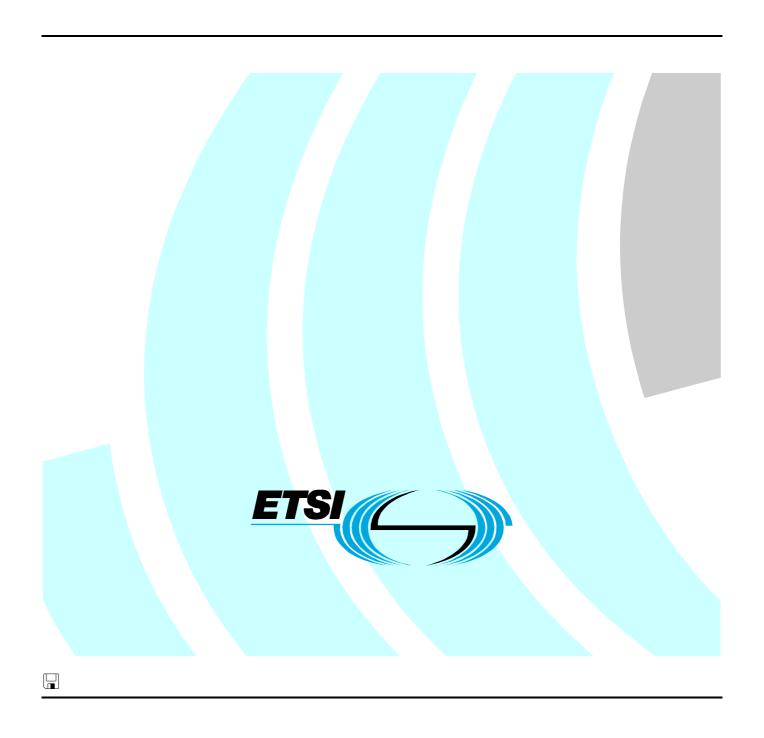
ETSI SR 000 314 V2.7.1 (2009-11)

Special Report

Intellectual Property Rights (IPRs); Essential, or potentially Essential, IPRs notified to ETSI in respect of ETSI standards



Reference RSR/BOARD-00006 Keywords IPR

ETSI

650 Route des Lucioles F-06921 Sophia Antipolis Cedex - FRANCE

Tel.: +33 4 92 94 42 00 Fax: +33 4 93 65 47 16

Siret N° 348 623 562 00017 - NAF 742 C Association à but non lucratif enregistrée à la Sous-Préfecture de Grasse (06) N° 7803/88

Important notice

Individual copies of the present document can be downloaded from: <u>http://www.etsi.org</u>

The present document may be made available in more than one electronic version or in print. In any case of existing or perceived difference in contents between such versions, the reference version is the Portable Document Format (PDF). In case of dispute, the reference shall be the printing on ETSI printers of the PDF version kept on a specific network drive within ETSI Secretariat.

Users of the present document should be aware that the document may be subject to revision or change of status.

Information on the current status of this and other ETSI documents is available at

http://portal.etsi.org/tb/status/status.asp

If you find errors in the present document, please send your comment to one of the following services: http://portal.etsi.org/chaircor/ETSI_support.asp

Copyright Notification

No part may be reproduced except as authorized by written permission. The copyright and the foregoing restriction extend to reproduction in all media.

© European Telecommunications Standards Institute 2009. All rights reserved.

DECTTM, **PLUGTESTS**TM, **UMTS**TM, **TIPHON**TM, the TIPHON logo and the ETSI logo are Trade Marks of ETSI registered for the benefit of its Members.

3GPP[™] is a Trade Mark of ETSI registered for the benefit of its Members and of the 3GPP Organizational Partners. **LTE**[™] is a Trade Mark of ETSI currently being registered

for the benefit of its Members and of the 3GPP Organizational Partners.

GSM® and the GSM logo are Trade Marks registered and owned by the GSM Association.

Contents

Intell	lectual Property Rights	4
	word	
1	Scope	
2	References	
2.1	Normative references	5
2.2	Informative references	6
3	Definitions and abbreviations	6
3.1	Definitions	6
3.2	Abbreviations	
4	Notified IPRs	12
4.1	Notifications	12
4.1.1		13
4.2	Withdrawals	13
4.3	Other declarations.	23
Histo	Orv	24

Intellectual Property Rights

IPRs essential or potentially essential to the present document may have been declared to ETSI. The information pertaining to these essential IPRs, if any, is publicly available for **ETSI members and non-members**, and can be found in ETSI SR 000 314: "Intellectual Property Rights (IPRs); Essential, or potentially Essential, IPRs notified to ETSI in respect of ETSI standards", which is available from the ETSI Secretariat. Latest updates are available on the ETSI Web server (http://webapp.etsi.org/IPR/home.asp).

