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Speech and multimedia Transmission Quality (STQ); Speech quality in the presence of background noise: Objective test methods for super-wideband and fullband terminals

#### Reference RTS/STQ-269

Keywords

noise, quality, speech, testing, transmission

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## **Foreword**

This Technical Specification (TS) has been produced by ETSI Technical Committee Speech and multimedia Transmission Quality (STQ).

The present document is to be used in conjunction with:

- ETSI ES 202 396-1 [i.1]: "Background noise simulation technique and background noise database"; and
- ETSI TS 103 224 [i.19] series: "A sound field reproduction method for terminal testing including a background noise database".

The present document describes an objective test method for super-wideband and fullband in order to provide a good prediction of the uplink speech quality in the presence of background noise of modern mobile terminals in hand-held and hands-free.

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## 1 Scope

The present document describes testing methodologies which can be used to objectively evaluate the performance of super-wideband and fullband mobile terminals for speech communication in the presence of background noise.

Background noise is a problem in mostly all situations and conditions and needs to be taken into account in terminal design. The present document provides information about the testing methods applicable to objectively evaluate the speech quality of mobile terminals (including any state-of-the-art codecs) employing background noise suppression in the presence of background noise. The present document includes:

- The method which is applicable to objectively determine the different parameters influencing the speech quality in the presence of background noise taking into account:
  - the speech quality;
  - the background noise transmission quality;
  - the overall quality.
- The model results in comparison with the underlying subjective tests used for the training of the objective model. The underlying languages are: American English, German, Chinese (Mandarin).
- The model validation results.

The present document is to be used in conjunction with:

- ETSI ES 202 396-1 [i.1] which describes a recording and reproduction setup for realistic simulation of background noise scenarios in lab-type environments for the performance evaluation of terminals and communication systems.
- ETSI TS 103 224 [i.19] which describes a sound field reproduction method for terminal testing including a background noise database with background noise scenarios to be used in lab-type environments for the performance evaluation of terminals and communication systems.
- American English speech sentences as enclosed in the present document.

## 2 References

#### 2.1 Normative references

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The following referenced documents are not necessary for the application of the present document but they assist the user with regard to a particular subject area.

| [i.1]  | ETSI ES 202 396-1: "Speech and multimedia Transmission Quality (STQ); Speech quality performance in the presence of background noise; Part 1: Background noise simulation technique and background noise database". |
|--------|---|
| [i.2]  | ETSI EG 202 396-3: "Speech and multimedia Transmission Quality (STQ); Speech Quality performance in the presence of background noise Part 3: Background noise transmission - Objective test methods".               |
| [i.3]  | ETSI TS 103 106: "Speech and multimedia Transmission Quality (STQ); Speech quality performance in the presence of background noise: Background noise transmission for mobile terminals-objective test methods".     |
| [i.4]  | ETSI TS 126 441: "Universal Mobile Telecommunications System (UMTS); LTE; Codec for Enhanced Voice Services (EVS); General overview (3GPP TS 26.441)".  |
| [i.5]  | Recommendation ITU-T P.835: "Subjective test methodology for evaluating speech communication systems that include noise suppression algorithm".   |
| [i.6]  | Internet Engineering Task Force, Request for Comments 6716: "Definition of the Opus Audio Codec", 09/2012.  |
| [i.7]  | Recommendation ITU-T P.56: "Objective measurement of active speech level".  |
| [i.8]  | Recommendation ITU-T P.1401: "Methods, metrics and procedures for statistical evaluation, qualifying and comparison of objective quality prediction models".  |
| [i.9]  | Recommendation ITU-T G.160 Appendix II, Amendment 2: "Voice enhancement devices: Revised Appendix II - Objective measures for the characterization of the basic functioning of noise reduction algorithms".         |
| [i.10] | Recommendation ITU-T P.501: "Test Signals for Use in Telephonometry".   |
| [i.11] | Recommendation ITU-T P.58: "Head and Torso simulator for telephonometry".   |
| [i.12] | Recommendation ITU-T P.57: "Artificial ears".   |
| [i.13] | Recommendation ITU-T P.800: "Methods for subjective determination of transmission quality".   |
| [i.14] | ETSI TS 126 132: "Universal Mobile Telecommunications System (UMTS); LTE; Speech and video telephony terminal acoustic test specification (3GPP TS 26.132)".  |
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| [i.17] | 3GPP, Tdoc S4(16)0397: "DESUDAPS-1: Common subjective testing framework for training and validation of SWB and FB P.835 test predictors".   |
| [i.18] | Recommendation ITU-T P.64: "Determination of sensitivity/frequency characteristics of local   |

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- [i.19] ETSI TS 103 224: "Speech and multimedia Transmission Quality (STQ); A sound field reproduction method for terminal testing including a background noise database".
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| [i.41] | Recommendation ITU-T P.1120 (03/2017): "Super-WideBand (SWB) and FullBand (FB) stereo hands-free communication in motor vehicles".                        |
|--------|---|
| [i.42] | Recommendation ITU-R BS.708 (06/1990): "Determination of the electro-acoustical properties of studio monitor headphones".                                 |
| [i.43] | IEC 60268-7:2010: "Sound system equipment - Part 7: Headphones and earphones".  |
| [i.44] | ETSI TR 126 931: "Universal Mobile Telecommunications System (UMTS); LTE; Evaluation of Additional Acoustic Tests for Speech Telephony (3GPP TR 26.931)". |

## 3 Definition of terms, symbols and abbreviations

## 3.1 Terms

Void.

## 3.2 Symbols

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## 3.3 Abbreviations

For the purposes of the present document, the following abbreviations apply:

AMR Adaptive Multi-Rate (narrowband speech codec)
AMR-WB Adaptive Multi-Rate Wideband (wideband speech codec)

AS Analysis Serial
ASL Active Speech Level

BAK Background Noise Component

BGN Background Noise BM Basilar Membrane CM Cochlear Model

COSM Cochlear Output Statistic Metrics

CP Characteristic Place

dB SPL Sound Pressure Level re 20 μPa in dB

DB Data Base

DES Database Enumeration
DNN Deep Neural Network
DRP Drum Reference Point
EVS Enhanced Voice Services

EVS-FB Enhanced Voice Services - Fullband

FB Fullband

FFT Fast Fourier Transformation

G-MOS Global MOS (related to the overall quality)

HATS Head and Torso Simulator

HE Headset

HHHF Hand-Held Hands-Free

HS Handset IHC Inner Hair Cell

IIR Infinite Impulse Response

ITU International Telecommunication Union

ITU-R International Telecommunication Union - Radiocommunication sector ITU-T International Telecommunication Union - Telecommunication sector

LQO<sub>fb</sub> Listening Quality Objective (related to fullband scale)

LQS<sub>fb</sub> Listening Quality Subjective (related to fullband scale)

MOS Mean Opinion Score MRP Mouth Reference Point

NB Narrowband

N-MOS Noise MOS (related to the noise intrusiveness)

NS Noise Suppression OHC Outer Hair Cell

OVRL Overall (speech + noise) Component
PCA Principal Component Analysis
PCM Pulse Code Modulation

RAPT Robust Algorithm for Pitch Tracking

RMS Root Mean Square RMSE Root Mean Square Error

RMSE\* epsilon insensitive Root Mean Square Error

SFP Salient Formant Points
SIG SIGnal component
SLR Send Loudness Rating

S-MOS Speech MOS (related to the speech distortion)

SNR Signal to Noise Ratio

SNR(A) Signal to Noise Ratio (A-weighted)

SPL Sound Pressure Level SWB Super-wideband

SWB/FB Super-Wideband/Fullband
TCP Track Center Points
TM Tectorial Membrane
VAD Voice Activity Detection

WB Wideband

## 4 Introduction

The present document describes models for the objective prediction of speech-, background-noise- and overall quality for super-wideband and fullband terminals and systems used in background noise in uplink on a fullband scale.

The models are intended to be used for modern terminals including e.g. different bitrates of EVS [i.4] and other state-of-the-art coding technologies. The current models were trained and validated with EVS-SWB, EVS-FB, Opus [i.6], AMR, AMR-WB, PCM including typical packet loss and jitter conditions and recordings in handset, headset, hands-free and car hands-free mode.

## 5 Underlying speech databases and preparations

The basis of any perceptually-based measure which models the behaviour of human test persons, are auditory tests. In general, these tests are carried out with naïve test persons, who are asked to rate a certain quality aspect of a presented speech sample. For the evaluation of processed and transmitted noisy speech, the Recommendation ITU-T P.835 [i.5] is a state-of-the-art method for the assessment of speech and noise quality. The listening test procedure described in [i.5] is also the basis for the prediction model.

It is necessary to note that the Recommendation ITU-T P.835 [i.5] uses a slightly different nomenclature for the different quality attributes. For the speech distortion scale, SIG (signal = speech) is used instead of S-MOS-LQS, BAK (background noise) instead of N-MOS-LQS and OVRL (overall) instead of G-MOS-LQS. Whenever these abbreviations are used in the present document, this always indicates that auditory results are addressed.

In addition to Recommendation ITU-T P.835 [i.5], several details of auditory testing were specified in [i.17]. These more detailed descriptions focus on the recording and creation of the test and reference stimuli. An update of the reference processing to SWB/FB mode was introduced as an extension of the procedures described in [i.3]. This revised subjective test framework is required in order to minimize variations between subjective tests performed in different listening laboratories. A summary of this work is provided in annex D.

## 6 Model descriptions

#### 6.1 Introduction

The prediction models described in the following clauses are full-reference models. Such a predictor compares the degraded signal under test against a reference signal. Audible disturbances between these two signals are assumed to highly correlate with the results of auditory tests conducted in the development phase. Two models are provided in the present document for this purpose.

#### 6.2 Common definitions

Even though both model variants internally work differently regarding the processing steps, inputs and outputs are common. The input time signals are denoted as x(k) for the reference signal and y(k) for the degraded signal, which is evaluated either by the instrumental or the auditory assessment. Each prediction model provides three output values:

- S-MOS-LQO<sub>fb</sub>: instrumentally assessed SIG component (speech distortion).
- N-MOS-LQO<sub>fb</sub>: instrumentally assessed BAK component (noise intrusiveness).
- G-MOS-LQO<sub>fb</sub>: instrumentally assessed OVRL component (global quality).

#### 6.3 Model A

#### 6.3.1 Introduction

In general, the model consists of several stages and calculation steps which finally conclude in the assessment of instrumental S-, N- and G-MOS. Figure 6.1 provides an overview about the structure of the method. Clauses 6.3.2 to 6.3.8 provide detailed descriptions of each processing block.

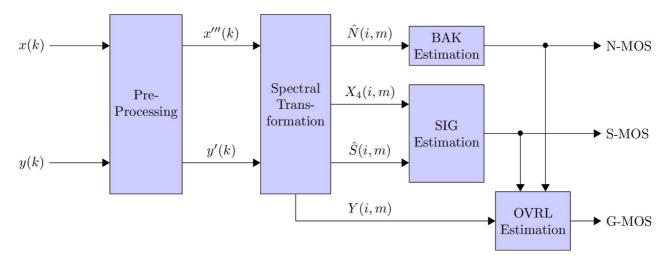


Figure 6.1: Block diagram of model A

#### 6.3.2 Pre-Processing

The pre-processing of the inputs x(k) and y(k) is conducted to compensate differences regarding temporal alignment and level offsets between the signals. An overview of the pre-processing is given in the block diagram shown in figure 6.2.

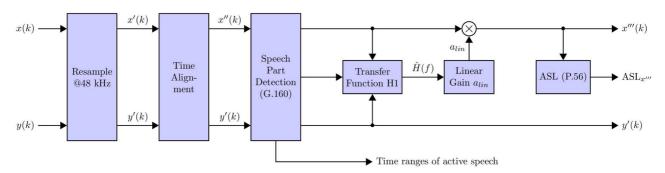


Figure 6.2: Block diagram of model A

The first block ensures that both input signals provide the same sampling rate of 48 kHz. The outputs are denoted as x'(k) and y'(k).

The delay compensation between processed and clean speech signal is applied in a similar way as in the method according to ETSI EG 202 396-3 [i.2]. The signals x'(k) and y'(k) are filtered with an IIR band-pass of 6<sup>th</sup> order and a frequency range of 300 Hz - 3 300 Hz. Limiting to this range, only the signal parts containing most speech energy are taken into account. Then, the cross-correlation  $\Phi_{xy}(\tau)$  between the pre-filtered input signals x'(k) and y'(k) is calculated, followed by an envelope operation according to equation (1).

$$E(\tau) = \sqrt{\left[\Phi_{xy}(\tau)\right]^2 + \left[H\left(\Phi_{xy}(\tau)\right)\right]^2} \tag{1}$$

The envelope is calculated using the Hilbert transformation according to equation (2).

$$H\left(\Phi_{xy}(\tau)\right) = \sum_{u=u_{min}}^{u=u_{max}} \frac{\Phi_{xy}(u)}{\pi(\tau-u)} \tag{2}$$

The maximum peak of  $E(\tau)$  determines the delay to compensate on the time abscissa.

The alignment is conducted by adding zeros at the beginning and cropping at the end of signal x'(k) in case of a positive determined delay. The inverse procedure is applied in case of a negative delay. This compensation step results in x''(k) and does not affect the degraded signal y'(k), i.e. the duration of y'(k) is maintained in both output signals.

The next block extracts the active speech parts from the signal x"(k). For this analysis, the first step is to classify energy frames of 10 ms (block-wise, no overlap) according to the method described in [i.9]. The thresholds for the classification are defined relatively to the active speech level [i.7]. As a result, each speech frame is identified either as high (H), medium (M), low (L) or uncertain (U) activity. Frames without activity are either classified as short pauses (P) or silence (S). The speech parts are finally determined as regions excluding frames of type S. The information of the active time ranges is employed in several other blocks which are introduced in the following clauses.

The last stage performs an initial level calibration of the reference signal x''(k). For this purpose, the complex transfer function is determined by equation (3).

$$H(f) = \frac{s_{x''y'}(f)}{s_{x''x''}(f)}$$
 (3)

This calculation is also known as *method H1* in literature, where noise is located at the output of a system. Here  $S_{x''y'}(f)$  denotes the cross-power spectral density between x''(k) and y'(k),  $S_{x''x''}(f)$  represents the power spectral density of x''(k). The analysis is carried out only for the active speech segments determined previously. The gain  $a_{lin}$  required for the level calibration of x''(k) is obtained by averaging the magnitude H(f) over the entire frequency range. The scaled version of the reference signal is finally denoted as x'''(k). For later application, the active speech level of x'''(k) according to Recommendation ITU-T P.56 [i.7] is calculated as  $ASL_{x'''}$ .

#### 6.3.3 Spectral transformation

Figure 6.3 depicts the flow chart of the spectral transformation and further processing steps, which are performed for the instrumental assessment of S-MOS, N-MOS and G-MOS.

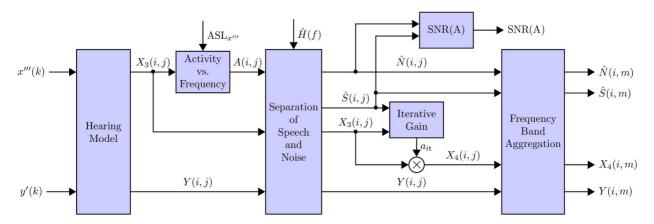


Figure 6.3: Block diagram of model A

For the consideration of a hearing-adequate signal representation, the model according to [i.20] and [i.21] is used in the spectral analysis of the pre-processed time signals. At this stage, only outer and middle ear filtering, the auditory filter bank, rectification, low-pass filtering and downsampling are applied, which result in a frame size of 8 ms. The bandwidth of the auditory filterbank is specified by equation (4).

$$\Delta f(f_m) = \Delta f(f=0) + c \cdot f_m \tag{4}$$

The initial bandwidth  $\Delta f(f=0)$  is set to 50 Hz and the factor c equals 0,14. This results in M = 33 bands up to 20 kHz. In addition to the specified basis filters, two intermediate filters are inserted between the neighbouring center frequencies of the 33 frequency bands. This results in an overlap of 66 % in the frequency domain, leading to a finer resolution of J = 99 bands. For further reference, the complete hearing model is described at full length in [i.20] and [i.21].

This intermediate representation results in a hearing model spectrum versus time, but still in the physical unit Pascal. The time signals x''(k) and y'(k) are transformed into the corresponding time-frequency representations  $X_3(i,j)$  and Y(i,j), respectively.

The next stage is the estimation of the speech activity versus time and frequency. The thresholds for activity in each band are defined by a long-term average speech spectrum according to [i.22]. Such a spectrum is created by interpolating the given frequency vector and then scaling it to the overall energy of the previously determined  $ASL_{x^{in}}$ . The limits for low (L), mid (M), high (H), uncertain (U) and silence (P) for each frequency band are then determined again by the offsets described in [i.9]. As a result, an activity spectrum A(i,j) is obtained.

One of the most important stages of the spectral analysis is the separation of the degraded spectrum Y(i,j) according to equation (5) into a speech and noise component.

$$Y(i,j) = \hat{S}(i,j) + \hat{N}(i,j)$$
(5)

Listening tests according to Recommendation ITU-T P.835 [i.5] usually contain stimuli with at least a residual amount of background noise. Due to the specific questionnaire of this auditory test method, test subjects are urged to differentiate between disturbances caused by speech distortions (SIG scale) or by noise intrusiveness (BAK scale). In consequence, even in case of very noisy stimuli low speech distortion judgments are observed. Obviously, participants in such an auditory test are capable of separating speech and noise in the presented sounds. To address this ability of human perception and/or cognition in the instrumental assessment as well, the spectral representation Y(i,j) of the degraded signal is separated into the two components speech-only  $(\hat{S}(i,j))$  and noise-only  $(\hat{N}(i,j))$ .

Similar to noise reduction techniques, the method for the separation is based on a Wiener filter in the frequency domain according to equation (6).

$$\hat{S}(i,j) = W(i,j) \cdot Y(i,j) \tag{6}$$

The Wiener gain W(i,j) is defined according to equation (7) and usually needs an accurate estimate of the real noise and speech component.

$$W(i,j) = \frac{S^2(i,j)}{S^2(i,j) + N^2(i,j)}$$
(7)

As an estimate for the speech component  $S^2(i,j)$ , the previously determined transfer function H(f) is applied to the reference spectrum  $X_3(i,j)$ . Linear distortions in the degraded signal can be explained by taking this filter into account and thus provide a better speech-only estimation.

A simple estimate of the noise component in dB can be derived from the previously used spectra according to equation (8). Here just the difference between degraded and filtered reference spectrum is evaluated.

$$N_0(i,j) = 20 \cdot \log_{10}(\max(0,Y(i,j) - H(j) \cdot X_3(i,j)))$$
(8)

However, due to strong non-linear processing in a terminal, this estimate may be rather unprecise - especially in time- frequency bins with high speech activity. As a refinement, the previously determined activity spectrum A(i,j) is taken into account. First, a mask M(i,j) is derived from the activity and the values provided in table 6.1.

 Activity class
 Mask value

 Silence (S)
 1,0

 Uncertain (U)
 0,4

 Low (L)
 0,2

 Mid (M)
 0,0

 High (H)
 0,0

Table 6.1: Mask values

The mask value indicate reliable (silence, value = 1), unreliable (mid and high activity, value = 0) or intermediate time-frequency bins for the noise estimation.

To exclude the impact of this mask function, an iterative spectral deconvolution according to [i.20] is conducted for each frequency band. The proposed deconvolution algorithm originally was intended for the use with audio signals. The problem to be solved is defined by equation (9) for a fixed frequency j', i.e. the deconvolution in the FFT domain. Thus, the method reconstructs windowed signal parts based on an interpolation of the dominating frequency lines in the spectrum.

$$N_0(i,j') \cdot M(i,j') \to FFT(N_0(i,j')) * FFT(M(i,j'))$$
(9)

The deconvoluted noise spectrum  $N_1(i, j)$  is then used for the Wiener filter gain, which can now be specified according to equation (10).

$$W(i,j) = \frac{(H(j) \cdot X_3(i,j))^2}{(H(j) \cdot X_3(i,j))^2 + N_1^2(i,j)}$$
(10)

By providing both, speech and noise spectrum separately according to equations (5) and (6), a simple SNR calculation can be performed. First,  $\hat{S}(i,j)$  and  $\hat{N}(i,j)$  are averaged versus time (speech: active parts only), which results in two spectra,  $\hat{S}(j)$  and  $\hat{N}(j)$ . To roughly address the human frequency-dependent loudness perception, an A-weighting function A(j) according to [i.23] is applied to the noise spectrum. The modified SNR(A) in dB is calculated finally by equation (11).

$$SNR(A) = 20 \log_{10} \sum_{j=1}^{33} \frac{\hat{S}(j)}{A(j)\hat{N}(j)}$$
(11)

As described in the previous clause 6.3.2, the input signal x"'(k) includes already a level calibration in order to achieve a similar speech level as the degraded signal y'(k). Since only linear distortions and uncorrelated additive components are considered by the transfer function H(f), a final adaption of the reference spectrum  $X_3(i,j)$  is applied by employing the estimated speech spectrum  $\hat{S}(j)$ . Starting with an offset of  $a_{it} = 0$  dB, an iterative bisection method (initial stepsize 3 dB) is applied to achieve a suitable correction factor. In each iteration, the number  $n_{above}$  of time-frequency bins of  $[a_{it} \cdot X_3(i,j)]$  are counted, which contain a higher amplitude than the separated speech spectrum  $\hat{S}(j)$ . Then, a ratio  $r = n_{above}/n_{total}$  is calculated by normalizing to the total amount of bins. The iteration scheme is repeated until  $r \approx 30$  % and r is not changed anymore by increasing/decreasing  $a_{it}$ . This method of counting is applied again only to the active signal parts.

Figure 6.4 exemplarily illustrates the iterative method for one time instance. After the first iteration, the reference level is too low (0 % of bins above). After setting a higher scaling factor  $a_{it}$ , the reference level is too high (62 % of bins above). By applying some more iteration, the spectrum has the right scaling.

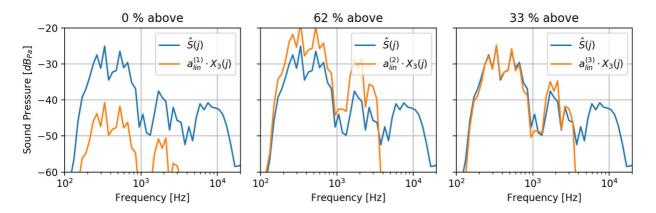


Figure 6.4: Iterative level alignment

Finally, all spectral representations are aggregated back to the basic M = 33 hearing-adequate frequency bands. This is conducted simply by the quadratic mean of three consecutive bands. This includes a change of the frequency index from j to m (e.g. Y(i,j)) results in Y(i,m) after aggregation).

#### 6.3.4 Non-linear loudness transformation

The determined spectral representations are transformed with a hearing-adequate filterbank, but are still provided in the physical unit Pascal. The real loudness as perceived by humans is not yet considered. For this purpose, the aforementioned hearing model introduces a non-linear transformation to address the compressed loudness perception at increased input levels. A detailed description of the transformation can be found in [i.20] and [i.21].

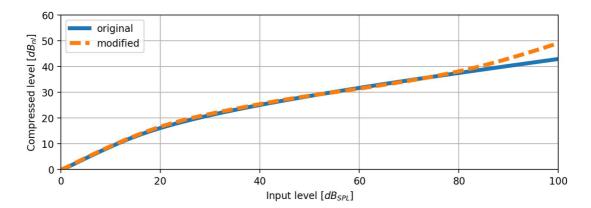


Figure 6.5: Non-linear sound pressure transformations

Figure 6.5 shows two possible non-linearity functions, derived from several psycho-acoustic loudness investigations. The original function (blue curve of figure 6.5) is described in [i.20] and in annex K of ETSI EG 202 396-3 [i.2]. Recent developments proposed also a modified version according to equation (26) of [i.21] (orange curve of figure 6.5). Both functions are used in the further stages of the model.

