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## Foreword

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# Foreword

This Technical Specification has been produced by the 3<sup>rd</sup> Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of the present document, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

Version x.y.z

where:

- x the first digit:
  - 1 presented to TSG for information;
  - 2 presented to TSG for approval;
  - 3 or greater indicates TSG approved document under change control.
- y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.
- z the third digit is incremented when editorial only changes have been incorporated in the document.

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# 1 Scope

The present document specifies the Radio Resource Control protocol for the UE-E-UTRAN radio interface.

The scope of the present document also includes:

- the radio related information transported in a transparent container between source eNB and target eNB upon inter eNB handover;
- the radio related information transported in a transparent container between a source or target eNB and another system upon inter RAT handover.

---

# 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

- [1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".
- [2] Void.
- [3] 3GPP TS 36.302: "Evolved Universal Terrestrial Radio Access (E-UTRA); Services provided by the physical layer".
- [4] 3GPP TS 36.304: "Evolved Universal Terrestrial Radio Access (E-UTRA); UE Procedures in Idle Mode".
- [5] 3GPP TS 36.306 "Evolved Universal Terrestrial Radio Access (E-UTRA); UE Radio Access Capabilities".
- [6] 3GPP TS 36.321: "Evolved Universal Terrestrial Radio Access (E-UTRA); Medium Access Control (MAC) protocol specification".
- [7] 3GPP TS 36.322: "Evolved Universal Terrestrial Radio Access (E-UTRA); Radio Link Control (RLC) protocol specification".
- [8] 3GPP TS 36.323: "Evolved Universal Terrestrial Radio Access (E-UTRA); Packet Data Convergence Protocol (PDCP) Specification".
- [9] 3GPP TS 36.300: "Evolved Universal Terrestrial Radio Access (E-UTRA) and Evolved Universal Terrestrial Radio Access (E-UTRAN); Overall description; Stage 2".
- [10] 3GPP TS 22.011: "Service accessibility".
- [11] 3GPP TS 23.122: "Non-Access-Stratum (NAS) functions related to Mobile Station (MS) in idle mode".
- [12] 3GPP2 C.S0002-A v6.0: "Physical Layer Standard for cdma2000 Spread Spectrum Systems – Release A".
- [13] ITU-T Recommendation X.680 (07/2002) "Information Technology - Abstract Syntax Notation One (ASN.1): Specification of basic notation" (Same as the ISO/IEC International Standard 8824-1).

- [14] ITU-T Recommendation X.681 (07/2002) "Information Technology - Abstract Syntax Notation One (ASN.1): Information object specification" (Same as the ISO/IEC International Standard 8824-2).
- [15] ITU-T Recommendation X.691 (07/2002) "Information technology - ASN.1 encoding rules: Specification of Packed Encoding Rules (PER)" (Same as the ISO/IEC International Standard 8825-2).
- [16] 3GPP TS 36.133: "Evolved Universal Terrestrial Radio Access (E-UTRA); Requirements for support of radio resource management".
- [17] 3GPP TS 25.101: "Universal Terrestrial Radio Access (UTRA); User Equipment (UE) radio transmission and reception (FDD)".
- [18] 3GPP TS 25.102: "Universal Terrestrial Radio Access (UTRA); User Equipment (UE) radio transmission and reception (TDD)".
- [19] 3GPP TS 25.331: "Universal Terrestrial Radio Access (UTRA); Radio Resource Control (RRC); Protocol specification".
- [20] 3GPP TS 45.005: "Radio transmission and reception".
- [21] 3GPP TS 36.211: "Evolved Universal Terrestrial Radio Access (E-UTRA); Physical Channels and Modulation".
- [22] 3GPP TS 36.212: "Evolved Universal Terrestrial Radio Access (E-UTRA); Multiplexing and channel coding".
- [23] 3GPP TS 36.213: "Evolved Universal Terrestrial Radio Access (E-UTRA); Physical layer procedures".
- [24] 3GPP2 C.S0057-B v1.0: "Band Class Specification for cdma2000 Spread Spectrum Systems".
- [25] 3GPP2 C.S0005-A v6.0: "Upper Layer (Layer 3) Signaling Standard for cdma2000 Spread Spectrum Systems – Release A, Addendum 2".
- [26] 3GPP2 C.S0024-A v3.0: "cdma2000 High Rate Packet Data Air Interface Specification".
- [27] 3GPP TS 23.003: "Numbering, addressing and identification".
- [28] 3GPP TS 45.008: "Radio subsystem link control".
- [29] 3GPP TS 25.133: "Requirements for Support of Radio Resource Management (FDD)".
- [30] 3GPP TS 25.123: "Requirements for Support of Radio Resource Management (TDD)".
- [31] 3GPP TS 36.401: "Evolved Universal Terrestrial Radio Access (E-UTRA); Architecture description".
- [32] 3GPP TS 33.401: "3GPP System Architecture Evolution (SAE); Security architecture".
- [33] 3GPP2 A.S0008-C v2.0: "Interoperability Specification (IOS) for High Rate Packet Data (HRPD) Radio Access Network Interfaces with Session Control in the Access Network"
- [34] 3GPP2 C.S0004-A v6.0: "Signaling Link Access Control (LAC) Standard for cdma2000 Spread Spectrum Systems – Addendum 2"
- [35] 3GPP TS 24.301: "Non-Access-Stratum (NAS) protocol for Evolved Packet System (EPS); Stage 3".
- [36] 3GPP TS 44.060: "General Packet Radio Service (GPRS); Mobile Station (MS) - Base Station System (BSS) interface; Radio Link Control/Medium Access Control (RLC/MAC) protocol".
- [37] 3GPP TS 23.041: "Technical realization of Cell Broadcast Service (CBS)".
- [38] 3GPP TS 23.038: "Alphabets and Language".

- [39] 3GPP TS 36.413: "Evolved Universal Terrestrial Radio Access (E-UTRAN); S1 Application Protocol (S1 AP)".
- [40] 3GPP TS 25.304: "Universal Terrestrial Radio Access (UTRAN); User Equipment (UE) procedures in idle mode and procedures for cell reselection in connected mode".
- [41] 3GPP TS 23.401: "General Packet Radio Service (GPRS) enhancements for Evolved Universal Terrestrial Radio Access Network (E-UTRAN) access".
- [42] 3GPP TS 36.101: "Evolved Universal Terrestrial Radio Access (E-UTRA); User Equipment (UE) radio transmission and reception".
- [43] 3GPP TS 44.005: "Data Link (DL) Layer General Aspects".
- [44] 3GPP2 C.P0087-A: "E-UTRAN - cdma2000 HRPD Connectivity and Interworking: Air Interface Specification"

**Editor's note:** The above document cannot be formally referenced until it is published by 3GPP2, at which time it will be designated as C.S0087-A v1.0 rather than C.P0087-A.

- [45] 3GPP TS 44.018: "Mobile radio interface layer 3 specification; Radio Resource Control (RRC) protocol".
- [46] 3GPP TS 25.223: "Spreading and modulation (TDD)".
- [47] 3GPP TS 36.104: "Evolved Universal Terrestrial Radio Access (E-UTRA); Base Station (BS) radio transmission and reception".
- [48] 3GPP TS 36.214: "Evolved Universal Terrestrial Radio Access (E-UTRA); Physical layer - Measurements".
- [49] 3GPP TS 24.008: "Mobile radio interface layer 3 specification; Core network protocols; Stage 3".
- [50] 3GPP TS 45.010: "Radio subsystem synchronization".
- [51] 3GPP TS 23.272: "Circuit Switched Fallback in Evolved Packet System; Stage 2".
- [52] 3GPP TS 29.061: "Interworking between the Public Land Mobile Network (PLMN) supporting packet based services and Packet Data Networks (PDN)".
- [53] 3GPP2 C.S0097-0 v1.0: "E-UTRAN - cdma2000 1x Connectivity and Interworking Air Interface Specification".
- [54] 3GPP TS 23.216: "Single Radio Voice Call Continuity (SRVCC); Stage 2".

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## 3 Definitions, symbols and abbreviations

### 3.1 Definitions

For the purposes of the present document, the terms and definitions given in TR 21.905 [1] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in TR 21.905 [1].

**CSG member cell:** for a UE in RRC\_CONNECTED, a cell broadcasting the identity of the Registered PLMN or Equivalent PLMN and for which CSG whitelist of the UE includes an entry comprising of cell's CSG ID and the respective PLMN identity.

**Field:** The individual contents of an information element are referred as fields.

**Floor:** Mathematical function used to 'round down' i.e. to the nearest integer having a lower value.

**Information element:** A structural element containing a single or multiple fields is referred as information element.

## 3.2 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [1].

1xRTT	CDMA2000 1x Radio Transmission Technology
AM	Acknowledged Mode
ASN.1	Abstract Syntax Notation One
ARQ	Automatic Repeat Request
AS	Access Stratum
BCCH	Broadcast Control Channel
BCH	Broadcast Channel
CCCH	Common Control Channel
CCO	Cell Change Order
CMAS	Commercial Mobile Alert Service
CP	Control Plane
C-RNTI	Cell RNTI
CSG	Closed Subscriber Group
DCCH	Dedicated Control Channel
DRB	(user) Data Radio Bearer
DRX	Discontinuous Reception
DTCH	Dedicated Traffic Channel
DL	Downlink
DL-SCH	Downlink Shared Channel
ETWS	Earthquake and Tsunami Warning System
E-UTRA	Evolved Universal Terrestrial Radio Access
E-UTRAN	Evolved Universal Terrestrial Radio Access Network
ENB	Evolved Node B
EPC	Enhanced Packet Core
EPS	Enhanced Packet System
FDD	Frequency Division Duplex
FFS	For Further Study
GERAN	GSM/EDGE Radio Access Network
GSM	Global System for Mobile Communications
HARQ	Hybrid Automatic Repeat Request
HRPD	CDMA2000 High Rate Packet Data
IE	Information element
IMEI	International Mobile Equipment Identity
IMSI	International Mobile Subscriber Identity
kB	Kilobyte (1000 bytes)
L1	Layer 1
L2	Layer 2
L3	Layer 3
MAC	Medium Access Control
MBMS	Multimedia Broadcast Multicast Service
MBSFN	Multimedia Broadcast multicast service Single Frequency Network
MIB	Master Information Block
MRB	MBMS Point to Multipoint Radio Bearer
MSI	MCH Scheduling Information
N/A	Not Applicable
NACC	Network Assisted Cell Change
NAS	Non Access Stratum
PCCH	Paging Control Channel
PDU	Protocol Data Unit
PDCP	Packet Data Convergence Protocol
PLMN	Public Land Mobile Network
QoS	Quality of Service
RACH	Random Access CHannel
RAT	Radio Access Technology
RB	Radio Bearer

RLC	Radio Link Control
RNTI	Radio Network Temporary Identifier
RRC	Radio Resource Control
RSCP	Received Signal Code Power
RSRP	Reference Signal Received Power
RSSI	Received Signal Strength Indicator
SAE	System Architecture Evolution
SAP	Service Access Point
SFN	System Frame Number
SI	System Information
SIB	System Information Block
SI-RNTI	System Information RNTI
SPS	Semi-Persistent Scheduling
SRB	Signalling Radio Bearer
SSAC	Service Specific Access Control
S-TMSI	SAE Temporary Mobile Station Identifier
TA	Tracking Area
TDD	Time Division Duplex
TM	Transparent Mode
TPC-RNTI	Transmit Power Control RNTI
UE	User Equipment
UICC	Universal Integrated Circuit Card
UL	Uplink
UM	Unacknowledged Mode
UL-SCH	Uplink Shared Channel
UP	User Plane
UTRAN	Universal Terrestrial Radio Access Network
VoLTE	Voice over Long Term Evolution

In the ASN.1, lower case may be used for some (parts) of the above abbreviations e.g. c-RNTI

---

## 4 General

### 4.1 Introduction

This specification is organised as follows:

- sub-clause 4.2 describes the RRC protocol model;
- sub-clause 4.3 specifies the services provided to upper layers as well as the services expected from lower layers;
- sub-clause 4.4 lists the RRC functions;
- clause 5 specifies RRC procedures, including UE state transitions;
- clause 6 specifies the RRC message in a mixed format (i.e. tabular & ASN.1 together);
- clause 7 specifies the variables (including protocol timers and constants) and counters to be used by the UE;
- clause 8 specifies the encoding of the RRC messages;
- clause 9 specifies the specified and default radio configurations;
- clause 10 specifies the RRC messages transferred across network nodes;
- clause 11 specifies the UE capability related constraints and performance requirements.

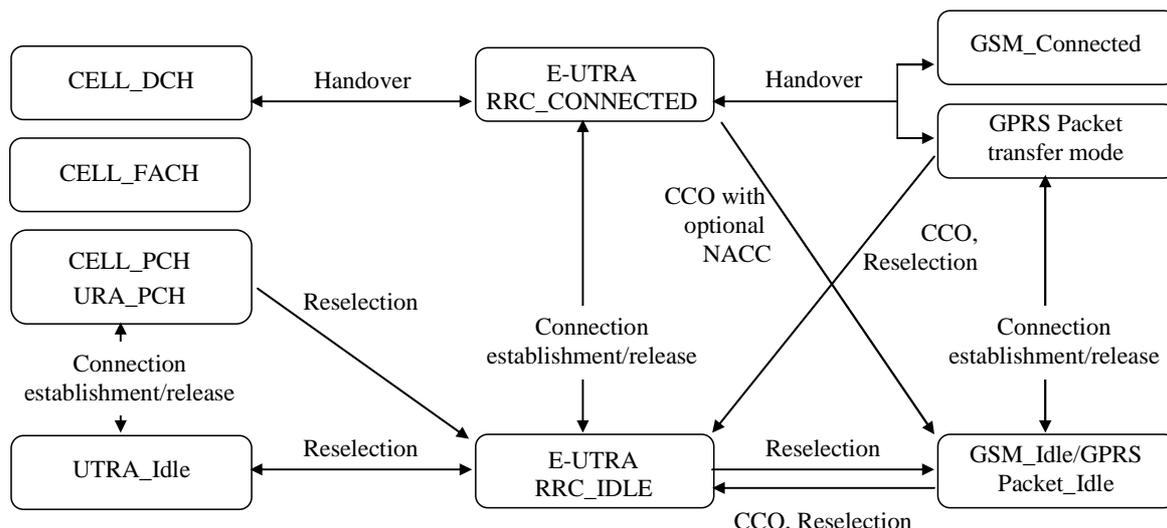
## 4.2 Architecture

### 4.2.1 UE states and state transitions including inter RAT

A UE is in RRC\_CONNECTED when an RRC connection has been established. If this is not the case, i.e. no RRC connection is established, the UE is in RRC\_IDLE state. The RRC states can further be characterised as follows:

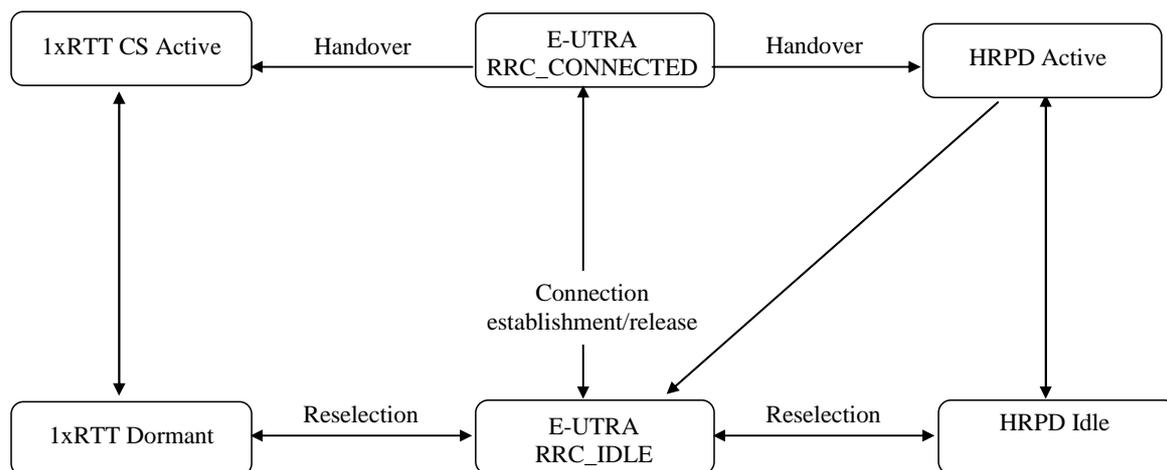
- **RRC\_IDLE:**
  - A UE specific DRX may be configured by upper layers.
  - UE controlled mobility;
  - The UE:
    - Monitors a Paging channel to detect incoming calls, system information change, for ETWS capable UEs, ETWS notification, and for CMAS capable UEs, CMAS notification;
    - Performs neighbouring cell measurements and cell (re-)selection;
    - Acquires system information.
- **RRC\_CONNECTED:**
  - Transfer of unicast data to/from UE.
  - At lower layers, the UE may be configured with a UE specific DRX.
  - Network controlled mobility, i.e. handover and cell change order with optional network assistance (NACC) to GERAN;
  - The UE:
    - Monitors a Paging channel and/ or System Information Block Type 1 contents to detect system information change, for ETWS capable UEs, ETWS notification, and for CMAS capable UEs, CMAS notification;
  - Monitors control channels associated with the shared data channel to determine if data is scheduled for it;
  - Provides channel quality and feedback information;
  - Performs neighbouring cell measurements and measurement reporting;
  - Acquires system information.

The following figure not only provides an overview of the RRC states in E-UTRA, but also illustrates the mobility support between E-UTRAN, UTRAN and GERAN.



**Figure 4.2.1-1: E-UTRA states and inter RAT mobility procedures, 3GPP**

The following figure illustrates the mobility support between E-UTRAN, CDMA2000 1xRTT and CDMA2000 HRPD. The details of the CDMA2000 state models are out of the scope of this specification.



**Figure 4.2.1-2: Mobility procedures between E-UTRA and CDMA2000**

The inter-RAT handover procedure(s) supports the case of signalling, conversational services, non-conversational services and combinations of these.

In addition to the state transitions shown in Figure 4.2.1-1 and Figure 4.2.1-2, there is support for connection release with redirection information from E-UTRA RRC\_CONNECTED to GERAN, UTRAN and CDMA2000 (HRPD Idle/ 1xRTT Dormant mode).

## 4.2.2 Signalling radio bearers

"Signalling Radio Bearers" (SRBs) are defined as Radio Bearers (RB) that are used only for the transmission of RRC and NAS messages. More specifically, the following three SRBs are defined:

- SRB0 is for RRC messages using the CCCH logical channel;
- SRB1 is for RRC messages (which may include a piggybacked NAS message) as well as for NAS messages prior to the establishment of SRB2, all using DCCH logical channel;
- SRB2 is for NAS messages, using DCCH logical channel. SRB2 has a lower-priority than SRB1 and is always configured by E-UTRAN after security activation.

In downlink piggybacking of NAS messages is used only for one dependant (i.e. with joint success/ failure) procedure: bearer establishment/ modification/ release. In uplink NAS message piggybacking is used only for transferring the initial NAS message during connection setup.

NOTE: The NAS messages transferred via SRB2 are also contained in RRC messages, which however do not include any RRC protocol control information.

Once security is activated, all RRC messages on SRB1 and SRB2, including those containing NAS or non-3GPP messages, are integrity protected and ciphered by PDCP. NAS independently applies integrity protection and ciphering to the NAS messages.

## 4.3 Services

### 4.3.1 Services provided to upper layers

The RRC protocol offers the following services to upper layers:

- Broadcast of common control information;
- Notification of UEs in RRC\_IDLE, e.g. about a terminating call, for ETWS, for CMAS;
- Transfer of dedicated control information, i.e. information for one specific UE.

### 4.3.2 Services expected from lower layers

In brief, the following are the main services that RRC expects from lower layers:

- PDCP: integrity protection and ciphering;
- RLC: reliable and in-sequence transfer of information, without introducing duplicates and with support for segmentation and concatenation.

Further details about the services provided by Packet Data Convergence Protocol layer (e.g. integrity and ciphering) are provided in TS 36.323 [8]. The services provided by Radio Link Control layer (e.g. the RLC modes) are specified in TS 36.322 [7]. Further details about the services provided by Medium Access Control layer (e.g. the logical channels) are provided in TS 36.321 [6]. The services provided by physical layer (e.g. the transport channels) are specified in TS 36.302 [3].

## 4.4 Functions

The RRC protocol includes the following main functions:

- Broadcast of system information:
  - Including NAS common information;
  - Information applicable for UEs in RRC\_IDLE, e.g. cell (re-)selection parameters, neighbouring cell information and information (also) applicable for UEs in RRC\_CONNECTED, e.g. common channel configuration information.
  - Including ETWS notification, CMAS notification;
- RRC connection control:

- Paging;
- Establishment/ modification/ release of RRC connection, including e.g. assignment/ modification of UE identity (C-RNTI), establishment/ modification/ release of SRB1 and SRB2, access class barring;
- Initial security activation, i.e. initial configuration of AS integrity protection (SRBs) and AS ciphering (SRBs, DRBs);
- RRC connection mobility including e.g. intra-frequency and inter-frequency handover, associated security handling, i.e. key/ algorithm change, specification of RRC context information transferred between network nodes;
- Establishment/ modification/ release of RBs carrying user data (DRBs);
- Radio configuration control including e.g. assignment/ modification of ARQ configuration, HARQ configuration, DRX configuration;
- QoS control including assignment/ modification of semi-persistent scheduling (SPS) configuration information for DL and UL, assignment/ modification of parameters for UL rate control in the UE, i.e. allocation of a priority and a prioritised bit rate (PBR) for each RB;
- Recovery from radio link failure;
- Inter-RAT mobility including e.g. security activation, transfer of RRC context information;
- Measurement configuration and reporting:
  - Establishment/ modification/ release of measurements (e.g. intra-frequency, inter-frequency and inter- RAT measurements);
  - Setup and release of measurement gaps;
  - Measurement reporting;
- Other functions including e.g. transfer of dedicated NAS information and non-3GPP dedicated information, transfer of UE radio access capability information, support for E-UTRAN sharing (multiple PLMN identities);
- Generic protocol error handling;
- Support of self-configuration and self-optimisation;

NOTE: Random access is specified entirely in the MAC including initial transmission power estimation.

---

## 5 Procedures

### 5.1 General

#### 5.1.1 Introduction

The procedural requirements are structured according to the main functional areas: system information (5.2), connection control (5.3), inter-RAT mobility (5.4) and measurements (5.5). In addition there is a sub-clause other (5.6) that covers e.g. NAS dedicated information transfer, UE capability transfer. Finally, sub-clause 5.7 specifies the generic error handling.

#### 5.1.2 General requirements

The UE shall:

- 1> process the received messages in order of reception by RRC, i.e. the processing of a message shall be completed before starting the processing of a subsequent message;

NOTE 1: E-UTRAN may initiate a subsequent procedure prior to receiving the UE's response of a previously initiated procedure.

- 1> within a sub-clause execute the steps according to the order specified in the procedural description;
- 1> consider the term 'radio bearer' (RB) to cover SRBs and DRBs but not MRBs unless explicitly stated otherwise;
- 1> set the *rrc-TransactionIdentifier* in the response message, if included, to the same value as included in the message received from E-UTRAN that triggered the response message;
- 1> upon receiving a choice value set to 'setup':
  - 2> apply the corresponding received configuration and start using the associated resources, unless explicitly specified otherwise;
- 1> upon receiving a choice value set to 'release':
  - 2> clear the corresponding configuration and stop using the associated resources;
- 1> upon handover to E-UTRA; or
- 1> upon receiving an *RRCCONNECTIONRECONFIGURATION* message including the *fullConfig*:
  - 2> apply the Conditions in the ASN.1 for inclusion of the fields for the DRB/PDCP/RLC setup during the reconfiguration of the DRBs included in the *drb-ToAddModList*;

NOTE 2: At each point in time, the UE keeps a single value for each field except for during handover when the UE temporarily stores the previous configuration so it can revert back upon handover failure. In other words: when the UE reconfigures a field, the existing value is released except for during handover.

NOTE 3: Although not explicitly stated, the UE initially considers all functionality to be deactivated/ released until it is explicitly stated that the functionality is setup/ activated. Correspondingly, the UE initially considers lists to be empty e.g. the list of radio bearers, the list of measurements.

## 5.2 System information

### 5.2.1 Introduction

#### 5.2.1.1 General

System information is divided into the *MasterInformationBlock* (MIB) and a number of *SystemInformationBlocks* (SIBs). The MIB includes a limited number of most essential and most frequently transmitted parameters that are needed to acquire other information from the cell, and is transmitted on BCH. SIBs other than *SystemInformationBlockType1* are carried in *SystemInformation* (SI) messages and mapping of SIBs to SI messages is flexibly configurable by *schedulingInfoList* included in *SystemInformationBlockType1*, with restrictions that: each SIB is contained only in a single SI message, only SIBs having the same scheduling requirement (periodicity) can be mapped to the same SI message, and *SystemInformationBlockType2* is always mapped to the SI message that corresponds to the first entry in the list of SI messages in *schedulingInfoList*. There may be multiple SI messages transmitted with the same periodicity. *SystemInformationBlockType1* and all SI messages are transmitted on DL-SCH.

#### 5.2.1.2 Scheduling

The MIB uses a fixed schedule with a periodicity of 40 ms and repetitions made within 40 ms. The first transmission of the MIB is scheduled in subframe #0 of radio frames for which the SFN mod 4 = 0, and repetitions are scheduled in subframe #0 of all other radio frames.

The *SystemInformationBlockType1* uses a fixed schedule with a periodicity of 80 ms and repetitions made within 80 ms. The first transmission of *SystemInformationBlockType1* is scheduled in subframe #5 of radio frames for which the SFN mod 8 = 0, and repetitions are scheduled in subframe #5 of all other radio frames for which SFN mod 2 = 0.

The SI messages are transmitted within periodically occurring time domain windows (referred to as SI-windows) using dynamic scheduling. Each SI message is associated with a SI-window and the SI-windows of different SI messages do

not overlap. That is, within one SI-window only the corresponding SI is transmitted. The length of the SI-window is common for all SI messages, and is configurable. Within the SI-window, the corresponding SI message can be transmitted a number of times in any subframe other than MBSFN subframes, uplink subframes in TDD, and subframe #5 of radio frames for which  $\text{SFN} \bmod 2 = 0$ . The UE acquires the detailed time-domain scheduling (and other information, e.g. frequency-domain scheduling, used transport format) from decoding SI-RNTI on PDCCH (see TS 36.321 [6]).

A single SI-RNTI is used to address *SystemInformationBlockType1* as well as all SI messages.

*SystemInformationBlockType1* configures the SI-window length and the transmission periodicity for the SI messages.

### 5.2.1.3 System information validity and notification of changes

Change of system information (other than for ETWS and CMAS) only occurs at specific radio frames, i.e. the concept of a modification period is used. System information may be transmitted a number of times with the same content within a modification period, as defined by its scheduling. The modification period boundaries are defined by SFN values for which  $\text{SFN} \bmod m = 0$ , where  $m$  is the number of radio frames comprising the modification period. The modification period is configured by system information.

When the network changes (some of the) system information, it first notifies the UEs about this change, i.e. this may be done throughout a modification period. In the next modification period, the network transmits the updated system information. These general principles are illustrated in figure 5.2.1.3-1, in which different colours indicate different system information. Upon receiving a change notification, the UE acquires the new system information immediately from the start of the next modification period. The UE applies the previously acquired system information until the UE acquires the new system information.

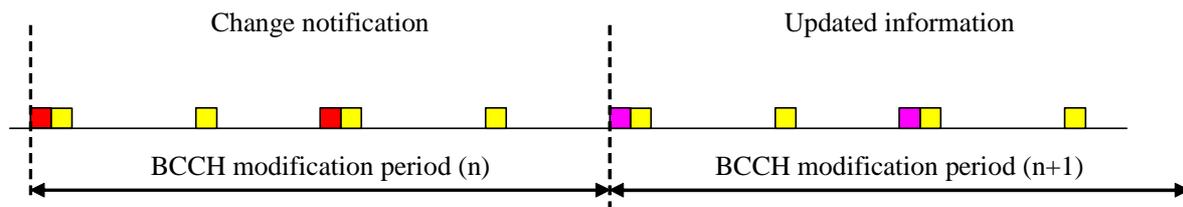


Figure 5.2.1.3-1: Change of system Information

The *Paging* message is used to inform UEs in RRC\_IDLE and UEs in RRC\_CONNECTED about a system information change. If the UE receives a *Paging* message including the *systemInfoModification*, it knows that the system information will change at the next modification period boundary. Although the UE may be informed about changes in system information, no further details are provided e.g. regarding which system information will change.

*SystemInformationBlockType1* includes a value tag, *systemInfoValueTag*, that indicates if a change has occurred in the SI messages. UEs may use *systemInfoValueTag*, e.g. upon return from out of coverage, to verify if the previously stored SI messages are still valid. Additionally, the UE considers stored system information to be invalid after 3 hours from the moment it was successfully confirmed as valid, unless specified otherwise.

E-UTRAN may not update *systemInfoValueTag* upon change of some system information e.g. ETWS information, CMAS information, regularly changing parameters like CDMA2000 system time (see 6.3). Similarly, E-UTRAN may not include the *systemInfoModification* within the *Paging* message upon change of some system information.

The UE verifies that stored system information remains valid by either checking *systemInfoValueTag* in *SystemInformationBlockType1* after the modification period boundary, or attempting to find the *systemInfoModification* indication at least *modificationPeriodCoeff* times during the modification period in case no paging is received, in every modification period. If no paging message is received by the UE during a modification period, the UE may assume that no change of system information will occur at the next modification period boundary. If UE in RRC\_CONNECTED, during a modification period, receives one paging message, it may deduce from the presence/ absence of *systemInfoModification* whether a change of system information other than ETWS and CMAS information will occur in the next modification period or not.

ETWS and/or CMAS capable UEs in RRC\_CONNECTED shall attempt to read paging at least once every *defaultPagingCycle* to check whether ETWS and/or CMAS notification is present or not.

#### 5.2.1.4 Indication of ETWS notification

ETWS primary notification and/ or ETWS secondary notification can occur at any point in time. The *Paging* message is used to inform ETWS capable UEs in RRC\_IDLE and UEs in RRC\_CONNECTED about presence of an ETWS primary notification and/ or ETWS secondary notification. If the UE receives a *Paging* message including the *etws-Indication*, it shall start receiving the ETWS primary notification and/ or ETWS secondary notification according to *schedulingInfoList* contained in *SystemInformationBlockType1*.

ETWS primary notification is contained in *SystemInformationBlockType10* and ETWS secondary notification is contained in *SystemInformationBlockType11*. Segmentation can be applied for the delivery of a secondary notification. The segmentation is fixed for transmission of a given secondary notification within a cell (i.e. the same segment size for a given segment with the same *messageIdentifier*, *serialNumber* and *warningMessageSegmentNumber*). An ETWS secondary notification corresponds to a single *CB data* IE as defined according to TS 23.041 [37].

#### 5.2.1.5 Indication of CMAS notification

CMAS notification can occur at any point in time. The *Paging* message is used to inform CMAS capable UEs in RRC\_IDLE and UEs in RRC\_CONNECTED about presence of one or more CMAS notifications. If the UE receives a *Paging* message including the *cmas-Indication*, it shall start receiving the CMAS notifications according to *schedulingInfoList* contained in *SystemInformationBlockType1*.

CMAS notification is contained in *SystemInformationBlockType12*. Segmentation can be applied for the delivery of a CMAS notification. The segmentation is fixed for transmission of a given CMAS notification within a cell (i.e. the same segment size for a given segment with the same *messageIdentifier*, *serialNumber* and *warningMessageSegmentNumber*). E-UTRAN does not interleave transmissions of CMAS notifications, i.e. all segments of a given CMAS notification transmission are transmitted prior to those of another CMAS notification. A CMAS notification corresponds to a single *CB data* IE as defined according to TS 23.041 [37].

### 5.2.2 System information acquisition

#### 5.2.2.1 General

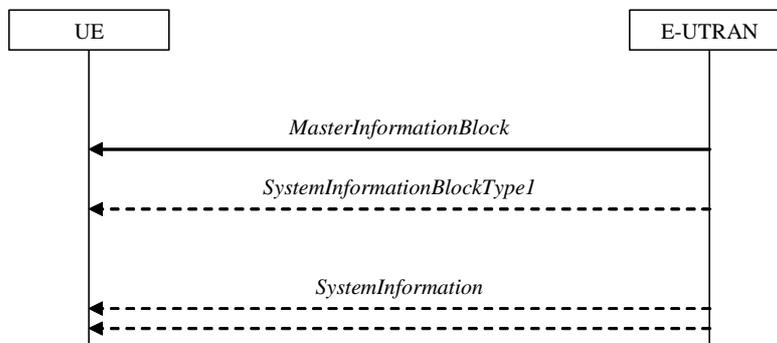


Figure 5.2.2.1-1: System information acquisition, normal

The UE applies the system information acquisition procedure to acquire the AS- and NAS- system information that is broadcasted by the E-UTRAN. The procedure applies to UEs in RRC\_IDLE and UEs in RRC\_CONNECTED.

### 5.2.2.2 Initiation

The UE shall apply the system information acquisition procedure upon selecting (e.g. upon power on) and upon re-selecting a cell, after handover completion, after entering E-UTRA from another RAT, upon return from out of coverage, upon receiving a notification that the system information has changed, upon receiving an indication about the presence of an ETWS notification, upon receiving an indication about the presence of a CMAS notification, upon receiving a request from CDMA2000 upper layers and upon exceeding the maximum validity duration. Unless explicitly stated otherwise in the procedural specification, the system information acquisition procedure overwrites any stored system information, i.e. delta configuration is not applicable for system information and the UE discontinues using a field if it is absent in system information unless explicitly specified otherwise.

### 5.2.2.3 System information required by the UE

The UE shall:

- 1> ensure having a valid version, as defined below, of (at least) the following system information, also referred to as the 'required' system information:
  - 2> if in RRC\_IDLE:
    - 3> the *MasterInformationBlock* and *SystemInformationBlockType1* as well as *SystemInformationBlockType2* through *SystemInformationBlockType8*, depending on support of the concerned RATs;
  - 2> if in RRC\_CONNECTED:
    - 3> the *MasterInformationBlock*, *SystemInformationBlockType1* and *SystemInformationBlockType2* as well as *SystemInformationBlockType8*, depending on support of CDMA2000;
- 1> delete any stored system information after 3 hours from the moment it was confirmed to be valid as defined in 5.2.1.3, unless specified otherwise;
- 1> consider any stored system information except *SystemInformationBlockType10*, *SystemInformationBlockType11* and *SystemInformationBlockType12* to be invalid if *systemInfoValueTag* included in the *SystemInformationBlockType1* is different from the one of the stored system information;

### 5.2.2.4 System information acquisition by the UE

The UE shall:

- 1> apply the specified BCCH configuration defined in 9.1.1.1;
- 1> if the procedure is triggered by a system information change notification:
  - 2> start acquiring the required system information, as defined in 5.2.2.3, from the beginning of the modification period following the one in which the change notification was received;

NOTE 1: The UE continues using the previously received system information until the new system information has been acquired.

- 1> if the UE is in RRC\_IDLE and enters a cell for which the UE does not have stored a valid version of the system information required in RRC\_IDLE, as defined in 5.2.2.3:
  - 2> acquire, using the system information acquisition procedure as defined in 5.2.3, the system information required in RRC\_IDLE, as defined in 5.2.2.3;
- 1> following successful handover completion to a cell for which the UE does not have stored a valid version of the system information required in RRC\_CONNECTED, as defined in 5.2.2.3:
  - 2> acquire, using the system information acquisition procedure as defined in 5.2.3, the system information required in RRC\_CONNECTED, as defined in 5.2.2.3;
  - 2> upon acquiring the concerned system information:
    - 3> discard the corresponding radio resource configuration information included in the *radioResourceConfigCommon* previously received in a dedicated message, if any;

- 1> following a request from CDMA2000 upper layers:
  - 2> acquire *SystemInformationBlockType8*, as defined in 5.2.3;
- 1> neither initiate the RRC connection establishment procedure nor initiate transmission of the *RRCConnectionReestablishmentRequest* message until the UE has a valid version of the *MasterInformationBlock* and *SystemInformationBlockType1* messages as well as *SystemInformationBlockType2* ;
- 1> if the UE is ETWS capable:
  - 2> upon entering a cell during RRC\_IDLE, following successful handover or upon connection re-establishment:
    - 3> discard any previously buffered *warningMessageSegment*;
    - 3> clear, if any, the current values of *messageIdentifier* and *serialNumber* for *SystemInformationBlockType11*;
  - 2> when the UE acquires *SystemInformationBlockType1* following ETWS indication, upon entering a cell during RRC\_IDLE, following successful handover or upon connection re-establishment:
    - 3> if *schedulingInfoList* indicates that *SystemInformationBlockType10* is present:
      - 4> start acquiring *SystemInformationBlockType10* immediately;
    - 3> if *schedulingInfoList* indicates that *SystemInformationBlockType11* is present:
      - 4> start acquiring *SystemInformationBlockType11* immediately;

NOTE 2: UEs shall start acquiring *SystemInformationBlockType10* and *SystemInformationBlockType11* as described above even when *systemInfoValueTag* in *SystemInformationBlockType1* has not changed.

- 1> if the UE is CMAS capable:
  - 2> upon entering a cell during RRC\_IDLE, following successful handover or upon connection re-establishment:
    - 3> discard any previously buffered *warningMessageSegment*;
    - 3> clear, if any, stored values of *messageIdentifier* and *serialNumber* for *SystemInformationBlockType12* associated with the discarded *warningMessageSegment* ;
  - 2> when the UE acquires *SystemInformationBlockType1* following CMAS indication, upon entering a cell during RRC\_IDLE, following successful handover and upon connection re-establishment:
    - 3> if *schedulingInfoList* indicates that *SystemInformationBlockType12* is present:
      - 4> acquire *SystemInformationBlockType12*;

NOTE 3: UEs shall start acquiring *SystemInformationBlockType12* as described above even when *systemInfoValueTag* in *SystemInformationBlockType1* has not changed.

- 1> if the UE is interested to receive MBMS services; and
- 1> if *schedulingInfoList* indicates that *SystemInformationBlockType13* is present and the UE does not have stored a valid version of this system information block:
  - 2> acquire *SystemInformationBlockType13*;

The UE may apply the received SIBs immediately, i.e. the UE does not need to delay using a SIB until all SI messages have been received. The UE may delay applying the received SIBs until completing lower layer procedures associated with a received or a UE originated RRC message, e.g. an ongoing random access procedure.

NOTE 4: While attempting to acquire a particular SIB, if the UE detects from *schedulingInfoList* that it is no longer present, the UE should stop trying to acquire the particular SIB.

### 5.2.2.5 Essential system information missing

The UE shall

- 1> if in RRC\_IDLE or in RRC\_CONNECTED while T311 is running:
  - 2> if the UE is unable to acquire the MasterInformationBlock or the SystemInformationBlockType1:
    - 3> consider the cell as barred in accordance with TS 36.304 [4] and;
    - 3> perform barring as if *intraFreqReselection* is set to 'allowed', and as if the *csg-Indication* is set to 'FALSE';
  - 2> else if the UE is unable to acquire the *SystemInformationBlockType2*:
    - 3> treat the cell as barred in accordance with TS 36.304 [4];

#### 5.2.2.6 Actions upon reception of the *MasterInformationBlock* message

Upon receiving the *MasterInformationBlock* message the UE shall:

- 1> apply the radio resource configuration included in the *phich-Config*;
- 1> if the UE is in RRC\_IDLE or if the UE is in RRC\_CONNECTED while T311 is running:
  - 2> if the UE has no valid system information stored according to 5.2.2.3 for the concerned cell:
    - 3> apply the received value of *dl-Bandwidth* to the *ul-Bandwidth* until *SystemInformationBlockType2* is received;

#### 5.2.2.7 Actions upon reception of the *SystemInformationBlockType1* message

Upon receiving the *SystemInformationBlockType1* message the UE shall:

- 1> if the frequency band indicated in the *freqBandIndicator* is not part of the frequency bands supported by the UE:
  - 2> consider the cell as barred in accordance with TS 36.304 [4] and;
  - 2> perform barring as if *intraFreqReselection* is set to 'notAllowed', and as if the *csg-Indication* is set to 'FALSE';
- 1> else:
  - 2> forward the *cellIdentity* to upper layers;
  - 2> forward the *trackingAreaCode* to upper layers;

#### 5.2.2.8 Actions upon reception of *SystemInformation* messages

No UE requirements related to the contents of the *SystemInformation* messages apply other than those specified elsewhere e.g. within procedures using the concerned system information, and/ or within the corresponding field descriptions.

#### 5.2.2.9 Actions upon reception of *SystemInformationBlockType2*

Upon receiving *SystemInformationBlockType2*, the UE shall:

- 1> if upper layers indicate that a (UE specific) paging cycle is configured:
  - 2> Apply the shortest of the (UE specific) paging cycle and the *defaultPagingCycle* included in the *radioResourceConfigCommon*;
- 1> else:
  - 2> Apply the *defaultPagingCycle* included in the *radioResourceConfigCommon*;
- 1> if the *mbsfn-SubframeConfigList* is included:

2> consider that no other DL assignments occur in the MBSFN subframes indicated in the *mbsfn-SubframeConfigList*:

1> apply the configuration included in the *radioResourceConfigCommon*;

1> apply the specified PCCH configuration defined in 9.1.1.3;

1> not apply the *timeAlignmentTimerCommon*;

1> if in RRC\_CONNECTED and UE has previously received *rlf-TimersAndConstants*:

2> The UE shall not update its values of the timers and constants in *UE-TimersAndConstants* except for the value of timer T300.

#### 5.2.2.10 Actions upon reception of *SystemInformationBlockType3*

No UE requirements related to the contents of this *SystemInformationBlock* apply other than those specified elsewhere e.g. within procedures using the concerned system information, and/ or within the corresponding field descriptions.

#### 5.2.2.11 Actions upon reception of *SystemInformationBlockType4*

No UE requirements related to the contents of this *SystemInformationBlock* apply other than those specified elsewhere e.g. within procedures using the concerned system information, and/ or within the corresponding field descriptions.

#### 5.2.2.12 Actions upon reception of *SystemInformationBlockType5*

No UE requirements related to the contents of this *SystemInformationBlock* apply other than those specified elsewhere e.g. within procedures using the concerned system information, and/ or within the corresponding field descriptions.

#### 5.2.2.13 Actions upon reception of *SystemInformationBlockType6*

No UE requirements related to the contents of this *SystemInformationBlock* apply other than those specified elsewhere e.g. within procedures using the concerned system information, and/ or within the corresponding field descriptions.

#### 5.2.2.14 Actions upon reception of *SystemInformationBlockType7*

No UE requirements related to the contents of this *SystemInformationBlock* apply other than those specified elsewhere e.g. within procedures using the concerned system information, and/ or within the corresponding field descriptions.

#### 5.2.2.15 Actions upon reception of *SystemInformationBlockType8*

Upon receiving *SystemInformationBlockType8*, the UE shall:

1> if the *systemTimeInfo* is included:

2> forward the *systemTimeInfo* to CDMA2000 upper layers;

1> if the UE is in RRC\_IDLE and if *searchWindowSize* is included:

2> forward the *searchWindowSize* to CDMA2000 upper layers;

1> if *parametersHRPD* is included;

2> forward the *preRegistrationInfoHRPD* to CDMA2000 upper layers only if the UE has not received the *preRegistrationInfoHRPD* within an *RRCConnectionReconfiguration* message after entering this cell;

2> if the *cellReselectionParametersHRPD* is included:

3> forward the *neighCellList* to the CDMA2000 upper layers;

1> if the *parametersIXRTT* is included:

2> if the *csfb-RegistrationParamIXRTT* is included:

- 3> forward the *csfb-RegistrationParam1XRTT* to the CDMA2000 upper layers which will use this information to determine if a CS registration/re-registration towards CDMA2000 1xRTT in the EUTRA cell is required;
- 2> else:
  - 3> indicate to CDMA2000 upper layers that CSFB Registration to CDMA2000 1xRTT is not allowed;
- 2> if the *longCodeState1XRTT* is included:
  - 3> forward the *longCodeState1XRTT* to CDMA2000 upper layers;
- 2> if the *cellReselectionParameters1XRTT* is included:
  - 3> forward the *neighCellList* to the CDMA2000 upper layers;
- 2> if the *csfb-SupportForDualRxUEs* is included:
  - 3> forward *csfb-SupportForDualRxUEs* to the CDMA2000 upper layers;
- 2> else
  - 3> forward *csfb-SupportForDualRxUEs*, with its value set to *FALSE*, to the CDMA2000 upper layers;
- 2> forward the *ac-BarringConfig1XRTT* to CDMA2000 upper layers, if included;

#### 5.2.2.16 Actions upon reception of *SystemInformationBlockType9*

Upon receiving *SystemInformationBlockType9*, the UE shall:

- 1> if *hnb-Name* is included, forward the *hnb-Name* to upper layers;

#### 5.2.2.17 Actions upon reception of *SystemInformationBlockType10*

Upon receiving *SystemInformationBlockType10*, the UE shall:

- 1> forward the received *warningType*, *warningSecurityInfo* (if present), *messageIdentifier* and *serialNumber* to upper layers;

#### 5.2.2.18 Actions upon reception of *SystemInformationBlockType11*

Upon receiving *SystemInformationBlockType11*, the UE shall:

- 1> if there is no current value for *messageIdentifier* and *serialNumber* for *SystemInformationBlockType11*; or
- 1> if either the received value of *messageIdentifier* or of *serialNumber* or of both are different from the current values of *messageIdentifier* and *serialNumber* for *SystemInformationBlockType11*:
  - 2> use the received values of *messageIdentifier* and *serialNumber* for *SystemInformationBlockType11* as the current values of *messageIdentifier* and *serialNumber* for *SystemInformationBlockType11*;
  - 2> discard any previously buffered *warningMessageSegment*;
  - 2> if all segments of a warning message have been received:
    - 3> assemble the warning message from the received *warningMessageSegment*;
    - 3> forward the received warning message, *messageIdentifier*, *serialNumber* and *dataCodingScheme* to upper layers;
    - 3> stop reception of *SystemInformationBlockType11*;
    - 3> discard the current values of *messageIdentifier* and *serialNumber* for *SystemInformationBlockType11*;
- 2> else:
  - 3> store the received *warningMessageSegment*;

- 3> continue reception of *SystemInformationBlockType11*;
- 1> else if all segments of a warning message have been received:
  - 2> assemble the warning message from the received *warningMessageSegment*;
  - 2> forward the received complete warning message, *messageIdentifier*, *serialNumber* and *dataCodingScheme* to upper layers;
  - 2> stop reception of *SystemInformationBlockType11*;
  - 2> discard the current values of *messageIdentifier* and *serialNumber* for *SystemInformationBlockType11*;
- 1> else:
  - 2> store the received *warningMessageSegment*;
  - 2> continue reception of *SystemInformationBlockType11*;

The UE should discard any stored *warningMessageSegment* and the current value of *messageIdentifier* and *serialNumber* for *SystemInformationBlockType11* if the complete warning message has not been assembled within a period of 3 hours.

#### 5.2.2.19 Actions upon reception of *SystemInformationBlockType12*

Upon receiving *SystemInformationBlockType12*, the UE shall:

- 1> if the *SystemInformationBlockType12* contains a complete warning message:
  - 2> forward the received warning message, *messageIdentifier*, *serialNumber* and *dataCodingScheme* to upper layers;
  - 2> continue reception of *SystemInformationBlockType12*;
- 1> else:
  - 2> if the received values of *messageIdentifier* and *serialNumber* are the same (each value is the same) as a pair for which a warning message is currently being assembled:
    - 3> store the received *warningMessageSegment*;
    - 3> if all segments of a warning message have been received:
      - 4> assemble the warning message from the received *warningMessageSegment*;
      - 4> forward the received warning message, *messageIdentifier*, *serialNumber* and *dataCodingScheme* to upper layers;
      - 4> stop assembling a warning message for this *messageIdentifier* and *serialNumber* and delete all stored information held for it;
    - 3> continue reception of *SystemInformationBlockType12*;
  - 2> else if the received values of *messageIdentifier* and/or *serialNumber* are not the same as any of the pairs for which a warning message is currently being assembled:
    - 3> start assembling a warning message for this *messageIdentifier* and *serialNumber* pair;
    - 3> store the received *warningMessageSegment*;
    - 3> continue reception of *SystemInformationBlockType12*;

The UE should discard *warningMessageSegment* and the associated values of *messageIdentifier* and *serialNumber* for *SystemInformationBlockType12* if the complete warning message has not been assembled within a period of 3 hours.

NOTE: The number of warning messages that a UE can re-assemble simultaneously is a function of UE implementation.

### 5.2.2.20 Actions upon reception of *SystemInformationBlockType13*

No UE requirements related to the contents of this *SystemInformationBlock* apply other than those specified elsewhere e.g. within procedures using the concerned system information, and/ or within the corresponding field descriptions.

## 5.2.3 Acquisition of an SI message

When acquiring an SI message, the UE shall:

- 1> determine the start of the SI-window for the concerned SI message as follows:
  - 2> for the concerned SI message, determine the number  $n$  which corresponds to the order of entry in the list of SI messages configured by *schedulingInfoList* in *SystemInformationBlockType1*;
  - 2> determine the integer value  $x = (n - 1) * w$ , where  $w$  is the *si-WindowLength*;
  - 2> the SI-window starts at the subframe  $a$ , where  $a = x \bmod 10$ , in the radio frame for which  $SFN \bmod T = \text{FLOOR}(x/10)$ , where  $T$  is the *si-Periodicity* of the concerned SI message;

NOTE: E-UTRAN should configure an SI-window of 1 ms only if all SIs are scheduled before subframe #5 in radio frames for which  $SFN \bmod 2 = 0$ .

- 1> receive DL-SCH using the SI-RNTI from the start of the SI-window and continue until the end of the SI-window whose absolute length in time is given by *si-WindowLength*, or until the SI message was received, excluding the following subframes:
  - 2> subframe #5 in radio frames for which  $SFN \bmod 2 = 0$ ;
  - 2> any MBSFN subframes;
  - 2> any uplink subframes in TDD;
- 1> if the SI message was not received by the end of the SI-window, repeat reception at the next SI-window occasion for the concerned SI message;

## 5.3 Connection control

### 5.3.1 Introduction

#### 5.3.1.1 RRC connection control

RRC connection establishment involves the establishment of SRB1. E-UTRAN completes RRC connection establishment prior to completing the establishment of the S1 connection, i.e. prior to receiving the UE context information from the EPC. Consequently, AS security is not activated during the initial phase of the RRC connection. During this initial phase of the RRC connection, the E-UTRAN may configure the UE to perform measurement reporting. However, the UE only accepts a handover message when security has been activated.

Upon receiving the UE context from the EPC, E-UTRAN activates security (both ciphering and integrity protection) using the initial security activation procedure. The RRC messages to activate security (command and successful response) are integrity protected, while ciphering is started only after completion of the procedure. That is, the response to the message used to activate security is not ciphered, while the subsequent messages (e.g. used to establish SRB2 and DRBs) are both integrity protected and ciphered.

After having initiated the initial security activation procedure, E-UTRAN initiates the establishment of SRB2 and DRBs, i.e. E-UTRAN may do this prior to receiving the confirmation of the initial security activation from the UE. In any case, E-UTRAN will apply both ciphering and integrity protection for the RRC connection reconfiguration messages used to establish SRB2 and DRBs. E-UTRAN should release the RRC connection if the initial security activation and/ or the radio bearer establishment fails (i.e. security activation and DRB establishment are triggered by a joint S1-procedure, which does not support partial success).

For SRB2 and DRBs, security is always activated from the start, i.e. the E-UTRAN does not establish these bearers prior to activating security.

The release of the RRC connection is initiated by E-UTRAN. The procedure may be used to re-direct the UE to an E-UTRA frequency or an inter-RAT carrier frequency. In exceptional cases the UE may abort the RRC connection, i.e. move to RRC\_IDLE without notifying E-UTRAN.

### 5.3.1.2 Security

AS security comprises of the integrity protection of RRC signalling (SRBs) as well as the ciphering of RRC signalling (SRBs) and user data (DRBs).

RRC handles the configuration of the security parameters which are part of the AS configuration: the integrity protection algorithm, the ciphering algorithm and two parameters, namely the *keyChangeIndicator* and the *nextHopChainingCount*, which are used by the UE to determine the AS security keys upon handover and/ or connection re-establishment.

The integrity protection algorithm is common for signalling radio bearers SRB1 and SRB2. The ciphering algorithm is common for all radio bearers (i.e. SRB1, SRB2 and DRBs). Neither integrity protection nor ciphering applies for SRB0.

RRC integrity and ciphering are always activated together, i.e. in one message/ procedure. RRC integrity and ciphering are never de-activated. However, it is possible to switch to a 'NULL' ciphering algorithm (eea0).

The 'NULL' integrity protection algorithm (eia0) is used only for the UE in limited service mode [32, TS33.401]. In case the 'NULL' integrity protection algorithm is used, 'NULL' ciphering algorithm is also used.

NOTE 1: Lower layers discard RRC messages for which the integrity check has failed and indicate the integrity verification check failure to RRC.

The AS applies three different security keys: one for the integrity protection of RRC signalling ( $K_{\text{RRCint}}$ ), one for the ciphering of RRC signalling ( $K_{\text{RRCenc}}$ ) and one for the ciphering of user data ( $K_{\text{UPenc}}$ ). All three AS keys are derived from the  $K_{\text{eNB}}$  key. The  $K_{\text{eNB}}$  is based on the  $K_{\text{ASME}}$  key, which is handled by upper layers.

Upon connection establishment new AS keys are derived. No AS-parameters are exchanged to serve as inputs for the derivation of the new AS keys at connection establishment.

The integrity and ciphering of the RRC message used to perform handover is based on the security configuration used prior to the handover and is performed by the source eNB.

The integrity and ciphering algorithms can only be changed upon handover. The four AS keys ( $K_{\text{eNB}}$ ,  $K_{\text{RRCint}}$ ,  $K_{\text{RRCenc}}$  and  $K_{\text{UPenc}}$ ) change upon every handover and connection re-establishment. The *keyChangeIndicator* is used upon handover and indicates whether the UE should use the keys associated with the latest available  $K_{\text{ASME}}$  key. The *nextHopChainingCount* parameter is used upon handover and connection re-establishment by the UE when deriving the new  $K_{\text{eNB}}$  that is used to generate  $K_{\text{RRCint}}$ ,  $K_{\text{RRCenc}}$  and  $K_{\text{UPenc}}$  (see TS 33.401 [32]). An intra cell handover procedure may be used to change the keys in RRC\_CONNECTED.

For each radio bearer an independent counter (COUNT, as specified in TS 36.323 [8]) is maintained for each direction. For each DRB, the COUNT is used as input for ciphering. For each SRB, the COUNT is used as input for both ciphering and integrity protection. It is not allowed to use the same COUNT value more than once for a given security key. In order to limit the signalling overhead, individual messages/ packets include a short sequence number (PDCP SN, as specified in TS 36.323 [8]). In addition, an overflow counter mechanism is used: the hyper frame number (TX\_HFN and RX\_HFN, as specified in TS 36.323 [8]). The HFN needs to be synchronized between the UE and the eNB. The eNB is responsible for avoiding reuse of the COUNT with the same RB identity and with the same  $K_{\text{eNB}}$ , e.g. due to the transfer of large volumes of data, release and establishment of new RBs. In order to avoid such re-use, the eNB may e.g. use different RB identities for successive RB establishments, trigger an intra cell handover or an RRC\_CONNECTED to RRC\_IDLE to RRC\_CONNECTED transition.

For each SRB, the value provided by RRC to lower layers to derive the 5-bit BEARER parameter used as input for ciphering and for integrity protection is the value of the corresponding *srb-Identity* with the MSBs padded with zeroes.

### 5.3.1.3 Connected mode mobility

In RRC\_CONNECTED, the network controls UE mobility, i.e. the network decides when the UE shall move to which cell (which may be on another frequency or RAT). For network controlled mobility in RRC\_CONNECTED, handover is the only procedure that is defined. The network triggers the handover procedure e.g. based on radio conditions, load. To facilitate this, the network may configure the UE to perform measurement reporting (possibly including the

configuration of measurement gaps). The network may also initiate handover blindly, i.e. without having received measurement reports from the UE.

Before sending the handover message to the UE, the source eNB prepares one or more target cells. The target eNB generates the message used to perform the handover, i.e. the message including the AS-configuration to be used in the target cell. The source eNB transparently (i.e. does not alter values/ content) forwards the handover message/ information received from the target to the UE. When appropriate, the source eNB may initiate data forwarding for (a subset of) the DRBs.

After receiving the handover message, the UE attempts to access the target cell at the first available RACH occasion according to Random Access resource selection defined in TS 36.321 [6], i.e. the handover is asynchronous. Consequently, when allocating a dedicated preamble for the random access in the target cell, E-UTRA shall ensure it is available from the first RACH occasion the UE may use. Upon successful completion of the handover, the UE sends a message used to confirm the handover.

If the target eNB does not support the release of RRC protocol which the source eNB used to configure the UE, the target eNB may be unable to comprehend the UE configuration provided by the source eNB. In this case, the target eNB should use the full configuration option to reconfigure the UE for Handover and Re-establishment. Full configuration option includes an initialization of the radio configuration, which makes the procedure independent of the configuration used in the source cell with the exception that the security algorithms are continued for the RRC re-establishment.

After the successful completion of handover, PDCP SDUs may be re-transmitted in the target cell. This only applies for DRBs using RLC-AM mode and for handovers not involving full configuration option. The further details are specified in TS 36.323 [8]. After the successful completion of handover not involving full configuration option, the SN and the HFN are reset except for the DRBs using RLC-AM mode (for which both SN and HFN continue). For reconfigurations involving the full configuration option, the PDCP entities are newly established (SN and HFN do not continue) for all DRBs irrespective of the RLC mode. The further details are specified in TS 36.323 [8].

One UE behaviour to be performed upon handover is specified, i.e. this is regardless of the handover procedures used within the network (e.g. whether the handover includes X2 or S1 signalling procedures).

The source eNB should, for some time, maintain a context to enable the UE to return in case of handover failure. After having detected handover failure, the UE attempts to resume the RRC connection either in the source or in another cell using the RRC re-establishment procedure. This connection resumption succeeds only if the accessed cell is prepared, i.e. concerns a cell of the source eNB or of another eNB towards which handover preparation has been performed.

Normal measurement and mobility procedures are used to support handover to cells broadcasting a CSG identity. In addition, E-UTRAN may configure the UE to report that it is entering or leaving the proximity of cell(s) included in its CSG whitelist. Furthermore, E-UTRAN may request the UE to provide additional information broadcast by the handover candidate cell e.g. cell global identity, CSG identity, CSG membership status.

NOTE E-UTRAN may use the 'proximity report' to configure measurements as well as to decide whether or not to request additional information broadcast by the handover candidate cell. The additional information is used to verify whether or not the UE is authorised to access the target cell and may also be needed to identify handover candidate cell (*PCI confusion* i.e. when the physical layer identity that is included in the measurement report does not uniquely identify the cell).

## 5.3.2 Paging

### 5.3.2.1 General



Figure 5.3.2.1-1: Paging

The purpose of this procedure is:

- to transmit paging information to a UE in RRC\_IDLE and/ or;
- to inform UEs in RRC\_IDLE and UEs in RRC\_CONNECTED about a system information change and/ or;
- to inform about an ETWS primary notification and/ or ETWS secondary notification and/ or;
- to inform about a CMAS notification.

The paging information is provided to upper layers, which in response may initiate RRC connection establishment, e.g. to receive an incoming call.

### 5.3.2.2 Initiation

E-UTRAN initiates the paging procedure by transmitting the *Paging* message at the UE's paging occasion as specified in TS 36.304 [4]. E-UTRAN may address multiple UEs within a *Paging* message by including one *PagingRecord* for each UE. E-UTRAN may also indicate a change of system information, and/ or provide an ETWS notification or a CMAS notification in the *Paging* message.

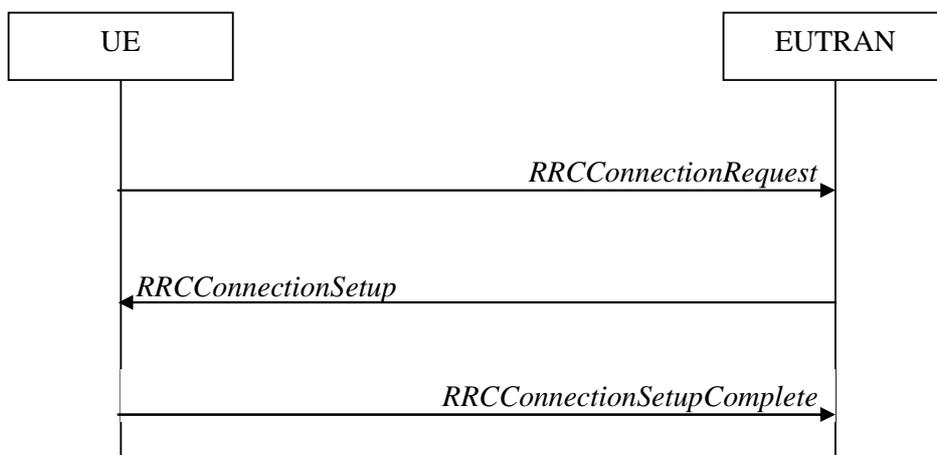
### 5.3.2.3 Reception of the *Paging* message by the UE

Upon receiving the *Paging* message, the UE shall:

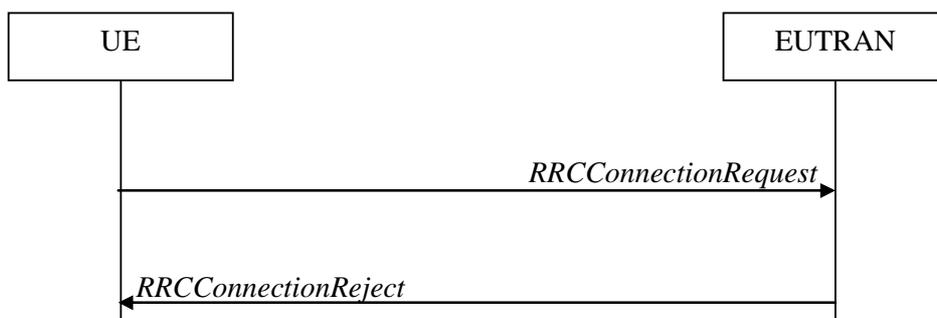
- 1> if in RRC\_IDLE, for each of the *PagingRecord*, if any, included in the *Paging* message:
  - 2> if the *ue-Identity* included in the *PagingRecord* matches one of the UE identities allocated by upper layers:
    - 3> forward the *ue-Identity* and the *cn-Domain* to the upper layers;
- 1> if the *systemInfoModification* is included:
  - 2> re-acquire the required system information using the system information acquisition procedure as specified in 5.2.2.
- 1> if the *etws-Indication* is included and the UE is ETWS capable:
  - 2> re-acquire *SystemInformationBlockType1* immediately, i.e., without waiting until the next system information modification period boundary;
  - 2> if the *schedulingInfoList* indicates that *SystemInformationBlockType10* is present:
    - 3> acquire *SystemInformationBlockType10*;
  - 2> if the *schedulingInfoList* indicates that *SystemInformationBlockType11* is present:
    - 3> acquire *SystemInformationBlockType11*;
- 1> if the *cmass-Indication* is included and the UE is CMAS capable:
  - 2> re-acquire *SystemInformationBlockType1* immediately, i.e., without waiting until the next system information modification period boundary as specified in 5.2.1.5;
  - 2> if the *schedulingInfoList* indicates that *SystemInformationBlockType12* is present:
    - 3> acquire *SystemInformationBlockType12*;

### 5.3.3 RRC connection establishment

#### 5.3.3.1 General



**Figure 5.3.3.1-1: RRC connection establishment, successful**



**Figure 5.3.3.1-2: RRC connection establishment, network reject**

The purpose of this procedure is to establish an RRC connection. RRC connection establishment involves SRB1 establishment. The procedure is also used to transfer the initial NAS dedicated information/ message from the UE to E-UTRAN.

E-UTRAN applies the procedure as follows:

- to establish SRB1 only.

#### 5.3.3.2 Initiation

The UE initiates the procedure when upper layers request establishment of an RRC connection while the UE is in RRC\_IDLE.

Upon initiation of the procedure, the UE shall:

- 1> if the UE is establishing the RRC connection for mobile terminating calls:
  - 2> if timer T302 is running:
    - 3> consider access to the cell as barred;
  - 2> else:
    - 3> consider access to the cell as not barred;
- 1> else if the UE is establishing the RRC connection for emergency calls:
  - 2> if *SystemInformationBlockType2* includes the *ac-BarringInfo*:

3> if the *ac-BarringForEmergency* is set to *FALSE*:

4> consider access to the cell as not barred;

3> else if the UE has one or more Access Classes, as stored on the USIM, with a value in the range 11..15, which is valid for the UE to use according to TS 22.011 [10] and TS 23.122 [11]:

NOTE 1: ACs 12, 13, 14 are only valid for use in the home country and ACs 11, 15 are only valid for use in the HPLMN/ EHPLMN.

4> if the *ac-BarringInfo* includes *ac-BarringForMO-Data*, and for all of the valid Access Classes for the UE, the corresponding bit in the *ac-BarringForSpecialAC* contained in *ac-BarringForMO-Data* is set to *one*:

5> consider access to the cell as barred;

4> else:

5> consider access to the cell as not barred;

3> else:

4> consider access to the cell as barred;

2> else:

3> consider access to the cell as not barred;

1> else if the UE is establishing the RRC connection for mobile originating calls:

2> if timer T302 or T303 is running:

3> consider access to the cell as barred;

2> else if *SystemInformationBlockType2* includes the *ac-BarringInfo* and the *ac-BarringForMO-Data* is present:

3> if the UE has one or more Access Classes, as stored on the USIM, with a value in the range 11..15, which is valid for the UE to use according to TS 22.011 [10] and TS 23.122 [11], and

3> for at least one of these Access Classes the corresponding bit in the *ac-BarringForSpecialAC* contained in *ac-BarringForMO-Data* is set to *zero*:

4> consider access to the cell as not barred;

3> else:

4> draw a random number '*rand*' uniformly distributed in the range:  $0 \leq rand < 1$ ;

4> if '*rand*' is lower than the value indicated by *ac-BarringFactor* included in *ac-BarringForMO-Data*:

5> consider access to the cell as not barred;

4> else:

5> consider access to the cell as barred;

2> else:

3> consider access to the cell as not barred;

1> else (the UE is establishing the RRC connection for mobile originating signalling):

2> if timer T302 or T305 is running:

3> consider access to the cell as barred;

2> else if *SystemInformationBlockType2* includes the *ac-BarringInfo* and the *ac-BarringForMO-Signalling* is present:

- 3> if the UE has one or more Access Classes, as stored on the USIM, with a value in the range 11..15, which is valid for the UE to use according to TS 22.011 [10] and TS 23.122 [11], and
- 3> for at least one of these Access Classes the corresponding bit in the *ac-BarringForSpecialAC* contained in *ac-BarringForMO-Signalling* is set to zero:
  - 4> consider access to the cell as not barred;
- 3> else:
  - 4> draw a random number '*rand*' uniformly distributed in the range:  $0 \leq rand < 1$ ;
  - 4> if '*rand*' is lower than the value indicated by *ac-BarringFactor* included in *ac-BarringForMO-Signalling*:
    - 5> consider access to the cell as not barred;
  - 4> else:
    - 5> consider access to the cell as barred;
- 2> else:
  - 3> consider access to the cell as not barred;
- 1> if access to the cell, as specified above, is not barred:
  - 2> apply the default physical channel configuration as specified in 9.2.4;
  - 2> apply the default semi-persistent scheduling configuration as specified in 9.2.3;
  - 2> apply the default MAC main configuration as specified in 9.2.2;
  - 2> apply the CCCH configuration as specified in 9.1.1.2;
  - 2> apply the *timeAlignmentTimerCommon* included in *SystemInformationBlockType2*;
  - 2> start timer T300;
  - 2> initiate transmission of the *RRCConnectionRequest* message in accordance with 5.3.3.3;

NOTE 2: Upon initiating the connection establishment procedure, the UE is not required to ensure it maintains up to date system information applicable only for UEs in RRC\_IDLE state. However, the UE needs to perform system information acquisition upon cell re-selection.

- 1> else:
  - 2> if the UE is establishing the RRC connection for mobile originating calls and if both timers T302 and T303 are not running:
    - 3> draw a random number '*rand*' that is uniformly distributed in the range  $0 \leq rand < 1$ ;
    - 3> start timer T303 with the timer value calculated as follows, using the *ac-BarringTime* included in *ac-BarringForMO-Data*:
 
$$T303 = (0.7 + 0.6 * rand) * ac-BarringTime$$
    - 3> inform upper layers about the failure to establish the RRC connection and that access barring for mobile originating calls is applicable, upon which the procedure ends;
  - 2> else if the UE is establishing the RRC connection for mobile originating signalling and if both timers T302 and T305 are not running:
    - 3> draw a random number '*rand*' that is uniformly distributed in the range  $0 \leq rand < 1$ ;
    - 3> start timer T305 with the timer value calculated as follows, using the *ac-BarringTime* included in *ac-BarringForMO-Signalling*:

$$T305 = (0.7 + 0.6 * rand) * ac-BarringTime$$

- 3> inform upper layers about the failure to establish the RRC connection and that access barring for mobile originating signalling is applicable, upon which the procedure ends;
- 2> else if the UE is establishing the RRC connection for emergency calls:
  - 3> inform upper layers about the failure to establish the RRC connection and that access barring for emergency calls is applicable, upon which the procedure ends;
- 2> else:
  - 3> inform upper layers about the failure to establish the RRC connection, upon which the procedure ends;

### 5.3.3.3 Actions related to transmission of *RRCCConnectionRequest* message

The UE shall set the contents of *RRCCConnectionRequest* message as follows:

- 1> set the *ue-Identity* as follows:
  - 2> if upper layers provide an S-TMSI:
    - 3> set the *ue-Identity* to the value received from upper layers;
  - 2> else:
    - 3> draw a random value in the range  $0 \dots 2^{40} - 1$  and set the *ue-Identity* to this value;

NOTE 1: Upper layers provide the S-TMSI if the UE is registered in the TA of the current cell.

- 1> set the *establishmentCause* in accordance with the information received from upper layers;

The UE shall submit the *RRCCConnectionRequest* message to lower layers for transmission.

The UE shall continue cell re-selection related measurements as well as cell re-selection evaluation. If the conditions for cell re-selection are fulfilled, the UE shall perform cell re-selection as specified in 5.3.3.5.

### 5.3.3.4 Reception of the *RRCCConnectionSetup* by the UE

NOTE: Prior to this, lower layer signalling is used to allocate a C-RNTI. For further details see TS 36.321 [6];

The UE shall:

- 1> perform the radio resource configuration procedure in accordance with the received *radioResourceConfigDedicated* and as specified in 5.3.10;
- 1> if stored, discard the cell reselection priority information provided by the *idleModeMobilityControlInfo* or inherited from another RAT;
- 1> stop timer T300;
- 1> stop timer T302, if running;
- 1> stop timer T303, if running;
- 1> stop timer T305, if running;
- 1> perform the actions as specified in 5.3.3.7;
- 1> stop timer T320, if running;
- 1> enter RRC\_CONNECTED;
- 1> stop the cell re-selection procedure;
- 1> set the content of *RRCCConnectionSetupComplete* message as follows:

- 2> set the *selectedPLMN-Identity* to the PLMN selected by upper layers (see TS 23.122 [11], TS 24.301 [35]) from the PLMN(s) included in the *plmn-IdentityList* in *SystemInformationBlockType1*;
- 2> if upper layers provide the 'Registered MME', include and set the *registeredMME* as follows:
  - 3> if the PLMN identity of the 'Registered MME' is different from the PLMN selected by the upper layers:
    - 4> include the *plmnIdentity* in the *registeredMME* and set it to the value of the PLMN identity in the 'Registered MME' received from upper layers;
  - 3> set the *mmegi* and the *mmec* to the value received from upper layers;
- 2> set the *dedicatedInfoNAS* to include the information received from upper layers;
- 2> submit the *RRCConnectionSetupComplete* message to lower layers for transmission, upon which the procedure ends;

### 5.3.3.5 Cell re-selection while T300, T302, T303 or T305 is running

The UE shall:

- 1> if cell reselection occurs while T300, T302, T303 or T305 is running:
  - 2> if timer T302, T303 and/ or T305 is running:
    - 3> stop timer T302, T303 and T305, whichever ones were running;
    - 3> perform the actions as specified in 5.3.3.7;
  - 2> if timer T300 is running:
    - 3> stop timer T300;
    - 3> reset MAC, release the MAC configuration and re-establish RLC for all RBs that are established;
    - 3> inform upper layers about the failure to establish the RRC connection, upon which the procedure ends;

### 5.3.3.6 T300 expiry

The UE shall:

- 1> if timer T300 expires:
  - 2> reset MAC, release the MAC configuration and re-establish RLC for all RBs that are established;
  - 2> inform upper layers about the failure to establish the RRC connection, upon which the procedure ends;

### 5.3.3.7 T302, T303 or T305 expiry or stop

The UE shall:

- 1> if timer T302 expires or is stopped:
  - 2> inform upper layers about barring alleviation for mobile terminating access;
- 2> if timer T303 is not running:
  - 3> inform upper layers about barring alleviation for mobile originating calls;
- 2> if timer T305 is not running:
  - 3> inform upper layers about barring alleviation for mobile originating signalling;
- 1> if timer T303 expires or is stopped:
  - 2> if timer T302 is not running:

- 3> inform upper layers about barring alleviation for mobile originating calls;
- 1> if timer T305 expires or is stopped:
  - 2> if timer T302 is not running:
    - 3> inform upper layers about barring alleviation for mobile originating signalling;

### 5.3.3.8 Reception of the *RRCConnectionReject* by the UE

The UE shall:

- 1> stop timer T300;
- 1> reset MAC and release the MAC configuration;
- 1> start timer T302, with the timer value set to the *waitTime*;
- 1> inform upper layers about the failure to establish the RRC connection and that access barring for mobile originating calls, mobile originating signalling and mobile terminating access is applicable, upon which the procedure ends;

### 5.3.3.9 Abortion of RRC connection establishment

If upper layers abort the RRC connection establishment procedure while the UE has not yet entered RRC\_CONNECTED, the UE shall:

- 1> stop timer T300, if running;
- 1> reset MAC, release the MAC configuration and re-establish RLC for all RBs that are established;

### 5.3.3.10 Handling of SSAC related parameters

Upon request from the upper layers, the UE shall:

- 1> set the local variables *BarringFactorForMMTEL-Voice* and *BarringTimeForMMTEL-Voice* as follows:
  - 2> if the UE is in RRC\_IDLE and *ssac-BarringForMMTEL-Voice* is present:
    - 3> if the UE has one or more Access Classes, as stored on the USIM, with a value in the range 11..15, which is valid for the UE to use according to TS 22.011 [10] and TS 23.122 [11], and

NOTE: ACs 12, 13, 14 are only valid for use in the home country and ACs 11, 15 are only valid for use in the HPLMN/ EHPLMN.

- 3> if, for at least one of these Access Classes, the corresponding bit in the *ac-BarringForSpecialAC* contained in *ssac-BarringForMMTEL-Voice* is set to zero:
  - 4> set *BarringFactorForMMTEL-Voice* to one and *BarringTimeForMMTEL-Voice* to zero;
- 3> else:
  - 4> set *BarringFactorForMMTEL-Voice* and *BarringTimeForMMTEL-Voice* to the value of *ac-BarringFactor* and *ac-BarringTime* included in *ssac-BarringForMMTEL-Voice*, respectively;
- 2> else set *BarringFactorForMMTEL-Voice* to one and *BarringTimeForMMTEL-Voice* to zero;
- 1> set the local variables *BarringFactorForMMTEL-Video* and *BarringTimeForMMTEL-Video* as follows:
  - 2> if the UE is in RRC\_IDLE and *ssac-BarringForMMTEL-Video* is present:
    - 3> if the UE has one or more Access Classes, as stored on the USIM, with a value in the range 11..15, which is valid for the UE to use according to TS 22.011 [10] and TS 23.122 [11], and
    - 3> if, for at least one of these Access Classes, the corresponding bit in the *ac-BarringForSpecialAC* contained in *ssac-BarringForMMTEL-Video* is set to zero:

- 4> set *BarringFactorForMMTEL-Video* to one and *BarringTimeForMMTEL-Video* to zero;
- 3> else:
- 4> set *BarringFactorForMMTEL-Video* and *BarringTimeForMMTEL-Video* to the value of *ac-BarringFactor* and *ac-BarringTime* included in *ssac-BarringForMMTEL-Video*, respectively;
- 2> else set *BarringFactorForMMTEL-Video* to one and *BarringTimeForMMTEL-Video* to zero;
- 1> forward the variables *BarringFactorForMMTEL-Voice*, *BarringTimeForMMTEL-Voice*, *BarringFactorForMMTEL-Video* and *BarringTimeForMMTEL-Video* to the upper layers;

## 5.3.4 Initial security activation

### 5.3.4.1 General

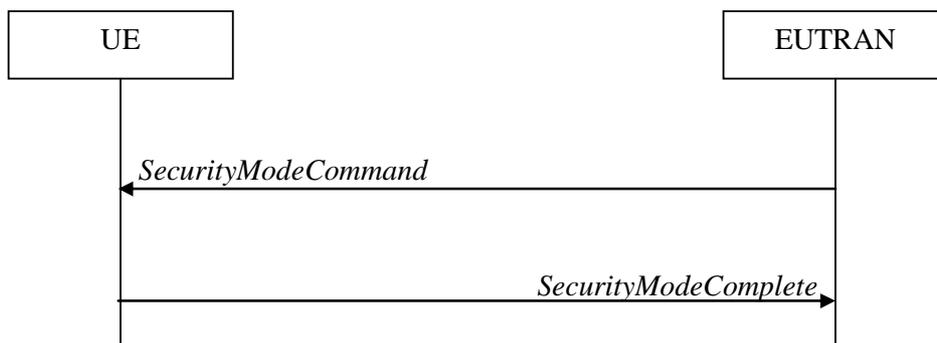


Figure 5.3.4.1-1: Security mode command, successful

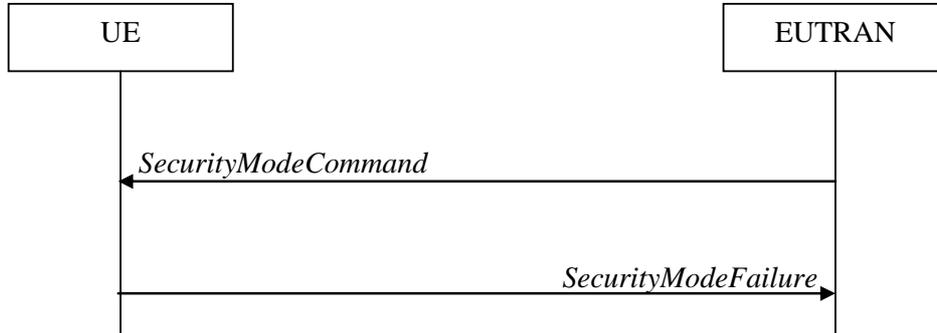


Figure 5.3.4.1-2: Security mode command, failure

The purpose of this procedure is to activate AS security upon RRC connection establishment.