Pursuant to the ETSI IPR Policy, no investigation, including IPR searches, has been carried out by ETSI. No guarantee can be given as to the existence of other IPRs not referenced in ETSI SR 000 314 (or the updates on the ETSI Web server) which are, or may be, or may become, essential to the present document.

Foreword

The present document identifies IPRs, particularly patents and patent applications, which have been notified to ETSI as being Essential, or Potentially Essential, to ETSI standards.

The present document has been prepared on the basis of information received. ETSI has not checked the validity of the information, nor the relevance of the identified patents/patent applications to the ETSI standards and cannot confirm, or deny, that the patents/patent applications are, in fact, essential, or potentially Essential. No investigation, or IPR searches, have been carried out by ETSI and therefore no guarantee can be given concerning the existence of other IPRs which are, or may become, essential.

It should also be noted that whilst ETSI members are not obliged to conduct IPR searches they are obliged to make reasonable efforts to inform ETSI of any Essential IPRs of which they become aware (see article 4 of the ETSI IPR Policy).

The present document will be maintained by the ETSI Secretariat and further editions will be issued as, and when, necessary. Any errors in the information contained in the present document, or any additional information concerning Essential IPRs, of which readers of the present document become aware, should be notified to the ETSI Secretariat (for the attention of the Legal Service).

NOTE: The present document is also available at http://www.etsi.org/WebSite/AboutETSI/IPRsInETSI/iprdb.aspx.

1 Scope

The present document identifies IPRs, particularly patents and patent applications, which have been notified to ETSI as being Essential, or potentially Essential, to ETSI standards.

Unless otherwise specified, all IPRs included into the present document have been notified to ETSI, with an undertaking from the owner to grant licences according to the terms and conditions of article 6.1 of the ETSI IPR Policy, Annex 6 of the ETSI Rules of Procedure [3].

2 References

References are either specific (identified by date of publication and/or edition number or version number) or non-specific.

- For a specific reference, subsequent revisions do not apply.
- Non-specific reference may be made only to a complete document or a part thereof and only in the following cases:
 - if it is accepted that it will be possible to use all future changes of the referenced document for the purposes of the referring document;
 - for informative references.

Referenced documents which are not found to be publicly available in the expected location might be found at http://docbox.etsi.org/Reference.

NOTE: While any hyperlinks included in this clause were valid at the time of publication ETSI cannot guarantee their long term validity.

2.1 Normative references

The following referenced documents are indispensable for the application of the present document. For dated references, only the edition cited applies. For non-specific references, the latest edition of the referenced document (including any amendments) applies.

[1] ETSI IPR Policy.

NOTE: The ETSI IPR Policy can be found at http://portal.etsi.org/Directives/home.asp.

[2] ETSI Guide on IPRs.

NOTE: The ETSI Guide on IPRs is to be found at http://portal.etsi.org/Directives/home.asp.

[3] ETSI Rules of Procedures (RoP).

NOTE: The ETSI Rules of Procedures (RoP) can be found at http://portal.etsi.org/Directives/home.asp.

2.2 Informative references

The following referenced documents are not essential to the use of the present document but they assist the user with regard to a particular subject area. For non-specific references, the latest version of the referenced document (including any amendments) applies.

[i.1] ETSI IPR database.

NOTE: Notification to ETSI of Essential or potentially Essential IPRs should be made using the combined

information statement and licensing declaration forms. Additional information can be found at

http://www.etsi.org/WebSite/AboutETSI/IPRsInETSI/iprdb.aspx.

[i.2] Declare your IPRs to ETSI.

NOTE: A step by step explanation for filling out the above mentioned forms is to be found in the guidelines on

how to declare an essential IPR and how to make a licensing declaration to ETSI. Additional information

can be found at http://www.etsi.org/WebSite/AboutETSI/IPRsInETSI/iprdb.aspx.

3 Definitions and abbreviations

3.1 Definitions

The terms "ESSENTIAL", "IPR" and "STANDARD" given below are defined in the "Definitions" annex of the ETSI IPR Policy.

ESSENTIAL: as applied to IPR means that it is not possible on technical (but not commercial) grounds, taking into account normal technical practice and the state of the art generally available at the time of standardization, to make, sell, lease, otherwise dispose of, repair, use or operate EQUIPMENT or METHODS which comply with a STANDARD without infringing that IPR.

