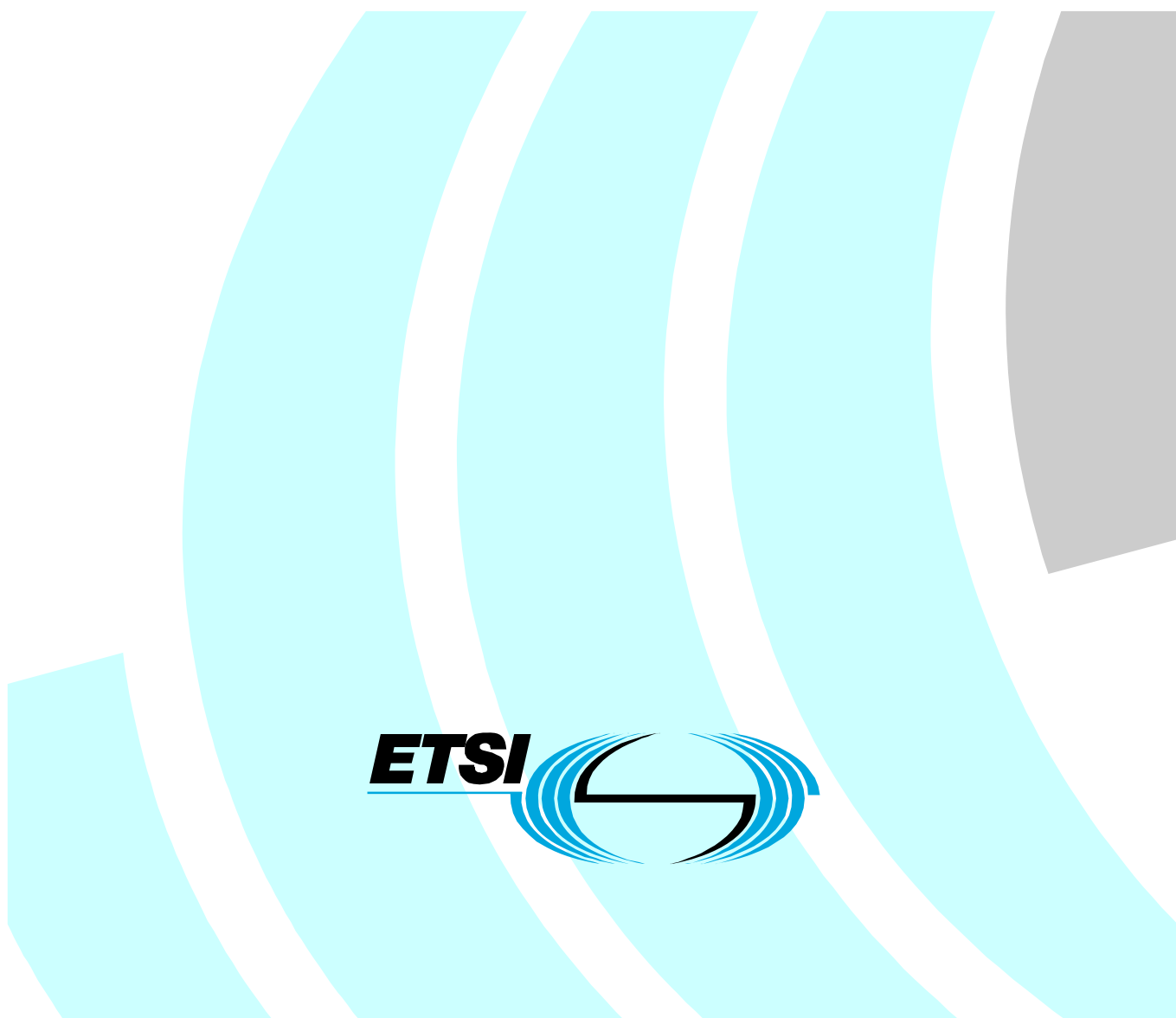


Intellectual Property Rights (IPRs); Essential, or potentially Essential, IPRs notified to ETSI in respect of ETSI standards



Reference

RSR/BOARD-00008

Keywords

IPR

ETSI

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Association à but non lucratif enregistrée à la
Sous-Préfecture de Grasse (06) N° 7803/88

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Intellectual Property Rights

IPRs essential or potentially essential to the present document may have been declared to ETSI. The information pertaining to these essential IPRs, if any, is publicly available for **ETSI members and non-members**, and can be found in ETSI SR 000 314: "*Intellectual Property Rights (IPRs); Essential, or potentially Essential, IPRs notified to ETSI in respect of ETSI standards*", which is available from the ETSI Secretariat. Latest updates are available on the ETSI Web server (<http://webapp.etsi.org/IPR/home.asp>).

Pursuant to the ETSI IPR Policy, no investigation, including IPR searches, has been carried out by ETSI. No guarantee can be given as to the existence of other IPRs not referenced in ETSI SR 000 314 (or the updates on the ETSI Web server) which are, or may be, or may become, essential to the present document.

Foreword

The present document identifies IPRs, particularly patents and patent applications, which have been notified to ETSI as being Essential, or Potentially Essential, to ETSI standards.

The present document has been prepared on the basis of information received. ETSI has not checked the validity of the information, nor the relevance of the identified patents/patent applications to the ETSI standards and cannot confirm, or deny, that the patents/patent applications are, in fact, essential, or potentially Essential. No investigation, or IPR searches, have been carried out by ETSI and therefore no guarantee can be given concerning the existence of other IPRs which are, or may become, essential.

It should also be noted that whilst ETSI members are not obliged to conduct IPR searches they are obliged to make reasonable efforts to inform ETSI of any Essential IPRs of which they become aware (see article 4 of the ETSI IPR Policy [1]).

