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**5G;
Authentication and Key Management for Applications (AKMA)
based on 3GPP credentials in the 5G System (5GS)
(3GPP TS 33.535 version 16.0.0 Release 16)**



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Foreword

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1 Scope

The present document specifies the security features and mechanisms to support authentication and key management aspects for applications based on subscription credential(s) in 5G system as defined in TS 33.501 [2].

2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

- [1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".
 - [2] 3GPP TS 33.501: "Security architecture and procedures for 5G system".
 - [3] 3GPP TS 23.501: "System Architecture for the 5G System".
 - [4] 3GPP TS 33.220: "Generic Authentication Architecture (GAA); Generic Bootstrapping Architecture (GBA)".
 - [5] 3GPP TS 23.222: "Common API Framework for 3GPP Northbound APIs".
-

3 Definitions of terms, symbols and abbreviations

3.1 Terms

For the purposes of the present document, the terms given in TR 21.905 [1] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in 3GPP TR 21.905 [1].

AKMA subscription data: The data in the home operator's network indicating whether or not the subscriber is allowed to use AKMA.

3.2 Symbols

Void.

3.3 Abbreviations

For the purposes of the present document, the abbreviations given in 3GPP TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in 3GPP TR 21.905 [1].

AAnF	AKMA Anchor Function
AF	Application Function
A-KID	AKMA Key IDentifier
AMF	Access and Mobility Management Function
AUSF	AUthentication Server Function
K _{AF}	AKMA Application Key

K_{AKMA}	AKMA Anchor Key
NEF	Network Exposure Function
UDM	Unified Data Management

4 Architecture for Authentication and Key Management for Applications (AKMA)

4.1 Reference model

Figure 4.1-1 shows a fundamental network model of AKMA, as well as the interfaces between them.

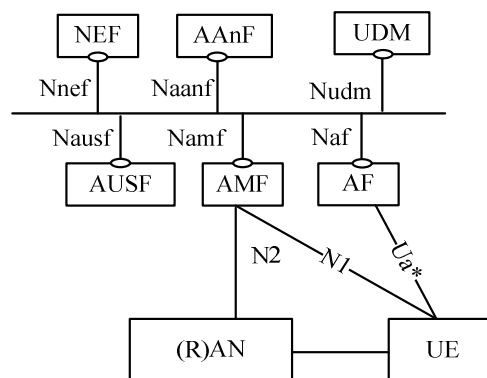


Figure 4.1-1: Fundamental Network Model for AKMA

NOTE: Figure 4.1-1 shows the case where AAnF is deployed as a standalone function. Deployments can choose to collocate AAnF with AUSF or with NEF according to operators' deployment scenarios.

The AKMA service requires a new logical entity: AKMA Anchor Function (AAnF).

AAnF is the anchor function in the HPLMN that generates the key material to be used between the UE and the AF and maintains UE AKMA contexts.

4.2 Network elements

4.2.1 AAnF

AAnF enables the AKMA Anchor Key (K_{AKMA}) derivation for AKMA service. Before invoking AKMA service, UE shall have successfully registered to the 5G core, which results in K_{AUSF} being stored at the AUSF and the UE after a successful 5G primary authentication.

4.2.2 AF

AF is defined in TS 23.501 [3] with additional functions:

- AF with the AKMA service enabling requests for K_{AF} from the AAnF using A-KID.
- AF shall be authenticated and authorized by the operator network before providing the AKMA Application Key to the AF.

4.2.3 NEF

NEF is defined in TS 23.501 [3] with additional functions:

- NEF finds the AAnF.

4.2.4 AUSF

AUSF is defined in TS 23.501 [3] with additional functions:

AUSF Provides the AKMA Anchor Key (K_{AKMA}) to the AAnF.

4.2.5 UDM

UDM is defined in TS 23.501 [3] with the additional functions:

- UDM stores AKMA subscription data of the subscriber.

