# ETSI TS 136 307 V17.0.0 (2022-04)



LTE;

Evolved Universal Terrestrial Radio Access (E-UTRA); Requirements on User Equipments (UEs) supporting a release-independent frequency band (3GPP TS 36.307 version 17.0.0 Release 17)



Reference RTS/TSGR-0436307vh00

Keywords

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  - 2 presented to TSG for approval;
  - 3 or greater indicates TSG approved document under change control.
- y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.
- z the third digit is incremented when editorial only changes have been incorporated in the document.

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### 1 Scope

The present document specifies requirements for Rel-16 UEs supporting release independent features like:

- additional E-UTRA operating frequency bands on top of Rel-16 of TS 36.101 [2] and TS 36.133 [3];
- additional E-UTRA CA configurations (intra-band/inter-band) on top of Rel-16 of TS 36.101 [2] and TS 36.133 [3];
- additional operating bands and/or CA configurations for specific features (like UE category 0, M1, NB1);
- other release independent features (like 4Rx antenna port, high speed scenario, 8Rx antenna port).

### 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.
- [1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".
- [2] 3GPP TS 36.101: "Evolved Universal Terrestrial Radio Access (E-UTRA); User Equipment (UE) Radio Transmission and Reception".

NOTE: The considered release is given in the text of the present document that uses [2].

- [3] 3GPP TS 36.133: "Evolved Universal Terrestrial Radio Access (E-UTRA); Requirements for Support of Radio Resource Management".
- [4] 3GPP TS 36.306: "Evolved Universal Terrestrial Radio Access (E-UTRA); User Equipment (UE) radio access capabilities".

NOTE: The considered release is given in the text of the present document that uses [4].

[5] Void

### 3 Definitions and abbreviations

#### 3.1 Definitions

For the purposes of the present document, the terms and definitions given in 3GPP TR 21.905 [1] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in 3GPP TR 21.905 [1].

release independent: applicable to some frozen releases, starting from a certain release Rel-M

NOTE 1: Normally, a feature is introduced only in the latest open release Rel-N and future releases are based on the previous one so that future releases inherit the requirements of this feature. Introducing a feature "in a release independent way from Rel-M onwards" (M<N) means it was decided by TSG RAN that this feature would be also beneficial in previous, already frozen releases starting with Rel-M until Rel-(N-1). In order to avoid touching TS 36.101 [2] or TS 36.133 [3] of these frozen releases, the corresponding requirements are captured in TS 36.307 via pointers to [2] or [3] of the release in which the feature was introduced.

NOTE 2: Release independent does not mean applicable to all releases.

#### 3.2 Abbreviations

For the purposes of the present document, the abbreviations given in 3GPP TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in 3GPP TR 21.905 [1].

| 4Rx | 4 UE receiver antenna ports    |
|-----|--------------------------------|
| CA  | Carrier Aggregation            |
| CRS | Cell-specific Reference Signal |
| CSI | Channel State Indicator        |
| FDD | Frequency Division Duplex      |
| LAA | License-Assisted Access        |
| RRC | Radio Resource Control         |
| RRM | Radio Resource Management      |
| SDR | Sustained Data Rate            |
| TDD | Time Division Duplex           |
| UE  | User Equipment                 |

#### 3.3 Symbols

For the purposes of the present document, the following symbols apply:

| Ν | Release in which a feature is introduced into TS 36.101 [2] or TS 36.133 [3]      |
|---|-----------------------------------------------------------------------------------|
| М | Release from which onwards (including release M) a feature is release independent |

### 3A Release independent features

#### 3A.0 General

TSG-RAN has agreed for certain features (see the following clauses) to introduce them in a "release independent way".

This means for each feature:

- it is "introduced" in a release N, i.e. TS 36.101 [2] and TS 36.133 [3] of release N define certain UE requirements for this feature; the feature is indicated in the tables of the following clauses;
- it is "release independent" starting from a release M (M<N); M for the given feature is provided in the tables of the following clauses;
- UEs supporting this feature have to fulfill additional requirements in release M or higher which are specified in one or more Annexes of TS 36.307 of release N; the applicable Annexes for a given feature are provided in the tables of the following clauses.

The applicable UE Categories are specified in TS 36.306 [4] according to the release to which the UE conforms.

#### 3A.1 Additional E-UTRA operating bands

Requirements for a Rel-16 UE for additional E-UTRA operating bands compared to TS 36.101 Rel-16 [2] are introduced via this clause.

| Feature                                           | Duplex-<br>mode | Release<br>independent<br>from | Requirements to be<br>fulfilled<br>(see TS 36.307 of the<br>release in which the band<br>was introduced) |
|---------------------------------------------------|-----------------|--------------------------------|----------------------------------------------------------------------------------------------------------|
| Operating bands, band number <= 64, Power Class 3 | FDD, TDD        | Rel-8                          | Table B.2.1-1, Table B.4.1-1                                                                             |
| Operating bands, band number > 64, Power Class 3  | FDD, TDD        | Rel-9                          | Table B.2.1-1, Table B.4.1-1                                                                             |
| Operating bands, NS-value > 32                    | FDD, TDD        | Rel-10                         | Table B.2.1-1, Table B.4.1-1                                                                             |
| Asymmetric operating bands, Power Class 3         | FDD             | Rel-10                         | Table B.2.1-1, Table B.4.1-1                                                                             |
| Operating bands, band number <= 64, Power Class 1 | FDD             | Rel-10                         | Table B.2.1-1, Table B.4.1-1                                                                             |
| Operating bands, Power Class 2                    | TDD             | Rel-10                         | Table B.2.1-1, Table B.4.1-1                                                                             |

Table 3A.1-1: E-UTRA operating bands and UE power class

For example, Band 19 was introduced in the Release 9 specifications. In order to implement a UE conforming to Release 8 but supporting Band 19, it is necessary for the UE to additionally conform to some parts of the Release 9 specifications (see corresponding Annexes of TS 36.307 Rel-9 which will point to the requirements in the Rel-9 of TS 36.101 [2] or TS 36.133 [3] to be fulfilled), such as the radio frequency and radio resource management requirements for the Band 19.

### 3A.2 Additional E-UTRA CA configurations

Requirements for a Rel-16 UE for additional E-UTRA CA configurations compared to TS 36.101 Rel-16 [2] are introduced via this clause.

| Feature                                                                                                                    | DL/UL | CA BW<br>Class | Duplex-<br>mode | Release<br>independent<br>from | requirements to be fulfilled<br>(see 36.307 of the REL in which<br>the CA configuration and the<br>power class were introduced) |  |  |
|----------------------------------------------------------------------------------------------------------------------------|-------|----------------|-----------------|--------------------------------|---------------------------------------------------------------------------------------------------------------------------------|--|--|
|                                                                                                                            |       | В              | FDD             | Rel-10                         | Table B.2.2-1, Table B.3.2-1,<br>Table B.4.2-1                                                                                  |  |  |
|                                                                                                                            |       | С              | FDD, TDD        | Rel-10                         | Table B.2.2-1, Table B.3.2-1,<br>Table B.4.2-1                                                                                  |  |  |
|                                                                                                                            | DL    | D              | TDD             | Rel-10                         | Table B.2.2-1, Table B.3.2-1,<br>Table B.4.2-1                                                                                  |  |  |
| Intra-band contiguous<br>CA configurations,<br>power class 3                                                               |       | Е              | TDD             | Rel-11                         | Table B.2.2-1, Table B.3.2-1,<br>Table B.4.2-1                                                                                  |  |  |
| power class 5                                                                                                              |       | F              | TDD             | Rel-12                         | Table B.2.2-1, Table B.3.2-1,<br>Table B.4.2-1                                                                                  |  |  |
|                                                                                                                            |       | В              | FDD             | Rel-10                         | Table B.2.2-1, Table B.3.2-1,<br>Table B.4.2-1                                                                                  |  |  |
|                                                                                                                            | UL    | C, D           | FDD, TDD        | Rel-10                         | Table B.2.2-1, Table B.3.2-1,<br>Table B.4.2-1                                                                                  |  |  |
| Intra-band contiguous<br>CA configurations,<br>power class 2                                                               | UL    | С              | TDD             | Rel-10                         | Table B.2.2-1, Table B.3.2-1,<br>Table B.4.2-1                                                                                  |  |  |
| NOTE1: The duplex mode "FDD, TDD" refers to a CA configuration composed by only FDD bands or only TDD bands, respectively. |       |                |                 |                                |                                                                                                                                 |  |  |

#### Table 3A.2-1: Intra-band contiguous CA configurations and UE CA power class

Table 3A.2-2: Inter-band CAconfigurations

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| Feature        | DL/UL | number<br>of<br>bands | number<br>of CCs | CA BW<br>Classes | Duplex-<br>mode | Release<br>independent<br>from | requirements to be<br>fulfilled<br>(see 36.307 of the<br>REL in which the<br>CA configuration<br>was introduced) |
|----------------|-------|-----------------------|------------------|------------------|-----------------|--------------------------------|------------------------------------------------------------------------------------------------------------------|
|                |       |                       | 2-4              | A, B, C          | FDD, TDD        | Rel-10                         | Table B.2.4-1, Table<br>B.3.2-1, Table B.4.3-<br>1 or Table B.4.4-1                                              |
|                |       |                       | 2-5              | D, E             | FDD, TDD        | Rel-11                         | Table B.2.4-1, Table<br>B.3.2-1, Table B.4.3-<br>1 or Table B.4.4-1                                              |
|                |       | 2                     | 2-5              | A, B, C,<br>D, E | FDD and<br>TDD  | Rel-12                         | Table B.2.4-1, Table<br>B.3.2-1, Table B.4.3-<br>1 or Table B.4.4-1                                              |
|                |       |                       | 6-7              | A, C, D,         | FDD, TDD        | Rel-14                         | Table B.2.4-1, Table<br>B.3.2-1, Table B.4.3-<br>1 or Table B.4.4-1                                              |
|                |       |                       | 6-7              | E, F             | FDD and<br>TDD  | Rel-14                         | Table B.2.4-1, Table<br>B.3.2-1, Table B.4.3-<br>1 or Table B.4.4-1                                              |
|                |       |                       | 3                | A                | FDD, TDD        | Rel-10                         | Table B.2.4-1, Table<br>B.3.2-1, Table B.4.3-<br>1 or Table B.4.4-1                                              |
|                |       |                       | 3-5              | B, C, D          | FDD, TDD        | Rel-11                         | Table B.2.4-1, Table<br>B.3.2-1, Table B.4.3-<br>1 or Table B.4.4-1                                              |
|                | DL    | 3                     | 3                | A                | FDD and<br>TDD  | Rel-12                         | Table B.2.4-1, Table<br>B.3.2-1, Table B.4.3-<br>1 or Table B.4.4-1                                              |
|                |       |                       | 6-7              | A, C, D,         | FDD, TDD        | Rel-14                         | Table B.2.4-1, Table<br>B.3.2-1, Table B.4.3-<br>1 or Table B.4.4-1                                              |
| Inter-band CA  |       |                       | 6-7              | E, F             | FDD and<br>TDD  | Rel-14                         | Table B.2.4-1, Table<br>B.3.2-1, Table B.4.3-<br>1 or Table B.4.4-1                                              |
| configurations |       |                       | 4-5              | A, C             | FDD, TDD        | Rel-11                         | Table B.2.4-1, Table<br>B.3.2-1, Table B.4.3-<br>1 or Table B.4.4-1                                              |
|                |       |                       | 4-5              |                  | FDD and<br>TDD  | Rel-12                         | Table B.2.4-1, Table<br>B.3.2-1, Table B.4.3-<br>1 or Table B.4.4-1                                              |
|                |       |                       | 6-7              | A, C, D,         | FDD, TDD        | Rel-14                         | Table B.2.4-1, Table<br>B.3.2-1, Table B.4.3-<br>1 or Table B.4.4-1                                              |
|                |       |                       | 6-7              | E                | FDD and<br>TDD  | Rel-14                         | Table B.2.4-1, Table<br>B.3.2-1, Table B.4.3-<br>1 or Table B.4.4-1                                              |
|                |       |                       | 5                | A                | FDD, TDD        | Rel-12                         | Table B.2.4-1, Table<br>B.3.2-1, Table B.4.3-<br>1 or Table B.4.4-1                                              |
|                |       | 5                     | 5                |                  | FDD and<br>TDD  | Rel-12                         | Table B.2.4-1, Table<br>B.3.2-1, Table B.4.3-<br>1 or Table B.4.4-1                                              |
|                |       |                       | 6-7              | A, C, D          | FDD, TDD        | Rel-14                         | Table B.2.4-1, Table<br>B.3.2-1, Table B.4.3-<br>1 or Table B.4.4-1                                              |
|                |       |                       | 6-7              | ,, 0, 0          | FDD and<br>TDD  | Rel-14                         | Table B.2.4-1, Table<br>B.3.2-1, Table B.4.3-<br>1 or Table B.4.4-1                                              |
|                |       | 6                     | 6                | А                | FDD             | Rel-14                         | Table B.2.4-1, Table<br>B.3.2-1, Table B.4.3-<br>1 or Table B.4.4-1                                              |
|                | UL    | 2                     | 2-4              | A, C             | FDD, TDD        | Rel-11                         | Table B.2.4-1, Table<br>B.3.2-1, Table B.4.3-<br>1 or Table B.4.4-1                                              |

|        |                                                                                                         | 2-3 | A, C | FDD and<br>TDD | Rel-12 | Table B.2.4-1, Table<br>B.3.2-1, Table B.4.3-<br>1 or Table B.4.4-1 |  |  |
|--------|---------------------------------------------------------------------------------------------------------|-----|------|----------------|--------|---------------------------------------------------------------------|--|--|
| NOTE1: | ······································                                                                  |     |      |                |        |                                                                     |  |  |
|        | bands, respectively. The duplex mode "FDD and TDD" refers to a CA configuration including both FDD and  |     |      |                |        |                                                                     |  |  |
|        | TDD bands.                                                                                              |     |      |                |        |                                                                     |  |  |
| NOTE2: | FE2: CA configurations involving downlink only operation in Band 46 are release independent from Rel-13 |     |      |                |        |                                                                     |  |  |
|        | onwards (LAA was introduced in Rel-13). The 10 MHz channel bandwidth for Band 46 was introduced in TS   |     |      |                |        |                                                                     |  |  |
|        | 36.101 Rel-14 [2] and can be implemented in a release independent way from Rel-13.                      |     |      |                |        |                                                                     |  |  |

For example, CA configuration CA\_1A-19A was introduced in the Release 11 specifications. In order to implement a UE conforming to Release 10 but supporting the CA configuration CA\_1A-19A, it is necessary for the UE to additionally conform to some parts of the Release 11 specifications (see corresponding Annexes of TS 36.307 Rel-11 which will point to the requirements in the Rel-11 of TS 36.101 [2] or TS 36.133 [3] to be fulfilled), such as the radio frequency and radio resource management requirements for the CA configuration CA\_1A-19A.

