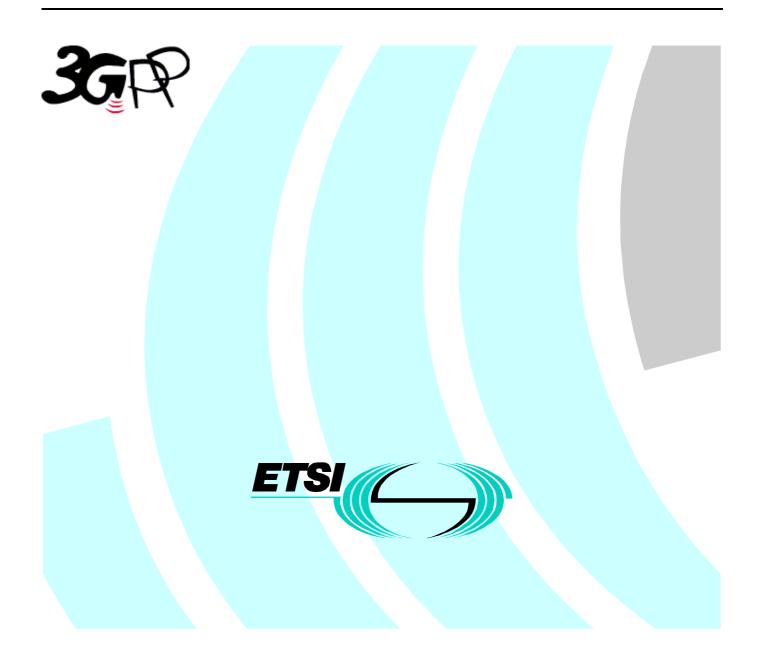
# ETSI TS 125 224 V4.0.0 (2001-03)

Technical Specification

Universal Mobile Telecommunications System (UMTS); Physical layer - Measurements (TDD) (3GPP TS 25.224 version 4.0.0 Release 4)



Reference RTS/TSGR-0125225Uv4

> Keywords UMTS

#### ETSI

#### 650 Route des Lucioles F-06921 Sophia Antipolis Cedex - FRANCE

Tel.: +33 4 92 94 42 00 Fax: +33 4 93 65 47 16

Siret N° 348 623 562 00017 - NAF 742 C Association à but non lucratif enregistrée à la Sous-Préfecture de Grasse (06) N° 7803/88

Important notice

Individual copies of the present document can be downloaded from: http://www.etsi.org

The present document may be made available in more than one electronic version or in print. In any case of existing or perceived difference in contents between such versions, the reference version is the Portable Document Format (PDF). In case of dispute, the reference shall be the printing on ETSI printers of the PDF version kept on a specific network drive within ETSI Secretariat.

Users of the present document should be aware that the document may be subject to revision or change of status. Information on the current status of this and other ETSI documents is available at <a href="http://www.etsi.org/tb/status/">http://www.etsi.org/tb/status/</a>

If you find errors in the present document, send your comment to: editor@etsi.fr

#### **Copyright Notification**

No part may be reproduced except as authorized by written permission. The copyright and the foregoing restriction extend to reproduction in all media.

© European Telecommunications Standards Institute 2001.

All rights reserved.

# Intellectual Property Rights

IPRs essential or potentially essential to the present document may have been declared to ETSI. The information pertaining to these essential IPRs, if any, is publicly available for **ETSI members and non-members**, and can be found in ETSI SR 000 314: "Intellectual Property Rights (IPRs); Essential, or potentially Essential, IPRs notified to ETSI in respect of ETSI standards", which is available from the ETSI Secretariat. Latest updates are available on the ETSI Web server (http://www.etsi.org/ipr).

Pursuant to the ETSI IPR Policy, no investigation, including IPR searches, has been carried out by ETSI. No guarantee can be given as to the existence of other IPRs not referenced in ETSI SR 000 314 (or the updates on the ETSI Web server) which are, or may be, or may become, essential to the present document.

## Foreword

This Technical Specification (TS) has been produced by the ETSI 3<sup>rd</sup> Generation Partnership Project (3GPP).

The present document may refer to technical specifications or reports using their 3GPP identities, UMTS identities or GSM identities. These should be interpreted as being references to the corresponding ETSI deliverables.

The cross reference between GSM, UMTS, 3GPP and ETSI identities can be found under www.etsi.org/key.

