Digital cellular telecommunications system (Phase 2+); Universal Mobile Telecommunications System (UMTS); LTE; International Mobile station Equipment Identities (IMEI) (3GPP TS 22.016 version 12.0.0 Release 12)
Intellectual Property Rights

IPRs essential or potentially essential to the present document may have been declared to ETSI. The information pertaining to these essential IPRs, if any, is publicly available for ETSI members and non-members, and can be found in ETSI SR 000 314: "Intellectual Property Rights (IPRs): Essential, or potentially Essential, IPRs notified to ETSI in respect of ETSI standards“, which is available from the ETSI Secretariat. Latest updates are available on the ETSI Web server (http://ipr.etsi.org).

Pursuant to the ETSI IPR Policy, no investigation, including IPR searches, has been carried out by ETSI. No guarantee can be given as to the existence of other IPRs not referenced in ETSI SR 000 314 (or the updates on the ETSI Web server) which are, or may be, or may become, essential to the present document.

Foreword

This Technical Specification (TS) has been produced by ETSI 3rd Generation Partnership Project (3GPP).

The present document may refer to technical specifications or reports using their 3GPP identities, UMTS identities or GSM identities. These should be interpreted as being references to the corresponding ETSI deliverables.

The cross reference between GSM, UMTS, 3GPP and ETSI identities can be found under http://webapp.etsi.org/key/queryform.asp.

Modal verbs terminology

In the present document "shall", "shall not", "should", "should not", "may", "may not", "need", "need not", "will", "will not", "can" and "cannot" are to be interpreted as described in clause 3.2 of the ETSI Drafting Rules (Verbal forms for the expression of provisions).

"must" and "must not" are NOT allowed in ETSI deliverables except when used in direct citation.
Contents

Intellectual Property Rights ................................................................................................................................ 2
Foreword ............................................................................................................................................................. 2
Modal verbs terminology .................................................................................................................................... 2
Foreword ............................................................................................................................................................. 4

1 Scope ............................................................................................................................................................. 5
1.1 References ................................................................................................................................................ 5
1.2 Definitions and abbreviations .................................................................................................................... 5

2 General ............................................................................................................................................................. 5

3 Composition of IMEI ........................................................................................................................................ 6

4 Use of the equipment identity register ....................................................................................................... 6

5 Procedure ........................................................................................................................................................ 6

6 Use of IMEI in case of emergency calls ...................................................................................................... 6

7 MS Software Version Number (SVN) .......................................................................................................... 7

Annex A (informative): Change history ........................................................................................................... 8

History ............................................................................................................................................................... 9
Foreword

This Technical Specification has been produced by the 3rd Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of the present document, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

Version x.y.z

where:

x  the first digit:
    1  presented to TSG for information;
    2  presented to TSG for approval;
    3  or greater indicates TSG approved document under change control.

y  the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.

z  the third digit is incremented when editorial only changes have been incorporated in the document.
1 Scope

The present document defines the principal purpose and use of International Mobile station Equipment Identities (IMEI).

3GPP TS 23.003 describes the technical manner of numbering, addressing and identification.

1.1 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document in the same Release as the present document.

[1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".
[2] 3GPP TS 23.003: "Numbering, addressing and identification".

1.2 Definitions and abbreviations

In addition to the following, abbreviations used in the present document are listed in 3GPP TS 21.905.

**International Mobile Station Equipment Identity (IMEI)**: An "International Mobile Station Equipment Identity" is a unique number which shall be allocated to each individual mobile station equipment in the PLMN and shall be unconditionally implemented by the MS manufacturer.

2 General

An MS can only be operated if a valid "International Mobile Subscriber Identity" (IMSI) is present. An IMSI is primarily intended for obtaining information on the use of the PLMN by subscribers for individual charging purposes.

Besides the IMSI, the implementation of IMEI is found necessary in order to obtain knowledge about the presence of specific mobile station equipment in the network, disregarding whatever subscribers are making use of these equipments.

The main objective is to be able to take measures against the use of stolen equipment or against equipment of which the use in the PLMN can not or no longer be tolerated for technical reasons.

The IMEI is incorporated in an UE module which is contained within the UE. The IMEI shall be unique and shall not be changed after the ME’s final production process. It shall resist tampering, i.e. manipulation and change, by any means (e.g. physical, electrical and software).

