



**5G;
5G Security Assurance Specification (SCAS);
Network Data Analytics Function (NWDAF)
(3GPP TS 33.521 version 17.2.0 Release 17)**



ReferenceRTS/TSGS-0333521vh20

Keywords5G, SECURITY

ETSI

650 Route des Lucioles
F-06921 Sophia Antipolis Cedex - FRANCE

Tel.: +33 4 92 94 42 00 Fax: +33 4 93 65 47 16

Siret N° 348 623 562 00017 - APE 7112B
Association à but non lucratif enregistrée à la
Sous-Préfecture de Grasse (06) N° w061004871

Important notice

The present document can be downloaded from:

<http://www.etsi.org/standards-search>

The present document may be made available in electronic versions and/or in print. The content of any electronic and/or print versions of the present document shall not be modified without the prior written authorization of ETSI. In case of any existing or perceived difference in contents between such versions and/or in print, the prevailing version of an ETSI deliverable is the one made publicly available in PDF format at www.etsi.org/deliver.

Users of the present document should be aware that the document may be subject to revision or change of status.

Information on the current status of this and other ETSI documents is available at

<https://portal.etsi.org/TB/ETSIDeliverableStatus.aspx>

If you find errors in the present document, please send your comment to one of the following services:

<https://portal.etsi.org/People/CommitteeSupportStaff.aspx>

If you find a security vulnerability in the present document, please report it through our
Coordinated Vulnerability Disclosure Program:

<https://www.etsi.org/standards/coordinated-vulnerability-disclosure>

Notice of disclaimer & limitation of liability

The information provided in the present deliverable is directed solely to professionals who have the appropriate degree of experience to understand and interpret its content in accordance with generally accepted engineering or other professional standard and applicable regulations.

No recommendation as to products and services or vendors is made or should be implied.

No representation or warranty is made that this deliverable is technically accurate or sufficient or conforms to any law and/or governmental rule and/or regulation and further, no representation or warranty is made of merchantability or fitness for any particular purpose or against infringement of intellectual property rights.

In no event shall ETSI be held liable for loss of profits or any other incidental or consequential damages.

Any software contained in this deliverable is provided "AS IS" with no warranties, express or implied, including but not limited to, the warranties of merchantability, fitness for a particular purpose and non-infringement of intellectual property rights and ETSI shall not be held liable in any event for any damages whatsoever (including, without limitation, damages for loss of profits, business interruption, loss of information, or any other pecuniary loss) arising out of or related to the use of or inability to use the software.

Copyright Notification

No part may be reproduced or utilized in any form or by any means, electronic or mechanical, including photocopying and microfilm except as authorized by written permission of ETSI.

The content of the PDF version shall not be modified without the written authorization of ETSI.

The copyright and the foregoing restriction extend to reproduction in all media.

© ETSI 2022.
All rights reserved.

Intellectual Property Rights

Essential patents

IPRs essential or potentially essential to normative deliverables may have been declared to ETSI. The declarations pertaining to these essential IPRs, if any, are publicly available for **ETSI members and non-members**, and can be found in ETSI SR 000 314: *"Intellectual Property Rights (IPRs); Essential, or potentially Essential, IPRs notified to ETSI in respect of ETSI standards"*, which is available from the ETSI Secretariat. Latest updates are available on the ETSI Web server (<https://ipr.etsi.org/>).

Pursuant to the ETSI Directives including the ETSI IPR Policy, no investigation regarding the essentiality of IPRs, including IPR searches, has been carried out by ETSI. No guarantee can be given as to the existence of other IPRs not referenced in ETSI SR 000 314 (or the updates on the ETSI Web server) which are, or may be, or may become, essential to the present document.

Trademarks

The present document may include trademarks and/or tradenames which are asserted and/or registered by their owners. ETSI claims no ownership of these except for any which are indicated as being the property of ETSI, and conveys no right to use or reproduce any trademark and/or tradename. Mention of those trademarks in the present document does not constitute an endorsement by ETSI of products, services or organizations associated with those trademarks.

DECT™, **PLUGTESTS™**, **UMTS™** and the ETSI logo are trademarks of ETSI registered for the benefit of its Members. **3GPP™** and **LTE™** are trademarks of ETSI registered for the benefit of its Members and of the 3GPP Organizational Partners. **oneM2M™** logo is a trademark of ETSI registered for the benefit of its Members and of the oneM2M Partners. **GSM®** and the GSM logo are trademarks registered and owned by the GSM Association.

Legal Notice

This Technical Specification (TS) has been produced by ETSI 3rd Generation Partnership Project (3GPP).