| Table 3A.2-3: Intra-band non- | contiguous CA configurations |
|-------------------------------|------------------------------|
|                               | contiguouo or contigurationo |

| Feature                                            | DL/UL | number<br>of sub-<br>blocks | number<br>of CCs | CA BW<br>Classes | Duplex-<br>mode | Release<br>independent<br>from | requirements to<br>be fulfilled<br>(see 36.307 of<br>the REL in which<br>the CA<br>configuration<br>was introduced) |  |
|----------------------------------------------------|-------|-----------------------------|------------------|------------------|-----------------|--------------------------------|---------------------------------------------------------------------------------------------------------------------|--|
|                                                    |       | 2                           | 2-5              | A, C, D          | FDD, TDD        | Rel-11                         | Table B.2.3-1,<br>Table B.3.2-1,<br>Table B.4.5-1                                                                   |  |
| Intra-band non-<br>contiguous CA<br>configurations | DL    | 3                           | 3-5              | A, C             | FDD, TDD        | Rel-11                         | Table B.2.3-1,<br>Table B.3.2-1,<br>Table B.4.5-1                                                                   |  |
|                                                    | UL    | 2                           | 2                | A                | FDD             | Rel-11                         | Table B.2.3-1,<br>Table B.3.2-1,<br>Table B.4.5-1                                                                   |  |
|                                                    |       |                             |                  |                  |                 |                                |                                                                                                                     |  |

# 3A.3 Additional operating bands and/or CA configurations for specific features

For a specific feature introduced in an earlier release, it may be decided in a later release to apply this specific feature in a release independent way for additional operating bands and/or CA configurations. For a Rel-16 UE corresponding requirements are then introduced via this clause.

|                                                                |                                | Doquiromonto to ka                                                                               | Eugther information                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
|----------------------------------------------------------------|--------------------------------|--------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Feature                                                        | Release<br>independent<br>from | Requirements to be<br>fulfilled<br>(see 36.307 of the REL<br>when the feature was<br>introduced) | Further information                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| Operating bands for<br>UE category 0                           | Rel-12                         | Table B.2.9-1, Table B.3.5-<br>1, Table B.4.10-1                                                 | Rel-14 WI LC_MTC_LTE_cat0_B25_B26-Core<br>introduced RF, RRM, demodulation and CSI<br>requirements for bands 25 and 26, see Table<br>B.2.9-1, Table B.3.5-1, Table B.4.10-1                                                                                                                                                                                                                                                                                                                                                                                                                                           |
| Operating bands for<br>UE category M1                          | Rel-13                         | Table B.2.10-1, Table<br>B.3.6-1, Table B.4.11-1                                                 | Rel-14 WI LTE_MTCe2_L1_cat1_B25_B40-Core<br>introduced RF, RRM, demodulation and CSI<br>requirements for bands 25 and 40, see Table<br>B.2.10-1, Table B.3.6-1, Table B.4.11-1.<br>Rel-15 WI LTE_bands_R15_M1_NB1-Core<br>introduced RF, RRM, demodulation and CSI<br>requirements for bands 14 and 71, see Table<br>B.2.10-1, Table B.3.6-1, Table B.4.11-1.<br>Rel-17 WI LTE_bands_R17_M1_M2_NB1_NB2<br>introduced RF, RRM, demodulation for band 24,<br>see Table B.2.10-1, Table B.3.6-1, Table B.4.11-1.                                                                                                         |
| Operating bands for<br>HD-FDD UE category<br>M1, Power class 2 | Rel-13                         | Table B.2.10-1, Table<br>B.3.6-1, Table B.4.11-1                                                 | Rel-16 WI LTE_PC2_B31_B72 introduced power class 2 feature for bands 31 and 72.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
| Operating bands for<br>UE category M2                          | Rel-14                         | Table B.2.11-1, Table<br>B.3.6-1, Table B.4.11-1                                                 | Rel-15 WI LTE_bands_R15_M2_NB2-Core<br>introduced RF and RRM requirements for bands<br>14 and 71, see Table B.2.11-1, Table B.4.11-1.<br>Rel-17 WI LTE_bands_R17_M1_M2_NB1_NB2<br>introduced RF, RRM, demodulation for band 24,<br>see Table B.2.11-1, Table B.3.6-1, Table B.4.11-1.                                                                                                                                                                                                                                                                                                                                 |
| Operating bands for<br>HD-FDD UE category<br>M2, Power class 2 | Rel-14                         | Table B.2.11-1, Table<br>B.4.11-1                                                                | Rel-16 WI LTE_PC2_B31_B72 introduced power class 2 feature for bands 31 and 72.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
| Operating bands for<br>(FDD) UE category<br>NB1                | Rel-13                         | Table B.2.8-1, Table B.3.7-<br>1, Table B.4.9-1                                                  | Rel-14 WI NB_IOT_R14_bands introduced RF,<br>RRM and demodulation requirements for bands<br>11, 21, 25, 31, 70, see Table B.2.8-1, Table B.3.7-<br>1, Table B.4.9-1.<br>Rel-15 WI LTE_bands_R15_M1_NB1-Core<br>introduced RF, RRM and demodulation for bands<br>4, 14 and 71 see Table B.2.8-1, Table B.3.7-1,<br>Table B.4.9-1.<br>Rel-16 WI LTE_bands_R16_M1_NB1 introduced<br>RF, RRM, demodulation for band 65, see Table<br>B.2.8-1, Table B.3.7-1, Table B.4.9-1.<br>Rel-17 WI LTE_bands_R17_M1_M2_NB1_NB2<br>introduced RF, RRM, demodulation for band 24,<br>see Table B.2.8-1, Table B.3.7-1, Table B.4.9-1. |
| Operating bands<br>(FDD) for UE<br>category NB2                | Rel-14                         | Table B.2.12-1, Table 3.7-<br>1, Table B.4.9-1                                                   | Rel-15 WI LTE_bands_R15_M2_NB2-Core<br>introduced RF, RRM and demodulation<br>requirements for bands 4, 14 and 71, see Table<br>B.2.12-1, Table 3.7-1, Table B.4.9-1.<br>Rel-16 WI LTE_bands_R16_M2_NB2 introduced<br>RF, RRM, demodulation for band 65, see Table<br>B.2.12-1, Table B.3.7-1, Table B.4.9-1.<br>Rel-17 WI LTE_bands_R17_M1_M2_NB1_NB2<br>introduced RF, RRM, demodulation for band 24,<br>see Table B.2.8-1, Table B.3.7-1, Table B.4.9-1.                                                                                                                                                           |
| Operating bands<br>(TDD) for UE<br>category NB1 and<br>NB2     | Rel-15                         | Table B.2.12-1, Table 3.7-<br>1, Table B.4.9-1                                                   | Rel-16 WI LTE_bands_R16_M1_NB1 and Rel-16<br>WI LTE_bands_R16_M2_NB2 introduced RF,<br>RRM, demodulation for band 42 and band 43, see<br>Table B.2.12-1, Table B.3.7-1, Table B.4.9-1.                                                                                                                                                                                                                                                                                                                                                                                                                                |
| Operating bands for<br>UE category 1bis                        | Rel-13                         | Table B.2.15-1, Table<br>B.3.8-1, Table B.4.14-1                                                 | Rel-16 RF, RRM, demodulation and CSI requirements for band 34 and band 40, see Table B.2.15-1, Table B.3.8-1, Table B.4.14-1.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |

Table 3A.3-1: Operating bands for specific features

| Feature                                                                     | Release<br>independent<br>from | Requirements to be<br>fulfilled<br>(see 36.307 of the REL<br>when the feature was<br>introduced) | Further information                                                                                                                                                                                                     |
|-----------------------------------------------------------------------------|--------------------------------|--------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Operating bands for<br>V2X communication<br>with con-current<br>operation   | Rel-14                         | Table B.2.13-1, Table<br>B.4.12-1                                                                | Rel-15 WI V2X new band combinations (V2X_5A-<br>47A, V2X_20A-47A, V2X_34A-47A, V2X_28A-<br>47A, V2X_71A-47A) introduced and should be<br>satisfied for the RF and RRM requirements in<br>Table B.2.13-1, Table B.4.12-1 |
| Operating band for<br>V2X communication<br>with multi-carrier at<br>Band 47 | Rel-14                         | Table B.2.13-1, Table<br>B.4.12-1                                                                | In Rel-15 WI for eV2X, introduce intra-band multi-<br>carrier V2X_47C and V2X_47C1 and should be<br>satisfied for the RF and RRM requirements in<br>Table B.2.13-1, Table B.4.12-1                                      |

Table 3A.3-2: CA configurations for specific features

### 3A.4 Other release independent features

This clause covers requirements for a Rel-16 UE coming from all other release independent features that are not covered under clause 3A.1, 3A.2 and 3A.3, e.g. generic baseband requirements or requirements that are not band/CA configuration specific.

| Feature                                                            | Release<br>independent<br>from           | Requirements to be<br>fulfilled<br>(see 36.307 of the REL<br>when the feature was<br>introduced) | Further information                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
|--------------------------------------------------------------------|------------------------------------------|--------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| RF and<br>performance<br>requirements for<br>4Rx UEs               | Rel-10                                   | Table C.1-1, Table C.2-1<br>for single carrier and Table<br>C.1-2, Table C.2-2 for CA            | REL-13 WI LTE_4Rx_AP_DL introduced:<br>- single carrier RF requirements for bands 1, 2, 3,<br>7, 20, 39, 41, 42: see Table C.1-1<br>- CA RF requirements for CA_3A-42A and other<br>1UL CA configurations (see TS 36.101 REL-13 [2]<br>Table 7.3.1A-0a NOTE 20): see Table C.1-2<br>- single carrier performance requirements for<br>demodulation and CSI: see Table C.2-1<br>REL-14 WI LTE_4Rx_AP_DL_bands introduced:<br>- single carrier RF requirements for band 35, 40:<br>see Table C.1-1<br>- CA RF requirements for some further 1UL CA<br>configurations (see TS 36.101 REL-14 [2]): see<br>Table C.1-2<br>REL-14 WI LTE_4Rx_AP_DL_CA introduced:<br>- CA RF requirements for some 2DL/2UL CA<br>configurations (see TS 36.101 REL-14 [2]): see<br>Table C.1-2<br>- CA performance requirements for<br>demodulation/SDR and CSI: see Table C2-2<br>REL-15 WI LTE_4Rx_AP_DL_bands_R15<br>introduced:<br>- single carrier RF requirements for band 4, 34, 43,<br>66: see Table C.1-1<br>- CA RF requirements for some further 1UL CA<br>configurations (see TS 36.101 REL-15 [2]): see<br>Table C.1-2 |
| RF and<br>performance<br>requirements for<br>8Rx UEs               | Rel-13                                   | Table E.1-1, Table E.2-1<br>for single carrier and Table<br>E.1-2, Table E.2-2 for CA            | REL-15 WI LTE_8Rx_AP_DL introduced:<br>- single carrier RF requirements for band 41,<br>42,43: see Table E.1-1<br>- CA RF requirements for CA_41C, CA_42C and<br>CA_41A-42A CA configurations (see TS 36.101<br>REL-15 [2]): see Table E.1-2<br>- single carrier performance requirements for<br>demodulation and CSI: see Table E.2-1<br>- CA performance requirements for<br>demodulation/SDR: see Table E.2-2                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
| RRM and<br>demodulation<br>requirements for<br>high speed scenario |                                          | Table D.1-1, Table D.2-1                                                                         | Rel-14 WI LTE_high_speed introduced band<br>independent RRM and demodulation requirements.<br>see Table D.1-1, Table D.2-1                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| NOTE 1: Rel-13 UEs                                                 | supporting the hig<br>oadcast to all UEs |                                                                                                  | d to read the Rel-14 high speed scenario information,                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |

Table 3A.4-1: Additional requirements of other release independent features

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### Annex A (informative) : Frequency arrangement for overlapping operating bands

The following information is provided in order to assist a UE derive the DL EARFCN and UL EARFCN in a multiband environment, in which multiple overlapping operating bands may be indicated in the fields *freqBandIndicator* and *multiBandInfoList* of SIB1.

The overlapping bands, independent of release, which may be indicated in a cell are shown in Table A-1 for applicable E-UTRA bands. The DL EARFCN and UL EARFCN are derived according to TS 36.101 Rel-16 [2].

| E-UTRA<br>Operating<br>Band | Overlapping E-UTRA operating<br>bands | Duplex<br>Mode |
|-----------------------------|---------------------------------------|----------------|
| 2                           | 25                                    | FDD            |
| 3                           | 9                                     | FDD            |
| 4                           | 10, 66                                | FDD            |
| 5                           | 18, 19, 26                            | FDD            |
| 9                           | 3                                     | FDD            |
| 10                          | 4, 66                                 | FDD            |
| 12                          | 17                                    | FDD            |
| 17                          | 12                                    | FDD            |
| 18                          | 5, 26, 27                             | FDD            |
| 19                          | 5, 26                                 | FDD            |
| 25                          | 2                                     | FDD            |
| 26                          | 5, 18, 19, 27                         | FDD            |
| 27                          | 18, 26                                | FDD            |
| 33                          | 39                                    | TDD            |
| 38                          | 41                                    | TDD            |
| 39                          | 33                                    | TDD            |
| 41                          | 38                                    | TDD            |
| 66                          | 4, 10                                 | FDD            |

#### Table A-1: Overlapping bands (multi-band environments) for each E-UTRA band

### Annex B (normative): Common Requirements for bands or CA

### B.1 Purpose of annex

The purpose of Annex B is to group the requirements that are common for several bands or CA configurations in this specification and use the common tables as references.

