# ETSI TS 124 502 V17.7.0 (2023-01)



5G; Access to the 3GPP 5G Core Network (5GCN) via non-3GPP access networks (3GPP TS 24.502 version 17.7.0 Release 17)



Reference RTS/TSGC-0124502vh70

Keywords

5G

#### **ETSI**

650 Route des Lucioles F-06921 Sophia Antipolis Cedex - FRANCE

Tel.: +33 4 92 94 42 00 Fax: +33 4 93 65 47 16

Siret N° 348 623 562 00017 - APE 7112B Association à but non lucratif enregistrée à la Sous-Préfecture de Grasse (06) N° w061004871

#### Important notice

The present document can be downloaded from: <u>http://www.etsi.org/standards-search</u>

The present document may be made available in electronic versions and/or in print. The content of any electronic and/or print versions of the present document shall not be modified without the prior written authorization of ETSI. In case of any existing or perceived difference in contents between such versions and/or in print, the prevailing version of an ETSI deliverable is the one made publicly available in PDF format at <a href="http://www.etsi.org/deliver">www.etsi.org/deliver</a>.

Users of the present document should be aware that the document may be subject to revision or change of status. Information on the current status of this and other ETSI documents is available at <u>https://portal.etsi.org/TB/ETSIDeliverableStatus.aspx</u>

If you find errors in the present document, please send your comment to one of the following services: https://portal.etsi.org/People/CommiteeSupportStaff.aspx

If you find a security vulnerability in the present document, please report it through our Coordinated Vulnerability Disclosure Program: https://www.etsi.org/standards/coordinated-vulnerability-disclosure

#### Notice of disclaimer & limitation of liability

The information provided in the present deliverable is directed solely to professionals who have the appropriate degree of experience to understand and interpret its content in accordance with generally accepted engineering or other professional standard and applicable regulations.

No recommendation as to products and services or vendors is made or should be implied.

No representation or warranty is made that this deliverable is technically accurate or sufficient or conforms to any law and/or governmental rule and/or regulation and further, no representation or warranty is made of merchantability or fitness for any particular purpose or against infringement of intellectual property rights.

In no event shall ETSI be held liable for loss of profits or any other incidental or consequential damages.

Any software contained in this deliverable is provided "AS IS" with no warranties, express or implied, including but not limited to, the warranties of merchantability, fitness for a particular purpose and non-infringement of intellectual property rights and ETSI shall not be held liable in any event for any damages whatsoever (including, without limitation, damages for loss of profits, business interruption, loss of information, or any other pecuniary loss) arising out of or related to the use of or inability to use the software.

#### **Copyright Notification**

No part may be reproduced or utilized in any form or by any means, electronic or mechanical, including photocopying and microfilm except as authorized by written permission of ETSI.

The content of the PDF version shall not be modified without the written authorization of ETSI. The copyright and the foregoing restriction extend to reproduction in all media.

> © ETSI 2023. All rights reserved.

# Intellectual Property Rights

#### Essential patents

IPRs essential or potentially essential to normative deliverables may have been declared to ETSI. The declarations pertaining to these essential IPRs, if any, are publicly available for **ETSI members and non-members**, and can be found in ETSI SR 000 314: "Intellectual Property Rights (IPRs); Essential, or potentially Essential, IPRs notified to ETSI in respect of ETSI standards", which is available from the ETSI Secretariat. Latest updates are available on the ETSI Web server (https://ipr.etsi.org/).

Pursuant to the ETSI Directives including the ETSI IPR Policy, no investigation regarding the essentiality of IPRs, including IPR searches, has been carried out by ETSI. No guarantee can be given as to the existence of other IPRs not referenced in ETSI SR 000 314 (or the updates on the ETSI Web server) which are, or may be, or may become, essential to the present document.

#### Trademarks

The present document may include trademarks and/or tradenames which are asserted and/or registered by their owners. ETSI claims no ownership of these except for any which are indicated as being the property of ETSI, and conveys no right to use or reproduce any trademark and/or tradename. Mention of those trademarks in the present document does not constitute an endorsement by ETSI of products, services or organizations associated with those trademarks.

**DECT<sup>TM</sup>**, **PLUGTESTS<sup>TM</sup>**, **UMTS<sup>TM</sup>** and the ETSI logo are trademarks of ETSI registered for the benefit of its Members. **3GPP<sup>TM</sup>** and **LTE<sup>TM</sup>** are trademarks of ETSI registered for the benefit of its Members and of the 3GPP Organizational Partners. **oneM2M<sup>TM</sup>** logo is a trademark of ETSI registered for the benefit of its Members and of the oneM2M Partners. **GSM**<sup>®</sup> and the GSM logo are trademarks registered and owned by the GSM Association.

# Legal Notice

This Technical Specification (TS) has been produced by ETSI 3rd Generation Partnership Project (3GPP).

The present document may refer to technical specifications or reports using their 3GPP identities. These shall be interpreted as being references to the corresponding ETSI deliverables.

The cross reference between 3GPP and ETSI identities can be found under http://webapp.etsi.org/key/queryform.asp.

# Modal verbs terminology

In the present document "shall", "shall not", "should", "should not", "may", "need not", "will", "will not", "can" and "cannot" are to be interpreted as described in clause 3.2 of the <u>ETSI Drafting Rules</u> (Verbal forms for the expression of provisions).

"must" and "must not" are NOT allowed in ETSI deliverables except when used in direct citation.

#### ETSI TS 124 502 V17.7.0 (2023-01)

# Contents

| Intellectual Property Rights |   |    |  |  |
|------------------------------|---|----|--|--|
| Legal                        | Legal Notice2   |    |  |  |
| Modal                        | Modal verbs terminology2                                |    |  |  |
| Forew                        | Foreword7   |    |  |  |
| 1                            | Scope   | 8  |  |  |
| 2                            | References  | 8  |  |  |
|                              | Definitions, symbols and abbreviations                  |    |  |  |
| 3.1                          | Definitions   |    |  |  |
| 3.2                          | Abbreviations   | 11 |  |  |
| 4                            | General   | 12 |  |  |
| 4.1                          | Overview  |    |  |  |
| 4.2                          | Untrusted access  |    |  |  |
| 4.3                          | Identities  |    |  |  |
| 4.3.1                        | User identities   |    |  |  |
| 4.3.2                        | FQDN for N3IWF Selection                                | 12 |  |  |
| 4.4                          | Quality of service support                              |    |  |  |
| 4.4.1                        | General   | 13 |  |  |
| 4.4.2                        | QoS differentiation in non-3GPP access                  | 13 |  |  |
| 4.4.2.1                      | General   | 13 |  |  |
| 4.4.2.2                      | QoS signalling  |    |  |  |
| 4.4.2.3                      | QoS differentiation in user plane                       | 14 |  |  |
| 4.4.2.4                      |   |    |  |  |
| 4.4.2.5                      | (··· ·····  |    |  |  |
| 4.5                          | Trusted access  |    |  |  |
| 4.6                          | Forbidden PLMNs for non-3GPP access to 5GCN             | 15 |  |  |
| 5                            | Network discovery and selection                         | 15 |  |  |
| 5.1                          | General   |    |  |  |
| 5.2                          | Access network discovery procedure                      |    |  |  |
| 5.2.1                        | General   | 16 |  |  |
| 5.2.2                        | Discovering availability of WLAN access networks        | 16 |  |  |
| 5.3                          | Access network selection procedure                      | 16 |  |  |
| 5.3.1                        | General   | 16 |  |  |
| 5.3.2                        | WLAN selection procedure                                | 16 |  |  |
| 5.3.2.1                      | General   |    |  |  |
| 5.3.2.2                      |   |    |  |  |
| 5.3.2.3                      | Automatic mode WLAN selection                           |    |  |  |
| 5.3A                         | PLMN selection procedures using trusted non-3GPP access |    |  |  |
| 5.3A.1                       | General   |    |  |  |
| 5.3A.2                       |   |    |  |  |
| 5.3A.3                       |   |    |  |  |
| 5.3A.4                       | 1   |    |  |  |
| 5.3A.4                       |   |    |  |  |
| 5.3A.4                       | r 8 · · · ·   |    |  |  |
| 5.3A.4                       |   |    |  |  |
| 5.3B                         | PLMN selection procedures using wireline access         |    |  |  |
| 5.3C                         | PLMN selection procedures for NSWO in 5GS               |    |  |  |
| 5.4                          | Access network reselection procedure                    |    |  |  |
| 5.4.1                        | General   |    |  |  |
| 5.4.2                        | WLAN reselection procedure                              | 21 |  |  |
| 6                            | UE - 5GC network protocols                              | 22 |  |  |
| 6.1                          | General   |    |  |  |
| 6.2                          | Void  |    |  |  |
|                              |   |    |  |  |

| 6.3       | Authentication and authorization for accessing 5GS via non-3GPP access network   | 22 |  |
|-----------|--|----|--|
| 6.3.1     | General  |    |  |
| 6.3.2     | Authentication of N5GC device behind a CRG over wireline access                  |    |  |
| 6.3a      | Authentication for NSWO in 5GS   |    |  |
| 6.4       | Handling of ANDSP Information  |    |  |
| 6.4.1     | General  | 23 |  |
| 6.4.2     | UE procedures  | 24 |  |
| 6.4.2.1   | General  |    |  |
| 6.4.2.2   | Use of WLAN selection information  | 24 |  |
| 6.4.2.3   | Use of N3AN node configuration information                                       | 24 |  |
| 6.4.3     | ANDSP information from the network   | 24 |  |
| 7 S       | ecurity association management procedures  | 24 |  |
| 7.1       | General  |    |  |
| 7.1       | N3AN node selection procedure  |    |  |
| 7.2.1     | General  |    |  |
| 7.2.1     | N3AN node configuration information  |    |  |
| 7.2.2     | Determination of the country the UE is located in                                |    |  |
| 7.2.3     | N3AN node selection for non-emergency services                                   |    |  |
| 7.2.4.1   | General  |    |  |
| 7.2.4.2   | Determine if the visited country mandates the selection of N3IWF in this country |    |  |
| 7.2.4.3   | UE procedure when the UE only supports connectivity with N3IWF                   |    |  |
| 7.2.4.4   | UE procedure when the UE supports connectivity with N3IWF and ePDG               |    |  |
| 7.2.4.4.1 |  |    |  |
| 7.2.4.4.2 |  |    |  |
| 7.2.4.4.3 |  |    |  |
| 7.2.5     | Selection of an N3AN node in an SNPN   |    |  |
| 7.2.6     | N3AN node selection for emergency services                                       |    |  |
| 7.2.6.1   | General  |    |  |
| 7.2.6.2   | UE procedure when the UE only supports connectivity with N3IWF                   |    |  |
| 7.2.6.3   | UE procedure when the UE supports connectivity with N3IWF and ePDG               |    |  |
| 7.3       | IKE SA establishment procedure for untrusted non-3GPP access                     |    |  |
| 7.3.1     | General.   |    |  |
| 7.3.2     | IKE SA and signalling IPsec SA establishment procedure                           |    |  |
| 7.3.2.1   | IKE SA and signalling IPsec SA establishment initiation                          |    |  |
| 7.3.2.2   | IKE SA and signalling IPsec SA establishment accepted by the network             |    |  |
| 7.3.2.3   | IKE SA and signalling IPsec SA establishment not accepted by the network         |    |  |
| 7.3.3     | EAP-5G session over non-3GPP access  |    |  |
| 7.3.3.1   | General  |    |  |
| 7.3.3.1A  |  |    |  |
| 7.3.3.2   | EAP-5G session completion initiated by the network                               |    |  |
| 7.3.3.3   | EAP-5G session completion initiated by the UE                                    |    |  |
| 7.3.4     | Abnormal cases in the UE   |    |  |
| 7.3.5     | Abnormal cases in the N3IWF  |    |  |
| 7.3A      | IKE SA establishment procedure for trusted non-3GPP access                       | 43 |  |
| 7.3A.1    | General  |    |  |
| 7.3A.2    | EAP session over non-3GPP access   | 45 |  |
| 7.3A.2.1  | General  | 45 |  |
| 7.3A.2.2  | Identity transaction   | 45 |  |
| 7.3A.2.3  | EAP-5G session initiation  | 45 |  |
| 7.3A.2.4  | EAP-5G session completion initiated by the network                               | 46 |  |
| 7.3A.2.5  | $\mathbf{r}$   |    |  |
| 7.3A.3    | IKE SA and signalling IPsec SA establishment procedure                           |    |  |
| 7.3A.3.1  | IKE SA and signalling IPsec SA establishment initiation                          | 46 |  |
| 7.3A.3.2  |  |    |  |
| 7.3A.3.3  |  |    |  |
| 7.3A.4    | Procedure for devices without NAS support  |    |  |
| 7.3A.4.1  |  |    |  |
| 7.3A.4.2  |  |    |  |
| 7.4       | IKEv2 SA deletion procedure  |    |  |
| 7.4.1     | General  |    |  |
| 7.4.2     | IKE SA deletion procedure initiated by the N3IWF and the TNGF                    | 48 |  |

| 7.4.2.1  | IKE SA deletion initiation  |    |
|----------|---|----|
| 7.4.2.2  | IKE SA deletion accepted by the UE  |    |
| 7.4.2.3  | Abnormal cases in the N3IWF and the TNGF  |    |
| 7.4.3    | IKE SA deletion procedure initiated by the UE                                     |    |
| 7.4.3.1  | IKE SA deletion initiation  |    |
| 7.4.3.2  | IKE SA deletion accepted by the N3IWF and the TNGF                                |    |
| 7.4.3.3  | Abnormal cases in the UE  |    |
| 7.5      | User plane IPsec SA creation procedure  |    |
| 7.5.1    | General   |    |
| 7.5.2    | Child SA creation procedure initiation  |    |
| 7.5.3    | Child SA creation procedure accepted by the UE                                    |    |
| 7.5.4    | Child SA creation procedure accepted by the UE                                    |    |
| 7.5.5    | Abnormal cases in the UE  |    |
| 7.5.6    | Abnormal cases in the N3IWF and the TNGF  |    |
| 7.6      | IPsec SA modification procedure   |    |
| 7.6.1    | General   |    |
| 7.6.2    | N3IWF and TNGF procedure for IPsec child SA modification                          |    |
| 7.6.3    | UE procedure for IPsec child SA modification                                      |    |
| 7.0.3    | IPSec SA deletion procedure   |    |
| 7.7.1    | General   |    |
| 7.7.2    |   |    |
| 7.7.2.1  | N3IWF-initiated and TNGF-initiated child SA deletion procedure                    |    |
| 7.7.2.1  | N3IWF-initiated and TNGF-initiated child SA deletion procedure initiation         |    |
|          | N3IWF-initiated and TNGF-initiated child SA deletion procedure accepted by the UE |    |
| 7.7.2.3  | Abnormal cases in the N3IWF and the TNGF  |    |
| 7.7.3    | UE-initiated child SA deletion procedure  |    |
| 7.7.3.1  | UE-initiated child SA deletion procedure initiation                               |    |
| 7.7.3.2  | UE-initiated child SA deletion procedure accepted by the N3IWF and the TNGF       |    |
| 7.7.3.3  | Abnormal cases in the UE  |    |
| 7.7.4    | Abnormal cases in the UE  |    |
| 7.7.5    | Abnormal cases in the N3IWF and the TNGF  |    |
| 7.8      | UE-initiated liveness check procedure   |    |
| 7.8.1    | General   |    |
| 7.8.2    | UE-initiated liveness check procedure initiation                                  |    |
| 7.8.3    | UE-initiated liveness check procedure completion                                  |    |
| 7.8.4    | Abnormal cases  |    |
| 7.9      | Network-initiated liveness check procedure  |    |
| 7.9.1    | General   |    |
| 7.9.2    | Network-initiated liveness check procedure initiation                             |    |
| 7.9.3    | Network-initiated liveness check procedure completion                             |    |
| 7.9.4    | Abnormal cases  |    |
| 7.10     | IKE SA rekeying procedure   | 55 |
| 7.10.1   | General   | 55 |
| 7.10.2   | N3IWF-initiated and TNGF-initiated IKE SA rekeying procedure                      |    |
| 7.10.2.1 | N3IWF-initiated and TNGF-initiated IKE SA rekeying procedure initiation           | 55 |
| 7.10.2.2 | N3IWF-initiated and TNGF-initiated IKE SA rekeying procedure completion           | 55 |
| 7.10.2.3 | Abnormal cases  | 55 |
| 7.10.3   | UE-initiated IKE SA rekeying procedure  | 56 |
| 7.10.3.1 | UE-initiated IKE SA rekeying procedure initiation                                 | 56 |
| 7.10.3.2 | UE-initiated IKE SA rekeying procedure completion                                 |    |
| 7.10.3.3 | Abnormal cases  | 56 |
| 7.11     | IPsec SA rekeying procedure   |    |
| 7.11.1   | General   |    |
| 7.11.2   | N3IWF-initiated and TNGF-initiated IPsec SA rekeying procedure                    |    |
| 7.11.2.1 | N3IWF-initiated and TNGF-initiated IPsec SA rekeying procedure initiation         |    |
| 7.11.2.2 | N3IWF-initiated and TNGF-initiated IPsec SA rekeying procedure completion         |    |
| 7.11.2.3 | Abnormal cases  |    |
| 7.11.3   | UE-initiated IPsec SA rekeying procedure  |    |
| 7.11.3.1 | UE-initiated IPsec SA rekeying procedure initiation                               |    |
| 7.11.3.2 | UE-initiated IPsec SA rekeying procedure completion                               |    |
| 7.11.3.3 | Abnormal cases  |    |
|          |   |    |
| 7A vo    | vid   |    |

| 8       | Message transport procedures                                  |    |
|---------|---|----|
| 8.1     | General   |    |
| 8.2     | Transport of NAS messages over control plane                  |    |
| 8.2.1   | General   |    |
| 8.2.2   | TCP packet encapsulation                                      |    |
| 8.2.3   | Establishment of TCP connection for transport of NAS messages |    |
| 8.2.3A  | · ·   |    |
| 8.2.4   | Transport of NAS messages over TCP connection                 |    |
| 8.2.5   | Release of TCP connection for transport of NAS messages       |    |
| 8.3     | Transport of messages over user plane                         |    |
| 8.3.1   | General   |    |
| 8.3.2   | Generic routing encapsulation (GRE)                           |    |
| 9       | Parameters and coding   |    |
| 9.1     | General   |    |
| 9.2     | 3GPP specific coding information                              |    |
| 9.2.1   | GUAMI   |    |
| 9.2.2   | Establishment cause for non-3GPP access                       |    |
| 9.2.3   | PLMN ID   |    |
| 9.2.4   | IKEv2 Notify Message Type value                               |    |
| 9.2.4.1 |   |    |
| 9.2.4.2 |   |    |
| 9.2.4.3 |   |    |
| 9.2.5   | TNGF IPv4 contact info  |    |
| 9.2.6   | TNGF IPv6 contact info  |    |
| 9.2.7   | NID   |    |
| 9.3     | IETF RFC coding information                                   |    |
| 9.3.1   | IKEv2 Notify payloads   |    |
| 9.3.1.1 |   |    |
| 9.3.1.2 |   |    |
| 9.3.1.3 |   |    |
| 9.3.1.4 |   |    |
| 9.3.1.5 |   |    |
| 9.3.1.6 | NAS_TCP_PORT Notify payload                                   | 77 |
| 9.3.1.7 |   |    |
| 9.3.2   | EAP-5G method   |    |
| 9.3.2.1 | General   |    |
| 9.3.2.2 | Message format  |    |
| 9.3.2.2 | 2.1 EAP-Request/5G-Start message                              | 78 |
| 9.3.2.2 | 2.2 EAP-Response/5G-NAS message                               | 79 |
| 9.3.2.2 |   |    |
| 9.3.2.2 |   |    |
| 9.3.2.2 |   |    |
| 9.3.2.2 |   |    |
| 9.3.3   | GRE encapsulated user data packet                             |    |
| 9.4     | NAS message envelope  | 89 |
| Anne    | x A (informative): Change history                             | 91 |
| Histor  | ·y  | 96 |

# Foreword

This Technical Specification has been produced by the 3rd Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of the present document, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

Version x.y.z

where:

- x the first digit:
  - 1 presented to TSG for information;
  - 2 presented to TSG for approval;
  - 3 or greater indicates TSG approved document under change control.
- y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.
- z the third digit is incremented when editorial only changes have been incorporated in the document.

# 1 Scope

The present document specifies non-3GPP access network discovery and selection procedures, the access authorization procedure used for accessing non-3GPP access networks. These non-3GPP access networks can be trusted non-3GPP access networks, untrusted non-3GPP access networks or wireline access networks.

The present document also specifies the security association management procedures used for establishing IKEv2 and IPsec security associations:

- between the UE and the N3IWF and the procedures for transporting messages between the UE and the N3IWF over the non-3GPP access networks; and
- between the UE and the TNGF and the procedures for transporting messages between the UE and the TNGF over the non-3GPP access networks.

The present document also specifies the EAP-5G procedures used for exchange of NAS messages via trusted non-3GPP access before the UE is authenticated and authorized to use the trusted non-3GPP access.

The present document is applicable to the UE, the 5G-RG, the W-AGF acting on behalf of the FN-RG or the W-AGF acting on behalf of the N5GC device and the network. In this technical specification the network refers to the 3GPP 5GCN and the trusted non-3GPP access, untrusted non-3GPP access, or wireline access network.

NOTE: The present document is not applicable to the FN-RG.

# 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.
- [1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".
- [2] 3GPP TS 23.501: "System Architecture for the 5G System; Stage 2".
- [3] 3GPP TS 23.502: "Procedures for the 5G System; Stage 2".
- [4] 3GPP TS 24.501: "Access-Stratum (NAS) protocol for 5G System (5GS); Stage 3".
- [4A] 3GPP TS 24.301: "Non-Access-Stratum (NAS) protocol for Evolved Packet System (EPS); Stage 3".
- [5] 3GPP TS 33.501: "Security architecture and procedures for 5G System".
- [6] IETF RFC 7296: "Internet Key Exchange Protocol Version 2 (IKEv2)".
- [7] 3GPP TS 24.302: "Access to the 3GPP Evolved Packet Core (EPC) via non-3GPP access networks; Stage 3".
- [8] 3GPP TS 23.003: "Numbering, addressing and identification".
- [9] IETF RFC 3748: "Extensible Authentication Protocol (EAP)".
- [10] 3GPP TS 33.402: "3GPP System Architecture Evolution (SAE); Security aspects of non-3GPP accesses."

- [11] IETF RFC 4303: "IP Encapsulating Security Payload (ESP)".
- [12] IETF RFC 4301: "Security Architecture for the Internet Protocol".
- [13] 3GPP TS 23.122: "Non-Access-Stratum (NAS) functions related to Mobile Station (MS) in idle mode".
- [14] IETF RFC 2784: "Generic Routing Encapsulation (GRE)".
- [15] IETF RFC 2890: "Key and Sequence Number Extensions to GRE".
- [16] 3GPP TS 23.503: "Policy and Charging Control Framework for the 5G System".
- [17] 3GPP TS 24.526: "User Equipment (UE) policies for 5G System (5GS); Stage 3".
- [18] 3GPP TS 23.402: "Architecture enhancements for non-3GPP accesses".
- [19] IEEE Std 802.11-2016: "IEEE Standard for Information technology Telecommunications and information exchange between systems - Local and metropolitan area networks - Specific requirements - Part 11: Wireless LAN Medium Access Control (MAC) and Physical Layer (PHY) Specifications".
- [20] Wi-Fi Alliance: "Hotspot 2.0 (Release 2) Technical Specification, version 1.0.0", 2014-08-08.
- [21] ITU-T Recommendation E.212: "The international identification plan for public networks and subscriptions", 2016-09-23.
- [22] 3GPP TS 24.007: "Mobile radio interface signalling layer 3; General aspects".
- [23] IETF RFC 4555: "IKEv2 Mobility and Multihoming Protocol (MOBIKE)".
- [24] IETF RFC 791: "INTERNET PROTOCOL".
- [25] IETF RFC 8200: "Internet Protocol, Version 6 (IPv6) Specification".
- [26] IETF RFC 2474: "Definition of the Differentiated Services Field (DS Field) in the IPv4 and IPv6 Headers".
- [27] IETF RFC 793: "Transmission Control Protocol".
- [28] 3GPP TS 24.008: "Mobile radio interface Layer 3 specification; Core network protocols; Stage 3".
- [29] 3GPP TS 38.413: "NG Application Protocol (NGAP)".
- [30] IEEE Std 802.1X<sup>TM</sup>-2010: "IEEE Standard for Information technology Telecommunications and information exchange between systems Local and metropolitan area networks Port-based Network Access Control".
- [31] IETF RFC 4284 (January 2006): "Identity Selection Hints for the Extensible Authentication Protocol (EAP)".
- [32] IETF RFC 1661: "The Point-to-Point Protocol (PPP)".
- [33] IETF RFC 1570: "PPP LCP Extensions".
- [34] IETF RFC 2410: "The NULL Encryption Algorithm and Its Use With IPsec".
- [35] 3GPP TS 31.102: "Characteristics of the Universal Subscriber Identity Module (USIM) application".
- [36] CableLabs WR-TR-5WWC-ARCH-V02-200430: "5G Wireless Wireline Converged Core Architecture Technical Report".
- [37] IETF RFC 7542: "The Network Access Identifier".
- [38] 3GPP TS 24.368: "Non-Access Stratum (NAS) configuration Management Object (MO)".
- [39] 3GPP TS 29.413: "Application of the NG Application Protocol (NGAP) to non-3GPP access".

- [40] 3GPP TS 23.316: "Wireless and wireline convergence access support for the 5G System (5GS)".
- [41] 3GPP TS 23.304: "Proximity based Services (ProSe) in the 5G System (5GS); Stage 2".
- [42] BBF TR-456 issue 2 (March 2022): "AGF Functional Requirements".

# 3 Definitions, symbols and abbreviations

# 3.1 Definitions

For the purposes of the present document, the terms and definitions given in 3GPP TR 21.905 [1] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in 3GPP TR 21.905 [1].

**MTU:** Maximum transmission unit (MTU) is the largest PDU size which can be transmitted and received by a network entity in one single IP packet without any need for IP fragmentation.

**NWt:** NWt is the reference point between the UE and the TNGF for establishing secure tunnel(s) between the UE and the TNGF so that control-plane and user-plane exchanged between the UE and the 5G core network is transferred securely over trusted non-3GPP access.

**NWu:** NWu is the reference point between the UE and the N3IWF for establishing secure tunnel(s) between the UE and the N3IWF so that control-plane and user-plane exchanged between the UE and the 5G core network is transferred securely over untrusted non-3GPP access.

For the purposes of the present document, the following terms and definitions given in 3GPP TS 23.501 [2] apply:

5G Access Network 5G Core Network 5G QoS flow 5G QoS identifier 5G System Network identifier (NID) PDU Session Stand-alone Non-Public Network TNGF

For the purposes of the present document, the following terms and definitions given in 3GPP TS 23.003 [8] apply:

#### Global Line Identifier (GLI) Global Cable Identifier (GCI)NAI

For the purposes of the present document, the following terms and definitions given in 3GPP TS 33.501 [5] apply:

#### SUPI SUCI

For the purposes of the present document, the following terms and definitions given in 3GPP TS 24.302 [7] apply:

#### S2a connectivity

For the purposes of the present document, the following terms and definitions given in 3GPP TS 24.501 [4] apply:

Non 5G capable over WLAN (N5CW) device SNPN access operation mode W-AGF acting on behalf of the N5GC device

For the purposes of the present document, the following terms and definitions given in 3GPP TS 23.316 [40] apply:

Wireline access control plane protocol (W-CP) Wireline access user plane protocol (W-UP)

# 3.2 Abbreviations

For the purposes of the present document, the abbreviations given in 3GPP TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in 3GPP TR 21.905 [1].

| FOON   | 50 Com Net and                                |
|--------|---|
| 5GCN   | 5G Core Network                               |
| 5GS    | 5G System                                     |
| 5G-AN  | 5G Access Network                             |
| 5QI    | 5G QoS Identifier                             |
| AMF    | Access and Mobility Management Function       |
| AN     | Access Network                                |
| ANDS   | Access Network Discovery and Selection        |
| ANDSP  | Access Network Discovery and Selection Policy |
| AUSF   | Authentication Server Function                |
| СР     | Control Plane                                 |
| CRG    | Cable Residential Gateway                     |
| DHCP   | Dynamic Host Configuration Protocol           |
| DL     | Downlink                                      |
| DNS    | Domain Name System                            |
| DSCP   | Differentiated Services Code Point            |
| ePDG   | Evolved Packet Data Gateway                   |
| ESP    | Encapsulating Security Payload                |
| FQDN   | Fully Qualified Domain Name                   |
| H-PCF  | A PCF in the HPLMN                            |
| IP     | Internet Protocol                             |
| IPsec  | Internet Protocol Security                    |
| N3AN   | Non-3GPP Access Network                       |
| N3IWF  | Non-3GPP InterWorking Function                |
| N5CW   | Non 5G Capable over WLAN                      |
| N5GC   | Non-5G Capable                                |
| NAI    | Network Access Identifier                     |
| NAS    | Non Access Stratum                            |
| NID    | Network Identifier                            |
|        | Non-Seamless WLAN Offload                     |
| NSWO   |   |
| PCF    | Policy control Function                       |
| PDU    | Protocol Data Unit                            |
| QFI    | QoS Flow Identifier                           |
| RQI    | Reflective QoS Indicator                      |
| SA     | Security Association                          |
| SNPN   | Stand-alone Non-Public Network                |
| SPI    | Security Parameters Index                     |
| SUPI   | Subscription Permanent Identifier             |
| SUCI   | Subscription Concealed Identifier             |
| TCP    | Transmission Control Protocol                 |
| TNAN   | Trusted Non-3GPP Access Network               |
| TNAP   | Trusted Non-3GPP Access Point                 |
| TNGF   | Trusted Non-3GPP Gateway Function             |
| TWAN   | Trusted WLAN Access Network                   |
| TWAP   | Trusted WLAN Access Point                     |
| TWIF   | Trusted WLAN Interworking Function            |
| UL     | Uplink  |
| UP     | User Plane                                    |
| UPF    | User Plane Function                           |
| V-PCF  | A PCF in the VPLMN                            |
| WLAN   | Wireless Local Area Network                   |
| WLANSP | WLAN Selection Policy                         |
|        |   |

# 4 General

# 4.1 Overview

The 5G core network (5GCN) supports the connectivity of the UE via non-3GPP access networks. These non-3GPP access networks can be trusted non-3GPP access networks, untrusted non-3GPP access networks or wireline access networks. A trusted or untrusted non-3GPP access network can advertise the PLMNs for which it supports trusted connectivity and the type of supported trusted connectivity. Different types of trusted connectivity can be advertised so that the UE can discover the non-3GPP access networks that can provide trusted connectivity to one or more PLMNs:

- a) information about PLMN list with 5G connectivity using trusted non-3GPP access;
- b) information about PLMN list with 5G connectivity without NAS using trusted non-3GPP access; or
- c) information about PLMN list with S2a connectivity using trusted non-3GPP access (access via non-3GPP access to EPC).
- NOTE: A wireline access network does not indicate PLMNs for which it supports connectivity.

# 4.2 Untrusted access

For an untrusted non-3GPP access network, the communication between the UE and the 5GCN is not trusted to be secure.

For an untrusted non-3GPP access network, to secure communication between the UE and the 5GCN, a UE establishes secure connection to the 5G core network over untrusted non-3GPP access via the N3IWF. The UE performs registration to the 5G core network during the IKEv2 SA establishment procedure as specified in 3GPP TS 24.501 [4] and IETF RFC 7296 [6]. After the registration, the UE supports NAS signalling with 5GCN using the N1 reference point as specified in 3GPP TS 24.501 [4]. The N3IWF interfaces the 5GCN CP function via the N2 interface to the AMF and the 5GCN UP functions via N3 interface to the UPF as described in 3GPP TS 23.501 [2].

# 4.3 Identities

## 4.3.1 User identities

When the UE accesses the 5GCN over non-3GPP access networks, the same permanent identities for 3GPP access are used to identify the subscriber for non-3GPP access authentication, authorization and accounting services.

The Subscription Permanent Identifier (SUPI) is defined in 3GPP TS 33.501 [5]. The SUPI can contain an IMSI, a network specific identifier, a GCI or a GLI as specified in 3GPP TS 23.501 [2]. A SUPI containing an IMSI is defined in 3GPP TS 23.003 [8]. A SUPI containing a network specific identifier, a GCI or a GLI always takes the form of a NAI as defined in 3GPP TS 23.003 [8].

The Subscription Concealed Identifier (SUCI) is a privacy preserving identifier containing the concealed SUPI as specified in 3GPP TS 33.501 [5]. SUCI is calculated from SUPI. When the SUPI contains an IMSI, the corresponding SUCI is derived as specified in 3GPP TS 23.003 [8]. When the SUPI contains a network specific identifier, a GCI or a GLI, the corresponding SUCI in NAI format is derived as specified in 3GPP TS 23.003 [8].

User identification in non-3GPP accesses can require additional identities that are out of the scope of 3GPP.

# 4.3.2 FQDN for N3IWF Selection

An N3IWF FQDN is either provisioned by the home operator or constructed by the UE in either the Operator Identifier FQDN format or the Tracking Area Identity FQDN format as specified in clause 6.3.6.2 in 3GPP TS 23.501 [2].

The detailed format of the N3IWF FQDN is specified in clause 28.3.2.2 of 3GPP TS 23.003 [8].

The N3IWF FQDN is used as input to the DNS mechanism for N3IWF selection.

