIT STRING (SIZE(15))
        }
                                                                             OPTIONAL
                                                                             OPTIONAL,
    supportedNAICS-2CRS-AP-r13 BIT STRING (SIZE (1..maxNAICS-Entries-r12)) OPTIONAL, commSupportedBandsPerBC-r13 BIT STRING (SIZE (1.. maxBands)) OPTIONAL
}
BandCombinationParameters-v1320 ::= SEQUENCE {
   bandParameterList-v1320 SEQUENCE (SIZE (1..maxSimultaneousBands-r10)) OF
BandParameters-v1320 OPTIONAL,
additionalRx-Tx-PerformanceReq-r13 ENUMERATED {supported}
                                                                                         OPTIONAL
}
BandCombinationParameters-v1380 ::= SEQUENCE {
    bandParameterList-v1380 SEQUENCE (SIZE (1..maxSimultaneousBands-r10)) OF
             BandParameters-v1380
                                      OPTIONAL
}
BandCombinationParameters-v1390 ::= SEQUENCE {
   ue-CA-PowerClass-N-r13
                                    ENUMERATED {class2}
                                                                      OPTIONAL
BandCombinationParameters-v1430 ::= SEQUENCE \{
   bandParameterList-v1430 SEQUENCE (SIZE (1..maxSimultaneousBands-r10)) OF
BandParameters-v1430 OPTIONAL,
                                      OPTIONAL,
    v2x-SupportedTxBandCombListPerBC-r14
                                                      BIT STRING (SIZE (1.. maxBandComb-r13))
    OPTIONAL.
    v2x-SupportedRxBandCombListPerBC-r14
                                                     BIT STRING (SIZE (1.. maxBandComb-r13))
    OPTIONAL
}
BandCombinationParameters-v1450 ::= SEQUENCE {
            ameterList-v1450 SEQUENCE (SIZE (1..maxSimultaneousBands-r10)) OF
BandParameters-v1450 OPTIONAL
    bandParameterList-v1450
}
            ameterList-v1470 SEQUENCE (SIZE (1..maxSimultaneousBands-r10)) OF
BandParameters-v1470 OPTIONAL.
BandCombinationParameters-v1470 ::= SEQUENCE {
    bandParameterList-v1470
    srs-MaxSimultaneousCCs-r14 INTEGER (1..31)
                                                               OPTIONAL
}
BandCombinationParameters-v14b0 ::= SEQUENCE {
    bandParameterList-v14b0 SEQUENCE (SIZE (1..maxSimultaneousBands-r10)) OF
            BandParameters-v14b0 OPTIONAL
```

```
}
BandCombinationParameters-v1530 ::= SEQUENCE {
   bandParameterList-v1530 SEQUENCE (SIZE (1..maxSimultaneousBands-r10)) OF
BandParameters-v1530 OPTIONAL,
spt-Parameters-r15 SPT-Parameters-r15 OPTIONAL
-- If an additional band combination parameter is defined, which is supported for MR-DC,
   it shall be defined in the IE CA-ParametersEUTRA in TS 38.331 [82].
BandCombinationParameters-v1610 ::= SEQUENCE {
    measGapInfoNR-r16 MeasGapInfoNR-r16 OPTIC
bandParameterList-v1610 SEQUENCE (SIZE (1..maxSimultaneousBands-r10)) OF
BandParameters-v1610 OPTIONAL,
       interFreqAsyncDAPS-r16
    interFreqDAPS-r16
                                                                                OPTIONAL,
                                                   ENUMERATED {supported}
        interFreqMultiUL-TransmissionDAPS-r16 ENUMERATED {supported}
                                                                                 OPTIONAL
}
BandCombinationParameters-v1630 ::= SEQUENCE {
    v2x-SupportedTxBandCombListPerBC-v1630
                                                   BIT STRING (SIZE (1..maxBandCombSidelinkNR-r16))
    OPTIONAL,
    v2x-SupportedRxBandCombListPerBC-v1630
                                                   BIT STRING (SIZE (1..maxBandCombSidelinkNR-r16))
    OPTIONAL,
    scalingFactorTxSidelink-r16
                                                   SEQUENCE (SIZE (1..maxBandCombSidelinkNR-r16)) OF
ScalingFactorSidelink-r16 OPTIONAL,
    scalingFactorRxSidelink-r16
                                                   SEQUENCE (SIZE (1..maxBandCombSidelinkNR-r16)) OF
                                 OPTIONAL,
ScalingFactorSidelink-r16
    interBandPowerSharingSyncDAPS-r16
                                                   ENUMERATED {supported} OPTIONAL,
    interBandPowerSharingSyncDAPS-r16
interBandPowerSharingAsyncDAPS-r16
                                                 ENUMERATED {supported} OPTIONAL
ScalingFactorSidelink-r16 ::=
                                                       ENUMERATED {f0p4, f0p75, f0p8, f1}
SupportedBandwidthCombinationSet-r10 ::= BIT STRING (SIZE (1..maxBandwidthCombSet-r10))
BandParameters-r10 ::= SEQUENCE {
    bandEUTRA-r10
                                      FreqBandIndicator,
    bandParametersUL-r10
                                      BandParametersUL-r10
                                                                                  OPTIONAL.
    bandParametersDL-r10
                                      BandParametersDL-r10
                                                                                  OPTIONAL
}
BandParameters-v1090 ::= SEQUENCE {
   bandEUTRA-v1090
                                      FreqBandIndicator-v9e0
                                                                                 OPTIONAL,
}
BandParameters-v10i0::= SEQUENCE {
   bandParametersDL-v10i0 SEQUENCE (SIZE (1..maxBandwidthClass-r10)) OF CA-MIMO-ParametersDL-
v10i0
BandParameters-v1130 ::= SEQUENCE {
                                      ENUMERATED {n1, n3, n4}
    supportedCSI-Proc-r11
BandParameters-r11 ::= SEQUENCE {
   bandEUTRA-r11
    bandEUTRA-r11
bandParametersUL-r11
bandParametersDL-r11
                                      FregBandIndicator-r11,
                                                                                OPTIONAL,
                                     BandParametersUL-r10
                                      BandParametersDL-r10
                                                                                  OPTIONAL,
    supportedCSI-Proc-r11
                                     ENUMERATED {n1, n3, n4}
BandParameters-v1270 ::= SEQUENCE {
                                      SEQUENCE (SIZE (1..maxBandwidthClass-r10)) OF CA-MIMO-
   bandParametersDL-v1270
ParametersDL-v1270
BandParameters-r13 ::= SEQUENCE {
    bandParametersUL-r13
                                      FreqBandIndicator-r11,
    bandParametersUL-r13 BandParametersUL-r13 CO
bandParametersDL-r13 BandParametersDL-r13 SupportedCSI-Proc-r13 ENUMERATED {n1, n3, n4} OPTIONAL
                                                                                 OPTIONAL,
                                                                                 OPTIONAL,
BandParameters-v1320 ::= SEQUENCE {
```

```
bandParametersDL-v1320 MIMO-CA-ParametersPerBoBC-r13
       txAntennaSwitchDL-r13 SEQUENCE {
BandParameters-v1380 ::=
        txAntennaSwitchDL-r13 INTEGER (1..32)
txAntennaSwitchUL-r13 INTEGER (1..32)
                                                                                                                                       OPTIONAL,
                                                                                                                                       OPTIONAL
BandParameters-v1430 ::= SEQUENCE {
      bandParametersDL-v1430 MIMO-CA-ParametersPerBoBC-v1430 OPTIONAL,
      ul-256QAM-r14 ENUMERATED {supported} OPTIONAL,
ul-256QAM-perCC-InfoList-r14 SEQUENCE (SIZE (2..maxServCell-r13)) OF UL-256QAM-perCC-
Info-r14 OPTIONAL,
     srs-CapabilityPerBandPairList-r14 SEQUENCE (SIZE (1..maxSimultaneousBands-r10)) OF SRS-CapabilityPerBandPair-r14 OPTIONAL
}
BandParameters-v1450 ::= SEQUENCE {
                                                                     MUST-Parameters-r14 OPTIONAL
     must-CapabilityPerBand-r14
BandParameters-v1470 ::= SEQUENCE {
       bandParametersDL-v1470
                                                                        MIMO-CA-ParametersPerBoBC-v1470 OPTIONAL
BandParameters-v14b0 ::= SEQUENCE {
       srs-CapabilityPerBandPairList-v14b0 SEQUENCE (SIZE (1..maxSimultaneousBands-r10)) OF
        SRS-CapabilityPerBandPair-v14b0 OPTIONAL
BandParameters-v1530 ::= SEQUENCE {
       ue-TxAntennaSelection-SRS-1T4R-r15 ENUMERATED {supported} OPTIONAL, ue-TxAntennaSelection-SRS-2T4R-2Pairs-r15 ENUMERATED {supported} OPTIONAL, ue-TxAntennaSelection-SRS-2T4R-3Pairs-r15 ENUMERATED {supported} OPTIONAL, ue-TxAntennaSelection-SRS-2T
      ue-TxAntennaSelection-SRS-1T4R-r15
                                                                                                     ENUMERATED (supported) OPTIONAL,
ENUMERATED (supported) OPTIONAL,
ENUMERATED (supported) OPTIONAL,
       dl-1024QAM-r15
       qcl-TypeC-Operation-r15
       qcl-CRI-BasedCSI-Reporting-r15 ENUMERATED {supported} OPTIONAL, stti-SPT-BandParameters-r15 STTI-SPT-BandParameters-r15 OPTIONAL
BandParameters-v1610 ::= SEQUENCE { intraFreqDAPS-r16 SEQUENCE {
              intraFreqAsyncDAPS-r16
dummy
intraFreqTwoTAGs-DAPS-r16
                                                                                               ENUMERATED {supported} OPTIONAL,
ENUMERATED {supported} OPTIONAL,
ENUMERATED {supported} OPTIONAL
OPTIONAL,
        addSRS-FrequencyHopping-r16 ENUMERATED {supported}
                                                                                                                              OPTIONAL,
        addSRS-AntennaSwitching-r16 SEQUENCE {
               addSRS-1T2R-r16 ENUMERATED {supported} OPTIONAL, addSRS-1T4R-r16 ENUMERATED {supported} OPTIONAL,
               addSRS-2T4R-2pairs-r16 ENUMERATED {supported} addSRS-2T4R-3pairs-r16 ENUMERATED {supported}
                                                                                                                               OPTIONAL,
                                                                                                                                OPTIONAL
                                    OPTIONAL,
        rs-CapabilityPerBandPairList-v1610 SEQUENCE (SIZE (1..maxSimultaneousBands-r10)) OF
        SRS-CapabilityPerBandPair-v1610 OPTIONAL
}
V2X-BandParameters-r14 ::= SEQUENCE {
       v2x-FreqBandEUTRA-r14 FreqBandIndicator-r11,
bandParametersTxSL-r14 BandParametersTxSL-r14
                                                                                                                                             OPTIONAL.
       bandParametersRxSL-r14
                                                                     BandParametersRxSL-r14
                                                                                                                                               OPTIONAL
BandParametersTxSL-r14 ::= SEQUENCE {
       ParametersTxSL-r14 ::= SEQUENCE {
v2x-BandwidthClassTxSL-r14 V2X-BandwidthClassSL-r14,
v2x-eNR-Scheduled-r14 ENUMERATED {supported}
        v2x-eNB-Scheduled-r14
                                                                                                                                               OPTIONAL.
                                                                      ENUMERATED (supported)
       v2x-HighPower-r14
                                                                                                                                                OPTIONAL
}
BandParametersRxSL-r14 ::= SEQUENCE {
      }
```

```
V2X-BandwidthClassSL-r14 ::= SEQUENCE (SIZE (1..maxBandwidthClass-r10)) OF V2X-BandwidthClass-r14
UL-2560AM-perCC-Info-r14 ::= SEOUENCE {
                             ENUMERATED {supported}
   ul-256QAM-perCC-r14
                                                                OPTIONAL
FeatureSetDL-r15 ::= SEQUENCE {
   mimo-CA-ParametersPerBoBC-r15 MIMO-CA-ParametersPerBoBC-r15
                                                                        OPTIONAL.
   featureSetPerCC-ListDL-r15 SEQUENCE (SIZE (1..maxServCell-r13)) OF FeatureSetDL-PerCC-Id-r15
FeatureSetDL-v1550 ::= SEQUENCE {
                              ENUMERATED {supported} OPTIONAL
   dl-1024QAM-r15
FeatureSetDL-PerCC-r15 ::= SEQUENCE {
                                              ENUMERATED {supported}
   fourLayerTM3-TM4-r15
                                                                                 OPTIONAL,
    supportedMIMO-CapabilityDL-MRDC-r15 MIMO-CapabilityDL-r10
                                                                                 OPTIONAL,
                                            ENUMERATED {n1, n3, n4}
   supportedCSI-Proc-r15
}
FeatureSetUL-r15 ::= SEQUENCE {
   featureSetPerCC-ListUL-r15 SEQUENCE (SIZE(1..maxServCell-r13)) OF FeatureSetUL-PerCC-Id-r15
FeatureSetUL-PerCC-r15 ::= SEQUENCE {
   supportedMIMO-CapabilityUL-r15
                                       MIMO-CapabilityUL-r10
                                                                         OPTIONAL,
    ul-256QAM-r15
                                       ENUMERATED {supported}
                                                                         OPTIONAL
FeatureSetDL-PerCC-Id-r15 ::= INTEGER (0..maxPerCC-FeatureSets-r15)
FeatureSetUL-PerCC-Id-r15 ::= INTEGER (0..maxPerCC-FeatureSets-r15)
BandParametersUL-r10 ::= SEQUENCE (SIZE (1..maxBandwidthClass-r10)) OF CA-MIMO-ParametersUL-r10
BandParametersUL-r13 ::= CA-MIMO-ParametersUL-r10
CA-MIMO-ParametersUL-r10 ::= SEQUENCE {
   ca-BandwidthClassUL-r10
                                       CA-BandwidthClass-r10,
   supportedMIMO-CapabilityUL-r10
                                      MIMO-CapabilityUL-r10
                                                                         OPTIONAL
}
CA-MIMO-ParametersUL-r15 ::= SEQUENCE {
                                     MIMO-CapabilityUL-r10
   supportedMIMO-CapabilityUL-r15
BandParametersDL-r10 ::= SEQUENCE (SIZE (1..maxBandwidthClass-r10)) OF CA-MIMO-ParametersDL-r10
BandParametersDL-r13 ::= CA-MIMO-ParametersDL-r13
CA-MIMO-ParametersDL-r10 ::= SEQUENCE {
   ca-BandwidthClassDL-r10
   ca-BandwidthClassDL-r10 CA-BandwidthClass-r10, supportedMIMO-CapabilityDL-r10 MIMO-CapabilityDL-r10
                                      CA-BandwidthClass-r10,
}
CA-MIMO-ParametersDL-v10i0 ::= SEQUENCE {
   fourLayerTM3-TM4-r10
                                     ENUMERATED {supported}
CA-MIMO-ParametersDL-v1270 ::= SEQUENCE {
   intraBandContiguousCC-InfoList-r12
                                            SEQUENCE (SIZE (1..maxServCell-r10)) OF
IntraBandContiguousCC-Info-r12
}
CA-MIMO-ParametersDL-r13 ::= SEQUENCE {
                                           CA-BandwidthClass-r10,
   ca-BandwidthClassDL-r13
   ca-BandwidthClassDL-r13
supportedMIMO-CapabilityDL-r13
                                              ENUMERATED {supported} OPTIONAL,
                                          MIMO-CapabilityDL-r10
                                                                                 OPTIONAL,
    fourLayerTM3-TM4-r13
   IntraBandContiguousCC-Info-r12
}
CA-MIMO-ParametersDL-r15 ::= SEQUENCE {
                                         MIMO-CapabilityDL-r10
ENUMERATED {supported}
    supportedMIMO-CapabilityDL-r15
                                                                             OPTIONAL,
    fourLayerTM3-TM4-r15
                                                                             OPTIONAL,
                                         SEQUENCE (SIZE (1..maxServCell-r13)) OF
  intraBandContiguousCC-InfoList-r15 S
IntraBandContiguousCC-Info-r12
                                              OPTIONAL
```

```
}
IntraBandContiguousCC-Info-r12 ::= SEQUENCE {
    fourLayerTM3-TM4-perCC-r12 ENUMERATED {supported} OPTIONAL, supportedMIMO-CapabilityDL-r12 MIMO-CapabilityDL-r10 OPTIONAL, supportedCSI-Proc-r12 ENUMERATED {n1, n3, n4} OPTIONAL
CA-BandwidthClass-r10 ::= ENUMERATED {a, b, c, d, e, f, ...}
V2X-BandwidthClass-r14 ::= ENUMERATED {a, b, c, d, e, f, ..., c1-v1530}
MIMO-CapabilityUL-r10 ::= ENUMERATED {twoLayers, fourLayers}
MIMO-CapabilityDL-r10 ::= ENUMERATED {twoLayers, fourLayers, eightLayers}
MUST-Parameters-r14 ::= SEQUENCE {
    supported OPTIONAL,

MUSL-TMIO-UpToOneInterferingLayer-r14 ENUMERATED {supported} OPTIONAL,

must-TM89-UpToThreeInterferingLayers-r14 ENUMERATED {supported} OPTIONAL,

must-TM10-UpToThreeInterferingLayers-r14 ENUMERATED {supported} OPTIONAL,

must-TM10-UpToThreeInterferingLayers-r14 ENUMERATED {supported} OPTIONAL,

portedBandListEUMD2
SupportedBandListEUTRA ::=
                                       SEQUENCE (SIZE (1..maxBands)) OF SupportedBandEUTRA
SupportedBandListEUTRA-v9e0::=
                                           SEQUENCE (SIZE (1..maxBands)) OF SupportedBandEUTRA-v9e0
SupportedBandListEUTRA-v1250 ::=
                                            SEQUENCE (SIZE (1..maxBands)) OF SupportedBandEUTRA-v1250
SupportedBandListEUTRA-v1310 ::=
                                            SEQUENCE (SIZE (1..maxBands)) OF SupportedBandEUTRA-v1310
SupportedBandListEUTRA-v1320 ::= SEQUENCE (SIZE (1..maxBands)) OF SupportedBandEUTRA-v1320
SupportedBandEUTRA ::= SEQUENCE {
    bandEUTRA
                                            FreqBandIndicator,
    halfDuplex
                                             BOOLEAN
SupportedBandEUTRA-v9e0 ::= SEQUENCE {
                                    FreqBandIndicator-v9e0
    bandEUTRA-v9e0
                                                                            OPTIONAL
SupportedBandEUTRA-v1250 ::= SEQUENCE {
d1-2560AM-r12 ENUMER
                                            ENUMERATED {supported} OPTIONAL, ENUMERATED {supported} OPTIONAL
    ul-64QAM-r12
SupportedBandEUTRA-v1320 ::= SEQUENCE {
intraFreq-CE-NeedForGaps-r13
ue-PowerClass-N-r12
                                                      ENUMERATED {supported}
                                                                                               OPTIONAL,
    ue-PowerClass-N-r13 ENUMERATED {class1, class2, class4} OPTIONAL
}
MeasParameters ::=
                                        SEQUENCE {
   bandListEUTRA
                                           BandListEUTRA
MeasParameters-v1020 ::=
                                        SEQUENCE {
                                        BandCombinationListEUTRA-r10
    bandCombinationListEUTRA-r10
MeasParameters-v1130 ::= rsrqMeasWideband-r11
                                        SEQUENCE {
                                       ENUMERATED {supported}
                                                                                    OPTIONAL
}
MeasParameters-v11a0 ::= SEQUENCE {
benefitsFromInterruption-r11 ENU
                                                                            OPTIONAL
                                                 ENUMERATED {true}
                                                                        OPTIONAL,
MeasParameters-v1250 ::= SEQUENCE {
                                        ENUMERATED {supported}
    timerT312-r12
    alternativeTimeToTrigger-r12
incMonEUTRA-r12
                                             ENUMERATED {supported}
                                         ENUMERATED {supported}
    incMonEUTRA-r12
    incMonUTRA-r12
                                             ENUMERATED {supported}
                                                                             OPTIONAL.
    extendedMaxMeasId-r12 ENUMERATED {supported} OPTIONAL,
```

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extendedRSRQ-LowerRange-r12 ENUMERATED {supported}
                                                                        OPTIONAL,
    rsrq-OnAllSymbols-r12
                                           ENUMERATED {supported}
                                                                         OPTIONAL,
    rsrq-OnAllSymbols-rl2 ENUMERATED {supported} crs-DiscoverySignalsMeas-rl2 ENUMERATED {supported}
                                                                         OPTIONAL,
    OPTIONAL
MeasParameters-v1310 ::= SEQUENCE {
                                              ENUMERATED {supported}
                                                                             OPTIONAL,
   rs-SINR-Meas-r13
    allowedCellList-r13
                                                                             OPTIONAL,
                                               ENUMERATED {supported}
    extendedMaxObjectId-r13
                                               ENUMERATED {supported}
                                                                             OPTIONAL,
    ul-PDCP-Delay-r13
                                              ENUMERATED {supported}
                                                                            OPTIONAL,
                                              ENUMERATED [supported]
    extendedFregPriorities-r13
                                                                            OPTIONAL.
    multiBandInfoReport-r13
                                               ENUMERATED {supported}
                                                                             OPTIONAL.
    rssi-AndChannelOccupancyReporting-r13 ENUMERATED {supported}
                                                                             OPTIONAL
}
MeasParameters-v1430 ::=
                                     SEQUENCE {
    ceMeasurements-r14
                                              ENUMERATED {supported}
                                                                             OPTIONAL,
    ncsg-r14
                                              ENUMERATED {supported}
                                                                                     OPTIONAL.
    shortMeasurementGap-r14
                                               ENUMERATED (supported)
                                                                                      OPTIONAL,
    perServingCellMeasurementGap-r14
                                              ENUMERATED {supported}
                                                                                      OPTIONAL,
    nonUniformGap-r14
                                             ENUMERATED {supported}
                                                                                     OPTIONAL
MeasParameters-v1520 ::= SEQUENCE {
   measGapPatterns-r15 BIT ST
                                       BIT STRING (SIZE (8))
                                                                       OPTIONAL
MeasParameters-v1530 ::=
   qoe-MeasReport-r15
   qoe-MTSI-MeasReport-r15
                                    SEQUENCE {
                                      ENUMERATED {supported}
ENUMERATED {supported}
                                                                        OPTIONAL,
                                                                         OPTIONAL,
    ca-IdleModeMeasurements-r15
ca-IdleModeValidityArea-r15
                                           ENUMERATED {supported}
                                                                         OPTIONAL,
                                              ENUMERATED {supported}
ENUMERATED {supported}
                                                                             OPTIONAL,
                                                                            OPTIONAL,
    heightMeas-r15
    multipleCellsMeasExtension-r15
                                             ENUMERATED {supported}
                                                                                 OPTIONAL
}
                                SEQUENCE {
MeasParameters-v1610 ::=
                                           SEQUENCE (SIZE (1..maxBands)) OF MeasGapInfoNR-r16
    bandInfoNR-v1610
    OPTIONAL,
    altFreqPriority-r16 ENUMERATED {supported} ce-DL-ChannelQualityReporting-r16 ENUMERATED {supported} ce-MeasRSS-hedicated-r16
                                                                                               OPTIONAL,
                                                                                               OPTIONAL.
    ce-MeasRSS-Dedicated-r16 ENUMERATED {supported} eutra-IdleInactiveMeasurements-r16 ENUMERATED {su
                                                                                              OPTIONAL,
                                              ENUMERATED {supported} OPTIONAL,
    nr-IdleInactiveMeasFR1-r16 ENUMERATED {supported} OPTIONAL, nr-IdleInactiveMeasFR2-r16 ENUMERATED {supported} OPTIONAL,
    idleInactiveValidityAreaList-r16 ENUMERATED {supported} OPTIONAL, measGapPatterns-NRonly-r16 ENUMERATED {supported} OPTIONAL, measGapPatterns-NRonly-ENDC-r16 ENUMERATED {supported} OPTIONAL
                                           ENUMERATED {supported} OPTIONAL,
}
                                 SEQUENCE {
MeasParameters-v1630 ::=
   nr-IdleInactiveBeamMeasFR1-r16 ENUMERATED {supported}
                                                                        OPTIONAL,
    nr-IdleInactiveBeamMeasFR2-r16
                                           ENUMERATED {supported}
                                                                         OPTIONAL.
                                          ENUMERATED {supported}
    ce-MeasRSS-DedicatedSameRBs-r16
                                                                         OPTIONAL
}
MeasParameters-v16c0 ::= SEQUENCE {
    nr-CellIndividualOffset-r16 ENUMERATED {supported}
MeasParameters-v1700 ::=
                                 SEQUENCE {
   sharedSpectrumMeasNR-EN-DC-r17 SEQUENCE (SIZE (1..maxBandsNR-r15)) OF SharedSpectrumMeasNR-r17
    OPTIONAL.
    sharedSpectrumMeasNR-SA-r17 SEQUENCE (SIZE (1..maxBandsNR-r15)) OF SharedSpectrumMeasNR-r17
    OPTIONAL
}
SharedSpectrumMeasNR-r17 ::= SEQUENCE {
    nr-RSSI-ChannelOccupancyReporting-r17
                                                               BOOLEAN
MeasGapInfoNR-r16 ::= SEQUENCE {
    interRAT-BandListNR-EN-DC-r16
                                        InterRAT-BandListNR-r16
                                                                                 OPTIONAL.
    interRAT-BandListNR-SA-r16
                                           InterRAT-BandListNR-r16
                                                                                 OPTIONAL
BandListEUTRA ::=
                    SEQUENCE (SIZE (1..maxBands)) OF BandInfoEUTRA
```

```
BandCombinationListEUTRA-r10 ::= SEQUENCE (SIZE (1..maxBandComb-r10)) OF BandInfoEUTRA
BandInfoEUTRA ::=
                                             SEQUENCE {
     interFreqBandList
interRAT-BandList
                                                    InterFreqBandList,
InterRAT-BandList
                                                                                 OPTIONAL
InterFreqBandList ::=
                                             SEQUENCE (SIZE (1..maxBands)) OF InterFreqBandInfo
InterFreqBandInfo ::=
    interFreqNeedForGaps
                                             SEQUENCE {
                                                   BOOLEAN
InterRAT-BandList ::=
                                              SEQUENCE (SIZE (1..maxBands)) OF InterRAT-BandInfo
InterRAT-BandListNR-r16 ::=
                                                  SEQUENCE (SIZE (1..maxBandsNR-r15)) OF InterRAT-BandInfoNR-
r16
    interRAT-NeedForGaps
                                            SEQUENCE {
InterRAT-BandInfo ::=
                                                 BOOLEAN
     interRAT-NeedForGapsNR-r16 SEQUENCE {
InterRAT-BandInfoNR-r16 ::=
                                                  BOOLEAN
{\tt IRAT-ParametersNR-r15} \ ::= \ \ {\tt SEQUENCE} \ \{
                                          ENUMERATED {supported}
ENUMERATED {supported}
    en-DC-r15
                                                                                                              OPTIONAL.
                                                                                                      OPTIO
OPTIONAL,
     eventB2-r15
                                              ENUMERATED {supported}
     supportedBandListEN-DC-r15
                                              SupportedBandListNR-r15
                                                                                                         OPTIONAL
IRAT-ParametersNR-v1540 ::=
                                        SEQUENCE {
                                                                                               OPTIONAL,
    eutra-5GC-HO-TONR-FDD-FR1-r15
eutra-5GC-HO-TONR-TDD-FR2-r15
eutra-5GC-HO-TONR-FDD-FR2-r15
eutra-5GC-HO-TONR-FDD-FR2-r15
eutra-5GC-HO-TONR-FDD-FR2-r15
eutra-EPC-HO-TONR-FDD-FR1-r15
eutra-EPC-HO-TONR-TDD-FR1-r15
eutra-EPC-HO-TONR-TDD-FR2-r15
eutra-EPC-HO-TONR-FDD-FR2-r15
eutra-EPC-HO-TONR-FDD-FR2-r15
eutra-EPC-HO-TONR-FDD-FR2-r15
ims-VoiceOverNR-FR1-r15
ims-VoiceOverNR-FR2-r15
ims-VoiceOverNR-FR2-r15
sa-NR-r15

ENUMERATED {supported}
enumerated {supported}
supported}
supported}
supported}
    eutra-5GC-HO-ToNR-FDD-FR1-r15 ENUMERATED {supported}
                                                                                                   OPTIONAL,
                                                                                                  OPTIONAL,
                                                                                                  OPTIONAL,
                                                                                                   OPTIONAL,
                                                                                                   OPTIONAL,
                                                                                                   OPTIONAL,
                                                                                                 OPTIONAL,
                                                      ENUMERATED {supported} OPTIONAL,
ENUMERATED {supported} OPTIONAL,
ENUMERATED {supported} OPTIONAL
SupportedBandListNR-r15 OPTIONAL
                                                                                                      OPTIONAL,
     supportedBandListNR-SA-r15SupportedBandListNR-r15
}
IRAT-ParametersNR-v1560 ::= SEQUENCE {
                                                          ENUMERATED {supported}
    ng-EN-DC-r15
                                                                                                       OPTIONAL
ENUMERATED {supported}
                                                                                           OPTIONAL,
OPTIONAL
}
IRAT-ParametersNR-v1610 ::= SEQUENCE {
     nr-HO-TOEN-DC-r16 ENUMERATED {supported}
ce-EUTRA-5GC-HO-TONR-FDD-FR1-r16 ENUMERATED {supported}
    nr-HO-ToEN-DC-r16
                                                                                                   OPTIONAL,
                                                                                                  OPTIONAL,
     ce-EUTRA-5GC-HO-TONR-TDD-FR1-r16 ENUMERATED {supported} ce-EUTRA-5GC-HO-TONR-FDD-FR2-r16 ENUMERATED {supported} ce-EUTRA-5GC-HO-TONR-TDD-FR2-r16 ENUMERATED {supported}
                                                                                                   OPTIONAL,
                                                                                                   OPTIONAL,
IRAT-ParametersNR-v1660 ::= SEQUENCE {
   extendedBand-n77-r16 ENUMERATED {supported}}
IRAT-ParametersNR-v1700 ::= SEQUENCE {
                                                                                                    OPTIONAL,
    eutra-5GC-HO-ToNR-TDD-FR2-2-r17 ENUMERATED {supported}
eutra-EPC-HO-ToNR-TDD-FR2-2-r17 ENUMERATED {supported}
ce-EUTRA-5GC-HO-ToNR-TDD-FR2-2-r17 ENUMERATED {supported}
ims-VoiceOverNR-FR2-2-r17 ENUMERATED {supported}
                                                                                                        OPTIONAL,
                                                                                                        OPTIONAL
```

```
}
EUTRA-5GC-Parameters-r15 ::= SEQUENCE {
                                                   ENUMERATED {supported} OPTIONAL,
    eutra-5GC-r15
                                                                                    OPTIONAL,
    eutra-EPC-HO-EUTRA-5GC-r15
ho-EUTRA-5GC-FDD-TDD-r15
ho-InterfregEUTRA-5GC-r15
                                               ENUMERATED {supported}
                                               ENUMERATED {supported}
                                                                               OPTIONAL,
    ho-EUTRA-5GC-FDD-TDD-r15
ho-InterfreqEUTRA-5GC-r15
ims-VoiceOverMCG-BearerEUTRA-5GC-r15
inactiveState-r15
reflectiveQoS-r15
ENUMERATED {supported}
ENUMERATED {supported}
ENUMERATED {supported}
ENUMERATED {supported}
                                                                                 OPTIONAL,
                                                                               OPTIONAL,
                                                                                     OPTIONAL
}
EUTRA-5GC-Parameters-v1610 ::= SEQUENCE {
   ce-InactiveState-r16 ENUMERATED {supported} OPTIONAL, ce-EUTRA-5GC-r16 ENUMERATED {supported} OPTIONAL
}
PDCP-ParametersNR-r15 ::= SEQUENCE {
    rohc-ContextMaxSessions-r15 ROHC-ProfileSupportList-r15, ENUMERATED {
   rohc-Profiles-r15
                                              cs2, cs4, cs8, cs12, cs16, cs24, cs32,
                                               cs48, cs64, cs128, cs256, cs512, cs1024,
                                                                           DEFAULT cs16,
                                               cs16384, spare2, spare1}
    rohc-ProfilesUL-Only-r15
                                               SEQUENCE {
       profile0x0006-r15
                                                   BOOLEAN
    OPTIONAL,
OPTIONAL,
OPTIONAL,
    ims-VoiceOverNR-PDCP-MCG-Bearer-r15 ENUMERATED {supported}
                                                                                OPTIONAL,
    ims-VoiceOverNR-PDCP-SCG-Bearer-r15 ENUMERATED {supported}
                                                                                 OPTIONAL
PDCP-ParametersNR-v1560 ::= SEQUENCE {
    ims-VonR-PDCP-SCG-NGENDC-r15
                                              ENUMERATED {supported}
                                                                                    OPTIONAL
ROHC-ProfileSupportList-r15 ::= SEQUENCE {
    profile0x0002-r15
   profile0x0001-r15
                                          BOOLEAN.
                                          BOOLEAN,
    profile0x0003-r15
                                          BOOLEAN.
    profile0x0004-r15
                                          BOOLEAN.
    profile0x0006-r15
                                         BOOLEAN.
    profile0x0101-r15
                                          BOOLEAN
    profile0x0102-r15
                                        BOOLEAN,
    profile0x0103-r15
                                          BOOLEAN,
    profile0x0104-r15
                                          BOOLEAN
                                SEQUENCE (SIZE (1..maxBandsNR-r15)) OF SupportedBandNR-r15
SupportedBandListNR-r15 ::=
SupportedBandNR-r15 ::=
                                SEQUENCE {
   bandNR-r15
                                   FreqBandIndicatorNR-r15
IRAT-ParametersUTRA-FDD ::= SEQUENCE {
    supportedBandListUTRA-FDD SupportedBandListUTRA-FDD
IRAT-ParametersUTRA-v920 ::=
    e-RedirectionUTRA-r9
                                     SEQUENCE {
    e-RedirectionUTRA-r9
                                         ENUMERATED {supported}
IRAT-ParametersUTRA-v9c0 ::= SEQUENCE {
    voiceOverPS-HS-UTRA-FDD-r9
                                                       ENUMERATED {supported}
                                                                                     OPTIONAL,
                                                                                 OPTIONAL,
OPTIONAL,
    voiceOverPS-HS-UTRA-TDD128-r9
                                                      ENUMERATED {supported}
    srvcc-FromUTRA-FDD-ToUTRA-FDD-r9
                                                       ENUMERATED {supported}
                                                                                     OPTIONAL,
                                                  ENUMERATED {supported}
ENUMERATED {supported}
ENUMERATED {supported}
ENUMERATED {supported}
    srvcc-FromUTRA-FDD-ToGERAN-r9
                                                                                    OPTIONAL,
                                                                                     OPTIONAL,
    srvcc-FromUTRA-TDD128-ToUTRA-TDD128-r9
    srvcc-FromUTRA-TDD128-ToGERAN-r9
                                                                                     OPTIONAL
}
IRAT-ParametersUTRA-v9h0 ::=
                                    SEQUENCE {
    mfbi-UTRA-r9
                                          ENUMERATED {supported}
SupportedBandListUTRA-FDD ::=
                                     SEQUENCE (SIZE (1..maxBands)) OF SupportedBandUTRA-FDD
```

```
SupportedBandUTRA-FDD ::=
                                    ENUMERATED {
                                        bandI, bandII, bandIII, bandIV, bandV, bandVI,
                                        bandVII, bandVIII, bandIX, bandX, bandXI,
                                        bandXII, bandXIII, bandXIV, bandXV, bandXVI, ...
                                        bandXVII-8a0, bandXVIII-8a0, bandXIX-8a0, bandXX-8a0,
                                        bandXXII-8a0, bandXXII-8a0, bandXXIII-8a0, bandXXIV-8a0,
                                        bandXXV-8a0, bandXXVII-8a0, bandXXVIII-8a0, bandXXVIII-8a0,
                                        bandXXIX-8a0, bandXXX-8a0, bandXXXI-8a0, bandXXXII-8a0}
IRAT-ParametersUTRA-TDD128 ::=
                                   SEQUENCE {
                                      SupportedBandListUTRA-TDD128
   supportedBandListUTRA-TDD128
SupportedBandListUTRA-TDD128 ::=
                                   SEQUENCE (SIZE (1..maxBands)) OF SupportedBandUTRA-TDD128
SupportedBandUTRA-TDD128 ::=
                                   ENUMERATED {
                                        a, b, c, d, e, f, g, h, i, j, k, l, m, n,
                                        o, p, ...}
IRAT-ParametersUTRA-TDD384 ::=
                                   SEQUENCE {
                                       SupportedBandListUTRA-TDD384
    supportedBandListUTRA-TDD384
SupportedBandListUTRA-TDD384 ::=
                                   SEQUENCE (SIZE (1..maxBands)) OF SupportedBandUTRA-TDD384
SupportedBandUTRA-TDD384 ::=
                                   ENUMERATED {
                                           a, b, c, d, e, f, g, h, i, j, k, l, m, n,
                                           o, p, ...}
IRAT-ParametersUTRA-TDD768 ::=
                                   SEOUENCE {
                                       SupportedBandListUTRA-TDD768
   supportedBandListUTRA-TDD768
                                   SEQUENCE (SIZE (1..maxBands)) OF SupportedBandUTRA-TDD768
SupportedBandListUTRA-TDD768 ::=
SupportedBandUTRA-TDD768 ::=
                                   ENUMERATED {
                                       a, b, c, d, e, f, g, h, i, j, k, l, m, n,
                                        o, p, ...}
IRAT-ParametersUTRA-TDD-v1020 ::=
                                        SEQUENCE {
   e-RedirectionUTRA-TDD-r10
                                           ENUMERATED {supported}
IRAT-ParametersGERAN ::=
                                   SEQUENCE {
   supportedBandListGERAN
                                       SupportedBandListGERAN,
    interRAT-PS-HO-ToGERAN
                                       BOOLEAN
IRAT-ParametersGERAN-v920 ::=
                                   SEQUENCE {
                                       ENUMERATED {supported}
  dtm-r9
                                                                      OPTIONAL,
                                        ENUMERATED {supported}
   e-RedirectionGERAN-r9
                                                                       OPTIONAL
SupportedBandListGERAN ::=
                                   SEQUENCE (SIZE (1..maxBands)) OF SupportedBandGERAN
SupportedBandGERAN ::=
                                   ENUMERATED {
                                        gsm450, gsm480, gsm710, gsm750, gsm810, gsm850,
                                        gsm900P, gsm900E, gsm900R, gsm1800, gsm1900,
                                        spare5, spare4, spare3, spare2, spare1, ...}
IRAT-ParametersCDMA2000-HRPD ::=
                                   SEQUENCE {
   supportedBandListHRPD
                                        SupportedBandListHRPD,
    tx-ConfigHRPD
                                        ENUMERATED {single, dual},
   rx-ConfigHRPD
                                        ENUMERATED {single, dual}
}
SupportedBandListHRPD ::=
                                   SEQUENCE (SIZE (1..maxCDMA-BandClass)) OF BandclassCDMA2000
IRAT-ParametersCDMA2000-1XRTT ::=
                                   SEQUENCE {
    supportedBandList1XRTT
                                        SupportedBandList1XRTT,
    tx-Config1XRTT
                                        ENUMERATED {single, dual},
   rx-Config1XRTT
                                        ENUMERATED {single, dual}
}
IRAT-ParametersCDMA2000-1XRTT-v920 ::= SEQUENCE {
    e-CSFB-1XRTT-r9
                                       ENUMERATED {supported},
    e-CSFB-ConcPS-Mob1XRTT-r9
                                       ENUMERATED {supported}
                                                                       OPTIONAL
```

```
IRAT-ParametersCDMA2000-1XRTT-v1020 ::= SEQUENCE {
                                                            ENUMERATED {supported}
      e-CSFB-dual-1XRTT-r10
}
IRAT-ParametersCDMA2000-v1130 ::= SEQUENCE {
     cdma2000-NW-Sharing-r11
                                                                   ENUMERATED {supported} OPTIONAL
SupportedBandList1XRTT ::=
                                                   SEQUENCE (SIZE (1..maxCDMA-BandClass)) OF BandclassCDMA2000
IRAT-ParametersWLAN-r13 ::= SEQUENCE {
    supportedBandListWLAN-r13 SEQUENCE (SIZE (1..maxWLAN-Bands-r13)) OF WLAN-BandIndicator-r13
CSG-ProximityIndicationParameters-r9 ::= SEQUENCE {
     intraFreqProximityIndication-r9 ENUMERATED {supported} utran-ProximityIndication-r9 ENUMERATED {supported} utran-ProximityIndication-r9 ENUMERATED {supported}
                                                                                                         OPTIONAL,
                                                                                                             OPTIONAL
}
NeighCellSI-AcquisitionParameters-r9 ::= SEQUENCE {
     intraFreqSI-AcquisitionForHO-r9 ENUMERATED {supported} OPTIONAL, interFreqSI-AcquisitionForHO-r9 ENUMERATED {supported} OPTIONAL, utran-SI-AcquisitionForHO-r9 ENUMERATED {supported} OPTIONAL
NeighCellSI-AcquisitionParameters-v1530 ::= SEQUENCE {
     reportCGI-NR-EN-DC-r15
                                                                  ENUMERATED {supported}
ENUMERATED {supported}
                                                                                                                   OPTIONAL,
      reportCGI-NR-NoEN-DC-r15
                                                                                                                    OPTIONAL
{\tt NeighCellSI-AcquisitionParameters-v1550} \ ::= \ {\tt SEQUENCE} \ \big\{
     eutra-CGI-Reporting-ENDC-r15 ENUMERATED {supported} OPTIONAL, utra-GERAN-CGI-Reporting-ENDC-r15 ENUMERATED {supported} OPTIONAL
}
{\tt NeighCellSI-AcquisitionParameters-v15a0} \ ::= \ {\tt SEQUENCE} \ \big\{
     eutra-CGI-Reporting-NEDC-r15
                                                                       ENUMERATED {supported}
                                                                                                                       OPTIONAL
}
                                                                                                                     OPTIONAL,
OPTIONAL,
NeighCellSI-AcquisitionParameters-v1610 ::= SEQUENCE {
     nr-AutonomousGaps-FR2-r16

eutra-SI-AcquisitionForHO-ENDC-r16

nr-AutonomousGaps-ENDC-FR1-r16

nr-AutonomousGaps-FR1-r16

nr-AutonomousGaps-FR1-r16

nr-AutonomousGaps-FR2-r16

ENUMERATED {supported}

supported}

enumerated {supported}

supported}
                                                                        ENUMERATED {supported}
ENUMERATED {supported}
                                                                                                                         OPTIONAL,
      nr-AutonomousGaps-FR2-r16
                                                                        ENUMERATED {supported}
                                                                                                                        OPTIONAL
NeighCellSI-AcquisitionParameters-v1710 ::= SEQUENCE {
     gNB-ID-Length-Reporting-NR-EN-DC-r17 ENUMERATED {supported} OPTIONAL gNB-ID-Length-Reporting-NR-NoEN-DC-r17 ENUMERATED {supported} OPTIONAL
                                                                                                                               OPTIONAL,
SON-Parameters-r9 ::=
                                                    SEQUENCE {
     rach-Report-r9
                                                            ENUMERATED {supported}
                                                                                                           OPTIONAL
    -Parameters-r16 ::= SEQUENCE {
pur-CP-5GC-CE-ModeA-r16 ENUMERATED {supported} }
pur-UP-5GC-CE-ModeA-r16 ENUMERATED {supported} }
pur-UP-5GC-CE-ModeA-r16 ENUMERATED {supported} }
pur-UP-5GC-CE-ModeB-r16 ENUMERATED {supported} }
pur-CP-EPC-CE-ModeA-r16 ENUMERATED {supported} }
pur-UP-EPC-CE-ModeA-r16 ENUMERATED {supported} }
pur-UP-EPC-CE-ModeA-r16 ENUMERATED {supported} }
pur-UP-EPC-CE-ModeB-r16 ENUMERATED {supported} }
pur-UP-EPC-CE-ModeB-r16 ENUMERATED {supported} }
enumerated {supported}
PUR-Parameters-r16 ::=
                                                                                                           OPTIONAL,
                                                                                                             OPTIONAL,
                                                                                                            OPTIONAL,
                                                                                                            OPTIONAL,
                                                                                                             OPTIONAL,
                                                                                                            OPTIONAL,
                                                                                                             OPTIONAL,
                                                                                                             OPTIONAL,
     pur-CP-LPC-CE-MODEB-110
pur-CP-LlAck-r16
pur-FrequencyHopping-r16
pur-PUSCH-NB-MaxTBS-r16
pur-RSRP-Validation-r16
pur-SubPRB-CE-ModeA-r16
ENUMERATED {supported}
pur-SubPRB-CE-ModeA-r16
ENUMERATED {supported}
                                                                                                            OPTIONAL,
                                                                                                             OPTIONAL,
                                                                                                            OPTIONAL,
                                                                                                             OPTIONAL,
                                                                                                             OPTIONAL,
                                                            ENUMERATED {supported}
      pur-SubPRB-CE-ModeB-r16
                                                                                                             OPTIONAL
UE-BasedNetwPerfMeasParameters-r10 ::= SEQUENCE {
     loggedMeasurementsIdle-r10 ENUMERATED {supported} OPTIONAL,
```

```
standaloneGNSS-Location-r10
                                           ENUMERATED {supported} OPTIONAL
UE-BasedNetwPerfMeasParameters-v1250 ::=
                                             SEOUENCE {
    loggedMBSFNMeasurements-r12
                                             ENUMERATED {supported}
UE-BasedNetwPerfMeasParameters-v1430 ::= SEQUENCE {
    locationReport-r14
                                             ENUMERATED {supported}
                                                                        OPTIONAL
UE-BasedNetwPerfMeasParameters-v1530 ::=
                                             SEOUENCE {
                                                                      OPTIONAL,
                                             ENUMERATED {supported}
    loggedMeasBT-r15
    loggedMeasWLAN-r15
                                             ENUMERATED {supported}
    immMeasBT-r15
                                             ENUMERATED {supported}
                                                                         OPTIONAL,
                                             ENUMERATED {supported}
    immMeasWLAN-r15
                                                                         OPTIONAL
}
                                            SEQUENCE {
UE-BasedNetwPerfMeasParameters-v1610 ::=
                                                 ENUMERATED {supported}
    ul-PDCP-AvgDelay-r16
                                                                            OPTIONAL
                                             ENUMERATED {supported}
ENUMERATED {supported}
UE-BasedNetwPerfMeasParameters-v1700 ::= SEQUENCE {
                                                ENUMERATED {supported} OPTIONAL,
ENUMERATED {supported} OPTIONAL,
ENUMERATED {supported} OPTIONAL,
    loggedMeasIdleEventL1-r17
    loggedMeasIdleEventOutOfCoverage-r17
    loggedMeasUncomBarPre-r17
    immMeasUncomBarPre-r17
                                             ENUMERATED {supported}
                                                                        OPTIONAL
}
OTDOA-PositioningCapabilities-r10 ::= SEQUENCE {
    otdoa-UE-Assisted-r10
                                            ENUMERATED {supported},
    interFreqRSTD-Measurement-r10
                                            ENUMERATED (supported)
}
Other-Parameters-r11 ::=
                                         SEQUENCE {
    inDeviceCoexInd-r11
                                            ENUMERATED {supported}
                                             ENUMERATED {supported}
    powerPrefInd-r11
                                                                         OPTIONAL,
                                            ENUMERATED (supported)
    ue-Rx-TxTimeDiffMeasurements-r11
                                                                         OPTIONAL
Other-Parameters-v11d0 ::=
    inDeviceCoexInd-UL-CA-r11
                                        SEQUENCE {
                                            ENUMERATED {supported}
                                                                        OPTIONAL
Other-Parameters-v1360 ::= SEQUENCE {
    inDeviceCoexInd-HardwareSharingInd-r13
                                                ENUMERATED {supported}
                                                                            OPTIONAL
Other-Parameters-v1430 ::=
                                 SEQUENCE {
ENUMERATED {supported}
   bwPrefInd-r14
                                                                 OPTIONAL,
    rlm-ReportSupport-r14
                                    ENUMERATED {supported}
                                                                 OPTIONAL
OtherParameters-v1450 ::= SEQUENCE {
                                    ENUMERATED {supported}
   overheatingInd-r14
                                                               OPTIONAL
Other-Parameters-v1460 ::= SEQUENCE {
    nonCSG-SI-Reporting-r14 ENUMERATED {supported}
                                                                 OPTIONAL
}
OPTIONAL,
                                                                 OPTIONAL.
                                                                 OPTIONAL
}
Other-Parameters-v1540 ::=
                                   SEQUENCE {
    inDeviceCoexInd-ENDC-r15
                                   ENUMERATED {supported}
                                                                 OPTIONAL
Other-Parameters-v1610 ::=
                                SEQUENCE {
   resumeWithStoredMCG-SCells-r16 ENUMERATED {supported}
                                                                 OPTIONAL,
    resumeWithMCG-SCellConfig-r16 ENUMERATED {supported}
                                                                 OPTIONAL,
    resumeWithStoredSCG-r16 ENUMERATED {supported}
resumeWithSCG-Config-r16 ENUMERATED {supported}
mcgRLF-RecoveryViaSCG-r16 ENUMERATED {supported}
overheatingIndForSCG-r16 ENUMERATED {supported}
                                                                 OPTIONAL,
                                                                 OPTIONAL,
                                                                 OPTIONAL,
                                                                 OPTIONAL
```

```
}
Other-Parameters-v1650 ::= SEQUENCE {
    mpsPriorityIndication-r16 ENUMERATED {supported}
                                                                                    OPTIONAL
Other-Parameters-v1690 ::= SEQUENCE { ul-RRC-Segmentation-r16 ENUMER.
                                       ENUMERATED {supported} OPTIONAL
MBMS-Parameters-r11 ::=
                                            SEQUENCE {
                                                       ENUMERATED {supported} OPTIONAL, ENUMERATED {supported} OPTIONAL
     mbms-SCell-r11
     mbms-NonServingCell-r11
MBMS-Parameters-v1250 ::=
                                                 SEQUENCE {
                                                       ENUMERATED {supported} OPTIONAL
    mbms-AsyncDC-r12
    IS-Parameters-v1430 ::=
fembmsDedicatedCell-r14
                                                 SEQUENCE {
ENUMERATED {supported}
MBMS-Parameters-v1430 ::=
                                                                                     OPTIONAL,
     fembmsMixedCell-r14 ENUMERATED {supported} OPTIONAL, subcarrierSpacingMBMS-khz7dot5-r14 ENUMERATED {supported} OPTIONAL, subcarrierSpacingMBMS-khz1dot25-r14 ENUMERATED {supported} OPTIONAL
}
MBMS-Parameters-v1470 ::= SEQUENCE {
                                       CHOICE {
    mbms-MaxBW-r14
        ms-MaxBW-r14
implicitValue
explicitValue
                                              NULL,
                                                  INTEGER(2..20)
     % mbms-ScalingFactor1dot25-r14 ENUMERATED \{n3, n6, n9, n12\} OPTIONAL, mbms-ScalingFactor7dot5-r14 ENUMERATED \{n1, n2, n3, n4\} OPTIONAL
}
MBMS-Parameters-v1610 ::=
                                       SEQUENCE {
   mbms-ScalingFactor2dot5-r16 ENUMERATED {n2, n4, n6, n8} OPTIONAL,
mbms-ScalingFactor0dot37-r16 ENUMERATED {n12, n16, n20, n24} OPTIONAL,
mbms-SupportedBandInfoList-r16 SEQUENCE (SIZE (1..maxBands)) OF MBMS-SupportedBandInfo-r16
}
                                      SEQUENCE {
MBMS-Parameters-v1700 ::=
   mbms-SupportedBandInfoList-v1700
                                                       SEQUENCE (SIZE (1..maxBands)) OF MBMS-SupportedBandInfo-
              OPTIONAL
}
MBMS-SupportedBandInfo-r16 ::= SEQUENCE {
     subcarrierSpacingMBMS-khz2dot5-r16 ENUMERATED {supported}
                                                                                    OPTIONAL,
     subcarrierSpacingMBMS-khz0dot37-r16 SEQUENCE {
        timeSeparationSlot2-r16 ENUMERATED {supported} timeSeparationSlot4-r16 ENUMERATED {supported}
                                                                                           OPTIONAL,
                                                                                           OPTIONAL
     } OPTIONAL
FeMBMS-Unicast-Parameters-r14 ::= SEQUENCE {
   unicast-fembmsMixedSCell-r14 ENUMER.
   emptyUnicastRegion-r14 ENUMER.
                                                  ENUMERATED {supported} OPTIONAL, ENUMERATED {supported} OPTIONAL
SCPTM-Parameters-r13 ::=
scptm-ParallelReception-r13
-cptm-SCell-r13
                                                SEQUENCE {
                                                  ENUMERATED {supported}
                                                                                                OPTIONAL,
                                                             ENUMERATED {supported} OPTIONAL,
ENUMERATED {supported} OPTIONAL,
ENUMERATED {supported} OPTIONAL,
ENUMERATED {supported} OPTIONAL
     scptm-NonServingCell-r13
     scptm-AsyncDC-r13
}
CE-Parameters-r13 ::=
                                  SEQUENCE {
    ce-ModeA-r13
                                                   ENUMERATED {supported}
                                                                                               OPTIONAL,
     ce-ModeB-r13
                                                   ENUMERATED {supported}
                                                                                                OPTIONAL
CE-Parameters-v1320 ::= SEQUENCE {
```

```
intraFreqA3-CE-ModeA-r13
                                           ENUMERATED {supported}
                                                                               OPTIONAL,
    intraFreqA3-CE-ModeB-r13
                                           ENUMERATED
                                                       {supported}
                                                                               OPTIONAL,
    intraFreqHO-CE-ModeA-r13
                                           ENUMERATED
                                                       {supported}
                                                                               OPTIONAL,
    intraFreqHO-CE-ModeB-r13
                                           ENUMERATED {supported}
                                                                               OPTIONAL
CE-Parameters-v1350 ::=
                          SEQUENCE {
                                           ENUMERATED {supported}
                                                                               OPTIONAL
    unicastFrequencyHopping-r13
}
CE-Parameters-v1370 ::=
                           SEQUENCE {
                                           ENUMERATED {supported}
    tm9-CE-ModeA-r13
                                                                           OPTIONAL.
                                           ENUMERATED {supported}
    tm9-CE-ModeB-r13
                                                                           OPTIONAL
CE-Parameters-v1380 ::=
                           SEQUENCE {
    tm6-CE-ModeA-r13
                                           ENUMERATED {supported}
                                                                           OPTIONAL
CE-Parameters-v1430 ::=
                           SEOUENCE {
    ce-SwitchWithoutHO-r14
                                           ENUMERATED {supported}
                                                                               OPTIONAL
CE-MultiTB-Parameters-r16 ::=
                              SEQUENCE {
   pdsch-MultiTB-CE-ModeA-r16
                                ENUMERATED {supported}
                                                                       OPTIONAL.
    pdsch-MultiTB-CE-ModeB-r16
                                       ENUMERATED {supported}
                                                                       OPTIONAL,
   pusch-MultiTB-CE-ModeA-r16
                                      ENUMERATED {supported}
                                                                       OPTIONAL,
    pusch-MultiTB-CE-ModeB-r16
                                       ENUMERATED {supported}
                                                                       OPTIONAL,
                                       ENUMERATED {supported}
    ce-MultiTB-640AM-r16
                                                                       OPTIONAL,
    ce-MultiTB-EarlyTermination-r16 ENUMERATED {supported}
                                                                   OPTIONAL,
    ce-MultiTB-FrequencyHopping-r16 ENUMERATED {supported}
                                                                       OPTIONAL,
    ce-MultiTB-HARQ-AckBundling-r16
                                       ENUMERATED {supported}
                                                                       OPTIONAL,
    ce-MultiTB-Interleaving-r16
                                       ENUMERATED {supported}
                                                                       OPTIONAL,
    ce-MultiTB-SubPRB-r16
                                           ENUMERATED {supported}
                                                                           OPTIONAL
}
CE-ResourceResvParameters-r16 ::= SEQUENCE {
    subframeResourceResvDL-CE-ModeA-r16 ENUMERATED {supported}
                                                                       OPTIONAL,
    subframeResourceResvDL-CE-ModeB-r16 ENUMERATED
                                                  {supported}
                                                                       OPTIONAL,
                                                                       OPTIONAL,
    subframeResourceResvUL-CE-ModeA-r16 ENUMERATED {supported}
    subframeResourceResvUL-CE-ModeB-r16 ENUMERATED {supported}
                                                                       OPTIONAL,
    slotSymbolResourceResvDL-CE-ModeA-r16 ENUMERATED {supported}
                                                                           OPTIONAL,
                                           ENUMERATED [supported]
    slotSymbolResourceResvDL-CE-ModeB-r16
                                                                           OPTIONAL,
    slotSymbolResourceResvUL-CE-ModeA-r16
                                           ENUMERATED {supported}
                                                                           OPTIONAL,
    OPTIONAL,
                                               ENUMERATED {supported}
ENUMERATED {supported}
    subcarrierPuncturingCE-ModeA-r16
                                                                               OPTIONAL,
    subcarrierPuncturingCE-ModeB-r16
                                                                               OPTIONAL
                                   SEQUENCE {
LAA-Parameters-r13 ::=
    crossCarrierSchedulingLAA-DL-r13
                                               ENUMERATED {supported}
                                                                           OPTIONAL,
    csi-RS-DRS-RRM-MeasurementsLAA-r13
                                               ENUMERATED {supported}
                                                                           OPTIONAL,
    downlinkLAA-r13
                                               ENUMERATED {supported}
                                                                           OPTIONAL,
    endingDwPTS-r13
                                               ENUMERATED
                                                           {supported}
                                                                           OPTIONAL.
    secondSlotStartingPosition-r13
                                               ENUMERATED {supported}
                                                                           OPTIONAL,
                                               ENUMERATED {supported}
                                                                           OPTIONAL,
    tm9-LAA-r13
                                               ENUMERATED {supported}
    tm10-LAA-r13
                                                                           OPTIONAL
}
LAA-Parameters-v1430 ::=
                                       SEQUENCE {
    crossCarrierSchedulingLAA-UL-r14
                                               ENUMERATED {supported}
                                                                           OPTIONAL,
    uplinkLAA-r14
                                               ENUMERATED {supported}
                                                                           OPTIONAL,
    twoStepSchedulingTimingInfo-r14
                                               ENUMERATED {nPlus1, nPlus2, nPlus3} OPTIONAL,
    uss-BlindDecodingAdjustment-r14
                                               ENUMERATED {supported}
                                                                           OPTIONAL,
    uss-BlindDecodingReduction-r14
                                               ENUMERATED {supported}
                                                                           OPTIONAL.
    outOfSequenceGrantHandling-r14
                                               ENUMERATED {supported}
                                                                           OPTIONAL
                                       SEQUENCE {
LAA-Parameters-v1530 ::=
    aul-r15
                                               ENUMERATED {supported}
                                                                           OPTIONAL,
                                               ENUMERATED {supported}
    laa-PUSCH-Model-r15
                                                                           OPTIONAL,
    laa-PUSCH-Mode2-r15
                                               ENUMERATED
                                                           {supported}
                                                                           OPTIONAL,
    laa-PUSCH-Mode3-r15
                                               ENUMERATED {supported}
                                                                           OPTIONAL
WLAN-IW-Parameters-r12 ::= SEQUENCE {
    wlan-IW-RAN-Rules-r12
                                           ENUMERATED {supported}
                                                                       OPTIONAL.
    wlan-IW-ANDSF-Policies-r12
                                                   ENUMERATED {supported} OPTIONAL
```

```
}
LWA-Parameters-r13 ::= SEQUENCE {
    }
LWA-Parameters-v1430 ::= SEQUENCE {
   lwa-HO-WithoutWT-Change-r14 ENUMERATED {supported}
                                                                         OPTIONAL.
                                          ENUMERATED {supported}
    lwa-III.-r14
                                                                         OPTIONAL,
    lwa-UL-r14ENUMERATED { supported}wlan-PeriodicMeas-r14ENUMERATED { supported}wlan-ReportAnyWLAN-r14ENUMERATED { supported}
                                                                         OPTIONAL,
                                                                         OPTIONAL,
    wlan-SupportedDataRate-r14
                                          INTEGER (1..2048)
}
LWA-Parameters-v1440 ::=
                                 SEQUENCE {
                                          ENUMERATED {supported} OPTIONAL
    lwa-RLC-UM-r14
}
WLAN-IW-Parameters-v1310 ::= SEQUENCE {
                                                        ENUMERATED {supported} OPTIONAL
   rclwi-r13
LWIP-Parameters-r13 ::= SEQUENCE {
                                 ENUMERATED {supported}
                                                                         OPTIONAL
   lwip-r13
    lwip-Aggregation-DL-r14
lwip-Aggregation
LWIP-Parameters-v1430 ::=
                                                ENUMERATED {supported}
                                                                                       OPTIONAL.
    lwip-Aggregation-UL-r14
                                                ENUMERATED {supported}
}
NAICS-Capability-List-r12 ::= SEQUENCE (SIZE (1..maxNAICS-Entries-r12)) OF NAICS-Capability-Entry-
NAICS-Capability-Entry-r12 ::= SEQUENCE {
   numberOfNAICS-CapableCC-r12
                                                INTEGER(1..5),
    numberOfAggregatedPRB-r12
                                                ENUMERATED {
                                                    n50, n75, n100, n125, n150, n175,
                                                    n200, n225, n250, n275, n300, n350,
                                                    n400, n450, n500, spare},
}
SL-Parameters-r12 ::=
                                     SEQUENCE {
                                     ENUMERATED {supported} OPTIONAL,
FreqBandIndicatorListEUTRA-r12 OPTIONAL,
    commSimultaneousTx-r12
    commSupportedBands-r12
discSupportedBands-r12
    discSupportedBands-r12 SupportedBandInfoList-r12 OPTIONAL, discScheduledResourceAlloc-r12 ENUMERATED {supported} OPTIONAL, disc-UE-SelectedResourceAlloc-r12 ENUMERATED {supported} OPTIONAL,
                                               ENUMERATED {supported}
ENUMERATED {n50, n400}
                                                                            OPTIONAL,
    disc-SLSS-r12
    discSupportedProc-r12
}
SL-Parameters-v1310 ::=
                                     SEQUENCE {
                                                    ENUMERATED {supported} OPTIONAL,
ENUMERATED {supported} OPTIONAL,
ENUMERATED {supported} OPTIONAL,
ENUMERATED {supported} OPTIONAL
    discSysInfoReporting-r13
    commMultipleTx-r13
    discInterFreqTx-r13
    discPeriodicSLSS-r13
}
    zoneBasedPoolSelection-r14

y=Autonomous Y-1
SL-Parameters-v1430 ::=
    ue-AutonomousWithDort: 1 ENUMERATED {supported} ue-AutonomousWithDort: 1
                                                                                       OPTIONAL,
                                                                                       OPTIONAL,
    ue-AutonomousWithPartialSensing-r14 ENUMERATED {supported}
                                                                                       OPTIONAL,
                                               ENUMERATED {supported}
    sl-CongestionControl-r14
                                                                                       OPTIONAL,
    v2x-TxWithShortResvInterval-r14
                                               ENUMERATED {supported}
                                                                                       OPTIONAL,
    v2x-numberTxRxTiming-r14
                                               INTEGER(1..16)
    v2x-nonAdjacentPSCCH-PSSCH-r14
                                               ENUMERATED {supported}
ENUMERATED {supported}
                                                                                       OPTIONAL,
    slss-TxRx-r14
                                                                                       OPTIONAL,
    \verb|v2x-SupportedBandCombinationList-r14| & V2X-SupportedBandCombination-r14| & OPTIONAL \\
SL-Parameters-v1530 ::=
                                       SEQUENCE {
                                              ENUMERATED {single, multiple} OPTIONAL,
   slss-SupportedTxFreq-r15
```

```
sl-64QAM-Tx-r15
                                                                      ENUMERATED {supported}
                                                                                                                                    OPTIONAL,
       sl-TxDiversity-r15
                                                                            ENUMERATED {supported}
                                                                                                                                           OPTIONAL,
                                                                            UE-CategorySL-r15
      ue-CategorySL-r15
                                                                                                                                           OPTIONAL,
       v2x-Supported Band Combination List-v1530 \\ V2X-Supported Band Combination-v1530 \\ OPTION ALL Supported Band Combination Supported Supported Band Combination Supported Supported Ban
SL-Parameters-v1540 ::=
                                                              SEQUENCE {
                                                                     ENUMERATED {supported}
                                                                                                                                    OPTIONAL,
       sl-640AM-Rx-r15
       sl-RateMatchingTBSScaling-r15
                                                                           ENUMERATED {supported}
                                                                                                                                           OPTIONAL,
       sl-LowT2min-r15
                                                                            ENUMERATED {supported}
                                                                                                                                           OPTIONAL,
       v2x-SensingReportingMode3-r15
                                                                            ENUMERATED {supported}
                                                                                                                                           OPTIONAL
                                             SEQUENCE {
SL-Parameters-v1610 ::=
       sl-ParameterNR-r16
                                                       OCTET STRING
                                                                                                                                    OPTIONAL,
                                                       V2X-SupportedBandCombinationEUTRA-NR-r16
       dummy
                                                                                                                                    OPTIONAL
}
                                                                     SEQUENCE {
SL-Parameters-v1630 ::=
       v2x-SupportedBandCombinationListEUTRA-NR-r16
                                                                                          V2X-SupportedBandCombinationEUTRA-NR-v1630
       OPTIONAL
SL-Parameters-v1710 ::=
                                                                     SEQUENCE {
       v2x-SupportedBandCombinationListEUTRA-NR-v1710 V2X-SupportedBandCombinationEUTRA-NR-v1710
       OPTIONAL
UE-CategorySL-r15 ::=
                                                       SEOUENCE {
                                                                     INTEGER(1..5),
       ue-CategorySL-C-TX-r15
       ue-CategorySL-C-RX-r15
                                                                      INTEGER(1..4)
V2X-SupportedBandCombination-r14 ::= SEQUENCE (SIZE (1..maxBandComb-r13)) OF V2X-
BandCombinationParameters-r14
V2X-SupportedBandCombination-v1530 ::= SEQUENCE (SIZE (1..maxBandComb-r13)) OF V2X-
BandCombinationParameters-v1530
V2X-BandCombinationParameters-r14 ::= SEQUENCE (SIZE (1.. maxSimultaneousBands-r10)) OF V2X-
BandParameters-r14
V2X-BandCombinationParameters-v1530 ::= SEQUENCE (SIZE (1.. maxSimultaneousBands-r10)) OF V2X-
BandParameters-v1530
V2X-SupportedBandCombinationEUTRA-NR-r16 := SEQUENCE (SIZE (1..maxBandCombSidelinkNR-r16)) OF
V2X-BandParametersEUTRA-NR-r16
V2X-SupportedBandCombinationEUTRA-NR-v1630 ::= SEQUENCE (SIZE (1..maxBandCombSidelinkNR-r16)) OF
V2X-BandCombinationParametersEUTRA-NR-v1630
V2X-SupportedBandCombinationEUTRA-NR-v1710 ::= SEQUENCE (SIZE (1..maxBandCombSidelinkNR-r16)) OF
V2X-BandCombinationParametersEUTRA-NR-v1710
V2X-BandCombinationParametersEUTRA-NR-v1630 ::= SEQUENCE {
      bandListSidelinkEUTRA-NR-r16
                                                                                          SEQUENCE (SIZE (1.. maxSimultaneousBands-r10))
OF V2X-BandParametersEUTRA-NR-r16,
      bandListSidelinkEUTRA-NR-v1630
                                                                                         SEQUENCE (SIZE (1.. maxSimultaneousBands-r10))
OF V2X-BandParametersEUTRA-NR-v1630
V2X-BandCombinationParametersEUTRA-NR-v1710 ::= SEQUENCE (SIZE (1..maxSimultaneousBands-r10)) OF
V2X-BandParametersEUTRA-NR-v1710
V2X-BandParametersEUTRA-NR-r16 ::= CHOICE {
                                                                            SEQUENCE {
                                                                             V2X-BandParameters-r14
              v2x-BandParameters1-r16
                                                                                                                            OPTIONAL,
             v2x-BandParameters2-r16
                                                                            V2X-BandParameters-v1530
                                                                                                                                 OPTIONAL
       },
                                                                            SEQUENCE {
              v2x-BandParametersNR-r16
                                                                                          OCTET STRING
                                                                                                                                           OPTIONAL
}
V2X-BandParametersEUTRA-NR-v1630 ::=
                                                                     CHOICE {
                                                                            NULL.
       eutra
                                                                            SEQUENCE {
      nr
              tx-Sidelink-r16
                                                                                   ENUMERATED {supported} OPTIONAL,
```

```
rx-Sidelink-r16
                                                  ENUMERATED {supported} OPTIONAL
}
V2X-BandParametersEUTRA-NR-v1710 ::=
                                        SEQUENCE {
                                                       OCTET STRING
  v2x-BandParametersEUTRA-NR-v1710
                                                                              OPTIONAL
SupportedBandInfoList-r12 ::=
                                    SEQUENCE (SIZE (1..maxBands)) OF SupportedBandInfo-r12
SupportedBandInfo-r12 ::=
                                    SEQUENCE {
   support-r12
                                              ENUMERATED {supported} OPTIONAL
FreqBandIndicatorListEUTRA-r12 ::=
                                         SEQUENCE (SIZE (1..maxBands)) OF FreqBandIndicator-r11
MMTEL-Parameters-r14 ::=
                                   SEQUENCE {
                                                  ENUMERATED {supported} OPTIONAL,
ENUMERATED {supported} OPTIONAL,
ENUMERATED {supported} OPTIONAL,
ENUMERATED {supported} OPTIONAL
   delayBudgetReporting-r14
   pusch-Enhancements-r14
   recommendedBitRate-r14
   recommendedBitRateQuery-r14
   recommendedBitRateMultiplier-r16 SEQUENCE {
MMTEL-Parameters-v1610 ::=
                                                 ENUMERATED {supported}
                                                                                   OPTIONAL
SRS-CapabilityPerBandPair-r14 ::= SEQUENCE {
   retuningInfo
                                 SEOUENCE {
                                ENUMERATED {n0, n0dot5, n1, n1dot5, n2, n2dot5, n3,
       rf-RetuningTimeDL-r14
                                                       n3dot5, n4, n4dot5, n5, n5dot5, n6, n6dot5,
                                                       n7, spare1} OPTIONAL,
                                         ENUMERATED {n0, n0dot5, n1, n1dot5, n2, n2dot5, n3, n3dot5, n4, n4dot5, n5, n5dot5, n6, n6dot5,
        rf-RetuningTimeUL-r14
                                                      n7, spare1}
                                                                       OPTIONAL
}
{\tt SRS-CapabilityPerBandPair-v14b0} \; ::= \; {\tt SEQUENCE} \; \left\{ \right. \\
    srs-FlexibleTiming-r14 ENUMERATED {supported} OPTIONAL, srs-HARQ-ReferenceConfig-r14 ENUMERATED {supported} OPTIONAL,
                                         ENUMERATED {supported} OPTIONAL
SRS-CapabilityPerBandPair-v1610::= SEQUENCE {
    addSRS-CarrierSwitching-r16 ENUMERATED {supported} OPTIONAL
HighSpeedEnhParameters-r14 ::= SEQUENCE {
   measurementEnhancements-r14 ENUMERATED {supported} demodulationEnhancements-r14 ENUMERATED {supported}
                                                                   OPTIONAL,
                                                               OPTIONAL,
   prach-Enhancements-r14 ENUMERATED {supported}
                                                                   OPTIONAL
HighSpeedEnhParameters-v1610 ::= SEQUENCE {
                                                                     OPTIONAL,
   measurementEnhancements2-r16 ENUMERATED {supported} demodulationEnhancements2-r16 ENUMERATED {supported}
                                                                       OPTIONAL.
                                                                       OPTIONAL,
   interRAT-enhancementNR-r16 ENUMERATED {supported}
}
-- ASN1STOP
```

UE-EUTRA-Capability field descriptions	FDD TDD diff
accessStratumRelease	-
Set to rel17 in this version of the specification. NOTE 7.	
additionalRx-Tx-PerformanceReq	-
Indicates whether the UE supports the additional Rx and Tx performance requirement for a	
given band combination as specified in TS 36.101 [42].	
addSRS	-
Presence of this field indicates the UE supports the additional SRS symbol(s) within the normal	
UL subframes in TDD as described in TS 36.213 [23].	
addSRS-1T2R	-
Indicates whether the UE supports selecting one antenna among two antennas to transmit	
additional SRS symbol(s) for the corresponding band of the band combination as described in	
TS 36.213 [23].	
addSRS-1T4R	_
ndicates whether the UE supports selecting one antenna among four antennas to transmit	
additional SRS symbol(s) for the corresponding band of the band combination as described in	
rs 36.213 [23].	
addSRS-2T4R-2Pairs	
	-
Indicates whether the UE supports selecting one antenna pair between two antenna pairs to	
ransmit additional SRS symbol(s) simultaneously for the corresponding band of the band	
combination as described in TS 36.213 [23].	
addSRS-2T4R-3Pairs	-
ndicates whether the UE supports selecting one antenna pair among three antenna pairs to	
ransmit additional SRS symbol(s) simultaneously for the corresponding band of the band	
combination as described in TS 36.213 [23].	
addSRS-AntennaSwitching (in addSRS)	_
Value useBasic indicates the antenna switching capabilities for additional SRS symbol(s) for a	
pand of band combination for which the capability is not signalled in bandParameterList-v1610	
s the same as indicated by <i>bandParameterList-v1380</i> and/or <i>bandParameterList-v1530</i> for the	
concerned band of band combination.	
addSRS-AntennaSwitching (in bandParameterList-v1610)	-
If signalled, the field indicates the antenna switching capabilities for additional SRS symbol(s)	
for the concerned band of band combination.	
addSRS-CarrierSwitching (in addSRS)	-
Indicates whether carrier switching is supported for additional SRS symbol(s) for all band pairs	
of band combinations for which UE supports SRS carrier switching. This field is included only if	
srs-CapabilityPerBandPairList-r14 is included. If this field is included, addSRS-CarrierSwitching	
(in bandParameterList-v1610) is not included.	
addSRS-CarrierSwitching (in bandParameterList-v1610)	
Indicates whether carrier switching is supported for additional SRS symbol(s) for the concerned	
band pair of band combination. This field is included only if srs-CapabilityPerBandPairList-r14 is	
ncluded. If this field is included, addSRS-CarrierSwitching (in addSRS) is not included.	
addSRS-FrequencyHopping (in addSRS)	-
ndicates whether frequency hopping is supported for additional SRS symbol(s) for all bands of	
pand combinations for which the capability is not signalled in bandParameterList-v1610.	
addSRS-FrequencyHopping (in bandParameterList-v1610)	-
f signalled, the field indicates whether frequency hopping is supported for additional SRS	
symbol(s) for the concerned band of band combination.	
allowedCellList	-
ndicates whether the UE supports EUTRA allowed-cell listing to limit the set of cells applicable	
or measurements.	
alternativeTBS-Indices	
ndicates whether the UE supports alternative TBS indices has 26A and 33A as specified in TS	_
36.213 [23].	k i
alternativeTBS-Index	No
ndicates whether the UE supports alternative TBS index I <sub>TBS</sub> 33B as specified in TS 36.213	
23].	
alternativeTimeToTrigger	No
ndicates whether the UE supports alternativeTimeToTrigger.	
altFreqPriority	No
ndicates whether the UE supports alternative cell reselection priority.	
altMCS-Table	Yes
Indicates whether the UE supports the 6-bit MCS table as specified in TS 36.212 [22] and TS	

UE-EUTRA-Capability field descriptions	FDD/ TDD diff
aperiodicCSI-Reporting Indicates whether the UE supports aperiodic CSI reporting with 3 bits of the CSI request field	No
size as specified in TS 36.213 [23], clause 7.2.1 and/or aperiodic CSI reporting mode 1-0 and mode 1-1 as specified in TS 36.213 [23], clause 7.2.1. The first bit is set to "1" if the UE supports the aperiodic CSI reporting with 3 bits of the CSI request field size. The second bit is	
supports the aperiodic CSI reporting with 3 bits of the CSI request field size. The second bit is set to "1" if the UE supports the aperiodic CSI reporting mode 1-0 and mode 1-1.	
aperiodicCsi-ReportingSTTI Indicates whether the UE supports aperiodic CSI reporting for short TTI as specified in TS	Yes
36.213 [23], clause 7.2.1.  appliedCapabilityFilterCommon	
Contains the filter, applied by the UE, common for all MR-DC related capability containers that are requested and as defined by <i>UE-CapabilityRequestFilterCommon</i> IE in TS 38.331 [82].	
assistInfoBitForLC Indicates whether the UE supports assistance information bit for local cache.	-
aul	-
Indicates whether the UE supports AUL as specified n TS 36.321 [6].  bandCombinationListEUTRA	
One entry corresponding to each supported band combination listed in the same order as in supportedBandCombination.	
BandCombinationParameters-v1090, BandCombinationParameters-v10i0, BandCombinationParameters-v1270	-
If included, the UE shall include the same number of entries, and listed in the same order, as in BandCombinationParameters-r10.	
BandCombinationParameters-v1130	-
The field is applicable to each supported CA bandwidth class combination (i.e. CA configuration in TS 36.101 [42], clause 5.6A.1) indicated in the corresponding band combination. If included, the UE shall include the same number of entries, and listed in the same order, as in BandCombinationParameters-r10.	
bandEUTRA	-
E-UTRA band as defined in TS 36.101 [42] and TS 36.102 [113] for NTN capable UE. In case the UE includes <i>bandEUTRA-v9e0</i> or <i>bandEUTRA-v1090</i> , the UE shall set the corresponding entry of <i>bandEUTRA</i> (i.e. without suffix) or <i>bandEUTRA-r10</i> respectively to <i>maxFBI</i> .	
bandInfoNR-v1610	-
One entry corresponding to each supported E-UTRA band listed in the same order as in supportedBandListEUTRA. If absent, network assumes gap is required when measurement is performed on any NR bands while UE is served by cell(s) belongs to a E-UTRA band listed in supportedBandListEUTRA except for the FR2 inter-RAT measurement which depends on the support of independentGapConfig.	
bandListEUTRA  One entry corresponding to each supported E-UTRA band listed in the same order as in supportedBandListEUTRA.	-
bandParameterList-v1380 If included, the UE shall include the same number of entries listed in the same order as the	-
band entries in the corresponding band combination.  bandParametersUL, bandParametersDL	
Indicates the supported parameters for the band. Each of CA-MIMO-ParametersUL and CA-MIMO-ParametersDL can be included only once for one band in a single band combination	
entry. beamformed (in MIMO-CA-ParametersPerBoBCPerTM)	
If signalled, the field indicates for a particular transmission mode, the UE capabilities concerning beamformed EBF/ FD-MIMO operation (class B) applicable for the concerned band combination.	
beamformed (in MIMO-UE-ParametersPerTM)	Yes
Indicates for a particular transmission mode, the UE capabilities concerning beamformed EBF/FD-MIMO operation (class B) applicable for band combinations for which the concerned	
capabilities are not signalled.  benefitsFromInterruption	No
Indicates whether the UE power consumption would benefit from being allowed to cause interruptions to serving cells when performing measurements of deactivated SCell carriers for measCycleSCell of less than 640ms, as specified in TS 36.133 [16].	NO
bwPrefInd	-
Indicates whether the UE supports maximum PDSCH/PUSCH bandwidth preference indication.	

UE-EUTRA-Capability field descriptions	FDD, TDD diff
Ca-BandwidthClass The CA bandwidth class supported by the UE as defined in TS 36.101 [42], Table 5.6A-1. The UE explicitly includes all the supported CA bandwidth class combinations in the band combination signalling. Support for one CA bandwidth class does not implicitly indicate support for another CA bandwidth class.	-
ca-IdleModeMeasurements Indicates whether UE supports reporting measurements performed during RRC_IDLE.	-
ca-IdleModeValidityArea	
Indicates whether UE supports validity area for IDLE measurements during RRC_IDLE.	
cch-IM-RefRecTypeA-OneRX-Port This field defines whether the DL Category 1bis or the DL Category M2 UE supports Type A downlink control channel interference mitigation (CCH-IM) receiver "LMMSE-IRC + CRS-IC" for PDCCH/PCFICH/PHICH/EPDCCH receive processing (Enhanced downlink control channel performance requirements Type A in TS 36.101 [6]).	No
cch-InterfMitigation-RefRecTypeA, cch-InterfMitigation-RefRecTypeB, cch-	-
InterfMitigation-MaxNumCCs The field cch-InterfMitigation-RefRecTypeA defines whether the UE supports Type A downlink control channel interference mitigation (CCH-IM) receiver "LMMSE-IRC + CRS-IC" for PDCCH/PCFICH/PHICH/EPDCCH receive processing (Enhanced downlink control channel performance requirements Type A in the TS 36.101 [6]). The field cch-InterfMitigation-RefRecTypeB defines whether the UE supports Type B downlink CCH-IM receiver "E-LMMSE-IRC + CRS-IC" for PDCCH/PCFICH/PHICH receive processing in synchronous networks (Enhanced downlink control channel performance requirements Type B in the TS 36.101 [6]). The UE supporting the capability defined by cch-InterfMitigation-RefRecTypeB-r13 shall also support the capability defined by cch-InterfMitigation-RefRecTypeA-r13.	
If the UE sets one or more of the fields <i>cch-InterfMitigation-RefRecTypeA</i> and <i>cch-InterfMitigation-RefRecTypeB</i> to "supported", the UE shall include the parameter <i>cch-InterfMitigation-MaxNumCCs</i> to indicate that the UE supports CCH-IM on at least one arbitrary downlink CC for up to <i>cch-InterfMitigation-MaxNumCCs</i> downlink CC CA configuration. The UE shall not include the parameter <i>cch-InterfMitigation-MaxNumCCs</i> if neither <i>cch-InterfMitigation-RefRecTypeA</i> nor <i>cch-InterfMitigation-RefRecTypeB</i> is present. The UE may not perform CCH-IM on more than 1 DL CCs. For example, the UE sets " <i>cch-InterfMitigation-MaxNumCCs</i> = 3" to indicate that UE supports CCH-IM on at least one DL CC for supported non-CA, 2DL CA and 3DL CA configurations. For CA scenarios, the CCH-IM is guaranteed to be supported on at least one arbitrary component carrier.	
cdma2000-NW-Sharing	-
Indicates whether the UE supports network sharing for CDMA2000.	
ce-ClosedLoopTxAntennaSelection Indicates whether the UE supports UL closed-loop Tx antenna selection in CE mode A, as specified in TS 36.212 [22].	Yes
ce-CQI-AlternativeTable	Yes
ndicates whether the UE supports alternative CQI table in CE mode A. See TS 36.213 [22].	
ce-CRS-IntfMitig Indicates whether UE supports CRS interference mitigation, i.e., value supported indicates UE does not rely on the CRS outside certain PRBs and subframes as defined in TS 36.133 [16], clauses 3.6.1.2 and 3.6.1.3, and TS 36.213 [23] when operating in coverage enhancement mode.	Yes
ce-CSI-RS-Feedback Indicates whether the UE supports CSI-RS based feedback when the UE is operating in CE mode A, as specified in TS 36.213 [23].	Yes
ce-CSI-RS-FeedbackCodebookRestriction Indicates whether the UE supports CSI-RS based feedback with codebook subset restriction when the UE in CE is operating in CE mode A, as specified in TS 36.213 [23].	Yes
ce-DL-ChannelQualityReporting Indicates whether UE operating in CE mode supports aperiodic DL channel quality reporting in RRC_CONNECTED.	Yes
ce-EUTRA-5GC Indicates whether the UE operating in CE mode A or B supports E-UTRA/5GC.	Yes
ce-EUTRA-5GC-HO-ToNR-FDD-FR1 Indicates whether the UE operating in CE mode A or B supports handover from E-UTRA/5GC to NR FDD FR1.	Yes
ce-EUTRA-5GC-HO-ToNR-TDD-FR1 Indicates whether the UE operating in CE mode A or B supports handover from E-UTRA/5GC	Yes

UE-EUTRA-Capability field descriptions	FDD/ TDD diff
ce-EUTRA-5GC-HO-ToNR-FDD-FR2	Yes
Indicates whether the UE operating in CE mode A or B supports handover from E-UTRA/5GC to NR FDD FR2.	
ce-EUTRA-5GC-HO-ToNR-TDD-FR2	Yes
Indicates whether the UE operating in CE mode A or B supports handover from E-UTRA/5GC to NR TDD FR2-1 as specified in TS 38.101-x [xx].	
ce-EUTRA-5GC-HO-ToNR-TDD-FR2-2	-
Indicates whether the UE operating in CE mode A or B supports handover from E-UTRA/5GC to NR TDD FR2-2 as specified in TS 38.101-x [xx].	
ce-HARQ-AckBundling Indicates whether the UE supports HARQ-ACK bundling in half duplex FDD in CE mode A, as specified in TS 36.212 [22] and TS 36.213 [23].	-
ce-InactiveState	No
Indicates whether UE operating in CE mode supports RRC_INACTIVE when connected to 5GC. A UE including this field also supports short eDRX cycles in RRC_INACTIVE when connected to 5GC.	
ce-MeasRSS-Dedicated, ce-MeasRSS-DedicatedSameRBs	Yes
Indicates whether the UE operating in CE mode A/B supports receiving neighbour cell RSS information in dedicated signalling and performing serving cell and neighbour cell	
measurements based on RSS in RRC_CONNECTED as specified in TS 36.306 [5] and TS 36.133 [16].	
ce-ModeA, ce-ModeB	-
Indicates whether the UE supports operation in CE mode A and/or B, as specified in TS 36.211 [21] and TS 36.213 [23].	
crs-ChEstMPDCCH-CE-ModeA, crs-ChEstMPDCCH-CE-ModeB	Yes
Indicates whether UE operating in CE mode A/B supports using CRS for improving MPDCCH channel estimation.	
crs-ChEstMPDCCH-CSI	Yes
Indicates whether UE operating in CE mode A supports CSI-based mapping for improving MPDCCH channel estimation.	
crs-ChEstMPDCCH-ReciprocityTDD	No
Indicates whether UE operating in CE mode A supports using CRS for improving MPDCCH channel estimation with reciprocity-based candidates in TDD.	
ceMeasurements	-
Indicates whether the UE supports intra-frequency RSRQ measurements and inter-frequency RSRP and RSRQ measurements in RRC_CONNECTED, as specified in TS 36.133 [16] and TS 36.304 [4].	
ce-MultiTB-64QAM	Yes
Indicates whether the UE supports downlink 64QAM for multiple TB scheduling in connected mode for PDSCH when operating in CE mode A, as specified in TS 36.211 [21] and TS 36.213 [23]. This field can be included only if <i>ce-PUSCH-SubPRB-Allocation</i> is included.	163
ce-MultiTB-EarlyTermination	Yes
Indicates whether the UE supports early termination of PUSCH transmission for multiple TB scheduling in connected mode, as specified in TS 36.211 [21] and TS 36.213 [23].	103
ce-MultiTB-FrequencyHopping	Yes
Indicates whether the UE supports frequency hopping for multiple TB scheduling for PDSCH/PUSCH in connected mode, as specified in TS 36.211 [21] and TS 36.213 [23].	
ce-MultiTB-HARQ-AckBundling	Yes
Indicates whether the UE supports downlink HARQ-ACK bundling for multiple TB scheduling in connected mode when operating in CE mode A, as specified in TS 36.211 [21] and TS 36.213 [23].	
ce-MultiTB-Interleaving	Yes
Indicates whether the UE supports TB interleaving for multiple TB scheduling in connected mode for PDSCH/PUSCH when operating in CE mode A or B, as specified in TS 36.211 [21]	
and TS 36.213 [23].	Vac
ce-MultiTB-SubPRB Indicates whether the UE supports sub-PRB allocation for multiple TB scheduling for PUSCH in connected mode, as specified in TS 36.211 [21] and TS 36.213 [23]. This field can be included	Yes
only if ce-PUSCH-SubPRB-Allocation is included.	
ce-PDSCH-14HARQProcesses, ce-PDSCH-14HARQProcesses-Alt2	-
Indicates whether the UE supports 14-HARQ processes, as specified in TS 36.212 [22].  ce-PDSCH-64QAM	Yes
Indicates whether the UE supports 64QAM for non-repeated unicast PDSCH in CE mode A.	

UE-EUTRA-Capability field descriptions	FDD/ TDD diff
ce-PDSCH-FlexibleStartPRB-CE-ModeA, ce-PDSCH-FlexibleStartPRB-CE-ModeB, ce-PUSCH-FlexibleStartPRB-CE-ModeB This field indicates whether UE supports flexible starting PRB for PDSCH/PUSCH when operating in coverage enhancement mode A/B, as specified in TS 36.211 [21] and TS 36.213 [22].	Yes
ce-PDSCH-MaxTBS Indicates whether the UE supports downlink TBS of 1736 bits, as specified in TS 36.212 [22].	-
ce-PDSCH-PUSCH-Enhancement	No
Indicates whether the UE supports new numbers of repetitions for PUSCH and modulation restrictions for PDSCH/PUSCH in CE mode A as specified in TS 36.212 [22] and TS 36.213 [23].	
ce-PDSCH-PUSCH-MaxBandwidth Indicates the maximum supported PDSCH/PUSCH channel bandwidth in CE mode A and B, as specified in TS 36.212 [22] and TS 36.213 [23]. Value bw5 corresponds to 5 MHz and value bw20 corresponds to 20 MHz. If the field is absent the maximum PDSCH/PUSCH channel bandwidth in CE mode A and B is 1.4 MHz. If the setting of this parameter is 20 MHz, the max supported PUSCH channel bandwidth in CE mode A is 5 MHz. The maximum PUSCH channel bandwidth in CE mode B is 1.4 MHz regardless of the setting of this parameter. Parameter: transmission bandwidth configuration, see TS 36.101 [42], table 5.6-1.	Yes
ce-PDSCH-TenProcesses Indicates whether the UE supports 10 DL HARQ processes in FDD in CE mode A.	Yes
ce-PUCCH-Enhancement Indicates whether the UE supports repetition levels 64 and 128 for PUCCH in CE Mode B, as specified in TS 36.211 [21] and in TS 36.213 [23].	No
ce-PUSCH-NB-MaxTBS Indicates whether the UE supports 2984 bits max UL TBS in 1.4 MHz in CE mode A operation, as specified in TS 36.212 [22] and TS 36.213 [23].	Yes
ce-PUSCH-SubPRB-Allocation Indicates whether the UE supports sub-PRB resource allocation for PUSCH in CE mode A or B, as specified in TS 36.211 [21], TS 36.212 [22] and TS 36.213 [23].	Yes
ce-RetuningSymbols Indicates the number of retuning symbols in CE mode A and B as specified in TS 36.211 [21]. Value n0 corresponds to 0 retuning symbols and value n1 corresponds to 1 retuning symbol. If the field is absent the number of retuning symbols in CE mode A and B is 2.	No
ce-SchedulingEnhancement Indicates whether the UE supports dynamic HARQ-ACK delay for HD-FDD in CE mode A as specified in TS 36.212 [22] and TS 36.213 [23].	No
ce-SRS-Enhancement Indicates whether the UE supports SRS coverage enhancement in TDD with support of SRS combs 2 and 4 as specified in TS 36.213 [23]. This field can be included only if ce-SRS-EnhancementWithoutComb4 is not included.	Yes
ce-SRS-EnhancementWithoutComb4 Indicates whether the UE supports SRS coverage enhancement in TDD with support of SRS comb 2 but without support of SRS comb 4 as specified in TS 36.213 [23]. This field can be included only if ce-SRS-Enhancement is not included.	-
ce-SwitchWithoutHO Indicates whether the UE supports switching between normal mode and enhanced coverage mode without handover.	-
ce-UL-HARQ-ACK-Feedback This field indicates whether UE supports uplink HARQ ACK feedback when operating in coverage enhancement, as specified in TS36.213 [22].	Yes
channelMeasRestriction Indicates for a particular transmission mode whether the UE supports channel measurement restriction.	Yes
cho Indicates whether the UE supports conditional handover including execution condition, candidate cell configuration and maximum 8 candidate cells.	Yes
cho-Failure Indicates whether the UE supports conditional handover during re-establishment procedure when the selected cell is configured as candidate cell for condition handover.	Yes
cho-FDD-TDD	No
Indicates whether the UE supports conditional handover between FDD and TDD cells.  cho-TwoTriggerEvents  Indicates whether the UE supports 2 trigger events for same execution condition. It is mandatory supported if the UE suppors cho.	Yes

UE-EUTRA-Capability field descriptions	FDD, TDD diff
codebook-HARQ-ACK	No
Indicates whether the UE supports determining HARQ ACK codebook size based on the DAI-	
ased solution and/or the number of configured CCs. The first bit is set to "1" if the UE supports	
the DAI-based codebook size determination. The second bit is set to "1" if the UE supports the	
codebook determination based on the number of configured CCs.	
commMultipleTx	-
Indicates whether the UE supports multiple transmissions of sidelink communication to different	
destinations in one SC period. If <i>commMultipleTx-r13</i> is set to supported then the UE support 8	
transmitting sidelink processes.	
commSimultaneousTx	-
Indicates whether the UE supports simultaneous transmission of EUTRA and sidelink	
communication (on different carriers) in all bands for which the UE indicated sidelink support in	
a band combination (using commSupportedBandsPerBC).	
commSupportedBands	-
Indicates the bands on which the UE supports sidelink communication, by an independent list	
of bands i.e. separate from the list of supported E-UTRA band, as indicated in	
supportedBandListEUTRA.	
commSupportedBandsPerBC	-
Indicates, for a particular band combination, the bands on which the UE supports simultaneous	
reception of EUTRA and sidelink communication. If the UE indicates support simultaneous	
transmission (using <i>commSimultaneousTx</i> ), it also indicates, for a particular band combination,	
the bands on which the UE supports simultaneous transmission of EUTRA and sidelink	
communication. The first bit refers to the first band included in <i>commSupportedBands</i> , with	
value 1 indicating sidelink is supported.	
configN (in MIMO-CA-ParametersPerBoBCPerTM)	
If signalled, the field indicates for a particular transmission mode whether the UE supports non-	-
precoded EBF/ FD-MIMO (class A) related configuration N for the concerned band	
combination.	
configN (in MIMO-UE-ParametersPerTM)	Yes
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Indicates for a particular transmission mode whether the UE supports non-precoded EBF/ FD-MIMO (class A) related configuration N for band combinations for which the concerned	
capabilities are not signalled.  continueEHC-Context	NI-
	No
Indicates that the UE supports EHC context continuation operation where the UE keeps the	
established EHC context(s) upon PDCP re-establishment, as specified in TS 36.323 [8].	
crossCarrierScheduling	Yes
crossCarrierScheduling-B5C	No
Indicates whether the UE supports cross carrier scheduling beyond 5 DL CCs.	
crossCarrierSchedulingLAA-DL	-
Indicates whether the UE supports cross-carrier scheduling from a licensed carrier for LAA	
cell(s) for downlink. This field can be included only if downlinkLAA is included.	
crossCarrierSchedulingLAA-UL	-
Indicates whether the UE supports cross-carrier scheduling from a licensed carrier for LAA	
cell(s) for uplink. This field can be included only if <i>uplinkLAA</i> is included.	
crs-DiscoverySignalsMeas	Yes
Indicates whether the UE supports CRS based discovery signals measurement, and	
PDSCH/EPDCCH RE mapping with zero power CSI-RS configured for discovery signals.	
crs-IM-TM1-toTM9-OneRX-Port	No
Indicates whether the DL Cateogry 1bis UE ot the DL Category M2 UE supports CRS	
interference mitigation (IM) while operating in the following transmission modes (TM): TM 1, TM	
2,, TM 8 and TM 9.	
crs-InterfHandl	Yes
Indicates whether the UE supports CRS interference handling.	
crs-InterfMitigationTM10	No
The field defines whether the UE supports CRS interference mitigation in transmission mode	. 10
The help demines which is to the dupporte of the interference minigation in transmission mode	
10. The UE supporting the <i>crs-InterfMitigationTM10</i> capability shall also support the <i>crs-</i>	

UE-EUTRA-Capability field descriptions	FDD/ TDD diff
crs-InterfMitigationTM1toTM9 Indicates whether the UE supports CRS interference mitigation (IM) while operating in the following transmission modes (TM): TM 1, TM 2,, TM 8 and TM 9. The UE shall not include the field if it does not support CRS IM in TMs 1-9. If the field is present, the UE supports CRS-IM on at least one arbitrary downlink CC for up to crs-InterfMitigationTM1toTM9-r13 downlink CC CA configuration. The UE signals crs-InterfMitigationTM1toTM9-r13 value to indicate the maximum crs-InterfMitigationTM1toTM9-r13 downlink CC CA configuration where UE may apply CRS IM. For example, the UE sets "crs-InterfMitigationTM1toTM9-r13 = 3" to indicate that the UE supports CRS-IM on at least one DL CC for supported non-CA, 2DL CA and 3DL CA configurations. The UE supporting the crs-InterfMitigationTM1toTM9-r13 capability shall	-
also support the crs-InterfHandI-r11 capability.  crs-IntfMitig Indicate whether the UE supports CRS interference mitigation as specified in TS 36.133 [16], clause 3.6.1.1.	Yes
crs-LessDwPTS Indicates whether the UE supports TDD special subframe configuration 10 without CRS transmission on the 5th symbol of DwPTS, i.e. ssp10-CRS-LessDwPTS, as specified in TS 36.211 [17].	-
csi-ReportingAdvanced, csi-ReportingAdvancedMaxPorts (in MIMO-CA-ParametersPerBoBCPerTM)  If signalled, the field indicates that for a particular transmission mode, the maximum number of CSI-RS ports supported by the UE for advanced CSI reporting is different in the concerned band of band combination than the value indicated by the field csi-ReportingAdvanced or csi-ReportingAdvancedMaxPorts in MIMO-UE-ParametersPerTM. The UE shall not include both csi-ReportingAdvanced and csi-ReportingAdvancedMaxPorts for a particular transmission mode in the concerned band of band combination.	-
csi-ReportingAdvanced (in MIMO-UE-ParametersPerTM) Indicates for a particular transmission mode the maximum number of CSI-RS ports supported by the UE for advanced CSI reporting. The field csi-ReportingAdvanced indicates 32 CSI-RS ports. The UE shall not include both csi-ReportingAdvanced and csi-ReportingAdvancedMaxPorts for a particular transmission mode.	Yes
csi-ReportingAdvancedMaxPorts (in MIMO-UE-ParametersPerTM) Indicates for a particular transmission mode the maximum number of CSI-RS ports supported by the UE for advanced CSI reporting. The field csi-ReportingAdvancedMaxPorts indicates 8, 12, 16, 20, 24 or 28 CSI-RS ports. The UE shall not include both csi-ReportingAdvanced and csi-ReportingAdvancedMaxPorts for a particular transmission mode.	-
csi-ReportingNP (in MIMO-CA-ParametersPerBoBCPerTM)  If signalled, value different indicates that for a particular transmission mode, the CSI reporting on non-precoded CSI-RS with 20, 24, 28 or 32 antenna ports for the concerned band of band combination is different than the value indicated by field csi-ReportingNP in MIMO-UE-ParametersPerTM.	-
csi-ReportingNP (in MIMO-UE-ParametersPerTM) Indicates for a particular transmission mode whether the UE supports CSI reporting on non-precoded CSI-RS with 20, 24, 28, or 32 antenna ports for band combinations for which the concerned capabilities are not signalled in MIMO-CA-ParametersPerBoBCPerTM, and the FD-MIMO processing capability condition as described in NOTE 8 is satisfied.	Yes
csi-RS-DiscoverySignalsMeas Indicates whether the UE supports CSI-RS based discovery signals measurement. If this field is included, the UE shall also include crs-DiscoverySignalsMeas.	Yes
csi-RS-DRS-RRM-MeasurementsLAA Indicates whether the UE supports performing RRM measurements on LAA cell(s) based on CSI-RS-based DRS. This field can be included only if downlinkLAA is included.	-
csi-RS-EnhancementsTDD Indicates for a particular transmission mode whether the UE supports CSI-RS enhancements applicable for TDD.	Yes
csi-SubframeSet Indicates whether the UE supports REL-12 DL CSI subframe set configuration, REL-12 DL CSI subframe set dependent CSI measurement/feedback, configuration of up to 2 CSI-IM resources for a CSI process with no more than 4 CSI-IM resources for all CSI processes of one frequency if the UE supports tm10, configuration of two ZP-CSI-RS for tm1 to tm9, PDSCH RE mapping with two ZP-CSI-RS configurations, and EPDCCH RE mapping with two ZP-CSI-RS configurations if the UE supports EPDCCH. This field is only applicable for UEs supporting TDD.	Yes

UE-EUTRA-Capability field descriptions	FDD/ TDD diff
csi-SubframeSet2ForDormantSCell Indicates whether the UE supports second CSI subframe set for periodic CSI reporting for dormant serving cells. A UE that indicates support of this field shall also indicate support for dormantSCellState-r15. This field is only applicable for UEs supporting TDD.	-
dataInactMon Indicates whether the UE supports the data inactivity monitoring as specified in TS 36.321 [6].	-
dc-Support Including this field indicates that the UE supports synchronous DC and power control mode 1. Including this field for a band combination entry comprising of single band entry indicates that the UE supports intra-band contiguous DC. Including this field for a band combination entry comprising of two or more band entries, indicates that the UE supports DC for these bands and that the serving cells corresponding to a band entry shall belong to one cell group (i.e. MCG or SCG). Including field asynchronous indicates that the UE supports asynchronous DC and power control mode 2. Including this field for a TDD/FDD band combination indicates that the UE supports TDD/FDD DC for this band combination.	-
delayBudgetReporting	No
Indicates whether the UE supports delay budget reporting.  demodulationEnhancements  This field defines whether the UE supports advanced receiver in SFN scenario (350 km/h) as specified in TS 36.101 [42].	-
demodulationEnhancements2 This field defines whether the UE supports further enhanced receiver in HST-SFN scenario (up to 500 km/h velocity) as specified in TS 36.101 [42].	-
densityReductionNP, densityReductionBF Indicates whether the UE supports CSI-RS density reduction with values 1, 1/2 and 1/3 for non-precoded CSI-RS and beamformed CSI-RS respectively.	Yes
deviceType UE may set the value to "noBenFromBatConsumpOpt" when it does not foresee to particularly benefit from NW-based battery consumption optimisation. Absence of this value means that the device does benefit from NW-based battery consumption optimisation.	-
diffFallbackCombReport Indicates that the UE supports reporting of UE radio access capabilities for the CA band combinations asked by the eNB as well as, if any, reporting of different UE radio access capabilities for their fallback band combination as specified in TS 36.306 [5]. The UE does not report fallback combinations if their UE radio access capabilities are the same as the ones for the CA band combination asked by the eNB.	-
differentFallbackSupported Indicates that the UE supports different capabilities for at least one fallback case of this band combination.	-
directMCG-SCellActivationResume Indicates whether the UE supports having an E-UTRA MCG SCell configured in activated SCell state.	-
directSCellActivation Indicates whether the UE supports having an E-UTRA SCell configured in activated SCell state in the RRCConnectionReconfiguration message. This field is applicable to both LTE standalone and LTE-DC.	-
directSCellHibernation Indicates whether the UE supports having an SCell configured in dormant SCell state.	-
directSCG-SCellActivationNEDC Indicates whether the UE supports having an E-UTRA SCG SCell configured in activated SCell state in the RRCConnectionReconfiguration message contained in the NR RRCReconfiguration message, as defined in TS 36.321 [6] and TS 38.331 [82].  If the UE indicates support of directSCG-SCellActivationNEDC-r16, the UE shall also indicate support of ne-dc as specified in TS 38.331 [82].	-
directSCG-SCellActivationResume Indicates whether the UE supports having an E-UTRA SCG SCell configured in activated SCell state.	-
discInterFreqTx Indicates whether the UE support sidelink discovery announcements either a) on the primary frequency only or b) on other frequencies also, regardless of the UE configuration (e.g. CA, DC). The UE may set discInterFreqTx to supported when having a separate transmitter or if it can request sidelink discovery transmission gaps.	-

UE-EUTRA-Capability field descriptions	FDD/ TDD diff
discoverySignalsInDeactSCell Indicates whether the UE supports the behaviour on DL signals and physical channels when SCell is deactivated and discovery signals measurement is configured as specified in TS 36.211 [21], clause 6.11A. This field is included only if UE supports carrier aggregation and includes crs-DiscoverySignalsMeas.	Yes
discPeriodicSLSS Indicates whether the UE supports periodic (i.e. not just one time before sidelink discovery announcement) Sidelink Synchronization Signal (SLSS) transmission and reception for sidelink discovery.	<del>-</del> 
discScheduledResourceAlloc Indicates whether the UE supports transmission of discovery announcements based on network scheduled resource allocation.	-
disc-UE-SelectedResourceAlloc Indicates whether the UE supports transmission of discovery announcements based on UE autonomous resource selection.	-
disc-SLSS Indicates whether the UE supports Sidelink Synchronization Signal (SLSS) transmission and reception for sidelink discovery.	-
discSupportedBands Indicates the bands on which the UE supports sidelink discovery. One entry corresponding to each supported E-UTRA band, listed in the same order as in supportedBandListEUTRA.	-
discSupportedProc Indicates the number of processes supported by the UE for sidelink discovery.	-
discSysInfoReporting Indicates whether the UE supports reporting of system information for inter-frequency/PLMN sidelink discovery.	-
di-256QAM Indicates whether the UE supports 256QAM in DL on the band.	-
dI-1024QAM Indicates whether the UE supports 1024QAM in DL on the band or on the band within the band combination. When dI-1024QAM-ScalingFactor and dI-1024QAM-TotalWeightedLayers are included, the UE supports 1024QAM in a set of CCs in a band combination if the CCs belong to bands indicated to support 1024QAM in that band combination and the 1024QAM processing capability condition as specified in equation 4.3.5.31-1 in TS 36.306 [5] is satisfied.	-
dI-1024QAM-ScalingFactor Indicates scaling factor for processing a CC configured with 1024QAM with respect to a CC not configured with 1024QAM as described in 4.3.5.31 in TS 36.306 [5]. Value v1 indicates 1, value v1dot2 indicates 1.2 and value v1dot25 indicates 1.25.	-
dl-1024QAM-TotalWeightedLayers Indicates total number of weighted layers the UE can process for 1024QAM as described in 4.3.5.31 in TS 36.306 [5]. Actual value = (10 + indicated value x 2), i.e., value 0 indicates 10 layers, value 1 indicates 12 layers and so on.	-
dI-1024QAM-Slot Indicates whether the UE supports 1024QAM in DL on the band for slot TTI operation.	-
dI-1024QAM-SubslotTA-1 Indicates whether the UE supports 1024QAM in DL on the band for subslot TTI operation with TA set 1.	<b>-</b>
dI-1024QAM-SubslotTA-2 Indicates whether the UE supports 1024QAM in DL on the band for subslot TTI operation with TA set 2, dmrsBasedSPDCCH-nonMBSFN	-
dl-DedicatedMessageSegmentation Indicates whether the UE supports reception of segmented DL RRC messages.	-
dmrs-BasedSPDCCH-MBSFN Indicates whether the UE supports sDCI monitoring in DMRS based SPDCCH for MBSFN subframe. If UE supports this, it also provides the corresponding DMRS based SPDCCH capability in min-Proc-TimelineSubslot.	Yes
dmrs-BasedSPDCCH-nonMBSFN Indicates whether the UE supports sDCI monitoring in DMRS based SPDCCH for non-MBSFN subframe. If UE supports this, it also provides the corresponding DMRS based SPDCCH capability in min-Proc-TimelineSubslot.	Yes
dmrs-Enhancements (in MIMO-CA-ParametersPerBoBCPerTM)  If signalled, the field indicates for a particular transmission mode, that for the concerned band combination the DMRS enhancements are different than the value indicated by field dmrs-Enhancements in MIMO-UE-ParametersPerTM.	<del>-</del>

UE-EUTRA-Capability field descriptions	FDD/ TDD diff
dmrs-Enhancements (in MIMO-UE-ParametersPerTM) Indicates for a particular transmission mode whether the UE supports DMRS enhancements for the indicated transmission mode.	Yes
dmrs-LessUpPTS Indicates whether the UE supports not to transmit DMRS for PUSCH in UpPTS.	No
dmrs-OverheadReduction Indicates whether the UE supports OCC4 for rank 3 and 4 transmission as specified in clause 5.3.3.1.5C of TS 36.212 [22].	Yes
dmrs-PositionPattern Indicates whether the UE supports uplink DMRS position pattern 'D D D' in subslot #5 with application of the 1/6 as the TBS scaling factor.	Yes
dmrs-RepetitionSubslotPDSCH Indicates whether the UE supports back-to-back 3/4-layer DMRS reception in two consecutive subslots across subframe boundary for subslot-PDSCH.	Yes
dmrs-SharingSubslotPDSCH Indicates whether the UE supports DMRS sharing in two consecutive subslots across subframe boundary for subslot-PDSCH.	Yes
dormantSCellState Indicates whether UE supports Dormant SCell state (i.e. SCell state with CQI and RRM measurement reporting but no PDCCH monitoring).	-
downlinkLAA  Presence of the field indicates that the UE supports downlink LAA operation including identification of downlink transmissions on LAA cell(s) for full downlink subframes, decoding of common downlink control signalling on LAA cell(s), CSI feedback for LAA cell(s), RRM measurements on LAA cell(s) based on CRS-based DRS.	-
drb-TypeSCG Indicates whether the UE supports SCG bearer.	-
drb-TypeSplit Indicates whether the UE supports split bearer except for PDCP data transfer in UL.	-
dtm Indicates whether the UE supports DTM in GERAN.	-
dummy This field is not used in the specification. It shall not be sent by the UE.	-
earlyData-UP Indicates whether the UE supports UP-EDT when connected to EPC.	-
earlyData-UP-5GC Indicates whether the UE supports UP-EDT when connected to 5GC.	-
earlySecurityReactivation Indicates whether the UE supports early security reactivation when resuming a suspended RRC connection.	-
e-CSFB-1XRTT Indicates whether the UE supports enhanced CS fallback to CDMA2000 1xRTT or not.	Yes
e-CSFB-ConcPS-Mob1XRTT Indicates whether the UE supports concurrent enhanced CS fallback to CDMA2000 1xRTT and PS handover/ redirection to CDMA2000 HRPD.	Yes
e-CSFB-dual-1XRTT Indicates whether the UE supports enhanced CS fallback to CDMA2000 1xRTT for dual Rx/Tx configuration. This bit can only be set to supported if tx-Config1XRTT and rx-Config1XRTT are both set to dual.	Yes
e-HARQ-Pattern-FDD Indicates whether the UE supports enhanced HARQ pattern for TTI bundling operation for FDD.	Yes
ehc Indicates that the UE supports Ethernet header compression and decompression using EHC protocol, as specified in TS 36.323 [8] and in Annex A of TS 38.323 [83]. The UE indicating this capability and indicating support for at least one ROHC profile, shall support simultaneous configuration of EHC and ROHC on different DRBs.	No
eLCID-Support Indicates whether the UE supports LCID "10000" and MAC PDU subheader containing the eLCID field as described in TS 36.321 [6].	-
emptyUnicastRegion Indicates whether the UE supports unicast reception in subframes with empty unicast control region as described in TS 36.213 [23] clause 12. This field can be included only if unicast-fembmsMixedSCell and crossCarrierScheduling are included.	No

UE-EUTRA-Capability field descriptions	FDD/ TDD diff
en-DC Indicates whether the UE supports EN-DC.	-
endingDwPTS	
Indicates whether the UE supports reception ending with a subframe occupied for a DwPTS-duration as described in TS 36.211 [21] and TS 36.213 [23]. This field can be included only if downlinkLAA is included.	
Enhanced-4TxCodebook Indicates whether the UE supports enhanced 4Tx codebook.	No
enhancedDualLayerTDD Indicates whether the UE supports enhanced dual layer (PDSCH transmission mode 8) for TDD or not.	-
ePDCCH Indicates whether the UE can receive DCI on UE specific search space on Enhanced PDCCH.	Yes
epdcch-SPT-differentCells Indicates whether the UE supports EPDCCH and short processing time on different serving cells.	Yes
epdcch-STTI-differentCells Indicates whether the UE supports EPDCCH and sTTI on different serving cells.	Yes
e-RedirectionUTRA	Yes
e-RedirectionUTRA-TDD Indicates whether the UE supports enhanced redirection to UTRA TDD to multiple carrier frequencies both with and without using related SIB provided by RRCConnectionRelease or not.	Yes
etws-CMAS-RxInConnCE-ModeA, etws-CMAS-RxInConn Indicates whether the UE operating in CE mode A/B supports reception of ETWS/CMAS indication in RRC_CONNECTED mode as specified in TS 36.212 [22].	-
eutra-5GC Indicates whether the UE supports E-UTRA/5GC.	Yes
eutra-5GC-HO-ToNR-FDD-FR1 Indicates whether the UE supports handover from E-UTRA/5GC to NR FDD FR1.	Yes
eutra-5GC-HO-ToNR-TDD-FR1 Indicates whether the UE supports handover from E-UTRA/5GC to NR TDD FR1.	Yes
eutra-5GC-HO-ToNR-FDD-FR2 Indicates whether the UE supports handover from E-UTRA/5GC to NR FDD FR2.	Yes
eutra-5GC-HO-ToNR-TDD-FR2 Indicates whether the UE supports handover from E-UTRA/5GC to NR TDD FR2-1 as specified in TS 38.101-x [xx].	Yes
eutra-5GC-HO-ToNR-TDD-FR2-2 Indicates whether the UE supports handover from E-UTRA/5GC to NR TDD FR2-2 as specified in TS 38.101-x [xx].	-
eutra-CGI-Reporting-ENDC Indicates whether the UE supports Intra-RAT report CGI procedure when it is configured with (NG) EN-DC wherein either MN and SN have different DRX cycles, or on-duration configured by MN does not contain on-duration configured by SN if their DRX cycles are same.	Yes
eutra-CGI-Reporting-NEDC Indicates whether the UE supports acquisition of relevant information from a neighbouring E-UTRA cell by reading the SI of the neighbouring cell and reporting the acquired information to the network when the NE-DC is configured.	Yes
eutra-EPC-HO-ToNR-FDD-FR1 Indicates whether the UE supports handover from E-UTRA/EPC to NR FDD FR1.	Yes
eutra-EPC-HO-ToNR-TDD-FR1 Indicates whether the UE supports handover from E-UTRA/EPC to NR TDD FR1.	Yes
eutra-EPC-HO-ToNR-FDD-FR2 Indicates whether the UE supports handover from E-UTRA/EPC to NR FDD FR2.	Yes
eutra-EPC-HO-ToNR-TDD-FR2 Indicates whether the UE supports handover from E-UTRA/EPC to NR TDD FR2-1 as specified in TS 38.101-x [xx].	Yes
eutra-EPC-HO-ToNR-TDD-FR2-2 Indicates whether the UE supports handover from E-UTRA/EPC to NR TDD FR2-2 as specified in TS 38.101-x [xx].	-
eutra-EPC-HO-EUTRA-5GC Indicates whether the UE supports handover between E-UTRA/EPC and E-UTRA/5GC.	Yes

UE-EUTRA-Capability field descriptions	FDD, TDD diff
eutra-IdleInactiveMeasurements	No
Indicates whether UE supports reporting measurements performed during RRC_IDLE or RRC_INACTIVE.	
eutra-SI-AcquisitionForHO-ENDC	Yes
Indicates whether the UE supports, upon configuration of si-RequestForHO by the network,	
acquisition of relevant information from a neighbouring E-UTRA cell by reading the SI of the	
neighbouring cell using autonomous gaps and reporting the acquired information to the	
network.	
eventB2	-
Indicates whether the UE supports event B2. A UE supporting NR SA operation shall set this bit	
to supported.	
extendedBand-n77	
This field is only applicable for UEs that indicate support for band n77. If present, the UE	
supports the restriction to 3450 - 3550 MHz and 3700 - 3980 MHz ranges of band n77 in the	
USA as specified in Note 12 of Table 5.2-1 in TS 38.101-1 [85]. If absent, the UE supports only	
restriction to the 3700 - 3980 MHz range of band n77 in the USA. A UE that indicates this field	
shall support NS value 55 as specified in TS 38.101-1 [85].  extendedBand-n77-2	
	-
This field is only applicable for UEs that indicate support for band n77. If present, the UE	
supports the restriction to 3450 - 3650 MHz and 3650 - 3980 ranges of band n77 in Canada as	
specified in Note 12 of Table 5.2-1 in TS 38.101-1 [85]. If absent, the UE supports only	
restriction to the 3450 - 3650 MHz range of band n77 in Canada. A UE that indicates this field	
shall also support NS value 57 as specified in TS 38.101-1 [85].	
extendedFreqPriorities	-
Indicates whether the UE supports extended E-UTRA frequency priorities indicated by	
cellReselectionSubPriority field. A UE supporting NR SA operation shall set this bit to	
supported.	
extendedLCID-Duplication	-
Indicates whether the UE supports use of extended LCIDs 32-38 for PDCP duplication.	
extendedLongDRX	-
Indicates whether the UE supports extended long DRX cycle values of 5.12s and 10.24s in	
RRC_CONNECTED.	
extendedMAC-LengthField	-
Indicates whether the UE supports the MAC header with L field of size 16 bits as specified in	
TS 36.321 [6], clause 6.2.1.	
extendedMaxMeasId	No
Indicates whether the UE supports extended number of measurement identies as defined by	
maxMeasId-r12.	
extendedMaxObjectId	No
Indicates whether the UE supports extended number of measurement object identies as	
defined by maxObjectId-r13.	
extendedNumberOfDRBs	
Indicates whether the UE supports up to 15 DRBs. The UE shall support any combination of	
RLC AM and RLC UM entities for the configured DRBs.	
extendedPollByte	
	-
Indicates whether the UE supports extended pollByte values as defined by <i>pollByte-r14</i> .	
extended-RLC-LI-Field	-
Indicates whether the UE supports 15 bit RLC length indicator.	
extendedRLC-SN-SO-Field	-
Indicates whether the UE supports 16 bits of RLC sequence number and segmentation offset.	
extendedRSRQ-LowerRange	No
Indicates whether the UE supports the extended RSRQ lower value range from -34dB to -	
19.5dB in measurement configuration and reporting as specified in TS 36.133 [16].	
fdd-HARQ-TimingTDD	Yes
Indicates whether UE supports FDD HARQ timing for TDD SCell when configured with TDD	
PCell.	
featureGroupIndicators, featureGroupIndRel9Add, featureGroupIndRel10	Yes
The definitions of the bits in the bit string are described in Annex B.1 (for	. 00
featureGroupIndicators and featureGroupIndRel9Add) and in Annex C.1 (for	
reatare ereapinatore and reatare ereapination told and in Allien Ort (10)	

UE-EUTRA-Capability field descriptions	FDD/ TDD diff
featureSetsDL-PerCC	-
In MR-DC, indicates a set of features that the UE supports on one component carrier in a bandwidth class for a band in a given band combination. The UE shall hence include at least as many FeatureSetDL-PerCC-Id in this list as the number of carriers it supports according to the ca-bandwidthClassDL, except if indicating additional functionality by reducing the number of FeatureSetDownlinkPerCC-Id in the feature set. The order of the elements in this list is not relevant, i.e., the network may configure any of the carriers in accordance with any of the FeatureSetDL-PerCC-Id in this list.	
FeatureSetDL-PerCC-Id	-
In MR-DC, indicates the index position of the <i>FeatureSetDL-PerCC-r15</i> in the <i>featureSetsDL-PerCC-r15</i> list. Value 0 corresponds to the first element in the list, value 1 corresponds to the second element in the list, and so on. Value 32 is not used.	
featureSetsUL-PerCC	-
In MR-DC, indicates a set of features that the UE supports on one component carrier in a bandwidth class for a band in a given band combination. The UE shall hence include at least as many FeatureSetUL-PerCC-Id in this list as the number of carriers it supports according to the ca-bandwidthClassUL, except if indicating additional functionality by reducing the number of FeatureSetDownlinkPerCC-Id in the feature set. The order of the elements in this list is not relevant, i.e., the network may configure any of the carriers in accordance with any of the FeatureSetUL-PerCC-Id in this list.	
FeatureSetUL-PerCC-Id In MR-DC, indicates the index position of the FeatureSetUL-PerCC-r15 in the featureSetsUL-PerCC-r15 list. Value 0 corresponds to the first element in the list, value 1 corresponds to the second element in the list, and so on. Value 32 is not used.	-
fembmsMixedCell Indicates whether the UE in RRC_CONNECTED supports MBMS reception with 15 kHz subcarrier spacings via MBSFN from FeMBMS/Unicast mixed cells on a frequency indicated in an MBMSInterestIndication message.	
fembmsDedicatedCell Indicates whether the UE in RRC_CONNECTED supports MBMS reception with 15 kHz subcarrier spacings via MBSFN from MBMS-dedicated cells on a frequency indicated in an MBMSInterestIndication message.	
flexibleUM-AM-Combinations	-
Indicates whether the UE supports any combination of RLC UM and RLC AM bearers as long as the total number of bearers is at most 8, regardless of what FGI20 indicates.	
flightPathPlan Indicates whether UE supports reporting of flight path plan information.  fourLayerTM3-TM4	
Indicates whether the UE supports 4-layer spatial multiplexing for TM3 and TM4.	
fourLayerTM3-TM4 (in FeatureSetDL-PerCC) Indicates whether the UE supports 4-layer spatial multiplexing for TM3 and TM4 for MR-DC within the indicated feature set. If this field is absent, UE supports two layer MIMO for TM3/TM4.	-
fourLayerTM3-TM4-perCC Indicates whether the UE supports 4-layer spatial multiplexing for TM3 and TM4 for the component carrier.	-
frameStructureType-SPT This field indicates the supported FS-type(s) for short processing time. The UE capability is reported per band combination. The reported FS-type(s) apply to the reported maxNumberCCs-SPT-r15 for the given band combination.	-
freqBandPriorityAdjustment Indicates whether the UE supports the prioritization of frequency bands in multiBandInfoList over the band in freqBandIndicator as defined by freqBandIndicatorPriority-r12.	-
freqBandRetrieval Indicates whether the UE supports reception of requestedFrequencyBands.	-
gNB-ID-Length-Reporting-NR-EN-DC Indicates whether the UE supports Inter-RAT gNB ID length reporting towards NR cell when it is configured with (NG)EN-DC. If the UE supports reportCGI-NR-EN-DC-r15, the UE shall support the gNB-ID-Length-Reporting-NR-EN-DC-r17.	-
gNB-ID-Length-Reporting-NR-NoEN-DC Indicates whether the UE supports Inter-RAT gNB ID length reporting towards cell when it is not configured with (NG)EN-DC. If the UE supports reportCGI-NR-NoEN-DC-r15, the UE shall support gNB-ID-Length-Reporting-NR-NoEN-DC-r17.	-

UE-EUTRA-Capability field descriptions	FDD/ TDD diff
halfDuplex If halfDuplex is set to true, only half duplex operation is supported for the band, otherwise full duplex operation is supported.	-
heightMeas Indicates whether UE supports the measurement events H1/H2.	-
ho-EUTRA-5GC-FDD-TDD	No
Indicates whether the UE supports handover between E-UTRA/5GC FDD and E-UTRA/5GC TDD.	
ho-InterfreqEUTRA-5GC Indicates whether the UE supports inter frequency handover within E-UTRA/5GC.	Yes
hybridCSI Indicates whether the UE supports hybrid CSI transmission as described in TS 36.213 [23].	Yes
idleInactiveValidityAreaList Indicates whether the UE supports list of validity areas for measurements during RRC_IDLE and RRC_INACTIVE.	No
immMeasBT Indicates whether the UE supports Bluetooth measurements in RRC connected mode.	-
immMeasUnComBarPre Indicates whether the UE supports uncompensated barometric pressure measurements in RRC connected mode.	-
immMeasWLAN Indicates whether the UE supports WLAN measurements in RRC connected mode.	-
ims-VoiceOverMCG-BearerEUTRA-5GC Indicates whether the UE supports IMS voice over NR PDCP for MCG bearer for E-UTRA/5GC.	No
ims-VoiceOverNR-FR1 Indicates whether the UE supports IMS voice over NR FR1.	No
ims-VoiceOverNR-FR2 Indicates whether the UE supports IMS voice over NR FR2-1 as specified in TS 38.101-x [xx].	No
ims-VoiceOverNR-FR2-2 Indicates whether the UE supports IMS voice over NR FR2-2 as specified in TS 38.101-x [xx].	-
ims-VoiceOverNR-PDCP-MCG-Bearer Indicates whether the UE supports IMS voice over NR PDCP with only MCG RLC bearer.	Yes
ims-VoiceOverNR-PDCP-SCG-Bearer Indicates whether the UE supports IMS voice over NR PDCP with only SCG RLC bearer when configured with EN-DC.	Yes
ims-VoNR-PDCP-SCG-NGENDC Indicates whether the UE supports IMS voice over NR PDCP with only SCG RLC bearer when configured with NGEN-DC.	Yes
inactiveState Indicates whether the UE supports RRC_INACTIVE.	No
incMonEUTRA Indicates whether the UE supports increased number of E-UTRA carrier monitoring in RRC_IDLE and RRC_CONNECTED, as specified in TS 36.133 [16].	No
incMonUTRA Indicates whether the UE supports increased number of UTRA carrier monitoring in RRC_IDLE and RRC_CONNECTED, as specified in TS 36.133 [16].	No
inDeviceCoexInd Indicates whether the UE supports in-device coexistence indication as well as autonomous denial functionality.	Yes
inDeviceCoexInd-ENDC Indicates whether the UE supports in-device coexistence indication for (NG)EN-DC operation. This field can be included only if inDeviceCoexInd is included. The UE supports inDeviceCoexInd-ENDC in the same duplexing modes as it supports inDeviceCoexInd.	-
inDeviceCoexInd-HardwareSharingInd Indicates whether the UE supports indicating hardware sharing problems when sending the InDeviceCoexIndication, as well as omitting the TDM assistance information. A UE that supports hardware sharing indication shall also indicate support of LAA operation.	-
inDeviceCoexInd-UL-CA Indicates whether the UE supports UL CA related in-device coexistence indication. This field can be included only if inDeviceCoexInd is included. The UE supports inDeviceCoexInd-UL-CA in the same duplexing modes as it supports inDeviceCoexInd.	-

UE-EUTRA-Capability field descriptions	FDD/ TDD diff
interBandTDD-CA-WithDifferentConfig Indicates whether the UE supports inter-band TDD carrier aggregation with different UL/DL configuration combinations. The first bit indicates UE supports the configuration combination of	<u> </u>
SCell DL subframes are a subset of PCell and PSCell by SIB1 configuration and the configuration combination of SCell DL subframes are a superset of PCell and PSCell by SIB1 configuration; the second bit indicates UE supports the configuration combination of SCell DL subframes are neither superset nor subset of PCell and PSCell by SIB1 configuration. This field	
is included only if UE supports inter-band TDD carrier aggregation.  interBandPowerSharingAsyncDAPS	
Indicates whether the UE supports power sharing for asynchronous inter-band DAPS handovers.	-
interBandPowerSharingSyncDAPS Indicates whether the UE supports power sharing for synchronous inter-band DAPS handovers. interferenceMeasRestriction	- Yes
Indicates whether the UE supports interference measurement restriction.	165
interFreqAsyncDAPS	-
Indicates whether the UE supports asynchronous DAPS handover in source PCell and interfrequency target PCell.	
interFreqBandList	-
One entry corresponding to each supported E-UTRA band listed in the same order as in supportedBandListEUTRA.	
interFreqDAPS Indicates whether the UE supports DAPS handover in source PCell and inter-frequency target PCell, i.e. support of simultaneous DL reception of PDCCH and PDSCH from source and target cell. For a BC, the capability applies to every carrier pair for source and target. A UE indicating this capability shall also support synchronous DAPS handover, and single UL transmission for	-
inter-frequency DAPS handover. interFreqMultiUL-TransmissionDAPS	-
Indicates that the UE supports simultaneous UL transmission in source PCell and inter-frequency target PCell.  interFreqNeedForGaps	
Indicates need for measurement gaps when operating on the E-UTRA band given by the entry in bandListEUTRA or on the E-UTRA band combination given by the entry in bandCombinationListEUTRA and measuring on the E-UTRA band given by the entry in interFreqBandList.	
interFreqProximityIndication Indicates whether the UE supports proximity indication for inter-frequency E-UTRAN CSG member cells.	-
interFreqRSTD-Measurement	Yes
Indicates whether the UE supports inter-frequency RSTD measurements for OTDOA positioning, as specified in TS 36.355 [54].	
interFreqSI-AcquisitionForHO Indicates whether the UE supports, upon configuration of si-RequestForHO by the network, acquisition and reporting of relevant information using autonomous gaps by reading the SI from a neighbouring inter-frequency cell.	Yes
interRAT-BandList One entry corresponding to each supported band of another RAT listed in the same order as in the interRAT-Parameters. The NR bands reported in SupportedBandListNR are excluded from this list.	-
interRAT-BandListNR-EN-DC  One entry corresponding to each supported NR band listed in the same order as in the supportedBandListEN-DC-r15. If both interRAT-BandListNR-EN-DC and interRAT-BandListNR-SA are included, the UE shall set the same interRAT-NeedForGapsNR value for the same NR	-
band.	
interRAT-BandListNR-SA	-
One entry corresponding to each supported NR band listed in the same order as in the supportedBandListNR-SA. If both interRAT-BandListNR-EN-DC and interRAT-BandListNR-SA are included, the UE shall set the same interRAT-NeedForGapsNR value for the same NR band.	
interRAT-enhancementNR	
Indicates whether the UE supports enhanced inter-RAT NR measurement requirements to support high speed up to 500 km/h as specified in TS 36.133 [16], when EN-DC is not configured and when EN-DC is configured.	-

UE-EUTRA-Capability field descriptions	FDD/ TDD diff
interRAT-NeedForGaps	-
ndicates need for DL measurement gaps when operating on the E-UTRA band given by the	
entry in bandListEUTRA or on the E-UTRA band combination given by the entry in	
bandCombinationListEUTRA and measuring on the inter-RAT band given by the entry in the	
interRAT-BandList.	
interRAT-NeedForGapsNR	-
ndicates need for measurement gaps when operating on the E-UTRA band given by the entry	
n supportedBandListEUTRA or on the E-UTRA band combination given by the entry in	
supportedBandCombination-r10 or supportedBandCombinationAdd-r11 or	
supportedBandCombinationReduced-r13 and measuring on the NR band given by the entry in	
he InterRAT-BandListNR.	
interRAT-ParametersWLAN	-
ndicates whether the UE supports WLAN measurements configured by <i>MeasObjectWLAN</i> with	
corresponding quantity and report configuration in the supported WLAN bands.	
interRAT-PS-HO-ToGERAN	Yes
ndicates whether the UE supports inter-RAT PS handover to GERAN or not.	
intraBandContiguousCC-InfoList	-
ndicates, per serving carrier of which the corresponding bandwidth class includes multiple	
serving carriers (i.e. bandwidth class B, C, D and so on), the maximum number of supported	
ayers for spatial multiplexing in DL and the maximum number of CSI processes supported. The	
number of entries is equal to the number of component carriers in the corresponding bandwidth	
class. The UE shall support the setting indicated in each entry of the list regardless of the order	
of entries in the list. The UE shall include the field only if it supports 4-layer spatial multiplexing	
n transmission mode3/4 for a subset of component carriers in the corresponding bandwidth	
class, or if the maximum number of supported layers for at least one component carrier is	
nigher than supportedMIMO-CapabilityDL-r10 in the corresponding bandwidth class, or if the	
number of CSI processes for at least one component carrier is higher than supportedCSI-Proc-	
r11 in the corresponding band.	
This field may also be included for bandwidth class A but in such a case without including any	
sub-fields in IntraBandContiguousCC-Info-r12 (see NOTE 6).	
intraFregA3-CE-ModeA	-
ndicates whether the UE when operating in CE Mode A supports eventA3 for intra-frequency	
neighbouring cells.	
intraFreqA3-CE-ModeB	-
ndicates whether the UE when operating in CE Mode B supports eventA3 for intra-frequency	
neighbouring cells.	
intraFreq-CE-NeedForGaps	
ndicates need for measurement gaps when operating in CE on the E-UTRA band given by the	
entry in supportedBandListEUTRA.	
intraFreqAsyncDAPS	-
ndicates whether the UE supports asynchronous DAPS handover in source PCell and intra-	
requency target PCell.	
intraFreqDAPS	-
ndicates whether UE supports DAPS handover in source PCell and intra-frequency target	
PCell, i.e. support of simultaneous DL reception of PDCCH and PDSCH from source and target	
cell. A UE indicating this capability shall also support synchronous DAPS handover, and single	
JL transmission for intra-frequency DAPS handover.	
intraFreqHO-CE-ModeA	
ndicates whether the UE when operating in CE Mode A supports intra-frequency handover.	
intraFreqHO-CE-ModeB	_
HOICARES WHETHER THE OF WHEN ODERANDO IN C.E. WICHOE IS SHOWING THIS ASSESSMENT OF ASSESSMENT	
ndicates whether the UE when operating in CE Mode B supports intra-frequency handover.	-
intraFreqProximityIndication	
intraFreqProximityIndication ndicates whether the UE supports proximity indication for intra-frequency E-UTRAN CSG	
intraFreqProximityIndication ndicates whether the UE supports proximity indication for intra-frequency E-UTRAN CSG member cells.	Voc
intraFreqProximityIndication ndicates whether the UE supports proximity indication for intra-frequency E-UTRAN CSG member cells. intraFreqSI-AcquisitionForHO	Yes
intraFreqProximityIndication ndicates whether the UE supports proximity indication for intra-frequency E-UTRAN CSG member cells. intraFreqSI-AcquisitionForHO ndicates whether the UE supports, upon configuration of si-RequestForHO by the network,	Yes
intraFreqProximityIndication Indicates whether the UE supports proximity indication for intra-frequency E-UTRAN CSG member cells. IntraFreqSI-AcquisitionForHO Indicates whether the UE supports, upon configuration of si-RequestForHO by the network, acquisition and reporting of relevant information using autonomous gaps by reading the SI from	Yes
intraFreqProximityIndication Indicates whether the UE supports proximity indication for intra-frequency E-UTRAN CSG member cells. IntraFreqSI-AcquisitionForHO Indicates whether the UE supports, upon configuration of si-RequestForHO by the network, acquisition and reporting of relevant information using autonomous gaps by reading the SI from a neighbouring intra-frequency cell.	Yes
intraFreqProximityIndication Indicates whether the UE supports proximity indication for intra-frequency E-UTRAN CSG IntraFreqSI-AcquisitionForHO Indicates whether the UE supports, upon configuration of si-RequestForHO by the network, acquisition and reporting of relevant information using autonomous gaps by reading the SI from a neighbouring intra-frequency cell. IntraFreqTwoTAGs-DAPS	Yes
IntraFreqProximityIndication Indicates whether the UE supports proximity indication for intra-frequency E-UTRAN CSG member cells. IntraFreqSI-AcquisitionForHO Indicates whether the UE supports, upon configuration of si-RequestForHO by the network, acquisition and reporting of relevant information using autonomous gaps by reading the SI from a neighbouring intra-frequency cell. IntraFreqTwoTAGs-DAPS Indicates whether the UE supports different timing advance groups in source PCell and intra-	Yes
IntraFreqProximityIndication Indicates whether the UE supports proximity indication for intra-frequency E-UTRAN CSG IntraFreqSI-AcquisitionForHO Indicates whether the UE supports, upon configuration of si-RequestForHO by the network, acquisition and reporting of relevant information using autonomous gaps by reading the SI from a neighbouring intra-frequency cell. IntraFreqTwoTAGs-DAPS Indicates whether the UE supports different timing advance groups in source PCell and intra-frequency target PCell. It is mandatory for intraFreqDAPS capable UE.	-
IntraFreqProximityIndication Indicates whether the UE supports proximity indication for intra-frequency E-UTRAN CSG member cells. IntraFreqSI-AcquisitionForHO Indicates whether the UE supports, upon configuration of si-RequestForHO by the network, acquisition and reporting of relevant information using autonomous gaps by reading the SI from a neighbouring intra-frequency cell. IntraFreqTwoTAGs-DAPS Indicates whether the UE supports different timing advance groups in source PCell and intra-	Yes -

