ETSI TR 101 646 V4.5.0 (1999-11)

Technical Report

Digital cellular telecommunications system (Phase 2 & Phase 2+); Recommended infrastructure measures to overcome specific Mobile Station (MS) faults (GSM 09.94 version 4.5.0)



Reference RTR/SMG-000994PR4 (f8o021o4.PDF)

Keywords

EFR, digital cellular telecommunications system, Global System for Mobile communications (GSM)

ETSI

Postal address F-06921 Sophia Antipolis Cedex - FRANCE

Office address

650 Route des Lucioles - Sophia Antipolis Valbonne - FRANCE Tel.: +33 4 92 94 42 00 Fax: +33 4 93 65 47 16 Siret N° 348 623 562 00017 - NAF 742 C Association à but non lucratif enregistrée à la Sous-Préfecture de Grasse (06) N° 7803/88

Internet

secretariat@etsi.fr Individual copies of this ETSI deliverable can be downloaded from http://www.etsi.org If you find errors in the present document, send your comment to: editor@etsi.fr

Important notice

This ETSI deliverable may be made available in more than one electronic version or in print. In any case of existing or perceived difference in contents between such versions, the reference version is the Portable Document Format (PDF). In case of dispute, the reference should be the printing on ETSI printers of the PDF version kept on a specific network drive within ETSI Secretariat.

Copyright Notification

No part may be reproduced except as authorized by written permission. The copyright and the foregoing restriction extend to reproduction in all media.

> © European Telecommunications Standards Institute 1999. All rights reserved.

Contents

Intell	ectual Property Rights	5
Forev	word	5
1	Scope	6
2	References	6
3	Abbreviations	6
4	General	6
5	Specific implementation on the radio interface	7
5.1	Handovers and "Synchronisation Indication"	7
5.1.1	Justification	7
5.1.2	Solution	
5.2	"Directed Retry" type Handovers	7
5.2.1	Justification	7
5.2.2	Solution	
5.3	Cell broadcast and frequency hopping	
5.3.1	Justification	
5.3.2	Solution	
5.4	Handling of Phase 2 and Phase2+ BCCH Messages	
5.4.1	Justification	
5.4.2	Solution	
5.5	Handling of Phase 2 and Phase2+ SACCH Messages	
5.5.1	Justification	
5.5.2	Solution	
5.6	Handling of assignment message using Mobile Allocation IE including ARFCN=0	
5.6.1	Justification	
5.6.2	Solution	
5.7	Hopping sequence generation including ARFCN=0	
5.7.1	Justification	
5.7.2	Solution	9
6	Use of VAD/DTX in conjunction with frequency hopping for a speech call	
6.1	Scope	
6.2	General	10
6.3	Implementation options to reduce the occurance of undetected bad frames by utilising normal system	10
< 0.1	features	
6.3.1	Number of frequency hopping channels	
6.3.2	Frequency hopping type	
6.3.3	Continuous SID frames on C0	11
6.4	Implementation options to reduce the occurance of undetected bad frames by changing normal system	11
6.4.1	operation Changing the training sequence of the dummy burst to a new (ninth) training sequence	
6.4.1 6.4.2	Using an alternative training sequence out of the eight assigned	
6.4.2	Setting the stealing flag for the bits transmitted which are not intended to be part of the TCH	
6.4.5 6.4.4	Setting the stearing hag for the bits transmitted which are not intended to be part of the TCH	
6.5	Tested Combinations	
6.5.1	"Normal" system	
6.5.2	Normal system	
6.5.3	Alternative training sequence from the eight assigned	
6.5.4	Setting stealing flag for unintentionally transmitted bits	
6.5.5	Setting stealing flag for unintentionally transmitted bits and modifying training sequence for Dummy	13
5.5.5	Bursts	14
6.5.6	Sending partial SID information on C0	
	6 r	

Annex A:	Amendment Request 08.08 - 021 R4: Information on channel in	use in HO
	REQUEST	
A.3.1.5.1.1	Generation of the HANDOVER REQUIRED message	
A.3.1.5.2	Handover Resource allocation	
A.3.1.5.2.1	Operation of the procedure	
A.3.2.1.8	HANDOVER REQUEST	
A.3.2.1.9	HANDOVER REQUIRED	
A.3.2.2.49	CURRENT CHANNEL	
Annex B (in	nformative): Change Request History	21
History		

Intellectual Property Rights

IPRs essential or potentially essential to the present document may have been declared to ETSI. The information pertaining to these essential IPRs, if any, is publicly available for **ETSI members and non-members**, and can be found in SR 000 314: "Intellectual Property Rights (IPRs); Essential, or potentially Essential, IPRs notified to ETSI in respect of ETSI standards", which is available from the ETSI Secretariat. Latest updates are available on the ETSI Web server (http://www.etsi.org/ipr).

