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**5G;  
5G System;  
Policy and Charging Control signalling flows and QoS  
parameter mapping;  
Stage 3  
(3GPP TS 29.513 version 16.5.0 Release 16)**



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# Foreword

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# 1 Scope

The present document specifies detailed call flows of Policy and Charging Control (PCC) over the Npcf, Nsmf, Namf, Nudr, Nnef, Nchf, Nbsf and Nnwdaf service-based interfaces and their relationship with the flow level signalling in 5G system.

NOTE: The call flows depicted in this Technical Specification do not cover all traffic cases.

The stage 2 definition and procedures of PCC are contained in 3GPP TS 23.502 [3] and 3GPP TS 23.503 [4]. The 5G System Architecture is defined in 3GPP TS 23.501 [2].

Detailed stage 3 procedures are provided in 3GPP TS 29.507 [7], 3GPP TS 29.508 [8], 3GPP TS 29.512 [9], 3GPP TS 29.514 [10], 3GPP TS 29.520 [11], 3GPP TS 29.519 [12], 3GPP TS 29.521 [22], 3GPP TS 29.594 [23], 3GPP TS 29.522 [24], 3GPP TS 29.551 [25], 3GPP TS 29.525 [31] and 3GPP TS 29.554 [26].

The Technical Realization of the Service Based Architecture and the Principles and Guidelines for Services Definition of the 5G System are specified in 3GPP TS 29.500 [5] and 3GPP TS 29.501 [6].

The present specification also describes the PCC reference architectures for non-roaming and roaming scenarios in 5G system.

The present specification also describes the mapping of QoS parameters at AF, PCF and SMF.

The present specification also describes the session binding at PCF, and the QoS flow binding at SMF.

The present specification also describes the PCF addressing.

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# 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

- [1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".
- [2] 3GPP TS 23.501: "System Architecture for the 5G System; Stage 2".
- [3] 3GPP TS 23.502: "Procedures for the 5G System; Stage 2".
- [4] 3GPP TS 23.503: "Policy and Charging Control Framework for the 5G System; Stage 2".
- [5] 3GPP TS 29.500: "5G System; Technical Realization of Service Based Architecture; Stage 3".
- [6] 3GPP TS 29.501: "5G System; Principles and Guidelines for Services Definition; Stage 3".
- [7] 3GPP TS 29.507: "5G System; Access and Mobility Policy Control Service; Stage 3".
- [8] 3GPP TS 29.508: "5G System; Session Management Event Exposure Service; Stage 3".
- [9] 3GPP TS 29.512: "5G System; Session Management Policy Control Service; Stage 3".
- [10] 3GPP TS 29.514: "5G System; Policy Authorization Service; Stage 3".
- [11] 3GPP TS 29.520: "5G System; Network Data Analytics Services; Stage 3".

- [12] 3GPP TS 29.519: "5G System; Usage of the Unified Data Repository Service for Policy Data, Application Data and Structured Data for Exposure; Stage 3".
- [13] 3GPP TS 23.203: "Policies and Charging control architecture; Stage 2".
- [14] 3GPP TS 26.114: "IP Multimedia Subsystem (IMS); Multimedia Telephony; Media handling and interaction".
- [15] 3GPP TS 29.201: "Representational State Transfer (REST) reference point between Application Function (AF) and Protocol Converter (PC)".
- [16] IETF RFC 4566: "SDP: Session Description Protocol".
- [17] 3GPP TS 26.247: "Transparent end-to-end Packet-switched Streaming Service (PSS) Progressive Download and Dynamic Adaptive Streaming over HTTP (3GP-DASH)".
- [18] 3GPP TS 29.214: "Policy and Charging Control over Rx reference point".
- [19] 3GPP TS 26.234: "End-to-end transparent streaming service; Protocols and codecs".
- [20] 3GPP2 C.S0046-0 v1.0: "3G Multimedia Streaming Services".
- [21] 3GPP2 C.S0055-A v1.0: "Packet Switched Video Telephony Services (PSVT/MCS)".
- [22] 3GPP TS 29.521: "5G System; Binding Support Management Service; Stage 3".
- [23] 3GPP TS 29.594: "5G System; Spending Limit Control Service; Stage 3".
- [24] 3GPP TS 29.522: "5G System; Network Exposure Function Northbound APIs; Stage 3".
- [25] 3GPP TS 29.551: "5G System; Packet Flow Description Management Service; Stage 3".
- [26] 3GPP TS 29.554: "5G System; Background Data Transfer Policy Control Service; Stage 3".
- [27] 3GPP TS 29.504: "5G System; Unified Data Repository Services; Stage 3".
- [28] 3GPP TS 32.240: "Charging management; Charging architecture and principles".
- [29] IETF RFC 6733: "Diameter Base Protocol".
- [30] 3GPP TS 29.213: "Policy and charging control signalling flows and Quality of Service (QoS) parameter mapping".
- [31] 3GPP TS 29.525: "UE Policy Control Service; Stage 3".
- [32] 3GPP TS 29.518: "Access and Mobility Management Services; Stage 3".
- [33] 3GPP TS 24.501: "
- [34] 3GPP TS 29.122: "T8 reference point for northbound Application Programming Interfaces (APIs)". Non-Access-Stratum (NAS) protocol for 5G System (5GS); Stage 3".
- [35] 3GPP TS 24.292: "IP Multimedia (IM) Core Network (CN) subsystem Centralized Services (ICS); Stage 3".
- [36] IETF RFC 3556: "Session Description Protocol (SDP) Bandwidth Modifiers for RTP Control Protocol (RTCP) Bandwidth".
- [37] IETF RFC 3890: "A Transport Independent Bandwidth Modifier for the Session Description Protocol (SDP)".
- [38] IETF RFC 5761: "Multiplexing RTP Data and Control Packets on a Single Port".
- [39] IETF RFC 4145: "TCP-Based Media Transport in the Session Description Protocol (SDP)".
- [40] IETF RFC 4975: "The Message Session Relay Protocol (MSRP)".

- [41] 3GPP TS 24.229: "IP multimedia call control protocol based on Session Initiation Protocol (SIP) and Session Description Protocol (SDP); Stage 3".
- [42] IETF RFC 4412: "Communications Resource Priority for the Session Initiation Protocol (SIP)".
- [43] IETF RFC 3264: "An Offer/Answer model with the Session Description Protocol (SDP)".
- [44] 3GPP TS 23.216: "Single Radio Voice Call Continuity (SRVCC); Stage 2".
- [45] 3GPP TS 23.380: "IMS Restoration Procedures".
- [46] 3GPP TS 23.221: "Architectural requirements".

---

## 3 Definitions, symbols and abbreviations

### 3.1 Definitions

For the purposes of the present document, the terms and definitions given in TR 21.905 [1] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in TR 21.905 [1].

### 3.2 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [1].

5GC	5G Core Network
5QI	5G QoS Identifier
AF	Application Function
AMBR	Aggregate Maximum Bit Rate
AMF	Access and Mobility Management Function
ARP	Allocation and Retention Priority
AW	Average Window
BDT	Background Data Transfer
BSF	Binding Support Function
CHEM	Coverage and Handoff Enhancements using Multimedia error robustness feature
CHF	Charging Function
DN-AAA	Data Network Authentication, Authorization and Accounting
LBO	Local Breakout
MBR	Maximum Bitrate
MCS	Mission Critical Service
MPD	Media Presentation Description
MPS	Multimedia Priority Service
NEF	Network Exposure Function
NPLI	Network Provided Location Information
NRF	Network Repository Function
NSSAI	Network Slice Selection Assistance Information
NWDAF	Network Data Analytics Function
PCC	Policy and Charging Control
PCF	Policy Control Function
PDB	Packet Delay Budget
PER	Packet Error Rate
PFD	Packet Flow Description
PFDF	Packet Flow Description Function
PL	Priority Level
PSAP	Public Safety Access Point
P-CSCF	Proxy Call Session Control Function
QNC	QoS Notification Control
QoS	Quality of Service

SCP	Service Communication Proxy
SDP	Session Description Protocol
SEPP	Security Edge Protection Proxy
SMF	Session Management Function
S-NSSAI	Single Network Slice Selection Assistance Information
TSN	Time Sensitive Networking
UDR	Unified Data Repository
UPF	User Plane Function
UPSI	UE policy section identifier

## 4 Reference architecture

The policy framework functionality in 5G is comprised by the functions of the Policy Control Function (PCF), the policy and charging enforcement functionality supported by SMF and UPF, the access and mobility policy enforcement functionality supported by the AMF, the Network Data Analytics Function (NWDAF), the Network Exposure Function (NEF), the Charing Function (CHF), the Unified Data Repository (UDR) and the Application Function (AF). For the roaming scenario, the Security Edge Protection Proxy (SEPP) is deployed between the V-PCF and H-PCF. 3GPP TS 23.503 [4] specifies the 5G policy framework stage 2 functionality.

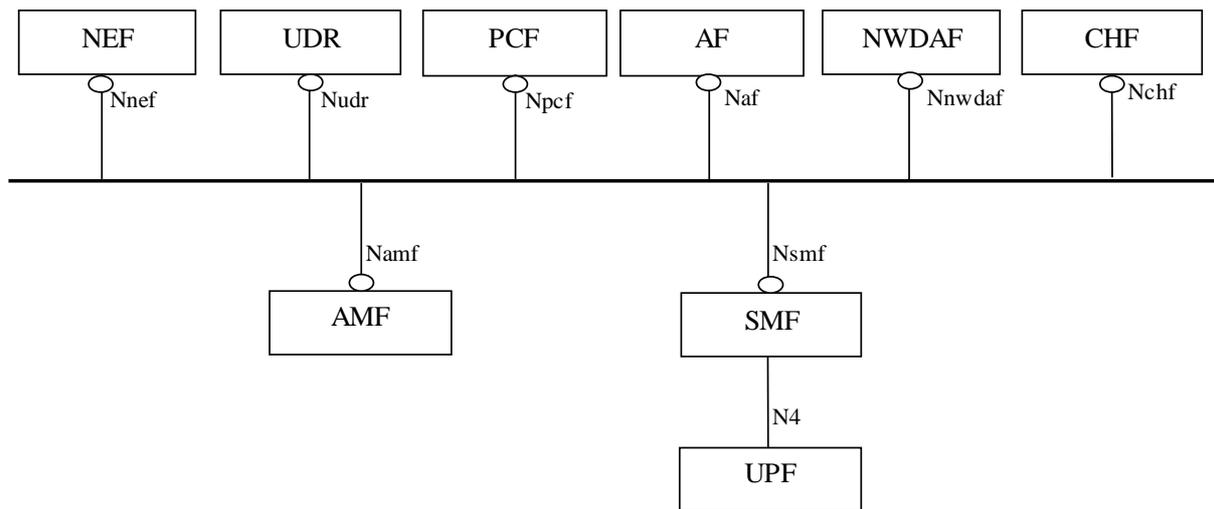
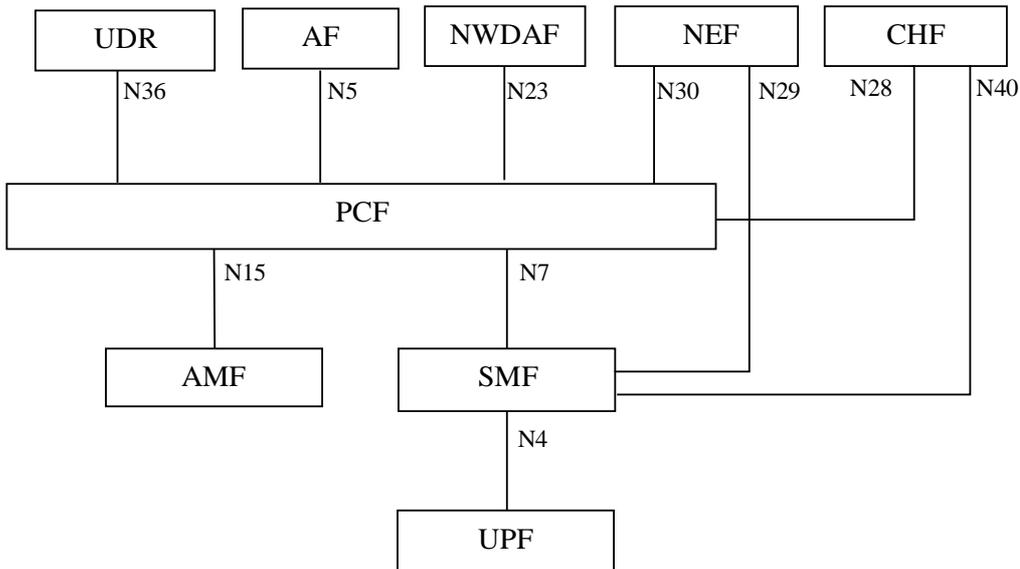


Figure 4.1-1a: Overall non-roaming 5G Policy framework architecture (service based representation)



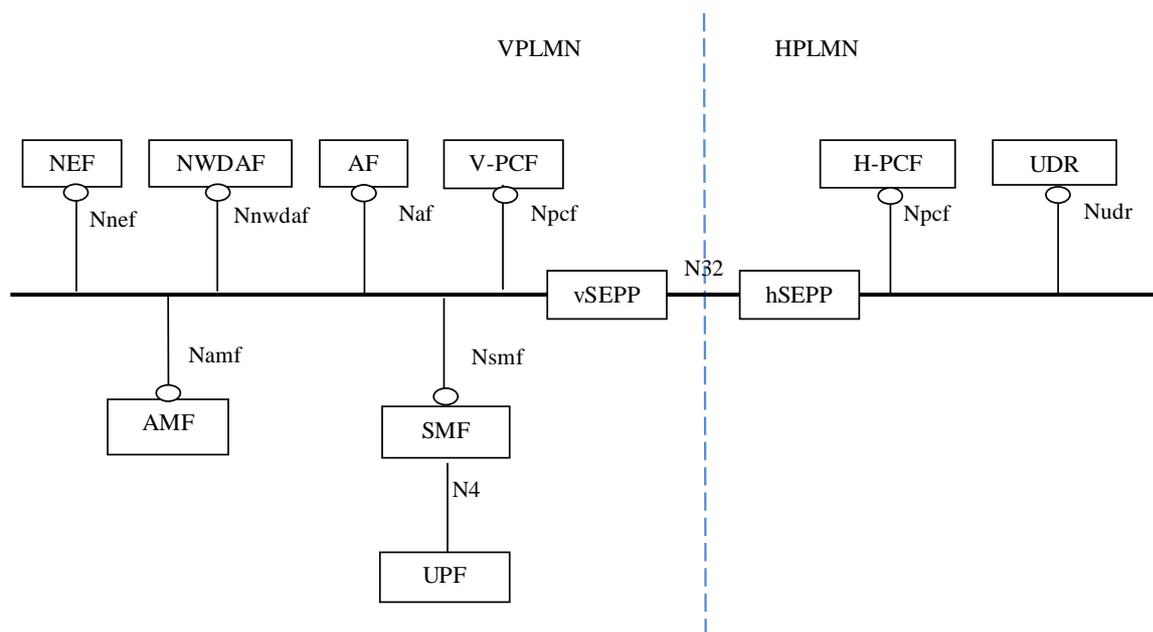
**Figure 4.1-1b: Overall non-roaming 5G Policy framework architecture (reference point representation)**

NOTE 1: The N4 interface is not part of the Policy Framework architecture but shown in the figures for completeness.

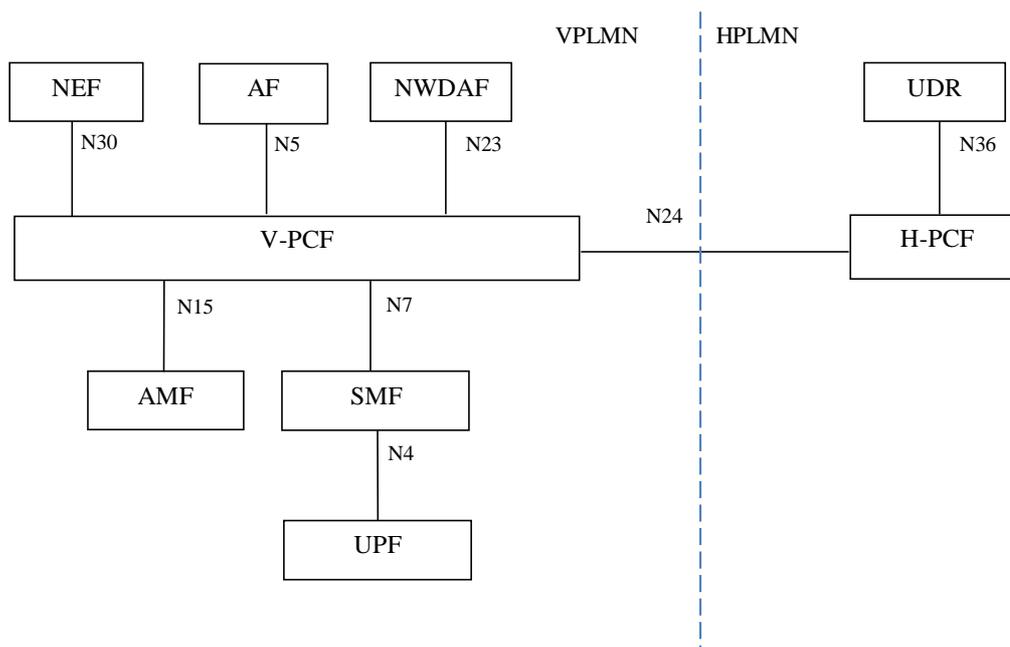
NOTE 2: If an SCP is deployed it can be used for indirect communication between NFs and NF services as described in Annex E of 3GPP TS 23.501 [2].

The Nchf service for online and offline charging consumed by the SMF is defined in 3GPP TS 32.240 [28].

The Nchf service for Spending Limit Control consumed by the PCF is defined in 3GPP TS 29.594 [23].



**Figure 4.1-2a: Overall roaming policy framework architecture - LBO (service based representation)**



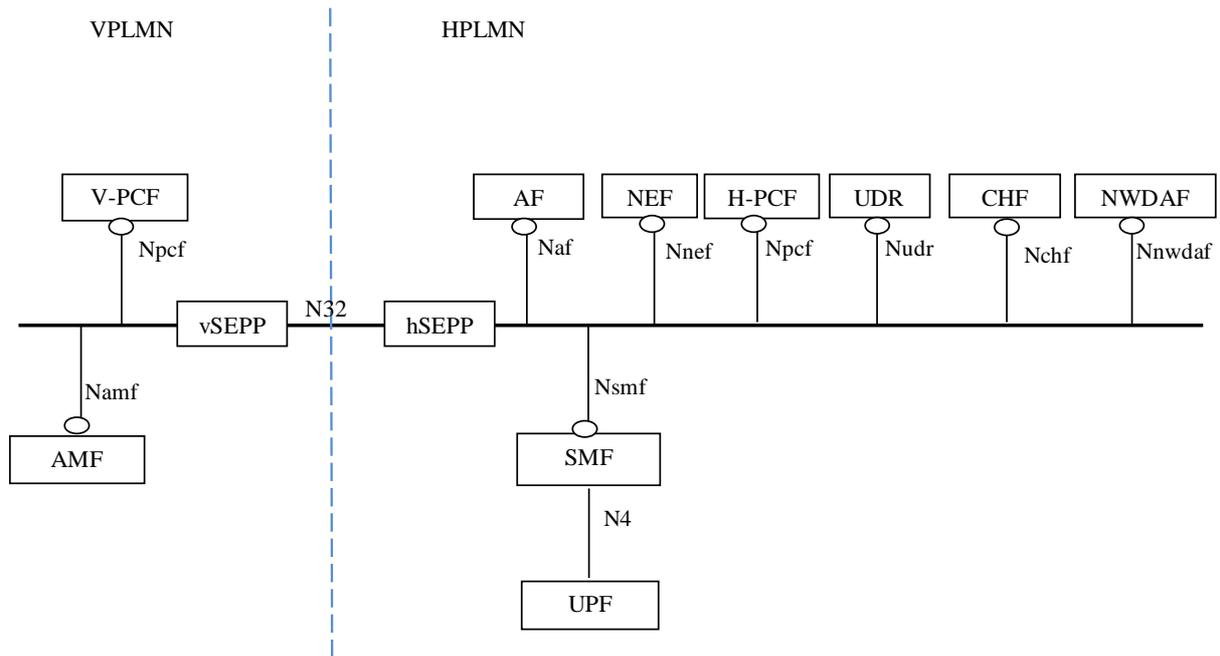
**Figure 4.1-2b: Overall roaming policy framework architecture - LBO (reference point representation)**

NOTE 3: In the LBO scenario, the PCF in the VPLMN may interact with the AF in order to generate PCC rules for services delivered via the VPLMN. The PCF in the VPLMN uses locally configured policies according to the roaming agreement with the HPLMN operator as input for PCC rule generation. The PCF in VPLMN has no access to subscriber policy information from the HPLMN to retrieve input for PCC Rule generation. The interactions between the PCF in the VPLMN and the PCF in the HPLMN through the Npcf service based interface enables the PCF in the HPLMN to provision UE policies to the PCF in the VPLMN, as described in 3GPP TS 23.503 [4] subclause 5.2.5.

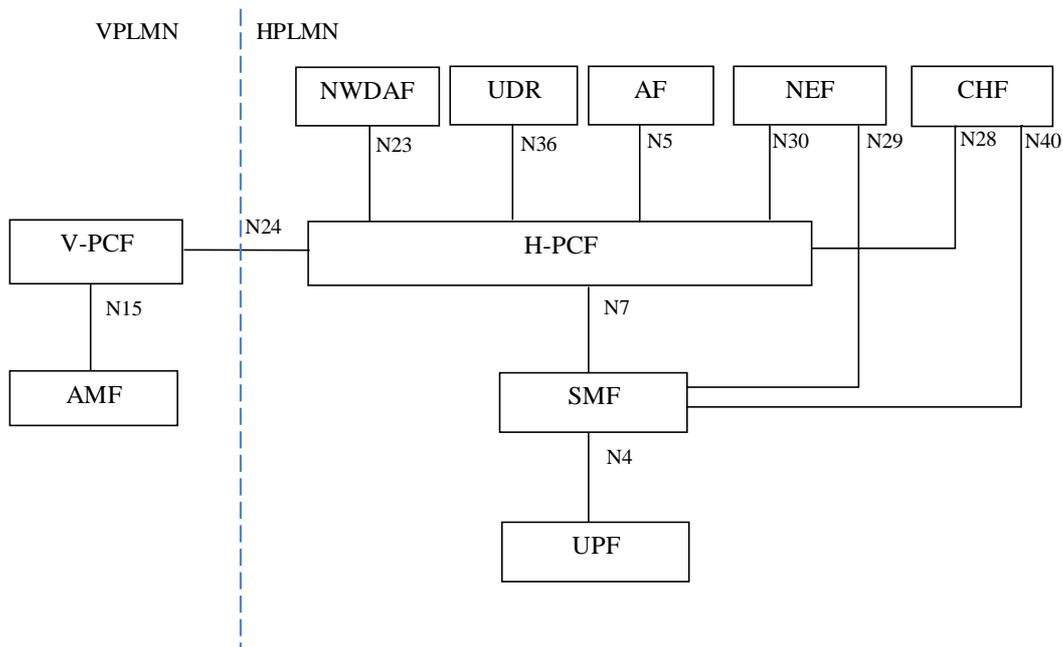
NOTE 4: In the LBO scenario, AF requests targeting a DNN (and slice) and / or a group of UEs are stored in the UDR by the NEF. The PCF in the VPLMN subscribes to and get notification from the UDR in the VPLMN for those AF requests. Details are defined in subclause 5.6.7 of 3GPP TS 23.501 [2].

NOTE 5: For the sake of clarity, SEPPs are not depicted in the roaming reference point architecture figures.

NOTE 6: N4 and N32 are not service based interfaces.



**Figure 4.1-3a: Overall roaming policy framework architecture - home routed scenario (service based representation)**



**Figure 4.1-3b: Overall roaming policy framework architecture - home routed scenario (reference point representation)**

NOTE 7: For the sake of clarity, SEPPs are not depicted in the roaming reference point architecture figures.

NOTE 8: N4 and N32 are not service based interfaces.

NOTE 9: An SCP can be used for indirect communication between NFs and NF services within the VPLMN, within the HPLMN, or in within both VPLMN and HPLMN. For simplicity, the SCP is not shown in the roaming architecture.

To allow the 5G system to interwork with AFs related to existing services, e.g. IMS based services, Mission Critical Push To Talk services, the PCF shall support the corresponding Rx procedures and requirements defined in 3GPP TS 29.214 [18]. This facilitates the migration from EPC to 5GC without requiring these AFs to upgrade to support the N5 interface.

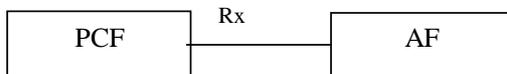


Figure 4.1-4: Interworking between 5G Policy framework and AFs supporting Rx interface

## 5 Signalling Flows for the Policy Framework

### 5.1 AM Policy Association Management

#### 5.1.1 AM Policy Association Establishment

This procedure concerns the following scenarios:

1. UE initial registration with the network.
2. The AMF re-allocation with PCF change in handover procedure and registration procedure.
3. UE registers with 5GS during the UE moving from EPS to 5GS when there is no existing AM Policy Association.

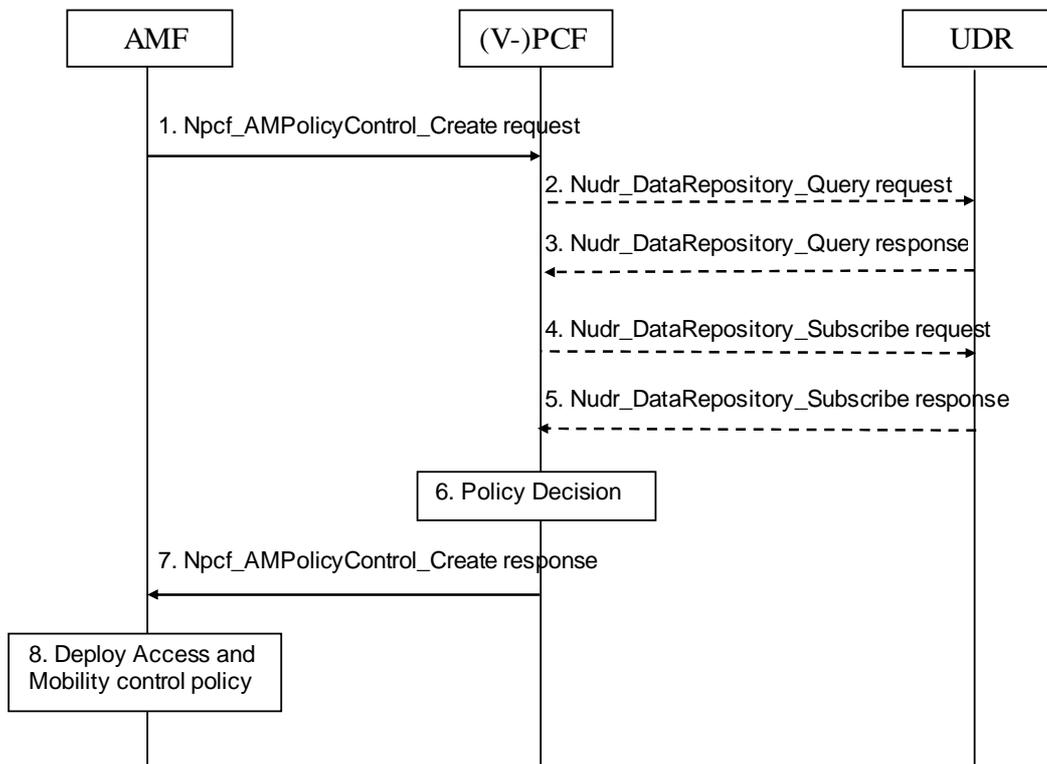


Figure 5.1.1-1: AM Policy Association Establishment procedure

This procedure concerns both roaming and non-roaming scenarios.

In the non-roaming case the role of the V-PCF is performed by the PCF. For the roaming scenarios, the V-PCF interacts with the AMF.

Step 2 - step 5 are not executed in the roaming case.

1. The AMF receives the registration request from the AN. Based on local policy, the AMF selects to contact the (V-) PCF to create the policy association with the (V-) PCF and to retrieve Access and Mobility control policy. The AMF selects the PCF as described in subclause 8.2 and invokes the Npcf\_AMPolicyControl\_Create service operation by sending the HTTP POST request to the "AM Policy Associations" resource as defined in subclause 5.1.2.1.1 of 3GPP TS 29.507 [7]. The request operation provides the SUPI and the allowed NSSAI if applicable, and if received from the UDM, the Service Area Restrictions, RFSP index, GPSI and a list of Internal Group Identifiers, and may provide the access type, the PEI if received in the AMF, the User Location Information if available, the UE Time Zone if available, Serving Network, RAT type, GUAMI of AMF, alternative or backup address(es) of AMF and trace control and configuration parameters information. The request includes a Notification URI to indicate to the PCF where to send a notification when the policy is updated.
2. If the PCF does not have the subscription data, it invokes the Nudr\_DataRepository\_Query service operation to the UDR by sending an HTTP GET request to the "AccessAndMobilityPolicyData" resource as specified in TS 29.519 [12]
3. The UDR sends an HTTP "200 OK" response to the PCF with the subscription data.
4. The PCF may request notifications from the UDR on changes in the subscription information by invoking Nudr\_DataRepository\_Subscribe service operation by sending an HTTP POST request to the "PolicyDataSubscriptions" resource as specified in 3GPP TS 29.519 [12].
5. The UDR sends an HTTP "201 Created" response to acknowledge the subscription from the PCF.
6. The (V-)PCF makes the requested policy decision including Access and Mobility control policy information, and may determine applicable Policy Control Request Trigger(s).
7. The (V)PCF sends an HTTP "201 Created" response to the AMF with the determined policies as described in subclause 4.2.2 of 3GPP TS 29.507 [7]:
  - Access and Mobility control Policy including Service Area Restrictions, and/or a RAT Frequency Selection Priority (RFSP) Index; and/or
  - Policy Control Request Triggers and related policy information;
8. The AMF deploys the Access and Mobility control policy information if received which includes storing the Service Area Restrictions, provisioning the Service Area Restrictions to the UE and/ or provisioning the RFSP index and Service Area Restrictions to the NG-RAN.

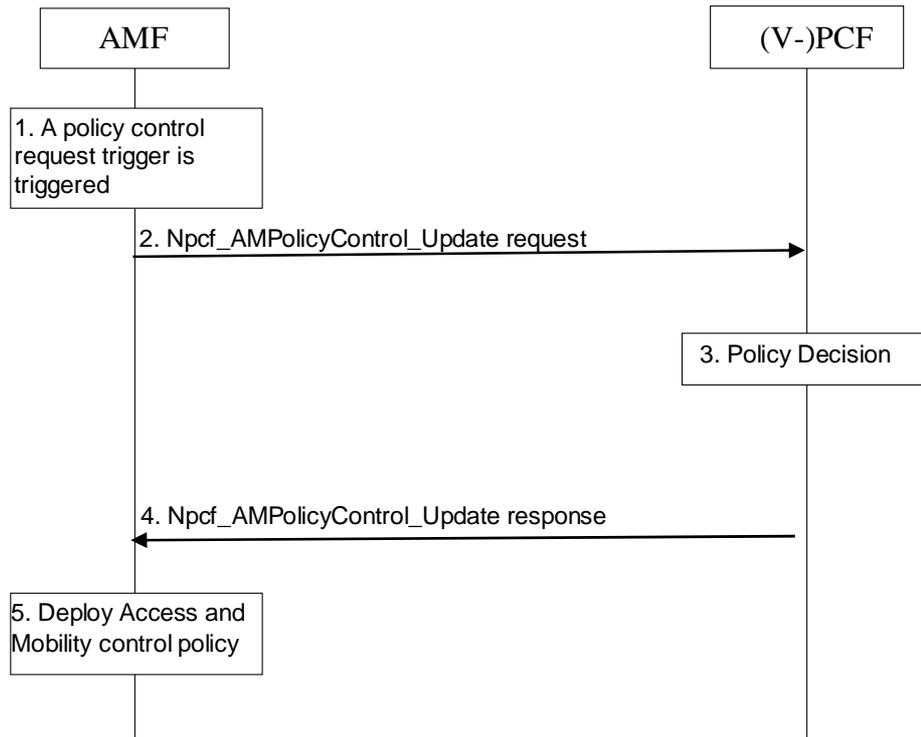
NOTE: The PCF can reject the AM Policy Association establishment, e.g. the PCF cannot obtain the subscription-related information from the UDR and the PCF cannot make the policy decisions, as described in 3GPP TS 29. 519 [12]. In this case, the AMF deploys the Access and Mobility control policy information based on the policy retrieved from the UDM if available or the local configuration.

## 5.1.2 AM Policy Association Modification

### 5.1.2.1 AM Policy Association Modification initiated by the AMF

#### 5.1.2.1.1 AM Policy Association Modification initiated by the AMF without AMF relocation

This procedure is performed when a Policy Control Request Trigger condition is met.



**Figure 5.1.2.1.1-1: AMF-initiated AM Policy Association Modification without AMF relocation procedure**

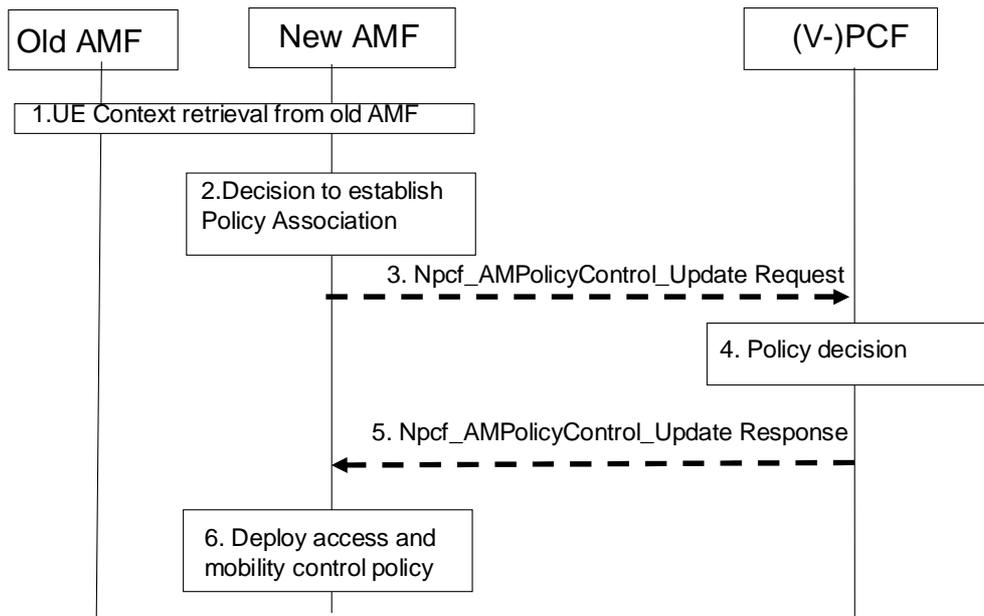
This procedure concerns both roaming and non-roaming scenarios.

In the non-roaming case the role of the V-PCF is performed by the PCF. For the roaming scenarios, the V-PCF interacts with the AMF.

1. The AMF detects a Policy Control Request Trigger condition is met or other condition is met, e.g. trace control configuration needs to be updated, as defined in subclause 4.2.3.1 of 3GPP TS 29.507 [7].
2. The AMF invokes the Npcf\_AMPolicyControl\_Update service operation to the (V-) PCF by sending the HTTP POST request to the "Individual AM Policy Association" resource with information on the conditions that have changed.
3. The (V)PCF stores the information received in step 2 and makes the policy decision.
4. The (V)PCF sends an HTTP "200 OK" response to the AMF with the updated Access and Mobility control policy information and/ or the updated Policy Control Request Trigger parameters.
5. The AMF deploys the Access and Mobility control policy if received, which includes, e.g. storing the Service Area Restrictions, provisioning the Service Area Restrictions to the NG-RAN and UE, and/or provisioning the RFSP index to the NG-RAN.

#### 5.1.2.1.2 AM Policy Association Modification with old PCF during AMF relocation

This procedure is performed when AMF relocation is performed and the old PCF is selected by the new AMF.



**Figure 5.1.2.1.2-1: AMF-initiated AM Policy Association Modification with old PCF during AMF relocation procedure**

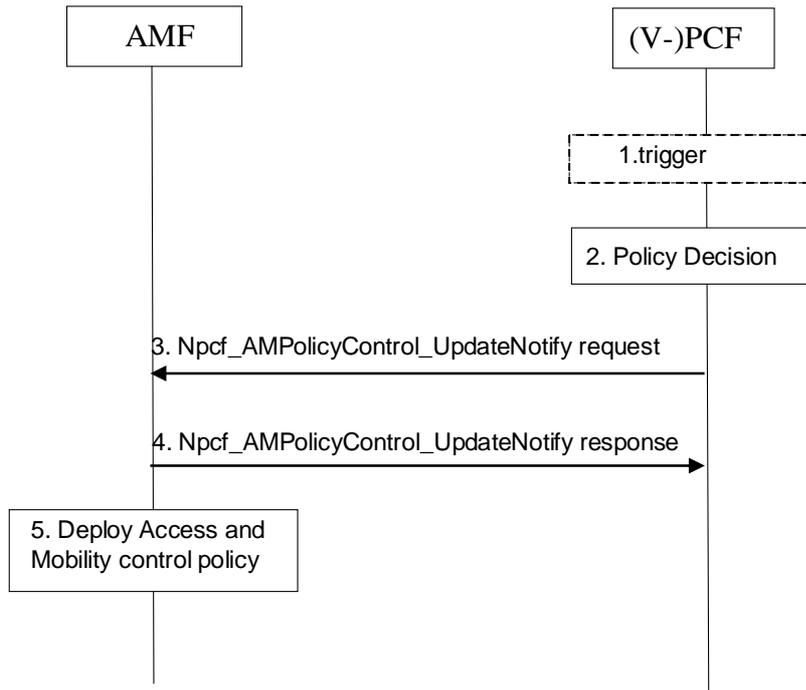
This procedure concerns both roaming and non-roaming scenarios.

In the non-roaming case the role of the V-PCF is performed by the PCF. For the roaming scenarios, the V-PCF interacts with the AMF.

1. When the old AMF and the new AMF belong to the same PLMN, the old AMF transfers to the new AMF about the AM Policy Association information including, e.g. policy control request trigger(s), and the resource URI (i.e. {apiRoot}/npcf-am-policy-control/v1/policies/{polAssoId}) of AM Policy Association at the (V-)PCF.
2. Based on local policies, the new AMF decides to contact with (V-)PCF and update the resource identified by the resource URI received in step 1.
3. The new AMF invokes the Npcf\_AMPolicyControl\_Update service operation to the (V-) PCF by sending the HTTP POST request to the "Individual AM Policy Association" resource with the Notification URI of the new AMF. The request may also include the met policy control request trigger(s) and corresponding information, and the new alternate or backup IP addresses or FQDN.
4. The (V-)PCF updates the stored information provided by the old AMF with the information provided by the new AMF and makes the policy decision.
5. The PCF sends an HTTP "200 OK" response to the AMF with the updated Access and Mobility control policy information and/or the updated Policy Control Request Trigger parameters.
6. The AMF deploys the Access and Mobility control policy if received, which includes, e.g. storing the Service Area Restrictions, provisioning the Service Area Restrictions to the NG-RAN and UE, and/or provisioning the RFSP index to the NG-RAN.

### 5.1.2.2 AM Policy Association Modification initiated by the PCF

This procedure is performed when the Access and Mobility control policies are changed.



**Figure 5.1.2.2-1: PCF-initiated AM Policy Association Modification procedure**

This procedure concerns both roaming and non-roaming scenarios.

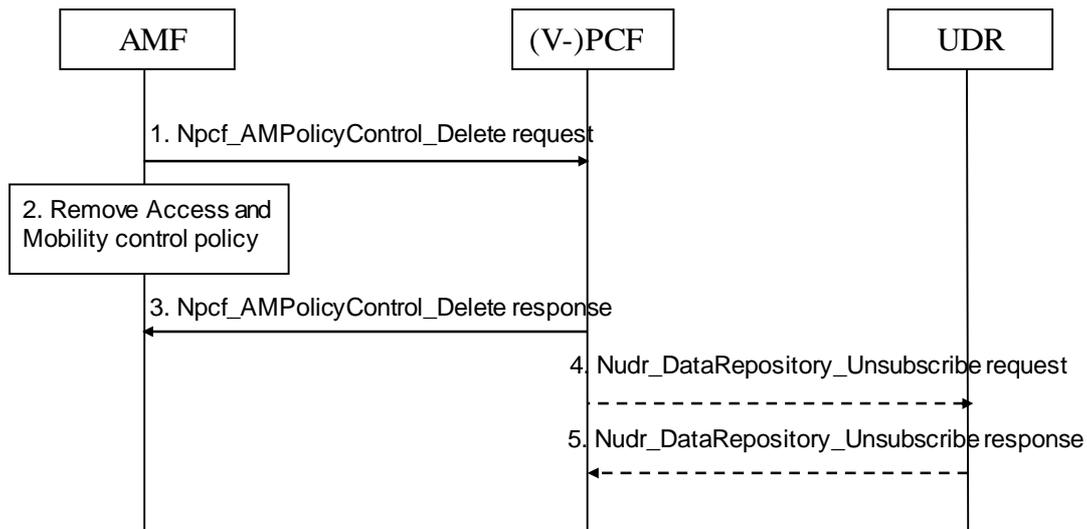
In the non-roaming case the role of the V-PCF is performed by the PCF. For the roaming scenarios, the V-PCF interacts with the AMF.

1. The (V-) PCF receives an external trigger, e.g. the subscriber policy data of a UE is changed, or the (V-)PCF receives an internal trigger, e.g. operator policy is changed, to re-evaluate Access and Mobility control policy for a UE.
2. The (V-)PCF makes the policy decision including, Access and Mobility control policy, and may determine applicable Policy Control Request Trigger(s).
3. The (V-)PCF invokes the Npcf\_AMPolicyControl\_UpdateNotify service operation by sending the HTTP POST request with "{notificationUri}/update" as the resource URI to the AMF that has previously subscribed, as described in subclause 4.2.4.2 of 3GPP TS 29.507 [7].
4. The AMF sends an HTTP "204 No Content" response the PCF.
5. The AMF deploys the Access and Mobility control policy information if received which includes storing the Service Area Restrictions, provisioning the Service Area Restrictions to the UE and/or provisioning the RFSP index and Service Area Restrictions to the NG-RAN.

### 5.1.3 AM Policy Association Termination

#### 5.1.3.1 AM Policy Association Termination initiated by the AMF

This procedure is performed when the UE deregisters from the network, when the UE deregisters from 5GS during the UE moving from 5GS to EPS or when the old AMF removes the AM Policy Association during AMF relocation.



**Figure 5.1.3.1-1: AMF-initiated AM Policy Association Termination procedure**

This procedure concerns both roaming and non-roaming scenarios.

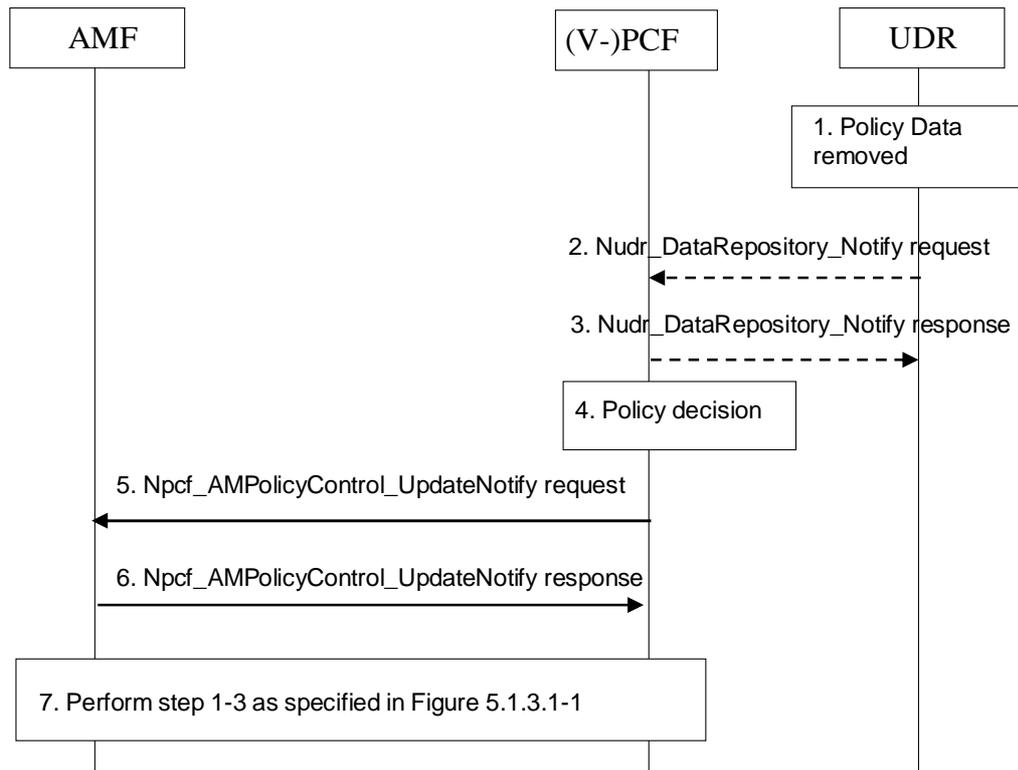
In the non-roaming case the role of the V-PCF is performed by the PCF. For the roaming scenarios, the V-PCF interacts with the AMF.

Step 4 and step 5 are not executed in the roaming case.

1. The AMF invokes the `Npcf_AMPolicyControl_Delete` service operation to delete the policy context in the (V-)PCF by sending the HTTP DELETE request to the "Individual AM Policy Association" resource.
2. The AMF removes the UE context for this UE, including the Access and Mobility Control Policy related to the UE and/or policy control request triggers.
3. The (V-)PCF removes the policy context for the UE and sends an HTTP "204 No Content" response to the AMF.
4. The PCF invokes the `Nudr_DataRepository_Unsubscribe` service operation to unsubscribe the notification of subscriber policy data modification from the UDR by sending the HTTP DELETE request to the "IndividualPolicyDataSubscription" resource if it has subscribed such notification.
5. The UDR sends an HTTP "204 No Content" response to the PCF.

### 5.1.3.2 AM Policy Association Termination initiated by the PCF

This procedure is performed when the UDR notifies the PCF that the policy profile is removed or when the PCF decides to terminate the AM Policy Association based on the internal logic, e.g. UE movement triggers a geo-fencing rule.



**Figure 5.1.3.2-1: PCF-initiated AM Policy Association Termination procedure**

This procedure concerns both roaming and non-roaming scenarios.

In the non-roaming case the role of the V-PCF is performed by the PCF. For the roaming scenarios, the V-PCF interacts with the AMF.

Step 1, step 2 and step 3 are not executed in the roaming case or in the case that the PCF decides to terminate the AM Policy Association based on the internal logic.

1. The subscriber policy control data is removed from the UDR.
2. The UDR invokes the Nudr\_DataRepository\_Notify service operation to notify the PCF that the policy profile is removed if PCF has subscribed such notification by sending the HTTP POST request to the resource URI "{notificationUri}" as specified in 3GPP TS 29.519 [12].
3. The PCF sends the response to the Nudr\_DataRepository\_Notify service operation.
4. The (V-)PCF decides to terminate the AM Policy Association based on step 2 or an internal trigger, e.g. operator policy is changed, to re-evaluate Access and Mobility control policy for a UE.
5. The (V-)PCF may, depending on operator policies, invokes the Npcf\_AMPolicyControl\_UpdateNotify service operation to the AMF of the removal of the Access and Mobility control policy control information by sending the HTTP POST request to the request URI "{notificationUri}/terminate" as described in subclause 4.2.4.3 of 3GPP TS 29.507 [7].

Alternatively, the (V-)PCF may decide to maintain the Policy Association if a default profile is applied, and then step 4 through 6 are not executed.

6. The AMF sends an HTTP "204 No Content" response to the PCF.
7. Step 1 through step 3 as specified in Figure 5.1.3.1-1 are executed with the following difference:
  - the AMF removes the policy control request trigger(s) related to the AM policy association, but still keeps the provisioned AM policies and applies them to the UE.

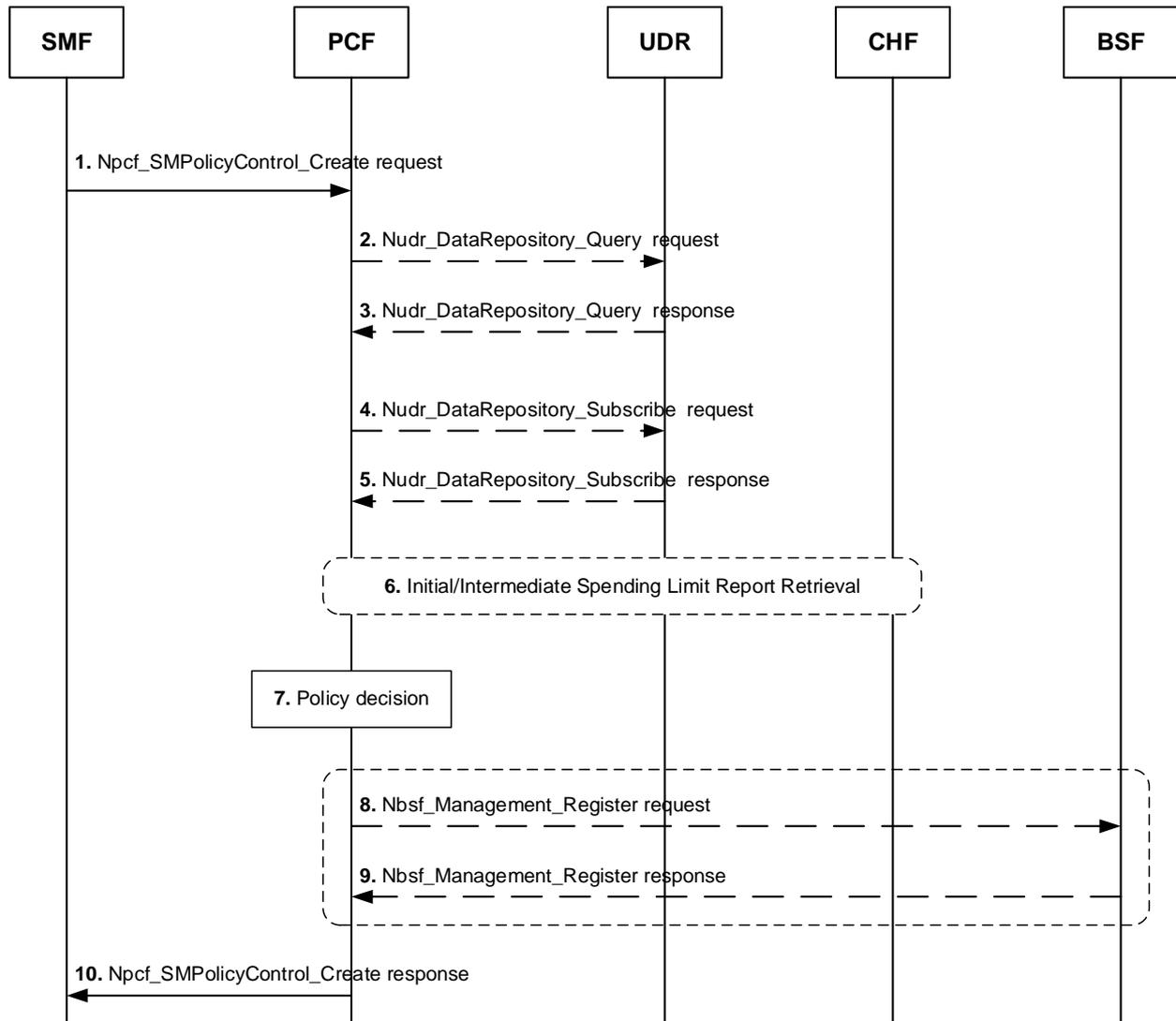
## 5.2 SM Policy Association Management

### 5.2.1 SM Policy Association Establishment

This clause is applicable if a new SM Policy Association is being established.

The following procedure concerns both roaming and non-roaming scenarios.

In the LBO roaming case, the PCF acts as the V-PCF, and the V-PCF shall not contact the UDR/CHF. In the home routed roaming case, the PCF acts as the H-PCF and the H-PCF interacts with the H-SMF.



**Figure 5.2.1-1: SM Policy Association Establishment procedure**

This procedure concerns both roaming and non-roaming scenarios.

In the home routed roaming case, the PCF acts as the H-PCF. In the LBO roaming case, the PCF acts as the V-PCF, and the step 2 to 5 shall be skipped.

1. The SMF receives a PDU session establishment request from the UE. The SMF selects the PCF as described in subclause 8.3 and invokes the Npcf\_SMPolicyControl\_Create service operation by sending the HTTP POST request to the "SM Policies" resource as defined in subclause 4.2.2.2 of 3GPP TS 29.512 [9]. The request operation provides the SUPI, the PDU session ID, PDU Session Type, DNN, and S-NSSAI, and may provide the GPSI, the Internal Group Identifier, the Access Type (and additional access type, in case of MA PDU session), the IPv4 address or the IPv6 network prefix (if available), the MA PDU session indication and the ATSSS capability, if available, the PEI if received in the SMF, the User Location Information, the UE Time Zone,

Serving Network, RAT type, charging information, the Session-AMBR, the DN-AAA authorization profile index if available, one or more framed routes if available, the subscribed default QoS, if available, etc., as defined in subclause 4.2.2 of 3GPP TS 29.512 [9]. The request operation also includes a Notification URI to indicate to the PCF where to send a notification when the SM related policies are updated.

- 2-3. If PCF does not have the subscription data for the SUPI, DNN and S-NSSAI, the PCF invokes the Nudr\_DataRepository\_Query service operation to the UDR by sending the HTTP GET request to the "SessionManagementPolicyData" resource as specified in 3GPP TS 29.519 [12]. The UDR sends an HTTP "200 OK" response to the PCF with the policy control subscription data. If BDT Reference ID(s) is included in the response from the UDR, the PCF shall invoke the Nudr\_DataRepository\_Query service operation to the UDR to retrieve the Background Data Transfer policy corresponding to the BDT Reference ID(s) by sending the HTTP GET request to the "IndividualBdtData" resource or the "BdtData" collection resource with the URI query parameter "bdt-ref-ids" as specified in 3GPP TS 29.519 [12], and the UDR sends an HTTP "200 OK" response to the PCF with the Background Data Transfer policy.

Additionally, if the TSC feature defined in 3GPP TS 29.512 [9] is supported, the PCF invokes the Nudr\_DataRepository\_Query service operation to retrieve the stored AF influence data in the UDR by sending the HTTP GET request to the "Influence Data" resource as specified in 3GPP TS 29.519 [12]. The UDR sends an HTTP "200 OK" response with the stored AF request.

Additionally, if the ATSSS feature defined in 3GPP TS 29.512 [9] is supported, and the SDF template of the PCC rule includes an application identifier, the PCF invokes the Nudr\_DataRepository\_Query service operation to retrieve the stored OS Id(s) supported by the UE from the UDR by sending the HTTP GET request to the "UePolicySet" resource as specified in 3GPP TS 29.519 [12]. The UDR sends an HTTP "200 OK" response with the stored UE Policy data. The PCF determines the application descriptors based on the retrieved OS Id(s), if available, and local configuration, as specified in 3GPP TS 29.512 [9].

Additionally, if the WWC feature defined in 3GPP TS 29.512 [9] is supported, the PCF invokes the Nudr\_DataRepository\_Query service operation to retrieve the stored IPTV configuration from the UDR by sending the HTTP GET request to the "IPTV Configurations" resource as specified in 3GPP TS 29.519 [12]. The UDR sends an HTTP "200 OK" response with the stored IPTV configuration. The PCF determines Multicast Access Control information (i.e., whether the multicast channel represented by the SDF of the PCC rule is allowed or not) based on the retrieved IPTV configuration as specified in 3GPP TS 29.512 [9].

- 4-5. To request notifications from the UDR on changes in the subscription information, the PCF invokes the Nudr\_DataRepository\_Subscribe service operation by sending an HTTP POST request to the "PolicyDataSubscriptions" resource. The UDR sends an HTTP "201 Created" response to acknowledge the subscription.

Additionally, if the TSC feature defined in 3GPP TS 29.512 [9] is supported, to request notifications from the UDR on changes in the AF influence data, the PCF invokes the Nudr\_DataRepository\_Subscribe service operation by sending an HTTP POST request to the "Influence Data Subscription" resource. The UDR sends an HTTP "201 Created" response to acknowledge the subscription.

Additionally, if the WWC feature defined in 3GPP TS 29.512 [9] is supported, to request notifications from the UDR on changes in the IPTV configuration, the PCF invokes the Nudr\_DataRepository\_Subscribe service operation by sending an HTTP POST request to the "ApplicationDataSubscriptions" resource. The UDR sends an HTTP "201 Created" response to acknowledge the subscription.