For the avoidance of doubt in exceptional cases where a STANDARD can only be implemented by technical solutions, all of which are infringements of IPRs, all such IPRs shall be considered ESSENTIAL.

NOTE: In practical terms, the existence of an Essential IPR makes it necessary to have a licence in order to exploit the standard concerned.

IPR: shall mean any intellectual property right conferred by statute law including applications therefore other than trademarks.

For the avoidance of doubt rights relating to get up, confidential information, trade secrets or the like are excluded from the definition of IPR.

STANDARD: shall mean any standard adopted by ETSI including options therein or amended versions and shall include European Standards (ENs) (telecommunications series), ETSI standards (ESs), Common Technical Regulations (CTRs) which are taken from ENs (telecommunications series) and including drafts of any of the foregoing, and documents made under the previous nomenclature, including ETSs, I-ETSs, parts of NETs and TBRs, the technical specifications of which are available to all MEMBERS, but not including any standards, or parts thereof, not made by ETSI.

The date on which a STANDARD is considered to be adopted by ETSI for the purposes of this POLICY shall be the date on which the technical specification of that STANDARD was available to all MEMBERS.

The following definitions also apply:

notified: means any IPR information of which ETSI has been formally notified by the owner of the IPR or, any IPR information of which ETSI has become aware, pursuant to the IPR Policy

IPR licensing declaration: this is a declaration to the effect that the IPR owner declares that he is prepared to grant licences on fair, reasonable and non-discriminatory terms, in accordance with article 6.1 of the ETSI IPR Policy

3GPP standards: all standards issued by ETSI in relation to 3rd Generation Partnership Project (3GPP)

NOTE: From http://portal.etsi.org/, click on the "3GPP" tab.

BRAN standards: all standards issued by ETSI Project Broadband Radio Access Networks (BRAN)

NOTE: From http://portal.etsi.org/, click on the "BRAN" tab.

DAB standards: all standards issued by ETSI in relation to Digital Audio Broadcasting (DAB)

NOTE: See http://www.etsi.org/WebSite/Technologies/DAB.aspx.

DCS 1800 standards: all standards issued by ETSI in relation to European Cellular Digital - Global System for Mobile Communication - DCS 1800 extensions

NOTE: From http://portal.etsi.org/, click on the "3GPP" tab.

DECT standards: all standards issued by ETSI in relation to Digital Enhanced Cordless Telephone (DECT), previously known as Digital Enhanced Cordless Telephone (DECT)

NOTE: From http://portal.etsi.org/, click on the "DECT" tab.

DTM standards: all standards issued by ETSI in relation to Dynamic synchronous Transfer Mode (DTM)

NOTE: SPAN Technical Body has closed. See http://portal.etsi.org/tb/closed_tb/span.asp.

All SPAN standards can now be found under TISPAN.

DVB standards: all standards issued by ETSI in relation to Digital Video Broadcasting (DVB)

NOTE: See http://www.etsi.org/WebSite/Technologies/DVB.aspx.

DECT/GSM interworking standards: all standards issued by ETSI in relation to interworking between DECT and GSM

ERMES standards: all standards issued by ETSI in relation to European Radio Messaging System (ERMES)

NOTE: From http://portal.etsi.org/, click on the "ERM" tab.

GPRS standards: all standards issued by ETSI in relation to General Packet Radio Service (GPRS)

NOTE: From http://portal.etsi.org/, click on the "3GPP" tab.

GSM standards: all standards issued by ETSI in relation to European Cellular Digital - Global System for Mobile Communication (GSM)

NOTE: From http://portal.etsi.org/, click on the "3GPP" tab.

HDSL standards: all standards issued by ETSI in relation to High bit rate Digital Subscriber Line (HDSL)

NOTE: From http://portal.etsi.org/, click on the "TM" then "TM 06" tab.

HIPERLAN standards: all standards issued by ETSI in relation to High Performance Radio Local Area Network (HIPERLAN)

ISDN standards: all standards issued by ETSI in relation to Integrated Services Digital Network (ISDN)

NOTE: From http://portal.etsi.org/, click on the "SPAN" tab.

Lawful Interception (LI) standards: all standards issued by ETSI in relation to Lawful Interception (LI)

NOTE: See http://portal.etsi.org/li/Summary.asp.

PSTN standards: all standards issued by ETSI in relation to Public Switched Telephone Network (PSTN)

NOTE: From http://portal.etsi.org/, click on the "AT" tab.

SDSL standards: all standards issued by ETSI in relation to Symmetrical Digital Subscriber Line (SDSL)

NOTE: From http://portal.etsi.org/, click on the "TM" then "TM 06" tab.

