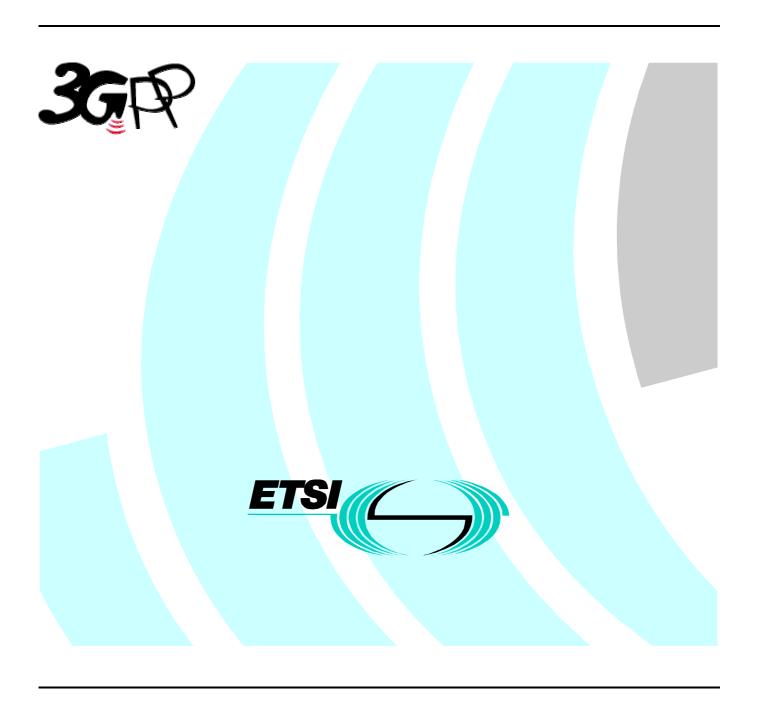
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Technical Specification

Universal Mobile Telecommunications System (UMTS); UTRAN lub Interface: General Aspects and Principles (3G TS 25.430 version 3.2.0 Release 1999)



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## 1 Scope

[1]

The present document is an introduction to the TSG RAN TS 25.43x series of UMTS Technical Specifications that define the Iub Interface. The Iub interface is a logical interface for the interconnection of Node B and Radio Network Controller (RNC) components of the UMTS Terrestrial Radio Access Network (UTRAN) for the UMTS system.

## 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies.
- [2] 3G TS 25.442: "UTRAN Implementation Specific O&M transport".

  [3] 3G TS 25.432: "UTRAN Iub interface signalling transport".

  [4] 3G TS 25.302: "Services Provided by the Physical Layer".

  [5] 3G TS 25.431: "UTRAN Iub Interface: Layer 1".

3G TS 25.401: "UTRAN Overall Description".

- [5] 56 16 25.151. OTHER VIOLENCE Edyor 1.
- [6] 3G TS 25.432: "UTRAN Iub Interface: Signalling Transport".
- [7] 3G TS 25.433: "NBAP Specification".
- [8] 3G TS 25.434: "UTRAN Iub Interface: Data Transport & Transport Signalling for Common Transport Channel Data Streams".
- [9] 3G TS 25.435: "UTRAN Iub Interface: User Plane Protocols for Common Transport Channel Data Streams".
- [10] 3G TS 25.426: "UTRAN Iur/Iub Interface: Data Transport & Transport Signalling for DCH Data Streams".
- [11] 3G TS 25.427: "UTRAN Iur/Iub Interface: User Plane Protocol for DCH Data Streams".
- [12] 3G TS 25.402: "Synchronisation in UTRAN, Stage 2".

## 3 Definitions and abbreviations

#### 3.1 Definitions

For the purposes of the present document, the following terms and definitions apply:

**Propagation delay (PD):** it is the round trip propagation delay of the radio signal from the Node B to the UE and back to the BS in one chip resolution

**Timing Advance (TA):** it is the amount of time, expressed in number of chips, by which the transmission of an uplink burst is anticipated by the UE in order to be received by the cell inside the corresponding time slot

#### 3.2 Abbreviations

For the purposes of the present document, the following abbreviations apply:

AAL2 ATM Adaptation Layer type 2
AAL5 ATM Adaptation Layer type 5
AICH Acquisition Indication Channel
ALCAP Access Link Control Application Part

AP-AICH Access Preamble Acquisition Indication Channel

ATM Asynchronous Transfer Mode

BCH Broadcast Channel

BCCH Broadcast Control Channel

CCH Control Channel

CD/CA-ICH Collision Detection/Channel Assignment Indication Channel

CPCH Common Packet Channel

CPCId Common Physical Channel Identifier

CPICH Common Pilot Channel

CSICH Common Packet Channel Status Indication Channel

CTCId Common Transport Channel Identifier CRNC Controlling Radio Network Controller