### B.2 Common RRM requirements

# B.2.1 Common RRM requirements for a release independent band

The requirements and test cases listed in Table B.2.1-1 are specified in TS 36.133 Rel-16 [3].

| Clause                                                                                                                                                                                                                                                                                                                      | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 4 Note 1                                                                                                                                                                                                                                                                                                                    | E-UTRAN RRC_IDLE state mobility                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
| 5                                                                                                                                                                                                                                                                                                                           | E-UTRAN RRC_CONNECTED state mobility                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
| 6 Note 2                                                                                                                                                                                                                                                                                                                    | RRC Connection Mobility Control                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
| 7 Note 3                                                                                                                                                                                                                                                                                                                    | Timing and signalling characteristics                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
| 8 Note 4                                                                                                                                                                                                                                                                                                                    | UE Measurements Procedures in RRC_CONNECTED State                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
| 9 Note 5                                                                                                                                                                                                                                                                                                                    | Measurements performance requirements for UE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
| A.4 Note 1                                                                                                                                                                                                                                                                                                                  | E-UTRAN RRC_IDLE state                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
| A.5                                                                                                                                                                                                                                                                                                                         | E-UTRAN RRC CONNECTED Mode Mobility                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
| A.6 Note 2                                                                                                                                                                                                                                                                                                                  | RRC Connection Control                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
| A.7 Note 3                                                                                                                                                                                                                                                                                                                  | Timing and Signalling Characteristics                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
| A.8 Note 4                                                                                                                                                                                                                                                                                                                  | UE Measurements Procedures                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
| A.9 Note 5                                                                                                                                                                                                                                                                                                                  | Measurement Performance Requirements                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
| <ul> <li>for supporting th<br/>Tests).</li> <li>NOTE 2: All requirements ar</li> <li>for supporting th<br/>Redirection), 6.4</li> <li>NOTE 3: All requirements ar</li> <li>7.5.</li> <li>NOTE 4: All requirements ar</li> <li>for supporting the<br/>Frequency RST<br/>8.1.2.7 (E-UTR</li> </ul>                            | ad the corresponding test cases shall apply, except:<br>the corresponding band in Rel-9 and below: clause 4.3 (Minimization of Drive<br>ad the corresponding test cases shall apply, except:<br>the corresponding band in Rel-8: clauses 6.3 (RRC Connection Release with<br>4 (CSG Proximity Indication for E-UTRAN and UTRAN).<br>ad corresponding test cases shall apply, except those defined in clauses 7.4 and<br>and corresponding test cases shall apply, except:<br>the corresponding band in Rel-8: clauses 8.1.2.5 (E-UTRAN OTDOA Intra-<br>TD Measurements), 8.1.2.6 (E-UTRAN Inter-Frequency OTDOA Measurements),<br>AN E-CID Measurements).<br>ad corresponding test cases shall apply, except: |
| <ul> <li>for supporting t<br/>9.1.10 (Referent<br/>for supporting t<br/>requirement un<br/>lo≤-70dBm is ±</li> <li>for supporting t<br/>accuracy require</li> <li>NOTE 6: In addition to the exthose defined for:</li> <li>carrier aggrega</li> <li>for supporting t<br/>measurement r</li> <li>for supporting t</li> </ul> | he corresponding band in Rel-8: clauses 9.1.9 (UE Rx–Tx time difference),<br>nece Signal Time Difference).<br>he corresponding band in Rel-11 or below: the RSRP absolute accuracy<br>der normal conditions in table 9.1.2.1-1, 9.1.2.3-1, 9.1.2.5-1 and 9.1.3.1-1 when<br>6dB.<br>he corresponding band in Rel-11 or below: the interfrequency RSRP relative<br>rement under normal conditions in table 9.1.3.2-1 is $\pm$ 6dB.<br>kceptions above, all requirements and test cases in this table shall apply, except                                                                                                                                                                                        |

 Table B.2.1-1: Common RRM requirements for a release independent band

# B.2.2 Common RRM requirements for an intra-band contiguous CA configuration

The requirements and test cases listed in Table B.2.2-1 are specified in TS 36.133 Rel-16 [3].

| Clause                                                                                                                                             | Description                                                                                                                                                                                                                                                                                                                                                                                                               |
|----------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 7.1                                                                                                                                                | UE transmit timing                                                                                                                                                                                                                                                                                                                                                                                                        |
| 7.7                                                                                                                                                | SCell Activation and Deactivation Delay for E-UTRA Carrier Aggregation                                                                                                                                                                                                                                                                                                                                                    |
| 7.8                                                                                                                                                | Interruptions with Carrier Aggregation                                                                                                                                                                                                                                                                                                                                                                                    |
| 8.2                                                                                                                                                | Capabilities for Support of Event Triggering and Reporting Criteria                                                                                                                                                                                                                                                                                                                                                       |
| 8.3                                                                                                                                                | Measurements for E-UTRA carrier aggregation                                                                                                                                                                                                                                                                                                                                                                               |
| 8.4                                                                                                                                                | OTDOA RSTD Measurements for E-UTRAN carrier aggregation                                                                                                                                                                                                                                                                                                                                                                   |
| 9.1.11 Note 3                                                                                                                                      | Carrier aggregation measurement accuracy                                                                                                                                                                                                                                                                                                                                                                                  |
| 9.1.12                                                                                                                                             | Reference Signal Time Difference (RSTD) Measurement Accuracy<br>Requirements for Carrier Aggregation                                                                                                                                                                                                                                                                                                                      |
| A.7                                                                                                                                                | Timing and Signalling Characteristics                                                                                                                                                                                                                                                                                                                                                                                     |
| A.8                                                                                                                                                | UE Measurements Procedures                                                                                                                                                                                                                                                                                                                                                                                                |
| A.9 Note 3                                                                                                                                         | Measurement Performance Requirements                                                                                                                                                                                                                                                                                                                                                                                      |
| NOTE 2: In addition to the ex<br>for supporting the<br>NOTE 3: - For supporting the<br>requirement under<br>70dBm is ±6dB.<br>- For supporting the | and test cases defined for intra-band contiguous carrier aggregation shall apply.<br>Acceptions above, all requirements and test cases in this table shall apply, except:<br>the corresponding band in Rel-11 or below: requirements introduced in Rel-12.<br>The corresponding band in Rel-11 or below: the RSRP absolute accuracy<br>normal conditions in table 9.1.2.1-1, 9.1.2.3-1, 9.1.2.5-1 and 9.1.3.1-1 when lo≤- |

Table B.2.2-1: Common RRM requirements for a release independent single-band CA configuration

### B.2.3 Common RRM requirements for an intra-band noncontiguous CA with single uplink configuration

The requirements and test cases listed in Table B.2.3-1 are specified in TS 36.133 Rel-16 [3].

Table B.2.3-1: Common RRM requirements for a release independent single-band CA configuration

| Clause                                                                                                                                                                 | Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 7.1                                                                                                                                                                    | UE transmit timing                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
| 7.7                                                                                                                                                                    | SCell Activation and Deactivation Delay for E-UTRA Carrier Aggregation                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
| 7.8                                                                                                                                                                    | Interruptions with Carrier Aggregation                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
| 8.2                                                                                                                                                                    | Capabilities for Support of Event Triggering and Reporting Criteria                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
| 8.3                                                                                                                                                                    | Measurements for E-UTRA carrier aggregation                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
| 8.4                                                                                                                                                                    | OTDOA RSTD Measurements for E-UTRAN carrier aggregation                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| 9.1.11 Note 3                                                                                                                                                          | Carrier aggregation measurement accuracy                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
| 9.1.12                                                                                                                                                                 | Reference Signal Time Difference (RSTD) Measurement Accuracy<br>Requirements for Carrier Aggregation                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
| A.7                                                                                                                                                                    | Timing and Signalling Characteristics                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
| A.8                                                                                                                                                                    | UE Measurements Procedures                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| A.9 Note 3                                                                                                                                                             | Measurement Performance Requirements                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
| single uplink shall a<br>NOTE 2: In addition to the ex<br>- for supporting t<br>NOTE 3: - For supporting t<br>requirement under<br>70dBm is ±6dB<br>- for supporting t | and test cases defined for intra-band non-contiguous carrier aggregation with<br>apply.<br>Acceptions above, all requirements and test cases in this table shall apply, except:<br>the corresponding band in Rel-11 or below: requirements introduced in Rel-12.<br>he corresponding band in Rel-11 or below: the RSRP absolute accuracy<br>normal conditions in table 9.1.2.1-1, 9.1.2.3-1, 9.1.2.5-1 and 9.1.3.1-1 when lo≤-<br>he corresponding band in Rel-11 or below: the interfrequency RSRP relative<br>ement under normal conditions in table 9.1.3.2-1 is ±6dB. |

# B.2.4 Common RRM requirements for an inter-band CA with single uplink configuration

The requirements and test cases listed in Table B.2.4-1 are specified in TS 36.133 Rel-16 [3].

## Table B.2.4-1: Common RRM requirements for a release independent band-combination CA configuration

| Clause        | Description                                                                                                                             |  |
|---------------|-----------------------------------------------------------------------------------------------------------------------------------------|--|
| 7.1           | UE transmit timing                                                                                                                      |  |
| 7.7           | SCell Activation and Deactivation Delay for E-UTRA Carrier Aggregation                                                                  |  |
| 7.8           | Interruptions with Carrier Aggregation                                                                                                  |  |
| 8.2           | Capabilities for Support of Event Triggering and Reporting Criteria                                                                     |  |
| 8.3           | Measurements for E-UTRA carrier aggregation                                                                                             |  |
| 8.4           | OTDOA RSTD Measurements for E-UTRAN carrier aggregation                                                                                 |  |
| 9.1.11 Note 3 | Carrier aggregation measurement accuracy                                                                                                |  |
| 9.1.12        | Reference Signal Time Difference (RSTD) Measurement Accuracy<br>Requirements for Carrier Aggregation                                    |  |
| A.7           | Timing and Signalling Characteristics                                                                                                   |  |
| A.8           | UE Measurements Procedures                                                                                                              |  |
| A.9 Note 3    | Measurement Performance Requirements                                                                                                    |  |
| apply.        | and test cases defined for inter-band with single uplink carrier aggregation shall<br>and test cases in this table shall apply, except: |  |
|               | he corresponding band in Rel-11 or below: requirements introduced in Rel-12.                                                            |  |
|               | requirement under normal conditions in table 9.1.2.1-1, 9.1.2.3-1, 9.1.2.5-1 and 9.1.3.1-1 when lo≤-                                    |  |
|               | ne corresponding band in Rel-11 or below: the interfrequency RSRP relative ent under normal conditions in table 9.1.3.2-1 is ±6dB.      |  |

# B.2.5 Common RRM requirements for an inter-band CA with dual uplink configuration

The requirements and test cases listed in Table B.2.5-1 are specified in TS 36.133 Rel-16 [3].

| Clause                                                                                                                                                                                      | Description                                                                                                                                                          |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 7.1                                                                                                                                                                                         | UE transmit timing                                                                                                                                                   |
| 7.7                                                                                                                                                                                         | SCell Activation and Deactivation Delay for E-UTRA Carrier Aggregation                                                                                               |
| 7.8                                                                                                                                                                                         | Interruptions with Carrier Aggregation                                                                                                                               |
| 7.17                                                                                                                                                                                        | Maximum Transmission Timing Difference in Dual Connectivity                                                                                                          |
| 8.2                                                                                                                                                                                         | Capabilities for Support of Event Triggering and Reporting Criteria                                                                                                  |
| 8.3                                                                                                                                                                                         | Measurements for E-UTRA carrier aggregation                                                                                                                          |
| 8.4                                                                                                                                                                                         | OTDOA RSTD Measurements for E-UTRAN carrier aggregation                                                                                                              |
| 9.1.11 Note 3                                                                                                                                                                               | Carrier aggregation measurement accuracy                                                                                                                             |
| 9.1.12                                                                                                                                                                                      | Reference Signal Time Difference (RSTD) Measurement Accuracy<br>Requirements for Carrier Aggregation                                                                 |
| A.7                                                                                                                                                                                         | Timing and Signalling Characteristics                                                                                                                                |
| A.8                                                                                                                                                                                         | UE Measurements Procedures                                                                                                                                           |
| A.9 Note 3                                                                                                                                                                                  | Measurement Performance Requirements                                                                                                                                 |
| NOTE 1: Only requirements apply.                                                                                                                                                            | and test cases defined for inter-band with dual uplink carrier aggregation shall                                                                                     |
| - for supporting                                                                                                                                                                            | xceptions above, all requirements and test cases in this table shall apply, except:<br>the corresponding band in Rel-11 or below: requirements introduced in Rel-12. |
|                                                                                                                                                                                             | the corresponding band in Rel-11 or below: the RSRP absolute accuracy normal conditions in table 9.1.2.1-1, 9.1.2.3-1, 9.1.2.5-1 and 9.1.3.1-1 when lo≤-             |
| <ul> <li>for supporting the corresponding band in Rel-11 or below: the interfrequency RSRP relative<br/>accuracy requirement under normal conditions in table 9.1.3.2-1 is ±6dB.</li> </ul> |                                                                                                                                                                      |

## Table B.2.5-1: Common RRM requirements for a release independent band-combination CA configuration with dual uplink

### B.2.6 Common RRM requirements for an intra-band noncontiguous CA with dual uplink configuration

The requirements and test cases listed in Table B.2.6-1 are specified in TS 36.133 Rel-16 [3].

| Table B.2.6-1: Common RRM requirements for a release independent single-band CA configuration |
|-----------------------------------------------------------------------------------------------|
| with dual uplink                                                                              |

| ause                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | Description                                                                                                                                                                                                                                |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | UE transmit timing                                                                                                                                                                                                                         |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | SCell Activation and Deactivation Delay for E-UTRA Carrier Aggregation                                                                                                                                                                     |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | Interruptions with Carrier Aggregation                                                                                                                                                                                                     |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | Maximum Transmission Timing Difference in Dual Connectivity                                                                                                                                                                                |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | Capabilities for Support of Event Triggering and Reporting Criteria                                                                                                                                                                        |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | Measurements for E-UTRA carrier aggregation                                                                                                                                                                                                |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | OTDOA RSTD Measurements for E-UTRAN carrier aggregation                                                                                                                                                                                    |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | Carrier aggregation measurement accuracy                                                                                                                                                                                                   |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | Reference Signal Time Difference (RSTD) Measurement Accuracy<br>Requirements for Carrier Aggregation                                                                                                                                       |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | Timing and Signalling Characteristics                                                                                                                                                                                                      |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | UE Measurements Procedures                                                                                                                                                                                                                 |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | Measurement Performance Requirements                                                                                                                                                                                                       |
| nly requirements a<br>al uplinks shall ap                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | and test cases defined for intra-band non-contiguous carrier aggregation with oply.                                                                                                                                                        |
| for supporting the For supporting the formation of the fo | ceptions above, all requirements and test cases in this table shall apply, except:<br>ne corresponding band in Rel-11 or below: requirements introduced in Rel-12.<br>he corresponding band in Rel-11 or below: the RSRP absolute accuracy |
| dBm is ±6dB.<br>for supporting th                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | normal conditions in table 9.1.2.1-1, 9.1.2.3-1, 9.1.2.5-1 and 9.1.3.1-1 when lo≤-<br>ne corresponding band in Rel-11 or below: the interfrequency RSRP relative<br>ant under normal conditions in table 9.1.3.2-1 is $\pm 6$ dB.          |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | ly requirements a<br>al uplinks shall ap<br>addition to the ex<br>for supporting th<br>For supporting to<br>juirement under r<br>dBm is ±6dB.<br>for supporting th                                                                         |

# B.2.7 Common RRM requirements for an inter-band CA with three uplink configuration

The requirements and test cases listed in Table B.2.7-1 are specified in TS 36.133 Rel-16 [3].