UE-EUTRA-Capability field descriptions	FDD/ TDD diff
<b>k-Max (in MIMO-CA-ParametersPerBoBCPerTM)</b> If signalled, the field indicates for a particular transmission mode the maximum number of NZP CSI RS resource configurations supported within a CSI process applicable for the concerned band combination.	No
<b>k-Max (in MIMO-UE-ParametersPerTM)</b> Indicates for a particular transmission mode the maximum number of NZP CSI RS resource configurations supported within a CSI process applicable for band combinations for which the concerned capabilities are not signalled.	Yes
Indicates whether the UE supports LAA PUSCH mode 1 as defined in TS 36.213 [23].	-
Indicates whether the UE supports LAA PUSCH mode 2 as defined in TS 36.213 [23].	-
Indicates whether the UE supports LAA PUSCH mode 3 as defined in TS 36.213 [23].	-
<b>locationReport</b> Indicates whether the UE supports reporting of its geographical location information to eNB.	-
IoggedMBSFNMeasurements Indicates whether the UE supports logged measurements for MBSFN. A UE indicating support for logged measurements for MBSFN shall also indicate support for logged measurements in Idle mode.	-
Indicates whether the UE supports Bluetooth measurements in RRC idle mode.	-
IoggedMeasIdleEventL1 Indicates whether the UE supports event triggered logged measurements for eventL1 in camped normally state.	-
loggedMeasIdleEventOutOfCoverage Indicates whether the UE supports event triggered logged measurements for outOfCoverage in any cell selection state.	-
Indicates whether the UE supports uncompensated barometric pressure measurements in RRC_IDLE mode.	-
Indicates whether the UE supports logged measurements in Idle mode.	-
Indicates whether the UE supports WLAN measurements in RRC idle mode.	-
IogicalChannelSR-ProhibitTimer Indicates whether the UE supports the IogicalChannelSR-ProhibitTimer as defined in TS 36.321 [6].	-
IongDRX-Command Indicates whether the UE supports Long DRX Command MAC Control Element.	-
Iwa Indicates whether the UE supports LTE-WLAN Aggregation (LWA). The UE which supports LWA shall also indicate support of interRAT-ParametersWLAN-r13.	-
Iwa-BufferSize Indicates whether the UE supports the layer 2 buffer sizes for "with support for split bearers" as defined in Table 4.1-3 and 4.1A-3 of TS 36.306 [5] for LWA.	-
Iwa-HO-WithoutWT-Change Indicates whether the UE supports handover where LWA configuration is retained without WT change and using LWA end-marker for PDCP key change indication for LWA operation.	-
Iwa-RLC-UM Indicates whether the UE supports RLC UM for LWA bearer.	-
Iwa-SplitBearer Indicates whether the UE supports the split LWA bearer (as defined in TS 36.300 [9]).	-
Iwa-UL Indicates whether the UE supports UL transmission over WLAN for LWA bearer.	-
Iwip Indicates whether the UE supports LTE/WLAN Radio Level Integration with IPsec Tunnel (LWIP). The UE which supports LWIP shall also indicate support of interRAT-ParametersWLAN-r13.	-
Iwip-Aggregation-DL, Iwip-Aggregation-UL Indicates whether the UE supports aggregation of LTE and WLAN over DL/UL LWIP. The UE that indicates support of LWIP aggregation over DL or UL shall also indicate support of Iwip.	-

makeBeforeBreak Indicates whether the UE supports intra-frequency Make-Before-Break handover, and whether the UE which indicates whether the UE supports parameters supports intra-frequency Make-Before-Break SeNB change, as defined in TS 36.301 [9].  meas GapPatterns-NRonly Indicates whether the UE supports gap patterns 2, 3 and 11 in LTE standalone when the frequencies to be measured within this measurement gap are all NR frequencies.  meas GapPatterns-NRONLy-ENDC Indicates whether the UE supports gap patterns 2, 3 and 11 in (NG)EN-DC when the frequencies to be measured within this measurement gap are all NR frequencies.  maximumCcSRetrieval Indicates whether UE supports reception of requestedMaxCCsDL and requestedMaxCCSUL.  maxLayersMiMO-Indication Indicates whether UE supports the network configuration of maxLayersMiMO. If the UE supports four.ayerTM3-TM4 or intraBandContiguousCC-infol.ist or FeatureSetDL-PerCC for MR-DC, UE supports the configuration of maxLayersMiMO for these cases regardless of indication and yearsMiMO-Indication.  maxLayersSiotorSubslotPUSCH Indicates the maximum number of supported CCs for short processing time. The UE capability is reported per band combination. The reported number of carriers applies to all the FS-type(s) frameStructureType-SPT-15 supported in a given band combination. Absence of the field indicates that 0 number of CCs are supported CCs are supported CCs and a given band combination. Absence of the field indicates that 0 number of CCs are supported for short TTL.  maxNumberDcCoding Indicates the maximum number of blind decodes in UE-specific search space per UE in one subframe for CA with more than 5 CCs as defined in TS 36.213 [23] which is supported by the UE. The number of UE's EHC compressor and EHC decompressor. The indicated number of Bas and across UE's EHC compressor and EHC decompressor. The indicated number of the ROHC profiles in supported PMC-ContextSessions and maxNumber(DataedCSI-Proc.STTI-Comb22-Set1 (blicates the maximum number of CSI processes to be u	UE-EUTRA-Capability field descriptions	FDD/ TDD
indicates whether the UE supports intra-frequency Make-Before-Break Andover, and whether the UE which indicates do-Parameters supports intra-frequency Make-Before-Break SeNB change, as defined in T3 36.300 [9].  measGapPatterns-NRonly Indicates whether the UE supports gap patterns 2, 3 and 11 in LTE standalone when the frequencies to be measured within this measurement gap are all NR frequencies.  Make Marketterns-NRonly-ENDC Indicates whether the UE supports gap patterns 2, 3 and 11 in (NG)EN-DC when the frequencies to be measured within this measurement gap are all NR frequencies.  maximumCSRetrieval Indicates whether the UE supports gap patterns 2, 3 and 11 in (NG)EN-DC when the frequencies to be measured within this measurement gap are all NR frequencies.  maximumCCSRetrieval Indicates whether UE supports reception of requested/MaxCCsDL and requested/MaxCCsUL.  maximumCCsRetrieval Indicates whether the UE supports the network configuration of maxLayersMIMO. If the UE supports whether the UE supports the network configuration of maxLayersMIMO. If the UE supports the configuration of maxLayersMIMO for these cases regardless of indicating maximum provided or for MR-Dc, UE supports the configuration of maxLayersMIMO for these cases regardless of indicating maximum number of layers for slot-PUSCH or subslot-PUSCH transmission.  maxNamberCcs-SPT indicates the maximum number of supported Ccs for short processing time. The UE capability is reported per band combination. The reported number of carriers applies to all the FS-type(s) frameStructureType-SPT-rf5 supported for short processing time.  maxNumberDu-Ccs, maxNumberU-Ccs indicates the maximum number of bind decodes in UE-specific search space per UE in one subframe for CA with more than 5 CCs as defined in TS 36.213 [23] which is supported by the UE across all DRBs and across UE's EHC compressor and EHC decompressor. The indicated number of CA with more than 5 CCs as defined in TS 36.213 [23] which is supported by the UE across all DRBs and across UE's EHC com		
the UE which indicates de-Parameters supports intra-frequency Make-Before-Break SeNB change, as defined in TS 36.300 [9].  meas GapPatterns-NRonty Indicates whether the UE supports gap patterns 2, 3 and 11 in LTE standalone when the frequencies to be measured within this measurement gap are all NR frequencies.  meas GapPatterns-NRonty-ENDC Indicates whether the UE supports gap patterns 2, 3 and 11 in (NG)EN-DC when the frequencies to be measured within this measurement gap are all NR frequencies.  meas GapPatterns-NRonty-ENDC Indicates whether the UE supports gap patterns 2, 3 and 11 in (NG)EN-DC when the frequencies to be measured within this measurement gap are all NR frequencies.  maximumCCSRetrieval Indicates whether UE supports reception of requestedMaxCCSDL and requestedMaxCCSUL maximum value of the UE supports the configuration of maximum of maximum value of maximum value of indication of maximum value of indication of maximum value of indication of maximum value of indication.  MR-DC, UE supports the configuration of maximum of maximum value of indication of maximum number of layers for slot-PUSCH or subslot-PUSCH transmission.  MRAL of the maximum number of supported CCs for short processing time. The UE capability is reported per band combination. The reported number of carriers applies to all the FS-type(s) frameStructureType-SPT-rf5 supported for short processing time.  maximumberDi-Ccs, maximum number of supported for short processing time.  maximumberDi-Ccs, maximum number of supported for short processing time.  maximumberDi-Ccs, maximum number of blind decodes in UE-specific search space per UE in one subprante for CA with more than 5 CCs as defined in TS 36.213 [23] which is supported by the UE cross all DRBs and across UE's EHC compressor and EHC decompressor. The indicated number of blind decodes supported by the UE is the field value " 32. Only values 5 to 32 can be used in this version of the specification.  maximumberDi-ContextSessions  Maximumber of Context sessions that leave all headers		-
change, as defined in TS 36.300 [9].  massGapPatterns-NRonly Indicates whether the UE supports gap patterns 2, 3 and 11 in LTE standalone when the frequencies to be measured within this measurement gap are all NR frequencies.  Mo Indicates whether the UE supports gap patterns 2, 3 and 11 in (NG)EN-DC when the frequencies to be measured within this measurement gap are all NR frequencies.  maximumCCsRetrieval Indicates whether UE supports reception of requestedMaxCCsDL and requestedMaxCCsUL.  maxLayersMIMO-Indication Indicates whether UE supports the network configuration of maxLayersMIMO. If the UE supports fourLayerTMA-TMA or intraBandContiguousCC-InfoList or FeatureSetDL-PerCC for MR-DC, UE supports the configuration of maxLayersMIMO for these cases regardless of indicates whether the UE supports the configuration of maxLayersMIMO for these cases regardless of indicates maxLayersMIMO-Indication.  maxLayersSiotOrSubsiotPUSCH Indicates the maximum number of layers for slot-PUSCH or subslot-PUSCH transmission.  maxNumberCcs-SPT Indicates the maximum number of supported Torshort processing time. The UE capability is reported per band combination. The reported number of carriers applies to all the FS-type(s) frameStructureType-SPT-rf5 supported in a given band combination. Absence of the field indicates that 0 number of CCs are supported for short TI.  maxNumberDu-Ccs, maxNumberUL-Ccs indicates the caph TII. Combination "STIT-SupportedCombinations", the maximum number of supported DL Ccs/UL Ccs for short TII. Absence of the field indicates that 0 number of CCs are supported for short TII.  maxNumberDecoding  Indicates the maximum number of blind decodes in UE-specific search space per UE in one subtrame for CA with more than 5 CCs as defined in TS 36.213 [23] which is supported by the UE across all DRBs and across UE's EHC compressor and EHC decompressor. The indicated number defines the number of concurrently active ROHC contexts supported by the UE excluding context sessions that leave all headers uncompressed. c		
measGapPatterns-NRonly Indicates whether the UE supports gap patterns 2, 3 and 11 in LTE standalone when the frequencies to be measured within this measurement gap are all NR frequencies.  measGapPatterns-NRonly-ENDC Indicates whether the UE supports gap patterns 2, 3 and 11 in (NG)EN-DC when the frequencies to be measured within this measurement gap are all NR frequencies.  maxinumCCRRetrieval Indicates whether the UE supports reception of requestedMaxCCSDL and requestedMaxCCSUL.  maxLayersMIMO-Indication Indicates whether the UE supports the network configuration of maxLayersMIMO. If the UE supports fourLayerTM3-TM4 or intraBandContiguousCC-InfoList or FeatureSetDL-PerCC for MR-DC, UE supports the configuration of maxLayersMIMO for these cases regardless of indicating maxLayersMIMO-Indication.  maxLayersSIMIO-Indication.  maxLayersSIMIO-Indication.  maxLayersSIMIO-Indication.  maxLayersSIMIO-Indication.  maxLayersMIMO-Indication.  No  No  No  No  No  No  No  No  No  N		
Indicates whether the UE supports gap patterns 2, 3 and 11 in LTE standalone when the frequencies to be measured within this measurement gap are all NR frequencies.  **Measurement of the NR frequencies of the flow of the NR frequencies of the		NIa
frequencies to be measured within this measurement gap are all NR frequencies.  measGapPaterns-NRonIy-ENDC Indicates whether the UE supports gap patterns 2, 3 and 11 in (NG)EN-DC when the frequencies to be measured within this measurement gap are all NR frequencies.  maximumCcsRetrieval Indicates whether UE supports reception of requestedMaxCcsDL and requestedMaxCcsUL.  maxLayerSMMO-Indication Indicates whether the UE supports the network configuration of maxLayersMMO. If the UE supports fourLayerTM3-TM4 or intraBandContiguousCC-InfoList or FeatureSetDL-PerCC for MR-DC, UE supports the configuration of maxLayersMMMO for these cases regardless of indicating maxLayersMMMO-Indication.  maxLayersSitotOrSubsIotPUSCH Indicates the maximum number of layers for slot-PUSCH or subslot-PUSCH transmission.  maxLayersIntorSubslotOrSubsIotPUSCH Indicates the maximum number of supported CCs for short processing time. The UE capability is reported per band combination. The reported number of carriers applies to all the F5-type(s) frameStructureType-SPT-r15 supported in a given band combination. Absence of the field indicates that 0 number of CCs are supported for short processing time.  maxNumberDL-CCs, maxNumberUL-CCs, anaxNumberUL-CCs Indicates for each TTI combination *sTTI-SupportedCombinations*, the maximum number of supported DL CS/UL CS for short TTI. Absence of the field indicates that 0 number of CCs are supported for short TTI.  maxNumberDecoding Indicates the maximum number of blind decodes in UE-specific search space per UE in one subframe for CA with more than 5 CCs as defined in TS 36.213 [23] which is supported by the UE. The number of blind decodes supported by the UE is the field value *32. Only values 5 to 32 can be used in this version of the specification.  maxNumberPadateCS-ContextSessions  Set to the maximum number of Ethernet header compression contexts supported by the UE across all DRBs and across UE's EHC compressor and EHC decompressor. The indicated number defines the number of context sessions and		NO
measGapPatterns-NRonly-ENDC Indicates whether the UE supports gap patterns 2, 3 and 11 in (NG)EN-DC when the frequencies to be measured within this measurement gap are all NR frequencies.  maximumCCsRetrieval Indicates whether UE supports reception of requestedMaxCCsDL and requestedMaxCCsUL.  maxLayersMIMO-Indication Indicates whether the Supports the network configuration of maxLayersMIMO. If the UE supports fourLayerTM3-TM4 or intraBandContiguousCC-InfoList or FeatureSetDL-PerCC for MR-DC, UE supports the configuration of maxLayersMIMO for these cases regardless of indicating maxLayersMIMO-Indication.  maxLayersSlotOrSubslotPUSCH Indicates the maximum number of layers for slot-PUSCH or subslot-PUSCH transmission.  maxNumberCcs-SPT Indicates the maximum number of supported CCs for short processing time. The UE capability is reported per band combination. The reported number of carriers applies to all the FS-type(s) frameStructureType-SPT-r15 supported in a given band combination. Absence of the field indicates that 0 number of CCs are supported for short TTI. Absence of the field indicates that 0 number of CCs are supported for short TTI. Absence of the field indicates that 0 number of CCs are supported for short TTI. Absence of the field indicates that 0 number of CCs are supported for short TTI. Absence of the field indicates that 0 number of CCs are supported for short TTI. Absence of the field indicates that 0 number of CCs are supported for short TTI. Absence of the field indicates that 0 number of CCs are supported for short TTI. Absence of the field indicates that 0 number of CCs are supported for short TTI. Absence of the field indicates that 0 number of CCs are supported by the UE. The number of blind decodes supported by the UE is the field value "32. Only values 5 to 32 can be used in this version of the specification.  maxNumberEHC-ContextS  Defines the maximum number of concurrently active ROHC contexts supported by the UE excluding context sessions that leave all headers uncompressed. cs2 corresp		
Indicates whether the UE supports gap patterns 2, 3 and 11 in (NG)EN-DC when the frequencies to be measured within this measurement gap a all NR frequencies.  **maximumCCSRetrieval** Indicates whether UE supports reception of *requestedMaxCCSDL** Indicates whether the UE supports the network configuration of *maxLayersMIMO. If the UE supports fourLayerTM3-TM4 or intraBandContiguousCC-InfoList or FeatureSetDL-PerCC for MR-DC, UE supports the configuration of *maxLayersMIMO** for these cases regardless of indicating *maxLayersMIMO** Indication** Indicates the maximum number of layers for slot-PUSCH or subslot-PUSCH transmission.  **maxLayersStotOrSubslotPUSCH** Indicates the maximum number of supported CCs for short processing time. The UE capability is reported per band combination. The reported number of carriers applies to all the FS-type(s) frameStructureType-SPT-1f5 supported in a given band combination. Absence of the field indicates that 0 number of CCs are supported for short processing time.  **maxNumberUL-CCs**, *maxNumberUL-CCs** maxNumberUL-CCs** maxNumberUL-CCs** maxNumberUL-CCs** in a slope to the field indicates that 0 number of CCs are supported Dt CCs/UL CCs for short TTI. Absence of the field indicates that 0 number of CCs are supported Dt CCs/UL CCs for short TTI. Absence of the field indicates that 0 number of CCs are supported Dt CCs/UL CCs for short TTI.  **maxNumberDecoding** Indicates the maximum number of blind decodes in UE-specific search space per UE in one subframe for CA with more than 5 CCs as defined in TS 36.213 [23] which is supported by the UE. The number of blind decodes supported by the UE is the field value ** 32. Only values 5 to 32 can be used in this version of the specification.  **maxNumberDecoding** Indicates the supported Dt by the UE is the field value ** 32. Only values 5 to 32 can be used in this version of the specification.  **maxNumberUpdateCSI-Proc. parties.** If the UE indicates both maxNumberUpdatedCSI-Proc. parties.** If the UE indicates both maxNumberUpdated		Nο
frequencies to be measured within this measurement gap are all NR frequencies.  maximmurCSerterival  Indicates whether UE supports reception of requested/MaxCCsDL and requested/MaxCCsUL.  maxLayers/MIMO-Indication  Indicates the ther the UE supports the network configuration of maxLayersMIMO. If the UE supports four.LayerTM3-TM4 or intraBandContiguousCC-InfoList or FeatureSetDL-PerCC for MR-DC, UE supports the configuration of maxLayersMIMO for these cases regardless of indication.  maxLayers/SiorOrSubsiotPUSCH Indicates the maximum number of layers for slot-PUSCH or subslot-PUSCH transmission.  maxLayers/SiorOrSubsiotPUSCH Indicates the maximum number of supported CCs for short processing time. The UE capability is reported per band combination. The reported number of carriers applies to all the FS-type(s) frameStructureType-SPT-r16 supported or short processing time. The UE capability is reported per band combination. The reported number of carriers applies to all the FS-type(s) frameStructureType-SPT-r16 supported for short processing time.  maxNumberDL-CCs, maxNumberUL-CCs  Indicates the maximum number of CCs are supported or short TTI. Absence of the field indicates that 0 number of CCs are supported DL CCs/UL CCs for short TTI. Absence of the field indicates that 0 number of CCs are supported Dr. Co. Avith more than 5 CCs as defined in TS 36.213 [23] which is supported by the UE. The number of blind decodes supported by the UE is the field value *32. Only values 5 to 32 can be used in this version of the specification.  maxNumberEHC-ContextS  Defines the maximum number of contexts in addition to CID = "all zeros" as specified in Annex A of TS 38.323 [83].  maxNumberCHC-ContextSessions  Set to the maximum number of concurrently active ROHC contexts supported by the UE supports none of the ROHC profiles in supportedROHC-Profiles. If the UE indicates both maxNumberUpdatedCSI-Proc, maxNumberUpdatedCSI-Proc-STTI-Comb27, maxNumberUpdatedCSI-Proc-STTI-Comb27. maxNumberUpdatedCSI-Proc-STTI-Comb27. maxNumberUpdate		110
maximumCCsRetrieval Indicates whether UE supports reception of requested/MaxCCsDL and requested/MaxCCsUL. maxLayersMIMO-Indication Indicates whether the UE supports the network configuration of maxLayersMIMO. If the UE supports further the UE is the field indicates the maximum number of supported further the UE supports further the UE is further the U	frequencies to be measured within this measurement gap are all NR frequencies.	
maxLayersMIMO-Indication Indicates whether the UE supports the network configuration of maxLayersMIMO. If the UE supports fourLayerTM3-TM4 or intraBandContiguousCC-InfoList or FeatureSetDL-PerCC for MR-DC, UE supports the configuration of maxLayersMIMO for these cases regardless of indicating maxLayersMIMO-Indication.  maxLayersSiotorSubsiotPUSCH Indicates the maximum number of layers for slot-PUSCH or subslot-PUSCH transmission.  maxLayersMimO-Indication.  yes  Indicates the maximum number of supported CCs for short processing time. The UE capability is reported per band combination. The reported number of carriers applies to all the FS-type(s) frameStructureType-SPT-r15 supported in a given band combination. Assence of the field indicates that 0 number of CCs are supported for short processing time.  maxNumberDL-CCs, maxNumberUL-CCs  Indicates to each TTI combination "sTTI-SupportedCombinations", the maximum number of supported Dt CCs/UL CCs for short TTI. Absence of the field indicates that 0 number of CCs are supported for short TTI.  maxNumberDecoding  Indicates the maximum number of blind decodes in UE-specific search space per UE in one subframe for CA with more than 5 CCs as defined in TS 36.213 [23] which is supported by the UE. The number of blind decodes supported by the UE is the field value * 32. Only values 5 to 32 can be used in this version of the specification.  maxNumberIDecoding  No  No  Defines the maximum number of Ethernet header compression contexts supported by the UE across all DRBs and across UE's EHC compressor and EHC decompressor. The indicated number defines the number of concurrently active ROHC contexts supported by the UE excluding context sessions that leave all headers uncompressed. cs2 corresponds with 2 context sessions. For the Noil Ma		-
maxLayersMIMO-Indication Indicates whether the UE supports the network configuration of maxLayersMIMO. If the UE supports fourLayerTM3-TM4 or intraBandContiguousCC-InfoList or FeatureSetDL-PerCC for MR-DC, UE supports the configuration of maxLayersMIMO for these cases regardless of indicating maxLayersMIMO-Indication.  maxLayersSiotorSubsiotPUSCH Indicates the maximum number of layers for slot-PUSCH or subslot-PUSCH transmission.  maxLayersMimO-Indication.  yes  Indicates the maximum number of supported CCs for short processing time. The UE capability is reported per band combination. The reported number of carriers applies to all the FS-type(s) frameStructureType-SPT-r15 supported in a given band combination. Assence of the field indicates that 0 number of CCs are supported for short processing time.  maxNumberDL-CCs, maxNumberUL-CCs  Indicates to each TTI combination "sTTI-SupportedCombinations", the maximum number of supported Dt CCs/UL CCs for short TTI. Absence of the field indicates that 0 number of CCs are supported for short TTI.  maxNumberDecoding  Indicates the maximum number of blind decodes in UE-specific search space per UE in one subframe for CA with more than 5 CCs as defined in TS 36.213 [23] which is supported by the UE. The number of blind decodes supported by the UE is the field value * 32. Only values 5 to 32 can be used in this version of the specification.  maxNumberIDecoding  No  No  Defines the maximum number of Ethernet header compression contexts supported by the UE across all DRBs and across UE's EHC compressor and EHC decompressor. The indicated number defines the number of concurrently active ROHC contexts supported by the UE excluding context sessions that leave all headers uncompressed. cs2 corresponds with 2 context sessions. For the Noil Ma		
supports fourLayerTM3-TM4 or intraBandContiguousCC-InfoList or FeatureSetDL-PerCC for MR-DC, UE supports the configuration of maxLayersMIMO for these cases regardless of indicating maxLayersMIMO-Indication.  maxLayersSlotOrSubslotPUSCH Indicates the maximum number of layers for slot-PUSCH or subslot-PUSCH transmission.  maxNumberCCs-SPT Indicates the maximum number of supported CCs for short processing time. The UE capability is reported per band combination. The reported number of carriers applies to all the FS-type(s) frameStructureType-SPT-r15 supported in a given band combination. Absence of the field indicates that 0 number of CCs are supported for short processing time.  maxNumberDL-CCs, maxNumberUL-CCs Indicates for each TTI combination "sTTI-SupportedCombinations", the maximum number of supported for short TTI.  maxNumberDecoding Indicates the maximum number of blind decodes in UE-specific search space per UE in one subframe for CA with more than 5 CCs as defined in TS 36.213 [23] which is supported by the UE. The number of blind decodes supported by the UE is the field value " 32. Only values 5 to 32 can be used in this version of the specification.  maxNumberEHC-Contexts  Defines the maximum number of Ethernet header compression contexts supported by the UE across all DRBs and across UE's EHC compressor and EHC decompressor. The indicated number defines the number of contexts in addition to CID = "all zeros" as specified in Annex A of TS 38.323 [83].  **Note that the sessions that leave all headers uncompressed. cs2 corresponds with 2 (context sessions), cs4 corresponds with 4 and so on. The network ignores this field if the UE supports none of the ROHC profiles in supportedROHC-Profiles. If the UE indicates both maxNumberROHC-ContextSessions and maxNumberROHC-ContextSessions-r14, same value shall be indicated.  maxNumberUpdatedCSI-Proc. maxNumberUpdatedCSI-Proc-STTI-Comb27, maxNumberUpdatedCSI-Proc-STTI-Comb27.5et1 for (subslot, slot), Comb27 for (subslot, slot), Comb22-Set1 for (subslot, subslot) pr		-
MR-DC, UE supports the configuration of maxLayersMIMO for these cases regardless of indicating maxLayersMIMO-Indication.  maxLayersSidOrSubslotPUSCH Indicates the maximum number of layers for slot-PUSCH or subslot-PUSCH transmission.  maxNumberCCs-SPT Indicates the maximum number of supported CCs for short processing time. The UE capability is reported per band combination. The reported number of carriers applies to all the FS-type(s) frameStructureType-SPT-r15 supported in a given band combination. Absence of the field indicates that 0 number of CCs are supported for short processing time.  maxNumberDL-CCs, maxNumberUL-CCs Indicates for each TTI combination "sTTI-SupportedCombinations", the maximum number of supported DL CCs/UL CCs for short TTI. Absence of the field indicates that 0 number of CCs are supported for short TTI. Absence of the field indicates that 0 number of CCs are supported for short TTI. Absence of the field indicates that 0 number of CCs are supported for short TTI. Absence of the field indicates that 0 number of CCs are supported by the UE in the field value "32. Only values 5 to 32 can be used in this version of the specification.  maxNumberCEC-Contexts  Defines the maximum number of Ethernet header compression contexts supported by the UE across all DRBs and across UE's EHC compressor and EHC decompressor. The indicated number defines the number of concurrently active ROHC contexts supported by the UE, excluding context sessions that leave all headers uncompressed. cs2 corresponds with 2 (context sessions), cs4 corresponds with 4 and so on. The network ignores this field if the UE supports none of the ROHC profiles in supportedROHC-Profiles. If the UE indicates both maxNumberROHC-ContextSessions and maxNumberROHC-ContextSessions-r14, same value shall be indicated.  maxNumberUpdatedCSI-Proc., maxNumberUpdatedCSI-Proc-SPT Indicates the maximum number of CSI processes to be updated across CCs.  maxNumberUpdatedCSI-Proc-STTI-Comb27, maxNumberUpdatedCSI-Proc-STTI-Comb27. Set2 Indicates the max	Indicates whether the UE supports the network configuration of maxLayersMIMO. If the UE	
indicates the maximum number of supported CCs for short processing time. The UE capability is reported per band combination. The reported number of carriers applies to all the FS-type(s) frameStructureType-SPT-r15 supported in a given band combination. Absence of the field indicates that 0 number of CCs are supported for short processing time. The UE capability is reported per band combination. The reported number of carriers applies to all the FS-type(s) frameStructureType-SPT-r15 supported in a given band combination. Absence of the field indicates that 0 number of CCs are supported for short processing time.  maxNumberDL-CCs, maxNumberUL-CCs Indicates for each TTI combination "STTI-SupportedCombinations", the maximum number of supported Dc CCs/UL CCs for short TTI. Absence of the field indicates that 0 number of CCs are supported for short TTI.  maxNumberDecoding Indicates the maximum number of blind decodes in UE-specific search space per UE in one subframe for CA with more than 5 CCs as defined in TS 36.213 [23] which is supported by the UE. The number of blind decodes supported by the UE is the field value "32. Only values 5 to 32 can be used in this version of the specification.  maxNumberEHC-Contexts  Defines the maximum number of Ethemet header compression contexts supported by the UE across all DRBs and across UE's EHC compressor and EHC decompressor. The indicated number defines the number of contexts in addition to CID = "all zeros" as specified in Annex A of TS 38.323 [83].  maxNumberROHC-ContextSessions  Set to the maximum number of concurrently active ROHC contexts supported by the UE, excluding context sessions that leave all headers uncompressed. cs2 corresponds with 2 (context sessions), cs4 corresponds with 4 and so on. The network ignores this field if the UE supports none of the ROHC profiles in supported/ROHC-Profiles. If the UE indicates both maxNumberROHC-ContextSessions and maxNumberROHC-ContextSessions-r14, same value shall be indicated.  maxNumberROHC-ContextSessions and maxNumberRoHC	supports fourLayerTM3-TM4 or intraBandContiguousCC-InfoList or FeatureSetDL-PerCC for	
Indicates the maximum number of layers for slot-PUSCH or subslot-PUSCH transmission.	MR-DC, UE supports the configuration of maxLayersMIMO for these cases regardless of	
Indicates the maximum number of layers for slot-PUSCH or subslot-PUSCH transmission.  maxNumberCCs-SPT Indicates the maximum number of supported CCs for short processing time. The UE capability is reported per band combination. The reported number of carriers applies to all the FS-type(s) frameStructureType-SPT-r15 supported in a given band combination. Absence of the field indicates that 0 number of CCs are supported for short processing time.  maxNumberDL-CCs, maxNumberUL-CCs Indicates for each TTI combination "sTTI-SupportedCombinations", the maximum number of supported DL CCs/UL CCs for short TTI. Absence of the field indicates that 0 number of CCs are supported for short TTI.  maxNumberDecoding Indicates the maximum number of blind decodes in UE-specific search space per UE in one subframe for CA with more than 5 CCs as defined in TS 36.213 [23] which is supported by the UE. The number of blind decodes supported by the UE is the field value * 32. Only values 5 to 32 can be used in this version of the specification.  maxNumberEHC-Contexts  Defines the maximum number of Ethernet header compression contexts supported by the UE across all DRBs and across UE's EHC compressor and EHC decompressor. The indicated number defines the number of contexts in addition to CID = "all zeros" as specified in Annex A of TS 38.323 [83].  maxNumberChC-ContextSessions  Set to the maximum number of concurrently active ROHC contexts supported by the UE, excluding context sessions that leave all headers uncompressed. cs2 corresponds with 2 (context sessions), cs4 corresponds with 4 and so on. The network ignores this field if the UE supports none of the ROHC profiles in supportedROHC-Profiles. If the UE indicates both maxNumberROHC-ContextSessions and maxNumberUpdatedCSI-Proc-STTI-Comb27-Set1 [No Indicates the maximum number of CSI processes to be updated across CCs. Comb77 is applicable for (slot, slot), Comb27 for (subslot, slot), Comb22-Set2 for (subslot, subslot) processing timeline set 1 and the Comb22-Set2 for (subslot, subs		
Indicates the maximum number of supported CCs for short processing time. The UE capability is reported per band combination. The reported number of carriers applies to all the FS-type(s) frameStructureType-SPT-r15 supported in a given band combination. Absence of the field indicates that 0 number of CCs are supported for short processing time.  **maxNumberDL-CCs*** [Indicates for each TTI combination "sTTI-SupportedCombinations", the maximum number of supported DL CCs/UL CCs for short TTI. Absence of the field indicates that 0 number of CCs are supported for Sort TTI. Absence of the field indicates that 0 number of CCs are supported for Sort TTI.  **maxNumberDecoding**  No Indicates the maximum number of blind decodes in UE-specific search space per UE in one subframe for CA with more than 5 CCs as defined in TS 36.213 [23] which is supported by the UE. The number of blind decodes supported by the UE is the field value * 32. Only values 5 to 32 can be used in this version of the specification.  **maxNumberEHC-Contexts**  Defines the maximum number of Ethernet header compression contexts supported by the UE across all DRBs and across UE's EHC compressor and EHC decompressor. The indicated number defines the number of contexts in addition to CID = "all zeros" as specified in Annex A of TS 38.323 [83].  **maxNumberROHC-ContextSessions**  Set to the maximum number of concurrently active ROHC contexts supported by the UE, excluding context sessions that leave all headers uncompressed. cs2 corresponds with 2 (context sessions), cs4 corresponds with 4 and so on. The network ignores this field if the UE supports none of the ROHC profiles in supportedROHC-Profiles. If the UE indicates both maxNumberROHC-ContextSessions and maxNumberUpdatedCSI-Proc-STTI-Comb27-Profiles. If the UE indicates both maxNumberUpdatedCSI-Proc-STTI-Comb27. maxNumberUpdatedCSI-Proc-STTI-Comb27-Set1 Indicates the maximum number of CSI processes to be updated across CCs. Comb77 is applicable for (slot, slot), Comb27 for (subslot, slot), Comb22-Set2		Yes
Indicates the maximum number of supported CCs for short processing time. The UE capability is reported per band combination. The reported number of carriers applies to all the FS-type(s) frameStructureType-SPT-r15 supported in a given band combination. Absence of the field indicates that 0 number of CCs are supported for short processing time.  maxNumberDL-CCs, maxNumberUL-CCs Indicates for each TTI combination "sTTI-SupportedCombinations", the maximum number of supported DL CCs/UL CCs for short TTI. Absence of the field indicates that 0 number of CCs are supported Dr short TTI. Absence of the field indicates that 0 number of CCs are supported Dr short TTI.  maxNumberDecoding Indicates the maximum number of blind decodes in UE-specific search space per UE in one subframe for CA with more than 5 CCs as defined in TS 36.213 [23] which is supported by the UE. The number of blind decodes supported by the UE is the field value * 32. Only values 5 to 32 can be used in this version of the specification.  maxNumberEHC-Contexts  Defines the maximum number of Ethernet header compression contexts supported by the UE across all DRBs and across UE's EHC compressor and EHC decompressor. The indicated number defines the number of concurrently active ROHC contexts supported by the UE, excluding context sessions that leave all headers uncompressed. cs2 corresponds with 2 (context sessions), cs4 corresponds with 4 and so on. The network ignores this field if the UE supports none of the ROHC profiles in supportedROHC-Profiles. If the UE indicates both maxNumberUpdatedCSI-Proc. maxNumberUpdatedCSI-Proc-STTI-Comb27. maxNu		
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frameStructureType-SPT-r15 supported in a given band combination. Absence of the field indicates that 0 number of CCs are supported for short processing time.  maxNumberDL-CCs, maxNumberUL-CCs Indicates for each TTI combination "sTTI-SupportedCombinations", the maximum number of supported DL CCs/UL CCs for short TTI. Absence of the field indicates that 0 number of CCs are supported for short TTI.  maxNumberDecoding  No Indicates the maximum number of blind decodes in UE-specific search space per UE in one subframe for CA with more than 5 CCs as defined in TS 36.213 [23] which is supported by the UE. The number of blind decodes supported by the UE is the field value * 32. Only values 5 to 32 can be used in this version of the specification.  maxNumberEHC-Contexts  Defines the maximum number of Ethernet header compression contexts supported by the UE across all DRBs and across UE's EHC compressor and EHC decompressor. The indicated number defines the number of contexts in addition to CID = "all zeros" as specified in Annex A of TS 38.323 [83].  maxNumberROHC-ContextSessions  Set to the maximum number of concurrently active ROHC contexts supported by the UE, excluding context sessions that leave all headers uncompressed. cs2 corresponds with 2 (context sessions), cs4 corresponds with 4 and so on. The network ignores this field if the UE supports none of the ROHC profiles in supportedROHC-Profiles. If the UE indicates both maxNumberROHC-ContextSessions and maxNumberROHC-ContextSessions-r14, same value shall be indicated.  maxNumberUpdatedCSI-Proc, maxNumberUpdatedCSI-Proc-SPT No Indicates the maximum number of CSI processes to be updated across CCs.  maxNumberUpdatedCSI-Proc, stTI-Comb27, maxNumberUpdatedCSI-Proc-STTI-Comb27. maxNumberUpdatedCSI-Proc-STTI-Comb27. Set1, maxNumberUpdatedCSI-Proc-STTI-Comb27. Set1, maxNumberUpdatedCSI-Proc-STTI-Comb27. Set1, for (subslot, subslot) processing timeline set 2.  mbms-AsyncDC  Indicates whether the UE in RRC_CONNECTED supports MBMS reception via MRB on a		
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Indicates for each TTI combination "sTTI-SupportedCombinations", the maximum number of supported DL CCs/UL CCs for short TTI. Absence of the field indicates that 0 number of CCs are supported for short TTI.  **MaxNumberDecoding**  No Indicates the maximum number of blind decodes in UE-specific search space per UE in one subframe for CA with more than 5 CCs as defined in TS 36.213 [23] which is supported by the UE. The number of blind decodes supported by the UE is the field value * 32. Only values 5 to 32 can be used in this version of the specification.  **maxNumberEHC-Contexts**  Defines the maximum number of Ethernet header compression contexts supported by the UE across all DRBs and across UE's EHC compressor and EHC decompressor. The indicated number defines the number of contexts in addition to CID = "all zeros" as specified in Annex A of TS 38.323 [83].  **maxNumberROHC-ContextSessions**  Set to the maximum number of concurrently active ROHC contexts supported by the UE, excluding context sessions, that leave all headers uncompressed. cs2 corresponds with 2 (context sessions), cs4 corresponds with 4 and so on. The network ignores this field if the UE supports none of the ROHC profiles in supportedROHC-Profiles. If the UE indicates both maxNumberROHC-ContextSessions and maxNumberROHC-ContextSessions-r14, same value shall be indicated.  **maxNumberUpdatedCSI-Proc, maxNumberUpdatedCSI-Proc-SPT**  Indicates the maximum number of CSI processes to be updated across CCs.  **maxNumberUpdatedCSI-Proc-STTI-Comb27, maxNumberUpdatedCSI-Proc-STTI-Comb27, maxNumberUpdatedCSI-Proc-STTI-Comb27. maxNumberUpdate		
Indicates for each TTI combination "sTTI-SupportedCombinations", the maximum number of supported DL CCs/UL CCs for short TTI. Absence of the field indicates that 0 number of CCs are supported for short TTI.  **maxNumberDecoding**  No Indicates the maximum number of blind decodes in UE-specific search space per UE in one subframe for CA with more than 5 CCs as defined in TS 36.213 [23] which is supported by the UE. The number of blind decodes supported by the UE is the field value * 32. Only values 5 to 32 can be used in this version of the specification.  **maxNumberEHC-Contexts**  No Defines the maximum number of Ethernet header compression contexts supported by the UE across all DRBs and across UE's EHC compressor and EHC decompressor. The indicated number defines the number of contexts in addition to CID = "all zeros" as specified in Annex A of TS 38.323 [83].  **maxNumberROHC-ContextSessions**  Set to the maximum number of concurrently active ROHC contexts supported by the UE, excluding context sessions, bat leave all headers uncompressed. cs2 corresponds with 2 (context sessions), cs4 corresponds with 4 and so on. The network ignores this field if the UE supports none of the ROHC profiles in supportedROHC-Profiles. If the UE indicates both maxNumberROHC-ContextSessions and maxNumberROHC-ContextSessions-r14, same value shall be indicated.  **maxNumberUpdatedCSI-Proc, maxNumberUpdatedCSI-Proc-SPT   No Indicates the maximum number of CSI processes to be updated across CCs.  **maxNumberUpdatedCSI-Proc-STTI-Comb27, maxNumberUpdatedCSI-Proc-STTI-Comb27, maxNumberUpdatedCSI-Proc-STTI-Comb27, maxNumberUpdatedCSI-Proc-STTI-Comb22-Set2 Indicates the maximum number of CSI processes to be updated across CCs. Comb77 is applicable for {slot, slot}, Comb27 for {subslot, slot}, Comb22-Set2 for {subslot, subslot} processing timeline set 2.  **mbms-AsyncDC** Indicates whether the UE in RRC_CONNECTED supports MBMS reception via MRB on a		
supported DL CCs/UL CCs for short TTI. Absence of the field indicates that 0 number of CCs are supported for short TTI.  **maxNumberDecoding**  Indicates the maximum number of blind decodes in UE-specific search space per UE in one subframe for CA with more than 5 CCs as defined in TS 36.213 [23] which is supported by the UE. The number of blind decodes supported by the UE is the field value * 32. Only values 5 to 32 can be used in this version of the specification.  **maxNumberEHC-Contexts**  No Defines the maximum number of Ethernet header compression contexts supported by the UE across all DRBs and across UE's EHC compressor and EHC decompressor. The indicated number defines the number of contexts in addition to CID = "all zeros" as specified in Annex A of TS 38.323 [83].  **maxNumberROHC-ContextSessions**  Set to the maximum number of concurrently active ROHC contexts supported by the UE, excluding context sessions that leave all headers uncompressed. cs2 corresponds with 2 (context sessions), cs4 corresponds with 4 and so on. The network ignores this field if the UE supports none of the ROHC profiles in supportedROHC-Profiles. If the UE indicates both maxNumberROHC-ContextSessions and maxNumberROHC-ContextSessions-r14, same value shall be indicated.  **maxNumberUpdatedCSI-Proc, maxNumberUpdatedCSI-Proc-SPT** Indicates the maximum number of CSI processes to be updated across CCs.  **maxNumberUpdatedCSI-Proc-STTI-Comb27, maxNumberUpdatedCSI-Proc-STTI-Comb27, maxNumberUpdatedCSI-Proc-STTI-Comb22-Set1 Indicates the maximum number of CSI processes to be updated across CCs. Comb77 is applicable for {slot, slot}, Comb27 for {subslot, slot}, Comb22-Set2 for {subslot, subslot} processing timeline set 1 and the Comb22-Set2 for {subslot, subslot} processing timeline set 1.  **Indicates whether the UE in RRC_CONNECTED supports MBMS reception via MRB on a		-
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Indicates the maximum number of blind decodes in UE-specific search space per UE in one subframe for CA with more than 5 CCs as defined in TS 36.213 [23] which is supported by the UE. The number of blind decodes supported by the UE is the field value * 32. Only values 5 to 32 can be used in this version of the specification.  **maxNumberEHC-Contexts**  Defines the maximum number of Ethernet header compression contexts supported by the UE across all DRBs and across UE's EHC compressor and EHC decompressor. The indicated number defines the number of contexts in addition to CID = "all zeros" as specified in Annex A of TS 38.323 [83].  **maxNumberROHC-ContextSessions**  Set to the maximum number of concurrently active ROHC contexts supported by the UE, excluding context sessions that leave all headers uncompressed. cs2 corresponds with 2 (context sessions), cs4 corresponds with 4 and so on. The network ignores this field if the UE supports none of the ROHC profiles in supportedROHC-Profiles. If the UE indicates both maxNumberROHC-ContextSessions and maxNumberROHC-ContextSessions-r14, same value shall be indicated.  **maxNumberUpdatedCSI-Proc, maxNumberUpdatedCSI-Proc-STTI-Comb27, maxNumberUpdatedCSI-Proc-STTI-Comb27.**  Indicates the maximum number of CSI processes to be updated across CCs.  **maxNumberUpdatedCSI-Proc-STTI-Comb22-Set2**  Indicates the maximum number of CSI processes to be updated across CCs. Comb77 is applicable for {slot, slot}, Comb27 for {subslot, slot}, Comb22-Set1 for {subslot}, subslot} processing timeline set 1 and the Comb22-Set2 for {subslot, subslot} processing timeline set 2.  **mbms-AsyncDC**  Indicates whether the UE in RRC_CONNECTED supports MBMS reception via MRB on a		No
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Mo Defines the maximum number of Ethernet header compression contexts supported by the UE across all DRBs and across UE's EHC compressor and EHC decompressor. The indicated number defines the number of contexts in addition to CID = "all zeros" as specified in Annex A of TS 38.323 [83].  MaxNumberROHC-ContextSessions Set to the maximum number of concurrently active ROHC contexts supported by the UE, excluding context sessions that leave all headers uncompressed. cs2 corresponds with 2 (context sessions), cs4 corresponds with 4 and so on. The network ignores this field if the UE supports none of the ROHC profiles in supportedROHC-Profiles. If the UE indicates both maxNumberROHC-ContextSessions and maxNumberROHC-ContextSessions-r14, same value shall be indicated.  maxNumberUpdatedCSI-Proc, maxNumberUpdatedCSI-Proc-SPT Indicates the maximum number of CSI processes to be updated across CCs.  maxNumberUpdatedCSI-Proc-STTI-Comb27, maxNumberUpdatedCSI-Proc-STTI- Comb27, maxNumberUpdatedCSI-Proc-STTI-Comb22-Set1, maxNumberUpdatedCSI- Proc-STTI-Comb22-Set2 Indicates the maximum number of CSI processes to be updated across CCs. Comb77 is applicable for {slot, slot}, Comb27 for {subslot, slot}, Comb22-Set1 for {subslot, subslot} processing timeline set 1 and the Comb22-Set2 for {subslot, subslot} processing timeline set 2.  mbms-AsyncDC Indicates whether the UE in RRC_CONNECTED supports MBMS reception via MRB on a		
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excluding context sessions that leave all headers uncompressed. cs2 corresponds with 2 (context sessions), cs4 corresponds with 4 and so on. The network ignores this field if the UE supports none of the ROHC profiles in supportedROHC-Profiles. If the UE indicates both maxNumberROHC-ContextSessions and maxNumberROHC-ContextSessions-r14, same value shall be indicated.  maxNumberUpdatedCSI-Proc, maxNumberUpdatedCSI-Proc-SPT No Indicates the maximum number of CSI processes to be updated across CCs.  maxNumberUpdatedCSI-Proc-STTI-Comb77, maxNumberUpdatedCSI-Proc-STTI-Comb27, maxNumberUpdatedCSI-Proc-STTI-Comb22-Set1, maxNumberUpdatedCSI-Proc-STTI-Comb22-Set2 Indicates the maximum number of CSI processes to be updated across CCs. Comb77 is applicable for {slot, slot}, Comb27 for {subslot, slot}, Comb22-Set1 for {subslot, subslot} processing timeline set 1 and the Comb22-Set2 for {subslot, subslot} processing timeline set 2.  mbms-AsyncDC Indicates whether the UE in RRC_CONNECTED supports MBMS reception via MRB on a	maxNumberROHC-ContextSessions	-
excluding context sessions that leave all headers uncompressed. cs2 corresponds with 2 (context sessions), cs4 corresponds with 4 and so on. The network ignores this field if the UE supports none of the ROHC profiles in supportedROHC-Profiles. If the UE indicates both maxNumberROHC-ContextSessions and maxNumberROHC-ContextSessions-r14, same value shall be indicated.  maxNumberUpdatedCSI-Proc, maxNumberUpdatedCSI-Proc-SPT No Indicates the maximum number of CSI processes to be updated across CCs.  maxNumberUpdatedCSI-Proc-STTI-Comb77, maxNumberUpdatedCSI-Proc-STTI-Comb27, maxNumberUpdatedCSI-Proc-STTI-Comb22-Set1, maxNumberUpdatedCSI-Proc-STTI-Comb22-Set2 Indicates the maximum number of CSI processes to be updated across CCs. Comb77 is applicable for {slot, slot}, Comb27 for {subslot, slot}, Comb22-Set1 for {subslot, subslot} processing timeline set 1 and the Comb22-Set2 for {subslot, subslot} processing timeline set 2.  mbms-AsyncDC Indicates whether the UE in RRC_CONNECTED supports MBMS reception via MRB on a	Set to the maximum number of concurrently active ROHC contexts supported by the UE,	
supports none of the ROHC profiles in supportedROHC-Profiles. If the UE indicates both maxNumberROHC-ContextSessions and maxNumberROHC-ContextSessions-r14, same value shall be indicated.  maxNumberUpdatedCSI-Proc, maxNumberUpdatedCSI-Proc-SPT Indicates the maximum number of CSI processes to be updated across CCs.  maxNumberUpdatedCSI-Proc-STTI-Comb77, maxNumberUpdatedCSI-Proc-STTI-Comb27, maxNumberUpdatedCSI-Proc-STTI-Comb22-Set1, maxNumberUpdatedCSI-Proc-STTI-Comb22-Set2 Indicates the maximum number of CSI processes to be updated across CCs. Comb77 is applicable for {slot, slot}, Comb27 for {subslot, slot}, Comb22-Set1 for {subslot, subslot} processing timeline set 1 and the Comb22-Set2 for {subslot, subslot} processing timeline set 2.  mbms-AsyncDC Indicates whether the UE in RRC_CONNECTED supports MBMS reception via MRB on a		
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Comb27, maxNumberUpdatedCSI-Proc-STTI-Comb22-Set1, maxNumberUpdatedCSI-Proc-STTI-Comb22-Set2 Indicates the maximum number of CSI processes to be updated across CCs. Comb77 is applicable for {slot, slot}, Comb27 for {subslot, slot}, Comb22-Set1 for {subslot, subslot} processing timeline set 1 and the Comb22-Set2 for {subslot, subslot} processing timeline set 2.  mbms-AsyncDC Indicates whether the UE in RRC_CONNECTED supports MBMS reception via MRB on a		
Proc-STTI-Comb22-Set2 Indicates the maximum number of CSI processes to be updated across CCs. Comb77 is applicable for {slot, slot}, Comb27 for {subslot, slot}, Comb22-Set1 for {subslot, subslot} processing timeline set 1 and the Comb22-Set2 for {subslot, subslot} processing timeline set 2.  mbms-AsyncDC Indicates whether the UE in RRC_CONNECTED supports MBMS reception via MRB on a		
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{subslot, subslot} processing timeline set 1 and the Comb22-Set2 for {subslot, subslot} processing timeline set 2.  **mbms-AsyncDC** Indicates whether the UE in RRC_CONNECTED supports MBMS reception via MRB on a		
processing timeline set 2.  mbms-AsyncDC Indicates whether the UE in RRC_CONNECTED supports MBMS reception via MRB on a		
mbms-AsyncDC - Indicates whether the UE in RRC_CONNECTED supports MBMS reception via MRB on a		
Indicates whether the UE in RRC_CONNECTED supports MBMS reception via MRB on a		
		-
frequency indicated in an MRMSInterestIndication massage, where (according to	frequency indicated in an MBMSInterestIndication message, where (according to	
supportedBandCombination) the carriers that are or can be configured as serving cells in the		
MCG and the SCG are not synchronized. If this field is included, the UE shall also include		
mbms-SCell and mbms-NonServingCell. The field indicates that the UE supports the feature for		
xDD if mbms-SCell and mbms-NonServingCell are supported for xDD.		

UE-EUTRA-Capability field descriptions	FDD/ TDD diff
mbms-MaxBW Indicates maximum supported bandwidth (T) for MBMS reception, see TS 36.213 [23]. clause 11.1. If the value is set to implicitValue, the corresponding value of T is calculated as specified in TS 36.213 [23], clause 11.1. If the value is set to explicitValue, the actual value of T = explicitValue* 40 MHz.	-
mbms-NonServingCell Indicates whether the UE in RRC_CONNECTED supports MBMS reception via MRB on a frequency indicated in an MBMSInterestIndication message, where (according to supportedBandCombination and to network synchronization properties) a serving cell may be additionally configured. If this field is included, the UE shall also include the mbms-SCell field.	Yes
mbms-ScalingFactor1dot25, mbms-ScalingFactor7dot5 Indicates parameter A <sup>(1,25</sup> / A <sup>(7,5</sup> , i.e., scaling factor for processing one unit of bandwidth corresponding to subcarrier spacing of 1.25 kHz / 7.5 kHz, with respect to one unit of bandwidth corresponding to subcarrier spacing of 15 kHz. See TS 36.213 [23], clause 11.1. This field is included only if subcarrierSpacingMBMS-khz1dot25 / subcarrierSpacingMBMS-khz7dot5 is included. This field shall be included if mbms-MaxBW and subcarrierSpacingMBMS-khz1dot25 / subcarrierSpacingMBMS-khz7dot5 are included.	-
mbms-ScalingFactor0dot37, mbms-ScalingFactor2dot5 Indicates parameter A <sup>(0.37</sup> / A <sup>(25</sup> , i.e., scaling factor for processing one unit of bandwidth corresponding to subcarrier spacing of 0.37 kHz / 2.5 kHz, with respect to one unit of bandwidth corresponding to subcarrier spacing of 15 kHz. See TS 36.213 [23], clause 11.1. This field is included only if fembmsMixedCell or fembmsDedicatedCell is included. This field shall be included if subcarrierSpacingMBMS-khz0dot37 / subcarrierSpacingMBMS-khz2dot5 is included for at least one E-UTRA band in mbms-SupportedBandInfoList.	-
mbms-SCell Indicates whether the UE in RRC_CONNECTED supports MBMS reception via MRB on a frequency indicated in an MBMSInterestIndication message, when an SCell is configured on that frequency (regardless of whether the SCell is activated or deactivated).	Yes
mbms-SupportedBandInfoList  One entry corresponding to each supported E-UTRA band listed in the same order as in supportedBandListEUTRA. This list is included only if fembmsMixedCell or fembmsDedicatedCell is included. If mbms-SupportedBandInfoList-v1700 is included, the UE shall include the same number of entries, and listed in the same order, as in mbms-SupportedBandInfoList-r16.	-
mcgRLF-RecoveryViaSCG Indicates whether the UE supports recovery from MCG RLF via split SRB1 (if supported) and via SRB3 (if supported).	-
meas GapPatterns-NRonly Indicates whether the UE supports gap patterns 2, 3 and 11 in LTE standalone when the frequencies to be measured within this measurement gap are all NR frequencies.	No
meas GapPatterns-NRonly-ENDC Indicates whether the UE supports gap patterns 2, 3 and 11 in (NG)EN-DC when the frequencies to be measured within this measurement gap are all NR frequencies.	No
measurementEnhancements This field defines whether UE supports measurement enhancements in high speed scenario (350 km/h) as specified in TS 36.133 [16].	-
measurementEnhancements2 This field defines whether UE supports measurement enhancements in high speed scenario (up to 500 km/h velocity) as specified in TS 36.133 [16].	-
measurementEnhancementsSCell This field defines whether UE supports SCell measurement enhancements in high speed scenario (350 km/h) as specified in TS 36.133 [16].	-
measGapPatterns Indicates whether the UE that supports NR supports gap patterns 4 to 11 in LTE standalone as specified in TS 36.133 [16], and for independent measurement gap configuration on FR1 and per-UE gap in (NG)EN-DC as specified in TS 38.133 [84]. The first/ leftmost bit covers pattern 4, and so on. Value 1 indicates that the UE supports the concerned gap pattern.	-
mfbi-UTRA  It indicates if the UE supports the signalling requirements of multiple radio frequency bands in a UTRA FDD cell, as defined in TS 25.307 [65].	-
MIMO-BeamformedCapabilityList  A list of pairs of {k-Max, n-MaxList} values with the n <sup>th</sup> entry indicating the values that the UE supports for each CSI process in case n CSI processes would be configured.	No

UE-EUTRA-Capability field descriptions	FDD/
	TDD diff
MIMO-CapabilityDL	-
The number of supported layers for spatial multiplexing in DL. The field may be absent for category 0 and category 1 UE in which case the number of supported layers is 1.	
MIMO-CapabilityUL	-
The number of supported layers for spatial multiplexing in UL. Absence of the field means that the number of supported layers is 1.	
MIMO-CA-ParametersPerBoBC	-
A set of MIMO parameters provided per band of a band combination. In case a subfield is absent, the concerned capabilities are the same as indicated at the per UE level (i.e. by MIMO-UE-ParametersPerTM).	
mimo-CBSR-AdvancedCSI	Yes
Indicates whether UE supports CBSR for advanced CSI reporting with and without amplitude restriction as defined in TS 36.213 [23], clause 7.2.	100
min-Proc-TimelineSubslot	-
Minimum processing timeline for subslot operation. The minimum processing timeline can belong to one of two sets of associated processing and maximum TA operation. The sets supported can be different for 1os CRS-based SPDCCH, 2os CRS-based SPDCCH and DMRS-based SPDCCH. The sequence applies to:  1. 1os CRS based SPDCCH  2. 2os CRS based SPDCCH	
3. DMRS based SPDCCH	
modifiedMPR-Behavior Field encoded as a bit map, where at least one bit N is set to "1" if UE supports modified MPR/A-MPR behaviour N, see TS 36.101 [42]. All remaining bits of the field are set to "0". The leading / leftmost bit (bit 0) corresponds to modified MPR/A-MPR behaviour 0, the next bit corresponds to modified MPR/A-MPR behaviour 1 and so on.	-
Absence of this field means that UE does not support any modified MPR/A-MPR behaviour.	
mpdcch-InLteControlRegionCE-ModeA, mpdcch-InLteControlRegionCE-ModeB Indicates whether UE operating in CE mode A/B supports MPDCCH reception in LTE control channel region as specified in TS 36.211 [21].	Yes
mpsPriorityIndication	-
Indicates whether the UE supports <i>mpsPriorityIndication</i> on release with redirect.	
multiACK-CSI-reporting Indicates whether the UE supports multi-cell HARQ ACK and periodic CSI reporting and SR on PUCCH format 3.	Yes
multiBandInfoReport	-
Indicates whether the UE supports the acquisition and reporting of multi band information for reportCGI.	
multiClusterPUSCH-WithinCC	Yes
multiNS-Pmax	-
Indicates whether the UE supports the mechanisms defined for cells broadcasting NS- PmaxList.	
multipleCellsMeasExtension	-
Indicates whether the UE supports numberOfTriggeringCells in the report configuration.  multipleTimingAdvance	
Indicates whether the UE supports multiple timing advances for each band combination listed in <i>supportedBandCombination</i> . If the band combination comprised of more than one band entry (i.e., inter-band or intra-band non-contiguous band combination), the field indicates that the same or different timing advances on different band entries are supported. If the band combination comprised of one band entry (i.e., intra-band contiguous band combination), the field indicates that the same or different timing advances across component carriers of the band entry are supported. It is mandatory for UEs to support 2 TAGs for inter frequency DAPS handover.	-
multipleUplinkSPS	-
Indicates whether the UE supports multiple uplink SPS and reporting SPS assistance information. A UE indicating <i>multipleUplinkSPS</i> shall also support V2X communication via Uu, as defined in TS 36.300 [9].	
must-CapabilityPerBand Indicates that UE supports MUST, as specified in 36.212 [22], clause 5.3.3.1, on the band in the band combination.	-
must-TM234-UpTo2Tx-r14	-
Indicates that the UE supports MUST operation for TM2/3/4 using up to 2Tx.  must-TM89-UpToOneInterferingLayer-r14	
Indicates that the UE supports MUST operation for TM8/9 with assistance information for up to	-

UE-EUTRA-Capability field descriptions	FDD/ TDD diff
must-TM89-UpToThreeInterferingLayers-r14 Indicates that the UE supports MUST operation for TM8/9 with assistance information for up to 3 interfering layers.	-
must-TM10-UpToOneInterferingLayer-r14 Indicates that the UE supports MUST operation for TM10 with assistance information for up to 1 interfering layer.	-
must-TM10-UpToThreeInterferingLayers-r14 Indicates that the UE supports MUST operation for TM10 with assistance information for up to 3 interfering layers.	-
naics-Capability-List Indicates that UE supports NAICS, i.e. receiving assistance information from serving cell and using it to cancel or suppress interference of neighbouring cell(s) for at least one band combination. If not present, UE does not support NAICS for any band combination. The field numberOfNAICS-CapableCC indicates the number of component carriers where the NAICS processing is supported and the field numberOfAggregatedPRB indicates the maximum aggregated bandwidth across these of component carriers (expressed as a number of PRBs) with the restriction that NAICS is only supported over the full carrier bandwidth. The UE shall indicate the combination of {numberOfNAICS-CapableCC, numberOfNAICS-CapableCC} for every supported numberOfNAICS-CapableCC, e.g. if a UE supports {x CC, y PRBs} and {x-n CC, y-m PRBs} where n>=1 and m>=0, the UE shall indicate both.  - For numberOfNAICS-CapableCC = 1, UE signals one value for numberOfAggregatedPRB from the range {50, 75, 100};  - For numberOfNAICS-CapableCC = 2, UE signals one value for numberOfAggregatedPRB from the range {50, 75, 100, 125, 150, 175, 200, 225, 250, 275, 300};  - For numberOfNAICS-CapableCC = 3, UE signals one value for numberOfAggregatedPRB from the range {50, 75, 100, 125, 150, 175, 200, 225, 250, 275, 300};  - For numberOfNAICS-CapableCC = 4, UE signals one value for numberOfAggregatedPRB from the range {50, 100, 150, 200, 250, 300, 350, 400};  - For numberOfNAICS-CapableCC = 5, UE signals one value for numberOfAggregatedPRB from the range {50, 100, 150, 200, 250, 300, 350, 400};  - For numberOfNAICS-CapableCC = 5, UE signals one value for numberOfAggregatedPRB from the range {50, 100, 150, 200, 250, 300, 350, 400, 450, 500}.	No
Indicates whether the UE supports measurement NCSG Pattern Id 0, 1, 2 and 3, as specified in TS 36.133 [16]. If this field is included and the UE supports asynchronous DC, the UE shall support NCSG Pattern Id 0, 1, 2 and 3. If this field is included but the UE does not support asynchronous DC, only NCSG Pattern Id 0 and 1 shall be supported	No
ng-EN-DC Indicates whether the UE supports NGEN-DC.	-
n-MaxList (in MIMO-UE-ParametersPerTM) Indicates for a particular transmission mode the maximum number of NZP CSI RS ports supported within a CSI process applicable for band combinations for which the concerned capabilities are not signalled. For k-Max values exceeding 1, the UE shall include the field and signal k-Max minus 1 bits. The first bit indicates n-Max2, with value 0 indicating 8 and value 1 indicating 16. The second bit indicates n-Max3, with value 0 indicating 8 and value 1 indicating 16. The third bit indicates n-Max4, with value 0 indicating 8 and value 1 indicating 32. The fourth bit indicates n-Max5, with value 0 indicating 16 and value 1 indicating 32. The fifth bit indicates n-Max6, with value 0 indicating 16 and value 1 indicating 32. The sixt bit indicates n-Max7, with value 0 indicating 16 and value 1 indicating 32. The seventh bit indicates n-Max8, with value 0 indicating 16 and value 1 indicating 64.	Yes
n-MaxList (in MIMO-CA-ParametersPerBoBCPerTM)  If signalled, the field indicates for a particular transmission mode the maximum number of NZP  CSI RS ports supported within a CSI process applicable for band the concerned combination.	No
Further details are as indicated for <i>n-MaxList</i> in <i>MIMO-UE-ParametersPerTM</i> .  NonContiguousUL-RA-WithinCC-List  One entry corresponding to each supported E-UTRA band listed in the same order as in supportedBandListEUTRA.	No
nonPrecoded (in MIMO-UE-ParametersPerTM) Indicates for a particular transmission mode the UE capabilities concerning non-precoded EBF/ FD-MIMO operation (class A) for band combinations for which the concerned capabilities are not signalled in MIMO-CA-ParametersPerBoBCPerTM, and the FD-MIMO processing capability condition as described in NOTE 8 is satisfied.	Yes
nonPrecoded (in MIMO-CA-ParametersPerBoBCPerTM)	-

UE-EUTRA-Capability field descriptions	FDD/ TDD diff
If signalled, the field indicates for a particular transmission mode, the UE capabilities concerning non-precoded EBF/ FD-MIMO operation (class A) applicable for the concerned band combination.	
nonUniformGap Indicates whether the UE supports measurement non uniform Pattern Id 1, 2, 3 and 4 in LTE standalone as specified in TS 36.133 [16].	No
noResourceRestrictionForTTIBundling Indicate whether the UE supports TTI bundling operation without resource allocation restriction.	No
nonCSG-SI-Reporting Indicates whether UE will report PLMN list from non-CSG cells.	-
nr-AutonomousGaps-ENDC-FR1 Indicates whether the UE supports, upon configuration of useAutonomousGapsNR by the network, acquisition of relevant information from a neighbouring NR cell by reading the SI of the neighbouring cell on FR1 using autonomous gaps and reporting the acquired information to the network when it is configured with (NG)EN-DC.	Yes
nr-AutonomousGaps-ENDC-FR2 Indicates whether the UE supports, upon configuration of useAutonomousGapsNR by the network, acquisition of relevant information from a neighbouring NR cell by reading the SI of the neighbouring cell on FR2 using autonomous gaps and reporting the acquired information to the network when it is configured with (NG)EN-DC.	Yes
nr-Autonomous Gaps-FR1 Indicates whether the UE supports, upon configuration of useAutonomous GapsNR by the network, acquisition of relevant information from a neighbouring NR cell by reading the SI of the neighbouring cell on FR1 using autonomous gaps and reporting the acquired information to the network when it is not configured with (NG)EN-DC.	Yes
nr-Autonomous Gaps-FR2 Indicates whether the UE supports, upon configuration of useAutonomous GapsNR by the network, acquisition of relevant information from a neighbouring NR cell by reading the SI of the neighbouring cell on FR2 using autonomous gaps and reporting the acquired information to the network when it is not configured with (NG)EN-DC.	Yes
nr-CellIndividualOffset Indicates whether the UE supports use of cell specific offset for NR inter-RAT measurements.	No
nr-HO-ToEN-DC Indicates whether the UE supports inter-RAT handover from NR to EN-DC while NR-DC or NE-DC is not configured. This field is mandatory present if EN-DC is supported.	-
nr-IdleInactiveBeamMeasFR1 Indicates whether the UE supports performing eNB-configured SSB-based beam level RRM measurements for configured NR FR1 carrier(s) in RRC_IDLE and in RRC_INACTIVE as specified in TS 36.306 [5], clause 4.3.6.46.	No
Indicates whether the UE supports performing eNB-configured SSB-based beam level RRM measurements for configured NR FR2 carrier(s) in RRC_IDLE and in RRC_INACTIVE as specified in TS 36.306 [5], clause 4.3.6.47.	No
nr-IdleInactiveMeasFR1 Indicates whether UE supports reporting measurements performed on NR FR1 carrier(s) during RRC_IDLE and RRC_INACTIVE.	No
nr-IdleInactiveMeasFR2 Indicates whether UE supports reporting measurements performed on NR FR2 carrier(s) during RRC_IDLE and RRC_INACTIVE.	No
nr-RSSI-ChannelOccupancyReporting Indicates whether the UE supports performing measurements and reporting of RSSI and channel occupancy on the corresponding NR band.	-
ntn-Connectivity-EPC Indicates whether the UE supports NTN access when connected to EPC. If the UE indicates this capability, the UE shall support all NTN essential features as specified in TS 36.306 [5].	-
ntn-OffsetTimingEnh Indicates whether the UE supports timing relationship enhancement using Differential Koffset as specified in TS 36.321 [6] and TS 36.213 [23].	-
ntn-PUR-TimerDelay Indicates whether the UE supports delaying the start of the pur-ResponseWindowTimer for NTN, see TS 36.321 [6].	-
ntn-SegmentedPrecompensationGaps Indicates the minumum supported gap length between segments for segmented uplink transmission. Value sym1 corresponds to 1 symbol, value s/1 corresponds to 1 slot, value sf1 corresponds to 1 subframe.	-

UE-EUTRA-Capability field descriptions	FDD/ TDD diff
Intr-ScenarioSupport Indicates whether the UE supports NTN features only for GSO or NGSO scenario. If a UE does not include this field but includes ntn-Connectivity-EPC-r17, the UE supports the NTN features for both GSO and NGSO scenarios.	-
ntn-TA-report Indicates whether the UE supports timing advance reporting in RRC_CONNECTED, see TS 36.321 [6].	-
numberOfBlindDecodesUSS Indicates the maximum number of blind decodes in UE specific search space in one subframe for CCs configured with sTTI operation supported by the UE. The number of blind decodes supported by the UE is the field value X*68. Field value ranges from 4 to 32.	Yes
nzp-CSI-RS-AperiodicInfo Indicates whether the UE supports aperiodic NZP CSI-RS transmission for the indicated transmission mode.	Yes
nzp-CSI-RS-PeriodicInfo Indicates whether the UE supports periodic NZP CSI-RS transmission for the indicated transmission mode.	Yes
otdoa-UE-Assisted Indicates whether the UE supports UE-assisted OTDOA positioning, as specified in TS 36.355 [54].	Yes
outOfOrderDelivery Same as "outOfOrderDelivery" defined in TS 38.306 [87].	No
outOfSequenceGrantHandling Indicates whether the UE supports PUSCH transmissions with out of sequence UL grants as defined in TS 36.213 [23]. This field can be included only if uplinkLAA is included.	-
overheatingInd Indicates whether the UE supports overheating assistance information. overheatingIndForSCG	No
Indicates whether the UE supports the inclusion of NR SCG reduced configuration in the overheating assistance information. The UE which indicates support of <i>overheatingIndForSCG</i> shall also indicate support of <i>overheatingInd</i> .	-
pdcch-CandidateReductions Indicates whether the UE supports PDCCH candidate reduction on UE specific search space as specified in TS 36.213 [23], clause 9.1.1.	No
pdcp-Duplication Indicates whether the UE supports PDCP duplication. pdcp-SN-Extension	-
Indicates whether the UE supports 15 bit length of PDCP sequence number.  pdcp-SN-Extension-18bits	-
Indicates whether the UE supports 18 bit length of PDCP sequence number.  pdcp-TransferSplitUL Indicates whether the UE supports PDCP data transfer split in UL for the drb-TypeSplit as	-
specified in TS 36.323 [8].  pdcp-VersionChangeWithoutHO  Indicates whether, the UE supports changing the PDCP version of DRBs, from LTE PDCP to NR PDCP and vice versa, with and without handover. A UE supporting PDCP version change shall signal field pdcp-Parameters-v1610. When the field pdcp-VersionChangeWithoutHO is not included and pdcp-Parameters-v1610 is included, it implies the UE supports PDCP version	-
change only with handover.  pdsch-CollisionHandling Indicates whether the UE supports PDSCH collision handling as specified in TS 36.213 [23].	No
pdsch-InLteControlRegionCE-ModeA, pdsch-InLteControlRegionCE-ModeB Indicates whether UE operating in CE mode A/B supports PDSCH reception in LTE control channel region as specified in TS 36.211 [21].	Yes
pdsch-MultiTB-CE-ModeA, pdsch-MultiTB-CE-ModeB Indicates whether the UE supports multiple TB scheduling in connected mode for PDSCH when operating in CE mode A/B, as specified in TS 36.211 [21] and TS 36.213 [23].	Yes
pdsch-RepSubframe Indicates whether the UE supports subframe PDSCH repetition.	Yes
pdsch-RepSlot Indicates whether the UE supports slot PDSCH repetition. pdsch-RepSubslot	Yes
Indicates whether the UE supports subslot PDSCH repetition. This field is only applicable for UEs supporting FDD.	-

UE-EUTRA-Capability field descriptions	FDD/ TDD diff
pdsch-SlotSubslotPDSCH-Decoding Indicates whether the UE supports decoding of PDSCH and slot-PDSCH/subslot-PDSCH	Yes
assigned with C-RNTI/SPS C-RNTI in the same subframe for a given carrier.  perServingCellMeasurementGap Indicates whether the UE supports per serving cell measurement gap indication, as specified in	-
TS 36.133 [16].  phy-TDD-ReConfig-FDD-PCell	No
Indicates whether the UE supports TDD UL/DL reconfiguration for TDD serving cell(s) via monitoring PDCCH with eIMTA-RNTI on a FDD PCell, and HARQ feedback according to UL and DL HARQ reference configurations. This bit can only be set to supported only if the UE supports FDD PCell and phy-TDD-ReConfig-TDD-PCell is set to supported.	
phy-TDD-ReConfig-TDD-PCell Indicates whether the UE supports TDD UL/DL reconfiguration for TDD serving cell(s) via monitoring PDCCH with eIMTA-RNTI on a TDD PCell, and HARQ feedback according to UL and DL HARQ reference configurations, and PUCCH format 3.	Yes
pmch-Bandwidth-n40, pmch-Bandwidth-n35, pmch-Bandwidth-n30	-
Indicates, for the E-UTRA band corresponding to the entry in <i>mbms-SupportedBandInfoList-v1700</i> , whether the UE in RRC_CONNECTED supports MBMS reception via MBSFN from MBMS-dedicated cells in an MBSFN area with PMCH bandwidth of 40/ 35/ 30 PRBs as described in TS 36.211 [21] and TS 36.213 [23].	
pmi-Disabling	Yes
powerClass-14dBm	-
Indicates whether the UE supports power class 14 dBm when operating in CE mode A or B for all the bands that are supported by the UE, as specified in TS 36.101 [42].	
powerPrefInd Indicates whether the UE supports power preference indication.	No
powerUCI-SlotPUSCH, powerUCI-SubslotPUSCH Indicates whether the UE supports BPRE derivation based on the actual derived O_CQI. The parameter uplinkPower-CSIPayload configures the UE to derive BPRE based on either the actual value of O_CQI or the largest value of O_CQI across all RI values. If the UE does not support the capability, the UE will derive BPRE based on the largest value of O_CQI across all RI values.	Yes
prach-Enhancements This field defines whether the UE supports random access preambles generated from restricted set type B in high speed scenoario as specified in TS 36.211 [21].	-
processingTimelineSet Indicates, for each SPDCCH configuration, support for a set of TA values. Each set consists of two different processing timelines and associated maximum TA. Set 1 indicates support for n+4 and n+6 and set 2 indicates support for n+6 and n+8, see TS 36.211 [21], clause 8.1, The minimum processing timeline to use, out of the two options for a given set is configured by parameter proc-Timeline. Support of Set 1 implicitly means support of Set 2.	-
pucch-Format4 Indicates whether the UE supports PUCCH format 4.	Yes
pucch-Format5 Indicates whether the UE supports PUCCH format 5.	Yes
pucch-SCell Indicates whether the UE supports PUCCH on SCell.	No
pur-CP-EPC-CE-ModeA, pur-CP-EPC-CE-ModeB, pur-CP-5GC-CE-ModeA, pur-CP-5GC-CE-ModeB Indicates whether UE operating in CE mode A/B supports CP transmission using PUR when	Yes
connected to EPC/ 5GC.  pur-CP-L1Ack Indicates whether UE supports L1 acknowledgement in response to CP transmission using PUR when connected to EPC/ 5GC.	Yes
pur-FrequencyHopping Indicates whether UE supports frequency hopping for transmission using PUR.	Yes
pur-PUSCH-NB-MaxTBS Indicates whether the UE supports 2984 bits max UL TBS in 1.4 MHz for transmission using PUR when operating in CE mode A, as specified in TS 36.212 [22] and TS 36.213 [23].	Yes
pur-RSRP-Validation Indicates whether UE supports serving cell RSRP for TA validation for transmission using PUR when connected to EPC/ 5GC.	Yes

UE-EUTRA-Capability field descriptions	FDD/ TDD diff
pur-SubPRB-CE-ModeA, pur-SubPRB-CE-ModeB Indicates whether UE supports subPRB resource allocation for PUSCH for transmission using PUR when operating in CE mode A/B.	Yes
pur-UP-EPC-CE-ModeA, pur-UP-EPC-CE-ModeB, pur-UP-5GC-CE-ModeA, pur-UP-5GC-CE-ModeB Indicates whether UE operating in CE mode A/B supports UP transmission using PUR when	Yes
connected to EPC/ 5GC.	
pusch-Enhancements Indicates whether the UE supports the PUSCH enhancement mode as specified in TS 36.211 [21] and TS 36.213 [23].	Yes
pusch-FeedbackMode Indicates whether the UE supports PUSCH feedback mode 3-2.	No
pusch-MultiTB-CE-ModeA, pusch-MultiTB-CE-ModeB Indicates whether the UE supports multiple TB scheduling in connected mode for PUSCH when operating in CE mode A/B, as specified in TS 36.211 [21] and TS 36.213 [23].	Yes
pusch-SPS-MaxConfigSlot Indicates the max number of SPS configurations across all cells for slot PUSCH.	Yes
pusch-SPS-MultiConfigSlot Indicates the number of multiple SPS configurations of slot PUSCH for each serving cell.	Yes
pusch-SPS-MaxConfigSubframe	Yes
Indicates the max number of SPS configurations across all cells for subframe PUSCH.  pusch-SPS-MultiConfigSubframe	Yes
Indicates the number of multiple SPS configurations of subframe PUSCH for each serving cell. pusch-SPS-MaxConfigSubslot	-
Indicates the max number of SPS configurations across all cells for subslot PUSCH.  pusch-SPS-MultiConfigSubslot Indicates the number of multiple SPS configurations of subslot PUSCH for each serving cell.	-
This field is only applicable for UEs supporting FDD.  pusch-SPS-SlotRepPCell  Indicates whether the UE appoints SBS repetition for elect BUSCH for BCell	Yes
Indicates whether the UE supports SPS repetition for slot PUSCH for PCell.  pusch-SPS-SlotRepPSCell	Yes
Indicates whether the UE supports SPS repetition for slot PUSCH for PSCell.  pusch-SPS-SlotRepSCell Indicates whether the UE supports SPS repetition for slot PUSCH for serving cells other than	Yes
SpCell.  pusch-SPS-SubframeRepPCell	Yes
Indicates whether the UE supports SPS repetition for subframe PUSCH for PCell.  pusch-SPS-SubframeRepPSCell	Yes
Indicates whether the UE supports SPS repetition for subframe PUSCH for PSCell.  pusch-SPS-SubframeRepSCell	Yes
Indicates whether the UE supports SPS repetition for subframe PUSCH for serving cells other than SpCell.	
pusch-SPS-SubslotRepPCell Indicates whether the UE supports SPS repetition for subslot PUSCH for PCell. This field is only applicable for UEs supporting FDD.	-
pusch-SPS-SubslotRepPSCell Indicates whether the UE supports SPS repetition for subslot PUSCH for PSCell. This field is only applicable for UEs supporting FDD.	-
pusch-SPS-SubslotRepSCell Indicates whether the UE supports SPS repetition for subslot PUSCH for serving cells other than SpCell. This field is only applicable for UEs supporting FDD.	-
pusch-SRS-PowerControl-SubframeSet Indicates whether the UE supports subframe set dependent UL power control for PUSCH and SRS. This field is only applicable for UEs supporting TDD.	Yes
qcI-CRI-BasedCSI-Reporting Indicates whether the UE supports CRI based CSI feedback for the FeCoMP feature as specified in TS 36.213 [23], clause 7.1.10.	-
qcl-TypeC-Operation The UE uses this field to indicate the support of all of the following three features: QCL Type-C operation for FeCoMP, the capability to support separate PDSCH RE mapping for different PDSCH CWs in non-coherent joint transmission and the capability to support handling new DMRS port to MIMO layer mapping for the CWs, as specified in TS 36.213 [23], clause 7.1.10.	-
qoe-MeasReport Indicates whether the UE supports QoE Measurement Collection for streaming services.	-

UE-EUTRA-Capability field descriptions	FDD/ TDD diff
qoe-MTSI-MeasReport	
Indicates whether the UE supports QoE Measurement Collection for MTSI services.  rach-Less Indicates whether the UE supports RACH-less handover, and whether the UE which indicates	-
dc-Parameters supports RACH-less SeNB change, as defined in TS 36.300 [9].	
rach-Report Indicates whether the UE supports delivery of rach-Report.	-
rai-Support  Defines whether the UE supports release assistance indication (RAI) as specified in TS 36.321	No
[6] for BL UEs.  rai-SupportEnh Indicates whether the UE supports 2-bit RAI when connected to EPC as specified in TS 36.321	-
[6]. rclwi Indicates whether the UE supports RCLWI, i.e. reception of rclwi-Configuration. The UE which supports RLCWI shall also indicate support of interRAT-ParametersWLAN-r13. The UE which supports RCLWI and wlan-IW-RAN-Rules shall also support applying WLAN identifiers received in rclwi-Configuration for the access network selection and traffic steering rules when in RRC_IDLE.	-
recommendedBitRate Indicates whether the UE supports the bit rate recommendation message from the eNB to the UE as specified in TS 36.321 [6], clause 6.1.3.13.	No
recommendedBitRateMultiplier Indicates whether the UE supports the bit rate multiplier for recommended bit rate MAC CE as specified in TS 36.321 [6], clause 6.1.3.13. If this field is included, the UE shall also include the recommendedBitRate field.	-
recommendedBitRateQuery Indicates whether the UE supports the bit rate recommendation query message from the UE to the eNB as specified in TS 36.321 [6], clause 6.1.3.13. If this field is included, the UE shall also include the recommendedBitRate field.	No
reducedCP-Latency Indicates whether the UE supports reduced CP latency.	Yes
reducedIntNonContComb Indicates whether the UE supports receiving requestReducedIntNonContComb that requests the UE to exclude supported intra-band non-contiguous CA band combinations other than included in capability signalling as specified in TS 36.306 [5], clause 4.3.5.21.	-
reducedIntNonContCombRequested Indicates that the UE excluded supported intra-band non-contiguous CA band combinations other than included in capability signalling as specified in TS 36.306 [5,] clause 4.3.5.21.	-
reflectiveQoS Indicates whether the UE supports AS reflective QoS.	No
relWeightTwoLayers/ relWeightFourLayers/ relWeightEightLayers Indicates relative weight of processing FD-MIMO with 2/ 4/ 8 layers with respect to non-FD-MIMO with the same number of layers, see NOTE 8. Value v1 corresponds to relative weight of 1, value v1dot25 corresponds to relative weight of 1.25 and so on. This field can be included only if the UE supports the corresponding number of layers (i.e., 2/ 4/ 8 layers).	-
reportCGI-NR-EN-DC Indicates whether the UE supports Inter-RAT report CGI procedure towards NR cell when it is configured with (NG)EN-DC.	Yes
reportCGI-NR-NoEN-DC Indicates whether the UE supports Inter-RAT report CGI procedure towards NR cell when it is not configured with (NG)EN-DC.	Yes
resumeWithMCG-SCellConfig Indicates whether the UE supports (re-)configuration of E-UTRA MCG SCells.	-
resumeWithSCG-Config Indicates whether the UE supports (re-)configuration of an NR SCG.	-
resumeWithStoredMCG-SCells Indicates whether the UE supports not deleting the stored E-UTRA MCG SCell configuration when initiating the resume procedure.	-
resumeWithStoredSCG Indicates whether the UE supports not deleting the stored NR SCG configuration when initiating the resume procedure.	-

UE-EUTRA-Capability field descriptions	FDD/ TDD diff
srs-CapabilityPerBandPairList Indicates, for a particular pair of bands, the SRS carrier switching parameters when switching between the band pair to transmit SRS on a PUSCH-less SCell as specified in TS 36.212 [22] and TS 36.213 [23]. If included, the UE shall include a number of entries as indicated in the following, and listed in the same order, as in bandParameterList for the concerned band	-
combination:  - For the first band, the UE shall include the same number of entries as in bandParameterList i.e. first entry corresponds to first band in bandParameterList and so	
on, - For the second band, the UE shall include one entry less i.e. first entry corresponds to the second band in bandParameterList and so on - And so on.	
requestedBands Indicates the frequency bands requested by E-UTRAN.	-
requestedCCsDL, requestedCCsUL Indicates the maximum number of CCs requested by E-UTRAN.	-
requested DiffFallbackCombList Indicates the CA band combinations for which report of different UE capabilities is requested by E-UTRAN.	-
Indicates the interruption time on DL reception within a band pair during the RF retuning for switching between the band pair to transmit SRS on a PUSCH-less SCell. n0 represents 0 OFDM symbols, n0dot5 represents 0.5 OFDM symbols, n1 represents 1 OFDM symbol and so on. This field is mandatory present if switching between the band pair is supported.	-
rf-RetuningTimeUL Indicates the interruption time on UL transmission within a band pair during the RF retuning for switching between the band pair to transmit SRS on a PUSCH-less SCell. n0 represents 0 OFDM symbols, n0dot5 represents 0.5 OFDM symbols, n1 represents 1 OFDM symbol and so on. This field is mandatory present if switching between the band pair is supported.	-
rlc-AM-Ooo-Delivery Indicates whether the UE supports out-of-order delivery from RLC to PDCP for RLC AM.	-
rlc-UM-Ooo-Delivery Indicates whether the UE supports out-of-order delivery from RLC to PDCP for RLC UM.	-
rlm-ReportSupport Indicates whether the UE supports RLM event and information reporting.	-
rohc-ContextContinue Same as "continueROHC-Context" defined in TS 38.306 [87].	No
rohc-ContextMaxSessions Same as "maxNumberROHC-ContextSessions" defined in TS 38.306 [87].	No
rohc-Profiles Same as "supportedROHC-Profiles" defined in TS 38.306 [87].	No
rohc-ProfilesUL-Only Same as "uplinkOnlyROHC-Profiles" defined in TS 38.306 [87].	No
rsrqMeasWideband Indicates whether the UE can perform RSRQ measurements with wider bandwidth.	Yes
rsrq-OnAllSymbols Indicates whether the UE can perform RSRQ measurement on all OFDM symbols and also support the extended RSRQ upper value range from -3dB to 2.5dB in measurement	No
configuration and reporting as specified in TS 36.133 [16].  rs-SINR-Meas Indicates whether the UE can perform RS-SINR measurements in RRC_CONNECTED as specified in TS 36.214 [48].	-
rssi-AndChannelOccupancyReporting Indicates whether the UE supports performing measurements and reporting of RSSI and	-
channel occupancy. This field can be included only if downlinkLAA is included.  sa-NR Indicates whether the UE supports standalone NR as specified in TS 38.331 [82].	No

UE-EUTRA-Capability field descriptions	FDD/ TDD diff
scalingFactorTxSidelink, scalingFactorRxSidelink Indicates, for a particular band combination of EUTRA, the scaling facor, as defined in TS 38.306 [87], for the PC5 band combination(s) v2x-SupportedBandCombinationListEUTRA-NR on which the UE supports simultaneous transmission/reception of EUTRA and NR sidelink communication respectively, or simultaneous transmission or reception of EUTRA and joint V2X sidelink communication and NR sidelink communication respectively (as indicated by v2x-SupportedTxBandCombListPerBC-v1630 / v2x-SupportedRxBandCombListPerBC-v1630). The leading / leftmost value corresponds to the first band combination included in v2x-SupportedBandCombListPerBC-v1630 / v2x-SupportedRxBandCombListPerBC-v1630, the next value corresponds to the second band combination included in v2x-SupportedBandCombinationListEUTRA-NR which is indicated with value 1 by v2x-SupportedBandCombinationListEUTRA-NR which is indicated with value 1 by v2x-SupportedTxBandCombListPerBC-v1630 / v2x-SupportedRxBandCombListPerBC-v1630 and so on. For each value of ScalingFactorSidelink-r16, value f0p4 indicates the scaling factor 0.4, f0p75 indicates 0.75, and so on.	-
scptm-AsyncDC Indicates whether the UE in RRC_CONNECTED supports MBMS reception via SC-MRB on a frequency indicated in an MBMSInterestIndication message, where (according to supportedBandCombination) the carriers that are or can be configured as serving cells in the MCG and the SCG are not synchronized. If this field is included, the UE shall also include scptm-SCell and scptm-NonServingCell.	Yes
scptm-NonServingCell Indicates whether the UE in RRC_CONNECTED supports MBMS reception via SC-MRB on a frequency indicated in an MBMSInterestIndication message, where (according to supportedBandCombination and to network synchronization properties) a serving cell may be additionally configured. If this field is included, the UE shall also include the scptm-SCell field.	Yes
scptm-Parameters Presence of the field indicates that the UE supports SC-PTM reception as specified in TS 36.306 [5].	Yes
scptm-SCell Indicates whether the UE in RRC_CONNECTED supports MBMS reception via SC-MRB on a frequency indicated in an MBMSInterestIndication message, when an SCell is configured on that frequency (regardless of whether the SCell is activated or deactivated).	Yes
scptm-ParallelReception Indicates whether the UE in RRC_CONNECTED supports parallel reception in the same subframe of DL-SCH transport blocks transmitted using C-RNTI/Semi-Persistent Scheduling C-RNTI and using SC-RNTI/G-RNTI as specified in TS 36.306 [5].	Yes
secondSlotStartingPosition Indicates whether the UE supports reception of subframes with second slot starting position as described in TS 36.211 [21] and TS 36.213 [23]. This field can be included only if downlinkLAA is included.	-
semiOL Indicates whether the UE supports semi-open-loop transmission for the indicated transmission mode.	Yes
semiStaticCFI Indicates whether the UE supports the semi-static configuration of CFI for subframe/slot/sub-slot operation.	Yes
semiStaticCFI-Pattern Indicates whether the UE supports the semi-static configuration of CFI pattern for subframe/slot/sub-slot operation. This field is only applicable for UEs supporting TDD.	-
sharedSpectrumMeasNR-EN-DC Indicates whether the UE supports performing measurements and reporting of RSSI and channel occupancy on each supported NR band in EN-DC. If included, the UE shall include the same number of entries, and listed in the same order as in supportedBandListEN-DC-r15.	-
sharedSpectrumMeasNR-SA Indicates whether the UE supports performing measurements and reporting of RSSI and channel occupancy on each supported NR band in NR SA. If included, the UE shall include the same number of entries, and listed in the same order as in supportedBandListNR-SA-r15.	-
shortCQI-ForSCellActivation Indicates whether the UE supports additional CQI reporting periodicity after SCell activation.	Yes
shortMeasurementGap Indicates whether the UE supports shorter measurement gap length (i.e. <i>gp2</i> and <i>gp3</i> ) in LTE standalone as specified in TS 36.133 [16], and for independent measurement gap configuration on FR1 and per-UE gap in (NG)EN-DC as specified in TS38.133 [84].	No

UE-EUTRA-Capability field descriptions	FDD/ TDD diff
shortSPS-IntervalFDD Indicates whether the UE supports uplink SPS intervals shorter than 10 subframes in FDD mode.	-
shortSPS-IntervalTDD Indicates whether the UE supports uplink SPS intervals shorter than 10 subframes in TDD	-
mode. simultaneousPUCCH-PUSCH	Yes
Indicates whether the UE supports simultaneous transmission of PUSCH/PUCCH and SlotOrSubslotPUSCH/SPUCCH (if supported).	
simultaneousRx-Tx Indicates whether the UE supports simultaneous reception and transmission on different bands for each band combination listed in supportedBandCombination. This field is only applicable for inter-band TDD band combinations. A UE indicating support of simultaneousRx-Tx and dc-Support-r12 shall support different UL/DL configurations between PCell and PSCell.	-
simultaneous Tx-Different Tx-Duration Indicates whether the UE supports simultaneous transmission of different transmission durations over different carriers. The different transmission durations can be of subframe, slot or subslot duration.	-
skipFallbackCombinations  Indicates whether UE supports receiving requestSkipFallbackComb that requests UE to exclude fallback band combinations from capability signalling.	-
skipFallbackCombRequested ndicates whether requestSkipFallbackComb is requested by E-UTRAN.	-
skipMonitoringDCI-Format0-1A Indicates whether UE supports blind decoding reduction on UE specific search space by not monitoring DCI Format 0 and 1A as specified in TS 36.213 [23], clause 9.1.1. skipSubframeProcessing	No
This fields defines whether the UE supports aborting reception of PDSCH if the UE receives slot-PDSCH/subslot-PDSCH during an ongoing PDSCH reception and instead starts receiving the slot-PDSCH/subslot-PDSCH, as well as whether the UE supports aborting a PUSCH transmission if the UE gets a grant for a slot-PUSCH/ subslot-PUSCH transmission that overlaps with a grant received for a PUSCH transmission. The capability indicates the number of subframes that the UE may drop prior to the subframe in which it prioritizes the processing of slot/subslot PDSCH/PUSCH as described in TS 36.213 [23], clauses 7.1 and 8.0. Separate capability for UL and DL and per sTTI length in each direction: skipProcessingDL-Slot, skipProcessingUL-Slot and skipProcessingUL-Subslot.	
skipUplinkDynamic Indicates whether the UE supports skipping of UL transmission for an uplink grant indicated on PDCCH if no data is available for transmission as described in TS 36.321 [6].	
skipUplinkSPS  ndicates whether the UE supports skipping of UL transmission for a configured uplink grant if no data is available for transmission as described in TS 36.321 [6].	-
sI-64QAM-Rx ndicates whether the UE supports 64QAM for the reception of V2X sidelink communication.	-
si-64QAM-Tx ndicates whether the UE supports 64QAM for the transmission of V2X sidelink communication.	-
sI-CongestionControl  ndicates whether the UE supports Channel Busy Ratio measurement and reporting of Channel Busy Ratio measurement results to eNB for V2X sidelink communication.	-
sI-LowT2min Indicates whether the UE supports 10ms as minimum value of T2 for resource selection brocedure of V2X sidelink communication.	-
sI-ParameterNR  ncludes the SidelinkParametersNR IE as specified in TS 38.331 [82]. The field includes the sidelink capability for NR-PC5, where multipleSR-ConfigurationsSidelink, logicalChannelSR-DelayTimerSidelink and relayParameters are not applicable.	-
sI-RateMatchingTBSScaling ndicates whether the UE supports rate matching and TBS scalling for V2X sidelink communication.	_
slotPDSCH-TxDiv-TM8  ndicates whether the UE supports TX diversity transmission using ports 7 and 8 for TM8 for slot PDSCH.	-
slotPDSCH-TxDiv-TM9and10 Indicates whether the UE supports TX diversity transmission using ports 7 and 8 for TM9/10 for slot PDSCH.	Yes

UE-EUTRA-Capability field descriptions	FDD/ TDD diff
slotSymbolResourceResvDL-CE-ModeA, slotSymbolResourceResvDL-CE-ModeB, slotSymbolResourceResvUL-CE-ModeA, slotSymbolResourceResvUL-CE-ModeB Indicates whether the UE supports slot/symbol-level time-domain resource reservation in downlink/uplink when operating in CE mode A/B, as specified in TS 36.211 [21] and TS 36.213 [23].	Yes
slss-SupportedTxFreq Indicates whether the UE supports the SLSS transmission on single carrier or on multiple carriers in the case of sidelink carrier aggregation.	-
s/ss-TxRx Indicates whether the UE supports SLSS/PSBCH transmission and reception in UE autonomous resource selection mode and eNB scheduled mode in a band for V2X sidelink communication.	-
sl-TxDiversity Indicates whether the UE supports transmit diversity for V2X sidelink communication. See TS 36.101 [42].	-
sn-SizeLo Same as "shortSN" defined in TS 38.306 [87].	No
spatialBundling-HARQ-ACK Indicates whether UE supports HARQ-ACK spatial bundling on PUCCH or PUSCH as specified in TS 36.213 [23], clauses 7.3.1 and 7.3.2.	No
spdcch-differentRS-types Indicates whether the UE supports monitoring of sPDCCH on RB sets with different RS types within a TTI.	Yes
spdcch-Reuse Indicates whether the UE supports L1 based SPDCCH reuse.	Yes
sps-CyclicShift Indicates whether the UE supports RRC configuration of cyclic shift for DMRS for UL SPS using 1ms TTI.	Yes
sps-ServingCell Indicates whether the UE supports multiple UL/DL SPS configurations simultaneously active on different serving cells as specified in TS 36.321 [6].	-
sps-STTI Indicates whether the UE supports SPS in DL and/or UL for slot or subslot based PDSCH and PUSCH, respectively.	Yes
srs-DCI7-TriggeringFS2 Indicates whether the UE supports SRS triggerring via DCI format 7 for FS2.	-
srs-Enhancements Indicates whether the UE supports SRS enhancements.	Yes
srs-EnhancementsTDD	Yes
Indicates whether the UE supports TDD specific SRS enhancements.  srs-FlexibleTiming Indicates whether the UE supports configuration of soundingRS-FlexibleTiming-r14 for the corresponding band pair. For a TDD-TDD band pair, UE shall include at least one of srs-FlexibleTiming and/or srs-HARQ-ReferenceConfig when rf-RetuningTimeDL or rf-RetuningTimeUL corresponding to the band pair is larger than 1 OFDM symbol.	-
srs-HARQ-ReferenceConfig Indicates whether the UE supports configuration of harq-ReferenceConfig-r14 for the corresponding band pair. For a TDD-TDD band pair, UE shall include at least one of srs-FlexibleTiming and/or srs-HARQ-ReferenceConfig when rf-RetuningTimeDL or rf-RetuningTimeUL corresponding to the band pair is larger than 1 OFDM symbol.	-
srs-MaxSimultaneousCCs Indicates the maximum number of simultaneously configurable target CCs for SRS switching (i.e., CCs for which srs-SwitchFromServCellIndex is configured) supported by the UE.	-
srs-UpPTS-6sym Indicates whether the UE supports up to 6-symbol SRS in UpPTS.	
srvcc-FromUTRA-FDD-ToGERAN Indicates whether UE supports SRVCC handover from UTRA FDD PS HS to GERAN CS.	-
srvcc-FromUTRA-FDD-ToUTRA-FDD Indicates whether UE supports SRVCC handover from UTRA FDD PS HS to UTRA FDD CS.	-
srvcc-FromUTRA-TDD128-ToGERAN Indicates whether UE supports SRVCC handover from UTRA TDD 1.28Mcps PS HS to GERAN CS.	-

UE-EUTRA-Capability field descriptions	FDD/ TDD diff
srvcc-FromUTRA-TDD128-ToUTRA-TDD128 Indicates whether UE supports SRVCC handover from UTRA TDD 1.28Mcps PS HS to UTRA TDD 1.28Mcps CS.	-
ss-CCH-InterfHandI Indicates whether the UE supports synchronisation signal and common channel interference handling.	Yes
ss-SINR-Meas-NR-FR1, ss-SINR-Meas-NR-FR2 Indicates whether the UE can perform NR SS-SINR measurement for a frequency range (i.e.	-
FR1 or FR2) as specified in TS 38.215 [89].  ssp10-TDD-Only Indicates the UE supports special subframe configuration 10 when operating only in TDD carriers (i.e., not in TDD/FDD CA or TDD/FS3 CA). A UE including this field shall not include tdd-SpecialSubframe-r14.	-
standaloneGNSS-Location Indicates whether the UE is equipped with a standalone GNSS receiver that may be used to provide detailed location information in RRC measurement report and logged measurements.	-
sTTI-SPT-Supported Indicates whether the UE supports the features STTI and/or SPT. If the UE supports STTI and/or SPT features, the UE shall report the field sTTI-SPT-Supported set to supported in capability signalling, irrespective of whether requestSTTI-SPT-Capability field is present or not.	-
sTTI-FD-MIMO-Coexistence Indicates whether the UE supports CSI feedback for more than 8 NZP CSI-RS ports on subframe based PUSCH in any serving cell and supporting STTI in any serving cell.	-
sTTI-SupportedCombinations Indicates the different combinations of short TTI lengths, see field description for dI-STTI- Length and uI-STTI-Length, that the UE supports in a single PUCCH group or in two PUCCH groups. A short TTI length combination is reported for DL first followed by UL. In case of two PUCCH groups the support for the primary PUCCH group is indicated first.	-
subcarrierPuncturingCE-ModeA, subcarrierPuncturingCE-ModeB Indicates whether the UE supports subcarrier puncturing in downlink when operating in CE mode A/B, as specified in TS 36.211 [21] and TS 36.213 [23].	Yes
subcarrierSpacingMBMS-khz7dot5, subcarrierSpacingMBMS-khz1dot25 Indicates the supported subcarrier spacings for MBSFN subframes in addition to 15 kHz subcarrier spacing. subcarrierSpacingMBMS-khz1dot25 and subcarrierSpacingMBMS-khz7dot5 indicates that the UE supports 1.25 and 7.5 kHz respectively for MBSFN subframes as described in TS 36.211 [21], clause 6.12. This field is included only if fembmsMixedCell or fembmsDedicatedCell is included.	-
subcarrierSpacingMBMS-khz2dot5, subcarrierSpacingMBMS-khz0dot37  Presence of this field indicates the supported subcarrier spacings of 2.5kHz / 0.37kHz for MBSFN subframes in addition to 15 kHz subcarrier spacing when operating on the E-UTRA band given by the entry in mbms-SupportedBandInfoList as described in TS 36.211 [21], clause 6.12.	-
subframeResourceResvDL-CE-ModeA, subframeResourceResvDL-CE-ModeB, subframeResourceResvUL-CE-ModeA, subframeResourceResvUL-CE-ModeB Indicates whether the UE supports Subframe-level time-domain resource reservation in downlink/uplink when operating in CE mode A/B, as specified in TS 36.211 [21] and TS 36.213 [23].	Yes
subslotPDSCH-TxDiv-TM9and10 Indicates whether the UE supports TX diversity transmission using ports 7 and 8 for TM9/10 for subslot PDSCH.	Yes
supportedBandCombination Includes the supported CA band combinations, if any, and may include all the supported non-	-
CA bands.  supportedBandCombinationAdd-r11 Includes additional supported CA band combinations in case maximum number of CA band combinations of supportedBandCombination is exceeded.	-
SupportedBandCombinationAdd-v11d0, SupportedBandCombinationAdd-v1250, SupportedBandCombinationAdd-v1270, SupportedBandCombinationAdd-v1320, SupportedBandCombinationAdd-v1380, SupportedBandCombinationAdd-v1390, SupportedBandCombinationAdd-v1430, SupportedBandCombinationAdd-v1450, SupportedBandCombinationAdd-v1470, SupportedBandCombinationAdd-v14b0, SupportedBandCombinationAdd-v1530, SupportedBandCombinationAdd-v1630  If included, the UE shall include the same number of entries, and listed in the same order, as in SupportedBandCombinationAdd-r11.	-

UE-EUTRA-Capability field descriptions	FDD/ TDD diff
SupportedBandCombinationAdd-v1610 If included, the UE shall include the same number of entries, and listed in the same order, as in	-
SupportedBandCombinationAdd-r11. If absent, network assumes gap is required when measurement is performed on any NR bands while UE is served by cell(s) belongs to an E-	
UTRA CA band combinations listed in <i>SupportedBandCombinationAdd-r11</i> except for the FR2 inter-RAT measurement which depends on the support of <i>independentGapConfig</i> .	
SupportedBandCombinationExt, SupportedBandCombination-v1090,	-
SupportedBandCombination-v10i0, SupportedBandCombination-v1130, SupportedBandCombination-v1250, SupportedBandCombination-v1270,	
SupportedBandCombination-v1320, SupportedBandCombination-v1380, SupportedBandCombination-v1390, SupportedBandCombination-v1430,	
SupportedBandCombination-v1450, SupportedBandCombination-v1470, SupportedBandCombination-v14b0, SupportedBandCombination-v1530,	
SupportedBandCombination-v1630	
If included, the UE shall include the same number of entries, and listed in the same order, as in supportedBandCombination-r10.	
SupportedBandCombination-v1610  If included, the UE shall include the same number of entries, and listed in the same order, as in	-
supportedBandCombination-r10. If absent, network assumes gap is required when	
measurement is performed on any NR bands while UE is served by cell(s) belongs to an E-UTRA CA band combinations listed in <i>supportedBandCombination-r10</i> except for the FR2 inter-RAT measurement which depends on the support of <i>independentGapConfig</i> .	
supportedBandCombinationReduced	-
Includes the supported CA band combinations, and may include the fallback CA combinations specified in TS 36.101 [42], clause 4.3A. This field also indicates whether the UE supports	
reception of requestReducedFormat.	
SupportedBandCombinationReduced-v1320, SupportedBandCombinationReduced-v1380, SupportedBandCombinationReduced-v1390,	-
SupportedBandCombinationReduced-v1430, SupportedBandCombinationReduced-	
v1450, SupportedBandCombinationReduced-v1470, SupportedBandCombinationReduced-v14b0, SupportedBandCombinationReduced-	
v1530, SupportedBandCombinationReduced-v1630	
If included, the UE shall include the same number of entries, and listed in the same order, as in supportedBandCombinationReduced-r13.	
SupportedBandCombinationReduced-v1610	-
If included, the UE shall include the same number of entries, and listed in the same order, as in supportedBandCombinationReduced-r13. If absent, network assumes gap is required when	
measurement is performed on any NR bands while UE is served by cell(s) belongs to an E-	
UTRA CA band combinations listed in <i>supportedBandCombinationReduced-r13</i> except for the	
FR2 inter-RAT measurement which depends on the support of <i>independentGapConfig</i> .  SupportedBandGERAN	No
GERAN band as defined in TS 45.005 [20].	
SupportedBandList1XRTT  One entry corresponding to each supported CDMA2000 1xRTT band class.	-
SupportedBandListEUTRA	-
Includes the supported E-UTRA bands. This field shall include all bands which are indicated in BandCombinationParameters.	
SupportedBandListEUTRA-v9e0, SupportedBandListEUTRA-v1250,	-
SupportedBandListEUTRA-v1310, SupportedBandListEUTRA-v1320 If included, the UE shall include the same number of entries, and listed in the same order, as in supportedBandListEUTRA (i.e. without suffix).	
SupportedBandListGERAN	No
SupportedBandListHRPD	-
One entry corresponding to each supported CDMA2000 HRPD band class.  SupportedBandListNR-SA	No
Includes the NR bands supported by the UE in NR-SA (for handover and redirection). The field is included in case the UE supports NR SA as specified in TS 38.331 [32] and not otherwise. The presence of this field also indicates that the UE can perform both NR SS-RSRP and SS-	
RSRQ measurement in the included NR band(s) as specified in TS 38.215 [89]. supportedBandListEN-DC	
Includes the NR bands supported by the UE in (NG)EN-DC. The field is included in case the parameter <i>en-DC</i> or <i>ng-EN-DC</i> is present and set to <i>supported</i> and not otherwise. The	
presence of this field also indicates that the UE can perform both NR SS-RSRP and SS-RSRQ measurement in the included NR band(s) as specified in TS 38.215 [89].	

UE-EUTRA-Capability field descriptions	FDD/ TDD diff
supportedBandListWLAN Indicates the supported WLAN bands by the UE.	-
SupportedBandUTRA-FDD UTRA band as defined in TS 25.101 [17].	-
SupportedBandUTRA-TDD128	-
UTRA band as defined in TS 25.102 [18].  SupportedBandUTRA-TDD384	_
UTRA band as defined in TS 25.102 [18].	
SupportedBandUTRA-TDD768 UTRA band as defined in TS 25.102 [18].	-
supportedBandwidthCombinationSet	-
The <i>supportedBandwidthCombinationSet</i> indicated for a band combination is applicable to all	
bandwidth classes indicated by the UE in this band combination. Field encoded as a bit map, where bit N is set to "1" if UE support Bandwidth Combination Set	
N for this band combination, see 36.101 [42]. The leading / leftmost bit (bit 0) corresponds to	
the Bandwidth Combination Set 0, the next bit corresponds to the Bandwidth Combination Set 1 and so on. The UE shall neither include the field for a non-CA band combination, nor for a CA	
band combination for which the UE only supports Bandwidth Combination Set 0.	
supportedCellGrouping This field indicates for which mapping of serving cells to cell groups (i.e. MCG or SCG) the UE	-
supports asynchronous DC. This field is only present for a band combination with more than	
two but less than six band entries where the UE supports asynchronous DC. If this field is not	
present but asynchronous operation is supported, the UE supports all possible mappings of serving cells to cell groups for the band combination. The bitmap size is selected based on the	
number of entries in the combinations, i.e., in case of three entries, the bitmap corresponding to	
threeEntries is selected and so on.  A bit in the bit string set to 1 indicates that the UE supports asynchronous DC for the cell	
grouping option represented by the concerned bit position. Each bit position represents a	
different cell grouping option, as illustrated by a table, see NOTE 5. A cell grouping option is	
represented by a number of bits, each representing a particular band entry in the band combination with the left-most bit referring to the band listed first in the band combination, etc.	
Value 0 indicates that the carriers of the corresponding band entry are mapped to a first cell	
group, while value 1 indicates that the carriers of the corresponding band entry are mapped to a second cell group.	
It is noted that the mapping table does not include entries with all bits set to the same value (0	
or 1) as this does not represent a DC scenario (i.e. indicating that the UE supports that all carriers of the corresponding band entry are in one cell group).	
supportedCSI-Proc, sTTI-SupportedCSI-Proc	-
Indicates the maximum number of CSI processes supported on a component carrier within a	
band. Value n1 corresponds to 1 CSI process, value n3 corresponds to 3 CSI processes, and value n4 corresponds to 4 CSI processes. If this field is included, the UE shall include the same	
number of entries listed in the same order as in BandParameters/STTI-SPT-BandParameters.	
If the UE supports at least 1 CSI process on any component carrier, then the UE shall include this field in all bands in all band combinations.	
supportedCSI-Proc (in FeatureSetDL-PerCC)	-
In MR-DC, indicates the number of CSI processes for the component carrier in the corresponding bandwidth class. If the UE supports at least 1 CSI process, then the UE shall	
include this field.	
supportedMIMO-CapabilityDL-MRDC (in FeatureSetDL-PerCC)	-
In MR-DC, indicates the maximum number of supported layers in TM9/10 for the component carrier in the corresponding bandwidth class.	
supportedNAICS-2CRS-AP	-
If included, the UE supports NAICS for the band combination. The UE shall include a bitmap of the same length, and in the same order, as in <i>naics-Capability-List</i> , to indicate 2 CRS AP	
NAICS capability of the band combination. The first/ leftmost bit points to the first entry of naics-	
Capability-List, the second bit points to the second entry of naics-Capability-List, and so on. For band combinations with a single component carrier, UE is only allowed to indicate	
{numberOfNAICS-CapableCC, numberOfAggregatedPRB} = {1, 100} if NAICS is supported.	
supportedOperatorDic	-
Indicates whether the UE supports operator defined dictionary. If UE supports operator defined dictionary, the UE shall report <i>versionOfDictionary</i> and <i>associatedPLMN-ID</i> of the stored	
operator defined dictionary. This parameter is not required to be present if the UE is in VPLMN.	
In this release of the specification, UE can only support one operator defined dictionary. The associatedPLMN-ID is only associated to the operator defined dictionary which has no	
relationship with UE's HPLMN ID.	

UE-EUTRA-Capability field descriptions	FDD/ TDD diff
supportRohcContextContinue Indicates whether the UE supports ROHC context continuation operation where the UE does not reset the current ROHC context upon handover.	-
supportedROHC-Profiles Indicates the ROHC profiles that UE supports in both uplink and downlink.	-
supportedUplinkOnlyROHC-Profiles Indicates the ROHC profiles that UE supports in uplink and not in downlink, see TS 36.323 [8]	-
supportedStandardDic Indicates whether the UE supports standard dictionary for SIP and SDP as specified in TS 36.323 [8].	-
supportedUDC Indicates whether the UE supports UL data compression, see TS 36.323 [8].	-
Indicates whether the UE supports TDD special subframe defined in TS 36.211 [21]. A UE shall indicate tdd-SpecialSubframe-r11 if it supports the TDD special subframes ssp7 and ssp9. A UE shall indicate tdd-SpecialSubframe-r14 if it supports the TDD special subframe ssp10, except when ssp10-TDD-Only-r14 is included.	Yes
The presence of this field indicates that the UE supports TDD/FDD CA in any supported band combination including at least one FDD band with bandParametersUL and at least one TDD band with bandParametersUL. The first bit is set to "1" if UE supports the TDD PCell. The second bit is set to "1" if UE supports FDD PCell. This field is included only if the UE supports band combination including at least one FDD band with bandParametersUL and at least one TDD band with bandParametersUL. If this field is included, the UE shall set at least one of the bits as "1". If this field is included with DC, then it is applicable within a CG, and the presence of this field indicates the capability of the UE to support TDD/FDD CA with at least one FDD band and at least one TDD band in the same CG, with the value indicating the support for TDD/FDD PCell (PSCell).	No
tdd-TTI-Bundling The presence of this field indicates whether the UE supporting TDD special subframe configuration 10 also supports TTI bundling for TDD configuration 2 and 3 when PUSCH transimission in UpPTS is configured, see TS 36.213 [23], clause 8.0. If this field is present, the tdd-SpecialSubframe-r14 or ssp10-TDD-Only-r14 shall be present.	Yes
timeReferenceProvision Indicates whether the UE supports provision of time reference in DLInformationTransfer message.	-
timeSeparationSlot2, timeSeparationSlot4 Indicates whether the UE supports time staggering length of 2 slots (MBSFN reference signal pattern type 2) / 4 slots (MBSFN reference signal pattern type 1) for MBSFN-RS associated with PMCH with subcarrier spacing of 0.37 kHz for MBSFN subframes when operating on the E-UTRA band given by the entry in mbms-SupportedBandInfoList as described in TS 36.211 [21], clause 6.10.2.2.4.	-
timerT312 Indicates whether the UE supports T312.	No
tm5-FDD Indicates whether the UE supports the PDSCH transmission mode 5 in FDD.	-
tm5-TDD Indicates whether the UE supports the PDSCH transmission mode 5 in TDD.	-
tm6-CE-ModeA Indicates whether the UE supports tm6 operation in CE mode A, see TS 36.213 [23], clause 7.2.3. This field can be included only if ce-ModeA is included.	Yes
tm8-slotPDSCH Indicates whether the UE supports configuration and decoding of TM8 for slot PDSCH in TDD.	-
tm9-CE-ModeA Indicates whether the UE supports tm9 operation in CE mode A, see TS 36.213 [23], clause 7.2.3. This field can be included only if ce-ModeA is included.	Yes
tm9-CE-ModeB Indicates whether the UE supports tm9 operation in CE mode B, see TS 36.213 [23], clause 7.2.3. This field can be included only if ce-ModeB is included.	Yes
tm9-LAA Indicates whether the UE supports tm9 operation on LAA cell(s). This field can be included only if downlinkLAA is included.	-
tm9-slotSubslot Indicates whether the UE supports configuration and decoding of TM9 for slot and/or subslot PDSCH for non-MBSFN.	Yes

UE-EUTRA-Capability field descriptions	FDD/ TDD diff
tm9-slotSubslotMBSFN Indicates whether the UE supports configuration and decoding of TM9 for slot and/or subslot PDSCH for MBSFN.	Yes
tm9-With-8Tx-FDD Indicates whether the UE supports PDSCH transmission mode 9 with 8 CSI reference signal ports for FDD when not operating in CE mode.	Yes
tm10-LAA Indicates whether the UE supports tm10 operation on LAA cell(s). This field can be included only if downlinkLAA is included.	-
tm10-slotSubslot Indicates whether the UE supports configuration and decoding of TM10 for slot and/or subslot PDSCH for non-MBSFN.	Yes
tm10-slotSubslotMBSFN Indicates whether the UE supports configuration and decoding of TM10 for slot and/or subslot PDSCH for MBSFN.	Yes
totalWeightedLayers Indicates total number of weighted layers the UE can process for FD-MIMO. See NOTE 8.	-
twoAntennaPortsForPUCCH	No
twoStepSchedulingTimingInfo	-
Presence of this field indicates that the UE supports uplink scheduling using PUSCH trigger A and PUSCH trigger B (as defined in TS 36.213 [23]).  This field also indicates the timing between the PUSCH trigger B and the earliest time the UE supports performing the associated UL transmission. For reception of PUSCH trigger B in subframe N, value <i>nPlus1</i> indicates that the UE supports performing the UL transmission in subframe N+1, value <i>nPlus2</i> indicates that the UE supports performing the UL transmission in	
subframe N+2, and so on. This field can be included only if <i>uplinkLAA</i> is included.	
txAntennaSwitchDL, txAntennaSwitchUL  The presence of txAntennaSwitchUL indicates the UE supports transmit antenna selection for this UL band in the band combination as described in TS 36.213 [23], clauses 8.2 and 8.7.  The field txAntennaSwitchDL indicates the entry number of the first-listed band with UL in the band combination that affects this DL. The field txAntennaSwitchUL indicates the entry number of the first-listed band with UL in the band combination that switches together with this UL.  Value 1 means first entry, value 2 means second entry and so on. All DL and UL that switch together indicate the same entry number.  For the case of carrier switching, the antenna switching capability for the target carrier configuration is indicated as follows:	-
For UE configured with a set of component carriers belonging to a band combination $C_{baseline} = \{b_1(1),,b_x(1),,b_y(0),\}$ , where "1/0" denotes whether the corresponding band has an uplink, if a component carrier in $b_x$ is to be switched to a component carrier in $b_y$ (according to $srs$ - $switchFromServCellIndex$ ), the antenna switching capability is derived based on band combination $C_{target} = \{b_1(1),,b_x(0),,b_y(1),\}$ .	
txDiv-PUCCH1b-ChSelect Indicates whether the UE supports transmit diversity for PUCCH format 1b with channel selection.	Yes
txDiv-SPUCCH Indicates whether the UE supports Tx diversity on SPUCCH format 1/1a/1b/3.	Yes
tx-Sidelink, rx-Sidelink Indicates that the UE supports sidelink transmission/reception on the band in the band	-
combination. For NR sidelink transmission, <i>tx-Sidelink</i> is only applicable if the UE supports at least one of <i>sl-TransmissionMode1-r16</i> and <i>sl-TransmissionMode2-r16</i> on the band as specified in TS 38.331	
[82]. For NR sidelink reception, <i>rx-Sidelink</i> is only applicable if the UE supports <i>sl-Reception-r16</i> on the band as specified in TS 38.331 [82].	
uci-PUSCH-Ext Indicates whether the UE supports an extension of UCI delivering more than 22 HARQ-ACK bits on PUSCH as specified in TS 36.212 [22], clause 5.2.2.6 and TS 36.213 [23], clause 8.6.3.	No
ue-AutonomousWithFullSensing Indicates whether the UE supports transmitting PSCCH/PSSCH using UE autonomous resource selection mode with full sensing (i.e., continuous channel monitoring) for V2X sidelink communication and the UE supports maximum transmit power associated with Power class 3 V2X UE, see TS 36.101 [42].	-

UE-EUTRA-Capability field descriptions	FDD/ TDD diff
ue-Autonomous With Partial Sensing Indicates whether the UE supports transmitting PSCCH/PSSCH using UE autonomous resource selection mode with partial sensing (i.e., channel monitoring in a limited set of subframes) for V2X sidelink communication and the UE supports maximum transmit power associated with Power class 3 V2X UE, see TS 36.101 [42].	-
<ul><li>ue-Category</li><li>UE category as defined in TS 36.306 [5]. Set to values 1 to 12 in this version of the specification.</li></ul>	-
<b>ue-CategoryDL</b> UE DL category as defined in TS 36.306 [5]. Value <i>n17</i> corresponds to UE category 17, value <i>m1</i> corresponds to UE category M1, value <i>oneBis</i> corresponds to UE category 1bis, value m2 corresponds to UE category M2. For ASN.1 compatibility, a UE indicating DL category 0, m1 or m2 shall also indicate any of the categories (15) in <i>ue-Category</i> (without suffix), which is ignored by the eNB, a UE indicating UE category oneBis shall also indicate UE category 1 in <i>ue-Category</i> (without suffix), and a UE indicating UE category m2 shall also indicate UE category m1. The field <i>ue-CategoryDL</i> is set to values 0, m1, oneBis, m2, 4, 6, 7, 9 to 16, n17, 18, 19, 20, 21, 22, 23, 24, 25, 26 in this version of the specification.	-
<ul> <li>ue-CategorySL-C-TX</li> <li>UE SL category for V2X transmission as defined in TS 36.306 [5]. Set to values 1 to 5 in this version of the specification.</li> </ul>	-
ue-CategorySL-C-RX UE SL category for V2X reception as defined in TS 36.306 [5]. Set to values 1 to 4 in this version of the specification.	-
ue-CategoryUL  UE UL category as defined in TS 36.306 [5]. Value n14 corresponds to UE category 14, value n16 corresponds to UE category 16 and so on. Value m1 corresponds to UE category M1, value m2 corresponds to UE category M2, value oneBis corresponds to UE category 1bis. The field ue-CategoryUL is set to values m1, m2, 0, oneBis, 3, 5, 7, 8, 13, n14, 15, n16 to n21 or 22 to 26 in this version of the specification.	-
ue-CA-PowerClass-N Indicates whether the UE supports UE power class N in the E-UTRA band combination, see TS 36.101 [42] and TS 36.307 [78]. If ue-CA-PowerClass-N is not included, UE supports the default UE power class in the E-UTRA band combination, see TS 36.101 [42].	-
ue-CE-NeedULGaps Indicates whether the UE needs uplink gaps during continuous uplink transmission in FDD as specified in TS 36.211 [21] and TS 36.306 [5].	-
ue-PowerClass-N, ue-PowerClass-5 Indicates whether the UE supports UE power class 1, 2, 4 or 5 in the E-UTRA band, see TS 36.101 [42] and TS 36.307 [79] and TS 36.102 [113] for NTN capable UE. UE includes either ue-PowerClass-N or ue-PowerClass-5. If neither ue-PowerClass-N nor ue-PowerClass-5 is included, UE supports the default UE power class in the E-UTRA band, see TS 36.101 [42] and TS 36.102 [113] for NTN capable UE.	-
ue-Rx-TxTimeDiffMeasurements Indicates whether the UE supports Rx - Tx time difference measurements.	No
ue-SpecificRefSigsSupported	No
ue-SSTD-Meas Indicates whether the UE supports SSTD measurements between the PCell and the PSCell as specified in TS 36.214 [48] and TS 36.133 [16].	-
ue-TxAntennaSelectionSupported Except for the supported band combinations for which bandParameterList-v1380 is included, TRUE indicates that the UE is capable of supporting UE transmit antenna selection such that all the supported bands in the band combination are affected by transmit antenna switching, as described in TS 36.213 [23], clause 8.7. E-UTRAN ignores this field for band combinations for which bandParameterList-v1380 is included.	Yes
ue-TxAntennaSelection-SRS-1T4R Indicates whether the UE supports selecting one antenna among four antennas to transmit SRS for the corresponding band of the band combination as described in TS 36.213 [23].	-
ue-TxAntennaSelection-SRS-2T4R-2Pairs Indicates whether the UE supports selecting one antenna pair between two antenna pairs to transmit SRS simultaneously for the corresponding band of the band combination as described in TS 36.213 [23].	-
ue-TxAntennaSelection-SRS-2T4R-3Pairs Indicates whether the UE supports selecting one antenna pair among three antenna pairs to transmit SRS simultaneously for the corresponding band of the band combination as described in TS 36.213 [23].	-

UE-EUTRA-Capability field descriptions	FDD TDD diff
ul-64QAM	-
Indicates whether the UE supports 64QAM in UL on the band. This field is only present when	
the field ue-CategoryUL indicates UL UE category that supports UL 64QAM, see TS 36.306 [5],	
Table 4.1A-2. If the field is present for one band, the field shall be present for all bands	
including downlink only bands.	
ul-256QAM	-
Indicates whether the UE supports 256QAM in UL on the band in the band combination. This	
field is only present when the field ue-CategoryUL indicates UL UE category that supports	
256QAM in UL, see TS 36.306 [5], Table 4.1A-2. The UE includes this field only if the field <i>ul</i> -	
256QAM-perCC-InfoList is not included.	
ul-256QAM (in FeatureSetUL-PerCC)	
Indicates whether the UE supports 256QAM in UL for MR-DC within the indicated feature set.	-
This field is only present when the field ue-CategoryUL indicates UL UE category that supports	
256QAM in UL, see TS 36.306 [5], Table 4.1A-2.	
ul-256QAM-perCC-InfoList	-
Indicates, per serving carrier of which the corresponding bandwidth class includes multiple	
serving carriers (i.e. bandwidth class B, C, D and so on), whether the UE supports 256QAM in	
the band combination. The number of entries is equal to the number of component carriers in	
the corresponding bandwidth class. The UE shall support the setting indicated in each entry of	
the list regardless of the order of entries in the list. This field is only present when the field ue-	
Category UL indicates UL UE category that supports 256QAM in UL, see TS 36.306 [5], Table	
4.1A-2. The UE includes this field only if the field <i>ul-256QAM</i> is not included.	
ul-256QAM-Slot	
Indicates whether the UE supports 256QAM in UL for slot TTI operation on the band.	
ul-256QAM-Subslot	
	-
Indicates whether the UE supports 256QAM in UL for subslot TTI operation on the band.	
ul-AsyncHarqSharingDiff-TTI-Lengths	Yes
Indicates whether the UE supports UL asynchronous HARQ sharing between different TTI	
lengths for an UL serving cell.	
ul-CoMP	No
Indicates whether the UE supports UL Coordinated Multi-Point operation.	
ul-dmrs-Enhancements	Yes
Indicates whether the UE supports UL DMRS enhancements as defined in TS 36.211 [21],	
clause 6.10.3A.	
ul-PDCP-AvgDelay	-
Indicates whether the UE supports UL PDCP Packet Average Delay measurement (as specified	
in TS 38.314 [103]) and reporting in RRC_CONNECTED.	
ul-PDCP-Delay	
Indicates whether the UE supports UL PDCP Packet Delay per QCI measurement as specified	
in TS 36.314 [71].	
	V
ul-powerControlEnhancements	Yes
Indicates whether UE supports UplinkPowerControlDedicated.	
ul-RRC-Segmentation	-
Indicates the UE supports uplink RRC segmentation of UECapabilityInformation.	
uplinkLAA	-
Presence of the field indicates that the UE supports uplink LAA operation.	
uss-BlindDecodingAdjustment	-
Indicates whether the UE supports blind decoding adjustment on UE specific search space as	
defined in TS 36.213 [22]. This field can be included only if uplinkLAA is included.	
uss-BlindDecodingReduction	_
Indicates whether the UE supports blind decoding reduction on UE specific search space by	
not monitoring DCI format 0A/0B/4A/4B as defined in TS 36.213 [22]. This field can be included	
only if uplinkLAA is included.	
unicastFrequencyHopping	-
Indicates whether the UE supports frequency hopping for unicast MPDCCH/PDSCH	
(configured by mpdcch-pdsch-HoppingConfig) and unicast PUSCH (configured by pusch-	
HoppingConfig).	
unicast-fembmsMixedSCell	No
Indicates whether the UE supports unicast reception from FeMBMS/Unicast mixed cell. This	
field is included only if UE supports carrier aggregation.	
utra-GERAN-CGI-Reporting-ENDC	Yes
	168
Indicates whether the UE supports Inter-RAT report CGI procedure towards GERAN/UTRA cell	
when it is configured with (NG)EN-DC wherein either MN and SN have different DRX cycles, or	
on-duration configured by MN does not contain on-duration configured by SN if their DRX	
cycles are same.	

UE-EUTRA-Capability field descriptions	FDD/ TDD diff
utran-ProximityIndication	-
Indicates whether the UE supports proximity indication for UTRAN CSG member cells.	.,
utran-SI-AcquisitionForHO	Yes
Indicates whether the UE supports, upon configuration of si-RequestForHO by the network, acquisition and reporting of relevant information using autonomous gaps by reading the SI from	
a neighbouring UMTS cell.	
v2x-BandParametersNR	
Includes the NR BandParametersSidelink-r16 IE as specified in TS 38.331 [82]. The field	_
includes the per-band per-band-combination sidelink capability for NR-PC5.	
v2x-BandParametersEUTRA-NR-v1710	
Includes the BandParametersSidelinkEUTRA-NR-v1710 IE as specified in TS 38.331 [82]. The	_
field includes the per-band per-band-combination sidelink capability for NR-PC5.	
v2x-BandwidthClassTxSL, v2x-BandwidthClassRxSL	-
The bandwidth class for V2X sidelink transmission and reception supported by the UE as	
defined in TS 36.101 [42], Table 5.6G.1-3.	
The UE explicitly includes all the supported bandwidth class combinations for V2X sidelink	
transmission or reception in the band combination signalling. Support for one bandwidth class	
does not implicitly indicate support for another bandwidth class.	
v2x-eNB-Scheduled	-
Indicates whether the UE supports transmitting PSCCH/PSSCH using dynamic scheduling,	
SPS in eNB scheduled mode for V2X sidelink communication, reporting SPS assistance information and the LIE supports maximum transmit power associated with Power class 3 V2X	
information and the UE supports maximum transmit power associated with Power class 3 V2X UE, see TS 36.101 [42] in a band.	
v2x-EnhancedHighReception	
Indicates whether the UE supports reception of 30 PSCCH in a subframe and decoding of 204	_
RBs per subframe counting both PSCCH and PSSCH in a band for V2X sidelink	
communication.	
v2x-HighPower	_
Indicates whether the UE supports maximum transmit power associated with Power class 2	
V2X UE for V2X sidelink transmission in a band, see TS 36.101 [42].	
v2x-HighReception	-
Indicates whether the UE supports reception of 20 PSCCH in a subframe and decoding of 136	
RBs per subframe counting both PSCCH and PSSCH in a band for V2X sidelink	
communication.	
v2x-nonAdjacentPSCCH-PSSCH	-
Indicates whether the UE supports transmission and reception in the configuration of non-	
adjacent PSCCH and PSSCH for V2X sidelink communication.	
v2x-numberTxRxTiming	-
Indicates the number of multiple reference TX/RX timings counted over all the configured sidelink carriers for V2X sidelink communication.	
v2x-SensingReportingMode3	
Indicates whether the UE supports sensing measurements and reporting of measurement	_
results in eNB scheduled mode for V2X sidelink communication.	
v2x-SupportedBandCombinationList	
Indicates the supported band combination list on which the UE supports simultaneous	
transmission and/or reception of V2X sidelink communication.	
v2x-SupportedBandCombinationListEUTRA-NR	-
Indicates the supported band combination list on which the UE supports simultaneous	
transmission and/or reception of NR sidelink communication only, or joint V2X sidelink	
communication and NR sidelink communication.	
v2x-SupportedTxBandCombListPerBC, v2x-SupportedRxBandCombListPerBC	-
Indicates, for a particular band combination of EUTRA, the supported band combination list	
among v2x-SupportedBandCombinationList on which the UE supports simultaneous	
transmission or reception of EUTRA and V2X sidelink communication respectively. The first bit	
refers to the first entry of <i>v2x-SupportedBandCombinationList</i> , with value 1 indicating V2X	
sidelink transmission/reception is supported.	
v2x-SupportedTxBandCombListPerBC-v1630, v2x-SupportedRxBandCombListPerBC-v1630	_
Indicates, for a particular band combination of EUTRA, the supported band combination list	
among v2x-SupportedBandCombinationListEUTRA-NR on which the UE supports	
simultaneous transmission or reception of EUTRA and NR sidelink communication respectively,	
or simultaneous transmission or reception of EUTRA and joint V2X sidelink communication and	
NR sidelink communication respectively. The first bit refers to the first entry of <i>v2x</i> -	
SupportedBandCombinationListEUTRA-NR, with value 1 indicating V2X sidelink	
transmission/reception is supported.	

UE-EUTRA-Capability field descriptions	FDD/ TDD diff
v2x-TxWithShortResvInterval	-
Indicates whether the UE supports 20 ms and 50 ms resource reservation periods for UE	
autonomous resource selection and eNB scheduled resource allocation for V2X sidelink	
communication.	
virtualCellID-BasicSRS	-
Indicates whether the UE supports virtual cell ID for basic SRS symbol(s).	
virtualCellID-AddSRS	-
This field indicates whether the UE supports virtual cell ID for additional SRS symbol(s).	
voiceOverPS-HS-UTRA-FDD	-
Indicates whether UE supports IMS voice according to GSMA IR.58 profile in UTRA FDD.	
voiceOverPS-HS-UTRA-TDD128	-
Indicates whether UE supports IMS voice in UTRA TDD 1.28Mcps.	
widebandPRG-Slot, widebandPRG-Subslot, widebandPRG-Subframe	-
Indicates whether the UE supports wideband precoding resource block group size for	
slot/subslot/subframe operation as specified in TS 36.213 [23].	
wlan-IW-RAN-Rules	-
Indicates whether the UE supports RAN-assisted WLAN interworking based on access network	
selection and traffic steering rules.	
wlan-IW-ANDSF-Policies	-
Indicates whether the UE supports RAN-assisted WLAN interworking based on ANDSF policies.	
wlan-MAC-Address	-
Indicates the WLAN MAC address of this UE.	
wlan-PeriodicMeas	-
Indicates whether the UE supports periodic reporting of WLAN measurements.	
wlan-ReportAnyWLAN	-
Indicates whether the UE supports reporting of WLANs not listed in the measObjectWLAN.	
wlan-SupportedDataRate	-
Indicates the maximum WLAN data rate supported by the UE over all LWA bearers. Actual	
value of supported data rate is field value * 10 Mbps (i.e., value 1 corresponds to 10 Mbps,	
value 2 corresponds to 20 Mbps and so on).	
zp-CSI-RS-AperiodicInfo	Yes
Indicates whether the UE supports aperiodic ZP-CSI-RS transmission for the indicated	
transmission mode.	

- NOTE 1: The IE *UE-EUTRA-Capability* does not include AS security capability information, since these are the same as the security capabilities that are signalled by NAS. Consequently, AS need not provide "man-in-the-middle" protection for the security capabilities.
- NOTE 2: The column FDD/ TDD diff indicates if the UE is allowed to signal, as part of the additional capabilities for an XDD mode i.e. within *UE-EUTRA-CapabilityAddXDD-Mode-xNM*, a different value compared to the value signalled elsewhere within *UE-EUTRA-Capability* (i.e. the common value, supported for both XDD modes). A '-' is used to indicate that it is not possible to signal different values (used for fields for which the field description is provided for other reasons). Annex E specifies for which TDD and FDD serving cells a UE supporting TDD/FDD CA shall support a capability for which it indicates support within the capability signalling.
- NOTE 2a: From REL-15 onwards, the UE is not allowed to signal different values for FDD and TDD unless yes is indicated in column FDD/ TDD diff (i.e. no need to introduce field description solely for the purpose of indicate no).
- NOTE 3: The BandCombinationParameters for the same band combination can be included more than once.
- NOTE 4: UE CA and measurement capabilities indicate the combinations of frequencies that can be configured as serving frequencies.
- NOTE 5: The grouping of the cells to the first and second cell group, as indicated by *supportedCellGrouping*, is shown in the table below. The leading / leftmost bit of *supportedCellGrouping* corresponds to the Bit String Position 1.

Nr of Band Entries:	5	4	3
Length of Bit-String:	15	7	3
Bit String Position	Cell grouping option (0= first cell group, 1= second cell group)		
1	00001	0001	001
2	00010	0010	010
3	00011	0011	011
4	00100	0100	
5	00101	0101	
6	00110	0110	
7	00111	0111	
8	01000		
9	01001		
10	01010		
11	01011		
12	01100		
13	01101		
14	01110		
15	01111		

- NOTE 6: UE includes the *intraBandContiguousCC-InfoList-r12* also for bandwidth class A because of the presence conditions in *BandCombinationParameters-v1270*. For example, if UE supports CA\_1A\_41D band combination, if UE includes the field *intraBandContiguousCC-InfoList-r12* for band 41, the UE includes *intraBandContiguousCC-InfoList-r12* also for band 1.
- NOTE 6a: For multiple *BandParameters* entries with the same *bandEUTRA* and same *ca-BandwidthClassDL* in a supported band combination, the UE capabilities indicated by *BandParameters* are agnostic to the order in which they are indicated in the *bandParameterList*, under the condition that the set of the capabilities indicated for the concerned *bandEUTRA* (e.g. *bandParametersDL* and *bandParametersUL*) are used together, and the concerned *BandParameters* correspond to the *supportedBandwithCombinationSet* for which set of channel bandwidths for carrier(s) is the same among sub-blocks, as defined in TS 36.101 [42], Table 5.6A.1-3, Table 5.6A.1-4, Table 5.6A.1-5.
- NOTE 7: For a UE that indicates release X in field *accessStratumRelease* but supports a feature specified in release X+ N (i.e. early UE implementation), the ASN.1 comprehension requirement are specified in Annex F.
- NOTE 8: For a UE that does not include *mimo-WeightedLayersCapabilities-r13*, or for the case with no CC configured with FD-MIMO, the FD-MIMO processing capability condition is not applicable (i.e. considered as satisfied). For a UE that includes *mimo-WeightedLayersCapabilities-r13*, the FD-MIMO processing capability condition is satisfied if the equation 4.3.28.13-1 in TS 36.306 [5] is satisfied.

# UE-RadioPagingInfo

The UE-RadioPagingInfo IE contains UE capability information needed for paging.

### UE-RadioPagingInfo information element

```
ce-ModeB-r13
                                        ENUMERATED {true}
   ]],
   [[ wakeUpSignal-r15
                                        ENUMERATED {true}
                                                          OPTIONAL,
       wakeUpSignal-TDD-r15
                                        ENUMERATED {true}
                                                          OPTIONAL.
       wakeUpSignalMinGap-eDRX-r15
                                        ENUMERATED {ms40, ms240, ms1000, ms2000}
   OPTIONAL.
       wakeUpSignalMinGap-eDRX-TDD-r15
                                        ENUMERATED {ms40, ms240, ms1000, ms2000}
                                                                                    OPTIONAL
   [[ ue-CategoryDL-v1610
                                        ENUMERATED {m2}
                                                          OPTIONAL,
       groupWakeUpSignal-r16
                                        ENUMERATED {true}
                                                          OPTIONAL,
       groupWakeUpSignalTDD-r16
                                      ENUMERATED {true}
                                                          OPTIONAL,
                                       ENUMERATED {true}
       groupWakeUpSignalAlternation-r16
                                                          OPTIONAL.
       groupWakeUpSignalAlternationTDD-r16 ENUMERATED {true}
                                                          OPTIONAL
   ]],
   [[
       OPTIONAL
   11
-- ASN1STOP
```

### UE-RadioPagingInfo field descriptions

### ce-ModeA, ce-ModeB

Indicates whether the UE supports operation in CE mode A and/or B, as specified in TS 36.211 [21] and TS 36.213 [23].

### groupWakeUpSignal, groupWakeUpSignalTDD

Indicates whether the UE supports GWUS for paging in RRC\_IDLE as specified in TS 36.211 [21], TS 36.213 [23] and TS 36.304 [4]. If this field is included, the minimum gap between GWUS and associated PO for DRX is fixed as 40 ms.

### groupWakeUpSignalAlternation, groupWakeUpSignalAlternationTDD

Indicates whether the UE supports GWUS with group resource alternation for paging in RRC\_IDLE as specified in TS 36.211 [21], TS 36.213 [23] and TS 36.304 [4]. If this field is included, the minimum gap between GWUS and associated PO for DRX is fixed as 40 ms.

### inactiveStatePO-Determination

Indicates whether the UE other than BL UE or UE in CE supports to use the same i\_s in RRC\_INACTIVE state as in RRC\_IDLE state, as specified in TS 36.304 [4].

### ue-Category, ue-CategoryDL

UE category as defined in TS 36.306 [5]. A category M2 UE shall also include the field *ue-CategoryDL-v1310* in this version of the specification.

### wakeUpSignal, wakeUpSignal-TDD

Indicates whether the UE supports WUS for paging in RRC\_IDLE as specified in TS 36.213 [22] and TS 36.304 [4]. If this field is included, the minimum gap between WUS and associated PO for DRX is fixed as 40 ms.

### wakeUpSignalMinGap-eDRX, wakeUpSignalMinGap-eDRX-TDD

Indicates the minimum gap the UE supports between WUS and associated PO for eDRX as specified in TS 36.213 [22] and TS 36.304 [4]. Value ms40 corresponds to 40 ms, ms240 corresponds to 240 ms and so on. If this field is included, the UE shall also indicate support of WUS or GWUS for paging.

### UE-TimersAndConstants

The IE *UE-TimersAndConstants* contains timers and constants used by the UE in either RRC\_CONNECTED or RRC\_IDLE.

### **UE-TimersAndConstants** information element

```
-- ASN1START
UE-TimersAndConstants ::=
                                    SEOUENCE {
    t300
                                        ENUMERATED {
                                            ms100, ms200, ms300, ms400, ms600, ms1000, ms1500,
                                            ms2000}
    t301
                                         ENUMERATED {
                                            ms100, ms200, ms300, ms400, ms600, ms1000, ms1500,
                                            ms2000}
    +310
                                         ENUMERATED
                                            ms0, ms50, ms100, ms200, ms500, ms1000, ms2000},
    n310
                                         ENUMERATED {
                                            n1, n2, n3, n4, n6, n8, n10, n20},
    t311
                                         ENUMERATED {
```

```
ms1000, ms3000, ms5000, ms10000, ms15000,
                                           ms20000, ms30000},
                                        ENUMERATED {
   n311
                                           n1, n2, n3, n4, n5, n6, n8, n10},
   [[ t300-v1310
                                           ms2500, ms3000, ms3500, ms4000, ms5000, ms6000, ms8000,
                                           ms10000}
                                                           OPTIONAL, -- Need OR
                                       ENUMERATED {
       t301-v1310
                                           ms2500, ms3000, ms3500, ms4000, ms5000, ms6000, ms8000,
                                           ms10000}
                                                           OPTIONAL
                                                                       -- Need OR
   ]],
      t310-v1330
                                           ENUMERATED {ms4000, ms6000}
                                                            OPTIONAL
                                                                        -- Need OR
    ]],
   [[ t300-r15
                                       ENUMERATED {ms4000, ms6000, ms8000, ms10000, ms15000,
                                           ms25000, ms40000, ms60000} OPTIONAL
EDTorPUR
   ]]
-- ASN1STOP
```

### **UE-TimersAndConstants** field descriptions

### n3xy

Constants are described in clause 7.4. n1 corresponds with 1, n2 corresponds with 2 and so on.

#### t3xy

Timers are described in clause 7.3. Value ms0 corresponds with 0 ms, ms50 corresponds with 50 ms and so on. EUTRAN includes an extended value *t3xy-v1310* and *t3xy-v1330* only in the Bandwidth Reduced (BR) version of the SIB. UEs that support Coverage Enhancement (CE) mode B shall use the extended values *t3xy-v1310* and *t3xy-v1330*, if present, and ignore the value signaled by *t3xy* (without the suffix).

t300-r15 is only applicable for EDT for mobile originating calls and for UL data transmission using PUR. UE performing EDT for mobile originating calls or UL data transmission using PUR shall use t300-r15, if present.

Conditional presence	Explanation
EDTorPUR	The field is optionally present, Need OR, if edt-Parameters is present in SIB2 or the UE is
	configured with <i>pur-Config</i> ; otherwise the field is not present and the UE shall delete any
	existing value for this field.

### VisitedCellInfoList

The IE *VisitedCellInfoList* includes the mobility history information of maximum of 16 most recently visited cells or time spent outside E-UTRA. The most recently visited cell is stored first in the list. The list includes cells visited in RRC\_IDLE and RRC\_CONNECTED states.

### VisitedCellInfoList information element

```
-- ASN1START
VisitedCellInfoList-r12 ::= SEQUENCE (SIZE (1..maxCellHistory-r12)) OF VisitedCellInfo-r12
VisitedCellInfo-r12 ::=
                                    SEQUENCE {
    visitedCellId-r12
                                       CHOICE {
       cellGlobalId-r12
                                                CellGlobalIdEUTRA,
        pci-arfcn-r12
                                                SECUENCE {
           physCellId-r12
                                                    PhysCellId,
            carrierFreq-r12
                                                    ARFCN-ValueEUTRA-r9
                                                                    OPTIONAL,
                                       INTEGER (0..4095),
    timeSpent-r12
-- ASN1STOP
```

### VisitedCellInfoList field descriptions

### timeSpent

This field indicates the duration of stay in the cell or outside E-UTRA approximated to the closest second. If the duration of stay exceeds 4095s, the UE shall set it to 4095s.

# WLAN-OffloadConfig

The IE *WLAN-OffloadConfig* includes information for traffic steering between E-UTRAN and WLAN. The fields are applicable to both RAN-assisted WLAN interworking based on access network selection and traffic steering rules and RAN-assisted WLAN interworking based on ANDSF policies unless stated otherwise in the field description.

### WLAN-OffloadConfig information element

```
-- ASN1START
WLAN-OffloadConfig-r12 ::=
                                         SEQUENCE {
                                             SEQUENCE {
    thresholdRSRP-r12
        thresholdRSRP-Low-r12
                                                 RSRP-Range,
        thresholdRSRP-High-r12
                                                 RSRP-Range
                                                                          OPTIONAL, -- Need OR
    thresholdRSRQ-r12
                                             SEQUENCE {
                                                 RSRQ-Range,
        thresholdRSRO-Low-r12
        thresholdRSRQ-High-r12
                                                 RSRQ-Range
                                                                          OPTIONAL, -- Need OR
    thresholdRSRQ-OnAllSymbolsWithWB-r12
        thresholdRSRQ-OnAllSymbolsWithWB-Low-r12
                                                             RSRO-Range,
        thresholdRSRQ-OnAllSymbolsWithWB-High-r12
                                                             RSRQ-Range
                                                                          OPTIONAL, -- Need OP
    thresholdRSRQ-OnAllSymbols-r12
                                             SEQUENCE {
         thresholdRSRQ-OnAllSymbolsLow-r12
                                                             RSRO-Range,
         thresholdRSRQ-OnAllSymbolsHigh-r12
                                                             RSRQ-Range
                                                                          OPTIONAL, -- Need OP
    thresholdRSRQ-WB-r12
                                             SEQUENCE {
        thresholdRSRQ-WB-Low-r12
                                                             RSRQ-Range,
        thresholdRSRQ-WB-High-r12
                                                             RSRQ-Range
                                                                          OPTIONAL, -- Need OP
    thresholdChannelUtilization-r12
                                             SEQUENCE {
        thresholdChannelUtilizationLow-r12
                                                 INTEGER (0..255),
        thresholdChannelUtilizationHigh-r12
                                                 INTEGER (0..255)
                                                                          OPTIONAL, -- Need OR
    thresholdBackhaul-Bandwidth-r12
                                            SEQUENCE {
        thresholdBackhaulDL-BandwidthLow-r12
                                                 WLAN-backhaulRate-r12,
        thresholdBackhaulDL-BandwidthHigh-r12
                                                 WLAN-backhaulRate-r12.
        thresholdBackhaulUL-BandwidthLow-r12
                                                 WLAN-backhaulRate-r12,
        thresholdBackhaulUL-BandwidthHigh-r12
                                                 WLAN-backhaulRate-r12
                                                                         OPTIONAL, -- Need OR
    thresholdWLAN-RSSI-r12
                                                 SEOUENCE {
        thresholdWLAN-RSSI-Low-r12
                                                     INTEGER (0..255),
        thresholdWLAN-RSSI-High-r12
                                                     INTEGER (0..255)
                                                                         OPTIONAL, -- Need OR
                                                                         OPTIONAL, -- Need OR
OPTIONAL, -- Need OR
    offloadPreferenceIndicator-r12
                                             BIT STRING (SIZE (16))
    t-SteeringWLAN-r12
                                             T-Reselection
                                             ENUMERATED
WLAN-backhaulRate-r12 ::=
                                         {r0, r4, r8, r16, r32, r64, r128, r256, r512,
                                         r1024, r2048, r4096, r8192, r16384, r32768, r65536, r131072,
                                         r262144, r524288, r1048576, r2097152, r4194304, r8388608,
                                         r16777216, r33554432, r67108864, r134217728, r268435456,
                                         r536870912, r1073741824, r2147483648, r4294967296}
-- ASN1STOP
```

### WLAN-OffloadConfig field descriptions

### offloadPreferenceIndicator

Indicates the offload preference indicator. Parameter: OPI in TS 24.312 [66]. Only applicable to RAN-assisted WLAN interworking based on ANDSF policies.

### thresholdBackhaulDLBandwidth-High

Indicates the backhaul available downlink bandwidth threshold used by the UE for traffic steering to WLAN. Parameter: ThreshBackhRateDLWLAN, High in TS 36.304 [4]. Value in kilobits/second. Value rN corresponds to N kbps.

### thresholdBackhaulDLBandwidth-Low

Indicates the backhaul available downlink bandwidth threshold used by the UE for traffic steering to E-UTRAN. Parameter: ThreshBackhRateDLWLAN, Low in TS 36.304 [4]. Value in kilobits/second. Value rN corresponds to N kbps.

### thresholdBackhaulULBandwidth-High

Indicates the backhaul available uplink bandwidth threshold used by the UE for traffic steering to WLAN. Parameter: Thresh<sub>BackhRateULWLAN, High</sub> in TS 36.304 [4]. Value in kilobits/second. Value rN corresponds to N kbps.

### thresholdBackhaulULBandwidth-Low

Indicates the backhaul available uplink bandwidth threshold used by the UE for traffic steering to E-UTRAN. Parameter: ThreshBackhRateULWLAN, Low in TS 36.304 [4]. Value in kilobits/second. Value rN corresponds to N kbps.

### thresholdChannelUtilization-High

Indicates the WLAN channel utilization (BSS load) threshold used by the UE for traffic steering to E-UTRAN. Parameter: ThreshohutilwLAN, High in TS 36.304 [4].

### thresholdChannelUtilization-Low

Indicates the WLAN channel utilization (BSS load) threshold used by the UE for traffic steering to WLAN. Parameter: ThreshchutilWLAN, Low in TS 36.304 [4].

### thresholdRSRP-High

Indicates the RSRP threshold (in dBm) used by the UE for traffic steering to E-UTRAN. Parameter:

ThreshServingOffloadWLAN, HighP in TS 36.304 [4].

### thresholdRSRP-Low

Indicates the RSRP threshold (in dBm) used by the UE for traffic steering to WLAN. Parameter: Thresh<sub>ServingOffloadWLAN</sub>, L<sub>LowP</sub> in TS 36.304 [4].

# thresholdRSRQ-High,thresholdRSRQ-OnAllSymbolsHigh, thresholdRSRQ-WB-High, thresholdRSRQ-OnAllSymbolsWithWB-High

Indicates the RSRQ threshold (in dB) used by the UE for traffic steering to E-UTRAN. Parameter:

Thresh<sub>ServingOffloadWLAN, HighQ</sub> in TS 36.304 [4]. The UE shall only apply one of threshold values of *thresholdRSRQ-OnAllSymbolsWithWB-High*, *thresholdRSRQ-OnAllSymbolsHigh*, *thresholdRSRQ-WB-High* and *thresholdRSRQ-High* as present in *wlan-OffloadConfigCommon* and forward this to upper layer. NOTE 1.

# thresholdRSRQ-Low,thresholdRSRQ-OnAllSymbolsLow, thresholdRSRQ-WB-Low, thresholdRSRQ-OnAllSymbolsWithWB-Low

Indicates the RSRQ threshold (in dB) used by the UE for traffic steering to WLAN. Parameter: Thresh<sub>ServingOffloadWLAN</sub>, LowQ in TS 36.304 [4].

The UE shall only apply one of threshold values of thresholdRSRQ-OnAllSymbolsWithWB-Low, thresholdRSRQ-OnAllSymbolsLow, thresholdRSRQ-WB-Low and thresholdRSRQ-Low as present in wlan-OffloadConfigCommon and forward this to upper layer. NOTE 1.

### thresholdWLAN-RSSI-High

Indicates the WLAN RSSI threshold used by the UE for traffic steering to WLAN. Parameter: Thresh<sub>WLANRSSI, High</sub> in TS 36.304 [4]. Value 0 corresponds to -128dBm, 1 corresponds to -127dBm and so on.

### thresholdWLAN-RSSI-Low

Indicates the WLAN RSSI threshold used by the UE for traffic steering to E-UTRAN. Parameter: ThreshwLANRSSI, Low in TS 36.304 [4]. Value 0 corresponds to -128dBm, 1 corresponds to -127dBm and so on.

### t-SteeringWLAN

Indicates the timer value during which the rules should be fulfilled before starting traffic steering between E-UTRAN and WLAN. Parameter: Tsteering<sub>WLAN</sub> in TS 36.304 [4]. Only applicable to RAN-assisted WLAN interworking based on access network selection and traffic steering rules.

NOTE 1: Within SIB17, E-UTRAN includes the fields corresponding to same RSRQ types as included in SIB1. E.g. if E-UTRAN includes *q-QualMinRSRQ-OnAllSymbols* in SIB1 it also includes *thresholdRSRQ-OnAllSymbols* in SIB17. Within the *RRCConnectionReconfiguration* message E-UTRAN only includes *thresholdRSRQ*, setting the value according to the RSRQ type used for E-UTRAN. The UE shall apply the RSRQ fields (RSRQ threshold, high and low) corresponding to one RSRQ type i.e. the same as it applies for E-UTRAN.

# 6.3.7 MBMS information elements

# – MBMS-NotificationConfig

The IE *MBMS-NotificationConfig* specifies the MBMS notification related configuration parameters, that are applicable for all MBSFN areas.

### MBMS-NotificationConfig information element

```
-- ASN1START

MBMS-NotificationConfig-r9 ::= SEQUENCE {
    notificationRepetitionCoeff-r9 ENUMERATED {n2, n4},
    notificationOffset-r9 INTEGER (0..10),
    notificationSF-Index-r9 INTEGER (1..6)
}

MBMS-NotificationConfig-v1430 ::= SEQUENCE {
    notificationSF-Index-v1430 INTEGER (7..10)
}

-- ASN1STOP
```

### MBMS-NotificationConfig field descriptions

### notificationOffset

Indicates, together with the *notificationRepetitionCoeff*, the radio frames in which the MCCH information change notification is scheduled i.e. the MCCH information change notification is scheduled in radio frames for which: SFN mod notification repetition period = *notificationOffset*.

### notificationRepetitionCoeff

Actual change notification repetition period common for all MCCHs that are configured= shortest modification period/ notificationRepetitionCoeff. The 'shortest modification period' corresponds with the lowest value of mcch-ModificationPeriod of all MCCHs that are configured. Value n2 corresponds to coefficient 2, and so on.

### notificationSF-Index

Indicates the subframe used to transmit MCCH change notifications on PDCCH. FDD: Value 1, 2, 3, 4, 5 and 6 correspond with subframe #1, #2, #3 #6, #7, and #8 respectively. Value 7, 8, 9 and 10 correspond with subframe #0, #4, #5 and #9 respectively. If notificationSF-Index-v1430 is included, UE ignores notificationSF-Index-r9. TDD: Value 1, 2, 3, 4, and 5 correspond with subframe #3, #4, #7, #8, and #9 respectively.

### – MBMS-ServiceList

The IE MBMS-ServiceList provides the list of MBMS services which the UE is receiving or interested to receive.

### MBMS-ServiceList information element

### MBSFN-Areald

The IE *MBSFN-Areald* identifies an MBSFN area by means of a locally unique value at lower layers i.e. it concerns parameter  $N_{\rm ID}^{\rm MBSFN}$  in TS 36.211 [21], clause 6.10.2.1.

### **MBSFN-Areald** information element

```
-- ASN1START

MBSFN-Areald-r12 ::= INTEGER (0..255)

-- ASN1STOP
```

### MBSFN-AreaInfoList

The IE *MBSFN-AreaInfoList* contains the information required to acquire the MBMS control information associated with one or more MBSFN areas.

### MBSFN-AreaInfoList information element

```
-- ASN1START
MBSFN-AreaInfoList-r9 ::=
                                SEQUENCE (SIZE(1..maxMBSFN-Area)) OF MBSFN-AreaInfo-r9
MBSFN-AreaInfo-r9 ::=
                                 SEQUENCE {
   mbsfn-AreaId-r9
                                    MBSFN-Areald-r12,
   non-MBSFNregionLength
                                     ENUMERATED {s1, s2},
   notificationIndicator-r9
                                     INTEGER (0..7),
                                     SEQUENCE {
   mcch-Config-r9
       mcch-RepetitionPeriod-r9
                                     ENUMERATED {rf32, rf64, rf128, rf256},
       mcch-Offset-r9
                                    INTEGER (0..10),
       mcch-ModificationPeriod-r9
                                    ENUMERATED {rf512, rf1024},
                                     BIT STRING (SIZE(6)),
       sf-AllocInfo-r9
       signallingMCS-r9
                                    ENUMERATED {n2, n7, n13, n19}
   },
   [[ mcch-Config-r14
                                 SEQUENCE {
           mcch-RepetitionPeriod-v1430 ENUMERATED {rf1, rf2, rf4, rf8,
                                    rf16 } OPTIONAL, -- Need OR
           mcch-ModificationPeriod-v1430 ENUMERATED {rf1, rf2, rf4, rf8, rf16, rf32, rf64, rf128,
                                                                       OPTIONAL -- Need OR
                                         rf256, spare7}
                                                                       OPTIONAL,
                                                                                  -- Need OR
                                                                                  -- Need OR
       OPTIONAL
   ]]
}
MBSFN-AreaInfoList-r16 ::=
                            SEQUENCE (SIZE(1..maxMBSFN-Area)) OF MBSFN-AreaInfo-r16
MBSFN-AreaInfo-r16 ::=
                                 SEQUENCE {
   mbsfn-AreaId-r16
                                    MBSFN-AreaId-r12,
   notificationIndicator-r16
                                     INTEGER (0..7),
   mcch-Config-r16
                                     SEQUENCE {
                                         ENUMERATED {rf1, rf2, rf4, rf8, rf16, rf32, rf64,
       mcch-RepetitionPeriod-r16
                                                    rf128, rf256, spare7, spare6, spare5,
                                                    spare4, spare3, spare2, spare1},
       mcch-ModificationPeriod-r16
                                         ENUMERATED {rf1, rf2, rf4, rf8, rf16, rf32, rf64, rf128,
                                                    rf256, rf512, rf1024, spare5, spare4,
                                                    spare3,spare2, spare1},
                                    INTEGER (0..10),
       mcch-Offset-r16
                                   BIT STRING (SIZE(10)),
       sf-AllocInfo-r16
       signallingMCS-r16
                                     ENUMERATED {n2, n7, n13, n19}
   subcarrierSpacingMBMS-r16 ENUMERATED {kHz7dot5, kHz2dot5, kHz1dot25, kHz0dot37,
                                  kHz15-v1710, spare3, spare2, spare1},
   timeSeparation-r16
                                 ENUMERATED {sl2, sl4} OPTIONAL, -- Need OR
}
MBSFN-AreaInfoList-r17 ::= SEQUENCE (SIZE(1..maxMBSFN-Area)) OF MBSFN-AreaInfo-r17
MBSFN-AreaInfo-r17 ::=
                          SEQUENCE {
                                MBSFN-AreaInfo-r16,
   mbsfn-AreaInfo-r17
   pmch-Bandwidth-r17
                                 ENUMERATED \{n40, n35, n30, spare1\},
-- ASN1STOP
```

### MBSFN-AreaInfoList field descriptions

### mcch-ModificationPeriod

Defines periodically appearing boundaries, i.e. radio frames for which SFN mod *mcch-ModificationPeriod* = 0. The contents of different transmissions of MCCH information can only be different if there is at least one such boundary inbetween them. In case *mcch-ModificationPeriod-v1430* is configured, the UE shall ignore the *mcch-ModificationPeriod-r9*.

#### mcch-Offset

Indicates, together with the *mcch-RepetitionPeriod*, the radio frames in which MCCH is scheduled i.e. MCCH is scheduled in radio frames for which: SFN mod *mcch-RepetitionPeriod* = *mcch-Offset*.

#### mcch-RepetitionPeriod

Defines the interval between transmissions of MCCH information, in radio frames, Value rf32 corresponds to 32 radio frames, rf64 corresponds to 64 radio frames and so on. In case *mcch-RepetitionPeriod-v1430* is configured, the UE shall ignore the *mcch-RepetitionPeriod-r9*.

### non-MBSFNregionLength

Indicates how many symbols from the beginning of the subframe constitute the non-MBSFN region. This value applies in all subframes of the MBSFN area used for PMCH transmissions as indicated in the MSI. The values s1 and s2 correspond with 1 and 2 symbols, respectively: see TS 36.211 [21], Table 6.7-1.

#### notificationIndicator

Indicates which PDCCH bit is used to notify the UE about change of the MCCH applicable for this MBSFN area. Value 0 corresponds with the least significant bit as defined in TS 36.212 [22], clause 5.3.3.1 and so on.

#### pmch-Bandwidth

Indicates the PMCH and corresponding MBSFN-RS bandwidth applicable for this MBSFN area (parameter  $N_{\rm RB}^{\rm PMCH}$  in TS 36.211 [21] and TS 36.213 [23]). Value n40 corresponds to 40 PRBs, n35 corresponds to 35 PRBs and so on.

### sf-AllocInfo-r9

Indicates the subframes of the radio frames indicated by the *mcch-RepetitionPeriod* and the *mcch-Offset*, that may carry MCCH. Value "1" indicates that the corresponding subframe is allocated. If the bitmap is set to all zeros, the corresponding MBSFN area is considered as not configured.

### The following mapping applies:

FDD: The first/ leftmost bit defines the allocation for subframe #1 of the radio frame indicated by *mcch-RepetitionPeriod* and *mcch-Offset*, the second bit for #2, the third bit for #3, the fourth bit for #6, the fifth bit for #7 and the sixth bit for #8.

TDD: The first/leftmost bit defines the allocation for subframe #3 of the radio frame indicated by *mcch-RepetitionPeriod* and *mcch-Offset*, the second bit for #4, third bit for #7, fourth bit for #8, fifth bit for #9. Uplink subframes are not allocated. The last bit is not used.

### sf-AllocInfo-r16

Indicates the subframes of the radio frames indicated by the *mcch-RepetitionPeriod* and the *mcch-Offset*, that may carry MCCH. Value "1" indicates that the corresponding subframe is allocated. The first/ leftmost bit defines the allocation for subframe #0 of the radio frame indicated by *mcch-RepetitionPeriod* and *mcch-Offset*, the second bit for #1 and so on. When *subcarrierSpacingMBMS* indicates 0.37 kHz subcarrier spacing, a valid MBMS slot can carry MCCH if any subframe corresponding to the slot is configured to carry MCCH.

### signallingMCS

Indicates the MCS applicable for the subframes indicated by the field *sf-AllocInfo* and for each (P)MCH that is configured for this MBSFN area, for the first subframe allocated to the (P)MCH within each MCH scheduling period (which may contain the MCH scheduling information provided by MAC). Value n2 corresponds with the value 2 for

parameter  $I_{\rm MCS}$  in TS 36.213 [23], Table 7.1.7.1-1, and so on.

### subcarrierSpacingMBMS

The value indicates subcarrier spacing for MBSFN subframes, kHz7dot5 refers to 7.5 kHz subcarrier spacing, kHz2dot5 refers to 2.5 kHz subcarrier spacing and so on as defined in TS 36.211 [21], clause 6.12. These subframes do not have non-MBSFN region. If subcarrierSpacingMBMS-r14 is present, then non-MBSFNregionLength shall be ignored. EUTRAN configures parameter subcarrierSpacingMBMS only when the MBSFN subframes have subcarrier spacing other than 15 kHz or when included in mbsfn-AreaInfo-r17. Value kHz15-r17 is applicable only when the field is included in mbsfn-AreaInfo-r17. If subcarrierSpacingMBMS indicates 0.37 kHz subcarrier spacing, the slot as defined in TS 36.211 [21], clause 4.1 is valid only when all the corresponding subframes are configured as MBSFN subframes in this slot.

### timeSeparation

Indicates the staggering length for MBSFN-RS associated with PMCH as defined in TS 36.211 [21], clause 6.10.2.2.4. Value sl2 refers to staggering length of 2 slots (MBSFN reference signal pattern type 2) and sl4 refers to staggering length of 4 slots (MBSFN reference signal pattern type 1). E-UTRAN always configures this field when subcarrierSpacingMBMS indicates 0.37 kHz subcarrier spacing. Othewise the field is not configured.

### MBSFN-SubframeConfig

The IE MBSFN-SubframeConfig defines subframes that are reserved for MBSFN in downlink.

### MBSFN-SubframeConfig information element

```
-- ASN1START
MBSFN-SubframeConfig ::=
                                    SEQUENCE {
    radioframeAllocationPeriod
                                       ENUMERATED {n1, n2, n4, n8, n16, n32},
    radioframeAllocationOffset
                                        INTEGER (0..7),
                                        CHOICE {
    subframeAllocation
                                           BIT STRING (SIZE(6)),
        oneFrame
        fourFrames
                                           BIT STRING (SIZE(24))
}
MBSFN-SubframeConfig-v1430 ::=
                                    SEOUENCE {
    subframeAllocation-v1430
                                            CHOICE {
       oneFrame-v1430
                                            BIT STRING (SIZE(2)),
        fourFrames-v1430
                                           BIT STRING (SIZE(8))
MBSFN-SubframeConfig-v1610 ::=
                                   SEQUENCE {
                                           CHOICE {
    subframeAllocation-v1610
       oneFrame-v1610
                                           BIT STRING (SIZE(2)),
        fourFrames-v1610
                                           BIT STRING (SIZE(8))
-- ASN1STOP
```

### MBSFN-SubframeConfig field descriptions

### **fourFrames**

A bit-map indicating MBSFN subframe allocation in four consecutive radio frames, "1" denotes that the corresponding subframe is allocated for MBSFN. The bitmap is interpreted as follows:

FDD: Starting from the first radioframe and from the first/leftmost bit in the bitmap, the allocation applies to subframes #1, #2, #3, #6, #7, and #8 in the sequence of the four radio-frames.

TDD: Starting from the first radioframe and from the first/leftmost bit in the bitmap, the allocation applies to subframes #3, #4, #7, #8, and #9 in the sequence of the four radio-frames. The last four bits are not used. E-UTRAN allocates uplink subframes only if *eimta-MainConfig* is configured.

### fourFrames-v1430, fourFrames-v1610

A bit-map indicating MBSFN subframe allocation in four consecutive radio frames, "1" denotes that the corresponding subframe is allocated for MBSFN. The bitmap is interpreted as follows:

FDD: Starting from the first radioframe and from the first/leftmost bit in the bitmap, the allocation indicated by *fourFrames-v1430* applies to subframes #4 and #9 in the sequence of the four radio-frames. Starting from the first radioframe and from the first/leftmost bit in the bitmap, the allocation indicated by *fourFrames-v1610*, if present, applies to subframes #0 and #5 in the sequence of the four radio-frames.

### oneFrame

"1" denotes that the corresponding subframe is allocated for MBSFN. The following mapping applies:

FDD: The first/leftmost bit defines the MBSFN allocation for subframe #1, the second bit for #2, third bit for #3, fourth bit for #6, fifth bit for #7, sixth bit for #8.

TDD: The first/leftmost bit defines the allocation for subframe #3, the second bit for #4, third bit for #7, fourth bit for #8, fifth bit for #9. E-UTRAN allocates uplink subframes only if eimta-MainConfig is configured. The last bit is not used.

### oneFrame-v1430, oneFrame-v1610

"1" denotes that the corresponding subframe is allocated for MBSFN. The following mapping applies:

FDD: The first/leftmost bit indicated by *oneFrame-v1430* defines the MBSFN allocation for subframe #4 and the second bit for #9. The first/leftmost bit indicated by *oneFrame-v1610*, if present, defines the MBSFN allocation for subframe #0 and the second bit for #5.

### radioFrameAllocationPeriod, radioFrameAllocationOffset

Radio-frames that contain MBSFN subframes occur when equation *SFN* mod *radioFrameAllocationPeriod* = *radioFrameAllocationOffset* is satisfied. Value n1 for *radioframeAllocationPeriod* denotes value 1, n2 denotes value 2, and so on. When *fourFrames* is used for *subframeAllocation*, the equation defines the first radio frame referred to in the description below. Values *n*1 and *n*2 are not applicable when *fourFrames* is used.

### subframeAllocation

Defines the subframes that are allocated for MBSFN within the radio frame allocation period defined by the radioFrameAllocationPeriod and the radioFrameAllocationOffset.

### PMCH-InfoList

The IE *PMCH-InfoList* specifies configuration of all PMCHs of an MBSFN area, while IE *PMCH-InfoListExt* includes additional PMCHs, i.e. extends the PMCH list using the general principles specified in 5.1.2. The information provided for an individual PMCH includes the configuration parameters of the sessions that are carried by the concerned PMCH. For all PMCH that E-UTRAN includes in *PMCH-InfoList*, the list of ongoing sessions has at least one entry.

### PMCH-InfoList information element

```
-- ASN1START
PMCH-InfoList-r9 ::=
                                   SEQUENCE (SIZE (0..maxPMCH-PerMBSFN)) OF PMCH-Info-r9
PMCH-InfoListExt-r12 ::=
                                   SEQUENCE (SIZE (0..maxPMCH-PerMBSFN)) OF PMCH-InfoExt-r12
PMCH-Info-r9 ::=
                                   SEQUENCE {
    pmch-Config-r9
                                       PMCH-Config-r9,
    mbms-SessionInfoList-r9
                                   MBMS-SessionInfoList-r9,
}
PMCH-InfoExt-r12 ::=
                                   SEQUENCE {
   pmch-Config-r12
                                       PMCH-Config-r12,
   mbms-SessionInfoList-r12
                                       MBMS-SessionInfoList-r9,
}
                               SEQUENCE (SIZE (0..maxSessionPerPMCH)) OF MBMS-SessionInfo-r9
MBMS-SessionInfoList-r9 ::=
                               SEOUENCE {
MBMS-SessionInfo-r9 ::=
   tmgi-r9
                                       TMGI-r9,
                                       OCTET STRING (SIZE (1))
    sessionId-r9
                                                                  OPTIONAL,
    logicalChannelIdentity-r9
                                       INTEGER (0..maxSessionPerPMCH-1),
PMCH-Config-r9 ::=
                                   SEQUENCE {
                                       INTEGER (0..1535),
   sf-AllocEnd-r9
   dataMCS-r9
                                       INTEGER (0..28),
   mch-SchedulingPeriod-r9
                                   ENUMERATED {
                                       rf8, rf16, rf32, rf64, rf128, rf256, rf512, rf1024},
}
PMCH-Config-r12 ::=
                                   SEQUENCE {
   sf-AllocEnd-r12
                                       INTEGER (0..1535),
                                       CHOICE {
    dataMCS-r12
       normal-r12
                                           INTEGER (0..28),
       higerOrder-r12
                                           INTEGER (0..27)
    mch-SchedulingPeriod-r12
                                  ENUMERATED {
                                       rf4, rf8, rf16, rf32, rf64, rf128, rf256, rf512, rf1024},
                                   ENUMERATED {rf1, rf2}
                                                                       OPTIONAL
    [[ mch-SchedulingPeriod-v1430
}
TMGI-r9 ::=
                               SEQUENCE {
   plmn-Id-r9
                                       CHOICE {
       plmn-Index-r9
                                           INTEGER (1..maxPLMN-r11),
        explicitValue-r9
                                           PLMN-Identity
    serviceId-r9
                                       OCTET STRING (SIZE (3))
-- ASN1STOP
```

### PMCH-InfoList field descriptions

### dataMCS

Indicates the value for parameter  $I_{\rm MCS}$  in TS 36.213 [23], which defines the MCS applicable for the subframes of this (P)MCH as indicated by the field commonSF-Alloc. Value normal corresponds to Table 7.1.7.1-1 and value higherOrder corresponds to Table 7.1.7.1-1A. The MCS does however neither apply to the subframes that may carry MCCH i.e. the subframes indicated by the field sf-AllocInfo within SystemInformationBlockType13 nor for the first subframe allocated to this (P)MCH within each MCH scheduling period (which may contain the MCH scheduling information provided by MAC).