4.3 Interface description

The following interfaces are involved in AKMA network architecture:

- **Nnef**: Service-based interface exhibited by NEF.
- **Nausf**: Service-based interface exhibited by AUSF.
- **Nudm**: Service-based interface exhibited by UDM.
- **Naanf**: Service-based interface exhibited by AAnF.
- **Naf**: Service-based interface exhibited by AF.

The AAnF interacts with the AUSF and the AF using Service-Based Interfaces. When the AF is located in the operator's network, the AAnF shall use Service-Based Interface to communicate with the AF directly. When the AF is located outside the operator's network, the NEF shall be used to exchange the messages between the AF and the AAnF.

4.3.1 Reference point Ua*

The reference point Ua* carries the application protocol, which is secured using the key material agreed between UE and AAnF as a result of successful AKMA procedures.

4.4 Security requirements and principles for AKMA

The following security requirements are applicable to AKMA:

- AKMA shall reuse the same UE subscription and the same credentials used for 5G access.
- AKMA shall reuse the 5G primary authentication procedure and methods (both 5G AKA and EAP AKA' shall be supported) for the sake of implicit authentication for AKMA services.
- AAnF's SBI interface to AUSF shall be confidentiality, integrity and replay protected.
- The interface between AAnF and AF shall be confidentiality, integrity and replay protected.
- The AKMA Application Key (K_{AF}) shall be provided with a maximum lifetime. When the AKMA Application Key lifetime is expired, it shall be renegotiated.

NOTE: Roaming aspects are not considered in the present document.

4.4.1 Requirements on Ua* Reference point

The Ua* reference point is application specific. The generic requirements for Ua* are:

- Ua* protocol shall be able to carry AKMA Key Identifier (A-KID);
- the UE and the AKMA AF shall be able to secure the reference point Ua* using the AKMA Application Key derived from the AKMA Anchor Key.

NOTE 1: The exact method of securing the reference point Ua* depends on the application protocol used over reference point Ua*.

NOTE 2: Specifying Ua* protocol identifier is not considered in the present document.

4.4.2 Requirements on AKMA Key Identifier (A-KID)

Requirements for AKMA Key Identifier (A-KID) are:

- A-KID shall be globally unique;
- A-KID shall be usable as a key identifier in protocols used in the reference point Ua*;
- AKMA AF shall be able to identify AAnF of the UE from the A-KID.

5 Key Management

5.1 AKMA key hierarchy

The key hierarchy (see Figure 5.1-1) includes the following keys: K_{AUSF} , K_{AKMA} , K_{AF} . K_{AUSF} is generated by AUSF as specified in clause 6 of TS 33.501 [2].

Keys for AAnF:

- K_{AKMA} is a key derived by ME and AUSF from K_{AUSF} .

Keys for AF:

- K_{AF} is a key derived by ME and AAnF from K_{AKMA} .

K_{AKMA} and K_{AF} are derived according to the procedures of clauses 6.1 and 6.2.