STAG standards: all standards issued by ETSI in relation to Security Techniques Advisory Group (STAG)

NOTE: From http://portal.etsi.org/, click on the "SEC" tab.

Television systems standards: all standards issued by ETSI in relation to TV broadcasting systems

NOTE: From http://portal.etsi.org/, click on the "BROADCAST" tab.

TETRA standards: all standards issued by ETSI in relation to Terrestrial Trunked Radio (TETRA), previously known as Trans-European Trunked Radio (TETRA)

NOTE: From http://portal.etsi.org/, click on the "TETRA" tab.

TFTS standards: all standards issued by ETSI in relation to Terrestrial Flight Telecommunication System (TFTS)

NOTE: From http://portal.etsi.org/, click on the "ERM" tab.

TIPHON standards: all standards issued by ETSI in relation to Telecommunications And Internet Protocol Harmonization Over Networks (TIPHON)

NOTE: TIPHON Technical Body has closed. See http://portal.etsi.org/tb/closed tb/tiphon.asp.

All TIPHON standards can now be found under TISPAN.

UMTS standards: all standards issued by ETSI in relation to Universal Mobile Telecommunications System (UMTS)

NOTE: From http://portal.etsi.org/, click on the "3GPP" tab.

VDSL standards: all standards issued by ETSI in relation to Very high speed Digital Subscriber Line (VDSL)

NOTE: From http://portal.etsi.org/, click on the "TM" then "TM 06" tab.

3.2 Abbreviations

For the purposes of the present document, the following abbreviations apply:

AD Andorra

AE United Arab Emirates

AF Afghanistan

AG Antigua and Barbuda

AI Anguilla AL Albania AM Armenia

AN Netherlands Antilles

AO Angola AQ Antarctica AR Argentina

ARIPO African Regional Intellectual Property Organization

AS American Samoa

AT Austria
AU Australia
AW Aruba
AZ Azerbaijan

BA Bosnia and Herzegovina

BB Barbados
BD Bangladesh
BE Belgium
BF Burkina Faso
BG Bulgaria
BH Bahrain
BI Burundi

BJ Benin BM Bermuda

BN Brunei Darussalam

BO Bolivia BR Brazil BS Bahamas BTBhutan BV**Bouvet Island** BWBotswana BYBelarus BZBelize CA Canada

CC Cocos (Keeling) Islands
CF Central African Republic

CG Congo Switzerland CH Côte d'Ivoire CI CK Cook Islands CL Chile CM Cameroon CN China CO Colombia CR Costa Rica CU Cuba CVCape Verde CXChristmas Island

CY Cyprus

CZ Czech Republic
DE Germany
DJ Djibouti
DK Denmark
DM Dominica

DO Dominican Republic

DZ Algeria

EAPO Eurasian Patent Office

EC Ecuador EE Estonia EG Egypt

EH Western Sahara

EPC European Patent Convention EPO European Patent Office

ER Eritrea
ES Spain
ET Ethiopia
EU European Union
FI Finland

FI Finla FJ Fiji

FK Falkland Islands (Malvinas) FM Micronesia (Feder. States Of)

FO Faroe Islands
FR France

FX France, Metropolitan

GA Gabon

GB United Kingdom

GD Grenada
GE Georgia
GF French Guiana

GH Ghana
GI Gibraltar
GL Greenland
GM Gambia
GN Guinea

GP Guadeloupe GQ Equatorial Guinea

GR Greece

GS So. Georgia And So. Sandwich

GT Guatemala
GU Guam
GW Guinea-Bissau
GY Guyana
HK Hong Kong

HM Heard And Mcdonald Islands

HN Honduras HR Croatia Haiti HT Hungary HU Indonesia ID ΙE Ireland ILIsrael IN India

IO British Indian Ocean Territory
IPC International Patent Convention

IO Iraq

IR Iran (Islamic Republic Of)

IS Iceland IT Italy Jamaica JM JO Jordan JP Japan KE Kenya KG Kyrgyzstan KH Cambodia ΚI Kiribati Comoros KM

KN Saint Kitts And Nevis

KP Korea, Dem. People's Rep. Of

KR Korea, Republic Of

KW Kuwait

KY Cayman Islands KZ Kazakhstan

LA Lao People's Dem. Rep.