### mch-SchedulingPeriod

Indicates the MCH scheduling period i.e. the periodicity used for providing MCH scheduling information at lower layers (MAC) applicable for an MCH. Value rf8 corresponds to 8 radio frames, rf16 corresponds to 16 radio frames and so on. The *mch-SchedulingPeriod* starts in the radio frames for which: SFN mod *mch-SchedulingPeriod* = 0. E-UTRAN configures *mch-SchedulingPeriod* of the (P)MCH listed first in *PMCH-InfoList* to be smaller than or equal to *mcch-RepetitionPeriod*. In case *mch-SchedulingPeriod-v1430* is configured, the UE shall ignore *mch-SchedulingPeriod-r12*.

#### plmn-Index

Index of the entry across the plmn-IdentityList fields within SystemInformationBlockType1.

#### sessionId

Indicates the optional MBMS Session Identity, which together with TMGI identifies a transmission or a possible retransmission of a specific MBMS session: see TS 29.061 [51], clauses 20.5, 17.7.11, and 17.7.15. The field is included whenever upper layers have assigned a session identity i.e. one is available for the MBMS session in E-UTRAN.

### serviceld

Uniquely identifies the identity of an MBMS service within a PLMN. The field contains octet 3- 5 of the IE Temporary Mobile Group Identity (TMGI) as defined in TS 24.008 [49]. The first octet contains the third octet of the TMGI, the second octet contains the fourth octet of the TMGI and so on.

#### sf-AllocEnd

Indicates the last subframe allocated to this (P)MCH within a period identified by field *commonSF-AllocPeriod*. The subframes allocated to (P)MCH corresponding with the n<sup>th</sup> entry in *pmch-InfoList* are the subsequent subframes starting from either the next subframe after the subframe identified by *sf-AllocEnd* of the (n-1)<sup>th</sup> listed (P)MCH or, for n=1, the first subframe defined by field *commonSF-Alloc*, through the subframe identified by *sf-AllocEnd* of the n<sup>th</sup> listed (P)MCH. Value 0 corresponds with the first subframe defined by field *commonSF-Alloc*.

# 6.3.7a SC-PTM information elements

### SC-MTCH-InfoList

The IE SC-MTCH-InfoList provides the list of ongoing MBMS sessions transmitted via SC-MRB and for each MBMS session, the associated G-RNTI and scheduling information.

### SC-MTCH-InfoList information element

```
-- ASN1START
SC-MTCH-InfoList-r13 ::=
                                   SEQUENCE (SIZE (0..maxSC-MTCH-r13)) OF SC-MTCH-Info-r13
SC-MTCH-Info-r13 ::=
                                   SEOUENCE
   mbmsSessionInfo-r13
                                         MBMSSessionInfo-r13,
                                           BIT STRING(SIZE(16))
    q-RNTI-r13
    sc-mtch-schedulingInfo-r13
                                           SC-MTCH-SchedulingInfo-r13
                                                                               OPTIONAL,
OP
    sc-mtch-neighbourCell-r13
                                           BIT STRING (SIZE(maxNeighCell-SCPTM-r13)) OPTIONAL, --
Need OP
    [[ p-a-r13
                                           ENUMERATED {
                                               dB-6, dB-4dot77, dB-3, dB-1dot77,
                                               dB0, dB1, dB2, dB3}
                                                                      OPTIONAL
                                                                                   -- Need ON
    11
MBMSSessionInfo-r13 ::=
                                   SEOUENCE
    tmgi-r13
                                           TMGI-r9,
    sessionId-r13
                                           OCTET STRING (SIZE (1))
                                                                       OPTIONAL
                                                                                   -- Need OR
SC-MTCH-SchedulingInfo-r13::=
    onDurationTimerSCPTM-r13
                                           ENUMERATED {
                                               psf1, psf2, psf3, psf4, psf5, psf6,
```

```
psf8, psf10, psf20, psf30, psf40,
                                                  psf50, psf60, psf80, psf100, psf200},
                                              ENUMERATED {
    drx-InactivityTimerSCPTM-r13
                                                  psf0, psf1, psf2, psf4, psf8,
                                                  psf10, psf20, psf40,
                                                  psf80, psf160, ps320,
                                                  psf640, psf960,
                                                  psf1280, psf1920, psf2560},
    schedulingPeriodStartOffsetSCPTM-r13
                                              CHOICE {
        sf10
                                                  INTEGER(0..9),
        sf20
                                                  INTEGER (0..19),
        sf32
                                                   INTEGER(0..31),
                                                  INTEGER(0..39),
        sf40
        sf64
                                                   INTEGER(0..63),
        sf80
                                                  INTEGER(0..79),
        sf128
                                                  INTEGER(0..127),
        sf160
                                                   INTEGER(0..159),
        sf256
                                                  INTEGER(0..255),
                                                   INTEGER(0..319),
        sf320
        sf512
                                                   INTEGER (0..511),
        sf640
                                                  INTEGER(0..639),
        sf1024
                                                   INTEGER(0..1023),
        sf2048
                                                  INTEGER(0..2048),
                                                   INTEGER(0..4096),
        sf4096
        sf8192
                                                   INTEGER (0..8192)
    },
-- ASN1STOP
```

### SC-MTCH-InfoList field descriptions

### drx-InactivityTimerSCPTM

Timer for SC-MTCH in TS 36.321 [6]. Value in number of PDCCH sub-frames. Value psf0 corresponds to 0 PDCCH sub-frame and behaviour as specified in 7.3.2 applies, psf1 corresponds to 1 PDCCH sub-frame, psf2 corresponds to 2 PDCCH sub-frames and so on.

### g-RNTI

G-RNTI used to scramble the scheduling and transmission of a SC-MTCH.

### mbmsSessionInfo

Indicates the ongoing MBMS session in a SC-MTCH.

# onDurationTimerSCPTM

Timer for SC-MTCH reception in TS 36.321 [6]. Value in number of PDCCH sub-frames. Value psf1 corresponds to 1 PDCCH sub-frame, psf2 corresponds to 2 PDCCH sub-frames and so on.

### p-a

Parameter:  $P_A^{\prime\prime}$ , for the SC-MTCH per G-RNTI, see TS 36.213 [23], clause 5.2. Value dB-6 corresponds to -6 dB, dB-4dot77 corresponds to -4.77 dB etc.

### schedulingPeriodStartOffsetSCPTM

SCPTM-SchedulingCycle and SCPTM-SchedulingOffset in TS 36.321 [6]. The value of SCPTM-SchedulingCycle is in number of sub-frames. Value sf10 corresponds to 10 sub-frames, sf20 corresponds to 20 sub-frames and so on. The value of SCPTM-SchedulingOffset is in number of sub-frames. The E-UTRAN does not configure a maximum value 2048 for sf2048, 4096 for sf4096 or 8192 for sf8192.

### sc-mtch-neighbourCell

Indicates neighbour cells which also provide this service on SC-MTCH. The first bit is set to 1 if the service is provided on SC-MTCH in the first cell in *scptmNeighbourCellList*, otherwise it is set to 0. The second bit is set to 1 if the service is provided on SC-MTCH in the second cell in *scptmNeighbourCellList*, and so on. If this field is absent, the UE shall assume that this service is not available on SC-MTCH in any neighbour cell.

### sc-mtch-schedulingInfo

DRX information for the SC-MTCH. If this field is absent, the SC-MTCH may be scheduled in any subframe.

### SC-MTCH-InfoList-BR

The IE *SC-MTCH-InfoList-BR* provides the list of ongoing MBMS sessions transmitted via SC-MRB and for each MBMS session, the associated G-RNTI and scheduling information.

### SC-MTCH-InfoList-BR information element

```
-- ASN1START
```

```
SEQUENCE (SIZE (0..maxSC-MTCH-BR-r14)) OF SC-MTCH-Info-BR-r14
SC-MTCH-InfoList-BR-r14 ::=
                               SEQUENCE
SC-MTCH-Info-BR-r14 ::=
    sc-mtch-CarrierFreq-r14
                                            ARFCN-ValueEUTRA-r9,
    mbmsSessionInfo-r14
                                            MBMSSessionInfo-r13,
    q-RNTI-r14
                                            BIT STRING(SIZE(16)),
    sc-mtch-schedulingInfo-r14
                                      SC-MTCH-SchedulingInfo-BR-r14
                                                                                     OPTIONAL, --
Need OP
   sc-mtch-neighbourCell-r14
                                            BIT STRING (SIZE(maxNeighCell-SCPTM-r13)) OPTIONAL, --
Need OP
   mpdcch-Narrowband-SC-MTCH-r14
                                                 INTEGER (1.. maxAvailNarrowBands-r13),
    mpdcch-NumRepetition-SC-MTCH-r14
                                                 ENUMERATED {r1, r2, r4, r8, r16,
                                                             r32, r64, r128, r256},
    fdd-r14
                                                 {\tt ENUMERATED} \ \{ {\tt v1} \,, \ {\tt v1dot5} \,, \ {\tt v2} \,, \ {\tt v2dot5} \,, \ {\tt v4} \,,
                                                                 v5, v8, v10},
            tdd-r14
                                                 ENUMERATED {v1, v2, v4, v5, v8, v10,
                                                                 v20}
    mpdcch-PDSCH-HoppingConfig-SC-MTCH-r14
                                                 ENUMERATED {on, off},
    mpdcch-PDSCH-CEmodeConfig-SC-MTCH-r14
                                                 ENUMERATED {ce-ModeA, ce-ModeB},
    mpdcch-PDSCH-MaxBandwidth-SC-MTCH-r14
                                                 ENUMERATED {bwldot4, bw5},
   mpdcch-Offset-SC-MTCH-r14
                                                 ENUMERATED {zero, oneEighth, oneQuarter,
                                                             threeEighth, oneHalf, fiveEighth, threeQuarter, sevenEighth},
                                                 ENUMERATED { dB-6, dB-4dot77, dB-3,
   p-a-r14
                                                             dB-1dot77, dB0, dB1, dB2,
                                                                                  OPTIONAL, -- Need OR
                                                             dB3}
}
SC-MTCH-SchedulingInfo-BR-r14::= SEQUENCE
    onDurationTimerSCPTM-r14
                                            ENUMERATED {
                                                 psf300, psf400, psf500, psf600,
                                                 psf800, psf1000, psf1200, psf1600},
                                             ENUMERATED {
    drx-InactivityTimerSCPTM-r14
                                                 psf0, psf1, psf2, psf4, psf8, psf16,
                                                 psf32, psf64, psf128, psf256, ps512,
                                                 psf1024, psf2048, psf4096, psf8192, psf16384},
    schedulingPeriodStartOffsetSCPTM-r14
                                             CHOICE {
                                                 INTEGER(0..9),
        sf10
        sf20
                                                 INTEGER(0..19),
        sf32
                                                 INTEGER(0..31),
        sf40
                                                 INTEGER(0..39),
                                                 INTEGER(0..63),
        sf64
        sf80
                                                 INTEGER(0..79),
        sf128
                                                 INTEGER(0..127),
        sf160
                                                 INTEGER(0..159),
                                                 INTEGER(0..255),
        sf256
        sf320
                                                 INTEGER(0..319),
        sf512
                                                 INTEGER(0..511),
                                                 INTEGER(0..639),
        sf640
                                                 INTEGER(0..1023),
        sf1024
        sf2048
                                                 INTEGER(0..2047),
        sf4096
                                                 INTEGER(0..4095),
        sf8192
                                                 INTEGER(0..8191)
    },
-- ASN1STOP
```

### SC-MTCH-InfoList-BR field descriptions

### drx-InactivityTimerSCPTM

Timer for SC-MTCH in TS 36.321 [6]. Value in number of MPDCCH sub-frames. Value psf0 corresponds to 0 MPDCCH sub-frame and behaviour as specified in 7.3.2 applies, psf1 corresponds to 1 MPDCCH sub-frame, psf2 corresponds to 2 MPDCCH sub-frames and so on.

#### g-RNTI

G-RNTI used to scramble the scheduling and transmission of a SC-MTCH

#### mbmsSessionInfo

Indicates the ongoing MBMS session in a SC-MTCH.

### mpdcch-Narrowband-SC-MTCH

Narrowband for MPDCCH for SC-MTCH, see TS 36.213 [23].

### mpdcch-NumRepetitions-SC-MTCH

The maximum number of MPDCCH repetitions the UE needs to monitor for SC-MTCH, see TS 36.213 [23].

### mpdcch-Offset-SC-MTCH

Fractional period offset of starting subframes for MPDCCH search space for SC-MTCH, see TS 36.213 [23].

### mpdcch-PDSCH-CEmodeConfig-SC-MTCH

Coverage enhancement mode configuration for MPDCCH/PDSCH for SC-MTCH, see TS 36.213 [23].

# mpdcch-PDSCH-HoppingConfig-SC-MTCH

Frequency hopping configuration for MPDCCH/PDSCH for SC-MTCH, see TS 36.213 [23].

### mpdcch-PDSCH-MaxBandwidth-SC-MTCH

Maximum PDSCH channel bandwidth for SC-MTCH, see TS 36.213 [23]. Value *bw1dot4* corresponds to 1.4 MHz channel bandwidth and value *bw5* corresponds to 5 MHz channel bandwidth. Corresponding maximum TBS are specified in TS 36.213 [23], clause 7.1.7.2.

### mpdcch-StartSF-SC-MTCH

Starting subframes configuration of the MPDCCH search space for SC-MTCH, see TS 36.213 [23].

### onDurationTimerSCPTM

Timer for SC-MTCH reception in TS 36.321 [6]. Value in number of MPDCCH sub-frames. Value psf300 corresponds to 300 MPDCCH sub-frames, psf400 corresponds to 400 MPDCCH sub-frames and so on.

### schedulingPeriodStartOffsetSCPTM

SCPTM-SchedulingCycle and SCPTM-SchedulingOffset in TS 36.321 [6]. The value of SCPTM-SchedulingCycle is in number of sub-frames. Value sf10 corresponds to 10 sub-frames, sf20 corresponds to 20 sub-frames and so on. The value of SCPTM-SchedulingOffset is in number of sub-frames.

### sc-mtch-CarrierFreq

Downlink carrier used for multicast SC-MTCH transmissions.

### sc-mtch-neiahbourCell

Indicates neighbour cells which also provide this service on SC-MTCH. The first bit is set to 1 if the service is provided on SC-MTCH in the first cell in *scptmNeighbourCellList*, otherwise it is set to 0. The second bit is set to 1 if the service is provided on SC-MTCH in the second cell in *scptmNeighbourCellList*, and so on. If this field is absent, the UE shall assume that this service is not available on SC-MTCH in any neighbour cell.

### sc-mtch-schedulingInfo

DRX information for the SC-MTCH. If this field is absent, DRX is not used for SC-MTCH reception.

### p-a

Parameter:  $P_A^{\prime\prime}$  for the SC-MTCH per G-RNTI, see TS 36.213 [23], clause 5.2. Value dB-6 corresponds to -6 dB, dB-4dot77 corresponds to -4.77 dB etc.

### SCPTM-NeighbourCellList

The IE *SCPTM-NeighbourCellList* indicates a list of neighbour cells where ongoing MBMS sessions provided via SC-MRB in the current cells are also provided.

```
-- ASN1START

SCPTM-NeighbourCellList-r13 ::= SEQUENCE (SIZE (1..maxNeighCell-SCPTM-r13)) OF PCI-ARFCN-r13

PCI-ARFCN-r13 ::= SEQUENCE {
    physCellId-r13 PhysCellId,
    carrierFreq-r13 ARFCN-ValueEUTRA-r9 OPTIONAL
}

-- ASN1STOP
```

### SCPTM-NeighbourCellList field description

#### carrierFreq

Indicates the frequency of the neighbour cell indicated by *physCellId*. Absence of the IE means that the neighbour cell is on the same frequency as the current cell.

# 6.3.8 Sidelink information elements

# SL-AnchorCarrierFreqList-V2X

The IE *SL-AnchorCarrierFreqList-V2X* specifies the SL V2X anchor frequencies i.e. frequencies that include intercarrier resource configuration for V2X sidelink communication.

### SL-AnchorCarrierFreqList-V2X information element

```
-- ASN1START

SL-AnchorCarrierFreqList-V2X-r14 ::= SEQUENCE (SIZE (1..maxFreqV2X-r14)) OF ARFCN-ValueEUTRA-r9

-- ASN1STOP
```

# SL-CBR-CommonTxConfigList

The IE *SL-CBR-CommonTxConfigList* indicates the list of PSSCH transmission parameters (such as MCS, sub-channel number, retransmission number, CR limit) in *sl-CBR-PSSCH-TxConfigList*, and the list of CBR ranges in *cbr-RangeCommonConfigList*, to configure congestion control to the UE for V2X sidelink communication.

### SL-CBR-CommonTxConfigList information element

```
-- ASN1START
SL-CBR-CommonTxConfigList-r14 ::=
                                    SEQUENCE {
    cbr-RangeCommonConfigList-r14
                                    SEQUENCE (SIZE (1..maxSL-V2X-CBRConfig-r14)) OF SL-CBR-Levels-
Config-r14,
   sl-CBR-PSSCH-TxConfigList-r14
                                   SEQUENCE (SIZE (1..maxSL-V2X-TxConfig-r14)) OF SL-CBR-PSSCH-
TxConfig-r14
SL-CBR-Levels-Config-r14 ::=
                                    SEQUENCE (SIZE (1..maxCBR-Level-r14)) OF SL-CBR-r14
                                    SEQUENCE {
SL-CBR-PSSCH-TxConfig-r14 ::=
                                    INTEGER(0..10000),
    cr-Limit-r14
    tx-Parameters-r14
                                    SL-PSSCH-TxParameters-r14
SL-CBR-r14 ::=
                                    INTEGER(0..100)
-- ASN1STOP
```

### SL-CBR-CommonTxConfigList field descriptions

#### cbr-RangeCommonConfigList

Indicates the list of CBR ranges. Each entry of the list indicates in *SL-CBR-Levels-Config* the upper bound of the CBR range for the respective entry. The upper bounds of the CBR ranges are configured in ascending order for consecutive entries of *cbr-RangeCommonConfigList*. For the first entry of *cbr-RangeCommonConfigList* the lower bound of the CBR range is 0.

#### cr-Limit

Indicates the maximum limit on the occupancy ratio. Value 0 corresponds to 0, value 1 to 0.0001, value 2 to 0.0002, and so on (i.e. in steps of 0.0001) until value 10000, which corresponds to 1.

### sI-CBR-PSSCH-TxConfigList

Indicates the list of available PSSCH transmission parameters (such as MCS, sub-channel number, retransmission number and CR limit) configurations.

### SL-CBR

Value 0 corresponds to 0, value 1 to 0.01, value 2 to 0.02, and so on.

### tx-Parameters

Indicates PSSCH transmission parameters.

# SL-CBR-PPPP-TxConfigList

The IE *SL-CBR-PPPP-TxConfigList* indicates the mapping between PSSCH transmission parameter (such as MCS, PRB number, retransmission number, CR limit) sets by using the indexes of the configurations provided in *sl-CBR-PSSCH-TxConfigList*, CBR ranges by an index to the entry of the CBR range configuration in *cbr-RangeCommonConfigList*, and PPPP ranges. It also indicates the default PSSCH transmission parameters to be used when CBR measurement results are not available.

### SL-CBR-PPPP-TxConfigList information element

```
-- ASN1START
SL-CBR-PPPP-TxConfigList-r14 ::=
                                     SEQUENCE (SIZE (1..8)) OF SL-PPPP-TxConfigIndex-r14
SL-PPPP-TxConfigIndex-r14 ::= SEQUENCE {
SL-Priority-r13,
    priorityThreshold-r14
defaultTxConfigIndex-r14
cbr-ConfigIndex-r14
tx-ConfigIndexList-r14
                                     INTEGER(0..maxCBR-Level-1-r14),
                                     INTEGER(0..maxSL-V2X-CBRConfig-1-r14),
                                     SEQUENCE (SIZE (1..maxCBR-Level-r14)) OF Tx-ConfigIndex-r14
                                     INTEGER(0..maxSL-V2X-TxConfig-1-r14)
Tx-ConfigIndex-r14 ::=
SL-CBR-PPPP-TxConfigList-v1530 ::= SEQUENCE (SIZE (1..8)) OF SL-PPPP-TxConfigIndex-v1530
SL-PPPP-TxConfigIndex-v1530 ::=
                                      SEQUENCE {
                                         SEQUENCE (SIZE (1..maxCBR-Level-r14)) OF MCS-PSSCH-Range-r15
    mcs-PSSCH-RangeList-r15
                         OPTIONAL
                                          --Need OR
MCS-PSSCH-Range-r15 ::=
                            SEQUENCE {
    minMCS-PSSCH-r15
                                 INTEGER (0..31),
    maxMCS-PSSCH-r15
                                 INTEGER (0..31)
SL-CBR-PPPP-TxConfigList-r15 ::=
                                     SEQUENCE (SIZE (1..8)) OF SL-PPPP-TxConfigIndex-r15
SL-PPPP-TxConfigIndex-r15 ::=
                                      SEQUENCE {
                                  SL-Priority-r13,
INTEGER(0..maxCB
    priorityThreshold-r15
    defaultTxConfigIndex-r15
                                     INTEGER(0..maxCBR-Level-1-r14),
    cbr-ConfigIndex-r15
                                      INTEGER(0..maxSL-V2X-CBRConfig-1-r14),
    tx-ConfigIndexList-r15
                                    SEQUENCE (SIZE (1..maxCBR-Level-r14)) OF Tx-ConfigIndex-r14,
    mcs-PSSCH-RangeList-r15
                                          SEQUENCE (SIZE (1..maxCBR-Level-r14)) OF MCS-PSSCH-Range-r15
-- ASN1STOP
```

# SL-CBR-PPPP-TxConfigList field descriptions

### cbr-ConfigIndex

Indicates the CBR ranges to be used by an index to the entry of the CBR range configuration in *cbr-RangeCommonConfigList*.

### defaultTxConfigIndex

Indicates the PSSCH transmission parameters to be used by the UEs which do not have available CBR measurement results, by means of an index to the corresponding entry in *tx-ConfigIndexList*. Value 0 indicates the first entry in *tx-ConfigIndexList*. The field is ignored if the UE has available CBR measurement results.

### mcs-PSSCH-RangeList

If included, this field applies to the PPPP(s) indicated by the *priorityThreshold* and each entry in this field sequentially corresponds to each CBR range indicated by *cbr-ConfigIndex*.

### minMCS-PSSCH, maxMCS-PSSCH

Indicates the minimum and maximum MCS values which correspond to both the MCS table in Table 8.6.1-1 and Table 14.1.1-2 in TS 36.213 [23] used for transmission on PSSCH.

### priorityThreshold

Indicates the upper bound of PPPP range which is associated with the configurations in *cbr-ConfigIndex* and in *tx-ConfigIndexList*. The upper bounds of the PPPP ranges are configured in ascending order for consecutive entries of *SL-PPPP-TxConfigIndex* in *SL-CBR-PPPP-TxConfigList*. For the first entry of *SL-PPPP-TxConfigIndex*, the lower bound of the PPPP range is 1.

### SL-CBR-PPPP-TxConfigList-v1530

If included, E-UTRAN shall include the same number of entries, and listed in the same order, as in *SL-CBR-PPPP-TxConfigList-r14*.

### tx-ConfigIndexList

Indicates the list of the PSSCH transmission parameters and CR limit by the indexes to the entries of the configurations in *sl-CBR-PSSCH-TxConfigList*. Each index in *tx-ConfigIndexList* sequentially maps to each CBR range indicated by *cbr-ConfigIndex*.

# SL-CommConfig

The IE *SL-CommConfig* specifies the dedicated configuration information for sidelink communication. In particular it concerns the transmission resource configuration for sidelink communication on the primary frequency.

### SL-CommConfig information element

```
-- ASN1START
SL-CommConfig-r12 ::=
                                  SEQUENCE
   commTxResources-r12
                                      CHOICE {
                                          NULL,
       release
                                          CHOICE {
        setup
           scheduled-r12
                                          SEQUENCE {
               sl-RNTI-r12
                                              C-RNTI,
               mac-MainConfig-r12
                                              MAC-MainConfigSL-r12,
               sc-CommTxConfig-r12
                                              SL-CommResourcePool-r12,
                                              INTEGER (0..28)
                                                                         OPTIONAL
                                                                                     -- Need OP
               mcs-r12
           ue-Selected-r12
                                          SEQUENCE {
               -- Pool for normal usage
               commTxPoolNormalDedicated-r12
                                             SEQUENCE {
                   poolToReleaseList-r12
                                                 SL-TxPoolToReleaseList-r12 OPTIONAL,
                                                                                         -- Need
ON
                   poolToAddModList-r12
                                                 SL-CommTxPoolToAddModList-r12 OPTIONAL -- Need
ON
               }
           }
                                                                         OPTIONAL,
                                                                                   -- Need ON
    [[ commTxResources-v1310
                                          CHOICE {
                                              NULL.
           release
           setup
                                              CHOICE {
               scheduled-v1310
                                                  SEQUENCE {
                   logicalChGroupInfoList-r13
                                                     LogicalChGroupInfoList-r13,
                   multipleTx-r13
                                                     BOOLEAN
               ue-Selected-v1310
                                                  SEQUENCE {
                   poolToReleaseListExt-r13
                                                         SL-TxPoolToReleaseListExt-r13 OPTIONAL,
    -- Need ON
                       poolToAddModListExt-r13
                                                         SL-CommTxPoolToAddModListExt-r13
   OPTIONAL -- Need ON
```

```
}
                                                                       OPTIONAL,
                                                                                    -- Need ON
        commTxAllowRelayDedicated-r13
                                           BOOLEAN
                                                           OPTIONAL
                                                                        -- Need ON
    ]]
LogicalChGroupInfoList-r13 ::=
                                  SEQUENCE (SIZE (1..maxLCG-r13)) OF SL-PriorityList-r13
SL-CommTxPoolToAddModList-r12 ::=
                                       SEQUENCE (SIZE (1..maxSL-TxPool-r12)) OF SL-
CommTxPoolToAddMod-r12
SL-CommTxPoolToAddModListExt-r13 ::=
                                       SEQUENCE (SIZE (1..maxSL-TxPool-v1310)) OF SL-
CommTxPoolToAddModExt-r13
SL-CommTxPoolToAddMod-r12 ::=
                                  SECUENCE
    poolIdentity-r12
                                       SL-TxPoolIdentity-r12,
   pool-r12
                                       SL-CommResourcePool-r12
SL-CommTxPoolToAddModExt-r13 ::=
                                       SEOUENCE
                                        SL-TxPoolIdentity-v1310,
   poolIdentity-v1310
   pool-r13
                                       SL-CommResourcePool-r12
MAC-MainConfigSL-r12 ::=
                               SEQUENCE
   periodic-BSR-TimerSL
                                            PeriodicBSR-Timer-r12
                                                                       OPTIONAL,
                                                                                    -- Need ON
    retx-BSR-TimerSL
                                            RetxBSR-Timer-r12
-- ASN1STOP
```

### SL-CommConfig field descriptions

### commTxAllowRelayDedicated

Indicates whether the UE is allowed to transmit relay related sidelink communication using the configured dedicated transmission resources i.e. either via scheduled or via UE selected resources.

### commTxPoolNormalDedicated

Indicates a pool of transmission resources the UE is allowed to use while in RRC\_CONNECTED.

### logicalChGroupInfoList

Indicates for each logical channel group the list of associated priorities, used as specified in TS 36.321 [6], in order of increasing logical channel group identity.

#### mcs

Indicates the MCS as defined in TS 36.212 [23], clause 14.2.1. If not configured, the selection of MCS is up to UE implementation.

#### multipleTx

Indicates whether the UE should perform multiple transmissions to different destinations in one SC period in accordance with TS 36.321 [6], clause 5.14.1.1. Value TRUE indicates that multiple transmissions should be performed.

#### sc-CommTxConfig

Indicates a pool of resources for SC when E-UTRAN schedules Tx resources (i.e. when indices included in DCI format 5 indicate the actual data resources to be used as specified in TS 36.212 [22], clause 5.3.3.1.9).

#### scheduled

Indicates the configuration for the case E-UTRAN schedules the transmission resources based on sidelink specific BSR from the UE.

### ue-Selected

Indicates the configuration for the case the UE selects the transmission resources from a pool of resources configured by E-UTRAN.

### SL-CommResourcePool

The IE *SL-CommResourcePool* and *SL-CommResourcePoolV2X* specifies the configuration information for an individual pool of resources for sidelink communication and V2X sidelink communication respectively. The IE covers the configuration of both the sidelink control information and the data.

### SL-CommResourcePool information element

```
-- ASN1START
```

```
SL-CommTxPoolList-r12 ::= SEQUENCE (SIZE (1..maxSL-TxPool-r12)) OF SL-CommResourcePool-r12
SL-CommTxPoolListExt-r13 ::= SEQUENCE (SIZE (1..maxSL-TxPool-v1310)) OF SL-CommResourcePool-r12
SL-CommTxPoolListV2X-r14 ::=
                                  SEQUENCE (SIZE (1..maxSL-V2X-TxPool-r14)) OF SL-
CommResourcePoolV2X-r14
                              SEQUENCE (SIZE (1..maxSL-RxPool-r12)) OF SL-CommResourcePool-r12
SL-CommRxPoolList-r12 ::=
SL-CommRxPoolListV2X-r14 ::=
                                  SEQUENCE (SIZE (1..maxSL-V2X-RxPool-r14)) OF SL-
CommResourcePoolV2X-r14
SL-CommResourcePool-r12 ::= SEQUENCE {
    sc-CP-Len-r12
                                      SL-CP-Len-r12,
    sc-Period-r12
                                       SL-PeriodComm-r12,
                                       SL-TF-ResourceConfig-r12,
    sc-TF-ResourceConfig-r12
    data-CP-Len-r12
                                      SL-CP-Len-r12,
                                       SL-HoppingConfigComm-r12,
    dataHoppingConfig-r12
   ue-SelectedResourceConfig-r12
       SelectedResourceConfig-r12 SEQUENCE {
data-TF-ResourceConfig-r12 SL-TF-ResourceConfig-r12,
trpt-Subset-r12 SL-TRPT-Subset-r12 OPTIONAL
                                              SL-TF-ResourceConfig-r12,
                                                                         -- Need OP
                                                                  OPTIONAL, -- Need OR
    rxParametersNCell-r12
                                      SEQUENCE {
                                     TDD-Config
       tdd-Config-r12
                                                                  OPTIONAL, -- Need OP
       syncConfigIndex-r12 INTEGER (0..15)
                                                                  OPTIONAL,
                                                                              -- Need OR
    txParameters-r12
                                      SEQUENCE {
       sc-TxParameters-r12
       dataTxParameters-r12
                                       SL-TxParameters-r12,
                                      SL-TxParameters-r12
                                                                  OPTIONAL, -- Cond Tx
                                    SL-PriorityList-r13
    [[ priorityList-r13
                                                                  OPTIONAL
                                                                               -- Cond Tx
}
SL-CommResourcePoolV2X-r14 ::=
                                  SEQUENCE {
                                  SL-OffsetIndicator-r12 OPTIONAL, -- Need OR
   sl-OffsetIndicator-r14
    sl-Subframe-r14
                                      SubframeBitmapSL-r14,
    adjacencyPSCCH-PSSCH-r14
                                      BOOLEAN,
    sizeSubchannel-r14
                                       ENUMERATED {
                                      n4, n5, n6, n8, n9, n10, n12, n15, n16, n18, n20, n25, n30,
                                      n48, n50, n72, n75, n96, n100, spare13, spare12, spare11,
                                       spare10, spare9, spare8, spare7, spare6, spare5, spare4,
                                       spare3, spare2, spare1},
   numSubchannel-r14
                                       ENUMERATED {n1, n3, n5, n8, n10, n15, n20, spare1},
                                       INTEGER (0..99),
    startRB-Subchannel-r14
    startRB-PSCCH-Pool-r14
                                      INTEGER (0..99)
                                                                  OPTIONAL, -- Need OR
                                       SEQUENCE {
   rxParametersNCell-r14
                                      TDD-Config
       tdd-Config-r14
                                                                 OPTIONAL, -- Need OP
                                      INTEGER (0..15)
       syncConfigIndex-r14
                                                                  OPTIONAL, -- Need OR
                                                                OPTIONAL, -- Cond Tx
OPTIONAL, -- Need OR
    dataTxParameters-r14
                                      SL-TxParameters-r12
                                                                OPTIONAL, -- Need OR
OPTIONAL, -- Need OR
    zoneID-r14
                                       INTEGER (0..7)
                                        INTEGER (0..45)
    threshS-RSSI-CBR-r14
                                      SL-V2X-TxPoolReportIdentity-r14 OPTIONAL, -- Need OR SL-CBR-PPPP-TxConfigList-r14 OPTIONAL, -- Need OR
    poolReportId-r14
    cbr-pssch-TxConfigList-r14
   resourceSelectionConfigP2X-r14 SL-P2X-ResourceSelectionConfig-r14 OPTIONAL, -- Cond P2X
                                                                                 -- Need OR
                                       SL-SyncAllowed-r14
                                                                      OPTIONAL,
    syncAllowed-r14
    restrictResourceReservationPeriod-r14 SL-RestrictResourceReservationPeriodList-r14
   OPTIONAL, -- Need OR
                                  SL-MinT2ValueList-r15
    [[ sl-MinT2ValueList-r15
                                                             OPTIONAL, -- Need OR
       cbr-pssch-TxConfigList-v1530 SL-CBR-PPPP-TxConfigList-v1530 OPTIONAL -- Need OR
    11
}
SL-TRPT-Subset-r12 ::=
                              BIT STRING (SIZE (3..5))
SL-V2X-TxPoolReportIdentity-r14::= INTEGER (1..maxSL-PoolToMeasure-r14)
SL-MinT2ValueList-r15 ::= SEQUENCE (SIZE (1..maxSL-Prio-r13)) OF SL-MinT2Value-r15
SL-MinT2Value-r15 ::=
                               SEQUENCE {
   priorityList-r15
                                      SL-PriorityList-r13,
   minT2Value-r15
                                      INTEGER (10..20)
}
```

-- ASN1STOP

### SL-CommResourcePool field descriptions

### adjacencyPSCCH-PSSCH

Indicates whether a UE shall always transmit PSCCH and PSSCH in adjacent RBs (indicated by TRUE) or in non-adjacent RBs (indicated by FALSE) (see TS 36.213 [23]).

### cbr-pssch-TxConfigList

Indicates the mapping between PPPPs, CBR ranges by using indexes of the entry in cbr-RangeCommonConfigList, and PSSCH transmission parameters and CR limit by using indexes of the entry in sl-CBR-PSSCH-TxConfigList. If SL-CommResourcePoolV2X is included in MobilityControlInfoV2X, it refers to cbr-MobilityTxConfigList for cbr-RangeCommonConfigList and sl-CBR-PSSCH-TxConfigList. If SL-CommResourcePoolV2X is included in SL-V2X-ConfigDedicated, it refers to cbr-DedicatedTxConfigList for cbr-RangeCommonConfigList and sl-CBR-PSSCH-TxConfigList. Otherwise, it refers to cbr-CommonTxConfigList included in the SystemInformationBlockType21 of the serving cell / PCell for cbr-RangeCommonConfigList and sl-CBR-PSSCH-TxConfigList.

#### minT2Value

Indicates the minimum value of T2 that applies to the PPPP(s), as specified in TS 36.300 [9], included in priorityList.

#### numSubchannel

indicates the number of subchannels in the corresponding resource pool (see TS 36.213 [23]).

### poolReportId

The identity of the transmission resource pool used for CBR measurement reporting, which is corresponding to the poolIdentity reported in measResultListCBR. This field is only present in the transmission pools configured in RRCConnectionReconfiguration and v2x-CommTxPoolExceptional, p2x-CommTxPoolNormalCommon, v2x-CommTxPoolNormalCommon, v2x-CommTxPoolNormal in SystemInformationBlockType21 or SystemInformationBlockType26. Otherwise, the field is absent.

### resourceSelectionConfigP2X

Indicates the allowed resource selection mechanism(s), i.e. partial sensing and/or random selection, for P2X related V2X sidelink communication.

#### restrictResourceReservationPeriod

If configured, the field restrictResourceReservationPeriod configured in v2x-ResourceSelectionConfig shall be ignored for transmission on this pool.

#### sc-Period

Indicates the period over which resources are allocated in a cell for SC and over which scheduled and UE selected data transmissions occur, see PSCCH period in TS 36.213 [23]. Value in number of subframes. Value sf40 corresponds to 40 subframes, sf80 corresponds to 80 subframes and so on. E-UTRAN configures values sf40, sf80, sf160 and sf320 for FDD and for TDD config 1 to 5, values sf70, sf140 and sf280 for TDD config 0, and finally values sf60, sf120 and sf240 for TDD config 6.

### sizeSubchannel

Indicates the number of PRBs of each subchannel in the corresponding resource pool (see TS 36.213 [23]). The value n5 denotes 5 PRBs; n6 denotes 6 PRBs and so on. E-UTRAN configures values n5, n6, n10, n15, n20, n25, n50, n75 and n100 in the case of *adjacencyPSCCH-PSSCH* set to TRUE; otherwise, E-UTRAN configures values n4, n5, n6, n8, n9, n10, n12, n15, n16, n18, n20, n30, n48, n72 and n96 in the case of *adjacencyPSCCH-PSSCH* set to FALSE,

#### sl-minT2ValueList

Indicates a list of minimum value sets for the parameter T2 which is used for UE autonomous resource selection in this resource pool (see TS 36.213 [23]).

### sl-OffsetIndicator

Indicates the offset of the first subframe of a resource pool, i.e., the starting subframe of the repeating bitmap *sl-Subframe*, within a SFN cycle. If absent, the resource pool starts from first subframe of SFN=0. This field is not applicable to V2X sidelink communication.

### sl-Subframe

Indicates the bitmap of the resource pool, which is defined by repeating the bitmap within a SFN cycle (see TS 36.213 [23]).

### startRB-PSCCH-Pool

Indicates the lowest RB index of the PSCCH pool (see TS 36.213 [23]). This field is absent when a pool is (pre)configured such that a UE always transmits SC and data in adjacent RBs in the same subframe.

### startRB-Subchannel

Indicates the lowest RB index of the subchannel with the lowest index (see TS 36.213 [23]).

### syncAllowed

Indicates the allowed synchronization reference(s) which is (are) allowed to use the configured resource pool.

### syncConfigIndex

Indicates the synchronisation configuration that is associated with a reception pool, by means of an index to the corresponding entry of *commSyncConfig* in *SystemInformationBlockType18* for sidelink communication, *or* by means of an index to the corresponding entry of *v2x-SyncConfig* in *SystemInformationBlockType21* or *SystemInformationBlockType26* for V2X sidelink communication.

### tdd-Config

TDD configuration associated with the reception pool of the cell indicated by *syncConfigIndex*. Absence of the field indicates that the duplex mode is FDD and no TDD specific physical channel configuration is applicable.

### threshS-RSSI-CBR

Indicates the S-RSSI threshold for determining the contribution of a sub-channel to the CBR measurement, as specified in TS 36.214 [48]. Value 0 corresponds to -112 dBm, value 1 to -110 dBm, value n to (-112 + n\*2) dBm, and so on.

### SL-CommResourcePool field descriptions

### adjacencyPSCCH-PSSCH

Indicates whether a UE shall always transmit PSCCH and PSSCH in adjacent RBs (indicated by TRUE) or in non-adjacent RBs (indicated by FALSE) (see TS 36.213 [23]).

### trpt-Subset

Indicates the subset of T-RPT available (see TS 36.213 [23], clause 14.1.1.1). Consists of a bitmap which is used to indicate the set of available 'k' values to be used for sidelink communication (see TS 36.213 [23], clause 14.1.1.3). If T-RPT subset configuration is not signaled/ preconfigured then UE assumes the whole T-RPT set is available.

### zoneID

Indicates the zone ID for which the UE shall use this resource pool as described in 5.10.13.2. The field is absent in v2x-CommTxPoolExceptional, p2x-CommTxPoolNormalCommon, p2x-CommTxPoolNormal and v2x-CommRxPool in SIB21, in SIB26 or in mobilityControlInfoV2X.

Conditional presence	Explanation
Tx	The field is mandatory present when included in commTxPoolNormalDedicated, commTxPoolNormalDedicatedExt, commTxPoolNormalCommon, commTxPoolNormalCommonExt, commTxPoolExceptional, sc-CommTxConfig, v2x-CommTxPoolNormalCommon, v2x-CommTxPoolExceptional, v2x-CommTxPoolNormalDedicated, p2x-CommTxPoolNormalCommon or v2x-CommTxPoolNormal and p2x-CommTxPoolNormal in v2x-InterFreqInfoList. Otherwise the field is not present.
P2X	The field is mandatory present when included in p2x-CommTxPoolNormalCommon, v2x-CommTxPoolNormalDedicated in sI-V2X-ConfigDedicated for P2X related V2X sidelink communication or p2x-CommTxPoolNormal in v2x-InterFreqInfoList. Otherwise the field is not present.

## SL-CommTxPoolSensingConfig

The IE *SL-CommTxPoolSensingConfig* specifies V2X sidelink communication configurations used for UE autonomous resource selection.

### SL-CommTxPoolSensingConfig information element

```
-- ASN1START
SL-CommTxPoolSensingConfig-r14 ::=
                                        SEQUENCE {
   pssch-TxConfigList-r14
                                            SL-PSSCH-TxConfigList-r14,
                                            SL-ThresPSSCH-RSRP-List-r14,
    thresPSSCH-RSRP-List-r14
    {\tt restrictResourceReservationPeriod-r14} \qquad {\tt SL-RestrictResourceReservationPeriodList-r14}
    OPTIONAL,
               -- Need OR
   probResourceKeep-r14
                                        ENUMERATED {v0, v0dot2, v0dot4, v0dot6, v0dot8,
                                                     spare3,spare2, spare1},
                                             SEQUENCE {
    p2x-SensingConfig-r14
        minNumCandidateSF-r14
                                             INTEGER (1..13),
       gapCandidateSensing-r14
                                            BIT STRING (SIZE (10))
           OPTIONAL, -- Need OR
    sl-ReselectAfter-r14
                                        ENUMERATED {n1, n2, n3, n4, n5, n6, n7, n8, n9,
                                                spare7, spare6, spare5, spare4, spare3, spare2,
                                                                                     -- Need OR
                                                 spare1}
                                                                     OPTIONAL
-- ASN1STOP
```

### SL-CommTxPoolSensingConfig field descriptions

#### gapCandidateSensing

Indicates which subframe should be sensed when a certain subframe is considered as a candidate resource (see TS 36.213 [23]).

### minNumCandidateSF

Indicates the minimum number of subframes that are included in the possible candidate resources.

### p2x-SensingConfig

Indicates the sensing configuration for P2X related V2X sidelink communication transmission only.

#### probResourceKeep

Indicates the probability with which the UE keeps the current resource when the resource reselection counter reaches zero for sensing based UE autonomous resource selection (see TS 36.321 [6]).

#### pssch-TxConfigList

Indicates PSSCH TX parameters such as MCS, PRB number, retransmission number, associated to different UE absolute speeds and different synchronization reference types for UE autonomous resource selection (see TS 36.213 [23]).

### restrictResourceReservationPeriod

Indicates which values are allowed for the signaling of the resource reservation period in PSCCH.

#### sl-ReselectAfter

Indicates the number of consecutive skipped transmissions before triggering resource reselection for V2X sidelink communication (see TS 36.321 [6]).

#### thresPSSCH-RSRP-List

Indicates a list of 64 thresholds, and the threshold should be selected based on the priority in the decoded SCI and the priority in the SCI to be transmitted (see TS 36.213 [23]). A resource is excluded if it is indicated or reserved by a decoded SCI and PSSCH RSRP in the associated data resource is above a threshold.

### SL-CP-Len

The IE SL-CP-Len indicates the cyclic prefix length, see TS 36.211 [21].

### SL-CP-Len information element

```
-- ASN1START

SL-CP-Len-r12 ::= ENUMERATED {normal, extended}

-- ASN1STOP
```

### SL-DiscConfig

The IE SL-DiscConfig specifies the dedicated configuration information for sidelink discovery.

### SL-DiscConfig information element

```
-- ASN1START
SL-DiscConfig-r12 ::=
                                          SEQUENCE {
                                               CHOICE {
    discTxResources-r12
                                               NULL,
        release
                                               CHOICE
        setup
            scheduled-r12
                                               SEQUENCE {
                                                       SL-DiscResourcePool-r12 OPTIONAL, -- Need ON SL-TF-IndexPairList-r12 OPTIONAL, -- Need ON
                discTxConfig-r12
                 discTF-IndexList-r12
                 discHoppingConfig-r12
                                                       SL-HoppingConfigDisc-r12
                                                           OPTIONAL
                                                                        -- Need ON
                                               SEQUENCE {
            ue-Selected-r12
                discTxPoolDedicated-r12
                                               SEQUENCE {
                                                      SL-TxPoolToReleaseList-r12 OPTIONAL,
                    poolToReleaseList-r12
ON
                     poolToAddModList-r12
                                                      SL-DiscTxPoolToAddModList-r12 OPTIONAL -- Need
ON
                                                                         OPTIONAL
                                                                                     -- Need ON
            }
                                                                         OPTIONAL,
                                                                                     -- Need ON
    [[ discTF-IndexList-v1260
                                               CHOICE {
            release
                                                   NULL.
```

```
SEQUENCE {
           setup
                                                 SL-TF-IndexPairList-r12b
               discTF-IndexList-r12b
                                                                 OPTIONAL -- Need ON
       }
    11,
   [[ discTxResourcesPS-r13
                                    CHOICE {
                                              NULL,
           release
                                              CHOICE {
           setup
               scheduled-r13
                                                 SL-DiscTxConfigScheduled-r13,
               ue-Selected-r13
                                                  SEQUENCE {
                   discTxPoolPS-Dedicated-r13
                                                     SL-DiscTxPoolDedicated-r13
                                                                 OPTIONAL, -- Need ON
       discTxInterFreqInfo-r13
                                      CHOICE {
                                              NULL,
           release
                                              SEOUENCE {
           setup
                                                 ARFCN-ValueEUTRA-r9 OPTIONAL, -- Need
               discTxCarrierFreq-r13
OR
               discTxRefCarrierDedicated-r13
                                                SL-DiscTxRefCarrierDedicated-r13 OPTIONAL, --
Need OR
               discTxInfoInterFreqListAdd-r13
                                                     SL-DiscTxInfoInterFreqListAdd-r13 OPTIONAL
    -- Need ON
                                                                 OPTIONAL,
                                                                             -- Need ON
       gapRequestsAllowedDedicated-r13 BOOLEAN
                                                         OPTIONAL, -- Need ON
       discRxGapConfig-r13
                                          CHOICE {
           release
                                              NULL,
                                              SL-GapConfig-r13
           setup
                                                                 OPTIONAL. -- Need ON
       discTxGapConfig-r13
                                          CHOICE {
          release
                                              SL-GapConfig-r13
           setup
                                                                 OPTIONAL.
                                                                             -- Need ON
       discSysInfoToReportConfig-r13
                                          CHOICE {
                                              NULL,
           setup
                                              SL-DiscSysInfoToReportFreqList-r13
                                                                 OPTIONAL -- Need ON
   11
}
SL-DiscSysInfoToReportFreqList-r13 ::= SEQUENCE (SIZE (1..maxFreq)) OF ARFCN-ValueEUTRA-r9
{\tt SL-DiscTxInfoInterFreqListAdd-r13} ::= {\tt SEQUENCE} \ \{
   discTxFreqToAddModList-r13
                                       SEQUENCE (SIZE (1..maxFreq)) OF SL-
DiscTxResourceInfoPerFreq-r13 OPTIONAL,
                                          -- Need ON
                                         -- Neeu UN
SEQUENCE (SIZE (1..maxFreq)) OF ARFCN-ValueEUTRA-r9
   discTxFreqToReleaseList-r13
   OPTIONAL,
              -- Need ON
}
SL-DiscTxResourceInfoPerFreq-r13 ::= SEQUENCE
   discTxCarrierFreq-r13 ARFCN-ValueEUTRA-r9,
                                         SL-DiscTxResource-r13 OPTIONAL, -- Need OR SL-DiscTxResource-r13 OPTIONAL, -- Need OR
   discTxResources-r13
   discTxResourcesPS-r13
   discTxRefCarrierDedicated-r13
                                         SL-DiscTxRefCarrierDedicated-r13 OPTIONAL, -- Need
OR
   discCellSelectionInfo-r13
                                             CellSelectionInfoNFreq-r13
                                                                                 OPTIONAL,
Need OR
}
SL-DiscTxResource-r13 ::=
                                      CHOICE {
                                      NULL.
   release
   setup
                                      CHOICE {
       scheduled-r13
                                      SL-DiscTxConfigScheduled-r13,
       ue-Selected-r13
                                      SL-DiscTxPoolDedicated-r13
}
SL-DiscTxPoolToAddModList-r12 ::=
                                    SEQUENCE (SIZE (1..maxSL-TxPool-r12)) OF SL-
DiscTxPoolToAddMod-r12
SL-DiscTxPoolToAddMod-r12 ::=
                                  SEOUENCE
   poolIdentity-r12
                                      SL-TxPoolIdentity-r12,
   pool-r12
                                      SL-DiscResourcePool-r12
}
```

```
}
-- Need ON
   poolToAddModList-r13
                           SL-DiscTxPoolToAddModList-r12 OPTIONAL -- Need ON
SL-TF-IndexPairList-r12 ::= SEQUENCE (SIZE (1..maxSL-TF-IndexPair-r12)) OF SL-TF-IndexPair-r12
SL-TF-IndexPair-r12 ::=
                    SEQUENCE
                              INTEGER (1.. 200)
                                                OPTIONAL, -- Need ON
  discSF-Index-r12
   discPRB-Index-r12
                              INTEGER (1.. 50)
                                                OPTIONAL
                                                         -- Need ON
SL-TF-IndexPairList-r12b ::=
                          SEQUENCE (SIZE (1..maxSL-TF-IndexPair-r12)) OF SL-TF-IndexPair-
SL-TF-IndexPair-r12b ::=
                       SEQUENCE
   discSF-Index-r12b
                              INTEGER (0..209) OPTIONAL,
                                                          -- Need ON
   discPRB-Index-r12b
                                                OPTIONAL
                              INTEGER (0..49)
                                                         -- Need ON
SL-DiscTxRefCarrierDedicated-r13 ::=
   pCell
                              NULL.
                              SCellIndex-r10
   sCell
-- ASN1STOP
```

### SL-DiscConfig field descriptions

### discCellSelectionInfo

Parameters that may be used by the UE to select/ reselect a cell on the concerned non serving frequency. If absent, the UE acquires the information from the target cell on the concerned frequency. See TS 36.304 [4], clause 11.4.

### discSysInfoToReportConfig

Indicates the request to start a *SidelinkUEInformation* procedure for reporting system information acquired during an inter-frequency discovery procedure.

### discTF-IndexList

Indicates a list of time-frequency resource indices pair where each pair of indices corresponds to one discovery message. E-UTRAN only configures discTF-IndexList-r12b when configuring the UE with scheduled SL discovery Tx resources. When receiving discTF-IndexList-r12b, the UE shall only consider this field (and hence ignore discTF-IndexList-r12, if included or previously configured).

### discTxConfig

Indicates the resources configuration used when E-UTRAN schedules Tx resources (i.e. the fields *discSF-Index* and *discPRB-Index* indicate the actual resources to be used).

#### discTxInterFreaInfo

Indicates frequency applicable for the resources indicated by *discTxResources-r12* (i.e. original resource field may cover first inter-frequency), and possibly resource allocations on additional frequencies as may be indicated by field *discTxInfoInterFreqListAdd*.

## discTxRefCarrierDedicated

Indicates if the PCell or an SCell is to be used as reference for DL measurements and synchronization, instead of the DL frequency paired with the one used to transmit sidelink discovery announcements on, see TS 36.213 [23], clause 14.3.1.

### discTxResources

Indicates the resources assigned to the UE for discovery announcements, which can either be a pool from which the UE may select or a set of resources specifically assigned for use by the UE.

### discTxResourcesPS

Indicates the resources assigned to the UE for PS discovery announcements, which can either be a pool from which the UE may select or a set of resources specifically assigned for use by the UE.

## SL-TF-IndexPair

A pair of indices, one for the time domain and one for the frequency domain, indicating the start of resources within the pool covered by *discTxConfig*, see TS 36.211 [21], clause 9.5.6 for one discovery message. The upper limits of *discSF-Index* and *discPRB-Index* are defined in TS 36.213 [23], clause 14.3.1.

### SL-DiscResourcePool

The IE *SL-DiscResourcePool* specifies the configuration information for an individual pool of resources for sidelink discovery.

### SL-DiscResourcePool information element

```
-- ASN1START
SL-DiscTxPoolList-r12 ::=
                              SEQUENCE (SIZE (1..maxSL-TxPool-r12)) OF SL-DiscResourcePool-r12
SL-DiscRxPoolList-r12 ::=
                              SEQUENCE (SIZE (1..maxSL-RxPool-r12)) OF SL-DiscResourcePool-r12
SL-DiscResourcePool-r12 ::=
                              SEQUENCE
                                           {
                                 SL-CP-Len-r12,
   cp-Len-r12
                              ENUMERATED {rf32, rf64, rf128,
   discPeriod-r12
                                          rf256, rf512, rf1024, rf16-v1310, spare},
   numRetx-r12 INTEGER (U...),
numRepetition-r12 INTEGER (1..50),
tf-ResourceConfig-r12 SL-TF-ResourceConfig-r12,
SEQUENCE {
       rrameters-r12 SEQUENCE {
txParametersGeneral-r12 SL-TxParameters-r12,
       CHOICE {
           poolSelection-r12
               rsrpBased-r12
                                              SL-PoolSelectionConfig-r12,
               random-r12
           txProbability-r12
                                    ENUMERATED {p25, p50, p75, p100}
       }
                                                                  OPTIONAL
                                                                              -- Need OR
                                                                  OPTIONAL,
                                                                              -- Cond Tx
       tdd-Config-r12
   rxParameters-r12
                                  SEQUENCE {
                                      TDD-Config
                                                                  OPTIONAL.
                                                                              -- Need OR
                                 INTEGER (0..15)
       syncConfigIndex-r12
                                                                  OPTIONAL,
                                                                              -- Need OR
    [[ discPeriod-v1310
                                       CHOICE {
                                              NULL,
           release
                                              ENUMERATED {rf4, rf6, rf7, rf8,
           setup
                                                 rf12, rf14, rf24, rf28}
                                                                  OPTIONAL,
       rxParamsAddNeighFreq-r13
                                      CHOICE {
           release
                                          NIII.I.
                                           SEQUENCE {
           setup
               physCellId-r13
                                              PhysCellIdList-r13
                                                                  OPTIONAL, -- Need ON
        txParamsAddNeighFreq-r13
                                    CHOICE {
           release
                                          NULL,
                                          SEQUENCE {
           setup
               physCellId-r13
                                              PhysCellIdList-r13,
               p-Max
                                              P-Max
                                                                    OPTIONAL,
                                                                                 -- Need OP
               tdd-Config-r13
                                              TDD-Config
                                                                     OPTIONAL, -- Cond TDD-OR
                                              TDD-Config-v1130
               tdd-Config-v1130
                                                                     OPTIONAL,
                                                                                 -- Cond TDD-OR
                                                SEQUENCE {
               freqInfo
                                                      ARFCN-ValueEUTRA OPTIONAL,
                   ul-CarrierFreq
                                                                                    -- Need OP
                   ul-Bandwidth
                                                      ENUMERATED {n6, n15, n25, n50, n75, n100}
                                                                         OPTIONAL, -- Need OP
               additionalSpectrumEmission
                                                 AdditionalSpectrumEmission
                                                  INTEGER (-60..50),
               referenceSignalPower
               syncConfigIndex-r13
                                              INTEGER (0..15)
                                                                     OPTIONAL -- Need OR
           }
       }
                                                                  OPTIONAL -- Need ON
   1],
    [[ txParamsAddNeighFreq-v1370
                                       CHOICE {
           release
                                          NULL,
                                          SEQUENCE {
           setup
                                              SEQUENCE {
               freqInfo-v1370
                   additionalSpectrumEmission-v1370
                                                          AdditionalSpectrumEmission-v1010
           }
                                                                  OPTIONAL -- Need ON
   11
PhysCellIdList-r13 ::=
                         SEQUENCE (SIZE (1.. maxSL-DiscCells-r13)) OF PhysCellId
```

```
SL-PoolSelectionConfig-r12 ::= SEQUENCE {
   threshLow-r12 RSRP-RangeSL2-r12,
   threshHigh-r12 RSRP-RangeSL2-r12
}
```

#### SL-DiscResourcePool field descriptions

#### discPeriod

Indicates the period over which resources are allocated in a cell for discovery message transmission/reception, see PSDCH period in TS 36.213 [23]. Value in number of radio frames. Value rf32 corresponds to 32 radio frames, rf64 corresponds to 64 radio frames and so on. The extended values apply for PS discovery (not only for sidelink relaying). When broadcasting an extended value, E-UTRAN sets the original field to spare to ensure legacy UEs ignore the concerned pool entry.

#### numRepetition

Indicates the number of times *subframeBitmap* is repeated for mapping to subframes that occurs within a *discPeriod*. The highest value E-UTRAN uses is value 5 for FDD and TDD configuration 0, value 13 for TDD configuration 1, value 25 for TDD configuration 2, value 17 for TDD configuration 3, value 25 for TDD configuration 4, value 50 for TDD configuration 5 and value 7 for TDD configuration 6. E-UTRAN configures *numRepetition* and *subframeBitmap* such that the mapped subframes do not exceed the *discPeriod*.

### poolSelection

Indicates the mechanism for selecting a (transmission) pool when multiple candidates are provided. E-UTRAN configures the same value (i.e. a pool selection method) for all candidate pools within one pool list (discTxPoolCommon or discTxPoolDedicated) but the pool selection method in different pool lists may or may not be the same.

### syncConfigIndex

Indicates the synchronisation configuration that is associated with a reception or transmission pool, by means of an index to the corresponding entry of *discSyncConfig* in *SystemInformationBlockType19*.

#### threshLow, threshHigh

Specifies the thresholds used to select a resource pool in RSRP based pool selection. The E-UTRAN should configure *threshLow* and *threshHigh* such that the UE selects only one resource pool upon RSRP based pool selection.

#### txProbability

Indicates the probability of transmitting announcement in a discovery period when configured with a pool of resources, see TS 36.321 [6].

Conditional presence	Explanation
TDD-OR	The field is optional present for TDD, need OR; it is not present for FDD.
Tx	The field is mandatory present when included in discTxPoolDedicated or
	discTxPoolCommon. Otherwise the field is not present.

### SL-DiscSysInfoReport

The IE *SL-DiscSysInfoReport* contains the parameters related to sidelink discovery acquired from system information of inter-frequency cells (including inter-PLMN).

### SL-DiscSysInfoReport information element

```
-- ASN1START
SL-DiscSysInfoReport-r13 ::=
                                  SEQUENCE {
    plmn-IdentityList-r13 PLMN-IdentityList
                                                                          OPTIONAL,
                                      Cellidentity OPTIONAL,
ARFCN-ValueEUTRA-r9 OPTIONAL,
SL-DiscRxPoolList-r12 OPTIONAL,
SL-DiscTxPoolList-r12 OPTIONAL,
    cellIdentity-13
                                        CellIdentity
    carrierFreqInfo-13
    discRxResources-r13
    discTxPoolCommon-r13
discTxPowerInfo-r13
discSyncConfig-r13
discCellSelectionInfo-r13
                                         SL-DiscTxPowerInfoList-r12 OPTIONAL,
                                        SL-SyncConfigNFreq-r13
                                                                         OPTIONAL,
                                       SEQUENCE {
                                              Q-RxLevMin,
         q-RxLevMin-r13
                                             INTEGER (1..8)
         q-RxLevMinOffset-r13
                                                                         OPTIONAL
                                                                          OPTIONAL.
                                        SEQUENCE {
    cellReselectionInfo-r13
        q-Hyst-r13
                                             ENUMERATED {
                                                       dB0, dB1, dB2, dB3, dB4, dB5, dB6, dB8, dB10,
                                                       dB12, dB14, dB16, dB18, dB20, dB22, dB24},
        g-RxLevMin-r13
                                              O-RxLevMin,
```

```
t-ReselectionEUTRA-r13
                                     T-Reselection
                                                            OPTIONAL,
   tdd-Config-r13
                               TDD-Config
                                                            OPTIONAL,
   freqInfo-r13
                                SEQUENCE {
       ul-CarrierFreq-r13
                                     ARFCN-ValueEUTRA
                                                                   OPTIONAL
       ul-Bandwidth-r13
                                    ENUMERATED {n6, n15, n25, n50, n75, n100}
       additionalSpectrumEmission-r13 AdditionalSpectrumEmission OPTIONAL
                                                               OPTIONAL,
                                 P-Max OPTIONAL,
                                INTEGER (-60..50)
   referenceSignalPower-r13
                                                   OPTIONAL,
   freqInfo-v1370
                                SEQUENCE {
       additionalSpectrumEmission-v1370 AdditionalSpectrumEmission-v1010
                                                               OPTIONAL
   11
-- ASN1STOP
```

### SL-DiscSysInfoReport field descriptions

## carrierFreqInfo

Indicates the frequency of the cell from which the UE acquired the system information relevant for discovery

### cellidentity

Indicated the identity of the cell from which the UE acquired the system information relevant for discovery

### plmn-IdentityList

Indicates the list of PLMN identity of the cell from which the UE acquired the system information relevant for discovery

### SL-DiscTxPowerInfo

The IE SL-DiscTxPowerInfo specifies power control parameters for one or more power classes.

### SL-DiscTxPowerInfo information element

### SL-DiscTxPowerInfo field descriptions

### discMaxTxPower

Indicates the P-Max parameter used to calculate the maximum transmit power a UE configured with the concerned range class, see TS 24.333 [70], clause 4.2.11. The first entry in *SL-DiscTxPowerInfoList* corresponds to UE range class 'short', the second entry corresponds to 'medium' and the third entry corresponds to 'long'.

### SL-GapConfig

The IE *SL-GapConfig* indicates the gaps, requested or assigned, to enable the UE to receive or transmit sidelink discovery, intra or inter frequency (includings inter-PLMN).

## SL-GapConfig information element

```
-- ASN1START

SL-GapConfig-r13 ::= SEQUENCE {
    gapPatternList-r13 SL-GapPatternList-r13 }

SL-GapPatternList-r13 ::= SEQUENCE (SIZE (1..maxSL-GP-r13)) OF SL-GapPattern-r13
```

### SL-GapConfig field descriptions

#### gapOffset

Indicates the offset from the start of SFN 0 to the start of the first *gapPeriod*. If the SFN period is not an integer multiple of *gapPeriod*, no subframes within this period (i.e. from SFN 0 to offset) are considered part of the gap.

#### gapPeriod

Indicates the period by which gapSubframeBitmap is repeated.

### gapSubframeBitmap

Indicates the subframes of one or more individual gaps, not only covering the subframes of the associated discovery resources but also including e.g. re-tuning and synchronisation delays. The UE and E-UTRAN signal bit strings of valid sizes only i.e. sizes equal to or less than *gapPeriod*. Value 1 indicates that the UE is allowed to use the subframe for sidelink discovery.

## SL-GapRequest

The IE *SL-GapRequest* indicates the gaps requested by the UE to receive or transmit sidelink discovery, intra or inter frequency (includings inter-PLMN).

### SL-GapRequest information element

```
-- ASN1START

SL-GapRequest-r13 ::= SEQUENCE (SIZE (1..maxFreq)) OF SL-GapFreqInfo-r13

SL-GapFreqInfo-r13 ::= SEQUENCE {
    carrierFreq-r13 ARFCN-ValueEUTRA-r9 OPTIONAL,
        gapPatternList-r13 SL-GapPatternList-r13
}

-- ASN1STOP
```

## SL-HoppingConfig

The IE *SL-HoppingConfig* indicates the hopping configuration used for sidelink.

### SL-HoppingConfig information element

```
-- ASN1START
SL-HoppingConfigComm-r12 ::=
                                       SEQUENCE
    hoppingParameter-r12
                                           INTEGER (0..504),
    numSubbands-r12
                                           ENUMERATED {ns1, ns2, ns4},
    rb-Offset-r12
                                           INTEGER (0..110)
}
SL-HoppingConfigDisc-r12 ::=
                                  SEQUENCE
   a-r12
                                               INTEGER (1..200),
                                               INTEGER (1..10),
ENUMERATED {n1, n5}
    b-r12
    c-r12
-- ASN1STOP
```

```
 \begin{array}{c} \textit{SL-HoppingConfig} \ \text{field descriptions} \\ \textit{a} \\ \\ \textit{Per cell parameter:} \ N_{PSDCH}^{(1)} \ \text{see TS 36.213 [23], clause 14.3.1.} \\ \textit{b} \\ \\ \textit{Per UE parameter:} \ N_{PSDCH}^{(2)} \ \text{see TS 36.213 [23], clause 14.3.1.} \\ \textit{c} \\ \\ \textit{Per cell parameter:} \ N_{PSDCH}^{(3)} \ \text{see TS 36.213 [23], clause 14.3.1.} \\ \textit{hoppingParameter} \\ \textit{Affects the hopping performed as specificed in TS 36.213 [23], clauses 14.1.1.2 and 14.1.1.4. In case value 504 is received, the value used by the UE is 510. \\ \textit{numSubbands} \\ \textit{Parameter:} \ N_{\text{sb}} \ \text{see TS 36.211 [21], clause 9.3.6.} \\ \textit{rb-Offset} \\ \\ \textit{Parameter:} \ N_{\text{RB}}^{\text{HO}} \ \text{, see TS 36.211 [21], clause 9.3.6.} \\ \end{array}
```

## SL-InterFreqInfoListV2X

The IE *SL-InterFreqInfoListV2X* indicates synchronization and resource allocation configurations of the neighboring frequency for V2X sidelink communication.

### SL-InterFreqInfoListV2X information element

```
-- ASN1START
SL-InterFreqInfoListV2X-r14 ::= SEQUENCE (SIZE (0..maxFreqV2X-1-r14)) OF SL-InterFreqInfoV2X-r14
SL-InterFreqInfoV2X-r14 ::=
                               SEQUENCE {
    plmn-IdentityList-r14
                                       PLMN-IdentityList
                                                                   OPTIONAL.
                                                                                   -- Need OP
                                   ARFCN-ValueEUTRA-r9,
    v2x-CommCarrierFreq-r14
    sl-MaxTxPower-r14
                                       P-Max
                                                           OPTIONAL,
                                                                           -- Need OR
    sl-Bandwidth-r14
                                       ENUMERATED {n6, n15, n25, n50, n75, n100} OPTIONAL,
Need OR
    v2x-SchedulingPool-r14
                                       SL-CommResourcePoolV2X-r14
                                                                                         -- Need
OR
    v2x-UE-ConfigList-r14
                               SL-V2X-UE-ConfigList-r14
                                                           OPTIONAL, -- Need OR
    [[ additionalSpectrumEmissionV2X-r14
                                               CHOICE {
           additionalSpectrumEmission-r14
                                                  AdditionalSpectrumEmission,
           additionalSpectrumEmission-v1440
                                                   AdditionalSpectrumEmission-v1010
                       OPTIONAL
                                       -- Need ON
       v2x-FreqSelectionConfigList-r15 \\ SL-V2X-FreqSelectionConfigList-r15 \\ OPTIONAL
                                                                                       --Need OR
-- ASN1STOP
```

### SL-InterFreqInfoListV2X field descriptions

### plmn-IdentityList

Indicates PLMN identities of this frequency for reception of V2X sidelink communication. If this field is not present, the UE considers this frequency for reception of V2X sidelink communication concerns the first PLMN entry in the *plmn-ldentityList* in *SystemInformationBlockType1*.

#### sl-MaxTxPower

Indicates the maximum transmission power for transmitting V2X sidelink communication on the corresponding frequency.

#### additionalSpectrumEmissionV2X

Indicates the additional Spectrum Emission value defined in TS 36.101 [42], clause 6.2.4, for V2X sidelink communication.

### v2x-FreqSelectionConfigList

Indicates the configuration information for the carrier selection for V2X sidelink communication transmission. The configuration applies to the carrier frequency identified by *v2x-CommCarrierFreq* (i.e. carrier specific configuration).

#### v2x-SchedulingPool

Indicates the resource pool for inter-carrier scheduled resource allocation. This field is configured in RRC dedicated signalling only when *scheduled* is configured in IE *SL-V2X-ConfigDedicated*.

#### v2x-UE-ConfigList

Indicates the inter-carrier resource configuration. If there is only one entry in the list without *physCellId* configured, the configuration is applied to the frequency identified by *v2x-CommCarrierFreq* (i.e. carrier specific configuration); if the entry of this field includes *physCellIdList*, the configuration is applied to the cell(s) identified by *physCellIdList* (i.e. cell specific configuration).

## SL-NR-AnchorCarrierFregList

The IE SL-NR-AnchorCarrierFreqList specifies the NR anchor frequencies i.e. frequencies that include inter-carrier resource configuration for V2X sidelink communication.

### SL-NR-AnchorCarrierFreqList information element

```
-- ASN1START

SL-NR-AnchorCarrierFreqList-r16 ::= SEQUENCE (SIZE (1..maxFreqSL-NR-r16)) OF ARFCN-ValueNR-r15

-- ASN1STOP
```

## SL-V2X-UE-ConfigList

The IE SL-V2X-UE-ConfigList indicates inter-frequency resource configuration per-carrier or per-cell.

## SL-V2X-UE-ConfigList information element

```
-- ASN1START
SL-V2X-UE-ConfigList-r14 ::=
                               SEQUENCE (SIZE (1.. maxCellIntra)) OF SL-V2X-InterFreqUE-Config-r14
                                       SEQUENCE {
SL-V2X-InterFreqUE-Config-r14 ::=
   physCellIdList-r14
                                       PhysCellIdList-r13
                                                                           OPTIONAL,
                                                                                       -- Need OR
                                                                           OPTIONAL, -- Need OR
    typeTxSync-r14
                                       SL-TypeTxSync-r14
    v2x-SyncConfig-r14
                                       SL-SyncConfigListNFreqV2X-r14
    v2x-CommRxPool-r14
                                       SL-CommRxPoolListV2X-r14
                                                                               OPTIONAL,
OR
    v2x-CommTxPoolNormal-r14
                                            SL-CommTxPoolListV2X-r14
                                                                                   OPTIONAL,
Need OR
   p2x-CommTxPoolNormal-r14
                                            SL-CommTxPoolListV2X-r14
                                                                                   OPTIONAL,
Need OR
                                                                           OPTIONAL,
    v2x-CommTxPoolExceptional-r14
                                       SL-CommResourcePoolV2X-r14
                                                                                        -- Need OR
                                                                           OPTIONAL,
                                                                                       -- Need OR
    v2x-ResourceSelectionConfig-r14
                                       SL-CommTxPoolSensingConfig-r14
                                                                            OPTIONAL,
                                                                                       -- Need OR
    zoneConfig-r14
                                       SL-ZoneConfig-r14
    offsetDFN-r14
                                       INTEGER (0..1000)
                                                                            OPTIONAL,
                                                                                        -- Need OR
-- ASN1STOP
```

### SL-V2X-UE-ConfigList field descriptions

#### offsetDFN

Indicates the timing offset for the UE to determine DFN timing when GNSS is used for timing reference. Value 0 corresponds to 0 milliseconds, value 1 corresponds to 0.001 milliseconds, value 2 corresponds to 0.002 milliseconds, and so on.

#### p2x-CommTxPoolNormal

Indicates the resources on a carrier frequency by which the UE may transmit P2X related V2X sidelink communication.

#### physCellIdList

If configured, the resource configuration is applicable for the cell(s) identified by this field. Otherwise, the resource configuration is for a given carrier frequency.

### typeTxSync

Indicates the prioritized synchronization type (i.e. eNB or GNSS) for performing V2X sidelink communication on a carrier frequency.

### v2x-CommRxPool

Indicates the resources on a carrier frequency by which the UE may receive V2X sidelink communication. This field is absent within *v2x-InterFreqInfoList* included in *RRCConnectionReconfiguration* except if received with *MobilityControlInfo* or *MobilityControlInfoV2X*.

### v2x-CommTxPoolExceptional

Indicates the resources on a carrier frequency by which the UE may transmit V2X sidelink communication in exceptional conditions, as specified in 5.10.13.

#### v2x-CommTxPoolNormal

Indicates the resources on a carrier frequency by which the UE may transmit V2X sidelink communication.

### v2x-SyncConfig

Indicates the synchronization configuration used for transmission/reception of SLSS on the given frequency.

#### SI -OffsetIndicator

The IE *SL-OffsetIndicator* indicates the offset of the pool of resources relative to SFN 0 of the cell from which it was obtained or, when out of coverage, relative to DFN 0.

### SL-OffsetIndicator information element

### SL-OffsetIndicator field descriptions

#### SL-OffsetIndicator

In sc-TF-ResourceConfig, it indicates the offset of the first period of pool of resources within a SFN cycle. For data-TF-ResourceConfig, it corresponds to the offsetIndicator as defined in TS 36.213 [23], clause 14.1.3.

### SL-OffsetIndicatorSync

For sidelink discovery and sidelink communication, synchronisation resources are present in those SFN and subframes which satisfy the relation: (SFN\*10+ Subframe Number) mod 40 = SL-OffsetIndicatorSync. For V2X sidelink communication, synchronisation resources are present in those SFN and subframes which satisfy the relation: (SFN\*10+ Subframe Number) mod 160 = SL-OffsetIndicatorSync.

## SL-P2X-ResourceSelectionConfig

The IE *SL-P2X-ResourceSelectionConfig* includes the configuration of resource selection for P2X related V2X sidelink communication. E-UTRAN configures at least one resource selection mechanism.

### SL-P2X-ResourceSelectionConfig information element

```
-- ASN1START

SL-P2X-ResourceSelectionConfig-r14 ::= SEQUENCE {
   partialSensing-r14 ENUMERATED {true} OPTIONAL, -- Need OR
   randomSelection-r14 ENUMERATED {true} OPTIONAL -- Need OR
}

-- ASN1STOP
```

### SL-P2X-ResourceSelectionConfig field descriptions

### partialSensing

Indicates that partial sensing is allowed for UE autonomous resource selection in a resource pool.

#### randomSelection

Indicates that random selection is allowed for UE autonomous resource selection in a resource pool.

## SL-PeriodComm

The IE SL-PeriodComm indicates the period over which resources allocated in a cell for sidelink communication.

#### SL-PeriodComm information element

```
-- ASN1START

SL-PeriodComm-r12 ::= ENUMERATED {sf40, sf60, sf70, sf80, sf120, sf140, sf160, sf240, sf280, sf320, spare6, spare5, spare4, spare3, spare2, spare}

-- ASN1STOP
```

### – SL-Priority

The IE *SL-Priority* indicates the one or more priorities of resource pool used for sidelink communication, or of a logical channel group used in case of scheduled sidelink communication resources, see TS 36.321 [6].

### **SL-Priority** information element

```
-- ASN1START

SL-PriorityList-r13 ::= SEQUENCE (SIZE (1..maxSL-Prio-r13)) OF SL-Priority-r13

SL-Priority-r13 ::= INTEGER (1..8)

-- ASN1STOP
```

### SL-PSSCH-TxConfigList

The IE *SL-PSSCH-TxConfigList* indicates PSSCH transmission parameters. When lower layers select parameters from the range indicated in IE *SL-PSSCH-TxConfigList*, the UE considers both configurations in IE *SL-PSSCH-TxConfigList* and the CBR-dependent configurations represented in IE *SL-CBR-PPPP-TxConfigList*. Only one IE *SL-PSSCH-TxConfig* is provided per *typeTxSync*.

### SL-PSSCH-TxConfigList information element

```
-- ASN1START

SL-PSSCH-TxConfigList-r14 ::= SEQUENCE (SIZE (1..maxPSSCH-TxConfig-r14)) OF SL-PSSCH-TxConfig-r14
```

```
SL-PSSCH-TxConfig-r14 ::=
                              SEQUENCE {
   typeTxSync-r14
                              SL-TypeTxSync-r14
                                                     OPTIONAL,
                                                                 -- Need OR
                             ENUMERATED {kmph60, kmph80, kmph100, kmph120,
   thresUE-Speed-r14
                             kmph140, kmph160, kmph180, kmph200},
   parametersAboveThres-r14
                              SL-PSSCH-TxParameters-r14,
   parametersBelowThres-r14 SL-PSSCH-TxParameters-r14,
                                                                OPTIONAL,
       parametersAboveThres-v1530 SL-PSSCH-TxParameters-v1530
                                                                            -- Need OR
       parametersBelowThres-v1530 SL-PSSCH-TxParameters-v1530
                                                                OPTIONAL
                                                                            -- Need OR
}
SL-PSSCH-TxParameters-r14 ::=
                                SEOUENCE {
   minMCS-PSSCH-r14 INTEGER (0..31),
   maxMCS-PSSCH-r14
                              INTEGER (0..31),
   minSubChannel-NumberPSSCH-r14 INTEGER (1..20),
   maxSubchannel-NumberPSSCH-r14
                                     INTEGER (1..20),
   allowedRetxNumberPSSCH-r14 ENUMERATED {n0, n1, both, spare1},
   maxTxPower-r14
                             SL-TxPower-r14
                                                                            -- Cond CBR
SL-PSSCH-TxParameters-v1530 ::=
                                  SEQUENCE {
   minMCS-PSSCH-r15 INTEGER (0..31),
   maxMCS-PSSCH-r15
                              INTEGER (0..31)
-- ASN1STOP
```

### SL-PSSCH-TxConfigList field descriptions

#### allowedRetxNumberPSSCH

Indicates the allowed retransmission number for transmissions on PSSCH (see TS 36.213 [23]). The value n0 indicates no retransmission for a transport block allowed; the value n1 indicates that the UE shall perform one retransmission for a transport block; and the value both indicates that the UE may autonomously select no retransmission or one retransmission for a transport block.

#### maxTxPower

Indicates the maximum transmission power for transmission on PSSCH and PSCCH (see TS 36.213 [23]).

### minMCS-PSSCH, maxMCS-PSSCH

Indicates the minimum and maximum MCS values used for transmissions on PSSCH (see TS 36.213 [23]). If included, *minMCS-PSSCH-r14* and *maxMCS-PSSCH-r14* correspond to the MCS table in Table 8.6.1-1 with 64QAM indices overridden by 16QAM used for transmission on PSSCH. If included, *minMCS-PSSCH-r15* and *maxMCS-PSSCH-r15* correspond to both the MCS table in Table 8.6.1-1 and Table 14.1.1-2 in TS 36.213 [23] used for transmission on PSSCH.

## minSubchannel-NumberPSSCH, maxSubchannel-NumberPSSCH

Indicates the minimum and maximum number of sub-channels which may be used for transmissions on PSSCH (see TS 36.213 [23]).

### thresUE-Speed

Indicates a UE speed threshold.

#### typeTxSync

Indicates the synchronization reference type (see TS 36.213 [23]). For configurations by the eNB, only *gnss* and *enb* can be configured; and for pre-configuration, only *gnss* and *ue* can be configured. If the field is absent, the configuration is applicable for all synchronization reference types.

### parametersAboveThres

Indicates TX parameters for the UE speed above thresUE-Speed.

### parametersBelowThres

Indicates TX parameters for the UE speed below thresUE-Speed.

Conditional presence	Explanation
CBR	The field is optionally present, need OR, in IE SL-CBR-CommonTxConfigList-r14, or in IE
	SL-CBR-PreconfiaTxConfiaList-r14. Otherwise the field is not present. Need OR.

### SL-Reliability

The IE *SL-Reliability* indicates one or more reliabilities of a logical channel group used in case of scheduled sidelink communication resources or traffic reliability(ies) associated with the reported traffic pattern for V2X sidelink communication; see TS 36.321 [6].

### SL-Reliability information element

```
-- ASN1START

SL-ReliabilityList-r15 ::= SEQUENCE (SIZE (1..maxSL-Reliability-r15)) OF SL-Reliability-r15

SL-Reliability-r15 ::= INTEGER (1..8)

-- ASN1STOP
```

### SL-RestrictResourceReservationPeriodList

The IE *SL-RestrictResourceReservationPeriodList* indicates which values are allowed for the signaling of the resource reservation period in PSCCH for V2X sidelink communication, see TS 36.321 [6].

### SL-RestrictResourceReservationPeriodList information element

```
-- ASN1START

SL-RestrictResourceReservationPeriodList-r14 ::= SEQUENCE (SIZE (1..maxReservationPeriod-r14)) OF SL-RestrictResourceReservationPeriod-r14

SL-RestrictResourceReservationPeriod-r14 ::= ENUMERATED {v0dot2, v0dot5, v1, v2, v3, v4, v5, v6, v7, v8, v9, v10, spare4, spare3, spare2, spare1}

-- ASN1STOP
```

### SL-RestrictResourceReservationPeriodList field descriptions

### SL-RestrictResourceReservationPeriod

Value v0dot2 means SL-RestrictResourceReservationPeriod is set to 0.2, value v0dot5 means SL-RestrictResourceReservationPeriod is set to 0.5, value v1 means SL-RestrictResourceReservationPeriod is set to 1, and so on. Value v0dot2 and value v0dot5 are configured in a pool-specific manner only. E-UTRAN should not set value v0dot2 and v0dot5 for transmission pool for P2X related V2X sidelink communication.

### SLSSID

The IE *SLSSID* identifies a cell and is used by the receiving UE to detect asynchronous neighbouring cells, and by transmitting UEs to extend the synchronisation signals beyond the cell's coverage area.

### SLSSID information element

```
-- ASN1START

SLSSID-r12 ::= INTEGER (0..167)

-- ASN1STOP
```

### SL-SyncAllowed

The IE SL-SyncAllowed indicates the allowed the synchronization references for a transmission resource pool for V2X sidelink communication.

### SL-SyncAllowed information element

```
-- ASN1START
                             SEQUENCE {
SL-SyncAllowed-r14 ::=
                                                                          OPTIONAL,
    gnss-Sync-r14
                                         ENUMERATED {true}
                                                                                       -- Need OR
    enb-Sync-r14
                                         ENUMERATED {true}
                                                                          OPTIONAL,
                                                                                       -- Need OR
    ue-Sync-r14
                                         ENUMERATED {true}
                                                                           OPTIONAL
                                                                                        -- Need OR
-- ASN1STOP
```

## SL-SyncAllowed field descriptions

### enb-Sync

If configured, the (pre-) configured resources can be used if the UE is directly or indirectly synchronized to eNB (i.e., synchronized to a reference UE which is directly synchronized to eNB).

#### gnss-Sync

If configured, the (pre-) configured resources can be used if the UE is directly or indirectly synchronized to GNSS (i.e. synchronized to a reference UE which is directly synchronized to GNSS).

#### ue-Svnc

If configured, the (pre-) configured resources can be used if the UE is synchronized to a reference UE which is synchronized to neither GNSS nor eNB directly or indirectly.

## SL-SyncConfig

The IE *SL-SyncConfig* specifies the configuration information concerning reception of synchronisation signals from neighbouring cells as well as concerning the transmission of synchronisation signals for sidelink communication and sidelink discovery.

### SL-SyncConfig information element

```
-- ASN1START
                                SEQUENCE (SIZE (1..maxSL-SyncConfig-r12)) OF SL-SyncConfig-r12
SL-SyncConfigList-r12 ::=
SL-SyncConfigListV2X-r14 ::=
                              SEQUENCE (SIZE (1.. maxSL-V2X-SyncConfig-r14)) OF SL-SyncConfig-r12
SL-SyncConfig-r12 ::=
                                         SEOUENCE {
    syncCP-Len-r12
                                            SL-CP-Len-r12,
    syncOffsetIndicator-r12
                                         SL-OffsetIndicatorSync-r12,
    slssid-r12
                                            SLSSID-r12,
                                                 SEQUENCE {
    txParameters-r12
        syncTxParameters-r12
                                                 SL-TxParameters-r12,
        syncTxThreshIC-r12
                                                 RSRP-RangeSL-r12,
        syncInfoReserved-r12
                                                 BIT STRING (SIZE (19)) OPTIONAL
                                                                                      -- Need OR
                                                                                      -- Need OR
                                                                         OPTIONAL.
    rxParamsNCell-r12
                                             SEQUENCE {
        physCellId-r12
                                                 PhysCellId,
                              ENUMERATED {w1, w2}
        discSyncWindow-r12
                                                                         OPTIONAL,
                                                                                      -- Need OR
    [[ syncTxPeriodic-r13
                                             ENUMERATED {true}
                                                                         OPTIONAL
                                                                                      -- Need OR
    \hbox{ [[ syncOffsetIndicator-v1430 } \\ \hbox{ SL-OffsetIndicatorSync-v1430 } \\ \hbox{ OPTIONAL,}
                                                                                      -- Need OR
                                        ENUMERATED {true}
                                                                         OPTIONAL
        gnss-Sync-r14
                                                                                      -- Need OR
    [[ syncOffsetIndicator2-r14 SL-OffsetIndicatorSync-r14 OPTIONAL, -- Need OR syncOffsetIndicator3-r14 SL-OffsetIndicatorSync-r14 OPTIONAL -- Need OR
                                        ENUMERATED {true}
       slss-TxDisabled-r15
                                                                         OPTIONAL
                                                                                      -- Need OR
    [ [
}
SL-SyncConfigListNFreq-r13 ::= SEQUENCE (SIZE (1..maxSL-SyncConfig-r12)) OF SL-SyncConfiqNFreq-
r13
SL-SyncConfigListNFreqV2X-r14 ::=
                                         SEQUENCE (SIZE (1..maxSL-V2X-SyncConfig-r14)) OF SL-
SyncConfigNFreq-r13
SL-SyncConfigNFreq-r13 ::= asyncParameters-r13
                                    SEQUENCE {
                                        SEQUENCE {
                                            SL-CP-Len-r12,
       syncCP-Len-r13
                                             SL-OffsetIndicatorSync-r12,
        syncOffsetIndicator-r13
        slssid-r13
                                             SLSSID-r12
                                                                     OPTIONAL,
    txParameters-r13
                                         SEQUENCE {
        syncTxParameters-r13
                                            SL-TxParameters-r12,
        syncTxThreshIC-r13
                                             RSRP-RangeSL-r12,
        syncInfoReserved-r13
                                             BIT STRING (SIZE (19)) OPTIONAL,
                                                                                  -- Need OR
                                            ENUMERATED {true}
                                                                                  -- Need OR
        syncTxPeriodic-r13
                                                                     OPTIONAL
                                                                     OPTIONAL,
                                                                                -- Need OR
                                        SEQUENCE {
    rxParameters-r13
        discSyncWindow-r13
                                            ENUMERATED {w1, w2}
                                                                          OPTIONAL,
                                                                                      -- Need OR
```

### SL-SyncConfig field descriptions

### discSyncWindow

Indicates the synchronization window over which the UE expects that SLSS or discovery resources indicated by the pool configuration (see TS 36.213 [23], clause 14.4). The value w1 denotes 5 milliseconds. The value w2 denotes the length corresponding to normal cyclic prefix divided by 2.

#### gnss-Sync

if configured, the synchronization configuration is used for SLSS transmission/reception when the UE is synchronized to GNSS, by using slssid=0 and ignoring *slssid-r12* configured. If not configured, the synchronization configuration is used for SLSS transmission/reception when the UE is synchronized to eNB, by using the configured *slssid-r12*.

#### slss-TxDisabled

Value TRUE indicates that the carrier, even though equipped with synchronisation resources, cannot be used as a synchronisation carrier frequency to transmit SLSS or PSBCH.

This parameter cannot be included in SystemInformationBlockType21 or SystemInformationBlockType26.

#### svncCP-Len

In case of V2X sidelink communications this field is always configured to normal.

### syncInfoReserved

Reserved for future use.

#### syncOffsetIndicator

E-UTRAN should ensure *syncOffsetIndicator* is set to the same value as *syncOffsetIndicator1* or *syncOffsetIndicator2* in *preconfigSync* within *SL-Preconfiguration*, if configured. If *syncOffsetIndicator-v1430* is configured, the UE shall ignore the field *syncOffsetIndicator-r12*. E-UTRAN should ensure *syncOffsetIndicator* is set to the same value as *syncOffsetIndicator1* in *v2x-CommPreconfigSync* within *SL-V2X-Preconfiguration*, if configured. E-UTRAN should ensure *syncOffsetIndicator2* is set to the same value as *syncOffsetIndicator3* is set to the same value as *syncOffsetIndicator3* in *v2x-CommPreconfigSync* within *SL-V2X-Preconfiguration*, if configured. E-UTRAN should ensure all values in *syncOffsetIndicator* are same across all carrier frequencies configured for UEs performing V2X sidelink communication on multiple carrier frequencies. For *SL-V2X-Preconfiguration*, all values in *syncOffsetIndicator* should be same across all carrier frequencies configured for UEs performing V2X sidelink communication on multiple carrier frequencies.

#### syncTxPeriodic

Indicates whether in each discovery period in which UE transmits discovery, the UE transmits SLSS once or periodically (i.e. every 40ms). In the latter case (periodic) the UE also transmits the *MasterInformationBlock-SL* message alongside. E-UTRAN configures this field only for synchronisation configurations applicable for PS discovery.

### syncTxThreshIC

Indicates the threshold used while in coverage. In case the RSRP measurement of the cell chosen for transmission of sidelink communication/ discovery announcements/ V2X sidelink communication, or of the cell used as reference for DL measurements and synchronization, is below the level indicated by this field, the UE may transmit SLSS (i.e. become synchronisation reference) when performing the corresponding sidelink transmission..

#### txParameters

Includes parameters relevant only for transmission. E-UTRAN includes the field in one entry per list, as included in commSyncConfig or discSyncConfig.

## SL-TF-ResourceConfig

The IE SL-TF-ResourceConfig specifies a set of time/ frequency resources used for sidelink.

### SL-TF-ResourceConfig information element

```
-- ASN1START
SL-TF-ResourceConfig-r12 ::=
                                   SEOUENCE
   prb-Num-r12
                                      INTEGER (1..100),
                                        INTEGER (0..99),
    prb-Start-r12
   prb-End-r12
                                       INTEGER (0..99),
    offsetIndicator-r12
                                       SL-OffsetIndicator-r12,
    subframeBitmap-r12
                                       SubframeBitmapSL-r12
SubframeBitmapSL-r12 ::=
                              CHOICE {
   bs4-r12
                                           BIT STRING (SIZE (4)),
    bs8-r12
                                           BIT STRING (SIZE (8)),
    bs12-r12
                                           BIT STRING (SIZE (12)),
    bs16-r12
                                           BIT STRING (SIZE (16)),
                                           BIT STRING (SIZE (30)),
    bs30-r12
    bs40-r12
                                           BIT STRING (SIZE (40)),
    bs42-r12
                                           BIT STRING (SIZE (42))
```

```
SubframeBitmapSL-r14 ::=
                                CHOICE {
    bs10-r14
                                             BIT STRING (SIZE (10)),
    bs16-r14
                                             BIT STRING (SIZE (16)),
    bs20-r14
                                             BIT STRING (SIZE (20)),
    bs30-r14
                                             BIT STRING (SIZE (30)),
    bs40-r14
                                             BIT STRING (SIZE (40)),
    bs50-r14
                                             BIT STRING (SIZE (50)),
    bs60-r14
                                             BIT STRING (SIZE (60)),
    bs100-r14
                                             BIT STRING (SIZE (100))
-- ASN1STOP
```

### SL-TF-ResourceConfig field descriptions

#### prb-Start, prb-End, prb-Num

Sidelink transmissions on a sub-frame can occur on PRB with index greater than or equal to *prb-Start* and less than *prb-Start* + *prb-Num*, and on PRB with index greater than *prb-End* - *prb-Num* and less than or equal to *prb-End*. Even for neighbouring cells, *prb-Start* and *prb-End* are relative to PRB #0 of the cell from which it was obtained. See TS 36.213 [23], clauses 14.1.3, 14.2.3 and 14.3.3.

#### subframeBitmap

Indicates the subframe bitmap indicating resources used for sidelink. For sidelink communication, E-UTRAN configures value bs40 for FDD and the following values for TDD: value bs42 for configuration0, value bs16 for configuration1, value bs8 for configuration2, value bs12 for configuration3, value bs8 for configuration4, value bs4 for configuration5 and value bs30 for configuration6. For V2X sidelink communication, E-UTRAN configures value bs16, bs20 or bs100 for FDD or Frame Structure Type 1 as defined in TS 36.211 [21], and the following values for TDD or Frame Structure Type 2 as defined in TS 36.211 [21]: value bs60 for configuration0, value bs40 for configuration1, value bs20 for configuration2, value bs30 for configuration3, value bs20 for configuration4, value bs10 for configuration5 and value bs50 for configuration6.

### - SL-TxPower

The IE *SL-TxPower* is used to limit the UE's sidelink transmission power on a carrier frequency. The unit is dBm. Value minusinfinity corresponds to –infinity.

### SL-TxPower information element

## SL-TypeTxSync

The IE *SL-TypeTxSync* indicates the synchronization reference type.

### SL-TypeTxSync information element

```
-- ASN1START

SL-TypeTxSync-r14 ::= ENUMERATED {gnss, enb, ue}

-- ASN1STOP
```

### SL-ThresPSSCH-RSRP-List

IE *SL-ThresPSSCH-RSRP-List* indicates a threshold used for sensing based UE autonomous resource selection (see TS 36.213 [23]). A resource is excluded if it is indicated or reserved by a decoded SCI and PSSCH RSRP in the associated data resource is above the threshold defined by IE *SL-ThresPSSCH-RSRP-List*.

#### SL-ThresPSSCH-RSRP-List information element

```
-- ASN1START

SL-ThresPSSCH-RSRP-List-r14 ::= SEQUENCE (SIZE (64)) OF SL-ThresPSSCH-RSRP-r14

SL-ThresPSSCH-RSRP-r14 ::= INTEGER (0..66)

-- ASN1STOP
```

### SL-ThresPSSCH-RSRP-List field descriptions

#### SL-ThresPSSCH-RSRP

Value 0 corresponds to minus infinity dBm, value 1 corresponds to -128dBm, value 2 corresponds to -126dBm, value n corresponds to (-128 + (n-1)\*2) dBm and so on, value 66 corresponds to infinity dBm.

### SL-TxParameters

The IE *SL-TxParameters* identifies a set of parameters configured for sidelink transmission, used for communication, discovery and synchronisation.

#### SL-TxParameters information element

```
-- ASN1START

SL-TxParameters-r12 ::= SEQUENCE {
    alpha-r12 Alpha-r12,
    p0-r12 P0-SL-r12
}

P0-SL-r12 ::= INTEGER (-126..31)

-- ASN1STOP
```

### SL-TxParameters field descriptions

#### alpha

Parameter(s):  $\alpha_{PSSCH,1}$ ,  $\alpha_{PSSCH,2}$ ,  $\alpha_{PSSCH,3}$ ,  $\alpha_{PSSCH,4}$ ,  $\alpha_{PSSCH,4}$ ,  $\alpha_{PSCCH,1}$ ,  $\alpha_{PSSCH,2}$ ,  $\alpha_{PSSCH,1}$ ,  $\alpha_{PSSS}$  See TS 36.213 [23], clauses 14.1.1.5, 14.2.1.3, 14.3.1 and 14.4, where all corresponds to 0, all04 corresponds to value 0.4, all05 to 0.5, all06 to 0.6, all07 to 0.7, all08 to 0.8, all09 to 0.9 and all corresponds to 1. This field applies for sidelink power control.

#### p0

Parameter:  $P_{\text{O\_PSSCH},1}, P_{\text{O\_PSSCH},2}, P_{\text{O\_PSSCH},3}, P_{\text{O\_PSSCH},4}, P_{\text{O\_PSSCH},1}, P_{\text{O\_PSCCH},2}, P_{\text{O\_PSDCH},1}, P_{\text{O\_PSSCH},1}, P_{\text{O\_PSSCH},2}, P_{\text{O\_PSSCH},1}, P_{\text{O\_PSSCH},2}, P_{\text{O\_PSSCH},2},$ 

## SL-TxPoolIdentity

The IE *SL-TxPoolIdentity* identifies an individual pool entry configured for sidelink transmission, used for communication and discovery.

### SL-TxPoolIdentity information element

```
-- ASN1START

SL-TxPoolIdentity-r12 ::= INTEGER (1.. maxSL-TxPool-r12)

SL-TxPoolIdentity-v1310 ::= INTEGER (maxSL-TxPool-r12Plus1-r13.. maxSL-TxPool-r13)

SL-V2X-TxPoolIdentity-r14 ::= INTEGER (1.. maxSL-V2X-TxPool-r14)

-- ASN1STOP
```

### SL-TxPoolToReleaseList

The IE *SL-TxPoolToReleaseList* is used to release one or more individual pool entries used for sidelink transmission, for communication and discovery.

#### SL-TxPoolToReleaseList information element

```
-- ASN1START

SL-TxPoolToReleaseList-r12 ::= SEQUENCE (SIZE (1..maxSL-TxPool-r12)) OF SL-TxPoolIdentity-r12

SL-TxPoolToReleaseListExt-r13 ::= SEQUENCE (SIZE (1..maxSL-TxPool-v1310)) OF SL-TxPoolIdentity-v1310

-- ASN1STOP
```

## SL-V2X-ConfigDedicated

The IE SL-V2X-ConfigDedicated specifies the dedicated configuration information for V2X sidelink communication.

### SL-V2X-ConfigDedicated information element

```
-- ASN1START
SL-V2X-ConfigDedicated-r14 ::=
                                            SEOUENCE
                                        CHOICE {
    commTxResources-r14
       release
                                            NULL,
        setup
                                            CHOICE {
            scheduled-r14
                                            SEQUENCE {
                sl-V-RNTI-r14
                                       C-RNTI,
                mac-MainConfig-r14
                                                MAC-MainConfigSL-r12,
                v2x-SchedulingPool-r14
                                               SL-CommResourcePoolV2X-r14 OPTIONAL,
                                                                                       -- Need ON
                                                INTEGER (0..31)
                                                                            OPTIONAL,
                                                                                        -- Need OR
                logicalChGroupInfoList-r14
                                             LogicalChGroupInfoList-r13
            ue-Selected-r14
                                            SEQUENCE {
                 -- Pool for normal usage
                v2x-CommTxPoolNormalDedicated-r14 SEQUENCE {
                   poolToReleaseList-r14 SL-TxPoolToReleaseListV2X-r14 OPTIONAL, poolToAddModList-r14 SL-TxPoolToAddModListV2X-r14 O
                                                                                       -- Need ON
                                                                                    OPTIONAL,
Need ON
                    v2x-CommTxPoolSensingConfig-r14
                                                      SL-CommTxPoolSensingConfig-r14
                                                                            OPTIONAL
                                                                                        -- Need ON
            }
                                                                            OPTIONAL,
                                                                                        -- Need ON
                                                                                       -- Need ON
    v2x-InterFreqInfoList-r14
                                        SL-InterFreqInfoListV2X-r14
                                                                            OPTIONAL,
    thresSL-TxPrioritization-r14
                                            SL-Priority-r13
                                                                                OPTIONAL,
OR
    typeTxSync-r14
                                        SL-TypeTxSync-r14
                                                                            OPTIONAL,
                                                                                        -- Need OR
                                        SL-CBR-CommonTxConfigList-r14 OPTIONAL, -- Need OR
    cbr-DedicatedTxConfigList-r14
    [[ commTxResources-v1530
                                                CHOICE {
           release
                                                NULL,
                                                CHOICE {
            setup
                scheduled-v1530
                                                SEQUENCE {
                   logicalChGroupInfoList-v1530
                                                   LogicalChGroupInfoList-v1530 OPTIONAL,
Need OR
                   mcs-r15
                                                   INTEGER (0..31)
                                                                            OPTIONAL
                                                                                        -- Need OR
                ue-Selected-v1530
                                               SEQUENCE {
                    v2x-FreqSelectionConfigList-r15 SL-V2X-FreqSelectionConfigList-r15 OPTIONAL --
Need OR
                }
                                                                        OPTIONAL,
        v2x-PacketDuplicationConfig-r15 SL-V2X-PacketDuplicationConfig-r15 OPTIONAL,
                                                                                        -- Need OR
                                                                            OPTIONAL,
                                       SL-V2X-SyncFreqList-r15
        syncFreqList-r15
                                                                                        -- Need OR
        slss-TxMultiFreq-r15
                                        ENUMERATED {true}
                                                                            OPTIONAL
                                                                                        -- Need OR
    [ [
        slss-TxDisabled-r15 ENUMERATED {true}
                                                                       OPTIONAL -- Need OR
```

### SL-V2X-ConfigDedicated field descriptions

### cbr-DedicatedTxConfigList

Indicates the dedicated list of CBR range division and the list of PSCCH TX configurations available to configure congestion control to the UE for V2X sidelink communication.

#### IogicalChGroupInfoList

Indicates for each logical channel group the list of associated priorities and reliabilities, used as specified in TS 36.321 [6], in order of increasing logical channel group identity. If E-UTRAN includes logicalChGroupInfoList-v1530, it includes the same number of entries, and listed in the same order, as in logicalChGroupInfoList-r14, and a logical channel group identity of the same entry in logicalChGroupInfoList-r14 and in logicalChGroupInfo-v1530 is associated with both the priorties (as in logicalChGroupInfoList-v1530) of that entry. If logicalChGroupInfoList-v1530 is not included, this field indicates for each logical channel group the list of associated priorities.

#### mcs

Indicates the MCS as defined in TS 36.213 [23], clause 14.2.1. If not configured, the selection of MCS is up to UE implementation. If included, *mcs-r14* corresponds to the MCS table in Table 8.6.1-1 with 64QAM indices overridden by 16QAM used for transmission on PSSCH. If included, *mcs-r15* corresponds to both the MCS table in Table 8.6.1-1 in TS 36.213 [23] and the MCS table supporting 64QAM in Table 14.1.1-2 in TS 36.213 [23] used for transmission on PSSCH. If this field is present, E-UTRAN shall configure both *mcs-r14* and *mcs-r15*.

### scheduled

Indicates the configuration for the case E-UTRAN schedules the transmission resources based on sidelink specific BSR from the UE.

#### sI-V-RNTI

Indicates the RNTI used for DCI dynamically scheduling sidelink resources for V2X sidelink communication.

#### slss-TxDisabled

Value TRUE indicates that the primary carrier, even though equipped with synchronisation resources, cannot be used as a synchronisation carrier frequency to transmit SLSS or PSBCH.

#### thresSL-TxPrioritization

Indicates the threshold used to determine whether SL V2X transmission is prioritized over uplink transmission if they overlap in time (see TS 36.321 [6]). This value shall overwrite *thresSL-TxPrioritization* configured in *SIB21* or *SL-V2X-Preconfiguration* if any.

### typeTxSync

Indicates the prioritized synchronization type (i.e. eNB or GNSS) for performing V2X sidelink communication on PCell.

#### ue-Selected

Indicates the configuration for the case the UE selects the transmission resources from a pool of resources configured by E-UTRAN.

### v2x-InterFreqInfoList

Indicates synchronization and resource allocation configurations of other carrier frequencies than the serving carrier frequency for V2X sidelink communication. For inter-carrier scheduled resource allocation, CIF=1 in DCI-5A corresponds to the first entry in this frequency list, CIF=2 corresponds to the second entry, and so on (see TS 36.213 [23]). CIF=0 in DCI-5A corresponds to the frequency where the DCI is received.

### v2x-SchedulingPool

Indicates a pool of resources when E-UTRAN schedules Tx resources for V2X sidelink communications.

## SL-V2X-FreqSelectionConfigList

The IE *SL-V2X-FreqSelectionConfigList* specifies the configuration information for carrier selection for V2X sidelink communication transmission using UE autonomous resource selection.

### SL-V2X-FreqSelectionConfigList information element

```
-- ASN1START

SL-V2X-FreqSelectionConfigList-r15 ::= SEQUENCE (SIZE (1..8)) OF SL-V2X-FreqSelectionConfig-r15

SL-V2X-FreqSelectionConfig-r15 ::= SEQUENCE {
    priorityList-r15 SL-PriorityList-r13,
    threshCBR-FreqReselection-r15 SL-CBR-r14 OPTIONAL, -- Need OR
    threshCBR-FreqKeeping-r15 SL-CBR-r14 OPTIONAL -- Need OR
}

-- ASN1STOP
```

## SL-V2X-FreqSelectionConfig field descriptions

### priorityList

Indicates the list of PPPP(s) which is associated with the configurations in *threshCBR-FreqReselection* and in *threshCBR-FreqReselection* and in *threshCBR-FreqReselection* and in

#### threshCBR-FreqReselection

Indicates the CBR threshold to determine whether the carrier frequency can be (re)selected for the transmission of V2X sidelink communication. See TS 36.321 [6].

#### threshCBR-FreqKeeping

Indicates the CBR threshold to determine whether the UE can keep using the carrier which was selected for the transmission of V2X sidelink communication. See TS 36.321 [6].

## SL-V2X-PacketDuplicationConfig

The IE *SL-V2X-PacketDuplicationConfig* specifies the configuration information for sidelink packet duplication for V2X sidelink communication transmission.

### SL-V2X-PacketDuplicationConfig information element

```
-- ASN1START
SL-V2X-PacketDuplicationConfig-r15 ::= SEQUENCE {
     threshSL-Reliability-r15 SL-Reliability-r15, allowedCarrierFreqConfig-r15 SL-PPPR-Dest-CarrierFreqList-r15
    threshSL-Reliability-r15
                                                                                             OPTIONAL.
                                                                                                            -- Need OR
SL-PPPR-Dest-CarrierFreqList-r15 ::=
                                              SEQUENCE (SIZE (1..maxSL-Dest-r12)) OF SL-PPPR-Dest-
CarrierFreq
SL-PPPR-Dest-CarrierFreq ::= SEQUENCE {
    destinationInfoList-r15 SL-Des
    allowedCarrierFreqList-r15 SL-AllowedCarrierFreqList-r12
                                                                                   OPTIONAL,
                                                                                                  -- Need OR
                                                                                        OPTIONAL
                                                                                                          -- Need OR
SL-AllowedCarrierFreqList-r15 ::= SEQUENCE {
    allowedCarrierFreqSet1 SEQUENCE (SIZE (1..maxFreqV2X-r14)) OF ARFCN-ValueEUTRA-r9, allowedCarrierFreqSet2 SEQUENCE (SIZE (1..maxFreqV2X-r14)) OF ARFCN-ValueEUTRA-r9
-- ASN1STOP
```

### SL-V2X-PacketDuplicationConfig field descriptions

### allowedCarrierFreqList, allowedCarrierFreqSet1, allowedCarrierFreqSet2

Indicates, for V2X sidelink communication, the set of carrier frequencies applicable for the transmission of the MAC SDUs from the sidelink logical channels whose associated destination are included in *destinationInfoList* (see TS 36.321 [6]). If present, E-UTRAN shall ensure *allowedCarrierFreqSet1* and *allowedCarrierFreqSet2* do not include the same carrier frequency.

### threshSL-Reliability

Indicates the reliability threshold used to determine whether sidelinik packet duplication is configured and activated for V2X sidelink communication transmission. See TS 36.323 [8] and TS 36.321 [6].

## SL-V2X-SyncFreqList

The IE SL-V2X-SyncFreqList specifies the list of candidate synchronisation carrier frequencies used for V2X sidelink communication.

### SL-V2X-SyncFreqList information element

```
-- ASN1START

SL-V2X-SyncFreqList-r15 ::= SEQUENCE (SIZE (1..maxFreqV2X-r14)) OF ARFCN-ValueEUTRA-r9

-- ASN1STOP
```

## – SL-ZoneConfig

The IE SL-ZoneConfig indicates zone configurations used for V2X sidelink communication.

## SL-ZoneConfig information element

### SL-ZoneConfig field descriptions

#### zoneLength

Indicates the length of each geographic zone. Value m5 corresponds to 5 meters, m10 corresponds to 10 meters and so on.

#### zoneWidth

Indicates the width of each geographic zone. Value m5 corresponds to 5 meters, m10 corresponds to 10 meters and so on.

#### zoneldLonaiMod

Indicates the total number of zones that is configured with respect to longitude.

### zoneldLatiMod

Indicates the total number of zones that is configured with respect to latitude.

# 6.4 RRC multiplicity and type constraint values

# Multiplicity and type constraint definitions

```
maxBandComb-r10
                          INTEGER ::= 128 -- Maximum number of band combinations.
maxBandComb-r11
                           INTEGER ::= 256 -- Maximum number of additional band combinations.
maxBandComb-r13
                          INTEGER ::= 384 -- Maximum number of band combinations in Rel-13
\verb|maxBandCombSidelinkNR-r16| INTEGER ::= 512 -- Maximum number of NR sidelink band combinations|
maxBands
                           INTEGER ::= 64 -- Maximum number of bands listed in EUTRA UE caps
                           INTEGER ::= 1024
maxBandsNR-r15
                                              -- Maximum number of NR bands listed in EUTRA UE
caps
                         INTEGER ::= 10 -- Maximum number of NR bands from across all the PLMNs
maxBandsENDC-r16
                                           -- sharing the serving cell in EN-DC for the forwarding
                                           -- of upperLayerIndication.
maxBandwidthClass-r10 INTEGER ::= 16 -- Maximum number of supported CA BW classes per band maxBandwidthCombSet-r10 INTEGER ::= 32 -- Maximum number of bandwidth combination sets per
                          INTEGER ::= 32 -- Maximum number of bandwidth combination sets per
                                           -- supported band combination
maxBT-IdReport-r15
                          INTEGER ::= 32 -- Maximum number of Bluetooth IDs to report
INTEGER ::= 4 -- Maximum number of Bluetooth name
maxBT-Name-r15
                          INTEGER ::= 16 -- Maximum number of CBR levels
maxCBR-Level-r14
maxCBR-Level-1-r14
                           INTEGER ::= 15
                          INTEGER ::= 72 -- Maximum number of CBR results in a report
maxCBR-Report-r14
                           INTEGER ::= 32 -- Maximum value of the CDMA band classes
INTEGER ::= 4 -- Maximum number of CE levels
maxCDMA-BandClass
maxCE-Level-r13
                          INTEGER ::= 16 -- Maximum number of exclude-listed physical cell
maxExcludedCell
identity
                                           -- ranges listed in SIB type 4 and 5
                           INTEGER ::= 16 -- Maximum number of visited EUTRA cells reported
maxCellHistory-r12
                                           -- formation can be provided as redirection assistance
maxCellInfoUTRA-r9
                           INTEGER ::= 16 -- Maximum number of UTRA cells for which system
                                           -- information can be provided as redirection
                                           -- assistance
maxCellMeasIdle-r15
                          INTEGER ::= 8
                                          -- Maximum number of neighbouring inter-frequency
                                           -- cells per carrier measured in RRC_IDLE and
RRC INACTIVE
                           INTEGER ::= 8 -- Maximum number of NR cells
maxCellNR-r17
maxCombIDC-r11
                           INTEGER ::= 128 -- Maximum number of reported UL CA or
                                           -- MR-DC combinations
maxCSI-IM-r11
                           INTEGER ::= 3 -- Maximum number of CSI-IM configurations
                                           -- (per carrier frequency)
                                         -- Maximum number of CSI-IM configurations
maxCST-TM-r12
                           INTEGER ::= 4
                                           -- (per carrier frequency)
minCSI-IM-r13
                           INTEGER ::= 5
                                           -- Minimum number of CSI IM configurations from which
                                           -- REL-13 extension is used
maxCSI-IM-r13
                           INTEGER ::= 24 -- Maximum number of CSI-IM configurations
                                           -- (per carrier frequency)
maxCSI-IM-v1310
                           INTEGER ::= 20 -- Maximum number of additional CSI-IM configurations
                                           -- (per carrier frequency)
                           INTEGER ::= 4 -- Maximum number of CSI processes (per carrier
maxCST-Proc-r11
                                           -- frequency)
maxCSI-RS-NZP-r11
                           INTEGER ::= 3
                                          -- Maximum number of CSI RS resource
                                           -- configurations using non-zero Tx power
                                           -- (per carrier frequency)
                                          -- Minimum number of CSI RS resource from which
minCSI-RS-NZP-r13
                           INTEGER ::= 4
                                           -- REL-13 extension is used
                           INTEGER ::= 24 -- Maximum number of CSI RS resource
maxCSI-RS-NZP-r13
                                           -- configurations using non-zero Tx power
                                           -- (per carrier frequency)
                           INTEGER ::= 21 -- Maximum number of additional CSI RS resource
maxCSI-RS-NZP-v1310
                                           -- configurations using non-zero Tx power
                                           -- (per carrier frequency)
                           INTEGER ::= 4 -- Maximum number of CSI RS resource
maxCSI-RS-ZP-r11
                                           -- configurations using zero Tx power(per carrier
                                           -- frequency)
                                           -- Maximum number of additional periodic CQI
maxCQI-ProcExt-r11
                           INTEGER ::= 3
                                           -- configurations (per carrier frequency)
                                          -- Maximum number of UTRA TDD carrier frequencies for
maxFreqUTRA-TDD-r10
                           INTEGER ::= 6
                                           -- which system information can be provided as
                                           -- redirection assistance
maxCellInter
                           INTEGER ::= 16 -- Maximum number of neighbouring inter-frequency
                                           -- cells listed in SIB type 5
maxCellIntra
                           INTEGER ::= 16 -- Maximum number of neighbouring intra-frequency
                                           -- cells listed in SIB type 4
maxCellListGERAN
                           INTEGER ::= 3
                                          -- Maximum number of lists of GERAN cells
maxCellMeas
                           INTEGER ::= 32 -- Maximum number of entries in each of the
                                          -- cell lists in a measurement object
                           INTEGER ::= 8 -- Maximum number of reported cells/CSI-RS resources
maxCellReport
                      INTEGER ::= 3 -- Maximum number of cells for SFTD reporting
maxCellSFTD
maxCellAllowedNR-r16
                               INTEGER ::= 16 -- Maximum number of allowlisted NR cells in SIB24
maxCondConfig-r16
                           INTEGER ::= 8 -- Maximum number of conditional configurations
```

```
maxConfigSPS-r14
                           INTEGER ::= 8 -- Maximum number of simultaneous SPS configurations
maxConfigSPS-r15
                           INTEGER ::= 6
                                           -- Maximum number of simultaneous SPS configurations
                                            -- configured with SPS C-RNTI
maxCSI-RS-Meas-r12
                          INTEGER ::= 96 -- Maximum number of entries in the CSI-RS list
                                            -- in a measurement object
                           INTEGER ::= 11 -- Maximum number of Data Radio Bearers
maxDRB
                           INTEGER ::= 4
                                           -- Maximum number of additional DRBs
maxDRBExt-r15
                           INTEGER ::= 15 -- Highest value of extended maximum number of DRBs
maxDRB-r15
                           INTEGER ::= 5 -- Maximum number of subframes in a discovery signals
maxDS-Duration-r12
                                           -- occasion
                          INTEGER ::= 5 -- Maximum number of zero transmission power CSI-RS for
maxDS-ZTP-CSI-RS-r12
                                           -- a serving cell concerning discovery signals
                           INTEGER ::= 65535 -- Maximum value of EUTRA carrier frequency
maxEARFCN
                          INTEGER ::= 65536 -- Lowest value extended EARFCN range
INTEGER ::= 262143 -- Highest value extended EARFCN range
maxEARFCN-Plus1
maxEARFCN2
                           INTEGER ::= 2 -- Maximum number of EPDCCH sets
INTEGER ::= 64 -- Maximum value of fequency band indicator
INTEGER ::= 1024 -- Highest value FBI range for NR.
maxEPDCCH-Set-r11
maxFBT
maxFBI-NR-r15
                           INTEGER ::= 65 -- Lowest value extended FBI range
maxFBI-Plus1
                           INTEGER ::= 256 -- Highest value extended FBI range
maxFBI2
maxFeatureSets-r15
                           INTEGER ::= 256 -- Total number of feature sets (size of pool)
-- (size of the pool)
                           INTEGER ::= 8 -- Maximum number of carrier frequencies
maxFreq
maxFreq-1-r16
                           INTEGER ::= 7
                                           -- Maximum number of carrier frequencies
                           INTEGER ::= 32 -- Maximum number of carrier frequencies that are
maxFreqIDC-r11
                                           \operatorname{\mathsf{--}} affected by the IDC problems
maxFreqIdle-r15
                           INTEGER ::= 8
                                           -- Maximum number of carrier frequencies for
                                               -- IDLE mode measurements configured by eNB
                           INTEGER ::= 5 -- Maximum number of carrier frequencies for which an
maxFreqMBMS-r11
                                           -- MBMS capable UE may indicate an interest
                           INTEGER ::= 8
                                           -- Maximum number of NB-IoT carrier frequencies that can
maxFreqNBIOT-r16
                                            -- be provided as assistance information for inter-RAT
                                           -- cell selection
maxFreqNR-r15
                           INTEGER ::= 5
                                           -- Maximum number of NR carrier frequencies for
                                            -- which a UE may provide measurement results upon
                                           -- NR SCG failure
                                           -- Maximum number of NR anchor carrier frequencies on
                           INTEGER ::= 8
maxFregSL-NR-r16
                                           -- which configurations for V2X sidelink communication
                                           -- are provided
maxFreqV2X-r14
                           INTEGER ::= 8
                                           -- Maximum number of carrier frequencies for which V2X
                                           -- sidelink communication can be configured
maxFreqV2X-1-r14
                           INTEGER ::= 7
                                           -- Highest index of frequencies
                           INTEGER ::= 10 -- Maximum number of GERAN SI blocks that can be
maxGERAN-SI
                                            -- provided as part of NACC information
                           INTEGER ::= 16 -- Maximum number of GERAN neighbour freq groups
maxGNFG
                           INTEGER ::= 31 -- Maximum number of groups minus one for each
maxGWUS-Groups-1-r16
                                           -- probability group
maxGWUS-Resources-r16
                          INTEGER ::= 4
                                           -- Maximum number of GWUS resources for each group
maxGWUS-ProbThresholds-r16 INTEGER ::= 3 -- Maximum number of paging probability thresholds
-- frequency carriers measured in RRC_IDLE and
RRC_INACTIVE
maxIdleMeasCarriersExt-r16 INTEGER ::= 5
                                              --Additional number of neighbouring inter-
                                            -- frequency carriers measured in RRC_IDLE and
RRC INACTIVE
maxIdleMeasCarriers-r16
                          INTEGER ::= 8 -- Maximum number of neighbouring inter-
                                               -- frequency/inter-RAT carriers measured in RRC_IDLE
and RRC_INACTIVE
                                          -- Maximum number of logical channel groups
maxLCG-r13
                           INTEGER ::= 4
maxLogMeasReport-r10
                           INTEGER ::= 520 -- Maximum number of logged measurement entries
                                            -- that can be reported by the UE in one message
                          INTEGER ::= 8
                                          -- Maximum number of MBSFN frame allocations with
maxMBSFN-Allocations
                                           -- different offset
                           INTEGER ::= 8
maxMBSFN-Area
maxMBSFN-Area-1
                          INTEGER ::= 7
maxMBMS-ServiceListPerUE-r13 INTEGER ::= 15 -- Maximum number of services which the UE can
                                        -- include in the MBMS interest indication
                           INTEGER ::= 32
maxMeasId
maxMeasId-Plus1
                           INTEGER ::= 33
                           INTEGER ::= 64
maxMeasId-r12
maxMultiBands
                           INTEGER ::= 8 -- Maximum number of additional frequency bands
                                           -- that a cell belongs to
maxMultiBandsNR-r15
                          INTEGER ::= 32 -- Maximum number of additional NR frequency bands
                                            -- that a cell belongs to
maxMultiBandsNR-1-r15
                          INTEGER ::= 31
                           INTEGER ::= 8 -- Maximum number of NS and P-Max values per band
INTEGER ::= 8 -- Maximum number of supported NAICS combination(s)
maxNS-Pmax-r10
maxNAICS-Entries-r12
```

```
-- configuration (per carrier frequency)
maxNrofPCI-PerSMTC-r16 INTEGER ::= 8
maxNrofS-NSSAI-r15
                                            -- Maximum number of SCPTM neighbour cells
                            INTEGER ::= 64 -- Maximum number of PCIs per SMTC
                                             -- Maximum number of S-NSSAI
maxObjectId
                            INTEGER ::= 32
maxObjectId-Plus1-r13
                             INTEGER ::= 33
maxObjectId-r13
                             INTEGER ::= 64
maxP-a-PerNeighCell-r12
                            INTEGER ::= 3
                                             -- Maximum number of power offsets for a neighbour cell
                                             -- in NAICS configuration
                            INTEGER ::= 16 --
maxPageRec
                            INTEGER ::= 4 -- Maximum number of physical cell identity ranges INTEGER ::= 6 -- Maximum number of PLMNs
maxPhysCellIdRange-r9
maxPLMN-r11
maxPLMN-1-r14
                            INTEGER ::= 5 -- Maximum number of PLMNs minus one
maxPLMN-r15
                             INTEGER ::= 8
                                             -- Maximum number of PLMNs for RNA configuration
                            INTEGER ::= 12 -- Maximum number of NR PLMNs
maxPLMN-NR-r15
                            INTEGER ::= 511 -- Maximum number of CDMA2000 PNOffsets
maxPNOffset
maxPMCH-PerMBSFN
                             INTEGER ::= 15
                           INTEGER ::= 16 -- Maximum number of PSSCH TX configurations
maxPSSCH-TxConfig-r14
                            INTEGER ::= 2 -- Maximum number of NR quantity configuration sets
INTEGER ::= 6 -- Maximum number of QCIs
maxQuantSetsNR-r15
                            INTEGER ::= 6
maxOCI-r13
                            INTEGER ::= 8 -- Maximum number of interworking RATs (incl EUTRA)
maxRAT-Capabilities
maxRE-MapQCL-r11
                            INTEGER ::= 4
                                             -- Maximum number of PDSCH RE Mapping configurations
                                              -- (per carrier frequency)
maxReportConfigId
                            INTEGER ::= 32
maxReservationPeriod-r14
                            INTEGER ::= 16 -- Maximum number of resource reservation periodicities
                                              -- for sidelink V2X communication
                             INTEGER ::= 64
                                             -- Maximum number of RS indices
maxRS-Index-r15
maxRS-Index-1-r15
                            INTEGER ::= 63 -- Highest value of RS index as used to identify
                                              -- RS index in RRM reports.
maxRS-IndexCellQual-r15
                            INTEGER ::= 16 -- Maximum number of RS indices averaged to derive
                                              -- cell quality for RRM.
maxRS-IndexReport-r15
                             INTEGER ::= 32
                                             -- Maximum number of RS indices for RRM.
                                             -- Maximum number of frequency layers for RSTD
                            INTEGER ::= 3
maxRSTD-Freg-r10
                                              -- measurement
maxSAI-MBMS-r11
                             INTEGER ::= 64 -- Maximum number of MBMS service area identities
                                             -- broadcast per carrier frequency
                            INTEGER ::= 4
                                             -- Maximum number of satellites
maxSat-r17
                            INTEGER ::= 4
                                            -- Maximum number of SCells
maxSCell-r10
                            INTEGER ::= 31 -- Highest value of extended number range of SCells
maxSCell-r13
maxSCellGroups-r15
                             INTEGER ::= 4
                                             -- Maximum number of SCell common parameter groups
                            INTEGER ::= 1023
                                                 -- Maximum number of SC-MTCHs in one cell
maxSC-MTCH-r13
maxSC-MTCH-BR-r14 INTEGER ::= 128 -- Maximum number of SC-MTCHs in one cell for feMTC maxSL-CommRxPoolNFreq-r13 INTEGER ::= 32 -- Maximum number of individual sidelink communication
                                             -- Rx resource pools on neighbouring freq
maxSL-CommRxPoolPreconf-v1310 INTEGER := 12 -- Maximum number of additional preconfigured -- sidelink communication Rx resource pool entries
maxSL-TxPool-r12Plus1-r13 INTEGER ::= 5 -- First additional individual sidelink
                                                  -- Tx resource pool
                            INTEGER ::= 4 -- Maximum number of additional sidelink
maxSL-TxPool-v1310
                                                  -- Tx resource pool entries
                            INTEGER ::= 8 -- Maximum number of individual sidelink
maxSL-TxPool-r13
                                                  -- Tx resource pools
                                                 -- Maximum number of additional preconfigured
maxSL-CommTxPoolPreconf-v1310 INTEGER ::= 7
                                                  -- sidelink Tx resource pool entries
                        INTEGER ::= 16
maxSL-Dest-r12
                                                 -- Maximum number of sidelink destinations
maxSL-DiscCells-r13
                        INTEGER ::= 16
                                                 -- Maximum number of cells with similar sidelink
                                                  -- configurations
maxSL-DiscPowerClass-r12 INTEGER ::= 3
                                                  -- Maximum number of sidelink power classes
maxSL-DiscRxPoolPreconf-r13
                               INTEGER ::= 16 -- Maximum number of preconfigured sidelink
                                                  -- discovery Rx resource pool entries
maxSL-DiscSysInfoReportFreq-r13 INTEGER ::= 8
                                                 -- Maximum number of frequencies to include in a
                                                  -- SidelinkUEInformation for SI reporting
                                                 -- Maximum number of preconfigured sidelink
-- discovery Tx resource pool entries
                               INTEGER ::= 4
maxSL-DiscTxPoolPreconf-r13
maxSL-GP-r13
                        INTEGER ::= 8 -- Maximum number of gap patterns that can be requested
                                         -- for a frequency or assigned
maxSL-PoolToMeasure-r14 INTEGER ::= 72 -- Maximum number of TX resource pools for CBR
                                                  -- measurement and report
                      INTEGER ::= 8 -- Maximum number of entries in sidelink priority list
maxSL-Prio-r13
maxSL-RxPool-r12
                       INTEGER ::= 16 -- Maximum number of individual sidelink Rx resource
pools
maxSL-Reliability-r15 INTEGER := 8 -- Maximum number of entries in sidelink reliability list maxSL-SyncConfig-r12 INTEGER ::= 16 -- Maximum number of sidelink Sync configurations
                        INTEGER ::= 16 -- Maximum number of sidelink Sync configurations
maxSL-TF-IndexPair-r12 INTEGER ::= 64 -- Maximum number of sidelink Time Freq resource index
                                             -- pairs
```

```
pools
maxSL-V2X-RxPool-r14
                           INTEGER ::= 16 -- Maximum number of RX resource pools for
                                                  -- V2X sidelink communication
maxSL-V2X-RxPoolPreconf-r14 INTEGER ::= 16
                                                 -- Maximum number of RX resource pools for
                                                  -- V2X sidelink communication
maxSL-V2X-TxPool-r14
                            INTEGER ::= 8 -- Maximum number of TX resource pools for
                                                  -- V2X sidelink communication
                                                 -- Maximum number of TX resource pools for
maxSL-V2X-TxPoolPreconf-r14 INTEGER ::= 8
                                                  -- V2X sidelink communication
maxSL-V2X-SyncConfig-r14 INTEGER ::= 16 -- Maximum number of sidelink Sync configurations
                                                  -- for V2X sidelink communication
-- for V2X sidelink communication congestion
                                                  -- control
maxSL-V2X-CBRConfig-1-r14 INTEGER ::= 3
maxSL-V2X-TxConfig-r14
                           INTEGER ::= 64 -- Maximum number of TX parameter configurations
                                                 -- for V2X sidelink communication congestion
                                                  -- control
\begin{array}{lll} {\tt maxSL-V2X-TxConfig-1-r14} & {\tt INTEGER} & ::= 63 \\ {\tt maxSL-V2X-CBRConfig2-r14} & {\tt INTEGER} & ::= \end{array}
                              INTEGER ::= 8 -- Maximum number of CBR range configurations in
                                                 -- pre-configuration for V2X sidelink
                                                  -- communication congestion control
maxSL-V2X-CBRConfig2-1-r14 INTEGER ::= 7
-- configurations in pre-configuration for V2X
                                                 -- sidelink communication congestion control
maxSL-V2X-TxConfig2-1-r14 INTEGER ::= 127
                            INTEGER ::= 3 -- Maximum number of STAGs

INTEGER ::= 5 -- Maximum number of Serving cells

INTEGER ::= 32 -- Highest value of extended number range of Serving
maxSTAG-r11
maxServCell-r10
maxServCell-r13
cells
maxServCellNR-r15 INTEGER ::= 16 -- Maximum number of MBMS services that can be included maxServiceCount INTEGER ::= 16 -- Maximum number of MBMS services that can be included
                                              -- in an MBMS counting request and response
maxServiceCount-1
                             INTEGER ::= 15
maxSessionPerPMCH
maxSessionPerPMCH-1
                            INTEGER ::= 29
                             INTEGER ::= 28
                            INTEGER ::= 32 -- Maximum number of SIBs
maxSTB
maxSIB-1
                            INTEGER ::= 31
maxSI-Message
                             INTEGER ::= 32 -- Maximum number of SI messages
                             INTEGER ::= 64 -- Maximum number of simultaneously aggregated bands
maxSimultaneousBands-r10
maxSubframePatternIDC-r11 INTEGER ::= 8
                                             -- Maximum number of subframe reservation patterns
                                              -- that the UE can simultaneously recommend to the
                                              -- E-UTRAN for use.
maxTAC-r17
                             INTEGER ::= 12 -- Maximum number of Tracking Area Codes
                                              -- broadcast in a cell
maxTrafficPattern-r14
                           INTEGER ::= 8 -- Maximum number of periodical traffic patterns
                                              -- that the UE can simultaneously report to the
                                              -- E-UTRAN.
                            INTEGER ::= 16 -- Maximum number of UTRA FDD carrier frequencies

INTEGER ::= 16 -- Maximum number of UTRA TDD carrier frequencies
maxUTRA-FDD-Carrier
maxUTRA-TDD-Carrier
maxWayPoint-r15
                            INTEGER ::= 20 -- Maximum number of flight path information waypoints
                            INTEGER ::= 16 -- Maximum number of WLAN identifiers
INTEGER ::= 8 -- Maximum number of WLAN bands
maxWLAN-Id-r12
maxWLAN-Bands-r13
                            INTEGER ::= 32 -- Maximum number of WLAN identifiers
INTEGER ::= 16 -- maximum number of WLAN channels used in
maxWLAN-Id-r13
maxWLAN-Channels-r13
                                             -- WLAN-CarrierInfo
maxWLAN-CarrierInfo-rl3 INTEGER ::= 8 -- Maximum number of WLAN Carrier Information
maxWLAN-Id-Report-r14 INTEGER ::= 32 -- Maximum number of WLAN IDs to report
                             INTEGER ::= 4 -- Maximum number of WLAN name
maxWLAN-Name-r15
-- ASN1STOP
```

NOTE: The value of maxDRB aligns with SA2.

### End of EUTRA-RRC-Definitions

```
-- ASN1START
END
-- ASN1STOP
```

# 6.5 PC5 RRC messages

NOTE: The messages included in this clause reflect the current status of the discussions. Additional messages may be included at a later stage.

## 6.5.1 General message structure

### PC5-RRC-Definitions

This ASN.1 segment is the start of the PC5 RRC PDU definitions.

```
-- ASN1START

PC5-RRC-Definitions DEFINITIONS AUTOMATIC TAGS ::=

BEGIN

IMPORTS
    TDD-ConfigSL-r12

FROM EUTRA-RRC-Definitions;

-- ASN1STOP
```

## SBCCH-SL-BCH-Message

The SBCCH-SL-BCH-Message class is the set of RRC messages that may be sent from the UE to the UE via SL-BCH on the SBCCH logical channel.

## SBCCH-SL-BCH-Message-V2X

The SBCCH-SL-BCH-Message-V2X class is the set of RRC messages that may be sent from the UE to the UE via SL-BCH on the SBCCH logical channel for V2X sidelink communication.

# 6.5.2 Message definitions

## MasterInformationBlock-SL

The *MasterInformationBlock-SL* includes the information transmitted by a UE transmitting SLSS, i.e. acting as synchronisation reference, via SL-BCH.

Signalling radio bearer: N/A

RLC-SAP: TM

Logical channel: SBCCH

Direction: UE to UE

### MasterInformationBlock-SL

### MasterInformationBlock-SL field descriptions

#### directFrameNumber

Indicates the frame number in which SLSS and SL-BCH are transmitted. The subframe in the frame corresponding to directFrameNumber is indicated by directSubframeNumber.

#### inCoverage

Value TRUE indicates that the UE transmitting the MasterInformationBlock-SL is in E-UTRAN coverage.

#### sl-Bandwidth

Parameter: transmission bandwidth configuration. n6 corresponds to 6 resource blocks, n15 to 15 resource blocks and so on.

### MasterInformationBlock-SL-V2X

The *MasterInformationBlock-SL-V2X* includes the information transmitted by a UE transmitting SLSS, i.e. acting as synchronisation reference, via SL-BCH for V2X sidelink communication.

Signalling radio bearer: N/A

RLC-SAP: TM

Logical channel: SBCCH

Direction: UE to UE

### MasterInformationBlock-SL-V2X

```
-- ASN1START
MasterInformationBlock-SL-V2X-r14 ::=
                                                SEQUENCE {
                                            ENUMERATED {
    sl-Bandwidth-r14
                                                n6, n15, n25, n50, n75, n100},
                                           TDD-ConfigSL-r12,
    tdd-ConfigSL-r14
    tdd-ConfigSL-r14
directFrameNumber-r14
directSubframeNumber-r14
                                          BIT STRING (SIZE (10)),
                                            INTEGER (0..9),
                                            BOOLEAN,
    inCoverage-r14
    reserved-r14
                                           BIT STRING (SIZE (27))
-- ASN1STOP
```

### MasterInformationBlock-SL-V2X field descriptions

#### directFrameNumber

Indicates the frame number in which SLSS and SL-BCH for V2X sidelink communication are transmitted. The subframe in the frame corresponding to *directFrameNumber* is indicated by *directSubframeNumber*.

### inCoverage

Value TRUE indicates that the UE transmitting the MasterInformationBlock-SL-V2X for V2X sidelink communication is in E-UTRAN coverage.

### sl-Bandwidth

Parameter: transmission bandwidth configuration. n6 corresponds to 6 resource blocks, n15 to 15 resource blocks and so on.

### End of PC5-RRC-Definitions

-- ASN1START

END

-- ASN1STOP

# 6.6 Direct Indication Information

Direct Indication information is transmitted on MPDCCH using P-RNTI but without associated *Paging* message or using SI-RNTI. Table 6.6-1 defines the Direct Indication information on MPDCCH using P-RNTI, see TS 36.212 [22], clause 5.3.3.1.14. Table 6.6-2 defines the Direct Indication on MPDCCH using SI-RNTI in RRC\_CONNECTED, see TS 36.212 [22], clauses 5.3.3.1.12 and 5.3.3.1.13.

When bit n is set to 1, UE shall behave as if the corresponding field is set in the *Paging* message, see 5.3.2.3. Bit 1 is the least significant bit.

Table 6.6-1: Direct Indication information using P-RNTI

Bit	Direct Indication information
1	systemInfoModification
2	etws-Indication
3	cmas-Indication
4	eab-ParamModification
5	systemInfoModification-eDRX
6	uac-ParamModification
6, 7, 8	Not used, and shall be ignored by UE if received.

Table 6.6-2: Direct Indication information using SI-RNTI

Bit	Direct Indication information
1	etws-Indication
2	cmas-Indication
3, 4, 5, 6, 7, 8	Not used, and shall be ignored by UE if received.

# 6.6a Direct Indication FeMBMS

On MBMS-dedicated cell and on FeMBMS/Unicast-mixed cell, a Direct Indication FeMBMS is transmitted on PDCCH together with 8-bit MCCH change notification using M-RNTI, see TS 36.212 [22], clause 5.3.3.1.4. Table 6.6a-1 defines the Direct Indication FeMBMS.

When the first bit is set to 1, UE shall behave as if *systemInfoModification* field is set in the *Paging* message and when the second bit is set to 1, UE shall behave as if both *etws-Indication* and *cmas-Indication* are set in the *Paging* message, see 5.3.2.3. Bit 1 is the least significant bit.

Table 6.6a-1: Direct Indication FeMBMS

Bit	Direct Indication FeMBMS	
1	systemInfoModification	
2	etws-Indication and cmas-Indication	

# 6.7 NB-IoT RRC messages

# 6.7.1 General NB-IoT message structure

```
-- ASN1START
NBIOT-RRC-Definitions DEFINITIONS AUTOMATIC TAGS ::=
BEGIN
IMPORTS
    RRCConnectionReestablishmentReject,
    SecurityModeCommand,
    SecurityModeComplete.
    SecurityModeFailure,
    Additional Spectrum Emission,
   ARFCN-ValueEUTRA-r9,
    CarrierFreqsGERAN,
    CellGlobalIdEUTRA
    CellIdentity,
    C-RNTI,
    DedicatedInfoNAS,
    DRB-Identity,
    GNSS-ValidityDuration-r17,
    InitialUE-Identity,
    IntraFreqExcludedCellList,
    IntraFreqNeighCellList,
    I-RNTI-r15,
    LocationInfo-r10,
   maxAccessCat-1-r15,
    maxBands.
   maxExcludedCell.
   maxCellInter,
    maxCellIntra,
   maxFBI2,
    maxFreq,
    maxMultiBands,
   maxNrofS-NSSAI-r15,
   maxPageRec,
   maxPLMN-r11,
    maxSAI-MBMS-r11,
    maxSIB,
    maxSIB-1,
    MBMS-SAI-r11,
    MBMS-SAI-List-r11,
    MBMSSessionInfo-r13,
    NextHopChainingCount,
   NG-5G-S-TMSI-r15,
    PagingUE-Identity,
    PLMN-Identity,
    PLMN-IdentityList2,
    P-Max,
```

```
PowerRampingParameters,
    PreambleTransMax,
    PhysCellId,
    Q-OffsetRange,
    Q-QualMin-r9,
    Q-RxLevMin,
    ReestabUE-Identity,
    RegisteredAMF-r15,
    RegisteredMME,
    ReselectionThreshold,
    ResumeIdentity-r13,
    RRC-TransactionIdentifier,
   RSRP-Range,
    S-NSSAI-r15,
    S-TMSI,
    SatelliteInfoList-r17,
    ServingSatelliteInfo-r17,
    SetupRelease,
    ShortMAC-I,
    SystemInformationBlockType16-r11,
    SystemInfoValueTagSI-r13,
    T-Reordering,
    T-ReorderingExt-r17,
   TimeAlignmentTimer,
    TimeSinceFailure-r11,
    TimeOffsetUTC-r17,
   TMGI-r9,
    TrackingAreaCode,
    TrackingAreaCode-5GC-r15,
    UAC-AC1-SelectAssistInfo-r15,
    DataInactivityTimer-r14
FROM EUTRA-RRC-Definitions;
-- ASN1STOP
```

## BCCH-BCH-Message-NB

The *BCCH-BCH-Message-NB* class is the set of RRC messages that may be sent from the E-UTRAN to the UE via BCH on the BCCH logical channel in FDD.

## BCCH-BCH-Message-TDD-NB

The *BCCH-BCH-Message-TDD-NB* class is the set of RRC messages that may be sent from the E-UTRAN to the UE via BCH on the BCCH logical channel in TDD.

# BCCH-DL-SCH-Message-NB

The *BCCH-DL-SCH-Message-NB* class is the set of RRC messages that may be sent from the E-UTRAN to the UE via DL-SCH on the BCCH logical channel.

# PCCH-Message-NB

The *PCCH-Message-NB* class is the set of RRC messages that may be sent from the E-UTRAN to the UE on the PCCH logical channel.

# DL-CCCH-Message-NB

The *DL-CCCH-Message-NB* class is the set of RRC messages that may be sent from the E-UTRAN to the UE on the downlink CCCH logical channel.

```
-- ASN1START
DL-CCCH-Message-NB ::= SEQUENCE {
    message
                           DL-CCCH-MessageType-NB
DL-CCCH-MessageType-NB ::= CHOICE {
                            CHOICE {
       rrcConnectionReestablishment-r13
                                                RRCConnectionReestablishment-NB,
       rrcConnectionReestablishmentReject-r13 RRCConnectionReestablishmentReject,
                                     RRCConnectionReject-NB,
RRCConnectionSetup-NB,
       rrcConnectionReject-r13
       rrcConnectionSetup-r13
       rrcEarlyDataComplete-r15
                                                RRCEarlyDataComplete-NB-r15,
       spare3 NULL, spare2 NULL, spare1 NULL
   messageClassExtension    SEQUENCE {}
-- ASN1STOP
```

# DL-DCCH-Message-NB

The *DL-DCCH-Message-NB* class is the set of RRC messages that may be sent from the E-UTRAN to the UE on the downlink DCCH logical channel.

# UL-CCCH-Message-NB

The *UL-CCCH-Message-NB* class is the set of RRC messages that may be sent from the UE to the E-UTRAN on the uplink CCCH logical channel.

# SC-MCCH-Message-NB

The SC-MCCH-Message-NB class is the set of RRC messages that may be sent from the E-UTRAN to the NB-IoT UE on the SC-MCCH logical channel.

-- ASN1STOP

# UL-DCCH-Message-NB

The *UL-DCCH-Message-NB* class is the set of RRC messages that may be sent from the UE to the E-UTRAN on the uplink DCCH logical channel.

```
-- ASN1START
UL-DCCH-Message-NB ::= SEQUENCE {
                            UL-DCCH-MessageType-NB
UL-DCCH-MessageType-NB ::= CHOICE {
                            CHOICE {
       rrcConnectionRecontigurationComplete-r13 RRCConnectionReestablishmentComplete-r13 RRCConnectionSetupComplete-NB,
       rrcConnectionReconfigurationComplete-r13
                                                    RRCConnectionReconfigurationComplete-NB,
                                                    RRCConnectionReestablishmentComplete-NB,
        securityModeComplete-r13
                                                    SecurityModeComplete,
        securityModeFailure-r13
                                                     SecurityModeFailure,
        ueCapabilityInformation-r13
                                                    UECapabilityInformation-NB,
        ulInformationTransfer-r13
                                                    ULInformationTransfer-NB,
        rrcConnectionResumeComplete-r13
                                                     RRCConnectionResumeComplete-NB,
        {\tt ueInformationResponse-r16}
                                                    UEInformationResponse-NB-r16,
        purConfigurationRequest-r16
                                                     PURConfigurationRequest-NB-r16,
        spare6 NULL, spare5 NULL, spare4 NULL,
        spare3 NULL, spare2 NULL, spare1 NULL
    -- ASN1STOP
```

# 6.7.2 NB-IoT Message definitions

# DLInformationTransfer-NB

The DLInformationTransfer-NB message is used for the downlink transfer of NAS dedicated information.

Signalling radio bearer: SRB1or SRB1bis

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to UE

# DLInformationTransfer-NB message

```
-- ASN1START
DLInformationTransfer-NB ::=
                                SEQUENCE {
                                        RRC-TransactionIdentifier,
    rrc-TransactionIdentifier
    criticalExtensions
                                        CHOICE {
                                            CHOICE {
           dlInformationTransfer-r13
sparel NULL
                                            DLInformationTransfer-NB-r13-IEs,
        },
                                            SEQUENCE {}
        criticalExtensionsFuture
}
DLInformationTransfer-NB-r13-IEs ::=
                                        SEQUENCE {
   lateNonCriticalExtension
                                            DedicatedInfoNAS,
                                            OCTET STRING
                                                                            OPTIONAL,
    nonCriticalExtension
                                            SEQUENCE {}
                                                                            OPTIONAL
```

-- ASN1STOP

# MasterInformationBlock-NB

The MasterInformationBlock-NB includes the system information transmitted on BCH in FDD.

Signalling radio bearer: N/A

RLC-SAP: TM

Logical channel: BCCH

Direction: E-UTRAN to UE

### MasterInformationBlock-NB

```
-- ASN1START
{\tt MasterInformationBlock-NB} ::= \quad {\tt SEQUENCE} \ \{
     systemFrameNumber-MSB-r13
hyperSFN-LSB-r13
schedulingInfoSIB1-r13
systemInfoValueTag-r13
ab-Enabled-r13
BIT STRING (SIZE (4)),
BIT STRING (SIZE (2)),
INTEGER (0..15),
BOOLEAN,
BOOLEAN,
     ab-Enabled-r13 BOOLEAN,
operationModeInfo-r13 CHOICE {
  inband-SamePCI-r13 Inband-SamePCI-NB-r13,
  inband-DifferentPCI-r13 Inband-DifferentPCI-NB-r13,
  guardband-r13 Guardband-NB-r13,
  standalone-r13 Standalone-NB-r13
           standalone-r13
                                                         Standalone-NB-r13
     additionalTransmissionSIB1-r15 BOOLEAN, ab-Enabled-5GC-r16 BOOLEAN,
     ab-Enabled-5GC-r16
partEARFCN-17
spare
                                                  CHOICE {
                                                         BIT STRING (SIZE (2)),
           earfcn-LSB
                                                         BIT STRING (SIZE (2))
                                                  BIT STRING (SIZE (6))
      spare
Guardband-NB-r13 ::= SEQUENCE {
    rasterOffset-r13 Channe
                                             ChannelRasterOffset-NB-r13,
      spare
                                                    BIT STRING (SIZE (3))
Inband-SamePCI-NB-r13 ::= SEQUENCE {
     eutra-CRS-SequenceInfo-r13 INTEGER (0..31)
Inband-DifferentPCI-NB-r13 ::= SEQUENCE {
     eutra-NumCRS-Ports-r13 ENUMERATED {same, four}, rasterOffset-r13 ChannelRasterOffset-NB-r
                                                    ChannelRasterOffset-NB-r13,
     spare
                                                   BIT STRING (SIZE (2))
}
Standalone-NB-r13 ::= SEQUENCE {
                                                  BIT STRING (SIZE (5))
    spare
-- ASN1STOP
```

#### MasterInformationBlock-NB field descriptions

#### ab-Enabled

Value TRUE indicates that access barring is enabled for UEs connected to EPC.

#### ab-Enabled-5GC

Value TRUE indicates that access barring is enabled for UEs connected to 5GC.

#### additionalTransmissionSIB1

Value TRUE indicates that additional SIB1-NB transmissions are present. See TS 36.211 [21] and TS 36.213 [23]. E-UTRAN only configures *additionalTransmissionSIB1* to *TRUE* if *schedulingInfoSIB1* indicates that the number of NPDSCH repetitions is 16, see TS 36.213 [23], Table 16.4.1.3-3.

#### earfon-LSB

Indicates the 2 least significant bits of the EARFCN for NTN bands where 100 kHz raster is used, see TS 36.102 [113].

# eutra-CRS-SequenceInfo

Information of the carrier containing NPSS/NSSS/NPBCH.

Each value is associated with an E-UTRA PRB index as an offset from the middle of the LTE system sorted out by channel raster offset. See TS 36.211[21] and TS 36.213 [23].

### eutra-NumCRS-Ports

Number of E-UTRA CRS antenna ports, either the same number of ports as NRS or 4 antenna ports. See TS 36.211 [21], TS 36.212 [22], and TS 36.213 [23].

#### hyperSFN-LSB

Indicates the 2 least significant bits of hyper SFN. The remaining bits are present in *SystemInformationBlockType1-NB*.

### operationModeInfo

Deployment scenario (in-band/guard-band/standalone) and related information. See TS 36.211 [21] and TS 36.213 [23].

Inband-SamePCI indicates an in-band deployment and that the NB-IoT and LTE cell share the same physical cell id and have the same number of NRS and CRS ports.

Inband-DifferentPCI indicates an in-band deployment and that the NB-IoT and LTE cell have different physical cell id. guardband indicates a guard-band deployment.

standalone indicates a standalone deployment.

### schedulingInfoSIB1

This field contains an index to a table specified in TS 36.213 [23], Table 16.4.1.3-3, that defines *SystemInformationBlockType1-NB* scheduling information.

# systemFrameNumber-MSB

Defines the 4 most significant bits of the SFN. As indicated in TS 36.211 [21], the 6 least significant bits of the SFN are acquired implicitly by decoding the NPBCH.

### systemInfoValueTag

Common for all SIBs other than MIB-NB, SIB14-NB, SIB16-NB and SIB31-NB.

### MasterInformationBlock-TDD-NB

The MasterInformationBlock-TDD-NB includes the system information transmitted on BCH in TDD.

Signalling radio bearer: N/A

RLC-SAP: TM

Logical channel: BCCH

Direction: E-UTRAN to UE

### MasterInformationBlock-TDD-NB

```
-- ASN1START
MasterInformationBlock-TDD-NB-r15 ::=
                                        SEOUENCE {
                                           BIT STRING (SIZE (4)),
    systemFrameNumber-MSB-r15
    hyperSFN-LSB-r15
                                            BIT STRING (SIZE (2)),
    schedulingInfoSIB1-r15
                                            INTEGER (0..15),
    systemInfoValueTag-r15
                                            INTEGER (0..31),
    ab-Enabled-r15
                                            BOOLEAN.
    operationModeInfo-r15
                                        CHOICE {
        inband-SamePCI-r15
                                           Inband-SamePCI-TDD-NB-r15,
        inband-DifferentPCI-r15
                                            Inband-DifferentPCI-TDD-NB-r15,
                                            GuardbandTDD-NB-r15,
        quardband-r15
                                            StandaloneTDD-NB-r15
        standalone-r15
    sibl-CarrierInfo-r15
                                            ENUMERATED {anchor, non-anchor},
```

```
ab-Enabled-5GC-r16
                                                                                                                          BOOLEAN,
                                                                                                                           BIT STRING (SIZE (8))
          spare
}
                      GuardbandInfo-r15 CHOICE {
sib-GuardbandAnchor-r15
sib-GuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandGuardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandguardbandgu
GuardbandTDD-NB-r15 ::=
         rasterOffset-r15
           sib-GuardbandInfo-r15
                     sib-GuardbandAnchor-r15 SIB-GuardbandAnchorTDD-NB-r15, sib-GuardbandGuardband-r15 SIB-GuardbandGuardbandTDD-NB-r15, sib-GuardbandInbandSamePCI-r15 SIB-GuardbandInbandSamePCI-TDD-NB-r15, sib-GuardbandinbandDiffPCI-r15 SIB-GuardbandInbandDiffPCI-TDD-NB-r15
                                                                                                          ENUMERATED {bw5or10, bw15or20}
           eutra-Bandwitdh-r15
}
                                                                                             SEQUENCE {
Inband-SamePCI-TDD-NB-r15 ::=
                                                                                                  INTEGER (0..31),
           eutra-CRS-SequenceInfo-r15
                                                                                                               ENUMERATED {lower, higher}
           sib-InbandLocation-r15
}
Inband-DifferentPCI-TDD-NB-r15 ::= SEQUENCE {
   eutra-NumCRS-Ports-r15 ENUMER.
                                                                                                               ENUMERATED {same, four},
           rasterOffset-r15
                                                                                                                           ChannelRasterOffset-NB-r13,
                                                                                                                         ENUMERATED {lower, higher},
          sib-InbandLocation-r15
                                                                                                                          BIT STRING (SIZE (2))
          spare
}
         sib-StandaloneLocation-r15 spare
                                                                                                          SEQUENCE {
StandaloneTDD-NB-r15 ::=
                                                                                                                        ENUMERATED {lower, higher},
                                                                                                                          BIT STRING (SIZE (5))
SIB-GuardbandAnchorTDD-NB-r15 ::= SEQUENCE {
                                                                                                                          BIT STRING (SIZE (1))
           spare
SIB-GuardbandGuardbandTDD-NB-r15 ::= SEQUENCE {
                                                                                                               ENUMERATED {same, opposite}
         sib-GuardbandGuardbandLocation-r15
SIB-GuardbandInbandSamePCI-TDD-NB-r15 ::= SEQUENCE {
                                                                                                                           BIT STRING (SIZE (1))
           spare
SIB-GuardbandInbandDiffPCI-TDD-NB-r15 ::= SEQUENCE {
         sib-EUTRA-NumCRS-Ports-r15
                                                                                                                           ENUMERATED {same, four}
-- ASN1STOP
```

### MasterInformationBlock-TDD-NB field descriptions

#### ab-Enabled

Value TRUE indicates that access barring is enabled for UEs connected to EPC.

#### ab-Enabled-5GC

Value TRUE indicates that access barring is enabled for UEs connected to 5GC.

#### eutra-Bandwidth

EUTRA system bandwidth. Value *bw5or10* corresponds to bandwidth 5 or 10 MHz, value *bw15or20* corresponds to bandwidth 15 or 20 MHz.

If the value of *eutra-Bandwidth* is *bw5or10* and *rasterOffset* is set to *khz7dot5* or *khz-7dot5*, the E-UTRA system bandwidth is 5 MHz.

If the value of *eutra-Bandwidth* is *bw5or10* and *rasterOffset* is set to *khz2dot5* or *khz-2dot5*, the E-UTRA system bandwidth is 10 MHz.

If the value of *eutra-Bandwidth* is *bw15or20* and *rasterOffset* is set to *khz7dot5* or *khz-7dot5*, the E-UTRA system bandwidth is 15 MHz.

If the value of *eutra-Bandwidth* is *bw15or20* and *rasterOffset* is set to *khz2dot5* or *khz-2dot5*, the E-UTRA system bandwidth is 20 MHz.

When the E-UTRA system bandwidth is 5 MHz or 15 MHz, if the value of sib-GuardbandInfo is sib-

GuardbandInbandSamePCI or sib-GuardbandinbandDiffPCI, the offset between the anchor carrier and the non-anchor carrier used for SIB1 and/or SI transmission is 45 kHz.

#### eutra-CRS-SequenceInfo

Information of the carrier containing NPSS/NSSS/NPBCH.

