

ETSI TR 149 995 V11.0.0 (2012-10)



**Digital cellular telecommunications system (Phase 2+);
General Packet Radio Service (GPRS);
Interworking between modified Public Land Mobile
Network (PLMN) supporting GPRS and legacy GPRS mobiles
(3GPP TR 49.995 version 11.0.0 Release 11)**



ReferenceRTR/TSGG-0149995vb00

KeywordsGSM

ETSI

650 Route des Lucioles
F-06921 Sophia Antipolis Cedex - FRANCE

Tel.: +33 4 92 94 42 00 Fax: +33 4 93 65 47 16

Siret N° 348 623 562 00017 - NAF 742 C
Association à but non lucratif enregistrée à la
Sous-Préfecture de Grasse (06) N° 7803/88

Important notice

Individual copies of the present document can be downloaded from:

<http://www.etsi.org>

The present document may be made available in more than one electronic version or in print. In any case of existing or perceived difference in contents between such versions, the reference version is the Portable Document Format (PDF). In case of dispute, the reference shall be the printing on ETSI printers of the PDF version kept on a specific network drive within ETSI Secretariat.

Users of the present document should be aware that the document may be subject to revision or change of status. Information on the current status of this and other ETSI documents is available at

<http://portal.etsi.org/tb/status/status.asp>

If you find errors in the present document, please send your comment to one of the following services:

http://portal.etsi.org/chaicor/ETSI_support.asp

Copyright Notification

No part may be reproduced except as authorized by written permission.
The copyright and the foregoing restriction extend to reproduction in all media.

© European Telecommunications Standards Institute 2012.
All rights reserved.

DECT™, **PLUGTESTS™**, **UMTS™** and the ETSI logo are Trade Marks of ETSI registered for the benefit of its Members.
3GPP™ and **LTE™** are Trade Marks of ETSI registered for the benefit of its Members and
of the 3GPP Organizational Partners.
GSM® and the GSM logo are Trade Marks registered and owned by the GSM Association.

Intellectual Property Rights

IPRs essential or potentially essential to the present document may have been declared to ETSI. The information pertaining to these essential IPRs, if any, is publicly available for **ETSI members and non-members**, and can be found in ETSI SR 000 314: "*Intellectual Property Rights (IPRs); Essential, or potentially Essential, IPRs notified to ETSI in respect of ETSI standards*", which is available from the ETSI Secretariat. Latest updates are available on the ETSI Web server (<http://ipr.etsi.org>).

Pursuant to the ETSI IPR Policy, no investigation, including IPR searches, has been carried out by ETSI. No guarantee can be given as to the existence of other IPRs not referenced in ETSI SR 000 314 (or the updates on the ETSI Web server) which are, or may be, or may become, essential to the present document.

Foreword

This Technical Report (TR) has been produced by ETSI 3rd Generation Partnership Project (3GPP).

The present document may refer to technical specifications or reports using their 3GPP identities, UMTS identities or GSM identities. These should be interpreted as being references to the corresponding ETSI deliverables.

The cross reference between GSM, UMTS, 3GPP and ETSI identities can be found under <http://webapp.etsi.org/key/queryform.asp>.

Contents

Intellectual Property Rights	2
Foreword.....	2
Foreword.....	4
1 Scope	5
2 References	5
3 Abbreviations	5
4 General	5
5 Specific implementation on the radio interface.....	6
5.1 MS behaviour during one phase contention resolution	6
5.1.1 Justification	6
5.1.2 Solution.....	6
5.1.3 Implementation requirements	6
5.1.4 Support of Legacy mobiles	6
5.2 Roaming	6
5.2.1 Justification	6
5.2.2 Solution.....	7
5.2.3 Implementation requirements	7
5.2.4 Support of Legacy mobiles	7
5.3 Early Classmark Sending on PBCCH cell.....	7
5.3.1 Justification	7
5.3.2 Solution.....	7
5.3.3 Implementation requirements	7
5.3.4 Support of Legacy mobiles	8
5.4 Conditions for IOV reset	8
5.4.1 Justification	8
5.4.2 Solution.....	8
5.4.3 Implementation requirements	8
5.4.4 Support of Legacy mobiles	8
5.5 Two-message packet downlink assignment on CCCH.....	8
5.5.1 Justification	8
5.5.2 Solution.....	8
5.5.3 Implementation requirements	9
5.5.4 Support of Legacy mobiles	9
5.6 Negotiation of SNDCP compression entities	9
5.6.1 Justification	9
5.6.2 Solution.....	9
5.6.3 Implementation requirements	9
5.6.4 Support of Legacy mobiles	9
Annex A: Change history	11
History	12

Foreword

This Technical Specification has been produced by the 3rd Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of the present document, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

Version x.y.z

where:

- x the first digit:
 - 1 presented to TSG for information;
 - 2 presented to TSG for approval;
 - 3 or greater indicates TSG approved document under change control.
- y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.
- z the third digit is incremented when editorial only changes have been incorporated in the document.