#### 6.3.5 Instrumental assessment of N-MOS

#### 6.3.5.1 Introduction

The flow chart for the instrumental assessment of N-MOS is depicted in figure 6.6. Several metrics are derived from the spectral representation and then mapped versus the auditory BAK results. Only the separated noise estimation  $\hat{N}(i, m)$  is evaluated for the determination of these metrics.

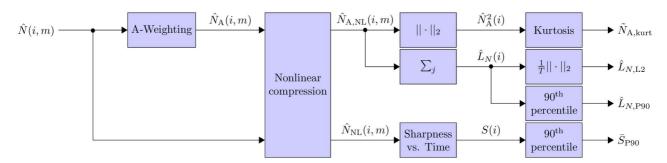


Figure 6.6: Instrumental assessment of N-MOS

#### 6.3.5.2 Loudness-based features

The first step of the calculation is to apply an A-weighting function [i.23] to the noise-only spectrum (output of spectral transformation stage), which results in a weighted version  $\widehat{N}_A(i,m)$ . Both, weighted and unweighted noise spectra are then transformed according to the original non-linear transformation of sound pressure (see clause 6.3.4), providing the spectra  $\widehat{N}_{A,NL}(i,m)$  and  $\widehat{N}_{NL}(i,m)$ .

The weighted version of the transformed noise spectrum is used for three metrics. The first one applies a L2-norm to the spectrum vs. frequency, i.e. aggregating to an average noise versus time ( $\widehat{N}_A^2(i)$ ). In order to obtain a single value, the Kurtosis is calculated from the time series as  $\widetilde{N}_{Akurt}$  to describe the temporal peakedness/variance of the noise.

The next two parameters are calculated in a similar way. First, a summation over frequency is performed to provide a loudness vs. time  $\hat{L}_N(i)$ . An estimator for the overall loudness of the noise  $\hat{L}_{N,L2}$  is then obtained by applying an averaged L2-norm versus time. To provide additional information about the maximum loudness within the noise, the parameter  $\hat{L}_{N,P90}$  is calculated as the 90 % percentile of  $\hat{L}_N(i)$ .

#### 6.3.5.3 Sharpness-based feature

The previously introduced metrics do not explicitly consider the higher frequency range, which is even more attenuated by the A-weighting function. For this purpose, the unweighted version of the transformed noise spectrum is used for a sharpness metric. This well-known psycho-acoustic measure especially addresses high-frequency components in a signal. According to [i.26], it can be calculated as the weighted first moment of the specific loudness, i.e. the non-linear transformed sound pressure in this case. Sharpness versus time is then determined according to equation (12). The weighting function g(m) according to equation (13) from [i.26] is used here.

$$S(i) = \frac{\int_{m=1}^{M} \widehat{N}_{NL}(i,m) \cdot g(m) \cdot m \cdot dz}{\int_{m=1}^{M} \widehat{N}_{NL}(i,m) \cdot dz}$$

$$(12)$$

$$g(b) = \max(1, 0,066 \cdot e^{0.171 \cdot b}) \tag{13}$$

Note that the center frequencies of the current hearing model are converted into fractional Bark band indices (b) to obtain a certain weight. Figure 6.7 illustrates the weighting curve versus real frequencies. For the aggregate versus time, 90 % percentile is used to obtain the single value  $\bar{S}_{P90}$ .

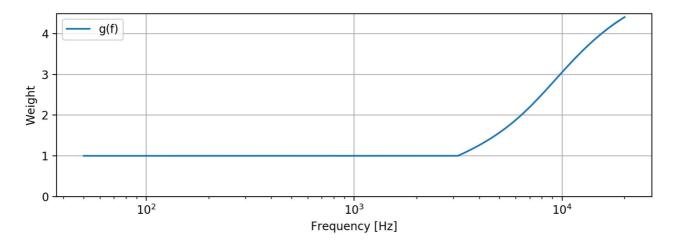


Figure 6.7: Frequency weighting for sharpness analysis

To combine the four determined features to the instrumental N-MOS, it is a common way to utilize machine learning techniques for training. According to figure 6.8, a random forest regressor as described in [i.28] is used in this case. This regression model originates from the classification domain and provides a good prediction in case of input variables which can be seen more as "labels". For example, an increased sharpness measure  $(\bar{S}_{P90})$  does not necessarily lead to a decreased auditory score. Only in combination with e.g. increased loudness indicator  $(\hat{L}_{N,L2})$  judgements of BAK degrade.

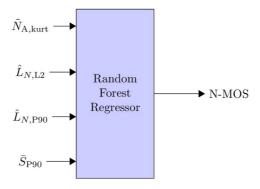


Figure 6.8: Combination of features to instrumental N-MOS

Table 6.2 provides the parameters of the random forest regressor which were used for the training process.

Table 6.2: Parametrization of random forest regressor for N-MOS

| Parameter                   | Value        |
|-----------------------------|--------------|
| Number of trees             | 50           |
| Maximum depth               | 12           |
| Minimum samples per leaf    | 7            |
| Number of features to split | All/no limit |

## 6.3.6 Reference optimization and asymmetry

#### 6.3.6.1 Introduction

A common processing step in speech quality prediction algorithms (e.g. [i.24] or [i.25]) is the so-called reference optimization. When comparing spectral representations of a degraded and a reference signal e.g. by a subtraction, often differences occur which are not perceived by test subjects. The aim of this optimization stage is to manipulate the reference in a way that spectral differences which do not contribute to perceived differences are compensated in advance for later stages. Figure 6.9 illustrates the different blocks of the procedure, which can either be applied on the separated speech  $\hat{S}(i,j)$  or on the noisy degraded spectrum Y(i,j).

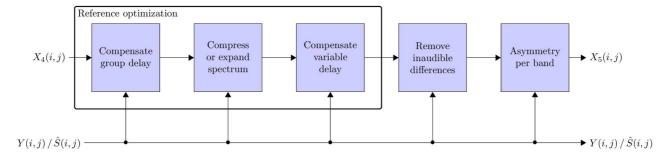


Figure 6.9: Reference Optimization and asymmetry

#### 6.3.6.2 Reference optimization

The reference optimization compensates inaudible signal modifications between reference and degraded. All optimizations are only conducted on the reference. This processing is divided into three parts:

- Compensation of the group delay: differences in group delays between reference and degraded signal are almost inaudible, but can lead to systematic offsets in instrumental assessment. To compensate this effect, in each frequency band the magnitude versus time is first upsampled by a factor of four. Then, the delay per band is determined and compensated. Finally, downsampling by a factor of four obtains the previous time base.
- Compression/expansion of spectrum: several signal processing algorithms and codecs perform manipulation of the fundamental and/or harmonic speech frequencies. Up to a certain degree, this impact is not audible. For the compensation of this effect, each spectrum at a time index is first interpolated by a factor of four. To address the logarithmic frequency resolution, the distance between each frequency band is assumed as an equal distance. For a range of ±3 neighbouring interpolated subbands, the mean-square-error between reference and degraded spectrum at this time instance is determined. The best matching shift (in terms of minimum error) is applied for each time frame. Finally, the spectrum is downsampled back to the original domain.
- Compensation of variable delay: drift (also known as clock skew) of audio signals between reference and degraded signals is also an inaudible effect which may impact instrumental assessment with difference-based measures. To compensate this, the whole spectrum is first upsampled versus time by a factor of four. Similar to the previous optimization step, now the mean-square-error between reference and degraded spectrum of the neighbouring ±3 time sub-instances are evaluated. The best matching temporal shift (in terms of minimum error) is selected for each original frame and is inserted directly into the output spectrum (no further downsampling).

#### 6.3.6.3 Masking of inaudible differences

So far, reference optimization only considered effects of the signal processing of the degraded signal which are inaudible to human listeners. Beside this, there are also psycho-acoustic masking effects which can impact the audible differences between degraded and reference signal. In this stage, the masking model described in [i.25] is used. Basically the method detects the maximum level per frequency band for each time instance and derives a simple masking of the high frequency range.

#### 6.3.6.4 Asymmetry

The final block of this stage is the application of the so-called "asymmetry". This principle was already introduced in several instrumental speech quality assessment methods, like e.g. [i.29], [i.25] or [i.24]. In auditory tests, additional signal components are much more annoying than components which are removed. A simplified asymmetry is applied to time-frequency bins of an arbitrary difference  $\Delta(i, m)$  as shown in equation (14). The factor  $\alpha$  controls the amount of asymmetry and is defined as a value larger or equal 0. Lower factors increase the asymmetry effect.

$$\Delta(i,m) = \begin{cases} \Delta(i,m), & \Delta(i,m) \le 0\\ (1+\alpha) \cdot \Delta(i,m), & \Delta(i,m) > 0 \end{cases}$$
 (14)

#### 6.3.7 Instrumental assessment of S-MOS

#### 6.3.7.1 Introduction

For the instrumental assessment of S-MOS, two different kinds of features are extracted from the spectral representations. The first type of metrics is intended to detect disturbances at certain modulation frequencies and detects degradations versus frequency. The second one is intended to determine spectral differences versus time, which is a well-known principle, as already used in e.g. [i.25] or [i.24].

#### 6.3.7.2 Modulation-based features

Figure 6.10 provides a flow chart of the calculation of the two modulation-based features. The spectral representations of the separated speech  $\hat{S}(i,j)$  and the pre-processed, but non-optimized reference  $X_4(i,j)$  are used as the inputs of this block.

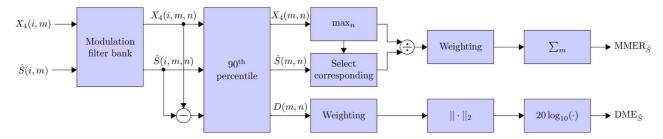


Figure 6.10: Structure of modulation-based feature extraction

In a first analysis, both spectra are transformed by a modulation filter bank according to [i.27]. This analysis provides N=8 modulation sub-bands per frequency index m. The modulation center frequencies  $f_{c,mod}(n)$  range from 4 to 128 Hz. Due to the output frame step size of 8ms (125 Hz), the spectrum is upsampled vs. time by a factor of four, resulting in a sampling rate of 500 Hz and time index i'. In addition to the two spectra, the difference between  $\hat{S}(i',m)$  and  $X_4(i',m)$  is evaluated as D(i',n). The 4D-representations are then first aggregated versus time. To address the active time ranges, the 90 % percentile is used. As an intermediate result, three average spectra versus frequency index m and modulation index n are obtained.

To emphasize critical frequencies on the one hand and slightly depreciate low and high frequencies, a modified A-weighting function is derived according to equation (15). A(m) denotes the default A-weighting from [i.23].

$$A_{clip}(m) = \max(-10 \ dB, A(m)) \tag{15}$$

This weighting is applied on the difference spectrum D(m, n), followed by an L2-norm and converting into dB-value. This metric  $DME_{\hat{S}}$  in general describes the energy difference across all frequencies and modulation bands.

In another branch, the second measure is derived from the principle described in [i.27]. For each frequency m in the reference spectrum  $X_4(m,n)$ , the maximum magnitude of all modulation bands is determined. The corresponding modulation bands  $n_{max}$  are then selected in the degraded speech spectrum  $\hat{S}(m,n)$  as well. The maximum modulation energy ratio  $MMER_{\hat{S}}$  is then given by equation (16).

$$MMER_{\tilde{S}} = 20 \cdot \log_{10} \sum_{m=1}^{M} A_{clip}(m) \cdot \frac{\hat{S}(n_{max}, m)}{X_4(n_{max}, m)}$$
 (16)

#### 6.3.7.3 Spectral difference features

A more classic approach for the instrumental assessment of speech degradation is the evaluation of spectral differences of loudness. The differences of the non-linearly compressed sound pressure level are used here. Figure 6.11 illustrates the flow chart for the determination of several features.

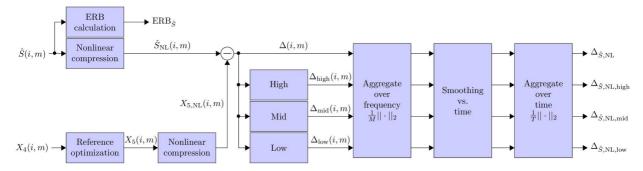


Figure 6.11: Structure of spectral difference features

In a first step, the non-linearity is applied on both input spectra  $\hat{S}(i,m)$ , resulting in the compressed version  $\hat{S}_{NL}(i,m)$ .  $X_5(i,m)$  is obtained by the reference optimization according to the previous clause and is then compressed in the same way to  $X_{5,NL}(i,m)$ . The difference  $\Delta(i,m)$  between these two spectra is then separated into three frequency bands (low/mid/high), which contribute in different ways to speech quality perception. Table 6.3 provides an overview over this division, here also different asymmetry factors are evaluated.

Table 6.3: Definition of frequency bands

| Range | Frequency start/end [Hz] | Asymmetry factor α |  |  |
|-------|--------------------------|--------------------|--|--|
| Low   | 50 - 3 800               | 0,25               |  |  |
| Mid   | 3 800 - 7 800            | 0,40               |  |  |
| High  | 7 800 - 20 000           | 0,50               |  |  |

All four delta spectra are processed in the same way: First, an aggregate versus frequency is performed by an L2-norm and averaging over the amount of corresponding frequencies. Note that this normalization was not performed in the instrumental assessment of N-MOS.

The next step is the smoothing of the delta curve versus time. Here a median filter with a window size of 40 ms is used. Finally, the single values according to figure 6.11 are obtained by again applying an L2-norm versus time, including normalization over the active time frames (see clause 6.3.3).

#### 6.3.7.4 Control parameters

In addition to the psycho-acoustically motivated metrics of the previous clauses and the aforementioned SNR(A), two so-called control parameters are introduced. These single values do not necessarily correlate directly with perceived speech quality, but provide a weighting for the other metrics.

The equivalent rectangular bandwidth  $ERB_{\bar{S}}$  of  $\hat{S}(i, m)$  is calculated according to the description in [i.25]. For this purpose, the transfer function  $\hat{H}_{\bar{S}}(j)$  is estimated in the logarithmic domain according to equation (17). Only time frames of active speech (uncertain, low, mid, high, see clause 6.3.3) are taken into account.

$$\widehat{H}_{\widehat{S}}(j) = 20 \cdot \log_{10} \left( \frac{1}{N_{active}} \sum_{i \in U, L, M, H} \widehat{S}(i, m) \right) - 20 \cdot \log_{10} \left( \frac{1}{N_{active}} \sum_{i \in U, L, M, H} X_4(i, m) \right)$$

$$(17)$$

According to [i.25], an intermediate spectrum  $G_{\hat{S}}$  is calculated according to equation (18).

$$G_S(j) = \max(\widehat{H}_S(j) + 45 dB; 0 dB)$$
(18)

The single value  $ERB_S$  in Hz is then provided as the ratio between the area determined below  $G_S(j)$  and the maximum according to equation (19).

$$ERB_{\hat{S}} = \frac{\operatorname{area}\{G_{\hat{S}}(j)\}}{\max\{G_{\hat{S}}(j)\}} = \frac{\sum_{j} G_{\hat{S}}(j) \cdot \Delta f(j)}{\max\{G_{\hat{S}}(j)\}}$$
(19)

This metric represents an ideal rectangular filter which has the same perceptual characteristics as the original transfer function  $\widehat{H}_S(j)$ . It provides an estimate of the overall bandwidth loss and the coloration of the degraded speech.

A second control parameter is the active speech level  $ASL_{\hat{S}}$  of the separated degraded speech signal. Since this signal is not available in the time domain, the method according to Recommendation ITU-T P.56 [i.7] cannot be applied. The value is thus calculated in the frequency domain, followed by averaging again over the active time frames as shown in equation (20). This parameter provides an information about the loudness of the speech signal without the impact of residual noise.

$$ASL_{\hat{S}} = 20 \cdot \log_{10} \left( \frac{1}{N_{active}} \sum_{i \in U, L, M, H} \sum_{j} \sqrt{\hat{S}^{2}(i, m)} \right)$$
 (20)

#### 6.3.7.5 Combination of features

As for the N-MOS determination, the derived features again are combined by a random forest regression model. Table 6.4 provides the parameters for the regressor used for the training.

Figure 6.12 illustrates the inputs which are found to be crucial for the instrumental assessment of speech distortion component (SIG). These input features can be divided into three groups:

- Modulation-based features: metrics as described in clause 6.3.7.2.
- Spectral difference features: metrics as described in clause 6.3.7.3.
- Control parameters as described in clause 6.3.7.4.

Table 6.4: Parametrization of random forest regressor for S-MOS

| Parameter                   | Value        |  |  |
|-----------------------------|--------------|--|--|
| Number of trees             | 50           |  |  |
| Maximum depth               | 12           |  |  |
| Minimum samples per leaf    | 7            |  |  |
| Number of features to split | All/no limit |  |  |

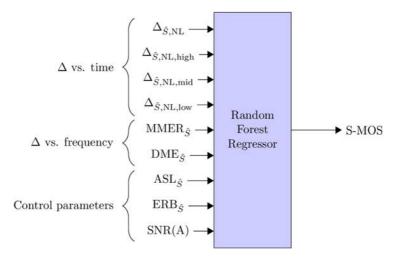


Figure 6.12: Combination of features to instrumental S-MOS

#### 6.3.8 Instrumental assessment of G-MOS

The instrumental assessment of G-MOS is based on three input features. Similar to the prediction model in [i.2], the previously determined values of S-MOS and N-MOS are used here as well. Obviously, this usage is close to the judgement of test subjects in an auditory test. Several investigations already indicated that results of the OVRL scale can be estimated by the votes of SIG and BAK.

If only one single listening test database would be included for the training of the model, these two inputs would provide a sufficient prediction accuracy. However, due to the set of quite inhomogeneous training databases, the composition of SIG and BAK to OVRL is not always a constant mapping. To address this behaviour,  $\Delta_{Y,NL}$  is used as an indicator for this issue. This value is calculated in exactly the same as  $\Delta_{\widehat{S},NL}$  according to figure 6.11, but using Y(i,m) as an input to the calculation instead of  $\hat{S}(i,m)$ .

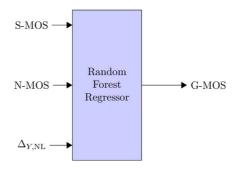


Figure 6.13: Combination of features to instrumental G-MOS

The principle for the determination of G-MOS and its corresponding input features are shown in figure 6.13. As for N-MOS and S-MOS, a random forest regression model is used for the prediction. Table 6.5 provides the parameters for the regression model used for the training process.

Table 6.5: Parametrization of random forest regressor for G-MOS

| Parameter                   | Value        |
|-----------------------------|--------------|
| Number of trees             | 50           |
| Maximum depth               | 12           |
| Minimum samples per leaf    | 7            |
| Number of features to split | All/no limit |

#### 6.4 Model B

#### 6.4.1 Overview

The SWB/FB Cochlear model (CM) based Prediction algorithm compares a reference signal x(k) with a signal under test y(k), and estimates the results of a listening-only test on the three speech quality attributes. The three speech quality attributes and type of listening test estimated are according to Recommendation ITU-T P.835 [i.5].

Table 6.6 provides an overview of the dimension estimators for the model. The following are the input and intermediate variables used in the model:

- x(k) is the input;
- y(k) is the output of transmission system;
- x'(k) and y'(k) are the corresponding outputs of the pre-processing steps (Alignment, ASL, VAD, etc.).

Table 6.6: Overview of the dimension estimators for the prediction model

| Dimension Input |  | Output  | Reference estimator        |
|-----------------|--|---|----------------------------|
| S-MOS           | x'(k) and y'(k); time<br>aligned, VAD;<br>background and<br>voiced section | (i) Salient Formant Points (SFP); (ii) CM feature set s1; i+ii -> mapped to S-MOS | r: 221                     |
| N-MOS           | y'(k); VAD;<br>background<br>section                                       | (ii) CM feature set s2-> mapped to N-MOS  | [i.32]<br>[i.33]<br>[i.30] |
| G-MOS           | y'(k); VAD;<br>background and<br>voiced section                            | (iii) CM feature set s3 -> mapped to G-MOS  |                            |

In an initial pre-processing stage, the reference speech x (k) and degraded speech y (k) are re-sampled to 48 kHz, adjusted to a reference loudness and time-aligned. A Voice Activity Detection (VAD) step is then performed, classifying the reference and degraded signals into voiced, unvoiced and background sections. This initial stage results in the intermediate signals x'(k) and y'(k).

After the pre-processing stage, an Auditory Model processing stage is applied to the intermediate signals x'(k) and y'(k). The Auditory Model is based on a Hydro-Mechanical Cochlear Model (CM). The CM takes the pre-processed Reference and Degraded Speech and produces a cochlear response for each signal.

Following the Auditory Model processing stage, a Feature Extraction stage is performed. Features are extracted from the difference between the Reference and Degraded CM response. Each input speech pair will yield a set of Features. The Feature Extraction process uses some characteristics of the CM output and, therefore, is highly dependent on the CM module.

After the Feature Extraction stage, a Mapping stage is performed. The Mapping stage maps the several Features to the three quality dimensions of Recommendation ITU-T P.835 [i.5]. The mapping is performed based on a-priori training of the model from a large set of P.835 listening test results.

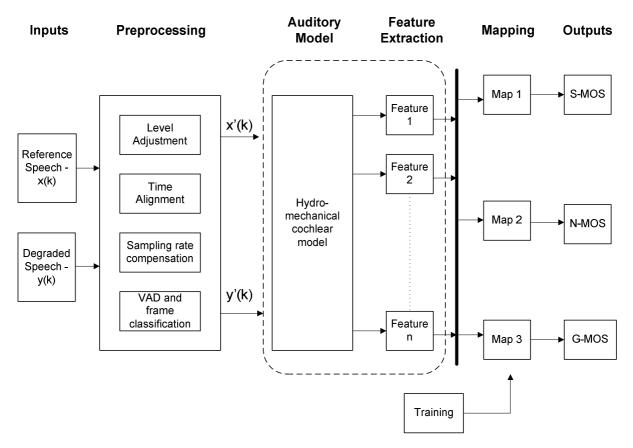


Figure 6.14: High level block diagram for the prediction model

## 6.4.2 Operational Modes

The model algorithm supports a single fullband operational mode and can predict scores for narrowband, wideband, super-wideband and fullband degraded speech signals. All the test conditions are re-sampled to 48 kHz prior to input into the Auditory Model. Regardless of the bandwidth of signals under test, the model algorithm always predicts scores in a fullband context. I.e. a fullband 48 kHz source signal is always present in the reference set during the listening tests.

The source signal shall be in 48 kHz format and it is recommended that the degraded signal be in 48 kHz format as well. Re-sampling of the degraded signal is performed by the algorithm as necessary.

## 6.4.3 Temporal Alignment

The Temporal Alignment module is currently using a subsample alignment algorithm [i.33]. This algorithm detects the delay between original and degraded wave speech by interpolation of the peak of cross correlation. A parabola curve is used for the three-point peak interpolation. The method has been verified to perform with significant amounts of background noise present.

## 6.4.4 Voice Activity Detection (VAD) and segment classification

The VAD module classifies speech into voiced/unvoiced/background (noise only) sections that will contribute to different distortions. The background (with background noise only) section will affect background dimensions (N-MOS). The voiced sections are the main part that decide the speech quality dimensions (S-MOS). The VAD module is a modified version of RAPT pitch tracker [i.34] from Voicebox toolbox [i.35] which tracks the Larynx frequency along time. Frames with a valid pitch estimation are marked as voiced sections.

#### 6.4.5 Auditory Model

#### 6.4.5.1 Introduction

Speech is converted into perceptual domain with the help of a Cochlear Model. The purpose of the Cochlear Model is to compute the Inner Hair Cell (IHC) response caused by sound impinging at the input to the Ear Canal.

The model can be conceptually divided into five parts: Ear Canal model, Middle Ear model, Hydro-mechanical model, Hair Cell Transduction model and Outer Hair Cell Motility model.

