ETSI TR 138 774 V19.0.0 (2025-10)



5G; Radio Frequency (RF) requirements for Low-Power Wake-up Signal and Receiver (3GPP TR 38.774 version 19.0.0 Release 19)



Reference DTR/TSGR-0438774vj00 Keywords 5G

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can indicates that something is possiblecannot indicates that something is impossible

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will indicates that something is certain or expected to happen as a result of action taken by an agency

the behaviour of which is outside the scope of the present document

will not indicates that something is certain or expected not to happen as a result of action taken by an

agency the behaviour of which is outside the scope of the present document

might indicates a likelihood that something will happen as a result of action taken by some agency the

behaviour of which is outside the scope of the present document

might not indicates a likelihood that something will not happen as a result of action taken by some agency

the behaviour of which is outside the scope of the present document

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is (or any other verb in the indicative mood) indicates a statement of fact

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1 Scope

The present document is a Technical Report for Rel-19 Radio Frequency (RF) requirements for Low-Power Wake-up Signal and Receiver (LP-WUS/WUR). The purpose is to gather the relevant background information and analysis to address RF requirements for LP-WUS/WUR.

This TR contains discussions and agreements for LP-WUS/WUR RF. The actual RF requirements are added to the corresponding technical specifications.

2 References

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- [1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".
- [2] 3GPP TR 38.869: "Study on low-power Wake-up Signal and Receiver for NR".
- [3] 3GPP TS 38.101-1: "NR; User Equipment (UE) radio transmission and reception; Part 1: Range 1 Standalone".
- [4] 3GPP TS 38.101-2: "NR; User Equipment (UE) radio transmission and reception; Part 2: Range 2 Standalone"
- [5] 3GPP TS 38.104: "NR; Base Station (BS) radio transmission and reception".

3 Definitions of terms, symbols and abbreviations

3.1 Terms

For the purposes of the present document, the terms given in TR 21.905 [1] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in TR 21.905 [1].

Main radio (**MR**): the Tx/Rx module operating for NR signals/channels apart from signals/channel related to low-power wake-up.

LP-WUR (LR): The Rx module operating for receiving/processing signals/channel related to low-power wake-up.

LP-WUS power boosting: difference between the average power of LP-WUS REs (which occupy certain REs within a NR transmission bandwidth configuration) and the average power over all REs (from both LP-WUS and the NR carrier containing the LP-WUS REs)

3.2 Symbols

For the purposes of the present document, the following symbols apply:

<symbol> <Explanation>

N_{RB} Transmission bandwidth configuration, expressed in units of resource blocks

N_{RB,LP-WUS} Number of RBs for LP-WUS

3.3 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [1].

<ABBREVIATION> <Expansion>

ACS Adjacent Channel Selectivity
ADC Analog to Digital Converter
ASCS Adjacent Subcarrier selectivity

BB Base Band
BLER Block Error Rate
BPF Band Pass Filter
BS Base Station

CFO Center frequency offset FAR False Alarm Rate FR1 Frequency range 1 Frequency range 2 FR2 In-channel Selectivity **ICS** Intermediate Frequency IF LP-WUS Low Power-Wake Up Signal LP-WUR Low Power-Wake Up Receiver Low Power- Synchronization Signal LP-SS

LO Local Oscillator
LNA Low Noise Amplifier
LPF Low Pass Filter
LR LP-WUR

MDR Miss Detection Rate

MR Main Radio
NF Noise Figure
OOB Out-of-band
OOK On-Off keying

OFDM Orthogonal Frequency Division Multiplexing

RE Resource Element
REFSENS Reference Sensitivity
RF Radio Frequency
SCS Subcarrier spacing

SINR Signal to Interference plus Noise Ratio

SNR Signal to Noise Ratio
UE User Equipment
Tx Transmitter

4 Background

The present document is a technical report for Rel-19 Radio Frequency (RF) requirements for Low-Power Wake-up Signal and Receiver (LP-WUS/WUR). It covers RF requirements analysis for both UE and BS side. Some initial analysis of RF aspects for LP-WUS/WUR were captured in TR 38.869 [2].

5 System parameters

5.1 General

The channel arrangements presented in this clause for LP-WUS are based on the operating bands and channel raster defined in sub-clause 5.2 and 5.3 below.