6. If the PCF determines that the policy decision depends on the status of the policy counters available at the CHF, and such reporting is not established for the subscriber, the PCF initiates an Initial Spending Limit Report Retrieval as defined in subclause 5.3.2. If policy counter status reporting is already established for the subscriber, and the PCF determines that the status of additional policy counters are required, the PCF initiates an Intermediate Spending Limit Report Retrieval as defined in subclause 5.3.3.
7. The PCF makes the policy decision to determine the information provided in step 10.

When the feature "TimeSensitiveNetworking" is supported and the PCF detects that the request relates to TSC traffic based on the received DNN and S-NSSAI, the PCF determines to provide the the "TSN\_BRIDGE\_INFO" policy control request trigger in step 10.

8. In the case that the BSF is to be used and that either the IP address/prefix or MAC address is available, the PCF invokes the Nbsf\_Management\_Register service operation by sending HTTP POST request to create the PDU session binding information for a UE in the BSF as detailed in subclause 8.5.2.

9. The PCF receives an HTTP "201 Created" response from the BSF with the created binding information as detailed in subclause 8.5.2.
10. The PCF sends an HTTP "201 Created" response to the SMF with the determined policies as described in subclause 4.2.2 of 3GPP TS 29.512 [9].

## 5.2.2 SM Policy Association Modification

### 5.2.2.1 General

The following procedures concern both roaming and non-roaming scenarios.

In the LBO roaming case, the PCF acts as the V-PCF, and the V-PCF shall not contact the UDR/CHF. In the home routed roaming case, the PCF acts as the H-PCF and the H-PCF interacts with the H-SMF.

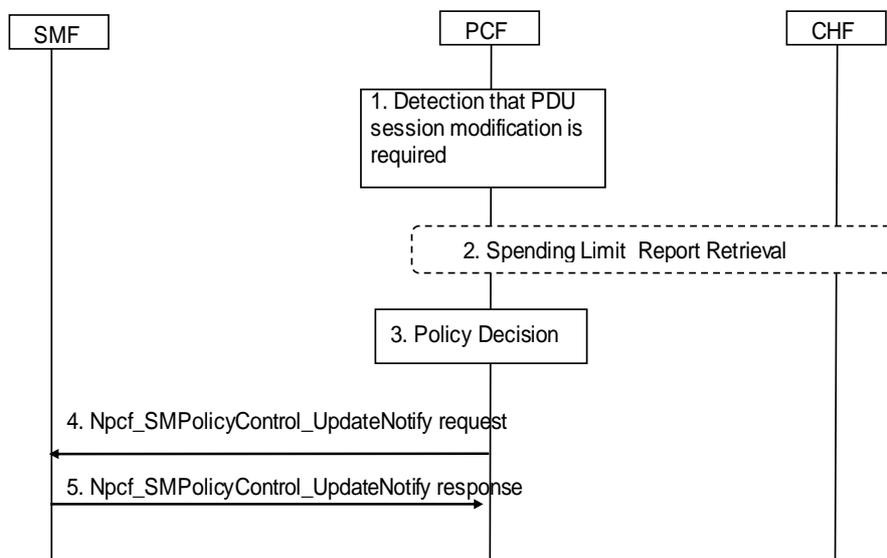
The SM Policy Association Modification procedure may be initiated either by the SMF or by the PCF.

NOTE: The following procedures cover both Npcf\_PolicyAuthorization service operations over the N5 reference point and Rx interactions between AF and PCF. It is assumed that for the interactions between one AF and one PCF, only one of those possibilities is used. For details of Rx interface refer to 3GPP TS 29.214 [18] and for details on the Npcf\_PolicyAuthorization service refer to 3GPP TS 29.514 [10].

### 5.2.2.2 SM Policy Association Modification initiated by the PCF

#### 5.2.2.2.1 Interactions between SMF, PCF and CHF

This procedure is performed when the PCF decides to modify policy decisions for a PDU session.



**Figure 5.2.2.2-1: Interactions between SMF, PCF and CHF for PCF-initiated SM Policy Association Modification procedure**

1. The PCF receives an internal or external trigger to re-evaluate PCC Rules and policy decision for a PDU Session. Possible external trigger events are described in subclause 5.2.2.2.2. In addition, this procedure is triggered by the following cases:
  - The UDR notifies the PCF about a policy subscription change (e.g. change in MPS EPS Priority, MPS Priority Level, MCS Priority Level and/or IMS Signalling Priority, or change in user profile configuration indicating whether supporting application detection and control).
  - The UDR notifies the PCF about application data change (e.g. change in AF influence data or IPTV configuration data).

- The CHF provides a Spending Limit Report to the PCF as described in subclause 5.3.5.
- 2. If the PCF determines that the policy decision depends on the status of the policy counters available at the CHF and such reporting is not established for the subscriber, the PCF initiates an Initial Spending Limit Report as defined in subclause 5.3.2. If policy counter status reporting is already established for the subscriber, and the PCF decides to modify the list of subscribed policy counters, the PCF sends an Intermediate Spending Limit Report as defined in subclause 5.3.3. If the PCF decides to unsubscribe any future status notification of policy counters, it sends a Final Spending Limit Report Request to cancel the request for reporting the change of the status of the policy counters available at the CHF as defined in subclause 5.3.4.
- 3. The PCF makes a policy decision. The PCF can determine that updated or new policy information need to be sent to the SMF.
- 4. The PCF invokes the Npcf\_SMPolicyControl\_UpdateNotify service operation by sending the HTTP POST request with "{notificationUri}/update" as the resource URI to the SMF that has previously subscribed. The request operation provides the PDU session ID and the updated policies, as described in subclause 4.2.4 of 3GPP TS 29.512 [9].

If the feature "TimeSensitiveNetworking" is supported and the PCF receives the TSCAI input information and QoS related data or a BMIC and/or one or more PMIC(s) from the AF, the PCF provisions them to the SMF.

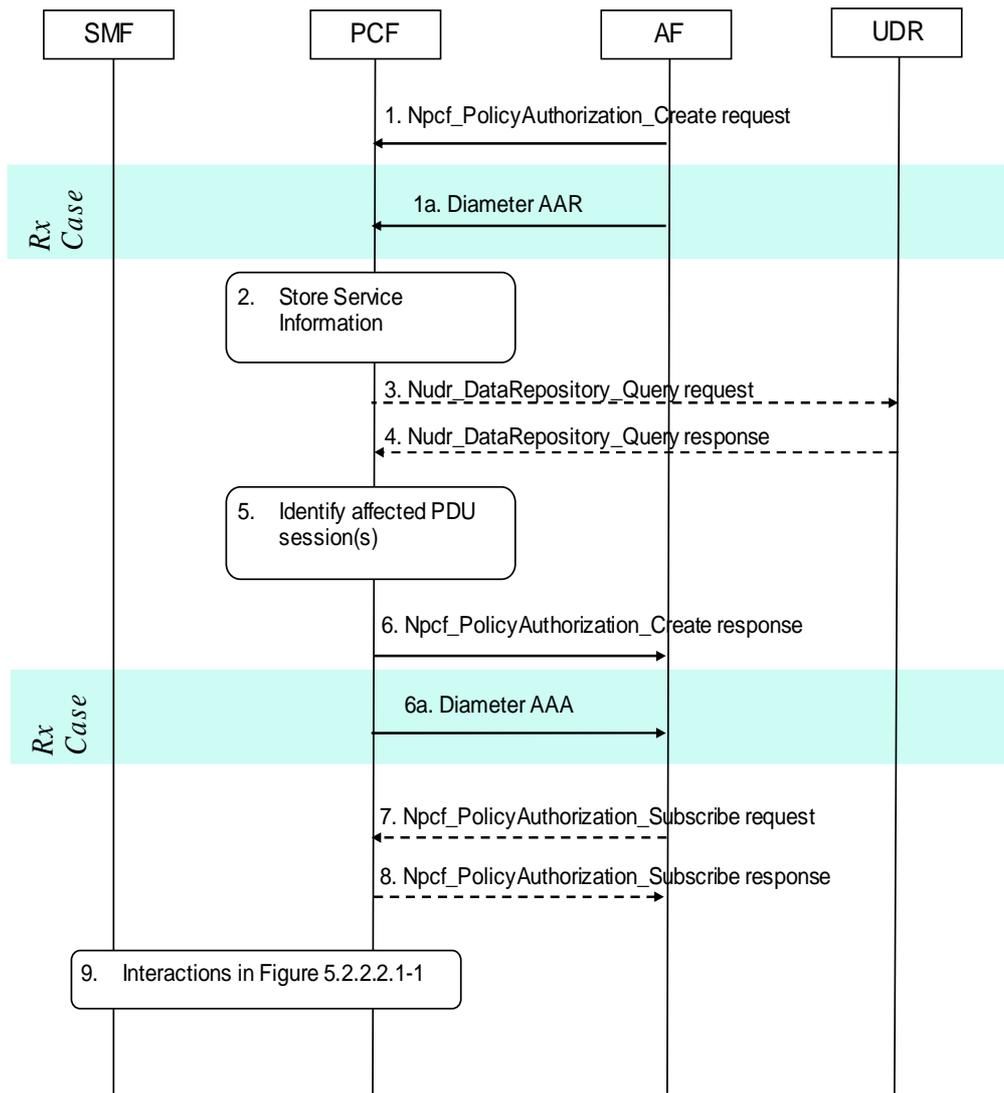
- 5. The SMF sends an HTTP "200 OK" to the PCF.

#### 5.2.2.2.2 Interactions between PCF, AF and UDR

##### 5.2.2.2.2.1 AF Session Establishment

This procedure is performed when the AF/NEF requests to create an AF application session context for the requested service.

NOTE: The NEF acts as an AF to support the network exposure functionality.



**Figure 5.2.2.2.1-1: AF Session Establishment triggers PCF-initiated SM Policy Association Modification procedure**

1. When the AF receives an internal or external trigger to set-up a new AF session, the AF invokes the `Npcf_PolicyAuthorization_Create` service operation to the PCF by sending the HTTP POST request to the "Application Sessions" resource. The request operation includes the IP address or the MAC address of the UE, the SUPI if available, the GPSI if available, the DNN if available, the S-NSSAI if available, service information, sponsored data connectivity if applicable, AF application identifier, Priority indicator, etc, as defined in subclause 4.2.2.2 of 3GPP TS 29.514 [10]. The request operation may also include the subscription to notifications on certain user plane events, e.g. subscription to QoS notification control.

If the "TimeSensitiveNetworking" feature is supported the AF may subscribe to notification of new 5GS Bridge information and/or DS-TT PMIC and/or NW-TT PMIC(s) and/or BMIC availability. The AF may also provide TSCAI input information and QoS related data or a BMIC and/or one or more PMIC(s).

- 1a. The AF provides the Service Information to the PCF by sending a Diameter AAR for a new Rx Diameter session.
2. The PCF stores the Service Information received in step 1.
- 3-4. If the PCF does not have the subscription data for the SUPI, DNN and S-NSSAI, it invokes the `Nudr_DataRepository_Query` service operation to the UDR by sending the HTTP GET request to the "SessionManagementPolicyData" resource. The UDR sends an HTTP "200 OK" response to the PCF with the subscription data.

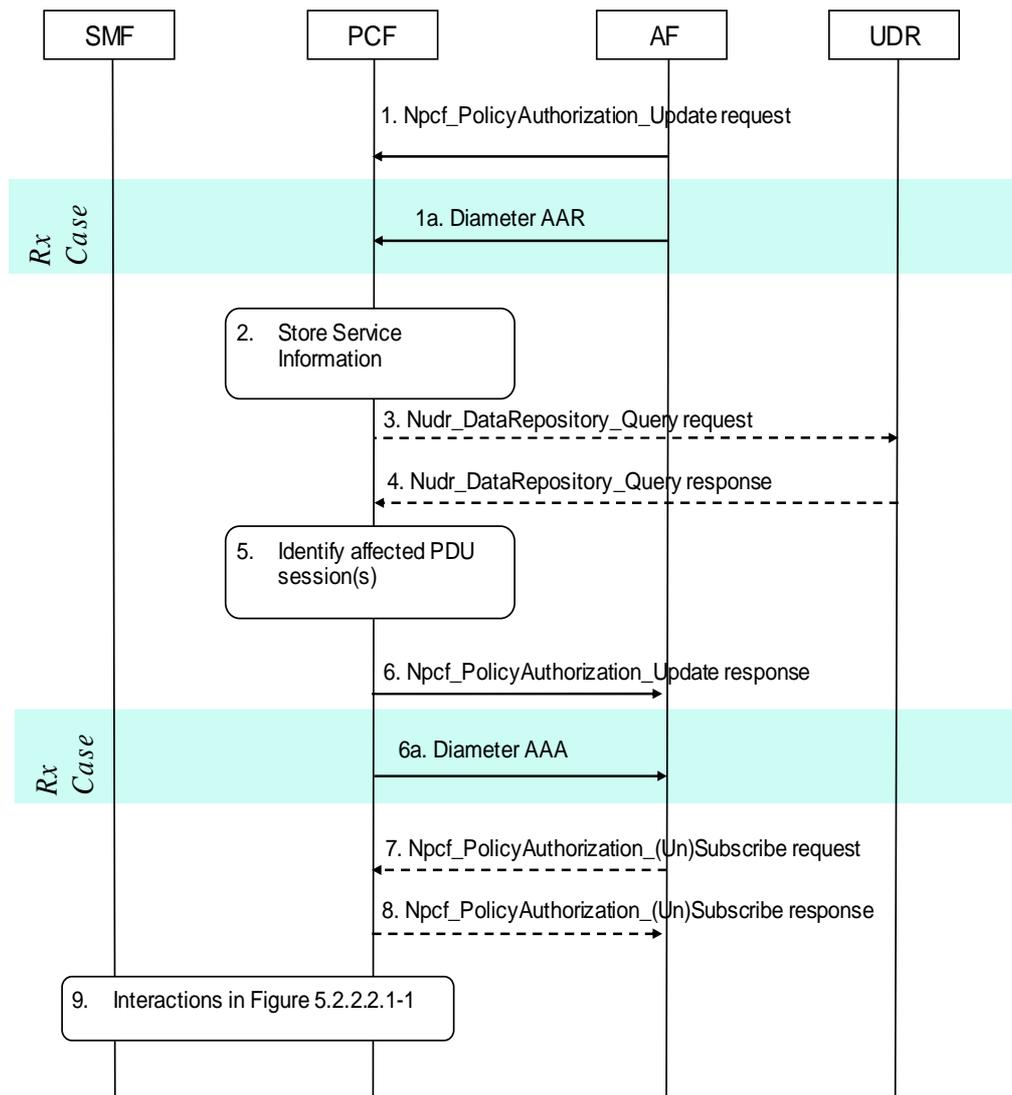
Additionally, if the AF provided a Background Data Transfer Reference ID in step 1 or step 1a and the corresponding transfer policy is not locally stored in the PCF, the PCF sends the HTTP GET request to the "IndividualBdtData" resource. The UDR sends an HTTP "200 OK" response to the PCF with the Background Data Transfer policy.

5. The PCF identifies the affected established PDU Session (s) using the information previously received from the SMF and the Service Information received from the AF.
6. The PCF sends an HTTP "201 Created" response to the AF.
  - 6a. The PCF sends a Diameter AAA to the AF.
7. The AF may invoke the Npcf\_PolicyAuthorization\_Subscribe service operation by sending the HTTP PUT request to the "Events Subscription" resource to subscribe to events in the PCF. The request includes the events that subscribes and a Notification URI to indicate to the PCF where to send the notification of the subscribed events, as described in subclause 4.2.6 of 3GPP TS 29.514 [10].
8. The PCF sends an HTTP "201 Created" response to the AF.
9. The PCF interacts with SMF according to Figure 5.2.2.2-1.

#### 5.2.2.2.2.2 AF Session Modification

This procedure is performed when the AF/NEF requests to update an AF application session context for the requested service.

NOTE: The NEF acts as an AF to support the network exposure functionality.



**Figure 5.2.2.2.2-1: AF Session Modification triggers PCF-initiated SM Policy Association Modification procedure**

1. When the AF receives an internal or external trigger to modify an existing AF session, the AF invokes the Npcf\_PolicyAuthorization\_Update service operation to the PCF by sending the HTTP PATCH request to the "Individual Application Session Context" resource including the modified service information as defined in subclause 4.2.3.2 of 3GPP TS 29.514 [10]. The AF may also provide the updated subscription to notifications on user plane events.

If the "TimeSensitiveNetworking" feature is supported the AF may also update TSCAI input information and QoS related data or a BMIC and/or one or more PMIC(s).

- 1a. The AF provides the Service Information to the PCF by sending a Diameter AAR for the existing Rx Diameter session corresponding to the modified AF session.
2. The PCF stores the received Service Information.
- 3-4. These steps are the same as steps 3-4 in subclause 5.2.2.2.1.
5. The PCF identifies the affected existing PDU Session(s) using the information previously received from the SMF and the Service Information received from the AF.
6. The PCF sends an HTTP "200 OK" or HTTP "204 No Content" response to the AF.
- 6a. The H-PCF sends a Diameter AAA to the AF.

7. The AF may decide to (un)subscribe to events for the active AF application session context in relation to the corresponding PDU session.
  - If the AF decides to create a subscription to events or modify the events subscription, it invokes the Npcf\_PolicyAuthorization\_Subscribe service operation by sending the HTTP PUT request to the "Events Subscription" resource. The HTTP request includes the events that subscribes and may also include a Notification URI to indicate to the PCF where to send the notification of the subscribed events.
  - If the AF decides to remove subscription to all subscribed events for the existing application session context, it invokes the Npcf\_PolicyAuthorization\_Unsubscribe service operation by sending the HTTP DELETE request to the "Events Subscription" resource.
8. The PCF responses to the AF.
  - If the PCF accept the HTTP PUT request to create a subscription to events, it sends an HTTP "201 Created" response.
  - If the PCF accept the HTTP PUT request to modify the events subscription, it sends an HTTP "200 OK" or HTTP "204 No Content" response.
  - Upon receipt of the HTTP DELETE request to remove subscription to all subscribed events, the PCF sends an HTTP "204 No Content" response.
9. The PCF interacts with SMF according to Figure 5.2.2.2-1.

5.2.2.2.3 AF Session Termination

This procedure is performed when the PCF requests the AF/NEF to delete the AF application session context.

NOTE: The NEF acts as an AF to support the network exposure functionality for policy/charging capability.

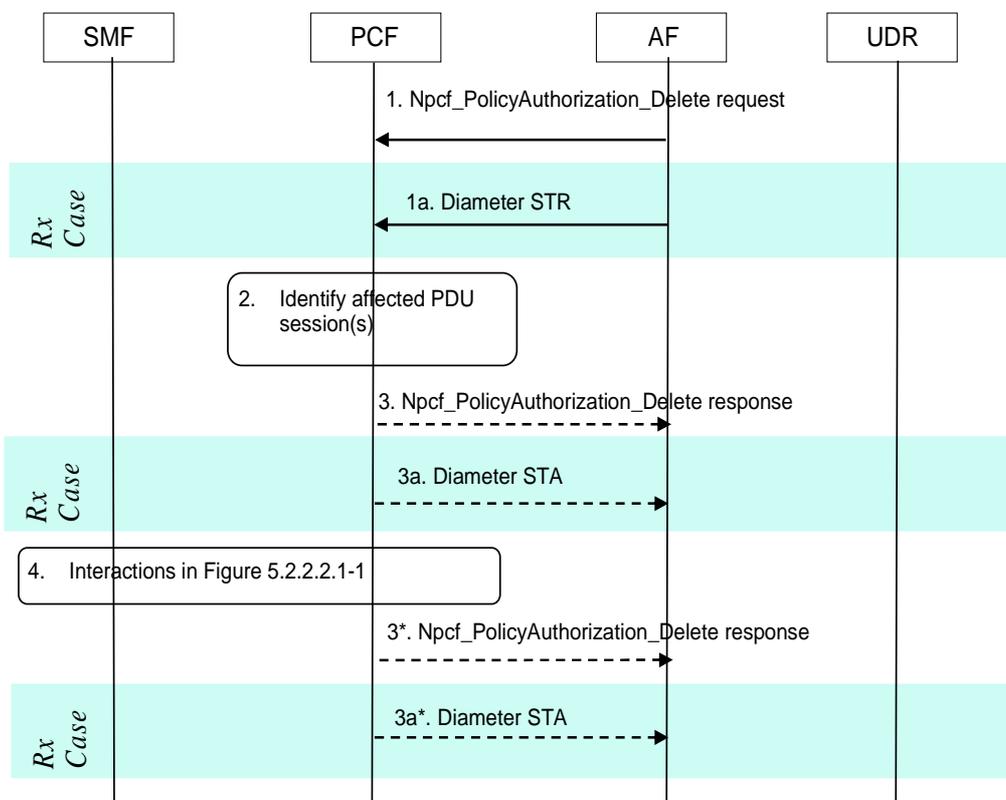


Figure 5.2.2.2.3-1: AF Session Termination triggers PCF-initiated SM Policy Association Modification procedure

1. The AF sends the Npcf\_PolicyAuthorization\_Delete service operation by sending the HTTP POST request to the "Individual Application Session Context" resource to request the removal of the AF application session as defined in subclause 4.2.3.2 of 3GPP TS 29.514 [10]. The request may include the events to subscribe to.
  - 1a. The AF sends a session termination request, Diameter STR, to the PCF to request the removal of the session. The request may include the events to subscribe to
2. The PCF identifies the affected PDU Session where PCC rules related with this AF session are installed. These PCC rules need to be removed.

If the request in step 1 or step 1a does not include the event(s) or it includes the event(s) but the corresponding information is available at the PCF, step 3 or step3a is performed respectively; otherwise, the step 3\* or step3a\* is performed respectively.
3. The PCF removes the AF application session context and sends an HTTP "204 No Content" or HTTP "200 OK" response to the AF.
  - 3a. The PCF sends a Diameter STA, session termination answer, to the AF.
- 3\*. The PCF removes the AF application session context and sends an HTTP "200 OK" response with the information corresponding to the requested event(s) to the AF.
  - 3a\*. The PCF sends a Diameter STA, session termination answer with the information corresponding to the requested event(s), to the AF.
4. The PCF interacts with SMF according to Figure 5.2.2.2-1.

### 5.2.2.3 SM Policy Association Modification initiated by the SMF

This procedure is performed when the SMF observes some policy control trigger condition is met or a PCC rule error is reported.

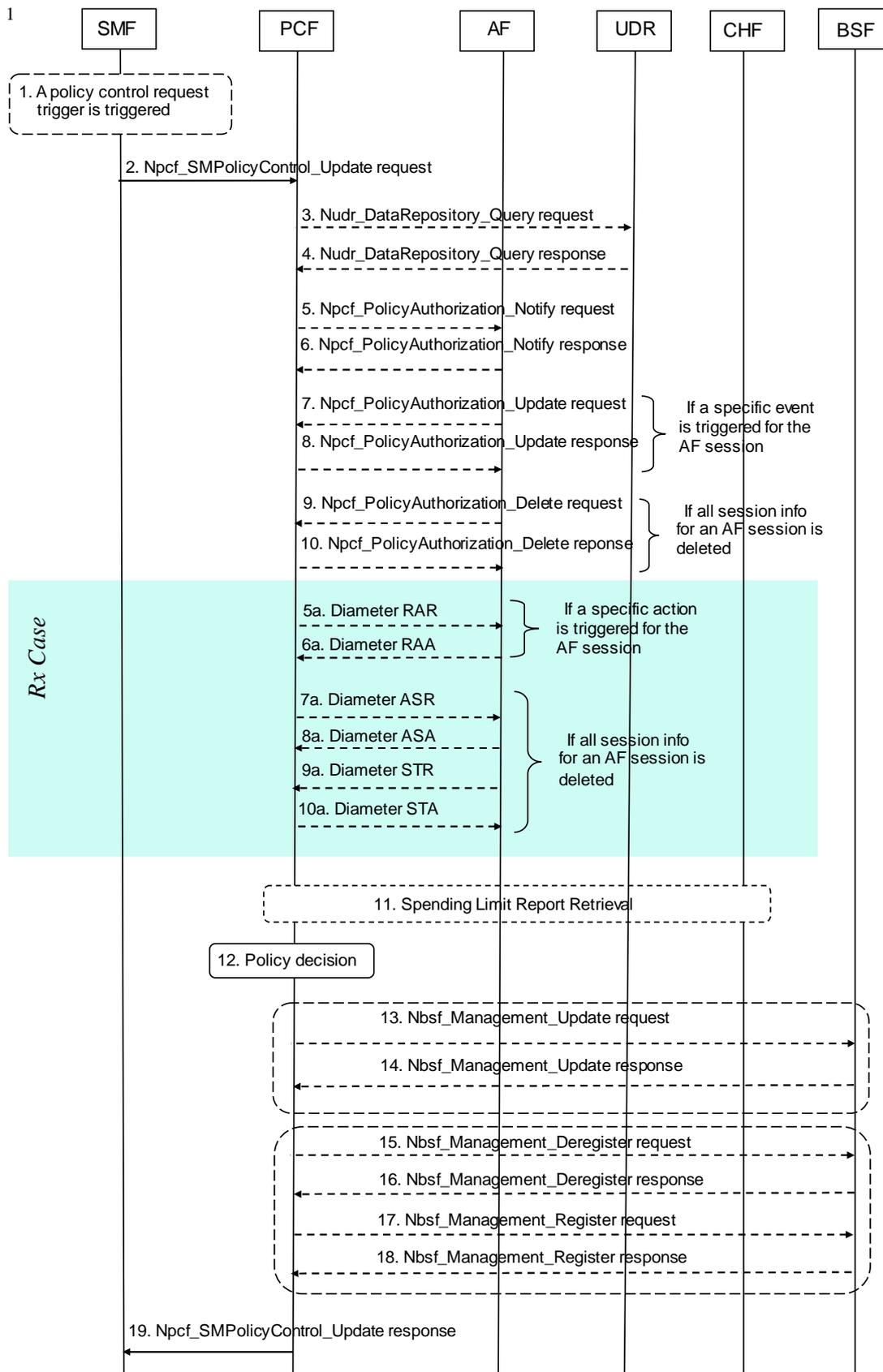


Figure 5.2.2.3-1: SMF-initiated SM Policy Association Modification procedure

1. The SMF detects a policy control request trigger condition is met.

2. The SMF invokes the Npcf\_SMPolicyControl\_Update service operation to the PCF by sending the HTTP POST request to the "Individual SM Policy" resource with information on the conditions that have changed or a PCC rule error occurs.

If the feature "TimeSensitiveNetworking" is supported and the "TSN\_BRIDGE\_INFO" policy control request trigger is provisioned in the SMF, the SMF may provide the new 5GS Bridge information, e.g. 5GS Bridge port information, a BMIC and/or one or more PMIC(s) to the PCF.

3. If the (H-)PCF requires subscription-related information and does not have it, the (H-)PCF invokes the Nudr\_DataRepository\_Query service operation to the UDR by sending the HTTP GET request to the "SessionManagementPolicyData" resource to fetch the information.
4. The UDR sends an HTTP "200 OK" response to the PCF with the subscription related information containing the information about the allowed service(s) and PCC Rules information.
5. The PCF invokes the Npcf\_PolicyAuthorization\_Notify service operation by sending the HTTP POST request with "{notifUri}/notify" as the resource URI to the AF to indicate that an event for the active application session has occurred or to indicate the deletion of the active application session.

If the feature "TimeSensitiveNetworking" is supported, the PCF may provide the new 5GS Bridge information received in step 2 to the AF.

- 5a. If the AF requested a notification of the corresponding event, the PCF sends a Diameter RAR with the Specific-Action AVP set to indicate the event that caused the request.
6. The AF sends an HTTP "204 No Content" response to the PCF.
  - 6a. The AF replies with a Diameter RAA and may provide updated service information within.
7. If the PCF indicates in step 5 that an event for the active application session has occurred, the AF may invoke the Npcf\_PolicyAuthorization\_Update service operation to the PCF by sending the HTTP PATCH request to the "Individual Application Session Context" resource including the modified service information.
8. The PCF sends an HTTP "200 OK" or an HTTP "204 No Content" response to the AF.
9. If the PCF indicates in step 5 that there are no transmission resources for the service, the AF may terminate the AF session by invoking the Npcf\_PolicyAuthorization\_Delete service operation by sending the HTTP POST request to the "Individual Application Session Context" resource to terminate the AF session. The request may include the events to subscribe to.
10. The PCF removes the AF application session context and sends an HTTP "204 No Content". If the PCF need to include the notification of event, it sends an HTTP "200 OK" response.

7a-10a. If all service data flows for an AF session are deleted, the AF session is terminated.

11. If the PCF determines that the policy decision depends on the status of the policy counters available at the CHF and such reporting is not established for the subscriber, the PCF initiates an Initial Spending Limit Report as defined in subclause 5.3.2. If policy counter status reporting is already established for the subscriber, and the PCF decides to modify the list of subscribed policy counters, the PCF sends an Intermediate Spending Limit Report as defined in subclause 5.3.3. If the PCF decides to unsubscribe any future status notification of policy counters, it sends a Final Spending Limit Report Request to cancel the request for reporting the change of the status of the policy counters available at the CHF as defined in subclause 5.3.4.

12. The PCF makes a policy decision. The PCF may determine that updated or new policy information needs to be sent to the SMF in step 19.

If the BindingUpdate feature defined in 3GPP TS 29.521 [22] is supported, the steps 13 to 14 will be performed, otherwise the steps 15 to 18 will be performed.

13. If the UE address changes and the binding information has been previously registered in the BSF, the PCF invokes the Nbsf\_Management\_Update service operation by sending an HTTP PATCH request to update the binding information in the BSF as detailed in subclause 8.5.7.
14. The PCF receives an HTTP "200 OK" response from the BSF.

15. If the IP address is released for the IP PDU session or the MAC address is not used anymore for the Ethernet PDU session and the binding information has been previously registered in the BSF, the PCF invokes the Nbsf\_Management\_Deregister service operation by sending an HTTP DELETE request to the BSF to delete binding information as detailed in subclause 8.5.3.
16. The PCF receives an HTTP "204 No Content" response from the BSF as detailed in subclause 8.5.3.
17. If a new IP address is allocated for the IP PDU session or a new MAC address is used for the Ethernet PDU session and the BSF is to be used, the PCF invokes the Nbsf\_Management\_Register service operation by sending an HTTP POST request to create the binding information in the BSF as detailed in subclause 8.5.2.
18. The PCF receives an HTTP "201 Created" response from the BSF with the created binding information as detailed in subclause 8.5.2.
19. The PCF sends an HTTP "200 OK" response to the SMF with updated policy information about the PDU Session determined in step 12.

## 5.2.3 SM Policy Association Termination

### 5.2.3.1 SM Policy Association Termination initiated by the SMF

This procedure is performed when the UE requests to terminate a PDU session or based on some internal triggers in the SMF(e.g. operator policy).

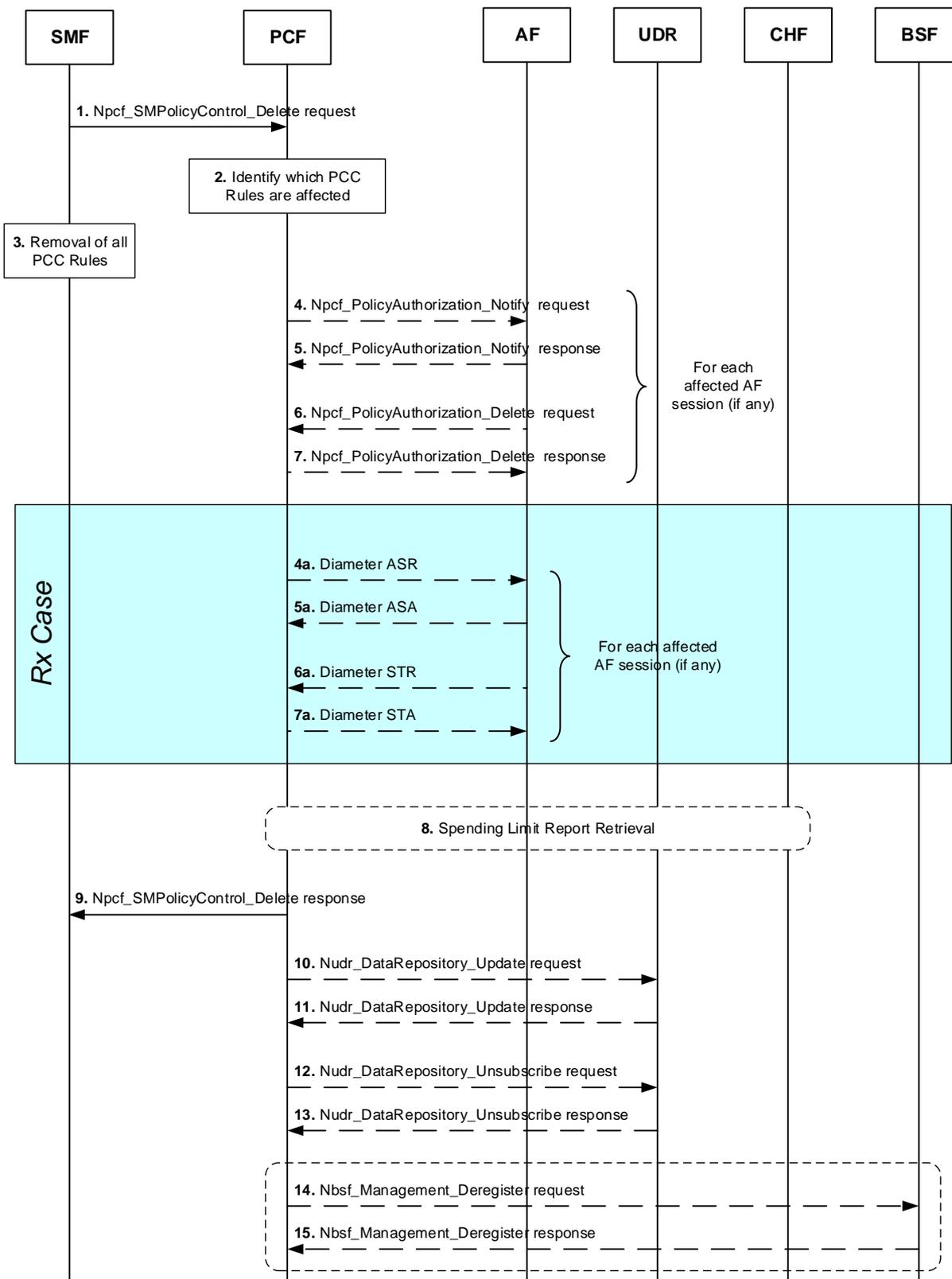


Figure 5.2.3.1-1: SMF-initiated SM Policy Association Termination procedure

This procedure concerns both roaming and non-roaming scenarios.

In the LBO roaming case, the PCF acts as the V-PCF, and the step 8, steps 10 - step 13 shall be skipped. In the home routed roaming case, the PCF acts as the H-PCF, and the H-PCF interacts only with the H-SMF.

1. The SMF invokes the Npcf\_SMPolicyControl\_Delete service operation by sending the HTTP POST request to the "Individual SM Policy" resource to request the PCF to delete the context of the SM related policy as defined

in subclause 4.2.5.2 of 3GPP TS 29.512 [9]. The request operation may include usage monitoring information (if applicable) and access network information.

2. Upon receipt of Npcf\_SMPolicyControl\_Delete service operation, the PCF identifies the PCC Rules that require an AF to be notified and removes PCC Rules for the PDU Session.
3. The SMF removes all the PCC Rules which are applied to the PDU session.
4. The PCF invokes the Npcf\_PolicyAuthorization\_Notify service operation by sending the HTTP POST request with "{notifUri}/terminate" as the resource URI to the AF to trigger the AF to request the application session context termination.
  - 4a. The PCF indicates the session abort to the AF by sending a diameter ASR to the AF.
5. The AF sends an HTTP "204 No Content" response to the PCF.
  - 5a. The AF responds by sending a diameter ASA to the PCF.
6. The AF invokes the Npcf\_PolicyAuthorization\_Delete service operation by sending the HTTP POST request to the "Individual Application Session Context" resource. The request may include the events to subscribe to.
  - 6a. The AF sends a diameter STR to the PCF to indicate that the session has been terminated. The request may include the events to subscribe to.
7. The PCF removes the AF application session context and sends an HTTP "204 No Content" response to the AF. If the PCF needs to report usage data or the access network information, it sends an HTTP "200 OK" response. If usage thresholds were provided by the AF earlier, and the PCF has usage data that has not yet been reported to the AF, the PCF informs the AF about the resources that have been consumed by the user since the last report. If the SMF in step 1 reports the access network information and if the AF requested the PCF to report access network information in step 6 and/or the RAN-NAS-Cause feature is supported, the PCF informs the AF about the access network information. The PCF also deletes the subscription to PCF detected events for that AF application Session.
  - 7a. The PCF responds by sending a diameter STA to the AF. If usage thresholds were provided by the AF earlier, and the PCF has usage data that has not yet been reported to the AF, the PCF informs the AF about the resources that have been consumed by the user since the last report. If the SMF in step 1 reports the access network information and if the AF requested the PCF to report access network information in step 6a and/or the RAN-NAS-Cause feature is supported, the PCF informs the AF about the access network information.
8. If this is the last PDU session for this subscriber the Final Spending Limit Report Request as defined in subclause 5.3.4 is sent. If any existing PDU sessions for this subscriber require policy counter status reporting, the Intermediate Spending Limit Report Request as defined in subclause 5.3.3 can be sent to alter the list of subscribed policy counters.
9. The PCF removes PCC Rules for the terminated PDU Session and sends an HTTP "204 No Content" response to the SMF.
10. The PCF invokes the Nudr\_DataRepository\_Update service operation by sending the HTTP PATCH request to the "SessionManagementPolicyData" resource to store the remaining usage allowance in the UDR, if all PDU sessions of the user to the same DNN and S-NSSAI are terminated.
11. The UDR sends an HTTP "204 No Content" response to the PCF.
- 12-13. To unsubscribe the notification of the PDU session related data modification from the UDR, the PCF invokes the Nudr\_DataRepository\_Unsubscribe service operation by sending the HTTP DELETE request to the "IndividualPolicyDataSubscription" resource if it has subscribed such notification. The UDR sends an HTTP "204 No Content" response to the PCF.

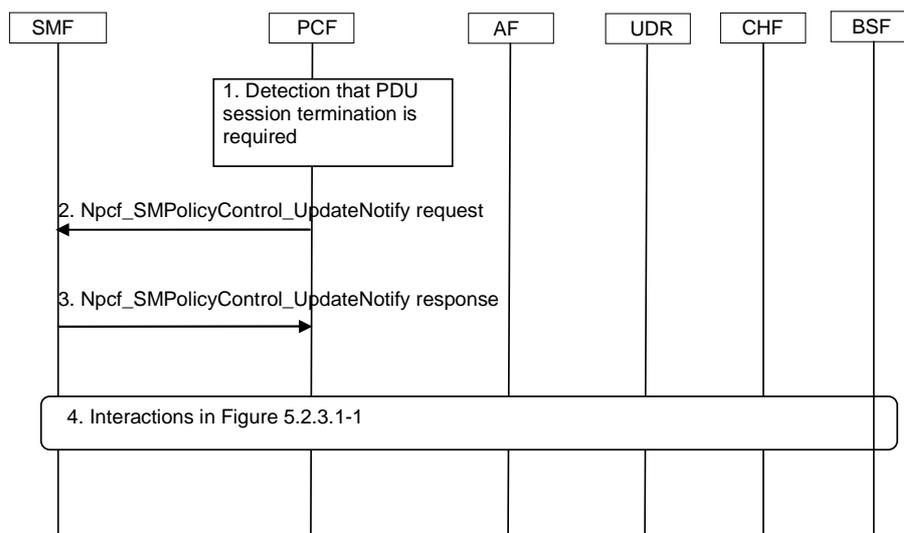
Additionally, to unsubscribe the notification of the AF influence data from the UDR, the PCF invokes the Nudr\_DataRepository\_Unsubscribe service operation by sending the HTTP DELETE request to the "Individual Influence Data Subscription" resource if it has subscribed such notification. The UDR sends an HTTP "204 No Content" response to the PCF.

14. In the case that binding information has been previously registered in the BSF the PCF invokes the Nbsf\_Management\_Deregister service operation by sending an HTTP DELETE request to the BSF to delete binding information as detailed in subclause 8.5.3.

15. The PCF receives an HTTP "204 No Content" response from the BSF as detailed in subclause 8.5.3.

### 5.2.3.2 SM Policy Association Termination initiated by the PCF

This procedure is performed when the PCF requests to terminate a SM Policy Association based on some external or internal triggers as described in step 1 below.



**Figure 5.2.3.2-1: PCF-initiated SM Policy Association Termination procedure**

This procedure concerns both roaming and non-roaming scenarios.

In the LBO roaming case, the PCF acts as the V-PCF. In the home routed roaming case, the PCF acts as the H-PCF, and the H-PCF interacts only with the H-SMF.

1. The PCF makes policy decisions to terminate a PDU session based on an external trigger, e.g. UE subscription data is deleted, or based on an internal trigger, e.g. operator policy is changed.
2. The PCF sends the Npcf\_SMPolicyControl\_UpdateNotify service operation by sending the HTTP POST request with "{notificationUri}/delete" as the resource URI to trigger the SMF to request the release of the PDU session as defined in subclause 4.2.3.3 of 3GPP TS 29.512 [9]. The request includes resource URI of the individual SM policy to be deleted and the cause why the PCF requests the termination.
3. The SMF sends an HTTP "200 OK" response to the PCF.
4. The PCF interacts with SMF/AF/UDR/CHF/BSF according to Figure 5.2.3.1-1.

## 5.3 Spending Limit Procedures

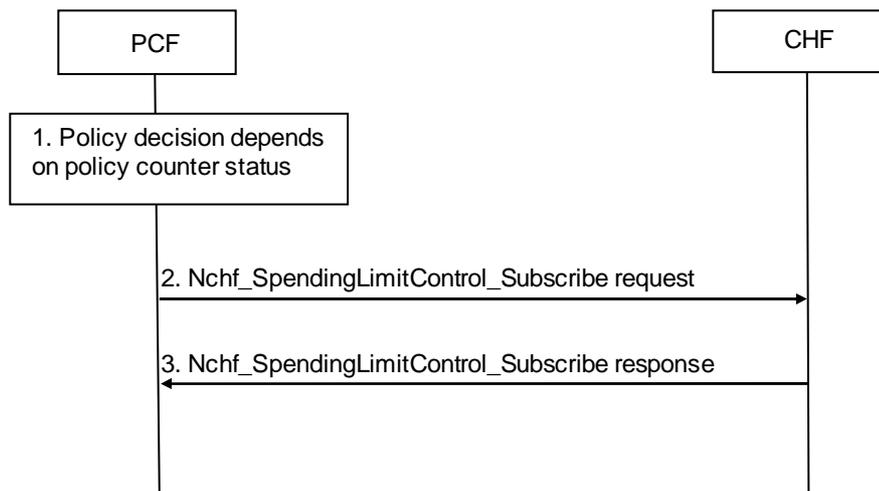
### 5.3.1 General

The PCF may interact with the CHF to make PCC decisions based on spending limits. In Home Routed roaming and Non-roaming case, the (H-) PCF will interact with the CHF in HPLMN.

### 5.3.2 Initial Spending Limit Report Request

This clause describes the signalling flow for the PCF to request the status of the policy counters available at the CHF, and to subscribe to updates of these policy counters by the CHF. If the PCF provides the list of policy counter identifier(s), the CHF returns the policy counter status per policy counter identifier provided by the PCF, and stores the

PCF's subscription to spending limit reports for these policy counters. If the PCF does not provide the list of policy counter identifier(s), the CHF returns the policy counter status for all policy counter identifier(s), which are available for this subscriber, and stores the PCF's subscription to spending limit reports for all policy counters.

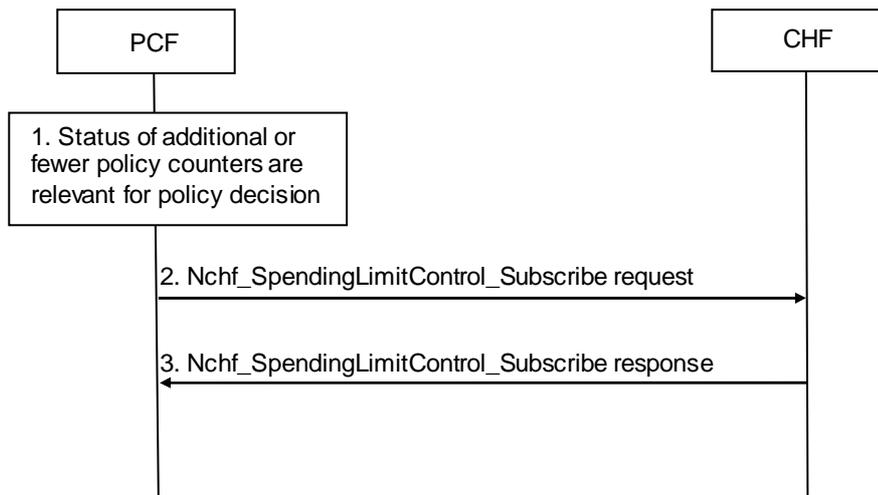


**Figure 5.3.2-1: Initial Spending Limit Report Request procedure**

1. The PCF retrieves subscription information that indicates that policy decisions depend on policy counter(s) held at the CHF and optionally the list of policy counter identifier(s).
2. The PCF invokes the Nchf\_SpendingLimitControl\_Subscribe service operation to the CHF by sending the HTTP POST request to the "Spending Limit Retrieval Subscriptions" resource if such reporting is not established for the subscriber. The request operation includes the subscriber Id "SUPI", the notification URI and optionally the list of policy counter identifier(s).
3. The CHF responds to the Nchf\_SpendingLimitControl\_Subscribe service operation including a Subscription Correlation ID and as Event Information provides the policy counter status, and optionally pending policy counter statuses and their activation times, per required policy counter identifier, and stores the PCF's subscription to spending limit reports for these policy counters. When no policy counter identifier(s) was received from the PCF, it provides the policy counter status, optionally pending policy counter statuses and their activation times, for all policy counters, which are available for this subscriber, and stores the PCF's subscription to spending limit reports for all policy counters.

### 5.3.3 Intermediate Spending Limit Report Request

This clause describes the signalling flow for the PCF to request the status of additional policy counters available at the CHF or to remove the request for the status of policy counters available at CHF. If the PCF provides the list of policy counter identifier(s), the CHF returns the policy counter status per policy counter identifier provided by the PCF.

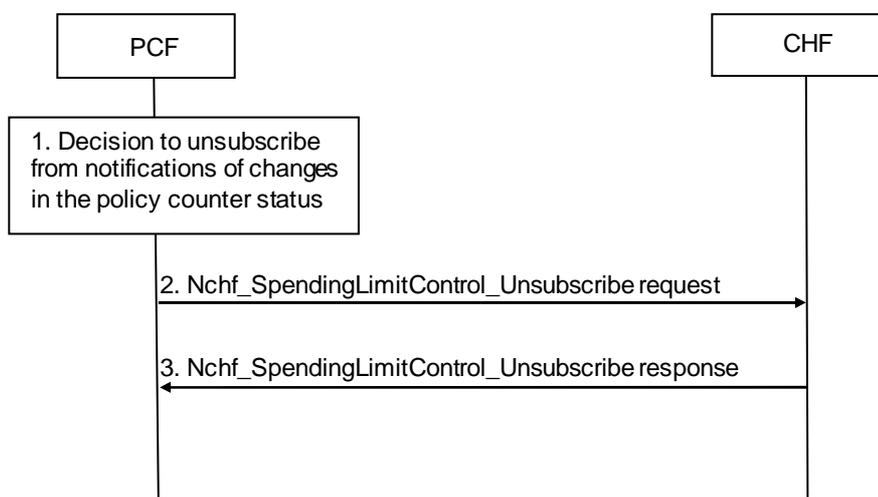


**Figure 5.3.3-1: Intermediate Spending Limit Report Request procedure**

1. The PCF decides to modify the list of subscribed policy counters, e.g. PCF determines that policy decisions depend on additional policy counter identifier(s) held at the CHF or that notifications of policy counter status changes for some policy counters are no longer required.
2. The PCF invokes the `Nchf_SpendingLimitControl_Subscribe` service operation to the CHF by sending the HTTP PUT request to the "Individual Spending Limit Retrieval Subscription" resource. The request operation may include an updated list of policy counter identifier(s) that overrides the previously stored list of policy counter identifier(s) and a notification URI.
3. The CHF responds to the `Nchf_SpendingLimitControl_Subscribe` service operation and provides as Event Information the policy counter status and optionally pending policy counter statuses and their activation times, per required policy counter identifier, and stores or removes the PCF's subscription to spending limit reporting by comparing the updated list with the existing PCF subscriptions. When no policy counter identifier(s) was received from the PCF, it provides the policy counter status, optionally pending policy counter statuses and their activation times, for all policy counter(s), which are available for this subscriber, and stores the PCF's subscription to spending limit reports for all policy counters.

### 5.3.4 Final Spending Limit Report Request

This clause describes the signalling flow for the PCF to unsubscribe to any future updates of policy counters for a given subscriber by the CHF. It cancels the request for reporting the change of the status of the policy counters available at the CHF.

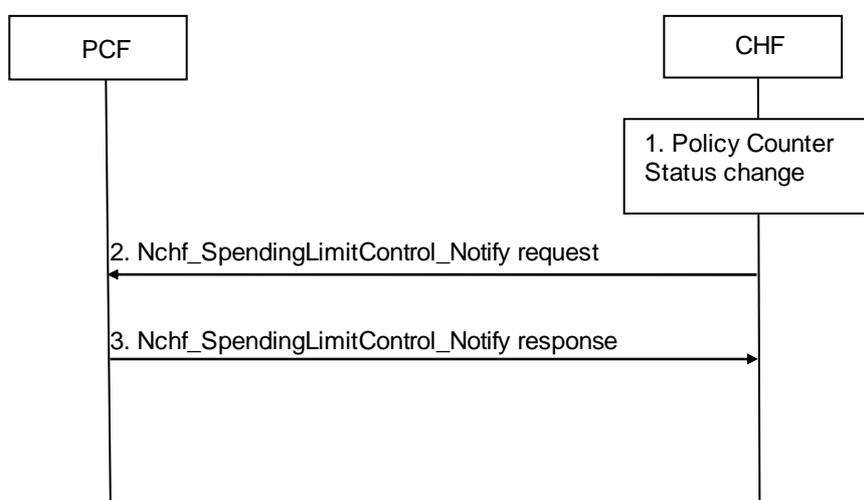


**Figure 5.3.4-1: Final Spending Limit Report Request procedure**

1. The PCF decides that policy decisions for a given user no longer depend on policy counter(s) to which the PCF has existing subscriptions for status change notification.
2. The PCF sends Nchf\_SpendingLimitControl\_Unsubscribe service operation to the CHF by sending the HTTP DELETE request to the "Individual Spending Limit Retrieval Subscription" resource to cancel the notification request from the CHF on policy counter status, whereby the "{subscriptionId}" is the identification of the existing subscription to be deleted.
3. The CHF removes the PCF's subscription to spending limit reporting and responds to the Nchf\_SpendingLimitControl\_Unsubscribe service operation to the PCF.

### 5.3.5 Spending Limit Report

This clause describes the signalling flow for the CHF to notify the changes of the status of a subscribed policy counter(s) available at the CHF for that subscriber. Alternatively, the signalling flow can be re-used by the CHF to provide one or more pending statuses for a subscribed policy counter together with the time that have to be applied.

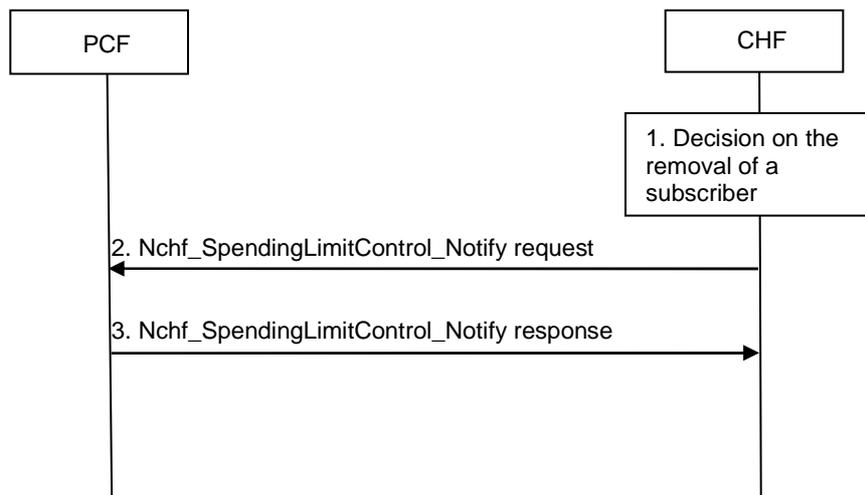


**Figure 5.3.5-1: Spending Limit Report procedure**

1. The CHF detects that status of a policy counter identifier(s) has changed and the PCF requested notification of changes in the status of a policy counter(s). Alternatively, if the CHF detects a policy counter status will change at a future point in time, the CHF shall be able to instruct the PCF to apply one or more pending statuses for a requested policy counter.
2. When the status of a specific policy counter changes, or the CHF detects that a policy counter status will change at a future point in time and decides to instruct the PCF to apply one or more pending statuses for a requested policy counter, the CHF shall determine the PDU sessions impacted by the change (i.e. those PDU sessions that have subscribed to status change notifications for the changed policy counter) and invoke Nchf\_SpendingLimitControl\_Notify service operation by sending the HTTP POST request with "{notifURI}/notify " as the request URI to the PCF associated with each affected PDU session. The request operation includes the subscriber Id "SUPI" and in the Event Information the updated policy counter status, optionally including pending policy counter statuses and their activation times for any of the subscribed policy counters.
3. The PCF acknowledges the Nchf\_SpendingLimitControl\_Notify service operation. The PCF uses the status of the received policy counter(s) as input to its policy decision to apply operator defined actions, e.g. downgrade the QoS, and it shall ignore an unknown policy counter status report for all unknown policy counter identifiers in the Nchf\_SpendingLimitControl\_Notify service operation from the CHF.

### 5.3.6 Subscription termination request by CHF

This clause describes the signalling flow for the CHF to report the removal of the subscriber to every PCF.



**Figure 5.3.6-1: Subscription Termination Request procedure**

1. When the CHF decides that a subscriber is removed it may report the removal to the PCF.
2. The CHF may invoke the Nchf\_SpendingLimitControl\_Notify service operation by sending the HTTP POST request with "{notifURI}/terminate" as request URI to the PCF. The request operation shall include the subscriber Id "SUPI" and in the subscription termination information "removed subscriber" as Event Information.
3. The PCF removes the subscription to notification of all policy counter statuses for a subscriber identified by the subscriber Id, makes applicable policy decisions and acknowledges the Nchf\_SpendingLimitControl\_Notify service operation.

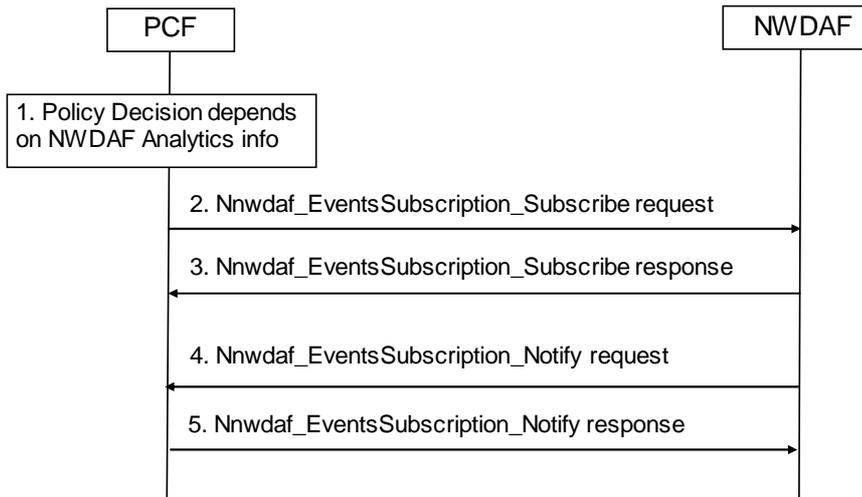
## 5.4 Network Data Analytics Procedures

### 5.4.1 General

The PCF may interact with the NWDAF to make PCC decisions based on load level information.

### 5.4.2 Network data analytics Subscribe/Unsubscribe

This procedure is used by the PCF to subscribe to/unsubscribe from analytics information (e.g. load level information of network slice instance(s), network performance) from NWDAF. Periodic notification and notification upon threshold exceeded can be subscribed. The PCF may make policy decisions based on the received analytics info.



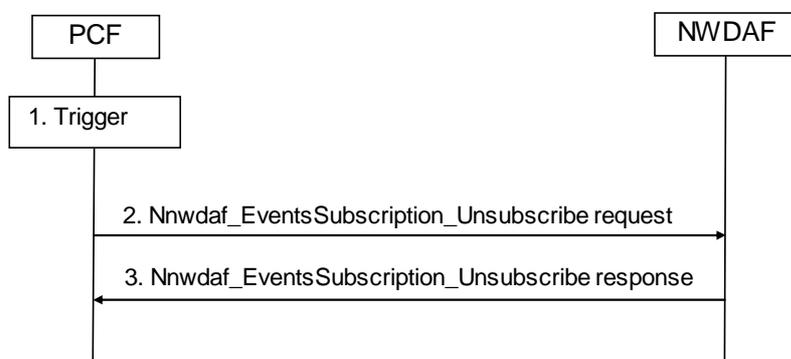
**Figure 5.4.2-1: Network data analytics Subscribe procedure**

1. The PCF makes policy decisions that depend on load level information.
2. In order to subscribe to analytics information from NWDAF, the PCF invokes Nnwdaf\_EventsSubscription\_Subscribe service operation by sending an HTTP POST request with Resource URI of the resource "NWDAF Events Subscriptions". The request includes the subscribed events and may include event filter information as specified in 3GPP TS 29.520 [11].