**NOTE:** This requirement is valid for new GSM MEs type approved after 1st June 2002. However, this requirement is applicable to all 3GPP system compatible UEs from start of production.
The manufacturer implementing the IMEI module in the ME is responsible for ensuring that each IMEI within the allocated range is unique to the ME in which it resides, and is also responsible for keeping detailed records of produced and delivered MEs.

3 Composition of IMEI

The composition of the IMEI shall be such that each individual mobile station equipment can be separately identified. Information is contained in the IMEI by which the PLMN, after requesting it, can immediately decide whether or not to accept calls made by means of this equipment.

Secondly, the IMEI shall directly or indirectly contain all information which is necessary for the network operator to make relations through its administrative system to trace the equipment to its origin of production. 3GPP TS 23.003 [2] describes the structure of the IMEI in detail.

The IMEI is complemented by a check digit. The check digit is not part of the digits transmitted at IMEI check occasions, as described below. The Check Digit shall avoid manual transmission errors, e.g. when customers register stolen MEs at the operators customer care desk.

NOTE: The Check Digit is not applied to the Software Version Number.

4 Use of the equipment identity register

A network operator can make administrative use of the IMEI in the following manner:

Three registers are defined, known as "white lists", "grey lists" and "black lists". The use of such lists is at the operators' discretion.

The white list is composed of all number series of equipment identities that are permitted for use.

The black list contains all equipment identities that belong to equipment that need to be barred.

Besides the black and white list, administrations have the possibility to use a grey list. Equipments on the grey list are not barred (unless on the black list or not on the white list), but are tracked by the network (for evaluation or other purposes).

5 Procedure

It shall be possible to perform the IMEI check at any access attempt, except IMSI detach, and during an established call at any time when a dedicated radio resource is available, in accordance with the security policy of the PLMN operator. It shall also be possible to perform the IMEI check when a UE is IMS registered.

The network shall terminate any access attempt or ongoing call when receiving any of the answers "black-listed" (i.e., on the black list) or "unknown" equipment (i.e., not on the white list) from the EIR. An indication of “illegal ME” shall in these cases be given to the user. Furthermore this is equivalent to an authentication failure hence any call or IMS session establishment or any location updating is forbidden for the MS, it cannot answer to paging, it is just allowed to perform Emergency Calls. Emergency calls must never be terminated as a result of the IMEI check procedure.

6 Use of IMEI in case of emergency calls

Emergency calls can in some PLMNs be made without having to send the subscriber identity (IMSI) to the network. In this case the misuse of MS equipments after placing invalid emergency calls can be restrained by using the equipment identity.

The network request for the equipment identity is sent to the MS after the emergency call has been set-up. The procedure is the same as for normal call set-up.
7  MS Software Version Number (SVN)

A Software Version Number (SVN) field shall be provided. This allows the ME manufacturer to identify different software versions of a given mobile.

The SVN is a separate field from the IMEI, although it is associated with the IMEI. When the network requests the IMEI from the MS, it may also request that the SVN is also sent towards the network.

The white list shall use the IMEI. The Black and Grey Lists may also use the SVN.
## Change history