The present document will be maintained by the ETSI Secretariat and further editions will be issued as, and when, necessary. Any errors in the information contained in the present document, or any additional information concerning Essential IPRs, of which readers of the present document become aware, should be notified to the ETSI Secretariat (for the attention of the Legal Service).

NOTE: The present document is also available at
<http://www.etsi.org/WebSite/AboutETSI/IPRsInETSI/iprdb.aspx>.

1 Scope

The present document identifies IPRs, particularly patents and patent applications, which have been notified to ETSI as being Essential, or potentially Essential, to ETSI standards.

Unless otherwise specified, all IPRs included into the present document have been notified to ETSI, with an undertaking from the owner to grant licences according to the terms and conditions of article 6.1 of the ETSI IPR Policy [1], Annex 6 of the ETSI Rules of Procedure [3].

2 References

References are either specific (identified by date of publication and/or edition number or version number) or non-specific. For specific references, only the cited version applies. For non-specific references, the latest version of the reference document (including any amendments) applies.

Referenced documents which are not found to be publicly available in the expected location might be found at <http://docbox.etsi.org/Reference>.

NOTE: While any hyperlinks included in this clause were valid at the time of publication ETSI cannot guarantee their long term validity.

2.1 Normative references

The following referenced documents are necessary for the application of the present document.

[1] ETSI IPR Policy.

NOTE: The ETSI IPR Policy can be found at <http://portal.etsi.org/Directives/home.asp>.

[2] ETSI Guide on IPRs.

NOTE: The ETSI Guide on IPRs is to be found at <http://portal.etsi.org/Directives/home.asp>.

[3] ETSI Rules of Procedures (RoP).

NOTE: The ETSI Rules of Procedures (RoP) can be found at <http://portal.etsi.org/Directives/home.asp>.

2.2 Informative references

The following referenced documents are not necessary for the application of the present document but they assist the user with regard to a particular subject area.

[i.1] ETSI IPR database.

NOTE: Notification to ETSI of Essential or potentially Essential IPRs should be made using the combined [information statement and licensing declaration forms](http://www.etsi.org/WebSite/AboutETSI/IPRsInETSI/iprdb.aspx). Additional information can be found at <http://www.etsi.org/WebSite/AboutETSI/IPRsInETSI/iprdb.aspx>.

[i.2] Declare your IPRs to ETSI.

NOTE: A step by step explanation for filling out the above mentioned forms is to be found in the [guidelines on how to declare an essential IPR and how to make a licensing declaration to ETSI](http://www.etsi.org/WebSite/AboutETSI/IPRsInETSI/iprdb.aspx). Additional information can be found at <http://www.etsi.org/WebSite/AboutETSI/IPRsInETSI/iprdb.aspx>.

3 Definitions and abbreviations

3.1 Definitions

The terms "ESSENTIAL", "IPR" and "STANDARD" given below are defined in the "Definitions" annex of the ETSI IPR Policy [1].

ESSENTIAL: as applied to IPR means that it is not possible on technical (but not commercial) grounds, taking into account normal technical practice and the state of the art generally available at the time of standardization, to make, sell, lease, otherwise dispose of, repair, use or operate EQUIPMENT or METHODS which comply with a STANDARD without infringing that IPR.

For the avoidance of doubt in exceptional cases where a STANDARD can only be implemented by technical solutions, all of which are infringements of IPRs, all such IPRs shall be considered ESSENTIAL.

NOTE: In practical terms, the existence of an Essential IPR makes it necessary to have a licence in order to exploit the standard concerned.

IPR: shall mean any intellectual property right conferred by statute law including applications therefore other than trademarks.

For the avoidance of doubt rights relating to get up, confidential information, trade secrets or the like are excluded from the definition of IPR.

STANDARD: shall mean any standard adopted by ETSI including options therein or amended versions and shall include European Standards (ENs) (telecommunications series), ETSI standards (ESs), Common Technical Regulations (CTRs) which are taken from ENs (telecommunications series) and including drafts of any of the foregoing, and documents made under the previous nomenclature, including ETSS, I-ETSS, parts of NETs and TBRs, the technical specifications of which are available to all MEMBERS, but not including any standards, or parts thereof, not made by ETSI.

The date on which a STANDARD is considered to be adopted by ETSI for the purposes of this POLICY shall be the date on which the technical specification of that STANDARD was available to all MEMBERS.

The following definitions also apply:

notified: means any IPR information of which ETSI has been formally notified by the owner of the IPR or, any IPR information of which ETSI has become aware, pursuant to the IPR Policy

IPR licensing declaration: this is a declaration to the effect that the IPR owner declares that he is prepared to grant licences on fair, reasonable and non-discriminatory terms, in accordance with article 6.1 of the ETSI IPR Policy

3GPP standards: all standards issued by ETSI in relation to 3rd Generation Partnership Project (3GPP)

NOTE: From <http://portal.etsi.org/>, click on the "3GPP" tab.

BRAN standards: all standards issued by ETSI Project Broadband Radio Access Networks (BRAN)

NOTE: From <http://portal.etsi.org/>, click on the "BRAN" tab.

DAB standards: all standards issued by ETSI in relation to Digital Audio Broadcasting (DAB)

NOTE: See <http://www.etsi.org/WebSite/Technologies/DAB.aspx>.

DCS 1800 standards: all standards issued by ETSI in relation to European Cellular Digital - Global System for Mobile Communication - DCS 1800 extensions

NOTE: From <http://portal.etsi.org/>, click on the "3GPP" tab.

DECT standards: all standards issued by ETSI in relation to Digital Enhanced Cordless Telephone (DECT), previously known as Digital Enhanced Cordless Telephone (DECT)

NOTE: From <http://portal.etsi.org/>, click on the "DECT" tab.