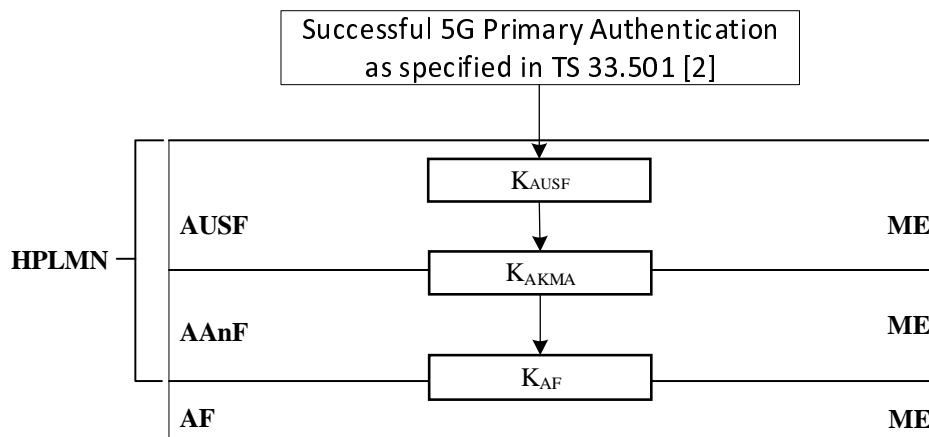


Figure 5.1-1: AKMA Key Hierarchy

5.2 AKMA key lifetimes

The K_{AKMA} and A-KID are valid until the next primary authentication is performed (implicit lifetime), in which case the K_{AKMA} and A-KID might be replaced after a successful new authentication or removed after an unsuccessful one.

AKMA Application Keys K_{AF} shall use explicit lifetimes based on the operator's policy. The lifetime of K_{AF} shall be sent by the AAnF as described in clause 6.2. In case that a new AKMA Anchor Key K_{AKMA} is established, the AKMA

Application Key K_{AF} can continue to be used until its lifetime expires. When the K_{AF} lifetime expires, a new AKMA Application Key is established based on the current AKMA Anchor Key K_{AKMA} .

6 AKMA Procedures

6.1 Deriving AKMA key after primary authentication

There is no separate authentication of the UE to support AKMA functionality. Instead, it reuses the 5G primary authentication procedure executed e.g. during the UE Registration to authenticate the UE. A successful 5G primary authentication results in K_{AUSF} being stored at the AUSF and the UE.

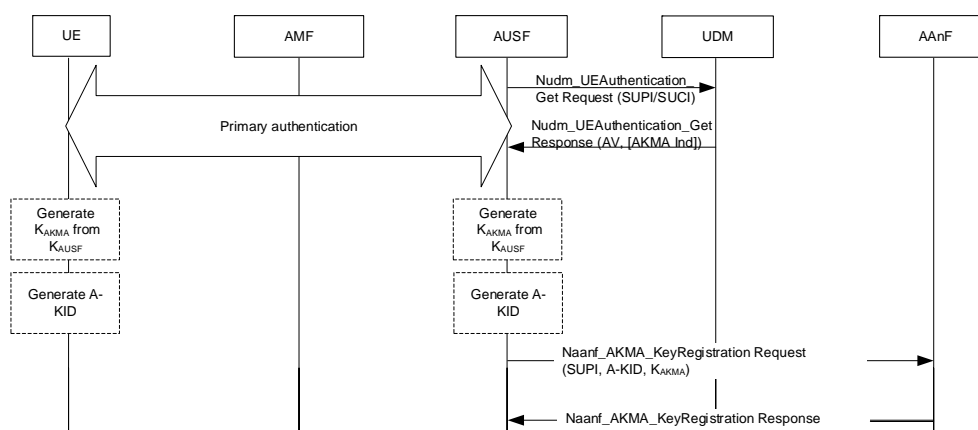


Figure 6.1-1: Deriving AKMA root key after primary authentication

During the primary authentication procedure, the AUSF interacts with the UDM in order to fetch authentication information such as subscription credentials (e.g. AKA Authentication vectors) and the authentication method using the Nudm_UEAuthentication_Get Request service operation. In the response, the UDM may also indicate to the AUSF whether AKMA keys need to be generated for the UE. If the AUSF receives the AKMA indication from the UDM, the AUSF shall store the K_{AUSF} and generate the AKMA Anchor Key (K_{AKMA}) and the A-KID from K_{AUSF} after the primary authentication procedure is successfully completed.

After AKMA key material is generated, the AUSF shall send the generated A-KID, and K_{AKMA} to the AAnF together with the UE SUPI using the Naanf_AKMA_KeyRegistration Request service operation. The AAnF shall store the latest information sent by the AUSF.

NOTE 1: The AUSF need not store any AKMA key material after delivery to the AAnF.

The UE shall generate the AKMA Anchor Key (K_{AKMA}) and the A-KID from the K_{AUSF} before initiating communication with an AKMA Application Function.

