ETSI TR 133 920 V7.1.0 (2006-06)

Technical Report

Digital cellular telecommunications system (Phase 2+); Universal Mobile Telecommunications System (UMTS); SIM card based Generic Bootstrapping Architecture (GBA); Early Implementation Feature (3GPP TR 33.920 version 7.1.0 Release 7)



1

Reference DTR/TSGS-0333920v710

Keywords GSM, SECURITY, UMTS

ETSI

650 Route des Lucioles F-06921 Sophia Antipolis Cedex - FRANCE

Tel.: +33 4 92 94 42 00 Fax: +33 4 93 65 47 16

Siret N° 348 623 562 00017 - NAF 742 C Association à but non lucratif enregistrée à la Sous-Préfecture de Grasse (06) N° 7803/88

Important notice

Individual copies of the present document can be downloaded from: http://www.etsi.org

The present document may be made available in more than one electronic version or in print. In any case of existing or perceived difference in contents between such versions, the reference version is the Portable Document Format (PDF). In case of dispute, the reference shall be the printing on ETSI printers of the PDF version kept on a specific network drive within ETSI Secretariat.

Users of the present document should be aware that the document may be subject to revision or change of status. Information on the current status of this and other ETSI documents is available at http://portal.etsi.org/tb/status/status.asp

If you find errors in the present document, please send your comment to one of the following services: <u>http://portal.etsi.org/chaircor/ETSI_support.asp</u>

Copyright Notification

No part may be reproduced except as authorized by written permission. The copyright and the foregoing restriction extend to reproduction in all media.

> © European Telecommunications Standards Institute 2007. All rights reserved.

DECTTM, **PLUGTESTS**TM and **UMTS**TM are Trade Marks of ETSI registered for the benefit of its Members. **TIPHON**TM and the **TIPHON logo** are Trade Marks currently being registered by ETSI for the benefit of its Members. **3GPP**TM is a Trade Mark of ETSI registered for the benefit of its Members and of the 3GPP Organizational Partners.

Intellectual Property Rights

IPRs essential or potentially essential to the present document may have been declared to ETSI. The information pertaining to these essential IPRs, if any, is publicly available for **ETSI members and non-members**, and can be found in ETSI SR 000 314: "Intellectual Property Rights (IPRs); Essential, or potentially Essential, IPRs notified to ETSI in respect of ETSI standards", which is available from the ETSI Secretariat. Latest updates are available on the ETSI Web server (http://webapp.etsi.org/IPR/home.asp).

Pursuant to the ETSI IPR Policy, no investigation, including IPR searches, has been carried out by ETSI. No guarantee can be given as to the existence of other IPRs not referenced in ETSI SR 000 314 (or the updates on the ETSI Web server) which are, or may be, or may become, essential to the present document.

Foreword

This Technical Report (TR) has been produced by ETSI 3rd Generation Partnership Project (3GPP).

The present document may refer to technical specifications or reports using their 3GPP identities, UMTS identities or GSM identities. These should be interpreted as being references to the corresponding ETSI deliverables.

The cross reference between GSM, UMTS, 3GPP and ETSI identities can be found under <u>http://webapp.etsi.org/key/queryform.asp</u>.

Contents

Intellectual Property Rig	ghts	2
Foreword		2
Foreword		4
Introduction		4
1 Scope		5
 3 Definitions, symbol 3.1 Definitions 3.2 Abbreviations 	ools and abbreviations	5 5 5
4.1 Additions to TS4.2 Additions to TS	ble 2G GBA 33.220 [1] 29.109 [2] 24.109 [3]	5 6
Annex Z: Change	e history	7
History		8

Foreword

This Technical Report has been produced by the 3rd Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of the present document, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

Version x.y.z

where:

- x the first digit:
 - 1 presented to TSG for information;
 - 2 presented to TSG for approval;
 - 3 or greater indicates TSG approved document under change control.
- y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.
- z the third digit is incremented when editorial only changes have been incorporated in the document.

Introduction

3GPP defined the Generic Bootstrapping Architecture (GBA) in Release 6. The Release 6 GBA is based on 3G USIMs and ISIMs, i.e., 3G GBA [1]. The security level of 3G Authentication and Key Agreement is higher than the 2G SIM authentication. On the other hand, there are more than one billion people with SIMs in their phones and it will take long time to provision UICCs capable of 3G authentication to such a large population. Meanwhile there should be a way to offer services whose authentication is based on GAA also to 2G subscribers.