In order to access PLMN services via an SNPN, a UE operating in SNPN access operation mode registered to an SNPN has the following restrictions on N3IWF FQDN:

- a) the UE shall only use TAIs from a PLMN to construct a Tracking Area Identity based N3IWF FQDN; and
- b) the UE shall not consider an N3IWF FQDN for N3IWF selection configured by an SNPN.

# 4.4 Quality of service support

## 4.4.1 General

When the UE accesses the 3GPP 5G System (5GS) via non-3GPP access networks, the same QoS flow based 5G QoS model and principles are followed as described in 3GPP TS 23.501 [2]. For PDU sessions that were established over non-3GPP access, the QoS flow remains to be the finest granularity of QoS differentiation in the PDU Session.

## 4.4.2 QoS differentiation in non-3GPP access

#### 4.4.2.1 General

For untrusted non-3GPP access, the N3IWF is the access network node that provides QoS signalling to support QoS differentiation and mapping of QoS flows to non-3GPP access resources.

For trusted non-3GPP access, the TNGF is the access network node that provides QoS signalling to support QoS differentiation and mapping of QoS flows to non-3GPP access resources.

For wireline access, the W-AGF serving the 5G-RG is the access network node that provides QoS signalling to support QoS differentiation and mapping of QoS flows to non-3GPP access resources.

#### 4.4.2.2 QoS signalling

A QoS flow is controlled by the SMF and can be preconfigured, or established via the UE requested PDU Session establishment via non-3GPP access procedure, the UE or network requested PDU session modification via non-3GPP access procedure (see 3GPP TS 23.502 [3]).

During PDU session establishment, based on local policies, pre-configuration and the QoS profiles received:

- a) the N3IWF or the TNGF (depending on whether the UE is connected to untrusted non-3GPP access or trusted non-3GPP access, respectively):
  - 1) shall determine the number of IPsec child SAs to establish and the QoS profiles associated with each IPsec child SA; and
  - 2) shall then initiate IPsec SA creation procedure to establish child SAs associating to the QoS flows of the PDU session; or
- b) the W-AGF serving the 5G-RG:
  - 1) shall determine the number of W-UP resources to establish and the QoS profiles associated with each W-UP resource; and
  - 2) shall initiate creation of one or more W-UP resources using means out of scope of the present document. The W-AGF serving the 5G-RG shall associate each W-UP resource with a PDU session, zero or more QFIs, and optionally an indication of whether the W-UP resource is the default W-UP resource. For each W-UP resource, the 5G-RG becomes aware using means out of scope of the present document about association of the W-UP resource and the PDU session, the zero or more QFIs, and optionally the indication of whether the W-UP resource.

In order to support QoS differentiation in case of access to PLMN services via an SNPN and access to SNPN services via a PLMN, the N3IWF is preconfigured with one or more QoS profiles requiring a dedicated IPsec child SA which can be associated with a DSCP value.

In order to support QoS differentiation in case of access to PLMN services via 5G ProSe layer-3 UE-to-network relay with N3IWF as specified in clause 5.6.2.2 of 3GPP TS 23.304 [41], the N3IWF is preconfigured with one or more QoS profiles requiring a dedicated IPsec child SA which can be associated with a DSCP value.

#### 4.4.2.3 QoS differentiation in user plane

For uplink of trusted and untrusted non-3GPP accesses, the UE associates an uplink user data packet with a QFI as specified in 3GPP TS 24.501 [4]. In both cases of untrusted non-3GPP access and trusted non-3GPP access, the UE shall then encapsulate the uplink user data packet and the QFI associated with the uplink user data packet in the GRE header and select IPsec child SA based on PDU session and QFI associated with the uplink user data packet as specified in clause 8.3. In case of trusted non-3GPP access, the UE shall reserve non-3GPP access network QoS resources for the IPsec child SA according to the received Additional QoS Information when the selected IPsec child SA is established. In case of untrusted non-3GPP access the Additional QoS Information from the N3IWF during IPsec child SA establishment. If the UE receives the Additional QoS Information from the N3IWF, the UE may reserve non-3GPP access network QoS resources for the IPsec child SA is established.

For uplink of wireline access, the 5G-RG associates an uplink user data packet with a QFI as specified in 3GPP TS 24.501 [4], shall select a W-UP resource based on the PDU session and the QFI associated with the uplink user data as specified in clause 8.3 and shall transport the uplink user data packet via the selected W-UP resource using means out of scope of the present specification.

For downlink of trusted and untrusted non-3GPP accesses, the UPF maps the user data packet to a QoS flow. In case of untrusted non-3GPP access, the N3IWF shall determine the IPsec child SA to use for sending of the downlink user data packet over NWu based on mapping of the QoS flow to the IPsec child SA based on QFI of the QoS flow of the user data packet and the identity of the PDU session of the user data packet. In case of trusted non-3GPP access, the TNGF shall determine the IPsec child SA to use for sending of the downlink user data packet over NWt based on mapping of the QoS flow to the IPsec child SA to use for sending of the downlink user data packet over NWt based on mapping of the QoS flow to the IPsec child SA based on QFI of the QoS flow of the user data packet and the identity of the PDU session of the user data packet. Furthermore, TNGF may reserve non-3GPP access network QoS resources for the IPsec child SA.

For downlink of wireline access, the UPF maps the user data packet to a QoS flow. In case of wireline access, the W-AGF serving the 5G-RG shall select a W-UP resource for a downlink user data packet based on mapping of the QoS flow to the W-UP resources, based on QFI of the QoS flow of the user data packet and the identity of the PDU session of the user data packet, and shall transport the downlink user data packet and the QFI associated with the downlink user data packet via the selected W-UP resource using means out of scope of the present specification.

### 4.4.2.4 Reflective QoS

Reflective QoS is also supported when the UE accesses the 5GCN via non-3GPP access network as specified in 3GPP TS 23.502 [3]. If the N3IWF for untrusted non-3GPP access or the TNGF for trusted non-3GPP access receives a downlink user packet associated with Reflective QoS Indicator (RQI), the N3IWF or the TNGF shall set the RQI in the GRE header when encapsulating the downlink user data packet into a GRE encapsulated user data packet as specified in clause 8.3. If the W-AGF serving the 5G-RG receives a downlink user packet associated with Reflective QoS Indicator (RQI), the W-AGF shall transport the RQI together with the downlink user data packet and the QFI associated with the downlink user data packet via the selected W-UP resource over NWu, as described in clause 4.4.2.3.

### 4.4.2.5 QoS enforcement

If the UE is provided with maximum flow bit rate (MFBR) for UL for a QFI as specified in 3GPP TS 24.501 [4], the UE should send user data packets associated with the QFI with a bitrate lower than or equal to the maximum flow bit rate (MFBR) for UL.

# 4.5 Trusted access

For a trusted non-3GPP access network, the communication between the UE and the 5GCN is secure. A trusted non-3GPP access network is connected to the 5GCN via a trusted non-3GPP gateway function (TNGF) as specified in 3GPP 23.501 [2]. The TNGF interfaces the 5GCN CP function via the N2 interface to the AMF and the 5GCN UP functions via N3 interface to the UPF as described in 3GPP TS 23.501 [2].

For a trusted non-3GPP access network, the UE establishes secure connection to the 5GCN over trusted non-3GPP access to the TNGF. The UE uses 3GPP-based authentication for connecting to a non-3GPP access and establishes an IPsec Security Association (SA) with the TNGF in order to register to the 5GCN by using the registration procedure as specified in 3GPP TS 24.501 [4]. After the registration, the UE supports NAS signalling with the 5GCN using the N1 reference point as specified in 3GPP TS 24.501 [4].

# 4.6 Forbidden PLMNs for non-3GPP access to 5GCN

A list of "forbidden PLMNs for non-3GPP access to 5GCN" contains a list of VPLMNs, 5GCN of which the UE is forbidden to access via non-3GPP access.

The HPLMN (if the equivalent HPLMN list is not present or is empty) or an equivalent HPLMN (if equivalent HPLMN list is present) shall not be stored on the list of "forbidden PLMNs for non-3GPP access".

3GPP TS 24.501 [4] specifies when a VPLMN is added to the list of "forbidden PLMNs for non-3GPP access to 5GCN".

When the UE is configured to use timer T3245 (see 3GPP TS 24.368 [38] or 3GPP TS 31.102 [35]), the UE adds a PLMN identity to the list of "forbidden PLMNs for non-3GPP access to 5GCN" and timer T3245 (see 3GPP TS 24.008 [28]) is not running, then the UE shall start timer T3245 as specified in 3GPP TS 24.008 [28], clause 4.1.1.6.

If the list of "forbidden PLMNs for non-3GPP access to 5GCN" is stored in a non-volatile memory in the ME together with the SUPI from the USIM, this list can only be used if the SUPI from the USIM matches the SUPI stored in the non-volatile memory; else the UE shall delete this list.

A VPLMN is removed from the list of "forbidden PLMNs for non-3GPP access to 5GCN" if:

- there is a successful registration as specified in 3GPP TS 24.501 [4] over a non-3GPP access after a manual selection of the VPLMN for non-3GPP access connected to 5GCN;
- the UE is not configured to use timer T3245, and the value of the PLMN-specific attempt counter for non-3GPP access for the PLMN has a value greater than zero and less than the UE implementation-specific maximum value as defined in clause 5.3.20 in 3GPP TS 24.501 [4] and T3247 expires;
- upon expiry of the timer T3245 if the UE is configured to use timer T3245; or
- the UE is not configured to use timer T3245, and the value of the PLMN-specific attempt counter for non-3GPP access for the PLMN has a value greater than zero and less than the UE implementation-specific maximum value as defined in clause 5.3.20 in 3GPP TS 24.501 [4] when the MS is switched off or the UICC containing the USIM is removed.

5 Network discovery and selection

# 5.1 General

The following aspects are included when selecting a 5GC network and routing traffic via the 5GC network:

- a) access network discovery procedures as defined in clause 5.2;
- b) access network selection procedures as defined in clause 5.3; and
- c) access network reselection procedures as defined in clause 5.4.

# 5.2 Access network discovery procedure

## 5.2.1 General

If PLMN selection specified in 3GPP TS 23.122 [13] is applicable (e.g., at switch-on, recovery from lack of 3GPP coverage, or user selection of applicable 3GPP access technology), the PLMN selection to select the highest priority PLMN according to these specifications is performed before any access network discovery.

In the access network discovery procedure, the UE can get ANDSP information on available access networks in its vicinity and can use this information when determining the presence of operator preferred access networks. Determination of the presence of access networks requires using radio access specific procedures, which are not further described here.

## 5.2.2 Discovering availability of WLAN access networks

The UE may obtain WLAN Selection Policy (WLANSP) rules information by pre-configuration or by downloading the policy information from the PCF as specified in 3GPP TS 23.503 [16]. The policy contains the UE access network discovery and selection related policy information to help the UE in discovering and selecting a WLAN access network (see 3GPP TS 24.526 [17]).

The UE may receive multiple valid WLANSP rules. When the UE is in the home PLMN, the UE uses the valid WLANSP rules from the home PLMN to select an available WLAN. When the UE is roaming and the UE has valid rules from several of the home PLMN, a visited PLMN and a PLMN equivalent to the visited PLMN, the UE uses the WLANSP rules in the following order of decreasing priority:

- a) the valid WLANSP rules from the visited PLMN;
- b) the valid WLANSP rules from the equivalent PLMN in which the UE last received WLANSP; and
- c) the valid WLANSP rules from the home PLMN.

A WLANSP rule is valid if it meets the validity conditions included in the WLANSP rule (if provided).

The UE may apply the techniques specific to the WLAN access technologies to discover available WLAN access networks. Such techniques will not be further described here.

In addition, the UE may obtain information on operator preferred WLAN access networks via ANDSP.

# 5.3 Access network selection procedure

## 5.3.1 General

In this release of the specification, only selection of WLAN access network is supported. The ANDSP policy contains WLANSP rules for the UE to select a WLAN access network. Rules for selecting other types of non-3GPP access networks are not specified.

# 5.3.2 WLAN selection procedure

#### 5.3.2.1 General

The purpose of the WLAN selection procedure is to create a prioritized list of selected WLAN(s).

The UE shall perform WLAN selection based on the user preferences and WLANSP rules. The UE may be provisioned with WLANSP rules from multiple PLMNs. User preferences take precedence over the WLANSP rules.

The user preferences are used to select between the automatic WLAN selection procedure or the manual WLAN selection procedure:

NOTE: The procedure for the automatic mode WLAN selection by using ANDSP rules as defined in clause 5.3.2.3 does not apply to an N5CW device that is not registered or cannot register via NG-RAN.

- a) if user preferences are present, the UE shall determine the prioritized list of selected WLAN(s) using the manual mode WLAN selection procedure (see clause 5.3.2.2); or
- b) if user preferences are not present or if there is no user-preferred WLAN access network available, the UE shall determine the prioritized list of selected WLAN(s) using the automatic mode WLAN selection procedure (see clause 5.3.2.3).

#### 5.3.2.2 Manual mode WLAN selection

The UE creates a prioritized list of available WLAN(s). The creation of the prioritized list is implementation specific.

#### 5.3.2.3 Automatic mode WLAN selection

The UE shall first determine valid WLANSP rules for WLAN selection:

- a) if the UE is not roaming over 3GPP access, the UE shall use the valid WLANSP rules from the HPLMN; or
- b) if the UE is roaming over 3GPP access, the UE may have valid WLANSP rules from several of the visited PLMN, a PLMN equivalent to the visited PLMN and the home PLMN. The UE uses the WLANSP rules in the following order of decreasing priority:
  - 1) the valid WLANSP rules from the visited PLMN;
  - 2) the valid WLANSP rules from the equivalent PLMN in which the UE last received WLANSP; and
  - 3) the valid WLANSP rules from the home PLMN.

The UE shall then determine the selected WLAN(s) according to the following steps:

- a) use the procedures specified in the IEEE 802.11 [19] to discover the available WLANs. The UE may perform ANQP procedures as specified in the IEEE 802.11 [19] or the Hotspot 2.0 [20] to discover the attributes and capabilities of available WLANs. If the UE supports ANQP procedures, the UE may send an ANQP request for lists of service providers (i.e. ANQP-elements "Domain Name", see IEEE 802.11 [19]) and PLMN identities (i.e. ANQP-element "3GPP Cellular Network", see 3GPP TS 24.302 [7] annex H); and
- b) if the UE has performed ANQP procedures to discover the attributes and capabilities of available WLANs, compare the attributes and capabilities of the available WLANs with the group of selection criteria of the valid WLANSP rules and construct a prioritized list of available WLANs that fulfill the selection criteria.
  - when there are multiple valid WLANSP rules the UE evaluates the valid WLANSP rules in priority order. The UE evaluates first if an available WLAN access meets the selection criteria of the highest priority valid WLANSP rule. The UE then evaluates if an available WLAN access meets the selection criteria of the next priority valid WLANSP rule;
- NOTE 1: Each WLANSP rule can include one or more groups of selection criteria in priority order. If there are multiple highest priority groups of selection criteria in the valid WLANSP rule, it is up to the UE implementation which one to use.
  - 2) if the Home network ind bit is not set to "1" in the group of selection criteria (see 3GPP TS 24.526 [17]), the WLAN(s) that match the group of selection criteria with the highest priority are considered as the most preferred WLANs, the WLAN(s) that match the group of selection criteria with the second highest priority are considered as the second most preferred WLANs;
  - 3) if the Home network ind bit is set to "1" in the group of selection criteria (see 3GPP TS 24.526 [17]), then the UE shall create a list of available WLANs and shall apply the group of selection criteria to all the WLANs in this list. A WLAN is included in this list, if
    - i) the other selection criteria in the active WLANSP rule are met; and
    - ii) the UE received a lists of service providers (i.e. ANQP-elements "Domain Name") and PLMN identities (i.e. ANQP-element "3GPP Cellular Network"), and:
      - I) if the list with PLMNs that can be selected from the WLAN (see 3GPP TS 24.302 [7]) includes:

A) the HPLMN derived from its IMSI; or

B) a PLMN matching an entry in the UE's list of equivalent PLMNs; or

II) if the domain name list (see IEEE 802.11 [19]) includes:

A) the home domain name derived from its IMSI; or

- B) the domain name derived from its list of equivalent PLMNs; and
- NOTE 2: If the Home network ind bit is set to "1" in a group of selection criteria then this group of selection criteria is not expected to include the preferred roaming partner list and the preferred SSID list.
- NOTE 3: WLAN advertises PLMN(s) towards which the AAA connectivity to EPC or the S2a connectivity is supported by using the ANQP-element "3GPP Cellular Network" with the PLMN List IE or the PLMN List with S2a Connectivity IE in the payload (see annex H in 3GPP TS 24.302 [7]).
- NOTE 4: WLAN advertises PLMN(s) towards which the 5G connectivity using trusted non-3GPP access or the AAA connectivity to 5GC is supported by using the ANQP-element "3GPP Cellular Network" with the PLMN List with trusted 5G connectivity IE, the PLMN List with trusted 5G connectivity-without-NAS IE or PLMN List with AAA connectivity to 5GC IE in the payload (see annex H in 3GPP TS 24.302 [7]). The PLMN List with trusted 5G connectivity-without-NAS IE is only used by N5CW devices.
- NOTE 5: If the UE selects a PLMN over WLAN included in both the PLMN List with S2a Connectivity IE and the PLMN List with trusted 5G connectivity IE, the UE requests the PLMN with trusted 5G connectivity (see clause 6.3.12.2 in 3GPP TS 23.501 [2]).
  - 4) The priority of a WLAN in the available WLANs list is set to the WLAN priority defined in the preferredSSIDlist of the matching group of selection criteria. There may be one or more selected WLANs in the list.

NOTE 6: UE implementation can optimize the steps described above, e.g. by combining the ANQP procedures.

# 5.3A PLMN selection procedures using trusted non-3GPP access

#### 5.3A.1 General

There are two modes of PLMN selection, namely, manual selection and automatic selection.

The UE follows one of the following two procedures defined in clause 5.3.2.2 and clause 5.3.2.3 depending on its implementation. The N5CW device that is not registered or cannot register via NG-RAN performs manual mode WLAN selection procedure as defined in clause 5.3.2.2.

The PLMN selected in accordance with these procedures determines the WLAN that is selected. When the selected WLAN is a trusted non-3GPP IP access and the UE decides to access 5GC via trusted non-3GPP IP access, the UE shall derive a NAI from the identity of the selected PLMN and use the NAI as the identity for authentication and authorization with the PLMN and usage of the WLAN.

The procedures described in this clause 5.3A shall apply to the UE and the N5CW device.

## 5.3A.2 PLMN solicitation

The UE shall determine which PLMNs are available from each WLAN on the list of available WLANs constructed using the WLAN selection procedure described in clause 5.3.2 using the following procedures:

- i) the UE selects a WLAN from the list of selected WLAN(s) constructed using the WLAN selection procedure described in clause 5.3.2;
- NOTE 1: An N5CW device that is not registered or cannot register via NG-RAN uses only the manual mode WLAN selection procedure described in clause 5.3.2.
- ii) if both the WLAN selected in step i) and the UE support ANQP specified in IEEE Std 802.11 [19] and if the UE did not obtain a list of realms using ANQP in clause 5.3.2.3 item 1, the UE shall send an ANQP request for a list

of realms (i.e. ANQP-elements "NAI Realm") and/or PLMN identities (i.e. ANQP-element "3GPP Cellular Network"); and

- NOTE 2: The UE uses procedures defined in IEEE Std 802.11 [19] to determine if the WLAN supports ANQP and to send the ANQP request for ANQP-elements "NAI Realm" and/or "3GPP Cellular Network", as specified in IEEE Std 802.11 [19].
- iii) if either the WLAN selected in step i) or the UE does not support ANQP (see IEEE Std 802.11 [19]) or the UE does not receive a list of realms in item ii), an EAP-Request/Identity is received and the EAP-Request/Identity does not include one or more of realms and/or PLMN identities (encoded in accordance with IETF RFC 4284 [31]), the UE supports IEEE 802.1x authentication (see IEEE Std 802.1X<sup>TM</sup> [30]), the UE shall request a list of realms and/or PLMN identities interworking with that WLAN by sending the EAP-Response/Identity message including as identity the alternative NAI; and
- iv) the UE repeats this procedure for all WLANs from the available list of WLANs as constructed using the WLAN selection procedure described in clause 5.3.2.
- NOTE 3: The list with realms and/or PLMN identities received in accordance with procedures in IETF RFC 4284 [31], is of limited size and might not contain all the realms and/or PLMN identities available via the WLAN.

The UE shall convert any received PLMN identities into realms of the PLMNs using the rules defined in clause 19 and clause 28 of 3GPP TS 23.003 [8]. The N5CW device shall convert any received PLMN identities into realms of the PLMNs using the rules defined in clause 28 of 3GPP TS 23.003 [8].

## 5.3A.3 Manual PLMN selection mode procedure

The UE indicates to the user the PLMNs which are available via the WLAN. The UE may obtain the PLMNs available for WLAN access using procedures as described in clause 5.3A.2. The UE selects the PLMN based on the user preference.

## 5.3A.4 Automatic mode PLMN selection procedure

#### 5.3A.4.1 General

The purpose of this procedure is to:

- select a PLMN over WLAN; and
- construct a NAI for use with authentication signalling with the selected PLMN in order for the UE to be authorised to use the WLAN.

Until the highest priority PLMN is found, the UE shall verify if a PLMN available over a WLAN of the selected WLAN(s) is the highest priority PLMN:

- 1) using the PLMNs which are available for WLAN as described in clause 5.3A.2, the UE uses the realms of the PLMN in the remaining steps of this clause;
- 2) if the UE is registered over 3GPP access, the realm of the RPLMN of the 3GPP access is included in the list of realms created in clause 5.3A.2 and the realm of the RPLMN of the 3GPP access does not match a realm converted from any PLMN ID in the list of "forbidden PLMNs for non-3GPP access to 5GCN", the UE shall select the RPLMN of the 3GPP access;
- 3) if the UE is registered over 3GPP access, the realm of the RPLMN of the 3GPP access is not included in the list of realms created in clause 5.3A.2, the PLMN is in the "N3AN node selection information" (see 3GPP TS 24.526 [17]) and the PLMN is not in the list of "forbidden PLMNs for non-3GPP access to 5GCN" then the UE shall select the RPLMN of the 3GPP access and performs N3AN node selection with the RPLMN as defined in clause 7.2;
- 4) if the condition in steps 2) and 3) are not satisfied, the UE shall select a PLMN in the following order:
  - i) if the UE used the procedures in IETF RFC 4284 [31] (see clause 5.3A.2) to obtain a list of realms, then the UE is only required to select the realm of the HPLMN (if available);

- ii) if the UE can determine the country it is located in (see clause 7.2.3) and the UE determines it is located in the home country, the UE follows the procedures in clause 5.3A.4.2;
- iii) if the UE can determine the country it is located in (see clause 7.2.3) and the UE determines it is located in a visited country, the UE determines whether it is mandatory to select a PLMN in the visited country.

If the UE determines that it is not mandatory to select a PLMN in the visited country, the UE shall follow the procedures in clause 5.3A.4.2;

If the UE determines that it is mandatory to select a PLMN in the visited country, the UE shall select, in priority order, a PLMN from the list of realms created in clause 5.3A.2, if:

- I) the PLMN is in the User Controlled PLMN Selector list (see 3GPP TS 31.102 [35]); or
- II) the PLMN is in the Operator Controlled PLMN Selector list (see 3GPP TS 31.102 [35]).

If no match is found in either of the lists, the UE may perform N3AN node selection as defined in clause 7.2.

The UE shall construct a NAI for authentication with the highest priority PLMN as follows:

- 1) if the PLMN selected was selected from:
  - i) a list of realms obtained using IETF RFC 4284 [31]; or
  - ii) a list of PLMNs obtained from the PLMN List IE (see annex H of 3GPP TS 24.302 [7]), and the PLMN was neither present in the PLMN List with S2a Connectivity IE, in the PLMN List with trusted 5G Connectivity IE nor the PLMN List with trusted 5G connectivity-without-NAS IE;

then the UE constructs a NAI as specified in clause 5.2.3.2.3 of 3GPP TS 24.302 [7] for the case when the NAI is used for access via non-3GPP access to EPC and in accordance to the rules of 3GPP TS 23.003 [8] and the UE proceeds processing as defined in 3GPP TS 24.302 [7];

- 2) if the PLMN selected was selected from a list of PLMNs obtained from the PLMN List with trusted 5G Connectivity IE or the PLMN List with trusted 5G connectivity-without-NAS IE (see annex H of 3GPP TS 24.302 [7]) then the UE constructs a NAI as specified in:
  - i) clause 28.7.6 of 3GPP TS 23.003 [8] if the selected type of trusted connectivity is 5G connectivity using trusted non-3GPP access; or
  - ii) clause 28.7.7 of 3GPP TS 23.003 [8] if the selected type of trusted connectivity is 5G connectivity without NAS using trusted non-3GPP access; or
- 3) if the PLMN selected was selected from a list of PLMNs obtained from the PLMN List with S2a Connectivity IE (see annex H of 3GPP TS 24.302 [7]) for the case when the NAI is used for access via trusted non-3GPP access to EPC, then the UE constructs a NAI as specified in clause 5.2.3.2.3 of 3GPP TS 24.302 [7] and the UE proceeds processing as defined in 3GPP TS 24.302 [7].
- NOTE 1: UE implementations can optimize the steps described above, e.g. by combining the ANQP procedures described in clause 5.3A.2 with the ANQP procedures in clause 5.3.2.3.
- NOTE 2: Selecting a WLAN from multiple WLANs advertising support for the selected PLMN is UE implementation specific.
- NOTE 3: The N5CW device which is not registered or cannot register via NG-RAN only uses the PLMN List with trusted 5G connectivity-without-NAS IE, and the PLMN List with trusted 5G connectivity-without-NAS IE is only used by the N5CW devices.

#### 5.3A.4.2 Attempting to select HPLMN or equivalent HPLMN

If the realm of the HPLMN is included in the list of realms created in clause 5.3A.2 then the UE shall select the HPLMN.

If the realm of the HPLMN is not included in the list of realms created in clause 5.3A.2, but a realm of an equivalent HPLMN is included, then the UE shall select the equivalent HPLMN.

If neither realm is included in the list of realms created in clause 5.3A.2, then the UE aborts its attempt to use trusted non-3GPP IP access.

#### 5.3A.4.3 Void

# 5.3B PLMN selection procedures using wireline access

Roaming support for wireline access is not defined in the present version of the present document.

The 5G-RG, the W-AGF acting on behalf of the FN-RG and the W-AGF acting on behalf of the N5GC device shall consider that the HPLMN is available on each wireline access network and shall select HPLMN on the wireline access network.

# 5.3C PLMN selection procedures for NSWO in 5GS

For the NSWO in 5GS:

- a) if the HPLMN is in the PLMN list with AAA connectivity to 5GC IE (see annex H of 3GPP TS 24.302 [7]) provided by the WLAN that is selected as specified in subclause 5.3.2, the UE shall select HPLMN; or
- b) if the HPLMN is not in the PLMN list with AAA connectivity to 5GC IE (see annex H of 3GPP TS 24.302 [7]), the UE shall select any PLMN from the PLMN list with AAA connectivity to 5GC IE provided by the WLAN that is selected as specified in subclause 5.3.2.
- NOTE: UEs supporting NSWO in 5GS only use the PLMN list with AAA connectivity to 5GC IE for NSWO in 5GS, and the PLMN list with AAA connectivity to 5GC IE is only used by UEs supporting NSWO in 5GS.

# 5.4 Access network reselection procedure

## 5.4.1 General

The access network reselection procedure can be triggered based on the user's request or the operator's policy. Such operator policy for supporting network reselection can be provided by the ANDSP or can be pre-provisioned in the UE.

The access network reselection procedure can also be triggered by the UE during periodical re-evaluation of ANDSP policies (see clause 6.4.2), or if the 'active' rule becomes invalid (conditions no longer fulfilled), or other manufacturer specific trigger.

NOTE: How frequently the UE performs the discovery and reselection procedure is UE implementation specific.

## 5.4.2 WLAN reselection procedure

For WLAN access network reselection, the UE configured with a WLANSP rule shall use the access network selection procedure as specified in clause 5.3.2. The UE first uses WLAN Selection Policy (WLANSP) to determine the active WLANSP rule. The UE selects the highest priority and valid WLANSP rule as the active WLANSP rule.

The access network reselection procedure can be in automatic mode or manual mode. The manual mode reselection shall follow the behaviour described in clause 5.3.2.3 and the automatic mode reselection shall follow the behaviour described in clause 5.3.2.4.

# 6 UE - 5GC network protocols

## 6.1 General

This clause specifies the related procedures performed between the UE and untrusted or trusted non-3GPP access network or wireline access network.

## 6.2 Void

# 6.3 Authentication and authorization for accessing 5GS via non-3GPP access network

### 6.3.1 General

In order to register to the 5G core network (5GCN) via untrusted non-3GPP IP access, the UE first needs to be configured with a local IP address from the untrusted non-3GPP access network (N3AN).

Once the UE is configured with a local IP address, the UE shall select the Non-3GPP InterWorking Function (N3IWF) as described in clause 7.2 and shall initiate the IKEv2 SA establishment procedure as described in clause 7.3. During the IKEv2 SA establishment procedure, authentication and authorization for access to 5GCN is performed.

NOTE 1: The trust relationship indicator (see 3GPP TS 24.302 [7]), which can be received during EAP extension authentication during IKEv2 SA, does not indicate the WLAN is a trusted non-3GPP access network connected to the 5GCN.

In a trusted non-3GPP access, a UE shall first connect to a TNAN using a link layer protocol and shall initiate EAP authentication. During EAP authentication, authentication and authorization for access to 5GCN is performed by exchange of EAP-5G message the link layer protocol between the UE and the TNAN, see clause 7.3A.2.1. Upon completion of EAP authentication, the UE shall be assigned an IP address by that TNAN. Once the UE is configured with an IP address, it shall initiate the IKEv2 SA establishment procedure as described in clause 7.3A.

In a wireline access, the 5G-RG shall first establish connection using W-CP protocol stack with a W-AGF serving the 5G-RG using means out of scope of the present document

NOTE 2: For establishment of connection using W-CP protocol stack, see BBF TR-456 issue 2 [42] and CableLabs WR-TR-5WWC-ARCH [36].

In wireline access, authentication and authorization of an N5GC device behind a CRG for access to 5GCN is performed as described in clause 6.3.2.

## 6.3.2 Authentication of N5GC device behind a CRG over wireline access

In order to register to 5GCN via wireline access, the N5GC device first establishes a layer-2 connection to W-AGF via the CRG as specified in CableLabs WR-TR-5WWC-ARCH- V02-200430 [36]. Once the layer-2 connection is established, authentication and authorization for access to 5GCN is performed.

The W-AGF initiates an exchange of EAP-Request/Identity message and EAP-Response/Identity message as specified in IETF RFC 3748 [9] for obtaining the identity of the N5GC device. In wireline access, the W-AGF and the N5GC device exchange EAP-Request/Identity message and EAP-Response/Identity message via the CRG, encapsulated in the link layer protocol packets.

Upon reception of EAP-Request/Identity message, the N5GC device shall:

- a) construct an EAP-Response/Identity message as described in IETF RFC 3748 [9] containing an NAI username@realm as specified in IETF RFC 7542 [37]; and
- NOTE: If subscription identifier privacy protection is to be used, the "username" part is either omitted or set to "anonymous".

b) transmit the EAP-Response of identity type encapsulated in the link layer protocol packets towards the W-AGF.

The CRG conveys the information provided by the N5GC device to the W-AGF which initiates the registration on behalf of the N5GC device as described in 3GPP TS 24.501 [4]. The SUPI of the N5GC device contains a network specific identifier. For the registration, the W-AGF uses the NULL scheme as specified in 3GPP TS 33.501 [5], to construct a SUCI from the SUPI which was received as the NAI from the N5GC device in the EAP-Response/Identity message.

An exchange of the EAP request and EAP response as described in IETF RFC 3748 [9] occurs until the N5GC device is authenticated by the 5GCN with the EAP authentication described in 3GPP TS 33.501 [5].

Upon completion of successful authentication and on reception of the authentication result from the AMF, the W-AGF serving the N5GC device shall complete the procedure by sending an EAP-Success message encapsulated in the link layer protocol packets.

# 6.3a Authentication for NSWO in 5GS

A UE that supports NSWO in 5GS and is configured to use NSWO in 5GS, shall not perform NSWO in EPS. NSWO in 5GS capability can be enabled and disabled via configuration on the USIM (see 3GPP TS 31.102 [35]) or on the ME. Configuration on the USIM shall take precedence over the ME.

In order to use NSWO in 5GS, and if the WLAN access network requires 5GS-based authentication of a UE to connect to the WLAN, the UE shall perform the EAP-AKA' authentication procedure as specified in 3GPP TS 33.501 [5] annex S.3. The UE shall use as its identity the SUCI in NAI format for NSWO in 5GS as defined in clause 28.7.12 of 3GPP TS 23.003 [8].

NOTE: The same NAI format is used over both trusted and untrusted non-3GPP access networks for NSWO in 5GS, which is different from the NAI format used for registration over trusted non-3GPP access specified in clause 28.7.6 of 3GPP TS 23.003 [8].

Upon receipt of an EAP-Request/AKA'-Challenge message the UE shall apply the rules for comparison of the locally determined ANID "5G:NSWO" (see table 8.1.1.2-2 of 3GPP TS 24.302 [7]) and the Network Name field of the AT\_KDF\_INPUT attribute received in the EAP-Request/AKA'-Challenge message as specified in IETF RFC 5448 [38].

