## ETSI TS 136 307 V10.4.0 (2012-07)



## LTE;

Evolved Universal Terrestrial Radio Access (E-UTRA); Requirements on User Equipments (UEs) supporting a release-independent frequency band (3GPP TS 36.307 version 10.4.0 Release 10)



# Reference RTS/TSGR-0436307va40 Keywords LTE

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#### Foreword

This Technical Specification has been produced by the 3<sup>rd</sup> Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of the present document, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

#### Version x.y.z

#### where:

- x the first digit:
  - 1 presented to TSG for information;
  - 2 presented to TSG for approval;
  - 3 or greater indicates TSG approved document under change control.
- y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.
- z the third digit is incremented when editorial only changes have been incorporated in the document.

## 1 Scope

The present document specifies requirements on UEs supporting a frequency band and inter-band/intra-band CA configurations that are independent of release. TSG-RAN has agreed that the standardisation of new frequency bands may be independent of a release, and that the standardisations of new inter-band/intra-band CA configurations also may be independent of a release for Rel-10 upwards. However, in order to implement a UE that conforms to a particular release but supports a band of operation or carrier aggregation of particular inter-band/intra-band CA configurations that is specified in a later release, it is necessary to specify some extra requirements.

For example, Band 19 is contained in the Release 9 specifications. In order to implement a UE conforming to Release 8 but supporting Band 19, it is necessary for the UE to additionally conform to some parts of the Release 9 specifications, such as the radio frequency and radio resource management requirements for the Band 19.

For another example on carrier aggregations, CA configuration CA\_1A-19A is contained in the Release 11 specifications. In order to implement a UE conforming to Release 10 but supporting the CA configuration CA\_1A-19A, it is necessary for the UE to additionally conform to some parts of the Release 11 specifications, such as the radio frequency and radio resource management requirements for the CA configuration CA\_1A-19A.

All frequency bands are fully specified in this release of the specifications. The present document does not contain any requirements for UEs supporting frequency bands independent of release.

#### 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.
- [1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".
- [2] 3GPP TS 36.101 (Release 11): "Evolved Universal Terrestrial Radio Access (E-UTRA); User Equipment (UE) Radio Transmission and Reception".
- [3] 3GPP TS 36.133 (Release 11): "Evolved Universal Terrestrial Radio Access (E-UTRA); Requirements for Support of Radio Resource Management".

## 3 Definitions and Abbreviations

#### 3.1 Definitions

For the purposes of the present document, the terms and definitions given in [1] apply.

#### 3.2 Abbreviations

For the purposes of the present document, the following abbreviations apply:

CA Carrier Aggregation

FDD RRC RRM TDD UE	Frequency Division Duplex Radio Resource Control Radio Resource Management Time Division Duplex User Equipment
4	Void
5	Void
6	Void
7	Void
8	Void
9	Void
10	Void
11	Void
12	Void

#### 13 Void

#### 14 Void

#### Band 26 Independent of Release 15

Band 26 is specified in Release 11 but is defined as a release-independent frequency band. This approach aligns the Band 26 band with other frequency bands when considering features that have to be supported in different releases.

#### Band 26 UE 15.1

UEs that conform to Release 10 and support Band 26 shall support the following requirements in Release 11.

#### 15.1.1 RF Requirements

The UE shall comply with the RF requirements for Band 26 specified [2]. The requirements are:

Section / Clause	Description
5.5	Operating bands
5.6	Channel bandwidth
5.7	Channel arrangement
6.2	Transmit power
6.3	Output power dynamics
6.6	Output RF spectrum emissions
6.7	Transmit intermodulation
7.3	Reference sensitivity power level
7.4	Maximum input level
7.5	Adjacent Channel Selectivity (ACS)
7.6	Blocking characteristics
7.7	Spurious response
7.8	Intermodulation characteristics

Table 15.1.1-1: RF Requirements for Band 26 UE

#### **RRM Requirements** 15.1.2

The UE shall comply with the following RRM requirements for Band 26 specified in [3]. These requirements are:

Table 15.1.2-1: RRM Requirements for Band 26 UE

Section / Clause	Description
4	E-UTRAN RRC_IDLE state mobility
8	UE Measurements Procedures in RRC_CONNECTED State
9	Measurement Performances for UE.
A.9	Measurement Performances for UE Test Cases

## 16 Band 27 Independent of Release

Band 27 is specified in Release 11, but is defined as a release-independent frequency band. This approach aligns the Band 27 band with other frequency bands when considering features that have to be supported in different releases.

#### 16.1 Band 27 UE

UEs that conform to Release 10 and support Band 27 shall support the following requirements in Release 11.