### 5.3.4.2 Initiation

E-UTRAN initiates the security mode command procedure to a UE in RRC\_CONNECTED. Moreover, E-UTRAN applies the procedure as follows:

- when only SRB1 is established, i.e. prior to establishment of SRB2 and/ or DRBs.

### 5.3.4.3 Reception of the *SecurityModeCommand* by the UE

The UE shall:

- 1> derive the  $K_{eNB}$  key, as specified in TS 33.401 [32];
- 1> derive the  $K_{RRCint}$  key associated with the *integrityProtAlgorithm* indicated in the *SecurityModeCommand* message, as specified in TS 33.401 [32];

- 1> request lower layers to verify the integrity protection of the *SecurityModeCommand* message, using the algorithm indicated by the *integrityProtAlgorithm* as included in the *SecurityModeCommand* message and the  $K_{RRCint}$  key;
- 1> if the *SecurityModeCommand* message passes the integrity protection check:
  - 2> derive the  $K_{RRCenc}$  key and the  $K_{UPenc}$  key associated with the *cipheringAlgorithm* indicated in the *SecurityModeCommand* message, as specified in TS 33.401 [32];
  - 2> configure lower layers to apply integrity protection using the indicated algorithm and the  $K_{RRCint}$  key immediately, i.e. integrity protection shall be applied to all subsequent messages received and sent by the UE, including the *SecurityModeComplete* message;
  - 2> configure lower layers to apply ciphering using the indicated algorithm, the  $K_{RRCenc}$  key and the  $K_{UPenc}$  key after completing the procedure, i.e. ciphering shall be applied to all subsequent messages received and sent by the UE, except for the *SecurityModeComplete* message which is sent unciphered;
  - 2> consider AS security to be activated;
  - 2> submit the *SecurityModeComplete* message to lower layers for transmission, upon which the procedure ends;
- 1> else:
  - 2> continue using the configuration used prior to the reception of the *SecurityModeCommand* message, i.e. neither apply integrity protection nor ciphering.
  - 2> submit the *SecurityModeFailure* message to lower layers for transmission, upon which the procedure ends;

### 5.3.5 RRC connection reconfiguration

#### 5.3.5.1 General

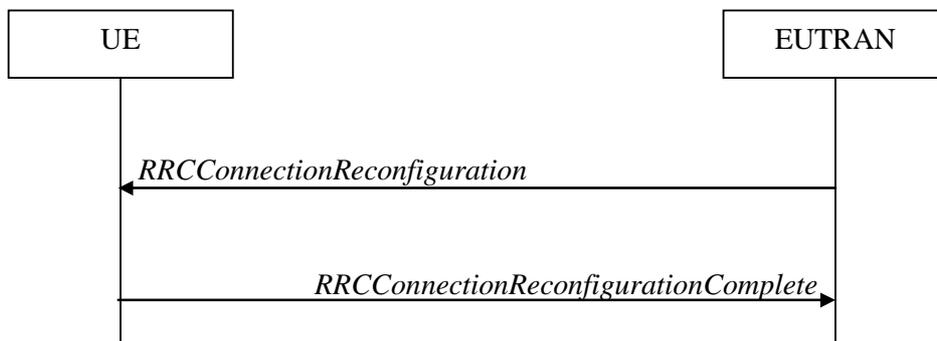


Figure 5.3.5.1-1: RRC connection reconfiguration, successful

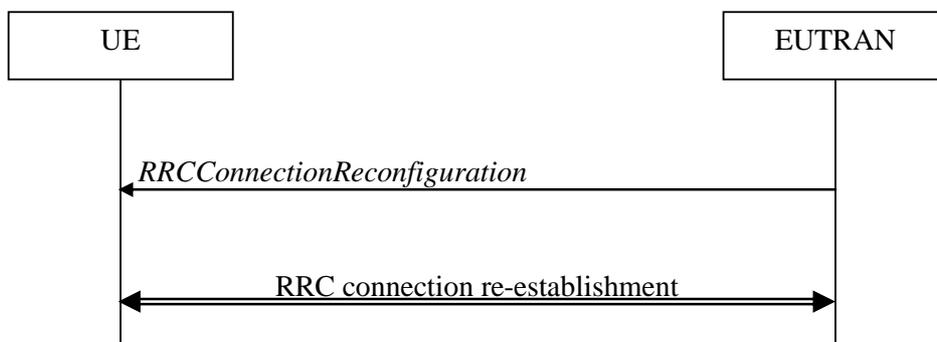


Figure 5.3.5.1-2: RRC connection reconfiguration, failure

The purpose of this procedure is to modify an RRC connection, e.g. to establish/ modify/ release RBs, to perform handover, to setup/ modify/ release measurements. As part of the procedure, NAS dedicated information may be transferred from E-UTRAN to the UE.

### 5.3.5.2 Initiation

E-UTRAN may initiate the RRC connection reconfiguration procedure to a UE in RRC\_CONNECTED. E-UTRAN applies the procedure as follows:

- the *mobilityControlInfo* is included only when AS-security has been activated, and SRB2 with at least one DRB are setup and not suspended;
- the establishment of RBs (other than SRB1, that is established during RRC connection establishment) is included only when AS security has been activated;

### 5.3.5.3 Reception of an *RRCCConnectionReconfiguration* not including the *mobilityControlInfo* by the UE

If the *RRCCConnectionReconfiguration* message does not include the *mobilityControlInfo* and the UE is able to comply with the configuration included in this message, the UE shall:

- 1> if this is the first *RRCCConnectionReconfiguration* message after successful completion of the RRC Connection Re-establishment procedure:
  - 2> re-establish PDCP for SRB2 and for all DRBs that are established, if any;
  - 2> re-establish RLC for SRB2 and for all DRBs that are established, if any;
- 2> if the *RRCCConnectionReconfiguration* message includes the *fullConfig*:
  - 3> perform the radio configuration procedure as specified in section 5.3.5.8;
- 2> if the *RRCCConnectionReconfiguration* message includes the *radioResourceConfigDedicated*:
  - 3> perform the radio resource configuration procedure as specified in 5.3.10;
- 2> resume SRB2 and all DRBs that are suspended, if any;

NOTE 1: The handling of the radio bearers after the successful completion of the PDCP re-establishment, e.g. the re-transmission of unacknowledged PDCP SDUs (as well as the associated status reporting), the handling of the SN and the HFN, is specified in TS 36.323 [8].

1> else:

- 2> if the *RRCCConnectionReconfiguration* message includes the *radioResourceConfigDedicated*:
  - 3> perform the radio resource configuration procedure as specified in 5.3.10;

NOTE 2: If the *RRCCConnectionReconfiguration* message includes the establishment of radio bearers other than SRB1, the UE may start using these radio bearers immediately, i.e. there is no need to wait for an outstanding acknowledgment of the *SecurityModeComplete* message.

- 1> if the *RRCCConnectionReconfiguration* message includes the *dedicatedInfoNASList*:
  - 2> forward each element of the *dedicatedInfoNASList* to upper layers in the same order as listed;

1> if the *RRCCConnectionReconfiguration* message includes the *measConfig*:

- 2> perform the measurement configuration procedure as specified in 5.5.2;

1> if the *RRCCConnectionReconfiguration* message includes the *reportProximityConfig*:

- 2> perform the proximity indication in accordance with the received *reportProximityConfig*;

1> submit the *RRCCConnectionReconfigurationComplete* message to lower layers for transmission using the new configuration, upon which the procedure ends;

#### 5.3.5.4 Reception of an *RRConnectionReconfiguration* including the *mobilityControlInfo* by the UE (handover)

If the *RRConnectionReconfiguration* message includes the *mobilityControlInfo* and the UE is able to comply with the configuration included in this message, the UE shall:

- 1> stop timer T310, if running;
- 1> start timer T304 with the timer value set to *t304*, as included in the *mobilityControlInfo*;
- 1> if the *carrierFreq* is included:
  - 2> consider the target cell to be one on the frequency indicated by the *carrierFreq* with a physical cell identity indicated by the *targetPhysCellId*;
- 1> else:
  - 2> consider the target cell to be one on the current frequency with a physical cell identity indicated by the *targetPhysCellId*;
- 1> start synchronising to the DL of the target cell;

NOTE 1: The UE should perform the handover as soon as possible following the reception of the RRC message triggering the handover, which could be before confirming successful reception (HARQ and ARQ) of this message.

- 1> reset MAC;
- 1> re-establish PDCP for all RBs that are established;

NOTE 2: The handling of the radio bearers after the successful completion of the PDCP re-establishment, e.g. the re-transmission of unacknowledged PDCP SDUs (as well as the associated status reporting), the handling of the SN and the HFN, is specified in TS 36.323 [8].

- 1> re-establish RLC for all RBs that are established;
- 1> apply the value of the *newUE-Identity* as the C-RNTI;
- 1> if the *RRConnectionReconfiguration* message includes the *fullConfig*:
  - 2> perform the radio configuration procedure as specified in section 5.3.5.8;
- 1> configure lower layers in accordance with the received *radioResourceConfigCommon*;
- 1> configure lower layers in accordance with any additional fields, not covered in the previous, if included in the received *mobilityControlInfo*;
- 1> if the *RRConnectionReconfiguration* message includes the *radioResourceConfigDedicated*:
  - 2> perform the radio resource configuration procedure as specified in 5.3.10;
- 1> if the *keyChangeIndicator* received in the *securityConfigHO* is set to *TRUE*:
  - 2> update the  $K_{eNB}$  key based on the fresh  $K_{ASME}$  key taken into use with the previous successful NAS SMC procedure, as specified in TS 33.401 [32];
- 1> else:
  - 2> update the  $K_{eNB}$  key based on the current  $K_{eNB}$  or the NH, using the *nextHopChainingCount* value indicated in the *securityConfigHO*, as specified in TS 33.401 [32];
- 1> store the *nextHopChainingCount* value;
- 1> if the *securityAlgorithmConfig* is included in the *securityConfigHO*:
  - 2> derive the  $K_{RRcInt}$  key associated with the *integrityProtAlgorithm*, as specified in TS 33.401 [32];

- 2> derive the  $K_{RRCEnc}$  key and the  $K_{UPenc}$  key associated with the *cipheringAlgorithm*, as specified in TS 33.401 [32];
- 1> else:
  - 2> derive the  $K_{RRCint}$  key associated with the current integrity algorithm, as specified in TS 33.401 [32];
  - 2> derive the  $K_{RRCEnc}$  key and the  $K_{UPenc}$  key associated with the current ciphering algorithm, as specified in TS 33.401 [32];
- 1> configure lower layers to apply the integrity protection algorithm and the  $K_{RRCint}$  key, i.e. the integrity protection configuration shall be applied to all subsequent messages received and sent by the UE, including the message used to indicate the successful completion of the procedure;
- 1> configure lower layers to apply the ciphering algorithm, the  $K_{RRCEnc}$  key and the  $K_{UPenc}$  key, i.e. the ciphering configuration shall be applied to all subsequent messages received and sent by the UE, including the message used to indicate the successful completion of the procedure;
- 1> perform the measurement related actions as specified in 5.5.6.1;
- 1> if the *RRCCONNECTIONRECONFIGURATION* message includes the *measConfig*:
  - 2> perform the measurement configuration procedure as specified in 5.5.2;
- 1> release *reportProximityConfig* and clear any associated proximity status reporting timer;
- 1> if the *RRCCONNECTIONRECONFIGURATION* message includes the *reportProximityConfig*:
  - 2> perform the proximity indication in accordance with the received *reportProximityConfig*;
- 1> submit the *RRCCONNECTIONRECONFIGURATIONCOMPLETE* message to lower layers for transmission;
- 1> if MAC successfully completes the random access procedure:
  - 2> stop timer T304;
  - 2> apply the parts of the CQI reporting configuration, the scheduling request configuration and the sounding RS configuration that do not require the UE to know the SFN of the target cell, if any;
  - 2> apply the parts of the measurement and the radio resource configuration that require the UE to know the SFN of the target cell (e.g. measurement gaps, periodic CQI reporting, scheduling request configuration, sounding RS configuration), if any, upon acquiring the SFN of the target cell;

NOTE 3: Whenever the UE shall setup or reconfigure a configuration in accordance with a field that is received it applies the new configuration, except for the cases addressed by the above statements.

- 2> the procedure ends;

NOTE 4: The UE is not required to determine the SFN of the target cell by acquiring system information from that cell before performing RACH access in the target cell.

### 5.3.5.5 Reconfiguration failure

The UE shall:

- 1> if the UE is unable to comply with (part of) the configuration included in the *RRCCONNECTIONRECONFIGURATION* message:
  - 2> continue using the configuration used prior to the reception of *RRCCONNECTIONRECONFIGURATION* message;
- 2> if security has not been activated:
  - 3> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'other';
- 2> else:

- 3> initiate the connection re-establishment procedure as specified in 5.3.7, upon which the connection reconfiguration procedure ends;

NOTE 1: The UE may apply above failure handling also in case the *RRCConnectionReconfiguration* message causes a protocol error for which the generic error handling as defined in 5.7 specifies that the UE shall ignore the message.

NOTE 2: If the UE is unable to comply with part of the configuration, it does not apply any part of the configuration, i.e. there is no partial success/ failure.

### 5.3.5.6 T304 expiry (handover failure)

The UE shall:

- 1> if T304 expires (handover failure):

NOTE: Following T304 expiry any dedicated preamble, if provided within the *rach-ConfigDedicated*, is not available for use by the UE anymore.

- 2> revert back to the configuration used in the source cell, excluding the configuration configured by the *physicalConfigDedicated*, the *mac-MainConfig* and the *sps-Config*;
- 2> initiate the connection re-establishment procedure as specified in 5.3.7, upon which the RRC connection reconfiguration procedure ends;

### 5.3.5.7 Void

### 5.3.5.8 Radio Configuration involving full configuration option

The UE shall:

- 1> release/ clear all current dedicated radio configurations except the C-RNTI, the security configuration and the PDCP, RLC and logical channel configurations for the RBs;

NOTE 1: Radio configuration is not just the resource configuration but includes other configurations like *MeasConfig* and *OtherConfig*.

- 1> if the *RRCConnectionReconfiguration* message includes the *mobilityControlInfo*:

- 2> release/ clear all current common radio configurations;
- 2> use the default values specified in 9.2.5 for timer T310, T311 and constant N310, N311;

- 1> else:

- 2> use values for timers T301, T310, T311 and constants N310, N311, as included in *ue-TimersAndConstants* received in *SystemInformationBlockType2*;

- 1> apply the default physical channel configuration as specified in 9.2.4;

- 1> apply the default semi-persistent scheduling configuration as specified in 9.2.3;

- 1> apply the default MAC main configuration as specified in 9.2.2;

- 1> for each *srb-Identity* value included in the *srb-ToAddModList* (SRB reconfiguration):

- 2> apply the specified configuration defined in 9.1.2 for the corresponding SRB;
- 2> apply the corresponding default RLC configuration for the SRB specified in 9.2.1.1 for SRB1 or in 9.2.1.2 for SRB2;
- 2> apply the corresponding default logical channel configuration for the SRB as specified in 9.2.1.1 for SRB1 or in 9.2.1.2 for SRB2;

NOTE 2: This is to get the SRBs (SRB1 and SRB2 for handover and SRB2 for reconfiguration after reestablishment) to a known state from which the reconfiguration message can do further configuration.

1> for each *eps-BearerIdentity* value included in the *drb-ToAddModList* that is part of the current UE configuration:

- 2> release the PDCP entity;
- 2> release the RLC entity or entities;
- 2> release the DTCH logical channel;
- 2> release the *drb-identity*;

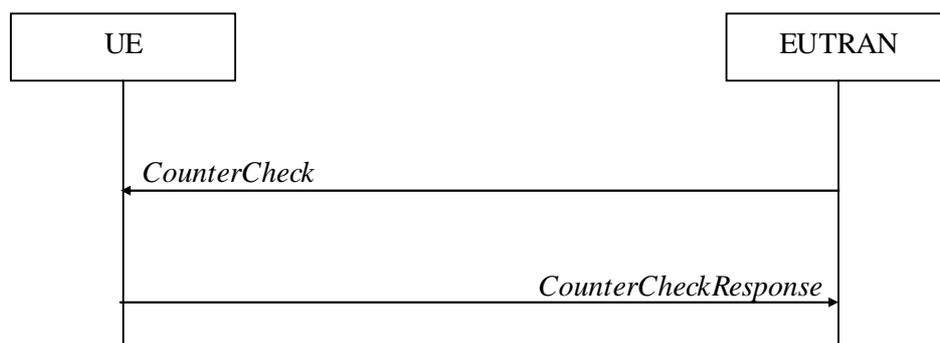
NOTE 3: This will retain the *eps-bearerIdentity* but remove the DRBs including *drb-identity* of these bearers from the current UE configuration and trigger the setup of the DRBs within the AS in Section 5.3.10.3 using the new configuration. The *eps-bearerIdentity* acts as the anchor for associating the released and re-setup DRB.

1> for each *eps-BearerIdentity* value that is part of the current UE configuration but not part of the *drb-ToAddModList*:

- 2> perform DRB release as specified in 5.3.10.2;

## 5.3.6 Counter check

### 5.3.6.1 General



**Figure 5.3.6.1-1: Counter check procedure**

The counter check procedure is used by E-UTRAN to request the UE to verify the amount of data sent/ received on each DRB. More specifically, the UE is requested to check if, for each DRB, the most significant bits of the COUNT match with the values indicated by E-UTRAN.

NOTE: The procedure enables E-UTRAN to detect packet insertion by an intruder (a 'man in the middle').

### 5.3.6.2 Initiation

E-UTRAN initiates the procedure by sending a *CounterCheck* message.

NOTE: E-UTRAN may initiate the procedure when any of the COUNT values reaches a specific value.

### 5.3.6.3 Reception of the *CounterCheck* message by the UE

Upon receiving the *CounterCheck* message, the UE shall:

- 1> for each DRB that is established:
  - 2> if no COUNT exists for a given direction (uplink or downlink) because it is a uni-directional bearer configured only for the other direction:
  - 3> assume the COUNT value to be '0' for the unused direction;

- 2> if the *drb-Identity* is not included in the *drb-CountMSB-InfoList*:
  - 3> include the DRB in the *drb-CountInfoList* in the *CounterCheckResponse* message by including the *drb-Identity*, the *count-Uplink* and the *count-Downlink* set to the value of the corresponding COUNT;
- 2> else if, for at least one direction, the most significant bits of the COUNT are different from the value indicated in the *drb-CountMSB-InfoList*:
  - 3> include the DRB in the *drb-CountInfoList* in the *CounterCheckResponse* message by including the *drb-Identity*, the *count-Uplink* and the *count-Downlink* set to the value of the corresponding COUNT;
- 1> for each DRB that is included in the *drb-CountMSB-InfoList* in the *CounterCheck* message that is not established:
  - 2> include the DRB in the *drb-CountInfoList* in the *CounterCheckResponse* message by including the *drb-Identity*, the *count-Uplink* and the *count-Downlink* with the most significant bits set identical to the corresponding values in the *drb-CountMSB-InfoList* and the least significant bits set to zero;
- 1> submit the *CounterCheckResponse* message to lower layers for transmission upon which the procedure ends;

## 5.3.7 RRC connection re-establishment

### 5.3.7.1 General

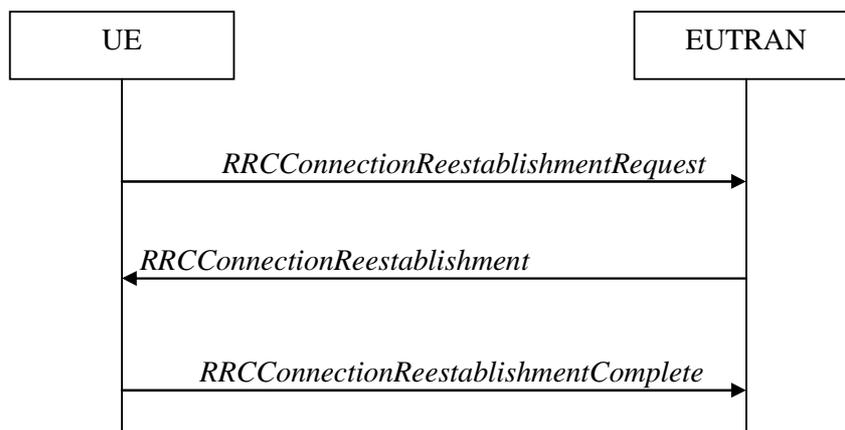


Figure 5.3.7.1-1: RRC connection re-establishment, successful

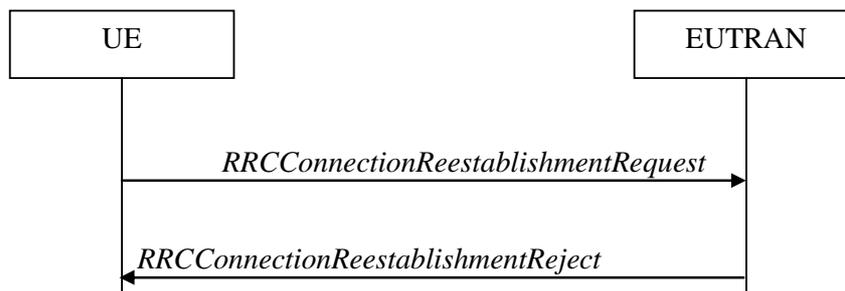


Figure 5.3.7.1-2: RRC connection re-establishment, failure

The purpose of this procedure is to re-establish the RRC connection, which involves the resumption of SRB1 operation and the re-activation of security.

A UE in RRC\_CONNECTED, for which security has been activated, may initiate the procedure in order to continue the RRC connection. The connection re-establishment succeeds only if the concerned cell is prepared i.e. has a valid UE context. In case E-UTRAN accepts the re-establishment, SRB1 operation resumes while the operation of other radio bearers remains suspended. If AS security has not been activated, the UE does not initiate the procedure but instead moves to RRC\_IDLE directly.

E-UTRAN applies the procedure as follows:

- to reconfigure SRB1 and to resume data transfer only for this RB;
- to re-activate AS security without changing algorithms.

### 5.3.7.2 Initiation

The UE shall only initiate the procedure when AS security has been activated. The UE initiates the procedure when one of the following conditions is met:

- 1> upon detecting radio link failure, in accordance with 5.3.11; or
- 1> upon handover failure, in accordance with 5.3.5.6; or
- 1> upon mobility from E-UTRA failure, in accordance with 5.4.3.5; or
- 1> upon integrity check failure indication from lower layers; or
- 1> upon an RRC connection reconfiguration failure, in accordance with 5.3.5.5;

Upon initiation of the procedure, the UE shall:

- 1> stop timer T310, if running;
- 1> start timer T311;
- 1> suspend all RBs except SRB0;
- 1> reset MAC;
- 1> apply the default physical channel configuration as specified in 9.2.4;
- 1> apply the default semi-persistent scheduling configuration as specified in 9.2.3;
- 1> apply the default MAC main configuration as specified in 9.2.2;
- 1> release *reportProximityConfig* and clear any associated proximity status reporting timer;
- 1> perform cell selection in accordance with the cell selection process as specified in TS 36.304 [4];

### 5.3.7.3 Actions following cell selection while T311 is running

Upon selecting a suitable E-UTRA cell, the UE shall:

- 1> stop timer T311;
- 1> start timer T301;
- 1> apply the *timeAlignmentTimerCommon* included in *SystemInformationBlockType2*;
- 1> initiate transmission of the *RRCCConnectionReestablishmentRequest* message in accordance with 5.3.7.4;

NOTE: This procedure applies also if the UE returns to the source cell.

Upon selecting an inter-RAT cell, the UE shall:

- 1> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'RRC connection failure';

### 5.3.7.4 Actions related to transmission of *RRCCConnectionReestablishmentRequest* message

The UE shall set the contents of *RRCCConnectionReestablishmentRequest* message as follows:

- 1> set the *ue-Identity* as follows:

- 2> set the *c-RNTI* to the C-RNTI used in the source cell (handover and mobility from E-UTRA failure) or used in the cell in which the trigger for the re-establishment occurred (other cases);
- 2> set the *physCellId* to the physical cell identity of the source cell (handover and mobility from E-UTRA failure) or of the cell in which the trigger for the re-establishment occurred (other cases);
- 2> set the *shortMAC-I* to the 16 least significant bits of the MAC-I calculated:
  - 3> over the ASN.1 encoded as per section 8 (i.e., a multiple of 8 bits) *VarShortMAC-Input*;
  - 3> with the  $K_{RRcInt}$  key and integrity protection algorithm that was used in the source cell (handover and mobility from E-UTRA failure) or of the cell in which the trigger for the re-establishment occurred (other cases); and
  - 3> with all input bits for COUNT, BEARER and DIRECTION set to binary ones;
- 1> set the *reestablishmentCause* as follows:
  - 2> if the re-establishment procedure was initiated due to reconfiguration failure as specified in 5.3.5.5 (the UE is unable to comply with the reconfiguration):
    - 3> set the *reestablishmentCause* to the value '*reconfigurationFailure*';
  - 2> else if the re-establishment procedure was initiated due to handover failure as specified in 5.3.5.6 (intra-LTE handover failure) or 5.4.3.5 (inter-RAT mobility from EUTRA failure):
    - 3> set the *reestablishmentCause* to the value '*handoverFailure*';
  - 2> else:
    - 3> set the *reestablishmentCause* to the value '*otherFailure*';

The UE shall submit the *RRCCConnectionReestablishmentRequest* message to lower layers for transmission.

### 5.3.7.5 Reception of the *RRCCConnectionReestablishment* by the UE

NOTE: Prior to this, lower layer signalling is used to allocate a C-RNTI. For further details see TS 36.321 [6];

The UE shall:

- 1> stop timer T301;
- 1> re-establish PDCP for SRB1;
- 1> re-establish RLC for SRB1;
- 1> perform the radio resource configuration procedure in accordance with the received *radioResourceConfigDedicated* and as specified in 5.3.10;
- 1> resume SRB1;
- 1> update the  $K_{eNB}$  key based on the  $K_{ASME}$  key to which the current  $K_{eNB}$  is associated, using the *nextHopChainingCount* value indicated in the *RRCCConnectionReestablishment* message, as specified in TS 33.401 [32];
- 1> store the *nextHopChainingCount* value;
- 1> derive the  $K_{RRcInt}$  key associated with the previously configured integrity algorithm, as specified in TS 33.401 [32];
- 1> derive the  $K_{RRcEnc}$  key and the  $K_{UPenc}$  key associated with the previously configured ciphering algorithm, as specified in TS 33.401 [32];
- 1> configure lower layers to activate integrity protection using the previously configured algorithm and the  $K_{RRcInt}$  key immediately, i.e., integrity protection shall be applied to all subsequent messages received and sent by the UE, including the message used to indicate the successful completion of the procedure;

- 1> configure lower layers to apply ciphering using the previously configured algorithm, the  $K_{RRCEnc}$  key and the  $K_{UPenc}$  key immediately, i.e., ciphering shall be applied to all subsequent messages received and sent by the UE, including the message used to indicate the successful completion of the procedure;
- 1> set the content of *RRCCONNECTIONREESTABLISHMENTCOMPLETE* message as follows:
  - 2> include the *rlf-InfoAvailable* and set it to *true*, if the UE has radio link failure information available that is related to the last occurrence of radio link failure;
- 1> perform the measurement related actions as specified in 5.5.6.1;
- 1> submit the *RRCCONNECTIONREESTABLISHMENTCOMPLETE* message to lower layers for transmission, upon which the procedure ends;

### 5.3.7.6 T311 expiry

Upon T311 expiry, the UE shall:

- 1> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'RRC connection failure';

### 5.3.7.7 T301 expiry or selected cell no longer suitable

The UE shall:

- 1> if timer T301 expires; or
- 1> if the selected cell becomes no longer suitable according to the cell selection criteria as specified in TS 36.304 [4]:
  - 2> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'RRC connection failure';

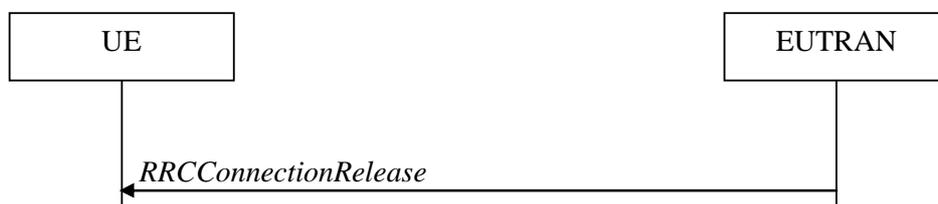
### 5.3.7.8 Reception of *RRCCONNECTIONREESTABLISHMENTREJECT* by the UE

Upon receiving the *RRCCONNECTIONREESTABLISHMENTREJECT* message, the UE shall:

- 1> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'RRC connection failure';

## 5.3.8 RRC connection release

### 5.3.8.1 General



**Figure 5.3.8.1-1: RRC connection release, successful**

The purpose of this procedure is to release the RRC connection, which includes the release of the established radio bearers as well as all radio resources.

### 5.3.8.2 Initiation

E-UTRAN initiates the RRC connection release procedure to a UE in RRC\_CONNECTED.

### 5.3.8.3 Reception of the *RRConnectionRelease* by the UE

The UE shall:

- 1> delay the following actions defined in this sub-clause 60 ms from the moment the *RRConnectionRelease* message was received or optionally when lower layers indicate that the receipt of the *RRConnectionRelease* message has been successfully acknowledged, whichever is earlier;
- 1> if the *RRConnectionRelease* message includes the *idleModeMobilityControlInfo*:
  - 2> store the cell reselection priority information provided by the *idleModeMobilityControlInfo*;
  - 2> if the *t320* is included:
    - 3> start timer T320, with the timer value set according to the value of *t320*;
- 1> else:
  - 2> apply the cell reselection priority information broadcast in the system information;
- 1> if the *releaseCause* received in the *RRConnectionRelease* message indicates '*loadBalancingTAURequired*':
  - 2> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'load balancing TAU required';
- 1> else:
  - 2> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'other';

### 5.3.8.4 T320 expiry

The UE shall:

- 1> if T320 expires:
  - 2> if stored, discard the cell reselection priority information provided by the *idleModeMobilityControlInfo* or inherited from another RAT;
  - 2> apply the cell reselection priority information broadcast in the system information;

## 5.3.9 RRC connection release requested by upper layers

### 5.3.9.1 General

The purpose of this procedure is to release the RRC connection. Access to the current cell may be barred as a result of this procedure.

NOTE: Upper layers invoke the procedure, e.g. upon determining that the network has failed an authentication check, see TS 24.301 [35].

### 5.3.9.2 Initiation

The UE initiates the procedure when upper layers request the release of the RRC connection.

The UE shall:

- 1> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'other';
- 1> if the upper layers indicate barring of the serving cell:
  - 2> treat the cell used prior to entering RRC\_IDLE as barred according to TS 36.304 [4];

## 5.3.10 Radio resource configuration

### 5.3.10.0 General

The UE shall:

- 1> if the received *radioResourceConfigDedicated* includes the *srb-ToAddModList*:
  - 2> perform the SRB addition or reconfiguration as specified in 5.3.10.1;
- 1> if the received *radioResourceConfigDedicated* includes the *drb-ToReleaseList*:
  - 2> perform DRB release as specified in 5.3.10.2;
- 1> if the received *radioResourceConfigDedicated* includes the *drb-ToAddModList*:
  - 2> perform DRB addition or reconfiguration as specified in 5.3.10.3;
- 1> if the received *radioResourceConfigDedicated* includes the *mac-MainConfig*:
  - 2> perform MAC main reconfiguration as specified in 5.3.10.4;
- 1> if the received *radioResourceConfigDedicated* includes *sps-Config*:
  - 2> perform SPS reconfiguration according to 5.3.10.5;
- 1> if the received *radioResourceConfigDedicated* includes the *physicalConfigDedicated*:
  - 2> reconfigure the physical channel configuration as specified in 5.3.10.6.
- 1> if the received *radioResourceConfigDedicated* includes the *rlf-TimersAndConstants*:
  - 2> reconfigure the values of timers and constants as specified in 5.3.10.7;

### 5.3.10.1 SRB addition/ modification

The UE shall:

- 1> for each *srb-Identity* value included in the *srb-ToAddModList* that is not part of the current UE configuration (SRB establishment):
  - 2> apply the specified configuration defined in 9.1.2 for the corresponding SRB;
  - 2> establish a PDCP entity and configure it with the current security configuration, if applicable;
  - 2> establish an RLC entity in accordance with the received *rlc-Config*;
  - 2> establish a DCCH logical channel in accordance with the received *logicalChannelConfig* and with the logical channel identity set in accordance with 9.1.2;
- 1> for each *srb-Identity* value included in the *srb-ToAddModList* that is part of the current UE configuration (SRB reconfiguration):
  - 2> reconfigure the RLC entity in accordance with the received *rlc-Config*;
  - 2> reconfigure the DCCH logical channel in accordance with the received *logicalChannelConfig*;

### 5.3.10.2 DRB release

The UE shall:

- 1> for each *drb-Identity* value included in the *drb-ToReleaseList* that is part of the current UE configuration (DRB release); or
- 1> for each *drb-identity* value that is to be released as the result of full configuration option according to 5.3.5.8:

- 2> release the PDCP entity;
- 2> release the RLC entity or entities;
- 2> release the DTCH logical channel;
- 1> if the procedure was triggered due to handover:
  - 2> indicate the release of the DRB(s) and the *eps-BearerIdentity* of the released DRB(s) to upper layers after successful handover;
- 1> else:
  - 2> indicate the release of the DRB(s) and the *eps-BearerIdentity* of the released DRB(s) to upper layers immediately.

NOTE: The UE does not consider the message as erroneous if the *drb-ToReleaseList* includes any *drb-Identity* value that is not part of the current UE configuration.

### 5.3.10.3 DRB addition/ modification

The UE shall:

- 1> for each *drb-Identity* value included in the *drb-ToAddModList* that is not part of the current UE configuration (DRB establishment including the case when full configuration option is used):
  - 2> establish a PDCP entity and configure it with the current security configuration and in accordance with the received *pdcp-Config*;
  - 2> establish an RLC entity or entities in accordance with the received *rlc-Config*;
  - 2> establish a DTCH logical channel in accordance with the received *logicalChannelIdentity* and the received *logicalChannelConfig*;
- 1> if the *RRCCConnectionReconfiguration* message includes the *fullConfig* IE:
  - 2> associate the established DRB with corresponding included *eps-BearerIdentity*;
- 1> else:
  - 2> indicate the establishment of the DRB(s) and the *eps-BearerIdentity* of the established DRB(s) to upper layers;
- 1> for each *drb-Identity* value included in the *drb-ToAddModList* that is part of the current UE configuration (DRB reconfiguration):
  - 2> if the *pdcp-Config* is included:
    - 3> reconfigure the PDCP entity in accordance with the received *pdcp-Config*;
  - 2> if the *rlc-Config* is included:
    - 3> reconfigure the RLC entity or entities in accordance with the received *rlc-Config*;
  - 2> if the *logicalChannelConfig* is included:
    - 3> reconfigure the DTCH logical channel in accordance with the received *logicalChannelConfig*;

NOTE: Removal and addition of the same *drb-Identity* in single *radioResourceConfiguration* is not supported.

### 5.3.10.4 MAC main reconfiguration

The UE shall:

- 1> reconfigure the MAC main configuration in accordance with the received *mac-MainConfig*;

### 5.3.10.5 Semi-persistent scheduling reconfiguration

The UE shall:

- 1> reconfigure the semi-persistent scheduling in accordance with the received *sps-Config*:

### 5.3.10.6 Physical channel reconfiguration

The UE shall:

- 1> reconfigure the physical channel configuration in accordance with the received *physicalConfigDedicated*;
- 1> if the *antennaInfo* is included and set to '*explicitValue*':
  - 2> if the configured *transmissionMode* is not '*tm3*' or '*tm4*' or '*tm8*':
    - 3> release *ri-ConfigIndex* in *cqi-ReportPeriodic*, if previously configured;
  - 2> if the configured *transmissionMode* is '*tm8*' and *pmi-RI-Report* is not present:
    - 3> release *ri-ConfigIndex* in *cqi-ReportPeriodic*, if previously configured;
- 1> else if the *antennaInfo* is included and set to '*defaultValue*':
  - 2> release *ri-ConfigIndex* in *cqi-ReportPeriodic*, if previously configured;

### 5.3.10.7 Radio Link Failure Timers and Constants reconfiguration

The UE shall:

- 1> if the received *rlf-TimersAndConstants* is set to 'release':
  - 2> use values for timers T301, T310, T311 and constants N310, N311, as included in *ue-TimersAndConstants* received in *SystemInformationBlockType2*;
- 1> else:
  - 2> reconfigure the value of timers and constants in accordance with received *rlf-TimersAndConstants*;

## 5.3.11 Radio link failure related actions

### 5.3.11.1 Detection of physical layer problems in RRC\_CONNECTED

The UE shall:

- 1> upon receiving N310 consecutive "out-of-sync" indications from lower layers while neither T300, T301, T304 nor T311 is running:
- 2> start timer T310;

### 5.3.11.2 Recovery of physical layer problems

Upon receiving N311 consecutive "in-sync" indications from lower layers while T310 is running, the UE shall:

- 1> stop timer T310;

NOTE 1: In this case, the UE maintains the RRC connection without explicit signalling, i.e. the UE maintains the entire radio resource configuration.

NOTE 2: Periods in time where neither "in-sync" nor "out-of-sync" is reported by layer 1 do not affect the evaluation of the number of consecutive "in-sync" or "out-of-sync" indications.

### 5.3.11.3 Detection of radio link failure

The UE shall:

- 1> upon T310 expiry; or
- 1> upon random access problem indication from MAC while neither T300, T301, T304 nor T311 is running; or
- 1> upon indication from RLC that the maximum number of retransmissions has been reached:
  - 2> consider radio link failure to be detected;
  - 2> if AS security has not been activated:
    - 3> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'other';
  - 2> else:
    - 3> initiate the connection re-establishment procedure as specified in 5.3.7;

### 5.3.12 UE actions upon leaving RRC\_CONNECTED

Upon leaving RRC\_CONNECTED, the UE shall:

- 1> reset MAC;
- 1> stop all timers that are running except T320;
- 1> release all radio resources, including release of the RLC entity, the MAC configuration and the associated PDCP entity for all established RBs;
- 1> indicate the release of the RRC connection to upper layers together with the release cause;
- 1> if leaving RRC\_CONNECTED was not triggered by reception of the *MobilityFromEUTRACommand* message:
  - 2> enter RRC\_IDLE and perform procedures as specified in TS 36.304 [4, 5.2.7];

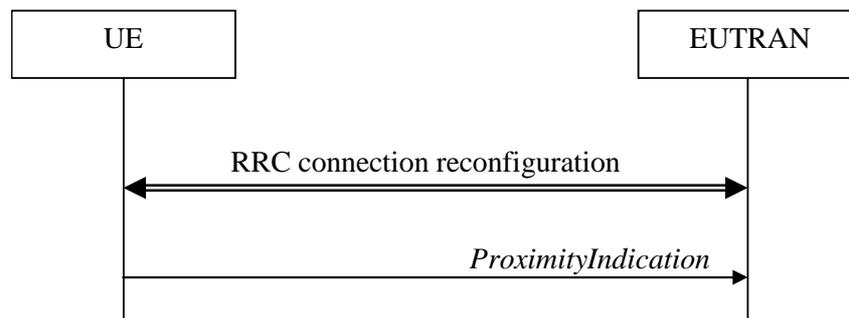
### 5.3.13 UE actions upon PUCCH/ SRS release request

Upon receiving a PUCCH/ SRS release request from lower layers, the UE shall:

- 1> apply the default physical channel configuration for *CQI-ReportConfig* and *cqi-Mask* if configured as specified in 9.2.4;
- 1> apply the default physical channel configuration for *soundingRS-UL-ConfigDedicated* as specified in 9.2.4;
- 1> apply the default physical channel configuration for *schedulingRequestConfig* as specified in 9.2.4;

## 5.3.14 Proximity indication

### 5.3.14.1 General



**Figure 5.3.14.1-1: Proximity indication**

The purpose of this procedure is to indicate that the UE is entering or leaving the proximity of one or more CSG member cells. The detection of proximity is based on an autonomous search function as defined in TS 36.304 [4].

### 5.3.14.2 Initiation

A UE in RRC\_CONNECTED shall:

- 1> if the UE enters the proximity of one or more CSG member cell(s) on an E-UTRA frequency while proximity indication is enabled for such E-UTRA cells; or
- 1> if the UE enters the proximity of one or more CSG member cell(s) on an UTRA frequency while proximity indication is enabled for such UTRA cells; or
- 1> if the UE leaves the proximity of all CSG member cell(s) on an E-UTRA frequency while proximity indication is enabled for such E-UTRA cells; or
- 1> if the UE leaves the proximity of all CSG member cell(s) on an UTRA frequency while proximity indication is enabled for such UTRA cells:
  - 2> if the UE has previously not transmitted a *ProximityIndication* for the RAT and frequency during the current RRC connection, or if more than 5 s has elapsed since the UE has last transmitted a *ProximityIndication* (either entering or leaving) for the RAT and frequency:
    - 3> initiate transmission of the *ProximityIndication* message in accordance with 5.3.14.3;

**NOTE:** In the conditions above, "if the UE enters the proximity of one or more CSG member cell(s)" includes the case of already being in the proximity of such cell(s) at the time proximity indication for the corresponding RAT is enabled.

### 5.3.14.3 Actions related to transmission of *ProximityIndication* message

The UE shall set the contents of *ProximityIndication* message as follows:

- 1> if the UE applies the procedure to report entering the proximity of CSG member cell(s):
  - 2> set *type* to 'entering';
- 1> else if the UE applies the procedure to report leaving the proximity of CSG member cell(s):
  - 2> set *type* to 'leaving';
- 1> if the proximity indication was triggered for one or more CSG member cell(s) on an E-UTRA frequency:
  - 2> set the *carrierFreq* to 'eutra' with the value set to the E-ARFCN value of the E-UTRA cell(s) for which proximity indication was triggered;

1> else if the proximity indication was triggered for one or more CSG member cell(s) on a UTRA frequency:

2> set the *carrierFreq* to 'utra' with the value set to the ARFCN value of the UTRA cell(s) for which proximity indication was triggered;

The UE shall submit the *ProximityIndication* message to lower layers for transmission.

## 5.4 Inter-RAT mobility

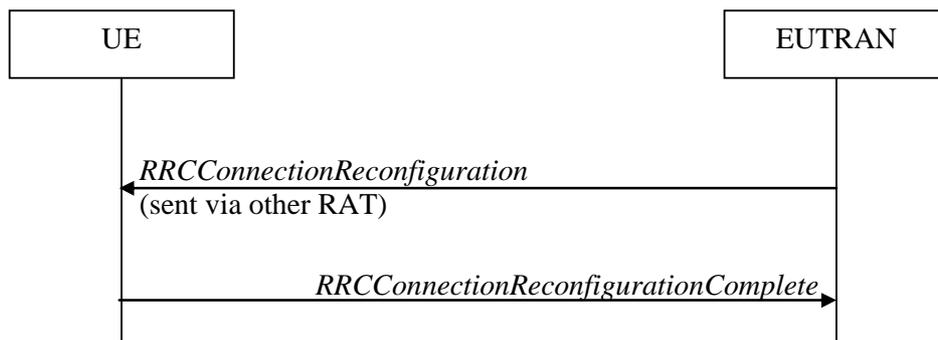
### 5.4.1 Introduction

The general principles of connected mode mobility are described in 5.3.1.3. The general principles of the security handling upon connected mode mobility are described in 5.3.1.2.

For the (network controlled) inter RAT mobility from E-UTRA for a UE in RRC\_CONNECTED, a single procedure is defined that supports both handover, cell change order with optional network assistance (NACC) and enhanced CS fallback to CDMA2000 1xRTT. In case of mobility to CDMA2000, the eNB decides when to move to the other RAT while the target RAT determines to which cell the UE shall move.

### 5.4.2 Handover to E-UTRA

#### 5.4.2.1 General



**Figure 5.4.2.1-1: Handover to E-UTRA, successful**

The purpose of this procedure is to, under the control of the network, transfer a connection between the UE and another Radio Access Network (e.g. GERAN or UTRAN) to E-UTRAN.

The handover to E-UTRA procedure applies when SRBs, possibly in combination with DRBs, are established in another RAT. Handover from UTRAN to E-UTRAN applies only after integrity has been activated in UTRAN.

#### 5.4.2.2 Initiation

The RAN using another RAT initiates the Handover to E-UTRA procedure, in accordance with the specifications applicable for the other RAT, by sending the *RRCConnectionReconfiguration* message via the radio access technology from which the inter-RAT handover is performed.

E-UTRAN applies the procedure as follows:

- to activate ciphering, possibly using NULL algorithm, if not yet activated in the other RAT;
- to establish SRB1, SRB2 and one or more DRBs, i.e. at least the DRB associated with the default EPS bearer is established;

#### 5.4.2.3 Reception of the *RRCConnectionReconfiguration* by the UE

If the UE is able to comply with the configuration included in the *RRCConnectionReconfiguration* message, the UE shall:

- 1> apply the default physical channel configuration as specified in 9.2.4;
- 1> apply the default semi-persistent scheduling configuration as specified in 9.2.3;
- 1> apply the default MAC main configuration as specified in 9.2.2;
- 1> start timer T304 with the timer value set to  $t_{304}$ , as included in the *mobilityControlInfo*;
- 1> consider the target cell to be one on the frequency indicated by the *carrierFreq* with a physical cell identity indicated by the *targetPhysCellId*;
- 1> start synchronising to the DL of the target cell;
- 1> set the C-RNTI to the value of the *newUE-Identity*;
- 1> for the target cell, apply the downlink bandwidth indicated by the *dl-Bandwidth*;
- 1> for the target cell, apply the uplink bandwidth indicated by (the absence or presence of) the *ul-Bandwidth*;
- 1> configure lower layers in accordance with the received *radioResourceConfigCommon*;
- 1> configure lower layers in accordance with any additional fields, not covered in the previous, if included in the received *mobilityControlInfo*;
- 1> perform the radio resource configuration procedure as specified in 5.3.10;
- 1> forward the *nas-SecurityParamToEUTRA* to the upper layers;
- 1> derive the  $K_{eNB}$  key, as specified in TS 33.401 [32];
- 1> derive the  $K_{RRCint}$  key associated with the *integrityProtAlgorithm*, as specified in TS 33.401 [32];
- 1> derive the  $K_{RRCenc}$  key and the  $K_{UPenc}$  key associated with the *cipheringAlgorithm*, as specified in TS 33.401 [32];
- 1> configure lower layers to apply the indicated integrity protection algorithm and the  $K_{RRCint}$  key immediately, i.e. the indicated integrity protection configuration shall be applied to all subsequent messages received and sent by the UE, including the message used to indicate the successful completion of the procedure;
- 1> configure lower layers to apply the indicated ciphering algorithm, the  $K_{RRCenc}$  key and the  $K_{UPenc}$  key immediately, i.e. the indicated ciphering configuration shall be applied to all subsequent messages received and sent by the UE, including the message used to indicate the successful completion of the procedure;
- 1> if the *RRCCConnectionReconfiguration* message includes the *measConfig*:
  - 2> perform the measurement configuration procedure as specified in 5.5.2;
- 1> if the *RRCCConnectionReconfiguration* message includes the *reportProximityConfig*:
  - 2> perform the proximity indication configuration in accordance with the received *reportProximityConfig*;
- 1> submit the *RRCCConnectionReconfigurationComplete* message to lower layers for transmission using the new configuration;
- 1> if the *RRCCConnectionReconfiguration* message does not include the *rlf-TimersAndConstants*:
  - 2> use the default values specified in 9.2.5 for timer T310, T311 and constant N310, N311;
- 1> if MAC successfully completes the random access procedure:
  - 2> stop timer T304;
  - 2> apply the parts of the CQI reporting configuration, the scheduling request configuration and the sounding RS configuration that do not require the UE to know the SFN of the target cell, if any;
  - 2> apply the parts of the measurement and the radio resource configuration that require the UE to know the SFN of the target cell (e.g. measurement gaps, periodic CQI reporting, scheduling request configuration, sounding RS configuration), if any, upon acquiring the SFN of the target cell;

NOTE 1: Whenever the UE shall setup or reconfigure a configuration in accordance with a field that is received it applies the new configuration, except for the cases addressed by the above statements.

2> enter E-UTRA RRC\_CONNECTED, upon which the procedure ends;

NOTE 2: The UE is not required to determine the SFN of the target cell by acquiring system information from that cell before performing RACH access in the target cell.

### 5.4.2.4 Reconfiguration failure

The UE shall:

1> if the UE is unable to comply with (part of) the configuration included in the *RRCConnectionReconfiguration* message:

2> perform the actions defined for this failure case as defined in the specifications applicable for the other RAT;

NOTE 1: The UE may apply above failure handling also in case the *RRCConnectionReconfiguration* message causes a protocol error for which the generic error handling as defined in 5.7 specifies that the UE shall ignore the message.

NOTE 2: If the UE is unable to comply with part of the configuration, it does not apply any part of the configuration, i.e. there is no partial success/ failure.

### 5.4.2.5 T304 expiry (handover to E-UTRA failure)

The UE shall:

1> upon T304 expiry (handover to E-UTRA failure):

2> reset MAC;

2> perform the actions defined for this failure case as defined in the specifications applicable for the other RAT;

## 5.4.3 Mobility from E-UTRA

### 5.4.3.1 General

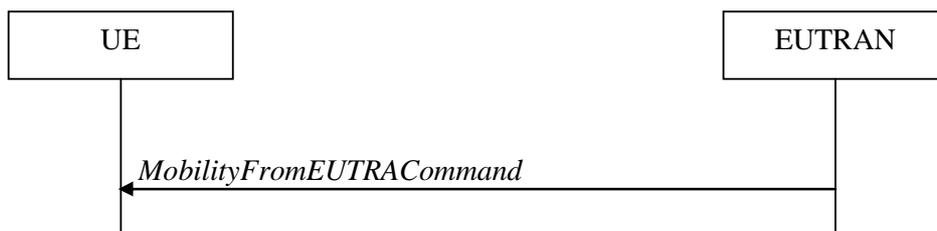


Figure 5.4.3.1-1: Mobility from E-UTRA, successful

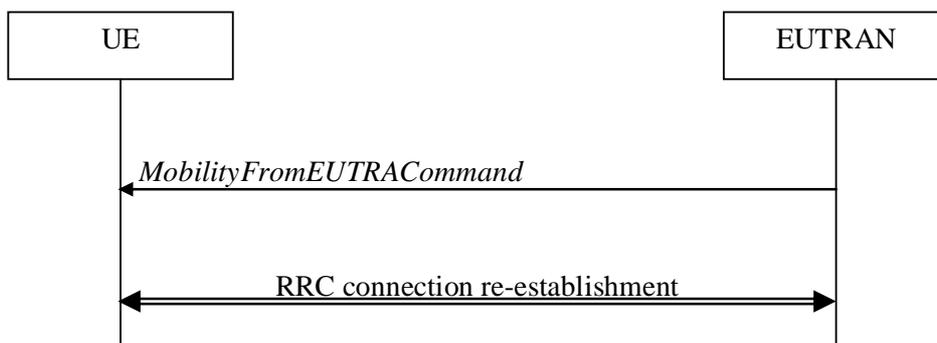


Figure 5.4.3.1-2: Mobility from E-UTRA, failure

The purpose of this procedure is to move a UE in RRC\_CONNECTED to a cell using another Radio Access Technology (RAT), e.g. GERAN, UTRA or CDMA2000 systems. The mobility from E-UTRA procedure covers the following type of mobility:

- handover, i.e. the *MobilityFromEUTRACommand* message includes radio resources that have been allocated for the UE in the target cell;
- cell change order, i.e. the *MobilityFromEUTRACommand* message may include information facilitating access of and/ or connection establishment in the target cell, e.g. system information. Cell change order is applicable only to GERAN; and
- enhanced CS fallback to CDMA2000 1xRTT, i.e. the *MobilityFromEUTRACommand* message includes radio resources that have been allocated for the UE in the target cell. The enhanced CS fallback to CDMA2000 1xRTT may be combined with concurrent handover or redirection to CDMA2000 HRPD.

#### 5.4.3.2 Initiation

E-UTRAN initiates the mobility from E-UTRA procedure to a UE in RRC\_CONNECTED, possibly in response to a *MeasurementReport* message or in response to reception of CS fallback indication for the UE from MME, by sending a *MobilityFromEUTRACommand* message. E-UTRAN applies the procedure as follows:

- the procedure is initiated only when AS-security has been activated, and SRB2 with at least one DRB are setup and not suspended;

#### 5.4.3.3 Reception of the *MobilityFromEUTRACommand* by the UE

The UE shall be able to receive a *MobilityFromEUTRACommand* message and perform a cell change order to GERAN, even if no prior UE measurements have been performed on the target cell.

The UE shall:

- 1> stop timer T310, if running;
- 1> if the *MobilityFromEUTRACommand* message includes the *purpose* set to 'handover':
  - 2> if the *targetRAT-Type* is set to 'utra' or 'geran':
    - 3> consider inter-RAT mobility as initiated towards the RAT indicated by the *targetRAT-Type* included in the *MobilityFromEUTRACommand* message;
    - 3> forward the *nas-SecurityParamFromEUTRA* to the upper layers;
    - 3> access the target cell indicated in the inter-RAT message in accordance with the specifications of the target RAT;
    - 3> if the *targetRAT-Type* is set to 'geran':
      - 4> use the contents of *systemInformation*, if provided for PS Handover, as the system information to begin access on the target GERAN cell;

NOTE 1: If there are DRBs for which no radio bearers are established in the target RAT as indicated in the *targetRAT-MessageContainer* in the message, the E-UTRA RRC part of the UE does not indicate the release of the concerned DRBs to the upper layers. Upper layers may derive which bearers are not established from information received from the AS of the target RAT.

NOTE 2: In case of SR-VCC, the DRB to be replaced is specified in [54].

- 2> else if the *targetRAT-Type* is set to 'cdma2000-1XRTT' or 'cdma2000-HRPD':
  - 3> forward the *targetRAT-Type* and the *targetRAT-MessageContainer* to the CDMA2000 upper layers for the UE to access the cell(s) indicated in the inter-RAT message in accordance with the specifications of the CDMA2000 target-RAT;
- 1> else if the *MobilityFromEUTRACommand* message includes the *purpose* set to 'cellChangeOrder':

- 2> start timer T304 with the timer value set to *t304*, as included in the *MobilityFromEUTRACommand* message;
- 2> if the *targetRAT-Type* is set to 'geran':
  - 3> if *networkControlOrder* is included in the *MobilityFromEUTRACommand* message:
    - 4> apply the value as specified in TS 44.060 [36];
  - 3> else:
    - 4> acquire *networkControlOrder* and apply the value as specified in TS 44.060 [36];
  - 3> use the contents of *systemInformation*, if provided, as the system information to begin access on the target GERAN cell;
- 2> establish the connection to the target cell indicated in the *CellChangeOrder*;

NOTE 3: The criteria for success or failure of the cell change order to GERAN are specified in TS 44.060[36].

- 1> if the *MobilityFromEUTRACommand* message includes the *purpose* set to 'e-CSFB':
  - 2> if *messageContCDMA2000-1XRTT* is present:
    - 3> forward the *messageContCDMA2000-1XRTT* to the CDMA2000 upper layers for the UE to access the cell(s) indicated in the inter-RAT message in accordance with the specification of the target RAT;
  - 2> if *mobilityCDMA2000-HRPD* is present and is set to 'handover':
    - 3> forward the *messageContCDMA2000-HRPD* to the CDMA2000 upper layers for the UE to access the cell(s) indicated in the inter-RAT message in accordance with the specification of the target RAT;
  - 2> if *mobilityCDMA2000-HRPD* is present and is set to 'redirection':
    - 3> forward the *redirectedCarrierInfoCDMA2000-HRPD* to the CDMA2000 upper layers;

NOTE 4: When the CDMA2000 upper layers in the UE receive both the *messageContCDMA2000-1XRTT* and *messageContCDMA2000-HRPD* the UE performs concurrent access to both CDMA2000 1xRTT and CDMA2000 HRPD RAT.

#### 5.4.3.4 Successful completion of the mobility from E-UTRA

Upon successfully completing the handover, the cell change order or enhanced 1xRTT CS fallback, the UE shall:

- 1> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'other';
- 1> stop timer T304, if running;

NOTE: If the UE performs enhanced 1xRTT CS fallback along with concurrent mobility to CDMA2000 HRPD and the connection to either CDMA2000 1xRTT or CDMA2000 HRPD succeeds, then the mobility from E-UTRA is considered successful.

#### 5.4.3.5 Mobility from E-UTRA failure

The UE shall:

- 1> if T304 expires (mobility from E-UTRA failure); or
- 1> if the UE does not succeed in establishing the connection to the target radio access technology; or
- 1> if the UE is unable to comply with (part of) the configuration included in the *MobilityFromEUTRACommand* message; or
- 1> if there is a protocol error in the inter RAT information included in the *MobilityFromEUTRACommand* message, causing the UE to fail the procedure according to the specifications applicable for the target RAT:
  - 2> stop T304, if running;

- 2> if the *cs-FallbackIndicator* in the *MobilityFromEUTRACommand* message was set to 'TRUE':
  - 3> indicate to upper layers that the CS Fallback procedure has failed;
- 2> revert back to the configuration used in the source cell, excluding the configuration configured by the *physicalConfigDedicated*, *mac-MainConfig* and *sps-Config*;
- 2> initiate the connection re-establishment procedure as specified in 5.3.7;

NOTE: For enhanced CS fallback to CDMA2000 1xRTT, the above UE behavior applies only when the UE is attempting the enhanced 1xRTT CS fallback and connection to the target radio access technology fails or if the UE is attempting enhanced 1xRTT CS fallback along with concurrent mobility to CDMA2000 HRPD and connection to both the target radio access technologies fails.

## 5.4.4 Handover from E-UTRA preparation request (CDMA2000)

### 5.4.4.1 General



Figure 5.4.4.1-1: Handover from E-UTRA preparation request

The purpose of this procedure is to trigger the UE to prepare for handover or enhanced 1xRTT CS fallback to CDMA2000 by requesting a connection with this network. The UE may use this procedure to concurrently prepare for handover to CDMA2000 HRPD along with preparation for enhanced CS fallback to CDMA2000 1xRTT. This procedure applies to CDMA2000 capable UEs only.

The handover from E-UTRA preparation request procedure applies when signalling radio bearers are established.

### 5.4.4.2 Initiation

E-UTRAN initiates the handover from E-UTRA preparation request procedure to a UE in RRC\_CONNECTED, possibly in response to a *MeasurementReport* message or CS fallback indication for the UE, by sending a *HandoverFromEUTRAPreparationRequest* message. E-UTRAN initiates the procedure only when AS security has been activated.

### 5.4.4.3 Reception of the *HandoverFromEUTRAPreparationRequest* by the UE

Upon reception of the *HandoverFromEUTRAPreparationRequest* message, the UE shall:

- 1> indicate the request to prepare handover or enhanced 1xRTT CS fallback and forward the *cdma2000-Type* to the CDMA2000 upper layers;
- 1> if *cdma2000-Type* is set to 'type1XRTT':
  - 2> forward the *rand* and the *mobilityParameters* to the CDMA2000 upper layers;
- 1> if *concurrPrepCDMA2000-HRPD* is present in the received message:
  - 2> forward *concurrPrepCDMA2000-HRPD* to the CDMA2000 upper layers;
- 1> else
  - 2> forward *concurrPrepCDMA2000-HRPD*, with its value set to *FALSE*, to the CDMA2000 upper layers;

## 5.4.5 UL handover preparation transfer (CDMA2000)

### 5.4.5.1 General



**Figure 5.4.5.1-1: UL handover preparation transfer**

The purpose of this procedure is to tunnel the handover related CDMA2000 dedicated information or enhanced 1xRTT CS fallback related CDMA2000 dedicated information from UE to E-UTRAN when requested by the higher layers. The procedure is triggered by the higher layers on receipt of *HandoverFromEUTRAPreparationRequest* message. If preparing for enhanced CS fallback to CDMA2000 1xRTT and handover to CDMA2000 HRPD, the UE sends two consecutive *ULHandoverPreparationTransfer* messages to E-UTRAN, one per addressed CDMA2000 RAT Type. This procedure applies to CDMA2000 capable UEs only.

### 5.4.5.2 Initiation

A UE in RRC\_CONNECTED initiates the UL Handover Preparation Transfer procedure whenever there is a need to transfer handover or enhanced 1xRTT CS fallback related non-3GPP dedicated information. The UE initiates the UL handover preparation transfer procedure by sending the *ULHandoverPreparationTransfer* message.

### 5.4.5.3 Actions related to transmission of the *ULHandoverPreparationTransfer* message

The UE shall set the contents of the *ULHandoverPreparationTransfer* message as follows:

- 1> include the *cdma2000-Type* and the *dedicatedInfo*;
- 1> if the *cdma2000-Type* is set to 'type1XRTT':
  - 2> include the *meid* and set it to the value received from the CDMA2000 upper layers;
- 1> submit the *ULHandoverPreparationTransfer* message to lower layers for transmission, upon which the procedure ends;

### 5.4.5.4 Failure to deliver the *ULHandoverPreparationTransfer* message

The UE shall:

- 1> if the UE is unable to guarantee successful delivery of *ULHandoverPreparationTransfer* messages:
  - 2> inform upper layers about the possible failure to deliver the information contained in the concerned *ULHandoverPreparationTransfer* message;

## 5.4.6 Inter-RAT cell change order to E-UTRAN

### 5.4.6.1 General

The purpose of the inter-RAT cell change order to E-UTRAN procedure is to transfer, under the control of the source radio access technology, a connection between the UE and another radio access technology (e.g. GSM/ GPRS) to E-UTRAN.

### 5.4.6.2 Initiation

The procedure is initiated when a radio access technology other than E-UTRAN, e.g. GSM/GPRS, using procedures specific for that RAT, orders the UE to change to an E-UTRAN cell. In response, upper layers request the establishment of an RRC connection as specified in subclause 5.3.3.

NOTE: Within the message used to order the UE to change to an E-UTRAN cell, the source RAT should specify the identity of the target E-UTRAN cell as specified in the specifications for that RAT.

The UE shall:

- 1> upon receiving an *RRCConnectionSetup* message;
- 2> consider the inter-RAT cell change order procedure to have completed successfully;

### 5.4.6.3 UE fails to complete an inter-RAT cell change order

If the inter-RAT cell change order fails the UE shall return to the other radio access technology and proceed as specified in the appropriate specifications for that RAT.

The UE shall:

- 1> upon failure to establish the RRC connection as specified in subclause 5.3.3;
- 2> consider the inter-RAT cell change order procedure to have failed;

NOTE: The cell change was network ordered. Therefore, failure to change to the target cell should not cause the UE to move to UE-controlled cell selection.

## 5.5 Measurements

### 5.5.1 Introduction

The UE reports measurement information in accordance with the measurement configuration as provided by E-UTRAN. E-UTRAN provides the measurement configuration applicable for a UE in RRC\_CONNECTED by means of dedicated signalling, i.e. using the *RRCConnectionReconfiguration* message.

The UE can be requested to perform the following types of measurements:

- Intra-frequency measurements: measurements at the downlink carrier frequency of the serving cell.
- Inter-frequency measurements: measurements at frequencies that differ from the downlink carrier frequency of the serving cell.
- Inter-RAT measurements of UTRA frequencies.
- Inter-RAT measurements of GERAN frequencies.
- Inter-RAT measurements of CDMA2000 HRPD or CDMA2000 1xRTT frequencies.

The measurement configuration includes the following parameters:

1. **Measurement objects:** The objects on which the UE shall perform the measurements.
  - For intra-frequency and inter-frequency measurements a measurement object is a single E-UTRA carrier frequency. Associated with this carrier frequency, E-UTRAN can configure a list of cell specific offsets and a list of 'blacklisted' cells. Blacklisted cells are not considered in event evaluation or measurement reporting.
  - For inter-RAT UTRA measurements a measurement object is a set of cells on a single UTRA carrier frequency.
  - For inter-RAT GERAN measurements a measurement object is a set of GERAN carrier frequencies.

- For inter-RAT CDMA2000 measurements a measurement object is a set of cells on a single (HRPD or 1xRTT) carrier frequency.

NOTE 1: Some measurements using the above mentioned measurement objects, only concern a single cell, e.g. measurements used to report neighbouring cell system information, serving cell UE Rx- Tx time difference.

2. **Reporting configurations:** A list of reporting configurations where each reporting configuration consists of the following:
  - Reporting criterion: The criterion that triggers the UE to send a measurement report. This can either be periodical or a single event description.
  - Reporting format: The quantities that the UE includes in the measurement report and associated information (e.g. number of cells to report).
3. **Measurement identities:** A list of measurement identities where each measurement identity links one measurement object with one reporting configuration. By configuring multiple measurement identities it is possible to link more than one measurement object to the same reporting configuration, as well as to link more than one reporting configuration to the same measurement object. The measurement identity is used as a reference number in the measurement report.
4. **Quantity configurations:** One quantity configuration is configured per RAT type. The quantity configuration defines the measurement quantities and associated filtering used for all event evaluation and related reporting of that measurement type. One filter can be configured per measurement quantity.
5. **Measurement gaps:** Periods that the UE may use to perform measurements, i.e. no (UL, DL) transmissions are scheduled.

E-UTRAN only configures a single measurement object for a given frequency, i.e. it is not possible to configure two or more measurement objects for the same frequency with different associated parameters, e.g. different offsets and/ or blacklists. E-UTRAN may configure multiple instances of the same event e.g. by configuring two reporting configurations with different thresholds.

The UE maintains a single measurement object list, a single reporting configuration list, and a single measurement identities list. The measurement object list includes measurement objects, that are specified per RAT type, possibly including an intra-frequency object (i.e. the object corresponding to the serving frequency), inter-frequency object(s) and inter-RAT objects. Similarly, the reporting configuration list includes E-UTRA and inter-RAT reporting configurations. Any measurement object can be linked to any reporting configuration of the same RAT type. Some reporting configurations may not be linked to a measurement object. Likewise, some measurement objects may not be linked to a reporting configuration.

The measurement procedures distinguish the following types of cells:

1. The serving cell.
2. Listed cells - these are cells listed within the measurement object(s).
3. Detected cells - these are cells that are not listed within the measurement object(s) but are detected by the UE on the carrier frequency(ies) indicated by the measurement object(s).

For E-UTRA, the UE measures and reports on the serving cell, listed cells and detected cells. For inter-RAT UTRA, the UE measures and reports on listed cells and optionally on cells that are within a range for which reporting is allowed by E-UTRAN. For inter-RAT GERAN, the UE measures and reports on detected cells. For inter-RAT CDMA2000, the UE measures and reports on listed cells.

NOTE 2: For inter-RAT UTRA and CDMA2000, the UE measures and reports also on detected cells for the purpose of SON.

NOTE 3: This specification is based on the assumption that typically CSG cells of home deployment type are not indicated within the neighbour list. Furthermore, the assumption is that for non-home deployments, the physical cell identity is unique within the area of a large macro cell (i.e. as for UTRAN).

Whenever the procedural specification, other than contained in sub-clause 5.5.2, refers to a field it concerns a field included in the *VarMeasConfig* unless explicitly stated otherwise i.e. only the measurement configuration procedure covers the direct UE action related to the received *measConfig*.

## 5.5.2 Measurement configuration

### 5.5.2.1 General

E-UTRAN applies the procedure as follows:

- to ensure that, whenever the UE has a *measConfig*, it includes a *measObject* for the serving frequency;
- to configure at most one measurement identity using a reporting configuration with the *purpose* set to '*reportCGI*';

The UE shall:

- 1> if the received *measConfig* includes the *measObjectToRemoveList*:
  - 2> perform the measurement object removal procedure as specified in 5.5.2.4;
- 1> if the received *measConfig* includes the *measObjectToAddModList*:
  - 2> perform the measurement object addition/ modification procedure as specified in 5.5.2.5;
- 1> if the received *measConfig* includes the *reportConfigToRemoveList*:
  - 2> perform the reporting configuration removal procedure as specified in 5.5.2.6;
- 1> if the received *measConfig* includes the *reportConfigToAddModList*:
  - 2> perform the reporting configuration addition/ modification procedure as specified in 5.5.2.7;
- 1> if the received *measConfig* includes the *quantityConfig*:
  - 2> perform the quantity configuration procedure as specified in 5.5.2.8;
- 1> if the received *measConfig* includes the *measIdToRemoveList*:
  - 2> perform the measurement identity removal procedure as specified in 5.5.2.2;
- 1> if the received *measConfig* includes the *measIdToAddModList*:
  - 2> perform the measurement identity addition/ modification procedure as specified in 5.5.2.3;
- 1> if the received *measConfig* includes the *measGapConfig*:
  - 2> perform the measurement gap configuration procedure as specified in 5.5.2.9;
- 1> if the received *measConfig* includes the *s-Measure*:
  - 2> set the parameter *s-Measure* within *VarMeasConfig* to the lowest value of the RSRP ranges indicated by the received value of *s-Measure*;
- 1> if the received *measConfig* includes the *preRegistrationInfoHRPD*:
  - 2> forward the *preRegistrationInfoHRPD* to CDMA2000 upper layers;
- 1> if the received *measConfig* includes the *speedStatePars*:
  - 2> set the parameter *speedStatePars* within *VarMeasConfig* to the received value of *speedStatePars*;

### 5.5.2.2 Measurement identity removal

The UE shall:

- 1> for each *measId* included in the received *measIdToRemoveList* that is part of the current UE configuration in *varMeasConfig*:
  - 2> remove the entry with the matching *measId* from the *measIdList* within the *VarMeasConfig*;
  - 2> remove the measurement reporting entry for this *measId* from the *VarMeasReportList*, if included;
  - 2> stop the periodical reporting timer or timer T321, whichever one is running, and reset the associated information (e.g. *timeToTrigger*) for this *measId*;

NOTE: The UE does not consider the message as erroneous if the *measIdToRemoveList* includes any *measId* value that is not part of the current UE configuration.

### 5.5.2.3 Measurement identity addition/ modification

E-UTRAN applies the procedure as follows:

- configure a *measId* only if the corresponding measurement object, the corresponding reporting configuration and the corresponding quantity configuration, are configured;

The UE shall:

- 1> for each *measId* included in the received *measIdToAddModList*:
  - 2> if an entry with the matching *measId* exists in the *measIdList* within the *VarMeasConfig*:
    - 3> replace the entry with the value received for this *measId*;
  - 2> else:
    - 3> add a new entry for this *measId* within the *VarMeasConfig* ;
  - 2> remove the measurement reporting entry for this *measId* from the *VarMeasReportList*, if included;
  - 2> stop the periodical reporting timer or timer T321, whichever one is running, and reset the associated information (e.g. *timeToTrigger*) for this *measId*;
  - 2> if the *triggerType* is set to 'periodical' and the *purpose* is set to 'reportCGI' in the *reportConfig* associated with this *measId*:
    - 3> if the *measObject* associated with this *measId* concerns E-UTRA:
      - 4> if the *si-RequestForHO* is included in the *reportConfig* associated with this *measId*:
        - 5> start timer T321 with the timer value set to 150 ms for this *measId*;
      - 4> else:
        - 5> start timer T321 with the timer value set to 1 second for this *measId*;
    - 3> else if the *measObject* associated with this *measId* concerns UTRA:
      - 4> if the *si-RequestForHO* is included in the *reportConfig* associated with this *measId*:
        - 5> start timer T321 with the timer value set to [1 second] for this *measId*;
      - 4> else:
        - 5> start timer T321 with the timer value set to 8 seconds for this *measId*;
    - 3> else:
      - 4> start timer T321 with the timer value set to 8 seconds for this *measId*;

### 5.5.2.4 Measurement object removal

The UE shall:

- 1> for each *measObjectId* included in the received *measObjectToRemoveList* that is part of the current UE configuration in *varMeasConfig*:
  - 2> remove the entry with the matching *measObjectId* from the *measObjectList* within the *VarMeasConfig*;
  - 2> remove all *measId* associated with this *measObjectId* from the *measIdList* within the *VarMeasConfig*, if any;
  - 2> if a *measId* is removed from the *measIdList*:
    - 3> remove the measurement reporting entry for this *measId* from the *VarMeasReportList*, if included;
    - 3> stop the periodical reporting timer or timer T321, whichever one is running, and reset the associated information (e.g. *timeToTrigger*) for this *measId*;

NOTE: The UE does not consider the message as erroneous if the *measObjectToRemoveList* includes any *measObjectId* value that is not part of the current UE configuration.

### 5.5.2.5 Measurement object addition/ modification

The UE shall:

- 1> for each *measObjectId* included in the received *measObjectToAddModList*:
  - 2> if an entry with the matching *measObjectId* exists in the *measObjectList* within the *VarMeasConfig*, for this entry:
    - 3> replace the entry with the value received for this *measObject*, except for the fields *cellsToAddModList*, *blackCellsToAddModList*, *cellsToRemoveList* and *blackCellsToRemoveList*;
    - 3> if the received *measObject* includes the *cellsToRemoveList*:
      - 4> for each *cellIndex* included in the *cellsToRemoveList*:
        - 5> remove the entry with the matching *cellIndex* from the *cellsToAddModList*;
    - 3> if the received *measObject* includes the *cellsToAddModList*:
      - 4> for each *cellIndex* value included in the *cellsToAddModList*:
        - 5> if an entry with the matching *cellIndex* exists in the *cellsToAddModList*:
          - 6> replace the entry with the value received for this *cellIndex*;
        - 5> else:
          - 6> add a new entry for the received *cellIndex* to the *cellsToAddModList*;
    - 3> if the received *measObject* includes the *blackCellsToRemoveList*:
      - 4> for each *cellIndex* included in the *blackCellsToRemoveList*:
        - 5> remove the entry with the matching *cellIndex* from the *blackCellsToAddModList*;
    - 3> if the received *measObject* includes the *blackCellsToAddModList*:
      - 4> for each *cellIndex* included in the *blackCellsToAddModList*:
        - 5> if an entry with the matching *cellIndex* is included in the *blackCellsToAddModList*:
          - 6> replace the entry with the value received for this *cellIndex*;
        - 5> else:
          - 6> add a new entry for the received *cellIndex* to the *blackCellsToAddModList*;
  - 3> for each *measId* associated with this *measObjectId* in the *measIdList* within the *VarMeasConfig*, if any:
    - 4> remove the measurement reporting entry for this *measId* from the *VarMeasReportList*, if included;

4> stop the periodical reporting timer or timer T321, whichever one is running, and reset the associated information (e.g. *timeToTrigger*) for this *measId*;

2> else:

3> add a new entry for the received *measObject* to the *measObjectList* within *VarMeasConfig*;

### 5.5.2.6 Reporting configuration removal

The UE shall:

1> for each *reportConfigId* included in the received *reportConfigToRemoveList* that is part of the current UE configuration in *varMeasConfig*:

2> remove the entry with the matching *reportConfigId* from the *reportConfigList* within the *VarMeasConfig*;

2> remove all *measId* associated with the *reportConfigId* from the *measIdList* within the *VarMeasConfig*, if any;

2> if a *measId* is removed from the *measIdList*:

3> remove the measurement reporting entry for this *measId* from the *VarMeasReportList*, if included;

3> stop the periodical reporting timer or timer T321, whichever one is running, and reset the associated information (e.g. *timeToTrigger*) for this *measId*;

NOTE: The UE does not consider the message as erroneous if the *reportConfigToRemoveList* includes any *reportConfigId* value that is not part of the current UE configuration.

### 5.5.2.7 Reporting configuration addition/ modification

The UE shall:

1> for each *reportConfigId* included in the received *reportConfigToAddModList*:

2> if an entry with the matching *reportConfigId* exists in the *reportConfigList* within the *VarMeasConfig*, for this entry:

3> replace the entry with the value received for this *reportConfig*;

3> for each *measId* associated with this *reportConfigId* included in the *measIdList* within the *VarMeasConfig*, if any:

4> remove the measurement reporting entry for this *measId* from in *VarMeasReportList*, if included;

4> stop the periodical reporting timer or timer T321, whichever one is running, and reset the associated information (e.g. *timeToTrigger*) for this *measId*;

2> else:

3> add a new entry for the received *reportConfig* to the *reportConfigList* within the *VarMeasConfig*;

### 5.5.2.8 Quantity configuration

The UE shall:

1> for each RAT for which the received *quantityConfig* includes parameter(s):

2> set the corresponding parameter(s) in *quantityConfig* within *VarMeasConfig* to the value of the received *quantityConfig* parameter(s);

1> for each *measId* included in the *measIdList* within *VarMeasConfig*:

2> remove the measurement reporting entry for this *measId* from the *VarMeasReportList*, if included;

2> stop the periodical reporting timer or timer T321, whichever one is running, and reset the associated information (e.g. *timeToTrigger*) for this *measId*;

### 5.5.2.9 Measurement gap configuration

The UE shall:

- 1> if *measGapConfig* is set to 'setup':
  - 2> if a measurement gap configuration is already setup, release the measurement gap configuration;
  - 2> setup the measurement gap configuration indicated by the *measGapConfig* in accordance with the received *gapOffset*, i.e., each gap starts at an SFN and subframe meeting the following condition:
 
$$\text{SFN mod } T = \text{FLOOR}(\text{gapOffset}/10);$$

$$\text{subframe} = \text{gapOffset mod } 10;$$
 with  $T = \text{MGRP}/10$  as defined in TS 36.133 [16];
- 1> else:
  - 2> release the measurement gap configuration;

## 5.5.3 Performing measurements

### 5.5.3.1 General

For all measurements the UE applies the layer 3 filtering as specified in 5.5.3.2, before using the measured results for evaluation of reporting criteria or for measurement reporting.