## Table B.2.7-1: Common RRM requirements for a release independent band-combination CA configuration with three uplink

| CI              | lause              | Description                                                                                                                                                        |
|-----------------|--------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 7.1             |                    | UE transmit timing                                                                                                                                                 |
| 7.7             |                    | SCell Activation and Deactivation Delay for E-UTRA Carrier Aggregation                                                                                             |
| 7.8             |                    | Interruptions with Carrier Aggregation                                                                                                                             |
| 7.17            |                    | Maximum Transmission Timing Difference in Dual Connectivity                                                                                                        |
| 8.2             |                    | Capabilities for Support of Event Triggering and Reporting Criteria                                                                                                |
| 8.3             |                    | Measurements for E-UTRA carrier aggregation                                                                                                                        |
| 8.4             |                    | OTDOA RSTD Measurements for E-UTRAN carrier aggregation                                                                                                            |
| 9.1.11 Note 3   |                    | Carrier aggregation measurement accuracy                                                                                                                           |
| 9.1.12          |                    | Reference Signal Time Difference (RSTD) Measurement Accuracy<br>Requirements for Carrier Aggregation                                                               |
| de              | fined with a three | defined for three uplink carrier aggregation shall apply. There are no test cases uplink carrier aggregation configuration.                                        |
| NOTE 2: In<br>- |                    | ceptions above, all requirements and test cases in this table shall apply, except:<br>ne corresponding band in Rel-11 or below: requirements introduced in Rel-12. |
|                 | For supporting t   | he corresponding band in Rel-11 or below: the RSRP absolute accuracy normal conditions in table 9.1.2.1-1, 9.1.2.3-1, 9.1.2.5-1 and 9.1.3.1-1 when Io≤-            |
| -<br>ac         |                    | ne corresponding band in Rel-11 or below: the interfrequency RSRP relative ent under normal conditions in table 9.1.3.2-1 is ±6dB.                                 |

# B.2.8 Common RRM requirements for operating bands for UE category NB1

The requirements and test cases listed in Table B.2.8-1 are specified in TS 36.133 Rel-16 [3].

| Clause                | Description                                                     |
|-----------------------|-----------------------------------------------------------------|
| 4.6                   | Cell Selection and Reselection Requirements for UE category NB1 |
| 6.6                   | Random Access for UE category NB1                               |
| 7.23                  | Radio Link Monitoring for category NB1 UE                       |
| 8.14                  | Measurements for UE category NB1                                |
| 9.1.22                | Measurement accuracy for UE Category NB1                        |
| 9.1.23                | Power Headroom for UE category NB1                              |
| NOTE 1: Only requirem | ents and test cases defined for UE category NB1 shall apply.    |

Table B.2.8-1: Common RRM requirements for release independent operating bands for UE category NB1

# B.2.9 Common RRM requirements for operating bands for UE category 0

The requirements and test cases listed in Table B.2.9-1 are specified in TS 36.133 Rel-16 [3].

| Clause | Description                             |
|--------|-----------------------------------------|
| 7.11   | Radio Link Monitoring for UE category 0 |
| 8.5    | Measurements for UE category 0          |
| 9.1.13 | Measurement accuracy for UE category 0  |

## Table B.2.9-1: Common RRM requirements for release independent operating bands for a UE category 0

# B.2.10 Common RRM requirements for operating bands for UE category M1

The requirements and test cases listed in Table B.2.10-1 are specified in TS 36.133 Rel-16 [3].

| Clause | Description                                                     |
|--------|-----------------------------------------------------------------|
| 4.7    | Cell Selection and Re-selection Requirements for UE category M1 |
| 5.5    | E-UTRAN Handover for cat.M1 UEs in CEModeA                      |
| 5.6    | E-UTRAN Handover for cat.M1 UEs in CEModeB                      |
| 6.2.3  | Random Access Requirements for cat.M1 UEs                       |
| 6.7    | RRC Re-establishment for cat.M1 UEs                             |
| 6.8    | RRC Connection Release with Redirection for Cat-M1 UEs          |
| 7.19   | Radio Link Monitoring for UE Category M1                        |
| 7.24   | UE transmit timing for category M1                              |
| 7.27   | UE timer accuracy for category M1                               |
| 7.28   | Timing Advance for Category M1                                  |
| 8.13   | Measurements for UE category M1                                 |
| 9.1.21 | Measurement accuracy for UE category M1                         |

## Table B.2.10-1: Common RRM requirements for release independent operating bands for a UE category M1

# B.2.11 Common RRM requirements for operating bands for UE category M2

The requirements and test cases listed in Table B.2.11-1 are specified in TS 36.133 Rel-16 [3].

| Clause                                             | Description                                      |
|----------------------------------------------------|--------------------------------------------------|
| 4.7                                                | Cell Selection and Re-selection Requirements     |
| 5.5                                                | E-UTRAN Handover in CEModeA                      |
| 5.6                                                | E-UTRAN Handover in CEModeB                      |
| 6.2.3                                              | Random Access Requirements                       |
| 6.7                                                | RRC Re-establishment                             |
| 6.8                                                | RRC Connection Release with Redirection          |
| 7.19                                               | Radio Link Monitoring                            |
| 7.26                                               | UE transmit timing for category M2               |
| 7.27                                               | UE timer accuracy                                |
| 7.28                                               | Timing Advance                                   |
| 8.13.2.1 and 8.13.3.1                              | E-UTRAN intra frequency measurement requirements |
| 8.13.2.6 and 8.13.3.5                              | E-UTRAN inter frequency measurement requirements |
| 8.13.2.7 and 8.13.3.6                              | UE measurement capability                        |
| 8.13.2.5.1, 8.13.2.5.2,<br>8.13.2.5.3, 8.13.2.5.4, | E-UTRAN E-CID measurements requirements          |
| 8.13.2.5.5, 8.13.2.5.6 and 8.13.3.4                |                                                  |
| 8.16                                               | Measurements for UE Category M2                  |
| 9.1.21                                             | Measurement accuracy                             |
| 9.1.25                                             | Measurement accuracy for UE category M2          |

## Table B.2.11-1: Common RRM requirements for release independent operating bands for a UE category M2

# B.2.12 Common RRM requirements for operating bands for UE category NB2

The requirements and test cases listed in Table B.2.12-1 are specified in TS 36.133 Rel-16 [3].

| Clause                | Description                                                   |
|-----------------------|---------------------------------------------------------------|
| 4.6.1 and 4.6.2       | Cell selection and re-selection requirements                  |
| 4.8                   | UE Positioning measurement in idle state                      |
| 6.5                   | RRC Re-establishment requirements                             |
| 6.6                   | Random access requirements                                    |
| 6.9                   | RRC connection redirection to non-anchor carrier requirements |
| 7.20                  | UE transmit timing requirements                               |
| 7.21                  | UE timer accuracy requirements                                |
| 7.22                  | Timing advance requirements                                   |
| 7.23                  | Radio link monitoring requirements                            |
| 8.14                  | UE RRC_CONNECTED state measurement requirement                |
| 9.1.22                | UE measurement accuracy requirements                          |
| 9.1.23                | Power headroom requirements                                   |
| NOTE 1: Only requirem | ents and test cases defined for UE category NB2 shall apply.  |

## Table B.2.12-1: Common RRM requirements for release independent operating bands for UE category NB2

### B.2.13 Common RRM requirements for operating bands for LTEbased V2X Communication

The requirements and test cases listed in Table B.2.13-1 are specified in TS 36.133 Rel-16 [3].

| Clause | Description                                                     |
|--------|-----------------------------------------------------------------|
| 13.2   | UE Transmit Timing                                              |
| 13.3   | Initiation/Cease of SLSS Transmissions                          |
| 13.4   | Selection / Reselection of V2X Synchronization Reference Source |
| 13.5   | Autonomous Resource Selection/Reselection measurements          |
| 13.6   | Congestion Control measurements                                 |
| 13.7   | Interruption                                                    |
| 13.8   | Reliability of GNSS signal                                      |

## Table B.2.13-1: Common RRM requirements for release independent operating bands for LTE-based V2X communication

# B.2.14 Common RRM requirements for an inter-band CA with four uplink configuration

The requirements and test cases listed in Table B.2.14-1 are specified in TS 36.133 Rel-16 [3].

# Table B.2.14-1: Common RRM requirements for a release independent band-combination CA configuration with four uplink

| Clause                                           | Description                                                                                                                                                                                                                                                                                                    |
|--------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 7.1                                              | UE transmit timing                                                                                                                                                                                                                                                                                             |
| 7.7                                              | SCell Activation and Deactivation Delay for E-UTRA Carrier Aggregation                                                                                                                                                                                                                                         |
| 7.8                                              | Interruptions with Carrier Aggregation                                                                                                                                                                                                                                                                         |
| 7.17                                             | Maximum Transmission Timing Difference in Dual Connectivity                                                                                                                                                                                                                                                    |
| 8.2                                              | Capabilities for Support of Event Triggering and Reporting Criteria                                                                                                                                                                                                                                            |
| 8.3                                              | Measurements for E-UTRA carrier aggregation                                                                                                                                                                                                                                                                    |
| 8.4                                              | OTDOA RSTD Measurements for E-UTRAN carrier aggregation                                                                                                                                                                                                                                                        |
| 9.1.11 Note 3                                    | Carrier aggregation measurement accuracy                                                                                                                                                                                                                                                                       |
| 9.1.12                                           | Reference Signal Time Difference (RSTD) Measurement Accuracy<br>Requirements for Carrier Aggregation                                                                                                                                                                                                           |
| defined with a for<br>NOTE 2: In addition to the | nts defined for four uplink carrier aggregation shall apply. There are no test cases<br>our uplink carrier aggregation configuration.<br>e exceptions above, all requirements and test cases in this table shall apply, except:<br>e corresponding band in Rel-11 or below: requirements introduced in Rel-12. |
| NOTE 3: For supporting t                         | he corresponding band in Rel-11 or below: the RSRP absolute accuracy<br>ler normal conditions in table 9.1.2.1-1, 9.1.2.3-1, 9.1.2.5-1 and 9.1.3.1-1 when lo≤-                                                                                                                                                 |
|                                                  | ne corresponding band in Rel-11 or below: the interfrequency RSRP relative ement under normal conditions in table 9.1.3.2-1 is ±6dB.                                                                                                                                                                           |

# B.2.15 Common RRM requirements for operating bands for UE category 1bis

The requirements and test cases listed in Table B.2.15-1 are specified in TS 36.133 Rel-16 [3].

| Clause                                        | Description                                                     |
|-----------------------------------------------|-----------------------------------------------------------------|
| 8.1.2.5.3, 8.1.2.5.4                          | Intra-Frequency OTDOA Measurements for UE Category 1bis         |
| 8.1.2.6.5, 8.1.2.6.6,<br>8.1.2.6.7, 8.1.2.6.8 | Inter-Frequency OTDOA Measurements for UE Category 1bis         |
| 9.1.2.7, 9.1.2.8                              | Intra-frequency RSRP Accuracy Requirements for UE Category 1bis |
| 9.1.3.1, 9.1.3.4                              | Intra-frequency RSRP Accuracy Requirements for UE Category 1bis |
| 9.1.5.5                                       | Intra-frequency RSRQ Accuracy Requirements for UE Category 1bis |
| 9.1.6.5, 9.1.6.6                              | Inter-frequency RSRQ Accuracy Requirements for UE Category 1bis |
| 9.1.10.5, 9.1.10.6                            | Reference Signal Time Difference (RSTD) for UE Category 1bis    |

Table B.2.15-1: Common RRM requirements for release independent operating bands for a UE category 1bis

## B.3 Common UE performance requirements

- B.3.1 Void
- B.3.2 Common UE performance requirements and tests for different CA configurations and combination sets

The requirements and test cases listed in Table B.3.2-1 are specified in TS 36.101 Rel-16 [2].

| Clause     | Description                                                                                                                           |
|------------|---------------------------------------------------------------------------------------------------------------------------------------|
| 8.2.1.1.1  | Single-antenna port performance (FDD)                                                                                                 |
| 8.2.2.1.1  | Single-antenna port performance (TDD)                                                                                                 |
| 8.2.3.1.1  | Single-antenna port performance (TDD-FDD CA)                                                                                          |
| 8.2.1.3.1  | Open-loop spatial multiplexing performance - Minimum Requirement 2 Tx Antenna<br>Port (FDD)                                           |
| 8.2.2.3.1  | Open-loop spatial multiplexing performance - Minimum Requirement 2 Tx Antenna<br>Port (TDD)                                           |
| 8.2.3.3.1  | Open-loop spatial multiplexing performance - Minimum Requirement 2 Tx Antenna<br>Port (TDD-FDD CA)                                    |
| 8.2.1.3.1A | Open-loop spatial multiplexing performance - Soft buffer management test (FDD)                                                        |
| 8.2.2.3.1A | Open-loop spatial multiplexing performance - Soft buffer management test (TDD)                                                        |
| 8.2.3.3.1A | Open-loop spatial multiplexing performance - Soft buffer management test (TDD-FDD CA)                                                 |
| 8.2.1.4.3  | Closed-loop spatial multiplexing performance - Minimum Requirement Multi-Layer<br>Spatial Multiplexing 4 Tx Antenna Port (FDD)        |
| 8.2.2.4.3  | Closed-loop spatial multiplexing performance - Minimum Requirement Multi-Layer<br>Spatial Multiplexing 4 Tx Antenna Port (TDD)        |
| 8.2.3.4.3  | Closed-loop spatial multiplexing performance - Minimum Requirement Multi-Layer<br>Spatial Multiplexing 4 Tx Antenna Port (TDD-FDD CA) |

## Table B.3.2-1: Common UE performance requirements and tests for different CA configurations and combination sets

| 8.2.2.4.3             | Closed-loop spatial multiplexing performance - Minimum Requirement Multi-Layer                                                        |
|-----------------------|---------------------------------------------------------------------------------------------------------------------------------------|
| 0.2.2.4.0             | Spatial Multiplexing 4 Tx Antenna Port (TDD)                                                                                          |
| 8.2.3.4.3             | Closed-loop spatial multiplexing Province - Minimum Requirement Multi-Layer<br>Spatial Multiplexing 4 Tx Antenna Port (TDD-FDD CA)    |
| 8.2.1.7               | Carrier aggregation with power imbalance (FDD)                                                                                        |
| 8.2.1.8               | Intra-band non-contiguous carrier aggregation with timing offset (FDD)                                                                |
| 8.2.2.7               | Carrier aggregation with power imbalance (TDD)                                                                                        |
| 8.7.1                 | Sustained downlink data rate provided by lower layers (FDD)                                                                           |
| 8.7.2                 | Sustained downlink data rate provided by lower layers (TDD)                                                                           |
| 8.7.5                 | Sustained downlink data rate provided by lower layers (TDD-FDD CA)                                                                    |
| 8.7.12.1              | Sustained downlink data rate provided by lower layers (FDD CA in licensed bands)                                                      |
| 8.7.12.2              | Sustained downlink data rate provided by lower layers (TDD CA in licensed bands)                                                      |
| 8.7.12.3              | Sustained downlink data rate provided by lower layers (TDD-FDD CA in licensed bands)                                                  |
| 9.6.1.1               | Additional requirements for carrier aggregation - Periodic reporting on multiple cells (Cell Specific Reference symbols) (FDD)        |
| 9.6.1.2               | Additional requirements for carrier aggregation - Periodic reporting on multiple cells (Cell Specific Reference symbols) (TDD)        |
| 9.6.1.3               | Additional requirements for carrier aggregation - Periodic reporting on multiple cells (Cell Specific Reference symbols) (TDD-FDD CA) |
|                       | lity of requirements for different CA configurations and bandwidth combination sets is specified in 3 and 9.1.1.2.                    |
| NOTE 2: The test cove | rage for different number of component carriers is defined in 8.1.2.4.                                                                |

#### B.3.3 Void

B.3.4 Void

# B.3.5 Common UE performance requirements and tests for operating bands for UE category 0

The requirements and test cases listed in Table B.3.5-1 are specified in TS 36.101 Rel-16 [2].

## Table B.3.5-1: Common UE performance requirements and tests for release independent operating bands for UE category 0

| Clause | Description                             |
|--------|-----------------------------------------|
| 8.9    | Demodulation (single receiver antenna)  |
| 9.7    | CSI reporting (Single receiver antenna) |

### B.3.6 Common UE performance requirements and tests for operating bands for UE category M1 and M2

The requirements and test cases listed in Table B.3.6-1 are specified in TS 36.101 Rel-16 [2].

## Table B.3.6-1: Common UE performance requirements and tests for release independent operating bands for UE category M1 and M2

| Clause | Description                                        |
|--------|----------------------------------------------------|
| 8.11   | Demodulation (UE supporting coverage enhancement)  |
| 9.8    | CSI reporting (UE supporting coverage enhancement) |

### B.3.7 Common UE performance requirements and tests for operating bands for UE category NB1 and NB2

The requirements and test cases listed in Table B.3.7-1 are specified in TS 36.101 Rel-16 [2].

