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Intellectual Property Rights (IPRs); Essential, or potentially Essential, IPRs notified to ETSI in respect of ETSI standards

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Intellectual Property Rights

Essential patents

IPRs essential or potentially essential to normative deliverables may have been declared to ETSI. The declarations pertaining to these essential IPRs, if any, are publicly available for ETSI members and non-members, and can be found in ETSI SR 000 314: "Intellectual Property Rights (IPRs); Essential, or potentially Essential, IPRs notified to ETSI in respect of ETSI standards", which is available from the ETSI Secretariat. Latest updates are available on the ETSI IPR online database.

Pursuant to the ETSI Directives including the ETSI IPR Policy, no investigation regarding the essentiality of IPRs, including IPR searches, has been carried out by ETSI. No guarantee can be given as to the existence of other IPRs not referenced in ETSI SR 000 314 (or the updates on the ETSI Web server) which are, or may be, or may become, essential to the present document.

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Foreword

This Special Report (SR) has been produced by ETSI BOARD.

The present document has been prepared on the basis of information received. ETSI has not checked the validity of the information, nor the relevance of the identified patents/patent applications to the ETSI standards and cannot confirm, or deny, that the patents/patent applications are, in fact, essential, or potentially Essential. No investigation, or IPR searches, have been carried out by ETSI and therefore no guarantee can be given concerning the existence of other IPRs which are, or may become, essential.

It should also be noted that whilst ETSI members are not obliged to conduct IPR searches they are obliged to make reasonable efforts to inform ETSI of any Essential IPRs of which they become aware (see article 4 of the ETSI IPR Policy [i.1]).

The present document will be maintained by the ETSI Secretariat and further editions will be issued as, and when, necessary. Any errors in the information contained in the present document, or any additional information concerning Essential IPRs, of which readers of the present document become aware, should be notified to the ETSI Secretariat (for the attention of the Legal Service).

NOTE: The present document is also available at https://www.etsi.org/intellectual-property-rights [i.4].

Modal verbs terminology

In the present document "**should**", "**should not**", "**may**", "**need not**", "**will**", "**will not**", "**can**" and "**cannot**" are to be interpreted as described in clause 3.2 of the <u>ETSI Drafting Rules</u> (Verbal forms for the expression of provisions).

"must" and "must not" are NOT allowed in ETSI deliverables except when used in direct citation.

1 Scope

The present document identifies IPRs, particularly patents and patent applications, which have been notified to ETSI as being Essential, or potentially Essential, to ETSI Standards or Technical Specifications.

Unless otherwise specified, all IPRs included into the present document have been notified to ETSI, with an undertaking from the owner to grant licences according to the terms and conditions of article 6.1 of the ETSI IPR Policy [i.1], Annex 6 of the ETSI Rules of Procedure [i.3].

2 References

2.1 Normative references

Normative references are not applicable in the present document.

2.2 Informative references

References are either specific (identified by date of publication and/or edition number or version number) or non-specific. For specific references, only the cited version applies. For non-specific references, the latest version of the referenced document (including any amendments) applies.

NOTE: While any hyperlinks included in this clause were valid at the time of publication ETSI cannot guarantee their long term validity.

The following referenced documents are not necessary for the application of the present document but they assist the user with regard to a particular subject area.

[i.1] <u>ETSI IPR Policy</u>.

[i.2] <u>ETSI Guide on IPRs</u>.

[i.3] ETSI Rules of Procedure (RoP).

[i.4] ETSI IPR database.

NOTE: Notification to ETSI of Essential or potentially Essential IPRs should be made using the ETSI IPR database at https://ipr.etsi.org. Additional information can be found at https://ipr.etsi.org. Additional information can be found at https://www.etsi.org/intellectual-property-rights.

[i.5] Declare your IPRs to ETSI.

NOTE: A step by step explanation for filling out the above mentioned forms is to be found in the https://www.etsi.org/intellectual-property-rights. Additional information can be found at https://www.etsi.org/intellectual-property-rights.

3 Definition of terms, symbols and abbreviations

3.1 Terms

For the purposes of the present document, the following terms apply:

The terms "ESSENTIAL", "IPR", "STANDARD" and "TECHNICAL SPECIFICATION" given below are defined in the "Definitions" annex of the ETSI IPR Policy [i.1].

ESSENTIAL: as applied to IPR means that it is not possible on technical (but not commercial) grounds, taking into account normal technical practice and the state of the art generally available at the time of standardization, to make, sell, lease, otherwise dispose of, repair, use or operate EQUIPMENT or METHODS which comply with a STANDARD without infringing that IPR.

For the avoidance of doubt in exceptional cases where a STANDARD can only be implemented by technical solutions, all of which are infringements of IPRs, all such IPRs shall be considered ESSENTIAL.

NOTE: In practical terms, the existence of an Essential IPR makes it necessary to have a licence in order to exploit the standard concerned.

IPR: shall mean any intellectual property right conferred by statute law including applications therefore other than trademarks.

For the avoidance of doubt rights relating to get up, confidential information, trade secrets or the like are excluded from the definition of IPR.

