ETSI TS 132 572 V17.0.0 (2022-04)



Universal Mobile Telecommunications System (UMTS); LTE; Telecommunication management; Home Node B (HNB) and Home eNode B (HeNB) management; Type 2 interface models and mapping functions (3GPP TS 32.572 version 17.0.0 Release 17)



Reference RTS/TSGS-0532572vh00

Keywords

LTE,UMTS

ETSI

650 Route des Lucioles F-06921 Sophia Antipolis Cedex - FRANCE

Tel.: +33 4 92 94 42 00 Fax: +33 4 93 65 47 16

Siret N° 348 623 562 00017 - APE 7112B Association à but non lucratif enregistrée à la Sous-Préfecture de Grasse (06) N° w061004871

Important notice

The present document can be downloaded from: <u>http://www.etsi.org/standards-search</u>

The present document may be made available in electronic versions and/or in print. The content of any electronic and/or print versions of the present document shall not be modified without the prior written authorization of ETSI. In case of any existing or perceived difference in contents between such versions and/or in print, the prevailing version of an ETSI deliverable is the one made publicly available in PDF format at www.etsi.org/deliver.

Users of the present document should be aware that the document may be subject to revision or change of status. Information on the current status of this and other ETSI documents is available at <u>https://portal.etsi.org/TB/ETSIDeliverableStatus.aspx</u>

If you find errors in the present document, please send your comment to one of the following services: <u>https://portal.etsi.org/People/CommiteeSupportStaff.aspx</u>

If you find a security vulnerability in the present document, please report it through our Coordinated Vulnerability Disclosure Program: https://www.etsi.org/standards/coordinated-vulnerability-disclosure

Notice of disclaimer & limitation of liability

The information provided in the present deliverable is directed solely to professionals who have the appropriate degree of experience to understand and interpret its content in accordance with generally accepted engineering or other professional standard and applicable regulations.

No recommendation as to products and services or vendors is made or should be implied.

No representation or warranty is made that this deliverable is technically accurate or sufficient or conforms to any law and/or governmental rule and/or regulation and further, no representation or warranty is made of merchantability or fitness for any particular purpose or against infringement of intellectual property rights.

In no event shall ETSI be held liable for loss of profits or any other incidental or consequential damages.

Any software contained in this deliverable is provided "AS IS" with no warranties, express or implied, including but not limited to, the warranties of merchantability, fitness for a particular purpose and non-infringement of intellectual property rights and ETSI shall not be held liable in any event for any damages whatsoever (including, without limitation, damages for loss of profits, business interruption, loss of information, or any other pecuniary loss) arising out of or related to the use of or inability to use the software.

Copyright Notification

No part may be reproduced or utilized in any form or by any means, electronic or mechanical, including photocopying and microfilm except as authorized by written permission of ETSI.

The content of the PDF version shall not be modified without the written authorization of ETSI.

The copyright and the foregoing restriction extend to reproduction in all media.

© ETSI 2022. All rights reserved.

Intellectual Property Rights

Essential patents

IPRs essential or potentially essential to normative deliverables may have been declared to ETSI. The declarations pertaining to these essential IPRs, if any, are publicly available for **ETSI members and non-members**, and can be found in ETSI SR 000 314: "Intellectual Property Rights (IPRs); Essential, or potentially Essential, IPRs notified to ETSI in respect of ETSI standards", which is available from the ETSI Secretariat. Latest updates are available on the ETSI Web server (https://ipr.etsi.org/).

Pursuant to the ETSI Directives including the ETSI IPR Policy, no investigation regarding the essentiality of IPRs, including IPR searches, has been carried out by ETSI. No guarantee can be given as to the existence of other IPRs not referenced in ETSI SR 000 314 (or the updates on the ETSI Web server) which are, or may be, or may become, essential to the present document.

Trademarks

The present document may include trademarks and/or tradenames which are asserted and/or registered by their owners. ETSI claims no ownership of these except for any which are indicated as being the property of ETSI, and conveys no right to use or reproduce any trademark and/or tradename. Mention of those trademarks in the present document does not constitute an endorsement by ETSI of products, services or organizations associated with those trademarks.