# Contents

| 1       Scope       7         2       References       7         3       Abbreviations       8         4       Physical layer procedures for the 3,84 Mcps option       8         4       I General Parameters       8         4.1       General Parameters       8         4.2.1       General Parameters       8         4.2.2       Uplink Control       9         4.2.3       DCH, PUSCH       9         4.2.3.1       Gain Factors       9         4.2.3.2       DCVP, PUSCH       9         4.2.3.3       Downlink Control       9         4.2.3.4       DCVP, PUSCH       9         4.2.3.5       DCVP, HUCH       11         4.2.3.5       DCVP, HUCH       11         4.2.3.4       PNBSCH       11         4.2.3.5       DCVP, HOSCH       12         4.3.1       Timing advance with UL Synchronization       12         4.3       Synchronisation procedures       13         4.4.1       Cell Earch       13         4.4.2       Dedicated channel synchronisation primitives       13         4.4.1       Cell Earch       13         4.4.2.2       Uplink atolo  | Forew   | ord   | . 6 |
|---|---------|---|-----|
| 3       Abbreviations       8         4       Physical layer procedures for the 3.84 Mcps option       8         4.1       General       8         4.2       Transmitter Power Control       8         4.2       Transmitter Power Control       9         4.2.1       General Larameters       8         4.2.2       Uplink Control       9         4.2.3.1       General Limits       9         4.2.3.2       DCH, PUSCH       9         4.2.3.3       Downlink Control       10         4.2.3.4       Downlink Control       11         4.2.3.5       DCCPCH       11         4.2.3.6       CCPCH, PICH       11         4.2.3.7       POLE PDSCH       11         4.2.3.8       SCH 11       12         4.3.4       PNBSCH       11         4.2.3.5       DOUR PDSCH       11         4.2.3.5       Dour of synchronisation handling       12         4.3       Timing Advance with UL Synchronization       12         4.3.1       Timing advance with UL Synchronization       12         4.4.2       Dedicated channel synchronisation primitives       13         4.4.1       Downlink synchronisation primitives<  | 1       | Scope   | . 7 |
| 4         Physical layer procedures for the 3,84 Mcps option.         8           4.1         General         8           4.2         Transmitter Power Control.         8           4.2.1         General Parameters.         8           4.2.1         General Limits         9           4.2.2.1         General Limits         9           4.2.2.3         DPCH, PUSCH         9           4.2.3.1         Gain Factors         9           4.2.3.2         Downlink Control.         10           4.2.3.3         Downlink Control.         11           4.2.3.4         PCCPCH         11           4.2.3.5         SCCCHT.PICH         11           4.2.3.5         DOWnlink Control.         11           4.2.3.5         DPCH.PDSCH         11           4.2.3.5         DPCH.PDSCH         12           4.3.4         Synchronisation procedures         13           4.4.1         Cell Search         12           4.3         Timing advance with U. Synchronization         13           4.4.1         Cell Search         13           4.4.2         Rakio link monitoring faiture         13           4.4.2.1         Downlink rakinutry-restorc   | 2       | References  | . 7 |
| 4.1       General       8         4.2       Transmitter Power Control.       8         4.2.1       General Parameters.       8         4.2.1       General Limits       9         4.2.2.1       General Limits       9         4.2.2.3       DPCH, PUSCH       9         4.2.3.4       Develop HPUSCH       9         4.2.3.5       Develop HPUSCH       9         4.2.3.4       Downlink Control.       10         4.2.3.5       Downlink Control.       11         4.2.3.5       Ut of synchronisation handling.       10         4.2.3.5       SCCPCH, PICH       11         4.2.3.5       SCCPCH, PICH       11         4.2.3.5       DICH, PDSCH       11         4.2.3.5       DICH, PDSCH       12         4.3.5       Timing Advance.       12         4.3.1       Timing Advance.       12         4.3.1       Timing Advance.       13         4.4.2       Asynchronisation procedures       13         4.4.1       Cell Search       13         4.4.2.1       Downlink synchronisation primitives       13         4.4.2.1       Downlink synchronisation primitives       14  | 3       | Abbreviations   | . 8 |
| 42       Transmitter Power Control.       8         42.1       General Parameters.       8         42.2       Uplink Control.       9         42.2.1       General Limits.       9         42.2.1       General Limits.       9         42.2.2       PRACH.       9         42.2.3       DOCH. PUSCH       9         42.3.1       Downlink Control.       11         42.3.2       DCCPCH.       11         42.3.3       DSCH 11       11         42.3.4       PNESCH       11         42.3.5       DOVI of synchronisation handling.       12         42.3.4       PNBSCH       11         42.3.5       DOVI of synchronisation handling.       12         42.3.4       PNBSCH       11         42.3.5       DOVI of synchronisation maintige.       12         43.1       Timing advance.       12         43.1       Timing advance.       12         44.1       Celicated channel synchronisation primitives.       13         44.2.1       Downlink rank on instain primitives.       13         44.2.1.2       Downlink rank on instain primitives.       14         44.2.2.2       Uplink synchronisation primitives  | 4       |   |     |
| 42.1       General Parameters.       9         42.2       Uplink Control.       9         42.2.1       General Limits.       9         42.2.2       PRACH.       9         42.2.3       DPCH, PUSCH       9         42.2.4       Gain Factors.       9         42.2.3.1       Gain Factors.       9         42.2.3.2       Out of synchronisation handling.       10         42.3.1       P-CCPCH.       11         42.3.2       S-CPCH, PICH.       11         42.3.3       SCH 11       11         42.3.4       PNBSCH       11         42.3.5       DPCH, PDSCH       12         4.3.6       Timing advance.       12         4.3.1       Timing advance.       12         4.3.1       Timing advance with UL Synchronization       12         4.4.1       Cell Search       13         4.4.2       Dedicated channel synchronisation primitives       13         4.4.2.1       General limit synchronisation primitives       13         4.4.2.1       General limit synchronisation primitives       13         4.4.2.2       Radio link faiture*       14         4.4.2.2.1       Uplink synchronisation primitives<  |         |   |     |
| 4.2.2       Uplink Control.       9         4.2.2.1       General Limits.       9         4.2.2.3       DPCH, PUSCH       9         4.2.3.1       Gain Factors.       9         4.2.3.2       Out of synchronisation handling.       10         4.2.3.1       Gowinink Control.       11         4.2.3.2       Out of synchronisation handling.       10         4.2.3.3       SCCPCH, PICH       11         4.2.3.4       PNBSCH       11         4.2.3.5       DOtt of synchronisation handling.       12         4.3.4       PNBSCH       11         4.2.3.5       Dott of synchronisation handling.       12         4.3.1       Timing advance.       12         4.3.3       Siming advance.       12         4.4.4       Dedicated channel synchronisation       12         4.4.1       Cell Search       13         4.4.2.1       General.       13         4.4.2.1       General.       13         4.4.2.1.2       Downlink synchronisation primitives       14         4.4.2.1.2       Downlink synchronisation primitives       14         4.4.2.2.2       Uplink synchronisation primitives       14         4.4.2.1.2 </td <td></td> <td></td> <td></td>  |         |   |     |
| 4.2.2.1       General Limits       .9         4.2.2.3       DPCH, PUSCH       .9         4.2.3.3.1       Gain Factors       .9         4.2.3.2.3       Dott of synchronisation handling       .10         4.2.3.3       Dott of synchronisation handling       .11         4.2.3.4       POCPCH       .11         4.2.3.5       S-CCPCH, PICH       .11         4.2.3.5       S-CCPCH, PICH       .11         4.2.3.4       PNBSCH       .11         4.2.3.5       DFCH, PDSCH       .11         4.2.3.4       PNBSCH       .11         4.2.3.5       DFCH, POSCH       .12         4.3.1       Timing advance with UL Synchronization       .12         4.3.1       Timing advance with UL Synchronization       .13         4.4.1       Cell Search       .13         4.4.1       Cell Search       .13         4.4.2.1       General       .13         4.4.2.1       General       .13         4.4.2.1.2       Lownlink synchronisation primitives       .13         4.4.2.1.3       Uplink synchronisation primitives       .13         4.4.2.1.4       Downlink failurerestore       .14         4.5.1       Upownlin  | =       |   |     |
| 42.2.2       PRACH.       9         42.2.3.1       Gain Factors.       9         42.3.3.2       Out of synchronisation handling.       10         42.3.1       PACPCH.       11         42.3.2       S-CCPCH.       11         42.3.3       SCH 11       11         42.3.4       PNBSCH       11         42.3.5       SCCPCH, PICH       11         42.3.4       PNBSCH       11         42.3.5       DVI of synchronisation handling       12         4.3.1       Timing advance.       12         4.3.1       Timing advance.       12         4.4.1       Cell Search       13         4.4.2       Synchronisation primitives       13         4.4.2.1       Synchronisation primitives       13         4.4.2.1       Downlink synchronisation primitives       13         4.4.2.1       Downlink synchronisation primitives       14         4.4.2.1       Downlink synchronisation primitives       13         4.4.2.1       Downlink failure       14         4.4.2.2       Uplink radio link failure/restore       14         4.5.1       Uplink radio link failure/restore       14         4.5.2       Uplink ra  | 4.2.2   |   |     |
| 4.2.2.3       DPCH, PUSCH       9         4.2.2.3.2       Out of synchronisation handling       10         4.2.3.3       Downlink Control       11         4.2.3.2       SecCPCH, PICH       11         4.2.3.3       PC-CPCH       11         4.2.3.4       PCPCR       11         4.2.3.5       SCCPCH, PICH       11         4.2.3.4       PNBSCH       11         4.2.3.5       DPCH, PDSCH       11         4.2.3.5       DPCH, PDSCH       11         4.2.3.5       ID Ot of synchronisation handling       12         4.3.1       Timing advance with UL Synchronization       12         4.3.1       Timing advance with UL Synchronization       12         4.4.1       Synchronisation primitives       13         4.4.2       Dedicated channel synchronisation primitives       13         4.4.2.1       Downlink synchronisation primitives       13         4.4.2.1       Downlink failure       14         4.4.2.2       Uplink synchronisation primitives       14         4.4.2.2       Uplink failure       14         4.4.2.2       Uplink failure       14         4.4.2.2       Uplink randio link failure       14      <   | 4.2.2.1 |   |     |
| 4.2.2.3.1       Gain Factors.       9         4.2.2.3.2       Out of synchronisation handling       10         4.2.3       Downlink Control.       11         4.2.3.1       P-CCPCH       11         4.2.3.2       S-CCPCH, PICH       11         4.2.3.3       SCH 11       11         4.2.3.4       PNBSCH       11         4.2.3.5       DPCH, PDSCH       11         4.2.3.5.1       Out of synchronisation handling       12         4.3       Timing advance.       12         4.3       Timing advance.       12         4.4       Synchronisation procedures       13         4.4.1       Cell Search       13         4.4.2       Dedicated channel synchronisation       13         4.4.2.1.2       Downlink knonitoring       14         4.4.2.1.2       Downlink radio link failure       14         4.4.2.2.1       Uplink radio link failure       14         4.4.2.2.1       Uplink radio link failure       14         4.5.1       Use of Special Bursts for DTX.       15         4.6.1       Transmit Diversity for PSCH and DPCH       15         4.6.2       Transmit Diversity for SCH       16         4.6.3<   |         |   |     |
| 4.2.3.2       Out of synchronisation handling   | 4.2.2.3 |   |     |
| 42.3       Downlink Control       11         42.3.1       P-CCPCH       11         42.3.2       S-CCPCH, PICH       11         42.3.3       SCH       11         42.3.4       PNBSCH       11         42.3.5       DCH, PDSCH       11         42.3.5       Out of synchronisation handling       12         4.3       Timing advance with UL Synchronization       12         4.4.1       Cell Search       13         4.4.2       Dedicated channel synchronisation       13         4.4.2.1       Synchronisation primitives       13         4.4.2.1       General       13         4.4.2.1       General       13         4.4.2.1       Downlink synchronisation primitives       13         4.4.2.2       Radio link monitoring       14         4.4.2.2       Radio link monitoring       14         4.4.2.2       Uplink radio link failure/restore.       14         4.5.1       Use of Special Bursts for DTX       15         4.5       Discontinuous transmission (DTX) of Radio Frames       14         4.5.1       Use of Special Bursts for DTX       15         4.5       Duscontinuous transmission CDTX)       15   | 4.2.2.3 |   |     |
| 4.2.3.1       P-CCPCH       11         4.2.3.2       S-CCPCH, PICH       11         4.2.3.3       SCH 11       11         4.2.3.4       PNBSCH       11         4.2.3.5       DPCH, PDSCH       11         4.2.3.5       DPCH, PDSCH       11         4.2.3.5       DPCH, PDSCH       12         4.3.1       Timing advance with UL Synchronization       12         4.4.3       Synchronisation procedures       13         4.4.1       Cell Search       13         4.4.2       Declicated channel synchronisation       13         4.4.2.1       Synchronisation primitives       13         4.4.2.1       Downlink synchronisation primitives       13         4.4.2.1       Downlink radio link failure/restore       14         4.4.2.2.1       Downlink radio link failure/restore       14         4.5.1       Use of Special Bursts for DTX of Radio Frames       14         4.5.1       Use of Special Bursts for Initial Establishment / Reconfiguration       15         4.6.1       Transmit Diversity for SCH       15         4.6.2       Transmit Diversity for SCH       16         4.6.3       Transmit Diversity for SCH       16         4.6.1       <  |         |   |     |
| 4.2.3.2       S-CCPCH, PICH.       11         4.2.3.3       SCH       11         4.2.3.4       PNBSCH       11         4.2.3.5       DPCH, PDSCH       11         4.2.3.5       DPCH, PDSCH       12         4.3.7       Timing Advance       12         4.3.1       Timing advance with UL Synchronization       12         4.3.1       Timing advance with UL Synchronization       13         4.4.1       Cell Search       13         4.4.2       Dedicated channel synchronisation       13         4.4.2.1       General       13         4.4.2.1       General       13         4.4.2.1       General       13         4.4.2.1.4       General       13         4.4.2.1.5       Downlink synchronisation primitives       13         4.4.2.1       Downlink radio link failure       14         4.4.2.2       Uplink radio link failure/restore       14         4.4.2.2       Uplink radio link failure/restore       14         4.5.1       Use of Special Bursts for INTX       15         4.6       Downlink Transmit Diversity for SCH       15         4.6.1       Transmit Diversity for POSCH and DPCH       15  |         |   |     |
| 4.2.3.3       SCH 11         4.2.3.5       DPCH, PDSCH       11         4.2.3.5       Out of synchronisation handling       12         4.3.1       Timing advance with UL Synchronization       12         4.3.1       Timing advance with UL Synchronization       12         4.4       Synchronisation procedures       13         4.4.1       Cell Search       13         4.4.2       Dedicated channel synchronisation       13         4.4.2.1       Synchronisation primitives       13         4.4.2.1       General       13         4.4.2.1       General       13         4.4.2.1       Bownink synchronisation primitives       13         4.4.2.2       Downlink synchronisation primitives       14         4.4.2.2       Downlink radio link failure       14         4.4.2.2       Uplink radio link failure/restore       14         4.4.2.2       Uplink radio link failure/restore       14         4.5.1       Use of Special Bursts for Initial Establishment / Reconfiguration       15         4.6.1       Transmit Diversity for PDSCH and DPCH       15         4.6.2       Transmit Diversity for SCH       16         4.6.3       Transmit Diversity for SCH       16  | 4.2.3.1 |   |     |
| 4.2.3.4       PNBSCH       11         4.2.3.5.1       DVCH, PDSCH       11         4.2.3.5.1       Out of synchronisation handling       12         4.3       Timing Advance       12         4.3       Timing advance with UL Synchronization       12         4.4       Synchronisation procedures       13         4.4.1       Cell Search       13         4.4.2       Dedicated channel synchronisation       13         4.4.2.1       Dedicated channel synchronisation primitives       13         4.4.2.1       Downlink synchronisation primitives       13         4.4.2.1.2       Downlink synchronisation primitives       14         4.4.2.2       Radio link monitoring       14         4.4.2.2       Uplink synchronisation primitives       14         4.4.2.2       Uplink radio link failure       14         4.4.2.2       Uplink radio link failure/restore       14         4.5.1       Use of Special Bursts for DTX       15         4.5.2       Uplink ransmit Diversity for POSCH and DPCH       15         4.6.1       Transmit Diversity for POSCH and DPCH       16         4.6.2.1       SCH Transmitsion Scheme       16         4.6.3       Transmit Diversity for P-CCPCH  | 4.2.3.2 | S-CCPCH, PICH   | 11  |
| 4.2.3.5       DPCH, PDSCH       11         4.2.3.5.1       Out of synchronisation handling       12         4.3.1       Timing Advance       12         4.3.1       Timing advance with UL Synchronization       12         4.4.3       Synchronisation procedures       13         4.4.1       Cell Search       13         4.4.2       Dedicated channel synchronisation       13         4.4.2.1       Synchronisation primitives       13         4.4.2.1.2       Downlink synchronisation primitives       13         4.4.2.1.3       Uplink synchronisation primitives       14         4.4.2.2.1       Downlink failure/restore       14         4.4.2.2.2       Uplink radio link failure/restore       14         4.4.2.2.1       Uplink radio link failure/restore       14         4.5.1       Use of Special Bursts for DTX       15         4.5.2       Use of Special Bursts for DTX       15         4.6.1       Transmit Diversity for SCH       16         4.6.2.1       SCH Transmitsion Scheme       16         4.6.3       P-CCPCH Transmission Scheme       16         4.7.1       DSCH procedure with TFCI indication       19         4.8.1       DSCH procedure with TFCI indication  | 4.2.3.3 |   |     |
| 4.2.3.5.1       Out of synchronisation handling       12         4.3       Timing advance       12         4.3.1       Timing advance with UL Synchronization       12         4.4       Synchronisation procedures       13         4.4.1       Cell Search       13         4.4.2       Dedicated channel synchronisation       13         4.4.1       Synchronisation primitives       13         4.4.2.1       General       13         4.4.2.1.2       Downlink synchronisation primitives       14         4.4.2.2       Radio link monitoring       14         4.4.2.2       Uplink synchronisation primitives       14         4.4.2.2       Uplink radio link failure/restore       14         4.4.2.2       Uplink radio link failure/restore       14         4.5.1       Use of Special Bursts for DTX       15         4.5.2       Use of Special Bursts for DTX       15         4.6.1       Transmit Diversity       15         4.6.2       Transmit Diversity for PDSCH and DPCH       15         4.6.2.1       SCH Transmission Scheme       16         4.6.3       Transmit Diversity for SCH       16         4.6.3       Transmit Diversity for P-CCPCH.       16   | 4.2.3.4 |   |     |
| 4.3Timing Advance124.3.1Timing advance with UL Synchronization124.4Synchronisation procedures134.4.1Cell Search134.4.2Dedicated channel synchronisation134.4.2Dedicated channel synchronisation primitives134.4.2.1Synchronisation primitives134.4.2.1.2Downlink synchronisation primitives134.4.2.1.3Uplink synchronisation primitives144.4.2.2Radio link monitoring144.4.2.2.1Downlink radio link failure144.4.2.2.2Uplink radio link failure/restore144.4.2.2.1Upuink radio link failure/restore144.5.1Use of Special Bursts for DTX154.5.1Use of Special Bursts for DTX154.5.2Use of Special Bursts for DTX154.6.1Transmit Diversity for PDSCH and DPCH154.6.2Transmit Diversity for SCH164.6.3.1PCCPCH Transmission Scheme164.7.2Physical random access procedure174.7.2Physical random access procedure184.8DSCH procedure with TFCI indication194.8.1DSCH procedure with TFCI indication194.8.2DSCH procedure with TFCI indication194.8.1DSCH procedure with TFCI indication194.9.2Traquency Acquisition Phase204.9.3Steady-State Phase204.9.4Late entrant cells20 </td <td>4.2.3.5</td> <td>DPCH, PDSCH</td> <td>11</td>  | 4.2.3.5 | DPCH, PDSCH   | 11  |
| 4.3.1Timing advance with UL Synchronization124.4Synchronisation procedures134.4.1Cell Search134.4.2Dedicated channel synchronisation134.4.2.1Synchronisation primitives134.4.2.1.2Downlink synchronisation primitives134.4.2.1.3Uplink synchronisation primitives134.4.2.1.3Uplink synchronisation primitives144.4.2.2Radio link monitoring144.4.2.2.1Downlink radio link failure/restore144.4.2.2.2Uplink radio link failure/restore144.5.1Use of Special Bursts for DTX154.5.2Use of Special Bursts for DTX154.6.1Transmit Diversity for PDSCH and DPCH154.6.2Transmit Diversity for PDSCH and DPCH164.6.3Transmit Diversity for SCH164.6.3Transmit Diversity for PCCPCH164.6.3Transmit Diversity for P-CCPCH164.7.1PRACH sub-channels174.7.1PRACH sub-channels174.7.1PRACH sub-channels194.8DSCH procedure with midamble indication194.9Node B Synchronisation Procedure over the Air204.9.1Frequency Acquisition Phase204.9.2Initial Synchronisation204.9.4Late entrant cells204.9.4Steady-State Phase204.9.4Late entrant cells20   | 4.2.3.5 |   |     |
| 4.4       Synchronisation procedures       13         4.4.1       Cell Search       13         4.4.2       Dedicated channel synchronisation       13         4.4.2.1       Synchronisation primitives       13         4.4.2.1.3       Uplink synchronisation primitives       13         4.4.2.1.4       Downlink synchronisation primitives       13         4.4.2.1.3       Uplink robustion primitives       14         4.4.2.2       Radio link monitoring       14         4.4.2.2.1       Downlink radio link failure/restore       14         4.4.2.2.2       Uplink radio link failure/restore       14         4.5.1       Use of Special Bursts for DTX       15         4.5.2       Use of Special Bursts for Initial Establishment / Reconfiguration       15         4.6.1       Transmit Diversity for PDSCH and DPCH       15         4.6.2       Transmit Diversity for PCPCH       16         4.6.3       Transmit Diversity for SCH       16         4.6.3       Transmit Diversity for PCPCH       16         4.7       Random access procedure       17         4.7.1       PRACH sub-channels       17         4.7.2       Physical random access procedure over the Air       20         4.8                                  | 4.3     |   |     |
| 4.4.1       Cell Search       13         4.4.2       Dedicated channel synchronisation       13         4.4.2.1       Synchronisation primitives       13         4.4.2.1.1       General       13         4.4.2.1.2       Downlink synchronisation primitives       13         4.4.2.1.3       Uplink synchronisation primitives       14         4.4.2.2       Radio link monitoring       14         4.4.2.2.1       Downlink radio link failure       14         4.4.2.2.2       Uplink radio link failure/restore       14         4.5.1       Use of Special Bursts for DTX.       15         4.5.2       Use of Special Bursts for DTX.       15         4.5.1       Use of Special Bursts for DTX.       15         4.6.2       Transmit Diversity for PDSCH and DPCH       15         4.6.2       Transmit Diversity for SCH       16         4.6.2.1       SCH Transmission Scheme       16         4.6.3       Transmit Diversity for PCCPCH       16         4.6.3       Transmit Diversity for P-CCPCH   | 4.3.1   | Timing advance with UL Synchronization                            | 12  |
| 4.4.2       Dedicated channel synchronisation       13         4.4.2.1       Synchronisation primitives       13         4.4.2.1.2       Downlink synchronisation primitives       13         4.4.2.1.3       Uplink synchronisation primitives       14         4.4.2.2       Radio link monitoring       14         4.4.2.2       Radio link failure/restore       14         4.4.2.2.2       Uplink radio link failure/restore       14         4.5.1       Use of Special Bursts for DTX       15         4.5.2       Use of Special Bursts for Initial Establishment / Reconfiguration       15         4.6.1       Transmit Diversity       15         4.6.2       Transmit Diversity for PDSCH and DPCH       15         4.6.3       Transmit Diversity for SCH       16         4.6.3.1       P-CCPCH Transmission Scheme       16         4.7.1       PRACH sub-channels       17         7.7.2       Physical random access procedure       18         4.8       DSCH procedure with TFCI indication       19         4.8.1       DSCH procedure with TFCI indication       19         4.9.2       Initial Synchronisation Prace       20         4.9.4       Lat entran Cells       20         4.9.4                                       | 4.4     | Synchronisation procedures  | 13  |
| 4.4.2.1       Synchronisation primitives       13         4.4.2.1.1       General       13         4.4.2.1.2       Downlink synchronisation primitives       13         4.4.2.1.3       Uplink synchronisation primitives       14         4.4.2.2       Radio link monitoring       14         4.4.2.2.1       Downlink radio link failure.       14         4.4.2.2.1       Downlink radio link failure.       14         4.4.2.2.2       Uplink radio link failure/restore       14         4.5.1       Use of Special Bursts for DTX       15         4.5.2       Use of Special Bursts for TX       15         4.5.1       Use of Special Bursts for Initial Establishment / Reconfiguration       15         4.6.1       Transmit Diversity       15         4.6.2       Transmit Diversity for PDSCH and DPCH       16         4.6.2.1       SCH Transmission Scheme       16         4.6.3       Transmit Diversity for P-CCPCH       16         4.7       Random access procedure       17         4.7.2       Physical random access procedure       17         4.8.1       DSCH procedure with TFCI indication       19         4.8.1       DSCH procedure with midamble indication       19         4.8.1                                 | 4.4.1   | Cell Search   | 13  |
| 4.4.2.1.1       General.       13         4.4.2.1.2       Downlink synchronisation primitives       13         4.4.2.1.3       Uplink synchronisation primitives       14         4.4.2.2       Radio link monitoring.       14         4.4.2.2       Radio link failure/restore       14         4.4.2.2.2       Uplink radio link failure/restore       14         4.5.1       Use of Special Bursts for DTX       15         4.5.2       Use of Special Bursts for Initial Establishment / Reconfiguration       15         4.6.1       Transmit Diversity       15         4.6.2       Transmit Diversity for PDSCH and DPCH       15         4.6.2       Transmit Diversity for SCH       16         4.6.3       Transmit Diversity for P-CCPCH       16         4.6.3.1       P-CCPCH Transmission Scheme       16         4.7.2       Physical random access procedure       17         4.7.2       Physical random access procedure       17         4.8       DSCH procedure with TFCI indication       19         4.8.1       DSCH procedure with TFCI indication       19         4.9.1       Frequency Acquisition Phase       20         4.9.1       Frequency Acquisition Phase       20         4.9.1                                  | 4.4.2   |   |     |
| 4.4.2.1.2       Downlink synchronisation primitives       13         4.4.2.1.3       Uplink synchronisation primitives       14         4.4.2.2.       Radio link monitoring.       14         4.4.2.2.1       Downlink radio link failure.       14         4.4.2.2.2       Uplink radio link failure/restore.       14         4.4.2.2.1       Downlink radio link failure/restore.       14         4.5.1       Use of Special Bursts for DTX       15         4.5.2       Use of Special Bursts for Initial Establishment / Reconfiguration       15         4.6.1       Transmit Diversity for PDSCH and DPCH.       15         4.6.2       Transmit Diversity for SCH       16         4.6.3.1       PCCPCH Transmission Scheme       16         4.6.3.1       PCCPCH Transmission Scheme       16         4.7.2       Physical random access procedure       17         4.7.1       PRACH sub-channels       17         4.7.2       Physical random access procedure       19         4.8.1       DSCH procedure with TFCI indication       19         4.8.1       DSCH procedure with midamble indication       19         4.9.1       Frequency Acquisition Procedure over the Air       20         4.9.1       Frequency Acquisition Phase  | 4.4.2.1 |   |     |
| 4.4.2.1.3       Uplink synchronisation primitives       14         4.4.2.2       Radio link monitoring       14         4.4.2.2.1       Downlink radio link failure       14         4.4.2.2.2       Uplink radio link failure/restore       14         4.4.2.2.2       Uplink radio link failure/restore       14         4.5.1       Use of Special Bursts for DTX.       15         4.5.2       Use of Special Bursts for Initial Establishment / Reconfiguration       15         4.5.1       Use of Special Bursts for Initial Establishment / Reconfiguration       15         4.6.1       Transmit Diversity       15         4.6.2       Transmit Diversity for PDSCH and DPCH       15         4.6.2.1       SCH Transmission Scheme       16         4.6.3       Transmit Diversity for PCCPCH       16         4.6.3.1       P-CCPCH Transmission Scheme       16         4.7.1       PRACH sub-channels       17         4.7.2       Physical random access procedure       17         4.8.1       DSCH procedure with TFCI indication       19         4.8.1       DSCH procedure with midamble indication       19         4.8.1       DSCH procedure with Midamble indication       19         4.9.1       Frequency Acquisition Phase | 4.4.2.1 | .1 General  | 13  |
| 44.2.2       Radio link monitoring.       14         44.2.2.1       Downlink radio link failure.       14         44.2.2.2       Uplink radio link failure/restore       14         4.5       Discontinuous transmission (DTX) of Radio Frames       14         4.5.1       Use of Special Bursts for DTX       15         4.5.2       Use of Special Bursts for DTX       15         4.6       Downlink Transmit Diversity.       15         4.6.1       Transmit Diversity for PDSCH and DPCH       15         4.6.2       Transmit Diversity for SCH       16         4.6.3       Transmit Diversity for PCCPCH       16         4.6.3       Transmit Diversity for P-CCPCH       16         4.6.3       Transmit Diversity for P-CCPCH       16         4.7       Random access procedure       17         4.7.1       PRACH sub-channels       17         4.7.2       Physical random access procedure       18         4.8       DSCH procedure with TFCI indication       19         4.8.1       DSCH procedure with midamble indication       19         4.9.1       Frequency Acquisition Phase       20         4.9.2       Initial Synchronisation       20         4.9.4       Late entrant cells   | 4.4.2.1 | .2 Downlink synchronisation primitives                            | 13  |
| 4.4.2.2.1Downlink radio link failure  | 4.4.2.1 | .3 Uplink synchronisation primitives                              | 14  |
| 4.4.2.2.2Uplink radio link failure/restore.144.5Discontinuous transmission (DTX) of Radio Frames144.5.1Use of Special Bursts for DTX154.5.2Use of Special Bursts for Initial Establishment / Reconfiguration154.6Downlink Transmit Diversity.154.6.1Transmit Diversity for PDSCH and DPCH164.6.2Transmit Diversity for SCH164.6.3Transmit Diversity for P-CCPCH.164.6.3Transmit Diversity for P-CCPCH.164.6.3P-CCPCH Transmission Scheme164.7Random access procedure174.7.1PRACH sub-channels174.7.2Physical random access procedure194.8DSCH procedure with TFCI indication194.8.2DSCH procedure with midamble indication194.9.4DSCH procedure with midamble indication204.9.4Late entrant cells204.9.4Late entrant cells204.10Idle periods for IPDL location method20   | 4.4.2.2 | Radio link monitoring   | 14  |
| 4.5Discontinuous transmission (DTX) of Radio Frames144.5.1Use of Special Bursts for DTX154.5.2Use of Special Bursts for Initial Establishment / Reconfiguration154.6Downlink Transmit Diversity154.6.1Transmit Diversity for PDSCH and DPCH154.6.2Transmit Diversity for SCH164.6.3Transmit Diversity for P-CCPCH164.6.3Transmit Diversity for P-CCPCH164.6.3.1P-CCPCH Transmission Scheme164.6.3.1P-CCPCH Transmission Scheme164.7Random access procedure174.7.1PRACH sub-channels174.7.2Physical random access procedure184.8DSCH procedure with TFCI indication194.8.1DSCH procedure with TFCI indication194.9.2Initial Synchronisation Procedure over the Air204.9.1Frequency Acquisition Phase204.9.2Initial Synchronisation204.9.4Late entrant cells204.10Idle periods for IPDL location method20   | 4.4.2.2 |   |     |
| 4.5.1Use of Special Bursts for DTX.154.5.2Use of Special Bursts for Initial Establishment / Reconfiguration154.6Downlink Transmit Diversity.154.6.1Transmit Diversity for PDSCH and DPCH154.6.2Transmit Diversity for SCH164.6.3SCH Transmission Scheme164.6.3Transmit Diversity for P-CCPCH.164.6.3.1P-CCPCH Transmission Scheme164.6.3.1P-CCPCH Transmission Scheme164.6.3.1P-CCPCH Transmission Scheme164.7Random access procedure174.7.1PRACH sub-channels174.7.2Physical random access procedure184.8DSCH procedure with TFCI indication194.8.1DSCH procedure with midamble indication194.8.2DSCH procedure with midamble indication194.9.1Frequency Acquisition Phase204.9.2Initial Synchronisation204.9.3Steady-State Phase204.10Idle periods for IPDL location method20   | 4.4.2.2 |   |     |
| 4.5.2Use of Special Bursts for Initial Establishment / Reconfiguration154.6Downlink Transmit Diversity154.6.1Transmit Diversity for PDSCH and DPCH154.6.2Transmit Diversity for SCH164.6.3.1SCH Transmission Scheme164.6.3.1P-CCPCH Transmission Scheme164.6.3.1P-CCPCH Transmission Scheme164.7Random access procedure174.7.1PRACH sub-channels174.7.2Physical random access procedure184.8DSCH procedure with TFCI indication194.8.1DSCH procedure with midamble indication194.9.1Frequency Acquisition Phase204.9.1Frequency Acquisition Phase204.9.3Steady-State Phase204.10Idle periods for IPDL location method20   | 4.5     | Discontinuous transmission (DTX) of Radio Frames                  | 14  |
| 4.6Downlink Transmit Diversity154.6.1Transmit Diversity for PDSCH and DPCH154.6.2Transmit Diversity for SCH164.6.3.1SCH Transmission Scheme164.6.3.1P-CCPCH Transmission Scheme164.6.3.1P-CCPCH Transmission Scheme164.7Random access procedure174.7.1PRACH sub-channels174.7.2Physical random access procedure184.8DSCH procedure with TFCI indication194.8.1DSCH procedure with midamble indication194.9Node B Synchronisation Procedure over the Air204.9.1Frequency Acquisition Phase204.9.2Initial Synchronisation204.9.3Steady-State Phase204.10Idle periods for IPDL location method20   | 4.5.1   | Use of Special Bursts for DTX                                     | 15  |
| 4.6.1Transmit Diversity for PDSCH and DPCH154.6.2Transmit Diversity for SCH164.6.3.1SCH Transmission Scheme164.6.3.1P-CCPCH Transmission Scheme164.6.3.1P-CCPCH Transmission Scheme164.7Random access procedure174.7.1PRACH sub-channels174.7.2Physical random access procedure184.8DSCH procedure194.8.1DSCH procedure with TFCI indication194.8.2DSCH procedure with midamble indication194.9Node B Synchronisation Procedure over the Air204.9.1Frequency Acquisition Phase204.9.2Initial Synchronisation204.9.3Steady-State Phase204.10Idle periods for IPDL location method20  | 4.5.2   | Use of Special Bursts for Initial Establishment / Reconfiguration | 15  |
| 4.6.2Transmit Diversity for SCH164.6.2.1SCH Transmission Scheme164.6.3Transmit Diversity for P-CCPCH164.6.3.1P-CCPCH Transmission Scheme164.7Random access procedure174.7.1PRACH sub-channels174.7.2Physical random access procedure184.8DSCH procedure194.8.1DSCH procedure with TFCI indication194.8.2DSCH procedure with midamble indication194.9Node B Synchronisation Procedure over the Air204.9.1Frequency Acquisition Phase204.9.2Initial Synchronisation204.9.3Steady-State Phase204.9.4Late entrant cells204.10Idle periods for IPDL location method20  | 4.6     | Downlink Transmit Diversity                                       | 15  |
| 4.6.2.1SCH Transmission Scheme164.6.3Transmit Diversity for P-CCPCH.164.6.3.1P-CCPCH Transmission Scheme164.7Random access procedure174.7.1PRACH sub-channels174.7.2Physical random access procedure184.8DSCH procedure with TFCI indication194.8.1DSCH procedure with TFCI indication194.8.2DSCH procedure with midamble indication194.9Node B Synchronisation Procedure over the Air204.9.1Frequency Acquisition Phase204.9.2Initial Synchronisation204.9.3Steady-State Phase204.9.4Late entrant cells204.10Idle periods for IPDL location method20   | 4.6.1   |   |     |
| 4.6.3Transmit Diversity for P-CCPCH164.6.3.1P-CCPCH Transmission Scheme164.7Random access procedure174.7.1PRACH sub-channels174.7.2Physical random access procedure184.8DSCH procedure with TFCI indication194.8.1DSCH procedure with TFCI indication194.8.2DSCH procedure with midamble indication194.9Node B Synchronisation Procedure over the Air204.9.1Frequency Acquisition Phase204.9.2Initial Synchronisation204.9.3Steady-State Phase204.9.4Late entrant cells204.10Idle periods for IPDL location method20  | 4.6.2   | Transmit Diversity for SCH  | 16  |
| 4.6.3.1P-CCPCH Transmission Scheme164.7Random access procedure174.7.1PRACH sub-channels174.7.2Physical random access procedure184.8DSCH procedure194.8.1DSCH procedure with TFCI indication194.8.2DSCH procedure with midamble indication194.9Node B Synchronisation Procedure over the Air204.9.1Frequency Acquisition Phase204.9.2Initial Synchronisation204.9.3Steady-State Phase204.9.4Late entrant cells204.10Idle periods for IPDL location method20  | 4.6.2.1 | SCH Transmission Scheme   | 16  |
| 4.7Random access procedure174.7.1PRACH sub-channels174.7.2Physical random access procedure184.8DSCH procedure194.8.1DSCH procedure with TFCI indication194.8.2DSCH procedure with midamble indication194.9Node B Synchronisation Procedure over the Air204.9.1Frequency Acquisition Phase204.9.2Initial Synchronisation204.9.3Steady-State Phase204.9.4Late entrant cells204.10Idle periods for IPDL location method20  | 4.6.3   | Transmit Diversity for P-CCPCH                                    | 16  |
| 4.7.1PRACH sub-channels174.7.2Physical random access procedure184.8DSCH procedure194.8.1DSCH procedure with TFCI indication194.8.2DSCH procedure with midamble indication194.9Node B Synchronisation Procedure over the Air204.9.1Frequency Acquisition Phase204.9.2Initial Synchronisation204.9.3Steady-State Phase204.9.4Late entrant cells204.10Idle periods for IPDL location method20  | 4.6.3.1 | P-CCPCH Transmission Scheme                                       | 16  |
| 4.7.2Physical random access procedure184.8DSCH procedure194.8.1DSCH procedure with TFCI indication194.8.2DSCH procedure with midamble indication194.9Node B Synchronisation Procedure over the Air204.9.1Frequency Acquisition Phase204.9.2Initial Synchronisation204.9.3Steady-State Phase204.9.4Late entrant cells204.10Idle periods for IPDL location method20   | 4.7     |   |     |
| 4.8DSCH procedure194.8.1DSCH procedure with TFCI indication194.8.2DSCH procedure with midamble indication194.9Node B Synchronisation Procedure over the Air204.9.1Frequency Acquisition Phase204.9.2Initial Synchronisation204.9.3Steady-State Phase204.9.4Late entrant cells204.10Idle periods for IPDL location method20  |         |   |     |
| 4.8.1DSCH procedure with TFCI indication194.8.2DSCH procedure with midamble indication194.9Node B Synchronisation Procedure over the Air204.9.1Frequency Acquisition Phase204.9.2Initial Synchronisation204.9.3Steady-State Phase204.9.4Late entrant cells204.10Idle periods for IPDL location method20   |         |   |     |
| 4.8.2DSCH procedure with midamble indication.194.9Node B Synchronisation Procedure over the Air204.9.1Frequency Acquisition Phase204.9.2Initial Synchronisation.204.9.3Steady-State Phase.204.9.4Late entrant cells.204.10Idle periods for IPDL location method20   |         |   |     |
| 4.9Node B Synchronisation Procedure over the Air204.9.1Frequency Acquisition Phase204.9.2Initial Synchronisation204.9.3Steady-State Phase204.9.4Late entrant cells204.10Idle periods for IPDL location method20   |         |   |     |
| 4.9.1Frequency Acquisition Phase204.9.2Initial Synchronisation204.9.3Steady-State Phase204.9.4Late entrant cells204.10Idle periods for IPDL location method20   | 4.8.2   |   |     |
| 4.9.2Initial Synchronisation  |         |   |     |
| 4.9.3Steady-State Phase   | 4.9.1   | Frequency Acquisition Phase                                       | 20  |
| 4.9.4Late entrant cells   |         | •   |     |
| 4.10 Idle periods for IPDL location method  | 4.9.3   | Steady-State Phase  | 20  |
|   | 4.9.4   | Late entrant cells  | 20  |
| 4.10.1 General  | 4.10    |   |     |
|   | 4.10.1  | General   | 20  |