IPR licensing declaration: declaration to the effect that the IPR owner declares that he is prepared to grant licences on fair, reasonable and non-discriminatory terms, in accordance with article 6.1 and comply with clause 6.1 bis of the ETSI IPR Policy

notified: means any IPR information of which ETSI has been formally notified by the owner of the IPR or, any IPR information of which ETSI has become aware, pursuant to the IPR Policy

STANDARD: shall mean any standard adopted by ETSI including options therein or amended versions and shall include European Standards (ENs), ETSI standards (ESs), Common Technical Regulations (CTRs) which are taken from ENs and including drafts of any of the foregoing, and documents made under the previous nomenclature, including ETSs, I-ETSs, parts of NETs and TBRs, the technical specifications of which are available to all MEMBERS, but not including any standards, or parts thereof, not made by ETSI.

The date on which a STANDARD is considered to be adopted by ETSI for the purposes of this POLICY shall be the date on which the technical specification of that STANDARD was available to all MEMBERS.

TECHNICAL SPECIFICATION: shall mean any Technical Specification (TS) adopted by ETSI including options therein or amended version including drafts, the Technical Specifications of which are available to all MEMBERS, but not including any technical specifications, or parts thereof, not made by ETSI.

The date on which a TECHNICAL SPECIFICATION is considered to be adopted by ETSI for the purposes of this POLICY shall be the date on which the technical content of that TECHNICAL SPECIFICATION was available to all MEMBERS.

3.2 Symbols

Void.

3.3 Abbreviations

For the purposes of the present document, the following abbreviations apply:

AF AFGHANISTAN

OA AFRICAN INTELLECTUAL PROPERTY ORGANIZATION

```
AFRICAN REGIONAL INDUSTRIAL PROPERTY ORGANIZATION
AP
AL
            ALBANIA
DZ
            ALGERIA
            AMERICAN SAMOA
AS
AD
            ANDORRA
AO
            ANGOLA
AG
            ANTIGUA AND BARBUDA
AR
            ARGENTINA
AM
            ARMENIA
AW
            ARUBA
ΑU
            AUSTRALIA
            AUSTRIA
AT
            AZERBAIJAN
AZ
BS
            BAHAMAS
BH
            BAHRAIN
BD
            BANGLADESH
BB
            BARBADOS
BY
            BELARUS
BE
            BELGIUM
BZ
            BELIZE
BJ
            BENIN
BM
            BERMUDA
BT
            BHUTAN
BO
            BOLIVIA
            BOSNIA AND HERZEGOVINA
BA
            BOTSWANA
BW
BR
            BRAZIL
BN
            BRUNEI DARUSSALAM
BG
            BULGARIA
BF
            BURKINA FASO
ΒI
            BURUNDI
KH
            CAMBODIA
            CAMEROON
CM
CA
            CANADA
CV
            CAPE VERDE
            CENTRAL AFRICAN REPUBLIC
CF
TD
            CHAD
CL
            CHILE
CN
            CHINA
CO
            COLOMBIA
KM
            COMOROS
CG
            CONGO
GC
            COOPERATION COUNCIL FOR ARAB STATES OF THE GULF
CR
            COSTA RICA
CI
            CÔTE D'IVOIRE
HR
            CROATIA
CU
            CUBA
CY
            CYPRUS
CZ
            CZECH REPUBLIC
DK
            DENMARK
            DJIBOUTI
DJ
DM
            DOMINICA
DO
            DOMINICAN REPUBLIC
            ECUADOR
EC
EG
            EGYPT
SV
            EL SALVADOR
            EQUATORIAL GUINEA
GQ
ER
            ERITREA
EE
            ESTONIA
ET
            ETHIOPIA
```

EURASIAN PATENT OFFICE

EUROPEAN PATENT OFFICE

EA EP FO **FAROE ISLANDS**

FJ FIJI FI **FINLAND** FR **FRANCE** MK **FYROM** GA **GABON** GM **GAMBIA** GE **GEORGIA** DE **GERMANY** GH **GHANA** GI **GIBRALTAR** GR **GREECE** GL**GREENLAND** GD **GRENADA** GP **GUADELOUPE GUAM**

GU GT **GUATEMALA** GN **GUINEA**

GW **GUINEA-BISSAU**

GY **GUYANA** HT **HAITI** HN **HONDURAS** HK HONG KONG HU **HUNGARY** IS **ICELAND** IN **INDIA** ID **INDONESIA**

IR IRAN (ISLAMIC REPUBLIC OF)

IQ **IRAQ** ΙE **IRELAND** IL **ISRAEL** IT **ITALY** JM **JAMAICA** JP **JAPAN** JO **JORDAN** KZ **KAZAKHSTAN**

KE **KENYA**

KP KOREA (DEM. PEOPLE'S REP. OF)

KR KOREA (REPUBLIC OF)