In order to update the existing subscription, the PCF invokes Nnwdaf\_EventsSubscription\_Subscribe service operation by sending an HTTP PUT request with Resource URI of the resource "Individual NWDAF Event Subscription".

3. The NWDAF responds to the Nnwdaf\_EventsSubscription\_Subscribe service operation. If the subscription is accepted to be created, the response includes the URI of the created subscription with "201 Created". If the subscription is accepted to be updated, the NWDAF responds to the PCF with "200 OK" or "204 No Content".
4. If the NWDAF observes the event(s) that PCF has subscribed to, the NWDAF invokes Nnwdaf\_EventsSubscription\_Notify service operation to report the event(s) by sending an HTTP POST request with {notificationURI} as Notification URI.
5. The PCF sends an HTTP "204 No Content" response to the NWDAF.

NOTE 1: For details of Nnwdaf\_EventsSubscription\_Subscribe/Notify service operations refer to 3GPP TS 29.520 [11].



**Figure 5.4.2-2: Network data analytics Unsubscribe procedure**

1. The PCF receives an internal or external trigger to unsubscribe from analytics information from NWDAF.
2. The PCF invokes Nnwdaf\_EventsSubscription\_Unsubscribe service operation by sending an HTTP DELETE request with Resource URI of the resource "Individual NWDAF Event Subscription", to the NWDAF to

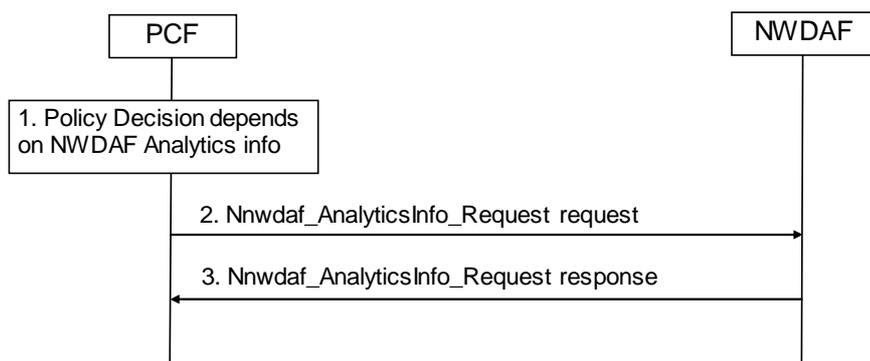
unsubscribe from analytics information. The request includes the event subscriptionId of the existing subscription that is to be deleted.

3. The NWDAF responds to the Nnwdaf\_EventsSubscription\_Unsubscribe service operation. If the unsubscription is accepted, the NWDAF responds with "204 No Content".

NOTE 2: For details of Nnwdaf\_EventsSubscription\_Unsubscribe service operation refer to 3GPP TS 29.520 [11].

### 5.4.3 Network data analytics info request

This procedure is used by the PCF to request analytics information (e.g. load level information of network slice instance(s), network performance) from NWDAF. The PCF may make policy decisions based on the analytics information.



**Figure 5.4.3-1: Network data analytics info request procedure**

1. The PCF makes policy decisions that depend on the analytics information from the NWDAF, especially for one time usage.
2. The PCF invokes Nnwdaf\_AnalyticsInfo\_Request service operation by sending an HTTP GET request with Resource URI of the resource "NWDAF Analytics", to the NWDAF to request the analytics information. The request includes analytics identifier and related event filter information.
3. The NWDAF responds to the Nnwdaf\_AnalyticsInfo\_Request service operation. If the request is accepted, the response includes the requested analytics information with "200 OK".

NOTE: For details of Nnwdaf\_AnalyticsInfo\_Request service operation refer to 3GPP TS 29.520 [11].

## 5.5 Service Capability Exposure Procedures

### 5.5.1 General

PCC abilities can be exposed to a 3rd party application server via the NEF.

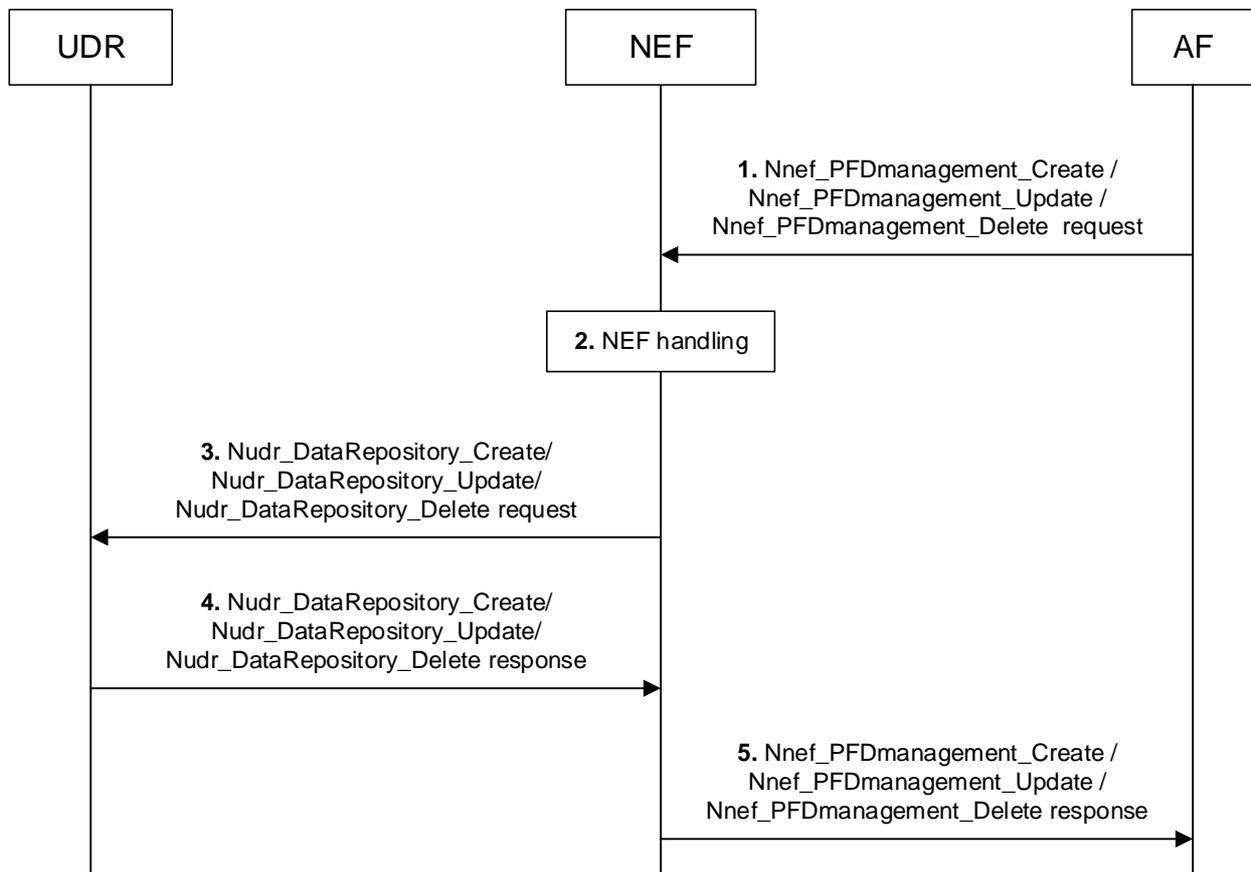
The following procedures are included in this clause:

1. The procedure of Packet Flow Descriptions management.
2. The procedure of AF traffic routing.
3. The procedure of Background Data Transfer negotiation.
4. The procedure of BDT warning notification.
5. The procedure of Background Data Transfer policy applying.
6. The procedure of IPTV configuration provisioning.
7. The procedure of AF-based service parameter provisioning for V2X communications

## 5.5.2 Management of Packet Flow Descriptions

### 5.5.2.1 AF-initiated PFD management procedure

This subclause describes the procedure initiated by the AF for creation, update or removal of packet flow descriptions of the application(s) in operator's network as depicted in figure 5.5.2.1-1.



**Figure 5.5.2.1-1: AF-initiated PFD management procedure**

1. To create PFDs resources for one or more application identifier(s), the AF invokes the Nnef\_PFDmanagement\_Create service operation to the NEF by sending the HTTP POST request to the resource "PFD Management Transactions".

To update the PFDs for an existing individual transaction including one or more application identifier(s), the AF invokes the Nnef\_PFDmanagement\_Update service operation by sending the HTTP PUT request to the resource "Individual PFD Management Transaction".

To update the PFDs for an existing application identifier, the AF invokes the Nnef\_PFDmanagement\_Update service operation by sending the HTTP PUT or PATCH request to the resource "Individual Application PFD Management".

To remove the PFDs for an existing individual transaction "Individual PFD Management Transaction" including one or more application identifier(s), the AF invokes the Nnef\_PFDmanagement\_Delete service operation by sending the HTTP DELETE request to the resource "Individual PFD Management Transaction".

To remove the PFDs for an existing individual application, the AF invokes the Nnef\_PFDmanagement\_Delete service operation by sending the HTTP DELETE request to the resource "Individual Application PFD Management".

NOTE 1: For details of Nnef\_PFDmanagement\_Create/Update/Delete service operations refer to 3GPP TS 29.522 [24].

2. The NEF checks whether the application is authorized to perform this request based on the operator policies.

3. The NEF invokes Nudr\_DataRepository operation service to the UDR as follows:

- if PFDs creation for a new application identifier was requested in step 1, the NEF shall invoke the Nudr\_DataRepository\_Create service operation by sending an HTTP PUT request message to the resource "Individual PFD Data" for the requested application identifier.
- if PFDs update for an existing application identifier was requested in step 1, the NEF shall invoke the Nudr\_DataRepository\_Update service operation by sending an HTTP PUT request message to the resource "Individual PFD Data" for the requested application identifier.
- if PFDs removal for an existing application identifier was requested in step 1, the NEF shall invoke the Nudr\_DataRepository\_Delete service operation by sending an HTTP DELETE request message to the resource "Individual PFD Data" for the requested application identifier.

NOTE 2: PFD creation/update/removal in step 1 can include PFD management request for multiple applications, but the UDR service for PFD management only supports one application at a time.

NOTE 3: For details of Nudr\_DataRepository\_Create/Update/Delete service operations refer to 3GPP TS 29.519 [12].

4. The UDR shall send the HTTP response message to the NEF correspondingly.

5. The NEF sends Nnef\_PFDManagement\_Create/Update/Delete Response to the AF.

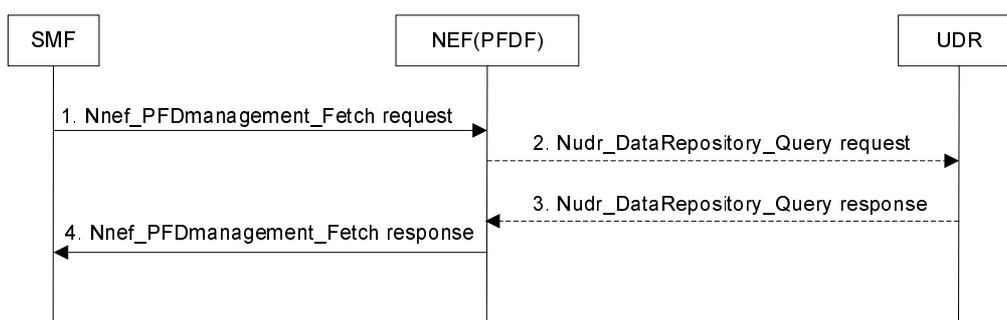
## 5.5.2.2 PFD management towards SMF

### 5.5.2.2.1 PFD retrieval

This procedure enables the SMF to retrieve PFDs for application identifier(s) from the PFDF as depicted in figure 5.5.2.2.1-1 when:

- a PCC rule with the application identifier(s) is provided or activated and PFDs for the corresponding application identifier(s) provisioned by the PFDF are not available at the SMF; and
- the caching timer for an application identifier expires and the PCC Rule for this application identifier is still active.

The SMF may retrieve PFDs for one or more application identifiers in the same Request. All PFDs related to an application identifier are provided in the response from the UDR to NEF (PFDF).



**Figure 5.5.2.2.1-1: PFD retrieval by SMF**

1. In order to retrieve the PFDs of individual application identifier, the SMF shall invoke Nnef\_PFDmanagement\_Fetch service operation by sending an HTTP GET request message to the resource "Individual application PFD".

In order to retrieve the PFDs of all application identifiers, the SMF shall invoke the Nnef\_PFDmanagement\_Fetch service operation by sending an HTTP GET request message to the resource "PFD of applications".

In order to retrieve the PFDs of collection of application identifiers, the SMF shall invoke the Nnef\_PFDmanagement\_Fetch service operation by sending an HTTP GET request message to the resource "PFD of applications" with query parameters indicating the requested application identifiers.

NOTE 1: For details of Nnef\_PFDmanagement\_Fetch service operation refer to 3GPP TS 29.551 [25].

2. If the requested PFDs are not available in PFDF, PFDF shall invoke Nudr\_DataRepository\_Query service operation by sending an HTTP GET request message to the UDR to the resource "Individual PFD Data" as specified in 3GPP TS 29.519 [12].

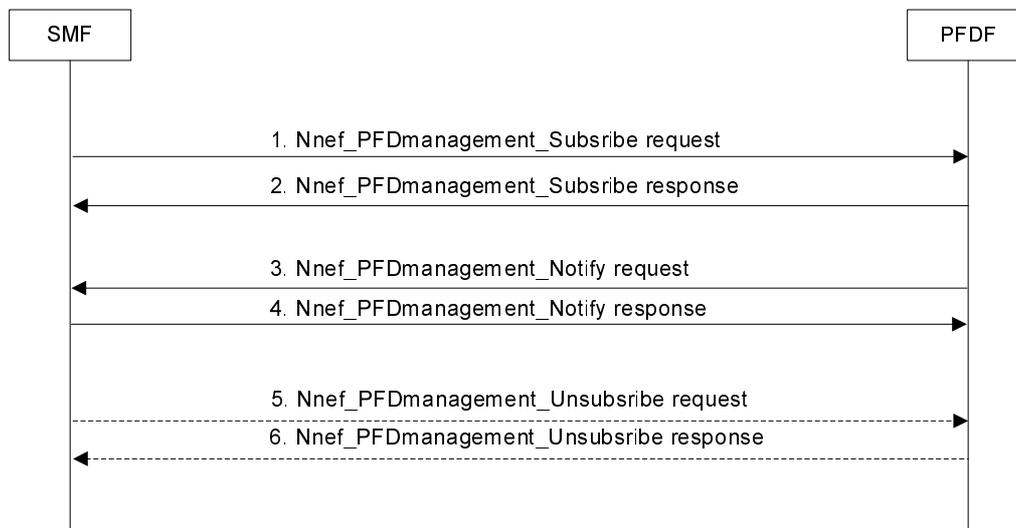
NOTE 2: The SMF in step 1 can include PFD management request for multiple applications, but the UDR service for PFD management only supports one application at a time.

3. The UDR shall send an HTTP GET response message including the requested PFDs to the NEF.

4. The NEF (PFDF) sends the HTTP GET response message "200 OK" including the PFDs for the requested application identifier(s) to the SMF.

### 5.5.2.2.2 PFD management

This procedure enables the SMF to subscribe the notification of events when the PFDs for application identifier change. The PFDF will notify the SMF to update and/or delete the PFDs for application identifier(s) as subscribed previously.



**Figure 5.5.2.2.2-1: PFDF management in the SMF**

1-2. In order to subscribe the notification of events when the PFDs for application identifier change, the SMF can use Nnef\_PFDmanagement\_Subscribe service operation by sending an HTTP POST message to the resource "PFD subscriptions". The HTTP POST request includes a notification URI to indicate to the PFDF where to send notifications when events occur. If the subscription is accepted, the PFDF shall send the POST response message a "201 Created" to the SMF.

3-4. The PFDF shall use Nnef\_PFDmanagement\_Notify service operation to update and/or delete the PFDs for application identifier(s) in the SMF. The PFDF shall send an HTTP POST request message to the notification URI "{notifyUri}/notify". The SMF replies to the PFDF with an HTTP POST response message "204 No Content" indicating the successful provisioning of all PFDs or "200 OK" containing failed application identifier(s).

5-6. The SMF may initiate Nnef\_PFDmanagement\_Unsubscribe service operation to remove the subscription by sending an HTTP DELETE request message to the resource "Individual PFD subscription". The PFDF replies to the SMF with an HTTP DELETE response message "204 No Content".

NOTE: For details of Nnef\_PFDmanagement\_Subscribe/Notify/Unsubscribe service operations refer to 3GPP TS 29.551 [25].

## 5.5.3 Traffic influence procedures

### 5.5.3.1 General

As described in 3GPP TS 23.501 [2] subclause 5.6.7, an AF may send requests to influence SMF routing decisions for User Plane traffic of PDU Sessions. The AF may also provide in its request subscriptions to SMF events (e.g. UP path change).

The following cases are included in this clause:

AF requests targeting an individual UE address: such requests are routed (by the AF or by the NEF) to an individual PCF using the BSF or by configuration as described in subclause 5.5.3.2.

NOTE 1: Such requests target an on-going PDU Session. Whether the AF needs to use the NEF or not depends on local deployment.

AF requests targeting PDU Sessions that are not identified by an UE address: For such requests the AF shall contact the NEF and the NEF stores the AF request information in the UDR. PCF(s) that have subscribed to the modification of the AF request information receive a corresponding notification from the UDR. This is described in subclause 5.5.3.3.

NOTE 2: Such requests can target on-going or future PDU Sessions.

NOTE 3: The 5GC functions used in the following procedures are assumed to all belong to the same PLMN (HPLMN in non-roaming case or VPLMN in the case of a PDU Session in LBO mode).

NOTE 4: AF requests invoked from an AF located in the HPLMN for home routed roaming scenario are not supported.

NOTE 5: For details of Nnef\_TrafficInfluence\_Create/Update/Delete/AppRelocationInfo service operations refer to 3GPP TS 29.522 [24].

NOTE 6: For details of the Nudr\_DataRepository\_Create/Update/Delete service operations refer to 3GPP TS 29.519 [12] and 3GPP TS 29.504 [27].

NOTE 7: For details of the Nsmf\_EventExposure\_Notify/AppRelocationInfo service operations refer to 3GPP TS 29.508 [8].

NOTE 8: For details of the Npcf\_PolicyAuthorization\_Create/Update/Delete service operations refer to 3GPP TS 29.514 [10].

NOTE 9: For details of the Npcf\_SMPolicyControl\_UpdateNotify service operation refer to 3GPP TS 29.512 [9].

NOTE 10: For details of the Nbsf\_Management\_Discovery service operation refer to 3GPP TS 29.521 [22].

5.5.3.2 AF requests targeting an individual UE address

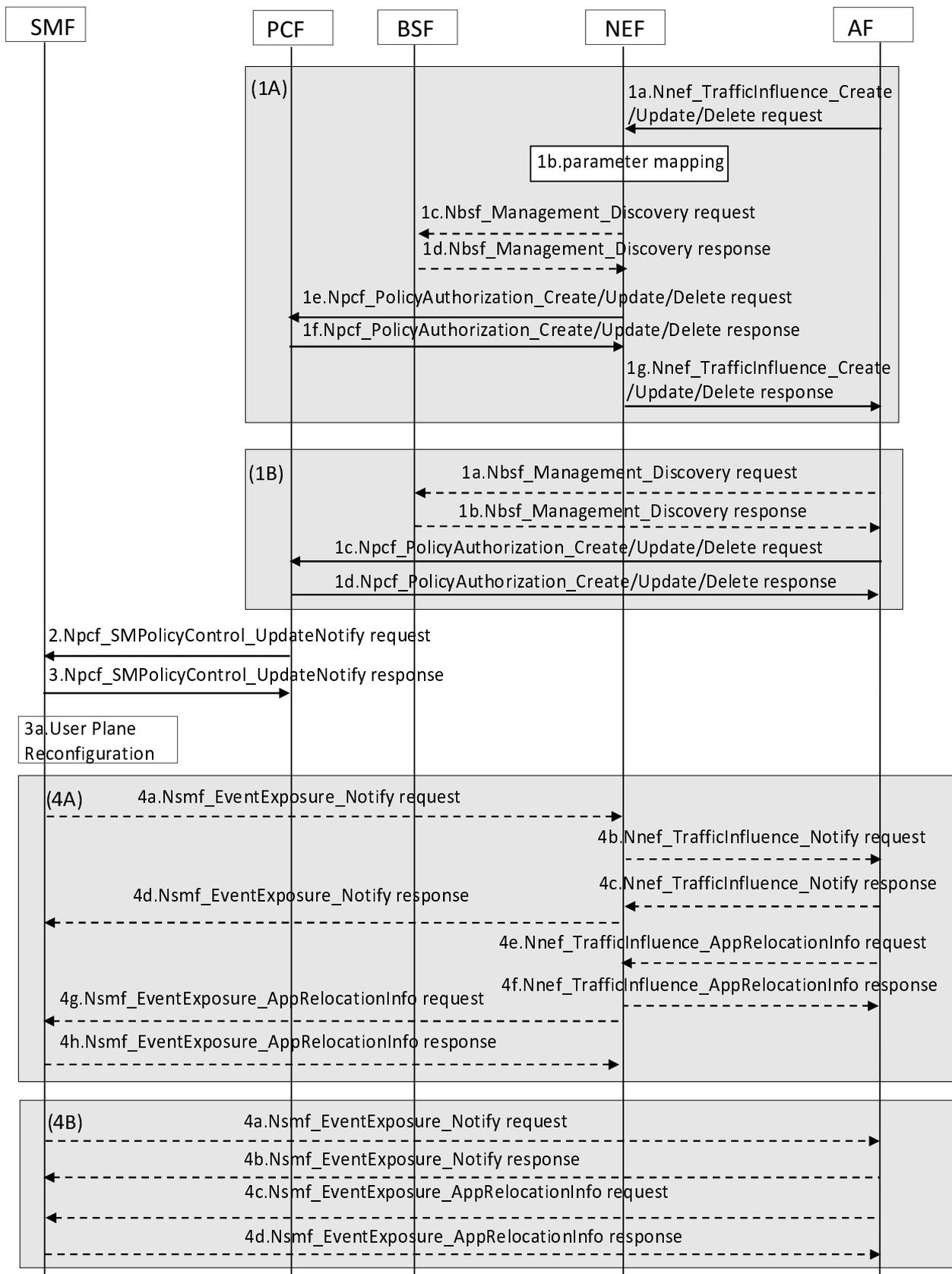


Figure 5.5.3.2-1: Processing AF requests to influence traffic routing for Sessions identified by an UE address

1A. The AF sends the AF request to PCF via the NEF.

1a-1b. These steps are the same as steps 1-2 in Figure 5.5.3.3-1.

1c-1d. If the PCF address is not available on the NEF based on local configuration, the NEF invokes the Nbsf\_Management\_Discovery service operation, specified in subclause 8.5.4, to obtain the selected PCF ID for the ongoing PDU session identified by the individual UE address in the AF request.

1e-1f. The NEF forwards the AF request to the PCF.

When receiving the Nnef\_TrafficInfluence\_Create request in step 1a, the NEF invokes the Npcf\_PolicyAuthorization\_Create service operation by sending the HTTP POST request to the "Application Sessions" resource as described in subclause 5.2.2.2.2.1. If the "URLLC" feature defined in 3GPP TS 29.514 [10] is supported, and the indication of AF acknowledgement was received from the AF request, the NEF forwards the indication to the PCF as described in 3GPP TS 29.514 [10].

When receiving the Nnef\_TrafficInfluence\_Update request in step 1a, the NEF invokes the Npcf\_PolicyAuthorization\_Update service operation by sending the HTTP PATCH request to the "Individual Application Session Context" resource as described in subclause 5.2.2.2.2.2. If the "URLLC" feature defined in 3GPP TS 29.514 [10] is supported, and the indication of AF acknowledgement was received from the AF request, the NEF forwards the indication to the PCF as described in 3GPP TS 29.514 [10].

When receiving the Nnef\_TrafficInfluence\_Delete request in step 1a The NEF invokes the Npcf\_PolicyAuthorization\_Delete service operation by sending the HTTP POST request to the "Individual Application Session Context" resource as described in subclause 5.2.2.2.2.3.

1g The NEF sends the HTTP response message to the AF correspondingly.

1B. The AF sends the AF request to PCF directly.

1a-1b. If the PCF address is not available on the AF based on local configuration, the AF invokes the Nbsf\_Management\_Discovery service operation, as specified in subclause 8.5.4, to obtain the selected PCF ID for the ongoing PDU session identified by the individual UE address in its request.

1c-1d. To create a new AF request, the AF invokes the Npcf\_PolicyAuthorization\_Create service operation by sending the HTTP POST request to the "Application Sessions" resource as described in subclause 5.2.2.2.2.1. If the "URLLC" feature defined in 3GPP TS 29.514 [10] is supported, the AF may provide an indication of AF acknowledgement to be expected as described in 3GPP TS 29.514 [10].

To update an existing AF request, the AF invokes the Npcf\_PolicyAuthorization\_Update service operation by sending the HTTP PATCH request to the "Individual Application Session Context" resource as described in subclause 5.2.2.2.2.2. If the "URLLC" feature defined in 3GPP TS 29.514 [10] is supported, the AF may provide an indication of AF acknowledgement to be expected as described in 3GPP TS 29.514 [10].

To remove an existing AF request, the AF invokes the Npcf\_PolicyAuthorization\_Delete service operation by sending the HTTP POST request to the "Individual Application Session Context" resource as described in subclause 5.2.2.2.2.3.

2-3. Upon receipt of the AF request, the PCF invokes the Npcf\_SMPolicyControl\_UpdateNotify service operation to update the SMF with corresponding PCC rule(s) by sending the HTTP POST request to the resource URI "{notificationUri}/update" as described in subclause 5.2.2.2.1. If the AF subscribes to UP Path change event, the PCF includes the related subscription information within the corresponding PCC rule(s), in addition, if the "URLLC" feature defined in 3GPP TS 29.512 [9] is supported, and the indication of AF acknowledgement was received from the AF request, the PCF includes within the PCC rule(s) the indication of AF acknowledgement to be expected as specified in TS 29.512 [9].

- For the case of 4A, the PCF includes in the PCC rule(s) the Notification URI pointing to the NEF and the Notification Correlation ID assigned by NEF.
- For the case of 4B, the PCF includes in the PCC rule(s) the Notification URI pointing to the AF and the Notification Correlation ID assigned by AF.

If the AF unsubscribes from UP Path change event, the PCF removes the related subscription information from the corresponding PCC rule(s) as specified in 3GPP TS 29.512 [9].

3a. After the SMF installs PCC rule successfully, the SMF may take appropriate actions to reconfigure the User plane of the PDU Session such as:

- Adding, replacing or removing a UPF in the data path to e.g. act as an UL CL or a Branching Point.
- Allocate a new Prefix to the UE (when IPv6 multi-Homing applies).
- Updating the UPF in the target DNAI with new traffic steering rules.

4A. In case of 1A, if the SMF observes PDU Session related event(s) that AF has subscribed to, the SMF sends notification to the AF via the NEF.

4a-4d. The SMF invokes Nsmf\_EventExposure\_Notify service operation to the AF via the NEF by sending an HTTP POST request. When receiving the Nsmf\_EventExposure\_Notify service operation, the NEF performs information mapping (e.g. Notification Correlation ID to AF Transaction ID, etc.), and invokes the Nnef\_TrafficInfluence\_Notify service operation to forward the notification to the AF. If the indication of AF acknowledgement to be expected was included in the PCC rule(s), the SMF may notify with a notification URI for AF acknowledgement as described in 3GPP TS 29.508 [8], and then the NEF also notifies with a URI for the AF acknowledgement as described in 3GPP TS 29.522 [24].

4e-4h. When receiving the notification with the URI for AF acknowledgement, the AF acknowledges the notification to the SMF identified by the notification URI via the NEF.

The step is the same as steps 7-14 in Figure 5.5.3.3-1.

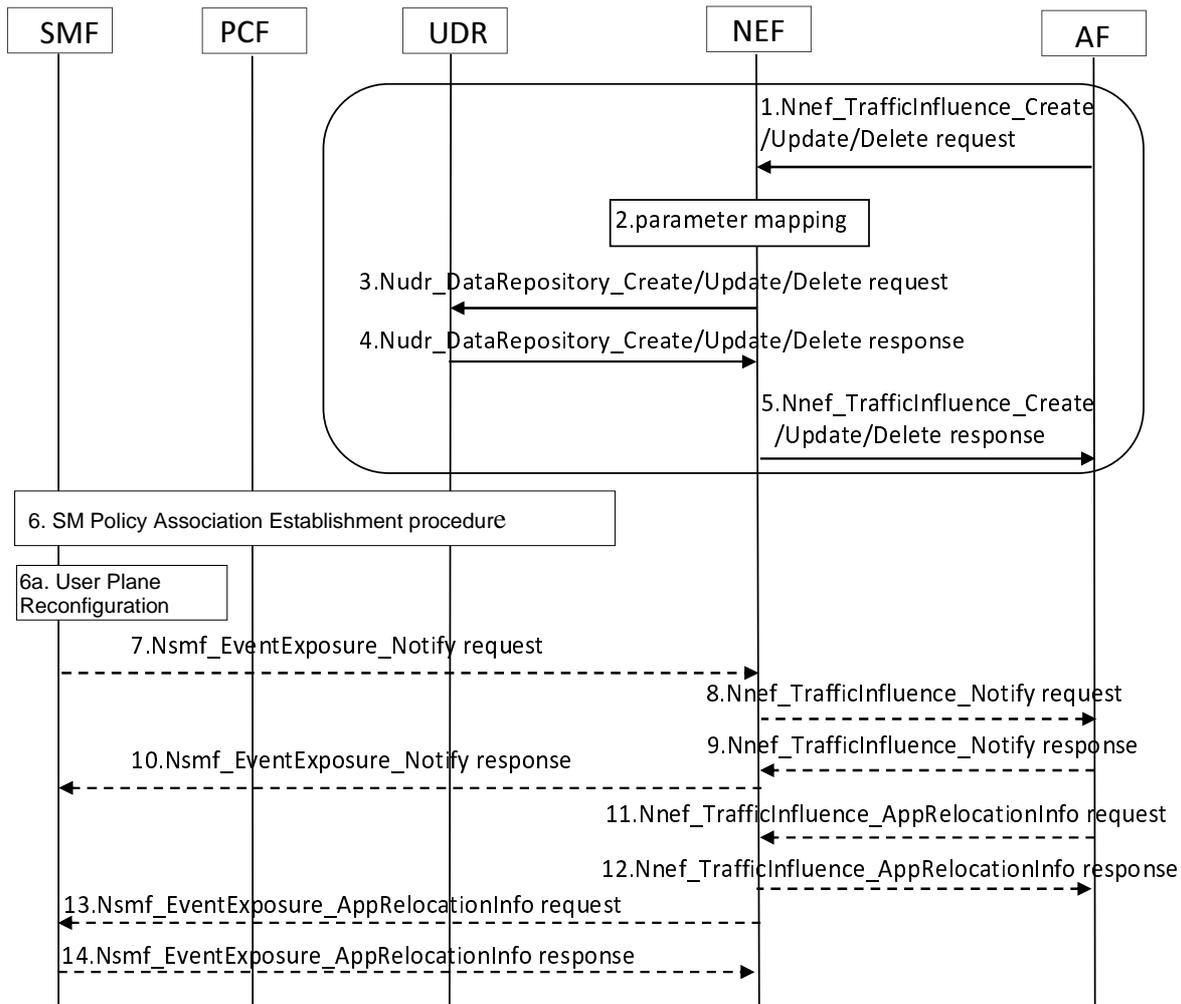
4B. In case of 1B, if the SMF observes PDU Session related event(s) that AF has subscribed to, the SMF sends notification to the AF directly.

4a-4b. The SMF invokes Nsmf\_EventExposure\_Notify service operation to the AF directly by sending an HTTP POST request to the resource URI "{notifUri}", and the AF sends a "204 No Content" response to the SMF. If the indication of AF acknowledgement to be expected was included in the PCC rule(s), the SMF may provide an URI for the AF acknowledgement as described in 3GPP TS 29.508 [8].

4c-4d. When receiving the notification with the URI for AF acknowledgement from the SMF, the AF invokes Nsmf\_EventExposure\_AppRelocationInfo service operation by sending an HTTP POST request to the resource URI "{ackUri}" to acknowledge the notification, and the SMF sends a "204 No Content" response to the AF.

### 5.5.3.3 AF requests targeting PDU Sessions not identified by an UE address

If the AF traffic influence request affects future PDU session, the traffic influence procedure is performed as depicted in Figure 5.5.3.3-1.



**Figure 5.5.3.3-1: Processing AF requests to influence traffic routing for Sessions not identified by an UE address, affecting future PDU session**

1. To create a new AF request, the AF invokes the Nnef\_TrafficInfluence\_Create service operation to the NEF by sending the HTTP POST request to the "Traffic Influence Subscription" resource. If the "URLLC" feature defined in 3GPP TS 29.522 [24] is supported, the AF may provide an indication of AF acknowledgement to be expected.

To update an existing AF request, the AF invokes the Nnef\_TrafficInfluence\_Update service operation by sending the HTTP PUT or PATCH request to the "Individual Traffic Influence Subscription" resource. If the "URLLC" feature defined in 3GPP TS 29.522 [24] is supported, the AF may provide an indication of AF acknowledgement to be expected.

To remove an existing AF request, the AF invokes the Nnef\_TrafficInfluence\_Delete service operation by sending the HTTP DELETE request to the "Individual Traffic Influence Subscription" resource.

2. Upon receipt of the AF request, the NEF authorizes it and then performs the mapping from the information provided by the AF into information needed by the 5GC as described in 3GPP TS 23.501 [2] and 3GPP TS 23.502 [3].

3-4. When receiving the Nnef\_TrafficInfluence\_Create request, the NEF invokes the Nudr\_DataRepository\_Create service operation to store the AF request information in the UDR by sending the HTTP PUT request to the "Individual Influence Data" resource, and the UDR sends a "201 Created" response.

When receiving the Nnef\_TrafficInfluence\_Update request, the NEF invokes the Nudr\_DataRepository\_Update service operation to modify the AF request information in the UDR by sending the HTTP PATCH/PUT request to the resource "Individual Influence Data", and the UDR sends a "200 OK" or "204 No Content" response accordingly.

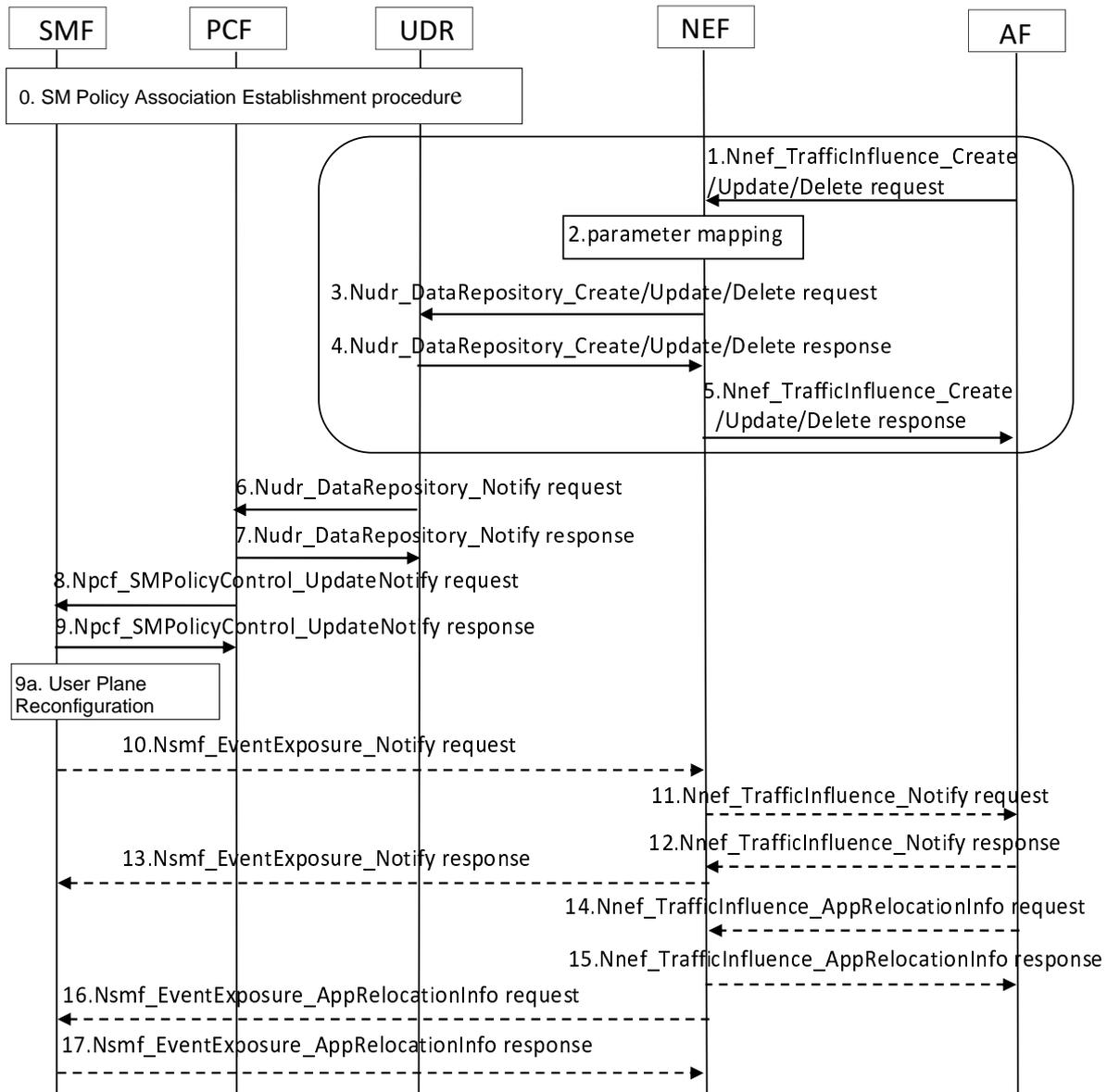
When receiving the Nnef\_TrafficInfluence\_Delete request, the NEF invokes the Nudr\_DataRepository\_Delete service operation to delete the AF requirements from the UDR by sending the HTTP DELETE request to the "Individual Influence Data" resource, and the UDR sends a "204 No Content" response.

5. The NEF sends the HTTP response message to the AF correspondingly.
6. The PCF retrieves the stored AF request in the UDR by invoking the Nudr\_DataRepository\_Query service operation during SM Policy Association Establishment procedure (see subclause 5.2.1).

The PCF generates the PCC rule(s) based on the AF request and provides it to the SMF. If the AF subscribes to UP Path change event, the PCF includes the Notification URI pointing to the NEF and the Notification Correlation ID assigned by NEF within the corresponding PCC rule(s) as specified in 3GPP TS 29.512 [9]. If the AF unsubscribes from UP Path change event, the PCF removes the related subscription information from the corresponding PCC rule(s) as specified in 3GPP TS 29.512 [9].

- 6a. This step is the same as the step 3a in Figure 5.5.3.2-1.
7. If the SMF observes PDU Session related event(s) that AF has subscribed to, the SMF invokes the Nsmf\_EventExposure\_Notify service operation to the NEF by sending an HTTP POST request to the resource URI "{notifUri}". If the indication of AF acknowledgement to be expected was included in the PCC rule(s), the SMF may notify with an URI for the AF acknowledgement as described in 3GPP TS 29.508 [8].
8. When receiving the Nsmf\_EventExposure\_Notify service operation, the NEF performs information mapping (e.g. Notification Correlation ID to AF Transaction ID), and invokes the Nnef\_TrafficInfluence\_Notify service operation to forward the notification to the AF by sending the HTTP request to the resource URI "notificationDestination" as specified in TS 29.522 [24]. If the notification from the SMF includes an URI for the AF acknowledgement, the NEF also notifies with a URI for the AF acknowledgement as described in 3GPP TS 29.522 [24].
9. The AF sends an HTTP "204 No Content" response to the NEF.
10. The NEF sends an HTTP "204 No Content" response to the PCF.
- 11-12. When receiving the notification with the URI for AF acknowledgement from the NEF, the AF invokes Nnef\_TrafficInfluence\_AppRelocationInfo service operation by sending an HTTP POST request to the resource URI "{afAckUri}" to acknowledge the notification, and the NEF sends a "204 No Content" response to the AF.
- 13-14. When receiving the AF acknowledgement from the AF, to forward it to the SMF, the NEF invokes Nsmf\_EventExposure\_AppRelocationInfo service operation by sending an HTTP POST request to the resource URI "{ackUri}", and the SMF sends a "204 No Content" response to the NEF.

If the AF traffic influence request affects ongoing PDU session, the traffic influence procedure is performed as depicted in Figure 5.5.3.3-2.



**Figure 5.5.3.3-2: Processing AF requests to influence traffic routing for Sessions not identified by an UE address, affecting ongoing PDU session**

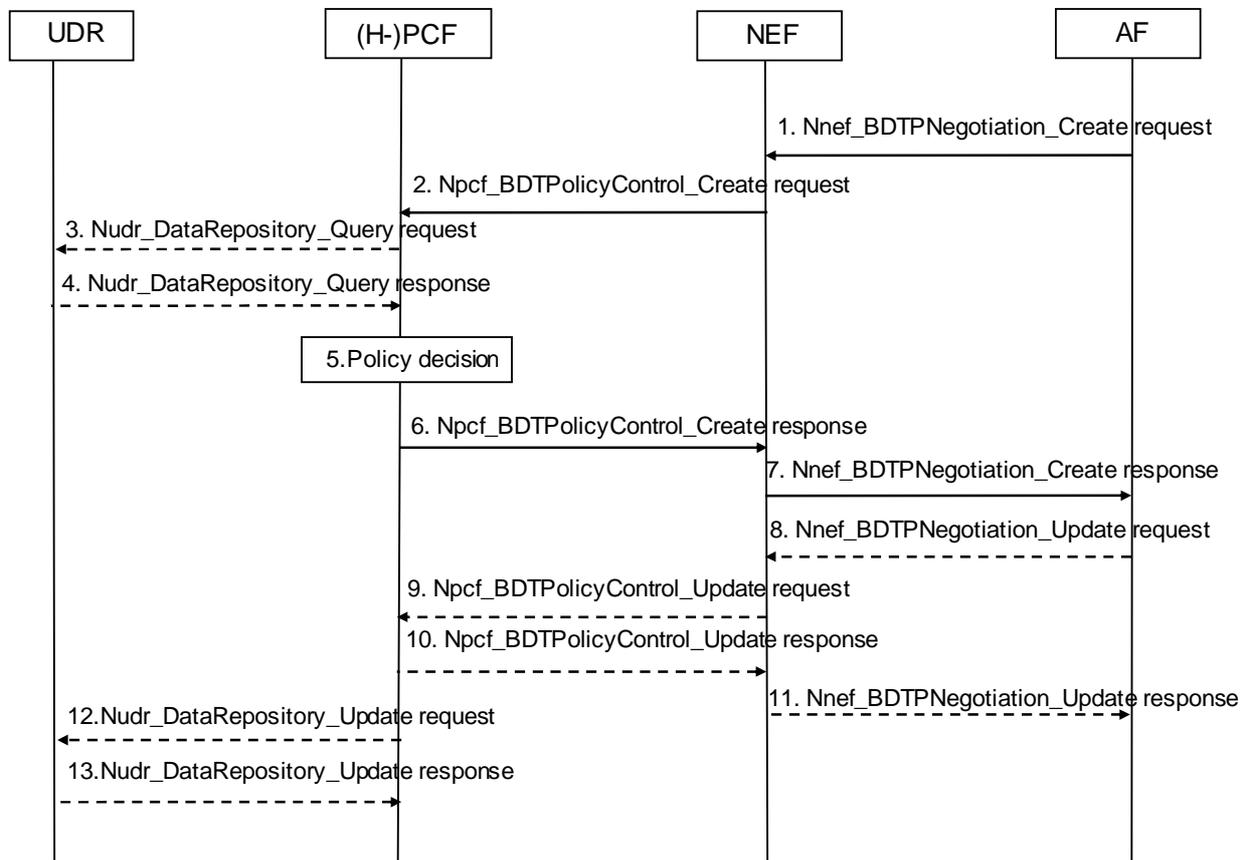
0. The PCF subscribes to the changes of traffic influence data in the UDR during SM Policy Association procedure (see subclause 5.2.1).
- 1-5. These steps are the same as steps 1-5 in Figure 5.5.3.3-1.
- 6-7. The UDR invokes the `Nudr_DataRepository_Notify` service operation to PCF(s) that have subscribed to modifications of AF requests by sending the HTTP POST request to the resource URI "{notificationUri}", and the PCF sends a "204 No Content" response to the UDR.
- 8-9. Upon receipt of the AF request from the UDR, the PCF determines if existing PDU Sessions are potentially impacted by the AF request. For each of these PDU Sessions, the PCF invokes the `Npcf_SMPolicyControl_UpdateNotify` service operation to update the SMF with corresponding PCC rule(s) by sending the HTTP POST request to the resource URI "{notificationUri}/update" as described in subclause 5.2.2.2.1.

If the AF subscribes to UP Path change event, the PCF includes the information on AF subscription to UP path change event within the corresponding PCC rule(s) as specified in 3GPP TS 29.512 [9]. If the AF unsubscribes from UP Path change event, the PCF removes the related subscription information from the corresponding PCC rule(s) as specified in 3GPP TS 29.512 [9].

9a. This step is the same as step 6a in Figure 5.5.3.3-1.

10-17. These steps are the same as steps 7-14 in Figure 5.5.3.3-1.

### 5.5.4 Negotiation for future background data transfer procedure



**Figure 5.5.4-1: Negotiation for future background data transfer procedure**

1. The AF invokes the Nnef\_BDTPNegotiation\_Create service operation by sending an HTTP POST request to the resource "BDT Subscription" to get background data transfer policies. The AF request shall contain an ASP identifier, the volume of data to be transferred per UE, the expected amount of UEs, the desired time window and optionally, network area information either as a geographical area (e.g. a civic address or shapes), or an area of interest that includes a list of TAs and/or list of NG-RAN nodes and/or a list of cell identifiers. When the AF provides a geographical area, then the NEF maps it based on local configuration into a short list of TAs and/or NG-RAN nodes and/or cells identifiers that is provided to the (H-)PCF.

If the " BdtNotification\_5G" feature defined in 3GPP TS 29. 122 [34] is supported, the AF request may contain a notification URI to request the BDT warning notification.

NOTE 1: A 3rd party application server is typically not able to provide any specific network area information and if so, the AF request is for a whole operator network.

2. Upon receipt of a Background Data Transfer request from the AF indicating a transfer policy request, the NEF invokes the Npcf\_BDTPolicyControl\_Create service operation with the (H-)PCF by sending an HTTP POST request to the resource "BDT policies". The request operation includes the ASP identifier, the volume of data to be transferred per UE, the expected number of UEs, the desired time window, and optionally the network area information (list of TAIs and/or NG-RAN nodes and/or cells identifiers).

If the AF requests the BDT warning notification in step 1, and if the " BdtNotification\_5G" feature defined in 3GPP TS 29.544 [26] is supported, the NEF provides a notification URI to request the BDT warning notification correspondingly.

NOTE 2: The NEF may contact any PCF in the operator network.

3-4. The (H-) PCF may invoke the Nudr\_DataRepository\_Query service operation by sending an HTTP GET request to the resource "BdtData", to request from the UDR all stored transfer policies. The UDR sends an HTTP "200 OK" response to the (H-) PCF.

NOTE 3: In case only one PCF is deployed in the network, transfer policies can be locally stored in the PCF and the interaction with the UDR is not required.

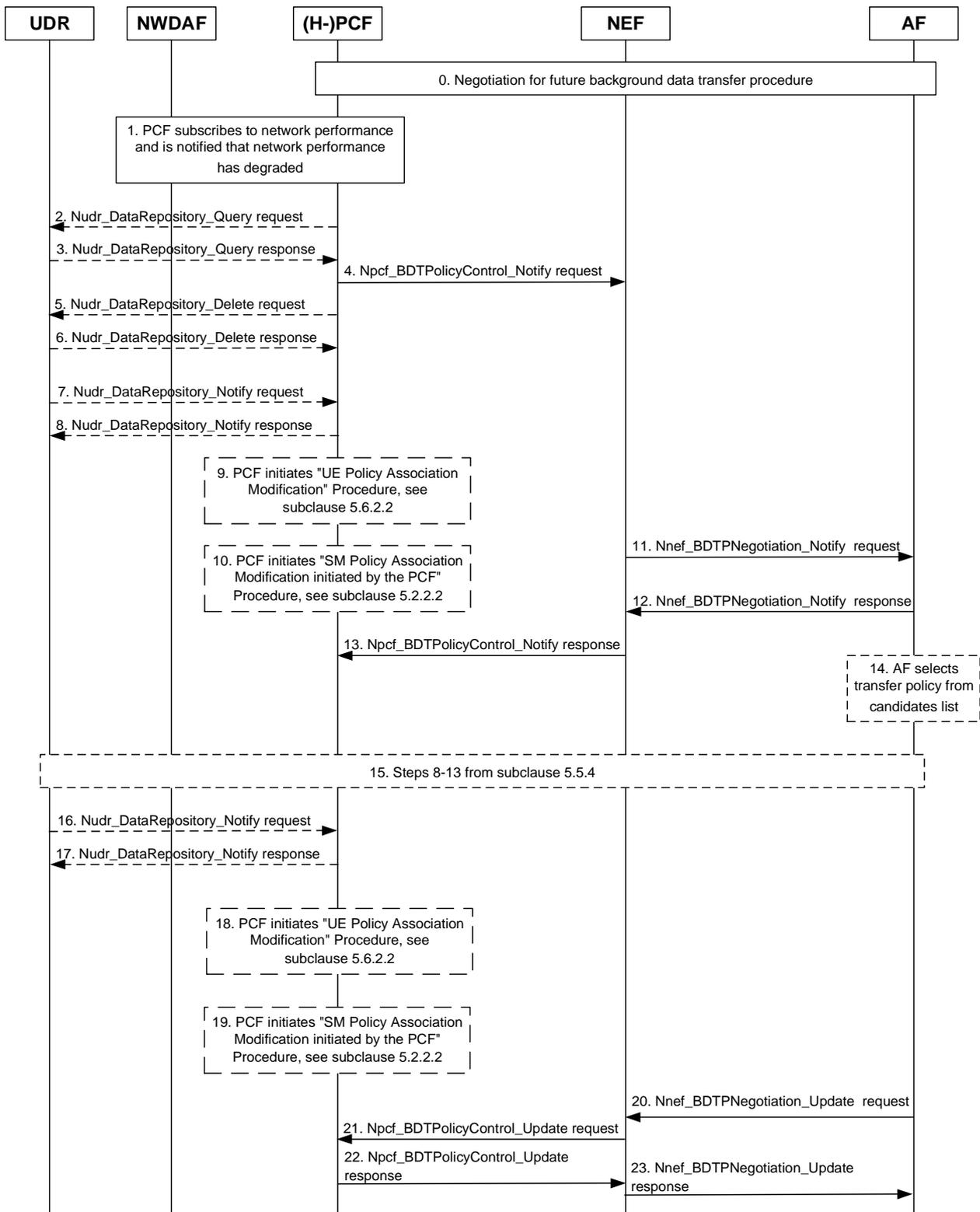
5. The (H-) PCF determines one or more transfer policies based on the information received from the NEF and other available information (e.g. network policy, existing transfer policies, network area information, network performance information from the NWDAF and load status estimation for the desired time window).
6. The (H-) PCF sends a "201 Created" response to the Npcf\_BDTPolicyControl\_Create service operation with the acceptable one or more transfer policies and a Background Data Transfer Reference ID.
7. The NEF sends a "201 Created" response to forward the received transfer policies to the AF. If the NEF received only one background transfer policy from the (H) PCF, steps 8-11 are not executed and the flow proceeds to step 12. Otherwise, the flow proceeds to step 8.
8. The AF invokes the Nnef\_BDTPNegotiation\_Update service operation by sending an HTTP PATCH request to the resource "Individual BDT Subscription" to provide the NEF with the selected background data transfer policy.
9. The NEF invokes the Npcf\_BDTPolicyControl\_Update service operation by sending an HTTP PATCH request to the resource "Individual BDT policy" to provide the (H-)-PCF with the selected background data transfer policy.
10. The (H-) PCF sends an HTTP PATCH response message to the NEF.
11. The NEF sends an HTTP PATCH response message to the AF.
- 12-13. If the (H-)PCF does not locally store the transfer policy, it invokes the Nudr\_DataRepository\_Update service operation by sending an HTTP PUT request to the resource "IndividualBdtData", to store for the provided ASP identifier the new transfer policy together with the associated background data transfer reference ID, the volume of data per UE, the expected number of UEs and if available the corresponding network area information in the UDR. The UDR sends an HTTP "201 Created" response to the (H-)PCF.

NOTE 4: For details of Nnef\_BDTPNegotiation\_Create/Update service operations refer to 3GPP TS 29.522 [24].

NOTE 5: For details of Npcf\_BDTPolicyControl\_Create/Update service operations refer to 3GPP TS 29.554 [26].

NOTE 6: For details of Nudr\_DataRepository\_Query/Update service operations refer to 3GPP TS 29.519 [12] and 3GPP TS 29.504 [27].

### 5.5.5 BDT warning notification procedure



**Figure 5.5.5-1: BDT warning notification procedure**

- 0. The AF subscribes to BDT warning notification from the (H-)PCF via NEF during Negotiation for future background data transfer procedure (see subclause 5.5.4).
- 1. The (H-)PCF subscribes to network performance from the NWDAF, and is notified when the network performance in the area of interest goes below the criteria from the NWDAF (see subclause 5.4.2).

2-3. The (H-)PCF may invoke the Nudr\_DataRepository\_Query service operation by sending an HTTP GET request to the "BdtData" collection resource, to request from the UDR all stored transfer policies. The UDR sends an HTTP "200 OK" response to the (H-)PCF.

NOTE 1: If only one PCF is deployed in the network, transfer policies might be locally stored in the PCF and the interaction with the UDR is not required.

4. If the (H-)PCF determines that the background data traffic is impacted, the (H-)PCF decides, based on operator policies, for each of the affected transfer policies whether a list of candidate transfer policies has to be calculated. The (H-)PCF invokes the Npcf\_BDTPolicyControl\_Notify service operation by sending the HTTP POST request with the BDT warning notification to the Notification URI "{notifUri}".

The BDT warning notification includes the BDT Reference ID of the impacted transfer policy and optionally the time window when the network performance will go below the criteria set by the operator, the network area where the network performance will go below the criteria set by the operator and the list of candidate transfer policies.

5-6. If the (H-)PCF does not locally store the transfer policy, the (H-)PCF for each of the affected transfer policies shall invoke the Nudr\_DataRepository\_Delete service operation to remove the affected transfer policy from the UDR by sending the HTTP DELETE request to the "IndividualBdtData" resource. The UDR sends an HTTP "204 No Content" response to the (H-)PCF.

7-8. If the PCF subscribed to notification of "IndividualBDTdata" resource data changes in the UDR, the UDR invokes the Nudr\_DataRepository\_Notify service operation to the PCF by sending the HTTP POST request to the resource URI "{notificationUri}" as specified in 3GPP TS 29.519 [12].

NOTE 2: The PCF might be a different one than the PCF handling the BDT negotiation procedures, although in the figure it is represented as the same one for the simplification.

9. The (H-)PCF identifies if the URSP rules to UE need to be updated using the procedure "UE Policy Association Modification" defined in subclause 5.6.2.2.2.

10. The PCF identifies if the PCC rules and/or session rules delivered to the SMF need to be updated using the procedure "SM Policy Association Modification initiated by the PCF" defined in subclause 5.2.2.2.

11. To send the BDT warning notification from the (H-)PCF, the NEF invokes the Nnef\_BDTPNegotiation\_Notify service operation by sending the HTTP POST request with the BDT warning notification to the Notification URI "{notificationDestination}".

12. The AF sends an HTTP POST response to the NEF.

13. The NEF sends an HTTP POST response to the (H-)PCF.

14. When the AF receives the BDT warning notification, the AF may select one of the background transfer policies included in the candidate list.

15. If the AF selected one of the background transfer policies included in the candidate list, steps 8 - 13 from subclause 5.5.4 are executed.

16-17. If the PCF subscribed to notification of "IndividualBDTdata" resource data changes in the UDR, the UDR invokes the Nudr\_DataRepository\_Notify service operation to the PCF by sending the HTTP POST request to the resource URI "{notificationUri}" as specified in 3GPP TS 29.519 [12].

NOTE 3: The PCF might be a different one than the PCF handling the BDT negotiation procedures, although in the figure it is represented as the same one for the simplification.