DECTTM, **PLUGTESTSTM**, **UMTSTM** and the ETSI logo are trademarks of ETSI registered for the benefit of its Members. **3GPPTM** and **LTETM** are trademarks of ETSI registered for the benefit of its Members and of the 3GPP Organizational Partners. **oneM2MTM** logo is a trademark of ETSI registered for the benefit of its Members and of the oneM2M Partners. **GSM**[®] and the GSM logo are trademarks registered and owned by the GSM Association.

Legal Notice

This Technical Specification (TS) has been produced by ETSI 3rd Generation Partnership Project (3GPP).

The present document may refer to technical specifications or reports using their 3GPP identities. These shall be interpreted as being references to the corresponding ETSI deliverables.

The cross reference between 3GPP and ETSI identities can be found under http://webapp.etsi.org/key/queryform.asp.

Modal verbs terminology

In the present document "shall", "shall not", "should", "should not", "may", "need not", "will", "will not", "can" and "cannot" are to be interpreted as described in clause 3.2 of the <u>ETSI Drafting Rules</u> (Verbal forms for the expression of provisions).

"must" and "must not" are NOT allowed in ETSI deliverables except when used in direct citation.

Contents

Intelle	ectual Property Rights	2		
Legal	Notice	2		
Moda	l verbs terminology	2		
Forew	/ord	4		
Introd	uction	4		
1	Scope	5		
2	References	5		
3 3.1 3.2	Definitions and abbreviations Definitions Abbreviations	6		
4 4.1 4.2	Basic Aspects General System context	6		
5	Information Object Classes	6		
6	Interface Definition	7		
7 7.1 7.2	Mapping Function General Configuration management	7		
7.2.1 7.2.2	HNB provisioning support (O) HeNB provisioning support (O)	7 8		
7.3 7.3.1	Fault management Handling of "Expedited handling" and "Queued handling" alarms			
Annex A (informative): Change history				
1115101	y	11		

Foreword

This Technical Specification has been produced by the 3rd Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of the present document, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

Version x.y.z

where:

- x the first digit:
 - 1 presented to TSG for information;
 - 2 presented to TSG for approval;
 - 3 or greater indicates TSG approved document under change control.
- y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.
- z the third digit is incremented when editorial only changes have been incorporated in the document.

Introduction

The present document is part of a TS-family covering the 3rd Generation Partnership Project Technical Specification Group Services and System Aspects, Telecommunication management; as identified below:

- 32.571 "Telecommunication management; Home Node B (HNB) and Home eNode B (HeNB) management; Type 2 interface concepts and requirements"
- 32.572: "Telecommunication management; Home Node B (HNB) and Home eNode B (HeNB) management; Type 2 interface models and mapping functions"

1 Scope

The present document describes requirements and concepts including architecture supporting Home NB and Home eNB OAM&P for interface Type 2.

2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.
- [1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".
- [2] 3GPP TS 32.101: "Telecommunication management; Principles and high level requirements".
- [3] 3GPP TS 32.102: "Telecommunication management; Architecture".
- [4] 3GPP TS 32.622: "Generic network resources Integration Reference Point (IRP); Network Resource Model (NRM)".
- [5] 3GPP TS 32.583 Procedure flows for Type 1 interface HNB to HMS
- [6] 3GPP TS 32.602 Basic CM Integration Reference Point (IRP); Information Service (IS)
- [7] 3GPP TS 32.602 Bulk CM Integration Reference Point (IRP); Information Service (IS)
- [8] 3GPP TS 32.593 Procedure flows for Type 1 interface HeNB to HeNB Management System
- [9] 3GPP TS 32.584 XML definitions for Type 1 interface HNB to HNB Management System
- [10] 3GPP TS 32.594 XML definitions for Type 1 interface HeNB to HeNB Management System
- [11] 3GPP TS 32.111-2: "Telecommunication management; Fault Management; Part 2: Alarm Integration Reference Point (IRP): Information Service (IS)"
- [12] 3GPP TS 32.582: "Telecommunications management; Home Node B (HNB) Operations, Administration, Maintenance and Provisioning (OAM&P); Information model for Type 1 interface HNB to HNB Management System (HMS)".
- [13] 3GPP TS 32.342 File Transfer (FT) Integration Reference Point (IRP): Information Service (IS)
- [14] 3GPP TS 32.772 Home Node B Subsystem (HNS); Network Resource Model (NRM); Integration Reference Point (IRP); Information Service (IS).
- [15] 3GPP TS 32.150 Integration Reference Point(IRP) Concept and definitions

3 Definitions and abbreviations

For the purposes of this document, the terms and definitions given in TS 21.905 [1], TS 32.101 [2] and TS 32.102 [3] and in the following sub-clause 3.1 apply. Same term may be defined in different documents. The precedence rule, applicable to this document, is in the order of: this document, TS 32.101 [2], TS 32.102 [3], TS 21.905 [1].