The UE shall:

- 1> for each *measId* included in the *measIdList* within *VarMeasConfig*:
  - 2> if the *purpose* for the associated *reportConfig* is set to 'reportCGI':
    - 3> if *si-RequestForHO* is configured for the associated *reportConfig*:
      - 4> perform the corresponding measurements on the frequency and RAT indicated in the associated *measObject* using autonomous gaps as necessary;
    - 3> else:
      - 4> perform the corresponding measurements on the frequency and RAT indicated in the associated *measObject* using available idle periods or using autonomous gaps as necessary;

NOTE 1: If autonomous gaps are used to perform measurements, the UE is allowed to temporarily abort communication with the serving cell, i.e. create autonomous gaps to perform the corresponding measurements within the limits specified in TS 36.133 [16]. Otherwise, the UE only supports the measurements with the purpose set to 'reportCGI' only if E-UTRAN has provided sufficient idle periods.

- 3> try to acquire the global cell identity of the cell indicated by the *cellForWhichToReportCGI* in the associated *measObject* by acquiring the relevant system information from the concerned cell;
- 3> if the cell indicated by the *cellForWhichToReportCGI* included in the associated *measObject* is an E-UTRAN cell:
  - 4> try to acquire the CSG identity, if the CSG identity is broadcast in the concerned cell;
  - 4> try to acquire the list of additional PLMN Identities, as included in the *plmn-IdentityList*, if multiple PLMN identities are broadcast in the concerned cell;

NOTE 2: The 'primary' PLMN is part of the global cell identity.

- 3> if the cell indicated by the *cellForWhichToReportCGI* included in the associated *measObject* is a UTRAN cell:

- 4> try to acquire the LAC, the RAC and the list of additional PLMN Identities, if multiple PLMN identities are broadcast in the concerned cell;
- 4> try to acquire the CSG identity, if the CSG identity is broadcast in the concerned cell;
- 3> if the cell indicated by the *cellForWhichToReportCGI* included in the associated *measObject* is a GERAN cell:
  - 4> try to acquire the RAC in the concerned cell;
- 3> if the cell indicated by the *cellForWhichToReportCGI* included in the associated *measObject* is a CDMA2000 cell and the *cdma2000-Type* included in the *measObject* is 'typeHRPD':
  - 4> try to acquire the Sector ID in the concerned cell;
- 3> if the cell indicated by the *cellForWhichToReportCGI* included in the associated *measObject* is a CDMA2000 cell and the *cdma2000-Type* included in the *measObject* is 'type1XRTT':
  - 4> try to acquire the BASE ID, SID and NID in the concerned cell;
- 2> else:
  - 3> if a measurement gap configuration is setup; or
  - 3> if the UE does not require measurement gaps to perform the concerned measurements:
    - 4> if *s-Measure* is not configured; or
    - 4> if *s-Measure* is configured and the serving cell RSRP, after layer 3 filtering, is lower than this value:
      - 5> perform the corresponding measurements of neighbouring cells on the frequencies and RATs indicated in the concerned *measObject*;
    - 4> if the *ue-RxTxTimeDiffPeriodical* is configured in the associated *reportConfig*:
      - 5> perform the UE Rx – Tx time difference measurements on the serving cell;
- 2> perform the evaluation of reporting criteria as specified in 5.5.4;

NOTE 3: The '*s-Measure*' defines when the UE is required to perform measurements. The UE is however allowed to perform measurements also when the serving cell RSRP exceeds '*s-Measure*', e.g., to measure cells broadcasting a CSG identity following use of the autonomous search function as defined in TS 36.304 [4].

### 5.5.3.2 Layer 3 filtering

The UE shall:

- 1> for each measurement quantity that the UE performs measurements according to 5.5.3.1:

NOTE 1: This does not include quantities configured solely for UE Rx- Tx time difference measurements i.e. for those type of measurements the UE ignores the *triggerQuantity* and *reportQuantity*.

- 2> filter the measured result, before using for evaluation of reporting criteria or for measurement reporting, by the following formula:

$$F_n = (1 - a) \cdot F_{n-1} + a \cdot M_n$$

where

$M_n$  is the latest received measurement result from the physical layer;

$F_n$  is the updated filtered measurement result, that is used for evaluation of reporting criteria or for measurement reporting;

$F_{n-1}$  is the old filtered measurement result, where  $F_0$  is set to  $M_1$  when the first measurement result from the physical layer is received; and

$a = 1/2^{(k/4)}$ , where  $k$  is the *filterCoefficient* for the corresponding measurement quantity received by the *quantityConfig*;

- 2> adapt the filter such that the time characteristics of the filter are preserved at different input rates, observing that the *filterCoefficient*  $k$  assumes a sample rate equal to 200 ms;

NOTE 2: If  $k$  is set to 0, no layer 3 filtering is applicable.

NOTE 3: The filtering is performed in the same domain as used for evaluation of reporting criteria or for measurement reporting, i.e., logarithmic filtering for logarithmic measurements.

NOTE 4: The filter input rate is implementation dependent, to fulfil the performance requirements set in [16]. For further details about the physical layer measurements, see TS 36.133 [16].

## 5.5.4 Measurement report triggering

### 5.5.4.1 General

The UE shall:

- 1> for each *measId* included in the *measIdList* within *VarMeasConfig*:
    - 2> if the corresponding *reportConfig* includes a purpose set to 'reportStrongestCellsForSON':
      - 3> consider any neighbouring cell detected on the associated frequency to be applicable;
    - 2> else if the corresponding *reportConfig* includes a purpose set to 'reportCGI':
      - 3> consider any neighbouring cell detected on the associated frequency/ set of frequencies (GERAN) which has a physical cell identity matching the value of the *cellForWhichToReportCGI* included in the corresponding *measObject* within the *VarMeasConfig* to be applicable;
    - 2> else:
      - 3> if the corresponding *measObject* concerns E-UTRA:
        - 4> if the *ue-RxTxTimeDiffPeriodical*, *eventA1* or *eventA2* is configured in the corresponding *reportConfig*:
          - 5> consider only the serving cell to be applicable;
        - 4> else:
          - 5> consider any neighbouring cell detected on the associated frequency to be applicable when the concerned cell is not included in the *blackCellsToAddModList* defined within the *VarMeasConfig* for this *measId*;
      - 3> else if the corresponding *measObject* concerns UTRA or CDMA2000:
        - 4> consider a neighbouring cell on the associated frequency to be applicable when the concerned cell is included in the *cellsToAddModList* defined within the *VarMeasConfig* for this *measId* (i.e. the cell is included in the white-list);
- NOTE 0: The UE may also consider a neighbouring cell on the associated UTRA frequency to be applicable when the concerned cell is included in the *csg-allowedReportingCells* within the *VarMeasConfig* for this *measId*, if configured in the corresponding *measObjectUTRA* (i.e. the cell is included in the range of physical cell identities for which reporting is allowed).
- 3> else if the corresponding *measObject* concerns GERAN:
    - 4> consider a neighbouring cell on the associated set of frequencies to be applicable when the concerned cell matches the *ncc-Permitted* defined within the *VarMeasConfig* for this *measId*;

- 2> if the *triggerType* is set to 'event' and if the entry condition applicable for this event, i.e. the event corresponding with the *eventId* of the corresponding *reportConfig* within *VarMeasConfig*, is fulfilled for one or more applicable cells for all measurements after layer 3 filtering taken during *timeToTrigger* defined for this event within the *VarMeasConfig*, while the *VarMeasReportList* does not include an measurement reporting entry for this *measId* (a first cell triggers the event):
  - 3> include a measurement reporting entry within the *VarMeasReportList* for this *measId*;
  - 3> set the *numberOfReportsSent* defined within the *VarMeasReportList* for this *measId* to 0;
  - 3> include the concerned cell(s) in the *cellsTriggeredList* defined within the *VarMeasReportList* for this *measId*;
  - 3> initiate the measurement reporting procedure, as specified in 5.5.5;
- 2> if the *triggerType* is set to 'event' and if the entry condition applicable for this event, i.e. the event corresponding with the *eventId* of the corresponding *reportConfig* within *VarMeasConfig*, is fulfilled for one or more applicable cells not included in the *cellsTriggeredList* for all measurements after layer 3 filtering taken during *timeToTrigger* defined for this event within the *VarMeasConfig* (a subsequent cell triggers the event):
  - 3> set the *numberOfReportsSent* defined within the *VarMeasReportList* for this *measId* to 0;
  - 3> include the concerned cell(s) in the *cellsTriggeredList* defined within the *VarMeasReportList* for this *measId*;
  - 3> initiate the measurement reporting procedure, as specified in 5.5.5;
- 2> if the *triggerType* is set to 'event' and if the leaving condition applicable for this event is fulfilled for one or more of the cells included in the *cellsTriggeredList* defined within the *VarMeasReportList* for this *measId* for all measurements after layer 3 filtering taken during *timeToTrigger* defined within the *VarMeasConfig* for this event:
  - 3> remove the concerned cell(s) in the *cellsTriggeredList* defined within the *VarMeasReportList* for this *measId*;
  - 3> if *reportOnLeave* is set to *TRUE* for the corresponding reporting configuration:
    - 4> initiate the measurement reporting procedure, as specified in 5.5.5;
  - 3> if the *cellsTriggeredList* defined within the *VarMeasReportList* for this *measId* is empty:
    - 4> remove the measurement reporting entry within the *VarMeasReportList* for this *measId*;
    - 4> stop the periodical reporting timer for this *measId*, if running;
- 2> if the *purpose* is included and set to 'reportStrongestCells' or to 'reportStrongestCellsForSON' and if a (first) measurement result is available for one or more applicable cells:
  - 3> include a measurement reporting entry within the *VarMeasReportList* for this *measId*;
  - 3> set the *numberOfReportsSent* defined within the *VarMeasReportList* for this *measId* to 0;
  - 3> initiate the measurement reporting procedure, as specified in 5.5.5;

NOTE 1: If the *purpose* is set to 'reportStrongestCells', the UE initiates a first measurement report immediately after the quantity to be reported becomes available for at least either serving cell or one of the applicable cells. If the *purpose* is set to 'reportStrongestCellsForSON', the UE initiates a first measurement report when it has determined the strongest cells on the associated frequency.

- 2> upon expiry of the periodical reporting timer for this *measId*:
  - 3> initiate the measurement reporting procedure, as specified in 5.5.5;
- 2> if the *purpose* is included and set to 'reportCGI' and if the UE acquired the information needed to set all fields of *cgi-Info* for the requested cell:

- 3> include a measurement reporting entry within the *VarMeasReportList* for this *measId*;
- 3> set the *numberOfReportsSent* defined within the *VarMeasReportList* for this *measId* to 0;
- 3> stop timer T321;
- 3> initiate the measurement reporting procedure, as specified in 5.5.5;
- 2> upon expiry of the T321 for this *measId*:
  - 3> include a measurement reporting entry within the *VarMeasReportList* for this *measId*;
  - 3> set the *numberOfReportsSent* defined within the *VarMeasReportList* for this *measId* to 0;
  - 3> initiate the measurement reporting procedure, as specified in 5.5.5;

NOTE 2: The UE does not stop the periodical reporting with *triggerType* set to 'event' or to 'periodical' while the corresponding measurement is not performed due to the serving cell RSRP being equal to or better than *s-Measure* or due to the measurement gap not being setup.

NOTE 3: If the UE is configured with DRX, the UE may delay the measurement reporting for event triggered and periodical triggered measurements until the Active Time, which is defined in TS 36.321 [6].

#### 5.5.4.2 Event A1 (Serving becomes better than threshold)

The UE shall:

- 1> consider the entering condition for this event to be satisfied when condition A1-1, as specified below, is fulfilled;
- 1> consider the leaving condition for this event to be satisfied when condition A1-2, as specified below, is fulfilled;

Inequality A1-1 (Entering condition)

$$Ms - Hys > Thresh$$

Inequality A1-2 (Leaving condition)

$$Ms + Hys < Thresh$$

The variables in the formula are defined as follows:

***Ms*** is the measurement result of the serving cell, not taking into account any offsets.

***Hys*** is the hysteresis parameter for this event (i.e. *hysteresis* as defined within *reportConfigEUTRA* for this event).

***Thresh*** is the threshold parameter for this event (i.e. *a1-Threshold* as defined within *reportConfigEUTRA* for this event).

***Ms*** is expressed in dBm in case of RSRP, or in dB in case of RSRQ.

***Hys*** is expressed in dB.

***Thresh*** is expressed in the same unit as ***Ms***.

#### 5.5.4.3 Event A2 (Serving becomes worse than threshold)

The UE shall:

- 1> consider the entering condition for this event to be satisfied when condition A2-1, as specified below, is fulfilled;
- 1> consider the leaving condition for this event to be satisfied when condition A2-2, as specified below, is fulfilled;

Inequality A2-1 (Entering condition)

$$Ms + Hys < Thresh$$

Inequality A2-2 (Leaving condition)

$M_s - H_{ys} > Thresh$

The variables in the formula are defined as follows:

$M_s$  is the measurement result of the serving cell, not taking into account any offsets.

$H_{ys}$  is the hysteresis parameter for this event (i.e. *hysteresis* as defined within *reportConfigEUTRA* for this event).

$Thresh$  is the threshold parameter for this event (i.e. *a2-Threshold* as defined within *reportConfigEUTRA* for this event).

$M_s$  is expressed in dBm in case of RSRP, or in dB in case of RSRQ.

$H_{ys}$  is expressed in dB.

$Thresh$  is expressed in the same unit as  $M_s$ .

#### 5.5.4.4 Event A3 (Neighbour becomes offset better than serving)

The UE shall:

1> consider the entering condition for this event to be satisfied when condition A3-1, as specified below, is fulfilled;

1> consider the leaving condition for this event to be satisfied when condition A3-2, as specified below, is fulfilled;

Inequality A3-1 (Entering condition)

$$M_n + O_{fn} + O_{cn} - H_{ys} > M_s + O_{fs} + O_{cs} + O_{ff}$$

Inequality A3-2 (Leaving condition)

$$M_n + O_{fn} + O_{cn} + H_{ys} < M_s + O_{fs} + O_{cs} + O_{ff}$$

The variables in the formula are defined as follows:

$M_n$  is the measurement result of the neighbouring cell, not taking into account any offsets.

$O_{fn}$  is the frequency specific offset of the frequency of the neighbour cell (i.e. *offsetFreq* as defined within *measObjectEUTRA* corresponding to the frequency of the neighbour cell).

$O_{cn}$  is the cell specific offset of the neighbour cell (i.e. *cellIndividualOffset* as defined within *measObjectEUTRA* corresponding to the frequency of the neighbour cell), and set to zero if not configured for the neighbour cell.

$M_s$  is the measurement result of the serving cell, not taking into account any offsets.

$O_{fs}$  is the frequency specific offset of the serving frequency (i.e. *offsetFreq* as defined within *measObjectEUTRA* corresponding to the serving frequency).

$O_{cs}$  is the cell specific offset of the serving cell (i.e. *cellIndividualOffset* as defined within *measObjectEUTRA* corresponding to the serving frequency), and is set to zero if not configured for the serving cell.

$H_{ys}$  is the hysteresis parameter for this event (i.e. *hysteresis* as defined within *reportConfigEUTRA* for this event).

$O_{ff}$  is the offset parameter for this event (i.e. *a3-Offset* as defined within *reportConfigEUTRA* for this event).

$M_n$ ,  $M_s$  are expressed in dBm in case of RSRP, or in dB in case of RSRQ.

$O_{fn}$ ,  $O_{cn}$ ,  $O_{fs}$ ,  $O_{cs}$ ,  $H_{ys}$ ,  $O_{ff}$  are expressed in dB.

#### 5.5.4.5 Event A4 (Neighbour becomes better than threshold)

The UE shall:

1> consider the entering condition for this event to be satisfied when condition A4-1, as specified below, is fulfilled;

1> consider the leaving condition for this event to be satisfied when condition A4-2, as specified below, is fulfilled;

Inequality A4-1 (Entering condition)

$$Mn + Ofn + Ocn - Hys > Thresh$$

Inequality A4-2 (Leaving condition)

$$Mn + Ofn + Ocn + Hys < Thresh$$

The variables in the formula are defined as follows:

***Mn*** is the measurement result of the neighbouring cell, not taking into account any offsets.

***Ofn*** is the frequency specific offset of the frequency of the neighbour cell (i.e. *offsetFreq* as defined within *measObjectEUTRA* corresponding to the frequency of the neighbour cell).

***Ocn*** is the cell specific offset of the neighbour cell (i.e. *cellIndividualOffset* as defined within *measObjectEUTRA* corresponding to the frequency of the neighbour cell), and set to zero if not configured for the neighbour cell.

***Hys*** is the hysteresis parameter for this event (i.e. *hysteresis* as defined within *reportConfigEUTRA* for this event).

***Thresh*** is the threshold parameter for this event (i.e. *a4-Threshold* as defined within *reportConfigEUTRA* for this event).

***Mn*** is expressed in dBm in case of RSRP, or in dB in case of RSRQ.

***Ofn*, *Ocn*, *Hys*** are expressed in dB.

***Thresh*** is expressed in the same unit as ***Ms***.

#### 5.5.4.6 Event A5 (Serving becomes worse than threshold1 and neighbour becomes better than threshold2)

The UE shall:

- 1> consider the entering condition for this event to be satisfied when both conditions A5-1 and condition A5-2, as specified below, are fulfilled;
- 1> consider the leaving condition for this event to be satisfied when condition A5-3 or condition A5-4, i.e. at least one of the two, as specified below, is fulfilled;

Inequality A5-1 (Entering condition 1)

$$Ms + Hys < Thresh1$$

Inequality A5-2 (Entering condition 2)

$$Mn + Ofn + Ocn - Hys > Thresh2$$

Inequality A5-3 (Leaving condition 1)

$$Ms - Hys > Thresh1$$

Inequality A5-4 (Leaving condition 2)

$$Mn + Ofn + Ocn + Hys < Thresh2$$

The variables in the formula are defined as follows:

***Ms*** is the measurement result of the serving cell, not taking into account any offsets.

***Mn*** is the measurement result of the neighbouring cell, not taking into account any offsets.

***Ofn*** is the frequency specific offset of the frequency of the neighbour cell (i.e. *offsetFreq* as defined within *measObjectEUTRA* corresponding to the frequency of the neighbour cell).

**Ocn** is the cell specific offset of the neighbour cell (i.e. *cellIndividualOffset* as defined within *measObjectEUTRA* corresponding to the frequency of the neighbour cell), and set to zero if not configured for the neighbour cell.

**Hys** is the hysteresis parameter for this event (i.e. *hysteresis* as defined within *reportConfigEUTRA* for this event).

**Thresh1** is the threshold parameter for this event (i.e. *a5-Threshold1* as defined within *reportConfigEUTRA* for this event).

**Thresh2** is the threshold parameter for this event (i.e. *a5-Threshold2* as defined within *reportConfigEUTRA* for this event).

**Mn, Ms** are expressed in dBm in case of RSRP, or in dB in case of RSRQ.

**Ofn, Ocn, Hys** are expressed in dB.

**Thresh1** is expressed in the same unit as **Ms**.

**Thresh2** is expressed in the same unit as **Mn**.

#### 5.5.4.7 Event B1 (Inter RAT neighbour becomes better than threshold)

The UE shall:

- 1> for UTRA and CDMA2000, only trigger the event for cells included in the corresponding measurement object;
- 1> consider the entering condition for this event to be satisfied when condition B1-1, as specified below, is fulfilled;
- 1> consider the leaving condition for this event to be satisfied when condition B1-2, as specified below, is fulfilled;

Inequality B1-1 (Entering condition)

$$Mn + Ofn - Hys > Thresh$$

Inequality B1-2 (Leaving condition)

$$Mn + Ofn + Hys < Thresh$$

The variables in the formula are defined as follows:

**Mn** is the measurement result of the inter-RAT neighbour cell, not taking into account any offsets. For CDMA 2000 measurement result, *pilotStrength* is divided by -2.

**Ofn** is the frequency specific offset of the frequency of the inter-RAT neighbour cell (i.e. *offsetFreq* as defined within the *measObject* corresponding to the frequency of the neighbour inter-RAT cell).

**Hys** is the hysteresis parameter for this event (i.e. *hysteresis* as defined within *reportConfigInterRAT* for this event).

**Thresh** is the threshold parameter for this event (i.e. *b1-Threshold* as defined within *reportConfigInterRAT* for this event). For CDMA2000, *b1-Threshold* is divided by -2.

**Mn** is expressed in dBm or in dB, depending on the measurement quantity of the inter-RAT neighbour cell.

**Ofn, Hys** are expressed in dB.

**Thresh** is expressed in the same unit as **Mn**.

#### 5.5.4.8 Event B2 (Serving becomes worse than threshold1 and inter RAT neighbour becomes better than threshold2)

The UE shall:

- 1> for UTRA and CDMA2000, only trigger the event for cells included in the corresponding measurement object;
- 1> consider the entering condition for this event to be satisfied when both condition B2-1 and condition B2-2, as specified below, are fulfilled;

- 1> consider the leaving condition for this event to be satisfied when condition B2-3 or condition B2-4, i.e. at least one of the two, as specified below, is fulfilled;

Inequality B2-1 (Entering condition 1)

$$Ms + Hys < Thresh1$$

Inequality B2-2 (Entering condition 2)

$$Mn + Ofn - Hys > Thresh2$$

Inequality B2-3 (Leaving condition 1)

$$Ms - Hys > Thresh1$$

Inequality B2-4 (Leaving condition 2)

$$Mn + Ofn + Hys < Thresh2$$

The variables in the formula are defined as follows:

***Ms*** is the measurement result of the serving cell, not taking into account any offsets.

***Mn*** is the measurement result of the inter-RAT neighbour cell, not taking into account any offsets. For CDMA2000 measurement result, *pilotStrength* is divided by -2.

***Ofn*** is the frequency specific offset of the frequency of the inter-RAT neighbour cell (i.e. *offsetFreq* as defined within the *measObject* corresponding to the frequency of the inter-RAT neighbour cell).

***Hys*** is the hysteresis parameter for this event (i.e. *hysteresis* as defined within *reportConfigInterRAT* for this event).

***Thresh1*** is the threshold parameter for this event (i.e. *b2-Threshold1* as defined within *reportConfigInterRAT* for this event).

***Thresh2*** is the threshold parameter for this event (i.e. *b2-Threshold2* as defined within *reportConfigInterRAT* for this event). For CDMA2000, *b2-Threshold2* is divided by -2.

***Ms*** is expressed in dBm in case of RSRP, or in dB in case of RSRQ.

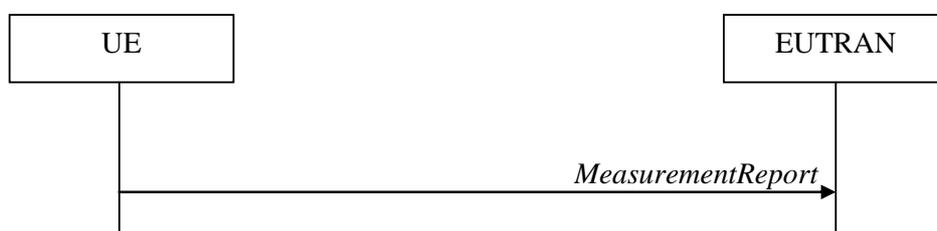
***Mn*** is expressed in dBm or dB, depending on the measurement quantity of the inter-RAT neighbour cell.

***Ofn***, ***Hys*** are expressed in dB.

***Thresh1*** is expressed in the same unit as ***Ms***.

***Thresh2*** is expressed in the same unit as ***Mn***.

## 5.5.5 Measurement reporting



**Figure 5.5.5-1: Measurement reporting**

The purpose of this procedure is to transfer measurement results from the UE to E-UTRAN.

For the *measId* for which the measurement reporting procedure was triggered, the UE shall set the *measResults* within the *MeasurementReport* message as follows:

- 1> set the *measId* to the measurement identity that triggered the measurement reporting;

- 1> set the *measResultServCell* to include the quantities of serving cell;
- 1> if there is at least one applicable neighbouring cell to report:
  - 2> set the *measResultNeighCells* to include the best neighbouring cells up to *maxReportCells* in accordance with the following:
    - 3> if the *triggerType* is set to 'event':
      - 4> include the cells included in the *cellsTriggeredList* as defined within the *VarMeasReportList* for this *measId*;
    - 3> else:
      - 4> include the applicable cells for which the new measurement results became available since the last periodical reporting or since the measurement was initiated or reset;

NOTE: The reliability of the report (i.e. the certainty it contains the strongest cells on the concerned frequency) depends on the measurement configuration i.e. the *reportInterval*. The related performance requirements are specified in TS 36.133 [16].

- 3> for each cell that is included in the *measResultNeighCells*, include the *physCellId*;
- 3> if the *triggerType* is set to 'event'; or the *purpose* is set to 'reportStrongestCells' or to 'reportStrongestCellsForSON':
  - 4> for each included cell, include the layer 3 filtered measured results in accordance with the *reportConfig* for this *measId*, ordered as follows:
    - 5> if the *measObject* associated with this *measId* concerns E-UTRA:
      - 6> set the *measResult* to include the quantity(ies) indicated in the *reportQuantity* within the concerned *reportConfig* in order of decreasing *triggerQuantity*, i.e. the best cell is included first;
    - 5> else:
      - 6> set the *measResult* to the quantity as configured for the concerned RAT within the *quantityConfig* in order of either decreasing quantity for UTRA and GERAN or increasing quantity for CDMA2000 *pilotStrength*, i.e. the best cell is included first;
  - 3> else if the *purpose* is set to 'reportCGI':
    - 4> if the mandatory present fields of the *cgi-Info* for the cell indicated by the *cellForWhichToReportCGI* in the associated *measObject* have been obtained:
      - 5> if the cell broadcasts a CSG identity:
        - 6> include the *csg-Identity*;
        - 6> include the *csg-MemberStatus* and set it to 'member' if the cell is a CSG member cell;
      - 5> if the 'si-RequestForHO' is configured within the *reportConfig* associated with this *measId*:
        - 6> include the *cgi-Info* containing all the fields that have been successfully acquired, except for the *plmn-IdentityList*;
      - 5> else:
        - 6> include the *cgi-Info* containing all the fields that have been successfully acquired;
- 1> if the *ue-RxTxTimeDiffPeriodical* is configured within the corresponding *reportConfig* for this *measId*;
  - 2> set the *ue-RxTxTimeDiffResult* to the measurement result provided by lower layers;
  - 2> set the *currentSFN*;

- 1> increment the *numberOfReportsSent* as defined within the *VarMeasReportList* for this *measId* by 1;
- 1> stop the periodical reporting timer, if running;
- 1> if the *numberOfReportsSent* as defined within the *VarMeasReportList* for this *measId* is less than the *reportAmount* as defined within the corresponding *reportConfig* for this *measId*:
  - 2> start the periodical reporting timer with the value of *reportInterval* as defined within the corresponding *reportConfig* for this *measId*;
- 1> else:
  - 2> if the *triggerType* is set to 'periodical':
    - 3> remove the entry within the *VarMeasReportList* for this *measId*;
    - 3> remove this *measId* from the *measIdList* within *VarMeasConfig*;
- 1> if the measured results are for CDMA2000 HRPD:
  - 2> set the *preRegistrationStatusHRPD* to the UE's CDMA2000 upper layer's HRPD *preRegistrationStatus*;
- 1> if the measured results are for CDMA2000 1xRTT:
  - 2> set the *preRegistrationStatusHRPD* to 'FALSE';
- 1> submit the *MeasurementReport* message to lower layers for transmission, upon which the procedure ends;

## 5.5.6 Measurement related actions

### 5.5.6.1 Actions upon handover and re-establishment

E-UTRAN applies the handover procedure as follows:

- when performing the handover procedure, as specified in 5.3.5.4, ensure that a *measObjectId* corresponding to the handover target carrier frequency is configured as a result of the procedures described in this sub-clause and in 5.3.5.4;

E-UTRAN applies the re-establishment procedure as follows:

- when performing the connection re-establishment procedure, as specified in 5.3.7, ensure that a *measObjectId* corresponding to the target carrier frequency is configured as a result of the procedure described in this sub-clause and the subsequent connection reconfiguration procedure immediately following the re-establishment procedure;

The UE shall:

- 1> for each *measId* included in the *measIdList* within *VarMeasConfig*:
  - 2> if the *triggerType* is set to 'periodical':
    - 3> remove this *measId* from the *measIdList* within *VarMeasConfig*;
- 1> if the procedure was triggered due to inter-frequency handover or successful re-establishment to an inter-frequency cell, update the *measId* values in the *measIdList* within *VarMeasConfig* as follows:
  - 2> if a *measObjectId* value corresponding to the target carrier frequency exists in the *measObjectList* within *VarMeasConfig*:
    - 3> for each *measId* value in the *measIdList*:
      - 4> if the *measId* value is linked to the *measObjectId* value corresponding to the source carrier frequency:
        - 5> link this *measId* value to the *measObjectId* value corresponding to the target carrier frequency;

4> else if the *measId* value is linked to the *measObjectId* value corresponding to the target carrier frequency:

5> link this *measId* value to the *measObjectId* value corresponding to the source carrier frequency;

2> else:

3> remove all *measId* values that are linked to the *measObjectId* value corresponding to the source carrier frequency;

1> remove all measurement reporting entries within *VarMeasReportList*;

1> stop the periodical reporting timer or timer T321, whichever one is running, as well as associated information (e.g. *timeToTrigger*) for all *measId*;

1> release the measurement gaps, if activated;

NOTE: If the UE requires measurement gaps to perform inter-frequency or inter-RAT measurements, the UE resumes the inter-frequency and inter-RAT measurements after the E-UTRAN has setup the measurement gaps.

### 5.5.6.2 Speed dependant scaling of measurement related parameters

The UE shall adjust the value of the following parameter configured by the E-UTRAN depending on the UE speed: *timeToTrigger*. The UE shall apply 3 different levels, which are selected as follows:

The UE shall:

1> perform mobility state detection using the mobility state detection as specified in TS 36.304 [4] with the following modifications:

2> counting handovers instead of cell reselections;

2> applying the parameter applicable for RRC\_CONNECTED as included in *speedStatePars* within *VarMeasConfig*;

1> if high mobility state is detected:

2> use the *timeToTrigger* value multiplied by *sf-High* within *VarMeasConfig*;

1> else if medium mobility state is detected:

2> use the *timeToTrigger* value multiplied by *sf-Medium* within *VarMeasConfig*;

1> else

2> no scaling is applied;

## 5.6 Other

### 5.6.1 DL information transfer

#### 5.6.1.1 General

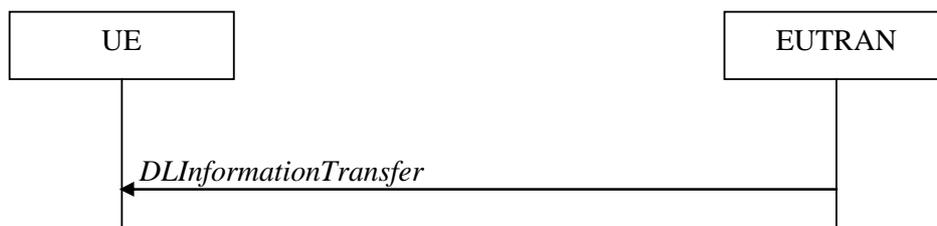


Figure 5.6.1.1-1: DL information transfer

The purpose of this procedure is to transfer NAS or (tunnelled) non-3GPP dedicated information from E-UTRAN to a UE in RRC\_CONNECTED.

### 5.6.1.2 Initiation

E-UTRAN initiates the DL information transfer procedure whenever there is a need to transfer NAS or non-3GPP dedicated information. E-UTRAN initiates the DL information transfer procedure by sending the *DLInformationTransfer* message.

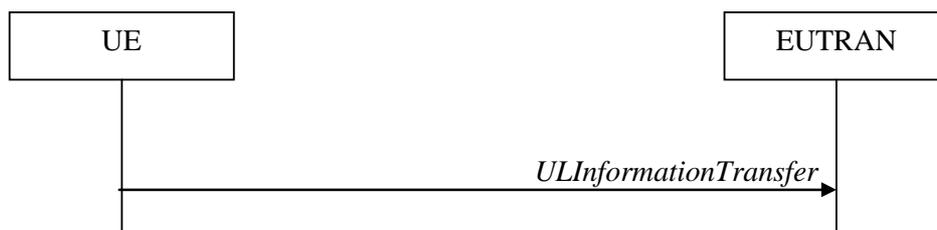
### 5.6.1.3 Reception of the *DLInformationTransfer* by the UE

Upon receiving *DLInformationTransfer* message, the UE shall:

- 1> if the *dedicatedInfoType* is set to '*dedicatedInfoNAS*':
  - 2> forward the *dedicatedInfoNAS* to the NAS upper layers.
- 1> if the *dedicatedInfoType* is set to '*dedicatedInfoCDMA2000-1XRTT*' or to '*dedicatedInfoCDMA2000-HRPD*':
  - 2> forward the *dedicatedInfoCDMA2000* to the CDMA2000 upper layers;

## 5.6.2 UL information transfer

### 5.6.2.1 General



**Figure 5.6.2.1-1: UL information transfer**

The purpose of this procedure is to transfer NAS or (tunnelled) non-3GPP dedicated information from the UE to E-UTRAN.

### 5.6.2.2 Initiation

A UE in RRC\_CONNECTED initiates the UL information transfer procedure whenever there is a need to transfer NAS or non-3GPP dedicated information, except at RRC connection establishment in which case the NAS information is piggybacked to the *RRCConnectionSetupComplete* message. The UE initiates the UL information transfer procedure by sending the *ULInformationTransfer* message. When CDMA2000 information has to be transferred, the UE shall initiate the procedure only if SRB2 is established.

### 5.6.2.3 Actions related to transmission of *ULInformationTransfer* message

The UE shall set the contents of the *ULInformationTransfer* message as follows:

- 1> if there is a need to transfer NAS information:
  - 2> set the *dedicatedInfoType* to include the '*dedicatedInfoNAS*';
- 1> if there is a need to transfer CDMA2000 1XRTT information:
  - 2> set the *dedicatedInfoType* to include the '*dedicatedInfoCDMA2000-1XRTT*';
- 1> if there is a need to transfer CDMA2000 HRPD information:
  - 2> set the *dedicatedInfoType* to include the '*dedicatedInfoCDMA2000-HRPD*';

1> submit the *ULInformationTransfer* message to lower layers for transmission, upon which the procedure ends;

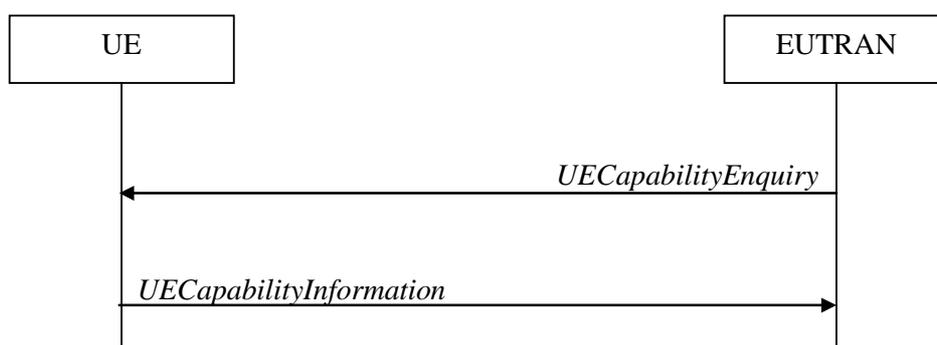
#### 5.6.2.4 Failure to deliver *ULInformationTransfer* message

The UE shall:

- 1> if mobility (i.e. handover, RRC connection re-establishment) occurs before the successful delivery of *ULInformationTransfer* messages has been confirmed by lower layers:
- 2> inform upper layers about the possible failure to deliver the information contained in the concerned *ULInformationTransfer* messages;

### 5.6.3 UE capability transfer

#### 5.6.3.1 General



**Figure 5.6.3.1-1: UE capability transfer**

The purpose of this procedure is to transfer UE radio access capability information from the UE to E-UTRAN.

If the UE has changed its E-UTRAN radio access capabilities, the UE shall request higher layers to initiate the necessary NAS procedures (see TS 23.401 [41]) that would result in the update of UE radio access capabilities using a new RRC connection.

NOTE: Change of the UE's GERAN UE radio capabilities in RRC\_IDLE is supported by use of Tracking Area Update.

#### 5.6.3.2 Initiation

E-UTRAN initiates the procedure to a UE in RRC\_CONNECTED when it needs (additional) UE radio access capability information.

#### 5.6.3.3 Reception of the *UECapabilityEnquiry* by the UE

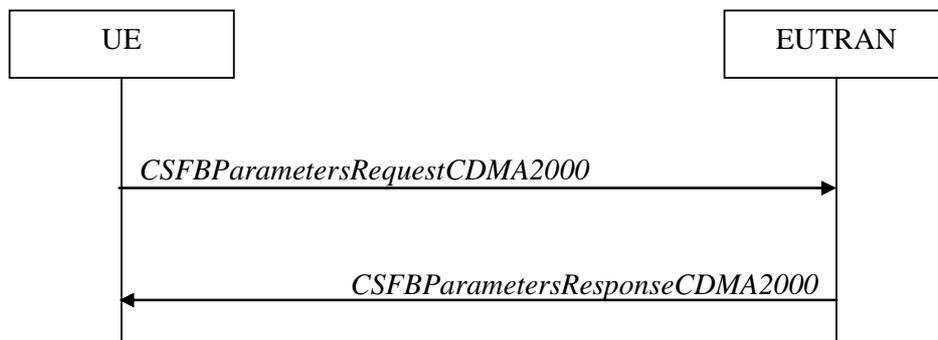
The UE shall:

- 1> set the contents of *UECapabilityInformation* message as follows:
  - 2> if the *ue-CapabilityRequest* includes 'eutra':
    - 3> include the *UE-EUTRA-Capability* within a *ue-CapabilityRAT-Container* and with the *rat-Type* set to 'eutra';
  - 2> if the *ue-CapabilityRequest* includes 'geran-cs' and if the UE supports GERAN CS domain:
    - 3> include the UE radio access capabilities for GERAN CS within a *ue-CapabilityRAT-Container* and with the *rat-Type* set to 'geran-cs';
  - 2> if the *ue-CapabilityRequest* includes 'geran-ps' and if the UE supports GERAN PS domain:

- 3> include the UE radio access capabilities for GERAN PS within a *ue-CapabilityRAT-Container* and with the *rat-Type* set to '*geran-ps*';
- 2> if the *ue-CapabilityRequest* includes '*utra*' and if the UE supports UTRA:
  - 3> include the UE radio access capabilities for UTRA within a *ue-CapabilityRAT-Container* and with the *rat-Type* set to '*utra*';
- 2> if the *ue-CapabilityRequest* includes '*cdma2000-1XRTT*' and if the UE supports CDMA2000 1xRTT:
  - 3> include the UE radio access capabilities for CDMA2000 within a *ue-CapabilityRAT-Container* and with the *rat-Type* set to '*cdma2000-1XRTT*';
- 1> submit the *UECapabilityInformation* message to lower layers for transmission, upon which the procedure ends;

## 5.6.4 CSFB to 1x Parameter transfer

### 5.6.4.1 General



**Figure 5.6.4.1-1: CSFB to 1x Parameter transfer**

The purpose of this procedure is to transfer the CDMA2000 1xRTT parameters required to register the UE in the CDMA2000 1xRTT network for CSFB support.

### 5.6.4.2 Initiation

A UE in RRC\_CONNECTED initiates the CSFB to 1x Parameter transfer procedure upon request from the CDMA2000 upper layers. The UE initiates the CSFB to 1x Parameter transfer procedure by sending the *CSFBParametersRequestCDMA2000* message.

### 5.6.4.3 Actions related to transmission of *CSFBParametersRequestCDMA2000* message

The UE shall:

- 1> submit the *CSFBParametersRequestCDMA2000* message to lower layers for transmission using the current configuration;

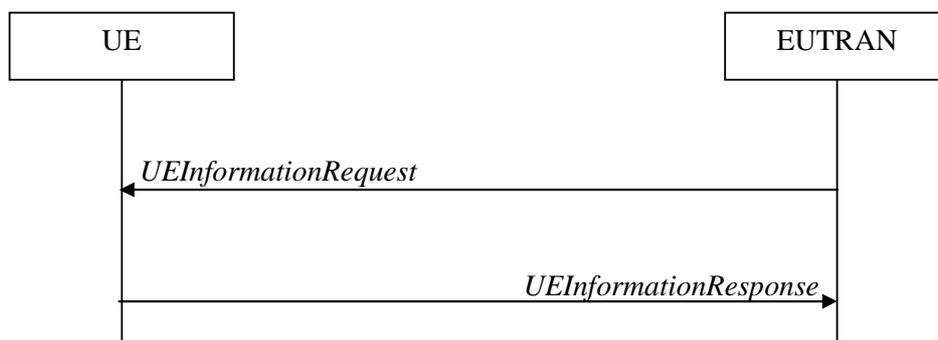
### 5.6.4.4 Reception of the *CSFBParametersResponseCDMA2000* message

Upon reception of the *CSFBParametersResponseCDMA2000* message, the UE shall:

- 1> forward the *rand* and the *mobilityParameters* to the CDMA2000 1xRTT upper layers;

## 5.6.5 UE Information

### 5.6.5.1 General



**Figure 5.6.5.1-1: UE information procedure**

The UE information procedure is used by E-UTRAN to request the UE to report information.

### 5.6.5.2 Initiation

E-UTRAN initiates the procedure by sending the *UEInformationRequest* message.

### 5.6.5.3 Reception of the *UEInformationRequest* message

Upon receiving the *UEInformationRequest* message, the UE shall:

- 1> if *rach-ReportReq* is set to true, set the contents of the *rach-Report* in the *UEInformationResponse* message as follows:
  - 2> set the *numberOfPreamblesSent* to indicate the number of preambles sent by MAC for the last successfully completed random access procedure
  - 2> if contention is detected by MAC for at least one of the transmitted preambles for the last successfully completed random access procedure:
    - 3> set the *contentionDetected* to true;
  - 2> else:
    - 3> set the *contentionDetected* to false;
- 1> if *rlf-ReportReq* is set to true and there is radio link failure information available, set the contents of the *rlf-Report* in the *UEInformationResponse* message as follows:
  - 2> set the *measResultLastServCell*;
  - 2> set the *measResultNeighCells* to include best neighbouring cells, ordered such that the best cell is listed first, and based on measurements collected up to the moment the UE detected radio link failure;
    - 3> if the UE was configured to perform measurements for one or more EUTRA frequencies, include the *measResultListEUTRA* and include the corresponding *carrierFreq* and *measResultList*;
    - 3> if the UE was configured to perform measurement reporting for one or more neighbouring UTRA frequencies, include the *measResultListUTRA* and include the corresponding *carrierFreq* and *measResultList*;
    - 3> if the UE was configured to perform measurement reporting for one or more neighbouring GERAN frequencies, include the *measResultListGERAN*;
    - 3> if the UE was configured to perform measurement reporting for one or more neighbouring CDMA2000 frequencies, include the *measResultsCDMA2000* and include the corresponding *carrierFreq* and *measResultList*;

NOTE The measured quantities are filtered by L3 filter as configured in mobility measurement configuration. Blacklisted cells are not required to be reported.

1> submit the *UEInformationResponse* message to lower layers for transmission upon which the procedure ends;

## 5.7 Generic error handling

### 5.7.1 General

The generic error handling defined in the subsequent sub-clauses applies unless explicitly specified otherwise e.g. within the procedure specific error handling.

The UE shall consider a value as not comprehended when it is set:

- to an extended value that is not defined in the version of the transfer syntax supported by the UE.
- to a spare or reserved value unless the specification defines specific behaviour that the UE shall apply upon receiving the concerned spare/ reserved value.

The UE shall consider a field as not comprehended when it is defined:

- as spare or reserved unless the specification defines specific behaviour that the UE shall apply upon receiving the concerned spare/ reserved field.

### 5.7.2 ASN.1 violation or encoding error

The UE shall:

- 1> when receiving an RRC message on the BCCH, PCCH, CCCH, or MCCH for which the abstract syntax is invalid [13]:
  - 2> ignore the message;

NOTE This section applies in case one or more fields is set to a value, other than a spare, reserved or extended value, not defined in this version of the transfer syntax. E.g. in the case the UE receives value 12 for a field defined as INTEGER (1..11). In cases like this, it may not be possible to reliably detect which field is in the error hence the error handling is at the message level.

### 5.7.3 Field set to a not comprehended value

The UE shall, when receiving an RRC message on any logical channel:

- 1> if the message includes a field that has a value that the UE does not comprehend:
  - 2> if a default value is defined for this field:
    - 3> treat the message while using the default value defined for this field;
  - 2> else if the concerned field is optional:
    - 3> treat the message as if the field were absent and in accordance with the need code for absence of the concerned field;
  - 2> else:
    - 3> treat the message as if the field were absent and in accordance with sub-clause 5.7.4;

### 5.7.4 Mandatory field missing

The UE shall:

- 1> if the message includes a field that is mandatory to include in the message (e.g. because conditions for mandatory presence are fulfilled) and that field is absent or treated as absent:
    - 2> if the RRC message was received on DCCH or CCCH:
      - 3> ignore the message;
    - 2> else:
      - 3> if the field concerns a (sub-field of) an entry of a list (i.e. a SEQUENCE OF):
        - 4> treat the list as if the entry including the missing or not comprehended field was not present;
      - 3> else if the field concerns a sub-field of another field, referred to as the 'parent' field i.e. the field that is one nesting level up compared to the erroneous field:
        - 4> consider the 'parent' field to be set to a not comprehended value;
        - 4> apply the generic error handling to the subsequent 'parent' field(s), until reaching the top nesting level i.e. the message level;
      - 3> else (field at message level):
        - 4> ignore the message;
- NOTE: The error handling defined in these sub-clauses implies that the UE ignores a message with the message type or version set to a not comprehended value.

## 5.7.5 Not comprehended field

The UE shall, when receiving an RRC message on any logical channel:

- 1> if the message includes a field that the UE does not comprehend:
  - 2> treat the rest of the message as if the field was absent;

NOTE: This section does not apply to the case of an extension to the value range of a field. Such cases are addressed instead by the requirements in section 5.7.3.

## 5.8 MBMS

### 5.8.1 Introduction

#### 5.8.1.1 General

In general the control information relevant only for UEs supporting MBMS is separated as much as possible from unicast control information. Most of the MBMS control information is provided on a logical channel specific for MBMS common control information: the MCCH. E-UTRA employs one MCCH logical channel per MBSFN area. In case the network configures multiple MBSFN areas, the UE acquires the MBMS control information from the MCCHs that are configured to identify if services it is interested to receive are ongoing. The action applicable when the UE is unable to simultaneously receive MBMS and unicast services is up to UE implementation. In this release of the specification, an MBMS capable UE is only required to support reception of a single MBMS service at a time, and reception of more than one MBMS service (also possibly on more than one MBSFN area) in parallel is left for UE implementation. The MCCH carries a single message, the *MBSFNAreaConfiguration* message, which indicates the MBMS sessions that are ongoing as well as the (corresponding) radio resource configuration.

A limited amount of MBMS control information is provided on the BCCH. This primarily concerns the information needed to acquire the MCCH(s). This information is carried by means of a single MBMS specific *SystemInformationBlock: SystemInformationBlockType13*. An MBSFN area is identified solely by the *mbsfn-AreaId* in *SystemInformationBlockType13*. At mobility, the UE considers that the MBSFN area is continuous when the source cell and the target cell broadcast the same value in the *mbsfn-AreaId*.

### 5.8.1.2 Scheduling

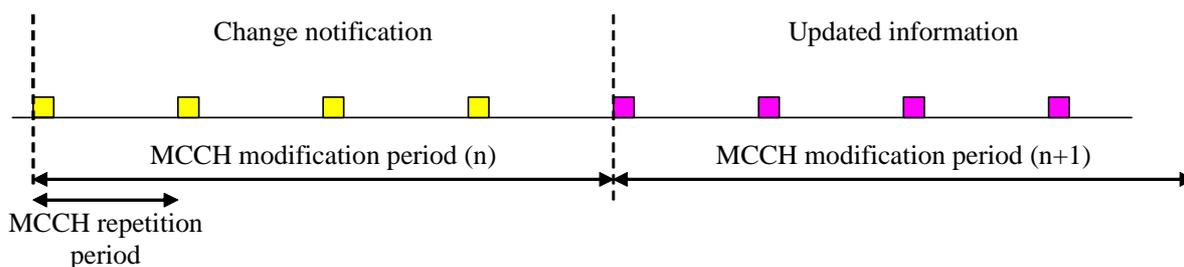
The MCCH information is transmitted periodically, using a configurable repetition period. Scheduling information is not provided for MCCH i.e. both the time domain scheduling as well as the lower layer configuration are semi-statically configured, as defined within *SystemInformationBlockType13*.

For MBMS user data, which is carried by the MTCH logical channel, E-UTRAN periodically provides MCH scheduling information (MSI) at lower layers (MAC). This MCH scheduling information only concerns the time domain scheduling i.e. the frequency domain scheduling and the lower layer configuration are semi-statically configured. The periodicity of the MSI is configurable and defined by the MCH scheduling period.

### 5.8.1.3 MCCH information validity and notification of changes

Change of MCCH information only occurs at specific radio frames, i.e. the concept of a modification period is used. Within a modification period, the same MCCH information may be transmitted a number of times, as defined by its scheduling (which is based on a repetition period). The modification period boundaries are defined by SFN values for which  $\text{SFN} \bmod m = 0$ , where  $m$  is the number of radio frames comprising the modification period. The modification period is configured by means of *SystemInformationBlockType13*.

When the network changes (some of) the MCCH information, it notifies the UEs about the change during a first modification period. In the next modification period, the network transmits the updated MCCH information. These general principles are illustrated in figure 5.8.1.3-1, in which different colours indicate different MCCH information. Upon receiving a change notification, a UE interested to receive MBMS services acquires the new MCCH information immediately from the start of the next modification period. The UE applies the previously acquired MCCH information until the UE acquires the new MCCH information.



**Figure 5.8.1.3-1: Change of MCCH Information**

Indication of an MBMS specific RNTI, the M-RNTI (see TS 36.321 [6]), on PDCCH is used to inform UEs in RRC\_IDLE and UEs in RRC\_CONNECTED about an MCCH information change. When receiving an MCCH information change notification, the UE knows that the MCCH information will change at the next modification period boundary. The notification on PDCCH indicates which of the MCCHs will change, which is done by means of an 8-bit bitmap. Within this bitmap, the bit at the position indicated by the field *notificationIndicator* is used to indicate changes for that MBSFN area: if the bit is set to "1", the corresponding MCCH will change. No further details are provided e.g. regarding which MCCH information will change. The MCCH information change notification is used only to inform the UE about a change of MCCH information upon session start.

The MCCH information change notifications on PDCCH are transmitted periodically and are carried on MBSFN subframes only. These MCCH information change notification occasions are common for all MCCHs that are configured, and configurable by parameters included in *SystemInformationBlockType13*: a repetition coefficient, a radio frame offset and a subframe index. These common notification occasions are based on the MCCH with the shortest modification period.

**NOTE 1:** E-UTRAN may modify the MBMS configuration information provided on MCCH at the same time as updating the MBMS configuration information carried on BCCH i.e. at a coinciding BCCH and MCCH modification period. Upon detecting that a new MCCH is configured on BCCH, a UE interested to receive one or more MBMS services should acquire the MCCH, unless it knows that the services it is interested in are not provided by the corresponding MBSFN area.

A UE that is receiving an MBMS service shall acquire the MCCH information from the start of each modification period. A UE that is not receiving an MBMS service, as well as UEs that are receiving an MBMS service but potentially interested to receive other services not started yet in another MBSFN area, shall verify that the stored MCCH information remains valid by attempting to find the MCCH information change notification at least

*notificationRepetitionCoeff* times during the modification period of the applicable MCCH(s), if no MCCH information change notification is received.

NOTE 2: In case the UE is aware which MCCH(s) E-UTRAN uses for the service(s) it is interested to receive, the UE may only need to monitor change notifications for a subset of the MCCHs that are configured, referred to as the 'applicable MCCH(s)' in the above.

## 5.8.2 MCCH information acquisition

### 5.8.2.1 General



**Figure 5.8.2.1-1: MCCH information acquisition**

The UE applies the MCCH information acquisition procedure to acquire the MBMS control information that is broadcasted by the E-UTRAN. The procedure applies to MBMS capable UEs that are in RRC\_IDLE or in RRC\_CONNECTED.

### 5.8.2.2 Initiation

A UE interested to receive MBMS services shall apply the MCCH information acquisition procedure upon entering the corresponding MBSFN area (e.g. upon power on, following UE mobility) and upon receiving a notification that the MCCH information has changed. A UE that is receiving an MBMS service shall apply the MCCH information acquisition procedure to acquire the MCCH, that corresponds with the service that is being received, at the start of each modification period.

Unless explicitly stated otherwise in the procedural specification, the MCCH information acquisition procedure overwrites any stored MCCH information, i.e. delta configuration is not applicable for MCCH information and the UE discontinues using a field if it is absent in MCCH information unless explicitly specified otherwise.

### 5.8.2.3 MCCH information acquisition by the UE

An MBMS capable UE shall:

- 1> if the procedure is triggered by a MCCH information change notification:
  - 2> start acquiring the *MBSFNAreaConfiguration* message from the beginning of the modification period following the one in which the change notification was received;

NOTE 1: The UE continues using the previously received MCCH information until the new MCCH information has been acquired.

- 1> if the UE enters an MBSFN area:
  - 2> acquire the *MBSFNAreaConfiguration* message at the next repetition period;
- 1> if the UE is receiving an MBMS service:
  - 2> start acquiring the *MBSFNAreaConfiguration* message, that corresponds with the service that is being received, from the beginning of each modification period;

### 5.8.2.4 Actions upon reception of the *MBSFNAreaConfiguration* message

No UE requirements related to the contents of this *MBSFNAreaConfiguration* apply other than those specified elsewhere e.g. within procedures using the concerned system information, the corresponding field descriptions.

## 5.8.3 MBMS PTM radio bearer configuration

### 5.8.3.1 General

The MBMS PTM radio bearer configuration procedure is used by the UE to configure RLC, MAC and the physical layer upon starting and or stopping to receive a MRB. The procedure applies to UEs interested to receive one or more MBMS services.

NOTE: In case the UE is unable to receive an MBMS service due to capability limitations, upper layers may take appropriate action e.g. terminate a lower priority unicast service.

### 5.8.3.2 Initiation

The UE applies the MRB establishment procedure to start receiving a session of a service it has an interest in. The procedure may be initiated e.g. upon start of the MBMS session, upon (re-)entry of the corresponding MBSFN service area, upon becoming interested in the MBMS service, upon removal of UE capability limitations inhibiting reception of the concerned service.

The UE applies the MRB release procedure to stop receiving a session. The procedure may be initiated e.g. upon stop of the MBMS session, upon leaving the corresponding MBSFN service area, upon losing interest in the MBMS service, when capability limitations start inhibiting reception of the concerned service.

### 5.8.3.3 MRB establishment

Upon MRB establishment, the UE shall:

- 1> establish an RLC entity in accordance with the configuration specified in 9.1.1.4;
- 1> configure an MTCH logical channel in accordance with the received *logicalChannelIdentity*, applicable for the MRB, as included in the *MBSFNAreaConfiguration* message;
- 1> configure the physical layer in accordance with the *pmch-Config*, applicable for the MRB, as included in the *MBSFNAreaConfiguration* message;
- 1> inform upper layers about the establishment of the MRB by indicating the corresponding *tmgi* and *sessionId*;

### 5.8.3.4 MRB release

Upon MRB release, the UE shall:

- 1> release the RLC entity as well as the related MAC and physical layer configuration;
- 1> inform upper layers about the release of the MRB by indicating the corresponding *tmgi* and *sessionId*;

---

## 6 Protocol data units, formats and parameters (tabular & ASN.1)

### 6.1 General

The contents of each RRC message is specified in sub-clause 6.2 using ASN.1 to specify the message syntax and using tables when needed to provide further detailed information about the information elements specified in the message syntax. The syntax of the information elements that are defined as stand-alone abstract types is further specified in a similar manner in sub-clause 6.3.

The need for information elements to be present in a message or an abstract type, i.e., the ASN.1 fields that are specified as OPTIONAL in the abstract notation (ASN.1), is specified by means of comment text tags attached to the OPTIONAL statement in the abstract syntax. All comment text tags are available for use in the downlink direction only. The meaning of each tag is specified in table 6.1-1.

**Table 6.1-1: Meaning of abbreviations used to specify the need for information elements to be present**

Abbreviation	Meaning
Cond <i>conditionTag</i> (Used in downlink only)	<i>Conditionally present</i> An information element for which the need is specified by means of conditions. For each <i>conditionTag</i> , the need is specified in a tabular form following the ASN.1 segment. In case, according to the conditions, a field is not present, the UE takes no action and where applicable shall continue to use the existing value (and/ or the associated functionality) unless explicitly stated otherwise in the description of the field itself.
Need OP (Used in downlink only)	<i>Optionally present</i> An information element that is optional to signal. For downlink messages, the UE is not required to take any special action on absence of the IE beyond what is specified in the procedural text or the field description table following the ASN.1 segment. The UE behaviour on absence should be captured either in the procedural text or in the field description.
Need ON (Used in downlink only)	<i>Optionally present, No action</i> An information element that is optional to signal. If the message is received by the UE, and in case the information element is absent, the UE takes no action and where applicable shall continue to use the existing value (and/ or the associated functionality).
Need OR (Used in downlink only)	<i>Optionally present, Release</i> An information element that is optional to signal. If the message is received by the UE, and in case the information element is absent, the UE shall discontinue/ stop using/ delete any existing value (and/ or the associated functionality).

Any IE with Need ON in system information shall be interpreted as Need OR.

Need codes may not be specified for a group, used in downlink, which includes one or more extensions. Upon absence of such a field, the UE shall:

- For each individual extension, including extensions that are mandatory to include in the optional group, act in accordance with the need code that is defined for the extension;
- Apply this behaviour not only for extensions included directly within the optional field, but also for extensions defined at further nesting levels;

NOTE: The above applies for groups of non critical extensions using double brackets, as well as non-critical extensions at the end of a message or at the end of a structure contained in a BIT STRING or OCTET STRING.

## 6.2 RRC messages

NOTE: The messages included in this section reflect the current status of the discussions. Additional messages may be included at a later stage.

### 6.2.1 General message structure

#### – *EUTRA-RRC-Definitions*

This ASN.1 segment is the start of the E-UTRA RRC PDU definitions.

```
-- ASN1START
EUTRA-RRC-Definitions DEFINITIONS AUTOMATIC TAGS ::=
BEGIN
-- ASN1STOP
```

#### – *BCCH-BCH-Message*

The *BCCH-BCH-Message* class is the set of RRC messages that may be sent from the E-UTRAN to the UE via BCH on the BCCH logical channel.

```

-- ASN1START
BCCH-BCH-Message ::= SEQUENCE {
    message          BCCH-BCH-MessageType
}
BCCH-BCH-MessageType ::=
    MasterInformationBlock
-- ASN1STOP

```

### – *BCCH-DL-SCH-Message*

The *BCCH-DL-SCH-Message* class is the set of RRC messages that may be sent from the E-UTRAN to the UE via DL-SCH on the BCCH logical channel.

```

-- ASN1START
BCCH-DL-SCH-Message ::= SEQUENCE {
    message          BCCH-DL-SCH-MessageType
}
BCCH-DL-SCH-MessageType ::= CHOICE {
    c1              CHOICE {
        systemInformation          SystemInformation,
        systemInformationBlockType1 SystemInformationBlockType1
    },
    messageClassExtension SEQUENCE {}
}
-- ASN1STOP

```

### – *MCCH-Message*

The *MCCH-Message* class is the set of RRC messages that may be sent from the E-UTRAN to the UE on the MCCH logical channel.

```

-- ASN1START
MCCH-Message ::= SEQUENCE {
    message          MCCH-MessageType
}
MCCH-MessageType ::= CHOICE {
    c1              CHOICE {
        mbsfnAreaConfiguration-r9 MBSFNAreaConfiguration-r9
    },
    messageClassExtension SEQUENCE {}
}
-- ASN1STOP

```

### – *PCCH-Message*

The *PCCH-Message* class is the set of RRC messages that may be sent from the E-UTRAN to the UE on the PCCH logical channel.

```

-- ASN1START
PCCH-Message ::= SEQUENCE {
    message          PCCH-MessageType
}
PCCH-MessageType ::= CHOICE {
    c1              CHOICE {
        paging          Paging
    },
    messageClassExtension SEQUENCE {}
}

```

```
-- ASN1STOP
```

## – *DL-CCCH-Message*

The *DL-CCCH-Message* class is the set of RRC messages that may be sent from the E-UTRAN to the UE on the downlink CCCH logical channel.

```
-- ASN1START
DL-CCCH-Message ::= SEQUENCE {
    message          DL-CCCH-MessageType
}
DL-CCCH-MessageType ::= CHOICE {
    c1              CHOICE {
        rrcConnectionReestablishment          RRCConnectionReestablishment,
        rrcConnectionReestablishmentReject    RRCConnectionReestablishmentReject,
        rrcConnectionReject                  RRCConnectionReject,
        rrcConnectionSetup                   RRCConnectionSetup
    },
    messageClassExtension SEQUENCE {}
}
-- ASN1STOP
```

## – *DL-DCCH-Message*

The *DL-DCCH-Message* class is the set of RRC messages that may be sent from the E-UTRAN to the UE on the downlink DCCH logical channel.

```
-- ASN1START
DL-DCCH-Message ::= SEQUENCE {
    message          DL-DCCH-MessageType
}
DL-DCCH-MessageType ::= CHOICE {
    c1              CHOICE {
        csfbParametersResponseCDMA2000      CSFBParametersResponseCDMA2000,
        dlInformationTransfer                DLInformationTransfer,
        handoverFromEUTRAPreparationRequest  HandoverFromEUTRAPreparationRequest,
        mobilityFromEUTRACommand            MobilityFromEUTRACommand,
        rrcConnectionReconfiguration        RRCConnectionReconfiguration,
        rrcConnectionRelease                RRCConnectionRelease,
        securityModeCommand                 SecurityModeCommand,
        ueCapabilityEnquiry                 UECapabilityEnquiry,
        counterCheck                         CounterCheck,
        ueInformationRequest-r9             UEInformationRequest-r9,
        spare6 NULL, spare5 NULL, spare4 NULL,
        spare3 NULL, spare2 NULL, spare1 NULL
    },
    messageClassExtension SEQUENCE {}
}
-- ASN1STOP
```

## – *UL-CCCH-Message*

The *UL-CCCH-Message* class is the set of RRC messages that may be sent from the UE to the E-UTRAN on the uplink CCCH logical channel.

```
-- ASN1START
UL-CCCH-Message ::= SEQUENCE {
    message          UL-CCCH-MessageType
}
UL-CCCH-MessageType ::= CHOICE {
    c1              CHOICE {
```

```

rrcConnectionReestablishmentRequest  RRCConnectionReestablishmentRequest,
rrcConnectionRequest                  RRCConnectionRequest
},
messageClassExtension SEQUENCE {}
}
-- ASN1STOP

```

## – *UL-DCCH-Message*

The *UL-DCCH-Message* class is the set of RRC messages that may be sent from the UE to the E-UTRAN on the uplink DCCH logical channel.

```

-- ASN1START
UL-DCCH-Message ::= SEQUENCE {
  message          UL-DCCH-MessageType
}
UL-DCCH-MessageType ::= CHOICE {
  c1              CHOICE {
    csfbParametersRequestCDMA2000      CSFBParametersRequestCDMA2000,
    measurementReport                  MeasurementReport,
    rrcConnectionReconfigurationComplete RRCConnectionReconfigurationComplete,
    rrcConnectionReestablishmentComplete RRCConnectionReestablishmentComplete,
    rrcConnectionSetupComplete         RRCConnectionSetupComplete,
    securityModeComplete                SecurityModeComplete,
    securityModeFailure                 SecurityModeFailure,
    ueCapabilityInformation             UECapabilityInformation,
    ulHandoverPreparationTransfer       ULHandoverPreparationTransfer,
    ulInformationTransfer                ULInformationTransfer,
    counterCheckResponse                 CounterCheckResponse,
    ueInformationResponse-r9            UEInformationResponse-r9,
    proximityIndication-r9               ProximityIndication-r9,
    spare3 NULL, spare2 NULL, spare1 NULL
  },
  messageClassExtension SEQUENCE {}
}
-- ASN1STOP

```

## 6.2.2 Message definitions

### – *CounterCheck*

The *CounterCheck* message is used by the E-UTRAN to indicate the current COUNT MSB values associated to each DRB and to request the UE to compare these to its COUNT MSB values and to report the comparison results to E-UTRAN.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to UE

#### ***CounterCheck message***

```

-- ASN1START
CounterCheck ::= SEQUENCE {
  rrc-TransactionIdentifier      RRC-TransactionIdentifier,
  criticalExtensions             CHOICE {
    c1                           CHOICE {
      counterCheck-r8            CounterCheck-r8-IEs,
      spare3 NULL, spare2 NULL, spare1 NULL
    },
  criticalExtensionsFuture       SEQUENCE {}
}

```

```

}
}
CounterCheck-r8-IEs ::= SEQUENCE {
    drb-CountMSB-InfoList          DRB-CountMSB-InfoList,
    nonCriticalExtension            CounterCheck-v8a0-IEs          OPTIONAL
}
CounterCheck-v8a0-IEs ::= SEQUENCE {
    lateNonCriticalExtension        OCTET STRING          OPTIONAL, -- Need OP
    nonCriticalExtension            SEQUENCE {}            OPTIONAL  -- Need OP
}
DRB-CountMSB-InfoList ::= SEQUENCE (SIZE (1..maxDRB)) OF DRB-CountMSB-Info
DRB-CountMSB-Info ::= SEQUENCE {
    drb-Identity                    DRB-Identity,
    countMSB-Uplink                 INTEGER(0..33554431),
    countMSB-Downlink               INTEGER(0..33554431)
}
-- ASN1STOP

```

#### **CounterCheck field descriptions**

<b>drb-CountMSB-InfoList</b>
Indicates the MSBs of the COUNT values of the DRBs.
<b>count-MSB-Uplink</b>
Indicates the value of 25 MSBs from uplink COUNT associated to this DRB.
<b>count-MSB-Downlink</b>
Indicates the value of 25 MSBs from downlink COUNT associated to this DRB.

### CounterCheckResponse

The *CounterCheckResponse* message is used by the UE to respond to a *CounterCheck* message.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

#### **CounterCheckResponse message**

```

-- ASN1START
CounterCheckResponse ::= SEQUENCE {
    rrc-TransactionIdentifier      RRC-TransactionIdentifier,
    criticalExtensions              CHOICE {
        counterCheckResponse-r8    CounterCheckResponse-r8-IEs,
        criticalExtensionsFuture    SEQUENCE {}
    }
}
CounterCheckResponse-r8-IEs ::= SEQUENCE {
    drb-CountInfoList              DRB-CountInfoList,
    nonCriticalExtension            CounterCheckResponse-v8a0-IEs
    OPTIONAL
}
CounterCheckResponse-v8a0-IEs ::= SEQUENCE {
    lateNonCriticalExtension        OCTET STRING          OPTIONAL,
    nonCriticalExtension            SEQUENCE {}            OPTIONAL
}
DRB-CountInfoList ::= SEQUENCE (SIZE (0..maxDRB)) OF DRB-CountInfo
DRB-CountInfo ::= SEQUENCE {
    drb-Identity                    DRB-Identity,
    count-Uplink                    INTEGER(0..4294967295),
}

```

```

count-Downlink          INTEGER (0..4294967295)
}
-- ASN1STOP

```

#### **CounterCheckResponse field descriptions**

<b>drb-CountInfoList</b> Indicates the COUNT values of the DRBs.
<b>count-Uplink</b> Indicates the value of uplink COUNT associated to this DRB.
<b>count-Downlink</b> Indicates the value of downlink COUNT associated to this DRB.

### — **CSFBParametersRequestCDMA2000**

The *CSFBParametersRequestCDMA2000* message is used by the UE to obtain the CDMA2000 1xRTT Parameters from the network. The UE needs these parameters to generate the CDMA2000 1xRTT Registration message used to register with the CDMA2000 1xRTT Network which is required to support CSFB to CDMA2000 1xRTT.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

#### **CSFBParametersRequestCDMA2000 message**

```

-- ASN1START
CSFBParametersRequestCDMA2000 ::= SEQUENCE {
    criticalExtensions          CHOICE {
        csfbParametersRequestCDMA2000-r8    CSFBParametersRequestCDMA2000-r8-IEs,
        criticalExtensionsFuture            SEQUENCE {}
    }
}
CSFBParametersRequestCDMA2000-r8-IEs ::= SEQUENCE {
    nonCriticalExtension        CSFBParametersRequestCDMA2000-v8a0-IEs
    OPTIONAL
}
CSFBParametersRequestCDMA2000-v8a0-IEs ::= SEQUENCE {
    lateNonCriticalExtension    OCTET STRING          OPTIONAL,
    nonCriticalExtension        SEQUENCE {}           OPTIONAL
}
-- ASN1STOP

```

### — **CSFBParametersResponseCDMA2000**

The *CSFBParametersResponseCDMA2000* message is used to provide the CDMA2000 1xRTT Parameters to the UE so the UE can register with the CDMA2000 1xRTT Network to support CSFB to CDMA2000 1xRTT.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to UE

#### **CSFBParametersResponseCDMA2000 message**

```

-- ASN1START

```

```

CSFBParametersResponseCDMA2000 ::= SEQUENCE {
    rrc-TransactionIdentifier      RRC-TransactionIdentifier,
    criticalExtensions              CHOICE {
        csfbParametersResponseCDMA2000-r8      CSFBParametersResponseCDMA2000-r8-IEs,
        criticalExtensionsFuture                SEQUENCE {}
    }
}

CSFBParametersResponseCDMA2000-r8-IEs ::= SEQUENCE {
    rand                          RAND-CDMA2000,
    mobilityParameters            MobilityParametersCDMA2000,
    nonCriticalExtension          CSFBParametersResponseCDMA2000-v8a0-IEs
    OPTIONAL
}

CSFBParametersResponseCDMA2000-v8a0-IEs ::= SEQUENCE {
    lateNonCriticalExtension      OCTET STRING          OPTIONAL, -- Need OP
    nonCriticalExtension          SEQUENCE {}             OPTIONAL  -- Need OP
}

-- ASN1STOP

```

## – *DLInformationTransfer*

The *DLInformationTransfer* message is used for the downlink transfer of dedicated NAS information.

Signalling radio bearer: SRB2 or SRB1 (only if SRB2 not established yet. If SRB2 is suspended, E-UTRAN does not send this message until SRB2 is resumed.)

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to UE

### *DLInformationTransfer message*

```

-- ASN1START

DLInformationTransfer ::= SEQUENCE {
    rrc-TransactionIdentifier      RRC-TransactionIdentifier,
    criticalExtensions              CHOICE {
        c1                          CHOICE {
            dlInformationTransfer-r8      DLInformationTransfer-r8-IEs,
            spare3 NULL, spare2 NULL, spare1 NULL
        },
        criticalExtensionsFuture        SEQUENCE {}
    }
}

DLInformationTransfer-r8-IEs ::= SEQUENCE {
    dedicatedInfoType              CHOICE {
        dedicatedInfoNAS              DedicatedInfoNAS,
        dedicatedInfoCDMA2000-1XRTT    DedicatedInfoCDMA2000,
        dedicatedInfoCDMA2000-HRPD     DedicatedInfoCDMA2000
    },
    nonCriticalExtension            DLInformationTransfer-v8a0-IEs          OPTIONAL
}

DLInformationTransfer-v8a0-IEs ::= SEQUENCE {
    lateNonCriticalExtension        OCTET STRING          OPTIONAL, -- Need OP
    nonCriticalExtension            SEQUENCE {}           OPTIONAL  -- Need OP
}

-- ASN1STOP

```

## – *HandoverFromEUTRAPreparationRequest (CDMA2000)*

The *HandoverFromEUTRAPreparationRequest* message is used to trigger the handover preparation procedure with a CDMA2000 RAT. This message is also used to trigger a tunneled preparation procedure with a CDMA2000 1xRTT

RAT to obtain traffic channel resources for the enhanced CS fallback to CDMA2000 1xRTT, which may also involve a concurrent preparation for handover to CDMA2000 HRPD.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to UE

### ***HandoverFromEUTRAPreparationRequest message***

```
-- ASN1START
HandoverFromEUTRAPreparationRequest ::= SEQUENCE {
    rrc-TransactionIdentifier      RRC-TransactionIdentifier,
    criticalExtensions             CHOICE {
        c1                        CHOICE {
            handoverFromEUTRAPreparationRequest-r8
            spare3 NULL, spare2 NULL, spare1 NULL
        },
        criticalExtensionsFuture   SEQUENCE {}
    }
}

HandoverFromEUTRAPreparationRequest-r8-IEs ::= SEQUENCE {
    cdma2000-Type                 CDMA2000-Type,
    rand                          RAND-CDMA2000                OPTIONAL, -- Cond cdma2000-Type
    mobilityParameters            MobilityParametersCDMA2000    OPTIONAL, -- Cond cdma2000-Type
    nonCriticalExtension           HandoverFromEUTRAPreparationRequest-v890-IEs    OPTIONAL
}

HandoverFromEUTRAPreparationRequest-v890-IEs ::= SEQUENCE {
    lateNonCriticalExtension       OCTET STRING                OPTIONAL, -- Need OP
    nonCriticalExtension           HandoverFromEUTRAPreparationRequest-v920-IEs    OPTIONAL
}

HandoverFromEUTRAPreparationRequest-v920-IEs ::= SEQUENCE {
    concurrPrepCDMA2000-HRPD-r9   BOOLEAN                OPTIONAL, -- Cond cdma2000-Type
    nonCriticalExtension           SEQUENCE {}                OPTIONAL -- Need OP
}
-- ASN1STOP
```

#### ***HandoverFromEUTRAPreparationRequest field descriptions***

##### ***concurrPrepCDMA2000-HRPD***

Value TRUE indicates that upper layers should initiate concurrent preparation for handover to CDMA2000 HRPD in addition to preparation for enhanced CS fallback to CDMA2000 1xRTT.

<b>Conditional presence</b>	<b>Explanation</b>
<i>cdma2000-Type</i>	The field is mandatory present if the <i>cdma2000-Type = type1XRTT</i> ; otherwise it is not present.

#### – ***MasterInformationBlock***

The *MasterInformationBlock* includes the system information transmitted on BCH.

Signalling radio bearer: N/A

RLC-SAP: TM

Logical channel: BCCH

Direction: E-UTRAN to UE

### MasterInformationBlock

```
-- ASN1START
MasterInformationBlock ::= SEQUENCE {
    dl-Bandwidth           ENUMERATED {
                           n6, n15, n25, n50, n75, n100},
    phich-Config          PHICH-Config,
    systemFrameNumber     BIT STRING (SIZE (8)),
    spare                 BIT STRING (SIZE (10))
}
-- ASN1STOP
```

### MasterInformationBlock field descriptions

#### dl-Bandwidth

Parameter: transmission bandwidth configuration,  $N_{RB}$  in downlink, see TS 36.101 [42, table 5.6-1]. n6 corresponds to 6 resource blocks, n15 to 15 resource blocks and so on.

#### systemFrameNumber

Defines the 8 most significant bits of the SFN. As indicated in TS 36.211 [21, 6.6.1], the 2 least significant bits of the SFN are acquired implicitly in the P-BCH decoding, i.e. timing of 40ms P-BCH TTI indicates 2 least significant bits (within 40ms P-BCH TTI, the first radio frame: 00, the second radio frame: 01, the third radio frame: 10, the last radio frame: 11).

### MBSFNAreaConfiguration

The *MBSFNAreaConfiguration* message contains the MBMS control information applicable for an MBSFN area. E-UTRAN configures an MCCH for each MBSFN area i.e. the MCCH identifies the MBSFN area.

Signalling radio bearer: N/A

RLC-SAP: UM

Logical channel: MCCH

Direction: E-UTRAN to UE

### MBSFNAreaConfiguration message

```
-- ASN1START
MBSFNAreaConfiguration-r9 ::= SEQUENCE {
    commonSF-Alloc-r9      CommonSF-AllocPatternList-r9,
    commonSF-AllocPeriod-r9 ENUMERATED {
                               rf4, rf8, rf16, rf32, rf64, rf128, rf256},
    pmch-InfoList-r9      PMCH-InfoList-r9,
    nonCriticalExtension   MBSFNAreaConfiguration-v930-IEs OPTIONAL
}
MBSFNAreaConfiguration-v930-IEs ::= SEQUENCE {
    lateNonCriticalExtension OCTET STRING OPTIONAL, -- Need OP
    nonCriticalExtension     SEQUENCE {} OPTIONAL -- Need OP
}
CommonSF-AllocPatternList-r9 ::= SEQUENCE (SIZE (1..maxMBSFN-Allocations)) OF MBSFN-SubframeConfig
-- ASN1STOP
```

**MBSFNAreaConfiguration field descriptions****commonSF-Alloc**

Indicates the subframes allocated to the MBSFN area

**commonSF-AllocPeriod**

Indicates the period during which resources corresponding with field *commonSF-Alloc* are divided between the (P)MCH that are configured for this MBSFN area. The subframe allocation patterns, as defined by *commonSF-Alloc*, repeat continuously during this period. Value *rf4* corresponds to 4 radio frames, *rf8* corresponds to 8 radio frames and so on. The *commonSF-AllocPeriod* starts in the radio frames for which:  $SFN \bmod commonSF-AllocPeriod = 0$ .