18. The (H-)PCF identifies if the URSP rules to UE need to be updated using the procedure "UE Policy Association Modification" defined in subclause 5.6.2.2.2.

19. The PCF identifies if the PCC rules and/or session rules delivered to the SMF need to be updated using the procedure "SM Policy Association Modification initiated by the PCF" defined in subclause 5.2.2.2.

20. If the AF decides to remove subscription to the BDT warning notification, the AF invokes the Nnef\_BDTPNegotiation\_Update service operation by sending an HTTP PATCH request to the resource "Individual BDT Subscription".

21. The NEF invokes the Npcf\_BDTPolicyControl\_Update service operation by sending an HTTP PATCH request to the resource "Individual BDT policy" to remove subscription to the BDT warning notification from the (H-)PCF.

22. The (H-) PCF sends an HTTP PATCH response message to the NEF.

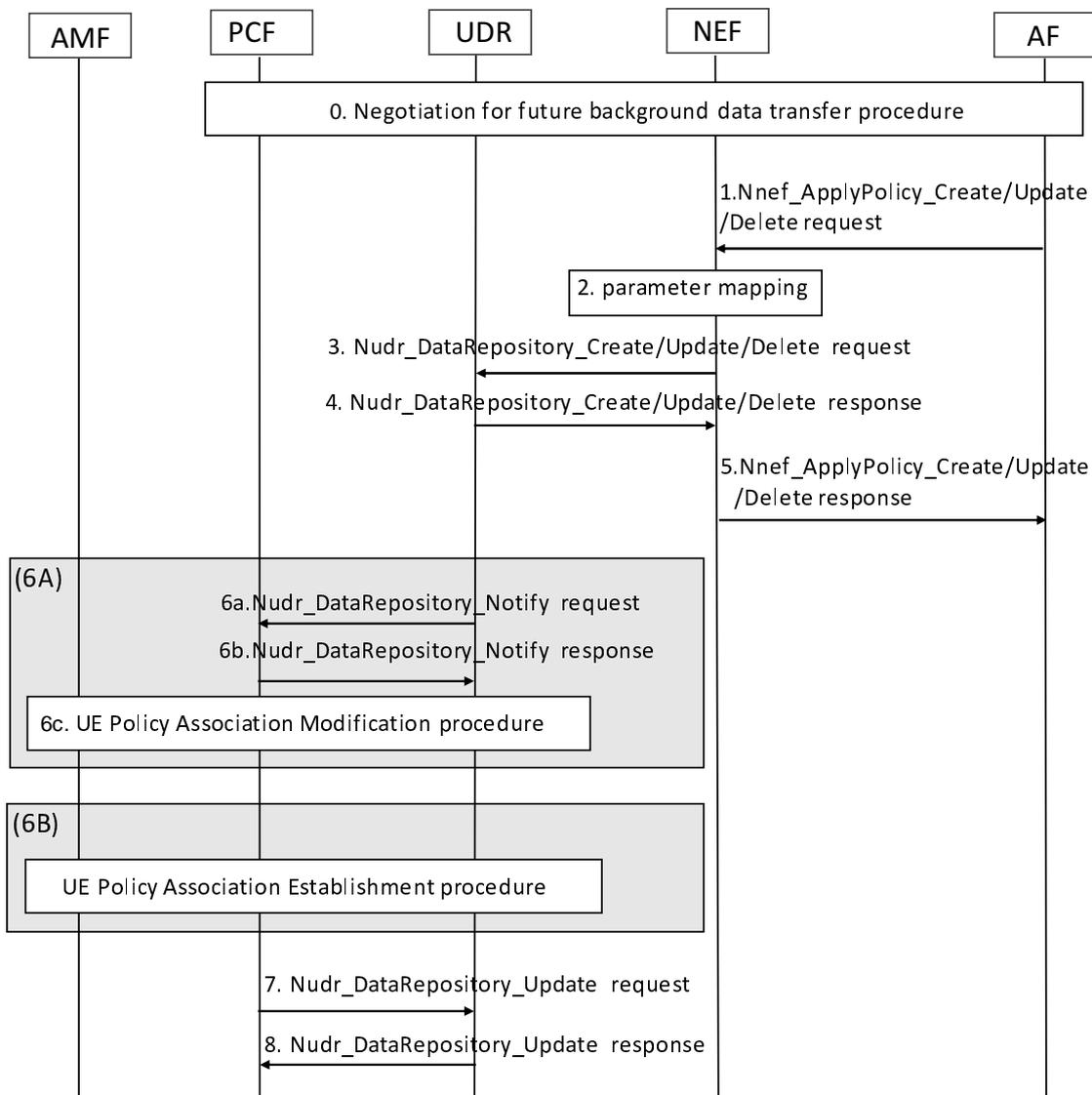
23. The NEF sends an HTTP PATCH response message to the AF.

NOTE 4: For details of Nnef\_BDTPNegotiation\_Notify service operation refer to 3GPP TS 29.522 [24].

NOTE 5: For details of Npcf\_BDTPolicyControl\_Notify service operation refer to 3GPP TS 29.554 [26].

NOTE 6: For details of Nudr\_DataRepository\_Query/Update/Notify/Delete service operations refer to 3GPP TS 29.519 [12] and 3GPP TS 29.504 [27].

### 5.5.6 Background data transfer policy applying procedure



**Figure 5.5.6-1: Background data transfer policy applying procedure**

0. The AF negotiates policy for background data transfer during Negotiation for future background data transfer procedure (see subclause 5.5.4).

1. To apply the negotiated Background Data Transfer Policy to UE or a group of UE, the AF invokes the Nnef\_ApplyPolicy\_Create service operation to the NEF by sending the HTTP POST request to the "Applying BDT Policy Subscription" resource .

To update the applied policy, the AF invokes the Nnef\_ApplyPolicy\_Update service operation by sending the HTTP PATCH request to the "Individual Applying BDT Policy Subscription" resource.

To remove the applied policy, the AF invokes the Nnef\_ApplyPolicy\_Delete service operation by sending the HTTP DELETE request to the "Individual Applying BDT Policy Subscription" resource.

NOTE 1: For details of Nnef\_ApplyPolicy\_Create/Update/Delete service operations refer to 3GPP TS 29.522 [24].

2. Upon receipt of the AF request, the NEF authorizes it and then performs the mapping from the information provided by the AF into information needed by the 5GC as described in 3GPP TS 23.502 [3].

- 3-4. When receiving the Nnef\_ApplyPolicy\_Create request, the NEF invokes the Nudr\_DataRepository\_Create service operation to store the AF request information in the UDR by sending the HTTP PUT request to the "Individual Applied BDT Policy Data" resource, and the UDR sends a "201 Created" response.

When receiving the Nnef\_ApplyPolicy\_Update request, the NEF invokes the Nudr\_DataRepository\_Update service operation to modify the AF request information in the UDR by sending the HTTP PATCH request to the resource "Individual Applied BDT Policy Data", and the UDR sends a "200 OK" or "204 No Content" response.

When receiving the Nnef\_ApplyPolicy\_Delete request, the NEF invokes the Nudr\_DataRepository\_Delete service operation to delete the AF requirements from the UDR by sending the HTTP DELETE request to the "Individual Applied BDT Policy Data" resource, and the UDR sends a "204 No Content" response.

5. The NEF sends the HTTP response message to the AF correspondingly.

- 6A. The PCF previously subscribed to the changes of Applied BDT Policy Data during UE Policy Association Establishment procedure (see subclause 5.6.1.2).

6a. The UDR invokes the Nudr\_DataRepository\_Notify service operation to PCF(s) that have subscribed to the changes of Applied BDT Policy Data by sending the HTTP POST request to the resource URI "{notificationUri}" .

6b. The PCF sends a "204 No Content" response to the UDR.

6c. The PCF initiates UE Policy Association Modification procedure (see subclause 5.6.2.2.2) to send the background data transfer policy to the UE.

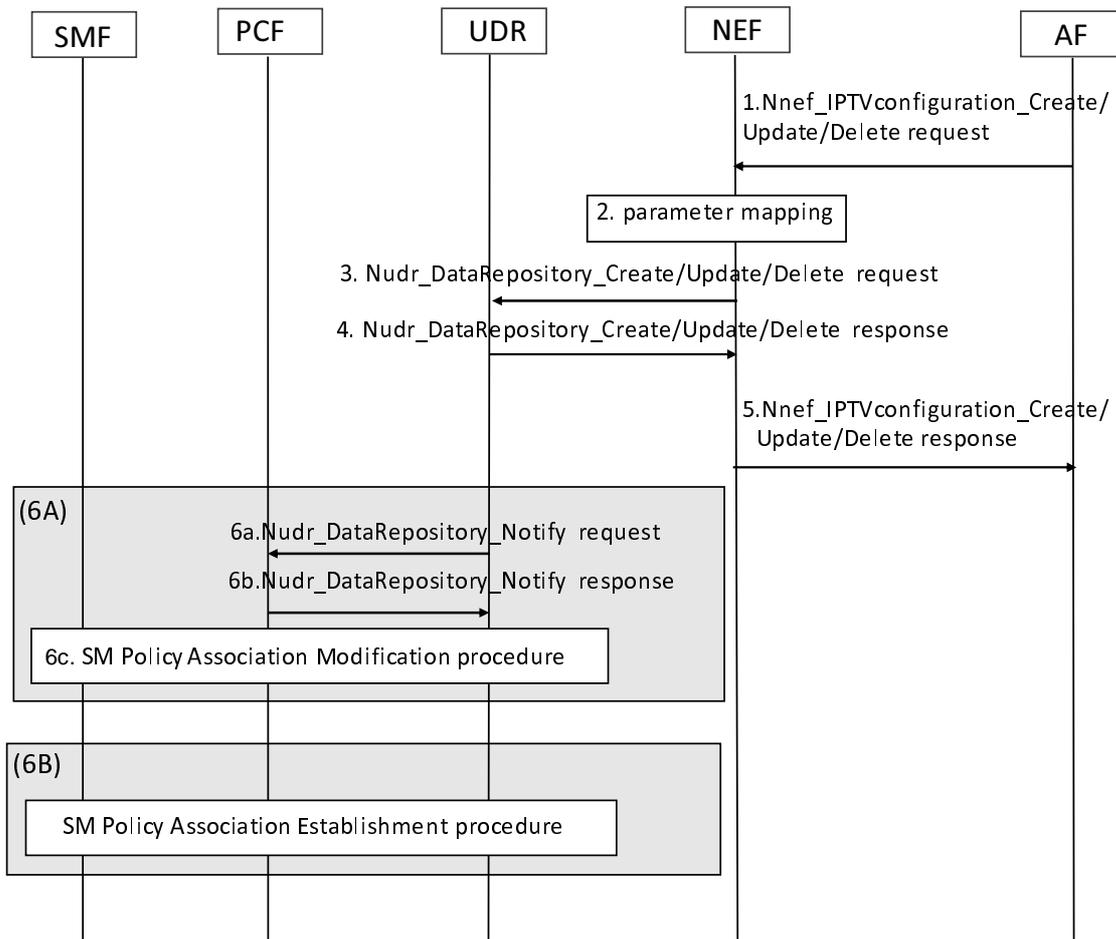
- 6B. The PCF retrieves the Applied BDT Policy Data in the UDR by invoking the Nudr\_DataRepository\_Query service operation and sends the background data transfer policy to the UE during UE Policy Association Establishment procedure (see subclause 5.6.1.2).

7. The PCF invokes the Nudr\_DataRepository\_Update service operation to the UDR by sending the HTTP PATCH request to the "SessionManagementPolicyData" resource, to store the BDT reference ID(s) into the PDU session related policy data.

8. The UDR sends a "204 No Content" or "200 OK" response to the PCF.

NOTE 2: For details of the Nudr\_DataRepository\_Create/Update/Delete/Notify service operations refer to 3GPP TS 29.504 [27] and 3GPP TS 29.519 [12].

### 5.5.7 IPTV configuration provisioning



**Figure 5.5.7-1: IPTV configuration provisioning procedure**

1. To configure IPTV information to UE or a group of UE, the AF invokes the Nnef\_IPTVconfiguration\_Create service operation to the NEF by sending an HTTP POST request to the "IPTV Configurations" resource .

To update an existing IPTV configuration, the AF invokes the Nnef\_IPTVconfiguration\_Update service operation by sending an HTTP PUT or PATCH request to the "Individual IPTV Configuration" resource.

To remove an existing IPTV configuration, the AF invokes the Nnef\_IPTVconfiguration\_Delete service operation by sending an HTTP DELETE request to the "Individual IPTV Configuration" resource.

NOTE 1: For details of Nnef\_IPTVconfiguration\_Create/Update/Delete service operations refer to 3GPP TS 29.522 [24].

2. Upon receipt of the AF request, the NEF authorizes it and then performs the mapping from the information provided by the AF into information needed by the 5GC as described in 3GPP TS 23.502 [3].

3-4. When receiving the Nnef\_IPTVconfiguration\_Create request, the NEF invokes the Nudr\_DataRepository\_Create service operation to store the IPTV configuration in the UDR by sending the HTTP PUT request to the "Individual IPTV Configuration" resource, and the UDR sends a "201 Created" response.

When receiving the Nnef\_IPTVconfiguration\_Update request, the NEF invokes the Nudr\_DataRepository\_Update service operation to modify the IPTV configuration in the UDR by sending the HTTP PUT/PATCH request to the resource "Individual IPTV Configuration", and the UDR sends a "200 OK" or "204 No Content" response.

When receiving the Nnef\_IPTVconfiguration\_Delete request, the NEF invokes the Nudr\_DataRepository\_Delete service operation to delete the IPTV configuration from the UDR by sending the HTTP DELETE request to the "Individual IPTV Configuration" resource, and the UDR sends a "204 No Content" response.

5. The NEF sends the HTTP response message to the AF correspondingly.
- 6A. The PCF previously subscribed to the changes of IPTV configuration during SM Policy Association Establishment procedure (see subclause 5.2.1).
  - 6a. The UDR invokes the Nudr\_DataRepository\_Notify service operation to PCF(s) that have subscribed to the changes of IPTV configuration by sending the HTTP POST request to the resource URI "{notificationUri}".
  - 6b. The PCF sends a "204 No Content" response to the UDR.
  - 6c. The PCF determines PCC rules based on the received IPTV configuration and initiates SM Policy Association Modification procedure (see subclause 5.2.2.1).
- 6B. The PCF retrieves the IPTV configuration in the UDR by invoking the Nudr\_DataRepository\_Query service operation, determines PCC rules based on the retrieved IPTV configuration and send the PCC rules to the SMF during SM Policy Association Establishment procedure (see subclause 5.2.1).

NOTE 2: For details of the Nudr\_DataRepository\_Create/Update/Delete/Notify service operations refer to 3GPP TS 29.504 [27] and 3GPP TS 29.519 [12].

### 5.5.8 AF-based service parameter provisioning for V2X communications

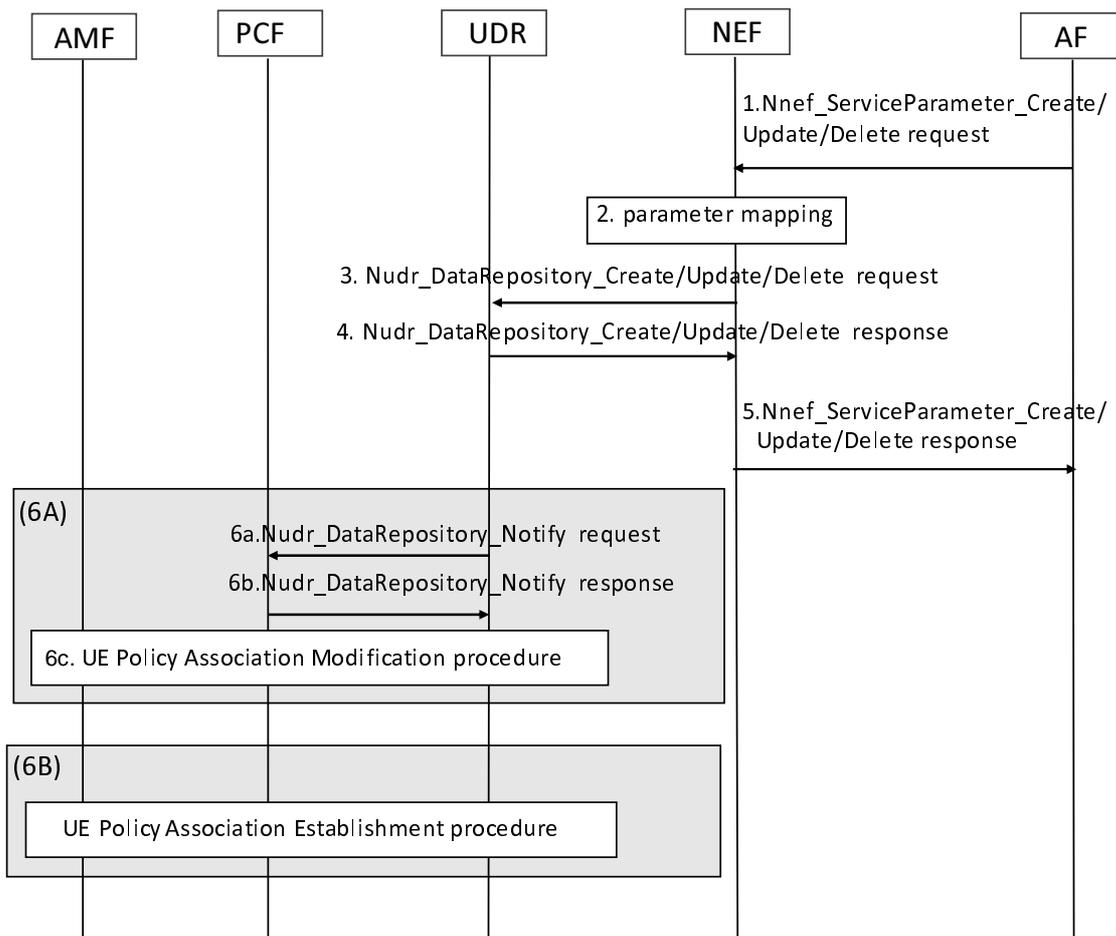


Figure 5.5.8-1: AF-based service parameter provisioning procedure

1. To provide the V2X service parameter to UE or a group of UE, the AF invokes the Nnef\_ServiceParameter\_Create service operation to the NEF by sending an HTTP POST request to the "Service Parameter Subscriptions" resource .

To update an existing V2X service parameter, the AF invokes the Nnef\_ServiceParameter\_Update service operation by sending an HTTP PUT or PATCH request to the "Individual Service Parameter Subscription" resource.

To remove an existing V2X service parameter, the AF invokes the Nnef\_ServiceParameter\_Delete service operation by sending an HTTP DELETE request to the "Individual Service Parameter Subscription" resource.

NOTE 1: For details of Nnef\_ServiceParameter\_Create/Update/Delete service operations refer to 3GPP TS 29.522 [24].

2. Upon receipt of the AF request, the NEF authorizes it and then performs the mapping from the information provided by the AF into information needed by the 5GC as described in 3GPP TS 23.502 [3].
- 3-4. When receiving the Nnef\_ServiceParameter\_Create request, the NEF invokes the Nudr\_DataRepository\_Create service operation to store the service parameter in the UDR by sending the HTTP PUT request to the "Individual Service Parameter Data" resource, and the UDR sends a "201 Created" response.  
  
When receiving the Nnef\_ServiceParameter\_Update request, the NEF invokes the Nudr\_DataRepository\_Update service operation to modify the service parameter in the UDR by sending the HTTP PUT/PATCH request to the resource "Individual Service Parameter Data", and the UDR sends a "200 OK" or "204 No Content" response.  
  
When receiving the Nnef\_ServiceParameter\_Delete request, the NEF invokes the Nudr\_DataRepository\_Delete service operation to delete the service parameter from the UDR by sending the HTTP DELETE request to the "Individual Service Parameter Data" resource, and the UDR sends a "204 No Content" response.
5. The NEF sends the HTTP response message to the AF correspondingly.
- 6A. The PCF previously subscribed to the changes of service parameter during UE Policy Association Establishment procedure (see subclause 5.6.1).
  - 6a. The UDR invokes the Nudr\_DataRepository\_Notify service operation to PCF(s) that have subscribed to the changes of service parameter by sending the HTTP POST request to the resource URI "{notificationUri}" .
  - 6b. The PCF sends a "204 No Content" response to the UDR.
  - 6c. The PCF determines V2X policy based on the received service parameter and initiates UE Policy Association Modification procedure (see subclause 5.6.2.2) to deliver the V2X policy to the UE.
- 6B. The PCF retrieves the service parameter in the UDR by invoking the Nudr\_DataRepository\_Query service operation, determines V2X policy based on the retrieved service parameter and delivers the V2X policy to the UE during UE Policy Association Establishment procedure (see subclause 5.6.1).

NOTE 2: For details of the Nudr\_DataRepository\_Create/Update/Delete/Notify service operations refer to 3GPP TS 29.504 [27] and 3GPP TS 29.519 [12].

## 5.6 UE Policy Association Management

### 5.6.1 UE Policy Association Establishment

#### 5.6.1.1 General

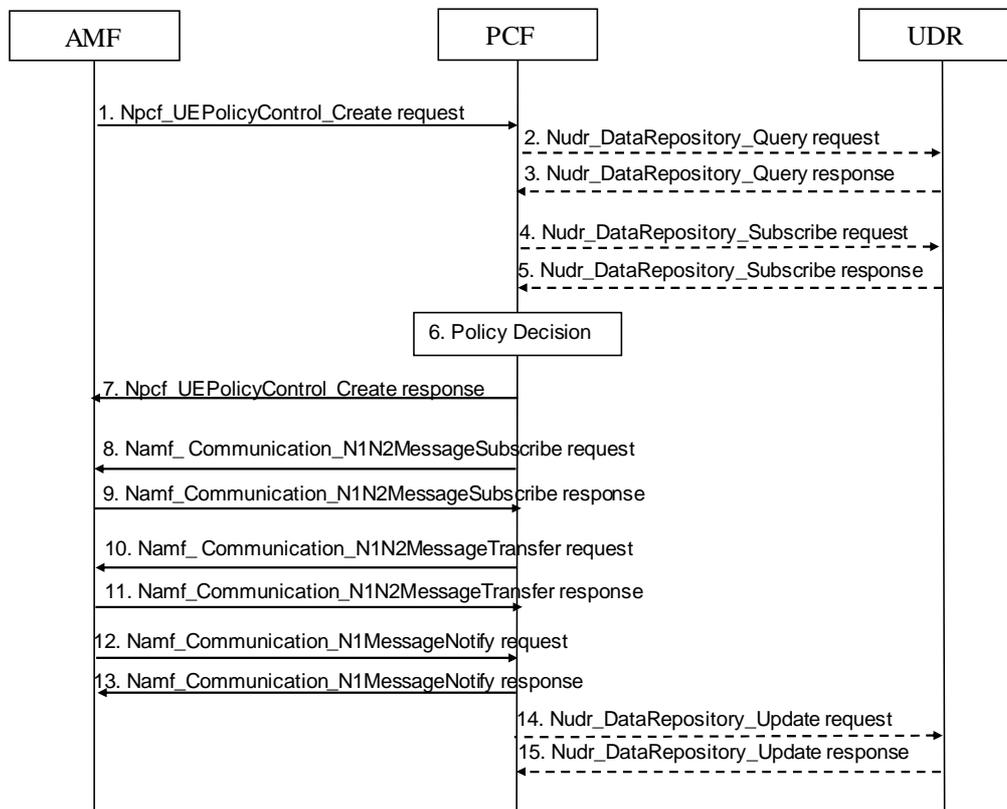
The procedures in this subclause are performed when the UE initially registers with the network, when the UE registers with 5GS during the UE moving from EPS to 5GS and if there is no existing UE Policy Association or when the new AMF establishes the UE Policy Association with the new PCF during AMF relocation.

NOTE 1: For details of the Nudr\_DataRepository\_Query/Update/Subscribe service operations refer to 3GPP TS 29.519 [12].

NOTE 2: For details of the Npcf\_UEPolicyControl\_Create/Update service operations refer to 3GPP TS 29.525 [31].

NOTE 3: For details of the Namf\_Communication\_N1N2MessageTransfer/N1N2MessageSubscribe/N1MessageNotify service operations refer to 3GPP TS 29.518 [32].

### 5.6.1.2 Non-roaming



**Figure 5.6.1.2-1: UE Policy Association Establishment procedure - Non-roaming**

1. The AMF receives the registration request from the AN. Based on local policy, the AMF selects to contact the PCF to create the UE policy association with the PCF and to retrieve the UE policy. The AMF invokes the Npcf\_UEPolicyControl\_Create service operation by sending an HTTP POST request to the "UE Policy Associations" resource. The request includes the parameters as defined in subclause 4.2.2.1 of 3GPP TS 29.525 [31].
- 2-3. If the PCF does not have the subscription data or the latest list of UPSIs for the UE, it invokes the Nudr\_DataRepository\_Query service operation to the UDR by sending an HTTP GET request to the "UEPolicySet" resource. The UDR sends an HTTP "200 OK" response to the PCF with the latest UPSIs and its content, and/or the subscription data.

Additionally, if the "EnhancedBackgroundDataTransfer" feature defined in 3GPP TS 29.504 [27] is supported, the PCF invokes the Nudr\_DataRepository\_Query service operation to the UDR by sending the HTTP GET request to the "Applied BDT Policy Data" resource to retrieve the applied BDT Policy Data. The UDR sends an HTTP "200 OK" response with the stored applied BDT Policy Data. And then, if the corresponding transfer policy is not locally stored in the PCF, the PCF invokes the Nudr\_DataRepository\_Query service operation by sending the HTTP GET request to the "IndividualBdtData" resource or the "BdtData" collection resource with the URI query parameter "bdt-ref-ids" as specified in 3GPP TS 29.519 [12], to retrieve the related Background Data Transfer policy information (i.e. Time window and Location criteria) stored in the UDR. The UDR sends an HTTP "200 OK" response to the PCF.

Additionally, the PCF invokes the Nudr\_DataRepository\_Query service operation to the UDR by sending the HTTP GET request to the "Service Parameter Data" resource to retrieve the service parameter data. The UDR sends an HTTP "200 OK" response with the stored service parameter data.

4-5. The PCF may request notifications from the UDR on changes in the subscription information, and in this case, the PCF shall invoke the `Nudr_DataRepository_Subscribe` service operation by sending an HTTP POST request to the "PolicyDataSubscriptions" resource. The UDR sends an HTTP "201 Created" response to acknowledge the subscription.

Additionally, if the "EnhancedBackgroundDataTransfer" feature defined in 3GPP TS 29.29.504 [27] is supported, to request notifications from the UDR on changes in the applied BDT Policy Data, the PCF invokes the `Nudr_DataRepository_Subscribe` service operation by sending an HTTP POST request to the "ApplicationDataSubscriptions" resource. The UDR sends an HTTP "201 Created" response to acknowledge the subscription.

Additionally, the PCF requests notifications from the UDR on changes in the service parameter data, the PCF invokes the `Nudr_DataRepository_Subscribe` service operation by sending an HTTP POST request to the "ApplicationDataSubscriptions" resource. The UDR sends an HTTP "201 Created" response to acknowledge the subscription.

6. The PCF determines whether and which UE policy has to be provisioned or updated as defined in subclause 4.2.2.2.1 of 3GPP TS 29.525 [31], and can determine applicable Policy Control Request Trigger(s). If the "V2X" feature is supported, the PCF determines whether the N2 PC5 policy has to be provisioned as defined in subclause 4.2.2.3 of 3GPP TS 29.525 [31].

In addition, the PCF checks if the size of determined UE policy exceeds a predefined limit.

NOTE: NAS messages from AMF to UE do not exceed the maximum size limit allowed in NG-RAN (PDCP layer), so the predefined size limit in PCF is related to that limitation.

- If the size is under the limit then the UE policy information is included in a single `Namf_Communication_N1N2MessageTransfer` service operation and messages 10 to 13 are thus executed one time.
- If the size exceeds the predefined limit, the PCF splits the UE policy information in smaller logical independent UE policy information fragments and ensures the size of each is under the predefined limit. Each UE policy information fragment will be then sent in separated `Namf_Communication_N1N2MessageTransfer` service operations and messages 10 to 13 are thus executed several times, one time for each UE policy information fragment.

7. The PCF sends an HTTP "201 Created" response to the AMF with the Policy Control Request Trigger(s) if applicable.

8. To subscribe to notifications of N1 message for UE Policy Delivery Result, the PCF invokes `Namf_Communication_N1N2MessageSubscribe` service operation to the AMF by sending the HTTP POST method with the URI of the "N1N2 Subscriptions Collection for Individual UE Contexts" resource.

9. The AMF sends an HTTP "201 Created" response to the PCF.

10. If the PCF determines to provision or update the UE policy in step 6, the PCF sends the UE policy to the UE via the AMF by invoking the `Namf_Communication_N1N2MessageTransfer` service operation. If the "V2X" feature is supported and the PCF determines to provision N2 PC5 policy in step 6, the PCF sends the N2 PC5 policy to the UE via the AMF by invoking the `Namf_Communication_N1N2MessageTransfer` service operation. The PCF can provision the UE policy and N2 PC5 policy in the same message.

11. The AMF sends a response to the `Namf_Communication_N1N2MessageTransfer` service operation.

12. When receiving the UE Policy container, the AMF forwards the response of the UE to the PCF using `Namf_Communication_N1MessageNotify` service operation.

13. The PCF sends a response to the `Namf_Communication_N1MessageNotify` service operation.

14-15. The PCF maintains the latest list of UE policy sections delivered to the UE (in step 8) and updates the UE policy information for the subscriber including the latest list of UPSIs and its content in the UDR by invoking the `Nudr_DataRepository_Update` service operation.

- If there is no UE policy information retrieved in step 3, the PCF sends an HTTP PUT request to the "UEPolicySet" resource, and the UDR sends an HTTP "201 Created" response.

- Otherwise, the PCF sends an HTTP PUT/PATCH request to the "UEPolicySet" resource, and the UDR sends an HTTP "200 OK" or "204 No Content" response accordingly.

### 5.6.1.3 Roaming

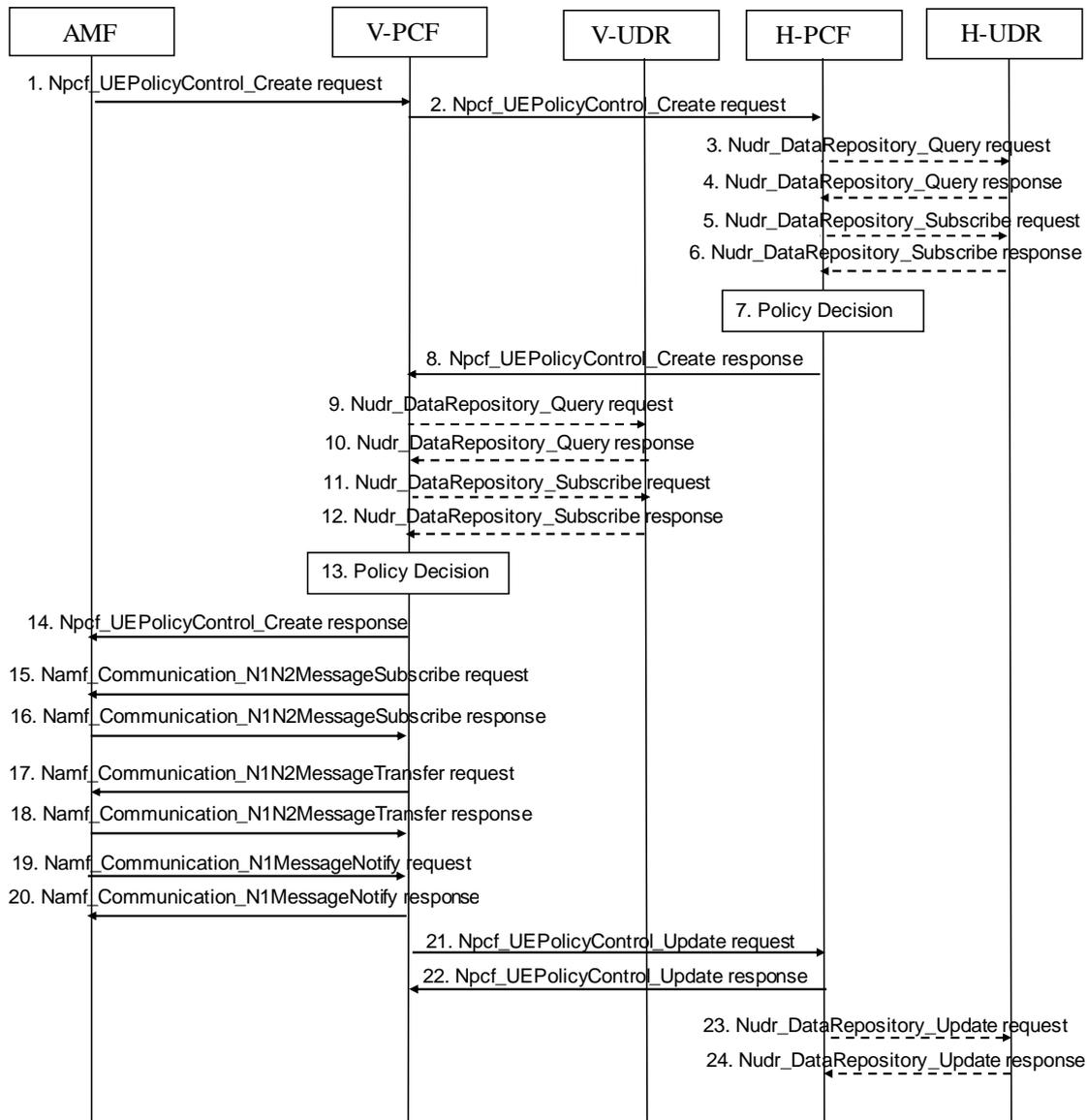


Figure 5.6.1.3-1: UE Policy Association Establishment procedure - Roaming

1. The AMF receives the registration request from the AN. Based on local policy, the AMF decides to establish UE Policy Association with the V-PCF. The AMF invokes the Npcf\_UEPolicyControl\_Create service operation by sending an HTTP POST request to the "UE Policy Associations" resource. The request includes the parameters as defined in subclause 4.2.2.1 of 3GPP TS 29.525 [31].
2. The V-PCF invokes the Npcf\_UEPolicyControl\_Create service operation by sending an HTTP POST request to the "UE Policy Associations" resource to forward the information received from AMF to the H-PCF. The request includes the parameters received in step 1. The V-PCF also provides the H-PCF the Notification URI where to send a notification when the policy is updated.
- 3-6. These steps are the same as steps 2-5 in subclause 5.6.1.2, except the description of "EnhancedBackgroundDataTransfer" feature is not applicable.
7. The H-PCF determines whether and which UE policy has to be provisioned or updated as defined in subclause 4.2.2.2.1 of 3GPP TS 29.525 [31], and may determine applicable Policy Control Request Trigger(s). If

the "V2X" feature is supported, the H-PCF determines whether the N2 PC5 policy has to be provisioned as defined in subclause 4.2.2.3 of 3GPP TS 29.525 [31].

In addition, the H-PCF checks if the size of determined UE policy exceeds a predefined limit.

NOTE 1: NAS messages from AMF to UE do not exceed the maximum size limit allowed in NG-RAN (PDCP layer), so the predefined size limit in H-PCF is related to that limitation.

If the size is under the limit then the UE policy information is included in Npcf\_UEPolicyControl\_Create response service operation.

- If the size exceeds the predefined limit, the H-PCF splits the UE policy information in smaller logical independent UE policy information fragments and ensures the size of each is under the predefined limit. One fragment will be sent in Npcf\_UEPolicyControl\_Create response service operation, and others will be sent by initiating the PCF-initiated UE Policy Association Modification procedure specified in subclause 5.6.2.2.3.
8. The H-PCF sends an HTTP "201 Created" response to the V-PCF with the decided UE policy, Policy Control Request Trigger(s) and N2 PC5 policy if available.
  9. The V-PCF invokes Nudr\_DataRepository\_Query service operation to the UDR by sending an HTTP GET request to the "PlmnUePolicySet" resource to retrieve the list of UPSIs and its content stored in the V-UDR for the PLMN ID of this UE. Alternatively, the V-PCF can have this information configured locally.
  10. The V-UDR sends an HTTP "200 OK" response to the V-PCF with the UE policy information.
  11. The V-PCF may request notifications from the V-UDR on changes in UE policy information, and in this case, the PCF shall invoke the Nudr\_DataRepository\_Subscribe service operation by sending an HTTP POST request to the "PolicyDataSubscriptions" resource.
  12. The V-UDR sends an HTTP "201 Created" response to acknowledge the subscription from the V-PCF.
  13. The V-PCF determines whether and which UE policy has to be provisioned or updated as defined in subclause 4.2.2.2.1 of 3GPP TS 29.525 [31], and may determine applicable Policy Control Request Trigger(s). If the "V2X" feature is supported, the V-PCF determines whether the N2 PC5 policy has to be provisioned as defined in subclause 4.2.2.3 of 3GPP TS 29.525 [31].

In addition, the V-PCF checks if the size of determined UE policy exceeds a predefined limit.

NOTE 2: NAS messages from AMF to UE do not exceed the maximum size limit allowed in NG-RAN (PDCP layer), so the predefined size limit in V-PCF is related to that limitation.

- If the size is under the limit then the UE policy information is included in a single Namf\_Communication\_N1N2MessageTransfer service operation and messages 17 to 22 are thus executed one time.
  - If the size exceeds the predefined limit, the V-PCF splits the UE policy information in smaller logical independent UE policy information fragments and ensures the size of each is under the predefined limit. Each UE policy information fragment will be then sent in separated Namf\_Communication\_N1N2MessageTransfer service operations and messages 17 to 22 are thus executed several times, one time for each UE policy information fragment.
14. The V-PCF sends an HTTP "201 Created" response to the AMF with the Policy Control Request Trigger(s) if available.
  15. To subscribe to notifications of N1 message for UE Policy Delivery Result, the V-PCF invokes Namf\_Communication\_N1N2MessageSubscribe service operation to the AMF by sending the HTTP POST method with the URI of the "N1N2 Subscriptions Collection for Individual UE Contexts" resource.
  16. The AMF sends an HTTP "201 Created" response to the V-PCF.
  17. The V-PCF invokes the Namf\_Communication\_N1N2MessageTransfer service operation to send the policy decided locally in step 13 and to forward the policy received from the H-PCF in step 8. If the "V2X" feature is supported the V-PCF invokes the Namf\_Communication\_N1N2MessageTransfer service operation to forward the policy received from the H-PCF in step 8.
  18. The AMF sends a response to the Namf\_Communication\_N1N2MessageTransfer service operation.

19. When receiving the UE Policy container for the result of the UE policy, the AMF forwards the response of the UE to the V-PCF using Namf\_Communication\_N1MessageNotify service operation.
20. The V-PCF sends a response to the Namf\_Communication\_N1MessageNotify service operation.
21. Upon receipt of the UE Policy container belonging to the H-PLMN in step 19, the V-PCF invokes the Npcf\_UEPolicyControl\_Update service operation by sending an HTTP POST request to the "Individual UE Policy Association" resource to forward the response of the UE to the H-PCF.
22. The H-PCF sends an HTTP "200 OK" response to the V-PCF.
- 23-24. The H-PCF maintains the latest list of UE policy information delivered to the UE and updates UE policy including the latest list of UPSIs and its content in the H-UDR by invoking the Nudr\_DataRepository\_Update service operation.
  - If there is no UE policy information retrieved in step 4, the H-PCF sends an HTTP PUT request to the "UEPolicySet" resource, and the UDR sends an HTTP "201 Created" response.
  - Otherwise, the H-PCF sends an HTTP PUT/PATCH request to the "UEPolicySet" resource, and the H-UDR sends an HTTP "200 OK" or "204 No Content" response accordingly.

## 5.6.2 UE Policy Association Modification

### 5.6.2.1 UE Policy Association Modification initiated by the AMF

#### 5.6.2.1.1 General

The procedures in this subclause are performed when a Policy Control Request Trigger condition is met or when the new AMF establishes the UE Policy Association with the old PCF during AMF relocation.

NOTE 1: For details of the Nudr\_DataRepository\_Update service operation refer to 3GPP TS 29.519 [12].

NOTE 2: For details of the Npcf\_UEPolicyControl\_Update/UpdateNotify service operations refer to 3GPP TS 29.525 [31].

NOTE 3: For details of the Namf\_Communication\_N1N2MessageTransfer/N1MessageNotify service operations refer to 3GPP TS 29.518 [32].

#### 5.6.2.1.2 Non-roaming

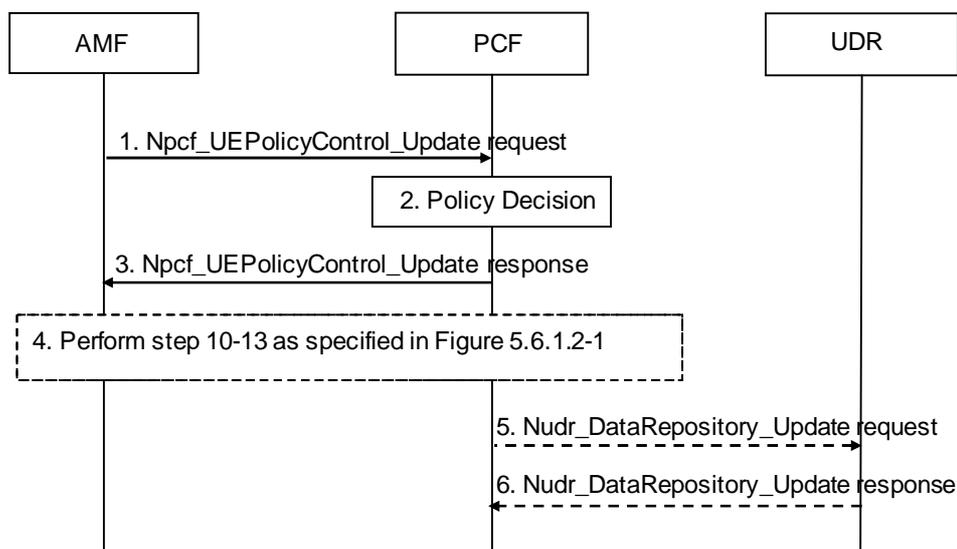
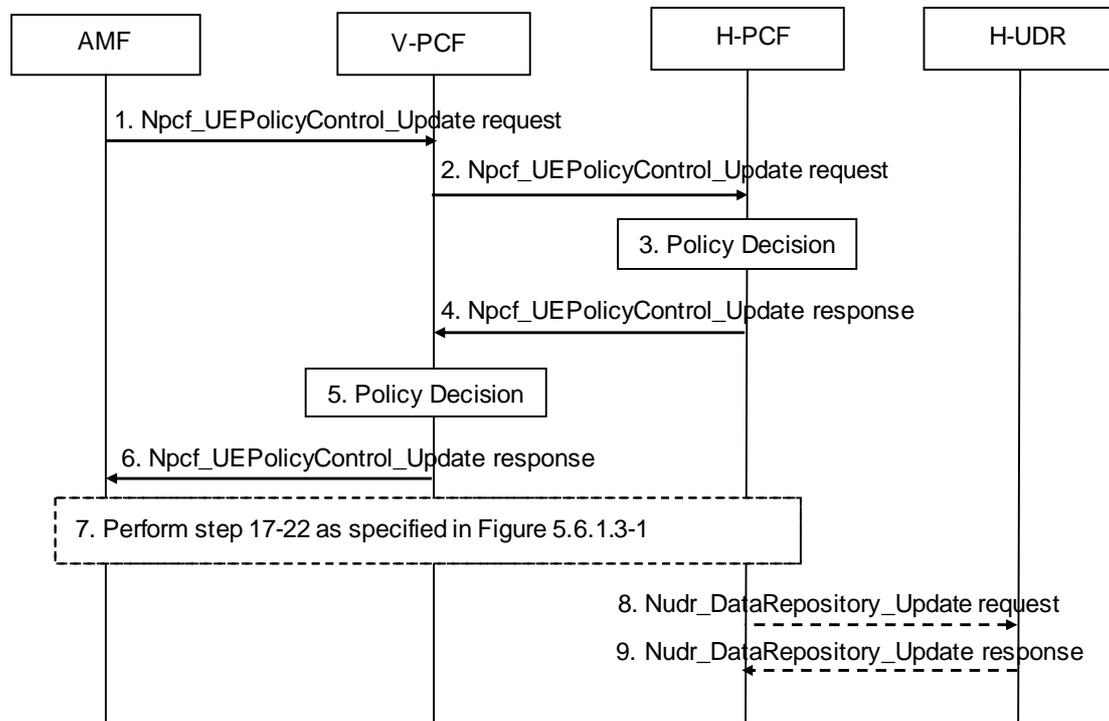


Figure 5.6.2.1.2-1: AMF-initiated UE Policy Association Modification procedure – Non-roaming

1. When the AMF detects a Policy Control Request Trigger condition is met or when the new AMF decides to establish the UE Policy Association with the old PCF during AMF relocation, it invokes the Npcf\_UEPolicyControl\_Update service operation to the PCF by sending an HTTP POST request to the "Individual UE Policy Association" resource with information on the conditions that have changed.
2. The PCF makes the policy decision including the applicable updated Policy Control Request Trigger(s) and/or updated UE Policy and/or updated N2 PC5 policy if the "V2X" feature is supported. The PCF checks if the size of determined UE policy exceeds a predefined limit the same as step 6 in subclause 5.6.1.2.
3. The PCF sends an HTTP "200 OK" response to the AMF with the applicable updated Policy Control Request Trigger(s).
4. If the PCF decided to update the UE policy and/or N2 PC5 policy in step 2, steps 10-13 as specified in Figure 5.6.1.2-1 are executed.
- 5-6. If the PCF decided to update the UE policy in step 2, the PCF maintains the latest list of UE policy information delivered to the UE and updates UE policy including the latest list of UPSIs and its content in the UDR by invoking the Nudr\_DataRepository\_Update service operation. The PCF sends an HTTP PUT/PATCH request to the "UEPolicySet" resource, and the UDR sends an HTTP "204 No Content" response.

### 5.6.2.1.3 Roaming



**Figure 5.6.2.1.3-1: AMF-initiated UE Policy Association Modification procedure - Roaming**

1. When the AMF detects a Policy Control Request Trigger condition is met or when the new AMF decides to establish the UE Policy Association with the old PCF during AMF relocation, it invokes the Npcf\_UEPolicyControl\_Update service operation to the V-PCF by sending an HTTP POST request to the "Individual UE Policy Association" resource with information on the conditions that have changed.
2. The V-PCF forwards the information received from AMF in step 1 to the H-PCF by sending an HTTP POST request to the "Individual UE Policy Association" resource if the H-PCF has subscribed the notification.
3. The H-PCF makes the policy decision including the applicable updated Policy Control Request Trigger(s) and/or updated UE Policy and/or updated N2 PC5 policy if the "V2X" feature is supported.

In addition, the H-PCF checks if the size of determined UE policy exceeds a predefined limit.

NOTE: NAS messages from AMF to UE do not exceed the maximum size limit allowed in NG-RAN (PDCP layer), so the predefined size limit in H-PCF is related to that limitation.

- If the size is under the limit then the UE policy information is included in Npcf\_UEPolicyControl\_Update response service operation.
  - If the size exceeds the predefined limit, the H-PCF splits the UE policy information in smaller logical independent UE policy information fragments and ensures the size of each is under the predefined limit. One fragment will be sent in Npcf\_UEPolicyControl\_Update response service operation, and others will be then sent by initiating the PCF-initiated UE Policy Association Modification procedure specified in subclause 5.6.2.2.3.
4. The H-PCF sends an HTTP "200 OK" response to the V-PCF with the updated policy information decided in step 3.
  5. The V-PCF makes the policy decision including the applicable updated Policy Control Request Trigger(s) and/or updated UE Policy. The V-PCF checks if the size of determined UE policy exceeds a predefined limit the same as step 13 in subclause 5.6.1.3.
  6. The V-PCF sends an HTTP "200 OK" response to the AMF with the applicable updated Policy Control Request Trigger(s).
  7. If the V-PCF decided to update the UE policy in step 5 or the V-PCF received the UE Policy and/or N2 PC5 policy in step 4, steps 17-22 as specified in Figure 5.6.1.3-1 are executed.
  - 8-9. If the H-PCF decided to update the UE policy in step 3, the H-PCF maintains the latest list of UE policy information delivered to the UE and updates UE policy including the latest list of UPSIs and its content in the H-UDR by invoking the Nudr\_DataRepository\_Update service operation. The PCF sends an HTTP PUT/PATCH request to the "UEPolicySet" resource, and the UDR sends an HTTP "204 No Content" response.

## 5.6.2.2 UE Policy Association Modification initiated by the PCF

### 5.6.2.2.1 General

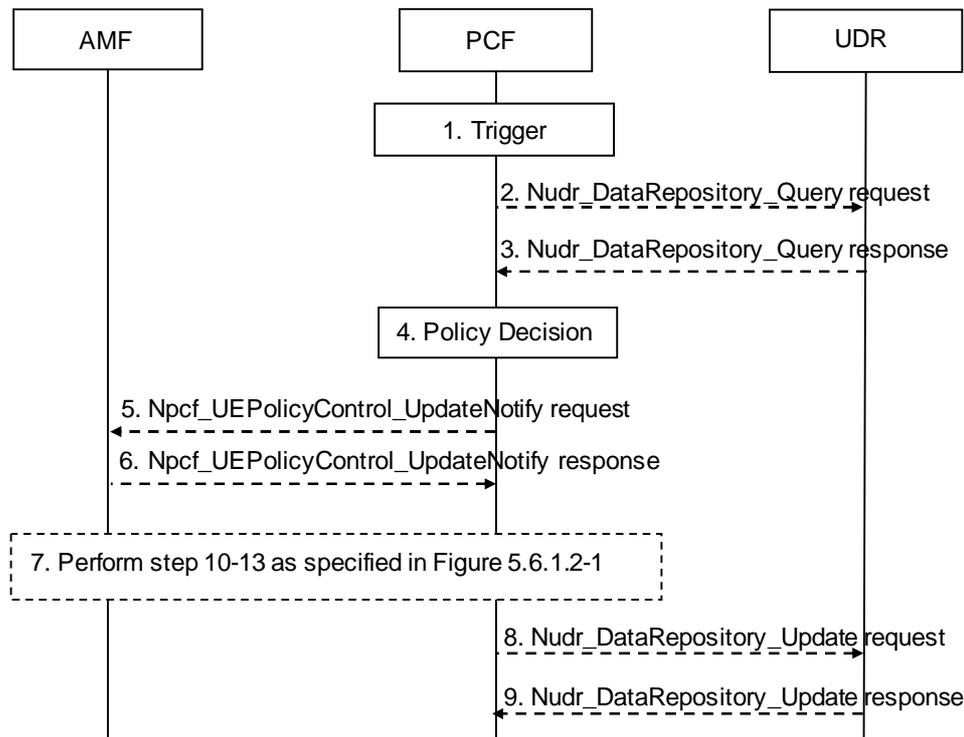
The procedures in this subclause are performed when the UE policy is changed.

NOTE 1: For details of the Nudr\_DataRepository\_Update service operation refer to 3GPP TS 29.519 [12].

NOTE 2: For details of the Npcf\_UEPolicyControl\_UpdateNotify service operation refer to 3GPP TS 29.525 [31].

NOTE 3: For details of the Namf\_Communication\_N1N2MessageTransfer/N1MessageNotify service operations refer to 3GPP TS 29.518 [32].

## 5.6.2.2.2 Non-roaming



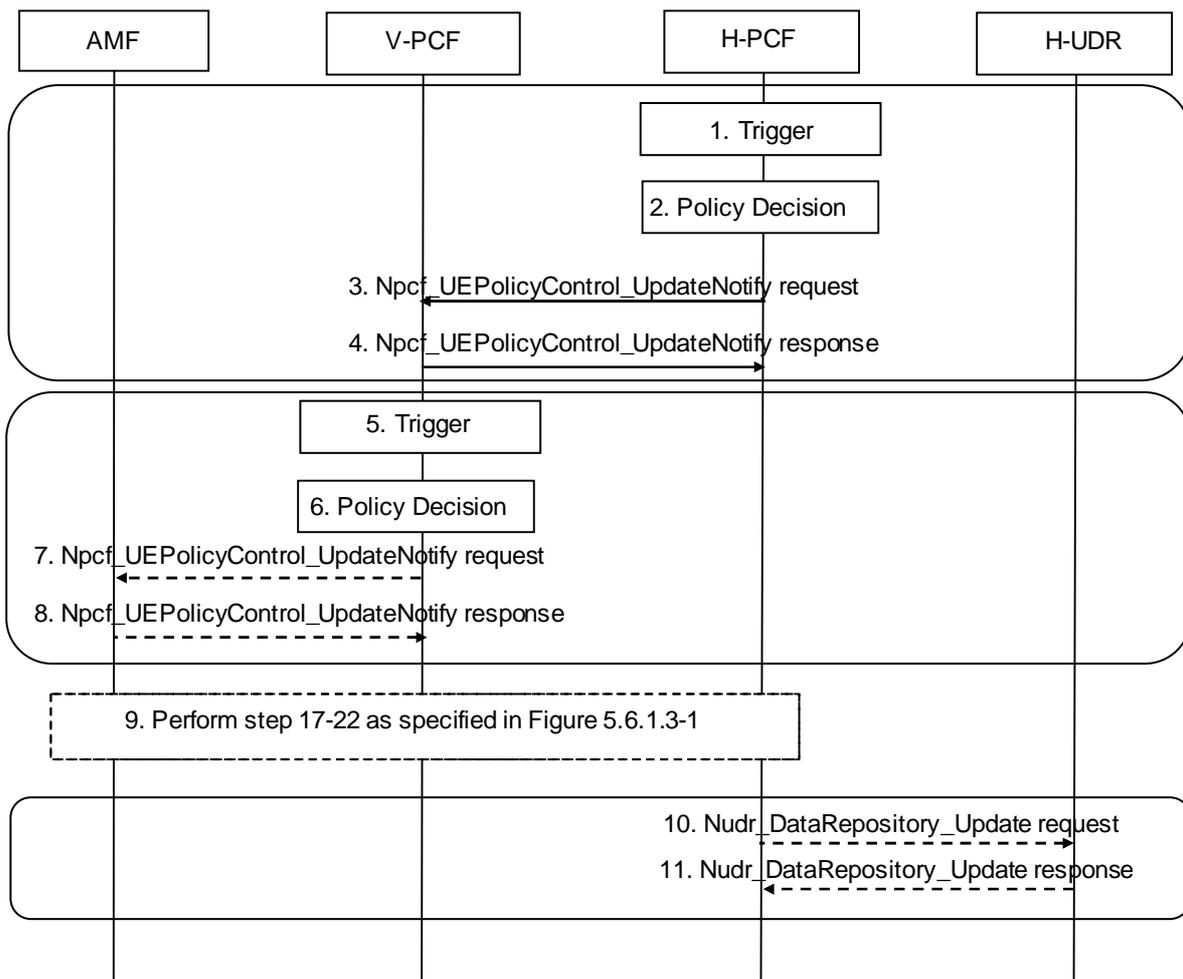
**Figure 5.6.2.2.2-1: PCF-initiated UE Policy Association Modification procedure – Non-roaming**

1. The PCF receives an external trigger, e.g. the subscriber policy data of a UE is changed, the applied BDT Policy Data is changed, or application detection, or the PCF receives an internal trigger, e.g. operator policy is changed, to re-evaluate UE policy decision for a UE.

NOTE: When the external trigger affects more than one UE (e.g. when Network Performance is degraded in a network area info) the PCF will apply the next steps to all the affected active UE Policy Associations.

- 2-3. If the applied BDT policy Data is changed in step1, and if the corresponding transfer policy is not locally stored in the PCF, the PCF sends the HTTP GET request to the "IndividualBdtData" resource to retrieve the related Background Data Transfer policy information (i.e. Time window and Location criteria) stored in the UDR. The UDR sends an HTTP "200 OK" response to the PCF.
4. The PCF makes the policy decision including the applicable updated Policy Control Request Trigger(s) and/or updated UE Policy and/or updated N2 PC5 policy if the "V2X" feature is supported. The PCF checks if the size of determined UE policy exceeds a predefined limit the same as step 6 in subclause 5.6.1.2.
5. If the PCF decided to update the Policy Control Request Trigger(s) in step4, the V-PCF shall invoke the Npcf\_UEPolicyControl\_UpdateNotify service operation by sending an HTTP POST request to the resource URI "{notificationUri}/update".
6. The AMF sends an HTTP "204 No Content" response to the PCF.
7. If the PCF decided to update the UE policy and/or N2 PC5 policy in step 4, steps 10-13 as specified in Figure 5.6.1.2-1 are executed.
- 8-9. If the PCF decided to update the UE policy in step 4, steps 5-6 in subclause 5.6.2.1.2 are executed.

5.6.2.2.3 Roaming



**Figure 5.6.2.2.3-1: PCF-initiated UE Policy Association Modification procedure – Roaming**

If the H-PCF receives a trigger, steps 1 to 4 and 10 to 11 are executed and steps 5 to 8 are omitted.

If the V-PCF receives a trigger, steps 1 to 4 and 10 to 11 are omitted and steps 5 to 8 are executed.