LB Lebanon LC Saint Lucia LI Liechtenstein LK Sri Lanka LR Liberia LS Lesotho LT Lithuania LU Luxembourg

LV Latvia

LY Libyan Arab Jamahiriya

MA Morocco MC Monaco

MD Moldova, Republic Of

MG Madagascar MH Marshall Islands

MK FYROM (The Former Yugoslav Republic of Macedonia)

ML Mali MM Myanmar MN Mongolia MO Macau

MP Northern Mariana Islands

MQ Martinique MR Mauritania

MS Montserrat MT Malta MU Mauritius MV Maldives MW Malawi MX Mexico MY Malaysia MZMozambique NA Namibia NC New Caledonia

NE Niger
NF Norfolk Island
NG Nigeria
NI Nicaragua
NL Netherlands
NO Norway
NP Nepal

NR Nauru NU Niue NZ New Zealand

OAPI African Intellectual Property Organization

OM Oman PA Panama

PCT Patent Cooperation Treaty

PE Peru

PF French Polynesia PG Papua New Guinea

PH Philippines
PK Pakistan
PL Poland

PM Saint Pierre Et Miquelon

PN Pitcairn PR Puerto Rico PT Portugal PW Palau PY Paraguay QA Qatar RERéunion RO Romania

RU Russian Federation

RW Rwanda Saudi Arabia SA SB Solomon Islands SC Seychelles SD Sudan SE Sweden SG Singapore Saint Helena SH SI Slovenia

SJ Svalbard And Jan Mayen

SK Slovakia
SL Sierra Leone
SM San Marino
SN Senegal
SO Somalia
SR Suriname

ST Sao Tome And Principe

SV El Salvador

SY Syrian Arab Republic

SZ Swaziland

TC Turks And Caicos Islands

TD Chad

TF French Southern Territories

TG Togo TH Thailand TJ Tajikistan ΤK Tokelau TMTurkmenistan TN Tunisia TO Tonga TP East Timor TR Turkey

TT Trinidad And Tobago

TV Tuvalu

TW Taiwan, Province Of China TZ Tanzania, United Republic Of

UA Ukraine UG Uganda

UM U.S. Minor Outlying Islands

US United States
UY Uruguay
UZ Uzbekistan
VA Vatican City State

VC Saint Vincent And Grenadines

VE Venezuela

VG Virgin Islands (British) VI Virgin Islands (U.S.)

VN Viet Nam VU Vanuatu

WF Wallis And Futuna Islands

WS Samoa YE Yemen YT Mayotte YU Yugoslavia ZASouth Africa Zambia ZMZR Zaire ZW Zimbabwe

4 Notified IPRs

The Licensing Declarations given for the IPRs are contained in archive sr_000314v020701p0.zip which accompanies the present document.

ETSI has not checked the validity of the information, nor the relevance of the identified IPRs to the ETSI standards and cannot confirm, or deny, that the IPRs are, in fact, essential, or potentially essential. No investigation, or IPR searches, have been carried out by ETSI and therefore no guarantee can be given concerning the existence of other IPRs which are, or may become, essential.

4.1 Notifications

Essential, or potentially essential, IPRs in respect of which a notification followed by a Licensing Declaration has been given by the IPR owner and for which licences are available on fair, reasonable and non-discriminatory terms, in accordance with article 6.1 of the ETSI IPR Policy, annex 6 of the ETSI Rules of Procedure. The ETSI IPR Policy can be found at http://portal.etsi.org/directives/.

NOTE: Notification to ETSI of Essential or potentially Essential IPRs should be made using the combined information statement and licensing declaration forms. Additional information can be found at http://www.etsi.org/WebSite/AboutETSI/IPRsInETSI/iprdb.aspx.

4.1.1 List of Project Names used in the ETSI IPR Database

3GPP; 3GPP Release 7; 3GPP/AMR-WB; 3GPP/AMR-WB+; 3GPP/EMS; ADSL; AMR; BRAN; Cable Modem; CSICS; DAB; DCS 1800; DECT; DECT/GSM; DIIS; DMR; dPMR; DRM; DTM; DTS; DVB; DVB-H; DVB-S2; DVB-SH; DVB-T2; eCall; EDATA; EDCH; Electronic Signature; ERM; ERMES; E-UTRA; FLO; GAAI; GERAN; GERAN - Release 7; GERAN - Release 8; GMPRS; GMR; GPRS; GSM; GSM - Release 7; GSM/AMR-NB; GSM/TDMA; HCI; HDSL; HIPERLAN; HIPERLAN 2; HSPA+; HSUPA; IMS; IPCablecom; ISDN; ITS; Lawful Interception; LCS; LCS-128 Pos; LPR; LTE; LTE Release 8; M-COMM; MHP-Broadcast; MMB; MMS; NT NGN; PLT; PSTN; RES; SAE; SDH; SDSL; SES; SES/SDR; Smart Card; SNOW 3G; Speech Recognition; STAG; Television systems; TETRA; TETRA DMO; TFTS; TIPHON; TISPAN; TISPAN_NGN; UICC; UMTS; UMTS FDD; UMTS Release 7; UMTS Release 8; UMTS/CDMA; V5 Interface; VDSL; WCDMA;

4.2 Withdrawals

BT

On 24 June 2002, BT has requested ETSI "to delete" the following IPRs from the IPR database:

Application No.