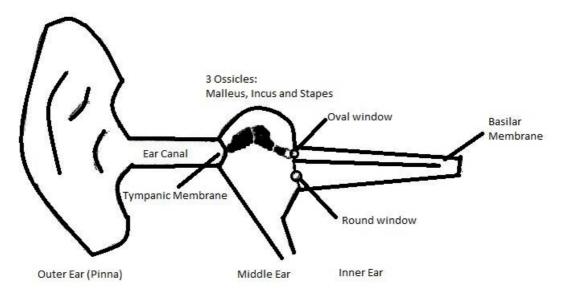
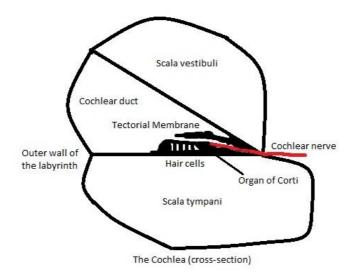


Figure 6.15: Schematic view of the Ear, with an unwrapped cochlea



NOTE: The basilar membrane is a part of the Organ of Corti.

Figure 6.16: Cross-section of the Cochlea

#### 6.4.5.2 Ear Canal model

The ear canal model is a linear model with a primary resonance at 2 600 Hz. It models the transfer function between pressure incident at the Ear Canal opening to the pressure on the Tympanic membrane (see figure 6.15). As such, it is essentially an acoustic model of a single ended cylindrical tube [i.31]. The length of the tube is the length of a typical human ear canal. The model is implemented as a discrete IIR filter as follows:

$$P_{tm}[n] = h[n] * s[n], \qquad (21)$$

where s[n] is the pressure signal incident at the opening of the Ear Canal and  $P_{tm}[n]$  is the pressure at the Tympanic Membrane. The filter h[n], is given by:

$$h[n] = \frac{\sum_{i=0}^{6} b_{i}[n-i]}{1 + \sum_{i=1}^{6} a_{i}[n-i]},$$
(22)

where the filter coefficients for a sampling rate of 288 kHz (see note in clause 6.4.5.3) are given by:

$$b_i = \{0.4810, -1.8446, 2.2117, 0.0722, -2.2488, 1.7724, -0.4439\}$$
 and  $a_i = \{-5.5360, 12.8779, -16.1201, 11.4586, -4.3882, 0.7079\}.$ 

#### 6.4.5.3 Middle Ear model

The middle ear model emulates the transfer function between pressure incident at the Tympanic membrane and the Volume Velocity of the Stapes (see figure 6.15). The model can be viewed as a transformer in series with the impedance of the Middle Ear and the input impedance of the Cochlea. The Middle Ear impedance is given by the mass, stiffness and damping of the combined Ossicles. The Cochlear terminating impedance is derived from [i.36]. The transformer gain models three physiological factors:

- i) the length difference between the Malleus and the Incus;
- ii) the difference in area between the Tympanic membrane and the Stapes footplate; and
- iii) the buckling factor due to the conical shape of the Tympanic membrane. The final transfer function is given by the following:

$$U_{s}[n] = h[n] * P_{tm}[n], (23)$$

where  $U_s[n]$  is the Volume Velocity of the Stapes. The filter h[n], is given by:

$$h[n] = \frac{\sum_{i=0}^{4} b_i[n-i]}{1 + \sum_{i=1}^{4} a_i[n-i]},$$
(24)

where the filter coefficients for a sampling rate of 288 kHz are given by:

$$b_i = \{0.2056, -0.4957, 0.2742, 0.1161, -0.1003\} \times 10^{-6}$$
 and  $a_i = \{-3.8758, 5.6312, -3.6350, 0.8795\}.$ 

NOTE: The model is implemented completely in the time domain. Due to discretization methods used in the model, as well as noise considerations inherent in nonlinear feedback systems, stability of the model is guaranteed only when it is run at a sampling rate considerably above the Nyquist sampling rate [i.39]. To adhere to this requirement, 48 kHz sampled acoustic stimuli used is required to be up-sampled by a factor of six (to 288 kHz) before being processed by the cochlear model. Input to the cochlear model is on a sample by sample basis. Thus, for every sample into the model there is effectively a frame of 512 points of spatial data at the output. Every five out of six frames are discarded, which has the effect of temporal down-sampling back to 48 kHz. A drawback of the use of the cochlear model is that it is highly redundant - due to the fact that the output is a 512 times oversampled relative to the input stimuli. This necessitates dimensionality reduction and to achieve this, distinct features are extracted from the model response. In particular, features which correspond to the perception of the temporal and frequency localized distortions are isolated.

#### 6.4.5.4 Hydro-mechanical cochlear model

The cochlear model (CM) is a spatially two-dimensional hydro-mechanical model, which computes various electrical and mechanical responses in the cochlea. In particular, the model can be used to calculate Basilar Membrane (BM) and Inner Hair Cell (IHC) response as a function of time and space. Detailed aspects of the cochlear model are available in the literature [i.37], [i.38] and [i.39]. Various benchmarks comparing the model output to physiological and psychophysical data have been carried out to verify the performance of the model [i.37].

The macro-mechanical model is concerned with the dynamics of the fluid filled scalae and the Organ of Corti along the length of the cochlea. Of particular relevance is the travelling wave type mechanical response of the basilar membrane (BM). A Green's function [i.39] is used to numerically solve (in the time domain) the differential equations that result from assumptions of continuity (or conservation of fluid mass), inviscid and incompressible cochlear fluid loaded by the mass/stiffness and damping of the fluid and structures along the length of the cochlea. Spatial sampling is achieved by linearly discretizing the cochlea at 512 points along the 3,5 cm length of the cochlea.

The micromechanical model described in [i.38] is concerned with the cilia (submerged between the tectorial membrane and the BM) and the associated Inner (IHC) and Outer (OHC) Hair Cells. The movement of the cilia is modelled as the direct result of the shear force created within the subtectorial space as a result of the relative movement of the BM to the Tectorial membrane (TM). The TM is modelled as a transmission line, terminated by the cilia. The phenomenological result of the micromechanical model is a cilia response that reflects an attenuated BM response basal to the Characteristic Place (CP). The mechanical cilia displacements are rectified and low-passed to derive the OHC and IHC receptor potentials.

#### 6.4.5.5 Hair Cell transduction model

As mentioned above, the OHC and IHC outputs are derived from the rectified and low-pass filtered cilia displacement. This is shown in figure 6.17 and figure 6.18. The IHC and OHC models are thus alike except for a high-pass filter that precedes the IHC model to account for the fact that the IHC cilia are not attached to the TM, but are driven by viscous fluid drag. The IHC response from the model are reflective of receptor potentials, however no attempt is made to normalize them to units of Volts. The IHC responses are used as the output of the cochlear model and referenced as the cochlear model response.

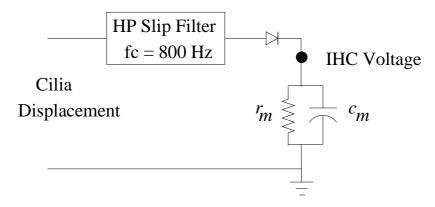


Figure 6.17: Inner Hair Cell (IHC) model

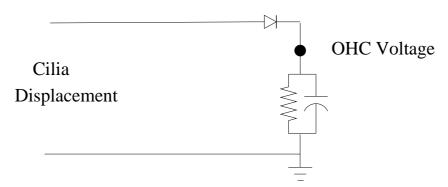


Figure 6.18: Outer Hair Cell (OHC) model

#### 6.4.5.6 Outer Hair motility model

The cochlear non-linearity imposed by OHC motility is modelled as mechanical feedback from the OHC, which modifies the macro-mechanical impedance. This is shown in figure 6.19 as "Slow Acting Active Feedback".

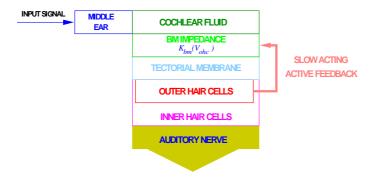


Figure 6.19: OHC motility

#### 6.4.6 Feature Extraction

#### 6.4.6.1 Introduction

Perceptual features are extracted from the CM output before mapped to objective prediction scores. There are two main features that are used. The Salient Formant Points (SFP) are used for time-related distortion measurement. The Cochlear Output Statistical Metric (COSM) are used for frequency related distortion (S-MOS) and noisy distortion (N-MOS). Further details are explained in clauses 6.4.6.2 and 6.4.6.3.

#### 6.4.6.2 Salient Formant Points (SFP) feature extraction

The aim of the SFP feature set is to isolate and predict the temporally localized distortions such as single 'clicks' and 'pops', but also more temporally dense distortions which produce the perception of 'harshness'. Generally speaking, and in line with Principal Component Analysis (PCA), the distortions can be classified into a 'slow' and 'fast' mode. The methodology for their extraction is shown in figure 6.20.

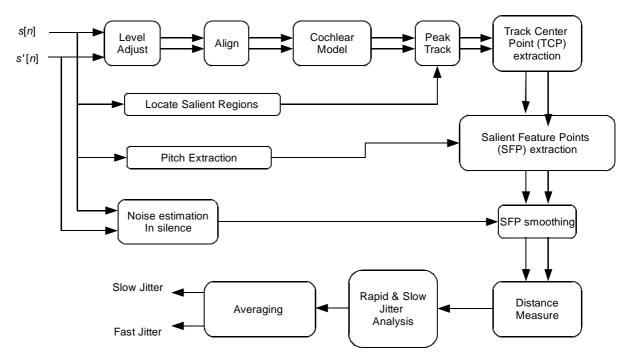


Figure 6.20: Model steps to extract the two types of temporally localized distortions (slow and fast jitter)

A detailed description of the SFP Feature Extraction methodology can be found in [i.33]. A brief description follows.

- i) The original and degraded speech are level adjusted to -26 dBov and time aligned as described in clause 6.4.4.
- ii) The signals are subsequently classified in voiced/unvoiced and background sections before being passed through the Cochlear Model (CM) which produces a three dimensional output (versus time, place (frequency) and IHC).
- iii) A peak-tracking algorithm is used to determine the peaks over time and place. The peak tracks along with the CM output are shown in figure 6.21.
- iv) A temporal 'center of mass' computation over pitch periods on the tracks from the previous step produces "Track Center Points" (TCP), following the equation:

$$TCP(t) = \frac{\sum_{i=1}^{N} t_i * w_i}{\sum w_i}$$
 (25)

- v) The TCPs are further subjected to a spatial 'center of mass' computation over pitch periods to extract the so-called Salient Feature Points (SFPs), here the time aspect in above equation is replaced by a frequency aspect.
- vi) The SNR is computed based on the noise level in the background sections of the degraded speech signal.
- vii) The SFPs are pitch independent and display robust alignment properties [i.33] (between original and degraded speech signals). The SFPs are further smoothed as a function of SNR. If the SNR is high, no smoothing is carried out. If the SNR is low (such as when high background noise is present) the SFPs are smoothed to emulate functionality of higher auditory pathways, which provides the robust tracking of salient auditory information under harsh conditions.
- viii) Distance between the original and degraded SFPs are calculated, to analyse a 'slow' and a 'fast' time-varying distortion.

$$Distance = 20 * log 10 \left[ \frac{degraded}{original} \right]$$
 (26)

ix) The Distance results from all voiced sections are averaged and used as the predicted outputs.

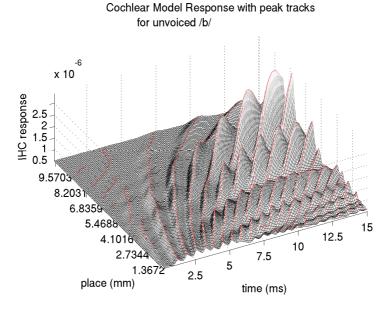


Figure 6.21: Cochlear Model output and peak tracks (red lines)

#### 6.4.6.3 COSM (Cochlear Output Statistic Metric) feature extraction

The COSM feature set is extracted from:

- 1) voiced sections, which are salient for determining foreground distortions (S-MOS); and
- 2) background sections (where only background noise exists) for determining background distortions (N-MOS).

The COSM feature extraction operates on the output of the physiological Cochlear Model IHC[p, t] as a function of place, p, and time, t, and is comprised of the following steps (see also figure 6.22):

- i) Along place, analysing sections of *IHC* [*p*, *t*] by dividing it into 4 sub-regions determined by the following positions along the human cochlear length: 3,50 cm, 3,08 cm, 1,89 cm, 1,03 cm, 0 cm. These positions correspond to the 20 Hz, 150 Hz, 1,4 kHz, 4,9 kHz and 24 kHz cut-off frequencies for stimuli at threshold level.
- ii) Along time, analysing sections of IHC[p, t] by dividing it into smaller time frames j (with up to 20 ms length for foreground voiced sections, and up to 100ms length for background sections).
- iii) Extracting time-based vectors for each section as follows:
  - a) For each sub-region k (corresponding to K1, K2, K3, K4 in figure 6.22), time based vectors of the CM output are calculated for each time frame j, by averaging IHC[p, t] over place, p, as follows:

$$\overline{CM_{j,k}[t]} = \frac{1}{K_{j,k,H} - K_{j,k,L}} \sum_{p=K_{j,k,L}}^{K_{j,k,H}} IHC[p,t], \text{ where } k = 1,2,3,4$$
 (27)

where  $K_{j,k,L}$  and  $K_{j,k,H}$  represent the lower and higher spatial indices for the kth sub-region respectively.

b) Convert the amplitude of the time-based vectors to a logarithmic scale:

$$AS_{ref(j,k)}[t] = log_{10}\left(\overline{CM_{j,k}^{ref}[t]}\right)$$
 (27a)

$$AS_{mod(j,k)}[t] = log_{10}(\overline{CM_{l,k}^{mod}[t]})$$
(27b)

c) Compare the logarithm of the time-based vectors for the modified signal to the logarithm of the time-based vectors for the reference signal to determine the Analysis Serial difference time-based vectors  $AS_{diff(j,k)}[t]$ .

$$AS_{diff(j,k)}[t] = \begin{cases} AS_{ref(j,k)}[t] - AS_{mod(j,k)}[t], & \text{if } |AS_{diff(j,k)}[t]| > 10^{-32}, & \text{where } k = 1,2,3,4 \\ & 10^{-32}, & \text{if } |AS_{diff(j,k)}[t]| < 10^{-32} \end{cases}$$
(28)

- iv) Extracting place-based vectors for each section as follows:
  - a) For each sub-region k (corresponding to K1, K2, K3, K4 in figure 6.22), place based vectors of the CM output are calculated for each time frame j, by averaging IHC[p, t] over time, t, as follows:

$$\overline{CM_{j,k}[p]} = \frac{1}{T_{j,k,1} - T_{j,k,N}} \sum_{t=T_{j,k,1}}^{T_{j,k,N}} IHC[p,t], \text{ where } k = 1,2,3,4,$$
(28a)

where  $T_{j,k,1}$  and  $T_{j,k,N}$  represent the lower and higher temporal indices for the k<sup>th</sup> sub-region respectively.

b) Convert the amplitude of the place-based vectors to a logarithmic scale as shown in figure 6.22.

$$AS_{ref(j,k)}[p] = log_{10}\left(\overline{CM_{j,k}^{ref}[p]}\right)$$
(28b)

$$AS_{mod(j,k)}[p] = log_{10}\left(\overline{CM_{j,k}^{mod}[p]}\right)$$
 (28c)

c) Compare the logarithm of the place-based vectors for the modified signal to the logarithm of the place-based vectors for the reference signal to determine the Analysis Serial place-based vectors  $AS_{diff(j,k)}[p]$ . Determine a positive part of the Analysis Serial place-based vectors,  $AS_{diff+(j,k)}[p]$ , and a negative part of the Analysis Serial place-based vectors,  $AS_{diff-(j,k)}[p]$ .

$$AS_{diff(j,k)}[p] = \begin{cases} AS_{ref(j,k)}[p] - AS_{mod(j,k)}[p], & \text{if } |AS_{diff(j,k)}[p]| > 10^{-32}, & \text{where } k = 1,2,3,4 \\ & 10^{-32}, & \text{if } |AS_{diff(j,k)}[p]| < 10^{-32} \end{cases}$$
(28d)

$$AS_{diff+(j,k)}[p] = \max(0, AS_{diff(j,k)}[p]), where k = 1,2,3,4$$
 (28e)

$$AS_{diff-(i,k)}[p] = \min(0, AS_{diff(i,k)}[p]), where k = 1,2,3,4$$
 (28f)

v) The (1) average, (2) median, (3) standard deviation, (4) Harmonic mean, (5) Mean Absolute Delta, and (6) Geometric shift, are then calculated for each of the following Analysis Serial vectors:

voiced sections:

$$AS_{diff(j,k)}[p]$$
 for  $k=1,2,3,4$ ;

$$AS_{diff+(j,k)}[p]$$
 for  $k=1,2,3,4$ ;

$$AS_{diff-(j,k)}[p]$$
 for  $k=1,2,3,4$ ;

$$AS_{ref(j,k)}[t]$$
 for  $k=2$ ;

$$AS_{ref(j,k)}[p]$$
 for  $k=2$ ;

$$AS_{diff(j,k)}[t]$$
 for  $k=2$ ;

background sections:

$$AS_{mod(j,k)}[p]$$
 for  $k=1,2,3$ ;

$$AS_{mod(j,k)}[t]$$
 for  $k=1,2,3,4$ ;

The six statistical metrics are defined below:

Average:

$$\overline{AS_{p_{j,k}}} = \frac{\sum_{p=K_{j,k,L}}^{K_{j,k,H}} AS_{j,k}[p]}{K_{j,k,H} - K_{j,k,L} + 1}$$
(28g)

$$\overline{AS_{t_{J,k}}} = \frac{\sum_{t=T_{j,2,1}}^{T_{j,2,N}} AS_{j,2}[t]}{T_{j,2,N} - T_{j,2,1} + 1}$$
(28h)

Median:

$$median (AS_{i,k}[p])$$
 (28i)

$$median (AS_{i,2}[t])$$
 (28j)

Standard deviation:

$$\sigma_{AS_{p_{j,k}}} = \sqrt{\frac{\sum_{p=K_{j,k,L}}^{K_{j,k,H}} (AS_{j,k}[p] - \overline{AS_{p_{j,k}}})}{K_{j,k,H} - K_{j,k,L}}}}$$
(28k)

$$\sigma_{AS_{t_{j,2}}} = \sqrt{\frac{\sum_{t=T_{j,2,1}}^{T_{j,2,N}} (AS_{j,2}[t] - \overline{AS_{t_{j,2}}})}{T_{j,2,N} - T_{j,2,1}}}}$$
(281)

Harmonic mean:

$$H_{AS_{p_{j,k}}} = \frac{1}{\sum_{p=K_{j,k,L}}^{K_{j,k,H}} \left(\frac{1}{AS_{j,k}[p]}\right)}$$
(29)

$$H_{AS_{t_{j,2}}} = \frac{1}{\sum_{t=T_{j,2,1}}^{T_{j,2,N}} (\frac{1}{AS_{j,2}[t]})}$$
 (29a)

Mean Absolute Delta:

$$\Delta_{AS_{p_{j,k}}} = \frac{\sum_{p=K_{j,k,L}}^{(K_{j,k,H})-1} |AS_{j,k}[p+1] - AS_{j,k}[p]|}{K_{j,k,H} - K_{j,k,L}}$$
(30)

$$\Delta_{AS_{t_{j,2}}} = \frac{\sum_{t=T_{j,2,1}}^{(T_{j,2,N})-1} |AS_{j,2}[t+1] - AS_{j,2}[t]|}{T_{j,2,N} - T_{j,2,1}}$$
(30a)

Geometric shift:

$$G_{AS_{p_{j,k}}} = \begin{cases} 0, if \sum AS_{j,k}[p] = 0\\ \frac{\sum_{p=K_{j,k,L}}^{K_{j,k,H}} AS_{j,k}[p] * p}{\sum_{p=K_{j,k,L}}^{K_{j,k,H}} p} - \frac{\sum_{p=K_{j,k,L}}^{K_{j,k,H}} p}{K_{j,k,H} - K_{j,k,L} + 1} \end{cases}$$
(31)

$$G_{AS_{t_{j,2}}} = \begin{cases} 0, & \text{if } \sum AS_{j,2}[t] = 0\\ \frac{\sum_{t=T_{j,2,1}}^{T_{j,2,N}} AS_{j,2}[t] * t}{\sum_{t=T_{j,2,1}}^{T_{j,2,N}} t} - \frac{\sum_{t=T_{j,2,1}}^{T_{j,2,N}} t}{T_{j,2,N} - T_{j,2,1} + 1} \end{cases}$$
(31a)

vi) Each of the resulting statistical metrics is further averaged over the frame index *j*, resulting in 90 statistical metrics for the voiced sections and 42 statistical metrics for the background sections:

**Table 6.7: COSM Feature set** 

|                           | COSM FEATURE SET           |  |   |   |   |   |   |
|---------------------------|----------------------------|--|---|---|---|---|---|
|                           | Voiced Sections            |  |   | Background sections   |   |   |   |
|                           | Diff signal<br>(time)      | Reference<br>signal<br>(place and<br>time)   | Diff signal<br>(place)  | Diff signal<br>(place)<br>Positive Part   | Diff signal<br>(place)<br>Negative<br>Part  | Modified signal (time)  | Modified<br>signal<br>(place)   |
| Average                   | $\overline{AS_{diff t_2}}$ | $\frac{\overline{AS_{ref  p_2}}}{AS_{ref  t_2}}$   | $\frac{\overline{AS_{diff p}}_{1}}{\overline{AS_{diff p}}_{2}}$ $\frac{\overline{AS_{diff p}}_{2}}{\overline{AS_{diff p}}_{3}}$   | $\frac{AS_{diff+p_1}}{AS_{diff+p_2}}$ $\frac{AS_{diff+p_3}}{AS_{diff+p_3}}$   | $\frac{\overline{AS_{diff-p_1}}}{\overline{AS_{diff-p_2}}}$ $\frac{\overline{AS_{diff-p_2}}}{\overline{AS_{diff-p_3}}}$   | $\frac{\overline{AS_{mod t_1}}}{\overline{AS_{mod t_2}}}$ $\frac{\overline{AS_{mod t_2}}}{\overline{AS_{mod t_3}}}$   | $\frac{\overline{AS_{mod p_1}}}{\overline{AS_{mod p_2}}}$ $\overline{AS_{mod p_3}}$   |
| Median                    | median $(AS_{diff(t_2)})$  | $egin{array}{l} 	ext{median} \ (AS_{ref(p_2)}) \ 	ext{median} \ (AS_{ref(t_2)}) \end{array}$ | $\begin{array}{c} \text{median} \\ (AS_{diff\ p_1}) \\ \text{median} \\ (AS_{diff\ p_2}) \\ \text{median} \\ (AS_{diff\ p_3}) \\ \text{median} \\ (AS_{diff\ p_4}) \end{array}$ | $\begin{array}{c} \text{median} \\ (AS_{diff+\;p_1}) \\ \text{median} \\ (AS_{diff+\;p_2}) \\ \text{median} \\ (AS_{diff+\;p_3}) \\ \text{median} \\ (AS_{diff+\;p_4}) \end{array}$ | $\begin{array}{c} \text{median} \\ (AS_{diff-p_1}) \\ \text{median} \\ (AS_{diff-p_2}) \\ \text{median} \\ (AS_{diff-p_3}) \\ \text{median} \\ (AS_{diff-p_4}) \end{array}$ | $\begin{array}{c} \text{median} \\ (AS_{mod\ t_1}) \\ \text{median} \\ (AS_{mod\ t_2}) \\ \text{median} \\ (AS_{mod\ t_3}) \\ \text{median} \\ (AS_{mod\ t_4}) \end{array}$ | $\begin{array}{c} \text{median} \\ (AS_{mod \; p_1}) \\ \text{median} \\ (AS_{mod \; p_2}) \\ \text{median} \\ (AS_{mod \; p_3}) \end{array}$ |
| Standard<br>Deviation     | $\sigma_{AS_{diff}t_2}$    | $\sigma_{AS_{ref}p_2} \ \sigma_{AS_{ref}t_2}$  | $\sigma_{AS_{diff}p_1}$ $\sigma_{AS_{diff}p_2}$ $\sigma_{AS_{diff}p_3}$ $\sigma_{AS_{diff}p_4}$   | $\sigma_{AS_{diff}+p_{1}}$ $\sigma_{AS_{diff}+p_{2}}$ $\sigma_{AS_{diff}+p_{3}}$ $\sigma_{AS_{diff}+p_{4}}$   | $\sigma_{AS  diff- p_1}$ $\sigma_{AS  diff- p_2}$ $\sigma_{AS  diff- p_3}$ $\sigma_{AS  diff- p_4}$   | $\sigma_{AS_{mod \ t_1}}$ $\sigma_{AS_{mod \ t_2}}$ $\sigma_{AS_{mod \ t_3}}$ $\sigma_{AS_{mod \ t_4}}$   | $\sigma_{AS_{mod}p_1}$ $\sigma_{AS_{mod}p_2}$ $\sigma_{AS_{mod}p_3}$  |
| Harmonic<br>mean          | H <sub>AS diff t2</sub>    | $H_{AS_{ref}p_2}$ $H_{AS_{ref}t_2}$  | $H_{AS}_{diff} p_1$ $H_{AS}_{diff} p_2$ $H_{AS}_{diff} p_3$ $H_{AS}_{diff} p_4$   | $H_{AS \ diff} + p_1$ $H_{AS \ diff} + p_2$ $H_{AS \ diff} + p_3$ $H_{AS \ diff} + p_4$   | $H_{AS \ diff} - p_1$ $H_{AS \ diff} - p_2$ $H_{AS \ diff} - p_3$ $H_{AS \ diff} - p_4$   | $H_{AS_{mod t_1}} \\ H_{AS_{mod t_2}} \\ H_{AS_{mod t_3}} \\ H_{AS_{mod t_4}}$  | $H_{AS_{mod p_1}}$ $H_{AS_{mod p_2}}$ $H_{AS_{mod p_3}}$  |
| Mean<br>Absolute<br>Delta | $\Delta_{AS_{diff}t_2}$    | $\Delta_{AS_{ref p_2}} $ $\Delta_{AS_{ref t_2}}$   | $\Delta_{AS_{diff} p_1}$ $\Delta_{AS_{diff} p_2}$ $\Delta_{AS_{diff} p_3}$ $\Delta_{AS_{diff} p_4}$   | $\begin{array}{c} \Delta_{ASdiff+p_{1}} \\ \Delta_{ASdiff+p_{2}} \\ \Delta_{ASdiff+p_{3}} \\ \Delta_{ASdiff+p_{4}} \end{array}$   | $\Delta_{AS_{diff}-p_1}$ $\Delta_{AS_{diff}-p_2}$ $\Delta_{AS_{diff}-p_3}$ $\Delta_{AS_{diff}-p_4}$   | $\Delta_{AS_{mod \ t_1}}$ $\Delta_{AS_{mod \ t_2}}$ $\Delta_{AS_{mod \ t_3}}$ $\Delta_{AS_{mod \ t_4}}$   | $\begin{array}{c} \Delta_{AS_{mod  p_1}} \\ \Delta_{AS_{mod  p_2}} \\ \Delta_{AS_{mod  p_3}} \end{array}$                                     |
| Geometric<br>Shift        | $G_{AS_{diff}t_2}$         | $G_{AS_{ref  p_2}}$ $G_{AS_{ref  t_2}}$  | $G_{AS_{diff}p_1}$ $G_{AS_{diff}p_2}$ $G_{AS_{diff}p_3}$ $G_{AS_{diff}p_4}$   | $G_{ASdiff+p_1}$ $G_{ASdiff+p_2}$ $G_{ASdiff+p_3}$ $G_{ASdiff+p_4}$   | $G_{AS_{diff-p_1}}$ $G_{AS_{diff-p_2}}$ $G_{AS_{diff-p_3}}$ $G_{AS_{diff-p_4}}$   | $G_{AS_{mod\ t_1}}$ $G_{AS_{mod\ t_2}}$ $G_{AS_{mod\ t_3}}$ $G_{AS_{mod\ t_4}}$   | $G_{AS_{mod p_1}}$ $G_{AS_{mod p_2}}$ $G_{AS_{mod p_3}}$  |

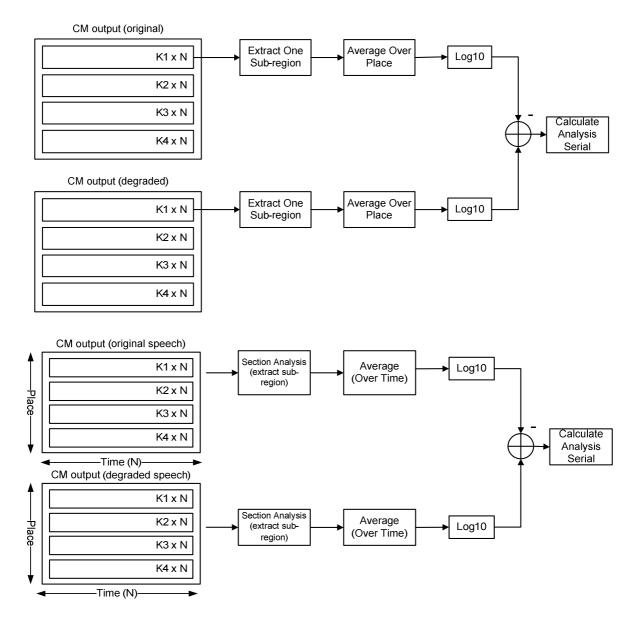


Figure 6.22: Flowchart prepared for Analysis Serial calculation

## 6.4.7 Training and mapping

The SFP and COSM features sets are converted into the mean opinion objective quality scores through a mapping procedure. An a-priori training process based on deep neural network (DNN) is used to determine suitable weighting factors for each of the features. The a-priori training is based on a large set of listening test databases. The weighting factors are applied with a deep neural learning network procedure for S-MOS, N-MOS and G-MOS to determine the multidimensional predicted quality scores.

#### Flowchart, training and prediction Training Extracted Deep Neural Weights for speech sample Features Network Network Corresponding Subjective Scores Training Database Prediction of Testing Extracted Deep Neural Subjective speech sample Features **Testing Database**

Figure 6.23: Flowchart for training of the model

The neural networks use a back-propagation algorithm which has 2 hidden layers (with fully connected nodes); each layer has an activation layer. Gaussian noise (with a standard deviation of 0,4) is added to the inputs to assist with regularization and reduce overfitting. For the training process, 400 epochs were required to reach stability. The networks for S-MOS, N-MOS and G-MOS are trained independently in separate DNN processes, with each producing its own set of coefficients.

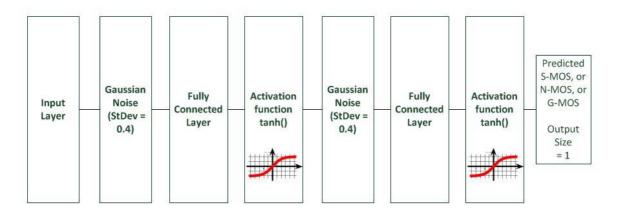


Figure 6.24: DNN sequencing; separate processes for each of S-MOS, N-MOS and G-MOS as described

## 6.5 Mapping of model outputs

As shown in annex A, the prediction models were trained with a large number of databases including a wide range of qualities. Even though reference conditions according to [i.17] were used in all databases, each subjective experiment has its own context, i.e. a certain average, minimum or maximum quality. This effect could e.g. lead to different scores for an identical sample/condition, which was placed into two different listening tests due to the context of the whole database.

The compensation of this influence can be conducted in two steps:

- First, one or more auditory databases including a "desired context" (e.g. representing a specific group of terminals) shall be available. Then, a 3<sup>rd</sup> order mapping function between the instrumental and auditory results of this data is determined. This mathematical transformation can be applied either to one or more attributes (SIG, BAK and/or OVRL).
- After this step, the instrumental prediction results for each new signal-under-test are transformed according to this determined mapping function. Any possible check against requirements is conducted after this mapping.

This type of correction depends on a concrete context (e.g. derived from one or more auditory experiments). Thus any specific transformation procedure is out of scope of the present document. An example for a suitable transformation is given in clause 5.5 of ETSI TR 126 931 [i.44], based on the validation databases DES-25 and DES-26 (see clause 8).

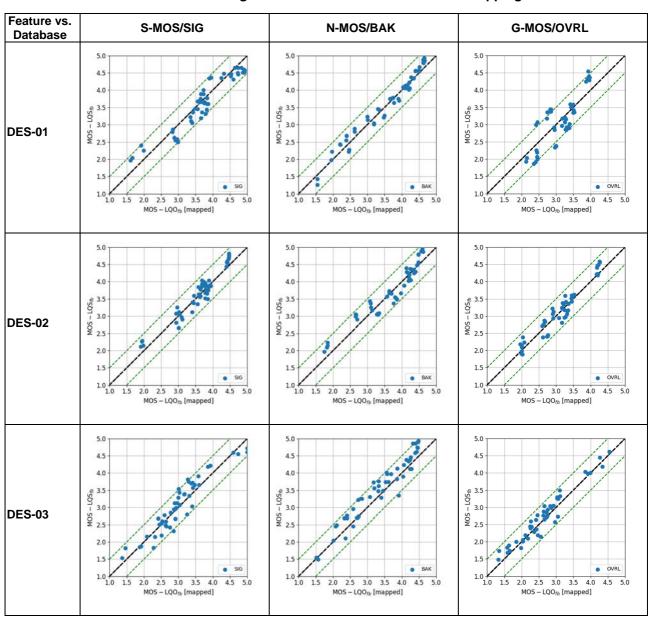
# 7 Comparison of objective and subjective results after the training process

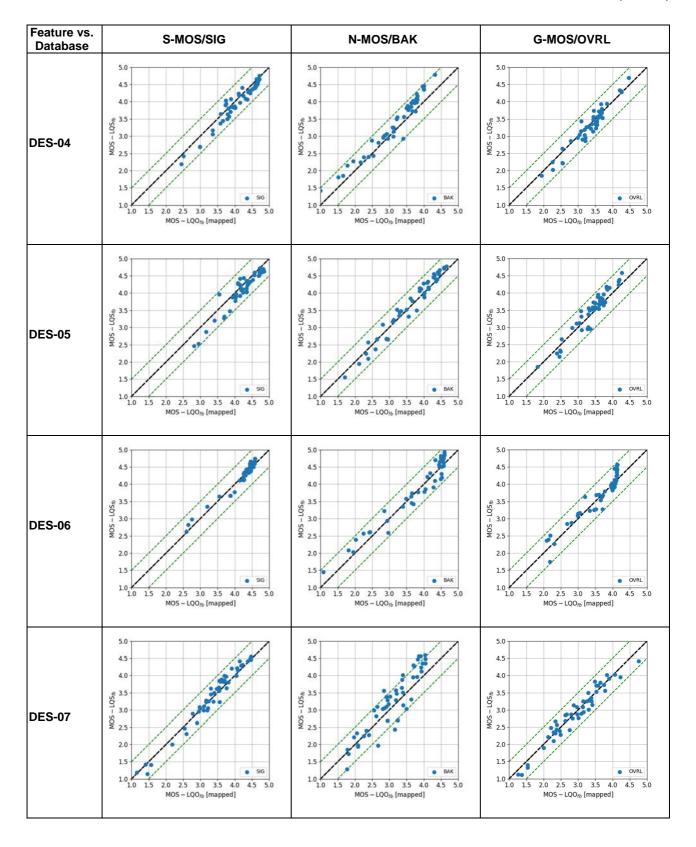
## 7.1 Introduction

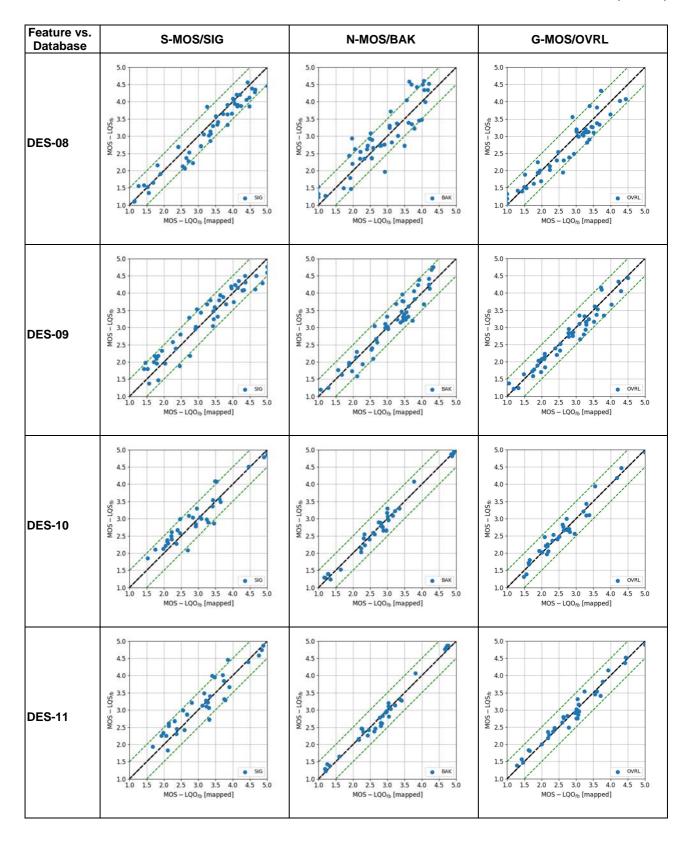
The prediction model is based on a large set of training listening test databases. Each database represent a certain aspect of upcoming SWB and FB telephony, including also a wide range of speech and noise quality. A summary of the databases and the conditions used for retraining is given in annex B.

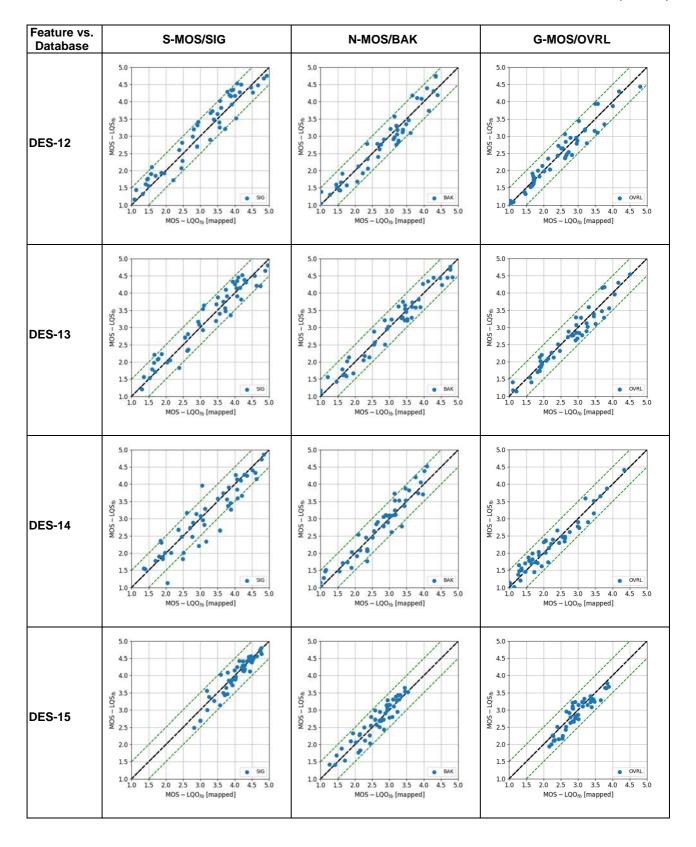
#### 7.2 Results for Model A

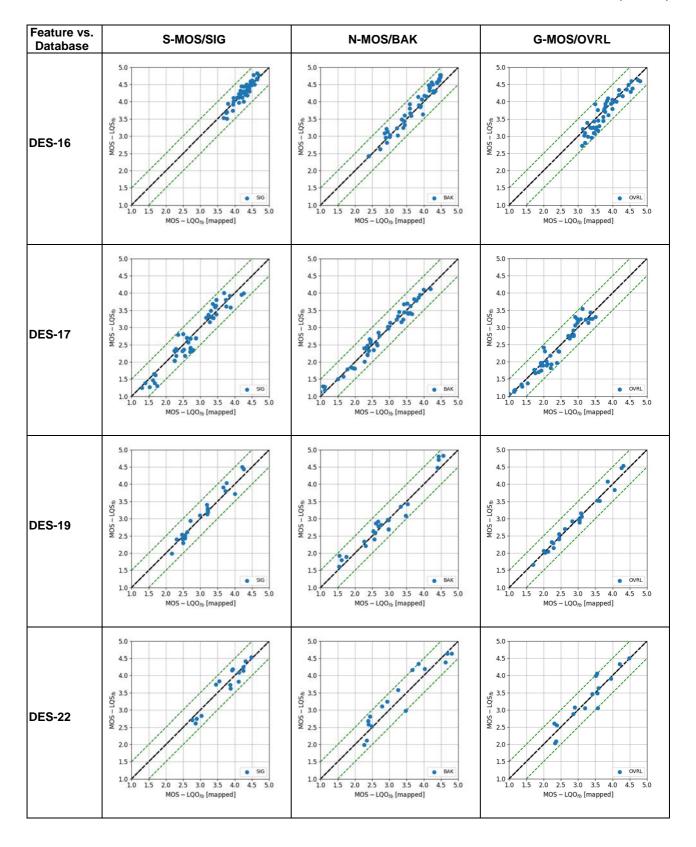
Table 7.1: Training results for model A after 3<sup>rd</sup> order mapping

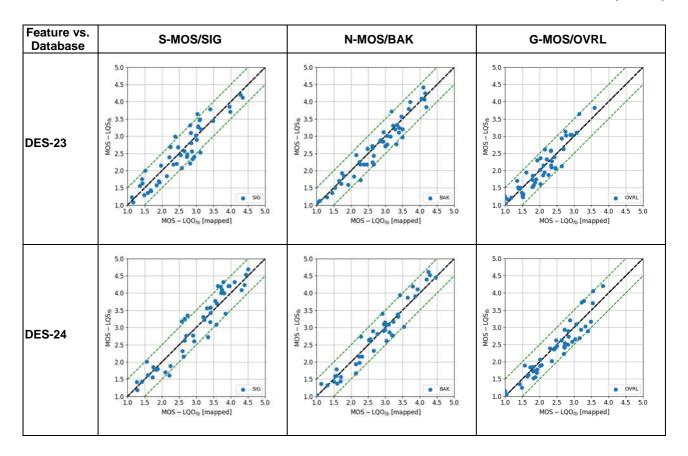






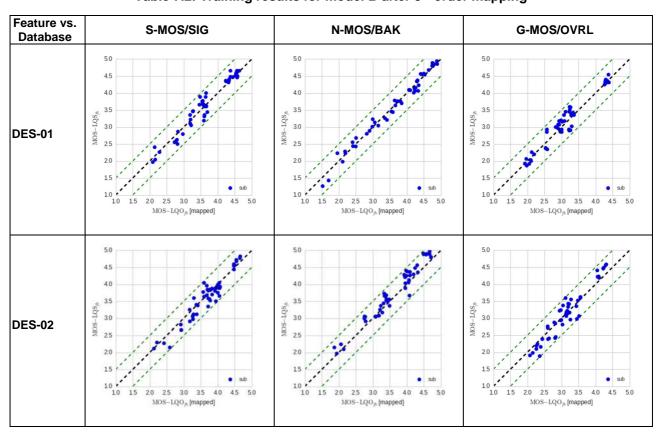


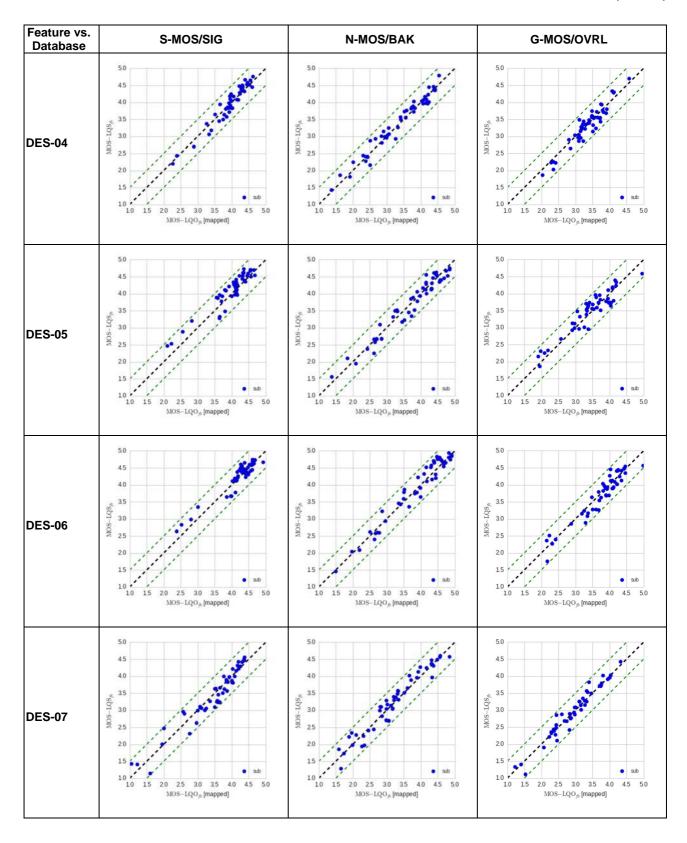


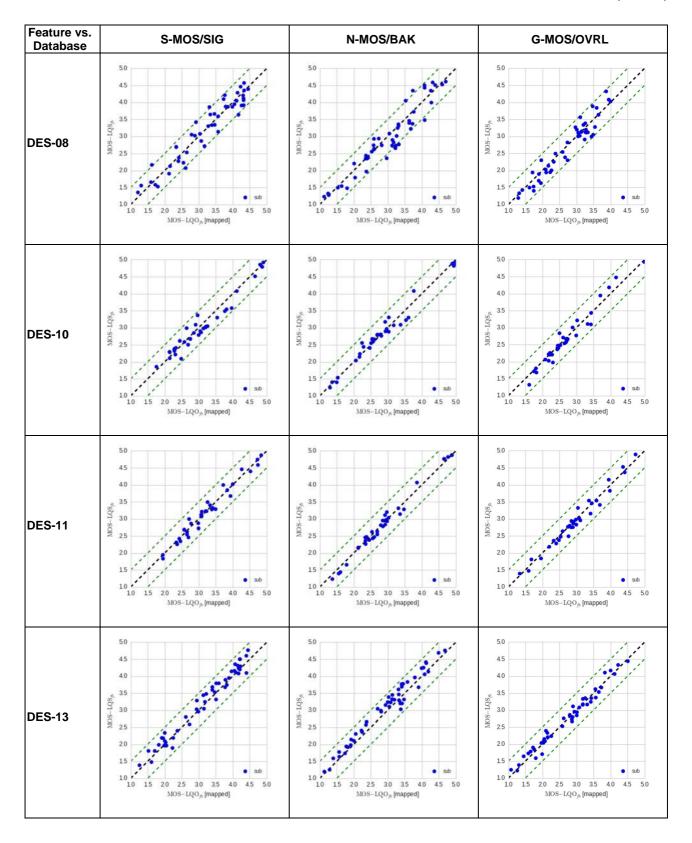


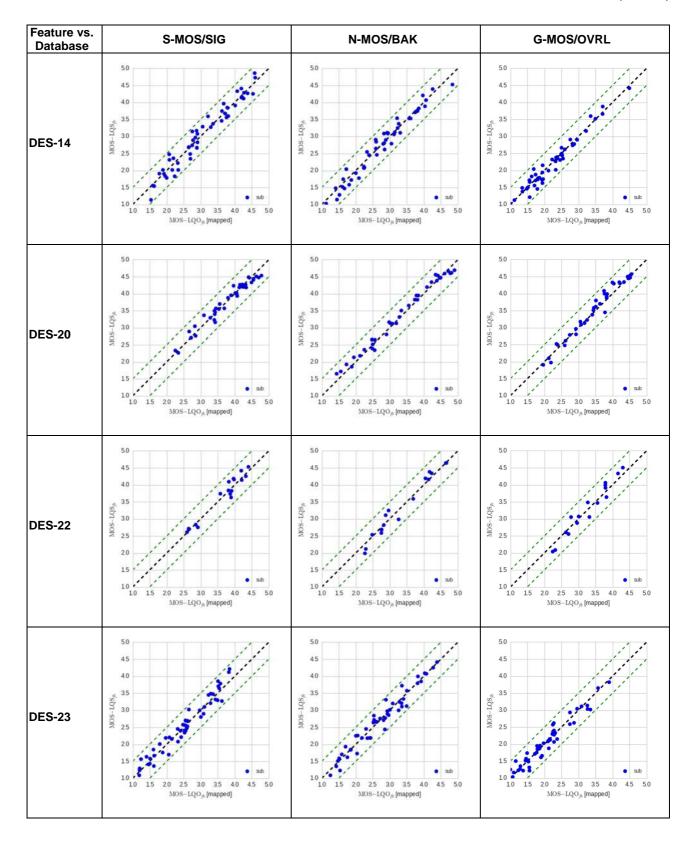
## 7.3 Results for Cochlear Prediction Model (Model B)

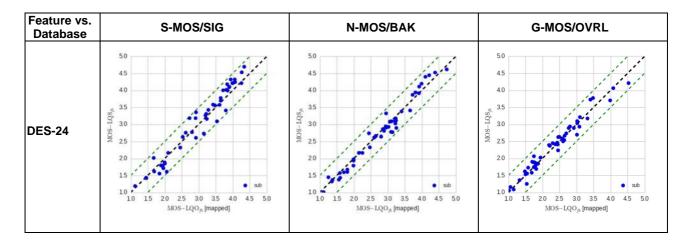
Table 7.2: Training results for model B after 3<sup>rd</sup> order mapping











## 8 Validation results

#### 8.1 Introduction

For the validation of the models different databases were provided. The databases included different types of conditions of different terminals and simulations. The details of the validation databases are described separately for each validation database. As validation database 1 was also one of the training databases for model A, it is not used for validation of model A. Similarly, validation database 2 was also one of the training databases for model B, so it is not used for validation of model B. Validation databases 3, 4 and 5 are used for validation of both models.