DCH Dedicated Transport Channel

DPCCH Dedicated Physical Control Channel
DPCH Dedicated Physical Channel

DRNC Drift Radio Network Controller
DSCH Down-link Shared Channel
FACH Forward Access Channel
FAUSCH Fast Up-link Signalling Channel
FDD Frequency Division Duplex

FP Frame Protocol

NBAP NodeB Application Part
O&M Operation and Maintenance
PICH Page Indication Channel
PCCH Paging Control Channel

PCCPCH Primary Common Control Physical Channel

PCPCH Physical Common Packet Channel PCPICH Primary Common Pilot Channel

PCH Paging Channel

PDSCH Physical Downlink Shared Channel
PRACH Physical Random Access Channel
PUSCH Physical Uplink Shared Channel
RACH Random Access Channel
RNC Radio Network Controller
RNS Radio Network Subsystem

SCCP Signalling Connection Control Part

SCH Synchronisation Channel

SCCPCH Secondary Common Control Physical Channel

SCPICH Secondary Common Pilot Channel SRNC Serving Radio Network Controller

SSCF-UNI Service Specific Co-ordination Function - User Network Interface

SSCOP Service Specific Connection Oriented Protocol

TDD Time Division Duplex
UE User Equipment
UC-ID UTRAN Cell Identifier

UMTS Universal Mobile Telecommunication System

USCH Up-link Shared Channel

UTRAN UMTS Terrestrial Radio Access Network

## 4 General Aspects

#### 4.1 Introduction

The logical interface between a RNC and a Node B is called the Iub interface.

### 4.2 lub Interface General Principles

The general principles for the specification of the Iub interface are as follows:

- Transmission sharing between the GSM/GPRS Abis interface and the Iub interface shall not be precluded.
- The functional division between RNC and Node B shall have as few options as possible.
- Iub should be based on a logical model of Node B.
- Node B controls a number of cells and can be ordered to add/remove radio links in those cells.
- Neither the physical structure nor any internal protocols of the Node B shall be visible over Iub and are thus not limiting factors, e.g., when introducing future technology.
- Only the logical O&M [1] of Node B is supported by the Iub.
- Complex functionality shall as far as possible be avoided over Iub. Advanced optimisation solutions may be added in later versions of the standard.
- The Iub functional split shall take into account the probability of frequent switching between different channel types.

## 4.3 Iub Interface Specification Objectives

The I<sub>ub</sub> interface specifications shall facilitate the following:

- Inter-connection of RNCs and Node Bs from different manufacturers.
- Separation of  $I_{ub}$  interface Radio Network functionality and Transport Network functionality to facilitate introduction of future technology.

The Iub parts to be standardised are:

- 1. User data transport.
- 2. Signalling for handling the user data.
- 3. NodeB Logical O&M [1] 1.

## 4.4 lub Interface Capabilities

The Iub interface connects a RNC and a Node B.

The information transferred over the Iub reference point can be categorised as follows:

<sup>&</sup>lt;sup>1</sup> It should be possible to transport the Implementation Specific O&M [1] interface via the same transport bearer as the Iub interface and, hence, the lower layer transport mechanisms should be standardised to this effect. The application level content of the Implementation Specific O&M interface is out of scope of UTRAN standardisation. Where the implementation specific O&M interface shares the same bearer as the Iub interface, the transport layers shall be as specified in [2] and [3] respectively.

#### 4.4.1 Radio application related signalling

The Iub interface allows the RNC and the Node B to negotiate about radio resources, for example to add and delete cells controlled by the Node B to support communication of the dedicated connection between UE and SRNC. Information used to control the broadcast channel and information to be transported on the broadcast channel belong to this category also. In addition, logical O&M [1] between the Node B and RNC shall also be included in this category.

#### 4.4.2 lub/lur DCH data stream

The Iub interface provides the means for transport of uplink and downlink DCH transport frames between RNC and Node B. An Iub/Iur DCH data stream corresponds to the data carried on one DCH transport channel.

#### 4.4.3 Jub RACH data stream

The Iub interface provides the means for transport of uplink RACH transport frames between Node B and RNC. An Iub RACH data stream corresponds to the data carried on one RACH transport channel.

#### 4.4.4 lub FDD CPCH data stream

The Iub interface provides the means for transport of uplink CPCH [FDD] transport frames between Node B and RNC.

#### 4.4.5 lub FACH data stream

The Iub interface provides the means for transport of downlink FACH transport frames between RNC and Node B. An Iub FACH data stream corresponds to the data carried on one FACH transport channel.