1. The H-PCF receives an external trigger, e.g. the subscriber policy data of a UE is changed, or the PCF receives an internal trigger, e.g. operator policy is changed, to re-evaluate UE policy decision for a UE.
2. The H-PCF makes the policy decision including the applicable updated Policy Control Request Trigger(s) and/or updated UE Policy and/or updated N2 PC5 policy if the "V2X" feature is supported.

In addition, the H-PCF checks if the size of determined UE policy exceeds a predefined limit.

NOTE 1: NAS messages from AMF to UE do not exceed the maximum size limit allowed in NG-RAN (PDCP layer), so the predefined size limit in H-PCF is related to that limitation.

- If the size is under the limit then the UE policy information is included in a single Npcf\_UEPolicyControl\_UpdateNotify service operation and messages 3 to 4 are thus executed one time.
- If the size exceeds the predefined limit, the PCF splits the UE policy information in smaller logical independent UE policy information fragments and ensures the size of each is under the predefined limit. Each UE policy information fragment will be then sent in separated Npcf\_UEPolicyControl\_UpdateNotify service operations and messages 3 to 4, and 9 are thus executed several times, one time for each UE policy information fragment.

3. The H-PCF invokes the Npcf\_UEPolicyControl\_UpdateNotify service operation by sending an HTTP POST request to the resource URI "{notificationUri}/update" with the updated UE policy and/or the updated N2 PC5 policy and/or Policy Control Request Trigger(s) if applicable.
4. The V-PCF sends an HTTP "204 No Content" response to the H-PCF.
5. The V-PCF receives an external trigger, e.g. operator policy in the V-UDR for the PLMN ID of this UE is changed, or the V-PCF receives an internal trigger, e.g. local policy is changed, to re-evaluate UE policy decision for a UE.

NOTE 2: When the V-PCF receives an internal or external trigger to re-evaluate the UE policy decision for the roaming UEs of a PLMN ID, the PCF applies control mechanisms to avoid signalling storms and potential network overload, as e.g. limiting the number of simultaneous updates distributing the base of visiting UEs in a time dispersion interval.

6. The V-PCF makes the policy decision including the applicable updated Policy Control Request Trigger(s) and/or updated UE Policy.

In addition, the V-PCF checks if the size of determined UE policy and received UE policy from H-PCF in step 3 exceeds a predefined limit.

NOTE 3: NAS messages from AMF to UE do not exceed the maximum size limit allowed in NG-RAN (PDCP layer), so the predefined size limit in V-PCF is related to that limitation.

- If the size is under the limit then the UE policy information is included in a single Namf\_Communication\_N1N2MessageTransfer service operation and message 9 is thus executed one time.
  - If the size exceeds the predefined limit, the V-PCF splits the UE policy information in smaller logical independent UE policy information fragments and ensures the size of each is under the predefined limit. Each UE policy information fragment will be then sent in separated Namf\_Communication\_N1N2MessageTransfer service operations and message 9 is thus executed several times, one time for each UE policy information fragment.
7. If the V-PCF needs to update the Policy Control Request Trigger(s) or forward the Policy Control Request Trigger(s) received from the H-PCF in step 3, the V-PCF shall invoke the Npcf\_UEPolicyControl\_UpdateNotify service operation by sending an HTTP POST request to the resource URI "{notificationUri}/update".
  8. The AMF sends an HTTP "204 No Content" response to the PCF.
  9. If the V-PCF decided to update the UE policy in step 6 or the V-PCF received the UE Policy and/or N2 PC5 policy if the "V2X" feature is supported in step 3, steps 17-22 as specified in Figure 5.6.1.3-1 are executed.
  - 10-11. If the H-PCF decided to update the UE policy in step 2, the steps 8-9 in subclause 5.6.2.1.3 are executed.

## 5.6.3 UE Policy Association Termination

### 5.6.3.1 UE Policy Association Termination initiated by the AMF

#### 5.6.3.1.1 General

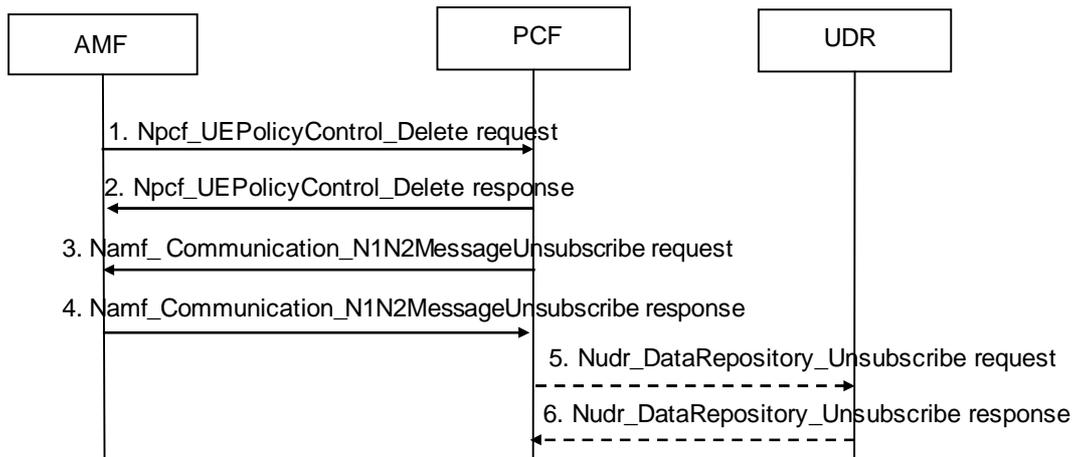
This procedure is performed when the UE deregisters from the network, when the UE deregisters from 5GS during the UE moving from 5GS to EPS or when the old AMF removes the UE Policy Association during AMF relocation.

NOTE 1: For details of the Nudr\_DataRepository\_Unsubscribe service operation refer to 3GPP TS 29.519 [12].

NOTE 2: For details of the Npcf\_UEPolicyControl\_Delete service operation refer to 3GPP TS 29.525 [31].

NOTE 3: For details of the Namf\_Communication\_N1N2MessageUnsubscribe service operation refer to 3GPP TS 29.518 [32].

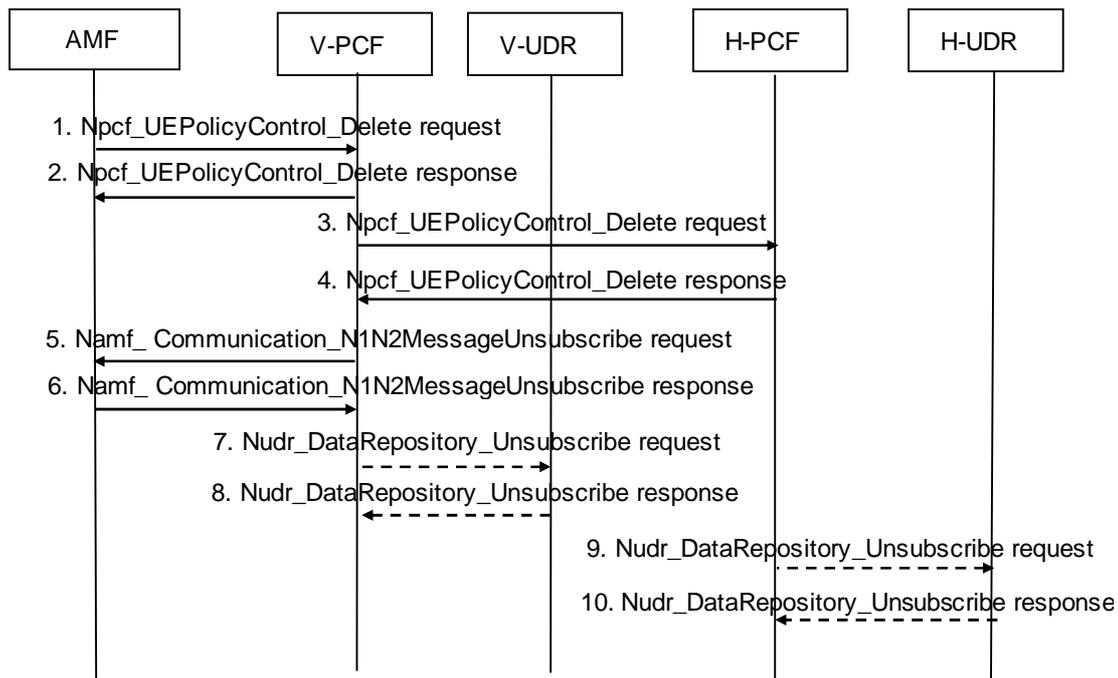
## 5.6.3.1.2 Non-roaming



**Figure 5.6.3.1.2-1: AMF-initiated UE Policy Association Termination procedure – Non-roaming**

1. The AMF invokes the Npcf\_UEPolicyControl\_Delete service operation by sending the HTTP DELETE request to the "Individual UE Policy Association" resource to delete the policy context in the PCF.
2. The PCF removes the policy context for the UE and sends an HTTP "204 No Content" response to the AMF.
3. To unsubscribe to notifications of N1 message for UE Policy Delivery Result, the PCF invokes Namf\_Communication\_N1N2MessageUnsubscribe service operation to the AMF by sending the HTTP DELETE method with the URI of the "N1N2 Individual Subscription" resource.
4. The AMF sends an HTTP "204 No Content" response to the PCF.
5. The PCF unsubscribes the notification of subscriber policy data modification from the UDR by invoking Nudr\_DataRepository\_Unsubscribe service operation by sending the HTTP DELETE request to the "IndividualPolicyDataSubscription" resource if it has subscribed such notification.
6. The UDR sends an HTTP "204 No Content" response to the PCF.

## 5.6.3.1.3 Roaming



**Figure 5.6.3.1.3-1: AMF-initiated UE Policy Association Termination procedure – Roaming**

1. The AMF invokes the Npcf\_UEPolicyControl\_Delete service operation by sending the HTTP DELETE request to the "Individual UE Policy Association" resource to delete the policy context in the V-PCF. The V-PCF interacts with the H-PCF.
2. The V-PCF removes the policy context for the UE and sends an HTTP "204 No Content" response to the AMF.
3. The V-PCF invokes the Npcf\_UEPolicyControl\_Delete service operation by sending the HTTP DELETE request to the "Individual UE Policy Association" resource to delete the policy context in the H-PCF.
4. The H-PCF removes the policy context for the UE and sends an HTTP "204 No Content" response to the V-PCF.
5. To unsubscribe to notifications of N1 message for UE Policy Delivery Result, the V-PCF invokes Namf\_Communication\_N1N2MessageUnsubscribe service operation to the AMF by sending the HTTP DELETE method with the URI of the "N1N2 Individual Subscription" resource.
6. The AMF sends an HTTP "204 No Content" response to the V-PCF.
7. The V-PCF invokes the Nudr\_DataRepository\_Unsubscribe service operation by sending the HTTP DELETE request to the "IndividualPolicyDataSubscription" resource to unsubscribes the notification from the V-UDR on changes in UE policy information if it has subscribed such notification.
8. The V-UDR sends an HTTP "204 No Content" response to the V-PCF.
9. The H-PCF unsubscribes the notification of subscriber policy data modification from the H-UDR by invoking Nudr\_DataRepository\_Unsubscribe service operation by sending the HTTP DELETE request to the "IndividualPolicyDataSubscription" resource if it has subscribed such notification.
10. The H-UDR sends an HTTP "204 No Content" response to the H-PCF.

## 5.6.3.2 UE Policy Association Termination initiated by the PCF

## 5.6.3.2.1 General

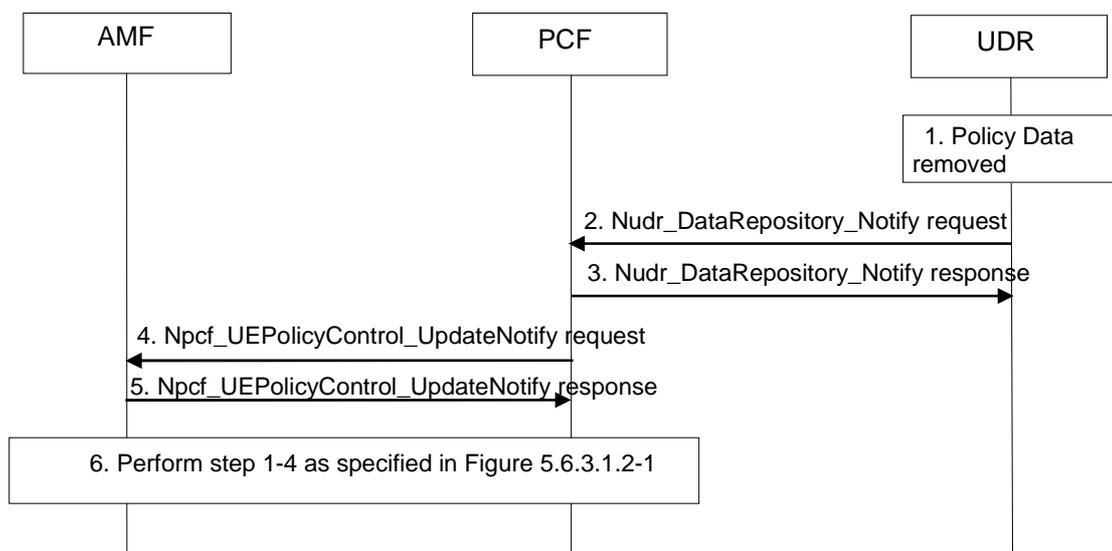
This procedure is performed when the (H-)UDR notifies the (H-)PCF that the policy profile is removed.

NOTE 1: For details of the Nudr\_DataRepository\_Notify service operation refer to 3GPP TS 29.519 [12].

NOTE 2: For details of the Npcf\_UEPolicyControl\_UpdateNotify/Delete service operations refer to 3GPP TS 29.525 [31].

NOTE 3: For details of the Namf\_Communication\_N1N2MessageUnsubscribe service operation refer to 3GPP TS 29.518 [32].

### 5.6.3.2.2 Non-roaming



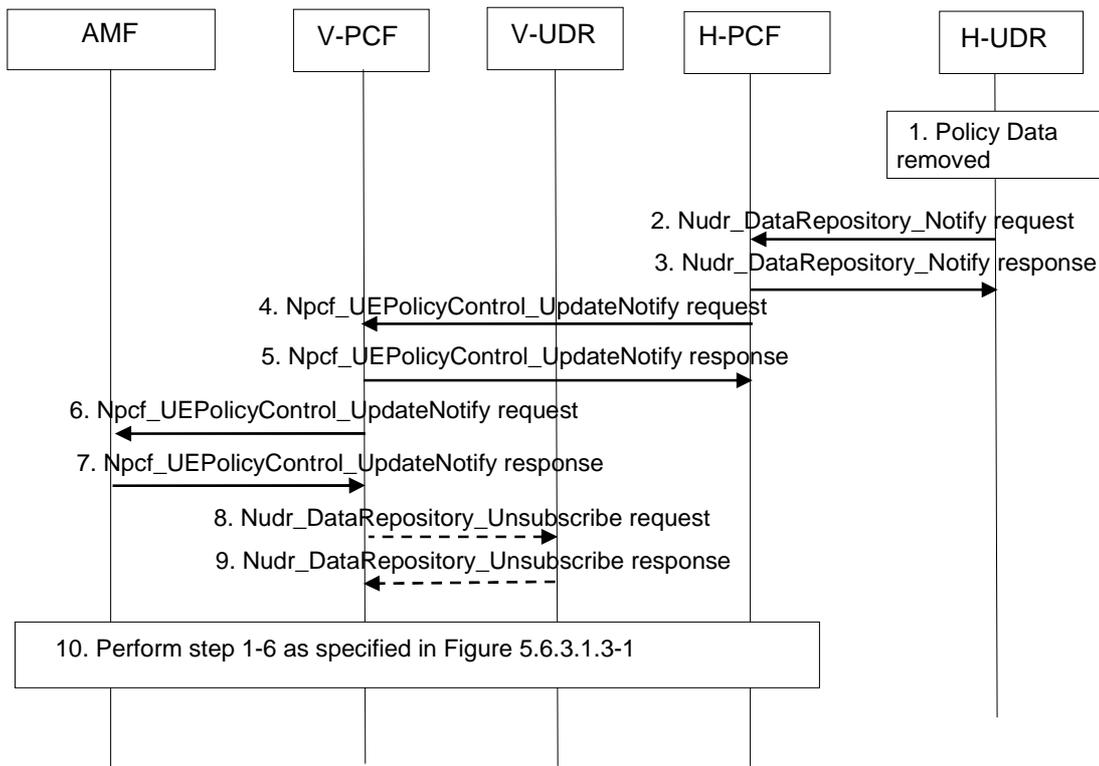
**Figure 5.6.3.2.2-1: PCF-initiated UE Policy Association Termination procedure – Non-roaming**

1. The subscriber policy control data is removed from the UDR.
2. The UDR invokes the Nudr\_DataRepository\_Notify service operation by sending the HTTP POST request to resource URI "{notificationUri}" to notify the PCF that the policy profile is removed if PCF has subscribed such notification.
3. The PCF sends HTTP "204 No Content" response to confirm reception and the result to UDR.
4. The PCF may, depending on operator policies, invoke the Npcf\_UEPolicyControl\_UpdateNotify service operation to the AMF of the removal of the UE policy control information by sending the HTTP POST request to the resource URI "{notificationUri}/terminate".

Alternatively, the PCF may decide to maintain the UE Policy Association if a default profile is applied, and then step 4 through 6 are not executed.

5. The AMF sends an HTTP "204 No Content" response to the PCF.
6. Steps 1 to 4 as specified in Figure 5.6.3.1.2-1 are executed.

## 5.6.3.2.3 Roaming



**Figure 5.6.3.2.3-1: PCF-initiated UE Policy Association Termination procedure – Roaming**

1. The subscriber policy control data is removed from the H-UDR.
2. The H-UDR invokes the Nudr\_DataRepository\_Notify service operation by sending the HTTP POST request to resource URI "{notificationUri}" to notify the H-PCF that the policy profile is removed if H-PCF has subscribed such notification.
3. The H-PCF sends HTTP "204 No Content" response to confirm reception and the result to H-UDR.
4. The H-PCF may, depending on operator policies, invoke the Npcf\_UEPolicyControl\_UpdateNotify service operation to the AMF of the removal of the UE policy control information by sending the HTTP POST request to the resource URI "{notificationUri}/terminate".

Alternatively, the H-PCF may decide to maintain the UE Policy Association if a default profile is applied, and then step 4 through 10 are not executed.

5. The AMF sends an HTTP "204 No Content" response to the V-PCF.
6. The V-PCF invokes the Npcf\_UEPolicyControl\_UpdateNotify service operation to the AMF of the removal of the UE policy control information by sending the HTTP POST request to the resource URI "{notificationUri}/terminate".
7. The AMF sends an HTTP "204 No Content" response to the V-PCF.
8. The V-PCF invokes the Nudr\_DataRepository\_Unsubscribe service operation by sending the HTTP DELETE request to the "IndividualPolicyDataSubscription" resource to unsubscribe the notification from the V-UDR on changes in UE policy information if it has subscribed such notification.
9. The V-UDR sends an HTTP "204 No Content" response to the V-PCF.
10. Steps 1 to 6 as specified in Figure 5.6.3.1.3-1 are executed.

---

## 6 Binding Mechanism

### 6.1 Overview

The binding mechanism associates the session information with the QoS flow that is intended to carry the service data flow(s).

The binding mechanism includes three steps:

1. Session binding.
2. PCC rule authorization.
3. QoS flow binding.

The Session binding function receives the AF session information and determines the relevant PDU session. With this information the PCC rule authorization function runs the policy rules and constructs the PCC rule(s), if the authorization is granted. Finally, the QoS flow binding function selects the QoS flow(s) to carry the service data flow (defined in a PCC rule by means of the SDF template), within the PDU session.

The PCC rule authorization function and the QoS flow binding function can take place without the Session binding function at certain PDU session events (e.g. request of SM related policies initiated by the SMF). The PCF may authorize dynamic PCC rules for service data flows without a corresponding AF session.

**NOTE:** The relation between AF sessions and rules depends only on the operator configuration. An AF session can be covered by one or more PCC rules, if applicable (e.g. one rule per media component of an IMS session).

### 6.2 Session Binding

The Session binding is the association of the AF session information to one and only one PDU session.

When the PCF receives the service information from the AF, the PCF shall perform the session binding and shall associate the described IP and Ethernet data flows within the AF session information (and therefore the applicable PCC rules) to one existing PDU session. This association is done comparing the following parameters received from the AF with the corresponding PDU session parameters.

- a) For an IP type PDU session, the UE IPv4 address or IPv6 address. If IPv6 address is received from the AF, the association is done by comparing the /128 IPv6 address with the IPv6 prefix of the PDU session using the longest prefix match.

**NOTE 1:** The UE IPv4 address or IPv6 address received by the PCF from the AF can contain an IP address that belongs to the framed routes that apply to a PDU session. In this case, the association with the PDU session needs to be based on comparing the received UE address is within the one or more framed routes of the PDU session.

For an Ethernet type PDU session, the UE MAC address.

If the "TimeSensitiveNetworking" feature is supported, association is done by comparing the value of MAC address in the AF request with the MAC address of the DS-TT port as reported by the SMF within the bridge information.

- b) The UE identity (of the same kind e.g. SUPI), if available.

**NOTE 2:** In case the UE identity in the access network and the application level identity for the user are of different kinds, the PCF needs to maintain, or have access to, the mapping between the identities. Such mapping is outside the scope of the present document.

- c) The information about the data network (DNN) the user is accessing, if available.
- d) The IPv4 address domain identity if available in the "ipDomain" attribute.

NOTE 3: The "ipDomain" attribute is helpful when within a network slice instance, there are several separate IP address domains, with SMF/UPF(s) that allocate IPv4 IP addresses out of the same private address range to UE PDU Sessions. The same IP address can thus be allocated to UE PDU sessions served by SMF/UPF(s) in different address domains. If one PCF controls several SMF/UPF(s) in different IP address domains, the UE IP address is thus not sufficient for the session binding. An AF can serve UEs in different IP address domains, either by having direct IP interfaces to those domains, or by having interconnections via NATs in the user plane between the UPF and the AF. If a NAT is used, the AF obtains the IP address allocated to the UE PDU session via application level signalling and supplies it for the session binding to the PCF in the "ueIpv4" attribute. The AF supplies an "ipDomain" attribute denoting the IP address domain behind the NAT in addition. The AF can derive the appropriate value from the source address (allocated by the NAT) of incoming user plane packets. The value provided in the "ipDomain" attribute is operator configurable.

e) The S-NSSAI if available.

NOTE 4: The S-NSSAI is helpful in the scenario where multiple network slice instances are deployed in the same DNN, and the same IPv4 address may be allocated to UE PDU sessions in different network slice instances. If one PCF controls several network slices, each network slice in different IP address domains, the UE IP address is not sufficient for the session binding. The AF supplies the S-NSSAI denoting the network slice instance that allocated the IPv4 address of the UE PDU session. How the AF derives S-NSSAI is out of the scope of this specification.

Session Binding applies for PDU sessions of IP type. It may also apply to Ethernet PDU session type but only when especially allowed by PCC related policy control request trigger. In the case of Ethernet PDU session, session binding does not apply to AF requests sent over Rx.

NOTE 5: For the Ethernet PDU session, the PCF needs to provision "UE MAC\_CH" trigger to the SMF.

NOTE 6: Refer to 3GPP TS 29.213 [30] for the session binding between the IP type PDU session and the AF request sent over Rx.

The PCF shall identify the PCC rules affected by the AF session information, including new PCC rules to be installed and existing PCC rules to be modified or removed.

If the PCF is not capable of executing the Session binding, the PCF shall reject the AF request.

## 6.3 PCC rule Authorization

The PCC rule authorization is the selection of the 5G QoS parameters, described in 3GPP TS 23.501 [2] subclause 5.7.2, for the PCC rules.

The PCF shall perform the PCC rule authorization after successful session binding for PCC rules belonging to the AF sessions, as well as for the PCC rules without the corresponding AF sessions. By the authorization process the PCF determines whether the user can have access to the requested services and under what constraints. If so, the PCC rules are created or modified. If the session information is not authorized, a negative answer shall be issued to the AF.

The PCF shall perform the PCC rule authorization function, e.g. when the PCF receives the session information from the AF, when the PCF receives a notification of PDU session events (e.g. PDU session establishment, PDU session modification) from the SMF, or when the PCF receives a notification from the UDR, that calls for a policy decision.

For the authorization of a PCC rule, the PCF shall consider any 5GC specific restrictions, the AF service information and other information available to the PCF (e.g. user's subscription information, operator policies). The PCF assigns appropriate a set of 5G QoS parameters (e.g. 5QI, QoS characteristics, ARP, GBR, MBR, QNC, RQI), that can be supported by the access network, to each PCC rule.

The authorization of a PCC rule associated with an emergency service shall be supported without subscription information (e.g. information stored in the UDR). The PCF shall apply policies configured for the emergency service.

NOTE: The PCC rule authorization is not applicable to the Unstructured type PDU session.

## 6.4 QoS flow binding

The QoS flow binding is the association of the PCC rule to a QoS flow, identified by the QFI, within a PDU session.

The QoS flow binding function resides in the SMF. The binding is performed using the following binding parameters:

- 5QI;
- ARP;
- QNC (if available in the PCC rule);
- Priority Level (if available in the PCC rule);
- Averaging Window (if available in the PCC rule);
- Maximum Data Burst Volume (if available in the PCC rule); and
- Alternative QoS Parameter Set(s) (if available in the PCC rule).

The selected QoS flow shall have the same above binding parameters as the one indicated by the PCC rule. The set of 5G QoS parameters assigned by the PCF to the service data flow is the main input for QFI allocation.

When the QoS data decision which the PCC rule refers to include the "defQosFlowIndication" attribute set to true as defined in subclause 4.2.6.2.10 of 3GPP TS 29.512 [9], the SMF shall bind the PCC rule to the default QoS flow as long as the "defQosFlowIndication" attribute set to true.

If the "defQosFlowIndication" attribute has not been received before during the lifetime of the PCC rule or the "defQosFlowIndication" attribute has been received but set to false (as defined in subclause 4.2.6.2.10 of 3GPP TS 29.512 [9]), the SMF shall evaluate whether a QoS flow with the same binding parameters combination exists. If a QoS flow with the same binding parameters combination exists, the SMF binds the PCC rule to the existing QoS flow, or based on local policies, e.g. to ensure that a PCC rule with Alternative QoS parameter Set(s) is bound to a separate QoS flow, or the below mentioned conditions (which QoS Flow binding shall ensure), require the establishment of a new QoS flow. If no QoS flow exists, the SMF creates a new QoS flow, derives the QoS parameters for a new QoS flow, using authorized QoS in the PCC rule, and binds the PCC rule to the QoS flow.

NOTE 1: For non-GBR QoS flows, and when standardized 5QIs or pre-configured 5QIs are used, the 5QI value can be used as the QFI of the QoS flow. However, the pre-configured 5QI values cannot be used when the UE is roaming.

NOTE 2: For an unstructured PDU session, there is maximum one QoS flow.

NOTE 3: For PCC rules containing a delay critical GBR 5QI value, the SMF can bind PCC Rules with the same binding parameters to different QoS Flows to ensure that the GFBP of the QoS Flow can be achieved with the Maximum Data Burst Volume of the QoS Flow.

The PCF shall supply the PCC rules to be installed, modified, or removed to the SMF. The SMF shall evaluate whether it is possible to use one of the existing QoS flows or not, and if applicable.

If the PCF has previously indicated to the SMF that a PCC rule shall be bound to the default QoS flow by including the "defQosFlowIndication" attribute set to true within the QoS data decision which the PCC rule refers to, but the PCF updates the QoS data decision by including the "defQosFlowIndication" attribute set to false as defined in subclause 4.2.6.2.10 of 3GPP TS 29.512 [9], the SMF shall create the binding between service data flow(s) and the QoS flow which have the same binding parameters.

If the PCC rule is corresponding to the QoS rule requested by the UE as defined in subclause 4.2.4.17 of 3GPP TS 29.512 [9] and a Segregation bit is set as defined in Table 9.11.4.13.1 of 3GPP TS 24.501 [33] in the request from the UE, the SMF should abide by the UE request and bind the PCC rule on a distinct and dedicated QoS Flow e.g. even if an existing QoS Flow can support the requested QoS, but is still allowed to proceed instead with binding the selected SDF(s) on an existing QoS Flow.

Whenever the binding parameters of a PCC rule changes, the existing binding of this PCC rule shall be re-evaluated, i.e. the QoS flow binding procedure, is performed. The re-evaluation may, for a PCC rule, result in a new binding with another QoS flow. If the PCF requests the same change of the binding parameter value(s) for all PCC rules that are

bound to the same QoS Flow, the SMF should not re-evaluate the binding of these PCC rules and instead, modify the QoS parameter value(s) of the QoS Flow accordingly.

NOTE 4: A QoS change of the default 5QI/ARP values doesn't cause the QoS flow rebinding for PCC rules previously bound to the QoS flow associated with the default QoS rule, with the "defQosFlowIndication" attribute set to true.

If the PCC rule is removed, the SMF shall remove the association of the PCC rule to the QoS flow. If the last PCC rule that is bound to a QoS Flow is removed, the SMF shall delete the QoS Flow.

When a QoS flow is removed the SMF shall report to the PCF that the PCC rules bound to the corresponding QoS flow are removed.

The QoS Flow binding shall also ensure that:

- If a dynamic value for the Core Network Packet Delay Budget (defined in TS 23.501 [2] subclause 5.7.3.4) is used, PCC rules with the same above binding parameters but different PDU Session anchors (i.e. the corresponding service data flows which have different CN PDBs) shall not be bound to the same QoS Flow.

NOTE 5: Different PDU Session anchors can exist if multiple RouteToLocation instances are included within the traffic control decision referred by the PCC rules.

- A PCC rule including TSCAI information is bound to a new QoS flow and no other PCC rule shall be bound to this same QoS flow. Whenever the TSC Assistance container of an existing PCC rule is changed, the binding of this PCC rule shall not be re-evaluated.
- For MA PDU Session, the QoS flow binding shall also ensure that the PCC rules for GBR or delay critical GBR service data flows allowed on different access are not bound to the same QoS flow even if the PCC rules contain the same binding parameters.

NOTE 6: For MA PDU Session, the GBR or delay critical GBR resource for a service data flow is allocated only in one access.

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## 7 QoS Parameters Mapping

### 7.1 Overview

Several QoS parameters mapping functions are needed during PCC interaction. These functions are located at the AF, PCF, SMF and UE. The main purpose of these mapping functions is the conversion of QoS parameters from one format to another. QoS information may be:

- parts of a session description language (SDI), e.g. SDP, MPD;
- QoS parameters; and
- access specific QoS parameters.

One QoS mapping function is located at the AF, which maps the application specific information into the appropriate information that are carried over the Rx as specified in 3GPP TS 29.214 [18] or N5 interface as specified in 3GPP TS 29.514 [10].

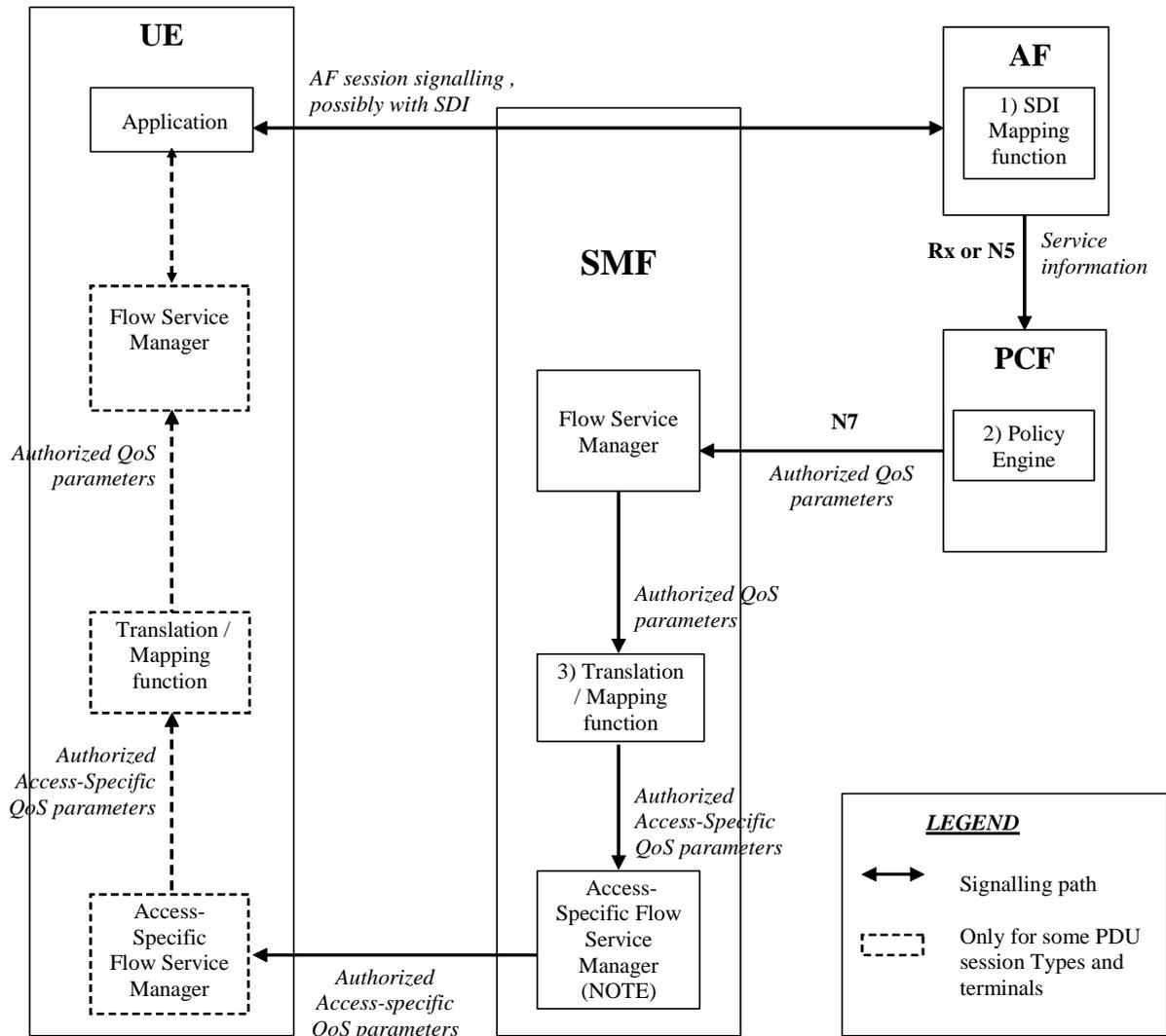
For IMS, the AF may pass service information to the PCF over the Rx interface or over the N5 interface if the PCF and the P-CSCF support the "IMS\_SBI" feature. The AF derives information about the service from the SDI or from other sources. The mapping is application specific. If SDP (IETF RFC 4566 [16]) is used as SDI, the AF should apply the mapping described in subclause 7.2. If MPD (3GPP TS 26.247 [17]) is used, the AF may apply the mapping described in Annex I in 3GPP TS 26.247 [17]. Subclause 7.2 specifies the QoS parameter mapping functions at the AF. For IMS, the mapping rules in subclause 7.2 shall be used at the P-CSCF.

One QoS mapping function is located at the PCF, which maps the service information received over the Rx or N5 interface into QoS parameters (e.g. 5QI, GBR, MBR, and ARP). This mapping is access independent. Subclause 7.3 specifies the QoS mapping functions at the PCF applicable for all accesses.

The mapping functions located at SMF is specified in subclause 7.4. The mapping function in UE is implementation dependent and not specified within this specification.

The PCF notes and authorizes the service data flows described within this service information by mapping from service information to Authorized QoS parameters for transfer to the SMF via the N7 interface. The SMF will map from the Authorized QoS parameters to the access specific QoS parameters.

For 3GPP 5GS, the network sets up QoS flow(s) with a suitable QoS and indicates to the UE the QoS characteristics of those QoS flow(s). Therefore the flow of QoS related information will be unidirectional as indicated in the figure 7.1-1.



NOTE: Access Specific QoS parameters with Authorized Access-Specific QoS parameters comparison.

**Figure 7.1-1: QoS mapping framework**

1. The AF shall perform mapping from an SDI received within the AF session signalling to service information passed to the PCF over the Rx or N5 interface (see subclause 7.2 if SDP is used as SDI).
2. The PCF shall perform mapping from the service information received over the Rx or N5 interface to the Authorized QoS parameters that shall be passed to the SMF via the N7 interface. The mapping is performed for each service data flow. The PCF combines per direction the individual Authorized QoS parameters per flow (see subclause 7.3).
3. The SMF shall perform mapping from the Authorized QoS parameters received from PCF to the access specific QoS parameters.

## 7.2 QoS parameter mapping Functions at AF

### 7.2.1 Introduction

The mapping described in this clause is mandatory for the P-CSCF and should also be applied by other AFs, if the SDI is SDP.

When a session is initiated or modified the AF shall derive a Media-Component-Description AVP for Rx interface or a "MediaComponent" attribute for N5 interface from the SDP Parameters. If the CHEM feature is supported, the P-CSCF may provide the maximum packet loss rate(s) for uplink and/or downlink direction(s) in the Max-PLR-DL AVP and/or the Max-PLR-UL AVP for Rx interface respectively as defined in subclause 7.2.2 or the "maxPacketLossRateUI" attribute and/or "maxPacketLossRateDI" attribute respectively as defined in subclause 7.2.3.

### 7.2.2 AF supporting Rx interface

When the AF interworks with the PCF using the Rx interface, it shall derive a Media-Component-Description AVP from the SDP parameters for each SDP media component using the same mapping rules as defined in subclause 6.2 of 3GPP TS 29.213 [30].

### 7.2.3 AF supporting N5 interface

The mapping described in this clause is mandatory for the P-CSCF and should also be applied by other AFs, if the SDI is SDP.

When a session is initiated or modified the P-CSCF shall use the mapping rules in table 7.2.3-1 for each SDP media component to derive a media component entry of the "medComponents" attribute from the SDP Parameters. The mapping shall not apply to media components where the SDP payload is proposing to use a circuit-switched bearer (i.e. "c=" line set to "PSTN" and an "m=" line set to "PSTN", refer to 3GPP TS 24.292 [35]). Circuit-switched bearer related media shall not be included in the service information sent to the PCF.

**Table 7.2.3-1: Rules for derivation of service information within  
Media Component Description from SDP media component**

Service information per Media Component-Description (see NOTE 1 and NOTE 7)	Derivation from SDP Parameters (see NOTE 2)
<b>Media Component Number</b>	ordinal number of the position of the "m=" line in the SDP
<b>AF Application Identifier</b>	The "afAppId" attribute may be supplied or omitted, depending on the application. For IMS, if the "afAppId" attribute is supplied, its value should not demand application specific bandwidth or QoS characteristics handling unless the IMS application is capable of handling a QoS downgrading.
<b>Media Type</b>	The "medType" attribute shall be included with the same value as supplied for the media type in the "m=" line.
<b>Flow Status</b>	<pre> IF port in m-line = 0 THEN   "fStatus" := REMOVED; ELSE   IF Transport in m-line is "TCP" or "TCP/MSRP" THEN (NOTE 9)     "fStatus" := ENABLED;   ELSE /* UDP or RTP/AVP transport     IF a=rtcp-mux is negotiated THEN       "fStatus" :=ENABLED; (NOTE 12 and 13)     ELSE       IF a=recvonly THEN         IF &lt;SDP direction&gt; = UE originated (NOTE 8) THEN           "fStatus" := ENABLED-DOWNLINK; (NOTE 4)         ELSE /* UE terminated (NOTE 8) */           "fStatus" := ENABLED-UPLINK; (NOTE 4)         ENDIF;       ELSE         IF a=sendonly THEN           IF &lt;SDP direction&gt; = UE originated (NOTE 8) THEN             "fStatus" := ENABLED-UPLINK; (NOTE 4)           ELSE /* UE terminated (NOTE 8) */             "fStatus" := ENABLED-DOWNLINK; (NOTE 4)           ENDIF;         ELSE           IF a=inactive THEN             "fStatus" :=DISABLED;           ELSE /* a=sendrecv or no direction attribute */             "fStatus" := ENABLED (NOTE 4)           ENDIF;         ENDIF;       ENDIF;     ENDIF;   ENDIF; ENDIF; (NOTE 5) </pre>

Service information per Media Component-Description (see NOTE 1 and NOTE 7)	Derivation from SDP Parameters (see NOTE 2)
<b>Max Requested Bandwidth-UL</b>	<pre> IF &lt;SDP direction&gt; = UE terminated (NOTE 8) THEN   IF Transport in m-line is "TCP" or "TCP/MSRP" THEN (NOTE 9)     IF a=recvonly or a=sendrecv or no direction attribute THEN       IF b=AS:&lt;bandwidth&gt; is present and         ( b=TIAS:&lt;Tibandwidth&gt; is not           present or is present but not supported ) THEN         "marBwUl" := &lt;bandwidth&gt; * 1000; /* Unit bit/s       ELSE         IF b=TIAS:&lt;Tibandwidth&gt; is present and supported THEN           "marBwUl" := &lt;Transport-dependent bandwidth&gt;             (NOTE 11) /* Unit bit/s         ELSE           "marBwUl" := &lt;Operator specific setting&gt;;         ENDIF;       ENDIF;     ELSE       "marBwUl" := &lt;Operator specific setting&gt;,         (NOTE 10)       ENDIF;     ELSE /* UDP or RTP/AVP transport       IF b=AS:&lt;bandwidth&gt; is present and         ( b=TIAS:&lt;Tibandwidth&gt; is not           present or is present but not supported ) THEN         IF a=rtcp-mux is negotiated(NOTE 13) THEN           IF b=RR:&lt;rrbandwidth&gt; is present             OR b=RS:&lt;rsbandwidth&gt; is present THEN             "marBwUl" := &lt;bandwidth&gt; * 1000 +               &lt;rrbandwidth&gt; + &lt;rsbandwidth&gt;; (NOTE 3; NOTE 6)           ELSE             "marBwUl" := &lt;bandwidth&gt; * 1050;               /* Unit is bit/s           ENDIF         ELSE           "marBwUl" := &lt;bandwidth&gt; * 1000;               /* Unit is bit/s         ENDIF;       ELSE         IF b=TIAS:&lt;Tibandwidth&gt; is present and supported THEN           IF a=rtcp-mux is negotiated (NOTE 13) THEN             IF b=RR:&lt;rrbandwidth&gt; is present               OR b=RS:&lt;rsbandwidth&gt; is present THEN               "marBwUl" :=                 &lt;Transport-dependent bandwidth&gt; (NOTE 11) +                 &lt;rrbandwidth&gt; + &lt;rsbandwidth&gt;; (NOTE 3; NOTE 6)             ELSE               "marBwUl" :=                 &lt;Transport-dependent bandwidth&gt;                 * 1.05 (NOTE 11) /* Unit bit/s             ENDIF           ELSE             "marBwUl" := &lt;Transport-dependent bandwidth&gt;               (NOTE 11) /* Unit bit/s           ENDIF;         ELSE           "marBwUl" := &lt;Operator specific setting&gt;,             or attribute not supplied;           ENDIF;         ENDIF;       ELSE         Consider SDP in opposite direction       ENDIF </pre>

Service information per Media Component-Description (see NOTE 1 and NOTE 7)	Derivation from SDP Parameters (see NOTE 2)
<b>Max Requested Bandwidth DL</b>	<pre> IF &lt;SDP direction&gt; = UE originated (NOTE 8) THEN   IF Transport in m-line is "TCP" or "TCP/MSRP" THEN (NOTE 9)     IF a=recvonly or a=sendrecv or no direction attribute THEN       IF b=AS:&lt;bandwidth&gt; is present and         ( b=TIAS:&lt;Tibandwidth&gt; is not present or           is present but not supported ) THEN         "marBwDl" := &lt;bandwidth&gt; * 1000; /* Unit bit/s       ELSE         IF b=TIAS:&lt;Tibandwidth&gt; is present and supported THEN           "marBwDl" := &lt;Transport-dependant bandwidth&gt;             /* Unit bit/s (see NOTE 11)           OR Operator specific setting         ELSE           "marBwDl" := &lt;Operator specific setting&gt;;         ENDIF;       ELSE         "marBwDl" := &lt;Operator specific setting&gt;,           (NOTE 10)         ENDIF;       ELSE /* UDP or RTP/AVP transport         IF b=AS:&lt;bandwidth&gt; is present and b=TIAS:&lt;Tibandwidth&gt; is not           present THEN           IF a=rtcp-mux is negotiated(NOTE 13) THEN             IF b=RR:&lt;rrbandwidth&gt; is present               OR b=RS:&lt;rsbandwidth&gt; is present THEN               "marBwDl" := &lt;bandwidth&gt; * 1000 +                 &lt;rrbandwidth&gt; + &lt;rsbandwidth&gt;; (NOTE 3; NOTE 6)             ELSE               "marBwDl" := &lt;bandwidth&gt; * 1050;                 /* Unit is bit/s             ENDIF           ELSE             "marBwDl" := &lt;bandwidth&gt; * 1000               /* Unit is bit/s           ENDIF;         ELSE           IF b=TIAS:&lt;Tibandwidth&gt; is present THEN             IF a=rtcp-mux is negotiated (NOTE 13) THEN               IF b=RR:&lt;rrbandwidth&gt; is present                 OR b=RS:&lt;rsbandwidth&gt; is present THEN                 "marBwDl" :=                   &lt;Transport-dependent bandwidth&gt; (NOTE 11) +                     &lt;rrbandwidth&gt; + &lt;rsbandwidth&gt;; (NOTE 3; NOTE 6)               ELSE                 "marBwDl" :=                   &lt;Transport-dependent bandwidth&gt;                     * 1.05 (NOTE 11) /* Unit bit/s               ENDIF             ELSE               "marBwDl" := &lt;Transport-dependent bandwidth&gt;                 (NOTE 11) /* Unit bit/s             ENDIF;           ELSE             "marBwDl" := &lt;Operator specific setting&gt;,               or attribute not supplied;           ENDIF;         ENDIF;       ELSE         Consider SDP in opposite direction       ENDIF     </pre>
<b>Max Supported Bandwidth-UL</b>	<pre> IF a=bw-info is present and includes MaxSupBw: &lt;bandwidth&gt; and direction:   recv (UE terminated) or send (UE originated) or sendrecv (NOTE 14) THEN   "maxSuppBwUl" := [supplied &lt;bandwidth&gt;] * 1000 /Unit bit/s/  (NOTE 16) ELSE /* a=bw-info is not present or is present but MaxSupBw is not   included or direction is the opposite   Attribute not supplied ENDIF; (NOTE 15) </pre>

Service information per Media Component-Description (see NOTE 1 and NOTE 7)	Derivation from SDP Parameters (see NOTE 2)
<b>Max Supported Bandwidth-DL</b>	<pre> IF a=bw-info is present and includes MaxSupBw : &lt;bandwidth&gt; and direction: send (UE terminated) or rcv (UE originated) or sendrcv (NOTE 14) THEN   "maxSuppBwDl" := [supplied &lt;bandwidth&gt;] * 1000 /Unit bit/s/  (NOTE 16) ELSE /* a=bw-info is not present or is present but MaxSupBw is not       included or direction is the opposite       Attribute not supplied ENDIF; (NOTE 15) </pre>
<b>Min Desired Bandwidth UL</b>	<pre> IF a=bw-info is present and includes MinDesBw : &lt;bandwidth&gt; and direction: rcv (UE terminated) or send (UE originated) or sendrcv (NOTE 14) THEN   "minDesBwUl" := supplied &lt;bandwidth&gt; * 1000 /Unit bit/s/  (NOTE 16) ELSE /* a=bw-info is not present or is present but MinDesBw is not       included or direction is the opposite       Attribute not supplied ENDIF; </pre>
<b>Min Desired Bandwidth DL</b>	<pre> IF a=bw-info is present and includes MinDesBw : &lt;bandwidth&gt; and direction: send (UE terminated) or rcv (UE originated) or sendrcv (NOTE 14) THEN   "minDesBwDl" := [supplied &lt;bandwidth&gt;] * 1000 /Unit bit/s/ (NOTE 16) ELSE /* a=bw-info is not present or is present but MinDesBw is not       included or direction is the opposite       Attribute not supplied ENDIF; </pre>
<b>RR Bandwidth</b>	<pre> IF b=RR:&lt;bandwidth&gt; is present THEN   "rrBw" := &lt;bandwidth&gt;; ELSE   Attribute not supplied ENDIF; (NOTE 3; NOTE 6) </pre>
<b>RS Bandwidth</b>	<pre> IF b=RS:&lt;bandwidth&gt; is present THEN   "rsBw" := &lt;bandwidth&gt;; ELSE   Attribute not supplied ENDIF; (NOTE 3; NOTE 6) </pre>

Service information per Media Component-Description (see NOTE 1 and NOTE 7)	Derivation from SDP Parameters (see NOTE 2)
<b>Media SubComponent</b>	Supply one attribute for bidirectional combination of two corresponding IP flows, if available, and for each single IP flow without a corresponding IP flow in opposite direction. If a media component comprises separate IP flows for RTP and RTCP, they are described in two separate Media SubComponent. However, if a=rtcp-mux is negotiated, RTP and RTCP use the same IP flow and shall be described in a single MediaSubComponent entry of the "medSubcomps" attribute. The encoding of the "medSubcomps" attribute is described in table 6.2.2
<b>Reservation Priority</b>	The AF may supply or omit the "resPrio" attribute. (NOTE 17)
<b>Codec Data</b>	The "codecs" are provisioned as specified in subclause 5.6.2.7 of 3GPP TS 29.514 [10], including the codec-related information detailed in subclause 5.6.3.2 of 3GPP TS 29.514 [10].
<b>Maximum Packet Loss Rate DL</b>	<pre> IF a= PLR_adapt line is NOT present in both SDP OFFER and ANSWER THEN /* As UE don't support CHEM feature, AF should not use packet loss rates in either the uplink or downlink direction */ maxPacketLossRateDl attribute not supplied ELSE IF P-CSCF serving the OFFERER THEN FOR each RTP payload type of the same media line IF MAXimum-e2e-PLR line is present in the SDP OFFER THEN IF maxUL-PLR is present in the SDP ANSWER maxPacketLossRateDl = value of maxe2e-PLR in the SDP OFFER - maxUL-PLR in the SDP ANSWER ELSE /* maxUL-PLR is not present in the SDP ANSWER */ MaxPacketLossRateDl = the default value is ½ maxe2e-PLR value present in the SDP OFFER ELSE /* MAXimum-e2e-PLR line is not present in the SDP OFFER */ IF maxUL-PLR is present in the SDP ANSWER THEN maxPacketLossRateDl = (the default value is end-to-end Maximum End-to-End Packet Loss Rate for the decoder of the RTP payload type as recommended in 3GPP TS 26.114 [14] subclause X.1.2 for application layer redundancy or X.1.1 for partial redundancy) - maxUL-PLR in the SDP ANSWER ELSE /* maxUL-PLR is not present in the SDP ANSWER */ maxPacketLossRateDl = the default value is ½ end-to-end Maximum End-to-End Packet Loss Rate for the decoder of the RTP payload type as recommended in 3GPP TS 26.114 [14] subclause X.1.2 for application layer redundancy or X.1.1 for partial redundancy ENDIF; ENDIF; END FOR LOOP of each RTP payload type of the same media payload maxPacketLossRateDl = maximum value of Max-PLR-DL among all the RTP payload types ELSE /* For P-CSCF serving the ANSWERER */ FOR each RTP payload type of the same media line IF MAXimum-e2e-PLR line is present in the SDP ANSWER THEN IF maxDL-PLR is present in the SDP ANSWER maxPacketLossRateDl = value of maxDL-PLR in the SDP ANSWER ELSE /* maxDL-PLR is not present in the SDP ANSWER */ maxPacketLossRateDl = the default value is ½ maxe2e-PLR value present in the SDP ANSWER ELSE /* MAXimum-e2e-PLR line is not present in the SDP ANSWER */ maxPacketLossRateDl = the default value is ½ end-to-end Maximum End-to-End Packet Loss Rate for the decoder of the RTP payload type as recommended in 3GPP TS 26.114 [14] subclause X.1.2 for application layer redundancy or X.1.1 for partial redundancy ENDIF; END FOR LOOP of each RTP payload type of the same media payload maxPacketLossRateDl = maximum value of Max-PLR-DL among all the RTP payload types ENDIF; ENDIF; ENDIF; </pre>

Service information per Media Component-Description (see NOTE 1 and NOTE 7)	Derivation from SDP Parameters (see NOTE 2)
<b>Maximum Packet Loss Rate UL</b>	<pre> IF a= PLR_adapt line is NOT present in both SDP OFFER and ANSWER THEN /* As UE don't support CHEM feature, AF should not use packet loss rates in either the uplink or downlink direction */ maxPacketLossRateUl attribute not supplied ELSE IF P-CSCF serving the OFFERER THEN FOR each RTP payload type of the same media line IF MAXimum-e2e-PLR line is present in the SDP ANSWER THEN IF maxDL-PLR is present in the SDP ANSWER maxPacketLossRateUl = value of maxe2e-PLR in the SDP ANSWER - maxDL-PLR in the SDP ANSWER ELSE /* maxDL-PLR is not present in the SDP ANSWER */ maxPacketLossRateUl = the default value is ½ maxe2e-PLR value present in the SDP ANSWER ELSE /* MAXimum-e2e-PLR line is not present in the SDP ANSWER */ maxPacketLossRateUl = the default value is ½ end-to-end Maximum End-to-End Packet Loss Rate for the decoder of the RTP payload type as recommended in 3GPP TS 26.114 [14] subclause X.1.2 for Application layer redundancy or X.1.1 for partial redundancy ENDIF; END FOR LOOP of each RTP payload type of the same media maxPacketLossRateUl = maximum value of Max-PLR-UL among all the RTP payload types ELSE /* For P-CSCF serving the ANSWERER */ FOR each RTP payload type of the same media line IF MAXimum-e2e-PLR line is present in the SDP OFFER THEN IF maxUL-PLR is present in the SDP ANSWER maxPacketLossRateUl = value of maxUL-PLR in the SDP ANSWER ELSE /* maxUL-PLR is not present in the SDP ANSWER */ maxPacketLossRateUl = the default value is ½ maxe2e-PLR value present in the SDP OFFER ELSE /* MAXimum-e2e-PLR line is not present in the SDP OFFER */ maxPacketLossRateUl = the default value is ½ end-to-end Maximum End-to-End Packet Loss Rate for the decoder of the RTP payload type as recommended in 3GPP TS 26.114 [14] subclause X.1.2 for Application layer redundancy or X.1.1 for partial redundancy ENDIF; END FOR LOOP of each RTP payload type of the same media maxPacketLossRateUl = maximum value of Max-PLR-UL among all the RTP payload types ENDIF; ENDIF; ENDIF; </pre>

Service information per Media Component-Description (see NOTE 1 and NOTE 7)	Derivation from SDP Parameters (see NOTE 2)
<b>Desired-Max-Latency</b>	<pre> IF &lt;SDP direction&gt; = UE originated (NOTE 8) THEN   IF a=3gpp-qos-hint is present and includes a qos-hint-property that   indicates "latency"     IF qos-hint-split-value for "local" is not present       "desMaxLatency" = &lt;qos-hint-end-to-end-value&gt;*0.5     ELSE /* qos-hint-split-value for "local" is present       "desMaxLatency" = &lt;qos-hint-split-value&gt;     ENDIF   ELSE     Attribute not supplied   ENDIF ELSE /* &lt;SDP direction&gt; = UE terminated (NOTE 8)/   IF a=3gpp-qos-hint is present and includes a qos-hint-property that   indicates "latency"     IF qos-hint-split-value for "local" is not present       "desMaxLatency" = &lt;qos-hint-end-to-end-value&gt;*0.5     ELSE /* qos-hint-split-value for "local" is present/       "desMaxLatency" = &lt;qos-hint-end-to-end-value&gt; - &lt;qos-hint-split- value&gt;     ENDIF   ELSE     Attribute not supplied   ENDIF ENDIF </pre>
<b>Desired-Max-Loss</b>	<pre> IF &lt;SDP direction&gt; = UE originated (NOTE 8) THEN   IF a=3gpp-qos-hint is present and includes a qos-hint-property that   indicates "loss"     IF qos-hint-split-value for "local" is not present       "desMaxLoss" = &lt;qos-hint-end-to-end-value&gt;*0.5     ELSE /* qos-hint-split-value for "local" is present/       "desMaxLoss" = &lt;qos-hint-split-value&gt;     ENDIF   ELSE     Attribute not supplied   ENDIF ELSE /* &lt;SDP direction&gt; = UE terminated (NOTE 8)/   IF a=3gpp-qos-hint is present and includes a qos-hint-property that   indicates "loss"     IF qos-hint-split-value for "local" is not present       "desMaxLoss" = &lt;qos-hint-end-to-end-value&gt;*0.5     ELSE /* qos-hint-split-value for "local" is present/       "desMaxLoss" = &lt;qos-hint-end-to-end-value&gt; - &lt;qos-hint-split- value&gt;     ENDIF   ELSE     Attribute not supplied   ENDIF ENDIF </pre>

Service information per Media Component-Description (see NOTE 1 and NOTE 7)	Derivation from SDP Parameters (see NOTE 2)
NOTE 1:	The encoding of the service information is defined in 3GPP TS 29.514 [10].
NOTE 2:	The SDP parameters are described in IETF RFC 4566 [16].
NOTE 3:	The "b=RS:" and "b=RR:" SDP bandwidth modifiers are defined in IETF RFC 3556 [36].
NOTE 4:	As an operator policy to disable forward and/or backward early media, for media with UDP as transport protocol only the "fStatus" attribute may be downgraded by using the gate control procedures defined in the annex B of 3GPP TS 29.514 [10] before a SIP confirmed dialogue is established, i.e. until a 200 (OK) response to an INVITE request is received.
NOTE 5:	If the SDP answer is available when the session information is derived, the direction attributes and port number from the SDP answer shall be used to derive the flow status. However, to enable interoperability with SIP clients that do not understand the inactive SDP attribute, if "a=inactive" was supplied in the SDP offer, this shall be used to derive the flow status. If the SDP answer is not available when the session information is derived, the direction attributes from the SDP offer shall be used.
NOTE 6:	Information from the SDP answer is applicable, if available.
NOTE 7:	The attributes may be omitted if they have been supplied in previous service information and have not changed, as detailed in 3GPP TS 29.514 [10].
NOTE 8:	"Uplink SDP" indicates that the SDP was received from the UE and sent to the network. This is equivalent to <SDP direction> = UE originated. "Downlink SDP" indicates that the SDP was received from the network and sent to the UE. This is equivalent to <SDP direction> = UE terminated.
NOTE 9:	Support for TCP at a P-CSCF acting as AF is only required if services with TCP transport are used in the corresponding IMS system. As an operator policy to disable forward and/or backward early media, for media with TCP as transport protocol, the "maxBwUI"/"maxBwDI" attribute values may be downgraded before a SIP confirmed dialogue is established, i.e. until a 200 (OK) response to an INVITE request is received. Only a small bandwidth in both directions is required in this case in order for TCP control packets to flow.
NOTE 10:	TCP uses IP flows in the directionality opposite to the transferred media for feedback. To enable these flows, a small bandwidth in this direction is required.
NOTE 11:	TIAS is defined in IETF RFC 3890 [37]. IETF RFC 3890 subclause 6.4 provides procedures for converting TIAS to transport-dependant values. This procedure relies on the presence of maxprate (also defined in IETF RFC 3890).
NOTE 12:	Multiplexed RTP/RTCP flows need to have "fStatus" attribute set to "ENABLED" in order to always permit the RTCP traffic.
NOTE 13:	RTP/RTCP multiplexing is defined in IETF RFC 5761 [38].
NOTE 14:	This attribute is derived from the SDP answer information and is omitted if E2EQOSMTSI feature is not supported.
NOTE 15:	When both "b =" line and "a=bw-info" including MaxSupBw are present when sending the SDP, it is expected that the values are aligned.
NOTE 16:	When the supplied bandwidth does not correspond to the bandwidth applicable to the IP version used by the UE, the AF shall re-compute it considering the IP version used by the UE as defined in 3GPP TS 26.114 [14].
NOTE 17:	When the AF recognizes the need to request prioritized access to system resources, the AF shall include the "resPrio" attribute as described in 3GPP TS 29.514 [10]. Various mechanisms used by the P-CSCF to determine if the request is eligible for priority treatment are specified in subclause 4.11 of 3GPP TS 24.229 [41] (e.g. based on the Resource Priority header field as described in IETF RFC 4412 [42] or a special dialstring contained in the SIP message).