5

Pursuant to the ETSI IPR Policy, no investigation, including IPR searches, has been carried out by ETSI. No guarantee can be given as to the existence of other IPRs not referenced in SR 000 314 (or the updates on the ETSI Web server) which are, or may be, or may become, essential to the present document.

Foreword

This Technical Report (TR) has been produced by the Special Mobile Group (SMG).

The present document supersedes ETR 200 version 4.4.0.

The contents of the present document is subject to continuing work within SMG and may change following formal SMG approval. Should SMG modify the contents of the present document it will be re-released with an identifying change of release date and an increase in version number as follows:

Version 4.x.y

where:

- 4 indicates GSM Phase 2.
- x the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.
- y the third digit is incremented when editorial only changes have been incorporated in the specification.

1 Scope

The present document clarifies recommended measures which may be adopted by the GSM infrastructure to enable interworking to be obtained between the GSM infrastructure and various Mobile Station (MS) implementations of the GSM Phase 1 and Phase 2/2+ standard. The objective is to obtain compatibility without changing the consolidated set of Phase 1 and Phase 2/2+ specifications. The present document describes the recommended changes to the infrastructure to cater for specific faults within some MSs.

6

The lifetime of the herein described measures together with their potential impact on optimal network performance is out of the scope of the present document.

2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies.
- A non-specific reference to an ETS shall also be taken to refer to later versions published as an EN with the same number.
- [1] GSM 01.04: "Digital cellular telecommunications system (Phase 2); Abbreviations and acronyms".
- [2] GSM 04.08 Phase 1 (I-ETS 300 022-1): "Digital cellular telecommunications system (Phase 1); Mobile radio interface layer 3 specification Part 1: Generic".
- [3] GSM 04.08 (ETS 300 557): "European digital cellular telecommunications system (Phase 2); Mobile radio interface layer 3 specification".
- [4] GSM 04.08: "Digital cellular telecommunications system (Phase 2+); Mobile radio interface layer 3 specification".
- [5] GSM 05.05 (ETS 300 577): "Digital cellular telecommunication system (Phase 2); Radio Performance Aspects.
- [6] GSM 05.05: "Digital cellular telecommunication system (Phase 2+); Radio Performance Aspects.

3 Abbreviations

Abbreviations used in the present document are listed in GSM 01.04 [1].

4 General

In the implementation of the standard it has been found that some aspects of the specifications have been mis-interpreted by some MS manufacturers. These MSs require specific implementations of the Phase 1 standard in the infrastructure, to provide completely compatible interworking.

It has been assumed throughout this TR that Phase 2 and later infrastructure will interwork with Phase 1 MSs in the same way as Phase 1 infrastructure.

The remainder of this ETR describes how to overcome the possible impacts of the above factors. Descriptions given are limited to specific implementations which are permissible for the Phase of the infrastructure.

5 Specific implementation on the radio interface

This clause deals with the choice of specific infrastructure implementation options of the protocols at the radio interface. The protocols concerned are defined in GSM 04.08 Phase 1 [2] Phase2 [3] and Phase 2+ [4].

7

5.1 Handovers and "Synchronisation Indication"

5.1.1 Justification

In the HANDOVER COMMAND message there is a mandatory part consisting of nine octets followed by several optional information elements. The first optional information element is Synchronisation Indication which is a type 1 Information Element (IE) and as such is coded, with IE Identifier (IEI), on one octet. Other optional IE follow the Synchronisation Indication IE and are used to:

- indicate the frequency hopping sequence to use on the new channel;
- indicate the channel mode for the new channel;
- indicate a start time.

Some types of MS do not correctly decode these following information elements if the Synchronisation Indication information element is omitted.

5.1.2 Solution

To ensure correct operation the infrastructure should always send the Synchronisation Indication IE to a Phase 1 MS.

NOTE: In a few cases this will force an extra layer 2 segment to be sent to the MS.

5.2 "Directed Retry" type Handovers

5.2.1 Justification

In the HANDOVER COMMAND message there is an optional Channel Mode Information Element. When this information element is included in the handover command the MS should go to the new channel mode when it hands over to the new channel. This information element may be used for "directed retry" type handovers where a cell has an MS on a control channel but has no available traffic channel for the MS to use. The network may then choose to handover the MS to a new cell with traffic channel (TCH) capacity and change the channel mode at the same time.

Some MSs appear to accept the handover command, from Stand-alone Dedicated Control Channel (SDCCH) to TCH with speech mode, and make the required channel and mode change but do not through connect the speech path.