KW **KUWAIT KYRGYZSTAN** KG

LAO PEOPLE'S DEM. REP. LA

LV **LATVIA** LB **LEBANON** LS LESOTHO LR LIBERIA

LI LIECHTENSTEIN LT LITHUANIA LU LUXEMBOURG

MACAU MO

MG **MADAGASCAR** MW **MALAWI** MY **MALAYSIA MALDIVES** MV ML**MALI** MT **MALTA MARTINIQUE** MQ MR **MAURITANIA**

MU **MAURITIUS** YT **MAYOTTE** MX **MEXICO**

MD MOLDOVA (REPUBLIC OF)

MONACO MC MN **MONGOLIA MONTENEGRO** ME MS **MONTSERRAT** MA **MOROCCO** MZ**MOZAMBIQUE** MM **MYANMAR** NA **NAMIBIA** NP **NEPAL**

NL NETHERLANDS

AN NETHERLANDS ANTILLES

NC **NEW CALEDONIA NEW ZEALAND** NZ **NICARAGUA** NI NE **NIGER** NG **NIGERIA** NO **NORWAY** OM **OMAN** PK **PAKISTAN** PW **PALAU**

PG PAPUA NEW GUINEA

PANAMA

PY PARAGUAY

PA

WO PATENT COOPERATION TREATY

PE**PERU** PH **PHILIPPINES** PL **POLAND** PT **PORTUGAL** PR PUERTO RICO QA **QATAR** RE **RÉUNION** RO **ROMANIA**

RU RUSSIAN FEDERATION

RW **RWANDA** WS **SAMOA** SM SAN MARINO SA SAUDI ARABIA SN **SENEGAL** RS **SERBIA** SC **SEYCHELLES** SL SIERRA LEONE SG **SINGAPORE** SK **SLOVAKIA** SI **SLOVENIA** SO **SOMALIA** SOUTH AFRICA ZA

ES SPAIN
LK SRI LANKA
SD SUDAN
SR SURINAME
SZ SWAZILAND
SE SWEDEN

CH SWITZERLAND

SY SYRIAN ARAB REPUBLIC TW TAIWAN, PROVINCE OF CHINA

TJ TAJIKISTAN

TZ TANZANIA, UNITED REPUBLIC OF

TH THAILAND
TL TIMOR-LESTE

TG TOGO
TK TOKELAU
TO TONGA

TT TRINIDAD AND TOBAGO TN**TUNISIA TURKEY** TR TM**TURKMENISTAN** TV **TUVALU** UG **UGANDA** UA **UKRAINE** AΕ **UNITED ARAB EMIRATES** GB UNITED KINGDOM US **UNITED STATES** UY **URUGUAY** UZ **UZBEKISTAN** VU **VANUATU** VE **VENEZUELA VIET NAM** VN YE YEMEN YU YUGOSLAVIA ZM **ZAMBIA**

ZIMBABWE

4 Notified IPRs

ZW

4.0 General and reporting tools

The Licensing Declarations given for the IPRs are publicly available in the ETSI IPR database [i.4]. There are various tools to access and query the data contained in the database. These tools are constantly evolving due to the complexity of data, the changing environment, and the users' evolving needs.

The main reporting tools used to be:

- SR 000 314 report and its electronic attachment (extraction file);
- Dynamic reporting tool.

The extraction file gradually became heavy and challenging to manipulate, due to the continuously growing volume of the data. The latest version of the extraction file remained available until the special report and the full set of data were migrated to other tools.

Due to the increasing complexity of data, the customisable queries of the Dynamic reporting became less performant, and ETSI decided to discontinue this feature on 26 June 2025. A pop-up window was available directly in the feature to inform users of the upcoming closure and provided links to the alternative and most recent reporting tools.

ETSI has released the following new reporting/monitoring tools:

- Power BI Dashboard
- IPR CSV export files
- SQL Generic Query Access

Future developments:

- The Power BI Dashboard will continuously evolve with the suggestions collected from ETSI members and users.
- The IPR CSV export files are planned to be replaced with API based solutions in 2026, to provide our users with the relevant data in a timely manner.

ETSI has not checked the validity of the information, nor the relevance of the identified IPRs to the Standards or Technical Specifications and cannot confirm, or deny, that the IPRs are, in fact, essential, or potentially essential. No investigation, or IPR searches, have been carried out by ETSI and therefore no guarantee can be given concerning the existence of other IPRs which are, or may become, essential.

4.1 Notifications

Essential, or potentially essential, IPRs in respect of which a notification followed by a Licensing Declaration has been given by the IPR owner and for which licences are available on fair, reasonable and non-discriminatory terms, in accordance with article 6.1 and comply with clause 6.1 bis of the ETSI IPR Policy [i.1], annex 6 of the ETSI Rules of Procedure [i.3]. The ETSI IPR Policy can be found at https://portal.etsi.org/Resources/ETSI-Directives.

NOTE: Notification to ETSI of Essential or potentially Essential IPRs should be made using the ETSI IPR database at https://ipr.etsi.org. Additional information can be found at https://ipr.etsi.org. Additional information can be found at https://www.etsi.org/intellectual-property-rights [i.4], [i.2] and [i.5].

- 4.1.1 Void
- 4.2 Void
- 4.3 Void

Annex A: Void

History

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