**Table 7.2.3-2: Rules for derivation of Media SubComponent from SDP media component**

<b>Service information per Media SubComponent (see NOTE 1 and NOTE 5)</b>	<b>Derivation from SDP Parameters (see NOTE 2)</b>
<b>Flow Number</b>	The AF shall assign a number to the media subcomponent that is unique within the surrounding media component entries included in a "medComponents" attribute and for the entire lifetime of the AF session. The AF shall select the ordinal number of the IP flow(s) within the "m=" line assigned in the order of increasing downlink destination port numbers, if downlink destination port numbers are available. For uplink or inactive unicast media IP flows, a downlink destination port number is nevertheless available, if SDP offer-answer according to IETF RFC 3264 [43] is used. The AF shall select the ordinal number of the IP flow(s) within the "m=" line assigned in the order of increasing uplink destination port numbers, if no downlink destination port numbers are available.
<b>Flow Status</b>	Attribute not supplied
<b>Max Requested Bandwidth UL</b>	Attribute not supplied
<b>Max Requested Bandwidth DL</b>	Attribute not supplied

<b>Flow Description</b>	<p>For uplink and downlink direction, a Flow Description entry within the "fDescs" attribute shall be provided unless no IP Flows in this direction are described within the media component.</p> <p>If UDP is used as transport protocol, the SDP direction attribute (NOTE 4) indicates the direction of the media IP flows within the media component as follows:</p> <pre> IF a=recvonly THEN (NOTE 3)   IF &lt;SDP direction&gt; = UE originated (NOTE 7) THEN     Provide only downlink entry within the "fDescs" attribute   ELSE /* UE terminated (NOTE 7) */     Provide only uplink entry within "fDescs" attribute   ENDF; ELSE   IF a=sendonly THEN (NOTE 3)     IF &lt;SDP direction&gt; = UE originated (NOTE 7) THEN       Provide only uplink entry within the "fDescs" attribute     ELSE /* UE terminated (NOTE 7) */       Provide only downlink entry within the "fDescs" attribute     ENDF;   ELSE /* a=sendrecv or a=inactive or no direction attribute */     Provide uplink and downlink for "fDescs" attribute   ENDF; ENDIF; </pre> <p>However, for RTCP and RTP/RTCP multiplexed IP flows uplink and downlink Flow Description entries within "fDescs" attribute shall be provided irrespective of the SDP direction attribute.</p> <p>If TCP is used as transport protocol (NOTE 8), IP flows in uplink and downlink direction are described in SDP irrespective of the SDP direction attribute, as TCP uses an IP flow for feedback even if contents are transferred only in the opposite direction. Thus, both uplink and downlink Flow Description entries within "fDescs" attribute shall be provided.</p> <p>The uplink destination address shall be copied from the "c=" line of downlink SDP. (NOTE 6) (NOTE 7)  The uplink destination port shall be derived from the "m=" line of downlink SDP. (NOTE 6) (NOTE 7) However, for TCP transport the uplink destination port shall be wildcarded, if the local UE is the passive endpoint (NOTE 9)</p> <p>The downlink destination address shall be copied from the "c=" line of uplink SDP. (NOTE 6) However, a P-CSCF acting as AF and applying NAT traversal procedures in Annex C shall derive the downlink destination address using those procedures.  The downlink destination port shall be derived from the "m=" line of uplink SDP. (NOTE 6) (NOTE 7) However, for TCP transport the downlink destination port shall be wildcarded, if the local UE is the active endpoint (NOTE 9). A P-CSCF acting as AF and applying NAT traversal procedures in Annex C shall derive the downlink destination port using those procedures.</p> <p>For IPv6, uplink and downlink source addresses shall either be derived from the prefix of the destination address or be wildcarded by setting to "any", as specified in 3GPP TS 29.514 [10]. However, a P-CSCF acting as AF and applying NAT traversal procedures in Annex B shall derive the uplink source address using those procedures.  If IPv4 is being utilized, the uplink source address shall either be set to the address contained in the "c=" line of the uplink SDP or be wildcarded, and the downlink source address shall either be set to the address contained in the "c=" line of the downlink SDP or be wildcarded. However, for TCP transport, if the local UE is the passive endpoint (NOTE 9), the uplink source address shall not be wildcarded. If the local UE is the active endpoint (NOTE 9), the downlink source address shall not be wildcarded. A P-CSCF acting as AF and applying NAT traversal procedures in Annex C shall derive the uplink source address using those procedures.</p> <p>Source ports shall not be supplied. However, for TCP transport, if the local UE is the passive end point (NOTE 9), the uplink source port shall be derived from the "m=" line of the uplink SDP. If the local UE is the active end point (NOTE 9), the downlink source port shall be derived from the "m=" line of the downlink SDP. A P-CSCF acting as AF and applying NAT traversal procedures in Annex B shall derive the downlink source ports using those procedures.</p> <p>Proto shall be derived from the transport of the "m=" line. For "RTP/AVP" proto is 17(UDP). For "TCP", as defined in IETF RFC 4145 [39], or "TCP/MSRP", as defined in IETF RFC 4975 [40], proto is 6(TCP).</p>
<b>Flow Usage</b>	<p>The "flowUsage" attribute shall be supplied with value "RTCP" if the IP flow(s) described in the Media SubComponent are used to transport RTCP</p>

Service information per Media SubComponent (see NOTE 1 and NOTE 5)	Derivation from SDP Parameters (see NOTE 2)
	only. Otherwise the "flowUsage" attribute shall not be supplied. IETF RFC 4566 [16] specifies how RTCP flows are described within SDP. If the IP flows(s) are used to transport signalling the value should be "AF-SIGNALLING"
<p>NOTE 1: The encoding of the service information is defined in 3GPP TS 29.514 [10].</p> <p>NOTE 2: The SDP parameters are described in IETF RFC 4566 [16].</p> <p>NOTE 3: If the SDP direction attribute for the media component negotiated in a previous offer-answer exchange was sendrecv, or if no direction attribute was provided, and the new SDP direction attribute sendonly or recvonly is negotiated in a subsequent SDP offer-answer exchange, uplink and downlink within the "fDescs" attribute shall be supplied.</p> <p>NOTE 4: If the SDP answer is available when the session information is derived, the direction attributes from the SDP answer shall be used to derive the flow description. However, to enable interoperability with SIP clients that do not understand the inactive SDP attribute, if "a=inactive" was supplied in the SDP offer, this shall be used. If the SDP answer is not available when the session information is derived, the direction attributes from the SDP offer shall be used.</p> <p>NOTE 5: The attributes may be omitted if they have been supplied in previous service information and have not changed, as detailed in 3GPP TS 29.514 [10].</p> <p>NOTE 6: If the session information is derived from an SDP offer, the required SDP may not yet be available. The corresponding "fDescs" attribute shall nevertheless be included and the unavailable fields (possibly all) shall be wildcarded.</p> <p>NOTE 7: "Uplink SDP" indicates that the SDP was received from the UE and sent to the network. This is equivalent to &lt;SDP direction&gt; = UE originated. "Downlink SDP" indicates that the SDP was received from the network and sent to the UE. This is equivalent to &lt;SDP direction&gt; = UE terminated.</p> <p>NOTE 8: Support for TCP at a P-CSCF acting as AF is only required if services with TCP transport are used in the corresponding IMS system.</p> <p>NOTE 9: For TCP transport, the passive endpoints are derived from the SDP "a=setup" attribute according to the rules in IETF RFC 4145 [39], or, if that attribute is not present, from the rules in IETF RFC 4975 [40].</p>	

## 7.3 QoS parameter mapping Functions at PCF

### 7.3.1 Introduction

The QoS authorization process consists of the derivation of the parameters Authorized 5G QoS Identifier (5QI), Authorized Allocation and Retention Priority (ARP) and Authorized Maximum/Guaranteed Data Rate UL/DL. And such process also includes the derivation of the QoS Notification Control (QNC), Reflective QoS Indication (RQI), Priority Level (PL), Averaging Window (AW) and Maximum Data Burst Volume (MDBV).

When a session is initiated or modified the PCF shall derive Authorized QoS parameters from the service information received from an AF supporting Rx interface or from an AF supporting N5 interface.

### 7.3.2 PCF Interworking with an AF supporting Rx interface

When the AF interworks with the PCF using the Rx interface, the session binding in the PCF shall be always associated to an IP session and the PCF shall derive IP QoS parameters for the related IP flows.

In the case of SIP forking, the various forked responses may have different QoS requirements for the IP flows of the same media component. Each Authorized IP QoS Parameter should be set to the highest value requested for the IP flow(s) of that media component by any of the active forked responses.

**Table 7.3.2-1: Rules for derivation of the Maximum Authorized Data Rates, Authorized Guaranteed Data Rates and Maximum Authorized QoS Class per service data flow or bidirectional combination of service data flows in the PCF**

<b>Authorized QoS Parameter</b>	<b>Derivation from service information (see NOTE 4)</b>
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**Maximum Authorized  
Data Rate DL  
(Max\_DR\_DL) and UL  
(Max\_DR\_UL)**

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IF operator special policy exists THEN
Max_DR_UL:= as defined by operator specific algorithm;
Max_DR_DL:= as defined by operator specific algorithm;

ELSE

IF AF Application Identifier demands application specific data rate
handling THEN
Max_DR_UL:= as defined by application specific algorithm;
Max_DR_DL:= as defined by application specific algorithm;

ELSE IF Codec Data provides Codec information for a codec that is
supported by a specific algorithm (NOTE 5, 12 and 13) THEN
Max_DR_UL:= as defined by specific algorithm;
Max_DR_DL:= as defined by specific algorithm;

ELSE
IF not RTCP flow(s) according to Flow Usage THEN
IF Flow Status indicates "REMOVED" THEN
Max_DR_UL:= 0;
Max_DR_DL:= 0;
ELSE
IF Uplink Flow Description is supplied THEN
IF Maximum UL Supported Bandwidth is present and supported THEN
Max_DR_UL:= Maximum UL Supported Bandwidth;
ELSE IF Maximum UL Requested Bandwidth is present THEN
Max_DR_UL:= Maximum UL Requested Bandwidth;
ELSE
Max_DR_UL:= as set by the operator;
ENDIF;
ELSE
Max_DR_UL:= 0;
ENDIF;

IF Downlink Flow Description is supplied THEN
IF Maximum DL Supported Bandwidth is present and supported THEN
Max_DR_DL:= Maximum DL Supported Bandwidth;
ELSE IF Maximum DL Requested Bandwidth is present THEN
Max_DR_DL:= Maximum DL Requested Bandwidth;
ELSE
Max_DR_DL:= as set by the operator;
ENDIF;
ELSE
Max_DR_DL:= 0;
ENDIF;
ENDIF;

ELSE /* RTCP IP flow(s) */
IF RS Bandwidth is present and RR Bandwidth is present THEN
Max_DR_UL:= (RS Bandwidth + RR Bandwidth);
Max_DR_DL:= (RS Bandwidth + RR Bandwidth);
ELSE
IF Maximum UL Requested Bandwidth is present THEN
IF RS Bandwidth is present and RR Bandwidth is not present THEN
Max_DR_UL:= MAX[0.05 * Maximum UL Requested Bandwidth, RS Bandwidth];
ENDIF;

IF RS Bandwidth is not present and RR Bandwidth is present THEN
Max_DR_UL:= MAX[0.05 * Maximum UL Requested Bandwidth, RR Bandwidth];
ENDIF;
IF RS Bandwidth and RR Bandwidth are not present THEN
Max_DR_UL:= 0.05 * Maximum UL Requested Bandwidth;
ENDIF;

ELSE
Max_DR_UL:= as set by the operator;
ENDIF;

IF Maximum DL Requested Bandwidth is present THEN
IF RS Bandwidth is present and RR Bandwidth is not present THEN
Max_DR_DL:= MAX[0.05 * Maximum DL Requested Bandwidth, RS Bandwidth];
ENDIF;

IF RS Bandwidth is not present and RR Bandwidth is present THEN
Max_DR_DL:= MAX[0.05 * Maximum DL Requested Bandwidth, RR Bandwidth];
ENDIF;

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Authorized QoS Parameter	Derivation from service information (see NOTE 4)
	<pre>IF RS Bandwidth and RR Bandwidth are not present THEN   Max_DR_DL:= 0.05 * Maximum DL Requested Bandwidth; ENDIF;  ELSE   Max_DR_DL:= as set by the operator; ENDIF;  ENDIF; ENDIF; ENDIF;  IF SIP Forking Indication indicates "SEVERAL DIALOGUES" THEN   Max_DR_UL = MAX[Max_DR_UL, previous Max_DR_UL]   Max_DR_DL = MAX[Max_DR_DL, previous Max_DR_DL] ENDIF;</pre>

Authorized QoS Parameter	Derivation from service information (see NOTE 4)
<b>Authorized Guaranteed Data Rate DL (Gua_DR_DL) and UL (Gua_DR_UL)</b> (see NOTE 6, 8, 9 and 10)	<pre> IF operator special policy exists THEN   Gua_DR_UL:= as defined by operator specific algorithm;   Gua_DR_DL:= as defined by operator specific algorithm; ELSE   IF AF Application Identifier demands application specific data rate   handling THEN     Gua_DR_UL:= as defined by application specific algorithm;     Gua_DR_DL:= as defined by application specific algorithm;    ELSE IF Codec Data provides Codec information for a codec that is   supported by a specific algorithm (NOTE 5, 12 and 13) THEN     Gua_DR_UL:= as defined by specific algorithm;     Gua_DR_DL:= as defined by specific algorithm;    ELSE     IF Uplink Flow Description is supplied THEN       IF Minimum UL Desired Bandwidth is present and supported THEN         Gua_DR_UL:= Minimum UL Desired Bandwidth;       ELSE IF Minimum UL Requested Bandwidth is present THEN         Gua_DR_UL:= Minimum UL Requested Bandwidth;       ELSE         Gua_DR_UL:= as set by the operator;       ENDIF;      ELSE       Gua_DR_UL:= Max_DR_UL;      ENDIF;      IF Downlink Flow Description is supplied THEN       IF Minimum DL Desired Bandwidth is present and supported THEN         Gua_DR_DL:= Minimum DL Desired Bandwidth;       ELSE IF Minimum DL Requested Bandwidth is present THEN         Gua_DR_DL:= Minimum DL Requested Bandwidth;       ELSE         Gua_DR_DL:= as set by the operator;       ENDIF;      ELSE       Gua_DR_DL:= Max_DR_DL;      ENDIF;   ENDIF; ENDIF;  IF SIP Forking Indication indicates "SEVERAL DIALOGUES" THEN   Gua_DR_UL = MAX[Gua_DR_UL, previous Gua_DR_UL]   Gua_DR_DL = MAX[Gua_DR_DL, previous Gua_DR_DL] ENDIF;           </pre>

Authorized QoS Parameter	Derivation from service information (see NOTE 4)
<b>Authorized 5G QoS Identifier (5QI)</b> (see NOTE 1, 2, 3, 7,14, 15 and 17)	<pre> IF an operator special policy exists THEN   5QI:= as defined by operator specific algorithm;  ELSE IF MPS Identifier demands MPS specific QoS Class handling THEN   5QI:= as defined by MPS specific algorithm (NOTE 11); ELSE IF AF Application Identifier demands application specific QoS Class handling THEN   5QI:= as defined by application specific algorithm;  ELSE IF FLUS-Identifier AVP demands specific QoS Class handling THEN   5QI:= as defined by specific algorithm; (NOTE 16)  ELSE IF Codec Data provides Codec information for a codec that is supported by a specific algorithm THEN   5QI:= as defined by specific algorithm; (NOTE 5) ELSE   /* The following 5QI derivation is an example of how to obtain the 5QI   values in a 5GS network */   IF Media Type is present THEN     CASE Media Type OF       "audio":    5QI := 1;       "video":    5QI := 2;       "application": 5QI := 1 OR 2;     /* NOTE: include new media types here */      OTHERWISE:   5QI := 9;     /*e.g. for TCP-based generic traffic */      END;   ENDIF; ENDIF;  IF SIP Forking Indication indicates "SEVERAL DIALOGUES" THEN   5QI = MAX[5QI, previous 5QI] ENDIF ;           </pre>

Authorized QoS Parameter	Derivation from service information (see NOTE 4)
NOTE 1:	The 5QI assigned to a RTCP IP flow is the same as for the corresponding RTP media IP flow.
NOTE 2:	When audio or video IP flow(s) are removed from a session, the 5QI shall keep the originally assigned value.
NOTE 3:	When audio or video IP flow(s) are added to a session, the PCF shall derive the 5QI taking into account the already existing media IP flow(s) within the session.
NOTE 4:	The encoding of the service information is defined in 3GPP TS 29.214 [18] and 3GPP TS 29.201 [15]. If AVPs are omitted within a Media Component Description or Media Subcomponent of the service information, the corresponding information from previous service information shall be used, as specified in 3GPP TS 29.214 [18] and 3GPP TS 29.201 [15].
NOTE 5:	3GPP TS 26.234 [19], 3GPP TS 26.114 [14], 3GPP2 C.S0046 [20], and 3GPP2 C.S0055 [21] contain examples of QoS parameters for codecs of interest. The support of any codec specific algorithm in the PCF is optional.
NOTE 6:	Authorized Guaranteed Data Rate DL and UL shall not be derived for non-GBR 5QI values.
NOTE 7:	Recommended 5QI values for standardised 5QI characteristics are shown in table 5.7.4-1 in 3GPP TS 23.501 [2].
NOTE 8:	The PCF may be configured with operator specific preconditions for setting the Authorized Guaranteed Data Rate lower than the corresponding Maximum Authorized Data Rate.
NOTE 9:	For certain services (e.g. DASH services according to 3GPP TS 26.247 [17]), the AF may also provide a minimum required bandwidth so that the PCF can derive an Authorized Guaranteed Data Rate lower than the Maximum Authorized Data Rate.
NOTE 10:	For 5GS, the PCF shall assign an Authorized Guaranteed Data Rate UL/DL value within the limit supported by the serving network.
NOTE 11:	The MPS specific algorithm shall consider various inputs, including the received MPS Identifier and Reservation Priority, for deriving the 5QI.
NOTE 12:	When multiple codecs are supported per media stream (e.g. as part of multi-stream multiparty conferencing media handling are negotiated as described in 3GPP TS 26.114 [14]) the codec specific algorithm shall consider the bandwidth related to each codec when calculating the total bandwidth.
NOTE 13:	3GPP TS 26.114 [14] contains examples of how the Authorized Guaranteed Data Rate and Maximum Authorized Data Rate are assumed to be derived for multi-party multimedia conference media handling support. The support of this behaviour is optional.
NOTE 14:	The PCF may authorize a non-standardized 5QI with explicitly signalled QoS characteristics as defined in subclause 4.2.6.6.3 of 3GPP TS 29.512 [9] or may assign QoS characteristics (e.g. Priority Level, Averaging Window, and Maximum Data Burst Volume) to be used instead of the default QoS characteristics associated with a standardised 5QI value as shown in table 5.7.4-1 in 3GPP TS 23.501 [2].
NOTE 15:	In a network where SRVCC is enabled, the 5QI=1 shall be used for IMS services in accordance to 3GPP TS 23.216 [44]. Non-IMS services using 5QI=1 may suffer service interruption and/or inconsistent service experience if SRVCC is triggered. Triggering SRVCC for WebRTC IMS session will cause service interruption and/or inconsistent service experience when using 5QI=1. Operator policy (e.g. use of specific AF application identifier) may be used to avoid using 5QI 1 for a voice service, e.g. WebRTC IMS session.
NOTE 16:	The "live" uplink streaming algorithm may consider various inputs, including the received FLUS-Identifier AVP, Desired-Max-Latency AVP, Desired-Max-Loss AVP, AF-Application-Identifier and Media-Type AVP for deriving the 5QI. When Desired-Max-Latency AVP and/or Desired-Max-Loss AVP are present, non-authority 5QI mapping may be done according to table 5.7.4-1 in 3GPP TS 23.501 [2].
NOTE 17:	The algorithm to support applications with specific QoS hints (e.g. loss and/or latency demands) may consider various inputs, including the received Desired-Max-Latency AVP, Desired-Max-Loss AVP and AF-Application-Identifier AVP for deriving the 5QI, as shown in table E.0 in 3GPP TS 26.114 [14]. Non-authority 5QI mapping may be done according to table 5.7.4-1 in 3GPP TS 23.501 [2].

The PCF should per ongoing session store the Authorized QoS parameters for each service data flow or bidirectional combination of service data flows (as described within a Media Subcomponent).

If the PCF provides a QoS information associated to a PCC rule it may apply the rules in table 7.3.2-2 to combine the Authorized QoS per service data flow or bidirectional combination of service data flows (as derived according to table 7.3.2-1) for all service data flows described by the corresponding PCC rule.

If the PCF provides a QoS information associated to a PDU session (i.e. QoS flow with default QoS rule), it may apply the rules in table 7.3.2-2 to combine the Authorized QoS per service data flow or bidirectional combination of service data flows (as derived according to table 7.3.2-1) for all service data flows allowed to be transported within the PDU session. It is recommended that the rules in table 7.3.2-2 are applied for all service data flows with corresponding AF session. The PCF may increase the authorized QoS further to take into account the requirements of predefined PCC rules without ongoing AF sessions.

NOTE 1: QoS Information related to Maximum Authorized UL/DL Data Rate provided at PDU session level is not derived based on mapping tables in this subclause, but based on subscription and operator specific policies.

NOTE 2: ARP is always calculated at PCC rule level according to table 7.3.2-2.

**Table 7.3.2-2: Rules for calculating the Maximum Authorized/Guaranteed Data Rates, 5QI and ARP in the PCF**

Authorized QoS Parameter	Calculation Rule
<b>Maximum Authorized Data Rate DL and UL</b>	Maximum Authorized Data Rate DL/UL is the sum of all Maximum Authorized Data Rate DL/UL for all the service data flows or bidirectional combinations of service data flows (as according to table 7.3.2-1).
<b>Guaranteed Authorized Data Rate DL and UL (NOTE 3)</b>	Guaranteed Authorized Data Rate DL/UL is the sum of all Guaranteed Authorized Data Rate DL/UL for all the service data flows or bidirectional combinations of service data flows (as according to table 7.3.2-1).
<b>5QI</b>	5QI = MAX [needed QoS parameters per service data flow or bidirectional combination of service data flows (as operator's defined criteria) among all the service data flows or bidirectional combinations of service data flows.]
<b>ARP (NOTE 1)</b>	<pre> IF an operator special policy exists THEN   ARP:= as defined by operator specific algorithm;  ELSE IF MPS Identifier demands MPS specific ARP handling THEN   ARP:= as defined by MPS specific algorithm (NOTE 2); ELSE IF AF Application Identifier demands application specific ARP   handling THEN   ARP:= as defined by application specific algorithm; ELSE IF Reservation Priority demands application specific ARP handling THEN   ARP:= as defined by application specific algorithm; ENDIF; </pre>
NOTE 1: The ARP priority levels 1-8 should only be assigned to resources for services that are authorized to receive prioritized treatment within an operator domain.	
NOTE 2: The MPS specific algorithm shall consider various inputs, including the received MPS Identifier and Reservation Priority, for deriving the ARP.	
NOTE 3: The PCF may check that the Guaranteed Authorized Data Rate DL/UL does not exceed the limit supported by the serving network to minimize the risk of rejection of the bearer by the serving network.	

### 7.3.3 PCF Interworking with an AF supporting N5 interface

When the AF interworks with the PCF using the N5 interface, the session binding in the PCF shall be associated to an IP session or an Ethernet session, and the PCF shall derive QoS parameters for the related data flows.

**Table 7.3.3-1: Rules for derivation of the Maximum Authorized Data Rates, Authorized Guaranteed Data Rates and Maximum Authorized QoS Class per service data flow or bidirectional combination of service data flows in the PCF**

Authorized QoS Parameter	Derivation from service information (NOTE 4)
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<b>Maximum Authorized Data Rate DL (Max_DR_DL) and UL (Max_DR_UL)</b>	<pre> IF operator special policy exists THEN   Max_DR_UL:= as defined by operator specific algorithm;   Max_DR_DL:= as defined by operator specific algorithm;   (NOTE 8, 9 and 10) ELSE IF afAppId attribute of MediaComponent data type demands application specific data rate handling THEN   Max_DR_UL:= as defined by application specific algorithm;   Max_DR_DL:= as defined by application specific algorithm; ELSE IF codecs attribute of MediaComponent data type provides Codec information for a codec that is supported by a specific algorithm (NOTE 5) THEN   Max_DR_UL:= as defined by specific algorithm;   Max_DR_DL:= as defined by specific algorithm; ELSE IF the qosReference attribute of MediaComponent data type corresponds to a pre-defined QoS information set THEN   Max_DR_UL:= as configured by operator   Max_DR_DL:= as configured by operator; ELSE IF the altSerReqs attribute of MediaComponent data type corresponds to a list of pre-defined QoS information set THEN for each pre-defined QoS information set:   Max_DR_UL:= as configured by operator   Max_DR_DL:= as configured by operator; (NOTE 16) ELSE   IF not RTCP flow(s) according to flowUsage attribute of   MediaSubComponent data type THEN     IF fStatus attribute indicates "REMOVED" THEN       Max_DR_UL:= 0;       Max_DR_DL:= 0;     ELSE       IF Uplink Flow Description is supplied within the fDescs attribute       of the MediaSubComponent data type THEN         IF marBwUl attribute is present THEN           Max_DR_UL:= marBwUl value;         ELSE           Max_DR_UL:= as set by the operator;         ENDIF;       ELSE         Max_DR_UL:= 0;       ENDIF;       IF Downlink Flow Description is supplied within the fDescs attribute       of the MediaSubComponent data type THEN         IF marBwDl attribute is present THEN           Max_DR_DL:= marBwDl value;         ELSE           Max_DR_DL:= as set by the operator;         ENDIF;       ELSE         Max_DR_DL:= 0;       ENDIF;     ENDIF;   ELSE /* RTCP IP flow(s) */     IF fStatus attribute indicates "REMOVED" THEN       Max_DR_UL:= 0;       Max_DR_DL:= 0;     ELSE       IF Uplink Flow Description is supplied within the fDescs attribute       of the MediaSubComponent data type THEN         IF marBwUl attribute is present within the MediaSubComponent data         type THEN           Max_DR_UL:= marBwUl;         ELSEIF marBwUl attribute is present within the MediaComponent         data type THEN           Max_DR_UL:= 0.05 * marBwUl value;         ELSE           Max_DR_UL:= as set by the operator;         ENDIF;       ELSE         Max_DR_UL:= 0;       ENDIF;       IF Downlink Flow Description is supplied within the fDescs attribute       of the MediaSubComponent data type THEN         IF marBwDl attribute is present within the MediaSubComponent data         type THEN           Max_DR_DL:= marBwDl;         ELSEIF marBwDl attribute is present within the MediaComponent         data type THEN           Max_DR_DL:= 0.05 * marBwDl value;         ELSE           Max_DR_DL:= 0;         ENDIF;       ENDIF;     ENDIF;   ENDIF; </pre>
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Authorized QoS Parameter	Derivation from service information (NOTE 4)
	<pre>Max_DR_DL:= as set by the operator; ENDIF; ELSE Max_DR_DL:= 0; ENDIF; ENDIF; ENDIF; ENDIF;</pre>

Authorized QoS Parameter	Derivation from service information (NOTE 4)
<b>Authorized Guaranteed Data Rate DL (Gua_DR_DL) and UL (Gua_DR_UL)</b>	<pre> IF operator special policy exists THEN   Gua_DR_UL:= as defined by operator specific algorithm;   Gua_DR_DL:= as defined by operator specific algorithm;  ELSE IF afAppId attribute of MediaComponent data type demands application specific data rate handling THEN   Gua_DR_UL:= as defined by application specific algorithm;   Gua_DR_DL:= as defined by application specific algorithm; ELSE IF codecs attribute of MediaComponent data type provides Codec information for a codec that is supported by a specific algorithm (NOTE 5) THEN   Gua_DR_UL:= as defined by specific algorithm;   Gua_DR_DL:= as defined by specific algorithm; ELSE IF the qosReference attribute of MediaComponent data type corresponds to a pre-defined QoS information set THEN   Gua_DR_UL:= as configured by operator   Gua_DR_DL:= as configured by operator; ELSE IF the altSerReqs attribute of MediaComponent data type corresponds to a list of pre-defined QoS information set THEN for each pre-defined QoS information set:   Gua_DR_UL:= as configured by operator   Gua_DR_DL:= as configured by operator; (NOTE 16) ELSE   IF fStatus attribute indicates "REMOVED" THEN     Max_DR_UL:= 0;     Max_DR_DL:= 0;   ELSE     IF Uplink Flow Description is supplied within the fDescs attribute of the MediaSubComponent data type THEN       IF mirBwUl attribute is present THEN         Gua_DR_UL:= mirBwUl value;       ELSE IF corresponding operator policy exists         Gua_DR_UL:= as set by the operator;       ELSE         Gua_DR_UL:= Max_DR_UL;       ENDIF;     ELSE       Gua_DR_UL:= 0;     ENDIF;     IF Downlink Flow Description is supplied within the fDescs attribute of the MediaSubComponent data type THEN       IF mirBwDl attribute is present THEN         Gua_DR_DL:= mirBwDl value;       ELSE IF corresponding operator policy exists         Gua_DR_DL:= as set by the operator;       ELSE         Gua_DR_DL:= Max_DR_DL;       ENDIF;     ELSE       Gua_DR_DL:= 0;     ENDIF;   ENDIF; ENDIF; </pre>

Authorized QoS Parameter	Derivation from service information (NOTE 4)
<b>Authorized 5G QoS Identifier (5QI)</b> (see NOTE 1, 2, 3, 7, 12, 14 and 17)	<pre> IF an operator special policy exists THEN   5QI:= as defined by operator specific algorithm; ELSE IF mpsId attribute demands MPS specific QoS Class handling THEN   5QI:= as defined by MPS specific algorithm (NOTE 11); ELSE IF mcsId attribute demands MCS specific QoS Class handling THEN   5QI:= as defined by MCS specific algorithm (NOTE 13); ELSE IF AF Application Identifier demands application specific QoS Class handling THEN   5QI:= as defined by application specific algorithm; ELSE IF flusId attribute demands specific QoS Class handling THEN   5QI:= as defined by specific algorithm; (NOTE 15) ELSE IF codecs attribute of MediaComponent data type provides Codec information for a codec that is supported by a specific algorithm THEN   5QI:= as defined by specific algorithm; (NOTE 5) ELSE IF the qosReference attribute of MediaComponent data type corresponds to a pre-defined QoS information set THEN   5QI:= as configured by operator; ELSE IF the altSerReqs attribute of MediaComponent data type corresponds to a list of pre-defined QoS information set THEN for each pre-defined QoS information set:   5QI:= as configured by operator; (NOTE 16) ELSE   /* The following 5QI derivation is an example of how to obtain the 5QI   values in a 5GS network */   IF the medType attribute of MediaComponent data type is present THEN     CASE medType value OF       "audio":    5QI := 1;       "video":    5QI := 2;       "application": 5QI := 1 OR 2;       OTHERWISE:  5QI := 9; /*e.g. for TCP-based generic traffic */     END;   ENDIF; ENDIF;           </pre>

Authorized QoS Parameter	Derivation from service information (NOTE 4)
NOTE 1:	The 5QI assigned to a RTCP IP flow is the same as for the corresponding RTP media IP flow.
NOTE 2:	When audio or video IP flow(s) are removed from a session, the 5QI shall keep the originally assigned value.
NOTE 3:	When audio or video IP flow(s) are added to a session, the PCF shall derive the 5QI taking into account the already existing media IP flow(s) within the session.
NOTE 4:	The encoding of the service information is defined in 3GPP TS 29.514 [10].
NOTE 5:	3GPP TS 26.234 [19], 3GPP TS 26.114 [14], 3GPP2 C.S0046 [20], and 3GPP2 C.S0055 [21] contain examples of QoS parameters for codecs of interest. The support of any codec specific algorithm in the PCF is optional.
NOTE 6:	Authorized Guaranteed Data Rate DL and UL shall not be derived for non-GBR 5QI values.
NOTE 7:	Recommended 5QI values for standardised 5QI characteristics are shown in table 5.7.4-1 in 3GPP TS 23.501 [2].
NOTE 8:	The PCF may be configured with operator specific preconditions for setting the Authorized Guaranteed Data Rate lower than the corresponding Maximum Authorized Data Rate.
NOTE 9:	For certain services (e.g. DASH services according to 3GPP TS 26.247 [17]), the AF may also provide a minimum required bandwidth so that the PCF can derive an Authorized Guaranteed Data Rate lower than the Maximum Authorized Data Rate.
NOTE 10:	The PCF shall assign an Authorized Guaranteed Data Rate UL/DL value within the limit supported by the serving network.
NOTE 11:	The MPS specific algorithm shall consider various inputs, including the received mpsId and resPrio attributes, for deriving the 5QI.
NOTE 12:	The PCF may authorize a non-standardized 5QI with explicitly signalled QoS characteristics as defined in subclause 4.2.6.6.3 of 3GPP TS 29.512 [9] or may assign QoS characteristics (e.g. Priority Level, Averaging Window, and Maximum Data Burst Volume) to be used instead of the default QoS characteristics associated with a standardised 5QI value as shown in table 5.7.4-1 in 3GPP TS 23.501 [2].
NOTE 13:	The MCS specific algorithm shall consider various inputs, including the received mcsId and resPrio attributes, for deriving the 5QI.
NOTE 14:	In a network where SRVCC is enabled, the 5QI=1 shall be used for IMS services in accordance to 3GPP TS 23.216 [44]. Non-IMS services using 5QI=1 may suffer service interruption and/or inconsistent service experience if SRVCC is triggered. Triggering SRVCC for WebRTC IMS session will cause service interruption and/or inconsistent service experience when using 5QI=1. Operator policy (e.g. use of specific AF application identifier) may be used to avoid using 5QI 1 for a voice service, e.g. WebRTC IMS session.
NOTE 15:	The "live" uplink streaming algorithm may consider various inputs, including the received flusId attribute, desMaxLatency attribute, desMaxLoss attribute, afApplId attribute and medType attribute for deriving the 5QI. When desMaxLatency attribute and/or desMaxLoss attribute are present, non-authority 5QI mapping may be done according to table 5.7.4-1 in 3GPP TS 23.501 [2].
NOTE 16:	The PCF may authorize one or more alternative parameter set(s) if the alternative QoS reference(s) is received.
NOTE 17:	The algorithm to support applications with specific QoS hints (e.g. loss and/or latency demands) may consider various inputs, including the received desMaxLatency attribute, desMaxLoss attribute and afApplId attribute for deriving the 5QI, as shown in table E.0 in 3GPP TS 26.114 [14]. Non-authority 5QI mapping may be done according to table 5.7.4-1 in 3GPP TS 23.501 [2].

The PCF should per ongoing session store the Authorized QoS parameters for each service data flow or bidirectional combination of service data flows (as described within a medComponents attribute).

If the PCF provides a QoS information associated to a PCC rule it may apply the rules in table 7.3.3-2 to combine the Authorized QoS per service data flow or bidirectional combination of service data flows (as derived according to table 7.3.3-1) for all service data flows described by the corresponding PCC rule.

If the PCF provides a QoS information associated to a PDU session (i.e. QoS flow with default QoS rule), it may apply the rules in table 7.3.3-2 to combine the Authorized QoS per service data flow or bidirectional combination of service data flows (as derived according to table 7.3.3-1) for all service data flows allowed to be transported within the PDU session. It is recommended that the rules in table 7.3.3-2 are applied for all service data flows with corresponding AF session. The PCF may increase the authorized QoS further to take into account the requirements of predefined PCC rules without ongoing AF sessions.

NOTE 1: QoS Information related to Maximum Authorized UL/DL Data Rate provided at PDU session level is not derived based on mapping tables in this subclause, but based on subscription and operator specific policies.

NOTE 2: ARP is always calculated at PCC rule level according to table 7.3.3-2.

**Table 7.3.3-2: Rules for calculating the Maximum Authorized/Guaranteed Data Rates, 5QI and ARP in the PCF**

Authorized QoS Parameter	Calculation Rule
<b>Maximum Authorized Data Rate DL and UL</b>	Maximum Authorized Data Rate DL/UL is the sum of all Maximum Authorized Data Rate DL/UL for all the service data flows or bidirectional combinations of service data flows (as according to table 7.3.3-1).
<b>Guaranteed Authorized Data Rate DL and UL</b>	Guaranteed Authorized Data Rate DL/UL is the sum of all Guaranteed Authorized Data Rate DL/UL for all the service data flows or bidirectional combinations of service data flows (as according to table 7.3.3-1). (NOTE 3)
<b>5QI</b>	5QI = MAX [needed QoS parameters per service data flow or bidirectional combination of service data flows (as operator's defined criteria) among all the service data flows or bidirectional combinations of service data flows.]
<b>ARP</b>	<pre> IF an operator special policy exists THEN   ARP:= as defined by operator specific algorithm; ELSE IF mpsId attribute demands MPS specific ARP handling THEN   ARP:= as defined by MPS specific algorithm (NOTE 2); ELSE IF mcsId attribute demands MCS specific ARP handling THEN   ARP:= as defined by MCS specific algorithm (NOTE 4); ELSE IF AF Application Identifier demands application specific ARP   handling THEN   ARP:= as defined by application specific algorithm; ELSE IF Reservation Priority demands application specific ARP handling THEN   ARP:= as defined by application specific algorithm; ELSE IF the qosReference attribute of MediaComponent data type corresponds to a pre-defined QoS information set THEN   ARP:= as configured by operator ENDIF; (NOTE 1) </pre>
<p>NOTE 1: The ARP priority levels 1-8 should only be assigned to resources for services that are authorized to receive prioritized treatment within an operator domain.</p> <p>NOTE 2: The MPS specific algorithm shall consider various inputs, including the received mpsId and resPrio attributes, for deriving the ARP.</p> <p>NOTE 3: The PCF may check that the Guaranteed Authorized Data Rate DL/UL does not exceed the limit supported by the serving network to minimize the risk of rejection of the bearer by the serving network.</p> <p>NOTE 4: The MCS specific algorithm shall consider various inputs, including the received mcsId and resPrio attributes, for deriving the ARP.</p>	

## 7.4 QoS parameter mapping Functions at SMF

**Table 7.4.1: Rules for derivation of the Authorized QoS Parameters per QoS flow from the Authorized QoS Parameters in SMF**

Authorized QoS Parameter per QoS flow (NOTE 1)	Derivation from Authorized QoS Parameters
<b>Maximum Authorized Bandwidth DL and UL per QoS flow</b>	Maximum Authorized Bandwidth DL/UL per QoS flow = Sum of Maximum Authorized Data Rate DL/UL for all PCC rules bound to that QoS flow
<b>Guaranteed Authorized Data Rate DL and UL per QoS flow</b>	Guaranteed Authorized Data Rate DL/UL per QoS flow = Sum of Guaranteed Authorized Data Rate DL/UL for all PCC rules bound to that QoS flow
<b>Session-AMBR DL and UL</b>	For all non-GBR QoS flows, Session-AMBR DL/UL is applied.
<b>5QI</b>	5QI from PCC rules having the same value combination of 5QI/ARP/QNC/PL/AW/MBDV/Alternative QoS Parameter Set(s) is used.
<b>ARP</b>	ARP from PCC rules having the same value combination of 5QI/ARP/QNC/PL/AW/MBDV/Alternative QoS Parameter Set(s) is used.
<b>QNC</b>	QNC from PCC rules having the same value combination of 5QI/ARP/QNC/PL/AW/MBDV/Alternative QoS Parameter Set(s) is used.
<b>Priority Level (PL)</b>	PL from PCC rules having the same value combination of 5QI/ARP/QNC/PL/AW/MBDV/Alternative QoS Parameter Set(s) is used.
<b>Averaging Window (AW)</b>	AW from PCC rules having the same value combination of 5QI/ARP/QNC/PL/AW/MBDV/Alternative QoS Parameter Set(s) is used. Applicable for GBR QoS flow.
<b>Maximum Data Burst Volume (MBDV)</b>	MBDV from PCC rules having the same value combination of 5QI/ARP/QNC/PL/AW/MBDV/Alternative QoS Parameter Set(s) is used. Applicable for GBR QoS flow of delay critical type.
<b>RQI</b>	RQI from PCC rules is used per service data flow. Applicable for non-GBR QoS flows.
<b>Maximum Packet Loss Rate DL and UL per QoS flow</b>	Minimum maximum packet loss rate DL/UL among all PCC rules bound to that QoS flow. Applicable for GBR QoS flows.
<b>NOTE:</b>	For unstructured PDU session type, only default 5QI and ARP of the QoS Flow associated with the default QoS rule, and Session-AMBR are applicable.

## 8 PCF addressing

### 8.1 General

The PCF discovery and selection procedures are needed when there are multiple and separately addressable PCFs in a PLMN. It is also possible that a PCF may serve only specific DN(s).

These procedures correlate the AF service session establishment over N5 or Rx with the associated PDU session (Session binding) handled over N7.

These procedures enable the AMF and SMF to address the PCF.

These procedures enable the NEF to address the PCF.

The SCP is involved in the case of delegated discovery and selection.

### 8.2 PCF discovery and selection by the AMF

PCF discovery and selection functionality is implemented in the AMF and the SCP, and follows the principles described in 3GPP TS 23.501 [2], subclause 6.3.1. The AMF uses the PCF services for a UE.

When the AMF performs discovery and selection for a UE, the AMF may utilize the Nnrf\_NFDiscovery service of the NRF to discover the candidate PCF instance(s). In addition, PCF information may also be locally configured on AMF. The AMF selects a PCF instance, or two when roaming, based on the available PCF instances (obtained from the NRF or locally configured in the AMF), depending on operator's policies.

In the non-roaming case, the AMF selects a PCF instance for AM policy association and selects the same PCF instance for UE policy association. In the roaming case, the AMF selects a V-PCF instance for AM policy association and selects the same V-PCF instance for UE policy association. The following factors may be considered at PCF discovery and selection for Access and Mobility policies and UE policies:

- SUPI; the AMF selects a PCF instance based on the SUPI range the UE's SUPI belongs to or based on the results of a discovery procedure with NRF using the UE's SUPI as input for PCF discovery.
- GPSI; the AMF selects a PCF instance based on the GPSI range the UE's GPSI belongs to or based on the results of a discovery procedure with NRF using the UE's GPSI as input for PCF discovery.
- S-NSSAI(s). In the roaming case, the AMF selects the V-PCF instance based on the S-NSSAI(s) of the VPLMN and selects the H-PCF instance based on the S-NSSAI(s) of the HPLMN.
- PCF Set ID.
- PCF Group ID of the UE's SUPI.

NOTE 1: The AMF can infer the PCF Group ID the UE's SUPI belongs to or UE's GPSI belongs to, based on the results of PCF discovery procedures with NRF. The AMF provides the PCF Group ID to other PCF NF consumers as described in TS 23.502 [3].

- The features supported by the PCF (e.g. the PCF supporting the "DNNReplacementControl" feature is selected by the AMF supporting DNN replacement).

In the case of delegated discovery and selection in the SCP, the AMF shall include the above factors if available in the first request to the PCF within the "3gpp-Sbi-Discovery-\*" request headers as specified in 3GPP TS 29.500 [5], subclause 6.10.3.2.

In the following scenarios, information about the PCF that has been selected by the AMF (e.g. the selected PCF instance Id, and if available the PCF Group ID) can be forwarded to another NF:

- During AMF relocation, the target AMF may receive a resource URI of AM Policy association and/or a resource URI of UE Policy association from the source AMF and if available the PCF Group ID to enable the target AMF to reuse the same PCF instance (i.e. reuse the resource of the AM Policy association and/or UE Policy association), and the target AMF may decide based on operator policy either to re-use the AM/UE Policy Association in the same PCF instance or select a new PCF instance.
- In the roaming case, the AMF may, based on operator policies, e.g. roaming agreement, select the H-PCF in addition to the V-PCF for a UE by performing the PCF discovery and selection as described above. The AMF sends the selected H-PCF instance Id to the V-PCF during the UE Policy association establishment procedure.

In these scenarios, if the target AMF performs discovery and selection, the target AMF may use the received PCF information instead of performing PCF selection interacting with the NRF as described above (discovery may still be needed depending on what level of information is sent by the AMF, e.g. the address of the PCF instance may not be present)

In addition, in the case of delegated discovery and selection in the SCP, the following applies:

- a) The selected PCF instance may include the PCF Group ID in the response to the AMF.

NOTE 2: The selected (V-)PCF instance can include the binding indication, including the (V-)PCF ID and possibly PCF Set ID in the response to the AMF.

- b) The AMF first establishes an AM policy association; when forwarding the related request message, the SCP discovers and selects a (V-)PCF instance for AM policy association. Unless binding information is provided in the response of the PCF to that request, the SCP adds the PCF instance ID it selected into the response to the AMF. The AMF uses the received (V-)PCF instance Id for the AM policy association and available binding information within the "3gpp-Sbi-Discovery-\*" request headers for the request to establish the UE policy

association. The SCP selects the corresponding (V-)PCF instance for UE policy association based on the received discovery and selection parameters.

- c) During AMF relocation, the target AMF may receive a resource URI of AM Policy association and/or a resource URI of UE Policy association from the source AMF and if available a PCF Group ID from the source AMF to enable the target to reuse the same PCF instance. The AMF may decide based on operator policy either to use the old PCF instance or select another PCF instance (i.e. reuse the resource of the AM Policy association and/or UE Policy association). If the target AMF decides to use the old PCF instance, the AMF includes the {apiRoot} of the resource URI within the "3gpp-Sbi-Target-apiRoot" request header, and if available the PCF Group ID within the "3gpp-Sbi-Discovery-\*" request header as received from the source AMF in the AM policy update request and/or UE policy update request to the PCF via the SCP.
- d) In the roaming case, the AMF performs discovery and selection of the H-PCF from NRF as described in this subclause. The AMF may indicate the maximum number of H-PCF instances to be returned from NRF, i.e. H-PCF selection at NRF. The AMF uses the received V-PCF instance Id for AM Policy association and available binding information received during the AM policy association procedure as described in bullet b) above to send the UE policy association establishment request, which also includes the selected H-PCF instance Id, to the V-PCF via the SCP. The SCP discovers and selects the V-PCF instance. The V-PCF sends an UE policy association establishment request towards the HPLMN, which includes the selected H-PCF instance Id within the "3gpp-Sbi-Discovery-\*" request header as a discovery and selection parameter to the H-PCF via the SCP.

## 8.3 PCF discovery and selection by the SMF

PCF discovery and selection functionality is implemented in the SMF and the SCP, and follows the principles described in 3GPP TS 23.501 [2], subclause 6.3.1. The SMF uses the PCF services for a PDU session. The selected PCF instance may be the same or a different one than the PCF instance used by the AMF.

When the SMF performs discovery and selection for a PDU session, the SMF may utilize the Nnrf\_NFDiscovery service of the Network Repository Function to discover the candidate PCF instance(s). In addition, PCF information may also be locally configured on SMF. The SMF selects a PCF instance based on the available PCF instances (obtained from the NRF or locally configured in the SMF). The following factors may be considered during the PCF selection.

- Local operator policies.
- Selected Data Network Name (DNN).
- S-NSSAI of the PDU session. In the LBO roaming case, the SMF selects the PCF instance based on the S-NSSAI of the VPLMN. In the home routed roaming case, the H-SMF selects the H-PCF instance based on the S-NSSAI of the HPLMN.
- the feature supported by the PCF (e.g. a PCF supporting the "ATSSS" feature is selected for an MA PDU session).
- SUPI; the SMF selects a PCF instance based on the SUPI range the UE's SUPI belongs to or based on the results of a discovery procedure with NRF using the UE's SUPI as input for PCF discovery.
- GPSI; the SMF selects a PCF instance based on the GPSI range the UE's GPSI belongs to or based on the results of a discovery procedure with NRF using the UE's GPSI as input for PCF discovery.
- PCF selected by the AMF for the UE if available.
- The PCF Group ID provided by the AMF to the SMF.
- PCF Set ID.

In the case of delegated discovery and selection in SCP, the SMF shall include the above factors except the local operator policies if available in the first request, within the "3gpp-Sbi-Discovery-\*" request headers as specified in 3GPP TS 29.500 [5], subclause 6.10.3.2.

The AMF may, based on operator policies, forward the selected PCF instance and if available the PCF Group ID to the SMF during the PDU Session Establishment procedure to enable the usage of the same PCF instance for the AMF and the SMF.

In this scenario, when the SMF performs discovery and selection, the SMF may decide based on operator policy either to use the same PCF instance or select a new PCF instance. If the same PCF instance is selected by the SMF, the PCF discovery and selection procedure described above is not performed (discovery may still be needed to obtain the address of the PCF instance).

In the case of delegated discovery and selection in the SCP, the SMF may include the received PCF instance Id and if available the PCF Group ID within the "3gpp-Sbi-Discovery-\*" request headers in the request to the PCF via the SCP. The SCP may decide based on operator policy either to use the indicated PCF instance or select another PCF instance.

When the feature "SamePcf" is supported the selected PCF may indicate redirection for the SM Policy Control association creation to a different PCF, including the redirection URI with the FQDN or IP endpoint of the target Npcf\_SMPolicyControl service in a different PCF instance. The SMF shall behave as follows:

- For direct communication scenarios, at the reception of the redirection request, the SMF shall terminate the current SM Policy Control association creation and shall reselect a PCF based on the received redirection information. The SMF shall then establish an SM Policy Control association with the reselected PCF.
- For indirect communication scenarios with delegated discovery and selection, the SCP, based on local policies, as specified in 3GPP TS 29.500 [5], subclause 6.10.9.1, may send the request towards the new PCF instance instead of forwarding the redirect request to the SMF. If the redirect request is received by the SMF, the SMF shall terminate the current SM Policy Control association creation and shall reselect a PCF based on the received redirection information. The SMF shall then establish an SM Policy Control association with the reselected PCF using the same or a different SCP and including the {apiRoot} of the received URI within the "3gpp-Sbi-Target-apiRoot" request header.

## 8.4 PCF discovery and selection by the AF

### 8.4.1 General

When multiple and separately addressable PCFs have been deployed, the BSF is required in order to ensure that an AF for a certain PDU session reaches over N5/Rx the PCF holding the PDU session information. The AF can also select a PCF based on local configuration for Ethernet PDU sessions.

### 8.4.2 Binding Support Function (BSF)

The BSF has the following characteristics:

- a) For a certain PDU session, the BSF stores internally information about the user identity, the DNN, the UE (IP or Ethernet) address(es), S-NSSAI, the IPv4 address domain (if applicable) and the selected PCF address, and if available the associated PCF instance ID, PCF set ID and the level of SBA binding.

NOTE 1: Only NF instance or NF set of level of binding is supported at the BSF for SBA binding level of Npcf\_PolicyAuthorization service.

NOTE 2: How to ensure the routing of the Npcf\_SMPolicyControl\_Create service operation to the appropriate PCF instance when the "SamePcf" feature is supported depends on the implementation. b) The PCF utilizes the Nbsf\_Management service of the BSF to register, update or remove the stored information in the BSF.

- The PCF ensures that the binding information is updated each time an IP address is allocated or released for the PDU Session or, for Ethernet PDU Sessions, each time the PCF is notified that a MAC address is taken into use or no more used in the PDU Session or, each time the PCF instance is changed.
  - Based on operator's policies and configuration and if the "SamePcf" feature is supported, the PCF determines whether the same PCF shall be selected for the SM Policy associations to a parameter combination (e.g. same SUPI, S-NSSAI and DNN combination) in the non-roaming or home-routed scenario. If yes, the PCF includes the parameter combination in the register request. If no such PCF is found the BSF stores the information in the request; otherwise, the BSF rejects the register request and includes the existing PCF address information hosting the Npcf\_SMPolicyControl service in the response (see subclause 4.2.2.2 of 3GPP TS 29.521 [22]).
- c) For the retrieval of binding information, any NF, such as NEF or AF, that needs to discover the selected PCF address(es), and if available, the associated PCF instance ID, PCF set ID and the level of SBA binding for the

tuple (UE address, DNN, SUPI, GPSI, S-NSSAI, IPv4 address domain) (or for a subset of this tuple) uses the Nbsf\_Management\_Discovery service operation as defined in 3GPP TS 29.521 [22].

- d) If the NF received a PCF set ID or a PCF instance ID with a level of SBA binding as result of the Nbsf management service discovery service operation, it should use that information as NF set level or NF instance level SBA Binding Indication to route requests to the PCF.
- e) For an ongoing NF service session, the PCF may provide SBA Binding Indication to the NF (see clause 6.3.1.0 of TS 23.501 [2]). This SBA Binding Indication shall then be used instead of any PCF information received from the BSF.
- f) The BSF is able to proxy or redirect Rx requests based on the IP address of a UE. For any AF using Rx, such as P-CSCF, the BSF determines the selected PCF address according to the information carried by the incoming Rx requests.

It shall support the functionality of a proxy agent and a redirect agent as defined in IETF RFC 6733 [29]. The mode in which it operates (i.e. proxy or redirect) shall be based on operator's requirements.

- g) The BSF may be deployed standalone or may be collocated with other network functions such as the PCF, UDR, NRF, and SMF.

NOTE 3: Collocation allows combined implementation.

- h) The NF may discover the BSF via NRF by invoking the Nnrf\_NFDiscovery service operation or based on local configuration. In case of via NRF the BSF registers the NF profile in NRF. The Range(s) of UE IPv4 addresses, Range(s) of UE IPv6 prefixes supported by the BSF may be provided to NRF.

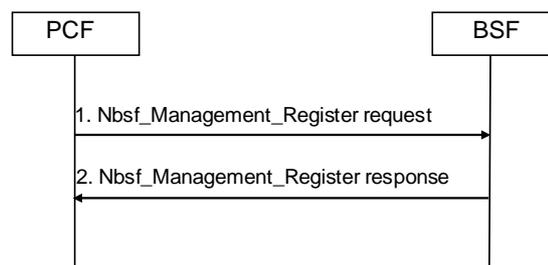
## 8.5 BSF procedures

### 8.5.1 General

These procedures concern the storage of binding information in the BSF and the retrieval of binding information from the BSF.