5.2.2 Solution

To ensure correct operation, of these MSs, the infrastructure should always initiate a channel mode change procedure according to TS GSM 04.08 (Phase 1) (I-ETS 300 022-1) [2] clause 3.4.6 once the MS has arrived at the new channel following a handover of a Phase 1 MS involving a channel mode change to full rate speech.

The additional channel mode change procedure shall only be performed for a directed retry handover to a full rate speech channel, and not for a data channel. First this will save performance in these cases, and secondly some MS's will release the call with this additional and unnecessary channel mode change procedure in case of fax or data calls.

For internal intra-Base Station System (BSS) handovers, this decision to initiate channel mode modify is taken by the BSS concerned. For external intra-BSS and inter-BSS handovers, the new BSS must know that there has been a change of mode from the previous BSS and that therefore a channel mode change procedure must be executed. The communication of this information is achieved by using the "current channel" element in the HANDOVER REQUEST and HANDOVER REQUEST and HANDOVER REQUIRED message as described in the Annex A.

In the case of external handover, the following will ensure correct operation with mobiles suffering from fault 5.2.1:

- i) The change described in Annex A shall be implemented by the MSC and BSS concerned.
- ii) The new BSS, after receiving a HANDOVER REQUEST containing a current channel IE indicating "signalling only", and a channel type indicating full rate speech, shall behave as specified in TS GSM 08.08 and additionally, upon reception of the HANDOVER COMPLETE message, initiate a channel mode change procedure according to TS GSM 04.08 with the new mode indicating speech.

If the new BSS receives a HANDOVER REQUEST without the current channel IE but containing a cause value "directed retry", and a channel type indicating full rate speech, it shall also behave as ii) above.

NOTE: The performance of MSs not experiencing this problem has been checked for a sizeable subset of the MSs available in Phase 1, but it has not been possible to check all versions of all MSs.

5.3 Cell broadcast and frequency hopping

5.3.1 Justification

In the SYSTEM INFORMATION TYPE 4 message there is an optional Information Element "CBCH Channel Description" used when a cell broadcast channel is configured in the network.

Some Types of GSM 900 MSs may not obtain service whilst within reception range of a cell from any network having the CBCH configured with frequency hopping: i.e. the Hopping channel bit set to 1 in the "CBCH Channel description" information element.

5.3.2 Solution

To enable operation from the affected MS, the infrastructure could configure the CBCH on a non hopping channel:

- In combined type of configuration: the CBCH would be distributed on the SDCCH/4 with BCCH
- In non-combined type of configuration, two types of solution are considered:
 - Type 1 CBCH distributed on a non hopping SDCCH broadcasted on TSx of C0 (x=1,2,3)
 - Type 2 CBCH distributed on a non hopping SDCCH broadcasted on TS0 of Cx ($x\neq 0$)

5.4 Handling of Phase 2 and Phase2+ BCCH Messages

5.4.1 Justification

Some types of Phase1 GSM 900 MSs could fail to offer full services whilst System Information messages other than those specified in GSM 900 Phase1 are broadcast with a L2-Pseudo Length value greater than 1.

5.4.2 Solution

In order to provide service to these existing GSM 900 MS and not disturb Phase2 MS, the following restrictions and changes should be implemented in the P-GSM 900 band of the network.

For System Information 2ter, the value 0 of the L2 Pseudo Length shall be used instead of 18.

The System Information 2bis shall not be used in the P-GSM 900 band of the network.

Therefore, the EXT-IND bit in System Information 2 in the P-GSM 900 Band of the network shall not be set to 1.

5.5 Handling of Phase 2 and Phase2+ SACCH Messages

5.5.1 Justification

Some types of Phase1 MSs may experience performance degradation if the network sends System Information Messages other than those specified in Phase1.

5.5.2 Solution

In order not to degrade the performance of these Phase1 mobile stations it is recommended:

any new messages that are not defined in Phase1 shall not be sent to a Phase1 MS, e.g System Information 5bis and 5ter to a Phase1 P GSM 900 mobile station and System Information 5ter to a Phase1 DCS 1800 mobile station.

5.6 Handling of assignment message using Mobile Allocation IE including ARFCN=0

5.6.1 Justification

Some type of Phase 2 and Phase 2+ MSs may not access the assigned channel correctly if the network sends an assigning message (Immediate Assignment, Assignment Command or Handover Command) that uses the Mobile Allocation IE to specify an RF hopping channel that includes ARFCN=0 in the hopping sequence.

5.6.2 Solution

To enable operation of all MSs, the infrastructure can avoid using RF hopping channels that include ARFCN=0 in the hopping sequence. When assigning a channel at RR connection establishment (Immediate Assignment), this solution should be used.