Each value is associated with an E-UTRA PRB index as an offset from the middle of the LTE system sorted out by channel raster offset. See TS 36.211 [21] and TS 36.213 [23].

#### eutra-NumCRS-Ports, sib-eutra-NumCRS-Ports

Number of E-UTRA CRS antenna ports, either the same number of ports as NRS or 4 antenna ports. See TS 36.211 [21], TS 36.212 [22], and TS 36.213 [23].

#### hyperSFN-LSB

Indicates the 2 least significant bits of hyper SFN. The remaining bits are present in *SystemInformationBlockType1-NB*.

#### operationModeInfo

Deployment scenario (in-band/guard-band/standalone) and related information. See TS 36.211 [21] and TS 36.213 [23].

Inband-SamePCI indicates an in-band deployment and that the NB-IoT and LTE cell share the same physical cell id and have the same number of NRS and CRS ports.

*Inband-DifferentPCI* indicates an in-band deployment and that the NB-IoT and LTE cell have different physical cell id. *guardband* indicates a guard-band deployment.

standalone indicates a standalone deployment.

When operationmodeInfo is set to guardband, if rasterOffset is set to khz-7dot5 or khz-2dot5, the guardband anchor carrier is at the higher edge of the LTE carrier. If rasterOffset is set to khz7dot5 or khz2dot5, the guardband anchor carrier is at the lower edge of the LTE carrier

# schedulingInfoSIB1

This field contains an index to a table specified in TS 36.213 [23], Table 16.4.1.3-5 or Table 16.4.1.3-7 when *sib1-CarrierInfo* is set to *anchor* or to *non-anchor* respectively, that defines *SystemInformationBlockType1-NB* scheduling information.

If sib1-CarrierInfo is set to non-anchor, E-UTRAN configures a value between 0 and 7.

#### sib-GuardbandGuardbandLocation

Location of the non-anchor carrier used for SIB1 and/or SI transmission when *operationmodeInfo* is set to *guardband* and the non-anchor carrier is in guardband. See TS 36.213 [23].

Value *same* corresponds to the carrier adjacent to the anchor carrier on the outer side of the guardband, value *opposite* corresponds to the carrier closest to the edge of the LTE carrier in the opposite guardband.

#### sib-GuardbandInfo

Information of the carrier used for SIB1 and/or SI transmission when *operationmodeInfo* is set to *guardband*. See TS 36.213 [23].

sib-GuardbandAnchor indicates the anchor carrier.

sib-Guardband Guardband indicates a non-anchor carrier in guardband mode.

sib-GuardbandInbandSamePCI or sib-GuardbandinbandDiffPCI indicates a non-anchor carrier in inband mode, and at the edge of the LTE carrier and on the same side as the anchor carrier.

### sib-InbandLocation

Location of the non-anchor carrier used for SIB1 and/or SI transmission when *operationmodeInfo* is set to *inband-SamePCI* or *inband-DifferentPCI*, and *sib1-CarrierInfo* value and/or *tdd-SI-CarrierInfo* in SIB1-NB is set to *non-anchor*. See TS 36.213 [23].

Value *lower* corresponds to the lower adjacent carrier relative to the anchor carrier and value *higher* corresponds to the higher adjacent carrier relative to the anchor carrier.

If both sib1-CarrierInfo value and tdd-SI-CarrierInfo value in SIB1-NB are set to anchor, the UE ignores sib-InbandLocation.

### MasterInformationBlock-TDD-NB field descriptions

#### sib-StandaloneLocation

Location of the non-anchor carrier used for SIB1 and/or SI transmission when *operationmodeInfo* is set to *standalone*, and *sib1-CarrierInfo* value and/or *tdd-SI-CarrierInfo* in SIB1-NB is set to *non-anchor*. See TS 36.213 [23]. Value *lower* corresponds to the lower adjacent carrier relative to the anchor carrier and value *higher* corresponds to the higher adjacent carrier relative to the anchor carrier.

If both sib1-CarrierInfo value and tdd-SI-CarrierInfo value in SIB1-NB are set to anchor, the UE ignores sib-StandaloneLocation.

#### sib1-CarrierInfo

Carrier used for SIB1 transmission. See TS 36.213 [23], clause 16.4.1.3. Value *anchor* corresponds to anchor carrier, value *non-anchor* corresponds to non-anchor carrier.

### systemFrameNumber-MSB

Defines the 4 most significant bits of the SFN. As indicated in TS 36.211 [21], the 6 least significant bits of the SFN are acquired implicitly by decoding the NPBCH.

### systemInfoValueTag

Common for all SIBs other than MIB-NB, SIB14-NB and SIB16-NB.

# – Paging-NB

The *Paging-NB* message is used for the notification of one or more UEs.

Signalling radio bearer: N/A

RLC-SAP: TM

Logical channel: PCCH

Direction: E-UTRAN to UE

# Paging-NB message

```
-- ASN1START
Paging-NB ::=
    systemInfoModification-r13 FNIMFDATED (*)

SystemInfoModification-r13 FNIMFDATED (*)
                                     SEQUENCE {
   pagingRecordList-r13
                                                                     OPTIONAL, -- Need ON
                                        ENUMERATED {true}
ENUMERATED {true}
                                                                     OPTIONAL, -- Need ON
    systemInfoModification-eDRX-r13
                                                                         OPTIONAL,
                                                                                      -- Need ON
    nonCriticalExtension
                                        Paging-NB-v1610-IEs
                                                                         OPTIONAL
Paging-NB-v1610-IEs ::=
                                    SEQUENCE {
    pagingRecordList-v1610
                                         PagingRecordList-NB-v1610
                                                                         OPTIONAL,
                                                                                      -- Need ON
    nonCriticalExtension
                                         SEQUENCE {}
                                                                         OPTIONAL
PagingRecordList-NB-r13 ::=
                                   SEQUENCE (SIZE (1..maxPageRec)) OF PagingRecord-NB-r13
PagingRecordList-NB-v1610 ::=
                                   SEQUENCE (SIZE (1..maxPageRec)) OF PagingRecord-NB-v1610
PagingRecord-NB-r13 ::=
                                    SEOUENCE {
    ue-Identity-r13
                                         PagingUE-Identity,
}
PagingRecord-NB-v1610 ::=
                                    SEQUENCE {
                                        ENUMERATED {true}
                                                                     OPTIONAL
                                                                                  -- Need ON
   mt-EDT-r16
-- ASN1STOP
```

# Paging-NB field descriptions

#### mt-EDT

Indication of mobile-terminated EDT.

### pagingRecordList

If E-UTRAN includes *pagingRecordList-v1610*, it includes the same number of entries, and listed in the same order, as in *pagingRecordList* (i.e. without suffix).

### systemInfoModification

If present: indication of a BCCH modification other than for *SystemInformationBlockType14-NB* (SIB14-NB), *SystemInformationBlockType16-NB* (SIB16-NB) and *SystemInformationBlockType31-NB* (SIB31-NB). This indication does not apply to UEs using eDRX cycle longer than the BCCH modification period.

#### systemInfoModification-eDRX

If present: indication of a BCCH modification other than for *SystemInformationBlockType14-NB* (SIB14-NB), *SystemInformationBlockType16-NB* (SIB16-NB) and *SystemInformationBlockType31-NB* (SIB31-NB). This indication applies only to UEs using eDRX cycle longer than the BCCH modification period.

#### ue-Identity

Provides the NAS identity of the UE that is being paged.

# PURConfigurationRequest-NB

The PURConfigurationRequest-NB message is used by the UE to transfer PUR related information to the E-UTRAN.

Signalling radio bearer: SRB1 or SRB1bis

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

# PURConfigurationRequest-NB message

```
-- ASN1START
PURConfigurationRequest-NB-r16 ::= SEQUENCE {
                                        CHOICE {
    criticalExtensions
        purConfigurationRequest-r16
                                               PURConfigurationRequest-NB-r16-IEs,
        criticalExtensionsFuture
                                               SEQUENCE {}
    }
}
PURConfigurationRequest-NB-r16-IEs ::= SEQUENCE {
    pur-ConfigRequest-r16
                                               PUR-ConfigRequest-NB-r16
                                                                                      OPTIONAL,
    lateNonCriticalExtension
                                               OCTET STRING
                                                                                      OPTIONAL,
    nonCriticalExtension
                                               SEQUENCE {}
                                                                                      OPTIONAL
PUR-ConfigRequest-NB-r16 ::= pur-ReleaseRequest
                                     CHOICE {
        NULL,
requestedNumOccasions-r16
requestedPeriodicitus
                                          SEQUENCE {
    pur-SetupRequest
                                               ENUMERATED {one, infinite},
                                              PUR-PeriodicityAndOffset-NB-r16,
                                               ENUMERATED {b328, b376, b424, b472, b504, b552, b584, b616, b680, b744, b776, b808, b872, b904,
        requestedTBS-r16
                                                            b936, b968, b1000, b1032, b1096, b1128,
                                                            b1192, b1224, b1256, b1352, b1384, b1544
                                                            b1608, b1736, b1800, b2024, b2280, b2536},
                                               ENUMERATED {true}
        rrc-ACK-r16
                                                                                       OPTIONAL
-- ASN1STOP
```

# PURConfigurationRequest-NB field descriptions

#### requestedNumOccasions

Indicates the requested number of PUR occasions. Value *one* corresponds to one occasion and value *infinite* corresponds to infinite occasions.

# requestedPeriodicityAndOffset

Indicates the requested periodicity of the PUR occasions and time offset until the first PUR occasion.

#### requestedTBS

Indicates the requested TBS. Value b328 corresponds to 328 bits, value b376 corresponds to 376 bits, and so on.

#### rrc-ACK

Indicates RRC response message is prefered by the UE for acknowledging the reception of a transmission using PLIR

# RRCConnectionReconfiguration-NB

The *RRCConnectionReconfiguration-NB* message is the command to modify an RRC connection. It may convey information for resource configuration (including RBs, MAC main configuration and physical channel configuration) including any associated dedicated NAS information.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to UE

# RRCConnectionReconfiguration-NB message

```
-- ASN1START
RRCConnectionReconfiguration-NB ::= SEQUENCE {
   rrc-TransactionIdentifier
                                          RRC-TransactionIdentifier,
   criticalExtensions
                                          CHOICE {
                                             CHOICE {
       c1
                                                 RRCConnectionReconfiguration-NB-r13-IEs,
           rrcConnectionReconfiguration-r13
           sparel NULL
       criticalExtensionsFuture
                                          SEOUENCE { }
   }
RRCConnectionReconfiguration-NB-r13-IEs ::= SEQUENCE {
   dedicatedInfoNASList-r13 SEQUENCE (SIZE(1..maxDRB-NB-r13)) OF
                                                 DedicatedInfoNAS OPTIONAL,
                                                                                     -- Need ON
   radioResourceConfigDedicated-r13 RadioResourceConfigDedicated-NB-r13 OPTIONAL,
                                                                                    -- Need ON
   fullConfig-r13
                                      ENUMERATED {true}
                                                                         OPTIONAL,
Reestab
   lateNonCriticalExtension
                                      OCTET STRING
                                                                         OPTIONAL.
                                      SEQUENCE {} OPTIONAL
   nonCriticalExtension
}
-- ASN1STOP
```

# RRCConnectionReconfiguration-NB field descriptions

# dedicatedInfoNASList

This field is used to transfer UE specific NAS layer information between the network and the UE. The RRC layer is transparent for each PDU in the list.

### fullConfig

Indicates the full configuration option is applicable for the RRC Connection Reconfiguration message.

Conditional presence	Explanation
Reestab	This field is optionally present, need ON upon the first reconfiguration after RRC
	connection re-establishment; otherwise the field is not present.

# RRCConnectionReconfigurationComplete-NB

The RRCConnectionReconfigurationComplete-NB message is used to confirm the successful completion of an RRC connection reconfiguration.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

# RRCConnectionReconfigurationComplete-NB message

# RRCConnectionReestablishment-NB

The RRCConnectionReestablishment-NB message is used to re-establish SRB1.

Signalling radio bearer: SRB0

RLC-SAP: TM

Logical channel: CCCH

Direction: E-UTRAN to UE

### RRCConnectionReestablishment-NB message

```
-- ASN1START
RRCConnectionReestablishment-NB ::= SEQUENCE {
   rrc-TransactionIdentifier RRC-TransactionIdentifier,
                                    CHOICE {
   criticalExtensions
                                        CHOICE {
           rrcConnectionReestablishment-r13 RRCConnectionReestablishment-NB-r13-IEs,
           sparel NULL
       },
       criticalExtensionsFuture
                                        SEQUENCE { }
RRCConnectionReestablishment-NB-r13-IEs ::= SEQUENCE {
   radioResourceConfigDedicated-r13 RadioResourceConfigDedicated-NB-r13,
                                            NextHopChainingCount,
   nextHopChainingCount-r13
   lateNonCriticalExtension
                                            OCTET STRING
   nonCriticalExtension
                                            RRCConnectionReestablishment-NB-v1430-IEs
                                                                                    OPTIONAL
RRCConnectionReestablishment-NB-v1430-IEs ::= SEQUENCE {
                           BIT STRING (SIZE (16)) OPTIONAL,
   dl-NAS-MAC
                                                                      -- Cond Reestablish-CP
                                    SEQUENCE {}
                                                           OPTIONAL
   nonCriticalExtension
```

-- ASN1STOP

dI-NAS-MAC

# RRCConnectionReestablishment-NB field descriptions

Downlink authentication token, see TS 33.401 [32]. If this field is present, the UE shall ignore the field nextHopChainingCount.

Conditional presence	Explanation
Reestablish-CP	This field is mandatory present for NB-IoT UE using the Control Plane CloT EPS/5GS
	optimisation; otherwise the field is not present.

# RRCConnectionReestablishmentComplete-NB

The RRCConnectionReestablishmentComplete-NB message is used to confirm the successful completion of an RRC connection re-establishment.

Signalling radio bearer: SRB1 or SRB1bis

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

# RRCConnectionReestablishmentComplete-NB message

```
-- ASN1START
RRCConnectionReestablishmentComplete-NB ::= SEQUENCE {
   rrc-TransactionIdentifier RRC-TransactionIdentifier,
                                          CHOICE {
   criticalExtensions
       rrcConnectionReestablishmentComplete-r13
                                                  RRCConnectionReestablishmentComplete-NB-r13-IEs,
       criticalExtensionsFuture
                                                  SEQUENCE {}
}
RRCConnectionReestablishmentComplete-NB-r13-IEs ::= SEQUENCE {
   lateNonCriticalExtension OCTET STRING
                                                                      OPTIONAL,
   nonCriticalExtension
                                      RRCConnectionReestablishmentComplete-NB-v1470-IEs OPTIONAL
RRCConnectionReestablishmentComplete-NB-v1470-IEs ::= SEQUENCE {
   measResultServCell-r14 MeasResultServCell-NB-r14
                                                                 OPTIONAL,
   nonCriticalExtension
                                  RRCConnectionReestablishmentComplete-NB-v1610-IEs OPTIONAL
RRCConnectionReestablishmentComplete-NB-v1610-IEs ::= SEQUENCE {
   rlf-InfoAvailable-r16 ENUMERATED {true}
anr-InfoAvailable-r16 ENUMERATED {true}
                                                                     OPTIONAL,
                                                                      OPTIONAL,
   nonCriticalExtension
                                      RRCConnectionReestablishmentComplete-NB-v1710-IEs OPTIONAL
{\tt RRCConnectionReestablishmentComplete-NB-v1710-IEs} \ ::= \ {\tt SEQUENCE} \ \big\{
   gnss-ValidityDuration-r17
                                      GNSS-ValidityDuration-r17 OPTIONAL,
                                       SEQUENCE {}
   nonCriticalExtension
                                                                      OPTIONAL
-- ASN1STOP
```

# $RRCC onnection Reestablish ment Complete-NB\ field\ descriptions$

#### anr-InfoAvailable

Indicates the availability of ANR measurement information.

#### measResultServCell

This field refers to the last idle mode measurement results taken of the serving cell.

### rlf-InfoAvailable

Indicates the availability of radio link failure related information.

# RRCConnectionReestablishmentRequest-NB

The RRCConnectionReestablishmentRequest-NB message is used to request the reestablishment of an RRC connection.

Signalling radio bearer: SRB0

RLC-SAP: TM

Logical channel: CCCH

Direction: UE to E-UTRAN

### RRCConnectionReestablishmentRequest-NB message

```
-- ASN1START
RRCConnectionReestablishmentRequest-NB ::= SEQUENCE {
                                        CHOICE {
    criticalExtensions
        {\tt rrcConnectionReestablishmentRequest-r13}
                                            RRCConnectionReestablishmentRequest-NB-r13-IEs,
                                            CHOICE {
           rrcConnectionReestablishmentRequest-r14
                                            RRCConnectionReestablishmentRequest-NB-r14-IEs,
                                            CHOICE {
               rrcConnectionReestablishmentRequest-r16
                                           RRCConnectionReestablishmentRequest-5GC-NB-r16-IEs,
                criticalExtensionsFuture
                                            SEQUENCE {}
       }
}
RRCConnectionReestablishmentRequest-NB-r13-IEs ::= SEQUENCE {
   ue-Identity-r13 ReestabUE-Identity, reestablishmentCause-r13 ReestablishmentCause-r14
                                       ReestablishmentCause-NB-r13,
    cqi-NPDCCH-r14
                                        CQI-NPDCCH-NB-r14,
                                     BOOLEAN,
    earlyContentionResolution-r14
                                        BIT STRING (SIZE (20))
}
   reestablishmentCause-r14 ReestablishmentCause-NR-r13
cqi-NPDCCH-r14
RRCConnectionReestablishmentRequest-NB-r14-IEs ::= SEQUENCE {
   ue-Identity-r14
   earlyContentionResolution-r14 BOOLEAN,
                                        BIT STRING (SIZE (1))
}
RRCConnectionReestablishmentRequest-5GC-NB-r16-IEs ::= SEQUENCE {
   reestablishmentCause-r16 ReestablishmentCause-NB-r13 ReestablishmentCause-NB-r13
    cqi-NPDCCH-r16
    spare
                                       BIT STRING (SIZE (1))
ReestablishmentCause-NB-r13 ::=
                                        ENUMERATED {
                                            reconfigurationFailure, otherFailure,
                                            spare2, spare1}
ReestabUE-Identity-CP-NB-r14 ::=
                                        SEQUENCE {
    s-TMSI-r14
                                            S-TMSI,
                                            BIT STRING (SIZE (16)),
    111-NAS-MAC-r14
    ul-NAS-Count-r14
                                            BIT STRING (SIZE (5))
ReestabUE-Identity-CP-5GC-NB-r16 ::= SEQUENCE {
   truncated5G-S-TMSI-r16
                                            BIT STRING (SIZE (40)),
    ul-NAS-MAC-r16
                                            BIT STRING (SIZE (16)),
   ul-NAS-Count-r16
                                            BIT STRING (SIZE (5))
-- ASN1STOP
```

### RRCConnectionReestablishmentRequest-NB field descriptions

#### earlyContentionResolution

Value TRUE indicates UE supports MAC PDU containing the UE contention resolution identity MAC control element without RRC response message. This field is always set to TRUE in this version of the specification.

#### reestablishmentCause

Indicates the failure cause that triggered the re-establishment procedure.

eNB is not expected to reject a RRCConnectionReestablishmentRequest due to unknown cause value being used by the UF.

#### truncated5G-S-TMSI

For description of this field see TS 23.003 [27].

# ue-Identity

UE identity included to retrieve UE context and to facilitate contention resolution by lower layers.

### ul-NAS-Count

For description of this field see TS 33.401 [32] for EPC, and TS 33.501 [86] for 5GC.

### ul-NAS-MAC

For description of this field see TS 33.401 [32] for EPC, and TS 33.501 [86] for 5GC.

# – RRCConnectionReject-NB

The *RRCConnectionReject-NB* message is used to reject the RRC connection establishment or RRC connection resume or to reject the EDT procedure.

Signalling radio bearer: SRB0

RLC-SAP: TM

Logical channel: CCCH

Direction: E-UTRAN to UE

# RRCConnectionReject-NB message

```
-- ASN1START
                                        SEQUENCE {
RRCConnectionReject-NB ::=
    criticalExtensions
                                        CHOICE {
                                            CHOICE {
            rrcConnectionReject-r13
                                                RRCConnectionReject-NB-r13-IEs,
            sparel NULL
        criticalExtensionsFuture
                                            SEQUENCE { }
RRCConnectionReject-NB-r13-IEs ::=
                                        SEQUENCE {
    extendedWaitTime-r13
                                            INTEGER (1..1800),
                                            ENUMERATED {true}
    rrc-SuspendIndication-r13
                                                                         OPTIONAL,
                                                                                     -- Need ON
    lateNonCriticalExtension
                                            OCTET STRING
                                                                         OPTIONAL,
    nonCriticalExtension
                                            SEOUENCE {}
                                                                         OPTIONAL
-- ASN1STOP
```

### RRCConnectionReject-NB field descriptions

#### extendedWaitTime

Value in seconds.

### rrc-SuspendIndication

If present, this field indicates that the UE should remain suspended and not release its stored context.

# RRCConnectionRelease-NB

The *RRCConnectionRelease-NB* message is used to command the release of an RRC connection, or to complete an UP-EDT procedure.

Signalling radio bearer: SRB1 or SRB1bis

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to UE

# RRCConnectionRelease-NB message

```
-- ASN1START
RRCConnectionRelease-NB ::= SEQUENCE {
   rrc-TransactionIdentifier RRC-TransactionIdentifier, criticalExtensions CHOICE {
                                      CHOICE {
       c1
           rrcConnectionRelease-r13
                                             RRCConnectionRelease-NB-r13-IEs,
           sparel NULL
       criticalExtensionsFuture SEQUENCE {}
}
RRCConnectionRelease-NB-r13-IEs ::= SEQUENCE {
                                     ReleaseCause-NB-r13,
   releaseCause-r13
   releaseCause-r13
resumeIdentity-r13
                                     ResumeIdentity-r13 OPTIONAL, -- Need OR INTEGER (1..1800) OPTIONAL. -- Need ON
   extendedWaitTime-r13
                                 RedirectedCarrierInfo-NB-r13 OPTIONAL,
OCTET STRING OPTIONAL,
   redirectedCarrierInfo-r13
                                                                                -- Need ON
   lateNonCriticalExtension
                                                                          OPTIONAL
   nonCriticalExtension
                                     RRCConnectionRelease-NB-v1430-IEs
}
RRCConnectionRelease-NB-v1430-IEs ::= SEQUENCE {
   redirectedCarrierInfo-v1430 RedirectedCarrierInfo-NB-v1430 OPTIONAL, -- Cond
  extendedWaitTime-CPdata-r14 INTEGER (1..1800) OPTIONAL,
                                                                -- Cond NoExtendedWaitTime
                                    RRCConnectionRelease-NB-v1530-IES OPTIONAL
   nonCriticalExtension
RRCConnectionRelease-NB-v1530-IEs ::= SEQUENCE {
   drb-ContinueROHC-r15
}
RRCConnectionRelease-NB-v1550-IEs ::= SEQUENCE {
   redirectedCarrierInfo-v1550
                                    RedirectedCarrierInfo-NB-v1550 OPTIONAL, -- Cond
Redirection-TDD
  nonCriticalExtension
                                    RRCConnectionRelease-NB-v15b0-IEs OPTIONAL
RRCConnectionRelease-NB-v15b0-IEs ::= SEQUENCE {
                                         UENCE {
ENUMERATED {true} OPTIONAL,
   noLastCellUpdate-r15
   nonCriticalExtension
                                         RRCConnectionRelease-NB-v1610-IEs
                                                                                OPTIONAL
RRCConnectionRelease-NB-v1610-IEs ::= SEQUENCE {
                                         I-RNTI-r15 OPTIONAL,
ANR-MeasConfig-NB-r16 OPTIONAL,
   resumeIdentity-r16
                                         I-RNTI-r15
                                                                                -- Need OR
                                                                                -- Need OP
   anr-MeasConfig-r16
   pur-Config-r16
                                         SetupRelease {PUR-Config-NB-r16}
                                                                    OPTIONAL,
   nonCriticalExtension
                                         RRCConnectionRelease-NB-v1700-IEs OPTIONAL
}
RRCConnectionRelease-NB-v1700-IEs ::= SEQUENCE {
   cbp-Index-r17 INTEGER (1..2) OPTIC
nonCriticalExtension SEQUENCE {} OPTIONAL
                                                     OPTIONAL, -- Need OR
ReleaseCause-NB-r13 ::=
                                      ENUMERATED {loadBalancingTAUrequired, other,
                                                 rrc-Suspend, spare1}
RedirectedCarrierInfo-NB-r13::=
                                      CarrierFreq-NB-r13
RedirectedCarrierInfo-NB-v1430 ::=
  redirectedCarrierOffsetDedicated-r14
                                        ENUMERATED {
                                             dB1, dB2, dB3, dB4, dB5, dB6, dB8, dB10,
                                              dB12, dB14, dB16, dB18, dB20, dB22, dB24, dB26},
```

### RRCConnectionRelease-NB field descriptions

### cbp-Index

Index to the coverage-based paging configuration. Value 1 corresponds to the first entry in *cbp-ConfigList* and value 2 corresponds to the second entry in *cbp-ConfigList* in *SystemInformationBlockType22-NB*.

### drb-ContinueROHC

This field indicates whether to continue or reset the header compression protocol context for the DRBs configured with the header compression protocol. Presence of the field indicates that the header compression protocol context continues when UE initiates UP-EDT in the same cell, while absence indicates that the header compression protocol context is reset.

#### extendedWaitTime

Value in seconds.

### extendedWaitTime-CPdata

Wait time for data transfer using the Control Plane CloT EPS optimisation. Value in seconds. See TS 24.301 [35].

#### noLastCellUpdate

Presence of the field indicates that the last used cell for (G)WUS shall not be updated.

#### redirectedCarrierInfo

The redirectedCarrierInfo indicates a carrier frequency (downlink for FDD) and is used to redirect the UE to a NB-IoT carrier frequency, by means of the cell selection upon leaving RRC\_CONNECTED as specified in TS 36.304 [4].

#### redirectedCarrierOffsetDedicated

Parameter "Qoffsetdedicated<sub>frequency</sub>" in TS 36.304 [4]. For NB-IoT carrier frequencies, a UE that supports multi-band cells considers the *redirectedCarrierOffsetDedicated* to be common for all overlapping bands (i.e. regardless of the EARFCN that is used).

#### releaseCause

The releaseCause is used to indicate the reason for releasing the RRC Connection.

E-UTRAN should not set the *releaseCause* to *loadBalancingTAURequired* if the *extendedWaitTime* is present and/or if the UE is connected to 5GC.

# resumeldentity

UE identity to facilitate UE context retrieval at eNB. E-UTRAN configures *resumeIdentity-r13* only when the UE is connected to EPC and configures *resumeIdentity-r16* only when the UE is connected to 5GC.

#### t322

Timer T322 as described in clause 7.3. Value minN corresponds to N minutes.

Conditional presence	Explanation
NoExtendedWaitTime	The field is optionally present, Need ON, if the extendedWaitTime is not included;
	otherwise the field is not present.
Redirection	The field is optionally present, Need ON, if redirectedCarrierInfo is included; otherwise the
	field is not present.
Redirection-TDD	The field is optionally present, Need ON, if <i>redirectedCarrierInfo</i> is included in TDD mode.
	Otherwise, the field is not present.
UP-EDT	The field is optionally present, Need ON, if the UE supports UP-EDT or UP transmission
	using PUR and releaseCause is set to rrc-Suspend; otherwise the field is not present.
EarlySec	For EPC, the field is optionally present, Need ON, if the UE supports early security
_	reactivation or UP-EDT or UP transmission using PUR and releaseCause is set to rrc-
	Suspend; otherwise the field is not present.
	For 5GC, the field is mandatory present if <i>releaseCause</i> is set to <i>rrc-Suspend</i> ; otherwise
	the field is not present.

# RRCConnectionRequest-NB

The RRCConnectionRequest-NB message is used to request the establishment of an RRC connection.

Signalling radio bearer: SRB0

RLC-SAP: TM

Logical channel: CCCH

Direction: UE to E-UTRAN

# RRCConnectionRequest-NB message

```
-- ASN1START
RRCConnectionRequest-NB ::= SEQUENCE {
        rrcConnectionRequest-r13 CHOICE {
rrcConnectionRequest-r13 RRCC
    criticalExtensions
                                         RRCConnectionRequest-NB-r13-IEs,
                                              CHOICE {
            rrcConnectionRequest-r16 criticalExtensionsFuture
                                                   RRCConnectionRequest-5GC-NB-r16-IEs,
                                                   SEQUENCE {}
}
RRCConnectionRequest-NB-r13-IEs ::= SEQUENCE {
                                          InitialUE-Identity,
    ue-Identity-r13
    establishmentCause-r13
                                              EstablishmentCause-NB-r13,
   multiToneSupport-r13
multiCarrierSupport-r13
earlyContentionResolution-r14
                                             ENUMERATED {true}
                                                                                OPTIONAL,
                                              ENUMERATED {true}
                                                                                 OPTIONAL,
                                              BOOLEAN,
    cqi-NPDCCH-r14
                                              CQI-NPDCCH-NB-r14,
    spare
                                              BIT STRING (SIZE (17))
}
RRCConnectionRequest-5GC-NB-r16-IEs ::= SEQUENCE {
    ue-Identity-r16
                                           InitialUE-Identity-5GC-NB-r16,
    ue-Identity-r16
establishmentCause-r16
                                               ENUMERATED {
                                                  mt-Access, mo-Signalling, mo-Data, mo-ExceptionData,
                                                   spare4, spare3, spare2, spare1},
                                              CQI-NPDCCH-NB-r14,
    cqi-NPDCCH-r16
                                              BIT STRING (SIZE (11))
    spare
InitialUE-Identity-5GC-NB-r16 ::= CHOICE {
    ng-5G-S-TMSI-r16
                                              NG-5G-S-TMSI-r15,
    randomValue
                                              BIT STRING (SIZE (48))
-- ASN1STOP
```

# RRCConnectionRequest-NB field descriptions

#### earlyContentionResolution

Value TRUE indicates UE supports MAC PDU containing the UE contention resolution identity MAC control element without RRC response message. This field is always set to TRUE in this version of the specification.

### establishmentCause

Provides the establishment cause for the RRC connection request as provided by the upper layers.

eNB is not expected to reject a RRCConnectionRequest due to unknown cause value being used by the UE.

### multiCarrierSupport

If present, this field indicates that the UE supports multi-carrier operation in the mode, FDD or TDD, used for access.

# multiToneSupport

If present, this field indicates that the UE supports UL multi-tone transmissions on NPUSCH in the mode, FDD or TDD, used for access.

#### randomValue

Integer value in the range 0 to  $2^{48} - 1$ .

### ue-Identity

UE identity included to facilitate contention resolution by lower layers.

# RRCConnectionResume-NB

The RRCConnectionResume-NB message is used to resume the suspended RRC connection.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to UE

# RRCConnectionResume-NB message

```
-- ASN1START
RRCConnectionResume-NB ::=
                            RRC-TransactionIdentifier,
                             SEQUENCE {
   rrc-TransactionIdentifier
   criticalExtensions
                                    CHOICE {
       c1
           rrcConnectionResume-r13
                                            RRCConnectionResume-NB-r13-IEs,
           spare1
       }
}
RRCConnectionResume-NB-r13-IEs ::= SEQUENCE { radioResourceConfigDedicated-r13 RadioR
                                        RadioResourceConfigDedicated-NB-r13 OPTIONAL,
Need ON
   nextHopChainingCount-r13
                                        NextHopChainingCount,
                                        ENUMERATED {true}
   drb-ContinueROHC-r13
                                                                     OPTIONAL,
                                                                                 -- Need OP
   lateNonCriticalExtension
                                        OCTET STRING
                                                                     OPTIONAL,
   {\tt nonCriticalExtension}
                                        RRCConnectionResume-NB-v1610-IEs OPTIONAL
}
RRCConnectionResume-NB-v1610-IEs ::= SEQUENCE {
                                    ENUMERATED {true}
   fullConfig-r16
                                                             OPTIONAL,
                                                                          -- Cond 5GC
   nonCriticalExtension
                                         SEQUENCE {}
                                                              OPTIONAL
-- ASN1STOP
```

# RRCConnectionResume-NB field descriptions

### drb-ContinueROHC

This field indicates whether to continue or reset the header compression protocol context for the DRBs configured with the header compression protocol. Presence of the field indicates that the header compression protocol context continues while absence indicates that the header compression protocol context is reset.

#### fullConfig

Indicates that the full configuration option is applicable for the RRCConnectionResume-NB message.

Conditional presence	Explanation
5GC	The field is optionally present, Need ON, if the UE is connected to 5GC; otherwise the
	field is not present.

# RRCConnectionResumeComplete-NB

The RRCConnectionResumeComplete-NB message is used to confirm the successful completion of an RRC connection resumption

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

### RRCConnectionResumeComplete-NB message

```
-- ASN1START
```

```
RRCConnectionResumeComplete-NB ::= SEQUENCE {
                                            RRC-TransactionIdentifier,
    rrc-TransactionIdentifier
    criticalExtensions
                                                CHOICE {
        rrcConnectionResumeComplete-r13
                                                    RRCConnectionResumeComplete-NB-r13-IEs,
        criticalExtensionsFuture
                                                    SEQUENCE {}
}
RRCConnectionResumeComplete-NB-r13-IEs ::= SEQUENCE {
    selectedPLMN-Identity-r13
                                                INTEGER (1..maxPLMN-r11)
    dedicatedInfoNAS-r13
                                                DedicatedInfoNAS OPTIONAL,
    lateNonCriticalExtension
                                                OCTET STRING
                                                                                OPTIONAL,
    nonCriticalExtension
                                                RRCConnectionResumeComplete-NB-v1470-IEs
                                                                                            OPTIONAL
RRCConnectionResumeComplete-NB-v1470-IEs ::= SEQUENCE {
    measResultServCell-r14
                                                MeasResultServCell-NB-r14 OPTIONAL,
    nonCriticalExtension
                                                RRCConnectionResumeComplete-NB-v1610-IEs
                                                                                            OPTIONAL
{\tt RRCConnectionResumeComplete-NB-v1610-IEs} \; ::= \; {\tt SEQUENCE} \; \left\{ \right. \\
    rlf-InfoAvailable-r16
                                     ENUMERATED {true}
                                                                        OPTIONAL,
                                        ENUMERATED {true}
    anr-InfoAvailable-r16
                                                                        OPTIONAL,
    nonCriticalExtension
                                        RRCConnectionResumeComplete-NB-v1710-IEs
                                                                                    OPTIONAL
RRCConnectionResumeComplete-NB-v1710-IEs ::= SEQUENCE {
    gnss-ValidityDuration-r17 GNSS-ValidityDuration-r17
                                                                        OPTIONAL,
                                        SEQUENCE {}
    nonCriticalExtension
                                                                    OPTIONAL
-- ASN1STOP
```

### RRCConnectionResumeComplete-NB field descriptions

#### anr-InfoAvailable

Indicates the availability of ANR measurement information.

### measResultServCell

This field refers to the last idle mode measurement results taken of the serving cell.

#### rlf-InfoAvailable

Indicates the availability of radio link failure related information.

# selectedPLMN-Identity

Index of the PLMN selected by the UE from the *plmn-IdentityList* included in *SystemInformationBlockType1-NB*. 1 if the 1st PLMN is selected from the *plmn-IdentityList* included in SIB1-NB, 2 if the 2nd PLMN is selected from the *plmn-IdentityList* included in SIB1-NB and so on.

# RRCConnectionResumeRequest-NB

The RRCConnectionResumeRequest-NB message is used to request the resumption of a suspended RRC connection or to perform UP-EDT.

Signalling radio bearer: SRB0

RLC-SAP: TM

Logical channel: CCCH

Direction: UE to E-UTRAN

### RRCConnectionResumeRequest-NB message

```
RRCConnectionResumeRequest-NB-r13-IEs ::= SEQUENCE {
   resumeID-r13
                                               ResumeIdentity-r13,
   shortResumeMAC-I-r13
                                                ShortMAC-I,
    resumeCause-r13
                                                EstablishmentCause-NB-r13,
   earlyContentionResolution-r14
                                               BOOLEAN,
    cgi-NPDCCH-r14
                                                COI-NPDCCH-NB-r14,
   anr-InfoAvailable-r16
                                                BOOLEAN,
                                                BIT STRING (SIZE (3))
    spare
RRCConnectionResumeRequest-5GC-NB-r16-IEs ::= SEQUENCE {
                                                I-RNTI-r15,
    resumeID-r16
    shortResumeMAC-I-r16
                                                ShortMAC-I,
   resumeCause-r16
                                                EstablishmentCause-NB-r13,
   cqi-NPDCCH-r16
                                                COI-NPDCCH-NB-r14,
                                                BIT STRING (SIZE (4))
    spare
-- ASN1STOP
```

# RRCConnectionResumeRequest-NB field descriptions

#### anr-InfoAvailable

Indicates the availability of ANR measurement information when the UE is perfoming UP-EDT.

### earlyContentionResolution

Value TRUE indicates UE supports MAC PDU containing the UE contention resolution identity MAC control element without RRC response message. This field is always set to TRUE in this version of the specification.

#### resumeCause

Provides the resume cause for the RRC connection resume request as provided by the upper layers.

eNB is not expected to reject a RRCConnectionResumeRequest due to unknown cause value being used by the UE.

#### resumeID

UE identity to facilitate UE context retrieval at eNB.

#### shortResumeMAC-I

Authentication token to facilitate UE authentication at eNB.

# RRCConnectionSetup-NB

The RRCConnectionSetup-NB message is used to establish SRB1 and SRB1bis.

Signalling radio bearer: SRB0

RLC-SAP: TM

Logical channel: CCCH

Direction: E-UTRAN to UE

### RRCConnectionSetup-NB message

```
-- ASN1START
RRCConnectionSetup-NB ::=
                              SEQUENCE {
                              RRC-TransactionIdentifier,
   rrc-TransactionIdentifier
   criticalExtensions
                                      CHOICE {
           CHOICE {
rrcConnectionSetup-r13 RRcc
spare1 NULL T
       c1
                                             RRCConnectionSetup-NB-r13-IEs,
           sparel NULL
                                        SEQUENCE {}
       criticalExtensionsFuture
   }
RRCConnectionSetup-NB-r13-IEs ::=
                                     SEOUENCE {
   radioResourceConfigDedicated-r13
                                     RadioResourceConfigDedicated-NB-r13,
   lateNonCriticalExtension
                                          OCTET STRING
                                                                             OPTIONAL.
   nonCriticalExtension
                                          RRCConnectionSetup-NB-v1610-IEs OPTIONAL
}
RRCConnectionSetup-NB-v1610-IEs ::=
                                      SEQUENCE {
   dedicatedInfoNAS-r16
                                         DedicatedInfoNAS
                                                                   OPTIONAL, -- Need ON
```

```
nonCriticalExtension SEQUENCE {} OPTIONAL }
-- ASN1STOP
```

### RRCConnectionSetup-NB field descriptions

#### dedicatedInfoNAS

Downlink NAS PDU in case of mobile terminated CP-EDT. E-UTRAN may include this field only if the RRCConnectionSetup is in response to RRCEarlyDataRequest with establishment cause mt-Access.

# RRCConnectionSetupComplete-NB

The RRCConnectionSetupComplete-NB message is used to confirm the successful completion of an RRC connection establishment.

Signalling radio bearer: SRB1bis

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

### RRCConnectionSetupComplete-NB message

```
-- ASN1START
RRCConnectionSetupComplete-NB ::= SEQUENCE {
    rrc-TransactionIdentifier RRC-TransactionIdentifier,
    criticalExtensions
                                                 CHOICE {
            rrcConnectionSetupComplete-r13 RRCConnectionSetupComplete-NB-r13-IEs,
             criticalExtensionsFuture
                                                     SEQUENCE {}
RRCConnectionSetupComplete-NB-r13-IEs ::= SEQUENCE {
    selectedPLMN-Identity-r13
                                                 INTEGER (1..maxPLMN-r11),
    s-TMSI-r13
                                                 S-TMSI
                                                                                     OPTIONAL,
    registeredMME-r13
                                                RegisteredMME
                                                                                     OPTIONAL,
    dedicatedInfoNAS-r13
                                                DedicatedInfoNAS,
    dedicatedInfoNAS-r13 DedicatedInfoNAS, attachWithoutPDN-Connectivity-r13 ENUMERATED {true} up-CIoT-EPS-Optimisation-r13 ENUMERATED {true} lateNonCriticalExtension OCTET STRING
                                                                                    OPTIONAL,
                                                                                     OPTIONAL,
    lateNonCriticalExtension
                                                 OCTET STRING
                                                                                     OPTIONAL,
    nonCriticalExtension
                                                 RRCConnectionSetupComplete-NB-v1430-IEs OPTIONAL
RRCConnectionSetupComplete-NB-v1430-IEs ::= SEQUENCE {
                                                 ENUMERATED { mapped} OPTIONAL,
    gummei-Type-r14
    dcn-ID-r14
                                                 INTEGER (0..65535)
                                                                                OPTIONAL,
    nonCriticalExtension
                                                 RRCConnectionSetupComplete-NB-v1470-IEs OPTIONAL
RRCConnectionSetupComplete-NB-v1470-IEs ::= SEQUENCE {
    measResultServCell-r14
                                                     MeasResultServCell-NB-r14 OPTIONAL,
    nonCriticalExtension
                                                     RRCConnectionSetupComplete-NB-v1610-IEs OPTIONAL
RRCConnectionSetupComplete-NB-v1610-IEs ::= SEQUENCE {
    ng-5G-S-TMSI-r16
                                                     NG-5G-S-TMSI-r15
                                                                                    OPTIONAL.
    registeredAMF-r16
                                                      RegisteredAMF-r15
                                                                                    OPTIONAL,
                                                      ENUMERATED {mappedFrom5G} OPTIONAL,
    gummei-Type-v1610
                                                      ENUMERATED {native, mapped} OPTIONAL,
    guami-Type-r16
    s-NSSAI-list-r16
                                                      SEQUENCE(SIZE (1..maxNrofS-NSSAI-r15)) OF
                                                              S-NSSAI-r15 OPTIONAL,
                                                     ENUMERATED {true} OPTIONAL,
ENUMERATED {true} OPTIONAL,
ENUMERATED {true} OPTIONAL,
ENUMERATED {true} OPTIONAL,
ENUMERATED {true} OPTIONAL,
PUR-ConfigID-NB-r16 OPTIONAL,
    ng-U-DataTransfer-r16
    up-CIoT-5GS-Optimisation-r16
    rlf-InfoAvailable-r16
    anr-InfoAvailable-r16
    pur-ConfigID-r16
    nonCriticalExtension
                                                      RRCConnectionSetupComplete-NB-v1710-IEs OPTIONAL
```

#### RRCConnectionSetupComplete-NB field descriptions

#### anr-InfoAvailable

This field is used to indicate the availability of ANR measurement information.

### attachWithoutPDN-Connectivity

This field is used to indicate that the UE performs an Attach without PDN connectivity procedure, as indicated by the upper layers, TS 24.301 [35].

#### dcn-ID

The Dedicated Core Network Identity, see TS 23.401 [41].

# guami-Type

This field is used to indicate whether the GUAMI included is native (derived from native 5G-GUTI) or mapped (from EPS, derived from EPS GUTI) as specified in TS 24.501 [95].

### gummei-Type

This field is used to indicate that the GUMMEI included is mapped (from 2G/3G identifiers or 5G identifiers) as indicated by the upper layers, TS 24.301 [35] and TS 24.501 [95]. The value *mapped* indicates the GUMMEI is mapped from 2G/3G identifiers, and *mappedFrom5G* indicates the GUMMEI is mapped from 5G identifiers. A UE shall not include both *gummei-Type-r14* and *gummei-Type-v1610*.

#### measResultServCell

This field refers to the last idle mode measurement results taken of the serving cell.

#### ng-U-DataTransfer

This field is included when the UE supports NG-U data transfer, as indicated by the upper layers, see TS 24.501 [95].

### registeredAMF

This field is used to transfer the GUAMI of the AMF where the UE is registered, as provided by upper layers, see TS 23.003 [27].

# registeredMME

This field is used to transfer the GUMMEI of the MME where the UE is registered, as provided by upper layers.

#### rlf-InfoAvailable

This field is used to indicate the availability of radio link failure related information.

# selectedPLMN-Identity

Index of the PLMN selected by the UE from the *plmn-IdentityList* included in *SystemInformationBlockType1-NB*. 1 if the 1st PLMN is selected from the *plmn-IdentityList* included in SIB1, 2 if the 2nd PLMN is selected from the *plmn-IdentityList* included in SIB1 and so on.

#### s-NSSAI-List

This field is a list of S-NSSAI as indicated by the upper layers. The UE can report up to eight S-NSSAI per NSSAI, see TS 23.003 [27].

### up-CloT-5GS-Optimisation

This field is included when the UE supports User plane CloT 5GS Optimisation, as indicated by the upper layers, see TS 24.501 [95].

# up-CloT-EPS-Optimisation

This field is included when the UE supports S1-U data transfer or the User plane CloT EPS Optimisation, as indicated by the upper layers, see TS 24.301 [35].

# RRCEarlyDataComplete-NB

The RRCEarlyDataComplete-NB message is used to confirm the successful completion of the CP-EDT procedure.

Signalling radio bearer: SRB0

RLC-SAP: TM

Logical channel: CCCH

Direction: E-UTRAN to UE

### RRCEarlyDataComplete-NB message

```
-- ASN1START

RRCEarlyDataComplete-NB-r15 ::= SEQUENCE {
    criticalExtensions CHOICE {
```

```
rrcEarlyDataComplete-r15
                                          RRCEarlyDataComplete-NB-r15-IEs,
       criticalExtensionsFuture
                                          SEQUENCE {}
   }
}
RRCEarlyDataComplete-NB-r15-IEs ::= SEQUENCE {
                                                                     OPTIONAL,
   dedicatedInfoNAS-r15 DedicatedInfoNAS
                                                                                 -- Need ON
                                                                     OPTIONAL,
                                                                                 -- Need ON
   extendedWaitTime-r15
                                      INTEGER (1..1800)
                                      RedirectedCarrierInfo-NB-r13 OPTIONAL,
   redirectedCarrierInfo-r15
                                                                                -- Need ON
   redirectedCarrierInfoExt-r15
                                      RedirectedCarrierInfo-NB-v1430 OPTIONAL,
Redirection
   nonCriticalExtension
                                      RRCEarlyDataComplete-NB-v1590-IEs OPTIONAL
RRCEarlyDataComplete-NB-v1590-IEs ::= SEQUENCE {
                                              OCTET STRING
   lateNonCriticalExtension
                                                                             OPTIONAL,
   nonCriticalExtension
                                              RRCEarlyDataComplete-NB-v1700-IEs OPTIONAL
RRCEarlyDataComplete-NB-v1700-IEs ::= SEQUENCE {
   cbp-Index-r17 INTEGER (1..2)
nonCriticalExtension SEQUENCE {}
                                                         OPTIONAL, -- Need OR
                                                     OPTIONAL
-- ASN1STOP
```

# RRCEarlyDataComplete-NB field descriptions

### cbp-Index

Index to the coverage-based paging configuration. Value 1 corresponds to the first entry in *cbp-ConfigList* and value 2 corresponds to the second entry in *cbp-ConfigList* in *SystemInformationBlockType22-NB*.

### extendedWaitTime

Value in seconds.

Conditional presence	Explanation
Redirection	The field is optionally present, Need ON, if redirectedCarrierInfo is included; otherwise the
	field is not present.

# RRCEarlyDataRequest-NB

The RRCEarlyDataRequest-NB message is used to initiate CP-EDT.

Signalling radio bearer: SRB0

RLC-SAP: TM

Logical channel: CCCH

Direction: UE to E-UTRAN

# RRCEarlyDataRequest-NB message

```
-- ASN1START
                                    SEOUENCE {
RRCEarlyDataRequest-NB-r15 ::=
       rrcEarlyDataRequest-r15 RRCE later
    criticalExtensions
                                        RRCEarlyDataRequest-NB-r15-IEs,
CHOICE {
            rrcEarlyDataRequest-r16 criticalExtensionsFuture
                                                RRCEarlyDataRequest-5GC-NB-r16-IEs,
                                                 SEQUENCE {}
    }
}
RRCEarlyDataRequest-NB-r15-IEs ::= SEQUENCE {
   s-TMSI-r15
                                         S-TMSI.
                                         ENUMERATED {mo-Data, mo-ExceptionData, delayTolerantAccess,
    establishmentCause-r15
mt-Access-v1610},
    cqi-NPDCCH-r15
                                        CQI-NPDCCH-NB-r14
                                                                                  OPTIONAL,
    dedicatedInfoNAS-r15
                                         DedicatedInfoNAS,
                                        RRCEarlyDataRequest-NB-v1590-IES OPTIONAL
  nonCriticalExtension
```

# RRCEarlyDataRequest-NB field descriptions

#### establishmentCause

Provides the establishment cause for the RRC early data request as provided by the upper layers. eNB is not expected to reject a *RRCEarlyDataRequest* due to unknown cause value being used by the UE.

# SCPTMConfiguration-NB

The SCPTMConfiguration-NB message contains the control information applicable for MBMS services transmitted via SC-MRB.

Signalling radio bearer: N/A

RLC-SAP: UM

Logical channel: SC-MCCH

Direction: E-UTRAN to UE

### SCPTMConfiguration-NB message

```
-- ASN1START
SCPTMConfiguration-NB-r14 ::= SEQUENCE {
    sc-mtch-InfoList-r14 SC-MTCH-InfoList-NB-r14,
scptm-NeighbourCellList-r14 SCPTM-NeighbourCellList-NB-r14 (
lateNonCriticalExtension OCTET STRING (
nonCriticalExtension SCPTMConfiguration-NB-v1610 OPTIONAL
                                                                                               OPTIONAL,
                                                                                                               -- Need OP
                                                                                               OPTIONAL,
SCPTMConfiguration-NB-v1610 ::= SEQUENCE {
    sc-mtch-InfoListMultiTB-r16 SC-MTCH-InfoList-NB-r14,
     multiTB-Gap-r16
                                               ENUMERATED {sf16, sf32, sf64, sf128}
                                                                                                 OPTIONAL,
                                 ENUMERATED
SEQUENCE {}
                                                                                                                   -- Need OR
     nonCriticalExtension
                                                                                               OPTIONAL
-- ASN1STOP
```

# SCPTMConfiguration-NB field descriptions

#### multiTB-Gap

Indicates the scheduling gap for SC-MTCH using multiple TB scheduling, see TS 36.211 [21] and TS 36.213 [23]. Value *sf16* corresponds to 16 subframes, *sf32* corresponds to 32 subframes, and so on. If the field is absent, there is no scheduling gap.

#### sc-mtch-InfoList

Provides the configuration of each SC-MTCH not using multiple TB scheduling in the current cell.

#### sc-mtch-InfoListMultiTB

Provides the configuration of each SC-MTCH using multiple TB scheduling in the current cell.

The total number of signalled SC-MTCH configuration in *sc-mtch-InfoList* and *sc-mtch-InfoListMultiTB* cannot be more than *maxSC-MTCH-NB-r14*.

### scptm-NeighbourCellList

List of neighbour cells providing MBMS services via SC-MRB. When absent, the UE shall assume that MBMS services listed in the *SCPTMConfiguration-NB* message are not provided via SC-MRB in any neighbour cell.

# SystemInformation-NB

The *SystemInformation-NB* message is used to convey one or more System Information Blocks. All the SIBs included are transmitted with the same periodicity.

Signalling radio bearer: N/A

RLC-SAP: TM

Logical channel: BCCH

Direction: E-UTRAN to UE

# SystemInformation-NB message

```
-- ASN1START
SystemInformation-NB ::=
                               SEOUENCE {
       systemInformation-r13

CHOICE {
   criticalExtensions
                                           SystemInformation-NB-r13-IEs,
                                           SEQUENCE {}
       criticalExtensionsFuture
SystemInformation-NB-r13-IEs ::= SEQUENCE {
   sib-TypeAndInfo-r13
                                       SEQUENCE (SIZE (1..maxSIB)) OF CHOICE {
       sib2-r13
                                           SystemInformationBlockType2-NB-r13,
       sib3-r13
                                           SystemInformationBlockType3-NB-r13,
       sib4-r13
                                           SystemInformationBlockType4-NB-r13,
       sib5-r13
                                           SystemInformationBlockType5-NB-r13,
       sib14-r13
                                           SystemInformationBlockType14-NB-r13,
       sib16-r13
                                           SystemInformationBlockType16-NB-r13,
       sib15-v1430
                                           SystemInformationBlockType15-NB-r14,
       sib20-v1430
                                           SystemInformationBlockType20-NB-r14,
       sib22-v1430
                                           SystemInformationBlockType22-NB-r14,
       sib23-v1530
                                           SystemInformationBlockType23-NB-r15,
       sib27-v1610
                                           SystemInformationBlockType27-NB-r16,
       sib31-v1700
                                           SystemInformationBlockType31-NB-r17,
       sib32-v1700
                                           SystemInformationBlockType32-NB-r17
   lateNonCriticalExtension
                                       OCTET STRING
                                                                           OPTIONAL,
   nonCriticalExtension
                                       SEQUENCE {}
                                                                           OPTIONAL
-- ASN1STOP
```

# SystemInformationBlockType1-NB

The *SystemInformationBlockType1-NB* message contains information relevant when evaluating if a UE is allowed to access a cell and defines the scheduling of other system information.

Signalling radio bearer: N/A

RLC-SAP: TM

Logical channel: BCCH

Direction: E-UTRAN to UE

# SystemInformationBlockType1-NB message

```
-- ASN1START
    nyperSFN-MSB-r13

cellAccessRelatedInfo-r13

plmn-IdentityList-r13

trackingAreaCode-r13

cellIdentity-r13

cellBarred-r13

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BIT STRING (SIZE (8)),

SEQUENCE {

PLMN-IdentityList-NB-r13,

TrackingAreaCode,

CellIdentity,

ENUMERATE: (1)
SystemInformationBlockType1-NB ::= SEQUENCE {
                                                        ENUMERATED {barred, notBarred},
ENUMERATED {allowed, notAllowed}
          intraFreqReselection-r13
     cellSelectionInfo-r13
q-RxLevMin-r13
q-QualMin-r13
                                                 SEQUENCE {
                                                  Q-RxLevMin,
    q-QualMin-r13
                                                        Q-QualMin-r9
                                                                   dB1dot23, dB2, dB3,
dB4, dB4dot23, dB5,
dB6, dB7, dB8,
dB9} OPTIONAL, -- Cond inband-SamePCI
     schedulingInfoList-r13 SchedulingInfoList-NB-r13, si-WindowLength-r13 ENUMERATED {ms160, ms320, ms480, ms640,
    si-RadioFrameOffset-rl3 INTEGER (1..15) OPTIONAL, -- Need OP systemInfoValueTagList-rl3 SystemInfoValueTagList-NB-rl3 OPTIONAL, lateNonCriticalExtension OCTET STRING OPTIONAL, OPTIONAL, SystemInfoValueTagList-NB-rl3 OPTIONAL, SystemInfoValueTagList-NB-rl3 OPTIONAL, OCTET STRING OPTIONAL, NonCriticalExtension SystemInfoValueTagList-NB-rl3 OPTIONAL
                                                                                                          -- Need OR
                                                  SystemInformationBlockType1-NB-v1350 OPTIONAL
{\tt SystemInformationBlockType1-NB-v1350} ::= \\ {\tt SEQUENCE} \ \{
     cellSelectionInfo-v1350 CellSelectionInfo-NB-v1350 OPTIONAL, -- Cond Qrxlevmin nonCriticalExtension SystemInformationBlockTypel-NB-v1430 OPTIONAL
    nonCriticalExtension
SystemInformationBlockType1-NB-v1430 ::= SEQUENCE {
    cellSelectionInfo-v1430 CellSelectionInfo-NB-v1430 OPTIC nonCriticalExtension SystemInformationBlockTypel-NB-v1450
                                                                                       OPTIONAL,
     OPTIONAL
SystemInformationBlockType1-NB-v1450 ::= SEQUENCE {
    nrs-CRS-PowerOffset-v1450
                                                        ENUMERATED {dB-6, dB-4dot77, dB-3,
                                                                   dB-1dot77, dB0, dB1,
                                                                   dB1dot23, dB2,
                                                                   dB4,
                                                                                dB4dot23, dB5,
                                                                                              dB8,
                                                                   dB6,
                                                                                 dB7,
                                                                   dB9}
                                                                                  OPTIONAL, -- Cond inband-SamePCI-
ExceptAnchor
    nonCriticalExtension
                                                 SystemInformationBlockType1-NB-v1530
     OPTIONAL
SystemInformationBlockType1-NB-v1530 ::= SEQUENCE {
                                 SEQUENCE {
    tdd-Parameters-r15
          tdd-Config-r15
                                                             TDD-Config-NB-r15,
          tdd-SI-CarrierInfo-r15
                                                              ENUMERATED {anchor, non-anchor},
          tdd-SI-SubframesBitmap-r15
                                                              DL-Bitmap-NB-r13 OPTIONAL
                                                                                                             -- Cond TDD-SI-
NonAnchor
    } OPTIONAL, -- Cond TDD
                                      SchedulingInfoList-NB-v1530 OPTIONAL, -- Need OR
    schedulingInfoList-v1530
```

```
nonCriticalExtension
                                     SystemInformationBlockType1-NB-v1610 OPTIONAL
{\tt SystemInformationBlockType1-NB-v1610} \ ::= \ {\tt SEQUENCE} \ \big\{
   cellAccessRelatedInfo-5GC-r16
                                        SEQUENCE {
       plmn-IdentityList-r16
                                        PLMN-IdentityList-5GC-NB-r16,
       trackingAreaCode-5GC-r16
                                        TrackingAreaCode-5GC-r15,
                                                                   -- Need OP
       cellIdentity-r16
                                        CellIdentity OPTIONAL,
       cellBarred-5GC-r16
                                        ENUMERATED {barred, notBarred}
       OPTIONAL, -- Need OR
   nonCriticalExtension
                                    SystemInformationBlockType1-NB-v1700 OPTIONAL
}
SystemInformationBlockType1-NB-v1700 ::= SEQUENCE {
  cellAccessRelatedInfo-NTN-r17 SEQUENCE {
                                            ENUMERATED {barred, notBarred},
      cellBarred-NTN-r17
       cellBarred-NTN-r17
plmn-IdentityList-v1700
                                       PLMN-IdentityList-NB-v1700 OPTIONAL -- Need OR
   } OPTIONAL, -- Need OR
   nonCriticalExtension
                                            SEQUENCE {}
                                                                       OPTIONAL
}
PLMN-IdentityList-NB-r13 ::= SEQUENCE (SIZE (1..maxPLMN-r11)) OF PLMN-IdentityInfo-NB-r13
PLMN-IdentityList-5GC-NB-r16 ::=
                                SEQUENCE (SIZE (1..maxPLMN-r11)) OF PLMN-IdentityInfo-5GC-NB-r16
PLMN-IdentityList-NB-v1700::=
                                 SEQUENCE (SIZE (1..maxPLMN-r11)) OF PLMN-IdentityInfo-NB-v1700
PLMN-IdentityInfo-NB-r13 ::= SEQUENCE {
                                  PLMN-Identity,
   plmn-Identity-r13
   cellReservedForOperatorUse-r13
                                        ENUMERATED {reserved, notReserved},
   attachWithoutPDN-Connectivity-r13
                                         ENUMERATED {true} OPTIONAL -- Need OP
PLMN-IdentityInfo-5GC-NB-r16 ::= SEQUENCE {
   plmn-Identity-5GC-r16
                                CHOICE {
      plmn-Identity-r16
                                            PLMN-Identity,
       plmn-Index-r16
                                            INTEGER (1..maxPLMN-r11)
   }
PLMN-IdentityInfo-NB-v1700 ::= SEQUENCE {
   trackingAreaList-r17
                                TrackingAreaList-NB-r17 OPTIONAL -- Need OP
TrackingAreaList-NB-r17 ::= SEQUENCE (SIZE (1..maxTAC-NB-r17)) OF TrackingAreaCode
SchedulingInfoList-NB-r13 ::= SEQUENCE (SIZE (1..maxSI-Message-NB-r13)) OF SchedulingInfo-NB-r13
SchedulingInfoList-NB-v1530 ::= SEQUENCE (SIZE (1..maxSI-Message-NB-r13)) OF SchedulingInfo-NB-v1530
SchedulingInfo-NB-r13::=
                             SEQUENCE {
                                 ENUMERATED {rf64, rf128, rf256, rf512,
   si-Periodicity-r13
                                            rf1024, rf2048, rf4096, spare},
                                 ENUMERATED {every2ndRF, every4thRF, every8thRF, every16thRF},
   si-RepetitionPattern-r13
   sib-MappingInfo-r13
                                 SIB-MappingInfo-NB-r13,
   si-TB-r13
                                 ENUMERATED {b56, b120, b208, b256, b328, b440, b552, b680}
}
SchedulingInfo-NB-v1530::=
                             SEQUENCE {
  sib-MappingInfo-v1530
                              SIB-MappingInfo-NB-v1530 OPTIONAL -- Need OR
SystemInfoValueTagList-NB-r13 ::= SEQUENCE (SIZE (1.. maxSI-Message-NB-r13)) OF
                                     SystemInfoValueTagSI-r13
SIB-MappingInfo-NB-r13 ::=
                                 SEQUENCE (SIZE (0..maxSIB-1)) OF SIB-Type-NB-r13
SIB-MappingInfo-NB-v1530 ::=
                                 SEQUENCE (SIZE (1..8)) OF SIB-Type-NB-v1530
SIB-Type-NB-r13 ::=
                                 ENUMERATED {
                                     \verb|sibType3-NB-r13|, \verb|sibType4-NB-r13|, \verb|sibType5-NB-r13|, \\
                                     sibType14-NB-r13, sibType16-NB-r13, sibType15-NB-r14,
                                     sibType20-NB-r14, sibType22-NB-r14}
                                ENUMERATED {
SIB-Type-NB-v1530 ::=
```

### SystemInformationBlockType1-NB field descriptions

### attachWithoutPDN-Connectivity

If present, the field indicates that attach without PDN connectivity as specified in TS 24.301 [35] is supported for this PLMN.

#### ce-authorisationOffset

Parameter "Qoffset<sub>authorization</sub>" in TS 36.304 [4]. Value in dB. Value dB5 corresponds to 5 dB, dB10 corresponds to 10 dB and so on.

If the field is absent, the value of 0 dB shall be used for "Qoffset<sub>authorization</sub>".

#### cellBarred

Barred means the cell is barred for connectivity to EPC, as defined in TS 36.304 [4].

#### cellBarred-5GC

Barred means the cell is barred for connectivity to 5GC, as defined in TS 36.304 [4].

#### cellBarred-NTN

Barred means the cell is barred for connectivity to NTN, as defined in TS 36.304 [4].

E-UTRAN always includes cellBarred-NTN and sets cellBarred to 'barred' in an NTN cell.

#### cellIdentity

Indicates the cell identity.

If the field is absent in *cellAccessRelatedInfo-5GC*, the cell identity indicated by the *cellIdentity* field included in *cellAccessRelatedInfo* for EPC is used when connected to 5GC.

### cellReservedForOperatorUse

As defined in TS 36.304 [4].

#### cellSelectionInfo

Cell selection information as specified in TS 36.304 [4].

#### downlinkBitmap

For FDD, NB-IoT downlink subframe configuration for downlink transmission as specified in TS 36.213 [23], clause 16.4.

For TDD, NB-IoT downlink, uplink and special subframes configuration for transmission on the anchor carrier as specified in TS 36.213 [23], clause 16.4. If the bitmap is not present, the UE shall assume that all subframes are valid (except for subframes carrying NPSS/NSSS/NPBCH/SIB1-NB) as specified in TS 36.213 [23], clause 16.4.

### eutraControlRegionSize

Indicates the control region size of the E-UTRA cell for the in-band operation mode, see TS 36.213 [23]. Unit is in number of OFDM symbols.

#### fregBandInfo

A list of *additionalPmax* and *additionalSpectrumEmission* values as defined in TS 36.101 [42], clause 6.2.4F and TS 36.102 [113], clause 6.2B.3 for the NTN capable UE, for the frequency band in *freqBandIndicator*.

#### hyperSFN-MSE

Indicates the 8 most significant bits of hyper-SFN. Together with hyperSFN-LSB in MIB-NB, the complete hyper-SFN is built up. hyper-SFN is incremented by one when the SFN wraps around.

# intraFreqReselection

Used to control cell reselection to intra-frequency cells when the highest ranked cell is barred, or treated as barred by the UE, as specified in TS 36.304 [4].

#### multiBandInfoList

A list of additional frequency band indicators, additionalPmax and additionalSpectrumEmission values, as defined in TS 36.101 [42], table 5.5-1 and TS 36.102 [113], table 5.2-1 for the NTN capable UE. If the UE supports the frequency band in the *freqBandIndicator* IE it shall apply that frequency band. Otherwise, the UE shall apply the first listed band which it supports in the *multiBandInfoList* IE.

#### ng-U-DataTransfer

Indicates whether the NG-U data transfer as specified in TS 24.501 [95] is supported.

### nrs-CRS-PowerOffset

NRS power offset between NRS and E-UTRA CRS, see TS 36.213 [23], clause 16.2.2. Unit in dB. Default value of 0.

#### plmn-IdentityList

List of PLMN identities. The first listed PLMN-Identity is the primary PLMN. If *plmn-IdentityList-v1700* is included, E-UTRAN includes the same number of entries, and listed in the same order, as in *plmn-IdentityList-r13*.

### plmn-Index

Index of the PLMN in the *plmn-IdentityList* field included in *cellAccessRelatedInfo* for EPC, indicating the same PLMN ID is used when connected to 5GC.

#### powerClass14dBm-Offset

Parameter "Poffset" in TS 36.304 [4]. Only applicable for UE supporting *powerClassNB-14dBm*. Value in dB. Value dB-6 corresponds to -6 dB, dB-3 corresponds to -3 dB and so on. If the fied is absent, the UE applies the (default) value of 0 dB for "Poffset" in TS 36.304 [4].

# p-Max

Value applicable for the cell. If absent the UE applies the maximum power according to the UE capability.

### g-QualMin

Parameter "Qqualmin" in TS 36.304 [4].

### q-RxLevMin, delta-RxLevMin

Parameter  $Q_{rxlevmin}$  in TS 36.304 [4]. If delta-RxLevMin is not included, actual value  $Q_{rxlevmin} = q$ -RxLevMin \* 2 [dBm]. If delta-RxLevMin is included, actual value  $Q_{rxlevmin} = (q$ -RxLevMin) \* 2 [dBm].

### SystemInformationBlockType1-NB field descriptions

#### schedulingInfoList

Indicates additional scheduling information of SI messages. The *schedulingInfoList-v1530* (if present) provides additional SIBs mapped into the SI message scheduled via *schedulingInfoList-r13*. If E-UTRAN includes *schedulingInfoList-v1530*, it includes the same number of entries, and listed in the same order, as in *schedulingInfoList-r13*.

### si-Periodicity

Periodicity of the SI-message in radio frames, such that rf256 denotes 256 radio frames, rf512 denotes 512 radio frames, and so on.

#### si-RadioFrameOffset

Offset in number of radio frames to calculate the start of the SI window.

If the field is absent, no offset is applied.

#### si-RepetitionPattern

Indicates the starting radio frames within the SI window used for SI message transmission. Value every2ndRF corresponds to every 2 radio frames, value every4thRF corresponds to every 4 radio frames and so on. The first transmission of the SI message is transmitted from the first radio frame of the SI window.

#### si-TB

This field indicates the transport block size in number of bits and the corresponding number of consecutive NB-IoT downlink subframes that are used to broadcast the SI message. Value b56 corresponds to 56 bits, b120 corresponds to 120 bits and so on. TBS of 56 bits and 120 bits are transmitted over 2 sub-frames, other TBS are transmitted over 8 sub-frames, see TS 36.213 [23], Table 16.4.1.5.1-1.

#### si-WindowLength

Common SI scheduling window for all SIs. Unit in milliseconds, where ms160 denotes 160 milliseconds, ms320 denotes 320 milliseconds and so on.

### sib-MappingInfo

List of the SIBs mapped to this *SystemInformation* message. There is no mapping information of SIB2-NB; it is always present in the first *SystemInformation* message listed in the *schedulingInfoList-r13* list. If present, *sib-MappingInfo-v1530* indicates one or more additional SIBs mapped to the concerned SI message listed in the *schedulingInfoList-r13* list. If *schedulingInfoList-v1530* is present, E-UTRAN ensures that the total number of entries of this field plus *sib-MappingInfo-r13* shall not exceed the value of *maxSIB-1*.

### systemInfoValueTagList

Indicates SI message specific value tags. It includes the same number of entries, and listed in the same order, as in SchedulingInfoList.

### systemInfoValueTaqSI

SI message specific value tag as specified in Clause 5.2.1.3. Common for all SIBs within the SI message other than SIB14-NB and SIB31-NB.

### tdd-Config

Indicates the the TDD specific physical channel configuration.

#### tdd-SI-CarrierInfo

Carrier used for SI message transmission. Value *anchor* corresponds to anchor carrier, value *non-anchor* corresponds to non-anchor carrier. See TS 36.213 [23].

When tdd-SI-CarrierInfo set to value non-anchor then sib-GuardbandInfo in MIB-TDD-NB (in case of operationmodeInfo is set to guardband) or sib-InbandLocation in MIB-TDD-NB (in case of operationmodeInfo is set to inband-SamePCI or inband-DifferentPCI) or sib-StandaloneLocation in MIB-TDD-NB (in case of operationmodeInfo is set to standalone) defines which non-anchor carrier is used (see MIB-NB-TDD).

# tdd-SI-SubframesBitmap

NB-IoT downlink, uplink and special subframes configuration for transmission on the carrier carrying the SI message as specified in TS 36.213 [23], clause 16.4.

### trackingAreaCode, trackingAreaCode-5GC

A trackingAreaCode that is common for all the PLMNs listed in plmn-IdentityList-r13 or plmn-IdentityList-r16 respectively.

# trackingAreaList

A list of tracking area codes for the PLMN listed.

For the first entry in *plmn-IdentityList-v1700*: If this field is present, the list of tracking area codes include the tracking area code in *trackingAreaCode-r13* and the tracking area codes in *trackingAreaList*. If this field is absent, only *trackingAreaCode-r13* applies.

For other entries in *plmn-IdentityList-v1700*: If this field is present, the list of tracking area codes include the tracking area codes in *trackingAreaList*. If this field is absent, the list of tracking area codes of the preceding entry in *plmn-IdentityList-v1700* applies.

The total number of signalled tracking area codes across all PLMNs cannot be more than maxTAC-NB-r17.

### up-CloT-5GS-Optimisation

Indicates whether the UE is allowed to resume the connection with User plane CloT 5GS Optimisation, see TS24.501 [95].

Conditional presence	Explanation
inband	In FDD: The field is mandatory present if IE operationModeInfo in MIB-NB is set to
	inband-SamePCI or inband-DifferentPCI. Otherwise the field is not present.
	In TDD: The field is mandatory present if:
	- IE operationModeInfo in MIB-TDD-NB is set to inband-SamePCI or inband-DifferentPCI
	or
	- IE operationModeInfo in MIB-TDD-NB is set to guardband and IE sib-GuardbandInfo in
	MIB-TDD-NB is set to sib-GuardbandInbandSamePCI or sib-GuardbandinbandDiffPCI
	and IE tdd-SI-CarrierInfo is set to non-anchor
inband-SamePCI	The field is mandatory present, if IE operationModeInfo in MIB-NB is set to inband-
	SamePCI. Otherwise the field is not present.
inband-SamePCI-	The field is optionally present if IE operationModeInfo in MIB-NB is set to a value other
ExceptAnchor	than inband-SamePCI, and at least one non-anchor carrier is inband carrier and uses the
	same PCI as the E-UTRA carrier. Otherwise the field is not present.
Qrxlevmin	This field is optionally present, Need OR, if <i>q-RxLevMin</i> is set to the minimum value.
	Otherwise the field is not present.
SIB1	The field is mandatory present if IE additionalTransmissionSIB1 in MIB-NB is set to
	TRUE. Otherwise the field is optionally present, Need OP.
TDD	The field is mandatory present for TDD; otherwise the field is not present and the UE shall
	delete any existing value for this field.
TDD-SI-NonAnchor	The field is mandatory present for TDD if <i>si-CarrierInfo</i> is set to <i>non-anchor</i> , otherwise the
	field is not present and the UE shall delete any existing value for this field.

# UECapabilityEnquiry-NB

The UECapabilityEnquiry-NB message is used to request the transfer of UE radio access capabilities for NB-IoT.

Signalling radio bearer: SRB1 or SRB1bis

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to UE

# UECapabilityEnquiry-NB message

```
-- ASN1START
UECapabilityEnquiry-NB ::= SEQUENCE {
   apabilityEnquiry ID
rrc-TransactionIdentifier
                                 RRC-TransactionIdentifier,
   criticalExtensions
                                 CHOICE {
          ueCapabilityEnquiry-r13 UECapsparel
      c1
                                        UECapabilityEnquiry-NB-r13-IEs,
                                        NULL
      criticalExtensionsFuture
                                   SEQUENCE {}
OPTIONAL,
                                 SEQUENCE {}
   nonCriticalExtension
                                                                OPTIONAL
-- ASN1STOP
```

# UECapabilityInformation-NB

The *UECapabilityInformation-NB* message is used to transfer of UE radio access capabilities requested by the E-UTRAN.

Signalling radio bearer: SRB1 or SRB1bis

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

# **UECapabilityInformation-NB** message

```
-- ASN1START
UECapabilityInformation-NB ::= SEQUENCE {
   rrc-TransactionIdentifier RRC-TransactionIdentifier,
   criticalExtensions
                                      CHOICE{
           {\tt ueCapabilityInformation-r13} \qquad {\tt UECapabilityInformation-NB-r13-IEs},
           criticalExtensionsFuture
                                          SEQUENCE {}
UECapabilityInformation-NB-r13-IEs ::= SEQUENCE {
   ue-RadioPagingInfo-r13
                                          UE-Capability-NB-r13,
                                          UE-RadioPagingInfo-NB-r13,
   lateNonCriticalExtension
                                          OCTET STRING
                                                                             OPTIONAL.
   nonCriticalExtension
                                          UECapabilityInformation-NB-Ext-r14-IEs
       OPTIONAL
}
UECapabilityInformation-NB-Ext-r14-IES ::= SEQUENCE {
   ue-Capability-ContainerExt-r14
                                          OCTET STRING (CONTAINING UE-Capability-NB-Ext-r14-IEs),
   nonCriticalExtension
                                          SEQUENCE {}
-- ASN1STOP
```

### UECapabilityInformation-NB field descriptions

# ue-RadioPagingInfo

This field contains UE capability information used for paging.

# UEInformationRequest-NB

The UEInformationRequest-NB is the command used by E-UTRAN to retrieve information from the UE.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to UE

# UEInformationRequest-NB message

```
-- ASN1START
UEInformationRequest-NB-r16 ::=
                                       SEQUENCE {
   rrc-TransactionIdentifier
                                      RRC-TransactionIdentifier,
   criticalExtensions
                                       CHOICE {
       ueInformationRequest-r16
                                          UEInformationRequest-NB-r16-IEs,
       criticalExtensionsFuture
                                           SEQUENCE {}
}
UEInformationRequest-NB-r16-IEs ::=
                                      SEQUENCE {
   rach-ReportReq-r16
                                       BOOLEAN,
   rlf-ReportReq-r16
                                       BOOLEAN,
   anr-ReportReq-r16
                                      BOOLEAN,
    lateNonCriticalExtension
                                      OCTET STRING
                                                                          OPTIONAL,
    nonCriticalExtension
                                       SEQUENCE {}
                                                                           OPTIONAL
```

-- ASN1STOP

# **UEInformationRequest-NB** field descriptions

### anr-ReportReq

Indicates whether the UE shall report, if available, ANR measurement information.

# rach-ReportReq

Indicates whether the UE shall report, if available, information about the random access procedure.

### rlf-ReportReg

Indicates whether the UE shall report, if available, information about radio link failure.

# UEInformationResponse-NB

The UEInformationResponse-NB message is used by the UE to transfer the information requested by the E-UTRAN.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

# UEInformationResponse-NB message

```
-- ASN1START
UEInformationResponse-NB-r16
                                          SEQUENCE {
   rrc-TransactionIdentifier
                                  RRC-TransactionIdentifier,
   criticalExtensions
                                     CHOICE {
       ueInformationResponse-r16
                                          UEInformationResponse-NB-r16-IEs,
       criticalExtensionsFuture
                                          SEQUENCE {}
}
UEInformationResponse-NB-r16-IEs ::= SEQUENCE {
                                          RACH-Report-NB-r16
   rach-Report-r16
                                                                             OPTIONAL,
                                                                              OPTIONAL,
   rlf-Report-r16
                                          RLF-Report-NB-r16
   anr-MeasReport-r16
                                           ANR-MeasReport-NB-r16
                                                                              OPTIONAL,
   lateNonCriticalExtension
                                          OCTET STRING
                                                                              OPTIONAL,
   nonCriticalExtension
                                          SEQUENCE {}
                                                                              OPTIONAL
RACH-Report-NB-r16 ::=
                                       SEQUENCE {
   numberOfPreamblesSent-r16
contentionDetected-r16
                                         INTEGER (1..64),
                                          BOOLEAN,
                                          INTEGER (0..2),
   initialNRSRP-Level-r16
   edt-Fallback-r16
                                          BOOLEAN
                                      SEQUENCE {
RLF-Report-NB-r16 ::=
   failedPCellId-r16
                                          CellGlobalIdEUTRA,
   reestablishmentCellId-r16
                                          CellGlobalIdEUTRA
                                                                              OPTIONAL,
   locationInfo-r16
                                          LocationInfo-r10
                                                                              OPTIONAL,
   measResultLastServCell-r16
                                          SEQUENCE {
                                              NRSRP-Range-NB-r14,
       nrsrpResult-r16
       nrsrqResult-r16
                                              NRSRQ-Range-NB-r14
                                                                              OPTIONAL
   timeSinceFailure-r16
                                          TimeSinceFailure-r11
                                                                              OPTIONAL
-- ASN1STOP
```

### **UEInformationResponse-NB** field descriptions

#### anr-MeasReport

Indicates the ANR measurement information.

#### contentionDetected

Value TRUE indicates that contention was detected for at least one of the transmitted preambles, see TS 36.321 [6].

#### edt-Fallback

Value TRUE indicates that EDT fallback indication was received from the lower layers, see TS 36.321 [6].

#### failedPCellId

Indicates the PCell in which RLF is detected.

#### initialNRSRP-Level

Indicates the NRSRP level of the NPRACH resource selected for the first preamble transmission.

#### measResultLastServCell

Refers to the last measurement results taken in the PCell, where radio link failure happened.

#### numberOfPreamblesSent

Indicates the number of RACH preambles that were transmitted. Corresponds to parameter

PREAMBLE\_TRANSMISSION\_COUNTER in TS 36.321 [6].

### reestablishmentCellId

Indicates the cell in which the re-establishment attempt was made after connection failure.

#### timeSinceFailure

Indicates the time that elapsed since the connection failure. Value in seconds. The maximum value 172800 means 172800s or longer.

### ULInformationTransfer-NB

The *ULInformationTransfer-NB* message is used for the uplink transfer of NAS information.

Signalling radio bearer: SRB1 or SRB1bis

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

### **ULInformationTransfer-NB** message

```
-- ASN1START
                               SEQUENCE {
ULInformationTransfer-NB ::=
   criticalExtensions
                                       CHOICE {
                                       ULInformationTransfer-NB-r13-IEs,
           ulInformationTransfer-r13
           criticalExtensionsFuture
                                           SEQUENCE {}
                                       SEQUENCE {
ULInformationTransfer-NB-r13-IEs ::=
    dedicatedInfoNAS-r13
                                          DedicatedInfoNAS,
    lateNonCriticalExtension
                                           OCTET STRING
                                                                           OPTIONAL,
    nonCriticalExtension
                                           SEQUENCE {}
                                                                           OPTIONAL
-- ASN1STOP
```

# 6.7.3 NB-IoT information elements

# 6.7.3.1 NB-IoT System information blocks

# SystemInformationBlockType2-NB

The IE *SystemInformationBlockType2-NB* contains radio resource configuration information that is common for all UEs.

NOTE: UE timers and constants related to functionality for which parameters are provided in another SIB are included in the corresponding SIB.

# SystemInformationBlockType2-NB information element

```
-- ASN1START
{\tt SystemInformationBlockType2-NB-r13 ::= SEQUENCE } \{
   radioResourceConfigCommon-r13 RadioResourceConfigCommonSIB-NB-r13,
    ue-TimersAndConstants-r13
                                            UE-TimersAndConstants-NB-r13,
    freqInfo-r13
                                           SEQUENCE {
                                                CarrierFreq-NB-r13
                                                                        OPTIONAL, -- Need OP
       ul-CarrierFreq-r13
        additionalSpectrumEmission-r13
                                               AdditionalSpectrumEmission
   multiBandInfoList-r13 SEQUENCE (SIZE (1..maxMultiBands)) OF AdditionalSpectrumEmission OPTIONAL, -- Need OR
    lateNonCriticalExtension
                                            OCTET STRING
                                                                             OPTIONAL,
    [[ cp-Reestablishment-r14
                                           ENUMERATED {true}
                                                                             OPTIONAL
                                                                                             -- Need
ΩP
    [[ servingCellMeasInfo-r14
                                          ENUMERATED {true}
                                                                             OPTIONAL,
                                                                                             -- Need
OR
                                            ENUMERATED {true}
                                                                             OPTIONAL
                                                                                             -- Need
       cqi-Reporting-r14
OR
    [[ enhancedPHR-r15
                                                                 OPTIONAL, -- Need OR
                                            ENUMERATED {true}
       freqInfo-v1530
                                            SEQUENCE {
           tdd-UL-DL-AlignmentOffset-r15
                                                TDD-UL-DL-AlignmentOffset-NB-r15
        } OPTIONAL,
                         -- Cond TDD
       cp-EDT-r15
                                            ENUMERATED {true}
                                                                     OPTIONAL,
                                                                                 -- Need OR
                                            ENUMERATED (true)
       up-EDT-r15
                                                                    OPTIONAL
                                                                                 -- Need OR
   ]], [[ earlySecurityReactivation-r16 ENUMERATED {true} ENUMERATED {true}
                                                                    OPTIONAL,
                                                                                 -- Need OR
                                                                 OPTIONAL, -- Need OR
OPTIONAL, -- Need OR
OPTIONAL, -- Need OR
OPTIONAL, -- Need OR
                                            ENUMERATED {true}
ENUMERATED {true}
        up-EDT-5GC-r16
        cp-PUR-EPC-r16
        up-PUR-EPC-r16
                                           ENUMERATED {true}
                                                                   OPTIONAL, -- Need OR
OPTIONAL, -- Need OR
                                            ENUMERATED {true}
ENUMERATED {true}
        cp-PUR-5GC-r16
        up-PUR-5GC-r16
                                          ENUMERATED {true}
        rai-ActivationEnh-r16
                                                                    OPTIONAL
                                                                                 -- Need OR
    ]]
-- ASN1STOP
```

### SystemInformationBlockType2-NB field descriptions

#### additionalSpectrumEmission

The UE requirements related to IE *AdditionalSpectrumEmission* are defined in TS 36.101 [42], clause 6.2.4F and TS 36.102 [113], clause 6.2B.3 for NTN capable UE.

#### cp-EDT

For FDD: This field indicates whether the UE is allowed to initiate CP-EDT when connected to EPC, see 5.3.3.1b. *cp-EDT-5GC* 

For FDD: This field indicates whether the UE is allowed to initiate CP-EDT when connected to 5GC, see 5.3.3.1b. **cp-PUR-5GC** 

For FDD: Indicates whether CP transmission using PUR is allowed in the cell when connected to 5GC, see 5.3.3.1c.

cp-PUR-EPC

For FDD: Indicates whether CP transmission using PUR is allowed in the cell when connected to EPC, see 5.3.3.1c.

#### cp-Reestablishment

This field indicates if the NB-IoT UE is allowed to trigger RRC connection re-establishment when AS security has not been activated.

### cqi-Reporting

For FDD: This field indicates if downlink channel quality reporting in RRCConnectionReestablishmentRequest-NB, RRCConnectionRequest-NB and RRCConnectionResumeRequest-NB message is allowed.

#### earlySecurityReactivation

Indicates that early security reactivation when resuming a suspended RRC connection as specified in 5.3.3.18 is supported.

#### enhancedPHR

For FDD: This field indicates if the NB-IoT UE is allowed to report enhanced PHR in MSG3 as specified in TS 36.321 [6].

#### multiBandInfoList

A list of *additionalSpectrumEmission* i.e. one for each additional frequency band included in *multiBandInfoList* in *SystemInformationBlockType1-NB*, listed in the same order.

#### rai-ActivationEnh

Indicates whether the UE is allowed to report the AS Release Assistance Indication using the DCQR and AS RAI MAC CE as specified in TS 36.321 [6] when connected to EPC.

#### servingCellMeasInfo

This field indicates if serving cell idle mode measurement reporting in RRCConnectionReestablishmentComplete-NB, RRCConnectionResumeComplete-NB and RRCConnectionSetupComplete-NB is allowed.

### tdd-UL-DL-AlignmentOffset

Indicates the offset between the UL carrier frequency center with respect to DL carrier frequency center for the anchor carrier.

# ul-CarrierFreq

For FDD: Uplink carrier frequency as defined in TS 36.101 [42], clause 5.7.3F and TS 36.102 [113], clause 5.4B.2. If *operationModeInfo* in the MIB-NB is set to *standalone* and the field is absent, the value of the carrier frequency is determined by the TX-RX frequency separation defined in TS 36.101 [42], table 5.7.4-1, and the value of the carrier frequency offset is 0. If *operationModeInfo* in the MIB-NB is not set to *standalone*, the field is mandatory present. For TDD: This field is absent and the uplink carrier frequency is same as the downlink frequency.

# up-EDT

For FDD: This field indicates whether the UE is allowed to initiate UP-EDT when connected to EPC, see 5.3.3.1b.

#### up-EDT-5GC

For FDD: This field indicates whether the UE is allowed to initiate UP-EDT when connected to 5GC, see 5.3.3.1b.

# up-PUR-5GC

For FDD: Indicates whether UP transmission using PUR is allowed in the cell when connected to 5GC, see 5.3.3.1c.

#### up-PUR-EPC

For FDD: Indicates whether UP transmission using PUR is allowed in the cell when connected to EPC, see 5.3.3.1c.

Conditional presence	Explanation
TDD	The field is mandatory present for TDD; otherwise the field is not present and the UE shall
	delete any existing value for this field.

# SystemInformationBlockType3-NB

The IE *SystemInformationBlockType3-NB* contains cell re-selection information common for intra-frequency, and interfrequency cell re-selection as well as intra-frequency cell re-selection information other than neighbouring cell related.

# SystemInformationBlockType3-NB information element

```
-- ASN1START

SystemInformationBlockType3-NB-r13 ::= SEQUENCE {
```

```
cellReselectionInfoCommon-r13 SEQUENCE {
       q-Hyst-r13
                                               ENUMERATED {
                                                   dB0, dB1, dB2, dB3, dB4, dB5, dB6, dB8, dB10,
                                                   dB12, dB14, dB16, dB18, dB20, dB22, dB24
    cellReselectionServingFregInfo-r13
                                           SEQUENCE {
                                               ReselectionThreshold
       s-NonIntraSearch-r13
    intraFreqCellReselectionInfo-r13
                                           SEQUENCE {
       q-RxLevMin-r13
                                               Q-RxLevMin,
       q-QualMin-r13
                                                                       OPTIONAL, -- Need OP
                                               Q-QualMin-r9
       p-Max-r13
                                               P-Max
                                                                       OPTIONAL,
                                                                                   -- Need OP
       s-IntraSearchP-r13
                                               ReselectionThreshold,
       t-Reselection-r13
                                               T-Reselection-NB-r13
    freqBandInfo-r13
                                           NS-PmaxList-NB-r13
                                                                          OPTIONAL.
                                                                                      -- Need OR
    multiBandInfoList-r13
                                           SEQUENCE (SIZE (1..maxMultiBands)) OF
                                               NS-PmaxList-NB-r13 OPTIONAL,
                                                                                      -- Need OR
    lateNonCriticalExtension
                                               OCTET STRING
                                                                           OPTIONAL,
    ...,
[[ intraFreqCellReselectionInfo-v1350 IntraFreqCellReselectionInfo-NB-v1350 OPTIONAL -- Cond
Qrxlevmin
    ]],
    [[ intraFreqCellReselectionInfo-v1360 IntraFreqCellReselectionInfo-NB-v1360 OPTIONAL -- Need
OR
    ]],
    [[ intraFreqCellReselectionInfo-v1430 IntraFreqCellReselectionInfo-NB-v1430 OPTIONAL -- Need
OR
    [[ cellReselectionInfoCommon-v1450
                                           CellReselectionInfoCommon-NB-v1450 OPTIONAL
                                                                                           -- Need
OR
    ]],
    [[ nsss-RRM-Config-r15
                                           NSSS-RRM-Config-NB-r15 OPTIONAL, -- Need OR
       npbch-RRM-Config-r15
                                           ENUMERATED {enabled}
                                                                 OPTIONAL
                                                                               -- Need OR
                                           ConnMeasConfig-NB-r17 OPTIONAL,
    [[ connMeasConfig-r17
                                                                               -- Need OR
                                           TimeOffsetUTC-r17
                                                                          OPTIONAL
       t-Service-r17
                                                                                     -- Need OR
    11
}
IntraFreqCellReselectionInfo-NB-v1350 ::=
                                           SEOUENCE {
    delta-RxLevMin-v1350
                                               INTEGER (-8..-1)
IntraFreqCellReselectionInfo-NB-v1360 ::= SEQUENCE {
    s-IntraSearchP-v1360
                                                  ReselectionThreshold-NB-v1360
IntraFreqCellReselectionInfo-NB-v1430 ::= SEQUENCE {
  powerClass14dBm-Offset-r14 ENUMERATED {dB-6, dB-3, dB3, dB6, dB9, dB12}
                                                                                   OPTIONAL,
Need OP
   ce-AuthorisationOffset-r14
                                  ENUMERATED {dB5, dB10, dB15, dB20, dB25, dB30, dB35}
                                                                                           OPTIONAL
    -- Need OP
}
CellReselectionInfoCommon-NB-v1450 ::= SEQUENCE {
                                       ENUMERATED {dB6, dB9, dB12, dB15}
   s-SearchDeltaP-r14
ConnMeasConfig-NB-r17 ::= SEQUENCE {
   s-MeasureIntra-r17 NRSRP-Range-NB-r14,
s-MeasureInter-r17 NRSRP-Range-NB-r14 OPTIONAL, -- Need OP
   neighCellMeasCriteria-r17 SEQUENCE {
               s-MeasureDeltaP-r17 ENUMERATED {dB6, dB9, dB12, dB15},
t-MeasureDeltaP-r17 ENUMERATED {s15, s30, s45, s60}
               OPTIONAL -- Need OR
}
-- ASN1STOP
```

#### SystemInformationBlockType3-NB field descriptions

#### ce-AuthorisationOffset

Parameter "Qoffset<sub>authorization</sub>" in TS 36.304 [4]. Value in dB. Value dB5 corresponds to 5 dB, dB10 corresponds to 10 dB and so on.

If the field is absent, the UE applies the value of ce-authorisationOffset in SystemInformationBlockType1-NB.

#### multiBandInfoList

A list of *additionalPmax* and *additionalSpectrumEmission* values as defined in TS 36.101 [42], clause 6.2.4F and TS 36.102 [113], clause 6.2B.3 for NTN capable UE, applicable for the intra-frequency neighbouring NB-IoT cells if the UE selects the frequency band from *freqBandIndicator* in *SystemInformationBlockType1-NB*.

#### npbch-RRM-Config

For FDD: Configuration for NPBCH-based RRM measurements. See TS 36.214 [24].

If enabled, NPBCH can be used in addition to NRS for RRM measurements for serving cell.

#### nsss-RRM-Config

For FDD: Configuration for NSSS-based RRM measurements for the serving cell.

### powerClass14dBm-Offset

Parameter "Poffset" in TS 36.304 [4], only applicable for UE supporting *powerClassNB-14dBm*. Value in dB. Value dB-6 corresponds to -6 dB, dB-3 corresponds to -3 dB and so on. If the field is absent, the UE applies the (default) value of 0 dB for "Poffset" in TS 36.304 [4].

#### р-Мах

Value applicable for the intra-frequency neighbouring E-UTRA cells. If absent the UE applies the maximum power according to the UE capability.

#### q-Hyst

Parameter Q<sub>hyst</sub> in TS 36.304 [4], Value in dB. Value dB1 corresponds to 1 dB, dB2 corresponds to 2 dB and so on.

### g-QualMin

Parameter "Q<sub>qualmin</sub>" in TS 36.304 [4], applicable for intra-frequency neighbour cells. If the field is not present, the UE applies the (default) value of negative infinity for Q<sub>qualmin</sub>.

#### q-RxLevMin, delta-RxLevMin

Parameter " $Q_{rxlevmin}$ " in TS 36.304 [4], applicable for intra-frequency neighbour cells. If delta-RxLevMin is not included, actual value  $Q_{rxlevmin} = q$ -RxLevMin \* 2 [dBm]. If delta-RxLevMin is included, actual value  $Q_{rxlevmin} = (q$ -RxLevMin + delta-RxLevMin) \* 2 [dBm].

#### s-IntraSearchP

Parameter "SIntraSearchP" in TS 36.304 [4].

In case s-IntraSearchP-v1360 is included, the UE shall ignore s-IntraSearchP (i.e. without suffix).

#### s-MeasureDeltaF

Threshold of change in serving cell NRSRP to trigger neighbour cell measurement in RRC\_CONNECTED state.

#### s-MeasureInter

NRSRP threshold to trigger inter-frequency neighbour cell measurement in RRC\_CONNECTED state. If the field is absent in *connMeasConfig*, the UE applies the value of *s-MeasureIntra*.

### s-MeasureIntra

NRSRP threshold to trigger intra-frequency neighbour cell measurement in RRC\_CONNECTED state.

### s-NonIntraSearch

Parameter "SnonIntraSearchP" in TS 36.304 [4].

### s-SearchDeltaP

Parameter "S<sub>SearchDeltaP</sub>" in TS 36.304 [4]. This parameter is only applicable for UEs supporting relaxed monitoring as specified in TS 36.306 [5]. Value dB6 corresponds to 6 dB, dB9 corresponds to 9 dB and so on.

#### t-MeasureDeltaP

Duration after which the UE is not required to perfom neighbour cell measurement in RRC\_CONNECTED when s-MeasureDeltaP criterion is fulfilled.

### t-Reselection

Parameter "Treselection<sub>NB-loT\_Intra</sub>" in TS 36.304 [4].

#### t-Service

Time information on when a NTN quasi-Earth fixed cell is going to stop serving the area it is currently covering.

Conditional presence	Explanation
Qrxlevmin	This field is optionally present, Need OR, if <i>q-RxLevMin</i> is set to the minimum value.
	Otherwise the field is not present.

### SystemInformationBlockType4-NB

The IE *SystemInformationBlockType4-NB* contains neighbouring cell related information relevant only for intrafrequency cell re-selection. The IE includes cells with specific re-selection parameters.

### SystemInformationBlockType4-NB information element

```
-- ASN1START
SystemInformationBlockType4-NB-r13 ::=
                                            SEOUENCE {
    intraFreqExcludedCellList-r13
lateNonCriticalExtension
...,
    intraFreqNeighCellList-r13
                                        IntraFreqNeighCellList OPTIONAL,
                                            IntraFreqExcludedCellList OPTIONAL, -- Need OR
                                                                 OPTIONAL,
                                       OCTET STRING
    [[ nsss-RRM-Config-r15
                                       NSSS-RRM-Config-NB-r15 OPTIONAL, -- Need OR
        intraFreqNeighCellList-v1530
                                        IntraFreqNeighCellList-NB-v1530 OPTIONAL
                                                                                   -- Need OR
}
IntraFreqNeighCellList-NB-v1530 ::=
                                        SEQUENCE (SIZE (1..maxCellIntra)) OF IntraFreqNeighCellInfo-
NB-v1530
IntraFreqNeighCellInfo-NB-v1530 ::=
                                        SEQUENCE {
    nsss-RRM-Config-r15
                                            NSSS-RRM-Config-NB-r15 OPTIONAL
                                                                                 -- Cond NSSS-RRM
-- ASN1STOP
```

### SystemInformationBlockType4-NB field descriptions

#### intraFreqExcludedCellList

List of exclude-listed intra-frequency neighbouring cells.

#### intraFreqNeighCellList

List of intra-frequency neighbouring cells with specific cell re-selection parameters.

#### nsss-RRM-Config

For FDD: Configuration for NSSS-based RRM measurements.

If intraFreqNeighCellList-NB-v1530 is present then for a cell which is included in intraFreqNeighCellList, the UE applies the nsss-RRM-Config configured in the corresponding entry of IntraFreqNeighCellList-NB-v1530. Otherwise, the UE applies the nsss-RRM-Config configured in SystemInformationBlockType4-NB-r13.

Conditional presence	Explanation
NSSS-RRM	This field is optionally present, Need OR, when nsss-RRM-Config is present in
	SystemInformationBlockType4-NB. Otherwise, the field is not present, and the UE shall
	delete any existing value for this field.

### SystemInformationBlockType5-NB

The IE *SystemInformationBlockType5-NB* contains information relevant only for inter-frequency cell re-selection i.e. information about other NB-IoT frequencies and inter-frequency neighbouring cells relevant for cell re-selection. The IE includes cell re-selection parameters common for a frequency as well as cell specific re-selection parameters.

### SystemInformationBlockType5-NB information element

```
-- ASN1START
SystemInformationBlockType5-NB-r13 ::= SEQUENCE {
    interFreqCarrierFreqList-r13 InterFreqCarrierFreqList-NB-r13,
    t-Reselection-r13
                                           T-Reselection-NB-r13,
    lateNonCriticalExtension
                                           OCTET STRING
                                                                           OPTIONAL,
       scptm-FreqOffset-r14
    Π
                                           INTEGER (1..8)
                                                                           OPTIONAL
                                                                                      -- Need OP
    ]]
}
InterFreqCarrierFreqList-NB-r13 ::= SEQUENCE (SIZE (1..maxFreq)) OF InterFreqCarrierFreqInfo-NB-
InterFreqCarrierFreqInfo-NB-r13 ::= SEQUENCE {
   dl-CarrierFreq-r13
                                       CarrierFreq-NB-r13,
    q-RxLevMin-r13
                                       O-RxLevMin,
    q-QualMin-r13
                                       Q-QualMin-r9
                                                                                      -- Need OP
                                                                      OPTIONAL,
    p-Max-r13
                                                                       OPTIONAL.
                                                                                      -- Need OP
                                       P-Max
    q-OffsetFreq-r13
                                       Q-OffsetRange
                                                                       DEFAULT dB0,
```

```
interFreqNeighCellList-r13
                                    InterFreqNeighCellList-NB-r13 OPTIONAL,
   interFreqExcludedCellList-r13
                                        Need OR
   multiBandInfoList-r13
                                    MultiBandInfoList-NB-r13
                                                                  OPTIONAL,
                                                                                 -- Need OR
   [[ delta-RxLevMin-v1350
                                    INTEGER (-8..-1)
                                                         OPTIONAL -- Cond Qrxlevmin
   ]],
[[ powerClass14dBm-Offset-r14
                                    ENUMERATED {dB-6, dB-3, dB3, dB6, dB9, dB12}
OPTIONAL, -- Need OP
      ce-AuthorisationOffset-r14
                                    ENUMERATED {dB5, dB10, dB15, dB20, dB25, dB30, dB35}
   OPTIONAL -- Need OP
   ]],
   [[ nsss-RRM-Config-r15
                                    NSSS-RRM-Config-NB-r15 OPTIONAL, -- Need OR
       interFreqNeighCellList-v1530 InterFreqNeighCellList-NB-v1530 OPTIONAL -- Need OR
   [[ dl-CarrierFreq-v1550
                                    CarrierFreq-NB-v1550 OPTIONAL -- Cond TDD
   ]]
}
InterFreqNeighCellList-NB-r13 ::=
                                    SEQUENCE (SIZE (1..maxCellInter)) OF PhysCellId
InterFreqNeighCellList-NB-v1530 ::=
                                    SEQUENCE (SIZE (1..maxCellInter)) OF InterFreqNeighCellInfo-
NB-v1530
InterFreqNeighCellInfo-NB-v1530 ::=
                                    SEOUENCE {
                                       NSSS-RRM-Config-NB-r15 OPTIONAL -- Cond NSSS-RRM
   nsss-RRM-Config-r15
InterFreqExcludedCellList-NB-r13 ::= SEQUENCE (SIZE (1..maxExcludedCell)) OF PhysCellId
-- ASN1STOP
```

#### SystemInformationBlockType5-NB field descriptions

#### ce-AuthorisationOffset

Parameter "Qoffset<sub>authorization</sub>" in TS 36.304 [4]. Value in dB. Value dB5 corresponds to 5 dB, dB10 corresponds to 10 dB and so on. If the field is absent, the UE applies the value of ce-*authorisationOffset* in *SystemInformationBlockType1-NB*.

### interFreqExcludedCellList

List of exclude-listed inter-frequency neighbouring cells.

#### interFreqCarrierFreqList

List of neighbouring inter-frequencies. E-UTRAN does not configure more than one entry for the same physical frequency regardless of the E-ARFCN used to indicate this.

#### interFreqNeighCellList

List of inter-frequency neighbouring cells. E-UTRAN may include *interFreqNeighCellList* when including *InterFreqNeighCellList-NB-v1530* to provide cell specific NSSS-based measurement configuration. The UE that does not support NSSS-based RRM measurements shall ignore this field in this version of the specification.

#### multiBandInfoList

Indicates the list of frequency bands, with the associated *additionalPmax* and *additionalSpectrumEmission* values as defined in TS 36.101 [42], clause 6.2.4, in addition to the band represented by dl-CarrierFreq for which cell reselection parameters are common.

#### nsss-RRM-Config

For FDD: Configuration for NSSS-based RRM measurements.

If InterFreqNeighCellList-NB-v1530 is present then for a cell which is included in interFreqNeighCellList, the UE applies the nsss-RRM-Config configured in the corresponding entry of InterFreqNeighCellList-NB-v1530. Otherwise, the UE applies the nsss-RRM-Config configured in InterFreqCarrierFreqInfo.

#### p-Max

Value applicable for the neighbouring NB-IoT cells on this carrier frequency. If absent the UE applies the maximum power according to the UE capability.

### powerClass14dBm-Offset

Parameter "Poffset" in TS 36.304 [4], only applicable for UE supporting *powerClassNB-14dBm*. Value in dB. Value dB-6 corresponds to -6 dB, dB-3 corresponds to -3 dB and so on. If the field is absent, the UE applies the (default) value of 0 dB for "Poffset" in TS 36.304 [4]

#### q-OffsetFreq

Parameter "Qoffsetfrequency" in TS 36.304 [4].

#### q-QualMin

Parameter " $Q_{qualmin}$ " in TS 36.304 [4]. If the field is not present, the UE applies the (default) value of negative infinity for  $Q_{qualmin}$ .

#### g-RxlevMin, delta-RxLevMin

Parameter " $Q_{RxLevmin}$ " in TS 36.304 [4]. If *delta-RxLevMin* is not included, actual value  $Q_{rxlevmin} = q-RxLevMin * 2$  [dBm]. If *delta-RxLevMin* is included, actual value  $Q_{rxlevmin} = (q-RxLevMin + delta-RxLevMin) * 2$  [dBm].

#### scptm-FreqOffset

Parameter Qoffsetscptm in TS 36.304 [4]. Actual value Qoffsetscptm = field value \* 2 [dB].

If the field is absent, the UE uses infinite dBs for the SC-PTM frequency offset with cell ranking as specified in TS 36.304 [4].

### t-Reselection

Parameter "Treselection<sub>NB-IoT\_Inter</sub>" in TS 36.304 [4].

Conditional presence	Explanation
NSSS-RRM	This field is optionally present, Need OR, when nsss-RRM-Config is present in
	InterFreqCarrierFreqInfo. Otherwise, the field is not present, and the UE shall delete any
	existing value for this field.
Qrxlevmin	This field is optionally present, Need OR, if <i>q-RxLevMin</i> is set to the minimum value.
	Otherwise the field is not present.
TDD	The field is optionally present, Need OR, in TDD. Otherwise, the field is not present.

### SystemInformationBlockType14-NB

The IE SystemInformationBlockType14-NB contains the AB parameters for EPC and 5GC.

### SystemInformationBlockType14-NB information element

```
lateNonCriticalExtension OCTET STRING
                                                           OPTIONAL,
   [[ ab-PerNRSRP-r15
                                ENUMERATED {thresh1, thresh2} OPTIONAL
                                                                          -- Need OR
   ]],
   [ [
      uac-Param-r16
                                 UAC-Param-NB-r16
                                                               OPTIONAL -- Need OR
   ]]
}
AB-ConfigPLMN-NB-r13 ::= SEQUENCE {
   ab-Config-r13
                                 AB-Config-NB-r13
                                                          OPTIONAL -- Need OR
AB-Config-NB-r13 ::= SEQUENCE {
   ab-BarringBitmap-r13 ENUMERATED {a, b, c},
                                 BIT STRING (SIZE(10)),
   ab-BarringForExceptionData-r13 ENUMERATED {true}
                                                           OPTIONAL, -- Need OP
   ab-BarringForSpecialAC-r13 BIT STRING (SIZE(5))
uac-BarringCommon UAC-Barring-NB-r16,
uac-BarringPerPLMN-List SEQUENCE (SIZE (1..maxPLMN-r11)) OF UAC-Barring-NB-r16
UAC-Barring-NB-r16 ::=
                         SEQUENCE {
   uac-BarringPerCatList-r16 UAC-BarringPerCatList-NB-r10 OFIIONAL, -- Need OR
   uac-BarringForAccessIdentity-r16
BIT STRING (SIZE(7))
UAC-BarringPerCatList-NB-r16 ::= SEQUENCE (SIZE (1..maxAccessCat-1-r15)) OF UAC-BarringPerCat-NB-r16
UAC-BarringPerCat-NB-r16 ::= SEQUENCE {
   uac-accessCategory-r16 INTEGER (1..maxAccessCat-1-r15),
                                ENUMERATED {p00, p05, p10, p15, p20, p25, p30, p40,
   uac-BarringFactor-r16
                                            p50, p60, p70, p75, p80, p85, p90, p95}
                                ENUMERATED {s4, s8, s16, s32, s64, s128, s256, s512}
   uac-BarringTime-r16
}
-- ASN1STOP
```

### SystemInformationBlockType14-NB field descriptions

#### ab-BarringBitmap

Access class barring for AC 0-9. The first/ leftmost bit is for AC 0, the second bit is for AC 1, and so on.

### ab-BarringForExceptionData

Indicates whether ExceptionData is subject to access barring.

#### ab-BarringForSpecialAC

Access class barring for AC 11-15. The first/ leftmost bit is for AC 11, the second bit is for AC 12, and so on.

#### ab-Category

Indicates the category of UEs for which AB applies. Value *a* corresponds to all UEs, value *b* corresponds to the UEs that are neither in their HPLMN nor in a PLMN that is equivalent to it, and value *c* corresponds to the UEs that are neither in the PLMN listed as most preferred PLMN of the country where the UEs are roaming in the operator-defined PLMN selector list on the USIM, nor in their HPLMN nor in a PLMN that is equivalent to their HPLMN, see TS 22.011 [10].

### ab-Common

The AB parameters applicable for all PLMN(s).

#### ab-Param

The AB parameters for connectivity to EPC

### ab-PerNRSRP

Access barring per NRSRP. Value *thresh1* corresponds to the first entry configured in *rsrp-ThresholdsPrachInfoList*, value *thresh2* corresponds to the second entry configured in *rsrp-ThresholdsPrachInfoList*.

#### ab-PerPLMN-List

The AB parameters per PLMN, listed in the same order as the PLMN(s) occur in *plmn-IdentityList* in *SystemInformationBlockType1-NB*.

#### SystemInformationBlockType14-NB field descriptions

#### ab-BarringBitmap

Access class barring for AC 0-9. The first/ leftmost bit is for AC 0, the second bit is for AC 1, and so on.

#### ab-BarringForExceptionData

Indicates whether ExceptionData is subject to access barring.

### ab-BarringForSpecialAC

Access class barring for AC 11-15. The first/ leftmost bit is for AC 11, the second bit is for AC 12, and so on.

#### uac-AC1-SelectAssistInfo

Information used to determine whether Access Category 1 applies to the UE, as defined in TS 22.261 [96]. The field is forwarded to upper layers, if present.

### uac-accessCategory

The Access Category according to TS 22.261 [96].

### uac-BarringCommon

The UAC parameters applicable for all PLMN(s).

#### uac-BarringFactor

Represents the probability that access attempt would be allowed during access barring check.

#### uac-BarringForAccessIdentity

Indicates whether access attempt is allowed for each Access Identity. The leftmost bit, bit 0 in the bit string corresponds to Access Identity 1, bit 1 in the bit string corresponds to Access Identity 2, bit 2 in the bit string corresponds to Access Identity 11, bit 3 in the bit string corresponds to Access Identity 12, and so on. Value 0 means that access attempt is allowed for the corresponding access identity.

### uac-BarringPerCatList

Access control parameters for each access category for the specific PLMN.

#### uac-BarringPerPLMN-List

The UAC parameters per PLMN, listed in the same order as the PLMN(s) occur in *plmn-IdentityList* in *SystemInformationBlockType1-NB*.

#### uac-BarringTime

The average time in seconds before a new access attempt is to be performed after an access attempt was barred at access barring check for the same access category, see 5.3.16.5.

#### uac-Param

The UAC parameters for connectivity to 5GC.

### SystemInformationBlockType15-NB

The IE *SystemInformationBlockType15-NB* contains the MBMS Service Area Identities (SAI) of the current and/ or neighbouring carrier frequencies.

### SystemInformationBlockType15-NB information element

```
-- ASN1START
SystemInformationBlockType15-NB-r14 ::= SEQUENCE {
    mbms-SAI-IntraFreq-r14
                                          MBMS-SAI-List-r11
                                                                            OPTIONAL,
                                                                                        -- Need OR
    mbms-SAI-InterFreqList-r14
                                           MBMS-SAI-InterFreqList-NB-r14
                                                                           OPTIONAL,
                                                                                        -- Need OR
    lateNonCriticalExtension
                                           OCTET STRING
                                                                            OPTIONAL,
MBMS-SAI-InterFreqList-NB-r14 ::=
                                       SEQUENCE (SIZE (1..maxFreq)) OF MBMS-SAI-InterFreq-NB-r14
                                       SEQUENCE {
MBMS-SAI-InterFreq-NB-r14 ::=
   dl-CarrierFreq-r14
                                           CarrierFreq-NB-r13,
    mbms-SAI-List-r14
                                           MBMS-SAI-List-r11,
   multiBandInfoList-r14
                                            AdditionalBandInfoList-NB-r14 OPTIONAL
                                                                                        -- Need OR
-- ASN1STOP
```

#### SystemInformationBlockType15-NB field descriptions

#### mbms-SAI-InterFreqList

Contains a list of neighboring frequencies including additional frequency bands, if any, that provide MBMS services and the corresponding MBMS SAIs.

#### mbms-SAI-IntraFreq

Contains the list of MBMS SAIs for the current frequency. A duplicate MBMS SAI indicates that this and all following SAIs are not offered by this cell but only by neighbour cells on the current frequency. For MBMS service continuity, the UE shall use all MBMS SAIs listed in *mbms-SAI-IntraFreq* to derive the MBMS frequencies of interest.

#### mbms-SAI-List

Contains a list of MBMS SAIs for a specific frequency.

#### multiBandInfoList

A list of additional frequency bands applicable for the cells participating in the SC-PTM transmission.

## SystemInformationBlockType16-NB

The IE *SystemInformationBlockType16-NB* contains information related to GPS time and Coordinated Universal Time (UTC). The UE may use the parameters provided in this system information block to obtain the UTC, the GPS and the local time.

```
-- ASN1START

SystemInformationBlockType16-NB-r13 ::= SystemInformationBlockType16-r11

-- ASN1STOP
```

# SystemInformationBlockType20-NB

For FDD, the IE *SystemInformationBlockType20-NB* contains the information required to acquire the control information associated with transmission of MBMS using SC-PTM.

### SystemInformationBlockType20-NB information element

```
-- ASN1START
SystemInformationBlockType20-NB-r14 ::= SEQUENCE {
                                           NPDCCH-SC-MCCH-Config-NB-r14,
    npdcch-SC-MCCH-Config-r14
    sc-mcch-CarrierConfig-r14
                                            CHOICE {
        dl-CarrierConfig-r14
                                                DL-CarrierConfigCommon-NB-r14,
        dl-CarrierIndex-r14
                                                INTEGER (0.. maxNonAnchorCarriers-NB-r14)
    sc-mcch-RepetitionPeriod-r14
                                            ENUMERATED {rf32, rf128, rf512, rf1024,
                                                        rf2048, rf4096, rf8192, rf16384},
    sc-mcch-Offset-r14
                                            INTEGER (0..10),
    sc-mcch-ModificationPeriod-r14
                                            ENUMERATED { rf32, rf128, rf256, rf512, rf1024,
                                                    rf2048, rf4096, rf8192, rf16384, rf32768,
                                                    rf65536, rf131072, rf262144, rf524288,
                                                    rf1048576, spare1},
    sc-mcch-SchedulingInfo-r14
                                            SC-MCCH-SchedulingInfo-NB-r14
                                                                                OPTIONAL,
                                                                                             -- Need
ΩP
    lateNonCriticalExtension
                                            OCTET STRING
                                                                                OPTIONAL,
NPDCCH-SC-MCCH-Config-NB-r14 ::=
                                   SEQUENCE {
    npdcch-NumRepetitions-SC-MCCH-r14
                                            ENUMERATED {r1, r2, r4, r8, r16,
                                                        r32, r64, r128, r256,
                                                        r512, r1024, r2048},
   npdcch-StartSF-SC-MCCH-r14
                                            ENUMERATED {vldot5, v2, v4, v8,
                                                        v16, v32, v48, v64},
    npdcch-Offset-SC-MCCH-r14
                                            ENUMERATED {zero, oneEighth, oneQuarter,
                                                        threeEighth, oneHalf, fiveEighth,
                                                        threeQuarter, sevenEighth}
SC-MCCH-SchedulingInfo-NB-r14::=
                                    SEOUENCE
    onDurationTimerSCPTM-r14
                                                ENUMERATED {
                                                    pp1, pp2, pp3, pp4,
                                                    pp8, pp16, pp32, spare},
   drx-InactivityTimerSCPTM-r14
                                                ENUMERATED {
```

```
pp0, pp1, pp2, pp3,
                                                      pp4, pp8, pp16, pp32},
   schedulingPeriodStartOffsetSCPTM-r14
                                                  CHOICE {
                                                      INTEGER(0..9)
        sf10
        sf20
                                                      INTEGER(0..19),
        sf32
                                                      INTEGER(0..31),
                                                      INTEGER(0..39),
        sf40
                                                      INTEGER(0..63),
        sf64
        sf80
                                                      INTEGER(0..79),
        sf128
                                                      INTEGER(0..127),
        sf160
                                                      INTEGER(0..159),
                                                      INTEGER(0..255),
        sf256
        sf320
                                                      INTEGER(0..319),
        sf512
                                                      INTEGER(0..511),
        sf640
                                                      INTEGER(0..639),
                                                      INTEGER(0..1023),
        sf1024
                                                      INTEGER(0..2047),
        sf2048
        sf4096
                                                      INTEGER(0..4095),
        sf8192
                                                      INTEGER(0..8191)
   },
-- ASN1STOP
```

#### SystemInformationBlockType20-NB field descriptions

#### dl-CarrierConfig

Downlink carrier used for SC-MCCH. E-UTRAN cannot configure a downlink carrier operating in mixed operation mode.

#### dl-CarrierIndex

Index to a downlink carrier signalled in system information. Value '0' corresponds to the anchor carrier, value '1' corresponds to the first entry in *dl-ConfigList* in *SystemInformationBlockType22-NB*, value '2' corresponds to the second entry in *dl-ConfigList* and so on.

#### drx-InactivityTimerSCPTM

Timer for SC-MCCH reception in TS 36.321 [6]. Value in number of NPDCCH periods. Value pp1 corresponds to 1 NPDCCH period, pp2 corresponds to 2 NPDCCH periods and so on.

### npdcch-NumRepetitions-SC-MCCH

The maximum number of NPDCCH repetitions the UE needs to monitor for SC-MCCH multicast search space, see TS 36.213 [23].

#### npdcch-Offset-SC-MCCH

Fractional period offset of starting subframe for NPDCCH multicast search space for SC-MCCH, see TS 36.213 [23].

#### npdcch-StartSF-SC-MCCH

Starting subframes configuration of the NPDCCH multicast search space for SC-MCCH, see TS 36.213 [23].

#### onDurationTimerSCPTM

Timer for SC-MCCH reception in TS 36.321 [6]. Value in number of NPDCCH periods. Value pp1 corresponds to 1 NPDCCH period, pp2 corresponds to 2 NPDCCH periods and so on.

#### schedulingPeriodStartOffsetSCPTM

SCPTM-SchedulingCycle and SCPTM-SchedulingOffset in TS 36.321 [6]. The value of SCPTM-SchedulingCycle is in number of sub-frames. Value sf10 corresponds to 10 sub-frames, sf20 corresponds to 20 sub-frames and so on. The value of SCPTM-SchedulingOffset is in number of sub-frames.

### sc-mcch-CarrierConfig

Downlink carrier that is used for SC-MCCH.

#### sc-mcch-ModificationPeriod

Defines periodically appearing boundaries, i.e. radio frames for which (H-SFN \* 1024 +SFN) mod *sc-mcch-ModificationPeriod* = 0. The contents of different transmissions of SC-MCCH information can only be different if there is at least one such boundary in-between them. Value rf32 corresponds to 32 radio frames, value rf128 corresponds to 128 radio frames and so on.

#### sc-mcch-Offset

Indicates, together with the sc-mcch-RepetitionPeriod, the boundary of the repetition period: (H-SFN \* 1024 +SFN) mod sc-mcch-RepetitionPeriod = sc-mcch-Offset.

#### sc-mcch-RepetitionPeriod

Defines the interval between transmissions of SC-MCCH information, in radio frames. Value rf32 corresponds to 32 radio frames, rf128 corresponds to 128 radio frames and so on.

#### sc-mcch-SchedulingInfo

DRX information for the SC-MCCH. If the field is absent, DRX is not used for SC-MCCH reception.

# SystemInformationBlockType22-NB

The IE *SystemInformationBlockType22-NB* contains radio resource configuration for paging and random access procedure on non-anchor carriers.

### SystemInformationBlockType22-NB information element

```
-- ASN1START
{\tt SystemInformationBlockType22-NB-r14 ::= SEQUENCE } \{
                                      DL-ConfigCommonList-NB-r14 OPTIONAL,
   dl-ConfigList-r14
                                                                              -- Need OR
                                      UL-ConfigCommonList-NB-r14 OPTIONAL, -- Need OR
PagingWeight-NB-r14 OPTIONAL, -- Cond pcch-config
   ul-ConfigList-r14
   pagingWeightAnchor-r14
                                     PagingWeight-NB-r14
   \verb|nprach-ProbabilityAnchorList-r14| & \verb|NPRACH-ProbabilityAnchorList-NB-r14| OPTIONAL|,
nprach-config
   lateNonCriticalExtension
                                      OCTET STRING
                                                                      OPTIONAL,
   dl-ConfigListMixed-r15 DL-ConfigCommonList-NB-r14 OPTIONAL,
                                                                                  -- Cond dl-
ConfigList
           ul-ConfigListMixed-r15
                                          UL-ConfigCommonList-NB-r14 OPTIONAL,
                                                                                  -- Cond ul-
ConfigList
           pagingDistribution-r15
                                          ENUMERATED {true}
                                                                     OPTIONAL,
                                                                                 -- Need OR
                                          ENUMERATED {true}
                                                                     OPTIONAL
           nprach-Distribution-r15
                                                                                 -- Need OR
                                                                      OPTIONAL,
                                                                                 -- Need OR
       ul-ConfigList-r15
                                      UL-ConfigCommonListTDD-NB-r15 OPTIONAL
                                                                                 -- Cond TDD
   11,
       coverageBasedPagingConfig-r17 CoverageBasedPagingConfig-NB-r17 OPTIONAL -- Need OR
   11
   ]]
DL-ConfigCommonList-NB-r14 ::=
                                 SEQUENCE (SIZE (1.. maxNonAnchorCarriers-NB-r14)) OF
                                          DL-ConfigCommon-NB-r14
UL-ConfigCommonList-NB-r14 ::= SEQUENCE (SIZE (1.. maxNonAnchorCarriers-NB-r14)) OF
                                          UL-ConfigCommon-NB-r14
UL-ConfigCommonListTDD-NB-r15 ::= SEQUENCE (SIZE (1.. maxNonAnchorCarriers-NB-r14)) OF
                                          UL-ConfigCommonTDD-NB-r15
CoverageBasedPagingConfig-NB-r17 ::= SEQUENCE {
   cbp-HystTimer-r17 ENUMERATED {ms2560, ms7680, ms12800, ms17920, ms23040, ms28160, ms33280,
   cbp-ConfigList-r17 SEQUENCE (SIZE (1.. 2)) OF CBP-Config-NB-r17
CBP-Config-NB-r17 ::= SEQUENCE {
   nrsrpMin-r17 RSRP-Range,
   nB-r17 ENUMERATED {fourT, twoT, oneT, halfT, quarterT, one8thT, one16thT, one32ndT,
                           one64thT, one128thT, one256thT, one512thT, one1024thT, spare3,
                       spare2, spare1} OPTIONAL, -- Need OP
   ue-SpecificDRX-CycleMin-r17 ENUMERATED {rf32, rf64, rf128, rf256} OPTIONAL -- Need OR
}
DL-ConfigCommon-NB-r14 ::=
                                  SEQUENCE {
   dl-CarrierConfig-r14
                                      DL-CarrierConfigCommon-NB-r14,
   pcch-Config-r14
                                  PCCH-Config-NB-r14
                                                             OPTIONAL, -- Need OR
   [[ wus-Config-r15
                                      WUS-ConfigPerCarrier-NB-r15
                                                                    OPTIONAL
                                                                                  -- Cond WUS
   ]],
   [[ gwus-Config-r16
                                      WUS-ConfigPerCarrier-NB-r15
                                                                    OPTIONAL
   11,
   [[ pcch-Config-r17
                                  PCCH-Config-NB-r17 OPTIONAL -- Cond pcch-config2
   ]]
PCCH-Config-NB-r14 ::=
                                   SEQUENCE {
   npdcch-NumRepetitionPaging-r14
                                      ENUMERATED {
                                          r1, r2, r4, r8, r16, r32, r64, r128,
                                          r256, r512, r1024, r2048,
                                           spare4, spare3, spare2, spare1} OPTIONAL, -- Need OP
                                          PagingWeight-NB-r14 DEFAULT w1,
   pagingWeight-r14
PCCH-Config-NB-r17 ::= SEQUENCE {
                                  INTEGER (1..2),
   cbp-Index-r17
```

```
npdcch-NumRepetitionPaging-r17 ENUMERATED {r1, r2, r4, r8, r16, r32, r64, r128},
   pagingWeight-r17
                                    PagingWeight-NB-r14 DEFAULT w1,
}
w9, w10, w11, w12, w13, w14, w15, w16}
UL-ConfigCommon-NB-r14 ::=
                                   SEQUENCE {
   ul-CarrierFreq-r14
                                     CarrierFreq-NB-r13,
   nprach-ParametersList-r14
                                       NPRACH-ParametersList-NB-r14 OPTIONAL, -- Need OR
    [[ nprach-ParametersListEDT-r15 NPRACH-ParametersList-NB-r14 OPTIONAL -- Cond EDT
    [[ rsrp-ThresholdsPrachInfoList-r16 RSRP-ThresholdsNPRACH-InfoList-NB-r13
                                                                                   OPTIONAL -- Need
OR
    11
   ConfigCommonTDD-NB-r15 ::= SEQUENCE {
tdd-UL-DL-AlignmentOffset-r15 TDD-UL-DL-AlignmentOffset-NB-r15,
nprach-ParametersListTDD-r15 NPRACH-ParametersListTDD-NB-r15 OPTIONAL, -- Need OR
UL-ConfigCommonTDD-NB-r15 ::=
}
NPRACH-ProbabilityAnchorList-NB-r14 ::= SEQUENCE (SIZE (1.. maxNPRACH-Resources-NB-r13)) OF
                                                NPRACH-ProbabilityAnchor-NB-r14
NPRACH-ProbabilityAnchor-NB-r14 ::= SEQUENCE {
                                          ENUMERATED {
   nprach-ProbabilityAnchor-r14
                                                zero, oneSixteenth, oneFifteenth, oneFourteenth,
                                                oneThirteenth, oneTwelfth, oneEleventh, oneTenth,
                                                oneNinth, oneEighth, oneSeventh, oneSixth,
oneFifth, oneFourth, oneThird, oneHalf}
                                                                   -- Need OP
                                                        OPTIONAL
-- ASN1STOP
```

#### SystemInformationBlockType22-NB field descriptions

#### cbp-ConfigList

List of coverage-based paging configurations.

#### cbp-HystTimer

The minimum duration, in milliseconds, a UE configured with coverage-based paging uses the same carrier for paging, see TS 36.304 [4]. Value *ms*2*560* corresponds to 2560ms, value *ms*7*680* corresponds to 7680ms, and so on.

#### cbp-Index

Index to the coverage-based paging configuration associated with the downlink carrier. Value 1 corresponds to the first entry in *cbp-ConfigList*, and value 2 corresponds to the second entry in the *cbp-ConfigList*.

### dl-CarrierConfig

For FDD: Provides the configuration of the DL non-anchor carrier.

For TDD: Provides the configuration of the non-anchor carrier.

### dl-ConfigList, dl-ConfigListMixed

For FDD: List of DL non-anchor carriers and associated configuration that can be used for paging and/or random access. E-UTRAN configures DL non-anchor carriers operating in mixed operation mode only in *dl-ConfigListMixed* and only a UE that supports mixed operation mode uses the carriers in *dl-ConfigListMixed*. A given carrier is either signalled in the *dl-ConfigList* or in *dl-ConfigListMixed*.

If *dl-ConfigListMixed* is present and at least one of the carriers in *dl-ConfigListMixed* is configured for paging:

- If pagingDistribution is present, the UE supporting mixed operation mode creates a combined list of DL carriers for paging by appending dl-ConfigListMixed to the dl-ConfigList while maintaining the order among dl-ConfigList and dl-ConfigListMixed; the total number of signalled DL non-anchor carriers cannot be more than maxNonAnchorCarriers-NB-r14.
- If pagingDistribution is absent, the UE supporting mixed operation mode uses the list of DL carriers for paging provided in dl-ConfigListMixed and considers pagingWeightAnchor being set to w0, i.e. the anchor carrier is not used

Otherwise, the paging Distribution field is not applicable and the UE shall ignore the value.

For TDD: List of non-anchor carriers and associated configuration that can be used for paging and/or random access.

#### gwus-Config

For FDD: Carrier specific GWUS Configuration.

If both gwus-Config and wus-Config are present for the carrier, E-UTRAN configures the same value for both fields.

#### mixedOperationModeConfig

For FDD: Provides the configuration of DL and UL non-anchor carriers that can be used for paging and random access by a UE that supports mixed operation mode.

For TDD: This parameter is absent.

### nВ

Parameter: nB is used as one of parameters to derive the Paging Frame and Paging Occasion according to TS 36.304 [4]. Value in multiples of 'T' as defined in TS 36.304 [4]. A value of fourT corresponds to 4 \* T, a value of twoT corresponds to 2 \* T and so on.

If the field is absent, the value of nB configured in SystemInformationBlockType2-NB in IE pcch-Config applies.

#### npdcch-NumRepetitionPaging

Maximum number of repetitions for NPDCCH common search space (CSS) for paging, see TS 36.213 [23], clause 16.6.

If the field is absent, the value of npdcch-NumRepetitionPaging configured in SystemInformationBlockType2-NB in IE pcch-Config applies.

#### nprach-Distribution

Indicates which UL carriers a UE supporting mixed operation mode uses for random access as defined in description of *ul-ConfigList, ul-ConfigListMixed*.

### nprach-ParametersList, nprach-ParametersList-EDT

Configure NPRACH parameters for each NPRACH resource on one non-anchor UL carrier. Up to three NPRACH resources can be configured on one non-anchor UL carrier. Each NPRACH resource is associated with a different number of NPRACH repetitions.

NPRACH resources in *nprach-ParametersListEDT* are used to initiate EDT. Each NPRACH resource is associated with a maximum TBS signalled in the corresponding entry of *edt-TBS-InfoList* in *SystemInformationBlockType2-NB*. E-UTRAN includes the same number of entries, and listed in the same order, as in *nprach-ParametersList* in *SystemInformationBlockType2-NB*.

### nprach-ParametersListTDD

For TDD: Configure NPRACH parameters for each NPRACH resource on one non-anchor UL carrier. Up to three NPRACH resources can be configured on one non-anchor UL carrier. Each NPRACH resource is associated with a different number of NPRACH repetitions.

E-UTRAN includes the same number of entries in *nprach-ParametersListTDD*, and listed in the same order, as in *nprach-ParametersListTDD* in *SystemInformationBlockType2-NB*.

#### nprach-ProbabilityAnchor

Configure the selection probability for the anchor carrier NPRACH resource, see TS 36.321 [6]. Value zero corresponds to a probability of 0, oneSixteenth corresponds to the probability of 1/16, oneFifteenth corresponds to the probability of 1/15, and so on.

If the field is absent, the selection probability of the anchor carrier NPRACH resource is 1.

All non-anchor carriers NPRACH resources have equal probability between them.

If there is no NPRACH resource defined on the anchor carrier for one repetition level in *nprach-ParametersList-EDT*, (respectively *nprach-ParametersListFmt2*, *nprach-ParametersListFmt2-EDT*), the UE shall use the value 'zero' and ignore the signalled value of *nprach-ProbabilityAnchor* for this repetition level for the NPRACH resources defined by *nprach-ParametersList-EDT* (respectively *nprach-ParametersListFmt2*, *nprach-ParametersListFmt2-EDT*).

### nprach-ProbabilityAnchorList

Configures the selection probability for each NPRACH resource on the anchor carrier.

E-UTRAN includes the same number of entries, and listed in the same order, as in *nprach-ParametersList* in *SystemInformationBlockType2-NB*.

#### nrsrpMin

The minimum serving cell NRSRP applicable to the coverage-based paging carrier configuration, see TS 36.304 [4].

### pagingDistribution

Indicates which DL carriers a UE supporting mixed operation mode monitors for paging as defined in description of *dl-ConfigList, dl-ConfigListMixed*.

### pagingWeight

Weight of the non-anchor paging carrier for uneven paging load distribution across the carriers. Value w1 corresponds to a relative weight of 1, w2 corresponds to a relative weight of 2, and so on.

The paging load for a carrier 'i' is equal to w(i)/W where i is equal to 0 for the anchor carrier and equal to the index of the carrier in the *dl-ConfigList/ dl-ConfigListMixed* for a non-anchor carrier, W is the sum of the weights of all paging carriers.

To avoid correlation between paging carrier and paging occasion, the weights should be assigned such that: nB \* W <= 16384.

### pagingWeightAnchor

Weight of the anchor carrier for uneven paging load distribution across the carriers. Value w1 corresponds to a relative weight of 1, w2 corresponds to a relative weight of 2, and so on.

If the field is absent, the (default) value of w0 is applied, i.e. the anchor carrier is not used for paging.

#### pcch-Config

Configure the PCCH parameters for the non-anchor DL carrier.

### rsrp-ThresholdsPrachInfoList

The criterion for UE to select an NPRACH resource on the non-anchor carrier. The threshold values are related to the anchor carrier NRSRP measurement. See TS 36.321 [6]. E-UTRAN includes the same number of entries, and listed in the same order, as in *rsrp-ThresholdsPrachInfoList* in *SystemInformationBlockType2-NB*.

A UE that supports *powerClassNB-14dBm-r14* shall correct the RSRP threshold values before applying them as follows:

RSRP threshold = Signalled RSRP threshold -  $min\{0, (14-min(23, P-Max))\}\$  where P-Max is the value of *p-Max* field in *SystemInformationBlockType1-NB*.

### tdd-UL-DL-AlignmentOffset

Indicates the offset between the UL carrier frequency center with respect to DL carrier frequency center for the non-anchor carrier.

#### ue-SpecificDRX-CycleMin

Minimum UE specific DRX cycle for the coverage-based paging configuration, see TS 36.304 [4]. Value rf32 corresponds to 32 radio frames, rf64 corresponds to 64 radio frames and so on.

If present, E-UTRAN ensures PCCH configuration does not lead to CSS overlap for ue-SpecificDRX-CycleMin.

#### ul-CarrierFreq

For FDD: UL carrier frequency of the non-anchor carrier as defined in TS 36.101 [42], clause 5.7.3F and TS 36.108 [114], clause 5.4B.2.

For TDD: This field is absent and the uplink carrier frequency is same as the downlink frequency.

### ul-ConfigList, ul-ConfigListMixed

For FDD: List of UL non-anchor carriers and associated configuration that can be used for random access. E-UTRAN configures UL non-anchor carriers operating in mixed operation mode only in *ul-ConfigListMixed* and only a UE that supports mixed operation mode uses the carriers in *ul-ConfigListMixed*. A given carrier is either signalled in the *ul-ConfigListMixed*.

If *ul-ConfigListMixed* is present and at least one of the carriers in *ul-ConfigListMixed* is configured for random access:

- If nprach-Distribution is present, the UE supporting mixed operation mode creates a combined list of UL carriers for random access by appending ul-ConfigListMixed to the ul-ConfigList while maintaining the order among both ul-ConfigList and ul-ConfigListMixed; the total number of signalled UL non-anchor carriers cannot be more than maxNonAnchorCarriers-NB-r14.
- If *nprach-Distribution* is absent, the UE supporting mixed operation mode uses the list of UL carriers for random access provided in *ul-ConfigListMixed* and considers *nprach-ProbabiliyAnchor* being set to zero for each NPRACH resource, i.e. the anchor carrier is not used for random access.

Otherwise, the *nprach-Distribution* field is not applicable and the UE shall ignore the value.

For TDD: E-UTRAN configures *ul-ConfigList-r15* and includes the same number of entries as in *dl-ConfigList*. The UL carrier frequency of the non-anchor carrier is same as the DL carrier frequency.

wus-Config
For FDD: Carrier specific WUS Configuration.

Conditional presence	Explanation
dl-ConfigList	This field is optionally present, Need OR, if the field <i>dl-ConfigList</i> is present. Otherwise
	the field is not present.
EDT	The field is optionally present, Need OR, if edt-Parameters in
	SystemInformationBlockType2-NB is present; otherwise the field is not present and the
	UE shall delete any existing value for this field.
<i>GWU</i> S	This field is optionally present, Need OR, if gwus-Config-r16 is present in
	SystemInformationBlockType2-NB. Otherwise the field is not present.
pcch-config	This field is optionally present, Need OP, if the field <i>dl-ConfigList</i> is present and at least
	one of the carriers in <i>dl-ConfigList</i> is configured for paging. Otherwise the field is not
	present and only the anchor carrier is used for paging.
pcch-config2	This field is optionally present, need OR, if the field <i>pcch-Config-r14</i> is not present for the
	same carrier and coverageBasedPagingConfig is present. Otherwise the field is not
	present and the UE shall delete any existing value for this field.
nprach-config	This field is mandatory present, if the field <i>ul-ConfigList</i> is present and at least one of the
	carriers in <i>ul-ConfigList</i> is configured for random access. Otherwise the field is not
	present and only the anchor carrier is used for random access.
TDD	This field is optionally present, Need OR, for TDD. Otherwise the field is not present.
ul-ConfigList	This field is optionally present, Need OR, if the field <i>ul-ConfigList</i> is present. Otherwise
	the field is not present.
WUS	This field is mandatory present, if the field wus-Config is present in
	SystemInformationBlockType2-NB. Otherwise the field is not present, Need OR.

# SystemInformationBlockType23-NB

For FDD, the IE *SystemInformationBlockType23-NB* contains radio resource configuration for NPRACH resources using preamble format 2 on non-anchor carriers.

### SystemInformationBlockType23-NB information element

### SystemInformationBlockType23-NB field descriptions

### nprach-ParametersListFmt2, nprach-ParametersListFmt2EDT

Configures NPRACH parameters for each NPRACH resource format 2 on one UL carrier. Up to three NPRACH resources can be configured on one carrier. Each NPRACH resource is associated with a different number of NPRACH repetitions.

E-UTRAN includes the same number of entries, and listed in the same order, as in *nprach-ParametersList* in *SystemInformationBlockType2-NB*.

The NPRACH resources in *nprach-ParametersListFmt2EDT* are used to initiate EDT. Each NPRACH resource is associated with a TBS signalled in the corresponding entry of *edt-TBS-InfoList*.

E-UTRAN configures the NPRACH resources format 2 so that they do not overlap in time domain with the NPRACH resources configured in *nprach-ParametersList* and *nprach-ParametersListEDT* on the same UL carrier.

If there is no NPRACH resource in *nprach-ParametersListFmt2* (respectively *nprach-ParametersListFmt2EDT*) on any UL carrier, including the anchor carrier, for one NPRACH repetition level, the UE uses the NPRACH resources in *nprach-ParametersList* (respectively *nprach-ParametersListEDT*) for this NPRACH repetition level. Otherwise, the UE uses only NPRACH resources in *nprach-ParametersListFmt2* (respectively *nprach-ParametersListFmt2EDT*). If E-UTRAN configures NPRACH resources format 2 in one NPRACH repetition level, the E-UTRAN configures

NPRACH resources format 2 in all NPRACH repetition levels upwards.

#### ul-ConfigList, ul-ConfigListMixed

*ul-ConfigList* (respectively *ul-ConfigListMixed*) is parallel to *ul-ConfigList* (respectively *ul-ConfigListMixed*) in *SystemInformationBlockType22-NB*.

E-UTRAN includes the same number of entries and in the same order in *ul-ConfigList* (respectively *ul-ConfigListMixed*) in *SystemInformationBlockType23-NB* as in *ul-ConfigList* (respectively *ul-ConfigListMixed*) in *SystemInformationBlockType22-NB*. The UE combines each entry in *ul-ConfigList* (respectively *ul-ConfigListMixed*) in *SystemInformationBlockType23-NB* with the corresponding entry in *ul-ConfigList* (respectively *ul-ConfigListMixed*) in *SystemInformationBlockType22-NB*.

Conditional presence	Explanation
EDT	The field is optionally present, Need OR, if edt-Parameters in
	SystemInformationBlockType2-NB is present; otherwise the field is not present and the
	UE shall delete any existing value for this field.

### SystemInformationBlockType27-NB

The IE *SystemInformationBlockType27-NB* contains information relevant only for inter-RAT cell selection i.e. assistance information about E-UTRA frequencies and/ or GERAN frequencies for cell selection.

### SystemInformationBlockType27-NB information element

```
-- ASN1START
SystemInformationBlockType27-NB-r16 ::= SEQUENCE {
    carrierFreqListEUTRA-r16
carrierFreqsListGERAN-r16
                                             CarrierFreqListEUTRA-NB-r16
                                                                              OPTIONAL,
                                                                                           -- Need OR
                                             CarrierFreqsListGERAN-NB-r16 OPTIONAL,
                                                                                           -- Need OR
    lateNonCriticalExtension
                                              OCTET STRING
                                                                               OPTIONAL,
CarrierFreqListEUTRA-NB-r16 ::= SEQUENCE (SIZE (1..maxFreqEUTRA-NB-r16)) OF
                                                      CarrierFreqEUTRA-NB-r16
CarrierFreqsListGERAN-NB-r16 ::= SEQUENCE (SIZE (1..maxFreqsGERAN-NB-r16)) OF
                                                      CarrierFreqsGERAN-NB-r16
CarrierFreqEUTRA-NB-r16 ::=
                                         SEQUENCE {
    carrierFreq-r16
                                             ARFCN-ValueEUTRA-r9,
                                             ENUMERATED {supported} OPTIONAL,
ENUMERATED {supported} OPTIONAL,
                                                                                        -- Need OR
    sib1-r16
                                                                                        -- Need OR
    sib1-BR-r16
CarrierFreqsGERAN-NB-r16 ::=
                                         SEQUENCE {
                                             CarrierFreqsGERAN,
   carrierFreqs-r16
                                              ENUMERATED {supported} OPTIONAL, ENUMERATED {supported} OPTIONAL,
    ec-GSM-TOT-r16
                                                                                        -- Need OR
    peo-r16
                                                                                        -- Need OR
}
```

-- ASN1STOP

### SystemInformationBlockType27-NB field descriptions

#### carrierFreq

E-UTRAN carrier frequency.

#### carrierFreqListEUTRA

Provides a list of neighbouring E-UTRA carrier frequencies, which may be searched for neighbouring E-UTRAN cells.

The list of GERAN carrier frequencies organised into one group of GERAN carrier frequencies.

#### carrierFreqsListGERAN

Provides a list of neighbouring GERAN carrier frequencies, which may be searched for neighbouring GERAN cells. The GERAN carrier frequencies are organised in groups and the parameters are indicated per group of GERAN carrier frequencies.

#### ec-GSM-IOT

Indicates that the GERAN carrier frequencies support EC-GSM-IOT.

#### peo

Indicates that the GERAN carrier frequencies support Power Efficient Operation (PEO).

#### sib1

Indicates that SIB1 is scheduled in the E-UTRAN cells.

#### sib1-BR

Indicates that SIB1-BR is scheduled in the E-UTRAN cells.

# SystemInformationBlockType31-NB

The IE *SystemInformationBlockType31-NB* contains satellite assistance information. *SystemInformationBlockType31-NB* is only signalled in a NTN cell.

### SystemInformationBlockType31-NB information element

### SystemInformationBlockType32-NB

The IE *SystemInformationBlockType32-NB* contains satellite assistance information for prediction of discontinuous coverage. *SystemInformationBlockType32-NB* is only signalled in a NTN cell.

### SystemInformationBlockType32-NB information element

### 6.7.3.2 NB-IoT Radio resource control information elements

### CarrierConfigDedicated-NB

The IE CarrierConfigDedicated-NB is used to specify a carrier in NB-IoT.

### CarrierConfigDedicated-NB information elements

```
-- ASN1START
CarrierConfigDedicated-NB-r13 ::= SEQUENCE {
   dl-CarrierConfig-r13 DL-CarrierConfigDedicated-NB-r13, ul-CarrierConfig-r13 UL-CarrierConfigDedicated-NB-r13
}
DL-CarrierConfigDedicated-NB-r13 ::= SEQUENCE {
   downlinkBitmapNonAnchor-r13 CHOICE (
                                              NULL,
       useNoBitmap-r13
       useAnchorBitmap-r13
                                              NULL
       explicitBitmapConfiguration-r13
                                              DL-Bitmap-NB-r13,
       spare
          OPTIONAL,
                       -- Need ON
   dl-GapNonAnchor-r13
                                         CHOICE {
       useNoGap-r13
                                              NULL,
       useAnchorGapConfig-r13
       useAnchorGapConfig-r13
explicitGapConfiguration-r13
                                              DL-GapConfig-NB-r13,
       spare
                                              NULL
          OPTIONAL, -- Need ON
       andCarrierInfo-r13
samePCI-Indicator-r13
    inbandCarrierInfo-r13
                                          SEQUENCE {
                                          CHOICE {
           samePCI-r13
                                               SEQUENCE {
                                                      INTEGER (-55..54)
               indexToMidPRB-r13
                                                SEQUENCE {
           differentPCI-r13
              eutra-NumCRS-Ports-r13
                                                     ENUMERATED {same, four}
                                  OPTIONAL, -- Cond anchor—
ENUMERATED {n1, n2, n3}
                                                -- Cond anchor-guardband-or-standalone
       eutraControlRegionSize-r13
                                   OPTIONAL, -- Cond non-anchor-inband
   [[ nrs-PowerOffsetNonAnchor-v1330 ENUMERATED {dB-12, dB-10, dB-8, dB-6,
                                                      dB-4, dB-2, dB0, dB3}
                                 OPTIONAL
                                               -- Need ON
    [[ dl-GapNonAnchor-v1530
                                         DL-GapConfig-NB-v1530 OPTIONAL -- Cond TDD1
       dl-CarrierFreq-v1550
                                          CarrierFreq-NB-v1550 OPTIONAL
                                                                             -- Cond TDD1
UL-CarrierConfigDedicated-NB-r13 ::= SEQUENCE {
   ul-CarrierFreq-r13 CarrierFreq-NB-r13
                                                     OPTIONAL, -- Need OP
   ...,
[[ tdd-UL-DL-AlignmentOffset-r15
                                        TDD-UL-DL-AlignmentOffset-NB-r15
                                                                                OPTIONAL
Cond TDD
   ]]
-- ASN1STOP
```

#### CarrierConfigDedicated-NB field descriptions

#### dl-CarrierConfig

Downlink carrier used for all unicast transmissions.

#### dl-CarrierFreq

DL carrier frequency. The downlink carrier is not in a E-UTRA PRB which contains E-UTRA PSS/SSS/PBCH.

### dl-GapNonAnchor

Downlink transmission gap configuration for the anchor/ non-anchor carrier, see TS 36.211 [21], clause 10.2.3.4. E-UTRAN may configure *dl-GapNonAnchor-v1530* only if *dl-GapNonAnchor-r13* is set to *explicitGapConfiguration*.

#### downlinkBitmapNonAnchor

For FDD: NB-IoT downlink subframe configuration for downlink transmission on the anchor/ non-anchor carrier. See TS 36.213 [23], clause 16.4.

For TDD: NB-IoT downlink, uplink and special subframes configuration for transmission on the anchor/ non-anchor carrier. See TS 36.213 [23], clause 16.4.

#### eutraControlRegionSize

Indicates the control region size of the E-UTRA cell for the in-band operation mode, see TS 36.213 [23]. Unit is in number of OFDM symbols. If *operationModeInfo* in MIB-NB is set to *inband-SamePCI* or *inband-DifferentPCI*, it should be set to the value broadcast in SIB1-NB.

#### eutra-NumCRS-Ports

Number of E-UTRA CRS antenna ports, either the same number of ports as NRS or 4 antenna ports. See TS 36.211 [21], TS 36.212 [22], and TS 36.213 [23].

#### inbandCarrierInfo

Provides the configuration of the anchor/ non-anchor inband carrier. If *operationModeInfo* is set to standalone in the MIB-NB, E-UTRAN only configures this field if the UE supports mixed operation mode.

#### indexToMidPRB

The PRB index is signaled by offset from the middle of the EUTRA system.

#### nrs-PowerOffsetNonAnchor

Provides the power offset of the downlink narrowband reference-signal EPRE of the anchor/ non-anchor carrier relative to the anchor carrier, unit in dB. Value dB-12 corresponds to -12 dB, dB-10 corresponds to -10 dB and so on. See TS 36.213 [23], clause16.2.2.

#### samePCI-Indicator

This parameter specifies whether the anchor/non-anchor carrier reuses the same PCI as the EUTRA carrier.

### ul-CarrierConfig

Uplink anchor/ non-anchor carrier used for all unicast transmissions.

#### ul-CarrierFreq

For FDD: UL carrier frequency as defined in TS 36.101 [42], clause 5.7.3F and TS 36.108 [114], clause 5.4B.2. If absent, the same TX-RX frequency separation and carrier frequency offset as for the anchor carrier applies. For TDD: This field is absent and the uplink carrier frequency is equal to the downlink frequency.

Conditional presence	Explanation
non-anchor-inband	The field is mandatory present if the anchor/ non-anchor carrier is an inband carrier;
	otherwise it is not present.
anchor-guardband-or-	The field is mandatory present if operationModeInfo is set to guardband or standalone in
standalone	the MIB; otherwise it is not present.
TDD	The field is mandatory present for TDD; otherwise the field is not present and the UE shall
	delete any existing value for this field.
TDD1	The field is optionally present, Need OR, for TDD; otherwise the field is not present and
	the UE shall delete any existing value for this field.

### CarrierFreq-NB

The IE *CarrierFreq-NB* is used to provide the NB-IoT carrier frequency, as defined in TS 36.101 [42] and TS 36.108 [114].

#### CarrierFreq-NB information elements

### CarrierFreq-NB field descriptions

#### carrierFreq

Provides the ARFCN applicable for the NB-IoT carrier frequency as defined in TS 36.101 [42], Table 5.7.3-1 and TS 36.108 [114], Table 5.4A.2-1.

#### carrierFreqOffset

Offset of the NB-IoT channel number to EARFCN as defined in TS 36.101 [42], clause 5.7.3F and TS 36.108 [114], clause 5.4B.2. Value v-10 means -10, v-9 means -9, and so on. E-UTRAN may configure the values v-8dot5, v-4dot5, v3dot5 and v7dot5 only for a carrier in a TDD band.

For TDD, the UE shall use the value signalled in *carrierFreqOffset-v1550*, if present, and ignore the value signaled in *carrierFreqOffset-v1550*, if present, and ignore the value signaled in *carrierFreqOffset-v1550*, if present, and ignore the value signaled in *carrierFreqOffset-v1550*, if present, and ignore the value signaled in *carrierFreqOffset-v1550*, if present, and ignore the value signaled in *carrierFreqOffset-v1550*, if present, and ignore the value signaled in *carrierFreqOffset-v1550*, if present, and ignore the value signaled in *carrierFreqOffset-v1550*, if present, and ignore the value signaled in *carrierFreqOffset-v1550*, if present, and ignore the value signaled in *carrierFreqOffset-v1550*, if present, and ignore the value signaled in *carrierFreqOffset-v1550*, if present, and ignore the value signaled in *carrierFreqOffset-v1550*, if present, and ignore the value signaled in *carrierFreqOffset-v1550*, if present is a signal of the value of the value signaled in the value signaled in the value of the value of the value signaled in the value of the value signaled in the value signaled in the value of the value signaled in the

### ChannelRasterOffset-NB

The IE *ChannelRasterOffset-NB* is used to specify the NB-IoT offset from LTE channel raster. Unit in kHz in set { -7.5, -2.5, 2.5, 7.5} See TS 36.211[21] and TS 36.213 [23].

#### ChannelRasterOffset-NB information element

```
-- ASN1START

ChannelRasterOffset-NB-r13 ::= ENUMERATED {khz-7dot5, khz-2dot5, khz2dot5, khz7dot5}

-- ASN1STOP
```

### - DL-Bitmap-NB

The IE *DL-Bitmap-NB* is used to specify the set of NB-IoT downlink subframes for downlink transmission.

#### **DL-Bitmap-NB** information element

```
-- ASN1START

DL-Bitmap-NB-r13 ::= CHOICE {
    subframePattern10-r13 BIT STRING (SIZE (10)),
    subframePattern40-r13 BIT STRING (SIZE (40))
}

-- ASN1STOP
```

### DL-Bitmap-NB field descriptions

#### subframePattern10, subframePattern40

For FDD: NB-IoT downlink subframe configuration over 10ms or 40ms for inband and 10ms for standalone/guardband.

For TDD: NB-IoT downlink, uplink and special subframes configuration over 10ms or 40ms for inband and 10ms for standalone/guardband.

The first/leftmost bit corresponds to the subframe #0 of the radio frame satisfying SFN mod x = 0, where x is the size of the bit string divided by 10. Value 0 in the bitmap indicates that the corresponding subframe is invalid for transmission. Value 1 in the bitmap indicates that the corresponding subframe is valid for transmission.

### DL-CarrierConfigCommon-NB

The IE *DL-CarrierConfigCommon-NB* is used to specify the common configuration of a DL non-anchor carrier in NB-IoT.