The present document may refer to technical specifications or reports using their 3GPP identities. These shall be interpreted as being references to the corresponding ETSI deliverables.

The cross reference between 3GPP and ETSI identities can be found under <http://webapp.etsi.org/key/queryform.asp>.

Modal verbs terminology

In the present document "**shall**", "**shall not**", "**should**", "**should not**", "**may**", "**need not**", "**will**", "**will not**", "**can**" and "**cannot**" are to be interpreted as described in clause 3.2 of the [ETSI Drafting Rules](#) (Verbal forms for the expression of provisions).

"**must**" and "**must not**" are **NOT** allowed in ETSI deliverables except when used in direct citation.

Contents

Intellectual Property Rights	2
Legal Notice	2
Modal verbs terminology.....	2
Foreword.....	4
1 Scope	6
2 References	6
3 Definitions of terms, symbols and abbreviations	6
3.1 Terms.....	6
3.2 Symbols.....	6
3.3 Abbreviations	6
4 NWDAF-specific security requirements and related test cases.....	7
4.1 Introduction	7
4.2 NWDAF-specific security functional requirements and related test cases.....	7
4.2.1 Technical baseline.....	7
4.2.1.1 General	7
4.2.1.2 Protecting data and information	7
4.2.1.2.1 Protecting data and information – general	7
4.2.1.2.2 Protecting data and information – Confidential System Internal Data.....	7
4.2.1.2.3 Protecting data and information in storage	7
4.2.1.2.4 Protecting data and information in transfer.....	7
4.2.1.2.5 Logging access to personal data	7
4.2.1.2.6 Protecting data and information – Data masking on integration analysis.....	7
4.2.2 Void	8
4.3 NWDAF-specific adaptations of hardening requirements and related test cases	8
4.3.1 Introduction.....	8
4.3.2 Technical baseline.....	8
4.3.3 Operating systems.....	8
4.3.4 Web servers	8
4.3.5 Network devices	8
4.3.6 Network functions in service-based architecture	8
4.4 NWDAF-specific adaptations of basic vulnerability testing requirements and related test cases	9
Annex A (informative): Change history	10
History	11

Foreword

This Technical Specification has been produced by the 3rd Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of the present document, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

Version x.y.z

where:

- x the first digit:
 - 1 presented to TSG for information;
 - 2 presented to TSG for approval;
 - 3 or greater indicates TSG approved document under change control.
- y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.
- z the third digit is incremented when editorial only changes have been incorporated in the document.

In the present document, modal verbs have the following meanings:

- shall** indicates a mandatory requirement to do something
- shall not** indicates an interdiction (prohibition) to do something

The constructions "shall" and "shall not" are confined to the context of normative provisions, and do not appear in Technical Reports.

The constructions "must" and "must not" are not used as substitutes for "shall" and "shall not". Their use is avoided insofar as possible, and they are not used in a normative context except in a direct citation from an external, referenced, non-3GPP document, or so as to maintain continuity of style when extending or modifying the provisions of such a referenced document.

- should** indicates a recommendation to do something
- should not** indicates a recommendation not to do something
- may** indicates permission to do something
- need not** indicates permission not to do something

The construction "may not" is ambiguous and is not used in normative elements. The unambiguous constructions "might not" or "shall not" are used instead, depending upon the meaning intended.

- can** indicates that something is possible
- cannot** indicates that something is impossible

The constructions "can" and "cannot" are not substitutes for "may" and "need not".

- will** indicates that something is certain or expected to happen as a result of action taken by an agency the behaviour of which is outside the scope of the present document
- will not** indicates that something is certain or expected not to happen as a result of action taken by an agency the behaviour of which is outside the scope of the present document
- might** indicates a likelihood that something will happen as a result of action taken by some agency the behaviour of which is outside the scope of the present document

might not indicates a likelihood that something will not happen as a result of action taken by some agency the behaviour of which is outside the scope of the present document

In addition:

is (or any other verb in the indicative mood) indicates a statement of fact

is not (or any other negative verb in the indicative mood) indicates a statement of fact

The constructions "is" and "is not" do not indicate requirements.

1 Scope

The present document contains requirements and test cases that are specific to the NWDAF network product class. It refers to the Catalogue of General Security Assurance Requirements and formulates specific adaptations of the requirements and test cases, as well as specifying requirements and test cases unique to the NWDAF network product class.

2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

- [1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".
- [2] 3GPP TS 23.288: "Architecture enhancements for 5G System (5GS) to support network data analytics services".
- [3] 3GPP TS 33.117: "Catalogue of general security assurance requirements".
- [4] 3GPP TR 33.926: "Security Assurance Specification (SCAS) threats and critical assets in 3GPP network product classes".