– **MeasurementReport**

The *MeasurementReport* message is used for the indication of measurement results.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

**MeasurementReport message**

```
-- ASN1START
MeasurementReport ::=
    criticalExtensions
        c1
            measurementReport-r8
                spare7 NULL,
                spare6 NULL, spare5 NULL, spare4 NULL,
                spare3 NULL, spare2 NULL, spare1 NULL
            },
        criticalExtensionsFuture
            SEQUENCE {}
    }
MeasurementReport-r8-IEs ::=
    SEQUENCE {
        measResults
            MeasResults,
        nonCriticalExtension
            MeasurementReport-v8a0-IEs
    }
MeasurementReport-v8a0-IEs ::= SEQUENCE {
    lateNonCriticalExtension
        OCTET STRING
    nonCriticalExtension
        SEQUENCE {}
}
-- ASN1STOP
```

– **MobilityFromEUTRACommand**

The *MobilityFromEUTRACommand* message is used to command handover or a cell change from E-UTRA to another RAT (3GPP or non-3GPP), or enhanced CS fallback to CDMA2000 1xRTT.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to UE

**MobilityFromEUTRACommand message**

```
-- ASN1START
MobilityFromEUTRACommand ::=
    rrc-TransactionIdentifier
        RRC-TransactionIdentifier,
```

```

criticalExtensions CHOICE {
  c1 CHOICE {
    mobilityFromEUTRACCommand-r8 MobilityFromEUTRACCommand-r8-IEs,
    mobilityFromEUTRACCommand-r9 MobilityFromEUTRACCommand-r9-IEs,
    spare2 NULL, spare1 NULL
  },
  criticalExtensionsFuture SEQUENCE {}
}
}

MobilityFromEUTRACCommand-r8-IEs ::= SEQUENCE {
  cs-FallbackIndicator BOOLEAN,
  purpose CHOICE {
    handover Handover,
    cellChangeOrder CellChangeOrder
  },
  nonCriticalExtension MobilityFromEUTRACCommand-v8a0-IEs
OPTIONAL
}

MobilityFromEUTRACCommand-v8a0-IEs ::= SEQUENCE {
  lateNonCriticalExtension OCTET STRING OPTIONAL, -- Need OP
  nonCriticalExtension MobilityFromEUTRACCommand-v8d0-IEs OPTIONAL
}

MobilityFromEUTRACCommand-v8d0-IEs ::= SEQUENCE {
  bandIndicator BandIndicatorGERAN OPTIONAL, -- Cond GERAN
  nonCriticalExtension SEQUENCE {} OPTIONAL -- Need OP
}

MobilityFromEUTRACCommand-r9-IEs ::= SEQUENCE {
  cs-FallbackIndicator BOOLEAN,
  purpose CHOICE {
    handover Handover,
    cellChangeOrder CellChangeOrder,
    e-CSFB-r9 E-CSFB-r9,
    ...
  },
  nonCriticalExtension MobilityFromEUTRACCommand-v930-IEs
OPTIONAL
}

MobilityFromEUTRACCommand-v930-IEs ::= SEQUENCE {
  lateNonCriticalExtension OCTET STRING OPTIONAL, -- Need OP
  nonCriticalExtension MobilityFromEUTRACCommand-v960-IEs OPTIONAL
}

MobilityFromEUTRACCommand-v960-IEs ::= SEQUENCE {
  bandIndicator BandIndicatorGERAN OPTIONAL, -- Cond GERAN
  nonCriticalExtension SEQUENCE {} OPTIONAL -- Need OP
}

Handover ::= SEQUENCE {
  targetRAT-Type ENUMERATED {
    ultra, geran, cdma2000-1XRTT, cdma2000-HRPD,
    spare4, spare3, spare2, spare1, ...},
  targetRAT-MessageContainer OCTET STRING,
  nas-SecurityParamFromEUTRA OCTET STRING (SIZE (1)) OPTIONAL, -- Cond UTRAGERAN
  systemInformation SI-OrPSI-GERAN OPTIONAL -- Cond PSHO
}

CellChangeOrder ::= SEQUENCE {
  t304 ENUMERATED {
    ms100, ms200, ms500, ms1000,
    ms2000, ms4000, ms8000, spare1},
  targetRAT-Type CHOICE {
    geran SEQUENCE {
      physCellId PhysCellIdGERAN,
      carrierFreq CarrierFreqGERAN,
      networkControlOrder BIT STRING (SIZE (2)) OPTIONAL, -- Need OP
      systemInformation SI-OrPSI-GERAN OPTIONAL -- Need OP
    },
    ...
  }
}

SI-OrPSI-GERAN ::= CHOICE {
  si SystemInfoListGERAN,

```

```

psi                               SystemInfoListGERAN
}
E-CSFB-r9 ::=                      SEQUENCE {
  messageContCDMA2000-1XRTT-r9    OCTET STRING          OPTIONAL,  -- Need ON
  mobilityCDMA2000-HRPD-r9        ENUMERATED {
                                     handover, redirection
                                   }          OPTIONAL,  -- Need OP
  messageContCDMA2000-HRPD-r9    OCTET STRING          OPTIONAL,  -- Cond concHO
  redirectCarrierCDMA2000-HRPD-r9 CarrierFreqCDMA2000 OPTIONAL  -- Cond concRedir
}
-- ASN1STOP

```

#### **MobilityFromEUTRACommand field descriptions**

##### **t304**

Timer T304 as described in section 7.3. Value ms100 corresponds with 100 ms, ms200 corresponds with 200 ms and so on.

##### **cs-FallbackIndicator**

Value 'true' indicates that the CS Fallback procedure to UTRAN or GERAN is triggered.

##### **purpose**

Indicates which type of mobility procedure the UE is requested to perform. EUTRAN always applies value e-CSFB in case of enhanced CS fallback to CDMA2000 (e.g. also when that procedure results in handover to CDMA2000 1XRTT only, in handover to CDMA2000 HRPD only or in redirection to CDMA2000 HRPD only),

##### **bandIndicator**

Indicates how to interpret the ARFCN of the BCCH carrier.

##### **targetRAT-Type**

Indicates the target RAT type.

##### **targetRAT-MessageContainer**

The field contains a message specified in another standard, as indicated by the *targetRAT-Type*, and carries information about the target cell identifier(s) and radio parameters relevant for the target radio access technology. NOTE 1.

A complete message is included, as specified in the other standard.

##### **nas-SecurityParamFromEUTRA**

Used to deliver the key synchronisation and Key freshness for the E-UTRAN to UTRAN handovers as specified in TS 33.401. The content of the parameter is defined in TS24.301.

##### **carrierFreq**

contains the carrier frequency of the target GERAN cell.

##### **networkControlOrder**

Parameter NETWORK\_CONTROL\_ORDER in TS 44.060 [36].

##### **SystemInfoListGERAN**

If *purpose* = 'CellChangeOrder' and if the field is not present, the UE has to acquire SI/PSI from the GERAN cell.

##### **mobilityCDMA2000-HRPD**

This field indicates whether or not mobility to CDMA2000 HRPD is to be performed by the UE and it also indicates the type of mobility to CDMA2000 HRPD that is to be performed; If this field is not present the UE shall perform only the enhanced CS fallback to CDMA2000 1xRTT.

##### **messageContCDMA2000-1XRTT**

This field contains a message specified in CDMA2000 1xRTT standard that either tells the UE to move to specific 1xRTT target cell(s) or indicates a failure to allocate resources for the enhanced CS fallback to CDMA2000 1xRTT.

##### **messageContCDMA2000-HRPD**

This field contains a message specified in CDMA2000 HRPD standard that either tells the UE to move to specific HRPD target cell(s) or indicates a failure to allocate resources for the handover to CDMA2000 HRPD.

##### **redirectCarrierCDMA2000-HRPD**

The *redirectCarrierCDMA2000-HRPD* indicates a CDMA2000 carrier frequency and is used to redirect the UE to a HRPD carrier frequency.

Conditional presence	Explanation
UTRAGERAN	The field is mandatory present if the <i>targetRAT-Type</i> is set to "utra" or "geran"; otherwise the field is not present
GERAN	The field should be present if the <i>purpose</i> is set to "handover" and the <i>targetRAT-Type</i> is set to "geran"; otherwise the field is not present
PSHO	The field is mandatory present in case of PS handover toward GERAN; otherwise the field is optionally present, but not used by the UE
concRedir	The field is mandatory present if the <i>mobilityCDMA2000-HRPD</i> is set to "redirection"; otherwise the field is not present.
concho	The field is mandatory present if the <i>mobilityCDMA2000-HRPD</i> is set to "handover"; otherwise the field is optional present, need ON.

NOTE 1: The correspondence between the value of the *targetRAT-Type*, the standard to apply and the message contained within the *targetRAT-MessageContainer* is shown in the table below:

targetRAT-Type	Standard to apply	targetRAT-MessageContainer
geran	GSM TS 04.18, version 8.5.0 or later, or 3GPP TS 44.018 (clause 9.1.15)	HANDOVER COMMAND
	3GPP TS 44.060, version 6.13.0 or later (clause 11.2.43)	PS HANDOVER COMMAND
	3GPP TS 44.060, version 7.6.0 or later (clause 11.2.46)	DTM HANDOVER COMMAND
cdma2000-1XRTT	C.S0001 or later, C.S0007 or later, C.S0008 or later	
cdma2000-HRPD	C.S0024 or later	
utra	3GPP TS 25.331 (clause 10.2.16a)	HANDOVER TO UTRAN COMMAND

## Paging

The *Paging* message is used for the notification of one or more UEs.

Signalling radio bearer: N/A

RLC-SAP: TM

Logical channel: PCCH

Direction: E-UTRAN to UE

### Paging message

```
-- ASN1START
Paging ::=
    SEQUENCE {
        pagingRecordList          PagingRecordList          OPTIONAL, -- Need ON
        systemInfoModification    ENUMERATED {true}          OPTIONAL, -- Need ON
        etws-Indication            ENUMERATED {true}          OPTIONAL, -- Need ON
        nonCriticalExtension       Paging-v890-IEs              OPTIONAL
    }
Paging-v890-IEs ::=
    SEQUENCE {
        lateNonCriticalExtension   OCTET STRING              OPTIONAL, -- Need OP
        nonCriticalExtension       Paging-v920-IEs              OPTIONAL
    }
Paging-v920-IEs ::=
    SEQUENCE {
        cmas-Indication-r9        ENUMERATED {true}          OPTIONAL, -- Need ON
        nonCriticalExtension       SEQUENCE {}                OPTIONAL, -- Need OP
    }
PagingRecordList ::=
    SEQUENCE (SIZE (1..maxPageRec)) OF PagingRecord
PagingRecord ::=
    SEQUENCE {
        ue-Identity               PagingUE-Identity,
        cn-Domain                 ENUMERATED {ps, cs},
        ...
    }
-- ASN1END
```

```

PagingUE-Identity ::= CHOICE {
    s-TMSI          S-TMSI,
    imsi           IMSI,
    ...
}

IMSI ::= SEQUENCE (SIZE (6..21)) OF IMSI-Digit

IMSI-Digit ::= INTEGER (0..9)

-- ASN1STOP

```

#### **Paging field descriptions**

<b>cn-Domain</b>
Indicates the origin of paging.
<b>ue-Identity</b>
Provides the NAS identity of the UE that is being paged.
<b>systemInfoModification</b>
If present: indication of a BCCH modification other than SIB10, SIB11 and SIB12.
<b>etws-Indication</b>
If present: indication of an ETWS primary notification and/ or ETWS secondary notification.
<b>cmnas-Indication</b>
If present: indication of a CMAS notification.
<b>imsi</b>
The International Mobile Subscriber Identity, a globally unique permanent subscriber identity, see TS 23.003 [27]. The first element contains the first IMSI digit, the second element contains the second IMSI digit and so on.

### *ProximityIndication*

The *ProximityIndication* message is used to indicate that the UE is entering or leaving the proximity of one or more CSG member cell(s).

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

#### **ProximityIndication message**

```

-- ASN1START

ProximityIndication-r9 ::= SEQUENCE {
    criticalExtensions          CHOICE {
        c1                      CHOICE {
            proximityIndication-r9          ProximityIndication-r9-IEs,
            spare3 NULL, spare2 NULL, spare1 NULL
        },
        criticalExtensionsFuture          SEQUENCE {}
    }
}

ProximityIndication-r9-IEs ::= SEQUENCE {
    type-r9                      ENUMERATED {entering, leaving},
    carrierFreq-r9              CHOICE {
        eutra-r9                  ARFCN-ValueEUTRA,
        utra-r9                    ARFCN-ValueUTRA,
        ...
    },
    nonCriticalExtension          ProximityIndication-v930-IEs
    OPTIONAL
}

ProximityIndication-v930-IEs ::= SEQUENCE {
    lateNonCriticalExtension      OCTET STRING          OPTIONAL,
    nonCriticalExtension          SEQUENCE {}            OPTIONAL
}

```

```
-- ASN1STOP
```

### ProximityIndication field descriptions

<b>type</b>	Used to indicate whether the UE is entering or leaving the proximity of CSG member cell(s).
<b>carrierFreq</b>	Indicates the RAT and frequency of the CSG member cell(s) for which the proximity indication is sent.

## – RRCConnectionReconfiguration

The *RRCConnectionReconfiguration* message is the command to modify an RRC connection. It may convey information for measurement configuration, mobility control, radio resource configuration (including RBs, MAC main configuration and physical channel configuration) including any associated dedicated NAS information and security configuration.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to UE

### RRCConnectionReconfiguration message

```
-- ASN1START

RRCConnectionReconfiguration ::= SEQUENCE {
    rrc-TransactionIdentifier      RRC-TransactionIdentifier,
    criticalExtensions             CHOICE {
        c1                        CHOICE {
            rrcConnectionReconfiguration-r8      RRCConnectionReconfiguration-r8-IEs,
            spare7 NULL,
            spare6 NULL, spare5 NULL, spare4 NULL,
            spare3 NULL, spare2 NULL, spare1 NULL
        },
        criticalExtensionsFuture      SEQUENCE {}
    }
}

RRCConnectionReconfiguration-r8-IEs ::= SEQUENCE {
    measConfig                      MeasConfig                      OPTIONAL, -- Need ON
    mobilityControlInfo             MobilityControlInfo        OPTIONAL, -- Cond HO
    dedicatedInfoNASList            SEQUENCE (SIZE(1..maxDRB)) OF
        DedicatedInfoNAS          OPTIONAL, -- Cond nonHO
    radioResourceConfigDedicated    RadioResourceConfigDedicated OPTIONAL, -- Cond HO-toEUTRA
    securityConfigHO                SecurityConfigHO          OPTIONAL, -- Cond HO
    nonCriticalExtension            RRCConnectionReconfiguration-v890-IEs OPTIONAL
}

RRCConnectionReconfiguration-v890-IEs ::= SEQUENCE {
    lateNonCriticalExtension         OCTET STRING              OPTIONAL, -- Need OP
    nonCriticalExtension             RRCConnectionReconfiguration-v920-IEs OPTIONAL
}

RRCConnectionReconfiguration-v920-IEs ::= SEQUENCE {
    otherConfig-r9                  OtherConfig-r9            OPTIONAL, -- Need ON
    fullConfig-r9                   ENUMERATED {true}        OPTIONAL, -- Cond HO-
    Reestab                         SEQUENCE {}              OPTIONAL -- Need OP
}

SecurityConfigHO ::= SEQUENCE {
    handoverType                    CHOICE {
        intraLTE                    SEQUENCE {
            securityAlgorithmConfig  SecurityAlgorithmConfig  OPTIONAL, -- Cond
        }
    }
    fullConfig                       SEQUENCE {
        keyChangeIndicator          BOOLEAN,
        nextHopChainingCount        NextHopChainingCount
    },
}
```

```

interRAT
  securityAlgorithmConfig
  nas-SecurityParamToEUTRA
}
},
...
}

-- ASN1STOP

```

#### ***RRCConnectionReconfiguration* field descriptions**

<b><i>dedicatedInfoNASList</i></b>	This field is used to transfer UE specific NAS layer information between the network and the UE. The RRC layer is transparent for each PDU in the list.
<b><i>nas-securityParamToEUTRA</i></b>	This field is used to transfer UE specific NAS layer information between the network and the UE. The RRC layer is transparent for this field, although it affects activation of AS- security after inter-RAT handover to E-UTRA. The content is defined in TS 24.301.
<b><i>keyChangeIndicator</i></b>	'true' is used only in an intra-cell handover when a $K_{eNB}$ key is derived from a native $K_{ASME}$ key taken into use through the successful NAS SMC, as described in TS 33.401 [32] for $K_{eNB}$ re-keying. 'false' is used in an intra-LTE handover when the new $K_{eNB}$ key is obtained from the current $K_{eNB}$ key or from the NH as described in TS 33.401 [32].
<b><i>nextHopChainingCount</i></b>	Parameter NCC: See TS 33.401 [32]
<b><i>fullConfig</i></b>	Indicates the full configuration option is applicable for the RRC Connection Reconfiguration message.

<b>Conditional presence</b>	<b>Explanation</b>
<i>HO</i>	The field is mandatory present in case of handover within E-UTRA or to E-UTRA; otherwise the field is not present.
<i>nonHO</i>	The field is not present in case of handover within E-UTRA or to E-UTRA; otherwise it is optional present, need ON.
<i>HO-toEUTRA</i>	The field is mandatory present in case of handover to E-UTRA or for reconfigurations when <i>fullConfig</i> is included; otherwise the field is optionally present, need ON.
<i>HO-Reestab</i>	This field is optionally present, need ON, in case of handover within E-UTRA or upon the first reconfiguration after RRC connection re-establishment; otherwise the field is not present.
<i>fullConfig</i>	This field is mandatory present for handover within E-UTRA when the <i>fullConfig</i> is included; otherwise it is optionally present, Need OP.

### – ***RRCConnectionReconfigurationComplete***

The *RRCConnectionReconfigurationComplete* message is used to confirm the successful completion of an RRC connection reconfiguration.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

#### ***RRCConnectionReconfigurationComplete* message**

```

-- ASN1START
RRCConnectionReconfigurationComplete ::= SEQUENCE {
  rrc-TransactionIdentifier      RRC-TransactionIdentifier,
  criticalExtensions             CHOICE {
    rrcConnectionReconfigurationComplete-r8
    RRCConnectionReconfigurationComplete-r8-IEs,
  }
  criticalExtensionsFuture      SEQUENCE {}
}

```

```

}
}
RRCConnectionReconfigurationComplete-r8-IEs ::= SEQUENCE {
    nonCriticalExtension          RRCConnectionReconfigurationComplete-v8a0-IEs
                                OPTIONAL
}
RRCConnectionReconfigurationComplete-v8a0-IEs ::= SEQUENCE {
    lateNonCriticalExtension      OCTET STRING                                OPTIONAL,
    nonCriticalExtension          SEQUENCE {}                                OPTIONAL
}
-- ASN1STOP

```

### – *RRCConnectionReestablishment*

The *RRCConnectionReestablishment* message is used to resolve contention and to re-establish SRB1.

Signalling radio bearer: SRB0

RLC-SAP: TM

Logical channel: CCCH

Direction: E-UTRAN to UE

#### ***RRCConnectionReestablishment message***

```

-- ASN1START
RRCConnectionReestablishment ::= SEQUENCE {
    rrc-TransactionIdentifier      RRC-TransactionIdentifier,
    criticalExtensions             CHOICE {
        c1                         CHOICE {
            rrcConnectionReestablishment-r8      RRCConnectionReestablishment-r8-IEs,
            spare7 NULL,
            spare6 NULL, spare5 NULL, spare4      NULL,
            spare3 NULL, spare2 NULL, spare1      NULL
        },
        criticalExtensionsFuture      SEQUENCE {}
    }
}
RRCConnectionReestablishment-r8-IEs ::= SEQUENCE {
    radioResourceConfigDedicated    RadioResourceConfigDedicated,
    nextHopChainingCount            NextHopChainingCount,
    nonCriticalExtension            RRCConnectionReestablishment-v8a0-IEs
    OPTIONAL
}
RRCConnectionReestablishment-v8a0-IEs ::= SEQUENCE {
    lateNonCriticalExtension          OCTET STRING                                OPTIONAL, -- Need OP
    nonCriticalExtension              SEQUENCE {}                                OPTIONAL -- Need OP
}
-- ASN1STOP

```

### – *RRCConnectionReestablishmentComplete*

The *RRCConnectionReestablishmentComplete* message is used to confirm the successful completion of an RRC connection reestablishment.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

### ***RRConnectionReestablishmentComplete message***

```
-- ASN1START
RRConnectionReestablishmentComplete ::= SEQUENCE {
    rrc-TransactionIdentifier      RRC-TransactionIdentifier,
    criticalExtensions             CHOICE {
        rrcConnectionReestablishmentComplete-r8
        RRCConnectionReestablishmentComplete-r8-IEs,
        criticalExtensionsFuture   SEQUENCE {}
    }
}

RRConnectionReestablishmentComplete-r8-IEs ::= SEQUENCE {
    nonCriticalExtension          RRCConnectionReestablishmentComplete-v920-IEs
    OPTIONAL
}

RRConnectionReestablishmentComplete-v920-IEs ::= SEQUENCE {
    rlf-InfoAvailable-r9         ENUMERATED {true} OPTIONAL,
    nonCriticalExtension         RRCConnectionReestablishmentComplete-v8a0-IEs
    OPTIONAL
}

RRConnectionReestablishmentComplete-v8a0-IEs ::= SEQUENCE {
    lateNonCriticalExtension     OCTET STRING OPTIONAL,
    nonCriticalExtension         SEQUENCE {} OPTIONAL
}
-- ASN1STOP
```

### ***RRConnectionReestablishmentComplete field descriptions***

#### ***rlf-InfoAvailable***

This field is used to indicate the availability of radio link failure related measurements

### ***RRConnectionReestablishmentReject***

The *RRConnectionReestablishmentReject* message is used to indicate the rejection of an RRC connection reestablishment request.

Signalling radio bearer: SRB0

RLC-SAP: TM

Logical channel: CCCH

Direction: E-UTRAN to UE

### ***RRConnectionReestablishmentReject message***

```
-- ASN1START
RRConnectionReestablishmentReject ::= SEQUENCE {
    criticalExtensions             CHOICE {
        rrcConnectionReestablishmentReject-r8
        RRCConnectionReestablishmentReject-r8-IEs,
        criticalExtensionsFuture   SEQUENCE {}
    }
}

RRConnectionReestablishmentReject-r8-IEs ::= SEQUENCE {
```

```

    nonCriticalExtension          RRCConnectionReestablishmentReject-v8a0-IEs
      OPTIONAL
  }
RRCConnectionReestablishmentReject-v8a0-IEs ::= SEQUENCE {
  lateNonCriticalExtension      OCTET STRING          OPTIONAL, -- Need OP
  nonCriticalExtension          SEQUENCE {}           OPTIONAL  -- Need OP
}
-- ASN1STOP

```

## – *RRCConnectionReestablishmentRequest*

The *RRCConnectionReestablishmentRequest* message is used to request the reestablishment of an RRC connection.

Signalling radio bearer: SRB0

RLC-SAP: TM

Logical channel: CCCH

Direction: UE to E-UTRAN

### *RRCConnectionReestablishmentRequest* message

```

-- ASN1START
RRCConnectionReestablishmentRequest ::= SEQUENCE {
  criticalExtensions             CHOICE {
    rrcConnectionReestablishmentRequest-r8
                                RRCConnectionReestablishmentRequest-r8-IEs,
    criticalExtensionsFuture     SEQUENCE {}
  }
}
RRCConnectionReestablishmentRequest-r8-IEs ::= SEQUENCE {
  ue-Identity                   ReestabUE-Identity,
  reestablishmentCause          ReestablishmentCause,
  spare                         BIT STRING (SIZE (2))
}
ReestabUE-Identity ::=
  SEQUENCE {
    c-RNTI                       C-RNTI,
    physCellId                   PhysCellId,
    shortMAC-I                   ShortMAC-I
  }
ReestablishmentCause ::=
  ENUMERATED {
    reconfigurationFailure, handoverFailure,
    otherFailure, spare1
  }
-- ASN1STOP

```

#### *RRCConnectionReestablishmentRequest* field descriptions

<b><i>ue-Identity</i></b>	UE identity included to retrieve UE context and to facilitate contention resolution by lower layers.
<b><i>reestablishmentCause</i></b>	Indicates the failure cause that triggered the re-establishment procedure.
<b><i>physCellId</i></b>	The Physical Cell Identity of the cell the UE was connected to prior to the failure.

## – *RRCConnectionReject*

The *RRCConnectionReject* message is used to reject the RRC connection establishment.

Signalling radio bearer: SRB0

RLC-SAP: TM

Logical channel: CCCH

Direction: E-UTRAN to UE

### ***RRCConnectionReject message***

```
-- ASN1START
RRCConnectionReject ::= SEQUENCE {
    criticalExtensions CHOICE {
        c1 CHOICE {
            rrcConnectionReject-r8 RRCConnectionReject-r8-IEs,
            spare3 NULL, spare2 NULL, spare1 NULL
        },
        criticalExtensionsFuture SEQUENCE {}
    }
}

RRCConnectionReject-r8-IEs ::= SEQUENCE {
    waitTime INTEGER (1..16),
    nonCriticalExtension RRCConnectionReject-v8a0-IEs
    OPTIONAL
}

RRCConnectionReject-v8a0-IEs ::= SEQUENCE {
    lateNonCriticalExtension OCTET STRING OPTIONAL, -- Need OP
    nonCriticalExtension SEQUENCE {} OPTIONAL -- Need OP
}
-- ASN1STOP
```

### ***RRCConnectionReject field descriptions***

#### ***waitTime***

Wait time value in seconds.

### ***RRCConnectionRelease***

The *RRCConnectionRelease* message is used to command the release of an RRC connection.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to UE

### ***RRCConnectionRelease message***

```
-- ASN1START
RRCConnectionRelease ::= SEQUENCE {
    rrc-TransactionIdentifier RRC-TransactionIdentifier,
    criticalExtensions CHOICE {
        c1 CHOICE {
            rrcConnectionRelease-r8 RRCConnectionRelease-r8-IEs,
            spare3 NULL, spare2 NULL, spare1 NULL
        },
        criticalExtensionsFuture SEQUENCE {}
    }
}

RRCConnectionRelease-r8-IEs ::= SEQUENCE {
    releaseCause ReleaseCause,
    redirectedCarrierInfo RedirectedCarrierInfo OPTIONAL, -- Need ON
    idleModeMobilityControlInfo IdleModeMobilityControlInfo OPTIONAL, -- Need OP
}
-- ASN1STOP
```

```

    nonCriticalExtension                RRCCConnectionRelease-v890-IEs    OPTIONAL
  }
RRCCConnectionRelease-v890-IEs ::= SEQUENCE {
    lateNonCriticalExtension            OCTET STRING                OPTIONAL, -- Need OP
    nonCriticalExtension                RRCCConnectionRelease-v920-IEs    OPTIONAL
}
RRCCConnectionRelease-v920-IEs ::= SEQUENCE {
    cellInfoList-r9                    CHOICE {
        geran-r9                        CellInfoListGERAN-r9,
        utra-FDD-r9                     CellInfoListUTRA-FDD-r9,
        utra-TDD-r9                     CellInfoListUTRA-TDD-r9,
        ...
    }
    nonCriticalExtension                SEQUENCE {}                OPTIONAL, -- Cond Redirection
}
ReleaseCause ::= ENUMERATED {loadBalancingTAUrequired,
                              other, spare2, spare1}
RedirectedCarrierInfo ::= CHOICE {
    eutra                               ARFCN-ValueEUTRA,
    geran                               CarrierFreqsGERAN,
    utra-FDD                            ARFCN-ValueUTRA,
    utra-TDD                            ARFCN-ValueUTRA,
    cdma2000-HRPD                       CarrierFreqCDMA2000,
    cdma2000-1xRTT                     CarrierFreqCDMA2000,
    ...
}
IdleModeMobilityControlInfo ::= SEQUENCE {
    freqPriorityListEUTRA               FreqPriorityListEUTRA      OPTIONAL, -- Need ON
    freqPriorityListGERAN               FreqsPriorityListGERAN     OPTIONAL, -- Need ON
    freqPriorityListUTRA-FDD            FreqPriorityListUTRA-FDD  OPTIONAL, -- Need ON
    freqPriorityListUTRA-TDD            FreqPriorityListUTRA-TDD  OPTIONAL, -- Need ON
    bandClassPriorityListHRPD           BandClassPriorityListHRPD  OPTIONAL, -- Need ON
    bandClassPriorityList1XRTT         BandClassPriorityList1XRTT  OPTIONAL, -- Need ON
    t320                               ENUMERATED {
        min5, min10, min20, min30, min60, min120, min180,
        spare1}                OPTIONAL, -- Need OR
    ...
}
FreqPriorityListEUTRA ::= SEQUENCE (SIZE (1..maxFreq)) OF FreqPriorityEUTRA
FreqPriorityEUTRA ::= SEQUENCE {
    carrierFreq                         ARFCN-ValueEUTRA,
    cellReselectionPriority              CellReselectionPriority
}
FreqsPriorityListGERAN ::= SEQUENCE (SIZE (1..maxGNFG)) OF FreqsPriorityGERAN
FreqsPriorityGERAN ::= SEQUENCE {
    carrierFreqs                       CarrierFreqsGERAN,
    cellReselectionPriority              CellReselectionPriority
}
FreqPriorityListUTRA-FDD ::= SEQUENCE (SIZE (1..maxUTRA-FDD-Carrier)) OF FreqPriorityUTRA-FDD
FreqPriorityUTRA-FDD ::= SEQUENCE {
    carrierFreq                         ARFCN-ValueUTRA,
    cellReselectionPriority              CellReselectionPriority
}
FreqPriorityListUTRA-TDD ::= SEQUENCE (SIZE (1..maxUTRA-TDD-Carrier)) OF FreqPriorityUTRA-TDD
FreqPriorityUTRA-TDD ::= SEQUENCE {
    carrierFreq                         ARFCN-ValueUTRA,
    cellReselectionPriority              CellReselectionPriority
}
BandClassPriorityListHRPD ::= SEQUENCE (SIZE (1..maxCDMA-BandClass)) OF BandClassPriorityHRPD
BandClassPriorityHRPD ::= SEQUENCE {
    bandClass                           BandclassCDMA2000,
    cellReselectionPriority              CellReselectionPriority
}

```

```

BandClassPriorityList1XRTT ::= SEQUENCE (SIZE (1..maxCDMA-BandClass)) OF BandClassPriority1XRTT
BandClassPriority1XRTT ::= SEQUENCE {
    bandClass          BandclassCDMA2000,
    cellReselectionPriority CellReselectionPriority
}
CellInfoListGERAN-r9 ::= SEQUENCE (SIZE (1..maxCellInfoGERAN-r9)) OF CellInfoGERAN-r9
CellInfoGERAN-r9 ::= SEQUENCE {
    physCellId-r9      PhysCellIdGERAN,
    carrierFreq-r9     CarrierFreqGERAN,
    systemInformation-r9 SystemInfoListGERAN
}
CellInfoListUTRA-FDD-r9 ::= SEQUENCE (SIZE (1..maxCellInfoUTRA-r9)) OF CellInfoUTRA-FDD-r9
CellInfoUTRA-FDD-r9 ::= SEQUENCE {
    physCellId-r9      PhysCellIdUTRA-FDD,
    utra-BCCH-Container-r9 OCTET STRING
}
CellInfoListUTRA-TDD-r9 ::= SEQUENCE (SIZE (1..maxCellInfoUTRA-r9)) OF CellInfoUTRA-TDD-r9
CellInfoUTRA-TDD-r9 ::= SEQUENCE {
    physCellId-r9      PhysCellIdUTRA-TDD,
    utra-BCCH-Container-r9 OCTET STRING
}
-- ASN1STOP

```

#### ***RRCCConnectionRelease* field descriptions**

<b><i>releaseCause</i></b>
The <i>releaseCause</i> is used to indicate the reason for releasing the RRC Connection.
<b><i>redirectedCarrierInfo</i></b>
The <i>redirectedCarrierInfo</i> indicates a carrier frequency (downlink for FDD) and is used to redirect the UE to an E-UTRA or an inter-RAT carrier frequency, by means of the cell selection upon leaving RRC_CONNECTED as specified in TS 36.304 [4].
<b><i>idleModeMobilityControllInfo</i></b>
Provides dedicated cell reselection priorities. Used for cell reselection as specified in TS 36.304 [4].
<b><i>freqPriorityListX</i></b>
Provides a cell reselection priority for each frequency, by means of separate lists for each RAT (including E-UTRA).
<b><i>carrierFreq or bandClass</i></b>
The carrier frequency (UTRA and E-UTRA) and band class (HRPD and 1xRTT) for which the associated cellReselectionPriority is applied.
<b><i>t320</i></b>
Timer T320 as described in section 7.3. Value minN corresponds to N minutes.
<b><i>carrierFreqs</i></b>
The list of GERAN carrier frequencies organised into one group of GERAN carrier frequencies.
<b><i>systemInformation</i></b>
Container for system information of the GERAN cell i.e. one or more System Information (SI) messages as defined in TS 44.018 [45, table 9.1.1].
<b><i>cellInfoList</i></b>
Used to provide system information of one or more cells on the redirected inter-RAT carrier frequency. The system information can be used if, upon redirection, the UE selects an inter-RAT cell indicated by the <i>physCellId</i> and <i>carrierFreq</i> (GERAN) or by the <i>physCellId</i> (other RATs). The choice shall match the <i>redirectedCarrierInfo</i> .
<b><i>utra-BCCH-Container</i></b>
Contains System Information Container message as defined in TS 25.331 [19].

<b>Conditional presence</b>	<b>Explanation</b>
<i>Redirection</i>	The field is optionally present, need ON, if the <i>redirectedCarrierInfo</i> is included and set to ' <i>geran</i> ', ' <i>utra-FDD</i> ' or ' <i>utra-TDD</i> '; otherwise the field is not present.

#### – *RRCCConnectionRequest*

The *RRCCConnectionRequest* message is used to request the establishment of an RRC connection.

Signalling radio bearer: SRB0

RLC-SAP: TM

Logical channel: CCCH

Direction: UE to E-UTRAN

### ***RRCConnectionRequest message***

```
-- ASN1START
RRCConnectionRequest ::= SEQUENCE {
    criticalExtensions      CHOICE {
        rrcConnectionRequest-r8      RRCConnectionRequest-r8-IEs,
        criticalExtensionsFuture      SEQUENCE {}
    }
}

RRCConnectionRequest-r8-IEs ::= SEQUENCE {
    ue-Identity             InitialUE-Identity,
    establishmentCause      EstablishmentCause,
    spare                   BIT STRING (SIZE (1))
}

InitialUE-Identity ::= CHOICE {
    s-TMSI                  S-TMSI,
    randomValue             BIT STRING (SIZE (40))
}

EstablishmentCause ::= ENUMERATED {
    emergency, highPriorityAccess, mt-Access, mo-Signalling,
    mo-Data, spare3, spare2, spare1}
-- ASN1STOP
```

#### ***RRCConnectionRequest field descriptions***

##### ***ue-Identity***

UE identity included to facilitate contention resolution by lower layers.

##### ***establishmentCause***

Provides the establishment cause for the RRC connection request as provided by the upper layers. W.r.t. the cause value names: highPriorityAccess concerns AC11..AC15, 'mt' stands for 'Mobile Terminating' and 'mo' for 'Mobile Originating'.

##### ***randomValue***

Integer value in the range 0 to  $2^{40} - 1$ .

### ***RRCConnectionSetup***

The *RRCConnectionSetup* message is used to establish SRB1.

Signalling radio bearer: SRB0

RLC-SAP: TM

Logical channel: CCCH

Direction: E-UTRAN to UE

### ***RRCConnectionSetup message***

```
-- ASN1START
RRCConnectionSetup ::= SEQUENCE {
    rrc-TransactionIdentifier      RRC-TransactionIdentifier,
    criticalExtensions             CHOICE {
        c1                         CHOICE {
            rrcConnectionSetup-r8      RRCConnectionSetup-r8-IEs,
            spare7 NULL,
            spare6 NULL, spare5 NULL, spare4 NULL,
        }
    }
}
```



<b>RRCConnectionSetupComplete field descriptions</b>
<p><b>selectedPLMN-Identity</b> Index of the PLMN selected by the UE from the <i>plmn-IdentityList</i> included in SIB1. 1 if the 1st PLMN is selected from the <i>plmn-IdentityList</i> included in SIB1, 2 if the 2nd PLMN is selected from the <i>plmn-IdentityList</i> included in SIB1 and so on.</p>
<p><b>registeredMME</b> This field is used to transfer the GUMMEI of the MME where the UE is registered, as provided by upper layers.</p>
<p><b>mmegi</b> Provides the Group Identity of the registered MME within the PLMN, as provided by upper layers, see TS 23.003 [27].</p>

## – SecurityModeCommand

The *SecurityModeCommand* message is used to command the activation of AS security.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to UE

### **SecurityModeCommand message**

```

-- ASN1START
SecurityModeCommand ::= SEQUENCE {
    rrc-TransactionIdentifier RRC-TransactionIdentifier,
    criticalExtensions CHOICE {
        c1 CHOICE {
            securityModeCommand-r8 SecurityModeCommand-r8-IEs,
            spare3 NULL, spare2 NULL, spare1 NULL
        },
        criticalExtensionsFuture SEQUENCE {}
    }
}

SecurityModeCommand-r8-IEs ::= SEQUENCE {
    securityConfigSMC SecurityConfigSMC,
    nonCriticalExtension SecurityModeCommand-v8a0-IEs
    OPTIONAL
}

SecurityModeCommand-v8a0-IEs ::= SEQUENCE {
    lateNonCriticalExtension OCTET STRING OPTIONAL, -- Need OP
    nonCriticalExtension SEQUENCE {} OPTIONAL -- Need OP
}

SecurityConfigSMC ::= SEQUENCE {
    securityAlgorithmConfig SecurityAlgorithmConfig,
    ...
}
-- ASN1STOP

```

## – SecurityModeComplete

The *SecurityModeComplete* message is used to confirm the successful completion of a security mode command.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

### ***SecurityModeComplete message***

```
-- ASN1START
SecurityModeComplete ::= SEQUENCE {
    rrc-TransactionIdentifier RRC-TransactionIdentifier,
    criticalExtensions CHOICE {
        securityModeComplete-r8 SecurityModeComplete-r8-IEs,
        criticalExtensionsFuture SEQUENCE {}
    }
}

SecurityModeComplete-r8-IEs ::= SEQUENCE {
    nonCriticalExtension SecurityModeComplete-v8a0-IEs
    OPTIONAL
}

SecurityModeComplete-v8a0-IEs ::= SEQUENCE {
    lateNonCriticalExtension OCTET STRING OPTIONAL,
    nonCriticalExtension SEQUENCE {} OPTIONAL
}
-- ASN1STOP
```

### ***SecurityModeFailure***

The *SecurityModeFailure* message is used to indicate an unsuccessful completion of a security mode command.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

### ***SecurityModeFailure message***

```
-- ASN1START
SecurityModeFailure ::= SEQUENCE {
    rrc-TransactionIdentifier RRC-TransactionIdentifier,
    criticalExtensions CHOICE {
        securityModeFailure-r8 SecurityModeFailure-r8-IEs,
        criticalExtensionsFuture SEQUENCE {}
    }
}

SecurityModeFailure-r8-IEs ::= SEQUENCE {
    nonCriticalExtension SecurityModeFailure-v8a0-IEs
    OPTIONAL
}

SecurityModeFailure-v8a0-IEs ::= SEQUENCE {
    lateNonCriticalExtension OCTET STRING OPTIONAL,
    nonCriticalExtension SEQUENCE {} OPTIONAL
}
-- ASN1STOP
```

## – SystemInformation

The *SystemInformation* message is used to convey one or more System Information Blocks. All the SIBs included are transmitted with the same periodicity.

Signalling radio bearer: N/A

RLC-SAP: TM

Logical channel: BCCH

Direction: E-UTRAN to UE

### SystemInformation message

```
-- ASN1START
SystemInformation ::= SEQUENCE {
    criticalExtensions      CHOICE {
        systemInformation-r8      SystemInformation-r8-IEs,
        criticalExtensionsFuture  SEQUENCE {}
    }
}
SystemInformation-r8-IEs ::= SEQUENCE {
    sib-TypeAndInfo      SEQUENCE (SIZE (1..maxSIB)) OF CHOICE {
        sib2              SystemInformationBlockType2,
        sib3              SystemInformationBlockType3,
        sib4              SystemInformationBlockType4,
        sib5              SystemInformationBlockType5,
        sib6              SystemInformationBlockType6,
        sib7              SystemInformationBlockType7,
        sib8              SystemInformationBlockType8,
        sib9              SystemInformationBlockType9,
        sib10             SystemInformationBlockType10,
        sib11             SystemInformationBlockType11,
        ...,
        sib12-v920        SystemInformationBlockType12-r9,
        sib13-v920        SystemInformationBlockType13-r9
    },
    nonCriticalExtension  SystemInformation-v8a0-IEs OPTIONAL
}
SystemInformation-v8a0-IEs ::= SEQUENCE {
    lateNonCriticalExtension OCTET STRING OPTIONAL, -- Need OP
    nonCriticalExtension    SEQUENCE {} OPTIONAL -- Need OP
}
-- ASN1STOP
```

## – SystemInformationBlockType1

*SystemInformationBlockType1* contains information relevant when evaluating if a UE is allowed to access a cell and defines the scheduling of other system information.

Signalling radio bearer: N/A

RLC-SAP: TM

Logical channel: BCCH

Direction: E-UTRAN to UE

### SystemInformationBlockType1 message

```
-- ASN1START
SystemInformationBlockType1 ::= SEQUENCE {
    cellAccessRelatedInfo SEQUENCE {
        plmn-IdentityList  PLMN-IdentityList,
        trackingAreaCode   TrackingAreaCode,
        cellIdentity        CellIdentity,
    }
}
```

```

        cellBarred                ENUMERATED {barred, notBarred},
        intraFreqReselection      ENUMERATED {allowed, notAllowed},
        csg-Indication            BOOLEAN,
        csg-Identity              CSG-Identity          OPTIONAL    -- Need OR
    },
    cellSelectionInfo             SEQUENCE {
        q-RxLevMin                Q-RxLevMin,
        q-RxLevMinOffset          INTEGER (1..8)          OPTIONAL    -- Need OP
    },
    p-Max                         P-Max                OPTIONAL,      -- Need OP
    freqBandIndicator            INTEGER (1..64),
    schedulingInfoList           SchedulingInfoList,
    tdd-Config                   TDD-Config           OPTIONAL,    -- Cond TDD
    si-WindowLength              ENUMERATED {
        ms1, ms2, ms5, ms10, ms15, ms20,
        ms40},
    systemInfoValueTag           INTEGER (0..31),
    nonCriticalExtension         SystemInformationBlockType1-v890-IEs
    OPTIONAL
}

SystemInformationBlockType1-v890-IEs ::= SEQUENCE {
    lateNonCriticalExtension     OCTET STRING          OPTIONAL,    -- Need OP
    nonCriticalExtension         SystemInformationBlockType1-v920-IEs  OPTIONAL
}

SystemInformationBlockType1-v920-IEs ::= SEQUENCE {
    ims-EmergencySupport-r9      ENUMERATED {true}          OPTIONAL,    -- Need OR
    cellSelectionInfo-v920       CellSelectionInfo-v920          OPTIONAL,    -- Cond RSRQ
    nonCriticalExtension         SEQUENCE {}                  OPTIONAL    -- Need OP
}

PLMN-IdentityList ::= SEQUENCE (SIZE (1..6)) OF PLMN-IdentityInfo

PLMN-IdentityInfo ::= SEQUENCE {
    plmn-Identity                PLMN-Identity,
    cellReservedForOperatorUse    ENUMERATED {reserved, notReserved}
}

SchedulingInfoList ::= SEQUENCE (SIZE (1..maxSI-Message)) OF SchedulingInfo

SchedulingInfo ::= SEQUENCE {
    si-Periodicity               ENUMERATED {
        rf8, rf16, rf32, rf64, rf128, rf256, rf512},
    sib-MappingInfo              SIB-MappingInfo
}

SIB-MappingInfo ::= SEQUENCE (SIZE (0..maxSIB-1)) OF SIB-Type

SIB-Type ::= ENUMERATED {
    sibType3, sibType4, sibType5, sibType6,
    sibType7, sibType8, sibType9, sibType10,
    sibType11, sibType12-v920, sibType13-v920, spare5,
    spare4, spare3, spare2, spare1, ...}

CellSelectionInfo-v920 ::= SEQUENCE {
    q-QualMin-r9                 Q-QualMin-r9,
    q-QualMinOffset-r9           INTEGER (1..8)          OPTIONAL    -- Need OP
}

-- ASN1STOP

```

<b>SystemInformationBlockType1 field descriptions</b>	
<b>plmn-IdentityList</b>	List of PLMN identities. The first listed <i>PLMN-Identity</i> is the primary PLMN.
<b>cellReservedForOperatorUse</b>	As defined in TS 36.304 [4].
<b>trackingAreaCode</b>	A <i>trackingAreaCode</i> that is common for all the PLMNs listed.
<b>cellBarred</b>	'barred' means the cell is barred, as defined in TS 36.304 [4].
<b>intraFreqReselection</b>	Used to control cell reselection to intra-frequency cells when the highest ranked cell is barred, or treated as barred by the UE, as specified in TS 36.304 [4].
<b>csg-Indication</b>	If set to TRUE the UE is only allowed to access the cell if it is a CSG member cell or if selected during manual CSG selection, see TS 36.304 [4].
<b>q-RxLevMinOffset</b>	Parameter $Q_{rxlevminoffset}$ in TS 36.304 [4]. Actual value $Q_{rxlevminoffset} = \text{IE value} * 2$ [dB]. If absent, the UE applies the (default) value of 0 dB for $Q_{rxlevminoffset}$ . Affects the minimum required Rx level in the cell.
<b>p-Max</b>	Value applicable for the cell. If absent the UE applies the maximum power according to the UE capability.
<b>freqBandIndicator</b>	Defined in TS 36.101 [42, table 5.5-1].
<b>si-Periodicity</b>	Periodicity of the SI-message in radio frames, such that rf8 denotes 8 radio frames, rf16 denotes 16 radio frames, and so on.
<b>sib-MappingInfo</b>	List of the SIBs mapped to this <i>SystemInformation</i> message. There is no mapping information of SIB2; it is always present in the first <i>SystemInformation</i> message listed in the <i>schedulingInfoList</i> list.
<b>si-WindowLength</b>	Common SI scheduling window for all SIs. Unit in milliseconds, where ms1 denotes 1 millisecond, ms2 denotes 2 milliseconds and so on.
<b>systemInfoValueTag</b>	Common for all SIBs other than MIB, SIB1, SIB10, SIB11 and SIB12. Change of MIB and SIB1 is detected by acquisition of the corresponding message.
<b>csg-Identity</b>	Identity of the Closed Subscriber Group the cell belongs to. The field is present in a CSG cell.
<b>ims-EmergencySupport</b>	Indicates whether the cell supports IMS emergency bearer services for UEs in limited service mode. If absent, IMS emergency call is not supported by the network in the cell for UEs in limited service mode.
<b>q-QualMin</b>	Parameter " $Q_{qualmin}$ " in TS 36.304 [4]. If <i>cellSelectionInfo-v920</i> is not present, the UE applies the (default) value of negative infinity for $Q_{qualmin}$ .
<b>q-QualMinOffset</b>	Parameter " $Q_{qualminoffset}$ " in TS 36.304 [4]. Actual value $Q_{qualminoffset} = \text{IE value}$ [dB]. If <i>cellSelectionInfo-v920</i> is not present or the field is not present, the UE applies the (default) value of 0 dB for $Q_{qualminoffset}$ . Affects the minimum required quality level in the cell.

Conditional presence	Explanation
<i>TDD</i>	This field is mandatory present for TDD; it is not present for FDD and the UE shall delete any existing value for this field.
<i>RSRQ</i>	The field is mandatory present if SIB3 is being broadcasted and <i>threshServingLowQ</i> is present in SIB3; otherwise optionally present, Need OP.

## – UECapabilityEnquiry

The *UECapabilityEnquiry* message is used to request the transfer of UE radio access capabilities for E-UTRA as well as for other RATs.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to UE

### ***UECapabilityEnquiry message***

```
-- ASN1START
UECapabilityEnquiry ::= SEQUENCE {
    rrc-TransactionIdentifier RRC-TransactionIdentifier,
    criticalExtensions CHOICE {
        c1 CHOICE {
            ueCapabilityEnquiry-r8 UECapabilityEnquiry-r8-IEs,
            spare3 NULL, spare2 NULL, spare1 NULL
        },
        criticalExtensionsFuture SEQUENCE {}
    }
}

UECapabilityEnquiry-r8-IEs ::= SEQUENCE {
    ue-CapabilityRequest UE-CapabilityRequest,
    nonCriticalExtension UECapabilityEnquiry-v8a0-IEs
    OPTIONAL
}

UECapabilityEnquiry-v8a0-IEs ::= SEQUENCE {
    lateNonCriticalExtension OCTET STRING OPTIONAL, -- Need OP
    nonCriticalExtension SEQUENCE {} OPTIONAL -- Need OP
}

UE-CapabilityRequest ::= SEQUENCE (SIZE (1..maxRAT-Capabilities)) OF RAT-Type
-- ASN1STOP
```

### ***UECapabilityEnquiry field descriptions***

#### ***ue-CapabilityRequest***

List of the RATs for which the UE is requested to transfer the UE radio access capabilities i.e. E-UTRA, UTRA, GERAN-CS, GERAN-PS, CDMA2000.

### ***UECapabilityInformation***

The *UECapabilityInformation* message is used to transfer of UE radio access capabilities requested by the E-UTRAN.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

### ***UECapabilityInformation message***

```
-- ASN1START
UECapabilityInformation ::= SEQUENCE {
    rrc-TransactionIdentifier RRC-TransactionIdentifier,
    criticalExtensions CHOICE {
        c1 CHOICE {
            ueCapabilityInformation-r8 UECapabilityInformation-r8-IEs,
            spare7 NULL,
            spare6 NULL, spare5 NULL, spare4 NULL,
            spare3 NULL, spare2 NULL, spare1 NULL
        },
        criticalExtensionsFuture SEQUENCE {}
    }
}
```

```

}
UECapabilityInformation-r8-IEs ::= SEQUENCE {
    ue-CapabilityRAT-ContainerList    UE-CapabilityRAT-ContainerList,
    nonCriticalExtension              UECapabilityInformation-v8a0-IEs
    OPTIONAL
}
UECapabilityInformation-v8a0-IEs ::= SEQUENCE {
    lateNonCriticalExtension          OCTET STRING                OPTIONAL,
    nonCriticalExtension              SEQUENCE {}                  OPTIONAL
}
-- ASN1STOP

```

## – *UEInformationRequest*

The *UEInformationRequest* is the command used by E-UTRAN to retrieve information from the UE.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to UE

### *UEInformationRequest* message

```

-- ASN1START
UEInformationRequest-r9 ::= SEQUENCE {
    rrc-TransactionIdentifier    RRC-TransactionIdentifier,
    criticalExtensions           CHOICE {
        c1                       CHOICE {
            ueInformationRequest-r9    UEInformationRequest-r9-IEs,
            spare3 NULL, spare2 NULL, spare1 NULL
        },
        criticalExtensionsFuture      SEQUENCE {}
    }
}
UEInformationRequest-r9-IEs ::= SEQUENCE {
    rach-ReportReq-r9          BOOLEAN,
    rlf-ReportReq-r9          BOOLEAN,
    nonCriticalExtension        UEInformationRequest-v930-IEs                OPTIONAL
}
UEInformationRequest-v930-IEs ::= SEQUENCE {
    lateNonCriticalExtension    OCTET STRING                OPTIONAL, -- Need OP
    nonCriticalExtension        SEQUENCE {}                  OPTIONAL -- Need OP
}
-- ASN1STOP

```

#### *UEInformationRequest* field descriptions

##### ***rach-ReportReq***

This field is used to indicate whether the UE shall report information about the random access procedure.

## – *UEInformationResponse*

The *UEInformationResponse* message is used by the UE to transfer the information requested by the E-UTRAN.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

**UEInformationResponse message**

```

-- ASN1START
UEInformationResponse-r9 ::= SEQUENCE {
    rrc-TransactionIdentifier RRC-TransactionIdentifier,
    criticalExtensions CHOICE {
        c1 CHOICE {
            ueInformationResponse-r9 UEInformationResponse-r9-IEs,
            spare3 NULL, spare2 NULL, spare1 NULL
        },
        criticalExtensionsFuture SEQUENCE {}
    }
}

UEInformationResponse-r9-IEs ::= SEQUENCE {
    rach-Report-r9 SEQUENCE {
        numberOfPreamblesSent-r9 INTEGER (1..200),
        contentionDetected-r9 BOOLEAN
    },
    rlfReport-r9 RLF-Report-r9 OPTIONAL,
    nonCriticalExtension UEInformationResponse-v930-IEs OPTIONAL
}

UEInformationResponse-v930-IEs ::= SEQUENCE {
    lateNonCriticalExtension OCTET STRING OPTIONAL,
    nonCriticalExtension SEQUENCE {} OPTIONAL
}

RLF-Report-r9 ::= SEQUENCE {
    measResultLastServCell-r9 SEQUENCE {
        measResultListEUTRA-r9 SEQUENCE {
            rsrpResult-r9 RSRP-Range,
            rsrqResult-r9 RSRQ-Range OPTIONAL
        },
        measResultNeighCells-r9 SEQUENCE {
            measResultListEUTRA-r9 MeasResultList2EUTRA-r9 OPTIONAL,
            measResultListUTRA-r9 MeasResultList2UTRA-r9 OPTIONAL,
            measResultListGERAN-r9 MeasResultListGERAN OPTIONAL,
            measResultsCDMA2000-r9 MeasResultList2CDMA2000-r9 OPTIONAL
        },
        ...
    }
}

MeasResultList2EUTRA-r9 ::= SEQUENCE (SIZE (1..maxFreq)) OF MeasResult2EUTRA-r9

MeasResult2EUTRA-r9 ::= SEQUENCE {
    carrierFreq-r9 ARFCN-ValueEUTRA,
    measResultList-r9 MeasResultListEUTRA
}

MeasResultList2UTRA-r9 ::= SEQUENCE (SIZE (1..maxFreq)) OF MeasResult2UTRA-r9

MeasResult2UTRA-r9 ::= SEQUENCE {
    carrierFreq-r9 ARFCN-ValueUTRA,
    measResultList-r9 MeasResultListUTRA
}

MeasResultList2CDMA2000-r9 ::= SEQUENCE (SIZE (1..maxFreq)) OF MeasResult2CDMA2000-r9

MeasResult2CDMA2000-r9 ::= SEQUENCE {
    carrierFreq-r9 CarrierFreqCDMA2000,
    measResultList-r9 MeasResultsCDMA2000
}
-- ASN1STOP

```

<i>UEInformationResponse</i> field descriptions
<b><i>numberOfPreamblesSent</i></b> This field is used to indicate the number of RACH preambles that were transmitted. Corresponds to parameter PREAMBLE_TRANSMISSION_COUNTER in TS 36.321 [6].
<b><i>contentionDetected</i></b> This field is used to indicate that contention was detected for at least one of the transmitted preambles, see also [6].
<b><i>measResultLastServCell</i></b> This field refers to the last measurement results taken in the serving cell, where radio link failure happened.

– *ULHandoverPreparationTransfer (CDMA2000)*

The *ULHandoverPreparationTransfer* message is used for the uplink transfer of handover related CDMA2000 information when requested by the higher layers.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

***ULHandoverPreparationTransfer* message**

```
-- ASN1START
ULHandoverPreparationTransfer ::= SEQUENCE {
    criticalExtensions          CHOICE {
        c1                     CHOICE {
            ulHandoverPreparationTransfer-r8          ULHandoverPreparationTransfer-r8-IEs,
            spare3 NULL, spare2 NULL, spare1 NULL
        },
        criticalExtensionsFuture          SEQUENCE {}
    }
}

ULHandoverPreparationTransfer-r8-IEs ::= SEQUENCE {
    cdma2000-Type          CDMA2000-Type,
    meid                   BIT STRING (SIZE (56)) OPTIONAL,
    dedicatedInfo          DedicatedInfoCDMA2000,
    nonCriticalExtension    ULHandoverPreparationTransfer-v8a0-IEs
    OPTIONAL
}

ULHandoverPreparationTransfer-v8a0-IEs ::= SEQUENCE {
    lateNonCriticalExtension    OCTET STRING          OPTIONAL,
    nonCriticalExtension        SEQUENCE {}          OPTIONAL
}
-- ASN1STOP
```

<i>ULHandoverPreparationTransfer</i> field descriptions
<b><i>meid</i></b> The 56 bit mobile identification number provided by the CDMA2000 Upper layers.

– *ULInformationTransfer*

The *ULInformationTransfer* message is used for the uplink transfer of dedicated NAS information.

Signalling radio bearer: SRB2 or SRB1(only if SRB2 not established yet). If SRB2 is suspended, the UE does not send this message until SRB2 is resumed

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

### ***ULInformationTransfer message***

```

-- ASN1START
ULInformationTransfer ::= SEQUENCE {
    criticalExtensions CHOICE {
        c1 CHOICE {
            ulInformationTransfer-r8 ULInformationTransfer-r8-IEs,
            spare3 NULL, spare2 NULL, spare1 NULL
        },
        criticalExtensionsFuture SEQUENCE {}
    }
}

ULInformationTransfer-r8-IEs ::= SEQUENCE {
    dedicatedInfoType CHOICE {
        dedicatedInfoNAS DedicatedInfoNAS,
        dedicatedInfoCDMA2000-1XRTT DedicatedInfoCDMA2000,
        dedicatedInfoCDMA2000-HRPD DedicatedInfoCDMA2000
    },
    nonCriticalExtension ULInformationTransfer-v8a0-IEs
    OPTIONAL
}

ULInformationTransfer-v8a0-IEs ::= SEQUENCE {
    lateNonCriticalExtension OCTET STRING OPTIONAL,
    nonCriticalExtension SEQUENCE {} OPTIONAL
}
-- ASN1STOP

```

## 6.3 RRC information elements

### 6.3.1 System information blocks

#### – *SystemInformationBlockType2*

The IE *SystemInformationBlockType2* contains radio resource configuration information that is common for all UEs.

NOTE: UE timers and constants related to functionality for which parameters are provided in another SIB are included in the corresponding SIB.

#### ***SystemInformationBlockType2 information element***

```

-- ASN1START
SystemInformationBlockType2 ::= SEQUENCE {
    ac-BarringInfo SEQUENCE {
        ac-BarringForEmergency BOOLEAN,
        ac-BarringForMO-Signalling AC-BarringConfig OPTIONAL, -- Need OP
        ac-BarringForMO-Data AC-BarringConfig OPTIONAL, -- Need OP
    } OPTIONAL, -- Need OP
    radioResourceConfigCommon RadioResourceConfigCommonSIB,
    ue-TimersAndConstants UE-TimersAndConstants,
    freqInfo SEQUENCE {
        ul-CarrierFreq ARFCN-ValueEUTRA OPTIONAL, -- Need OP
        ul-Bandwidth ENUMERATED {n6, n15, n25, n50, n75, n100} OPTIONAL, -- Need OP
    },
    additionalSpectrumEmission AdditionalSpectrumEmission
},
    mbsfn-SubframeConfigList MBSFN-SubframeConfigList OPTIONAL, -- Need OR
    timeAlignmentTimerCommon TimeAlignmentTimer,
    . . . ,
    lateNonCriticalExtension OCTET STRING OPTIONAL, -- Need OP
    [[ ssac-BarringForMMTEL-Voice-r9 AC-BarringConfig OPTIONAL, -- Need OP
      ssac-BarringForMMTEL-Video-r9 AC-BarringConfig OPTIONAL, -- Need OP
    ]]
}

```

```

AC-BarringConfig ::=
    ac-BarringFactor
    ac-BarringTime
    ac-BarringForSpecialAC
}
SEQUENCE {
    ENUMERATED {
        p00, p05, p10, p15, p20, p25, p30, p40,
        p50, p60, p70, p75, p80, p85, p90, p95},
    ENUMERATED {s4, s8, s16, s32, s64, s128, s256, s512},
    BIT STRING (SIZE(5))
}

MBSFN-SubframeConfigList ::=
    SubframeConfig
SEQUENCE (SIZE (1..maxMBSFN-Allocations)) OF MBSFN-
SubframeConfig

-- ASN1STOP

```

### SystemInformationBlockType2 field descriptions

<b>ac-BarringForEmergency</b>	Access class barring for AC 10.
<b>ac-BarringForMO-Signalling</b>	Access class barring for mobile originating signalling.
<b>ac-BarringForMO-Data</b>	Access class barring for mobile originating calls.
<b>ac-BarringFactor</b>	If the random number drawn by the UE is lower than this value, access is allowed. Otherwise the access is barred. The values are interpreted in the range [0,1): p00 = 0, p05 = 0.05, p10 = 0.10, ..., p95 = 0.95. Values other than 'p00' can only be set if all bits of the corresponding <i>ac-BarringForSpecialAC</i> are set to 0.
<b>ac-BarringTime</b>	Mean access barring time value in seconds.
<b>ac-BarringForSpecialAC</b>	Access class barring for AC 11-15. The first/ leftmost bit is for AC 11, the second bit is for AC 12, and so on.
<b>ul-CarrierFreq</b>	For FDD: If absent, the (default) value determined from the default TX-RX frequency separation defined in TS 36.101 [42, table 5.7.3-1] applies. For TDD: This parameter is absent and it is equal to the downlink frequency.
<b>ul-Bandwidth</b>	Parameter: transmission bandwidth configuration, $N_{RB}$ , in uplink, see TS 36.101 [42, table 5.6-1]. Value n6 corresponds to 6 resource blocks, n15 to 15 resource blocks and so on. If for FDD this parameter is absent, the uplink bandwidth is equal to the downlink bandwidth. For TDD this parameter is absent and it is equal to the downlink bandwidth.
<b>mbsfn-SubframeConfigList</b>	Defines the subframes that are reserved for MBSFN in downlink.
<b>ssac-BarringForMMTEL-Voice</b>	Service specific access class barring for MMTEL voice originating calls.
<b>ssac-BarringForMMTEL-Video</b>	Service specific access class barring for MMTEL video originating calls.

### SystemInformationBlockType3

The IE *SystemInformationBlockType3* contains cell re-selection information common for intra-frequency, inter-frequency and/ or inter-RAT cell re-selection (i.e. applicable for more than one type of cell re-selection but not necessarily all) as well as intra-frequency cell re-selection information other than neighbouring cell related.

### SystemInformationBlockType3 information element

```

-- ASN1START
SystemInformationBlockType3 ::=
    cellReselectionInfoCommon
    q-Hyst
    speedStateReselectionPars
    mobilityStateParameters
    q-HystSF
    sf-Medium
    sf-High
}
SEQUENCE {
    SEQUENCE {
        ENUMERATED {
            dB0, dB1, dB2, dB3, dB4, dB5, dB6, dB8, dB10,
            dB12, dB14, dB16, dB18, dB20, dB22, dB24},
        SEQUENCE {
            MobilityStateParameters,
            SEQUENCE {
                ENUMERATED {
                    dB-6, dB-4, dB-2, dB0},
                ENUMERATED {
                    dB-6, dB-4, dB-2, dB0}
            }
        }
    }
}

```

```

    },
    cellReselectionServingFreqInfo SEQUENCE {
        s-NonIntraSearch ReselectionThreshold OPTIONAL, -- Need OP
        threshServingLow ReselectionThreshold,
        cellReselectionPriority CellReselectionPriority
    },
    intraFreqCellReselectionInfo SEQUENCE {
        q-RxLevMin Q-RxLevMin,
        p-Max P-Max OPTIONAL, -- Need OP
        s-IntraSearch ReselectionThreshold OPTIONAL, -- Need OP
        allowedMeasBandwidth AllowedMeasBandwidth OPTIONAL, -- Need OP
        presenceAntennaPort1 PresenceAntennaPort1,
        neighCellConfig NeighCellConfig,
        t-ReselectionEUTRA T-Reselection,
        t-ReselectionEUTRA-SF SpeedStateScaleFactors OPTIONAL -- Need OP
    },
    ...,
    lateNonCriticalExtension OCTET STRING OPTIONAL, -- Need OP
    [[ s-IntraSearch-v920 SEQUENCE {
        s-IntraSearchP-r9 ReselectionThreshold,
        s-IntraSearchQ-r9 ReselectionThresholdQ-r9
    } OPTIONAL, -- Need OP
    s-NonIntraSearch-v920 SEQUENCE {
        s-NonIntraSearchP-r9 ReselectionThreshold,
        s-NonIntraSearchQ-r9 ReselectionThresholdQ-r9
    } OPTIONAL, -- Need OP
    q-QualMin-r9 Q-QualMin-r9 OPTIONAL, -- Need OP
    threshServingLowQ-r9 ReselectionThresholdQ-r9 OPTIONAL -- Need OP
    ]]
}
-- ASN1STOP

```

<b>SystemInformationBlockType3 field descriptions</b>
<b>cellReselectionInfoCommon</b> Cell re-selection information common for cells.
<b>q-Hyst</b> Parameter $Q_{hyst}$ in 36.304 [4], Value in dB. Value dB1 corresponds to 1 dB, dB2 corresponds to 2 dB and so on.
<b>speedStateReselectionPars</b> Speed dependent reselection parameters, see TS 36.304 [4]. If this field is absent, i.e, <i>mobilityStateParameters</i> is also not present, UE behaviour is specified in TS 36.304 [4].
<b>q-HystSF</b> Parameter "Speed dependent ScalingFactor for $Q_{hyst}$ " in TS 36.304 [4]. The sf-Medium and sf-High concern the additional hysteresis to be applied, in Medium and High Mobility state respectively, to $Q_{hyst}$ as defined in TS 36.304 [4]. In dB. Value dB-6 corresponds to -6dB, dB-4 corresponds to -4dB and so on.
<b>t-ReselectionEUTRA</b> Parameter "Treselction <sub>EUTRA</sub> " in TS 36.304 [4].
<b>t-ReselectionEUTRA-SF</b> Parameter "Speed dependent ScalingFactor for Treselction <sub>EUTRA</sub> " in TS 36.304 [4]. If the field is not present, the UE behaviour is specified in TS 36.304 [4].
<b>q-RxLevMin</b> Parameter " $Q_{rxlevmin}$ " in TS 36.304 [4], applicable for intra-frequency neighbour cells.
<b>s-IntraSearch</b> Parameter " $S_{IntraSearchP}$ " in TS 36.304 [4]. If the field <i>s-IntraSearchP</i> is present, the UE applies the value of <i>s-IntraSearchP</i> instead. Otherwise if neither <i>s-IntraSearch</i> nor <i>s-IntraSearchP</i> is present, the UE applies the (default) value of infinity for $S_{IntraSearchP}$ .
<b>cellReselectionServingFreqInfo</b> Information common for Cell re-selection to inter-frequency and inter-RAT cells.
<b>s-NonIntraSearch</b> Parameter " $S_{nonIntraSearchP}$ " in TS 36.304 [4]. If the field <i>s-NonIntraSearchP</i> is present, the UE applies the value of <i>s-NonIntraSearchP</i> instead. Otherwise if neither <i>s-NonIntraSearch</i> nor <i>s-NonIntraSearchP</i> is present, the UE applies the (default) value of infinity for $S_{nonIntraSearchP}$ .
<b>threshServingLow</b> Parameter "Thresh <sub>Serving, LowP</sub> " in TS 36.304 [4].
<b>intraFreqcellReselectionInfo</b> Cell re-selection information common for intra-frequency cells.
<b>p-Max</b> Value applicable for the intra-frequency neighbouring E-UTRA cells. If absent the UE applies the maximum power according to the UE capability.
<b>allowedMeasBandwidth</b> If absent, the value corresponding to the downlink bandwidth indicated by the <i>dl-Bandwidth</i> included in <i>MasterInformationBlock</i> applies.
<b>s-IntraSearchP</b> Parameter " $S_{IntraSearchP}$ " in TS 36.304 [4]. See descriptions under <i>s-IntraSearch</i> .
<b>s-IntraSearchQ</b> Parameter " $S_{IntraSearchQ}$ " in TS 36.304 [4]. If the field is not present, the UE applies the (default) value of 0 dB for $S_{IntraSearchQ}$ .
<b>s-NonIntraSearchP</b> Parameter " $S_{nonIntraSearchP}$ " in TS 36.304 [4]. See descriptions under <i>s-NonIntraSearch</i> .
<b>s-NonIntraSearchQ</b> Parameter " $S_{nonIntraSearchQ}$ " in TS 36.304 [4]. If the field is not present, the UE applies the (default) value of 0 dB for $S_{nonIntraSearchQ}$ .
<b>q-QualMin</b> Parameter " $Q_{qualmin}$ " in TS 36.304 [4], applicable for intra-frequency neighbour cells. If the field is not present, the UE applies the (default) value of negative infinity for $Q_{qualmin}$ .
<b>threshServingLowQ</b> Parameter "Thresh <sub>Serving, LowQ</sub> " in TS 36.304 [4].

## SystemInformationBlockType4

The IE *SystemInformationBlockType4* contains neighbouring cell related information relevant only for intra-frequency cell re-selection. The IE includes cells with specific re-selection parameters as well as blacklisted cells.

### SystemInformationBlockType4 information element

```
-- ASN1START
SystemInformationBlockType4 ::= SEQUENCE {
    intraFreqNeighCellList          IntraFreqNeighCellList          OPTIONAL,  -- Need OR
```

```

    intraFreqBlackCellList      IntraFreqBlackCellList      OPTIONAL,  -- Need OR
    csg-PhysCellIdRange        PhysCellIdRange        OPTIONAL,  -- Cond CSG
    . . . .
    lateNonCriticalExtension    OCTET STRING          OPTIONAL   -- Need OP
}

IntraFreqNeighCellList ::= SEQUENCE (SIZE (1..maxCellIntra)) OF IntraFreqNeighCellInfo

IntraFreqNeighCellInfo ::= SEQUENCE {
    physCellId                PhysCellId,
    q-OffsetCell              Q-OffsetRange,
    . . .
}

IntraFreqBlackCellList ::= SEQUENCE (SIZE (1..maxCellBlack)) OF PhysCellIdRange

-- ASN1STOP

```

#### SystemInformationBlockType4 field descriptions

<b>intraFreqNeighCellList</b>	List of intra-frequency neighbouring cells with specific cell re-selection parameters.
<b>q-OffsetCell</b>	Parameter "Qoffset <sub>s,n</sub> " in TS 36.304 [4].
<b>intraFreqBlackCellList</b>	List of blacklisted intra-frequency neighbouring cells.
<b>csg-PhysCellIdRange</b>	Set of physical cell identities reserved for CSG cells on the frequency on which this field was received. The received <i>csg-PhysCellIdRange</i> applies if less than 24 hours has elapsed since it was received and it was received in the same primary PLMN. The 3 hour validity restriction (section 5.2.1.3) does not apply to this field.

Conditional presence	Explanation
CSG	This field is optional, need OP, for non-CSG cells, and mandatory for CSG cells.

#### – SystemInformationBlockType5

The IE *SystemInformationBlockType5* contains information relevant only for inter-frequency cell re-selection i.e. information about other E-UTRA frequencies and inter-frequency neighbouring cells relevant for cell re-selection. The IE includes cell re-selection parameters common for a frequency as well as cell specific re-selection parameters.

#### SystemInformationBlockType5 information element

```

-- ASN1START

SystemInformationBlockType5 ::= SEQUENCE {
    interFreqCarrierFreqList    InterFreqCarrierFreqList,
    . . . .
    lateNonCriticalExtension    OCTET STRING          OPTIONAL   -- Need OP
}

InterFreqCarrierFreqList ::= SEQUENCE (SIZE (1..maxFreq)) OF InterFreqCarrierFreqInfo

InterFreqCarrierFreqInfo ::= SEQUENCE {
    dl-CarrierFreq              ARFCN-ValueEUTRA,
    q-RxLevMin                 Q-RxLevMin,
    p-Max                      P-Max                      OPTIONAL,  -- Need OP
    t-ReselectionEUTRA         T-Reselection,
    t-ReselectionEUTRA-SF      SpeedStateScaleFactors    OPTIONAL,  -- Need OP
    threshX-High               ReselectionThreshold,
    threshX-Low                ReselectionThreshold,
    allowedMeasBandwidth       AllowedMeasBandwidth,
    presenceAntennaPort1       PresenceAntennaPort1,
    cellReselectionPriority     CellReselectionPriority    OPTIONAL,  -- Need OP
    neighCellConfig            NeighCellConfig,
    q-OffsetFreq               Q-OffsetRange             DEFAULT dB0,
    interFreqNeighCellList     InterFreqNeighCellList    OPTIONAL,  -- Need OR
    interFreqBlackCellList     InterFreqBlackCellList    OPTIONAL,  -- Need OR
    . . . .
    [ [ q-QualMin-r9            Q-QualMin-r9              OPTIONAL,  -- Need OP
      threshX-Q-r9             SEQUENCE {
        threshX-HighQ-r9       ReselectionThresholdQ-r9,

```

```

        threshX-LowQ-r9                ReselectionThresholdQ-r9                OPTIONAL                -- Cond RSRQ
    }
}
InterFreqNeighCellList ::=          SEQUENCE (SIZE (1..maxCellInter)) OF InterFreqNeighCellInfo
InterFreqNeighCellInfo ::=          SEQUENCE {
    physCellId                        PhysCellId,
    q-OffsetCell                      Q-OffsetRange
}
InterFreqBlackCellList ::=          SEQUENCE (SIZE (1..maxCellBlack)) OF PhysCellIdRange
-- ASN1STOP

```

### SystemInformationBlockType5 field descriptions

<b>p-Max</b>	Value applicable for the neighbouring E-UTRA cells on this carrier frequency. If absent the UE applies the maximum power according to the UE capability.
<b>threshX-High</b>	Parameter "Thresh <sub>X, HighP</sub> " in TS 36.304 [4].
<b>threshX-Low</b>	Parameter "Thresh <sub>X, LowP</sub> " in TS 36.304 [4].
<b>t-ReselectionEUTRA</b>	Parameter "Treselction <sub>EUTRA</sub> " in TS 36.304 [4].
<b>t-ReselectionEUTRA-SF</b>	Parameter "Speed dependent ScalingFactor for Treselction <sub>EUTRA</sub> " in TS 36.304 [4]. If the field is not present, the UE behaviour is specified in TS 36.304 [4].
<b>q-OffsetFreq</b>	Parameter "Qoffset <sub>frequency</sub> " in TS 36.304 [4].
<b>interFreqNeighCellList</b>	List of inter-frequency neighbouring cells with specific cell re-selection parameters.
<b>q-OffsetCell</b>	Parameter "Qoffset <sub>s,n</sub> " in TS 36.304 [4].
<b>interFreqBlackCellList</b>	List of blacklisted inter-frequency neighbouring cells.
<b>q-QualMin</b>	Parameter "Q <sub>qualmin</sub> " in TS 36.304 [4]. If the field is not present, the UE applies the (default) value of negative infinity for Q <sub>qualmin</sub> .
<b>threshX-HighQ</b>	Parameter "Thresh <sub>X, HighQ</sub> " in TS 36.304 [4].
<b>threshX-LowQ</b>	Parameter "Thresh <sub>X, LowQ</sub> " in TS 36.304 [4].

Conditional presence	Explanation
RSRQ	The field is mandatory present if <i>threshServingLowQ</i> is present in <i>systemInformationBlockType3</i> ; otherwise it is not present.

### SystemInformationBlockType6

The IE *SystemInformationBlockType6* contains information relevant only for inter-RAT cell re-selection i.e. information about UTRA frequencies and UTRA neighbouring cells relevant for cell re-selection. The IE includes cell re-selection parameters common for a frequency.

#### SystemInformationBlockType6 information element

```

-- ASN1START
SystemInformationBlockType6 ::=          SEQUENCE {
    carrierFreqListUTRA-FDD              CarrierFreqListUTRA-FDD              OPTIONAL,                -- Need OR
    carrierFreqListUTRA-TDD              CarrierFreqListUTRA-TDD              OPTIONAL,                -- Need OR
    t-ReselectionUTRA                    T-Reselection,
    t-ReselectionUTRA-SF                  SpeedStateScaleFactors                OPTIONAL,                -- Need OP
    . . . ,
    lateNonCriticalExtension              OCTET STRING                          OPTIONAL                -- Need OP
}

```

```

CarrierFreqListUTRA-FDD ::= SEQUENCE (SIZE (1..maxUTRA-FDD-Carrier)) OF CarrierFreqUTRA-FDD

CarrierFreqUTRA-FDD ::= SEQUENCE {
    carrierFreq ARFCN-ValueUTRA,
    cellReselectionPriority CellReselectionPriority OPTIONAL, -- Need OP
    threshX-High ReselectionThreshold,
    threshX-Low ReselectionThreshold,
    q-RxLevMin INTEGER (-60..-13),
    p-MaxUTRA INTEGER (-50..33),
    q-QualMin INTEGER (-24..0),
    ...,
    [[ threshX-Q-r9 SEQUENCE {
        threshX-HighQ-r9 ReselectionThresholdQ-r9,
        threshX-LowQ-r9 ReselectionThresholdQ-r9
    } OPTIONAL -- Cond RSRQ
]]
}

CarrierFreqListUTRA-TDD ::= SEQUENCE (SIZE (1..maxUTRA-TDD-Carrier)) OF CarrierFreqUTRA-TDD

CarrierFreqUTRA-TDD ::= SEQUENCE {
    carrierFreq ARFCN-ValueUTRA,
    cellReselectionPriority CellReselectionPriority OPTIONAL, -- Need OP
    threshX-High ReselectionThreshold,
    threshX-Low ReselectionThreshold,
    q-RxLevMin INTEGER (-60..-13),
    p-MaxUTRA INTEGER (-50..33),
    ...
}
-- ASN1STOP

```

#### SystemInformationBlockType6 field descriptions

<b><i>t-ReselectionUTRA</i></b>	Parameter "Treseselection <sub>UTRAN</sub> " in TS 36.304 [4].
<b><i>t-ReselectionUTRA-SF</i></b>	Parameter "Speed dependent ScalingFactor for Treseselection <sub>UTRA</sub> " in TS 36.304 [4]. If the field is not present, the UE behaviour is specified in TS 36.304 [4].
<b><i>carrierFreqListUTRA-FDD</i></b>	List of carrier frequencies of UTRA FDD.
<b><i>carrierFreqListUTRA-TDD</i></b>	List of carrier frequencies of UTRA TDD.
<b><i>threshX-High</i></b>	Parameter "Thresh <sub>X, HighP</sub> " in TS 36.304 [4].
<b><i>threshX-Low</i></b>	Parameter "Thresh <sub>X, LowP</sub> " in TS 36.304 [4].
<b><i>q-RxLevMin</i></b>	Parameter "Q <sub>rxlevmin</sub> " in TS 25.304 [40]. Actual value = IE value * 2+1 [dBm].
<b><i>p-MaxUTRA</i></b>	The maximum allowed transmission power on the (uplink) carrier frequency, see TS 25.304 [40]. In dBm
<b><i>q-QualMin</i></b>	Parameter "Q <sub>qualmin</sub> " in TS 25.304 [40]. Actual value = IE value [dB].
<b><i>threshX-HighQ</i></b>	Parameter "Thresh <sub>X, HighQ</sub> " in TS 36.304 [4].
<b><i>threshX-LowQ</i></b>	Parameter "Thresh <sub>X, LowQ</sub> " in TS 36.304 [4].