When a channel resource is assigned, using either the Assignment Command or the Handover Command message, the infrastructure may use the Frequency List or the Frequency Short List IEs in the assigning message to specify an RF hopping channel that includes ARFCN=0 in the hopping sequence.

5.7 Hopping sequence generation including ARFCN=0

5.7.1 Justification

Some type of Phase 2 and Phase 2+ MSs may not access the assigned channel correctly if the network assigns an RF hopping channel that includes ARFCN=0 in the hopping sequence.

5.7.2 Solution

To enable operation of all MSs, the infrastructure can avoid using RF hopping channels that include ARFCN=0 in the hopping sequence.

6 Use of VAD/DTX in conjunction with frequency hopping for a speech call

6.1 Scope

The chapter six of this Technical Report is to identify limitations in the specification for phase 1 reflected in performance degradation in phase 1 terminal equipment. This report identifies possible ways of improving the service offered to subscribers using phase 1 terminals whilst using the two features - downlink DTX and frequency hopping at the same time.

10

6.2 General

The specification of acoustic performance of the MS when downlink DTX is implemented is in GSM 05.05 which restricts the MS performance to 1 undetected bad frame in 10s when in the presence of random RF. In reality the MS does not experience random RF exclusively when downlink DTX is implemented.

There is a SIlence Descriptor (SID) frame sent on eight bursts every 104 bursts. Due to the interleaving scheme, half of the bits of the frame preceding the SID and half of the bits of the frame following the SID are sent and there is no specific requirement covering what is sent for these bits but in most cases it is every other bit of a correctly coded frame. The MS receives these bits

In addition, when the ARFCN used is C0, dummy bursts are sent when there is neither speech nor signalling to be transmitted.

Finally, when frequency hopping is used as well as downlink DTX, the MS may receive random RF on some TDMA frames and dummy bursts on others (C0).

It is possible for the MS to receive combinations of transmitted bits at high confidence and random RF at low confidence. In some cases the MS can then decode the frame as good when in fact it was never intended to have been transmitted and the resultant bad frame can give a very annoying acoustic effect known as banjo noise. The occurance of these noises, even if no more frequent than one in 10s, is worse than one would expect from a high quality cellular system.

The three following sections refer to ways of improving the system performance for MS approved according to the existing phase 1 specification. Section 6.3 identifies some "normal" operation configurations which would improve undetected bad frame performance for MS's with the above fault (banjo noise), section 6.4 describes aspects for possible changed network implementation, section 6.5 relates the results of tests performed using combinations of the implementations described in section 6.4 and whether that combination was effective or not.

6.3 Implementation options to reduce the occurance of undetected bad frames by utilising normal system features

This section deals with a variety of options to improve the system performance which are implementable by normal system operational choices. These options typically improve matters in specific configurations and are not universal solutions for all configurations. It may be possible that some networks do not permit such configuration.

6.3.1 Number of frequency hopping channels

The number of undetected bad frames is related to the probability of getting sufficient dummy bursts transmitted to make a false good frame decision. The number of dummy bursts received depends on the number of ARFCN in the hopping list. Hence frequency hopping on 3 ARFCN gives better audio performance than frequency hopping on 2, likewise hopping on 4 ARFCN gives better performance than hopping on 3.

In tests of the comparison between hopping on 2 ARFCN and hopping on 3, some MS have been found to improve to approximately one tenth of the occurance of bad frames, while others have improved from a slightly annoying level when hopping on 2 ARFCN, to give no audible disruption when hopping on 3. Not all MS have been tested and it is believed that the improvement for some MS may be less noticeable.

This solution is obviously not trivial to implement in a frequency plan, but could also be used to enable frequency hopping on cells which naturally have 3 or more ARFCN operational whilst selecting a solution for other parts of the network and operational scenarios.

6.3.2 Frequency hopping type

When utilising pseudo-random frequency hopping, it is possible to get more dummy bursts in a speech frame than when utilising cyclic frequency hopping. As an alternative to random frequency hopping, cyclic hopping may be used. It will minimise the banjo noise effect experienced by the faulty mobiles. This will however be done at the expense of a possible degradation of performance during speech activity period for all mobiles due to the absence of interferer diversity.

In tests of the comparison between pseudo-random frequency hopping and cyclic frequency hopping, some phones were found to improve with the use of cyclic hopping to approximately one third of the occurance of bad frames when using pseudo-random hopping, while others have improved from a slightly annoying level to give no audible disruption. Not all phones have been tested for this.

6.3.3 Continuous SID frames on C0

At some times, a network implementing downlink DTX may hold a call on C0. In this case it would be possible for the network to send dummy bursts when it has nothing else to send. This is likely to cause a high level of unwanted noises for some MS. An alternative would be to send continuous SID frames in which case there should be no undesired effects.