#### 4.4.6 lub DSCH data stream

The Iub interface provides the means for transport of downlink shared channel, DSCH, data frames between RNC and Node B. An Iub DSCH data stream corresponds to the data carried on one DSCH transport channel for one UE. A UE may have multiple DSCH data streams.

#### 4.4.7 lub TDD USCH data stream

The Iub interface provides the means for transport of uplink shared channel, USCH, data frames between Node B and RNC. An Iub USCH data stream corresponds to the data carried on one USCH transport channel for one UE. A UE may have multiple USCH data streams.

#### 4.4.8 Jub PCH data stream

The Iub interface provides the means for transport of PCH transport frames between RNC and Node B. An Iub PCH data stream corresponds to the data carried on one PCH transport channel.

#### 4.5 lub Interface Characteristics

#### 4.5.1 Mapping of lub data streams

**DCH** One Iub DCH data stream is carried on one transport bearer. For each DCH data stream a transport bearer must be established over Iub, except in the case of coordinated DCHs in which case a set of

coordinated DCHs are multiplexed onto the same transport bearer.

**FDD CPCH** One Iub CPCH data stream is carried on one transport bearer. For each CPCH in a cell, an Iub

CPCH data stream must be established over the Iub interface.

**RACH** One Iub RACH data stream is carried on one transport bearer. For each RACH in a cell, a

transport bearer must be established over the Iub interface.

**FACH** One Iub FACH data stream is carried on one transport bearer. For each FACH in a cell, a transport

bearer must be established over the Iub Interface.

**DSCH** One Iub DSCH data stream is carried on one transport bearer. For each DSCH data stream, a

transport bearer must be established over the Iub interface.

**TDD USCH** One Iub TDD USCH data stream is carried on one transport bearer. For each USCH data stream, a

transport bearer must be established over the Iub interface.

**PCH** One Iub PCH data stream is carried on one transport bearer.

#### 4.6 lub Protocols

There shall exist a clear separation between the radio network layer and the transport layer. Therefore, the radio network signalling and Iub data streams are separated from the data transport resource and traffic handling as shown in figure 1 This resource and traffic handling is controlled by the Transport Signalling. The Transport Signalling is carried by a Signalling Bearer over the Iub interface.

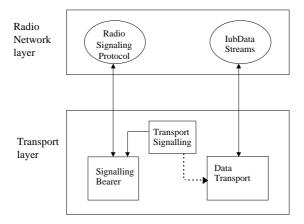


Figure 1: Separation of Radio Network protocols and transport over lub

## 5 Functions of the I<sub>ub</sub> Interface Protocols

#### 5.1 lub Functions

The list of functions on the Iub interface is the following:

- 1. Management of Iub Transport Resources;
- 2. Logical O&M of Node B:
  - Iub Link Management;
  - Cell Configuration Management;
  - Radio Network Performance Measurements;
  - Resource Event Management;
  - Common Transport Channel Management;
  - Radio Resource Management;
  - Radio Network Configuration Alignment;
- 3. Implementation Specific O&M Transport;

- 4. System Information Management;
- 5. Traffic Management of Common Channels:
  - Admission Control;
  - Power Management;
  - Data Transfer;
- 6. Traffic Management of Dedicated Channels:
  - Radio Link Management;
  - Radio Link Supervision;
  - Channel Allocation / De-allocation;
  - Power Management;
  - Measurement Reporting;
  - Dedicated Transport Channel Management;
  - Data Transfer;
- 7. Traffic Management of Shared Channels:
  - Channel Allocation / De-allocation;
  - Power Management;
  - Transport Channel Management;
  - Dynamic Physical Channel Assignment;
  - Radio Link Management;
  - Data Transfer;
- 8. Timing and Synchronisation Management:
  - Transport Channel Synchronisation (Frame synchronisation);
  - Node B RNC node Synchronisation;
  - Inter Node B node Synchronisation.

## 5.2 Functional split over lub

## 5.2.1 Management of lub Transport Resources

The underlying transport resources (AAL2 connections) shall be set up and controlled by the RNC. Further information on these functions is provided in the transport layer specifications [3], [8], [10].

## 5.2.2 Logical O&M of Node B

Logical O&M is the signalling associated with the control of logical resources (channels, cells,...) owned by the RNC but physically implemented in the Node B. The RNC controls these logical resources. A number of O&M procedures physically implemented in Node B impact on the logical resources and therefore require an information exchange between RNC and Node B. All messages needed to support this information exchange are classified as Logical O&M forming an integral part of NBAP over the Iub interface.

#### 5.2.2.1 Handling of Node B Hardware Resources

Mapping of Node B logical resources onto Node B hardware resources, used for Iub data streams and radio interface transmission/reception, is performed by Node B.