# Table B.3.7-1: Common UE performance requirements and tests for release independent operating bands for UE category NB1 and NB2

| Clause | Description                    |
|--------|--------------------------------|
| 8.12   | Demodulation of Narrowband IoT |

### B.3.8 Common UE performance requirements and tests for operating bands for UE category 1bis

The requirements and test cases listed in Table B.3.8-1 are specified in TS 36.101 Rel-16 [2].

# Table B.3.8-1: Common UE performance requirements and tests for release independent operating bands for UE category 1bis

| Clause                             | Description                             |
|------------------------------------|-----------------------------------------|
| 9.7.1.3, 9.7.1.4, 9.7.2.3, 9.7.2.4 | CSI reporting (Single receiver antenna) |

### B.4 Common UE RF requirements

# B.4.1 Common UE RF requirements for a release independent band

The requirements and test cases listed in Table B.4.1-1 are specified in TS 36.101 Rel-16 [2].

| Clause | Description                        |
|--------|------------------------------------|
| 5.5    | Operating bands                    |
| 5.6    | Channel bandwidth                  |
| 5.7    | Channel arrangement                |
| 6.2    | Transmit power                     |
| 6.3    | Output power dynamics              |
| 6.5    | Transmit signal quality            |
| 6.6    | Output RF spectrum emissions       |
| 6.7    | Transmit intermodulation           |
| 7.3    | Reference sensitivity power level  |
| 7.4    | Maximum input level                |
| 7.5    | Adjacent Channel Selectivity (ACS) |
| 7.6    | Blocking characteristics           |
| 7.7    | Spurious response                  |
| 7.8    | Intermodulation characteristics    |
| 7.9    | RX spurious emissions              |

Table B.4.1-1: Common UE RF requirements for a release independent band

# B.4.2 Common UE RF requirements for an intra-band contiguous CA configuration

The requirements and test cases listed in Table B.4.2-1 are specified in TS 36.101 Rel-16 [2].

| Clause     | Description                                                     |
|------------|-----------------------------------------------------------------|
| 5.5A       | Operating bands for CA                                          |
| 5.6A       | Channel bandwidths per operating band for CA                    |
| 5.7.1A     | Channel spacing for CA                                          |
| 5.7.2A     | Channel raster for CA                                           |
| 5.7.4A     | TX–RX frequency separation for CA                               |
| 6.2.2A     | UE maximum output power for CA                                  |
| 6.2.3A     | UE maximum output power for modulation/channel bandwidth for CA |
| 6.2.4A     | UE maximum output power with additional requirements for CA     |
| 6.2.5A     | Configured transmitted power for CA                             |
| 6.3.2A     | UE Minimum utput power for CA                                   |
| 6.3.3A     | UE Trasnsmit OFF power for CA                                   |
| 6.3.4A     | ON/OFF time mask for CA                                         |
| 6.3.5A     | Power control for CA                                            |
| 6.5.1A     | Frequency error for CA                                          |
| 6.5.2A     | Transmit modulation quality for CA                              |
| 6.6.1A     | Occupied bandwidth for CA                                       |
| 6.6.2.1A   | Spectrum emission mask for CA                                   |
| 6.6.2.2A   | Additional Spectrum Emission mask for CA                        |
| 6.6.2.3.2A | UTRA ACLR for CA                                                |
| 6.6.2.3.3A | E-UTRA ACLR for CA                                              |
| 6.6.3.1A   | Minimum requirements for CA                                     |
| 6.6.3.2A   | Spurious emission band UE co-existence for CA                   |
| 6.6.3.3A   | Additional spurious emissions for CA                            |
| 6.7.1A     | Minimum requirement for CA                                      |
| 7.3.1A     | Reference sensitivity for CA                                    |
| 7.4.1A     | Maximum input level for CA                                      |
| 7.5.1A     | Adjacent Channel Selectivity (ACS) for CA                       |
| 7.6.1.1A   | In-band blocking for CA                                         |
| 7.6.2.1A   | Out-of-band blocking for CA                                     |
| 7.6.3.1A   | Narrow band blocking for CA                                     |
| 7.7.1A     | Spurious response for CA                                        |
| 7.8.1A     | Wideband intermodulation for CA                                 |
| 7.10.1A    | Receiver response for CA                                        |

# Table B.4.2-1: Common UE RF requirements for a release independent intra-band contiguous CA configuration

### B.4.3 Common UE RF requirements for an single uplink interband CA configuration

The requirements and test cases listed in Table B.4.3-1 are specified in TS 36.101 Rel-16 [2].

| Clause   | Description                                                     |
|----------|-----------------------------------------------------------------|
| 5.5A     | Operating bands for CA                                          |
| 5.6A.1   | Channel bandwidths per operating band for CA                    |
| 5.7.2A   | Channel raster for CA                                           |
| 6.2.2A   | UE maximum output power for CA                                  |
| 6.2.3A   | UE maximum output power for modulation/channel bandwidth for CA |
| 6.2.5    | Configured transmitted power                                    |
| 7.3.1A   | Reference sensitivity for CA                                    |
| 7.4.1A   | Maximum input level for CA                                      |
| 7.5.1A   | Adjacent Channel Selectivity (ACS) for CA                       |
| 7.6.1.1A | In-band blocking for CA                                         |
| 7.6.2.1A | Out-of-band blocking for CA                                     |
| 7.6.3.1A | Narrow band blocking for CA                                     |
| 7.7.1A   | Spurious response for CA                                        |
| 7.8.1A   | Wideband intermodulation for CA                                 |

Table B.4.3-1: Common UE RF requirements for a release independent inter-band CA configuration

# B.4.4 Common UE RF requirements for an inter-band CA configuration including an operating band without uplink band

The requirements and test cases listed in Table B.4.4-1 are specified in TS 36.101 Rel-16 [2].

## Table B.4.4-1: Common UE RF requirements for a release independent inter-band CA configuration including an operating band without uplink band

| Clause   | Description                                                     |
|----------|-----------------------------------------------------------------|
| 5.5      | Operating bands                                                 |
| 5.5A     | Operating bands for CA                                          |
| 5.6A.1   | Channel bandwidths per operating band for CA                    |
| 5.7      | Channel arrangement                                             |
| 6.2.2A   | UE maximum output power for CA                                  |
| 6.2.3A   | UE maximum output power for modulation/channel bandwidth for CA |
| 6.2.5    | Configured transmitted power                                    |
| 7.3.1A   | Reference sensitivity for CA                                    |
| 7.4.1A   | Maximum input level for CA                                      |
| 7.5.1A   | Adjacent Channel Selectivity (ACS) for CA                       |
| 7.6.1.1A | In-band blocking for CA                                         |
| 7.6.2.1A | Out-of-band blocking for CA                                     |
| 7.6.3.1A | Narrow band blocking for CA                                     |
| 7.7.1A   | Spurious response for CA                                        |
| 7.8.1A   | Wideband intermodulation for CA                                 |

# B.4.5 Common UE RF requirements for a single uplink intra-band non-contiguous CA configuration

The requirements and test cases listed in Table B.4.5-1 are specified in TS 36.101 Rel-16 [2].

| Clause   | Description                                                     |
|----------|-----------------------------------------------------------------|
| 5.5A     | Operating bands for CA                                          |
| 5.6A1    | Channel bandwidths per operating band for CA                    |
| 5.7.2A   | Channel raster for CA                                           |
| 6.2.2A   | UE maximum output power for CA                                  |
| 6.2.3A   | UE maximum output power for modulation/channel bandwidth for CA |
| 7.3.1A   | Reference sensitivity for CA                                    |
| 7.4.1A   | Maximum input level for CA                                      |
| 7.5.1A   | Adjacent Channel Selectivity (ACS) for CA                       |
| 7.6.1.1A | In-band blocking for CA                                         |
| 7.6.2.1A | Out-of-band blocking for CA                                     |
| 7.6.3.1A | Narrow band blocking for CA                                     |
| 7.7.1A   | Spurious response for CA                                        |
| 7.8.1A   | Wideband intermodulation for CA                                 |

#### Table B.4.5-1: Common UE RF requirements for a release independent single uplink intra-band noncontiguous CA configuration

# B.4.6 Common UE RF requirements for dual uplink inter-band CA configuration

The requirements and test cases listed in Table B.4.6-1 are specified in TS 36.101 Rel-16 [2].

## Table B.4.6-1: Common UE RF requirements for a release independent dual uplink inter-band CA configuration

| Clause   | Description                                   |  |
|----------|-----------------------------------------------|--|
| 5.6A.1   | Channel bandwidths per operating band for CA  |  |
| 6.2.2A   | UE maximum output power for CA                |  |
| 6.2.5A   | Configured transmitted Power for CA           |  |
| 6.3.2A   | UE Minimum output power for CA                |  |
| 6.3.3A   | UE Transmit OFF power for CA                  |  |
| 6.3.4A   | ON/OFF time mask for CA                       |  |
| 6.3.5A   | Power control for CA                          |  |
| 6.5.1A   | Frequency error for CA                        |  |
| 6.5.2A   | Transmit modulation quality for CA            |  |
| 6.6.1A   | Occupied bandwidth for CA                     |  |
| 6.6.2.1A | Spectrum emission mask for CA                 |  |
| 6.6.2.3  | Adjacent Channel Leakage Ratio                |  |
| 6.6.3.1A | Spurious Emission for CA                      |  |
| 6.6.3.2A | Spurious emission band UE co-existence for CA |  |
| 6.7.1A   | Transmit intermodulation for CA               |  |
| 7.3.1A   | Reference sensitivity for CA                  |  |
| 7.6.2.1A | Out-of-band blocking for CA                   |  |
| 7.7.1A   | Spurious response for CA                      |  |

# B.4.7 Common UE RF requirements for dual uplink intra-band non-contiguous CA configuration

The requirements and test cases listed in Table B.4.7-1 are specified in TS 36.101 Rel-16 [2].

| Clause   | Description                                                       |
|----------|-------------------------------------------------------------------|
| 5.6A.1   | Channel bandwidths per operating band for CA                      |
| 6.2.2A   | UE maximum output power for CA                                    |
| 6.2.3A   | UE Maximum Output power for modulation / channel bandwidth for CA |
| 6.2.5A   | Configured transmitted Power for CA                               |
| 6.3.2A   | UE Minimum output power for CA                                    |
| 6.3.3A   | UE Transmit OFF power for CA                                      |
| 6.3.4A   | ON/OFF time mask for CA                                           |
| 6.3.5A   | Power control for CA                                              |
| 6.5.1A   | Frequency error for CA                                            |
| 6.5.2A   | Transmit modulation quality for CA                                |
| 6.6.1A   | Occupied bandwidth for CA                                         |
| 6.6.2.1A | Spectrum emission mask for CA                                     |
| 6.6.2.3  | Adjacent Channel Leakage Ratio                                    |
| 6.6.3.1A | Spurious Emission for CA                                          |
| 6.6.3.2A | Spurious emission band UE co-existence for CA                     |
| 7.3.1A   | Reference sensitivity for CA                                      |
| 7.6.2.1A | Out-of-band blocking for CA                                       |
| 7.7.1A   | Spurious response for CA                                          |

#### Table B.4.7-1: Common UE RF requirements for a release independent dual uplink intra-band noncontiguous CA configuration

# B.4.8 Common UE RF requirements for three uplink inter-band CA configuration

The requirements and test cases listed in Table B.4.8-1 are specified in TS 36.101 Rel-16 [2].

# Table B.4.8-1: Common UE RF requirements for a release independent three uplink inter-band CA configuration

| Clause   | Description                                   |  |
|----------|-----------------------------------------------|--|
| 5.6A.1   | Channel bandwidths per operating band for CA  |  |
| 6.2.2A   | UE maximum output power for CA                |  |
| 6.2.5A   | Configured transmitted Power for CA           |  |
| 6.3.2A   | UE Minimum output power for CA                |  |
| 6.3.3A   | UE Transmit OFF power for CA                  |  |
| 6.3.4A   | ON/OFF time mask for CA                       |  |
| 6.3.5A   | Power control for CA                          |  |
| 6.5.1A   | Frequency error for CA                        |  |
| 6.5.2A   | Transmit modulation quality for CA            |  |
| 6.6.1A   | Occupied bandwidth for CA                     |  |
| 6.6.2.1A | Spectrum emission mask for CA                 |  |
| 6.6.2.3  | Adjacent Channel Leakage Ratio                |  |
| 6.6.3.1A | Spurious Emission for CA                      |  |
| 6.6.3.2A | Spurious emission band UE co-existence for CA |  |
| 6.7.1A   | Transmit intermodulation for CA               |  |
| 7.3.1A   | Reference sensitivity for CA                  |  |
| 7.6.2.1A | Out-of-band blocking for CA                   |  |
| 7.7.1A   | Spurious response for CA                      |  |

# B.4.9 Common UE RF requirements for operating bands for UE category NB1 and NB2

The requirements and test cases listed in Table B.4.9-1 are specified in TS 36.101 Rel-16 [2].

## Table B.4.9-1: Common UE RF requirements for release independent operating bands for UE category NB1

| Clause   | Description                                              |
|----------|----------------------------------------------------------|
| 5.5F     | Operating bands for category NB1 and NB2                 |
| 5.6F     | Channel bandwidth for category NB1 and NB2               |
| 5.7.1F   | Channel spacing for category NB1 and NB2                 |
| 5.7.2F   | Channel raster for category NB1 and NB2                  |
| 5.7.3F   | Carrier frequency and EARFCN for category NB1 and NB2    |
| 5.7.4F   | TX–RX frequency separation for category NB1 and NB2      |
| 6.2.2F   | UE maximum output power for category NB1 and NB2         |
| 6.2.3F   | UE maximum output power for category NB1 and NB2         |
| 6.2.5F   | Configured transmitted Power for category NB1 and NB2    |
| 6.3.2F   | UE Minimum output power for category NB1 and NB2         |
| 6.3.3F   | Transmit OFF power for category NB1 and NB2              |
| 6.3.4F   | ON/OFF time mask for category NB1 and NB2                |
| 6.3.5F   | Power Control for category NB1 and NB2                   |
| 6.5.1F   | Frequency error for UE category NB1 and NB2              |
| 6.5.2F   | Transmit modulation quality for Category NB1 and NB2     |
| 6.6.1F   | Occupied bandwidth for category NB1 and NB2              |
| 6.6.2F   | Out of band emission for category NB1 and NB2            |
| 6.6.3F   | Spurious emission for category NB1 and NB2               |
| 6.7.1F   | Transmission intermodulation for category NB1 and NB2    |
| 7.3.1F   | Reference sensitivity for UE category NB1 and NB2        |
| 7.4.1F   | Maximum input level for category NB1 and NB2             |
| 7.5.1F   | Adjacent channel selectivity for category NB1 and NB2    |
| 7.6.1.1F | In-band blocking for category NB1 and NB2                |
| 7.6.2.1F | Out-of-band blocking for category NB1 and NB2            |
| 7.7.1F   | Spurious response for category NB1 and NB2               |
| 7.8.1F   | Intermodulation characteristics for category NB1 and NB2 |

# B.4.10 Common UE RF requirements for operating bands for UE category 0

The requirements and test cases listed in Table B.4.10-1 are specified in TS 36.101 Rel-16 [2].