A roaming UE that supports NSWO in 5GS and is configured to use NSWO in 5GS shall use as its identity the SUCI in decorated NAI format as specified for NSWO in 5GS in clause 28.7.9 of 3GPP TS 23.003 [8].

Editor's note (CR#0211, NSWO\_5G): Need for decorated NAI format for NSWO in 5GS is FFS.

# 6.4 Handling of ANDSP Information

## 6.4.1 General

The Access Network Discovery & Selection policy (ANDSP) is used to control UE behavior related to access network discovery and selection of trusted and untrusted non-3GPP access network.

NOTE: ANDSP does not influence access network discovery and selection of wireline access network.

ANDSP consists of:

- WLAN Selection Policy (WLANSP); and
- Non-3GPP access network (N3AN) node configuration information.

The UE uses the WLANSP for selecting the WLAN.

The UE uses the Non-3GPP access network (N3AN) node configuration information for selecting a N3AN node (i.e. N3IWF or ePDG).

When roaming, the UE can receive ANDSP including WLANSP from H-PCF or V-PCF or both. The ANDSP including N3AN node configuration information is provided by -PCF only. The UE shall ignore the N3AN node configuration information in the ANDSP if the ANDSP is provided by V-PCF.

The structure and the content of ANDSP are defined in 3GPP TS 24.526 [17].

## 6.4.2 UE procedures

#### 6.4.2.1 General

When ANDSP is modified based on information received from network as specified in 3GPP TS 24.501 [4] Annex D, the UE shall re-evaluate the ANDSP.

The received ANDSP information shall not impact the PLMN selection and reselection procedures specified in 3GPP TS 23.122 [13].

The UE shall periodically re-evaluate ANDSP. The value of the periodic re-evaluation timer is implementation dependent. The additional trigger for (re-)evaluating ANDSP is when the active WLANSP rule becomes invalid (conditions no longer fulfilled), or other manufacturer specific trigger.

#### 6.4.2.2 Use of WLAN selection information

During automatic mode WLAN selection, the UE shall use the WLAN selection policy (WLANSP), if provided by the PCF, to determine the selected WLAN as described in clause 5.3.

#### 6.4.2.3 Use of N3AN node configuration information

If the UE accesses 5GCN via the non-3GPP access, the UE shall use the N3AN node configuration information to select an N3AN node as described in clause 7.2, to be used for establishing IKEv2 security association as described in clause 7.3.

# 6.4.3 ANDSP information from the network

ANDSP information is provided by the network to the UE using the UE policy delivery procedure described in Annex D of 3GPP TS 24.501 [4].

# 7 Security association management procedures

# 7.1 General

The purpose of the security association management procedures is to define the procedures for establishment or disconnection of end-to-end security association between the UE and the N3IWF via an IKEv2 protocol exchange specified in IETF RFC 7296 [6]. The IKE SA and child signalling IPsec SA establishment procedure is always initiated by the UE, whereas the child user plane IPsec SA creation procedures shall be initiated by the N3IWF as specified in 3GPP TS 23.502 [3].

The UE selects an N3IWF according to the procedure in clause 7.2. Once the N3IWF has been selected, the security associations are established managed according to the procedures in clause 7.3 to clause 7.7.

If a non-3GPP access network does not support transport of IP fragments, the maximum size of an IKEv2 message including the IP header is equal to the path MTU between the UE and N3IWF.

EXAMPLE: If a non-3GPP access network is an IPv6 only network which does not support transport of IP fragments and the path MTU between the UE and the N3IWF is 1280 octets then the maximum size of an IKEv2 message including IP header is 1280 octets.

# 7.2 N3AN node selection procedure

## 7.2.1 General

The UE performs N3AN node selection procedure based on the N3AN node configuration information provisioned to the UE by the HPLMN, based on the UE's knowledge of the country the UE is located in and the PLMN the UE is registered to via 3GPP access and based on the list of "forbidden PLMNs for non-3GPP access to 5GCN".

Clauses 7.2.1, 7.2.2, 7.2.3, 7.2.4 and 7.2.6 are applicable to a UE selecting an N3AN node in a PLMN. For a UE accessing PLMN services via an SNPN, restrictions on N3IWF FQDN are specified in clause 4.3.2.

Clause 7.2.5 is applicable to a UE selecting an N3AN node in an SNPN.

# 7.2.2 N3AN node configuration information

The N3AN node configuration information is provisioned to the UE either by H-PCF or via implementation specific means. The UE shall apply the N3AN node configuration information provisioned via implementation specific means only if the N3AN node configuration information provisioned by the H-PCF is not present in the UE.

The N3AN node configuration information shall consist of the following:

- N3AN node selection information;
- optionally, home N3IWF identifier configuration; and
- optionally, home ePDG identifier configuration.

The N3AN node selection information consists of N3AN node selection information entries. Each N3AN node selection information entry contains a PLMN ID and information for the PLMN ID. The N3AN node selection information contains at least an N3AN node selection information entry with information for the HPLMN and an N3AN node selection information entry for "any\_PLMN".

The N3AN node configuration information provisioned by H-PCF is as specified in 3GPP TS 24.501 [4] annex D and 3GPP TS 24.526 [17].

The UE shall support the implementation of standard DNS mechanisms in order to retrieve the IP address(es) of the N3IWF or ePDG. The input to the DNS query is an N3IWF FQDN or ePDG FQDN as specified in 3GPP TS 23.003 [8].

# 7.2.3 Determination of the country the UE is located in

If the UE cannot determine whether it is located in the home country or in a visited country, as required by the N3AN node selection procedure, the UE shall stop the N3AN node selection. Once the UE determines the country the UE is located in, the UE shall proceed with N3AN node selection as specified in clause 7.2.4 for non-emergency services and as specified in clause 7.2.6 for emergency services.

NOTE: It is out of scope of the present specification to define how the UE determines whether it is located in the home country or in a visited country or in a location that does not belong to any country. When the UE is in coverage of a 3GPP RAT, it can, for example, use the information derived from the available PLMN(s). In this case, the UE can match the MCC of the PLMN to which a cell belongs, broadcast on the BCCH of the 3GPP access, against the UE's IMSI to determine if they belong to the same country, as defined in 3GPP TS 23.122 [13]. If the UE is not in coverage of a 3GPP RAT, the UE can use other techniques, including user-provided location.

## 7.2.4 N3AN node selection for non-emergency services

#### 7.2.4.1 General

When the UE supports connectivity with N3IWF but does not support connectivity with ePDG, the UE shall perform the procedure in clause 7.2.4.3 for selecting an N3IWF.

When the UE supports connectivity with N3IWF and ePDG, the UE shall perform the procedure in clause 7.2.4.4 for selecting either an N3IWF or an ePDG.

# 7.2.4.2 Determine if the visited country mandates the selection of N3IWF in this country

In order to determine if the visited country mandates the selection of N3IWF in this country, the UE shall perform the DNS NAPTR query using Visited Country FQDN as specified in 3GPP TS 23.003 [8] via the non-3GPP access network.

If the result of this query is:

- a set of one or more records containing the service instance names of the form "*n3iwf.5gc.mnc<MNC>.mcc<MCC>.pub.3gppnetwork.org*", the UE shall determine that the visited country mandates the selection of the N3IWF in this country; and
- NOTE: The (<MCC>, <MNC>) pair in each record represents PLMN Id (see 3GPP TS 23.003 [8]) in the visited country which can be used for N3IWF selection in clause 7.2.4.3 and clause 7.2.4.4.
- no records containing the service instance names of the form
   "n3iwf.5gc.mnc<MNC>.mcc<MCC>.pub.3gppnetwork.org", the UE shall determine that the visited country does not mandate the selection of the N3IWF in this country.

#### 7.2.4.3 UE procedure when the UE only supports connectivity with N3IWF

If the UE only supports connectivity with N3IWF and does not support connectivity with ePDG, the UE shall ignore the following ePDG related configuration parameters if available in the N3AN node configuration information when selecting an N3IWF:

- the home ePDG identifier configuration; and
- the preference parameter in each N3AN node selection information entry in the N3AN node selection information.

The UE shall proceed as follows:

- a) if the UE is located in its home country:
  - 1) if the N3AN node configuration information is provisioned:
    - i) if the home N3IWF identifier configuration is provisioned in the N3AN node configuration information and contains an IP address, the UE shall use the IP address of the home N3IWF identifier configuration as the IP address of the N3IWF;
    - ii) if the home N3IWF identifier configuration is provisioned in the N3AN node configuration information and does not contain an IP address, the UE shall use the FQDN of the home N3IWF identifier configuration as the N3IWF FQDN; and
    - iii) if the home N3IWF identifier configuration is not provisioned in the N3AN node configuration information, the UE shall construct an N3IWF FQDN based on the FQDN format of the HPLMN's N3AN node selection information entry in the N3AN node selection information using the PLMN ID of the HPLMN stored on the USIM as specified in 3GPP TS 23.003 [8]; and
  - if the N3AN node configuration information is not provisioned on the UE, the UE shall construct the N3IWF FQDN based on the Operator Identifier FQDN format using the PLMN ID of the HPLMN stored on the USIM;

and for the above cases constructing or using an N3IWF FQDN, the UE shall use the DNS server function to resolve the N3IWF FQDN to the IP address(es) of the N3IWF(s). The UE shall select as the IP address of the N3IWF a resolved IP address of an N3IWF with the same IP version as its local IP address; and

b) if the UE is not located in its home country:

if the N3AN node configuration information is provisioned, the UE is registered to a VPLMN via 3GPP access, the PLMN ID of VPLMN is not included in the list of "forbidden PLMNs for non-3GPP access to 5GCN", and an N3AN node selection information entry for the VPLMN is available in the N3AN node selection information of the N3AN node configuration information, the UE shall construct an N3IWF FQDN based on FQDN format of the VPLMN's N3AN node selection information entry in the N3AN node selection information using the PLMN ID of the VPLMN as specified in 3GPP TS 23.003 [8];

and for the above case, the UE shall use the DNS server function to resolve the constructed N3IWF FQDN to the IP address(es) of the N3IWF(s). The UE shall select as the IP address of the N3IWF a resolved IP address of an N3IWF with the same IP version as its local IP address; and

- 2) if one of the following is true:
  - the UE is not registered to a PLMN via 3GPP access and the UE uses WLAN;
  - the N3AN node configuration information is not provisioned; or
  - the N3AN node configuration information is provisioned, the UE is registered to a VPLMN via 3GPP access and:
    - A) the PLMN ID of VPLMN is included in the list of "forbidden PLMNs for non-3GPP access to 5GCN"; or
    - B) the N3AN node selection information entry for the VPLMN is not present in the N3AN node selection information;

the UE shall perform a DNS query (see 3GPP TS 23.003 [8]) as specified in clause 7.2.4.2 to determine if the visited country mandates the selection of N3IWF in this country and:

- i) if selection of N3IWF in visited country is mandatory:
  - A) if the UE is registered to a VPLMN via 3GPP access, the PLMN ID of VPLMN is included in one of the returned DNS records and is not included in the list of "forbidden PLMNs for non-3GPP access to 5GCN", the UE shall construct an N3IWF FQDN based on the Operator Identifier FQDN format using the PLMN ID of the VPLMN in 3GPP access as described in 3GPP TS 23.003 [8]; and
  - B) if the UE is not registered to a PLMN via 3GPP access or the UE is registered to a VPLMN via 3GPP access and the PLMN ID of VPLMN is not included in any of the returned DNS records or is included in the list of "forbidden PLMNs for non-3GPP access to 5GCN":
    - if the N3AN node configuration information is provisioned, the UE shall select a PLMN included in the DNS response that has highest PLMN priority (see 3GPP TS 24.526 [17]) in the N3AN node selection information of the N3AN node configuration information excluding any PLMN in the list of "forbidden PLMNs for non-3GPP access to 5GCN" and the UE shall construct an N3IWF FQDN based on the FQDN format of the selected PLMN's N3AN node selection information entry in the N3AN node selection information using the PLMN ID of the selected PLMN as specified in 3GPP TS 23.003 [8]; and
    - if the N3AN node configuration information is not provisioned or the N3AN node selection information of the N3AN node configuration information excluding any PLMN in the list of "forbidden PLMNs for non-3GPP access to 5GCN" does not contain any of the PLMNs in the DNS response, selection of a PLMN of the visited country is UE implementation specific. If the UE does not select a PLMN, the UE shall terminate the N3AN node selection procedure. If the UE selects a PLMN, the UE shall construct an N3IWF FQDN based on the Operator Identifier FQDN format using the PLMN ID of the selected PLMN as described in 3GPP TS 23.003 [8];

and for the above cases, the UE shall use the DNS server function to resolve the constructed N3IWF FQDN to the IP address(es) of the N3IWF(s). The UE shall select as the IP address of the N3IWF a resolved IP address of an N3IWF with the same IP version as its local IP address;

 ii) if the DNS response contains no records, the UE shall further determine if the visited country mandates the selection of ePDG in the visited country using the procedure specified in clause 7.2.1.4 of 3GPP TS 24.302 [7]. If the UE determines that the visited country mandates the selection of ePDG in the visited country, the UE shall assume that the selection of N3IWF in the visited country is mandatory and shall terminate the N3AN node selection procedure.

- If the UE determines that the visited country does not mandate the selection of ePDG in the visited country, the UE shall assume that the selection of N3IWF in the visited country is not mandatory, then the UE shall proceed as below:
  - A) if the N3AN node configuration information is provisioned and the N3AN node selection information of the N3AN node configuration information contains one or more PLMNs in the visited country which are not in the list of "forbidden PLMNs for non-3GPP access to 5GCN", the UE shall select a PLMN that has highest PLMN priority (see 3GPP TS 24.526 [17]) in the N3AN node selection information excluding any PLMN in the list of "forbidden PLMNs for non-3GPP access to 5GCN" and the UE shall construct an N3IWF FQDN based on the FQDN format of the selected PLMN's N3AN node selection information entry in the N3AN node selection information as specified in 3GPP TS 23.003 [8] using the PLMN ID of the selected PLMN; and
  - B) if the N3AN node configuration information is not provisioned or the N3AN node configuration information is provisioned and the N3AN node selection information of the N3AN node configuration information excluding any PLMN in the list of "forbidden PLMNs for non-3GPP access to 5GCN" contains no PLMNs in the visited country:
    - if the home N3IWF identifier configuration is provisioned in the N3AN node configuration information (see 3GPP TS 24.526 [17]) and contains an IP address, the UE shall use the IP address of the home N3IWF identifier configuration as the IP address of the N3IWF;
    - if the home N3IWF identifier configuration is provisioned in the N3AN node configuration information (see 3GPP TS 24.526 [17]) and does not contain an IP address, the UE shall use the FQDN of the home N3IWF identifier configuration as the N3IWF FQDN; and
    - if the home N3IWF identifier configuration is not provisioned in the N3AN node configuration information, the UE shall construct an N3IWF FQDN based on the Operator Identifier FQDN format using the PLMN ID of the HPLMN as described in 3GPP TS 23.003 [8];

and for the above cases constructing or using an N3IWF FQDN, the UE shall use the DNS server function to resolve the N3IWF FQDN to the IP address(es) of the N3IWF(s). The UE shall select as the IP address of the N3IWF a resolved IP address of an N3IWF with the same IP version as its local IP address; and

iii) if no DNS response is received, the UE shall terminate the N3AN node selection procedure.

Following bullet a) and b) above, once the UE selected the IP address of the N3IWF, the UE shall initiate the IKEv2 SA establishment procedure as specified in clause 7.3.

If the IKEv2 SA establishment procedure towards an N3IWF in the HPLMN fails due to no response to an IKE\_SA\_INIT request message, and the selection of N3IWF in the HPLMN is performed using home N3IWF identifier configuration and there are more pre-configured N3IWFs in the HPLMN, the UE shall repeat the tunnel establishment attempt using the next FQDN or IP address(es) of the N3IWF in the HPLMN.

If the IKEv2 SA establishment procedure towards to any of the received IP addresses of the selected N3IWF fails due to no response to an IKE\_SA\_INIT request message, then the UE shall repeat the N3IWF selection as described in this clause, excluding the N3IWFs for which the UE did not receive a response to the IKE\_SA\_INIT request message.

If the UE constructed an N3IWF FQDN based on FQDN format of the VPLMN's N3AN node selection information entry (see item b).1)), and the IKEv2 SA establishment procedure towards to each of the received IP addresses of the selected N3IWF failed due to no response to an IKE\_SA\_INIT request message, the UE considers the N3AN node selection information entry for the VPLMN as not present in the N3AN node selection information and the UE shall repeat the N3IWF selection as described in this clause.

NOTE: The time the UE waits before reattempting access to another N3IWF or to an N3IWF that it previously did not receive a response to an IKE\_SA\_INIT request message, is implementation specific.

#### 7.2.4.4 UE procedure when the UE supports connectivity with N3IWF and ePDG

#### 7.2.4.4.1 General

If the UE can support connectivity with N3IWF and with ePDG, the UE shall:

- if the N3AN node selection is required for an IMS service, follow steps specified in clause 7.2.4.4.2 for N3AN node selection; and
- if the N3AN node selection is required for a non-IMS service, follow steps specified in clause 7.2.4.4.3 for N3AN node selection.
- NOTE: How the UE determines node selection is required for an IMS service or for a non-IMS service is implementation-specific.

#### 7.2.4.4.2 N3AN node selection for IMS service

If the N3AN node selection is required for an IMS service, the UE shall use the preference parameter in the N3AN node selection information entries of the N3AN node selection information to determine whether selection of N3IWF or ePDG is preferred in a given PLMN.

The UE shall proceed as follows:

- a) if the UE is located in its home country:
  - 1) if the N3AN node configuration information is provisioned:
    - i) if the preference parameter in the HPLMN's N3AN node selection information entry of the N3AN node selection information indicates that N3IWF is preferred:
      - A) if the home N3IWF identifier configuration is provisioned in the N3AN node configuration information and contains an IP address, the UE shall use the IP address of the home N3IWF identifier configuration as the IP address of the N3IWF;
      - B) if the home N3IWF identifier configuration is provisioned in the N3AN node configuration information and does not contain an IP address, the UE shall use the FQDN of the home N3IWF identifier configuration as the N3IWF FQDN; and
      - C) if the home N3IWF identifier configuration is not provisioned in the N3AN node configuration information, the UE shall construct an N3IWF FQDN based on the FQDN format of the HPLMN's N3AN node selection information entry in the N3AN node selection information using the PLMN ID of the HPLMN stored on the USIM as specified in clause 28 of 3GPP TS 23.003 [8]; and
    - ii) if the preference parameter in the HPLMN's N3AN node selection information entry of the N3AN node selection information indicates that ePDG is preferred:
      - A) if the home ePDG identifier configuration is provisioned in the N3AN node configuration information and contains an IP address, the UE shall use the IP address of the home ePDG identifier configuration as the IP address of the ePDG;
      - B) if the home ePDG identifier configuration is provisioned in the N3AN node configuration information and does not contains an IP address, the UE shall use the FQDN of the home ePDG identifier configuration as the ePDG FQDN; and
      - C) if the home ePDG identifier configuration is not provisioned in the N3AN node configuration information, the UE shall construct an ePDG FQDN based on the FQDN format of HPLMN's N3AN node selection information entry in the N3AN node selection information using the PLMN ID of the HPLMN stored on the USIM as specified in clause 19 of 3GPP TS 23.003 [8]; and
  - if the N3AN node configuration information is not provisioned on the UE, the UE shall construct the N3IWF FQDN based on the Operator Identifier FQDN format using the PLMN ID of the HPLMN stored on the USIM;

and for the above cases constructing or using an N3IWF FQDN or ePDG FQDN, the UE shall use the DNS server function to resolve the N3IWF FQDN or ePDG FQDN to the IP address(es) of the N3IWF(s) or ePDG(s).

The UE shall select as the IP address of the N3IWF or of the ePDG a resolved IP address of an N3IWF or an ePDG with the same IP version as its local IP address; and

- b) if the UE is not located in its home country:
  - if the N3AN node configuration information is provisioned, the UE is registered to a VPLMN via 3GPP access and the PLMN ID of VPLMN is not included in the list of "forbidden PLMNs for non-3GPP access to 5GCN":
    - i) if an N3AN node selection information entry for the VPLMN is available in the N3AN node selection information of the N3AN node configuration information:
      - A) if the preference parameter in the VPLMN's N3AN node selection information entry of the N3AN node configuration information indicates that N3IWF is preferred, the UE shall construct an N3IWF FQDN based on the FQDN format of the VPLMN's N3AN node selection information entry in the N3AN node selection information using the PLMN ID of the VPLMN as specified in clause 28 of 3GPP TS 23.003 [8]; and
      - B) if the preference parameter in the VPLMN's N3AN node selection information entry of the N3AN node configuration information indicates that ePDG is preferred, the UE shall construct an ePDG FQDN based on the FQDN format of the VPLMN's N3AN node selection information entry in the N3AN node selection information using the PLMN ID of the VPLMN as specified in clause 19 of 3GPP TS 23.003 [8];

and for above case, the UE shall use the DNS server function to resolve the constructed N3IWF FQDN or ePDG FQDN to the IP address(es) of the N3IWF(s) or ePDG(s). The UE shall select as the IP address of the N3IWF or the ePDG a resolved IP address of an N3IWF or ePDG with the same IP version as its local IP address; and

- 2) if one of the following is true:
  - the UE is not registered to a PLMN via 3GPP access and the UE uses WLAN;
  - the N3AN node configuration information is not provisioned; or
  - the N3AN node configuration information is provisioned, the UE is registered to a VPLMN via 3GPP access and:
    - A) the PLMN ID of VPLMN is included in the list of "forbidden PLMNs for non-3GPP access to 5GCN"; or
    - B) the N3AN node selection information entry for the VPLMN is not present in the N3AN node selection information;

the UE shall perform a DNS query (see 3GPP TS 23.003 [8]) as specified in clause 7.2.4.2 to determine if the visited country mandates the selection of N3IWF in this country and:

- i) if selection of N3IWF in the visited country is mandatory:
  - A) if the UE is registered to a VPLMN via 3GPP access, the PLMN ID of VPLMN is included in one of the returned DNS records and is not included in the list of "forbidden PLMNs for non-3GPP access to 5GCN", the UE shall construct an N3IWF FQDN based on the Operator Identifier FQDN format using the PLMN ID of the VPLMN as described in clause 28 of 3GPP TS 23.003 [8]; and
  - B) if the UE is not registered to a PLMN via 3GPP access, or the UE is registered to a VPLMN via 3GPP access and the PLMN ID of VPLMN is not included in any of the returned DNS records or is included in the list of "forbidden PLMNs for non-3GPP access to 5GCN":
    - if the N3AN node configuration information is provisioned, the UE shall select an a PLMN included in the DNS response that has highest PLMN priority (see 3GPP TS 24.526 [17]) in the N3AN node selection information of the N3AN node configuration information excluding any PLMN in the list of "forbidden PLMNs for non-3GPP access to 5GCN" and the UE shall construct an N3IWF FQDN based on the FQDN format of the selected PLMN's N3AN node selection information entry in the N3AN node selection information using the PLMN ID of the selected PLMN as specified clause 28 of in 3GPP TS 23.003 [8]; and

 if the N3AN node configuration information is not provisioned or the N3AN node selection information of the N3AN node configuration information excluding any PLMN in the list of "forbidden PLMNs for non-3GPP access to 5GCN" does not contain any of the PLMNs in the DNS response, selection of the PLMN is UE implementation specific. The UE shall construct an N3IWF FQDN based on the Operator Identifier FQDN format using the PLMN ID of the selected PLMN as described clause 28 of in 3GPP TS 23.003 [8];

and for the above cases, the UE shall use the DNS server function to resolve the constructed N3IWF FQDN to the IP address(es) of the N3IWF(s). The UE shall select as the IP address of the N3IWF a resolved IP address of an N3IWF with the same IP version as its local IP address;

 ii) if the DNS response contains no records, the UE shall further determine if the visited country mandates the selection of ePDG in the visited country using the procedure specified in clause 7.2.1.4 of 3GPP TS 24.302 [7].

If the UE determines that the visited country mandates the selection of ePDG in the visited country, the UE shall assume that the selection of N3IWF in the visited country is mandatory and shall continue the ePDG selection procedure in the visited country, specified in clause 7.2.1.3 of 3GPP TS 24.302 [7].

If the UE determines that the visited country does not mandate the selection of ePDG in the visited country, the UE shall assume that the selection of N3IWF in the visited country is not mandatory and the UE shall proceed as below:

- A) if the N3AN node configuration information is provisioned and the N3AN node selection information of the N3AN node configuration information contains one or more PLMNs in the visited country which are not included in the list of "forbidden PLMNs for non-3GPP access to 5GCN", the UE shall select a PLMN that has highest PLMN priority (see 3GPP TS 24.526 [17]) in the N3AN node selection information excluding any PLMN in the list of "forbidden PLMNs for non-3GPP access to 5GCN" and the UE shall construct an N3IWF FQDN based on the FQDN format of the selected PLMN's N3AN node selection information entry in the N3AN node selection information using the PLMN ID of the selected PLMN as specified in clause 28 of 3GPP TS 23.003 [8]; and
- B) if the N3AN node configuration information is not provisioned or the N3AN node configuration information is provisioned and the N3AN node selection information of the N3AN node configuration information excluding any PLMN in the list of "forbidden PLMNs for non-3GPP access to 5GCN" contains no PLMN in the visited country:
  - if the home N3IWF identifier configuration is provisioned in the N3AN node configuration information (see 3GPP TS 24.526 [17]) and contains an IP address, the UE shall use the IP address of the home N3IWF identifier configuration as the IP address of the N3IWF;
  - if the home N3IWF identifier configuration is provisioned in the N3AN node configuration information (see 3GPP TS 24.526 [17]) and does not contains an IP address, the UE shall use the FQDN of the home N3IWF identifier configuration as N3IWF FQDN; and
  - if the home N3IWF identifier configuration is not provisioned in the N3AN node configuration information, the UE shall construct an N3IWF FQDN based on the Operator Identifier FQDN format using the PLMN ID of the HPLMN as described in clause 28 of 3GPP TS 23.003 [8];

and for the above cases constructing or using an N3IWF FQDN, the UE shall use the DNS server function to resolve the N3IWF FQDN to the IP address(es) of the N3IWF(s). The UE shall select as the IP address of the N3IWF a resolved IP address of an N3IWF with the same IP version as its local IP address; and

iii) if no DNS response is received, the UE shall terminate the N3AN node selection procedure.

Following bullet a) and b) above, once the UE selected the IP address of the N3IWF or the ePDG:

- a) if the IP address of N3IWF is selected, the UE shall:
  - i) initiate the IKEv2 SA establishment procedure as specified in clause 7.3;
  - ii) if the IKEv2 SA establishment procedure towards an N3IWF in the HPLMN fails due to no response to an IKE\_SA\_INIT request message or the UE is informed during registration over non-3GPP access that the IMS voice over PS session is not supported over non-3GPP access, and the selection of N3IWF in the HPLMN is performed using home N3IWF identifier configuration and there are more pre-configured N3IWFs in the

HPLMN, repeat the tunnel establishment attempt using the next FQDN or IP address(es) of the N3IWF in the HPLMN;

- iii) if the IKEv2 SA establishment procedure towards any of the received IP addresses of the selected N3IWF fails due to no response to an IKE\_SA\_INIT request message or the UE is informed during registration over non-3GPP access that the IMS voice over PS session is not supported over non-3GPP access, attempt to select an ePDG in the same PLMN as specified in 3GPP TS 24.302 [7] instead;
- iv) if the UE fails to connect to either N3IWF or ePDG in the same PLMN, repeat the N3AN node selection as described in this clause, excluding the N3IWFs for which the UE did not receive a response to the IKE\_SA\_INIT request message; and
- v) if the UE fails to connect to either N3IWF or ePDG in the VPLMN with which it is registered via 3GPP access, the UE considers the N3AN node selection information entry for the VPLMN as not present in the N3AN node selection information and the UE shall repeat the N3IWF selection as described in this clause;
- NOTE 1: The time the UE waits before reattempting access to another N3IWF or to an N3IWF that it previously did not receive a response to an IKE\_SA\_INIT request message, is implementation specific.
- b) if the IP address of ePDG is selected, the UE shall:
  - i) initiate tunnel establishment as specified in 3GPP TS 24.302 [7];
  - ii) if tunnel establishment as specified in 3GPP TS 24.302 [7] towards an ePDG in the HPLMN fails due to no response to an IKE\_SA\_INIT request message, and the selection of ePDG in the HPLMN is performed using home ePDG identifier configuration and there are more pre-configured ePDG in the HPLMN, repeat the tunnel establishment attempt using the next FQDN or IP address(es) of the ePDG in the HPLMN;
  - iii) if tunnel establishment as specified in 3GPP TS 24.302 [7] towards any of the received IP addresses of the selected ePDG fails due to no response to an IKE\_SA\_INIT request message, attempt to select an N3IWF in the same PLMN instead;
  - iv) if the UE fails to connect to either ePDG or N3IWF in the same PLMN, repeat the N3AN node selection as described in this clause, excluding the ePDGs for which the UE did not receive a response to the IKE\_SA\_INIT request message; and
  - v) if the UE fails to connect to either ePDG or N3IWF in the VPLMN with which it is registered via 3GPP access, the UE considers the N3AN node selection information entry for the VPLMN as not present in the N3AN node selection information and the UE shall repeat the N3IWF selection as described in this clause.
- NOTE 2: The time the UE waits before reattempting access to another ePDG or to an ePDG that it previously did not receive a response to an IKE\_SA\_INIT request message, is implementation specific.

#### 7.2.4.4.3 N3AN node selection for Non-IMS service

If the N3AN node selection is required for a non-IMS service, the UE shall ignore the preference parameter in the N3AN node selection information entries of the N3AN node selection information.

The UE shall proceed as follows:

- a) if the UE is located in its home country:
  - 1) if the N3AN node configuration information is provisioned:
    - i) if the home N3IWF identifier configuration is provisioned in the N3AN node configuration information and contains an IP address, the UE shall use the IP address of the home N3IWF identifier configuration as the IP address of the N3IWF;
    - ii) if the home N3IWF identifier configuration is provisioned in the N3AN node configuration information and does not contain an IP address, the UE shall use the FQDN of the home N3IWF identifier configuration as the N3IWF FQDN; and
    - iii) if the home N3IWF identifier configuration is not provisioned in the N3AN node configuration information, the UE shall construct an N3IWF FQDN based on the FQDN format of the HPLMN's N3AN

node selection information entry in the N3AN node selection information using the PLMN ID of the HPLMN stored on the USIM as specified in clause 28 of 3GPP TS 23.003 [8]; and

2) if the N3AN node configuration information is not provisioned, the UE shall construct the N3IWF FQDN based on the Operator Identifier FQDN format using the PLMN ID of the HPLMN stored on the USIM;

and for the above cases constructing or using an N3IWF FQDN, the UE shall use the DNS server function to resolve the N3IWF FQDN to the IP address(es) of the N3IWF(s) or ePDG(s). The UE shall select as the IP address of the N3IWF a resolved IP address of an N3IWF with the same IP version as its local IP address; and

- b) if the UE is not located in its home country:
  - if the N3AN node configuration information is provisioned, the UE is registered to a VPLMN via 3GPP access, the PLMN ID of VPLMN is not included in the list of "forbidden PLMNs for non-3GPP access to 5GCN", and an N3AN node selection information entry for the VPLMN is available in the N3AN node selection information of the N3AN node configuration information, the UE shall construct an N3IWF FQDN based on the FQDN format of the VPLMN's N3AN node selection information entry in the N3AN node selection information using the PLMN ID of the VPLMN as specified in clause 28 of 3GPP TS 23.003 [8];

and for above case, the UE shall use the DNS server function to resolve the constructed N3IWF FQDN to the IP address(es) of the N3IWF(s). The UE shall select as the IP address of the N3IWF a resolved IP address of an N3IWF with the same IP version as its local IP address; and

- 2) if one of the following is true:
  - the UE is not registered to a PLMN via 3GPP access and the UE uses WLAN;
  - the N3AN node configuration information is not provisioned; or
  - the N3AN node configuration information is provisioned, the UE is registered to a VPLMN via 3GPP access and:
    - A) the PLMN ID of VPLMN is included in the list of "forbidden PLMNs for non-3GPP access to 5GCN"; or
    - B) the N3AN node selection information entry for the VPLMN is not present in the N3AN node selection information;

the UE shall perform a DNS query (see 3GPP TS 23.003 [8]) as specified in clause 7.2.4.2 to determine if the visited country mandates the selection of N3IWF in this country and:

- i) if selection of N3IWF in the visited country is mandatory:
  - A) if the UE is registered to a VPLMN via 3GPP access, the PLMN ID of VPLMN is included in one of the returned DNS records and is not included in the list of "forbidden PLMNs for non-3GPP access to 5GCN", the UE shall construct an N3IWF FQDN based on the Operator Identifier FQDN format using the PLMN ID of the VPLMN as described in clause 28 of 3GPP TS 23.003 [8]; and
  - B) if the UE is not registered to a PLMN via 3GPP access or the UE is registered to a VPLMN via 3GPP access and the PLMN ID of VPLMN is not included in any of the returned DNS records or is included in the list of "forbidden PLMNs for non-3GPP access to 5GCN":
    - if the N3AN node configuration information is provisioned, the UE shall select an a PLMN included in the DNS response that has highest PLMN priority (see 3GPP TS 24.526 [17]) in the N3AN node selection information of the N3AN node configuration information excluding any PLMN in the list of "forbidden PLMNs for non-3GPP access to 5GCN" and the UE shall construct an N3IWF FQDN based on the FQDN format of the selected PLMN's N3AN node selection information entry in the N3AN node selection information using the PLMN ID of the selected PLMN as specified in clause 28 of 3GPP TS 23.003 [8]; and
    - if the N3AN node configuration information is not provisioned or the N3AN node selection information of the N3AN node configuration information excluding any PLMN in the list of "forbidden PLMNs for non-3GPP access to 5GCN" does not contain any of the PLMNs in the DNS response, selection of the PLMN is UE implementation specific. The UE shall construct an

N3IWF FQDN based on the Operator Identifier FQDN format using the PLMN ID of the selected PLMN as described in clause 28 of 3GPP TS 23.003 [8];

and for the above cases, the UE shall use the DNS server function to resolve the constructed N3IWF FQDN to the IP address(es) of the N3IWF(s). The UE shall select as the IP address of the N3IWF a resolved IP address of an N3IWF with the same IP version as its local IP address;

 ii) if the DNS response contains no records, the UE shall further determine if the visited country mandates the selection of ePDG in the visited country using the procedure specified in clause 7.2.1.4 of 3GPP TS 24.302 [7].

determines that the visited country mandates the selection of ePDG in the visited country, the UE shall assume that the selection of N3IWF in the visited country is mandatory and shall continue the ePDG selection procedure in the visited country, specified in clause 7.2.1.3 of 3GPP TS 24.302 [7].