DTM standards: all standards issued by ETSI in relation to Dynamic synchronous Transfer Mode (DTM)

NOTE: SPAN Technical Body has closed. See http://portal.etsi.org/tb/closed_tb/span.asp.
All SPAN standards can now be found under TISPAN.

DVB standards: all standards issued by ETSI in relation to Digital Video Broadcasting (DVB)

NOTE: See <http://www.etsi.org/WebSite/Technologies/DVB.aspx>.

DECT/GSM interworking standards: all standards issued by ETSI in relation to interworking between DECT and GSM

ERMES standards: all standards issued by ETSI in relation to European Radio Messaging System (ERMES)

NOTE: From <http://portal.etsi.org/>, click on the "ERM" tab.

GPRS standards: all standards issued by ETSI in relation to General Packet Radio Service (GPRS)

NOTE: From <http://portal.etsi.org/>, click on the "3GPP" tab.

GSM standards: all standards issued by ETSI in relation to European Cellular Digital - Global System for Mobile Communication (GSM)

NOTE: From <http://portal.etsi.org/>, click on the "3GPP" tab.

HDSL standards: all standards issued by ETSI in relation to High bit rate Digital Subscriber Line (HDSL)

NOTE: From <http://portal.etsi.org/>, click on the "TM" then "TM 06" tab.

HIPERLAN standards: all standards issued by ETSI in relation to High Performance Radio Local Area Network (HIPERLAN)

ISDN standards: all standards issued by ETSI in relation to Integrated Services Digital Network (ISDN)

NOTE: From <http://portal.etsi.org/>, click on the "SPAN" tab.

Lawful Interception (LI) standards: all standards issued by ETSI in relation to Lawful Interception (LI)

NOTE: See <http://portal.etsi.org/li/Summary.asp>.

PSTN standards: all standards issued by ETSI in relation to Public Switched Telephone Network (PSTN)

NOTE: From <http://portal.etsi.org/>, click on the "AT" tab.

SDSL standards: all standards issued by ETSI in relation to Symmetrical Digital Subscriber Line (SDSL)

NOTE: From <http://portal.etsi.org/>, click on the "TM" then "TM 06" tab.

STAG standards: all standards issued by ETSI in relation to Security Techniques Advisory Group (STAG)

NOTE: From <http://portal.etsi.org/>, click on the "SEC" tab.

Television systems standards: all standards issued by ETSI in relation to TV broadcasting systems

NOTE: From <http://portal.etsi.org/>, click on the "BROADCAST" tab.

TETRA standards: all standards issued by ETSI in relation to Terrestrial Trunked Radio (TETRA), previously known as Trans-European Trunked Radio (TETRA)

NOTE: From <http://portal.etsi.org/>, click on the "TETRA" tab.

TFTS standards: all standards issued by ETSI in relation to Terrestrial Flight Telecommunication System (TFTS)

NOTE: From <http://portal.etsi.org/>, click on the "ERM" tab.

TIPHON standards: all standards issued by ETSI in relation to Telecommunications And Internet Protocol Harmonization Over Networks (TIPHON)

NOTE: TIPHON Technical Body has closed. See http://portal.etsi.org/tb/closed_tb/tiphon.asp.
All TIPHON standards can now be found under TISPAN.

UMTS standards: all standards issued by ETSI in relation to Universal Mobile Telecommunications System (UMTS)

NOTE: From <http://portal.etsi.org/>, click on the "3GPP" tab.

VDSL standards: all standards issued by ETSI in relation to Very high speed Digital Subscriber Line (VDSL)

NOTE: From <http://portal.etsi.org/>, click on the "TM" then "TM 06" tab.

3.2 Abbreviations

For the purposes of the present document, the following abbreviations apply:

AD	Andorra
AE	United Arab Emirates
AF	Afghanistan
AG	Antigua and Barbuda
AI	Anguilla
AL	Albania
AM	Armenia
AN	Netherlands Antilles
AO	Angola
AQ	Antarctica
AR	Argentina
ARIPO	African Regional Intellectual Property Organization
AS	American Samoa
AT	Austria
AU	Australia
AW	Aruba
AZ	Azerbaijan
BA	Bosnia and Herzegovina
BB	Barbados
BD	Bangladesh
BE	Belgium
BF	Burkina Faso
BG	Bulgaria
BH	Bahrain
BI	Burundi
BJ	Benin
BM	Bermuda
BN	Brunei Darussalam
BO	Bolivia
BR	Brazil
BS	Bahamas
BT	Bhutan
BV	Bouvet Island
BW	Botswana
BY	Belarus
BZ	Belize
CA	Canada
CC	Cocos (Keeling) Islands
CF	Central African Republic
CG	Congo
CH	Switzerland
CI	Côte d'Ivoire
CK	Cook Islands
CL	Chile

CM	Cameroon
CN	China
CO	Colombia
CR	Costa Rica
CU	Cuba
CV	Cape Verde
CX	Christmas Island
CY	Cyprus
CZ	Czech Republic
DE	Germany
DJ	Djibouti
DK	Denmark
DM	Dominica
DO	Dominican Republic
DZ	Algeria
EAPO	Eurasian Patent Office
EC	Ecuador
EE	Estonia
EG	Egypt
EH	Western Sahara
EPC	European Patent Convention
EPO	European Patent Office
ER	Eritrea
ES	Spain
ET	Ethiopia
EU	European Union
FI	Finland
FJ	Fiji
FK	Falkland Islands (Malvinas)
FM	Micronesia (Feder. States Of)
FO	Faroe Islands
FR	France
FX	France, Metropolitan
GA	Gabon
GB	United Kingdom
GD	Grenada
GE	Georgia
GF	French Guiana
GH	Ghana
GI	Gibraltar
GL	Greenland
GM	Gambia
GN	Guinea
GP	Guadeloupe
GQ	Equatorial Guinea
GR	Greece
GS	So. Georgia And So. Sandwich
GT	Guatemala
GU	Guam
GW	Guinea-Bissau
GY	Guyana
HK	Hong Kong
HM	Heard And Mcdonald Islands
HN	Honduras
HR	Croatia
HT	Haiti
HU	Hungary
ID	Indonesia
IE	Ireland
IL	Israel
IN	India
IO	British Indian Ocean Territory