This subclause also concerns the BSF procedures over Rx reference point. Subclause 8.5.5 is for the BSF implemented as a Diameter Proxy Agent, and subclause 8.5.6 is for the BSF implemented as a Diameter Redirect Agent.

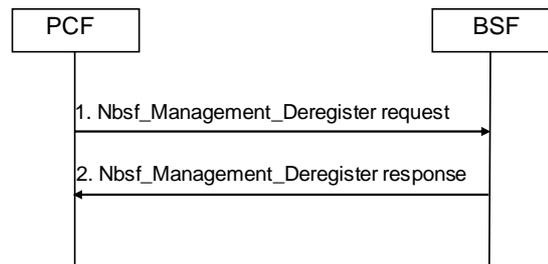
### 8.5.2 Binding information Creation



**Figure 8.5.2-1: Binding information Creation procedure**

1. When an IP address is allocated for the IP PDU session, or a MAC address is used for the Ethernet PDU session, the PCF invokes the Nbsf\_Management\_Register service operation by sending the HTTP POST request with Resource URI of the resource "PCF Session Bindings" to store the binding information in the BSF. The binding information provided in the HTTP POST request is defined in subclause 4.2.2.2 of 3GPP TS 29.521 [22].
2. The BSF sends an HTTP "201 Created" response to the PCF and stores the binding information.

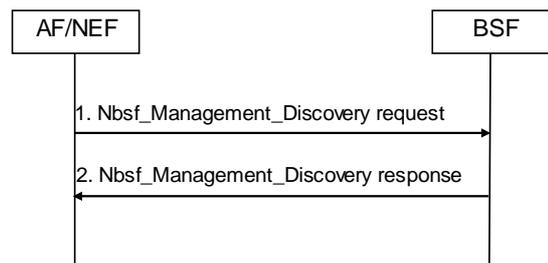
### 8.5.3 Binding information Deletion



**Figure 8.5.3-1: Binding information Deletion procedure**

1. When the IP address is released or the MAC address is not used for a certain PDU session and there is no IP address or MAC address applicable to a corresponding binding information (e.g. the IP address or the MAC address is the unique address for the PDU session), the PCF invokes the Nbsf\_Management\_Deregister service operation by sending the HTTP DELETE request with Resource URI of the resource "Individual PCF Session Binding" to request the BSF to remove the binding information.
2. The BSF sends an HTTP "204 No Content" response to the PCF and removes the stored binding information.

### 8.5.4 Binding information Retrieval



**Figure 8.5.4-1: Binding information Retrieval procedure**

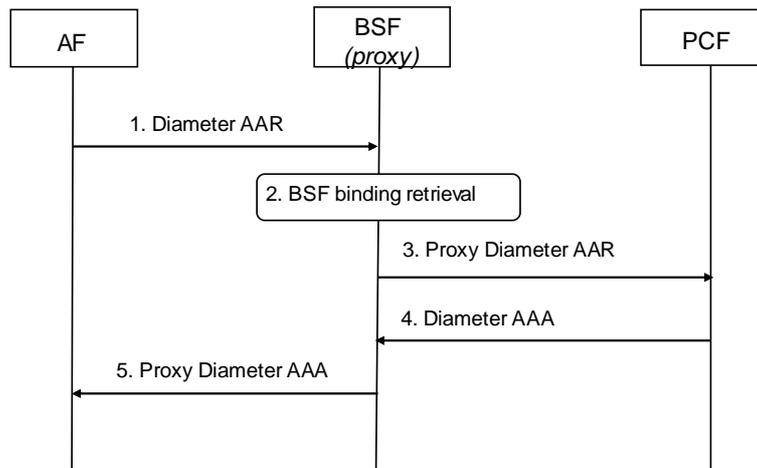
1. AF/NEF invokes the Nbsf\_Management\_Discovery service operation by sending the HTTP GET request with Resource URI of the resource "PCF Session Bindings" to the BSF to obtain the address information of the selected PCF for a certain PDU session. The URI query parameters in the HTTP GET request are specified in subclause 4.2.4.2 of TS 29.521 [22].
2. The BSF sends an HTTP "200 OK" response to the AF/NEF with the address information of the selected PCF (e.g. Npcf\_PolicyAuthorization service FQDN and/or IP Endpoint(s) of the selected PCF, or if the PCF supports the Rx interface the Diameter host and realm for the selected PCF), and if available with the associated PCF set ID, the PCF instance ID and the SBA binding level.

### 8.5.5 Proxy BSF

#### 8.5.5.1 General

When the BSF receives a request from an AF, it shall check whether it already has selected a PCF for the Rx session; if it does have a PCF already selected for the Rx session, it shall proxy the request to the corresponding PCF. If the BSF does not have a PCF already selected, it shall select a PCF to handle the Rx session and then proxy the request to the selected PCF.

### 8.5.5.2 Rx Session Establishment

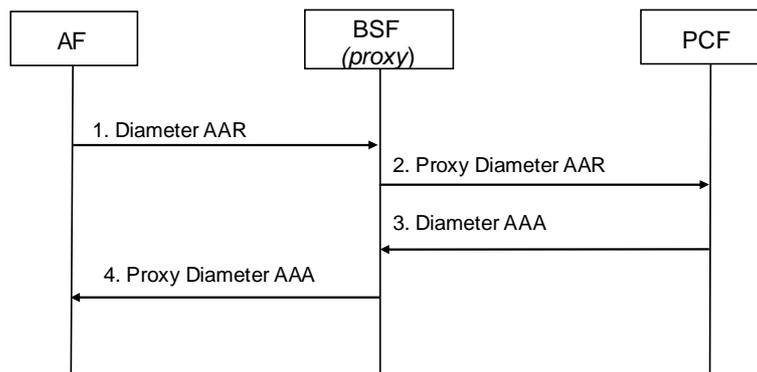


**Figure 8.5.5.2-1: Rx Session Establishment procedure using BSF (proxy)**

1. A Diameter AAR indicating establishment of an AF session is sent by the AF and received by a BSF (proxy).
2. The BSF (proxy) shall select a PCF from the binding for the AF.
3. The BSF (proxy) proxies the Diameter AAR to the target PCF. The proxied Diameter AAR maintains the same Session-Id AVP value.
4. The PCF returns a Diameter AAA to the BSF (proxy).
5. BSF (proxy) proxies the Diameter AAA to the AF. The proxied Diameter AAA maintains the same Session-Id AVP value.

### 8.5.5.3 Rx Session Modification

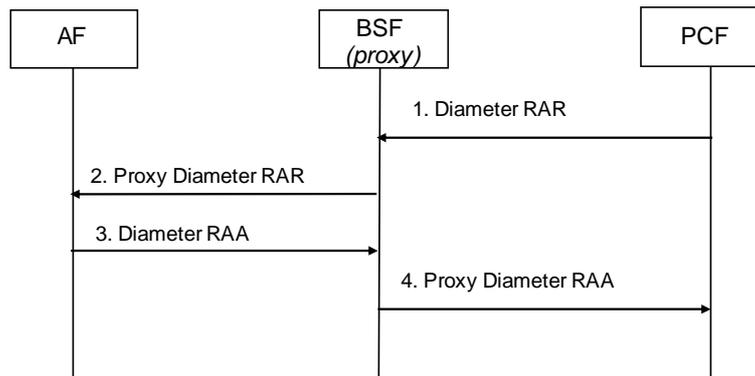
#### 8.5.5.3.1 AF-initiated



**Figure 8.5.5.3.1-1: AF-initiated Rx Session Modification procedure using BSF (proxy)**

1. A subsequent Diameter AAR indicating modification of an existing Rx session is sent by the AF and received by the BSF (proxy).
2. The BSF (proxy) proxies the Diameter AAR to the target PCF.
3. PCF returns a Diameter AAA to the BSF (proxy).
4. BSF (proxy) proxies the Diameter AAA to the AF.

## 8.5.5.3.2 PCF-initiated

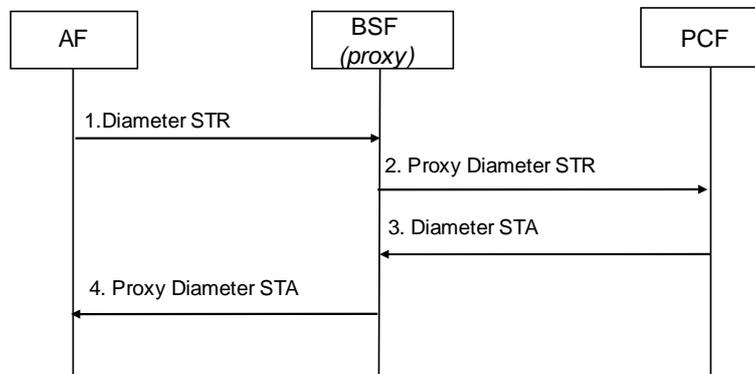


**Figure 8.5.5.3.2-1: PCF-initiated Rx Session Modification procedure using BSF (proxy)**

1. A PCF-initiated Diameter RAR indicating an Rx specific action is sent to the AF and received by the BSF (proxy).
2. The BSF (proxy) proxies the Diameter RAR to the AF. The proxied Diameter Request maintains the same Session-Id AVP value.
3. AF returns a Diameter RAA to the BSF (proxy).
4. BSF (proxy) proxies the Diameter RAA to the PCF.

## 8.5.5.4 Rx Session Termination

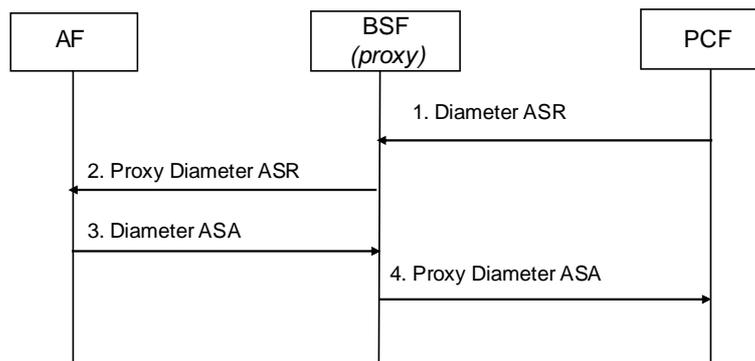
## 8.5.5.4.1 AF-initiated



**Figure 8.5.5.4.1-1: AF-initiated Rx Session Termination procedure using BSF (proxy)**

1. A Diameter STR indicating termination of an Rx session is sent by the AF to the BSF (proxy). The message uses the same Session-Id AVP value of the active Rx session established between the AF and PCF.
2. The BSF (proxy) proxies the Diameter STR to the target PCF. The proxied Diameter Request maintains the same Session-Id AVP value.
3. PCF sends BSF (proxy) a Diameter STA to acknowledge termination of the session.
4. The BSF marks the Rx session terminated and proxies the Diameter STA to the AF. The proxied Diameter Answer maintains the same Session-Id AVP value.

## 8.5.5.4.2 PCF-initiated



**Figure 8.5.5.4.2-1: PCF-initiated Rx Session Termination procedure using BSF (proxy)**

1. A PCF-initiated Diameter ASR requesting the termination of an Rx session is sent to the AF and received by the BSF (proxy).
2. The BSF (proxy) proxies the Diameter ASR to the AF. The proxied Diameter ASR maintains the same Session-Id AVP value.
3. AF returns a Diameter ASA to the BSF (proxy).
4. BSF (proxy) proxies the Diameter ASA to the PCF.

## 8.5.6 Redirect BSF

### 8.5.6.1 General

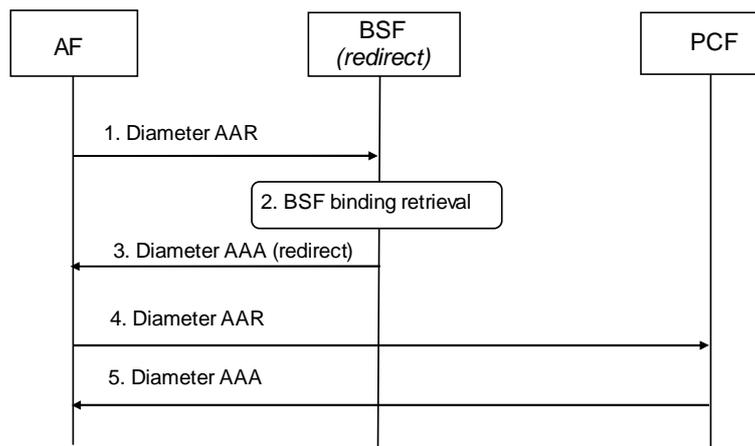
A BSF implemented as a Diameter redirect agent shall redirect the received Diameter request message by carrying out the procedures defined in subclause 6.1.7 of IETF RFC 6733 [29]. The Client shall use the value within the Redirect-Host AVP of the redirect response in order to obtain the PCF identity. The BSF may provide the Redirect-Host-Usage AVP in the redirect response to provide a hint to the Client about how the cached route table entry created from the Redirect-Host AVP is to be used as described in subclause 6.13 of IETF RFC 6733 [29].

The BSF may also provide the Redirect-Max-Cache-Time AVP in the redirect response to indicate to the Client the lifetime of the cached route table entry created from the Redirect-Host and Redirect-Host-Usage AVP values as described in subclause 6.14 of IETF RFC 6733 [29].

The BSF clients shall use cached route table entry created from the Redirect-Host, Redirect-Host-Usage and Redirect-Max-Cache-Time AVPs to determine whether BSF interaction is required.

The AF shall contact the BSF on Rx session establishment to retrieve the PCF address. The BSF (redirect) does not need to maintain Diameter sessions and Diameter Base redirect procedures are applicable. Therefore, an AF should not send an Rx session modification or termination request to the BSF.

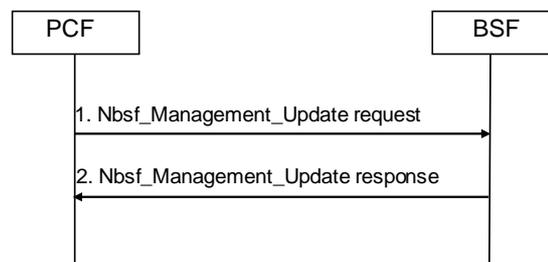
### 8.5.6.2 Rx Session Establishment



**Figure 8.5.6.2-1: Rx Session Establishment procedure using BSF (redirect)**

1. A Diameter AAR indicating establishment of a new Rx Diameter session with the PCF is sent by the AF and received by a BSF (redirect).
2. The BSF shall select the PCF from the binding for the AF.
3. The BSF sends a Diameter AAA indicating redirection as defined in IETF RFC 6733 [29]. The target PCF identity is included in the Redirect-Host AVP.
4. The AF re-sends the Diameter AAR of step 1 to the target PCF.
5. PCF returns a Diameter AAA to the AF.

### 8.5.7 Binding information Update



**Figure 8.5.7-1: Binding information Update procedure**

1. If the binding information has been previously registered in the BSF, and if the BindingUpdate feature defined in 3GPP TS 29.521 [22] is supported, the PCF invokes the Nbsf\_Management\_Update service operation by sending the HTTP PATCH request with Resource URI of the resource "Individual PCF Session Binding" to update the binding information in the BSF in the following cases:
  - for the IP address information of the served UE:
    - for the IPv4v6 address case, when one of the addresses is released or a new IP address is allocated; and/or
    - for the multiple address case, if the MultiUeAddr feature defined in 3GPP TS 29.521 [22] is supported, when a new IP address (e.g. IPv6 prefix) is allocated or an IP address which is not the last one is released for the IP PDU session,
  - for the MAC address information of the served UE:
    - if the MultiUeAddr feature defined in 3GPP TS 29.521 [22] is supported, when a new MAC address is used or a MAC address which is not the last one is not used for the Ethernet PDU session ; and/or

- if a new PCF instance is selected, the PCF instance ID and the associated PCF address(es).

The binding information provided in the HTTP PATCH request is defined in subclause 4.2.5.2 of 3GPP TS 29.521 [22].

2. The BSF sends an HTTP "200 OK" response to the PCF and updates the binding information.

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## 9 Race condition handling

### 9.1 Overview

Certain PCC Services (e.g., Npcf\_SMPolicyControl service, Npcf\_AMPolicyControl service) allow the NF producer (e.g. PCF) to update the policy association in two ways: unsolicited and solicited. The PCF can push policy decisions (e.g. PCC rule) to the NF consumer (e.g. SMF) in an unsolicited fashion (e.g. using UpdateNotify service operation for Npcf\_SMPolicyControl service). It can also install policy decisions in a solicited manner by responding to the NF consumer (e.g. using the response of the Update service operation for Npcf\_SMPolicyControl service).

The NF producer and the NF consumer can initiate transactions that modify the policy independently (e.g. Update service operation from the NF consumer and UpdateNotify service operation from the NF producer) and potentially concurrently. Additionally, there may be HTTP proxy in between the NF consumer and NF producer that could cause messages to be delivered out of order. This can lead to race conditions that may result in the wrong information maintained by the NF consumer or NF producer of a policy association.

Note that race conditions occur in different ways based on the application. Also, their impact is specific to the application.

### 9.2 Procedures

This clause describes the optional procedures for handling race conditions in a deterministic manner. These procedures apply to the SMF (Npcf\_SMPolicyControl service), AMF (Npcf\_AMFPolicyControl service and Npcf\_UEPolicyControl service), and PCF (Npcf\_SMPolicyControl service, Npcf\_AMFPolicyControl service and Npcf\_UEPolicyControl service).

In this clause, the terms NF consumer and NF producer are relative to the service. As an example, for the Npcf\_SMPolicyControl service, the NF consumer is the SMF and the NF producer is the PCF. The term NF can refer to either a NF consumer or a NF producer. The term "transaction" refers to a HTTP request and its associated response. The term "ongoing transaction" refers to a transaction that has an outstanding response.

A node that supports the procedures defined in this clause and is configured to comply with them, shall advertise such support by including the corresponding "PendingTransaction" feature within the attribute of SupportedFeatures data type during the policy association establishment.

On receipt of a HTTP request for an existing policy association, the recipient NF shall check if it has an ongoing transaction on that policy association:

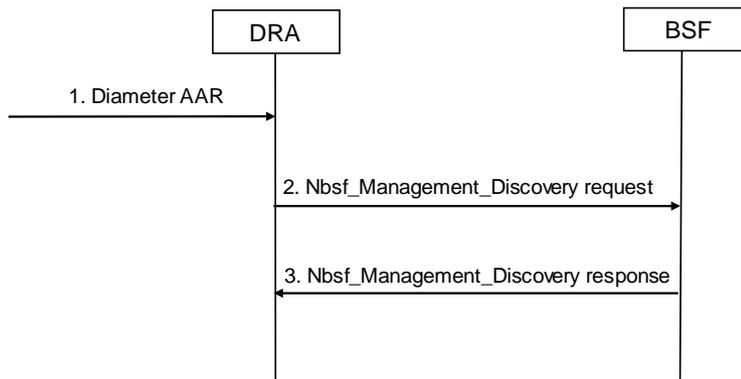
1. If there are no ongoing transactions on the policy association, the NF shall process the incoming request normally.
2. If there is an ongoing transaction on the policy association and optionally, if the recipient NF cannot determine that the incoming request can be safely handled without creating a state mismatch:
  - a. The NF consumer shall reject the incoming request and include in an HTTP "400 Bad Request" response message the "cause" attribute of the ProblemDetails data structure set to "PENDING\_TRANSACTION".
  - b. The NF producer shall either reject the incoming request and include in an HTTP "400 Bad Request" response message the "cause" attribute of the ProblemDetails data structure set to "PENDING\_TRANSACTION" or shall wait for one of the following conditions to occur:

- i. The ongoing transaction completes. In this case, the policy association is updated at the NF producer on the completion of the ongoing transaction and afterwards, the incoming request (e.g. HTTP POST) is processed normally based on the updated policy association state.
  - ii. The waiting period has exceeded its allotted time. In this case, the NF producer shall reject the incoming request and include in an HTTP "400 Bad Request" response message the "cause" attribute of the ProblemDetails data structure set to "PENDING\_TRANSACTION".
3. On receipt of a "PENDING\_TRANSACTION" error code, an NF consumer shall retry the request. On the other hand, if an NF producer had rejected a request from an NF consumer with a "PENDING\_TRANSACTION" error code, the NF producer should not retry the failed request until it responds to the re-attempted request from the NF consumer. This is to avoid having both the NF consumer and NF producer concurrently retry their requests. In all other cases, if the policy association on the NF consumer still needs to be updated, the NF producer shall retry the request.
4. The NF consumer or NF producer should limit the number of times they re-attempt the same request due to receipt of a "PENDING\_TRANSACTION" error code.
5. The only exception to the rules above is a policy association termination request initiated by the NF consumer (e.g. HTTP POST with request URI to "{apiRoot}/npcf-smpolicycontrol/<apiVersion>/sm-policies/{smPolicyId}/delete") or a request for policy association termination initiated by the NF producer (e.g. HTTP POST with request URI to "{NotificationUri}/terminate"). In both cases, the request should be handled as follows:
  - a. When receiving a request for a policy association termination initiated by the NF producer that requires new transactions to be initiated, a NF consumer shall acknowledge the request immediately (e.g. a HTTP POST message with request URI to "{NotificationUri}/terminate" shall be acknowledged with a 200 OK response). The NF consumer shall wait for the current transaction to complete (either by the NF producer acknowledging the request or rejecting it with the "PENDING\_TRANSACTION" application error code) before completing the policy association termination procedure (e.g. before sending the HTTP POST with request URI to "{apiRoot}/npcf-smpolicycontrol/<apiVersion>/sm-policies/{smPolicyId}/delete").
  - b. When receiving a request for a policy association termination initiated by the NF consumer, the NF producer shall handle it immediately.

## Annex A (informative): DRA and BSF coexistence

During the network migration, DRA and BSF may coexist in operator's network. When the AF sends Rx request to the DRA, the DRA can utilize the Nbsf\_Management\_Discovery service operation to obtain the relevant PCF address as depicted in figure A-1. The DRA only applies this operation if it has no stored binding information derived from an ongoing Gx session for that subscriber.

NOTE 1: For a UE in the EPC there is a Gx session and the DRA stores the binding information. For a UE in the 5GC the Npcf\_SmPolicyControl service is used and the BSF stores the binding information.



**Figure A-1: PCF discovery by DRA via BSF**

1. The AF sends a Diameter AAR to the DRA to establish a new Rx diameter session.
2. When receiving the request in step 1, if the DRA has no stored binding information derived from an ongoing Gx session for the subscriber, the DRA invokes the Nbsf\_Management\_Discovery service operation to the BSF to obtain the selected PCF ID for a certain PDU session.
3. The BSF replies to the DRA with the PCF ID.

NOTE 2: If the DRA has no stored binding information derived from an ongoing Gx session for a subscriber, the DRA needs to request new binding information for each Rx session establishment because the information in the BSF could have changed compared to any previous binding information the DRA requested.

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## Annex B (normative): Signalling Flows for IMS

The signalling flows in clause 5 are also applicable for IMS. This Annex adds flows that show interactions with SIP/SDP signalling of the IMS when the Npcf\_PolicyAuthorization service is used by the P-CSCF and both, the PCF and the P-CSCF support the "IMS\_SBI" feature, and when the P-CSCF uses the Rx reference point with the PCF.

NOTE: In PLMNs where both Rx and Npcf\_PolicyAuthorization are used it is implementation specific how the P-CSCF determines the applicable interface/protocol to use with the PCF - e.g. Separate P-CSCF's used for Rx and Npcf\_PolicyAuthorization, local routing configuration in the P-CSCF.

---

### B.1 General

The following is applicable for Emergency Services and PSAP call back request:

- The P-CSCF includes an Emergency indication when service information is sent over N5/Rx and when required by the IMS deployment. The P-CSCF may also indicate that it requires UE identities as defined in 3GPP TS 29.514 [10] for N5 and 3GPP TS 29.214 [18] for Rx.
- The PCF only allows Emergency Sessions that are bound to a PDU session established to an Emergency DNN.
- Upon request from the P-CSCF, the PCF provides the P-CSCF with UE identities corresponding to the established PDU session.

The following is not applicable for Emergency Services and PSAP call back request:

- Pre-authorization for a UE terminated IMS session establishment with UE initiated resource reservation.
- Subscription to notification of Signalling Path Status at IMS Registration, subscription to notification of changes of access type at IMS Registration and Provisioning of SIP Signalling flow information at IMS Registration procedures.

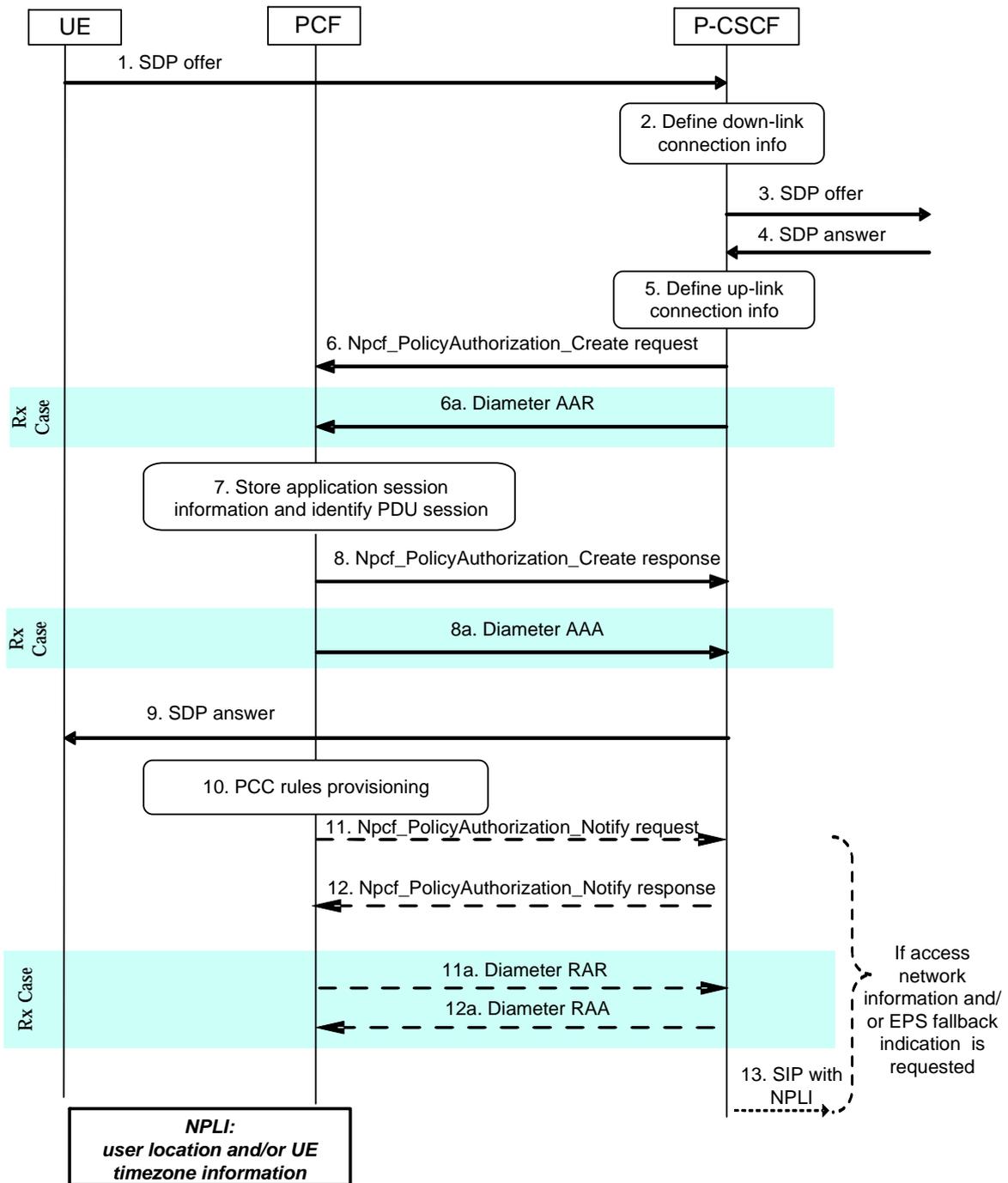
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### B.2 IMS Session Establishment

#### B.2.1 Provisioning of service information at Originating P-CSCF and PCF

This clause covers the PCC procedures for the provisioning of service information, the retrieval of network provided location information (UE location and/or time zone) and the report of EPS fallback indication at the originating P-CSCF and PCF at IMS session establishment.

In figure B.2.1-1 the P-CSCF derives the provisioning of service information to the PCF from the SDP offer/answer exchange.



**Figure B.2.1-1: PCC Procedures for IMS Session Establishment at originating P-CSCF and PCF**

1. The P-CSCF receives the SDP parameters defined by the originator within an SDP offer in SIP signalling.
2. The P-CSCF identifies the connection information needed (IP address of the down link IP flow(s), port numbers to be used, etc.).
3. The P-CSCF forwards the SDP offer in SIP signalling.
4. The P-CSCF gets the negotiated SDP parameters from the terminating side through SIP signalling interaction.
5. The P-CSCF identifies the connection information needed (IP address of the up-link media IP flow(s), port numbers to be used, etc.).

6. The P-CSCF invokes the Npcf\_PolicyAuthorization\_Create service operation to forward the derived session information to the PCF by sending an HTTP POST request to the "Application Sessions" resource.
  - 6a. The P-CSCF provides session information to the PCF by sending a Diameter AAR for a new Rx Diameter session.
7. The PCF stores application session information and performs session binding. For N5 interface, the PCF creates an "Individual Application Session Context" resource to store the received application session information.
8. The PCF replies to the P-CSCF with a HTTP "201 Created" response and includes the URI of the "Individual Application Session Context" resource in the Location header field.
  - 8a. The PCF sends a Diameter AAA to the P-CSCF.
9. Upon reception of the acknowledgement from the PCF, the SDP parameters are passed to the UE in SIP signalling.
10. The PCF executes interactions according to figure 5.2.2.2-1. This step implies provisioning of PCC rules and is executed in parallel with steps 8 and 9 (steps 8a and 9a for Rx case).
11. If the P-CSCF requested access network information and/or EPS fallback indication in step 6, the PCF invokes the Npcf\_PolicyAuthorization\_Notify service operation to forward the EPS fallback indication, if received in step 10, and/or the access network information received in step 10 in an HTTP POST request sent to the Notification URI received in step 6.
  - 11a. If the P-CSCF requested access network information and/or EPS fallback indication in step 6a, the PCF forwards the EPS fallback indication, if received in step 10, and the access network information received in step 10 in a Diameter RAR.
12. If step 11 occurs, the P-CSCF acknowledges the receipt of the notification request with an HTTP "204 No Content" response to the PCF.
  - 12a. If step 11a occurs, the P-CSCF acknowledges the receipt of Diameter RAR.
13. If step 11 occurs (step 11a for Rx case), the P-CSCF forwards the network provided location information in a subsequent SIP message to IMS core network. The P-CSCF, based on local configuration, may also include the EPS fallback indication, if received.

Optionally, the provisioning of service information may be derived already from the SDP offer:

- to enable a possible rejection of the service information by the PCF, obtained by the P-CSCF in time to reject the service with appropriate SIP signalling;
- to allow the P-CSCF to request network provided location information for inclusion in the SDP offer;
- to support authentication of roaming users in deployments with no IMS-level roaming interfaces; or
- to support PSAP callback functionality for anonymous IMS emergency sessions.

This is described in figure B.2.1-2.

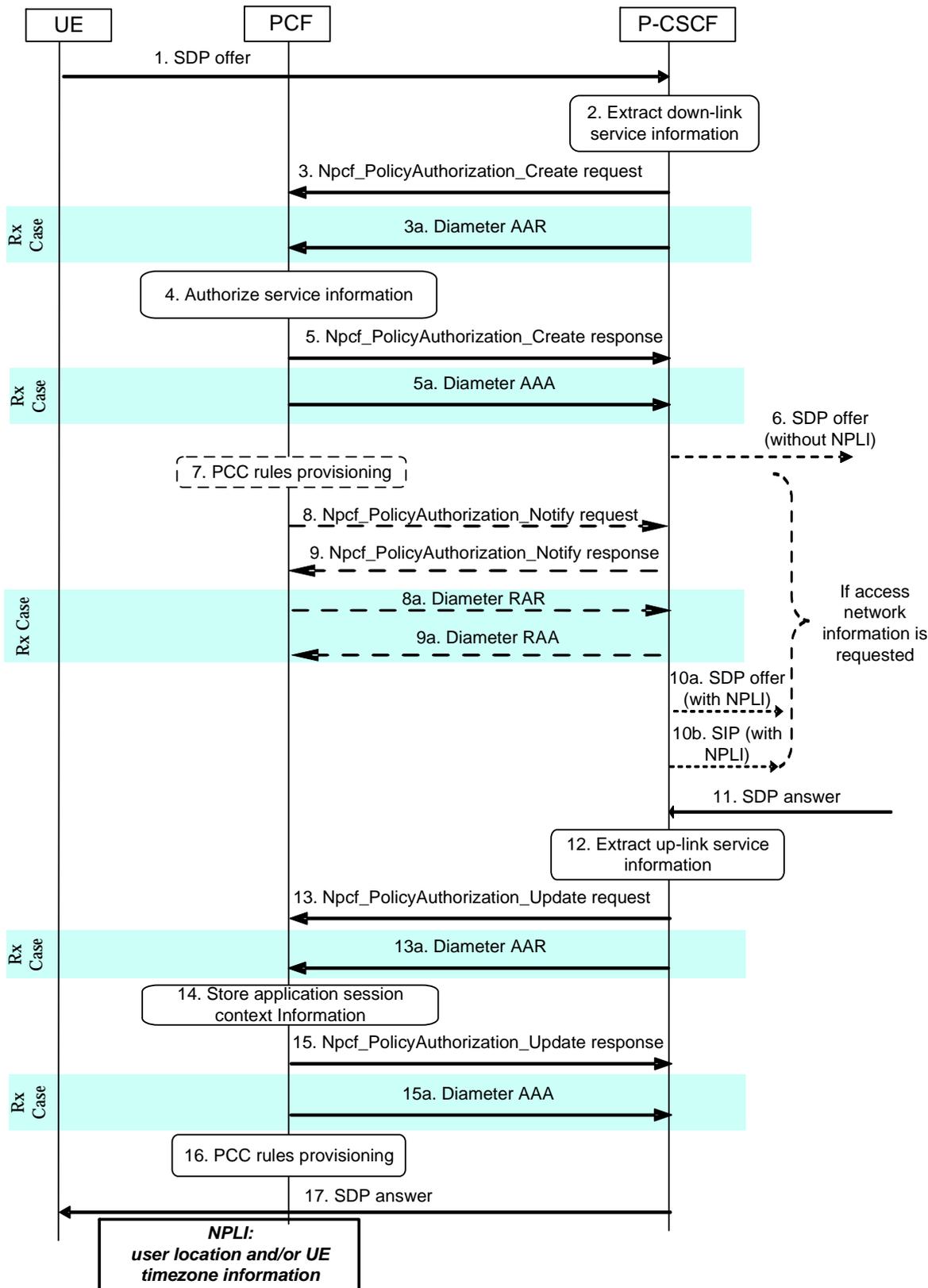


Figure B.2.1-2: PCC Procedures for IMS Session Establishment at originating P-CSCF and PCF, provisioning of service information derived from SDP offer and answer

1. The P-CSCF receives the first SDP offer for a new SIP dialogue within a SIP INVITE request.

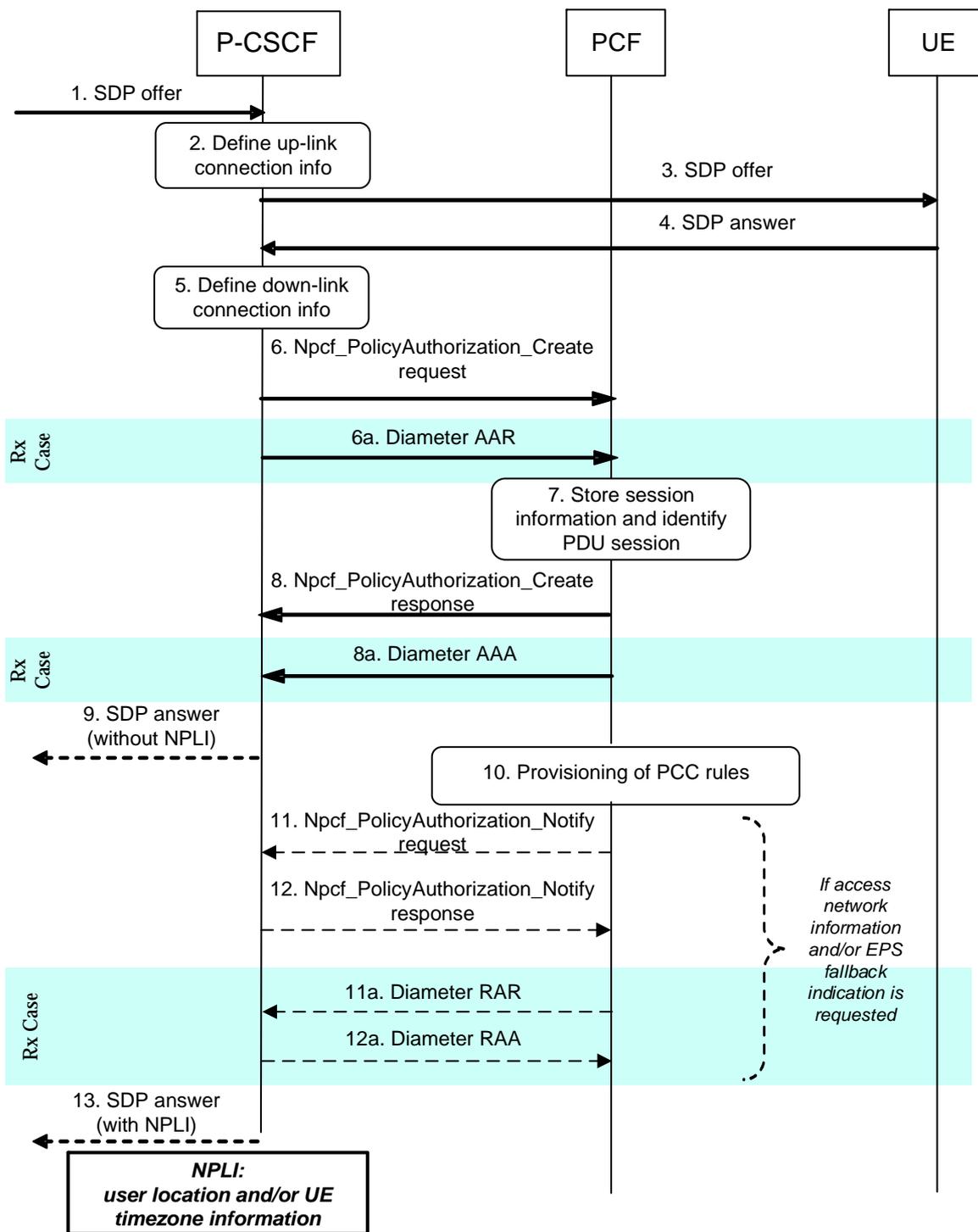
2. The P-CSCF extracts service information from the SDP offer (IP address of the down link IP flow(s), port numbers to be used, etc.).
3. The P-CSCF invokes the Npcf\_PolicyAuthorization\_Create service operation to forward the derived service information to the PCF by sending an HTTP POST request to the "Application Sessions" resource. It indicates that only an authorization check of the service information is requested.
  - 3a. The P-CSCF forwards the derived service information to the PCF by sending a Diameter AAR for a new Rx Diameter session. It indicates that only an authorization check of the service information is requested.
4. The PCF checks and authorizes the service information, stores session information, performs session binding, but does not provision PCC rules at this stage. In case of N5 interface, the PCF creates an "Individual Application Session Context" resource to store the application session information.
5. The PCF replies to the P-CSCF with an HTTP "201 Created" response and includes the URI of the "Individual Application Session Context" resource in the Location header field.
  - 5a. The PCF replies to the P-CSCF with a Diameter AAA.
6. If the P-CSCF did not request access network information in step 3 (or step 3a for Rx case), or if the P-CSCF requested access network information but does not require the access network information for inclusion in the SDP offer, or the P-CSCF requested user information in step 3 (or step 3a for Rx case) the P-CSCF forwards the SDP offer in SIP signalling.
7. If the P-CSCF requested access network information in step 3 (or step 3a for Rx case), the PCF executes interactions according to figure 5.2.2.2-1. This step implies provisioning of PCC rules.
8. If the P-CSCF requested access network information in step 3, the PCF invokes the Npcf\_PolicyAuthorization\_Notify service operation to forward the access network information received in step 7 in an HTTP POST request sent to the Notification URI received in step 3.
  - 8a. If the P-CSCF requested access network information in step 3a, the PCF forwards the access network information received in step 7 in a Diameter RAR.
9. If step 8 occurs, the P-CSCF acknowledges the receipt of the notification request with an HTTP "204 No Content" response to the PCF.
  - 9a. If step 8a occurs, the P-CSCF acknowledges the receipt of Diameter RAR.
- 10a. If step 8 occurs (or step 8a for Rx case), and if the P-CSCF requires to send the access network information and the SDP offer together, the P-CSCF includes the SDP offer and the network provided location information in the next SIP message the P-CSCF sends towards the IMS core network.
- 10b. If step 8 occurs (or step 8a for Rx case), and if it is allowed for the P-CSCF to handle the access network information independently of the SDP offer, the P-CSCF includes the network provided location information in a subsequent SIP message the P-CSCF sends towards the IMS core network. Typically, network provided information is sent after step 17 rather than at step 10.
11. The P-CSCF receives the negotiated SDP parameters from the terminating side within a SDP answer in SIP signalling.
12. The P-CSCF extracts service information from the SDP answer (IP address of the up-link media IP flow(s), port numbers to be used, etc.).
13. The P-CSCF invokes the Npcf\_PolicyAuthorization\_Update service operation to modify the "Individual Application Session Context" resource in the PCF by sending an HTTP PATCH request to the URI of the "Individual Application Session Context" resource with the derived service information. Access network information is not requested if done in step 7.
  - 13a. The P-CSCF forwards the derived service information to the PCF by sending a Diameter AAR over the existing Rx Diameter session. Access network information is not requested if done in step 7.
14. The PCF stores the received information. In case of N5 interface, the PCF updates the "Individual Application Session Context" with the received application session information.
15. The PCF replies to the P-CSCF with an HTTP "204 No Content" response.

- 15a. The PCF replies the P-CSCF with a Diameter AAA.
16. The PCF authorizes the session information. The PCF executes interactions according to figure 5.2.2.2-1. This step implies provisioning of PCC rules and authorized QoS.
17. Upon successful authorization of the session, the SDP parameters are passed to the UE in SIP signalling. This step is executed in parallel with step 16.

## B.2.2 Provisioning of service information at terminating P-CSCF and PCF

This clause covers the PCC procedures for the provisioning of service information, the retrieval of network provided location information (UE location and/or time zone) and the report of EPS fallback indication at the terminating P-CSCF and PCF at IMS session establishment.

In figure B.2.2-1 the P-CSCF derives the provisioning of service information to the PCF from the SDP offer/answer exchange.



**Figure B.2.2-1: PCC Procedures for IMS Session Establishment at terminating P-CSCF and PCF**

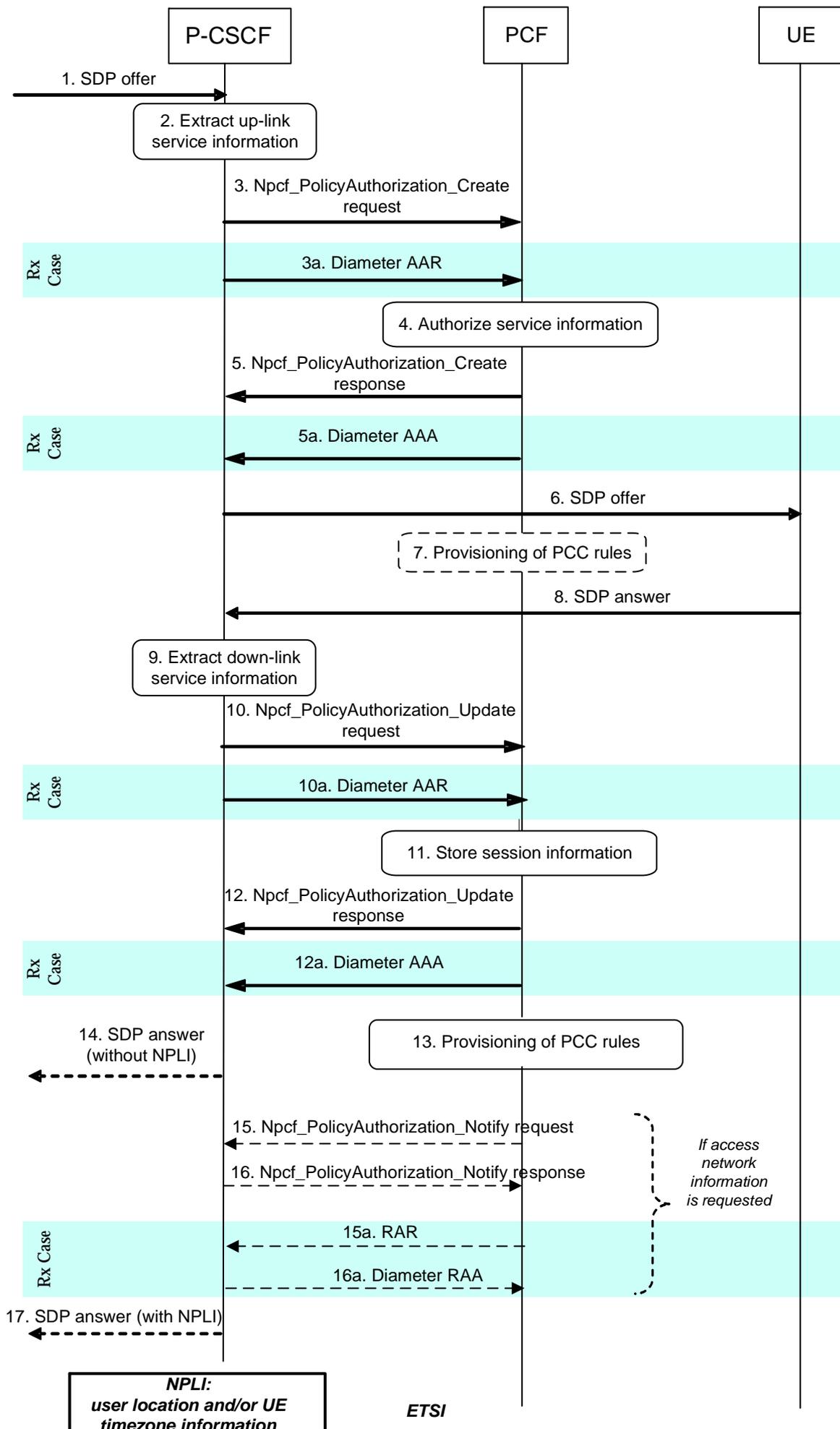
1. The P-CSCF receives the SDP parameters defined by the originator.
2. The P-CSCF identifies the connection information needed (IP address of the up-link IP flow(s), port numbers to be used, etc.).
3. The P-CSCF sends the SDP offer to the UE.

4. The P-CSCF receives the negotiated SDP parameters from the UE.
5. The P-CSCF identifies the connection information needed (IP address of the down-link IP flow(s), port numbers to be used, etc.).
6. The P-CSCF invokes the Npcf\_PolicyAuthorization\_Create service operation to forward the derived service information to the PCF by sending an HTTP POST request to the "Application Sessions" resource.
  - 6a. The P-CSCF forwards the derived service information to the PCF by sending a Diameter AAR for a new Rx Diameter session.
7. The PCF stores the received session information, and performs session binding. For the N5 interface, the PCF creates an "Individual Application Session Context" resource to store the received application session information.
8. The PCF sends an HTTP "201 Created" response to the P-CSCF and includes the URI of the "Individual Application Session Context" resource in the Location header field.
  - 8a. The PCF sends a Diameter AAA to the P-CSCF.
9. If the P-CSCF did not request access network information in step 6 (or step 6a for the Rx case), upon reception of the acknowledgement from the PCF, the SDP parameters in the SDP answer are passed to the originator.
10. The PCF executes interactions according to subclause 5.2.2.2.1 This step implies provisioning of PCC rules and is executed in parallel with steps 8 (or step 8a for the Rx case) and 9.
11. If the P-CSCF requested access network information and/or EPS fallback indication in step 6, the PCF invokes the Npcf\_PolicyAuthorization\_Notify service operation to forward EPS fallback indication, if received in step 10, and/or the access network information received in step 10 by sending an HTTP POST request to the Notification URI received in step 6.
  - 11a. If the P-CSCF requested access network information and/or EPS fallback in step 6a, the PCF forwards the EPS fallback indication, if received in step 10, and/or the access network information received in step 10 in a Diameter RAR.
12. If step 11 occurs, the P-CSCF acknowledges the receipt of the notification request with an HTTP "204 No Content" response.
  - 12a. If step 11a occurs, the P-CSCF acknowledges the receipt of Diameter RAR.
13. If step 11 occurs (or step 11a for the Rx case), the P-CSCF forwards the SDP answer and includes the network provided location information in the next SIP message the P-CSCF sends towards the IMS core network. The P-CSCF, based on local configuration, may include the EPS fallback indication in outgoing SIP messages towards other IMS nodes, if received.

Optionally, the provisioning of service information may be derived already from the SDP offer:

- to enable that a possible rejection of the service information by the PCF is obtained by the P-CSCF in time to reject the service with appropriate SIP signalling; or
- to enable pre-authorization for a UE terminated IMS session establishment with UE initiated resource reservation.

This is described in figure B.2.2-2.



**Figure B.2.2-2: PCC Procedures for IMS Session Establishment at terminating P-CSCF and PCF, provisioning of service information derived from SDP offer and answer**

1. The P-CSCF receives the first SDP offer for a new SIP dialogue within SIP signalling, e.g. within a SIP INVITE request.
2. The P-CSCF extracts the service information from the SDP offer (IP address of the up-link IP flow(s), port numbers to be used, etc.).
3. The P-CSCF invokes the Npcf\_PolicyAuthorization\_Create service operation to forward the derived session information to the PCF by sending an HTTP POST request to the "Application Sessions" resource.
  - 3a. The P-CSCF forwards the derived service information to the PCF by sending a Diameter AAR for a new Rx Diameter session.

The P-CSCF indicates to the PCF that the service information that the AF has provided to the PCF is preliminary and needs to be further negotiated between the two ends. The P-CSCF may request access network information and/or EPS fallback indication.

4. The PCF checks and authorizes the session information, performs session binding, but does not provision PCC rules at this stage. In case of N5 interface, the PCF creates an "Individual Application Session Context" resource,
5. The PCF replies to the P-CSCF with an HTTP "201 Created" response and includes the URI of the "Individual Application Session Context" resource in the Location header field.
  - 5a. The PCF replies to the P-CSCF with a Diameter AAA.
6. The P-CSCF sends the SDP offer to the UE.
7. If the UE initiates a QoS flow modification request, the PCF provides the SMF with PCC rules according to figure 5.2.2.2-1 based on the SDP offer.

NOTE: Step 7 is not applicable for IMS Emergency Sessions.

8. The P-CSCF receives the negotiated SDP parameters from the UE within an SDP answer in SIP signalling.
9. The P-CSCF extracts service information from the SDP answer (IP address of the down-link IP flow(s), port numbers to be used, etc.).
10. The P-CSCF invokes the Npcf\_PolicyAuthorization\_Update service operation to modify the "Individual Application Session Context" resource by sending to the PCF an HTTP PATCH request to the URI of the "Individual Application Session Context" resource with the derived service information. The P-CSCF may request access network information and/or EPS fallback information if not requested in step 3.
  - 10a. The P-CSCF forwards the derived service information to the PCF by sending a Diameter AAR over the existing Rx Diameter session. The P-CSCF may request access network information and/or EPS fallback information if not requested in step 3a.
11. The PCF stores the received session information. In case of N5 interface, the updates the "Individual Application Session Context" resource with the received session information.
12. The PCF sends an HTTP "204 No Content" response to the P-CSCF.
  - 12a. The PCF replies to the P-CSCF with a Diameter AAA.
13. The PCF authorizes the session information. The PCF executes interactions according to figure 5.2.2.2-1. This step implies provisioning of PCC rules and authorized QoS.
14. If the P-CSCF did not request access network information in step 3 or 10 (step 3a or 10a for Rx case), upon successful authorization of the session the SDP parameters in the SDP answer are passed to the originator. This step is executed in parallel with step 12 (step 12a for Rx case).
15. If the P-CSCF requested access network information and/or EPS fallback indication in step 3 or 10, the PCF invokes the Npcf\_PolicyAuthorization\_Notify service operation to forward the EPS fallback indication, if

received in step 13, and the access network information received in step 13 in an HTTP POST request to the Notification URI received in in step 3 or 10.

15a. If the P-CSCF requested access network information and/or EPS fallback indication in step 3a or 10a, the PCF forwards the EPS fallback indication, if received in step 13, and the access network information received in step 13 in a Diameter RAR.

16. If step 15 occurs, the P-CSCF acknowledges the receipt of the notification request with an an HTTP "204 No Content" response to the PCF.

16a. If step 15a occurs, the P-CSCF acknowledges the receipt of Diameter RAR.

17. If step 15 occurs (step 15a for Rx case), the P-CSCF forwards the SDP answer and includes the network provided location information in the next SIP message the P-CSCF sends towards the IMS core network. The P-CSCF, based on local configuration, may include the EPS fallback indication in outgoing SIP messages towards other IMS nodes, if received.

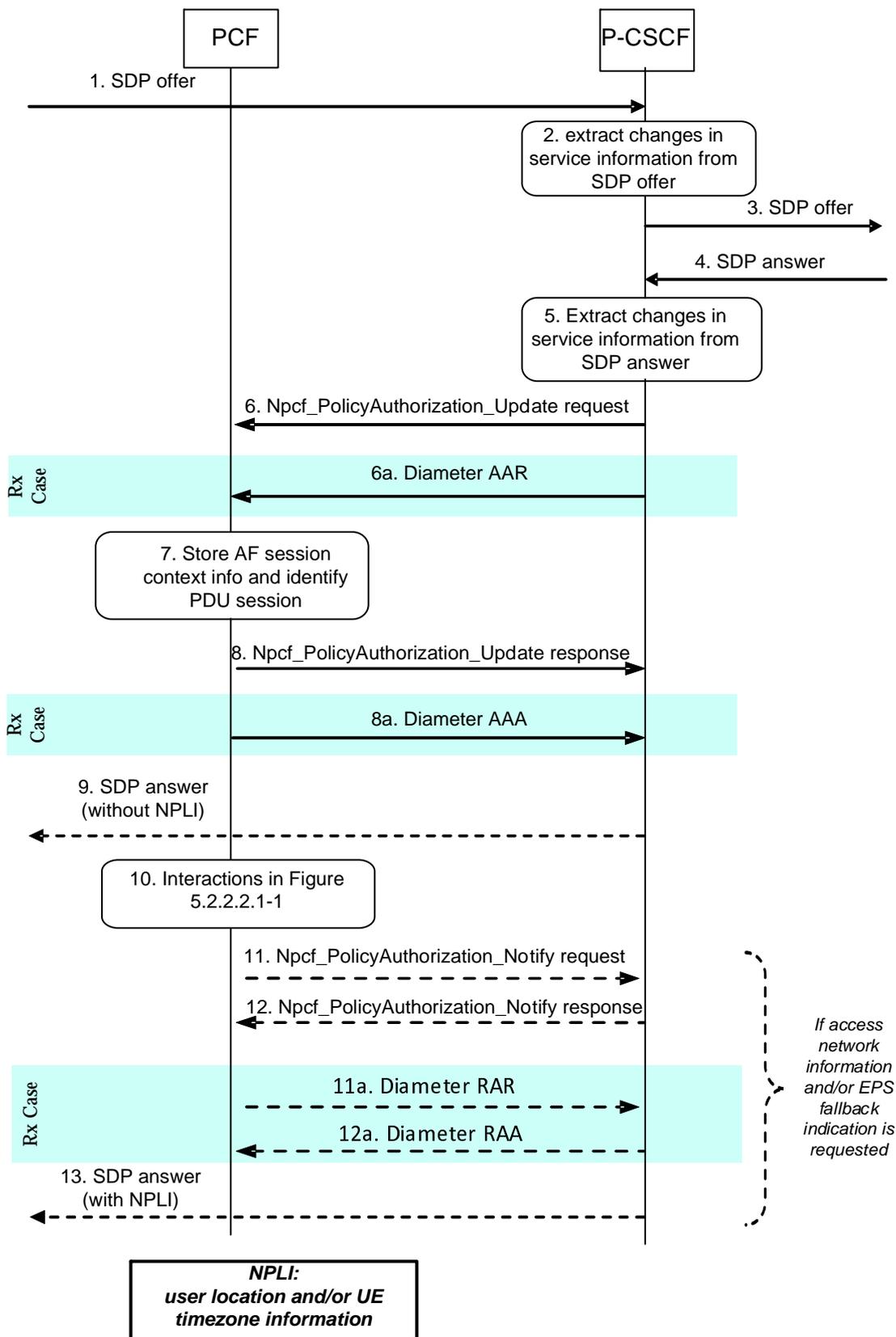
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## B.3 IMS Session Modification

### B.3.1 Provisioning of service information

This clause covers the provisioning of service information, the retrieval of network provided location information (UE location and/or time zone) and the report of EPS fallback indication at IMS session modification both at the originating and terminating side.