If the UE determines that the visited country does not mandate the selection of ePDG in the visited country, the UE shall assume that the selection of N3IWF in the visited country is not mandatory and the UE shall proceed as follows:

- A) if the N3AN node configuration information is provisioned and the N3AN node selection information of the N3AN node configuration information contains one or more PLMNs in the visited country which are not in the list of "forbidden PLMNs for non-3GPP access to 5GCN", the UE shall select a PLMN that has highest PLMN priority (see 3GPP TS 24.526 [17]) in the N3AN node selection information excluding any PLMN in the list of "forbidden PLMNs for non-3GPP access to 5GCN" and the UE shall construct an N3IWF FQDN based on the FQDN format of the selected PLMN's N3AN node selection information entry in the N3AN node selection information using the PLMN ID of the selected PLMN as specified in clause 28 of 3GPP TS 23.003 [8]; and
- B) if the N3AN node configuration information is not provisioned or the N3AN node configuration information is provisioned and the N3AN node selection information of the N3AN node configuration information excluding any PLMN in the list of "forbidden PLMNs for non-3GPP access to 5GCN" contains no PLMN in the visited country:
  - if the home N3IWF identifier configuration is provisioned in the N3AN node configuration information (see 3GPP TS 24.526 [17]) and contains an IP address, the UE shall use the IP address of the home N3IWF identifier configuration as the IP address of the N3IWF;
  - if the home N3IWF identifier configuration is provisioned in the N3AN node configuration information (see 3GPP TS 24.526 [17]) and does not contains an IP address, the UE shall use the FQDN of the home N3IWF identifier configuration as N3IWF FQDN; and
  - if the home N3IWF identifier configuration is not provisioned in the N3AN node configuration information, the UE shall construct an N3IWF FQDN based on the Operator Identifier FQDN format using the PLMN ID of the HPLMN as described in clause 28 of 3GPP TS 23.003 [8];

and for the above cases constructing or using an N3IWF FQDN, the UE shall use the DNS server function to resolve the N3IWF FQDN to the IP address(es) of the N3IWF(s). The UE shall select as the IP address of the N3IWF a resolved IP address of an N3IWF with the same IP version as its local IP address; and

iii) if no DNS response is received, the UE shall terminate the N3AN node selection procedure.

Following bullet a) and b) above, once the UE selected the IP address of the N3IWF:

- a) if the IP address of N3IWF is selected, the UE shall:
  - 1) initiate the IKEv2 SA establishment procedure as specified in clause 7.3;
  - 2) if the IKEv2 SA establishment procedure towards an N3IWF in the HPLMN fails due to no response to an IKE\_SA\_INIT request message, and the selection of N3IWF in the HPLMN is performed using home N3IWF identifier configuration and there are more pre-configured N3IWFs in the HPLMN, repeat the tunnel establishment attempt using the next FQDN or IP address(es) of the N3IWF in the HPLMN;
  - if the IKEv2 SA establishment procedure towards any of the IP addresses of the N3IWF of the selected PLMN fails due to no response to an IKE\_SA\_INIT request message, repeat the N3AN node selection as described in this clause with N3IWF of another PLMN;

- 4) if the IKEv2 SA establishment procedure towards any of the received IP addresses of the N3IWF of any fails due to no response to an IKE\_SA\_INIT request message, attempt to select an ePDG as specified in 3GPP TS 24.302 [7] and use tunnel establishment as specified in 3GPP TS 24.302 [7]; and
- 5) if the UE fails to connect to either N3IWF or ePDG in the VPLMN with which it is registered via 3GPP access, the UE considers the N3AN node selection information entry for the VPLMN as not present in the N3AN node selection information and the UE shall repeat the N3IWF selection as described in this clause;
- NOTE 1: The time the UE waits before reattempting access to another N3IWF or to an N3IWF that it previously did not receive a response to an IKE\_SA\_INIT request message, is implementation specific.
- b) if the IP address of ePDG is selected, the UE shall:
  - i) initiate tunnel establishment as specified in 3GPP TS 24.302 [7];
  - ii) if tunnel establishment as specified in 3GPP TS 24.302 [7] towards an ePDG in the HPLMN fails due to no response to an IKE\_SA\_INIT request message, and the selection of ePDG in the HPLMN is performed using home ePDG identifier configuration and there are more pre-configured ePDG in the HPLMN, repeat the tunnel establishment attempt using the next FQDN or IP address(es) of the ePDG in the HPLMN;
  - iii) if tunnel establishment as specified in 3GPP TS 24.302 [7] towards any of the received IP addresses of the selected ePDG fails due to no response to an IKE\_SA\_INIT request message, attempt to select an N3IWF in the same PLMN instead;
  - iv) if the UE fails to connect to either ePDG or N3IWF in the same PLMN, repeat the N3AN node selection as described in this clause, excluding the ePDGs for which the UE did not receive a response to the IKE\_SA\_INIT request message; and
  - v) if the UE fails to connect to either ePDG or N3IWF in the VPLMN with which it is registered via 3GPP access, the UE considers the N3AN node selection information entry for the VPLMN as not present in the N3AN node selection information and the UE shall repeat the N3IWF selection as described in this clause.
- NOTE 2: The time the UE waits before reattempting access to another ePDG or to an ePDG that it previously did not receive a response to an IKE\_SA\_INIT request message, is implementation specific.

## 7.2.5 Selection of an N3AN node in an SNPN

In order to access SNPN services via a PLMN, an SNPN enabled UE is configured with an N3IWF FQDN for the SNPN and with an MCC of the country where the configured N3IWF is located. To select an N3IWF in an SNPN, the UE shall first determine the country in which the UE is located. If the UE cannot determine the country in which the UE is located, the UE shall stop the SNPN N3IWF selection. If the UE can determine the country in which the UE is located, the UE shall proceed as follows:

NOTE 1: It is up to UE implementation how the UE determines the country in which the UE is located.

- a) if the UE is located in the country where the configured N3IWF is located, the UE shall use the configured N3IWF FQDN for the SNPN N3IWF selection; or
- b) if the UE is located in a country different from the country where the configured N3IWF is located:
  - 1) the UE shall construct a Visited Country FQDN for SNPN N3IWF selection as specified in 3GPP TS 23.003 [8]; and
  - 2) the UE shall perform the DNS NAPTR query using the constructed Visited Country FQDN for SNPN N3IWF selection. If:
    - i) the result of this DNS query includes:
      - A) a set of one or more records, the UE shall select an N3IWF FQDN included in the DNS response based on UE implementation means and use the selected N3IWF FQDN for the SNPN N3IWF selection; or
- NOTE 2: If the visited country mandates the selection of the N3IWF in this country and the SNPN does not have the N3IWF in this country, DNS resolution of the selected N3IWF FQDN provides no IP addresses, resulting into stop of the SNPN N3IWF selection.

- NOTE 3: The identity (i.e. in the corresponding DNS record) of an SNPN's N3IWF in the visited country can be any FQDN and is not required to include the SNPN identity.
  - B) no records, the UE shall use the configured N3IWF FQDN for the SNPN N3IWF selection; or
  - ii) there is no response to the DNS query, the UE shall stop the SNPN N3IWF selection.

#### 7.2.6 N3AN node selection for emergency services

#### 7.2.6.1 General

If the UE is connected to an N3IWF that is in the same country as the country in which the UE is currently in and the AMF has previously indicated support for emergency services over non-3GPP access (see 3GPP TS 24.501 [4]), the UE shall use the existing N3IWF connection for emergency services. Otherwise, the UE shall perform the IKEv2 deletion procedure for the existing N3IWF connection and initiate N3AN node selection procedure for emergency services as described below.

When the UE supports connectivity with N3IWF but does not support connectivity with ePDG, the UE shall perform the procedure in clause 7.2.6.2 for selecting an N3IWF for emergency services.

When the UE supports connectivity with N3IWF and ePDG, the UE shall perform the procedure in clause 7.2.6.3 for selecting either an N3IWF or an ePDG for emergency services.

#### 7.2.6.2 UE procedure when the UE only supports connectivity with N3IWF

If the UE is in the home country, the UE shall follow the procedure in clause 7.2.4.3 bullet a).

If the UE is in a visited country, the UE shall perform the DNS NAPTR query using Visited Country Emergency N3IWF FQDN as specified in 3GPP TS 23.003 [8] via the non-3GPP access network to determine PLMNs in the visited country that support emergency services in non-3GPP access via N3IWF. If the DNS response contains one or more records, the UE shall select a PLMN included in the DNS response that has highest PLMN priority (see 3GPP TS 24.526 [17]) in the N3AN node selection information, excluding any PLMN in the list of "forbidden PLMNs for non-3GPP access to 5GCN". The UE shall construct an N3IWF FQDN based on the FQDN format of the selected PLMN's N3AN node selection information entry in the N3AN node selection information using the PLMN ID of the selected PLMN as specified in 3GPP TS 23.003 [8]. If none of the PLMNs included in the DNS response figures in the N3AN node selection information is not provisioned, the UE shall select any of the PLMNs included in the DNS response and shall construct an N3IWF FQDN based on the Operator Identifier based N3IWF FQDN format.

If the emergency registration procedure has failed for all attempted PLMNs, or the DNS response in the visited country does not contain any record, the UE shall abort the procedure.

NOTE: The UE can notify the user that an emergency session cannot be established.

#### 7.2.6.3 UE procedure when the UE supports connectivity with N3IWF and ePDG

If the UE is in the home country, the UE shall follow the steps in clause 7.2.4.4.2 bullet a), except that:

- in bullet a)1)i), if the emergency registration fails, the UE shall attempt to select an ePDG in the home country using the steps under bullet a)1)ii); and
- in bullet a)1)ii):
  - Emergency ePDG FQDN shall be used instead of home ePDG identifier; and
  - If the emergency registration fails, the UE shall attempt to select an N3IWF in the home country using the steps under bullet a)1)i).

If the UE is in a visited country, the UE shall perform the DNS NAPTR query using Visited Country Emergency N3IWF FQDN and Visited Country Emergency FQDN as specified in 3GPP TS 23.003 [8] via the non-3GPP access network to determine PLMNs in the visited country that support emergency services in non-3GPP access via N3IWF or ePDG. If the DNS response contains one or more records, the UE shall select a PLMN included in the DNS response that has highest PLMN priority (see 3GPP TS 24.526 [17]) in the N3AN node selection information.

- If the N3AN node selection information for the PLMN is available the UE selects first an N3IWF or ePDG based on the the preference parameter in the selected PLMN's N3AN node selection information entry of the N3AN node selection information. If N3IWF is preferred, the UE constructs the N3IWF FQDN based on the FQDN format of the selected PLMN's N3AN node selection information entry in the N3AN node selection information using the PLMN ID of the selected PLMN as specified in 3GPP TS 23.003 [8]. If ePDG is preferred, the UE constructs either the Tracking/Location Area Identity based Emergency ePDG FQDN or the Operator Identifier based Emergency ePDG FQDN as indicated by the FQDN format in the N3AN node selection information for the selected PLMN.
- If the N3AN node selection information is not available, the UE shall follow the procedure in clause 7.2.6.2, except that, instead of aborting the procedure in case of a failure, the UE shall perform the procedure for ePDG selection for emergency services specified in 3GPP TS 24.302 [7], by constructing the Operator Identifier based Emergency ePDG FQDN.

If the emergency registration procedure has failed for all attempted PLMNs, the UE shall abort the procedure.

# 7.3 IKE SA establishment procedure for untrusted non-3GPP access

#### 7.3.1 General

The purpose of this procedure is to establish a secure connection between the UE and the N3IWF over NWu, which is used to securely exchange the NAS signalling messages between the UE and the AMF via the N3IWF. The UE establishes the secure connection by establishing an IKE SA and first child SA to the N3IWF. The IKE SA and first child SA, called signalling IPsec SA, are created between the UE and the N3IWF after the IKE\_SA\_INIT exchange and after the IKE\_AUTH exchange (see IETF RFC 7296 [6]). The signalling IPsec established is used to transfer NAS signalling traffic. Additional child SAs (user plane IPsec SAs) can be established between the UE and the N3IWF to transfer user-plane traffic (see clause 7.5).

Upon completion of the N3IWF selection procedure (clause 7.2) the UE initiates an IKE\_SA\_INIT exchange as specified in IETF RFC 7296 [6]. Upon reception of the IKE\_SA\_INIT response the UE shall inform the upper layers that the access stratum connection is established.

Upon establishment of the access stratum connection, the UE initiates IKE\_AUTH exchange (see IETF RFC 7296 [6]) with EAP-5G encapsulation, as specified in clause 7.3.2.

The UE encapsulates the initial NAS message and the AN parameters using the EAP-5G procedure as described in clause 7.3.3. The signalling IPsec SA is established after completion of the EAP-5G procedure and IKE\_AUTH exchange.

## 7.3.2 IKE SA and signalling IPsec SA establishment procedure

#### 7.3.2.1 IKE SA and signalling IPsec SA establishment initiation

The UE proceeds with the establishment of IKE SA and signalling IPsec SA with the selected N3IWF by initiating an IKE\_SA\_INIT exchange according to IETF RFC 7296 [6]. All the IKE messages following the IKE\_SA\_INIT exchange are encrypted and integrity protected using the cryptographic algorithms and keys negotiated in the IKE\_SA\_INIT exchange as specified in IETF RFC 7296 [6].

Upon completion of the IKE\_SA\_INIT exchange, the UE shall initiate an IKE\_AUTH exchange as specified in IETF RFC 7296 [6] to establish an IKE SA and first child SA (signalling IPsec SA).. In the initial IKE\_AUTH request message, the UE shall:

- indicate the intention to use EAP by not including the AUTH payload;
- include the IDi payload with the ID type set to ID\_KEY\_ID and value set to any random number; and
- include CERTREQ payload to request N3IWF's certificate if the UE is provisioned with the N3IWF root certificate,

as specified in IETF RFC 7296 [6].

Upon reception of the IKE\_AUTH request message, the N3IWF shall respond with an IKE\_AUTH response message including:

- an EAP-Request/5G-Start packet to inform the UE an EAP-5G session that will be used to convey the initial NAS messages (see the EAP-5G procedure described in clause 7.3.3);
- the IDr payload with the value set to N3IWF identity; and
- the CERT payload containing the N3IWF's certificate if the CERTREQ payload is included in the IKE\_AUTH request message.

#### 7.3.2.2 IKE SA and signalling IPsec SA establishment accepted by the network

If IKE SA and signalling IPsec SA establishment is accepted by the network, the UE receives from the N3IWF an IKE\_AUTH response message containing an EAP-Success message (as shown in figure 7.3.2-1), which completes the EAP-5G session. No further EAP-5G packets are exchanged.

The UE completes the IKE SA and signalling IPsec SA (first child SA) establishment procedure by initiating an IKE\_AUTH exchange including an AUTH payload computed based on the N3IWF key as described in 3GPP TS 33.501 [5]. In the IKE\_AUTH request message the UE additionally shall include:

- the INTERNAL\_IP4\_ADDRESS attribute, the INTERNAL\_IP6\_ADDRESS attribute, or both, indicating the type of IP address to be used for the IP tunnels, in the CFG\_REQUEST configuration payload. The INTERNAL\_IP4\_ADDRESS attribute shall contain no value and the length field shall be set to 0. The INTERNAL\_IP6\_ADDRESS attribute shall contain no value and the length field shall be set to 0; and
- the MOBIKE\_SUPPORTED notify payload as specified in IETF RFC 4555 [23] if the UE supports IETF RFC 4555 [23].

The N3IWF shall include in the IKE\_AUTH response message containing the AUTH payload:

- a single CFG\_REPLY Configuration Payload including the INTERNAL\_IP4\_ADDRESS attribute with an IPv4 address assigned to the UE, the INTERNAL\_IP6\_ADDRESS attribute with an IPv6 address assigned to the UE, or both;
- the NAS\_IP4\_ADDRESS notify payload with an N3IWF IPv4 address assigned to transport of NAS messages, if the initial IKE\_AUTH request message contained a CFG\_REQUEST configuration payload with the INTERNAL\_IP4\_ADDRESS attribute and NAS messages are to be transmitted using IPv4 based inner IP tunnel;
- the NAS\_IP6\_ADDRESS notify payload with an N3IWF IPv6 address assigned to transport of NAS messages if the initial IKE\_AUTH request message contained a CFG\_REQUEST configuration payload with the INTERNAL\_IP6\_ADDRESS attribute and NAS messages are to be transmitted using IPv6 based inner IP tunnel;
- the NAS\_TCP\_PORT notify payload with an N3IWF TCP port number assigned to transport of NAS messages; and
- the MOBIKE\_SUPPORTED notify payload as specified in IETF RFC 4555 [23], if the initial IKE\_AUTH request message contained a MOBIKE\_SUPPORTED configuration payload with the INTERNAL\_IP4\_ADDRESS attribute.

The UE may support the TIMEOUT\_PERIOD\_FOR\_LIVENESS\_CHECK attribute as specified in 3GPP TS 24.302 [7] clause 8.2.4.2. If the UE supports the TIMEOUT\_PERIOD\_FOR\_LIVENESS\_CHECK attribute, the UE shall include the TIMEOUT\_PERIOD\_FOR\_LIVENESS\_CHECK attribute indicating support of receiving timeout period for liveness check in the CFG\_REQUEST configuration payload within the IKE\_AUTH request message.

The N3IWF may include the TIMEOUT\_PERIOD\_FOR\_LIVENESS\_CHECK attribute as specified in 3GPP TS 24.302 [7] clause 8.2.4.2 indicating the timeout period for liveness check in the CFG\_REPLY configuration payload of the IKE\_AUTH response message containing the AUTH payload. Presence of the TIMEOUT\_PERIOD\_FOR\_LIVENESS\_CHECK attribute in the IKE\_AUTH request can be used as input for decision on whether to include the TIMEOUT\_PERIOD\_FOR\_LIVENESS\_CHECK attribute in the IKE\_AUTH response message containing the AUTH payload.

If the TIMEOUT\_PERIOD\_FOR\_LIVENESS\_CHECK attribute as specified in 3GPP TS 24.302 [7] clause 8.2.4.2 indicating the timeout period for the liveness check is included in the CFG\_REPLY configuration payload within the IKE\_AUTH response message containing the AUTH payload or the UE has a pre-configured or configured timeout period, the UE shall perform the liveness check procedure as described in clause 7.8.

NOTE: The timeout period for liveness check is pre-configured in the UE in implementation specific way.

This completes the establishment of the IKE SA and signalling IPsec SA (first child SA) between the UE and the N3IWF. Upon completion of the IKE SA and signalling IPsec SA (first child SA) establishment between the UE and the N3IWF, the UE and the N3IWF shall send further NAS messages over the TCP connection within the signalling IPsec SA (first child SA) (see example in figure 7.3.2.2-1).

An example of an IKE SA and first child SA establishment procedure is shown in figure 7.3.2.2-1.

UE N3IWF IKE\_SA\_INIT exchange IKE\_AUTH Request <no AUTH payload> IKE\_AUTH Response <EAP-Request/5G-Start> IKE\_AUTH Request <EAP-Response/5G-NAS> IKE\_AUTH Response <EAP-Request/5G-NAS> 1 1 IKE\_AUTH Response <EAP-Success> **IKE\_AUTH Request** <AUTH Payload> IKE\_AUTH Response <AUTH Payload>

# Figure 7.3.2.2-1: IKE SA and first child SA establishment procedure for UE registration over untrusted non-3GPP access

7.3.2.3 IKE SA and signalling IPsec SA establishment not accepted by the network

If IKE SA and signalling IPsec SA establishment is not accepted by the network, the UE receives from the N3IWF an IKE\_AUTH response message including a Notify payload with an error type.

Upon receiving the IKE\_AUTH response message with a Notify payload with an error type other than a CONGESTION Notify payload, the UE shall pass the error indication to the upper layer along with the encapsulated NAS messages, if any, within EAP/5G-NAS packet.

After the N3IWF receives from the UE an IKE\_AUTH request message, the N3IWF shall construct an IKE\_AUTH response message including a CONGESTION Notify payload as defined in clause 9.2.4.2 and a N3GPP\_BACKOFF\_TIMER Notify payload as defined in clause 9.3.1.7. if the N3IWF decides to not accept the IKE SA and signalling IPsec SA establishment based on the OVERLOAD START message received from the AMF(s) as specified in 3GPP TS 29.413 [39].

NOTE: The N3IWF can also due to internal congestion construct an IKE\_AUTH response message including a CONGESTION Notify payload as defined in clause 9.2.4.2 and a N3GPP\_BACKOFF\_TIMER Notify payload as defined in clause 9.3.1.7 and send it to the UE.

The N3IWF shall send the IKE\_AUTH response message to the UE.Upon reception of the IKE\_AUTH response message including:

- a) a CONGESTION Notify payload as defined in clause 9.2.4.2; and
- b) a N3GPP\_BACKOFF\_TIMER Notify payload as defined in clause 9.3.1.7; and

after the UE authenticates the network or the N3IWF as specified in 3GPP TS 33.501 [5], the UE shall discard all states associated with the IKE SA and any child SAs that were negotiated using that IKE SA as specified in IETF RFC 7296 [6]. In addition, the UE shall inform the upper layers that the access stratum connection has been released, and:

- a) if the back-off timer value in N3GPP\_BACKOFF\_TIMER Notify payload indicates neither zero nor deactivated, the UE shall start the Tw3 timer with the value provided and the UE shall not retry the IKE SA and signalling IPsec SA establishment procedure to the same N3IWF until:
  - timer Tw3 expires;
  - the UE is switched off;
  - the UICC containing the USIM is removed;
  - an access attempt occurs due to emergency services; or
  - the UE needs to request one or more S-NSSAIs that were not included in the requested NSSAI provided to the N3IWF previously;
- b) if the back-off timer value in N3GPP\_BACKOFF\_TIMER Notify payload indicates that this timer is deactivated, the UE shall not retry the IKE SA and signalling IPsec SA establishment procedure to the same N3IWF until:
  - the UE is switched off;
  - the UICC containing the USIM is removed;
  - an access attempt occurs due to emergency services; or
  - the UE needs to request one or more S-NSSAIs that were not included in the requested NSSAI provided to the N3IWF previously; and
- c) if the back-off timer value in N3GPP\_BACKOFF\_TIMER Notify payload indicates zero, the UE may retry the IKE SA and signalling IPsec SA establishment procedure to an N3IWF from the same PLMN.

Upon receiving the IKE\_AUTH response message with a Notify payload with an error type, if the EAP-5G session establishment has already been started, the UE shall perform a local termination of the EAP-5G session.

## 7.3.3 EAP-5G session over non-3GPP access

#### 7.3.3.1 General

A vendor-specific EAP method (EAP-5G) is used to encapsulate NAS messages between the UE and the N3IWF. The EAP-5G packets utilize the "Expanded" EAP type and the existing 3GPP Vendor-Id registered with IANA under the SMI Private Enterprise Code registry (i.e. 10415). The EAP-5G method is utilized only for encapsulating the NAS messages. The EAP-5G method is not utilized to authenticate the UE in untrusted non-3GPP network.

#### 7.3.3.1A EAP-5G session initiation

The UE and the N3IWF shall exchange EAP-5G messages within IKE\_AUTH request and IKE\_AUTH response messages. The N3IWF on reception of an IKE\_AUTH request with no AUTH payload shall start an EAP-5G session by sending an EAP-Request/5G-Start message.

The UE acknowledges start of the EAP-5G session by sending an EAP-Response/5G-NAS message which shall include:

- a) a NAS-PDU field containing a NAS message, for example, a REGISTRATION REQUEST message; and
- b) an AN-parameters field containing access network parameters, such as GUAMI, selected PLMN ID, requested NSSAI, establishment cause and selected NID if the UE is accessing SNPN services via a PLMN (see 3GPP TS 23.502 [3]).
- NOTE 1: If and how the UE includes the requested NSSAI as a part of the access type depends on the NSSAI inclusion mode IE as specified in 3GPP TS 24.501 [4].

The N3IWF, on reception of NAS messages from the UE within an EAP-Response/5G-NAS message, shall forward the NAS message to the AMF.

The N3IWF, on reception of NAS messages from the AMF, shall include the NAS message within an EAP-Request/5G-NAS message. The N3IWF shall transmit the EAP-Request/5G-NAS message to the UE.

NOTE 2: The N3IWF is transparent to the NAS messages and as an intermediate network entity only conveys transparently the NAS messages between the UE and the AMF.

The EAP-Request/5G-NAS message shall include a NAS-PDU field that contains a NAS message.

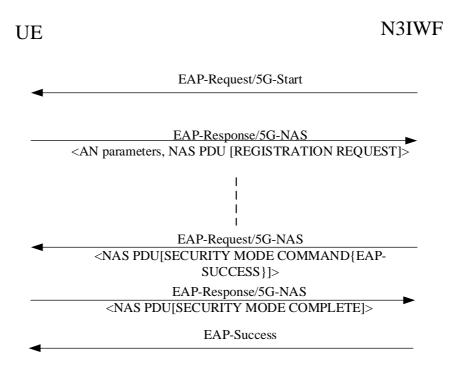
Further NAS messages between the UE and the AMF, via the N3IWF, shall be inserted in NAS-PDU field of an EAP-Response/5G-NAS (UE to N3IWF direction) and EAP-Request/5G-NAS (N3IWF to UE direction) message.

#### 7.3.3.2 EAP-5G session completion initiated by the network

Upon completion of successful authentication and on reception of the N3IWF key from the AMF, the N3IWF shall complete the EAP-5G session by sending an EAP-Success message.

On reception of the EAP-Success message from the N3IWF, the UE proceeds to establish an IKE SA and signalling IPsec SA as described in clause 7.3.2.

An example of an EAP-5G session after successful authentication is shown in figure 7.3.3.2-1.



#### Figure 7.3.3.2-1: EAP-5G session for successful UE registration over untrusted non-3GPP access

#### 7.3.3.3 EAP-5G session completion initiated by the UE

Upon receiving indication from the upper layer that no 5G-NAS messages need to be transmitted between the UE and N3IWF, the UE shall terminate the EAP-5G session by sending an EAP-Response/5G-Stop message to the N3IWF.

On reception of EAP-Response/5G-Stop message, the N3IWF shall complete the EAP-5G session by sending an EAP-Failure message to the UE.

On reception of the EAP-Failure message from the N3IWF, the UE shall delete any context related to IKE SA without requiring an explicit INFORMATIONAL exchange carrying a Delete payload as specified in IETF RFC 7296 [6].

Figure 7.3.3.3-1 shows an example the EAP-5G session completion after registration reject.

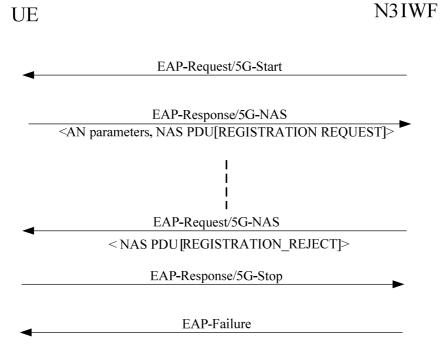


Figure 7.3.3.3-1: EAP-5G session when the UE's registration over untrusted non-3GPP access is rejected

## 7.3.4 Abnormal cases in the UE

Apart from the cases specified in IETF RFC 7296 [6], no abnormal cases have been identified.

### 7.3.5 Abnormal cases in the N3IWF

Apart from the cases specified in IETF RFC 7296 [6], no abnormal cases have been identified.

# 7.3A IKE SA establishment procedure for trusted non-3GPP access

## 7.3A.1 General

A trusted non-3GPP access network (TNAN) includes a trusted non-3GPP access point (TNAP) and a trusted non-3GPP gateway function (TNGF). The TNAN and a UE initiate an exchange of EAP-Request and EAP-Response messages including Identity as specified in IETF RFC 3748 [9] for link layer authentication of the UE by the TNAP. Upon completion of the EAP-Request/Response messages, an exchange of the EAP-5G messages are initiated once the UE receives an EAP-Request/5G-Start from the TNGF. The UE also at that time informs the upper layers that the access stratum connection is established.

An exchange of the NAS messages which are encapsulated in EAP-5G messages occur until the UE is authenticated by the 5GCN. Upon completion of the UE authentication and reception of the EAP-Success by the UE, the UE and the TNAP employs the TNAP key to establish access specific layer-2 security such as 4-way handshake in case IEEE 802.11 [19] is used between the TNAP and the UE.

Upon completion of successful establishment of access specific layer-2 security, the UE is configured with an IP address by TNAN by e.g. DHCP and the UE initiates an IKE\_SA\_INIT exchange as specified in IETF RFC 7296 [6].

The UE establishes the IP based secure connection by establishing an IKE SA and first child SA for NAS signalling traffic to the TNGF over NWt. Once the UE establishes the IKE SA and the signalling IPsec SA with the TNGF, the UE initiates establishment of a TCP connection for transport of NAS message with TNGF, secured using the signalling IPsec SA. The UE and the TNGF exchanges NAS messages over the TCP connection once it is established. Additional child SAs (user plane IPsec SAs) can be established to transfer user plane traffic (see clause 7.5).

An example of an IKE SA and first child SA establishment procedure is shown in figure 7.3A.1-1.The figure illustrates that EAP messages are employed for the communication between the UE and the TNAP while the TNAP is transparent to the communication between the UE and the TNGF when employing EAP-5G messages. Link layer protocol is used to exchange these messages between the UE and the TNAN. The internal protocol used for the communications between the TNAP and the TNGF, is illustrated as dashed lines in this figure and is out of the scope of 3GPP.

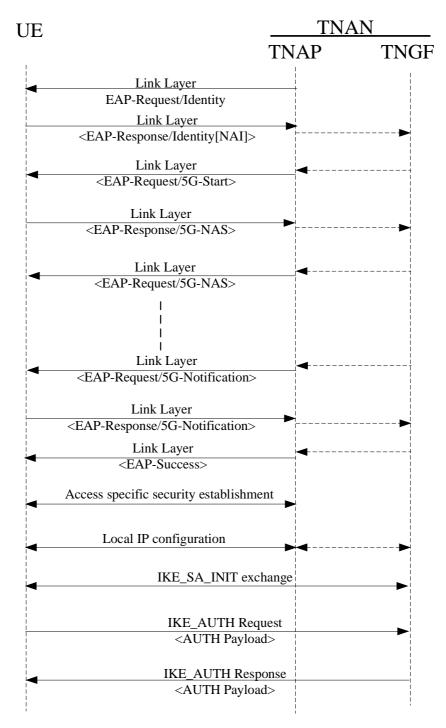


Figure 7.3A.1-1: IKE SA and first child SA establishment procedure for UE registration over trusted non-3GPP access

# 7.3A.2 EAP session over non-3GPP access

## 7.3A.2.1 General

The UE and the TNAN establishes a connection depending on the access link between the UE and the TNAP. For instance if the TNAP is a trusted WLAN access point, IEEE 802.11 [19] describes the connection between the UE and the TNAP. If the access link between the UE and the TNAP is Point-to-Point Protocol (PPP) as specified in IETF RFC 1661 [32], the Link Control Protocol (LCP) as specified in IETF RFC 1570 [33] describes the connection between the UE and the TNAP.

In the trusted non-3GPP access network:

- a) the TNAP and the UE exchange EAP-request/Identity message and EAP-response/Identity message; and
- b) the TNGF and the UE exchange EAP messages of EAP-5G method,

encapsulated in the link layer protocol packets such as IEEE 802.11/802.1x packets or PPP packets until successful authentication of the UE by the AMF. The link layer protocol packets are transmitted between the UE and the TNAN.

The EAP-5G method is utilized for encapsulating the NAS message to initiate the UE registration to the AMF via the TNGF. As described in clause 7.3.3, the EAP-5G packets utilize the "Expanded" EAP type and the existing 3GPP Vendor-Id registered with IANA under the SMI Private Enterprise Code registry (i.e. 10415).

#### 7.3A.2.2 Identity transaction

Upon reception of EAP-Request/Identity message (as described in IETF RFC 3748 [9]), encapsulated in the link layer protocol packets from the TNAP, the UE shall:

- a) construct an EAP-Response/Identity message as described in IETF RFC 3748 [9] containing an NAI as specified in clause 28.7.6 of 3GPP TS 23.003 [8] to request a PLMN when the trusted connectivity is 5G connectivity using trusted non-3GPP access; and
- b) transmit the EAP-Response of identity type encapsulated in the link layer protocol packets towards the TNAP.