IPC	International Patent Convention
IQ	Iraq
IR	Iran (Islamic Republic Of)
IS	Iceland
IT	Italy
JM	Jamaica
JO	Jordan
JP	Japan
KE	Kenya
KG	Kyrgyzstan
KH	Cambodia
KI	Kiribati
KM	Comoros
KN	Saint Kitts And Nevis
KP	Korea, Dem. People's Rep. Of
KR	Korea, Republic Of
KW	Kuwait
KY	Cayman Islands
KZ	Kazakhstan
LA	Lao People's Dem. Rep.
LB	Lebanon
LC	Saint Lucia
LI	Liechtenstein
LK	Sri Lanka
LR	Liberia
LS	Lesotho
LT	Lithuania
LU	Luxembourg
LV	Latvia
LY	Libyan Arab Jamahiriya
MA	Morocco
MC	Monaco
MD	Moldova, Republic Of
MG	Madagascar
MH	Marshall Islands
MK	FYROM (The Former Yugoslav Republic of Macedonia)
ML	Mali
MM	Myanmar
MN	Mongolia
MO	Macau
MP	Northern Mariana Islands
MQ	Martinique
MR	Mauritania
MS	Montserrat
MT	Malta
MU	Mauritius
MV	Maldives
MW	Malawi
MX	Mexico
MY	Malaysia
MZ	Mozambique
NA	Namibia
NC	New Caledonia
NE	Niger
NF	Norfolk Island
NG	Nigeria
NI	Nicaragua
NL	Netherlands
NO	Norway
NP	Nepal
NR	Nauru
NU	Niue

NZ	New Zealand
OAPI	African Intellectual Property Organization
OM	Oman
PA	Panama
PCT	Patent Cooperation Treaty
PE	Peru
PF	French Polynesia
PG	Papua New Guinea
PH	Philippines
PK	Pakistan
PL	Poland
PM	Saint Pierre Et Miquelon
PN	Pitcairn
PR	Puerto Rico
PT	Portugal
PW	Palau
PY	Paraguay
QA	Qatar
RE	Réunion
RO	Romania
RU	Russian Federation
RW	Rwanda
SA	Saudi Arabia
SB	Solomon Islands
SC	Seychelles
SD	Sudan
SE	Sweden
SG	Singapore
SH	Saint Helena
SI	Slovenia
SJ	Svalbard And Jan Mayen
SK	Slovakia
SL	Sierra Leone
SM	San Marino
SN	Senegal
SO	Somalia
SR	Suriname
ST	Sao Tome And Principe
SV	El Salvador
SY	Syrian Arab Republic
SZ	Swaziland
TC	Turks And Caicos Islands
TD	Chad
TF	French Southern Territories
TG	Togo
TH	Thailand
TJ	Tajikistan
TK	Tokelau
TM	Turkmenistan
TN	Tunisia
TO	Tonga
TP	East Timor
TR	Turkey
TT	Trinidad And Tobago
TV	Tuvalu
TW	Taiwan, Province Of China
TZ	Tanzania, United Republic Of
UA	Ukraine
UG	Uganda
UM	U.S. Minor Outlying Islands
US	United States
UY	Uruguay

UZ	Uzbekistan
VA	Vatican City State
VC	Saint Vincent And Grenadines
VE	Venezuela
VG	Virgin Islands (British)
VI	Virgin Islands (U.S.)
VN	Viet Nam
VU	Vanuatu
WF	Wallis And Futuna Islands
WS	Samoa
YE	Yemen
YT	Mayotte
YU	Yugoslavia
ZA	South Africa
ZM	Zambia
ZR	Zaire
ZW	Zimbabwe

4 Notified IPRs

The Licensing Declarations given for the IPRs are contained in archive sr_000314v020901p0.zip which accompanies the present document.

ETSI has not checked the validity of the information, nor the relevance of the identified IPRs to the ETSI standards and cannot confirm, or deny, that the IPRs are, in fact, essential, or potentially essential. No investigation, or IPR searches, have been carried out by ETSI and therefore no guarantee can be given concerning the existence of other IPRs which are, or may become, essential.

4.1 Notifications

Essential, or potentially essential, IPRs in respect of which a notification followed by a Licensing Declaration has been given by the IPR owner and for which licences are available on fair, reasonable and non-discriminatory terms, in accordance with article 6.1 of the ETSI IPR Policy [1], annex 6 of the ETSI Rules of Procedure [3]. The ETSI IPR Policy can be found at <http://portal.etsi.org/directives/>.

NOTE: Notification to ETSI of Essential or potentially Essential IPRs should be made using the combined [information statement and licensing declaration forms](#). Additional information can be found at <http://www.etsi.org/WebSite/AboutETSI/IPRsInETSI/iprdb.aspx>.