| 4.10.2         |  |    |
|----------------|--|----|
| 4.10.3         | Calculation of idle period position  | 21 |
| 5              | Physical layer procedures for the 1,28 Mcps option   | 22 |
| 5.1            | Transmitter Power Control  |    |
| 5.1.1          | Uplink Control   |    |
| 5.1.1.         |  |    |
| 5.1.1.2        | 2 UpPTS  | 23 |
| 5.1.1.         | 3 PRACH  | 23 |
| 5.1.1.4        |  |    |
| 5.1.1.4        | $\partial $  |    |
| 5.1.2          | Downlink Control   |    |
| 5.1.2.         |  |    |
| 5.1.2.2        |  |    |
| 5.1.2.         | ,  |    |
| 5.1.2.4        | - ,  |    |
| 5.1.2.4        | J  |    |
| 5.2            | UL Synchronisation   |    |
| 5.2.1          | General Description  |    |
| 5.2.1.         |  |    |
| 5.2.1.         |  |    |
| 5.2.1.         |  |    |
| 5.2.2          | UpPCH  |    |
| 5.2.3<br>5.2.4 | PRACH DPCH and PUSCH   |    |
| 5.2.4<br>5.2.4 |  |    |
| 5.2.4.         | Synchronisation procedures   |    |
| 5.3.1          | Cell search  |    |
| 5.3.2          | DCH synchronization  |    |
| 5.4            | Discontinuous transmission (DTX) of Radio Frames   |    |
| 5.5            | Downlink Transmit Diversity  |    |
| 5.5.1          | Transmit Diversity for DPCH.   |    |
| 5.5.1.         |  |    |
| 5.5.1.         |  |    |
| 5.5.2          | Transmit Diversity for DwPTS   |    |
| 5.5.3          | Transmit Diversity for P-CCPCH   |    |
| 5.5.3.         |  |    |
| 5.5.3.2        |  |    |
| 5.6            | Random Access Procedure  | 29 |
| 5.6.1          | Definitions  | 29 |
| 5.6.2          | Preparation of random access   | 30 |
| 5.6.3          | Random access procedure  | 30 |
| 5.6.3.         | e  |    |
| 5.6.3.         | e  |    |
| 5.6.3.         |  |    |
| 5.6.3.         |  |    |
| 5.6.3.         | e  |    |
| 5.6.4          | Random access collision  | 32 |
| <b>A</b>       | y A (informativa). Dowar Control   | 22 |
|                | Example Implementation of Doumlink Dougr Control in the UE   |    |
| A.1            | Example Implementation of Downlink Power Control in the UE   |    |
| A.2            | Example Implementation of Closed Loop Uplink Power Control in Node B for 1,28 Mcps TDD   |    |
| A.3<br>A.4     | Example Implementation of Downlink Power Control in UE for 1,28 Mcps TDD when TSTD is used<br>Example Implementation of open Loop Power Control for access procedure for 1,28 Mcps TDD |    |
| A.4            | Example implementation of open Loop rower Control for access procedure for 1,26 mcps TDD   |    |
| Anne           | <b>EX B</b> (informative): Determination of Weight Information   |    |
| B.1            | STD Weights  |    |
| B.2            | TxAA Weights   |    |
|                |  |    |

| Annex C (informative): | Cell search procedure for 3,84 Mcps TDD            | 36 |
|------------------------|--|----|
| Annex D (informative): | Cell search procedure for 1,28 Mcps TDD            | 36 |
| Annex E (informative): | Examples random access procedure for 1,28 Mcps TDD | 38 |
| Annex F (informative): | Change history                                     | 40 |

## Foreword

This Technical Specification (TS) has been produced by the 3<sup>rd</sup> Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of the present document, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

Version x.y.z

where:

- x the first digit:
  - 1 presented to TSG for information;
  - 2 presented to TSG for approval;
  - 3 or greater indicates TSG approved document under change control.
- y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.
- z the third digit is incremented when editorial only changes have been incorporated in the document.

## 1 Scope

The present document describes the Physical Layer Procedures in the TDD mode of UTRA.

# 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.
- [1] 3GPP TS 25.201: "Physical layer general description".
- [2] 3GPP TS 25.102: "UE physical layer capabilities".
- [3] 3GPP TS 25.211: "Physical channels and mapping of transport channels onto physical channels (FDD)".
- [4] 3GPP TS 25.212: "Multiplexing and channel coding (FDD)".
- [5] 3GPP TS 25.213: "Spreading and modulation (FDD)".
- [6] 3GPP TS 25.214: "Physical layer procedures (FDD)".
- [7] 3GPP TS 25.215: "Physical Layer Measurements (FDD)".
- [8] 3GPP TS 25.221: "Physical channels and mapping of transport channels onto physical channels (TDD)".
- [9] 3GPP TS 25.222: "Multiplexing and channel coding (TDD)".
- [10] 3GPP TS 25.223: "Spreading and modulation (TDD)".
- [11] 3GPP TS 25.225: "Physical Layer Measurements (TDD)".
- [12] 3GPP TS 25.301: "Radio Interface Protocol Architecture".
- [13] 3GPP TS 25.302: "Services Provided by the Physical Layer".
- [14] 3GPP TS 25.401: "UTRAN Overall Description".
- [15] 3GPP TS 25.331: "RRC Protocol Specification"
- [16] 3GPP TS 25.433: "UTRAN lub Interface NBAP Signalling"
- [17] 3GPP TS 25.105: "UTRA (BS) TDD; Radio transmission and Reception"
- [18] 3GPP TS 25.321: "MAC protocol specification"
- [19] 3GPP TS 25.303: "Interlayer Procedures in Connected Mode"
- [20] 3GPP TS 25.402: "Synchronisation in UTRAN Stage 2"

# 3 Abbreviations

For the purposes of the present document, the following abbreviations apply:

| ASC     | Access Service Class                      |
|---------|---|
| BCCH    | Broadcast Control Channel                 |
| BCH     | Broadcast Channel                         |
| CCTrCH  | Coded Composite Transport Channel         |
| DCA     | Dynamic Channel Allocation                |
| DPCH    | Dedicated Physical Channel                |
| DTX     | Discontinuous Transmission                |
| FACH    | Forward Access Channel                    |
| NRT     | Non-Real Time                             |
| P-CCPCH | Primary Common Control Physical Channel   |
| PRACH   | Physical Random Access Channel            |
| RACH    | Random Access Channel                     |
| RT      | Real Time                                 |
| RU      | Resource Unit                             |
| SBGP    | Special Burst Generation Gap              |
| SBP     | Special Burst Period                      |
| SBSP    | Special Burst Scheduling Period           |
| S-CCPCH | Secondary Common Control Physical Channel |
| SCH     | Synchronisation Channel                   |
| SFN     | System Frame Number                       |
| SSCH    | Secondary Synchronisation Channel         |
| STD     | Selective Transmit Diversity              |
| TA      | Timing Advance                            |
| TPC     | Transmit Power Control                    |
| TSTD    | Time Switched Transmit Diversity          |
| TxAA    | Transmit Adaptive Antennas                |
| UE      | User Equipment                            |
| VBR     | Variable Bit Rate                         |
|         |   |

# 4 Physical layer procedures for the 3,84 Mcps option

4.1 General

## 4.2 Transmitter Power Control

## 4.2.1 General Parameters

Power control is applied for the TDD mode to limit the interference level within the system thus reducing the intercell interference level and to reduce the power consumption in the UE.