5.2 Operating bands

LP-WUS is designed to operate in the operating bands defined in Table 5.2-1 of TS 38.101-1 [3] and TS 38.101-2 [4], excluding bands n46, n47, n96, n102 and SDL bands.

5.3 Channel raster

The PRB grid between LR and MR is aligned and the LP-WUS RBs can be flexibly allocated within the wider NR carrier. How to make sure the RB alignment between LP-WUS RB and MR RB grid is aligned is UE implementation specific.

6 Simulation assumptions and evaluation

6.1 Performance metric

For RF requirements and conformance testing, the performance metric is 1% MDR. Meanwhile, the FAR assumption for MDR evaluation is </=1%.

6.2 Simulation assumption

6.2.1 General

To evaluate the RF performance of LP-WUS/WUR, the link level simulation is performed in this WI. This clause captures the simulation assumption for LP-WUS UE RF and BS RF analysis.

6.2.2 Simulation parameters

For RF performance analysis, the following simulation assumptions are considered.

Table 6.2.2-1 General Link level simulation assumption for FR1 LP-WUR

| Attributes | Assumptions | | | | | | |
|--------------------------------------|--|--|--|--|--|--|--|
| Waveform | OOK-4 waveform | | | | | | |
| Center frequency | 900MHz, 2.6GHz and 3.5GHz | | | | | | |
| Channel structure | 5 bits | | | | | | |
| RM coding | 8/16/32bit for OOK | | | | | | |
| CRC | NO | | | | | | |
| Chip rate | M=4 | | | | | | |
| overlaid OFDM sequence | 4 candidates overlaid sequences for M=4 | | | | | | |
| WUS duration | OOK: RM coding length*2/M OFDM: ceil(5/log2(4)/(M/2)) | | | | | | |
| Coding | 1/2 rate Manchester coding | | | | | | |
| Time error | 0/0.9 us | | | | | | |
| Residual Frequency error | 0/10/20 ppm | | | | | | |
| SCS | 30kHz | | | | | | |
| UE Channel BW | 20MHz (51 RB)-case 1 10MHz (24 RB)-case 2 5MHz (11 RB)-case 3 | | | | | | |
| WUS RB | Fixed 11RB ~ 3.96MHz for 10MHz and 20MHz cases | | | | | | |
| Position within channel | For 10/20MHz CBW, Center for ASCS, edge for ACS [assume no ASCS impact] For 5MHz CBW, fixed center of channel | | | | | | |
| Guardband of NR channel, both wanted | For wanted signal: 505kHz for 5MHz, 665kHz for 10MH, 805kHz for 20Mhz For interference cell2 5MHz: fixed 505kHz | | | | | | |

| cell and interfer cell (ACS) | |
|------------------------------|--|
| Guard RB | For ASCS: 0 or 1RB on each side of LP-WUS bandwidth For ACS: 1/2/3/4 RB |
| Filter | 3th/5th Order lowpass Butterworth matching fixed 3.96MHz RF bandwidth for 10MHz/20MHz case - Other order lowpass filter is not precluded The filter bandwidth is adapted with actual WUS RBs, for 5MHz case |
| ASCS | PDSCH mapped on RBs not used for LP-WUS and Guard RB; EPRE of PDSCH /EPRE of LP-WUS = 0 dB Same PSD with WUS signal |
| ACS | PDSCH mapped on interference RBs (11RB for 5MHz CBW), one side; EPRE of PDSCH /EPRE of in-band LP-WUS = [20~33] dB NOTE: decide the interference level depending on SNR |
| Wanted signal level | For ACS, REFSENS + 14 dB for LP-WUS as baseline, different wanted power level can be also considered |
| Sampling rate | 7.68MHz |
| ADC bit width | 4/8 bits ADC for ASCS/ACS Encourage companies to provide simulation results with both options for comparison |
| Phase noise | Reciprocal mixing can be optionally considered for ASCS/ACS. Note that actual implementation choice of phase noise is driven by jammer requirement and power consumption target. |
| Non-linearities | Not modelled |
| Power boosting | EPRE ratio: 0dB/3dB for OOK-1/OOK-4 NOTE: 3dB is optional for simulation |
| Channel Model | AWGN |
| Performance metric | 1% MDR false alarm rate 1% as side condition |
| Note: The detailed | Receiver algorithm is UE implementation . |