As a performance metric for the prediction accuracy, rmse\* according to [i.8] is calculated after 3<sup>rd</sup> order mapping. This measure takes the uncertainty of the auditory data into account. Instrumental scores predicted inside the 95 % confidence interval of the corresponding auditory value are assumed as error 0. In a similar way, the maximum absolute error\* (maxabs\*) is obtained. By compensating the magnitude of the confidence interval of the maximum error after 3<sup>rd</sup> order mapping, a measure for the worst-case outlier is provided.

## 8.2 Validation database 1 (DES-17)

## 8.2.1 Database description

Validation database 1 was created using an acoustic mockup with multiple microphones mounted in locations typical of contemporary handsets. The acoustic set-up was consistent with the handset and hand-held handsfree conditions described in ETSI TS 126 132 [i.14], with the acoustic mockup mounted on, and in front of, HATS, respectively.

The speech source material was full-band German, consisting of four samples from each of four male and four female talkers, for a total of 32 sentences, with six samples used per condition to collect 96 votes per condition.

In handset mode, the speech was presented from a properly equalized HATS mouth at a level of -1.7 dB Pa at MRP, while in HHHF mode, the level was +1.3 dB Pa at MRP.

The background noise was reproduced using a system according to ETSI TS 103 224 [i.19], using the noise types listed in table 8.1. Levels for these noise types can be found in ETSI TS 103 224 [i.19].

Table 8.1: Background noises used for Validation database 1

| Description            | Filename according to ETSI TS 103 224 [i.19] |                           |  |  |  |  |
|------------------------|--|---------------------------|--|--|--|--|
|                        | Handset                                      | Hands-free                |  |  |  |  |
| Silence                | -  | -                         |  |  |  |  |
| Cafeteria              | Cafeteria_handset                            | Cafeteria_handsfree       |  |  |  |  |
| Crossroads             | Crossroadnoise_handset                       | Crossroadnoise_handsfree  |  |  |  |  |
| Full-size Car 130 km/h | FullSizeCar_130_handset                      | FullSizeCar_130_handsfree |  |  |  |  |
| Pub                    | Pub_handset                                  | Pub_handsfree             |  |  |  |  |
| Road                   | Roadnoise_handset                            | Roadnoise_handsfree       |  |  |  |  |
| Train Station          | TrainStation_handset                         | TrainStation_handsfree    |  |  |  |  |

The noise reduction was applied using typical state-of-the art noise suppression algorithms, with four tunings applied, two for each of handset and HHHF, providing varying trade-offs between reducing noise and preserving a certain amount of speech signal.

After noise reduction processing, the fixed point reference version of the EVS codec [i.4] was applied, operating in super-wideband mode at 32 kHz sample rate and 13,2 kbit/sec.

Full-band reference conditions were used, processed according to DESUDAPS-1 [i.17]. Presentation was binaural at 73 dB SPL, using headphones equalized to diffuse field.

#### 8.2.2 Validation database 1: Results for model B

Results are shown as scatter plots, comparing instrumental predicted ratings to subjective ratings. Results from model B on validation database 1 for each of the three ratings, SIG, BAK, and OVRL are shown in figure 8.1. For each rating (rows), two scatter plots are shown, one before a monotonic mapping is applied (right column) and one after a monotonic mapping is applied (left column).

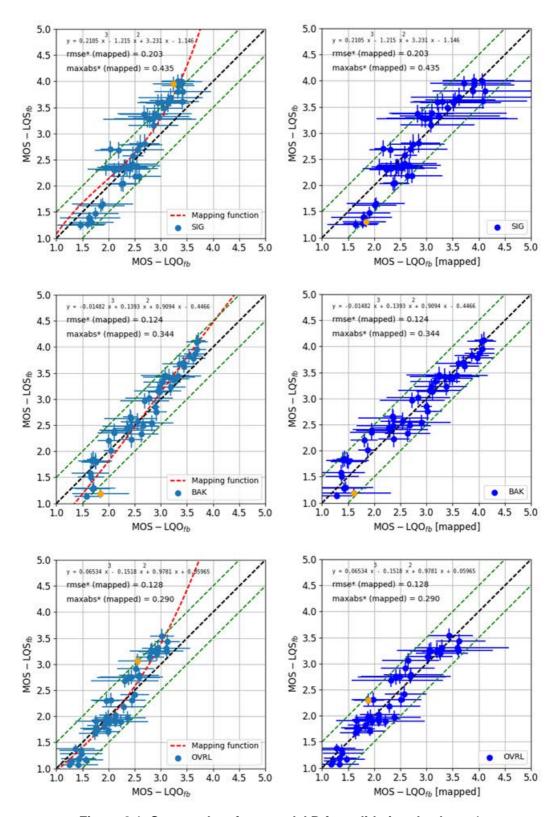


Figure 8.1: Scatter plots from model B for validation database 1

The rmse\* and maximum absolute error\* (maxabs\*) after mapping are shown on all figures, with and orange-colored symbol indicating the condition with the largest overall maximum absolute error. The mapping polynomial is shown in the upper left corner of each panel. The dashed green lines show error of  $\pm 0.5$  MOS. The error bars indicate the 95 % confidence interval before mapping (left column) and after mapping (right column). The relatively large value of the error bars for the instrumental ratings is due to the relatively small number (6) of speech samples per condition.

Additional performance metrics, including Pearson's  $\rho$  correlation coefficient, Spearman's  $\rho$  rank order correlation, and Kendall's  $\tau$  are shown in table 8.2.

Dimension Metric Raw d\* Mapped & d\* Mapped 0,274 SIG 0,396 0,311 0,203 Rmse 0,709 0,538 0,573 Max Abs Error 0,435 Pearson's ρ 0.956 0,943 0.972 0,973 Spearman's rank order p 0,939 0.939 0,961 0,967 0,816 0,816 0,863 0,881 Kendall's T BAK 0,259 0,157 0,124 Rmse Max Abs Error 0,662 0,576 0,344 0,429 0,970 0,970 0,987 0,992 Pearson's p Spearman's rank order p 0,973 0,973 0,988 0,991 Kendall's T 0,868 0,868 0,922 0,949 OVRL 0.177 Rmse 0.279 0.219 0.128 0,516 0,416 0,382 0,290 Max Abs Error 0,964 0,959 0,980 0,985 Pearson's p Spearman's rank order p 0,962 0,962 0,980 0,985 Kendall's T 0,841 0,841 0,890 0,917

Table 8.2: Performance metrics for model B on validation database 1

## 8.3 Validation database 2 (DES-20)

## 8.3.1 Database description

Validation database 2 was created using three commercial and pre-commercial handsets. Each was operated in handset and hand-held handsfree mode, set up as described in ETSI TS 126 132 [i.14].

The speech source was full-band Mandarin phonetically-balanced sentences, with two sentences from each of four male and four female talkers, for a total of sixteen samples, with 128 votes collected per condition.

In handset mode, the speech was presented from a properly equalized HATS mouth at -1,7 dB Pa at MRP, while in HHHF mode, the level was +1.3 dB Pa at MRP.

The background noise was reproduced using a system according to ETSI ES 202 396-1[i.1], using the noise types listed in table 8.3. Levels for these noise types can be found in ETSI ES 202 396-1 [i.1].

Description
Silence
Cafeteria
Callcenter
Work Office Noise Callcenter binaural
Full-size Car 130 km/h
Silence
Cafeteria\_Noise binaural
FullSizeCar\_130kmh binaural

Outside Traffic Road binaural

Train Station binaural

Table 8.3: Background noises used for validation database 2

The handsets were placed in calls using a base station simulator, with EVS super wideband speech encoding [i.4] at 13,2 kbit/sec.

Full-band reference conditions were used, processed according to DESUDAPS-1 [i.17]. Presentation was binaural at 73 dB SPL, using headphones equalized to diffuse field.

#### 8.3.2 Validation database 2: Results for model A

Road

Train Station

Results are shown as scatter plots, comparing instrumental predicted ratings to subjective ratings. Results from model A on validation database 2 for each of the three ratings, SIG, BAK and OVRL, are shown in figure 8.2. As in figure 8.1, for each rating (rows), two scatter plots are shown, one before a monotonic mapping is applied (right column) and one after a monotonic mapping is applied (left column).

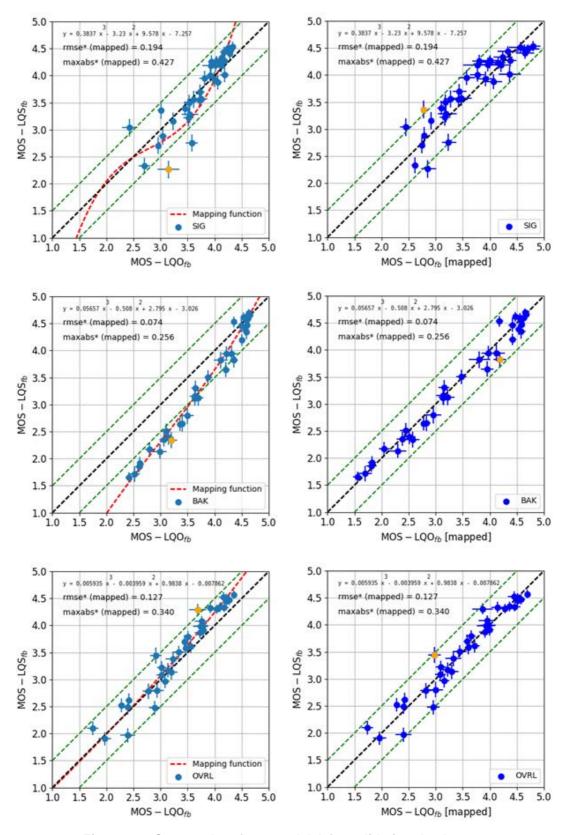


Figure 8.2: Scatter plots from model A for validation database 2

The rmse\* and maximum absolute error\* (maxabs\*) after mapping are shown on all figures, with an orange-colored symbol indicating the condition with the largest overall maximum absolute error. The mapping polynomial is shown in the upper left corner of each panel. The dashed green lines show error of  $\pm 0.5$  MOS. The error bars indicate the 95 % confidence interval before mapping (left column) and after mapping (right column).

Additional performance metrics, including Pearson's  $\rho$  correlation coefficient, Spearman's  $\rho$  rank order correlation, and Kendall's  $\tau$  are shown in table 8.4.

Table 8.4: Performance metrics for model A on validation database 2

| Dimension | Metric                  | Raw   | Mapped | d*    | Mapped & d* |
|-----------|-------------------------|-------|--------|-------|-------------|
| SIG       | Rmse                    | 0,299 | 0,304  | 0,205 | 0,194       |
|           | Max Abs Error           | 0,883 | 0,590  | 0,716 | 0,427       |
|           | Pearson's ρ             | 0,895 | 0,909  | 0,935 | 0,962       |
|           | Spearman's rank order p | 0,937 | 0,937  | 0,966 | 0,972       |
|           | Kendall's т             | 0,797 | 0,797  | 0,860 | 0,879       |
| BAK       | Rmse                    | 0,522 | 0,157  | 0,412 | 0,074       |
|           | Max Abs Error           | 0,858 | 0,357  | 0,719 | 0,256       |
|           | Pearson's ρ             | 0,988 | 0,990  | 0,993 | 0,998       |
|           | Spearman's rank order ρ | 0,975 | 0,975  | 0,985 | 0,994       |
|           | Kendall's т             | 0,886 | 0,886  | 0,917 | 0,959       |
| OVRL      | Rmse                    | 0,266 | 0,206  | 0,177 | 0,127       |
|           | Max Abs Error           | 0,606 | 0,473  | 0,504 | 0,340       |
|           | Pearson's ρ             | 0,969 | 0,969  | 0,982 | 0,989       |
|           | Spearman's rank order ρ | 0,974 | 0,974  | 0,980 | 0,989       |
|           | Kendall's т             | 0,870 | 0,870  | 0,898 | 0,943       |

## 8.4 Validation database 3 (DES-25)

#### 8.4.1 Database description

Validation database 3 was created using two commercial handsets. Each was operated in handset, headset, and hand-held handsfree mode, set up as described in ETSI TS 126 132 [i.14].

The speech source was full-band American English phonetically-balanced sentences, with two sentences from each of four male and four female talkers, for a total of sixteen samples, with 128 votes collected per condition.

In handset and headset modes, the speech was presented from a properly equalized HATS mouth at -1,7 dB Pa at MRP, while in HHHF mode, the level was +1,3 dB Pa at MRP.

The background noise was reproduced using a system according to ETSI TS 103 224 [i.19], using the noise types listed in table 8.5. Levels for these noise types can be found in ETSI TS 103 224 [i.19].

Table 8.5: Background noises used for validation database 3

| Description            | Filename according to ETSI TS 103 224 [i.19] |                           |  |  |  |  |
|------------------------|--|---------------------------|--|--|--|--|
|                        | Handset & Headset                            | Hands-free                |  |  |  |  |
| Sales counter          | SalesCounter_handset                         | SalesCounter_handsfree    |  |  |  |  |
| Callcenter             | Callcenter2_handset                          | Callcenter2_handsfree     |  |  |  |  |
| Cafeteria              | Cafeteria_handset                            | Cafeteria_handsfree       |  |  |  |  |
| Crossroads             | Crossroadnoise_handset                       | Crossroadnoise_handsfree  |  |  |  |  |
| Full-size Car 130 km/h | FullSizeCar_130_handset                      | FullSizeCar_130_handsfree |  |  |  |  |
| Pub                    | Pub_handset                                  | Pub_handsfree             |  |  |  |  |
| Road                   | Roadnoise_handset                            | Roadnoise_handsfree       |  |  |  |  |
| Train Station          | TrainStation_handset                         | TrainStation_handsfree    |  |  |  |  |

The handsets were placed in calls using a base station simulator, with EVS super wideband speech encoding [i.4] at 24.4 kbit/sec.

Full-band reference conditions were used, processed according to DESUDAPS-1 [i.17]. Presentation was binaural at 73 dB SPL, using headphones equalized to diffuse field.

#### 8.4.2 Validation database 3: Results for model A

Results are shown as scatter plots, comparing instrumental predicted ratings to subjective ratings. Results from model A on validation database 3 for each of the three ratings, SIG, BAK, and OVRL, are shown in figure 8.3. For each rating (rows), two scatter plots are shown, one before a monotonic mapping is applied (right column) and one after a monotonic mapping is applied (left column).

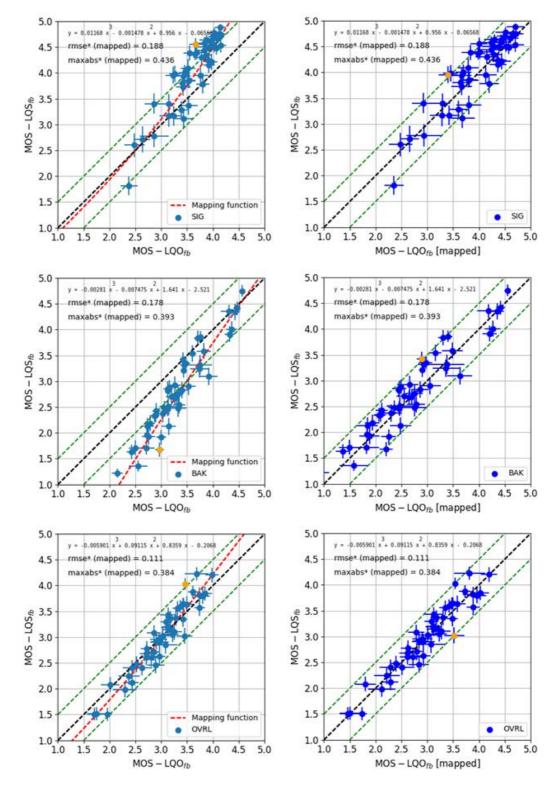


Figure 8.3: Scatter plots from model A for validation database 3

The rmse\* and maximum absolute error\* (maxabs\*) after mapping are shown on all figures, with an orange-colored symbol indicating the condition with the largest overall maximum absolute error. The mapping polynomial is shown in the upper left corner of each panel. The dashed green lines show error of  $\pm 0.5$  MOS. The error bars indicate the 95 % confidence interval before mapping (left column) and after mapping (right column).

Additional performance metrics, including Pearson's  $\rho$  correlation coefficient, Spearman's  $\rho$  rank order correlation, and Kendall's  $\tau$  are shown in table 8.6.

Table 8.6: Performance metrics for model A on validation database 3

| Dimension | Metric                  | Raw   | Mapped | d*    | Mapped & d* |
|-----------|-------------------------|-------|--------|-------|-------------|
| SIG       | Rmse                    | 0,531 | 0,303  | 0,420 | 0,188       |
|           | Max Abs Error           | 0,885 | 0,555  | 0,766 | 0,436       |
|           | Pearson's ρ             | 0,914 | 0,911  | 0,937 | 0,963       |
|           | Spearman's rank order ρ | 0,880 | 0,880  | 0,896 | 0,955       |
|           | Kendall's τ             | 0,703 | 0,703  | 0,730 | 0,830       |
| BAK       | Rmse                    | 0,622 | 0,292  | 0,500 | 0,178       |
|           | Max Abs Error           | 1,292 | 0,534  | 1,153 | 0,393       |
|           | Pearson's ρ             | 0,948 | 0,949  | 0,955 | 0,981       |
|           | Spearman's rank order ρ | 0,945 | 0,945  | 0,949 | 0,975       |
|           | Kendall's τ             | 0,815 | 0,815  | 0,826 | 0,887       |
| OVRL      | Rmse                    | 0,230 | 0,207  | 0,135 | 0,111       |
|           | Max Abs Error           | 0,567 | 0,493  | 0,458 | 0,384       |
|           | Pearson's ρ             | 0,956 | 0,956  | 0,980 | 0,987       |
|           | Spearman's rank order p | 0,943 | 0,943  | 0,979 | 0,983       |
|           | Kendall's τ             | 0,806 | 0,806  | 0,902 | 0,922       |

#### 8.4.3 Validation database 3: Results for model B

Results are shown as scatter plots, comparing instrumental predicted ratings to subjective ratings. Results from model A on validation database 3 for each of the three ratings, SIG, BAK and OVRL, are shown in figure 8.4. For each rating (rows), two scatter plots are shown, one before a monotonic mapping is applied (right column) and one after a monotonic mapping is applied (left column).

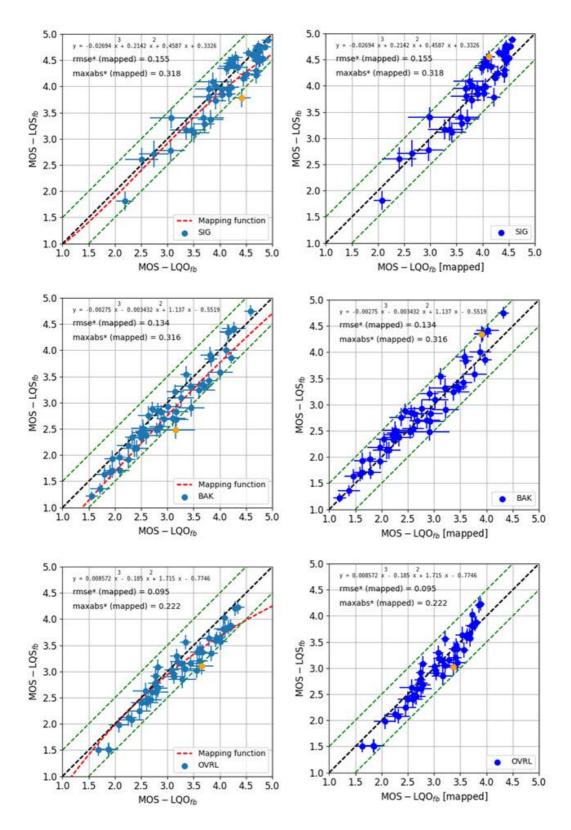


Figure 8.4: Scatter plots from model B for validation database 3

The rmse\* and maximum absolute error\* (maxabs\*) after mapping are shown on all figures, with an orange-colored symbol indicating the condition with the largest overall maximum absolute error. The mapping polynomial is shown in the upper left corner of each panel. The dashed green lines show error of  $\pm 0.5$  MOS. The error bars indicate the 95 % confidence interval before mapping (left column) and after mapping (right column).

Additional performance metrics, including Pearson's  $\rho$  correlation coefficient, Spearman's  $\rho$  rank order correlation, and Kendall's  $\tau$  are shown in table 8.7.

Dimension Metric Mapped d\* Mapped & d\* Raw SIG 0,254 0,265 0,134 0,155 Rmse 0,633 0,452 Max Abs Error 0,434 0,318 Pearson's ρ 0.939 0.940 0,981 0.979 Spearman's rank order p 0,895 0,895 0,964 0,956 Kendall's т 0,737 0,737 0,871 0,842 BAK Rmse 0,274 0,245 0,166 0,134 Max Abs Error 0,668 0,433 0,498 0,316 0,968 0,967 0,986 0,991 Pearson's p Spearman's rank order p 0,967 0,967 0,988 0,990 Kendall's T 0,865 0,865 0,927 0,934 **OVRL** Rmse 0.265 0.195 0.125 0.095 0,393 0,222 Max Abs Error 0,552 0,350 0,967 0,969 0,986 0,990 Pearson's p Spearman's rank order p 0,968 0,968 0,987 0,993 0,922 Kendall's т 0,860 0,860 0,949

Table 8.7: Performance metrics for model B on validation database 3

## 8.5 Validation database 4 (DES-26)

## 8.5.1 Database description

Validation database 4 was created using two commercial handsets, different from those of database 3. Each was operated in handset, headset, and hand-held handsfree mode, set up as described in ETSI TS 126 132 [i.14].