1 Scope

This report describes issues relating to the GPRS specifications, which lead to the specifications being modified after the placement of GPRS compliant mobiles into the market place.

Where possible the present report clarifies any recommended measures which may be adopted by the GPRS infrastructure to enable interworking to be obtained between the GPRS infrastructure and legacy Mobile Station (MS) implementations of the GPRS specifications.

For each issue this report also defines the time after which all new GPRS mobiles are required to meet the modified specifications.

The lifetime of the herein described measures together with their potential impact on optimal network performance is out of the scope of the present document.

2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

[1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".

3 Abbreviations

Abbreviations used in the present document are listed in 3GPP TR 21.905 [1].

4 General

In the implementation of the GPRS standard it has been found that some aspects of GPRS have not been fully covered and as a result late changes have been made to the specifications.

This has led to the situation where existing GPRS mobiles (i.e. those already in the field) no longer aligned with the latest version of the specifications. These "legacy" mobiles will not be modified; however, where possible the present report clarifies any recommended measures which may be adopted by the GPRS infrastructure to enable interworking to be obtained between the GPRS infrastructure and these legacy Mobile Station (MS).

In addition and to ensure sufficient time for implementation and testing of modified GPRS implementation (MS and network) this TR specifies the point in time at which the specification modification come into force. After this point in time all new GPRS mobiles will be required to support the modified specification and all GPRS networks will be expected to handle the new mobile behaviour correctly.

The remainder of this TR describes how to overcome the possible impacts of the above factors.

5 Specific implementation on the radio interface

5.1 MS behaviour during one phase contention resolution

5.1.1 Justification

It has been found that in some instances the one phase contention resolution procedure leads to interoperability issues.

5.1.2 Solution

To avoid interoperability issues, TSG GERAN#3 agreed on the following mobile station behaviour during the contention resolution phase (CR 04.60-A952):

- 1 A mobile station shall accept a Packet Uplink Assignment addressing it by the uplink TFI or TLLI; the mobile station shall act on this message according to the procedures defined in packet transfer mode during operation on an uplink TBF. If a valid RRBP field is received as part of this message, the mobile station shall transmit a Packet Control Acknowledgment in the block specified in uplink.
- 2 A mobile station may act on the other non-distribution messages addressing the mobile station with the TFI or TLLI, and may transmit a Packet Control Acknowledgment when a valid RRBP field is received in downlink RLC/MAC control blocks
- 3 A mobile station shall not answer to a polling request received in a Packet Uplink Ack/Nack message in case it includes a TLLI addressing another mobile station; then an ambiguous word is deleted in 3GPP TS 04.60 sub-clause 10.4.5.
- 4 To prevent a mobile station receiving downlink data intended to another mobile station, it is required that a mobile station in contention resolution phase shall not accept a PACKET DOWNLINK ASSIGNMENT nor a PACKET TIMESLOT RECONFIGURE message, whatever the address used in these assignment messages.

5.1.3 Implementation requirements

All new GPRS mobiles shall support the basic contention resolution procedure (covered by points 1, 2, and 3) as soon as the relevant specification (GSM 04.60 v6.12.0) is published by 3GPP.

All new GPRS mobiles shall support the complete contention resolution procedure (covered by points 1, 2, 3, and 4) within four months of the relevant specification (GSM 04.60 v6.12.0) being published by 3GPP.

5.1.4 Support of Legacy mobiles

In order to force the rejection of occasional LLC frames that may be received by the wrong mobile station, ciphering needs to be applied. This will cause an LLC frame that is received by the wrong mobile station to be rejected by the receiving LLC entity.

5.2 Roaming

5.2.1 Justification

It has been found that where two operators have a CS roaming agreement but no GPRS roaming agreement the GPRS specifications cause the user's SIM to be 'invalidated for GPRS service'.

This means, for example, that a user roaming from his home network into a visited network and back to his home network will see an inconsistent level of GPRS service. In other words a service which was working (before the user roamed) will no longer be working even though the user has returned to the original network which provided GPRS service.

5.2.2 Solution

To avoid the SIM being invalidated unnecessarily TSG CN#11 agreed to a modification to the GPRS specifications, which allows a network to reject a mobile's GPRS Attach request with a new GMM cause code "GPRS services not allowed in the current PLMN".

The new rejection cause value "GPRS services not allowed in this PLMN" (#14) can be indicated to the MS during GPRS attach, detach and RAU in a PLMN which does not offer GPRS roaming to that MS. When an MS receives this cause code it shall not attempt a new GPRS attach before entering a new PLMN on which it hasn't be rejected with the same cause after the last switch on.