Table 6.2.2-2 General Link level simulation assumption for FR2 LP-WUR

| Attributes | Assum | Notes | |
|---|---|------------------------------------|--|
| Case name (waveform) | OOK-1 waveform | OOK-4 waveform | |
| Center frequency | 2430 | n258 example band | |
| WUS structure | Total 8/1 | (placeholder for RAN1 decision) | |
| Chip rate | M=1 | M=1/2/4 | |
| Coding | 1/2 rate Mand | chester coding | |
| Time error | up to UE imp | plementation | Difficult to separate time and frequency errors in realistic receivers |
| Residual Frequency error | 0/10/2 | 20 ppm | |
| SCS | 120 | | |
| UE Channel BW | 200MHz (13: 100MHz (66 | | |
| WUS RB | Fixed | Based on agreement in RAN1#118-Bis | |
| Position within channel | Center for ASCS, edge for imp | | |
| Guardband of NR channel, both wanted cell and interfer cell (ACS) | Refer to 5.3.3 | | |
| Guard RB | For ASCS: 0 or 1RB or band For ACS: | | |
| Filter | 3th/5th Order low | | |

| | Other order lowpass filter is not precluded | |
|---------------------|--|--|
| ASCS | PDSCH mapped on RBs not used for LP-WUS and Guard RB; EPRE of PDSCH /EPRE of LP-WUS = 0 dB Same PSD with WUS signal | |
| ACS | PDSCH mapped on interference RBs (11RB for 5MHz CBW), one side; NOTE: decide the interference level depending on SNR | |
| Wanted signal level | For ACS, REFSENS + 14 dB for LP-WUS | |
| Sampling rate | TBD | |
| ADC bit width | 4/8 bits ADC for ASCS/ACS Encourage companies to provide simulation results with both options for comparison | |
| Phase noise | FFS | |
| Non-linearities | Not modelled | |
| Power boosting | 0dB/3dB for OOK-1/OOK-4 NOTE: 3dB is optional for simulation | |
| Channel Model | Option 1: TDL-C 100 Option 2: AWGN Note: encourage companies to provide simulation results with both options | The procedure for using CDL channel models in demod analysis is not fully established. |

6.3 Simulation evaluation results

< Editor's note: simulation results can be added further, if needed>

6.3.1 General

To evaluate some RF performance, link level simulations are performed to collect analysis input.

6.3.2 SNR simulations

In this sub-clause, SNR simulation results from different companies are collected for analysis of target SNR for LP-WUR.

Table 6.3.2-1 SNR simulation summary for FR1 Envelop-detection LP-WUR (OOK-4 M=4)

| Companies Input | SNR | RM coding | Timing error | Note |
|--------------------|-------------------|------------------|--------------|---|
| A | -6 to -2dB | 32/ 16 /8 | 4us | -2.15 dB (8 bit), -4.63 dB (16 bit), -5.94 dB (32 bit) |
| В | -7 to -3dB | | 0us | 5th order of lowpass Butterworth |
| С | -7.5 dB to -2.5dB | 32/ 16 /8 | 0us | simulated SNR with 4bit ADC or 8bit ADC is nearly the same |
| D | -7.1 to -2.5dB | 32/ 16 /8 | | OOK SNR: RM coding, 8bit with -2.5dB, 16bit with -5.3dB, 32bit with -7.1dB. |
| F | -7.7dB to -3.2dB | 32/ 16 /8 | 0/0.9us | 0.9us TE will introduce less than 1dB degradation |
| G | -9.2 to -5.9dB | | | 8bit with -5.9dB, 16bit with -7.5dB, 32bit with -9.2dB. |
| Н | -8.0 dB | 32 bits | | under 4us TE has no impact |
| I | -7.8 to -5.9 dB | 32/ 16 /8 | | Payload: 5 bits with RM, w/o MC |

Observations and Summary of SNR simulation outcome for envelop-detection receiver: most companies perform analysis based on RM coding of 8/16/32 bits, and majority views prefer to use 16bit RM coding as RMC and derive target SNR. The final target SNR is agreed as -4.5dB for LP-WUS envelop-detection.