Conditional presence	Explanation
RSRQ	The field is mandatory present if the <i>threshServingLowQ</i> is present in <i>systemInformationBlockType3</i> ; otherwise it is not present.

#### SystemInformationBlockType7

The IE *SystemInformationBlockType7* contains information relevant only for inter-RAT cell re-selection i.e. information about GERAN frequencies relevant for cell re-selection. The IE includes cell re-selection parameters for each frequency.

**SystemInformationBlockType7 information element**

```

-- ASN1START
SystemInformationBlockType7 ::= SEQUENCE {
    t-ReselectionGERAN          T-Reselection,
    t-ReselectionGERAN-SF      SpeedStateScaleFactors          OPTIONAL, -- Need OR
    carrierFreqsInfoList       CarrierFreqsInfoListGERAN          OPTIONAL, -- Need OR
    ...,
    lateNonCriticalExtension    OCTET STRING                      OPTIONAL -- Need OP
}

CarrierFreqsInfoListGERAN ::= SEQUENCE (SIZE (1..maxGNFG)) OF CarrierFreqsInfoGERAN

CarrierFreqsInfoGERAN ::= SEQUENCE {
    carrierFreqs                CarrierFreqsGERAN,
    commonInfo                  SEQUENCE {
        cellReselectionPriority CellReselectionPriority          OPTIONAL, -- Need OP
        ncc-Permitted           BIT STRING (SIZE (8)),
        q-RxLevMin              INTEGER (0..45),
        p-MaxGERAN              INTEGER (0..39)                  OPTIONAL, -- Need OP
        threshX-High            ReselectionThreshold,
        threshX-Low             ReselectionThreshold
    },
    ...
}
-- ASN1STOP

```

**SystemInformationBlockType7 field descriptions**

<b>carrierFreqsInfoList</b>	Provides a list of neighbouring GERAN carrier frequencies, which may be monitored for neighbouring GERAN cells. The GERAN carrier frequencies are organised in groups and the cell reselection parameters are provided per group of GERAN carrier frequencies.
<b>carrierFreqs</b>	The list of GERAN carrier frequencies organised into one group of GERAN carrier frequencies.
<b>commonInfo</b>	Defines the set of cell reselection parameters for the group of GERAN carrier frequencies.
<b>t-ReselectionGERAN</b>	Parameter "Treseselection <sub>GERAN</sub> " in TS 36.304 [4].
<b>t-ReselectionGERAN-SF</b>	Parameter "Speed dependent ScalingFactor for Treseselection <sub>GERAN</sub> " in TS 36.304 [4]. If the field is not present, the UE behaviour is specified in TS 36.304 [4].
<b>ncc-Permitted</b>	Field encoded as a bit map, where bit N is set to "0" if a BCCH carrier with NCC = N-1 is not permitted for monitoring and set to "1" if the BCCH carrier with NCC = N-1 is permitted for monitoring; N = 1 to 8; bit 1 of the bitmap is the leading bit of the bit string.
<b>q-RxLevMin</b>	Parameter "Q <sub>rxlevmin</sub> " in TS 36.304 [1], minimum required RX level in the GSM cell. The actual value of Q <sub>rxlevmin</sub> in dBm = (IE value * 2) – 115.
<b>p-MaxGERAN</b>	Maximum allowed transmission power for GERAN on an uplink carrier frequency, see TS 45.008 [28]. Value in dBm. Applicable for the neighbouring GERAN cells on this carrier frequency. If <i>pmaxGERAN</i> is absent, the maximum power according to the UE capability is used.
<b>threshX-High</b>	Parameter "Thresh <sub>X, HighP</sub> " in TS 36.304 [4].
<b>threshX-Low</b>	Parameter "Thresh <sub>X, LowP</sub> " in TS 36.304 [4].

**SystemInformationBlockType8**

The IE *SystemInformationBlockType8* contains information relevant only for inter-RAT cell re-selection i.e. information about CDMA2000 frequencies and CDMA2000 neighbouring cells relevant for cell re-selection. The IE includes cell re-selection parameters common for a frequency as well as cell specific re-selection parameters.

**SystemInformationBlockType8 information element**

```

-- ASN1START

```

```

SystemInformationBlockType8 ::= SEQUENCE {
    systemTimeInfo          SystemTimeInfoCDMA2000          OPTIONAL, -- Need OR
    searchWindowSize        INTEGER (0..15)                 OPTIONAL, -- Need OR
    parametersHRPD          SEQUENCE {
        preRegistrationInfoHRPD      PreRegistrationInfoHRPD,
        cellReselectionParametersHRPD CellReselectionParametersCDMA2000 OPTIONAL -- Need OR
    }
    parameters1XRTT        SEQUENCE {
        csfb-RegistrationParam1XRTT  CSFB-RegistrationParam1XRTT  OPTIONAL, -- Need OP
        longCodeState1XRTT          BIT STRING (SIZE (42))          OPTIONAL, -- Need OR
        cellReselectionParameters1XRTT CellReselectionParametersCDMA2000 OPTIONAL -- Need OR
    }
    ...,
    lateNonCriticalExtension OCTET STRING                   OPTIONAL, -- Need OP
    [[ csfb-SupportForDualRxUEs-r9  BOOLEAN                 OPTIONAL, -- Need OR
        cellReselectionParametersHRPD-v920 CellReselectionParametersCDMA2000-v920 OPTIONAL, --
Cond NCL-HRPD
        cellReselectionParameters1XRTT-v920 CellReselectionParametersCDMA2000-v920 OPTIONAL, --
Cond NCL-1XRTT
        csfb-RegistrationParam1XRTT-v920  CSFB-RegistrationParam1XRTT-v920          OPTIONAL, --
Cond REG-1XRTT
        ac-BarringConfig1XRTT-r9          AC-BarringConfig1XRTT-r9          OPTIONAL -- Cond REG-
1XRTT
    ]]
}

CellReselectionParametersCDMA2000 ::= SEQUENCE {
    bandClassList          BandClassListCDMA2000,
    neighCellList          NeighCellListCDMA2000,
    t-ReselectionCDMA2000 T-Reselection,
    t-ReselectionCDMA2000-SF SpeedStateScaleFactors          OPTIONAL -- Need OP
}

CellReselectionParametersCDMA2000-v920 ::= SEQUENCE {
    neighCellList-v920      NeighCellListCDMA2000-v920
}

NeighCellListCDMA2000 ::= SEQUENCE (SIZE (1..16)) OF NeighCellCDMA2000

NeighCellCDMA2000 ::= SEQUENCE {
    bandClass              BandclassCDMA2000,
    neighCellsPerFreqList NeighCellsPerBandclassListCDMA2000
}

NeighCellsPerBandclassListCDMA2000 ::= SEQUENCE (SIZE (1..16)) OF NeighCellsPerBandclassCDMA2000

NeighCellsPerBandclassCDMA2000 ::= SEQUENCE {
    arfcn                  ARFCN-ValueCDMA2000,
    physCellIdList         PhysCellIdListCDMA2000
}

NeighCellListCDMA2000-v920 ::= SEQUENCE (SIZE (1..16)) OF NeighCellCDMA2000-v920

NeighCellCDMA2000-v920 ::= SEQUENCE {
    neighCellsPerFreqList NeighCellsPerBandclassListCDMA2000-v920
}

NeighCellsPerBandclassListCDMA2000-v920 ::= SEQUENCE (SIZE (1..16)) OF
NeighCellsPerBandclassCDMA2000-v920

NeighCellsPerBandclassCDMA2000-v920 ::= SEQUENCE {
    physCellIdList-v920    PhysCellIdListCDMA2000-v920
}

PhysCellIdListCDMA2000 ::= SEQUENCE (SIZE (1..16)) OF PhysCellIdCDMA2000

PhysCellIdListCDMA2000-v920 ::= SEQUENCE (SIZE (0..24)) OF PhysCellIdCDMA2000

BandClassListCDMA2000 ::= SEQUENCE (SIZE (1..maxCDMA-BandClass)) OF BandClassInfoCDMA2000

BandClassInfoCDMA2000 ::= SEQUENCE {
    bandClass              BandclassCDMA2000,
    cellReselectionPriority CellReselectionPriority          OPTIONAL, -- Need OP
    threshX-High           INTEGER (0..63),
    threshX-Low            INTEGER (0..63),
    ...
}

```

```

AC-BarringConfig1XRTT-r9 ::= SEQUENCE {
    ac-Barring0to9-r9          INTEGER (0..63),
    ac-Barring10-r9           INTEGER (0..7),
    ac-Barring11-r9           INTEGER (0..7),
    ac-Barring12-r9           INTEGER (0..7),
    ac-Barring13-r9           INTEGER (0..7),
    ac-Barring14-r9           INTEGER (0..7),
    ac-Barring15-r9           INTEGER (0..7),
    ac-BarringMsg-r9          INTEGER (0..7),
    ac-BarringReg-r9          INTEGER (0..7),
    ac-BarringEmg-r9          INTEGER (0..7)
}
-- ASN1STOP

```

### SystemInformationBlockType8 field descriptions

#### **systemTimeInfo**

Information on CDMA2000 system time. This field is required for a UE with *rx-ConfigHRPD*= 'single' and/ or *rx-Config1XRTT*= 'single' to perform handover, cell re-selection, UE measurement based redirection and enhanced 1xRTT CS fallback from E-UTRAN to CDMA2000 according to this specification and TS 36.304 [4]. This field is excluded when estimating changes in system information, i.e. changes of *systemTimeInfo* should neither result in system information change notifications nor in a modification of *systemInfoValueTag* in SIB1.

#### **searchWindowSize**

The search window size is a CDMA2000 parameter to be used to assist in searching for the neighbouring pilots. For values see C.S0005-A [25, Table 2.6.6.2.1-1] and C.S0024-A [26, Table 8.7.6.2-4]. This field is required for a UE with *rx-ConfigHRPD*= 'single' and/ or *rx-Config1XRTT*= 'single' to perform handover, cell re-selection, UE measurement based redirection and enhanced 1xRTT CS fallback from E-UTRAN to CDMA2000 according to this specification and TS 36.304 [4].

#### **parametersHRPD**

Parameters applicable only for interworking with CDMA2000 HRPD systems.

#### **preRegistrationInfoHRPD**

The CDMA2000 HRPD Pre-Registration Information tells the UE if it should pre-register with the CDMA2000 HRPD network and identifies the Pre-registration zone to the UE.

#### **cellReselectionParametersHRPD**

Cell reselection parameters applicable for cell reselection to CDMA2000 HRPD system

#### **bandClassList**

List of CDMA2000 frequency bands.

#### **bandClass**

Identifies the Frequency Band in which the Carrier can be found. Details can be found in C.S0057-B [24, Table 1.5].

#### **threshX-High**

Parameter "Thresh<sub>X, HighP</sub>" in TS 36.304 [4]. This specifies the high threshold used in reselection towards this CDMA2000 band class expressed as an unsigned binary number equal to FLOOR (-2 x 10 x log<sub>10</sub> E<sub>c</sub>/I<sub>0</sub>) in units of 0.5 dB, as defined in C.S0005-A [25].

#### **threshX-Low**

Parameter "Thresh<sub>X, LowP</sub>" in TS 36.304 [4]. This specifies the low threshold used in reselection towards this CDMA2000 band class expressed as an unsigned binary number equal to FLOOR (-2 x 10 x log<sub>10</sub> E<sub>c</sub>/I<sub>0</sub>) in units of 0.5 dB, as defined in C.S0005-A [25].

#### **t-ReselectionCDMA2000**

Parameter "Treselction<sub>CDMA\_HRPD</sub>" or "Treselction<sub>CDMA\_1XRTT</sub>" in TS 36.304 [4].

#### **t-ReselectionCDMA2000-SF**

Parameter "Speed dependent ScalingFactor for Treselction<sub>CDMA\_HRPD</sub>" or Treselction<sub>CDMA-1XRTT</sub>" in TS 36.304 [4]. If the field is not present, the UE behaviour is specified in TS 36.304 [4].

#### **neighCellList**

List of CDMA2000 neighbouring cells. The total number of neighbouring cells in neighCellList for each RAT (1XRTT or HRPD) is limited to 32.

#### **parameters1XRTT**

Parameters applicable for interworking with CDMA2000 1XRTT system.

<b>SystemInformationBlockType8 field descriptions</b>	
<b>csfb-RegistrationParam1XRTT</b>	Contains the parameters the UE will use to determine if it should perform a CDMA2000 1xRTT Registration/Re-Registration. This field is included if either CSFB or enhanced CS fallback to CDMA2000 1xRTT is supported.
<b>longCodeState1XRTT</b>	The state of long code generation registers in CDMA2000 1XRTT system as defined in C.S0002-A [12, Section 1.3] at $\lceil t/10 \rceil \times 10 + 320$ ms, where $t$ equals to the <i>cdma-SystemTime</i> . This field is required for SRVCC handover and enhanced CS fallback to CDMA2000 1xRTT operation. Otherwise this IE is not needed. This field is excluded when estimating changes in system information, i.e. changes of <i>longCodeState1XRTT</i> should neither result in system information change notifications nor in a modification of <i>systemInfoValueTag</i> in SIB1.
<b>cellReselectionParameters1XRTT</b>	Cell reselection parameters applicable only to CDMA2000 1xRTT system.
<b>neighCellsPerFreqList</b>	List of carrier frequencies and neighbour cell ids in each frequency within a CDMA2000 Band, see C.S0002-A [12] or C.S0024-A [26].
<b>physCellIdList</b>	Identifies the list of CDMA2000 cell ids, see C.S0002-A [12] or C.S0024-A [26].
<b>csfb-SupportForDualRxUEs</b>	Value TRUE indicates that the network supports dual Rx CSFB [51].
<b>cellReselectionParametersHRPD-v920</b>	Cell reselection parameters applicable for cell reselection to CDMA2000 HRPD system. The field is not present if <i>cellReselectionParametersHRPD</i> is not present; otherwise it is optionally present.
<b>cellReselectionParameters1XRTT-v920</b>	Cell reselection parameters applicable for cell reselection to CDMA2000 1XRTT system. The field is not present if <i>cellReselectionParameters1XRTT</i> is not present; otherwise it is optionally present.
<b>neighCellList-v920</b>	Extended List of CDMA2000 neighbouring cells. The combined total number of CDMA2000 neighbouring cells in both <i>neighCellList</i> and <i>neighCellList-v920</i> is limited to 32 for HRPD and 40 for 1xRTT.
<b>neighCellsPerFreqList-v920</b>	Extended list of neighbour cell ids, in the same CDMA2000 Frequency Band as the corresponding instance in "NeighCellListCDMA2000".
<b>physCellIdList-v920</b>	Extended list of CDMA2000 cell ids, in the same CDMA2000 ARFCN as the corresponding instance in "NeighCellsPerBandclassCDMA2000".
<b>ac-BarringConfig1XRTT</b>	Contains the access class barring parameters the UE uses to calculate the access class barring factor, see C.S0097 [53].
<b>ac-Barring0to9</b>	Parameter used for calculating the access class barring factor for access overload classes 0 through 9. It is the parameter "PSIST" in C.S0004-A [34] for access overload classes 0 through 9.
<b>ac-BarringN</b>	Parameter used for calculating the access class barring factor for access overload class N (N = 10 to 15). It is the parameter "PSIST" in C.S0004-A [34] for access overload class N.
<b>ac-BarringMsg</b>	Parameter used for modifying the access class barring factor for message transmissions. It is the parameter "MSG_PSIST" in C.S0004-A [34].
<b>ac-BarringReg</b>	Parameter used for modifying the access class barring factor for autonomous registrations. It is the parameter "REG_PSIST" in C.S0004-A [34].
<b>ac-BarringEmg</b>	Parameter used for calculating the access class barring factor for emergency calls and emergency message transmissions for access overload classes 0 through 9. It is the parameter "PSIST_EMG" in C.S0004-A [34].

Conditional presence	Explanation
<i>NCL-1XRTT</i>	The field is optional present, need OR, if <i>cellReselectionParameters1xRTT</i> is present; otherwise it is not present.
<i>NCL-HRPD</i>	The field is optional present, need OR, if <i>cellReselectionParametersHRPD</i> is present; otherwise it is not present.
<i>REG-1XRTT</i>	The field is optional present, need OR, if <i>csfb-RegistrationParam1XRTT</i> is present; otherwise it is not present.

## – SystemInformationBlockType9

The IE *SystemInformationBlockType9* contains a home eNB name (HNB Name).

### **SystemInformationBlockType9 information element**

```
-- ASN1START
SystemInformationBlockType9 ::= SEQUENCE {
    hnb-Name                OCTET STRING (SIZE(1..48))    OPTIONAL,    -- Need OR
    . . . ,
    lateNonCriticalExtension OCTET STRING                OPTIONAL    -- Need OP
}
-- ASN1STOP
```

#### **SystemInformationBlockType9 field descriptions**

##### **hnb-Name**

Carries the name of the home eNB, coded in UTF-8 with variable number of bytes per character, see TS 22.011 [10].

## – SystemInformationBlockType10

The IE *SystemInformationBlockType10* contains an ETWS primary notification.

### **SystemInformationBlockType10 information element**

```
-- ASN1START
SystemInformationBlockType10 ::= SEQUENCE {
    messageIdentifier        BIT STRING (SIZE (16)),
    serialNumber             BIT STRING (SIZE (16)),
    warningType              OCTET STRING (SIZE (2)),
    warningSecurityInfo      OCTET STRING (SIZE (50))    OPTIONAL,    -- Need OP
    . . . ,
    lateNonCriticalExtension OCTET STRING                OPTIONAL    -- Need OP
}
-- ASN1STOP
```

#### **SystemInformationBlockType10 field descriptions**

##### **messageIdentifier**

Identifies the source and type of ETWS notification. The leading bit (which is equivalent to the leading bit of the equivalent IE defined in TS 36.413 [39, 9.2.1.44]) contains bit 7 of the first octet of the equivalent IE, defined in and encoded according to TS 23.041 [37, 9.4.1.2.2], while the trailing bit contains bit 0 of the second octet of the same equivalent IE.

##### **serialNumber**

Identifies variations of an ETWS notification. The leading bit (which is equivalent to the leading bit of the equivalent IE defined in TS 36.413 [39, 9.2.1.45]) contains bit 7 of the first octet of the equivalent IE, defined in and encoded according to TS 23.041 [37, 9.4.1.2.1], while the trailing bit contains bit 0 of the second octet of the same equivalent IE.

##### **warningType**

Identifies the warning type of the ETWS primary notification and provides information on emergency user alert and UE popup. The first octet (which is equivalent to the first octet of the equivalent IE defined in TS 36.413 [39, 9.2.1.50]) contains the first octet of the equivalent IE defined in and encoded according to TS 23.041 [37, 9.3.24], and so on.

##### **warningSecurityInfo**

Provides security information for the ETWS notification. The first octet (which is equivalent to the first octet of the equivalent IE defined in TS 36.413 [39, 9.2.1.51]) contains the first octet of the equivalent IE defined in and encoded according to TS 23.041 [37, 9.3.25], and so on.

## – SystemInformationBlockType11

The IE *SystemInformationBlockType11* contains an ETWS secondary notification.

### SystemInformationBlockType11 information element

```
-- ASN1START
SystemInformationBlockType11 ::= SEQUENCE {
    messageIdentifier          BIT STRING (SIZE (16)),
    serialNumber              BIT STRING (SIZE (16)),
    warningMessageSegmentType ENUMERATED {notLastSegment, lastSegment},
    warningMessageSegmentNumber INTEGER (0..63),
    warningMessageSegment     OCTET STRING,
    dataCodingScheme          OCTET STRING (SIZE (1))          OPTIONAL,  -- Cond Segment1
    . . . ,
    lateNonCriticalExtension   OCTET STRING                    OPTIONAL  -- Need OP
}
-- ASN1STOP
```

### SystemInformationBlockType11 field descriptions

<b>messageIdentifier</b>	Identifies the source and type of ETWS notification. The leading bit (which is equivalent to the leading bit of the equivalent IE defined in TS 36.413 [39, 9.2.1.44]) contains bit 7 of the first octet of the equivalent IE, defined in and encoded according to TS 23.041 [37, 9.4.1.2.2], while the trailing bit contains bit 0 of second octet of the same equivalent IE.
<b>serialNumber</b>	Identifies variations of an ETWS notification. The leading bit (which is equivalent to the leading bit of the equivalent IE defined in TS 36.413 [39, 9.2.1.45]) contains bit 7 of the first octet of the equivalent IE, defined in and encoded according to TS 23.041 [37, 9.4.1.2.1], while the trailing bit contains bit 0 of second octet of the same equivalent IE.
<b>warningMessageSegmentType</b>	Indicates whether the included ETWS warning message segment is the last segment or not.
<b>warningMessageSegmentNumber</b>	Segment number of the ETWS warning message segment contained in the SIB. A segment number of zero corresponds to the first segment, one corresponds to the second segment, and so on.
<b>warningMessageSegment</b>	Carries a segment of the <i>Warning Message Contents</i> IE defined in TS 36.413 [39, 9.2.1.53]. The first octet of the <i>Warning Message Contents</i> IE is equivalent to the first octet of the <i>CB data</i> IE defined in and encoded according to TS 23.041 [37, 9.4.2.2.5] and so on.
<b>dataCodingScheme</b>	Identifies the alphabet/coding and the language applied variations of an ETWS notification. The octet (which is equivalent to the octet of the equivalent IE defined in TS 36.413 [39, 9.2.1.52]) contains the octet of the equivalent IE defined in TS 23.041 [37, 9.3.2.2.4] and encoded according to TS 23.038 [38].

Conditional presence	Explanation
<i>Segment1</i>	The field is mandatory present in the first segment of SIB11, otherwise it is not present.

## – SystemInformationBlockType12

The IE *SystemInformationBlockType12* contains a CMAS notification.

### SystemInformationBlockType12 information element

```
-- ASN1START
SystemInformationBlockType12-r9 ::= SEQUENCE {
    messageIdentifier-r9      BIT STRING (SIZE (16)),
    serialNumber-r9          BIT STRING (SIZE (16)),
    warningMessageSegmentType-r9 ENUMERATED {notLastSegment, lastSegment},
    warningMessageSegmentNumber-r9 INTEGER (0..63),
    warningMessageSegment-r9 OCTET STRING,
    dataCodingScheme-r9      OCTET STRING (SIZE (1))          OPTIONAL,  -- Cond Segment1
    lateNonCriticalExtension  OCTET STRING                    OPTIONAL  -- Need OP
}
-- ASN1STOP
```

```

}
...
-- ASN1STOP

```

#### **SystemInformationBlockType12 field descriptions**

<b>messageIdentifier</b>	Identifies the source and type of CMAS notification. The leading bit (which is equivalent to the leading bit of the equivalent IE defined in TS 36.413 [39, 9.2.1.44]) contains bit 7 of the first octet of the equivalent IE, defined in and encoded according to TS 23.041 [37, 9.4.1.2.2], while the trailing bit contains bit 0 of second octet of the same equivalent IE.
<b>serialNumber</b>	Identifies variations of a CMAS notification. The leading bit (which is equivalent to the leading bit of the equivalent IE defined in TS 36.413 [39, 9.2.1.45]) contains bit 7 of the first octet of the equivalent IE, defined in and encoded according to TS 23.041 [37, 9.4.1.2.1], while the trailing bit contains bit 0 of second octet of the same equivalent IE.
<b>warningMessageSegmentType</b>	Indicates whether the included CMAS warning message segment is the last segment or not.
<b>warningMessageSegmentNumber</b>	Segment number of the CMAS warning message segment contained in the SIB. A segment number of zero corresponds to the first segment, one corresponds to the second segment, and so on.
<b>warningMessageSegment</b>	Carries a segment of the <i>Warning Message Contents</i> IE defined in TS 36.413 [39]. The first octet of the <i>Warning Message Contents</i> IE is equivalent to the first octet of the <i>CB data</i> IE defined in and encoded according to TS 23.041 [37, 9.4.2.2.5] and so on.
<b>dataCodingScheme</b>	Identifies the alphabet/coding and the language applied variations of a CMAS notification. The octet (which is equivalent to the octet of the equivalent IE defined in TS 36.413 [39, 9.2.1.52]) contains the octet of the equivalent IE defined in TS 23.041 [37, 9.3.2.2.4] and encoded according to TS 23.038 [38].

Conditional presence	Explanation
<i>Segment1</i>	The field is mandatory present in the first segment of SIB12, otherwise it is not present.

### – *SystemInformationBlockType13*

The IE *SystemInformationBlockType13* contains the information required to acquire the MBMS control information associated with one or more MBSFN areas.

#### **SystemInformationBlockType13 information element**

```

-- ASN1START
SystemInformationBlockType13-r9 ::= SEQUENCE {
    mbsfn-AreaInfoList-r9          MBSFN-AreaInfoList-r9,
    notificationConfig-r9         MBMS-NotificationConfig-r9,
    lateNonCriticalExtension       OCTET STRING                OPTIONAL, -- Need OP
    ...
}
-- ASN1STOP

```

#### **SystemInformationBlockType13 field descriptions**

## 6.3.2 Radio resource control information elements

### – *AntennaInfo*

The IE *AntennaInfoCommon* and the *AntennaInfoDedicated* are used to specify the common and the UE specific antenna configuration respectively.

#### **AntennaInfo information elements**

```

-- ASN1START

```

```

AntennaInfoCommon ::=
  antennaPortsCount
}
SEQUENCE {
  ENUMERATED {an1, an2, an4, spare1}
}

AntennaInfoDedicated ::=
  transmissionMode
  codebookSubsetRestriction
  n2TxAntenna-tm3
  n4TxAntenna-tm3
  n2TxAntenna-tm4
  n4TxAntenna-tm4
  n2TxAntenna-tm5
  n4TxAntenna-tm5
  n2TxAntenna-tm6
  n4TxAntenna-tm6
}
OPTIONAL,
  ue-TransmitAntennaSelection
  release
  setup
}
CHOICE {
  NULL,
  ENUMERATED {closedLoop, openLoop}
}
-- Cond TM

AntennaInfoDedicated-v920 ::=
  codebookSubsetRestriction-v920
  n2TxAntenna-tm8-r9
  n4TxAntenna-tm8-r9
}
OPTIONAL
-- Cond TM8

-- ASN1STOP
    
```

<b>AntennaInfo field descriptions</b>	
<b>antennaPortsCount</b>	Parameter represents the number of cell specific antenna ports where an1 corresponds to 1, an2 to 2 antenna ports etc. see TS 36.211 [21, 6.2.1].
<b>transmissionMode</b>	Points to one of Transmission modes defined in TS 36.213 [23, 7.1] where tm1 refers to transmission mode 1, tm2 to transmission mode 2 etc.
<b>codebookSubsetRestriction</b>	Parameter: <i>codebookSubsetRestriction</i> , see TS 36.213 [23, 7.2] and TS 36.211 [21, 6.3.4.2.3]. The field <i>codebookSubsetRestriction-v920</i> is applicable only if PMI/RI reporting is configured.
<b>ue-TransmitAntennaSelection</b>	For value <i>setup</i> the field indicates whether UE transmit antenna selection control is closed-loop or open-loop as described in TS 36.213 [23, 8.7].

Conditional presence	Explanation
<i>TM</i>	The field is mandatory present if the <i>transmissionMode</i> is set to tm3, tm4, tm5 or tm6. Otherwise the field is not present and the UE shall delete any existing value for this field.
<i>TM8</i>	The field is optional present, need OR, if <i>AntennaInfoDedicated</i> is included and <i>transmissionMode</i> is set to <i>tm8</i> . If <i>AntennaInfoDedicated</i> is included and <i>transmissionMode</i> is set to a value other than <i>tm8</i> , the field is not present and the UE shall delete any existing value for this field. Otherwise the field is not present and the UE takes no action i.e. continues to use the existing value, if previously configured.

– **CQI-ReportConfig**

The IE *CQI-ReportConfig* is used to specify the CQI reporting configuration.

**CQI-ReportConfig information elements**

```

-- ASN1START

CQI-ReportConfig ::=
  cqi-ReportModeAperiodic
SEQUENCE {
  ENUMERATED {
    rm12, rm20, rm22, rm30, rm31,
    spare3, spare2, spare1} OPTIONAL,
  -- Need OR
    
```

```

nomPDSCH-RS-EPRE-Offset          INTEGER (-1..6),
cqi-ReportPeriodic              CQI-ReportPeriodic OPTIONAL          -- Need ON
}

CQI-ReportConfig-v920 ::=      SEQUENCE {
  cqi-Mask-r9                    ENUMERATED {setup}                OPTIONAL,          -- Cond cqi-Setup
  pmi-RI-Report-r9              ENUMERATED {setup}                OPTIONAL          -- Cond PMIRI
}

CQI-ReportPeriodic ::=        CHOICE {
  release                        NULL,
  setup                          SEQUENCE {
    cqi-PUCCH-ResourceIndex      INTEGER (0.. 1185),
    cqi-pmi-ConfigIndex          INTEGER (0..1023),
    cqi-FormatIndicatorPeriodic CHOICE {
      widebandCQI                NULL,
      subbandCQI                 SEQUENCE {
        k                          INTEGER (1..4)
      }
    },
    ri-ConfigIndex              INTEGER (0..1023) OPTIONAL,          -- Need OR
    simultaneousAckNackAndCQI    BOOLEAN
  }
}

-- ASN1STOP

```

<b>CQI-ReportConfig field descriptions</b>	
<b>cqi-PUCCH-ResourceIndex</b>	Parameter $n_{PUCCH}^{(2)}$ , see TS 36.213 [23, 7.2].
<b>cqi-pmi-ConfigIndex</b>	Parameter: CQI/PMI Periodicity and Offset Configuration Index $I_{CQI/PMI}$ , see TS 36.213 [23, tables 7.2.2-1A and 7.2.2-1C].
<b>ri-ConfigIndex</b>	Parameter: RI Config Index $I_{RI}$ , see TS 36.213 [23, 7.2.2-1B].
<b>K</b>	Parameter: K, see TS 36.213 [23, 7.2.2].
<b>cqi-FormatIndicatorPeriodic</b>	Parameter: <i>PUCCH CQI Feedback Type</i> , see TS 36.213 [23, table 7.2.2-1]. Depending on transmissionMode, reporting mode is implicitly given from the table.
<b>simultaneousAckNackAndCQI</b>	Parameter: <i>Simultaneous-AN-and-CQI</i> . see TS 36.213 [23, 10.1] TRUE indicates that simultaneous transmission of ACK/NACK and CQI is allowed.
<b>cqi-ReportModeAperiodic</b>	Parameter: <i>reporting mode</i> . Value rm12 corresponds to Mode 1-2, rm20 corresponds to Mode 2-0, rm22 corresponds to Mode 2-2 etc. PUSCH reporting modes are described in TS 36.213 [23, 7.2.1].
<b>nomPDSCH-RS-EPRE-Offset</b>	Parameter: $\Delta_{offset}$ see TS 36.213 [23, 7.2.3]. Actual value = IE value * 2 [dB].
<b>cqi-Mask</b>	Limits CQI/PMI/RI reports to the on-duration period of the DRX cycle, see TS 36.321 [6].
<b>pmi-RI-Report</b>	See TS 36.213 [23, 7.2]. The presence of this field means PMI/RI reporting is configured, which is applicable only when <i>transmissionMode</i> is set to <i>tm8</i> ; otherwise PMI/RI reporting is not configured.

<b>Conditional presence</b>	<b>Explanation</b>
<i>cqi-Setup</i>	The field is optional present, need OR, if the <i>cqi-ReportPeriodic</i> in the <i>cqi-ReportConfig</i> is set to 'setup'. If the field <i>cqi-ReportPeriodic</i> is present and set to 'release', the field is not present and the UE shall delete any existing value for this field. Otherwise the field is not present and the UE takes no action i.e. continues to use the existing value, if previously configured.
<i>PMIRI</i>	The field is optional present, need OR, if <i>cqi-ReportPeriodic</i> is included and set to 'setup', or <i>cqi-ReportModeAperiodic</i> is included. If the field <i>cqi-ReportPeriodic</i> is present and set to 'release' and <i>cqi-ReportModeAperiodic</i> is absent, the field is not present and the UE shall delete any existing value for this field. Otherwise the field is not present and the UE takes no action i.e. continues to use the existing value, if previously configured.

## – DRB-Identity

The IE *DRB-Identity* is used to identify a DRB used by a UE.

### **DRB-Identity information elements**

```
-- ASN1START
DRB-Identity ::=
    INTEGER (1..32)
-- ASN1STOP
```

## – LogicalChannelConfig

The IE *LogicalChannelConfig* is used to configure the logical channel parameters.

### **LogicalChannelConfig information element**

```
-- ASN1START
LogicalChannelConfig ::=
    SEQUENCE {
        ul-SpecificParameters
            SEQUENCE {
                priority
                    INTEGER (1..16),
                prioritisedBitRate
                    ENUMERATED {
                        kBps0, kBps8, kBps16, kBps32, kBps64, kBps128,
                        kBps256, infinity, spare8, spare7, spare6,
                        spare5, spare4, spare3, spare2, spare1},
                bucketSizeDuration
                    ENUMERATED {
                        ms50, ms100, ms150, ms300, ms500, ms1000, spare2,
                        spare1},
                logicalChannelGroup
                    INTEGER (0..3)
            }
            OPTIONAL,
            -- Need OR
            -- Cond UL
        ...,
        [[ logicalChannelSR-Mask-r9
            ENUMERATED {setup}
        ]]
        OPTIONAL
    }
-- ASN1STOP
```

### **LogicalChannelConfig field descriptions**

<b>priority</b>	Logical channel priority in TS 36.321 [6]. Value is an integer.
<b>prioritisedBitRate</b>	Prioritized Bit Rate for logical channel prioritization in TS 36.321 [6]. Value in kilobytes/second. Value kBps0 corresponds to 0 kB/second, kBps8 corresponds to 8 kB/second, kBps16 corresponds to 16 kB/second and so on. Infinity is the only applicable value for SRB1 and SRB2
<b>bucketSizeDuration</b>	Bucket Size Duration for logical channel prioritization in TS 36.321 [6]. Value in milliseconds. Value ms50 corresponds to 50 ms, ms100 corresponds to 100 ms and so on.
<b>logicalChannelGroup</b>	Mapping of logical channel to logical channel group for BSR reporting in TS 36.321 [6].
<b>logicalChannelSR-Mask</b>	Controlling SR triggering on a logical channel basis when an uplink grant is configured. See TS 36.321 [6].

Conditional presence	Explanation
UL	The field is mandatory present for UL logical channels; otherwise it is not present.
SRmask	The field is optionally present if <i>ul-SpecificParameters</i> is present, need OR; otherwise it is not present.

## – MAC-MainConfig

The IE *MAC-MainConfig* is used to specify the MAC main configuration for signalling and data radio bearers.

**MAC-MainConfig information element**

```

-- ASN1START
MAC-MainConfig ::=
  ul-SCH-Config
    maxHARQ-Tx
    periodicBSR-Timer
    retxBSR-Timer
    ttiBundling
  }
  drx-Config
  timeAlignmentTimerDedicated
  phr-Config
    release
    setup
      periodicPHR-Timer
      prohibitPHR-Timer
      dl-PathlossChange
    }
  }
  ...,
  [[ sr-ProhibitTimer-r9
  ]]
}

DRX-Config ::=
  release
  setup
    onDurationTimer
    drx-InactivityTimer
    drx-RetransmissionTimer
    longDRX-CycleStartOffset
      sf10
      sf20
      sf32
      sf40
      sf64
      sf80
      sf128
      sf160
      sf256
      sf320
      sf512
      sf640
      sf1024
      sf1280
      sf2048
      sf2560
    },
  shortDRX
    shortDRX-Cycle
  }
SEQUENCE {
  SEQUENCE {
    ENUMERATED {
      n1, n2, n3, n4, n5, n6, n7, n8,
      n10, n12, n16, n20, n24, n28,
      spare2, spare1} OPTIONAL, -- Need ON
    ENUMERATED {
      sf5, sf10, sf16, sf20, sf32, sf40, sf64, sf80,
      sf128, sf160, sf320, sf640, sf1280, sf2560,
      infinity, spare1} OPTIONAL, -- Need ON
    ENUMERATED {
      sf320, sf640, sf1280, sf2560, sf5120,
      sf10240, spare2, spare1},
    BOOLEAN
  }
  DRX-Config
  TimeAlignmentTimer,
  CHOICE {
    NULL,
    SEQUENCE {
      ENUMERATED {sf10, sf20, sf50, sf100, sf200,
        sf500, sf1000, infinity},
      ENUMERATED {sf0, sf10, sf20, sf50, sf100,
        sf200, sf500, sf1000},
      ENUMERATED {dB1, dB3, dB6, infinity}
    }
  }
  OPTIONAL, -- Need ON
  ...,
  [[ sr-ProhibitTimer-r9
  ]]
  INTEGER (0..7)
  OPTIONAL -- Need ON
}

CHOICE {
  NULL,
  SEQUENCE {
    ENUMERATED {
      psf1, psf2, psf3, psf4, psf5, psf6,
      psf8, psf10, psf20, psf30, psf40,
      psf50, psf60, psf80, psf100,
      psf200},
    ENUMERATED {
      psf1, psf2, psf3, psf4, psf5, psf6,
      psf8, psf10, psf20, psf30, psf40,
      psf50, psf60, psf80, psf100,
      psf200, psf300, psf500, psf750,
      psf1280, psf1920, psf2560, spare10,
      spare9, spare8, spare7, spare6,
      spare5, spare4, spare3, spare2,
      spare1},
    ENUMERATED {
      psf1, psf2, psf4, psf6, psf8, psf16,
      psf24, psf33},
    CHOICE {
      INTEGER(0..9),
      INTEGER(0..19),
      INTEGER(0..31),
      INTEGER(0..39),
      INTEGER(0..63),
      INTEGER(0..79),
      INTEGER(0..127),
      INTEGER(0..159),
      INTEGER(0..255),
      INTEGER(0..319),
      INTEGER(0..511),
      INTEGER(0..639),
      INTEGER(0..1023),
      INTEGER(0..1279),
      INTEGER(0..2047),
      INTEGER(0..2559)
    }
  }
  SEQUENCE {
    ENUMERATED {
      sf2, sf5, sf8, sf10, sf16, sf20,
      sf32, sf40, sf64, sf80, sf128, sf160,

```

```

        drxShortCycleTimer          sf256, sf320, sf512, sf640},
    }                               INTEGER (1..16)
    }                               -- Need OR
}
-- ASN1STOP

```

### MAC-MainConfig field descriptions

<b>maxHARQ-Tx</b>	Maximum number of transmissions for UL HARQ in TS 36.321 [6].
<b>periodicBSR-Timer</b>	Timer for BSR reporting in TS 36.321 [6]. Value in number of sub-frames. Value sf10 corresponds to 10 sub-frames, sf20 corresponds to 20 sub-frames and so on.
<b>retxBSR-Timer</b>	Timer for BSR reporting in TS 36.321 [6]. Value in number of sub-frames. Value sf640 corresponds to 640 sub-frames, sf1280 corresponds to 1280 sub-frames and so on.
<b>ttxBundling</b>	TRUE indicates that TTI bundling TS 36.321 [6] is enabled while FALSE indicates that TTI bundling is disabled. TTI bundling can be enabled for FDD and for TDD only for configurations 0, 1 and 6. For TDD, E-UTRAN does not simultaneously enable TTI bundling and semi-persistent scheduling in this release of specification.
<b>longDRX-CycleStartOffset</b>	<i>longDRX-Cycle</i> and <i>drxStartOffset</i> in TS 36.321 [6]. The value of <i>longDRX-Cycle</i> is in number of sub-frames. Value sf10 corresponds to 10 sub-frames, sf20 corresponds to 20 sub-frames and so on. If <i>shortDRX-Cycle</i> is configured, the value of <i>longDRX-Cycle</i> shall be a multiple of the <i>shortDRX-Cycle</i> value. The value of <i>drxStartOffset</i> value is in number of sub-frames.
<b>onDurationTimer</b>	Timer for DRX in TS 36.321 [6]. Value in number of PDCCH sub-frames. Value psf1 corresponds to 1 PDCCH sub-frame, psf2 corresponds to 2 PDCCH sub-frames and so on.
<b>drx-InactivityTimer</b>	Timer for DRX in TS 36.321 [6]. Value in number of PDCCH sub-frames. Value psf1 corresponds to 1 PDCCH sub-frame, psf2 corresponds to 2 PDCCH sub-frames and so on.
<b>drx-RetransmissionTimer</b>	Timer for DRX in TS 36.321 [6]. Value in number of PDCCH sub-frames. Value psf1 corresponds to 1 PDCCH sub-frame, psf2 corresponds to 2 PDCCH sub-frames and so on.
<b>shortDRX-Cycle</b>	Short DRX cycle in TS 36.321 [6]. Value in number of sub-frames. Value sf2 corresponds to 2 sub-frames, sf5 corresponds to 5 subframes and so on.
<b>drxShortCycleTimer</b>	Timer for DRX in TS 36.321 [6]. Value in multiples of shortDRX-Cycle. A value of 1 corresponds to shortDRX-Cycle, a value of 2 corresponds to 2 * shortDRX-Cycle and so on.
<b>periodicPHR-Timer</b>	Timer for PHR reporting in TS 36.321 [6]. Value in number of sub-frames. Value sf10 corresponds to 10 subframes, sf20 corresponds to 20 subframes and so on.
<b>prohibitPHR-Timer</b>	Timer for PHR reporting in TS 36.321 [6]. Value in number of sub-frames. Value sf0 corresponds to 0 subframes, sf100 corresponds to 100 subframes and so on.
<b>dl-PathlossChange</b>	DL Pathloss Change for PHR reporting in TS 36.321 [6]. Value in dB. Value dB1 corresponds to 1 dB, dB3 corresponds to 3 dB and so on.
<b>sr-ProhibitTimer</b>	Timer for SR transmission on PUCCH in TS 36.321 [6]. Value in number of SR period(s). Value 0 means no timer for SR transmission on PUCCH is configured. Value 1 corresponds to one SR period, Value 2 corresponds to 2*SR periods and so on.

### – PDCP-Config

The IE *PDCP-Config* is used to set the configurable PDCP parameters for data radio bearers.

### PDCP-Config information element

```

-- ASN1START
PDCP-Config ::=
    discardTimer          SEQUENCE {
                        ENUMERATED {
                            ms50, ms100, ms150, ms300, ms500,

```

```

        ms750, ms1500, infinity
    }
    rlc-AM SEQUENCE {
        statusReportRequired BOOLEAN OPTIONAL, -- Cond Setup
    }
    rlc-UM SEQUENCE {
        pdcp-SN-Size ENUMERATED {len7bits, len12bits} OPTIONAL, -- Cond Rlc-AM
    }
    headerCompression CHOICE {
        notUsed NULL,
        rohc SEQUENCE {
            maxCID INTEGER (1..16383) DEFAULT 15,
            profiles SEQUENCE {
                profile0x0001 BOOLEAN,
                profile0x0002 BOOLEAN,
                profile0x0003 BOOLEAN,
                profile0x0004 BOOLEAN,
                profile0x0006 BOOLEAN,
                profile0x0101 BOOLEAN,
                profile0x0102 BOOLEAN,
                profile0x0103 BOOLEAN,
                profile0x0104 BOOLEAN
            },
            ...
        },
        ...
    },
    ...
}
-- ASN1STOP

```

**PDCP-Config field descriptions**

<b>discardTimer</b>	Indicates the discard timer value specified in TS 36.323 [8]. Value in milliseconds. Value ms50 means 50 ms, ms100 means 100 ms and so on.
<b>statusReportRequired</b>	Indicates whether or not the UE shall send a PDCP Status Report upon re-establishment of the PDCP entity as specified in TS 36.323 [8].
<b>pdcp-SN-Size</b>	Indicates the PDCP Sequence Number length in bits. Value len7bits means that the 7-bit PDCP SN format is used and len12bits means that the 12-bit PDCP SN format is used, as specified in TS 36.323 [8].
<b>maxCID</b>	Indicates the value of the MAX_CID parameter as specified in TS 36.323 [8].
<b>profiles</b>	The profiles used by both compressor and decompressor in both UE and E-UTRAN. The field indicates which of the ROHC profiles specified in TS 36.323 [8] are supported, i.e. value 'true' indicates that the profile is supported. Profile 0x0000 shall always be supported when the use of ROHC is configured. If support of two ROHC profile identifiers with the same 8 LSB's is signalled, only the profile corresponding to the highest value shall be applied.

Conditional presence	Explanation
Setup	The field is mandatory present in case of radio bearer setup. Otherwise the field is not present.
Rlc-AM	The field is mandatory present upon setup of a PDCP entity for a radio bearer configured with RLC AM. The field is optional, need ON, in case of reconfiguration of a PDCP entity at handover for a radio bearer configured with RLC AM. Otherwise the field is not present.
Rlc-UM	The field is mandatory present upon setup of a PDCP entity for a radio bearer configured with RLC UM. Otherwise the field is not present.

**PDSCH-Config**

The IE *PDSCH-ConfigCommon* and the IE *PDSCH-ConfigDedicated* are used to specify the common and the UE specific PDSCH configuration respectively.

**PDSCH-Config** information element

```

-- ASN1START
PDSCH-ConfigCommon ::= SEQUENCE {
    referenceSignalPower    INTEGER (-60..50),
    p-b                     INTEGER (0..3)
}
PDSCH-ConfigDedicated ::= SEQUENCE {
    p-a                     ENUMERATED {
        dB-6, dB-4dot77, dB-3, dB-1dot77,
        dB0, dB1, dB2, dB3}
}
-- ASN1STOP

```

**PDSCH-Config** field descriptions

<b>referenceSignalPower</b> Parameter: <i>Reference-signal power</i> , which provides the downlink reference-signal EPRE, see TS 36.213 [23, 5.2]. The actual value in dBm.
<b>p-a</b> Parameter: $P_A$ , see TS 36.213 [23, 5.2]. Value dB-6 corresponds to -6 dB, dB-4dot77 corresponds to -4.77 dB etc.
<b>p-b</b> Parameter: $P_B$ , see TS 36.213 [23, Table 5.2-1].

**PHICH-Config**

The IE *PHICH-Config* is used to specify the PHICH configuration.

**PHICH-Config** information element

```

-- ASN1START
PHICH-Config ::= SEQUENCE {
    phich-Duration          ENUMERATED {normal, extended},
    phich-Resource          ENUMERATED {oneSixth, half, one, two}
}
-- ASN1STOP

```

**PHICH-Config** field descriptions

<b>phich-Duration</b> Parameter: <i>PHICH-Duration</i> , see TS 36.211 [21, Table 6.9.3-1].
<b>phich-Resource</b> Parameter: $N_g$ , see TS 36.211 [21, 6.9]. Value oneSixth corresponds to 1/6, half corresponds to 1/2 and so on.

**PhysicalConfigDedicated**

The IE *PhysicalConfigDedicated* is used to specify the UE specific physical channel configuration.

**PhysicalConfigDedicated** information element

```

-- ASN1START
PhysicalConfigDedicated ::= SEQUENCE {
    pdsch-ConfigDedicated    PDSCH-ConfigDedicated    OPTIONAL,    -- Need ON
    pucch-ConfigDedicated    PUCCH-ConfigDedicated    OPTIONAL,    -- Need ON
    pusch-ConfigDedicated    PUSCH-ConfigDedicated    OPTIONAL,    -- Need ON
    uplinkPowerControlDedicated    UplinkPowerControlDedicated    OPTIONAL,    -- Need ON
    tpc-PDCCH-ConfigPUCCH    TPC-PDCCH-Config    OPTIONAL,    -- Need ON
    tpc-PDCCH-ConfigPUSCH    TPC-PDCCH-Config    OPTIONAL,    -- Need ON
    cqi-ReportConfig          CQI-ReportConfig    OPTIONAL,    -- Need ON
    soundingRS-UL-ConfigDedicated    SoundingRS-UL-ConfigDedicated    OPTIONAL,    -- Need ON
    antennaInfo                CHOICE {
        explicitValue          AntennaInfoDedicated,

```

```

        defaultValue          NULL
    } OPTIONAL,
    schedulingRequestConfig    SchedulingRequestConfig    OPTIONAL,    -- Need ON
    ...,
    [ [ cqi-ReportConfig-v920    CQI-ReportConfig-v920    OPTIONAL,    -- Need ON
        antennaInfo-v920        AntennaInfoDedicated-v920    OPTIONAL    -- Need ON
    ] ]
}
-- ASN1STOP

```

#### **PhysicalConfigDedicated field descriptions**

<b>antennaInfo</b>
A choice is used to indicate whether the <i>antennaInfo</i> is signalled explicitly or set to the default antenna configuration as specified in section 9.2.4.
<b>tpc-PDCCH-ConfigPUCCH</b>
PDCCH configuration for power control of PUCCH using format 3/3A, see TS 36.212 [22].
<b>tpc-PDCCH-ConfigPUSCH</b>
PDCCH configuration for power control of PUSCH using format 3/3A, see TS 36.212 [22].

NOTE: During handover, the UE performs a MAC reset, which involves reverting to the default CQI/ SRS/ SR configuration in accordance with subclause 5.3.13 and TS 36.321 [6, 5.9 & 5.2]. Hence, for these parts of the dedicated radio resource configuration, the default configuration (rather than the configuration used in the source cell) is used as the basis for the delta signalling that is included in the message used to perform handover.

#### – **P-Max**

The IE *P-Max* is used to limit the UE's uplink transmission power on a carrier frequency and is used to calculate the parameter *Pcompensation* defined in TS 36.304 [4]. Corresponds to parameter  $P_{EMAX}$  in TS 36.101 [42]. The UE transmit power shall not exceed the configured maximum UE output power determined by this value as specified in TS 36.101 [42, 6.2.5].

#### **P-Max information element**

```

-- ASN1START
P-Max ::=          INTEGER (-30..33)
-- ASN1STOP

```

#### – **PRACH-Config**

The IE *PRACH-ConfigSIB* and IE *PRACH-Config* are used to specify the PRACH configuration in the system information and in the mobility control information, respectively.

#### **PRACH-Config information elements**

```

-- ASN1START
PRACH-ConfigSIB ::=          SEQUENCE {
    rootSequenceIndex          INTEGER (0..837),
    prach-ConfigInfo           PRACH-ConfigInfo
}
PRACH-Config ::=            SEQUENCE {
    rootSequenceIndex          INTEGER (0..837),
    prach-ConfigInfo           PRACH-ConfigInfo          OPTIONAL    -- Need ON
}
PRACH-ConfigInfo ::=        SEQUENCE {
    prach-ConfigIndex          INTEGER (0..63),
    highSpeedFlag              BOOLEAN,
    zeroCorrelationZoneConfig  INTEGER (0..15),
    prach-FreqOffset           INTEGER (0..94)
}
-- ASN1STOP

```

```
-- ASN1STOP
```

#### **PRACH-Config field descriptions**

<b>rootSequenceIndex</b> Parameter: <i>RACH_ROOT_SEQUENCE</i> , see TS 36.211 [21, 5.7.1].
<b>prach-ConfigIndex</b> Parameter: <i>prach-ConfigurationIndex</i> , see TS 36.211 [21, 5.7.1].
<b>highSpeedFlag</b> Parameter: High-speed-flag, see TS 36.211, [21, 5.7.2]. TRUE corresponds to Restricted set and FALSE to Unrestricted set.
<b>zeroCorrelationZoneConfig</b> Parameter: <i>Ncs</i> configuration, see TS 36.211, [21, 5.7.2: table 5.7.2-2] for preamble format 0..3 and TS 36.211, [21, 5.7.2: table 5.7.2-3] for preamble format 4.
<b>prach-FreqOffset</b> Parameter: <i>prach-FrequencyOffset</i> , see TS 36.211, [21, 5.7.1]. For TDD the value range is dependent on the value of <i>prach-ConfigIndex</i> .

#### – **PresenceAntennaPort1**

The IE *PresenceAntennaPort1* is used to indicate whether all the neighbouring cells use Antenna Port 1. When set to *TRUE*, the UE may assume that at least two cell-specific antenna ports are used in all neighbouring cells.

#### **PresenceAntennaPort1 information element**

```
-- ASN1START
PresenceAntennaPort1 ::=                BOOLEAN
-- ASN1STOP
```

#### – **PUCCH-Config**

The IE *PUCCH-ConfigCommon* and IE *PUCCH-ConfigDedicated* are used to specify the common and the UE specific PUCCH configuration respectively.

#### **PUCCH-Config information elements**

```
-- ASN1START
PUCCH-ConfigCommon ::=                SEQUENCE {
    deltaPUCCH-Shift                    ENUMERATED {ds1, ds2, ds3},
    nRB-CQI                             INTEGER (0..98),
    nCS-AN                               INTEGER (0..7),
    n1PUCCH-AN                          INTEGER (0..2047)
}
PUCCH-ConfigDedicated ::=             SEQUENCE {
    ackNackRepetition                   CHOICE{
        release                          NULL,
        setup                             SEQUENCE {
            repetitionFactor              ENUMERATED {n2, n4, n6, spare1},
            n1PUCCH-AN-Rep                INTEGER (0..2047)
        }
    },
    tdd-AckNackFeedbackMode             ENUMERATED {bundling, multiplexing} OPTIONAL -- Cond TDD
}
-- ASN1STOP
```

<b>PUCCH-Config field descriptions</b>	
<b>deltaPUCCH-Shift</b>	Parameter: $\Delta_{\text{shift}}^{\text{PUCCH}}$ , see 36.211, 5.4.1, where ds1 corresponds to value 1 ds2 to 2 etc.
<b>nRB-CQI</b>	Parameter: $N_{\text{RB}}^{(2)}$ , see TS 36.211 [21, 5.4].
<b>nCS-An</b>	Parameter: $N_{\text{cs}}^{(1)}$ see TS 36.211 [21, 5.4].
<b>n1Pucch-AN</b>	Parameter: $N_{\text{PUCCH}}^{(1)}$ see TS 36.213 [23, 10.1].
<b>ackNackRepetition</b>	Parameter indicates whether ACK/NACK repetition is configured, see TS 36.213 [23, 10.1].
<b>repetitionFactor</b>	Parameter $N_{\text{ANRep}}$ see TS 36.213 [23, 10.1] where n2 corresponds to repetition factor 2, n4 to 4.
<b>n1Pucch-AN-Rep</b>	Parameter: $n_{\text{PUCCH, ANRep}}^{(1)}$ see TS 36.213 [23, 10.1].
<b>tdd-AckNackFeedbackMode</b>	Parameter indicates one of the two TDD ACK/NACK feedback modes used, see TS 36.213 [23, 7.3]. bundling corresponds to use of ACK/NACK bundling whereas, multiplexing corresponds to ACK/NACK multiplexing. The same value applies to both ACK/NACK feedback modes on PUCCH as well as on PUSCH. For TDD configuration 5, E-UTRAN should always set this field to bundling.

<b>Conditional presence</b>	<b>Explanation</b>
<i>TDD</i>	The field is mandatory present for TDD; it is not present for FDD and the UE shall delete any existing value for this field.

## – PUSCH-Config

The IE *PUSCH-ConfigCommon* is used to specify the common PUSCH configuration and the reference signal configuration for PUSCH and PUCCH. The IE *PUSCH-ConfigDedicated* is used to specify the UE specific PUSCH configuration.

### **PUSCH-Config information element**

```
-- ASN1START
PUSCH-ConfigCommon ::=
    pusch-ConfigBasic          SEQUENCE {
        n-SB                    INTEGER (1..4),
        hoppingMode              ENUMERATED {interSubFrame, intraAndInterSubFrame},
        pusch-HoppingOffset      INTEGER (0..98),
        enable64QAM              BOOLEAN
    },
    ul-ReferenceSignalsPUSCH    UL-ReferenceSignalsPUSCH
}

PUSCH-ConfigDedicated ::=
    SEQUENCE {
        betaOffset-ACK-Index     INTEGER (0..15),
        betaOffset-RI-Index      INTEGER (0..15),
        betaOffset-CQI-Index     INTEGER (0..15)
    }

UL-ReferenceSignalsPUSCH ::=
    SEQUENCE {
        groupHoppingEnabled      BOOLEAN,
        groupAssignmentPUSCH     INTEGER (0..29),
        sequenceHoppingEnabled   BOOLEAN,
        cyclicShift               INTEGER (0..7)
    }
-- ASN1STOP
```

<b>PUSCH-Config field descriptions</b>	
<b>n-SB</b>	Parameter: $N_{sb}$ see TS 36.211 [21, 5.3.4].
<b>hoppingMode</b>	Parameter: <i>Hopping-mode</i> , see TS 36.211 [21, 5.3.4].
<b>pusch-hoppingOffset</b>	Parameter: $N_{RB}^{HO}$ , see TS 36.211 [21, 5.3.4].
<b>enable64QAM</b>	See TS 36.213 [23, 8.6.1]. TRUE indicates that 64QAM is allowed while FALSE indicates that 64QAM is not allowed.
<b>betaOffset-ACK-Index</b>	Parameter: $I_{offset}^{HARQ-ACK}$ , see TS 36.213 [23, Table 8.6.3-1].
<b>betaOffset-RI-Index</b>	Parameter: $I_{offset}^{RI}$ , see TS 36.213 [23, Table 8.6.3-2].
<b>betaOffset-CQI-Index</b>	Parameter: $I_{offset}^{CQI}$ , see TS 36.213 [23, Table 8.6.3-3].
<b>ul-ReferenceSignalsPUSCH</b>	Used to specify parameters needed for the transmission on PUSCH (or PUCCH).
<b>groupHoppingEnabled</b>	Parameter: <i>Group-hopping-enabled</i> , see TS 36.211 [21, 5.5.1.3].
<b>groupAssignmentPUSCH</b>	Parameter: $\Delta SS$ See TS 36.211 [21, 5.5.1.3].
<b>sequenceHoppingEnabled</b>	Parameter: <i>Sequence-hopping-enabled</i> , see TS 36.211 [21, 5.5.1.4].
<b>cyclicShift</b>	Parameters: <i>cyclicShift</i> , see TS 36.211 [21, Table 5.5.2.1.1-2].

## RACH-ConfigCommon

The IE *RACH-ConfigCommon* is used to specify the generic random access parameters.

### RACH-ConfigCommon information element

```
-- ASN1START
RACH-ConfigCommon ::= SEQUENCE {
  preambleInfo SEQUENCE {
    numberOfRA-Preambles ENUMERATED {
      n4, n8, n12, n16, n20, n24, n28,
      n32, n36, n40, n44, n48, n52, n56,
      n60, n64},
    preamblesGroupAConfig SEQUENCE {
      sizeOfRA-PreamblesGroupA ENUMERATED {
        n4, n8, n12, n16, n20, n24, n28,
        n32, n36, n40, n44, n48, n52, n56,
        n60},
      messageSizeGroupA ENUMERATED {b56, b144, b208, b256},
      messagePowerOffsetGroupB ENUMERATED {
        minusinfinity, dB0, dB5, dB8, dB10, dB12,
        dB15, dB18},
      ...
    } OPTIONAL -- Need OP
  },
  powerRampingParameters SEQUENCE {
    powerRampingStep ENUMERATED {dB0, dB2, dB4, dB6},
    preambleInitialReceivedTargetPower ENUMERATED {
      dBm-120, dBm-118, dBm-116, dBm-114, dBm-112,
      dBm-110, dBm-108, dBm-106, dBm-104, dBm-102,
      dBm-100, dBm-98, dBm-96, dBm-94,
      dBm-92, dBm-90}
  },
  ra-SupervisionInfo SEQUENCE {
    preambleTransMax ENUMERATED {
      n3, n4, n5, n6, n7, n8, n10, n20, n50,
      n100, n200},
    ra-ResponseWindowSize ENUMERATED {
      sf2, sf3, sf4, sf5, sf6, sf7,
      sf8, sf10},
  }
}
```

```

mac-ContentionResolutionTimer      ENUMERATED {
                                     sf8, sf16, sf24, sf32, sf40, sf48,
                                     sf56, sf64}
},
maxHARQ-Msg3Tx                      INTEGER (1..8),
...
}
-- ASN1STOP

```

#### RACH-ConfigCommon field descriptions

<b>numberOfRA-Preambles</b>	Number of non-dedicated random access preambles in TS 36.321 [6]. Value is an integer. Value n4 corresponds to 4, n8 corresponds to 8 and so on.
<b>preamblesGroupAConfig</b>	Provides the configuration for preamble grouping in TS 36.321 [6]. If the field is not signalled, the size of the random access preambles group A [6] is equal to <i>numberOfRA-Preambles</i> .
<b>sizeOfRA-PreamblesGroupA</b>	Size of the random access preambles group A in TS 36.321 [6]. Value is an integer. Value n4 corresponds to 4, n8 corresponds to 8 and so on.
<b>messageSizeGroupA</b>	Threshold for preamble selection in TS 36.321 [6]. Value in bits. Value b56 corresponds to 56 bits, b144 corresponds to 144 bits and so on.
<b>messagePowerOffsetGroupB</b>	Threshold for preamble selection in TS 36.321 [6]. Value in dB. Value minusinfinity corresponds to -infinity. Value dB0 corresponds to 0 dB, dB5 corresponds to 5 dB and so on.
<b>powerRampingStep</b>	Power ramping factor in TS 36.321 [6]. Value in dB. Value dB0 corresponds to 0 dB, dB2 corresponds to 2 dB and so on.
<b>preambleInitialReceivedTargetPower</b>	Initial preamble power in TS 36.321 [6]. Value in dBm. Value dBm-120 corresponds to -120 dBm, dBm-118 corresponds to -118 dBm and so on.
<b>preambleTransMax</b>	Maximum number of preamble transmission in TS 36.321 [6]. Value is an integer. Value n3 corresponds to 3, n4 corresponds to 4 and so on.
<b>ra-ResponseWindowSize</b>	Duration of the RA response window in TS 36.321 [6]. Value in subframes. Value sf2 corresponds to 2 subframes, sf3 corresponds to 3 subframes and so on.
<b>mac-ContentionResolutionTimer</b>	Timer for contention resolution in TS 36.321 [6]. Value in subframes. Value sf8 corresponds to 8 subframes, sf16 corresponds to 16 subframes and so on.
<b>maxHARQ-Msg3Tx</b>	Maximum number of Msg3 HARQ transmissions in TS 36.321 [6], used for contention based random access. Value is an integer.

#### RACH-ConfigDedicated

The IE *RACH-ConfigDedicated* is used to specify the dedicated random access parameters.

#### RACH-ConfigDedicated information element

```

-- ASN1START
RACH-ConfigDedicated ::=          SEQUENCE {
    ra-PreambleIndex                INTEGER (0..63),
    ra-PRACH-MaskIndex              INTEGER (0..15)
}
-- ASN1STOP

```

#### RACH-ConfigDedicated field descriptions

<b>ra-PreambleIndex</b>	Explicitly signalled Random Access Preamble for RA Resource selection in TS 36.321 [6].
<b>ra-PRACH-MaskIndex</b>	Explicitly signalled PRACH Mask Index for RA Resource selection in TS 36.321 [6].

– *RadioResourceConfigCommon*

The IE *RadioResourceConfigCommonSIB* and IE *RadioResourceConfigCommon* are used to specify common radio resource configurations in the system information and in the mobility control information, respectively, e.g., the random access parameters and the static physical layer parameters.

**RadioResourceConfigCommon information element**

```
-- ASN1START

RadioResourceConfigCommonSIB ::= SEQUENCE {
    rach-ConfigCommon          RACH-ConfigCommon,
    bcch-Config                BCCH-Config,
    pcch-Config                PCCH-Config,
    prach-Config               PRACH-ConfigSIB,
    pdsch-ConfigCommon         PDSCH-ConfigCommon,
    pusch-ConfigCommon         PUSCH-ConfigCommon,
    pucch-ConfigCommon         PUCCH-ConfigCommon,
    soundingRS-UL-ConfigCommon SoundingRS-UL-ConfigCommon,
    uplinkPowerControlCommon   UplinkPowerControlCommon,
    ul-CyclicPrefixLength      UL-CyclicPrefixLength,
    ...
}

RadioResourceConfigCommon ::= SEQUENCE {
    rach-ConfigCommon          RACH-ConfigCommon          OPTIONAL, -- Need ON
    prach-Config               PRACH-Config,
    pdsch-ConfigCommon         PDSCH-ConfigCommon        OPTIONAL, -- Need ON
    pusch-ConfigCommon         PUSCH-ConfigCommon,
    phich-Config               PHICH-Config              OPTIONAL, -- Need ON
    pucch-ConfigCommon         PUCCH-ConfigCommon        OPTIONAL, -- Need ON
    soundingRS-UL-ConfigCommon SoundingRS-UL-ConfigCommon OPTIONAL, -- Need ON
    uplinkPowerControlCommon   UplinkPowerControlCommon  OPTIONAL, -- Need ON
    antennaInfoCommon          AntennaInfoCommon          OPTIONAL, -- Need ON
    p-Max                       P-Max                      OPTIONAL, -- Need OP
    tdd-Config                  TDD-Config                OPTIONAL, -- Cond TDD
    ul-CyclicPrefixLength      UL-CyclicPrefixLength,
    ...
}

BCCH-Config ::= SEQUENCE {
    modificationPeriodCoeff    ENUMERATED {n2, n4, n8, n16}
}

PCCH-Config ::= SEQUENCE {
    defaultPagingCycle         ENUMERATED {
        rf32, rf64, rf128, rf256},
    nB                         ENUMERATED {
        fourT, twoT, oneT, halfT, quarterT, oneEighthT,
        oneSixteenthT, oneThirtySecondT}
}

UL-CyclicPrefixLength ::= ENUMERATED {len1, len2}

-- ASN1STOP
```

<i>RadioResourceConfigCommon</i> field descriptions
<b>p-Max</b> Pmax to be used in the target cell. If absent the UE applies the maximum power according to the UE capability.
<b>modificationPeriodCoeff</b> Actual modification period, expressed in number of radio frames= <i>modificationPeriodCoeff</i> * <i>defaultPagingCycle</i> . n2 corresponds to value 2, n4 corresponds to value 4, n8 corresponds to value 8 and n16 corresponds to value 16.
<b>defaultPagingCycle</b> Default paging cycle, used to derive 'T' in TS 36.304 [4]. Value rf32 corresponds to 32 radio frames, rf64 corresponds to 64 radio frames and so on.
<b>nB</b> Parameter: nB is used as one of parameters to derive the Paging Frame and Paging Occasion according to TS 36.304 [4]. Value in multiples of <i>defaultPagingCycle</i> ('T'). A value of fourT corresponds to 4 * <i>defaultPagingCycle</i> , a value of twoT corresponds to 2 * <i>defaultPagingCycle</i> and so on.
<b>UL-CyclicPrefixLength</b> Parameter: Uplink cyclic prefix length see 36.211 [21, 5.2.1] where len1 corresponds to normal cyclic prefix and len2 corresponds to extended cyclic prefix.

Conditional presence	Explanation
<i>TDD</i>	The field is optional for TDD, Need ON; it is not present for FDD and the UE shall delete any existing value for this field.

### *RadioResourceConfigDedicated*

The IE *RadioResourceConfigDedicated* is used to setup/modify/release RBs, to modify the MAC main configuration, to modify the SPS configuration and to modify dedicated physical configuration.

#### *RadioResourceConfigDedicated* information element

```

-- ASN1START

RadioResourceConfigDedicated ::=          SEQUENCE {
  srb-ToAddModList                        SRB-ToAddModList          OPTIONAL,    -- Cond HO-Conn
  drb-ToAddModList                        DRB-ToAddModList          OPTIONAL,    -- Cond HO-
toEUTRA
  drb-ToReleaseList                      DRB-ToReleaseList        OPTIONAL,    -- Need ON
  mac-MainConfig                          CHOICE {
    explicitValue                          MAC-MainConfig,
    defaultValue                          NULL
  }                                          OPTIONAL,    -- Cond HO-
toEUTRA2
  sps-Config                              SPS-Config              OPTIONAL,    -- Need ON
  physicalConfigDedicated                 PhysicalConfigDedicated  OPTIONAL,    -- Need ON
  ...
  [[ rlf-TimersAndConstants-r9            RLF-TimersAndConstants-r9    OPTIONAL    -- Need ON
  ]]
}

SRB-ToAddModList ::=                      SEQUENCE (SIZE (1..2)) OF SRB-ToAddMod

SRB-ToAddMod ::=                          SEQUENCE {
  srb-Identity                            INTEGER (1..2),
  rlc-Config                              CHOICE {
    explicitValue                          RLC-Config,
    defaultValue                          NULL
  }                                          OPTIONAL,    -- Cond Setup
  logicalChannelConfig                    CHOICE {
    explicitValue                          LogicalChannelConfig,
    defaultValue                          NULL
  }                                          OPTIONAL,    -- Cond Setup
  ...
}

DRB-ToAddModList ::=                      SEQUENCE (SIZE (1..maxDRB)) OF DRB-ToAddMod

DRB-ToAddMod ::=                          SEQUENCE {
  eps-BearerIdentity                      INTEGER (0..15)          OPTIONAL,    -- Cond DRB-Setup
  drb-Identity                            DRB-Identity,
  pdcp-Config                             PDCP-Config            OPTIONAL,    -- Cond PDCP
  rlc-Config                              RLC-Config              OPTIONAL,    -- Cond Setup
  logicalChannelIdentity                  INTEGER (3..10)          OPTIONAL,    -- Cond DRB-Setup
}

```

```

    logicalChannelConfig          LogicalChannelConfig  OPTIONAL,      -- Cond Setup
    ...
}
DRB-ToReleaseList ::=
    SEQUENCE (SIZE (1..maxDRB)) OF DRB-Identity
-- ASN1STOP

```

### **RadioResourceConfigDedicated field descriptions**

<p><b>srb-Identity</b> Value 1 is applicable for SRB1 only. Value 2 is applicable for SRB2 only.</p>
<p><b>rlc-Config</b> For SRBs a choice is used to indicate whether the RLC configuration is signalled explicitly or set to the values defined in the default RLC configuration for SRB1 in 9.2.1.1 or for SRB2 in 9.2.1.2. RLC AM is the only applicable RLC mode for SRB1 and SRB2. E-UTRAN does not reconfigure the RLC mode of DRBs except when a full configuration option is used, and may reconfigure the UM RLC SN field size only upon handover within E-UTRA or upon the first reconfiguration after RRC connection re-establishment.</p>
<p><b>mac-MainConfig</b> Although the ASN.1 includes a choice that is used to indicate whether the mac-MainConfig is signalled explicitly or set to the default MAC main configuration as specified in 9.2.2, EUTRAN does not apply "defaultValue".</p>
<p><b>sps-Config</b> The default SPS configuration is specified in 9.2.3.</p>
<p><b>physicalConfigDedicated</b> The default dedicated physical configuration is specified in 9.2.4.</p>
<p><b>logicalChannelConfig</b> For SRBs a choice is used to indicate whether the logical channel configuration is signalled explicitly or set to the default logical channel configuration for SRB1 as specified in 9.2.1.1 or for SRB2 as specified in 9.2.1.2.</p>
<p><b>logicalChannelIdentity</b> The logical channel identity for both UL and DL.</p>

Conditional presence	Explanation
<i>DRB-Setup</i>	The field is mandatory present if the corresponding DRB is being set up; otherwise it is not present.
<i>PDCP</i>	The field is mandatory present if the corresponding DRB is being setup; the field is optionally present, need ON, upon handover within E-UTRA and upon the first reconfiguration after re-establishment but in both these cases only when <i>fullConfig</i> is not included in the <i>RRCConnectionReconfiguration</i> message; otherwise it is not present.
<i>Setup</i>	The field is mandatory present if the corresponding SRB/DRB is being setup; otherwise the field is optionally present, need ON.
<i>HO-Conn</i>	The field is mandatory present in case of handover to E-UTRA or when the <i>fullConfig</i> is included in the <i>RRCConnectionReconfiguration</i> message or in case of RRC connection establishment; otherwise the field is optionally present, need ON. Upon connection establishment/ re-establishment only SRB1 is applicable.
<i>HO-toEUTRA</i>	The field is mandatory present in case of handover to E-UTRA or when the <i>fullConfig</i> is included in the <i>RRCConnectionReconfiguration</i> message; In case of RRC connection establishment and RRC connection re-establishment the field is not present; otherwise the field is optionally present, need ON.
<i>HO-toEUTRA2</i>	The field is mandatory present in case of handover to E-UTRA or when the <i>fullConfig</i> is included in the <i>RRCConnectionReconfiguration</i> message; otherwise the field is optionally present, need ON.

## RLC-Config

The IE *RLC-Config* is used to specify the RLC configuration of SRBs and DRBs.

### **RLC-Config information element**

```

-- ASN1START
RLC-Config ::=
    CHOICE {
        am          SEQUENCE {
            ul-AM-RLC  UL-AM-RLC,
            dl-AM-RLC  DL-AM-RLC
        },
    }
-- ASN1STOP

```

```

    um-Bi-Directional          SEQUENCE {
        ul-UM-RLC              UL-UM-RLC,
        dl-UM-RLC              DL-UM-RLC
    },
    um-Uni-Directional-UL     SEQUENCE {
        ul-UM-RLC              UL-UM-RLC
    },
    um-Uni-Directional-DL     SEQUENCE {
        dl-UM-RLC              DL-UM-RLC
    },
    ...
}

UL-AM-RLC ::=
    t-PollRetransmit          SEQUENCE {
        T-PollRetransmit,
        pollPDU,
        pollByte,
        maxRetxThreshold      ENUMERATED {
            t1, t2, t3, t4, t6, t8, t16, t32}
    }

DL-AM-RLC ::=
    t-Reordering              SEQUENCE {
        T-Reordering,
        T-StatusProhibit
    }

UL-UM-RLC ::=
    sn-FieldLength            SEQUENCE {
        SN-FieldLength
    }

DL-UM-RLC ::=
    sn-FieldLength            SEQUENCE {
        SN-FieldLength,
        T-Reordering
    }

SN-FieldLength ::=
    ENUMERATED {size5, size10}

T-PollRetransmit ::=
    ENUMERATED {
        ms5, ms10, ms15, ms20, ms25, ms30, ms35,
        ms40, ms45, ms50, ms55, ms60, ms65, ms70,
        ms75, ms80, ms85, ms90, ms95, ms100, ms105,
        ms110, ms115, ms120, ms125, ms130, ms135,
        ms140, ms145, ms150, ms155, ms160, ms165,
        ms170, ms175, ms180, ms185, ms190, ms195,
        ms200, ms205, ms210, ms215, ms220, ms225,
        ms230, ms235, ms240, ms245, ms250, ms300,
        ms350, ms400, ms450, ms500, spare9, spare8,
        spare7, spare6, spare5, spare4, spare3,
        spare2, spare1}

PollPDU ::=
    ENUMERATED {
        p4, p8, p16, p32, p64, p128, p256, pInfinity}

PollByte ::=
    ENUMERATED {
        kB25, kB50, kB75, kB100, kB125, kB250, kB375,
        kB500, kB750, kB1000, kB1250, kB1500, kB2000,
        kB3000, kBInfinity, spare1}

T-Reordering ::=
    ENUMERATED {
        ms0, ms5, ms10, ms15, ms20, ms25, ms30, ms35,
        ms40, ms45, ms50, ms55, ms60, ms65, ms70,
        ms75, ms80, ms85, ms90, ms95, ms100, ms110,
        ms120, ms130, ms140, ms150, ms160, ms170,
        ms180, ms190, ms200, spare1}

T-StatusProhibit ::=
    ENUMERATED {
        ms0, ms5, ms10, ms15, ms20, ms25, ms30, ms35,
        ms40, ms45, ms50, ms55, ms60, ms65, ms70,
        ms75, ms80, ms85, ms90, ms95, ms100, ms105,
        ms110, ms115, ms120, ms125, ms130, ms135,
        ms140, ms145, ms150, ms155, ms160, ms165,
        ms170, ms175, ms180, ms185, ms190, ms195,
        ms200, ms205, ms210, ms215, ms220, ms225,
        ms230, ms235, ms240, ms245, ms250, ms300,
        ms350, ms400, ms450, ms500, spare8, spare7,
        spare6, spare5, spare4, spare3, spare2,
        spare1}

```

```
-- ASN1STOP
```

<b>RLC-Config field descriptions</b>
<b>sn-FieldLength</b> Indicates the UM RLC SN field size, see TS 36.322 [7], in bits. Value size5 means 5 bits, size10 means 10 bits.
<b>t-PollRetransmit</b> Timer for RLC AM in TS 36.322 [7], in milliseconds. Value ms5 means 5ms, ms10 means 10ms and so on.
<b>pollPDU</b> Parameter for RLC AM in TS 36.322 [7]. Value p4 corresponds to 4 PDUs, p8 to 8 PDUs and so on. plnfinity corresponds to an infinite number of PDUs.
<b>pollByte</b> Parameter for RLC AM in TS 36.322 [7]. Value kB25 corresponds to 25 kBytes, kB50 to 50 kBytes and so on. kBInfinity corresponds to an infinite amount of kBytes.
<b>maxRetxThreshold</b> Parameter for RLC AM in TS 36.322 [7]. Value t1 corresponds to 1 retransmission, t2 to 2 retransmissions and so on.
<b>t-Reordering</b> Timer for reordering in TS 36.322 [7], in milliseconds. Value ms0 means 0ms, ms5 means 5ms and so on.
<b>t-StatusProhibit</b> Timer for status reporting in TS 36.322 [7], in milliseconds. Value ms0 means 0ms, ms5 means 5ms and so on.