6.4 Implementation options to reduce the occurance of undetected bad frames by changing normal system operation

This section deals with implementation options which improve the audio quality of the faulty mobiles, suffering from banjo noise, by making changes to the network equipment. The solutions give varying performance improvements but not all solutions would be possible on all networks.

6.4.1 Changing the training sequence of the dummy burst to a new (ninth) training sequence

If a different training sequence code is used for all dummy bursts forming part of the TCH on C0, the MS will have difficulty training to the dummy burst frames and this would cause the bits to be received as low confidence. This would then give a performance rather more like that for random RF input and should then meet the 05.05 requirement.

6.4.2 Using an alternative training sequence out of the eight assigned

This option is similar in concept and performance to the one described in section 6.4.1. The advantage is that it may be usable in some networks where it is not easily possible to add a ninth training sequence. The following table gives a list of training sequence codes for the TCH and the preferred choice of training sequence code for the dummy burst.

Training sequence code for TCH	Training sequence code for dummy bursts on C0
0	2
1	5
2	0
3	4
4	5
5	2
6	3
7	5

6.4.3 Setting the stealing flag for the bits transmitted which are not intended to be part of the TCH

When bits are transmitted which are not intended for reception in the TCH path, such as dummy bursts and the half burst before and after a discrete, wanted frame, it would be possible to set the stealing flag for these bits and so bias the decision of the majority vote on stealing flags in favour of routing the frame as control information rather than speech information. The channel protection on the control channel is much greater and the chance of getting an undetected bad control channel frame is very low.

6.4.4 Sending partial SID frames on C0

It has been observed that improvements in the undetected bad frame rate are seen when the BTS sends partial SID frames on the otherwise unused TCH bursts on C0. This is because the high confidence bits are correctly coded for the relevant speech frame and the normal design of the MS receiver is expected to cope with such errors. This proposal works best for DCS 1800 because very early GSM models are not represented in DCS 1800.

6.5 Tested Combinations

This section identifies tests that have been performed and the results that have been obtained. The reporting of result is limited to acceptable or not acceptable and a brief additional comment is sometimes made. If the result is deemed to be acceptable, most products which suffer from the described problem have been improved to a point where extraneous noises are significantly reduced to virtually nothing and no product has got worse. No solution completely corrected all products but significant improvements have been achieved if the result is deemed acceptable.

6.5.1 "Normal" system

Test configuration:

Sent on C0: Dummy bursts using training sequence from TCH.

Stealing flag on CO: Set to 0

Half burst filling bits: Partial SID information from the SID frame otherwise scheduled for transmission.

Half burst stealing flags: Set to 0

Result:

Unacceptable; Several products exhibit frequent noises from undetected bad frames.

6.5.2 New training sequence

Test configuration:

Sent on CO:

Dummy bursts using new (ninth) training sequence.

Stealing flag on C0: Set to 0

Half burst filling bits: Partial SID information from the SID frame otherwise scheduled for transmission.

Half burst stealing flags: Set to 0

Result:

Acceptable.

6.5.3 Alternative training sequence from the eight assigned

Test configuration:

Sent on C0: Dummy bursts using alternative training sequence according to table in 6.4.2.

Stealing flag on CO: Set to 0

Half burst filling bits:

Partial SID information not necessarily related to the SID frame otherwise scheduled for transmission.

Half burst stealing flags: Set to 0

Result:

Acceptable.

6.5.4 Setting stealing flag for unintentionally transmitted bits

Test configuration:

```
Sent on C0:
Partial SID information from the two SID frames otherwise scheduled for transmission.
```

Stealing flag on C0: Set to 1

Half burst filling bits:

Partial SID information from the SID frame otherwise scheduled for transmission.

Half burst stealing flags: Set to 1

Result:

Acceptable.

6.5.5 Setting stealing flag for unintentionally transmitted bits and modifying training sequence for Dummy Bursts

Test configuration:

Sent on CO:

Dummy bursts using new (ninth) training sequence.

Stealing flag on C0: Set to 1

Half burst filling bits: Dummy burst mixed bits

Half burst stealing flags: Set to 1

Result:

Acceptable; This configuration gave marginally the best performance of all tested.

6.5.6 Sending partial SID information on C0

Test configuration:

Sent on C0: Part SID frames

Stealing flag on C0: Set to 0

Half burst filling bits: Partial SID information from the SID frame otherwise scheduled for transmission.

Half burst stealing flags: Set to 0

Result:

Acceptable for DCS 1800.