#### 7.3A.2.3 EAP-5G session initiation

The UE and the TNGF shall exchange EAP-5G messages. The TNGF on reception of the NAI by TNAP and passed on to TNGF, shall initiate EAP-5G session by sending an EAP-Request/5G-Start message. Upon reception of an EAP-Request/5G-Start message, the UE shall send an EAP-Response/5G-NAS message encapsulated in link layer protocol packets. In the EAP-Response/5G-NAS message, the UE:

- a) shall include a NAS-PDU field containing a NAS message, for example, a REGISTRATION REQUEST message;
- b) shall include an AN-parameters field containing access network parameters, such as UE identity, selected PLMN ID, requested NSSAI and establishment cause, see 3GPP TS 23.502 [3], each of which is up to 255 (decimal) octets long; and
- NOTE 1: If and how the UE includes the requested NSSAI as a part of the access type depends on the NSSAI inclusion mode IE as especified in 3GPP TS 24.501 [4].
- c) if at least one access network parameter is longer than 255 (decimal) octets, shall include an extended-AN-parameters field containing one or more access network parameters, such as UE identity, see 3GPP TS 23.502
   [3], each of which is longer than 255 (decimal) octets.

The UE identity shall be 5GS mobile identity of type 5G-GUTI, if available, otherwise it shall be the 5GS mobile identity of type SUCI. The 5GS mobile identities of type 5G-GUTI and of type SUCI are specified in 3GPP TS 24.501 [4].

The TNGF on reception of EAP-Response/5G-NAS message, forwards the NAS message to the AMF.

NOTE 2: The TNGF is transparent to the NAS messages and as an intermediate network entity only conveys transparently the NAS messages to the AMF.

The TNAN, on reception of the NAS messages from the AMF, shall send an EAP-Request/5G-NAS message encapsulated in the link layer protocol packets towards the UE via the TNAP.

The EAP-Request/5G-NAS message shall include a NAS-PDU field that contains a NAS message. Further NAS messages between the UE and the AMF, via the TNGF, shall be inserted in NAS-PDU field of an EAP-Response/5G-NAS (UE to TNGF direction) and EAP-Request/5G-NAS (TNGF to UE direction) message.

The UE, on reception of the EAP-Request/5G-NAS message including a NAS-PDU field containing a NAS message e.g. for security establishment, shall send a response with EAP-Response/5G-NAS message including a NAS-PDU field containing a NAS message related to the NAS security context to the TNGF.

The TNGF, on reception of the TNGF key shall construct an EAP-Request/5G-Notification message that includes an AN-parameters field containing the access network parameters, such as TNGF IPv4 contact information, TNGF IPv6 contact information, or both, see 3GPP TS 23.502 [3]. The TNGF shall send the EAP-Request/5G-Notification message encapsulated in the link layer protocol packets towards the UE via the TNAP. The UE shall acknowledge by sending an EAP-Response/5G-Notification message encapsulated in the link layer protocol packets.

### 7.3A.2.4 EAP-5G session completion initiated by the network

Upon completion of successful authentication and on reception of the acknowledgement from the UE that it had received the access network parameters, the TNAN shall send an EAP-Success message encapsulated in the link layer protocol packets towards the UE via the TNAP.

#### 7.3A.2.5 EAP-5G session completion initiated by the UE

For trusted non-3GPP access, the procedure for when the EAP-5G session completion initiated by the UE, is the same as that of untrusted non-3GPP access as described in clause 7.3.3.3 with the difference that the N3IWF shall be replaced by the TNGF.

## 7.3A.3 IKE SA and signalling IPsec SA establishment procedure

### 7.3A.3.1 IKE SA and signalling IPsec SA establishment initiation

In a trusted non-3GPP access network, once the EAP- 5G authentication is successfully complete and the UE is configured with a local IP address, the UE shall use the TNGF IP address received in the EAP-Request/5G-Notification message (see clause 7.3A.2.3) to establish a secure connection between the UE and the TNGF over NWt to exchange NAS signalling messages with the AMF. The UE shall establish the secure connection by establishing an IKE SA and signalling IPsec SA (first child SA) by initiating the IKE\_SA\_INIT exchange and then IKE\_AUTH exchange for mutual authentication with the TNGF and NULL encryption as specified in IETF RFC 2410 [34]. The UE shall set the IDi payload of the IKE\_AUTH request message in the IKE\_AUTH exchange (see IETF RFC 7296 [6]) to the NAI format of 5G-GUTI or the NAI format of SUCI as specified in 3GPP TS 23.003 [8], depending on the employed UE identity in the EAP-Response/5G-NAS message at the time of EAP-5G session initiation according to clause 7.3A.2.3.

#### 7.3A.3.2 IKE SA and signalling IPsec SA establishment accepted by the network

The UE shall establish the IKE SA and signalling IPsec SA (first child SA) according to clause 7.3.2.2 with the difference that the N3IWF is replaced by the TNGF.

Upon completion of the IKE SA and signalling IPsec SA (first child SA) establishment between the UE and the TNGF, the UE and the TNGF shall send further NAS messages over the TCP connection within the signalling IPsec SA (first child SA).

#### 7.3A.3.3 IKE SA and signalling IPsec SA establishment not accepted by the network

For trusted non-3GPP access, the procedure for when the IKE SA and signalling IPsec SA establishment are not accepted by the network, is the same as that of the untrusted non-3GPP access as described in clause 7.3.2.3 with the difference that the N3IWF shall be replaced by the TNGF.

## 7.3A.4 Procedure for devices without NAS support

#### 7.3A.4.1 General

A trusted non-3GPP access network (TNAN) may be implemented as a trusted WLAN access network (TWAN) which supports a WLAN access technology such as the one described in IEEE 802.11 [19]. A non 5G capable over WLAN (N5CW) device does not support NAS signalling with the 5GCN over WLAN, but may access 5GCN via a TWAN supporting a trusted WLAN interworking function (TWIF). An N5CW device may be a UE with capability for NAS signalling with the 5GCN using the N1 reference point as specified in 3GPP TS 24.501 [4] over 3GPP access although it lacks capability of NAS signalling over WLAN.

#### 7.3A.4.2 N5CW device registration over trusted WLAN access network

A trusted WLAN access network (TWAN) includes a trusted WLAN access point (TWAP) and a trusted WLAN interworking function (TWIF) as illustrated in figure 7.3A.4.2-1.



Trusted WLAN Access Network (TWAN)

#### Figure 7.3A.4.2-1: Trusted WLAN Access Network

The EAP-AKA' authentication procedure is executed for connecting the N5CW device to a TWAN according to 3GPP TS 33.501 [5] clause 7A.2.4.

The TWAN and an N5CW device initiate an exchange of EAP-Request/Identity message and EAP-Response/Identity message as specified in IETF RFC 3748 [9] for link layer authentication of the UE by the TWAP. In the trusted WLAN access network, the TWAP and the N5CW device exchange EAP-Request/Identity message and EAP-Response/Identity message, encapsulated in the link layer protocol packets i.e. IEEE 802.11/802.1x packets.

Upon reception of EAP-Request/Identity message encapsulated in the IEEE 802.11/802.1x packets from the TWAP, the N5CW device shall:

- a) construct an EAP-Response/Identity message as described in IETF RFC 3748 [9] containing an NAI as specified in clause 28.7.7 of 3GPP TS 23.003 [8] to request a PLMN when the trusted connectivity is 5G connectivity without NAS using trusted non-3GPP access; and
- NOTE 1: The NAI includes the 5G-GUTI assigned to the N5CW device over 3GPP access, if the N5CW device is also a UE and is already registered to 5GCN over 3GPP access. If the N5CW device is not registered to the 5GCN over 3GPP access, the NAI includes the SUCI. The NAI includes the SUCI if the N5CW device is also a 5G UE and has not registered to 5GCN over 3GPP access.
- b) transmit the EAP-Response of identity type encapsulated in the link layer protocol packets towards the TWAP.

The TWAP conveys the information provided by the N5CW device to the TWIF which initiates a registration procedure followed by a PDU session establishment procedure to obtain an IP address, on behalf of the N5CW device to an AMF according to 3GPP TS 24.501 [4].

NOTE 2: The communication protocol between the TWAP and the TWIF is outside of the scope of 3GPP.

An exchange of the EAP request and EAP response as described in IETF RFC 3748 [9] occurs until the N5CW device is authenticated by the 5GCN with the EAP authentication described in 3GPP TS 33.501 [5]. Upon completion of the N5CW device authentication and reception of the EAP-Success by the N5CW device, the N5CW device and the TWAP use the TWAP key to establish access specific layer-2 security 4-way handshake according to IEEE 802.11 [19].

# 7.4 IKEv2 SA deletion procedure

## 7.4.1 General

The purpose of the IKE SA deletion procedure via untrusted non-3GPP access and trusted non-3GPP access is to close the IKE SA between the UE and the N3IWFfor untrusted non-3GPP access and the TNGF for trusted non-3GPP access. In addition, deleting the IKE SA implicitly closes any remaining signalling IPsec child SAs and user plane IPsec child SAs associated with IKE SA.

This procedure shall be initiated either by the N3IWF, TNGF or by the UE.

The N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access initiate this procedure in the following cases:

- a) N1 NAS signalling connection release;
- b) N3IWF-initiated and TNGF-initiated IKE SA rekeying procedure failure;
- c) N3IWF-initiated and TNGF-initiated IKE SA rekeying procedure completion
- d) upon receipt of an INITIAL\_CONTACT notification as specified in IETF RFC 7296 [6]; and
- e) upon detecting an error in a response packet as specified in IETF RFC 7296 [6].

The UE initiates this procedure in the following cases:

- a) UE-initiated IKE SA rekeying procedure failure;
- b) UE-initiated IKE SA rekeying procedure completion;
- c) upon receipt of an INITIAL\_CONTACT notification as specified in IETF RFC 7296 [6]; and
- d) upon detecting an error in a response packet as specified in IETF RFC 7296 [6].
- NOTE: UE can also initiate the IKE SA deletion procedure, based on implementation, in abnormal scenarios e.g. a local release of N1 NAS signalling connection upon expiry of T3540 and UE fails to receive INFORMATIONAL request for IKE SA deletion from the network.

## 7.4.2 IKE SA deletion procedure initiated by the N3IWF and the TNGF

#### 7.4.2.1 IKE SA deletion initiation

The N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access shall initiate the IKE SA deletion procedure by sending an INFORMATIONAL request message including a Delete payload to the UE as specified in IETF RFC 7296 [6].

The Delete payload shall be defined with the Protocol ID set to "1" and no SPIs included in the Security Parameter Index field in the Delete payload. This indicates that the IKE security association and all IPsec ESP security associations that were negotiated within the IKE security association between:

- a) the N3IWF for untrusted non-3GPP access; and
- b) the TNGF for trusted non-3GPP access;

and the UE shall be deleted.

#### 7.4.2.2 IKE SA deletion accepted by the UE

Upon reception of the INFORMATIONAL request message from the N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access for deletion of the IKE SA, if the UE accepts the IKE SA deletion request, the UE shall send an empty INFORMATIONAL response message to the N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access as specified in IETF RFC 7296 [6].

After sending the empty INFORMATIONAL response message, the UE shall close IKE SA and delete all IPsec child SAs associated with the IKE SA. In addition, the UE shall inform the upper layers that the access stratum connection has been released.

Upon receiving the empty INFORMATIONAL response message, the N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access shall close IKE SA and delete all IPsec child SAs associated with the IKE SA. In addition, the N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access shall inform the AMF that the access stratum connection has been released.

#### 7.4.2.3 Abnormal cases in the N3IWF and the TNGF

If the N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access does not receive any empty INFORMATIONAL response message from the UE, the N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access shall discard all states associated with the IKE SA and any child SAs that were negotiated using that IKE SA. In addition, the N3IWF for untrusted non-3GPP access and the TNGF for untrusted non-3GPP access shall inform the AMF that the access stratum connection has been released.

## 7.4.3 IKE SA deletion procedure initiated by the UE

#### 7.4.3.1 IKE SA deletion initiation

The UE shall initiate the IKE SA deletion procedure by sending an INFORMATIONAL request message including a Delete payload to the N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access as specified in IETF RFC 7296 [6].

The Delete payload shall be defined with the Protocol ID set to "1" and no SPIs included in the Security Parameter Index field in the Delete payload. This indicates that the IKE security association and all IPsec ESP security associations that were negotiated within the IKE security association between:

- a) the N3IWF for untrusted non-3GPP access; and
- b) the TNGF for trusted non-3GPP access;

and the UE shall be deleted.

#### 7.4.3.2 IKE SA deletion accepted by the N3IWF and the TNGF

Upon reception of the INFORMATIONAL request message from the UE for deletion of the IKE SA, if the N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access the IKE SA deletion request, the N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access shall send an empty INFORMATIONAL response message to the UE as specified in IETF RFC 7296 [6].

After sending the empty INFORMATIONAL response message, the N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access shall close the IKE SA and delete all IPsec child SAs associated with the IKE SA. In addition, the N3IWF for untrusted non-3GPP access and theTNGF for trusted non-3GPP access shall inform the AMF that the access stratum connection has been released.

Upon receiving the empty INFORMATIONAL response message, the UE shall close the IKE SA and delete all IPsec child SAs associated with the IKE SA. In addition, the UE shall inform the upper layers that the access stratum connection has been released.

#### 7.4.3.3 Abnormal cases in the UE

If the UE does not receive any empty INFORMATIONAL response message from the N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access, the UE shall discard all states associated with the IKE SA and any child SAs that were negotiated using that IKE SA. In addition, the UE shall inform the upper layers that the access stratum connection has been released.

# 7.5 User plane IPsec SA creation procedure

## 7.5.1 General

The purpose of the user plane IPsec SA creation procedure is to establish a child SA associating to the QoS flows of the PDU session. This procedure shall be initiated by the N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access.

One user plane IPsec SA can be associated with one or more QoS flows of the PDU session. During PDU session establishment or PDU session modification via:

- a) untrusted non-3GPP access, the N3IWF; or
- b) trusted non-3GPP access, the TNGF,

shall determine the number of user plane IPsec child SAs to establish and the QoS profiles associated with each child SA based on local policies, configuration and the QoS profiles received from the network.

## 7.5.2 Child SA creation procedure initiation

The N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access shall initiate the child SA creation procedure by sending a CREATE\_CHILD\_SA request message to the UE as specified in IETF RFC 7296 [6].

The CREATE\_CHILD\_SA request message shall include:

- a) a UP\_IP4\_ADDRESS notify payload or a UP\_IP6\_ADDRESS notify payload; and
- b) 5G\_QOS\_INFO Notify payload as specified in clause 9.3.1.1, which contains:
  - 1) PDU session ID;
  - 2) zero or more QFIs;
  - 3) optionally a DSCP value;
  - 4) optionally an indication of whether the child SA is the default child SA. For a given PDU session ID, there can be only up to one child SA which is the default child SA; and
  - 5) if trusted non-3GPP access, Additional QoS Information or if untrusted non-3GPP access, optionally Additional QoS Information.

The IKE CREATE\_CHILD\_SA request message also contains the SA payload for the requested child SA.

### 7.5.3 Child SA creation procedure accepted by the UE

If the UE accepts the CREATE\_CHILD\_SA request message with a 5G\_QOS\_INFO Notify payload:

- a) the UE shall send a CREATE\_CHILD\_SA response message as specified in IETF RFC 7296 [6]; and
- b) the UE shall associate the created child SA with the:
  - 1) PDU session ID;
  - 2) zero or more QFIs (if indicated);
  - 3) DSCP value (if indicated); and
  - 4) indication of whether the child SA is the default child SA (if indicated);
- in the 5G\_QOS\_INFO Notify payload; and
- c) the UE:

- 1) in case of trusted non-3GPP access, shall reserve non-3GPP access QoS resources for the created child SA based on the received Additional QoS Information; or
- 2) in case of untrusted non-3GPP access, may reserve non-3GPP access QoS resources for the created child SA if the UE has received Additional QoS Information.

Any IKEv2 Notify payload indicating an error shall not be included in the CREATE\_CHILD\_SA response message.

## 7.5.4 Child SA creation procedure not accepted by the UE

If a user plane IPsec SA establishment for a PDU session is not accepted by the UE, the UE shall send a CREATE\_CHILD\_SA response message to the N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access with a Notify payload with error type.

If the UE fails to reserve QoS resources over non-3GPP access for the QoS flows associated with the child SA according to the Additional QoS information in the 5G\_QOS\_INFO Notify payload, the UE shall include a Notify payload with a Private Notify Message Error Type "NO\_RESOURCES\_OVER\_N3GPP" as defined in clause 9.2.4.2 in the CREATE\_CHILD\_SA response message.

Upon receiving the CREATE\_CHILD\_SA response message with a Notify payload of error type:

- if PDU session establishment over non-3GPP access requires single user plane SA IPsec SA creation, the N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access shall stop user plane SA IPsec SA creation procedure and indicate the failure for PDU session establishment over non-3GPP access.
- if PDU session establishment over non-3GPP access requires multiple user plane SA IPsec SA creation, the N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access may choose to continue user plane SA IPsec SA creation procedure for other user plane IPsec SAs, or stop user plane SA IPsec SA creation procedure and indicate the failure for PDU session establishment over non-3GPP access.

## 7.5.5 Abnormal cases in the UE

If the UE receives a CREATE\_CHILD\_SA request message containing a USE\_TRANSPORT\_MODE notification, the UE shall send a CREATE\_CHILD\_SA response message to the N3IWF for untrusted non-3GPP access or the TNGF for trusted non-3GPP access without including the USE\_TRANSPORT\_MODE notification as specified in IETF RFC 7296 [6].

## 7.5.6 Abnormal cases in the N3IWF and the TNGF

Apart from the cases specified in IETF RFC 7296 [6], no abnormal cases have been identified.

## 7.6 IPsec SA modification procedure

## 7.6.1 General

The user plane IPsec child SA modification procedure is to update a child SA associating to the QoS flows of the PDU session. The procedure may be initiated by the N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access. The IPsec child SA modification may be accepted or rejected by the UE.

## 7.6.2 N3IWF and TNGF procedure for IPsec child SA modification

The N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access shall perform the IPsec child SA modification by sending an INFORMATIONAL request message as specified in IETF RFC 7296 [6] to the UE with an 5G\_QOS\_INFO Notify payload indicating modified content associated with the IPsec child SA.

## 7.6.3 UE procedure for IPsec child SA modification

Upon receipt of an INFORMATIONAL request message containing an 5G\_QOS\_INFO Notify payload:

- a) if the content of the 5G\_QOS\_INFO Notify payload is accepted by the UE, the UE shall:
  - i) send an empty INFORMATIONAL response message to the N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access to acknowledge the reception of the INFORMATIONAL request message; and
  - ii) update locally the IPsec child SA according to the content of the INFORMATIONAL request message; or
- b) if the content of the 5G\_QOS\_INFO Notify payload is not accepted by the UE, the UE shall:
  - i) send the reason for rejecting the IPsec SA modification in the content of an INFORMATIONAL response message; and
  - ii) not update locally the IPsec child SA according to the content of the INFORMATIONAL request message.

If the UE fails to reserve QoS resources over non-3GPP access for the QoS flows associated with the child SA according to the Additional QoS information in the 5G\_QOS\_INFO Notify payload, the UE shall include a Notify Payload with a Private Notify Message Error Type "NO\_RESOURCES\_OVER\_N3GPP" as defined in clause 9.2.4.2 in the INFORMATIONAL response message.

## 7.7 IPSec SA deletion procedure

## 7.7.1 General

The purpose of the child SA deletion procedure for PDU session release is to delete all the child SAs associated with the PDU session. This procedure shall be initiated either by the N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access or by the UE.

The N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access initiates this procedure in the following cases:

- a) upon PDU session release;
- b) N3IWF-initiated and TNGF-initiated IPsec SA rekeying procedure failure;
- c) N3IWF-initiated and TNGF-initiated IPsec SA rekeying procedure completion; and
- d) upon detecting an error in a response packet as specified in IETF RFC 7296 [6].

The UE initiates this procedure in the following cases:

- a) UE-initiated IPsec SA rekeying procedure failure;
- b) UE-initiated IPsec SA rekeying procedure completion; and
- c) upon detecting an error in a response packet as specified in IETF RFC 7296 [6].

#### 7.7.2 N3IWF-initated and TNGF-initiated child SA deletion procedure

#### 7.7.2.1 N3IWF-initiated and TNGF-initiated child SA deletion procedure initiation

The N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access shall initiate the child SA deletion procedure by sending an INFORMATIONAL request message including a Delete payload to the UE as specified in IETF RFC 7296 [6]. The Delete payload shall include:

- a) the Protocol ID set to "3" for ESP; and
- b) all the N3IWF's ESP SPI(s) for untrusted non-3GPP access and all the TNGF's EPS SPI(s) for trusted non-3GPP access, associated to the released PDU session.

# 7.7.2.2 N3IWF-initiated and TNGF-initiated child SA deletion procedure accepted by the UE

If the UE accepts the INFORMATIONAL request message for deletion of the child SAs, the UE shall send the INFORMATIONAL response message to the N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access including the Delete payload received in the corresponding INFORMATIONAL request message as specified in IETF RFC 7296 [6].

Any IKEv2 Notify payload indicating an error shall not be included in the INFORMATIONAL response message.

#### 7.7.2.3 Abnormal cases in the N3IWF and the TNGF

If the N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access does not receive any INFORMATIONAL response message including a Delete payload from the UE, the N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access shall discard all states associated with the IKE SA and any child SAs that were negotiated using that IKE SA. In addition, the N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access shall inform the AMF that the access stratum connection has been released.

## 7.7.3 UE-initiated child SA deletion procedure

#### 7.7.3.1 UE-initiated child SA deletion procedure initiation

The UE shall initiate the child SA deletion procedure by sending an INFORMATIONAL request message including a Delete payload as specified in IETF RFC 7296 [6], to the N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access. The Delete payload shall include:

- a) the Protocol ID set to "3" for ESP; and
- b) all the UE's ESP SPI(s) associated to the released PDU session.

# 7.7.3.2 UE-initiated child SA deletion procedure accepted by the N3IWF and the TNGF

If the N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access accepts the INFORMATIONAL request message for deletion of the child SAs, the N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access shall send the INFORMATIONAL response message to the UE including the Delete payload received in the corresponding INFORMATIONAL request message as specified in IETF RFC 7296 [6].

Any IKEv2 Notify payload indicating an error shall not be included in the INFORMATIONAL response message.

#### 7.7.3.3 Abnormal cases in the UE

If the UE does not receive any INFORMATIONAL response message including a Delete payload from the N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access, the UE shall discard all states associated with the IKE SA and any child SAs that were negotiated using that IKE SA. In addition, the UE shall inform the upper layers that the access stratum connection has been released.

## 7.7.4 Abnormal cases in the UE

Apart from the cases specified in IETF RFC 7296 [6] and clause 7.7.3.3, no abnormal cases have been identified.

## 7.7.5 Abnormal cases in the N3IWF and the TNGF

Apart from the cases specified in IETF RFC 7296 [6] and clause 7.7.2.3, no abnormal cases have been identified.

# 7.8 UE-initiated liveness check procedure

## 7.8.1 General

The UE-initiated liveness check procedure enables the UE to detect whether the N3IWF for untrusted non-3GPP access and the TNGFfor trusted non-3GPP access is alive.

## 7.8.2 UE-initiated liveness check procedure initiation

If the UE supports the TIMEOUT\_PERIOD\_FOR\_LIVENESS\_CHECK attribute as specified in 3GPP TS 24.302 [7] clause 8.2.4.2 and the TIMEOUT\_PERIOD\_FOR\_LIVENESS\_CHECK attribute as specified in 3GPP TS 24.302 [7] clause 8.2.4.2 was included in the CFG\_REPLY configuration payload within the IKE\_AUTH response message received in clause 7.3 the UE shall set the timeout period for the liveness check to the value of the TIMEOUT\_PERIOD\_FOR\_LIVENESS\_CHECK attribute.

If the UE does not support the TIMEOUT\_PERIOD\_FOR\_LIVENESS\_CHECK attribute as specified in 3GPP TS 24.302 [7] clause 8.2.4.2 or the TIMEOUT\_PERIOD\_FOR\_LIVENESS\_CHECK attribute as specified in 3GPP TS 24.302 [7] clause 8.2.4.2 was not included in the CFG\_REPLY configuration payload within the IKE\_AUTH response message received in clause 7.3, then the UE shall use the pre-configured value of the timeout period for liveness check.

NOTE: The timeout period is pre-configured in the UE in implementation-specific way.

If the UE has not received any cryptographically protected IKEv2 or IPsec message for the duration of the timeout period for liveness check, the UE shall send an INFORMATIONAL request with no payloads as per IETF RFC 7296 [6].

## 7.8.3 UE-initiated liveness check procedure completion

The N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access shall handle the INFORMATIONAL request with no payloads as per IETF RFC 7296 [6] and shall send an INFORMATIONAL response.

If an INFORMATIONAL response is received, the UE shall consider the UE-initiated liveness check procedure as successfully completed.

## 7.8.4 Abnormal cases

If an INFORMATIONAL response is not received, the UE shall deem the IKEv2 security association to have failed.

The UE shall discard all states associated with the IKE SA and any child SAs that were negotiated using that IKE SA as specified in IETF RFC 7296 [6]. In addition, the UE shall inform the upper layers that the access stratum connection has been released.

# 7.9 Network-initiated liveness check procedure

## 7.9.1 General

The network-initiated liveness check procedure enables the N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access to detect whether the UE is alive.

## 7.9.2 Network-initiated liveness check procedure initiation

If the N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access has not received any cryptographically protected IKEv2 or IPsec message for the duration of the timeout period for liveness check selected according to the local policy, the N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access shall send an INFORMATIONAL request with no payloads IETF RFC 7296 [6].

## 7.9.3 Network-initiated liveness check procedure completion

The UE shall handle the INFORMATIONAL request with no payloads as per IETF RFC 7296 [6] and shall send an INFORMATIONAL response.

If an INFORMATIONAL response is received, the N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access shall consider the liveness check procedure as successfully completed.

## 7.9.4 Abnormal cases

If an INFORMATIONAL response is not received, the N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access shall deem the IKEv2 security association to have failed.

The N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access shall discard all states associated with the IKE SA and any child SAs that were negotiated using that IKE SA as specified in IETF RFC 7296 [6]. In addition, the N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access shall inform the AMF that the access stratum connection has been released.

# 7.10 IKE SA rekeying procedure

## 7.10.1 General

The N3IWF for untrusted non-3GPP access, the TNGF for trusted non-3GPP access and the UE may support the IKE SA rekeying procedure as specified in IETF RFC 7296 [6]. If the N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access and the UE support the IKE SA rekeying procedure, the UE, the N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access and the TNGF for trusted non-3GPP access shall proactively rekey the IKE SA. Upon rekeying of an IKE SA, the UE, the N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access shall maintain the old SA for the incoming data while establishing the new one. The old SA shall be deleted upon the completion of the establishment of the new one by both the UE, the N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access are separately responsible for enforcing their time expiration policies to rekey the SA when needed. IETF RFC 7296 [6] describes how to avoid the simultaneous IPsec SA and IKE SA rekeying.

## 7.10.2 N3IWF-initiated and TNGF-initiated IKE SA rekeying procedure

#### 7.10.2.1 N3IWF-initiated and TNGF-initiated IKE SA rekeying procedure initiation

The N3IWF for untrusted non-3GPP access, the TNGF for trusted non-3GPP access shall initiate the IKE SA rekeying procedure by sending a CREATE\_CHILD\_SA request message with a REKEY\_SA Notify payload indicating an N3IWF's SPI for untrusted non-3GPP access or an TNGF's SPI for trusted non-3GPP access.

#### 7.10.2.2 N3IWF-initiated and TNGF-initiated IKE SA rekeying procedure completion

Upon reception of the CREATE\_CHILD\_SA request message in the IKE SA with a REKEY\_SA Notify payload indicating an N3IWF's SPI for untrusted non-3GPP access or an TNGF's SPI for trusted non-3GPP access, if the UE accepts the IKE SA rekeying request, the UE shall send a CREATE\_CHILD\_SA response message without an IKEv2 notify payload indicating an error, shall set the UE's SPI to the SPI created by the CREATE\_CHILD\_SA request/response pair and shall set:

- a) the N3IWF's SPI for untrusted non-3GPP access to the N3IWF's SPI; or
- b) the TNGF's SPI for trusted non-3GPP access to the TNGF's SPI;

created by the CREATE\_CHILD\_SA request/response pair.

#### 7.10.2.3 Abnormal cases

If the N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access receive a CREATE\_CHILD\_SA response message with an IKEv2 notify payload indicating an error from the UE, the N3IWF for

untrusted non-3GPP access and the TNGF for trusted non-3GPP access shall delete the IKE SA and any associated child SAs as specified in clause 7.4.

If the N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access do not receive any CREATE\_CHILD\_SA response message from the UE, the N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access shall discard all states associated with the IKE SA and any child SAs that were negotiated using that IKE SA. In addition, the N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access shall inform the AMF that the access stratum connection has been released.

## 7.10.3 UE-initiated IKE SA rekeying procedure

#### 7.10.3.1 UE-initiated IKE SA rekeying procedure initiation

The UE shall initiate the IKE SA rekeying procedure by sending a CREATE\_CHILD\_SA request message with a REKEY\_SA Notify payload indicating a UE's SPI.

#### 7.10.3.2 UE-initiated IKE SA rekeying procedure completion

Upon reception of the CREATE\_CHILD\_SA request message in the IKE SA with a REKEY\_SA Notify payload indicating a UE's SPI, if the N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access accept the IKE SA rekeying request, the N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access shall send a CREATE\_CHILD\_SA response message without an IKEv2 notify payload indicating an error, shall set the N3IWF's SPI for untrusted non-3GPP access and the TNGF's SPI for trusted non-3GPP access to the SPI created by the CREATE\_CHILD\_SA request/response pair and shall set the UE's SPI to the UE's SPI created by the CREATE\_CHILD\_SA request/response pair.

#### 7.10.3.3 Abnormal cases

If the UE receives a CREATE\_CHILD\_SA response message with an IKEv2 notify payload indicating an error from the N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access, the UE shall delete the IKE SA and any associated child SAs as specified in clause 7.4.

If the UE does not receive any CREATE\_CHILD\_SA response message from the N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access, the UE shall discard all states associated with the IKE SA and any child SAs that were negotiated using that IKE SA. In addition, the UE shall inform the upper layers that the access stratum connection has been released.

## 7.11 IPsec SA rekeying procedure

## 7.11.1 General

The N3IWF for untrusted non-3GPP access, the TNGF for trusted non-3GPP access and the UE may support the IPsec SA rekeying procedure as specified in IETF RFC 7296 [6]. If the N3IWF for untrusted non-3GPP access, the TNGF for trusted non-3GPP access and the UE support the IPsec SA rekying procedure, the UE, the N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access shall proactively rekey the IPsec SA. Upon rekeying of an IPsec SA, the UE, the N3IWF for untrusted non-3GPP access shall maintain the old IPsec for the incoming data while establishing the new one. The old IPsec shall be deleted upon the completion of the establishement of the new one by the UE, the N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access are separately responsible for enforcing their time expiration policies to rekey the IPsec when needed. IETF RFC 7296 [6] describes how to avoid the simultaneous IPsec SA and IKE SA rekeying.

## 7.11.2 N3IWF-initiated and TNGF-initiated IPsec SA rekeying procedure

#### 7.11.2.1 N3IWF-initiated and TNGF-initiated IPsec SA rekeying procedure initiation

The N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access shall initiate the IPsec SA rekeying procedure by sending a CREATE\_CHILD\_SA request message with a REKEY\_SA Notify payload including

a Protocol ID set to "3" and the N3IWF's ESP SPI for untrusted non-3GPP access and the TNGF's ESP SPI for trusted non-3GPP access for the IPsec SA.

#### 7.11.2.2 N3IWF-initiated and TNGF-initiated IPsec SA rekeying procedure completion

Upon reception of the CREATE\_CHILD\_SA request message with a REKEY\_SA Notify payload including a Protocol ID set to "3" and the N3IWF's ESP SPI for untrusted non-3GPP access or the TNGF's ESP SPI for trusted non-3GPP access for the IPsec SA, if the UE accepts the IPsec SA rekeying request, the UE shall send a CREATE\_CHILD\_SA response message without an IKEv2 notify payload indicating an error, shall set the UE's ESP SPI to the ESP SPI created by the CREATE\_CHILD\_SA request/response pair and shall set;

- a) the N3IWF's ESP SPI for untrusted non-3GPP access; or
- b) the TNGF's ESP SPI for trsuted non-3GPP access;

to the N3IWF's ESP SPI created by the CREATE\_CHILD\_SA request/response pair.

#### 7.11.2.3 Abnormal cases

If the N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access receive a CREATE\_CHILD\_SA response message with an IKEv2 notify payload indicating an error from the UE, the N3IWF shall delete the IPsec SA as specified in clause 7.7. Additionally, if the IPsec SA is the signalling IPsec SA, the N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access shall delete the IKE SA as specified in clause 7.4.

If the N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access do not receive any CREATE\_CHILD\_SA response message from the UE, the N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access shall discard all states associated with the IKE SA and any child SAs that were negotiated using that IKE SA. In addition, the N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access shall inform the AMF that the access stratum connection has been released.

## 7.11.3 UE-initiated IPsec SA rekeying procedure

#### 7.11.3.1 UE-initiated IPsec SA rekeying procedure initiation

The UE shall initiate the IPsec SA rekeying procedure by sending a CREATE\_CHILD\_SA request message with a REKEY\_SA Notify payload including a Protocol ID set to "3" and the UE's ESP SPI for the IPsec SA.