## – RLF-TimersAndConstants

The IE *RLF-TimersAndConstants* contains UE specific timers and constants applicable for UEs in RRC\_CONNECTED.

### **RLF-TimersAndConstants information element**

```
-- ASN1START
RLF-TimersAndConstants-r9 ::= CHOICE {
  release      NULL,
  setup       SEQUENCE {
    t301-r9    ENUMERATED {
      ms100, ms200, ms300, ms400, ms600, ms1000, ms1500,
      ms2000},
    t310-r9    ENUMERATED {
      ms0, ms50, ms100, ms200, ms500, ms1000, ms2000},
    n310-r9    ENUMERATED {
      n1, n2, n3, n4, n6, n8, n10, n20},
    t311-r9    ENUMERATED {
      ms1000, ms3000, ms5000, ms10000, ms15000,
      ms20000, ms30000},
    n311-r9    ENUMERATED {
      n1, n2, n3, n4, n5, n6, n8, n10},
    ...
  }
}
-- ASN1STOP
```

### **RLF-TimersAndConstants field descriptions**

<b>t3xy</b> Timers are described in section 7.3. Value ms0 corresponds with 0 ms, ms50 corresponds with 50 ms and so on.
<b>n3xy</b> Constants are described in section 7.4. n1 corresponds with 1, n2 corresponds with 2 and so on.

## – SchedulingRequestConfig

The IE *SchedulingRequestConfig* is used to specify the Scheduling Request related parameters

### **SchedulingRequestConfig information element**

```
-- ASN1START
SchedulingRequestConfig ::= CHOICE {
```

```

release          NULL,
setup           SEQUENCE {
  sr-PUCCH-ResourceIndex  INTEGER (0..2047),
  sr-ConfigIndex         INTEGER (0..157),
  dsr-TransMax           ENUMERATED {
                        n4, n8, n16, n32, n64, spare3, spare2, spare1}
}
}
-- ASN1STOP

```

#### **SchedulingRequestConfig field descriptions**

<p><b>sr-PUCCH-ResourceIndex</b> Parameter: <math>n_{\text{PUCCH,SRI}}^{(1)}</math>. see TS 36.213 [23, 10.1].</p>
<p><b>sr-ConfigIndex</b> Parameter <math>I_{\text{SR}}</math>. See TS 36.213 [23,10.1]. The values 156 and 157 are not applicable for Release 8.</p>
<p><b>dsr-TransMax</b> Parameter for SR transmission in TS 36.321 [6, 5.4.4]. The value n4 corresponds to 4 transmissions, n8 corresponds to 8 transmissions and so on.</p>

### – *SoundingRS-UL-Config*

The IE *SoundingRS-UL-Config* is used to specify the uplink Sounding RS configuration.

#### **SoundingRS-UL-Config information element**

```

-- ASN1START
SoundingRS-UL-ConfigCommon ::= CHOICE {
  release          NULL,
  setup           SEQUENCE {
    srs-BandwidthConfig  ENUMERATED {bw0, bw1, bw2, bw3, bw4, bw5, bw6, bw7},
    srs-SubframeConfig   ENUMERATED {
                        sc0, sc1, sc2, sc3, sc4, sc5, sc6, sc7,
                        sc8, sc9, sc10, sc11, sc12, sc13, sc14, sc15},
    ackNackSRS-SimultaneousTransmission  BOOLEAN,
    srs-MaxUpPts         ENUMERATED {true}           OPTIONAL    -- Cond TDD
  }
}

SoundingRS-UL-ConfigDedicated ::= CHOICE{
  release          NULL,
  setup           SEQUENCE {
    srs-Bandwidth      ENUMERATED {bw0, bw1, bw2, bw3},
    srs-HoppingBandwidth  ENUMERATED {hbw0, hbw1, hbw2, hbw3},
    freqDomainPosition  INTEGER (0..23),
    duration            BOOLEAN,
    srs-ConfigIndex     INTEGER (0..1023),
    transmissionComb     INTEGER (0..1),
    cyclicShift         ENUMERATED {cs0, cs1, cs2, cs3, cs4, cs5, cs6, cs7}
  }
}
-- ASN1STOP

```

<b>SoundingRS-UL-Config field descriptions</b>
<p><b>srs-BandwidthConfig</b> Parameter: SRS Bandwidth Configuration. See TS 36.211, [21, table 5.5.3.2-1, 5.5.3.2-2, 5.5.3.2-3 and 5.5.3.2-4]. Actual configuration depends on UL bandwidth. bw0 corresponds to value 0, bw1 to value 1 and so on.</p>
<p><b>srs-SubframeConfig</b> Parameter: SRS Subframe Configuration. See TS 36.211, [21, table 5.5.3.3-1] applies for FDD whereas TS 36.211, [21, table 5.5.3.3-2] applies for TDD. sc0 corresponds to value 0, sc1 to value 1 and so on.</p>
<p><b>ackNackSRS-SimultaneousTransmission</b> Parameter: <i>Simultaneous-AN-and-SRS</i>, see TS 36.213 [23, 8.2].</p>
<p><b>srs-Bandwidth</b> Parameter: <math>B_{\text{SRS}}</math>, see TS 36.211 [21, tables 5.5.3.2-1, 5.5.3.2-2, 5.5.3.2-3 and 5.5.3.2-4].</p>
<p><b>freqDomainPosition</b> Parameter: <math>n_{\text{RRC}}</math>, see TS 36.211 [21, 5.5.3.2].</p>
<p><b>srs-HoppingBandwidth</b> Parameter: SRS hopping bandwidth <math>b_{\text{hop}} \in \{0,1,2,3\}</math>, see TS 36.211 [21, 5.5.3.2] where hbw0 corresponds to value 0, hbw1 to value 1 and so on.</p>
<p><b>duration</b> Parameter: Duration. See TS 36.213 [21, 8.2]. FALSE corresponds to “single” and value TRUE to “indefinite”.</p>
<p><b>srs-ConfigIndex</b> Parameter: <math>l_{\text{SRS}}</math>. See TS 36.213 [23, table 8.2-1].</p>
<p><b>transmissionComb</b> Parameter: <math>k_{\text{TC}} \in \{0,1\}</math>, see TS 36.211 [21, 5.5.3.2].</p>
<p><b>cyclicShift</b> Parameter: <math>n_{\text{SRS}}</math>. See TS 36.211 [21, 5.5.3.1], where cs0 corresponds to 0 etc.</p>
<p><b>srs-MaxUpPts</b> Parameter: srsMaxUpPts, see TS 36.211 [21, 5.5.3.2]. If this field is present, reconfiguration of <math>m_{\text{SRS},0}^{\text{max}}</math> applies for UpPts, otherwise reconfiguration does not apply.</p>

<b>Conditional presence</b>	<b>Explanation</b>
<i>TDD</i>	This field is optional present for TDD, need OR; it is not present for FDD and the UE shall delete any existing value for this field.

## – SPS-Config

The IE *SPS-Config* is used to specify the semi-persistent scheduling configuration.

### **SPS-Config information element**

```
-- ASN1START
SPS-Config ::= SEQUENCE {
    semiPersistSchedC-RNTI          C-RNTI          OPTIONAL,      -- Need OR
    sps-ConfigDL                    SPS-ConfigDL      OPTIONAL,      -- Need ON
    sps-ConfigUL                    SPS-ConfigUL      OPTIONAL,      -- Need ON
}

SPS-ConfigDL ::= CHOICE {
    release          NULL,
    setup           SEQUENCE {
        semiPersistSchedIntervalDL  ENUMERATED {
            sf10, sf20, sf32, sf40, sf64, sf80,
            sf128, sf160, sf320, sf640, spare6,
            spare5, spare4, spare3, spare2,
            spare1},
        numberOfConfSPS-Processes   INTEGER (1..8),
        n1-PUCCH-AN-PersistentList  N1-PUCCH-AN-PersistentList,
        ...
    }
}

SPS-ConfigUL ::= CHOICE {
    release          NULL,
    setup           SEQUENCE {
        semiPersistSchedIntervalUL  ENUMERATED {
```

```

        sf10, sf20, sf32, sf40, sf64, sf80,
        sf128, sf160, sf320, sf640, spare6,
        spare5, spare4, spare3, spare2,
        spare1},
    implicitReleaseAfter      ENUMERATED {e2, e3, e4, e8},
    p0-Persistent            SEQUENCE {
        p0-NominalPUSCH-Persistent  INTEGER (-126..24),
        p0-UE-PUSCH-Persistent     INTEGER (-8..7)
    } OPTIONAL, -- Need OP
    twoIntervalsConfig      ENUMERATED {true}          OPTIONAL, -- Cond TDD
    ...
}
}
N1-PUCCH-AN-PersistentList ::= SEQUENCE (SIZE (1..4)) OF INTEGER (0..2047)
-- ASN1STOP

```

### SPS-Config field descriptions

<b>semiPersistSchedC-RNTI</b>	Semi-persistent Scheduling C-RNTI, see TS 36.321 [6].
<b>semiPersistSchedIntervalDL</b>	Semi-persistent scheduling interval in downlink, see TS 36.321 [6]. Value in number of sub-frames. Value sf10 corresponds to 10 sub-frames, sf20 corresponds to 20 sub-frames and so on. For TDD, the UE shall round this parameter down to the nearest integer (of 10 sub-frames), e.g. sf10 corresponds to 10 sub-frames, sf32 corresponds to 30 sub-frames, sf128 corresponds to 120 sub-frames.
<b>numberOfConfSPS-Processes</b>	The number of configured HARQ processes for Semi-Persistent Scheduling, see TS 36.321 [6].
<b>n1-PUCCH-AN-PersistentList</b>	List of parameter: $n_{PUCCH}^{(1)}$ see TS 36.213, [23, 10.1].
<b>semiPersistSchedIntervalUL</b>	Semi-persistent scheduling interval in uplink, see TS 36.321 [6]. Value in number of sub-frames. Value sf10 corresponds to 10 sub-frames, sf20 corresponds to 20 sub-frames and so on. For TDD, the UE shall round this parameter down to the nearest integer (of 10 sub-frames), e.g. sf10 corresponds to 10 sub-frames, sf32 corresponds to 30 sub-frames, sf128 corresponds to 120 sub-frames.
<b>implicitReleaseAfter</b>	Number of empty transmissions before implicit release, see TS 36.321 [6, 5.10.2]. Value e2 corresponds to 2 transmissions, e3 corresponds to 3 transmissions and so on.
<b>p0-NominalPUSCH-Persistent</b>	Parameter: $P_{O\_NOMINAL\_PUSCH}(0)$ . See TS 36.213 [23, 5.1.1.1], unit dBm step 1. This field is applicable for persistent scheduling, only. If choice 'setup' is used and <i>p0-Persistent</i> is absent, apply the value of <i>p0-NominalPUSCH</i> for <i>p0-NominalPUSCH-Persistent</i> .
<b>p0-UE-PUSCH-Persistent</b>	Parameter: $P_{O\_UE\_PUSCH}(0)$ . See TS 36.213 [23, 5.1.1.1], unit dB. This field is applicable for persistent scheduling, only. If choice 'setup' is used and <i>p0-Persistent</i> is absent, apply the value of <i>p0-UE-PUSCH</i> for <i>p0-UE-PUSCH-Persistent</i> .
<b>twoIntervalsConfig</b>	Trigger of two-intervals-Semi-Persistent Scheduling in uplink. See TS 36.321 [6, 5.10]. If this field is present, two-intervals-SPS is enabled for uplink. Otherwise, two-intervals-SPS is disabled.

Conditional presence	Explanation
<i>TDD</i>	This field is optional present for TDD, need OR; it is not present for FDD and the UE shall delete any existing value for this field.

### – TDD-Config

The IE *TDD-Config* is used to specify the TDD specific physical channel configuration.

### TDD-Config information element

```

-- ASN1START
TDD-Config ::= SEQUENCE {
    subframeAssignment      ENUMERATED {
        sa0, sa1, sa2, sa3, sa4, sa5, sa6},

```

```

specialSubframePatterns      ENUMERATED {
                                ssp0, ssp1, ssp2, ssp3, ssp4, ssp5, ssp6, ssp7,
                                ssp8}
}
-- ASN1STOP

```

#### **TDD-Config field descriptions**

##### **subframeAssignment**

Indicates DL/UL subframe configuration where sa0 point to Configuration 0, sa1 to Configuration 1 etc. as specified in TS 36.211 [21, table 4.2.2].

##### **specialSubframePatterns**

Indicates Configuration as in TS 36.211 [21, table 4.2.1] where ssp0 point to Configuration 0, ssp1 to Configuration 1 etc.

## – TimeAlignmentTimer

The IE *TimeAlignmentTimer* is used to control how long the UE is considered uplink time aligned. Corresponds to the Timer for time alignment in TS 36.321 [6]. Value in number of sub-frames. Value sf500 corresponds to 500 sub-frames, sf750 corresponds to 750 sub-frames and so on.

#### **TimeAlignmentTimer information element**

```

-- ASN1START
TimeAlignmentTimer ::=
                                ENUMERATED {
                                    sf500, sf750, sf1280, sf1920, sf2560, sf5120,
                                    sf10240, infinity}
-- ASN1STOP

```

## – TPC-PDCCH-Config

The IE *TPC-PDCCH-Config* is used to specify the RNTIs and indexes for PUCCH and PUSCH power control according to TS 36.212 [22]. The power control function can either be setup or released with the IE.

#### **TPC-PDCCH-Config information element**

```

-- ASN1START
TPC-PDCCH-Config ::=
    CHOICE {
        release
        setup
            tpc-RNTI
            tpc-Index
    }
TPC-Index ::=
    CHOICE {
        indexOfFormat3
        indexOfFormat3A
    }
-- ASN1STOP

```

#### **TPC-PDCCH-Config field descriptions**

##### **tpc-RNTI**

RNTI for power control using DCI format 3/3A, see TS 36.212 [22].

##### **tpc-Index**

Index of N or M, see TS 36.212 [22, 5.3.3.1.6 and 5.3.3.1.7], where N or M is dependent on the used DCI format (i.e. format 3 or 3a).

##### **indexOfFormat3**

Index of N when DCI format 3 is used. See TS 36.212 [22, 5.3.3.1.6].

##### **IndexOfFormat3A**

Index of M when DCI format 3A is used. See TS 36.212 [22, 5.3.3.1.7].

– *UplinkPowerControl*

The IE *UplinkPowerControlCommon* and IE *UplinkPowerControlDedicated* are used to specify parameters for uplink power control in the system information and in the dedicated signalling, respectively.

***UplinkPowerControl* information elements**

```
-- ASN1START

UplinkPowerControlCommon ::=          SEQUENCE {
    p0-NominalPUSCH                    INTEGER (-126..24),
    alpha                              ENUMERATED {a10, a104, a105, a106, a107, a108, a109, a11},
    p0-NominalPUCCH                    INTEGER (-127..-96),
    deltaFList-PUCCH                  DeltaFList-PUCCH,
    deltaPreambleMsg3                 INTEGER (-1..6)
}

UplinkPowerControlDedicated ::=       SEQUENCE {
    p0-UE-PUSCH                       INTEGER (-8..7),
    deltaMCS-Enabled                  ENUMERATED {en0, en1},
    accumulationEnabled               BOOLEAN,
    p0-UE-PUCCH                       INTEGER (-8..7),
    pSRS-Offset                       INTEGER (0..15),
    filterCoefficient                  FilterCoefficient           DEFAULT fc4
}

DeltaFList-PUCCH ::=                 SEQUENCE {
    deltaF-PUCCH-Format1              ENUMERATED {deltaF-2, deltaF0, deltaF2},
    deltaF-PUCCH-Format1b            ENUMERATED {deltaF1, deltaF3, deltaF5},
    deltaF-PUCCH-Format2              ENUMERATED {deltaF-2, deltaF0, deltaF1, deltaF2},
    deltaF-PUCCH-Format2a            ENUMERATED {deltaF-2, deltaF0, deltaF2},
    deltaF-PUCCH-Format2b            ENUMERATED {deltaF-2, deltaF0, deltaF2}
}

-- ASN1STOP
```

<b>UplinkPowerControl field descriptions</b>
<p><b>p0-NominalPUSCH</b>            Parameter: <math>P_{O\_NOMINAL\_PUSCH}</math> (1) See TS 36.213, 5.1.1.1, unit dBm. This field is applicable for non-persistent scheduling, only.</p>
<p><b>alpha</b>            Parameter: <math>\alpha</math> See TS 36.213, 5.1.1.1 where al0 corresponds to 0, al04 corresponds to value 0.4, al05 to 0.5, al06 to 0.6, al07 to 0.7, al08 to 0.8, al09 to 0.9 and al1 corresponds to 1.</p>
<p><b>p0-NominalPUCCH</b>            Parameter: <math>P_{O\_NOMINAL\_PUCCH}</math> See TS 36.213, 5.1.2.1, unit dBm.</p>
<p><b>deltaF-PUCCH-FormatX</b>            Parameter: <math>\Delta_{F\_PUCCH}(F)</math> for the PUCCH formats 1, 1b, 2, 2a and 2b. See TS 36.213 [23, 5.1.2] where deltaF-2 corresponds to -2 dB, deltaF0 corresponds to 0 dB and so on.</p>
<p><b>p0-UE-PUSCH</b>            Parameter: <math>P_{O\_UE\_PUSCH}</math> (1) See TS 36.213 [23, 5.1.1.1], unit dB. This field is applicable for non-persistent scheduling, only.</p>
<p><b>deltaPreambleMsg3</b>            Parameter: <math>\Delta_{PREAMBLE\_Msg3}</math> see TS 36.213 [23, 5.1.1.1]. Actual value = IE value * 2 [dB].</p>
<p><b>deltaMCS-Enabled</b>            Parameter: <math>K_s</math> See TS 36.213 [23, 5.1.1.1]. en0 corresponds to value 0 corresponding to state "disabled". en1 corresponds to value 1.25 corresponding to "enabled".</p>
<p><b>accumulationEnabled</b>            Parameter: Accumulation-enabled, see TS 36.213 [23, 5.1.1.1]. TRUE corresponds to "enabled" whereas FALSE corresponds to "disabled".</p>
<p><b>p0-UE-PUCCH</b>            Parameter: <math>P_{O\_UE\_PUCCH}</math> See TS 36.213 [23, 5.1.2.1]. Unit dB</p>
<p><b>pSRS-Offset</b>            Parameter: <math>P_{SRS\_OFFSET}</math> See TS 36.213 [23, 5.1.3.1]. For <math>K_s=1.25</math>, the actual parameter value is pSRS-Offset value - 3. For <math>K_s=0</math>, the actual parameter value is <math>-10.5 + 1.5 * pSRS-Offset</math> value.</p>
<p><b>filterCoefficient</b>            Specifies the filtering coefficient for RSRP measurements used to calculate path loss, as specified in TS 36.213 [23, 5.1.1.1]. The same filtering mechanism applies as for <i>quantityConfig</i> described in 5.5.3.2.</p>

### 6.3.3 Security control information elements

#### – *NextHopChainingCount*

The IE *NextHopChainingCount* is used to update the  $K_{eNB}$  key and corresponds to parameter NCC: See TS 33.401 [32, 7.2.8.4].

#### **NextHopChainingCount information element**

```
-- ASN1START
NextHopChainingCount ::=
    INTEGER (0..7)
-- ASN1STOP
```

#### – *SecurityAlgorithmConfig*

The IE *SecurityAlgorithmConfig* is used to configure AS integrity protection algorithm (SRBs) and AS ciphering algorithm (SRBs and DRBs).

#### **SecurityAlgorithmConfig information element**

```
-- ASN1START
SecurityAlgorithmConfig ::=
    SEQUENCE {
        cipheringAlgorithm
            ENUMERATED {
                eea0, eea1, eea2, spare5, spare4, spare3,
```

```

        spare2, spare1, ...},
    integrityProtAlgorithm    ENUMERATED {
        eia0-v920, eia1, eia2, spare5, spare4, spare3,
        spare2, spare1, ...}
}
-- ASN1STOP

```

#### **SecurityAlgorithmConfig field descriptions**

##### ***integrityProtAlgorithm***

Indicates the integrity protection algorithm to be used for SRBs, as specified in TS 33.401 [32, 5.1.4.2].

##### ***cipheringAlgorithm***

Indicates the ciphering algorithm to be used for SRBs and DRBs, as specified in TS 33.401 [32, 5.1.3.2].

### – *ShortMAC-I*

The IE *ShortMAC-I* is used to identify and verify the UE at RRC connection re-establishment. The 16 least significant bits of the MAC-I calculated using the security configuration of the source cell, as specified in 5.3.7.4.

#### **ShortMAC-I information element**

```

-- ASN1START
ShortMAC-I ::=                BIT STRING (SIZE (16))
-- ASN1STOP

```

## 6.3.4 Mobility control information elements

### – *AdditionalSpectrumEmission*

The UE requirements related to IE *AdditionalSpectrumEmission* are defined in TS 36.101 [42, table 6.2.4-1].

#### **AdditionalSpectrumEmission information element**

```

-- ASN1START
AdditionalSpectrumEmission ::=    INTEGER (1..32)
-- ASN1STOP

```

### – *ARFCN-ValueCDMA2000*

The IE *ARFCN-ValueCDMA2000* used to indicate the CDMA2000 carrier frequency within a CDMA2000 band, see C.S0002-A [12].

#### **ARFCN-ValueCDMA2000 information element**

```

-- ASN1START
ARFCN-ValueCDMA2000 ::=    INTEGER (0..2047)
-- ASN1STOP

```

### – *ARFCN-ValueEUTRA*

The IE *ARFCN-ValueEUTRA* is used to indicate the ARFCN applicable for a downlink, uplink or bi-directional (TDD) E-UTRA carrier frequency, as defined in TS 36.101 [42].

#### **ARFCN-ValueEUTRA information element**

```

-- ASN1START

```

```
ARFCN-ValueEUTRA ::=
    INTEGER (0..maxEARFCN)
-- ASN1STOP
```

### – *ARFCN-ValueGERAN*

The IE *ARFCN-ValueGERAN* is used to specify the ARFCN value applicable for a GERAN BCCH carrier frequency, see TS 45.005 [20].

#### ***ARFCN-ValueGERAN* information element**

```
-- ASN1START
ARFCN-ValueGERAN ::=
    INTEGER (0..1023)
-- ASN1STOP
```

### – *ARFCN-ValueUTRA*

The IE *ARFCN-ValueUTRA* is used to indicate the ARFCN applicable for a downlink (Nd, FDD) or bi-directional (Nt, TDD) UTRA carrier frequency, as defined in TS 25.331 [19].

#### ***ARFCN-ValueUTRA* information element**

```
-- ASN1START
ARFCN-ValueUTRA ::=
    INTEGER (0..16383)
-- ASN1STOP
```

### – *BandclassCDMA2000*

The IE *BandclassCDMA2000* is used to define the CDMA2000 band in which the CDMA2000 carrier frequency can be found, as defined in C.S0057-B [24, table 1.5-1].

#### ***BandclassCDMA2000* information element**

```
-- ASN1START
BandclassCDMA2000 ::=
    ENUMERATED {
        bc0, bc1, bc2, bc3, bc4, bc5, bc6, bc7, bc8,
        bc9, bc10, bc11, bc12, bc13, bc14, bc15, bc16,
        bc17, spare14, spare13, spare12, spare11, spare10,
        spare9, spare8, spare7, spare6, spare5, spare4,
        spare3, spare2, spare1, ...}
-- ASN1STOP
```

### – *BandIndicatorGERAN*

The IE *BandIndicatorGERAN* indicates how to interpret an associated GERAN carrier ARFCN, see TS 45.005 [20]. More specifically, the IE indicates the GERAN frequency band in case the ARFCN value can concern either a DCS 1800 or a PCS 1900 carrier frequency. For ARFCN values not associated with one of these bands, the indicator has no meaning.

#### ***BandIndicatorGERAN* information element**

```
-- ASN1START
BandIndicatorGERAN ::=
    ENUMERATED {dcs1800, pcs1900}
-- ASN1STOP
```

– **CarrierFreqCDMA2000**

The IE *CarrierFreqCDMA2000* used to provide the CDMA2000 carrier information.

**CarrierFreqCDMA2000 information element**

```
-- ASN1START
CarrierFreqCDMA2000 ::= SEQUENCE {
    bandClass          BandclassCDMA2000,
    arfcn              ARFCN-ValueCDMA2000
}
-- ASN1STOP
```

– **CarrierFreqGERAN**

The IE *CarrierFreqGERAN* is used to provide an unambiguous carrier frequency description of a GERAN cell.

**CarrierFreqGERAN information element**

```
-- ASN1START
CarrierFreqGERAN ::= SEQUENCE {
    arfcn              ARFCN-ValueGERAN,
    bandIndicator      BandIndicatorGERAN
}
-- ASN1STOP
```

**CarrierFreqGERAN field descriptions**

<b>arfcn</b>	GERAN ARFCN of BCCH carrier.
<b>bandIndicator</b>	Indicates how to interpret the ARFCN of the BCCH carrier.

– **CarrierFreqsGERAN**

The IE *CarrierFreqListGERAN* is used to provide one or more GERAN ARFCN values, as defined in TS 44.005 [43], which represents a list of GERAN BCCH carrier frequencies.

**CarrierFreqsGERAN information element**

```
-- ASN1START
CarrierFreqsGERAN ::= SEQUENCE {
    startingARFCN      ARFCN-ValueGERAN,
    bandIndicator      BandIndicatorGERAN,
    followingARFCNs    CHOICE {
        explicitListOfARFCNs    ExplicitListOfARFCNs,
        equallySpacedARFCNs     SEQUENCE {
            arfcn-Spacing        INTEGER (1..8),
            numberOfFollowingARFCNs    INTEGER (0..31)
        },
        variableBitMapOfARFCNs  OCTET STRING (SIZE (1..16))
    }
}
ExplicitListOfARFCNs ::= SEQUENCE (SIZE (0..31)) OF ARFCN-ValueGERAN
-- ASN1STOP
```

<b>CarrierFreqsGERAN field descriptions</b>
<b>startingARFCN</b> The first ARFCN value, s, in the set.
<b>bandIndicator</b> Indicates how to interpret the ARFCN of the BCCH carrier.
<b>followingARFCNs</b> Field containing a representation of the remaining ARFCN values in the set.
<b>explicitListOfARFCNs</b> The remaining ARFCN values in the set are explicitly listed one by one.
<b>arfcn-Spacing</b> Space, d, between a set of equally spaced ARFCN values.
<b>numberOfFollowingARFCNs</b> The number, n, of the remaining equally spaced ARFCN values in the set. The complete set of (n+1) ARFCN values is defined as: {s, ((s + d) mod 1024), ((s + 2*d) mod 1024) ... ((s + n*d) mod 1024)}.
<b>variableBitMapOfARFCNs</b> Bitmap field representing the remaining ARFCN values in the set. The leading bit of the first octet in the bitmap corresponds to the ARFCN = ((s + 1) mod 1024), the next bit to the ARFCN = ((s + 2) mod 1024), and so on. If the bitmap consist of N octets, the trailing bit of octet N corresponds to ARFCN = ((s + 8*N) mod 1024). The complete set of ARFCN values consists of ARFCN = s and the ARFCN values, where the corresponding bit in the bitmap is set to "1".

### – **CDMA2000-Type**

The IE *CDMA2000-Type* is used to describe the type of CDMA2000 network.

#### **CDMA2000-Type information element**

```
-- ASN1START
CDMA2000-Type ::=          ENUMERATED {type1XRTT, typeHRPD}
-- ASN1STOP
```

### – **CellIdentity**

The IE *CellIdentity* is used to unambiguously identify a cell within a PLMN.

#### **CellIdentity information element**

```
-- ASN1START
CellIdentity ::=          BIT STRING (SIZE (28))
-- ASN1STOP
```

### – **CellIndexList**

The IE *CellIndexList* concerns a list of cell indices, which may be used for different purposes.

#### **CellIndexList information element**

```
-- ASN1START
CellIndexList ::=          SEQUENCE (SIZE (1..maxCellMeas)) OF CellIndex
CellIndex ::=              INTEGER (1..maxCellMeas)
-- ASN1STOP
```

– *CellReselectionPriority*

The IE *CellReselectionPriority* concerns the absolute priority of the concerned carrier frequency/ set of frequencies (GERAN)/ bandclass (CDMA2000), as used by the cell reselection procedure. Corresponds with parameter "priority" in TS 36.304 [4]. Value 0 means: lowest priority. The UE behaviour for the case the field is absent, if applicable, is specified in TS 36.304 [4].

***CellReselectionPriority* information element**

```
-- ASN1START
CellReselectionPriority ::=          INTEGER (0..7)
-- ASN1STOP
```

– *CSFB-RegistrationParam1XRTT*

The IE *CSFB-RegistrationParam1XRTT* is used to indicate whether or not the UE shall perform a CDMA2000 1xRTT pre-registration if the UE does not have a valid / current pre-registration.

```
-- ASN1START
CSFB-RegistrationParam1XRTT ::=      SEQUENCE {
  sid                BIT STRING (SIZE (15)),
  nid                BIT STRING (SIZE (16)),
  multipleSID        BOOLEAN,
  multipleNID        BOOLEAN,
  homeReg            BOOLEAN,
  foreignSIDReg      BOOLEAN,
  foreignNIDReg      BOOLEAN,
  parameterReg       BOOLEAN,
  powerUpReg         BOOLEAN,
  registrationPeriod BIT STRING (SIZE (7)),
  registrationZone   BIT STRING (SIZE (12)),
  totalZone          BIT STRING (SIZE (3)),
  zoneTimer          BIT STRING (SIZE (3))
}
CSFB-RegistrationParam1XRTT-v920 ::= SEQUENCE {
  powerDownReg-r9    ENUMERATED {true}
}
-- ASN1STOP
```

<b>CSFB-RegistrationParam1XRTT field descriptions</b>	
<b>sid</b>	Used along with the <i>nid</i> as a pair to control when the UE should Register or Re-Register with the CDMA2000 1xRTT network.
<b>nid</b>	Used along with the <i>sid</i> as a pair to control when the UE should Register or Re-Register with the CDMA2000 1xRTT network.
<b>multipleSID</b>	The CDMA2000 1xRTT Multiple SID storage indicator.
<b>multipleNID</b>	The CDMA2000 1xRTT Multiple NID storage indicator.
<b>homeReg</b>	The CDMA2000 1xRTT Home registration indicator.
<b>foreignSIDReg</b>	The CDMA2000 1xRTT SID roamer registration indicator.
<b>foreignNIDReg</b>	The CDMA2000 1xRTT NID roamer registration indicator.
<b>parameterReg</b>	The CDMA2000 1xRTT Parameter-change registration indicator.
<b>powerUpReg</b>	The CDMA2000 1xRTT Power-up registration indicator.
<b>registrationPeriod</b>	The CDMA2000 1xRTT Registration period.
<b>registrationZone</b>	The CDMA2000 1xRTT Registration zone.
<b>totalZone</b>	The CDMA2000 1xRTT Number of registration zones to be retained.
<b>zoneTimer</b>	The CDMA2000 1xRTT Zone timer length.
<b>powerDownReg</b>	The CDMA2000 1xRTT Power-down registration indicator. If set to TRUE, the UE that has a valid / current CDMA2000 1xRTT pre-registration will perform a CDMA2000 1xRTT power down registration when it is switched off.

## – *CellGlobalIdEUTRA*

The IE *CellGlobalIdEUTRA* specifies the Evolved Cell Global Identifier (ECGI), the globally unique identity of a cell in E-UTRA.

### **CellGlobalIdEUTRA information element**

```
-- ASN1START
CellGlobalIdEUTRA ::=
    SEQUENCE {
        plmn-Identity      PLMN-Identity,
        cellIdentity       CellIdentity
    }
-- ASN1STOP
```

<b>CellGlobalIdEUTRA field descriptions</b>	
<b>plmn-Identity</b>	Identifies the PLMN of the cell as given by the first PLMN entry in the <i>plmn-IdentityList</i> in <i>SystemInformationBlockType1</i> .
<b>cellIdentity</b>	Identity of the cell within the context of the PLMN.

## – *CellGlobalIdUTRA*

The IE *CellGlobalIdUTRA* specifies the global UTRAN Cell Identifier, the globally unique identity of a cell in UTRA.

### **CellGlobalIdUTRA information element**

```
-- ASN1START
```

```

CellGlobalIdUTRA ::= SEQUENCE {
    plmn-Identity      PLMN-Identity,
    cellIdentity       BIT STRING (SIZE (28))
}
-- ASN1STOP

```

#### CellGlobalIdUTRA field descriptions

**plmn-Identity**

Identifies the PLMN of the cell as given by the common PLMN broadcast in the MIB, as defined in TS 25.331 [19].

**cellIdentity**

UTRA Cell Identifier which is unique within the context of the identified PLMN as defined in TS 25.331 [19].

### – CellGlobalIdGERAN

The IE *CellGlobalIdGERAN* specifies the Cell Global Identification (CGI), the globally unique identity of a cell in GERAN.

#### CellGlobalIdGERAN information element

```

-- ASN1START
CellGlobalIdGERAN ::= SEQUENCE {
    plmn-Identity      PLMN-Identity,
    locationAreaCode   BIT STRING (SIZE (16)),
    cellIdentity       BIT STRING (SIZE (16))
}
-- ASN1STOP

```

#### CellGlobalIdGERAN field descriptions

**plmn-Identity**

Identifies the PLMN of the cell, as defined in TS 23.003 [27].

**locationAreaCode**

A fixed length code identifying the location area within a PLMN as defined in TS 23.003 [27].

**cellIdentity**

Cell Identifier which is unique within the context of the GERAN location area as defined in TS 23.003 [27].

### – CellGlobalIdCDMA2000

The IE *CellGlobalIdCDMA2000* specifies the Cell Global Identification (CGI), the globally unique identity of a cell in CDMA2000.

#### CellGlobalIdCDMA2000 information element

```

-- ASN1START
CellGlobalIdCDMA2000 ::= CHOICE {
    cellGlobalId1XRTT   BIT STRING (SIZE (47)),
    cellGlobalIdHRPD    BIT STRING (SIZE (128))
}
-- ASN1STOP

```

#### CellGlobalIdCDMA2000 field descriptions

**cellGlobalId1XRTT**

Unique identifier for a CDMA2000 1xRTT cell, corresponds to BASEID, SID and NID parameters (in that order) defined in C.S0005-A [25].

**cellGlobalIdHRPD**

Unique identifier for a CDMA2000 HRPD cell, corresponds to SECTOR ID parameter defined in C.S0024-A [26, 14.9].

– *CSG-Identity*

The IE *CSG-Identity* is used to identify a Closed Subscriber Group.

**CSG-Identity information element**

```
-- ASN1START
CSG-Identity ::=          BIT STRING (SIZE (27))
-- ASN1STOP
```

– *MobilityControlInfo*

The IE *MobilityControlInfo* includes parameters relevant for network controlled mobility to/within E-UTRA.

**MobilityControlInfo information element**

```
-- ASN1START
MobilityControlInfo ::= SEQUENCE {
    targetPhysCellId      PhysCellId,
    carrierFreq           CarrierFreqEUTRA          OPTIONAL, -- Cond HO-
toEUTRA
    carrierBandwidth     CarrierBandwidthEUTRA      OPTIONAL, -- Cond HO-
toEUTRA
    additionalSpectrumEmission AdditionalSpectrumEmission  OPTIONAL, -- Cond HO-
toEUTRA
    t304                 ENUMERATED {
                        ms50, ms100, ms150, ms200, ms500, ms1000,
                        ms2000, spare1},
    newUE-Identity       C-RNTI,
    radioResourceConfigCommon RadioResourceConfigCommon,
    rach-ConfigDedicated RACH-ConfigDedicated          OPTIONAL, -- Need OP
    ...
}

CarrierBandwidthEUTRA ::= SEQUENCE {
    dl-Bandwidth         ENUMERATED {
                        n6, n15, n25, n50, n75, n100, spare10,
                        spare9, spare8, spare7, spare6, spare5,
                        spare4, spare3, spare2, spare1},
    ul-Bandwidth         ENUMERATED {
                        n6, n15, n25, n50, n75, n100, spare10,
                        spare9, spare8, spare7, spare6, spare5,
                        spare4, spare3, spare2, spare1} OPTIONAL -- Need OP
}

CarrierFreqEUTRA ::= SEQUENCE {
    dl-CarrierFreq      ARFCN-ValueEUTRA,
    ul-CarrierFreq      ARFCN-ValueEUTRA          OPTIONAL -- Cond FDD
}
-- ASN1STOP
```

<b>MobilityControlInfo field descriptions</b>	
<b>t304</b>	Timer T304 as described in section 7.3. ms50 corresponds with 50 ms, ms100 corresponds with 100 ms and so on.
<b>dl-Bandwidth</b>	Parameter: <i>Downlink bandwidth</i> , see TS 36.101 [42].
<b>ul-Bandwidth</b>	Parameter: <i>Uplink bandwidth</i> , see TS 36.101 [42, table 5.6-1]. For TDD, the parameter is absent and it is equal to downlink bandwidth. If absent for FDD, apply the same value as applies for the downlink bandwidth.
<b>rach-ConfigDedicated</b>	The dedicated random access parameters. If absent the UE applies contention based random access as specified in TS 36.321 [6].
<b>carrierBandwidth</b>	Provides the parameters <i>Downlink bandwidth</i> , and <i>Uplink bandwidth</i> , see TS 36.101 [42].

<b>Conditional presence</b>	<b>Explanation</b>
<i>FDD</i>	The field is mandatory with default value (the default duplex distance defined for the concerned band, as specified in TS 36.101 [42]) in case of "FDD"; otherwise the field is not present.
<i>HO-toEUTRA</i>	The field is mandatory present in case of inter-RAT handover to E-UTRA; otherwise the field is optionally present, need ON.

### – *MobilityParametersCDMA2000 (1xRTT)*

The *MobilityParametersCDMA2000* contains the parameters provided to the UE for handover and (enhanced) CSFB to 1xRTT support, as defined in C.S0097 [53].

#### **MobilityParametersCDMA2000 information element**

```
-- ASN1START
MobilityParametersCDMA2000 ::= OCTET STRING
-- ASN1STOP
```

### – *MobilityStateParameters*

The IE *MobilityStateParameters* contains parameters to determine UE mobility state.

#### **MobilityStateParameters information element**

```
-- ASN1START
MobilityStateParameters ::= SEQUENCE {
  t-Evaluation      ENUMERATED {
    s30, s60, s120, s180, s240, spare3, spare2, spare1},
  t-HystNormal      ENUMERATED {
    s30, s60, s120, s180, s240, spare3, spare2, spare1},
  n-CellChangeMedium INTEGER (1..16),
  n-CellChangeHigh  INTEGER (1..16)
}
-- ASN1STOP
```

<b>MobilityStateParameters field descriptions</b>
<p><b>t-Evaluation</b> The duration for evaluating criteria to enter mobility states. Corresponds to <math>T_{CR_{max}}</math> in TS 36.304 [4]. Value in seconds, s30 corresponds to 30 s and so on.</p>
<p><b>t-HystNormal</b> The additional duration for evaluating criteria to enter normal mobility state. Corresponds to <math>T_{CR_{maxHyst}}</math> in TS 36.304 [4]. Value in seconds, s30 corresponds to 30 s and so on.</p>
<p><b>n-CellChangeMedium</b> The number of cell changes to enter medium mobility state. Corresponds to <math>N_{CR\_M}</math> in TS 36.304 [4].</p>
<p><b>n-CellChangeHigh</b> The number of cell changes to enter high mobility state. Corresponds to <math>N_{CR\_H}</math> in TS 36.304 [4].</p>

### – *PhysCellId*

The IE *PhysCellId* is used to indicate the physical layer identity of the cell, as defined in TS 36.211 [21].

#### **PhysCellId information element**

```
-- ASN1START
PhysCellId ::=
    INTEGER (0..503)
-- ASN1STOP
```

### – *PhysCellIdRange*

The IE *PhysCellIdRange* is used to encode either a single or a range of physical cell identities. The range is encoded by using a *start* value and by indicating the number of consecutive physical cell identities (including *start*) in the range.

#### **PhysCellIdRange information element**

```
-- ASN1START
PhysCellIdRange ::=
    SEQUENCE {
        start
            PhysCellId,
        range
            ENUMERATED {
                n4, n8, n12, n16, n24, n32, n48, n64, n84,
                n96, n128, n168, n252, n504, spare2,
                spare1}
            OPTIONAL -- Need OP
    }
-- ASN1STOP
```

<b>PhysCellIdRange field descriptions</b>
<p><b>start</b> Indicates the lowest physical cell identity in the range.</p>
<p><b>range</b> Indicates the number of physical cell identities in the range (including <i>start</i>). Value n4 corresponds with 4, n8 corresponds with 8 and so on. The UE shall apply value 1 in case the field is absent, in which case only the physical cell identity value indicated by <i>start</i> applies.</p>

### – *PhysCellIdRangeUTRA-FDDList*

The IE *PhysCellIdRangeUTRA-FDDList* is used to encode one or more of *PhysCellIdRangeUTRA-FDD*. While the IE *PhysCellIdRangeUTRA-FDD* is used to encode either a single physical layer identity or a range of physical layer identities, i.e. primary scrambling codes. Each range is encoded by using a *start* value and by indicating the number of consecutive physical cell identities (including *start*) in the range.

#### **PhysCellIdRangeUTRA-FDDList information element**

```
-- ASN1START
```

```

PhysCellIdRangeUTRA-FDDList-r9 ::= SEQUENCE (SIZE (1.. maxPhysCellIdRange-r9)) OF
PhysCellIdRangeUTRA-FDD-r9

PhysCellIdRangeUTRA-FDD-r9 ::= SEQUENCE {
    start-r9 PhysCellIdUTRA-FDD,
    range-r9 INTEGER (2..512) OPTIONAL -- Need OP
}
-- ASN1STOP

```

#### **PhysCellIdRangeUTRA-FDDList field descriptions**

**start**

Indicates the lowest primary scrambling code in the range.

**range**

Indicates the number of primary scrambling codes in the range (including *start*). The UE shall apply value 1 in case the field is absent, in which case only the primary scrambling code value indicated by *start* applies.

### – *PhysCellIdCDMA2000*

The IE *PhysCellIdCDMA2000* identifies the PNOffset that represents the "Physical cell identity" in CDMA2000.

#### **PhysCellIdCDMA2000 information element**

```

-- ASN1START
PhysCellIdCDMA2000 ::= INTEGER (0..maxPNOffset)
-- ASN1STOP

```

### – *PhysCellIdGERAN*

The IE *PhysCellIdGERAN* contains the Base Station Identity Code (BSIC).

#### **PhysCellIdGERAN information element**

```

-- ASN1START
PhysCellIdGERAN ::= SEQUENCE {
    networkColourCode BIT STRING (SIZE (3)),
    baseStationColourCode BIT STRING (SIZE (3))
}
-- ASN1STOP

```

#### **PhysCellIdGERAN field descriptions**

**networkColourCode**

Network Colour Code as defined in TS 23.003 [27].

**baseStationColourCode**

Base station Colour Code as defined in TS 23.003 [27].

### – *PhysCellIdUTRA-FDD*

The IE *PhysCellIdUTRA-FDD* is used to indicate the physical layer identity of the cell, i.e. the primary scrambling code, as defined in TS 25.331 [19].

#### **PhysCellIdUTRA-FDD information element**

```

-- ASN1START
PhysCellIdUTRA-FDD ::= INTEGER (0..511)
-- ASN1STOP

```

– *PhysCellIdUTRA-TDD*

The IE *PhysCellIdUTRA-TDD* is used to indicate the physical layer identity of the cell, i.e. the cell parameters ID (TDD), as specified in TS 25.331 [19]. Also corresponds to the Initial Cell Parameter Assignment in TS 25.223 [46].

***PhysCellIdUTRA-TDD* information element**

```
-- ASN1START
PhysCellIdUTRA-TDD ::=          INTEGER (0..127)
-- ASN1STOP
```

– *PLMN-Identity*

The IE *PLMN-Identity* identifies a Public Land Mobile Network. Further information regarding how to set the IE are specified in TS 23.003 [27].

***PLMN-Identity* information element**

```
-- ASN1START
PLMN-Identity ::=              SEQUENCE {
    mcc                        MCC                OPTIONAL,      -- Cond MCC
    mnc                        MNC
}
MCC ::=                        SEQUENCE (SIZE (3)) OF
                               MCC-MNC-Digit
MNC ::=                        SEQUENCE (SIZE (2..3)) OF
                               MCC-MNC-Digit
MCC-MNC-Digit ::=             INTEGER (0..9)
-- ASN1STOP
```

***PLMN-Identity* field descriptions**

***mcc***

The first element contains the first MCC digit, the second element the second MCC digit and so on. If the field is absent, it takes the same value as the *mcc* of the immediately preceding IE *PLMN-Identity*. See TS 23.003 [27].

***mnc***

The first element contains the first MNC digit, the second element the second MNC digit and so on. See TS 23.003 [27].

Conditional presence	Explanation
<i>MCC</i>	This IE is mandatory when <i>PLMN-Identity</i> is included in <i>CellGlobalIdEUTRA</i> , in <i>CellGlobalIdUTRA</i> , in <i>CellGlobalIdGERAN</i> or in <i>RegisteredMME</i> . This IE is also mandatory in the first occurrence of the IE <i>PLMN-Identity</i> within the IE <i>PLMN-IdentityList</i> . Otherwise it is optional, need OP.

– *PreRegistrationInfoHRPD*

```
-- ASN1START
PreRegistrationInfoHRPD ::=    SEQUENCE {
    preRegistrationAllowed      BOOLEAN,
    preRegistrationZoneId      PreRegistrationZoneIdHRPD  OPTIONAL, -- cond PreRegAllowed
    secondaryPreRegistrationZoneIdList SecondaryPreRegistrationZoneIdListHRPD  OPTIONAL -- Need OR
}
SecondaryPreRegistrationZoneIdListHRPD ::= SEQUENCE (SIZE (1..2)) OF PreRegistrationZoneIdHRPD
PreRegistrationZoneIdHRPD ::=          INTEGER (0..255)
```

```
-- ASN1STOP
```

#### **PreRegistrationInfoHRPD field descriptions**

<p><b>preRegistrationAllowed</b> TRUE indicates that a UE shall perform a CDMA2000 HRPD pre-registration if the UE does not have a valid / current pre-registration. FALSE indicates that the UE is not allowed to perform CDMA2000 HRPD pre-registration in the current cell.</p>
<p><b>preRegistrationZoneID</b> ColorCode (see C.S0024-A [26], C.S0087-0 [44]) of the CDMA2000 Reference Cell corresponding to the HRPD sector under the HRPD AN that is configured for this LTE cell. It is used to control when the UE should register or re-register.</p>
<p><b>secondaryPreRegistrationZoneIDList</b> List of SecondaryColorCodes (see C.S0024-A [26], C.S0087-0 [44]) of the CDMA2000 Reference Cell corresponding to the HRPD sector under the HRPD AN that is configured for this LTE cell. They are used to control when the UE should re-register.</p>

Conditional presence	Explanation
PreRegAllowed	The field is mandatory in case the preRegistrationAllowed is set to 'true'. Otherwise the field is not present and the UE shall delete any existing value for this field.

### – Q-QualMin

The IE *Q-QualMin* is used to indicate for cell selection/ re-selection the required minimum received RSRQ level in the (E-UTRA) cell. Corresponds to parameter  $Q_{qualmin}$  in 36.304 [4]. Actual value  $Q_{qualmin}$  = IE value [dB].

#### **Q-QualMin information element**

```
-- ASN1START
Q-QualMin-r9 ::= INTEGER (-34..-3)
-- ASN1STOP
```

### – Q-RxLevMin

The IE *Q-RxLevMin* is used to indicate for cell selection/ re-selection the required minimum received RSRP level in the (E-UTRA) cell. Corresponds to parameter  $Q_{rxlevmin}$  in 36.304 [4]. Actual value  $Q_{rxlevmin}$  = IE value \* 2 [dBm].

#### **Q-RxLevMin information element**

```
-- ASN1START
Q-RxLevMin ::= INTEGER (-70..-22)
-- ASN1STOP
```

### – Q-OffsetRange

The IE *Q-OffsetRange* is used to indicate a cell or frequency specific offset to be applied when evaluating candidates for cell re-selection or when evaluating triggering conditions for measurement reporting. The value in dB. Value dB-24 corresponds to -24 dB, dB-22 corresponds to -22 dB and so on.

#### **Q- OffsetRange information element**

```
-- ASN1START
Q-OffsetRange ::= ENUMERATED {
    dB-24, dB-22, dB-20, dB-18, dB-16, dB-14,
    dB-12, dB-10, dB-8, dB-6, dB-5, dB-4, dB-3,
    dB-2, dB-1, dB0, dB1, dB2, dB3, dB4, dB5,
    dB6, dB8, dB10, dB12, dB14, dB16, dB18,
    dB20, dB22, dB24}
-- ASN1STOP
```

```
-- ASN1STOP
```

### – *Q-OffsetRangeInterRAT*

The IE *Q-OffsetRangeInterRAT* is used to indicate a frequency specific offset to be applied when evaluating triggering conditions for measurement reporting. The value in dB.

#### **Q-OffsetRangeInterRAT information element**

```
-- ASN1START
Q-OffsetRangeInterRAT ::=                INTEGER (-15..15)
-- ASN1STOP
```

### – *ReselectionThreshold*

The IE *ReselectionThreshold* is used to indicate an Rx level threshold for cell reselection. Actual value of threshold = IE value \* 2 [dB].

#### **ReselectionThreshold information element**

```
-- ASN1START
ReselectionThreshold ::=                INTEGER (0..31)
-- ASN1STOP
```

### – *ReselectionThresholdQ*

The IE *ReselectionThresholdQ* is used to indicate a quality level threshold for cell reselection. Actual value of threshold = IE value [dB].

#### **ReselectionThresholdQ information element**

```
-- ASN1START
ReselectionThresholdQ-r9 ::=            INTEGER (0..31)
-- ASN1STOP
```

### – *SpeedStateScaleFactors*

The IE *SpeedStateScaleFactors* concerns factors, to be applied when the UE is in medium or high speed state, used for scaling a mobility control related parameter.

#### **SpeedStateScaleFactors information element**

```
-- ASN1START
SpeedStateScaleFactors ::=              SEQUENCE {
    sf-Medium          ENUMERATED {oDot25, oDot5, oDot75, lDot0},
    sf-High             ENUMERATED {oDot25, oDot5, oDot75, lDot0}
}
-- ASN1STOP
```

**SpeedStateScaleFactors field descriptions****sf-Medium**

The concerned mobility control related parameter is multiplied with this factor if the UE is in Medium Mobility state as defined in TS 36.304 [4]. Value oDot25 corresponds to 0.25, oDot5 corresponds to 0.5 , oDot75 corresponds to 0.75 and so on.

**sf-High**

The concerned mobility control related parameter is multiplied with this factor if the UE is in High Mobility state as defined in TS 36.304 [4]. Value oDot25 corresponds to 0.25, oDot5 corresponds to 0.5 , oDot75 corresponds to 0.75 and so on.

**SystemInfoListGERAN**

The IE *SystemInfoListGERAN* contains system information.of a GERAN cell.

**SystemInfoListGERAN information element**

```
-- ASN1START
SystemInfoListGERAN ::=          SEQUENCE (SIZE (1..maxGERAN-SI)) OF
                                OCTET STRING (SIZE (1..23))
-- ASN1STOP
```

**SystemInfoListGERAN field descriptions****SystemInfoListGERAN**

Each OCTET STRING contains one System Information (SI) message as defined in TS 44.018 [45, table 9.1.1] excluding the L2 Pseudo Length, the RR management Protocol Discriminator and the Skip Indicator or a complete Packet System Information (PSI) message as defined in TS 44.060 [36, table 11.2.1].

**SystemTimeInfoCDMA2000**

The IE *SystemTimeInfoCDMA2000* informs the UE about the absolute time in the current cell. The UE uses this absolute time knowledge to derive the CDMA2000 Physical cell identity, expressed as PNOffset, of neighbour CDMA2000 cells.

NOTE: The UE needs the CDMA2000 system time with a certain level of accuracy for performing measurements as well as for communicating with the CDMA2000 network (HRPD or 1xRTT).

**SystemTimeInfoCDMA2000 information element**

```
-- ASN1START
SystemTimeInfoCDMA2000 ::=          SEQUENCE {
    cdma-EUTRA-Synchronisation      BOOLEAN,
    cdma-SystemTime                  CHOICE {
        synchronousSystemTime      BIT STRING (SIZE (39)),
        asynchronousSystemTime     BIT STRING (SIZE (49))
    }
}
-- ASN1STOP
```

<b>SystemTimeInfoCDMA2000 field descriptions</b>
<p><b>cdma-EUTRA-Synchronisation</b> TRUE indicates that the networks are synchronised i.e. there is no drift in the timing between E-UTRA and CDMA2000. FALSE indicates that the networks are not synchronised, i.e. the timing between E-UTRA and CDMA2000 can drift.</p>
<p><b>synchronousSystemTime</b> CDMA2000 system time corresponding to the SFN boundary at or after the ending boundary of the SI-window in which <i>SystemInformationBlockType8</i> is transmitted. If synchronized to CDMA2000 system time then the size is 39 bits and the unit is 10 ms based on a 1.2288 Mcps chip rate.</p>
<p><b>asynchronousSystemTime</b> The CDMA2000 system time corresponding to the SFN boundary at or after the ending boundary of the SI-Window in which <i>SystemInformationBlockType8</i> is transmitted. If not synchronized then the size is 49 bits and the unit is [8 CDMA2000 chips based on 1.2288 Mcps].</p>

### – *TrackingAreaCode*

The IE *TrackingAreaCode* is used to identify a tracking area within the scope of a PLMN, see TS 24.301 [35].

#### **TrackingAreaCode information element**

```
-- ASN1START
TrackingAreaCode ::=                BIT STRING (SIZE (16))
-- ASN1STOP
```

### – *T-Reselection*

The IE *T-Reselection* concerns the cell reselection timer  $T_{reselction_{RAT}}$  for E-UTRA, UTRA, GERAN or CDMA2000. Value in seconds.

#### **T-Reselection information element**

```
-- ASN1START
T-Reselection ::=                    INTEGER (0..7)
-- ASN1STOP
```

## 6.3.5 Measurement information elements

### – *AllowedMeasBandwidth*

The IE *AllowedMeasBandwidth* is used to indicate the maximum allowed measurement bandwidth on a carrier frequency as defined by the parameter Transmission Bandwidth Configuration "N<sub>RB</sub>" TS 36.104 [47]. The values mbw6, mbw15, mbw25, mbw50, mbw75, mbw100 indicate 6, 15, 25, 50, 75 and 100 resource blocks respectively.

#### **AllowedMeasBandwidth information element**

```
-- ASN1START
AllowedMeasBandwidth ::=              ENUMERATED {mbw6, mbw15, mbw25, mbw50, mbw75, mbw100}
-- ASN1STOP
```

### – *Hysteresis*

The IE *Hysteresis* is a parameter used within the entry and leave condition of an event triggered reporting condition. The actual value is IE value \* 0.5 dB.

**Hysteresis information element**

```
-- ASN1START
Hysteresis ::=
                INTEGER (0..30)
-- ASN1STOP
```

– **MeasConfig**

The IE *MeasConfig* specifies measurements to be performed by the UE, and covers intra-frequency, inter-frequency and inter-RAT mobility as well as configuration of measurement gaps.

**MeasConfig information element**

```
-- ASN1START
MeasConfig ::=
    SEQUENCE {
        -- Measurement objects
        measObjectToRemoveList      MeasObjectToRemoveList      OPTIONAL, -- Need ON
        measObjectToAddModList      MeasObjectToAddModList      OPTIONAL, -- Need ON
        -- Reporting configurations
        reportConfigToRemoveList    ReportConfigToRemoveList    OPTIONAL, -- Need ON
        reportConfigToAddModList    ReportConfigToAddModList    OPTIONAL, -- Need ON
        -- Measurement identities
        measIdToRemoveList          MeasIdToRemoveList          OPTIONAL, -- Need ON
        measIdToAddModList          MeasIdToAddModList          OPTIONAL, -- Need ON
        -- Other parameters
        quantityConfig              QuantityConfig              OPTIONAL, -- Need ON
        measGapConfig               MeasGapConfig               OPTIONAL, -- Need ON
        s-Measure                   RSRP-Range                 OPTIONAL, -- Need ON
        preRegistrationInfoHRPD      PreRegistrationInfoHRPD     OPTIONAL, -- Need OP
        speedStatePars              CHOICE {
            release                 NULL,
            setup                   SEQUENCE {
                mobilityStateParameters  MobilityStateParameters,
                timeToTrigger-SF        SpeedStateScaleFactors
            }
        }
        ...
    }
    OPTIONAL, -- Need ON

MeasIdToRemoveList ::=
    SEQUENCE (SIZE (1..maxMeasId)) OF MeasId

MeasObjectToRemoveList ::=
    SEQUENCE (SIZE (1..maxObjectId)) OF MeasObjectId

ReportConfigToRemoveList ::=
    SEQUENCE (SIZE (1..maxReportConfigId)) OF ReportConfigId
-- ASN1STOP
```

**MeasConfig field descriptions**

<b><i>measObjectToRemoveList</i></b>
List of measurement objects to remove.
<b><i>reportConfigToRemoveList</i></b>
List of measurement reporting configurations to remove.
<b><i>measIdToRemoveList</i></b>
List of measurement identities to remove.
<b><i>measGapConfig</i></b>
Used to setup and release measurement gaps.
<b><i>s-Measure</i></b>
Serving cell quality threshold controlling whether or not the UE is required to perform measurements of intra-frequency, inter-frequency and inter-RAT neighbouring cells. Value "0" indicates to disable <i>s-Measure</i> .
<b><i>PreRegistrationInfoHRPD</i></b>
The CDMA2000 HRPD Pre-Registration Information tells the UE if it should pre-register with the CDMA2000 HRPD network and identifies the Pre-registration zone to the UE.
<b><i>timeToTrigger-SF</i></b>
The <i>timeToTrigger</i> in <i>ReportConfigEUTRA</i> and in <i>ReportConfigInterRAT</i> are multiplied with the scaling factor applicable for the UE's speed state.

## – *MeasGapConfig*

The IE *MeasGapConfig* specifies the measurement gap configuration and controls setup/ release of measurement gaps.

### ***MeasGapConfig* information element**

```
-- ASN1START
MeasGapConfig ::=
    CHOICE {
        release
        setup
            gapOffset
                gp0
                gp1
                ...
            }
    }
-- ASN1STOP
```

### ***MeasGapConfig* field descriptions**

#### ***gapOffset***

Value *gapOffset* of *gp0* corresponds to gap offset of Gap Pattern Id "0" with MGRP = 40ms, *gapOffset* of *gp1* corresponds to gap offset of Gap Pattern Id "1" with MGRP = 80ms. Also used to specify the measurement gap pattern to be applied, as defined in TS 36.133 [16].

## – *MeasId*

The IE *MeasId* is used to identify a measurement configuration, i.e., linking of a measurement object and a reporting configuration.

### ***MeasId* information element**

```
-- ASN1START
MeasId ::=
    INTEGER (1..maxMeasId)
-- ASN1STOP
```

## – *MeasIdToAddModList*

The IE *MeasIdToAddModList* concerns a list of measurement identities to add or modify, with for each entry the *measId*, the associated *measObjectId* and the associated *reportConfigId*.

### ***MeasIdToAddModList* information element**

```
-- ASN1START
MeasIdToAddModList ::=
    SEQUENCE (SIZE (1..maxMeasId)) OF MeasIdToAddMod
MeasIdToAddMod ::= SEQUENCE {
    measId
    measObjectId
    reportConfigId
}
-- ASN1STOP
```

## – *MeasObjectCDMA2000*

The IE *MeasObjectCDMA2000* specifies information applicable for inter-RAT CDMA2000 neighbouring cells.

**MeasObjectCDMA2000 information element**

```

-- ASN1START
MeasObjectCDMA2000 ::=
    SEQUENCE {
        cdma2000-Type          CDMA2000-Type,
        carrierFreq            CarrierFreqCDMA2000,
        searchWindowSize      INTEGER (0..15)           OPTIONAL,    -- Need ON
        offsetFreq            Q-OffsetRangeInterRAT    DEFAULT 0,
        cellsToRemoveList     CellIndexList            OPTIONAL,    -- Need ON
        cellsToAddModList     CellsToAddModListCDMA2000 OPTIONAL,    -- Need ON
        cellForWhichToReportCGI PhysCellIdCDMA2000    OPTIONAL,    -- Need ON
        ...
    }

CellsToAddModListCDMA2000 ::= SEQUENCE (SIZE (1..maxCellMeas)) OF CellsToAddModCDMA2000

CellsToAddModCDMA2000 ::= SEQUENCE {
    cellIndex                INTEGER (1..maxCellMeas),
    physCellId               PhysCellIdCDMA2000
}
-- ASN1STOP

```

**MeasObjectCDMA2000 field descriptions**

<b>cdma2000-Type</b>	The type of CDMA2000 network: CDMA2000 1xRTT or CDMA2000 HRPD.
<b>carrierInfo</b>	Identifies CDMA2000 carrier frequency for which this configuration is valid.
<b>searchWindowSize</b>	Provides the search window size to be used by the UE for the neighbouring pilot, see C.S0005-A [25].
<b>cellsToRemoveList</b>	List of cells to remove from the neighbouring cell list.
<b>cellsToAddModList</b>	List of cells to add/ modify in the neighbouring cell list.
<b>cellIndex</b>	Entry index in the neighbouring cell list.
<b>physCellId</b>	CDMA2000 Physical cell identity of a cell in neighbouring cell list expressed as PNOffset.

**MeasObjectEUTRA**

The IE *MeasObjectEUTRA* specifies information applicable for intra-frequency or inter-frequency E-UTRA cells.

**MeasObjectEUTRA information element**

```

-- ASN1START
MeasObjectEUTRA ::=
    SEQUENCE {
        carrierFreq            ARFCN-ValueEUTRA,
        allowedMeasBandwidth   AllowedMeasBandwidth,
        presenceAntennaPort1   PresenceAntennaPort1,
        neighCellConfig        NeighCellConfig,
        offsetFreq            Q-OffsetRange            DEFAULT dB0,
        -- Cell list
        cellsToRemoveList     CellIndexList            OPTIONAL,    -- Need ON
        cellsToAddModList     CellsToAddModList        OPTIONAL,    -- Need ON
        -- Black list
        blackCellsToRemoveList CellIndexList            OPTIONAL,    -- Need ON
        blackCellsToAddModList BlackCellsToAddModList OPTIONAL,    -- Need ON
        cellForWhichToReportCGI PhysCellId            OPTIONAL,    -- Need ON
        ...
    }

CellsToAddModList ::= SEQUENCE (SIZE (1..maxCellMeas)) OF CellsToAddMod

CellsToAddMod ::= SEQUENCE {
    cellIndex                INTEGER (1..maxCellMeas),
    physCellId               PhysCellId,
    cellIndividualOffset     Q-OffsetRange
}

```

```

BlackCellsToAddModList ::= SEQUENCE (SIZE (1..maxCellMeas)) OF BlackCellsToAddMod
BlackCellsToAddMod ::= SEQUENCE {
    cellIndex          INTEGER (1..maxCellMeas),
    physCellIdRange   PhysCellIdRange
}
-- ASN1STOP

```

#### **MeasObjectEUTRA field descriptions**

<b>carrierFreq</b>	Identifies E-UTRA carrier frequency for which this configuration is valid.
<b>offsetFreq</b>	Offset value applicable to the carrier frequency. Value dB-24 corresponds to -24 dB, dB-22 corresponds to -22 dB and so on.
<b>cellsToRemoveList</b>	List of cells to remove from the cell list.
<b>cellsToAddModList</b>	List of cells to add/ modify in the cell list.
<b>cellIndex</b>	Entry index in the cell list. An entry may concern a range of cells, in which case this value applies to the entire range.
<b>physCellId</b>	Physical cell identity of a cell in the cell list.
<b>cellIndividualOffset</b>	Cell individual offset applicable to a specific cell. Value dB-24 corresponds to -24 dB, dB-22 corresponds to -22 dB and so on.
<b>blackCellsToRemoveList</b>	List of cells to remove from the black list of cells.
<b>blackCellsToAddModList</b>	List of cells to add/ modify in the black list of cells.
<b>physCellIdRange</b>	Physical cell identity or a range of physical cell identities of cells in the black list.

#### – **MeasObjectGERAN**

The IE *MeasObjectGERAN* specifies information applicable for inter-RAT GERAN neighbouring frequencies.

#### **MeasObjectGERAN information element**

```

-- ASN1START
MeasObjectGERAN ::= SEQUENCE {
    carrierFreqs      CarrierFreqsGERAN,
    offsetFreq        Q-OffsetRangeInterRAT          DEFAULT 0,
    ncc-Permitted     BIT STRING(SIZE (8))          DEFAULT '11111111'B,
    cellForWhichToReportCGI PhysCellIdGERAN          OPTIONAL, -- Need ON
    ...
}
-- ASN1STOP

```

#### **MeasObjectGERAN field descriptions**

<b>ncc-Permitted</b>	Field encoded as a bit map, where bit N is set to "0" if a BCCH carrier with NCC = N-1 is not permitted for monitoring and set to "1" if a BCCH carrier with NCC = N-1 is permitted for monitoring; N = 1 to 8; bit 1 of the bitmap is the leading bit of the bit string.
----------------------	---

#### – **MeasObjectId**

The IE *MeasObjectId* used to identify a measurement object configuration.

**MeasObjectId information element**

```
-- ASN1START
MeasObjectId ::=                INTEGER (1..maxObjectId)
-- ASN1STOP
```

**MeasObjectToAddModList**

The IE *MeasObjectToAddModList* concerns a list of measurement objects to add or modify

**MeasObjectToAddModList information element**

```
-- ASN1START
MeasObjectToAddModList ::=      SEQUENCE (SIZE (1..maxObjectId)) OF MeasObjectToAddMod
MeasObjectToAddMod ::= SEQUENCE {
    measObjectId                MeasObjectId,
    measObject                  CHOICE {
        measObjectEUTRA        MeasObjectEUTRA,
        measObjectUTRA         MeasObjectUTRA,
        measObjectGERAN        MeasObjectGERAN,
        measObjectCDMA2000     MeasObjectCDMA2000,
        ...
    }
}
-- ASN1STOP
```

**MeasObjectUTRA**

The IE *MeasObjectUTRA* specifies information applicable for inter-RAT UTRA neighbouring cells.

**MeasObjectUTRA information element**

```
-- ASN1START
MeasObjectUTRA ::=              SEQUENCE {
    carrierFreq                 ARFCN-ValueUTRA,
    offsetFreq                  Q-OffsetRangeInterRAT          DEFAULT 0,
    cellsToRemoveList           CellIndexList                OPTIONAL,          -- Need ON
    cellsToAddModList           CHOICE {
        cellsToAddModListUTRA-FDD    CellsToAddModListUTRA-FDD,
        cellsToAddModListUTRA-TDD    CellsToAddModListUTRA-TDD
    }
    cellForWhichToReportCGI     CHOICE {
        utra-FDD                     PhysCellIdUTRA-FDD,
        utra-TDD                     PhysCellIdUTRA-TDD
    }
    ...
    [[ csg-allowedReportingCells-v930    CSG-AllowedReportingCells-r9    OPTIONAL          --
Need ON
]]
}
CellsToAddModListUTRA-FDD ::=    SEQUENCE (SIZE (1..maxCellMeas)) OF CellsToAddModUTRA-FDD
CellsToAddModUTRA-FDD ::= SEQUENCE {
    cellIndex                   INTEGER (1..maxCellMeas),
    physCellId                  PhysCellIdUTRA-FDD
}
CellsToAddModListUTRA-TDD ::=    SEQUENCE (SIZE (1..maxCellMeas)) OF CellsToAddModUTRA-TDD
CellsToAddModUTRA-TDD ::= SEQUENCE {
    cellIndex                   INTEGER (1..maxCellMeas),
    physCellId                  PhysCellIdUTRA-TDD
}
```

```

CSG-AllowedReportingCells-r9 ::= SEQUENCE {
    physCellIdRangeUTRA-FDDList-r9 PhysCellIdRangeUTRA-FDDList-r9 OPTIONAL -- Need OR
}
-- ASN1STOP

```

#### **MeasObjectUTRA field descriptions**

<b>carrierFreq</b>	Identifies UTRA carrier frequency for which this configuration is valid.
<b>cellsToRemoveList</b>	List of cells to remove from the neighbouring cell list.
<b>cellsToAddModListUTRA-FDD</b>	List of UTRA FDD cells to add/ modify in the neighbouring cell list.
<b>cellsToAddModListUTRA-TDD</b>	List of UTRA TDD cells to add/modify in the neighbouring cell list.
<b>cellIndex</b>	Entry index in the neighbouring cell list.
<b>csg-allowedReportingCells</b>	One or more ranges of physical cell identities for which UTRA-FDD reporting is allowed.

### – **MeasResults**

The IE *MeasResults* covers measured results for intra-frequency, inter-frequency and inter- RAT mobility.

#### **MeasResults information element**

```

-- ASN1START
MeasResults ::= SEQUENCE {
    measId MeasId,
    measResultServCell SEQUENCE {
        rsrpResult RSRP-Range,
        rsrqResult RSRQ-Range
    },
    measResultNeighCells CHOICE {
        measResultListEUTRA MeasResultListEUTRA,
        measResultListUTRA MeasResultListUTRA,
        measResultListGERAN MeasResultListGERAN,
        measResultsCDMA2000 MeasResultsCDMA2000,
        ...
    } OPTIONAL,
    ...,
    [[ measResultForECID-r9 MeasResultForECID-r9 OPTIONAL
]]
}

MeasResultListEUTRA ::= SEQUENCE (SIZE (1..maxCellReport)) OF MeasResultEUTRA

MeasResultEUTRA ::= SEQUENCE {
    physCellId PhysCellId,
    cgi-Info SEQUENCE {
        cellGlobalId CellGlobalIdEUTRA,
        trackingAreaCode TrackingAreaCode,
        plmn-IdentityList PLMN-IdentityList2
    } OPTIONAL,
    measResult SEQUENCE {
        rsrpResult RSRP-Range OPTIONAL,
        rsrqResult RSRQ-Range OPTIONAL,
        ...,
        [[ additionalSI-Info-r9 AdditionalSI-Info-r9 OPTIONAL
]]
    }
}

MeasResultListUTRA ::= SEQUENCE (SIZE (1..maxCellReport)) OF MeasResultUTRA

MeasResultUTRA ::= SEQUENCE {
    physCellId CHOICE {
        fdd PhysCellIdUTRA-FDD,
        tdd PhysCellIdUTRA-TDD
    },
    cgi-Info SEQUENCE {

```

```

        cellGlobalId          CellGlobalIdUTRA,
        locationAreaCode      BIT STRING (SIZE (16))          OPTIONAL,
        routingAreaCode       BIT STRING (SIZE (8))           OPTIONAL,
        plmn-IdentityList     PLMN-IdentityList2              OPTIONAL
    }
    measResult                SEQUENCE {
        ultra-RSCP             INTEGER (-5..91)                OPTIONAL,
        ultra-EcN0             INTEGER (0..49)                 OPTIONAL,
        ...
        [[ 'additionalSI-Info-r9          AdditionalSI-Info-r9          OPTIONAL
        ]]
    }
}

MeasResultListGERAN ::=          SEQUENCE (SIZE (1..maxCellReport)) OF MeasResultGERAN

MeasResultGERAN ::= SEQUENCE {
    carrierFreq               CarrierFreqGERAN,
    physCellId               PhysCellIdGERAN,
    cgi-Info                  SEQUENCE {
        cellGlobalId         CellGlobalIdGERAN,
        routingAreaCode      BIT STRING (SIZE (8))          OPTIONAL
    }
    measResult                SEQUENCE {
        rssi                  INTEGER (0..63),
        ...
    }
}

MeasResultsCDMA2000 ::=          SEQUENCE {
    preRegistrationStatusHRPD BOOLEAN,
    measResultListCDMA2000    MeasResultListCDMA2000
}

MeasResultListCDMA2000 ::=      SEQUENCE (SIZE (1..maxCellReport)) OF MeasResultCDMA2000

MeasResultCDMA2000 ::= SEQUENCE {
    physCellId               PhysCellIdCDMA2000,
    cgi-Info                  SEQUENCE {
        cellGlobalIdCDMA2000 CellGlobalIdCDMA2000          OPTIONAL,
        measResult            SEQUENCE {
            pilotPnPhase      INTEGER (0..32767)              OPTIONAL,
            pilotStrength     INTEGER (0..63),
            ...
        }
    }
}

MeasResultForECID-r9 ::=      SEQUENCE {
    ue-RxTxTimeDiffResult-r9 INTEGER (0..4095),
    currentSFN-r9            BIT STRING (SIZE (10))
}

PLMN-IdentityList2 ::=        SEQUENCE (SIZE (1..5)) OF PLMN-Identity

AdditionalSI-Info-r9 ::=      SEQUENCE {
    csg-MemberStatus-r9      ENUMERATED {member}          OPTIONAL,
    csg-Identity-r9          CSG-Identity                  OPTIONAL
}

-- ASN1STOP

```

<b>MeasResults field descriptions</b>
<b>measId</b> Identifies the measurement identity for which the reporting is being performed.
<b>measResultServCell</b> Measured result of the serving cell.
<b>measResultListEUTRA</b> List of measured results for the maximum number of reported best cells for an E-UTRA measurement identity.
<b>rsrpResult</b> Measured RSRP result of an E-UTRA cell. The rsrpResult is only reported if configured by the eNB.
<b>rsrqResult</b> Measured RSRQ result of an E-UTRA cell. The rsrqResult is only reported if configured by the eNB.
<b>measResultListUTRA</b> List of measured results for the maximum number of reported best cells for a UTRA measurement identity.
<b>measResultListGERAN</b> List of measured results for the maximum number of reported best cells or frequencies for a GERAN measurement identity.
<b>measResultsCDMA2000</b> Contains the CDMA2000 HRPD pre-registration status and the list of CDMA2000 measurements.
<b>preRegistrationStatusHRPD</b> Set to TRUE if the UE is currently pre-registered with CDMA2000 HRPD. Otherwise set to FALSE. This can be ignored by the eNB for CDMA2000 1xRTT.
<b>measResultListCDMA2000</b> List of measured results for the maximum number of reported best cells for a CDMA2000 measurement identity.
<b>measResult</b> Measured result of an E-UTRA cell; Measured result of a UTRA cell; Measured result of a GERAN cell or frequency; or Measured result of a CDMA2000 cell. Measured result of UE Rx – Tx time difference.
<b>utra-RSCP</b> According to CPICH_RSCP in TS 25.133 [29] for FDD and P-CCPCH_RSCP in TS 25.123 [30] for TDD. Thirty-one spare values.
<b>utra-EcN0</b> According to CPICH_Ec/No in TS 25.133 [29] for FDD. Fourteen spare values. The field is not present for TDD.
<b>rssI</b> GERAN Carrier RSSI. RXLEV is mapped to a value between 0 and 63, TS 45.008 [28]. When mapping the RXLEV value to the RSSI bit string, the first/leftmost bit of the bit string contains the most significant bit.
<b>locationAreaCode</b> A fixed length code identifying the location area within a PLMN, as defined in TS 23.003 [27].
<b>routingAreaCode</b> The RAC identity read from broadcast information, as defined in TS 23.003 [27].
<b>plmn-IdentityList</b> The list of PLMN Identity read from broadcast information when the multiple PLMN Identities are broadcast. This field contains the list of identities starting from the second entry of PLMN Identities in the broadcast information.
<b>pilotPnPhase</b> Indicates the arrival time of a CDMA2000 pilot, measured relative to the UE's time reference in units of PN chips, see C.S0005-A [25]. This information is used in either SRVCC handover or enhanced 1xRTT CS fallback procedure to CDMA2000 1xRTT.
<b>pilotStrength</b> CDMA2000 Pilot Strength, the ratio of pilot power to total power in the signal bandwidth of a CDMA2000 Forward Channel. See C.S0005-A [25] for CDMA2000 1xRTT and C.S0024-A [26] for CDMA2000 HRPD.
<b>csg-MemberStatus</b> Indicates whether or not the UE is a member of the CSG of the neighbour cell.
<b>ue-RxTxTimeDiffResult</b> UE Rx-Tx time difference measurement result of the serving cell, provided by lower layers. According to UE Rx-Tx time difference report mapping in TS 36.133 [16].
<b>currentSFN</b> Indicates the current system frame number when receiving the UE Rx-Tx time difference measurement results from lower layer.

## – *QuantityConfig*

The IE *QuantityConfig* specifies the measurement quantities and layer 3 filtering coefficients for E-UTRA and inter-RAT measurements.

### **QuantityConfig information element**

```

-- ASN1START
QuantityConfig ::= SEQUENCE {
    quantityConfigEUTRA      QuantityConfigEUTRA      OPTIONAL, -- Need ON
    quantityConfigUTRA      QuantityConfigUTRA      OPTIONAL, -- Need ON
    quantityConfigGERAN     QuantityConfigGERAN     OPTIONAL, -- Need ON
    quantityConfigCDMA2000  QuantityConfigCDMA2000  OPTIONAL, -- Need ON
    ...
}

QuantityConfigEUTRA ::= SEQUENCE {
    filterCoefficientRSRP   FilterCoefficient      DEFAULT fc4,
    filterCoefficientRSRQ   FilterCoefficient      DEFAULT fc4
}

QuantityConfigUTRA ::= SEQUENCE {
    measQuantityUTRA-FDD   ENUMERATED {cpich-RSCP, cpich-EcN0},
    measQuantityUTRA-TDD   ENUMERATED {pccpch-RSCP},
    filterCoefficient      FilterCoefficient      DEFAULT fc4
}

QuantityConfigGERAN ::= SEQUENCE {
    measQuantityGERAN      ENUMERATED {rssi},
    filterCoefficient      FilterCoefficient      DEFAULT fc2
}

QuantityConfigCDMA2000 ::= SEQUENCE {
    measQuantityCDMA2000   ENUMERATED {pilotStrength, pilotPnPhaseAndPilotStrength}
}
-- ASN1STOP

```

### **QuantityConfig field descriptions**

<b>quantityConfigEUTRA</b>	Specifies filter configurations for E-UTRA measurements.
<b>quantityConfigUTRA</b>	Specifies quantity and filter configurations for UTRA measurements.
<b>measQuantityUTRA</b>	Measurement quantity used for UTRA measurements.
<b>quantityConfigGERAN</b>	Specifies quantity and filter configurations for GERAN measurements.
<b>measQuantityGERAN</b>	Measurement quantity used for GERAN measurements.
<b>quantityConfigCDMA2000</b>	Specifies quantity configurations for CDMA2000 measurements.
<b>measQuantityCDMA2000</b>	Measurement quantity used for CDMA2000 measurements. <i>pilotPnPhaseAndPilotStrength</i> is only applicable for <i>MeasObjectCDMA2000</i> of <i>cdma2000-Type = type1XRTT</i> .
<b>filterCoefficientRSRP</b>	Specifies the filtering coefficient used for RSRP.
<b>filterCoefficientRSRQ</b>	Specifies the filtering coefficient used for RSRQ.