A-KID identifies the K_{AKMA} key of the UE from which other AKMA keys are derived.

A-KID shall be in NAI format as specified in clause 2.2 of IETF RFC 7542, i.e. username@realm. The username part includes the Routing Identifier and the A-TID (AKMA Temporary UE Identifier), and the realm part shall include Home Network Identifier.

The A-TID shall be derived from K_{AUSF} as defined in clause A.3.

NOTE 2: The chance of A-TID collision is not zero but practically low as the A-TID derivation is based on KDF specified in Annex B of TS 33.220 [4]. The detection of A-TID collision as well as potential handling of collision is not addressed in the present document.

The key derivation of K_{AKMA} shall be performed using the key derivation function (KDF) specified in TS 33.220 [4]. K_{AKMA} is computed (as per Annex A.2) as $K_{AKMA}=KDF(K_{AUSF}, "AKMA", SUPI)$, where the key derivation parameters consist of a static string "AKMA", and SUPI.

Since AKMA keys are based on K_{AUSF} from primary authentication run, the AKMA keys can only be refreshed by running a fresh primary authentication.

6.2 Deriving AKMA Application Key for a specific AF

Figure 6.2-1 shows the procedure used by the AF to request application function specific AKMA keys from 5GC directly, when the AF is located in the operator's network.

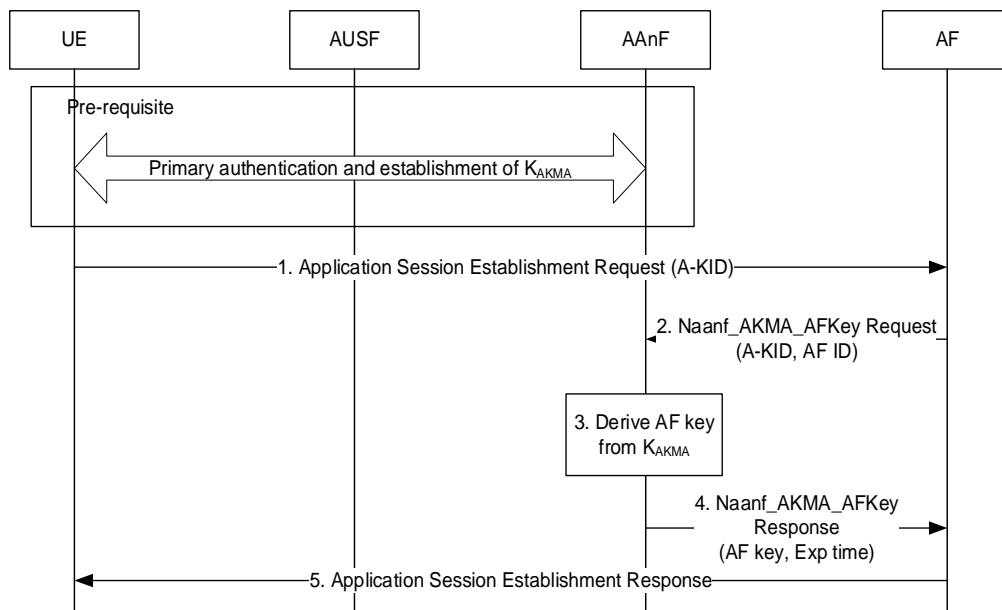


Figure 6.2-1: K_{AF} generation from K_{AKMA}

Before communication between the UE and the AKMA AF can start, the UE and the AKMA AF needs to know whether to use AKMA. This knowledge is implicit to the specific application on the UE and the AKMA AF.

1. When the UE initiates communication with the AKMA AF, it shall include the derived A-KID in the Application Session Establishment request message (see clause 6.1).
2. If the AF does not have an active context associated with the A-KID, then the AF sends a Naanf_AKMA_AFKey request to AAnF with the A-KID to request the AKMA Application Key for the UE. The AF also includes its identity (AF Id) in the request. The AAnF shall authorize AF. The AAnF shall check whether the AAnF can provide the service to the AF based on the configured local policy or based on the authorization information or policy provided by the NEF/NRF using the AF Id. If succeeds, the following procedures are executed. Otherwise, the AAnF shall reject the procedure.