4.1.1 List of Project Names used in the ETSI IPR Database

3GPP, 3GPP Release 7, 3GPP/AMR-WB, 3GPP/AMR-WB+, 3GPP/EMS, ADSL, AMR, BRAN, Cable Modem, Circuit Switched Core, CSICS, DAB, DCS 1800, DECT, DECT/GSM, DIIS, DMR, dPMR, DRM, DTM, DTS, DVB, DVB-C2, DVB-H, DVB-S2, DVB-SH, DVB-T2, eCall, EDATA, EDCH, Electronic Signature, ERM, ERMES, E-UTRA, FLO, GAAI, GERAN, GERAN Release 6, GERAN Release 7, GERAN Release 8, GERAN Release 98, GMPRS, GMR, GPRS, GSM, GSM Release 7, GSM/AMR-NB, GSM/TDMA, HCI, HDSL, HIPERLAN, HIPERLAN 2, HIPERMAN, HSPA+, HSUPA, Hybrid Broadcast Broadband TV, IMS, IPCablecom, ISDN, ITS, Lawful Interception, LCS, LCS-128 Pos, LPR, LTE, LTE Release 10, LTE Release 8, LTE Release 9, M-COMM, MHP-Broadcast, MMB, MMB Release 1, MMS, NT NGN, PLT, PSTN, RES, SAE, SDH, SDSL, SES, SES/SDR, Smart Card, SNOW 3G, SNOW 3G Release 7, Speech Recognition, STAG, TD-SCDMA, Television systems, TETRA, TETRA DMO, TFTS, TIPHON, TISPAN, TISPAN_NGN, UICC, UMTS, UMTS FDD, UMTS Release 5, UMTS Release 6, UMTS Release 7, UMTS Release 8, UMTS Release 9, UMTS Release 99, UMTS/CDMA, V5 Interface, VDSL, WCDMA.

4.2 Withdrawals

BT On 24 June 2002, BT has requested ETSI "to delete" the following IPRs from the IPR database:

Application No.
158 852 (filed 29 Nov. 93)
232 475 (filed 25 Apr. 94)
96-500498
954682

France Telecom: has requested ETSI (letter of 16 September 2003), to withdraw from the ETSI IPR online database and the present document its IPR declared on 17 September 1998, related to GSM/AMR-NB and concerning the patent no. 2734389, on the basis that France Telecom had not been selected for the B-FRANC candidature for AMR selection.

InterDigital Patent Holdings Inc

On 16 September 2010, InterDigital Patent Holdings Inc. informed ETSI that upon further review, it considers that the following IPR declared on 19 September 2008 are not essential:

**Patent/Application No.
for US Patents/Applications
in Same Family**

**Patent/Application No.
for non-US Patents/Applications
in Same Family**

12/180,288

P080103257
97128696
PCT/US08/71229

12/183,182

P080103388
97129144
97213694
PCT/US08/71554

12/181,424

P080103390
97128701
PCT/US08/71356

12/186,608

P080103427
97129961
PCT/US08/72294

12/185,179

P080103522
97129956
PCT/US08/72200

12/188,738

P080103493
97130592
PCT/US08/72635

12/190,296

P080103546
97130892
PCT/US08/72976

12/240,455	P080104289 200820177368.5 97137616 97217567 2008-001995 PCT/US08/78084
12/241,834	P080104288 97137622 98115606 PCT/US08/78331
12/233,902	P080104374 97136208 PCT/US08/77187
12/261,437	P080104800 97142273 PCT/US08/81844
12/261,412	P08010892 200820177035.2 97142275 97219573 2008-002306 PCT/US08/81842
12/407,530	P090101000 98108950 PCT/US09/37668

InterDigital Technologies Corporation:

has requested ETSI (on 30 October 2006) to remove the following IPRs of 10 April 2001 and 8 April 2004:

EP Serial No.	EP Patent No.
99122091.4	0984577
00111007.1	1037395
00114940.0	1041728
02005247.8	1213846
02005244.5	1213854
00930830.5	1179279 (European Patent (UK) only)
92903307.4	0514539

By letter of 21 March 2007, InterDigital Technologies Corporation has informed ETSI that upon further review:

- it was determined that the above EP Patent Nos.: 0984577, 1037395, 1041728, 1213846 and 1213854 are not essential;
- it was determined that EP Patent No.: 0514539 is no longer subsisting;
- with regards to EP Patent No. 1179279, only the European Patent (UK) has lapsed.

By letter of 19 September 2008, InterDigital Technologies Corporation has informed ETSI that upon further review it considers that the following IPR are not essential:

Patent No.	Serial No.
US 5260967	07/819,906
US 5563907	08/423,519
US 5748687	08/669,776
US 5920590	08/889,281
US 5943331	08/807,228
US 6141332	09/280,327
US 6980538	09/880,977
US 6985467	09/757,768
US 6839567	10/400,343
EP 0801856	95943981.1
EP 0908021	97930175.1
EP 1043844	00114941.8
EP 1172942	01124286.4

In addition, InterDigital Technologies Corporation informed ETSI that "a judgment was rendered in a UK legal proceeding identified as Case No.: HC 05 C02026 in the High Court of Justice, Chancery Division Patents Court. The court rendered a judgment that EP (UK) 0 855 807, EP (UK) 1 062 749 and EP (UK) 1 210 777 were not essential. The Court's judgment was not entered as a final order, and the case was settled prior to any appeal. Despite the Court's findings, InterDigital believes these patents are essential and therefore maintains its declarations with respect to them."

On 19 September 2008, InterDigital Technology Corporation has informed ETSI that upon further review, it considers that the following IPR(s) declared to ETSI on 8 April 2004 are not essential:

Application No.	Patent No.	Standard No.
98100395.7	EP(UK)0855807	3GPP TS 21.101 Release 5; ETSI TS 121.101
99914923	1062749	3GPP TS 21.101 Release 5; ETSI TS 121.101
955636.6	EP(UK)1210777	3GPP TS 21.101 Release 5; ETSI TS 121.101
W00200400248		3GPP TS 21.101 Release 5; ETSI TS 121.101
PCT/US02/24898		3GPP TS 21.101 Release 5; ETSI TS 121.101
2246891.9	ZL02246891.9	3GPP TS 21.101 Release 5; ETSI TS 121.101
2246890		3GPP TS 21.101 Release 5; ETSI TS 121.101
2246889.7	ZL02246889.7	3GPP TS 21.101 Release 5; ETSI TS 121.101
2247431.5	ZL02247431.5	3GPP TS 21.101 Release 5; ETSI TS 121.101
20212379	20212379	3GPP TS 21.101 Release 5; ETSI TS 121.101
20212378.2	20212378.2	3GPP TS 21.101 Release 5; ETSI TS 121.101
20212377.4	20212377.4	3GPP TS 21.101 Release 5; ETSI TS 121.101
20212376.6	20212376.6	3GPP TS 21.101 Release 5; ETSI TS 121.101
2756979.7		3GPP TS 21.101 Release 5; ETSI TS 121.101
10-2003-0079334		3GPP TS 21.101 Release 5; ETSI TS 121.101
10-2003-0071072		3GPP TS 21.101 Release 5; ETSI TS 121.101
10-2003-0073870		3GPP TS 21.101 Release 5; ETSI TS 121.101
10-2003-0075375		3GPP TS 21.101 Release 5; ETSI TS 121.101
2002-23988	296456	3GPP TS 21.101 Release 5; ETSI TS 121.101
2002-23989	293344	3GPP TS 21.101 Release 5; ETSI TS 121.101
2002-23990	294224	3GPP TS 21.101 Release 5; ETSI TS 121.101
2002-23991	294508	3GPP TS 21.101 Release 5; ETSI TS 121.101
PA/A/2004/001262		3GPP TS 21.101 Release 5; ETSI TS 121.101
20040587		3GPP TS 21.101 Release 5; ETSI TS 121.101
PCT/US02/24898		3GPP TS 21.101 Release 5; ETSI TS 121.101
91117604	184714	3GPP TS 21.101 Release 5; ETSI TS 121.101
92127565		3GPP TS 21.101 Release 5; ETSI TS 121.101
91212434		3GPP TS 21.101 Release 5; ETSI TS 121.101
91212435	211000	3GPP TS 21.101 Release 5; ETSI TS 121.101
91212436		3GPP TS 21.101 Release 5; ETSI TS 121.101
91212437	211464	3GPP TS 21.101 Release 5; ETSI TS 121.101

10/152,104		3GPP TS 21.101 Release 5; ETSI TS 121.101
P030101101		3GPP TS 21.101 Release 5; ETSI TS 121.101
3246559.9		3GPP TS 21.101 Release 5; ETSI TS 121.101
3246560.2		3GPP TS 21.101 Release 5; ETSI TS 121.101
20305080	20305080	3GPP TS 21.101 Release 5; ETSI TS 121.101
20305079.7	20305079.7	3GPP TS 21.101 Release 5; ETSI TS 121.101
3102238.5	HK1055210	3GPP TS 21.101 Release 5; ETSI TS 121.101
3102239.4	HK1055209	3GPP TS 21.101 Release 5; ETSI TS 121.101
20-2003-9385	317022	3GPP TS 21.101 Release 5; ETSI TS 121.101
20-2003-9383	316215	3GPP TS 21.101 Release 5; ETSI TS 121.101
PI20031152		3GPP TS 21.101 Release 5; ETSI TS 121.101
92107094		3GPP TS 21.101 Release 5; ETSI TS 121.101
92127609		3GPP TS 21.101 Release 5; ETSI TS 121.101
92204884		3GPP TS 21.101 Release 5; ETSI TS 121.101
92204883		3GPP TS 21.101 Release 5; ETSI TS 121.101
10/335,347		3GPP TS 21.101 Release 5; ETSI TS 121.101
PCT/US03/09396		3GPP TS 21.101 Release 5; ETSI TS 121.101

On 19 September 2008, InterDigital Technology Corporation has informed ETSI that upon further review, it considers that the following mentioned IPR(s) declared to ETSI on 21 March 2007 are not essential.

**Patent/Application No.
for US Patents/Applications
in Same Family**

10/152,104
11/313,413

**Patent/Application No.
for non-US Patents/Applications
in Same Family**

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2005-238989
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10-2005-0090784
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W00200400248
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PCT/US02/24898
PCT/US02/24898
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ZL02246889.7
ZL02247431.5
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202123774
20212376.6
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PCT/US07/05921

On 14 September 2009, InterDigital Technology Corporation has informed ETSI that upon further review, it considers that the following mentioned IPR(s) declared to ETSI are not essential.

**Patent/Application No.
for US Patents/Applications
in Same Family**

**Patent/Application No.
for non-US Patents/Applications
in Same Family**

Declared to ETSI on 8 April 2004:
6141332

Declared to ETSI on 21 March 2007:
11/627,007

P070100378
96102910
96201510
2007-000169
PCT/US07/02114

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P070100779
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Declared to ETSI on 19 September 2008:

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200610099694.4

ZL200720001430.0
2007-000367

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12/048,089	PCT/US08/03337
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12/051,380	P080101164 97109735 PCT/US08/03575
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12/114,013	P080101924 97116544 PCT/US08/62392

On 16 September 2010, InterDigital Technology Corporation has informed ETSI that, upon further review, it considers that the following IPR are not essential:

Patent/Application No. for US Patents/Applications in Same Family	Patent/Application No. for non-US Patents/Applications in Same Family
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Declared to ETSI on 8 April 2004:

6389002; 09/400,715
09/878,586

Declared to ETSI on 21 March 2007:

7,065,366	2,486,707 03811721.5
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11/118,872	P030103420 03822112.8 ZL03252666.0
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Declared to ETSI on 19 September 2008:

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11/614,764	2006332854 PCT/US06/49306 PCT/US06/49306 06846062.5 W00200802343 5707/DELNP/2008 10-2008-7018537 MX/A/2008/008481 200804901-7 PCT/US07/02132 200720002234.5 6705/DELNP/2008
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11/626,538	P070100422 ZL200720001428.3 202007001269.1 96102909 M320260 2007-000199 PCT/US07/02232 2006212864 PI0606572-4 2,597,303 200680004085.4 06734470.5 AP2006010256 PCT/US06/04215 W00200702316 184580 5378/DELNP/2007 2007-555166 10-2007-7020121 MX/A/2007/009555 20074409 200705479-4 97125793 2007-000443
11/688,397	P070101140 2007-000522 PCT/US07/06887
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Declared to ETSI on 14 September 2009:

12/483,614	200580011540.9 05740999.7 2007-510943 10-2005-0036432
11/116,017	10-2005-0090786 98101110 94113564

Motorola:

has requested ETSI (on 11 March 2004) to remove the Patent number US 09/427497 from its Information Statement (6 September 2002) and Licensing Declaration (6 December 2002) of essential IPRs, referring to ETSI ES 202 050.