#### 7.11.3.2 UE-initiated IPsec SA rekeying procedure completion

Upon reception of the CREATE\_CHILD\_SA request message with a REKEY\_SA Notify payload including a Protocol ID set to "3" and the UE's ESP SPI for the IPsec SA, if the N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access accept the IPsec SA rekeying request, the N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access shall send a CREATE\_CHILD\_SA response message without an IKEv2 notify payload indicating an error, shall set:

- a) the N3IWF's ESP SPI for untrusted non-3GPP access; and
- b) the TNGF's ESP SPI for trusted non-3GPP access;

to the ESP SPI created by the CREATE\_CHILD\_SA request/response pair and shall set the UE's ESP SPI to the UE's ESP SPI created by the CREATE\_CHILD\_SA request/response pair.

#### 7.11.3.3 Abnormal cases

If the UE receives a CREATE\_CHILD\_SA response message with an IKEv2 notify payload indicating an error from the N3IWF for untrusted non-3GPP access or the TNGF for trusted non-3GPP access, the UE shall delete the IPsec SA as specified in clause 7.7. Additionally, if the IPsec SA is the signalling IPsec SA, the UE shall delete the IKE SA as specified in clause 7.4.

If the UE does not receive any CREATE\_CHILD\_SA response message from the N3IWF for untrusted non-3GPP access or the TNGF for trusted non-3GPP access, the UE shall discard all states associated with the IKE SA and any child SAs that were negotiated using that IKE SA. In addition, the UE shall inform the upper layers that the access stratum connection has been released.

# 7A void

# 8 Message transport procedures

## 8.1 General

In trusted and untrusted non-3GPP access, the UE establishes IKE SA and signalling IPsec SA i.e. the first child SA for NAS message exchange. Thereafter the UE establishes other child SAs for exchange of the user data packets. IPsec tunnel mode is employed for all the established child SAs including the first child SA for the signalling, to protect and encrypt the original IP user data packets, the original IP signalling packets and the port numbers used for communications of such IP packets. This clause is to list the parameters and the procedures for such IP tunneling mode of the signalling IPsec SA and the user data child SAs.

In wireline access, the 5G-RG establishes signalling connection using W-CP protocol stack as described in clause 6.3.1. Thereafter the W-AGF serving the 5G-RG and the 5G-RG establish W-UP bearers for exchange of the user data packets as specified in clause 4.4.2.2.

# 8.2 Transport of NAS messages over control plane

### 8.2.1 General

In trusted and untrusted non-3GPP access, after the completion of IKE SA and establishment of signalling IPsec SA as specified in clause 7.3 for untrusted non-3GPP access and clause 7.3A for trusted non-3GPP access, the UE establishes with the N3IWF for untrusted non-3GPP access or the TNGF for trusted non-3GPP access a TCP connection for transport of NAS messages over the inner IP layer and the signalling IPsec SA as specified in clause 8.2.3. Once the TCP connection for transport of NAS messages is established, the UE performs NAS procedures over the TCP connection for transport of NAS messages. All uplink and downlink NAS mobility management messages and NAS session management messages are relayed between the UE and the AMF via N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access using the TCP connection of a TCP connection failure, the UE and the N3IWF for untrusted non-3GPP access or the UE and the TNGF for trusted non-3GPP access or the UE and the TNGF for trusted non-3GPP access or the UE and the TNGF for trusted non-3GPP access or the UE and the TNGF for trusted non-3GPP access or the UE and the TNGF for trusted non-3GPP access re-establish the TCP connection as specified in clause 8.2.3A. When the TCP connection for transport of NAS messages is no longer needed, the UE, the N3IWF for untrusted non-3GPP access or the TCP connection for transport of NAS messages is no longer needed, the UE, the N3IWF for untrusted non-3GPP access or the TCP connection for transport of NAS messages is no longer needed, the UE, the N3IWF for untrusted non-3GPP access or the TCP connection for transport of NAS messages is no longer needed, the UE, the N3IWF for untrusted non-3GPP access or the TNGF for trusted non-3GPP access release the TCP connection as specified in clause 8.2.5.

In wireline access, all uplink and downlink NAS mobility management messages and NAS session management messages are relayed between the 5G-RG and the AMF via W-AGF serving the 5G-RG using the signalling connection using W-CP protocol stack . Transport using the signalling connection using W-CP protocol stack is out of scope of the present document.

## 8.2.2 TCP packet encapsulation

NOTE 1: This clause is used for encapsulating of TCP packets when establishing TCP connection as described in clause 8.2.3, when re-establishing TCP connection as described in clause 8.2.3A, when transporting NAS messages over TCP connection as described in clause 8.2.4, and when releasing TCP connection as described in clause 8.2.5.

If a TCP packet is transported between the UE and the N3IWF for untrusted non-3GPP access or the TNGF for trusted non-3GPP access, and:

- a) if the IKE\_AUTH response message contained the INTERNAL\_IP4\_ADDRESS attribute and the NAS\_IP4\_ADDRESS notify payload, an inner IPv4 datagram shall be constructed where:
  - 1) the TCP packet shall be encapsulated in the inner IPv4 datagram with IPv4 header where:
    - A) if the UE constructs the inner IPv4 datagram:
      - the source address field shall be set to the IPv4 address in the INTERNAL\_IP4\_ADDRESS attribute;
      - the source port number shall be set to the UE's TCP port number;
      - the destination address field shall be set to the IPv4 address in the NAS\_IP4\_ADDRESS notify payload; and
      - the destination port number shall be set to the NAS\_TCP\_PORT notify payload;
    - B) if the N3IWF for untrusted non-3GPP access or the TNGF for trusted non-3GPP access constructs the inner IPv4 datagram:
      - the source address field shall be set to the IPv4 address in the NAS\_IP4\_ADDRESS notify payload;
      - the source port number shall be set to the NAS\_TCP\_PORT notify payload;
      - the destination address field shall be set to the IPv4 address in the INTERNAL\_IP4\_ADDRESS attribute; and
      - the destination port number shall be set to the UE's TCP port number; and
- NOTE 2: Since the UE always initiates the NAS message exchange with the N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access, the N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access receive the UE's TCP port number in the TCP SYN packet exchange and use it when sending NAS messages towards the UE or when re-establishing the TCP connection upon failure.
  - C) the protocol field shall be set to 06H;
  - 2) the inner IPv4 datagram shall be protected employing the ESP protocol in tunnel mode as specified in IETF RFC 4303 [11] where:
    - A) the SPI field in the ESP packet shall be set to the SPI of the signalling IPsec SA; and
    - B) the next header field in the ESP packet shall be set to 04H; and
  - 3) the IP packet encapsulating the ESP protected inner IPv4 datagram shall be sent to the peer for the SPI of the signalling IPsec SA; or
- b) if the IKE\_AUTH response message contained the INTERNAL\_IP6\_ADDRESS attribute and the NAS\_IP6\_ADDRESS notify payload, an inner IPv6 datagram shall be constructed where:
  - 1) the TCP packet shall be encapsulated in the inner IPv6 datagram with IPv6 header where:
    - A) if the UE constructs the inner IPv6 datagram:
      - the source address field shall be set to the IPv6 address in the INTERNAL\_IP6\_ADDRESS attribute;
      - the source port number shall be set to the UE's TCP port number;
      - the destination address field shall be set to the IPv6 address in the NAS\_IP6\_ADDRESS notify payload; and
      - the destination port number shall be set to the NAS\_TCP\_PORT notify payload;
    - B) if the N3IWF for untrusted non-3GPP access or the TNGF for trusted non-3GPP access constructs the inner IPv6 datagram:
      - the source address field shall be set to the IPv6 address in the NAS\_IP6\_ADDRESS notify payload;
      - the source port number shall be set to the NAS\_TCP\_PORT notify payload;

- the destination address field shall be set to the IPv6 address in the INTERNAL\_IP6\_ADDRESS attribute; and
- the destination port number shall be set to the UE's TCP port number; and
- NOTE 3: Since the UE always initiates the NAS message exchange with the N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access, the N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access receive the UE's TCP port number in the TCP SYN packet exchange and use it when sending NAS messages towards the UE or when re-establishing the TCP connection upon failure.
  - C) the next header field shall be set to 06H;
  - 2) the inner IPv6 datagram shall be protected employing the ESP protocol in tunnel mode as specified in IETF RFC 4303 [11] where:
    - A) the SPI field in the ESP packet shall be set to the SPI of the signalling IPsec SA; and
    - B) the next header field in the ESP packet shall be set to 29H, and
  - 3) the IP packet encapsulating the ESP protected inner IPv6 datagram shall be sent to the peer for the SPI of the signalling IPsec SA.

If the UE receives an IKE\_AUTH response message containing both NAS\_IP4\_ADDRESS and NAS\_IP6\_ADDRESS notify payload, the UE:

- a) shall select and use either NAS\_IP4\_ADDRESS or NAS\_IP6\_ADDRESS;
- b) shall not switch between NAS\_IP4\_ADDRESS and NAS\_IP6\_ADDRESS for TCP packet transport during the lifetime of the IKE SA; and
- c) shall not switch between NAS\_IP4\_ADDRESS and NAS\_IP6\_ADDRESS when rekeying any child SA or IKE SA.

The ESP packet format is shown in figure 8.2.2-1 and figure 8.2.2-2:

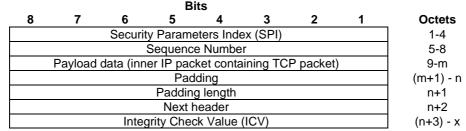


Figure 8.2.2-1: ESP packet format for TCP packet (re-)establishing or releasing TCP connection

|                                     |                                       |         | Bi        | ts         |          |     |           |           |
|-------------------------------------|---------------------------------------|---------|-----------|------------|----------|-----|-----------|-----------|
| 8                                   | 7                                     | 6       | 5         | 4          | 3        | 2   | 1         | Octets    |
|                                     |                                       | Securit | y Parame  | eters Inde | ex (SPI) |     |           | 1-4       |
|                                     |                                       |         | Sequence  | e Numbe    | r        |     |           | 5-8       |
| Payloa                              |                                       |         | acket con |            |          |     | sulating  | 9-m       |
| NAS message or partial NAS message) |                                       |         |           |            |          |     |           |           |
| Padding                             |                                       |         |           |            |          |     | (m+1) - n |           |
| Padding length                      |                                       |         |           |            |          | n+1 |           |           |
| Next header                         |                                       |         |           |            |          | n+2 |           |           |
|                                     | Integrity Check Value (ICV) (n+3) - x |         |           |            |          |     |           | (n+3) - x |

Figure 8.2.2-2: ESP packet format for TCP packet encapsulating NAS message or partial NAS message

## 8.2.3 Establishment of TCP connection for transport of NAS messages

For transport of NAS messages, the UE shall initiate establishment of a TCP connection as defined in IETF RFC793 [27]. The UE and the N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access shall construct and transport TCP packets according to clause 8.2.2.

## 8.2.3A Re-establishment of TCP connection for transport of NAS messages

The UE, the N3IWF for untrusted non-3GPP access or the TNGF for trusted non-3GPP access upon detection that the transport of a NAS message over the TCP connection is unsuccessful due to TCP connection failure, e.g. as indicated by the reception of a TCP error message, shall re-establish the TCP connection as defined in IETF RFC793 [27]. The UE and the N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access shall construct and transport TCP packets according to clause 8.2.2.

## 8.2.4 Transport of NAS messages over TCP connection

In order to transport a NAS message over the untrusted non-3GPP access between the UE and the N3IWF or over the trusted non-3GPP access between the UE and the TNGF:

- a) the NAS message shall be framed in a NAS message envelope as defined in clause 9.4;
- b) the NAS message envelope shall be transported as a payload of one or more TCP packets using the TCP connection; and
- c) the UE and the N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access shall transport the one or more TCP packets encapsulating the NAS message envelope according to clause 8.2.2.

## 8.2.5 Release of TCP connection for transport of NAS messages

In order to release the TCP connection for transport of NAS messages, the UE, the N3IWF for untrusted non-3GPP access or the TNGF for trusted non-3GPP access shall initiate release of the TCP connection as defined in IETF RFC 793 [27]. The UE, the N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access shall construct and transport TCP packets according to clause 8.2.2.

# 8.3 Transport of messages over user plane

#### 8.3.1 General

In trusted and untrusted non-3GPP access, after the completion of PDU session establishment via non-3GPP access, user plane IPsec SAs are established as specified in clause 7.5. The UE is able to send and receive GRE encapsulated user data packets over non-3GPP access network via N3IWF in untrusted non-3GPP access and TNGF in trusted non-3GPP access. GRE encapsulation of user plane data packets is described in clause 8.3.2.

In wireline access, after the completion of PDU session establishment via wireline access, one or more W-UP resources are established as specified in clause 4.4.2.2. The 5G-RG is able to send and receive the user data packet, the QFI associated with the downlink user data packet, and RQI (in downlink direction only) via the selected W-UP resource and the W-AGF serving the 5G-RG as specified in clause 4.4.2.2.

For an uplink user data packet associated with a PDU session ID and a QFI:

- a) if there is a user plane IPsec SA or a W-UP resource:
  - 1) associated with a PDU session ID matching the PDU session ID associated with the uplink user data packet; and
  - 2) associated with a QFI matching the QFI associated with the uplink user data packet;

the UE or the 5G-RG shall select that user plane IPsec SA or that W-UP resource, respectively;

- b) otherwise, the UE or the 5G-RG shall select the user plane IPsec SA or the W-UP resource, respectively:
  - 1) associated with a PDU session ID matching the PDU session ID associated with the uplink user data packet; and
  - 2) associated with the indication that the child SA is the default child SA.

## 8.3.2 Generic routing encapsulation (GRE)

If a user data packet message is transmitted over non-3GPP access between the UE and the N3IWF for untrusted non-3GPP access, the user data packet message shall be encapsulated as a GRE user data packet with a GRE header as specified in clause 9.3.3. In the GRE encapsulated user data packet:

a0) the protocol type field is set to zero;

- a) the payload packet field is set to the user data packet;
- b) the QFI field of the key field of the GRE header field is set to the QFI associated with the user data packet;
- c) if the N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access:
  - 1) needs to send RQI for a downlink user data packet, the RQI field of the key field of the GRE header is set to "RQI is indicated" as defined in table 9.3.3-3; or
  - 2) does not need to send RQI for a downlink user data packet, the RQI field of the key field of the GRE header is set to "RQI is not indicated" as defined in table 9.3.3-3; and
- d) if the UE sends an uplink user data packet, the RQI field of the key field of the GRE header is set to "RQI is not indicated" as defined in table 9.3.3-3.

If the IKE\_AUTH response message contains:

- a) the INTERNAL\_IP4\_ADDRESS attribute and the CREATE\_CHILD\_SA request message creating the user plane IPsec SA contains the UP\_IP4\_ADDRESS notify payload in clause 7.5.4, an inner IPv4 datagram shall be constructed where:
  - 1) the GRE user data packet shall be encapsulated as the payload of the inner IPv4 datagram with IPv4 header where:
    - A) if the UE constructs the inner IPv4 datagram, the source address field shall be set to the IPv4 address in the INTERNAL\_IP4\_ADDRESS attribute and the destination address field shall be set to the IPv4 address in the UP\_IP4\_ADDRESS notify payload;
    - B) if the N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access constructs the inner IPv4 datagram, the source address field shall be set to the IPv4 address in the UP\_IP4\_ADDRESS notify payload and the destination address field shall be set to the IPv4 address in the INTERNAL\_IP4\_ADDRESS attribute; and
    - C) the protocol field shall be set to 2FH;
  - 2) the inner IPv4 datagram shall be protected employing the ESP protocol in tunnel mode as specified in IETF RFC 4303 [11] where:
    - A) the SPI field in the ESP packet shall be set to the SPI of the user plane IPsec SA; and
    - B) the next header field in the ESP packet shall be set to 04H,

and the inner IPv4 datagram encapsulating the GRE encapsulated user data can be fragmented as described in IETF RFC 791 [24] before being protected by ESP protocol;

- 3) if the DSCP field is associated with the user plane IPsec SA, the DSCP field as specified in IETF RFC 2474 [26] of the IP packet encapsulating the ESP protected inner IPv4 datagram shall be set to the value of the DSCP field included in the 5G\_QOS\_INFO Notify payload; and
- 4) the IP packet encapsulating the ESP protected inner IPv4 datagram shall be sent to the peer for the SPI of the user plane IPsec SA; or
- b) the INTERNAL\_IP6\_ADDRESS attribute and the CREATE\_CHILD\_SA request message creating the user plane IPsec SA contains the UP\_IP6\_ADDRESS notify payload in clause 7.5.4, an inner IPv6 datagram shall be constructed where:
  - 1) the GRE user data packet shall be encapsulated as the payload of the inner IPv6 datagram with IPv6 header where:

- A) if the UE constructs the inner IPv6 datagram, the source address field shall be set to the IPv6 address in the INTERNAL\_IP6\_ADDRESS attribute and the destination address field shall be set to the IPv6 address in the UP\_IP6\_ADDRESS notify payload;
- B) if the N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access constructs the inner IPv6 datagram, the source address field shall be set to the IPv6 address in the UP\_IP6\_ADDRESS notify payload and the destination address field shall be set to the IPv6 address in the INTERNAL\_IP6\_ADDRESS attribute; and
- C) the next header field shall be set to 2FH;
- 2) the inner IPv6 datagram shall be protected employing the ESP protocol in tunnel mode as specified in IETF RFC 4303 [11] where:
  - A) the SPI field in the ESP packet shall be set to the SPI of the user plane IPsec SA; and
  - B) the next header field in the ESP packet shall be set to 29H;

and the inner IPv6 datagram encapsulating the GRE encapsulated user data can be fragmented as described in IETF RFC 8200 [25] before being protected by ESP protocol; and

- 3) if the DSCP field is associated with the user plane IPsec SA, the DSCP field as specified in IETF RFC 2474 [26] of the IP packet encapsulating the ESP protected inner IPv6 datagram shall be set to the value of the DSCP field included in the 5G\_QOS\_INFO Notify payload; and
- 4) theIP packet encapsulating the ESP protected inner IPv6 datagram shall be sent to the peer for the SPI of the user plane IPsec SA.

If a user data packet message is transmitted over non-3GPP access between the UE and the N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access, the user data packet message shall be encapsulated in the payload of an inner IP datagram which is further encapsulated by ESP protocol in tunnel mode as specified in IETF RFC 4303 [11]. In order to avoid any IP fragmentation by the sending entity over the non-3GPP access network, the maximum inner IP datagram length shall be set by the sending entity such that the length of the resulting outer IP datagram does not exceed the MTU of the non-3GPP access network. If the length of the user data packet message exceeds the payload size corresponding to the maximum inner IP datagram length and IP fragmentation is needed:

- a) the inner IP IPv4 datagram or inner IP IPv6 datagram shall be fragmented; and
- b) the IP packet encapsulating the ESP protected inner IPv4 datagram and the IP packet encapsulating the ESP protected inner IPv6 datagram shall not be fragmented.

# 9 Parameters and coding

## 9.1 General

This clause describes the encoding of the parameters which are exchanged between the UE and the network. This clause is further divided into three clauses; 3GPP specific coding information, IETF specific coding information and NAS message envelope.

The clauses for the 3GPP specific coding information and IETF specific coding information describe how to encode the messages and parameters belonging to 3GPP and IETF. The clause for NAS message envelope describes how to encode the NAS message envelope in order to frame a NAS message prior to its encapsulation within a TCP payload.

# 9.2 3GPP specific coding information

## 9.2.1 GUAMI

The purpose of the GUAMI information element is to provide the globally unique AMF ID.

The GUAMI information element is coded as shown in figures 9.2.1-1 and table 9.2.1-1.

| 8     | 7                       | 6       | 5      | 4       | 3      | 2       | 1 |         |
|-------|-------------------------|---------|--------|---------|--------|---------|---|---------|
|       |                         |         | GUA    | MI IEI  |        |         |   | octet 1 |
|       | MCC                     | digit 2 |        |         | MCC    | digit 1 |   | octet 2 |
|       | MNC                     | digit 3 |        |         | MCC    | digit 3 |   | octet 3 |
|       | MNC digit 2 MNC digit 1 |         |        |         |        | octet 4 |   |         |
|       |                         |         | AMF re | gion ID |        |         |   | octet 5 |
|       |                         |         | AMF    | set ID  |        |         |   | octet 6 |
| AMF   | set ID                  |         |        | AMF p   | ointer |         |   | octet 7 |
| (cont | nued)                   |         |        | -       |        |         |   |         |

The GUAMI is a type 3 information element with a length of 7 octets.



## 9.2.2 Establishment cause for non-3GPP access

The purpose of the Establishment cause for non-3GPP access information element is to provide the establishment cause for non-3GPP access.

The Establishment cause for non-3GPP access information element is coded as shown in figures 9.2.2-1 and table 9.2.2-1.

The Establishment cause for non-3GPP access is a type 3 information element with length of 2 octets.

| 8     | 7     | 6        | 5        | 4        | 3        | 2          | 1 |         |
|-------|-------|----------|----------|----------|----------|------------|---|---------|
|       | Estab | lishment | cause fo | r non-3G | PP acces | ss IEI     |   | octet 1 |
| 0     | 0     | 0        | 0        |          | N3A      | <b>NEC</b> |   | octet 2 |
| Spare | Spare | Spare    | Spare    |          |          |            |   |         |

Figure 9.2.2-1: Establishment cause for non-3GPP access information element

Table 9.2.2-1: Establishment cause for non-3GPP access information element

| Establishment cause for non-3GPP access (N3AEC) (octet 2 bits 1 to 4)                      |
|--|
| Bits   |
| 4 3 2 1  |
| 0 0 0 0 emergency  |
| 0 0 0 1 highPriorityAccess   |
| 0 0 1 1 mo-Signalling  |
| 0 1 0 0mo-Data   |
| 1 0 0 0mps-PriorityAccess  |
| 1 0 0 1 mcs-PriorityAccess   |
| 1 0 1 0mo-SMS  |
| 1 0 1 1 mo-VoiceCall   |
| 1 1 0 0mo-VideoCall  |
|  |
| All other values are spare values. The receiving entity shall treat a spare value as 0100, |
| "mo-Data".   |

## 9.2.3 PLMN ID

The purpose of the PLMN ID information element is to indicate the PLMN identity of the selected PLMN.

The PLMN ID is a type 4 information element with a length of 5 octets.

The PLMN ID information element is coded as shown in figure 9.2.3-1 and table 9.2.3-1.

| 8 | 7   | 6       | 5         | 4         | 3      | 2       | 1 |         |
|---|-----|---------|-----------|-----------|--------|---------|---|---------|
|   |     |         | PLMN      | ID IEI    |        |         |   | octet 1 |
|   |     | Leng    | th of PLN | IN ID cor | ntents |         |   | octet 2 |
|   | MCC | digit 2 |           |           | MCC    | digit 1 |   | octet 3 |
|   | MNC | digit 3 |           |           | MCC    | digit 3 |   | octet 4 |
|   | MNC | digit 2 |           |           | MNC    | digit 1 |   | octet 5 |

Figure 9.2.3-1: PLMN ID information element

MCC, Mobile country code (octet 3, octet 4 bits 1 to 4) The MCC field is coded as in ITU-T Recommendation E.212 [42], Annex A

MNC, Mobile network code (octet 5, octet 4 bits 5 to 8). The coding of this field is the responsibility of each administration but BCD coding shall be used. The MNC shall consist of 2 or 3 digits. If a network operator decides to use only two digits in the MNC, bits 5 to 8 of octet 4 shall be coded as "1111". Mobile equipment shall accept MNC coded in such a way.

## 9.2.4 IKEv2 Notify Message Type value

### 9.2.4.1 General

The IKEv2 Notify Message Type is specified in IETF RFC 7296 [6].

The Notify Message Type with a value (in decimal) in the range 0 - 16383 is intended for reporting errors, where:

- value range between 0 and 8191 is defined in IETF RFC 7296 [6]; and

- value range between 8192 and 16383 is reserved for private error usage.

The Notify Message Type with a value (in decimal) in the range 16384 - 65535 is intended for reporting status, where:

- value range between 16384 and 40959 is defined in IETF RFC 7296 [6]; and
- value range between 40960 and 65535 is reserved for private status usage.

## 9.2.4.2 Private Notify Message - Error Types

The Private Notify Message Error Types defined in table 9.2.4.2-1 are error notifications which indicate an error while negotiating an IKEv2 SA or IPsec SA. Refer to table 9.2.4.2-1 for more details on what each error type means.

Table 9.2.4.2-1: Private Error Types

| Notify Message          | Value        | Descriptions  |
|-------------------------|--------------|---|
|                         | (in decimal) |   |
| CONGESTION              | 15500        | This error type is used to indicate that the requested service was rejected because of congestion in the network.   |
| NO_RESOURCES_OVER_N3GPP | 15501        | This error type is used by the UE to indicate the failure of reserving the QoS resources over non-3GPP access for the QoS flows associated with the child SA. |

In the present specification, only the private notify message error type values between 15500 and 15599 shall be allocated to a Notify payload.

The private notify message error type values:

- between 9950 and 9999;
- between 10950 and 10999;
- between 11950 and 11999;
- between 12950 and 12999;
- between 13950 and 13999; and
- between 14950 and 14999;

shall not be allocated to a Notify payload defined in the present specification.

#### 9.2.4.3 Private Notify Message - Status Types

The Private Notify Message Status Types defined in table 9.2.4.3-1 are used to indicate status notifications or additional information in a Notify payload which may be added to an IKEv2 message or IKE\_AUTH request or IKE\_AUTH response message according to the procedures described in the present document. Refer to table 9.2.4.3-1 for more details on what each status type means.

| Notify Message          | Value<br>(in decimal) | Descriptions   |
|-------------------------|-----------------------|--|
| 5G_QOS_INFO             | 55501                 | This status when present indicates 5G_QOS_INFO Notify payload encoded according to clause 9.3.1.1                |
| NAS_IP4_ADDRESS         | 55502                 | This status when present indicates NAS_IP4_ADDRESS Notify payload encoded according to clause 9.3.1.2.           |
| NAS_IP6_ADDRESS         | 55503                 | This status when present indicates NAS_IP6_ADDRESS Notify payload encoded according to clause 9.3.1.3.           |
| UP_IP4_ADDRESS          | 55504                 | This status when present indicates UP_IP4_ADDRESS<br>Notify payload encoded according to clause 9.3.1.4.         |
| UP_IP6_ADDRESS          | 55505                 | This status when present indicates UP_IP6_ADDRESS<br>Notify payload encoded according to clause 9.3.1.5.         |
| NAS_TCP_PORT            | 55506                 | This status when present indicates NAS_TCP_PORT<br>Notify payload encoded according to clause 9.3.1.6.           |
| N3GPP_BACKOFF_T<br>IMER | 55507                 | This status when present indicates<br>N3GPP_BACKOFF_TIMER Notify payload encoded<br>according to clause 9.3.1.7. |

 Table 9.2.4.3-1: Private Status Types

In the present specification, only the private notify message status type values between 55500 and 55599 shall be allocated to a Notify payload.

The private notify message status type values:

- between 49950 and 49999;
- between 50950 and 50999;
- between 51950 and 51999;
- between 52950 and 52999;
- between 53950 and 53999; and
- between 54950 and 54999;

shall not be allocated to a Notify payload defined in the present specification.

## 9.2.5 TNGF IPv4 contact info

The purpose of the TNGF IPv4 contact info information element is to indicate the IPv4 address of the TNGF to be used for IKE SA establishment over trusted non-3GPP access network.

The TNGF IPv4 contact info is a type 4 information element with a length of 6 octets.

The TNGF IPv4 contact info information element is coded as shown in figure 9.2.5-1 and table 9.2.5-1.

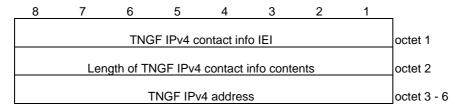


Figure 9.2.5-1: TNGF IPv4 contact info information element

#### Table 9.2.5-1: TNGF IPv4 contact info information element

TNGF IPv4 address contains IPv4 address of the TNGF for IKE SA establishment over trusted non-3GPP access network.

## 9.2.6 TNGF IPv6 contact info

The purpose of the TNGF IPv6 contact info information element is to indicate the IPv6 address of the TNGF to be used for IKE SA establishent.

The TNGF IPv6 contact info is a type 4 information element with a length of 18 octets.

The TNGF IPv6 contact info information element is coded as shown in figure 9.2.6-1 and table 9.2.6-1.

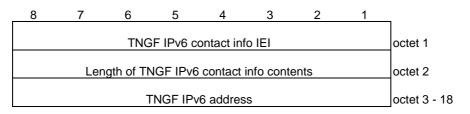


Figure 9.2.6-1: TNGF IPv6 contact info information element

#### Table 9.2.6-1: TNGF IPv6 contact info information element

TNGF IPv6 address contains IPv6 address of the TNGF for IKE SA establishment over trusted non-3GPP access network.

### 9.2.7 NID

The purpose of the NID information element is to indicate the NID of the selected SNPN.

The NID is a type 4 information element with a length of 8 octets.

The NID information element is coded as shown in figure 9.2.7-1 and table 9.2.7-1.

| 8 | 7                 | 6         | 5         | 4        | 3        | 2          | 1 | _       |
|---|-------------------|-----------|-----------|----------|----------|------------|---|---------|
|   | NID IEI           |           |           |          |          |            |   |         |
|   |                   | Le        | ngth of N | ID conte | ents     |            |   | octet 2 |
|   | NID valu          | e digit 1 |           |          | Assignm  | ent mode   | 9 | octet 3 |
|   | NID valu          | e digit 3 |           |          | NID valu | ue digit 2 |   | octet 4 |
|   | NID value digit 5 |           |           |          | NID valu | ue digit 4 |   | octet 5 |
|   | NID valu          | e digit 7 |           |          | NID valu | ue digit 6 |   | octet 6 |
|   | NID valu          | e digit 9 |           |          | NID valu | ue digit 8 |   | octet 7 |
| 0 | 0<br>Spa          | 0         | 0         |          | NID valu | e digit 10 | ) | octet 8 |

Figure 9.2.7-1: NID information element

#### Table 9.2.7-1: NID information element

Assignment mode (octet 3 bits 1 to 4) This field contains the binary encoding of the assignment mode of the NID as defined in 3GPP TS 23.003 [8].

NID value (octet 3 bits 5 to 8, octets 4 to 7, octet 8 bits 1 to 4) This field contains the binary encoding of each hexadecimal digit of the NID value as defined in 3GPP TS 23.003 [8].

Bits 5 to 8 of octet 8 are spare and shall be coded as zero.

## 9.3 IETF RFC coding information

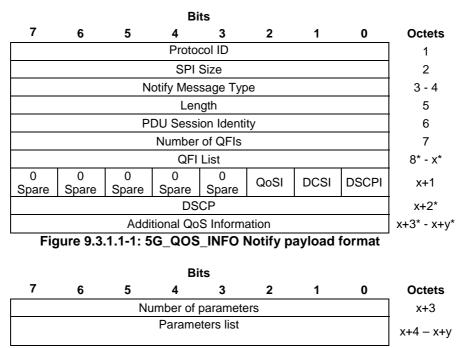
## 9.3.1 IKEv2 Notify payloads

#### 9.3.1.1 5G\_QOS\_INFO Notify payload

The 5G\_QOS\_INFO payload is used to indicate:

- a) the PDU session identity;
- b) zero or more QFIs;
- c) optionally a DSCP value associated with the child SA;
- d) whether the child SA is the default child SA; and
- e) if trusted non-3GPP access, Additional QoS Information or if untrusted non-3GPP access, optionally Additional QoS Information.

The 5G\_QOS\_INFO payload is coded according to figure 9.3.1.1-1 and table 9.3.1.1-1.





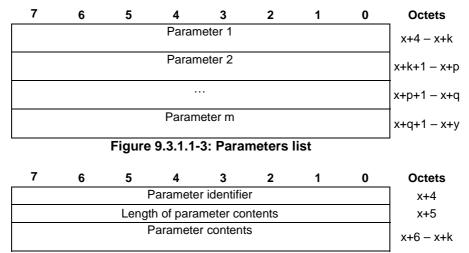


Figure 9.3.1.1-4: Parameter

#### Table 9.3.1.1-1: 5G\_QOS\_INFO Notify payload value

| Octet 1 is defined in IETF RFC 7296 [6]  |  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|--|
| Octet 2 is the SPI Size field. It is set to 0 and there is no Security Parameter Index field.  |  |  |  |  |  |  |  |  |
| Octet 3 and Octet 4 is the Notify Message Type field. The Notify Message Type field is set to value 55501 to indicate the 5G_QOS_INFO.   |  |  |  |  |  |  |  |  |
| Octet 5 is the Length field. This field indicates the length in octets of the 5G_QOS_INFO Value field.   |  |  |  |  |  |  |  |  |
| Octet 6 is the PDU Session Identity field. This field indicates the PDU session associated with the child SA for user plane.   |  |  |  |  |  |  |  |  |
| Octet 7 is the Number of QFIs field. This field indicates the number of QFIs in the QFI list.  |  |  |  |  |  |  |  |  |
| Octet 8 to octet x is the QFI List field. This field indicates those QoS flows associated with the child SA. Every QFI is coded as the QFI field in the QoS rule defined in 3GPP TS 24.501 [4].  |  |  |  |  |  |  |  |  |
| Octet x+1, bit 0 is the DSCP included field (DSCPI).<br>0 DSCP field is not included.<br>1 DSCP field is included.   |  |  |  |  |  |  |  |  |
| <ul> <li>Octet x+1, bit 1 is the indication of whether the child SA is the default child SA (DCSI).</li> <li>0 the child SA is not the default child SA.</li> <li>1 the child SA is the default child SA.</li> </ul>   |  |  |  |  |  |  |  |  |
| <ul> <li>Octet x+1, bit 2 is the Additional QoS Information indication field (QoSI)</li> <li>0 Additional QoS Information is not included.</li> <li>1 Additional QoS Information is included.</li> </ul>   |  |  |  |  |  |  |  |  |
| Octet x+2 is the DSCP field. If included, this field indicates the DSCP marking for all IP packets sent over this child SA.  |  |  |  |  |  |  |  |  |
| Octet x+3 to octet x+y is the Additional QoS Information field which is included if the access network is the trusted non-3GPP access network, and is optionally included if the access network is the untrusted non-3GPP access network. This field is encoded as defined in table 9.3.1.1-2. |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |

#### Table 9.3.1.1-2: Additional QoS Information

Octet x+3 is number of parameters The number of parameters field contains the binary coding for the number of parameters in the parameters list field. The number of parameters field is encoded in bits 7 through 0 of octet x+3 where bit 7 is the most significant and bit 0 is the least significant bit. The parameter identifier field is used to identify each parameter included in the parameters list and it contains the binary coding of the parameter identifier. Bit 7 of the parameter identifier field contains the most significant bit and bit 0 contains the least significant bit. The following parameter identifiers are specified: Bits 76543210 0000001 QoS characteristics; Maximum Flow Bit Rate downlink (MFBR downlink); 00000010 Maximum Flow Bit Rate uplink (MFBR uplink); 0000011 Guaranteed Flow Bit Rate downlink (GFBR downlink); 0000100 Guaranteed Flow Bit Rate uplink (GFBR uplink); 00000101 00000110 Notification Control: 00000111 Maximum Packet Loss Rate downlink; and Maximum Packet Loss Rate uplink. 00001000 All other values are spare.