### DL-CarrierConfigCommon-NB information elements

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```
-- ASN1START
DL-CarrierConfigCommon-NB-r14 ::= SEQUENCE {
    dl-CarrierFreq-r14
                                         CarrierFreq-NB-r13,
    downlinkBitmapNonAnchor-r14
                                         CHOICE {
                                              NULL,
        useNoBitmap-r14
        useAnchorBitmap-r14
                                             NULL,
        explicitBitmapConfiguration-r14
                                             DL-Bitmap-NB-r13
    dl-GapNonAnchor-r14
                                         CHOICE {
        useNoGap-r14
                                             NULT.
        useAnchorGapConfig-r14
                                             NULL,
        explicitGapConfiguration-r14
                                             DL-GapConfig-NB-r13
                                         SEQUENCE {
    inbandCarrierInfo-r14
                                            CHOICE
        samePCI-Indicator-r14
            samePCI-r14
                                                  SEQUENCE {
                                                     INTEGER (-55..54)
                indexToMidPRB-r14
                                                  SEQUENCE {
            differentPCI-r14
                eutra-NumCRS-Ports-r14
                                                      ENUMERATED {same, four}
           OPTIONAL.
                           -- Cond anchor-guardband-or-standalone
        eutraControlRegionSize-r14
                                             ENUMERATED {n1, n2, n3}
        OPTIONAL,
                    -- Cond non-anchor-inband
    {\tt nrs-PowerOffsetNonAnchor-r14} \qquad \qquad {\tt ENUMERATED} \ \left\{ {\tt dB-12} \,, \,\, {\tt dB-10} \,, \,\, {\tt dB-8} \,, \,\, {\tt dB-6} \,, \right.
                                                      dB-4, dB-2, dB0, dB3} DEFAULT dB0,
    [[ dl-GapNonAnchor-v1530
                                        DL-GapConfig-NB-v1530 OPTIONAL
                                                                               -- Cond TDD
    [[
        dl-CarrierFreq-v1550
                                         CarrierFreq-NB-v1550
                                                                   OPTIONAL
                                                                               -- Cond TDD
    11
-- ASN1STOP
```

#### DL-CarrierConfigCommon-NB field descriptions

### dl-CarrierFreq

DL carrier frequency. The downlink carrier is not in a E-UTRA PRB which contains E-UTRA PSS/SSS/PBCH.

#### dl-GapNonAnchor

Downlink transmission gap configuration for the non-anchor carrier, see TS 36.211 [21], clause 10.2.3.4.

E-UTRAN may configure dl-GapNonAnchor-v1530 only if dl-GapNonAnchor-r14 is set to explicitGapConfiguration.

### downlinkBitmapNonAnchor

For FDD: NB-IoT downlink subframe configuration for downlink transmission on the non-anchor carrier. See TS 36.213 [23], clause 16.4.

For TDD: NB-IoT downlink, uplink and special subframes configuration for transmission on the anchor/ non-anchor carrier. See TS 36.213 [23], clause 16.4.

### eutraControlRegionSize

Indicates the control region size of the E-UTRA cell for the in-band operation mode, see TS 36.213 [23]. Unit is in number of OFDM symbols. If *operationModeInfo* in MIB-NB is set to *inband-SamePCI* or *inband-DifferentPCI*, it should be set to the value broadcast in SIB1-NB.

#### eutra-NumCRS-Ports

Number of E-UTRA CRS antenna ports, either the same number of ports as NRS or 4 antenna ports. See TS 36.211 [21], TS 36.212 [22], and TS 36.213 [23].

### inbandCarrierInfo

Provides the configuration of a non-anchor inband carrier.

#### indexToMidPRB

The PRB index is signaled by offset from the middle of the EUTRA system.

### nrs-PowerOffsetNonAnchor

Provides the downlink narrowband reference-signal EPRE offset of the non-anchor carrier relative to the downlink narrowband reference-signal EPRE of the anchor carrier, unit in dB. Value dB-12 corresponds to -12 dB, dB-10 corresponds to -10 dB and so on. See TS 36.213 [23], clause 16.2.2.

#### samePCI-Indicator

This parameter specifies whether the non-anchor carrier reuses the same PCI as the EUTRA carrier.

Conditional presence	Explanation
non-anchor-inband	The field is mandatory present if the non-anchor carrier is an inband carrier; otherwise it is not present.
anchor-guardband-or-	The field is mandatory present, if operationModeInfo is set to guardband or standalone in
standalone	the MIB; otherwise it is not present.
TDD	The field is optionally present, Need OR, for TDD; otherwise the field is not present and
	the UE shall delete any existing value for this field.

### DL-GapConfig-NB

The IE *DL-GapConfig-NB* is used to specify the downlink gap configuration for NPDCCH and NPDSCH. Downlink gaps apply to all NPDCCH/NPDSCH transmissions except for BCCH.

### DL-GapConfig-NB information element

#### DL-GapConfig-NB field descriptions

#### dl-GapDurationCoeff

Coefficient to calculate the gap duration of a DL transmission: dl-GapDurationCoeff \* dl-GapPeriodicity, Duration in number of subframes. See TS 36.211 [21], clause 10.2.3.4.

### dl-GapPeriodicity

Periodicity of a DL transmission gap in number of subframes. See TS 36.211 [21], clause 10.2.3.4.

Value *sf64* corresponds to 64 subframes, value *sf128* corresponds to 128 subframes, value *sf256* corresponds to 256 subframes and so on. E-UTRAN may configure the value *sf64* only in FDD mode and the value *sf1024* only in TDD mode.

The UE shall use the value signalled in *dl-GapPeriodicity-v1530*, if present, and ignore the value signaled in *dl-GapPeriodicity-r13*.

### dl-GapThreshold

Threshold on the maximum number of repetitions configured for NPDCCH before application of DL transmission gap configuration. See TS 36.211 [21], clause 10.2.3.4.

### – GWUS-Config-NB

The IE GWUS-Config-NB is used to specify the GWUS configuration. For UEs supporting GWUS, E-UTRAN uses GWUS to indicate that the UE shall attempt to receive paging in that cell, see TS 36.304 [4].

### GWUS-Config-NB information element

```
resourcePosition-r16
                                    ENUMERATED {primary, secondary},
                                    GWUS-NumGroupsList-NB-r16
   numGroupsList-r16
                                                                        OPTIONAL,
                                                                                    -- Need OP
   groupsForServiceList-r16
                                    GWUS-GroupsForServiceList-NB-r16
                                                            OPTIONAL
                                                                        -- Cond probabilityBased
GWUS-ProbThreshList-NB-r16 ::=
                                        SEQUENCE (SIZE (1..maxGWUS-ProbThresholds-NB-r16)) OF
                                            GWUS-Paging-ProbThresh-NB-r16
GWUS-Paging-ProbThresh-NB-r16 ::=
                                        ENUMERATED {p20, p30, p40, p50, p60, p70, p80, p90}
GWUS-NumGroupsList-NB-r16 ::=
                                            SEQUENCE (SIZE (1..maxGWUS-Resources-NB-r16)) OF
                                            GWUS-NumGroups-NB-r16
GWUS-NumGroups-NB-r16 ::=
                                            ENUMERATED {n1, n2, n4, n8}
                                       SEQUENCE (SIZE (1..maxGWUS-ProbThresholds-NB-r16)) OF
GWUS-GroupsForServiceList-NB-r16 ::=
                                            INTEGER (1..maxGWUS-Groups-1-NB-r16)
-- ASN1STOP
```

### GWUS-Config-NB field descriptions

#### commonSequence

Presence of the field indicates common WUS sequence is configured.

Value *g0* indicates common WUS sequence for the shared WUS resource is g=0, value *g126* indicates common WUS sequence for the shared WUS resource is g=126, see TS 36.211 [21].

#### groupAlternation

Presence of the field enables WUS group alternation between the two WUS resources for the gap type, see TS 36.304 [4].

#### groupsForServiceList

Number of WUS groups for each paging probability group, see TS 36.304 [4]. The first entry corresponds to the first paging probability group, second entry corresponds to the second paging probability group, and so on. E-UTRAN includes the same number of entries and in the same order in *groupsForServiceList* and *probThreshList*. Total number of WUS groups in this list cannot be more than total number of WUS groups in *numGroupsList*.

#### numGroupsList

List of WUS groups for each WUS resource, see TS 36.304 [4]. First entry corresponds to the first resource, the second entry corresponds to the second resource.

numGroupsList shall be present in resourceConfigDRX.

If numGroupsList is not present in resourceconfig-eDRX-Short, parameters for DRX WUS resource applies for short eDRX WUS resource.

If *numGroupsList* is not present in *resourceConfig-eDRX-Long*, parameters for short eDRX WUS resource applies for long eDRX WUS resource.

### probThreshList

Paging probability thresholds corresponding to the paging probability groups, see TS 36.304 [4]. Value *p20* corresponds to 20%, value *p30* corresponds to 30%, and so on.

### resourceConfigDRX, resourceConfig-eDRX-Short, resourceConfig-eDRX-Long

WUS resource configured for each gap type, see TS 36.304 [4].

If resourceConfig-eDRX-Short is not present, DRX WUS parameters apply for short eDRX WUS resource.

If resourceConfig-eDRX-Long is not present, short eDRX WUS parameters apply for long eDRX WUS resource.

### resourcePosition

Indicates the position of the WUS resource corresponding to the first entry in numGroupsList.

Value *primary* indicates that the end of the WUS resource is defined by the timeoffset value for the corresponding gap type, value *secondary* indicates that the end of the WUS resource is immediately before the WUS resource configured by *wus-Config*.

E-UTRAN may only configure secondary when only one entry exists in numGroupsList and wus-Config is present in SystemInformationBlockType2-NB.

If two entries exist in *numGroupsList*, the position for the second WUS resource corresponds to value *secondary*.

#### timeParameters

Time domain WUS configuration information. For individual field descriptions, see *WUS-Config-NB*. If the field is absent, the parameters in *wus-Config* apply.

Conditional presence	Explanation
noWUSr15	The field is mandatory present if wus-Config-r15 is not present in
	SystemInformationBlockType2-NB; otherwise the field is not present.
probabilityBased	The field is mandatory present if paging probability based WUS group selection is configured; otherwise the field is not present, and the UE shall delete any existing value for this field.
timeOffset	The field is optionally present, Need OP, if <i>timeOffset-eDRX-Long</i> is present in <i>timeParameters</i> ; otherwise the field is not present, and the UE shall delete any existing value for this field.

### LogicalChannelConfig-NB

The IE LogicalChannelConfig-NB is used to configure the logical channel parameters.

### LogicalChannelConfig-NB information element

### LogicalChannelConfig-NB field descriptions

#### logicalChannelSR-Prohibit

Value *TRUE* indicates that the *logicalChannelSR-ProhibitTimer* is enabled for the logical channel. If *logicalChannelSR-Prohibit* is configured (i.e. indicates value *TRUE*), E-UTRAN also configures *logicalChannelSR-ProhibitTimer*. See TS 36.321 [6].

#### priority

Logical channel priority in TS 36.321 [6]. Value is an integer.

Conditional presence	Explanation
UL	The field is mandatory present for UL logical channels; otherwise it is not present.

### – MAC-MainConfig-NB

The IE MAC-MainConfig-NB is used to specify the MAC main configuration for signalling and data radio bearers.

### MAC-MainConfig-NB information element

```
-- ASN1START
MAC-MainConfig-NB-r13 ::=
                             SEQUENCE {
      periodicBSR-Timer-r13 SEQUENCE {
retxBSR-Timer-r12
   ul-SCH-Config-r13
                                     PeriodicBSR-Timer-NB-r13 OPTIONAL, -- Need ON
                                     RetxBSR-Timer-NB-r13
                                                             OPTIONAL,
   -- Need ON
                                                                        -- Need ON
                                                             OPTIONAL,
          logicalChannelSR-ProhibitTimer-r13    ENUMERATED {
                                            pp2, pp8, pp32, pp128, pp512,
                                            pp1024, pp2048, spare}
                                                              OPTIONAL, -- Need ON
   [[ rai-Activation-r14
                                         ENUMERATED {true}
                                                                OPTIONAL, -- Need OR
       dataInactivityTimerConfig-r14 CHOICE {
          release
                                         NULL,
```

```
SEQUENCE {
                dataInactivityTimer-r14
                                                   DataInactivityTimer-r14
                                                                       OPTIONAL
                                                                                   -- Need ON
    11,
                                       ENUMERATED {
    [[ drx-Cycle-v1430
                                  sf1280, sf2560, sf5120, sf10240}
                                                                       OPTIONAL
                                                                                    -- Need ON
    [[ ra-CFRA-Config-r14
                                       ENUMERATED {true}
                                                                       OPTIONAL
                                                                                   -- Need ON
                                          SetupRelease {OffsetThresholdTA-NB-r17}
    [[ offsetThresholdTA-r17
                                                                       OPTIONAL
                                                                                   -- Need ON
    11
PeriodicBSR-Timer-NB-r13 ::=
                                  ENUMERATED {
                                       pp2, pp4, pp8, pp16, pp64, pp128, infinity, spare}
RetxBSR-Timer-NB-r13 ::=
                                       pp4, pp16, pp64, pp128, pp256, pp512, infinity, spare}
                                   CHOICE {
DRX-Config-NB-r13 ::=
   release
                                       NULL,
   setup
                                       SEQUENCE {
       onDurationTimer-r13
                                           ENTIMERATED
                                               pp1, pp2, pp3, pp4, pp8, pp16, pp32, spare},
       drx-InactivityTimer-r13
                                           ENUMERATED
                                               pp0, pp1, pp2, pp3, pp4, pp8, pp16, pp32},
       drx-RetransmissionTimer-r13
                                           ENUMERATED {
                                               pp0, pp1, pp2, pp4, pp6, pp8, pp16, pp24,
                                               pp33, spare7, spare6, spare5,
                                               spare4, spare3, spare2, spare1},
       drx-Cycle-r13
                                           ENUMERATED {
                                               sf256, sf512, sf1024, sf1536, sf2048, sf3072,
                                               sf4096, sf4608, sf6144, sf7680, sf8192, sf9216,
                                               spare4, spare3, spare2, spare1},
       drx-StartOffset-r13
                                           INTEGER (0..255),
                                           ENUMERATED {
       drx-ULRetransmissionTimer-r13
                                               pp0, pp1, pp2, pp4, pp6, pp8, pp16, pp24,
                                               pp33, pp40, pp64, pp80, pp96,
                                               pp112, pp128, pp160, pp320}
   }
OffsetThresholdTA-NB-r17 ::=
                                   ENUMERATED {
                                           ms0dot5, ms1, ms2, ms3, ms4, ms5, ms6 ,ms7,
                                           ms8, ms9, ms10, ms11, ms12, ms13, ms14, ms15}
-- ASN1STOP
```

#### MAC-MainConfig-NB field descriptions

#### drx-Config

Used to configure DRX as specified in TS 36.321 [6].

#### drx-Cycle

longDRX-Cycle in TS 36.321 [6]. The value of longDRX-Cycle is in number of sub-frames. Value sf256 corresponds to 256 sub-frames, sf512 corresponds to 512 sub-frames and so on. In case drx-Cycle-v1430 is signalled, the UE shall ignore drx-Cycle-r13.

#### drx-StartOffset

drxStartOffset in TS 36.321 [6]. Value is in number of sub-frames by step of (drx-cycle / 256).

#### drx-InactivityTimer

Timer for DRX in TS 36.321 [6]. Value in number of PDCCH periods. Value pp0 corresponds to 0 PDCCH period and behaviour as specified in 7.3.2 applies, pp1 corresponds to 1 PDCCH period, pp2 corresponds to 2 PDCCH periods and so on.

#### drx-RetransmissionTimer

Timer for DRX in TS 36.321 [6]. Value in number of PDCCH periods. Value pp0 corresponds to 0 PDCCH period and behaviour as specified in 7.3.2 applies, pp1 corresponds to 1 PDCCH period, pp2 corresponds to 2 PDCCH periods and so on.

#### drx-ULRetransmissionTimer

Timer for DRX in TS 36.321 [6].

Value in number of PDCCH periods. Value pp0 corresponds to 0 PDCCH period and behaviour as specified in 7.3.2 applies, value pp1 corresponds to 1 PDCCH period, pp2 corresponds to 2 PDCCH periods and so on.

#### logicalChannelSR-ProhibitTimer

Timer used to delay the transmission of an SR. See TS 36.321 [6]. Value in number of PDCCH periods. Value pp2 corresponds to 2 PDCCH periods, pp8 corresponds to 8 PDCCH periods and so on.

#### offsetThresholdTA

Offset for TA reporting as specified in TS 36.321 [6]. Value *ms0dot5* corresponds to 0.5 millisecond, value *ms1* corresponds to 1 millisecond and so on.

### periodicBSR-Timer

Timer for BSR reporting in TS 36.321 [6].

Value in number of PDCCH periods. Value pp2 corresponds to 2 PDCCH periods, pp4 corresponds to 4 PDCCH periods and so on.

#### ra-CFRA-Config

Activation of contention free random access (CFRA), see TS 36.321 [6].

### rai-Activation

Activation of release assistance indication (RAI) in TS 36.321 [6].

#### retxBSR-Timer

Timer for BSR reporting in TS 36.321 [6]. Value in number of PDCCH periods. Value pp4 corresponds to 4 PDCCH periods, pp16 corresponds to 16 PDCCH periods and so on.

### onDurationTimer

Timer for DRX in TS 36.321 [6]. Value in number of PDCCH periods. Value pp1 corresponds to 1 PDCCH period, pp2 corresponds to 2 PDCCH periods and so on.

### timeAlignmentTimer

Indicates the value of the time alignment timer, see TS 36.321 [6].

# NPDCCH-ConfigDedicated-NB

The IE NPDCCH-ConfigDedicated-NB specifies the subframes and resource blocks for NPDCCH monitoring.

#### NPDCCH-ConfigDedicated-NB information element

### NPDCCH-ConfigDedicated-NB field descriptions

#### npdcch-NumRepetitions

Maximum number of repetitions for NPDCCH UE specific search space (USS), see TS 36.213 [23], clause 16.6. UE monitors one set of values (consisting of aggregation level, number of repetitions and number of blind decodes) according to the configured maximum number of repetitions.

#### npdcch-Offset-USS

Fractional period offset of starting subframe for NPDCCH UE specific search space (USS), see TS 36.213 [23], clause 16.6.

### npdcch-StartSF-USS

Starting subframe configuration for an NPDCCH UE-specific search space, see TS 36.213 [23], clause 16.6. Value v1dot5 corresponds to 1.5, value 2 corresponds to 2 and so on. E-UTRAN may configure values v1dot5 and v2 only in FDD mode and values v96 and v128 only in TDD mode.

The UE shall use the value signalled in *npdcch-StartSF-USS-v1530*, if present, and ignore the value signalled in *npdcch-StartSF-USS-r13*.

# NPDSCH-Config-NB

The IE *NPDSCH-ConfigCommon-NB* is used to specify the common NPDSCH configuration. The IE *NPDSCH-ConfigDedicated-NB* is used to specify the UE specific NPDSCH configuration.

### NPDSCH-Config-NB information element

```
-- ASN1START
NPDSCH-ConfigCommon-NB-r13 ::= SEQUENCE {
   nrs-Power-r13
                                 INTEGER (-60..50)
NPDSCH-ConfigDedicated-NB-r16 ::= SEQUENCE {
                                   NPDSCH-MultiTB-Config-NB-r16 OPTIONAL
   npdsch-MultiTB-Config-r16
                                                                               -- Cond twoHARQ
NPDSCH-MultiTB-Config-NB-r16 ::= SEQUENCE {
                                ENUMERATED {interleaved, nonInterleaved},
   multiTB-Config-r16
   harq-AckBundling-r16
                                     ENUMERATED {true} OPTIONAL -- Cond interleaved
NPDSCH-ConfigDedicated-NB-v1710 ::= SEQUENCE {
                                SetupRelease {NPDSCH-16QAM-Config-NB-r17}
   npdsch-16QAM-Config-r17
NPDSCH-16QAM-Config-NB-r17 ::=SEQUENCE{
                       ENUMERATED {dB-6, dB-4dot77, dB-3, dB-1dot77, dB0, dB1, dB2, dB3}
   nrs-PowerRatio-r17
   OPTIONAL, -- Need OR
   nrs-PowerRatioWithCRS-r17 ENUMERATED {dB-6, dB-4dot77, dB-3, dB-1dot77, dB0, dB1, dB2, dB3}
   OPTIONAL
             -- Cond InBand
-- ASN1STOP
```

### NPDSCH-Config-NB field descriptions

#### multiTB-Config

For FDD: Activation of multiple TBs scheduling in DL, see TS 36.213 [23]. Value *interleaved* indicates that multiple TBs scheduling with interleaved transmission is enabled, value *nonInterleaved* indicates that multiple TBs scheduling without interleaved transmission is enabled.

#### harg-AckBundling

For FDD: Activation of HARQ ACK bundling for DL multiple TBs scheduling with interleaved transmission, see TS 36.213 [23].

#### npdsch-16QAM-Config

Activation of 16QAM for DL, see TS 36.213 [23].

#### nrs-Power

Provides the downlink narrowband reference-signal EPRE, see TS 36.213 [23], clause 16.2. The actual value in dBm.

#### nrs-PowerRatio

The power ratio of NPDSCH EPRE to NRS EPRE in symbols without NRS for standalone and guardband deployments, or in symbols without NRS nor CRS for in-band deployments. See TS 36.213 [23].

#### nrs-PowerRatioWithCRS

The power ratio of NPDSCH EPRE to NRS EPRE in symbols with CRS for inband deployments, see TS 36.213 [23].

Conditional presence	Explanation
InBand	The field is mandatory present if carrier is inband; otherwise, the field is not present and
	the UE shall delete any existing value for this field.
interleaved	The field is optionally present, Need OR, if <i>multiTB-Config</i> is set to <i>interleaved</i> ; otherwise
	the field is not present and the UE shall delete any existing value for this field.
twoHARQ	The field is optionally present, Need OR, if twoHARQ-ProcessesConfig is configured;
	otherwise the field is not present and the UE shall delete any existing value for this field.

### NPRACH-ConfigSIB-NB

The IE NPRACH-ConfigSIB-NB is used to specify the NPRACH configuration for the anchor and non-anchor carriers.

#### NPRACH-ConfigSIB-NB information elements

```
-- ASN1START
NPRACH-ConfigSIB-NB-r13 ::=
                                    SEQUENCE {
    nprach-CP-Length-r13
                                        ENUMERATED {us66dot7, us266dot7},
    rsrp-ThresholdsPrachInfoList-r13 RSRP-ThresholdsNPRACH-InfoList-NB-r13 OPTIONAL,
                                                                                             -- Need
OR
    nprach-ParametersList-r13 NPRACH-ParametersList-NB-r13
NPRACH-ConfigSIB-NB-v1330 ::= SEQUENCE {
    nprach-ParametersList-v1330 NPRACH
                                       NPRACH-ParametersList-NB-v1330
NPRACH-ConfigSIB-NB-v1450 ::=
                                  SEQUENCE {
   maxNumPreambleAttemptCE-r14
                                       ENUMERATED {n3, n4, n5, n6, n7, n8, n10, spare1}
NPRACH-ConfigSIB-NB-v1530 ::= SEQUENCE {
    tdd-Parameters-r15
                                        SEOUENCE {
        nprach-PreambleFormat-r15
                                           ENUMERATED {
                                                fmt0, fmt1, fmt2, fmt0-a, fmt1-a},
                                            ENUMERATED {
        dummy
                                                n1, n2, n4, n8, n16, n32, n64, n128,
                                                n256, n512, n1024},
        nprach-ParametersListTDD-r15
                                           NPRACH-ParametersListTDD-NB-r15
        OPTIONAL,
                       -- Cond TDD
       nprach-ParametersListFmt2-r15 SEQUENCE {
nprach-ParametersListFmt2-r15
    fmt2-Parameters-r15
                                         NPRACH-ParametersListFmt2-NB-r15 OPTIONAL, -- Need OR
        nprach-ParametersListFmt2EDT-r15 NPRACH-ParametersListFmt2-NB-r15 OPTIONAL
                                                                                       -- Cond EDT2
                       -- Need OR
        OPTIONAL,
    edt-Parameters-r15
                                        SEQUENCE {
        -Parameters-r15
edt-SmallTBS-Subset-r15
                                       ENUMERATED {true}
                                                                            OPTIONAL,
                                                                                        -- Need OR
        edt-TBS-InfoList-r15
                                            EDT-TBS-InfoList-NB-r15,
        nprach-ParametersListEDT-r15
                                           NPRACH-ParametersList-NB-r14 OPTIONAL
                                                                                        -- Need OR
        OPTIONAL
                       -- Cond EDT1
NPRACH-ConfigSIB-NB-v1550 ::= SEQUENCE {
```

```
tdd-Parameters-v1550
                                       SEQUENCE {
                                          NPRACH-ParametersListTDD-NB-v1550
       nprach-ParametersListTDD-v1550
}
NPRACH-ParametersList-NB-r13 ::= SEQUENCE (SIZE (1.. maxNPRACH-Resources-NB-r13)) OF NPRACH-
Parameters-NB-r13
NPRACH-ParametersList-NB-v1330 ::= SEQUENCE (SIZE (1.. maxNPRACH-Resources-NB-r13)) OF NPRACH-
Parameters-NB-v1330
NPRACH-Parameters-NB-r13::=
                                  SEQUENCE {
                                           ENUMERATED {ms40, ms80, ms160, ms240,
   nprach-Periodicity-r13
                                                       ms320, ms640, ms1280, ms2560},
   nprach-StartTime-r13
                                           ENUMERATED {ms8, ms16, ms32, ms64,
                                                       ms128, ms256, ms512, ms1024},
   nprach-SubcarrierOffset-r13
                                           ENUMERATED {n0, n12, n24, n36, n2, n18, n34, spare1},
    nprach-NumSubcarriers-r13
                                           ENUMERATED {n12, n24, n36, n48},
   nprach-SubcarrierMSG3-RangeStart-r13 ENUMERATED {zero, oneThird, twoThird, one},
                                          ENUMERATED {n3, n4, n5, n6, n7, n8, n10, spare1}, 
ENUMERATED {n1, n2, n4, n8, n16, n32, n64, n128},
   maxNumPreambleAttemptCE-r13
                                                       r256, r512, r1024, r2048,
                                                       spare4, spare3, spare2, spare1},
   npdcch-StartSF-CSS-RA-r13
                                           ENUMERATED {v1dot5, v2, v4, v8, v16, v32, v48, v64},
                                           ENUMERATED {zero, oneEighth, oneFourth, threeEighth}
    npdcch-Offset-RA-r13
NPRACH-Parameters-NB-v1330 ::= SEQUENCE {
   nprach-NumCBRA-StartSubcarriers-r13 ENUMERATED {n8, n10, n11, n12, n20, n22, n23, n24,
                                                       n32, n34, n35, n36, n40, n44, n46, n48}
NPRACH-ParametersList-NB-r14 ::= SEQUENCE (SIZE (1.. maxNPRACH-Resources-NB-r13)) OF
                                           NPRACH-Parameters-NB-r14
NPRACH-Parameters-NB-r14 ::=
                                      SEQUENCE {
   nprach-Parameters-r14
                                           SEQUENCE {
                                               ENUMERATED {ms40, ms80, ms160, ms240,
       nprach-Periodicity-r14
                                                          ms320, ms640, ms1280, ms2560}
                                                   OPTIONAL, -- NEED OP
                                               ENUMERATED {ms8, ms16, ms32, ms64,
       nprach-StartTime-r14
                                                           ms128, ms256, ms512, ms1024
                                                   OPTIONAL, -- NEED OP
       nprach-SubcarrierOffset-r14
                                               ENUMERATED {n0, n12, n24, n36, n2, n18, n34, spare1}
                                                  OPTIONAL, -- NEED OP
       nprach-NumSubcarriers-r14
                                               ENUMERATED {n12, n24, n36, n48}
                                                   OPTIONAL, -- NEED OP
       nprach-SubcarrierMSG3-RangeStart-r14
                                               ENUMERATED {zero, oneThird, twoThird, one}
                                               OPTIONAL, -- NEED OP
ENUMERATED {r1, r2, r4, r8, r16, r32, r64, r128,
       npdcch-NumRepetitions-RA-r14
                                                           r256, r512, r1024, r2048,
                                                           spare4, spare3, spare2, spare1}
                                                   OPTIONAL,
                                                              -- NEED OP
                                               ENUMERATED {vldot5, v2, v4, v8, v16, v32, v48, v64}

OPTIONAL, -- NEED OP
       npdcch-StartSF-CSS-RA-r14
       npdcch-Offset-RA-r14
                                               ENUMERATED {zero, oneEighth, oneFourth, threeEighth}
                                                   OPTIONAL, -- NEED OP
                                               ENUMERATED {n8, n10, n11, n12, n20, n22, n23, n24, n32, n34, n35, n36, n40, n44, n46, n48}
       nprach-NumCBRA-StartSubcarriers-r14
                                                  OPTIONAL, -- NEED OP
       npdcch-CarrierIndex-r14
                                               INTEGER (1..maxNonAnchorCarriers-NB-r14)
                                                  OPTIONAL, -- Need OP
        OPTIONAL -- Need OR
NPRACH-ParametersListTDD-NB-r15 ::= SEQUENCE (SIZE (1.. maxNPRACH-Resources-NB-r13)) OF
                                           NPRACH-ParametersTDD-NB-r15
NPRACH-ParametersTDD-NB-r15 ::= SEQUENCE {
   nprach-Parameters-r15
                                          SEQUENCE {
       nprach-Periodicity-r15
                                               ENUMERATED {ms80, ms160, ms320, ms640,
                                                           ms1280, ms2560, ms5120, ms10240}
                                                   OPTIONAL, -- NEED OP
                                               ENUMERATED {ms10, ms20, ms40, ms80,
       nprach-StartTime-r15
                                                           ms160, ms320, ms640, ms1280,
                                                           ms2560, ms5120, spare6, spare5,
```

```
spare4, spare3, spare2, spare1}
                                                       OPTIONAL, -- NEED OP
        nprach-SubcarrierOffset-r15
                                                   \texttt{ENUMERATED} \ \left\{ \texttt{n0} \,, \ \texttt{n12} \,, \ \texttt{n24} \,, \ \texttt{n36} \,, \ \texttt{n2} \,, \ \texttt{n18} \,, \ \texttt{n34} \,, \ \texttt{spare1} \right\}
                                                      OPTIONAL,
                                                                  -- NEED OP
        nprach-NumSubcarriers-r15
                                                   ENUMERATED {n12, n24, n36, n48}
                                                       OPTIONAL, -- NEED OP
        nprach-SubcarrierMSG3-RangeStart-r15
                                                   ENUMERATED {zero, oneThird, twoThird, one}
                                                       OPTIONAL, -- NEED OP
                                                   ENUMERATED {r1, r2, r4, r8, r16, r32, r64, r128,
        npdcch-NumRepetitions-RA-r15
                                                               r256, r512, r1024, r2048,
                                                  spare4, spare3, spare2, spare1}
OPTIONAL, -- NEED OP
ENUMERATED {v4, v8, v16, v32, v48, v64, v96, v128}
        npdcch-StartSF-CSS-RA-r15
                                                          OPTIONAL, -- NEED OP
        npdcch-Offset-RA-r15
                                                   ENUMERATED {zero, oneEighth, oneFourth, threeEighth}
                                                      OPTIONAL, -- NEED OP
        nprach-NumCBRA-StartSubcarriers-r15
                                                   ENUMERATED \{n8, n10, n11, n12, n20, n22, n23, n24,
                                                               n32, n34, n35, n36, n40, n44, n46, n48}
                                                       OPTIONAL, -- NEED OP
        OPTIONAL -- Need OR
NPRACH-ParametersListTDD-NB-v1550 ::= SEQUENCE (SIZE (1.. maxNPRACH-Resources-NB-r13)) OF
                                                  NPRACH-ParametersTDD-NB-v1550
NPRACH-ParametersTDD-NB-v1550 ::= SEQUENCE {
                                              ENUMERATED {n3, n4, n5, n6, n7, n8, n10, spare1},
   maxNumPreambleAttemptCE-v1550
   numRepetitionsPerPreambleAttempt-v1550 ENUMERATED {n1, n2, n4, n8, n16, n32, n64, n128,
                                                               n256, n512, n1024}
NPRACH-ParametersListFmt2-NB-r15 ::= SEQUENCE (SIZE (1.. maxNPRACH-Resources-NB-r13)) OF NPRACH-
ParametersFmt2-NB-r15
NPRACH-ParametersFmt2-NB-r15 ::=
                                         SEOUENCE {
   nprach-Parameters-r15
                                              SEQUENCE {
                                                  ENUMERATED {ms40, ms80, ms160, ms320,
        nprach-Periodicity-r15
                                                               ms640, ms1280, ms2560, ms5120}
                                                       OPTIONAL, -- NEED OP
        nprach-StartTime-r15
                                                   ENUMERATED {ms8, ms16, ms32, ms64,
                                                               ms128, ms256, ms512, ms1024}
                                                       OPTIONAL,
                                                                   -- NEED OP
        nprach-SubcarrierOffset-r15
                                                   ENUMERATED {n0, n36, n72, n108, n6, n54, n102, n42,
                                                               n78, n90, n12, n24, n48, n84, n60, n18}
                                                       OPTIONAL,
                                                                   -- NEED OP
        nprach-NumSubcarriers-r15
                                                   ENUMERATED {n36, n72, n108, n144}
                                                      OPTIONAL, -- NEED OP
        nprach-SubcarrierMSG3-RangeStart-r15
                                                   ENUMERATED {zero, oneThird, twoThird, one}
                                                   OPTIONAL, -- NEED OP
ENUMERATED {r1, r2, r4, r8, r16, r32, r64, r128,
        npdcch-NumRepetitions-RA-r15
                                                               r256, r512, r1024, r2048,
                                                               spare4, spare3, spare2, spare1}
                                                       OPTIONAL,
                                                                   -- NEED OP
                                                   ENUMERATED {vldot5, v2, v4, v8, v16, v32, v48, v64} OPTIONAL, -- NEED OP
        npdcch-StartSF-CSS-RA-r15
        npdcch-Offset-RA-r15
                                                   ENUMERATED {zero, oneEighth, oneFourth, threeEighth}
                                                       OPTIONAL,
                                                                   -- NEED OP
        nprach-NumCBRA-StartSubcarriers-r15
                                                   ENUMERATED {
                                                       n24, n30, n33, n36, n60, n66, n69, n72,
                                                       n96, n102, n105, n108, n120, n132, n138, n144}
                                                                   -- NEED OP
                                                       OPTIONAL,
        npdcch-CarrierIndex-r15
                                                   INTEGER (1..maxNonAnchorCarriers-NB-r14)
                                                       OPTIONAL, -- Need OP
        OPTIONAL
                  -- Need OR
NPRACH-TxDurationFmt01-NB-r17 ::= SEQUENCE {
   nprach-TxDurationFmt01-r17
                                     ENUMERATED {n2, n4, n8, n16, n32, n64}
NPRACH-TxDurationFmt2-NB-r17 ::= SEQUENCE {
    nprach-TxDurationFmt2-r17
                                     ENUMERATED {n1, n2, n4, n8, n16}
RSRP-ThresholdsNPRACH-InfoList-NB-r13 ::= SEQUENCE (SIZE(1..2)) OF RSRP-Range
```

```
EDT-TBS-InfoList-NB-r15 ::= SEQUENCE (SIZE (1.. maxNPRACH-Resources-NB-r13)) OF EDT-TBS-NB-r15
EDT-TBS-NB-r15 ::= SEQUENCE {
   edt-SmallTBS-Enabled-r15
                                  BOOLEAN,
                                       ENUMERATED {b328, b408, b504, b584, b680, b808, b936, b1000}
   edt-TBS-r15
}
-- ASN1STOP
```

### NPRACH-ConfigSIB-NB field descriptions

#### dummy

This field is not used in the specification. If received it shall be ignored by the UE.

#### edt-SmallTBS-Enabled

Value TRUE indicates UE performing EDT is allowed to select TBS smaller than *edt-TBS* for Msg3 according to the corresponding NPRACH resource, as specified in TS 36.213 [23].

#### edt-SmallTBS-Subset

Presence indicates only two of the TBS values can be used according to *edt-TBS* corresponding to the NPRACH resource, as specified in TS 36.213 [23]. When the field is not present, any of the TBS values according to *edt-TBS* corresponding to the NPRACH resource can be used. This field is applicable for a NPRACH resource only when *edt-SmallTBS-Enabled* is included for the corresponding NPRACH resource.

#### edt-TBS

Largest TBS for Msg3 for a NPRACH resource applicable to a UE performing EDT. Value in bits. Value b328 corresponds to 328 bits, value b408 corresponds to 408 bits and so on. See TS 36.213 [23].

#### maxNumPreambleAttemptCE

Maximum number of preamble transmission attempts per NPRACH resource. See TS 36.321 [6].

If the UE supports enhanced random access power control and *maxNumPreambleAttemptCE-r14* is included, the UE shall use *maxNumPreambleAttemptCE-r14* instead of *maxNumPreambleAttemptCE-r13* for the first entry in *nprach-ParametersList*.

maxNumPreambleAttemptCE-r13 applies to FDD and maxNumPreambleAttemptCE-v1550 applies to TDD.

#### npdcch-CarrierIndex

For FDD: Index of the carrier in the list of DL non anchor carriers. The first entry in the list has index '1', the second entry has index '2' and so on.

If the UE supports mixed operation mode and *dl-ConfigListMixed* is present in *systemInformationBlockType22-NB*, the UE creates a combined list of DL carriers for random access by appending *dl-ConfigListMixed* to the *dl-ConfigList* while maintaining the order among both *dl-ConfigList* and *dl-ConfigListMixed*; only the first *maxNonAnchorCarriers-NB-r14* DL non-anchor carriers in the concatenated list can be used for random access.

If the field is absent in the entry in *nprach-ParametersListEDT* in *SystemInformationBlockType22-NB*, the value of *npdcch-CarrierIndex* in the corresponding entry of *nprach-ParametersList* applies, if present. If the field is absent in an entry in *nprach-ParametersListFmt2EDT* in *SystemInformationBlockType23-NB*, the value of *npdcch-CarrierIndex* in the corresponding entry of *nprach-ParametersListFmt2* applies, if present. Otherwise, the DL anchor carrier is used. For TDD: This parameter is absent and the same carrier is used in uplink and downlink.

### npdcch-NumRepetitions-RA

Maximum number of repetitions for NPDCCH common search space (CSS) for RAR, Msg3 retransmission and Msg4, see TS 36.213 [23], clause 16.6.

See NOTE.

### npdcch-Offset-RA

Fractional period offset of starting subframe for NPDCCH common search space (CSS Type 2), see TS 36.213 [23], clause 16.6.

See NOTE.

### npdcch-StartSF-CSS-RA

Starting subframe configuration for NPDCCH common search space (CSS), including RAR, Msg3 retransmission, and Msg4, see TS 36.213 [23], clause 16.6.

See NOTE

#### nprach-CP-Length

Cyclic prefix length for NPRACH transmission (T<sub>CP</sub>), see TS 36.211 [21], clause 10.1.6. Value us66dot7 corresponds to 66.7 microseconds and value us266dot7 corresponds to 266.7 microseconds. If the UE uses a NPRACH resource for preamble format 2, the UE ignores the value signalled in *nprach-CP-Length* and considers the value to be 800 microseconds.

### nprach-NumCBRA-StartSubcarriers

The number of start subcarriers from which a UE can randomly select a start subcarrier as specified in TS 36.321 [6]. If nprach-Config-v1330 is not included in SystemInformationBlockType2-NB, the UE sets the value of nprach-NumCBRA-StartSubcarriers-r13 to the value signalled by nprach-NumSubcarriers-r13 for the corresponding NPRACH resource.

The start subcarrier indices that the UE is allowed to randomly select from, are given by:

nprach-SubcarrierOffset + [0, nprach-NumCBRA-StartSubcarriers - 1].

See NOTE.

#### nprach-NumSubcarriers

Number of sub-carriers in a NPRACH resource, see TS 36.211 [21], clause 10.1.6. In number of subcarriers. See NOTE.

### NPRACH-ConfigSIB-NB field descriptions

#### nprach-ParametersList, nprach-ParametersListEDT

Configures NPRACH parameters for each NPRACH resource. Up to three PRACH resources can be configured in *nprach-ParametersList* in a cell. Each NPRACH resource is associated with a different number of NPRACH repetitions.

E-UTRAN includes the same number of entries, and listed in the same order for *nprach-ParametersListEDT*, as in *nprach-ParametersList* in *SystemInformationBlockType2-NB*.

The NPRACH resources in *nprach-ParametersListEDT* are used to initiate EDT. Each NPRACH resource is associated with a TBS signalled in the corresponding entry of *edt-TBS-InfoList*.

For TDD: The UE shall use nprach-ParametersListTDD and ignore nprach-ParametersList.

### nprach-ParametersListTDD

For TDD: Configure NPRACH parameters for each NPRACH. Up to three NPRACH resources can be configured in a cell. Each NPRACH resource is associated with a different number of NPRACH repetitions.

### nprach-ParametersListFmt2, nprach-ParametersListFmt2EDT

Configures NPRACH parameters for each NPRACH resource format 2. Up to three NPRACH resources can be configured on one carrier. Each NPRACH resource is associated with a different number of NPRACH repetitions. E-UTRAN includes the same number of entries, and listed in the same order, as in *nprach-ParametersList* in *SystemInformationBlockType2-NB*.

The NPRACH resources in *nprach-ParametersListFmt2EDT* are used to initiate EDT. Each NPRACH resource is associated with a TBS signalled in the corresponding entry of *edt-TBS-InfoList*.

E-UTRAN configures the NPRACH resources format 2 so that they do not overlap in time domain with the NPRACH resources configured in *nprach-ParametersList* and *nprach-ParametersListEDT*.

If there is no NPRACH resource in *nprach-ParametersListFmt2* (respectively *nprach-ParametersListFmt2EDT*) on any UL carrier for one NPRACH repetition level, the UE uses the NPRACH resources in *nprach-ParametersList* (respectively *nprach-ParametersListEDT*) for this NPRACH repetition level. Otherwise, the UE uses only NPRACH resources in *nprach-ParametersListFmt2* (respectively *nprach-ParametersListFmt2EDT*).

#### nprach-Periodicity

Periodicity of a NPRACH resource, see TS 36.211 [21], clause10.1.6. Unit in millisecond. See NOTE.

#### nprach-PreambleFormat

TDD: TDD preamble format, see TS 36.211 [21]. clause 10.1.6,

Value *fmt0* corresponds to preamble format 0, value *fmt1* corresponds to preamble format 1 and so on.

#### nprach-StartTime

Start time of the NPRACH resource in one period, see TS 36.211 [21], clause 10.1.6. Unit in millisecond. See NOTE.

### nprach-SubcarrierOffset

Frequency location of the NPRACH resource, see TS 36.211 [21], clause 10.1.6. In number of subcarriers, offset from sub-carrier 0.

See NOTE.

#### nprach-SubcarrierMSG3-RangeStart

Fraction for calculating the starting subcarrier index of the range reserved for indication of UE support for multi-tone Msg3 transmission, within the NPRACH resource, see TS 36.211 [21], clause 10.1.6. Multi-tone Msg3 transmission is not supported for {32, 64, 128} repetitions of NPRACH. For at least one of the NPRACH resources with the number of NPRACH repetitions other than {32, 64, 128}, the value of *nprach-SubcarrierMSG3-RangeStart* should not be 0. If *nprach-SubcarrierMSG3-RangeStart* is equal to zero, no start subcarrier index for the single-tone Msg3 NPRACH is allocated and the start subcarrier indexes for the multi-tone Msg3 NPRACH partition are given by *nprach-SubcarrierOffset* + [0, *nprach-NumCBRA-StartSubcarriers* - 1].

If nprach-SubcarrierMSG3-RangeStart is equal to oneThird or twoThird, the start subcarrier indexes for the two partitions are given by:

nprach-SubcarrierOffset + [0, FLOOR (nprach-NumCBRA-StartSubcarriers \* nprach-SubcarrierMSG3-RangeStart) -1] for the single-tone Msg3 NPRACH partition:

nprach-SubcarrierOffset + [FLOOR (nprach-NumCBRA-StartSubcarriers \* nprach-SubcarrierMSG3-RangeStart), nprach-NumCBRA-StartSubcarriers - 1]

for the multi-tone Msg3 NPRACH partition;

If nprach-SubcarrierMSG3-RangeStart is equal to one, the start subcarrier indexes for the single-tone Msg3 NPRACH are given by nprach-SubcarrierOffset + [0, nprach-NumCBRA-StartSubcarriers - 1] and no start subcarrier index for the multi-tone Msg3 NPRACH partition is allocated. See NOTE.

#### nprach-TxDurationFmt01

Duration of PRACH segment transmission for PRACH resource format 0 and format 1 in NTN transmission, see TS 36.213 [23]. Unit in duration of preamble repetition unit, i.e., 4 \* (TCP+TSEQ).

Value *n2* corresponds to the duration of 2 preamble repetition units, value *n4* corresponds to the duration of 4 preamble repetition units and so on.

### nprach-TxDurationFmt2

Duration of PRACH segment transmission for PRACH resource format 2 in NTN transmission, see TS 36.213 [23]. Unit in duration of preamble repetition unit, i.e., 6 \* (TCP+TSEQ).

Value *n1* corresponds to the duration of 1 preamble repetition unit, value *n2* corresponds to the duration of 2 preamble repetition units and so on.

#### NPRACH-ConfigSIB-NB field descriptions

#### numRepetitionsPerPreambleAttempt

Number of NPRACH repetitions per attempt for each NPRACH resource, See TS 36.211 [21], clause 10.1.6. numRepetitionsPerPreambleAttempt-r13 applies to FDD and numRepetitionsPerPreambleAttempt-v1550 applies to TDD.

#### rsrp-ThresholdsPrachInfoList

The criterion for UEs to select a NPRACH resource. Up to 2 RSRP threshold values can be signalled. The first element corresponds to RSRP threshold 1, the second element corresponds to RSRP threshold 2. See TS 36.321 [6]. If absent, there is only one NPRACH resource.

A UE that supports powerClassNB-14dBm-r14 shall correct the RSRP threshold values before applying them as follows:

RSRP threshold = Signalled RSRP threshold - min{0, (14-min(23, P-Max))} where P-Max is the value of *p-Max* field in *SystemInformationBlockType1-NB*.

#### NOTE:

- If the field is absent in an entry of nprach-ParametersList in SystemInformationBlockType22-NB, the value of the same field in the corresponding entry of nprach-ParametersList in SystemInformationBlockType2-NB applies.
- If the field is absent in the entry in *nprach-ParametersListEDT*, the value of the same field in the corresponding entry of *nprach-ParametersList* on the same UL carrier applies, if present. Otherwise, the value of the same field in the corresponding entry of *nprach-ParametersList* in *SystemInformationBlockType2-NB* applies.
- If the field is absent in an entry of *nprach-ParametersListTDD* in *SystemInformationBlockType22-NB*, the value of the same field in the corresponding entry of *nprach-ParametersListTDD* in *SystemInformationBlockType2-NB* applies. The field is mandatory present in *nprach-ParametersListTDD* in *SystemInformationBlockType2-NB*.
- If the field is absent in an entry of nprach-ParametersListFmt2 in SystemInformationBlockType23-NB, the value of the same field, if present, in the corresponding entry of nprach-ParametersListFmt2 in SystemInformationBlockType2-NB applies. Otherwise the value of the same field, if present, in the corresponding entry of the first occurrence of nprach-ParametersListFmt2 in the non anchor carrier list applies. Otherwise, the value of the same field in the corresponding entry of nprach-ParametersList in SystemInformationBlockType2-NB applies.
- If the field is absent in an entry of *nprach-ParametersListFmt2* in *SystemInformationBlockType2-NB*, the value of the same field in the corresponding entry of *nprach-ParametersList* in *SystemInformationBlockType2-NB* applies.
- If the field is absent in an entry of *nprach-ParametersListFmt2EDT* in *SystemInformationBlockType23-NB*, the value of the same field, if present, in the corresponding entry of *nprach-ParametersListFmt2* on the same UL carrier applies. Otherwise, the value of the same field, if present, in the corresponding entry of *nprach-ParametersListFmt2* in *SystemInformationBlockType2-NB* applies. Otherwise the value of the same field, if present, in the corresponding entry of the first occurence of *nprach-ParametersListFmt2* in the non anchor carrier list applies. Otherwise, the value of the same field in the corresponding entry of *nprach-ParametersList* in *SystemInformationBlockType2-NB* applies.
- If the field is absent in an entry of nprach-ParametersListFmt2EDT in SystemInformationBlockType2-NB, the value of the same field, if present, in the corresponding entry of nprach-ParametersListFmt2 in SystemInformationBlockType2-NB applies. Otherwise the value of the same field in the corresponding entry of nprach-ParametersList in SystemInformationBlockType2-NB applies.

Conditional presence	Explanation
EDT1	The field is mandatory present if <i>cp-EDT</i> , <i>cp-EDT-5GC</i> , <i>up-EDT</i> or <i>up-EDT-5GC</i> in
	SystemInformationBlockType2-NB is present; otherwise the field is not present and the
	UE shall delete any existing value for this field.
EDT2	The field is optionally present, Need OR, if edt-Parameters is present; otherwise the field
	is not present and the UE shall delete any existing value for this field.
TDD	This field is mandatory present for TDD; otherwise the field is not present and the UE
	shall delete any existing value for this field.

# NPUSCH-Config-NB

The IE *NPUSCH-ConfigCommon-NB* is used to specify the common NPUSCH configuration. The IE *NPUSCH-ConfigDedicated-NB* is used to specify the UE specific NPUSCH configuration.

### NPUSCH-Config-NB information element

```
-- ASN1START
NPUSCH-ConfigCommon-NB-r13 ::= SEQUENCE {
                                         SEQUENCE (SIZE(1.. maxNPRACH-Resources-NB-r13)) OF
    ack-NACK-NumRepetitions-Msg4-r13
                                                             ACK-NACK-NumRepetitions-NB-r13,
    srs-SubframeConfig-r13
                                            ENUMERATED {
                                                sc0, sc1, sc2, sc3, sc4, sc5, sc6, sc7,
                                                sc8, sc9, sc10, sc11, sc12, sc13, sc14, sc15
                                                                               OPTIONAL,
                                                                                            -- Need OR
    dmrs-Config-r13
                                            SEQUENCE {
                                           INTEGER (0..12)
        threeTone-BaseSequence-r13
                                                                          OPTIONAL,
                                                                                        -- Need OP
        threeTone-CyclicShift-r13
sixTone-BaseSequence-r13
sixTone-CyclicShift-r13
                                                INTEGER (0..2),
                                               INTEGER (0..14)
                                                                          OPTIONAL, -- Need OP
        sixTone-CyclicShift-r13
sixTone-CyclicShift-r13
twelveTone-BaseSequence-r13
OPTIONAL, -- Need OR
UL-ReferenceSignalsNPUSCH-NB-r13
                                                                          OPTIONAL
                                                                                        -- Need OP
    ul-ReferenceSignalsNPUSCH-r13
UL-ReferenceSignalsNPUSCH-NB-r13 ::= SEQUENCE {
                                               BOOLEAN,
    groupHoppingEnabled-r13
                                                INTEGER (0..29)
    groupAssignmentNPUSCH-r13
{\tt NPUSCH-ConfigDedicated-NB-r13} ::= {\tt SEQUENCE} \ \{
    ack-NACK-NumRepetitions-r13 ACK-NACK-NumRepetitions-NB-r13 OPTIONAL, npusch-AllSymbols-r13 BOOLEAN OPTIONAL, groupHoppingDisabled-r13 ENUMERATED {true} OPTIONAL
                                                                                            -- Need ON
                                                                OPTIONAL,
                                                                                            -- Cond SRS
                                                                                            -- Need OR
}
NPUSCH-ConfigDedicated-NB-v1610 ::= SEQUENCE {
   npusch-MultiTB-Config-r16
                                          ENUMERATED {interleaved, nonInterleaved}
NPUSCH-ConfigDedicated-NB-v1700 ::= SEQUENCE {
    npusch-16QAM-Config-r17 ENUMERATED {true} OPTIONAL -- Need OR
NPUSCH-TxDuration-NB-r17 ::=
                                  SEQUENCE {
                                      ENUMERATED {ms2, ms4, ms8, ms16, ms32, ms64, ms128, ms256}
   npusch-TxDuration-r17
ACK-NACK-NumRepetitions-NB-r13 ::= ENUMERATED {r1, r2, r4, r8, r16, r32, r64, r128}
-- ASN1STOP
```

#### NPUSCH-Config-NB field descriptions

#### ack-NACK-NumRepetitions

Number of repetitions for the ACK NACK resource unit carrying HARQ response to NPDSCH, see TS 36.213 [23], clause 16.4.2. If this field is absent and no value was configured via dedicated signalling, the value used for reception of Msg4 is used.

#### ack-NACK-NumRepetitions-Msg4

Number of repetitions for ACK/NACK HARQ response to NPDSCH containing Msg4 per NPRACH resource, see TS 36.213 [23], clause 16.4.2.

#### groupAssignmentNPUSCH

See TS 36.211 [21], clause 10.1.4.1.3.

#### groupHoppingDisabled

See TS 36.211 [21], clause 10.1.4.1.3.

### groupHoppingEnabled

See TS 36.211 [21], clause 10.1.4.1.3.

### npusch-16QAM-Config

Activation of 16QAM for UL, see TS 36.213 [23].

#### npusch-AllSymbols

If set to TRUE, the UE shall use all NB-IoT symbols for NPUSCH transmission. If set to FALSE, the UE punctures the NPUSCH transmissions in the symbols that collides with SRS. If the field is not present, the UE uses all NB-IoT symbols for NPUSCH transmission. See TS 36.211 [21], clause 10.1.3.6.

#### npusch-MultiTB-Config

For FDD: Activation of multiple TBs scheduling in UL, see TS 36.213 [23]. Value *interleaved* indicates that multiple TBs scheduling with interleaved transmission is enabled, value *nonInterleaved* indicates that multiple TBs scheduling without interleaved transmission is enabled.

#### npusch-TxDuration

Duration of NPUSCH segment transmission in NTN transmission, see TS 36.213 [23]. Unit in ms.

Value ms2 corresponds to 2 ms, value ms4 corresponds to 4 ms and so on.

#### sixTone-BaseSequence

The base sequence of DMRS sequence in a cell for 6 tones transmission; see TS 36.211 [21], clause 10.1.4.1.2. If absent, it is given by NB-IoT CellID mod 14. Value 14 is not used.

#### sixTone-CyclicShift

Define 4 cyclic shifts for the 6-tone case, see TS 36.211 [21], clause 10.1.4.1.2.

#### srs-SubframeConfig

SRS SubframeConfiguration. See TS 36.211 [21], table 5.5.3.3-1. Value sc0 corresponds to value 0, sc1 to value 1 and so on.

#### threeTone-BaseSequence

The base sequence of DMRS sequence in a cell for 3 tones transmission; see TS 36.211 [21], clause 10.1.4.1.2. If absent, it is given by NB-IoT CellID mod 12. Value 12 is not used.

#### threeTone-CyclicShift

Define 3 cyclic shifts for the 3-tone case, see TS 36.211 [21], clause 10.1.4.1.2.

### twelveTone-BaseSequence

The base sequence of DMRS sequence in a cell for 12 tones transmission; see TS 36.211 [21], clause 10.1.4.1.2. If absent, it is given by NB-IoT CellID mod 30. Value 30 is not used.

#### ul-ReferenceSignalsNPUSCH

Used to specify parameters needed for the transmission on NPUSCH.

Conditional presence	Explanation
SRS	This field is optionally present, need OP, if srs-SubframeConfig is broadcasted.
	Otherwise, the IE is not present.

### PDCP-Config-NB

The IE PDCP-Config-NB is used to set the configurable PDCP parameters for data radio bearers.

### PDCP-Config-NB information element

```
maxCID-r13
                                      INTEGER (1..16383)
                                                                       DEFAULT 15,
          profiles-r13
                                       SEQUENCE {
              profile0x0002
                                          BOOLEAN,
              profile0x0003
                                          BOOLEAN.
              profile0x0004
                                           BOOLEAN.
              profile0x0006
                                          BOOLEAN,
              profile0x0102
                                           BOOLEAN.
              profile0x0103
                                          BOOLEAN.
              profile0x0104
                                          BOOLEAN
          },
  [[
      cipheringDisabled-r16
                                  ENUMERATED {true}
                                                           OPTIONAL
                                                                       -- Cond ConnectedTo5GC
- ASN1STOP
```

## PDCP-Config-NB field descriptions

## cipheringDisabled

If included, ciphering is disabled for this DRB regardless of which ciphering algorithm is configured for the SRB/DRBs. E-UTRAN may include this field only when the UE is connected to 5GC. The value for this field cannot be changed after the DRB is set up.

## discardTimer

Indicates the discard timer value specified in TS 36.323 [8]. Value in milliseconds. Value ms5120 means 5120 ms, ms10240 means 10240 ms and so on.

## headerCompression

E-UTRAN does not reconfigure header compression except optionally upon RRC Connection Resumption.

#### maxCID

Indicates the value of the MAX\_CID parameter as specified in TS 36.323 [8]. The total value of MAX\_CIDs across all bearers for the UE should be less than or equal to the value of *maxNumberROHC-ContextSessions* parameter as indicated by the UE.

## profiles

The profiles used by both compressor and decompressor in both UE and E-UTRAN. The field indicates which of the ROHC profiles specified in TS 36.323 [8] are supported, i.e. value *true* indicates that the profile is supported. Profile 0x0000 shall always be supported when the use of ROHC is configured. If support of two ROHC profile identifiers with the same 8 LSB's is signalled, only the profile corresponding to the highest value shall be applied.

Conditional presence	Explanation
ConnectedTo5GC	The field is optionally present, need OR, if the UE is connected to 5GC. Otherwise the
	field is not present and the UE shall delete any existing value for this field.
Setup	The field is mandatory present in case of radio bearer setup. Otherwise the field is
_	optionally present, need ON.

# PhysicalConfigDedicated-NB

The IE *PhysicalConfigDedicated-NB* is used to specify the UE specific physical channel configuration.

# PhysicalConfigDedicated-NB information element

```
-- ASN1START
PhysicalConfigDedicated-NB-r13 ::= SEQUENCE {
    carrierConfigDedicated-r13
npdcch-ConfigDedicated-r13
npusch-ConfigDedicated-r13
uplinkPowerControlDedicated-r13
                                          CarrierConfigDedicated-NB-r13
                                                                                  OPTIONAL,
                                                                                                -- Need ON
                                                                                               -- Need ON
                                           NPDCCH-ConfigDedicated-NB-r13
                                                                                  OPTIONAL,
                                                                                  OPTIONAL,
                                          NPUSCH-ConfigDedicated-NB-r13
                                                                                               -- Need ON
                                           UplinkPowerControlDedicated-NB-r13 OPTIONAL,
                                                                                               -- Need ON
    [[ twoHARQ-ProcessesConfig-r14
                                          ENUMERATED {true}
                                                                 OPTIONAL
                                                                              -- Need OR
    ]],
    [[ interferenceRandomisationConfig-r14 ENUMERATED {true}
                                                                     OPTIONAL
                                                                                  -- Need OR
    ]],
    [[ npdcch-ConfigDedicated-v1530
                                        NPDCCH-ConfigDedicated-NB-v1530
                                                                                  OPTIONAL
                                                                                                -- Cond TDD
    11,
        additionalTxSIB1-Config-v1540
                                               ENUMERATED {true}
                                                                     OPTIONAL
                                                                                  -- Cond additionalSIB1
    [[ npusch-ConfigDedicated-v1610
                                               NPUSCH-ConfigDedicated-NB-v1610
```

```
OPTIONAL, -- Cond twoHARQ
       npdsch-ConfigDedicated-r16
                                          NPDSCH-ConfigDedicated-NB-r16
                                                                  OPTIONAL,
                                                                              -- Need ON
       resourceReservationConfigDL-r16
                                          SetupRelease {ResourceReservationConfig-NB-r16}
                                                                  OPTIONAL, -- Cond dl-NonAnchor
       resourceReservationConfigUL-r16
                                          SetupRelease {ResourceReservationConfig-NB-r16}
                                                                  OPTIONAL
                                                                             -- Cond ul-NonAnchor
      ntn-ConfigDedicated-r17
                                          SEQUENCE {
           npusch-TxDuration-r17
                                                  SetupRelease {NPUSCH-TxDuration-NB-r17}
        } OPTIONAL, -- Cond NTN
       npdsch-ConfigDedicated-v1700
                                    NPDSCH-ConfigDedicated-NB-v1710 OPTIONAL,
                                                                                  -- Need ON
       uplinkPowerControlDedicated-v1700 UplinkPowerControlDedicated-NB-v1700
                                                                                     OPTIONAL --
Cond npusch-16QAM
   ]],
   11
   uplinkSegmentedPrecompensationGap-r17 ENUMERATED {sym1,sl1,sl2}
                                                                      OPTIONAL -- Need OR
       npusch-ConfigDedicated-v1740 NPUSCH-ConfigDedicated-NB-v1700 OPTIONAL
}
-- ASN1STOP
```

## PhysicalConfigDedicated-NB field descriptions

## additionalTxSIB1-Config

Indicates if subframe #3 not containing additional SIB1 transmission is a NB-IoT DL subframe, as specified in TS 36.213 [23], clause 16.4.

#### carrierConfigDedicated

Anchor/ non-anchor carrier used for all unicast transmissions.

## interferenceRandomisationConfig

For FDD: Interference randomisation enabled in connected mode, except for random access procedure in connected mode, see TS 36.211 [21]. For random access in connected mode interference randomisation on non-anchor is used and is not used on anchor carrier, see TS 36.211 [21].

For TDD: the parameter is not present.

## npdcch-ConfigDedicated

NPDCCH configuration.

# npdsch-ConfigDedicated

NPDSCH configuration.

## npusch-ConfigDedicated

UL unicast configuration.

## resourceReservationConfigDL

Configuration of downlink reserved resources, e.g. for NB-IoT co-existence with NR, see TS 36.211 [21], TS 36.212 [22], and TS 36.213 [23].

#### resourceReservationConfigUL

Configuration of uplink reserved resources, e.g. for NB-IoT co-existence with NR, see TS 36.211 [21], TS 36.212 [22], and TS 36.213 [23].

## twoHARQ-ProcessesConfig

Activation of two HARQ processes, see TS 36.212 [22] and TS 36.213 [23].

#### uplink-PowerControlDedicated

UL power control parameter.

# uplinkSegmentedPrecompensationGap

Indicates the gap value between segments for NPUSCH for TA pre-compensation. Value *sym1* corresponds to 1 symbol, value *sl1* corresponds to 1 slot, value *sl2* corresponds to 2 slots.

Conditional presence	Explanation
additionalSIB1	This field is optionally present, Need OR, if additionalTransmissionSIB1 is set to TRUE in
	MasterInformationBlock-NB; otherwise it is not present.
dl-NonAnchor	The field is optionally present, Need ON, for a DL non-anchor carrier; otherwise the field
	is not present and the UE shall delete any existing value for this field.
npusch-16QAM	This field is mandatory present, if <i>npusch-16QAM-Config-r17</i> is true; otherwise the field is
	not present and the UE shall delete any existing value for this field.
NTN	The field is optionally present, Need ON, for NTN. Otherwise, the field is not present and
	the UE shall delete any existing value for this field.
TDD	The field is optionally present, Need OR, for TDD; otherwise the field is not present and
	the UE shall delete any existing value for this field.
twoHARQ	The field is optionally present, Need OR, if twoHARQ-ProcessesConfig is configured;
	otherwise the field is not present and the UE shall delete any existing value for this field.
ul-NonAnchor	The field is optionally present, Need ON, for an UL non-anchor carrier; otherwise the field
	is not present and the UE shall delete any existing value for this field.

# PUR-Config-NB

The IE *PUR-Config-NB* is used to specify PUR configuration.

# PUR-Config-NB information element

```
-- ASN1START
                                    SEQUENCE {
PUR-Config-NB-r16
                   ::=
   pur-ConfigID-r16
                                    PUR-ConfigID-NB-r16
INTEGER (1..8)
                                                                        OPTIONAL, --Need OR
    pur-TimeAlignmentTimer-r16
                                        INTEGER (1..8)
                                                                    OPTIONAL, --Need OR
   pur-NRSRP-ChangeThreshold-r16
                                       SetupRelease {PUR-NRSRP-ChangeThreshold-NB-r16}
                                                                        OPTIONAL, --Need ON
                                       ENUMERATED {n2, n4, n8, spare} OPTIONAL,
   pur-ImplicitReleaseAfter-r16
                                                                                    --Need OR
   pur-RNTI-r16
                                                                        OPTIONAL,
   pur-ResponseWindowTimer-r16
                                       ENUMERATED {pp1, pp2, pp3, pp4, pp8, pp16, pp32, pp64}
                                                                        OPTIONAL,
                                       SEQUENCE {
    pur-StartTimeParameters-r16
       -StartTimeParameters-r16
periodicityAndOffset-r16
                                           PUR-PeriodicityAndOffset-NB-r16,
        startSFN-r16
                                            INTEGER (0..1023),
        startSubframe-r16
                                            INTEGER (0..9),
                                            BIT STRING (SIZE(1))
       hsfn-LSB-Info-r16
                                                                        OPTIONAL, --Need ON
    pur-NumOccasions-r16
                                       ENUMERATED {one, infinite},
   pur-PhysicalConfig-r16
carrierConfig-r16
                                      SEQUENCE {
                                            CarrierConfigDedicated-NB-r13,
       npusch-NumRUsIndex-r16
                                            INTEGER (0..7),
       npusch-NumRepetitionsIndex-r16
npusch-SubCarrierSetIndex-r16
                                           INTEGER (0..7),
                                            CHOICE {
                                                INTEGER (0..18),
           khz15
            khz3dot75
                                                INTEGER (0..47)
        npusch-MCS-r16
                                            CHOICE {
            singleTone
                                                INTEGER (0..10),
                                                INTEGER (0..13)
            multiTone
        p0-UE-NPUSCH-r16
                                            INTEGER (-8..7),
                                           ENUMERATED {al0, al04, al05, al06,
       alpha-r16
                                                        al07, al08, al09, al1},
                                           ENUMERATED {n0, n6},
       npusch-CyclicShift-r16
        npdcch-Config-r16
                                            NPDCCH-ConfigDedicated-NB-r13
    }
       OPTIONAL, -- Need ON
    [[
                                            SEQUENCE {
       pur-PhysicalConfig-v1650
           ack-NACK-NumRepetitions-r16
                                           ACK-NACK-NumRepetitions-NB-r13
                                                                OPTIONAL
                                                                          --Need ON
    ]],
       pur-PhysicalConfig-v1700
                                            SEQUENCE
          pur-UL-16QAM-Config-r17 SetupRelease {PUR-UL-16QAM-Config-NB-r17} OPTIONAL, -- Need
ON
           pur-DL-16QAM-Config-r17 SetupRelease {NPDSCH-16QAM-Config-NB-r17} OPTIONAL -- Need
ON
                        OPTIONAL -- Need ON
   ]]
```

## PUR-Config-NB field descriptions

#### ack-NACK-NumRepetitions

Number of repetitions for the ACK NACK resource unit carrying HARQ response to NPDSCH, see TS 36.213 [23], clause 16.4.2. If this field is absent and no value was configured via *pur-Config*, the value of *ack-NACK-NumRepetitions* used for HARQ response to NPDSCH containing this *RRCConnectionRelease-NB* message applies.

#### alpha

Parameter:  $\alpha_c(3)$ . See TS 36.213 [23], clause 16.2.1.1.1.

## carrierConfig

Carrier used for PUR.

#### hsfn-LSB-Info

LSB of the H-SFN corresponding to the last subframe of the first transmission of *RRCConnectionRelease* message containing *pur-Config*.

#### npdcch-Config

NPDCCH configuration for PUR.

#### npusch-CyclicShift

Parameter:  $n_{cs}$ . See TS 36.211 [21], clause 10.1.4.1.2. Value n0 corresponds to value 0 and value n6 corresponds to value 6.

## npusch-MCS

Index to tables specified in TS 36.213 [23], Table 16.5.1.2-1 and Table 16.5.1.2-2 for single tone and multi tone respectively, that defines modulation and TBS index for NPUSCH for PUR. If 16QAM UL for PUR is configured, value *singleTone* is not applicable, signalled value of *multiTone* shall be less than or equal to 7, and actual value = signalled value + 14.

## npusch-NumRepetitionsIndex

Index to a table specified in TS 36.213 [23], Table 16.5.1.1-3, that defines number of repetitions for NPUSCH for PUR.

#### npusch-NumRUsIndex

Index to a table specified in TS 36.213 [23], Table 16.5.1.1-2, that defines number of resource units for NPUSCH for PUR.

#### npusch-SubCarrierSetIndex

For NPUSCH transmission with subcarrier spacing 3.75 kHz, indicates the subcarrier used for PUR specified in TS 36.213 [23].

For NPUSCH transmission with subcarrier spacing 15 kHz, index to a table specified in TS 36.213 [23], Table 16.5.1.1-1, that defines the set of subcarriers for NPUSCH for PUR.

## p0-UE-NPUSCH

Parameter:  $P_{O_{UE_NPUSCH,c}}$  (3). See TS 36.213 [23], clause 16.2.1.1.1, unit dB.

## pur-DL-16QAM-Config

Activation of 16QAM for downlink, see TS 36.213 [23].

## pur-ImplicitReleaseAfter

Number of consecutive PUR occasions that can be skipped before implicit release of PUR configuration. Value *n*2 corresponds to 2 PUR occasions, value *n*4 corresponds to 4 PUR occasions, and so on.

## pur-NRSRP-ChangeThreshold

Threshold(s) of change in serving cell NRSRP in dB for TA validation. Value *dB4* corresponds to 4 dB, value *dB6* corresponds to 6 dB, and so on. When *pur-NRSRP-ChangeThreshold* is set to *setup*, if *decreaseThrsh* is absent the value of *increaseThresh* is also used for *decreaseThresh*.

## pur-NumOccasions

Number of PUR occasions. Value *one* corresponds to 1 PUR occasion, and value *infinite* corresponds to an infinite number of PUR occasions.

#### pur-PeriodicityAndOffset

Indicates the periodicity for the PUR occasions and time offset until the first PUR occasion.

## pur-ResponseWindowTimer

Duration of the PUR response window in TS 36.321 [6]. Value in PDCCH periods. Value *pp2* corresponds to 2 PDCCH periods, *pp3* corresponds to 3 PDCCH periods, and so on.

The value considered by the UE is: *pur-ResponseWindowTimer* = Min (signaled value x PDCCH period, 10.24s).

# pur-TimeAlignmentTimer

Value of the time alignment timer for PUR. Value in number of periodicity of PUR.

## pur-UL-16QAM-Config

Activation of 16QAM for uplink, see TS 36.213 [23].

# PUR-ConfigID-NB

The IE *PUR-ConfigID-NB* is used to indicate the PUR configuration identity.

## PUR-ConfigID-NB information element

-- ASN1START

```
PUR-ConfigID-NB-r16 ::= BIT STRING (SIZE(20))
-- ASN1STOP
```

## PUR-PeriodicityAndOffset-NB

The IE *PUR-PeriodicityAndOffset* is used to indicate H-SFN of the first PUR occasion and periodicity of the subsequent PUR occasions. The value of periodicity is in the unit of H-SFN duration (i.e., 10.24s). Value *periodicity8* corresponds to periodicity of 8 H-SFN, value *periodicity16* corresponds to periodicity of 16 H-SFN and so on. The value of offset is in the unit of H-SFN duration (i.e., 10.24s).

## PUR-PeriodicityAndOffset-NB information element

```
-- ASN1START
PUR-PeriodicityAndOffset-NB-r16 ::= CHOICE {
                     INTEGER (1..7),
INTEGER (1..15),
    periodicity8
    periodicity16
    periodicity32 INTEGER (1..31),
periodicity64 INTEGER (1..63),
                         INTEGER (1..63),
INTEGER (1..127),
    periodicity128
periodicity256
periodicity512
                            INTEGER (1..257),
                          INTEGER (1..511),
    periodicity1024
                          INTEGER (1..1023),
    periodicity2048
                            INTEGER (1..2047),
    periodicity4096
                          INTEGER (1..4095),
    periodicity8192
                            INTEGER (1..8191)
-- ASN1STOP
```

# RACH-ConfigCommon-NB

The IE RACH-ConfigCommon-NB is used to specify the generic random access parameters.

## RACH-ConfigCommon-NB information element

```
-- ASN1START
RACH-ConfigCommon-NB-r13 ::=
                                    SEQUENCE {
   preambleTransMax-CE-r13
                                        PreambleTransMax,
    powerRampingParameters-r13
                                        PowerRampingParameters,
    rach-InfoList-r13
                                        RACH-InfoList-NB-r13,
    connEstFailOffset-r13
                                        INTEGER (0..15)
                                                                        OPTIONAL,
                                                                                     -- Need OP
    [[ powerRampingParameters-v1450
                                       PowerRampingParameters-NB-v1450 OPTIONAL
                                                                                     -- Need OR
    11,
    [[ rach-InfoList-v1530
                                        RACH-InfoList-NB-v1530 OPTIONAL -- Cond EDT
    11
}
                            SEQUENCE (SIZE (1.. maxNPRACH-Resources-NB-r13)) OF RACH-Info-NB-r13
RACH-InfoList-NB-r13 ::=
RACH-InfoList-NB-v1530 ::= SEQUENCE (SIZE (1.. maxNPRACH-Resources-NB-r13)) OF RACH-Info-NB-v1530
                   ::=
                            SEQUENCE {
RACH-Info-NB-r13
   ra-ResponseWindowSize-r13
                                        ENUMERATED {
                                            pp2, pp3, pp4, pp5, pp6, pp7, pp8, pp10},
    mac-ContentionResolutionTimer-r13
                                        ENUMERATED
                                            pp1, pp2, pp3, pp4, pp8, pp16, pp32, pp64}
RACH-Info-NB-v1530 ::=
                            SEQUENCE {
   mac-ContentionResolutionTimer-r15
                                        ENUMERATED {
                                            pp1, pp2, pp3, pp4, pp8, pp16, pp32, pp64}
PowerRampingParameters-NB-v1450 ::=
                                        SEQUENCE {
   preambleInitialReceivedTargetPower-v1450
                                                    ENUMERATED {
                                                    dBm-130, dBm-128, dBm-126, dBm-124, dBm-122,
                                                    dBm-88, dBm-86, dBm-84, dBm-82, dBm-80}
```

```
OPTIONAL,
                                                                             -- Need OR
                                                          SEQUENCE {
    powerRampingParametersCE1-r14
         powerRampingStepCE1-r14
                                                              ENUMERATED {dB0, dB2, dB4, dB6},
         preambleInitialReceivedTargetPowerCE1-r14
                                                             ENUMERATED
                                                              dBm-130, dBm-128, dBm-126, dBm-124, dBm-122,
                                                              {\tt dBm-120}\,,\ {\tt dBm-118}\,,\ {\tt dBm-116}\,,\ {\tt dBm-114}\,,\ {\tt dBm-112}\,,
                                                              {\tt dBm-110}\,,\ {\tt dBm-108}\,,\ {\tt dBm-106}\,,\ {\tt dBm-104}\,,\ {\tt dBm-102}\,,
                                                              dBm-100, dBm-98, dBm-96, dBm-94, dBm-92,
                                                              dBm-90, dBm-88, dBm-86, dBm-84, dBm-82, dBm-80}
     } OPTIONAL -- Need OR
}
-- ASN1STOP
```

## RACH-ConfigCommon-NB field descriptions

## connEstFailOffset

Parameter "Qoffset<sub>temp</sub>" in TS 36.304 [4]. If the field is not present the value of infinity shall be used for "Qoffset<sub>temp</sub>".

## mac-ContentionResolutionTimer

Timer for contention resolution in TS 36.321 [6]. Value in PDCCH periods. Value pp1 corresponds to 1 PDCCH period, pp2 corresponds to 2 PDCCH periods and so on. *mac-ContentionResolutionTimer-r15* is only applicable for EDT. UE performing EDT shall use *mac-ContentionResolutionTimer-r15*, if present.

For FDD: The value considered by the UE is: *mac-ContentionResolutionTimer* = Min (signaled value x PDCCH period, 10.24s).

For TDD: The value considered by the UE is: *mac-ContentionResolutionTimer* = Min (signaled value x PDCCH period, 20.48s).

## powerRampingParameters, powerRampingParametersCE1

Power ramping step and preamble initial received target power – same as TS 36.213 [23] and TS 36.321 [6]. For FDD, if the UE does not support enhanced random access power control and more than one repetition level is configured in the cell, then the UE transmits NPRACH with max power except for the lowest repetition level. Otherwise, the UE uses NPRACH power ramping.

For FDD, if the UE supports enhanced random access power control and *powerRampingParameters-v1450* is signalled, or for TDD, the UE uses NPRACH power ramping across repetition levels as specified in TS 36.321 [6]. If *preambleInitialReceivedTargetPower-v1450* is present, the UE shall use *preambleInitialReceivedTargetPower-v1450* instead of *preambleInitialReceivedTargetPower* (i.e. without suffix). If *powerRampingParametersCE1* is present, the UE shall use *powerRampingParametersCE1* instead of *powerRampingParameters* for NPRACH power ramping in the second repetition level.

## preambleTransMax-CE

Maximum number of preamble transmission in TS 36.321 [6]. Value is an integer.

## ra-ResponseWindowSize

Duration of the RA response window in TS 36.321 [6]. Value in PDCCH periods. Value pp2 corresponds to 2 PDDCH periods, pp3 corresponds to 3 PDCCH periods and so on.

For FDD: The value considered by the UE is: *ra-ResponseWindowSize* = Min (signaled value x PDCCH period, 10.24s).

For TDD: The value considered by the UE is: *ra-ResponseWindowSize* = Min (signaled value x PDCCH period, 20.48s).

Conditional presence	Explanation
EDT	The field is optionally present, Need OR, if edt-Parameters is present; otherwise the field
	is not present and the UE shall delete any existing value for this field.

# RadioResourceConfigCommonSIB-NB

The IE *RadioResourceConfigCommonSIB-NB* is used to specify common radio resource configurations in the system information, e.g., the random access parameters and the static physical layer parameters.

## RadioResourceConfigCommonSIB-NB information element

```
-- ASN1START
RadioResourceConfigCommonSIB-NB-r13 ::= SEQUENCE {
                                            RACH-ConfigCommon-NB-r13,
    rach-ConfigCommon-r13
    bcch-Config-r13
                                            BCCH-Config-NB-r13,
    pcch-Config-r13
                                            PCCH-Config-NB-r13,
                                            NPRACH-ConfigSIB-NB-r13,
   nprach-Config-r13
    npdsch-ConfigCommon-r13
                                            NPDSCH-ConfigCommon-NB-r13,
    npusch-ConfigCommon-r13
                                            NPUSCH-ConfigCommon-NB-r13,
    dl-Gap-r13
                                            DL-GapConfig-NB-r13
                                                                         OPTIONAL,
```

```
uplinkPowerControlCommon-r13 UplinkPowerControlCommon-NB-r13,
    ...,
[[ nprach-Config-v1330
                                                  NPRACH-ConfigSIB-NB-v1330 OPTIONAL
                                                                                                     -- Need OR
    ]],
[[ nprach-Config-v1450
                                                  NPRACH-ConfigSIB-NB-v1450 OPTIONAL
                                                                                                      -- Cond
EnhPowerControl
    ]],
[[ nprach-Config-v1530
                                                  NPRACH-ConfigSIB-NB-v1530 OPTIONAL,
DL-GapConfig-NB-v1530 OPTIONAL,
WIS-Config-NB-r15 OPTIONAL
                                                                                                 -- Need OR
                                                                                                 -- Cond TDD
         dl-Gap-v1530
         wus-Config-r15
                                                                                                 -- Need OR
    [[ nprach-Config-v1550
                                                  NPRACH-ConfigSIB-NB-v1550 OPTIONAL
                                                                                                 -- Cond TDD1
    ]],
    ]]
                                                 GWUS-Config-NB-r16 OPTIONAL, -- No
ENUMERATED {true} OPTIONAL, -- No
ENUMERATED {rf32, rf64, rf128, rf256, rf512,
         gwus-Config-r16
         nrs-NonAnchorConfig-r16
                                                                                                 -- Need OR
        ue-SpecificDRX-CycleMin-r16
                                                                    rf1024} OPTIONAL
                                                                                                -- Need OR
    [[ ntn-ConfigCommon-r17
                                                  SEQUENCE {
                                                  ENUMERATED {enabled}
                                                                                 OPTIONAL, -- Need OR
             ta-Report-r17
             t318-r17
                                                 ENUMERATED ·
                                                       ms0, ms200, ms500, ms1000, ms2000, ms4000, ms8000},
             nprach-TxDurationFmt01-r17 NPRACH-TxDurationFmt01-NB-r17 OPTIONAL, -- Need OR nprach-TxDurationFmt2-r17 NPRACH-TxDurationFmt2-NB-r17 OPTIONAL, -- Need OR npusch-TxDuration-r17 NPUSCH-TxDuration-NB-r17 OPTIONAL -- Need OR
                                                                                                     -- Need OR
             npusch-TxDuration-r17
                                                  NPUSCH-TxDuration-NB-r17
                                                                                       OPTIONAL
           OPTIONAL
                         -- Cond NTN
    ]]
}
BCCH-Config-NB-r13 ::=
                                             SEQUENCE {
    modificationPeriodCoeff-r13
                                                  ENUMERATED {n16, n32, n64, n128}
PCCH-Config-NB-r13 ::=
                                              SEQUENCE {
   defaultPagingCycle-r13
                                                   ENUMERATED {rf128, rf256, rf512, rf1024},
    nB-r13
                                                   ENUMERATED {
                                                       fourT, twoT, oneT, halfT, quarterT, one8thT, one16thT, one32ndT, one64thT,
                                                       one128thT, one256thT, one512thT, one1024thT,
                                                       spare3, spare2, spare1},
                                                   ENUMERATED {
    npdcch-NumRepetitionPaging-r13
                                                       r1, r2, r4, r8, r16, r32, r64, r128,
                                                       r256, r512, r1024, r2048,
                                                       spare4, spare3, spare2, spare1}
-- ASN1STOP
```

#### RadioResourceConfigCommonSIB-NB field descriptions

#### defaultPagingCycle

Default paging cycle, used to derive 'T' in TS 36.304 [4]. Value *rf128* corresponds to 128 radio frames, *rf256* corresponds to 256 radio frames and so on.

#### dl-Gap

Downlink transmission gap configuration for the anchor carrier. See TS 36.211 [21], clause 10.2.3.4. If the field is absent, there is no gap.

#### gwus-Config

For FDD: GWUS Configuration.

#### modificationPeriodCoeff

Actual modification period, expressed in number of radio frames= modificationPeriodCoeff \* defaultPagingCycle. n16 corresponds to value 16, n32 corresponds to value 32, and so on. The BCCH modification period should be larger or equal to 40.96s.

## пB

Parameter: nB is used as one of parameters to derive the Paging Frame and Paging Occasion according to TS 36.304 [4]. Value in multiples of 'T' as defined in TS 36.304 [4]. A value of fourT corresponds to 4 \* T, a value of twoT corresponds to 2 \* T and so on.

#### npdcch-NumRepetitionPaging

Maximum number of repetitions for NPDCCH common search space (CSS) for paging, see TS 36.213 [23], clause 16.6.

#### nrs-NonAnchorConfig

For FDD: Indicates if NRS are present on non-anchor paging carriers even when no paging NPDCCH is transmitted, see TS 36.211 [21], clause 10.2.6.

#### t318

The value of timer T318. Value ms0 corresponds with 0 ms, ms50 corresponds with 50 ms and so on.

#### ta-Report

When this field is included in *SystemInformationBlockType2-NB*, it indicates reporting of timing advance is enabled during Random Access due to RRC connection establishment, RRC connection resume or RRC connection reestablishment, see TS 36.321 [6], clause 5.4.9.

## ue-SpecificDRX-CycleMin

Minimum UE specific DRX cycle in the cell, see TS 36.304 [4], clause 7.1. Value *rf32* corresponds to 32 radio frames, *rf64* corresponds to 64 radio frames and so on.

If present, E-UTRAN ensures PCCH configuration does not lead to CSS overlap for *ue-SpecificDRX-CycleMin*. If the field is not present, use of UE specific DRX cycle is not allowed in the cell.

#### wus-Config

For FDD: WUS Configuration.

Conditional presence	Explanation
EnhPowerControl	This field is optional present, Need OR, if PowerRampingParameters-NB-v1450 is
	included in SIB2-NB. Otherwise the field is not present.
NTN	The field is mandatory present for NTN. Otherwise, the field is not present.
TDD	The field is optionally present, Need OR, for TDD; otherwise the field is not present and
	the UE shall delete any existing value for this field.
TDD1	The field is mandatory present for TDD; otherwise the field is not present and the UE shall
	delete any existing value for this field.

## RadioResourceConfigDedicated-NB

The IE *RadioResourceConfigDedicated-NB* is used to setup/modify/release RBs, to modify the MAC main configuration, and to modify dedicated physical configuration.

## RadioResourceConfigDedicated-NB information element

```
-- ASN1START
RadioResourceConfigDedicated-NB-r13 ::= SEQUENCE {
    srb-ToAddModList-r13
                                            SRB-ToAddModList-NB-r13
                                                                           OPTIONAL,
                                                                                       -- Need ON
    drb-ToAddModList-r13
                                            DRB-ToAddModList-NB-r13
                                                                            OPTIONAL,
                                                                                        -- Need ON
                                                                                       -- Need ON
                                           DRB-ToReleaseList-NB-r13
    drb-ToReleaseList-r13
                                                                           OPTIONAL.
                                            CHOICE {
    mac-MainConfig-r13
        explicitValue-r13
                                                MAC-MainConfig-NB-r13,
        defaultValue-r13
                                                                           OPTIONAL,
                                                                                        -- Need ON
    physicalConfigDedicated-r13
                                                                                       -- Need ON
                                           PhysicalConfigDedicated-NB-r13 OPTIONAL,
    rlf-TimersAndConstants-r13
                                           RLF-TimersAndConstants-NB-r13 OPTIONAL,
                                                                                       -- Need ON
```

```
[[ schedulingRequestConfig-r15 SchedulingRequestConfig-NB-r15 OPTIONAL
                                                                                      -- Need ON
   11,
       newUE-Identity-r16
                                           C-RNTI
                                                                          OPTIONAL
                                                                                      -- Need OP
   [ [
   ]]
SRB-ToAddModList-NB-r13 ::=
                                  SEQUENCE (SIZE (1)) OF SRB-ToAddMod-NB-r13
                                   SEQUENCE {
SRB-ToAddMod-NB-r13 ::=
   rlc-Config-r13
                                       CHOICE {
                                          RLC-Config-NB-r13,
       explicitValue
       defaultValue
                                           NULL
           OPTIONAL,
                                                                              -- Cond Setup
   logicalChannelConfig-r13
                                      CHOICE {
       explicitValue
                                           LogicalChannelConfig-NB-r13,
       defaultValue
                                           NULL
   }
           OPTIONAL,
                                                                              -- Cond Setup
    [[ rlc-Config-v1430
                                      RLC-Config-NB-v1430
                                                                  OPTIONAL
                                                                              -- Need ON
   ]],
   [[ rlc-Config-v1700
                                                              OPTIONAL -- Need ON
                                      RLC-Config-NB-v1700
DRB-ToAddModList-NB-r13 ::=
                                  SEQUENCE (SIZE (1..maxDRB-NB-r13)) OF DRB-ToAddMod-NB-r13
DRB-ToAddMod-NB-r13 ::=
                                   SEQUENCE {
                                      INTEGER (0..15)
   eps-BearerIdentity-r13
                                                          OPTIONAL,
                                                                              -- Cond DRB-Setup-
EPC
   drb-Identity-r13
                                      DRB-Identity,
                                      PDCP-Config-NB-r13 OPTIONAL,
RLC-Config-NB-r13 OPTIONAL,
INTEGER (3..10) OPTIONAL,
   pdcp-Config-r13
                                                                              -- Cond Setup
   rlc-Config-r13
                                                                             -- Cond Setup
   logicalChannelIdentity-r13
                                                                  OPTIONAL,
                                                                              -- Cond DRB-Setup
   logicalChannelConfig-r13
                                     LogicalChannelConfig-NB-r13 OPTIONAL,
                                                                              -- Cond Setup
    [[ rlc-Config-v1430
                                      RLC-Config-NB-v1430
                                                                  OPTIONAL
   11,
   [[ pdu-Session-r16
                                 PDU-SessionID-NB-r16 OPTIONAL -- Cond DRB-Setup-5GC
   1],
   [[ rlc-Config-v1700
                                       RLC-Config-NB-v1700
                                                              OPTIONAL -- Need ON
   ]]
}
PDU-SessionID-NB-r16 ::=
                                   INTEGER (0..255)
DRB-ToReleaseList-NB-r13 ::=
                                  SEQUENCE (SIZE (1..maxDRB-NB-r13)) OF DRB-Identity
-- ASN1STOP
```

## RadioResourceConfigDedicated-NB field descriptions

## logicalChannelConfig

For SRB a choice is used to indicate whether the logical channel configuration is signalled explicitly or set to the default logical channel configuration for SRB1 as specified in 9.2.1.1.

## logicalChannelIdentity

The logical channel identity for both UL and DL for a DRB. Value 3 is not used.

#### mac-MainConfig

The default MAC MAIN configuration is specified in 9.2.2.

#### newUE-Identity

C-RNTI used after moving to RRC\_CONNECTED in response to transmission using PUR.

## pdu-Session

Identity of the PDU session whose QoS flow is mapped to the DRB.

## physicalConfigDedicated

The default dedicated physical configuration is specified in 9.2.4.

#### rlc-Config

For SRBs a choice is used to indicate whether the RLC configuration is signalled explicitly or set to the values defined in the default RLC configuration for SRB1 in 9.2.1.1. RLC AM is the only applicable RLC mode for SRB1 and SRB1bis.

## schedulingRequestConfig

For FDD: Scheduling request configuration.

Conditional presence	Explanation
DRB-Setup	The field is mandatory present if the corresponding DRB is being set up; otherwise it is
	not present.
DRB-Setup-5GC	The field is mandatory present if the corresponding DRB is being set up when connected
	to 5GC; otherwise it is not present.
DRB-Setup-EPC	The field is mandatory present if the corresponding DRB is being set up when connected
	to EPC; otherwise it is not present.
Setup	The field is mandatory present if the corresponding SRB/DRB is being setup; otherwise
	the field is optionally present, need ON.

# ResourceReservationConfig-NB

The IE *ResourceReservationConfig-NB* is used to specify the reserved downlink or uplink resources on a NB-IoT carrier, e.g. for deployment within a NR carrier.

## ResourceReservationConfig-NB information element

```
-- ASN1START
ResourceReservationConfig-NB-r16::= SEQUENCE {
     periodicity-r16 ENUMERATED {ms10, ms20, ms40, ms80, ms160, spare3, spare2, spare1}, startPosition-r16 INTEGER (0..15),
    periodicity-r16
    resourceReservation-r16 CHOICE {
    subframeBitmap-r16 CHOICE {
        subframePattern10ms BIT STRING (SIZE (10)),
            subframePattern40ms BIT STRING (SIZE (40))
               tConfig-r16 SEQUENCE {
slotBitmap-r16 CHOICE {
slotPattern10ms BIT
          slotConfig-r16
                                                   BIT STRING (SIZE (20)),
                    slotPattern40ms
                                                        BIT STRING (SIZE (80))
                    symbolBitmapFddDl
               symbolBitmap-r16
                         symbolBitmap1-r16 RIT CT
                                                        BIT STRING (SIZE (5)) OPTIONAL, -- Cond Bitmap1
                         symbolBitmap2-r16
                                                             BIT STRING (SIZE (5)) OPTIONAL
                                                                                                            -- Cond Bitmap2
                    },
symbolBitmapFddulOrTdd SEQUENCE {
symbolBitmap1-r16 BIT STRING (SIZE (7)) OPTIONAL,
symbolBitmap2-r16 BIT STRING (SIZE (7)) OPTIONAL
                                                                                                             -- Cond Bitmap1
-- Cond Bitmap2
               }
         }
     },
-- ASN1STOP
```

## ResourceReservationConfig field descriptions

#### periodicity

Periodicity of the reserved resource. Value *ms10* corresponds to 10 milliseconds, value *ms20* corresponds to 20 milliseconds, and so on.

## slotPattern10ms, slotPattern40ms

For FDD: Downlink slot-level resource reservation configuration over 10ms or 40ms.

Parameter slot-reserved-resource-config-DL in TS 36.211 [21] and TS 36.213 [23]

The first/leftmost 2-bits corresponds to the subframe #0 of the radio frame satisfying SFN mod x = startPosition, where x is the periodicity of the reserved resource divided by 10. Two bits for each subframe coded as:

00: both slots are not reserved

01: the first slot is not reserved, the second slot is reserved

10: the first slot is reserved, the second slot is not reserved

11: both slots are reserved

## startPosition

Start time of the resource reservation pattern in one period. Unit in multiple of 10 milliseconds.

E-UTRAN configures the value of startPosition such as startPosition \* 10 < periodicity.

#### subframePattern10ms, subframePattern40ms

For FDD: Downlink subframe-level resource reservation configuration over 10ms or 40ms.

Parameters valid-subframe-config-DL in TS 36.211 [21] and TS 36.213 [23].

The first/leftmost bit corresponds to the subframe #0 of the radio frame satisfying SFN mod x = startPosition, where x is the periodicity of the reserved resource divided by 10. Value 0 indicates that the corresponding subframe is not reserved, value 1 indicates that the corresponding subframe is reserved.

#### symbolBitmap

Symbol-level resource reservation for one subframe.

E-UTRAN configures symbolConfigFddDl for a DL FDD NB-IoT carrier. E-UTRAN configures

symbolConfigFddULOrTdd for an UL FDD NB-IoT carrier or a TDD NB-IoT carrier.

#### symbolBitmap1, symbolBitmap2

Symbol-level resource reservation over the first or the second slot of one subframe, see TS 36.211 [21].

The first/leftmost bit corresponds to the symbol #0 in the slot. Value 0 indicates that the corresponding symbol is not reserved, value 1 indicates that the corresponding symbol is reserved.

If symbolBitmap1 is absent, value '01' in the slotBitmap corresponds to the second slot being reserved.

If symbolBitmap2 is absent, value '10' in the slotBitmap corresponds to the first slot being reserved.

## symbolBitmapFddDl

For FDD: Downlink symbol-level resource reservation over the first and the second slot of one subframe, see TS 36.211 [21].

Symbols that carry NRS are not reserved.

## symbolBitmapFddUlOrTdd

For FDD: Uplink symbol-level resource reservation over the first and the second slot of one subframe, see TS 36.211

For TDD: Uplink or downlink symbol-level resource reservation over the first and the second slot of one subframe, see TS 36.211 [21].

Symbols that carry NRS are not reserved.

Conditional presence	Explanation
Bitmap1	The field is optional present, need OR, if value of slotBitmap corresponditing to at least
	one subrame is '01'; otherwise the field is not present.
Bitmap2	The field is optional present, need OR, if value of slotBitmap corresponditing to at least
	one subrame is '10'; otherwise the field is not present.

## RLC-Config-NB

The IE RLC-Config-NB is used to specify the RLC configuration of SRBs and DRBs.

## RLC-Config-NB information element

```
}
RLC-Config-NB-v1430 ::= SEQUENCE {
                                             OPTIONAL
   t-Reordering-r14 T-Reordering
                                                             -- Cond twoHARQ
RLC-Config-NB-v1700 ::= SEQUENCE {
                                    SetupRelease {T-ReorderingExt-r17}
   t-ReorderingExt-r17
UL-AM-RLC-NB-r13 ::=
                        SEQUENCE {
   t-PollRetransmit-r13 T-PollRetransmit-NB-r13, maxRetxThreshold-r13 ENUMERATED {t1, t2, t3, t4, t6, t8, t16, t32}
DL-AM-RLC-NB-r13 ::=
                        SEQUENCE {
   enableStatusReportSN-Gap-r13 ENUMERATED {true} OPTIONAL
T-PollRetransmit-NB-r13 ::= ENUMERATED {
                             ms250, ms500, ms1000, ms2000, ms3000, ms4000,
                             ms90000, ms120000, ms180000, ms300000-v1530}
-- ASN1STOP
```

## RLC-Config-NB field descriptions

## enableStatusReportSN-Gap

Indicates that status reporting due to detection of reception failure is enabled, as specified in TS 36.322 [7].

#### maxRetxThreshold

Parameter for RLC AM in TS 36.322 [7]. Value t1 corresponds to 1 retransmission, t2 to 2 retransmissions and so on.

## t-PollRetransmit

Timer for RLC AM in TS 36.322 [7], in milliseconds. Value msX means X ms, msY means Y ms and so on. E-UTRAN may configure the value *msX-v1530* (with suffix) only in TDD mode.

#### t-Reordering

Timer for reordering in TS 36.322 [7], in milliseconds.

#### t-ReorderingExt

Timer for reordering in TS 36.322 [7], in milliseconds.

The UE shall use the extended value *t-ReorderingExt-r17*, if present, and ignore the value signaled by *t-Reordering-r14*.

E-UTRAN may configure t-ReorderingExt only if twoHARQ-ProcessesConfig is set to TRUE.

Conditional presence	Explanation
twoHARQ	The field is mandatory present if twoHARQ-ProcessesConfig is set to TRUE. Otherwise,
	the field is not present and, if previously configured, the timer is released.

# RLF-TimersAndConstants-NB

The IE *RLF-TimersAndConstants-NB* contains UE specific timers and constants applicable for UEs in RRC\_CONNECTED.

## RLF-TimersAndConstants-NB information element

```
-- ASN1START
RLF-TimersAndConstants-NB-r13 ::= CHOICE {
    release
    setup
                                            ENUMERATED {
        t301-r13
                                                ms2500, ms4000, ms6000, ms10000,
                                                ms15000, ms25000, ms40000, ms60000},
        t310-r13
                                                ms0, ms200, ms500, ms1000, ms2000, ms4000, ms8000},
       n310-r13
                                            ENUMERATED {
                                                n1, n2, n3, n4, n6, n8, n10, n20},
        t311-r13
                                            ENUMERATED {
                                                ms1000, ms3000, ms5000, ms10000, ms15000,
```

```
ms20000, ms30000},
                                           ENUMERATED {
       n311-r13
                                              n1, n2, n3, n4, n5, n6, n8, n10},
        [[ t311-v1350
                                           ENUMERATED {
                                              ms40000, ms60000, ms90000, ms120000}
                                                      OPTIONAL -- Need OR
                                           ENUMERATED {
        [[ t301-v1530
                                             ms80000, ms100000, ms120000)
                                                      OPTIONAL, -- Cond TDD
           +311-v1530
                                           ENTIMERATED {
                                               ms160000, ms200000}
                                                      OPTIONAL -- Cond TDD
       ]]
-- ASN1STOP
```

#### RLF-TimersAndConstants-NB field descriptions

#### n3xy

Constants are described in clause 7.4. n1 corresponds with 1, n2 corresponds with 2 and so on.

#### t3xy

Timers are described in clause 7.3. Value ms0 corresponds with 0 ms, ms200 corresponds with 200 ms and so on. The UE shall use the extended values *t311-v1350*, *t301-v1530* and *t311-v1530*, if present, and ignore the value signaled by *t311-r13*, *t301-r13* and *t311-r13* respectively.

Conditional presence	Explanation	
TDD	The field is optionally present, Need OR, in TDD mode. Otherwise, the field is not	
	present.	

# SchedulingRequestConfig-NB

The IE *SchedulingRequestConfig-NB* is used to specify the Scheduling Request related parameters.

## SchedulingRequestConfig-NB information element

```
-- ASN1START
{\tt SchedulingRequestConfig-NB-r15} ::= {\tt SEQUENCE} \ \{
    sr-WithHARQ-ACK-Config-r15 ENUMERATED {true} sr-WithoutHARQ-ACK-Config-r15 SR-WithoutHAR
                                                              OPTIONAL,
                                                                                                 -- Need
                                          SR-WithoutHARQ-ACK-Config-NB-r15 OPTIONAL,
ON
    sr-SPS-BSR-Config-r15
                                         SR-SPS-BSR-Config-NB-r15
                                                                              OPTIONAL, -- Need ON
    [[
       sr-WithoutHARQ-ACK-Config-v1700 SR-WithoutHARQ-ACK-Config-NB-v1700 OPTIONAL
SR-WithoutHARQ-ACK-Config-NB-r15 ::= CHOICE {
   release
                           NULL,
                                          SEQUENCE {
    setup
                                            INTEGER (0..7) OPTIONAL, -- Need ON SR-NPRACH-Resource-NB-r15 OPTIONAL -- Need ON
        sr-ProhibitTimer-r15
        sr-NPRACH-Resource-r15
}
SR-WithoutHARQ-ACK-Config-NB-v1700 ::= SEQUENCE {
    sr-ProhibitTimerOffset-r17
                                             SetupRelease {SR-ProhibitTimerOffset-NB-r17}
                                                                       OPTIONAL -- Need ON
SR-NPRACH-Resource-NB-r15
                              ::= SEQUENCE {
   nprach-CarrierIndex-r15
                                          INTEGER (0..maxNonAnchorCarriers-NB-r14),
                                          INTEGER (1..maxNPRACH-Resources-NB-r13),
    nprach-ResourceIndex-r15
    nprach-SubCarrierIndex-r15
                                          CHOICE {
        nprach-Fmt0Fmt1-r15
                                              INTEGER (0..47)
        nprach-Fmt2-r15
                                              INTEGER (0..143)
    p0-SR-r15
                                         INTEGER (-126..24),
```

```
alpha-r15
                                        ENUMERATED {al0, al04, al05, al06, al07, al08, al09, al1}}
SR-SPS-BSR-Config-NB-r15
                            ::= CHOICE {
                                        NULL,
   release
                                        SEQUENCE {
    setup
        semiPersistSchedC-RNTI-r15
                                           C-RNTI,
                                            ENUMERATED {sf128, sf256, sf512, sf1024,
        semiPersistSchedIntervalUL-r15
                                                        sf1280, sf2048, sf2560, sf5120}
SR-ProhibitTimerOffset-NB-r17 ::=
                                   ENUMERATED {
                                        ms90, ms180, ms270, ms360, ms450, ms540, ms1080, spare}
-- ASN1STOP
```

## SchedulingRequestConfig-NB field descriptions

#### alpha

Parameter:  $\alpha_c$ . Fractional power control parameter for SR without HARQ-ACK. See TS 36.213 [23], clause 16.2.1.2.1, where value *al0* corresponds to 0, value *al04* corresponds to 0.4, value *al05* to 0.5, value *al06* to 0.6, value *al07* to 0.7, value *al08* to 0.8, value *al09* to 0.9 and value *al1* corresponds to 1.

#### nprach-CarrierIndex

Index of the carrier in the list of UL non anchor carriers in *SystemInformationBlockType22-NB*. The first entry in the list has index '1', the second entry has index '2' and so on. Value '0' indicates the anchor carrier.

#### nprach-ResourceIndex

Index of the NPRACH resource in the list of NPRACH resources in NPRACH-ParametersList or NPRACH-ParametersList-Fmt2 for the UL carrier indicated by nprach-CarrierIndex. The first entry in the list has index '1', the second entry has index '2' and so on.

E-UTRAN configures a NPRACH resource in *NPRACH-ParametersList-Fmt2* only to UEs that have reported support of NPRACH resource Format2.

#### nprach-SubCarrierIndex

Index of the subcarrier in the NPRACH resource in NPRACH-ParametersList or or NPRACH-ParametersList-Fmt2 for the indicated UL carrier.

E-UTRAN does not configure *nprach-SubcarrierIndex* to a smaller value than *nprach-SubcarrierOffset* + *nprach-NumCBRA-StartSubcarriers* for the indicated NPRACH resource.

## p0-SR

Parameter:  $P_{O_{\_SR,c}}$  . Target power for SR without HARQ-ACK. See TS 36.213 [23], clause 16.2.1.2.1, unit dBm.

## semiPersistSchedC-RNTI

Semi-persistent Scheduling C-RNTI, see TS 36.321 [6].

#### semiPersistSchedIntervalUL

Semi-persistent scheduling interval in uplink, see TS 36.321 [6]. Value in number of sub-frames. Value *sf128* corresponds to 128 sub-frames, value *sf256* corresponds to 256 sub-frames and so on.

## sr-NPRACH-Resource

NPRACH resource for physical layer SR without HARQ-ACK, see TS 36.211 [21] and TS 36.213 [23].

#### sr-ProhibitTimer

Timer for SR transmission on the NPRACH resource for SR in TS 36.321 [6]. Value in number of SR period, where the SR period is equal to the field *nprach-Periodicity* of the NPRACH resource. Value 0 means that behaviour as specified in 7.3.2 applies. Value 1 corresponds to one SR period, Value 2 corresponds to 2\*SR period and so on. If *sr-ProhibitTimerOffset* is present, actual value of *sr-ProhibitTimer* = CEIL (*sr-ProhibitTimerOffset*/ SR period) + signalled value of *sr-ProhibitTimer*.

#### sr-ProhibitTimerOffset

Time offset for SR transmission on the NPRACH resource for SR in TS 36.321 [6]. Value in milliseconds. Value *ms90* corresponds to 90 ms, value *ms180* corresponds to 180 ms and so on.

## sr-WithHARQ-ACK-Config

Activation of physical layer SR with HARQ ACK, see TS 36.213 [23].

## sr-WithoutHARQ-ACK-Config

Activation of physical layer SR without HARQ ACK, see TS 36.211 [21] and TS 36.213 [23]. E-UTRAN cannot configure *sr-WithoutHARQ-ACK-Config* together with *sr-SPS-BSR-Config*.

# TDD-Config-NB

The IE *TDD-Config-NB* is used to specify the TDD specific physical channel configuration.

## TDD-Config information element

## TDD-Config field descriptions

#### specialSubframePatterns

Indicates Configuration as in TS 36.211 [21], table 4.2-1 where ssp0 points to Configuration 0, ssp1 to Configuration 1 etc. Value *ssp10-CRS-LessDwPTS* corresponds to ssp10 without CRS transmission on the 5th symbol of DwPTS.

#### subframeAssignment

Indicates DL/UL subframe configuration where sa1 points to Configuration1, sa2 to Configuration 2 and so on, as specified in TS 36.211 [21], table 4.2-2.

E-UTRAN configures the same value for serving cells residing on same frequency band.

# TDD-UL-DL-AlignmentOffset-NB

The IE *TDD-UL-DL-AlignmentOffset-NB* is used to specify the offset between the UL carrier frequency center with respect to DL carrier frequency center. This information should be used to calculate the Mul value, see TS 36.101 [42].

## TDD-UL-DL-AlignmentOffset-NB information element

```
-- ASN1START

TDD-UL-DL-AlignmentOffset-NB-r15 ::= ENUMERATED { khz-7dot5, khz0, khz7dot5}

-- ASN1STOP
```

# UplinkPowerControl-NB

The IE *UplinkPowerControlCommon-NB* and IE *UplinkPowerControlDedicated-NB* are used to specify parameters for uplink power control in the system information and in the dedicated signalling, respectively.

## UplinkPowerControl-NB information elements

```
-- ASN1START
UplinkPowerControlCommon-NB-r13 ::= SEQUENCE {
   p0-NominalNPUSCH-r13
                                      INTEGER (-126..24),
                                       ENUMERATED {al0, al04, al05, al06, al07, al08, al09, al1},
   alpha-r13
   deltaPreambleMsg3-r13
                                      INTEGER (-1..6)
UplinkPowerControlDedicated-NB-r13 ::= SEQUENCE {
   p0-UE-NPUSCH-r13
                                          INTEGER (-8..7)
UplinkPowerControlDedicated-NB-v1700 ::=
                                        SECTIONCE {
                                          ENUMERATED {en0, en1}
   deltaMCS-Enabled-r17
-- ASN1STOP
```

# UplinkPowerControl-NB field descriptionsalphaParameter: $α_c(1)$ . See TS 36.213 [23], clause 16.2.1.1, where all corresponds to 0, al04 corresponds to value 0.4, al05 to 0.5, al06 to 0.6, al07 to 0.7, al08 to 0.8, al09 to 0.9 and al1 corresponds to 1.deltaMCS-EnabledParameter: $K_S$ . See TS 36.213 [23], clause 16.2.1.1.1. Value en0 corresponds to value 0 corresponding to state "disabled" and value en1 corresponds to value 1.25 corresponding to state "enabled".deltaPreambleMsg3Parameter: $^{Λ}_{PREAMBLE} _{MSg}^{MSg}$ . See TS 36.213 [23], clause 16.2.1.1. Actual value = IE value \* 2 [dB].p0-NominalNPUSCHParameter: $^{P}_{O_{NOMINAL_NPUSCH,c}}$ (1). See TS 36.213 [23], clause 16.2.1.1, unit dBm.p0-UE\_NPUSCH,cParameter: $^{P}_{O_{UE_{NPUSCH,c}}}$ (1). See TS 36.213 [23], clause 16.2.1.1, unit dB.

# WUS-Config-NB

The IE *WUS-Config-NB* is used to specify the WUS configuration. For UEs supporting WUS, E-UTRAN uses WUS to indicate that the UE shall attempt to receive paging in that cell, see TS 36.304 [4].

## WUS-Config-NB information element

```
-- ASN1START
                                 SEQUENCE {
WUS-Config-NB-r15 ::=
    maxDurationFactor-r15
                                 WUS-MaxDurationFactor-NB-r15,
                                    ENUMERATED {n1, n2, n4} DEFAULT n1, ENUMERATED {n1, n2, n4, n8},
    numPOs-r15
                                 ENUMERATED {n1, n2, n3, ..., ENUMERATED {ms40, ms80, ms160, ms240},
    numDRX-CyclesRelaxed-r15
    timeOffsetDRX-r15
                                ENUMERATED {ms40, ms80, ms160, ms240},
    timeOffset-eDRX-Short-r15
    timeOffset-eDRX-Long-r15
                                     ENUMERATED {ms1000, ms2000} OPTIONAL,
WUS-ConfigPerCarrier-NB-r15 ::= SEQUENCE {
    maxDurationFactor-r15
                                     WUS-MaxDurationFactor-NB-r15
WUS-MaxDurationFactor-NB-r15 ::= ENUMERATED {one128th, one64th, one32th, one16th,
                                              oneEighth, oneQuarter, oneHalf}
-- ASN1STOP
```

## WUS-Config-NB field descriptions

#### maxDurationFactor

Maximum WUS duration, expressed as a ratio of Rmax for Type 1-CSS. Value *one128th* means Rmax \* 1/128, value *one64th* means Rmax \* 1/64 and so on.

The value  $L_{\text{NWUS\_max}}$  in TS 36.213 [23] considered by the UE is : maxDuration = Max (signalled value \* Rmax, 1) where Rmax is the value of *npdcch-NumRepetitionPaging* for the carrier.

#### numDRX-CyclesRelaxed

Maximum number of consecutive DRX cycles during which the UE may use WUS for synchronisation and skip serving cell measurements, see TS 36.133 [16]. Value n1 corresponds to 1 DRX cycle, value n2 corresponds to 2 DRX cycles and so on.

#### numPOs

Number of consecutive Paging Occasions (PO) mapped to one Wake Up Signal (WUS), applicable to UEs configured to use extended DRX, see TS 36.304 [4]. Value n1 corresponds to 1 PO and value n2 corresponds to 2 POs and so on

#### timeOffsetDRX

When DRX is used, non-zero gap from the end of the configured maximum WUS duration to the associated PO, see TS 36.304 [4], clause 7.4 and TS 36.211 [21]. In milliseconds. Value *ms40* corresponds to 40ms, value *ms80* corresponds to 80 ms and so on.

#### timeOffset-eDRX-Short

When eDRX is used, the short non-zero gap from the end of the configured maximum WUS duration to the associated PO, see TS 36.304 [4], clause 7.4 and TS 36.211 [21]. In milliseconds. Value *ms40* corresponds to 40ms, value *ms80* corresponds to 80 ms and so on.

E-UTRAN configures timeOffset-eDRX-Short to a value longer than or equal to timeOffsetDRX.

## timeOffset-eDRX-Long

When eDRX is used, the long non-zero gap from the end of the configured maximum WUS duration to the associated PO, see TS 36.304 [4], clause 7.4 and TS 36.211 [21]. In milliseconds. Value *ms1000* corresponds to 1000 ms, value *ms2000* corresponds to 2000 ms.

# 6.7.3.3 NB-IoT Security control information elements

Void

## 6.7.3.4 NB-IoT Mobility control information elements

## AdditionalBandInfoList-NB

#### AdditionalBandInfoList-NB information element

```
-- ASN1START

AdditionalBandInfoList-NB-r14 ::= SEQUENCE (SIZE (1..maxMultiBands)) OF FreqBandIndicator-NB-r13

-- ASN1STOP
```

## FreqBandIndicator-NB

The IE *FreqBandIndicator-NB* indicates the E-UTRA operating band as defined in TS 36.101 [42], table 5.5-1 and TS 36.102 [113], table 5.2-1 for NTN capable UE.

## FreqBandIndicator-NB information element

```
-- ASN1START

FreqBandIndicator-NB-r13 ::= INTEGER (1.. maxFBI2)

-- ASN1STOP
```

## MultiBandInfoList-NB

## MultiBandInfoList-NB information element

-- ASN1START

## NS-PmaxList-NB

The IE NS-PmaxList-NB concerns a list of additionalPmax and additionalSpectrumEmission as defined in TS 36.101 [42], clause 6.2.4F and TS 36.102 [113], clause 6.2B.3 for NTN capable UE, for a given frequency band. E-UTRAN does not include the same value of additionalSpectrumEmission in SystemInformationBlockType2-NB within this list.

## NS-PmaxList-NB information element

## ReselectionThreshold-NB

The IE *ReselectionThreshold-NB* is used to indicate an Rx level threshold for cell reselection. Actual value of threshold = field value \* 2 [dB].

## ReselectionThreshold-NB information element

```
-- ASN1START

ReselectionThreshold-NB-v1360 ::= INTEGER (32..63)

-- ASN1STOP
```

## T-Reselection-NB

The IE *T-Reselection-NB* concerns the cell reselection timer Treselection<sub>RAT</sub> for NB-IoT.

Value in seconds. s0 means 0 second and behaviour as specified in 7.3.2 applies, s3 means 3 seconds and so on.

#### T-Reselection-NB information element

```
-- ASN1START

T-Reselection-NB-r13 ::= ENUMERATED {s0, s3, s6, s9, s12, s15, s18, s21}

-- ASN1STOP
```

## 6.7.3.5 NB-IoT Measurement information elements

## ANR-MeasConfig-NB

The IE *ANR-MeasConfig-NB* is used to convey the configuration of the measurements to be performed by the UE in RRC\_IDLE for ANR.

## ANR-MeasConfig-NB information element

```
-- ASN1START
ANR-MeasConfig-NB-r16 ::= SEQUENCE {
    -MeasConfig-NB-110 ·· -- anr-QualityThreshold-r16 NRSRP-Range-NB-111,
ANR-CarrierList-NB-r16,
ANR-CarrierList-NB-r16 ::=
                                 SEQUENCE (SIZE (1..maxFreqANR-NB-r16)) OF ANR-Carrier-NB-r16
ANR-Carrier-NB-r16::=
                                  SEOUENCE {
    -Carrier-NB-r16::=
carrierFreqIndex-r16
                                 INTEGER (1..maxFreq),
    excludedCellList-r16
                                         ANR-ExcludedCellList-NB-r16 OPTIONAL,
                                                                                        -- Need OP
}
ANR-ExcludedCellList-NB-r16 ::= SEQUENCE (SIZE (1..maxExcludedCell)) OF PhysCellId
-- ASN1STOP
```

# ANR-MeasConfig-NB field descriptions List of NB-IoT carriers to be measured for ANR. Indicates the quality threshold for reporting the CGI of the strongest cell.

anr-QualityThreshold

anr-CarrierList

carrierFreqIndex

Index of the carrier frequency in interFreqCarrierFreqList in SystemInformationBlockType5-NB.

excludedCellList

List of exclude-listed neighbouring cells for ANR reporting.

# ANR-MeasReport-NB

The IE ANR-MeasReport-NB includes the ANR measurements information.

## ANR-MeasReport-NB information element

```
-- ASN1START
ANR-MeasReport-NB-r16 ::= SEQUENCE {
                            CellGlobalIdEUTRA
MeasResultServCell-NB-r14,
   servCellIdentity-r16
                                                               OPTIONAL,
   measResultServCell-r16
                                 INTEGER (0..95),
   relativeTimeStamp-r16
                                       SEQUENCE (SIZE (1..maxFreqANR-NB-r16)) OF ANR-MeasResult-NB-
   measResultList-r16
r16,
ANR-MeasResult-NB-r16 ::= SEQUENCE {
                                       CarrierFreq-NB-r13,
   carrierFreq-r16
   physCellId-r16
                                       PhysCellId
                                                                  OPTIONAL,
   measResultLastServCell-r16
                                       MeasResultServCell-NB-r14,
   measResult-r16
                                      NRSRP-Range-NB-r14 OPTIONAL,
    cgi-Info-r16
                                      SEOUENCE {
       cellGlobalId-r16
                                         CellGlobalIdEUTRA,
                                          TrackingAreaCode,
       trackingAreaCode-r16
       plmn-IdentityList-r16
                                          PLMN-IdentityList2
                                                                  OPTIONAL
       OPTIONAL
-- ASN1STOP
```

## ANR-MeasReport-NB field descriptions

#### carrierFreq

Indicates the carrier frequency of the reported cell.

#### cgi-info

Broadcast information of the reported cell.

#### measResult

Measured result of the reported cell.

#### measResultList

List of measured results for the maximum number of reported carrier frequencies.

#### measResultLastServCell

The last measurement results taken in the serving cell when the measured results of the reported cell is stored.

#### measResultServingCell

Measurement results taken in the serving cell when the configuration of the measurements is received.

## plmn-IdentityList

The list of PLMN Identity read from the broadcast information of the reported cell.

## relativeTimeStamp

Indicates the time when the ANR measurements are complete, measured relative to the time when the configuration of the measurements was received. Value in hours.

## servingCellIdentity

Indicates the cell where the measurement configuration was received.

If the field is absent, it is the same as the current serving cell.

## – CQI-NPDCCH-NB

The IE *CQI-NPDCCH-NB* represents the downlink channel quality measurement of the NB-IoT carrier where the random access response is received. The codepoints for the CQI-NPDCCH measurements are according to the mapping table in TS 36.133 [16]. The value *noMeasurements* indicates no measurement reporting.

#### CQI-NPDCCH-NB information element

## – CQI-NPDCCH-Short-NB

The IE *CQI-NPDCCH-Short-NB* represents the short version of the downlink channel quality measurement of the NB-IoT carrier where the random access response is received. The codepoints for the CQI-NPDCCH-Short measurements are according to the mapping table in TS 36.133 [16]. The value *noMeasurements* indicates no measurement reporting.

#### CQI-NPDCCH-Short-NB information element

## MeasResultServCell-NB

The IE MeasResultServCell-NB covers the measured results for the serving cell.

#### MeasResultServCell-NB information element

```
-- ASN1START

MeasResultServCell-NB-r14 ::= SEQUENCE {
```

```
nrsrpResult-r14 NRSRP-Range-NB-r14,
nrsrqResult-r14 NRSRQ-Range-NB-r14
}
-- ASN1STOP
```

# NRSRP-Range-NB

The IE *NRSRP-Range-NB* specifies the value range used in NRSRP measurements and thresholds. Integer value for NRSRP measurements according to mapping table in TS 36.133 [16], Table 9.1.22.9-1.

## NRSRP-Range-NB information element

```
-- ASN1START

NRSRP-Range-NB-r14 ::= INTEGER(0..113)

-- ASN1STOP
```

# NRSRQ-Range-NB

The IE *NRSRQ-Range-NB* specifies the value range used in NRSRQ measurements and thresholds. Integer value for RSRQ measurements is according to mapping table in TS 36.133 [16], Table 9.1.22.14-1. The UE shall not report values 0 and 34.

## NRSRQ-Range-NB information element

```
-- ASN1START

NRSRQ-Range-NB-r14 ::= INTEGER(-30..46)

-- ASN1STOP
```

## NSSS-RRM-Config-NB

The IE *NSSS-RRM-Config-NB* provides the configuration for NSSS-based RRM measurements. See TS 36.133 [16], TS 36.211 [21] and TS 36.214 [48]. The UE only perfoms NSSS-based RRM measurement on cells for which the configuration has been provided.

## NSSS-RRM-Config-NB information element

```
-- ASN1START

NSSS-RRM-Config-NB-r15 ::= SEQUENCE {
   nsss-RRM-PowerOffset-r15 ENUMERATED {dB-3, db0, dB3},
   nsss-NumOccDiffPrecoders-r15 ENUMERATED {n1, n2, n4, n8} OPTIONAL -- Need OP
}
-- ASN1STOP
```

## NSSS-RRM-Config-NB field descriptions

#### nsss-RRM-PowerOffset

NSSS to NRS ratio for the serving cell as specified in TS 36.214 [48]. Value in dB. Value dB-3 corresponds to -3 dB, dB0 corresponds to 0 dB and so on.

## nsss-NumOccDiffPrecoders

Number of consecutive NSSS occasions that use different precoders for NSSS transmission. See TS 36.211 [21]. Value *n1* corresponds to 1 occasion, *n2* corresponds to 2 occasions and so on.

For value *n*2, *n*4, and *n*8, UE may assume for *nsss-NumOccDiffPrecoders* consecutive NSSS occasions, E-UTRAN uses different precoders for NSSS transmission. For value *n*1, UE may assume that E-UTRAN always uses the same precoder.

If the field is absent, the UE makes no assumption on the antenna port(s) used for NSSS.

## 6.7.3.6 NB-IoT Other information elements

## EstablishmentCause-NB

The IE *EstablishmentCause-NB* provides the establishment cause for the RRC connection request or the RRC connection resume request as provided by the upper layers.

#### EstablishmentCause-NB information element

## UE-Capability-NB

The IE *UE-Capability-NB* is used to convey the NB-IoT UE Radio Access Capability Parameters, see TS 36.306 [5]. The IE *UE-Capability-NB* is transferred in NB-IoT only.

## **UE-Capability-NB** information element

```
-- ASN1START
UE-Capability-NB-r13 ::=
         pdcp-Parameters-r13 phyLayerParameters-r13 phyLayerParameters-r14 phyLayerParameters-r15 ph
                                                                              SEQUENCE {
                                                                                                                                                                                  OPTIONAL,
                                                                                                                                                                                  OPTIONAL,
                                                                                                                                                                                  OPTIONAL,
                                                                                                                                                                                   OPTIONAL
MAC-Parameters-NB-r14
                                                                                                                                                                                 OPTIONAL,
                                                                                      MAC-Parameters-NB-r14
PhyLayerParameters-NB-v1430
         mac-Parameters-r14
                                                                                                                                                                                  OPTIONAL,
         phyLayerParameters-v1430
rf-Parameters-v1430
                                                                                                                                                                                  OPTIONAL,
                                                                                                  RF-Parameters-NB-v1430,
          nonCriticalExtension
                                                                                                   UE-Capability-NB-v1440-IEs
                                                                                                                                                                                   OPTIONAL
UE-Capability-NB-v1440-IEs ::= SEQUENCE {
    phyLayerParameters-v1440 PhyLay
    ronCriticalFytension
                                                                                      PhyLayerParameters-NB-v1440
                                                                                                                                                                                  OPTIONAL,
          nonCriticalExtension
                                                                                                   UE-Capability-NB-v14x0-IEs
UE-Capability-NB-v14x0-IEs ::= SEQUENCE {
 -- Following field is only to be used for late REL-14 extensions
          lateNonCriticalExtension
                                                                                                  OCTET STRING
                                                                                                                                                                                   OPTIONAL,
          nonCriticalExtension
                                                                                                   UE-Capability-NB-v1530-IEs
                                                                                                                                                                                   OPTIONAL
UE-Capability-NB-v1530-IEs ::= SEQUENCE {
   earlyData-UP-r15 ENUMER
   rlc-Parameters-r15
                                                                                                  ENUMERATED {supported}
                                                                                                                                                                                   OPTIONAL,
          rlc-Parameters-r15
         rlc-Parameters-r15
mac-Parameters-v1530
phyLayerParameters-v1530
tdd-UE-Capability-r15
nonCriticalExtension
                                                                                                   RLC-Parameters-NB-r15,
                                                                                                  MAC-Parameters-NB-v1530,
                                                                                                  PhyLayerParameters-NB-v1530
TDD-UE-Capability-NB-r15
UE-Capability-NB-v15x0-IEs
                                                                                                                                                                                  OPTIONAL,
                                                                                                                                                                                  OPTIONAL,
                                                                                                                                                                                  OPTIONAL
         nonCriticalExtension
UE-Capability-NB-v15x0-IEs ::= SEQUENCE {
 -- Following field is only to be used for late REL-15 extensions
          lateNonCriticalExtension
                                                                                                                                                                                   OPTIONAL,
                                                                                                   OCTET STRING
          nonCriticalExtension
                                                                                                    UE-Capability-NB-v1610-IEs
                                                                                                                                                                                   OPTIONAL
UE-Capability-NB-v1610-IEs ::=
                                                                                         SEQUENCE {
          earlySecurityReactivation-r16
                                                                                                 ENUMERATED {supported}
                                                                                                                                                             OPTIONAL
```

```
earlyData-UP-5GC-r16
                                ENUMERATED {supported} OPTIONAL,
    pur-Parameters-r16
                                          PUR-Parameters-NB-r16
                                                                             OPTIONAL,
    pur-Parameters-r16
mac-Parameters-v1610
phyLayerParameters-v1610
                                        PUR-Parameters-NB-v1610,
                                     PhyLayerParameters-NB-v1610
SON-Parameters-NB-r16 0
                                                                            OPTIONAL,
                                                                     OPTIONAL,
    son-Parameters-r16
    measParameters-r16
                                         MeasParameters-NB-r16,
                                     TDD-UE-Capability-NB-v1610 OPTICUE-Capability-NB-v16x0-IES OPTIONAL
    tdd-UE-Capability-v1610
                                                                              OPTIONAL.
    nonCriticalExtension
}
UE-Capability-NB-v16x0-IEs ::= SEQUENCE {
-- Following field is only to be used for late REL-16 extensions
    lateNonCriticalExtension OCTET STRING

UE-Capability-NB-v1700-IES
                                                                              OPTIONAL.
                                                                              OPTIONAL
}
UE-Capability-NB-v1700-IEs ::= SEQUENCE {
    coverageBasedPaging-r17 ENUMERATED {supported} OPTIONAL, phyLayerParameters-v1700 PhyLayerParameters-NB-v1700, ntn-Parameters-r17 NTN-Parameters-NB-r17 O
                                          NTN-Parameters-NB-r17
                                                                                  OPTIONAL,
    ntn-Parameters-r17
    nonCriticalExtension
                                          UE-Capability-NB-v1710-IEs
                                                                              OPTIONAL
}
UE-Capability-NB-v1710-IEs ::= SEQUENCE {
    measParameters-v1710 MeasParameters-NB-v1 rf-Parameters-v1710 RF-Parameters-NB-v1710, tdd-UE-Capability-v1710 TDD-UE-Capability-NB-v17
                                          MeasParameters-NB-v1710
                                                                         OPTIONAL.
                                     TDD-UE-Capability-NB-v1710,
                                        UE-Capability-NB-v1720-IEs OPTIONAL
}
UE-Capability-NB-v1720-IEs ::= SEQUENCE {
   ntn-Parameters-v1720 NTN-Parameters-NB-v1720,
    nonCriticalExtension
                                           SEQUENCE {}
                                                                         OPTIONAL
}
TDD-UE-Capability-NB-r15 ::=
                                     SEQUENCE {
    ue-Category-NB-r15 ENUMERATED {nb2} OPTIONAL, phyLayerParametersRel13-r15 PhyLayerParameters-NB-r13 OPTIONAL, phyLayerParametersRel14-r15 PhyLayerParameters-NB-v1430 OPTIONAL, phyLayerParameters-v1530 PhyLayerParameters-NB-v1530 OPTIONAL,
   ue-Category-NB-r15
}
OPTIONAL,
                                                                             OPTIONAL,
                                                                             OPTIONAL.
                                                                             OPTIONAL
TDD-UE-Capability-NB-v1710 ::= SEQUENCE {
    phyLayerParameters-v1710
                                          PhyLayerParameters-NB-v1700
                                                                            OPTIONAL
AccessStratumRelease-NB-r13 ::= ENUMERATED {rel13, rel14, rel15, rel16, rel17, spare3, spare2,
spare1, ...}
PDCP-Parameters-NB-r13
                            ::= SEQUENCE {
    profile0x0002
                                               BOOLEAN.
        profile0x0003
                                               BOOLEAN.
        profile0x0004
                                                BOOLEAN,
        profile0x0006
                                                BOOLEAN,
        profile0x0102
                                                BOOLEAN.
        profile0x0103
                                                BOOLEAN.
        profile0x0104
                                                BOOLEAN
    maxNumberROHC-ContextSessions-r13 ENUMERATED {cs2, cs4, cs8, cs12} DEFAULT cs2,
RLC-Parameters-NB-r15
                                       SEQUENCE {
                                           ENUMERATED {supported}
                                                                                 OPTIONAL
   rlc-UM-r15
MAC-Parameters-NB-r14
                                       SEQUENCE {
                                           ENUMERATED {supported}
    dataInactMon-r14
                                                                                      OPTIONAL.
    rai-Support-r14
                                           ENUMERATED {supported}
                                                                                OPTIONAL
```

```
}
MAC-Parameters-NB-v1530 ::=
                                          SEQUENCE {
                                                   ENUMERATED {supported}
     sr-SPS-BSR-r15
                                                                                           OPTIONAL
{\tt MAC-Parameters-NB-v1610} \qquad ::= \qquad {\tt SEQUENCE} \ \{
                                                   ENUMERATED {supported} OPTIONAL
     rai-SupportEnh-r16
NTN-Parameters-NB-r17 ::= SEQUENCE {
    | Parameters-NB-r17 | SEQUENCE {
| ntn-Connectivity-EPC-r17 | ENUMERATED { supported } OPTIONAL, |
| ntn-TA-Report-r17 | ENUMERATED { supported } OPTIONAL, |
| ntn-PUR-TimerDelay-r17 | ENUMERATED { supported } OPTIONAL, |
| ntn-OffsetTimingEnh-r17 | ENUMERATED { supported } OPTIONAL, |
| ntn-ScenarioSupport-r17 | ENUMERATED { ngso, gso } OPTIONAL
NTN-Parameters-NB-v1720 ::= SEQUENCE {
    ntn-SegmentedPrecompensationGaps-r17
                                                           ENUMERATED {sym1,sl1,sl2} OPTIONAL
MeasParameters-NB-r16 ::= SEQUENCE {
    OPTIONAL
}
OPTIONAL
OPTIONAL
                                                                                            OPTIONAL,
}
PhyLayerParameters-NB-r13 ::= SEQUENCE {
    multiTone-r13
                                                   ENUMERATED {supported}
                                                                                            OPTIONAL,
                                                   ENUMERATED {supported}
    multiCarrier-r13
                                                                                            OPTIONAL
PhyLayerParameters-NB-v1430 ::= SEQUENCE {
    multiCarrier-NPRACH-r14 ENUMERATED {supported}
twoHARO-Processes-r14 ENUMERATED {supported}
                                                                                            OPTIONAL,
                                                   ENUMERATED {supported}
     twoHARQ-Processes-r14
                                                                                            OPTIONAL
}
PhyLayerParameters-NB-v1440 ::= SEQUENCE {
     interferenceRandomisation-r14 ENUMERATED {supported} OPTIONAL
PhyLayerParameters-NB-v1530 ::= SEQUENCE {
  mixedOperationMode-r15 ENUMERATED {supported}
  sr-WithHARQ-ACK-r15 ENUMERATED {supported}
  sr-WithoutHARQ-ACK-r15 ENUMERATED {supported}
  nprach-Format2-r15 ENUMERATED {supported}
                                                                                          OPTIONAL,
                                                                                            OPTIONAL,
                                                                                           OPTIONAL,
     additionalTransmissionSIB1-r15 ENUMERATED {supported}
npusch-3dot75kHz-SCS-TDD-r15 ENUMERATED {supported}
                                                                                            OPTIONAL,
                                                                                            OPTIONAL
PhyLayerParameters-NB-v1610 ::= SEQUENCE {
                                                                                     OPTIONAL,
                                                ENUMERATED {supported}
    npdsch-MultiTB-r16
     npdsch-MultiTB-Interleaving-r16
                                                   ENUMERATED {supported}
                                                                                            OPTIONAL,
    npusch-MultiTB-r16 ENUMERATED {supported}
npusch-MultiTB-Interleaving-r16 ENUMERATED {supported}
multiTB-HARQ-AckBundling-r16 ENUMERATED {supported}
slotSymbolResourceResvDL-r16 ENUMERATED {supported}
subframeResourceResvDL-r16 ENUMERATED {supported}
subframeResourceResvDL-r16 ENUMERATED {supported}
subframeResourceResvUL-r16 ENUMERATED {supported}
subframeResourceResvUL-r16 ENUMERATED {supported}
                                                                                      OPTIONAL,
                                                                                       OPTIONAL,
                                                                                       OPTIONAL,
                                                                                             OPTIONAL,
                                                                                            OPTIONAL,
                                                                                           OPTIONAL
}
PUR-Parameters-NB-r16 ::=
                                            SEQUENCE {
    pur-CP-EPC-r16
                                                ENUMERATED {supported}
                                                                                          OPTIONAL,
     pur-CP-5GC-r16
                                                    ENUMERATED {supported}
                                                                                           OPTIONAL,
     pur-UP-EPC-r16
                                                    ENUMERATED {supported}
                                                                                            OPTIONAL,
     pur-UP-5GC-r16
                                                                                           OPTIONAL,
                                                   ENUMERATED {supported}
     pur-NRSRP-Validation-r16
                                                    ENUMERATED {supported}
                                                                                            OPTIONAL,
                                                   ENUMERATED (supported)
     pur-CP-L1Ack-r16
                                                                                            OPTIONAL
}
PhyLayerParameters-NB-v1700 ::= SEQUENCE {
                                                   ENUMERATED {supported}
     npdsch-16QAM-r17
                                                                                            OPTIONAL
```

```
RF-Parameters-NB-r13 ::= SEQUENCE {
    supportedBandList-r13 Support
    multiNS-Pmax-r13 ENUMERA
                                          SupportedBandList-NB-r13,
                                          ENUMERATED {supported}
                                                                         OPTIONAL
RF-Parameters-NB-v1430 ::= SEQUENCE { powerClassNB-14dBm-r14 ENUMER
                                     ENUMERATED {supported} OPTIONAL
RF-Parameters-NB-v1710 ::= SEQUENCE {
    supportedBandList-v1710 Support
                                          SupportedBandList-NB-v1710 OPTIONAL
SupportedBandList-NB-r13 ::=
                                     SEQUENCE (SIZE (1..maxBands)) OF SupportedBand-NB-r13
                                      SEQUENCE (SIZE (1..maxBands)) OF SupportedBand-NB-v1710
SupportedBandList-NB-v1710 ::=
SupportedBand-NB-r13 ::= SEQUENCE {
                                          UENCE {
FreqBandIndicator-NB-r13,
   band-r13
    powerClassNB-20dBm-r13
                                           ENUMERATED {supported}
                                                                         OPTIONAL
SupportedBand-NB-v1710 ::= SEQUENCE {
   npusch-16QAM-r17
                                          ENUMERATED {supported}
                                                                       OPTIONAL
SON-Parameters-NB-r16 ::= SEQUENCE {
                                        ENUMERATED {supported} OPTIONAL, ENUMERATED {supported} OPTIONAL
   anr-Report-r16
   rach-Report-r16
-- ASN1STOP
```

UE-Capability-NB field descriptions	FDD/TDD appl	FDD/TDD diff
accessStratumRelease Set to rel17 in this version of the specification.	FDD/TDD	No
additionalTransmissionSIB1 Indicates whether the UE supports additional SIB1 transmission as specified in TS 36.213 [23].	FDD	-
anr-Report Indicates whether the UE supports ANR measurements in RRC_IDLE.	FDD/TDD	No
connModeMeasIntraFreq, connModeMeasInterFreq Indicates whether the UE in RRC_CONNECTED supports neighbour cell measurements.	FDD/TDD	No
coverageBasedPaging Indicates whether the UE in RRC_IDLE supports coverage based paging carrier selection as defined in TS 36.304 [4].	FDD/TDD	No
dataInactMon Indicates whether the UE supports the data inactivity monitoring as specified in TS 36.321 [6].	FDD/TDD	No
dl-ChannelQualityReporting-r16 Indicates whether the UE supports DL channel quality reporting in connected mode as specified in TS 36.321 [6].	FDD	-
dummy This field is not used in the specification. It shall not be sent by the UE.	NA	NA
earlyData-UP, earlyData-UP-5GC Indicates whether the UE supports EDT for User plane CloT EPS/5GS optimisations, as defined in TS 24.301 [35] and 24.501 [95] respectively.	FDD	-
earlySecurityReactivation Indicates whether the UE supports early security reactivation when resuming a suspended RRC connection.	FDD/TDD	No
interferenceRandomisation For FDD: Indicates whether the UE supports interference randomisation in connected mode as defined in TS.36.211 [21].	FDD	-
maxNumberROHC-ContextSessions  Set to the maximum number of concurrently active ROHC contexts supported by the UE, excluding context sessions that leave all headers uncompressed. cs2 corresponds with 2 (context sessions), cs4 corresponds with 4 and so on. The network ignores this field if the UE supports none of the ROHC profiles in supportedROHC-Profiles.	FDD/TDD	No
mixedOperationMode  Defines whether the UE supports multi-carrier operation with mixed operation mode, standalone or inband/guardband, between the anchor carrier and the non-anchor carrier for unicast, paging, and random access as specified in TS 36.300 [9].	FDD	-
multiCarrier  Defines whether the UE supports multi -carrier operation.	FDD/TDD	Yes
multicarrier-NPRACH Defines whether the UE supports NPRACH on non-anchor carrier as specified in TS 36.321 [6].	FDD/TDD	Yes
multipleDRB Defines whether the UE supports multiple DRBs.	FDD/TDD	No
multiNS-Pmax Defines whether the UE supports the mechanisms defined for NB-IoT cells broadcasting NS-PmaxList-NB.	FDD/TDD	No
multiTB-HARQ-AckBundling Indicates whether the UE supports HARQ ACK bundling for interleaved transmission for DL. If multiTB-HARQ-AckBundling is included, the UE shall also indicate support for npdsch-	FDD	-
MultiTb-Interleaving.  multiTone	FDD/TDD	Yes
Defines whether the UE supports UL multi-tone transmissions on NPUSCH.  npdsch-16QAM	FDD/TDD	Yes
Indicates whether the UE supports 16QAM for DL unicast as defined in TS 36.213 [23].  **rpdsch-MultiTB** Indicates whether the UE supports multiple TBs scheduling in RRC_CONNECTED for DL.  If products MultiTB is included, the UE shall also indicate support for two HAPO-Processes.	FDD	-
If npdsch-MultiTB is included, the UE shall also indicate support for twoHARQ-Processes.  npdsch-MultiTB-Interleaving Indicates whether the UE supports interleaved transmission when multiple TBs is scheduled in RRC_CONNECTED for DL.	FDD	-
	FDD	

UE-Capability-NB field descriptions	FDD/TDD appl	FDD/TDD diff
Indicates whether the UE supports 16QAM for UL unicast on the band as defined in TS	FDD/TDD	No
36.213 [23].  npusch-3dot75kHz-SCS-TDD  Indicates whether the UE supports NPUSCH with 3.75kHz SCS for TDD.	TDD	-
npusch-MultiTB Indicates whether the UE supports multiple TBs scheduling in RRC_CONNECTED for UL. If npusch-MultiTB is included, the UE shall also indicate support for twoHARQ-Processes.	FDD	-
npusch-MultiTB-Interleaving Indicates whether the UE supports interleaved transmission when multiple TBs is	FDD	-
scheduled in RRC_CONNECTED for UL.  ntn-Connectivity-EPC Indicates whether the UE supports NTN access when connected to EPC. If the UE indicates this capability, the UE shall support all NTN essential features as specified in TS 36.306 [5].	FDD	-
ntn-OffsetTimingEnh Indicates whether the UE supports timing relationship enhancement using Differential Koffset as specified in TS 36.321 [6] and TS 36.213 [23].	FDD	-
ntn-PUR-TimerDelay Indicates whether the UE supports delaying the start of the pur-ResponseWindowTimer for NTN, see TS 36.321 [6].	FDD	
ntn-SegmentedPrecompensationGaps Indicates the minimum supported gap length between segments for segmented uplink transmission. Value sym1 corresponds to 1 symbol, value s/1 corresponds to 1 slot, value s/2 corresponds to 2 slots.	FDD	-
ntn-ScenarioSupport Indicates whether the UE supports NTN features for only GSO or NGSO scenario. If a UE does not include this field but includes ntn-Connectivity-EPC-r17, the UE supports the NTN features for both GSO and NGSO scenarios.	FDD	-
ntn-TA-report Indicates whether the UE supports timing advance reporting in RRC_CONNECTED, see TS 36.321 [6].	FDD	-
powerClassNB-14dBm  Defines whether the UE supports power class 14dBm in all the bands supported by the UE as specified in TS 36.101 [42].  If powerClassNB-20dBm is included, the UE shall not include the field powerClassNB-14dBm.	FDD/TDD	No
powerClassNB-20dBm Defines whether the UE supports power class 20dBm in NB-IoT for the band, as specified in TS 36.101 [42] and TS 36.102 [113] for NTN capable UE. If neither powerClassNB-14dBm nor powerClassNB-20dBm is included, UE supports power class 23 dBm in the NB-IoT band.	FDD/TDD	No
pur-CP-EPC, pur-CP-5GC Indicates whether the UE supports transmission using PUR for Control plane CloT EPS/5GS optimisations, as defined in TS 24.301 [35] and TS 24.501 [95] respectively.	FDD	-
pur-CP-L1Ack Indicates whether UE supports L1 acknowledgement in response to CP transmission using PUR. If pur-CP-L1Ack is included, the UE shall also indicate support for pur-CP-EPC or pur-CP-5GC.	FDD	-
pur-NRSRP-Validation Indicates whether UE supports serving cell NRSRP for TA validation for transmission using PUR. If pur-NRSRP-Validation is included, the UE shall also indicate support for pur-CP-EPC, pur-CP-5GC, pur-UP-EPC or pur-CP-5GC.	FDD	-
pur-CP-3GC, pur-UP-5GC.  pur-UP-FPC, pur-UP-5GC  Indicates whether the UE supports transmission using PUR for User plane CloT EPS/5GS optimisations, as defined in TS 24.301 [35] and TS 24.501 [95] repectively.	FDD	-
rach-Report Indicates whether the UE supports delivery of rach-Report.	FDD/TDD	No
rai-Support  Defines whether the UE supports release assistance indication (RAI) as specified in TS 36.321 [6].	FDD/TDD	No
rai-SupportEnh Indicates whether the UE supports AS Release Assistance Indication via the DCQR and AS RAI MAC CE when connected to EPC as specified in TS 36.321 [6].	FDD/TDD	No

UE-Capability-NB field descriptions		FDD/TDD diff
rlc-UM	<i>appl</i> FDD/TDD	No
Defines whether the UE supports RLC UM as specified in TS 36.322 [7].		
slotSymbolResourceResvDL	FDD/TDD	Yes
Indicates whether the UE supports slot/symbol-level time-domain DL resource reservation,		
e.g. for NB-IoT coexistence with NR.		
If slotSymbolResourceResvDL is included, the UE shall also indicate support for		
subframeResourceResvDL.		
slotSymbolResourceResvUL	FDD/TDD	Yes
Indicates whether the UE supports slot/symbol-level time-domain UL resource reservation,		
e.g. for NB-IoT coexistence with NR.		
If slotSymbolResourceResvUL is included, the UE shall also indicate support for		
subframeResourceResvUL.		
supportedBandList, supportedBandList-v1710	FDD/TDD	No
Includes the supported NB-IoT bands as defined in TS 36.101 [42] and TS 36.102 [113] for		
NTN capable UE. If supportedBandList-v1710 is included, the UE shall include the same		
number of entries, and listed in the same order, as in supportedBandList-r13.		
sr-SPS-BSR	FDD	-
Defines whether the UE supports SR using SPS BSR as specified in TS 36.321 [6].		
sr-withHARQ-ACK	FDD	-
Defines whether the UE supports physical layer SR with HARQ ACK as specified in TS		
36.213 [23].		
sr-withoutHARQ-ACK	FDD	-
Defines whether the UE supports physical layer SR without HARQ ACK as specified in TS		
36.211 [21] and TS 36.213 [23].		
subframeResourceResvDL	FDD/TDD	Yes
Indicates whether the UE supports subframe-level time-domain DL resource reservation,		
e.g. for NB-IoT coexistence with NR.		
subframeResourceResvUL	FDD/TDD	Yes
Indicates whether the UE supports subframe-level time-domain UL resource reservation,		
e.g. for NB-IoT coexistence with NR.		
supportedROHC-Profiles		No
List of supported ROHC profiles as defined in TS 36.323 [8].		
twoHARQ-Processes		Yes
Defines whether the UE supports two HARQ processes operation in DL and UL as		
specified in TS 36.212 [22] and TS 36.213 [23].	FDD/TDD	
ue-Category-NB		Yes
UE category as defined in TS 36.306 [5]. Value nb1 corresponds to UE category NB1,		
value nb2 corresponds to UE category NB2.		
A UE shall always include the field <i>ue-Category-NB-r13</i> in this version of the specification.		

- NOTE 1: The IE *UE-Capability-NB* does not include AS security capability information, since these are the same as the security capabilities that are signalled by NAS. Consequently AS need not provide "man-in-the-middle" protection for the security capabilities.
- NOTE 2: The column 'FDD/TDD appl' indicates the applicability to the xDD mode: 'FDD' means applicable to FDD only, 'TDD' means applicable to TDD only and 'FDD/TDD' means applicable to FDD and TDD.
- NOTE 3: The column 'FDD/TDD diff' indicates if the UE is allowed to signal a different value for FDD and TDD when the capability applies to both FDD and TDD modes. '-' is used when the capability applies to one mode only, 'No' is used for dual mode capabilities where a common value is signalled for both modes, and 'Yes' is used for dual mode capabilities where a separate value is signalled for each mode. Common capabilities and FDD capabilities are reported in the fields of *UE-Capability-NB* except field *tdd-UE-Capability*. TDD capabilities are reported in *tdd-UE-Capability*.

## UE-RadioPagingInfo-NB

The IE UE-RadioPagingInfo-NB contains UE NB-IoT capability information needed for paging.

## UE-RadioPagingInfo-NB information element

```
UE-RadioPagingInfo-NB-r13 ::=
                                SEQUENCE {
   ue-Category-NB-r13
                                ENUMERATED {nb1}
                                                         OPTIONAL,
   [[ multiCarrierPaging-r14
                                ENUMERATED {true}
                                                         OPTIONAL
      mixedOperationMode-r15
                                ENUMERATED {supported}
                                                         OPTIONAL.
   11
       wakeUpSignal-r15
                                ENUMERATED {true}
                                                         OPTIONAL.
       wakeUpSignalMinGap-eDRX-r15 ENUMERATED {ms40, ms240, ms1000, ms2000}
                                                                        OPTIONAL,
       OPTIONAL
   ]],
   [[ ue-Category-NB-r16
                                       ENUMERATED {nb2}
                                                                OPTIONAL,
       groupWakeUpSignal-r16
                                       ENUMERATED {true}
                                                                OPTIONAL
       groupWakeUpSignalAlternation-r16 ENUMERATED {true}
                                                                OPTIONAL
   11
-- ASN1STOP
```

#### UE-RadioPagingInfo-NB field descriptions

## groupWakeUpSignal

Indicates whether the UE in RRC\_IDLE supports GWUS without group resource alternation for paging in DRX in FDD as specified in TS 36.211 [21], TS 36.213 [23] and TS 36.304 [4]. If this field is included, the minimum gap between GWUS and associated PO for DRX is fixed as 40 ms.

## groupWakeUpSignalAlternation

Indicates whether the UE in RRC\_IDLE supports GWUS with group resource alternation for paging in DRX in FDD as specified in TS 36.211 [21], TS 36.213 [23] and TS 36.304 [4]. If this field is included, the minimum gap between GWUS and associated PO for DRX is fixed as 40 ms.

#### mixedOperationMode

Indicates whether the UE supports multi-carrier operation with mixed operation mode, standalone or inband/guardband, between the anchor carrier and non-anchor carrier for unicast, paging, and random access, as specified in TS 36.300 [9].

## multiCarrierPaging

Indicates whether the UE supports paging on non-anchor carriers as defined in TS 36.304 [4].

## multiCarrierPagingTDD

Indicates whether the UE supports paging on non-anchor carriers for TDD as defined in TS 36.304 [4].

#### ue-Category-NB

UE NB-IoT category as defined in TS 36.306 [5]. Value *nb1* corresponds to UE category NB1, value *nb2* corresponds to UE category NB2.

A UE shall always include the field ue-Category-NB-r13 in this version of the specification.

#### wakeUpSignal

Indicates whether the UE supports WUS for paging in DRX in FDD as specified in TS 36.304 [4]. If this field is included, the minimum gap between WUS and associated PO for DRX is fixed as 40 ms.

## wakeUpSignalMinGap-eDRX

Indicates the minimum gap the UE supports between WUS or GWUS and associated PO in case of eDRX in FDD, as specified in TS 36.304 [4]. Value *ms40* corresponds to 40 ms, value *ms240* corresponds to 240 ms and so on. If this field is included, the UE shall also indicate support for WUS or GWUS for paging in DRX.

# UE-TimersAndConstants-NB

The IE *UE-TimersAndConstants-NB* contains timers and constants used by the UE in either RRC\_CONNECTED or RRC\_IDLE.

#### UE-TimersAndConstants-NB information element

```
-- ASN1START
UE-TimersAndConstants-NB-r13 ::=
                                    SEOUENCE {
   t300-r13
                                        ENUMERATED {
                                            ms2500, ms4000, ms6000, ms10000,
                                            ms15000, ms25000, ms40000, ms60000},
   t301-r13
                                        ENUMERATED {
                                            ms2500, ms4000, ms6000, ms10000,
                                            ms15000, ms25000, ms40000, ms60000},
   t310-r13
                                        ENUMERATED
                                            ms0, ms200, ms500, ms1000, ms2000, ms4000, ms8000},
   n310-r13
                                        ENUMERATED {
                                            n1, n2, n3, n4, n6, n8, n10, n20},
```

```
ENUMERATED {
   t311-r13
                                           ms1000, ms3000, ms5000, ms10000, ms15000,
                                           ms20000, ms30000},
   n311-r13
                                       ENUMERATED {
                                           n1, n2, n3, n4, n5, n6, n8, n10},
                                       ENUMERATED {
   [[ t311-v1350
                                           ms40000, ms60000, ms90000, ms120000}
                                                       OPTIONAL -- Need OR
    [[ t300-v1530
                                       ENUMERATED {
                                           ms80000, ms100000, ms120000}
                                                                         OPTIONAL.
                                                                                      -- Cond TDD
                                       ENUMERATED {
       t301-v1530
                                          ms80000, ms100000, ms120000}
                                                                         OPTIONAL,
                                                                                       -- Cond TDD
       t311-v1530
                                       ENUMERATED {
                                          ms160000, ms200000)
                                                                          OPTIONAL, -- Cond TDD
       t300-r15
                                       ENUMERATED {ms6000, ms10000, ms15000, ms25000, ms40000,
                                           ms60000, ms80000, ms120000} OPTIONAL
                                                                                       -- Cond
EDTorPUR
   ]]
-- ASN1STOP
```

## UE-TimersAndConstants-NB field descriptions

#### пЗху

Constants are described in clause 7.4. n1 corresponds with 1, n2 corresponds with 2 and so on.

#### t3xv

Timers are described in clause 7.3. Value ms0 corresponds with 0 ms, ms200 corresponds with 200 ms and so on. The UE shall use the extended values *t311-v1350*, *t300-v1530*, *t301-v1530* and *t311-v1530*, if present, and ignore the value signaled by *t311-r13*, *t300-r13*, *t300-r13* and *t311-r13* respectively.

t300-r15 is only applicable for EDT or transmission using PUR with uplink data. UE performing EDT or transmission using PUR with uplink data shall use t300-r15, if present.

Conditional presence	Explanation
EDTorPUR	The field is optionally present, Need OR, if edt-Parameters or cp-PUR-5GC or cp-PUR-
	EPC or up-PUR-5GC or up-PUR-EPC is present in SIB2-NB; otherwise the field is not
	present and the UE shall delete any existing value for this field.
TDD	The field is optionally present, Need OR, in TDD mode. Otherwise, the field is not
	present.

## 6.7.3.7 NB-IoT MBMS information elements

Void

## 6.7.3.7a NB-IoT SC-PTM information elements

## SC-MTCH-InfoList-NB

The IE *SC-MTCH-InfoList-NB* provides the list of ongoing MBMS sessions transmitted via SC-MRB and for each MBMS session, the associated G-RNTI and scheduling information.

#### SC-MTCH-InfoList-NB information element

```
-- ASN1START
SC-MTCH-InfoList-NB-r14 ::=
                                   SEQUENCE (SIZE (0.. maxSC-MTCH-NB-r14)) OF SC-MTCH-Info-NB-r14
SC-MTCH-Info-NB-r14 ::=
                                   SECUENCE
    sc-mtch-CarrierConfig-r14
                                       CHOICE {
       dl-CarrierConfig-r14
                                               DL-CarrierConfigCommon-NB-r14,
                                           INTEGER (0.. maxNonAnchorCarriers-NB-r14)
       dl-CarrierIndex-r14
    mbmsSessionInfo-r14
                                       MBMSSessionInfo-r13,
                                       BIT STRING(SIZE(16))
    sc-mtch-SchedulingInfo-r14
                                       SC-MTCH-SchedulingInfo-NB-r14 OPTIONAL, -- Need OP
```

```
sc-mtch-NeighbourCell-r14 BIT STRING (SIZE(maxNeighCell-SCPTM-NB-r14)) OPTIONAL, --
Need OP
   npdcch-NPDSCH-MaxTBS-SC-MTCH-r14
                                            ENUMERATED {n680, n2536},
   npdcch-NumRepetitions-SC-MTCH-r14 ENUMERATED {r1, r2, r4, r8, r16,
                                                    r32, r64, r128, r256,
                                                    r512, r1024, r2048, spare4,
                                      spare3, spare2, spare1},
ENUMERATED {vldot5, v2, v4, v8,
   npdcch-StartSF-SC-MTCH-r14
                                                    v16, v32, v48, v64},
   npdcch-Offset-SC-MTCH-r14
                                        ENUMERATED {zero, oneEighth, oneQuarter,
                                                    threeEighth, oneHalf, fiveEighth,
                                                    threeQuarter, sevenEighth},
}
SC-MTCH-SchedulingInfo-NB-r14 ::=
                                      SEQUENCE
                                            ENUMERATED {
   onDurationTimerSCPTM-r14
                                                pp1, pp2, pp3, pp4,
                                                pp8, pp16, pp32, spare},
   drx-InactivityTimerSCPTM-r14
                                            ENUMERATED {
                                               pp0, pp1, pp2, pp3,
                                                pp4, pp8, pp16, pp32},
    schedulingPeriodStartOffsetSCPTM-r14
                                            CHOICE {
       sf10
                                                INTEGER(0..9),
                                                INTEGER(0..19),
        sf20
       sf32
                                                INTEGER(0..31),
       sf40
                                                INTEGER(0..39),
        sf64
                                                INTEGER(0..63),
                                                INTEGER(0..79),
       sf80
                                                INTEGER(0..127),
        sf128
        sf160
                                                INTEGER(0..159),
       sf256
                                                INTEGER(0..255),
       sf320
                                                INTEGER(0..319),
                                               INTEGER(0..511),
       sf512
        sf640
                                                INTEGER(0..639),
        sf1024
                                                INTEGER(0..1023),
       sf2048
                                               INTEGER(0..2047),
       sf4096
                                                INTEGER(0..4095),
       sf8192
                                                INTEGER(0..8191)
    },
}
-- ASN1STOP
```

## SC-MTCH-InfoList-NB field descriptions

#### dl-CarrierConfig

Downlink carrier used for SC-MTCH. E-UTRAN cannot configure a downlink carrier operating in mixed operation mode.

#### dl-CarrierIndex

Index to a downlink carrier signalled in system information. Value '0' corresponds to the anchor carrier, value '1' corresponds to the first entry in *dl-ConfigList* in *SystemInformationBlockType22-NB*, value '2' corresponds to the second entry in *dl-ConfigList* and so on.

## drx-InactivityTimerSCPTM

Timer for SC-MTCH reception in TS 36.321 [6]. Value in number of NPDCCH periods. Value pp1 corresponds to 1 NPDCCH period, pp2 corresponds to 2 NPDCCH periods and so on.

#### g-RNTI

G-RNTI used to scramble the scheduling and transmission of a SC-MTCH.

## mbmsSessionInfo

Indicates the ongoing MBMS session in a SC-MTCH.

## npdcch-NPDSCH-MaxTBS-SC-MTCH

Maximum NPDSCH TBS for the SC-MTCH, see TS 36.213 [23]. Value *n680* corresponds to 680 bits and value *n2536* corresponds to 2536 bits.

#### npdcch-NumRepetition-SC-MTCH

The maximum number of NPDCCH repetitions the UE needs to monitor for SC-MTCH multicast search space, see TS 36.213 [23].

#### npdcch-Offset-SC-MTCH

Fractional period offset of starting subframe for NPDCCH multicast search space for SC-MTCH, see TS 36.213 [23].

#### npdcch-startSF-SC-MTCH

Starting subframes configuration of the NPDCCH multicast search space for SC-MTCH, see TS 36.213 [23].

#### onDurationTimerSCPTM

Timer for SC-MTCH reception in TS 36.321 [6]. Value in number of NPDCCH periods. Value pp1 corresponds to 1 NPDCCH period, pp2 corresponds to 2 NPDCCH periods and so on.

## schedulingPeriodStartOffsetSCPTM

SCPTM-SchedulingCycle and SCPTM-SchedulingOffset in TS 36.321 [6]. The value of SCPTM-SchedulingCycle is in number of sub-frames. Value sf10 corresponds to 10 sub-frames, sf20 corresponds to 20 sub-frames and so on. The value of SCPTM-SchedulingOffset is in number of sub-frames.

#### sc-mtch-CarrierConfig

Downlink carrier that is used for SC-MTCH.

#### sc-mtch-NeighbourCell

Indicates neighbour cells which also provide this service on SC-MTCH. The first bit is set to 1 if the service is provided on SC-MTCH in the first cell in *scptmNeighbourCellList*, otherwise it is set to 0. The second bit is set to 1 if the service is provided on SC-MTCH in the second cell in *scptmNeighbourCellList*, and so on. If this field is absent, the UE shall assume that this service is not available on SC-MTCH in any neighbour cell.

## sc-mtch-SchedulingInfo

DRX information for the SC-MTCH.

If this field is absent, DRX is not used for the SC-MTCH.

## - SCPTM-NeighbourCellList-NB

The IE SCPTM-NeighbourCellList-NB indicates a list of neighbour cells where ongoing MBMS sessions provided via SC-MRB in the current cells are also provided.