Nokia Corporation

On 21 August 2007, Nokia Corp. has informed ETSI that it no longer considers the following Patent/Patent application to be essential with regard to the following standard(s), under UMTS Project only:

Application No.	Patent No.	Standard No.
54251/99		TS 45.003; TS 06.93
PI9912994-9		TS 45.003; TS 06.93
99810507		TS 45.003; TS 06.93
19941331		TS 45.003; TS 06.93
981869	105635	TS 45.003; TS 06.93
9910952		TS 45.003; TS 06.93
9920153.5		TS 45.003; TS 06.93
MI99A001858		TS 45.003; TS 06.93
11-247569		TS 45.003; TS 06.93
2001-7002028		TS 45.003; TS 06.93
09/387369		TS 45.003; TS 06.93
PCT/FI99/00706	WO 00/13448	TS 45.003; TS 06.93
25240/99		TS 45.003
99803190		TS 45.003
99904895		TS 45.003
980431	107665	TS 45.003
PCT/2000/00421/CHE		TS 45.003
2000533945		TS 45.003
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PCT/FI99/00133	WO99/44294	TS 45.003
20002399		TS 45.003
10/021748		TS 45.003
PCT/FI01/00946		TS 45.003
98106901		TS 45.003
19815597		TS 45.003
971484		TS 45.003
9804479	9804479	TS 45.003
9807608.6	2329803	TS 45.003
759/MAS/98		TS 45.003
MI98A000766	1299063	TS 45.003
10-098937		TS 45.003
09/057680, 09/73028		TS 45.003
PCT/FI98/00237		TS 45.003
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KR 99-7009285		TS 45.003
9950051		TS 45.003
1846/99		TS 45.003

On 21 August 2007, Nokia Corp. has informed ETSI that it no longer considers the EP family members of the following Patent/Patent application to be essential under UMTS Project only:

Application No	Standard No.
99911826.8	TS 25.212
00901129.7	TS 29.007

On 21 August 2007, Nokia Corp. has informed ETSI that it no longer considers the following US patents to be essential under UMTS Project only:

Application No.	Patent No.	Standard No.
09/628868		TS 25.212
08/619701	5722074	TS 25.402; TR 25.990

On 21 August 2007, Nokia Corp. has informed ETSI that it no longer considers the EP family members of the following Patent/Patent application to be essential under UMTS Project only:

Application No.	Patent No.	Standard No.
99303192		TS 25.211; TS 2302; TS 25.331
93920867	720805	TS 25.402; TR 25.990

On 21 August 2007, Nokia Corp. has informed ETSI that it no longer considers the following patent family to be essential under UMTS Project only:

Application No.	Standard No
2002-7010678	3GPP TS 22.016
2002-6321	3GPP TS 22.016
200204830-4	3GPP TS 22.016
09/783917	3GPP TS 22.016
1920995.6	3GPP TS 22.016
1805183.9	3GPP TS 22.016
PCT/US01/40141	3GPP TS 22.016
PI0108270-1	3GPP TS 22.016
2001-560153	3GPP TS 22.016

On 21 August 2007, Nokia Corp. has informed ETSI that it no longer considers the EP family members Germany, France and United Kingdom of Patent No. 0771092 to be essential under UMTS Project only.

On 22 October 2007, Nokia Corp. has informed ETSI that it no longer considers the following patents/patent applications family members declared to ETSI on 24 July 2002 to be essential:

Application No.	Standard No.
96198322.1	05.03
96934871.3	05.03
955206	05.03
98.1941	05.03
09/066359	05.03

On 22 October 2007, Nokia Corp. has informed ETSI that it no longer considers the following US and EP patents/patent applications family members declared to ETSI on 1 July 2004 to be essential for UMTS Project only:

Application No.	Standard No.
02772680.1	3GPP TS 32.215, TS 29.060; ETSI TS 132 215, TS 129 060
PCT/IB02/04079	3GPP TS 32.215, TS 29.060; ETSI TS 132 215, TS 129 060

On 22 October 2007, Nokia Corp. has informed ETSI that it no longer considers:

- the China family member of the patent application number 96198322.1 as being essential.
- The European Patent Convention family member of the patent application number 96934871.3 as being essential.
- the Finland family member of the patent application number 955206 as being essential.
- the Norway family member of the patent application number 98.1941 as being essential.

- the United States family member of the patent application number 09/066359 as being essential.
- the European Patent Convention family member of the patent application number 02772680.1 for project UMTS as being essential.
- the United States family member of the patent application number PCT/IB02/04079 for project UMTS as being essential.

For the sake of clarity, Nokia has informed that the change of position on the above patents will not affect Nokia's FRAND commitments under the ETSI IPR Policy.

On 18 March 2008, Nokia Corp. has informed ETSI that it no longer considers the following patent/patent application declared to ETSI on 21 December 2001 to be essential with regard to the UMTS standard.