If the parameters list contains a parameter identifier that is not supported by the receiving entity the corresponding parameter shall be discarded.

If the parameter identifier indicates QoS characteristics, the parameter contents field contains the following representation: Octet 1 is the resource type with binary representation: Bits 76543210 00000000 GBR 0000001 Delayed critical GBR 0000010 Non GBR All other values are spare. Octet 2 is the priority level with 1 as the highest priority and 127 as the lowest priority ((see clause 9.3.1.84 in 3GPP TS 38.413 [29], see NOTE), and the binary representation is: Bits 76543210 0000001 thru 01111111 All other values are spare. Octets 3 and 4 are the packet delay budget and is a factor of 0.5ms (see clause 9.3.1.80 in 3GPP TS 38.413 [29], see NOTE), where the factor has the following binary representation: Bits 7654321076543210 0000000000000000000 thru 0000011111111111 All other values are spare. Octets 5 and 6 are the packet error rate where octet 5 is scalar and octet 6 represents exponent. The packet error rate is calculated as {scalar x10 - exponent} (see clause 9.3.1.81 in 3GPP TS 38.413 [29]) The binary representation of scalar and exponent are: Bits 76543210 00000000 thru 00001001 All other values are spare. Octets 7 and 8 are the averaging window and is included if the resource type is GBR. Averaging window is a factor of 0.5ms with default value of 2000ms (see clause 9.3.1.82 in 3GPP TS 38.413 [29], see NOTE), where the factor has the following binary representation: Bits 7654321076543210 thru 000011111111111111 All other values are spare. Octets 9 and 10 are the maximum data burst volume and is included if the resource type is delayed critical GBR. Maximum data burst volume is the maximum number of the bytes for the data volume (see clause 9.3.1.83 in 3GPP TS 38.413 [29], see NOTE), where the maximum number of bytes has the following binary representation: Bits 7654321076543210 thru 00001111111111111 All other values are spare. For GBR and delayed critical GBR resource types if the parameter identifier indicates " GFBR downlink", the parameter contents field contains one octet indicating the unit of the guaranteed flow bit rate for downlink followed by two octets containing the value of the guaranteed flow bit rate for downlink. Unit of the guaranteed flow bit rate for downlink (octet 1) Bits 76543210

| 00000000               | value is not used  |
|------------------------|--|
| 00000001               | value is incremented in multiples of 1 Kbps<br>value is incremented in multiples of 4 Kbps                       |
| 00000010<br>00000011   | value is incremented in multiples of 4 Kbps  |
| 00000100               | value is incremented in multiples of 64 Kbps   |
| 00000101               | value is incremented in multiples of 256 Kbps  |
| 00000110               | value is incremented in multiples of 1 Mbps  |
| 00000111               | value is incremented in multiples of 4 Mbps  |
| 00001000               | value is incremented in multiples of 16 Mbps   |
| 00001001               | value is incremented in multiples of 64 Mbps   |
| 00001010               | value is incremented in multiples of 256 Mbps  |
| 00001011               | value is incremented in multiples of 1 Gbps  |
| 00001100<br>00001101   | value is incremented in multiples of 4 Gbps<br>value is incremented in multiples of 16 Gbps                      |
| 00001110               | value is incremented in multiples of 64 Gbps   |
| 00001111               | value is incremented in multiples of 256 Gbps  |
| 00010000               | value is incremented in multiples of 1 Tbps  |
| 00010001               | value is incremented in multiples of 4 Tbps  |
| 00010010               | value is incremented in multiples of 16 Tbps   |
| 00010011               | value is incremented in multiples of 64 Tbps   |
| 00010100<br>00010101   | value is incremented in multiples of 256 Tbps  |
| 00010101               | value is incremented in multiples of 1 Pbps<br>value is incremented in multiples of 4 Pbps                       |
| 00010110               | value is incremented in multiples of 16 Pbps   |
| 00011000               | value is incremented in multiples of 64 Pbps   |
| 00011001               | value is incremented in multiples of 256 Pbps  |
| Other values sha       | Il be interpreted as multiples of 256 Pbps in this version of the protocol.                                      |
|                        |  |
|                        | anteed flow bit rate for downlink (octets 2 and 3)   |
|                        | present the binary coded value of the guaranteed flow bit rate for downlink in units                             |
| defined by the un      | it of the guaranteed flow bit rate for downlink.   |
| For GBR and del        | ayed critical GBR resource types if the parameter identifier indicates " GFBR uplink",                           |
|                        | ntents field contains one octet indicating the unit of the guaranteed flow bit rate for                          |
|                        | y two octets containing the value of the guaranteed flow bit rate for uplink.                                    |
|                        |  |
|                        | nteed flow bit rate for uplink (octet 1)   |
| The coding is ide      | ntical to that of the unit of the guaranteed flow bit rate for downlink.   |
| Value of the quar      | anteed flow bit rate for uplink (octets 2 and 3)   |
|                        | present the binary coded value of the guaranteed flow bit rate for uplink in units                               |
|                        | it of the guaranteed flow bit rate for uplink.   |
|                        |  |
|                        | ayed critical GBR resource types if the parameter identifier indicates " MFBR                                    |
|                        | rameter contents field contains one octet indicating the unit of the maximum flow bit                            |
| rate for downlink      | followed by two octets containing the value of maximum flow bit rate for downlink.                               |
|                        |  |
|                        | num flow bit rate for downlink (octet 1)   |
| The cooling is ide     | ntical to that of the unit of the guaranteed flow bit rate for downlink.   |
| Value of the max       | imum flow bit rate for downlink (octets 2 and 3)   |
|                        | present the binary coded value of the maximum flow bit rate for downlink in units                                |
|                        | it of the maximum flow bit rate for downlink.  |
| · · · · <b>,</b> · · · |  |
| For GBR and del        | ayed critical GBR resource types if the parameter identifier indicates " MFBR uplink",                           |
|                        | ntents field contains one octet indicating the unit of the maximum flow bit rate for                             |
| uplink followed by     | y two octets containing the value of the maximum flow bit rate for downlink.                                     |
| I loit of the marine   | num flow hit rate for unlink (optot 1)   |
|                        | num flow bit rate for uplink (octet 1)<br>ntical to that of the unit of the guaranteed flow bit rate for uplink. |
| The county is lue      | nical to that of the unit of the guaranteed now bit fate for upink.  |
| Value of the max       | imum flow bit rate for uplink (octets 2 and 3)   |
|                        | present the binary coded value of the maximum flow bit rate for uplink in units                                  |
|                        | it of the maximum flow bit rate for uplink.  |
| For GBR and del        | ayed critical GBR resource types if the parameter identifier indicates "Notification                             |
| Control", the para     | ameter identifier shall be ignored in this release.  |
|                        |  |
|                        |  |

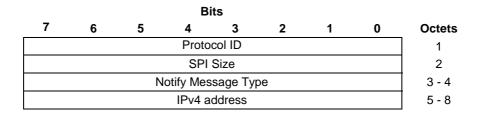
For GBR and delayed critical GBR resource types if the parameter identifier indicates "Maximum Packet Loss Rate downlink", the parameter contents field contains the ratio of the lost downlink packets per number of downlink packets sent, expressed in tenth of percent (see clause 9.3.1.79 in 3GPP TS 38.413 [29], see NOTE), with the binary representation: Bits 7654321076543210 thru 000001111101000 All other values are spare. For GBR and delayed critical GBR resource types if the parameter identifier indicates "Maximum Packet Loss Rate uplink", the parameter contents field contains the ratio of the lost uplink packets per number of uplink packets sent, expressed in tenth of percent (see clause 9.3.1.79 in 3GPP TS 38.413 [29]), with the binary representation: Bits 7654321076543210 0000000000000000000 thru 000001111101000 All other values are spare.

NOTE: The protocol specified in 3GPP TS 29.413 [39] uses IEs specified in 3GPP TS 38.413 [29].

## 9.3.1.2 NAS\_IP4\_ADDRESS Notify payload

The NAS\_IP4\_ADDRESS payload is used to indicate the inner IPv4 address of the N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access for NAS message transport.

The NAS\_IP4\_ADDRESS payload is coded according to figure 9.3.1.2-1 and table 9.3.1.2-1.



## Figure 9.3.1.2-1: NAS\_IP4\_ADDRESS Notify payload format

#### Table 9.3.1.2-1: NAS\_IP4\_ADDRESS Notify payload value

Octet 1 is defined in IETF RFC 7296 [6]

Octet 2 is SPI Size field. It is set to 0 and there is no Security Parameter Index field.

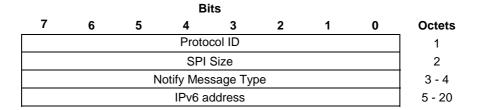
Octet 3 and Octet 4 is the Notify Message Type field. The Notify Message Type field is set to value 55502 to indicate the NAS\_IP4\_ADDRESS.

Octet 5 to octet 8 is the IPv4 address field. The IPv4 address field contains the inner IPv4 address of the N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access for NAS message transport.

## 9.3.1.3 NAS\_IP6\_ADDRESS Notify payload

The NAS\_IP6\_ADDRESS payload is used to indicate the inner IPv6 address of the N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access for NAS message transport.

The NAS\_IP6\_ADDRESS payload is coded according to figure 9.3.1.3-1 and table 9.3.1.3-1.



#### Figure 9.3.1.3-1: NAS\_IP6\_ADDRESS Notify payload format

#### Table 9.3.1.3-1: NAS\_IP6\_ADDRESS Notify payload value

Octet 1 is defined in IETF RFC 7296 [6]

Octet 2 is SPI Size field. It is set to 0 and there is no Security Parameter Index field.

Octet 3 and Octet 4 is the Notify Message Type field. The Notify Message Type field is set to value 55503 to indicate the NAS\_IP6\_ADDRESS.

Octet 5 to octet 20 is the IPv6 address field. The IPv6 address field contains the inner IPv6 address of the N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access for NAS message transport.

## 9.3.1.4 UP\_IP4\_ADDRESS Notify payload

The UP\_IP4\_ADDRESS payload is used to indicate the inner IPv4 address of the N3IWF for untrusted non-3GPP access and the TNGF for trusted on-3GPP access for GRE user data packet transport.

The UP\_IP4\_ADDRESS payload is coded according to figure 9.3.1.4-1 and table 9.3.1.4-1.

|   |                     |   | В | its |   |   |   |        |  |  |
|---|---------------------|---|---|-----|---|---|---|--------|--|--|
| 7 | 6                   | 5 | 4 | 3   | 2 | 1 | 0 | Octets |  |  |
|   | Protocol ID         |   |   |     |   |   |   |        |  |  |
|   | SPI Size            |   |   |     |   |   |   |        |  |  |
|   | Notify Message Type |   |   |     |   |   |   |        |  |  |
|   | IPv4 address        |   |   |     |   |   |   |        |  |  |

#### Figure 9.3.1.4-1: UP\_IP4\_ADDRESS Notify payload format

### Table 9.3.1.4-1: UP\_IP4\_ADDRESS Notify payload value

Octet 1 is defined in IETF RFC 7296 [6]

Octet 2 is SPI Size field. It is set to 0 and there is no Security Parameter Index field.

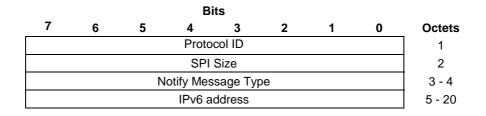
Octet 3 and Octet 4 is the Notify Message Type field. The Notify Message Type field is set to value 55504 to indicate the UP\_IP4\_ADDRESS.

Octet 5 to octet 8 is the IPv4 address field. The IPv4 address field contains the inner IPv4 address of the N3IWF for untrusted non-3GPP access and the TNGF for trusted on-3GPP access for GRE user data packet transport.

## 9.3.1.5 UP\_IP6\_ADDRESS Notify payload

The UP\_IP6\_ADDRESS payload is used to indicate the inner IPv6 address of the N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access for GRE user data packet transport.

The UP\_IP6\_ADDRESS payload is coded according to figure 9.3.1.5-1 and table 9.3.1.5-1.



#### Figure 9.3.1.5-1: UP\_IP6\_ADDRESS Notify payload format

#### Table 9.3.1.5-1: UP\_IP6\_ADDRESS Notify payload value

Octet 1 is defined in IETF RFC 7296 [6]

Octet 2 is SPI Size field. It is set to 0 and there is no Security Parameter Index field.

Octet 3 and Octet 4 is the Notify Message Type field. The Notify Message Type field is set to value 55505 to indicate the UP\_IP6\_ADDRESS.

Octet 5 to octet 20 is the IPv6 address field. The IPv6 address field contains the inner IPv6 address of the N3IWF for untrusted non-3GPP access and the TNGF for trusted non-3GPP access for GRE user data packet transport.

## 9.3.1.6 NAS\_TCP\_PORT Notify payload

The NAS\_TCP\_PORT payload is used to indicate the port number for the connection of the inner TCP transport protocol for the NAS message transport.

The NAS\_TCP\_PORT payload is coded according to figure 9.3.1.6-1 and table 9.3.1.6-1.

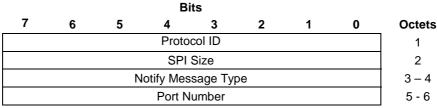


Figure 9.3.1.6-1: NAS\_TCP\_PORT Notify payload format

#### Table 9.3.1.6-1: NAS\_TCP\_PORT Notify payload value

Octet 1 is defined in IETF RFC 7296 [6]

Octet 2 is SPI Size field. It is set to 0 and there is no Security Parameter Index field.

Octet 3 and Octet 4 is the Notify Message Type field. The Notify Message Type field is set to value 55506 to indicate the NAS\_TCP\_PORT.

Octet 5 and octet 6 are the Port Number field which contains the port number of the connection for the inner TCP transport protocol for the NAS message transport.

## 9.3.1.7 N3GPP\_BACKOFF\_TIMER Notify payload

The N3GPP\_BACKOFF\_TIMER Notify payload is used to indicate the value of the back-off timer.

The N3GPP\_BACKOFF\_TIMER Notify payload is coded according to figure 9.3.1.7-1 and table 9.3.1.7-1.

|   | Bits                |   |   |   |   |   |   |        |  |  |  |  |
|---|---------------------|---|---|---|---|---|---|--------|--|--|--|--|
| 7 | 6                   | 5 | 4 | 3 | 2 | 1 | 0 | Octets |  |  |  |  |
|   | Protocol ID         |   |   |   |   |   |   |        |  |  |  |  |
|   | SPI Size            |   |   |   |   |   |   |        |  |  |  |  |
|   | Notify Message Type |   |   |   |   |   |   |        |  |  |  |  |
|   | Backoff Timer Value |   |   |   |   |   |   |        |  |  |  |  |

#### Figure 9.3.1.7-1: N3GPP\_BACKOFF\_TIMER Notify payload format

#### Table 9.3.1.7-1: N3GPP\_BACKOFF\_TIMER Notify payload value

Octet 1 is defined in IETF RFC 7296 [6]

Octet 2 is SPI Size field. It is set to 0 and there is no Security Parameter Index field.

Octet 3 and Octet 4 is the Notify Message Type field. The Notify Message Type field is set to value 55507 to indicate the N3GPP\_BACKOFF\_TIMER.

Octet 5 is the Backoff Timer Value field. This field indicates the value of the back-off timer. It is coded as the value part (as specified in 3GPP TS 24.007 [22] for type 4 IE) of the GPRS timer 3 information element defined in 3GPP TS 24.008 [28] clause 10.5.7.4a (NOTE).

NOTE: The GPRS Timer 3 IEI field and the length of GPRS Timer 3 contents field of the GPRS timer 3 information element are not included in the value of the back-off timer.

# 9.3.2 EAP-5G method

## 9.3.2.1 General

The messages of EAP-5G method are EAP requests and EAP responses as specified in IETF RFC 3748 [9] clause 4.1 and use coding of the expanded method type as described in IETF RFC 3748 [9] clause 5.7.

The sending entity shall set the value of a spare bit to zero. The receiving entity shall ignore the value of a spare bit.

## 9.3.2.2 Message format

#### 9.3.2.2.1 EAP-Request/5G-Start message

EAP-Request/5G-Start message is coded as specified in figure 9.3.2.2.1-1 and table 9.3.2.2.1-1.

|   |            |          | В      | its     |          |         |      |        |  |  |
|---|------------|----------|--------|---------|----------|---------|------|--------|--|--|
| 7 | 6          | 5        | 4      | 3       | 2        | 1       | 0    | Octets |  |  |
|   | Code       |          |        |         |          |         |      |        |  |  |
|   | Identifier |          |        |         |          |         |      |        |  |  |
|   | Length     |          |        |         |          |         |      |        |  |  |
|   | Туре       |          |        |         |          |         |      |        |  |  |
|   |            |          | Vend   | dor-Id  |          |         |      | 6 - 8  |  |  |
|   |            |          | Vendo  | or-Type |          |         |      | 9 - 12 |  |  |
|   | Message-Id |          |        |         |          |         |      |        |  |  |
|   | Spare      |          |        |         |          |         |      |        |  |  |
|   | Extensions |          |        |         |          |         |      |        |  |  |
| F | Figure 9   | .3.2.2.1 | 1: EAP | -Reques | st/5G-St | art mes | sage | -      |  |  |

# Table 9.3.2.2.1-1: EAP-Request/5G-Start message

Code field is set to 1 (decimal) as specified in IETF RFC 3748 [9] clause 4.1 and indicates request.

Identifier field is set as specified in IETF RFC 3748 [9] clause 4.1.

Length field is set as specified in IETF RFC 3748 [9] clause 4.1 and indicates the length of the EAP-Request/5G-Start message in octets.

Type field is set to 254 (decimal) as specified in IETF RFC 3748 [9] clause 5.7 and indicates the expanded type.

Vendor-Id field is set to the 3GPP Vendor-Id of 10415 (decimal) registered with IANA under the SMI Private Enterprise Code registry.

Vendor-Type field is set to EAP-5G method identifier of 3 (decimal) as specified in 3GPP TS 33.402 [10] annex C.

Message-Id field is set to 5G-Start-Id of 1 (decimal).

Spare field consists of spare bits.

Extensions field is an optional field and consists of spare bits.

## 9.3.2.2.2 EAP-Response/5G-NAS message

EAP-Response/5G-NAS message is coded as specified in figure 9.3.2.2.2-1 and table 9.3.2.2.2-1.

|   | Bits                          |   |          |            |     |   |   |                            |  |  |  |  |
|---|-------------------------------|---|----------|------------|-----|---|---|----------------------------|--|--|--|--|
| 7 | 6                             | 5 | 4        | 3          | 2   | 1 | 0 | Octets                     |  |  |  |  |
|   |                               |   | Co       | ode        |     |   |   | 1                          |  |  |  |  |
|   | Identifier                    |   |          |            |     |   |   |                            |  |  |  |  |
|   | Length                        |   |          |            |     |   |   |                            |  |  |  |  |
|   |                               |   | Ту       | γpe        |     |   |   | 5                          |  |  |  |  |
|   |                               |   | Vend     | dor-Id     |     |   |   | 6 - 8                      |  |  |  |  |
|   |                               |   | Vendo    | or-Type    |     |   |   | 9 - 12                     |  |  |  |  |
|   | Message-Id                    |   |          |            |     |   |   |                            |  |  |  |  |
|   | Spare                         |   |          |            |     |   |   |                            |  |  |  |  |
|   |                               | A | N-parame | eters leng | gth |   |   | 15-16                      |  |  |  |  |
|   |                               |   | AN-par   | ameters    |     |   |   | 17 - (17+x)                |  |  |  |  |
|   |                               |   | NAS-PD   | U length   |     |   |   | (18+x) -<br>(19+x)         |  |  |  |  |
|   |                               |   | NAS      | -PDU       |     |   |   | (20+x) -<br>(n+x)          |  |  |  |  |
|   | Extended-AN-parameters length |   |          |            |     |   |   |                            |  |  |  |  |
|   | Extended-AN-parameters        |   |          |            |     |   |   |                            |  |  |  |  |
|   |                               |   | Exter    | nsions     |     |   |   | (n+x+4+y) -<br>(n+x+4+y+z) |  |  |  |  |

Figure 9.3.2.2.2-1: EAP-Response/5G-NAS message

#### Table 9.3.2.2.2-1: EAP-Response/5G-NAS message

Code field is set to 2 (decimal) as specified in IETF RFC 3748 [9] clause 4.1 and indicates response.

Identifier field is set as specified in IETF RFC 3748 [9] clause 4.1.

Length field is set as specified in IETF RFC 3748 [9] clause 4.1 and indicates the length of the EAP-Response/5G-NAS message in octets.

Type field is set to 254 (decimal) as specified in IETF RFC 3748 [9] clause 5.7 and indicates the expanded type.

Vendor-Id field is set to the 3GPP Vendor-Id of 10415 (decimal) registered with IANA under the SMI Private Enterprise Code registry.

Vendor-Type field is set to EAP-5G method identifier of 3 (decimal) as specified in 3GPP TS 33.402 [10] annex C.

Message-Id field is set to 5G-NAS-Id of 2 (decimal).

Spare field consists of spare bits.

AN-parameters length indicates the length of the AN-parameters field in octets

AN-parameters field is coded according to figure 9.3.2.2.2-2 and table 9.3.2.2.2-2.

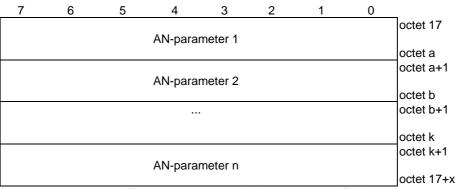
NAS-PDU length field indicates the length of NAS-PDU field in octets.

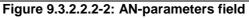
NAS-PDU field contains a NAS message from the UE as specified in 3GPP TS 24.501 [4].

Extended-AN-parameters length field indicates the length of the extended-AN-parameters field in octets.

Extended-AN-parameters field is coded according to figure 9.3.2.2.2-4 and table 9.3.2.2.2-4.

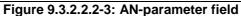
Extensions field is an optional field and consists of spare bits.





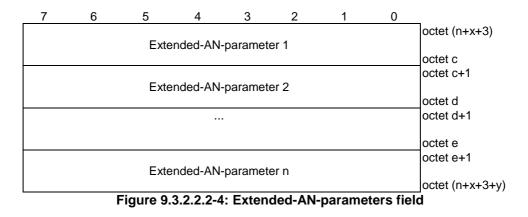


Each AN-parameter field is coded according to figure 9.3.2.2.2-3 and table 9.3.2.2.2-3. 7 6 1 5 4 3 2 0 AN-parameter type octet a+1 octet a+2 AN-parameter length octet a+3 AN-parameter value octet b



| Table 9.3.2.2.2-3. An-parameter neiu  |
|---|
| The AN-parameter length field indicates the length of the AN-parameter value field.   |
| The AN-parameter type field indicates the type of the AN-parameter value field. Sending entity shall not set the AN-parameter type field to a spare value. Receiving entity shall ignore any AN-parameter field with the AN-parameter type field set to a spare value.  |
| <ul> <li>The following AN-parameter type field values are specified:</li> <li>01H (GUAMI);</li> <li>02H (selected PLMN ID);</li> <li>03H (requested NSSAI);</li> <li>04H (establishment cause for non-3GPP access);</li> <li>05H (selected NID); and</li> <li>06H (UE identity).</li> <li>All other values of the AN-parameter type field are spare. Receiving entity shall ignore</li> </ul> |
| an AN-parameter field with the AN-parameter type field set to a spare value.  |
| When the AN-parameter type field indicates the GUAMI, the AN-parameter value field is coded as value part (as specified in 3GPP TS 24.007 [22] for type 3 information element) of GUAMI information element as specified in clause 9.2.1.   |
| When the AN-parameter type field indicates the selected PLMN ID, the AN-parameter value field is coded according to value part of PLMN ID information element as specified in clause 9.2.3.   |
| When the AN-parameter type field indicates the requested NSSAI, the AN-parameter value field is coded according to value part of NSSAI information element as specified in clause 9.11.3.37 of 3GPP TS 24.501 [4].  |
| When the AN-parameter type field indicates the establishment cause for non-3GPP access, the AN-parameter value field is coded as value part (as specified in 3GPP TS 24.007 [22] for type 3 information element) of the Establishment cause for non-3GPP access information element as specified in clause 9.2.2.   |
| When the AN-parameter type field indicates the selected NID, the AN-parameter value field is coded according to the value part of the NID information element as specified in clause 9.2.7.   |
| When the AN-parameter type field indicates the UE identity, the AN-parameter value field is coded according to the value part of the 5GS mobile identity information element for type of identity 5G-GUTI or for type of identity SUCI as specified in clause 9.11.3.4 of 3GPP TS 24.501 [4].   |

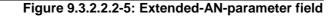
#### Table 9.3.2.2.2-3: AN-parameter field



octet d

|   | extended<br>9.3.2.2.2- | -AN-paran<br>5. | neter field | is coded | accordin | g to figure | e 9.3.2.2 | 2.2-5 and              |
|---|------------------------|-----------------|-------------|----------|----------|-------------|-----------|------------------------|
| 7 | 6                      | 5               | 4           | 3        | 2        | 1           | 0         |                        |
|   |                        | Exten           | ded-AN-p    | arameter | ' type   |             |           | octet c+1              |
|   | octet c+2              |                 |             |          |          |             |           |                        |
|   |                        |                 |             |          |          |             |           | octet c+3<br>octet c+4 |

## Table 9.3.2.2.4: Extended-AN-parameters field



#### Table 9.3.2.2.5: AN-parameter field

The extended-AN-parameter length field indicates the length of the extended-ANparameter value field.

The extended-AN-parameter type field indicates the type of the extended-AN-parameter value field.

The following extended-AN-parameter type field values are specified: - 06H (UE identity).

Extended-AN-parameter value

All other values of the extended-AN-parameter type field are spare. Sending entity shall not set the extended-AN-parameter type field to a spare value. Receiving entity shall ignore any extended-AN-parameter field with the extended-AN-parameter type field set to a spare value.

When the extended-AN-parameter type field indicates the UE identity, the extended-ANparameter value field is coded according to the value part of the 5GS mobile identity information element for type of identity SUCI as specified in clause 9.11.3.4 of 3GPP TS 24.501 [4].

### 9.3.2.2.3 EAP-Request/5G-NAS message

EAP-Request/5G-NAS message is coded as specified in figure 9.3.2.2.3-1 and table 9.3.2.2.3-1.

|   |                |         | В     | its     |        |        |      |        |  |  |
|---|----------------|---------|-------|---------|--------|--------|------|--------|--|--|
| 7 | 6              | 5       | 4     | 3       | 2      | 1      | 0    | Octets |  |  |
|   |                |         | Co    | ode     |        |        |      | 1      |  |  |
|   | Identifier     |         |       |         |        |        |      |        |  |  |
|   |                |         | Lei   | ngth    |        |        |      | 3 - 4  |  |  |
|   | Туре           |         |       |         |        |        |      |        |  |  |
|   | Vendor-Id      |         |       |         |        |        |      |        |  |  |
|   |                |         | Vendo | or-Type |        |        |      | 9 - 12 |  |  |
|   |                |         | Mess  | age-ld  |        |        |      | 13     |  |  |
|   |                |         | Sp    | are     |        |        |      | 14     |  |  |
|   | NAS-PDU length |         |       |         |        |        |      |        |  |  |
|   | NAS-PDU        |         |       |         |        |        |      |        |  |  |
|   | Extensions     |         |       |         |        |        |      |        |  |  |
|   | Eiguro 0       | 3 2 2 3 |       | -Roguos | +/5G-N | AS mos | 6200 | -      |  |  |

Figure 9.3.2.2.3-1: EAP-Request/5G-NAS message

#### Table 9.3.2.2.3-1: EAP-Request/5G-NAS message

Code field is set to 1 (decimal) as specified in IETF RFC 3748 [9] clause 4.1 and indicates request.

Identifier field is set as specified in IETF RFC 3748 [9] clause 4.1.

Length field is set as specified in IETF RFC 3748 [9] clause 4.1 and indicates the length of the EAP-Request/5G-NAS message in octets.

Type field is set to 254 (decimal) as specified in IETF RFC 3748 [9] clause 5.7 and indicates the expanded type.

Vendor-Id field is set to the 3GPP Vendor-Id of 10415 (decimal) registered with IANA under the SMI Private Enterprise Code registry.

Vendor-Type field is set to EAP-5G method identifier of 3 (decimal) as specified in 3GPP TS 33.402 [10] annex C.

Message-Id field is set to 5G-NAS-Id of 2 (decimal).

Spare field consists of spare bits.

NAS-PDU length field indicates the length of NAS-PDU field in octets.

NAS-PDU field contains a NAS message from the AMF as specified 3GPP TS 24.501 [4].

Extensions field is an optional field and consists of spare bits.

### 9.3.2.2.4 EAP-Response/5G-Stop message

EAP-Response/5G-Stop message is coded as specified in figure 9.3.2.2.4-1 and table 9.3.2.2.4-1.

|            |            |   | В     | its      |       |   |   |        |  |  |  |
|------------|------------|---|-------|----------|-------|---|---|--------|--|--|--|
| 7          | 6          | 5 | 4     | 3        | 2     | 1 | 0 | Octets |  |  |  |
|            | Code       |   |       |          |       |   |   |        |  |  |  |
|            | Identifier |   |       |          |       |   |   |        |  |  |  |
|            | Length     |   |       |          |       |   |   |        |  |  |  |
|            | Туре       |   |       |          |       |   |   |        |  |  |  |
|            |            |   | Vend  | dor-Id   |       |   |   | 6 - 8  |  |  |  |
|            |            |   | Vendo | or-Type  |       |   |   | 9 - 12 |  |  |  |
|            | Message-Id |   |       |          |       |   |   |        |  |  |  |
|            | Spare      |   |       |          |       |   |   |        |  |  |  |
| Extensions |            |   |       |          |       |   |   |        |  |  |  |
|            |            |   |       | <b>N</b> | 150.0 | 4 |   | -      |  |  |  |

Figure 9.3.2.2.4-1: EAP-Response/5G-Stop message

## Table 9.3.2.2.4-1: EAP-Response/5G-Stop message

Code field is set to 2 (decimal) as specified in IETF RFC 3748 [9] clause 4.1 and indicates response.

Identifier field is set as specified in IETF RFC 3748 [9] clause 4.1.

Length field is set as specified in IETF RFC 3748 [9] clause 4.1 and indicates the length of the EAP-Response/5G-Stop message in octets.

Type field is set to 254 (decimal) as specified in IETF RFC 3748 [9] clause 5.7 and indicates the expanded type.

Vendor-Id field is set to the 3GPP Vendor-Id of 10415 (decimal) registered with IANA under the SMI Private Enterprise Code registry.

Vendor-Type field is set to EAP-5G method identifier of 3 (decimal) as specified in 3GPP TS 33.402 [10] annex C.

Message-Id field is set to 5G-Stop-Id of 4 (decimal).

Spare field consists of spare bits.

Extensions field is an optional field and consists of spare bits.

## 9.3.2.2.5 EAP-Request/5G-Notification message

EAP-Request/5G-Notification message is coded as specified in figure 9.3.2.2.5-1 and table 9.3.2.2.5-1.

|            | Bits                 |         |       |         |   |   |   |        |  |  |  |  |
|------------|----------------------|---------|-------|---------|---|---|---|--------|--|--|--|--|
| 7          | 6                    | 5       | 4     | 3       | 2 | 1 | 0 | Octets |  |  |  |  |
|            | Code                 |         |       |         |   |   |   |        |  |  |  |  |
|            | Identifier           |         |       |         |   |   |   |        |  |  |  |  |
|            |                      |         | Lei   | ngth    |   |   |   | 3 - 4  |  |  |  |  |
|            | Туре                 |         |       |         |   |   |   |        |  |  |  |  |
|            | Vendor-Id            |         |       |         |   |   |   |        |  |  |  |  |
|            |                      |         | Vendo | or-Type |   |   |   | 9 - 12 |  |  |  |  |
|            |                      |         | Mess  | age-ld  |   |   |   | 13     |  |  |  |  |
|            |                      |         | Sp    | are     |   |   |   | 14     |  |  |  |  |
|            | AN-parameters length |         |       |         |   |   |   |        |  |  |  |  |
|            | AN-parameters        |         |       |         |   |   |   |        |  |  |  |  |
| Extensions |                      |         |       |         |   |   |   |        |  |  |  |  |
| E.a.       |                      | DE 4. 1 |       |         |   |   |   | _      |  |  |  |  |

Figure 9.3.2.2.5-1: EAP-Request/5G-Notification message

## Table 9.3.2.2.5-1: EAP-Request/5G-Notification message

Code field is set to 1 (decimal) as specified in IETF RFC 3748 [9] clause 4.1 and indicates request.