```
-- ASN1START

SCPTM-NeighbourCellList-NB-r14 ::= SEQUENCE (SIZE (1..maxNeighCell-SCPTM-NB-r14)) OF PCI-ARFCN-NB-r14

PCI-ARFCN-NB-r14 ::= SEQUENCE {
    physCellId-r14 PhysCellId,
    carrierFreq-r14 CarrierFreq-NB-r13 OPTIONAL -- Need OP
}

-- ASN1STOP
```

SCPTM-NeighbourCellList-NB field descriptions	
physCellId	
Physical Cell Identity of the neighbour cell.	
carrierFreq	
Carrier frequency of the neighbour cell.	
Absence of the IF means that the neighbour cell is on the same frequency as the current cell	

# 6.7.4 NB-IoT RRC multiplicity and type constraint values

# Multiplicity and type constraint definitions

```
-- ASN1START
                               INTEGER ::= 2 -- Maximum number of NB-IOT carrier frequencies that can
maxFreqANR-NB-r16
                                                -- be configured or reported for ANR measurement
maxFreqEUTRA-NB-r16
                               INTEGER ::= 8
                                               -- Maximum number of EUTRAN carrier frequencies that can
                                                -- be provided as assistance information for inter-RAT
                                                -- cell selection
maxFreqsGERAN-NB-r16
                               INTEGER ::= 8 -- Maximum number of groups of GERAN carrier frequencies
                                                 -- that can be provided as assistance information for
                                                 -- inter-RAT cell selection
                              INTEGER ::= 15 -- Maximum number of groups for each paging probability
maxGWUS-Groups-1-NB-r16
                                                -- group
maxGWUS-ProbThresholds-NB-r16 INTEGER ::= 3 -- Maximum number of paging probability thresholds
maxNPRACH-Resources-NB-r13 INTEGER ::= 3 -- Maximum number of NPRACH resources for NB-IoT maxNonAnchorCarriers-NB-r14 INTEGER ::= 15 -- Maximum number of non-anchor carriers for NB-IoT
maxDRB-NB-r13 INTEGER := 2 -- Maximum number of Data Radio Bearers for NB-IoT maxNeighCell-SCPTM-NB-r14 INTEGER := 8 -- Maximum number of SCPTM neighbour cells maxNS-Pmax-NB-r13 INTEGER := 4 -- Maximum number of NS and P-Max values per band
maxNS-Pmax-NB-r13
                              INTEGER ::= 64 -- Maximum number of SC-MTCHs in one cell for NB-IoT
maxSC-MTCH-NB-r14
maxSC-MTCh-NB-111
maxSI-Message-NB-r13
                               INTEGER ::= 8
                                                -- Maximum number of SI messages for NB-IoT
maxTAC-NB-r17
                             INTEGER ::= 12 -- Maximum number of Tracking Area Codes
                                                -- broadcast in a cell
-- ASN1STOP
```

# End of NBIOT-RRC-Definitions

```
-- ASN1START
END
-- ASN1STOP
```

# 6.7.5 Direct Indication Information

Direct Indication information is transmitted on NPDCCH using P-RNTI but without associated *Paging-NB* message. Table 6.7.5-1 defines the Direct Indication information, see TS 36.212 [22], clause 6.4.3.3.

When bit n is set to 1, the UE shall behave as if the corresponding field is set in the *Paging-NB* message, see 5.3.2.3. Bit 1 is the least significant bit.

Table 6.7.5-1: Direct Indication information

Bit	Field in Direct Indication information
1	systemInfoModification
2	systemInfoModification-eDRX
3, 4, 5,	Not used, and shall be ignored by UE if received
6, 7, 8	

# 7 Variables and constants

# 7.1 UE variables

NOTE:

To facilitate the specification of the UE behavioural requirements, UE variables are represented using ASN.1. Unless explicitly specified otherwise, it is however up to UE implementation how to store the variables. The optionality of the IEs in ASN.1 is used only to indicate that the values may not always be available.

## EUTRA-UE-Variables

This ASN.1 segment is the start of the E-UTRA UE variable definitions.

```
-- ASN1START
EUTRA-UE-Variables DEFINITIONS AUTOMATIC TAGS ::=
BEGIN
IMPORTS
    AbsoluteTimeInfo-r10,
    AreaConfiguration-r10
    AreaConfiguration-v1130,
    ARFCN-ValueNR-r15,
    BT-NameList-r15,
    CarrierFreqGERAN,
    CellIdentity,
    CellList-r15,
    CondReconfigurationToAddModList-r16,
    ConnEstFailReport-r11,
    EUTRA-CarrierList-r15,
    SpeedStateScaleFactors,
    C-RNTI,
    LoggedEventTriggerConfig-r17,
    LoggingDuration-r10,
    LoggingInterval-r10,
    LogMeasInfo-r10
    MeasCSI-RS-Id-r12
    MeasId,
    MeasId-v1250,
    MeasIdToAddModList,
    MeasIdToAddModListExt-r12,
    MeasIdToAddModList-v1310,
    MeasIdToAddModListExt-v1310,
    MeasObjectToAddModList,
    MeasObjectToAddModList-v9e0,
    MeasObjectToAddModListExt-r13,
    MeasResultListExtIdle-r16,
    MeasResultListIdle-r15,
    MeasResultListIdleNR-r16,
    MeasScaleFactor-r12,
    MobilityStateParameters,
    NeighCellConfig,
    NR-CarrierList-r16,
    PhysCellId,
    PhysCellIdCDMA2000,
    PhysCellIdGERAN,
    PhysCellIdUTRA-FDD,
    PhysCellIdUTRA-TDD,
    PLMN-Identity,
    PLMN-IdentityList3-r11,
    QuantityConfig,
    ReportConfigToAddModList,
    RLF-Report-r9,
    TargetMBSFN-AreaList-r12,
    TraceReference-r10,
    Tx-ResourcePoolMeasList-r14.
    VisitedCellInfoList-r12,
    maxCellMeas,
    maxCSI-RS-Meas-r12,
    maxMeasId,
    maxMeasId-r12,
```

```
maxRS-Index-r15,
PhysCellIdNR-r15,
RS-IndexNR-r15,
UL-DelayConfig-r13,
ValidityAreaList-r16,
WLAN-CarrierInfo-r13,
WLAN-Identifiers-r12,
WLAN-Id-List-r13,
WLAN-NameList-r15,
WLAN-Status-r13,
WLAN-Status-r13,
WLAN-Status-r1430,
WLAN-SuspendConfig-r14
FROM EUTRA-RRC-Definitions;
-- ASN1STOP
```

# VarConditionalReconfiguration

The UE variable *VarConditionalReconfiguration* includes the accumulated configuration of conditional reconfigurations (i.e. conditional handovers, conditional PSCell addition or inter-SN conditional PSCell change) including the configurations of triggering conditions to be monitored and the stored *RRCConnectionReconfiguration* per target candidate, to be applied upon the fulfilment of the associated triggering conditions.

## VarConditionalReconfiguration UE variable

## VarConnEstFailReport

The UE variable VarConnEstFailReport includes the connection establishment failure information.

## VarConnEstFailReport UE variable

```
-- ASN1START

VarConnEstFailReport-rl1 ::= SEQUENCE {
   connEstFailReport-rl1 ConnEstFailReport-rl1,
   plmn-Identity-rl1 PLMN-Identity
}

-- ASN1STOP
```

# VarLogMeasConfig

The UE variable *VarLogMeasConfig* includes the configuration of the logging of measurements to be performed by the UE while in RRC\_IDLE, covering intra-frequency, inter-frequency, inter-RAT mobility and MBSFN related measurements. If MBSFN logging is configured, the UE performs logging of measurements while in both RRC\_IDLE and RRC\_CONNECTED. Otherwise, the UE performs logging of measurements only while in RRC\_IDLE.

## VarLogMeasConfig UE variable

```
-- ASN1START

VarLogMeasConfig-r10 ::= SEQUENCE {
    areaConfiguration-r10 AreaConfiguration-r10 OPTIONAL,
    loggingDuration-r10 LoggingDuration-r10,
    loggingInterval-r10 LoggingInterval-r10
```

```
}
VarLogMeasConfig-r11 ::=
       LogMeasConfig-r11 ::= SEQUENCE {
areaConfiguration-r10 AreaConfiguration-r10
areaConfiguration-v1130 AreaConfiguration-v1130
loggingDuration-r10 LoggingDuration-r10,
loggingInterval-r10 LoggingInterval-r10
                                                          SEQUENCE {
                                                                                                                          OPTIONAL,
                                                                                                                          OPTIONAL,
        loggingInterval-r10
                                                                  LoggingInterval-r10
}
                                                          SEQUENCE {
VarLogMeasConfig-r12 ::=
      areaConfiguration-r10
                                                          AreaConfiguration-r10
AreaConfiguration-v1130
LoggingDuration-r10,
LoggingInterval-r10,
                                                                                                                     OPTIONAL.
        areaConfiguration-v1130
                                                                                                                        OPTIONAL,
       loggingDuration-r10 loggingInterval-r10
        targetMBSFN-AreaList-r12
                                                                   TargetMBSFN-AreaList-r12
                                                                                                                          OPTIONAL
}
VarLogMeasConfig-r15 ::= SEQUENCE {
    areaConfiguration-r10 AreaConfiguration-v1130 AreaConfiguration-v1130 LoggingDuration-r10, LoggingInterval-r10, LoggingInterval-r10, TargetMBSFN-AreaList-r12 bt-NameList-r15 BT-NameList-r15 WLAN-NameList-r15
                                                                                                                        OPTIONAL.
                                                                                                                         OPTIONAL,
                                                                          TargetMBSFN-AreaList-r12
                                                                                                                                     OPTIONAL,
                                                                                                      OPTIONAL,
        wlan-NameList-r15
                                                                  WLAN-NameList-r15
                                                                                                                                        OPTIONAL
}
VarLogMeasConfig-r17 ::= SEQUENCE {
    areaConfiguration-r10 AreaConfiguration-r10
    areaConfiguration-v1130 AreaConfiguration-v1130
    loggingDuration-r10 LoggingDuration-r10,
    loggingInterval-r10 LoggingInterval-r10,
    targetMBSFN-AreaList-r12 TargetMBSFN-AreaList-r12
    bt-NameList-r15 BT-NameList-r15
    wlan-NameList-r15 WLAN-NameList-r15
                                                                                                                                OPTIONAL.
                                                                                                                                OPTIONAL,
                                                                                                                                OPTIONAL,
                                                                                                                                OPTIONAL.
        wlan-NameList-r15 WLAN-NameList-r15 loggedEventTriggerConfig-r17 LoggedEventTriggerConfig-r17 measUncomBarPre-r17 ENUMERATED {true}
                                                                                                                                OPTIONAL,
                                                                                                                                 OPTIONAL,
                                                                                                                                OPTIONAL
-- ASN1STOP
```

## VarLogMeasReport

The UE variable VarLogMeasReport includes the logged measurements information.

### VarLogMeasReport UE variable

```
-- ASN1START
VarLogMeasReport-r10 ::=
                                      SEQUENCE {
   traceReference-r10
                                      TraceReference-r10,
   traceRecordingSessionRef-r10
                                          OCTET STRING (SIZE (2)),
   tce-Id-r10
                                    OCTET STRING (SIZE (1)),
   plmn-Identity-r10
                                     PLMN-Identity,
   absoluteTimeInfo-r10
                                      AbsoluteTimeInfo-r10,
   logMeasInfoList-r10
                                      LogMeasInfoList2-r10
VarLogMeasReport-rll ::= SEQUENCE {
   traceReference-r10
                                      TraceReference-r10,
   traceRecordingSessionRef-r10
                                      OCTET STRING (SIZE (2)),
   tce-Id-r10
                                      OCTET STRING (SIZE (1)),
   plmn-IdentityList-r11
                                      PLMN-IdentityList3-r11,
   absoluteTimeInfo-r10
                                      AbsoluteTimeInfo-r10,
   logMeasInfoList-r10
                                      LogMeasInfoList2-r10
LogMeasInfoList2-r10 ::=
                                      SEQUENCE (SIZE (1..maxLogMeas-r10)) OF LogMeasInfo-r10
-- ASN1STOP
```

## VarMeasConfig

The UE variable *VarMeasConfig* includes the accumulated configuration of the measurements to be performed by the UE, covering intra-frequency, inter-frequency and inter-RAT mobility related measurements.

NOTE: The amount of measurement configuration information, which a UE is required to store, is specified in clause 11.1. If the number of frequencies configured for a particular RAT exceeds the minimum performance requirements specified in TS 36.133 [16], it is up to UE implementation which frequencies of that RAT are measured. If the total number of frequencies for all RATs provided to the UE in the measurement configuration exceeds the minimum performance requirements specified in TS 36.133 [16], it is up to UE implementation which frequencies/RATs are measured.

## VarMeasConfig UE variable

```
-- ASN1START
VarMeasConfig ::=
                                    SEQUENCE {
     - Measurement identities
                                        MeasIdToAddModList
                                                                            OPTIONAL,
   measIdList
   measIdListExt-r12
                                        MeasIdToAddModListExt-r12
                                                                            OPTIONAL,
   measIdList-v1310
                                            MeasIdToAddModList-v1310
                                                                                    OPTIONAL,
   measIdListExt-v1310
                                       MeasIdToAddModListExt-v1310
                                                                            OPTIONAL,
    -- Measurement objects
   measObjectList
                                        MeasObjectToAddModList
                                                                            OPTIONAL,
   measObjectListExt-r13
                                        MeasObjectToAddModListExt-r13
                                                                            OPTIONAL,
                                        MeasObjectToAddModList-v9e0
   measObjectList-v9i0
                                                                            OPTIONAL,
    -- Reporting configurations
   reportConfigList
                                        ReportConfigToAddModList
                                                                            OPTIONAL,
     - Other parameters
   quantityConfig
                                        QuantityConfig
                                                                            OPTIONAL,
   measScaleFactor-r12
                                        MeasScaleFactor-r12
                                                                            OPTIONAL,
                                        INTEGER (-140..-44)
   s-Measure
                                                                            OPTIONAL.
   speedStatePars
                                        CHOICE {
       release
                                           NULL,
                                           SEQUENCE {
       setup
           mobilityStateParameters
                                                MobilityStateParameters,
           timeToTrigger-SF
                                                SpeedStateScaleFactors
                                                                            OPTIONAL,
   allowInterruptions-r11
                                   BOOLEAN
                                                                        OPTIONAL
-- ASN1STOP
```

## VarMeasIdleConfig

The UE variable *VarMeasIdleConfig* includes the configuration of the measurements to be performed by the UE while in RRC\_IDLE or RRC\_INACTIVE for E-UTRA inter-frequency and inter-RAT (i.e. NR) measurements.

### VarMeasIdleConfig UE variable

```
-- ASN1START
VarMeasIdleConfig-r15 ::= SEQUENCE {
   measIdleCarrierListEUTRA-r15
                                           EUTRA-CarrierList-r15
                                                                          OPTIONAL,
                                           ENUMERATED {sec10, sec30, sec60, sec120,
   measIdleDuration-r15
                                                       sec180, sec240, sec300}
VarMeasIdleConfig-r16 ::= SEQUENCE {
   measIdleCarrierListNR-r16
                                           NR-CarrierList-r16
                                                                   OPTIONAL.
   validityAreaList-r16
                                           ValidityAreaList-r16
                                                                   OPTIONAL
-- ASN1STOP
```

## VarMeasIdleReport

The UE variable VarMeasIdleReport includes the logged measurements information.

### VarMeasIdleReport UE variable

## VarMeasReportList

The UE variable VarMeasReportList includes information about the measurements for which the triggering conditions have been met.

### VarMeasReportList UE variable

```
-- ASN1START
                                     SEQUENCE (SIZE (1..maxMeasId)) OF VarMeasReport
VarMeasReportList ::=
VarMeasReportList-r12 ::=
                                     SEQUENCE (SIZE (1..maxMeasId-r12)) OF VarMeasReport
                             SEQUENCE {
VarMeasReport ::=
    -- List of measurement that have been triggered
   measId-v1250
                                         MeasId-v1250
                                                                          OPTIONAL,
                                         CellsTriggeredList
                                        CellsTriggeredList OPTIONAL, CSI-RS-TriggeredList-r12 OPTIONAL,
   cellsTriggeredList
   csi-RS-TriggeredList-r12
poolsTriggeredList-r14
numberOfReportsSent
                                         Tx-ResourcePoolMeasList-r14 OPTIONAL,
   numberOfReportsSent
CellsTriggeredList ::=
                                 SEQUENCE (SIZE (1..maxCellMeas)) OF CHOICE {
    physCellIdEUTRA
                                             PhysCellId,
    physCellIdUTRA
                                             CHOICE {
                                                 PhysCellIdUTRA-FDD,
        fdd
        t.dd
                                                 PhysCellIdUTRA-TDD
    physCellIdGERAN
                                             SEQUENCE {
                                                 CarrierFreqGERAN,
       carrierFreq
       physCellId
                                                 PhysCellIdGERAN
    physCellIdCDMA2000
                                             PhysCellIdCDMA2000,
    wlan-Identifiers-r13
                                             WLAN-Identifiers-r12,
    physCellIdNR-r15
                                             SEOUENCE {
       carrierFreq
                                                ARFCN-ValueNR-r15,
        physCellId
                                                 PhysCellIdNR-r15,
        rs-IndexList-r15
                                                 SSB-IndexList-r15
                                                                                 OPTIONAL
                                    SEQUENCE (SIZE (1..maxCSI-RS-Meas-r12)) OF MeasCSI-RS-Id-r12
CSI-RS-TriggeredList-r12 ::=
SSB-IndexList-r15::=
                                SEQUENCE (SIZE (1..maxRS-Index-r15)) OF RS-IndexNR-r15
-- ASN1STOP
```

## VarMobilityHistoryReport

The UE variable VarMobilityHistoryReport includes the mobility history information.

```
-- ASN1START
VarMobilityHistoryReport-r12 ::= VisitedCellInfoList-r12
-- ASN1STOP
```

## VarPendingRnaUpdate

The UE variable *VarPendingRnaUpdate* indicates whether there is a pending RNAU procedure or not. The setting of this BOOLEAN variable to TRUE means that there is a pending RANU procedure.

## VarPendingRnaUpdate UE variable

```
-- ASN1START

VarPendingRnaUpdate-r15 ::= SEQUENCE {
   pendingRnaUpdate BOOLEAN OPTIONAL
}

-- ASN1STOP
```

## VarRLF-Report

The UE variable VarRLF-Report includes the radio link failure information or handover failure information.

### VarRLF-Report UE variable

```
-- ASN1START

VarRLF-Report-r10 ::= SEQUENCE {
    rlf-Report-r10 RLF-Report-r9,
    plmn-Identity-r10 PLMN-Identity
}

VarRLF-Report-r11 ::= SEQUENCE {
    rlf-Report-r10 RLF-Report-r9,
    plmn-IdentityList-r11 PLMN-IdentityList3-r11
}
```

### VarShortINACTIVE-MAC-Input

The UE variable *VarShortINACTIVE-MAC-Input* specifies the input used to generate the *shortResume-MAC-I* during RRC Connection Resume procedure for RRC\_INACTIVE.

### VarShortINACTIVE-MAC-Input UE variable

```
-- ASN1START

VarShortINACTIVE-MAC-Input-r15 ::= SEQUENCE {
   cellIdentity-r15 CellIdentity,
   physCellId-r15 PhysCellId,
   c-RNTI-r15 C-RNTI
}

-- ASN1STOP
```

### VarShortINACTIVE-MAC-Input field descriptions

### cellidentity

An input variable used to calculate the *shortResume-MAC-I*. Set to CellIdentity included in *cellIdentity* (without suffix) in SIB1 of the current cell.

### c-RNTI

Set to C-RNTI that the UE had in the PCell it was connected to prior to suspension of the RRC connection.

### physCellId

Set to the physical cell identity of the PCell the UE was connected to prior to suspension of the RRC connection.

## VarShortMAC-Input

The UE variable VarShortMAC-Input specifies the input used to generate the shortMAC-I.

### VarShortMAC-Input UE variable

```
-- ASN1START

VarShortMAC-Input ::= SEQUENCE {
   cellIdentity CellIdentity,
   physCellId PhysCellId,
   c-RNTI C-RNTI
}
```

### VarShortMAC-Input field descriptions

### cellidentity

An input variable used to calculate the *shortMAC-I*. Set to CellIdentity included in *cellIdentity* (without suffix) in SIB1 of the current cell.

### c-RNTI

Set to C-RNTI that the UE had in the PCell it was connected to prior to the failure.

### physCellId

Set to the physical cell identity of the PCell the UE was connected to prior to the failure.

## VarShortResumeMAC-Input

The UE variable *VarShortResumeMAC-Input* specifies the input used to generate the *shortResumeMAC-I* during RRC Connection Resume procedure.

### VarShortResumeMAC-Input UE variable

```
-- ASN1START

VarShortResumeMAC-Input-r13 ::= SEQUENCE {
    cellIdentity-r13 CellIdentity,
    physCellId-r13 PhysCellId,
    c-RNTI-r13 C-RNTI,
    resumeDiscriminator-r13 BIT STRING(SIZE(1))
}

-- ASN1STOP
```

### VarShortResumeMAC-Input field descriptions

## cellIdentity

An input variable used to calculate the *shortResumeMAC-I*. Set to CellIdentity included in *cellIdentity* (without suffix) in SIB1 of the current cell.

### c-RNTI

Set to C-RNTI that the UE had in the PCell it was connected to prior to suspension of the RRC connection.

### physCelllo

Set to the physical cell identity of the PCell the UE was connected to prior to suspension of the RRC connection.

## resumeDiscriminator

A constant that allows differentiation in the calculation of the MAC-I for shortResumeMAC-I

The resumeDiscriminator is set to '1'

### VarWLAN-MobilityConfig

The UE variable VarWLAN-MobilityConfig includes information about WLAN for access selection and mobility.

### VarWLAN-MobilityConfig UE variable

```
-- ASN1START

VarWLAN-MobilityConfig ::= SEQUENCE {
    wlan-MobilitySet-r13 WLAN-Id-List-r13 OPTIONAL,
    successReportRequested ENUMERATED {true} OPTIONAL,
    wlan-SuspendConfig-r14 WLAN-SuspendConfig-r14 OPTIONAL
}
```

-- ASN1STOP

### VarWLAN-MobilityConfig field descriptions

### wlan-MobilitySet

Indicates the WLAN mobility set configured.

### successReportRequested

Indicates whether the UE shall report successful connection to WLAN. Applicable to LWA and LWIP.

### VarWLAN-Status

The UE variable *VarWLAN-Status* includes information about the status of WLAN connection for LWA, RCLWI or LWIP.

### VarWLAN-Status UE variable

### VarWLAN-Status field descriptions

### status

Indicates the connection status to WLAN and causes for connection failures.

## Multiplicity and type constraint definitions

This clause includes multiplicity and type constraints applicable (only) for UE variables.

## End of EUTRA-UE-Variables

```
-- ASN1START
END
-- ASN1STOP
```

## 7.1a NB-IoT UE variables

NOTE: To facilitate the specification of the UE behavioural requirements, UE variables are represented using ASN.1. Unless explicitly specified otherwise, it is however up to UE implementation how to store the variables. The optionality of the IEs in ASN.1 is used only to indicate that the values may not always be available.

## NBIOT-UE-Variables

This ASN.1 segment is the start of the NB-IoT UE variable definitions.

```
-- ASN1START
```

```
NBIOT-UE-Variables DEFINITIONS AUTOMATIC TAGS ::=
BEGIN
IMPORTS
   CellGlobalIdEUTRA,
    maxFreq,
    PLMN-IdentityList3-r11
FROM EUTRA-RRC-Definitions
    VarShortMAC-Input,
    VarShortResumeMAC-Input-r13
FROM EUTRA-UE-Variables
    ANR-CarrierList-NB-r16,
    ANR-MeasResult-NB-r16,
    maxFreqANR-NB-r16,
    MeasResultServCell-NB-r14,
    NRSRP-Range-NB-r14,
    RLF-Report-NB-r16
FROM NBIOT-RRC-Definitions;
-- ASN1STOP
```

## VarANR-MeasConfig-NB

The UE variable *VarANR-MeasConfig-NB* includes the configuration of the measurements to be performed by the UE in RRC\_IDLE for ANR. The UE performs these measurements once while in RRC\_IDLE and only in the cell where it receives the measurement configuration.

### VarANR-MeasConfig-NB

## VarANR-MeasReport-NB

The UE variable VarANR-MeasReport-NB includes the stored ANR measurements information.

## VarANR-MeasReport-NB

## VarRLF-Report-NB

The UE variable VarRLF-Report-NB includes the radio link failure information.

## VarRLF-Report-NB UE variable

```
-- ASN1START

VarRLF-Report-NB-r16 ::= SEQUENCE {
    rlf-Report-r16 RLF-Report-NB-r16,
    plmn-IdentityList-r16 PLMN-IdentityList3-r11
}

-- ASN1STOP
```

## VarShortMAC-Input-NB

The UE variable VarShortMAC-Input-NB specifies the input used to generate the shortMAC-I.

## VarShortMAC-Input-NB UE variable

```
-- ASN1START

VarShortMAC-Input-NB-r13 ::= VarShortMAC-Input

-- ASN1STOP
```

## VarShortResumeMAC-Input-NB

The UE variable *VarShortResumeMAC-Input-NB* specifies the input used to generate the *shortResumeMAC-I* during RRC Connection Resume procedure.

## VarShortResumeMAC-Input-NB UE variable

```
-- ASN1START

VarShortResumeMAC-Input-NB-r13 ::= VarShortResumeMAC-Input-r13

-- ASN1STOP
```

### End of NBIOT-UE-Variables

```
-- ASN1START
END
-- ASN1STOP
```

## 7.2 Counters

Counter	Reset	Incremented	When reaching max value

- 7.3 Timers
- 7.3.1 Timers (Informative)

Timer	Start	Stop	At expiry
T300 NOTE1	Transmission of RRCConnectionRequest or RRCConnectionResume Request or RRCEarlyDataRequest	Reception of RRCConnectionSetup, RRCConnectionReject or RRCConnectionResume or RRCEarlyDataComplete or RRCConnectionRelease for UP-EDT, cell re-selection and upon abortion of connection establishment by upper layers	Perform the actions as specified in 5.3.3.6
T301 NOTE1	Transmission of RRCConnectionReestabil shmentRequest	Reception of RRCConnectionReestablishmen t or RRCConnectionReestablishmen tReject message as well as when the selected cell becomes unsuitable	Go to RRC_IDLE
T302	Reception of RRCConnectionReject while performing RRC connection establishment or reception of RRCConnectionRelease including waitTime	Upon entering RRC_CONNECTED and upon cell re-selection, or upon reception of RRCEarlyDataComplete or RRCConnectionRelease for UP- EDT or RRCConnectionRelease for UP transmission using PUR, or upon reception of RRCConnectionReject message for E-UTRA/5GC.	Inform upper layers about barring alleviation as specified in 5.3.3.7
T303	Access barred while performing RRC connection establishment for mobile originating calls	Upon entering RRC_CONNECTED and upon cell re-selection, or upon reception of RRCEarlyDataComplete or RRCConnectionRelease for UP- EDT or RRCConnectionRelease for UP transmission using PUR.	Inform upper layers about barring alleviation as specified in 5.3.3.7
T304	Reception of RRCConnectionReconfig uration message including the MobilityControl Info or reception of MobilityFromEUTRACommand message including CellChangeOrder or upon conditional reconfiguration execution i.e. when applying a stored RRCConnectionReconfiguration message including the MobilityControl Info.	Criterion for successful completion of handover within E-UTRA, handover to E-UTRA or cell change order is met (the criterion is specified in the target RAT in case of inter-RAT)	In case of cell change order from E-UTRA or intra E-UTRA handover, initiate the RRC connection re-establishment procedure; In case of handover to E-UTRA, perform the actions defined in the specifications applicable for the source RAT; If any DAPS bearer is configured and if there is no RLF in source PCell, initiate the failure information procedure.
T305	Access barred while performing RRC connection establishment for mobile originating signalling	Upon entering RRC_CONNECTED and upon cell re-selection, or upon reception of RRCEarlyDataComplete or RRCConnectionRelease for UP- EDT or RRCConnectionRelease for UP transmission using PUR.	Inform upper layers about barring alleviation as specified in 5.3.3.7

Timer	Start	Stop	At expiry
T306	Access barred while performing RRC connection establishment for mobile originating CS fallback.	Upon entering RRC_CONNECTED and upon cell re-selection, or upon reception of RRCEarlyDataComplete or RRCConnectionRelease for UP- EDT or RRCConnectionRelease for UP transmission using PUR.	Inform upper layers about barring alleviation as specified in 5.3.3.7
T307	Reception of RRCConnectionReconfig uration message including MobilityControlInfoSCG	Successful completion of random access on the PSCell, upon initiating re-establishment and upon SCG release	Initiate the SCG failure information procedure as specified in 5.6.13.
T308	Access barred due to ACDC while performing RRC connection establishment subject to ACDC	Upon entering RRC_CONNECTED and upon cell re-selection, or upon reception of RRCEarlyDataComplete or RRCConnectionRelease for UP- EDT or RRCConnectionRelease for UP transmission using PUR.	Inform upper layers about barring alleviation for ACDC as specified in 5.3.3.7
T309 NOTE1	When access attempt is barred at access barring check for an Access Category. The UE shall maintain one instance of this timer per Access Category.	Upon entering RRC_CONNECTED, upon cell (re)selection, upon reception of RRCConnectionRelease, upon change of PCell while in RRC_CONNECTED, or upon reception of MobilityFromEUTRACommand.	Perform the actions as specified in 5.3.16.4.
T310 NOTE1 NOTE2	Upon detecting physical layer problems for the PCell i.e. upon receiving N310 consecutive out-of-sync indications from lower layers	Upon receiving N311 consecutive in-sync indications from lower layers for the PCell, upon triggering the handover procedure, upon initiating the connection re-establishment procedure, and upon initiating the MCG failure information procedure.	If security is not activated and the UE is not a NB-IoT UE that supports RRC connection reestablishment for the Control Plane CIoT EPS/5GS optimisation: go to RRC_IDLE else: initiate the MCG failure information procedure as specified in 5.6.26 or the connection re-establishment procedure as specified in 5.3.7.
T311 NOTE1	Upon initiating the RRC connection re-establishment procedure	Selection of a suitable E-UTRA cell or a cell using another RAT.	Go to RRC_IDLE
T312 NOTE2	Upon triggering a measurement report for a measurement identity for which T312 has been configured and <i>useT312</i> has been set to true, while T310 is running	Upon receiving N311 consecutive in-sync indications from lower layers, upon triggering the handover procedure, upon initiating the connection re-establishment procedure, upon initiating the MCG failure information procedure, and upon the expiry of T310	Initiate the MCG failure information procedure as specified in 5.6.26 or the connection re-establishment procedure as specified in 5.3.7.
T313 NOTE2	Upon detecting physical layer problems for the PSCell i.e. upon receiving N313 consecutive out-of-sync indications from lower layers	Upon receiving N314 consecutive in-sync indications from lower layers for the PSCell, upon initiating the connection reestablishment procedure, upon SCG release and upon receiving RRCConnectionReconfiguration including MobilityControlInfoSCG	Inform E-UTRAN about the SCG radio link failure by initiating the SCG failure information procedure as specified in 5.6.13.

Timer	Start	Stop	At expiry
T314	Upon early detecting	Upon receiving N311	Initiate the UE Assistance
NOTE2	physical layer problems for the PCell i.e. upon receiving N310 consecutive "early-out-of- sync" indications from lower layers.	consecutive in-sync indications from lower layers for the PCell, upon triggering the handover procedure and upon initiating the connection re-establishment procedure	Information procedure to report early detection of physical layer problems in accordance with 5.6.10.
T315 NOTE2	Upon detecting physical layer improvements of the PCell i.e. upon receiving N311 consecutive "early-insync" indications from lower layers.	Upon receiving N310 consecutive "early-out-of-sync" indications from lower layers for the PCell.	Initiate the UE Assistance Information procedure to report detection of physical layer improvements in accordance with 5.6.10.
T316	Upon transmission of the MCGFailureInformation message	Upon receiving RRCConnectionRelease, RRCConnectionReconfiguration with mobilityControlInfo, MobilityFromEUTRACommand, or upon initiaiting the re- establishment procedure,	Perform the actions as specified in 5.6.26.5.
T317 NOTE1	Start or restart from the subframe indicated by epochTime upon reception of SystemInformationBlockT ype31 (SystemInformationBlock Type31-NB in NB-IoT), or upon reception of RRCConnectionReconfig uration message for the target cell including mobilityControlInfo, or upon conditional reconfiguration execution i.e. when applying a stored RRCConnectionReconfiguration message for the target cell including mobilityControlInfo.	Stop T317, if it is running, for the source cell upon reception of RRCConnectionReconfiguration message including mobilityControlInfo, or upon conditional reconfiguration execution i.e. when applying a stored RRCConnectionReconfiguration message including mobilityControlInfo.	Perform the actions as specified in 5.3.18.
T318 NOTE1	Upon starting acquisition of SystemInformationBlockT ype31 (SystemInformationBlock Type31-NB in NB-IoT) in RRC_CONNECTED	Upon successful acquisition of SystemInformationBlockType31 (SystemInformationBlockType31 -NB in NB-IoT) in RRC_CONNECTED	If security is not activated and the UE is not a NB-IoT UE that supports RRC connection reestablishment for the Control Plane CIoT EPS optimisation: go to RRC_IDLE else: initiate the connection re-establishment procedure as specified in 5.3.7.
T320	Upon receiving t320 or upon cell (re)selection to E-UTRA from another RAT with validity time configured for dedicated priorities (in which case the remaining validity time is applied).	Upon entering RRC_CONNECTED, when PLMN selection is performed on request by NAS, when the UE enters RRC_IDLE from RRC_INACTIVE, or upon cell (re)selection to another RAT (in which case the timer is carried on to the other RAT), or upon reception of RRCEarlyDataComplete or RRCConnectionRelease for UP-EDT or RRCConnectionRelease for UP transmission using PUR.	Discard the cell reselection priority information provided by dedicated signalling.

Timer	Start	Stop	At expiry
T321	Upon receiving measConfig including a reportConfig with the purpose set to reportCGI	Upon acquiring the information needed to set all fields of cellGloballd for the requested cell, upon receiving measConfig that includes removal of the reportConfig with the purpose set to reportCGI and upon detecting that a cell is not broadcasting SIB1.	Initiate the measurement reporting procedure, stop performing the related measurements and remove the corresponding <i>measld</i>
T322 NOTE1	Upon receiving redirectedCarrierOffsetD edicated included in RedirectedCarrierInfo	Upon entering RRC_CONNECTED, when PLMN selection is performed on request by NAS, or upon cell (re)selection to another frequency or RAT, or upon reception of RRCEarlyDataComplete or RRCConnectionRelease for UP transmission using PUR.	Release redirectedCarrierOffsetDedicate d.
T323	Upon receiving t323.	Upon entering RRC_CONNECTED, when PLMN selection is performed on request by NAS, when the UE enters RRC_IDLE from RRC_INACTIVE, or upon cell (re)selection to another RAT, or upon reception of RRCEarlyDataComplete or RRCConnectionRelease for UP- EDT or RRCConnectionRelease for UP transmission using PUR.	Discard the altFreqPriorities provided by dedicated signalling. UE shall apply the cell reselection priority information broadcast in the system information via cellReselectionPriority and cellReselectionSubPriority.
T325	Timer (re)started upon receiving RRCConnectionReject message with deprioritisationTimer.	,	Stop deprioritisation of all frequencies or E-UTRA signalled by RRCConnectionReject.
T326 NOTE1	Upon entering RRC_CONNECTED, upon update to NRSRP <sub>Ref</sub> .	Upon leaving RRC_CONNECTED.	Stop performing connected mode neighbour cell measurement.
T330	Upon receiving LoggedMeasurementCon figuration message	Upon log volume exceeding the suitable UE memory, upon initiating the release of LoggedMeasurementConfiguration procedure	Perform the actions specified in 5.6.6.4
T331	Upon receiving RRCConnectionRelease message including measIdleConfig.	Upon receiving RRCConnectionSetup, RRCConnectionResume, RRCConnectionRelease with an idle/inactive measurement configuration or indication to release the configuration, if validityArea is configured, upon cell selection/reselection to a cell that does not belong to the validityArea (if configured), or upon reselecting to an inter-RAT cell.	Perform the actions specified in 5.6.20.3.
T340 NOTE2	Upon transmitting UEAssistanceInformation message with powerPrefIndication set to normal	Upon releasing powerPrefIndication during the connection re-establishment procedure	No action.

Timer	Start	Stop	At expiry
T341 NOTE2	Upon transmitting UEAssistanceInformation message with bw- Preference.	Upon resuming an RRC connection or upon releasing bw-Preference during the connection re-establishment procedure	No action.
T342 NOTE2	Upon transmitting UEAssistanceInformation message with delayBudgetReport.	Upon releasing delayBudgetReportingConfig during the connection re- establishment and connection resume procedures	No action.
T343 NOTE2	Upon transmitting UEAssistanceInformation message with RLM- Report including earlyOutOfSync.	Upon initiating the connection re-establishment procedure	No action.
T344 NOTE2	Upon transmitting UEAssistanceInformation message with RLM- Report including earlyInSync.	Upon initiating the connection re-establishment procedure	No action.
T345	Upon transmitting UEAssistanceInformation message with overheatingAssistance	Upon releasing overheatingAssistance during the connection re-establishment procedure, or connection resume procedure.	No action.
T346	Upon transmitting UEAssistanceInformation message with scg- DeactivationPreference	Upon releasing scg- DeactivationPreferenceConfig during the RRC connection establishment or re- establishment procedures, or upon reconfiguration of scg- DeactivationPreferenceConfig to release.	No action.
T350	Upon entering RRC_IDLE if <i>t350</i> has been received in wlan-OffloadInfo.	Upon entering RRC_CONNECTED, or upon cell reselection.	Perform the actions specified in 5.6.12.4.
T351	Reception of RRCConnectionReconfig uration message including the associationTimer in WLAN-MobilityConfig.	Upon successful connection to WLAN, upon WLAN connection failure, upon leaving RRC_CONNECTED, upon triggering the handover procedure, or upon initiating the connection re-establishment procedure.	Perform WLAN Connection Status Reporting specified in 5.6.15.2.
T360	Upon performing the redistribution target selection as specified in TS 36.304 [4].	Upon entering RRC_CONNECTED, upon receiving a Paging message including redistributionIndication; upon reselecting a cell not belonging to the redistribution target.	Stop considering a frequency or cell to be redistribution target, and perform the redistribution target selection if the condition specified in TS 36.304 [4] is met.
T370	Upon receiving SL- DiscConfig including a discSysInfoToReportConf ig set to setup.	Upon initiating the transmission of SidelinkUEInformation including discSysInfoReportFreqList, upon receiving SL-DiscConfig including discSysInfoToReportConfig set to release, upon handover and re-establishment.	Release discSysInfoToReportConfig.
T380	Upon reception of periodic-RNAU-timer in RRCConnectionRelease.	Upon reception of RRCConnectionResume, RRCConnectionRelease or RRCConnectionSetup.	Initiate the RAN notification area update procedure
	nly the timers marked with "Nne behaviour as specified in 7	IOTE1" are applicable to NB-loT. 7.3.2 applies.	

## 7.3.2 Timer handling

When the UE applies zero value for a timer, the timer shall be started and immediately expire unless explicitly stated otherwise.

## 7.4 Constants

Constant	Usage
N310	Maximum number of consecutive "out-of-sync" or "early-out-of-sync" indications for the PCell received from lower layers
N311	Maximum number of consecutive "in-sync" or "early-in-sync" indications for the PCell received from lower layers
N313	Maximum number of consecutive "out-of-sync" indications for the PSCell received from lower layers
N314	Maximum number of consecutive "in-sync" indications for the PSCell received from lower layers

# 8 Protocol data unit abstract syntax

## 8.1 General

The RRC PDU contents in clause 6, clause 9.3.2 and clause 10 are described using abstract syntax notation one (ASN.1) as specified in ITU-T Rec. X.680 [13] and X.681 [14]. Transfer syntax for RRC PDUs is derived from their ASN.1 definitions by use of Packed Encoding Rules, unaligned as specified in ITU-T Rec. X.691 [15].

The following encoding rules apply in addition to what has been specified in X.691:

- When a bit string value is placed in a bit-field as specified in 15.6 to 15.11 in X.691, the leading bit of the bit string value shall be placed in the leading bit of the bit-field, and the trailing bit of the bit string value shall be placed in the trailing bit of the bit-field.

NOTE: The terms 'leading bit' and 'trailing bit' are defined in ITU-T Rec. X.680. When using the 'bstring' notation, the leading bit of the bit string value is on the left, and the trailing bit of the bit string value is on the right.

- When decoding types constrained with the ASN.1 Contents Constraint ("CONTAINING"), automatic decoding of the contained type should not be performed because errors in the decoding of the contained type should not cause the decoding of the entire RRC message PDU to fail. It is recommended that the decoder first decodes the outer PDU type that contains the OCTET STRING or BIT STRING with the Contents Constraint, and then decodes the contained type that is nested within the OCTET STRING or BIT STRING as a separate step.
- When decoding a) RRC message PDUs, b) BIT STRING constrained with a Contents Constraint, or c) OCTET STRING constrained with a Contents Constraint, PER decoders are required to never report an error if there are extraneous zero or non-zero bits at the end of the encoded RRC message PDU, BIT STRING or OCTET STRING.

# 8.2 Structure of encoded RRC messages

An RRC PDU, which is the bit string that is exchanged between peer entities/ across the radio interface contains the basic production as defined in X.691.

RRC PDUs shall be mapped to and from PDCP SDUs (in case of DCCH) or RLC SDUs (in case of PCCH, BCCH, BR-BCCH, CCCH or MCCH) upon transmission and reception as follows:

- when delivering an RRC PDU as an PDCP SDU to the PDCP layer for transmission, the first bit of the RRC PDU shall be represented as the first bit in the PDCP SDU and onwards; and
- when delivering an RRC PDU as an RLC SDU to the RLC layer for transmission, the first bit of the RRC PDU shall be represented as the first bit in the RLC SDU and onwards; and
- upon reception of an PDCP SDU from the PDCP layer, the first bit of the PDCP SDU shall represent the first bit of the RRC PDU and onwards; and
- upon reception of an RLC SDU from the RLC layer, the first bit of the RLC SDU shall represent the first bit of the RRC PDU and onwards.

# 8.3 Basic production

The 'basic production' is obtained by applying UNALIGNED PER to the abstract syntax value (the ASN.1 description) as specified in X.691. It always contains a multiple of 8 bits.

## 8.4 Extension

The following rules apply with respect to the use of protocol extensions:

- A transmitter compliant with this version of the specification shall, unless explicitly indicated otherwise on a PDU type basis, set the extension part empty. Transmitters compliant with a later version may send non-empty extensions;
- A transmitter compliant with this version of the specification shall set spare bits to zero;

# 8.5 Padding

If the encoded RRC message does not fill a transport block, the RRC layer shall add padding bits. This applies to PCCH, BCCH and BR-BCCH.

Padding bits shall be set to 0 and the number of padding bits is a multiple of 8.

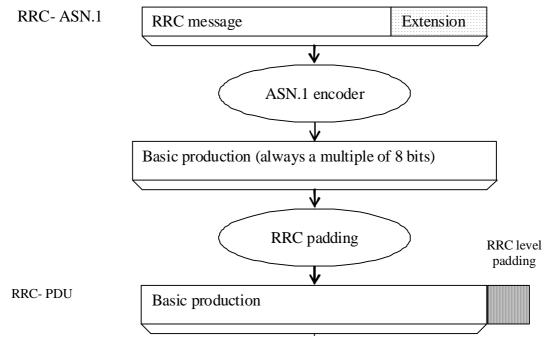


Figure 8.5-1: RRC level padding

# 9 Specified and default radio configurations

Specified and default configurations are configurations of which the details are specified in the standard. Specified configurations are fixed while default configurations can be modified using dedicated signalling.

# 9.1 Specified configurations

# 9.1.1 Logical channel configurations

## 9.1.1.1 BCCH configuration

**Parameters** 

Name	Value	Semantics description	Ver
PDCP configuration	N/A		
RLC configuration	TM		
MAC configuration	TM		

NOTE: RRC will perform padding, if required due to the granularity of the TF signalling, as defined in 8.5.

## 9.1.1.2 CCCH configuration

Name	Value	Semantics description	Ver
PDCP configuration	N/A		
RLC configuration	TM		

Name	Value	Semantics description	Ver
MAC configuration		Normal MAC headers are used	
Logical channel configuration			
priority	1	Highest priority	
prioritisedBitRate	infinity		
bucketSizeDuration	N/A		
logicalChannelGroup	0		
logicalChannelSR-Mask-r9	release		v920

# 9.1.1.3 PCCH configuration

### Parameters

Name	Value	Semantics description	Ver
PDCP configuration	N/A		
RLC configuration	TM		
MAC configuration	TM		

NOTE: RRC will perform padding, if required due to the granularity of the TF signalling, as defined in 8.5.

# 9.1.1.4 MCCH and MTCH configuration

### Parameters

Name	Value	Semantics description	Ver
PDCP configuration	N/A		
RLC configuration	UM		
sn-FieldLength	size5		
t-Reordering	0		

## 9.1.1.5 SBCCH configuration

## Parameters

Name	Value	Semantics description	Ver
PDCP configuration	N/A		
RLC configuration	TM		
MAC configuration	TM		

NOTE: RRC will perform padding, if required due to the granularity of the TF signalling, as defined in 8.5.

# 9.1.1.6 STCH configuration

Name	Value	Semantics description	Ver
PDCP configuration			
discardTimer	Undefined	Up to UE implementation	
pdcp-SN-Size	16		
maxCID	15		
profiles			
t-Reordering (PDCP)	Undefined	Only used for V2X sidelink communication. Selected by the receiving UE, up to UE implementation	V1520
RLC configuration		Uni-directional UM RLC UM window size is set to 0	
		Uni-directional UM RLC UM window size is set to 0 for sidelink communication	v1440
sn-FieldLength	5		
logicalChannelIdentity	Undefined	Selected by the transmitting UE, up to UE implementation	
Logical channel configuration			
priority	Undefined	Selected by the transmitting UE, up to UE implementation	
prioritisedBitRate	Undefined	Selected by the transmitting UE, up to UE implementation	
bucketSizeDuration	Undefined	Selected by the transmitting UE, up to UE implementation	
logicalChannelGroup	3		
t-Reordering	Undefined	Only used for V2X sidelink communication. Selected by the receiving UE, up to UE implementation	v1440
MAC configuration			

# 9.1.1.7 SC-MCCH and SC-MTCH configuration

## Parameters

Name	Value	Semantics description	Ver
PDCP configuration	N/A		
RLC configuration	UM		
sn-FieldLength	size5		
t-Reordering	0		

# 9.1.1.8 BR-BCCH configuration

### Parameters

Name	Value	Semantics description	Ver
PDCP configuration	N/A		
RLC configuration	TM		
MAC configuration	TM		

NOTE: RRC will perform padding, if required due to the granularity of the TF signalling, as defined in 8.5.

# 9.1.2 SRB configurations

## 9.1.2.1 SRB1

Name	Value	Semantics description	Ver
RLC configuration			
logicalChannelIdentity	1		

### 9.1.2.1a SRB1bis

### Parameters

Name	Value	Semantics description	Ver
RLC configuration			
logicalChannelIdentity	3		

### 9.1.2.2 SRB2

### **Parameters**

Name	Value	Semantics description	Ver
RLC configuration			
logicalChannelIdentity	2		

### 9.1.2.3 SRB4

### Parameters

Name	Value	Semantics description	Ver
RLC configuration			
logicalChannelIdentity	4		

# 9.2 Default radio configurations

The following clauses only list default values for REL-8 parameters included in protocol version v8.5.0. For all fields introduced in a later protocol version, the default value is "released" unless explicitly specified otherwise. If UE is to apply default configuration while it is configured with some critically extended fields, the UE shall apply the original version with only default values. For the following fields, introduced in a protocol version later than v8.5.0, the default corresponds with "value not applicable":

- codeBookSubsetRestriction-v920;
- pmi-RI-Report;
- NOTE 1: Value "N/A" indicates that the UE does not apply a specific value (i.e. upon switching to a default configuration, E-UTRAN can not assume the UE keeps the previously configured value). This implies that E-UTRAN needs to configure a value before invoking the related functionality.
- NOTE 2: In general, the signalling should preferably support a "release" option for fields introduced after v8.5.0. The "value not applicable" should be used restrictively, mainly limited to for fields which value is relevant only if another field is set to a value other than its default.

# 9.2.1 SRB configurations

# 9.2.1.1 SRB1

## **Parameters**

Name	Value	NB-IoT	Semantics description	Ver
RLC configuration CHOICE	am	am		
ul-RLC-Config				
>t-PollRetransmit	ms45	ms25000		
>pollPDU	infinity	N/A		
>pollByte	infinity	N/A		
>maxRetxThreshold	t4	t4		
dl-RLC-Config				
>t-Reordering	ms35	released		
>t-StatusProhibit	ms0	N/A		
>enableStatusReportSN-Gap	N/A	disabled		
Logical channel configuration				
priority	1	1	Highest priority	
prioritisedBitRate	infinity	N/A		
bucketSizeDuration	N/A	N/A		
logicalChannelGroup	0	N/A		
logicalChannelSR-Prohibit	N/A	TRUE		

# 9.2.1.2 SRB2

Name	Value	Semantics description	Ver
RLC configuration CHOICE	am		
ul-RLC-Config			
>t-PollRetransmit	ms45		
>pollPDU	infinity		
>pollByte	infinity		
>maxRetxThreshold	t4		
dl-RLC-Config			
>t-Reordering	ms35		
>t-StatusProhibit	ms0		
Logical channel configuration			

Name	Value	Semantics description	Ver
priority	3		
prioritisedBitRate	infinity		
bucketSizeDuration	N/A		
logicalChannelGroup	0		

# 9.2.2 Default MAC main configuration

## **Parameters**

Name	Value	NB-IoT	Semantics description	Ver
MAC main configuration				
maxHARQ-tx	n5	N/A		
periodicBSR-Timer	infinity	pp8		
retxBSR-Timer	sf2560	infinity		
ttiBundling	FALSE	N/A		
drx-Config	release	N/A		
phr-Config	release	N/A		

# 9.2.3 Default semi-persistent scheduling configuration

SPS-Config		
>sps-ConfigDL	release	
>sps-ConfigUL	release	

# 9.2.4 Default physical channel configuration

Parameters (not applicable for NB-IoT)

Name	Value	Semantics description	Ver
PDSCH-ConfigDedicated			
>p-a	dB0		
PUCCH-ConfigDedicated			
>tdd-AckNackFeedbackMode	bundling	Only valid for TDD mode	
>ackNackRepetition	release		
PUSCH-ConfigDedicated			
>betaOffset-ACK-Index	10		
>betaOffset-RI-Index	12		
>betaOffset-CQI-Index	15		

Name	Value	Semantics description	Ver
UplinkPowerControlDedicated			
>p0-UE-PUSCH	0		
>deltaMCS-Enabled	en0 (disabled)		
>accumulationEnabled	TRUE		
>p0-UE-PUCCH	0		
>pSRS-Offset	7		
>filterCoefficient	fc4		
tpc-pdcch-ConfigPUCCH	release		
tpc-pdcch-ConfigPUSCH	release		
CQI-ReportConfig			
>CQI-ReportPeriodic	release		
>cqi-ReportModeAperiodic	N/A		
>nomPDSCH-RS-EPRE-Offset	N/A		
SoundingRS-UL-ConfigDedicated	release		
AntennaInfoDedicated			
>transmissionMode	tm1, tm2	If the number of PBCH antenna ports is one, tm1 is used as default; otherwise tm2 is used as default	
>codebookSubsetRestriction	N/A		
>ue-TransmitAntennaSelection	release		
SchedulingRequestConfig	release		

## Parameters applicable for NB-IoT

Name	Value	Semantics description	Ver
NPUSCH-ConfigDedicated-NB			
>ack-NACK-NumRepetitions	N/A		
>npusch-AllSymbols	TRUE		
UplinkPowerControlDedicated			
>p0-UE-NPUSCH	0		

# 9.2.5 Default values timers and constants

Name	Value	Semantics description	Ver
t310	ms1000		
n310	n1		
t311	ms1000		
n311	n1		

# 9.3 Sidelink pre-configured parameters

# 9.3.1 Specified parameters

This clause only list parameters which value is specified in the standard.

### **Parameters**

Name	Value	Semantics description	Ver
preconfigSync			
>syncTxParameters			
>>alpha	0		
preconfigComm			
>sc-TxParameters			
>>alpha	0		
>dataTxParameters			
>>alpha	0		
v2x-CommPreconfigSync			
>syncTxParameters			
>>alpha	0		
v2x-CommTxPoolList, p2x-			
CommTxPoolList			
>dataTxParameters			
>>alpha	0		

# 9.3.2 Pre-configurable parameters

This ASN.1 segment is the start of the E-UTRA definitions of pre-configured sidelink parameters.

NOTE 1: Upper layers are assumed to provide a set of pre-configured parameters that are valid at the current UE location if any, see TS 24.334 [69], clause 10.2.

```
-- ASN1START
EUTRA-Sidelink-Preconf DEFINITIONS AUTOMATIC TAGS ::=
BEGIN
IMPORTS
   AdditionalSpectrumEmission,
    AdditionalSpectrumEmission-v1010,
   ARFCN-ValueEUTRA-r9,
   FilterCoefficient,
   maxCBR-Level-r14,
   maxCBR-Level-1-r14,
   maxFreq,
   maxFreqV2X-r14,
   maxSL-TxPool-r12,
   maxSL-CommRxPoolPreconf-v1310,
   maxSL-CommTxPoolPreconf-v1310,
   maxSL-DiscRxPoolPreconf-r13,
   maxSL-DiscTxPoolPreconf-r13,
   maxSL-V2X-CBRConfig2-r14,
    maxSL-V2X-CBRConfig2-1-r14,
   maxSL-V2X-RxPoolPreconf-r14,
```

```
maxSL-V2X-TxConfig2-r14,
    maxSL-V2X-TxConfig2-1-r14,
   maxSL-V2X-TxPoolPreconf-r14,
   MCS-PSSCH-Range-r15,
   ReselectionInfoRelay-r13,
    SL-AnchorCarrierFreqList-V2X-r14,
    SL-CBR-Levels-Config-r14,
    SL-CBR-PSSCH-TxConfig-r14,
    SL-CommTxPoolSensingConfig-r14,
    SL-CP-Len-r12,
    SL-HoppingConfigComm-r12,
    SL-NR-AnchorCarrierFreqList-r16,
    SL-OffsetIndicator-r12,
    SL-OffsetIndicatorSync-r12,
    SL-OffsetIndicatorSync-v1430,
    SL-PeriodComm-r12,
    RSRP-RangeSL3-r12,
    SL-MinT2ValueList-r15,
    SL-PriorityList-r13,
    SL-TF-ResourceConfig-r12,
    SL-TRPT-Subset-r12,
    SL-TxParameters-r12,
    SL-ZoneConfig-r14,
    P0-SL-r12,
    TDD-ConfigSL-r12,
    SubframeBitmapSL-r14,
    SL-P2X-ResourceSelectionConfig-r14,
    {\tt SL-RestrictResourceReservationPeriodList-r14}\,,
    SL-SyncAllowed-r14,
    SL-OffsetIndicatorSync-r14,
    SL-Priority-r13,
    SL-V2X-FreqSelectionConfigList-r15,
    SL-V2X-PacketDuplicationConfig-r15,
    SL-V2X-SyncFreqList-r15
FROM EUTRA-RRC-Definitions;
-- ASN1STOP
```

## SL-Preconfiguration

The IE SL-Preconfiguration includes the sidelink pre-configured parameters.

### SL-Preconfiguration information elements

```
-- ASN1START
SL-Preconfiguration-r12 ::=
                              SEQUENCE {
   preconfigGeneral-r12
                                       SL-PreconfigGeneral-r12,
   preconfigSync-r12
                                       SL-PreconfigSync-r12,
   preconfigComm-r12
                                       SL-PreconfigCommPoolList4-r12,
           commRxPoolList-r13 SL-Preconf CommTxPoolList-r13 SI-Preconf
    [[ preconfigComm-v1310
                                        SL-PreconfigCommRxPoolList-r13,
                                      SL-PreconfigCommTxPoolList-r13
                                                                           OPTIONAL
                                                                                OPTIONAL.
       preconfigDisc-r13
                                      SEQUENCE {
           discRxPoolList-r13
                                            SL-PreconfigDiscRxPoolList-r13,
                                            SL-PreconfigDiscTxPoolList-r13
           discTxPoolList-r13
                                                                                OPTIONAL
                                                                                OPTIONAL,
       preconfigRelay-r13
                                       SL-PreconfigRelay-r13
                                                                           OPTIONAL
   11
SL-PreconfigGeneral-r12 ::= SEQUENCE {
    -- PDCP configuration
   rohc-Profiles-r12
                                        SEQUENCE {
       profile0x0001-r12
                                                BOOLEAN.
       profile0x0002-r12
                                                BOOLEAN,
       profile0x0004-r12
                                                BOOLEAN,
       profile0x0006-r12
                                                BOOLEAN,
       profile0x0101-r12
                                                BOOLEAN,
       profile0x0102-r12
                                                BOOLEAN,
```

```
profile0x0104-r12
                                                 BOOLEAN
    -- Physical configuration
    carrierFreq-r12
                                        ARFCN-ValueEUTRA-r9,
    maxTxPower-r12
                                         P-Max,
    additionalSpectrumEmission-r12
                                       AdditionalSpectrumEmission,
    sl-bandwidth-r12
                                         ENUMERATED \{n6, n15, n25, n50, n75, n100\},\
    tdd-ConfigSL-r12
                                         TDD-ConfigSL-r12,
    reserved-r12
                                         BIT STRING (SIZE (19)),
    [[ additionalSpectrumEmission-v1440
                                                AdditionalSpectrumEmission-v1010
                                                                                          OPTIONAL
    11
}
SL-PreconfigSync-r12 ::= SEQUENCE {
    syncOffsetIndicator1-r12
syncOffsetIndicator2
   syncCP-Len-r12
                                        SL-CP-Len-r12,
                                        SL-OffsetIndicatorSync-r12,
    syncOffsetIndicator2-r12
                                        SL-OffsetIndicatorSync-r12,
                                        P0-SL-r12,
    syncTxParameters-r12
    syncTxThresh0oC-r12
                                        RSRP-RangeSL3-r12,
    filterCoefficient-r12
                                        FilterCoefficient,
                                       ENUMERATED {dB0, dB3, dB6, dB9, dB12},
ENUMERATED {dB0, dB3, dB6, dB9, dB12, dBinf},
    syncRefMinHyst-r12
    syncRefDiffHyst-r12
    [[ syncTxPeriodic-r13
                                             ENUMERATED {true}
                                                                        OPTIONAL
    ]]
}
SL-PreconfigCommPoolList4-r12 ::= SEQUENCE (SIZE (1..maxSL-TxPool-r12)) OF SL-PreconfigCommPool-
r12
SL-PreconfigCommRxPoolList-r13 ::= SEQUENCE (SIZE (1..maxSL-CommRxPoolPreconf-v1310)) OF SL-
PreconfigCommPool-r12
SL-PreconfigCommTxPoolList-r13 ::= SEQUENCE (SIZE (1..maxSL-CommTxPoolPreconf-v1310)) OF SL-
PreconfigCommPool-r12
SL-PreconfigCommPool-r12 ::=
                                SEOUENCE {
-- This IE is same as SL-CommResourcePool with rxParametersNCell absent
    sc-CP-Len-r12
                                        SL-CP-Len-r12,
    sc-Period-r12
                                        SL-PeriodComm-r12,
    sc-TF-ResourceConfig-r12
                                        SL-TF-ResourceConfig-r12,
                                        P0-SL-r12,
    sc-TxParameters-r12
    data-CP-Len-r12
                                        SL-CP-Len-r12,
   data-TF-ResourceConfig-r12 SL-TF-ResourceConfig-r12, dataHoppingConfig-r12 SL-HoppingConfigComm-r12,
   dataHoppingConfig-r12
                                        SL-HoppingConfigComm-r12,
   dataTxParameters-r12
                                        P0-SL-r12,
    trpt-Subset-r12
                                        SL-TRPT-Subset-r12,
    [[ priorityList-r13
                                       SL-PriorityList-r13
                                                                    OPTIONAL -- For Tx
    11
SL-PreconfigDiscRxPoolList-r13 ::= SEQUENCE (SIZE (1..maxSL-DiscRxPoolPreconf-r13)) OF SL-
PreconfigDiscPool-r13
SL-PreconfigDiscTxPoolList-r13 ::= SEQUENCE (SIZE (1..maxSL-DiscTxPoolPreconf-r13)) OF SL-
PreconfigDiscPool-r13
SL-PreconfigDiscPool-r13 ::=
                                SECTIENCE {
-- This IE is same as SL-DiscResourcePool with rxParameters absent
    cp-Len-r13
                                    SL-CP-Len-r12,
                                ENUMERATED {rf4, rf6, rf7, rf8, rf12, rf14, rf16, rf24, rf28,
    discPeriod-r13
                                        rf32, rf64, rf128, rf256, rf512, rf1024, spare},
                               INTEGER (0..3),
   numRetx-r13
    tf-ResourceConfig-r13 SL-TF-ResourceCo txParameters-r13 SPOURCECO
   numRepetition-r13
                                    SL-TF-ResourceConfig-r12,
       arameters-r13 SEQUENCE {
txParametersGeneral-r13 P0-SL-r12,
txProbability-r13 ENUMERATED {p25, p50, p75, p100}
                                                                      OPTIONAL,
}
SL-PreconfigRelay-r13 ::= SEQUENCE {
   reselectionInfoOoC-r13 ReselectionInfoRelay-r13
}
```

-- ASN1STOP

### SL-Preconfiguration field descriptions

### carrierFreq

Indicates the carrier frequency for out of coverage sidelink communication and sidelink discovery. In case of FDD it is uplink carrier frequency and the corresponding downlink frequency can be determined from the default TX-RX frequency separation defined in TS 36.101 [42], table 5.7.3-1.

### additional Spectrum Emission

The UE requirements related to IE *AdditionalSpectrumEmission* are defined in TS 36.101 [42], clause 6.2.4. If *additionalSpectrumEmissionExt-r14* is configured, the UE only considers *additionalSpectrumEmissionExt-r14* (and ignores *additionalSpectrumEmission-r12*).

### commRxPoolList

Indicates a list of reception pools for sidelink communication in addition to the resource pools indicated by *preconfigComm*.

### commTxPoolList

Indicates a list of transmission pools for sidelink communication in addition to the first resource pool within *preconfigComm*.

### preconfigComm

Indicates a list of resource pools. The first resource pool in the list is used for both reception and transmission of sidelink communication. The other resource pools, if present, are only used for reception of sidelink communication.

### syncRefDiffHyst

Hysteresis when evaluating a SyncRef UE using relative comparison. Value *dB0* corresponds to 0 dB, *dB3* to 3 dB and so on, value *dBinf* corresponds to infinite dB.

### syncRefMinHyst

Hysteresis when evaluating a SyncRef UE using absolute comparison. Value *dB0* corresponds to 0 dB, *dB3* to 3 dB and so on.

- NOTE 1: The network may configure one or more of the reception only resource pools in *preconfigComm* to cover reception from in coverage UEs using scheduled resource allocation. For such a resource pool the network should set all bits of *subframeBitmap* to 1 and *offsetIndicator* to indicate the subframe immediately following the sidelink control information.
- NOTE 2: The network should ensure that the resources defined by the first entry in *preconfigComm* (used for transmission by an out of coverage UE) do not overlap with those of the pool(s) covering scheduled transmissions by in coverage UEs. Furthermore, the network should ensure that for none of the entries in *preconfigComm* the resources defined by *sc-TF-ResourceConfig* overlap.

### SL-V2X-Preconfiguration

The IE SL-V2X-Preconfiguration includes the sidelink pre-configured parameters used for V2X sidelink communication.

### SL-V2X-Preconfiguration information elements

```
-- ASN1START
SL-V2X-Preconfiguration-r14 ::= SEQUENCE {
    v2x-PreconfigFreqList-r14 \\ SL-V2X-PreconfigFreqList-r14,
    anchorCarrierFreqList-r14
                                     SL-AnchorCarrierFreqList-V2X-r14
                                                                                       OPTIONAL.
    cbr-PreconfigList-r14
                                    SL-CBR-PreconfigTxConfigList-r14
                                                                                       OPTIONAL.
       v2x-PacketDuplicationConfig-r15 SL-V2X-PacketDuplicationConfig-r15
                                                                                       OPTIONAL,
        SI-V2X-FacketDuplica
SL-V2X-SyncFreqList-r15
Slss-TxMultiFreq-r15 ENIMPDARMS (...
                                                                                   OPTIONAL,
                                                                                   OPTIONAL,
                                   SL-V2X-TxProfileList-r15
        v2x-TxProfileList-r15
                                                                                   OPTIONAL
    ]],
        anchorCarrierFreqListNR-r16
                                         SL-NR-AnchorCarrierFreqList-r16
                                                                                   OPTIONAL
    [[
    ]]
SL-CBR-PreconfigTxConfigList-r14 ::=
                                         SEQUENCE {
    cbr-RangeCommonConfigList-r14 SEQUENCE (SIZE (1..maxSL-V2X-CBRConfig2-r14)) OF SL-CBR-Levels-
Config-r14,
    sl-CBR-PSSCH-TxConfigList-r14 SEQUENCE (SIZE (1..maxSL-V2X-TxConfig2-r14)) OF SL-CBR-PSSCH-
TxConfig-r14
```

```
SL-V2X-PreconfigFreqList-r14 ::= SEQUENCE (SIZE (1..maxFreqV2X-r14)) OF SL-V2X-PreconfigFreqInfo-
SL-V2X-PreconfigFreqInfo-r14 ::=
                                         SEQUENCE {
    v2x-CommPreconfigGeneral-r14
                                          SL-PreconfigGeneral-r12,
    v2x-CommPreconfigSync-r14
                                         SL-PreconfigV2X-Sync-r14
                                                                                   OPTIONAL,
    v2x-CommRxPoolList-r14
                                         SL-PreconfigV2X-RxPoolList-r14,
   v2x-CommTxPoolList-r14
                                         SL-PreconfigV2X-TxPoolList-r14,
    p2x-CommTxPoolList-r14
                                         SL-PreconfigV2X-TxPoolList-r14,
    v2x-ResourceSelectionConfig-r14
                                             SL-CommTxPoolSensingConfig-r14
                                                                                        OPTIONAL,
                                         SL-ZoneConfig-r14
                                                                                   OPTIONAL,
    zoneConfig-r14
                                         ENUMERATED {gnss, enb},
    syncPriority-r14
    thresSL-TxPrioritization-r14
                                          SL-Priority-r13
                                                                               OPTIONAL,
                                         INTEGER (0..1000)
    offsetDFN-r14
                                                                               OPTIONAL,
    [[ v2x-FreqSelectionConfigList-r15 SL-V2X-FreqSelectionConfigList-r15 OPTIONAL
    11
}
SL-PreconfigV2X-RxPoolList-r14 ::= SEQUENCE (SIZE (1..maxSL-V2X-RxPoolPreconf-r14)) OF SL-V2X-
PreconfigCommPool-r14
SL-PreconfigV2X-TxPoolList-r14 ::= SEQUENCE (SIZE (1..maxSL-V2X-TxPoolPreconf-r14)) OF SL-V2X-
PreconfigCommPool-r14
SL-V2X-PreconfigCommPool-r14 ::=
                                         SEQUENCE {
-- This IE is same as SL-CommResourcePoolV2X with rxParametersNCell absent
    sl-OffsetIndicator-r14
                                         SL-OffsetIndicator-r12
    sl-Subframe-r14
                                         SubframeBitmapSL-r14,
    adjacencyPSCCH-PSSCH-r14
                                         BOOLEAN.
    sizeSubchannel-r14
                                          ENUMERATED {
                                         n4, n5, n6, n8, n9, n10, n12, n15, n16, n18, n20, n25, n30,
                                         n48, n50, n72, n75, n96, n100, spare13, spare12, spare11, spare10, spare9, spare8, spare7, spare6, spare5, spare4,
                                          spare3, spare2, spare1},
    numSubchannel-r14
                                          ENUMERATED {n1, n3, n5, n8, n10, n15, n20, spare1},
                                         INTEGER (0..99),
    startRB-Subchannel-r14
                                         INTEGER (0..99)
    startRB-PSCCH-Pool-r14
                                                                       OPTIONAL,
    dataTxParameters-r14
                                         P0-SL-r12,
    zoneID-r14
                                         INTEGER (0..7)
                                                                       OPTIONAL,
    threshS-RSSI-CBR-r14
                                             INTEGER (0..45)
                                                                          OPTIONAL.
    cbr-pssch-TxConfigList-r14
                                         SL-CBR-PPPP-TxPreconfigList-r14 OPTIONAL,
    resourceSelectionConfigP2X-r14
                                         SL-P2X-ResourceSelectionConfig-r14 OPTIONAL,
    syncAllowed-r14
                                         SL-SyncAllowed-r14
                                                                           OPTIONAL,
    {\tt restrictResourceReservationPeriod-r14} \qquad {\tt SL-RestrictResourceReservationPeriodList-r14}
    OPTIONAL.
        sl-MinT2ValueList-r15 SL-MinT2ValueList-r15 OPTIONAL, cbr-pssch-TxConfigList-v1530 SL-CBR-PPPP-TxPreconfigList-v1530 OPTIONAL
    [[ sl-MinT2ValueList-r15
    ]]
}
SL-PreconfigV2X-Sync-r14 ::= SEQUENCE {
                                          SL-V2X-SyncOffsetIndicators-r14,
    syncOffsetIndicators-r14
    syncTxParameters-r14
                                          P0-SL-r12,
    syncTxThreshOoC-r14
                                         RSRP-RangeSL3-r12,
    filterCoefficient-r14
                                          FilterCoefficient,
    syncRefMinHyst-r14
                                         ENUMERATED {dB0, dB3, dB6, dB9, dB12},
    syncRefDiffHyst-r14
                                         ENUMERATED {dB0, dB3, dB6, dB9, dB12, dBinf},
    [[ slss-TxDisabled-r15
                                         ENUMERATED {true}
                                                                           OPTIONAL
}
{\tt SL-V2X-SyncOffsetIndicators-r14} \ ::= \ {\tt SEQUENCE} \ \{
    syncOffsetIndicator1-r14
syncOffsetIndicator2-r14
syncOffsetIndicator3-r14
                                         SL-OffsetIndicatorSync-r14,
                                          SL-OffsetIndicatorSync-r14,
                                         SL-OffsetIndicatorSync-r14
                                                                               OPTIONAL
SL-CBR-PPPP-TxPreconfigList-r14 ::= SEQUENCE (SIZE (1..8)) OF SL-PPPP-TxPreconfigIndex-r14
SL-PPPP-TxPreconfigIndex-r14 ::=
                                     SEOUENCE {
    priorityThreshold-r14
                                     SL-Priority-r13,
    defaultTxConfigIndex-r14
                                     INTEGER(0..maxCBR-Level-1-r14),
    cbr-ConfigIndex-r14
                                     INTEGER(0..maxSL-V2X-CBRConfig2-1-r14),
                                     SEQUENCE (SIZE (1..maxCBR-Level-r14)) OF Tx-PreconfigIndex-r14
    tx-ConfigIndexList-r14
```

### SL-V2X-Preconfiguration field descriptions

### adjacencyPSCCH-PSSCH

Indicates whether a UE always transmits PSCCH and PSSCH in adjacent RBs (indicated by TRUE) or it may transmit PSCCH and PSSCH in non-adjacent RBs (indicated by FALSE). This parameter appears only when a pool is configured such that a UE transmits PSCCH and the associated PSSCH in the same subframe.

### anchorCarrierFreqList

Indicates carrier frequencies which may include inter-carrier resource configuration for V2X sidelink communication.

### anchorCarrierFreqListNR

Indicates NR carrier frequencies which may include inter-carrier resource configuration for V2X sidelink communication.

### cbr-PreconfigList

Indicates the preconfigured list of CBR ranges and the list of PSSCH transmission configurations available to configure congestion control to the UE for V2X sidelink communication.

### cbr-pssch-TxConfiaList

Indicates the mapping between PPPPs, CBR ranges by using indexes of the entry in *cbr-RangeCommonConfigList* in *cbr-PreconfigList*, and PSSCH transmission parameters and CR limits by using indexes of the entry in *sl-CBR-PSSCH-TxConfigList* in *cbr-PreconfigList*.

### numSubchannel

Indicates the number of subchannels in the corresponding resource pool.

#### offsetDFN

Indicates the timing offset for the UE to determine DFN timing when GNSS is used for timing reference. Value 0 corresponds to 0 milliseconds, value 1 corresponds to 0.001 milliseconds, value 2 corresponds to 0.002 milliseconds, and so on.

### resourceSelectionConfigP2X

Indicates the allowed resource selection mechanism(s), i.e. partial sensing and/or random selection, for P2X related V2X sidelink communication.

### restrictResourceReservationPeriod

If configured, the field restrictResourceReservationPeriod configured in v2x-ResourceSelectionConfig shall be ignored for transmission on this pool.

### sizeSubchannel

Indicates the number of PRBs of each subchannel in the corresponding resource pool. The value n5 denotes 5 PRBs; n6 denotes 6 PRBs and so on. The values n5, n6, n10, n15, n20, n25, n50, n75 and n100 apply in the case of *adjacencyPSCCH-PSSCH* set to TRUE; the values n4, n5, n6, n8, n9, n10, n12, n15, n16, n18, n20, n30, n48, n72 and n96 apply in the case of *adjacencyPSCCH-PSSCH* set to FALSE.

### sl-OffsetIndicator

Indicates the offset of the first subframe of a resource pool within a SFN cycle. If absent, the resource pool starts from first subframe of SFN=0. This field is not applicable to V2X sidelink communication.

### sl-Subframe

Indicates the bitmap of the resource pool, which is is defined by repeating the bitmap within a SFN cycle (see TS 36.213 [23]).

### startRB-Subchannel

Indicates the lowest RB index of the subchannel with the lowest index.

### startRB-PSCCH-Pool

Indicates the lowest RB index of the PSCCH pool.

## syncAllowed

Indicates the allowed synchronization reference(s) which is (are) allowed to use the pre-configured resource pool.

### syncPriority |

Indicates the synchronization priority order. In case the UE does not detect any cell which configures synchronization configuration on the carrier frequency in *anchorCarrierFreqList*, if this field is set to *gnss*, the UE shall prioritize GNSS over the UE directly synchronized to eNB; if this field is set to *enb*, the UE shall prioritize the UE directly synchronized to eNB over GNSS.

### thresSL-TxPrioritization

Indicates the threshold used to determine whether SL V2X transmission is prioritized over uplink transmission if they overlap in time (see TS 36.321 [6]).

### threshS-RSSI-CBR

Indicates the S-RSSI threshold for determining the contribution of a sub-channel to the CBR measurement, as specified in TS 36.214 [48]. Value 0 corresponds to -112 dBm, value 1 to -110 dBm, value n to (-112 + n\*2) dBm, and so on.

### v2x-CommRxPoolList

Indicates a list of reception pools for V2X sidelink communication.

### v2x-CommTxPoolList

Indicates a list of transmission pools for V2X sidelink communication.

### v2x-ResourceSelectionConfig

Indicates V2X sidelink communication configurations used for UE autonomous resource selection.

### SL-V2X-Preconfiguration field descriptions

### v2x-TxProfileList

Indicates for each Tx profile the corresponding transmission format, used as specified in TS 36.321 [6], in order of increasing Tx profile pointer identities. For each entry, Value REL14 indicates that the UE shall use Release 14 compatible format (i.e. using MCS table in Table 8.6.1-1 with 64 QAM indices overridden by 16QAM in TS 36.213 [23] and not Rel-15 feature) to transmit the corresponding V2X packet. Value REL15 indicates that the UE shall use Release 15 format (i.e. using rate matching, TBS scaling, MCS table in Table 8.6.1 and, if applicable, the MCS indices supporting 64QAM in Table 8.6.1 and Table 14.1.1-2 in TS 36.213 [23]) to transmit the corresponding V2X packet. If *v2x-TxProfileList* is not configured by upper layers, the UE shall use Release 14 compatible format to transmit the corresponding V2X packet.

### zoneConfig

Indicates zone configurations used for V2X sidelink communication in 5.10.13.2.

#### zonell

Indicates the zone ID for which the UE shall use this resource pool as described in 5.10.13.2. The field is absent in *v2x-CommRxPoolList* and p2x-CommTxPoolList in *SL-V2X-PreconfigFreqInfo*.

# 10 Radio information related interactions between network nodes

## 10.1 General

This clause specifies RRC messages that are transferred between network nodes. These RRC messages may be transferred to or from the UE via another Radio Access Technology. Consequently, these messages have similar characteristics as the RRC messages that are transferred across the E-UTRA radio interface, i.e. the same transfer syntax and protocol extension mechanisms apply.

# 10.2 Inter-node RRC messages

### 10.2.1 General

This clause specifies RRC messages that are sent either across the X2- or the S1-interface, either to or from the eNB, i.e. a single 'logical channel' is used for all RRC messages transferred across network nodes. The information could originate from or be destined for another RAT.

## EUTRA-InterNodeDefinitions

This ASN.1 segment is the start of the E-UTRA inter-node PDU definitions.

```
-- ASN1START
EUTRA-InterNodeDefinitions DEFINITIONS AUTOMATIC TAGS ::=
BEGIN
IMPORTS
    AntennaInfoCommon,
    AntennaInfoDedicated-v10i0,
    ARFCN-ValueEUTRA,
    ARFCN-ValueEUTRA-v9e0,
    ARFCN-ValueEUTRA-r9,
    CellIdentity,
    C-RNTI,
    DAPS-PowerCoordinationInfo-r16,
    DL-DCCH-Message,
    DRB-Identity
    DRB-ToReleaseList,
    DRB-ToReleaseList-r15,
    FreqBandIndicator-r11,
    InDeviceCoexIndication-r11,
    LWA-Config-r13,
    MasterInformationBlock,
```

```
maxBands,
    maxFreq,
   maxDRB,
   maxDRBExt-r15,
    maxDRB-r15,
   maxSCell-r10
    maxSCell-r13.
    maxServCell-r10,
    maxServCell-r13,
    MBMSInterestIndication-r11,
    MeasConfig,
    MeasGapConfig,
    MeasGapConfigPerCC-List-r14,
    MeasResultForRSSI-r13,
    MeasResultListWLAN-r13,
    OtherConfig-r9,
    PhysCellId,
    PowerCoordinationInfo-r12,
    SidelinkUEInformation-r12,
    SL-CommConfig-r12,
    SL-DiscConfig-r12,
    SubframeAssignment-r15,
    RadioResourceConfigDedicated,
    RadioResourceConfigDedicated-v13c0,
    RadioResourceConfigDedicated-v1370,
    RAN-NotificationAreaInfo-r15,
    RCLWI-Configuration-r13,
    RSRP-Range,
    RSRQ-Range,
    RSRQ-Range-v1250,
    RS-SINR-Range-r13,
    SCellToAddModList-r10,
    SCellToAddModList-v13c0,
    SCellToAddModListExt-r13
    SCellToAddModListExt-v13c0,
    SCG-ConfigPartSCG-r12,
    SCG-ConfigPartSCG-v12f0,
    SCG-ConfigPartSCG-v13c0,
    SecurityAlgorithmConfig,
    SCellIndex-r10,
    SCellIndex-r13,
    SCellToReleaseList-r10,
    SCellToReleaseListExt-r13,
    ServCellIndex-r10,
    ServCellIndex-r13.
    ShortMAC-I,
    MeasResultServFreqListNR-r15,
    MeasResultSSTD-r13,
    SL-V2X-ConfigDedicated-r14,
    SystemInformationBlockType1,
    SystemInformationBlockType1-v890-IEs,
    SystemInformationBlockType2,
    TDM-PatternConfig-r15,
    UEAssistanceInformation-r11,
    UECapabilityInformation,
    UE-CapabilityRAT-ContainerList,
    UE-RadioPagingInfo-r12,
    WLANConnectionStatusReport-r13,
    WLAN-OffloadConfig-r12
FROM EUTRA-RRC-Definitions;
-- ASN1STOP
```

# 10.2.2 Message definitions

### HandoverCommand

This message is used to transfer the handover command generated by the target eNB.

Direction: target eNB to source eNB/ source RAN

## HandoverCommand message

```
-- ASN1START
HandoverCommand ::=
                                   SEQUENCE {
   criticalExtensions
                                     CHOICE {
       c1
                                          CHOICE {
           handoverCommand-r8
                                              HandoverCommand-r8-IEs,
           spare7 NULL,
           spare6 NULL, spare5 NULL, spare4 NULL,
           spare3 NULL, spare2 NULL, spare1 NULL
        criticalExtensionsFuture
                                         SEQUENCE {}
}
HandoverCommand-r8-IEs ::=
                                   SEQUENCE {
                                   OCTET STRING (CONTAINING DL-DCCH-Message),
   handoverCommandMessage
                                       SEQUENCE {}
   nonCriticalExtension
                                                                           OPTIONAL
-- ASN1STOP
```

### HandoverCommand field descriptions

### handoverCommandMessage

Contains the entire DL-DCCH-Message including the *RRCConnectionReconfiguration* message used to perform handover within E-UTRAN or handover to E-UTRAN, generated (entirely) by the target eNB.

NOTE: The source BSC, in case of inter-RAT handover from GERAN to E-UTRAN, expects that the HandoverCommand message includes DL-DCCH-Message only. Thus, criticalExtensionsFuture, spare1-spare7 and nonCriticalExtension should not be used regardless whether the source RAT is E-UTRAN, UTRAN or GERAN.

## HandoverPreparationInformation

This message is used to transfer the E-UTRA RRC information used by the target eNB or target ng-eNB during handover preparation or UE context retrieval, e.g. in case of resume or re-establishment, including UE capability information.

Direction: source eNB/ source RAN to target eNB or target ng-eNB

### HandoverPreparationInformation message

```
-- ASN1START
HandoverPreparationInformation ::= SEQUENCE {
                       CHOICE {
   criticalExtensions
                                          CHOICE {
           handoverPreparationInformation-r8 HandoverPreparationInformation-r8-IEs,
           spare7 NULL,
           spare6 NULL, spare5 NULL, spare4 NULL,
           spare3 NULL, spare2 NULL, spare1 NULL
                                  SEQUENCE {}
       criticalExtensionsFuture
}
HandoverPreparationInformation-r8-IEs ::= SEQUENCE {
   ue-RadioAccessCapabilityInfo UE-CapabilityRAT-ContainerList,
                                      AS-Config OPTIONAL,
RRM-Config OPTIONAL,
AS-Context OPTIONAL,
   as-Config
                                                                  OPTIONAL,
   rrm-Config
   as-Context
                                                                              -- Cond HO
                                      HandoverPreparationInformation-v920-IEs
   nonCriticalExtension
                                                                                 OPTIONAL
HandoverPreparationInformation-v920-IEs ::= SEQUENCE {
   ue-ConfigRelease-r9
                                      ENUMERATED {
```

```
rel9, rel10, rel11, rel12, v10j0, v11e0,
                                      v1280, rel13, ..., rel14, rel15, rel16, rel17}
   OPTIONAL, -- Cond HO2
   nonCriticalExtension
                                      HandoverPreparationInformation-v9d0-IEs
                                                                               OPTIONAL
HandoverPreparationInformation-v9d0-IEs ::= SEQUENCE {
   lateNonCriticalExtension OCTET STRING (CONTAINING HandoverPreparationInformation-0-IEs) OPTIONAL.
v9j0-IEs) OPTIONAL,
   nonCriticalExtension
                                     HandoverPreparationInformation-v9e0-IEs
-- Late non-critical extensions:
HandoverPreparationInformation-v9j0-IEs ::= SEQUENCE {
     - Following field is only for pre REL-10 late non-critical extensions
    lateNonCriticalExtension OCTET STRING OPTIONAL,
                                     HandoverPreparationInformation-v10j0-IEs
   nonCriticalExtension
                                                                                    OPTIONAL
HandoverPreparationInformation-v10j0-IEs ::= SEQUENCE {
                                     AS-Config-v10j0
   as-Config-v10j0
                                                            OPTIONAL.
                                      HandoverPreparationInformation-v10x0-IEs
   nonCriticalExtension
                                                                                    OPTIONAL
HandoverPreparationInformation-v10x0-IEs ::= SEQUENCE {
    -- Following field is only for late non-critical extensions from REL-10 to REL-12
                              OCTET STRING
    lateNonCriticalExtension
                                                                    OPTIONAL,
    nonCriticalExtension
                                      HandoverPreparationInformation-v13c0-IEs
                                                                                    OPTIONAL
}
HandoverPreparationInformation-v13c0-IEs ::= SEQUENCE {
                                    AS-Config-v13c0 OPTIONAL,
   as-Config-v13c0
     - Following field is only for late non-critical extensions from REL-13
                                     SEQUENCE {}
   nonCriticalExtension
                                                                OPTIONAL
}
-- Regular non-critical extensions:
HandoverPreparationInformation-v9e0-IEs ::= SEQUENCE {
                                     AS-Config-v9e0
   as-Config-v9e0
                                                                    OPTIONAL,
                                                                                -- Cond HO2
   nonCriticalExtension
                                      HandoverPreparationInformation-v1130-IEs
                                                                                    OPTIONAL
HandoverPreparationInformation-v1130-IEs ::= SEQUENCE {
   as-Context-v1130 AS-Context-v1130 OPTIONAL nonCriticalExtension HandoverPreparationInformation-v1250-IEs
                                                                    OPTIONAL,
                                                                                 -- Cond HO2
    OPTIONAL
}
HandoverPreparationInformation-v1250-IEs ::= SEQUENCE {
  ue-SupportedEARFCN-r12 ARFCN-ValueEUTRA-r9 OPTIONAL, -- Cas-Config-v1250 AS-Config-v1250 OPTIONAL, -- Cond HO2 nonCriticalExtension HandoverPreparationInformation-v1320-TEs
                                                                               -- Cond HO3
    OPTIONAL
HandoverPreparationInformation-v1320-IEs ::= SEQUENCE {
                                     AS-Context-v1320
   as-Config-v1320 AS-Config-v1320
                                                                    OPTIONAL,
                                                                                -- Cond HO2
   as-Context-v1320
                                                                    OPTIONAL,
                                                                                -- Cond HO2
   nonCriticalExtension
                                     HandoverPreparationInformation-v1430-IEs
   OPTIONAL
}
OPTIONAL, -- Cond HO2
   nonCriticalExtension
                                 HandoverPreparationInformation-v1530-IEs
HandoverPreparationInformation-v1530-IEs ::= SEQUENCE {
   ran-NotificationAreaInfo-r15 RAN-NotificationAreaInfo-r15 OPTIONAL, nonCriticalExtension HandoverPreparationInformation-v1540-IEs
     OPTIONAL
}
HandoverPreparationInformation-v1540-IEs ::= SEQUENCE {
                                                                    OPTIONAL,
   sourceRB-ConfigIntra5GC-r15 OCTET STRING
   nonCriticalExtension
                                    HandoverPreparationInformation-v1610-IEs
                                                                                OPTIONAL
```

```
HandoverPreparationInformation-v1610-IEs ::= SEQUENCE {
    as-Context-v1610 AS-Context-v1610 nonCriticalExtension HandoverPreparati
                                                                                     OPTIONAL,
                                                                                                   --Cond HO5
                                    HandoverPreparationInformation-v1620-IEs OPTIONAL
HandoverPreparationInformation-v1620-IEs ::= SEQUENCE {
    as-Context-v1620 AS-Context-v1620 OPTIONAL, nonCriticalExtension HandoverPreparationInformation-v1630-IEs OPTIONAL
    as-Context-v1620
                                                                                                  --Cond HO2
HandoverPreparationInformation-v1630-IEs ::= SEQUENCE {
    as-Context-v1630 AS-Context-v1630 OPTIONAL, --Context-v1630 NonCriticalExtension HandoverPreparationInformation-v1700-IES OPTIONAL
                                                                                   OPTIONAL, --Cond HO2
HandoverPreparationInformation-v1700-IEs ::= SEQUENCE {
    as-Config-v1700 AS-Config-v1700 nonCriticalExtension SEQUENCE {}
                                                                           OPTIONAL, --Cond HO5
                                                                                    OPTIONAL
-- ASN1STOP
```

### HandoverPreparationInformation field descriptions

### as-Config

The radio resource configuration. Applicable in case of intra-E-UTRA handover, resume or re-establishment. If the target receives an incomplete *MeasConfig* and/or *RadioResourceConfigDedicated* in the *as-Config*, the target eNB may decide to apply the full configuration option based on the *ue-ConfigRelease*.

#### as-Context

Local E-UTRAN context required by the target eNB.

### makeBeforeBreakReg

To request the target eNB to add the *makeBeforeBreak* indication in the *mobilityControlInfo* in case of intra-frequency handover.

### rrm-Config

Local E-UTRAN context used depending on the target node's implementation, which is mainly used for the RRM purpose. May also be provided at inter-RAT handover from NR.

### sourceRB-ConfigIntra5GC

NR radio bearer config used at intra5GC handover, resume or re-establishment, as defined by *RadioBearerConfig* IE in TS 38.331 [82].

### ue-ConfigRelease

Indicates the RRC protocol release or version applicable for the current UE configuration. This could be used by target eNB to decide if the full configuration approach should be used. If this field is not present, the target assumes that the current UE configuration is based on the release 8 version of RRC protocol. NOTE 1.

### ue-RadioAccessCapabilityInfo

For E-UTRA radio access capabilities, it is up to E-UTRA how the backward compatibility among supportedBandCombinationReduced, supportedBandCombination and supportedBandCombinationAdd is ensured. If supportedBandCombinationReduced and supportedBandCombination/supportedBandCombinationAdd are included into ueCapabilityRAT-Container, it can be assumed that the value of fields, requestedBands, reducedIntNonContCombRequested and requestedCCsXL are consistend with all supported band combination fields. NOTE 2

### ue-SupportedEARFCN

Includes UE supported EARFCN of the handover target E-UTRA cell if the target E-UTRA cell belongs to multiple frequency bands.

- NOTE 1: The source typically sets the *ue-ConfigRelease* to the release corresponding with the current dedicated radio configuration. The source may however also consider the common radio resource configuration e.g. in case interoperability problems would appear if the UE temporary continues extensions of this part of the configuration in a target PCell not supporting them.
- NOTE 2: The following table indicates per source RAT whether RAT capabilities are included or not.

Source RAT	E-UTRA capabilites	UTRA capabilities	GERAN capabilities	MR DC capabilities	NR capabilities
UTRAN	Included	May be included, ignored by eNB if received	May be included	Excluded	Excluded
GERAN CS	Excluded	May be included, ignored by eNB if received	Included	Excluded	Excluded
GERAN PS	Excluded	May be included, ignored by eNB if received	Included	Excluded	Excluded
E-UTRAN	May be included if UE Radio Capability ID as specified in 23.502 [102] is used for the UE. Included otherwise.	May be included	May be included	May be included	May be included
NR	May be included if UE Radio Capability ID as specified in 23.502 [102] is used for the UE. Included otherwise.	Excluded	Excluded	May be included	May be included

Conditional presence	Explanation
НО	The field is mandatory present in case of handover or UE context retrieval, e.g. in case of
	resume or re-establishment within E-UTRA; otherwise the field is not present.
HO2	The field is optional present in case of handover or UE context retrieval, e.g. in case of
	resume or re-establishment within E-UTRA; otherwise the field is not present.
НО3	The field is optional present in case of handover from GERAN to E-UTRA, otherwise the
	field is not present.
HO4	The field is mandatory present in case of handover or UE context retrieval, e.g. in case of
	resume or re-establishment within E-UTRA/5GC and optional present in case of handover
	from NR to E-UTRA/5GC; otherwise the field is not present.
HO5	The field is optional present in case of handover within E-UTRA, or handover from NR to
	E-UTRA; otherwise the field is not present.

## SCG-Config

This message is used to transfer the SCG radio configuration generated by the SeNB.

Direction: Secondary eNB to master eNB

## SCG-Config message

```
-- ASN1START
                                SEQUENCE {
    CHOICE {
    CHOI
SCG-Config-r12 ::=
   criticalExtensions
                                               CHOICE {
        c1
             scg-Config-r12
                                                SCG-Config-r12-IEs,
            spare7 NULL,
             spare6 NULL, spare5 NULL, spare4 NULL,
            spare3 NULL, spare2 NULL, spare1 NULL
        criticalExtensionsFuture
                                               SEQUENCE {}
SCG-Config-r12-IEs ::= SEQUENCE {
scg-RadioConfig-r12 SCG-ConfigPartSCG-r12 OPTIONAL,
ponCriticalExtension SCG-Config-v12i0a-IEs OPTIONAL,
                                                                                      OPTIONAL
SCG-Config-v12i0a-IEs ::= SEQUENCE {
  -- Following field is only for late non-critical extensions from REL-12
```

```
lateNonCriticalExtension
                                       OCTET STRING (CONTAINING SCG-Config-v12i0b-IEs) OPTIONAL,
    nonCriticalExtension
                                       SCG-Config-v13c0-IEs
                                                                           OPTIONAL
}
SCG-Config-v12i0b-IEs ::=
                                       SEQUENCE {
   scg-RadioConfig-v12i0
                                       SCG-ConfigPartSCG-v12f0
                                                                      OPTIONAL.
                                       SEQUENCE {}
   nonCriticalExtension
                                                                       OPTIONAL
SCG-Config-v13c0-IEs ::=
   scg-RadioConfig-v13c0
                                      SCG-ConfigPartSCG-v13c0
    -- Following field is only for late non-critical extensions from REL-13 onwards
    nonCriticalExtension
                                       SEQUENCE {}
                                                                           OPTIONAL.
-- ASN1STOP
```

### SCG-Config field descriptions

### scg-RadioConfig-r12

Includes the change of the dedicated SCG configuration and, upon addition of an SCG cell, the common SCG configuration.

The SeNB only includes a new SCG cell in response to a request from MeNB, but may include release of an SCG cell release or release of the SCG part of an SCG/Split DRB without prior request from MeNB. The SeNB does not use this field to initiate release of the SCG.

# SCG-ConfigInfo

This message is used by MeNB to request the SeNB to perform certain actions e.g. to establish, modify or release an SCG, and it may include additional information e.g. to assist the SeNB with assigning the SCG configuration.

Direction: Master eNB to secondary eNB

### SCG-ConfigInfo message

```
-- ASN1START
                                            SEQUENCE {
SCG-ConfigInfo-r12 ::=
    criticalExtensions
                                            CHOICE {
                                                CHOICE {
        c1
                                                     SCG-ConfigInfo-r12-IEs,
             scg-ConfigInfo-r12
             spare7 NULL,
             spare6 NULL, spare5 NULL, spare4 NULL,
             spare3 NULL, spare2 NULL, spare1 NULL
         criticalExtensionsFuture
                                                SEQUENCE {}
    }
}
SCG-ConfigInfo-r12-IEs ::=
                                       SEQUENCE {
    {\tt radioResourceConfigDedMCG-r12} \qquad {\tt RadioResourceConfigDedicated}
    radioResourcecon11921 SCellToAqumoun sCellToAddModListMCG-r12 SCellToAqumoun MeasGapConfig
                                                                               OPTIONAL.
                                                                               OPTIONAL,
                                       SCellToAddModList-r10
                                                                               OPTIONAL,
                                       PowerCoordinationInfo-r12
    powerCoordinationInfo-r12
                                                                               OPTIONAL,
                                     SCG-ConfigPartSCG-r12
    scg-RadioConfig-r12
                                                                               OPTIONAL,
    eutra-CapabilityInfo-r12 OCTET STRING (CONTAINING UECapabilityInformation)
scg-ConfigRestrictInfo-r12 SCG-ConfigRestrictInfo-r12 OPTIONAL,
mbmsInterestIndication-r12 OCTET STRING (CONTAINING
                                                                                                 OPTIONAL.
                                           ET STRING (CONTAINING
MBMSInterestIndication-r11) OPTIONAL,
OPTIONAL,
    measResultServCellListSCG-r12 MeasResultServCellListSCG-r12
    drb-ToAddModListSCG-r12 DRB-InfoListSCG-r12
                                                                               OPTIONAL,
    drb-ToReleaseListSCG-r12
                                       DRB-ToReleaseList
                                                                               OPTIONAL,
    sCellToAddModListSCG-r12
                                       SCellToAddModListSCG-r12
                                                                              OPTIONAL.
    sCellToReleaseListSCG-r12
                                      SCellToReleaseList-r10
                                                                             OPTIONAL,
    p-Max-r12
                                           P-Max
                                                                                   OPTIONAL,
    nonCriticalExtension
                                      SCG-ConfigInfo-v1310-IEs
                                                                              OPTIONAL
SCG-ConfigInfo-v1310-IEs ::=
                                       SEOUENCE {
    measResultSSTD-r13
                                       MeasResultSSTD-r13
                                                                               OPTIONAL,
    sCellToAddModListMCG-Ext-r13
                                          SCellToAddModListExt-r13
                                                                                  OPTIONAL,
    measResultServCellListSCG-Ext-r13
                                            MeasResultServCellListSCG-Ext-r13
                                                                                    OPTIONAL,
    sCellToAddModListSCG-Ext-r13 SCellToAddModListSCG-Ext-r13
                                                                                        OPTIONAL.
```

```
sCellToReleaseListSCG-Ext-r13 SCellToReleaseListExt-r13
                                                                                 OPTIONAL,
    nonCriticalExtension
                                        SCG-ConfigInfo-v1330-IEs
                                                                                 OPTIONAL
}
SCG-ConfigInfo-v1330-IEs ::=
                                       SEQUENCE {
   G-ConfigInfo-v1330-IEs ::=

measResultListRSSI-SCG-r13

nonCriticalExtension
                                        MeasResultListRSSI-SCG-r13
                                                                               OPTIONAL,
                                        SCG-ConfigInfo-v1430-IEs
    nonCriticalExtension
                                                                                                   OPTIONAL
}
   -ConfigInfo-v1430-IEs ::= SEQUENCE {
makeBeforeBreakSCG-Req-r14 ENUMERATED {true}
SCG-ConfigInfo-v1430-IEs ::=
                                                                                OPTIONAL,
    makeBeloreBreakSCG-Req-ri4 ENUMERATED {true} OPTION
measGapConfigPerCC-List MeasGapConfigPerCC-List-ri4 OPTIONAL,
nonCriticalExtension SCG-ConfigInfo-v1530-IEs
    nonCriticalExtension
                                   SCG-ConfigInfo-v1530-IEs
                                                                                          OPTIONAL
}
SCG-ConfigInfo-v1530-IEs ::= SEQUENCE {
    drb-ToAddModListSCG-r15 DRB-InfoListSCG-r15
    drb-ToReleaseListSCG-r15 DRB-ToReleaseList-r15
    nonCriticalExtension SEQUENCE {}
                                                                                OPTIONAL.
                                        DRB-ToReleaseList-r15
                                                                                 OPTIONAL,
}
                              SEQUENCE (SIZE (1..maxDRB)) OF DRB-InfoSCG-r12
SEQUENCE (SIZE (1..maxDRB-r15)) OF DRB-InfoSCG-r12
DRB-InfoListSCG-r12 ::=
DRB-InfoListSCG-r15 ::=
                                  SEQUENCE {
DRB-InfoSCG-r12 ::=
    eps-BearerIdentity-r12
                                   INTEGER (0..15)
                                                                       OPTIONAL, -- Cond DRB-Setup
    drb-Identity-r12
                                       DRB-Identity,
                                        ENUMERATED {split, scg} OPTIONAL, -- Cond DRB-Setup
    drb-Type-r12
}
SCellToAddModListSCG-r12 ::= SEQUENCE (SIZE (1..maxSCell-r10)) OF Cell-ToAddMod-r12
SCellToAddModListSCG-Ext-r13 ::= SEQUENCE (SIZE (1..maxSCell-r13)) OF Cell-ToAddMod-r12
Cell-ToAddMod-r12 ::=
                                        SEOUENCE {
    sCellIndex-r12
                                            SCellIndex-r10,
    cellIdentification-r12
                                             SEQUENCE {
                                                PhysCellId,
         physCellId-r12
         dl-CarrierFreq-r12
                                                 ARFCN-ValueEUTRA-r9
                                                                            OPTIONAL, -- Cond SCellAdd
    measResultCellToAdd-r12
                                            SEQUENCE {
        rsrpResult-r12
                                                 RSRP-Range,
         rsrqResult-r12
                                                 RSRQ-Range
    }
                                                                            OPTIONAL, -- Cond SCellAdd2
                                                 SCellIndex-r13
            sCellIndex-r13
                                                                                OPTIONAL.
         measResultCellToAdd-v1310
                                                 SEQUENCE {
             rs-sinr-Result-r13
                                                      RS-SINR-Range-r13
                                                                            OPTIONAL -- Cond SCellAdd2
    11
MeasResultServCellListSCG-r12 ::= SEQUENCE (SIZE (1..maxServCell-r10)) OF MeasResultServCellSCG-
MeasResultServCellListSCG-Ext-r13 ::= SEQUENCE (SIZE (1..maxServCell-r13)) OF
MeasResultServCellSCG-r12
MeasResultServCellSCG-r12 ::=
                                         SEQUENCE {
    servCellId-r12
                                            ServCellIndex-r10,
    measResultSCell-r12
                                             SEQUENCE {
        rsrpResultSCell-r12
                                                RSRP-Range,
         rsrqResultSCell-r12
                                                RSRQ-Range
    },
             servCellId-r13
sResultSCell-v1310
rs-sinr-ResultSCell-r13
             servCellId-r13
                                                     ServCellIndex-r13
                                                                                OPTIONAL,
         measResultSCell-v1310
                                               SEQUENCE {
                                                 RS-SINR-Range-r13
                                                                            OPTIONAL
    11
}
\texttt{MeasResultListRSSI-SCG-r13} ::= \texttt{SEQUENCE} \ (\texttt{SIZE} \ (\texttt{1..maxServCell-r13})) \ \texttt{OF} \ \texttt{MeasResultRSSI-SCG-r13}
MeasResultRSSI-SCG-r13 ::=
                                        SEQUENCE {
    servCellId-r13
                                             ServCellIndex-r13,
    measResultForRSSI-r13
                                             MeasResultForRSSI-r13
```

```
SCG-ConfigRestrictInfo-r12 ::= SEQUENCE {
   maxSCH-TB-BitsDL-r12 INTEGER (1..100),
   maxSCH-TB-BitsUL-r12 INTEGER (1..100)
}
```

### SCG-ConfigInfo field descriptions

### drb-ToAddModListSCG

Includes DRBs the SeNB is requested to establish or modify (DRB type change).

### drb-ToReleaseListSCG

Includes DRBs the SeNB is requested to release.

### makeBeforeBreakSCG-Req

To request the target eNB to add the *makeBeforeBreakSCG* indication in the *mobilityControlInfoSCG* in case of intrafrequency SCG change.

### maxSCH-TB-BitsXL

Indicates the maximum DL-SCH/UL-SCH TB bits that may be scheduled in a TTI. Specified as a percentage of the value defined for the applicable UE category.

### measGapConfig

Includes the current measurement gap configuration.

### measResultListRSSI-SCG

Includes RSSI measurement results of SCG (serving) cells

#### measResultSSTD

Includes measurement results of UE SFN and Subframe Timing Difference between the PCell and the PSCell.

### measResultServCellListSCG

Includes measurement results of SCG (serving) cells.

#### radioResourceConfigDedMCG

Includes the current dedicated MCG radio resource configuration.

#### sCellIndex 5 4 1

If sCellIndex-r13 is present, sCellIndex-r12 shall be ignored.

### $s Cell To Add ModList MCG, \, s Cell To Add ModList MCG-Ext$

Includes the current MCG SCell configuration. Field sCellToAddModListMCG is used to add the first 4 SCells with sCellIndex-r10 while sCellToAddModListMCG-Ext is used to add the rest.

### sCellToAddModListSCG, sCellToAddModListSCG-Ext

Includes SCG cells the SeNB is requested to establish. Measurement results may be provided for these cells. Field sCellToAddModListSCG is used to add the first 4 SCells with sCellIndex-r12 while sCellToAddModListSCG-Ext is used to add the rest.

### sCellToReleaseListSCG, sCellToReleaseListSCG-Ext

Includes SCG cells the SeNB is requested to release.

### scg-RadioConfig

Includes the current dedicated SCG configuration.

### scg-ConfigRestrictInfo

Includes fields for which MeNB explictly indicates the restriction to be observed by SeNB.

### servCellId

If servCellId-r13 is present, servCellId-r12 shall be ignored.

### р-Мах

Cell specific value i.e. as broadcast by PCell.

Conditional presence	Explanation
DRB-Setup	The field is mandatory present in case DRB establishment is requested; otherwise the
	field is not present.
SCellAdd	The field is mandatory present in case SCG cell establishment is requested; otherwise
	the field is not present.
SCellAdd2	The field is optional present in case SCG cell establishment is requested; otherwise the
	field is not present.

# 

This message is used to transfer UE paging coverage information, covering both upload to and download from the EPC/5GC.

Direction: eNB to/from EPC/5GC

### UEPagingCoverageInformation message

```
-- ASN1START
UEPagingCoverageInformation ::= SEQUENCE {
   criticalExtensions
                                      CHOICE {
       c1
                                         CHOICE {
           uePagingCoverageInformation-r13
                                                  UEPagingCoverageInformation-r13-IEs,
           spare7 NULL,
           spare6 NULL, spare5 NULL, spare4 NULL,
           spare3 NULL, spare2 NULL, spare1 NULL
       criticalExtensionsFuture
                                         SEQUENCE { }
}
UEPagingCoverageInformation-r13-IEs ::= SEQUENCE {
   mpdcch-NumRepetition-r13 INTEGER (1..256) OPTIONAL,
   nonCriticalExtension
                                          SEQUENCE {} OPTIONAL
-- ASN1STOP
```

### UEPagingCoverageInformation field descriptions

### mpdcch-NumRepetition

Number of repetitions for MPDCCH. The value is an estimate of the required number of repetitions for MPDCCH for paging.

# UERadioAccessCapabilityInformation

This message is used to transfer UE radio access capability information, covering both upload to and download from the EPC/5GC.

Direction: eNB to/from EPC/5GC

### UERadioAccessCapabilityInformation message

```
-- ASN1START
UERadioAccessCapabilityInformation ::= SEQUENCE {
   criticalExtensions CHOICE {
                                        CHOICE {
           ueRadioAccessCapabilityInformation-r8
                                             UERadioAccessCapabilityInformation-r8-IEs,
           spare6 NULL, spare5 NULL, spare4 NULL,
           spare3 NULL, spare2 NULL, spare1 NULL
       criticalExtensionsFuture
                                         SEQUENCE { }
   }
UERadioAccessCapabilityInformation-r8-IEs ::= SEQUENCE {
   ue-RadioAccessCapabilityInfo OCTET STRING (CONTAINING UECapabilityInformation),
                                     SEQUENCE {}
   nonCriticalExtension
                                                                         OPTIONAL
-- ASN1STOP
```

### UERadioAccessCapabilityInformation field descriptions

### ue-RadioAccessCapabilityInfo

Including E-UTRA, GERAN, CDMA2000-1xRTT Bandclass, NR and MR-DC radio access capabilities (separated). UTRA radio access capabilities are not included. For E-UTRA radio access capabilities, it is up to E-UTRA how the backward compatibility among supportedBandCombinationReduced, supportedBandCombination and supportedBandCombinationAdd is ensured. If supportedBandCombinationReduced and supportedBandCombination/supportedBandCombinationAdd are included into ueCapabilityRAT-Container, it can be assumed that the value of fields, requestedBands, reducedIntNonContCombRequested and requestedCCsXL are consistent with all supported band combination fields.

# UERadioPagingInformation

This message is used to transfer radio paging information, covering both upload to and download from the EPC/5GC.

Direction: eNB to/ from EPC/5GC

### **UERadioPagingInformation** message

```
-- ASN1START
UERadioPagingInformation ::= SEQUENCE {
    criticalExtensions
                                         CHOICE {
                                           CHOICE {
            ueRadioPagingInformation-r12
                                                     UERadioPagingInformation-r12-IEs,
            spare7 NULL,
            spare6 NULL, spare5 NULL, spare4 NULL,
            spare3 NULL, spare2 NULL, spare1 NULL
                                             SEQUENCE { }
        criticalExtensionsFuture
}
UERadioPagingInformation-r12-IEs ::= SEQUENCE {
    ue-RadioPagingInfo-r12 OCTET STRING (CONTAINING UE-RadioPagingInfo-r12),
    nonCriticalExtension
                                         UERadioPagingInformation-v1310-IEs
                                                                                      OPTIONAL
{\tt UERadioPagingInformation-v1310-IEs} \; ::= \; {\tt SEQUENCE} \; \left\{ \right. \\
    supportedBandListEUTRAForPaging-r13
                                          SEQUENCE (SIZE (1..maxBands)) OF FreqBandIndicator-r11
OPTIONAL,
    nonCriticalExtension
                                             UERadioPagingInformation-v1610-IEs
UERadioPagingInformation-v1610-IEs ::= SEQUENCE {
                                            ENUMERATED {true}
                                                                                      OPTIONAL,
    accessStratumRelease-r16
    nonCriticalExtension
                                             SEQUENCE {}
                                                                                      OPTIONAL
-- ASN1STOP
```

### UERadioPagingInformation field descriptions

### accessStratumRelease

Indicates that the UE supports reception of access Type-r16 in the Paging message.

### supportedBandListEUTRAForPaging

Indicates the UE supported frequency bands which is derived by the eNB from UE-EUTRA-Capability.

### ue-RadioPagingInfo

The field is used to transfer UE capability information used for paging. The eNB generates the *ue-RadioPagingInfo* and the contained UE capability information is absent when not supported by the UE.

# 10.3 Inter-node RRC information element definitions

### AS-Config

The AS-Config IE contains information about RRC configuration information in the source eNB which can be utilized by target eNB to determine the need to change the RRC configuration during the handover preparation phase. The information can also be used after the handover is successfully performed or during the RRC connection reestablishment or resume.

### AS-Config information element

```
-- ASN1START
                                                     SEQUENCE {
AS-Config ::=
       sourceMeasConfig
                                                                              MeasConfig,
       sourceRadioResourceConfig
                                                                              RadioResourceConfigDedicated,
       sourceSecurityAlgorithmConfig
                                                                            SecurityAlgorithmConfig,
       sourceUE-Identity
                                                                             C-RNTI,
       sourceMasterInformationBlock
                                                                             MasterInformationBlock,
       sourceSystemInformationBlockType1 SystemInformationBlockType1(WITH COMPONENTS
                                                                                     {..., nonCriticalExtension ABSENT}),
       \verb|sourceSystemInformationBlockType2| SystemInformationBlockType2|,
                                                       AntennaInfoCommon,
       antennaInfoCommon
       sourceDl-CarrierFreq
       ...,
[[ sourceSystemInformationBlockType1Ext
                                                                                             OCTET STRING (CONTAINING
                                                                                             SystemInformationBlockType1-v890-IEs) OPTIONAL,
               sourceOtherConfig-r9
                                                                                   OtherConfig-r9
        -- sourceOtherConfig-r9 should have been optional. A target eNB compliant with this transfer
       -- syntax should support receiving an AS-Config not including this extension addition group
        -- e.g. from a legacy source eNB
       ]],
       [[ sourceSCellConfigList-r10
                                                                                     SCellToAddModList-r10
                                                                                                                                                    OPTIONAL
       [[ sourceConfigSCG-r12
                                                                                     SCG-Config-r12 OPTIONAL
       ]],
       [[ as-ConfigNR-r15
                                                                                     AS-ConfigNR-r15
                                                                                                                                                     OPTIONAL
        ]],
       [[ as-Config-v1550
                                                                                     AS-Config-v1550
       11,
              as-ConfigNR-v1570
                                                                                     AS-ConfigNR-v1570
       [[
                                                                                                                                                     OPTIONAL
       [[ as-ConfigNR-v1620
                                                                                     AS-ConfigNR-v1620
                                                                                                                                                     OPTIONAL
       11
AS-Config-v9e0 ::=
                                                             SEQUENCE {
       sourceDl-CarrierFreq-v9e0
                                                                ARFCN-ValueEUTRA-v9e0
AS-Config-v10j0 ::=
                                                             SEQUENCE {
       antennaInfoDedicatedPCell-v10i0
                                                                        AntennaInfoDedicated-v10i0
                                                     SEQUENCE {
AS-Config-v1250 ::=
       sourceWlan-OffloadConfig-r12 WLAN-OffloadConfig-r12 sourceSL-CommConfig-r12 SL-CommConfig-r12
                                                                                                                                                OPTIONAL,
                                                                                                                                                     OPTIONAL,
       sourceSL-DiscConfig-r12
                                                                             SL-DiscConfig-r12
                                                                                                                                                     OPTIONAL
AS-Config-v1320 ::=
                                                            SEQUENCE {
       sourcesCellConfigList-r13 SCellToAddModListExt-r13 sourceRCLWI-Configuration-r13 RCLWI-Configuration-r13
                                                                                                                                                    OPTIONAL,
                                                                                                                                                     OPTIONAL
AS-Config-v13c0 ::=
                                                            SEQUENCE {
       radioResourceConfigDedicated-v13c01 RadioResourceConfigDedicated-v1370 OPTIONAL,
       radio Resource Config Dedicated-v13c02\ Radio Resource Config Dedicated-v13c0 \ OPTIONAL, and the configuration of the configuration 
                                                              SCellToAddModList-v13c0
SCellToAddModListExt-v13c0
       sCellToAddModList-v13c0
       sCellToAddModListExt-v13c0
AS-Config-v1430 ::=
                                                             SEQUENCE {
     sourceSL-V2X-CommConfig-r14 SL-V2X-ConfigDedicated-r14
                                                                                                                                                                    OPTIONAL,
```

```
sourceLWA-Config-r14
                                             LWA-Config-r13
                                                                                       OPTIONAL,
    sourceWLAN-MeasResult-r14
                                             MeasResultListWLAN-r13
                                                                                       OPTIONAL
}
AS-ConfigNR-r15 ::=
                                   SEQUENCE {
    ConfigNR-r15 ::= SEQUENCE {
  sourceRB-ConfigNR-r15 OCTET STRING OPTIONAL,
  sourceRB-ConfigSN-NR-r15 OCTET STRING OPTIONAL
  sourceOtherConfigSN-NR-r15 OCTET STRING OPTIONAL
   sourceRB-ConfigNR-r15
                                                                             OPTIONAL,
    sourceSCG-ConfiguredNR-r15 SEQUENCE {
SOURCESCG-ConfiguredNR-r15
AS-ConfigNR-v1570 ::=
                                            ENUMERATED {true}
   Config-v1550 ::= SEQUENCE {
tdm-PatternConfig-r15 SEQUENCE {
AS-Config-v1550 ::=
                                    SubframeAssignment-r15,
         subframeAssignment-r15
        harq-Offset-r15
                                        INTEGER (0.. 9)
                                                            OPTIONAL,
    p-MaxEUTRA-r15
                                   P-Max
                                                  OPTIONAL
}
AS-ConfigNR-v1620 ::= SEQUENCE {
tdm-PatternConfig2-r16 TDM-PatternConfig-r15
                          SEQUENCE {
ENUMER.
AS-Config-v1700 ::=
   scg-State-r17
                                    ENUMERATED { deactivated } OPTIONAL
-- ASN1STOP
```

NOTE: The AS-Config re-uses information elements primarily created to cover the radio interface signalling requirements. Consequently, the information elements may include some parameters that are not relevant for the target eNB e.g. the SFN as included in the MasterInformationBlock.

### AS-Config field descriptions

### antennalnfoCommon

This field provides information about the number of antenna ports in the source PCell.

### p-MaxEUTRA

Indicates the *p-MaxEUTRA* in the source PCell.

### scg-State

Indicates that the SCG is deactivated.

### sourceOtherConfigSN-NR

Other NR config set by SN (cell group, measurements) in case of (NG)EN-DC i.e. as defined by the *RRCReconfiguration* message in TS 38.331 [82].

### sourceRB-ConfigNR

NR radio bearer config, as defined by *RadioBearerConfig* IE in TS 38.331 [82]. The field may e.g. be set by MN in case of (NG)EN-DC, by source eNB connected to 5GCN.

### sourceRB-ConfigSN-NR

NR radio bearer config set by SN in case of (NG)EN-DC or of SN terminated RB without SCG, as defined by *RadioBearerConfig* IE in TS 38.331 [82].

### sourceDL-CarrierFreq

Provides the parameter Downlink EARFCN in the source PCell, see TS 36.101 [42]. If the source eNB provides AS-Config-v9e0, it sets sourceDI-CarrierFreq (i.e. without suffix) to maxEARFCN.

### sourceLWA-Config

LWA configuration in the source PCell when handover is triggered.

### sourceOtherConfig

Provides other configuration in the source PCell.

### sourceMasterInformationBlock

MasterInformationBlock transmitted in the source PCell.

### sourceMeasConfig

Measurement configuration in the source cell. The measurement configuration for all measurements existing in the source eNB when handover is triggered shall be included. See 10.5.

### sourceRCLWI-Configuration

RCLWI Configuration in the source PCell.

### sourceSL-CommConfig

This field covers the sidelink communication configuration.

### sourceSL-DiscConfig

This field covers the sidelink discovery configuration.

### sourceRadioResourceConfig

Radio configuration in the source PCell. The radio resource configuration for all radio bearers existing in the source PCell when handover is triggered shall be included. See 10.5.

### sourceSCellConfigList

Radio resource configuration (common and dedicated) of the SCells configured in the source eNB.

### sourceSCG-ConfiguredNR

Value *true* indicates that the UE is configured with NR SCG in source configuration. The field is included only if *sourceOtherConfigSN-NR* is not included.

### sourceSecurityAlgorithmConfig

This field provides the AS integrity protection (SRBs) and AS ciphering (SRBs and DRBs) algorithm configuration used in the source PCell.

### sourceSystemInformationBlockType1

SystemInformationBlockType1 (or SystemInformationBlockType1-BR) transmitted in the source PCell.

### sourceSystemInformationBlockType2

SystemInformationBlockType2 transmitted in the source PCell.

### sourceSL-V2X-CommConfig

Indicates the V2X sidelink communication related configurations configured in the source eNB.

### sourceWLAN-MeasResult

WLAN measurement results in the source PCell when handover is triggered.

### tdm-PatternConfig

Indicates the tdm-PatternConfig configured to the UE in the source PCell.

### tdm-PatternConfig2

Indicates the tdm-PatternConfig2 configured to the UE in the source PCell.

### – AS-Context

The IE AS-Context is used to transfer local E-UTRAN context required by the target eNB.

### AS-Context information element

```
-- ASN1START
AS-Context ::=
                                         SEQUENCE {
   reestablishmentInfo
                                           ReestablishmentInfo
                                                                        OPTIONAL -- Cond HO
                                        SEQUENCE {
AS-Context-v1130 ::=
   idc-Indication-r11
                                            OCTET STRING (CONTAINING
                                             InDeviceCoexIndication-r11) OPTIONAL, -- Cond HO2
   mbmsInterestIndication-r11
                                            OCTET STRING (CONTAINING
                                            MBMSInterestIndication-r11) OPTIONAL, -- Cond HO2
   ueAssistanceInformation-r11
                                              OCTET STRING (CONTAINING
                                            UEAssistanceInformation-r11)
                                                                            OPTIONAL, -- Cond HO2
    ...,
[[ sidelinkUEInformation-r12
                                                 OCTET STRING (CONTAINING
                                                 SidelinkUEInformation-r12) OPTIONAL
                                                                                         -- Cond HO2
    [[ sourceContextEN-DC-r15
                                           OCTET STRING
                                                                             OPTIONAL -- Cond HO2
    ]],
                                                   OCTET STRING
    [[ selectedbandCombinationInfoEN-DC-v1540
                                                                            OPTIONAL
                                                                                          -- Cond HO2
AS-Context-v1320 ::=
                                        SEQUENCE {
    wlanConnectionStatusReport-r13
                                            OCTET STRING (CONTAINING
                                            WLANConnectionStatusReport-r13) OPTIONAL -- Cond HO2
                                        SEQUENCE {
AS-Context-v1610 ::=
   Context-v1610 ::=
sidelinkUEInformationNR-r16
ueAssistanceInformationNR-r16
configRestrictInfoDAPS-r16
                                        OCTET STRING OPTIONAL, -- Cond HO3
OCTET STRING OPTIONAL, -- Cond HO3
                                            ConfigRestrictInfoDAPS-r16
                                                                            OPTIONAL -- Cond HO2
}
AS-Context-v1620 ::=
                                        SEQUENCE {
                                          OCTET STRING OPTIONAL -- Cond HO2
   ueAssistanceInformationNR-SCG-r16
AS-Context-v1630 ::=
                                        SEQUENCE {
   configRestrictInfoDAPS-v1630
                                          ConfigRestrictInfoDAPS-v1630
                                                                                OPTIONAL -- Cond HO2
ConfigRestrictInfoDAPS-r16 ::= SEQUENCE {
                                            INTEGER (1..100) OPTIONAL, -- Cond HO2
INTEGER (1..100) OPTIONAL -- Cond HO2
  maxSCH-TB-BitsDL-r16
   maxSCH-TB-BitsUL-r16
ConfigRestrictInfoDAPS-v1630 ::= SEQUENCE {
    daps-PowerCoordinationInfo-r16 DAPS-PowerCoordinationInfo-r16 OPTIONAL
                                                                                      -- Cond HO2
-- ASN1STOP
```

### AS-Context field descriptions

#### idc-Indication

Including information used for handling the IDC problems.

#### maxSCH-TB-BitsXL

Indicates the maximum DL-SCH/UL-SCH TB bits that may be scheduled in a TTI during DAPS HO. Specified as a percentage of the value defined for the applicable UE category.

#### reestablishmentInfo

Including information needed for the RRC connection re-establishment.

### sourceContextEN-DC

(NG)EN-DC related context information, in particular regarding the UE capability coordination, as defined by the *ConfigRestrictInfoSCG* IE specified in TS 38.331 [82].

### selectedBandCombinationInfoEN-DC

Including the BandCombinationInfoSN IE specified in TS 38.331 [82]. See NOTE 1.

### sidelinkUEInformationNR

Including sidelink UE information as defined by the SidelinkUEInformationNR message specified in TS 38.331 [82].

### ueAssistanceInformation

Including UE assistance information as defined by the *UEAssistanceInformation* message e.g. concerning power preference, overheating.

### ueAssistanceInformationNR

Including sidelink UE assistance information as defined by the *UEAssistanceInformation* message specified in TS 38.331 [82].

### ueAssistanceInformationNR-SCG

Includes for each UE assistance feature associated with the NR SCG as specified in TS 38.331 [82], the information last reported by the UE in the NR UEAssistanceInformation message for the NR SCG, if any.

Conditional presence	Explanation
НО	The field is mandatory present in case of handover within E-UTRA; otherwise the field is not present.
H02	The field is optional present in case of handover within E-UTRA; otherwise the field is not present.
НО3	The field is optional present in case of handover within E-UTRA, or handover from NR to E-UTRA; otherwise the field is not present.

NOTE 1: If the field is present, it is used to help target MN to decide appropriate LTE band for SCell frequency measurement in case of inter-MN handover without SN change.

### ReestablishmentInfo

The ReestablishmentInfo IE contains information needed for the RRC connection re-establishment.

### ReestablishmentInfo information element

```
-- ASN1START
ReestablishmentInfo ::=
                                    SEOUENCE {
    sourcePhysCellId
                                      PhysCellId,
    targetCellShortMAC-I
                                        ShortMAC-I,
    additionalReestabInfoList
                                       AdditionalReestabInfoList
                                                                                OPTIONAL,
AdditionalReestabInfoList ::=
                                    SEQUENCE ( SIZE (1..maxReestabInfo) ) OF AdditionalReestabInfo
AdditionalReestabInfo ::= SEQUENCE{
    cellIdentity
                                        CellIdentity,
    key-eNodeB-Star
                                        Key-eNodeB-Star,
    shortMAC-I
                                        ShortMAC-I
                                    BIT STRING (SIZE (256))
Key-eNodeB-Star ::=
-- ASN1STOP
```

### ReestablishmentInfo field descriptions

### additionalReestabInfoList

Contains a list of shortMAC-I and KeNB\* for cells under control of the target eNB, required for potential reestablishment by the UE in these cells to succeed.

### Key-eNodeB-Star

Parameter KeNB\*: See TS 33.401 [32], clause 7.2.8.4. If the cell identified by *cellIdentity* belongs to multiple frequency bands, the source eNB selects the DL-EARFCN for the KeNB\* calculation using the same logic as UE uses when selecting the DL-EARFCN in IDLE as defined in clause 6.2.2. This parameter is only used for X2 handover, and for S1 handover, it shall be ignored by target eNB.

### sourcePhyCellId

The physical cell identity of the source PCell, used to determine the UE context in the target eNB at re-establishment.

### targetCellShortMAC-I

The ShortMAC-I for the handover target PCell, in order for potential re-establishment to succeed.

# – RRM-Config

The *RRM-Config* IE contains information about UE specific RRM information before the handover which can be utilized by target eNB.

### RRM-Config information element

```
-- ASN1START
RRM-Config ::=
                            SEQUENCE {
   ue-InactiveTime
                                ENUMERATED {
                                    s1, s2, s3, s5, s7, s10, s15, s20,
                                    s25, s30, s40, s50, min1, min1s20c, min1s40,
                                    \min 2, \min 2s30, \min 3, \min 3s30, \min 4, \min 5, \min 6,
                                    min7, min8, min9, min10, min12, min14, min17, min20,
                                    min24, min28, min33, min38, min44, min50, hr1,
                                    hrlmin30, hr2, hr2min30, hr3, hr3min30, hr4, hr5, hr6,
                                    hr8, hr10, hr13, hr16, hr20, day1, day1hr12, day2,
                                    day2hr12, day3, day4, day5, day7, day10, day14, day19,
                                    day24, day30, dayMoreThan30}
                                                                        OPTIONAL,
    [[ candidateCellInfoList-r10 CandidateCellInfoList-r10
                                                                    OPTIONAL
    ]],
        candidateCellInfoListNR-r15 MeasResultServFreqListNR-r15
                                                                        OPTIONAL
    ]]
}
CandidateCellInfoList-r10 ::=
                              SEQUENCE (SIZE (1..maxFreq)) OF CandidateCellInfo-r10
CandidateCellInfo-r10 ::=
                                SEOUENCE {
    -- cellIdentification
    physCellId-r10
                                    PhysCellId,
    dl-CarrierFreq-r10
                                    ARFCN-ValueEUTRA,
    -- available measurement results
    rsrpResult-r10
                                    RSRP-Range
                                                        OPTIONAL,
    rsrqResult-r10
                                    RSRQ-Range
                                                        OPTIONAL,
    [[ dl-CarrierFreq-v1090
                                       ARFCN-ValueEUTRA-v9e0
                                                                    OPTIONAL
    [[ rsrqResult-v1250
                                       RSRO-Range-v1250
                                                                    OPTIONAL
    ]],
       rs-sinr-Result-r13
    [ [
                                       RS-SINR-Range-r13
    11
 - ASN1STOP
```

### RRM-Config field descriptions

#### candidateCellInfoList

A list of the best cells on each frequency for which measurement information was available, in order of decreasing RSRP.

### candidateCellInfoListNR

A list of NR cells including serving cells and best neighbour cells on each SSB requency, for which measurement results were available, and for each cell the best beams.

#### dl-CarrierFreq

The source includes dl-CarrierFreq-v1090 if and only if dl-CarrierFreq-r10 is set to maxEARFCN.

#### ue-InactiveTime

Duration while UE has not received or transmitted any user data. Thus the timer is still running in case e.g., UE measures the neighbour cells for the HO purpose. Value s1 corresponds to 1 second, s2 corresponds to 2 seconds and so on. Value min1 corresponds to 1 minute, value min1s20 corresponds to 1 minute and 20 seconds, value min1s40 corresponds to 1 minute and 40 seconds and so on. Value hr1 corresponds to 1 hour, hr1min30 corresponds to 1 hour and 30 minutes and so on.

# 10.4 Inter-node RRC multiplicity and type constraint values

# Multiplicity and type constraints definitions

## End of EUTRA-InterNodeDefinitions

```
-- ASN1START
END
-- ASN1STOP
```

# 10.5 Mandatory information in AS-Config

The AS-Config transferred between source eNB and target-eNB shall include all IEs necessary to describe the AS context. The conditional presence in clause 6 is only applicable for eNB to UE communication.

The "need" or "cond" statements are not applied in case of sending the IEs from source eNB to target eNB. Some fields shall be included regardless of the "need" or "cond" e.g. *discardTimer*. The *AS-Config* re-uses information elements primarily created to cover the radio interface signalling requirements. The information elements may include some parameters that are not relevant for the target eNB e.g. the SFN as included in the *MasterInformationBlock*.

All the fields in the *AS-Config* as defined in 10.3 that are introduced after v9.2.0 and that are optional for eNB to UE communication shall be included, if the functionality is configured, except for the fields *sourceOtherConfigSN-NR* and *sourceRB-ConfigSN-NR* in AS-*ConfigNR*. The fields in the *AS-Config* that are defined before and including v9.2.0 shall be included as specified in the following.

Within the *sourceRadioResourceConfig, sourceMeasConfig* and *sourceOtherConfig*, the source eNB shall include fields that are optional for eNB to UE communication, if the functionality is configured unless explicitly specified otherwise in the following:

- in accordance with a condition that is explicitly stated to be applicable; or
- a default value is defined for the concerned field; and the configured value is the same as the default value that is defined; or

the need of the field is OP and the current UE configuration corresponds with the behaviour defined for absence
of the field;

The following fields, if the functionality is configured, are not mandatory for the source eNB to include in the *AS-Config* since delta signalling by the target eNB for these fields is not supported:

- semiPersistSchedC-RNTI
- measGapConfig

For the measurement configuration, a corresponding operation as 5.5.6.1 and 5.5.2.2a is executed by target eNB.

# 10.6 Inter-node NB-IoT messages

### 10.6.1 General

This clause specifies NB-IoT RRC messages that are sent either across the X2- or the S1-interface, either to or from the eNB, i.e. a single 'logical channel' is used for all NB-IoT RRC messages transferred across network nodes.

### NB-IoT-InterNodeDefinitions

This ASN.1 segment is the start of the NB-IoT inter-node PDU definitions.

```
-- ASN1START
NBIOT-InterNodeDefinitions DEFINITIONS AUTOMATIC TAGS ::=
BEGIN
IMPORTS
    C-RNTI,
    PhysCellId.
    SecurityAlgorithmConfig,
    ShortMAC-I
FROM EUTRA-RRC-Definitions
   AdditionalReestabInfoList
FROM EUTRA-InterNodeDefinitions
    CarrierFreq-NB-r13,
    CarrierFreq-NB-v1550,
    RadioResourceConfigDedicated-NB-r13,
    UECapabilityInformation-NB,
    UE-Capability-NB-r13,
    UE-Capability-NB-Ext-r14-IEs,
    UE-RadioPagingInfo-NB-r13
FROM NBIOT-RRC-Definitions;
-- ASN1STOP
```

# 10.6.2 Message definitions

### HandoverPreparationInformation-NB

This message is used to transfer the UE context from the eNB where the RRC connection has been suspended and transfer it to the eNB where the RRC Connection has been requested to be resumed.

Direction: source eNB to target eNB

### HandoverPreparationInformation-NB message

```
handoverPreparationInformation-r13
                                                  HandoverPreparationInformation-NB-IEs,
           spare3 NULL, spare2 NULL, spare1 NULL
                                           SEQUENCE {}
        criticalExtensionsFuture
}
HandoverPreparationInformation-NB-IEs ::= SEQUENCE {
                                        UE-Capability-NB-r13,
    ue-RadioAccessCapabilityInfo-r13
    as-Config-r13
                                           AS-Config-NB,
   rrm-Config-r13
                                          RRM-Config-NB
                                                                          OPTIONAL,
   as-Context-r13
                                          AS-Context-NB
                                                                          OPTIONAL.
    nonCriticalExtension
                                           HandoverPreparationInformation-NB-v1380-IEs
    OPTIONAL
}
HandoverPreparationInformation-NB-v1380-IEs ::= SEQUENCE {
    lateNonCriticalExtension
                                      OCTET STRING
                                                                          OPTIONAL,
   nonCriticalExtension
                                      HandoverPreparationInformation-NB-Ext-r14-IEs
                                                                                      OPTIONAL
HandoverPreparationInformation-NB-Ext-r14-IEs ::= SEQUENCE {
   ue-RadioAccessCapabilityInfoExt-r14 OCTET STRING (CONTAINING UE-Capability-NB-Ext-r14-IEs)
   OPTIONAL,
   nonCriticalExtension
                                           SEOUENCE {}
                                                                          OPTIONAL
-- ASN1STOP
```

### HandoverPreparationInformation-NB field descriptions

### as-Config

The radio resource configuration.

#### as-Context

The local E-UTRAN context required by the target eNB.

### rrm-Config

The local E-UTRAN context used depending on the target node's implementation, which is mainly used for the RRM purpose.

### ue-RadioAccessCapabilityInfo, ue-RadioAccessCapabilityInfoExt

The NB-IoT UE Radio Access Capability Parameters, see TS 36.306 [5].

# UEPagingCoverageInformation-NB

This message is used to transfer UE paging coverage information for NB-IoT, covering both upload to and download from the EPC/5GC.

Direction: eNB to/from EPC/5GC

### UEPagingCoverageInformation-NB message

```
-- ASN1START
UEPagingCoverageInformation-NB ::= SEQUENCE {
                      CHOICE {
   criticalExtensions
                                      CHOICE {
          uePagingCoverageInformation-r13
                                              UEPagingCoverageInformation-NB-IEs,
          spare3 NULL, spare2 NULL, spare1 NULL
       criticalExtensionsFuture
                                      SEQUENCE { }
   }
}
UEPagingCoverageInformation-NB-IEs ::= SEQUENCE {
-- the possible value(s) can differ from those sent on Uu
   npdcch-NumRepetitionPaging-r13
                                      INTEGER (1..2048)
                                                       OPTIONAL.
   {\tt nonCriticalExtension}
                                      UEPagingCoverageInformation-NB-v1700-IES OPTIONAL
UEPagingCoverageInformation-NB-v1700-IEs ::= SEQUENCE {
```

-- ASN1STOP

### UEPagingCoverageInformation-NB field descriptions

### cbp-Index

Index to the coverage-based paging configuration signalled to the UE during RRC connection release. Value 1 corresponds to the first entry in *cbp-ConfigList* and value 2, corresponds to the second entry in *cbp-ConfigList*.

### npdcch-NumRepetitionPaging

Number of repetitions for NPDCCH, see TS 36.211 [21]. This value is an estimate of the required number of repetitions for NPDCCH.

Conditional presence	Explanation
CBP	This field is mandatory present if <i>cbp-Index</i> has been provided to UE via dedicated signaling (see <i>RRCConnectionRelease-NB</i> and <i>RRCEarlyDataComplete-NB</i> ). Otherwise
	this field is not present.

# UERadioAccessCapabilityInformation-NB

This message is used to transfer UE NB-IoT Radio Access capability information, covering both upload to and download from the EPC/5GC.

Direction: eNB to/from EPC/5GC

### UERadioAccessCapabilityInformation-NB message

```
-- ASN1START
UERadioAccessCapabilityInformation-NB ::= SEQUENCE
   criticalExtensions
                                           CHOICE
                                              CHOICE {
       c1
           ueRadioAccessCapabilityInformation-r13
                                                  UERadioAccessCapabilityInformation-NB-IEs,
           spare3 NULL, spare2 NULL, spare1 NULL
       criticalExtensionsFuture
                                              SEQUENCE {}
UERadioAccessCapabilityInformation-NB-IEs ::= SEQUENCE {
   ue-RadioAccessCapabilityInfo-r13
                                              OCTET STRING (CONTAINING UE-Capability-NB-r13),
   nonCriticalExtension
                                              UERadioAccessCapabilityInformation-NB-v1380-IEs
   OPTIONAL
UERadioAccessCapabilityInformation-NB-v1380-IES ::= SEQUENCE {
   lateNonCriticalExtension
                                        OCTET STRING
   nonCriticalExtension
                                          UERadioAccessCapabilityInformation-NB-r14-IEs
   OPTIONAL
UERadioAccessCapabilityInformation-NB-r14-IES ::= SEQUENCE {
   ue-RadioAccessCapabilityInfo-r14 OCTET STRING (CONTAINING UECapabilityInformation-NB)
   OPTIONAL.
   nonCriticalExtension
                                          SEQUENCE {}
                                                                          OPTIONAL
-- ASN1STOP
```

### UERadioAccessCapabilityInformation-NB field descriptions

### ue-RadioAccessCapabilityInfo

The NB-IoT UE Radio Access Capability Parameters, see TS 36.306 [5].

# UERadioPagingInformation-NB

This message is used to transfer NB-IoT radio paging information, covering both upload to and download from the EPC/5GC.

Direction: eNB to/from EPC/5GC

### UERadioPagingInformation-NB message

```
-- ASN1START
UERadioPagingInformation-NB ::= SEQUENCE {
   criticalExtensions
                                 CHOICE {
                                       CHOICE {
                                                  UERadioPagingInformation-NB-IEs,
           ueRadioPagingInformation-r13
           spare3 NULL, spare2 NULL, spare1 NULL
       criticalExtensionsFuture
                                          SEQUENCE {}
   }
}
UERadioPagingInformation-NB-IEs ::= SEQUENCE {
   ue-RadioPagingInfo-r13
                                      OCTET STRING (CONTAINING UE-RadioPagingInfo-NB-r13),
                                      SEQUENCE {}
   nonCriticalExtension
                                                                                 OPTIONAL
-- ASN1STOP
```

### UERadioPagingInformation-NB field descriptions

### ue-RadioPagingInfo

The field is used to transfer UE NB-IoT capability information used for paging. The eNB generates the *ue-RadioPagingInfo* and the contained UE capability information is absent when not supported bythe UE.

# 10.7 Inter-node NB-IoT RRC information element definitions

# AS-Config-NB

The AS-Config-NB IE contains information about NB-IoT RRC configuration information in the source eNB which can be utilized by target eNB.

### AS-Config-NB information element

```
-- ASN1START
AS-Config-NB ::=
                                    SEQUENCE {
    sourceRadioResourceConfig-r13
                                            RadioResourceConfigDedicated-NB-r13,
    sourceSecurityAlgorithmConfig-r13
                                            SecurityAlgorithmConfig,
    sourceUE-Identity-r13
                                            C-RNTI.
    sourceDl-CarrierFreq-r13
                                            CarrierFreq-NB-r13,
        sourceDL-CarrierFreq-v1550
                                                                    OPTIONAL
                                                                                 -- Cond TDD
                                            CarrierFreq-NB-v1550
-- ASN1STOP
```

### AS-Config-NB field descriptions

### sourceDL-CarrierFreq

Provides the parameter Downlink EARFCN in the source PCell, see TS 36.101 [42].

### sourceRadioResourceConfig

Radio configuration in the source PCell. The radio resource configuration for all radio bearers existing in the source PCell shall be included. See 10.9.

### sourceSecurityAlgorithmConfig

This field provides the AS integrity protection (SRBs) and AS ciphering (SRBs and DRBs) algorithm configuration used in the source PCell.

Conditional presence	Explanation
TDD	The field is optionally present in case of TDD; otherwise the field is not present.

### AS-Context-NB

The IE AS-Context-NB is used to transfer the UE context required by the target eNB.

### AS-Context-NB information element

```
-- ASN1START

AS-Context-NB ::= SEQUENCE {
   reestablishmentInfo-r13 ReestablishmentInfo-NB OPTIONAL,
   ...
}

-- ASN1STOP
```

### AS-Context-NB field descriptions

### reestablishmentInfo

Including information needed for the RRC connection re-establishment.

### ReestablishmentInfo-NB

The ReestablishmentInfo-NB IE contains information needed for the RRC connection re-establishment.

### ReestablishmentInfo-NB information element

### ReestablishmentInfo-NB field descriptions

### additionalReestabInfoList

Contains a list of shortMAC-I and KeNB\* for cells under control of the target eNB, required for potential reestablishment by the UE in these cells to succeed.

### sourcePhyCellId

The physical cell identity of the source PCell, used to determine the UE context in the target eNB at re-establishment.

### targetCellShortMAC-I

The ShortMAC-I for the target PCell, in order for potential re-establishment to succeed.

## RRM-Config-NB

The RRM-Config-NB IE contains information about UE specific RRM information which can be utilized by target eNB.

### RRM-Config-NB information element

```
-- ASN1START
RRM-Config-NB ::=
                                SEQUENCE {
                                ENUMERATED {
   ue-InactiveTime
                                    s1, s2, s3, s5, s7, s10, s15, s20,
                                    s25, s30, s40, s50, min1, min1s20, min1s40,
                                    min2, min2s30, min3, min3s30, min4, min5, min6,
                                    min7, min8, min9, min10, min12, min14, min17, min20,
                                    min24, min28, min33, min38, min44, min50, hr1,
                                    hrlmin30, hr2, hr2min30, hr3, hr3min30, hr4, hr5, hr6,
                                    hr8, hr10, hr13, hr16, hr20, day1, day1hr12, day2,
                                    day2hr12, day3, day4, day5, day7, day10, day14, day19,
                                    day24, day30, dayMoreThan30}
                                                                         OPTIONAL.
-- ASN1STOP
```

### RRM-Config-NB field descriptions

#### ue-InactiveTime

Duration while UE has not received or transmitted any user data. Value s1 corresponds to 1 second, s2 corresponds to 2 seconds and so on. Value min1 corresponds to 1 minute, value min1s20 corresponds to 1 minute and 20 seconds, value min1s40 corresponds to 1 minute and 40 seconds and so on. Value hr1 corresponds to 1 hour, hr1min30 corresponds to 1 hour and 30 minutes and so on.

# 10.8 Inter-node RRC multiplicity and type constraint values

# Multiplicity and type constraints definitions

### End of NB-IoT-InterNodeDefinitions

```
-- ASN1START
END
-- ASN1STOP
```

# 10.9 Mandatory information in AS-Config-NB

The AS-Config-NB transferred between source eNB and target-eNB shall include all IEs necessary to describe the AS context. The conditional presence in clause 6 is only applicable for eNB to UE communication.

The "Need" or "Cond" statements are not applied in case of sending the IEs from source eNB to target eNB. Some information elements shall be included regardless of the "Need" or "Cond" e.g. *discardTimer*. The *AS-Config-NB* reuses information elements primarily created to cover the radio interface signalling requirements.

Within the *sourceRadioResourceConfig*, the source eNB shall include fields that are optional for eNB to UE communication, if the functionality is configured unless explicitly specified otherwise in the following:

- in accordance with a condition that is explicitly stated to be applicable; or
- a default value is defined for the concerned field; and the configured value is the same as the default value that is defined; or

- the need of the field is OP and the current UE configuration corresponds with the behaviour defined for absence of the field;

# 11 UE capability related constraints and performance requirements

# 11.1 UE capability related constraints

The following table lists constraints regarding the UE capabilities that E-UTRAN is assumed to take into account.

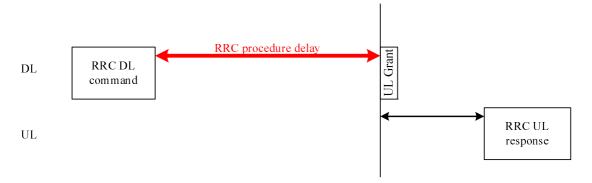
Parameter	Description	Value	NB-IoT
#DRBs	The number of DRBs that a UE shall support	8, 15	(0, 1, 2)
		NOTES	NOTE1
		NOTE2	
		NOTE3	
#RLC-AM	The number of RLC AM entities that a UE shall support	10, 17	(2, 3) NOTE1
#minCellperMeasObject	The minimum number of neighbour cells (excluding exclude-	32	N/A
EUTRA	listed cells) that a UE shall be able to store within a MeasObjectEUTRA. NOTE.		
#minExcludedCellRang	The minimum number of exclude-listed cell PCI ranges that a	32	N/A
esperMeasObjectEUTR	UE shall be able to store within a MeasObjectEUTRA		
A #minCellperMeasObject	The minimum number of neighbour cells that a UE shall be able	32	N/A
UTRA	to store within a MeasObjectUTRA. NOTE.	32	IN/A
#minCellperMeasObject	The minimum number of neighbour cells that a UE shall be able	32	N/A
GERAN	to store within a measObjectGERAN. NOTE.		
#minCellperMeasObject	The minimum number of neighbour cells that a UE shall be able	32	N/A
CDMA2000	to store within a measObjectCDMA2000. NOTE.		
#minExcludedCellperM easObjectNR	The minimum number of exclude-listed cells that a UE shall be able to store within a MeasObjectNR	32	N/A
#minCellTotal	The minimum number of neighbour cells (excluding exclude-	256	N/A
#ITIITICEITTOIAI	listed cells) that UE shall be able to store in total in all	230	IN/A
	measurement objects configured		
NOTE: In case of CGI	reporting, the limit regarding the cells E-UTRAN can configure incl	udes the ce	II for
	s requested to report CGI i.e. the amount of neighbour cells that ca		
	IlperMeasObjectRAT - 1), where RAT represents EUTRA/UTRA/GI		
respectively.			
	on UE capability, #RLC-AM =#DRBs + 2.		
	en the UE supports extendedNumberOfDRBs-r15. For one MAC en		
	Bs configured with PDCP duplication and with RLC entity(ies) associated	ciated with t	his MAC
entity is 8.	nt is applicable in EN DC NOEN DC and LTE standal are		
NOTE 3: The requireme	nt is applicable in EN-DC, NGEN-DC and LTE standalone.		

# 11.2 Processing delay requirements for RRC procedures

The UE performance requirements for RRC procedures are specified in the following tables, by means of a value N:

N = the number of 1ms subframes from the end of reception of the E-UTRAN -> UE message on the UE physical layer up to when the UE shall be ready for the reception of uplink grant for the UE -> E-UTRAN response message with no access delay other than the TTI-alignment (e.g. excluding delays caused by scheduling, the random access procedure or physical layer synchronisation).

NOTE: No processing delay requirements are specified for RN-specific procedures.



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Figure 11.2-1: Illustration of RRC procedure delay

Table 11.2-1: UE performance requirements for RRC procedures for UEs other than NB-IoT UEs

Procedure title: RRC Connection Contr	E-UTRAN -> UE	UE -> E-UTRAN	N	Notes
RRC connection Control RRC connection establishment	RRCConnectionSetu p or RRCConnectionResu me	RRCConnectionSetupCo mplete or RRCConnectionResumeC omplete	15 or 3	N = 3 applies for the case of reception of RRCConnectionResume if reducedCP-LatencyEnabled is configured, the UE supports reduced CP latency, and the RRC message only includes MAC and PHY (re-)configurations and does not include (re-)configurations of DRX, SPS, SCells, and MIMO. Further, the UL grant is sent using PDCCH DCI format 0 in common search space. In this scenario, the RRC procedure delay can extend beyond the reception of the UL grant, up to 7 ms.
RRC connection	RRCConnectionRele		NA	applies.
RRC connection re- configuration (radio resource configuration, possibly including configuration of conditional reconfigurations)	ase RRCConnectionReco nfiguration	RRCConnectionReconfigu rationComplete	15	Same requirement is applicable regardless of the number of target candidates being configured, if conditional reconfigurations are included in the message,
RRC connection re- configuration (measurement configuration)	RRCConnectionReco nfiguration	RRCConnectionReconfigu rationComplete	15	
RRC connection re- configuration (intra- LTE mobility)	RRCConnectionReco nfiguration	RRCConnectionReconfigu rationComplete	15	
RRC connection reconfiguration (SCell addition/release)	RRCConnectionReco nfiguration	RRCConnectionReconfigu rationComplete	20	
RRC connection reconfiguration (SCG establishment/ release, SCG cell addition/ release)	RRCConnectionReco nfiguration	RRCConnectionReconfigu rationComplete	20	
RRC connection re- configuration (NR measurement configuration)	RRCConnectionReco nfiguration	RRCConnectionReconfigu rationComplete	15	
RRC connection reconfiguration (NR SCG establishment/ /modification/release)	RRCConnectionReco nfiguration	RRCConnectionReconfigu rationComplete	20	
RRC connection re- configuration (intra- LTE mobility with NR SCG establishment/ /modification/release)	RRCConnectionReco nfiguration	RRCConnectionReconfigu rationComplete	20	

Procedure title:	E-UTRAN -> UE	UE -> E-UTRAN	N	Notes
RRC connection re- configuration	DLDedicatedMessag eSegment	RRCConnectionReconfigu rationComplete	20+( Nseg -1)*10	Nseg is number of RRC segments
RRC connection re- establishment	RRCConnectionRees tablishment	RRCConnectionReestabli shmentComplete	15	
Initial security activation	SecurityModeComma nd	SecurityModeCommandC omplete/SecurityModeCo mmandFailure	10	
Initial security activation + RRC connection re- configuration (RB establishment)	SecurityModeComma nd, RRCConnectionReco nfiguration	RRCConnectionReconfigu rationComplete	20	The two DL messages are transmitted in the same TTI
EDT or transmission using PUR	RRCEarlyDataCompl ete or RRCConnectionRele ase		NA	
Paging	Paging		NA	
RRC connection resume (SCG establishment/ restoration/release)	RRCConnectionResu me	RRCConnectionResumeC omplete	20	
RRC connection resume (MCG SCell addition/restoration/rel ease)	RRCConnectionResu me	RRCConnectionResumeC omplete	20	
RRC connection resume	DLDedicatedMessag eSegment	RRCConnectionResumeC omplete	20+( Nseg -1)*10	Nseg is number of RRC segments
Inter RAT mobility	<u> </u>	I	ı	
Handover to E-UTRA	RRCConnectionReco nfiguration (sent by other RAT)	RRCConnectionReconfigu rationComplete	NA	The performance of this procedure is specified in TS 45.010 [50] in case of handover from GSM and TS 25.133 [29], TS 25.123 [30] in case of handover from UTRA, and TS 38.133 [84] in case of handover from NR.
Handover from E- UTRA	MobilityFromEUTRA Command		NA	The performance of this procedure is specified in TS 36.133 [16]
Handover from E- UTRA to CDMA2000	HandoverFromEUTR APreparationRequest (CDMA2000)		NA	Used to trigger the handover preparation procedure with a CDMA2000 RAT. The performance of this procedure is specified in TS 36.133 [16]
Measurement procedu	res		1	
Measurement Reporting		MeasurementReport	NA	
Other procedures	UECapabilityEnquiry	UECapabilityInformation	10/ 80	N = 90 applies in sees the
UE capability transfer	осоаравінуєпцину	о <u>ь</u> Саравіні ў Іпі Сітацоп	10/ 60	N = 80 applies in case the UE has to report at least one of the following UE capabilities MR-DC band combinations NR band combinations - EUTRA feature sets

Procedure title:	E-UTRAN -> UE	UE -> E-UTRAN	N	Notes
UE capability transfer	UECapabilityEnquiry	<i>ULDedicatedMessageSeg</i>	80	
		ment		
Counter check	CounterCheck	CounterCheckResponse	10	
Proximity indication		ProximityIndication	NA	
UE information	UEInformationReque st	UEInformationResponse	15	
MBMS counting	MBMSCountingRequ est	MBMSCountingResponse	NA	
MBMS interest		MBMSInterestIndication	NA	
indication				
In-device coexistence		InDeviceCoexIndication	NA	
indication				
UE assistance		UEAssistanceInformation	NA	
information				
SCG failure		SCGFailureInformation	NA	
information		COCF oils use hele uses tie in NID	NΙΛ	
NR SCG failure information		SCGFailureInformationNR	NA	
Sidelink UE		SidelinkUEInformation	NA	+
information		SidelifikoElfiloffilatioff	INA	
WLAN Connection		WLANConnectionStatusR	NA	
Status Reporting		eport	INA	
PUR Configuration		PURConfigurationRequest	NA	
Request		Torrodniigurationnequest	INA	
rrequest			l	

Table 11.2-2: UE performance requirements for RRC procedures for NB-IoT UEs

Procedure title:	E-UTRAN -> UE	UE -> E-UTRAN	N	Notes
RRC Connection Contr	ol Procedures			·
RRC connection establishment	RRCConnectionSetu p-NB or RRCConnectionResu me-NB	RRCConnectionSetupCo mplete-NB or RRCConnectionResumeC omplete-NB	45	
RRC connection release	RRCConnectionRele ase-NB		NA	
RRC connection re- configuration (radio resource configuration)	RRCConnectionReco nfiguration-NB	RRCConnectionReconfigu rationComplete-NB	45	
RRC connection re- establishment	RRCConnectionRees tablishment-NB	RRCConnectionReestabli shmentComplete-NB	45	
Initial security activation	SecurityModeComma nd	SecurityModeCommandC omplete/SecurityModeCo mmandFailure	35	
Initial security activation + RRC connection re- configuration (RB establishment)	SecurityModeComma nd, RRCConnectionReco nfiguration-NB	RRCConnectionReconfigu rationComplete-NB	55	The two DL messages are transmitted in the same TTI
EDT or transmission using PUR	RRCEarlyDataCompl ete-NB or RRCConnectionRele ase-NB		NA	
Paging	Paging-NB		NA	
Other procedures		I	I	•
UE capability transfer	UECapabilityEnquiry- NB	UECapabilityInformation- NB	35	
UE information	UEInformationReque st-NB	UEInformationResponse- NB	45	
PUR Configuration Request		PURConfigurationRequest -NB	NA	

# 11.3 Void

# Annex A (informative): Guidelines, mainly on use of ASN.1

# A.1 Introduction

The following clauses contain guidelines for the specification of RRC protocol data units (PDUs) with ASN.1.

# A.2 Procedural specification

# A.2.1 General principles

The procedural specification provides an overall high level description regarding the UE behaviour in a particular scenario.

It should be noted that most of the UE behaviour associated with the reception of a particular field is covered by the applicable parts of the PDU specification. The procedural specification may also include specific details of the UE behaviour upon reception of a field, but typically this should be done only for cases that are not easy to capture in the PDU clause e.g. general actions, more complicated actions depending on the value of multiple fields.

Likewise, the procedural specification need not specify the UE requirements regarding the setting of fields within the messages that are send to E-UTRAN i.e. this may also be covered by the PDU specification.

# A.2.2 More detailed aspects

The following more detailed conventions should be used:

- Bullets:
  - Capitals should be used in the same manner as in other parts of the procedural text i.e. in most cases no capital applies since the bullets are part of the sentence starting with 'The UE shall:'
  - All bullets, including the last one in a clause, should end with a semi-colon i.e. an ';'
- Conditions
  - Whenever multiple conditions apply, a semi-colon should be used at the end of each conditions with the exception of the last one, i.e. as in 'if cond1; or cond2:

# A.3 PDU specification

# A.3.1 General principles

### A.3.1.1 ASN.1 sections

The RRC PDU contents are formally and completely described using abstract syntax notation (ASN.1), see X.680 [13], X.681 (02/2002) [14].

The complete ASN.1 code is divided into a number of ASN.1 sections in the specifications. In order to facilitate the extraction of the complete ASN.1 code from the specification, each ASN.1 section begins with a text paragraph consisting entirely of an *ASN.1 start tag*, which consists of a double hyphen followed by a single space and the text string "ASN1START" (in all upper case letters). Each ASN.1 section ends with a text paragraph consisting entirely of an *ASN.1 stop tag*, which consists of a double hyphen followed by a single space and the text "ASN1STOP" (in all upper case letters):

- -- ASN1START
- -- ASN1STOP

The text paragraphs containing the ASN.1 start and stop tags should not contain any ASN.1 code significant for the complete description of the RRC PDU contents. The complete ASN.1 code may be extracted by copying all the text paragraphs between an ASN.1 start tag and the following ASN.1 stop tag in the order they appear, throughout the specification.

NOTE: A typical procedure for extraction of the complete ASN.1 code consists of a first step where the entire RRC PDU contents description (ultimately the entire specification) is saved into a plain text (ASCII) file format, followed by a second step where the actual extraction takes place, based on the occurrence of the ASN.1 start and stop tags.

### A.3.1.2 ASN.1 identifier naming conventions

The naming of identifiers (i.e., the ASN.1 field and type identifiers) should be based on the following guidelines:

- Message (PDU) identifiers should be ordinary mixed case without hyphenation. These identifiers, *e.g.*, the *RRCConnectionModificationCommand*, should be used for reference in the procedure text. Abbreviated forms of these identifiers should not be used.
- Type identifiers other than PDU identifiers should be ordinary mixed case, with hyphenation used to set off acronyms only where an adjacent letter is a capital, *e.g.*, *EstablishmentCause*, *SelectedPLMN* (not *Selected-PLMN*, since the "d" in "Selected" is lowercase), *InitialUE-Identity* and *MeasSFN-SFN-TimeDifference*.
- Field identifiers shall start with a lowercase letter and use mixed case thereafter, *e.g.*, *establishmentCause*. If a field identifier begins with an acronym (which would normally be in upper case), the entire acronym is lowercase (*plmn-Identity*, not *pLMN-Identity*). The acronym is set off with a hyphen (*ue-Identity*, not *ueIdentity*), in order to facilitate a consistent search pattern with corresponding type identifiers.
- Identifiers that are likely to be keywords of some language, especially widely used languages, such as C++ or Java, should be avoided to the extent possible.
- Identifiers, other than PDU identifiers, longer than 25 characters should be avoided where possible. It is recommended to use abbreviations, which should be done in a consistent manner i.e. use 'Meas' instead of 'Measurement' for all occurrences. Examples of typical abbreviations are given in table A.3.1.2.1-1 below.
- For future extension: When an extension is introduced a suffix is added to the identifier of the concerned ASN.1 field and/ or type. A suffix of the form "-rX" is used, with X indicating the release, for ASN.1 fields or types introduced in a later release (i.e. a release later than the original/ first release of the protocol) as well as for ASN.1 fields or types for which a revision is introduced in a later release replacing a previous version, e.g., Foor9 for the Rel-9 version of the ASN.1 type Foo. A suffix of the form "-rXb" is used for the first revision of a field that it appears in the same release (X) as the original version of the field, "-rXc" for a second intra-release revision and so on. A suffix of the form "-vXYZ" is used for ASN.1 fields or types that only are an extension of a corresponding earlier field or type (see clause A.4), e.g., AnElement-v10b0 for the extension of the ASN.1 type AnElement introduced in version 10.11.0 of the specification. A number 0...9, 10, 11, etc. is used to represent the first part of the version number, indicating the release of the protocol. Lower case letters a, b, c, etc. are used to represent the second (and third) part of the version number if they are greater than 9. In the procedural specification, in field descriptions as well as in headings suffices are not used, unless there is a clear need to distinguish the extension from the original field.
- More generally, in case there is a need to distinguish different variants of an ASN.1 field or IE, a suffix should be added at the end of the identifiers e.g. *MeasObjectUTRA*, *ConfigCommon*. When there is no particular need to distinguish the fields (e.g. because the field is included in different IEs), a common field identifier name may be used. This may be attractive e.g. in case the procedural specification is the same for the different variants.

**Abbreviation Abbreviated word** Comm Communication Confirmation Conf Confia Configuration Disc Discovery DL Downlink Ext Extension Freq Frequency ld Identity Ind Indication Info Information Meas Measurement Neighbour(ing) Neigh Param(s) Parameter(s) Persist Persistent Phys **Physical** Proc **Process** Reestablishment Reestab Req Request Rx Reception Sched Scheduling Sync Synchronisation Thresh Threshold Tx/ Transm Transmission UL Uplink

Table A.3.1.2-1: Examples of typical abbreviations used in ASN.1 identifiers

NOTE: The table A.3.1.2.1-1 is not exhaustive. Additional abbreviations may be used in ASN.1 identifiers when needed.

# A.3.1.3 Text references using ASN.1 identifiers

A text reference into the RRC PDU contents description from other parts of the specification is made using the ASN.1 field or type identifier of the referenced element. The ASN.1 field and type identifiers used in text references should be in the *italic font style*. The "do not check spelling and grammar" attribute in Word should be set. Quotation marks (i.e., " ") should not be used around the ASN.1 field or type identifier.

A reference to an RRC PDU type should be made using the corresponding ASN.1 type identifier followed by the word "message", e.g., a reference to the *RRCConnectionRelease* message.

A reference to a specific part of an RRC PDU, or to a specific part of any other ASN.1 type, should be made using the corresponding ASN.1 field identifier followed by the word "field", e.g., a reference to the *prioritisedBitRate* field in the example below.