SNR Companies Timing Note Input error no big difference for the applicable SNR for both OOK -4dB based and OFDM based signals -4dB В -5.5dB С -7.4dB D F -8.0dB OFDM-based receiver outperforms OOK-based receiver by 2.5~3dB SNR G -13.8 to -9.9dB Н -3.5dB

Table 6.3.2-2 SNR simulation summary for FR1 OFDM-based LP-WUR

Observations and Summary of SNR simulation outcome for OFDM-based receiver: There is no repetition for OFDM-based receiver, the simulated SNR from companies is not much far from the simulated performance of LP-WUS envelop-detection. With consideration of other impacted aspects, the final target SNR of OFDM-based receiver is also specified as -4.5dB.

6.3.3 ASCS simulations

In this sub-clause, ASCS simulation results from different companies are collected for analysis of in-band interference performance of LP-WUS. This is for information only, because actual implementation choice of filtering is driven by jammer requirements.

In SI phase, RAN4 reach the following conclusion for ASCS: Based on the following analysis, RAN4 observed that for 5th order filter, the guard RB number for LP-WUS ASCS is in the range of 0RB ~ 1RBs for 30KHz SCS, or 0RBs ~2RBs for 15KHz SCS. Similar number of guard RBs could be applied also with lower filter orders as performance was observed to remain similar with 3rd order filter.

In the WI phase, further detailed analysis is performed by companies, these analyses show a similar trend that the ASCS degradation is not that significant (0~1dB), even no guard RB is reserved.

Table 6.3.3-1 ASCS simulation summary for FR1 Envelop-detection and OFDM-based LP-WUR

| Companies | SNR | Guard | Phase | Note |
|-----------|-----------------|-------|---------------------|--|
| Input | degradation | RB | noise | |
| Α | nonnegligible | 1 | Considered | |
| В | negligible | 0 | N/A | the number of guard RB does not affect the MDR performance significantly |
| С | Less than 0.2dB | 0 | Considered | benefit of guard RB in ASCS case is minor |
| F | negligible | 0 | Considered | With 1 guard RB, there is minor improvement on the required SNR to achieve 1% BLER compared to 0 guard RB |
| Н | 1dB | 0 | WiFi phase noise | an approximately 1 dB of degradation in sensitivity level at MDR=1% for both AWGN channel and for the TDL-C300 channel |
| J | ~0.5dB | 0 | N/A | Maximum of 1 guard RB at each side |

6.3.4 ACS simulations

In this sub-clause, ACS simulation results from different companies are collected for analysis of ACS performance of LP-WUR.

Editor: Companies perform analysis of ACS performance of LP-WUR with different approaches, e,g., link-level simulation similar to ASCS analysis (with/without consideration of phase noise), or mathematic analysis with similar equation of MR interferer level and ACS.

7 RF requirements

7.1 UE RF

< Editor's note: Analysis background for UE RF requirements can be added further, if needed.>

7.1.1 General

The minimum requirements will be determined assuming there is only one receiver. A 1% MDR criterion will be used for verification of all core RF requirements. Following LP-WUS parameters will be used

Value **Parameter** Unit MR Channel bandwidth All CBW MHz LP-WUS bandwidth RB 11 15/30kHz Subcarrier spacing kHz RM coding Bits 16 CRC No CRC Chip rate M=4 (4 chips in an OFDM symbol) Length 33: generated by 31-length ZC sequence Overlaid OFDM sequence with extension Number of overlaid OFDM sequence per chip to carry 4 information 8 OFDM symbols WUS duration for OOK WUS duration for OFDM 2 OFDM symbols Manchester coding for OOK 1/2 Bits Number of information bits 5

Table 7.1.1-1. Common reference channel parameters

In the specification, tables will be defined corresponding to 15 kHz and 30 kHz SCS.

7.1.2 Rx SNR evaluations

To derive SNR performance of LP-WUS, it was agreed to select OOK-4 M=4 under AWGN channel model with 1% MDR without repetition as worst case.

7.1.3 Architecture and NF considerations

The basic architecture considered for the LP_WUR is a zero-IF architecture for both envelope and sequence based detectors. This assumption is common for both FR1 and FR2. This is just an assumption made to derive parameters which dictate the requirements. This assumption does not preclude any other RF implementations.