#### 16.1.1 RF Requirements

The UE shall comply with the Release 11 RF requirements for Band 27 specified [2]. The requirements are:

Table 16.1.1-1: RF Requirements for Band 27 UE

Section / Clause	Description
5.5	Operating bands
5.6	Channel bandwidth
5.7	Channel arrangement
6.2	Transmit power
6.3	Output power dynamics
6.6	Output RF spectrum emissions
6.7	Transmit intermodulation
7.3	Reference sensitivity power level
7.4	Maximum input level
7.5	Adjacent Channel Selectivity (ACS)
7.6	Blocking characteristics
7.7	Spurious response
7.8	Intermodulation characteristics

#### 16.1.2 RRM Requirements

The UE shall comply with the following RRM requirements for Band 27 specified in [3]. These requirements are:

Table 16.1.2-1: RRM Requirements for Band 27 UE

Section / Clause	Description
4	E-UTRAN RRC_IDLE state mobility
8	UE Measurements Procedures in RRC_CONNECTED State
9	Measurement Performances for UE.
A.9	Measurement Performances for UE Test Cases

## 17 Band 28 Independent of Release

Band 28 is specified in Release 11 but is defined as a release-independent frequency band. This approach aligns the Band 28 band with other frequency bands when considering features that have to be supported in different releases.

#### 17.1 Band 28 UE

UEs that conform to Release 8 and support Band 28 shall support the following requirements in Release 11.

#### 17.1.1 RF Requirements

The UE shall comply with the RF requirements for Band 28 specified [2]. The requirements are:

Table 17.1.1-1: RF Requirements for Band 28 UE

Section / Clause	Description
5.5	Operating bands
5.6	Channel bandwidth
5.7	Channel arrangement
6.2	Transmit power
6.3	Output power dynamics
6.6	Output RF spectrum emissions
6.7	Transmit intermodulation
7.3	Reference sensitivity power level
7.4	Maximum input level
7.5	Adjacent Channel Selectivity (ACS)
7.6	Blocking characteristics
7.7	Spurious response
7.8	Intermodulation characteristics

### 17.1.2 RRM Requirements

The UE shall comply with the following RRM requirements for Band 28 specified in [3]. These requirements are:

Table 17.1.2-1: RRM Requirements for Band 28 UE

Section / Clause	Description
4	E-UTRAN RRC_IDLE state mobility
8	UE Measurements Procedures in RRC_CONNECTED State
9	Measurement Performances for UE.
A.9	Measurement Performances for UE Test Cases

## 18 Band 44 Independent of Release

Band 44 is specified in Release 11 but is defined as a release-independent frequency band. This approach aligns the Band 44 band with other frequency bands when considering features that have to be supported in different releases.

#### 18.1 Band 44 UE

UEs that conform to Release 8 and support Band 44 shall support the following requirements in Release 11.

### 18.1.1 RF Requirements

The UE shall comply with the RF requirements for Band 44 specified [2]. The requirements are:

Table 18.1.1-1: RF Requirements for Band 44 UE

Section / Clause	Description
5.5	Operating bands
5.6	Channel bandwidth
5.7	Channel arrangement
6.2	Transmit power
6.3	Output power dynamics
6.6	Output RF spectrum emissions
6.7	Transmit intermodulation
7.3	Reference sensitivity power level
7.4	Maximum input level
7.5	Adjacent Channel Selectivity (ACS)
7.6	Blocking characteristics
7.7	Spurious response
7.8	Intermodulation characteristics

#### 18.1.2 RRM Requirements

The UE shall comply with the following RRM requirements for Band 44 specified in [3]. These requirements are:

Table 18.1.2-1: RRM Requirements for Band 44 UE

Section / Clause	Description
4	E-UTRAN RRC_IDLE state mobility
8	UE Measurements Procedures in RRC_CONNECTED State
9	Measurement Performances for UE.
A.9	Measurement Performances for UE Test Cases

## 19 CA configuration CA\_41C Independent of Release

CA\_41C is specified in Release 11 but is defined as a release-independent E-UTRA CA configuration.

## 19.1 Band 41 UE

UEs that conform to Release 10 and support CA\_41C shall support the following requirements in Release 11 for both one uplink and simultaneous transmission on two uplinks.

## 19.1.1 RF Requirements

The UE shall comply with the following RF requirements for CA\_41C specified in [2]. These requirements are:

Table 19.1.1-1: RF Requirements for CA\_41C UE

Section / Clause	Description
5.5A	Operating bands for CA
5.6A.1	Channel bandwidths per operating band for CA
6.2.2A	UE maximum output power for intra-band contiguous CA
6.2.3A	UE maximum output power for modulation/channel bandwidth for CA
6.2.4A	UE maximum output power with additional requirements for CA
6.2.5A	Configured transmitted power for CA
6.6.2.1A	Spectrum emission mask for CA
6.6.2.2A	Additional spectrum emission mask for C A
6.6.3.2A	Spurious emission band UE co-existence for CA
7.3.1A	Reference sensitivity power level minimum requirements for CA
7.6.1.1A	In-band blocking minimum requirements for CA
7.6.2.1A	Out-of-band blocking minimum requirements for CA