3 Definitions of terms, symbols and abbreviations

3.1 Terms

For the purposes of the present document, the terms given in TR 21.905 [1] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in TR 21.905 [1].

3.2 Symbols

Void

3.3 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [1].

4 NWDAF-specific security requirements and related test cases

4.1 Introduction

NWDAF specific security requirements include both requirements derived from NWDAF-specific security functional requirements in relevant specifications as well as security requirements introduced in the present document derived from the threats specific to NWDAF as described in TR 33.926 [4].

4.2 NWDAF-specific security functional requirements and related test cases

4.2.1 Technical baseline

4.2.1.1 General

The present clause provides baseline technical requirements.

4.2.1.2 Protecting data and information

4.2.1.2.1 Protecting data and information – general

There are no NWDAF-specific additions to clause 4.2.3.2.1 of TS 33.117 [3].

4.2.1.2.2 Protecting data and information – Confidential System Internal Data

There are no NWDAF-specific additions to clause 4.2.3.2.2 of TS 33.117 [3].

4.2.1.2.3 Protecting data and information in storage

There are no NWDAF-specific additions to clause 4.2.3.2.3 of TS 33.117 [3].

4.2.1.2.4 Protecting data and information in transfer

There are no NWDAF-specific additions to clause 4.2.3.2.4 of TS 33.117 [3].

4.2.1.2.5 Logging access to personal data

There are no NWDAF-specific additions to clause 4.2.3.2.5 of TS 33.117 [3].

4.2.1.2.6 Protecting data and information – Data masking on integration analysis

Requirement Name: Data masking on integration analysis about personal data

Requirement Reference: TBA.

Requirement Description: NWDAF can collect data from UE, NF, OAM, etc. used for analytics. Personal data of the UE's user are involved also. When NWDAF uses such personal data in analytics with other information together, such data correlation operation could bind more personal information with the user's identity. Thus, privacy information about that specific user could be revealed to the person who is allowed to operate data correlation for analytics but not allowed to know the privacy information as the result of data correlation. Therefore, applicable measures (e.g. data masking) shall be applied to mitigate such privacy violation risk.

Threat References: TR 33.926 [4], clause 5.3.6.7, Personal Identification Information Violation

Test Name: TC_DATA_MASKING

Purpose:

Verify that no privacy information of operators' users is revealed to the party who is not allowed to have.

Pre-Condition:

The vendor shall provide the documentation describing how to create an account for accessing the analytics results.

Privacy information list (should be specified based on local policy, regulation and others).

Execution Steps:

1. Review the documentation provided by the vendor describing how to create the account for accessing the analytics results provided by the NWDAF.
2. The tester creates the account, and retrieves the analytics results from the NWDAF using the account.

Expected Results:

The tester can create the account, and the account does not reveal subscriber permanent identifier.

Expected format of evidence:

Evidence suitable for the interface, e.g. screenshot containing the results.

4.2.2 Void

4.3 NWDAF-specific adaptations of hardening requirements and related test cases

4.3.1 Introduction

The present clause contains NWDAF-specific adaptations of hardening requirements and related test cases.

4.3.2 Technical baseline

There are no NWDAF-specific additions to clause 4.3.2 of TS 33.117 [3].

4.3.3 Operating systems

There are no NWDAF-specific additions to clause 4.3.3 of TS 33.117 [3].

4.3.4 Web servers

There are no NWDAF-specific additions to clause 4.3.4 of TS 33.117 [3].

4.3.5 Network devices

There are no NWDAF-specific additions to clause 4.3.5 of TS 33.117 [3].

4.3.6 Network functions in service-based architecture

There are no NWDAF-specific additions to clause 4.3.6 in TS 33.117 [3].

4.4 NWDAF-specific adaptations of basic vulnerability testing requirements and related test cases

There are no NWDAF-specific additions to clause 4.4 of TS 33.117 [3].

Annex A (informative): Change history

Change history							
Date	Meeting	TDoc	CR	Rev	Cat	Subject/Comment	New version
2021-06	SA#92e	SP-210427				Presented for information and approval	1.0.0
2021-06	SA#92e					EditHelp review and upgrade to change control version	17.0.0
2021-09	SA#93e	SP-210898	0002	1	F	Clarification on Data Masking on Integration Analysis	17.1.0
2022-06	SA#96	SP-220547	0003	-	F	Delete Use Case on Finding the right NF instance are serving the UE	17.2.0

History

Document history		
V17.1.0	May 2022	Publication
V17.2.0	July 2022	Publication