All codes within one timeslot allocated to the same CCTrCH use the same transmission power, in case they have the same spreading factor.

|                    | Uplink  | Downlink  |  |  |  |  |
|--------------------|---|---|--|--|--|--|
| Power control rate | Variable<br>1-7 slots delay (2 slot SCH)<br>1-14 slots delay (1 slot SCH) | Variable, with rate depending on the slot allocation.   |  |  |  |  |
| TPC Step size      |   | 1dB or 2 dB or 3 dB   |  |  |  |  |
| Remarks            | All figures are without processing and measurement times                  | Within one timeslot the powers of<br>all active codes may be balanced<br>to within a range of 20 dB |  |  |  |  |

**Table 1: Transmit Power Control characteristics** 

## 4.2.2 Uplink Control

#### 4.2.2.1 General Limits

During the operation of the uplink power control procedure the UE transmit power shall not exceed a maximum allowed value which is the lower out of the maximum output power of the terminal power class and a value which may be set by higher layer signalling.

Uplink power control shall be performed while the total UE transmit power is below the maximum allowed output power. In some cases the total UE transmit power in a timeslot after uplink power control calculation might exceed the maximum allowed output power. In these cases the calculated transmit power of all uplink physical channels in this timeslot shall be scaled by the same amount in dB before transmission. The total UE transmission power used shall be the maximum allowed output power.

The UTRAN may not expect the UE to be capable of reducing its total transmit power below the minimum level specified in [2].

## 4.2.2.2 PRACH

The transmit power for the PRACH is set by higher layers based on open loop power control as described in [15].

## 4.2.2.3 DPCH, PUSCH

The transmit power for DPCH and PUSCH is set by higher layers based on open loop power control as described in [15].

#### 4.2.2.3.1 Gain Factors

Two or more transport channels may be multiplexed onto a CCTrCH as described in [9]. These transport channels undergo rate matching which involves repetition or puncturing. This rate matching affects the transmit power required to obtain a particular  $E_b/N_0$ . Thus, the transmission power of the CCTrCH shall be weighted by a gain factor  $\beta$ .

There are two ways of controlling the gain factors for different TFC's within a CCTrCH transmitted in a radio frame:

- $\beta$  is signalled for the TFC, or
- $\beta$  is computed for the TFC, based upon the signalled settings for a reference TFC.

Combinations of the two above methods may be used to associate  $\beta$  values to all TFC's in the TFCS for a CCTrCH. The two methods are described in sections 4.2.2.3.1.1 and 4.2.2.3.1.2 respectively. Several reference TFC's for several different CCTrCH's may be signalled from higher layers.

The weight and gain factors may vary on a radio frame basis depending upon the current SF and TFC used. The setting of weight and gain factors is independent of any other form of power control. That means that the transmit power  $P_{UL}$  is calculated according to the formula given in [15] and then the weight and gain factors are applied on top of that, cf. [10].

#### 4.2.2.3.1.1 Signalled Gain Factors

When the gain factor  $\beta_i$  is signalled by higher layers for a certain TFC, the signalled values are used directly for weighting DPCH or PUSCH within a CCTrCH. Exact values are given in [10].

#### 4.2.2.3.1.2 Computed Gain Factors

The gain factor  $\beta_i$  may also be computed for certain TFCs, based on the signalled settings for a reference TFC:

Let  $\beta_{ref}$  denote the signalled gain factor for the reference TFC. Further, let  $\beta_j$  denote the gain factor used for the *j*-th TFC.

Define the variable:  $K_{ref} = \sum_{i} RM_{i} \cdot N_{i}$ 

where  $RM_i$  is the semi-static rate matching attribute for transport channel *i*,  $N_i$  is the number of bits output from the radio frame segmentation block for transport channel *i* and the sum is taken over all the transport channels *i* in the reference TFC.

Similarly, define the variable 
$$K_j = \sum_i RM_i \cdot N_i$$

where the sum is taken over all the transport channels *i* in the *j*-th TFC.

Moreover, define the variable 
$$L_{ref} = \sum_{i} \frac{1}{SF_{i}}$$

where  $SF_i$  is the spreading factor of DPCH or PUSCH *i* and the sum is taken over all DPCH or PUSCH *i* used in the reference TFC.

Similarly, define the variable 
$$L_j = \sum_i \frac{1}{SF_i}$$

where the sum is taken over all DPCH or PUSCH *i* used in the *j*-th TFC.

Then the variable  $A_j$ , called the nominal power relation for TFC j, is computed as:

$$A_{j} = \sqrt{\frac{L_{j}}{L_{ref}}} \times \sqrt{\frac{K_{ref}}{K_{j}}}$$

The gain factors  $\beta_i$  for the *j*-th TFC are then computed as follows:

- If  $A_i > 1$ , then  $\beta_i$  is the largest quantized  $\beta$ -value, for which the condition  $\beta_i \le 1 / A_i$  holds.
- If  $A_i \le 1$ , then  $\beta_i$  is the smallest quantized  $\beta$ -value, for which the condition  $\beta_i \ge 1 / A_i$  holds.

The quantized  $\beta$ -values are given in [10].

#### 4.2.2.3.2 Out of synchronisation handling

As stated in 4.2.3.3, the association between TPC commands sent on uplink DPCH and PUSCH, with the power controlled downlink DPCH and PDSCH is signaled by higher layers. In the case of multiple DL CCTrCHs it is possible that an UL CCTrCH will provide TPC commands to more than one DL CCTrCH.

In the second phase of synchronisation evaluation, as defined in 4.4.2.1.2, the UE shall shut off the transmission of an UL CCTrCH if the following criteria are fulfilled for any one of the DL CCTrCHs commanded by its TPC:

The UE estimates the received dedicated channel burst quality over the last 160 ms period to be worse than a threshold Q<sub>out</sub>, and in addition, no special burst, as defined in 4.5, is detected with quality above a threshold, Q<sub>sbout</sub>. Q<sub>out</sub> and Q<sub>sbout</sub> are defined implicitly by the relevant tests in [2]. If the UE detects the beacon channel

reception level [10 dB] above the handover triggering level, then the UE shall use a 320 ms estimation period for the burst quality evaluation and for the Special Burst detection window.

UE shall subsequently resume the uplink transmission of the CCTrCH if the following criteria are fulfilled:

- The UE estimates the received dedicated CCTrCH burst reception quality over the last 160 ms period to be better than a threshold Q<sub>in</sub> or the UE detects a burst with quality above threshold Q<sub>sbin</sub> and TFCI decoded to be that of the Special Burst. Q<sub>in</sub> and Q<sub>sbin</sub> are defined implicitly by the relevant tests in [2]. If the UE detects the beacon channel reception level [10 dB] above the handover triggering level, then the UE shall use a 320 ms estimation period for the burst quality evaluation and for the Special Burst detection window.

## 4.2.3 Downlink Control

#### 4.2.3.1 P-CCPCH

The Primary CCPCH transmit power is set by higher layer signalling and can be changed based on network conditions on a slow basis. The reference transmit power of the P-CCPCH is broadcast on BCH or individually signalled to each UE.

#### 4.2.3.2 S-CCPCH, PICH

The relative transmit power of the Secondary CCPCH and the PICH compared to the P-CCPCH transmit power are set by higher layer signalling. The PICH power offset relative to the P-CCPCH reference power is signalled on the BCH.

#### 4.2.3.3 SCH

The SCH transmit power is set by higher layer signalling [16]. The value is given relative to the power of the P-CCPCH.

#### 4.2.3.4 PNBSCH

The PNBSCH transmit power is set by higher layer signalling [16]. The value given is relative to the power of the P-CCPCH

#### 4.2.3.5 DPCH, PDSCH

The initial transmission power of the downlink DPCH and the PDSCH shall be set by the network. If associated uplink CCTrCHs for TPC commands are signalled to the UE by higher layers (mandatory for a DPCH), the network shall transit into inner loop power control after the initial transmission. The UE shall then generate TPC commands to control the network transmit power and send them in the TPC field of the associated uplink CCTrCHs. An example on how to derive the TPC commands and the definition of the inner loop power control are given in Annex A.1. A TPC command sent in an uplink CCTrCH controls all downlink DPCHs or PDSCHs to which the associated downlink CCTrCH is mapped to.

In the case that no associated downlink data is scheduled within 15 timeslots before the transmission of a TPC command then this is regarded as a transmission pause. The TPC commands in this case shall be derived from measurements on the P-CCPCH. An example solution for the generation of the TPC command for this case is given in Annex A 1.

Each TPC command shall always be based on all associated downlink transmissions received since the previous related TPC command. Related TPC commands are defined as TPC commands associated with the same downlink CCTrCHs. If there are no associated downlink transmissions between two or more uplink transmissions carrying related TPC commands, then these TPC commands shall be identical and they shall be regarded by the UTRAN as a single TPC command. This rule applies both to the case where the TPC commands are based on measurements on the associated CCTrCH or, in the case of a transmission pause, on the P-CCPCH.

As a response to the received TPC command, UTRAN may adjust the transmit power. When the TPC command is judged as "down", the transmission power may be reduced by the TPC step size, whereas if judged as "up", the transmission power may be raised by the TPC step size.

#### 3GPP TS 25.224 version 4.0.0 Release 4

The UTRAN may apply an individual offset to the transmission power in each timeslot according to the downlink interference level at the UE.

The transmission power of one DPCH or PDSCH shall not exceed the limits set by higher layer signalling by means of Maximum\_DL\_Power (dB) and Minimum\_DL\_Power (dB). The transmission power is defined as the average power over one timeslot of the complex QPSK symbols of a single DPCH or PDSCH before spreading relative to the power of the P-CCPCH.

During a downlink transmission pause, both UE and Node B shall use the same TPC step size which is signalled by higher layers. The UTRAN may accumulate the TPC commands received during the pause. TPC commands that shall be regarded as identical may only be counted once. The initial UTRAN transmission power for the first data transmission after the pause may then be set to the sum of transmission power before the pause and a power offset according to the accumulated TPC commands. Additionally this sum may include a constant set by the operator and a correction term due to uncertainties in the reception of the TPC bits. The total downlink transmission power at the Node B within one timeslot shall not exceed Maximum Transmission Power set by higher layer signalling. If the total transmit power of all channels in a timeslot exceeds this limit, then the transmission power of all downlink DPCHs and PDSCHs shall be reduced by the same amount in dB. The value for this power reduction is determined, so that the total transmit power of all channels in this timeslot is equal to the maximum transmission power.

## 4.2.3.5.1 Out of synchronisation handling

When the dedicated physical channel out of sync criteria based on the received burst quality is as given in the subclause 4.4.2 then the UE shall set the uplink TPC command = "up". The CRC based criteria shall not be taken into account in TPC bit value setting.

## 4.3 Timing Advance

UTRAN may adjust the UE transmission timing with timing advance. The initial value for timing advance (TA<sub>phys</sub>) will be determined in the UTRAN by measurement of the timing of the PRACH. The required timing advance will be represented as an 6 bit number (0-63) 'UL Timing Advance' TA<sub>ul</sub>, being the multiplier of 4 chips which is nearest to the required timing advance (i.e.  $TA_{phys} = TA_{ul} \times 4$  chips).

When Timing Advance is used the UTRAN will continuously measure the timing of a transmission from the UE and send the necessary timing advance value. On receipt of this value the UE shall adjust the timing of its transmissions accordingly in steps of  $\pm$ 4chips. The transmission of TA values is done by means of higher layer messages. Upon receiving the TA command the UE shall adjust its transmission timing according to the timing advance command at the frame number specified by higher layer signaling. The UE is signaled the TA value in advance of the specified frame activation time to allow for local processing of the command and application of the TA adjustment on the specified frame. Node-B is also signaled the TA value and radio frame number that the TA adjustment is expected to take place.

If TA is enabled by higher layers, after handover the UE shall transmit in the new cell with timing advance TA adjusted by the relative timing difference  $\Delta t$  between the new and the old cell:

 $TA_{new} = TA_{old} + 2\Delta t.$ 

## 4.3.1 Timing advance with UL Synchronization

If UL Synchronization is used, the timing advance is sub-chip granular and with high accuracy in order to enable synchronous CDMA in the UL. The required timing advance will be represented as a multiple of 1/4 chips.

The UTRAN will continuously measure the timing of a transmission from the UE and send the necessary timing advance value. On receipt of this value the UE will adjust the timing of its transmissions accordingly in steps of  $\pm 1/4$  chips.

Support of UL synchronisation is optional for the UE.

## 4.4 Synchronisation procedures

## 4.4.1 Cell Search

During the cell search, the UE searches for a cell and determines the downlink scrambling code, basic midamble code and frame synchronisation of that cell. How cell search is typically done is described in Annex C.

## 4.4.2 Dedicated channel synchronisation

#### 4.4.2.1 Synchronisation primitives

#### 4.4.2.1.1 General

For the dedicated channels, synchronisation primitives are used to indicate the synchronisation status of radio links, both in uplink and downlink. The definition of the primitives is given in the following subclauses.

#### 4.4.2.1.2 Downlink synchronisation primitives

Layer 1 in the UE shall check the synchronization status of each DL CCTrCH individually in every radio frame All bursts and transport channels of a CCTrCH shall be taken into account. Synchronisation status is indicated to higher layers, using the CPHY-Sync-IND or CPHY-Out-of-Sync-IND primitives. For dedicated physical channels configured with Repetition Periods [15] only the configured active periods shall be taken into account in the estimation. The status check shall also include detection of the Special Bursts defined in 4.5 for DTX.

The criteria for reporting synchronization status are defined in two different phases.

The first phase lasts until 160 ms after the downlink CCTrCH is considered to be established by higher layers. During this time, Out-of-sync shall not be reported. In-sync shall be reported using the CPHY-Sync-IND primitive if any one of the following three criteria is fulfilled.

- a) The UE estimates the burst reception quality over the previous 40 ms period to be better than a threshold Q<sub>in</sub>. This criterion shall be assumed not to be fulfilled before 40 ms of burst reception quality measurement have been collected.
- b) At least one transport block with a CRC attached is received in a TTI ending in the current frame with correct CRC.
- c) The UE detects at least one Special Burst. Special Burst detection shall be successful if the burst is detected with quality above a threshold, Q<sub>sbin</sub>, and the TFCI is decoded to be that of the Special Burst.

The second phase starts 160 ms after the downlink dedicated channel is considered established by higher layers.. During this phase both Out-of-Sync and In-Sync are reported as follows.

Out-of-sync shall be reported using the CPHY-Out-of-Sync-IND primitive if all three of the following criteria are fulfilled:

- the UE estimates the received dedicated channel burst quality over the last 160 ms period to be worse than a threshold Q<sub>out</sub>. The value, Q<sub>out</sub> is defined implicitly by the relevant tests in [2];
- no Special Burst is detected with quality above a threshold Q<sub>sbout</sub>. The value Q<sub>sbout</sub> is defined implicitly by the relevant tests in [2];
- over the previous 160 ms, no transport block has been received with a correct CRC

If the UE detects the beacon channel reception level [10 dB] above the handover triggering level, the UE shall use 320 ms estimation period for the burst quality evaluation and for the Special Burst and CRC detection window.

In-sync shall be reported using the CPHY-Sync-IND primitive if any one of the following criteria is fulfilled:

- the UE estimates the received burst reception quality over the last 160 ms period to be better than a threshold  $Q_{in}$ . The value,  $Q_{in}$  is defined implicitly by the relevant tests in [2].

- the UE detects at least one Special Burst with quality above a threshold  $Q_{sbin}$ . The value,  $Q_{sbin}$ , is defined implicitly by the relevant tests in [2].
- at least one transport block with a CRC attached is received in a TTI ending in the current frame with correct CRC.

If the UE detects the beacon channel reception level [10 dB] above the handover triggering level, the UE uses 320 ms estimation period for the burst quality evaluation and for the Special Burst and CRC detection window.

If no data are provided by higher layers for transmission during the second phase on the downlink dedicated channel then DTX shall be applied as defined in section 4.5.

How the primitives are used by higher layers is described in [15]. The above definitions may lead to radio frames where neither the In-Sync or Out-of-Sync primatives are reported.

#### 4.4.2.1.3 Uplink synchronisation primitives

Layer 1 in the Node B shall every radio frame check synchronisation status, individually for each UL CCTrCH of the radio link. Synchronisation status is indicated to the RL Failure/Restored triggering function using either the CPHY-Sync-IND or CPHY-Out-of-Sync-IND primitive.

The exact criteria for indicating in-sync/out-of-sync is not subject to specification, but could e.g. be based on received burst quality or CRC checks. One example would be to have the same criteria as for the downlink synchronisation status primitives.

#### 4.4.2.2 Radio link monitoring

#### 4.4.2.2.1 Downlink radio link failure

The downlink CCTrCHs are monitored by the UE, to trigger radio link failure procedures. The downlink CCTrCH failure status is specified in [15], and is based on the synchronisation status primitives CPHY-Sync-IND and CPHY-Out-of-Sync-IND, indicating in-sync and out-of-sync respectively. These primitives shall provide status for each DL CCTrCH separately.