The AAnF can check whether the subscriber is authorized to use AKMA by the presence of the AKMA anchor key K_{AKMA} that has been received from the AUSF.

If the AAnF is in possession of the AKMA Application Key (K_{AF}), it responds to the AF with the K_{AF} . If not, the AAnF shall check if it has the UE specific K_{AKMA} key identified by the A-KID.

If K_{AKMA} is available in AAnF, the AAnF shall continue with step 3.

If K_{AKMA} is not available, the AAnF shall continue with step 4 and send an error response.

3. The AAnF derives the AKMA Application Key (K_{AF}) from K_{AKMA} .

The key derivation of K_{AF} shall be performed using the key derivation function (KDF) specified in TS 33.220 [4]. K_{AF} is computed (as per clause A.4) as $K_{AF}=KDF(K_{AKMA}, AF_ID)$, where the AF_ID is constructed as follows: $AF_ID = FQDN$ of the AF || Ua^* security protocol identifier. The Ua^* security protocol identifier is specified as Ua security protocol identifier in Annex H of TS 33.220 [4]. The key used for the derivation of K_{AF} is K_{AKMA} .

4. The AAnF sends $Naanf_AKMA_AFKey$ response to the AF with K_{AF} and lifetime.
5. The AF response the Application Session Establishment request to the UE.

6.3 AKMA Application Key request via NEF

Figure 6.3-1 shows the procedure used by the AF to request AKMA Application Key from 5GC via NEF, when the AF is located outside the operator's network.

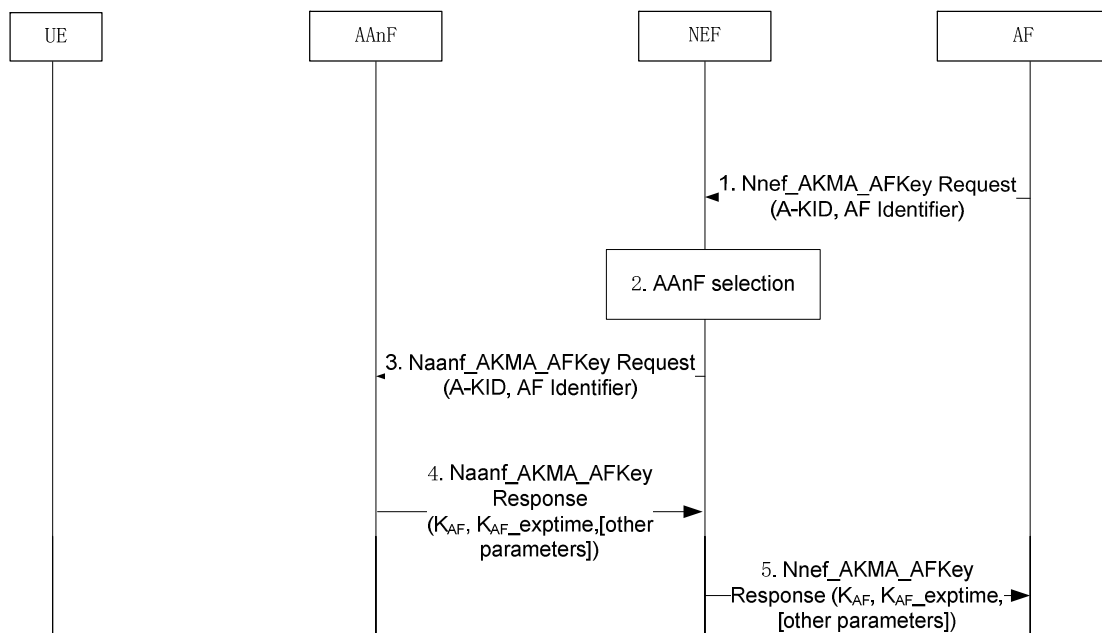


Figure 6.3-1: AKMA Application Key request via NEF

1. When the AF is about to request AKMA Application Key for the UE from the 5GC, e.g. when UE initiates application session establishment request as in clause 6.2, the AF discovers the HPLMN of the UE based on the A-KID and sends the request towards the 5GC via NEF service API.