#### 5.2.3 Implementation Specific O&M Transport

The Iub interface may support the transport of Implementation specific O&M information. Further detail on this can be found in the UMTS technical specification on Implementation Specific O&M Transport [2].

#### 5.2.4 System Information Management

System Information is sent by the CRNC to a Node B. CRNC can also request the Node B to autonomously create and update certain Node B related system information. Scheduling of system broadcast information is carried out in the CRNC. Scheduling information is always sent by the CRNC to the Node B. The Node B is responsible for transmitting the received system information according to the scheduling parameters provided. If requested by the CRNC, the Node B is also responsible for autonomously creating and updating the Node B related system information according to the scheduling parameters provided.

#### 5.2.5 Traffic management of Common Channels

The common channels need to be controlled from the RNC. This is typically the control of the RACH, CPCH [FDD], DSCH and FACH channels, the information that is broadcast on the Broadcast control channel, and the control and request for sending information on the paging channels.

#### 5.2.6 Traffic management of Dedicated Channels

These functions are related to the activation of logical resources (e.g. Radio Links, Iub ports), and the connection of these various resources together.

Some freedom may be left for Node B implementation on some functions like soft combining for FDD within Node B, since soft combining has merits for being executed as close as possible to the radio (both in terms of transmission cost and efficiency).

#### 5.2.6.1 Combining/Splitting and Control

Node B may perform combining/splitting of data streams communicated via its cells. RNC performs combining/splitting of Iub data streams received from/sent to several Node B(s).

The UL combining of information streams may be performed using any suitable algorithm, for example:

- [FDD based on maximum ratio algorithm (maximum ratio combining)];
- [FDD based on quality information associated to each TBS (selection-combining)];
- [TDD based on the presence/absence of the signal (selection)].

When requesting the addition of a new cell for a UE-UTRAN connection, the RNC can explicitly request to the Node B a new Iub data stream, in which case the combining and splitting function within the Node B is not used for that cell. Otherwise, the Node B takes the decision whether combining and splitting function is used inside the Node B for that cell i.e. whether a new Iub data stream shall be added or not.

The internal Node B handling of the combining/splitting of radio frames is controlled by the Node B.

#### 5.2.6.2 Handover Decision

To support mobility of the UE to UTRAN connection between cells, UTRAN uses measurement reports from the UE and detectors at the cells.

The RNC takes the decision to add or delete cells from the connection.

#### 5.2.6.3 Allocation of Physical Channel Resources

In FDD allocation of downlink channelisation codes of cells belonging to Node B is performed in the CRNC.

In TDD allocation of uplink and downlink physical channel resources of cells belonging to Node B is performed in the CRNC.

#### 5.2.6.4 UpLink Power Control

This function controls the level of the transmitted power in order to minimise interference and keep the quality of the connections. The function uplink Outer Loop Power Control located in the SRNC sets the target quality for the uplink Inner Loop Power Control function. In FDD Inner Loop Power Control Function is located in Node B, while in TDD it is located in the UE.

#### 5.2.6.5 Down-Link Power Control

This function controls the level of the downlink transmitted power. In FDD it is also used to correct the downlink power drifting between several radio links. A SRNC regularly (or under some algorithms) shall send the target down link power range based on the measurement report from UE.

#### 5.2.6.6 Admission Control

The Admission Control function based on uplink interference and downlink power is located in the CRNC.

Node B shall report uplink interference measurements and downlink power information over the Iub.

The CRNC controls this reporting function, i.e. if this information needs to be reported and the period of these reports.

#### 5.2.6.7 Power and Interference Reporting

A threshold for reporting may be given to Node B from the CRNC to prevent frequent reporting over the Iub. Node B shall have a function to measure "uplink interference level and downlink TX Power" and a function to compare the averaged "uplink interference level and downlink TX power" with the threshold value. NodeB shall also have a function to report when the average measured value exceeds the threshold value. The CRNC shall have a function to modify the "threshold value" for neighbour cell co-ordination.

An indication of exceeding uplink interference threshold or downlink TX power may be included as a cause of failure when a Node B is requested to set-up a radio link or add to an existing radio link. This may be used when a number of radio links set-up requests or additions are received on the Iub during the reporting interval.

## 5.2.7 Traffic management of Shared Channels

The shared channels shall be controlled from the RNC. This is typically the control of the DSCH channels and the TDD USCH channels.

## 5.2.8 Timing and Synchronisation Management

The Iub interface shall support timing and synchronisation management functions. Further detail regarding these functions can be found in the UMTS technical specification on UTRAN synchronisation [12].