In case of FR1, only single RX is assumed. For FR2 requirement derivation, the baseline assumption is an OFDM based receiver with two receiver chains with mutually orthogonally polarized antennas.

In RAN4, there had been no consensus on what IM includes, and no agreement was achieved on individual values of NF and IM, so a joint number was agreed. There are two sets of NF + IM values agreed, and these will be used for REFSENS calculations. The values are,

- Set 1: 18 dB,

- Set 2: 13.5 dB.

The above mentioned numbers are for FR1.

7.1.4 REFSENS requirements

It was agreed to derive the REFSENS for LP-WUR in the typical RF manner with AWGN being the channel for which SNR is derived. Thus, the REFSENS for LR is defined as

$$REFSENS_{LR} (dBm) = -174 + 10log10(BW_{LR}) + (NF_{LR} + IM_{LR}) + SNR_{LR}.$$

Here, BW_{LR} corresponds to the bandwidth of the LP-WUS signal in hertz. RAN1 has decided to have 11 RBs for LP-WUS irrespective of SCS, thus the LP-WUS bandwidth will be 1.98 MHz and 3.96 MHz, for 15 and 30 KHz SCS, respectively. Further, there are two sets of values for $NF_{LR} + IM_{LR}$, i.e. 18.0dB and 13.5dB for Type 1 and Type 2 LP-WURs, respectively. There is only one Rx antenna port for LP-WUR so there is no diversity gain included in the REFSENS.

In the REFSENS test, only the RBs allocated for LP-WUS are populated with the LP-WUS and the rest of the RBs for a given channel bandwidth are left empty. This configuration, however, does not correspond to the real-life case where NR signals in other RBs are generally present and thus can create a coverage shortfall. Separately, the ASCS requirement allows a maximum degradation of 0.5 dB and the side conditions incorporate populated adjacent RBs.

Given the small value of the ASCS degradation factor (0.5 dB), it was agreed not to include this factor in the REFSENS.

For FR1, a SNR value of -4.5 dB for both Type 1 and Type 2 LP-WURs was selected by RAN4 to be used for the REFSENS equation.

To keep the specifications simpler and given the frequency and NF assumptions made, it was agreed to keep the REFSENS same for majority of bands, irrespective of the band to band variation. For some specific bands with F_{DL_low} higher than 2400 MHz and higher noise figure, there can be additional relaxation and that relaxation will be recorded in the specification.

7.1.5 ASCS requirements

Adjacent subcarrier selectivity (ASCS) is a measure of a receiver's ability to receive an LP-WUS signal at its configured channel frequency in the presence of adjacent in-band NR signal(s). The in-band LP-WUS and NR signal should be same PSD and be separated by a given frequency offset (guard RB) between LP-WUS and NR, and the NR signal occupies the remaining RB resources within the maximum transmission bandwidth configuration, excluding the LP-WUS RBs.

7.1.6 ACS requirements

It is agreed that the LR and MR has the same jammer level for ACS test case. The ACS of LR is derived using the below formular.

As an example, the conversion formula between the ACS and the interference signal levels can be written as

$$a N = N + I/ACS$$

$$ACS = I/\{(a-1)N\}$$

Where a is the margin of wanted signal level above reference sensitivity in linear unit; N is the noise floor in linear unit; I is the adjacent channel interference signal level expressed in linear unit, ACS is the adjacent Channel Selectivity expressed in linear unit.

Assuming the relaxing factor for MR is 11 dB, the MR inteferer level can be expressed with the formular below:

$$Intr fr_{MR} = ACS + N + A1 = ACS_{MR} + Thermal_{noise} + N_{MR} + 10 \log(N_{RB}) + 10 \log\left(10^{\frac{11}{10}} - 1\right)_{MR}$$

The relaxing factor for LR can be 14 dB, the LR inteferer level can be expressed with the formular below:

$$Intr fr_{LR} = ACS + N + A1$$

$$= ACS_{LR} + IM_{ACS} + Thermal_{noise} + N_{LR} + 10 \log \left(N_{RB,LPWUS}\right) + 10 \log \left(10^{\frac{14}{10}} - 1\right)_{LR}$$