```
-- /example/ ASN1START

LogicalChannelConfig ::= SEQUENCE {
   ul-SpecificParameters SEQUENCE {
      priority Priority,
      prioritisedBitRate PrioritisedBitRate,
      bucketSizeDuration BucketSizeDuration,
      logicalChannelGroup INTEGER (0..3)
   }

-- ASN1STOP
```

NOTE: All the ASN.1 start tags in the ASN.1 sections, used as examples in this annex to the specification, are deliberately distorted, in order not to include them when the ASN.1 description of the RRC PDU contents is extracted from the specification.

A reference to a specific type of information element should be made using the corresponding ASN.1 type identifier preceded by the acronym "IE", e.g., a reference to the IE *LogicalChannelConfig* in the example above.

References to a specific type of information element should only be used when those are generic, i.e., without regard to the particular context wherein the specific type of information element is used. If the reference is related to a particular context, e.g., an RRC PDU type (message) wherein the information element is used, the corresponding field identifier in that context should be used in the text reference.

A reference to a specific value of an ASN.1 field should be made using the corresponding ASN.1 value without using quotation marks around the ASN.1 value, e.g., 'if the *status* field is set to value *true*'.

# A.3.2 High-level message structure

Within each logical channel type, the associated RRC PDU (message) types are alternatives within a CHOICE, as shown in the example below.

```
-- /example/ ASN1START
DL-DCCH-Message ::= SEQUENCE {
                           DL-DCCH-MessageType
DL-DCCH-MessageType ::= CHOICE {
        dlInformationTransfer
                                                DLInformationTransfer,
        handoverFromEUTRAPreparationRequest
                                               HandoverFromEUTRAPreparationRequest,
        mobilityFromEUTRACommand
                                               MobilityFromEUTRACommand,
        rrcConnectionReconfiguration
                                                RRCConnectionReconfiguration,
        rrcConnectionRelease
                                               RRCConnectionRelease,
        securityModeCommand
                                                SecurityModeCommand,
        ueCapabilityEnquiry
                                                UECapabilityEnquiry,
        sparel NULL
    messageClassExtension    SEQUENCE {}
  ASN1STOP
```

A nested two-level CHOICE structure is used, where the alternative PDU types are alternatives within the inner level cI CHOICE.

Spare alternatives (i.e., *spare1* in this case) may be included within the *c1* CHOICE to facilitate future extension. The number of such spare alternatives should not extend the total number of alternatives beyond an integer-power-of-two number of alternatives (i.e., eight in this case).

Further extension of the number of alternative PDU types is facilitated using the *messageClassExtension* alternative in the outer level CHOICE.

# A.3.3 Message definition

Each PDU (message) type is specified in an ASN.1 section similar to the one shown in the example below.

Hooks for *critical* and *non-critical* extension should normally be included in the PDU type specification. How these hooks are used is further described in clause A.4.

Critical extensions are characterised by a redefinition of the PDU contents and need to be governed by a mechanism for protocol version agreement between the encoder and the decoder of the PDU, such that the encoder is prevented from sending a critically extended version of the PDU type, which is not comprehended by the decoder.

Critical extension of a PDU type is facilitated by a two-level CHOICE structure, where the alternative PDU contents are alternatives within the inner level c1 CHOICE. Spare alternatives (i.e., spare3 down to spare1 in this case) may be included within the c1 CHOICE. The number of spare alternatives to be included in the original PDU specification should be decided case by case, based on the expected rate of critical extension in the future releases of the protocol.

Further critical extension, when the spare alternatives from the original specifications are used up, is facilitated using the *criticalExtensionsFuture* in the outer level CHOICE.

In PDU types where critical extension is not expected in the future releases of the protocol, the inner level *c1* CHOICE and the spare alternatives may be excluded, as shown in the example below.

Non-critical extensions are characterised by the addition of new information to the original specification of the PDU type. If not comprehended, a non-critical extension may be skipped by the decoder, whilst the decoder is still able to complete the decoding of the comprehended parts of the PDU contents.

Non-critical extensions at locations other than the end of the message or other than at the end of a field contained in a BIT or OCTET STRING are facilitated by use of the ASN.1 extension marker "...". The original specification of a PDU type should normally include the extension marker at the end of the sequence of information elements contained.

Non-critical extensions at the end of the message or at the end of a field that is contained in a BIT or OCTET STRING are facilitated by use of an empty sequence that is marked OPTIONAL e.g. as shown in the following example:

```
-- /example/ ASNISTART

RRCMessage-r8-IEs ::= SEQUENCE {
    field1 InformationElement1,
    field2 InformationElement2,
    nonCriticalExtension SEQUENCE {} OPTIONAL
}

-- ASNISTOP
```

The ASN.1 section specifying the contents of a PDU type may be followed by a *field description* table where a further description of, e.g., the semantic properties of the fields may be included. The general format of this table is shown in the example below. The field description table is absent in case there are no fields for which further description needs to be provided e.g. because the PDU does not include any fields, or because an IE is defined for each field while there is nothing specific regarding the use of this IE that needs to be specified.

	%PDU-Typeldentifier% field descriptions
%field identifier%	
Field description.	
%field identifier%	
Field description.	

The field description table has one column. The header row shall contain the ASN.1 type identifier of the PDU type.

The following rows are used to provide field descriptions. Each row shall include a first paragraph with a *field identifier* (in *bold and italic* font style) referring to the part of the PDU to which it applies. The following paragraphs at the same row may include (in regular font style), e.g., semantic description, references to other specifications and/or specification of value units, which are relevant for the particular part of the PDU.

The parts of the PDU contents that do not require a field description shall be omitted from the field description table.

### A.3.4 Information elements

Each IE (information element) type is specified in an ASN.1 section similar to the one shown in the example below.

```
-- /example/ ASN1START
PRACH-ConfigSTB ::=
                                    SECTIENCE {
                                        INTEGER (0..1023),
   rootSequenceIndex
   prach-ConfigInfo
                                        PRACH-ConfigInfo
                                    SEOUENCE {
PRACH-Config ::=
   rootSequenceIndex
                                        INTEGER (0..1023),
    prach-ConfigInfo
                                        PRACH-ConfigInfo
                                                                             OPTIONAL
                                                                                         -- Need ON
PRACH-ConfigInfo ::=
                                    SEQUENCE {
    prach-ConfigIndex
                                        ENUMERATED {ffs},
    highSpeedFlag
                                        ENUMERATED {ffs},
    zeroCorrelationZoneConfig
                                        ENUMERATED {ffs}
-- ASN1STOP
```

IEs should be introduced whenever there are multiple fields for which the same set of values apply. IEs may also be defined for other reasons e.g. to break down a ASN.1 definition in to smaller pieces.

A group of closely related IE type definitions, like the IEs *PRACH-ConfigSIB* and *PRACH-Config* in this example, are preferably placed together in a common ASN.1 section. The IE type identifiers should in this case have a common base, defined as the *generic type identifier*. It may be complemented by a suffix to distinguish the different variants. The "*PRACH-Config*" is the generic type identifier in this example, and the "*SIB*" suffix is added to distinguish the variant. The clause heading and generic references to a group of closely related IEs defined in this way should use the generic type identifier.

The same principle should apply if a new version, or an extension version, of an existing IE is created for *critical* or *non-critical* extension of the protocol (see clause A.4). The new version, or the extension version, of the IE is included in the same ASN.1 section defining the original. A suffix is added to the type identifier, using the naming conventions defined in clause A.3.1.2, indicating the release or version of the where the new version, or extension version, was introduced.

Local IE type definitions, like the IE *PRACH-ConfigInfo* in the example above, may be included in the ASN.1 section and be referenced in the other IE types defined in the same ASN.1 section. The use of locally defined IE types should be encouraged, as a tool to break up large and complex IE type definitions. It can improve the readability of the code. There may also be a benefit for the software implementation of the protocol end-points, as these IE types are typically provided by the ASN.1 compiler as independent data elements, to be used in the software implementation.

An IE type defined in a local context, like the IE *PRACH-ConfigInfo*, should not be referenced directly from other ASN.1 sections in the RRC specification. An IE type which is referenced in more than one ASN.1 section should be defined in a separate clause, with a separate heading and a separate ASN.1 section (possibly as one in a set of closely

related IE types, like the IEs *PRACH-ConfigSIB* and *PRACH-Config* in the example above). Such IE types are also referred to as 'global IEs'.

NOTE: Referring to an IE type, that is defined as a local IE type in the context of another ASN.1 section, does not generate an ASN.1 compilation error. Nevertheless, using a locally defined IE type in that way makes the IE type definition difficult to find, as it would not be visible at an outline level of the specification. It should be avoided.

The ASN.1 section specifying the contents of one or more IE types, like in the example above, may be followed by a *field description* table, where a further description of, e.g., the semantic properties of the fields of the information elements may be included. This table may be absent, similar as indicated in clause A.3.3 for the specification of the PDU type. The general format of the *field description* table is the same as shown in clause A.3.3 for the specification of the PDU type.

# A.3.5 Fields with optional presence

A field with optional presence may be declared with the keyword DEFAULT. It identifies a default value to be assumed, if the sender does not include a value for that field in the encoding:

```
-- /example/ ASN1START

PreambleInfo ::= SEQUENCE {
   numberOfRA-Preambles INTEGER (1..64) DEFAULT 1,
   ...
}

-- ASN1STOP
```

Alternatively, a field with optional presence may be declared with the keyword OPTIONAL. It identifies a field for which a value can be omitted. The omission carries semantics, which is different from any normal value of the field:

```
-- /example/ ASN1START

PRACH-Config ::= SEQUENCE {
   rootSequenceIndex INTEGER (0..1023),
   prach-ConfigInfo PRACH-ConfigInfo OPTIONAL -- Need ON
}

-- ASN1STOP
```

The semantics of an optionally present field, in the case it is omitted, should be indicated at the end of the paragraph including the keyword OPTIONAL, using a short comment text with a need statement. The need statement includes the keyword "Need", followed by one of the predefined semantics tags (OP, ON or OR) defined in clause 6.1. If the semantics tag OP is used, the semantics of the absent field are further specified either in the field description table following the ASN.1 section, or in procedure text.

The addition of OPTIONAL keywords for capability groups is based on the following guideline. If there is more than one field in the lower level IE, then OPTIONAL keyword is added at the group level. If there is only one field in the lower level IE, OPTIONAL keyword is not added at the group level.

# A.3.6 Fields with conditional presence

A field with conditional presence is declared with the keyword OPTIONAL. In addition, a short comment text shall be included at the end of the paragraph including the keyword OPTIONAL. The comment text includes the keyword "Cond", followed by a condition tag associated with the field ("UL" in this example):

```
-- /example/ ASN1START

LogicalChannelConfig ::= SEQUENCE {
   ul-SpecificParameters SEQUENCE {
      priority INTEGER (0),
      ...
   } OPTIONAL -- Cond UL
}

-- ASN1STOP
```

When conditionally present fields are included in an ASN.1 section, the field description table after the ASN.1 section shall be followed by a *conditional presence* table. The conditional presence table specifies the conditions for including the fields with conditional presence in the particular ASN.1 section.

Conditional presence	Explanation
UL	Specification of the conditions for including the field associated with the condition
	tag = "UL". Semantics in case of optional presence under certain conditions may
	also be specified.

The conditional presence table has two columns. The first column (heading: "Conditional presence") contains the condition tag (in *italic* font style), which links the fields with a condition tag in the ASN.1 section to an entry in the table. The second column (heading: "Explanation") contains a text specification of the conditions and requirements for the presence of the field. The second column may also include semantics, in case of an optional presence of the field, under certain conditions i.e. using the same predefined tags as defined for optional fields in A.3.5.

Conditional presence should primarily be used when presence of a field despends on the presence and/ or value of other fields within the same message. If the presence of a field depends on whether another feature/ function has been configured, while this function can be configured indepedently e.g. by another message and/ or at another point in time, the relation is best reflected by means of a statement in the field description table.

If the ASN.1 section does not include any fields with conditional presence, the conditional presence table shall not be included.

Whenever a field is only applicable in specific cases e.g. TDD, use of conditional presence should be considered.

# A.3.7 Guidelines on use of lists with elements of SEQUENCE type

Where an information element has the form of a list (the SEQUENCE OF construct in ASN.1) with the type of the list elements being a SEQUENCE data type, an information element shall be defined for the list elements even if it would not otherwise be needed.

For example, a list of PLMN identities with reservation flags is defined as in the following example:

rather than as in the following (bad) example, which may cause generated code to contain types with unpredictable names:

```
-- /bad example/ ASN1START

PLMN-IdentityList ::= SEQUENCE (SIZE (1..6)) OF SEQUENCE {
   plmn-Identity PLMN-Identity,
   cellReservedForOperatorUse ENUMERATED {reserved, notReserved}
}

-- ASN1STOP
```

# A.3.8 Guidelines on use of parameterised type SetupRelease

The usage of the parameterised type *SetupRelease* is like a function call using an information element as parameter. I.e. to use it, an IE has to be defined that specifies the sequence of fields that apply for choice value *setup*. Let's take an example.

```
-- /example/ ASN1START
```

```
InformationElementA ::=
                            SEQUENCE {
    field1
                                 BOOLEAN,
    field2
                                 CHOICE {
        release
                                     NULL,
                                     SEQUENCE {
        setup
            field2a
                                        INTEGER (0..7)
                                                                              OPTIONAL,
                                                                                           -- Need OR
            field2b
                                         InformationElement2b
                                                                              OPTIONAL
                                                                                           -- Need ON
-- ASN1STOP
```

Using SetupRelease this example can be specified as follows:

```
-- /example/ ASN1START
InformationElementA ::=
                             SEOUENCE {
    field1
                                 BOOLEAN,
    field2
                                 SetupRelease { InformationElement2 }
                                                                           OPTIONAL.
                                                                                           Need ON
InformationElement2 ::=
                             SEOUENCE {
    field2a
                                 INTEGER (0..7)
                                                                           OPTIONAL.
                                                                                       -- Need OR
    field2b
                                 InformationElement2b
-- ASN1STOP
```

The two versions are equivalent in abstract syntax i.e. use of *SetupRelease* is like an editorial change.

# A.4 Extension of the PDU specifications

# A.4.1 General principles to ensure compatibility

It is essential that extension of the protocol does not affect interoperability i.e. it is essential that implementations based on different versions of the RRC protocol are able to interoperate. In particular, this requirement applies for the following kind of protocol extensions:

- Introduction of new PDU types (i.e. these should not cause unexpected behaviour or damage).
- Introduction of additional fields in an extensible PDUs (i.e. it should be possible to ignore uncomprehended extensions without affecting the handling of the other parts of the message).
- Introduction of additional values of an extensible field of PDUs. If used, the behaviour upon reception of an uncomprehended value should be defined.

It should be noted that the PDU extension mechanism may depend on the logical channel used to transfer the message e.g. for some PDUs an implementation may be aware of the protocol version of the peer in which case selective ignoring of extensions may not be required.

The non-critical extension mechanism is the primary mechanism for introducing protocol extensions i.e. the critical extension mechanism is used merely when there is a need to introduce a 'clean' message version. Such a need appears when the last message version includes a large number of non-critical extensions, which results in issues like readability, overhead associated with the extension markers. The critical extension mechanism may also be considered when it is complicated to accommodate the extensions by means of non-critical extension mechanisms.

# A.4.2 Critical extension of messages and fields

The mechanisms to critically extend a message are defined in A.3.3. There are both "outer branch" and "inner branch" mechanisms available. The "outer branch" consists of a CHOICE having the name *criticalExtensions*, with two values, *c1* and *criticalExtensionsFuture*. The *criticalExtensionsFuture* branch consists of an empty SEQUENCE, while the c1 branch contains the "inner branch" mechanism.

The "inner branch" structure is a CHOICE with values of the form "MessageName-rX-IEs" (e.g., "RRCConnectionReconfiguration-r8-IEs") or "spareX", with the spare values having type NULL. The "-rX-IEs" structures contain the complete structure of the message IEs for the appropriate release; i.e., the critical extension branch for the Rel-10 version of a message includes all Rel-8 and Rel-9 fields (that are not obviated in the later version), rather than containing only the additional Rel-10 fields.

The following guidelines may be used when deciding which mechanism to introduce for a particular message, i.e. only an 'outer branch', or an 'outer branch' in combination with an 'inner branch' including a certain number of spares:

- For certain messages, e.g. initial uplink messages, messages transmitted on a broadcast channel, critical extension may not be applicable.
- An outer branch may be sufficient for messages not including any fields.
- The number of spares within inner branch should reflect the likelihood that the message will be critically extended in future releases (since each release with a critical extension for the message consumes one of the spare values). The estimation of the critical extension likelyhood may be based on the number, size and changeability of the fields included in the message.
- In messages where an inner branch extension mechanism is available, all spare values of the inner branch should be used before any critical extensions are added using the outer branch.

The following example illustrates the use of the critical extension mechanism by showing the ASN.1 of the original and of a later release

```
-- /example/ ASN1START
                                       -- Original release
   Message ::=
rrc-TransactionIdentifier
                                       SEQUENCE {
RRCMessage ::=
                                          RRC-TransactionIdentifier,
   criticalExtensions
                                       CHOICE {
                                      CHOICE {
       c1
           rrcMessage-r8
                                              RRCMessage-r8-IEs,
           spare3 NULL, spare2 NULL, spare1 NULL
       criticalExtensionsFuture
                                          SEQUENCE { }
-- ASN1STOP
```

```
-- /example/ ASN1START
                                        -- Later release
RRCMessage ::=
                                        SEQUENCE {
   rrc-TransactionIdentifier
                                            RRC-TransactionIdentifier,
                                        CHOICE {
    criticalExtensions
       c1
                                            CHOICE {
           rrcMessage-r8
                                               RRCMessage-r8-IEs,
           rrcMessage-r10
                                                RRCMessage-r10-IEs,
           rrcMessage-r11
                                                RRCMessage-r11-IEs.
           rrcMessage-r14
                                                RRCMessage-r14-IEs
        later
                                        CHOICE {
                                                CHOICE {
            c2
                rrcMessage-r16
                                                    RRCMessage-r16-IEs,
                spare7 NULL, spare6 NULL, spare5 NULL, spare4 NULL,
                spare3 NULL, spare2 NULL, spare1 NULL
            criticalExtensionsFuture
                                                    SEOUENCE {}
    }
-- ASN1STOP
```

It is important to note that critical extensions may also be used at the level of individual fields i.e. a field may be replaced by a critically extended version. When sending the extended version, the original version may also be included (e.g. original field is mandatory, EUTRAN is unaware if UE supports the extended version). In such cases, a UE supporting both versions may be required to ignore the original field. The following example illustrates the use of the critical extension mechanism by showing the ASN.1 of the original and of a later release

```
-- /example/ ASN1START
                                           -- Original release
RRCMessage ::=
                                           SEOUENCE {
    rrc-TransactionIdentifier
                                               RRC-TransactionIdentifier,
                                           CHOICE {
    criticalExtensions
                                               CHOICE {
        c1
            rrcMessage-r8
                                                    RRCMessage-r8-IEs,
             spare3 NULL, spare2 NULL, spare1 NULL
        criticalExtensionsFuture
                                                SEQUENCE {}
    }
}
RRCMessage-rN-IEs ::= SEQUENCE {
    field1-rN
                                           ENUMERATED {
                                              value1, value2, value3, value4} OPTIONAL, -- Need ON formationElement2-rN OPTIONAL, -- Need ON
    field2-rN
                                           InformationElement2-rN
                                           RRCConnectionReconfiguration-vMxy-IEs OPTIONAL
    nonCriticalExtension
}
{\tt RRCConnectionReconfiguration-vMxy-IEs} \ ::= \ {\tt SEQUENCE} \ \{
    field2-rM
                                           InformationElement2-rM
                                                                              OPTIONAL, -- Cond NoField2rN
                                           SEQUENCE {}
    nonCriticalExtension
                                                                              OPTIONAL
-- ASN1STOP
```

Conditional presence	Explanation
NoField2rN	The field is optionally present, need ON, if field2-rN is absent. Otherwise the field is not
	present

Finally, it is noted that a critical extension may be introduced in the same release as the one in which the original field was introduced e.g. to correct an essential ASN.1 error. In such cases a UE capability may be introduced, to assist E-UTRAN in deciding whether or not to use the critically extension.

# A.4.3 Non-critical extension of messages

# A.4.3.1 General principles

The mechanisms to extend a message in a non-critical manner are defined in A.3.3. W.r.t. the use of extension markers, the following additional guidelines apply:

- When further non-critical extensions are added to a message that has been critically extended, the inclusion of these non-critical extensions in earlier critical branches of the message should be avoided when possible.
- The extension marker ("...") is the primary non-critical extension mechanism that is used unless a length determinant is not required. Examples of cases where a length determinant is not required:
  - at the end of a message,
  - at the end of a structure contained in a BIT STRING or OCTET STRING
- When an extension marker is available, non-critical extensions are preferably placed at the location (e.g. the IE) where the concerned parameter belongs from a logical/ functional perspective (referred to as the 'default extension location')
- It is desirable to aggregate extensions of the same release or version of the specification into a group, which should be placed at the lowest possible level.
- In specific cases it may be preferrable to place extensions elsewhere (referred to as the 'actual extension location') e.g. when it is possible to aggregate several extensions in a group. In such a case, the group should be placed at the lowest suitable level in the message.
- In case placement at the default extension location affects earlier critical branches of the message, locating the extension at a following higher level in the message should be considered.

- In case an extension is not placed at the default extension location, an IE should be defined. The IE's ASN.1 definition should be placed in the same ASN.1 section as the default extension location. In case there are intermediate levels in-between the actual and the default extension location, an IE may be defined for each level. Intermediate levels are primarily introduced for readability and overview. Hence intermediate levels need not allways be introduced e.g. they may not be needed when the default and the actual extension location are within the same ASN.1 section.

# A.4.3.2 Further guidelines

Further to the general principles defined in the previous clause, the following additional guidelines apply regarding the use of extension markers:

- Extension markers within SEQUENCE
  - Extension markers are primarily, but not exclusively, introduced at the higher nesting levels
  - Extension markers are introduced for a SEQUENCE comprising several fields as well as for information elements whose extension would result in complex structures without it (e.g. re-introducing another list)
  - Extension markers are introduced to make it possible to maintain important information structures e.g. parameters relevant for one particular RAT
  - Extension markers are also used for size critical messages (i.e. messages on BCCH, BR-BCCH, PCCH and CCCH), although introduced somewhat more carefully
  - The extension fields introduced (or frozen) in a specific version of the specification are grouped together using double brackets.
- Extension markers within ENUMERATED
  - Spare values are used until the number of values reaches the next power of 2, while the extension marker caters for extension beyond that limit
  - A suffix of the form "vXYZ" is used for the identifier of each new value, e.g. "value-vXYZ".
- Extension markers within CHOICE:
  - Extension markers are introduced when extension is foreseen and when comprehension is not required by the receiver i.e. behaviour is defined for the case where the receiver cannot comprehend the extended value (e.g. ignoring an optional CHOICE field). It should be noted that defining the behaviour of a receiver upon receiving a not comprehended choice value is not required if the sender is aware whether or not the receiver supports the extended value.
  - A suffix of the form "vXYZ" is used for the identifier of each new choice value, e.g. "choice-vXYZ".

Non-critical extensions at the end of a message/ of a field contained in an OCTET or BIT STRING:

- When a nonCriticalExtension is actually used, a "Need" statement should not be provided for the field, which always is a group including at least one extension and a field facilitating further possible extensions. For simplicity, it is recommended not to provide a "Need" statement when the field is not actually used either.

Further, more general, guidelines:

- In case a need statement is not provided for a group, a "Need" statement is provided for all individual extension fields within the group i.e. including for fields that are not marked as OPTIONAL. The latter is to clarify the action upon absence of the whole group.

# A.4.3.3 Typical example of evolution of IE with local extensions

The following example illustrates the use of the extension marker for a number of elementary cases (sequence, enumerated, choice). The example also illustrates how the IE may be revised in case the critical extension mechanism is used.

NOTE In case there is a need to support further extensions of release n while the ASN.1 of release (n+1) has been frozen, without requiring the release n receiver to support decoding of release (n+1) extensions, more advanced mechanisms are needed e.g. including multiple extension markers.

```
-- /example/ ASN1START
InformationElement1 ::=
                               SEQUENCE {
   field1
                                       ENUMERATED {
                                          value1, value2, value3, value4-v880,
                                           ..., value5-v960 },
   field2
                                       CHOICE {
       field2a
                                           BOOLEAN,
       field2b
                                           InformationElement2b,
       field2c-v960
                                           InformationElement2c-r9
   [[ field3-r9
                                           InformationElement3-r9
                                                                      OPTIONAL
                                                                                       -- Need OR
    11,
    [[ field3-v9a0
                                           InformationElement3-v9a0 OPTIONAL,
                                                                                       -- Need OR
       field4-r9
                                           InformationElement4
                                                                OPTIONAL
                                                                                       -- Need OR
   11
InformationElement1-r10 ::=
                                  SEQUENCE {
                                       ENUMERATED {
   field1
                                           value1, value2, value3, value4-v880,
                                           value5-v960, value6-v1170, spare2, spare1, ... },
   field2
                                       CHOICE {
       field2a
                                          BOOLEAN,
       field2b
                                           InformationElement2b,
       field2c-v960
                                           InformationElement2c-r9,
       field2d-v12b0
                                           INTEGER (0..63)
   field3-r9
                                                                     OPTIONAL,
                                       InformationElement3-r10
                                                                                   -- Need OR
                                                                                  -- Need OR
   field4-r9
                                       InformationElement4
                                                                      OPTIONAL,
   field5-r10
                                       BOOLEAN,
   field6-r10
                                       InformationElement6-r10
                                                                     OPTIONAL,
                                                                                   -- Need OR
    11
      field3-v1170
                                           InformationElement3-v1170
                                                                          OPTIONAL
                                                                                       -- Need OR
    ]]
-- ASN1STOP
```

Some remarks regarding the extensions of *InformationElement1* as shown in the above example:

- The InformationElement1 is initially extended with a number of non-critical extensions. In release 10 however, a critical extension is introduced for the message using this IE. Consequently, a new version of the IE InformationElement1 (i.e. InformationElement1-r10) is defined in which the earlier non-critical extensions are incorporated by means of a revision of the original field.
- The *value4-v880* is replacing a spare value defined in the original protocol version for *field1*. Likewise *value6-v1170* replaces *spare3* that was originally defined in the r10 version of *field1*
- Within the critically extended release 10 version of *InformationElement1*, the names of the original fields/ IEs are not changed, unless there is a real need to distinguish them from other fields/ IEs. E.g. the *field1* and *InformationElement4* were defined in the original protocol version (release 8) and hence not tagged. Moreover, the *field3-r9* is introduced in release 9 and not re-tagged; although, the *InformationElement3* is also critically extended and therefore tagged *InformationElement3-r10* in the release 10 version of InformationElement1.

### A.4.3.4 Typical examples of non critical extension at the end of a message

The following example illustrates the use of non-critical extensions at the end of the message or at the end of a field that is contained in a BIT or OCTET STRING i.e. when an empty sequence is used.

```
-- /example/ ASN1START

RRCMessage-r8-IEs ::= SEQUENCE {
    field1 InformationElement1,
```

```
field2
                                    InformationElement2,
    field3
                                    InformationElement3
                                                                         OPTIONAL,
                                                                                      -- Need ON
   nonCriticalExtension
                                    RRCMessage-v860-IEs
                                                                         OPTIONAL
}
RRCMessage-v860-IEs ::=
                                SEQUENCE {
                                    InformationElement4
    field4-v860
                                                                         OPTIONAL.
                                                                                      -- Need OP
    field5-v860
                                                                                      -- Cond C54
                                    BOOLEAN
                                                                         OPTIONAL,
    nonCriticalExtension
                                    RRCMessage-v940-IEs
                                                                         OPTIONAL
RRCMessage-v940-IEs ::=
                                SEQUENCE {
                                    InformationElement6-r9
   field6-v940
                                                                             OPTIONAL,
                                                                                          -- Need OR
                                    SEQUENCE {}
   nonCriticalExtensions
                                                                             OPTIONAL
-- ASN1STOP
```

Some remarks regarding the extensions shown in the above example:

The InformationElement4 is introduced in the original version of the protocol (release 8) and hence no suffix is
used.

## A.4.3.5 Examples of non-critical extensions not placed at the default extension location

The following example illustrates the use of non-critical extensions in case an extension is not placed at the default extension location.

#### ParentIE-WithEM

The IE *ParentIE-WithEM* is an example of a high level IE including the extension marker (EM). The root encoding of this IE includes two lower level IEs *ChildIE1-WithoutEM* and *ChildIE2-WithoutEM* which not include the extension marker. Consequently, non-critical extensions of the Child-IEs have to be included at the level of the Parent-IE.

The example illustrates how the two extension IEs *ChildIE1-WithoutEM-vNx0* and *ChildIE2-WithoutEM-vNx0* (both in release N) are used to connect non-critical extensions with a default extension location in the lower level IEs to the actual extension location in this IE.

#### ParentlE-WithEM information element

```
-- /example/ ASN1START
                                    SEQUENCE {
Parent TE-WithEM ::=
    -- Root encoding, including:
    childIE1-WithoutEM
                                        ChildIE1-WithoutEM
                                                                        OPTIONAL,
                                                                                         -- Need ON
    childIE2-WithoutEM
                                        ChildIE2-WithoutEM
                                                                        OPTIONAL,
                                                                                         -- Need ON
                                                                        OPTIONAL,
      childIE1-WithoutEM-vNx0
                                            ChildIE1-WithoutEM-vNx0
                                                                                         -- Need ON
        childIE2-WithoutEM-vNx0
                                            ChildIE2-WithoutEM-vNx0
                                                                        OPTIONAL
                                                                                         -- Need ON
    ]]
-- ASN1STOP
```

Some remarks regarding the extensions shown in the above example:

- The fields childIEx-WithoutEM-vNx0 may not really need to be optional (depends on what is defined at the next lower level).
- In general, especially when there are several nesting levels, fields should be marked as optional only when there
  is a clear reason.

### ChildIE1-WithoutEM

The IE *ChildIE1-WithoutEM* is an example of a lower level IE, used to control certain radio configurations including a configurable feature which can be setup or released using the local IE *ChIE1-ConfigurableFeature*. The example illustrates how the new field *chIE1-NewField* is added in release N to the configuration of the configurable feature. The example is based on the following assumptions:

- when initially configuring as well as when modifying the new field, the original fields of the configurable feature have to be provided also i.e. as if the extended ones were present within the setup branch of this feature.
- when the configurable feature is released, the new field should be released also.
- when omitting the original fields of the configurable feature the UE continues using the existing values (which is
  used to optimise the signalling for features that typically continue unchanged upon handover).
- when omitting the new field of the configurable feature the UE releases the existing values and discontinues the
  associated functionality (which may be used to support release of unsupported functionality upon handover to an
  eNB supporting an earlier protocol version).

The above assumptions, which affect the use of conditions and need codes, may not always apply. Hence, the example should not be re-used blindly.

#### ChildIE1-WithoutEM information elements

```
-- /example/ ASN1START
ChildIE1-WithoutEM ::=
                                  SEOUENCE {
    -- Root encoding, including:
   chIE1-ConfigurableFeature
                                      ChIE1-ConfigurableFeature
                                                                     OPTIONAL
                                                                                     -- Need ON
ChildIE1-WithoutEM-vNx0 ::= SEQUENCE {
   chIE1-ConfigurableFeature-vNx0
                                      ChIEl-ConfigurableFeature-vNx0 OPTIONAL -- Cond ConfigF
ChIE1-ConfigurableFeature ::=
                                  CHOICE {
                                      NULL,
   release
                                      SEQUENCE {
       -- Root encoding
ChIE1-ConfigurableFeature-vNx0 ::= SEQUENCE {
                                      INTEGER (0..31)
   chIE1-NewField-rN
-- ASN1STOP
```

Conditional presence	Explanation
ConfigF	The field is optional present, need OR, in case of chIE1-ConfigurableFeature is included
	and set to "setup"; otherwise the field is not present and the UE shall delete any existing
	value for this field.

### ChildIE2-WithoutEM

The IE *ChildIE2-WithoutEM* is an example of a lower level IE, typically used to control certain radio configurations. The example illustrates how the new field *chIE1-NewField* is added in release N to the configuration of the configurable feature.

#### ChildIE2-WithoutEM information element

```
-- /example/ ASN1START

ChildIE2-WithoutEM ::= CHOICE {
    release NULL,
    setup SEQUENCE {
        -- Root encoding
    }
```

Conditional presence	Explanation
ConfigF	The field is optional present, need OR, in case of chIE2-ConfigurableFeature is included
	and set to "setup"; otherwise the field is not present and the UE shall delete any existing
	value for this field.

# A.5 Guidelines regarding inclusion of transaction identifiers in RRC messages

The following rules provide guidance on which messages should include a Transaction identifier

- 1: DL messages on CCCH that move UE to RRC\_IDLE should not include the RRC transaction identifier.
- 2: All network initiated DL messages by default should include the RRC transaction identifier.
- 3: All UL messages that are direct response to a DL message with an RRC Transaction identifier should include the RRC Transaction identifier.
- 4: All UL messages that require a direct DL response message should include an RRC transaction identifier.
- 5: All UL messages that are not in response to a DL message nor require a corresponding response from the network should not include the RRC Transaction identifier.

## A.6 Protection of RRC messages (informative)

The following list provides information which messages can be sent (unprotected) prior to security activation and which messages can be sent unprotected after security activation. Those messages indicated "-" in "P" column should never be sent unprotected by eNB or UE. Further requirements are defined in the procedural text.

- P...Messages that can be sent (unprotected) prior to security activation
- A I...Messages that can be sent without integrity protection after security activation
- A C...Messages that can be sent unciphered after security activation
- NA... Message can never be sent after security activation

Message	Р	A-I	A-C	Comment
CSFBParametersRequestCDMA20 00	+	-	-	
CSFBParametersResponseCDMA 2000	+	-	-	
CounterCheck	-	-	-	
CounterCheckResponse	-	-	-	
DLDedicatedMessageSegment	NOTE 1			
DLInformationTransfer	+	-	-	
FailureInformation	-	-	-	
HandoverFromEUTRAPreparation Request (CDMA2000)	-	-	-	
InDeviceCoexIndication	-	-	-	
InterFreqRSTDMeasurementIndica tion	-	-	-	
LoggedMeasurementsConfiguratio n	-	-	-	
MasterInformationBlock	+	+	+	
MasterInformationBlock-MBMS	+	+	+	
MBMSCountingRequest	+	+	+	
MBMSCountingResponse	-	-	-	
MBMSInterestIndication	+	-	-	
MBSFNAreaConfiguration	+	+	+	
MeasReportAppLayer	-	-	-	
MeasurementReport	-	-	-	Measurement configuration may be sent prior to security activation. But: In order to protect privacy of UEs, MEASUREMENT REPORT is only sent from the UE after successful security activation.
MCGFailureInformation	-	-	-	,
MobilityFromEUTRACommand	-	-	-	
Paging	+	+	+	
ProximityIndication	-	-	-	
PURConfigurationRequest	+	-	-	Except if the UE is using Control plane CIoT EPS/5GS optimisation, the message is only sent from the UE after successful security activation.
RNReconfiguration	-	-	-	
RNReconfigurationComplete	-	-	-	
RRCConnectionReconfiguration	+	-	-	The message shall not be sent unprotected before security activation if it is used to perform handover or to establish SRB2, SRB4 and DRBs
RRCConnectionReconfigurationComplete	+	-	-	Unprotected, if sent as response to RRCConnectionReconfiguration which was sent before security activation
RRCConnectionReestablishment	-	+	+	This message is not protected by PDCP operation.
RRCConnectionReestablishmentC omplete	-	-	-	
RRCConnectionReestablishmentR eject	-	+	+	One reason to send this may be that the security context has been lost, therefore sent as unprotected.
RRCConnectionReestablishmentR equest	-	-	+	This message is not protected by PDCP operation. However, a short MAC-I is included.
RRCConnectionReject	+	+	+	Except for resumption of an RRC connection after early security reactivation in accordance with conditions in 5.3.3.18, A-I and A-C are NA.

Mossago	Р	A-I	A-C	Comment
Message RRCConnectionRelease	P	A-I	A-C	Justification for P: If the RRC connection
RRCConnectionRelease	+	-	-	
				only for signalling not requiring DRBs or
				ciphered messages, or the signalling
				connection has to be released prematurely,
				this message is sent as unprotected.
				For resumption of an RRC connection after
				early security reactivation in accordance
				with conditions in 5.3.3.18, the message is
				only sent after successful security
				activation.
				RRCConnectionRelease message sent
				before security activation cannot include
				rrc-InactiveConfig, redirectedCarrierInfo,
				idleModeMobilityControlInfo information
				fields when UE is connected to 5GC.
RRCConnectionRequest	+	NA	NA	
RRCConnectionResume	-	-	+	When this message is transmitted, security
				is activated but suspended. Integrity
				verification is done after the message
				received by RRC.
				For resumption of an RRC connection after
				early security reactivation in accordance
				with conditions in 5.3.3.18, the message is
				only sent after successful security
				activation.
				For RRC_INACTIVE state or after early
				security reactivation, the message is
				protected with both integrity and ciphering.
RRCConnectionResumeRequest	-	-	+	This message is not protected by PDCP
, , , , , , , , , , , , , , , , , , ,				operation. However, a short MAC-I is
				included.
RRCConnectionResumeComplete	-	-	-	
RRCConnectionSetup	+	NA	NA	
RRCConnectionSetupComplete	+	NA	NA	
RRCEarlyDataRequest	+	NA	NA	
RRCEarlyDataComplete	+	NA	NA	
SCGFailureInformation	-	-	-	
SCGFailureInformationNR	-	-	-	
SCPTMConfiguration	+	+	+	
SecurityModeCommand	+	NA	NA	Integrity protection applied, but no
,				ciphering (integrity verification done after
				the message received by RRC)
SecurityModeComplete	-	NA	NA	Integrity protection applied, but no
Coodiny Mode Complete		' ' '	' ' '	ciphering. Ciphering is applied after
				completing the procedure.
SecurityModeFailure	+	NA	NA	Neither integrity protection nor ciphering
233amymodol allaro	'	177	' ' '	applied.
SidelinkUEInformation	+	-	-	- Sphilosi
SystemInformation	+	+	+	
		_	+	
SystemInformationRlockType1	I +	+	1 5	The state of the s
SystemInformationBlockType1	+	+		
SystemInformationBlockType1-	+ +	+ +	+	
SystemInformationBlockType1-MBMS	+	+		
SystemInformationBlockType1- MBMS UEAssistanceInformation	+	+	-	Event if the LIE is using Control plans
SystemInformationBlockType1-MBMS	+	+		Except if the UE is using Control plane
SystemInformationBlockType1- MBMS UEAssistanceInformation	+	+	-	CloT EPS optimisation, E-UTRAN should
SystemInformationBlockType1- MBMS UEAssistanceInformation	+	+	-	CIOT EPS optimisation, E-UTRAN should retrieve UE capabilities only after AS
SystemInformationBlockType1- MBMS UEAssistanceInformation UECapabilityEnquiry	- +	-	-	CloT EPS optimisation, E-UTRAN should
SystemInformationBlockType1-MBMS UEAssistanceInformation UECapabilityEnquiry UECapabilityInformation	+ - +	-	-	CIOT EPS optimisation, E-UTRAN should retrieve UE capabilities only after AS
SystemInformationBlockType1-MBMS UEAssistanceInformation UECapabilityEnquiry  UECapabilityInformation UEInformationRequest	- +	-	-	CIOT EPS optimisation, E-UTRAN should retrieve UE capabilities only after AS security activation.
SystemInformationBlockType1-MBMS UEAssistanceInformation UECapabilityEnquiry UECapabilityInformation	+ - +	-	-	CIOT EPS optimisation, E-UTRAN should retrieve UE capabilities only after AS security activation.  In order to protect privacy of UEs,
SystemInformationBlockType1-MBMS UEAssistanceInformation UECapabilityEnquiry  UECapabilityInformation UEInformationRequest	+ - +	-	-	CIOT EPS optimisation, E-UTRAN should retrieve UE capabilities only after AS security activation.  In order to protect privacy of UEs, UEInformationResponse is only sent from
SystemInformationBlockType1-MBMS UEAssistanceInformation UECapabilityEnquiry  UECapabilityInformation UEInformationRequest UEInformationResponse	+ + +	+ - - - -	- - - -	CIOT EPS optimisation, E-UTRAN should retrieve UE capabilities only after AS security activation.  In order to protect privacy of UEs,
SystemInformationBlockType1-MBMS UEAssistanceInformation UECapabilityEnquiry  UECapabilityInformation UEInformationRequest UEInformationResponse  ULDedicatedMessageSegment	+ - +	+ - - - -	- - - -	CIOT EPS optimisation, E-UTRAN should retrieve UE capabilities only after AS security activation.  In order to protect privacy of UEs, UEInformationResponse is only sent from the UE after successful security activation
SystemInformationBlockType1-MBMS UEAssistanceInformation UECapabilityEnquiry  UECapabilityInformation UEInformationRequest UEInformationResponse  ULDedicatedMessageSegment ULHandoverPreparationTransfer	+ + +	+ - - - -	- - - -	CIOT EPS optimisation, E-UTRAN should retrieve UE capabilities only after AS security activation.  In order to protect privacy of UEs, UEInformationResponse is only sent from the UE after successful security activation  This message should follow
SystemInformationBlockType1-MBMS UEAssistanceInformation UECapabilityEnquiry  UECapabilityInformation UEInformationRequest UEInformationResponse  ULDedicatedMessageSegment	+ + +	+ - - - -	- - - -	CIOT EPS optimisation, E-UTRAN should retrieve UE capabilities only after AS security activation.  In order to protect privacy of UEs, UEInformationResponse is only sent from the UE after successful security activation

Message	Р	A-I	A-C	Comment	
ULInformationTransferIRAT	NOTE 2				
ULInformationTransferMRDC	-	-	-		
WLANConnectionStatusReport	-	-	-		
NOTE 1: This message type carries segments of other RRC messages. The protection of an instance of this					
message is the same as	for the messa	age which thi	s message	is carrying.	

NOTE 2: This message type carries other RRC messages. The protection of an instance of this message is the same as for the message which this message is carrying

#### Miscellaneous **A.7**

The following miscellaneous conventions should be used:

- References: Whenever another specification is referenced, the specification number and optionally the relevant clause, table or figure, should be indicated in addition to the pointer to the References clause e.g. as follows: 'see TS 36.212 [22, 5.3.3.1.6]'.
- UE capabilities: TS 36.306 [5] specifies that E-UTRAN should in general respect the UE's capabilities. Hence there is no need to include statement clarifying that E-UTRAN, when setting the value of a certain configuration field, shall respect the related UE capabilities unless there is a particular need e.g. particularly complicated cases.

## Annex B (normative): Release 8 and 9 AS feature handling

### B.1 Feature group indicators

This annex contains the definitions of the bits in fields *featureGroupIndicators* (in Table B.1-1) and *featureGroupIndRel9Add* (in Table B.1-1a).

In this release of the protocol, the UE shall include the fields *featureGroupIndicators* in the IE *UE-EUTRA-Capability* and *featureGroupIndRel9Add* in the IE *UE-EUTRA-Capability-v9a0*. All the functionalities defined within the field *featureGroupIndicators* defined in Table B.1-1 or Table B.1-1a are mandatory for the UE (with exceptions for category M1 and M2 UEs), if the related capability (frequency band, RAT, SR-VCC or Inter-RAT ANR) is also supported. For a specific indicator, if all functionalities for a feature group listed in Table B.1-1 have been implemented and tested, the UE shall set the indicator as one (1), else (i.e. if any one of the functionalities in a feature group listed in Table B.1-1 or Table B.1-1a, which have not been implemented or tested), the UE shall set the indicator as zero (0).

The UE shall set all indicators that correspond to RATs not supported by the UE as zero (0).

The UE shall set all indicators, which do not have a definition in Table B.1-1 or Table B.1-1a, as zero (0).

If the optional fields *featureGroupIndicators* or *featureGroupIndRel9Add* are not included by a UE of a future release, the network may assume that all features pertaining to the RATs supported by the UE, respectively listed in Table B.1-1 or Table B.1-1a and deployed in the network, have been implemented and tested by the UE.

In Table B.1-1, a 'VoLTE capable UE' corresponds to a UE which is IMS voice capable and a 'MCPTT capable UE' corresponds to a UE which supports MCPTT voice application as defined in TS 23.179 [73].

The indexing in Table B.1-1a starts from index 33, which is the leftmost bit in the field featureGroupIndRel9Add.

Table B.1-1: Definitions of feature group indicators

Index of indicator (bit number)	Definition (description of the supported functionality, if indicator set to one)	Notes	If indicated "Yes" the feature shall be implemented and successfully tested for this version of the specification	FDD/ TDD diff
1 (leftmost bit)	- Intra-subframe frequency hopping for PUSCH scheduled by UL grant - DCI format 3a (TPC commands for PUCCH and PUSCH with single bit power adjustments) - Aperiodic CQI/PMI/RI reporting on PUSCH: Mode 2-0 – UE selected subband CQI without PMI - Aperiodic CQI/PMI/RI reporting on PUSCH: Mode 2-2 – UE selected subband CQI with multiple PMI	- set to 1 by category M1 and M2 UEs that have implemented and successfully tested "Aperiodic CQI/PMI/RI reporting on PUSCH: Mode 2-0 – UE selected subband CQI without PMI"		Yes
2	- Simultaneous CQI and ACK/NACK on PUCCH, i.e. PUCCH format 2a and 2b - Absolute TPC command for PUSCH - Resource allocation type 1 for PDSCH - Periodic CQI/PMI/RI reporting on PUCCH: Mode 2-0 – UE selected subband CQI without PMI - Periodic CQI/PMI/RI reporting on PUCCH: Mode 2-1 – UE selected subband CQI with single PMI	- If a category M1 or M2 UE does not support this feature group, this bit shall be set to 0.		Yes
3	- 5bit RLC UM SN - 7bit PDCP SN	- can only be set to 1 if the UE has set bit number 7 to 1.	Yes, if UE supports VoLTE, MCPTT, or both.	No

	Yes, if UE supports SRVCC to EUTRAN	
	from GERAN.	

4	- Short DRX cycle	- can only be set to 1 if the UE has set bit number 5 to 1 not supported by category M1 or M2		Yes
5	- Long DRX cycle	UE	Yes	No
5	- Long DRX cycle - DRX command MAC control element		res	INO
6	- Prioritised bit rate		Yes	No
7	- RLC UM	- can only be set to 0 if the UE does neither support VoLTE nor MCPTT	Yes, if UE supports VoLTE, MCPTT, or both. Yes, if UE supports SRVCC to EUTRAN from GERAN.	No
8	- EUTRA RRC_CONNECTED to UTRA FDD or UTRA TDD CELL_DCH PS handover, if the UE supports either only UTRAN FDD or only UTRAN TDD  - EUTRA RRC_CONNECTED to UTRA FDD CELL_DCH PS handover, if the UE supports both UTRAN FDD and UTRAN TDD	- can only be set to 1 if the UE has set bit number 22 to 1	Yes (except for category M1 and M2 UEs) for FDD, if UE supports UTRA FDD.	Yes
9	- EUTRA RRC_CONNECTED to GERAN GSM_Dedicated handover	- related to SR-VCC - can only be set to 1 if the UE has set bit number 23 to 1	Yes (except for category M1 and M2 UEs), if UE supports SRVCC to EUTRAN from GERAN.	Yes
10	- EUTRA RRC_CONNECTED to GERAN (Packet_) Idle by Cell Change Order - EUTRA RRC_CONNECTED to GERAN (Packet_) Idle by Cell Change Order with NACC (Network Assisted Cell Change)			Yes
11	- EUTRA RRC_CONNECTED to CDMA2000 1xRTT CS Active handover	- related to SR-VCC - can only be set to 1 if the UE has sets bit number 24 to 1		Yes
12	- EUTRA RRC_CONNECTED to CDMA2000 HRPD Active handover	- can only be set to 1 if the UE has set bit number 26 to 1		Yes
13	- Inter-frequency handover (within FDD or TDD)	- can only be set to 1 if the UE has set bit number 25 to 1	Yes (except for category M1 and M2 UEs), unless UE only supports band 13	No
14	<ul> <li>Measurement reporting event: Event</li> <li>A4 – Neighbour &gt; threshold</li> <li>Measurement reporting event: Event</li> <li>A5 – Serving &lt; threshold1 &amp; Neighbour</li> <li>&gt; threshold2</li> </ul>		Yes (except for category M1 and M2 UEs)	No
15	- Measurement reporting event: Event B1 – Neighbour > threshold for UTRAN FDD or UTRAN TDD, if the UE supports either only UTRAN FDD or only UTRAN TDD and has set bit number 22 to 1  - Measurement reporting event: Event B1 – Neighbour > threshold for UTRAN FDD or UTRAN TDD, if the UE supports both UTRAN FDD and UTRAN TDD and has set bit number 22 or 39 to 1, respectively  - Measurement reporting event: Event B1 – Neighbour > threshold for GERAN, 1xRTT or HRPD, if the UE has set bit number 23, 24 or 26 to 1, respectively	- can only be set to 1 if the UE has set at least one of the bit number 22, 23, 24, 26 or 39 to 1 even if the UE sets bits 41, it shall still set bit 15 to 1 if measurement reporting event B1 is tested for all RATs supported by UE - If a category M1 or M2 UE does not support this feature group, this bit shall be set to 0.	Yes for FDD, if UE supports only UTRAN FDD and does not support UTRAN TDD or GERAN or 1xRTT or HRPD	Yes

16	- Intra-frequency periodical measurement reporting where triggerType is set to periodical and purpose is set to reportStrongestCells  - Inter-frequency periodical measurement reporting where triggerType is set to periodical and purpose is set to reportStrongestCells, if the UE has set bit number 25 to 1	- If a category M1 or M2 UE does not support this feature group, this bit shall be set to 0.	Yes	No
	- Inter-RAT periodical measurement reporting where triggerType is set to periodical and purpose is set to reportStrongestCells for UTRAN FDD or UTRAN TDD, if the UE supports either only UTRAN FDD or only UTRAN TDD and has set bit number 22 to 1			
	- Inter-RAT periodical measurement reporting where triggerType is set to periodical and purpose is set to reportStrongestCells for UTRAN FDD or UTRAN TDD, if the UE supports both UTRAN FDD and UTRAN TDD and has set bit number 22 or 39 to 1, respectively			
	- Inter-RAT periodical measurement reporting where <i>triggerType</i> is set to <i>periodical</i> and <i>purpose</i> is set to <i>reportStrongestCells</i> for GERAN, 1xRTT or HRPD, if the UE has set bit number 23, 24 or 26 to 1, respectively.			
	NOTE: Event triggered periodical reporting (i.e., with triggerType set to event and with reportAmount > 1) is a mandatory functionality of event triggered reporting and therefore not the subject of this bit.			
17	Intra-frequency ANR features (including the case of (NG)EN-DC wherein MN and SN have the same DRX cycle and onduration configured by MN completely contains on-duration configured by SN) including:  - Intra-frequency periodical measurement reporting where triggerType is set to periodical and purpose is set to reportStrongestCells - Intra-frequency periodical measurement reporting where triggerType is set to periodical and purpose is set to periodical and purpose is set to reportCGI.	- can only be set to 1 if the UE has set bit number 5 to 1 If a category M1 or M2 UE does not support this feature group, this bit shall be set to 0.	Yes	No
18	Inter-frequency ANR features (including the case of (NG)EN-DC wherein MN and SN have the same DRX cycle and onduration configured by MN completely contains on-duration configured by SN) including:  - Inter-frequency periodical measurement reporting where triggerType is set to periodical and purpose is set to reportStrongestCells - Inter-frequency periodical measurement reporting where triggerType is set to periodical and purpose is set to periodical and purpose is set to reportCGI	- can only be set to 1 if the UE has set bit number 5 and bit number 25 to 1 If a category M1 or M2 UE does not support this feature group, this bit shall be set to 0.	Yes, unless UE only supports band 13	No

		Yes
case of (NG)EN-DC wherein MN and SN   if the	only be set to 1 UE has set bit	
. ' '	per 5 to 1 and the	
	as set at least	
	of the bit number	
	3, 24 or 26 to 1.	
, <u> </u>	n if the UE sets	
	33 to 37, it shall	
	et bit 19 to 1 if	
	RAT ANR	
	res are tested for	
	ATs for which	
reporting where <i>triggerType</i> is set to inter-		
	surement	
1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	ting is indicated	
FDD or UTRAN TDD, if the UE supports as tes	<b>3</b>	
either only UTRAN FDD or only UTRAN	sieu	
TDD and has set bit number 22 to 1		
- Inter-RAT periodical measurement		
reporting where <i>triggerType</i> is set to		
periodical and purpose is set to		
reportStrongestCellsForSON for UTRAN		
FDD or UTRAN TDD, if the UE supports		
both UTRAN FDD and UTRAN TDD and		
has set bit number 22 or 39 to 1,		
respectively		
- Inter-RAT periodical measurement		
reporting where <i>triggerType</i> is set to		
periodical and purpose is set to		
reportStrongestCellsForSON for 1xRTT		
or HRPD, if the UE has set bit number		
24 or 26 to 1, respectively		
- Inter-RAT periodical measurement		
reporting where <i>triggerType</i> is set to		
periodical and purpose is set to		
reportCGI for UTRAN FDD or UTRAN		
TDD, if the UE supports either only		
UTRAN FDD or only UTRANTDD and		
has set bit number 22 to 1		
- Inter-RAT periodical measurement		
reporting where triggerType is set to		
periodical and purpose is set to		
reportCGI for UTRAN FDD or UTRAN		
TDD, if the UE supports both UTRAN		
FDD and UTRAN TDD and has set bit		
number 22 or 39 to 1, respectively		
- Inter-RAT periodical measurement		
reporting where <i>triggerType</i> is set to		
periodical and purpose is set to		
reportCGI for GERAN, 1xRTT or HRPD,		
if the UE has set bit number 23, 24 or 26		
to 1, respectively		

	T. (1)			
20	If bit number 7 is set to 0: - SRB1 and SRB2 for DCCH + 8x AM DRB  If bit number 7 is set to 1: - SRB1 and SRB2 for DCCH + 8x AM DRB - SRB1 and SRB2 for DCCH + 5x AM DRB + 3x UM DRB  NOTE: UE which indicate support for a DRB combination also support all subsets of the DRB combination. Therefore, release of DRB(s) never results in an unsupported DRB combination.	- Regardless of what bit number 7 and bit number 20 is set to, UE shall support at least SRB1 and SRB2 for DCCH + 4x AM DRB - Regardless of what bit number 20 is set to, if bit number 7 is set to 1, UE shall support at least SRB1 and SRB2 for DCCH + 4x AM DRB + 1x UM DRB - If flexibleUM-AM-Combinations is included the UE shall support any combination of RLC UM and RLC AM bearers as long as the total number of bearers is at most 8, regardless of what	Yes	No
04	Double and interpretation and interpretation	FGI20 indicates		NI-
21	<ul> <li>Predefined intra- and inter-subframe frequency hopping for PUSCH with N_sb &gt; 1</li> <li>Predefined inter-subframe frequency hopping for PUSCH with N_sb &gt; 1</li> </ul>	- If a category M1 or M2 UE does not support this feature group, this bit shall be set to 0.		No
22	- UTRAN FDD or UTRAN TDD	- If a category M1 or	Yes for FDD, if UE	Yes
	measurements, reporting and measurement reporting event B2 in E-UTRA connected mode, if the UE supports either only UTRAN FDD or only UTRAN TDD  - UTRAN FDD measurements, reporting and measurement reporting event B2 in E-UTRA connected mode, if the UE supports both UTRAN FDD and UTRAN TDD	M2 UE does not support this feature group, this bit shall be set to 0.	supports UTRA FDD	
23	- GERAN measurements, reporting and measurement reporting event B2 in E-UTRA connected mode	- If a category M1 or M2 UE does not support this feature group, this bit shall be set to 0.		Yes
24	- 1xRTT measurements, reporting and measurement reporting event B2 in E-UTRA connected mode	- If a category M1 or M2 UE does not support this feature group, this bit shall be set to 0.	Yes for FDD, if UE supports enhanced 1xRTT CSFB for FDD Yes for TDD, if UE supports enhanced 1xRTT CSFB for TDD	Yes
25	- Inter-frequency measurements and reporting in E-UTRA connected mode  NOTE: The UE setting this bit to 1 and indicating support for FDD and TDD frequency bands in the UE capability signalling implements and is tested for FDD measurements while the UE is in TDD, and for TDD measurements while the UE is in FDD.	- A category M1 or M2 UE shall set this bit to 1 only if ceMeasurements-r14 is supported.	Yes, unless UE only supports band 13	No

26	- HRPD measurements, reporting and measurement reporting event B2 in E-UTRA connected mode	- If a category M1 or M2 UE does not support this feature group, this bit shall be set to 0.	Yes for FDD, if UE supports HRPD	Yes
27	- EUTRA RRC_CONNECTED to UTRA FDD or UTRA TDD CELL_DCH CS handover, if the UE supports either only UTRAN FDD or only UTRAN TDD  - EUTRA RRC_CONNECTED to UTRA FDD CELL_DCH CS handover, if the UE supports both UTRAN FDD and UTRAN TDD	- related to SR-VCC - can only be set to 1 if the UE has set bit number 8 to 1 and supports SR-VCC from EUTRA defined in TS 24.008 [49] - If a category M1 or M2 UE does not support this feature group, this bit shall be set to 0.	Yes for FDD, if UE supports VoLTE and UTRA FDD	Yes
28	- TTI bundling	- If a category M1 or M2 UE does not support this feature group, this bit shall be set to 0.	Yes for FDD	Yes
29	- Semi-Persistent Scheduling	- If a category M1 or M2 UE does not support this feature group, this bit shall be set to 0.		Yes
30	- Handover between FDD and TDD	- can only be set to 1 if the UE has set bit number 13 to 1		No
31	- Indicates whether the UE supports the mechanisms defined for cells broadcasting multi band information i.e. comprehending multiBandInfoList, disregarding in RRC_CONNECTED the related system information fields and understanding the EARFCN signalling for all bands, that overlap with the bands supported by the UE, and that are defined in the earliest version of TS 36.101 [42] that includes all UE supported bands.		Yes	No
32	Undefined			

NOTE: The column FDD/ TDD diff indicates if the UE is allowed to signal different values for FDD and TDD.

Table B.1-1a: Definitions of feature group indicators

Index of indicator	Definition (description of the supported	Notes	If indicated "Yes" the feature shall be	FDD/ TDD diff
(bit number)	functionality, if indicator set to one)		implemented and successfully tested for this version of the specification	
33 (leftmost bit)	Inter-RAT ANR features for UTRAN FDD (including the case of (NG)EN-DC wherein MN and SN have the same DRX cycle and on-duration configured by MN completely contains on-duration configured by SN) including: - Inter-RAT periodical measurement reporting where triggerType is set to periodical and purpose is set to reportStrongestCellsForSON - Inter-RAT periodical measurement reporting where triggerType is set to periodical and purpose is set to periodical and purpose is set to reportCGI	- can only be set to 1 if the UE has set bit number 5 and bit number 22 to 1.		Yes
34	Inter-RAT ANR features for GERAN (including the case of (NG)EN-DC wherein MN and SN have the same DRX cycle and on-duration configured by MN completely contains on-duration configured by SN) including: - Inter-RAT periodical measurement reporting where triggerType is set to periodical and purpose is set to reportStrongestCells - Inter-RAT periodical measurement reporting where triggerType is set to periodical and purpose is set to periodical and purpose is set to periodical and purpose is set to reportCGI	- can only be set to 1 if the UE has set bit number 5 and bit number 23 to 1.		Yes
35	Inter-RAT ANR features for 1xRTT (including the case of (NG)EN-DC wherein MN and SN have the same DRX cycle and on-duration configured by MN completely contains on-duration configured by SN) including: - Inter-RAT periodical measurement reporting where triggerType is set to periodical and purpose is set to reportStrongestCellsForSON - Inter-RAT periodical measurement reporting where triggerType is set to periodical and purpose is set to periodical and purpose is set to reportCGI	- can only be set to 1 if the UE has set bit number 5 and bit number 24 to 1.		Yes
36	Inter-RAT ANR features for HRPD (including the case of (NG)EN-DC wherein MN and SN have the same DRX cycle and on-duration configured by MN completely contains on-duration configured by SN) including: - Inter-RAT periodical measurement reporting where triggerType is set to periodical and purpose is set to reportStrongestCellsForSON - Inter-RAT periodical measurement reporting where triggerType is set to periodical and purpose is set to reportCGI	- can only be set to 1 if the UE has set bit number 5 and bit number 26 to 1.		Yes

37	Inter-RAT ANR features for UTRAN	- can only be set to 1		Yes
	TDD (including the case of (NG)EN-DC	if the UE has set bit		
	wherein MN and SN have the same	number 5 and at least		
	DRX cycle and on-duration configured	one of the bit number		
	by MN completely contains on-duration	22 (for UEs		
	configured by SN) including:	supporting only UTRA		
	- Inter-RAT periodical measurement	TDD) or the bit number 39 to 1.		
	reporting where triggerType is set to periodical and purpose is set to	number 39 to 1.		
	reportStrongestCellsForSON			
	- Inter-RAT periodical measurement			
	reporting where <i>triggerType</i> is set to			
	periodical and purpose is set to			
	reportCGI			
38	- EUTRA RRC_CONNECTED to UTRA	- can only be set to 1		Yes
	TDD CELL_DCH PS handover, if the	if the UE has set bit		
	UE supports both UTRAN FDD and	number 39 to 1		
	UTRAN TDD			
39	- UTRAN TDD measurements, reporting	- If a category M1 or		Yes
	and measurement reporting event B2 in	M2 UE does not		
	E-UTRA connected mode, if the UE	support this feature		
	supports both UTRAN FDD and UTRAN	group, this bit shall be		
40	TDD	set to 0 related to SR-VCC		Yes
40	- EUTRA RRC_CONNECTED to UTRA TDD CELL_DCH CS handover, if the	- related to SR-VCC - can only be set to 1		res
	UE supports both UTRAN FDD and	if the UE has set bit		
	UTRAN TDD	number 38 to 1		
41	Measurement reporting event: Event B1	- If a category M1 or	Yes for FDD, unless UE	Yes
	Neighbour > threshold for UTRAN	M2 UE does not	has set bit number 15 to	. 00
	FDD, if the UE supports UTRAN FDD	support this feature	1	
	and has set bit number 22 to 1	group, this bit shall be		
		set to 0.		
42	- DCI format 3a (TPC commands for	- If a category M1 or		Yes
	PUCCH and PUSCH with single bit	M2UE supports this		
	power adjustments)	feature group, this bit		
		shall be set to 1. For a UE of all other		
		categories, this bit		
		shall be set to 0.		
43	Undefined	57.4.1. 55 551 15 5.		
44	Undefined			
45	Undefined			
46	Undefined			
47	Undefined			
48	Undefined			
49	Undefined			
50 51	Undefined Undefined			
52	Undefined			
53	Undefined			
54	Undefined			
55	Undefined			
56	Undefined			
57	Undefined			
58	Undefined			
59	Undefined			
60	Undefined			
61	Undefined			
62	Undefined			
63	Undefined			
64	Undefined			

NOTE: The column FDD/ TDD diff indicates if the UE is allowed to signal different values for FDD and TDD. Annex E specifies for which TDD and FDD serving cells a UE supporting TDD/FDD CA shall support a feature for which it indicates support within the FGI signalling.

#### Clarification for mobility from EUTRAN and inter-frequency handover within EUTRAN

There are several feature groups related to mobility from E-UTRAN and inter-frequency handover within EUTRAN. The description of these features is based on the assumption that we have 5 main "functions" related to mobility from E-UTRAN:

- A. Support of measurements and cell reselection procedure in idle mode
- B. Support of RRC release with redirection procedure in connected mode
- C. Support of Network Assisted Cell Change in connected mode
- D. Support of measurements and reporting in connected mode
- E. Support of handover procedure in connected mode

All functions can be applied for mobility to Inter-frequency to EUTRAN, GERAN, UTRAN, CDMA2000 HRPD and CDMA2000 1xRTT except for function C) which is only applicable for mobility to GERAN. Table B.1-2 below summarises the mobility functions that are supported based on the UE capability signaling (band support) and the setting of the feature group support indicators.

Table B.1-2: Mobility from E-UTRAN

GERAN UTRAN

Feature	GERAN	UTRAN	HRPD	1xRTT	EUTRAN
A. Measurements and cell reselection procedure in E-UTRA idle mode	Supported if GERAN band support is indicated	Supported if UTRAN band support is indicated	Supported if CDMA2000 HRPD band support is indicated	Supported if CDMA2000 1xRTT band support is indicated	Supported for supported bands
B. RRC release with blind redirection procedure in E-UTRA connected mode	Supported if GERAN band support is indicated	Supported if UTRAN band support is indicated	Supported if CDMA2000 HRPD band support is indicated	Supported if CDMA2000 1xRTT band support is indicated	Supported for supported bands
C. Cell Change Order (with or without) Network Assisted Cell Change) in E- UTRA connected mode	Group 10	N.A.	N.A.	N.A.	N.A.
D. Inter-frequency/RAT measurements, reporting and measurement reporting event B2 (for inter-RAT) in E-UTRA connected mode	Group 23	Group 22/39	Group 26	Group 24	Group 25
E. Inter-frequency/RAT handover procedure in E-UTRA connected mode	Group 9 (GSM_connected handover) Separate UE capability bit defined in TS 36.306 [5] for PS handover	Group 8/38 (PS handover) or Group 27/40 (SRVCC handover)	Group 12	Group 11	Group 13 (within FDD TDD) Group 30 (between FD and TDD)

In case measurements and reporting function is not supported by UE, the network may still issue the mobility procedures redirection (B) and CCO (C) in a blind fashion.

## B.2 CSG support

In this release of the protocol, it is mandatory for the UE to support a minimum set of CSG functionality consisting of:

- Identifying whether a cell is CSG or not;
- Ignoring CSG cells in cell selection/reselection.

Additional CSG functionality in AS, i.e. the requirement to detect and camp on CSG cells when the "Permitted CSG list" is available or when manual CSG selection is triggered by the user, are related to the corresponding NAS features. This additional AS functionality consists of:

- Manual CSG selection;
- Autonomous CSG search;
- Implicit priority handling for cell reselection with CSG cells.

It is possible that this additional CSG functionality in AS is not supported or tested in early UE implementations.

Note that since the above AS features relate to idle mode operations, the capability support is not signalled to the network. For these reasons, no "feature group indicator" is assigned to this feature to indicate early support in Rel-8.

## Annex C (normative): Release 10 AS feature handling

## C.1 Feature group indicators

This annex contains the definitions of the bits in field featureGroupIndRel10.

In this release of the protocol, the UE shall include the field *featureGroupIndRel10* in the IE *UE-EUTRA-Capability-v1020-IEs*. All the functionalities defined within the field *featureGroupIndRel10* defined in Table C.1-1 are mandatory for the UE, if the related capability (spatial multiplexing in UL, PDSCH transmission mode 9, carrier aggregation, handover to EUTRA, or RAT) is also supported. For a specific indicator, if all functionalities for a feature group listed in Table C.1-1 have been implemented and tested, the UE shall set the indicator as one (1), else (i.e. if any one of the functionalities in a feature group listed in Table C.1-1 have not been implemented or tested), the UE shall set the indicator as zero (0).

The UE shall set all indicators that correspond to RATs not supported by the UE as zero (0).

The UE shall set all indicators, which do not have a definition in Table C.1-1, as zero (0).

If the optional field *featureGroupIndRel10* is not included by a UE of a future release, the network may assume that all features, listed in Table C.1-1 and deployed in the network, have been implemented and tested by the UE.

The indexing in Table C.1-1 starts from index 101, which is the leftmost bit in the field featureGroupIndRel10.

Table C.1-1: Definitions of feature group indicators

Index of indicator	Definition (description of the supported functionality, if indicator set to one)	Notes	If indicated "Yes" the feature shall be implemented and successfully tested for this version of the specification	FDD/ TDD diff
101 (leftmost bit)	- DMRS with OCC (orthogonal cover code) and SGH (sequence group hopping) disabling	- if the UE supports two or more layers for spatial multiplexing in UL, this bit shall be set to 1 If a category 0 or 1bis UE does not support this feature, this bit shall be set to 0.		No
102	- Trigger type 1 SRS (aperiodic SRS) transmission (Up to X ports) NOTE: X = number of supported layers on given band			Yes
103	- PDSCH transmission mode 9 when up to 4 CSI reference signal ports are configured and when not operating in CE mode	- for Category 8 UEs, this bit shall be set to 1 for Category 11 and higher UEs, this bit shall be set to 1 for DL Category 11 and higher UEs (except for DL Category 13), this bit shall be set to 1.	Yes for the UE categories listed in the column "Notes"	Yes

104	- PDSCH transmission mode 9 for TDD when 8 CSI reference signal ports are configured and when not operating in CE mode	- if the UE does not support TDD, this bit is irrelevant, and shall be set to 0 this bit is not applicable to FDD (capability signalling exists for FDD for this feature) for Category 8 UEs, this bit shall be set to 1 for Category 11 and higher UEs, this bit shall be set to 1 for DL Category 11 and higher UEs (except for DL Category 13), this bit shall be set to 1.	Yes for TDD, for the UE categories listed in the column "Notes"	No
105	- Periodic CQI/PMI/RI reporting on PUCCH: Mode 2-0 – UE selected subband CQI without PMI, when PDSCH transmission mode 9 is configured - Periodic CQI/PMI/RI reporting on PUCCH: Mode 2-1 – UE selected subband CQI with single PMI, when PDSCH transmission mode 9 and up to 4 CSI reference signal ports are configured	- this bit can be set to 1 only if indices 2 (Table B.1-1) and 103 are set to 1 For UEs capable of TDD-FDD CA, this bit can be set to 1 for both FDD and TDD if index 2 is set to 1 for both FDD and TDD, and index 103 is set to 1 for at least one of FDD and TDD duplex modes.		Yes

400	Davidadia OOL/DMI/DU/DTI	Albie leis een le constant	\/
106	- Periodic CQI/PMI/RI/PTI reporting on PUCCH: Mode 2-1 – UE selected subband CQI with single PMI, when PDSCH transmission mode 9 and 8 CSI reference signal ports are configured	- this bit can be set to 1 only if the UE supports PDSCH transmission mode 9 with 8 CSI reference signal ports (i.e., for TDD, if index 104 is set to 1, and for FDD, if tm9-With-8Tx-FDD-r10 is set to 'supported') and if index 2 (Table B.1-1) is set to 1 For UEs capable of TDD-FDD CA, this bit can be set	Yes
		to 1 for both FDD and TDD if at least one of index 104 and tm9-With-8Tx-FDD-r10 is set to 1/'supported', and if index 2 is set to 1 for both FDD and TDD.	
107	- Aperiodic CQI/PMI/RI reporting on PUSCH: Mode 2-0 – UE selected subband CQI without PMI, when PDSCH transmission mode 9 is configured - Aperiodic CQI/PMI/RI reporting on PUSCH: Mode 2-2 – UE selected subband CQI with multiple PMI, when PDSCH transmission mode 9 and up to 4 CSI reference signal ports are configured	- this bit can be set to 1 only if indices 1 (Table B.1-1) and 103 are set to 1 For UEs capable of TDD-FDD CA, this bit can be set to 1 for both FDD and TDD if index 1 is set to 1 for both FDD and TDD, and index 103 is set to 1 for at least one of FDD and TDD duplex modes.	Yes
108	- Aperiodic CQI/PMI/RI reporting on PUSCH: Mode 2-2 – UE selected subband CQI with multiple PMI, when PDSCH transmission mode 9 and 8 CSI reference signal ports are configured	- this bit can be set to 1 only if the UE supports PDSCH transmission mode 9 with 8 CSI reference signal ports (i.e., for TDD, if index 104 is set to 1, and for FDD, if tm9-With-8Tx-FDD-r10 is set to 'supported') and if index 1 (Table B.1-1) is set to 1 For UEs capable of TDD-FDD CA, this bit can be set to 1 for both FDD and TDD if at least one of index 104 and tm9-With-8Tx-FDD-r10 is set to 1/'supported', and if index 1 is set to 1 for both FDD and TDD.	Yes
109	- Periodic CQI/PMI/RI reporting on PUCCH Mode 1-1, submode 1	- this bit can be set to 1 only if the UE supports PDSCH transmission mode 9 with 8 CSI reference signal ports (i.e., for TDD, if index 104 is set to 1, and for FDD, if tm9-With-8Tx-FDD-r10 is set to 'supported') For UEs capable of TDD-FDD CA, this bit can be set to 1 for both FDD and TDD if at least one of index 104 and tm9-With-8Tx-FDD-r10 is set to 1/supported'.	Yes

440	Davis dia COL/DMI/DI san antina	this hit as a base of the Asaba	1	V
110	- Periodic CQI/PMI/RI reporting on	- this bit can be set to 1 only		Yes
	PUCCH Mode 1-1, submode 2	if the UE supports PDSCH		
		transmission mode 9 with 8		
		CSI reference signal ports		
		(i.e., for TDD, if index 104 is		
		set to 1, and for FDD, if tm9-		
		With-8Tx-FDD-r10 is set to		
		'supported').		
		- For UEs capable of TDD-		
		FDD CA, this bit can be set to 1 for both FDD and TDD if		
		at least one of index 104 and		
		tm9-With-8Tx-FDD-r10 is set		
		to 1/'supported'.		
111	- Measurement reporting trigger Event	- this bit can be set to 1 only		Yes
' ' '	A6	if the UE supports carrier		163
	710	aggregation.		
112	- SCell addition within the handover to	- this bit can be set to 1 only		Yes
112	EUTRA procedure	if the UE supports carrier		163
	Lo Trox procedure	aggregation and the		
		handover to EUTRA		
		procedure.		
113	- Trigger type 0 SRS (periodic SRS)	- this bit can be set to 1 only		Yes
1.0	transmission on X Serving Cells	if the UE supports carrier		
	manoning cond	aggregation in UL.		
	NOTE: X = number of supported	999		
	component carriers in a given band			
	combination			
114	- Reporting of both UTRA CPICH	- this bit can be set to 1 only		No
	RSCP and Ec/N0 in a Measurement	if index 22 (Table B.1-1) is		
	Report	set to 1.		
115	- time domain ICIC RLM/RRM	- If a category M1 or M2 UE		Yes
	measurement subframe restriction for	does not support this feature		
	the serving cell	group, this bit shall be set to		
	- time domain ICIC RRM measurement	0.		
	subframe restriction for neighbour cells			
	- time domain ICIC CSI measurement			
	subframe restriction			
116	- Relative transmit phase continuity for	- this bit can be set to 1 only		Yes
	spatial multiplexing in UL	if the UE supports two or		
		more layers for spatial		
		multiplexing in UL.		
117	Undefined			
118	Undefined			
119	Undefined			
120	Undefined			
121	Undefined			
122	Undefined			
123	Undefined			
124	Undefined			
125	Undefined			
126	Undefined			
127	Undefined			
128	Undefined			
129	Undefined			
130	Undefined			
131	Undefined			
132	Undefined		1	<u> </u>

NOTE: The column FDD/ TDD diff indicates if the UE is allowed to signal different values for FDD and TDD. Annex E specifies for which TDD and FDD serving cells a UE supporting TDD/FDD CA shall support a feature for which it indicates support within the FGI signalling.

## Annex D (informative): Descriptive background information

# D.1 Signalling of Multiple Frequency Band Indicators (Multiple FBI)

## D.1.1 Mapping between frequency band indicator and multiple frequency band indicator

This clause describes the use of the Multiple Frequency Band Indicator (MFBI) lists and the E-UTRA frequency bands in *SystemInformationBlockType1* by means of an example as shown in Figure D.1.1-1. In this example:

- E-UTRAN cell belongs to band B90 and also bands B6, B7, B91, and B92.
- The freqBandIndicatorPriority field is not present in SystemInformationBlockType1.
- E-UTRAN uses B64 to indicate the presence of B90 in freqBandIndicator-v9e0.
- For the MFBI list of this cell, E-UTRAN uses B64 in *MultiBandInfoList* to indicate the position and priority of the bands in *MultiBandInfoList-v9e0*.
- The UE, after reading *SystemInformationBlockType1*, generates an MFBI list with priority of B91, B6, B92, and B7. If the UE supports the frequency band in the *freqBandIndicator-v9e0* IE it applies that frequency band. Otherwise, the UE applies the first listed band in the MFBI list which it supports.

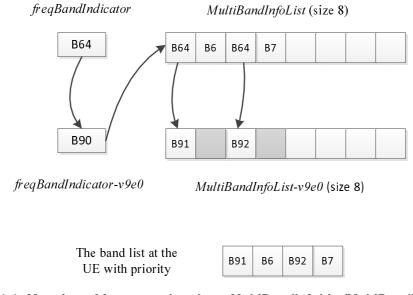


Figure D.1.1-1: Mapping of frequency bands to MultiBandInfoList/MultiBandInfoList-v9e0

## D.1.2 Mapping between inter-frequency neighbour list and multiple frequency band indicator

This clause describes the use of the Multiple Frequency Band Indicator (MFBI) lists and the E-UTRA frequencies signalled in *SystemInformationBlockType5* by means of an example as shown in Figure D.1.2-1. In this example:

- E-UTRAN includes 4 frequencies (EARFCNs): the bands associated with f1 and f4 belong to bands lower than 64; the bands associated with f2 and f3 belong to bands larger than 64. The reserved EARFCN value of 65535 is used to indicate the presence of *ARFCN-ValueEUTRA-v9e0*.

- The band associated with f1 has two overlapping bands, B1 and B2 (lower than 64); the band associated with f2 has one overlapping band, B91; the band associated with f3 has four overlapping bands B3, B4, B92, and B93; the band associated with f4 does not have overlapping bands.
- E-UTRAN includes 4 lists in both *interFreqCarrierFreqList-v8h0* and *interFreqCarrierFreqList-v9e0* and ensure the order of the lists is matching. Each list corresponds to one EARFCN and contains up to 8 bands. The first list corresponds to f1, the second list corresponds to f2, and so on. The grey lists mean not including *MultiBandInfoList* or *MultiBandInfoList-v9e0*, i.e. the corresponding EARFCN does not have any overlapping frequency bands in *MultiBandInfoList* or *MultiBandInfoList-v9e0*.

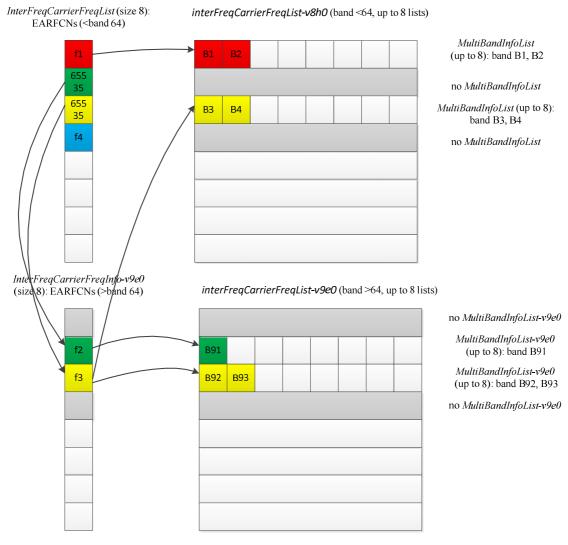


Figure D.1.2-1: Mapping of EARFCNs to MultiBandInfoList/MultiBandInfoList-v9e0

## D.1.3 Mapping between UTRA FDD frequency list and multiple frequency band indicator

This clause describes the use of the Multiple Frequency Band Indicator (MFBI) lists and the UTRA FDD frequencies signalled in *SystemInformationBlockType6* by means of an example as shown in Figure D.1.3-1. In this example:

- E-UTRAN includes 4 UTRA FDD frequencies (UARFCNs).
- The bands associated with f1 and f4 have no overlapping bands. The band associated with f2 has two overlapping bands, B1 and B2. The band associated with f3 has one overlapping band, B3.
- E-UTRAN includes 4 lists in *carrierFreqListUTRA-FDD-v8h0* with the first and fourth entry not including *MultiBandInfoList*.

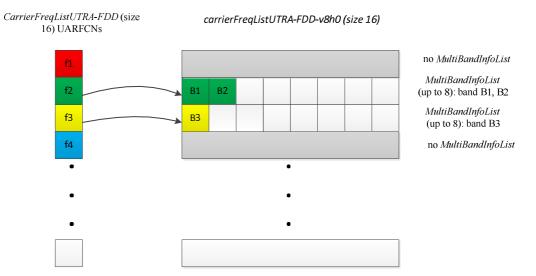


Figure D.1.3-1: Mapping of UARFCNs to MultiBandInfoList

# Annex E (normative): TDD/FDD differentiation of FGIs/capabilities in TDD-FDD CA

Annex E specifies for which TDD and FDD serving cells a UE supporting TDD/FDD CA shall support a feature/capability for which it indicates support within the FGI/capability signalling.

A UE that indicates support for TDD/ FDD CA:

- For the fields for which the UE is allowed to indicate different support for FDD and TDD, the UE shall support the feature on the PCell and/or SCell(s), as specified in tables E-1, E-2 and E-3 in accordance to the following rules:
  - PCell: the UE shall support the feature for the PCell, if the UE indicates support of the feature for the PCell duplex mode;
  - SCell: the UE shall support the feature for SCell(s), if the UE indicates support of the feature for the SCell duplex mode;
  - Per serving cell: the UE shall support the feature for a serving cell if the UE indicates support of the feature for the serving cell's duplex mode;
  - All serving cells: UE shall support the feature if the UE indicates support of the feature for both TDD and FDD duplex modes;
- For the fields where the UE is not allowed to indicate different support for FDD and TDD, the UE shall support the feature for PCell and SCell(s) if the UE indicates support of the feature via the common FGI/capability bit.

Table E-1: Rel-8/9 FGIs for which FDD/TDD differentiation is allowed (from Annex B)

Index of	Classification
indicator	
1	Per serving cell
2	All serving cells
	All serving cells
8	PCell
9	PCell
10	PCell
11	PCell
12	PCell
15	PCell
19	PCell
22	PCell
23	PCell
24	PCell
26	PCell
27	PCell
28	PCell
29	PCell
33	PCell
34	PCell
35	PCell
36	PCell
37	PCell
38	PCell
39	PCell
40	PCell
41	PCell

Table E-2: Rel-10 FGIs for which FDD/TDD differentiation is allowed (from Annex C)

Index of indicator	Classification
102	Per serving cell
103	Per serving cell
105	All serving cells
106	All serving cells
107	All serving cells
108	All serving cells
109	All serving cells
110	All serving cells
111	SCell
112	PCell
113	Per serving cell
115	PCell
116	Per serving cell

Table E-3: Rel-12 UE-EUTRA capabilities for which FDD/TDD differentiation is allowed

UE-EUTRA-Capability	Classification
crossCarrierScheduling	All serving cells
e-CSFB-1XRTT	PCell
e-CSFB-ConcPS-Mob1XRTT	PCell
e-CSFB-dual-1XRTT	PCell
ePDCCH	Per serving cell
e-RedirectionUTRA	PCell
e-RedirectionUTRA-TDD	PCell
inDeviceCoexInd	All serving cells
interFreqRSTD-Measurement	PCell
interFreqSI-AcquisitionForHO	PCell
interRAT-PS-HO-ToGERAN	PCell
intraFreqSI-AcquisitionForHO	PCell
mbms-Scell	SCell
mbms-NonServingCell	SCell
multiACK-CSIreporting	PCell
multiClusterPUSCH-WithinCC	Per serving cell
otdoa-UE-Assisted	PCell
pmi-Disabling	Per serving cell
rsrqMeasWideband	Per serving cell
simultaneousPUCCH-PUSCH	All serving cells
ss-CCH-InterfHandl	PCell
txDiv-PUCCH1b-ChSelect	PCell
ue-TxAntennaSelectionSupported	All serving cells
utran-SI-AcquisitionForHO	PCell

# Annex F (normative): UE requirements on ASN.1 comprehension

This clause specifies UE requirements regarding the ASN.1 transfer syntax support i.e. the ASN.1 definitions to be comprehended by the UE.

A UE that indicates release X in field *accessStratumRelease* shall comprehend the entire transfer syntax (ASN.1) of release X, in particular at least the first version upon ASN.1 freeze. The UE is however not required to support dedicated signalling related transfer syntax associated with optional features it does not support.

In case a UE that indicates release X in field *accessStratumRelease* supports a feature specified in release X+ N (i.e. early UE implementation) additional requirements apply.

#### Cricitical extensions (dedicated signaling)

If the early implemented feature involves one or more critical extensions (i.e. case of dedicated signaling), the UE shall comprehend the parts of the transfer syntax (ASN.1) of release X+N that are related to the feature implemented early. This in particular concerns the ASN.1 parts related to configuration of the feature. The UE obviously also has to support the ASN.1 parts related to indicating support of the feature (in UE capabilities).

If configuration of an early implemented feature introduced in release X+ N involves a message or field that has been critically extended, the UE shall support configuration of all features supported by the UE that are associated with subfields of this critical extension. Apart from the early implemented feature(s), the UE need however not support functionality beyond what is defined in the release the UE indicates in access stratum release.

Let's consider the example of a UE indicating value X in field *accessStratumRelease* that supports the features associated with fields A1, A3 and A5 of *InformationElementA* (see ASN.1 below). The feature implemented early is associated with field A5, and can only be configured by the –rX+N version of *InformationElementA*. In such case, the UE should support configuration of the features associated with fields A1, A3 and A5 by the –rX+N version of *InformationElementA*. If however one of the features was modified, e.g. the feature associated with *fieldA3*, E-UTRAN should assume the UE only supports the feature according to the release it indicated in field *accessStratumRelease* (X). I.e. UE is neither required to support the additional code-point (n80-vX+N0) nor the additional sub-field (fieldA3a).