## 6 Node B logical Model over lub

#### 6.1 Overview

The model described in figure 2 shows the Node B as seen from the controlling RNC. The model includes:

- the logical resources provided by Node B to UTRAN (via its Controlling RNC) depicted as "cells" which include the physical channel resources DPCH, PDSCH, and PUSCH;
- the dedicated channels which have been established on Node B;
- the common transport channels that Node B provides to the RNC.

The procedures for controlling the connections between radio links and Iub DCH data ports are sent from the RNC to the Node B via the Communication Control Ports.

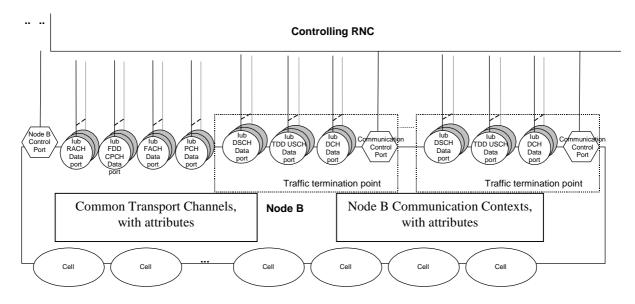


Figure 2: Logical Model of Node B

## 6.2 Elements of the logical model

## 6.2.1 Node B Communication Contexts for Dedicated and Shared Channels

A Node B Communication Context corresponds to all the dedicated resources that are necessary for a user in dedicated mode and using dedicated and/or shared channels as restricted to a given Node B. [In TDD, the Node B Communication Context also exists for users in Cell\_FACH mode (i.e. non-dedicated mode) provided a USCH and/or DSCH has been allocated to these users.]

There are a number of Node B Communication Contexts inside a given Node B.

The attributes to a Node B Communication Context shall include the following (not exhaustive):

- The list of Cells where dedicated and/or shared physical resources are used.
- The list of DCH which are mapped on the dedicated physical resources for that Node B Communication Context.
- The list of DSCH and (TDD) USCH which are used by the respective UE.
- The complete DCH characteristics for each DCH, identified by its DCH-identifier [4].

- The complete Transport Channel characteristics for each DSCH and USCH, identified by its Shared Channel identifier [4].
- The list of Iub DCH Data Ports.
- The list of Iub DSCH Data ports and Iub USCH data ports.
- For each Iub DCH Data Port, the corresponding DCH and cells which are carried on this data port.
- For each Iub DSCH and USCH data port, the corresponding DSCH or USCH and cells which serve that DSCH or USCH.
- Physical layer parameters (outer loop power control, etc).

#### 6.2.2 Common Transport Channels

Common Transport Channels are defined in [9]. A Common Transport Channel is configured in the Node B, on request of the CRNC.

The BCH is carried directly on the Node B control port using NBAP procedures. This Common Channel will not be mapped to an individual data port.

The RACH has an associated Iub RACH Data Port and the FACH has an associated Iub FACH Data Port.

The CPCH [FDD] has an associated Iub CPCH Data Port.

The Iub DSCH data port is associated to one DSCH and to one Node B Communication Context.

[TDD - the Iub USCH data port is associated to one USCH and to one Node B Communication Context.]

The attributes of a Common transport channel shall include (not exhaustive):

- Type (RACH, CPCH [FDD], FACH, DSCH, USCH, PCH).
- Associated Iub RACH Data Port for a RACH, Iub CPCH Data Port for a CPCH [FDD], Iub FACH Data Port for a FACH, Iub PCH Data Port for the PCH.
- List of associated Iub FDD DSCH Data ports for the DSCH (FDD only).
- Physical parameters.

[TDD - In TDD, the DSCHs used by one UE are multiplexed to one or several CCTrCHs where each CCTrCH is mapped to a set of PDSCH ("PDSCH Set"). These PDSCH Sets are included in the Common Transport Channel data base. The same applies for the USCHs and the corresponding PUSCH Sets.]

### 6.2.3 Transport network logical resources

#### 6.2.3.1 Node B Control Port

The Node B Control Port is used to exchange the signalling information for the logical O&M of Node B, the creation of Node B Communication Contexts, the configuration of the common transport channels that Node B provides in a given cell, PCH and BCH control information between the RNC and the Node B. The Node B Control Port corresponds to one signalling bearer between the controlling RNC and the Node B. There is one Node B Control Port per Node B.

#### 6.2.3.2 Communication Control Port

A Communication Control Port corresponds to one signalling bearer between the RNC and Node B for the control of Node B Communication Contexts. One signalling bearer between RNC and Node B can at most correspond to one Communication Control Port. Node B may have multiple Communication Control Ports (one per Traffic Termination Point). The Communication Control Port is selected at creation of the Node B Communication Context.