The speech source was full-band American English phonetically-balanced sentences, with two sentences from each of four male and four female talkers, for a total of sixteen samples, with 128 votes collected per condition.

In handset and headset modes, the speech was presented from a properly equalized HATS mouth at -1,7 dB Pa at MRP, while in HHHF mode, the level was +1,3 dB Pa at MRP.

The background noise was reproduced using a system according to ETSI ES 202 396-1 [i.1], using the noise types listed in table 8.8. Levels for these noise types can be found in ETSI ES 202 396-1 [i.1].

| Description            | Filename according to ETSI ES 202 396-1 [i.1] |
|------------------------|---|
| Crossroad              | Outside_Traffic Crossroads_binaural           |
| Cafeteria              | Mensa binaural                                |
| Callcenter             | Work Office Noise Callcenter binaural         |
| Full-size Car 130 km/h | FullSizeCar_130kmh binaural                   |
| Road                   | Outside Traffic Road binaural                 |
| Train Station          | Train Station binaural                        |
| Pub                    | Pub_Noise_binaural_V2                         |
| Salescounter           | Cafeteria_Noise_binaural                      |

Table 8.8: Background noises used for validation database 4

The handsets were placed in calls using a base station simulator, with EVS super wideband speech encoding [i.4] at 13,2 kbit/sec.

Full-band reference conditions were used, processed according to DESUDAPS-1 [i.17]. Presentation was binaural at 73 dB SPL, using headphones equalized to diffuse field.

#### 8.5.2 Validation database 4: Results for model A

Results are shown as scatter plots, comparing instrumental predicted ratings to subjective ratings. Results from model A on validation database 4 for each of the three ratings, SIG, BAK, and OVRL, are shown in figure 8.5. For each rating (rows), two scatter plots are shown, one before a monotonic mapping is applied (right column) and one after a monotonic mapping is applied (left column).

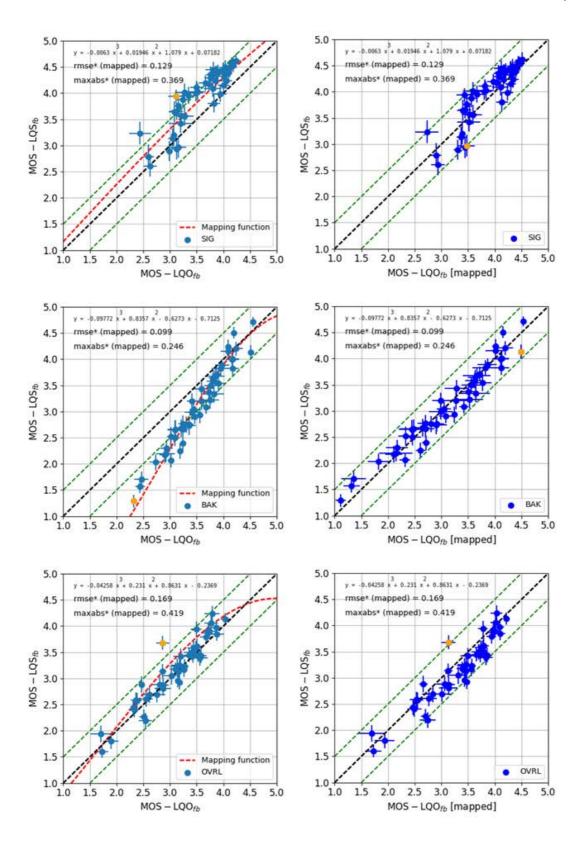


Figure 8.5: Scatter plots from model A for validation database 4

The rmse\* and maximum absolute error\* (maxabs\*) after mapping are shown on all figures, with orange-colored symbol indicating the condition with the largest overall maximum absolute error. The mapping polynomial is shown in the upper left corner of each panel. The dashed green lines show error of  $\pm 0.5$  MOS. The error bars indicate the 95 % confidence interval before mapping (left column) and after mapping (right column).

Additional performance metrics, including Pearson's  $\rho$  correlation coefficient, Spearman's  $\rho$  rank order correlation, and Kendall's  $\tau$  are shown in table 8.9.

Table 8.9: Performance metrics for model A on validation database 4

| Dimension | Metric                  | Raw   | Mapped | d*    | Mapped & d* |
|-----------|-------------------------|-------|--------|-------|-------------|
| SIG       | Rmse                    | 0,456 | 0,261  | 0,323 | 0,129       |
|           | Max Abs Error           | 0,823 | 0,505  | 0,687 | 0,369       |
|           | Pearson's ρ             | 0,896 | 0,897  | 0,931 | 0,966       |
|           | Spearman's rank order p | 0,904 | 0,904  | 0,920 | 0,972       |
|           | Kendall's τ             | 0,758 | 0,758  | 0,783 | 0,881       |
| BAK       | Rmse                    | 0,523 | 0,203  | 0,398 | 0,099       |
|           | Max Abs Error           | 1,032 | 0,359  | 0,915 | 0,246       |
|           | Pearson's ρ             | 0,975 | 0,973  | 0,979 | 0,994       |
|           | Spearman's rank order ρ | 0,980 | 0,980  | 0,982 | 0,995       |
|           | Kendall's τ             | 0,890 | 0,890  | 0,901 | 0,957       |
| OVRL      | Rmse                    | 0,218 | 0,279  | 0,135 | 0,169       |
|           | Max Abs Error           | 0,815 | 0,550  | 0,684 | 0,419       |
|           | Pearson's ρ             | 0,948 | 0,942  | 0,976 | 0,974       |
|           | Spearman's rank order ρ | 0,931 | 0,931  | 0,964 | 0,960       |
|           | Kendall's т             | 0,801 | 0,801  | 0,897 | 0,854       |

#### 8.5.3 Validation database 4: Results for model B

Results are shown as scatter plots, comparing instrumental predicted ratings to subjective ratings. Results from model A on validation database 4 for each of the three ratings, SIG, BAK and OVRL, are shown in figure 8.6. For each rating (rows), two scatter plots are shown, one before a monotonic mapping is applied (right column) and one after a monotonic mapping is applied (left column).

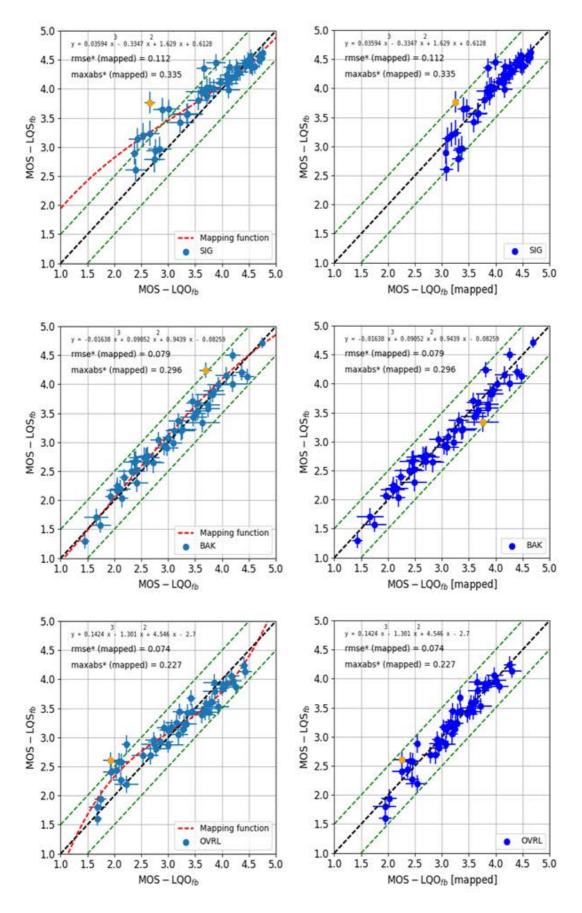


Figure 8.6: Scatter plots from model B for validation database 4

The rmse\* and maximum absolute error\* (maxabs\*) after mapping are shown on all figures, with orange-colored symbol indicating the condition with the largest overall maximum absolute error. The mapping polynomial is shown in the upper left corner of each panel. The dashed green lines show error of  $\pm 0.5$  MOS. The error bars indicate the 95 % confidence interval before mapping (left column) and after mapping (right column).

Additional performance metrics, including Pearson's  $\rho$  correlation coefficient, Spearman's  $\rho$  rank order correlation, and Kendall's  $\tau$  are shown in table 8.10.

Dimension Metric Mapped d\* Mapped & d\* Raw SIG 0,346 0,210 0,239 0,112 Rmse Max Abs Error 1,110 0,515 0,921 0,335 0,977 Pearson's p 0,934 0,928 0,963 Spearman's rank order p 0,933 0,933 0,971 0,978 Kendall's т 0,797 0,797 0,927 0,895 BAK Rmse 0,175 0,178 0,084 0,079 Max Abs Error 0,545 0,425 0,416 0,296 0,978 0,979 0,995 0,996 Pearson's p 0,977 0,977 0,993 0,995 Spearman's rank order p Kendall's τ 0,892 0,892 0,416 0,296 **OVRL** Rmse 0,257 0,167 0,160 0,074 Max Abs Error 0,670 0,350 0,528 0,227 0,962 0,967 0,985 0,993 Pearson's ρ

0,969

0,861

0,969

0,861

0,989

0,930

0,993

0,956

Table 8.10: Performance metrics for model B on validation database 4

## 8.6 Validation database 5 (DES-27)

Spearman's rank order p

Kendall's T

### 8.6.1 Database description

Validation database 5 was created using three commercial handsets, different from those of databases 3 and 4. Two were operated in handset, headset, and hand-held handsfree mode, while one was operated in handset and hand-held speakerphone modes. Set up was as described in ETSI TS 126 132 [i.14].

The speech source was full-band American English phonetically-balanced sentences, with two sentences from each of four male and four female talkers, for a total of sixteen samples, with 128 votes collected per condition.

In handset and headset modes, the speech was presented from a properly equalized HATS mouth at -1,7 dB Pa at MRP, while in HHHF mode, the level was +1,3 dB Pa at MRP.

The background noise was reproduced using a system according to ETSI TS 103 224 [i.19], using the noise types listed in table 8.11. Levels for these noise types can be found in ETSI TS 103 224 [i.19].

| Description            | Filename according to ETSI TS 103 224 [i.19] |                           |  |  |  |  |
|------------------------|--|---------------------------|--|--|--|--|
| -                      | Handset & Headset                            | Hands-free                |  |  |  |  |
| Sales counter          | SalesCounter_handset                         | SalesCounter_handsfree    |  |  |  |  |
| Callcenter             | Callcenter2_handset                          | Callcenter2_handsfree     |  |  |  |  |
| Cafeteria              | Cafeteria_handset                            | Cafeteria_handsfree       |  |  |  |  |
| Crossroads             | Crossroadnoise_handset                       | Crossroadnoise_handsfree  |  |  |  |  |
| Full-size Car 130 km/h | FullSizeCar_130_handset                      | FullSizeCar_130_handsfree |  |  |  |  |
| Pub                    | Pub_handset                                  | Pub_handsfree             |  |  |  |  |
| Road                   | Roadnoise_handset                            | Roadnoise_handsfree       |  |  |  |  |
| Train Station          | TrainStation handset                         | TrainStation handsfree    |  |  |  |  |

Table 8.11: Background noises used for validation database 5

The handsets were placed in calls using a base station simulator, with EVS super wideband speech encoding [i.4] at 13,2 kbit/sec.

Full-band reference conditions were used, processed according to DESUDAPS-1 [i.17]. Presentation was binaural at 73 dB SPL, using headphones equalized to diffuse field.

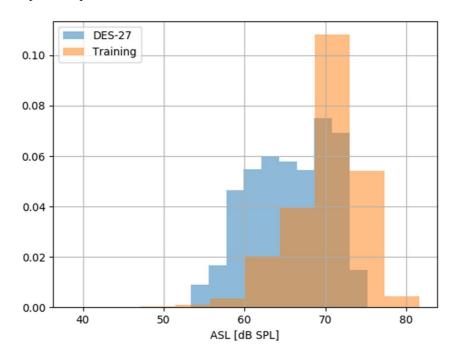


Figure 8.7: Histogram of active speech level distribution of DES-27 compared to level distribution of training databases

Figure 8.7 shows that the level distribution of database DES-27 is significantly lower compared to the level distribution of the training databases. This is due to large SLR differences observed with the terminals available for this database. Since some of them do not even pass the SLR requirements it is believed that these terminals were delivered in a very early stage when super-wideband certification requirements were not yet available. It is expected that future terminals, with respect to the first publication of the present document, will follow the specifications more closely. The performance of the models for this database is somewhat lower for this database, possibly due to the effect of SLR. See also clause 9 for proper application of the models.

#### 8.6.2 Validation database 5: Results for model A

Results are shown as scatter plots, comparing instrumental predicted ratings to subjective ratings. Results from model A on validation database 4 for each of the three ratings, SIG, BAK and OVRL, are shown in figure 8.8. For each rating (rows), two scatter plots are shown, one before a monotonic mapping is applied (right column) and one after a monotonic mapping is applied (left column).

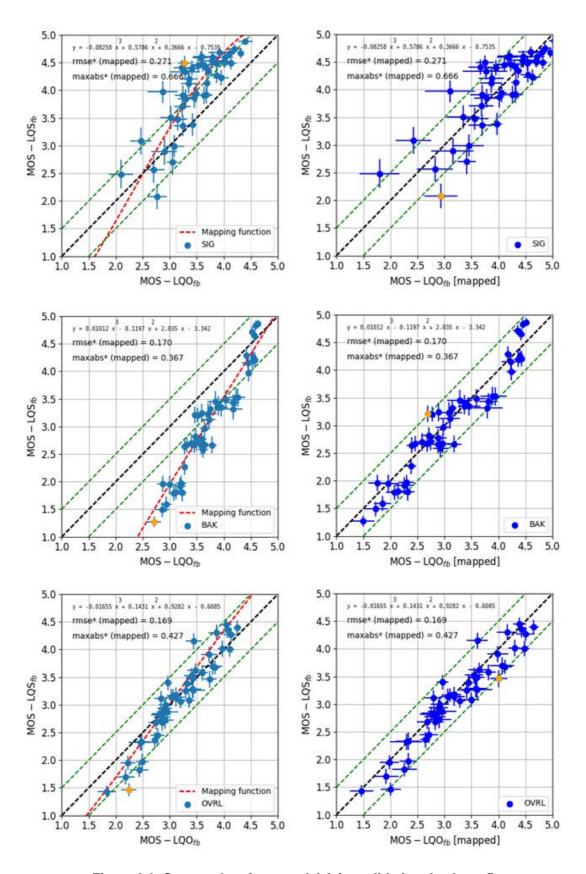


Figure 8.8: Scatter plots from model A for validation database 5

The rmse\* and maximum absolute error\* (maxabs\*) after mapping are shown on all figures, with orange-colored symbol indicating the condition with the largest overall maximum absolute error. The mapping polynomial is shown in the upper left corner of each panel. The dashed green lines show error of  $\pm 0.5$  MOS. The error bars indicate the 95 % confidence interval before mapping (left column) and after mapping (right column).

Additional performance metrics, including Pearson's  $\rho$  correlation coefficient, Spearman's  $\rho$  rank order correlation, and Kendall's  $\tau$  are shown in table 8.12.

Table 8.12: Performance metrics for model A on validation database 5

| Dimension | Metric                  | Raw   | Mapped | d*    | Mapped & d* |
|-----------|-------------------------|-------|--------|-------|-------------|
| SIG       | Rmse                    | 0,662 | 0,399  | 0,538 | 0,271       |
|           | Max Abs Error           | 1,217 | 0,860  | 1,093 | 0,666       |
|           | Pearson's ρ             | 0,827 | 0,838  | 0,864 | 0,924       |
|           | Spearman's rank order ρ | 0,827 | 0,827  | 0,839 | 0,926       |
|           | Kendall's τ             | 0,651 | 0,651  | 0,670 | 0,796       |
| BAK       | Rmse                    | 0,811 | 0,285  | 0,682 | 0,170       |
|           | Max Abs Error           | 1,434 | 0,519  | 1,337 | 0,367       |
|           | Pearson's ρ             | 0,957 | 0,957  | 0,960 | 0,984       |
|           | Spearman's rank order ρ | 0,952 | 0,952  | 0,955 | 0,982       |
|           | Kendall's τ             | 0,830 | 0,830  | 0,839 | 0,920       |
| OVRL      | Rmse                    | 0,282 | 0,266  | 0,195 | 0,169       |
|           | Max Abs Error           | 0,779 | 0,542  | 0,664 | 0,427       |
|           | Pearson's ρ             | 0,955 | 0,955  | 0,973 | 0,980       |
|           | Spearman's rank order p | 0,964 | 0,964  | 0,987 | 0,986       |
|           | Kendall's τ             | 0,843 | 0,843  | 0,924 | 0,917       |

#### 8.6.3 Validation database 5: Results for model B

Results are shown as scatter plots, comparing instrumental predicted ratings to subjective ratings. Results from model A on validation database 4 for each of the three ratings, SIG, BAK and OVRL, are shown in figure 8.9. For each rating (rows), two scatter plots are shown, one before a monotonic mapping is applied (right column) and one after a monotonic mapping is applied (left column).

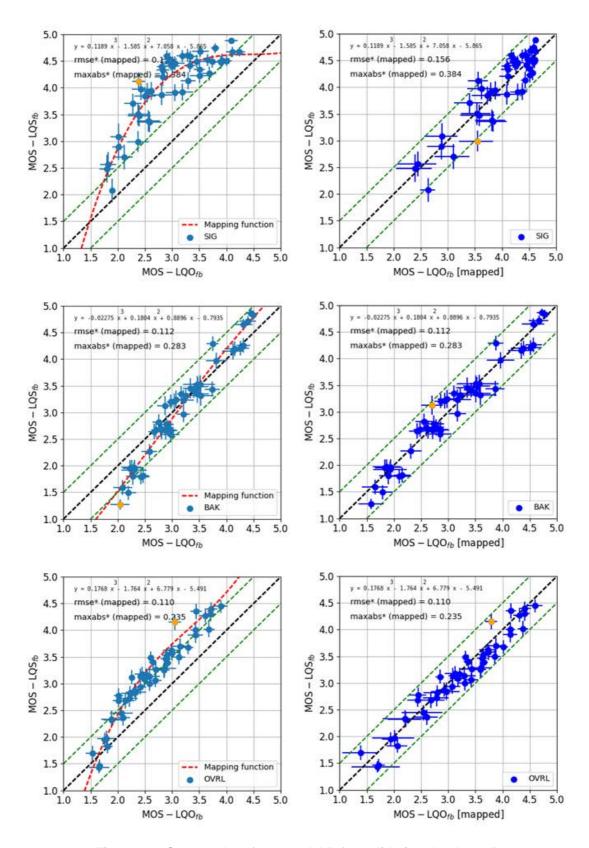


Figure 8.9: Scatter plots from model B for validation database 5

The rmse\* and maximum absolute error\* (maxabs\*) after mapping are shown on all figures, with orange-colored symbol indicating the condition with the largest overall maximum absolute error. The mapping polynomial is shown in the upper left corner of each panel. The dashed green lines show error of  $\pm 0.5$  MOS. The error bars indicate the 95 % confidence interval before mapping (left column) and after mapping (right column).

Additional performance metrics, including Pearson's  $\rho$  correlation coefficient, Spearman's  $\rho$  rank order correlation, and Kendall's  $\tau$  are shown in table 8.13.

Metric Raw Mapped Mapped & d\* Dimension SIG 0,977 Rmse 1,118 0,287 0,156 Max Abs Error 1,734 0,556 1,580 0,384 Pearson's p 0,835 0,919 0,844 0,973 Spearman's rank order p 0,842 0,842 0,846 0,951 0,660 Kendall's T 0,660 0,668 0,830 BAK 0,315 Rmse 0,217 0,220 0,112 Max Abs Error 0,765 0,424 0,668 0,283 Pearson's ρ 0,973 0,974 0,985 0,993 0,964 0,994 Spearman's rank order p 0,964 0,985 Kendall's T 0,848 0,848 0,918 0,954 **OVRL** 0,215 Rmse 0,571 0,443 0,110 0,957 Max Abs Error 1,098 0,361 0,235 0,956 0,971 0,963 0,992 Pearson's ρ Spearman's rank order p 0,977 0,977 0,976 0.994 Kendall's T 0,884 0,884 0,879 0,949

Table 8.13: Performance metrics for model B on validation database 5

## 9 Application of the models

#### 9.1 Introduction

In order to avoid ambiguities in the results, the objective model should be applied in the way it was applied during the training process which also reflects the listening test.

## 9.2 Speech material

The speech samples used in conjunction with the model should be the ones used in the subjective tests of the training databases. At least 16 sentences of male and female speakers shall be used per measurement run. Each sentence shall be centered in a time window of 4,0 seconds. The minimum duration of an active speech material shall be 1,0 second, i.e. resulting in not more than 1,5 seconds of leading and trailing silence. The duration of the active speech material shall not exceed 3,0 seconds, which correspond to a minimum leading/trailing silence period of 0,5 seconds.

For proper convergence of noise reduction system, the source sequence should contain in addition an initial silence period, as well as at least four different sentences from four different talkers in the beginning.

A set of 16 full-band Chinese, American English and German sentences meeting the requirements described above are provided in annex E. The preferred test sequence is American English because the majority of training and validation material was provided with this vector set.

## 9.3 Positioning of the device under test

For testing in the handset use case, variations from nominal position defined in clause 8.4 of Recommendation ITU-T P.64 [i.18] representing typical use conditions can also be used.

For testing headsets, positioning recommendations found in Recommendation ITU-T P.380 [i.40] should be followed.

For testing handheld hands-free, positioning recommendations can be found in the corresponding standards, e.g. in ETSI TS 126 132 [i.14].

For testing car hands-free, positioning recommendations can be found in the corresponding standards, e.g. in [i.41].

For each test position, it shall be ensured that the terminal meets the nominal SLR requirements by  $\pm 6$  dB.

## 9.4 Background noise playback

The setups according to ETSI ES 202 396-1 [i.1] and ETSI TS 103 224 [i.19] are recommended for the generation of the background noise. The background noises to use in conjunction with the model shall be taken from these two standards.

## 9.5 Recording and calibration procedure

In general, the signal to be evaluated with the models is recorded at an electrical reference interface. Typically the signal is obtained in a certain physical unit (like Volt) or on a digital scale (e.g. 16-bit integer representation). The level calibration to the acoustical domain (in dB Pa or dB SPL) according to the subjective tests of the training databases is performed as follows.

Prior to the recording of noisy scenarios, a clean speech recording at the nominal position is used to find the correction factor. Note that no Lombard gain (usually +3 dB) for noisy scenarios is applied here. This preparation measurement is carried out with a default active speech level of -4,7 dB Pa in handset / headset mode and -1,7 dB Pa in (handheld) hands-free mode.

The goal of this calibration is to determine a factor, which scales the measured signal in silence to an overall active speech level according to [i.7] of 73 dB SPL, evaluated over all sentences (excluding the convergence sequence). This method preserves individual per-talker levels and leads to a uniformly residual noise level. This correction factor is then used for all test conditions.

## 9.6 Running the prediction models

The measured sequence as well as the clean speech reference are cropped into segments of 4,0 seconds, corresponding to the sentences described in clause 9.2. Compensating any delay between the two signals is possible, but not required (time alignment is included in the models). Silence/noise parts shall preserve a minimum duration of 0,5 seconds (see also clause 9.2).

The results for S-, N- and G-MOS shall be calculated on a per sentence basis and averaged over all samples. In addition, the standard deviation should be reported to indicate large variance and/or outliers (samples outside of the expected range of values).