3.1 Definitions

There is no additional definition defined in this subclause.

3.2 Abbreviations

For the purposes of the present document, the following abbreviations apply:

FT	File Transfer
HNB	Home Node B
HeNB	Home eNode B
HMS	HNB Management System
HeMS	HeNB Management System
HNS	Home Node B Subsystem
IOCs	Information Object Classes
IRP	Integration Reference Point
NRM	Network Resource Model

4 Basic Aspects

4.1 General

4.2 System context

The general definition of the System Context for the present IRP is found in 3GPP TS 32.150 [15] subclause 4.7. Only System Context A applies to this document. In addition, the IRP(s) relevant to the present document are shown.

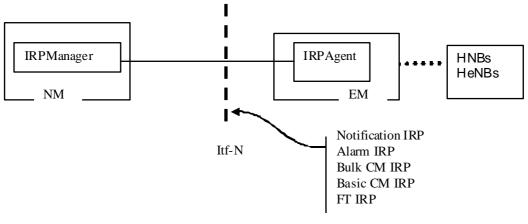


Figure 1: System Context

5 Information Object Classes

This specification does not define its own classes. It uses those defined in Home Node B Subsystem (HNS) [14].

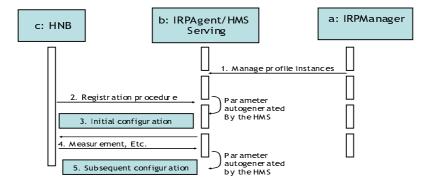
6 Interface Definition

This document does not define its own Interface definition. It re-uses Alarm IRP [11], FT IRP [13], Basic CM IRP [6] and Bulk CM IRP [7].

7 Mapping Function

- 7.1 General
- 7.2 Configuration management
- 7.2.1 HNB provisioning support (O)

This subclause applies to HNB case.



IRPManager needs to create an HNBProfile instance. Before doing so, IRPManager

- a) Creates a dataset holding information that will be referred to by the to-be-created HNBProfile.configuration. IRPManager names this dataset using the File Naming Convention of Annex A of [13]. The file name shall contain the specificIRP_extension field which is set to "HNB". The file schema is defined in subclause 4.2.2 of [9].
- b) Prepares the value of the attribute criterion and attribute userLabel of the to-be-created HNBProfile.
- c) Creates the HNBProfile instance using Basic CM IRP IS createMO of [6] or using Bulk CM IRP IS BulkCmCreateMo (Create MO Sub-operation) of [7]..

In case Basic CM IRP is used for the instance creation, IRPManager reception of:

- ➤ A createMO positive response or a notifyObjectCreation means:
 - The instance has been created successfully;
- ➤ A createMO negative response means:
 - The instance has not been created and the response can include the failure reason.

In case Bulk CM IRP is used for the instance creation, IRPManager reception of:

- A notifyObjectCreation means:
 - The instance has been created successfully;

It is noted that in case Bulk CM IRP is used for the instance creation, the BulkCMIRP can record the outcome of the instance creation attempt in the session log. The IRPManager can obtain the session log (see clause 7.3.6 of [7]) if it wants to determine if the instance is created successfully or not.

The above description is part of interaction 1.

IRPManager should not remove the dataset referred to by HNBProfile.configuration as long as the HNBProfile instance exists. This is because an IRPAgent may not make a local copy of the dataset during HNBProfile instance creation and therefore needs to read the dataset during the HNB registration.

IRPManager should not modify the dataset referred to by HNBProfile.configuration as long as the HNBProfile instance exists. This is to guarantee an IRPAgent behaviour that is independent of the IRPAgent implemention choices, such as:

- 1) IRPAgent creates its local copy of the dataset when the HNBProfile is in existence and uses the local copy during HNB registration;
- 2) IRPAgent does not make a local copy of the dataset but reads the dataset during HNB registration.