NOTE: In the case of architecture without CAPIF support, the AF is locally configured with the API termination points for the service. In the case of architecture with CAPIF support, the AF obtains the service API information from the CAPIF core function via the Availability of service APIs event notification or Service Discover Response as specified in TS 23.222 [5].

2. If the AF is authorized by the NEF to request AKMA Application Key, the NEF discovers and selects an AAnF instance based on local configuration or via NRF in the same way as the AF selects the AAnF in clause 6.2.
3. The NEF forwards the AKMA Application Key request to the selected AAnF.
4. The AAnF generates the AKMA Application Key in clause 6.2 and sends the response to the NEF with the K_{AF} , the K_{AF} expiration time ($K_{AF_exptime}$) and potentially other parameters.
5. The NEF forwards the response to the AF.

Editor's Note: Whether other parameters are to be returned to the AF via NEF is FFS.

6.4 AKMA key change

6.4.1 K_{AKMA} re-keying

K_{AKMA} shall be re-keyed by running a primary authentication as described in clause 6.1.

6.4.2 K_{AF} re-keying

The K_{AF} refresh depends on the lifetime of the K_{AF} and may be triggered by the AF, which means when a new K_{AKMA} is derived, the K_{AF} will not be re-keyed automatically.

When the lifetime of K_{AF} expires, the AF may reject access to the UE based on its policy. If there has been a change of K_{AKMA} (e.g., due to a successful run of primary authentication), the UE may re-try accessing the AF by using the A-KID derived from the new K_{AKMA} .

6.4.3 K_{AF} refresh

Ua* protocol may support refresh of K_{AF} . If the Ua* protocol supports refresh of K_{AF} , the AF may refresh the K_{AF} at any time using the Ua* protocol.

6.5 Initiation of AKMA

In case when the UE does not know to use AKMA for a service, then the following procedure applies.

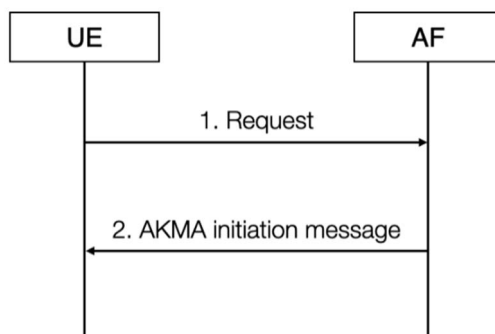


Figure 6.5-1: Initiation of AKMA

1. The UE may start communication over reference point Ua* with the AF with or without any AKMA-related parameters.
2. If the AF requires the use of shared keys obtained by means of the AKMA, but the request from UE does not include AKMA-related parameters, the AF replies with an AKMA initiation message. The form of this initiation message may depend on the particular reference point Ua*.

In case the UE knows to use AKMA for a service, then it directly initiates the procedure in clause 6.2.

7 Security related services

7.1 Services Provided by AAnF

7.1.1 General

The AAnF provides AKMA Application Key derivation service to the requester NF by Naanf_AKMA_KeyRegistration.

7.1.2 Naaanf_AKMA_KeyRegistration

Service operation name: Naaanf_AKMA_KeyRegistration.

Description: The NF consumer requests the AAnf to provide AF related key material.

Input, Required: A-KID, AF ID

Input, Optional: None.

Output, Required: K_{AF} , lifetime.

Output, Optional: None.

7.2 Services Provided by AUSF

7.2.1 General

The AUSF provides AKMA key provision service to the requester NF by Nausf_AKMAkey_Get.