Mobile network operators could try first out the success of services without handing out new cards and after successful service usage migrate seamlessly to UICCs. This option leverages the mobile network operators investments into their SIM cards, while still provide easy migration. This could lower the threshold for operators to deploy more sophisticated services that usually would require a UICC from the start. In this way, it might even speed up the process of handing out UICCs to the subscribers. The initial roll-out phases of services and service success testing would not need to rely on passwords. In addition, the introduction of 2G GBA-based authentication provides a security and operational enhancement for users that rely on SIM. Also, the availability of 2G GBA will allow building services where authentication is performed and managed in an analogous way as using USIM. The protocol wherein the SIM card is used, decides the strength of the security of the whole system. Therefore, the solution described for an early implementation feature in this specifications targets to enhance GSM security to address the known GSM vulnerabilities when using 2G GBA.

It should be noted that the work outlined in this feature does not require any change to the existing SIM specifications, in particular GBA_U as in 3G GBA will not be included in 2G GBA.

5

1 Scope

The present document describes which change requests are to be implemented in addition to the release 6 specifications TS 33.220 [1], TS 29.109 [2], and TS 24.109 [3] to enable the usage of 2G GBA.

2 References

The following documents contain provisions, which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.
- [1] 3GPP TS 33.220 Release 6: "Generic Authentication Architecture (GAA); Generic bootstrapping architecture".
- [2] 3GPP TS 29.109 Release 6: "Generic Authentication Architecture (GAA); Zh and Zn Interfaces based on the Diameter protocol; Stage 3".
- [3] 3GPP TS 24.109 Release 6: "Bootstrapping interface (Ub) and network application function interface (Ua); Protocol details".
- [4] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".

3 Definitions, symbols and abbreviations

3.1 Definitions

For the purposes of the present document, the terms and definitions given in TR 21.905 [4] and the definitions in TS 33.220 [1] apply.

3.2 Abbreviations

The abbreviations of TS 33.220 [1] also apply to this document.

4 Additions to enable 2G GBA

4.1 Additions to TS 33.220 [1]

The following changes to TS33.220 [1] are needed to be implemented to enable 2G GBA:

- CR069 (rev 1) to TS 33.220 [1]: "Normative annex on 2G GBA"
- CR079 to TS 33.220 [1]: "Removal of possible interoperability problems"
- CR078 to TS 33.220 [1]: "IMPI obtained from IMSI in 2G GBA"

- CR076 to TS33.220 [1]: "Alignment of 2G GBA with recent CRs"
- CR087 to TS33.220 [1]: "GBA keys handling and UICC presence detection"
- CR089 to TS33.220 [1]: "Clarify the confusion of the use of NAF-ID and FQDN"
- CR091 to TS33.220 [1]: "Use of SIM for Ua applications"

4.2 Additions to TS 29.109 [2]

The following changes to TS 29.109 [2] are needed to be implemented to enable 2G GBA:

- CR0022 to TS29.109 [2]: "2G GBA implementation to Zh and Zn"

4.3 Additions to TS 24.109 [3]

The following changes to TS 24.109 [3] are needed to be implemented to enable 2G GBA:

- CR0023 (rev1) to TS24.109 [3]: "2G GBA"

Annex Z: Change history

Change history									
TSG #	TSG Doc.	CR	Rev	Cat	Subject/Comment	Old	New	WI	
					Creation of document	0.0.0	0.0.1		
					Submission to SA Plenary for information	0.0.1	1.0.0		
					Integration of CR087, CR089, CR091 to TS33.220, CR022 to 29.109 and CR023 to 24.109.	1.0.0	2.0.0		
SP-31	SP- 060063	-	-	-	Approved at SA #31	2.0.0	7.0.0		
SP-32	SP-060386	0001	-	D	Reference addition	7.0.0	7.1.0	2G GBA	
	SP-31	SP-31 SP- 060063	SP-31 SP- 060063	SP-31 SP- 060063	SP-31 SP- 060063	TSG # TSG Doc. CR Rev Cat Subject/Comment Creation of document Creation of document Submission to SA Plenary for information Integration of CR087, CR089, CR091 to TS33.220, CR022 to 29.109 and CR023 to 24.109. SP-31 SP- 060063 - -	TSG #TSG Doc.CRRevCatSubject/CommentOldCreation of documentCreation of document0.0.00.0.0Submission to SA Plenary for informationSubmission to SA Plenary for information0.0.1Integration of CR087, CR089, CR091 to 24.109.1.0.01.0.0SP-31SP- 060063	TSG # TSG Doc. CR Rev Cat Subject/Comment Old New Creation of document Creation of document 0.0.0 0.0.1 0.0.0 0.0.1 Submission to SA Plenary for information 0.0.1 1.0.0 1.0.0 1.0.0 2.0.0 SP-31 SP- 060063 - - - Approved at SA #31 2.0.0 7.0.0	

8

History

Document history					
V7.1.0	June 2006	Publication			