## Table B.4.10-1: Common UE RF requirements for release independent operating bands for UE category 0

| Clause | Description                                   |
|--------|-----------------------------------------------|
| 5.5E   | Operating bands for UE category 0             |
| 7.3.1E | Minimum requirements (QPSK) for UE category 0 |

# B.4.11 Common UE RF requirements for operating bands for UE category M1 and M2

The requirements and test cases listed in Table B.4.11-1 are specified in TS 36.101 Rel-16 [2].

## Table B.4.11-1: Common UE RF requirements for release independent operating bands for UE category M1 and M2

| Clause  | Description                                                                       |
|---------|-----------------------------------------------------------------------------------|
| 5.5E    | Operating bands for UE category 0, UE category M1 and M2 and UE category 1bis     |
| 5.7.4E  | TX–RX frequency separation for category M1 and M2                                 |
| 6.2.2E  | UE maximum output power for Category M1 and M2 UE                                 |
| 6.2.3E  | UE maximum output power for modulation / channel bandwidth for category M1 and M2 |
| 6.2.4E  | UE maximum output power with additional requirements for category M1 and M2 UE    |
| 6.3.5E  | Power control for category M1 and M2                                              |
| 6.5.1E  | Frequency error for UE category M1 and M2                                         |
| 6.5.2E  | Transmit modulation quality for category M1 and M2                                |
| 6.6.3.2 | Spurious emission band UE co-existence                                            |
| 7.3.1E  | Minimum requirements (QPSK) for UE category 0, M1, M2 and 1bis                    |
| 7.5     | Adjacent Channel Selectivity (ACS)                                                |
| 7.6.1   | In-band blocking                                                                  |
| 7.6.2   | Out-of-band blocking                                                              |
| 7.6.3   | Narrow band blocking                                                              |
| 7.8.1   | Wide band intermodulation                                                         |

### B.4.12 Common UE RF requirements for operating bands for LTEbased V2X operation

The requirements and test cases listed in Table B.4.12-1 are specified in TS 36.101 Rel-16 [2].

| Clause   | Description                                                                                                  |
|----------|--------------------------------------------------------------------------------------------------------------|
| 5.5G     | Operating bands for V2X Communication                                                                        |
| 5.6G     | Channel bandwidth for V2X Communication                                                                      |
| 6.2.2G   | UE maximum output power for V2X Commincation                                                                 |
| 6.2.3G   | UE maximum output power for modulation / channel bandwidth for V2X Communication                             |
| 6.2.4G   | UE maximum output power with additional requirements for V2X<br>Communication                                |
| 6.2.5G   | Configured transmitted power for V2X Communication                                                           |
| 6.3.2G   | UE Minimum output power for V2X Communication                                                                |
| 6.3.3G   | Transmit OFF power for V2X Communication                                                                     |
| 6.3.4G   | ON/OFF time mask for V2X Communication                                                                       |
| 6.3.5G   | Power Control for V2X Communication                                                                          |
| 6.5.1G   | Frequency error for V2X Communication                                                                        |
| 6.5.2G   | Transmit modulation quality for V2X Communication                                                            |
| 6.6.3G   | Spurious emission for V2X Communication                                                                      |
| 7.3.1G   | REFSENS requirements (QPSK) for V2X communication                                                            |
| 7.4.1G   | Maximum input level for V2X communication                                                                    |
| 7.5.1G   | Adjacent Channel Selectivity (ACS) for V2X communication                                                     |
| 7.6.1.1G | In-band blocking for V2X communication                                                                       |
| 7.6.2.1G | Out-of-band blocking for V2X communication                                                                   |
| 7.7.1G   | Spurious response for V2X communication                                                                      |
| 7.8.1G   | Intermodulation characteristics for V2X communication                                                        |
| 7.10.1G  | Receiver image for V2X communication.<br>(It is only appliacable for intra-band multi-carrier V2X operation) |

# Table B.4.12-1: Common UE RF requirements for release independent operating bands for V2X operation

# B.4.13 Common UE RF requirements for four uplink inter-band CA configuration

The requirements and test cases listed in Table B.4.13-1 are specified in TS 36.101 Rel-16 [2].

| Clause   | Description                                   |  |
|----------|-----------------------------------------------|--|
| 5.6A.1   | Channel bandwidths per operating band for CA  |  |
| 6.2.2A   | UE maximum output power for CA                |  |
| 6.2.5A   | Configured transmitted Power for CA           |  |
| 6.3.2A   | UE Minimum output power for CA                |  |
| 6.3.3A   | UE Transmit OFF power for CA                  |  |
| 6.3.4A   | ON/OFF time mask for CA                       |  |
| 6.3.5A   | Power control for CA                          |  |
| 6.5.1A   | Frequency error for CA                        |  |
| 6.5.2A   | Transmit modulation quality for CA            |  |
| 6.6.1A   | Occupied bandwidth for CA                     |  |
| 6.6.2.1A | Spectrum emission mask for CA                 |  |
| 6.6.2.3  | Adjacent Channel Leakage Ratio                |  |
| 6.6.3.1A | Spurious Emission for CA                      |  |
| 6.6.3.2A | Spurious emission band UE co-existence for CA |  |
| 6.7.1A   | Transmit intermodulation for CA               |  |
| 7.3.1A   | Reference sensitivity for CA                  |  |
| 7.6.2.1A | Out-of-band blocking for CA                   |  |
| 7.7.1A   | Spurious response for CA                      |  |

## Table B.4.13-1: Common UE RF requirements for a release independent four uplink inter-band CA configuration

# B.4.14 Common UE RF requirements for operating bands for UE category 1bis

The requirements and test cases listed in Table B.4.14-1 are specified in TS 36.101 Rel-16 [2].

# Table B.4.14-1: Common UE RF requirements for release independent operating bands for UE category 1bis

| Clause | Description                                      |
|--------|--------------------------------------------------|
| 5.5E   | Operating bands for UE category 1bis             |
| 7.3.1E | Minimum requirements (QPSK) for UE category 1bis |

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### Annex C (normative): Common Requirements for 4Rx

### C.1 Common UE RF requirements

The requirements and test cases listed in Table C.1-1 are specified in TS 36.101 Rel-16 [2].

| Clause | Description                       |
|--------|-----------------------------------|
| 7.3    | Reference sensitivity power level |
| 7.4    | Maximum input level               |
| 7.5    | Adjacent channel selectivity      |
| 7.6    | Blocking characteristics          |
| 7.7    | Spurious response                 |
| 7.8    | Intermodulation characteristics   |
| 7.9    | Spurious emissions                |

#### Table C.1-1: RF requirements for 4Rx for single band

The requirements and test cases listed in Table C.1-2 are specified in TS 36.101 Rel-16 [2].

#### Table C.1-2: RF requirements for 4Rx for CA

| Clause   | Description                         |
|----------|-------------------------------------|
| 6.2.5A   | Configured maximum output power     |
| 7.3.1A   | Reference sensitivity for CA        |
| 7.4.1A   | Maximum input level for CA          |
| 7.5.1A   | Adjacent Channel Selectivity for CA |
| 7.6.1.1A | In-band blocking for CA             |
| 7.6.2.1A | Out-of-band blocking for CA         |
| 7.6.3.1A | Narrow band blocking for CA         |
| 7.7.1A   | Spurious response for CA            |
| 7.8.1A   | Wideband intermodulation for CA     |

## C.2 Common UE demodulation and CSI requirements

The requirements and test cases listed in Table C.2-1 are specified in TS 36.101 Rel-16 [2].

#### Table C.2-1: UE Demodulation and CSI requirements for 4Rx for single band

| Clause        | Description              |
|---------------|--------------------------|
| 8.10.1 (NOTE) | PDSCH                    |
| 8.10.2        | PDCCH/PCFICH             |
| 8.10.3        | PHICH                    |
| 8.10.4        | ePDCCH                   |
| 9.9           | CSI reporting for 4Rx UE |

The requirements and test cases listed in Table C.2-2 are specified in TS 36.101 Rel-16 [2].

| Clause    | Description                      |
|-----------|----------------------------------|
| 8.13      | Demodulation of PDSCH CA         |
| 8.7.9     | SDR of FDD CA (4 layer MIMO)     |
| 8.7.10    | SDR of TDD CA (4 layer MIMO)     |
| 8.7.11    | SDR of TDD-FDD CA (4 layer MIMO) |
| 8.7.13    | SDR of FDD DC (4 layer MIMO)     |
| 8.7.14    | SDR of TDD DC (4 layer MIMO)     |
| 8.7.15    | SDR of TDD-FDD DC (4 layer MIMO) |
| 9.1.1.4.2 | CSI CA tests for 4Rx UE          |

Table C.2-2: UE Demodulation and CSI requirements for 4Rx CA/DC

### Annex D (normative): Common Requirements for performance enhancements for high speed scenario

# D.1 Common RRM requirements for performance enhancements for high speed scenario

The requirements and test cases listed in Table D.1-1 are specified in TS 36.133 Rel-16 [3].

#### Table D.1-1: RRM requirements for performance enhancements for high speed scenario

| Clause  | Description                                                    |
|---------|----------------------------------------------------------------|
| 4.2     | Cell Re-selection                                              |
| 8.1.2.2 | E-UTRAN intra frequency measurements in RRC<br>connected state |

# D.2 Common UE demodulation requirements for performance enhancements for high speed scenario

The requirements and test cases listed in Table D.2-1 are specified in TS 36.101 Rel-16 [2].

#### Table D.2-1: UE Demodulation requirements for performance enhancements for high speed scenario

| Clause  | Description |
|---------|-------------|
| 8.2.1.9 | FDD PDSCH   |
| 8.2.2.9 | TDD PDSCH   |

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### Annex E (normative): Common Requirements for 8Rx

### E.1 Common UE RF requirements

The requirements and test cases listed in Table E.1-1 are specified in TS 36.101 [2].

#### Table E.1-1: RF requirements for 8Rx

| Clause | Description                       |
|--------|-----------------------------------|
| 7.3    | Reference sensitivity power level |

The requirements and test cases listed in Table E.1-2 are specified in TS 36.101 [2].

#### Table E.1-2: RF requirements for 8Rx for CA

|     | Clause | Description                  |
|-----|--------|------------------------------|
| 7.3 | 3.1A   | Reference sensitivity for CA |

### E.2 Common UE demodulation and CSI requirements

The requirements and test cases listed in Table E.2-1 and Table E.2-2 are specified in TS 36.101 [2].

#### Table E.2-1: UE Demodulation and CSI requirements for 8Rx for single band

| Clause | Description              |
|--------|--------------------------|
| 8.14.1 | PDSCH                    |
| 9.12   | CSI reporting for 8Rx UE |

#### Table E.2-2: UE Demodulation and CSI requirements for 8Rx CA/DC

| Clause | Description                  |
|--------|------------------------------|
| 8.14.2 | Demodulation of PDSCH CA     |
| 8.7.17 | SDR of TDD CA (8 layer MIMO) |

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Annex F (informative): Change history