With the equal jammer level of LR and MR, the ACS of LR is derived below

$$ACS_{LR} = NF_{MR} - NF_{LR} + 10\log\left(\frac{N_{RB}}{N_{RB,LPWUS}}\right) + ACS_{MR} + 10\log\left(\frac{10^{\frac{11}{10}} - 1}{10^{\frac{14}{10}} - 1}\right)$$
$$= NF_{MR} - NF_{LR} + 10\log\left(\frac{N_{RB}}{N_{RB,LPWUS}}\right) + ACS_{MR} - 3$$

7.1.7 In-Band Blocking

The blocking characteristic is a measure of the receiver's ability to receive a wanted signal at its assigned channel frequency in the presence of an unwanted interferer on frequencies other than those of the spurious response or the adjacent channels.

In the specification, the absolute interferer levels are adopted for both in-band blocking (IBB) and out-of-band blocking (OBB) for MR. IBB refers to interference that occurs within the second adjacent and next adjacent channels, while OBB occurs outside a frequency range 15 MHz below or above the UE receive band.

The main challenges for receiver design in presence of strong interferer are the Rx chain dynamic range as well as the filter suppression capability, which could be the channel filters to alleviate interfering by ACS or IBB, or could be the band filter/duplexer to suppress OBB.

Regarding the dynamic range, the main determining components are ADC and AGC. The more ADC bits, the larger dynamic range for the receiver. However, the ADC bits cannot be increased without limitation. The relationship between ADC bit depth and power consumption is typically inversely proportional. Higher bit-depth ADCs generally offer greater precision and resolution but tend to consume more power due to the increased complexity of circuitry required for finer quantization and digitalization. Conversely, lower bit-depth ADCs consume less power but sacrifice resolution and dynamic range.

In the context of 5G smartphones, ADCs with 12 bits or higher are commonly employed. These higher precision ADCs are favoured to accommodate the demanding requirements of 5G communication, such as higher data rates and broader spectrum ranges. While some 5G smartphones may opt for even higher bit-depth ADCs like 14 or 16 bits for enhanced performance, the general trend revolves around 12 bits.

However, there's a trade-off to consider. LP-WUR which aims to minimize power consumption in smartphones, often utilize lower bit-depth ADCs to reduce power consumption. While this approach yields power-saving benefits, it may compromise the dynamic range and overall performance of the ADC. During the SI evaluation, 4 bits, 6 bits and 8 bits are considered for LLS simulation. Apparently, if low power consumption is the main target for LP-WUR design, it may not be possible that a LP-WUR could adopt the same ADC as MR, which means the dynamic range for LP-WUR could be sacrificed to some extent.

Apart from ADC, the dynamic range of AGC is another main factor which could have impact on the possibly large interferer handled by LP-WUR. On the other hand, due to the limited suppression capability of the LP-WUR filters, it may not guarantee the same level of resistance against IBB and OBB interference as the main receiver (MR). Or if the same interference levels are kept as MR, the wanted signal for blocking requirements should be relaxed more instead.

7.1.8 Out-of-Band Blocking

For out-of-band blocking (OBB), with suppression of the out-of-band interferer by band filter and the analog filter before ADC, the blocking could be suppressed to relatively low level even with less dynamic range of LR, unless band filter is not considered for better coverage with less insertion loss for LP-WUR design.

7.1.9 Narrow Band Blocking

Narrow Band Blocking (NBB), it was initially considered to address coexistence scenarios with GSM at a 200 kHz frequency offset, inherited from LTE for NR. Given the close offset to the desired signal, NBB can significantly degrade REFSENS, particularly if the phase noise of the interferer is poor.

7.1.10 Max Input Level

It is agreed that a single maximum input power level is specified for all channel bandwidths. It is also agreed to introduce two test cases. One test case without the NR signal and the other one NR signal with the same PSD. This is to make sure the LR operate in the presence of the NR signal near the cell centre.

7.1.11 Other Rx requirements

For other Rx requirements for LP-WUR, only spurious emissions is defined for LP-WUR because this is a regularity requirement.