## 9.7 Mapping function for Model A

## 9.7.1 Derivation of mapping functions

In order to derive proposed mapping functions, the validation databases DES-25 and -26 are investigated. These databases consist of recordings made with real terminals and thus can be regarded as realistic use cases of both models. In order to analyse if one of the models systematically over- or underestimates a certain attribute, figure 9.1 to figure 9.3 show the merged data of the two databases (2x48 conditions = 96 data points) within one scatter plot per attribute.

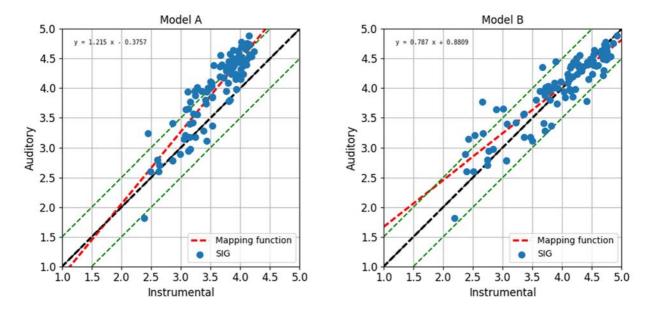


Figure 9.1: Prediction results for databases DES-25/-26 for S-MOS

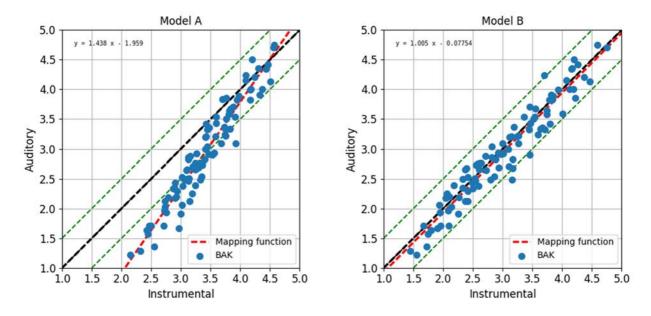


Figure 9.2: Prediction results for databases DES-25/-26 for N-MOS

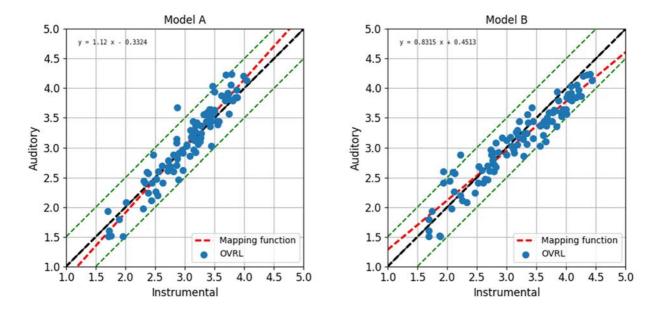


Figure 9.3: Prediction results for databases DES-25/-26 for G-MOS

To avoid mapping function for both models and the three categories (as shown in figure 9.1 to figure 9.3), map only model A is mapped to the scores of model B, since model B provides instrumental results which are closer to the auditory ones. By mapping results of model A, it is possible to define common performance requirements which are valid for both models.

For this purpose, figure 9.4 to figure 9.6 illustrate results of model B vs A for each attribute. In addition, each plot provides a linear mapping function, which can be used to transform results from model A into the range of model B.

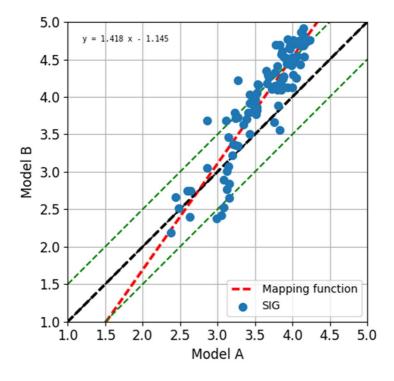


Figure 9.4: Prediction results of model B vs A for databases DES-25/-26 (S-MOS)

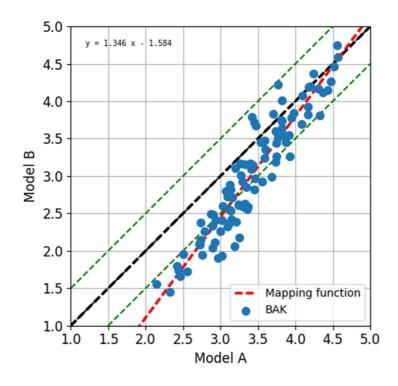


Figure 9.5: Prediction results of model B vs A for databases DES-25/-26 (N-MOS)

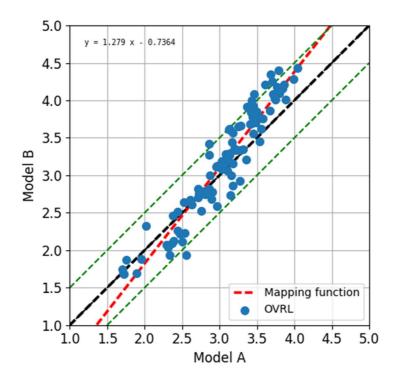


Figure 9.6: Prediction results of model B vs A for databases DES-25/-26 (G-MOS)

## 9.7.2 Resulting mapping functions

In order to transform results of model A for the evaluation of performance requirements, the following functions may be used:

$$\begin{array}{lll} \text{S-MOS}'_{LQOfb} = 1{,}418 \cdot \text{S-MOS}_{LQOfb} - 1{,}145 \\ \text{N-MOS}'_{LQOfb} = 1{,}346 \cdot \text{N-MOS}_{LQOfb} - 1{,}584 \\ \text{G-MOS}'_{LOOfb} = 1{,}279 \cdot \text{G-MOS}_{LQOfb} - 0{,}7364 \\ \end{array}$$

Such mapping may be applied if a comparison between results derived from the different models should be compared or unified.

NOTE: Other mapping functions may be used for different purposes. In ETSI TS 126 132 [i.14] the following mapping is used:

$$S-MOS-LQOfb = S-MOS-LQOfb\_modelA$$
 
$$N-MOS-LQOfb = 1,438 \times N-MOS-LQOfb\_modelA - 1,959$$
 
$$G-MOS-LQOfb = G-MOS-LQOfb\_modelA$$

# Annex A (normative): Model configuration files

## A.1 Introduction

This annex provides implementation details for models A and B.

### A.2 Model A

Random forest regression configuration files are provided in a simple text format. Setup information of the trees and coefficients are denoted line by line in the following format:

Line #1: Number of trees (T)

Line #2: Number of nodes for tree 1  $(N_1)$ 

Line #3-6: Description of node 1 of tree 1

- Feature number (1-indexed).
- Feature value to split.
- Following nodes: if split value is lower than feature value, the tree continues with node number mentioned first. Otherwise, the second node number is used.
- Average MOS and standard deviation of this node. If there is no following node (indicated by following nodes 0/0), this is the last one (leaf) and the value determines the predicted MOS output for this single tree.

Line #7- $(2 + 4* N_1)$ : node setup is repeated  $N_1$ -1 times (for nodes 2..  $N_1$ )

Line  $\#(2 + 4* N_1 + 1)$ : setup for tree 2 (N<sub>2</sub> nodes)

Line # $(2 + 4* N_1 + 4* N_2 + 1)$ : setup for tree 3 (N<sub>3</sub> nodes)

. . .

Line # $(2 + 4* N_1 + ... + 4* N_T + 1)$ : setup for tree T (N<sub>T</sub> nodes)

The feature names used as inputs for the regression are provided in the last line of each configuration file.

NOTE: The configuration files are contained in archive ts\_103281v010301p0.zip which accompanies the present document:

- S-MOS model.
- N-MOS model.
- G-MOS model.

## A.3 Model B

Deep Neural Network Configuration per Cochlear Prediction model implementation.

NOTE: The \*.csv files are contained in archive ts\_103281v010301p0.zip which accompanies the present document.

#### S-MOS Densing layer Parameters:

- SMOS\_dense\_1\_b.csv
- SMOS\_dense\_1\_W.csv
- SMOS\_dense\_2\_b.csv
- SMOS\_dense\_2\_W.csv
- SMOS\_dense\_3\_b.csv
- SMOS\_dense\_3\_W.csv

#### N-MOS Densing layer Parameters:

- NMOS\_dense\_1\_b.csv
- NMOS\_dense\_1\_W.csv
- NMOS\_dense\_2\_b.csv
- NMOS\_dense\_2\_W.csv
- NMOS\_dense\_3\_b.csv
- NMOS\_dense\_3\_W.csv

#### G-MOS Densing layer Parameters:

- G-MOS\_dense\_1\_b.csv
- G-MOS\_dense\_1\_W.csv
- G-MOS\_dense\_2\_b.csv
- G-MOS\_dense\_2\_W.csv
- G-MOS\_dense\_3\_b.csv
- G-MOS\_dense\_3\_W.csv

## Annex B (normative): Summary of Training Databases

Table B.1

| DB#    | Source                 | Bandwidth          | Codec(s)   | Use case     | Noise types   | BGN System              | Language            | Validation for model |
|--------|------------------------|--------------------|--|--------------|---|-------------------------|---------------------|----------------------|
| DES-01 | HEAD acoustics<br>GmbH | FB & SWB           | EVS-FB@32kbps,<br>EVS-SWB@24.4kbps   | HS           | Cafeteria, Road, Car, Pub,<br>Train   | ETSI TS 103 224 [i.19]  | German              | -                    |
| DES-02 | HEAD acoustics<br>GmbH | FB & SWB           | EVS-FB@32kbps,<br>EVS-SWB@24.4kbps   | HS           | Cafeteria, Road, Car, Pub,<br>Train   | ETSI TS 103 224 [i.19]  | German              | -                    |
| DES-03 | HEAD acoustics<br>GmbH | FB, SWB, WB,<br>NB | EVS-FB@16.4 kbps,<br>EVS-SWB@13.2kbps,<br>OPUS-SWB@24kbps,<br>OPUS-FB@28kbps,<br>EVS-WB@9.6kbps,<br>EVS-NB@9.6kbps | HS           | Cafeteria, Road, Car, Pub,<br>Train   | ETSI TS 103 224 [i.19]  | German              | -                    |
| DES-04 | Knowles, Inc           | SWB                | none   | HS           | Cafeteria, Road, Car, Pub,<br>Train, Crossroad, Sales<br>Counter, Call Center | ETSI TS 103 224 [i.19]  | American<br>English | -                    |
| DES-05 | Knowles, Inc           | FB                 | none   | HS           | Cafeteria, Road, Car, Pub,<br>Train, Crossroad, Sales<br>Counter, Call Center | ETSI TS 103 224 [i.19]  | American<br>English | -                    |
| DES-06 | Knowles, Inc           | FB                 | none   | HHHF         | Cafeteria, Road, Car, Pub,<br>Train, Crossroad, Sales<br>Counter, Call Center | ETSI TS 103 224 [i.19]  | American<br>English | -                    |
| DES-07 | Knowles, Inc           | SWB, WB, NB        | none   | HS &<br>HHHF | Train, Road   | ETSI TS 103 224 [i.19]  | American<br>English | -                    |
| DES-08 | Knowles, Inc           | SWB, WB, NB        | EVS-SWB@13.2kbps,<br>AMR-WB@12.65kbps,<br>EVS-NB@9.6kbps   | HS &<br>HHHF | Train, Road   | ETSI TS 103 224 [i.19]  | American<br>English | -                    |
| DES-09 | Knowles, Inc           | SWB, WB, NB        | EVS-SWB@13.2kbps,<br>AMR-WB@12.65kbps,<br>EVS-NB@9.6kbps   | HS &<br>HHHF | Car, Road, Train, Pub, Sales<br>Counter, Music, Airport<br>departure hall     | ETSI TS 103 224 [i.19]  | American<br>English | -                    |
| DES-10 | Qualcomm, Inc.         | FB, SWB, WB,<br>NB | EVS-FB@24.4kbps,<br>EVS-SWB@13.2kbps,<br>AMR-WB@12.65kbps,<br>AMR@12.2kbps   | HS &<br>HHHF | Car   | ETSI ES 202 396-1 [i.1] | American<br>English | -                    |
| DES-11 | Qualcomm, Inc.         | FB, SWB, WB,<br>NB | EVS-FB@24.4kbps,<br>EVS-SWB@13.2kbps,<br>AMR-WB@12.65kbps,<br>AMR@12.2kbps   | HS &<br>HHHF | Car   | ETSI ES 202 396-1 [i.1] | Mandarin            | -                    |

| DB#    | Source                 | Bandwidth          | Codec(s)   | Use case          | Noise types   | BGN System              | Language            | Validation for model |
|--------|------------------------|--------------------|--|-------------------|---|-------------------------|---------------------|----------------------|
| DES-12 | Knowles, Inc           | SWB                | EVS-SWB@13.2kbps   | HS &<br>HHHF      | Car, Road, Train, Pub,<br>Music, Airport departure hall                       | ETSI TS 103 224 [i.19]  | American<br>English | -                    |
| DES-13 | Knowles, Inc           | SWB                | EVS-SWB@13.2kbps   | HS &<br>HHHF      | Car, Road, Train, Pub,<br>Music, Airport departure hall                       | ETSI TS 103 224 [i.19]  | American<br>English | -                    |
| DES-14 | Knowles, Inc           | SWB                | EVS-SWB@13.2kbps   | HS &<br>HHHF      | Car, Road, Train, Pub,<br>Music, Airport departure hall                       | ETSI TS 103 224 [i.19]  | Mandarin            | -                    |
| DES-15 | Knowles, Inc           | SWB                | EVS-SWB@13.2kbps   | HS                | Cafeteria, Road, Car, Pub,<br>Train, Crossroad, Sales<br>Counter, Call Center | ETSI TS 103 224 [i.19]  | American<br>English | -                    |
| DES-16 | Knowles, Inc           | SWB                | EVS-SWB@13.2kbps   | HHHF              | Cafeteria, Road, Car, Pub,<br>Train, Crossroad, Sales<br>Counter, Call Center | ETSI TS 103 224 [i.19]  | American<br>English | -                    |
| DES-17 | HEAD acoustics<br>GmbH | SWB                | EVS-SWB@24.4kbps,<br>EVS-SWB@13.2kbps                                      | HS &<br>HHHF      | Cafeteria, Road, Car, Pub,<br>Train, Crossroad, silence                       | ETSI TS 103 224 [i.19]  | German              | В                    |
| DES-19 | Qualcomm, Inc.         | FB, SWB, WB,<br>NB | EVS-FB@24.4kbps,<br>EVS-SWB@13.2kbps,<br>AMR-WB@12.65kbps,<br>AMR@12.2kbps | HS &<br>HHHF      | Car   | ETSI ES 202 396-1 [i.1] | Mandarin            | -                    |
| DES-20 | Qualcomm, Inc.         | SWB                | EVS-SWB@13.2kbps   | HS &<br>HHHF      | Silence, Car, Café, Train,<br>Road, Callctr                                   | ETSI ES 202 396-1 [i.1] | Mandarin            | А                    |
| DES-22 | Qualcomm, Inc.         | SWB                | EVS-SWB@24.4kbps   | HS &<br>HHHF      | Silence, Pub, Mensa, Car  | ETSI ES 202 396-1 [i.1] | American<br>English | -                    |
| DES-23 | HEAD acoustics<br>GmbH | SWB                | EVS-SWB@13.2kbps   | HS &<br>HHHF      | Car, Road, Train, Pub,<br>Music, Airport departure hall                       | ETSI TS 103 224 [i.19]  | German              | -                    |
| DES-24 | Knowles, Inc           | SWB                | EVS-SWB@13.2kbps   | HS &<br>HHHF      | Car, Road, Train, Pub,<br>Music, Airport departure hall                       | ETSI TS 103 224 [i.19]  | American<br>English | -                    |
| DES-25 | Knowles, Inc           | SWB                | EVS-SWB@24.4kbps   | HS & HE<br>& HHHF | Cafeteria, Road, Car, Pub,<br>Train, Crossroad, Sales<br>Counter, Call Center | ETSI TS 103 224 [i.19]  | American<br>English | A, B                 |
| DES-26 | Knowles, Inc           | SWB                | EVS-SWB@13.2kbps   | HS & HE<br>& HHHF | Cafeteria, Road, Car, Pub,<br>Train, Crossroad, Sales<br>Counter, Call Center | ETSI ES 202 396-1 [i.1] | American<br>English | A, B                 |
| DES-27 | Knowles, Inc           | SWB                | EVS-SWB@13.2kbps   | HS & HE<br>& HHHF | Cafeteria, Road, Car, Pub,<br>Train, Crossroad, Sales<br>Counter, Call Center | ETSI TS 103 224 [i.19]  | American<br>English | A, B                 |

## Annex C (normative): Test vectors for model verification

The test vectors for verification of an objective model implementation are given in this annex. A model implemented according to clause 6 and claiming to be compliant to the present document shall achieve all scores with an accuracy of  $\pm 0.1$  MOS. For calibration purposes, the RMS level (unweighted) is specified as well in table C.1 (calculation carried out on the entire sample). The speech samples can be downloaded here:

https://docbox.etsi.org/stq/Open/TS%20103%20281%20Wave%20files/Annex\_C%20test%20vectors.

Table C.1: Test vectors and instrumental results for the models

|           |        |        |            | Model A |       |       |       |                  |       |
|-----------|--------|--------|------------|---------|-------|-------|-------|------------------|-------|
| Condition | Talker | Sample | RMS [dBPa] | S-MOS   | N-MOS | G-MOS | S-MOS | Model B<br>N-MOS | G-MOS |
| C01       | f1     | s1     | -24,51     | 3,43    | 3,14  | 2,90  | 3,86  | 2,79             | 2,70  |
| C02       | f1     | s1     | -22,60     | 2,16    | 1,91  | 1,28  | 2,64  | 1,49             | 1,55  |
| C03       | f1     | s1     | -28,46     | 3,33    | 2,83  | 2,64  | 3,96  | 2,21             | 2,42  |
| C04       | f1     | s1     | -23,59     | 2,82    | 2,31  | 1,93  | 3,99  | 1,51             | 2,30  |
| C05       | f1     | s1     | -24,29     | 3,32    | 2,66  | 2,65  | 4,51  | 2,15             | 2,70  |
| C06       | f1     | s1     | -26,10     | 3,77    | 2,92  | 2,99  | 4,24  | 2,91             | 3,01  |
| C07       | f1     | s1     | -23,24     | 3,88    | 3,39  | 3,25  | 4,29  | 3,49             | 3,27  |
| C08       | f1     | s1     | -25,73     | 4,00    | 3,00  | 3,14  | 4,42  | 2,57             | 2,91  |
| C09       | f1     | s1     | -24,50     | 3,71    | 3,57  | 3,40  | 4,15  | 3,81             | 3,40  |
| C10       | f1     | s1     | -33,46     | 2,73    | 2,56  | 2,01  | 2,19  | 1,91             | 1,66  |
| C11       | f1     | s1     | -25,86     | 3,40    | 3,19  | 2,89  | 3,31  | 2,42             | 2,65  |
| C12       | f1     | s1     | -19,15     | 2,55    | 2,32  | 1,76  | 3,28  | 1,53             | 1,79  |
| C13       | f1     | s1     | -22,92     | 3,23    | 2,87  | 2,36  | 3,47  | 2,09             | 2,24  |
| C14       | f1     | s1     | -25,58     | 3,19    | 3,16  | 2,58  | 3,86  | 2,73             | 2,71  |
| C15       | f1     | s1     | -23,42     | 4,15    | 3,75  | 3,54  | 4,70  | 3,32             | 3,47  |
| C16       | f1     | s1     | -23,86     | 3,90    | 3,36  | 3,28  | 4,75  | 2,96             | 3,40  |
| C17       | f1     | s1     | -21,12     | 4,28    | 4,18  | 4,01  | 5,17  | 4,44             | 4,38  |
| C18       | f1     | s1     | -22,14     | 3,99    | 3,75  | 3,48  | 4,90  | 4,41             | 4,31  |
| C19       | f1     | s1     | -24,84     | 3,79    | 2,38  | 2,90  | 4,46  | 1,91             | 2,10  |
| C20       | f1     | s1     | -22,91     | 4,23    | 3,30  | 3,40  | 4,75  | 3,55             | 3,66  |
| C21       | f1     | s1     | -22,91     | 4,00    | 2,95  | 3,17  | 5,02  | 2,33             | 3,09  |
| C22       | f1     | s1     | -22,22     | 4,17    | 3,44  | 3,37  | 5,00  | 3,93             | 4,03  |
| C23       | f1     | s1     | -22,13     | 4,07    | 3,22  | 3,23  | 4,99  | 2,79             | 3,52  |
| C24       | f1     | s1     | -21,76     | 4,20    | 3,47  | 3,40  | 4,98  | 3,80             | 3,99  |
| C25       | f1     | s1     | -22,83     | 4,29    | 4,46  | 4,03  | 5,10  | 4,82             | 4,56  |
| C26       | f1     | s1     | -23,16     | 4,01    | 4,54  | 4,07  | 4,99  | 4,88             | 4,65  |
| C27       | f1     | s1     | -24,26     | 3,20    | 3,53  | 2,68  | 3,34  | 3,23             | 3,00  |
| C28       | f1     | s1     | -23,26     | 3,82    | 4,45  | 3,69  | 4,61  | 4,29             | 4,24  |
| C29       | f1     | s1     | -23,25     | 3,97    | 3,88  | 3,53  | 4,84  | 2,96             | 3,48  |
| C30       | f1     | s1     | -23,19     | 3,91    | 4,57  | 3,89  | 4,74  | 4,34             | 4,34  |
| C31       | f1     | s1     | -22,86     | 3,98    | 4,16  | 3,73  | 4,88  | 3,25             | 3,83  |
| C32       | f1     | s1     | -22,83     | 4,13    | 4,41  | 3,87  | 4,91  | 4,48             | 4,43  |
| C33       | f1     | s1     | -22,21     | 4,01    | 3,43  | 3,39  | 4,08  | 3,20             | 3,26  |
| C34       | f1     | s1     | -26,82     | 3,11    | 2,21  | 2,01  | 3,77  | 1,70             | 1,85  |
| C35       | f1     | s1     | -24,86     | 4,05    | 3,04  | 3,23  | 4,12  | 2,73             | 3,02  |
| C36       | f1     | s1     | -24,59     | 3,64    | 2,68  | 2,75  | 4,48  | 2,15             | 2,70  |
| C37       | f1     | s1     | -22,39     | 4,05    | 3,33  | 3,30  | 4,53  | 2,97             | 3,24  |
| C38       | f1     | s1     | -22,26     | 3,60    | 3,01  | 2,97  | 4,23  | 2,40             | 2,85  |
| C39       | f1     | s1     | -21,62     | 3,95    | 3,33  | 3,24  | 4,84  | 3,37             | 3,68  |
| C40       | f1     | s1     | -19,67     | 4,20    | 4,13  | 3,88  | 4,81  | 3,94             | 3,90  |
| C41       | f1     | s1     | -23,00     | 3,69    | 3,67  | 3,24  | 4,34  | 4,03             | 3,85  |
| C42       | f1     | s1     | -24,61     | 2,14    | 3,06  | 1,89  | 2,47  | 2,20             | 1,80  |
| C43       | f1     | s1     | -23,07     | 3,85    | 3,33  | 3,27  | 4,41  | 3,16             | 3,42  |
| C44       | f1     | s1     | -21,29     | 3,12    | 2,45  | 2,34  | 4,19  | 1,86             | 2,36  |
| C45       | f1     | s1     | -22,99     | 3,59    | 3,28  | 3,04  | 4,28  | 3,04             | 3,15  |
| C46       | f1     | s1     | -22,21     | 3,42    | 2,72  | 2,70  | 4,26  | 2,22             | 2,65  |
| C47       | f1     | s1     | -22,75     | 3,92    | 3,58  | 3,42  | 4,75  | 3,20             | 3,68  |
| C48       | f1     | s1     | -22,73     | 4,16    | 3,92  | 3,61  | 4,74  | 3,81             | 3,99  |

## Annex D (informative): Subjective testing framework

### D.1 Introduction

This annex is an excerpt of [i.17] and describes the framework for conducting subjective testing used for the training and validation of the model described in the present document. Such a framework is seen as necessary in order to minimize variations between subjective tests performed in different listening laboratories. The framework can be used for conducting further subjective experiments which are intended to be compatible with the prediction model (validation, verification, further development).