Interaction 2 is the interactions 5.1, 5.2, 5.3, 5.4, 5.3-bis and 5.4-bis of Clause 5.2.1 of [5].

Via interaction 5.1 (see Clause 5.2.1 of [5]), HNB informs IRPAgent- Serving HMS of the HNB location, the HNB ID, etc, called (in the context of this document) the registration information.

IRPAgent- Serving HMS identifies a stored HNBProfile.criterion that corresponds to the registration information. It then identifies the corresponding HNBProfile.configuration.

In case IRPAgent- Serving HMS identifies more than one stored HNBProfile.criterion that corresponds to the registration information. It then identifies the corresponding HNBProfile.configuration, IRPAgent-Serving HMS would decide which HNBProfile.configuration would be used.

Via interaction 5.3 or 5.4-bis (see Clause 5.2.1 of [5]), IRPAgent – Serving HMS configures the HNB using the identified HNBProfile.configuration.

7.2.2 HeNB provisioning support (O)

This subclause applies to HeNB case.

This subclause is identical to 7.2.1 except:

- 'HNB' is replaced by 'HeNB'
- 'HMS' is replaced by 'HeMS'
- References [5] and [9] are replaced by [8] and [10].

7.3 Fault management

7.3.1 Handling of "Expedited handling" and "Queued handling" alarms

HNB raises alarms of various categories, two of which are called "Expedited handling" and "Queue handling". HNB uses TR-069 RPC Methods to send the "Expedited handling" and "Queue handling" categories of alarms (see Clause 6.2.4 of [12]). HNB does not use TR-069 RPC Methods to send other categories of alarms.

On reception of the HNB alarms sent by TR-069 RPC Methods, the mapping function (F) shall process the alarm and decide if

- a) There exists no AlarmInformation [11] in AlarmList [11] corresponding to the newly received alarm or
- b) There exists an AlarmInformation in AlarmList corresponding to the newly received alarm. There is a difference in value of perceivedSeverity of the newly received alarm and that of the corresponding AlarmInformation and the former value is not Cleared.
- c) There exists an AlarmInformation in AlarmList corresponding to the newly received alarm. There is a difference in value of perceivedSeverity of the newly received alarm and that of the corresponding AlarmInformation and the former value is Cleared.

In case of a), a new AlarmInformation is added in the AlarmList. The IRPManager, who has a subscription with NotificationIRP, is notified via notifyNewAlarm if the added AlarmInformation satisfies the subscription filter constraint.

In case of b), the corresponding AlarmInformation perceivedSeverity is changed. The IRPManager, who has a subscription with NotificationIRP, is notified via notifyChangedAlarm if the subject AlarmInformation satisfies the subscription filter constraint.

In case of c), the corresponding AlarmInformation is removed from the AlarmList if it has been acknowledged; else its perceivedSeverity is changed to Cleared. The IRPManager, who has a subscription with NotificationIRP, is notified via notifyClearedAlarm if the subject AlarmInformation satisfies the subscription filter constraint.

Annex A (informative): Change history

	Change history								
Date	TSG #	TSG Doc.	CR	Rev	Subject/Comment	Old	New		
2010-03	SA#47	SP-100056			Presentation to SA for information and approval		1.0.0		
2010-03					Publication of SA approved version	1.0.0	9.0.0		
2011-03	-	-	-	-	Update to Rel-10 version (MCC)	9.0.0	10.0.0		
2011-06	SP#52	SP-110286	001		Modify errors in reference and related paragraphs	10.0.0	11.0.0		
2014-10	-	-	-	-	Update to Rel-12 version (MCC)	11.0.0	12.0.0		
2016-01	-	-	-	-	Update to Rel-13 version (MCC)	12.0.0	13.0.0		
2017-04	SA#75	-	-	-	Promotion to Release 14 without technical change	13.0.0	14.0.0		
2018-06	-	-	-	-	Update to Rel-15 version (MCC)	14.0.0	15.0.0		
2020-07	-	-	-	-	Update to Rel-16 version (MCC)	15.0.0	16.0.0		
2022-04	-	-	-	-	Update to Rel-17 version (MCC)	16.0.0	17.0.0		

History

Document history							
V17.0.0	April 2022	Publication					