In order to memorise the PLMNs on which the MS has been rejected with #14, a new PLMN list is introduced, which should be deleted when the MS is switched off. The list is introduced in order to avoid subsequent registration attempts if either the MS (in class C mode), or the user (in class A/B mode) triggers a PLMN reselection after reception of #14.

5.2.3 Implementation requirements

All new GPRS mobiles shall support the handling of the new cause value within four months of the relevant specification (04.08 v6.14.0) being published by 3GPP.

5.2.4 Support of Legacy mobiles

To restore the SIM to proper operation for GPRS service the user needs to power off and on the mobile. As defined by the specifications, this resets the SIM to its original state and removes the information indicating that the SIM is invalid for GPRS service.

The use of cause value #14 (or any other cause value which is not defined in the reference specification of the MS), towards some of the roaming legacy mobiles which were implemented prior to the date laid down in 5.2.3, operating in a network using Network Mode of Operation I (NMO I), may lead to those legacy mobiles not receiving service (see 04.08 subclauses 4.2.4.2.2 and 4.7.3.1.5). This means that the behaviour of some roaming legacy mobiles may be unpredictable in NMO I if cause value #14 is used towards those legacy mobiles.

5.3 Early Classmark Sending on PBCCH cell

5.3.1 Justification

It was found that the Early Classmark Sending Capability (ECSC) bit is present on BCCH in SI3 but is not present on PBCCH.

If the MS considers that early classmark sending is not allowed by the network, it will not spontaneously send a CLASSMARK CHANGE message when a call is initiated, and the Classmark 3 IE will not be received by the network. This may result in that the MS will not receive expected service from the network based on actual MS capabilities.

Three different mobile implementations have been identified for a GPRS R97 mobile on a PBCCH cell:

- a) The MS systematically reads SI3 on BCCH and uses the ECSC value,
- b) When the MS does not read SI3, it systematically sends early classmark message,
- c) When the MS does not read SI3, the MS never sends early classmark message.

5.3.2 Solution

TSG GERAN#07 has agreed that the three mobile implementations are acceptable for a GPRS R97 MS.

5.3.3 Implementation requirements

The systematic reception of an early CLASSMARK CHANGE message shall be supported by the BSS, even though the BSS may ignore the content of the message.

5.3.4 Support of Legacy mobiles

The network may initiate a classmark interrogation procedure (see 3GPP TS 04.08) to get the Classmark 3 information from the MS.

5.4 Conditions for IOV reset

5.4.1 Justification

It was found that the term "change of Kc" used in 3GPP TS 04.64 sec. 8.9.2 was interpreted differently.

Two different mobile implementations have been identified for a GPRS R98 mobile for the case that the network uses the same authentication triplets twice:

- a) the MS does not reset the IOV value to its default value, but keeps the current value;
- b) the MS resets the IOV value to its default value.

5.4.2 Solution

TSG-CN1 Meeting #21 has agreed that only the behaviour described in 5.4.1 a) is correct and has clarified this behaviour in the corresponding CR 04.64 A155.

5.4.3 Implementation requirements

All new GPRS mobiles shall support the correct behaviour of the IOV handling described above within four months of the relevant specification (04.64 v7.4.0) being published by 3GPP.

5.4.4 Support of Legacy mobiles

After the assignment of the same Kc value, in order to avoid different IOV values in the SGSN and the legacy mobile station, the SGSN may negotiate a random IOV value, after the authentication procedure is completed.

5.5 Two-message packet downlink assignment on CCCH

5.5.1 Justification

It has been found that the "downlink" bit in the *Dedicated Mode or TBF* information element has been implemented differently.

The *Dedicated Mode or TBF* information element is used in the IMMEDIATE ASSIGNMENT message on CCCH. The "downlink" bit is significant at a packet downlink assignment using this message. If the mobile station has received a first IMMEDIATE ASSIGNMENT message where the *Dedicated Mode or TBF* information element indicates that this is the first message in a two-message assignment (3GPP TS 04.08), two different implementations have been identified for a GPRS R98 mobile station:

- a) The mobile station expects a second IMMEDIATE ASSIGNMENT message with the "downlink" bit set to "0" in the *Dedicated Mode or TBF* information element.
- b) The mobile station expects a second IMMEDIATE ASSIGNMENT message with the "downlink" bit set to "1" in the *Dedicated Mode or TBF* information element.

In each case, if there is no second IMMEDIATE ASSIGNMENT message received with the "downlink" bit set to the expected value, the two-message assignment procedure fails.

5.5.2 Solution

TSG GERAN meeting #12 has agreed that the two implementations are acceptable for a GPRS R98 mobile station.