Identifier field is set as specified in IETF RFC 3748 [9] clause 4.1.

Length field is set as specified in IETF RFC 3748 [9] clause 4.1 and indicates the length of the EAP-Request/5G-Notification message in octets.

Type field is set to 254 (decimal) as specified in IETF RFC 3748 [9] clause 5.7 and indicates the expanded type.

Vendor-Id field is set to the 3GPP Vendor-Id of 10415 (decimal) registered with IANA under the SMI Private Enterprise Code registry.

Vendor-Type field is set to EAP-5G method identifier of 3 (decimal) as specified in 3GPP TS 33.402 [10] annex C.

Message-Id field is set to 5G-Notification-Id of 3 (decimal).

Spare field consists of spare bits.

AN-parameters length indicates the length of the AN-parameters field in octets

AN-Parameters field is coded according to figure 9.3.2.2.5-2 and table 9.3.2.2.5-2.

Extensions field is an optional field and consists of spare bits.

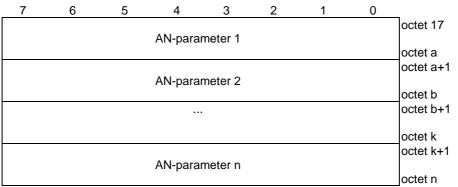


Figure 9.3.2.2.5-2: AN-parameters field

#### Table 9.3.2.2.5-2: AN-parameters field

Each AN-parameter field is coded according to figure 9.3.2.2.5-3 and table 9.3.2.2.5-3.

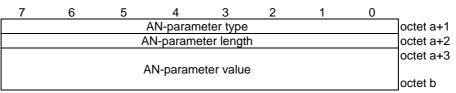


Figure 9.3.2.2.5-3: AN-parameter field

#### Table 9.3.2.2.5-3: AN-parameter field

The AN-parameter length field indicates the length of the AN-parameter value field.

The AN-parameter type field indicates the type of the AN-parameter value field. Sending entity shall not set the AN-parameter type field to a spare value. Receiving entity shall ignore any AN-parameter field with the AN-parameter type field set to a spare value.

The following AN-parameter type field values are specified:

- 01H (TNGF IPv4 contact info);
- 02H (TNGF IPv6 contact info);

All other values of the AN-parameter type field are spare. Receiving entity shall ignore an AN-parameter field with the AN-parameter type field set to a spare value.

When the AN-parameter type field indicates the TNGF IPv4 contact info, the ANparameter value field is coded as value part (as specified in 3GPP TS 24.007 [22] for type 3 information element) of TNGF IPv4 contact info information element as specified in clause 9.2.5.

When the AN-parameter type field indicates the TNGF IPv6 contact info, the ANparameter value field is coded as value part (as specified in 3GPP TS 24.007 [22] for type 3 information element) of TNGF IPv6 contact info information element as specified in clause 9.2.6.

## 9.3.2.2.6 EAP-Response/5G-Notification message

EAP-Response/5G-Notification message is coded as specified in figure 9.3.2.2.6-1 and table 9.3.2.2.6-1.

| 5          | 4     | 2   | -   |   |   |   |  |  |  |
|------------|-------|---|---|---|---|---|--|--|--|
|            |       | 3   | 2   | 1   | 0   | Octets  |  |  |  |
| Code       |       |   |   |   |   |   |  |  |  |
| Identifier |       |   |   |   |   |   |  |  |  |
| Length     |       |   |   |   |   |   |  |  |  |
| Туре       |       |   |   |   |   |   |  |  |  |
|            | Vend  | dor-Id  |   |   |   | 6 - 8   |  |  |  |
|            | Vendo | or-Type   |   |   |   | 9 - 12  |  |  |  |
| Message-Id |       |   |   |   |   |   |  |  |  |
| Spare      |       |   |   |   |   |   |  |  |  |
|            | Exter | nsions  |   |   |   | 15-z  |  |  |  |
|            |       | lder<br>Ler<br>Ty<br>Vendo<br>Vendo<br>Mess<br>Sp | Identifier<br>Length<br>Type<br>Vendor-Id<br>Vendor-Type<br>Message-Id<br>Spare<br>Extensions | Identifier<br>Length<br>Type<br>Vendor-Id<br>Vendor-Type<br>Message-Id<br>Spare | Identifier<br>Length<br>Type<br>Vendor-Id<br>Vendor-Type<br>Message-Id<br>Spare | Identifier<br>Length<br>Type<br>Vendor-Id<br>Vendor-Type<br>Message-Id<br>Spare |  |  |  |

Figure 9.3.2.2.6-1: EAP-Response/5G-Notification message

## Table 9.3.2.2.6-1: EAP-Response/5G-Notification message

Code field is set to 2 (decimal) as specified in IETF RFC 3748 [9] clause 4.1 and indicates response.

Identifier field is set as specified in IETF RFC 3748 [9] clause 4.1.

Length field is set as specified in IETF RFC 3748 [9] clause 4.1 and indicates the length of the EAP-Response/5G-Notification message in octets.

Type field is set to 254 (decimal) as specified in IETF RFC 3748 [9] clause 5.7 and indicates the expanded type.

Vendor-Id field is set to the 3GPP Vendor-Id of 10415 (decimal) registered with IANA under the SMI Private Enterprise Code registry.

Vendor-Type field is set to EAP-5G method identifier of 3 (decimal) as specified in 3GPP TS 33.402 [10] annex C.

Message-Id field is set to 5G-Notification-Id of 3 (decimal).

Spare field consists of spare bits.

Extensions field is an optional field and consists of spare bits.

#### 9.3.3 GRE encapsulated user data packet

GRE encapsulated user data packet is coded according to figure 9.3.3-1 and table 9.3.3-1.

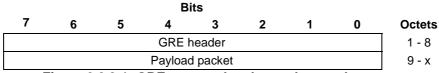
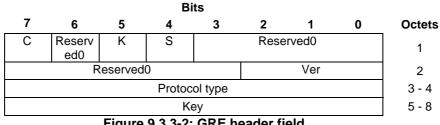


Figure 9.3.3-1: GRE encapsulated user data packet



Octet 1 to octet 8 are the GRE header field defined in IETF RFC 2784 [14] and IETF RFC 2890 [15]. The GRE header field is coded according to figure 9.3.3-2 and table 9.3.3-2.

Octet 9 to octet x are the Payload packet field. The Payload packet field contains one user data packet.





#### Table 9.3.3-2: GRE header field

Bit 7 of octet 1 is the C bit defined in IETF RFC 2784 [14]. The C bit is set to zero.

Bits 6, 3, 2, 1 and 0 of octet 1 and bits 7, 6, 5, 4, and 3 of octet 2 are the Reserved0 field defined in IETF RFC 2784 [14] and IETF RFC 2890 [15].

Bit 5 of octet 1 is the K bit defined in IETF RFC 2890 [15]. The K bit is set to one.

Bit 4 of octet 1 is the S bit defined in IETF RFC 2890 [15]. The S bit is set to zero.

Bits 2, 1 and 0 of octet 2 is the Ver field defined in IETF RFC 2784 [14].

Octet 3 and octet 4 are the Protocol Type field defined in IETF RFC 2784 [14]. The Protocol Type field is set to zero. (see NOTE)

Octet 5 to octet 8 are the Key field defined in IETF RFC 2890 [15]. The Key field is coded according to figure 9.3.3-3 and table 9.3.3-3.

NOTE: The receiving entity shall ignore value of the Protocol Type field.

|       |       |          | Bi      | ts        |          |        |       |        |  |  |
|-------|-------|----------|---------|-----------|----------|--------|-------|--------|--|--|
| 7     | 6     | 5        | 4       | 3         | 2        | 1      | 0     | Octets |  |  |
| 0     | 0     |          |         | Q         | FI       |        |       | 5      |  |  |
| Spare | Spare |          |         |           |          |        |       |        |  |  |
| 0     | 0     | 0        | 0       | 0         | 0        | 0      | 0     | e      |  |  |
| Spare | Spare | Spare    | Spare   | Spare     | Spare    | Spare  | Spare | 6      |  |  |
| 0     | 0     | 0        | 0       | 0         | 0        | 0      | 0     | 7      |  |  |
| Spare | Spare | Spare    | Spare   | Spare     | Spare    | Spare  | Spare | 1      |  |  |
| RQI   | 0     | 0        | 0       | 0         | 0        | 0      | 0     | 0      |  |  |
|       | Spare | Spare    | Spare   | Spare     | Spare    | Spare  | Spare | 8      |  |  |
|       | Fig   | jure 9.3 | 3-3: Ke | y field c | of GRE h | neader |       |        |  |  |

**3** 

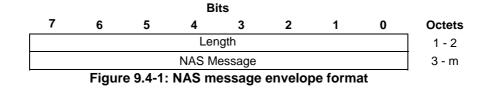
Table 9.3.3-3: Key field of GRE header

```
RQI (octet 8, bit 7)
Bit
7
       RQI is not indicated
0
       RQI is indicated
1
QFI (octet 5, bits 5 to 0)
Bits
5 4
          2
               1 0
       3
0 0 0 0
              0 0
                         QFI 0
   to
                          QFI 63
1
   1
      1 1
               1 1
```

# 9.4 NAS message envelope

NAS message envelope is used to frame the NAS message prior to its encapsulation as the TCP payload in the inner IP datagram.

NAS message envelope is encoded according to figure 9.4-1 and table 9.4-1.



### Table 9.4-1: NAS message envelope value

Octet 1 and Octet 2 indicate the Length field. The Length field contains the length of the NAS message in bytes.

Octet 3 to octet m indicate the NAS Message field. The NAS Message field contains the NAS message which is to be framed in prior to encapsulation as the TCP payload in the inner IP datagram of the transmitted IP packet.

Annex A (informative): Change history

| Change history |         |   |      |     |     |  | -              |
|----------------|---------|---|------|-----|-----|--|----------------|
| Date           | Meeting | TDoc  | CR   | Rev | Cat | Subject/Comment  | New<br>version |
| 2017-10-<br>23 | CT1#106 | C1-174508   |      |     |     | Initial Draft provided to CT1#106.   | 0.0.0          |
| 2017-11        | CT1#106 | C1-174572   |      |     |     | Includes the contribution agreed by CT1 at CT1#106.  | 0.1.0          |
| 2017-12        | CT1#107 | C1-175315,<br>C1-174945,<br>C1-174947,<br>C1-174948,<br>C1-175317   |      |     |     | Incorporates the agreed P-CRs for TS 24.502 from CT1#107 plus editorial changes and reference updates by the rapporteur.         | 0.2.0          |
| 2017-12        |         | 01110011  |      |     |     | Additional editorial changes by the rapporteur   | 0.2.1          |
|                | CT1#108 | C1-180055,<br>C1-180475,<br>C1-180691,<br>C1-180692,<br>C1-180700   |      |     |     | Incorporates the agreed P-CRs for TS 24.502 from CT1#108 plus editorial changes and reference updates by the rapporteur.         | 0.3.0          |
| 2018-03        | CT1#109 | C1-181454,<br>C1-181704,<br>C1-181249,<br>C1-181327,<br>C1-181489,<br>C1-181490,<br>C1-181491,<br>C1-181499,<br>C1-181499,<br>C1-181600,<br>C1-181602                             |      |     |     | Incorporates the agreed P-CRs for TS 24.502 from CT1#109 plus editorial changes, reference and styles updates by the rapporteur. | 0.4.0          |
| 2018-04        | CT1#110 | C1-182494,<br>C1-182175,<br>C1-182175,<br>C1-182403,<br>C1-182680,<br>C1-182700,<br>C1-182700,<br>C1-182722,<br>C1-182794,<br>C1-182807,<br>C1-182818,<br>C1-182819,<br>C1-182843 |      |     |     | Incorporates the agreed P-CRs from CT1#110 plus editorial changes, reference and styles updates by the rapporteur.               | 0.5.0          |
| 2018-05        | CT1#111 | C1-183037,<br>C1-183040,<br>C1-183046,<br>C1-183047,<br>C1-183733,<br>C1-183734,<br>C1-183735,<br>C1-183783,<br>C1-183783,<br>C1-183828,<br>C1-183829                             |      |     |     | Incorporates the agreed P-CRs from CT1#111 plus editorial changes, reference and styles updates by the rapporteur.               | 0.6.0          |
| 2018-06        | CT-80   | CP-181095   |      |     |     | Version 1.0.0 created for presentation to TSG CT#80 for information and approval.  | 1.0.0          |
| 2018-06        | CT-80   |   |      |     |     | Version 15.0.0 created after approval  | 15.0.0         |
| 2018-09        | CT-81   | CP-182143   | 0001 | 2   | F   | Correction for providing GUAMI as part of AN parameters  | 15.1.0         |
| 2018-09        | CT-81   | CP-182143   | 0002 | 2   | F   | Correction for coding of non-3GPP access establishment cause AN parameter  | 15.1.0         |
| 2018-09        | CT-81   | CP-182143   | 0003 | 2   | F   | Correction for N3AN node selection   | 15.1.0         |
| 2018-09        | CT-81   | CP-182143   | 0004 | 1   | В   | Including GUAMI as AN-parameters during registration for non-3GPP access   | 15.1.0         |
| 2018-09        | CT-81   | CP-182143   | 0005 | 2   | В   | Coding of AN-parameters in EAP 5G-NAS message  | 15.1.0         |
| 2018-09        | CT-81   | CP-182143   | 0007 | 3   | В   | 3GPP specific IKEv2 private Notify Message Types   | 15.1.0         |
| 2018-09        | CT-81   | CP-182143   | 0011 | 2   | F   | Changing Transport Mode to Tunnel Mode for IPsec Tunnel  | 15.1.0         |
| 2018-09        | CT-81   | CP-182143   | 0014 | 1   | F   | Clarification on ANDSP   | 15.1.0         |
| 2018-09        | CT-81   | CP-182143   | 0018 |     | F   | Definition of new notify payloads  | 15.1.0         |
| 2018-09        | CT-81   | CP-182143   | 0019 | 1   | F   | Corrections for liveness check   | 15.1.0         |
| 2018-09        | CT-81   | CP-182143   | 0022 | 3   | F   | Signalling IPsec SA establishment not accepted by the network  | 15.1.0         |
| 2018-09        | CT-81   | CP-182143   | 0023 | 1   | В   | User plane IPsec SA establishment not accepted   | 15.1.0         |
| 2018-09        | CT-81   | CP-182143   | 0024 | 2   | F   | NAI as identifier for non-3GPP access  | 15.1.0         |
| 2018-09        | CT-81   | CP-182143   | 0027 | 1   | В   | IKE SA deletion procedure handling   | 15.1.0         |
| 2018-09        | CT-81   |   |      |     |     | Editorial corrections  | 15.1.1         |
| 2018-12        | CT-82   | CP-183042   | 0029 | 2   | F   | Correction of name fields and protocol numbers   | 15.2.0         |
| 2018-12        | CT-82   | CP-183042   | 0030 | 2   | F   | Correction for default user plane SA indication  | 15.2.0         |

| 2018-12            | CT-82          | CP-183042              | 0031         | 1      | F | Correction for DSCP in outer IP header carrying uplink user data packet  | 15.2.0           |
|--------------------|----------------|------------------------|--------------|--------|---|--|------------------|
| 2018-12            | CT-82          | CP-183042              | 0032         |        | F | Corrections for coding of establishment cause for non-3GPP access  | 15.2.0           |
| 2018-12            | CT-82          | CP-183042              | 0033         | 1      | F | Removing an editor's note  | 15.2.0           |
| 2018-12            | CT-82          | CP-183042              | 0034         |        | F | Editor's note on usage of Any_PLMN entry configuration   | 15.2.0           |
| 2018-12            | CT-82          | CP-183042              | 0036         | 2      | F | Local deletion of IKE SA and child SAs   | 15.2.0           |
| 2018-12            | CT-82          | CP-183042              | 0037         | 2      | F | IKE SA and child SAs deletion by UE due to rekeying failure  | 15.2.0           |
| 2018-12            | CT-82          | CP-183042              | 0038         |        | F | Correction on child user plane IPsec SA establishment description  | 15.2.0           |
| 2018-12            | CT-82          | CP-183042              | 0039         |        | F | Resolve the editor note on liveness check  | 15.2.0           |
| 2018-12            | CT-82          | CP-183042              | 0040         | 2      | В | TCP protocol as inner transport layer protocol for NAS signaling   | 15.2.0           |
| 2018-12            | CT-82          | CP-183042              | 0041         | 1      | F | Clarification and clean up   | 15.2.0           |
| 2018-12            | CT-82          | CP-183042              | 0043         | 1      | F | Correction on N3AN node configuration information  | 15.2.0           |
| 2018-12            | CT-82          | CP-183042              | 0044         |        | F | Correcting automatic and manual mode procedures  | 15.2.0           |
| 2018-12            | CT-82          | CP-183042              | 0045         | 2      | F | SUPI and SUCI as user identities   | 15.2.0           |
| 2018-12            | CT-82          | CP-183042              | 0047         | 2      | F | Correct determination of country the UE is located in  | 15.2.0           |
| 2018-12            | CT-82          | CP-183042              | 0049         | 1      | F | Backoff timer in IKE_AUTH response   | 15.2.0           |
| 2019-03            | CT-83          | CP-190090              | 0050         | 1      | F | AMF congestion when establishing security association and editors note   | 15.3.0           |
| 2019-03            | CT-83          | CP-190090              | 0051         | 1      | В | AMF congestion when receiving NAS message  | 15.3.0           |
| 2019-03            | CT-83          | CP-190090              | 0053         | 2      | F | Correcting the name of ITU-T Recommendation E.212  | 15.3.0           |
| 2019-03            | CT-83          | CP-190090              | 0054         | - 1    | F | Remove of an editorial note  | 15.3.0           |
| 2019-03            | CT-83          | CP-190090              | 0055         | 1      | F | Correction on WLAN selection   | 15.3.0           |
| 2019-03            | CT-83          | CP-190090              | 0056         | 3      | F | Establishment of TCP connection for transport of NAS messages  | 15.3.0           |
| 2019-03            | CT-83          | CP-190090              | 0059         | 2      | F | Alignment of the PLMN determination  | 15.3.0           |
| 2019-03            | CT-83          | CP-190090              | 0060         | 2      | F | Correct WLAN selection procedure   | 15.3.0           |
| 2019-03            | CT-83          | CP-190090              | 0062         | 2      | D | Correction to definition of the PCF abbreviation   | 15.3.0           |
| 2019-03            | CT-83          | CP-190090              | 0063         |        | F |  | 15.3.0           |
|                    |                |                        |              |        |   | Correct empty clause   |                  |
| 2019-06            | CT-84          | CP-191125              | 0065         | 4      | F | Release of TCP connection for transport of NAS messages  | 15.4.0           |
| 2019-06            | CT-84          | CP-191125              | 0069         | 1      | F | Clarification for untrusted non-3GPP access  | 15.4.0           |
| 2019-06            | CT-84          | CP-191125              | 0082         | 1      | F | IPsec SA modification procedure  | 15.4.0           |
| 2019-06            | CT-84          | CP-191136              | 0066         | 1      | F | Error in EAP-Response/5G-NAS message coding  | 16.0.0           |
| 2019-06            | CT-84          | CP-191137              | 0067         | 1      | B | EAP-5G extensions for trusted non-3GPP access  | 16.0.0           |
| 2019-06            | CT-84          | CP-191137              | 0071         | 1      | В | Update to the scope for trusted non-3GPP access  | 16.0.0           |
| 2019-06            | CT-84          | CP-191137              | 0072         | 2      | B | Introduction of trusted non-3GPP access description  | 16.0.0           |
| 2019-06            | CT-84          | CP-191137              | 0073         | 5      | B | QoS for non-3GPP access  | 16.0.0           |
| 2019-06<br>2019-06 | CT-84<br>CT-84 | CP-191137<br>CP-191137 | 0074<br>0075 | 5<br>3 | B | Authentication and authorization for accessing 5GS<br>Update to WLAN selection procedure because of trusted non- | 16.0.0<br>16.0.0 |
|                    |                |                        |              | 3      |   | 3GPP access  |                  |
| 2019-06            | CT-84          | CP-191148              |              |        |   | N3IWF FQDN configured in a UE to support access to<br>PLMN/SNPN services via SNPN/PLMN                           | 16.0.0           |
| 2019-06            | CT-84          | CP-191136              | 0080         | 1      | D | Editorial changes  | 16.0.0           |
| 2019-06            | CT-84          | CP-191137              | 0081         | 2      | F | Adding text to General section of clause 9 entitled "Parameters<br>and coding"                                   | 16.0.0           |
| 2019-06            | CT-84          | CP-191136              | 0083         |        | D | Alignment of capitalizations   | 16.0.0           |
| 2019-06            | CT-84          | CP-191137              | 0084         | 3      | В | TNAN and PLMN selection procedures using trusted WLAN  | 16.0.0           |
| 2019-06            | CT-84          | CP-191136              | 0085         | 1      | F | Reference to IEEE Std 802.11   | 16.0.0           |
| 2019-06            | CT-84          | CP-191148              | 0086         | 1      | В | A dedicated child SA and a DSCP value for QoS flows  | 16.0.0           |
| 2019-06            | CT-84          | CP-191137              | 0087         | 2      | В | Update to the scope for wireline access networks   | 16.0.0           |
| 2019-09            | CT-85          | CP-192059              | 0068         | 5      | В | UE registration for trusted non-3GPP access  | 16.1.0           |
| 2019-09            | CT-85          | CP-192058              | 0090         | 1      | F | Adding a general clause  | 16.1.0           |
| 2019-09            | CT-85          | CP-192059              | 0092         | 2      | В | Text modification for trusted non-3GPP access  | 16.1.0           |
| 2019-09            | CT-85          | CP-192058              | 0093         | 1      | F | Modification for untrusted non-3GPP access   | 16.1.0           |
| 2019-09            | CT-85          | CP-192059              | 0094         | 1      | С | Address EN on PLMN Selector list   | 16.1.0           |
| 2019-09            | CT-85          | CP-192058              | 0095         |        | В | Forbidden PLMNs for non-3GPP access to 5GCN  | 16.1.0           |
| 2019-09            | CT-85          | CP-192045              | 0097         | 1      | Α | Protocol type field in GRE encapsulated user data packet   | 16.1.0           |
| 2019-12            | CT-86          | CP-193100              | 0099         |        | F | Remove the content under the void clause   | 16.2.0           |
| 2019-12            | CT-86          | CP-193100              | 0100         | 1      | В | Registration, Session establishment and session release of 5G capable over WLAN (N5CW) device                    | 16.2.0           |
| 2019-12            | CT-86          | CP-193100              | 0101         | 3      | F | Removal of an editor's note  | 16.2.0           |
|                    |                |                        |              |        |   |  | 16.2.0           |
| 2019-12            | CT-86          | CP-193119              | 0102         | 1      | F | FQDN for N3IWF selection to access PLMN services via an<br>SNPN  | 10.2.0           |

| 2019-12 | CT-86  | CP-193119 | 0104 | 3 | F | Addition of NID to AN parameters  | 16.2.0 |
|---------|--------|-----------|------|---|---|---|--------|
| 2019-12 | CT-86  | CP-193100 | 0104 | 1 | B | Addition of NID to AN parameters<br>WLAN and PLMN selection procedures for a N5CW device  | 16.2.0 |
| 2019-12 | CT-86  | CP-193100 | 0107 |   | F | Scope correction  | 16.2.0 |
| 2019-12 | CT-86  | CP-193100 | 0108 | 1 | B | PLMN selection for wireline access  | 16.2.0 |
| 2019-12 | CT-86  | CP-193100 | 0109 |   | В | QoS handling for wireline access  | 16.2.0 |
| 2020-03 | CT-87e | CP-200113 | 0110 | 3 | B | EAP-5G handling and transport of NAS messages for wireline  | 16.3.0 |
| 2020 00 | 0.0.0  | 0. 2000   | 00   | Ũ | _ | access  |        |
| 2020-03 | CT-87e | CP-200113 | 0111 | 2 | В | Additional QoS Information in an untrusted non-3GPP network   | 16.3.0 |
| 2020-03 | CT-87e | CP-200113 | 0113 | 1 | F | Removal of an editor's note   | 16.3.0 |
| 2020-03 | CT-87e | CP-200129 | 0115 |   | С | Updating length of NID  | 16.3.0 |
| 2020-03 | CT-87e | CP-200113 | 0116 | 1 | В | Support of authentication and registration of N5GC devices via wireline access  | 16.3.0 |
| 2020-03 | CT-87e | CP-200113 | 0118 | 1 | В | SUPI and SUCI for legacy wireline access  | 16.3.0 |
| 2020-06 | CT-88e | CP-201090 | 0120 | 5 | Α | Correct N3AN node selection due to LI   | 16.4.0 |
| 2020-06 | CT-88e | CP-201106 | 0121 |   | F | Add handling for UE configured to use timer T3245 in 5GS for non-3GPP access  | 16.4.0 |
| 2020-06 | CT-88e | CP-201108 | 0122 | 1 | F | Inclusion of requested NSSAI in AN parameters   | 16.4.0 |
| 2020-06 | CT-88e | CP-201108 | 0123 | 1 | F | Removal of editor's notes   | 16.4.0 |
| 2020-06 | CT-88e | CP-201090 | 0125 | 2 | Α | Remove USE_TRANSPORT_MODE in response   | 16.4.0 |
| 2020-06 | CT-88e | CP-201108 | 0126 | 1 | В | Error type on failure of reserving QoS resources over non-3GPP access   | 16.4.0 |
| 2020-06 | CT-88e | CP-201106 | 0130 | 1 | F | Extending congestion notification to capture N3IWF or TNGF overload   | 16.4.0 |
| 2020-06 | CT-88e | CP-201106 | 0131 | 1 | F | Enable N3IWF to initiate TCP connection establishment upon failure  | 16.4.0 |
| 2020-06 | CT-88e | CP-201108 | 0134 | 1 | F | Access network parameters   | 16.4.0 |
| 2020-06 | CT-88e | CP-201108 | 0135 | 1 | F | Correction of TNGF procedure  | 16.4.0 |
| 2020-06 | CT-88e | CP-201108 | 0143 | 1 | В | SUPI/SUCI of N5GC devices   | 16.4.0 |
| 2020-06 | CT-88e | CP-201108 | 0136 | 3 | F | Correcting reference  | 16.4.0 |
| 2020-06 | CT-88e | CP-201106 | 0138 | 1 | F | Correcting editorial errors   | 16.4.0 |
| 2020-06 | CT-88e | CP-201106 | 0139 | 1 | F | Resolution of editor's notes under clauses 7.3.4 and 7.3.5  | 16.4.0 |
| 2020-06 | CT-88e | CP-201108 | 0140 | 1 | F | N5CW device registration and IP assignment  | 16.4.0 |
| 2020-06 | CT-88e | CP-201106 | 0141 | 1 | F | Resolution of editor's notes under clauses 7.5.5 and 7.5.6  | 16.4.0 |
| 2020-06 | CT-88e | CP-201108 | 0142 | 1 | F | Resolution of editor's note under clause 7.3A.4.2   | 16.4.0 |
| 2020-09 | CT-89e | CP-202152 | 0144 | 1 | F | W-CP connection in 24.502   | 16.5.0 |
| 2020-09 | CT-89e | CP-202170 | 0148 | 1 | F | Correction in N3AN node selection involving SNPN  | 16.5.0 |
| 2020-09 | CT-89e | CP-202149 | 0150 |   | F | Remove editor's notes of child SA deletion procedure  | 16.5.0 |
| 2020-09 | CT-89e | CP-202149 | 0151 | 1 | F | Corrections on encodings and typos in 24502   | 16.5.0 |
| 2020-09 | CT-89e | CP-202149 | 0152 |   | F | Corrections on the encoding of the 5G_QOS_INFO Notify payload   | 16.5.0 |
| 2020-09 | CT-89e | CP-202174 | 0146 |   | D | Editorial clean up  | 17.0.0 |
| 2020-09 | CT-89e | CP-202174 | 0147 | 2 | F | Handling of the OVERLOAD START message in the NWu interface   | 17.0.0 |
| 2020-09 | CT-89e | CP-202174 | 0149 | 1 | F | Correction on handling of USE_TRANSPORT_MODE  | 17.0.0 |
| 2020-12 | CT-90e | CP-203175 | 0153 | 1 | F | Alignment of the removing of PLMN from the list of "forbidden<br>PLMNs for non-3GPP access to 5GCN"                               | 17.1.0 |
| 2020-12 | CT-90e | CP-203177 | 0155 |   | Α | Clarification on NAI provided by N5CW device  | 17.1.0 |
| 2020-12 | CT-90e | CP-203177 | 0157 |   | A | Resolve editor notes on trusted access selection  | 17.1.0 |
| 2020-12 | CT-90e | CP-203177 | 0167 |   | A | Resolution of the editor's notes on the procedure for determining whether it is mandatory to select a PLMN in the visited country | 17.1.0 |
| 2020-12 | CT-90e | CP-203175 | 0169 | 2 | F | Establishment cause in non-3GPP access  | 17.1.0 |
| 2020-12 | CT-90e | CP-203177 | 0173 | 1 | Α | Correction to trusted connectivity  | 17.1.0 |
| 2020-12 | CT-90e | CP-203177 | 0175 | 2 | A | Correction to procedures for non 5G capable over WLAN (N5CW) devices  | 17.1.0 |
| 2020-12 | CT-90e | CP-203224 | 0176 | 1 | F | Setting TCP source port number  | 17.1.0 |
| 2021-03 | CT-91e | CP-210114 | 0181 | 2 | Α | SNPN access operation mode  | 17.2.0 |
| 2021-03 | CT-91e | CP-210114 | 0183 | 1 | A | Update of N3IWF selection procedure for access to SNPN services via a PLMN  | 17.2.0 |
| 2021-03 | CT-91e | CP-210116 | 0187 |   | F | Optionally include Additional QoS Information for untrusted non-<br>3GPP  | 17.2.0 |
| 2021-03 | CT-91e | CP-210116 | 0188 | 1 | F | Clarification on IKE SA and signalling IPsec SA establishment on<br>untrusted access  | 17.2.0 |
| 2021-06 | CT#92e | CP-211130 | 0171 | 7 | А | Correct N3AN node selection due to permitted home routing   | 17.3.0 |
| 2021-06 | CT#92e | CP-211148 | 0192 |   | F | EAP encoding corrections  | 17.3.0 |
| 2021-06 | CT#92e | CP-211148 | 0186 | 5 | F | MMTEL Voice and MMTEL Video in non-3GPP   | 17.3.0 |

| 2021-06 | CT#92e | CP-211148 | 0191 | 1 | F | Clarification on TAC determination for FQDN                    | 17.3.0 |
|---------|--------|-----------|------|---|---|--|--------|
| 2021-06 | CT#92e | CP-211148 | 0193 | 1 | F | AN parameters encoding corrections                             | 17.3.0 |
| 2021-09 | CT#93e | CP-212156 | 0194 | 1 | С | N3IWF selection for emergency services                         | 17.4.0 |
| 2021-09 | CT#93e | CP-212156 | 0195 | 1 | F | SUCI transport via trusted non-3GPP access                     | 17.4.0 |
| 2022-03 | CT#95e | CP-220245 | 0197 | 1 | В | Support of QoS differentiation in case of accessing via UE-to- | 17.5.0 |
|         |        |           |      |   |   | network relay  |        |
| 2022-03 | CT#95e | CP-220282 | 0198 | 1 | В | Add support of 5G NSWO   | 17.5.0 |
| 2022-06 | CT#96  | CP-221199 | 0200 | 1 | Α | Correcting NAS transport between 5G RG and W-AGF to            | 17.6.0 |
|         |        |           |      |   |   | accommodate latest BBF developments                            |        |
| 2022-06 | CT#96  | CP-221213 | 0202 | 1 | ш | Addition of condition for deleting SA procedure                | 17.6.0 |
| 2022-06 | CT#96  | CP-221222 | 0203 | 1 | ш | NSWO NAI corrections   | 17.6.0 |
| 2022-06 | CT#96  | CP-221222 | 0199 | 2 | В | NSWO roaming support   | 17.6.0 |
| 2022-12 | CT#98e | CP-223137 | 0206 | 2 | В | Added PLMN List with AAA connectivity to 5GC IE                | 17.7.0 |
| 2022-12 | CT#98e | CP-223137 | 0211 | 1 | В | PLMN lists for non-3GPP access                                 | 17.7.0 |
|         |        |           |      |   |   |  |        |

# History

| Document history |              |             |  |  |  |  |  |
|------------------|--------------|-------------|--|--|--|--|--|
| V17.5.0          | May 2022     | Publication |  |  |  |  |  |
| V17.6.0          | July 2022    | Publication |  |  |  |  |  |
| V17.7.0          | January 2023 | Publication |  |  |  |  |  |
|                  |              |             |  |  |  |  |  |
|                  |              |             |  |  |  |  |  |