```
InformationElementA-rX ::=
                              SEQUENCE {
                                                                      OPTIONAL,
    fieldA1-rX
                                   InformationElementA1-rX
                                                                                   -- Need ON
                                                                      OPTIONAL,
                                                                                  -- Need OR
    fieldA2-rX
                                   InformationElementA2-rX
    fieldA3-rX
                                   InformationElementA3-rX
                                                                      OPTIONAL
                                                                                  -- Need OR
InformationElementA-rX+N ::= SEQUENCE {
    fieldA1-rX+N
                                   InformationElementA1-rX
                                                                      OPTIONAL,
                                                                                   -- Need ON
    fieldA2-rX+N
                                                                      OPTIONAL,
                                                                                  -- Need OR
                                   InformationElementA2-rX
    fieldA3-rX+N
                                   InformationElementA3-rX+N
                                                                      OPTIONAL,
                                                                                   -- Need OR
                                                                      OPTIONAL,
                                                                                  -- Need OR
    fieldA4-rX+N
                                   InformationElementA4-rX+N
    fieldA5-rX+N
                                   InformationElementA5-rX+N
                                                                      OPTIONAL
                                                                                   -- Need OR
InformationElementA3-rX+N ::= SEQUENCE {
                              InformationElementAla-rX
    fieldAla-rX+N
                                                                      OPTIONAL,
                                                                                   -- Need ON
    fieldA2a-rX+N
                                   ENUMERATED {n10, n20, n40,
                                      n80-vX+N0}
                                                                      OPTIONAL,
                                                                                   -- Need OR
    fieldA3a-rX+N
                                                                                  -- Need OR
                                   InformationElementA3a-rX+N
                                                                      OPTIONAL
}
```

### Non-cricitical extensions (broadcast signaling)

If the early implemented feature involves one or more non-critical extensions in broadcast signaling (i.e. system information), the UE shall comprehend the parts of the transfer syntax (ASN.1) of release X+N that are related to the feature implemented early. The SIB(s) containing the release X+N fields related to the early implemented features may also include other extensions concerning releases from X upto X+N. The UE shall comprehend such intermediate fields (but again is not required to support the functionality associated with these intermediate fields, in case this concerns optional features not supported by the UE).

# Annex G (normative): List of CRs Containing Early Implementable Features and Corrections

This annex lists the Change Requests (CRs) whose changes may be implemented by a UE of an earlier release than which the CR was approved in (i.e. CRs that contain on their coversheets the sentence "Implementation of this CR from Rel-N will not cause interoperability issues").

Table G-1: List of CRs Containing Early Implementable Features and Corrections

TDoc Number (RP-xxxxxx): CR Title	CR Number(s)	CR Revision Number(s)	Earliest Implementable Release	Additional Information
RP-181233: Successful acknowledgement of RRCConnectionRelease for BL and CE UE	3324	1	Release 13	RRCConnectionRelease message, for which the poll bit is not set, can be considered succesfully acknowledged when UE has sent HARQ ACK feedback.
RP-182674: CR for T312 on LTE HetNet mobility	3506	5	Release 12	Remove T312 in leaving condition for event trigger.
RP-182671: Corrections on paging monitoring and SI acquisition in RRC_CONNECTED for BL UEs and UEs in CE	3647	2	Release 13	
RP-190548: Update description of ack-NACK-NumRepetitions	3899	2	Release 13	
RP-190548: Corrections of NB-IoT Access Barring	3900	2	Release 13	
RP-191382: SI update notification and access barring in NB-IoT	4020	2	Release 13	
RP-192195 : Correction on handling of SCell(s) during Make Before Break handover	3986	3	Release 14	
RP-192940: Stop using redirectedCarrierOffsetDedicat ed after reselection to another frequency	4144	1	Release 14	
RP-200338: Corrections to T312 and Discovery Signals measurement	4198	1	Release 12	
RP-200367: Correction on H1 and H2 events	4103	2	Release 15	
RP-201166: Allowing PDCP version change without handover	4262	2	Release 15	
RP-201166: upperLayerIndication enhancements	4266	3	Release 15	
RP-201192: Relaxed serving cell measurement for UEs using WUS	4344	-	Release 15	
RP-202780: Corrections to the field descriptions for TDD/FDD capability differentiation, and to nMaxResource value range	4389	5	Release 12	The CR corrects multiple UE capability field descriptions introduced in various releases, the changes are early implementable back to the release in which the corresponding capability was introduced.
RP-202789: Correction on uac-AC1-SelectAssistInfo	4488	2	Release 15	
RP-211481: Clarification on the initiation of RNA update	4651	1	Release 15	
RP-212596: Distinguishing support of extended band n77	4723	2	Release 15	
RP-220472: Introduction of carrier specific NRSRP thresholds for NPRACH resource selection	4777	1	Release 14	
RP-221738: Distinguishing support of band n77 restrictions in Canada	4799	2	Release 15	

RP-232570: Addition of extended number range for NS value	4917	6	Release 16	
NOTE 1: In case a CR has mirr NOTE 2: The Additional Inform be descriptive enough left blank.	ation column bri	efly describes the co	ontent of a CR in ca	ses where the CR title may not al Information column may be

## Annex H (informative): Change history

						Change history	
Date	TSG #	TSG Doc.	CR	R ev	Cat	Subject/Comment	New versio n
12/2007	RP-38	RP-070920	1-			Approved at TSG-RAN #38 and placed under Change Control	8.0.0
03/2008		RP-080163	0001	4		CR to 36.331 with Miscellaneous corrections	8.1.0
03/2008		RP-080164	0002	2		CR to 36.331 to convert RRC to agreed ASN.1 format	8.1.0
05/2008		RP-080361	0003	1		CR to 36.331 on Miscellaneous clarifications/ corrections	8.2.0
09/2008		RP-080693	0005	-		CR on Miscellaneous corrections and clarifications	8.3.0
12/2008		RP-081021	0006	-		Miscellaneous corrections and clarifications	8.4.0
03/2009	RP-43	RP-090131 RP-090131	0007	-		Correction to the Counter Check procedure  CR to 36.331-UE Actions on Receiving SIB11	8.5.0 8.5.0
	RP-43	RP-090131	0009	1		Spare usage on BCCH	8.5.0
	RP-43	RP-090131	0010	† <u>-</u>		Issues in handling optional IE upon absence in GERAN NCL	8.5.0
	RP-43	RP-090131	0011	†-		CR to 36.331 on Removal of useless RLC re-establishment at RB release	8.5.0
	RP-43	RP-090131	0012	1		Clarification to RRC level padding at PCCH and BCCH	8.5.0
	RP-43	RP-090131	0013	-		Removal of Inter-RAT message	8.5.0
	RP-43	RP-090131	0014	-		Padding of the SRB-ID for security input	8.5.0
	RP-43	RP-090131	0015	-		Validity of ETWS SIB	8.5.0
	RP-43	RP-090131	0016	1		Configuration of the Two-Intervals-SPS	8.5.0
	RP-43	RP-090131	0017	-		Corrections on Scaling Factor Values of Qhyst	8.5.0
	RP-43	RP-090131	0018	1		Optionality of srsMaxUppts	8.5.0
	RP-43 RP-43	RP-090131 RP-090131	0019	-  -	-	CR for discussion on field name for common and dedicated IE Corrections to Connected mode mobility	8.5.0 8.5.0
	RP-43	RP-090131	0020	1-	1	Clarification regarding the measurement reporting procedure	8.5.0
	RP-43	RP-090131	0021	1	-	Corrections on s-Measure	8.5.0
	RP-43	RP-090131	0023	1		R1 of CR0023 (R2-091029) on combination of SPS and TTI bundling for	8.5.0
			0020			TDD	0.0.0
	RP-43	RP-090131	0024	-		L3 filtering for path loss measurements	8.5.0
	RP-43	RP-090131	0025	1		S-measure handling for reportCGI	8.5.0
	RP-43	RP-090131	0026	1		Measurement configuration clean up	8.5.0
	RP-43	RP-090131	0027	-		Alignment of measurement quantities for UTRA	8.5.0
	RP-43	RP-090131	0028	-		CR to 36.331 on L1 parameters ranges alignment	8.5.0
	RP-43	RP-090131	0029	-		Default configuration for transmissionMode	8.5.0
	RP-43 RP-43	RP-090131	0030	-		CR to 36.331 on RRC Parameters for MAC, RLC and PDCP	8.5.0
	RP-43	RP-090131 RP-090131	0031 0032	1		CR to 36.331 - Clarification on Configured PRACH Freq Offset  Clarification on TTI bundling configuration	8.5.0 8.5.0
	RP-43	RP-090131	0032	1		Update of R2-091039 on Inter-RAT UE Capability	8.5.0
	RP-43	RP-090133	0033	<del> </del> -		Feature Group Support Indicators	8.5.0
	RP-43	RP-090131	0036	-		Corrections to RLF detection	8.5.0
	RP-43	RP-090131	0037	1-		Indication of Dedicated Priority	8.5.0
	RP-43	RP-090131	0038	2		Security Clean up	8.5.0
	RP-43	RP-090131	0039	-		Correction of TTT value range	8.5.0
	RP-43	RP-090131	0040	-		Correction on CDMA measurement result IE	8.5.0
	RP-43	RP-090131	0041	1		Clarification of Measurement Reporting	8.5.0
	RP-43	RP-090131	0042	-		Spare values in DL and UL Bandwidth in MIB and SIB2	8.5.0
	RP-43	RP-090131	0044	1		Clarifications to System Information Block Type 8	8.5.0
	RP-43 RP-43	RP-090131	0045 0046	-		Reception of ETWS secondary notification	8.5.0
	RP-43	RP-090131 RP-090131	0046	-		Validity time for ETWS message Id and Sequence No CR for Timers and constants values used during handover to E-UTRA	8.5.0 8.5.0
	RP-43	RP-090131	0047	<del> -</del>	1	Inter-RAT Security Clarification	8.5.0
	RP-43	RP-090131	0048	1-		CR to 36.331 on consistent naming of 1xRTT identifiers	8.5.0
	RP-43	RP-090131	0050	1-		Capturing RRC behavior regarding NAS local release	8.5.0
	RP-43	RP-090131	0051	<u> </u>		Report CGI before T321 expiry and UE null reporting	8.5.0
	RP-43	RP-090131	0052	_		System Information and 3 hour validity	8.5.0
	RP-43	RP-090131	0053	1		Inter-Node AS Signalling	8.5.0
	RP-43	RP-090131	0054	-		Set of values for the parameter "messagePowerOffsetGroupB"	8.5.0
	RP-43	RP-090131	0055	-		CR to paging reception for ETWS capable UEs in RRC_CONNECTED	8.5.0
	RP-43	RP-090131	0056	1	-	CR for CSG related items in 36.331	8.5.0
	RP-43	RP-090131	0057	1	-	SRS common configuration	8.5.0
	RP-43	RP-090131	0058	-	1	RRC processing delay	8.5.0
	RP-43 RP-43	RP-090131 RP-090131	0059 0060	3	-	CR for HNB Name Handover to EUTRA delta configuration	8.5.0 8.5.0
	RP-43	RP-090131	0063	-	-	Delivery of Message Identifier and Serial Number to upper layers for ETWS	8.5.0
	RP-43	RP-090131	0066	<del> </del> -		Clarification on the maximum size of cell lists	8.5.0
	RP-43	RP-090131	0067	1-		Missing RRC messages in 'Protection of RRC messages'	8.5.0
	RP-43	RP-090131	0069	1		Clarification on NAS Security Container	8.5.0
	RP-43	RP-090131	0071	<u> </u>		Extension of range of CQI/PMI configuration index	8.5.0
	RP-43	RP-090131	0072	1		Access barring alleviation in RRC connection establishment	8.5.0
	RP-43	RP-090367	0077	6		Corrections to feature group support indicators	8.5.0
	RP-43	RP-090131	0078	-		CR from email discussion to capture DRX and TTT handling	8.5.0
	RP-43	RP-090131	0079	1		Need Code handling on BCCH messages	8.5.0
	RP-43	RP-090131	0800	<u> </u> -		Unification of T300 and T301 and removal of miscallaneous FFSs	8.5.0

	RP-43	RP-090131	0084	1	Proposed CR modifying the code-point definitions of	8.5.0
					neighbourCellConfiguration	
	RP-43	RP-090131	0087	2	Remove Redundant Optionality in SIB8	8.5.0
	RP-43	RP-090131	0089	-	Corrections to the generic error handling	8.5.0
	RP-43	RP-090131	0090	-	Configurability of T301	8.5.0
	RP-43	RP-090131	0091	1	Correction related to TTT	8.5.0
	RP-43	RP-090131	0095	-	CR for 36.331 on SPS-config	8.5.0
	RP-43	RP-090131	0096	2	CR for Deactivation of periodical measurement	8.5.0
	RP-43	RP-090131	0099	2	SMC and reconfiguration	8.5.0
	RP-43	RP-090131	0101	-	TDD handover	8.5.0
	RP-43	RP-090131	0102	-	Corrections to system information acquisition	8.5.0
	RP-43	RP-090131	0106	-	Some Corrections and Clarifications to 36.331	8.5.0
	RP-43	RP-090131	0109	-	Clarification on the Maximum number of ROHC context sessions parameter	8.5.0
	RP-43	RP-090131	0110	-	Transmission of rrm-Config at Inter-RAT Handover	8.5.0
	RP-43	RP-090131	0111	1	Use of SameRefSignalsInNeighbor parameter	8.5.0
	RP-43	RP-090131	0112	-	Default serving cell offset for measurement event A3	8.5.0
	RP-43	RP-090131	0114	- 1	dl-EARFCN missing in HandoverPreparationInformation	8.5.0
	RP-43	RP-090131	0115	-	Cleanup of references to 36.101	8.5.0
	RP-43	RP-090131	0117	-	Correction to the value range of UE-Categories	8.5.0
	RP-43	RP-090131	0122	1	Correction on RRC connection re-establishment	8.5.0
	RP-43	RP-090131	0124	-	Performing Measurements to report CGI for CDMA2000	8.5.0
	RP-43	RP-090131	0125	1-	CDMA2000-SystemTimeInfo in VarMeasurementConfiguration	8.5.0
	RP-43	RP-090131	0126	1_	UE Capability Information for CDMA2000 1xRTT	8.5.0
	RP-43	RP-090131	0127	1_	CDMA2000 related editorial changes	8.5.0
	RP-43	RP-090131	0128	t <u> </u>	Draft CR to 36.331 on State mismatch recovery at re-establishment	8.5.0
	RP-43	RP-090131	0129	1	Draft CR to 36.331 on Renaming of AC barring related IEs	8.5.0
	RP-43	RP-090131	0130	2	Draft CR to 36.331 on Renaming of AC barring related IES  Draft CR to 36.331 on Inheriting of dedicated priorities at inter-RAT	8.5.0
	115-43	171-090131	0130		reselection	0.5.0
<del>                                     </del>	RP-43	RP-090131	0135	<del>                                     </del>	Proposed CR to 36.331 Description alignment for paging parameter, nB	8.5.0
-	RP-43	RP-090131	0139	2	Miscellaneous corrections and clarifications resulting from ASN.1 review	8.5.0
	RP-43	RP-090131	0141	1		8.5.0
					Correction regarding Redirection Information fo GERAN	
	RP-43	RP-090131	0142	-	Further ASN.1 review related issues	8.5.0
	RP-43	RP-090131	0143	-	Periodic measurements	8.5.0
	RP-43	RP-090131	0144	1	Further analysis on code point "OFF" for ri-ConfigIndex	8.5.0
	RP-43	RP-090131	0145	1	Adding and deleting same measurement or configuration in one message	8.5.0
	RP-43	RP-090131	0147	-	Corrections to IE dataCodingScheme in SIB11	8.5.0
	RP-43	RP-090131	0148	-	Clarification on Mobility from E-UTRA	8.5.0
	RP-43	RP-090131	0149	-	36.331 CR related to "not applicable"	8.5.0
	RP-43	RP-090131	0150	1	UE radio capability transfer	8.5.0
	RP-43	RP-090131	0151	-	CR to 36.331 on value of CDMA band classes	8.5.0
	RP-43	RP-090131	0152	-	Corrections to DRB modification	8.5.0
	RP-43	RP-090131	0153	-	Correction to presence condition for pdcp-config	8.5.0
	RP-43	RP-090131	0155	-	TDD HARQ-ACK feedback mode	8.5.0
	RP-43	RP-090275	0157	-	Corrections regarding use of carrierFreq for CDMA (SIB8) and GERAN	8.5.0
					(measObject)	
		RP-090321		1	Sending of GERAN SI/PSI information at Inter-RAT Handover	8.5.0
	RP-43	RP-090339	0158	-	Clarification of CSG support	8.5.0
	RP-44	RP-090516	0159	-	Octet alignment of VarShortMAC-Input	8.6.0
	RP-44	RP-090516	0160	3	Minor corrections to the feature grouping	8.6.0
	RP-44	RP-090516	0161	-	Security clarification	8.6.0
	RP-44	RP-090516	0162	1	Sending of GERAN SI/PSI information at Inter-RAT Handover	8.6.0
	RP-44	RP-090516	0163	1	Correction of UE measurement model	8.6.0
	RP-44	RP-090516	0164	-	Restricting the reconfiguration of UM RLC SN field size	8.6.0
	RP-44	RP-090516	0165	1	36.331 CR on Clarification on cell change order from GERAN to E-UTRAN	8.6.0
	RP-44	RP-090516	0166	-	36.331 CR - Handling of expired TAT and failed D-SR	8.6.0
	RP-44	RP-090516	0167	1	Proposed CR to 36.331 Clarification on mandatory information in AS-Config	8.6.0
	RP-44	RP-090516	0168	2	Miscellaneous small corrections	8.6.0
	RP-44	RP-090516	0173	1- 1	Clarification on the basis of delta signalling	8.6.0
	RP-44	RP-090516	0177	1-	CR on Alignment of CCCH and DCCH handling of missing mandatory field	8.6.0
	RP-44	RP-090516	0180	2	Handling of Measurement Context During HO Preparation	8.6.0
	RP-44	RP-090516	0181	<del> -</del>	Clarification of key-eNodeB-Star in AdditionalReestabInfo	8.6.0
	RP-44	RP-090516	0182	1	UE Capability Transfer	8.6.0
	RP-44	RP-090516	0186	1	Clarification regarding mobility from E-UTRA in-between SMC and	8.6.0
		555510	3,00		SRB2/DRB setup	0.0.0
	RP-44	RP-090516	0188	1	Correction and completion of specification conventions	8.6.0
	RP-44	RP-090516	0195	2	RB combination in feature group indicator	8.6.0
	RP-44	RP-090516	0195	1	CR for need code for fields in mobilityControlInfo	8.6.0
	RP-44 RP-44			+		
	RP-44 RP-44	RP-090497	0197	+	Alignment of pusch-HoppingOffset with 36.211	8.6.0
		RP-090570	0198	+-	Explicit srb-Identity values for SRB1 and SRB2	8.6.0
	RP-44	RP-090516	0199	<del> -</del>	Removing use of defaultValue for mac-MainConfig  Proposed update of the feature grouping	8.6.0
09/2009					I Proposed undate of the feature drouping	8.7.0
		RP-090906	0200	<del>                                     </del>		
	RP-45 RP-45	RP-090906 RP-090906	0200 0201 0202	-	Clarification on measurement object configuration for serving frequency  Correction regarding SRVCC	8.7.0 8.7.0

RP-45   RP-09096   C004		RP-45	RP-090906	0203	I_ I	Indication of DRB Release during HO	8.7.0
RP-45 RP-090906 0205   RE-3 protocol extensions in RRC   R7-000006 0205   R8-2 protocol extensions in RRC   R7-000006 0205   R7-000006 0207   Corrector on Treshold of Measurement Event   R7-000006 0207   Corrector on Treshold of Measurement Event   R7-000006 0207   Corrector on Treshold of Measurement Event   R7-000006 0207   R7-45   R7-000006 0207   S7-000000 0207   S7-0000000 0207   S7-000000 0207   S7-000000 0207   S7-000000 0207   S7-000000 0207   S7-000000 0207   S7-0000000 0207   S7-0000000 0207   S7-0000000 0207   S7-0000000 0207   S7-0000000 0207   S7-000000000000000000000000000000000000					1		
RP-45   RP-090906   0205   REL-9 protocol extensions in RRC connection reconfiguration   8.7.0   RP-45   RP-090906   0207   Correction on Threshold of Measurement Event   8.7.0   RP-45   RP-090906   0207   Correction on Threshold of Measurement Event   8.7.0   RP-45   RP-090906   0217   Correction on Threshold of Measurement Event   8.7.0   RP-45   RP-090906   0218   Coll barring when MasterInformationBlock or SysteminformationBlock   8.7.0   RP-45   RP-090906   0219   RP-45   RP-090906   0219   RP-45   RP-090906   0224   Coll barring when MasterInformationBlock or SysteminformationBlock   8.7.0   RP-45   RP-090906   0224   Coll barring when MasterInformationBlock or SysteminformationBlock   8.7.0   RP-45   RP-090906   0225   Coll barring when MasterInformationBlock or SysteminformationBlock   8.7.0   RP-45   RP-090906   0226   RP-45   RP-090906   0227   RP-46   RP-09090		KF -43	KF-090900	0204	'		0.7.0
RP-45         RP-69906         2007         — In-order delivery of NAS PDUS at RRC connection reconfiguration         3.7.0           RP-45         RP-09906         2010         — Conrection on Threshold of Measurement Event         3.7.0           RP-45         RP-09006         2013         1         Claffication on dedicated resource of RA procedure         3.7.0           RP-45         RP-09007         2013         1         Claffication on dedicated resource of RA procedure         3.7.0           RP-45         RP-09007         2013         1         Claffication on dedicated resource of RA procedure         8.7.0           RP-45         RP-09007         2024         — Control of Mark Mark Mark Mark Mark Mark Mark Mark		PD-15	PP-000006	0205	l_		870
RP-45         RP-690906         2071         Correction on Threshold of Measurement Event         3.7.0           RP-45         RP-690906         2013         1         Cell barring when MasterinfromationBlock of SystemInformationBlock is 3.7.0           RP-45         RP-90006         2021         1         Cell barring when MasterinfromationBlock of SystemInformationBlock is 3.7.0           RP-45         RP-90006         2024         1         Security When Masterinfromation for ETWS           RP-45         RP-90006         2020         1         Intelliging of un papered of non-comprehended frequency bard and emission of requirement for the paper of non-comprehended frequency bard and emission of requirement specific manners of the paper of non-comprehended frequency bard and emission of requirement for paper of the paper of non-comprehended frequency bard and emission of requirement for paper of the paper of non-comprehended frequency bard and emission of requirement for paper of the paper of non-comprehended frequency bard and emission of requirement for paper of the paper of non-comprehended frequency bard and emission of the paper					<u>-</u>		
RP-45 RP-90906 0213   Clarification on dedicated resource of RA procedure   A7.0					-		
RP-45 RP-96906 0213 I missing many statement of the treatment of the treat					-		
RP-45   RP-090915   0218   Security threat with duplicate detection for ETWS   R.7.0   RP-45   RP-090906   0224   Clarification on supported handover types in feature grouping   R.7.0   RP-45   RP-090906   0251   RP-46   RP-090904   0202   RP-45   RP-090906   0252   RP-46   RP-090904   0202   RP-45   RP-090904   0202   RP-46   RP-090904   0204   RP-46   RP-090904   0205   RP-46   RP-090904   02					-		
RP-45   RP-99006   0224   Clarification on supported handover types in feature grouping   8.7.0   RP-45   RP-99006   0251   Indinging of unsupported / non-comprehended frequency band and emission   8.7.0   RP-45   RP-99006   0251   RP-45   RP-99006   0251   RP-45   RP-99008   0222   Introduction of Per-QCI radio link failure timers (option 1)   9.0.0   RP-45   RP-99028   0222   Introduction of Per-QCI radio link failure timers (option 1)   9.0.0   RP-45   RP-99028   0222   Emergency Support Indicator in BCCH   9.0.0   RP-45   RP-99028   0223   Emergency Support Indicator in BCCH   9.0.0   RP-45   RP-99028   0223   Emergency Support Indicator in BCCH   9.0.0   RP-45   RP-99028   0224   REL-9 on Milocetanous solitorial corrections   9.0.0   RP-46   RP-99034   0234   REL-9 on Milocetanous solitorial corrections   9.0.0   RP-46   RP-99034   0234   REL-9 on Milocetanous solitorial corrections   9.0.0   RP-46   RP-99134   0255   REL-9 on Milocetanous solitorial corrections   9.0.0   RP-46   RP-99134   0255   REL-9 on Milocetanous solitorial corrections   9.0.0   RP-46   RP-99134   0255   Alignment of srs-Bandwidth with 38.211   RP-46   RP-99134   0256   Alignment of srs-Bandwidth with 38.211   RP-46   RP-99134   0256   Alignment of srs-Bandwidth with 38.211   RP-46   RP-99134   0256   Capituring agreements on inbound mobility   RP-46   RP-99134   0261   Clarification on Prex   RP-99134   0261   Correction on SPS-Config field descriptions   9.1.0   RP-46   RP-991346   0267   Correction on SPS-Config field descriptions   9.1.0   RP-46   RP-991346   0267   Correction on SPS-Config field descriptions   9.1.0   RP-46   RP-991346   0267   Correction on SPS-Config field descriptions   9.1.0   RP-46   RP-991346   0267   Correction on		RP-45	RP-090906	0213	1		8.7.0
RP-46   RP-09006   0250   1   Handling of unsupported / non-comprehended frequency band and emission   8.7.0		RP-45	RP-090915	0218	-	Security threat with duplicate detection for ETWS	8.7.0
RP-46   RP-09006   0250   1   Handling of unsupported / non-comprehended frequency band and emission   8.7.0		RP-45	RP-090906	0224	-		8.7.0
RP-45   RP-090906   0251   RS combinations in feature group indicator 20   8.7.0				0250	1		
RP-46   RP-090046   0251   .   RB combinations in feature group indicator 20   8.7.0							
		RP-45	RP-090906	0251	-		8.7.0
RP-46   RP-909826   0222   Null integrity protection algorithm   9.0.0	09/2009				1		
RP-45   RP-090926   0223   Emergency Support Indicator in BCCH   RP-495   RP-090934   0234   CR R to 3.03   To Financed CSFB to 1xRTT with concurrent PS handower   9.0.0					1-		
RP-45   RP-909934   0243   2   CR to 36.331 for Enhanced CSFB to 14RTT with concurrent PS handover   9.0.0					1-		
RP-46   RP-090934   0247   Periodic CQ/DPM/RT masking   9.0.0					2		
RP-45   RP-909343   0247   -   Periodic CQI/PMIRI masking   9.0.0					_		
RP-45   RP-090334   0253       Introduction of CMAS							
12/2009   RP-46   RP-091346   0254   1   Rel-9-clarification on the description of redirected/CarrierInfo   9.1.0					-		
RP-46   RP-091346   0256   1	12/2000				-		
RP-46   RP-091314   0256	12/2009						
RP-46   RP-091341   0257   5   Baseline CR capturing eMBMS agreements   9.1.0   RP-46   RP-091343   0258   3   Capturing agreements on inbound mobility   9.1.0   RP-46   RP-091344   0260   Clarification of preRegistrationZoneID/secondaryPreRegistrationZoneID   9.1.0   RP-46   RP-091344   0263   Clarification on PCC for IRAT HO   9.1.0   RP-46   RP-091344   0263   Clarification on PCC for IRAT HO   9.1.0   RP-46   RP-091344   0265   Clarification on Premax   9.1.0   RP-46   RP-091344   0265   Clarification on Premax   9.1.0   RP-46   RP-091346   0266   Correction of q-RxLewMin reference in SiBT   9.1.0   RP-46   RP-091346   0267   Correction of p-RxLewMin reference in SiBT   9.1.0   RP-46   RP-091346   0268   Correction of p-RxLewMin reference in SiBT   9.1.0   RP-46   RP-091345   0269   Correction on PSP-Conflig field descriptions   9.1.0   RP-46   RP-091345   0269   Correction on PSP-Conflig field descriptions   9.1.0   RP-46   RP-091344   0272   Correction relating to CMAS UE capability   9.1.0   RP-46   RP-091344   0272   Correction and completion of extension guidelines   9.1.0   RP-46   RP-091345   0274   Stage 3 correction for CMAS   PSP-091345   0274   Stage 3 correction for CMAS   PSP-091346   0276   Stage 3 correction of CMAS   PSP-091346   0277   Parameters used for enhanced staRTT CS fallback   9.1.0   RP-46   RP-091346   0285   Maximum number of CDMA2000 neighbors in SiB8   9.1.0   RP-46   RP-091346   0285   Maximum number of CDMA2000 neighbors in SiB8   9.1.0   RP-46   RP-091346   0285   Maximum number of CDMA2000 neighbors in SiB8   9.1.0   RP-46   RP-091346   0286   Maximum number of CDMA2000 neighbors in SiB8   9.1.0   RP-46   RP-091346   0286   Maxi	-				1		
RP-46   RP-091341   0258   3   Capturing agreements on inbound mobility   9.1.0   RP-46   RP-091341   0260   Clarification of preRegistration/ZoneID/secondaryPreRegistration/ZoneID   9.1.0   RP-46   RP-091344   0263   Clarification on NCC for IRAT HO   9.1.0   RP-46   RP-091344   0265   Clarification on NCC for IRAT HO   9.1.0   RP-46   RP-091344   0265   Clarification on the definition of maxCeIIMeas   9.1.0   RP-46   RP-091346   0266   Correction of -Rev. Rev. Rev. Rev. Rev. Rev. Rev. Rev.					1-		
RP-46   RP-091346   0260   Clarification of preRegistration/DerecondaryPreRegistration/ZoneID   9.1.0							
RP-46   RP-091314   0265   Clarification on NCC for IRAT HO   9.1.0					3		
RP-46   RP-091314   0263   Clarification on P-max   9,1.0		_			-		
RP-46   RP-091346   0266   Correction of -R-40-Wiln reference in SIBT   9.1.0					-		
RP-46 RP-091346   0266   -     Correction of q-RxLevMin reference in SIBT   9.1.0		RP-46	RP-091314	0263	-	Clarification on P-max	9.1.0
RP-46 RP-091346   0266   -     Correction of q-RxLevMin reference in SIBT   9.1.0				0265	1	Clarification on the definition of maxCellMeas	
RP-46   RP-091346   2267   -		RP-46	RP-091346	0266	-		9.1.0
RP-46   RP-091345   0268   1					-		
RP-46 RP-091345   0269   Correction relating to CMAS UE capability   9.1.0		RP-46			1		
RP-46   RP-091341   0271   1   Feature grouping bit for SRVCC handover   9.1.0					† <u>.</u>		
RP-46   RP-091344   0272					1		
RP-46   RP-091344   0273   RACH optimization Stage-3   9.1.0					1		
RP-46   RP-091346   0274   Stage 3 correction for CMAS   9.1.0					<u> </u>		
RP-46   RP-091346   0276   1   SR prohibit mechanism for UL SPS   9.1.0					-		
RP-46   RP-091346   0277   -   Parameters used for enhanced 1xRTT CS fallback   9.1.0					1		
RP-46   RP-091346   0281   Correction on UTRAN UE Capability transfer   9.1.0							
RP-46   RP-091346   0285					-		
RP-46					-		
RP-46   RP-091346   0297   -					-		
RP-46   RP-091346   0298   -   Remove FFSs from RAN2 specifications   9.1.0					1		
RP-46   RP-091343   0301   1   Renaming Allowed CSG List (36.331 Rel-9)   9.1.0					-		
RP-46					-		
RP-46				0301	1		9.1.0
RP-46		RP-46	RP-091346	0305	-	Re-introduction of message segment discard time	9.1.0
RP-46   RP-091346   0309   1   Support for Dual Radio 1xCSFB   9.1.0		RP-46	RP-091346	0306	1	Application of ASN.1 extension guidelines	9.1.0
RP-46					1		
RP-46   RP-091342   0316   -     CR to 36.331 for Introduction of Dual Layer Transmission   9.1.0					-		
RP-46   RP-091343   0318   1   Draft CR to 36.331 on Network ordered SI reporting   9.1.0					1-		
RP-46					1		
RP-46					<u> </u>		
03/2010         RP-47         RP-100285         0331         -         Clarification of CGI reporting         9.2.0           RP-47         RP-100305         0332         -         Clarification on MCCH change notification         9.2.0           RP-47         RP-100308         0333         -         Clarification on measurement for serving cell only         9.2.0           RP-47         RP-100306         0334         -         Clarification on proximity indication configuration in handover to E-UTRA         9.2.0           RP-47         RP-100308         0335         -         Clarification on radio resource configuration in handover to E-UTRA         9.2.0           RP-47         RP-100308         0336         -         Clarification on UE maximum transmission power         9.2.0           RP-47         RP-100308         0337         -         Correction to field descriptions of UE-EUTRA-Capability         9.2.0           RP-47         RP-100308         0339         -         Correction to MBMS scheduling terminology         9.2.0           RP-47         RP-100308         0339         -         Corrections to SIB8         9.2.0           RP-47         RP-100306         0340         -         CR 36.331 R9 for Unifying SI reading for ANR and inbound mobility         9.2.0           R					1		
RP-47   RP-100305   0332   -   Clarification on MCCH change notification   9.2.0	03/2010				<del>                                     </del>	Clarification of CGI reporting	
RP-47   RP-100308   0333   -   Clarification on measurement for serving cell only   9.2.0	00/2010				+-		
RP-47					<del>                                     </del>		
RP-47   RP-100308   0335   -   Clarification on radio resource configuration in handover to E-UTRA procedure   P.2.0	-				+-		
RP-47   RP-100308   0336   Clarification on UE maximum transmission power   9.2.0	-				-		
RP-47         RP-100308         0336         -         Clarification on UE maximum transmission power         9.2.0           RP-47         RP-100308         0337         -         Correction to field descriptions of UE-EUTRA-Capability         9.2.0           RP-47         RP-100305         0338         -         Correction to MBMS scheduling terminology         9.2.0           RP-47         RP-100308         0339         -         Corrections to SIB8         9.2.0           RP-47         RP-100306         0340         -         CR 36.331 R9 for Unifying SI reading for ANR and inbound mobility         9.2.0           RP-47         RP-100308         0341         1         CR to 36.331 for 1xRTT pre-registration information in SIB8         9.2.0           RP-47         RP-100305         0342         -         CR to 36.331 on corrections for MBMS         9.2.0           RP-47         RP-100306         0343         1         CR to 36.331 on CSG identity reporting         9.2.0           RP-47         RP-100308         0344         2         CR to 36.331 on Optionality of Rel-9 UE features         9.2.0           RP-47         RP-100308         0345         1         CR to 36.331 on Service Specific Acces Control (SSAC)         9.2.0           RP-47         RP-100308         034		KP-4/	KP-100308	0335	-		9.2.0
RP-47         RP-100308         0337         Correction to field descriptions of UE-EUTRA-Capability         9.2.0           RP-47         RP-100305         0338         Correction to MBMS scheduling terminology         9.2.0           RP-47         RP-100308         0339         Corrections to SIB8         9.2.0           RP-47         RP-100306         0340         CR 36.331 R9 for Unifying SI reading for ANR and inbound mobility         9.2.0           RP-47         RP-100308         0341         CR to 36.331 for 1xRTT pre-registration information in SIB8         9.2.0           RP-47         RP-100305         0342         CR to 36.331 on corrections for MBMS         9.2.0           RP-47         RP-100306         0343         CR to 36.331 on CSG identity reporting         9.2.0           RP-47         RP-100308         0344         CR to 36.331 on Optionality of Rel-9 UE features         9.2.0           RP-47         RP-100308         0345         CR to 36.331 on Service Specific Acces Control (SSAC)         9.2.0           RP-47         RP-100308         0346         Introduction of power-limited device indication in UE capability.         9.2.0           RP-47         RP-100305         0347         Missing agreement in MCCH change notification.         9.2.0	-	DD 17	DD 400000	0000	++-		0.0.0
RP-47         RP-100305         0338         -         Correction to MBMS scheduling terminology         9.2.0           RP-47         RP-100308         0339         -         Corrections to SIB8         9.2.0           RP-47         RP-100306         0340         -         CR 36.331 R9 for Unifying SI reading for ANR and inbound mobility         9.2.0           RP-47         RP-100308         0341         1         CR to 36.331 for 1xRTT pre-registration information in SIB8         9.2.0           RP-47         RP-100305         0342         -         CR to 36.331 on corrections for MBMS         9.2.0           RP-47         RP-100306         0343         1         CR to 36.331 on CSG identity reporting         9.2.0           RP-47         RP-100308         0344         2         CR to 36.331 on Optionality of Rel-9 UE features         9.2.0           RP-47         RP-100308         0345         1         CR to 36.331 on Service Specific Acces Control (SSAC)         9.2.0           RP-47         RP-100308         0346         -         Introduction of power-limited device indication in UE capability.         9.2.0           RP-47         RP-100305         0347         -         Missing agreement in MCCH change notification.         9.2.0					-		
RP-47         RP-100308         0339         -         Corrections to SIB8         9.2.0           RP-47         RP-100306         0340         -         CR 36.331 R9 for Unifying SI reading for ANR and inbound mobility         9.2.0           RP-47         RP-100308         0341         1         CR to 36.331 for 1xRTT pre-registration information in SIB8         9.2.0           RP-47         RP-100305         0342         -         CR to 36.331 on corrections for MBMS         9.2.0           RP-47         RP-100306         0343         1         CR to 36.331 on CSG identity reporting         9.2.0           RP-47         RP-100308         0344         2         CR to 36.331 on Optionality of Rel-9 UE features         9.2.0           RP-47         RP-100308         0345         1         CR to 36.331 on Service Specific Acces Control (SSAC)         9.2.0           RP-47         RP-100308         0346         -         Introduction of power-limited device indication in UE capability.         9.2.0           RP-47         RP-100305         0347         -         Missing agreement in MCCH change notification.         9.2.0					-		
RP-47         RP-100306         0340         -         CR 36.331 R9 for Unifying SI reading for ANR and inbound mobility         9.2.0           RP-47         RP-100308         0341         1         CR to 36.331 for 1xRTT pre-registration information in SIB8         9.2.0           RP-47         RP-100305         0342         -         CR to 36.331 on corrections for MBMS         9.2.0           RP-47         RP-100306         0343         1         CR to 36.331 on CSG identity reporting         9.2.0           RP-47         RP-100308         0344         2         CR to 36.331 on Optionality of Rel-9 UE features         9.2.0           RP-47         RP-100308         0345         1         CR to 36.331 on Service Specific Acces Control (SSAC)         9.2.0           RP-47         RP-100308         0346         -         Introduction of power-limited device indication in UE capability.         9.2.0           RP-47         RP-100305         0347         -         Missing agreement in MCCH change notification.         9.2.0					-		
RP-47         RP-100308         0341         1         CR to 36.331 for 1xRTT pre-registration information in SIB8         9.2.0           RP-47         RP-100305         0342         -         CR to 36.331 on corrections for MBMS         9.2.0           RP-47         RP-100306         0343         1         CR to 36.331 on CSG identity reporting         9.2.0           RP-47         RP-100308         0344         2         CR to 36.331 on Optionality of Rel-9 UE features         9.2.0           RP-47         RP-100308         0345         1         CR to 36.331 on Service Specific Acces Control (SSAC)         9.2.0           RP-47         RP-100308         0346         -         Introduction of power-limited device indication in UE capability.         9.2.0           RP-47         RP-100305         0347         -         Missing agreement in MCCH change notification.         9.2.0					-		
RP-47         RP-100305         0342         -         CR to 36.331 on corrections for MBMS         9.2.0           RP-47         RP-100306         0343         1         CR to 36.331 on CSG identity reporting         9.2.0           RP-47         RP-100308         0344         2         CR to 36.331 on Optionality of Rel-9 UE features         9.2.0           RP-47         RP-100308         0345         1         CR to 36.331 on Service Specific Acces Control (SSAC)         9.2.0           RP-47         RP-100308         0346         -         Introduction of power-limited device indication in UE capability.         9.2.0           RP-47         RP-100305         0347         -         Missing agreement in MCCH change notification.         9.2.0					<u> -                                    </u>		
RP-47         RP-100305         0342         -         CR to 36.331 on corrections for MBMS         9.2.0           RP-47         RP-100306         0343         1         CR to 36.331 on CSG identity reporting         9.2.0           RP-47         RP-100308         0344         2         CR to 36.331 on Optionality of Rel-9 UE features         9.2.0           RP-47         RP-100308         0345         1         CR to 36.331 on Service Specific Acces Control (SSAC)         9.2.0           RP-47         RP-100308         0346         -         Introduction of power-limited device indication in UE capability.         9.2.0           RP-47         RP-100305         0347         -         Missing agreement in MCCH change notification.         9.2.0				0341	1		
RP-47         RP-100306         0343         1         CR to 36.331 on CSG identity reporting         9.2.0           RP-47         RP-100308         0344         2         CR to 36.331 on Optionality of Rel-9 UE features         9.2.0           RP-47         RP-100308         0345         1         CR to 36.331 on Service Specific Acces Control (SSAC)         9.2.0           RP-47         RP-100308         0346         -         Introduction of power-limited device indication in UE capability.         9.2.0           RP-47         RP-100305         0347         -         Missing agreement in MCCH change notification.         9.2.0		RP-47	RP-100305	0342	-	CR to 36.331 on corrections for MBMS	
RP-47         RP-100308         0344         2         CR to 36.331 on Optionality of Rel-9 UE features         9.2.0           RP-47         RP-100308         0345         1         CR to 36.331 on Service Specific Acces Control (SSAC)         9.2.0           RP-47         RP-100308         0346         -         Introduction of power-limited device indication in UE capability.         9.2.0           RP-47         RP-100305         0347         -         Missing agreement in MCCH change notification.         9.2.0				0343	1		
RP-47         RP-100308         0345         1         CR to 36.331 on Service Specific Acces Control (SSAC)         9.2.0           RP-47         RP-100308         0346         -         Introduction of power-limited device indication in UE capability.         9.2.0           RP-47         RP-100305         0347         -         Missing agreement in MCCH change notification.         9.2.0					2		
RP-47 RP-100308 0346 - Introduction of power-limited device indication in UE capability. 9.2.0 RP-47 RP-100305 0347 - Missing agreement in MCCH change notification. 9.2.0					1		
RP-47 RP-100305 0347 - Missing agreement in MCCH change notification. 9.2.0					1-		
					t <u>.</u>		
I TRE-47 TRE-TUD305 T034X TT T TCORRECTIONS related to MCCH change notification and Value ranges 10.20		RP-47	RP-100305	0348	1	Corrections related to MCCH change notification and value ranges	9.2.0

RP-47   RP-100306   0349   2   Prohibit timer for proximity indication   RP-47   RP-100306   0350   1   Proximity Indication after handover and re-establishment   RP-47   RP-100308   0351   - Specifying the exact mapping of notificationIndicator in SIB1   RP-47   RP-100308   0352   - Corrections out of ASN.1 review scope   RP-47   RP-100308   0353   - CR on clarification of system information change   RP-47   RP-100285   0358   - Measurement Result CDMA2000 Cell   RP-47   RP-100305   0361   - Correction on the range of UE Rx-Tx time difference measured   RP-47   RP-100305   0362   - Small clarifications regarding MBMS   RP-47   RP-100308   0363   - Introduction of REL-9 indication within field accessStratume   RP-47   RP-100308   0364   - Extending mobility description to cover inbound mobility   RP-47   RP-100308   0365   1   Clarification regarding enhanced CSFB to 1XRTT   RP-47   RP-100308   0365   1   Clarification regarding enhanced CSFB to 1XRTT   RP-47   RP-100305   0370   1   Clarification on UE's behavior of receiving MBMS service   RP-47   RP-100305   0371   - MBMS Service   D and Session   D and Session   D and Session   D and Session   Clarification   RP-47   RP-100308   0375   1   Inclusion of non-MBSFN region length in SIB13   RP-47   RP-100308   0375   1   Inclusion of non-MBSFN region length in SIB13   RP-47   RP-100308   0376   1   CR to 36.331 for e1xCSFB access class barring parameters   RP-47   RP-100308   0376   1   Inclusion of non-MBSFN region length in SIB13   RP-47   RP-100308   0376   1   Inclusion of non-MBSFN region length in SIB13   RP-47   RP-100308   0376   1   Inclusion of non-MBSFN region length in SIB13   RP-47   RP-100308   0376   1   Inclusion of non-MBSFN region length in SIB13   RP-47   RP-100308   0378   1   Inclusion of non-MBSFN region length in SIB13   RP-47   RP-100308   0378   1   Inclusion of non-MBSFN region length in SIB13   RP-47   RP-100308   0378   1   Inclusion of non-MBSFN region length in SIB13   RP-47   RP-100308   0378   1   Inclusion of non-MBSFN region	ement result elease in SIB8 mmand in SIB8	9.2.0 9.2.0
RP-47	ement result elease in SIB8 mmand in SIB8	9.2.0 9.2.0
RP-47	ement result elease in SIB8 mmand in SIB8	9.2.0 9.2.0
RP-47	ement result elease in SIB8 mmand in SIB8	9.2.0 9.2.0
RP-47	in SIB8 mmand in SIB8	9.2.0 9.2.0
RP-47	ement result elease in SIB8 mmand in SIB8	9.2.0 9.2.0
RP-47	in SIB8 mmand in SIB8	9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0
RP-47	in SIB8 mmand in SIB8 er eNB releases	9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0
RP-47 RP-100306 0364 - Extending mobility description to cover inbound mobility RP-47 RP-100308 0365 1 Clarification regarding enhanced CSFB to 1XRTT RP-47 RP-100308 0368 - Handling of dedicated RLF timers RP-47 RP-100305 0370 1 Clarification on UE's behavior of receiving MBMS service RP-47 RP-100305 0371 - MBMS Service ID and Session ID RP-47 RP-100305 0372 1 Inclusion of non-MBSFN region length in SIB13 RP-47 RP-100309 0374 1 CR to 36.331 for e1xCSFB access class barring parameters RP-47 RP-100308 0375 - Multiple 1xRTT/HRPD target cells in MobilityFromEUTRACo RP-47 RP-100308 0376 - Independent support indicators for Dual-Rx CSFB and S102 RP-47 RP-100308 0379 1 Miscellaneous corrections from REL-9 ASN.1 review RP-47 RP-100308 0381 - Need codes and missing conventions RP-47 RP-100308 0383 1 Introduction of Full Configuration Handover for handling earl RP-47 RP-100308 0385 - Clarification to SFN reference in RRC RP-47 RP-100308 0390 - RSRP and RSRQ based Thresholds RP-47 RP-100308 0399 3 Redirection enhancements to GERAN RP-47 RP-100308 0398 - Cell reselection enhancements CR for 36.331 RP-47 RP-100309 0402 3 CR to 36.331 on Redirection enhancements to UTRAN RP-47 RP-100306 0403 2 Proximity status indication handling at mobility RP-47 RP-100305 0404 - Upper layer aspect of MBSFN area id	in SIB8 mmand in SIB8 er eNB releases	9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0
RP-47   RP-100308   0365   1   Clarification regarding enhanced CSFB to 1XRTT   RP-47   RP-100308   0368   - Handling of dedicated RLF timers   RP-47   RP-100305   0370   1   Clarification on UE's behavior of receiving MBMS service   RP-47   RP-100305   0371   - MBMS Service ID and Session ID   RP-47   RP-100305   0372   1   Inclusion of non-MBSFN region length in SIB13   RP-47   RP-100309   0374   1   CR to 36.331 for e1xCSFB access class barring parameters   RP-47   RP-100308   0375   - Multiple 1xRTT/HRPD target cells in MobilityFromEUTRACO   RP-47   RP-100308   0376   - Independent support indicators for Dual-Rx CSFB and S102   RP-47   RP-100308   0378   - Clarification on DRX StartOffset for TDD   RP-47   RP-100308   0381   - Need codes and missing conventions   RP-47   RP-100308   0385   - Clarification to SFN reference in RRC   RP-47   RP-100308   0385   - Clarification to SFN reference in RRC   RP-47   RP-100308   0390   - RSRP and RSRQ based Thresholds   RP-47   RP-100308   0398   - Cell reselection enhancements to GERAN   RP-47   RP-100309   0402   3   CR to 36.331 on Redirection enhancements to UTRAN   RP-47   RP-100306   0403   2   Proximity status indication handling at mobility   RP-47   RP-100305   0404   - Upper layer aspect of MBSFN area id	in SIB8 mmand in SIB8 er eNB releases	9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0
RP-47	in SIB8 mmand in SIB8 er eNB releases	9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0
RP-47         RP-100305         0370         1         Clarification on UE's behavior of receiving MBMS service           RP-47         RP-100305         0371         -         MBMS Service ID and Session ID           RP-47         RP-100305         0372         1         Inclusion of non-MBSFN region length in SIB13           RP-47         RP-100309         0374         1         CR to 36.331 for e1xCSFB access class barring parameters           RP-47         RP-100308         0375         -         Multiple 1xRTT/HRPD target cells in MobilityFromEUTRACo           RP-47         RP-100308         0376         -         Independent support indicators for Dual-Rx CSFB and S102           RP-47         RP-100308         0378         -         Clarification on DRX StartOffset for TDD           RP-47         RP-100308         0379         1         Miscellaneous corrections from REL-9 ASN.1 review           RP-47         RP-100308         0381         -         Need codes and missing conventions           RP-47         RP-100308         0383         1         Introduction of Full Configuration Handover for handling earl           RP-47         RP-100308         0385         -         Clarification to SFN reference in RRC           RP-47         RP-100308         0390         -         RSRP	in SIB8 mmand in SIB8 er eNB releases	9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0
RP-47	in SIB8 mmand in SIB8 er eNB releases	9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0
RP-47	in SIB8 mmand in SIB8 er eNB releases	9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0
RP-47	in SIB8 mmand in SIB8 er eNB releases	9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0
RP-47         RP-100308         0375         -         Multiple 1xRTT/HRPD target cells in MobilityFromEUTRACo           RP-47         RP-100308         0376         -         Independent support indicators for Dual-Rx CSFB and S102           RP-47         RP-100285         0378         -         Clarification on DRX StartOffset for TDD           RP-47         RP-100308         0379         1         Miscellaneous corrections from REL-9 ASN.1 review           RP-47         RP-100308         0381         -         Need codes and missing conventions           RP-47         RP-100308         0383         1         Introduction of Full Configuration Handover for handling earl           RP-47         RP-100308         0385         -         Clarification to SFN reference in RRC           RP-47         RP-100308         0390         -         RSRP and RSRQ based Thresholds           RP-47         RP-100308         0392         3         Redirection enhancements to GERAN           RP-47         RP-100308         0398         -         Cell reselection enhancements CR for 36.331           RP-47         RP-100307         0401         3         CR to 36.331 on Redirection enhancements to UTRAN           RP-47         RP-100306         0403         2         Proximity status indication handling	mmand in SIB8 er eNB releases	9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0
RP-47         RP-100308         0376         -         Independent support indicators for Dual-Rx CSFB and S102           RP-47         RP-100285         0378         -         Clarification on DRX StartOffset for TDD           RP-47         RP-100308         0379         1         Miscellaneous corrections from REL-9 ASN.1 review           RP-47         RP-100308         0381         -         Need codes and missing conventions           RP-47         RP-100308         0383         1         Introduction of Full Configuration Handover for handling earl           RP-47         RP-100308         0385         -         Clarification to SFN reference in RRC           RP-47         RP-100308         0390         -         RSRP and RSRQ based Thresholds           RP-47         RP-100189         0392         3         Redirection enhancements to GERAN           RP-47         RP-100308         0398         -         Cell reselection enhancements CR for 36.331           RP-47         RP-100309         0401         3         CR to 36.331 on Redirection enhancements to UTRAN           RP-47         RP-100306         0403         2         Proximity status indication handling at mobility           RP-47         RP-100305         0404         -         Upper layer aspect of MBSFN area id	er eNB releases	9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0
RP-47         RP-100308         0376         -         Independent support indicators for Dual-Rx CSFB and S102           RP-47         RP-100285         0378         -         Clarification on DRX StartOffset for TDD           RP-47         RP-100308         0379         1         Miscellaneous corrections from REL-9 ASN.1 review           RP-47         RP-100308         0381         -         Need codes and missing conventions           RP-47         RP-100308         0383         1         Introduction of Full Configuration Handover for handling earl           RP-47         RP-100308         0385         -         Clarification to SFN reference in RRC           RP-47         RP-100308         0390         -         RSRP and RSRQ based Thresholds           RP-47         RP-100189         0392         3         Redirection enhancements to GERAN           RP-47         RP-100308         0398         -         Cell reselection enhancements CR for 36.331           RP-47         RP-100307         0401         3         CR to 36.331 on Redirection enhancements to UTRAN           RP-47         RP-100306         0403         2         Proximity status indication handling at mobility           RP-47         RP-100305         0404         -         Upper layer aspect of MBSFN area id	er eNB releases	9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0
RP-47	er eNB releases	9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0
RP-47         RP-100308         0379         1         Miscellaneous corrections from REL-9 ASN.1 review           RP-47         RP-100308         0381         -         Need codes and missing conventions           RP-47         RP-100308         0383         1         Introduction of Full Configuration Handover for handling earl           RP-47         RP-100308         0385         -         Clarification to SFN reference in RRC           RP-47         RP-100308         0390         -         RSRP and RSRQ based Thresholds           RP-47         RP-100189         0392         3         Redirection enhancements to GERAN           RP-47         RP-100308         0398         -         Cell reselection enhancements CR for 36.331           RP-47         RP-100307         0401         3         CR on UE-originated RLFreporting for MRO SON use case           RP-47         RP-100309         0402         3         CR to 36.331 on Redirection enhancements to UTRAN           RP-47         RP-100306         0403         2         Proximity status indication handling at mobility           RP-47         RP-100305         0404         -         Upper layer aspect of MBSFN area id	er eNB releases	9.2.0 9.2.0 9.2.0 9.2.0 9.2.0 9.2.0
RP-47         RP-100308         0381         -         Need codes and missing conventions           RP-47         RP-100308         0383         1         Introduction of Full Configuration Handover for handling earl           RP-47         RP-100308         0385         -         Clarification to SFN reference in RRC           RP-47         RP-100308         0390         -         RSRP and RSRQ based Thresholds           RP-47         RP-100189         0392         3         Redirection enhancements to GERAN           RP-47         RP-100308         0398         -         Cell reselection enhancements CR for 36.331           RP-47         RP-100307         0401         3         CR on UE-originated RLFreporting for MRO SON use case           RP-47         RP-100309         0402         3         CR to 36.331 on Redirection enhancements to UTRAN           RP-47         RP-100306         0403         2         Proximity status indication handling at mobility           RP-47         RP-100305         0404         -         Upper layer aspect of MBSFN area id	er eNB releases	9.2.0 9.2.0 9.2.0 9.2.0 9.2.0
RP-47	er eNB releases	9.2.0 9.2.0 9.2.0 9.2.0
RP-47		9.2.0 9.2.0 9.2.0
RP-47         RP-100308         0390         -         RSRP and RSRQ based Thresholds           RP-47         RP-100189         0392         3         Redirection enhancements to GERAN           RP-47         RP-100308         0398         -         Cell reselection enhancements CR for 36.331           RP-47         RP-100307         0401         3         CR on UE-originated RLFreporting for MRO SON use case           RP-47         RP-100309         0402         3         CR to 36.331 on Redirection enhancements to UTRAN           RP-47         RP-100306         0403         2         Proximity status indication handling at mobility           RP-47         RP-100305         0404         -         Upper layer aspect of MBSFN area id		9.2.0 9.2.0
RP-47		9.2.0
RP-47		
RP-47 RP-100307 0401 3 CR on UE-originated RLFreporting for MRO SON use case RP-47 RP-100309 0402 3 CR to 36.331 on Redirection enhancements to UTRAN RP-47 RP-100306 0403 2 Proximity status indication handling at mobility RP-47 RP-100305 0404 - Upper layer aspect of MBSFN area id		4/11
RP-47   RP-100309   0402   3   CR to 36.331 on Redirection enhancements to UTRAN   RP-47   RP-100306   0403   2   Proximity status indication handling at mobility   RP-47   RP-100305   0404   - Upper layer aspect of MBSFN area id		9.2.0
RP-47 RP-100306 0403 2   Proximity status indication handling at mobility   RP-47 RP-100305 0404 - Upper layer aspect of MBSFN area id		9.2.0
RP-47 RP-100305 0404 - Upper layer aspect of MBSFN area id	J	9.2.0
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RP-47 RP-100301 0406 - Avoiding interleaving transmission of CMAS notifications		9.2.0
RP-47 RP-100308 0407 1 Introduction of UE GERAN DTM capability indicator		9.2.0
RP-47 RP-100381 0408 2 Introducing provisions for late ASN.1 corrections		9.2.0
RP-47 RP-100245 0411 - Correction/ alignment of REL-9 UE capability signalling		9.2.0
06/2010 RP-48 RP-100553 0412 - Clarification for mapping between warning message and CB		9.3.0
RP-48 RP-100556 0413 - Clarification of radio link failure related actions		9.3.0
RP-48 RP-100554 0414 - Clarification on UE actions upon leaving RRC_CONNECTED		9.3.0
RP-48 RP-100553 0415 - Correction on CMAS system information		9.3.0
RP-48   RP-100554   0416   1     Corrections to MBMS		9.3.0
RP-48 RP-100536 0418 - Decoding of unknown future extensions		9.3.0
RP-48 RP-100556 0419 1 Miscellaneous small corrections and clarifications		9.3.0
RP-48 RP-100551 0420 - Prohibit timer for proximity indication		9.3.0
RP-48 RP-100556 0421 - RLF report for MRO correction		9.3.0
RP-48 RP-100546 0423 1 Missing UTRA bands in IRAT-ParametersUTRA-FDD		9.3.0
RP-48 RP-100556 0424 - Correction on handling of dedicated RLF timers		9.3.0
RP-48 RP-100556 0431 1 Protection of RRC messages		9.3.0
RP-48 RP-100556 0433 - Handling missing Essential system information		9.3.0
RP-48 RP-100551 0434 1 Clarification on UMTS CSG detected cell reporting in LTE		9.3.0
RP-48 RP-100556 0436 - Introducing provisions for late corrections		9.3.0
RP-48 RP-100556 0437 - Clarification regarding / alignment of REL-9 UE capabilities		9.3.0
09/2010 RP-49 RP-100845 0440 - Correction to 3GPP2 reference for interworking with cdma20		9.4.0
RP-49 RP-100851 0441 - Clarification on UL handover preparation transfer		9.4.0
RP-49 RP-100851 0442 1 Clarifications regarding full Configuration		9.4.0
RP-49 RP-100851 0443 - Clarifications regarding handover to E-UTRAN		9.4.0
RP-49 RP-100854 0444 - Correction on the table of conditionally mandatory Release S		9.4.0
RP-49 RP-100851 0445 - Corrections to TS36.331 on MeasConfig IE		9.4.0
RP-49   RP-100651   0445   -     Coffections to 1536.331 on MeasCoffing IE   RP-49   RP-100853   0446   2     CR to 36.331 on clarification for MBMS PTM RBs		9.4.0
RP-49 RP-100851 0447 - Introduction of late corrections container for E-UTRA UE cap		9.4.0
RP-49 RP-100851 0448 - Renaming of containers for late non-critical extensions		9.4.0
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RP-49 RP-100845 0456 - Description of multi-user MIMO functionality in feature group		9.4.0
RP-49 RP-100845 0458 - Correct the PEMAX_H to PEMAX		9.4.0
RP-49 RP-100851 0460 - Clarification for feature group indicator bit 11		9.4.0
RP-49 RP-100851 0465 1 Clarification of FGI setting for inter-RAT features not support		9.4.0
RP-49   RP-101008   0475   1   FGI settings in Rel-9		9.4.0
12/2010 RP-50 RP-101197 0483 - Clarification on Meaning of FGI Bits		9.5.0
RP-50 RP-101197 0485 - Clarification regarding reconfiguration of the quantityConfig		9.5.0
RP-50 RP-101210 0486 1 Corrections to the presence of IE regarding DRX and CQI		9.5.0
RP-50 RP-101210 0493 - The field descriptions of MeasObjectEUTRA		9.5.0
RP-50 RP-101197 0498 1 Clarification of FGI settings non ANR periodical measurement	t reporting	9.5.0
RP-50 RP-101209 0500 - Corrections to RLF Report		9.5.0
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	RP-50	RP-101197	0524	-	Restriction of AC barring parameter setting	9.5.0
	RP-50	RP-101210	0525	<b> -</b>	Removal of SEQUENCE OF SEQUENCE in UEInformationResponse	9.5.0
	RP-50	RP-101197	0526	1	Clarification regarding default configuration value N/A	9.5.0
	RP-50	RP-101431	0532	- 1	Splitting FGI bit 3	9.5.0
	RP-50	RP-101183	0476	4	36.331 CR on Introduction of Minimization of Drive Tests	10.0.0
	RP-50	RP-101293	0477	4	AC-Barring for Mobile Originating CSFB call	10.0.0
	RP-50	RP-101214	0478	-	Addition of UE-EUTRA-Capability descriptions	10.0.0
	RP-50	RP-101214	0481	-	Clarification on Default Configuration for CQI-ReportConfig	10.0.0
	RP-50	RP-101215	0487	-	CR to 36.331 adding e1xCSFB support for dual Rx/Tx UE	10.0.0
	RP-50	RP-101227	0488	1	Introduction of Carrier Aggregation and UL/ DL MIMO	10.0.0
	RP-50	RP-101228	0489	1	Introduction of relays in RRC	10.0.0
	RP-50	RP-101214	0490	1	Priority indication for CSFB with re-direction	10.0.0
	RP-50	RP-101214	0491	-	SIB Size Limitations	10.0.0
	RP-50	RP-101214	0513	-	Combined Quantity Report for IRAT measurement of UTRAN	10.0.0
	RP-50	RP-101214	0527	1	UE power saving and Local release	10.0.0
	RP-50	RP-101429	0530	1	Inclusion of new UE categories in Rel-10	10.0.0
03/2011	RP-51	RP-110282	0533	<del> -</del>	36331_CRxxx_Protection of Logged Measurements Configuration	10.1.0
	RP-51	RP-110294	0534	1	Stage-3 CR for MBMS enhancement	10.1.0
	RP-51	RP-110282	0535	-	Clean up MDT-related text	10.1.0
	RP-51	RP-110282	0536	-	Clear MDT configuration and logs when the UE is not registered	10.1.0
	RP-51	RP-110280	0537	-	Correction to the field description of nB	10.1.0
	RP-51	RP-110289	0538	-	CR on impact on UP with remove&add approach_2	10.1.0
	RP-51	RP-110282	0539	+	CR to 36.331 on corrections for MDT	10.1.0
	RP-51	RP-110290	0543	-	Introduction of CA/MIMO capability signalling and measurement capability	10.1.0
	DD 51	DD 440000	05.4.4		signalling in CA	10.1.0
-	RP-51 RP-51	RP-110282 RP-110282	0544 0545	+	MDT PDU related clarifications  Correction on release of logged measurement configuration while in another	10.1.0
	LC-21	177-110282	0045		RAT	10.1.0
	RP-51	RP-110289	0546	1_ +	Miscellaneous Corrections for CA Running RRC CR	10.1.0
	RP-51	RP-110280	0547	1	Miscellaneous small clarifications and corrections	10.1.0
	RP-51	RP-110293	0548	4	Necessary changes for RLF reporting enhancements	10.1.0
	RP-51	RP-110282	0549	1	Memory size for logged measurements capable UE	10.1.0
	RP-51	RP-110289	0550	<u> -</u>	Parameters confusion of non-CA and CA configurations	10.1.0
	RP-51	RP-110272	0553	1_ 1	Presence condition for cellSelectionInfo-v920 in SIB1	10.1.0
	RP-51	RP-110282	0554	1	Removal of MDT configuration at T330 expiry	10.1.0
	RP-51	RP-110289	0556	1	Signalling aspects of existing LTE-A parameters	10.1.0
	RP-51	RP-110280	0557	1	Some Corrections on measurement	10.1.0
	RP-51	RP-110291	0558	<u> </u>	Stored system information for RNs	10.1.0
	RP-51	RP-110291	0559	1- 1	Support of Integrity Protection for Relay	10.1.0
	RP-51	RP-110290	0561	2	Updates of L1 parameters for CA and UL/DL MIMO	10.1.0
	RP-51	RP-110291	0571	1	Note for Dedicated SIB for RNs	10.1.0
	RP-51	RP-110272	0579	-	Correction to cs-fallbackIndicator field description	10.1.0
	RP-51	RP-110289	0580	-	Clarification to the default configuration of sCellDeactivationTimer	10.1.0
	RP-51	RP-110289	0581	-	Miscellaneous corrections to TS 36.331 on Carrier Aggregation	10.1.0
	RP-51	RP-110280	0584	-	Correction of configuration description in SIB2	10.1.0
	RP-51		0587	-	Clarification of band indicator in handover from E-UTRAN to GERAN	10.1.0
	RP-51	RP-110285	0588	1	36331_CRxxxx Support of Delay Tolerant access requests	10.1.0
	RP-51	RP-110292	0590	-	Update of R2-110807 on CSI measurement resource restriction for time	10.1.0
					domain ICIC	
	RP-51	RP-110292	0591	-	Update of R2-110821 on RRM/RLM resource restriction for time domain	10.1.0
					ICIC	
	RP-51	RP-110290	0592	-	Corrections on UE capability related parameters	10.1.0
	RP-51	RP-110282	0596	-	Validity time for location information in Immediate MDT	10.1.0
	RP-51	RP-110280	0597	-	CR to 36.331 adding UE capability indicator for dual Rx/Tx e1xCSFB	10.1.0
	RP-51	RP-110289	0598	-	Miscellaneous corrections to CA	10.1.0
	RP-51	RP-110280	0599	-  -	Further correction to combined measurement report of UTRAN	10.1.0
	RP-51	RP-110280	0600	-	Correction to the reference of ETWS	10.1.0
	RP-51	RP-110269	0602	1	Introduction of OTDOA inter-freq RSTD measurement indication procedure	10.1.0
	RP-51	RP-110280	0603	-	Correction of use of RRCConnectionReestablishment message for	10.1.0
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<u> </u>	RP-51	RP-110282	0604	-	CR to 36.331 on MDT neighbour cell measurements logging	10.1.0
<u> </u>	RP-51	RP-110272	0609	-	Minor ASN.1 corrections for the UEInformationResponse message	10.1.0
<u> </u>	RP-51	RP-110280	0613	-	Clarification regarding dedicated RLF timers and constants	10.1.0
	RP-51	RP-110282	0615	+-	Release of Logged Measurement Configuration	10.1.0
	RP-51	RP-110280	0616	+	Some corrections on TS 36.331	10.1.0
	RP-51	RP-110280	0623	-	AC barring procedure clean up	10.1.0
	RP-51	RP-110282	0624	-	Counter proposal to R2-110826 on UE capabilities for MDT	10.1.0
	RP-51	RP-110280	0628	1	UE information report for RACH	10.1.0
	RP-51	RP-110289	0629	2	Measurement on the deactivated SCells	10.1.0
	RP-51	RP-110282	0632		Trace configuration paremeters for Logged MDT	10.1.0
	RP-51	RP-110282	0635	<del>                                     </del>	Clarification on stop condition for timer T3330	10.1.0
	RP-51 RP-51	RP-110282	0637	<del>                                     </del>	User consent for MDT	10.1.0
	ותר-סו	RP-110280	0638	1-	Correction on the range of CQI resource index	10.1.0

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	RP-51	RP-110272	0640	1	Small corrections to ETWS & CMAS system information	10.1.0
	RP-51	RP-110290	0641	1	UE capability signaling structure w.r.t carrier aggregation, MIMO and measurement gap	10.1.0
	RP-51	RP-110289	0642	1	Normal PHR and the multiple uplink carriers	10.1.0
	RP-51	RP-110280	0643	1	Corrections to TS36.331 on SIB2 handling	10.1.0
	RP-51	RP-110280	0644	1	Adding a Power Management indication in PHR	10.1.0
	RP-51	RP-110289	0646	1	Clarification for CA and TTI bundling in RRC	10.1.0
	RP-51	RP-110443	0648	1	Updates to FGI settings	10.1.0
06/2011	RP-52	RP-110836	0651	1- 1	Add MBMS counting procedure to processing delay requirement for RRC	10.2.0
					procedure clause 11.2	
	RP-52	RP-110830	0653	=	Add pre Rel-10 procedures to processing delay requirement for RRC procedure clause 11.2	10.2.0
	RP-52	RP-110847	0654	1	Addition of a specific reference for physical configuration fields	10.2.0
	RP-52	RP-110839	0656	-	Clarification of inter-frequency RSTD measurement indication procedure	10.2.0
	RP-52	RP-110830	0658	-	Clarification of optionality of UE features without capability	10.2.0
	RP-52	RP-110839	0660	-	Clarification on the definition of maxCellBlack	10.2.0
	RP-52	RP-110839	0661	-	Clarification on upper layer requested connection release	10.2.0
	RP-52	RP-110850	0662	3	Clarification regarding elCIC measurements	10.2.0
	RP-52	RP-110839	0663	<del> -</del>	CR for s-measure handling	10.2.0
	RP-52	RP-110851	0664	1	CR on clarification of RLF Report in Carrier Aggregation	10.2.0
	RP-52	RP-110830	0669	-	FGI bit for handover between LTE FDD/TDD	10.2.0
<b>—</b>	RP-52 RP-52	RP-110847	0670	2	Further updates on L1 parameters  Conoral error handling for extension fields	10.2.0
<u> </u>	RP-52 RP-52	RP-110839 RP-110851	0671 0672	2	General error handling for extension fields  Additional information for RLF report	10.2.0
	RP-52	RP-110851	0672	<del> </del>	Introduction of TCE ID for logged MDT	10.2.0
	RP-52	RP-110643	0674	4	Miscellaneous corrections (related to review in preparation for ASN.1 freeze)	10.2.0
	RP-52	RP-110670	0675	+	PLMN check for MDT logging	10.2.0
	RP-52	RP-110839	0677	1_ +	UE actions upon leaving RRC_CONNECTED	10.2.0
	RP-52	RP-110847	0678	1- 1	Clarification on bandEUTRA-r10 and supportedBandListEUTRA	10.2.0
	RP-52	RP-110837	0679	<del>                                     </del>	Updated value range for the Extended Wait Timer	10.2.0
	RP-52	RP-110839	0680	1	Value range of DRX-InactivityTimer	10.2.0
	RP-52	RP-110828	0693	1	Correction for SR-VCC and QCI usage	10.2.0
	RP-52	RP-110847	0694	1-	Restructuring of CQI-ReportConfig-r10	10.2.0
	RP-52	RP-110839	0695	2	Correction on DL allocations in MBSFN subframes	10.2.0
	RP-52	RP-110850	0700	1- 1	Reference SFN for MeasSubframePattern	10.2.0
	RP-52	RP-110846	0701	1- 1	Clarifications to CA related field descriptions	10.2.0
	RP-52	RP-110847	0702	-	Corrections to codebookSubsetRestriction and SRS parameters	10.2.0
	RP-52	RP-110834	0704	-	Corrections to the handling of ri-ConfigIndex for TM9	10.2.0
	RP-52	RP-110715	0710	2	UE capabilities for Rel-10 LTE features with eICIC measurement restrictions as FGI (Alt.1)	10.2.0
	RP-52	RP-110839	0713	-	CR to 36.331 on redirected utra-TDD carrier frequency	10.2.0
	RP-52	RP-110839	0714	-	Explicit AS signalling for mapped PTMSI/GUTI	10.2.0
	RP-52	RP-110847	0718	-	Counter proposal for Updates of mandatory information in AS-Config	10.2.0
	RP-52	RP-110839	0719	-	CR for Reconfiguration of discardTimer in PDCP-Config	10.2.0
	RP-52	RP-110847	0723	-	On the missing multiplicity of UE capability parameters	10.2.0
		RP-110830	0735	-	Radio frame alignment of CSA and MSP	10.2.0
	RP-52	RP-110847	10//0		Reconfiguration involving critically extended IEs (using fullFieldConfig i.e.	
			0740	<u> </u> -	option 2)	10.2.0
	RP-52	RP-110839	0740	-	option 2)  Counter proposal to R2-112753 on CR to remove CSG Identity validity limited to CSG cell	
	RP-52 RP-52	RP-110839 RP-110839		- 1	Counter proposal to R2-112753 on CR to remove CSG Identity validity limited to CSG cell	10.2.0
			0744	- - 1	Counter proposal to R2-112753 on CR to remove CSG Identity validity	10.2.0
09/2011	RP-52	RP-110839 RP-110847 RP-111297	0744	1 -	Counter proposal to R2-112753 on CR to remove CSG Identity validity limited to CSG cell Increase of prioritisedBitRate CA and MIMO Capabilities in LTE Rel-10 TS36.331 Correction	10.2.0 10.2.0 10.2.0
09/2011	RP-52 RP-52	RP-110839 RP-110847 RP-111297 RP-111297	0744 0746 0747	1	Counter proposal to R2-112753 on CR to remove CSG Identity validity limited to CSG cell Increase of prioritisedBitRate CA and MIMO Capabilities in LTE Rel-10 TS36.331 Correction maxNumberROHC-ContextSessions when no ROHC profile is supported	10.2.0 10.2.0 10.2.0 10.2.0 10.3.0 10.3.0
09/2011	RP-52 RP-52 RP-53 RP-53 RP-53	RP-110839 RP-110847 RP-111297 RP-111297 RP-111280	0744 0746 0747 0752	1	Counter proposal to R2-112753 on CR to remove CSG Identity validity limited to CSG cell Increase of prioritisedBitRate CA and MIMO Capabilities in LTE Rel-10 TS36.331 Correction	10.2.0 10.2.0 10.2.0 10.2.0 10.3.0
09/2011	RP-52 RP-52 RP-53 RP-53 RP-53 RP-53	RP-110839 RP-110847 RP-111297 RP-111297 RP-111280 RP-111288	0744 0746 0747 0752 0754	1	Counter proposal to R2-112753 on CR to remove CSG Identity validity limited to CSG cell Increase of prioritisedBitRate CA and MIMO Capabilities in LTE Rel-10 TS36.331 Correction maxNumberROHC-ContextSessions when no ROHC profile is supported Correction to Subframe Allocation End in PMCH-Info Correction on PUCCH configuration for Un interface	10.2.0 10.2.0 10.2.0 10.3.0 10.3.0 10.3.0 10.3.0
09/2011	RP-52 RP-52 RP-53 RP-53 RP-53 RP-53 RP-53	RP-110839 RP-110847 RP-111297 RP-111297 RP-111280 RP-111288 RP-111297	0744 0746 0747 0752 0754 0757 0761	- - - - -	Counter proposal to R2-112753 on CR to remove CSG Identity validity limited to CSG cell Increase of prioritisedBitRate CA and MIMO Capabilities in LTE Rel-10 TS36.331 Correction maxNumberROHC-ContextSessions when no ROHC profile is supported Correction to Subframe Allocation End in PMCH-Info Correction on PUCCH configuration for Un interface Miscellaneous corrections to 36.331	10.2.0 10.2.0 10.2.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0
09/2011	RP-52 RP-52 RP-53 RP-53 RP-53 RP-53	RP-110839 RP-110847 RP-111297 RP-111297 RP-111280 RP-111288	0744 0746 0747 0752 0754 0757 0761	- 1 1 - - - - - - 2	Counter proposal to R2-112753 on CR to remove CSG Identity validity limited to CSG cell Increase of prioritisedBitRate CA and MIMO Capabilities in LTE Rel-10 TS36.331 Correction maxNumberROHC-ContextSessions when no ROHC profile is supported Correction to Subframe Allocation End in PMCH-Info Correction on PUCCH configuration for Un interface	10.2.0 10.2.0 10.2.0 10.3.0 10.3.0 10.3.0 10.3.0
09/2011	RP-52 RP-52 RP-53 RP-53 RP-53 RP-53 RP-53	RP-110839 RP-110847 RP-111297 RP-111297 RP-111280 RP-111288 RP-111297	0744 0746 0747 0752 0754 0757 0761	- - - - -	Counter proposal to R2-112753 on CR to remove CSG Identity validity limited to CSG cell Increase of prioritisedBitRate CA and MIMO Capabilities in LTE Rel-10 TS36.331 Correction maxNumberROHC-ContextSessions when no ROHC profile is supported Correction to Subframe Allocation End in PMCH-Info Correction on PUCCH configuration for Un interface Miscellaneous corrections to 36.331 36.331 correction on CSG identity validity to allow introduction of CSG RAN	10.2.0 10.2.0 10.2.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0
09/2011	RP-52 RP-52 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53	RP-110839 RP-110847 RP-111297 RP-111297 RP-111280 RP-111288 RP-111297 RP-111278	0744 0746 0747 0752 0754 0757 0761 0762	- - - - - 2	Counter proposal to R2-112753 on CR to remove CSG Identity validity limited to CSG cell Increase of prioritisedBitRate CA and MIMO Capabilities in LTE Rel-10 TS36.331 Correction maxNumberROHC-ContextSessions when no ROHC profile is supported Correction to Subframe Allocation End in PMCH-Info Correction on PUCCH configuration for Un interface Miscellaneous corrections to 36.331 36.331 correction on CSG identity validity to allow introduction of CSG RAN sharing	10.2.0 10.2.0 10.2.0 10.2.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0
09/2011	RP-52 RP-52 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53	RP-110839 RP-110847 RP-111297 RP-111297 RP-111280 RP-111288 RP-111278 RP-111278 RP-111283 RP-111297 RP-111283	0744 0746 0747 0752 0754 0757 0761 0762 0764	- - - - - 2	Counter proposal to R2-112753 on CR to remove CSG Identity validity limited to CSG cell Increase of prioritisedBitRate CA and MIMO Capabilities in LTE Rel-10 TS36.331 Correction maxNumberROHC-ContextSessions when no ROHC profile is supported Correction to Subframe Allocation End in PMCH-Info Correction on PUCCH configuration for Un interface Miscellaneous corrections to 36.331 36.331 correction on CSG identity validity to allow introduction of CSG RAN sharing AdditionalSpectrumEmissions in CA CR to 36.331 on Small correction of PHR parameter Clarifications to P-max on CA	10.2.0 10.2.0 10.2.0 10.2.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0
09/2011	RP-52 RP-52 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53	RP-110839 RP-110847 RP-111297 RP-111297 RP-111280 RP-111288 RP-111297 RP-111278 RP-111283 RP-111297 RP-111283 RP-111283 RP-111283	0744 0746 0747 0752 0754 0757 0761 0762 0764 0770 0773 0775	- - - - - - 2 2	Counter proposal to R2-112753 on CR to remove CSG Identity validity limited to CSG cell Increase of prioritisedBitRate CA and MIMO Capabilities in LTE Rel-10 TS36.331 Correction maxNumberROHC-ContextSessions when no ROHC profile is supported Correction to Subframe Allocation End in PMCH-Info Correction on PUCCH configuration for Un interface Miscellaneous corrections to 36.331 36.331 correction on CSG identity validity to allow introduction of CSG RAN sharing AdditionalSpectrumEmissions in CA CR to 36.331 on Small correction of PHR parameter Clarifications to P-max on CA Clarification on for which subframes signalling MCS applies	10.2.0 10.2.0 10.2.0 10.2.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0
09/2011	RP-52 RP-52 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53	RP-110839 RP-110847 RP-111297 RP-111297 RP-111280 RP-111288 RP-111278 RP-111278 RP-111283 RP-111283 RP-111280 RP-111280 RP-111283	0744 0746 0747 0752 0754 0757 0761 0762 0764 0770 0773 0775 0784 0792	- - - - - - 2 2	Counter proposal to R2-112753 on CR to remove CSG Identity validity limited to CSG cell Increase of prioritisedBitRate CA and MIMO Capabilities in LTE Rel-10 TS36.331 Correction maxNumberROHC-ContextSessions when no ROHC profile is supported Correction to Subframe Allocation End in PMCH-Info Correction on PUCCH configuration for Un interface Miscellaneous corrections to 36.331 36.331 correction on CSG identity validity to allow introduction of CSG RAN sharing AdditionalSpectrumEmissions in CA CR to 36.331 on Small correction of PHR parameter Clarifications to P-max on CA Clarification on for which subframes signalling MCS applies Corrections in RRC	10.2.0 10.2.0 10.2.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0
09/2011	RP-52 RP-52 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53	RP-110839 RP-110847 RP-111297 RP-111280 RP-111288 RP-11127 RP-111278 RP-111283 RP-111283 RP-111283 RP-111283 RP-111280 RP-111283 RP-111283 RP-111283	0744 0746 0747 0752 0754 0757 0761 0762 0764 0770 0773 0775 0784 0792 0793	- - - - - - 2 2	Counter proposal to R2-112753 on CR to remove CSG Identity validity limited to CSG cell Increase of prioritisedBitRate CA and MIMO Capabilities in LTE Rel-10 TS36.331 Correction maxNumberROHC-ContextSessions when no ROHC profile is supported Correction to Subframe Allocation End in PMCH-Info Correction on PUCCH configuration for Un interface Miscellaneous corrections to 36.331 36.331 correction on CSG identity validity to allow introduction of CSG RAN sharing AdditionalSpectrumEmissions in CA CR to 36.331 on Small correction of PHR parameter Clarifications to P-max on CA Clarification on for which subframes signalling MCS applies Corrections in RRC Replace the tables with exception list in 10.5 AS-Config	10.2.0 10.2.0 10.2.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0
09/2011	RP-52 RP-52 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53	RP-110839 RP-110847 RP-111297 RP-111280 RP-111288 RP-11127 RP-111278 RP-111283 RP-111283 RP-111283 RP-111280 RP-111283 RP-111280 RP-111287 RP-111287	0744 0746 0747 0752 0754 0757 0761 0762 0764 0770 0773 0775 0784 0792 0793 0796	- - - - - - 2 2	Counter proposal to R2-112753 on CR to remove CSG Identity validity limited to CSG cell Increase of prioritisedBitRate CA and MIMO Capabilities in LTE Rel-10 TS36.331 Correction maxNumberROHC-ContextSessions when no ROHC profile is supported Correction to Subframe Allocation End in PMCH-Info Correction on PUCCH configuration for Un interface Miscellaneous corrections to 36.331 36.331 correction on CSG identity validity to allow introduction of CSG RAN sharing AdditionalSpectrumEmissions in CA CR to 36.331 on Small correction of PHR parameter Clarifications to P-max on CA Clarification on for which subframes signalling MCS applies Corrections in RRC Replace the tables with exception list in 10.5 AS-Config Corrections to the field descriptions	10.2.0 10.2.0 10.2.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0
09/2011	RP-52 RP-52 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53	RP-110839 RP-110847 RP-111297 RP-111280 RP-111288 RP-11127 RP-111278 RP-111283	0744 0746 0747 0752 0754 0757 0761 0762 0764 0770 0773 0775 0784 0792 0793 0796 0798	- - - - - - 2 2	Counter proposal to R2-112753 on CR to remove CSG Identity validity limited to CSG cell Increase of prioritisedBitRate CA and MIMO Capabilities in LTE Rel-10 TS36.331 Correction maxNumberROHC-ContextSessions when no ROHC profile is supported Correction to Subframe Allocation End in PMCH-Info Correction on PUCCH configuration for Un interface Miscellaneous corrections to 36.331 36.331 correction on CSG identity validity to allow introduction of CSG RAN sharing AdditionalSpectrumEmissions in CA CR to 36.331 on Small correction of PHR parameter Clarifications to P-max on CA Clarification on for which subframes signalling MCS applies Corrections in RRC Replace the tables with exception list in 10.5 AS-Config Corrections to the field descriptions Configuration of simultaneous PUCCH&PUSCH	10.2.0 10.2.0 10.2.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0
09/2011	RP-52 RP-52 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53	RP-110839 RP-110847 RP-111297 RP-111297 RP-111280 RP-111288 RP-11127 RP-111278 RP-111283 RP-111283 RP-111283 RP-111280 RP-111283 RP-111283 RP-111283 RP-111287 RP-111283 RP-111297 RP-111283 RP-111297	0744 0746 0747 0752 0754 0757 0761 0762 0764 0770 0773 0775 0784 0792 0793 0796 0798	- - - - - - 2 2	Counter proposal to R2-112753 on CR to remove CSG Identity validity limited to CSG cell Increase of prioritisedBitRate CA and MIMO Capabilities in LTE Rel-10 TS36.331 Correction maxNumberROHC-ContextSessions when no ROHC profile is supported Correction to Subframe Allocation End in PMCH-Info Correction on PUCCH configuration for Un interface Miscellaneous corrections to 36.331 36.331 correction on CSG identity validity to allow introduction of CSG RAN sharing AdditionalSpectrumEmissions in CA CR to 36.331 on Small correction of PHR parameter Clarifications to P-max on CA Clarification on for which subframes signalling MCS applies Corrections in RRC Replace the tables with exception list in 10.5 AS-Config Corrections to the field descriptions Configuration of simultaneous PUCCH&PUSCH Corrections to release of csi-SubframePatternConfig and cqi-Mask	10.2.0 10.2.0 10.2.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0
09/2011	RP-52 RP-52 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53	RP-110839 RP-110847 RP-111297 RP-111280 RP-111288 RP-11127 RP-111278 RP-111283	0744 0746 0747 0752 0754 0757 0761 0762 0764 0770 0773 0775 0784 0792 0793 0796 0798	- - - - - - 2 2	Counter proposal to R2-112753 on CR to remove CSG Identity validity limited to CSG cell Increase of prioritisedBitRate CA and MIMO Capabilities in LTE Rel-10 TS36.331 Correction maxNumberROHC-ContextSessions when no ROHC profile is supported Correction to Subframe Allocation End in PMCH-Info Correction on PUCCH configuration for Un interface Miscellaneous corrections to 36.331 36.331 correction on CSG identity validity to allow introduction of CSG RAN sharing AdditionalSpectrumEmissions in CA CR to 36.331 on Small correction of PHR parameter Clarifications to P-max on CA Clarification on for which subframes signalling MCS applies Corrections in RRC Replace the tables with exception list in 10.5 AS-Config Corrections to the field descriptions Configuration of simultaneous PUCCH&PUSCH Corrections to release of csi-SubframePatternConfig and cqi-Mask GERAN SI format for cell change order&PS handover& enhanced redirection	10.2.0 10.2.0 10.2.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0
09/2011	RP-52 RP-52 RP-53	RP-110839 RP-110847 RP-111297 RP-111280 RP-111288 RP-111297 RP-111278 RP-111283 RP-111297 RP-111283 RP-111283 RP-111280 RP-111283 RP-111280 RP-111283 RP-111297 RP-111283 RP-111297 RP-111283 RP-111297 RP-111283	0744 0746 0747 0752 0754 0757 0761 0762 0764 0770 0773 0775 0784 0792 0793 0796 0798 0806	- - - - - - 2 2	Counter proposal to R2-112753 on CR to remove CSG Identity validity limited to CSG cell Increase of prioritisedBitRate CA and MIMO Capabilities in LTE Rel-10 TS36.331 Correction maxNumberROHC-ContextSessions when no ROHC profile is supported Correction to Subframe Allocation End in PMCH-Info Correction on PUCCH configuration for Un interface Miscellaneous corrections to 36.331 36.331 correction on CSG identity validity to allow introduction of CSG RAN sharing AdditionalSpectrumEmissions in CA CR to 36.331 on Small correction of PHR parameter Clarifications to P-max on CA Clarification on for which subframes signalling MCS applies Corrections in RRC Replace the tables with exception list in 10.5 AS-Config Corrections to the field descriptions Configuration of simultaneous PUCCH&PUSCH Corrections to release of csi-SubframePatternConfig and cqi-Mask GERAN SI format for cell change order&PS handover& enhanced redirection to GERAN	10.2.0 10.2.0 10.2.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0
	RP-52 RP-52 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53	RP-110839 RP-110847 RP-111297 RP-111280 RP-111288 RP-111278 RP-111283 RP-111283 RP-111283 RP-111283 RP-111283 RP-111283 RP-111283 RP-111297 RP-111283 RP-111297 RP-111283 RP-111297 RP-111283 RP-111297 RP-111283	0744 0746 0747 0752 0754 0757 0761 0762 0764 0770 0773 0775 0784 0792 0793 0796 0798 0806 0810		Counter proposal to R2-112753 on CR to remove CSG Identity validity limited to CSG cell Increase of prioritisedBitRate CA and MIMO Capabilities in LTE Rel-10 TS36.331 Correction maxNumberROHC-ContextSessions when no ROHC profile is supported Correction to Subframe Allocation End in PMCH-Info Correction on PUCCH configuration for Un interface Miscellaneous corrections to 36.331 36.331 correction on CSG identity validity to allow introduction of CSG RAN sharing AdditionalSpectrumEmissions in CA CR to 36.331 on Small correction of PHR parameter Clarifications to P-max on CA Clarification on for which subframes signalling MCS applies Corrections in RRC Replace the tables with exception list in 10.5 AS-Config Corrections to the field descriptions Configuration of simultaneous PUCCH&PUSCH Corrections to release of csi-SubframePatternConfig and cqi-Mask GERAN SI format for cell change order&PS handover& enhanced redirection to GERAN Corrections to PUCCH-Config field descriptions	10.2.0 10.2.0 10.2.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0
	RP-52 RP-52 RP-53	RP-110839 RP-110847 RP-111297 RP-111280 RP-111288 RP-111278 RP-111283 RP-111283 RP-111283 RP-111283 RP-111283 RP-111283 RP-111283 RP-111287 RP-111283 RP-111297 RP-111283 RP-111297 RP-111283 RP-111297 RP-111283 RP-111272	0744 0746 0747 0752 0754 0757 0761 0762 0764 0770 0773 0775 0784 0792 0793 0796 0798 0806 0810	- - - - - - 2 2	Counter proposal to R2-112753 on CR to remove CSG Identity validity limited to CSG cell Increase of prioritisedBitRate CA and MIMO Capabilities in LTE Rel-10 TS36.331 Correction maxNumberROHC-ContextSessions when no ROHC profile is supported Correction to Subframe Allocation End in PMCH-Info Correction on PUCCH configuration for Un interface Miscellaneous corrections to 36.331 36.331 correction on CSG identity validity to allow introduction of CSG RAN sharing AdditionalSpectrumEmissions in CA CR to 36.331 on Small correction of PHR parameter Clarifications to P-max on CA Clarification on for which subframes signalling MCS applies Corrections in RRC Replace the tables with exception list in 10.5 AS-Config Corrections to the field descriptions Configuration of simultaneous PUCCH&PUSCH Corrections to release of csi-SubframePatternConfig and cqi-Mask GERAN SI format for cell change order&PS handover& enhanced redirection to GERAN Corrections to PUCCH-Config field descriptions Colarification of PCI range for CSG cells	10.2.0 10.2.0 10.2.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0
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-	RP-54	RP-111716	0815	-	Miscellaneous small corrections  Correction on notation of SBS transmission comb	10.4.0
-	RP-54	RP-111716	0816	1	Correction on notation of SRS transmission comb	10.4.0
	RP-54	RP-111706	0823	1	36.331 CR SPS reconfiguration	10.4.0
	RP-54	RP-111716	0827	2	Clarification of list sizes in measurement configuration stored by UE	10.4.0
	RP-54 RP-54	RP-111706 RP-111714	0835 0840	1	Clarification of the event B1 and ANR related FGI bits  Clarification on MBSFN and measurement resource restrictions	10.4.0
	RP-54	RP-111714	0845			10.4.0
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	RP-63	RP-140356	1450	1	Clarification on the presence of TDD special subframe	12.1.0
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	RP-64	RP-140871	1475	<del> -</del>	Correction on measObjectList in VarMeasConfig	12.2.0
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	RP-64	RP-140873	1478		Clarification on precedence of SCell SI provided dedicately	12.2.0
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	RP-64	RP-140878	1557	+	Introduction of FDD/TDD CA UE capability	12.2.0
	RP-64	RP-1408871	1545	+	Clarification of E-UTRA MFBI signalling	12.2.0
	RP-64	RP-140871	1520	1	Extended RLC LI field	12.2.0
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	RP-64	RP-140884	1495	1	Introduction of TDD eIMTA	12.2.0
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	RP-65 RP-65 RP-65 RP-65 RP-65 RP-65 RP-65 RP-65 RP-65	RP-141505 RP-141499 RP-141511 RP-141511 RP-141498 RP-141496 RP-141496 RP-141499 RP-141489 RP-141507 RP-141510	1599 1584 1567 1603 1630 1577 1597 1623 1574 1570	- 1	UE capabilities for Hetnet mobility in TS 36.331  Introduction of UE eIMTA capabilities  Corrections to extended RLC LI field  TAI reporting of last serving cell  Correction to Network-requested CA Band Combination Capability Signalling Clarification on double indication of SAI in SIB15  Clarification on MBMSCountingResponse  Clarification on the setting of SupportedBandCombination-v1130  Correction of E-UTRAN UE capabilities description in HandoverPreparationInformation message field descriptions  Introducing MBSFN measurement by extension of logged measurements Introduction of ACB skip for MMTEL voice/video and SMS	12.3.0 12.3.0 12.3.0 12.3.0 12.3.0 12.3.0 12.3.0 12.3.0 12.3.0 12.3.0 12.3.0
	RP-65 RP-65 RP-65 RP-65 RP-65 RP-65 RP-65 RP-65 RP-65 RP-65 RP-65	RP-141505 RP-141499 RP-141511 RP-141511 RP-141498 RP-141496 RP-141496 RP-141489 RP-141507 RP-141500 RP-141496	1599 1584 1567 1603 1630 1577 1597 1623 1574 1570 1572	1 1	UE capabilities for Hetnet mobility in TS 36.331 Introduction of UE eIMTA capabilities Corrections to extended RLC LI field TAI reporting of last serving cell Correction to Network-requested CA Band Combination Capability Signalling Clarification on double indication of SAI in SIB15 Clarification on MBMSCountingResponse Clarification on the setting of SupportedBandCombination-v1130 Correction of E-UTRAN UE capabilities description in HandoverPreparationInformation message field descriptions Introducting MBSFN measurement by extension of logged measurements Introduction of ACB skip for MMTEL voice/video and SMS Clarification on determining MBMS frequencies of interest in MBMSInterestIndication	12.3.0 12.3.0 12.3.0 12.3.0 12.3.0 12.3.0 12.3.0 12.3.0 12.3.0 12.3.0 12.3.0
	RP-65 RP-65 RP-65 RP-65 RP-65 RP-65 RP-65 RP-65 RP-65 RP-65 RP-65	RP-141505 RP-141499 RP-141511 RP-141511 RP-141498 RP-141496 RP-141496 RP-141496 RP-141499 RP-141507 RP-141507 RP-141506	1599 1584 1567 1603 1630 1577 1597 1623 1574 1570 1572 1615	- 1	UE capabilities for Hetnet mobility in TS 36.331  Introduction of UE eIMTA capabilities  Corrections to extended RLC LI field  TAI reporting of last serving cell  Correction to Network-requested CA Band Combination Capability Signalling  Clarification on double indication of SAI in SIB15  Clarification on MBMSCountingResponse  Clarification on the setting of SupportedBandCombination-v1130  Correction of E-UTRAN UE capabilities description in  HandoverPreparationInformation message field descriptions  Introduction of ACB skip for MMTEL voice/video and SMS  Clarification on determining MBMS frequencies of interest in  MBMSInterestIndication  Introduction of signaling support for low complexity UEs	12.3.0 12.3.0 12.3.0 12.3.0 12.3.0 12.3.0 12.3.0 12.3.0 12.3.0 12.3.0 12.3.0 12.3.0
	RP-65 RP-65 RP-65 RP-65 RP-65 RP-65 RP-65 RP-65 RP-65 RP-65 RP-65 RP-65	RP-141505 RP-141499 RP-141511 RP-141511 RP-141498 RP-141496 RP-141496 RP-141496 RP-141490 RP-141507 RP-141506 RP-141506 RP-141499	1599 1584 1567 1603 1630 1577 1597 1623 1574 1570 1572 1615	- 1 1 1	UE capabilities for Hetnet mobility in TS 36.331  Introduction of UE eIMTA capabilities  Corrections to extended RLC LI field  TAI reporting of last serving cell  Correction to Network-requested CA Band Combination Capability Signalling  Clarification on double indication of SAI in SIB15  Clarification on MBMSCountingResponse  Clarification on the setting of SupportedBandCombination-v1130  Correction of E-UTRAN UE capabilities description in HandoverPreparationInformation message field descriptions  Introducing MBSFN measurement by extension of logged measurements  Introduction of ACB skip for MMTEL voice/video and SMS  Clarification on determining MBMS frequencies of interest in MBMSInterestIndication  Introduction of signaling support for low complexity UEs  Rel-12 ASN.1 correction	12.3.0 12.3.0 12.3.0 12.3.0 12.3.0 12.3.0 12.3.0 12.3.0 12.3.0 12.3.0 12.3.0 12.3.0 12.3.0
	RP-65 RP-65 RP-65 RP-65 RP-65 RP-65 RP-65 RP-65 RP-65 RP-65 RP-65	RP-141505 RP-141499 RP-141511 RP-141511 RP-141498 RP-141496 RP-141496 RP-141496 RP-141499 RP-141507 RP-141507 RP-141506	1599 1584 1567 1603 1630 1577 1597 1623 1574 1570 1572 1615	1 1	UE capabilities for Hetnet mobility in TS 36.331  Introduction of UE eIMTA capabilities  Corrections to extended RLC LI field  TAI reporting of last serving cell  Correction to Network-requested CA Band Combination Capability Signalling  Clarification on double indication of SAI in SIB15  Clarification on MBMSCountingResponse  Clarification on the setting of SupportedBandCombination-v1130  Correction of E-UTRAN UE capabilities description in  HandoverPreparationInformation message field descriptions  Introducting MBSFN measurement by extension of logged measurements  Introduction of ACB skip for MMTEL voice/video and SMS  Clarification on determining MBMS frequencies of interest in  MBMSInterestIndication  Introduction of signaling support for low complexity UEs  Rel-12 ASN.1 correction  Introduction of shorter MCH scheduling period  Clarification for time-domain resource restriction pattern applicable to	12.3.0 12.3.0 12.3.0 12.3.0 12.3.0 12.3.0 12.3.0 12.3.0 12.3.0 12.3.0 12.3.0 12.3.0
	RP-65 RP-65 RP-65 RP-65 RP-65 RP-65 RP-65 RP-65 RP-65 RP-65 RP-65 RP-65 RP-65 RP-65	RP-141505 RP-141499 RP-141511 RP-141511 RP-141498 RP-141496 RP-141496 RP-141496 RP-141507 RP-141510 RP-141506 RP-141499 RP-141511 RP-141493	1599 1584 1567 1603 1630 1577 1597 1623 1574 1570 1572 1615 1579 1601 1560 1611	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	UE capabilities for Hetnet mobility in TS 36.331  Introduction of UE eIMTA capabilities  Corrections to extended RLC LI field  TAI reporting of last serving cell  Correction to Network-requested CA Band Combination Capability Signalling  Clarification on double indication of SAI in SIB15  Clarification on MBMSCountingResponse  Clarification on the setting of SupportedBandCombination-v1130  Correction of E-UTRAN UE capabilities description in  HandoverPreparationInformation message field descriptions  Introducing MBSFN measurement by extension of logged measurements  Introduction of ACB skip for MMTEL voice/video and SMS  Clarification on determining MBMS frequencies of interest in  MBMSInterestIndication  Introduction of signaling support for low complexity UEs  Rel-12 ASN.1 correction  Introduction of shorter MCH scheduling period  Clarification for time-domain resource restriction pattern applicable to neighbour cell RSRQ measurements	12.3.0 12.3.0 12.3.0 12.3.0 12.3.0 12.3.0 12.3.0 12.3.0 12.3.0 12.3.0 12.3.0 12.3.0 12.3.0 12.3.0 12.3.0
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12/2014	RP-65 RP-65 RP-65 RP-65 RP-65 RP-65 RP-65 RP-65 RP-65 RP-65 RP-65 RP-65 RP-65 RP-65 RP-65 RP-65 RP-65	RP-141505 RP-141499 RP-141511 RP-141511 RP-141496 RP-141496 RP-141496 RP-141496 RP-141496 RP-141507 RP-141510 RP-141506 RP-141506 RP-141499 RP-141511 RP-141493 RP-141511 RP-141618	1599 1584 1567 1603 1630 1577 1597 1623 1574 1570 1572 1615 1560 1611 1559 1636 1566	1 1 1 1 1 - 2	UE capabilities for Hetnet mobility in TS 36.331  Introduction of UE eIMTA capabilities  Corrections to extended RLC LI field  TAI reporting of last serving cell  Correction to Network-requested CA Band Combination Capability Signalling  Clarification on double indication of SAI in SIB15  Clarification on MBMSCountingResponse  Clarification on the setting of SupportedBandCombination-v1130  Correction of E-UTRAN UE capabilities description in  HandoverPreparationInformation message field descriptions  Introducing MBSFN measurement by extension of logged measurements  Introduction of ACB skip for MMTEL voice/video and SMS  Clarification on determining MBMS frequencies of interest in  MBMSInterestIndication  Introduction of signaling support for low complexity UEs  Rel-12 ASN.1 correction  Introduction of shorter MCH scheduling period  Clarification for time-domain resource restriction pattern applicable to neighbour cell RSRQ measurements  Correction to stop condition for "Chiba offset"  Mandating the FGI bit 31 to true  Connected mode procedures and RRC signaling of WLAN/3GPP Radio Interworking for LTE	12.3.0 12.3.0 12.3.0 12.3.0 12.3.0 12.3.0 12.3.0 12.3.0 12.3.0 12.3.0 12.3.0 12.3.0 12.3.0 12.3.0 12.3.0 12.3.0 12.3.0 12.3.0
12/2014	RP-65 RP-65 RP-65 RP-65 RP-65 RP-65 RP-65 RP-65 RP-65 RP-65 RP-65 RP-65 RP-65 RP-65 RP-65 RP-65 RP-65	RP-141505 RP-141499 RP-141511 RP-141511 RP-141498 RP-141496 RP-141496 RP-141496 RP-141490 RP-141510 RP-141506 RP-141499 RP-141511 RP-141493 RP-141511 RP-1411511 RP-1411511	1599 1584 1567 1603 1630 1577 1597 1623 1574 1570 1572 1615 1579 1601 1560 1611	1 1 1 1 1 - 2	UE capabilities for Hetnet mobility in TS 36.331 Introduction of UE eIMTA capabilities Corrections to extended RLC LI field TAI reporting of last serving cell Correction to Network-requested CA Band Combination Capability Signalling Clarification on double indication of SAI in SIB15 Clarification on MBMSCountingResponse Clarification on the setting of SupportedBandCombination-v1130 Correction of E-UTRAN UE capabilities description in HandoverPreparationInformation message field descriptions Introduction of ACB skip for MMTEL voice/video and SMS Clarification on determining MBMS frequencies of interest in MBMSInterestIndication Introduction of signaling support for low complexity UEs Rel-12 ASN.1 correction Introduction of shorter MCH scheduling period Clarification for time-domain resource restriction pattern applicable to neighbour cell RSRQ measurements Correction to stop condition for "Chiba offset" Mandating the FGI bit 31 to true Connected mode procedures and RRC signaling of WLAN/3GPP Radio	12.3.0 12.3.0 12.3.0 12.3.0 12.3.0 12.3.0 12.3.0 12.3.0 12.3.0 12.3.0 12.3.0 12.3.0 12.3.0 12.3.0 12.3.0 12.3.0 12.3.0 12.3.0

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	RP-70	RP-152075	1952	1	Extension of Frequency Priorities	13.0.0
	RP-70	RP-152075	1949	1	Introduction of RS-SINR measurements using non critical extension	13.0.0
	RP-70	RP-152079	1961	2	Introducing EBF FD MIMO parameters	13.0.0
		RP-152081	1935	1	Removing SCG change restrictions regarding upon handover	13.0.0
	RP-70	RP-152066	1984	1	Introduction of Application specific Congestion control for Data Communication in LTE	13.0.0
	RP-70	RP-152071	1872	6	36331 CR for capturing B5C and SCell on PUCCH	13.0.0
	RP-70	RP-152073	1953	2	Introducing CRS interference mitigation on SCell	13.0.0
	RP-70	RP-152080	1939	3	Introduction of SC-PTM	13.0.0
	RP-70	RP-152082	1941	2	Introduction of Rel-13 MDT enhancements	13.0.0
	RP-70	RP-152075	1955	3	Introduction of load redistribution in RRC_IDLE	13.0.0
	RP-70	RP-152076	1988	-	Introducing extended DRX	13.0.0
	RP-70 RP-70	RP-152084	1957	2	Clarification on FGI bits setting for MCPTT	13.0.0
03/2016		RP-152072 RP-160454	1936 2001	2	Introducing eSL eD2D changes resulting from review for ASN.1 freeze	13.0.0
00/2010	RP-71	RP-160454	2001	2	Miscellaneous changes resulting from review for ASN.1 freeze	13.1.0
	RP-71	RP-160470	2005	1	Corrections and missing agreement on the eCA	13.1.0
	RP-71	RP-160470	2006	2	corrections on RSSI measurment	13.1.0
	RP-71	RP-160457	2008	3	Introduction of LTE-WLAN Aggregation	13.1.0
	RP-71	RP-160470	2010	2	Corrections on SC-PTM	13.1.0
	RP-71	RP-160470	2016	-	Support of extended RLC AM SN for SCG	13.1.0
	RP-71	RP-160470	2017	1	Miscellaneous corrections for SC-PTM	13.1.0
	RP-71	RP-160519	2020	2	EBF/FD-MIMO changes related to remaining issues	13.1.0
	RP-71 RP-71	RP-160467 RP-160462	2022	2	Correction on the RRC signalling configuration for 4Tx MIMO Applicability of longCodeState1XRTT	13.1.0 13.1.0
	RP-71	RP-160462	2039	1	Further clarifications on Rel-13 MDT enhancements	13.1.0
	RP-71	RP-160470	2040	3	Capability for CA enhancement	13.1.0
	RP-71	RP-160470	2043	1	Some corrections on CA enhancement	13.1.0
	RP-71	RP-160460	2048	1	The introduction of UE capability concerning extended E-UTRA frequency	13.1.0
	RP-71				priorities	1
		RP-160457	2051	2	Introduction of RAN controlled LTE-WLAN interworking	13.1.0

P.71		RP-71	RP-160459	2054	4	Stage-3 text updates for bearer Identification within IPsec Tunnel and IPsec	13.1.0
RP-71   RP-160460   2061   1   Use capabilities for LAA   13.10   RP-71   RP-160467   2062   1   Mixin corrections for CA enhancements   13.10   RP-71   RP-160467   2068   1   T321 for Catagory Ou E   13.10   RP-71   RP-160467   2068   1   T321 for Catagory Ou E   13.10   RP-71   RP-160467   2068   1   T321 for Catagory Ou E   13.10   RP-71   RP-160463   2069   3   Addition of low complexity Use and acoverage enhancement features   13.10   RP-71   RP-160463   2070   2   e202 Cagability   RP-71   RP-160467   2074   1   Procedural carification on PSCull change involving PSCull release   13.10   RP-71   RP-160467   2074   1   Procedural carification on PSCull change involving PSCull release   13.10   RP-71   RP-160467   2074   1   Procedural carification on PSCull change involving PSCull release   13.10   RP-71   RP-160467   2087   1   ANI in case of MFB   RP-71   RP-160467   2090   1   ANI in case of MFB   RP-71   RP-160467   2090   1   ANI in case of MFB   RP-71   RP-160467   2090   1   ANI in case of MFB   RP-71   RP-160467   2090   1   ANI in case of MFB   RP-71   RP-160467   2090   1   ANI in case of MFB   RP-71   RP-160467   2090   1   ANI in case of MFB   RP-71   RP-160467   2090   1   ANI in case of MFB   RP-71   RP-160467   2090   1   ANI in case of MFB   RP-71   RP-160467   2090   1   ANI in case of MFB   RP-71   RP-160470   2091   1   ANI in case of MFB   RP-71   RP-160470   2091   1   ANI in case of MFB   RP-71   RP-160470   2091   1   ANI in case of MFB   RP-71   RP-160470   2091   1   ANI in case of MFB   RP-71   RP-160470   2091   1   ANI in case of MFB   RP-71   RP-160470   2010   1   Clarification on the late of the Case of the RP-71   RP-160470   2010   1   Clarification on invital RSSI measurement reporting   13.10   RP-71   RP-160470   2010   1   Clarification on invital RSSI measurement reporting   13.10   RP-71   RP-160470   2010   1   Clarification on invital RSSI measurement reporting   13.10   RP-71   RP-160470   2010   1   Clarification on invital RSSI measurement reporting   13.10   RP		DD 74	DD 400450	0055	ļ.,	establishment parameters	10.1.0
RP-71   RP-160470   2062   1   Minor corrections for CA enhancements   13.10   RP-71   RP-160467   2068   1   T321 for Category D UE   RP-71   RP-160467   2068   1   T321 for Category D UE   RP-71   RP-160467   2068   1   T321 for Category D UE   RP-71   RP-160464   2070   2   e520 Capability   E3 and coverage enhancement features   13.10   RP-71   RP-160464   2070   2   e520 Capability   E3 and coverage enhancement features   13.10   RP-71   RP-160464   2070   2   e520 Capability   E3 and coverage enhancement features   13.10   RP-71   RP-160464   2076   2   e520 Capability   E3 and coverage enhancement features   13.10   RP-71   RP-160467   2074   1   Procedural categories following ASM.1 review   13.10   E3 and coverage enhancement features   13.10   E3 and cove					_		
RP-71   RP-160467   2066   1   Maximum UL timing difference for DC   13.10   RP-71   RP-160467   2068   1   T321 for Category UE   E   13.10   RP-71   RP-160503   2068   3   Addition of low complexity UE   Sand coverage enhancement features   13.10   RP-71   RP-16047   2072   2   e) 220 Capability   RP-71   RP-16047   2072   1   SC-PTM corrections following ASN,1 review   13.10   RP-71   RP-16047   2072   1   SC-PTM corrections following ASN,1 review   13.10   RP-71   RP-16047   2074   1   Procedural calarification on PS-Cell change involving PSCell release   13.10   RP-71   RP-160467   2075   2   SC-PTM corrections following ASN,1 review   13.10   RP-71   RP-160467   2090   - Clarification on the leftmost bit for the supported-CellGrouping   13.10   RP-71   RP-160467   2090   - Clarification on the value range of guaranteed power for the MeNB and Instrument   13.10   RP-71   RP-160465   2094   - Clarification on the value range of guaranteed power for the MeNB and Instrument   13.10   RP-71   RP-160465   2096   - 3   33.31 CR on TM10 CRS-IM UE capability report signalling introduction   13.10   RP-71   RP-160468   2097   2   Miscellanous corrections to 13.6331 related to eDRX   13.10   RP-71   RP-160470   2090   - Clarification on the value range of guaranteed power for the MeNB and Instrument   13.10   RP-71   RP-160470   2010   - Clarification on the value range of guaranteed power for the MeNB and Instrument   13.10   RP-71   RP-160470   2010   - Clarification on the value range of guaranteed power for the MeNB and Instrument   13.10   RP-71   RP-160470   2010   - Clarification on Maximum   2010   20					_		
RP-71 RP-160467 2068   1 T321 for Category O LE   RP-71 RP-160464 2070   2 e02D Capability   13.10   RP-71 RP-160444 2070   2 e02D Capability   13.10   RP-71 RP-160464 2070   2 e02D Capability   13.10   RP-71 RP-160464 2070   2 e02D Capability   13.10   RP-71 RP-160467 2072   1 SC-PTM corrections following ASN.1 review   13.10   RP-71 RP-160467 2073   1 Procedural clarification on PSC-ell change involving PSCell release   13.10   RP-71 RP-160467 2073   2 Modification of review requested CA bend combination reineval for intra-1 S.1.0   RP-71 RP-160467 2073   2 Modification of review requested CA bend combination reineval for intra-1 S.1.0   RP-71 RP-160467 2090   Calmication on the value range of guaranteed power for the MeNB and   13.10   SR-71 RP-160467 2090   Calmication on the value range of guaranteed power for the MeNB and   13.10   SR-71 RP-160467 2094   SR-71 RP-160465 2096   SR-71 RP-160465 2096   SR-71 RP-160467 2094   SR-71 RP-160465 2096   SR-71 RP-160467 2094   SR-71 RP-160467 2094   SR-71 RP-160467 2096   SR-71 RP-160470 2096						Manieura III dissipatedifference for DC	
RP-71   RP-160503   2069   3   Addition of low complexity UEs and coverage enhancement features   13.1.0							
RP-71   RP-160461   2070   2   el-20 Capability   13.1.0   RP-71   RP-160467   2074   1   Procedural clarification on PSCell change involving PSCell release   13.1.0   RP-71   RP-160467   2074   1   Procedural clarification on PSCell change involving PSCell release   13.1.0   RP-71   RP-160467   2075   2   Modification of network-requested CA band combination retrieval for intra-band non-configuous CA   RP-71   RP-16047   2087   1   ANR in case of MFR in case of MFR   RP-71   RP-160467   2090   - Clarification on the lettmost bit for the supported/CellGrouping   13.1.0   RP-71   RP-160467   2090   - Clarification on the value range of guaranteed power for the MeN8 and   13.1.0   RP-71   RP-160467   2094   - Clarification on the value range of guaranteed power for the MeN8 and   13.1.0   RP-71   RP-160467   2094   - Clarification on the value range of guaranteed power for the MeN8 and   13.1.0   RP-71   RP-160470   2098   - S8.331 CR on TM10 CRS-IM UE capability report signalling introduction   13.1.0   RP-71   RP-160470   2009   - Guideline on harding of upink spare values   13.1.0   RP-71   RP-160470   2010   - Clarification on initial RSSI measurement reporting   13.1.0   RP-71   RP-160470   2010   - Clarification on initial RSSI measurement reporting   13.1.0   RP-71   RP-160470   2010   - Clarification on initial RSSI measurement reporting   13.1.0   RP-71   RP-160470   2010   - Clarification on initial RSSI measurement reporting   13.1.0   RP-71   RP-160470   2010   - Clarification on Micks Subset capability   RP-71   RP-160470   2010   - Clarification on Micks Subset capability   RP-71   RP-160470   2010   - Clarification on Micks Subset capability   RP-71   RP-160470   2010   - Clarification on Micks Subset capability   RP-71   RP-160470   2010   - Clarification on Micks Subset capability   RP-71   RP-160470   2010   - Clarification on Micks Subset capability   RP-71   RP-160470   2010   - Clarification on Micks Subset capability   RP-71   RP-160470   2010   - Clarification on Micks Subset capability					_		
RP-71   RP-160470   2072   1   SC-PTM corrections following ASN.1 review   13.1.0   RP-71   RP-160464   2075   2   Modification on PSCeld change involving PSCell release   13.1.0   RP-71   RP-160464   2075   2   Modification of network requested CA band combination retrieval for intra-hand non-contiguous CA   RP-71   RP-160467   2090   1   ANR in case of MFBI   3.1.0   RP-71   RP-160467   2090   1   ANR in case of MFBI   3.1.0   RP-71   RP-160467   2090   1   Clarification on the lettmost bit for the supportedCellGrouping   13.1.0   RP-71   RP-160467   2094   2   Miscolanous corrections to TS 36.331 repaired power for the MeNB and   13.1.0   RP-71   RP-160469   2096   2   Miscolanous corrections to TS 36.331 repaired power for the MeNB and   13.1.0   RP-71   RP-160469   2098   2   Miscolanous corrections to TS 36.331 related to eQRX   3.1.0   RP-71   RP-160469   2098   2   Miscolanous corrections to TS 36.331 related to eQRX   3.1.0   RP-71   RP-160469   2010   1   In-Device Coexistence for UL CA change of victim system   13.1.0   RP-71   RP-160470   2010   Introduction of the extension of messolopical frange   13.1.0   RP-71   RP-160470   2010   Introduction of the extension of messolopical frange   13.1.0   RP-71   RP-160470   2015   Introduction of the extension of messolopical frange   13.1.0   RP-71   RP-160470   2015   Introduction of the extension of messolopical frange   13.1.0   RP-71   RP-160470   2016   Introduction of the extension of messolopical frange   13.1.0   RP-71   RP-160470   2016   Introduction of the Stop DRX cycle   3.1.0   RP-71   RP-160470   2016   Introduction of the Stop DRX cycle   3.1.0   RP-71   RP-160470   2016   Introduction of the Stop DRX cycle   3.1.0   RP-72   RP-161040   2011   Introduction of the Stop DRX cycle   3.1.0   RP-72   RP-161040   2011   Introduction of the Stop DRX cycle   3.1.0   RP-72   RP-161040   2011   Introduction of the Stop DRX cycle   3.1.0   RP-72   RP-161040   2011   Introduction of the Stop DRX cycle   3.1.0   RP-72   RP-161040   2011   Introductio					_		
RP-71 RP-160467 2075   1					_		
RP-71   RP-160464   2075   2   Modification of network requested CA band combination retrieval for intra-band non-configuous CA   RP-71   RP-160470   2009   1   ANR in case of MFBI   3.1.0   RP-71   RP-160467   2009   Clarification on the leftmost bit for the supportedCellGrouping   13.1.0   RP-71   RP-160467   2004   Clarification on the leftmost bit for the supportedCellGrouping   13.1.0   RP-71   RP-160455   2006   Sain Sain Sain Sain Sain Sain Sain Sain					1	SC-PTM corrections following ASN.1 review	
RP-71 RP-160470 2087   January 1					1		
RP-71   RP-160467   2094   Clarification on the Value range of guaranteed power for the MeRB and SeNB   RP-71   RP-160465   2096   SeNB   Clarification on the Value range of guaranteed power for the MeRB and SeNB   RP-71   RP-160465   2097   2   Miscelanous corrections to TS 36.331 (related to eDRX   13.1.0   RP-71   RP-160465   2099   2   Miscelanous corrections to TS 36.331 (related to eDRX   13.1.0   RP-71   RP-160465   2009   2   Miscelanous corrections to TS 36.331 (related to eDRX   13.1.0   RP-71   RP-160465   2100   -					2	band non-contiguous CA	13.1.0
RP-71 RP-160467   2094   SaNB   SaNB   13.1.0				2087	1		
Se/NB   Se/NB   RP-71   RP-160455   2096   36.331 CR on TM10 CRS-IM UE capability report signalling introduction   13.1.0   RP-71   RP-160456   2097   2   Miscelanous corrections to TS 36.331 related to eDRX   13.1.0   RP-71   RP-160456   2009					-	Clarification on the leftmost bit for the supportedCellGrouping	
RP-71   RP-160456   2097   2   Miscelanous corrections to TS 36.331 related to eDRX   13.1.0		RP-71	RP-160467	2094	-		13.1.0
RP-71   RP-160456   2097   2   Miscelanous corrections to TS 36.331 related to eDRX   13.1.0		RP-71	RP-160455	2096	-		13.1.0
RP-71   RP-160470   2098   .   Guideline on handling of uplink spare values   13.1.0   RP-71   RP-160470   2010   .   In-Device Consistence for UL CA change of victim system   13.1.0   RP-71   RP-160470   2102   .   In-Device Consistence for UL CA change of victim system   13.1.0   RP-71   RP-160470   2102   .   Introduction of intal RSSI measurement reporting   13.1.0   RP-71   RP-160470   2103   1   Introduction of capability on PDSCH collision handling   13.1.0   RP-71   RP-160470   2105   1   Introduction of depathing to PDSCH collision handling   13.1.0   RP-71   RP-160470   2106   .   Introduction of S60 DRX cycle   13.1.0   RP-71   RP-160470   2106   .     Introduction on the description of 5.5.4.1   13.1.0   RP-71   RP-160470   2109   .     Clarification on NAICS subset capability   13.1.0   RP-71   RP-160470   2110   .     SC-PTM reception on non-Pcell   13.1.0   RP-71   RP-160470   2111   .     MBMS interest indication by SC-PTM capable UE   13.1.0   RP-71   RP-160460   2112   .     Additional Layer 1 capabilities for Rel-13 CA enhancements   13.1.0   RP-72   RP-161080   2114   .     Additional Layer 1 capabilities for Rel-13 CA enhancements   13.1.0   RP-72   RP-161080   2115   .     Additional Layer 1 capabilities for Rel-13 CA enhancements   13.1.0     RP-72   RP-161080   2116   .     Inter-note signalling   13.2.0     RP-72   RP-161080   2116   .     Inter-note signalling   13.2.0     RP-72   RP-161080   2116   .     Ulf-capability of an additional Rx and Tx requirement for a CA band   combination   13.2.0     RP-72   RP-161080   2125   .     Miscellaneous correction for sidelink   13.2.0     RP-72   RP-161080   2125   .     Miscellaneous correction for sidelink   13.2.0     RP-72   RP-161080   2130   .     Corrections for conditions of sidelink operation   13.2.0     RP-72   RP-161080   2130   .     Corrections for conditions of sidelink operation   13.2.0     RP-72   RP-161080   2130   .     Correction of conditions of sidelink operation   13.2.0     RP-72   RP-161080   2134   .   Correction o					2		
RP-71   RP-160465   2100   .   In-Device Coexistence for UL CA change of victim system   13.1.0					-		
RP-71					1-		
RP-71   RP-160470   2102   -					<b>†</b> -		
RP-71   RP-160470   2103   1   Introduction of the extension of measObjectId range   13.1.0   RP-71   RP-160470   2105   The correction on the description of 5.5.4.1   13.1.0   RP-71   RP-160470   2106   Introduction of s160 DRX cycle   13.1.0   RP-71   RP-160470   2110   Clarification on NAICS subset capability   13.1.0   RP-71   RP-160470   2111   MBMS interest indication by SC-PTM capable UE   13.1.0   RP-71   RP-160470   2111   MBMS interest indication by SC-PTM capable UE   13.1.0   RP-72   RP-160470   2111   Additional Layer (capabilities for Rel-13 CA eminancements   13.1.0   RP-72   RP-160480   2112   Additional Layer (capabilities for Rel-13 CA eminancements   13.1.0   RP-72   RP-161080   2115   Additional Layer (capabilities for Rel-13 CA eminancements   13.1.0   RP-72   RP-161080   2115   Additional Layer (capabilities for Rel-13 CA eminancements   13.1.0   RP-72   RP-161080   2115   Additional Layer (capabilities for Rel-13 CA eminancements   13.2.0   RP-72   RP-161080   2115   Inter-node signalling   13.2.0   RP-72   RP-161080   2116   Inter-node signalling   13.2.0   RP-72   RP-161076   2118   Ucapability of an additional Rx and Tx requirement for a CA band   13.2.0   RP-72   RP-161080   2125   drb-identity change in full configuration   13.2.0   RP-72   RP-161080   2126   drb-identity change in full configuration   13.2.0   RP-72   RP-161080   2127   Corrections for conditions of sidelink operation   13.2.0   Corrections for sidelink   RR-72   RP-161080   2131   Corrections for conditions of sidelink operation   13.2.0   RP-72   RP-161080   2132   Correction for will relate to the relate to the relate to the related corrections   13.2.0   RP-72   RP-161080   2134   Small corrections for establishing RRC Connection for sidelink   RR-72   RP-161080   2134   Small corrections for sidelink communication   13.2.0   RP-72   RP-161080   2134   Small corrections for WIP   13.2.0   RP-72   RP-161080   2134   Small correction of EMPA and LWIP upon handover   13.2.0   RP-72   RP-161080   2135   Introducin					1-		
RP-71   RP-160470   2105   1   The correction on the description of 5.5.4.1   13.1.0					1		
RP-71   RP-160470   2106   Introduction of s160 DRX cycle   13.1.0   RP-71   RP-160470   2110   Clarification on NAICS subset capability   13.1.0   RP-71   RP-160470   2111   SC-PTM reception on non-Poell   13.1.0   RP-71   RP-160470   2111   SC-PTM reception on non-Poell   13.1.0   RP-71   RP-160470   2111   Additional Layer 1 capabilities for Rel-13 CA enhancements   13.1.0   RP-71   RP-161080   2112   Additional Layer 1 capabilities for Rel-13 CA enhancements   13.1.0   RP-72   RP-161080   2115   Corrections to MTCe in TS 36.341   13.2.0   RP-72   RP-161080   2115   Corrections to MTCe in TS 36.341   13.2.0   RP-72   RP-161080   2116   Inter-node signalling   13.2.0   RP-72   RP-161080   2115   Clarification on SC-PTM   13.2.0   RP-72   RP-161076   2118   Ucapability of an additional Rx and Tx requirement for a CA band   13.2.0   combination   13.2.0   Correction on SC-PTM   13.2.0   RP-72   RP-161080   2126   drb-identity change in full configuration   13.2.0   RP-72   RP-161080   2126   drb-identity change in full configuration   13.2.0   RP-72   RP-161080   2127   Correction for conditions of sidelink operation   13.2.0   Correction on conditions of sidelink operation   13.2.0   Correction on conditions of sidelink operation   13.2.0   RP-72   RP-161080   2131   Correction on conditions of sidelink operation   13.2.0   RP-72   RP-161080   2131   Correction on conditions of sidelink operation   13.2.0   RP-72   RP-161080   2131   Correction for sidelink communication   13.2.0   RP-72   RP-161080   2131   Correction for Sidelink communication   13.2.0   RP-72   RP-161080   2134   Small corrections for Sidelink communication   13.2.0   RP-72   RP-161080   2134   Small corrections for Sidelink communication   13.2.0   RP-72   RP-161080   2135   Correction to WLAM measurements   13.2.0   RP-72   RP-161080   2135   Correction to WLAM measurements   13.2.0   RP-72   RP-161080   2135   Correction for Sidelink communication   13.2.0   RP-72   RP-161080   2135   Correction for Sidelink communication   13.2.0   RP	<b>—</b>				1		
RP-71   RP-160467   2109   1	<u> </u>				+-		
RP-71   RP-160470   2110   -   SC-PTM reception on non-Pcell   13.1.0   RP-71   RP-160470   2111   -   MBMS interest indication by SC-PTM capable UE   13.1.0   RP-71   RP-160480   2112   1   Additional Layer 1 capabilities for Rel-13 CA enhancements   13.1.0   13.2.0   RP-72   RP-161080   2115   2   Miscellaneous corrections to TS 36.331   13.2.0   RP-72   RP-161080   2116   1   Inter-node signalling   13.2.0   RP-72   RP-161080   2117   -   Ciarlification on SC-PTM   13.8.0   RP-72   RP-161080   2117   -   Ciarlification on SC-PTM   13.2.0   RP-72   RP-161080   2117   -   Ciarlification on SC-PTM   13.2.0   RP-72   RP-161080   2115   2   Miscellaneous corrections to TS 36.331   13.2.0   RP-72   RP-161076   2118   1   UE capability of an additional Rx and Tx requirement for a CA band   13.2.0   RP-72   RP-161080   2125   -   Miscellaneous correction for indignation   13.2.0   RP-72   RP-161080   2126   -   Miscellaneous correction for sidelink   13.2.0   RP-72   RP-161080   2127   -   Corrections for conditions of sidelink operation   13.2.0   RP-72   RP-161080   2130   1   Corrections for conditions of sidelink operation   13.2.0   RP-72   RP-161080   2131   1   Corrections for isdelink communication transmission   13.2.0   RP-72   RP-161080   2133   1   Corrections for isdelink communication transmission   13.2.0   RP-72   RP-161080   2133   1   Small corrections to UWIP   13.2.0   RP-72   RP-161080   2133   1   Small corrections to WLAN measurements   13.2.0   RP-72   RP-161080   2133   1   Small corrections for CLWIP configuration   13.2.0   RP-72   RP-161080   2135   1   Alignment of RCLWI configuration   13.2.0   RP-72   RP-161080   2143   1   Small self-related corrections   13.2.0   RP-72   RP-161080   2144   1   Correction of pendide CSI reporting and clarification on P-C and CBSR   13.2.0   RP-72   RP-161080   2144   -   Callification regarding IDC indication upon change of UL CA affecting GNSS   13.2.0   RP-72   RP-161080   2144   -   Callification on system information handling in eMTC   13.2.0					-		
RP-71 RP-160460 2112   1	<u> </u>				11		
RP-71   RP-160460   2112   1   Additional Layer 1 capabilities for Rel-13 CA enhancements   13.1.0					-	SC-P1M reception on non-Pcell	
G6/2016   RP-72   RP-161080   2114   .     Corrections to MTCe in Ts 36.331   13.2.0					-		
RP-72					1	Additional Layer 1 capabilities for Rel-13 CA enhancements	
RP-72   RP-161080   2116   1	06/2016				-		
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RP-72		RP-72	RP-161080	2116	1	Inter-node signalling	13.2.0
RP-72   RP-161080   2126   -		RP-72	RP-161080	2117	-	Clarification on SC-PTM	13.2.0
RP-72   RP-161073   2125		RP-72	RP-161076	2118	1		13.2.0
RP-72   RP-161080   2126   Miscellaneous correction for sidelink   13.2.0   RP-72   RP-161080   2127   Corrections for conditions of sidelink operation   13.2.0   RP-72   RP-161080   2130   1   Correction on conditions for establishing RRC Connection for sidelink   13.2.0   Correction on conditions for establishing RRC Connection for sidelink   13.2.0   Correction for sidelink communication transmission   13.2.0   RP-72   RP-161080   2132   1   Correction for sidelink communication transmission   13.2.0   RP-72   RP-161080   2133   1   Small corrections to LWIP   13.2.0   RP-72   RP-161080   2134   1   Small corrections to LWIP   13.2.0   RP-72   RP-161080   2135   1   Alignment of RCLWI configuration   13.2.0   RP-72   RP-161080   2135   1   Alignment of RCLWI configuration   13.2.0   RP-72   RP-161080   2136   1   Configuration of LWA and LWIP upon handover   13.2.0   RP-72   RP-161080   2137   1   Introducing EBF/FD-MIMO capabilities   13.2.0   RP-72   RP-161080   2137   1   Introducing EBF/FD-MIMO capabilities   13.2.0   RP-72   RP-161080   2143   3   Correction of periodic CSI reporting and clarification on p-C and CBSR   13.2.0   RP-72   RP-161080   2145   Avoiding simultaneous configuration of LWA and DC for a UE   13.2.0   RP-72   RP-161080   2146   Miscellaneous RRC corrections for LWA   13.2.0   RP-72   RP-161080   2147   1   Autonomous WLAN measurement ID removal   13.2.0   RP-72   RP-161080   2149   1   Correction to channel number range   13.2.0   RP-72   RP-161080   2150   1   Correction on frequency hopping signaling   13.2.0   RP-72   RP-161080   2150   1   Correction on system information handling in eMTC   13.2.0   RP-72   RP-161080   2150   1   Correction on system information handling in eMTC   13.2.0   RP-72   RP-161080   2160   1   Correction on system information handling in eMTC   13.2.0   RP-72   RP-161080   2160   1   Correction on the usage of threshold conditions for sidelink relay UE   13.2.0   RP-72   RP-161080   2160   1   Correction on the usage of threshold conditions for side		RP-72	RP-161073	2125	1_		13 2 0
RP-72					<del> </del>		
RP-72   RP-161080   2130   1							
RP-72					1	Correction on conditions for establishing RRC Connection for sidelink	
RP-72   RP-161080   2132   1   Correction to WLAN measurements   13.2.0     RP-72   RP-161080   2133   1   Small corrections to LWIP   13.2.0     RP-72   RP-161080   2134   1   Small eSL related corrections   13.2.0     RP-72   RP-161080   2135   1   Alignment of RCLWI configuration   13.2.0     RP-72   RP-161080   2136   1   Configuration of LWA and LWIP upon handover   13.2.0     RP-72   RP-161080   2137   1   Introducing EBF/FD-MIMO capabilities   13.2.0     RP-72   RP-161080   2137   1   Introducing EBF/FD-MIMO capabilities   13.2.0     RP-72   RP-161080   2143   3   Correction of periodic CSI reporting and clarification on p-C and CBSR   13.2.0     RP-72   RP-161080   2143   3   Correction of periodic CSI reporting and clarification on p-C and CBSR   13.2.0     RP-72   RP-161080   2144   -   CR on SI window combining for MTC   13.2.0     RP-72   RP-161080   2145   -   Avoiding simultaneous configuration of LWA and DC for a UE   13.2.0     RP-72   RP-161080   2145   -   Avoiding simultaneous configuration of LWA and DC for a UE   13.2.0     RP-72   RP-161080   2147   1   Autonomous WLAN measurement ID removal   13.2.0     RP-72   RP-161080   2149   1   Correction to channel number range   13.2.0     RP-72   RP-161080   2150   -   Correction of backhaul bandwidth description   13.2.0     RP-72   RP-161075   2152   1   Support of CRS-Assistance signaling   13.2.0     RP-72   RP-161080   2151   1   Correction on system information handling in eMTC   13.2.0     RP-72   RP-161080   2163   1   Correction on sesential system information missing   13.2.0     RP-72   RP-161080   2163   1   Correction on the usage of threshold conditions for sidelink relay UE   13.2.0     RP-72   RP-161080   2167   Clarification on the usage of threshold conditions for sidelink relay UE   13.2.0     RP-72   RP-161080   2167   Clarification on the usage of threshold conditions for sidelink relay UE   13.2.0     RP-72   RP-161080   2170   Correction on configuration of PRACH and MPDCCH for RA procedure for   13.2.0     RP-72   RP-161						communication	
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RP-72							
RP-72		RP-72			1		
RP-72		RP-72	RP-161080	2136	1	Configuration of LWA and LWIP upon handover	13.2.0
RP-72		RP-72	RP-161080	2137	1		
RP-72		RP-72			-		13.2.0
RP-72   RP-161080   2144   -     CR on SI window combining for MTC   13.2.0					3	Correction of periodic CSI reporting and clarification on p-C and CBSR	
RP-72		RP-72	RP-161090	21//	<del> </del>		13 2 0
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RP-72	<u> </u>				-	Autonomous MI AN moosy are and ID represent	
RP-72   RP-161080   2150   -	<u> </u>						
RP-72   RP-161080   2151   1   Correction on frequency hopping signaling   RP-72   RP-161075   2152   1   Support of CRS-Assistance signaling for the DL Control Channel IM   13.2.0					1		
RP-72         RP-161075         2152         1         Support of CRS-Assistance signaling for the DL Control Channel IM         13.2.0           RP-72         RP-161078         2154         -         Correction on condition nonFullConfig in dual connectivity         13.2.0           RP-72         RP-161080         2159         1         Correction on system information handling in eMTC         13.2.0           RP-72         RP-161080         2160         1         Correction on essential system information missing         13.2.0           RP-72         RP-161080         2162         -         Steering command during T350         13.2.0           RP-72         RP-161080         2163         1         UE behaviours while configured with steeringCommandWLAN (release)         13.2.0           RP-72         RP-161077         2166         1         Correction of IE name "systemInformationBlockType1Dedicated"         13.2.0           RP-72         RP-161080         2167         -         Clarification of timer description for MCLD         13.2.0           RP-72         RP-161080         2169         -         Clarification on the usage of threshold conditions for sidelink relay UE         13.2.0           RP-72         RP-161080         2171         -         Variable Handling for RCLWI         13.2.0 <tr< td=""><td></td><td></td><td></td><td></td><td><u> -</u></td><td></td><td></td></tr<>					<u> -</u>		
RP-72         RP-161078         2154         -         Correction on condition nonFullConfig in dual connectivity         13.2.0           RP-72         RP-161080         2159         1         Correction on system information handling in eMTC         13.2.0           RP-72         RP-161080         2160         1         Correction on essential system information missing         13.2.0           RP-72         RP-161080         2162         -         Steering command during T350         13.2.0           RP-72         RP-161080         2163         1         UE behaviours while configured with steeringCommandWLAN (release)         13.2.0           RP-72         RP-161077         2166         1         Correction of IE name "systemInformationBlockType1Dedicated"         13.2.0           RP-72         RP-161080         2167         -         Clarification of timer description for MCLD         13.2.0           RP-72         RP-161080         2169         -         Clarification on the usage of threshold conditions for sidelink relay UE         13.2.0           RP-72         RP-161080         2170         -         Corrections to LWA         13.2.0           RP-72         RP-161080         2171         -         Variable Handling for RCLWI         13.2.0           RP-72         RP-161080<	L				1		
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RP-72         RP-161080         2160         1         Correction on essential system information missing         13.2.0           RP-72         RP-161080         2162         -         Steering command during T350         13.2.0           RP-72         RP-161080         2163         1         UE behaviours while configured with steeringCommandWLAN (release)         13.2.0           RP-72         RP-161077         2166         1         Correction of IE name "systemInformationBlockType1Dedicated"         13.2.0           RP-72         RP-161080         2167         -         Clarification of timer description for MCLD         13.2.0           RP-72         RP-161080         2169         -         Clarification on the usage of threshold conditions for sidelink relay UE         13.2.0           RP-72         RP-161080         2170         -         Corrections to LWA         13.2.0           RP-72         RP-161080         2171         -         Variable Handling for RCLWI         13.2.0           RP-72         RP-161080         2174         1         Correction on configuration of PRACH and MPDCCH for RA procedure for BL UEs or UEs in CE         13.2.0				2159	1	Correction on system information handling in eMTC	
RP-72         RP-161080         2162         -         Steering command during T350         13.2.0           RP-72         RP-161080         2163         1         UE behaviours while configured with steeringCommandWLAN (release)         13.2.0           RP-72         RP-161077         2166         1         Correction of IE name "systemInformationBlockType1Dedicated"         13.2.0           RP-72         RP-161080         2167         -         Clarification of timer description for MCLD         13.2.0           RP-72         RP-161080         2169         -         Clarification on the usage of threshold conditions for sidelink relay UE         13.2.0           RP-72         RP-161080         2170         -         Corrections to LWA         13.2.0           RP-72         RP-161080         2171         -         Variable Handling for RCLWI         13.2.0           RP-72         RP-161080         2174         1         Correction on configuration of PRACH and MPDCCH for RA procedure for BL UEs or UEs in CE         13.2.0           RP-72         RP-161080         2175         -         Clarification on LWA         13.2.0					1	Correction on essential system information missing	
RP-72         RP-161080         2163         1         UE behaviours while configured with steeringCommandWLAN (release)         13.2.0           RP-72         RP-161077         2166         1         Correction of IE name "systemInformationBlockType1Dedicated"         13.2.0           RP-72         RP-161080         2167         -         Clarification of timer description for MCLD         13.2.0           RP-72         RP-161080         2169         -         Clarification on the usage of threshold conditions for sidelink relay UE         13.2.0           RP-72         RP-161080         2170         -         Corrections to LWA         13.2.0           RP-72         RP-161080         2171         -         Variable Handling for RCLWI         13.2.0           RP-72         RP-161080         2174         1         Correction on configuration of PRACH and MPDCCH for RA procedure for BL UEs or UEs in CE         13.2.0           RP-72         RP-161080         2175         -         Clarification on LWA         13.2.0					-	Steering command during T350	
RP-72         RP-161077         2166         1         Correction of IE name "systemInformationBlockType1Dedicated"         13.2.0           RP-72         RP-161080         2167         -         Clarification of timer description for MCLD         13.2.0           RP-72         RP-161080         2169         -         Clarification on the usage of threshold conditions for sidelink relay UE         13.2.0           RP-72         RP-161080         2170         -         Corrections to LWA         13.2.0           RP-72         RP-161080         2171         -         Variable Handling for RCLWI         13.2.0           RP-72         RP-161080         2174         1         Correction on configuration of PRACH and MPDCCH for RA procedure for BL UEs or UEs in CE         13.2.0           RP-72         RP-161080         2175         -         Clarification on LWA         13.2.0					1	UE behaviours while configured with steeringCommandWLAN (release)	
RP-72         RP-161080         2167         -         Clarification of timer description for MCLD         13.2.0           RP-72         RP-161080         2169         -         Clarification on the usage of threshold conditions for sidelink relay UE         13.2.0           RP-72         RP-161080         2170         -         Corrections to LWA         13.2.0           RP-72         RP-161080         2171         -         Variable Handling for RCLWI         13.2.0           RP-72         RP-161080         2174         1         Correction on configuration of PRACH and MPDCCH for RA procedure for BL UEs or UEs in CE         13.2.0           RP-72         RP-161080         2175         -         Clarification on LWA         13.2.0					1	Correction of IE name "systemInformationBlockType1Dedicated"	
RP-72         RP-161080         2169         -         Clarification on the usage of threshold conditions for sidelink relay UE         13.2.0           RP-72         RP-161080         2170         -         Corrections to LWA         13.2.0           RP-72         RP-161080         2171         -         Variable Handling for RCLWI         13.2.0           RP-72         RP-161080         2174         1         Correction on configuration of PRACH and MPDCCH for RA procedure for BL UEs or UEs in CE         13.2.0           RP-72         RP-161080         2175         -         Clarification on LWA         13.2.0					1-		
RP-72   RP-161080   2170   -     Corrections to LWA   13.2.0     RP-72   RP-161080   2171   -     Variable Handling for RCLWI   13.2.0     RP-72   RP-161080   2174   1   Correction on configuration of PRACH and MPDCCH for RA procedure for BL UEs or UEs in CE     RP-72   RP-161080   2175   -   Clarification on LWA   13.2.0					1-		
RP-72         RP-161080         2171         -         Variable Handling for RCLWI         13.2.0           RP-72         RP-161080         2174         1         Correction on configuration of PRACH and MPDCCH for RA procedure for BL UEs or UEs in CE         13.2.0           RP-72         RP-161080         2175         -         Clarification on LWA         13.2.0					<del> </del>		
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	RP-76 RP-76 RP-76 RP-76 RP-76 RP-76 RP-76	RP-171246 RP-171244 RP-171245 RP-171224 RP-171224 RP-171224 RP-171223	2912 2919 2920 2927 2929 2931 2932	- - - 2 2	A A F F	Clarification on additionalSpectrumEmission for eMTC Clarification on additionalSpectrumEmission for NB-IoT Correction to CarrierConfigDedicated-NB Miscellaneous NB-IoT corrections and clarifications resulting from ASN.1 review Extension of SIntraSearchP value range Maximum PDSCH/PUSCH BW preference indication handling for handover	14.3.0 14.3.0 14.3.0 14.3.0 14.3.0 14.3.0

	RP-76	RP-171243	2944	-	Α	Clarification regarding EBF-FDMIMO configuration (REL-13)	14.3.0
	RP-76	RP-171245	2945	1	Α	Generic clarification of "first bit" as leftmost bit	14.3.0
	RP-76	RP-171229	2948	-	В	Introduction of UE capabilities for high speed	14.3.0
	RP-76	RP-171229	2949	-	F	Correction of high speed	14.3.0
	RP-76 RP-76	RP-171227 RP-171244	2950 2952	1	F A	CR on reduction of SIB21 size  Configuration of preamble groups for CE levels and preamble groups A/B	14.3.0 14.3.0
	RP-76	RP-171244	2954	1	Α	Extension of RSRP range for eMTC	14.3.0
	RP-76	RP-171169	2958	-	С	FeMBMS/unicast-mixed carrier flag in measurement object	14.3.0
09/2017	RP-77	RP-171919	2807	4	Α	Correction to PUCCH-ConfigDedication	14.4.0
	RP-77	RP-171914	2961	2	F	Correction on SPS assistance information in TS 36.331	14.4.0
	RP-77	RP-171914	2977	1	F B	Miscellaneous correction to V2X in TS 36.331  Introduction of new NS values for V2X sidelink communication	14.4.0
	RP-77 RP-77	RP-171914 RP-171918	2978 2980	3	А	Adding abstract syntax notation one chapter of sidelink pre-configuration.	14.4.0 14.4.0
	RP-77	RP-171914	2983	1	F	Correction on TTI bundling for TDD configurations 2 and 3	14.4.0
	RP-77	RP-171911	2984	2	F	Corrections on the use of plmn-IdentityList in field descriptions	14.4.0
	RP-77	RP-171915	2985	2	F	RRC Connection Re-establishment for Control Plane CloT EPS Optimization	14.4.0
	RP-77	RP-171919	2989	-	Α	Clarification that DL only bands are not supported in NB-IoT	14.4.0
	RP-77	RP-171915	2990	1	F	Cleanup for NB-IoT Enhancements	14.4.0
	RP-77 RP-77	RP-171920 RP-171914	2992 2993	2	A F	Clarification on SI repetition pattern CR on condition for RRC connection establishment and condition for sidelink	14.4.0
	KF-11	KF-17 1914	2993	_		UE information for V2X sidelink communication	14.4.0
	RP-77	RP-171913	2994	2	F	Correction on RACH-less SeNB Change	14.4.0
	RP-77	RP-171915	2995	-	F	Corrections on eVoLTE	14.4.0
	RP-77	RP-171914	2997	2	F	Corrections to random selection for P2X related V2X sidelink communication	14.4.0
<u> </u>	RP-77 RP-77	RP-171916 RP-171915	3002 3008	2	A F	additionalSpectrumEmission extension  Correction of field descriptions for recommendedBitRate and	14.4.0 14.4.0
	IXI -11	11 -17 1913	3000	'		recommendedBitRateQuery	14.4.0
	RP-77	RP-171919	3014	2	Α	RRM Measurement Clarification on Discovery Signals for LAA	14.4.0
	RP-77	RP-171919	3018	-	Α	Correction in PUSCH Config description	14.4.0
	RP-77	RP-171913	3022	-	F	Cat-M1 indication by Cat-M2 UE	14.4.0
	RP-77 RP-77	RP-171920 RP-171920	3025 3027	1	A	Clarification on the freqHoppingParametersDL during handover Clarification on rsrp-ThresholdsPrachInfoList during handover	14.4.0 14.4.0
	RP-77	RP-171913	3028	-	F	Clarification on systemInformationBlockType2Dedicated	14.4.0
	RP-77	RP-171920	3030	2	Α	Clarification on Bandwidth Reduced operation	14.4.0
	RP-77	RP-171915	3036	-	F	Correction for connEstFailOffset	14.4.0
	RP-77	RP-171911	3040	-	F	Clarification on LWIP aggregation	14.4.0
	RP-77 RP-77	RP-171913	3041	2	F C	Correction to eLAA configuration	14.4.0
	RP-77	RP-171914 RP-171920	3042 3044	1	A	Packet Reordering for Sidelink  Corrections on TS 36.331 for Rel-13 MTC	14.4.0
	RP-77	RP-171913	3047	-	F	Corrections on Bandwidth preference indication for Rel-14 MTC	14.4.0
	RP-77	RP-171913	3048	1	F	Corrections on TS 36.331 for Rel-14 MTC	14.4.0
	RP-77	RP-171914	3051	2	F	Clarification on NCSG UE capability	14.4.0
	RP-77	RP-171914	3052	1	F	Corrections to UL 256 QAM capability field descriptions	14.4.0
	RP-77 RP-77	RP-171914 RP-171915	3054	1	F C	Clarification on per CC measurement gap	14.4.0 14.4.0
	RP-77	DD 4=4040	3055 3057	<u> </u>	A	Introduction of RLC UM support for LWA  Correction on eCA with Dual Connectivity	14.4.0
	RP-77	RP-171913	3059	-	F	Clarification of the PTAG value for the RACH-less handover	14.4.0
	RP-77	RP-171920	3063	1	Α	Clarification on number of RACH CE levels vs number of RSRP thresholds	14.4.0
	RP-77	RP-171915	3064	1	F	Correction to contention free random access	14.4.0
	RP-77	RP-171913	3065	2	C	Introduction of Release Assistance Indication	14.4.0
	RP-77 RP-77	RP-171920 RP-171915	3067 3068	1	A F	TM9 capabilities in CE mode Introduction of interference randomisation in NB-IoT	14.4.0
	RP-77	RP-171915	3070	-	A	Clarification or Interference randomisation in NB-101	14.4.0
12/2017	RP-78	RP-172615	2968	5	F	Cleaning up CQI and CSI-RS-related configurations (related to Rel-14	14.5.0
						ASN.1 review issue N.099)	
	RP-78	RP-172615	2982	8	В	Introduction of the overheating indication	14.5.0
	RP-78 RP-78	RP-172616 RP-172624	3037 3046	3	F A	Target cell optional PBCH repetition status indication  Corrections on paging monitoring in RRC_CONNECTED in Rel-13 eMTC	14.5.0 14.5.0
	RP-78	RP-172024 RP-172721	3046	3	В	Introduction of DL 2Gbps Category	14.5.0
	RP-78	RP-172617	3072	3	F	Correction to Inter-frequency reception for V2X sidelink communication	14.5.0
	RP-78	RP-172617	3073	4	F	CR on SIB21 reading	14.5.0
	RP-78	RP-172622	3081	2	Α	UE capabilities for Tx antenna selection	14.5.0
	RP-78	RP-172617	3084	3	F	Transmission of P2X sidelink communication in Exceptional Pool	14.5.0
	RP-78 RP-78	RP-172617 RP-172616	3085 3088	2	F F	Correction on SubframeBitmap Configuration in Band 47 Correction on SRS switching capabilities field description	14.5.0 14.5.0
	RP-78	RP-172617	3090	2	F	Clarification on Interference Randomisation in NB-IoT in 36.331	14.5.0
	RP-78	RP-172616	3091	1	F	MUST capability	14.5.0
	RP-78	RP-172624	3096	4	Α	Corrections on field description of cellSelectionInfoCE for eMTC	14.5.0
	RP-78	RP-172617	3107	2	F	Correction to UE capabilities	14.5.0
	RP-78	RP-172623	3108	1	A	Define requirement for reception of number of simultaneous SC-PTM services	14.5.0
	RP-78	RP-172616	3110	3	В	Signaling of NCSG Support for Inter-F Measurement	14.5.0
	RP-78	RP-172623	3112	2	Α	Clarification on csi-RS-ConfigNZPId	14.5.0

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	RP-78	RP-172617	3113	4	F	Correction to UE-Capability-NB extension and provision for late rel-13 corrections	14.5.0
	RP-78	RP-172624	3120	1	F	Alignment of FGI4 (Short DRX) for Cat M1 and M2	14.5.0
	RP-78	RP-172616	3127	-	F	UE capability for support of SRS enhancements without support of comb 4	14.5.0
	RP-78	RP-172624	3129	1	F	MBSFN subframes for target cell during handover to CE cell	14.5.0
	RP-78	RP-172615	3132	3	С	Reject of unprotected redirect to GERAN	14.5.0
	RP-78	RP-172616	3135	2	F	Correction to actions related to InterFreqRSTDMeasurementIndication message	14.5.0
	RP-78	RP-172616	3137	1	F	Clarification on srs-UpPtsAdd in SRS coverage enhancement	14.5.0
	RP-78	RP-172616	3138	1	F	Scheduling information of SIB1-BR when skipping MIB during HO	14.5.0
	RP-78	RP-172624	3140	1	Α	Introducing a definition for the term UE in CE	14.5.0
	RP-78	RP-172617	3153	2	F	NRS-CRS power offset configuration for NB-IoT	14.5.0
	RP-78	RP-172617	3154	3	С	Introduction of relaxed monitoring in NB-IoT	14.5.0
	RP-78 RP-78	RP-172617 RP-172624	3157 3160	1	F A	Successful acknowledgement of RRCConnectionRelease TM6 capabilities in CE mode	14.5.0 14.5.0
	RP-78	RP-172624	3169	1	F	Correction on the field description of ce-PDSCH-TenProcesses	14.5.0
	RP-78	RP-172617	3175	1	F	Small corrections to CarrierConfigDedicated, T322 and t-reordering default configuration	14.5.0
	RP-78	RP-172617	3176	1	F	Correction to random access power control in 36.331	14.5.0
	RP-78	RP-172616	3180	1	В	Introduction of a new configuration for ssp10 with less CRS	14.5.0
	RP-78	RP-172617	3184	<u> -</u>	F	Correction on zone configuration in transmission pool selection	14.5.0
	RP-78	RP-172622	3190	-	Α	DCI monitoring subframes for eIMTA	14.5.0
	RP-78	RP-172623	3194	-	F	SFN desynchronizaion between eNB and eDRX UE	14.5.0
	RP-78	RP-172614	3115	3	В	Introducing support for NR, changes relevant for NSA	15.0.0
01/2018	DD 72	DD 400 101	0000		_	Removed ASN.1 errors to make it pass the syntax check	15.0.1
03/2018		RP-180491	3208	2	F	Miscellaneous corrections from review in preparation for ASN.1 freeze	15.1.0
	RP-79 RP-79	RP-180443	3217 3222	-	Α	Correction on SRS carrier switching	15.1.0
	RP-79 RP-79	RP-180443 RP-180445	3222	1	A A	Correction to field description for HARQ-ACK delay for Rel-14 MTC Correction to RRCConnectionReestablishment message in 36.331	15.1.0 15.1.0
	RP-79	RP-180448	3245	2	Α	Introduction of LTE DL 1.4Gbps Category	15.1.0
	RP-79	RP-180442	3256	1	Α	Correction to handling of p-Max procedure for high-power UEs	15.1.0
	RP-79	RP-180446	3263	2	Α	Correction on Override of the highPriorityAccess Establishment Cause by	15.1.0
	RP-79	RP-180442	3267	1	Α	the mo-VoiceCall value  Different power class support for band combinations	15.1.0
	RP-79	RP-180444	3272	1	A	Clarifications on V2X resource selection in the absence of positioning	15.1.0
						information	
	RP-79 RP-79	RP-180446	3274 3277	1	Α	Correction to GERAN redirection without security	15.1.0
	RP-79	RP-180441 RP-180446	3279	2	A A	Correction to pucch-ConfigDedicated for fallback configuration Signalling for reading shared PLMN information from non-CSG cells	15.1.0 15.1.0
	RP-79	RP-180443	3282	-	A	Clarification to PUCCH Configuration for LAA SCells	15.1.0
	RP-79	RP-180441	3296	2	A	Clarification on the NPRACH starting subcarrier partitioning for multi-tone Msg3 transmission	15.1.0
	RP-79	RP-180443	3297	2	Α	Introduction of support of relaxed monitoring for BL and CE UE	15.1.0
	RP-79	RP-180444	3301	1	Α	Correction on SI-offsetIndicator for the sidelink resource pool	15.1.0
	RP-79	RP-180441	3306	1-	Α	RRC Corrections for RRC Resume	15.1.0
06/2018	RP-80	RP_181230	3293	2	Α	Removal of the FDD/TDD diff restriction for crs-InterfHandl IE	15.2.0
		RP-181171	3303	5	С	Qualcomm Incorporated, Gemalto N.V	15.2.0
	RP-80	RP-181235	3307	3	Α	Small correction on PhysicalConfigDedicated-NB	15.2.0
	RP-80	RP-181234	3312	2	A	Correction on SPS assistance information in TS 36.331	15.2.0
	RP-80	RP-181233	3324	1	F	Successful acknowledgement of RRCConnectionRelease for BL and CE UE	15.2.0
	RP-80	RP-181230	3357	2	Α	Correction for IDC hardware sharing problems	15.2.0
	RP-80 RP-80	RP-181234 RP-181236	3360 3365	3	A	Corrections to syncOffsetIndicator Configuration Correction on UE capabilities	15.2.0 15.2.0
	RP-80	RP-181231	3370	1	A	Clarification on ue-TxAntennaSelectionSupported when bandParameterList-v1380 is included	15.2.0
	RP-80	RP-181216	3386	3	F	Miscellaneous EN-DC related corrections	15.2.0
	RP-80	RP-181229	3394	1	F	Handling of Pmax for PC2 and uplink intra-band contiguous CA capable UEs	15.2.0
	RP-80	RP-181236	3396	1	Α	Correction for support of alternative TBS indices	15.2.0
	RP-80	RP-181233	3399	1	Α	Clarification on RACH-less configuration release	15.2.0
	RP-80	RP-181233	3426	-	Α	Clarification on RRC reconfiguration without handover for switching EC to NC	15.2.0
	RP-80	RP-181233	3427	-	А	Correction on extended RSRP measurement reporting for BL UE or UE in CE	15.2.0
	RP-80	RP-181232	3430	1	Α	Correction to handling of p-Max procedure for high-power UEs	15.2.0
	RP-80	RP-181236	3433	<u> </u>	Α	Clarification on cellIdentity for shortMAC-I	15.2.0
	RP-80	RP-181236	3439	2	Α	Introduction of DL Channel Quality reporting	15.2.0
	RP-80	RP-181235	3441	1	Α	Introduction of serving cell idle mode measurements reporting in 36.331	15.2.0
1 1	RP-80	RP-181235	3442	1	Α	Correction to T310 timer description and editorials	15.2.0
	RP-80	RP-181234	3454	1	Α	Corrections to CBR Measurement Report Triggering	15.2.0
			10400	14	Α	Correction on delta-RxLevMinCE1	15.2.0
	RP-80 RP-80	RP-181224 RP-181234	3466 3468	1	Α	Introduce the short value of sc-mcch repetition period and sc-mcch	15.2.0
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	RP-80	RP-181416	3406	2	Α	Corrections to additionalSpectrumEmission extension	15.2.0
	RP-80	101110	0.00	<del>  -  </del>	, ,	Added <cr> to UE-EUTRA-Capability-v1520-IEs ASN.1 structure to make it</cr>	
						pass the syntax	
	RP-80					Corrects ASN.1 consistency problems between releases 13, 14 and 15.	15.2.2
09/2018		RP-181953	3144	3	В	Introduction of QoE Measurement Collection for LTE	15.3.0
	RP-81	RP-181951	3178	6	В	Introduce assistance information for local cache 36.331 CR	15.3.0
	RP-81	RP-181939	3186	8	В	Introducing support for NR, changes only relevant for SA	15.3.0
	RP-81	RP-182130	3202	9	В	Introduction of shortened TTI and processing time for LTE	15.3.0
	RP-81	RP-181955	3211	7	В	Introduction of DEFLATE based UDC Solution	15.3.0
	RP-81	RP-181960	3226	10	В	Enhancement of SRS antenna switching in TS 36.331	15.3.0
	RP-81	RP-181947	3227	6	В	Support of 1024QAM in TS 36.331	15.3.0
	RP-81	RP-181964	3251	6	В	Introduction of further enhancements to CoMP	15.3.0
	RP-81	RP-181945	3333	8	В	Introduction of further NB-IoT enhancements other than EDT in TS 36.331	15.3.0
	RP-81	RP-181949	3341	6	В	Introduction of time reference provision	15.3.0
	RP-81	RP-182000	3342	7	В	Introduction of Bluetooth and WLAN measurement collection in MDT	15.3.0
	RP-81	RP-181960	3343	5	В	Running 36.331 CR for HSDN	15.3.0
	RP-81	RP-181944	3389	4	В	Introduction of EDT for eMTC and NB-IoT enhancements	15.3.0
	RP-81	RP-182081	3390	5	В	Introduction of Rel-15 eMTC enhancements (other than EDT)	15.3.0
	RP-81	RP-182006	3391	8	В	Signalling for euCA (Enhancing LTE CA Utilization)	15.3.0
	RP-81	RP-182146	3397	3	В	Advanced CSI CBSR CBSR parameter and related capability for FD-MIMO	15.3.0
	RP-81	RP-181960	3407	1	В	Avoiding FGI20 limitation	15.3.0
	RP-81	RP-182119	3408	6	В	Implementing network-based CRS interference mitigation	15.3.0
	RP-81	RP-181992	3423	5	В	Introduction of eV2X in TS 36.331	15.3.0
	RP-81 RP-81	RP-181962 RP-182005	3436 3437	7	A B	Correction on the field description of enable256QAM Introduction of Release-15 Aerial functionality	15.3.0 15.3.0
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$\vdash$	RP-81 RP-81	RP-181961 RP-181958	3445 3446	2	A B	Clarification to Security mode failure in NB-IoT  Introduction of increased number of E-UTRAN data bearers	15.3.0 15.3.0
-	RP-81	RP-181956	3450	3	В	Addition of broadcast of positioning assistance data	15.3.0
	RP-81	RP-181960	3453	4	В	Control Plane latency reduction	15.3.0
	RP-81	RP-181946	3473	4	В	Introduce feLAA in TS 36.331	15.3.0
	RP-81	RP-181949	3474	3	В	Introduction of Ultra Reliable Low Latency Communication for LTE	15.3.0
	RP-81	RP-181950	3475	2	В	Capture NR agreements into 36.331 for E-UTRA connected to 5GC	15.3.0
	RP-81	RP-181939	3481	1	F	Miscellaneous EN-DC related corrections	15.3.0
	RP-81	RP-181961	3489	† <u>'</u>	Α	Correcting a typo in aperiodicCSI-Trigger	15.3.0
	RP-81	RP-181945	3491	2	F	Correcting in type in apendance in ringer  Correcting inconsistent ASN.1 for NB-IoT	15.3.0
	RP-81	RP-181963	3497	1	A	Correction to RRC Connection Re-establishment for the control plane	15.3.0
	RP-81	RP-181960	3499	1	В	Introduction of modulation enhancements	15.3.0
	RP-81	RP-181961	3502	1	Α	Radio resource configuration handling when resuming a suspended RRC	15.3.0
			0002	-		connection	10.0.0
	RP-81	RP-181962	3516	1	Α	Correction to the description of UE capability for V2X sidelink communication	15.3.0
	RP-81	RP-181962	3518	-	Α	Correction on the duplex mode configuration for Rx pool	15.3.0
	RP-81	RP-181960	3523	1	С	Introduction of Geofencing information in CMAS	15.3.0
	RP-81	RP-181962	2531	-	Α	Correction on V2X TX pool selection	15.3.0
	RP-81	RP-181962	3533	1	Α	CR on Clarification of Configuring codebookConfigNx for Rel-15	15.3.0
	RP-81	RP-181962	3538	-	Α	Clarification for the asynchronous HARQ with the LTE mobility	15.3.0
						enhancements	
	RP-81	RP-181962	3539	-	Α	Correction for Zoning	15.3.0
	RP-81	RP-181960	3541	-	В	UE UL categories for 1024QAM	15.3.0
12/2018	RP-82	RP-182681	3495	3	Α	Editorial restructuring of NPRACH resource configuration	15.4.0
	RP-82	RP-182674	3506	5	F	CR for T312 on LTE HetNet mobility	15.4.0
	RP-82	RP-182655	3525	3	F	Introdcution of including EUTRA UE capability for MRDC usage	15.4.0
	RP-82	RP-182680	3544	3	F	Correction for sidelink measurement periodical triggering condition	15.4.0
	RP-82	RP-182678	3548	3	F	Correction on UE capability for eV2X	15.4.0
	RP-82	RP-182675	3551	2	F	Clarification on RRC state transition	15.4.0
	RP-82	RP-182675	3553	1	F	Addition of RAN specific Access Category	15.4.0
	RP-82	RP-182675	3554	1	F	Clarification to no barring configuration for Implicit UAC	15.4.0
	RP-82	RP-182675	3555	1	F	Correction to Access Category and barring config determination for Implicit	15.4.0
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	RP-82	RP-182679	3557	3	A	Correction on maximum symbols for PUSCH transmission in UpPTS	15.4.0
	RP-82	RP-182674	3560	3	F	Corrections on the IDLE state measurement	15.4.0
	RP-82	RP-182677	3563	4	Α	Corrections to eCA configuration	15.4.0
1	RP-82	RP-182677	3566	4	F	Clarification to UE capabilities for CA	15.4.0
	RP-82	RP-182674	3567	1	F	Corrections to euCA	15.4.0
			3572	2	F	Correction on SPS configuration for HRLLC	15.4.0
	RP-82	RP-182679		^		Correction to DRB release	15.4.0
	RP-82 RP-82	RP-182655	3576	3	F		
	RP-82 RP-82 RP-82	RP-182655 RP-182652	3576 3577	2	F	Correction to inter-RAT measurement for NR	
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	RP-82 RP-82 RP-82 RP-82 RP-82	RP-182655 RP-182652 RP-182655 RP-182681	3576 3577 3578 3580	2 1 2	F F	Correction to inter-RAT measurement for NR Clarification on capabilities transferring Corrections to random access power control for TDD in 36.331	15.4.0 15.4.0
	RP-82 RP-82 RP-82 RP-82 RP-82 RP-82	RP-182655 RP-182652 RP-182655 RP-182681 RP-182681	3576 3577 3578 3580 3581	2 1 2 2	F F F	Correction to inter-RAT measurement for NR Clarification on capabilities transferring Corrections to random access power control for TDD in 36.331 Miscellaneous corrections and cleanup for NB-IoT ReI-15	15.4.0 15.4.0 15.4.0
	RP-82 RP-82 RP-82 RP-82 RP-82 RP-82 RP-82	RP-182655 RP-182652 RP-182655 RP-182681 RP-182681 RP-182681	3576 3577 3578 3580 3581 3582	2 1 2 2 3	F F F F	Correction to inter-RAT measurement for NR Clarification on capabilities transferring Corrections to random access power control for TDD in 36.331 Miscellaneous corrections and cleanup for NB-IoT ReI-15 Corrections to EDT in 36.331	15.4.0 15.4.0 15.4.0 15.4.0
	RP-82 RP-82 RP-82 RP-82 RP-82 RP-82	RP-182655 RP-182652 RP-182655 RP-182681 RP-182681	3576 3577 3578 3580 3581	2 1 2 2	F F F	Correction to inter-RAT measurement for NR Clarification on capabilities transferring Corrections to random access power control for TDD in 36.331 Miscellaneous corrections and cleanup for NB-IoT ReI-15	15.4.0 15.4.0 15.4.0

	RP-82	RP-182676	3586	2	F	Miscellaneous corrections on E-UTRA connected to 5GCN	15.4.0
	RP-82	RP-182675	3587	1	F	Open issues on E-UTRA connected to 5GC for UAC	15.4.0
	RP-82	RP-182675	3588	2	F	Open issues on E-UTRA connected to 5GC for INACTIVE	15.4.0
	RP-82	RP-182676	3589	3	F	TS36.331 CR on UE capabilities for mobility and E-UTRA/5GC	15.4.0
	RP-82	RP-182652	3592	2	F	[E201] CR to 36.331 on handling of mapped GUMMEI/GUAMI at idle mode mobility between 5GS and EPS	15.4.0
	RP-82	RP-182676	3593	2	F	Access barring check after handover for eLTE	15.4.0
	RP-82	RP-182672	3596	4	С	SI message scheduling enhancement to avoid conflicts between legacy and positioning System Information	15.4.0
	RP-82	RP-182657	3597	5	F	Corrections for handover between NR and E-UTRA in TS36.331	15.4.0
	RP-82	RP-182652	3599	2	F	UE capabilty for IDC mechanism for EN-DC operation	15.4.0
	RP-82	RP-182655	3600	2	F	Cleanup on handover to EUTRA procedure	15.4.0
	RP-82	RP-182675	3601	2	F	Correction of CN type indication for RRC Redirection from E-UTRA/5GC to E-UTRA/5GC or E-UTRAN	15.4.0
	RP-82	RP-182651	3602	2	F	RSRP result in SFTD measurement report	15.4.0
	RP-82	RP-182681	3605	4	F	Corrections and clarifications for MO EDT	15.4.0
	RP-82	RP-182674	3607	2	F	Small correction to pos-schedulingInfoList in SIB1-BR (RIL Z107)	15.4.0
	RP-82	RP-182675	3614	2	F	Correction on system information blocks acquisition	15.4.0
	RP-82	RP-182679	3616	2	F	Clarification on UDC configuration	15.4.0
	RP-82	RP-182681	3619	3	F	Correction to additional SIB1 in eFeNB-IoT	15.4.0
	RP-82	RP-182653	3622	2	F	UE context handling during handover to LTE-5GC	15.4.0
-	RP-82 RP-82	RP-182652 RP-182653	3628 3629	2	F	CR to 36.331 on addition of CGI reporting timer T321 for NR Corrections regarding RLC failure reporting	15.4.0 15.4.0
-	RP-82	RP-182656	3634	2	F	Some NR SA related corrections	15.4.0
	RP-82	RP-182662	3638	3	F	Correction on sorting for reporting of NR cell measurements	15.4.0
	RP-82	RP-182671	3642	2	F	Clarifications on system information acquisition time enhancements in Rel-	15.4.0
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	RP-82	RP-182680	3643	2	F	Various sTTI corrections	15.4.0
	RP-82	RP-182671	3647	2	F	Corrections on paging monitoring and SI acquisition in RRC_CONNECTED for BL UEs and UEs in CE	15.4.0
	RP-82	RP-182679	3651	3	F	Correction on Bluetooth and WLAN measurement collection in MDT	15.4.0
	RP-82	RP-182679	3652	1	F	Correction on SRB4 for QoE measurement collection	15.4.0
	RP-82	RP-182675	3653	2	F	Corrections for E-UTRA connected to 5GC	15.4.0
	RP-82	RP-182679	3654	2	F	Corrections on time reference information	15.4.0
	RP-82 RP-82	RP-182679 RP-182674	3655 3656	2	F F	Correction on UE behaviour about referenceSFN  Correction on flight path information	15.4.0 15.4.0
	RP-82	RP-182674	3657	1	F	Correction on measurement triggering based on number of cells	15.4.0
	RP-82	RP-182674	3658	2	F	Correction on triggering idle mode measurement	15.4.0
	RP-82	RP-182680	3660	2	F	SPS for TDD sTTI	15.4.0
	RP-82	RP-182680	3661	3	F	skipUplinkTxSPS for short TTI option 1	15.4.0
	RP-82	RP-182680	3663	2	F	correction on power control	15.4.0
	RP-82	RP-182678	3665	4	F	Clarification for SLSS_TxDisabled	15.4.0
	RP-82	RP-182680	3666	2	F	Correction for sTTI	15.4.0
	RP-82	RP-182682	3673	4	F	CR to 36.331 on the ambiguity of CellIdentity in Resume/Short MAC-I calculation	15.4.0
	RP-82	RP-182653	3674	1	F	Correction to FDD/TDD Diff for NR PDCP Capabilities	15.4.0
	RP-82	RP-182678	3675	3	F	Removal of redefinition of MCS-PSSCH-Range-r15	15.4.0
	RP-82	RP-182679	3676	1	F	Corrections to multiple SPS configurations after sTTI and HRLLC merge	15.4.0
	RP-82 RP-82	RP-182650 RP-182656	3678 3679	2	F F	Clarification on measObjectNR of SFTD between PCell and PSCell Clarification on B events in EN-DC	15.4.0 15.4.0
	RP-82	RP-182671	3680	1	F	Correction on the measurement gaps for dense PRS	15.4.0
	RP-82	RP-182672	3681	2	F	Normative Annex of CRs Containing Early Implementable Features and Corrections	15.4.0
	RP-82	RP-182680	3687	2	Α	Discard the AS context and Resumeld when initiating the establishment of a RRC Connection	15.4.0
	RP-82	RP-182676	3691	1	F	Clarification of features not supported in NB-IoT	15.4.0
	RP-82	RP-182681	3692	2	F	Additional Corrections to EDT in 36.331	15.4.0
	RP-82	RP-182675	3695		F	Corrections to procedure upon Reception of the RRCConnectionSetup	15.4.0
	RP-82	RP-182682	3697		Α	Clarification for additional SRS symbols	15.4.0
	RP-82	RP-182649	3698	ļ.,	F	Correction for E-UTRA connected to 5GC Procedures	15.4.0
	RP-82	RP-182678	3700	1	F	CR on carrier frequency indication in SidelinkUEInformation	15.4.0
	RP-82 RP-82	RP-182679 RP-182674	3707	1	F F	Support of multiple UL SPS configurations and configuration of repetition	15.4.0
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	RP-82	RP-182671	3712	1			15 10
	RP-82	RP-182676	3713	2	F	TS36.331 CR on [103bis#43][LTE/eLTE] Capture NR agreements	15.4.0
	RP-82 RP-82	RP-182676 RP-182651	3713 3714		F F	TS36.331 CR on [103bis#43][LTE/eLTE] Capture NR agreements MO configuration with SSB SCS for a given SSB frequency	15.4.0
	RP-82 RP-82 RP-82	RP-182676 RP-182651 RP-182677	3713 3714 3717	2	F F A	TS36.331 CR on [103bis#43][LTE/eLTE] Capture NR agreements MO configuration with SSB SCS for a given SSB frequency UL power control information for PUCCH format 4/5 in SIB	15.4.0 15.4.0
	RP-82 RP-82	RP-182676 RP-182651 RP-182677 RP-182676	3713 3714 3717 3718		F F	TS36.331 CR on [103bis#43][LTE/eLTE] Capture NR agreements MO configuration with SSB SCS for a given SSB frequency UL power control information for PUCCH format 4/5 in SIB Ignore NCC on reception of resume message	15.4.0 15.4.0 15.4.0
	RP-82 RP-82 RP-82 RP-82 RP-82 RP-82	RP-182676 RP-182651 RP-182677 RP-182676 RP-182674 RP-182662	3713 3714 3717 3718 3727 3728	2	F A F A	TS36.331 CR on [103bis#43][LTE/eLTE] Capture NR agreements MO configuration with SSB SCS for a given SSB frequency UL power control information for PUCCH format 4/5 in SIB Ignore NCC on reception of resume message Clarification on csi-RS-ConfigNZP-EMIMO configuration Frequency band indication in MeasObjectNR	15.4.0 15.4.0 15.4.0 15.4.0 15.4.0
	RP-82 RP-82 RP-82 RP-82 RP-82	RP-182676 RP-182651 RP-182677 RP-182676 RP-182674	3713 3714 3717 3718 3727	2	F A F A	TS36.331 CR on [103bis#43][LTE/eLTE] Capture NR agreements MO configuration with SSB SCS for a given SSB frequency UL power control information for PUCCH format 4/5 in SIB Ignore NCC on reception of resume message Clarification on csi-RS-ConfigNZP-EMIMO configuration	15.4.0 15.4.0 15.4.0 15.4.0

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	RP-82	RP-182679	3739	1	F	Correction of field descriptions for NW based CRS interference mitigation	15.4.0
	RP-82	RP-182666	3740	2	F	Clarification for setting of maxLayersMIMO in LTE during EN-DC operation	15.4.0
	RP-82	RP-182666	3741	4	F	Alternative signalling option for SupportedBandListNR	15.4.0
	RP-82	RP-182676	3745	2	F	Supporting MME and AMF overload control	15.4.0
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	RP-82	RP-182680	3750	1	F	EN-DC configurations upon re-establishment  Correction of SPSConfigDL-STTI	15.4.0 15.4.0
	RP-82	RP-182679	3751		F	RRC corrections for URLLC	15.4.0
	RP-82	RP-182679	3752	2	F	Clarification of primary and secondary RLC entity	15.4.0
	RP-82	RP-182680	3755	_	F	Clarification for cqi-ReportPeriodic	15.4.0
	RP-82	RP-182674	3758		F	Correction on T331 description	15.4.0
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	RP-82	RP-182680	3763		F	Correction on interFreqNeighCellList	15.4.0
	RP-82	RP-182666	3764	2	F	CR to 36.331 on corrections related to inter-RAT CGI reporting towards NR	15.4.0
	RP-82	RP-182671	3769	3	F	Correction on the use of PRACH resource pool for EDT	15.4.0
	RP-82	RP-182667	3775	2	F	Correction concerning IDC reporting	15.4.0
	RP-82	RP-182672	3776	1	В	MBMS reception in Receive Only Mode (ROM)	15.4.0
	RP-82	RP-182667	3778	4	F	Various NR carrier frequency definiton corrections	15.4.0
	RP-82	RP-182668	3779	2	F	Correction to UE capability procedures in 36.331	15.4.0
	RP-82	RP-182654	3781		F	CR to 36.331 on reporting of NR serving frequencies which the UE is not	15.4.0
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	RP-82	RP-182666	3787	2	F	Correction to description of parameter CarrierFreq	15.4.0
	RP-82	RP-182675	3789		F	Correction on the usage of delayTolerantAcess	15.4.0
	RP-82	RP-182676	3794	1	F	Introducing PDCP suspend procedure	15.4.0
	RP-82	RP-182681	3796	1	F	Clarification to UE states for EDT	15.4.0
	RP-82	RP-182667	3799	2	F	CR on PSCell (SPCell of SN) change (36.331)	15.4.0
	RP-82	RP-182674	3800	3	F	Signalling of CRS IM and CCH-IM for UE cat 1bis and cat M2	15.4.0
	RP-82	RP-182672	3803	5	В	Support for Logging of 'Any cell selection' state	15.4.0
	RP-82	RP-182663	3805	2	F	Addition of selected BC in AS-Context for EN-DC	15.4.0
	RP-82	RP-182662	3807		F	Correction on the terminology scg-ChangeFailure	15.4.0
	RP-82	RP-182662	3808		F	CR to 36331 on release after completion of inter-RAT HO	15.4.0
	RP-82	RP-182662	3809		F	Clarification on supportedMIMO-CapabilityDL-r15	15.4.0
	RP-82	RP-182663	3810		F	CR to 36.331 on alignment of use of fullI-RNTI and I-RNTI in paging and	15.4.0
ļ						InactiveConfig (Alt.2)	
	RP-82	RP-182667	3811	1	F	Clarification on the candidateCellInfoListNR in RRM-Config	15.4.0
	RP-82	RP-182676	3812		F	TS36.331 CR on [104#23][LTE/5GC] Capture NR agreements	15.4.0
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03/2019		RP-190553	3785 3818	3	F F	CR to mandate FGI 103 and 104	15.5.0
	RP-83 RP-83	RP-190550 RP-190550	3820	2	F	Clarification on RRC connection resume Clarification on RRC connection establishment	15.5.0 15.5.0
	RP-83	RP-190550	3821	3	F	CR to 36.331 on clarification of autonomous gap in EN-DC	15.5.0
	RP-83	RP-190537	3824	3	F	CR on adding ssb-ToMeasure in SIB24 and MeasObjectNR	15.5.0
	RP-83	RP-190540		3	F	Clarification for EN-DC SN change scenario	
	RP-83		3825	1	F		15.5.0
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	RP-83	RP-190551		2	F	Clarification to MeasResults for IDLE mode measurements	15.5.0
	RP-83	RP-190551 RP-190551	3834 3836	1	F	Corrections to SCell group handling Clarification of mode 3 sensing parameter in TS 36.331	15.5.0
	RP-83	RP-190549	3839	1	A	Correction to systemInformationBlockType2Dedicated	15.5.0
	RP-83	RP-190549	3840	1	F	Corrections to mpdcch-UL-HARQ-ACK-FeedbackConfig	15.5.0
	RP-83	RP-190551	3843	1	А	Missing inter-node SCG field	15.5.0
	RP-83	RP-190547	3849	1	F	NR UE capability filtering in E-UTRAN	15.5.0
	RP-83	RP-190551	3857	3	F	Removal of parameter alpha in WUS configuration	15.5.0
	RP-83	RP-190550	3858	1	F	Miscellaneous Corrections for eLTE	15.5.0
	RP-83	RP-190549	3860	<u> </u>	Α	Correction on UE capability signalling for simultaneous antenna and carrier	15.5.0
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	RP-83	RP-190553	3861	1	F	UE capability for eLCID support	15.5.0
	RP-83	RP-190542	3866	1	F	Corrections on NR NS-Pmax and frequency band list configuration in SIB24	15.5.0
	RP-83	RP-190552	3872	1	F	Correction on SPUCCH-Config	15.5.0
	RP-83	RP-190550	3873	-	F	Correction on the field description of h1-ThresholdOffset	15.5.0
	RP-83	RP-190552	3874	1	F	Correction on QoE measurement collection for LTE	15.5.0
	RP-83	RP-190549	3875	1	Α	Clarification to Permitted MaxCID for ROHC and Uplink-Only ROHC	15.5.0
	RP-83	RP-190550	3878	2	F	Small corrections on TS 36.331	15.5.0
	RP-83	RP-190551	3879	2	F	Clarifications on mixed operation mode	15.5.0
	RP-83	RP-190551	3882		F	Corrections to TDD parameters - Option 2	15.5.0
	RP-83	RP-190551	3883	1	F	Correction to carrierFreqOffset in TDD	15.5.0
		RP-190553	3886	1	F	Corrections for MBMS reception in Receive Only Mode (ROM)	15.5.0
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	RP-83	RP-190542	3887	1			
	RP-83 RP-83	RP-190542 RP-190550	3890	1	F	Introduction of UE capabilities on DMRS overhead reduction	15.5.0
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	RP-83 RP-83 RP-83	RP-190542 RP-190550 RP-190540 RP-190542	3890 3891 3892	- 1	F F	Introduction of UE capabilities on DMRS overhead reduction Correction to Q-QualMin value range Supporting bearer type change with LCID change	15.5.0 15.5.0 15.5.0
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	RP-83	DD 400540	2000	10	I -	I Indate description of sale NACK NumPenatitions	15 5 0
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	RP-83	RP-190548	3900	1	F	Release and addition of the DRB	15.5.0
	RP-83	RP-190550	3908	2	F	Clarification on counter check procedure for eLTE	15.5.0
	RP-83	RP-190551	3910	1	F	Miscellaneous CRs for euCA	15.5.0
	RP-83	RP-190549	3912	-	Α	Clarification on ssp mapping rules for ssp10-CRS-LessDwPTS	15.5.0
	RP-83	RP-190550	3913	1	F	Correction to simultaneous configuration of altCQI-Table-1024QAM and	15.5.0
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	RP-83	RP-190550	3914	-	F	DL 1024QAM capability in FeatureSetsEUTRA	15.5.0
	RP-83 RP-83	RP-190550 RP-190550	3918 3929	3	F F	Correction to fallback to the RRC connection establishment Addition of missing condition in SCell release	15.5.0 15.5.0
	RP-83	RP-190530	3932	1	F	Clearing of SFTD measurements at handover and re-establishment	15.5.0
	RP-83	RP-190543	3937	2	F.	Introducation of tdm-PatternConfig and p-MaxEUTRA in AS-Config	15.5.0
	RP-83	RP-190541	3938	-	F	Corrections on FeatureSetDL-PerCC-Id and FeatureSetUL-PerCC-Id	15.5.0
	RP-83	RP-190549	3940	-	Α	UE capability for support of special subframe configuration 10 with TDD-only	15.5.0
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	RP-83	RP-190543	3941	-	F	CR to 36.331 on clarification of gap release during HO	15.5.0
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04/2019		DD 404070	00.47	_	_	Correction to the implementation of CR#3913	15.5.1
06/2019	RP-84	RP-191378 RP-191375	3947 3953	3	F	Corrections to SIB24 configuration on SS-RSSI measurements CR to 36.331 on SFTD measurement	15.6.0 15.6.0
	RP-84	RP-191375	3956	2	F	Correction of TDD UL DL Alignment offset	15.6.0
	RP-84	RP-191386	3957	2	F	Correction to STTI field	15.6.0
	RP-84	RP-191381	3958	5	F	Alignment of definition of upperLayerIndication with the definition in the	15.6.0
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	RP-84	RP-191377	3962	1	F	CR to 36.331 on clarification of ANR FGIs and capability under EN-DC	15.6.0
	RP-84	RP-191385	3963	4	F	Corrections on the idle mode measurement	15.6.0
	RP-84	RP-191383	3967	1	Α	UE capability signalling for FD-MIMO processing capabilities	15.6.0
	RP-84	RP-191374	3968	-	F	RRC processing delay for UE capability transfer	15.6.0
	RP-84 RP-84	RP-191377 RP-191374	3969 3970	1	F F	Handling of SMTC configuration  Clarification on filters used to generate FeatureSets (36.331)	15.6.0 15.6.0
	RP-84	RP-191374	3972	-	А	Correction to NPRACH resource default configuration	15.6.0
	RP-84	RP-191385	3973	-	F	Corrections to NSSS-based RRM measurements	15.6.0
	RP-84	RP-191385	3974	-	F	Correction to sourceDL-CarrierFreq in TDD	15.6.0
	RP-84	RP-191384	3975	2	F	Correction to conditions for initiating EDT	15.6.0
	RP-84	RP-191383	3980	-	Α	Additional UE capability signalling for SRS carrier switching	15.6.0
	RP-84	RP-191384	3981	-	F	Miscellaneous Corrections for UAC in eLTE	15.6.0
	RP-84	RP-191384	3982	1	F	Capture NR agreements in eLTE	15.6.0
	RP-84	RP-191380	3984	2	F	LTE changes for FullConfig for Inter-RAT intra-system HO	15.6.0
	RP-84	RP-191381	3990	4	F	Correction on intra-band fallback behavior with FeatureSetsPerCC	15.6.0
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	RP-84	RP-191384	3993	2	F	Correction on inter-RAT cell reseletion in RRC_INACTIVE	15.6.0
	RP-84	RP-191378	3994	1	F	Minor NR related changes to 36.331	15.6.0
	RP-84	RP-191387	3995	1	F	Editorial/ minor corrections collected by Rapporteur	15.6.0
	RP-84		3996	2	F	Corrections regarding EN-DC terminology	15.6.0
	RP-84	RP-191381	3998	1	F	Clarification on inter-RAT mobility	15.6.0
	RP-84	RP-191382	4001	1	Α	Correction to dual connectivity	15.6.0
	RP-84	RP-191378	4002	2	В	Introducing NR changes for late drop (resulting from ASN1 review)	15.6.0
	RP-84	RP-191384	4003	-	F	Correction on edt-LastPreamble field description	15.6.0
	RP-84 RP-84	RP-191384	4006	2	F F	Clarification to the description of cellSelectionInfoCE	15.6.0 15.6.0
	RP-84	RP-191385 RP-191387	4008	1	F	Correct reference for serving cell relaxation with WUS  Missing messages in "Protection of RRC messages" table	15.6.0
	RP-84	RP-191382	4010	1	А	Correction in the field description of aperiodicCSI-Trigger	15.6.0
	RP-84	RP-191383	4015	1	Α	Corrections on UE capability for eFD-MIMO	15.6.0
	RP-84	RP-191378	4017	1	F	Correction on UE Capability Transfer for Featureset in EN-DC	15.6.0
	RP-84	RP-191382	4020	2	F	SI update notification and access barring in NB-IoT	15.6.0
	RP-84	RP-191384	4022	1	F	Correction on Idle mode measurement in RRC_INACTIVE	15.6.0
	RP-84	RP-191384	4023	1	F	Power boost values for MWUS	15.6.0
00/2040	RP-84	RP-191385	4025	-	F	CR on carrier frequency selection for V2X SL communication transmission	15.6.0
09/2019	RP-85	RP-192195 RP-192197	3986 4028	2	F F	Correction on handling of SCell(s) during Make Before Break handover Clarification for mixed operation mode	15.7.0 15.7.0
	RP-85	RP-192197	4020	1	F	Correction on the field description of nprach-SubCarrierIndex	15.7.0
	RP-85	RP-192298	4031	t <del>-</del>	С	Additional capability signalling for 1024QAM support	15.7.0
	RP-85	RP-192196	4032	ļ-	F	Correcting algorithm key derivation for LTE/5GC in connection resume	15.7.0
	RP-85	RP-192194	4034	1	F	Clarification to fullConfig in EN-DC	15.7.0
	RP-85	RP-192192	4035	1	F	Clarification on mobility of UE configured with SN terminated DRB without	15.7.0
	DD 05	DD 400400	4000	4	_	SCG Missing report Add Noigh Maga in Deport Configurator DAT	15 7 0
	RP-85	RP-192192	4038 4042	2	F F	Missing reportAddNeighMeas in ReportConfigInterRAT  Intra-E-UTRA inter-system HO	15.7.0 15.7.0
	DD 0E						110.7.0
	RP-85	RP-192196		-		Support of Idle mode measurement in F-LITRA/5GC	
	RP-85 RP-85 RP-85	RP-192196 RP-192196	4043 4044	-	F F	Support of Idle mode measurement in E-UTRA/5GC PDU session release indication to upper layers during Full Configuration in	15.7.0 15.7.0

	DD 05	DD 400400	1055	14	-	Correction of the condition LIO to FLITDANI	15.7.0
	RP-85 RP-85	RP-192192 RP-192198	4055 4056	2	F	Correction of the condition HO-toEUTRAN  Editorial/ minor corrections collected by Rapporteur	15.7.0 15.7.0
	RP-85	RP-192196	4058	1	F	Correction to s-Measure for NE-DC (36.331)	15.7.0
	RP-85	RP-192190	4061	<u> </u>	F	Correction of security algorithms at inter-RAT handover to LTE-5GC (Alt1)	15.7.0
	RP-85	RP-192193	4062	1	F	Adding P-EUTRA for supporting power coordination in NE-DC	15.7.0
	RP-85	RP-192195	4064	1	Α	Correction to the description of of DL channel quality	15.7.0
	RP-85	RP-192197	4066	-	F	Correction to table references for SIB1 scheduling in TDD	15.7.0
	RP-85	RP-192197	4068	-	F	Correction to the field description of numDRX-CyclesRelaxed in WUS-Config-NB	15.7.0
	RP-85	RP-192190	4070	1-	F	Support of SUO case1 in NE-DC	15.7.0
	RP-85	RP-192196	4071	-	F	Clarification on inter-node message	15.7.0
	RP-85	RP-192197	4072	1	F	Correction to sTTI and sPT capability reporting	15.7.0
	RP-85	RP-192196	4073	-	F	Correction to ROHC handling	15.7.0
	RP-85	RP-192194	4077	3	F	AS-ConfigNR at handover with (NG)EN-DC	15.7.0
	RP-85	RP-192192	4079	1	F	Miscellaneous Corrections on 36.331 for MR-DC	15.7.0
	RP-85 RP-85	RP-192190 RP-192194	4080 4081	2	F	Correction on 36.331 for reconfiguration of SCG part of DRBs in NE-DC Support of LCID change for (NG)EN-DC and NE-DC	15.7.0 15.7.0
	RP-85	RP-192194	4086	1	F	Corrections to SIB12 for CMAS geo-fencing	15.7.0
	RP-85	RP-192196	4091	1	F	Correction on RRC connection release indication after handover	15.7.0
	RP-85	RP-192196	4092	1	F	Correction on stop condition of T380	15.7.0
	RP-85	RP-192194	4097	1	F	Correction on overheating indication and RLM report	15.7.0
	RP-85	RP-192279	4098	1	F	CR to introduce NR SS-SINR measurement capability in LTE	15.7.0
	RP-85	RP-192193	4100	-	F	MR-DC measurement gap pattern capability	15.7.0
12/2019	RP-86	RP-192940	4113	1	Α	Correction on T322	15.8.0
	RP-86	RP-192935	4115	1	F	Reconfiguration failure in NE-DC	15.8.0
	RP-86	RP-192936	4117	3	F	Miscellaneuous corrections for late drop	15.8.0
	RP-86 RP-86	RP-192934 RP-192941	4119 4120	2	F	Corrections to power limitations in (NG)EN-DC Correction to SIB5 acquisition for idle mode measurements	15.8.0 15.8.0
	RP-86	RP-192941	4128	2	F	Correction to SiBS acquisition for fide mode measurements  Correction to field conditions in NE-DC	15.8.0
	RP-86	RP-192941	4142	1	F	Corrections to Application layer measurement reporting and UE capability	15.8.0
	00					signalling	.0.0.0
	RP-86	RP-192941	4143	1	F	Allow Delta Configuration of ParametersListFmt2 and ParametersListEDTFmt2 in SIB2-NB	15.8.0
	RP-86	RP-192940	4144	1	F	Stop using redirectedCarrierOffsetDedicated after reselection to another	15.8.0
				ļ	<u> </u>	frequency.	
	RP-86	RP-192937	4145	1	F	Correction to AS security key update	15.8.0
	RP-86 RP-86	RP-192936 RP-192941	4148 4150	1	F	On performing L3 filtering of NR related measurements  Correction to nonCriticalExtension of RRCConnectionRelease	15.8.0 15.8.0
	RP-86	RP-192941	4160	2	Α	Clarification on sCellIndex and SCell lists	15.8.0
	RP-86	RP-192941	4161	2	F	Correction to early measurement reporting results	15.8.0
	RP-86	RP-192941	4177	2	F	Clarification on UE Inactive AS context	15.8.0
	RP-86	RP-192941	4183	-	F	Restoring SDAP and RoHC contexts during Resumption	15.8.0
	RP-86	RP-192936	4185	-	F	Correction for the establishment of LTE RLC bearers for (NG)EN-DC and NE-DC	15.8.0
03/2020	RP-87	RP-200338	4041	4	С	Security requirement for UE capability enquiry for LTE	15.9.0
			4104	5	F	Clarification on default configuration and SRB1 for UP-EDT and	15.9.0
						RRC_INACTIVE	
	RP-87	RP-200338	4151	3	F	Correction to full configuration	15.9.0
	RP-87	RP-200334	4168	2	F	Clarification on candidate NR frequencies for IDC in EN-DC	15.9.0
	RP-87	RP-200338	4195	1	F	Correction on LTE early measurement	15.9.0
	RP-87 RP-87	RP-200338 RP-200338	4198 4199	1	F	Corrections to T312 and Discovery Signals measurement Introduction of provisions for late non-critical extensions	15.9.0 15.9.0
	RP-87	RP-200336	4210	<del> </del> -	F	Correction of UE assistance information	15.9.0
	RP-87	RP-200338	4211	2	F	Minor corrections collected by Rapporteur	15.9.0
	RP-87	RP-200337	4213	1	Α	Clarification on gap sharing configuration at handover and re-establishment	15.9.0
03/2020	RP-87	RP-200367	4026	3	С	Addition of broadcast of barometric pressure assistance data	16.0.0
	RP-87	RP-200368	4049	2	В	Introduction of RLOS support indicator and RLOS request indicator	16.0.0
	RP-87	RP-200366	4095	4	В	Introduction of RRC parameters and UE capabilities for enhanced high speed scenario	16.0.0
	RP-87	RP-200358	4099	2	F	NAS handling error of nas-Container for security key derivation	16.0.0
	RP-87	RP-200367	4103	2	С	Correction on H1 and H2 events	16.0.0
	RP-87	RP-200357	4114	2	В	Introduction of a second SMTC for inter-RAT cell reselection	16.0.0
	RP-87	RP-200367	4134	3	С	Broadcast of TBS assistance data	16.0.0
	RP-87	RP-200357	4136	2	С	Introduction of voice fallback indication	16.0.0
	RP-87	RP-200365	4137	6	В	CR of TS 36.331 for introducing NavIC in LTE – core part	16.0.0
	RP-87 RP-87	RP-200357 RP-200367	4167 4172	3	B C	Early security re-activation at RRC Connection Resume  Correction on non-3GPP paging	16.0.0 16.0.0
	RP-87	RP-200367 RP-200358	4172	2	В	Autonomous gap support for CGI reading	16.0.0
	RP-87	RP-200356	4189	1	В	Introduction of UECapabilityInformation segmentation in 36.331	16.0.0
	RP-87	RP-200363	4190	1	В	Introduction of LTE-based 5G terrestrial broadcast	16.0.0
ļ l				1.			
	RP-87	RP-200360	4191	<u></u> 1	В	Introduction of Rel-16 eMTC enhancements	16.0.0
	RP-87 RP-87	RP-200360 RP-200361 RP-200358	4191 4192 4200	1	B B	Introduction of Rei-16 eMTC enhancements Introduction of additional enhancements for NB-IoT in TS 36.331 Introduction of DL RRC segmentation	16.0.0 16.0.0

	RP-87	RP-200364	1205	14	Ь	Introduction of Even further Mobility enhancement in E-UTRAN	16.0.0
	RP-87	RP-200364 RP-200345	4205 4215	1	B B	Introduction of Even further Mobility enhancement in E-01RAN	16.0.0 16.0.0
	RP-87	RP-200348	4216	3	В	CR for 36.331 for CA&DC enh	16.0.0
	RP-87	RP-200354	4218	2	В	CR on enhancements on LTE MDT and SON	16.0.0
	RP-87	RP-200362	4219	1	В	Introduction of DL MIMO efficiency enhancement	16.0.0
	RP-87	RP-200357	4220	-	В	Introduction of wideband PRG size	16.0.0
	RP-87	RP-200357	4221	1	С	UDC reconfiguration for RRC connection re-establishment case	16.0.0
	RP-87	RP-200346	4222	1	В	Introduction of 5G V2X with NR Sidelink in TS 36.331	16.0.0
	RP-87	RP-200352	4228	1	В	Introduction of NR IIoT	16.0.0
	RP-87	RP-200359	4230	<u> </u>	В	Recommended Bit Rate/Query for FLUS and MTSI	16.0.0
	RP-87	RP-200358	4232	<u> </u>	В	Support of inter-RAT handover from NR to EN-DC in TS 36.331	16.0.0
	RP-87	RP-200349	4233	<u> </u>	В	36.331 CR on Integrated Access and Backhaul	16.0.0
07/0000	RP-87	RP-200347	4234	-	В	Introduction of NR Mobility enhancements	16.0.0
07/2020		RP-201166	4197	5	В	Introduction of NeedForGap capability for NR measurement	16.1.0
	RP-88 RP-88	RP-201191 RP-201191	4229 4236	2	F	Introduce of alternative cell reselection priority for EN-DC  Correction on establishment cause value upon enhanced EPS voice fallback	16.1.0 16.1.0
	RP-88	RP-201191	4239	3	F	Miscellaneous Rel-16 eMTC corrections	16.1.0
	RP-88	RP-201168	4240	2	Α	CR on RLC out-of-order delivery configuration	16.1.0
	RP-88	RP-201174	4245	2	В	CR for 36.331 for Power Savings	16.1.0
	RP-88	RP-201180	4256	2	F	Correction to transfer of UE capabilities at HO for RACS and correction of ASN.1 review issues [N012] [N013]	16.1.0
	RP-88	RP-201169	4258	2	Α	Clarification on avoiding keystream repeat due to COUNT reuse	16.1.0
	RP-88	RP-201194	4259	2	F	Correction on the configuration of subframe #0 and #5 for MCH in MBMS	16.1.0
	50					dedicated cell	
	RP-88	RP-201178	4260	2	F	CR for 36.331 on CA/DC Enhancements	16.1.0
	RP-88	RP-201166	4262	3	F	Allowing PDCP version change without handover	16.1.0
	RP-88	RP-201172	4263	3	В	Mobility to NR operating with shared spectrum access	16.1.0
	RP-88	RP-201166	4266	3	С	upperLayerIndication enhancements	16.1.0
	RP-88	RP-201178	4283	2	В	Introduction of UE capabilities for eDCCA	16.1.0
	RP-88	RP-201193	4287	3	F	Miscellaneous corrections to 36.331 for Rel-16 NB-IoT	16.1.0
	RP-88	RP-201160	4289	1	Α	UE measurement capability requirements for NR	16.1.0
	RP-88	RP-201195	4290	2	F	Updates for R16 LTE Mobility Enhancements and LTE updates for R16 NR Mobility Enhancements	16.1.0
	RP-88	RP-201159	4293	-	Α	Avoiding security risk for RLC AM and RLC UM bearers during termination point change	16.1.0
	RP-88	RP-201186	4294	1	В	CR to 36.331 on introduction of mandatory gap patterns in Rel-16	16.1.0
	RP-88	RP-201181	4299	2	В	IIOT capabilities introduction to TS 36.331	16.1.0
	RP-88	RP-201181	4300	<u> </u>	F	Correction of NR IIoT	16.1.0
	RP-88	RP-201169	4305	2	Α	Correction to the LTE Rel-15 TDD/FDD capability differentiation	16.1.0
	RP-88	RP-201195	4306	1	B F	UE Capability for Rel-16 LTE even further mobility enhancement	16.1.0
	RP-88	RP-201194	4307	-		MBMS UE capabilities per band for subcarrier spacing of 2.5 kHz and 0.37 kHz	16.1.0
	RP-88 RP-88	RP-201190 RP-201169	4315	3	F	General changes resulting from ASN.1 review for LTE RRC REL-16	16.1.0 16.1.0
	RP-88	RP-201184	4321	1	A F	Corrections on the number of DRBs	
		RP-201164 RP-201191	4323 4324	3	F	Corrections on MDT and SON  36.331 CR for overheating in (NG)EN-DC and NR-DC	16.1.0 16.1.0
	RP-88	RP-201191	4324	2	В	Introduction of signalling for high-speed train scenarios	16.1.0
	RP-88	RP-201197	4334	1	В	Introduction of Signaling for High-speed train scenarios  Introduction of UE capabilities for DL MIMO efficiency enhancement	16.1.0
	RP-88	RP-201194	4335	<del>  '</del> -	F	Correction on MCCH configuration for 0.37kHz SCS	16.1.0
	RP-88	RP-201176	4336	2	F	Corrections on V2X functionalities in TS 36.331	16.1.0
	RP-88	RP-201168	4342	2	A	Minor changes collected by Rapporteur	16.1.0
	RP-88	RP-201168	4343	1	Α	Correction of AUL HARQ process	16.1.0
	RP-88	RP-201192	4344	-	F	Relaxed serving cell measurement for UEs using WUS	16.1.0
	RP-88	RP-201176	4345	<u> </u>	В	CR for NR V2X UE capability	16.1.0
	RP-88	RP-201165	4346	2	Α	Introduction of CGI reporting capability	16.1.0
	RP-88					Removal of a double "v1610" suffix to make ASN.1 to pass the syntax check	16.1.1
09/2020		RP-201927	4349	1	В	CR for V2X UE capability	16.2.0
	RP-89	RP-201933	4353	2	F	Corretion on the RLF for LTE DAPS	16.2.0
	RP-89	RP-201933	4357	1	F	Correction on NB-IoT process under conditionalReconfiguration	16.2.0
			14050	1	F	Clarification on resource reservation for eMTC	16.2.0
	RP-89	RP-201928	4358	1			
	RP-89 RP-89	RP-201933	4359	1	F	Correction to conditional configurations	16.2.0
	RP-89 RP-89 RP-89	RP-201933 RP-201922	4359 4361	1	F F	Correction to conditional configurations Add tdm-PatternConfig2 in the inter-node message	16.2.0 16.2.0
	RP-89 RP-89 RP-89	RP-201933 RP-201922 RP-201933	4359 4361 4362	1 1 2	F F	Correction to conditional configurations Add tdm-PatternConfig2 in the inter-node message Correction on LTE MOB capability	16.2.0 16.2.0 16.2.0
	RP-89 RP-89 RP-89 RP-89	RP-201933 RP-201922 RP-201933 RP-201938	4359 4361 4362 4364	1 1 2 2	F F F	Correction to conditional configurations Add tdm-PatternConfig2 in the inter-node message Correction on LTE MOB capability Correction on the Presence Condition for drb-ToAddModList	16.2.0 16.2.0 16.2.0 16.2.0
	RP-89 RP-89 RP-89 RP-89 RP-89	RP-201933 RP-201922 RP-201933 RP-201938 RP-201922	4359 4361 4362 4364 4366	1 1 2 2 1	F F F F	Correction to conditional configurations Add tdm-PatternConfig2 in the inter-node message Correction on LTE MOB capability Correction on the Presence Condition for drb-ToAddModList Correction on the Configuration of sCellState for 36.331	16.2.0 16.2.0 16.2.0 16.2.0 16.2.0
	RP-89 RP-89 RP-89 RP-89 RP-89 RP-89	RP-201933 RP-201922 RP-201933 RP-201938 RP-201922 RP-201927	4359 4361 4362 4364 4366 4371	1 1 2 2	F F F F	Correction to conditional configurations  Add tdm-PatternConfig2 in the inter-node message  Correction on LTE MOB capability  Correction on the Presence Condition for drb-ToAddModList  Correction on the Configuration of sCellState for 36.331  Correction on cross-RAT V2X functionality in TS 36.331	16.2.0 16.2.0 16.2.0 16.2.0 16.2.0 16.2.0
	RP-89 RP-89 RP-89 RP-89 RP-89 RP-89 RP-89	RP-201933 RP-201922 RP-201933 RP-201938 RP-201922 RP-201927 RP-201930	4359 4361 4362 4364 4366 4371 4374	1 1 2 2 1 2 -	F F F F F	Correction to conditional configurations  Add tdm-PatternConfig2 in the inter-node message  Correction on LTE MOB capability  Correction on the Presence Condition for drb-ToAddModList  Correction on the Configuration of sCellState for 36.331  Correction on cross-RAT V2X functionality in TS 36.331  Time misalignment in DAPS DRB configuration (Alt.2)	16.2.0 16.2.0 16.2.0 16.2.0 16.2.0 16.2.0 16.2.0
	RP-89 RP-89 RP-89 RP-89 RP-89 RP-89 RP-89 RP-89	RP-201933 RP-201922 RP-201933 RP-201938 RP-201922 RP-201927 RP-201930 RP-201927	4359 4361 4362 4364 4366 4371 4374 4376	1 1 2 2 1 2 -	F F F F F	Correction to conditional configurations  Add tdm-PatternConfig2 in the inter-node message  Correction on LTE MOB capability  Correction on the Presence Condition for drb-ToAddModList  Correction on the Configuration of sCellState for 36.331  Correction on cross-RAT V2X functionality in TS 36.331  Time misalignment in DAPS DRB configuration (Alt.2)  Addition of the missing NR anchor carrier pre-configuration for V2X SL communication in TS 36.331	16.2.0 16.2.0 16.2.0 16.2.0 16.2.0 16.2.0 16.2.0 16.2.0
	RP-89 RP-89 RP-89 RP-89 RP-89 RP-89 RP-89 RP-89 RP-89	RP-201933 RP-201922 RP-201933 RP-201938 RP-201922 RP-201927 RP-201930 RP-201927	4359 4361 4362 4364 4366 4371 4374 4376	1 1 2 2 1 2 - 1	F F F F F F	Correction to conditional configurations  Add tdm-PatternConfig2 in the inter-node message  Correction on LTE MOB capability  Correction on the Presence Condition for drb-ToAddModList  Correction on the Configuration of sCellState for 36.331  Correction on cross-RAT V2X functionality in TS 36.331  Time misalignment in DAPS DRB configuration (Alt.2)  Addition of the missing NR anchor carrier pre-configuration for V2X SL communication in TS 36.331  CR to 36.331 on F1-C traffic over LTE	16.2.0 16.2.0 16.2.0 16.2.0 16.2.0 16.2.0 16.2.0 16.2.0
	RP-89 RP-89 RP-89 RP-89 RP-89 RP-89 RP-89 RP-89	RP-201933 RP-201922 RP-201933 RP-201938 RP-201922 RP-201927 RP-201930 RP-201927	4359 4361 4362 4364 4366 4371 4374 4376	1 1 2 2 1 2 -	F F F F F	Correction to conditional configurations  Add tdm-PatternConfig2 in the inter-node message  Correction on LTE MOB capability  Correction on the Presence Condition for drb-ToAddModList  Correction on the Configuration of sCellState for 36.331  Correction on cross-RAT V2X functionality in TS 36.331  Time misalignment in DAPS DRB configuration (Alt.2)  Addition of the missing NR anchor carrier pre-configuration for V2X SL communication in TS 36.331	16.2.0 16.2.0 16.2.0 16.2.0 16.2.0 16.2.0 16.2.0 16.2.0

	RP-89	RP-201933	4385	1_	F	Incorrect restriction for RLC UM radio bearers	16.2.0
	RP-89	RP-201933	4391	1	F	Misc corrections for Rel-16 DCCA	16.2.0
	RP-89	RP-201921	4393	2	F.	Correction regarding placement of cell specific SSB QCL information	16.2.0
	RP-89	RP-201930	4396	1-	F	Correction to update of CHO configuration	16.2.0
	RP-89	RP-201933	4404	2	F	Timer handling upon initiation of RRC re-establishment	16.2.0
	RP-89	RP-201933	4406	-	F	No support of DAPS HO for a CHO candidate cell	16.2.0
	RP-89	RP-201933	4409	1	F	Correction on TS36.331 for CHO	16.2.0
	RP-89	RP-201933	4411	-	F	Correction for SRB handling of DAPS HOF (36.331)	16.2.0
	RP-89	RP-201933	4414	1	F	Minor changes collected by Rapporteur	16.2.0
	RP-89	RP-201927	4416	1	F	Miscellaneous corrections on TS 36.331	16.2.0
	RP-89	RP-201932	4418	1	F	Correction to RRC connection release procedure without security for EN-DC cell reselection	16.2.0
	RP-89	RP-201923	4419	-	F	Correction of on the IP address requesting in EN-DC	16.2.0
	RP-89	RP-201938	4421	1	Α	Correction for Qrxlevmin description in SIB24	16.2.0
	RP-89	RP-201963	4422	2	F	CR on LTE EHC configuration	16.2.0
	RP-89	RP-201933	4425	2	F	CR to 36.331 on SLSS ID	16.2.0
	RP-89 RP-89	RP-201933	4426	-	F F	drb-continueROHC for DAPS	16.2.0
	RP-89	RP-201928 RP-201928	4433 4434	1	F	Correction on RRC Connection re-establishment  Corrections to connection to 5GC for eMTC	16.2.0 16.2.0
	RP-89	RP-201926	4434	<u> </u>	F	Adding a note for joint success and failure in crossRAT SL	16.2.0
	RP-89	RP-201923	4436	E	F	Corrections on the BH RLF failure for IAB to TS 36.331	16.2.0
	RP-89	RP-201923	4437	1	F	Misc. corrections CR for 36.331 for Power Savings	16.2.0
	RP-89	RP-201931	4438	Ė	F	Correction to SON features	16.2.0
	RP-89	RP-201924	4439	†-	F	Miscellaneous IAB Corrections	16.2.0
	RP-89	RP-201933	4440	1-	F.	Restructuring DAPS capabilities	16.2.0
	RP-89	RP-201939	4445	1-	C	Modification of SI scheduling for extended SIBs	16.2.0
	RP-89	RP-201936	4447	-	A	System support for Wake Up Signal	16.2.0
	RP-89	RP-201928	4448	1-	F	TA timer corrections for PUR	16.2.0
	RP-89					Two left-over revision marks removed	16.2.1
12/2020	RP-90	RP-202780	4390	5	Α	Corrections to the field descriptions for TDD/FDD capability differentiation,	16.3.0
						and to nMaxResource value range	
	RP-90	RP-202784	4431	3	Α	Clarification to UE capabilities for non-contiguous intra-band CA	16.3.0
	RP-90	RP-202769	4449	1	В	Update on V2X UE capability	16.3.0
	RP-90	RP-202787	4452	-	Α	Removal of DelayBudgetReport message in stage 3	16.3.0
	RP-90	RP-202779	4453	-	F	Corrections to UE capabilities and SIB25	16.3.0
	RP-90	RP-202777	4454	-	F	Correction on UAI during handover	16.3.0
	RP-90	RP-202770	4456	1	F	Correction to 36.331 on UE capability of direct SCell activation	16.3.0
	RP-90	RP-202772	4459	1	F	Miscellaneous corrections to TS 36.331 for IAB	16.3.0
	RP-90	RP-202770	4463	1	F	Capability for beam level NR early measurement reporting	16.3.0
	RP-90	RP-202780	4471	2	A	Correction on ROHC configuration	16.3.0
	RP-90	RP-202780	4472	1	F	Minor changes collected by Rapporteur	16.3.0
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## History

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