<table>
<thead>
<tr>
<th>TSG SA#</th>
<th>SA Doc.</th>
<th>SA1 Doc</th>
<th>Spec</th>
<th>CR</th>
<th>Rev</th>
<th>Rel</th>
<th>Cat</th>
<th>Subject/Comment</th>
<th>Old</th>
<th>New</th>
<th>WI</th>
</tr>
</thead>
<tbody>
<tr>
<td>Jun 1999</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Transferred to 3GPP SA1</td>
<td>7.0.0</td>
<td></td>
<td></td>
</tr>
<tr>
<td>SA#04</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Transferred to 3GPP SA1</td>
<td>3.0.0</td>
<td></td>
<td></td>
</tr>
<tr>
<td>SP-05</td>
<td>SP-99479</td>
<td>S1-99611</td>
<td>22.016</td>
<td>001</td>
<td>R99</td>
<td>D</td>
<td>Editorial changes for alignment</td>
<td>3.0.0</td>
<td>3.0.1</td>
<td>Editorial changes</td>
<td></td>
</tr>
<tr>
<td>SP-06</td>
<td>SP-99601</td>
<td>P-99-777</td>
<td>22.016</td>
<td>002</td>
<td>R99</td>
<td>F</td>
<td>Modification of section 2 to enhance IMEI security</td>
<td>3.0.1</td>
<td>3.1.0</td>
<td></td>
<td></td>
</tr>
<tr>
<td>SP-08</td>
<td>SP-000195</td>
<td>S1-000441</td>
<td>22.016</td>
<td>003</td>
<td>R99</td>
<td>F</td>
<td>IMEI coding</td>
<td>3.1.0</td>
<td>3.2.0</td>
<td></td>
<td></td>
</tr>
<tr>
<td>SP-08</td>
<td>SP-000194</td>
<td>S1-000266</td>
<td>22.016</td>
<td>004</td>
<td>R99</td>
<td>F</td>
<td>Modification of section 2 to delete unnecessary information about phases and releases removed</td>
<td>3.1.0</td>
<td>3.2.0</td>
<td></td>
<td></td>
</tr>
<tr>
<td>SP-11</td>
<td>SP-010065</td>
<td>S1-010258</td>
<td>22.016</td>
<td>Rel-4</td>
<td></td>
<td></td>
<td>Transferred to 3GPP Release 4</td>
<td>3.2.0</td>
<td>4.0.0</td>
<td></td>
<td></td>
</tr>
<tr>
<td>SP-15</td>
<td>SP-020045</td>
<td>S1-020457</td>
<td>22.016</td>
<td>006</td>
<td>Rel-4</td>
<td>F</td>
<td>Editorial CR to correct terms and phrases</td>
<td>4.0.0</td>
<td>4.1.0</td>
<td>CORREC</td>
<td></td>
</tr>
<tr>
<td>SP-16</td>
<td>SP-020237</td>
<td>S1-021149</td>
<td>22.016</td>
<td>008</td>
<td>Rel-4</td>
<td>A</td>
<td>Type approval code</td>
<td>4.0.0</td>
<td>4.1.0</td>
<td>TEI</td>
<td></td>
</tr>
<tr>
<td>SP-16</td>
<td>SP-020267</td>
<td>S1-021043</td>
<td>22.016</td>
<td>Rel-5</td>
<td></td>
<td></td>
<td>Updated from Rel-4 to Rel5</td>
<td>4.1.0</td>
<td>5.0.0</td>
<td></td>
<td></td>
</tr>
<tr>
<td>SP-26</td>
<td>SP-040744</td>
<td>S1-040997</td>
<td>22.016</td>
<td>Rel-6</td>
<td></td>
<td></td>
<td>Updated from Rel-5 to Rel-6</td>
<td>5.0.0</td>
<td>6.0.0</td>
<td></td>
<td></td>
</tr>
<tr>
<td>SP-34</td>
<td>SP-060768</td>
<td>S1-061444</td>
<td>22.016</td>
<td>0009</td>
<td>Rel-7</td>
<td>F</td>
<td>IMEI Check and IMS</td>
<td>6.0.0</td>
<td>7.0.0</td>
<td>TEI7</td>
<td></td>
</tr>
<tr>
<td>SP-42</td>
<td>SP-080891</td>
<td>S1-083445</td>
<td>22.016</td>
<td>0010</td>
<td>1 Rel-7</td>
<td>F</td>
<td>Correction of SVN sending when network requests IMEI</td>
<td>7.0.1</td>
<td>7.1.0</td>
<td>TEI7</td>
<td></td>
</tr>
<tr>
<td>SP-42</td>
<td></td>
<td></td>
<td>22.016</td>
<td>Rel-7</td>
<td></td>
<td></td>
<td>Editorial correction to version identity on front cover</td>
<td>7.0.0</td>
<td>7.0.1</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Rel-8 version created</td>
<td>7.1.0</td>
<td>8.0.0</td>
<td></td>
<td></td>
</tr>
<tr>
<td>SP-45</td>
<td>SP-090470</td>
<td>S1-093278</td>
<td>22.016</td>
<td>0013</td>
<td>2 Rel-9</td>
<td>A</td>
<td>Clarify that each IMEI shall be unique</td>
<td>8.0.0</td>
<td>9.0.0</td>
<td>TEI9</td>
<td></td>
</tr>
</tbody>
</table>

*2010-04: correct history table* 9.0.0 9.0.1

*2011-03* - - - - - - Update to Rel-10 version (MCC) 9.0.1 10.0.0

*2012-09* - - - - - - Update to Rel-11 version (MCC) 10.0.0 11.0.0

*2014-10* - - - - - - Update to Rel-12 version (MCC) 11.0.0 12.0.0
## History

<table>
<thead>
<tr>
<th>Document history</th>
</tr>
</thead>
<tbody>
<tr>
<td>V12.0.0</td>
</tr>
</tbody>
</table>