#### 4.4.2.2.2 Uplink radio link failure/restore

The uplink CCTrCHs are monitored by the Node B in order to trigger CCTrCH failure/restore procedures. The uplink CCTrCH failure/restore status is reported using the synchronisation status primitives CPHY-Sync-IND and CPHY-Out-of-Sync-IND, indicating in-sync and out-of-sync respectively.

When the CCTrCH is in the in-sync state, Node B shall start timer T\_RLFAILURE after receiving N\_OUTSYNC\_IND consecutive out-of-sync indications. Node B shall stop and reset timer T\_RLFAILURE upon receiving successive N\_INSYNC\_IND in-sync indications. If T\_RLFAILURE expires, Node B shall indicate to higher layers which CCTrCHs are out-of-sync using the synchronization status primitives. Furthermore, the CCTrCH state shall be changed to the out-of-sync state.

When a CCTrCH is in the out-of-sync state, after receiving N\_INSYNC\_IND successive in-sync indications Node B shall indicate that the CCTrCH has re-established synchronisation and the CCTrCH's state shall be changed to the in-sync-state. The specific parameter settings (values of T\_RLFAILURE, N\_OUTSYNC\_IND, and N\_INSYNC\_IND) are configurable, see [16].

## 4.5 Discontinuous transmission (DTX) of Radio Frames

DTX is applied to CCTrCHs mapped to dedicated and shared physical channels (PUSCH, PDSCH, UL DPCH and DL DPCH), if the total bit rate of the CCTrCH differs from the total channel bit rate of the physical channels allocated to this CCTrCH.

Rate matching is used in order to fill resource units completely, that are only partially filled with data. In the case that after rate matching and multiplexing no data at all is to be transmitted in a resource unit the complete resource unit is discarded from transmission. This applies also to the case where only one resource unit is allocated and no data has to be transmitted.

## 4.5.1 Use of Special Bursts for DTX

In case there are no transport blocks provided for transmission by higher layers for any given CCTrCH after link establishment, then a Special Burst shall be transmitted in the first allocated frame of the transmission pause. If, including the first frame, there is a consecutive period of Special Burst Period (SBP) frames without transport blocks provided by higher layers, then another special burst shall be generated and transmitted at the next possible frame. This pattern shall be continued until transport blocks are provided for the CCTrCH by the higher layers. SBP shall be provided by higher layers. The value of SBP shall be independently specified for uplink and for downlink and shall be designated as

SBGP (special burst generation period) for uplink transmissions

SBSP (special burst scheduling parameter) for downlink transmissions

The default value for both SBGP and SBSP shall be 8.

This special burst shall have the same slot format as the burst used for data provided by higher layers. The special burst is filled with an arbitrary bit pattern, contains a TFCI and TPC bits if inner loop PC is applied and is transmitted for each CCTrCH individually on the physical channel which is defined to carry the TFCI. The TFCI of the special burst is filled with "0" bits. The transmission power of the special burst shall be the same as that of the substituted physical channel of the CCTrCH carrying the TFCI.

## 4.5.2 Use of Special Bursts for Initial Establishment / Reconfiguration

Upon initial establishment or reconfiguration for either 160 ms following detection of in-sync, or until the first transport block is received from higher layers, both the UE and the Node B shall transmit the special burst for each CCTrCH for each assigned resource which was scheduled to include a TFCI.

## 4.6 Downlink Transmit Diversity

Downlink transmit diversity for PDSCH, DPCH, P-CCPCH, and SCH is optional in UTRAN. Its support is mandatory at the UE.

## 4.6.1 Transmit Diversity for PDSCH and DPCH

The transmitter structure to support transmit diversity for PDSCH and DPCH transmission is shown in figure 1. Channel coding, interleaving and spreading are done as in non-diversity mode. The spread complex valued signal is fed to both TX antenna branches, and weighted with antenna specific weight factors  $w_1$  and  $w_2$ . The weight factors are complex valued signals (i.e.,  $w_i = a_i + jb_i$ ), in general. These weight factors are calculated on a per slot and per user basis.

The weight factors are determined by the UTRAN. Examples of transmit diversity schemes are given in annex B.

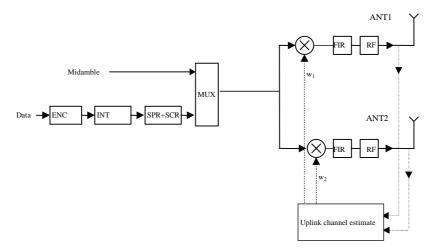


Figure 1: Downlink transmitter structure to support Transmit Diversity for PDSCH and DPCH transmission (UTRAN Access Point)

## 4.6.2 Transmit Diversity for SCH

Time Switched Transmit Diversity (TSTD) can be employed as transmit diversity scheme for the synchronisation channel.

## 4.6.2.1 SCH Transmission Scheme

The transmitter structure to support transmit diversity for SCH transmission is shown in figure 2. P-SCH and S-SCH are transmitted from antenna 1 and antenna 2 alternatively. An example for the antenna switching pattern is shown in figure 3.

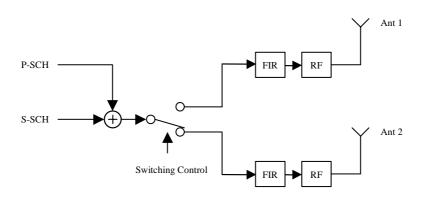


Figure 2: Downlink transmitter structure to support Transmit Diversity for SCH transmission (UTRAN Access Point)

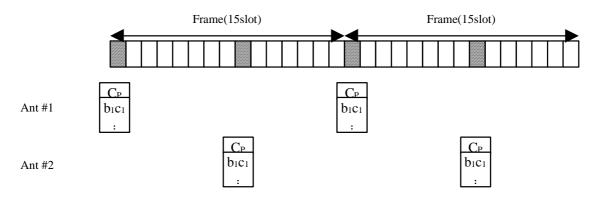


Figure 3: Antenna Switching Pattern (Case 2)

## 4.6.3 Transmit Diversity for P-CCPCH

Block Space Time Transmit Diversity (Block STTD) may be employed as transmit diversity scheme for the Primary Common Control Physical Channels (P-CCPCH).

### 4.6.3.1 P-CCPCH Transmission Scheme

The open loop downlink transmit diversity employs a Block Space Time Transmit Diversity scheme (Block STTD).

A block diagram of the Block STTD transmitter is shown in figure 4. Before Block STTD encoding, channel coding, rate matching, interleaving and bit-to-symbol mapping are performed as in the non-diversity mode.

Block STTD encoding is separately performed for each of the two data fields present in a burst (each data field contains N data symbols). For each data field at the encoder input, 2 data fields are generated at its output, corresponding to each of the diversity antennas. The Block STTD encoding operation is illustrated in figure 5, where the superscript \* stands

for complex conjugate. If N is an odd number, the first symbol of the block shall not be STTD encoded and the same symbol will be transmitted with equal power from both antennas.

After Block STTD encoding both branches are separately spread and scrambled as in the non-diversity mode.

The use of Block STTD encoding will be indicated by higher layers.

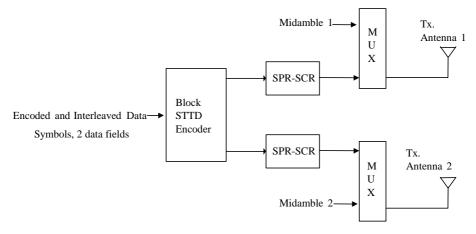


Figure 4: Block Diagram of the transmitter (STTD)

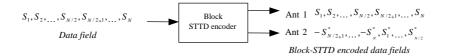


Figure 5: Block Diagram of Block STTD encoder. The symbols S<sub>i</sub> are QPSK. N is the length of the block to be encoded

## 4.7 Random access procedure

The physical random access procedure described below is invoked whenever a higher layer requests transmission of a message on the RACH. The physical random access procedure is controlled by primitives from RRC and MAC. Retransmission on the RACH in case of failed transmission (e.g. due to a collision) is controlled by higher layers. Thus, the backoff algorithm and associated handling of timers is not described here. The definition of the RACH in terms of PRACH sub-channels and associated Access Service Classes is broadcast on the BCH in each cell. Parameters for common physical channel uplink outer loop power control are also broadcast on the BCH in each cell. The UE needs to decode this information prior to transmission on the RACH. Higher layer signalling may indicate, that in some frames a timeslot shall be blocked for RACH uplink transmission.

## 4.7.1 PRACH sub-channels

A PRACH is defined by a timeslot and a channelization code, which is randomly selected from the PRACH Channelisation Code List [15] signaled by higher layers. In order to separate different ASCs each PRACH has N subchannels associated with it (numbered from 0 to N-1). N may be assigned the value 1,2,4, or 8 by higher layer signaling. Sub-channel i for a PRACH defined in timeslot k is defined as the k:th slot in the frames where SFN mod N = i. Therefore follows the definition:

- Sub-channel i associated to a PRACH defined in timeslot k is defined as the k:th timeslot in the frames where SFN mod N = i.

Figure 6 illustrates the eight possible subchannels for the case, N=8. For illustration, the figure assumes that the PRACH is assigned timeslot 3.

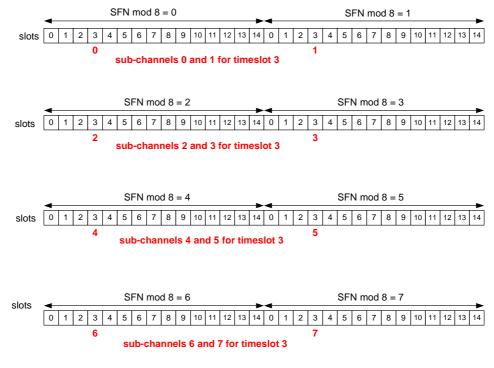


Figure 6: Eight sub-channels for timeslot 3

## 4.7.2 Physical random access procedure

The physical random access procedure described in this subclause is initiated upon request of a PHY-Data-REQ primitive from the MAC sublayer (see [18] and [19]).

Note: The selection of a PRACH is done by the RRC Layer.

Before the physical random-access procedure can be initiated, Layer 1 shall receive the following information from the RRC layer using the primitives CPHY-TrCH-Config-REQ and CPHY-RL-Setup/Modify-REQ.

- the available PRACH sub-channels and channelization codes (There is a 1-1 mapping between the channelization code and the midamble shift as defined by RRC) for each Access Service Class (ASC) of the selected PRACH (the selection of a PRACH is done by the RRC). CPHY-RL-Setup/Modify-REQ);
- the timeslot, spreading factor, and midamble type(direct or inverted) for the selected PRACH (CPHY-RL-Setup/Modify-REQ);
- the RACH Transport Format (CPHY-TrCH-Config-REQ);
- the RACH transport channel identity (CPHY-TrCH-Config-REQ)
- the set of parameters for common physical channel uplink outer loop power control(CPHY-RL-Setup/Modify-REQ).
- NOTE: The above parameters may be updated from higher layers before each physical random access procedure is initiated.

At each initiation of the physical random access procedure, Layer 1 shall receive the following information from the MAC:

- the ASC of the PRACH transmission;
- the data to be transmitted (Transport Block Set).

In addition, Layer 1 may receive information from higher layers, that a timeslot in certain frames shall be blocked for PRACH uplink transmission.

The physical random-access procedure shall be performed as follows:

- 1 Randomly select one channelization code from the set of designated codes for the selected ASC. The random function shall be such that each code is chosen with equal probability.
- 2 Determine the midamble shift to use, based on the selected channelization code.
- 3 Randomly select a sub-channel from the set of available sub-channels. The random function shall be such that each of the allowed selections is chosen with equal probability.
- 4 Set the PRACH message transmission power level according to the specification for common physical channels in uplink (see subclause 4.2.2.2).
- 5 Transmit the RACH Transport Block Set (the random access message) with no timing advance in the selected sub-channel using the selected channelization code.

## 4.8 DSCH procedure

The physical downlink shared channel procedure described below shall be applied by the UE when the physical layer signalling either with the midamble based signalling or TFCI based signalling is used to indicate for the UE the need for PDSCH detection. There is also a third alternative to indicate to the UE the need for the PDSCH detection and this is done by means of higher layer signalling, already described in [8].

## 4.8.1 DSCH procedure with TFCI indication

When the UE has been allocated by higher layers to receive data on DSCH using the TFCI, the UE shall decode the PDSCH in the following cases:

- In case of a standalone PDSCH the TFCI is located on the PDSCH itself, then the UE shall decode the TFCI and based on which data rate was indicated by the TFCI, the decoding shall be performed. The UE shall decode PDSCH only if the TFCI word decode corresponds to the TFC part of the TFCS given to the UE by higher layers.
- In case that the TFCI is located on the DCH, the UE shall decode the PDSCH frame or frames if the TFCI on the DCH indicates the need for PDSCH reception. Upon reception of the DCH time slot or time slots, the PDSCH slot (or first PDSCH slot) shall start *SFN n+2* after the DCH frame containing the TFCI, where n indicates the SFN on which the DCH is received. In the case that the TFCI is repeated over several frames, the PDSCH slot shall start *SFN n+2* after the DCH slot which contains the last part of the repeated TFCI.

### 4.8.2 DSCH procedure with midamble indication

When the UE has been allocated by higher layers to receive PDSCH based on the midamble used on the PDSCH (midamble based signalling described in [8]), the UE shall operate as follows:

- The UE shall test the midamble it received and if the midamble received was the same as indicated by higher layers to correspond to PDSCH reception, the UE shall detect the PDSCH data according to the TF given by the higher layers for the UE.
- In case of multiple time slot allocation for the DSCH indicated to be part of the TF for the UE, the UE shall receive all timeslots if the midamble of the first timeslot of PDSCH was the midamble indicated to the UE by higher layers.
- In case the standalone PDSCH (no associated DCH) contains the TFCI the UE shall detect the TF indicated by the TFCI on PDSCH.

## 4.9 Node B Synchronisation Procedure over the Air

An option exists to use cell sync bursts to achieve and maintain Node B synchronisation [20]. This optional procedure is based on transmissions of cell synchronisation bursts [10] in predetermined timeslots normally assigned to contain PRACH, according to an RNC schedule. Such soundings between neighbouring cells facilitate timing offset measurements by the cells. The timing offset measurements are reported back to the RNC for processing. The RNC generates cell timing updates that are transmitted to the Node Bs and cells for implementation.

When Cell Sync Bursts are used to achieve and maintain intercell Synchronisation there are three distinct phases, with a potential additional sub-phase involving late entrant cells.

## 4.9.1 Frequency Acquisition Phase

The frequency acquisition phase is used to bring cells of an RNS area to within frequency limits prior to initial synchronisation. No traffic is supported during this phase. In this phase cell(s) identified as master time reference shall transmit cell sync bursts [10] specified by higher layers continuously, i. e. one in every timeslot. All other cells shall listen for transmissions and shall perform frequency locking to the transmissions received. They shall signal completion of frequency acquisition to the RNC and begin continuous transmission of cell sync bursts specified by higher layers.

## 4.9.2 Initial Synchronisation

For Initial Phase, where no traffic is supported, the following procedure for initial synchronisation may be used to bring cells of an RNS area into synchronisation at network start up. In this phase each cell shall transmit cell sync bursts [10] according to the higher layer command. All cells use the same cell sync burst code and code offset. Each cell shall listen for transmissions from other cells. Each cell shall report the timing and received SIR of successfully detected cell sync bursts to the RNC. The RNC uses these measurements to adjust the timing of each cell to achieve the required synchronisation accuracy.

## 4.9.3 Steady-State Phase

The steady-state phase is used to maintain the required synchronisation accuracy. With the start of the steady-state phase, traffic is supported in a cell. A procedure that may be used for the steady-state phase involves cell synch bursts [10] that are transmitted and received without effect on existing traffic. Higher layers signal the transmit parameters, i. e. when to transmit which code and code offset, and which transmit power to use. The higher layers also signal to appropriate cells the receive parameters i. e. which codes and code offsets to measure in a certain timeslot. Upon determination of errors in timing, the RNC may adjust the timing of a cell or cells.

## 4.9.4 Late entrant cells

A procedure that may be used for introducing new cells into an already synchronised RNS involves the one time transmission of a single cell sync burst [10] (scheduled by higher layers) by all neighbour cells of the late entrant cell. and received by the late entrant cell. The RNC may use this information to adjust the late entrant cell sufficiently to allow the cell to enter steady state phase.

## 4.10 Idle periods for IPDL location method

## 4.10.1 General

To support time difference measurements for location services, idle periods can be created in the downlink (hence the name IPDL) during which time transmission of all channels from a Node B is temporarily ceased, except for the SCH transmission. During these idle periods the visibility of neighbour cells from the UE is improved.

The idle periods are arranged in a determined pattern according to higher layer parameters. An idle period has a duration of one time slot. During idle periods only the SCH is transmitted. No attempt is made to prevent data loss.

In general there are two modes for these idle periods:

- Continuous mode, and

- Burst mode.

In continuous mode the idle periods are active all the time. In burst mode the idle periods are arranged in bursts where each burst contains enough idle periods to allow a UE to make sufficient measurements for its location to be calculated. The bursts are separated by a period where no idle periods occur.

The time difference measurements can be performed on any channel. If the P-CCPCH falls in an idle slot, UTRAN may decide not to transmit the P-CCPCH in two consecutive frames, the first of these two frames containing the idle slot. This option is signalled by higher layers.