## – *ReportConfigEUTRA*

The IE *ReportConfigEUTRA* specifies criteria for triggering of an E-UTRA measurement reporting event. The E-UTRA measurement reporting events are labelled AN with N equal to 1, 2 and so on.

- Event A1: Serving becomes better than absolute threshold;
- Event A2: Serving becomes worse than absolute threshold;
- Event A3: Neighbour becomes amount of offset better than serving;
- Event A4: Neighbour becomes better than absolute threshold;
- Event A5: Serving becomes worse than absolute threshold1 AND Neighbour becomes better than another absolute threshold2.

### ReportConfigEUTRA information element

```

-- ASN1START
ReportConfigEUTRA ::=
    triggerType
    event
        eventId
            eventA1
                a1-Threshold
            },
            eventA2
                a2-Threshold
            },
            eventA3
                a3-Offset
                reportOnLeave
            },
            eventA4
                a4-Threshold
            },
            eventA5
                a5-Threshold1
                a5-Threshold2
            },
            ...
        },
        hysteresis
        timeToTrigger
    },
    periodical
        purpose
    }
},
triggerQuantity
reportQuantity
maxReportCells
reportInterval
reportAmount
...,
[[ si-RequestForHO-r9
    ue-RxTxTimeDiffPeriodical-r9
]]
}

ThresholdEUTRA ::=
    threshold-RSRP
    threshold-RSRQ
}

-- ASN1STOP

```

```

SEQUENCE {
    CHOICE {
        SEQUENCE {
            CHOICE {
                SEQUENCE {
                    ThresholdEUTRA
                }
            },
            SEQUENCE {
                ThresholdEUTRA
            },
            SEQUENCE {
                INTEGER (-30..30),
                BOOLEAN
            },
            SEQUENCE {
                ThresholdEUTRA
            },
            SEQUENCE {
                ThresholdEUTRA,
                ThresholdEUTRA
            }
        },
        Hysteresis,
        TimeToTrigger
    },
    SEQUENCE {
        ENUMERATED {
            reportStrongestCells, reportCGI
        }
    }
},
    ENUMERATED {rsrp, rsrq},
    ENUMERATED {sameAsTriggerQuantity, both},
    INTEGER (1..maxCellReport),
    ReportInterval,
    ENUMERATED {r1, r2, r4, r8, r16, r32, r64, infinity},
    ...,
    ENUMERATED {setup} OPTIONAL, -- Cond reportCGI
    ENUMERATED {setup} OPTIONAL -- Need OR
}

CHOICE{
    RSRP-Range,
    RSRQ-Range
}

```

<b>ReportConfigEUTRA field descriptions</b>	
<b>eventId</b>	Choice of E-UTRA event triggered reporting criteria.
<b>aN-ThresholdM</b>	Threshold to be used in EUTRA measurement report triggering condition for event number aN. If multiple thresholds are defined for event number aN, the thresholds are differentiated by M.
<b>a3-Offset</b>	Offset value to be used in EUTRA measurement report triggering condition for event a3. The actual value is IE value * 0.5 dB.
<b>reportOnLeave</b>	Indicates whether or not the UE shall initiate the measurement reporting procedure when the leaving condition is met for a cell in <i>cellsTriggeredList</i> , as specified in 5.5.4.1.
<b>triggerQuantity</b>	The quantities used to evaluate the triggering condition for the event. The values rsrp and rsrq correspond to Reference Signal Received Power (RSRP) and Reference Signal Received Quality (RSRQ), see TS 36.214 [48].
<b>timeToTrigger</b>	Time during which specific criteria for the event needs to be met in order to trigger a measurement report.
<b>reportQuantity</b>	The quantities to be included in the measurement report. The value both means that both the rsrp and rsrq quantities are to be included in the measurement report.
<b>maxReportCells</b>	Max number of cells, excluding the serving cell, to include in the measurement report.
<b>reportAmount</b>	Number of measurement reports applicable for <i>triggerType</i> 'event' as well as for <i>triggerType</i> 'periodical'. In case <i>purpose</i> is set to 'reportCGI' only value 1 applies.
<b>ThresholdEUTRA</b>	For RSRP: RSRP based threshold for event evaluation. The actual value is IE value – 140 dBm. For RSRQ: RSRQ based threshold for event evaluation. The actual value is (IE value – 40)/2 dB.
<b>si-RequestForHO</b>	The field applies to the 'reportCGI' functionality, and when the field is included, the UE is allowed to use autonomous gaps in acquiring system information from the neighbour cell, applies a different value for T321, and includes different fields in the measurement report.
<b>ue-RxTxTimeDiffPeriodical</b>	If this field is present, the UE shall perform UE Rx-Tx time difference measurement reporting and ignore the fields <i>triggerQuantity</i> , <i>reportQuantity</i> and <i>maxReportCells</i> . If the field is present, the only applicable values for the corresponding <i>triggerType</i> and <i>purpose</i> are 'periodical' and 'reportStrongestCells' respectively.

Conditional presence	Explanation
<i>reportCGI</i>	The field is optional, need OR, in case ' <i>purpose</i> ' is included and set to ' <i>reportCGI</i> '; otherwise the field is not present.

### – *ReportConfigId*

The IE *ReportConfigId* is used to identify a measurement reporting configuration.

#### **ReportConfigId information element**

```
-- ASN1START
ReportConfigId ::=                INTEGER (1..maxReportConfigId)
-- ASN1STOP
```

### – *ReportConfigInterRAT*

The IE *ReportConfigInterRAT* specifies criteria for triggering of an inter-RAT measurement reporting event. The inter-RAT measurement reporting events are labelled *BN* with *N* equal to 1, 2 and so on.

Event B1: Neighbour becomes better than absolute threshold;

Event B2: Serving becomes worse than absolute threshold1 AND Neighbour becomes better than another absolute threshold2.

The b1 and b2 event thresholds for CDMA2000 are the CDMA2000 pilot detection thresholds are expressed as an unsigned binary number equal to  $[-2 \times 10 \log_{10} E_c/I_0]$  in units of 0.5dB, see C.S0005-A [25] for details.

### ReportConfigInterRAT information element

```
-- ASN1START
ReportConfigInterRAT ::=
  triggerType
  event
  eventId
  eventB1
    b1-Threshold
      b1-ThresholdUTRA
      b1-ThresholdGERAN
      b1-ThresholdCDMA2000
    },
  eventB2
    b2-Threshold1
    b2-Threshold2
      b2-Threshold2UTRA
      b2-Threshold2GERAN
      b2-Threshold2CDMA2000
    },
  ...
  },
  hysteresis
  timeToTrigger
  },
  periodical
  purpose
  },
  maxReportCells
  reportInterval
  reportAmount
  ...,
  [[ si-RequestForHO-r9
  ]]
}

ThresholdUTRA ::=
  ultra-RSCP
  ultra-EcN0
}

ThresholdGERAN ::=
  INTEGER (0..63)

ThresholdCDMA2000 ::=
  INTEGER (0..63)
-- ASN1STOP
```

<b>ReportConfigInterRAT field descriptions</b>
<b>eventId</b> Choice of inter-RAT event triggered reporting criteria.
<b>bN-ThresholdM</b> Threshold to be used in inter RAT measurement report triggering condition for event number bN. If multiple thresholds are defined for event number bN, the thresholds are differentiated by M.
<b>timeToTrigger</b> Time during which specific criteria for the event needs to be met in order to trigger a measurement report.
<b>Purpose</b> reportStrongestCellsForSON applies only in case <i>reportConfig</i> is linked to a <i>measObject</i> set to ' <i>measObjectUTRA</i> ' or ' <i>measObjectCDMA2000</i> '.
<b>maxReportCells</b> Max number of cells, excluding the serving cell, to include in the measurement report. In case <i>purpose</i> is set to ' <i>reportStrongestCellsForSON</i> ' only value 1 applies.
<b>reportAmount</b> Number of measurement reports applicable for <i>triggerType</i> ' <i>event</i> ' as well as for <i>triggerType</i> ' <i>periodical</i> '. In case <i>purpose</i> is set to ' <i>reportCGI</i> ' or ' <i>reportStrongestCellsForSON</i> ' only value 1 applies.
<b>ThresholdUTRA</b> <i>utra-RSCP</i> corresponds to CPICH_RSCP in TS 25.133 [29] for FDD and P-CCPCH_RSCP in TS 25.123 [30] for TDD. <i>utra-EcN0</i> corresponds to CPICH_Ec/No in TS 25.133 [29] for FDD, and is not applicable for TDD. For <i>utra-RSCP</i> : The actual value is IE value – 115 dBm. For <i>utra-EcN0</i> : The actual value is (IE value – 49)/2 dB.
<b>ThresholdGERAN</b> The actual value is IE value – 110 dBm.
<b>si-RequestForHO</b> The field applies to the ' <i>reportCGI</i> ' functionality, and when the field is included, the UE is allowed to use autonomous gaps in acquiring system information from the neighbour cell, applies a different value for T321, and includes different fields in the measurement report.

Conditional presence	Explanation
<i>reportCGI</i>	The field is optional, need OR, in case ' <i>purpose</i> ' is included and set to ' <i>reportCGI</i> '; otherwise the field is not present.

### – *ReportConfigToAddModList*

The IE *ReportConfigToAddModList* concerns a list of reporting configurations to add or modify

#### **ReportConfigToAddModList information element**

```
-- ASN1START
ReportConfigToAddModList ::=          SEQUENCE (SIZE (1..maxReportConfigId)) OF ReportConfigToAddMod
ReportConfigToAddMod ::=             SEQUENCE {
    reportConfigId                    ReportConfigId,
    reportConfig                      CHOICE {
        reportConfigEUTRA             ReportConfigEUTRA,
        reportConfigInterRAT         ReportConfigInterRAT
    }
}
-- ASN1STOP
```

### – *ReportInterval*

The *ReportInterval* indicates the interval between periodical reports. The *ReportInterval* is applicable if the UE performs periodical reporting (i.e. when *reportAmount* exceeds 1), for *triggerType* '*event*' as well as for *triggerType* '*periodical*'. Value ms120 corresponds with 120 ms, ms240 corresponds with 240 ms and so on, while value min1 corresponds with 1 min, min6 corresponds with 6 min and so on.

#### **ReportInterval information element**

```
-- ASN1START
```

```
ReportInterval ::=
    ENUMERATED {
        ms120, ms240, ms480, ms640, ms1024, ms2048, ms5120, ms10240,
        min1, min6, min12, min30, min60, spare3, spare2, spare1}
-- ASN1STOP
```

### – *RSRP-Range*

The IE *RSRP-Range* specifies the value range used in RSRP measurements and thresholds. Integer value for RSRP measurements according to mapping table in TS 36.133 [16].

#### **RSRP-Range information element**

```
-- ASN1START
RSRP-Range ::=
    INTEGER (0..97)
-- ASN1STOP
```

### – *RSRQ-Range*

The IE *RSRQ-Range* specifies the value range used in RSRQ measurements and thresholds. Integer value for RSRQ measurements according to mapping table in TS 36.133 [16].

#### **RSRQ-Range information element**

```
-- ASN1START
RSRQ-Range ::=
    INTEGER (0..34)
-- ASN1STOP
```

### – *TimeToTrigger*

The IE *TimeToTrigger* specifies the value range used for time to trigger parameter, which concerns the time during which specific criteria for the event needs to be met in order to trigger a measurement report. Value ms0 corresponds to 0 ms, ms40 corresponds to 40 ms, and so on.

#### **TimeToTrigger information element**

```
-- ASN1START
TimeToTrigger ::=
    ENUMERATED {
        ms0, ms40, ms64, ms80, ms100, ms128, ms160, ms256,
        ms320, ms480, ms512, ms640, ms1024, ms1280, ms2560,
        ms5120}
-- ASN1STOP
```

## 6.3.6 Other information elements

### – *C-RNTI*

The IE *C-RNTI* identifies a UE having a RRC connection within a cell.

#### **C-RNTI information element**

```
-- ASN1START
C-RNTI ::=
    BIT STRING (SIZE (16))
-- ASN1STOP
```

– *DedicatedInfoCDMA2000*

The *DedicatedInfoCDMA2000* is used to transfer UE specific CDMA2000 information between the network and the UE. The RRC layer is transparent for this information.

**DedicatedInfoCDMA2000 information element**

```
-- ASN1START
DedicatedInfoCDMA2000 ::= OCTET STRING
-- ASN1STOP
```

– *DedicatedInfoNAS*

The IE *DedicatedInfoNAS* is used to transfer UE specific NAS layer information between the network and the UE. The RRC layer is transparent for this information.

**DedicatedInfoNAS information element**

```
-- ASN1START
DedicatedInfoNAS ::= OCTET STRING
-- ASN1STOP
```

– *FilterCoefficient*

The IE *FilterCoefficient* specifies the measurement filtering coefficient. Value *fc0* corresponds to  $k = 0$ , *fc1* corresponds to  $k = 1$ , and so on.

**FilterCoefficient information element**

```
-- ASN1START
FilterCoefficient ::= ENUMERATED {
    fc0, fc1, fc2, fc3, fc4, fc5,
    fc6, fc7, fc8, fc9, fc11, fc13,
    fc15, fc17, fc19, spare1, ...}
-- ASN1STOP
```

– *MMEC*

The IE *MMEC* identifies an MME within the scope of an MME Group within a PLMN, see TS 23.003 [27].

**MMEC information element**

```
-- ASN1START
MMEC ::= BIT STRING (SIZE (8))
-- ASN1STOP
```

– *NeighCellConfig*

The IE *NeighCellConfig* is used to provide the information related to MBSFN and TDD UL/DL configuration of neighbour cells.

**NeighCellConfig** information element

```
-- ASN1START
NeighCellConfig ::=          BIT STRING (SIZE (2))
-- ASN1STOP
```

**NeighCellConfig** field descriptions**neighCellConfig**

Provides information related to MBSFN and TDD UL/DL configuration of neighbour cells of this frequency

00: Not all neighbour cells have the same MBSFN subframe allocation as serving cell

10: The MBSFN subframe allocations of all neighbour cells are identical to or subsets of that in the serving cell

01: No MBSFN subframes are present in all neighbour cells

11: Different UL/DL allocation in neighbouring cells for TDD compared to the serving cell

For TDD, 00, 10 and 01 are only used for same UL/DL allocation in neighbouring cells compared to the serving cell.

**OtherConfig**

The IE *OtherConfig* contains configuration related to other configuration

**OtherConfig** information element

```
-- ASN1START
OtherConfig-r9 ::= SEQUENCE {
    reportProximityConfig-r9          ReportProximityConfig-r9          OPTIONAL,  -- Need ON
    ...
}
ReportProximityConfig-r9 ::= SEQUENCE {
    proximityIndicationEUTRA-r9      ENUMERATED {enabled}          OPTIONAL,  -- Need OR
    proximityIndicationUTRA-r9      ENUMERATED {enabled}          OPTIONAL,  -- Need OR
}
-- ASN1STOP
```

**OtherConfig** field descriptions**reportProximityConfig**

Indicates, for each of the applicable RATs (EUTRA, UTRA), whether or not proximity indication is enabled for CSG member cells of the concerned RAT. Note.

NOTE: Enabling/ disabling of proximity indication includes enabling/ disabling of the related functionality e.g. autonomous search in connected mode.

**RAND-CDMA2000** (1xRTT)

The *RAND-CDMA2000* concerns a random value, generated by the eNB, to be passed to the CDMA2000 upper layers.

**RAND-CDMA2000** information element

```
-- ASN1START
RAND-CDMA2000 ::=          BIT STRING (SIZE (32))
-- ASN1STOP
```

**RAT-Type**

The IE *RAT-Type* is used to indicate the radio access technology (RAT), including E-UTRA, of the requested/ transferred UE capabilities.

**RAT-Type information element**

```
-- ASN1START
RAT-Type ::=
    ENUMERATED {
        eutra, utra, geran-cs, geran-ps, cdma2000-1XRTT,
        spare3, spare2, spare1, ...}
-- ASN1STOP
```

– **RRC-TransactionIdentifier**

The IE *RRC-TransactionIdentifier* is used, together with the message type, for the identification of an RRC procedure (transaction).

**RRC-TransactionIdentifier information element**

```
-- ASN1START
RRC-TransactionIdentifier ::=
    INTEGER (0..3)
-- ASN1STOP
```

– **S-TMSI**

The IE *S-TMSI* contains an S-Temporary Mobile Subscriber Identity, a temporary UE identity provided by the EPC which uniquely identifies the UE within the tracking area, see TS 23.003 [27].

**S-TMSI information element**

```
-- ASN1START
S-TMSI ::=
    SEQUENCE {
        mmeC MMEC,
        m-TMSI BIT STRING (SIZE (32))
    }
-- ASN1STOP
```

**S-TMSI field descriptions*****m-TMSI***

The first/leftmost bit of the bit string contains the most significant bit of the M-TMSI.

– **UE-CapabilityRAT-ContainerList**

The IE *UE-CapabilityRAT-ContainerList* contains list of containers, one for each RAT for which UE capabilities are transferred, if any.

**UE-CapabilityRAT-ContainerList information element**

```
-- ASN1START
UE-CapabilityRAT-ContainerList ::= SEQUENCE (SIZE (0..maxRAT-Capabilities)) OF UE-CapabilityRAT-Container
UE-CapabilityRAT-Container ::= SEQUENCE {
    rat-Type RAT-Type,
    ueCapabilityRAT-Container OCTET STRING
}
-- ASN1STOP
```

**UECapabilityRAT-ContainerList field descriptions****ueCapabilityRAT-Container**

Container for the UE capabilities of the indicated RAT. The encoding is defined in the specification of each RAT:

For E-UTRA: the encoding of UE capabilities is defined in IE *UE-EUTRA-Capability*.

For UTRA: the octet string contains the INTER RAT HANDOVER INFO message defined in TS 25.331 [19].

For GERAN CS: the octet string contains the concatenated string of the Mobile Station Classmark 2 and Mobile Station Classmark 3. The first 5 octets correspond to Mobile Station Classmark 2 and the following octets correspond to Mobile Station Classmark 3. The Mobile Station Classmark 2 is formatted as 'TLV' and is coded in the same way as the *Mobile Station Classmark 2* information element in TS 24.008 [49]. The first octet is the *Mobile station classmark 2 IEI* and its value shall be set to 33H. The second octet is the *Length of mobile station classmark 2* and its value shall be set to 3. The octet 3 contains the first octet of the value part of the *Mobile Station Classmark 2* information element, the octet 4 contains the second octet of the value part of the *Mobile Station Classmark 2* information element and so on. For each of these octets, the first/ leftmost/ most significant bit of the octet contains b8 of the corresponding octet of the Mobile Station Classmark 2. The Mobile Station Classmark 3 is formatted as 'V' and is coded in the same way as the value part in the *Mobile station classmark 3* information element in TS 24.008 [49]. The sixth octet of this octet string contains octet 1 of the value part of *Mobile station classmark 3*, the seventh of octet of this octet string contains octet 2 of the value part of *Mobile station classmark 3* and so on. Note.

For GERAN PS: the encoding of UE capabilities is formatted as 'V' and is coded in the same way as the value part in the *MS Radio Access Capability* information element in TS 24.008 [49].

For CDMA2000-1XRTT: the octet string contains the A21 Mobile Subscription Information and the encoding of this is defined in A.S0008 [33]. The A21 Mobile Subscription Information contains the supported CDMA2000 1xRTT band class and band sub-class information.

NOTE: The value part is specified by means of CSN.1, which encoding results in a bit string, to which final padding may be appended up to the next octet boundary TS 24.008 [49]. The first/ leftmost bit of the CSN.1 bit string is placed in the first/ leftmost/ most significant bit of the first octet. This continues until the last bit of the CSN.1 bit string, which is placed in the last/ rightmost/ least significant bit of the last octet.

**UE-EUTRA-Capability**

The IE *UE-EUTRA-Capability* is used to convey the E-UTRA UE Radio Access Capability Parameters, see TS 36.306 [5], and the Feature Group Indicators for mandatory features (defined in Annex B.1) to the network. The IE *UE-EUTRA-Capability* is transferred in E-UTRA or in another RAT.

**UE-EUTRA-Capability information element**

```
-- ASN1START
```

```
UE-EUTRA-Capability ::= SEQUENCE {
    accessStratumRelease      AccessStratumRelease,
    ue-Category               INTEGER (1..5),
    pdcp-Parameters           PDCP-Parameters,
    phyLayerParameters        PhyLayerParameters,
    rf-Parameters             RF-Parameters,
    measParameters            MeasParameters,
    featureGroupIndicators    BIT STRING (SIZE (32)) OPTIONAL,
    interRAT-Parameters      SEQUENCE {
        ultraFDD              IRAT-ParametersUTRA-FDD          OPTIONAL,
        ultraTDD128           IRAT-ParametersUTRA-TDD128      OPTIONAL,
        ultraTDD384           IRAT-ParametersUTRA-TDD384      OPTIONAL,
        ultraTDD768           IRAT-ParametersUTRA-TDD768      OPTIONAL,
        geran                  IRAT-ParametersGERAN           OPTIONAL,
        cdma2000-HRPD          IRAT-ParametersCDMA2000-HRPD  OPTIONAL,
        cdma2000-1xRTT        IRAT-ParametersCDMA2000-1XRTT    OPTIONAL
    },
    nonCriticalExtension      UE-EUTRA-Capability-v920-IEs    OPTIONAL
}
```

```
UE-EUTRA-Capability-v920-IEs ::= SEQUENCE {
    phyLayerParameters-v920  PhyLayerParameters-v920,
    interRAT-ParametersGERAN-v920  IRAT-ParametersGERAN-v920,
    interRAT-ParametersUTRA-v920   IRAT-ParametersUTRA-v920          OPTIONAL,
    interRAT-ParametersCDMA2000-v920  IRAT-ParametersCDMA2000-1XRTT-v920  OPTIONAL,
    deviceType-r9                 ENUMERATED {noBenFromBatConsumpOpt} OPTIONAL,
    csg-ProximityIndicationParameters-r9  CSG-ProximityIndicationParameters-r9,
    neighCellSI-AcquisitionParameters-r9  NeighCellSI-AcquisitionParameters-r9,
    son-Parameters-r9             SON-Parameters-r9,
    nonCriticalExtension          UE-EUTRA-Capability-v940-IEs    OPTIONAL
}
```

```
UE-EUTRA-Capability-v940-IEs ::= SEQUENCE {
```

```

    lateNonCriticalExtension      OCTET STRING      OPTIONAL,
    nonCriticalExtension          SEQUENCE {}         OPTIONAL
}

AccessStratumRelease ::=      ENUMERATED {
                                rel8, rel9, spare6, spare5, spare4, spare3,
                                spare2, spare1, ...}

PDCP-Parameters ::=          SEQUENCE {
    supportedROHC-Profiles      SEQUENCE {
        profile0x0001          BOOLEAN,
        profile0x0002          BOOLEAN,
        profile0x0003          BOOLEAN,
        profile0x0004          BOOLEAN,
        profile0x0006          BOOLEAN,
        profile0x0101          BOOLEAN,
        profile0x0102          BOOLEAN,
        profile0x0103          BOOLEAN,
        profile0x0104          BOOLEAN
    },
    maxNumberROHC-ContextSessions  ENUMERATED {
        cs2, cs4, cs8, cs12, cs16, cs24, cs32,
        cs48, cs64, cs128, cs256, cs512, cs1024,
        cs16384, spare2, spare1}      DEFAULT cs16,
    ...
}

PhyLayerParameters ::=        SEQUENCE {
    ue-TxAntennaSelectionSupported  BOOLEAN,
    ue-SpecificRefSigsSupported    BOOLEAN
}

PhyLayerParameters-v920 ::=   SEQUENCE {
    enhancedDualLayerFDD-r9         ENUMERATED {supported}      OPTIONAL,
    enhancedDualLayerTDD-r9         ENUMERATED {supported}      OPTIONAL
}

RF-Parameters ::=            SEQUENCE {
    supportedBandListEUTRA          SupportedBandListEUTRA
}

SupportedBandListEUTRA ::=    SEQUENCE (SIZE (1..maxBands)) OF SupportedBandEUTRA

SupportedBandEUTRA ::=        SEQUENCE {
    bandEUTRA                       INTEGER (1..64),
    halfDuplex                       BOOLEAN
}

MeasParameters ::=           SEQUENCE {
    bandListEUTRA                   BandListEUTRA
}

BandListEUTRA ::=            SEQUENCE (SIZE (1..maxBands)) OF BandInfoEUTRA

BandInfoEUTRA ::=            SEQUENCE {
    interFreqBandList               InterFreqBandList,
    interRAT-BandList               InterRAT-BandList      OPTIONAL
}

InterFreqBandList ::=        SEQUENCE (SIZE (1..maxBands)) OF InterFreqBandInfo

InterFreqBandInfo ::=        SEQUENCE {
    interFreqNeedForGaps            BOOLEAN
}

InterRAT-BandList ::=        SEQUENCE (SIZE (1..maxBands)) OF InterRAT-BandInfo

InterRAT-BandInfo ::=        SEQUENCE {
    interRAT-NeedForGaps            BOOLEAN
}

IRAT-ParametersUTRA-FDD ::=   SEQUENCE {
    supportedBandListUTRA-FDD       SupportedBandListUTRA-FDD
}

IRAT-ParametersUTRA-v920 ::=  SEQUENCE {
    e-RedirectionUTRA-r9           ENUMERATED {supported}
}

```

```

SupportedBandListUTRA-FDD ::= SEQUENCE (SIZE (1..maxBands)) OF SupportedBandUTRA-FDD
SupportedBandUTRA-FDD ::= ENUMERATED {
    bandI, bandII, bandIII, bandIV, bandV, bandVI,
    bandVII, bandVIII, bandIX, bandX, bandXI,
    bandXII, bandXIII, bandXIV, bandXV, bandXVI, ...,
    bandXVII-8a0, bandXVIII-8a0, bandXIX-8a0, bandXX-8a0,
    bandXXI-8a0, bandXXII-8a0, bandXXIII-8a0, bandXXIV-8a0,
    bandXXV-8a0, bandXXVI-8a0, bandXXVII-8a0, bandXXVIII-8a0,
    bandXXIX-8a0, bandXXX-8a0, bandXXXI-8a0, bandXXXII-8a0}

IRAT-ParametersUTRA-TDD128 ::= SEQUENCE {
    supportedBandListUTRA-TDD128
}

SupportedBandListUTRA-TDD128 ::= SEQUENCE (SIZE (1..maxBands)) OF SupportedBandUTRA-TDD128
SupportedBandUTRA-TDD128 ::= ENUMERATED {
    a, b, c, d, e, f, g, h, i, j, k, l, m, n,
    o, p, ...}

IRAT-ParametersUTRA-TDD384 ::= SEQUENCE {
    supportedBandListUTRA-TDD384
}

SupportedBandListUTRA-TDD384 ::= SEQUENCE (SIZE (1..maxBands)) OF SupportedBandUTRA-TDD384
SupportedBandUTRA-TDD384 ::= ENUMERATED {
    a, b, c, d, e, f, g, h, i, j, k, l, m, n,
    o, p, ...}

IRAT-ParametersUTRA-TDD768 ::= SEQUENCE {
    supportedBandListUTRA-TDD768
}

SupportedBandListUTRA-TDD768 ::= SEQUENCE (SIZE (1..maxBands)) OF SupportedBandUTRA-TDD768
SupportedBandUTRA-TDD768 ::= ENUMERATED {
    a, b, c, d, e, f, g, h, i, j, k, l, m, n,
    o, p, ...}

IRAT-ParametersGERAN ::= SEQUENCE {
    supportedBandListGERAN
    interRAT-PS-HO-ToGERAN
    BOOLEAN
}

IRAT-ParametersGERAN-v920 ::= SEQUENCE {
    dtm-r9
    ENUMERATED {supported}
    OPTIONAL,
    e-RedirectionGERAN-r9
    ENUMERATED {supported}
    OPTIONAL
}

SupportedBandListGERAN ::= SEQUENCE (SIZE (1..maxBands)) OF SupportedBandGERAN
SupportedBandGERAN ::= ENUMERATED {
    gsm450, gsm480, gsm710, gsm750, gsm810, gsm850,
    gsm900P, gsm900E, gsm900R, gsm1800, gsm1900,
    spare5, spare4, spare3, spare2, spare1, ...}

IRAT-ParametersCDMA2000-HRPD ::= SEQUENCE {
    supportedBandListHRPD
    tx-ConfigHRPD
    ENUMERATED {single, dual},
    rx-ConfigHRPD
    ENUMERATED {single, dual}
}

SupportedBandListHRPD ::= SEQUENCE (SIZE (1..maxCDMA-BandClass)) OF BandclassCDMA2000

IRAT-ParametersCDMA2000-1XRTT ::= SEQUENCE {
    supportedBandList1XRTT
    tx-Config1XRTT
    ENUMERATED {single, dual},
    rx-Config1XRTT
    ENUMERATED {single, dual}
}

IRAT-ParametersCDMA2000-1XRTT-v920 ::= SEQUENCE {
    e-CSFB-1XRTT-r9
    ENUMERATED {supported},
    e-CSFB-ConcPS-Mob1XRTT-r9
    ENUMERATED {supported}
    OPTIONAL
}

```

```
SupportedBandList1XRTT ::= SEQUENCE (SIZE (1..maxCDMA-BandClass)) OF BandclassCDMA2000

CSG-ProximityIndicationParameters-r9 ::= SEQUENCE {
    intraFreqProximityIndication-r9 ENUMERATED {supported} OPTIONAL,
    interFreqProximityIndication-r9 ENUMERATED {supported} OPTIONAL,
    utran-ProximityIndication-r9 ENUMERATED {supported} OPTIONAL
}

NeighCellSI-AcquisitionParameters-r9 ::= SEQUENCE {
    intraFreqSI-AcquisitionForHO-r9 ENUMERATED {supported} OPTIONAL,
    interFreqSI-AcquisitionForHO-r9 ENUMERATED {supported} OPTIONAL,
    utran-SI-AcquisitionForHO-r9 ENUMERATED {supported} OPTIONAL
}

SON-Parameters-r9 ::= SEQUENCE {
    rach-Report-r9 ENUMERATED {supported} OPTIONAL
}

-- ASN1STOP
```

<b>UE-EUTRA-Capability field descriptions</b>
<b>accessStratumRelease</b> Set to rel9 in this version of the specification.
<b>maxNumberROHC-ContextSessions</b> Set to the maximum number of concurrently active ROHC contexts supported by the UE. cs2 corresponds with 2 (context sessions), cs4 corresponds with 4 and so on.
<b>ue-Category</b> UE category as defined in TS 36.306 [5]. Set to values 1 to 5 in this version of the specification.
<b>bandEUTRA</b> E-UTRA band as defined in TS 36.101 [42].
<b>ue-TxAntennaSelectionSupported</b> TRUE indicates that the UE is capable of supporting UE transmit antenna selection as described in TS 36.213 [23, 8.7].
<b>halfDuplex</b> If <i>halfDuplex</i> is set to true, only half duplex operation is supported for the band, otherwise full duplex operation is supported.
<b>bandListEUTRA</b> One entry corresponding to each supported E-UTRA band listed in the same order as in <i>supportedBandListEUTRA</i> .
<b>interFreqBandList</b> One entry corresponding to each supported E-UTRA band listed in the same order as in <i>supportedBandListEUTRA</i> .
<b>interFreqNeedForGaps</b> Indicates need for measurement gaps when operating on the E-UTRA band given by the entry in <i>bandListEUTRA</i> and measuring on the E-UTRA band given by the entry in <i>interFreqBandList</i> .
<b>interRAT-BandList</b> One entry corresponding to each supported band of another RAT listed in the same order as in the <i>interRAT-Parameters</i> .
<b>interRAT-NeedForGaps</b> Indicates need for DL measurement gaps when operating on the E-UTRA band given by the entry in <i>bandListEUTRA</i> and measuring on the inter-RAT band given by the entry in the <i>interRAT-BandList</i> .
<b>SupportedBandUTRA-FDD</b> UTRA band as defined in TS 25.101 [17].
<b>SupportedBandUTRA-TDD128</b> UTRA band as defined in TS 25.102 [18].
<b>SupportedBandUTRA-TDD384</b> UTRA band as defined in TS 25.102 [18].
<b>SupportedBandUTRA-TDD768</b> UTRA band as defined in TS 25.102 [18].
<b>SupportedBandGERAN</b> GERAN band as defined in TS 45.005 [20].
<b>dtm</b> Indicates whether the UE supports DTM in GERAN.
<b>SupportedBandListHRPD</b> One entry corresponding to each supported CDMA2000 HRPD band class.
<b>SupportedBandList1XRTT</b> One entry corresponding to each supported CDMA2000 1xRTT band class.
<b>interRAT-PS-HO-ToGERAN</b> Indicates whether the UE supports inter-RAT PS handover to GERAN or not.
<b>featureGroupIndicators</b> The definitions of the bits in the bit string are described in Annex B.
<b>e-CSFB-1XRTT</b> Indicates whether the UE supports enhanced CS fallback to CDMA2000 1xRTT or not.
<b>e-CSFB-ConcPS-Mob1XRTT</b> Indicates whether the UE supports concurrent enhanced CS fallback to CDMA2000 1xRTT and PS handover/redirection to CDMA2000 HRPD.
<b>enhancedDualLayerTDD-Supported</b> Indicates whether the UE supports enhanced dual layer (PDSCH transmission mode 8) for TDD or not. This bit shall be set to "TRUE" by a Rel-9 TDD UE when the functionality has been IOT tested.
<b>deviceType</b> UE may set the value to " <i>noBenFromBatConsumpOpt</i> " when it does not foresee to particularly benefit from NW-based battery consumption optimisation. Absence of this value means that the device does benefit from NW-based battery consumption optimisation.

NOTE: The IE *UE-EUTRA-Capability* does not include AS security capability information, since these are the same as the security capabilities that are signalled by NAS. Consequently AS need not provide "man-in-the-middle" protection for the security capabilities.

– *UE-TimersAndConstants*

The IE *UE-TimersAndConstants* contains timers and constants used by the UE in either RRC\_CONNECTED or RRC\_IDLE.

***UE-TimersAndConstants* information element**

```
-- ASN1START
UE-TimersAndConstants ::=          SEQUENCE {
  t300                             ENUMERATED {
                                    ms100, ms200, ms300, ms400, ms600, ms1000, ms1500,
                                    ms2000},
  t301                             ENUMERATED {
                                    ms100, ms200, ms300, ms400, ms600, ms1000, ms1500,
                                    ms2000},
  t310                             ENUMERATED {
                                    ms0, ms50, ms100, ms200, ms500, ms1000, ms2000},
  n310                             ENUMERATED {
                                    n1, n2, n3, n4, n6, n8, n10, n20},
  t311                             ENUMERATED {
                                    ms1000, ms3000, ms5000, ms10000, ms15000,
                                    ms20000, ms30000},
  n311                             ENUMERATED {
                                    n1, n2, n3, n4, n5, n6, n8, n10},
  ...
}
-- ASN1STOP
```

***UE-TimersAndConstants* field descriptions**

***t3xy***

Timers are described in section 7.3. Value ms0 corresponds with 0 ms, ms50 corresponds with 50 ms and so on.

***n3xy***

Constants are described in section 7.4. n1 corresponds with 1, n2 corresponds with 2 and so on.

## 6.3.7 MBMS information elements

– *MBMS-NotificationConfig*

The IE *MBMS-NotificationConfig* specifies the MBMS notification related configuration parameters, that are applicable for all MBSFN areas.

***MBMS-NotificationConfig* information element**

```
-- ASN1START
MBMS-NotificationConfig-r9 ::=          SEQUENCE {
  notificationRepetitionCoeff-r9    ENUMERATED {n2, n4},
  notificationOffset-r9             INTEGER (0..10),
  notificationSF-Index-r9           INTEGER (1..6)
}
-- ASN1STOP
```

<b>MBMS-NotificationConfig field descriptions</b>
<p><b><i>notificationRepetitionCoeff</i></b> Actual change notification repetition period common for all MCCHs that are configured= shortest modification period/<i>notificationRepetitionCoeff</i>. The 'shortest modification period' corresponds with the lowest value of <i>mcch-ModificationPeriod</i> of all MCCHs that are configured. Value <i>n2</i> corresponds to coefficient 2, and so on.</p>
<p><b><i>notificationOffset</i></b> Indicates, together with the <i>notificationRepetitionCoeff</i>, the radio frames in which the MCCH information change notification is scheduled i.e. the MCCH information change notification is scheduled in radio frames for which: SFN mod notification repetition period = <i>notificationOffset</i></p>
<p><b><i>notificationSF-Index</i></b> Indicates the subframe used to transmit MCCH change notifications on PDCCH. FDD: Value 1, 2, 3, 4, 5 and 6 correspond with subframe #1, #2, #3 #6, #7, and #8 respectively. TDD: Value 1, 2, 3, 4, and 5 correspond with subframe #3, #4, #7, #8, and #9 respectively.</p>

## – *MBSFN-AreaInfoList*

The IE *MBSFN-AreaInfoList* contains the information required to acquire the MBMS control information associated with one or more MBSFN areas.

### ***MBSFN-AreaInfoList information element***

```

-- ASN1START
MBSFN-AreaInfoList-r9 ::=          SEQUENCE (SIZE(1..maxMBSFN-Area)) OF MBSFN-AreaInfo-r9
MBSFN-AreaInfo-r9 ::=             SEQUENCE {
    mbsfn-AreaId-r9                INTEGER (0..255),
    non-MBSFNregionLength          ENUMERATED {s1, s2},
    notificationIndicator-r9       INTEGER (0..7),
    mcch-Config-r9                 SEQUENCE {
        mcch-RepetitionPeriod-r9   ENUMERATED {rf32, rf64, rf128, rf256},
        mcch-Offset-r9             INTEGER (0..10),
        mcch-ModificationPeriod-r9 ENUMERATED {rf512, rf1024},
        sf-AllocInfo-r9            BIT STRING (SIZE(6)),
        signallingMCS-r9           ENUMERATED {n2, n7, n13, n19}
    },
    ...
}
-- ASN1STOP

```

<b>MBSFN-AreaInfoList field descriptions</b>
<p><b>mbsfn-Areaid</b> Indicates the MBSFN area ID, parameter <math>M_{ID}^{MBSFN}</math> in TS 36.211 [21, 6.10.2.1].</p>
<p><b>signallingMCS</b> Indicates the Modulation and Coding Scheme (MCS) applicable for the subframes indicated by the field <i>sf-AllocInfo</i> and for each (P)MCH that is configured for this MBSFN area, for the first subframe allocated to the (P)MCH within each MCH scheduling period (which may contain the MCH scheduling information provided by MAC). Value <i>n2</i> corresponds with the value 2 for parameter <math>I_{MCS}</math> in TS 36.213 [23, Table 7.1.7.1-1], and so on.</p>
<p><b>non-MBSFNregionLength</b> Indicates how many symbols from the beginning of the subframe constitute the non-MBSFN region. This value applies in all subframes of the MBSFN area used for PMCH transmissions as indicated in the MSI. The values <i>s1</i> and <i>s2</i> correspond with 1 and 2 symbols, respectively: see TS 36.211 [21, Table 6.7-1].</p>
<p><b>notificationIndicator</b> Indicates which PDCCH bit is used to notify the UE about change of the MCCH applicable for this MBSFN area. Value 0 corresponds with the least significant bit as defined in TS 36.212 [22, Section 5.3.3.1] and so on.</p>
<p><b>mcch-RepetitionPeriod</b> Defines the interval between transmissions of MCCH information, in radio frames, Value <i>rf32</i> corresponds to 32 radio frames, <i>rf64</i> corresponds to 64 radio frames and so on.</p>
<p><b>mcch-Offset</b> Indicates, together with the <i>mcch-RepetitionPeriod</i>, the radio frames in which MCCH is scheduled i.e. MCCH is scheduled in radio frames for which: <math>SFN \bmod mcch-RepetitionPeriod = mcch-Offset</math></p>
<p><b>mcch-ModificationPeriod</b> Defines periodically appearing boundaries, i.e. radio frames for which <math>SFN \bmod mcch-ModificationPeriod = 0</math>, The contents of different transmissions of MCCH information can only be different if there is at least one such boundary in-between them.</p>
<p><b>sf-AllocInfo</b> Indicates the subframes of the radio frames indicated by the <i>mcch-RepetitionPeriod</i> and the <i>mcch-Offset</i>, that may carry MCCH. Value "1" indicates that the corresponding subframe is allocated. The following mapping applies: FDD: The first/ leftmost bit defines the allocation for subframe #1 of the radio frame indicated by <i>mcch-RepetitionPeriod</i> and <i>mcch-Offset</i>, the second bit for #2, the third bit for #3, the fourth bit for #6, the fifth bit for #7 and the sixth bit for #8. TDD: The first/leftmost bit defines the allocation for subframe #3 of the radio frame indicated by <i>mcch-RepetitionPeriod</i> and <i>mcch-Offset</i>, the second bit for #4, third bit for #7, fourth bit for #8, fifth bit for #9. Uplink subframes are not allocated. The last bit is not used.</p>

## – MBSFN-SubframeConfig

The IE *MBSFN-SubframeConfig* defines subframes that are reserved for MBSFN in downlink.

### **MBSFN-SubframeConfig information element**

```

-- ASN1START
MBSFN-SubframeConfig ::=
    SEQUENCE {
        radioframeAllocationPeriod    ENUMERATED {n1, n2, n4, n8, n16, n32},
        radioframeAllocationOffset    INTEGER (0..7),
        subframeAllocation            CHOICE {
            oneFrame                  BIT STRING (SIZE(6)),
            fourFrames                 BIT STRING (SIZE(24))
        }
    }
-- ASN1STOP

```

<b>MBSFN-SubframeConfig field descriptions</b>
<p><b>radioFrameAllocationPeriod, radioFrameAllocationOffset</b> Radio-frames that contain MBSFN subframes occur when equation <math>SFN \bmod \text{radioFrameAllocationPeriod} = \text{radioFrameAllocationOffset}</math> is satisfied. Value <math>n1</math> for <i>radioFrameAllocationPeriod</i> denotes value 1, <math>n2</math> denotes value 2, and so on. When <i>fourFrames</i> is used for <i>subframeAllocation</i>, the equation defines the first radio frame referred to in the description below. Values <math>n1</math> and <math>n2</math> are not applicable when <i>fourFrames</i> is used.</p>
<p><b>subframeAllocation</b> Defines the subframes that are allocated for MBSFN within the radio frame allocation period defined by the <i>radioFrameAllocationPeriod</i> and the <i>radioFrameAllocationOffset</i>.</p>
<p><b>oneFrame</b> "1" denotes that the corresponding subframe is allocated for MBSFN. The following mapping applies: FDD: The first/leftmost bit defines the MBSFN allocation for subframe #1, the second bit for #2, third bit for #3, fourth bit for #6, fifth bit for #7, sixth bit for #8. TDD: The first/leftmost bit defines the allocation for subframe #3, the second bit for #4, third bit for #7, fourth bit for #8, fifth bit for #9. Uplink subframes are not allocated. The last bit is not used.</p>
<p><b>fourFrames</b> A bit-map indicating MBSFN subframe allocation in four consecutive radio frames, "1" denotes that the corresponding subframe is allocated for MBSFN. The bitmap is interpreted as follows: FDD: Starting from the first radioframe and from the first/leftmost bit in the bitmap, the allocation applies to subframes #1, #2, #3, #6, #7, and #8 in the sequence of the four radio-frames. TDD: Starting from the first radioframe and from the first/leftmost bit in the bitmap, the allocation applies to subframes #3, #4, #7, #8, and #9 in the sequence of the four radio-frames. The last four bits are not used. Uplink subframes are not allocated.</p>

## – PMCH-InfoList

The IE *PMCH-InfoList* specifies configuration of all PMCHs of an MBSFN area. The information provided for an individual PMCH includes the configuration parameters of the sessions that are carried by the concerned PMCH.

### **PMCH-InfoList information element**

```
-- ASN1START
PMCH-InfoList-r9 ::= SEQUENCE (SIZE (0..maxPMCH-PerMBSFN)) OF PMCH-Info-r9
PMCH-Info-r9 ::= SEQUENCE {
    pmch-Config-r9          PMCH-Config-r9,
    mbms-SessionInfoList-r9 MBMS-SessionInfoList-r9,
    ...
}
MBMS-SessionInfoList-r9 ::= SEQUENCE (SIZE (0..maxSessionPerPMCH)) OF MBMS-SessionInfo-r9
MBMS-SessionInfo-r9 ::= SEQUENCE {
    tmgi-r9                TMGI-r9,
    sessionId-r9           OCTET STRING (SIZE (1))          OPTIONAL, -- Need OR
    logicalChannelIdentity-r9 INTEGER (0..maxSessionPerPMCH-1),
    ...
}
PMCH-Config-r9 ::= SEQUENCE {
    sf-AllocEnd-r9        INTEGER (0..1535),
    dataMCS-r9           INTEGER (0..28),
    mch-SchedulingPeriod-r9 ENUMERATED {
        rf8, rf16, rf32, rf64, rf128, rf256, rf512, rf1024},
    ...
}
TMGI-r9 ::= SEQUENCE {
    plmn-Id-r9           CHOICE {
        plmn-Index-r9   INTEGER (1..6),
        explicitValue-r9 PLMN-Identity
    },
    serviceId-r9        OCTET STRING (SIZE (3))
}
-- ASN1STOP
```

<b>PMCH-InfoList field descriptions</b>	
<b>sessionId</b>	Indicates the optional MBMS Session Identity, which together with TMGI identifies a transmission or a possible retransmission of a specific MBMS session: see TS 29.061 [51, Sections 20.5, 17.7.11, 17.7.15]. The field is included whenever upper layers have assigned a session identity i.e. one is available for the MBMS session in E-UTRAN.
<b>sf-AllocEnd</b>	Indicates the last subframe allocated to this (P)MCH within a period identified by field <i>commonSF-AllocPeriod</i> . The subframes allocated to (P)MCH corresponding with the n <sup>th</sup> entry in <i>pmch-InfoList</i> are the subsequent subframes starting from either the next subframe after the subframe identified by <i>sf-AllocEnd</i> of the (n-1) <sup>th</sup> listed (P)MCH or, for n=1, the first subframe defined by field <i>commonSF-Alloc</i> , through the subframe identified by <i>sf-AllocEnd</i> of the n <sup>th</sup> listed (P)MCH. Value 0 corresponds with the first subframe defined by field <i>commonSF-Alloc</i> .
<b>mch-SchedulingPeriod</b>	Indicates the MCH scheduling period i.e. the periodicity used for providing MCH scheduling information at lower layers (MAC) applicable for an MCH. Value rf8 corresponds to 8 radio frames, rf16 corresponds to 16 radio frames and so on. The <i>mch-SchedulingPeriod</i> starts in the radio frames for which: $SFN \bmod mch-SchedulingPeriod = 0$ .
<b>dataMCS</b>	Indicates the value for parameter $I_{MCS}$ in TS 36.213 [23, Table 7.1.7.1-1], which defines the Modulation and Coding Scheme (MCS) applicable for the subframes of this (P)MCH as indicated by the field <i>commonSF-Alloc</i> . The MCS does however neither apply to the subframes that may carry MCCH i.e. the subframes indicated by the field <i>sf-AllocInfo</i> within <i>SystemInformationBlockType13</i> nor for the first subframe allocated to this (P)MCH within each MCH scheduling period (which may contain the MCH scheduling information provided by MAC).
<b>plmn-Index</b>	Index of the entry in field <i>plmn-IdentityList</i> within <i>SystemInformationBlockType1</i> .
<b>sessionId</b>	Identifies the identity of a session of an MBMS service.
<b>serviceId</b>	Uniquely identifies the identity of an MBMS service within a PLMN. The field contains octet 3- 5 of the IE Temporary Mobile Group Identity (TMGI) as defined in TS 24.008 [49]. The first octet contains the third octet of the TMGI, the second octet contains the fourth octet of the TMGI and so on.

## 6.4 RRC multiplicity and type constraint values

### – Multiplicity and type constraint definitions

```

-- ASN1START
maxBands                INTEGER ::= 64  -- Maximum number of bands listed in EUTRA UE caps
maxCDMA-BandClass       INTEGER ::= 32  -- Maximum value of the CDMA band classes
maxCellBlack            INTEGER ::= 16  -- Maximum number of blacklisted cells
                        -- listed in SIB type 4 and 5
maxCellInfoGERAN-r9    INTEGER ::= 32  -- Maximum number of GERAN cells for which system in-
                        -- formation can be provided as redirection assistance
maxCellInfoUTRA-r9     INTEGER ::= 16  -- Maximum number of UTRA cells for which system
                        -- information can be provided as redirection
                        -- assistance
maxCellInter            INTEGER ::= 16  -- Maximum number of neighbouring inter-frequency
                        -- cells listed in SIB type 5
maxCellIntra           INTEGER ::= 16  -- Maximum number of neighbouring intra-frequency
                        -- cells listed in SIB type 4
maxCellMeas            INTEGER ::= 32  -- Maximum number of entries in each of the
                        -- cell lists in a measurement object
maxCellReport          INTEGER ::= 8   -- Maximum number of reported cells
maxDRB                 INTEGER ::= 11  -- Maximum number of Data Radio Bearers
maxEARFCN              INTEGER ::= 65535 -- Maximum value of EUTRA carrier fequency
maxFreq                INTEGER ::= 8   -- Maximum number of EUTRA carrier frequencies
maxGERAN-SI            INTEGER ::= 10  -- Maximum number of GERAN SI blocks that can be
                        -- provided as part of NACC information
maxGNFNG              INTEGER ::= 16  -- Maximum number of GERAN neighbour freq groups
maxMBSFN-Allocations   INTEGER ::= 8   -- Maximum number of MBSFN frame allocations with
                        -- different offset
maxMBSFN-Area          INTEGER ::= 8   --
maxMeasId              INTEGER ::= 32  --
maxObjectId            INTEGER ::= 32  --
maxPageRec             INTEGER ::= 16  --
maxPhysCellIdRange-r9 INTEGER ::= 4   -- Maximum number of physical cell identity ranges
maxPNOffset            INTEGER ::= 511 -- Maximum number of CDMA2000 PNOffsets

```

```

maxPMCH-PerMBSFN      INTEGER ::= 15
maxRAT-Capabilities    INTEGER ::= 8   -- Maximum number of interworking RATs (incl EUTRA)
maxReportConfigId      INTEGER ::= 32
maxSessionPerPMCH      INTEGER ::= 29
maxSessionPerPMCH-1    INTEGER ::= 28
maxSIB                  INTEGER ::= 32   -- Maximum number of SIBs
maxSIB-1                INTEGER ::= 31
maxSI-Message           INTEGER ::= 32   -- Maximum number of SI messages
maxUTRA-FDD-Carrier     INTEGER ::= 16   -- Maximum number of UTRA FDD carrier frequencies
maxUTRA-TDD-Carrier     INTEGER ::= 16   -- Maximum number of UTRA TDD carrier frequencies

-- ASN1STOP
NOTE: The value of maxDRB align with SA2.

```

## – End of EUTRA-RRC-Definitions

```

-- ASN1START
END
-- ASN1STOP

```

---

# 7 Variables and constants

## 7.1 UE variables

NOTE: To facilitate the specification of the UE behavioural requirements, UE variables are represented using ASN.1. Unless explicitly specified otherwise, it is however up to UE implementation how to store the variables. The optionality of the IEs in ASN.1 is used only to indicate that the values may not always be available.

### – *EUTRA-UE-Variables*

This ASN.1 segment is the start of the E-UTRA UE variable definitions.

```

-- ASN1START
EUTRA-UE-Variables DEFINITIONS AUTOMATIC TAGS ::=
BEGIN
IMPORTS
    CarrierFreqGERAN,
    CellIdentity,
    SpeedStateScaleFactors,
    C-RNTI,
    MeasId,
    MeasIdToAddModList,
    MeasObjectToAddModList,
    MobilityStateParameters,
    NeighCellConfig,
    PhysCellId,
    PhysCellIdCDMA2000,
    PhysCellIdGERAN,
    PhysCellIdUTRA-FDD,
    PhysCellIdUTRA-TDD,
    QuantityConfig,
    ReportConfigToAddModList,
    RSRP-Range,
    maxCellMeas,
    maxMeasId
FROM EUTRA-RRC-Definitions;
-- ASN1STOP

```

## – *VarMeasConfig*

The UE variable *VarMeasConfig* includes the accumulated configuration of the measurements to be performed by the UE, covering intra-frequency, inter-frequency and inter-RAT mobility related measurements.

### **VarMeasConfig UE variable**

```
-- ASN1START
VarMeasConfig ::= SEQUENCE {
  -- Measurement identities
  measIdList MeasIdToAddModList OPTIONAL,
  -- Measurement objects
  measObjectList MeasObjectToAddModList OPTIONAL,
  -- Reporting configurations
  reportConfigList ReportConfigToAddModList OPTIONAL,
  -- Other parameters
  quantityConfig QuantityConfig OPTIONAL,
  s-Measure RSRP-Range OPTIONAL,
  speedStatePars CHOICE {
    release NULL,
    setup SEQUENCE {
      mobilityStateParameters MobilityStateParameters,
      timeToTrigger-SF SpeedStateScaleFactors
    }
  }
}
-- ASN1STOP
```

## – *VarMeasReportList*

The UE variable *VarMeasReportList* includes information about the measurements for which the triggering conditions have been met.

### **VarMeasReportList UE variable**

```
-- ASN1START
VarMeasReportList ::= SEQUENCE (SIZE (1..maxMeasId)) OF VarMeasReport
VarMeasReport ::= SEQUENCE {
  -- List of measurement that have been triggered
  measId MeasId,
  cellsTriggeredList CellsTriggeredList OPTIONAL,
  numberOfReportsSent INTEGER
}
CellsTriggeredList ::= SEQUENCE (SIZE (1..maxCellMeas)) OF CHOICE {
  physCellIdEUTRA PhysCellId,
  physCellIdUTRA CHOICE {
    fdd PhysCellIdUTRA-FDD,
    tdd PhysCellIdUTRA-TDD
  },
  physCellIdGERAN SEQUENCE {
    carrierFreq CarrierFreqGERAN,
    physCellId PhysCellIdGERAN
  },
  physCellIdCDMA2000 PhysCellIdCDMA2000
}
-- ASN1STOP
```

## – *VarShortMAC-Input*

The UE variable *VarShortMAC-Input* specifies the input used to generate the shortMAC-I.

### **VarShortMAC-Input UE variable**

```
-- ASN1START
```

```

VarShortMAC-Input ::= SEQUENCE {
    cellIdentity      CellIdentity,
    physCellId       PhysCellId,
    c-RNTI            C-RNTI
}
-- ASN1STOP
    
```

<i>VarShortMAC-Input</i> field descriptions	
<b>cellIdentity</b>	Set to CellIdentity of the current cell.
<b>physCellId</b>	Set to the physical cell identity of the cell the UE was connected to prior to the failure.
<b>c-RNTI</b>	Set to C-RNTI that the UE had in the cell it was connected to prior to the failure.

– Multiplicity and type constraint definitions

This section includes multiplicity and type constraints applicable (only) for UE variables.

```

-- ASN1START
-- ASN1STOP
    
```

– End of *EUTRA-UE-Variables*

```

-- ASN1START
END
-- ASN1STOP
    
```

## 7.2 Counters

Counter	Reset	Incremented	When reaching max value

## 7.3 Timers (Informative)

Timer	Start	Stop	At expiry
T300	Transmission of <i>RRCConnectionRequest</i>	Reception of <i>RRCConnectionSetup</i> or <i>RRCConnectionReject</i> message, cell re-selection and upon abortion of connection establishment by upper layers	Perform the actions as specified in 5.3.3.6
T301	Transmission of <i>RRCConnectionReestablishmentRequest</i>	Reception of <i>RRCConnectionReestablishment</i> or <i>RRCConnectionReestablishmentReject</i> message as well as when the selected cell becomes unsuitable	Go to RRC_IDLE
T302	Reception of <i>RRCConnectionReject</i> while performing RRC connection establishment	Upon entering RRC_CONNECTED and upon cell re-selection	Inform upper layers about barring alleviation as specified in 5.3.3.7
T303	Access barred while performing RRC connection establishment for mobile originating calls	Upon entering RRC_CONNECTED and upon cell re-selection	Inform upper layers about barring alleviation as specified in 5.3.3.7
T304	Reception of <i>RRCConnectionReconfiguration</i> message including the <i>MobilityControl Info</i> or reception of <i>MobilityFromEUTRACommand</i> message including <i>CellChangeOrder</i>	Criterion for successful completion of handover to EUTRA or cell change order is met (the criterion is specified in the target RAT in case of inter-RAT)	In case of cell change order from E-UTRA or intra E-UTRA handover, initiate the RRC connection re-establishment procedure; In case of handover to E-UTRA, perform the actions defined in the specifications applicable for the source RAT.
T305	Access barred while performing RRC connection establishment for mobile originating signalling	Upon entering RRC_CONNECTED and upon cell re-selection	Inform upper layers about barring alleviation as specified in 5.3.3.7
T310	Upon detecting physical layer problems i.e. upon receiving N310 consecutive out-of-sync indications from lower layers	Upon receiving N311 consecutive in-sync indications from lower layers, upon triggering the handover procedure and upon initiating the connection re-establishment procedure	If security is not activated: go to RRC_IDLE else: initiate the connection re-establishment procedure
T311	Upon initiating the RRC connection re-establishment procedure	Selection of a suitable E-UTRA cell or a cell using another RAT.	Enter RRC_IDLE
T320	Upon receiving <i>t320</i> or upon cell (re)selection to E-UTRA from another RAT with validity time configured for dedicated priorities (in which case the remaining validity time is applied).	Upon entering RRC_CONNECTED, when PLMN selection is performed on request by NAS, or upon cell (re)selection to another RAT (in which case the timer is carried on to the other RAT).	Discard the cell reselection priority information provided by dedicated signalling.
T321	Upon receiving <i>measConfig</i> including a <i>reportConfig</i> with the <i>purpose</i> set to <i>reportCGI</i>	Upon acquiring the information needed to set all fields of <i>cellGlobalId</i> for the requested cell, upon receiving <i>measConfig</i> that includes removal of the <i>reportConfig</i> with the <i>purpose</i> set to <i>reportCGI</i>	Initiate the measurement reporting procedure, stop performing the related measurements and remove the corresponding <i>measId</i>

## 7.4 Constants

Constant	Usage
N310	Maximum number of consecutive "out-of-sync" indications received from lower layers
N311	Maximum number of consecutive "in-sync" indications received from lower layers

# 8 Protocol data unit abstract syntax

## 8.1 General

The RRC PDU contents in clause 6 and clause 10 are described using abstract syntax notation one (ASN.1) as specified in ITU-T Rec. X.680 [13] and X.681 [14]. Transfer syntax for RRC PDUs is derived from their ASN.1 definitions by use of Packed Encoding Rules, unaligned as specified in ITU-T Rec. X.691 [15].

The following encoding rules apply in addition to what has been specified in X.691:

- When a bit string value is placed in a bit-field as specified in 15.6 to 15.11 in X.691, the leading bit of the bit string value shall be placed in the leading bit of the bit-field, and the trailing bit of the bit string value shall be placed in the trailing bit of the bit-field.

**NOTE:** The terms 'leading bit' and 'trailing bit' are defined in ITU-T Rec. X.680. When using the 'bstring' notation, the leading bit of the bit string value is on the left, and the trailing bit of the bit string value is on the right.

- When decoding types constrained with the ASN.1 Contents Constraint ("CONTAINING"), automatic decoding of the contained type should not be performed because errors in the decoding of the contained type should not cause the decoding of the entire RRC message PDU to fail. It is recommended that the decoder first decodes the outer PDU type that contains the OCTET STRING or BIT STRING with the Contents Constraint, and then decodes the contained type that is nested within the OCTET STRING or BIT STRING as a separate step.
- When decoding a) RRC message PDUs, b) BIT STRING constrained with a Contents Constraint, or c) OCTET STRING constrained with a Contents Constraint, PER decoders are required to never report an error if there are extraneous zero or non-zero bits at the end of the encoded RRC message PDU, BIT STRING or OCTET STRING.

## 8.2 Structure of encoded RRC messages

An RRC PDU, which is the bit string that is exchanged between peer entities/ across the radio interface contains the basic production as defined in X.691.

RRC PDUs shall be mapped to and from PDCP SDUs (in case of DCCH) or RLC SDUs (in case of PCCH, BCCH, CCCH or MCCH) upon transmission and reception as follows:

- when delivering an RRC PDU as a PDCP SDU to the PDCP layer for transmission, the first bit of the RRC PDU shall be represented as the first bit in the PDCP SDU and onwards; and
- when delivering an RRC PDU as an RLC SDU to the RLC layer for transmission, the first bit of the RRC PDU shall be represented as the first bit in the RLC SDU and onwards; and
- upon reception of a PDCP SDU from the PDCP layer, the first bit of the PDCP SDU shall represent the first bit of the RRC PDU and onwards; and
- upon reception of an RLC SDU from the RLC layer, the first bit of the RLC SDU shall represent the first bit of the RRC PDU and onwards.

### 8.3 Basic production

The 'basic production' is obtained by applying UNALIGNED PER to the abstract syntax value (the ASN.1 description) as specified in X.691. It always contains a multiple of 8 bits.

### 8.4 Extension

The following rules apply with respect to the use of protocol extensions:

- A transmitter compliant with this version of the specification shall, unless explicitly indicated otherwise on a PDU type basis, set the extension part empty. Transmitters compliant with a later version may send non-empty extensions;
- A transmitter compliant with this version of the specification shall set spare bits to zero;

### 8.5 Padding

If the encoded RRC message does not fill a transport block, the RRC layer shall add padding bits. This applies to PCCH and BCCH.

Padding bits shall be set to 0 and the number of padding bits is a multiple of 8.

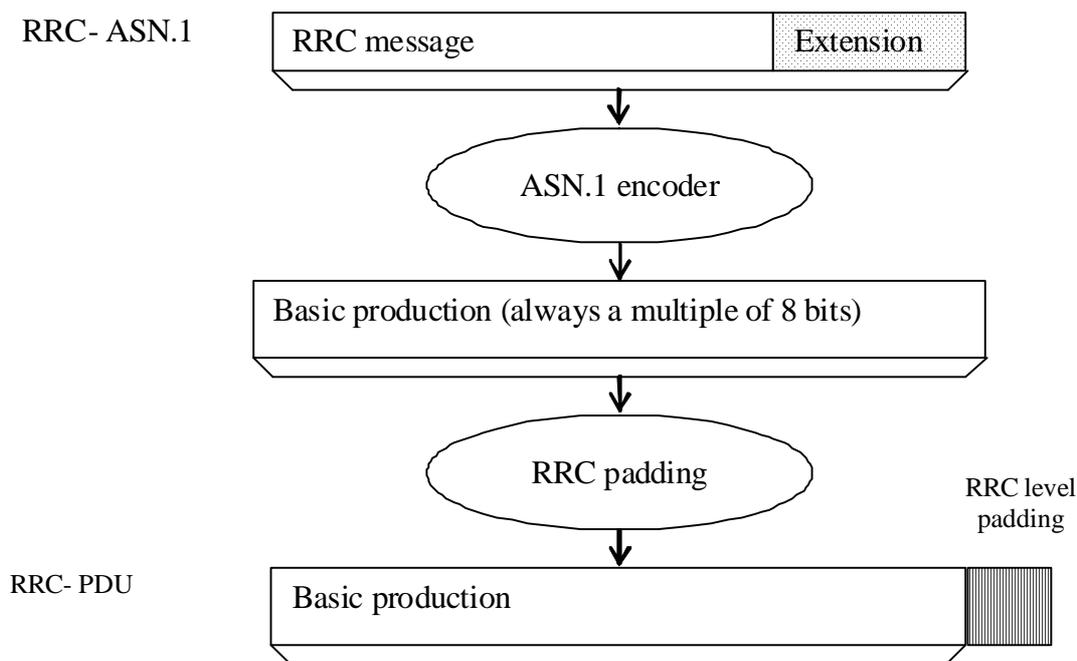


Figure 8.5-1: RRC level padding

## 9 Specified and default radio configurations

Specified and default configurations are configurations of which the details are specified in the standard. Specified configurations are fixed while default configurations can be modified using dedicated signalling.

## 9.1 Specified configurations

### 9.1.1 Logical channel configurations

#### 9.1.1.1 BCCH configuration

Parameters

Name	Value	Semantics description	Ver
PDCP configuration	N/A		
RLC configuration	TM		
MAC configuration	TM		

NOTE: RRC will perform padding, if required due to the granularity of the TF signalling, as defined in 8.5.

#### 9.1.1.2 CCCH configuration

Parameters

Name	Value	Semantics description	Ver
PDCP configuration	N/A		
RLC configuration	TM		
MAC configuration		Normal MAC headers are used	
Logical channel configuration			
<i>priority</i>	1	Highest priority	
<i>prioritisedBitRate</i>	infinity		
<i>bucketSizeDuration</i>	N/A		
<i>logicalChannelGroup</i>	0		
<i>logicalChannelSR-Mask-r9</i>	release		v920

#### 9.1.1.3 PCCH configuration

Parameters

Name	Value	Semantics description	Ver
PDCP configuration	N/A		
RLC configuration	TM		
MAC configuration	TM		

NOTE: RRC will perform padding, if required due to the granularity of the TF signalling, as defined in 8.5.

#### 9.1.1.4 MCCH and MTCH configuration

Parameters

Name	Value	Semantics description	Ver
PDCP configuration	N/A		
RLC configuration	UM		
<i>Sn-FieldLength</i>	size5		
<i>t-Reordering</i>	0		

## 9.1.2 SRB configurations

### 9.1.2.1 SRB1

Parameters

Name	Value	Semantics description	Ver
RLC configuration			
<i>logicalChannelIdentity</i>	1		

### 9.1.2.2 SRB2

#### Parameters

Name	Value	Semantics description	Ver
RLC configuration			
<i>logicalChannelIdentity</i>	2		

## 9.2 Default radio configurations

The following sections only list default values for REL-8 parameters included in protocol version v8.5.0. For all fields introduced in a later protocol version, the default value is "released" unless explicitly specified otherwise. For the following fields, introduced in a protocol version later than v8.5.0, the default corresponds with "value not applicable":

- *codeBookSubsetRestriction-v920*;
- *pmi-RI-Report*;

NOTE 1: Value "N/A" indicates that the UE does not apply a specific value (i.e. upon switching to a default configuration, E-UTRAN can not assume the UE keeps the previously configured value). This implies that E-UTRAN needs to configure a value before invoking the related functionality.

NOTE 2: In general, the signalling should preferably support a "release" option for fields introduced after v8.5.0. The "value not applicable" should be used restrictively, mainly limited to for fields which value is relevant only if another field is set to a value other than its default.

### 9.2.1 SRB configurations

#### 9.2.1.1 SRB1

#### Parameters

Name	Value	Semantics description	Ver
RLC configuration CHOICE	am		
<i>ul-RLC-Config</i>			
> <i>t-PollRetransmit</i>	ms45		
> <i>pollPDU</i>	infinity		
> <i>pollByte</i>	infinity		
> <i>maxRetxThreshold</i>	t4		
<i>dl-RLC-Config</i>			
> <i>t-Reordering</i>	ms35		
> <i>t-StatusProhibit</i>	ms0		
Logical channel configuration			
<i>priority</i>	1	Highest priority	
<i>prioritisedBitRate</i>	infinity		
<i>bucketSizeDuration</i>	N/A		
<i>logicalChannelGroup</i>	0		

#### 9.2.1.2 SRB2

#### Parameters

Name	Value	Semantics description	Ver
RLC configuration CHOICE	am		
<i>ul-RLC-Config</i>			

Name	Value	Semantics description	Ver
>t-PollRetransmit	ms45		
>pollPDU	infinity		
>pollByte	infinity		
>maxRetxThreshold	t4		
dl-RLC-Config			
>t-Reordering	ms35		
>t-StatusProhibit	ms0		
Logical channel configuration			
priority	3		
prioritisedBitRate	infinity		
bucketSizeDuration	N/A		
logicalChannelGroup	0		

## 9.2.2 Default MAC main configuration

Parameters

Name	Value	Semantics description	Ver
MAC main configuration			
maxHARQ-tx	n5		
periodicBSR-Timer	infinity		
retxBSR-Timer	sf2560		
ttiBundling	FALSE		
drx-Config	release		
phr-Config	release		
sr-ProhibitTimer	0		

## 9.2.3 Default semi-persistent scheduling configuration

SPS-Config			
>sps-ConfigDL	release		
>sps-ConfigUL	release		

## 9.2.4 Default physical channel configuration

Parameters

Name	Value	Semantics description	Ver
PDSCH-ConfigDedicated			
>p-a	dB0		
PUCCH-ConfigDedicated			
> tdd-AckNackFeedbackMode	bundling	Only valid for TDD mode	
>ackNackRepetition	release		
PUSCH-ConfigDedicated			
>betaOffset-ACK-Index	10		
>betaOffset-RI-Index	12		
>betaOffset-CQI-Index	15		
UplinkPowerControlDedicated			
>p0-UE-PUSCH	0		
>deltaMCS-Enabled	en0 (disabled)		
>accumulationEnabled	TRUE		
>p0-UE-PUCCH	0		
>pSRS-Offset	7		
> filterCoefficient	fc4		
tpc-pdcch-ConfigPUCCH	release		
tpc-pdcch-ConfigPUSCH	release		
CQI-ReportConfig			
> CQI-ReportPeriodic	release		
SoundingRS-UL-ConfigDedicated	release		
AntennaInfoDedicated			

Name	Value	Semantics description	Ver
>transmissionMode	tm1, tm2	If the number of PBCH antenna ports is one, tm1 is used as default; otherwise tm2 is used as default	
>codebookSubsetRestriction	N/A		
>ue-TransmitAntennaSelection	release		
SchedulingRequestConfig	release		

## 9.2.5 Default values timers and constants

### Parameters

Name	Value	Semantics description	Ver
t310	ms1000		
n310	n1		
t311	ms1000		
n311	n1		

---

# 10 Radio information related interactions between network nodes

## 10.1 General

This section specifies RRC messages that are transferred between network nodes. These RRC messages may be transferred to or from the UE via another Radio Access Technology. Consequently, these messages have similar characteristics as the RRC messages that are transferred across the E-UTRA radio interface, i.e. the same transfer syntax and protocol extension mechanisms apply.

## 10.2 Inter-node RRC messages

### 10.2.1 General

This section specifies RRC messages that are sent either across the X2- or the S1-interface, either to or from the eNB, i.e. a single 'logical channel' is used for all RRC messages transferred across network nodes. The information could originate from or be destined for another RAT.

#### – EUTRA-InterNodeDefinitions

This ASN.1 segment is the start of the E-UTRA inter-node PDU definitions.

```
-- ASN1START
EUTRA-InterNodeDefinitions DEFINITIONS AUTOMATIC TAGS ::=
BEGIN
IMPORTS
    AntennaInfoCommon,
    CellIdentity,
    C-RNTI,
    DL-DCCH-Message,
    ARFCN-ValueEUTRA,
    MasterInformationBlock,
    MeasConfig,
    OtherConfig-r9,
    PhysCellId,
    RadioResourceConfigDedicated,
```

```

SecurityAlgorithmConfig,
ShortMAC-I,
SystemInformationBlockType1,
SystemInformationBlockType1-v890-IEs,
SystemInformationBlockType2,
UECapabilityInformation,
UE-CapabilityRAT-ContainerList
FROM EUTRA-RRC-Definitions;

-- ASN1STOP

```

## 10.2.2 Message definitions

### – *HandoverCommand*

This message is used to transfer the handover command generated by the target eNB, which is transparently transferred by the source RAN to the UE.

Direction: target eNB to source eNB/ source RAN

#### **HandoverCommand message**

```

-- ASN1START
HandoverCommand ::= SEQUENCE {
    criticalExtensions CHOICE {
        c1 CHOICE {
            handoverCommand-r8 HandoverCommand-r8-IEs,
            spare7 NULL,
            spare6 NULL, spare5 NULL, spare4 NULL,
            spare3 NULL, spare2 NULL, spare1 NULL
        },
        criticalExtensionsFuture SEQUENCE {}
    }
}

HandoverCommand-r8-IEs ::= SEQUENCE {
    handoverCommandMessage OCTET STRING (CONTAINING DL-DCCH-Message),
    nonCriticalExtension SEQUENCE {} OPTIONAL
}
-- ASN1STOP

```

#### **HandoverCommand field descriptions**

##### ***handoverCommandMessage***

Contains the entire DL-DCCH-Message including the *RRConnectionReconfiguration* message used to perform handover to E-UTRAN, generated (entirely) by the target eNB.

### – *HandoverPreparationInformation*

This message is used to transfer the E-UTRA RRC information used by the target eNB during handover preparation, including UE capability information.

Direction: source eNB/ source RAN to target eNB

#### **HandoverPreparationInformation message**

```

-- ASN1START
HandoverPreparationInformation ::= SEQUENCE {
    criticalExtensions CHOICE {
        c1 CHOICE {
            handoverPreparationInformation-r8 HandoverPreparationInformation-r8-IEs,
            spare7 NULL,
            spare6 NULL, spare5 NULL, spare4 NULL,
            spare3 NULL, spare2 NULL, spare1 NULL
        }
    }
}

```

```

    },
    criticalExtensionsFuture          SEQUENCE {}
  }
}

HandoverPreparationInformation-r8-IEs ::= SEQUENCE {
  ue-RadioAccessCapabilityInfo      UE-CapabilityRAT-ContainerList,
  as-Config                          AS-Config                      OPTIONAL,      -- Cond HO
  rrm-Config                         RRM-Config                    OPTIONAL,
  as-Context                         AS-Context                  OPTIONAL,      -- Cond HO
  nonCriticalExtension               HandoverPreparationInformation-v920-IEs  OPTIONAL
}

HandoverPreparationInformation-v920-IEs ::= SEQUENCE {
  ue-ConfigRelease-r9               ENUMERATED {
    rel9, spare7, spare6, spare5, spare4, spare3,
    spare2, spare1, ...}           OPTIONAL,      -- Cond HO2
  nonCriticalExtension               SEQUENCE {}                OPTIONAL
}

-- ASN1STOP

```

<b>HandoverPreparationInformation field descriptions</b>	
<b>ue-RadioAccessCapabilityInfo</b>	E-UTRA radio access capabilities are always included and in case of inter-RAT handover to E-UTRA, UTRA radio access capabilities may be included. (If UTRA radio access capabilities are received from the source RAN, they are ignored by target eNB.) In case of inter-RAT handover to E-UTRA and the source is GERAN, GERAN capabilities are always included.
<b>as-Config</b>	The radio resource configuration. Applicable in case of intra-E-UTRA handover. If the target receives an incomplete <i>MeasConfig</i> and <i>RadioResourceConfigDedicated</i> in the <i>as-Config</i> , the target eNB may decide to apply the full configuration option based on the <i>ue-ConfigRelease</i> .
<b>rrm-Config</b>	Local E-UTRAN context used depending on the target node's implementation, which is mainly used for the RRM purpose.
<b>as-Context</b>	Local E-UTRAN context required by the target eNB.
<b>ue-ConfigRelease</b>	Indicates the RRC protocol release applicable for the current UE configuration. This could be used by target eNB to decide if the full configuration approach should be used. If this field is not present, the target assumes that the current UE configuration is based on the release 8 version of RRC protocol. NOTE 1.

NOTE 1: The source typically sets the *ue-ConfigRelease* to the release corresponding with the current dedicated radio configuration. The source may however also consider the common radio resource configuration e.g. in case interoperability problems would appear if the UE temporary continues extensions of this part of the configuration in a target cell not supporting them.

Conditional presence	Explanation
<i>HO</i>	The field is mandatory present in case of handover within E-UTRA; otherwise the field is not present.
<i>HO2</i>	The field is optional present in case of handover within E-UTRA; otherwise the field is not present.

– **UERadioAccessCapabilityInformation**

This message is used to transfer UE radio access capability information, covering both upload to and download from the EPC.

Direction: eNB to/ from EPC

**UERadioAccessCapabilityInformation message**

```

-- ASN1START

```

```

UERadioAccessCapabilityInformation ::= SEQUENCE {
    criticalExtensions          CHOICE {
        c1                     CHOICE {
            ueRadioAccessCapabilityInformation-r8
                                UERadioAccessCapabilityInformation-r8-IEs,
            spare7 NULL,
            spare6 NULL, spare5 NULL, spare4 NULL,
            spare3 NULL, spare2 NULL, spare1 NULL
        },
        criticalExtensionsFuture SEQUENCE {}
    }
}

UERadioAccessCapabilityInformation-r8-IEs ::= SEQUENCE {
    ue-RadioAccessCapabilityInfo    OCTET STRING (CONTAINING UECapabilityInformation),
    nonCriticalExtension            SEQUENCE {} OPTIONAL
}

-- ASN1STOP

```

#### **UERadioAccessCapabilityInformation field descriptions**

##### **ue-RadioAccessCapabilityInfo**

Including E-UTRA, GERAN, and CDMA2000-1xRTT Bandclass radio access capabilities (separated). UTRA radio access capabilities are not included.