#### 19.1.2 RRM Requirements

The UE shall comply with the following RRM Requirements for CA\_41C specified in [3]. These requirements are:

Table 19.1.1-2: RRM Requirements for CA\_41C UE

Section / Clause	Description
4	E-UTRAN RRC_IDLE state mobility
8	UE Measurements Procedures in RRC_CONNECTED State
9	Measurement Performances for UE.
A.9	Measurement Performances for UE Test Cases

## 20 CA configuration CA\_1A-19A Independent of Release

CA configuration CA\_1A-19A is specified in Release 11 but is defined as a release-independent CA configuration for Rel-10 upwards.

## 20.1 CA 1A-19A UE

UEs that conform to Release 10 and support CA\_1A-19A shall support the following requirements in Release 11.

## 20.1.1 RF Requirements

The UE shall comply with the RF requirements for CA\_1A-19A specified in [2]. These requirements are:

Table 20.1.1-1: RF Requirements for CA\_1A-19A UE

Section / Clause	Description	
5.5A	Operating bands for CA	
5.6A1	Channel bandwidths per operating band for CA	
6.2.5A	Configured transmitted Power for CA	
7.3.1	Minimum requirements (QPSK)	

## 16.1.2 RRM Requirements

The UE shall comply with the following RRM requirements for CA\_1A-19A specified in [3]. These requirements are:

Table 16.1.2-1: RRM Requirements for CA\_1A-19A UE

Section / Clause	Description
8	UE Measurements Procedures in RRC_CONNECTED State
9	Measurement Performances for UE.

## Annex A (informative): Change history

**Table A.1: Change History** 

Date	TSG#	TSG Doc.	CR	Subject	Old	New
11-2009	RP#46	RP-091141		TS36.307 V0.1.0 approved by RAN (Originally in R4-095022)	-	0.1.0
02-2010	R4#54	R4-100419		For release 9 version, replace sections 4 to 6 as "Void" and add a new	0.1.0	0.2.0
				void section as section 7.		
03-2010	RP#47	RP-100162		TS36.307 v1.0.0 for approval	0.2.0	1.0.0
03-2010	RP#47	RP-100162		Approved by RAN	1.0.0	9.0.0
09-2010	RP-49	RP-100927	2	CR LTE_TDD_2600_US spectrum band definition additions to TS 36.307 V900	9.0.0	9.1.0
				Correction of section numbering	9.1.0	9.1.1
12-2010	RP-50	RP-101356	800	Band 42 and 43 parameters for UMTS/LTE 3500 (TDD) for TS 36.307	9.1.1	9.2.0
12-2010	RP-50	RP-101361	005	Introduction of L-band in TS 36.307	9.1.1	9.2.0
12-2010		RP-			9.2.0	9.3.0
	RP-50	101344	016	CR creating the rel-10 of the 36.307 specification		
12-2010		RP-		Band 42 and 43 parameters for UMTS/LTE 3500 (TDD) for TS	9.2.0	9.3.0
	RP-50	101356	012	36.307		
12-2010	RP-50			Raised to Rel-10 with no technical change	9.3.0	10.0.0
01-2011				Correction to history table	10.0.0	10.0.1
06-2011	RP-52	RP-110804	015	Add Expanded 1900 MHz Band (Band 25) in 36.307	10.0.1	10.1.0
06-2011	RP-52	RP-110812	022	Add 2GHz S-Band (Band 23) in 36.307 (Rel 10)	10.0.1	10.1.0
09-2011	RP-53	RP-111255	025	Add Band 22 for LTE/UMTS 3500 (FDD) to TS 36.307	10.1.0	10.2.0
03-2012	RP-55	RP-120305	028	Introduction of Band 26/XXVI to TS 36.307	10.2.0	10.3.0
2012-06	RP-56	RP-120778	036r1	Correction of references	10.3.0	10.4.0
2012-06	RP-56	RP-120789	042	Introduction of CA_1A-19A to TS 36.307	10.3.0	10.4.0
2012-06	RP-56	RP-120785	044r1	Backdating CA_41C to Rel-10	10.3.0	10.4.0
2012-06	RP-56	RP-120793	048	Introduction of APAC700(FDD) into TS 36.307 Rel.10	10.3.0	10.4.0
2012-06	RP-56	RP-120793	052	Introduction of APAC700(TDD) into TS 36.307 Rel.10	10.3.0	10.4.0
2012-06	RP-56	RP-120791	056	Introduction of e850_LB (Band 27) to TS 36.307	10.3.0	10.4.0

## History

Document history				
V10.0.1	January 2011	Publication		
V10.1.0	June 2011	Publication		
V10.2.0	November 2011	Publication		
V10.3.0	April 2012	Publication		
V10.4.0	July 2012	Publication		