5.5.3 Implementation requirements

Not applicable to GPRS R98.

5.5.4 Support of Legacy mobiles

The network may avoid using the two-message assignment procedure for packet downlink assignment. If frequency hopping shall be applied and the *direct encoding* of the frequency parameters does not fit into a single IMMEDIATE ASSIGNMENT message, the network may use *indirect encoding* of the frequency parameters.

5.6 Negotiation of SNDCP compression entities

5.6.1 Justification

It has been found that the negotiation of SNDCP compression entities with unknown algorithm type described in 3GPP TS 44.065 subclause 6.8 was interpreted differently up to and including version 6.2.0 of the TS.

According to 3GPP TS 44.065 subclauses 6.8.1 and 6.8.2, an SNDCP entity can accept a compression entity proposed by its peer SNDCP entity by not including it in the responding SNDCP XID block.

On the other hand, according to subclause 6.8.3, exception handling:

"In this subclause, the term "parameter" may refer, wherever applicable, to an SNDCP XID parameter, a compression field (for parameter type 1 or 2), or a parameter for a compression field. If the originating SNDCP XID block includes a parameter with unrecognised Type field, the parameter shall be ignored by the responder."

Some manufacturers have interpreted the above requirement in such a way that it applies also to the parameter "algorithm type" in the SNDCP XID parameters "protocol control information compression" and "data compression".

With such an interpretation, in some scenarios the SNDCP entity originating the XID negotiation cannot decide whether the peer SNDCP entity did not respond to a proposed compression entity in order to accept it or because it did not know the algorithm and therefore ignored the proposed compression entity.

In particular, some R97/98 MS implementations ignore a proposal for a protocol control information compression with algorithm type RFC2507, because this algorithm was only introduced in R99. Since the MS does not explicitly reject the proposed compression entity for RFC2507, a R99 SGSN following subclauses 6.8.1 and 6.8.2 may assume that the MS has accepted the proposal and will start using this compression entity. The MS will then discard the downlink packets compressed by the SGSN with RFC2507.

5.6.2 Solution

TSG-CN #25 approved the CR 015r2 to 3GPP TS 44.065 for Rel-4 and CR 016r2 from Rel-5 onwards. These CRs mandate explicit rejection of non-supported compression algorithms to remove the ambiguity.

5.6.3 Implementation requirements

All new GPRS mobile stations shall support the explicit rejection of non-supported compression algorithms as described above within four months of the relevant specification being approved by 3GPP.

NOTE: This subclause was added in the present document in TSG-GERAN meeting #24 in April 2005.

5.6.4 Support of Legacy mobiles

For the algorithm RFC2507 which was introduced in R99, the SNDCP entity in the network originating the XID negotiation can resolve this ambiguity by evaluating the revision level of its peer entity (revision level in the MS network capability IE), since a R99 implementation cannot treat RFC2507 as unknown compression algorithm. To this purpose the SNDCP entity in the network needs to be informed about the revision level of the mobile station.

If the mobile station uses SNDCP version 0 and does not explicitly reply to the proposal for a compression entity, and the revision level of the mobile station is

- 'GSM phase 2':

the SGSN may assume that the entity was rejected, if the proposed algorithm was introduced in R99 or later. If the algorithm was introduced before R99, the network shall proceed as specified in TS 44.065;

- 'R99 or later':

the SGSN may assume that the entity was rejected, if the proposed algorithm was introduced in Rel-4 or later. If the algorithm was introduced in R99 or earlier, the network shall proceed as specified in TS 44.065.

Annex A: Change history

Change history							
Date	TSG GERAN#	TSG Doc.	CR	Rev	Subject/Comment	Old	New
2001-04	04	GP-010917			Version for Release 1998		7.0.0
2001-11	07	GP-012829	A004	1	Support of Early Classmark Sending by an PBCCH capable cell	7.0.0	7.1.0
2002-02	08	GP-020402	A006		Conditions for IOV reset	7.1.0	7.2.0
2002-12	12	GP-023241	A008	2	Use of Cause #14 in networks using NMO I	7.2.0	7.3.0
2002-02	12	GP-023243	A010	1	Two-message packet downlink assignment on CCCH	7.2.0	7.3.0
2005-04	24	GP-050824	003		Negotiation of SNDCP Compression Entities	7.3.0	6.0.0
2007-08	35				Version for Release 7	6.0.0	7.0.0
2008-12	40				Version for Release 8	7.0.0	8.0.0
2009-12	44				Version for Release 9	8.0.0	9.0.0
2011-03	49				Version for Release 10	9.0.0	10.0.0
2012-09	55				Version for Release 11	10.0.0	11.0.0

History

Document history		
V11.0.0	October 2012	Publication