## D.2 Subjective test plan

## D.2.1 Traceability

The subjective test method is described in Recommendation ITU-T P.835 [i.5] and the ITU-T Handbook of subjective testing practical procedures [i.15]. With the additional observations given in the following clauses D.2.2 to D.2.9, traceability can be improved.

## D.2.2 Speech database requirements

The source speech database (near end signal) to be used for data collection and listening tests needs to consist of at least 8 samples (2 male and 2 female talkers, 2 samples per talker).

The speech material needs to conform to the guidelines specified in the ITU-T handbook of subjective testing practical procedures, clause 5, and clause B.3 of Recommendation ITU-T P.501 [i.10]. Each sample needs to be constructed according to the guidelines described in Recommendation ITU-T P.835 [i.5] clause 5.1.4 (including 1 second of leading and 1 second of trailing silence) and normalized to an active speech level [i.7] of -26 dBov. It is recommended that the source speech material be 16 bit/48 kHz.

### D.2.3 Reference Conditions

Reference conditions need to follow the proposal in [i.16], which incorporates a spectral subtraction based distortion instead of the MNRU-based distortion typically used in subjective tests. The conditions used for the new SIG reference system and specification for NS Levels are listed in table D.1, the flow chart of the generation process is shown in figure D.1. Further details as well as an example implementation can be found in [i.17].

## D.2.4 Test Conditions

Test conditions need to be recorded from real handset devices or from mock-up terminals for offline processing as described in clause D.3. Table D.2 lists the recommended test conditions used for the recordings and listening tests. At least 6 out of the 8 noise types described should be included in the test to provide similarity of context between different labs. 2 of the 8 noise types can be replaced by either a clean speech transmission scenario (i.e. the background noise reproduction is disabled) or other noise types taken from the ETSI ES 202 396-1 [i.1] database (except for the Male Single Voice Distractor noise type, see note).

NOTE: As speech and music carry contextual information, they can be viewed as a separate class of distractors and more study was felt necessary for their inclusion.

Either handset, headset or handheld hands-free usage modes are acceptable. The inclusion of hands-free test and headset cases is optional and intended to span a larger range of degradations for the purposes of re-training of the objective predictor model.

The preferred size equals 48 test conditions per database. This procedure provides a reasonable balance between reference (20 %) and test samples (80 %). As borderline cases, the amount of test conditions per database should be between 12 (50 %) minimum and 80 (85 %).

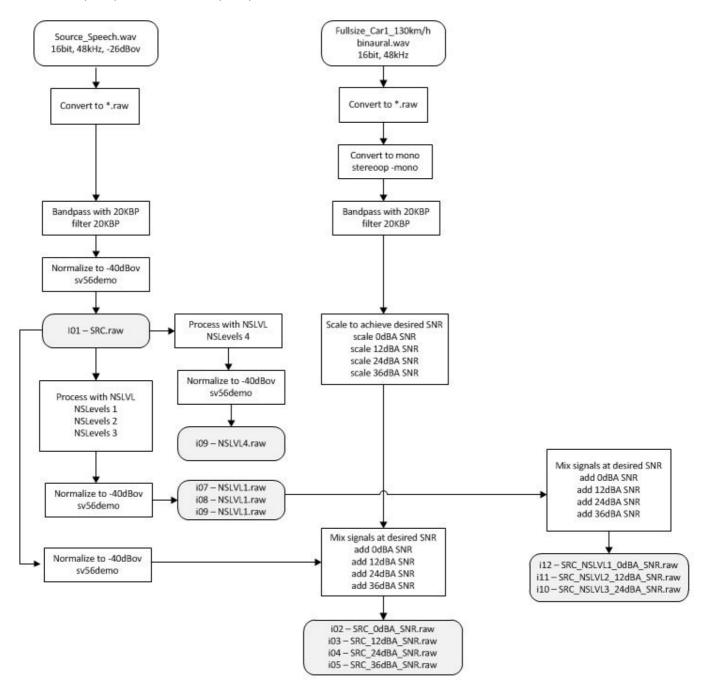


Figure D.1: Generation of reference conditions

## D.2.5 Post-processing of test conditions

The uplink recordings of processed speech materials are normalized for use in the subjective tests. For the test conditions, the normalization gain is the gain necessary to obtain a recorded active speech level of -26 dBov with a clean speech condition (no noise applied in the room). As a result, this normalization gain needs to be applied to all other test conditions for the same device (noise suppressed speech signals). In this way, the effect of level changes introduced by terminals in the presence of noise needs to be part of the quality measurement.

Table D.1: Reference conditions for super-wideband and fullband subjective evaluation of noise reduction

|           | Reference Conditions |          |                               |                                |  |  |  |  |  |  |
|-----------|----------------------|----------|-------------------------------|--------------------------------|--|--|--|--|--|--|
| Condition | Speech<br>Distortion | SNR (A)  | Noise Type                    | Description                    |  |  |  |  |  |  |
| i01       | Source               | No Noise | -                             | Best anchor for SIG, BAK, OVRL |  |  |  |  |  |  |
| i02       | Source               | 0 dB     | Fullsize_Car1_130Kmh_binaural | Lowest anchor for BAK          |  |  |  |  |  |  |
| i03       | Source               | 12 dB    | Fullsize_Car1_130Kmh_binaural | []                             |  |  |  |  |  |  |
| i04       | Source               | 24 dB    | Fullsize_Car1_130Kmh_binaural | []                             |  |  |  |  |  |  |
| i05       | Source               | 36 dB    | Fullsize_Car1_130Kmh_binaural | Second-best anchor for BAK     |  |  |  |  |  |  |
| i06       | NS Level 1           | No Noise | -                             | Lowest anchor for SIG          |  |  |  |  |  |  |
| i07       | NS Level 2           | No Noise | -                             | []                             |  |  |  |  |  |  |
| i08       | NS Level 3           | No Noise | -                             | []                             |  |  |  |  |  |  |
| i09       | NS Level 4           | No Noise | -                             | Second-best anchor for SIG     |  |  |  |  |  |  |
| i10       | NS Level 3           | 24 dB    | Fullsize_Car1_130Kmh_binaural | Second-best anchor for OVRL    |  |  |  |  |  |  |
| i11       | NS Level 2           | 12 dB    | Fullsize_Car1_130Kmh_binaural | []                             |  |  |  |  |  |  |
| i12       | NS Level 1           | [0 dB]   | Fullsize_Car1_130Kmh_binaural | Lowest anchor for OVRL         |  |  |  |  |  |  |

Table D.2: Test conditions per device for super-wideband and fullband subjective evaluation of noise reduction

| Test Conditions   |                               |                                       |  |
|---|-------------------------------|---------------------------------------|--|
| Speech level @ MRP Handset/ handsfree  Noise level @ HATS ear simulators with ID correction |                               | Noise Type                            | Description of Noise from<br>ETSI ES 202 396-1 [i.1] |
| -1,7/+1,3 dBPa  | L: 75,0 dB(A) / R: 73,0 dB(A) | Pub_Noise_binaural_V2                 | Recording in a pub                                   |
| -1,7/+1,3 dBPa  | L: 74,9 dB(A) / R: 73,9 dB(A) | Outside_Traffic_Road_binaural         | Recording at pavement                                |
| -1,7/+1,3 dBPa  | L: 69,1 dB(A) / R: 69,6 dB(A) | Outside_Traffic_Crossroads_binaural   | Recording at pavement                                |
| -1,7/+1,3 dBPa  | L: 68,2 dB(A) / R:69,8 dB(A)  | Train_Station_binaural                | Recording at departure platform                      |
| -1,7/+1,3 dBPa  | L: 69,1 dB(A) / R: 68,1 dB(A) | Fullsize_Car1_130Kmh_binaural         | Recording in passenger cabin                         |
| -1,7/+1,3 dBPa  | L: 68,4 dB(A) / R: 67,3 dB(A) | Cafeteria_Noise_binaural              | Recording at sales counter                           |
| -1,7/+1,3 dBPa  | L: 63,4 dB(A) / R: 61,9 dB(A) | Mensa_binaural                        | Recording in a cafeteria                             |
| -1,7/+1,3 dBPa  | L: 56,6 dB(A) / R: 57,8 dB(A) | Work_Noise_Office_Callcenter_binaural | Recording in a business office                       |

## D.2.6 Calibration and equalization of headphones for presentation

Headphones used for presentation of the test material to the listening panel should be calibrated and equalized using a HATS conforming to Recommendation ITU-T P.58 [i.11] and an artificial ear type 3.3 according to Recommendation ITU-T P.57 [i.12]. The HATS is diffuse field equalized. The resulting one-third octave frequency response characteristic of the headphones used in the subjective experiments should be within the mask given in Recommendation ITU-R BS.708 [i.42], annex 1, figure 1.

Alternatively, equalization can be made using a subjective method as in IEC 60268-7:2010 [i.43], ensuring that all frequencies for full-band listening are satisfactorily reproduced.

The presentation of the test and reference conditions to listeners should be diotic. The system gain is adjusted so that a speech segment of -26 dBov corresponds to a presentation level of 73 dB SPL measured at the DRP with diffuse-field equalization.

## D.2.7 Requirements on the listening laboratory

Listening laboratory facilities need to comply with the recommendations provided in Recommendation ITU-T P.800 [i.13].

## D.2.8 Experimental design

The use of the Balanced Blocks experimental design described in [i.15], clause 3.3.2 is recommended. The experimental design needs to include the 12 reference conditions and 8 test conditions per device under test, described in table D.1. A minimum of two and a maximum of six devices needs to be included in any one test.

The test and reference conditions should be reported for a total of 32 naïve listeners. The listeners need to be native speakers of the language used for the test.

An example of subjective test presentation sequence (i.e. randomizations) is provided in annex A of [i.17] for a test with 32 listeners, 4 talkers, 4 samples per talker, 12 reference conditions and 48 test conditions (6 devices and 8 noise types). Each of the 4 presentation sequences in annex A are presented to 8 of the 32 listeners.

128 votes per condition should be obtained, in order to achieve adequately low variance per condition. The number of votes per sample will depend on the number of samples per talker chosen (see clause D.2.2). A minimum of 2 samples per talker and 12 votes per sample should be obtained in order to achieve adequately low variance per sample for model training purposes.

## D.2.9 Training session

Prior to administration of the test, subjects need to be provided with written instructions on the test procedures. The use of training materials (e.g. videos, presentations) is encouraged to ensure the participants fully understand the task being requested. The training session needs to be followed by a practice session containing 16 trials. The practice session needs to include conditions representative of those presented in the test. An example is provided in table D.3.

| Trial  | Sample   | Condition                    |
|--|----------|------------------------------|
| 1  | m1s3.r01 | Reference - Source/No noise  |
| 2  | f2s1.x06 | Test - Cafeteria             |
| 3  | m2s4.r11 | Reference - NS-L2/12 dB SNR  |
| 4  | f1s1.r02 | Reference - Source/0 dB SNR  |
| 5  | m2s3.x03 | Test - Traffic-crossroads    |
| 6  | f1s1.x05 | Test - Fullsize car          |
| 7  | m2s1.r07 | Reference - NS-L2/No noise   |
| 8  | f2s2.x02 | Traffic-road                 |
| 9  | m2s2.r03 | Reference - Source/12 dB SNR |
| 10   | f2s2.r06 | Reference - NSL1/No noise    |
| 11   | m2s4.x01 | Pub                          |
| 12   | f2s3.x08 | Test - Call-center           |
| 13   | m2s4.r04 | Reference - Source/24 dB SNR |
| 14   | f2s1.x04 | Test - Train station         |
| 15   | m2s3.r12 | Reference - NS-L1/0 dB SNR   |
| 16   | f2s3.x07 | Test - Mensa babble          |
| NOTE: x is a device outside the set of DUTs. |          |                              |

Table D.3: Example sequence for training session

## D.3 Set-up for acquisition of test conditions

## D.3.1 Terminal positioning and HATS calibration

For reproduction of the near-end signal, a HATS conforming to Recommendation ITU-T P.58 [i.11] is used. The mouth simulator needs to be equalized to achieve the reproduction accuracy described in ETSI TS 126 132 [i.14], clause 5.3.

For handset and headset mode testing, the mouth sensitivity gain needs to be adjusted to produce an active speech level of -1,7 dBPa at MRP for a -26 dBov input speech signal.

The handset terminals or mock-ups under test need to be set-up on HATS and the handset mounting position documented as described in ETSI TS 126 132 [i.14], clause 5.1.1.

Headsets need to be set-up on HATS as described in ETSI TS 126 132 [i.14], clause 5.1.2.

For handheld hands-free mode the device is set-up using HATS as described in ETSI TS 126 132 [i.14], clause 5.1.3.3.

For handheld hands-free mode testing, the mouth sensitivity gain needs to be adjusted to produce an active speech level of +1,3 dBPa at MRP for a -26 dBov input speech signal.

## D.3.2 Background Noise reproduction

The background noise reproduction system should be setup and equalized according to ETSI ES 202 396-1 [i.1] and/or ETSI TS 103 224 [i.19]. Noise types should be reproduced at their realistic levels according to ETSI ES 202 396-1 [i.1], clause 8 and ETSI TS 103 224 [i.19], clause 7.2. The test conditions and noise files are specified in table D.1.

## D.3.3 Noise and speech playback synchronization

The noise and speech playback needs to be time aligned and synchronized. This is generally the case when playing the noise and speech files out of multiple channels of a same hardware interface but appropriate synchronization needs to be ensured when using separate hardware for noise and speech playback.

## D.3.4 Convergence sequence

For proper convergence of terminal noise suppression the following time sequencing should be applied:

- 1) the terminal is set-up and a call is established in noise free conditions;
- 2) 2 seconds of noise only is applied in the test room with a linear amplitude fade-in from 0 to 2 seconds (noise ramp-up period), immediately followed by;
- 3) 6 seconds of noise only, immediately followed by;
- 4) 16 seconds (4 samples) of simultaneous speech and noise, immediately followed by;
- 5) actual test material to be used for listening panel presentation.

The speech sequence provided in annex C already includes the points addressed above.

## D.3.5 Example of noise and speech playback sequence including convergence period

Figure D.1 illustrates an example of a playback time history for speech and one particular noise signal (Fullsize car 1 at 130 km/h, binaural). The following applies to the example in figure D.1:

- 1) The speech signal is constructed by concatenating 8 seconds of silence with 36 speech samples of 4 seconds each. The total length is therefore 152 seconds. The first 24 seconds according to clause D.3.4 are used for convergence of the noise suppression algorithm and not used for the purposes of listening panel presentation.
- 2) The noise signal is constructed by concatenating 6 repetitions of a noise sample and the first 8 seconds of the 7<sup>th</sup> repetition. The noise sample is cut out, or generated from, the original noise file in ETSI ES 202 396-1 [i.1] database to be 24 seconds in length, and fade-in and fade-out processing is applied to the first and last 50 samples (assuming noise at 48 kHz sampling rate) to ensure zero-crossing of the signal amplitude at beginning and end of the sample. A linear fade-in is applied to the first 2 seconds of the concatenated noise signal, as this was found necessary for proper convergence of some terminals.

It is noted that by looping the noise every 24 seconds (a multiple of the speech sample length of 4 seconds) the sharp transitions in the noise amplitude at the looping point coincide with the location of sample cutting for listening panel presentation. This avoids audible sharp transitions to fall during a speech segment.

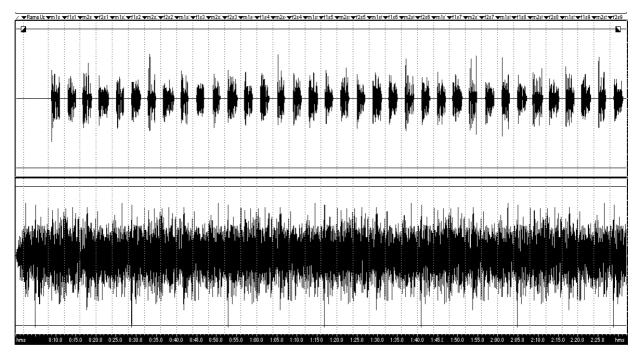


Figure D.2: Noise and speech playback sequence, including convergence period

## D.3.6 Recordings at the network simulator electrical reference point

The network simulator needs to be configured for a call setup with EVS-SWB speech codec bitrate of 24,4 kbps in super-wideband mode [i.4]. In order to obtain a lower quality range as well, a bitrate of 13,2 kbps can be utilized in addition. The send signal is recorded at the electrical reference point of a network simulator to generate the test conditions (noise suppressed speech) for the subjective test.

## D.3.7 Recordings at the MRP and terminal's primary microphone location

In addition to the recordings at the electrical reference point of a network simulator, the acoustic signals at MRP and primary microphone position can be recorded for further reference and analysis.

## Annex E (normative): Speech material to be used for objective testing

The following speech samples provided in tables E.1 to E.3 are used in conjunction with the model. Preferred test sequence for the application of the models is American English.

The first 4 sentences in each sequence are used during the adaptation period of the noise canceller under test, the remaining 16 samples are used for calculating the objective scores.

The speech samples can be downloaded here:

https://docbox.etsi.org/stq/Open/TS%20103%20281%20Wave%20files/Annex E%20speech%20data.

Table E.1: American English test sequence

| Seq | Sample | Harvard Sentences                             |               |
|-----|--------|---|---------------|
| 1   | m1s8   | We tried to replace the coin but failed.      |               |
| 2   | f1s8   | A rod is used to catch pink salmon.           | Preliminary   |
| 3   | m2s8   | Corn cobs can be used to kindle a fire.       | (convergence) |
| 4   | f2s8   | The crooked maze failed to fool the mouse.    |               |
| 5   | m1s1   | The empty flask stood on the tin tray.        |               |
| 6   | f1s1   | It is easy to tell the depth of a well.       |               |
| 7   | m2s1   | Acid burns holes in wool cloth.               |               |
| 8   | f2s1   | Note closely the size of the gas tank.        |               |
| 9   | m1s2   | He broke a new shoelace that day.             |               |
| 10  | f1s2   | The box was thrown beside the parked truck.   |               |
| 11  | m2s2   | Eight miles of woodland burned to waste.      |               |
| 12  | f2s2   | Mend the coat before you go out.              |               |
| 13  | m1s3   | The urge to write short stories is rare.      |               |
| 14  | f1s3   | Four hours of steady work faced us.           |               |
| 15  | m2s3   | A young child should not suffer fright.       |               |
| 16  | f2s3   | The stray cat gave birth to kittens.          |               |
| 17  | m1s4   | The pirates seized the crew of the lost ship. | -             |
| 18  | f1s4   | The boy was there when the sun rose.          |               |
| 19  | m2s4   | The fruit of a fig tree is apple shaped.      |               |
| 20  | f2s4   | The frosty air passed through the coat.       |               |

Table E.2: German test sequence

| Seq | Sample | German Sentences                     |               |
|-----|--------|--------------------------------------|---------------|
| 1   | m3s2   | Ich hole den Mantel lieber gleich.   |               |
| 2   | f3s2   | Die Firma setzt Maßstäbe.            | Preliminary   |
| 3   | m4s2   | Die Katze schleicht langsam heran.   | (convergence) |
| 4   | f4s2   | Faulheit ist auch erholsam.          |               |
| 5   | f1s1   | Arbeit im Garten ist besinnlich.     |               |
| 6   | f1s2   | Blumen muss man häufig gießen.       |               |
| 7   | f2s1   | Kühl und klar ist die Luft.          |               |
| 8   | f2s2   | Wir müssen das Licht anschalten.     |               |
| 9   | f3s1   | Hier richten Zimmerleute ein Dach.   |               |
| 10  | f3s2   | Die Firma setzt Maßstäbe.            |               |
| 11  | f4s1   | Bitte verlier doch keine Zeit!       |               |
| 12  | f4s2   | Faulheit ist auch erholsam.          |               |
| 13  | m1s1   | Der Hammer trifft den Nagel          |               |
| 14  | m1s2   | Strohhalme brechen leicht.           |               |
| 15  | m2s1   | Im Hof wartet man schon auf uns.     |               |
| 16  | m2s2   | Der Spatz frisst am liebsten Körner. |               |
| 17  | m3s1   | Man zahl Eintritt an der Kasse.      |               |
| 18  | m3s2   | Ich hole den Mantel lieber gleich.   |               |
| 19  | m4s1   | Er erklärt die Dinge schlecht.       |               |
| 20  | m4s2   | Die Katze schleicht langsam heran.   |               |

Table E.3: Mandarin test sequence

| Sample | Gender  | Mandarin                | Pin-yin                         | Translation                                     |
|--------|---------|-------------------------|---------------------------------|---|
| 1      | male1   | 北京近来很寒冷                 |                                 |   |
| 2      | male1   | <b>短裙</b> 长度正合 <b>适</b> |                                 |   |
| 3      | female1 | <b>外</b> 孙出生在农 <b>村</b> |                                 |   |
| 4      | female1 | <b>星期二</b> 别打篮 <b>球</b> |                                 |   |
| 5      | male1   | 北京近来很寒冷                 | Běijīng jìnlái hěn hánlěng      | Beijing is very cold recently                   |
| 6      | male1   | <b>短裙</b> 长度正合 <b>适</b> | Duăn qún chángdù zhèng héshì    | The length of the skirt is just fine            |
| 7      | female1 | <b>外</b> 孙出生在农 <b>村</b> | Wàisūn chūshēng zài nóngcūn     | The grandson was born in the countryside        |
| 8      | female1 | <b>星期二</b> 别打篮 <b>球</b> | Xīngqí'èr bié dă lánqiú         | Don't play basketball on Tuesday                |
| 9      | male2   | <b>我确</b> 实没接到 <b>信</b> | Wŏ quèshí méi jiē dào xìn       | I did not receive the email                     |
| 10     | male2   | 顾客有较多意见                 | Gùkè yŏu jiào duō yìjiàn        | The customers have quite many complaints        |
| 11     | female2 | 讨论很快结束 <b>了</b>         | Tăolùn hěn kuài jiéshùle        | The discussion ended quickly                    |
| 12     | female2 | <b>成</b> 绩面前不骄 <b>傲</b> | Chéngjī miànqián bù jiāo'ào     | Don't be arrogant with good achievement         |
| 13     | male3   | 明早乘船去上海                 | Míngzăo chéng chuán qù shànghǎi | Travel to Shanghai by ship tomorrow morning     |
| 14     | male3   | <b>旁</b> 边桂花树真 <b>多</b> | Pángbiān guìhuā shù zhēn duō    | There are so many Osmanthus trees close-by      |
| 15     | female3 | 那块绿窗帘很贵                 | Nà kuài lǜ chuānglián hěn guì   | That green curtain is very expensive            |
| 16     | female3 | 严禁随处丢垃圾                 | Yánjìn suíchù diū lèsè          | Do not litter                                   |
| 17     | male4   | 彩虹有七种颜色                 | Căihóng yŏu qī zhŏng yánsè      | There are seven colors in a rainbow             |
| 18     | male4   | <b>躺着看</b> 书会近视         | Tăngzhe kànshū huì jìnshì       | You will get near-sighted if reading lying down |
| 19     | female4 | <b>平</b> 时要注意卫 <b>生</b> | Píngshí yào zhùyì wèishēng      | Pay attention to hygiene everyday               |
| 20     | female4 | 谦虚会使人进 <b>步</b>         | Qiānxū huì shǐ rén jìnbù        | Being modest makes a person improve             |

## History

| Document history |              |             |  |
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