Annex A: Amendment Request 08.08 - 021 R4: Information on channel in use in HO REQUEST

NOTE: This Annex A reflects the Amendment Request 08.08 - 021 R4 which was approved by SMG#15 and is part of the TS GSM 08.08 version 4.9.0.

A.3.1.5.1.1 Generation of the HANDOVER REQUIRED message

Generation of the HANDOVER REQUIRED message can be for the following reasons:

- The BSS has detected that a radio reason exists for a handover to occur.
- The MSC has initiated a handover candidate enquiry procedure, and this MS is currently a candidate.
- A cell change is required at call setup due to congestion, e.g. directed retry.

The HANDOVER REQUIRED message contains the following information elements:

- Message Type;
- Cause;
- Cell Identifier List (preferred).

It should also contain the "Current channel" information element.

Sec. 3.2.1.9. gives coding details of the above message.

The "Cause" field indicates the reason for the HANDOVER REQUIRED message e.g. "uplink quality poor" or "response to MSC invocation" in the case of traffic reasons indicated by the MSC.

If present the "Response Request" Information Element indicates, that the BSS requires an indication if the HANDOVER REQUIRED message does not result in a HANDOVER COMMAND message.

If the BSS wants to change the CIC due to a channel change, the BSS sends a HANDOVER REQUIRED message with the cause "switch circuit pool" and the "circuit pool list" information element. The "circuit pool list" information element will allow the BSS to indicate to the MSC from which circuit pool or pools the new CIC should be chosen.

The "Cell Identifier List (preferred)" shall identify "n" preferred cells. The identified cells are given in order of preference. The algorithm by which the BSS produces this list is Operator dependent and is not addressed in this Technical Specification. The "n" number of preferred cells is a parameter set by O&M and shall range from 1 to 16. If "n" number of cells cannot be identified, then only as many as are available shall be encoded and sent (as specified in section 3.2.2.27).

It is mandatory for the BSS to be able to produce this "Cell Identifier List (preferred)". The sending of this list is controlled by the O&M parameter "n". It is mandatory for the MSC to be able to receive and interpret this Information Element.

The HANDOVER REQUIRED message shall be updated and repeated by the BSS with a periodicity of T7 until:

- A HANDOVER COMMAND message is received from the MSC, or;
- A RESET message is received, or;
- The reason for the original HANDOVER REQUIRED message disappears e.g. the MS transmission improves, or;
- All communication is lost with the MS as defined in Technical Specification GSM 04.08, and the transaction is abandoned, or;
- The transaction ends, e.g., call clearing.

A.3.1.5.2 Handover Resource allocation

This procedure has been defined to allow the MSC to request resources from a BSS in a manner similar to that used for the assignment case. However it does not result in the transmission of any messages over the radio interface, only in the reservation of the resource identified at the BSS, which awaits access of a MS on the reserved channel. These reserved resources are then indicated back to the MSC.

In order to support this procedure the MSC sets up a BSSAP SCCP connection to the BSS. This connection is then used to support all BSSAP messages related to this dedicated resource.

A.3.1.5.2.1 Operation of the procedure

The correct operation of the handover resource allocation procedure is as follows:

The MSC sends a HANDOVER REQUEST message to the new BSS (note 1) from which it requires radio resources. This message contains details of the resource that is required. If the requested resource is for speech or data it also indicates the terrestrial resource that shall be used between the MSC and the BSS. The type of channel required can be different from the type of channel in use, e.g. in the case of directed retry. The description of the resource can either specify it completely, or give the BSS some freedom in the selection. The message may also specify the channel in use.

On receipt of this message the new BSS shall choose a suitable idle radio resource.

The management of priority levels - relating to the Information Element "Priority" within the HANDOVER REQUEST message - is implementation dependent, under operator control.

If queueing is managed, new requests which cannot be served immediately are put in the queueing file according to the indicated priority levels.

(Refer to section 3.1.17 for Queuing Procedure)

As a further operator option, the preemption indicators may (alone or along with the priority levels) be used to manage the preemption process, which may lead to the forced release or forced handover of lower priority connections.

However, the preemption indicators (refer to section 3.2.2.18), if given in the HANDOVER REQUEST, shall be treated on a per connection basis as follows:

- the last received "Preemption Vulnerability" indicator and priority levels shall prevail.
- if the "Preemption Capability" bit is set to 1, then this allocation request can trigger the running of the preemption procedure.
- if the "Preemption Capability" bit is set to 0, then this allocation request cannot trigger the preemption procedure.
- if the "Preemption Vulnerability" bit is set to 1, then this connection is vulnerable and shall be included in the preemption process or procedure and as such may be subject to forced release or forced handover.
- if the "Preemption Vulnerability" bit is set to 0, then this connection is not vulnerable to preemption and shall not be included in the preemption process and as such may not be subject to forced release or forced handover.
- if no Priority Information Element has been received, both "Preemption Capability" and "Preemption Vulnerability" bits shall be regarded as set to 0.