#### 6.2.3.3 Traffic Termination Point

Traffic Termination Point represents DCH, DSCH and TDD USCH data streams belonging to one or more Node B Communication Contexts (UE contexts), which are controlled via one Communication Control Port. The Traffic Termination Point is thus a descriptive entity which neither is controlled over Iub nor by O&M.

#### 6.2.3.4 lub DCH Data Port

One Iub DCH Data port represents one user plane transport bearer. One user plane transport bearer will carry only one DCH data stream except in the case of coordinated DCHs, in which case the data streams of all combined DCHs shall be multiplexed on one and the same user plane transport bearer.

#### 6.2.3.5 lub RACH Data Port

An Iub RACH Data Port represents a user plane bearer carrying one Iub RACH Data Stream between the Node B and the RNC. There is one RACH Data Port for each RACH channel of Node B.

#### 6.2.3.6 Jub FDD CPCH Data Port

An Iub CPCH Data Port represents a user plane bearer carrying one Iub CPCH Data Stream between the Node B and the RNC. There is one CPCH Data Port for each CPCH [FDD] channel of Node B..

#### 6.2.3.7 lub FACH Data Port

An Iub FACH Data Port represents a user plane bearer carrying one Iub FACH Data Stream between the Node B and the RNC. There is one FACH Data Port for each FACH channel of Node B.

#### 6.2.3.8 lub DSCH Data Port

An Iub DSCH Data Port represents a user plane bearer carrying one Iub DSCH Data Stream between the Node B and the RNC. For each DSCH, that is used by an individual UE, there is one Iub DSCH Data Port per NodeB exclusively assigned to the communication context of that UE. In FDD each DSCH is associated with a downlink DPCCH.

#### 6.2.3.9 lub TDD USCH Data Port

An Iub TDD USCH Data Port represents a user plane bearer carrying one Iub TDD USCH Data Stream between the Node B and the RNC. For each USCH, that is used by an individual UE, there is one Iub TDD USCH Data Port with data exclusively assigned to the NodeB communication context of that UE.

#### 6.2.3.10 lub PCH Data Port

An Iub PCH Data Port represents an Iub PCH Data Stream between the Node B and the RNC.

#### 6.2.4 Radio Network Logical resources

#### 6.2.4.1 Common Resources

The CRNC manages logical radio network resources in Node B and needs to use both common and dedicated resources in a Node B to run a radio network. Therefore, it is the CRNC that orders the Node B to configure, reconfigure and delete these resources. However, if the equipment in Node B cannot fully support the configuration that the CRNC requests, or the equipment breaks down, then Node B can indicate the availability of the common resources (i.e. both downgrade and upgrade).

The common resources are the Cell, the common physical channels and the common transport channels.

In Node B these common resources have an operational state, that indicates whether they are operational or not, i.e. whether they can carry traffic or not.

Figure 3 shows the common resources that a CRNC is managing in a Node B to be able to run a radio network.

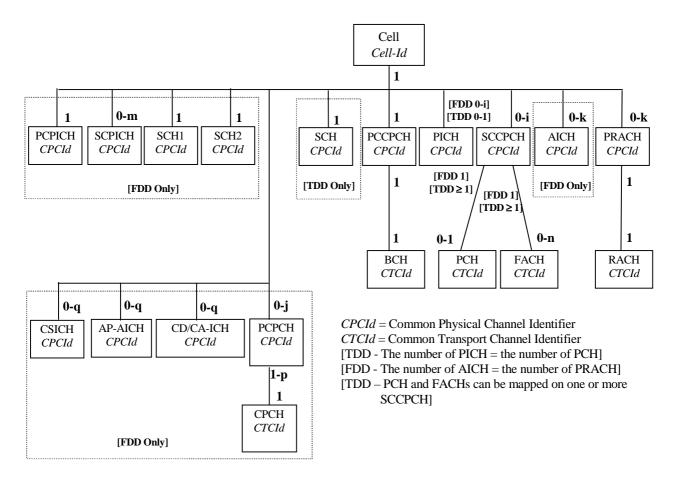


Figure 3: Common resources in a Node B that are managed by the CRNC

#### 6.2.4.2 Cell

A Cell is identified by a UTRAN Cell identifier (UC-id) [1].

The semantics of a Cell include the following:

- The Cell can be created and removed by administrative procedures. When a Local Cell, i.e. equipment in a Node B, is made available to the CRNC for configuration of a cell, the CRNC can configure the cell with configuration data, common physical channels and common transport channels in Node B. In so doing a Local cell is added to the RNS.
- If any Iub transport bearers for common or dedicated transport channels exists when the cell is deleted, the Node B shall take no action to release those transport bearers.
- Node B may support one or more cells.
- Configuration of a cell over the Iub interface cannot be successful unless Node B has reported a Local Cell Id [1] as available to the CRNC.
- Once a Local Cell is configured to support a cell, it cannot be deleted without the CRNC first deleting the cell.