7.1.2 Nausf_AKMAKey_Get Service

Service operation name: Nausf_AKMAkey_Get.

Description: The NF consumer requests the AUSF to get the K_{AKMA} of A-KID.

Input, Required: A-KID.

Input, Optional: None.

Output, Required: K_{AKMA} .

Output, Optional: None.

7.3 Services Provided by NEF

7.3.1 General

The NEF exposes AKMA Application Key derivation service to the requester NF by Nnef_AKMA_AFKey.

7.3.2 Nnef_AKMA_AFKeyCreate Service

Service operation name: Nnef_AKMA_AFKey.

Description: The NF consumer requests the AAnF to provide AF related key material.

Input, Required: A-KID, AF ID

Input, Optional: None.

Output, Required: K_{AF} , lifetime.

Output, Optional: None.

Annex A (normative): Key derivation functions

A.1 KDF interface and input parameter construction

A.1.1 General

All key derivations for AKMA shall be performed using the key derivation function (KDF) specified in Annex B.2.2 of TS 33.220 [4].

This clause specifies how to construct the input string, S , and the input key, KEY , for each distinct use of the KDF. Note that "KEY" is denoted "Key" in TS 33.220 [4].

A.1.2 FC value allocations

The FC number space used is controlled by TS 33.220 [4], FC values allocated for the present document are in the range of TBD1-TBDx.

A.2 K_{AKMA} derivation function

When deriving a K_{AKMA} from K_{AUSF} , the following parameters shall be used to form the input S to the KDF:

- FC = TBD1;
- P0 = "AKMA";
- L0 = length of "AKMA"; (i.e. 0x00 0x04)
- P1 = SUPI;
- L1 = length of SUPI.

The input key KEY shall be K_{AUSF} .

A.3 A-TID derivation function

When deriving the A-TID from K_{AUSF} , the following parameters shall be used to form the input S to the KDF:

- FC = TBD;
- P0 = "A-TID";
- L0 = length of "A-TID"; (i.e. 0x00 0x05)
- P1 = SUPI;
- L1 = length of SUPI.

The input key KEY shall be K_{AUSF} .

A.4 K_{AF} derivation function

When deriving a K_{AF} from K_{AKMA} , the following parameters shall be used to form the input S to the KDF:

- FC = TBD;
- P0 = AF_ID;
- L0 = length of AF_ID

The input key KEY shall be K_{AKMA} .

Annex B (informative): Change history

Change history							
Date	Meeting	TDoc	CR	Rev	Cat	Subject/Comment	New version
2019-10	SA3 #96ad hoc	S3-193817				TS skeleton based on S3-193769; Scope is based on S3-193770; Other content including S3-193841, S3-193772	0.1.0
2019-11	SA3 #97	S3-194640				Updates based on S3-194340, S3-194160, S3-194641, S3-194642, S3-194643, S3-194341, S3-194644, S3-194645, S3-194229, S3-194156	0.2.0
2020-03	SA3 #98e	S3-200511				Updates based on S3-200511, S3-200512, S3-200499, S3-200249, S3-200460, S3-200461, S3-200463, S3-200447, S3-200486, S3-200364, S3-200366, S3-200513	0.3.0
2020-04	SA3 #98bis-e	S3-200831				Updates based on S3-200640, S3-200661, S3-200669, S3-200826, S3-200714, S3-200814, S3-200815, S3-200816, S3-200817, S3-200803, S3-200830, S3-200773	0.4.0
2020-05	SA3#99-e	S3-201xxx				Updates based on S3-201371, S3-201393, S3-2001051, S3-201446, S3-200968, S3-201343, S3-201387, S3-201370, S3-201394, S3-201395, S3-201145, S3-201168, S3-201169, S3-201450	0.5.0
2020-06	SA#88-e	SP-200381				EditHelp review. Presented for information and approval	1.0.0
2020-07	SA#88-e					Upgrade to change control version	16.0.0

History

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