The spurious emissions power is the power of emissions generated or amplified in a receiver that appear at the UE antenna connector. For receiver, the emissions at the antenna connector usually come from the reverse LO leakage. As illustrated in Figure 7.1.11-1 marked with the green line, the LO leakage ends at the antenna or antenna connector. The main LO frequency will dominate in-band spurious emissions, and the harmonic will dominate out-of-band spurious emissions. In a design with potential low isolation from LO to RF input, an LNA is required to attenuate the in-band spurious, and a band pass filter is required to ensure that out-of-band spurious is suppressed. Since the emissions could be considered as kind of regulatory requirements, the same levels for MR should also be defined for LR. Namely, the spurious emissions as specified in clause 7.9 in TS38.101-1 still applies.

Direct conversion/hetrodyne receiver

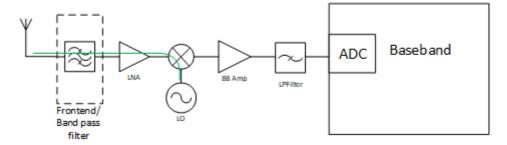


Figure 7.1.11-1 Illustration of a DC receiver

7.2 BS RF

7.2.1 General

LP-WUS is transmitted within the NR channel bandwidth and occupies 11 consecutive RBs irrespective of channel bandwidth and SCS. The discussions for the BS RF requirements by introduction of LP-WUS are included in the following sub-clauses.

7.2.2 LP-WUS power boosting

Two approaches to define the LP-WUS power boosting are discussed, e.g., legacy dynamic range similar to NB-IoT power boosting and EPRE ratio. The definitions for these two approaches are:

For legacy dynamic range similar to NB-IoT power boosting, the LP-WUS power boosting is defined as the difference between the average power of LP-WUS REs (which occupy certain REs within a NR transmission bandwidth configuration) and the average power over all REs (from both LP-WUS and the NR carrier containing the LP-WUS REs).

For EPRE ratio, the LP-WUS power boosting is defined as the difference between the average power of LP-WUS REs (which occupy certain REs within a NR transmission bandwidth configuration) and the average power over NR REs (the NR carrier excluding the LP-WUS REs).

The above two approaches are mathematically related, and the specific analysis can be found in R4-2407547 and R4-2419482.

RAN4 decides to adopt legacy dynamic range similar to NB-IoT power boosting. The declaration on the support of LP-WUS and support of LP-WUS power boosting should be separate. The minimum power boosting level should be included in the BS manufacturer declaration table, but not defined as a minimum requirement.

7.2.3 Regulation relevant spectrum requirements

For transmitted signal with LP-WUS and NR in the same carrier, existing NR regulation relevant spectrum requirements, e.g. spectrum emission mask, spurious emission, are applied.

7.2.4 Transmitted signal quality

It is agreed that overlaid OFDM sequence is designed for LP-WUS and the sequence is ZC sequence. This applies in the time domain before the DFT transform for both OOK-1 and OOK-4. RAN1 also agrees on the processing for the OOK signal, and this is illustrated in Figure 7.2.4-1. After the DFT transform, the OOK-4 frequency domain pattern is illustrated in Figure 7.2.4-2.

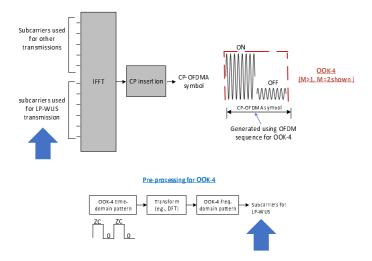


Figure 7.2.4-1: OOK-4 signal processing and subcarrier mapping before IFFT

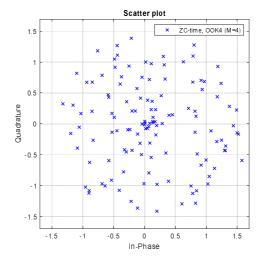


Figure 7.2.4-2: ZC sequence in frequency domain after DFT processing before IFFT

7.2.5 Other RF requirements

It has been agreed that the BS unwanted emission, and receiver requirements if applicable, in the current release of the specifications should also be applied for LP-WUS.