## 4.10.2 Parameters of IPDL

The following parameters are signalled to the UE via higher layers:

- **IP\_Status:** This is a logic value that indicates if the idle periods are arranged in continuous or burst mode.
- **IP\_Spacing:** The number of 10 ms radio frames between the start of a radio frame that contains an idle period and the next radio frame that contains the next idle period. Note that there is at most one idle period in a radio frame.
- **IP\_Start:** The number of the first frame with idle periods. In case of continuous mode IP\_Start is the SFN of the first frame with idle periods and in case of burst mode IP\_Start defines the number of frames after Burst\_Start with the first frame with idle periods.
- **IP\_Slot:** The number of the slot that has to be idle [0..14].
- **IP\_PCCPCH:** This logic value indicates, if the P-CCPCH is switched off in two consecutive frames. The first of these two frames contains the idle period.

Additionally in the case of burst mode operation the following parameters are also communicated to the UE.

- Burst\_Start: The SFN where the first burst of idle periods starts.
- **Burst\_Length:** The number of idle periods in a burst of idle periods.

**Burst\_Freq:** The number of radio frames between the start of a burst and the start of the next burst.

## 4.10.3 Calculation of idle period position

In burst mode, the first burst starts in the radio frame with  $SFN = Burst\_Start$ . The  $n^{th}$  burst starts in the radio frame with  $SFN = Burst\_Start + n \times Burst\_Freq$ . The sequence of bursts according to this formula continues up to and including the radio frame with SFN = 4095. At the start of the radio frame with SFN = 0, the burst sequence is terminated (no idle periods are generated) and at  $SFN = Burst\_Start$  the burst sequence is restarted with the first burst followed by the second burst etc., as described above.

Continuous mode is equivalent to burst mode, with only one burst spanning the whole SFN cycle of 4096 radio frames, this burst starts in the radio frame with SFN = 0. In case of continuous mode the parameter IP\_Start defines the first frame with idle periods.

The time slot that has to be idle is defined by two values:  $IP\_Frame(x)$  and  $IP\_Slot$ .  $IP\_Frame(x)$  defines the  $x^{th}$  frame within a burst in which the slot with the number  $IP\_Slot$  has to be switched off.

The actual frame with idle periods within a burst is calculated as follows:

IP\_Frame(x) = IP\_Start + (x-1) × IP\_Spacing with x = 1, 2, 3, ....

If the parameter IP\_PCCPCH is set to 1, then the P-CCPCH will not be transmitted in the frame IP\_Frame(x) +1 within a burst.

Figure 7 below illustrates the idle periods for the burst mode case, if the IP\_P-CCPCH parameter is set to 0.

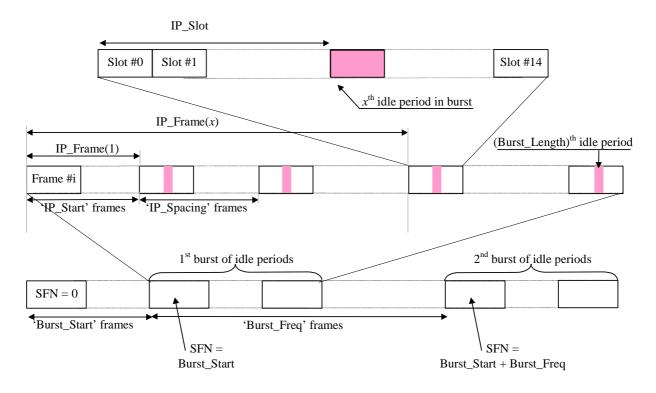


Figure 7: Idle Period placement in the case of burst mode operation with IP\_P-CCPCH parameter set to 0

# 5 Physical layer procedures for the 1,28 Mcps option

## 5.1 Transmitter Power Control

The basic purpose of power control is to limit the interference level within the system thus reducing the intercell interference level and to reduce the power consumption in the UE.

The main characteristics of power control are summarized in the following table.

|                    | Uplink  | Downlink  |  |  |  |  |
|--------------------|---|---|--|--|--|--|
| Power control rate | Variable<br>Closed loop: 0-200 cycles/sec.<br>Open loop: (about 200us –<br>3575us delay ) | Variable<br>Closed loop: 0-200 cycles/sec.  |  |  |  |  |
| Step size          | 1,2,3 dB (closed loop)  | 1,2,3 dB (closed loop)  |  |  |  |  |
| Remarks            | All figures are without processing<br>and measurement times                               | Within one timeslot the powers of<br>all active codes may be balanced<br>to within a range of 20 dB |  |  |  |  |

#### **Table 2: Transmit Power Control characteristics**

Note: All codes within one timeslot allocated to the same CCTrCH use the same transmission power in case they have the same Spreading Factor.

## 5.1.1 Uplink Control

#### 5.1.1.1 General limits

By means of higher layer signalling, the Maximum\_Allowed\_UL\_TX\_ power for uplink may be set to a value lower than what the terminal power class is capable of. The total transmit power shall not exceed the allowed maximum. If this would be the case, then the transmit power of all uplink physical channels in a timeslot is reduced by the same amount in dB.

#### 5.1.1.2 UpPTS

Open loop power control is used for UpPTS.

The transmit power level by a UE on the UpPTS shall be calculated based on the following equation:

 $P_{UpPTS} = L_{P-CCPCH} + PRX_{UpPTS,des}$ 

where,  $P_{UpPTS}$ : transmit power level in dBm,

L<sub>P-CCPCH</sub>: measured path loss in dB (P-CCPCH reference transmit power level is broadcast on BCH),

PRX<sub>UpPTS,des</sub>: desired RX power level at cell's receiver in dBm, which is an average value and is broadcast on BCH.

## 5.1.1.3 PRACH

In 1,28 Mcps TDD, the F-PACH is the response of a node B to the SYNC-UL burst of the UE. The response, a one burst long message, shall bring besides the acknowledgement to the received SYNC-UL burst, the timing and power level indications to prepare the transmission of the RACH burst.

The transmit power level on the PRACH is calculated by the following equation:

 $P_{PRACH} = L_{P-CCPCH} + PRX_{PRACH,des}$ 

Where, P<sub>PRACH</sub> is the UE transmit power level on the PRACH;

 $PRX_{PRACH,des}$  is the desired receive power level on the PRACH, which is signalled by the higher layer signalling on the F-PACH.

#### 5.1.1.4 DPCH and PUSCH

The closed loop power control makes use of layer 1 symbol in the DPCH. The power control step can take the values 1,2,3 dB within the overall dynamic range 80dB. The initial transmission power of the uplink Dedicated Physical Channel is signalled by the UTRAN.

Closed-loop TPC is based on SIR and the TPC processing procedures are described in this section.

The node B should estimate signal-to-interference ratio  $SIR_{est}$  of the received uplink DPCH. The node B should then generate TPC commands and transmit the commands according to the following rule: if  $SIR_{est} > SIR_{target}$  then the TPC command to transmit is "down", while if  $SIR_{est} < SIR_{target}$  then the TPC command to transmit is "up".

At the UE, soft decision on the TPC bits is performed, and when it is judged as 'down', the mobile transmit power shall be reduced by one power control step, whereas if it is judged as 'up', the mobile transmit power shall be raised by one power control step. A higher layer outer loop adjusts the target SIR. This scheme allows quality based power control.

The closed loop power control procedure for UL DPCH is not affected by the use of TSTD.

An example of UL power control procedure for DPCH is given in Annex A.3.

#### 5.1.1.4.1 Out of synchronization handling

Same as that of 3,84 Mcps TDD, cf.[4.2.2.3.3 Out of synchronisation handling].

## 5.1.2 Downlink Control

## 5.1.2.1 P-CCPCH

Same as that of 3,84 Mcps TDD, cf.[4.2.3.1 P-CCPCH].

## 5.1.2.2 The power of the F-PACH

The transmit power for the F-PACH is set by the higher layer signalling.

## 5.1.2.3 S-CCPCH, PICH

Same as that of 3,84 Mcps TDD, cf.[4.2.3.2 S-CCPCH, PICH].

## 5.1.2.4 DPCH, PDSCH

The initial transmission power of the downlink Dedicated Physical Channel is set by the higher layer signalling until the first UL DPCH arrives. After the initial transmission, the node B transits into SIR-based closed-loop TPC.

The UE should estimate signal-to-interference ratio  $SIR_{est}$  of the received downlink DPCH. The UE should then generate TPC commands and transmit the commands according to the following rule: if  $SIR_{est} > SIR_{target}$  then the TPC command to transmit is "down", while if  $SIR_{est} < SIR_{target}$  then the TPC command to transmit is "up".

At the Node B, soft decision on the TPC bits is performed, and when it is judged as 'down', the transmission power shall be reduced by one power control step, whereas if judged as 'up', the transmission power shall be raised by one power control step.

When TSTD is applied, the UE can use two consecutive measurements of the received SIR in two consecutive subframes to generate the power control command. An example implementation of DL power control procedure for 1,28 Mcps TDD when TSTD is applied is given in Annex A.4.

#### 5.1.2.4.1 Out of synchronisation handling

Same as that of 3,84 Mcps TDD, cf.[4.2.3.5.1 Out of synchronisation handling].

## 5.2 UL Synchronisation

## 5.2.1 General Description

Support of UL synchronization is mandatory for the UE.

#### 5.2.1.1 Preparation of uplink synchronization (downlink synchronization)

When a UE is powered on, it first needs to establish the downlink synchronisation with the cell. Only after the UE has established the downlink synchronisation, it shall start the uplink synchronisation procedure.

#### 5.2.1.2 Establishment of uplink synchronization

The establishment of uplink synchronization is done during the random access procedure and involves the UpPCH and the PRACH.

Although the UE can receive the downlink signal from the Node B, the distance to Node B is still uncertain. This would lead to unsynchronised uplink transmission. Therefore, the first transmission in the uplink direction is performed in a special time-slot UpPTS to reduce interference in the traffic time-slots.

The timing used for the UpPCH is set e.g. according to the received power level of DwPCH and/or P-CCPCH.

After the detection of the SYNC-UL sequence in the searching window, the Node B will evaluate the timing, and reply by sending the adjustment information to the UE to modify its timing for next transmission. This is done with the

FPACH within the following 4 sub-frames. After sending the PRACH the uplink synchronization is established. The uplink synchronisation procedure shall also be used for the re-establishment of the uplink synchronisation when uplink is out of synchronisation.

#### 5.2.1.3 Maintenance of uplink synchronisation

Uplink synchronization is maintained in 1,28 Mcps TDD by sending the uplink advanced in time with respect to the timing of the received downlink.

For the maintenance of the uplink synchronization, the midamble field of each uplink burst can be used.

In each uplink time slot the midamble for each UE is different. The Node B may estimate the timing by evaluating the channel impulse response of each UE in the same time slot. Then, in the next available downlink time slot, the Node B will signal Synchronisation Shift (SS) commands to enable the UE to properly adjust its Tx timing.

## 5.2.2 UpPCH

Open loop uplink synchronisation control is used for UpPCH.

The UE may estimate the propagation delay  $\Delta t_p$  based upon the path loss using the received P-CCPCH and/or DwPCH power.

The UpPCH is sent to the Node B advanced in time according to the timing of the received DwPCH. The time of the beginning of the UpPCH  $T_{TX-UpPCH}$  is given by:

 $T_{TX-UpPCH} = T_{RX-DwPCH} - 2\Delta t_p + 12*16 T_C$ 

in multiple of 1/8 chips, where

T<sub>TX-UpPCH</sub> is the beginning time of UpPCH transmission with the UE's timing,

T<sub>RX-DwPCH</sub> is the received beginning time of DwPCH with the UE's timing,

 $2\Delta t_p$  is the timing advance of the UpPCH (UpPCH<sub>ADV</sub>).

## 5.2.3 PRACH

The Node B shall measure the received SYNC-UL timing deviation UpPCH<sub>POS</sub>. UpPCH<sub>POS</sub> is sent in the FPACH and is represented as an 11 bit number (0-2047) being the multiple of 1/8 chips which is nearest to received position of the UpPCH.

Time of the beginning of the PRACH  $T_{TX-PRACH}$  is given by:

 $T_{TX-PRACH} = T_{RX-PRACH} - (UpPCH_{ADV} + UpPCH_{POS} - 8*16 T_C)$ 

in multiple of 1/8 chips, where

T<sub>TX-PRACH</sub> is the beginning time of PRACH transmission with the UE's timing,

T<sub>RX-PRACH</sub> is the beginning time of PRACH reception with the UE's timing if the PRACH was a DL channel.

## 5.2.4 DPCH and PUSCH

The closed loop uplink synchronisation control uses layer 1 symbols (SS commands) for DPCH and PUSCH. After establishment of the uplink synchronisation, NodeB and UE start to use the closed loop UL synchronisation control procedure. This procedure is continuous during connected mode.

The Node B will continuously measure the timing of the UE and send the necessary synchronisation shift commands in each sub-frame. On receipt of these synchronisation shift commands the UE shall adjust the timing of its transmissions accordingly, in steps of  $\pm k/8$  chips or do nothing, each M sub-frames.

The default value of M (1-8) and k (1-8) is broadcast in the BCH. The value of M and k can also be adjusted during call setup or readjusted during the call.

During a 1,28 Mcps TDD to 1,28 Mcps TDD hand-over the UE shall transmit in the new cell with timing advance TA adjusted by the relative timing difference  $\Delta t$  between the new and the old cell:

 $TA_{new} = TA_{old} + 2\Delta t.$ 

#### 5.2.4.1 Out of synchronization handling

Same as that of 3,84 Mcps TDD, cf.[4.2.2.3.3 Out of synchronisation handling.]

## 5.3 Synchronisation procedures

## 5.3.1 Cell search

During the initial cell search, the UE searches for a cell. It then determines the DwPTS synchronisation, scrambling code and basic midamble code, control multi-frame synchronisation and then reads the BCH. How cell search is typically done is described in Annex D.

## 5.3.2 DCH synchronization

The DPCH synchronisation is the same as that of 3,84 Mcps TDD, cf. [4.4.2 Dedicated channel synchronisation].

## 5.4 Discontinuous transmission (DTX) of Radio Frames

DTX is the same as in the 3,84 Mcps TDD option, cf. [4.5 Discontinuous transmission (DTX) of Radio Frames]. The special burst is transmitted in both consecutive subframes (subframe#1 and #2).

## 5.5 Downlink Transmit Diversity

Downlink transmit diversity for DPCH, P-CCPCH, and DwPTS is optional in UTRAN. Its support is mandatory at the UE.

## 5.5.1 Transmit Diversity for DPCH

Closed loop Transmit Diversity or Time Switched Transmit Diversity (TSTD) may be employed as transmit diversity scheme for downlink DPCH.

## 5.5.1.1 TSTD for DPCH

TSTD can be employed as transmit diversity scheme for downlink DPCH. An example for the transmitter structure of the TSTD transmitter is shown in figure 8. Channel coding, rate matching, interleaving, bit-to-symbol mapping, spreading, and scrambling are performed as in the non-diversity mode. Then the data is time multiplexed with the midamble sequence. Then, after pulse shaping, modulation and amplification, DPCH is transmitted from antenna 1 and antenna 2 alternately every sub-frame. Not all DPCH in the sub-frame need to be transmitted on the same antenna and not all DPCH within a sub-frame have to use TSTD. Figure 9 shows an example for the antenna switching pattern for the transmission of DPCH for the case that all physical channels are transmitted with TSTD and are using the same antenna in the sub-frame.

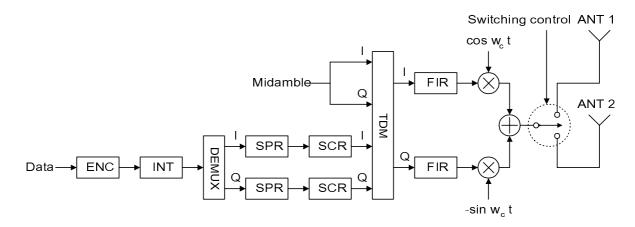
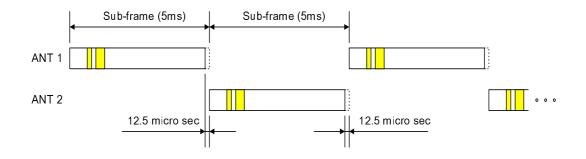


Figure 8: Example for TSTD Transmitter structure for DPCH and P-CCPCH.



# Figure 9: Example for the antenna swithing pattern for TSTD transmission of DPCH and P-CCPCH: all physical channels are transmitted with TSTD and are using the same antenna in the sub-frame.

### 5.5.1.2 Closed Loop Tx Diversity for DPCH

The transmitter structure to support transmit diversity for DPCH transmission is shown in figure 10. Channel coding, interleaving and spreading are done as in non-diversity mode. The spread complex valued signal is fed to both TX antenna branches, and weighted with antenna specific weight factors  $w_1$  and  $w_2$ . The weight factors are complex valued signals (i.e.,  $w_i = a_i + jb_i$ ), in general. These weight factors are calculated on a per slot and per user basis.

The weight factors are determined by the UTRAN.

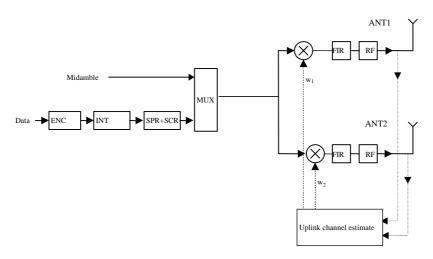
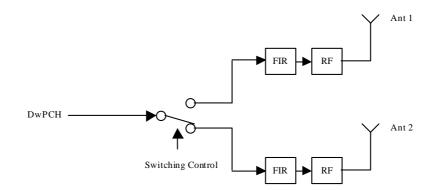


Figure 10: Downlink transmitter structure to support Transmit Diversity for DPCH transmission (UTRAN Access Point) in 1,28 Mcps TDD

## 5.5.2 Transmit Diversity for DwPTS

The transmitter structure to support transmit diversity for DwPCH transmission is shown in figure 11. DwPCH is transmitted from antenna 1 and antenna 2 alternatively.