If a radio resource is available then this will be reflected back to the MSC in a HANDOVER REQUEST ACKNOWLEDGE message. The HANDOVER REQUEST ACKNOWLEDGE message sent by the new BSS shall contain the radio interface message HANDOVER COMMAND within its "Layer 3 Information" Information Element. This "Layer 3 Information" (which is in fact the RR-Layer 3 HANDOVER COMMAND) is transferred by the controlling MSC to the old BSS using the BSSMAP message HANDOVER COMMAND also within the Information Element "Layer 3 Information" of that BSSMAP message. The old BSS then sends to the MS over the radio interface the RR-Layer 3 HANDOVER COMMAND message. Information about the appropriate new channels and a handover reference number chosen by the new BSS are contained in the HANDOVER COMMAND. Knowledge of the channel in use at the old BSS allows the new BSS to minimize the size of the HANDOVER COMMAND message (i.e. to decide whether the mode of the first channel IE need not be included in the HANDOVER COMMAND).

NOTE: The new BSS and the old BSS may be the same.

When several circuit pools are present on the BSS MSC interface, the "circuit pool" information field shall be included in the HANDOVER REQUEST ACKNOWLEDGE. The "circuit pool" field will indicate to the MSC the circuit pool of the CIC given in the HANDOVER REQUEST message.

The sending of the HANDOVER REQUEST ACKNOWLEDGE by the new BSS to the MSC ends the Handover Resource Allocation procedure. The Handover Execution procedure can now proceed and this is given in section 3.1.5.3.

The new BSS shall then take all necessary action to allow the MS to access the radio resource that the new BSS has chosen, this is detailed in the GSM 05 series of Technical Specifications. If the radio resource is a traffic channel then the new BSS shall at this point switch it through to the terrestrial resource indicated in the HANDOVER REQUEST message, and the necessary transcoding/rate adaption/encryption equipment enabled as detailed in Technical Specification GSM 04.08.

The optimum procedure for switching through to the target cell at the MSC is not defined in these Technical Specifications.

A.3.2.1.8 HANDOVER REQUEST

This message is sent from the MSC to the BSS via the relevant SCCP connection to indicate that the MS is to be handed over to that BSS.

INFORMATION ELEMENT	REFERENCE	DIRECTION	TYPE	LEN
Message type	3.2.2.1	MSC-BSS	М	1
Channel type	3.2.2.11	MSC-BSS	М	5
Encryption information	3.2.2.10	MSC-BSS	М	3-n
Classmark information 1 or	3.2.2.30	MSC-BSS	M#	2
Classmark information 2	3.2.2.19	MSC-BSS	M#	4-5
Cell identifier (serving)	3.2.2.17	MSC-BSS	М	5-10
Priority	3.2.2.18	MSC-BSS	0	3
Circuit identity code	3.2.2.2	MSC-BSS	O##	3
Downlink DTX flag	3.2.2.26	MSC-BSS	O*	2
Cell identifier (target)	3.2.2.17	MSC-BSS	М	3-10
Interference band to be used	3.2.2.21	MSC-BSS	0	2
Cause	3.2.2.5	MSC-BSS	0	3-4
Classmark information 3	3.2.2.20	MSC-BSS	O**	3-14
Current channel	3.2.2.49	MSC-BSS	O§	2

- * This element may be included in the case of a speech TCH, and only in this case. If not included, this has no impact on the DTX function in the BSS.
- ** This element is included if the MSC has received such information.
- # One of these two elements is sent.
- ## This element is included when the channel type Information Element indicates speech or data, and only in those cases.
- S This element is included at least when the message is sent as a reaction to reception of a HANDOVER REQUIRED message containing a "Current channel" information element. In this case it shall be equal to the received element.

Typical Cause values are:

uplink quality, uplink strength, downlink quality, downlink strength distance, better cell, response to MSC invocation O and M intervention, directed retry, switch circuit pool.

A.3.2.1.9 HANDOVER REQUIRED

This message is sent from the BSS to the MSC to indicate that for a given MS which already has a dedicated radio resource assigned, a handover is required for the reason given by the cause element.

The message is sent via the BSSAP SCCP connection associated with the dedicated resource.