Table F.1: Change History

| Date    | Meeting        | TDoc                   | CR Re | v Cat | Subject/Comment                                                                                             | New<br>version   |
|---------|----------------|------------------------|-------|-------|-------------------------------------------------------------------------------------------------------------|------------------|
| 11-2009 | RP#46          | RP-091141              |       |       | TS36.307 V0.1.0 approved by RAN (Originally in R4-095022)                                                   | 0.1.0            |
| 02-2010 | R4#54          | R4-100419              |       |       | For release 9 version, replace sections 4 to 6 as 'Void' and add a new void section as section 7.           | 0.2.0            |
| 03-2010 | RP#47          | RP-100162              |       |       | TS36.307 v1.0.0 for approval                                                                                | 1.0.0            |
| 03-2010 | RP#47          | RP-100162              |       |       | Approved by RAN                                                                                             | 9.0.0            |
| 09-2010 | RP-49          | RP-100927              | 2     |       | CR LTE_TDD_2600_US spectrum band definition additions to TS 36.307 V900                                     | 9.1.0            |
|         |                |                        |       |       | Correction of section numbering                                                                             | 9.1.1            |
| 12-2010 | RP-50          | RP-101356              | 008   |       | Band 42 and 43 parameters for UMTS/LTE 3500 (TDD) for TS 36.307                                             | 9.2.0            |
| 12-2010 | RP-50          |                        | 005   |       | Introduction of L-band in TS 36.307                                                                         | 9.2.0            |
| 12-2010 | RP-50          | RP-101344              | 016   |       | CR creating the rel-10 of the 36.307 specification                                                          | 9.3.0            |
| 12-2010 | RP-50          | RP-101356              | 012   |       | Band 42 and 43 parameters for UMTS/LTE 3500 (TDD) for TS 36.307                                             | 9.3.0            |
| 12-2010 | RP-50          |                        |       |       | Raised to Rel-10 with no technical change                                                                   | 10.0.0           |
| 01-2011 |                |                        |       |       | Correction to history table                                                                                 | 10.0.1           |
|         | RP-52          | RP-110804              |       |       | Add Expanded 1900 MHz Band (Band 25) in 36.307                                                              | 10.1.0           |
| 06-2011 | RP-52          | RP-110812              |       |       | Add 2GHz S-Band (Band 23) in 36.307 (Rel 10)                                                                | 10.1.0           |
| 09-2011 | RP-53          | RP-111255              |       |       | Add Band 22 for LTE/UMTS 3500 (FDD) to TS 36.307                                                            | 10.2.0           |
| 03-2012 | RP-55          | RP-120305              |       |       | Introduction of Band 26/XXVI to TS 36.307                                                                   | 11.0.0           |
|         | RP-56<br>RP-56 | RP-120789<br>RP-120793 |       |       | Introduction of CA_1A-19A to TS 36.307<br>Introduction of APAC700(FDD) into TS 36.307 Rel-11                | 11.1.0<br>11.1.0 |
| 2012-06 |                | RP-120793<br>RP-120793 |       |       | Introduction of APAC700(FDD) into TS 36.307 Rel-11<br>Introduction of APAC700(TDD) into TS 36.307 Rel-11    | 11.1.0           |
|         | RP-56          | RP-120791              |       |       | Introduction of e850_LB (Band 27) to TS 36.307                                                              | 11.1.0           |
|         | RP-57          | RP-121335              |       |       | Introduction of CA_1A-21A to TS 36.307                                                                      | 11.2.0           |
| 2012-09 | RP-57          |                        | 070r1 |       | Relation between EARFCN for overlapping bands with multiple FBI indication                                  | 11.2.0           |
| 2012-09 | RP-57          | RP-121338              | 072   |       | 36.307 CR for LTE_CA_B7                                                                                     | 11.2.0           |
|         | RP-57          |                        | 073   |       | TS 36.307 CR for CA_38                                                                                      | 11.2.0           |
|         | RP-57          |                        | 074   |       | Introduction of CA_B7_B20 in 36.307                                                                         | 11.2.0           |
| 2012-09 | RP-57          | RP-121329              | 075   |       | Introduction of CA band combination Band3 + Band5 to TS 36.307                                              | 11.2.0           |
|         | RP-57          | RP-121331              |       |       | Introduction of CA_3A-20A to TS 36.307                                                                      | 11.2.0           |
| 2012-09 | RP-57          | RP-121334              | 077   |       | Add requirements for inter-band CA of B_1-18 in TS36.307                                                    | 11.2.0           |
|         | RP-57          | RP-121333              |       |       | Introduction of CA_8_20 RF requirements into TS36.307                                                       | 11.2.0           |
|         | RP-57          | RP-121324              |       |       | Introduction of CA_B3_B7 in 36.307                                                                          | 11.2.0           |
| 2012-12 | RP-58          | RP-121890              |       |       | Introduction of CA_4A-5A into 36.307                                                                        | 11.3.0           |
| 2012-12 | RP-58          | RP-121889              | 088   |       | Introduction of CA band combination Band4 + Band13 to TS 36.307<br>(Rel-11)                                 | 11.3.0           |
| 2012-12 | RP-58          | RP-121896              | 091   |       | Introduction of Band 5 + Band 17 inter-band CA configuration into 36.307                                    | 11.3.0           |
| 2012-12 | RP-58          | RP-121884              | 092   |       | Introduction of CA_3A-8A to TS 36.307                                                                       | 11.3.0           |
| 2012-12 | RP-58          | RP-121894              | 093   |       | Introduction of CA_B5_B12 in 36.307                                                                         | 11.3.0           |
| 2012-12 |                |                        | 095   |       | Introduction of CA_4-12 into TS 36.307 (Rel-11)                                                             | 11.3.0           |
| 2012-12 | RP-58          | RP-121882              | 097   |       | [Rel-11] Introduction of inter-band CA_11-18 into TS36.307                                                  | 11.3.0           |
| 2012-12 | RP-58          | RP-121861              | 099   |       | Release-independent implementation of carrier aggregation configuration CA_4-7                              | 11.3.0           |
| 2012-12 | RP-58          | RP-121901              | 101   |       | Introduction of Band 29                                                                                     | 11.3.0           |
| 2012-12 | RP-58          | RP-121718              | 0102  |       | Introduction of CA band combination Band2 + Band17 to TS 36.307<br>(Rel-11)                                 | 11.3.0           |
| 2012-12 | RP-58          | RP-121720              | 0104  |       | Introduction of CA band combination Band4 + Band17 to TS 36.307<br>(Rel-11)                                 | 11.3.0           |
| 2013-06 | RP-60          | RP-130771              | 108   |       | Introduction of CA 1+8 into TS36.307(Rel-12)                                                                | 12.0.0           |
| 2013-06 | RP-60          |                        | 111   |       | Introduction of LTE Advanced inter-band Carrier Aggregation of<br>Band 3 and Band 28 to TS 36.307 Rel-12    | 12.0.0           |
| 2013-06 | RP-60          | RP-130785              | 114   |       | Introduction of LTE Advanced inter-band Carrier Aggregation of<br>Band 23 and Band 29 to TS 36.307 (Rel-12) | 12.0.0           |
| 2013-06 | RP-60          | RP-130779              | 117   |       | Introduction of LTE Advanced inter-band Carrier Aggregation of                                              | 12.0.0           |
| 2013-06 | DD 60          | RP-130777              | 120   |       | Band 3 and Band 26 to TS 36.307 (Rel-12)                                                                    | 12.0.0           |
|         | RP-60<br>RP-60 | RP-130777<br>RP-130783 |       |       | Introduction of CA_3A-19A to TS 36.307<br>Introduction of CA_19A-21A to TS 36.307                           | 12.0.0           |
|         | RP-60<br>RP-60 | RP-130783<br>RP-130775 |       |       | Introduction of CA_19A-21A to TS 36.307                                                                     | 12.0.0           |
|         | RP-60          |                        | 136   |       | Introduction of Band 30                                                                                     | 12.0.0           |
|         | RP-60          | RP-130790              |       |       | Introduction of LTE 450 into TS 36.307 R12                                                                  | 12.0.0           |
|         | RP-60          | RP-130787              |       |       | Introduction of CA_4A-4A into 36.307 Rel-12                                                                 | 12.0.0           |
| 09-2013 | RP-61          | RP-131300              |       |       | 36.307 CR for LTE_CA_C_B3 (Rel-12)                                                                          | 12.1.0           |
|         | RP-61          | RP-131296              |       |       | [Rel-12] Add requirements for CA_1A-26A into TS36.307                                                       | 12.1.0           |
| 09-2013 | RP-61          | RP-131297              |       |       | Introduction of CA_2A-4A to TS 36.307                                                                       | 12.1.0           |
|         | RP-61          | RP-131298              |       | 1     | Introduction of inter-band CA Band 2+5                                                                      | 12.1.0           |
|         | RP-62          | RP-131965              |       |       | Introduction of CA_23A-23A to TS 36.307                                                                     | 12.2.0           |
|         | RP-62          | RP-131946              |       | I     | Introduction of CA band combination Band2 + Band12 to TS 36.307                                             |                  |

|                                                                           | RP-62                                     | RP-131954                                                     |                                        | Introduction of CA band combination Band12 + Band25 to TS 36.307                                                                                                                                                                                                                                                                                                                                                | 12.2.0                                         |
|---------------------------------------------------------------------------|-------------------------------------------|---------------------------------------------------------------|----------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------|
| 12-2013                                                                   |                                           | RP-131959                                                     |                                        | Introduction of LTE_CA_C_B27 to 36.307 (Rel-12)                                                                                                                                                                                                                                                                                                                                                                 | 12.2.0                                         |
| 12-2013                                                                   |                                           | RP-131957                                                     |                                        | Introduction of CA_23B to TS 36.307                                                                                                                                                                                                                                                                                                                                                                             | 12.2.0                                         |
| 12-2013                                                                   | RP-62                                     | RP-131961                                                     | 194                                    | Introduction of Intra-band non-contiguous CA in band 3 to TS 36.307                                                                                                                                                                                                                                                                                                                                             | 12.2.0                                         |
| 12-2013                                                                   | RP-62                                     | RP-131950                                                     | 200                                    | Introduction of CA band combination Band5 + Band25 to TS 36.307                                                                                                                                                                                                                                                                                                                                                 | 12.2.0                                         |
| 12-2013                                                                   | RP-62                                     | RP-131967                                                     | 201r1                                  | Introducing 'General' clause with note referring to note in clause 4.4 in TS36.101, editorial corrections and modifications to Forward and Scope clauses                                                                                                                                                                                                                                                        | 12.2.0                                         |
| 12-2013                                                                   | RP-62                                     | RP-131948                                                     | 204                                    | Introduction of CA band combination B5 + B7 to TS 36.307 R12                                                                                                                                                                                                                                                                                                                                                    | 12.2.0                                         |
| 12-2013                                                                   |                                           | RP-131952                                                     |                                        | Introduction of CA band combination B7 + B28 to TS 36.307                                                                                                                                                                                                                                                                                                                                                       | 12.2.0                                         |
| 12-2013                                                                   | RP-62                                     | RP-131967                                                     |                                        | Correction to release independent specification                                                                                                                                                                                                                                                                                                                                                                 | 12.2.0                                         |
| 12-2013                                                                   | RP-62                                     | RP-131925                                                     | 216                                    | UE performance requirements in release independent specification<br>for CA                                                                                                                                                                                                                                                                                                                                      | 12.2.0                                         |
| 12-2013                                                                   | RP-62                                     | RP-131963                                                     |                                        | Introduction of CA_7A-7A to TS 36.307 Rel-12                                                                                                                                                                                                                                                                                                                                                                    | 12.2.0                                         |
| 03-2014                                                                   | RP-63                                     | RP-140371                                                     | 235                                    | Release independence of Band 14 HPUE                                                                                                                                                                                                                                                                                                                                                                            | 12.3.0                                         |
| 03-2014                                                                   | RP-63                                     | RP-140386                                                     | 227                                    | Introduction of CA band combination Band 3 and Band 27 to TS 36.307                                                                                                                                                                                                                                                                                                                                             | 12.3.0                                         |
| 03-2014                                                                   | RP-63                                     | RP-140389                                                     | 245r1                                  | Correction to release independent specification                                                                                                                                                                                                                                                                                                                                                                 | 12.3.0                                         |
| 03-2014                                                                   | RP-63                                     | RP-140388                                                     | 210r1                                  | Introduction of CA_39C to TS 36.307                                                                                                                                                                                                                                                                                                                                                                             | 12.3.0                                         |
| 03-2014                                                                   | RP-63                                     | RP-140387                                                     | 197r1                                  | Introduction of CA_39A-41A to TS 36.307                                                                                                                                                                                                                                                                                                                                                                         | 12.3.0                                         |
| 06-2014                                                                   | RP-64                                     | RP-140911                                                     |                                        | Introduction of CA band combination Band 1 and Band 5 to TS 36.307                                                                                                                                                                                                                                                                                                                                              | 12.4.0                                         |
| 06-2014                                                                   | RP-64                                     |                                                               | 300                                    | Correction of Common RRM requirements for CA in release<br>independent specification (Rel-12)                                                                                                                                                                                                                                                                                                                   | 12.4.0                                         |
|                                                                           | RP-64                                     | RP-140926                                                     |                                        | Introduction of Band 20+32 CA                                                                                                                                                                                                                                                                                                                                                                                   | 12.4.0                                         |
|                                                                           | RP-64                                     | RP-140931                                                     |                                        | Introduction of CA 1+11 to 36.307 (Rel-12)                                                                                                                                                                                                                                                                                                                                                                      | 12.4.0                                         |
|                                                                           | RP-64                                     | RP-140933                                                     |                                        | Introduction of CA band combination Band 4 and Band 27 to TS 36.307                                                                                                                                                                                                                                                                                                                                             | 12.4.0                                         |
|                                                                           | RP-64                                     | RP-140938                                                     |                                        | Introduction of CA_2A-2A to TS 36.307 Rel-12                                                                                                                                                                                                                                                                                                                                                                    | 12.4.0                                         |
|                                                                           | RP-64                                     | RP-140940                                                     |                                        | Introduction of LTE_CA_NC_B42 into 36.307                                                                                                                                                                                                                                                                                                                                                                       | 12.4.0                                         |
|                                                                           | RP-64                                     | RP-140942                                                     |                                        | Introduction of CA band combination Band 3 and Band 27 to TS 36.307                                                                                                                                                                                                                                                                                                                                             | 12.4.0                                         |
|                                                                           | RP-64                                     |                                                               | 340                                    | Introduction of CA band combination Band 1 and Band 20 to TS 36.307                                                                                                                                                                                                                                                                                                                                             | 12.4.0                                         |
|                                                                           | RP-64                                     | RP-140943                                                     |                                        | Introduction of CA band combination CA_41D into TS 36.307 (Rel-<br>12)                                                                                                                                                                                                                                                                                                                                          | 12.4.0                                         |
| 09-2014                                                                   | RP-65                                     | RP-141110                                                     | 0388r<br>1                             | [Rel-12] Introduction of inter-band CA_18-28 into TS36.307                                                                                                                                                                                                                                                                                                                                                      | 12.5.0                                         |
|                                                                           | RP-65                                     |                                                               | 0366r<br>1                             | Introduction of CA_B1_B3_B19 into TS 36.307 (Rel-12)                                                                                                                                                                                                                                                                                                                                                            | 12.5.0                                         |
| 09-2014                                                                   | RP-65                                     |                                                               | 0363r<br>1                             | Introduction of CA_B1_B3 into TS 36.307 (Rel-12)                                                                                                                                                                                                                                                                                                                                                                | 12.5.0                                         |
|                                                                           | RP-65                                     | RP-141332                                                     | 0429r<br>1                             | Introduction of CA_1A-7A into 36.307 (Rel -12)                                                                                                                                                                                                                                                                                                                                                                  | 12.5.0                                         |
| 09-2014                                                                   |                                           | RP-141340                                                     | 1                                      | Introduction of CA_B1_B5_B7 into TS 36.307 (Rel-12)                                                                                                                                                                                                                                                                                                                                                             | 12.5.0                                         |
|                                                                           | RP-65                                     | RP-141467                                                     |                                        | Introduction of 3 DL CA for Band 1+7+20                                                                                                                                                                                                                                                                                                                                                                         | 12.5.0                                         |
|                                                                           | RP-65                                     | RP-141527                                                     |                                        | CR for 36.307 on CA UE performance requirement in Rel-12                                                                                                                                                                                                                                                                                                                                                        | 12.5.0                                         |
|                                                                           | RP-65                                     | RP-141551                                                     |                                        | Introduction of CA 8+11 to 36.307 (Rel-12)                                                                                                                                                                                                                                                                                                                                                                      | 12.5.0                                         |
|                                                                           | RP-65                                     | RP-141552                                                     |                                        | Introduction of CA_41A-42A to TS 36.307                                                                                                                                                                                                                                                                                                                                                                         | 12.5.0                                         |
| 09-2014                                                                   | RP-65                                     | RP-141553                                                     |                                        | Introduction of a new bandwidth combination set for CA_25A-25A<br>into 36.307                                                                                                                                                                                                                                                                                                                                   | 12.5.0                                         |
| 09-2014                                                                   | RP-65                                     |                                                               | 418r1                                  | Introduction of requirements for 2DL inter-band carrier aggregation<br>(FDD) and 2DL fallback                                                                                                                                                                                                                                                                                                                   | 12.5.0                                         |
| 09-2014                                                                   | RP-65                                     |                                                               | 421                                    | Introduction of requirements for 3DL inter-band carrier aggregation including Band 30                                                                                                                                                                                                                                                                                                                           | 12.5.0                                         |
|                                                                           | RP-65                                     | RP-141555                                                     |                                        | Introduction of 3 Band Carrier Aggregation of Band 1,Band 3 and<br>Band 5 to TS 36.307(Rel.12)                                                                                                                                                                                                                                                                                                                  | 12.5.0<br>12.5.0                               |
| 09-2014                                                                   |                                           |                                                               |                                        |                                                                                                                                                                                                                                                                                                                                                                                                                 | 1250                                           |
| 09-2014                                                                   | RP-65                                     |                                                               | 357r1                                  | Introduction of 3 Band Carrier Aggregation (3DL/1UL) of Band 1,<br>Band 8 to TS 36.307                                                                                                                                                                                                                                                                                                                          |                                                |
| 09-2014<br>09-2014                                                        | RP-65                                     | RP-141558                                                     | 402                                    | Band 3 and Band 8 to TS 36.307<br>Introduction of CA band combination Band 1, Band 3 and Band 20<br>to TS 36.307                                                                                                                                                                                                                                                                                                | 12.5.0                                         |
| 09-2014<br>09-2014<br>09-2014                                             | RP-65<br>RP-65                            | RP-141558<br>RP-141560                                        | 402<br>352                             | Band 3 and Band 8 to TS 36.307           Introduction of CA band combination Band 1, Band 3 and Band 20 to TS 36.307           Introduction of new CA_40C bandwidth combination set into 36.307                                                                                                                                                                                                                 | 12.5.0<br>12.5.0                               |
| 09-2014<br>09-2014<br>09-2014<br>09-2014                                  | RP-65<br>RP-65<br>RP-65                   | RP-141558<br>RP-141560<br>RP-141561                           | 402<br>352<br>354                      | Band 3 and Band 8 to TS 36.307           Introduction of CA band combination Band 1, Band 3 and Band 20 to TS 36.307           Introduction of new CA_40C bandwidth combination set into 36.307           CR to 36.307 Rel-12: Introduction of CA_41C-41A and CA_41A-41C                                                                                                                                        | 12.5.0<br>12.5.0<br>12.5.0                     |
| 09-2014<br>09-2014<br>09-2014<br>09-2014<br>12-2014                       | RP-65<br>RP-65<br>RP-65<br>RP-66          | RP-141558<br>RP-141560<br>RP-141561<br>RP-142142              | 402<br>352<br>354<br>440               | Band 3 and Band 8 to TS 36.307         Introduction of CA band combination Band 1, Band 3 and Band 20 to TS 36.307         Introduction of new CA_40C bandwidth combination set into 36.307         CR to 36.307 Rel-12: Introduction of CA_41C-41A and CA_41A-41C         UE RF requirements in the release independent spec                                                                                   | 12.5.0<br>12.5.0<br>12.5.0<br>12.6.0           |
| 09-2014<br>09-2014<br>09-2014<br>09-2014<br>12-2014<br>12-2014            | RP-65<br>RP-65<br>RP-65<br>RP-66<br>RP-66 | RP-141558<br>RP-141560<br>RP-141561<br>RP-142142<br>RP-142188 | 402<br>352<br>354<br>440<br>444        | Band 3 and Band 8 to TS 36.307         Introduction of CA band combination Band 1, Band 3 and Band 20 to TS 36.307         Introduction of new CA_40C bandwidth combination set into 36.307         CR to 36.307 Rel-12: Introduction of CA_41C-41A and CA_41A-41C         UE RF requirements in the release independent spec         Revision of common RRM requirements for release independent specification | 12.5.0<br>12.5.0<br>12.5.0<br>12.6.0<br>12.6.0 |
| 09-2014<br>09-2014<br>09-2014<br>09-2014<br>12-2014<br>12-2014<br>12-2014 | RP-65<br>RP-65<br>RP-65<br>RP-66          | RP-141558<br>RP-141560<br>RP-141561<br>RP-142142              | 402<br>352<br>354<br>440<br>444<br>448 | Band 3 and Band 8 to TS 36.307         Introduction of CA band combination Band 1, Band 3 and Band 20 to TS 36.307         Introduction of new CA_40C bandwidth combination set into 36.307         CR to 36.307 Rel-12: Introduction of CA_41C-41A and CA_41A-41C         UE RF requirements in the release independent spec         Revision of common RRM requirements for release independent               | 12.5.0<br>12.5.0<br>12.5.0<br>12.6.0           |