Patent No.	Application No.	Standard No.
665454	76588/94	3GPP TS 25.331, 3GPP TS 25.321, 3GPP TS 25.211
611502	93917815.3	3GPP TS 25.331, 3GPP TS 25.321, 3GPP TS 25.211
91701	923669	3GPP TS 25.331, 3GPP TS 25.321, 3GPP TS 25.211
	6-505939	3GPP TS 25.331, 3GPP TS 25.321, 3GPP TS 25.211
306970	941330	3GPP TS 25.331, 3GPP TS 25.321, 3GPP TS 25.211
	08/211739	3GPP TS 25.331, 3GPP TS 25.321, 3GPP TS 25.211
WO9405095	PCT/FI93/00321	3GPP TS 25.331, 3GPP TS 25.321, 3GPP TS 25.211

For the sake of clarity, Nokia informs that the change of position on the above patents will not affect Nokia's FRAND commitments under the ETSI IPR Policy.

Nortel Networks Ltd:

has requested ETSI (letter of 22 July 2005) and further specified by email of 14 October 2005, to withdraw from the ETSI IPR online database its IPRs declared in its Information Statement and Licensing Declaration (1 July, 2004) related to 3GPP Multiplexing and Channel Coding/FDD (TS 25.212) and Physical Layer (TS 25.201) concerning European patent no. 1117203 and its identified associated foreign counterparts, on the basis that relevant requirements have been removed from the 3GPP standards by corrigenda revisions:

- RP-050215, TS 25.133, change request n° 750, Feature Clean Up: Removal of Compressed mode by puncturing;
- RP-050249, TS 25.211, change request n° 218, Feature Clean Up: Removal of Compressed mode by puncturing;
- RP-050249, TS 25.212, change request n° 213 rev. 1, Feature Clean Up: Removal of Compressed mode by puncturing;
- RP-050249, TS 25.214, change request n° 388, Feature Clean Up: Removal of Compressed mode by puncturing;
- RP-050249, TS 25.215, change request n° 164, Feature Clean Up: Removal of Compressed mode by puncturing;
- RP-050249, TS 25.331, change request n° 2596, Feature Clean Up: Removal of Compressed mode by puncturing;
- RP-050224, TS 25.423, change request n° 1064 rev. 1, Feature Clean Up: Removal of Compressed mode by puncturing;
- RP-050224, TS 25.433, change request n° 1109 rev. 1, Feature Clean Up: Removal of Compressed mode by puncturing.

On 12 January 2010, Nortel Networks Ltd. has informed ETSI that the referenced patent number EP1300888 initially declared to ETSI on 11 June 2008, was a typographical error and that it should be corrected as 1330888 with the updated patent title on record with the EPO.

The initially declared patent family information: 1515095 in CHINA, 1300888 in FRANCE, GERMANY and UNITED KINGDOM has also been corrected with the updated patent family information.

Qualcomm Inc.: has requested ETSI to withdraw (On 29 November 2006) from the ETSI IPR Online Database the following Patent Nos:

- EP 0695482 (declared to ETSI on 14 October 2005 for GERAN and on 13 June 2006 for GPRS, 3GPP, UMTS)
- DE 69520812.8 (declared to ETSI on 14 October 2005 for GERAN and on 13 June 2006 for 3GPP and UMTS)
- US 5,452,473 (declared to ETSI on 19 October 2001 for GERAN and on 14 October 2005 for UMTS)
- ZL95190118.4 (declared to ETSI on 19 October 2001 for GERAN and on 14 October 2005 for UMTS)

Qualcomm Inc. has been caused to re-examine thoroughly the essentiality of these patents being part of the patent families US 5,590,408 and US 5,655,220 in the course of patent litigation and as a result does not consider that these patent are Essential IPRs.

On 10 December 2008, Qualcomm Incorporated has requested ETSI to withdraw from the ETSI IPR Online Database patents DE 629324, declared to ETSI on 15 May 2006, and patent GB 629324 declared to ETSI on 15 May 2006. Qualcomm Incorporated has been caused to re-examine thoroughly the essentiality of these patent being part of the patent families US 5,590,408 and US 5,655,220 in the course of patent litigation and as a result, do not consider that these patents are Essential IPR.

SES ASTRA On 24 July 2007, SES ASTRA has informed ETSI that, to their knowledge, the following IPRs are not essential to the ETSI TR 101 790 and have, therefore, asked ETSI to remove their IPR declaration of 20 July 2001 from the ETSI IPR database. SES ASTRA's intent is not to deny licenses:

Application No:
PCT/EP00/01037
EP99107496.4
HK00106169.2

TERACOM AB On 20 August 2007, Teracom AB has informed ETSI that the patent application number EP1334579 which had been declared to be essential to ETSI EN 301 192 has been refused by the EPO, and that it is, therefore, no longer essential to this standard.

TruePosition Inc.: has requested ETSI (on 29 April 2003) to withdraw from the ETSI IPR online database and the present document the IPRs in relation to 3GPP TSG RAN Work Item 57: LCS-128 Pos, declared to ETSI on 9 August 2002, on the basis that "these are not required to practice the standard as currently written".

4.3 Other declarations

Deutsche Telekom AG: has informed ETSI that it does not own, or control, any IPRs which are essential, or potentially essential to the ETSI GSM standards.

InterDigital Technologies Corporation: On 25 January 2006, InterDigital Technologies Corporation informed ETSI that the initial reference to the Deliverable 3GPP TS 41 101 Release 5 - ETSI TS 141 101, of their IPR declaration of 8 April 2004, for UMTS, should be read as referring to 3GPP TS 21 101 Release 5 - ETSI TS 121 101.

Siemens AG: has informed ETSI that it does not own any IPRs which are or are likely to become essential IPRs in the Adaptive Multi-Rate (AMR) speech codec (GSM standard).

Vyyo Inc.: has informed ETSI that it does not have any essential IPRs in relation to ETSI ES 201 488 V1.1.1.

History

Document history		
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