## 10.3 Inter-node RRC information element definitions

### – *AS-Config*

The *AS-Config* IE contains information about RRC configuration information in the source cell which can be utilized by target cell to determine the need to change the RRC configuration during the handover preparation phase. The information can also be used after the handover is successfully performed or during the RRC connection re-establishment.

#### **AS-Config information element**

```

-- ASN1START
AS-Config ::= SEQUENCE {
    sourceMeasConfig           MeasConfig,
    sourceRadioResourceConfig RadioResourceConfigDedicated,
    sourceSecurityAlgorithmConfig SecurityAlgorithmConfig,
    sourceUE-Identity          C-RNTI,
    sourceMasterInformationBlock MasterInformationBlock,
    sourceSystemInformationBlockType1 SystemInformationBlockType1 (WITH COMPONENTS
        {..., nonCriticalExtension ABSENT}),
    sourceSystemInformationBlockType2 SystemInformationBlockType2,
    antennaInfoCommon         AntennaInfoCommon,
    sourceDl-CarrierFreq       ARFCN-ValueEUTRA,
    ...,
    [[ sourceSystemInformationBlockType1Ext OCTET STRING (CONTAINING
        SystemInformationBlockType1-v890-IEs) OPTIONAL,
    sourceOtherConfig-r9           OtherConfig-r9
    ]]
}

-- ASN1STOP

```

**NOTE:** The *AS-Config* re-uses information elements primarily created to cover the radio interface signalling requirements. Consequently, the information elements may include some parameters that are not relevant for the target eNB e.g. the SFN as included in the *MasterInformationBlock*.

<b>AS-Config field descriptions</b>
<p><b>sourceMeasConfig</b> Measurement configuration in the source cell. The measurement configuration for all measurements existing in the source cell when handover is triggered shall be included. See 10.5.</p>
<p><b>sourceRadioResourceConfig</b> Radio configuration in the source cell. The radio resource configuration for all radio bearers existing in the source cell when handover is triggered shall be included. See 10.5.</p>
<p><b>sourceSecurityAlgorithmConfig</b> This field provides the AS integrity protection (SRBs) and AS ciphering (SRBs and DRBs) algorithm configuration used in the source cell.</p>
<p><b>sourceMasterInformationBlock</b> <i>MasterInformationBlock</i> transmitted in the source cell.</p>
<p><b>sourceSystemInformationBlockType1</b> <i>SystemInformationBlockType1</i> transmitted in the source cell.</p>
<p><b>sourceSystemInformationBlockType2</b> <i>SystemInformationBlockType2</i> transmitted in the source cell.</p>
<p><b>antennaInfoCommon</b> This field provides information about the number of antenna ports in the source cell.</p>
<p><b>sourceDL-CarrierFreq</b> Provides the parameter Downlink EARFCN in the source cell, see TS 36.101 [42].</p>
<p><b>sourceOtherConfig</b> Provides other configuration in the source cell.</p>

## – AS-Context

The IE *AS-Context* is used to transfer local E-UTRAN context required by the target eNB.

### AS-Context information element

```
-- ASN1START
AS-Context ::=
    SEQUENCE {
        reestablishmentInfo          ReestablishmentInfo          OPTIONAL  -- Cond HO
    }
-- ASN1STOP
```

<b>AS-Context field descriptions</b>
<p><b>reestablishmentInfo</b> Including information needed for the RRC connection re-establishment.</p>

<b>Conditional presence</b>	<b>Explanation</b>
<i>HO</i>	The field is mandatory present in case of handover within E-UTRA; otherwise the field is not present.

## – ReestablishmentInfo

The *ReestablishmentInfo* IE contains information needed for the RRC connection re-establishment.

### ReestablishmentInfo information element

```
-- ASN1START
ReestablishmentInfo ::=
    SEQUENCE {
        sourcePhysCellId          PhysCellId,
        targetCellShortMAC-I      ShortMAC-I,
    }
-- ASN1STOP
```

```

    additionalReestabInfoList      AdditionalReestabInfoList      OPTIONAL,
    ...
}
AdditionalReestabInfoList ::=      SEQUENCE ( SIZE (1..maxReestabInfo) ) OF AdditionalReestabInfo
AdditionalReestabInfo ::=         SEQUENCE{
    cellIdentity                   CellIdentity,
    key-eNodeB-Star                Key-eNodeB-Star,
    shortMAC-I                     ShortMAC-I
}
Key-eNodeB-Star ::=              BIT STRING (SIZE (256))
-- ASN1STOP

```

#### **ReestablishmentInfo field descriptions**

<b>sourcePhyCellId</b>	The physical cell identity of the source cell, used to determine the UE context in the target eNB at re-establishment.
<b>targetCellShortMAC-I</b>	The ShortMAC-I for the handover target cell, in order for potential re-establishment to succeed.
<b>additionalReestabInfoList</b>	Contains a list of shortMAC-I and KeNB* for cells under control of the target eNB, required for potential re-establishment by the UE in these cells to succeed.
<b>Key-eNodeB-Star</b>	Parameter KeNB*: See TS 33.401 [32, 7.2.8.4]. This parameter is only used for X2 handover, and for S1 handover, it shall be ignored by target eNB.

### **RRM-Config**

The *RRM-Config* IE contains information about UE specific RRM information before the handover which can be utilized by target eNB after the handover is successfully performed.

#### **RRM-Config information element**

```

-- ASN1START
RRM-Config ::=
    ue-InactiveTime                SEQUENCE {
        ENUMERATED {
            s1, s2, s3, s5, s7, s10, s15, s20,
            s25, s30, s40, s50, min1, min1s20c, min1s40,
            min2, min2s30, min3, min3s30, min4, min5, min6,
            min7, min8, min9, min10, min12, min14, min17, min20,
            min24, min28, min33, min38, min44, min50, hr1,
            hr1min30, hr2, hr2min30, hr3, hr3min30, hr4, hr5, hr6,
            hr8, hr10, hr13, hr16, hr20, day1, day1hr12, day2,
            day2hr12, day3, day4, day5, day7, day10, day14, day19,
            day24, day30, dayMoreThan30}      OPTIONAL,
        ...
    }
-- ASN1STOP

```

#### **RRM-Config field descriptions**

<b>ue-InactiveTime</b>	Duration while UE has not received or transmitted any user data. Thus the timer is still running in case e.g., UE measures the neighbour cells for the HO purpose. Value s1 corresponds to 1 second, s2 corresponds to 2 seconds and so on. Value min1 corresponds to 1 minute, value min1s20 corresponds to 1 minute and 20 seconds, value min1s40 corresponds to 1 minute and 40 seconds and so on. Value hr1 corresponds to 1 hour, hr1min30 corresponds to 1 hour and 30 minutes and so on.
------------------------	---

## 10.4 Inter-node RRC multiplicity and type constraint values

### – Multiplicity and type constraints definitions

```
-- ASN1START
maxReestabInfo          INTEGER ::= 32  -- Maximum number of KeNB* and shortMAC-I forwarded
                               -- at handover for re-establishment preparation
-- ASN1STOP
```

### – End of *EUTRA-InterNodeDefinitions*

```
-- ASN1START
END
-- ASN1STOP
```

## 10.5 Mandatory information in *AS-Config*

The *AS-Config* transferred between source eNB and target-eNB shall include all IEs necessary to describe the AS context. The conditional presence in section 6 is only applicable for eNB to UE communication.

The "need" or "cond" statements are not applied in case of sending the IEs from source eNB to target eNB. Some information elements shall be included regardless of the "need" or "cond" e.g. *discardTimer*. The *AS-Config* re-uses information elements primarily created to cover the radio interface signalling requirements. The information elements may include some parameters that are not relevant for the target eNB e.g. the SFN as included in the *MasterInformationBlock*.

Within the *sourceRadioResourceConfig* the source eNB shall include fields that are optional for eNB to UE communication, if the functionality is configured unless explicitly specified otherwise in the following:

- in accordance with a condition that is explicitly stated to be applicable; or
- a default value is defined for the concerned field; and the configured value is the same as the default value that is defined; or
- the need of the field is OP and the current UE configuration corresponds with the behaviour defined for absence of the field;



- the need of the field is OP and the current UE configuration corresponds with the behaviour defined for absence of the field;

Name	Presence in clause 6	Comment
<i>MeasConfig</i>		
> <i>measObjectToAddModList</i>	OPTIONAL, -Need ON	-
>> <i>measObject</i>	-	-
>>> <i>measObjectEUTRA</i>	-	-
>>>> <i>cellsToAddModList</i>	OPTIONAL, -Need ON	-
>>>> <i>blackCellsToAddModList</i>	OPTIONAL, -Need ON	-
>>>> <i>cellForWhichToReportCGI</i>	OPTIONAL, -Need ON	-
>>> <i>MeasObjectUTRA</i>	-	-
>>>> <i>cellsToAddModList</i>	OPTIONAL, -Need ON	-
>>>> <i>cellForWhichToReportCGI</i>	OPTIONAL, -Need ON	-
>>>> <i>csg-allowedReportingCells-v930</i>	OPTIONAL, -Need ON	-
>>> <i>MeasObjectGERAN</i>	-	-
>>>> <i>cellForWhichToReportCGI</i>	OPTIONAL, -Need ON	-
>>> <i>MeasObjectCDMA2000</i>	-	-
>>>> <i>searchWindowSize</i>	OPTIONAL, -Need ON	-
>>>> <i>cellsToAddModList</i>	OPTIONAL, -Need ON	-
>>>> <i>cellForWhichToReportCGI</i>	OPTIONAL, -Need ON	-
> <i>reportConfigToAddModList</i>	OPTIONAL, -Need ON	-
> <i>measIdToAddModList</i>	OPTIONAL, -Need ON	-
> <i>quantityConfig</i>	OPTIONAL, -Need ON	-
>> <i>quantityConfigEUTRA</i>	OPTIONAL, -Need ON	-
>> <i>quantityConfigUTRA</i>	OPTIONAL, -Need ON	-
>> <i>quantityConfigGERAN</i>	OPTIONAL, -Need ON	-
>> <i>quantityConfigCDMA2000</i>	OPTIONAL, -Need ON	-
> <i>s-Measure</i>	OPTIONAL, -Need ON	-
> <i>preRegistrationInfoHRPD</i>	OPTIONAL, -Need OP	
>> <i>preRegistrationZoneld</i>	OPTIONAL, -Cond PreRegAllowed	- The conditional presence applies
>> <i>secondaryPreRegistrationZoneldList</i>	OPTIONAL, -Need OR	-
> <i>speedStatePars</i>	OPTIONAL, -Need ON	-

Within the *sourceOtherConfig* the source eNB shall include fields that are optional for eNB to UE communication, if the functionality is configured unless explicitly specified otherwise in the following:

- in accordance with a condition that is explicitly stated to be applicable; or
- a default value is defined for the concerned field; and the configured value is the same as the default value that is defined; or
- the need of the field is OP and the current UE configuration corresponds with the behaviour defined for absence of the field;

Name	Presence in clause 6	Comment
<i>OtherConfig</i>		
> <i>reportProximityConfig-r9</i>	OPTIONAL, -Need ON	-

## 11 UE capability related constraints and performance requirements

### 11.1 UE capability related constraints

The following table lists constraints regarding the UE capabilities that E-UTRAN is assumed to take into account.

Parameter	Description	Value
#DRBs	The number of DRBs that a UE of categories 1- 5 shall support	8
#RLC-AM	The number of RLC AM entities that a UE of categories 1- 5 shall support	10
#minCellperMeasObject EUTRA	The minimum number of neighbour cells (excluding black list cells) that a UE shall be able to store within a MeasObjectEUTRA	32
#minBlackCellRangesperMeasObjectEUTRA	The minimum number of blacklist cell PCI ranges that a UE shall be able to store within a MeasObjectEUTRA	32
#minCellperMeasObject UTRA	The minimum number of neighbour cells that a UE shall be able to store within a MeasObjectUTRA	32
#minCellperMeasObject GERAN	The minimum number of neighbour cells that a UE shall be able to store within a measObjectGERAN	32
#minCellperMeasObject CDMA2000	The minimum number of neighbour cells that a UE shall be able to store within a measObjectCDMA2000	32
#minCellTotal	The minimum number of neighbour cells (excluding black list cells) that UE shall be able to store in total in all measurement objects configured	256

## 11.2 Processing delay requirements for RRC procedures

The UE performance requirements for RRC procedures are specified in the following table, by means of a value N:

N = the number of 1ms subframes from the end of reception of the E-UTRAN -> UE message on the UE physical layer up to when the UE shall be ready for the reception of uplink grant for the UE -> E-UTRAN response message with no access delay other than the TTI-alignment (e.g. excluding delays caused by scheduling, the random access procedure or physical layer synchronisation).

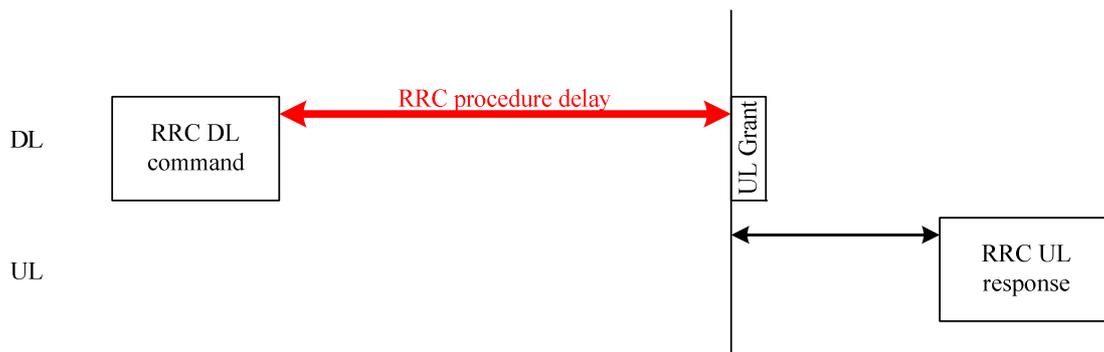


Figure 11.2-1: Illustration of RRC procedure delay

Procedure title:	E-UTRAN -> UE	UE -> E-UTRAN	N	Notes
<b>RRC Connection Control Procedures</b>				
RRC connection establishment	<i>RRCCConnectionSetup</i>	<i>RRCCConnectionSetupComplete</i>	15	
RRC connection release	<i>RRCCConnectionRelease</i>		NA	
RRC connection re-configuration (radio resource configuration)	<i>RRCCConnectionReconfiguration</i>	<i>RRCCConnectionReconfigurationComplete</i>	15	
RRC connection re-configuration (measurement configuration)	<i>RRCCConnectionReconfiguration</i>	<i>RRCCConnectionReconfigurationComplete</i>	15	
RRC connection re-configuration (intra-LTE mobility)	<i>RRCCConnectionReconfiguration</i>	<i>RRCCConnectionReconfigurationComplete</i>	15	
RRC connection re-establishment	<i>RRCCConnectionReestablishment</i>	<i>RRCCConnectionReestablishmentComplete</i>	15	
Initial security activation	<i>SecurityModeCommand</i>	<i>SecurityModeCommandComplete/SecurityModeCommandFailure</i>	10	
Initial security activation + RRC connection re-configuration (RB establishment)	<i>SecurityModeCommand, RRCCConnectionReconfiguration</i>	<i>RRCCConnectionReconfigurationComplete</i>	20	The two DL messages are transmitted in the same TTI
Paging	<i>Paging</i>		NA	
<b>Inter RAT mobility</b>				
Handover to E-UTRA	<i>RRCCConnectionReconfiguration (sent by other RAT)</i>	<i>RRCCConnectionReconfigurationComplete</i>	NA	The performance of this procedure is specified in [50] in case of handover from GSM and [29], [30] in case of handover from UTRA.
Handover from E-UTRA	<i>MobilityFromEUTRACommand</i>		NA	The performance of this procedure is specified in [16]
Handover from E-UTRA to CDMA2000	<i>HandoverFromEUTRAPreparationRequest (CDMA2000)</i>		NA	Used to trigger the handover preparation procedure with a CDMA2000 RAT. The performance of this procedure is specified in [16]
<b>Measurement procedures</b>				
Measurement Reporting		<i>MeasurementReport</i>	NA	
<b>Other procedures</b>				
UE capability transfer	<i>UECapabilityEnquiry</i>	<i>UECapabilityInformation</i>	10	
Counter check	<i>CounterCheck</i>	<i>CounterCheckResponse</i>	10	
Proximity indication		<i>ProximityIndication</i>	NA	
UE information	<i>UEInformationRequest</i>	<i>UEInformationResponse</i>	15	

## 11.3 Void

---

## Annex A (informative): Guidelines, mainly on use of ASN.1

**Editor's note** No agreements have been reached concerning the extension of RRC PDUs so far. Any statements in this section about the protocol extension mechanism should be considered as FFS.

### A.1 Introduction

The following clauses contain guidelines for the specification of RRC protocol data units (PDUs) with ASN.1.

### A.2 Procedural specification

#### A.2.1 General principles

The procedural specification provides an overall high level description regarding the UE behaviour in a particular scenario.

It should be noted that most of the UE behaviour associated with the reception of a particular field is covered by the applicable parts of the PDU specification. The procedural specification may also include specific details of the UE behaviour upon reception of a field, but typically this should be done only for cases that are not easy to capture in the PDU section e.g. general actions, more complicated actions depending on the value of multiple fields.

Likewise, the procedural specification need not specify the UE requirements regarding the setting of fields within the messages that are sent to E-UTRAN i.e. this may also be covered by the PDU specification.

#### A.2.2 More detailed aspects

The following more detailed conventions should be used:

- Bullets:
  - Capitals should be used in the same manner as in other parts of the procedural text i.e. in most cases no capital applies since the bullets are part of the sentence starting with 'The UE shall:'
  - All bullets, including the last one in a sub-clause, should end with a semi-colon i.e. an ';'.
- Conditions
  - Whenever multiple conditions apply, a semi-colon should be used at the end of each conditions with the exception of the last one, i.e. as in 'if cond1; or cond2:'

### A.3 PDU specification

#### A.3.1 General principles

##### A.3.1.1 ASN.1 sections

The RRC PDU contents are formally and completely described using abstract syntax notation (ASN.1), see X.680 [13], X.681 (02/2002) [14].

The complete ASN.1 code is divided into a number of ASN.1 sections in the specifications. In order to facilitate the extraction of the complete ASN.1 code from the specification, each ASN.1 section begins with a text paragraph consisting entirely of an *ASN.1 start tag*, which consists of a double hyphen followed by a single space and the text string "ASN1START" (in all upper case letters). Each ASN.1 section ends with a text paragraph consisting entirely of

an *ASN.1 stop tag*, which consists of a double hyphen followed by a single space and the text "ASN1STOP" (in all upper case letters):

```
-- ASN1START
-- ASN1STOP
```

The text paragraphs containing the ASN.1 start and stop tags should not contain any ASN.1 code significant for the complete description of the RRC PDU contents. The complete ASN.1 code may be extracted by copying all the text paragraphs between an ASN.1 start tag and the following ASN.1 stop tag in the order they appear, throughout the specification.

NOTE: A typical procedure for extraction of the complete ASN.1 code consists of a first step where the entire RRC PDU contents description (ultimately the entire specification) is saved into a plain text (ASCII) file format, followed by a second step where the actual extraction takes place, based on the occurrence of the ASN.1 start and stop tags.

### A.3.1.2 ASN.1 identifier naming conventions

The naming of identifiers (i.e., the ASN.1 field and type identifiers) should be based on the following guidelines:

- Message (PDU) identifiers should be ordinary mixed case without hyphenation. These identifiers, *e.g.*, the *RRCConnectionModificationCommand*, should be used for reference in the procedure text. Abbreviated forms of these identifiers should not be used.
- Type identifiers other than PDU identifiers should be ordinary mixed case, with hyphenation used to set off acronyms only where an adjacent letter is a capital, *e.g.*, *EstablishmentCause*, *SelectedPLMN* (not *Selected-PLMN*, since the "d" in "Selected" is lowercase), *InitialUE-Identity* and *MeasSFN-SFN-TimeDifference*.
- Field identifiers shall start with a lowercase letter and use mixed case thereafter, *e.g.*, *establishmentCause*. If a field identifier begins with an acronym (which would normally be in upper case), the entire acronym is lowercase (*plmn-Identity*, not *PLMN-Identity*). The acronym is set off with a hyphen (*ue-Identity*, not *ueIdentity*), in order to facilitate a consistent search pattern with corresponding type identifiers.
- Identifiers that are likely to be keywords of some language, especially widely used languages, such as C++ or Java, should be avoided to the extent possible.
- Identifiers, other than PDU identifiers, longer than 25 characters should be avoided where possible. It is recommended to use abbreviations, which should be done in a consistent manner i.e. use 'Meas' instead of 'Measurement' for all occurrences. Examples of typical abbreviations are given in table A.3.1.2.1-1 below.
- *For future extension:* When an extension is introduced a suffix is added to the identifier of the concerned ASN.1 field and/ or type. A suffix of the form "-rX" is used, with X indicating the release, for ASN.1 fields or types introduced in a later release (i.e. a release later than the original/ first release of the protocol) as well as for ASN.1 fields or types for which a revision is introduced in a later release replacing a previous version, *e.g.*, *Foo-r9* for the Rel-9 version of the ASN.1 type *Foo*. A suffix of the form "-vXYZ" is used for ASN.1 fields or types that only are an extension of a corresponding earlier field or type (see sub-clause A.4), *e.g.*, *AnElement-v10b0* for the extension of the ASN.1 type *AnElement* introduced in version 10.11.0 of the specification. A number 0...9, 10, 11, *etc.* is used to represent the first part of the version number, indicating the release of the protocol. Lower case letters *a, b, c, etc.* are used to represent the second (and third) part of the version number if they are greater than 9. In the procedural specification, in field descriptions as well as in headings suffices are not used, unless there is a clear need to distinguish the extension from the original field.
- More generally, in case there is a need to distinguish different variants of an ASN.1 field or IE, a suffix should be added at the end of the identifiers *e.g.* *MeasObjectUTRAN*, *ConfigCommon*. When there is no particular need to distinguish the fields (*e.g.* because the field is included in different IEs), a common field identifier name may be used. This may be attractive *e.g.* in case the procedural specification is the same for the different variants.

**Table A.3.1.2-1: Examples of typical abbreviations used in ASN.1 identifiers**

Abbreviation	Abbreviated word
Conf	Confirmation
Config	Configuration
DL	Downlink
Freq	Frequency
Id	Identity
Ind	Indication
Info	Information
Meas	Measurement
Neigh	Neighbour(ing)
Param(s)	Parameter(s)
Persist	Persistent
Phys	Physical
Reestab	Reestablishment
Req	Request
Sched	Scheduling
Thresh	Threshold
Transm	Transmission
UL	Uplink

NOTE: The table A.3.1.2.1-1 is not exhaustive. Additional abbreviations may be used in ASN.1 identifiers when needed.

### A.3.1.3 Text references using ASN.1 identifiers

A text reference into the RRC PDU contents description from other parts of the specification is made using the ASN.1 field or type identifier of the referenced element. The ASN.1 field and type identifiers used in text references should be in the *italic font style*. The "do not check spelling and grammar" attribute in Word should be set. Quotation marks (i.e., " ") should not be used around the ASN.1 field or type identifier.

A reference to an RRC PDU type should be made using the corresponding ASN.1 type identifier followed by the word "message", e.g., a reference to the *RRCConnectionRelease* message.

A reference to a specific part of an RRC PDU, or to a specific part of any other ASN.1 type, should be made using the corresponding ASN.1 field identifier followed by the word "field", e.g., a reference to the *prioritisedBitRate* field in the example below.

```
-- /example/ ASN1START
LogicalChannelConfig ::=
    ul-SpecificParameters
        priority
        prioritisedBitRate
        bucketSizeDuration
        logicalChannelGroup
    } OPTIONAL
SEQUENCE {
    SEQUENCE {
        Priority,
        PrioritisedBitRate,
        BucketSizeDuration,
        INTEGER (0..3)
    }
}
-- ASN1STOP
```

NOTE: All the ASN.1 start tags in the ASN.1 sections, used as examples in this annex to the specification, are deliberately distorted, in order not to include them when the ASN.1 description of the RRC PDU contents is extracted from the specification.

A reference to a specific type of information element should be made using the corresponding ASN.1 type identifier preceded by the acronym "IE", e.g., a reference to the IE *LogicalChannelConfig* in the example above.

References to a specific type of information element should only be used when those are generic, i.e., without regard to the particular context wherein the specific type of information element is used. If the reference is related to a particular context, e.g., an RRC PDU type (message) wherein the information element is used, the corresponding field identifier in that context should be used in the text reference.

A reference to a specific value of an ASN.1 field should be made using the corresponding ASN.1 value while using quotation marks (i.e., " ") around the ASN.1 value, e.g., 'if the *status* field is set to value "true"'.

### A.3.2 High-level message structure

Within each logical channel type, the associated RRC PDU (message) types are alternatives within a CHOICE, as shown in the example below.

```
-- /example/ ASN1START
DL-DCCH-Message ::= SEQUENCE {
    message          DL-DCCH-MessageType
}
DL-DCCH-MessageType ::= CHOICE {
    c1              CHOICE {
        dlInformationTransfer          DLInformationTransfer,
        handoverFromEUTRAPreparationRequest  HandoverFromEUTRAPreparationRequest,
        mobilityFromEUTRACommand          MobilityFromEUTRACommand,
        rrcConnectionReconfiguration      RRCConnectionReconfiguration,
        rrcConnectionRelease              RRCConnectionRelease,
        securityModeCommand                SecurityModeCommand,
        ueCapabilityEnquiry                UECapabilityEnquiry,
        spare1 NULL
    },
    messageClassExtension SEQUENCE {}
}
-- ASN1STOP
```

A nested two-level CHOICE structure is used, where the alternative PDU types are alternatives within the inner level *c1* CHOICE.

Spare alternatives (i.e., *spare1* in this case) may be included within the *c1* CHOICE to facilitate future extension. The number of such spare alternatives should not extend the total number of alternatives beyond an integer-power-of-two number of alternatives (i.e., eight in this case).

Further extension of the number of alternative PDU types is facilitated using the *messageClassExtension* alternative in the outer level CHOICE.

### A.3.3 Message definition

Each PDU (message) type is specified in an ASN.1 section similar to the one shown in the example below.

```
-- /example/ ASN1START
RRCConnectionReconfiguration ::= SEQUENCE {
    rrc-TransactionIdentifier      RRC-TransactionIdentifier,
    criticalExtensions             CHOICE {
        c1                         CHOICE {
            rrcConnectionReconfiguration-r8  RRCConnectionReconfiguration-r8-IEs,
            spare3 NULL, spare2 NULL, spare1 NULL
        },
        criticalExtensionsFuture SEQUENCE {}
    }
}
RRCConnectionReconfiguration-r8-IEs ::= SEQUENCE {
    -- Enter the IEs here.
    ...
}
-- ASN1STOP
```

Hooks for *critical* and *non-critical* extension should normally be included in the PDU type specification. How these hooks are used is further described in sub-clause A.4.

Critical extensions are characterised by a redefinition of the PDU contents and need to be governed by a mechanism for protocol version agreement between the encoder and the decoder of the PDU, such that the encoder is prevented from sending a critically extended version of the PDU type, which is not comprehended by the decoder.

Critical extension of a PDU type is facilitated by a two-level CHOICE structure, where the alternative PDU contents are alternatives within the inner level *c1* CHOICE. Spare alternatives (i.e., *spare3* down to *spare1* in this case) may be included within the *c1* CHOICE. The number of spare alternatives to be included in the original PDU specification should be decided case by case, based on the expected rate of critical extension in the future releases of the protocol.

Further critical extension, when the spare alternatives from the original specifications are used up, is facilitated using the *criticalExtensionsFuture* in the outer level CHOICE.

In PDU types where critical extension is not expected in the future releases of the protocol, the inner level *c1* CHOICE and the spare alternatives may be excluded, as shown in the example below.

```
-- /example/ ASN1START
RRCConnectionReconfigurationComplete ::= SEQUENCE {
    rrc-TransactionIdentifier      RRC-TransactionIdentifier,
    criticalExtensions              CHOICE {
        rrcConnectionReconfigurationComplete-r8
        criticalExtensionsFuture   RRCConnectionReconfigurationComplete-r8-IEs,
    }
}
RRCConnectionReconfigurationComplete-r8-IEs ::= SEQUENCE {
    -- Enter the IEs here. --
    ...
}
-- ASN1STOP
```

Non-critical extensions are characterised by the addition of new information to the original specification of the PDU type. If not comprehended, a non-critical extension may be skipped by the decoder, whilst the decoder is still able to complete the decoding of the comprehended parts of the PDU contents.

Non-critical extensions at locations other than the end of the message or other than at the end of a field contained in a BIT or OCTET STRING are facilitated by use of the ASN.1 extension marker "...". The original specification of a PDU type should normally include the extension marker at the end of the sequence of information elements contained.

Non-critical extensions at the end of the message or at the end of a field that is contained in a BIT or OCTET STRING are facilitated by use of an empty sequence that is marked OPTIONAL e.g. as shown in the following example:

```
-- /example/ ASN1START
RRCMessage-r8-IEs ::=
    SEQUENCE {
        field1          InformationElement1,
        field2          InformationElement2,
        nonCriticalExtension SEQUENCE {} OPTIONAL -- Need OP
    }
-- ASN1STOP
```

The ASN.1 section specifying the contents of a PDU type may be followed by a *field description* table where a further description of, e.g., the semantic properties of the fields may be included. The general format of this table is shown in the example below. The field description table is absent in case there are no fields for which further description needs to be provided e.g. because the PDU does not include any fields, or because an IE is defined for each field while there is nothing specific regarding the use of this IE that needs to be specified.

<b>%PDU-TypeIdentifier% field descriptions</b>
<b>%field identifier%</b> Field description.
<b>%field identifier%</b> Field description.

The field description table has one column. The header row shall contain the ASN.1 type identifier of the PDU type.

The following rows are used to provide field descriptions. Each row shall include a first paragraph with a *field identifier* (in **bold and italic** font style) referring to the part of the PDU to which it applies. The following paragraphs at the same

row may include (in regular font style), e.g., semantic description, references to other specifications and/ or specification of value units, which are relevant for the particular part of the PDU.

The parts of the PDU contents that do not require a field description shall be omitted from the field description table.

### A.3.4 Information elements

Each IE (information element) type is specified in an ASN.1 section similar to the one shown in the example below.

```
-- /example/ ASN1START

PRACH-ConfigSIB ::=
    rootSequenceIndex
    prach-ConfigInfo
}
SEQUENCE {
    INTEGER (0..1023),
    PRACH-ConfigInfo
}

PRACH-Config ::=
    rootSequenceIndex
    prach-ConfigInfo
}
SEQUENCE {
    INTEGER (0..1023),
    PRACH-ConfigInfo
} OPTIONAL -- Need ON

PRACH-ConfigInfo ::=
    prach-ConfigIndex
    highSpeedFlag
    zeroCorrelationZoneConfig
}
SEQUENCE {
    ENUMERATED { ffs },
    ENUMERATED { ffs },
    ENUMERATED { ffs }
}

-- ASN1STOP
```

IEs should be introduced whenever there are multiple fields for which the same set of values apply. IEs may also be defined for other reasons e.g. to break down a ASN.1 definition in to smaller pieces.

A group of closely related IE type definitions, like the IEs *PRACH-ConfigSIB* and *PRACH-Config* in this example, are preferably placed together in a common ASN.1 section. The IE type identifiers should in this case have a common base, defined as the *generic type identifier*. It may be complemented by a suffix to distinguish the different variants. The "*PRACH-Config*" is the generic type identifier in this example, and the "*SIB*" suffix is added to distinguish the variant. The sub-clause heading and generic references to a group of closely related IEs defined in this way should use the generic type identifier.

The same principle should apply if a new version, or an extension version, of an existing IE is created for *critical* or *non-critical* extension of the protocol (see sub-clause A.4). The new version, or the extension version, of the IE is included in the same ASN.1 section defining the original. A suffix is added to the type identifier, using the naming conventions defined in sub-clause A.3.1.2, indicating the release or version of the where the new version, or extension version, was introduced.

Local IE type definitions, like the IE *PRACH-ConfigInfo* in the example above, may be included in the ASN.1 section and be referenced in the other IE types defined in the same ASN.1 section. The use of locally defined IE types should be encouraged, as a tool to break up large and complex IE type definitions. It can improve the readability of the code. There may also be a benefit for the software implementation of the protocol end-points, as these IE types are typically provided by the ASN.1 compiler as independent data elements, to be used in the software implementation.

An IE type defined in a local context, like the IE *PRACH-ConfigInfo*, should not be referenced directly from other ASN.1 sections in the RRC specification. An IE type which is referenced in more than one ASN.1 section should be defined in a separate sub-clause, with a separate heading and a separate ASN.1 section (possibly as one in a set of closely related IE types, like the IEs *PRACH-ConfigSIB* and *PRACH-Config* in the example above). Such IE types are also referred to as 'global IEs'.

**NOTE:** Referring to an IE type, that is defined as a local IE type in the context of another ASN.1 section, does not generate an ASN.1 compilation error. Nevertheless, using a locally defined IE type in that way makes the IE type definition difficult to find, as it would not be visible at an outline level of the specification. It should be avoided.

The ASN.1 section specifying the contents of one or more IE types, like in the example above, may be followed by a *field description* table, where a further description of, e.g., the semantic properties of the fields of the information elements may be included. This table may be absent, similar as indicated in sub-clause A.3.3 for the specification of the

PDU type. The general format of the *field description* table is the same as shown in sub-clause A.3.3 for the specification of the PDU type.

### A.3.5 Fields with optional presence

A field with optional presence may be declared with the keyword `DEFAULT`. It identifies a default value to be assumed, if the sender does not include a value for that field in the encoding:

```
-- /example/ ASN1START
PreambleInfo ::=
    numberOfRA-Preambles      SEQUENCE {
                                INTEGER (1..64)          DEFAULT 1,
                                ...
    }
-- ASN1STOP
```

Alternatively, a field with optional presence may be declared with the keyword `OPTIONAL`. It identifies a field for which a value can be omitted. The omission carries semantics, which is different from any normal value of the field:

```
-- /example/ ASN1START
PRACH-Config ::=
    rootSequenceIndex         SEQUENCE {
                                INTEGER (0..1023),
                                prach-ConfigInfo         OPTIONAL -- Need ON
    }
-- ASN1STOP
```

The semantics of an optionally present field, in the case it is omitted, should be indicated at the end of the paragraph including the keyword `OPTIONAL`, using a short comment text with a need statement. The need statement includes the keyword "Need", followed by one of the predefined semantics tags (OP, ON or OR) defined in sub-clause 6.1. If the semantics tag OP is used, the semantics of the absent field are further specified either in the field description table following the ASN.1 section, or in procedure text.

### A.3.6 Fields with conditional presence

A field with conditional presence is declared with the keyword `OPTIONAL`. In addition, a short comment text shall be included at the end of the paragraph including the keyword `OPTIONAL`. The comment text includes the keyword "Cond", followed by a condition tag associated with the field ("UL" in this example):

```
-- /example/ ASN1START
LogicalChannelConfig ::=
    ul-SpecificParameters     SEQUENCE {
                                SEQUENCE {
                                    priority             INTEGER (0),
                                    ...
                                } OPTIONAL -- Cond UL
    }
-- ASN1STOP
```

When conditionally present fields are included in an ASN.1 section, the field description table after the ASN.1 section shall be followed by a *conditional presence* table. The conditional presence table specifies the conditions for including the fields with conditional presence in the particular ASN.1 section.

Conditional presence	Explanation
UL	<b>Specification of the conditions for including the field associated with the condition tag = "UL". Semantics in case of optional presence under certain conditions may also be specified.</b>

The conditional presence table has two columns. The first column (heading: "Conditional presence") contains the condition tag (in *italic* font style), which links the fields with a condition tag in the ASN.1 section to an entry in the table. The second column (heading: "Explanation") contains a text specification of the conditions and requirements for

the presence of the field. The second column may also include semantics, in case of an optional presence of the field, under certain conditions i.e. using the same predefined tags as defined for optional fields in A.3.5.

Conditional presence should primarily be used when presence of a field depends on the presence and/ or value of other fields within the same message. If the presence of a field depends on whether another feature/ function function has been configured, while this function can be configured independently e.g. by another message and/ or at another point in time, the relation is best reflected by means of a statement in the field description table.

If the ASN.1 section does not include any fields with conditional presence, the conditional presence table shall not be included.

Whenever a field is only applicable in specific cases e.g. TDD, use of conditional presence should be considered.

### A.3.7 Guidelines on use of lists with elements of SEQUENCE type

Where an information element has the form of a list (the SEQUENCE OF construct in ASN.1) with the type of the list elements being a SEQUENCE data type, an information element shall be defined for the list elements even if it would not otherwise be needed.

For example, a list of PLMN identities with reservation flags is defined as in the following example:

```
-- /example/ ASN1START
PLMN-IdentityInfoList ::=                SEQUENCE (SIZE (1..6)) OF PLMN-IdentityInfo
PLMN-IdentityInfo ::=                   SEQUENCE {
    plmn-Identity                        PLMN-Identity,
    cellReservedForOperatorUse           ENUMERATED {reserved, notReserved}
}
-- ASN1STOP
```

rather than as in the following (bad) example, which may cause generated code to contain types with unpredictable names:

```
-- /bad example/ ASN1START
PLMN-IdentityList ::=                   SEQUENCE (SIZE (1..6)) OF SEQUENCE {
    plmn-Identity                        PLMN-Identity,
    cellReservedForOperatorUse           ENUMERATED {reserved, notReserved}
}
-- ASN1STOP
```

## A.4 Extension of the PDU specifications

### A.4.1 General principles to ensure compatibility

It is essential that extension of the protocol does not affect interoperability i.e. it is essential that implementations based on different versions of the RRC protocol are able to interoperate. In particular, this requirement applies for the following kind of protocol extensions:

- Introduction of new PDU types (i.e. these should not cause unexpected behaviour or damage).
- Introduction of additional fields in an extensible PDUs (i.e. it should be possible to ignore uncomprehended extensions without affecting the handling of the other parts of the message).
- Introduction of additional values of an extensible field of PDUs. If used, the behaviour upon reception of an uncomprehended value should be defined.

It should be noted that the PDU extension mechanism may depend on the logical channel used to transfer the message e.g. for some PDUs an implementation may be aware of the protocol version of the peer in which case selective ignoring of extensions may not be required.

The non-critical extension mechanism is the primary mechanism for introducing protocol extensions i.e. the critical extension mechanism is used merely when there is a need to introduce a 'clean' message version. Such a need appears when the last message version includes a large number of non-critical extensions, which results in issues like readability, overhead associated with the extension markers. The critical extension mechanism may also be considered when it is complicated to accommodate the extensions by means of non-critical extension mechanisms.

## A.4.2 Critical extension of messages

The mechanisms to critically extend a message are defined in A.3.3. There are both "outer branch" and "inner branch" mechanisms available. The "outer branch" consists of a CHOICE having the name *criticalExtensions*, with two values, *c1* and *criticalExtensionsFuture*. The *criticalExtensionsFuture* branch consists of an empty SEQUENCE, while the *c1* branch contains the "inner branch" mechanism.

The "inner branch" structure is a CHOICE with values of the form "*MessageName-rX-IEs*" (e.g., "*RRCConnectionReconfiguration-r8-IEs*") or "*spareX*", with the spare values having type NULL. The "-rX-IEs" structures contain the *complete* structure of the message IEs for the appropriate release; i.e., the critical extension branch for the Rel-10 version of a message includes all Rel-8 and Rel-9 fields (that are not obviated in the later version), rather than containing only the additional Rel-10 fields.

The following guidelines may be used when deciding which mechanism to introduce for a particular message, i.e. only an 'outer branch', or an 'outer branch' in combination with an 'inner branch' including a certain number of spares:

- For certain messages, e.g. initial uplink messages, messages transmitted on a broadcast channel, critical extension may not be applicable.
- An outer branch may be sufficient for messages not including any fields.
- The number of spares within inner branch should reflect the likelihood that the message will be critically extended in future releases (since each release with a critical extension for the message consumes one of the spare values). The estimation of the critical extension likelihood may be based on the number, size and changeability of the fields included in the message.
- In messages where an inner branch extension mechanism is available, all spare values of the inner branch should be used before any critical extensions are added using the outer branch.

The following example illustrates the use of the critical extension mechanism by showing the ASN.1 of the original and of a later release

```
-- /example/ ASN1START                                -- Original release
RRCMessage ::=
  rrc-TransactionIdentifier      SEQUENCE {
  criticalExtensions             CHOICE {
    c1                           CHOICE{
      rrcMessage-r8              RRCMessage-r8-IEs,
      spare3 NULL, spare2 NULL, spare1 NULL
    },
    criticalExtensionsFuture     SEQUENCE {}
  }
}
-- ASN1STOP
```

```
-- /example/ ASN1START                                -- Later release
RRCMessage ::=
  rrc-TransactionIdentifier      SEQUENCE {
  criticalExtensions             CHOICE {
    c1                           CHOICE{
      rrcMessage-r8              RRCMessage-r8-IEs,
      rrcMessage-r10             RRCMessage-r10-IEs,
      rrcMessage-r11             RRCMessage-r11-IEs,
      rrcMessage-r14             RRCMessage-r14-IEs
    },
    later                         CHOICE {
      c2                          CHOICE{
        rrcMessage-r16           RRCMessage-r16-IEs,
        spare7 NULL, spare6 NULL, spare5 NULL, spare4 NULL,

```

```

        spare3 NULL, spare2 NULL, spare1 NULL
    },
    criticalExtensionsFuture          SEQUENCE {}
}
}
}
-- ASN1STOP

```

## A.4.3 Non-critical extension of messages

### A.4.3.1 General principles

The mechanisms to extend a message in a non-critical manner are defined in A.3.3. W.r.t. the use of extension markers, the following additional guidelines apply:

- When further non-critical extensions are added to a message that has been critically extended, the inclusion of these non-critical extensions in earlier critical branches of the message should be avoided when possible.
- The extension marker ("...") is the primary non-critical extension mechanism that is used unless a length determinant is not required. Examples of cases where a length determinant is not required:
  - at the end of a message,
  - at the end of a structure contained in a BIT STRING or OCTET STRING
- When an extension marker is available, non-critical extensions are preferably placed at the location (e.g. the IE) where the concerned parameter belongs from a logical/ functional perspective (referred to as the '*default extension location*')
- It is desirable to aggregate extensions of the same release or version of the specification into a group, which should be placed at the lowest possible level.
- In specific cases it may be preferable to place extensions elsewhere (referred to as the '*actual extension location*') e.g. when it is possible to aggregate several extensions in a group. In such a case, the group should be placed at the lowest suitable level in the message. <TBD: ref to separate example>
- In case placement at the default extension location affects earlier critical branches of the message, locating the extension at a following higher level in the message should be considered.
- In case an extension is not placed at the default extension location, an IE should be defined. The IE's ASN.1 definition should be placed in the same ASN.1 section as the default extension location. In case there are intermediate levels in-between the actual and the default extension location, an IE may be defined for each level. Intermediate levels are primarily introduced for readability and overview. Hence intermediate levels need not always be introduced e.g. they may not be needed when the default and the actual extension location are within the same ASN.1 section. <TBD: ref to separate example>

### A.4.3.2 Further guidelines

Further to the general principles defined in the previous section, the following additional guidelines apply regarding the use of extension markers:

- Extension markers within SEQUENCE
  - Extension markers are primarily, but not exclusively, introduced at the higher nesting levels
  - Extension markers are introduced for a SEQUENCE comprising several fields as well as for information elements whose extension would result in complex structures without it (e.g. re-introducing another list)
  - Extension markers are introduced to make it possible to maintain important information structures e.g. parameters relevant for one particular RAT
  - Extension markers are also used for size critical messages (i.e. messages on BCCH, PCCH and CCCH), although introduced somewhat more carefully



```

        field2d-v12b0                INTEGER (0..63)
    },
    field3-r9                        InformationElement3-r10    OPTIONAL, -- Need OR
    field4-r9                        InformationElement4        OPTIONAL, -- Need OR
    field5-r10                       BOOLEAN,
    field6-r10                       InformationElement6-r10    OPTIONAL, -- Need OR
    ...,
    [[ field3-v1170                   InformationElement3-v1170  OPTIONAL  -- Need OR
    ]]
}
-- ASN1STOP

```

Some remarks regarding the extensions of *InformationElement1* as shown in the above example:

- The *InformationElement1* is initially extended with a number of non-critical extensions. In release 10 however, a critical extension is introduced for the message using this IE. Consequently, a new version of the IE *InformationElement1* (i.e. *InformationElement1-r10*) is defined in which the earlier non-critical extensions are incorporated by means of a revision of the original field.
- The *value4-v880* is replacing a spare value defined in the original protocol version for *field1*. Likewise *value6-v1170* replaces *spare3* that was originally defined in the r10 version of *field1*.
- Within the critically extended release 10 version of *InformationElement1*, the names of the original fields/ IEs are not changed, unless there is a real need to distinguish them from other fields/ IEs. E.g. the *field1* and *InformationElement4* were defined in the original protocol version (release 8) and hence not tagged. Moreover, the *field3-r9* is introduced in release 9 and not re-tagged; although, the *InformationElement3* is also critically extended and therefore tagged *InformationElement3-r10* in the release 10 version of *InformationElement1*.

#### A.4.3.4 Typical examples of non critical extension at the end of a message

The following example illustrates the use of non-critical extensions at the end of the message or at the end of a field that is contained in a BIT or OCTET STRING i.e. when an empty sequence is used.

```

-- /example/ ASN1START
RRCMessage-r8-IEs ::=          SEQUENCE {
    field1                    InformationElement1,
    field2                    InformationElement2,
    field3                    InformationElement3        OPTIONAL, -- Need ON
    nonCriticalExtension      RRCMessage-v860-IEs        OPTIONAL
}
RRCMessage-v860-IEs ::=       SEQUENCE {
    field4-v860               InformationElement4        OPTIONAL, -- Need OP
    field5-v860               BOOLEAN                    OPTIONAL, -- Cond C54
    nonCriticalExtension      RRCMessage-v940-IEs
}
RRCMessage-v940-IEs ::=       SEQUENCE {
    field6-v940               InformationElement6-r9        OPTIONAL, -- Need OR
    nonCriticalExtensions     SEQUENCE {}                  OPTIONAL  -- Need OP
}
-- ASN1STOP

```

Some remarks regarding the extensions shown in the above example:

- The *InformationElement4* is introduced in the original version of the protocol (release 8) and hence no suffix is used.

#### A.4.3.5 Examples of non-critical extensions not placed at the default extension location

The following example illustrates the use of non-critical extensions in case an extension is not placed at the default extension location.

## – ParentIE-WithEM

The IE *ParentIE-WithEM* is an example of a high level IE including the extension marker (EM). The root encoding of this IE includes two lower level IEs *ChildIE1-WithoutEM* and *ChildIE2-WithoutEM* which not include the extension marker. Consequently, non-critical extensions of the Child-IEs have to be included at the level of the Parent-IE.

The example illustrates how the two extension IEs *ChildIE1-WithoutEM-vNx0* and *ChildIE2-WithoutEM-vNx0* (both in release N) are used to connect non-critical extensions with a default extension location in the lower level IEs to the actual extension location in this IE.

### ParentIE-WithEM information element

```
-- /example/ ASN1START
ParentIE-WithEM ::= SEQUENCE {
  -- Root encoding, including:
  childIE1-WithoutEM      ChildIE1-WithoutEM      OPTIONAL,      -- Need ON
  childIE2-WithoutEM      ChildIE2-WithoutEM      OPTIONAL,      -- Need ON
  ...,
  [ [ childIE1-WithoutEM-vNx0      ChildIE1-WithoutEM-vNx0      OPTIONAL,      -- Need ON
      childIE2-WithoutEM-vNx0      ChildIE2-WithoutEM-vNx0      OPTIONAL,      -- Need ON
    ] ]
}
-- ASN1STOP
```

Some remarks regarding the extensions shown in the above example:

- The the fields *childIEx-WithoutEM-vNx0* may not really need to be optional (depends on what is defined at the next lower level).
- In general, especially when there are several nesting levels, fields should be marked as optional only when there is a clear reason.

## – ChildIE1-WithoutEM

The IE *ChildIE1-WithoutEM* is an example of a lower level IE, used to control certain radio configurations including a configurable feature which can be setup or released using the local IE *ChIE1-ConfigurableFeature*. The example illustrates how the new field *chIE1-NewField* is added in release N to the configuration of the configurable feature. The example is based on the following assumptions:

- when initially configuring as well as when modifying the new field, the original fields of the configurable feature have to be provided also i.e. as if the extended ones were present within the setup branch of this feature.
- when the configurable feature is released, the new field should be released also.
- when omitting the original fields of the configurable feature the UE continues using the existing values (which is used to optimise the signalling for features that typically continue unchanged upon handover).
- when omitting the new field of the configurable feature the UE releases the existing values and discontinues the associated functionality (which may be used to support release of unsupported functionality upon handover to an eNB supporting an earlier protocol version).

The above assumptions, which affect the use of conditions and need codes, may not always apply. Hence, the example should not be re-used blindly.

### ChildIE1-WithoutEM information elements

```
-- /example/ ASN1START
ChildIE1-WithoutEM ::= SEQUENCE {
  -- Root encoding, including:
  chIE1-ConfigurableFeature      ChIE1-ConfigurableFeature      OPTIONAL      -- Need ON
}
-- ASN1STOP
```

```

ChildIE1-WithoutEM-vNx0 ::= SEQUENCE {
    chIE1-ConfigurableFeature-vNx0 ChIE1-ConfigurableFeature-vNx0 OPTIONAL -- Cond ConfigF
}

ChIE1-ConfigurableFeature ::= CHOICE {
    release NULL,
    setup SEQUENCE {
        -- Root encoding
    }
}

ChIE1-ConfigurableFeature-vNx0 ::= SEQUENCE {
    chIE1-NewField-rN INTEGER (0..31)
}

-- ASN1STOP
    
```

Conditional presence	Explanation
<i>ConfigF</i>	The field is optional present, need OR, in case of <i>chIE1-ConfigurableFeature</i> is included and set to "setup"; otherwise the field is not present and the UE shall delete any existing value for this field.

**ChildIE2-WithoutEM**

The IE *ChildIE2-WithoutEM* is an example of a lower level IE, typically used to control certain radio configurations. The example illustrates how the new field *chIE1-NewField* is added in release N to the configuration of the configurable feature.

**ChildIE2-WithoutEM information element**

```

-- /example/ ASN1START

ChildIE2-WithoutEM ::= CHOICE {
    release NULL,
    setup SEQUENCE {
        -- Root encoding
    }
}

ChildIE2-WithoutEM-vNx0 ::= SEQUENCE {
    chIE2-NewField-rN INTEGER (0..31) OPTIONAL -- Cond ConfigF
}

-- ASN1STOP
    
```

Conditional presence	Explanation
<i>ConfigF</i>	The field is optional present, need OR, in case of <i>chIE2-ConfigurableFeature</i> is included and set to "setup"; otherwise the field is not present and the UE shall delete any existing value for this field.

## A.5 Guidelines regarding inclusion of transaction identifiers in RRC messages

The following rules provide guidance on which messages should include a Transaction identifier

- 1: DL messages on CCCH that move UE to RRC-Idle should not include the RRC transaction identifier.
- 2: All network initiated DL messages by default should include the RRC transaction identifier.
- 3: All UL messages that are direct response to a DL message with an RRC Transaction identifier should include the RRC Transaction identifier.
- 4: All UL messages that require a direct DL response message should include an RRC transaction identifier.

- 5: All UL messages that are not in response to a DL message nor require a corresponding response from the network should not include the RRC Transaction identifier.

## A.6 Protection of RRC messages (informative)

The following list provides information which messages can be sent (unprotected) prior to security activation and which messages can be sent unprotected after security activation.

P...Messages that can be sent (unprotected) prior to security activation

A - I...Messages that can be sent without integrity protection after security activation

A - C...Messages that can be sent unciphered after security activation

NA... Message can never be sent after security activation

Message	P	A-I	A-C	Comment
CSFBParametersRequestCDMA2000	+	-	-	
CSFBParametersResponseCDMA2000	+	-	-	
CounterCheck	-	-	-	
CounterCheckResponse	-	-	-	
DLInformationTransfer	+	-	-	
HandoverFromEUTRAPreparationRequest (CDMA2000)	-	-	-	
MasterInformationBlock	+	+	+	
MBSFNAreaConfiguration	+	+	+	
MeasurementReport	+	-	-	Justification for case "P": RAN2 agreed that measurement configuration may be sent prior to security activation
MobilityFromEUTRACommand	-	-	-	
Paging	+	+	+	
ProximityIndication	-	-	-	
RRCCONNECTIONReconfiguration	+	-	-	The message shall not be sent unprotected before security activation if it is used to perform handover or to establish SRB2 and DRBs
RRCCONNECTIONReconfigurationComplete	+	-	-	Unprotected, if sent as response to RRCCONNECTIONReconfiguration which was sent before security activation
RRCCONNECTIONReestablishment	-	+	+	This message is not protected by PDCP operation.
RRCCONNECTIONReestablishmentComplete	-	-	-	
RRCCONNECTIONReestablishmentReject	-	+	+	One reason to send this may be that the security context has been lost, therefore sent as unprotected.
RRCCONNECTIONReestablishmentRequest	-	-	+	This message is not protected by PDCP operation. However a short MAC-I is included.
RRCCONNECTIONReject	+	NA	NA	
RRCCONNECTIONRelease	+	-	-	Justification for P: If the RRC connection only for signalling not requiring DRBs or ciphered messages, or the signalling connection has to be released prematurely, this message is sent as unprotected.
RRCCONNECTIONRequest	+	NA	NA	
RRCCONNECTIONSetup	+	NA	NA	
RRCCONNECTIONSetupComplete	+	NA	NA	
SecurityModeCommand	+	NA	NA	Integrity protection applied, but no ciphering (integrity verification done after the message received by RRC)
SecurityModeComplete	-	NA	NA	Integrity protection applied, but no ciphering. Ciphering is applied after completing the procedure.
SecurityModeFailure	+	NA	NA	Neither integrity protection nor ciphering applied.
SystemInformation	+	+	+	
SystemInformationBlockType1	+	+	+	
UECapabilityEnquiry	+	-	-	
UECapabilityInformation	+	-	-	
UEInformationRequest	-	-	-	
UEInformationResponse	-	-	-	
ULHandoverPreparationTransfer (CDMA2000)	-	-	-	This message should follow HandoverFromEUTRAPreparationRequest
ULInformationTransfer	+	-	-	

## A.7 Miscellaneous

The following miscellaneous conventions should be used:

- References: Whenever another specification is referenced, the specification number and optionally the relevant subclause, table or figure, should be indicated in addition to the pointer to the References section e.g. as follows: 'see TS 36.212 [22, 5.3.3.1.6]'.

## Annex B (normative): Release 8 AS feature handling

### B.1 Feature group indicators

This annex contains the definitions of the bits in field *featureGroupIndicators*.

In this release of the protocol, the UE shall include the field *featureGroupIndicators* in the IE *UE-EUTRA-Capability*. All the functionalities defined within the field *featureGroupIndicators* defined in Table B.1-1 are mandatory for the UE, if the related capability (frequency band, RAT or SR-VCC) is also supported. For a specific indicator, if all functionalities for a feature group listed in Table B.1-1 have been implemented and tested, the UE shall set the indicator as one (1), else (i.e. if any one of the functionalities in a feature group listed in Table B.1-1, which have not been implemented or tested), the UE shall set the indicator as zero (0).

The UE shall set all indicators that correspond to RATs not supported by the UE as zero (0).

The UE shall set all indicators, which do not have a definition in Table B.1-1, as zero (0).

If the optional field *featureGroupIndicators* is not included by a UE of a future release, the network may assume that all features pertaining to the RATs supported by the UE, listed in Table B.1-1 and deployed in the network, have been implemented and tested by the UE.

In Table B.1-1, a 'VoLTE capable UE' corresponds to a UE that is capable of the "Voice domain preference for E-UTRAN" defined in TS 24.301 [35] being set to "IMS PS voice only", "IMS PS voice preferred, CS voice as secondary" or "CS voice preferred, IMS PS voice as secondary".

**Table B.1-1: Definitions of feature group indicators**

Index of indicator (bit number)	Definition (description of the supported functionality, if indicator set to one)	Notes	If indicated "Yes" the feature shall be implemented and successfully tested for this version of the specification
1 (leftmost bit)	<ul style="list-style-type: none"> <li>- Intra-subframe frequency hopping for PUSCH scheduled by UL grant</li> <li>- DCI format 3a (TPC commands for PUCCH and PUSCH with single bit power adjustments)</li> <li>- PDSCH transmission mode 5</li> <li>- Aperiodic CQI/PMI/RI reporting on PUSCH: Mode 2-0 – UE selected subband CQI without PMI</li> <li>- Aperiodic CQI/PMI/RI reporting on PUSCH: Mode 2-2 – UE selected subband CQI with multiple PMI</li> </ul>		
2	<ul style="list-style-type: none"> <li>- Simultaneous CQI and ACK/NACK on PUCCH, i.e. PUCCH format 2a and 2b</li> <li>- Absolute TPC command for PUSCH</li> <li>- Resource allocation type 1 for PDSCH</li> <li>- Periodic CQI/PMI/RI reporting on PUCCH: Mode 2-0 – UE selected subband CQI without PMI</li> <li>- Periodic CQI/PMI/RI reporting on PUCCH: Mode 2-1 – UE selected subband CQI with single PMI</li> </ul>		
3	<ul style="list-style-type: none"> <li>- 5bit RLC UM SN</li> <li>- 7bit PDCP SN</li> </ul>	- can only be set to 1 if the UE has set bit number 7 to 1.	Yes, if UE supports VoLTE
4	<ul style="list-style-type: none"> <li>- Short DRX cycle</li> </ul>	- can only be set to 1 if the UE has set bit number 5 to 1.	

5	- Long DRX cycle - DRX command MAC control element		Yes
6	- Prioritised bit rate		Yes
7	- RLC UM	- can only be set to 0 if the UE does not support VoLTE	Yes, if UE supports VoLTE
8	- EUTRA RRC_CONNECTED to UTRA CELL_DCH PS handover	- can only be set to 1 if the UE has set bit number 22 to 1	Yes, if UE supports UTRA
9	- EUTRA RRC_CONNECTED to GERAN GSM_Dedicated handover	- related to SR-VCC - can only be set to 1 if the UE has set bit number 23 to 1	
10	- EUTRA RRC_CONNECTED to GERAN (Packet_) Idle by Cell Change Order - EUTRA RRC_CONNECTED to GERAN (Packet_) Idle by Cell Change Order with NACC (Network Assisted Cell Change)		
11	- EUTRA RRC_CONNECTED to CDMA2000 1xRTT CS Active handover	- related to SR-VCC - can only be set to 1 if the UE has set bit number 24 to 1	
12	- EUTRA RRC_CONNECTED to CDMA2000 HRPD Active handover	- can only be set to 1 if the UE has set bit number 26 to 1	
13	- Inter-frequency handover (within FDD or TDD)	- can only be set to 1 if the UE has set bit number 25 to 1	Yes, unless UE only supports band 13
14	- Measurement reporting event: Event A4 – Neighbour > threshold - Measurement reporting event: Event A5 – Serving < threshold1 & Neighbour > threshold2		
15	- Measurement reporting event: Event B1 – Neighbour > threshold	- can only be set to 1 if the UE has set at least one of the bit number 22, 23, 24 or 26 to 1.	
16	- non-ANR related intra-frequency periodical measurement reporting; - non-ANR related inter-frequency periodical measurement reporting, if the UE has set bit number 25 to 1; and - non-ANR related inter-RAT periodical measurement reporting for UTRAN, GERAN, 1xRTT or HRPD, if the UE has set bit number 22, 23, 24 or 26 to 1, respectively.  NOTE: “non-ANR related periodical measurement reporting” corresponds only to ‘periodical’ trigger type with purpose set to ‘reportStrongestCells’. Event triggered periodical reporting (i.e., ‘event’ trigger type with <i>reportAmount</i> > 1) is a mandatory functionality of event triggered reporting and therefore not the subject of this bit.		Yes
17	- Periodical measurement reporting for SON / ANR - ANR related intra-frequency measurement reporting events	- can only be set to 1 if the UE has set bit number 5 to 1.	Yes
18	- ANR related inter-frequency measurement reporting events	- can only be set to 1 if the UE has set bit number 5 to 1.	Yes, unless UE only supports band 13
19	- ANR related inter-RAT measurement reporting events	- can only be set to 1 if the UE has set bit number 5 to 1.	
20	If bit number 7 is set to ‘0’: - SRB1 and SRB2 for DCCH + 8x AM DRB  If bit number 7 is set to ‘1’: - SRB1 and SRB2 for DCCH + 8x AM DRB	- Regardless of what bit number 7 and bit number 20 is set to, UE shall support at least SRB1 and SRB2 for DCCH + 4x AM DRB	Yes

	- SRB1 and SRB2 for DCCH + 5x AM DRB + 3x UM DRB  NOTE: UE which indicate support for a DRB combination also support all subsets of the DRB combination. Therefore, release of DRB(s) never results in an unsupported DRB combination.	- Regardless of what bit number 20 is set to, if bit number 7 is set to '1', UE shall support at least SRB1 and SRB2 for DCCH + 4x AM DRB + 1x UM DRB	
21	- Predefined intra- and inter-subframe frequency hopping for PUSCH with $N_{sb} > 1$ - Predefined inter-subframe frequency hopping for PUSCH with $N_{sb} > 1$		
22	- UTRAN measurements, reporting and measurement reporting event B2 in E-UTRA connected mode		Yes, if UE supports UTRA
23	- GERAN measurements, reporting and measurement reporting event B2 in E-UTRA connected mode		
24	- 1xRTT measurements, reporting and measurement reporting event B2 in E-UTRA connected mode		Yes, if UE supports enhanced 1xRTT CSFB
25	- Inter-frequency measurements and reporting in E-UTRA connected mode  NOTE: The UE setting this bit to 1 and indicating support for FDD and TDD frequency bands in the UE capability signalling implements and is tested for FDD measurements while the UE is in TDD, and for TDD measurements while the UE is in FDD.		Yes, unless UE only supports band 13
26	- HRPD measurements, reporting and measurement reporting event B2 in E-UTRA connected mode		Yes, if UE supports HRPD
27	- EUTRA RRC_CONNECTED to UTRA CELL_DCH CS handover	- related to SR-VCC - can only be set to 1 if the UE has set bit number 8 to 1	
28	- TTI bundling		
29	- Semi-Persistent Scheduling		
30	- Handover between FDD and TDD	- can only be set to 1 if the UE has set bit number 13 to 1	
31	Undefined		
32	Undefined		

### Clarification for mobility from EUTRAN and inter-frequency handover within EUTRAN

There are several feature groups related to mobility from E-UTRAN and inter-frequency handover within EUTRAN. The description of these features is based on the assumption that we have 5 main "functions" related to mobility from E-UTRAN:

- A. Support of measurements and cell reselection procedure in idle mode
- B. Support of RRC release with redirection procedure in connected mode
- C. Support of Network Assisted Cell Change in connected mode
- D. Support of measurements and reporting in connected mode
- E. Support of handover procedure in connected mode

All functions can be applied for mobility to Inter-frequency to EUTRAN, GERAN, UTRAN, CDMA2000 HRPD and CDMA2000 1xRTT except for function C) which is only applicable for mobility to GERAN. Table B.1-2 below summarises the mobility functions that are supported based on the UE capability signaling (band support) and the setting of the feature group support indicators.

**Table B.1-2: Mobility from E-UTRAN**

Feature	GERAN	UTRAN	HRPD	1xRTT	EUTRAN
A. Measurements and cell reselection procedure in E-UTRA idle mode	Supported if GERAN band support is indicated	Supported if UTRAN band support is indicated	Supported if CDMA2000 HRPD band support is indicated	Supported if CDMA2000 1xRTT band support is indicated	Supported for supported bands
B. RRC release with blind redirection procedure in E-UTRA connected mode	Supported if GERAN band support is indicated	Supported if UTRAN band support is indicated	Supported if CDMA2000 HRPD band support is indicated	Supported if CDMA2000 1xRTT band support is indicated	Supported for supported bands
C. Cell Change Order (with or without Network Assisted Cell Change) in E-UTRA connected mode	Group 10	N.A.	N.A	N.A	N.A.
D. Inter-frequency/RAT measurements, reporting and measurement reporting event B2 (for inter-RAT) in E-UTRA connected mode	Group 23	Group 22	Group 26	Group 24	Group 25
E. Inter-frequency/RAT handover procedure in E-UTRA connected mode	Group 9 (GSM_connected handover) Separate UE capability bit defined in TS 36.306 for PS handover	Group 8 (PS handover) or Group 27 (SRVCC handover)	Group 12	Group 11	Group 13 (within FDD or TDD) Group 30 (between FDD and TDD)

In case measurements and reporting function is not supported by UE, the network may still issue the mobility procedures redirection (B) and CCO (C) in a blind fashion.

## B.2 CSG support

In this release of the protocol, it is mandatory for the UE to support a minimum set of CSG functionality consisting of:

- Identifying whether a cell is CSG or not;
- Ignoring CSG cells in cell selection/reselection.

Additional CSG functionality in AS, i.e. the requirement to detect and camp on CSG cells when the "CSG whitelist" is available or when manual CSG selection is triggered by the user, are related to the corresponding NAS features. This additional AS functionality consists of:

- Manual CSG selection;
- Autonomous CSG search;
- Implicit priority handling for cell reselection with CSG cells.

It is possible that this additional CSG functionality in AS is not supported or tested in early UE implementations.

Note that since the above AS features relate to idle mode operations, the capability support is not signalled to the network. For these reasons, no "feature group indicator" is assigned to this feature to indicate early support in Rel-8.

## Annex C (informative): Change history

Change history							
Date	TSG #	TSG Doc.	CR	Rev	Subject/Comment	Old	New
12/2007	RP-38	RP-070920	-		Approved at TSG-RAN #38 and placed under Change Control	1.0.0	8.0.0
03/2008	RP-39	RP-080163	0001	4	CR to 36.331 with Miscellaneous corrections	8.0.0	8.1.0
03/2008	RP-39	RP-080164	0002	2	CR to 36.331 to convert RRC to agreed ASN.1 format	8.0.0	8.1.0
05/2008	RP-40	RP-080361	0003	1	CR to 36.331 on Miscellaneous clarifications/ corrections	8.1.0	8.2.0
09/2008	RP-41	RP-080693	0005	-	CR on Miscellaneous corrections and clarifications	8.2.0	8.3.0
12/2008	RP-42	RP-081021	0006	-	Miscellaneous corrections and clarifications	8.3.0	8.4.0
03/2009	RP-43	RP-090131	0007	-	Correction to the Counter Check procedure	8.4.0	8.5.0
	RP-43	RP-090131	0008	-	CR to 36.331-UE Actions on Receiving SIB11	8.4.0	8.5.0
	RP-43	RP-090131	0009	1	Spare usage on BCCH	8.4.0	8.5.0
	RP-43	RP-090131	0010	-	Issues in handling optional IE upon absence in GERAN NCL	8.4.0	8.5.0
	RP-43	RP-090131	0011	-	CR to 36.331 on Removal of useless RLC re-establishment at RB release	8.4.0	8.5.0
	RP-43	RP-090131	0012	1	Clarification to RRC level padding at PCCH and BCCH	8.4.0	8.5.0
	RP-43	RP-090131	0013	-	Removal of Inter-RAT message	8.4.0	8.5.0
	RP-43	RP-090131	0014	-	Padding of the SRB-ID for security input	8.4.0	8.5.0
	RP-43	RP-090131	0015	-	Validity of ETWS SIB	8.4.0	8.5.0
	RP-43	RP-090131	0016	1	Configuration of the Two-Intervals-SPS	8.4.0	8.5.0
	RP-43	RP-090131	0017	-	Corrections on Scaling Factor Values of Qhyst	8.4.0	8.5.0
	RP-43	RP-090131	0018	1	Optionality of srsMaxUppts	8.4.0	8.5.0
	RP-43	RP-090131	0019	-	CR for discussion on field name for common and dedicated IE	8.4.0	8.5.0
	RP-43	RP-090131	0020	-	Corrections to Connected mode mobility	8.4.0	8.5.0
	RP-43	RP-090131	0021	-	Clarification regarding the measurement reporting procedure	8.4.0	8.5.0
	RP-43	RP-090131	0022	1	Corrections on s-Measure	8.4.0	8.5.0
	RP-43	RP-090131	0023	1	R1 of CR0023 (R2-091029) on combination of SPS and TTI bundling for TDD	8.4.0	8.5.0
	RP-43	RP-090131	0024	-	L3 filtering for path loss measurements	8.4.0	8.5.0
	RP-43	RP-090131	0025	1	S-measure handling for reportCGI	8.4.0	8.5.0
	RP-43	RP-090131	0026	1	Measurement configuration clean up	8.4.0	8.5.0
	RP-43	RP-090131	0027	-	Alignment of measurement quantities for UTRA	8.4.0	8.5.0
	RP-43	RP-090131	0028	-	CR to 36.331 on L1 parameters ranges alignment	8.4.0	8.5.0
	RP-43	RP-090131	0029	-	Default configuration for transmissionMode	8.4.0	8.5.0
	RP-43	RP-090131	0030	-	CR to 36.331 on RRC Parameters for MAC, RLC and PDCP	8.4.0	8.5.0
	RP-43	RP-090131	0031	1	CR to 36.331 - Clarification on Configured PRACH Freq Offset	8.4.0	8.5.0
	RP-43	RP-090131	0032	-	Clarification on TTI bundling configuration	8.4.0	8.5.0
	RP-43	RP-090131	0033	1	Update of R2-091039 on Inter-RAT UE Capability	8.4.0	8.5.0
	RP-43	RP-090133	0034	-	Feature Group Support Indicators	8.4.0	8.5.0
	RP-43	RP-090131	0036	-	Corrections to RLF detection	8.4.0	8.5.0
	RP-43	RP-090131	0037	-	Indication of Dedicated Priority	8.4.0	8.5.0
	RP-43	RP-090131	0038	2	Security Clean up	8.4.0	8.5.0
	RP-43	RP-090131	0039	-	Correction of TTT value range	8.4.0	8.5.0
	RP-43	RP-090131	0040	-	Correction on CDMA measurement result IE	8.4.0	8.5.0
	RP-43	RP-090131	0041	1	Clarification of Measurement Reporting	8.4.0	8.5.0
	RP-43	RP-090131	0042	-	Spare values in DL and UL Bandwidth in MIB and SIB2	8.4.0	8.5.0
	RP-43	RP-090131	0044	1	Clarifications to System Information Block Type 8	8.4.0	8.5.0
	RP-43	RP-090131	0045	-	Reception of ETWS secondary notification	8.4.0	8.5.0
	RP-43	RP-090131	0046	1	Validity time for ETWS message Id and Sequence No	8.4.0	8.5.0
	RP-43	RP-090131	0047	-	CR for Timers and constants values used during handover to E-UTRA	8.4.0	8.5.0
	RP-43	RP-090131	0048	-	Inter-RAT Security Clarification	8.4.0	8.5.0
	RP-43	RP-090131	0049	-	CR to 36.331 on consistent naming of 1xRTT identifiers	8.4.0	8.5.0
	RP-43	RP-090131	0050	-	Capturing RRC behavior regarding NAS local release	8.4.0	8.5.0
	RP-43	RP-090131	0051	-	Report CGI before T321 expiry and UE null reporting	8.4.0	8.5.0
	RP-43	RP-090131	0052	-	System Information and 3 hour validity	8.4.0	8.5.0
	RP-43	RP-090131	0053	1	Inter-Node AS Signalling	8.4.0	8.5.0
	RP-43	RP-090131	0054	-	Set of values for the parameter "messagePowerOffsetGroupB"	8.4.0	8.5.0
	RP-43	RP-090131	0055	-	CR to paging reception for ETWS capable UEs in RRC_CONNECTED	8.4.0	8.5.0
	RP-43	RP-090131	0056	1	CR for CSG related items in 36.331	8.4.0	8.5.0
	RP-43	RP-090131	0057	1	SRS common configuration	8.4.0	8.5.0
	RP-43	RP-090131	0058	-	RRC processing delay	8.4.0	8.5.0
	RP-43	RP-090131	0059	-	CR for HNB Name	8.4.0	8.5.0
	RP-43	RP-090131	0060	3	Handover to EUTRA delta configuration	8.4.0	8.5.0
	RP-43	RP-090131	0063	-	Delivery of Message Identifier and Serial Number to upper layers for ETWS	8.4.0	8.5.0
	RP-43	RP-090131	0066	-	Clarification on the maximum size of cell lists	8.4.0	8.5.0

	RP-43	RP-090131	0067	-	Missing RRC messages in 'Protection of RRC messages'	8.4.0	8.5.0
	RP-43	RP-090131	0069	1	Clarification on NAS Security Container	8.4.0	8.5.0
	RP-43	RP-090131	0071	-	Extension of range of CQI/PMI configuration index	8.4.0	8.5.0
	RP-43	RP-090131	0072	1	Access barring alleviation in RRC connection establishment	8.4.0	8.5.0
	RP-43	RP-090367	0077	6	Corrections to feature group support indicators	8.4.0	8.5.0
	RP-43	RP-090131	0078	-	CR from email discussion to capture DRX and TTT handling	8.4.0	8.5.0
	RP-43	RP-090131	0079	1	Need Code handling on BCCH messages	8.4.0	8.5.0
	RP-43	RP-090131	0080	-	Unification of T300 and T301 and removal of miscellaneous FFSs	8.4.0	8.5.0
	RP-43	RP-090131	0084	1	Proposed CR modifying the code-point definitions of neighbourCellConfiguration	8.4.0	8.5.0
	RP-43	RP-090131	0087	2	Remove Redundant Optionality in SIB8	8.4.0	8.5.0
	RP-43	RP-090131	0089	-	Corrections to the generic error handling	8.4.0	8.5.0
	RP-43	RP-090131	0090	-	Configurability of T301	8.4.0	8.5.0
	RP-43	RP-090131	0091	1	Correction related to TTT	8.4.0	8.5.0
	RP-43	RP-090131	0095	-	CR for 36.331 on SPS-config	8.4.0	8.5.0
	RP-43	RP-090131	0096	2	CR for Deactivation of periodical measurement	8.4.0	8.5.0
	RP-43	RP-090131	0099	2	SMC and reconfiguration	8.4.0	8.5.0
	RP-43	RP-090131	0101	-	TDD handover	8.4.0	8.5.0
	RP-43	RP-090131	0102	-	Corrections to system information acquisition	8.4.0	8.5.0
	RP-43	RP-090131	0106	-	Some Corrections and Clarifications to 36.331	8.4.0	8.5.0
	RP-43	RP-090131	0109	-	Clarification on the Maximum number of ROHC context sessions parameter	8.4.0	8.5.0
	RP-43	RP-090131	0110	-	Transmission of rrm-Config at Inter-RAT Handover	8.4.0	8.5.0
	RP-43	RP-090131	0111	1	Use of SameRefSignalsInNeighbor parameter	8.4.0	8.5.0
	RP-43	RP-090131	0112	-	Default serving cell offset for measurement event A3	8.4.0	8.5.0
	RP-43	RP-090131	0114	-	dl-EARFCN missing in HandoverPreparationInformation	8.4.0	8.5.0
	RP-43	RP-090131	0115	-	Cleanup of references to 36.101	8.4.0	8.5.0
	RP-43	RP-090131	0117	-	Correction to the value range of UE-Categories	8.4.0	8.5.0
	RP-43	RP-090131	0122	1	Correction on RRC connection re-establishment	8.4.0	8.5.0
	RP-43	RP-090131	0124	-	Performing Measurements to report CGI for CDMA2000	8.4.0	8.5.0
	RP-43	RP-090131	0125	-	CDMA2000-SystemTimeInfo in VarMeasurementConfiguration	8.4.0	8.5.0
	RP-43	RP-090131	0126	-	UE Capability Information for CDMA2000 1xRTT	8.4.0	8.5.0
	RP-43	RP-090131	0127	-	CDMA2000 related editorial changes	8.4.0	8.5.0
	RP-43	RP-090131	0128	-	Draft CR to 36.331 on State mismatch recovery at re-establishment	8.4.0	8.5.0
	RP-43	RP-090131	0129	1	Draft CR to 36.331 on Renaming of AC barring related IEs	8.4.0	8.5.0
	RP-43	RP-090131	0130	2	Draft CR to 36.331 on Inheriting of dedicated priorities at inter-RAT reselection	8.4.0	8.5.0
	RP-43	RP-090131	0135	-	Proposed CR to 36.331 Description alignment for paging parameter, nB	8.4.0	8.5.0
	RP-43	RP-090131	0139	2	Miscellaneous corrections and clarifications resulting from ASN.1 review	8.4.0	8.5.0
	RP-43	RP-090131	0141	1	Correction regarding Redirection Information fo GERAN	8.4.0	8.5.0
	RP-43	RP-090131	0142	-	Further ASN.1 review related issues	8.4.0	8.5.0
	RP-43	RP-090131	0143	-	Periodic measurements	8.4.0	8.5.0
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	RP-43	RP-090131	0151	-	CR to 36.331 on value of CDMA band classes	8.4.0	8.5.0
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	RP-43	RP-090339	0158	-	Clarification of CSG support	8.4.0	8.5.0
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	RP-44	RP-090516	0164	-	Restricting the reconfiguration of UM RLC SN field size	8.5.0	8.6.0
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	RP-44	RP-090516	0173	-	Clarification on the basis of delta signalling	8.5.0	8.6.0
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	RP-44	RP-090516	0182	1	UE Capability Transfer	8.5.0	8.6.0
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	RP-44	RP-090516	0188	1	Correction and completion of specification conventions	8.5.0	8.6.0
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	RP-45	RP-090915	0218	-	Security threat with duplicate detection for ETWS	8.6.0	8.7.0
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	RP-45	RP-090933	0252	-	Introduction of CMAS	8.7.0	9.0.0
12/2009	RP-46	RP-091346	0253	1	(Rel-9)-clarification on the description of redirectedCarrierInfo	9.0.0	9.1.0
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## History

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V9.2.0	April 2010	Publication
V9.3.0	July 2010	Publication
V9.4.0	October 2010	Publication
V9.5.0	January 2011	Publication
V9.6.0	March 2011	Publication
V9.7.0	July 2011	Publication
V9.8.0	November 2011	Publication