Figure 4 illustrates the state diagram for a Local Cell in Node B, as seen over the Iub interface.

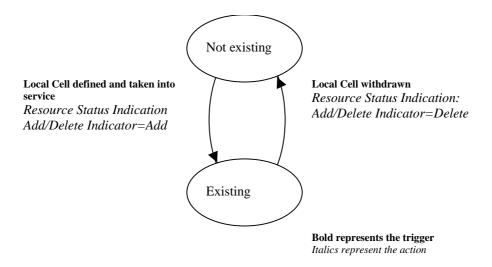


Figure 4: States for a Local Cell that are seen over the lub interface

Cells in Node B have a resource operational state.

Figure 5 illustrates the state diagram for the states of a cell, as seen over the Iub interface.

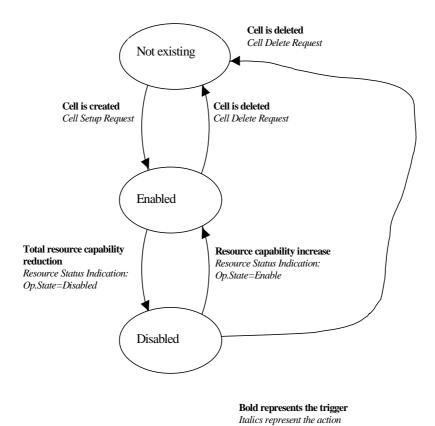


Figure 5: States for a cell in Node B, as reported to the CRNC

There are three states seen over the Iub interface:

- 1. Not existing, meaning that the cell does not exist in Node B.
- 2. enabled, meaning that the resource can be used by the RNC.
- 3. disabled, meaning that the resource cannot be used by the RNC.

When a cell becomes disabled in Node B, that shall be reported to the CRNC together with the cause.

#### 6.2.4.3 Common Physical Channels and Common Transport Channels

Common physical channels and common transport channels in Node B have a resource operational state.

Figure 6 illustrates the state diagram for common physical channels and common transport channels in Node B, as seen over the Iub interface.

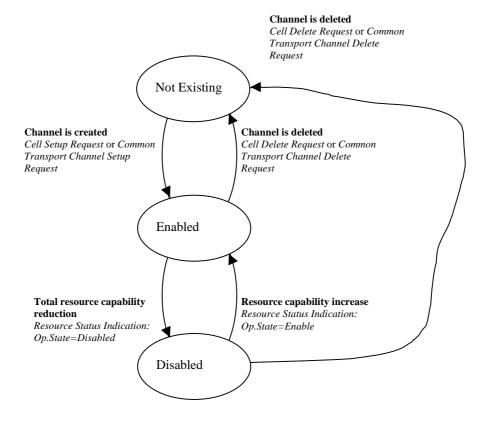


Figure 6: States for a common channel in Node B, as reported to the CRNC

**Bold represents the trigger** *Italics represent the action* 

There are three states seen over the Iub interface:

- 1. not existing, meaning that the resource does not exist in Node B;
- 2. enabled, meaning that the resource can be used by the RNC;
- 3. disabled, meaning that the resource cannot be used by the RNC.

When a channel becomes disabled in the Node B, this shall be reported to the CRNC together with the cause.

#### 6.2.4.4 Physical Shared Channels

Physical Shared Channels includes the Physical Downlink Shared Channels (PDSCH) and the Physical Uplink Shared Channels (PUSCH, TDD only). These PDSCH and PUSCH are special cases of the Common Physical Channels.

[FDD - A PDSCH is defined by a channelisation code within a code subtree that is configured within a specific Communication Context. The PDSCH is activated dynamically as part of the DSCH scheduling.]

[TDD - A PDSCH is defined by a channelisation code, a time slot and other Physical Channel parameters. Several PDSCH may be grouped into a PDSCH Set, which is given a "PDSCH Set Id". The PDSCH Sets are configured in the Node B in the "Common Transport Channel" data base by Common NBAP messages. These PDSCH Sets are available to carry DSCH data. The PDSCH Sets are dynamically activated to carry DSCH data, as part of the DSCH scheduling.

A PUSCH is defined by a channelisation code, a time slot and other Physical Channel parameters. Several PUSCH may be grouped into a PUSCH Set, which is given a "PUSCH Set Id". The PUSCH Sets are configured in the Node B in the "Common Transport Channel" data base by Common NBAP messages. These PUSCH Sets are available to carry USCH data. The PUSCH Sets are dynamically activated to carry USCH data, as part of the USCH scheduling.]