158 852 (filed 29 Nov. 93) 232 475 (filed 25 Apr. 94)

96-500498 954682

France Telecom:

has requested ETSI (letter of 16 September 2003), to withdraw from the ETSI IPR online database and the present document its IPR declared on 17 September 1998, related to GSM/AMR-NB and concerning the patent no. 2734389, on the basis that France Telecom had not been selected for the B-FRANC candidature for AMR selection.

InterDigital Technologies Corporation:

has requested ETSI (on 30 October 2006) to remove the following IPRs of 10 April 2001 and 8 April 2004:

EP Patent No.
0984577
1037395
1041728
1213846
1213854
1179279 (European Patent (UK) only)
0514539

By letter of 21 March 2007, InterDigital Technologies Corporation has informed ETSI that upon further review:

- it was determined that the above EP Patent Nos.: 0984577, 1037395, 1041728, 1213846 and 1213854 are not essential;
- it was determined that EP Patent No.: 0514539 is no longer subsisting;
- with regards to EP Patent No. 1179279, only the European Patent (UK) has lapsed.

By letter of 19 September 2008, InterDigital Technologies Corporation has informed ETSI that upon further review it considers that he following IPR are not essential:

Patent No.	Serial No.
US 5260967	07/819,906
US 5563907	08/423,519
US 5748687	08/669,776
US 5920590	08/889,281
US 5943331	08/807,228
US 6141332	09/280,327
US 6980538	09/880,977

US 6985467	09/757,768
US 6839567	10/400,343
EP 0801856	95943981.1
EP 0908021	97930175.1
EP 1043844	00114941.8
EP 1172942	01124286.4

In addition, InterDigital Technologies Corporation informed ETSI that "a judgment was rendered in a UK legal proceeding identified as Case No.: HC 05 C02026 in the High Court of Justice, Chancery Division Patents Court. The court rendered a judgment that EP (UK) 0 855 807, EP (UK) 1 062 749 and EP (UK) 1 210 777 were not essential. The Court's judgment was not entered as a final order, and the case was settled prior to any appeal. Despite the Court's findings, InterDigital believes these patents are essential and therefore maintains its declarations with respect to them."

On 19 September 2008, InterDigital Technology Corporation has informed ETSI that upon further review, it considers that the following IPR(s) declared to ETSI on 8 April 2004 are not essential:

Application No.	Patent No.	Standard No.
98100395.7	EP(UK)0855807	3GPP TS 21.101 Release 5; ETSI TS 121.101
99914923	1062749	3GPP TS 21.101 Release 5; ETSI TS 121.101
955636.6	EP(UK)1210777	3GPP TS 21.101 Release 5; ETSI TS 121.101
W00200400248		3GPP TS 21.101 Release 5; ETSI TS 121.101
PCT/US02/24898		3GPP TS 21.101 Release 5; ETSI TS 121.101
2246891.9	ZL02246891.9	3GPP TS 21.101 Release 5; ETSI TS 121.101
2246890		3GPP TS 21.101 Release 5; ETSI TS 121.101
2246889.7	ZL02246889.7	3GPP TS 21.101 Release 5; ETSI TS 121.101
2247431.5	ZL02247431.5	3GPP TS 21.101 Release 5; ETSI TS 121.101
20212379	20212379	3GPP TS 21.101 Release 5; ETSI TS 121.101
20212378.2	20212378.2	3GPP TS 21.101 Release 5; ETSI TS 121.101
20212377.4	202123774	3GPP TS 21.101 Release 5; ETSI TS 121.101
20212376.6	20212376.6	3GPP TS 21.101 Release 5; ETSI TS 121.101
2756979.7		3GPP TS 21.101 Release 5; ETSI TS 121.101
10-2003-0079334		3GPP TS 21.101 Release 5; ETSI TS 121.101
10-2003-0071072		3GPP TS 21.101 Release 5; ETSI TS 121.101
10-2003-0073870		3GPP TS 21.101 Release 5; ETSI TS 121.101
10-2003-0075375		3GPP TS 21.101 Release 5; ETSI TS 121.101
2002-23988	296456	3GPP TS 21.101 Release 5; ETSI TS 121.101
2002-23989	293344	3GPP TS 21.101 Release 5; ETSI TS 121.101
2002-23990	294224	3GPP TS 21.101 Release 5; ETSI TS 121.101
2002-23991	294508	3GPP TS 21.101 Release 5; ETSI TS 121.101
PA/A/2004/001262		3GPP TS 21.101 Release 5; ETSI TS 121.101
20040587		3GPP TS 21.101 Release 5; ETSI TS 121.101
PCT/US02/24898		3GPP TS 21.101 Release 5; ETSI TS 121.101
91117604	184714	3GPP TS 21.101 Release 5; ETSI TS 121.101
92127565		3GPP TS 21.101 Release 5; ETSI TS 121.101
91212434		3GPP TS 21.101 Release 5; ETSI TS 121.101
91212435	211000	3GPP TS 21.101 Release 5; ETSI TS 121.101
91212436		3GPP TS 21.101 Release 5; ETSI TS 121.101
91212437	211464	3GPP TS 21.101 Release 5; ETSI TS 121.101
10/152,104		3GPP TS 21.101 Release 5; ETSI TS 121.101
P030101101		3GPP TS 21.101 Release 5; ETSI TS 121.101
3246559.9		3GPP TS 21.101 Release 5; ETSI TS 121.101
3246560.2		3GPP TS 21.101 Release 5; ETSI TS 121.101
20305080	20305080	3GPP TS 21.101 Release 5; ETSI TS 121.101
20305079.7	20305079.7	3GPP TS 21.101 Release 5; ETSI TS 121.101
3102238.5	HK1055210	3GPP TS 21.101 Release 5; ETSI TS 121.101
3102239.4	HK1055209	3GPP TS 21.101 Release 5; ETSI TS 121.101
20-2003-9385	317022	3GPP TS 21.101 Release 5; ETSI TS 121.101
20-2003-9383	316215	3GPP TS 21.101 Release 5; ETSI TS 121.101

PI20031152	3GPP TS 21.101 Release 5; ETSI TS 121.101
92107094	3GPP TS 21.101 Release 5; ETSI TS 121.101
92127609	3GPP TS 21.101 Release 5; ETSI TS 121.101
92204884	3GPP TS 21.101 Release 5; ETSI TS 121.101
92204883	3GPP TS 21.101 Release 5; ETSI TS 121.101
10/335,347	3GPP TS 21.101 Release 5; ETSI TS 121.101
PCT/US03/09396	3GPP TS 21.101 Release 5; ETSI TS 121.101

On 19 September 2008, InterDigital Technology Corporation has informed ETSI that upon further review, it considers that the following mentioned IPR(s) declared to ETSI on 21 March 2007 are not essential.

Patent/Application No. for US Patents/Applications in Same Family

10/152,104 11/313,413

Patent/Application No. for non-US Patents/Applications in Same Family

06120287.5 2005-238989 10-2005-0075990 10-2005-0075503 10-2005-0075938 10-2005-0090784 200601059-9 W00200400248 PCT/US02/24898 PCT/US02/24898 PCT/US02/24898 ZL02246891.9 2246890 ZL02246889.7 ZL02247431.5 20212379 20212378.2 202123774 20212376.6 2756979.7 PCT/US02/24898 W00200400248 PCT/US02/24898 PCT/US02/24898 PCT/US02/24898 10-2003-0079334 10-2003-0071072 10-2003-0073870 10-2003-0075375 296456 293344 294224 294508 PA/A/2004/001262 20040587 PCT/US02/24898 184714 92127565 91212434 211000 91212436 211464

16 10/307,082 P020104608 ZL02295804.5 ZL02295802.9 02823858.3 20218534.6 20218535.4 02794088.1 05103776.9 2003-548416 2006-5065 0653228 0589478 0304594 0304593 10-2003-0094004 10-2005-0075956 10-2005-7015845 10-2005-0087323 PI20024477 20042610 234376 94142379 240061 240062 92127587 2376-02 10/609,925 P030102398 2003253754 PI0312539-4 2,491,440 03815847.7 ZL03272731.3 ZL03266570.9 20310166.9 20310165.0

03763056.3 AP2003008610 AP2003009365 05109548.3 HK1056977 HK1056979 W00200500266

166015 4117/DELNP/2004 2004-519697 2005-275990 10-2004-0084771 10-2004-0079324 10-2004-7021314 10-2005-0080074 10-2005-0086948 10-2005-7018524