INFORMATION ELEMENT	REFERENCE	DIRECTION	TYPE	LEN
Message type	3.2.2.1	BSS-MSC	М	1
Cause	3.2.2.5	BSS-MSC	Μ	3-4
Response request	3.2.2.28	BSS-MSC	0	1
Cell identifier list (preferred)	3.2.2.27	BSS-MSC	М	2n+3
				to
				7n+3
Circuit pool list	3.2.2.46	BSS-MSC	O*	V
Current channel	3.2.2.49	BSS-MSC	O**	2

* Shall be included when cause "switch circuit pool".

** This information element should always be included.

Typical Cause values are:

uplink quality, uplink strength, downlink quality, downlink strength, distance, better cell, response to MSC invocation, O&M intervention, directed retry, switch circuit pool. 18

Element Identifier Coding	Element name	Reference
0000 0001	Circuit identity code	3.2.2.2.
0000 0010	Reserved	*
0000 0011	Resource available	3.2.2.4.
0000 0100	Cause	3.2.2.5.
0000 0101	Cell identifier	3.2.2.17.
0000 0110	Priority	3.2.2.18.
0000 0111	Layer 3 header information	3.2.2.9.
0000 1000	IMSI	3.2.2.6.
0000 1001	TMSI	3.2.2.7.
0000 1010	Encryption information	3.2.2.10.
0000 1011	Channel type	3.2.2.11.
0000 1100	Periodicity	3.2.2.12.
0000 1101	Extended resource indicator	3.2.2.13.
0000 1110	Number of MSs	3.2.2.8.
0000 1111	Reserved	*
0001 0000	Reserved	*
0001 0001	Reserved	*
0001 0001	Classmark information type 2	3.2.2.19.
0001 0010	Classmark information type 3	3.2.2.19.
0001 0100	Interference band to be used	3.2.2.21.
0001 0101	RR Cause	3.2.2.22.
0001 0110	Reserved	
0001 0111	Layer 3 information	3.2.2.24.
0001 1000	DLCI	3.2.2.25.
0001 1001	Downlink DTX flag	3.2.2.26.
0001 1010	Cell identifier list	3.2.2.27.
0001 1011	Response request	3.2.2.28.
0001 1100	Resource indication method	3.2.2.29.
0001 1101	Classmark information type 1	3.2.2.30.
0001 1110	Circuit identity code list	3.2.2.31.
0001 1111	Diagnostic	3.2.2.32.
0010 0000	Layer 3 message contents	3.2.2.35.
0010 0001	Chosen channel	3.2.2.33.
0010 0010	Total resource accessible	3.2.2.14.
0010 0011	Cipher response mode	3.2.2.34.
0010 0100	Channel needed	3.2.2.36.
0010 0101	Trace type	3.2.2.37.
0010 0110	TriggerId	3.2.2.38.
0010 0111	Trace reference	3.2.2.39.
0010 1000	TransactionId	3.2.2.40.
0010 1001	Mobile identity	3.2.2.41.
0010 1010	OMCId	3.2.2.42.
0010 1011	Forward indicator	3.2.2.43.
0010 1100	Chosen encryption algorithm	3.2.2.44.
0010 1101	Circuit pool	3.2.2.45.
0010 1110	Circuit pool list	3.2.2.46.
0010 1111	Time indication	3.2.2.47.
0011 0000	Resource situation	3.2.2.48.
0011 0001	Current channel	3.2.2.49.
0011 0001		0.2.2.70.

* Information Element codes marked as "reserved are reserved for use by previous versions of this interface specification.

A.3.2.2.49 CURRENT CHANNEL

This Information Element contains a description of the channel allocated to the MS.

It is coded as follows:

8	T T 7 ⊥ ⊥	6	5	4 L	3	2	1 1	
	Element identifier						octet 1	
Channel mode					Chann	el		octet 2

The channel mode field is coded as follows:

Bit 8765

- 0000 signalling only
- 0001 speech (full rate or half rate)
- 0011 data, 12.0 kbit/s radio interface rate
- 0100 data, 6.0 kbit/s radio interface rate
- 0101 data, 3.6 kbit/s radio interface rate

All other values are reserved.

The channel field is coded as follows:

Bit 4321

- 0001 SDCCH
- 1000 Full rate TCH
- 1001 Half rate TCH

All other values are reserved.

Annex B (informative): Change Request History

SPEC	SMG#	CR	PHS	VERS	NEW_V	SUBJECT
09.94	s30	A008	2	4.4.0	4.5.0	Change of Title, Scope and References Clauses 1 to 5)
09.94	s30	A009	2	4.4.0	4.5.0	Frequency Hopping using ARFCN=0

History

Document history					
Edition 1August 1995Publication as ETR 200		Publication as ETR 200			
Edition 2 November 1995 Publication as ETR 200		Publication as ETR 200			
Edition 3	Edition 3 September 1996 Publication as ETR 200				
V4.5.0 November 1999		Publication			