# Figure 11: Downlink transmitter structure to support Transmit Diversity for DwPCH transmission (UTRAN Access Point) in 1,28 Mcps TDd

#### 5.5.3 Transmit Diversity for P-CCPCH

TSTD or Block Space Time Transmit Diversity (Block STTD) can be employed as transmit diversity scheme for the Primary Common Control Physical Channel (P-CCPCH)

#### 5.5.3.1 TSTD Transmission Scheme for P-CCPCH

A block diagram of an example of a TSTD transmitter is shown in figure 8. Channel coding, rate matching, interleaving, bit-to-symbol mapping, spreading, and scrambling are performed as in the non-diversity mode. Then the data is time multiplexed with the midamble sequence. Then, after pulse shaping and modulation and amplification, P-CCPCH is transmitted from antenna 1 and antenna 2 alternately every sub-frame. If there is a DPCH that uses TSTD, TSTD is also applied to P-CCPCH. An example of the antenna-switching pattern is shown in figure 9.

#### 5.5.3.2 Block STTD Transmission Scheme for P-CCPCH

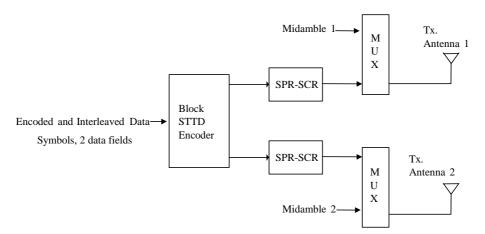
The open loop downlink transmit diversity employs a Block Space Time Transmit Diversity scheme (Block STTD).

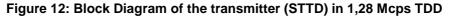
A block diagram of the Block STTD transmitter is shown in figure 12. Before Block STTD encoding, channel coding, rate matching, interleaving and bit-to-symbol mapping are performed as in the non-diversity mode.

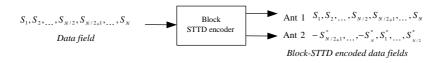
Block STTD encoding is separately performed for each of the two data fields present in a burst (each data field contains N data symbols). For each data field at the encoder input, 2 data fields are generated at its output, corresponding to each of the diversity antennas. The Block STTD encoding operation is illustrated in figure 13, where the superscript \* stands for complex conjugate. If N is an odd number, the first symbol of the block shall not be STTD encoded and the same symbol will be transmitted with equal power from both antennas.

After Block STTD encoding both branches are separately spread and scrambled as in the non-diversity mode.

The use of Block STTD encoding will be indicated by higher layers.







#### Figure 13: Block Diagram of Block STTD encoder in 1,28 Mcps TDD.

The symbols  $S_i$  are QPSK. N is the length of the block to be encoded.

## 5.6 Random Access Procedure

The physical random access procedure described below is invoked whenever a higher layer requests transmission of a message on the RACH. The physical random access procedure is controlled by primitives from RRC and MAC.

## 5.6.1 Definitions

 $FPACH_{i:}: FPACH number i$ 

- L<sub>i</sub> : Length of RACH message associated to FPACH<sub>i</sub> in sub-frames
- $N_{RACHi} \quad \ \ :$  The number of PRACHs associated to the  $i^{th}$  FPACH
- $n_{RACHi}$  : The number of a PRACH associated to the i<sup>th</sup> FPACH ranging from 0 to  $N_{RACHi}$ -1
- M : Maximum number transmissions in the UpPCH
- WT : Maximum number of sub-frames to wait for the network acknowledgement to a sent signature
- SFN' : The sub-frame number counting the sub-frames. At the beginning of the frame with the system frame number SFN=0 the sub-frame number is set to zero.

## 5.6.2 Preparation of random access

When the UE is in Idle mode, it will keep the downlink synchronisation and read the cell broadcast information. From the used SYNC-DL code in DwPCH, the UE will get the code set of 8 SYNC-UL codes (signatures) assigned to UpPCH for random access.

The description (codes, spreading factor, midambles, time slots) of the P-RACH, FPACH, and S-CCPCH (carrying the FACH logical channel) channel is broadcast on the BCH.

Thus, when sending a SYNC-UL sequence, the UE knows which FPACH resources, P-RACH resources and CCPCH resources will be used for the access.

The UE needs to decode the BCH information regarding the random access prior to transmission on the UpPCH.

The physical random access procedure described in this sub-clause is initiated upon request of a PHY-Data-REQ primitive from the MAC sub-layer (see [18] and [19]).

Before the physical random-access procedure can be initiated, Layer 1 shall receive the following information by a CPHY-TrCH-Config-REQ from the RRC layer:

- The association between which signatures and which FPACHs; which FPACHs and which PRACHs; which PRACHs and which CCPCHs; including the parameter values for each listed physical channel.
- The length L<sub>i</sub> of a RACH message associated to FPACH<sub>i</sub> can be configured to be either 1 or 2 or 4 sub-frames corresponding to a length in time of either 5 ms or 10 ms or 20 ms.

NOTE 1: N<sub>RACHi</sub> PRACHs can be associated with to FPACH<sub>i</sub>. The maximum allowed

```
N<sub>RACHi</sub> is L<sub>i</sub>.
```

- The available UpPCH sub-channels for each Access Service Class (ASC);

NOTE 2: An UpPCH sub-channel is defined by a (sub-set of) signature(s) and sub-frame numbers.

- The set of Transport Format parameters for the PRACH message;
- The "M" maximum number transmissions in the UpPCH;
- The "WT" maximum number of sub-frames to wait for the network acknowledgement to a sent signature; (1..4) the maximum value supported by Layer 1 is 4 sub-frames.
- The initial signature power "Signature\_Initial\_Power";
- NOTE 2: The above parameters may be updated from higher layers before each physical random access procedure is initiated.

At each initiation of the physical random access procedure, Layer 1 shall receive the following information from the higher layers (MAC):

- The Transport Format to be used for the specific PRACH message;
- The ASC for the specific Random Access procedure with the timing and power level indication;
- The data to be transmitted (Transport Block Set).

## 5.6.3 Random access procedure

The physical random-access procedure shall be performed as follows:

UE side:

- 1 Set the Signature Re-Transmission Counter to M.
- 2 Set the Signature transmission power to Signature\_Initial\_Power.

#### 3GPP TS 25.224 version 4.0.0 Release 4

- 3 Randomly select the UpPCH sub-channel from the available ones for the given ASC. The random function shall be such that each of the allowed selections is chosen with equal probability.
- 4 Transmit a signature using the selected UpPCH sub-channel at the signature transmission power.
- 5 After sending a signature, listen to the relevant FPACH for the next WT sub-frames to get the network acknowledgement. The UE will read the FPACH<sub>i</sub> associated to the transmitted UpPCH only in the sub-frames fulfilling the following relation:

(SFN' mod L<sub>i</sub>)=n<sub>RACHi</sub>; n<sub>RACHi</sub>=0,..., N<sub>RACHi</sub>-1,

- 6 In case no valid answer is detected in the due time: decrease the Signature Re-transmission counter by one and if it is still greater than 0, then repeat from step 3; else report a random access failure to the MAC sub-layer.
- 7 In case a valid answer is detected in the due time
  - a) set the timing and power level values according to the indication received by the network in the FPACH<sub>i</sub>
  - b) send at the sub-frame coming 2 sub-frames after the one carrying the signature acknowledgement, the RACH message on the relevant PRACH. In case L<sub>i</sub> is bigger than one and the sub-frame number of the acknowledgement is odd the UE will wait one more sub-frame. The relevant PRACH is the n<sub>RACHi</sub><sup>th</sup> PRACH associated to the FPACH<sub>i</sub> if the following equation ifs fulfilled:

(SFN' mod L)= $n_{RACHi}$ ;

Here SFN' if the sub-frame number of the arrival of the acknowledgement.

Both on the UpPCH and on the PRACH, the transmit power level shall never exceed the indicated value signalled by the network.

Network side:

- The node B will transmit the FPACH<sub>i</sub> associated the transmitted UpPCH only in the sub-frames fulfilling the following relation:
  - (SFN' mod L)=n<sub>RACHi</sub>; n<sub>RACHi</sub>=0,..., N<sub>RACHi</sub>-1,
- The Node B will not acknowledge UpPCHs transmitted more than WT sub-frames ago

At the reception of a valid signature:

- Measure the timing deviation with respect to the reference time  $T_{ref}$  of the received first path in time from the UpPCH and acknowledge the detected signature sending the FPACH burst on the relevant FPACH.

For examples on the random access procedure refer to Annex E.

#### 5.6.3.1 The use and generation of the information fields transmitted in the FPACH

The Fast Physical Access CHannel (FPACH) is used by the Node B to carry, in a single burst, the acknowledgement of a detected signature with timing and power level adjustment indication to a user equipment.

The length and coding of the information fields is explained in TS25.221 sub-clause 6.3.3.1.

#### 5.6.3.1.1 Signature Reference Number

The Signature Reference Number field contains the number of the acknowledged signature. The user equipment shall use this information to verify whether it is the recipient of the FPACH message.

#### 5.6.3.1.2 Relative Sub-Frame Number

The Relative Sub-Frame Number field indicates the current sub-frame number with respect to the sub-frame at which the acknowledged signature has been detected.

The user equipment shall use this information to verify whether it is the recipient of the FPACH message.

#### 5.6.3.1.3 Received starting position of the UpPCH (UpPCH<sub>POS</sub>)

The *received starting position of the UpPCH* ( $UpPCH_{POS}$ ) field indirectly indicates to the user equipment the timing adjustment it has to implement for the following transmission to the network. The node B computes the proper value for this parameter according to the following rules:  $UpPCH_{POS} = UpPTS_{Rxpath} - UpPTS_{TS}$ 

#### where

UpPTS<sub>Rxpath</sub>: time of the reception in the Node B of the SYNC-UL to be used in the uplink synchronization process

UpPTS<sub>TS</sub>: time instance two symbols prior to the end of the DwPCH according to the Node B internal timing

This information shall be used by the UE to adjust its timing when accessing the network, as described in section [5.2 'Uplink Synchronisation'].

#### 5.6.3.1.4 Transmit Power Level Command for the RACH message

This field indicates to the user equipment the power level to use for the RACH message transmission on the FPACH associated P-RACH.

The network may set this value based on the measured interference level (I) (in dBm) on the specific PRACH and on the desired signal to interference ratio (SIR) (in dB) on this channel as follows:

Transmit Power Level Command for the PRACH(PRX<sub>PRACH,des</sub>)

PRX<sub>PRACH,des</sub> is the desired receive power level on the PRACH.

The UE shall add to this value the estimated path-loss to compute the power level to transmit for the PRACH.

## 5.6.4 Random access collision

When a collision is very likely or in bad propagation environment, the Node B does not transmit the FPACH or cannot receive the SYNC-UL. In this case, the UE will not get any response from the Node B. Thus the UE will have to adjust its Tx time and Tx power level based on a new measurement and send a SYNC-UL again after a random delay.

Note that at each (re-)transmission, the SYNC-UL sequence will be randomly selected again by the UE.

Note: Due to the two-step approach a collision most likely happens on the UpPCH. The RACH RUs are virtually collision free. This two-step approach will guarantee that the RACH RUs can be handled with conventional traffic on the same UL time slots.

# Annex A (informative): Power Control

# A.1 Example Implementation of Downlink Power Control in the UE

The power control may be realized by two cascaded control loops. The outer loop controls the transmission quality, whose reference value is set by higher layers [15], by providing the reference value for the inner loop. This reference value should be the SIR at the UE [15]. The inner loop controls the physical quantity for which the outer loop produces the reference value (e. g. the SIR) by generating TPC commands. This may be done by comparing the measured SIR to its reference value. When the measured value is higher than the target SIR value, TPC command = "down". When this is lower than or equal to the target SIR value, TPC command = "up".

In case of a downlink transmission pause on the DPCH or PDSCH, the receive power (RSCP) of the data can no longer be used for inner loop SIR calculations in the UE. In this case the UE should trace the fluctuations of the pathloss based on the P-CCPCH and use these values instead for generating the TPC commands. This pathloss together with the timeslot ISCP measurement in the data timeslot, which is ongoing, should be used to calculate a virtual SIR value:

 $SIR_{virt}(i) = RSCP_{virt}(i) - ISCP(i),$ 

RSCP<sub>virt</sub>(i) = RSCP<sub>0</sub> + L<sub>0</sub> - L(i) + 
$$\sum_{k=1}^{i-1} TPC(k)$$
,

| RSCP:               | Received signal code power in dBm   |
|---------------------|---|
| ISCP:               | Interference signal code power in the DPCH / PDSCH timeslot in dBm                                    |
| L:                  | pathloss in dB measured on the P-CCPCH. The same weighting of the long- and short-term pathloss       |
|                     | should be used as for uplink open loop power control, see Annex A.1                                   |
| i:                  | index for the frames during a transmission pause, $1 \le i \le n$ umber of frames in the pause        |
| L <sub>0</sub> :    | weighted pathloss in the last frame before the transmission pause in dB                               |
| RSCP <sub>0</sub> : | RSCP of the data that was used in the SIR calculation of the last frame before the pause in dBm       |
| TPC (k):            | ± power control stepsize in dB according to the TPC bit generated and transmitted in frame k, TPC bit |
|                     | "up" = +stepsize, TPC bit "down" = -stepsize  |

## A.2 Example Implementation of Closed Loop Uplink Power Control in Node B for 1,28 Mcps TDD

The measurement of received SIR shall be carried out periodically at Node B. When the measured value is higher than the target SIR value, TPC command = "down". When the measurement is lower than or equal to the target SIR, TPC command = "up".

In case of an uplink transmission pause on DPCH, the initial uplink transmission power of DPCH after the pause can be determined by an open loop power control. After the initial transmission after the pause, a closed loop uplink power control procedure can resume.

# A.3 Example Implementation of Downlink Power Control in UE for 1,28 Mcps TDD when TSTD is used

When TSTD is applied, the UE can use the consequtive measurements of SIR to calculate SIR<sub>AVG</sub>:

$$SIR_{AVG}(i) = w_1 \Delta SIR(i-1) + w_2 \Delta SIR(i),$$

where,  $w_1 + w_2 = 1$ ,  $w_1 \ge 0$ ,  $w_2 \ge 0$ , and SIR(i) is the measurement of SIR in sub-frame i and SIR<sub>AVG</sub>(i) is the measurement of SIR<sub>AVG</sub> in sub-frame i. If SIR<sub>AVG</sub> is greater than the target SIR value, TPC command = "down". If the SIR<sub>AVG</sub> is smaller than the target SIR value, TPC command = "up".

In case of a downlink transmission pause on the DPCH, the example in Annex A.2 can be used for DL power control with  $RSCP_{virt}(i)$  and ISCP(i) replaced by  $RSCP_{AVG}(i)$  and  $ISCP_{AVG}(i)$ , where

$$RSCP_{AVG}(i) = w_1 \Delta RSCP_{virt}(i-1) + w_2 \Delta RSCP_{virt}(i),$$

 $ISCP_{AVG}(i) = w_1 \Delta ISCP(i-1) + w_2 \Delta ISCP(i).$ 

# A.4 Example Implementation of open Loop Power Control for access procedure for 1,28 Mcps TDD

The higher layer signals (on BCH) a power increment that is applied only for the access procedure. At each new transmission of a SYNC-UL burst during the access procedure, the transmit power level can be increased by this power increment.

# Annex B (informative): Determination of Weight Information

Selective Transmit Diversity (STD) and Transmit Adaptive Antennas (TxAA) are examples of transmit diversity schemes for dedicated physical channels.

## B.1 STD Weights

The weight vector will take only two values depending on the signal strength received by each antenna in the uplink slot. For each user, the antenna receiving the highest power will be selected (i.e. the corresponding weight will be set to 1).

#### Table 3: STD weights for two TX antennas

|                                   | <b>W</b> 1 | W <sub>2</sub> |
|-----------------------------------|------------|----------------|
| Antenna 1 receiving highest power | 1          | 0              |
| Antenna 2 receiving highest power | 0          | 1              |

## B.2 TxAA Weights

In a generic sense, the weight vector to be applied at the transmitter is the w that maximises:

$$P = \underline{w}^{H} H^{H} H \underline{w}$$
(1)

where

 $H=[\underline{h}_1 \ \underline{h}_2]$  and  $\underline{w} = [w_1, w_2]^T$ 

and where the column vector  $\underline{h}_i$  represents the estimated uplink channel impulse response for the i'th transmission antenna, of length equal to the length of the channel impulse response.

# Annex C (informative): Cell search procedure for 3,84 Mcps TDD

During the cell search, the UE searches for a cell and determines the downlink scrambling code, basic midamble code and frame synchronisation of that cell. The cell search is typically carried out in three steps:

#### Step 1: Primary synchronisation code acquisition

During the first step of the cell search procedure, the UE uses the SCH's primary synchronisation code to find a cell. This is typically done with a single matched filter (or any similar device) matched to the primary synchronisation code which is common to all cells. A cell can be found by detecting peaks in the matched filter output.