|                    |                       |                        | 463          |          |          | R4-73AH-0113: Correction of UE RF requirements for dual uplik to TS 36.307 Rel-12                                                      | 12.7.0           |
|--------------------|-----------------------|------------------------|--------------|----------|----------|----------------------------------------------------------------------------------------------------------------------------------------|------------------|
|                    | RP-67                 | RP-150392              |              |          |          | CR for 36.307 on CA UE performance requirement in Rel-12                                                                               | 12.7.0           |
| 03-2015<br>05-2015 | RP-67<br>RP-68        | RP-150387<br>RP-151068 |              |          |          | Further revision of RSRP requirement for 36.307 release 12<br>Introduction of CA_3A-40A to TS 36.307 R13                               | 12.7.0<br>13.0.0 |
| 05-2015            | RP-68                 | RP-151070              | 0513r<br>1   |          |          | Introduction of CA_3A-40C to TS 36.307 R13                                                                                             | 13.0.0           |
| 05-2015            | RP-68                 | RP-150958              | -            |          |          | Introduction of dual uplink CA into 36.307                                                                                             | 13.0.0           |
|                    | RP-68                 | RP-150968              |              |          |          | Release independence CR for 2DL inter-band CA Rel-13                                                                                   | 13.0.0           |
|                    | RP-68                 | RP-150972              |              |          |          | Release independence CR for 3DL inter-band CA Rel-13                                                                                   | 13.0.0           |
|                    | RP-68                 | RP-150974              |              |          |          | Release independence CR for 4DL inter-band CA Rel-13                                                                                   | 13.0.0           |
| 05-2015            | RP-68                 | RP-150975              | 509          |          |          | Introduction of non-contiguous Carrier Aggregation (CA) in Band 42 for 3DL                                                             | 13.0.0           |
|                    | RP-68                 | RP-151006              |              |          |          | Introduction of CA_42D to TS 36.307                                                                                                    | 13.0.0           |
|                    | RP-69                 | RP-151501              | 0520r<br>1   |          |          | Introduction of finished 4DL inter-band CAs to TS 36.307                                                                               | 13.1.0           |
|                    | RP-69                 | RP-151503              |              |          |          | [Rel-13] Introduction of dual uplink CA into 36.307                                                                                    | 13.1.0           |
|                    | RP-69                 | RP-151499              |              |          |          | Rel-13 3DL combinations                                                                                                                | 13.1.0           |
| 09-2015            | RP-69                 | RP-151201              | 0543         |          |          | Introduction of CA_7A-40A and CA_7A-40C to TS 36.307 R13                                                                               | 13.1.0           |
| 10-2015            |                       |                        |              |          |          | Correction of the release in the cover page                                                                                            | 13.1.1           |
|                    | RP-70                 | RP-152158              |              |          |          | Release independent requirements for CA_42E (Rel-13)                                                                                   | 13.2.0           |
|                    | RP-70                 | RP-152160              | 0549         |          | <b> </b> | Introduction of 4DL NC CA in band42 in 36.307                                                                                          | 13.2.0           |
|                    | RP-70                 | RP-152157              |              |          | <b> </b> | Introducing B20 + B67 CA into TS 36.307                                                                                                | 13.2.0           |
|                    | RP-70                 | RP-152168              |              |          | <b> </b> | Introduction of intra-band CA_8B to TS 36.307                                                                                          | 13.2.0           |
|                    | RP-70                 | RP-152171              |              |          | <b> </b> | Introduction of Band 65                                                                                                                | 13.2.0           |
|                    | RP-70                 | RP-152167              |              |          | <b> </b> | Introduction of intra-band CA_5B to TS 36.307                                                                                          | 13.2.0           |
| 2-2015             |                       | RP-152169              |              |          | <u> </u> | Introduction of intra-band NC CA_5A-5A to TS 36.307                                                                                    | 13.2.0           |
|                    | RP-70                 | RP-152166              |              |          |          | Introduction of 3DL/3UL Inter-band CA in TS36.307                                                                                      | 13.2.0           |
| 2-2015             |                       | RP-152163              |              |          |          | Introduction of 5DL/1UL CA combinations into TS 36.307 (Rel-13)                                                                        | 13.2.0           |
| 2-2015             |                       | RP-152162              |              |          |          | Introduction of finished 4DL inter-band CAs to TS 36.307                                                                               | 13.2.0           |
|                    | RP-70                 | RP-152173              |              |          |          | Introduction of 1447-1467MHz Band into 36.307                                                                                          | 13.2.0           |
| 2-2015             |                       | RP-152156              |              |          |          | Rel-13 2DL combinations                                                                                                                | 13.2.0           |
|                    | RP-70                 | RP-152161              |              |          |          | Rel-13 3DL combinations                                                                                                                | 13.2.0           |
|                    | RP-70                 | RP-152172              |              |          |          | Introduction of Band 66                                                                                                                | 13.2.0           |
|                    | RP-70                 | RP-152159              |              |          |          | Introduction of intra-band non-contiguous CA in Band 41 for 4DL                                                                        | 13.2.0           |
|                    | RP-70                 | RP-152165              |              |          |          | Introduction of 2 UL and 3 DL mixed inter/intra cases without MSD into 36.307 Rel-13                                                   | 13.2.0           |
|                    | RP-71                 | RP-160480              |              |          | В        | Rel-13 3DL combinations                                                                                                                | 13.3.0           |
|                    | RP-71                 | RP-160481              |              |          | В        | Introduction of completed R13 4DL inter-band CA's to TS 36.307                                                                         | 13.3.0           |
|                    | RP-71                 | RP-160482              |              |          | В        | Introduction of 5DL/1UL CA combinations into TS 36.307 (Rel-13)                                                                        | 13.3.0           |
|                    | RP-71                 | RP-160483              |              |          | В        | Introduction of Band 68                                                                                                                | 13.3.0           |
|                    | RP-72                 | RP-161142              | 0682         | 1        | F        | CR TS 36.307 REL-13                                                                                                                    | 13.4.0           |
|                    | RP-72                 | RP-161142              | 0691         | 1        | F        | Correction of RRM multiple uplink requirements and test cases in 36.307                                                                | 13.4.0           |
| 09/2016            |                       | RP-161628              |              |          | A        | Release 13 36.307 CAT A CR to make Band 41 power class 2 release independent                                                           | 13.5.0           |
|                    | <u>RP-73</u><br>RP-73 | RP-161613<br>RP-161628 |              | 1        | B<br>F   | CR for 4Rx requirements for release independent in Rel-13<br>Release 14 36.307 CR to make Band 41 power class 2 release<br>independent | 13.5.0<br>14.0.0 |
| 09/2016            | RP-73                 | RP-161617              | 0703         | 1        | В        | Introduction of V2V operating bands in TS36.307 Rel-14                                                                                 | 14.0.0           |
|                    | RP-74                 | RP-162387              |              | 1        | A        | Introduction of B46 DL 10 MHz release independent feature                                                                              | 14.1.0           |
|                    | RP-74                 | RP-162398              |              | 1        | A        | Addition of CA bandwidth Class F                                                                                                       | 14.1.0           |
| 12/2016            | RP-74<br>RP-74        | RP-162459<br>RP-162390 | 0716         | 2<br>1   | A<br>A   | Correction to UE category applicability<br>Addition of UE category 0 and M1 to release independence                                    | 14.1.0<br>14.1.0 |
|                    |                       |                        |              |          | <u> </u> | specification                                                                                                                          |                  |
|                    | RP-74                 | RP-162407              | 0722         | -        | A        | Introduction of new bands for NB-IoT in 36.307                                                                                         | 14.1.0           |
|                    | RP-75                 | RP-170559              |              | -        | В        | CR on 36.307 for V2X multi-carrier operation                                                                                           | 14.2.0           |
|                    | RP-76                 | RP-171291              |              | 1        | F        | Cleanup of TS 36.307                                                                                                                   | 14.3.0           |
|                    | RP-77                 | RP-171943              |              |          | F        | CR for adding NB-IoT performance requirements in 36.307 in Rel-<br>14                                                                  | 14.4.0           |
|                    | RP-77                 | RP-171953              |              |          | В        | CR on TS36.307 in rel-14 for V2X release independents                                                                                  | 14.4.0           |
|                    | RP-77                 | RP-171973              |              |          | A        | CR for adding overlapping band B66 in 36.307 in Rel-14                                                                                 | 14.4.0           |
|                    | RP-77                 | RP-172045              |              |          | В        | Additional LTE bands for UE category M1 and/or NB1 in Rel-15                                                                           | 14.4.0           |
|                    | RP-77                 | RP-172052              |              | <b> </b> | В        | Additional LTE bands for UE category M2 and/or NB2 in Rel-14                                                                           | 14.4.0           |
|                    | RP-77                 | RP-171953              |              |          | В        | CR on 36.307 on introduction of V2X operating bands in Rel-15                                                                          | 15.0.0           |
|                    | RP-77                 | RP-172053              |              | I        | В        | Additional LTE bands for UE category M2 and/or NB2 in Rel-15                                                                           | 15.0.0           |
|                    |                       | RP-180288              |              | <u> </u> | A        | Addition of missing features for TS 36.307 REL-15                                                                                      | 15.1.0           |
|                    |                       | RP-180276              |              | 1        | В        | Introduction of 4UL CA into TS36.307                                                                                                   | 15.1.0           |
|                    | RAN#80<br>RAN#80      | RP-181100<br>RP-181097 | 4381<br>4389 | 1        | B<br>A   | TS 36.307 Rel-15<br>TS 36.307 big CR for introduction new band support for 4Rx                                                         | 15.2.0<br>15.2.0 |
| 2018-06            | KAN#00                |                        |              |          |          | antenna ports R15                                                                                                                      |                  |

| 2018-06 | RAN#80 | RP-181110 | 4394 |   | А | CR for adding LAA SDR tests for release independent R15                                                    | 15.2.0 |
|---------|--------|-----------|------|---|---|------------------------------------------------------------------------------------------------------------|--------|
| 2018-06 | RAN#80 | RP-181095 | 4400 |   | В | Introduction of 3UL CA into TS36.307                                                                       | 15.2.0 |
| 2018-06 | RAN#80 | RP-181096 | 4402 |   | A | CR on new V2X band combinations and eV2X feature in TS36.307 rel-15                                        | 15.2.0 |
| 2018-06 | RAN#80 | RP-181093 | 4403 |   | В | Introduction of 1UL and more than 5DL CA into 36.307                                                       | 15.2.0 |
| 2018-09 | RAN#81 | RP-181916 | 4406 | 2 | A | CR of release independent requirements for LTE Carrier<br>Aggregation beyond 5 carriers (TS 36.307 Rel-15) | 15.3.0 |
| 2018-12 | RAN#82 | RP-182377 | 4409 | 1 | А | CR of adding B65 for NB1                                                                                   | 15.4.0 |
| 2018-12 | RAN#82 | RP-182378 | 4411 | 1 | А | CR of adding B65 for NB2                                                                                   | 15.4.0 |
| 2019-06 | RAN#84 | RP-191266 | 4414 |   | A | CR: Addition of 8Rx performance requirements for release<br>independent                                    | 15.5.0 |
| 2019-09 | RAN#85 | RP-192044 | 4433 | 2 | В | CR of adding LTE B42/B43 for UE category NB2 in R16                                                        | 15.6.0 |
| 2019-09 | RAN#85 | RP-192045 | 4427 |   | В | Introduction PC2 for HD-FDD UE category M1 and M2 for TS 36.307                                            | 16.0.0 |
| 2019-12 | RAN#86 | RP-193044 | 4439 | 3 | В | CR for REL-16 TS36.307 for adding B40 to UE category 1bis                                                  | 16.1.0 |
| 2020-06 | RAN#88 | RP-200961 | 4442 |   | F | Adding UE category NB1 supporting LTE band 42/43                                                           | 16.2.0 |
| 2020-06 | RAN#88 | RP-200961 | 4441 | 1 | В | CR for REL-16 TS36.307 for adding B34 to UE category 1bis                                                  | 16.2.0 |
| 2021-03 | RAN#91 | RP-210110 | 4446 |   | A | CR of adding LTE B24 for UE category NB1 in R17                                                            | 16.3.0 |
| 2021-03 | RAN#91 | RP-210110 | 4449 |   | A | CR of adding LTE B24 for UE category NB2 in R17                                                            | 16.3.0 |
| 2021-09 | RAN#93 | RP-211919 | 4454 |   | A | CR on adding B24 for Cat-M1 36.307_16A                                                                     | 16.4.0 |
| 2021-09 | RAN#93 | RP-211919 | 4456 |   | A | CR on adding B24 for Cat-M2 36.307_16A                                                                     | 16.4.0 |
| 2021-09 | RAN#93 | RP-211916 | 4459 |   | A | CR Release independence aspect of 6-band LTE CA R16 CATA                                                   | 16.4.0 |
| 2022-03 | SA#95  |           |      |   |   | Update to Rel-17 version (MCC)                                                                             | 17.0.0 |

## History

| Document history |            |             |  |  |  |  |
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