In figure B.3.1-1 the P-CSCF derives the provisioning of service information to the PCF from the SDP offer/answer exchange.



**Figure B.3.1-1: Provisioning of service information at IMS session modification**

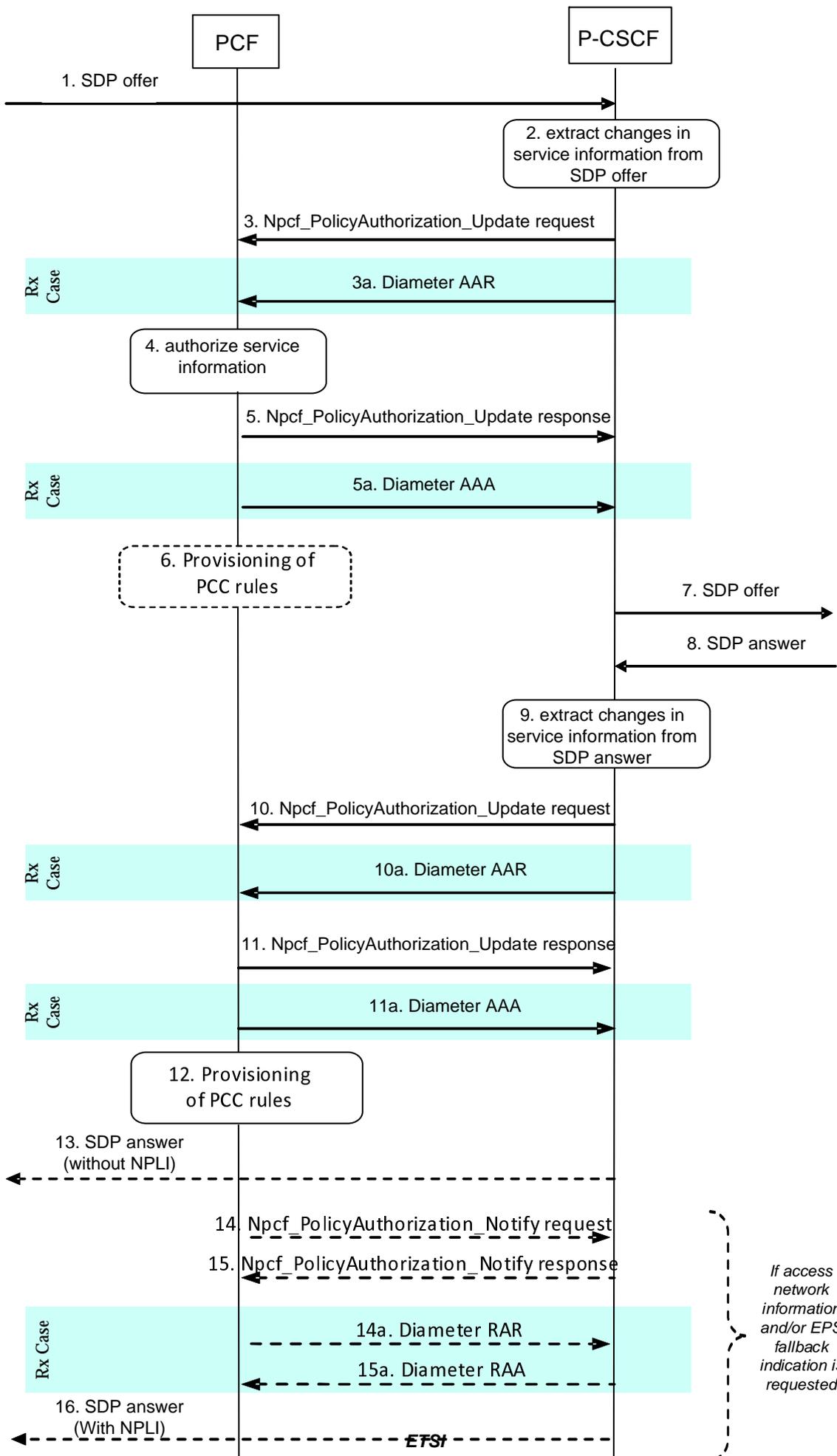
1. The P-CSCF receives the SDP parameters defined by the originator within an SDP offer in SIP signalling.
2. The P-CSCF identifies the relevant changes in the SDP.

3. The P-CSCF forwards the SDP offer in SIP signalling.
4. The P-CSCF gets the negotiated SDP parameters from the terminating side through SIP signalling interaction.
5. The P-CSCF identifies the relevant changes in the SDP.
6. The P-CSCF invokes the Npcf\_PolicyAuthorization\_Update service operation by sending an HTTP PATCH request to the "Individual Application Session Context" resource, and includes the derived updated information.
  - 6a. The P-CSCF sends a Diameter AAR for an existing Diameter session and includes the derived updated service information.
7. The PCF stores the received updated session information and identifies the affected established PDU Session. For N5 interface, the PCF updates the "Individual Application Session Context" resource.
8. The PCF replies to the P-CSCF with a HTTP "200 OK" response.
  - 8a. The PCF answers with a Diameter AAA.
9. If the P-CSCF did not request access network information in step 6 (step 6a for Rx case), the P-CSCF forwards the SDP answer in SIP signalling.
10. The PCF executes interactions according to figure 5.2.2.2.1-1. Due to the updated service information, this step may imply provisioning of PCC rules or the need to enable or disable IP Flows (see subclauses B.3.2 and B.3.3, respectively).
11. If the P-CSCF requested access network information and/or EPS fallback indication in step 6, the PCF invokes the Npcf\_PolicyAuthorization\_Notify service operation to forward the EPS fallback indication, if received in step 10, and/or the access network information received in step 10 in an HTTP POST request sent to the Notification URI received in step 6
  - 11a. If the P-CSCF requested access network information and/or EPS fallback indication in step 6a, the PCF forwards the EPS fallback indication, if received in step 10, and/or the access network information received in step 10 in a Diameter RAR.
12. If step 11 occurs, the P-CSCF acknowledges the receipt of the notification request with an HTTP "204 No Content" response to the PCF.
  - 12a. If step 11 occurs, the P-CSCF acknowledges the receipt of Diameter RAR.
13. If step 11 occurs (step 11a for Rx case), the P-CSCF includes the SDP answer and the network provided location information in the next SIP message the P-CSCF sends towards the IMS core network.

Optionally, the provisioning of service information may be derived already from the SDP offer to:

- enable that a possible rejection of the service information by the PCF is obtained by the P-CSCF in time to reject the service with appropriate SIP signalling; or
- enable pre-authorization for a UE terminated IMS session establishment with UE initiated resource reservation.

This is described in figure B.3.1-2.



**Figure B.3.1-2: Provisioning of service information derived from SDP offer and answer at IMS session modification**

1. The P-CSCF receives an SDP offer in SIP signalling for an exiting SIP dialogue.
2. The P-CSCF identifies the relevant changes in the SDP and extracts the corresponding service information.
3. The P-CSCF invokes the Npcf\_PolicyAuthorization\_Update service operation by sending an HTTP PATCH request to the "Individual Application Session Context" resource created for the corresponding SIP session, and updates the PCF with the derived updated information.
  - 3a. The P-CSCF forwards the derived service information to the PCF by sending a Diameter AAR over the existing Rx Diameter session for the corresponding SIP session.

The P-CSCF request indicates that the service information that the AF has provided to the PCF is preliminary and needs to be further negotiated between the two ends.

4. The PCF checks and authorizes the session information, but does not provision PCC rules at this stage.
5. The PCF replies to the P-CSCF with a HTTP "200 OK" response
  - 5a. The PCF answers with a Diameter AAA.
6. If the UE initiates a QoS flow resource modification request, the PCF provides the SMF with PCC rules according to figure 5.2.2.3-1 based on the SDP offer.

NOTE: Step 6 is not applicable for IMS Emergency Sessions.

7. The P-CSCF forwards the SDP offer in SIP signalling.
8. The P-CSCF receives the negotiated SDP parameters within an SDP answer in SIP signalling from the terminating side.
9. The P-CSCF identifies the relevant changes in the SDP and extracts the corresponding service information.
10. The P-CSCF invokes the Npcf\_PolicyAuthorization\_Update service operation by sending an HTTP PATCH request to the "Individual Application Session Context" resource, and includes the derived updated information.
  - 10a. The P-CSCF sends a Diameter AAR for an existing Diameter session and includes the derived updated service information.
11. The PCF replies to the P-CSCF with a HTTP "200 OK" response
  - 11a. The PCF answers with a Diameter AAA.
12. The PCF interacts with the SMF according to figure 5.2.2.2.2-1. This step may imply provisioning of PCC rules and authorized QoS. The PCF may need to enable or disable IP Flows (see clauses B.3.2 and B.3.3, respectively) due to the updated service information.
13. If the P-CSCF did not request access network information in step 3 (step 3a for the Rx case) or step 10 (step 10a for the Rx case), the P-CSCF forwards the SDP answer in SIP signalling. This step is executed in parallel with step 12.
14. If the P-CSCF requested access network information and/or EPS fallback indication in step 3 or 10, the PCF invokes the Npcf\_PolicyAuthorization\_Notify service operation to forward the EPS fallback indication, if received in step 12, and/or the access network information received in step 12.
  - 14a. If the P-CSCF requested access network information in step 3a or 10a, the PCF forwards the EPS fallback indication, if received in step 10, and/or the access network information received in step 12 in a Diameter RAR.
15. If step 14 occurs, the P-CSCF acknowledges the notification with a HTTP "204 No Content" response.
  - 15a. If step 14a occurs, the P-CSCF acknowledges the receipt of Diameter RAR.
16. If step 14 occurs (or step 14a for the Rx case), the P-CSCF includes the SDP answer and the network provided location information in the next SIP message the P-CSCF sends towards the IMS core network.

## B.3.2 Enabling of IP Flows

The PCF makes a final decision to enable the allocated QoS resource for the authorized IP flows of the media component(s) if the QoS resources are not enabled at the time they are authorized by the PCF (e.g. because of gate control of early media) or if the media IP flow(s) previously placed on hold are resumed, i.e. the media IP flow(s) of the media component that was placed on hold at the time of the resource authorization or at a later stage is reactivated (with SDP direction sendrecv, sendonly, recvonly or none direction).

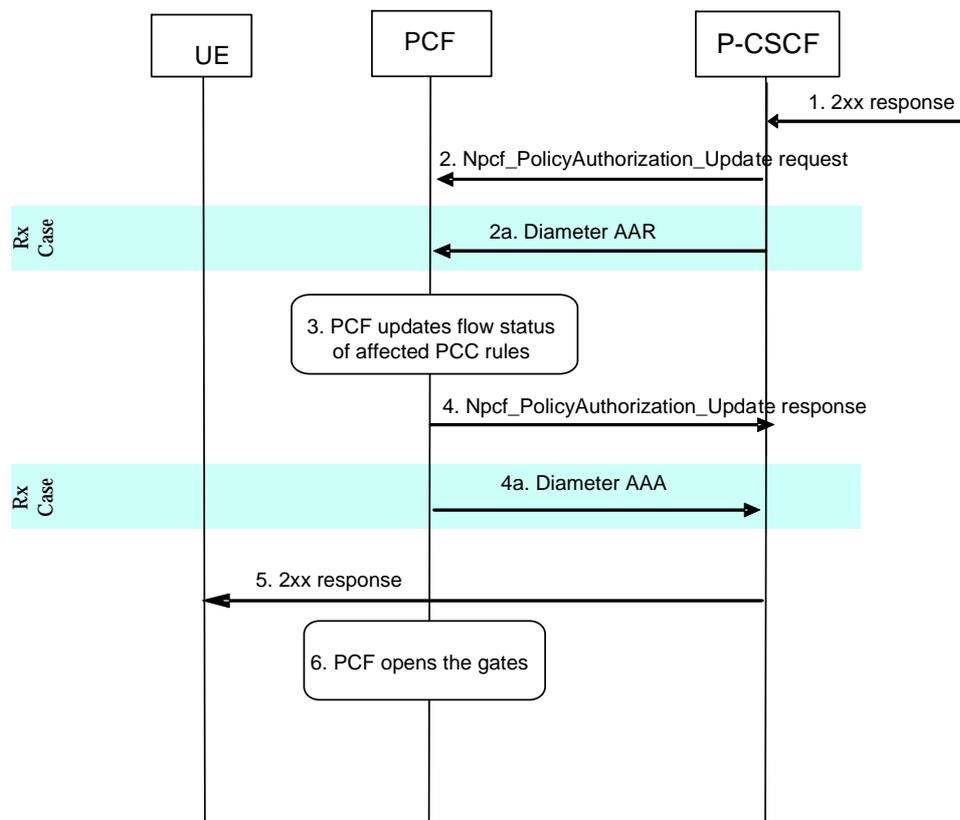
The Enabling of IP Flows procedure is triggered during the early dialog by the P-CSCF receiving the SIP message (e.g. 18x response on initial INVITE request) with the P-Early-Media header field indicating request for authorization of early media as described in subclause B.2.2 of 3GPP TS 29.514 [10].

**NOTE:** Enabling of IP Flows is also possible as part of preliminary responses in order to support SIP Forking scenarios. See subclause B.3.1 of 3GPP TS 29.514 [10].

The Enabling of IP Flows procedure is triggered during the confirmed dialog by the P-CSCF receiving SIP 2xx response to an INVITE request or a 2xx response to an in-dialog UPDATE request in an established dialog (in both cases a 200 OK response is usually received). When receiving such responses, the P-CSCF shall take the SDP direction attribute in the latest received SDP (either within the 2xx response or a previous SIP message) into account when deciding, which gates shall be opened:

- For a unidirectional SDP media component, IP flows in the opposite direction shall not be enabled.
- For an inactive SDP media component, no IP flows shall be enabled.

Figure B.3.2-1 is applicable to the originating and terminating sides for a confirmed dialog.



**Figure B.3.2-1: Enabling of IP Flows**

1. The P-CSCF receives the SIP 2xx response complying with the conditions specified in the paragraphs above.

2. The P-CSCF invokes the Npcf\_PolicyAuthorization\_Update service operation by sending an HTTP PATCH request to the "Individual Application Session Context" resource to the PCF requesting that gates shall be opened.
  - 2a. The P-CSCF sends a Diameter AAR message to the PCF, requesting that gates shall be opened.
3. The PCF approves the enabling of IP flows and PCF updates flow status of affected PCC rules.
4. The PCF replies to the P-CSCF with a HTTP "200 OK" response.
  - 4a. The PCF sends a Diameter AAA to the P-CSCF.
5. The P-CSCF forwards the SIP 2xx response to the UE.
6. The PCF executes interactions according to figure 5.2.2.2.2.2-1. This step implies opening the "gates" by updating the flow status of PCC rules.

### B.3.3 Disabling of IP Flows

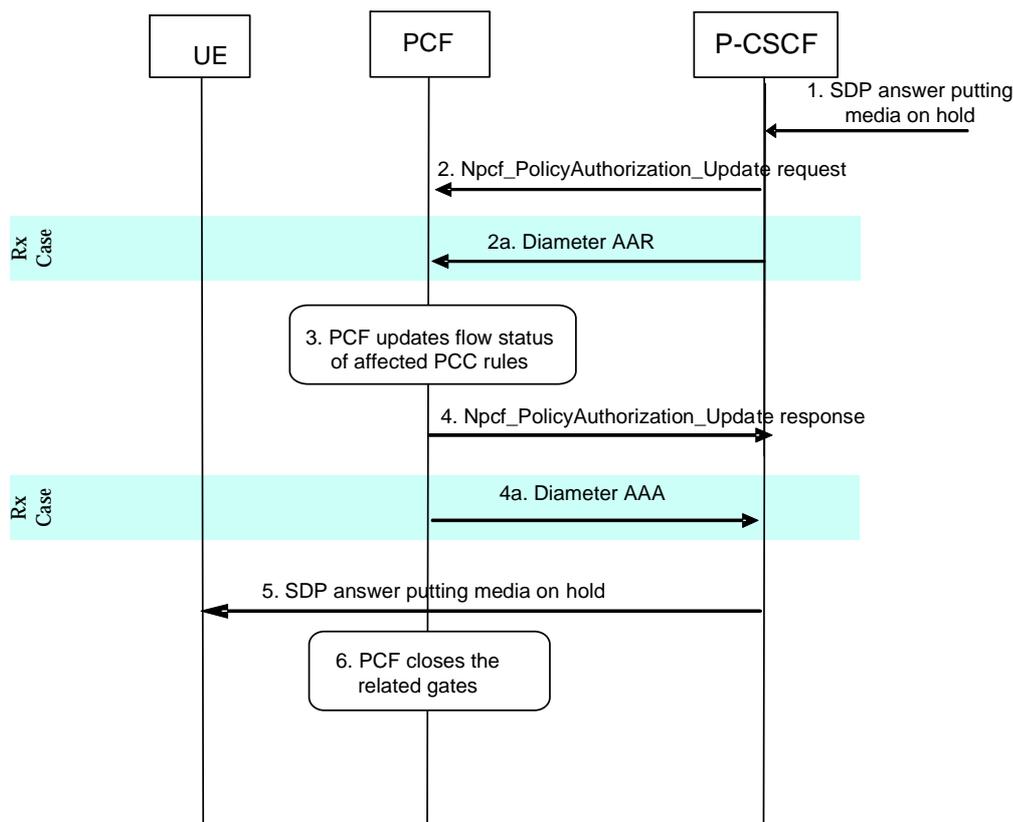
The Disabling of IP Flows procedure is used when media IP flow(s) of a session are put on hold (e.g. in case of a media re-negotiation or call hold).

NOTE 1: Disabling of IP Flows is also possible as part of preliminary responses in order to support SIP Forking scenarios. See subclause B.3.1 of 3GPP TS 29.514 [10].

Media is placed on hold as specified in IETF RFC 3264 [43]. Media modified to become inactive (SDP direction attribute) shall also be considered to be put on hold.

If a bidirectional media component is placed on hold by making it unidirectional, the IP flows shall only be disabled in the deactivated direction. If a media component is placed on hold by making it inactive, the IP flows shall be disabled in both directions.

Figure B.3.3-1 presents the Disabling of IP Flows procedure at media on hold for both the originating and terminating sides.



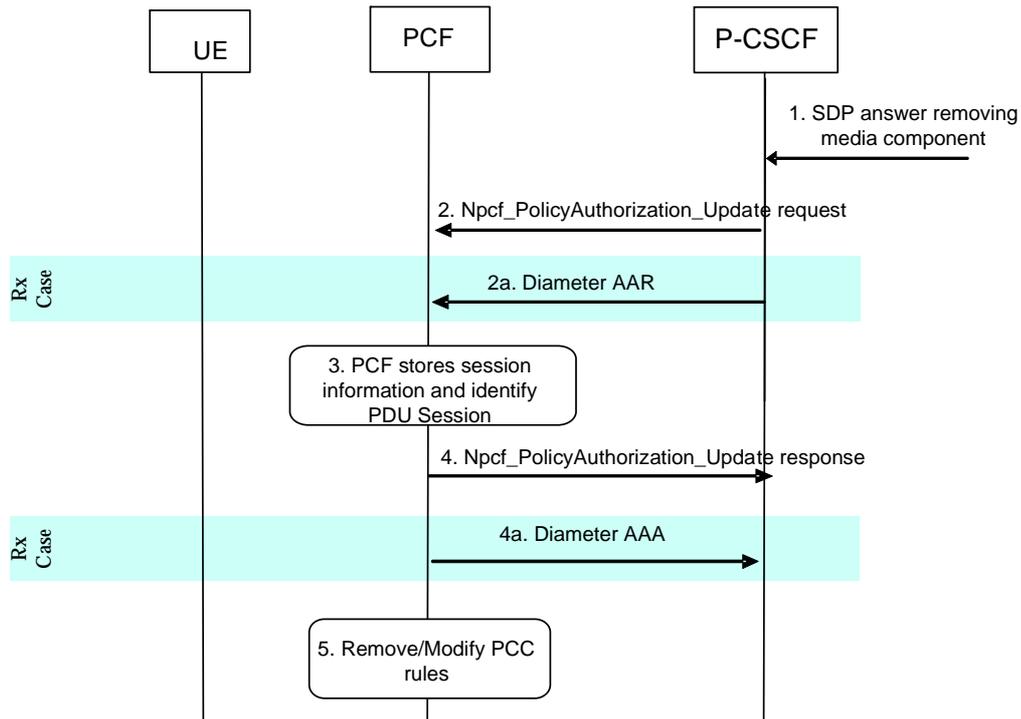
**Figure B.3.3-1: Disabling of IP Flows at Media on Hold**

1. The P-CSCF receives an SDP answer putting media on hold within a SIP message. (NOTE 2)
2. The P-CSCF invokes the Npcf\_PolicyAuthorization\_Update service operation by sending an HTTP PATCH request to the "Individual Application Session Context" resource to the PCF requesting that gates shall be closed.
  - 2a. The P-CSCF sends a Diameter AAR request to the PCF, requesting that gates shall be closed.
3. The PCF updates flow status of affected PCC rules for the media on hold.
4. The PCF replies to the P-CSCF with a HTTP "200 OK" response.
  - 4a. The PCF sends a Diameter AAA message back to the P-CSCF.
5. The P-CSCF forwards the SDP answer putting media on hold within a SIP message.
6. The PCF executes interactions according to figure 5.2.2.2.2-1. This step implies closing the relevant media IP flow gate(s), leaving the possible related RTCP gate(s) open to keep the connection alive.

NOTE 2: This procedure occurs whenever a bidirectional media is made unidirectional or when a media is changed to inactive.

## B.3.4 Media Component Removal

Figure B.3.4-1 presents the flows of PCC procedures at the removal of media component(s) from an IMS session which is not being released for both the originating and terminating sides.



**Figure B.3.4-1: Revoke authorization for IP resources at media component removal for both originating and terminating sides**

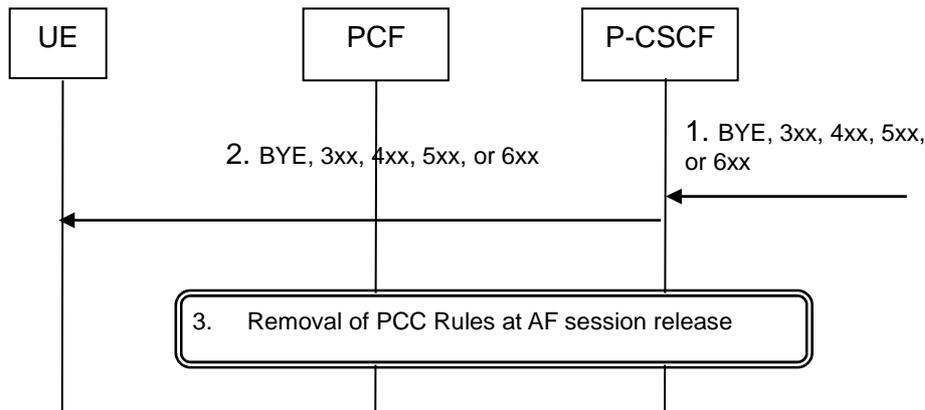
1. A SIP message containing SDP indicating the removal of media component(s) is received by the P-CSCF.
2. The P-CSCF invokes the Npcf\_PolicyAuthorization\_Update service operation by sending an HTTP PATCH request to the "Individual Application Session Context" resource to the PCF with modified service information.
  - 2a. The P-CSCF sends Diameter AAR to the PCF with modified service information.
3. The PCF stores the AF session information and identifies the affected PDU session.
4. The PCF replies to the P-CSCF with a HTTP "200 OK" response.
  - 4a. The PCF sends a Diameter AAA message back to the P-CSCF.
5. The P-CSCF forwards the SDP answer removing a media component.
6. The PCF makes a decision on what PCC rules need to be modified or removed and executes interactions according to figure 5.2.2.2.2.2-1.

## B.4 IMS Session Termination

### B.4.1 Mobile initiated session release / Network initiated session release

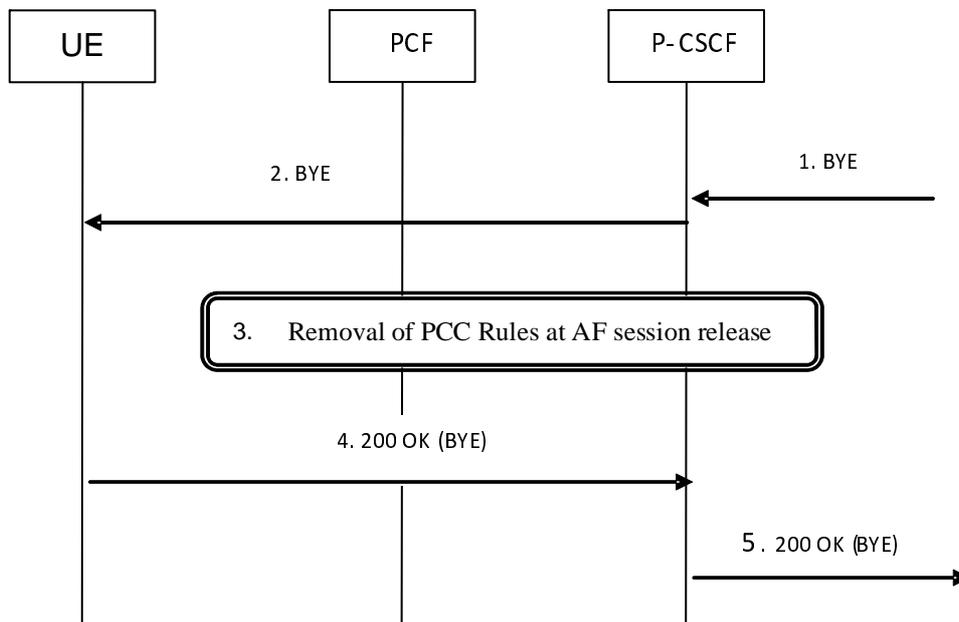
Figure B.4.1-1 represents the mobile or network initiated IMS session release without access network information retrieval. The session release may be signalled by a SIP BYE request, or any SIP 3xx redirect response, or any 4xx, 5xx, or 6xx SIP final error response to an initial INVITE request. If any 4xx, 5xx, or 6xx SIP final error response to Re-INVITE or UPDATE request just terminates the transaction, then the session is not released, otherwise if the error response terminates the dialog then the session is released.

Figures B.4.1-2 and B.4.1-3 presents the network initiated and the mobile initiated IMS session release with access network information retrieval, respectively.



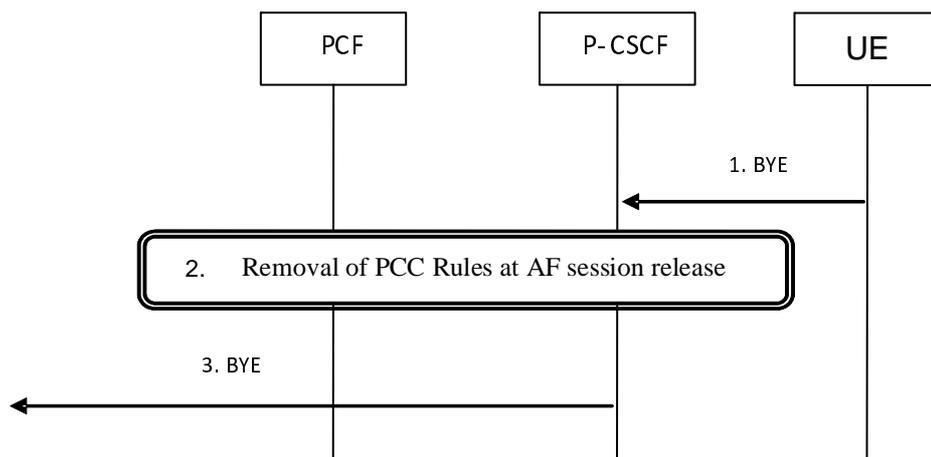
**Figure B.4.1-1: IMS session termination without access network information retrieval**

1. SIP BYE request, a SIP 3xx redirect response, or any 4xx, 5xx, or 6xx SIP final error response to an initial INVITE or any 4xx, 5xx, or 6xx SIP final error response to Re-INVITE or UPDATE which terminates the dialog is received by the P-CSCF.
2. P-CSCF forwards the BYE request, or the SIP 3xx redirect response, or any 4xx, 5xx, or 6xx SIP final error response.
3. The interactions in Figure 5.2.2.2.3-1 are applicable.



**Figure B.4.1-2: network initiated IMS session termination with access network information retrieval**

1. SIP BYE request is received by the P-CSCF.
2. The P-CSCF forwards the BYE request.
3. In parallel to step 2, the interactions in Figure 5.2.2.2.3-1 take place. Within those interactions, the P-CSCF requests and receives the access network information.
4. The P-CSCF receives the SIP 200 OK (BYE) response.
5. The P-CSCF forwards the SIP 200 OK (BYE) response. It includes the access networking information obtained in step 3 as the network provided location information.



**Figure B.4.1-3: mobile initiated IMS session termination with access network information retrieval**

1. SIP BYE request is received by the P-CSCF.
2. The interactions in Figure 5.2.2.2.3-1 are applicable. Within those interactions, the P-CSCF requests and receives the access network information.
3. The P-CSCF forwards the BYE request. It includes the access network information obtained in step 2 as the network provided location information.

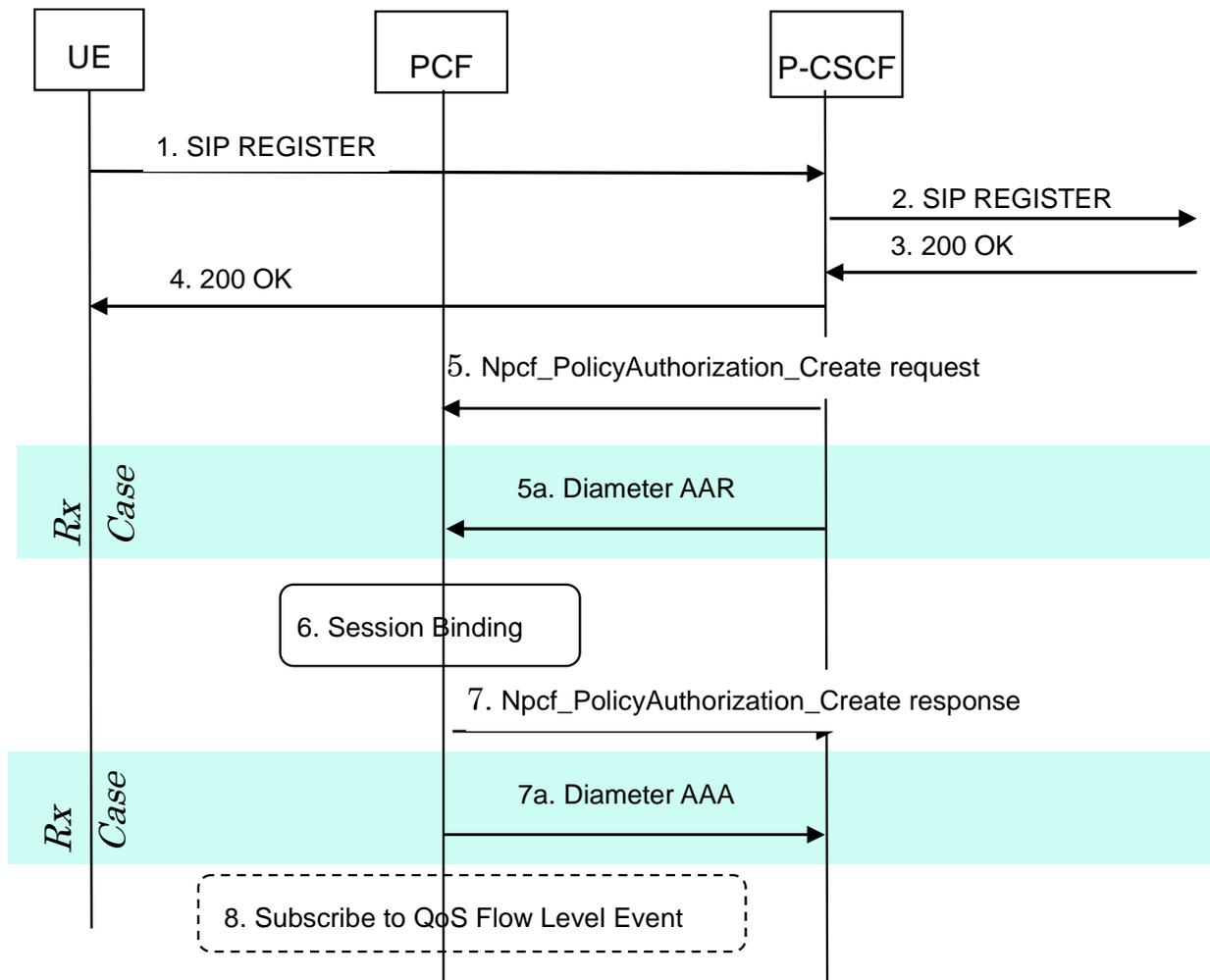
## B.4.2 QoS Flow Release/Loss

A QoS flow release may affect all IP-Flows within an IMS Session. Flows in Figure 5.2.2.3-1 apply.

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## B.5 Subscription to Notification of Signalling Path Status at IMS Registration

This clause covers the optional Subscription to Notifications of IMS Signalling Path Status upon an initial successful IMS Registration procedure.

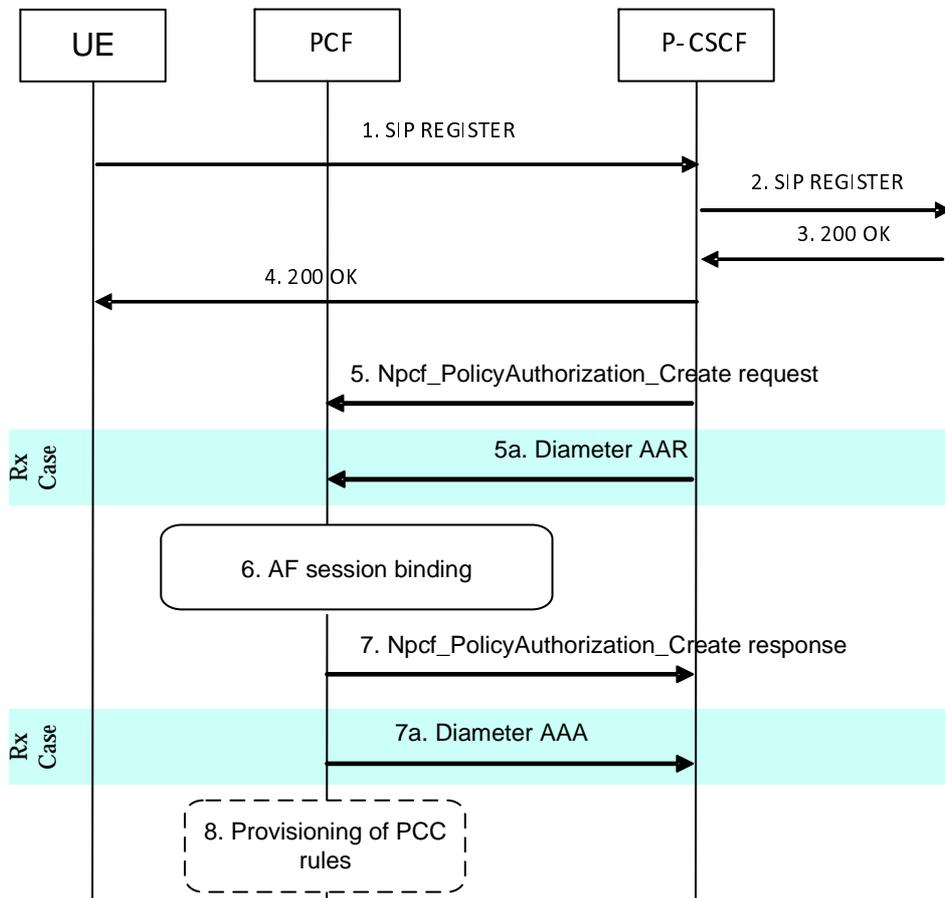


**Figure B.5-1: Subscription to Notification of IMS Signaling Path Status at initial IMS Registration**

- 1-4. The user initiates an initial SIP Registration procedure. The SIP Registration procedure is completed successfully (user has been authenticated and registered within the IMS Core NW).
5. The P-CSCF requests the creation of a new "Individual Application Session Context" resource with the intention to subscribe to the status of the IMS Signaling path. The P-CSCF sends an HTTP POST request message to the PCF.
- 5a. The P-CSCF requests the establishment of a new Diameter Rx session with the intention to subscribe to the status of the IMS Signaling path. The P-CSCF sends a Diameter AAR command to the PCF.
6. The PCF performs session binding and identifies corresponding PCC Rules related to IMS Signalling.
7. The PCF confirms the subscription to IMS Signaling path status and replies with an HTTP "201 Created" message back to the P-CSCF.
- 7a. The PCF confirms the subscription to IMS Signaling path status and replies with a Diameter AAA command back to the P-CSCF.
8. If the PCF had not previously subscribed to the required QoS level events from the PDU session for the affected PCC Rules, then the PCF shall do so now. The PCF initiates procedures according to figure 5.2.2.2.1-1.

## B.6 Provisioning of SIP signalling flow information at IMS Registration

This clause covers the optional Provisioning of SIP signalling flow information upon an initial successful IMS Registration procedure.

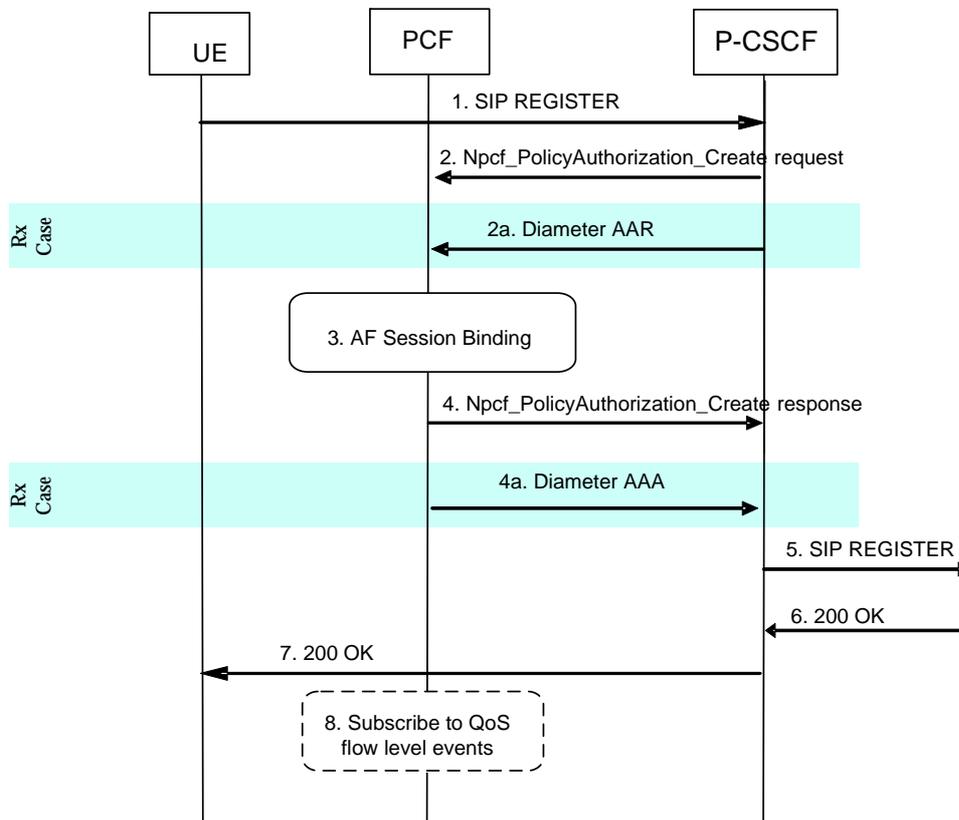


**Figure B.6-1: Provisioning of SIP Signalling Flow Information at initial IMS Registration**

- 1-4. The user initiates an initial SIP Registration procedure. The SIP Registration procedure is completed successfully (user has been authenticated and registered within the IMS Core NW).
5. The P-CSCF requests the creation of a new "Individual Application Session Context" resource with the intention to provision the information about the SIP signalling flows established between the UE and the P-CSCF. The P-CSCF invokes the Npcf\_PolicyAuthorization\_Create service operation to the PCF by sending an HTTP POST request to the "Application Sessions" resource.
- 5a. The P-CSCF requests the establishment of a new Diameter Rx session with the intention to provision the information about the SIP signalling flows established between the UE and the P-CSCF. The P-CSCF sends a Diameter AAR command to the PCF.
6. The PCF performs session binding and identifies corresponding PCC Rules related to IMS Signalling.
7. The PCF replies to the P-CSCF with a HTTP "201 Created" response and includes the URI of the "Individual Application Session Context" resource in the Location header field.
- 7a. The PCF replies to the P-CSCF with a Diameter AAA.
8. If the PCF had not previously provisioned PCC rules corresponding to the received SIP signalling flows, then the PCF executes interactions according to figure 5.2.2.2.2.1-1. This step implies provisioning of PCC rules.

## B.7 Subscription to Notification of Change of Access Type at IMS Registration

This clause covers the optional Subscription to Notifications of change in the access type upon an initial IMS Registration procedure.



**Figure B.7-1: Subscription to Notification of change of IP-CAN Type at initial IMS Registration**

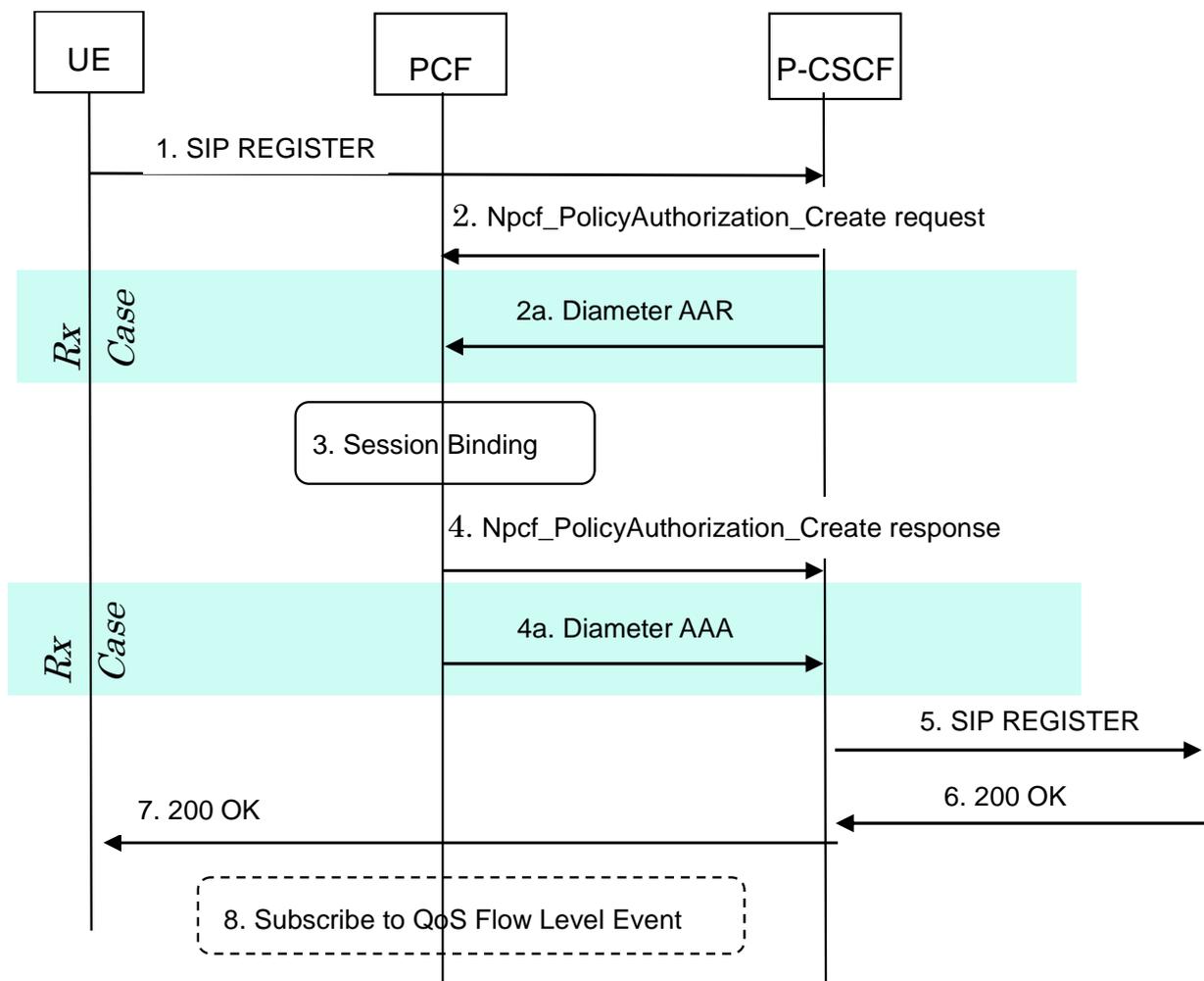
- 1.- The user initiates an initial SIP Registration procedure.
  2. The P-CSCF requests the creation of a new "Individual Application Session Context" resource with the intention to subscribe to the notification of access type change by invoking the Npcf\_PolicyAuthorization\_Create service operation to the PCF. The P-CSCF sends an HTTP POST request to the "Application Sessions" resource.
    - 2a. The P-CSCF requests the establishment of a new Diameter Rx session with the intention to subscribe to the notification of access type change. The P-CSCF sends a Diameter AAR command to the PCF.
- NOTE: It should be possible for the P-CSCF to request the subscription to notification of IMS Signalling path status and PLMN changes also in this step.
3. The PCF performs session binding and identifies corresponding PCC Rules related to IMS Signalling.
  4. The PCF confirms the subscription to notification of access type change and replies to the P-CSCF with a HTTP "201 Created" response and includes the URI of the "Individual Application Session Context" resource in the Location header field.
    - 4a. The PCF confirms the subscription to notification of change of access type and replies with a Diameter AAA command back to the P-CSCF.

The PCF includes in the response the type of access type currently in use.

- 5-7. The SIP Registration procedure is completed successfully (user has been authenticated and registered within the IMS Core NW).
8. If the PCF had not previously subscribed to the required QoS flow level event from the access type (i.e. access type change and RAT type change, if applicable), then the PCF shall do so now. The PCF initiates procedures according to figure 5.2.2.2.2.1-1.

## B.8 Subscription to Notification of Change of PLMN Identifier at IMS Registration

This clause covers the optional Subscription to Notifications of change in the PLMN identifier upon an initial IMS Registration procedure.



**Figure B.8-1: Subscription to Notification of change of PLMN Identifier at initial IMS Registration**

1. The user initiates an initial SIP Registration procedure.
2. The P-CSCF requests the creation of a new "Individual Application Session Context" resource with the intention to subscribe to notification of PLMN Identifier Change. The P-CSCF sends an HTTP POST request message to the PCF.
- 2a. The P-CSCF requests the establishment of a new Diameter Rx session with the intention to subscribe to notification of PLMN Identifier Change. The P-CSCF sends a Diameter AAR command to the PCF.

NOTE 1: It should be possible for the P-CSCF to request the subscription to notification of IMS Signalling path status and IP-CAN Type changes also in this step.

3. The PCF performs session binding and identifies corresponding PCC Rules related to IMS Signalling.
4. The PCF confirms the subscription to notification of PLMN Identifier Change and replies with an HTTP "201 Created" message back to the P-CSCF.
- 4a. The PCF confirms the subscription to notification of PLMN Identifier Change and replies with a Diameter AAA command back to the P-CSCF.
- 5-7. The SIP Registration procedure is completed successfully (user has been authenticated and registered within the IMS Core NW).
8. If the PCF had not previously subscribed to the required QoS level events from the PDU session for the affected PCC Rules, then the PCF shall do so now. The PCRF initiates procedures according to figure 5.2.2.2.1-1.

NOTE 2: If the PLMN identifier is not available in step 4 (step 4a for Rx case), the P-CSCF will wait to get it in step 8 before progressing the SIP Register, i.e. steps 5, 6 and 7 will occur after step 8.

## B.9 P-CSCF Restoration

This clause is applicable if P-CSCF Restoration is to be performed.

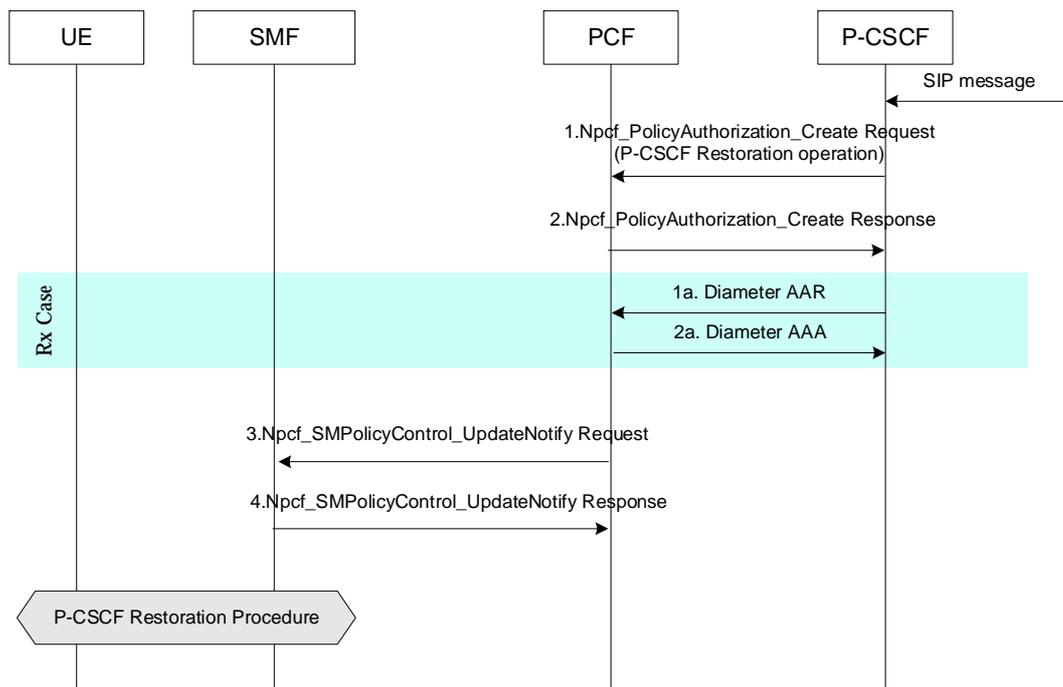


Figure B.9-1: P-CSCF Restoration

1. The P-CSCF invokes the "P-CSCF restoration" custom operation to initiate a P-CSCF Restoration procedure, as defined in 3GPP TS 23.380 [45], by sending an HTTP POST request to the ".../pcscf-restoration" URI, which can contain the IP address of the UE within the "ueIpv4" attribute or "ueIpv6" attribute, and, if required to solve private IPv4 case, the "ipDomain" attribute or the "sliceInfo" attribute if available, The SUPI in the "supi" attribute and the DNN in the "dnn" attribute are provided if the UE IP address is not available, or if available, IP address is not unique and the ipDomain" attribute and/or the "sliceInfo" attribute are not available.

- 1a. sends an AAR command to PCF to initiate a P-CSCF Restoration procedure, as defined in the 3GPP TS 23.380 [45]. The AAR command contains a Rx-Request-Type AVP with value set to PCSCF\_RESTORATION and can contain the IP address of the UE within Framed-IP-Address AVP (if available) or the Framed-Ipv6-Prefix AVP (if available), IMSI (if available) within the Subscription-Id AVP, the IMS DNN (if available) within the Called-Station-Id AVP and/or the IP address domain (if available) within the IP-Domain-Id AVP.
2. The PCF acknowledges the received HTTP POST request with a HTTP "204 No content" response.
  - 2a. When receiving the AAR command for P-CSCF Restoration from the P-CSCF, the PCF acknowledges the AAR by sending an AAA command to the P-CSCF.
3. When receiving the request for the "P-CSCF restoration" custom operation via N5 interface, or the AAR command from the Rx interface, the PCF finds the corresponding PDU session according to the received information from the P-CSCF, and invokes the Npcf\_SMPolicyControl\_UpdateNotify to indicate the SMF the request of P-CSCF restoration for the corresponding PDU session by sending an HTTP POST request to the SMF notification URI and including in body the SmPolicyDecision data type the "pcscfRestIndication" attribute set to true.
4. When receiving the HTTP POST request indicating P-CSCF Restoration, the SMF acknowledges the request by sending an HTTP "204 No Content" response to the PCF and performs the subsequent P-CSCF Restoration procedure as specified in 3GPP TS 23.380 [45].

NOTE: If the PDU session is terminated as result of P-CSCF Restoration, the SMF invokes the Npcf\_SMPolicyControl\_Delete service operation to terminate the SM Policy Association and delete the corresponding "Individual SM Policy" resource in the PCF.

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## B.10 IMS Restricted Local Operator Services

RLOS may be supported as described in subclause B.1 with the following differences:

- Only RLOS requests over E-UTRAN are supported in this release of the specification
- emergency service is replaced by RLOS;
- emergency indication is replaced by RLOS indication;
- emergency session is replaced by RLOS session;
- emergency DNN is replaced by RLOS DNN; and
- the call back functionality is not applicable to RLOS.

NOTE: Subclause B.2.2 is not supported for RLOS, because only UE originated RLOS requests over E-UTRAN are supported and there is no support for mobile terminated services as specified in 3GPP TS 23.221 [46].

## Annex C (informative): Change history

Change history							
Date	Meeting	TDoc	CR	Re v	Cat	Subject/Comment	New version
2017-10						TS skeleton of policy and charging signalling and QoS parameters mapping	0.0.0
2017-10	CT3#92	C3-175378				Inclusion of C3-175332, C3-175355.	0.1.0
2017-12	CT3#93	C3-176398				Inclusion of C3-176258, C3-176372	0.2.0
2018-01	CT3#94	C3-180363				Inclusion of C3-180069, C3-180246, C3-180277, C3-180317	0.3.0
2018-03	CT3#95	C3-181369				Inclusion of C3-181250, C3-181251, C3-181252	0.4.0
2018-04	CT3#96	C3-182517				Inclusion of C3-182222, C3-182340, C3-182341, C3-182342, C3-182343, C3-182374, C3-182375, C3-182376, C3-182377, C3-182378.	0.5.0
2018-05	CT3#97	C3-183901				Inclusion of C3-183385, C3-183387, C3-183388, C3-183495, C3-183496, C3-183497, C3-183503, C3-183527, C3-183528, C3-183529, C3-183530, C3-183823, C3-183828	0.6.0
2018-06	CT#80	CP-181035				TS sent to plenary for approval	1.0.0
2018-06	CT#80	CP-181035				TS approved by plenary	15.0.0
2018-09	CT#81	CP-182015	0001	2	F	AF traffic routing procedure	15.1.0
2018-09	CT#81	CP-182015	0002	3	F	BSF procedures over Rx	15.1.0
2018-09	CT#81	CP-182015	0003	2	F	Clarification on PCF discovery and selection	15.1.0
2018-09	CT#81	CP-182015	0004	4	F	QoS mapping at AF and PCF	15.1.0
2018-09	CT#81	CP-182015	0005	2	F	remove EN of PCC rule authorization for non-IP cases	15.1.0
2018-09	CT#81	CP-182015	0006	2	F	slice info considered in session binding and PCF selection	15.1.0
2018-09	CT#81	CP-182015	0007	1	B	Solution to IPv4 overlapping	15.1.0
2018-09	CT#81	CP-182015	0008		F	Remove the editor's note for Ethernet	15.1.0
2018-09	CT#81	CP-182015	0009		F	5QI derivation in PCF QoS mapping	15.1.0
2018-09	CT#81	CP-182015	0010		B	SMF QoS mapping	15.1.0
2018-09	CT#81	CP-182035	0011	2	F	Resolving EN for PFD Management	15.1.0
2018-12	CT#82	CP-183205	0012	1	F	Architecture of interworking with AFs supporting Rx interface	15.2.0
2018-12	CT#82	CP-183205	0014	5	F	Correction to AM Policy association procedure	15.2.0
2018-12	CT#82	CP-183205	0015		F	Correction to the PFD retrieval	15.2.0
2018-12	CT#82	CP-183205	0016	1	F	Correction to the PCF discovery and selection	15.2.0
2018-12	CT#82	CP-183205	0017	2	F	Correction to the QoS flow binding	15.2.0
2018-12	CT#82	CP-183205	0018	1	F	PCF Derivation of QoS Parameters	15.2.0
2018-12	CT#82	CP-183205	0019	1	F	Consolidation of Initial Spending Limit Report request	15.2.0
2018-12	CT#82	CP-183205	0020	1	F	Consolidation of Intermediate Spending Limit Report request	15.2.0
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2019-03	CT#83	CP-190115	0041	3	F	Correct PCF-initiated AM policy association termination	15.3.0
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2020-09	CT#89e	CP-202081	0192		F	Update the call flows to support TSN	16.5.0

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# History

<b>Document history</b>		
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