Note that for a cell of SCH slot configuration case 1, the SCH can be received periodically every 15 slots. In case of a cell of SCH slot configuration case 2, the following SCH slot can be received at offsets of either 7 or 8 slots from the previous SCH slot.

#### Step 2: Code group identification and slot synchronisation

During the second step of the cell search procedure, the UE uses the SCH's secondary synchronisation codes to identify 1 out of 32 code groups for the cell found in the first step. This is typically done by correlating the received signal with the secondary synchronisation codes at the detected peak positions of the first step. The primary synchronisation code provides the phase reference for coherent detection of the secondary synchronisation codes. The code group can then uniquely be identified by detection of the maximum correlation values.

Each code group indicates a different  $t_{offset}$  parameter and 4 specific cell parameters. Each of the cell parameters is associated with one particular downlink scrambling code and one particular long and short basic midamble code. When the UE has determined the code group, it can unambiguously derive the slot timing of the found cell from the detected peak position in the first step and the  $t_{offset}$  parameter of the found code group in the second step.

Note that the modulation of the secondary synchronisation codes also indicates the position of the SCH slot within a 2 frames period, e.g. a frame with even or odd SFN. Additionally, in the case of SCH slot configuration following case 2, the SCH slot position within one frame, e.g. first or last SCH slot, can be derived from the modulation of the secondary synchronisation codes.

#### Step 3: Downlink scrambling code, basic midamble code identification and frame synchronisation

During the third and last step of the cell search procedure, the UE determines the exact downlink scrambling code, basic midamble code and frame timing used by the found cell. The long basic midamble code can be identified by correlation over the P-CCPCH (or any other beacon channel) with the 4 possible long basic midamble codes of the code group found in the second step. A P-CCPCH (or any other beacon channel) always uses the midamble  $m^{(1)}$  (and in case of Block-STTD also midamble  $m^{(2)}$ ) derived from the long basic midamble code and always uses a fixed and pre-assigned channelisation code.

When the long basic midamble code has been identified, downlink scrambling code and cell parameter are also known. The UE can read system and cell specific BCH information and acquire frame synchronisation.

Note that even for an initial cell parameter assignment, a cell cycles through a set composed of 2 different cell parameters according to the SFN of a frame, e.g. the downlink scrambling code and the basic midamble code of a cell alternate for frames with even and odd SFN. Cell parameter cycling leaves the code group of a cell unchanged.

If the UE has received information about which cell parameters or SCH configurations to search for, cell search can be simplified.

# Annex D (informative): Cell search procedure for 1,28 Mcps TDD

During the initial cell search, the UE searches for a cell. It then determines the DwPTS synchronization, scrambling and basic midamble code identification, control multi-frame synchronisation and then reads the contents in BCH. This initial cell search is carried out in 4 steps:

#### **Step 1: Search for DwPTS**

During the first step of the initial cell search procedure, the UE uses the SYNC-DL (in DwPTS) to acquire DwPTS synchronization to a cell. This is typically done with one or more matched filters (or any similar device) matched to the received SYNC-DL which is chosen from PN sequences set. A single or more matched filter (or any similar device) is used for this purpose. During this procedure, the UE needs to identify which of the 32 possible SYNC-DL sequences is used.

#### Step 2: Scrambling and basic midamble code identification

During the second step of the initial cell search procedure, the UE receives the midamble of the P-CCPCH. The P-CCPCH is followed by the DwPTS. In the 1,28 Mcps TDD each DwPTS code corresponds to a group of 4 different basic midamble code. Therefore there are total 128 midamble codes and these codes are not overlapping with each other. Basic midamble code number divided by 4 gives the SYNC-DL code number. Since the SYNC-DL and the group of basic midamble codes of the P-CCPCH are related one by one (i.e, once the SYNC-DL is detected, the 4 midamble codes can be determined), the UE knows which 4 basic midamble codes are used. Then the UE can determine the used basic midamble code using a try and error technique. The same basic midamble code will be used throughout the frame. As each basic midamble code is associated with a scrambling code, the scrambling code is also known by that time. According to the result of the search for the right midamble code, UE may go to next step or go back to step 1.

#### Step 3: Control multi-frame synchronisation

During the third step of the initial cell search procedure, the UE searches for the MIB( Master Indication Block) of multi-frame of the BCH in the P-CCPCH indicated by QPSK phase modulation of the DwPTS with respect to the P-CCPCH midamble. The control multi-frame is positioned by a sequence of QPSK symbols modulated on the DwPTS. [n] consecutive DwPTS are sufficient for detecting the current position in the control multi-frame. According to the result of the control multi-frame synchronisation for the right midamble code, UE may go to next step or go back to step 2.

#### Step 4: Read the BCH

The (complete) broadcast information of the found cell in one or several BCHs is read. According to the result the UE may move back to previous steps or the initial cell search is finished.

# Annex E (informative): Examples random access procedure for 1,28 Mcps TDD

| Sub-frame Number       | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 |
|------------------------|---|---|---|---|---|---|---|---|---|---|----|
| Users sending on UpPCH | 1 | 3 | 5 | 7 |   |   |   |   |   |   |    |
|                        |   |   |   |   |   |   |   |   |   |   |    |
|                        | 2 | 4 | 6 | 8 |   |   |   |   |   |   |    |
| Acknowledged user on   |   | - |   |   |   |   |   |   |   |   |    |
| FPACH                  |   | 1 | 2 | 3 | 4 | 5 | 6 | 7 |   |   |    |
| User sending RACH 0    |   |   |   | 1 | 2 | 3 | 4 | 5 | 6 | 7 |    |
|                        |   |   |   |   | ~ | U |   | U | U |   |    |

| Table E-1: Single burst RACH WT=4, L =1, SF | F4 PRACH |
|---|----------|
|---|----------|

User 8 is not granted because more than 5 frames would have passed since the UpPCH.

| Sub-frame Number              | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 |
|-------------------------------|---|---|---|---|---|---|---|---|---|---|----|----|
| Users sending on UpPCH        | 1 | 3 | 5 | 7 |   |   |   |   |   |   |    |    |
|                               | 2 | 4 | 6 | 8 |   |   |   |   |   |   |    |    |
| Acknowledged user on<br>FPACH |   | 1 | 2 | 3 | 4 | 5 | 6 | 7 |   |   |    |    |
| User sending RACH 0           |   |   |   |   | 2 | 2 | 4 | 4 | 6 | 6 |    |    |
| User sending RACH 1           |   |   |   |   | 1 | 1 | 3 | 3 | 5 | 5 | 7  | 7  |

User 8 is not granted because more than 5 frames would have passed since the UpPCH.

#### Table E-3: four burst RACH WT=4, L =4, SF16 RACH

| Sub-frame Number              | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 |
|-------------------------------|---|---|---|---|---|---|---|---|---|---|----|----|----|----|
| Users sending on UpPCH        | 1 | 3 | 5 | 7 |   |   |   |   |   |   |    |    |    |    |
|                               | 2 | 4 | 6 | 8 |   |   |   |   |   |   |    |    |    |    |
| Acknowledged user on<br>FPACH |   | 1 | 2 | 3 | 4 | 5 | 6 | 7 |   |   |    |    |    |    |
| User sending RACH 0           |   |   |   |   |   |   | 4 | 4 | 4 | 4 |    |    |    |    |
| User sending RACH 1           |   |   |   |   | 1 | 1 | 1 | 1 | 5 | 5 | 5  | 5  |    |    |
| User sending RACH 2           |   |   |   |   | 2 | 2 | 2 | 2 | 6 | 6 | 6  | 6  |    |    |
| User sending RACH 3           |   |   |   |   |   |   | 3 | 3 | 3 | 3 | 7  | 7  | 7  | 7  |

User 8 is not granted because more than 5 frames would have passed since the UpPCH.

38

| Sub-frame Number           | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 |
|----------------------------|---|---|---|---|---|---|---|---|---|---|----|----|----|
| Users sending on UpPCH     | 1 | 3 | 5 | 7 |   |   |   |   |   |   |    |    |    |
|                            | 2 | 4 | 6 | 8 |   |   |   |   |   |   |    |    |    |
| Acknowledged user on FPACH | Х | 1 |   |   | 2 | 3 |   |   | х | х |    |    |    |
| User sending RACH 0        |   |   |   |   |   |   | 2 | 2 | 2 | 2 |    |    |    |
| User sending RACH 1        |   |   |   |   | 1 | 1 | 1 | 1 | 3 | 3 | 3  | 3  |    |

Table E-4: four burst RACH WT=4, L =4, SF16 RACH

The FPACH is used ONLY in sub-frames 0, 1, 4, 5, 8, 9,... because they correspond to the used RACH resources.

The FPACH in sub-frame 0 is not used because no UpPCH is preceding.

The FPACH in sub-frames 8,9 is not used because no UpPCH is preceding in the last 4 sub-frames.

In contrast to the previous examples users 4,5,6,7 are not granted because they would no lead to a RACH anyway. In this example their grand would come too late.

User 8 is not granted because more than 4 frames would have passed since the UpPCH.

# Annex F (informative): Change history

|          |          |                        |     |     | Change history   |       |       |
|----------|----------|------------------------|-----|-----|--|-------|-------|
| Date     | TSG #    | TSG Doc.               | CR  | Rev | Subject/Comment  | Old   | New   |
| 14/01/00 | RAN_05   | RP-99594               | -   |     | Approved at TSG RAN #5 and placed under Change Control                             | -     | 3.0.0 |
| 14/01/00 | RAN_06   | RP-99698               | 001 | 01  | Primary and Secondary CCPCH in TDD   |       | 3.1.0 |
| 14/01/00 | RAN_06   | RP-99698               | 002 | -   | Measurement procedure of received reference power for OL-TPC                       |       | 3.1.0 |
|          |          |                        |     |     | in TDD   |       |       |
| 14/01/00 | RAN_06   | RP-99699               | 004 | 1   | STTD capability for P-CCPCH, TDD component   | 3.0.0 | 3.1.0 |
| 14/01/00 | RAN_06   | RP-99697               | 005 | 1   | Alignment of Terminology Regarding Spreading for TDD Mode                          | 3.0.0 | 3.1.0 |
| 14/01/00 | -        | -                      | -   |     | Change history was added by the editor   |       | 3.1.1 |
| 31/03/00 | RAN_07   | RP-000070              | 003 | 2   | Cycling of cell parameters   | 3.1.1 | 3.2.0 |
| 31/03/00 | RAN_07   | RP-000070              | 007 | 2   | Clarifications on the UL synchronisation and Timing advance 3                      |       | 3.2.0 |
| 31/03/00 | RAN_07   | RP-000070              | 800 | 1   | Modification of SIR threshold on setting TPC 3                                     |       | 3.2.0 |
| 31/03/00 | RAN_07   | RP-000070              | 009 | 1   | New section describing the random access procedure                                 | 3.1.1 | 3.2.0 |
| 31/03/00 | RAN_07   | RP-000070              | 011 | 1   | Removal of Synchronisation Case 3 in TDD   | 3.1.1 | 3.2.0 |
| 31/03/00 | RAN_07   | RP-000070              | 012 | 1   | Clarifications on power control procedures   | 3.1.1 | 3.2.0 |
| 31/03/00 | RAN_07   | RP-000070              | 013 | 1   | Signal Point Constellation   | 3.1.1 | 3.2.0 |
| 31/03/00 | RAN_07   | RP-000070              | 014 | 2   | Out-of-sync handling for UTRA TDD  | 3.1.1 | 3.2.0 |
| 31/03/00 | RAN_07   | RP-000070              | 015 | -   | Removal of ODMA from the TDD specifications  | 3.1.1 | 3.2.0 |
| 26/06/00 | RAN_08   | RP-000274              | 016 | -   | Editorial correction for the power control section in 25.224                       | 3.2.0 | 3.3.0 |
| 26/06/00 | RAN_08   | RP-000274              | 017 | -   | Power control for TDD during DTX   | 3.2.0 | 3.3.0 |
| 26/06/00 | RAN_08   | RP-000274              | 018 | 1   | Power Control for PDSCH  | 3.2.0 | 3.3.0 |
| 26/06/00 | RAN_08   | RP-000274              | 020 | 1   | Editorial modification of 25.224   | 3.2.0 | 3.3.0 |
| 26/06/00 | RAN_08   | RP-000274              | 021 | -   | Clarifications on TxDiversity for UTRA TDD   | 3.2.0 | 3.3.0 |
| 26/06/00 |          | RP-000274              | 022 | 1   | Introduction of the TDD DSCH detection procedure in TS 25.224                      | 3.2.0 | 3.3.0 |
| 26/06/00 | RAN_08   | RP-000274              | 023 | -   | Downlink power control on timeslot basis   | 3.2.0 | 3.3.0 |
| 23/09/00 |          | RP-000347              | 019 | 1   | Gain Factors for TDD Mode  | 3.3.0 | 3.4.0 |
| 23/09/00 | RAN_09   | RP-000347              | 025 | -   | Terminology regarding the beacon function  | 3.3.0 | 3.4.0 |
| 23/09/00 | RAN_09   | RP-000347              | 026 | 1   | Synchronisation of timing advance adjustment and timing deviation measurement      | 3.3.0 | 3.4.0 |
| 23/09/00 | RAN_09   | RP-000347              | 027 | 1   | CCTrCH UL/DL pairing for DL inner loop power control                               | 3.3.0 | 3.4.0 |
| 23/09/00 | RAN_09   | RP-000347              | 027 | 1   | RACH timing in TDD mode  | 3.3.0 | 3.4.0 |
| 23/09/00 |          | RP-000347              | 020 | 1   | TDD Access Bursts for HOV  | 3.3.0 | 3.4.0 |
|          |          |                        |     | - 1 | Removal of ODMA related abbreviations and correction of                            |       |       |
| 23/09/00 | RAN_09   | RP-000347              | 032 | -   | references   | 3.3.0 | 3.4.0 |
| 23/09/00 | RAN_09   | RP-000347              | 033 |     | Clarifications on the Out-of-sync handling for UTRA TDD                            | 3.3.0 | 3.4.0 |
| 15/12/00 |          | RP-000544              | 035 | 1   | Radio Link establishment and sync status reporting                                 | 3.4.0 | 3.5.0 |
| 15/12/00 |          | RP-000544              | 040 | -   | Clarification on PICH power setting  | 3.4.0 | 3.5.0 |
| 15/12/00 | RAN_10   | RP-000544              | 040 | -   | Correction to TDD timing advance description                                       | 3.4.0 | 3.5.0 |
| 15/12/00 |          | RP-000544              | 043 | -   | Limit on maximum value of alpha used for open loop power control                   | 3.4.0 | 3.5.0 |
| 16/03/01 | RAN_10   | -                      | -   | -   | Approved as Release 4 specification (v4.0.0) at TSG RAN #11                        | 3.5.0 | 4.0.0 |
| 16/03/01 |          | RP-010065              | 036 | -   | DTX and Special Burst Scheduling   | 3.5.0 | 4.0.0 |
| 16/03/01 | RAN_11   | RP-010065              | 037 | 1   | RACH random access procedure   | 3.5.0 | 4.0.0 |
| 16/03/01 | RAN_11   | RP-010065              | 045 | -   | Introduction of closed-loop Tx diversity for the PDSCH and DTX for the PUSCH/PDSCH | 3.5.0 | 4.0.0 |
| 16/03/01 | RAN 11   | RP-010065              | 046 | 2   | Corrections of TDD power control sections  | 3.5.0 | 4.0.0 |
| 16/03/01 |          | RP-010065              |     | -   | Use of a special burst in reconfiguration  | 3.5.0 | 4.0.0 |
| 16/03/01 |          | RP-010065              |     | -   | Known TFCI for the TDD special burst   | 3.5.0 | 4.0.0 |
| 16/03/01 |          | RP-010003              |     | 2   | Layer 1 procedure for Node B synchronisation                                       | 3.5.0 | 4.0.0 |
| 16/03/01 |          | RP-010073<br>RP-010071 |     | 2   | Inclusion of 1,28 Mcps TDD in TS 25.224  | 3.5.0 | 4.0.0 |
| 16/03/01 |          | RP-010071              | 047 | 1   | Idle periods for IPDL location method  | 3.5.0 | 4.0.0 |
| 10/03/01 | KAN_TI   | KF-010072              | 040 | 1   | Idle periods for IPDE location metriod   | 3.5.0 | 4.0.0 |
|          | <u> </u> |                        |     |     |  |       |       |
|          |          |                        |     |     |  |       |       |
|          |          |                        |     |     |  |       |       |
|          |          |                        |     |     |  |       |       |
|          |          |                        |     |     |  |       |       |
|          |          |                        |     |     |  |       |       |
|          |          |                        |     |     |  |       |       |
|          |          |                        |     |     |  |       |       |
|          |          |                        |     |     |  |       |       |
|          |          |                        |     |     |  |       |       |
|          |          |                        |     |     |  |       |       |
|          |          |                        |     |     |  |       |       |
|          |          |                        |     |     |  |       |       |
|          |          |                        |     |     |  |       |       |
|          |          |                        |     |     |  | l     |       |

# History

|        | Document history |             |  |  |  |  |  |  |
|--------|------------------|-------------|--|--|--|--|--|--|
| V4.0.0 | March 2001       | Publication |  |  |  |  |  |  |
|        |                  |             |  |  |  |  |  |  |
|        |                  |             |  |  |  |  |  |  |
|        |                  |             |  |  |  |  |  |  |
|        |                  |             |  |  |  |  |  |  |