8 Testability

8.1 Testability for UE Performance verification

8.1.1 General Framework

It has been agreed that same LP-WUS RF requirements will apply to all RRC states, but only one RRC state needs to be tested. It is agreed to verify LP-WUR based on 1% MDR of LP-WUS which can be tested based on UE's response to the NW/TE upon successfully detecting the LP-WUS (e.g. ACK/NACK in CONNECTED state or MSG1/3 in IDLE state or other methods). The ultimate test method including the potential test mode will be decided by RAN5 based on RAN4 input.

For demodulation requirements FAR will also be used.

8.1.2 Other

The RAN4 UE RF requirements for LP-WUS detection requires long test time due to the usage of MDR performance metric. Therefore, it is necessary to consider test time reduction aspects in testability.

- The LR requirements are applicable for various MR configurations. To verify LR performance, it is not necessary to test all the combinations between LR and MR. RAN4 recommends that LR can be tested with limited set of MR parameters.
- For the case when LR supporting both OOK and OFDM waveforms, test case reduction can be considered.
- For FR2, the LR and MR are assumed to share the same Rx chain so the peak direction for LR is agreed to be the same as legacy Rx beam peak direction for MR.

Annex A (informative): Change history

| Change history | | | | | | | |
|----------------|-----------------|------------|----|-----|-----|---|-------------|
| Date | Meeting | TDoc | CR | Rev | Cat | Subject/Comment | New version |
| 2024-10 | RAN4#112 bis | R4-2415778 | | | | draft TR skeleton | 0.0.0 |
| 2024-11 | RAN4#113 | R4-2418215 | | | | TR skeleton | 0.0.1 |
| 2024-11 | RAN4#113 | R4-2418234 | | | | Updated structure R4-2420392 TP to TR 38.774 on Clause 5 (System parameters) | 0.1.0 |
| 2025-02 | RAN4#114 | R4-2500753 | | | | R4-2420393 TP to TR 38.774 on LP-WUS R4-2501381 TP for TR 38.774 on LP-WUS R4-2503027 TP to TR 38.774 on LP-WUS R4-2500556 TP to TR 38.774 on regulation relevant spectrum | 0.2.0 |
| 2025-04 | RAN4#114 bis | R4-2503830 | | | | requirements (Clause 7.2.3) R4-2505236 TP for TR 38.774 on LP-WUS R4-2505148 TP to TR 38.774 on Clause 5 (System parameters) R4-2505149 TP to TR 38.774 on LP-WUS R4-2504743 TP to TR 38.774 on LP-WUS power boosting (Clause 7.2.2) | 0.3.0 |
| 2025-05 | RAN4#115 | R4-2506881 | | | | R4-2508089 TP for TR 38.774 on LP-WUS R4-2508090 TP to TR 38.774 on testability of LP-WUR R4-2508117 TP to TR 38.774 on LP-WUS UE RF Simulations R4-2508739 TP to TR 38.774 on LP-WUS BS RF general part (Clause 7.2.1) R4-2508769 TP to TR 38.774: Other BS RF requirements for Low-Power Wake-up Signal and Receiver R4-2508770 TP to TR38.774: BS RF requirement overview for LP-WUS | 0.4.0 |
| 2025-08 | RAN4#116 | R4-2510292 | | | | R4-2511876 TP for TR 38.774 on LP-WUS R4-2511881 (Clause 7.1.9) R4-2511872 TP to TR 38.774 on LP-WUS UE RF General part R4-2511873 TP to TR 38.774 on LP-WUS UE RF General part R4-2511429 TP for TR 38.774 WUR RF requirement of ACS R4-2511879 TP for TR 38.774 WUR RF requirement of LP-WUR R4-2511431 TP for TR 38.774 WUR RF requirement of Maximum input level R4-2511882 TP to TR 38.774 on testability for LP-WUR | 0.5.0 |
| 2025-09 | RAN#109 | RP-252465 | | | | For one-step approval in RAN plenary | 1.0.0 |

| | Change history | | | | | | | | |
|---------|----------------|------|----|-----|-----|--|-------------|--|--|
| Date | Meeting | TDoc | CR | Rev | Cat | Subject/Comment | New version | | |
| 2025-09 | RAN#109 | | | | | Approved by plenary – Rel-19 spec under change control | 19.0.0 | | |

History

| Document history | | | | | | | |
|----------------------------------|--|--|--|--|--|--|--|
| V19.0.0 October 2025 Publication | | | | | | | |
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