## 7 Iub Interface Protocol Structure

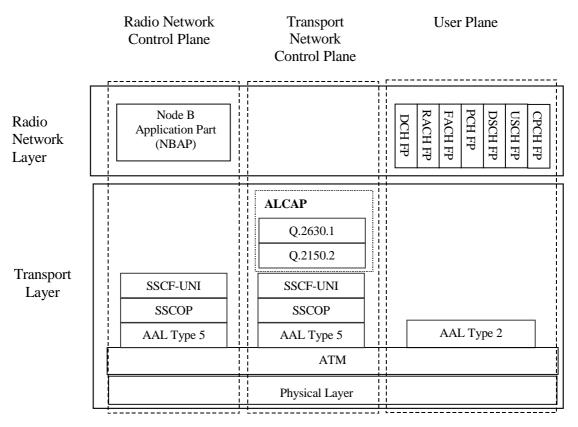


Figure 7: lub Interface Protocol Structure.

The Iub interface protocol architecture consists of two functional layers:

- 1. Radio Network Layer, defines procedures related to the operation of Node B. The radio network layer consists of a radio network control plane and a radio network user plane.
- 2. Transport Layer, defines procedures for establishing physical connections between Node B and the RNC.

There shall be one dedicated AAL2 connection for each RACH, one for each FACH transport channel, and one for each CPCH [FDD].

## 8 Other lub Interface Specifications

### 8.1 UTRAN lub Interface: Layer 1 (TSG RAN 25.431)

This document [5] specifies the standards allowed for the implement of Layer 1 (physical layer) on the I<sub>ub</sub> interface.

## 8.2 UTRAN lub Interface: Signalling Transport (TSG RAN 25.432)

This document [6] specifies the signalling transport related to NBAP signalling to be used across the Iub Interface.

### 8.3 NBAP Specification (TSG RAN 25.433)

This document [7] specifies the standards for NBAP specification to be used over Iub Interface.

# 8.4 UTRAN lub Interface: Data Transport & Transport Signalling for Common Transport Channel Data Streams (TSG RAN 25.434)

This document [8] provides a specification of the UTRAN RNC-Node B (Iub) interface Data Transport and Transport Signalling for Common Transport Channel data streams.

## 8.5 UTRAN lub Interface: User Plane Protocols for Common Transport Channel Data Streams (TSG RAN 25.435

This document [9] provides a specification of the UTRAN RNC-Node B (Iub) interface user plane protocols for Common Transport Channel data streams.

## 8.6 UTRAN lur/lub Interface: Data Transport & Transport Signalling for DCH Data Streams (TSG RAN 25.426)

This Technical Specification[10] specifies the transport bearers for the DCH data streams on UTRAN Iur and Iub interfaces. The corresponding Transport Network Control plane is also specified.

## 8.7 UTRAN lur/lub Interface: User Plane Protocol for DCH Data Streams (TSG RAN 25.427)

This document [11] provides a specification of the UTRAN Iur and Iub interfaces user plane protocols for Dedicated Transport Channel data streams.

## 8.8 Summary of UTRAN lub Interface Technical Specifications

The relationship between the technical specifications that define the UTRAN Iub interface is shown in figure 8.

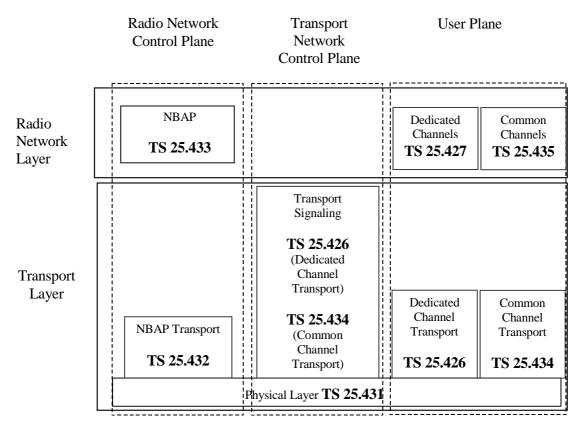


Figure 8: lub Interface Technical Specifications.

# Annex A (informative): Change history

Change history						
TSG RAN#	Version	CR	Tdoc RAN	New Version	Subject/Comment	
RAN_06	-	-	RP-99762	3.0.0	Approved at TSG RAN #6 and placed under Change Control	
RAN_07	3.0.0	-	-	3.1.0	Approved at TSG RAN #7	
RAN_08	3.1.0	-	RP-000249	3.2.0	Approved at TSG RAN #8	

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## History

Document history						
V3.0.0	January 2000	Publication				
V3.1.0	March 2000	Publication				
V3.2.0	June 2000	Publication				