0332831 0332832

PA/A/2005/000082

PI20032460 20050546 200407823-4 259007 I271964 95123677

241897 244657 1120-03

10/335,347

2,480,533 03807020.0 03718075.9 2003-581381 10-2004-0041287 10-2004-0036438 10-2004-7015004 10-2005-7015647 10-2005-0079098 10-2005-0092540 20044121

95110158 P030101101 3246559.9 3246560.2 20305080 20305079.7 HK1055210 HK1055209 317022 316215 PI20031152 92107094 92127609 92204884 92204883 PCT/US03/09396

11/433,958

2,493,742 03818297.1 1527630 05110857.6 2004-524663 2005-273770 10-2005-7001800 10-2005-7016777 20050957 255619 93105765

11/202,557

2,500,658 03823541.2 03770508.4 05111025.1 2005-500321 10-2005-7005729 10-2005-7017370 PA/A/2005/003542 20051999

95127040

10/653,577 P030103183

2003268389

PI0314463-1

2,497,533

03820634.X

07102133.1 AP2003008724

AP2003009408

05110476.7

W00200500796

166967

625/DELNP/2005

5547/DELNP/2006

2004-534460

10-2005-7003331

10-2005-7017573

PA/A/2005/002435

PI20033332

20051606

200501316-4

I259009 93110147

95131647

1514-03

10/945,355

11/077,913 2,559,268

200580007899.9 PCT/US05/08315

2007-503083

PA/A/2006/010238

20064569

05725469.0

10-2006-7021187

94135753

I272029

11/115,999 P050101708

200520017351.X

202005006886.1

0391802

10-2005-0036439

10-2005-0092539 PI20051872

287545

94113563

814-05

PCT/US05/14147

11/116,017 P050101713

2005242234

PI0509826-2

2,564,468

200580011540.9

200520018239.8

202005006885.3

05740999.7

PCT/US05/14489

PCT/US05/14489

W00200602794

1	78327
7	050/DELNP/2006
P	CT/US05/14489
0	391801
1	0-2005-0036432
1	0-2005-0090786
P	A/A//2006/012536
P	120051921
2	0065454

20065454 200607418-1 289002 94113564 827-05

11/471,402 95122181

PCT/US06/24154

11/444,844 P060103385

PI20063738 95126607 2006-001794 PCT/US06/30186

11/560,590 P060105068

95142331 2006-002645 PCT/US06/44317

11/616,504 P070100021

200720001830.1 202007000128.2 95150092 95223262

PCT/US06/49435

11/611,510 95147317

PCT/US06/48008

11/612,837 P060105772

200620167159.3 202006019286.7 95147538 95222348 2006-003052 PCT/US06/48229

11/623,795 P070100191

96101472 2007-000065 PCT/US07/01085

11/618,024 P070100408

96100596 2007-000171 PCT/US07/00134

11/683,806 P070101006

96108090

PCT/US07/05921

Motorola:

has requested ETSI (on 11 March 2004) to remove the Patent number US 09/427497 from its Information Statement (6 September 2002) and Licensing Declaration (6 December 2002) of essential IPRs, referring to ETSI ES 202 050.

Nokia Corporation

On 21 August 2007, Nokia Corp. has informed ETSI that it no longer considers the following Patent/Patent application to be essential with regard to the following standard(s), under UMTS Project only:

Application No.	Patent No.	Standard No.
54251/99		TS 45.003; TS 06.93
PI9912994-9		TS 45.003; TS 06.93
99810507		TS 45.003; TS 06.93
19941331		TS 45.003; TS 06.93
981869	105635	TS 45.003; TS 06.93
9910952		TS 45.003; TS 06.93
9920153.5		TS 45.003; TS 06.93
MI99A001858		TS 45.003; TS 06.93
11-247569		TS 45.003; TS 06.93
2001-7002028		TS 45.003; TS 06.93
09/387369		TS 45.003; TS 06.93
PCT/FI99/00706	WO 00/13448	TS 45.003; TS 06.93
25240/99		TS 45.003
99803190		TS 45.003
99904895		TS 45.003
980431	107665	TS 45.003
PCT/2000/00421/CI	HE	TS 45.003
2000533945		TS 45.003
09/255894		TS 45.003
PCT/FI99/00133	WO99/44294	TS 45.003
20002399		TS 45.003
10/021748		TS 45.003
PCT/FI01/00946		TS 45.003
98106901		TS 45.003
19815597		TS 45.003
971484		TS 45.003
9804479	9804479	TS 45.003
9807608.6	2329803	TS 45.003
759/MAS/98		TS 45.003
MI98A000766	1299063	TS 45.003
10-098937		TS 45.003
09/057680, 09/7302	8	TS 45.003
PCT/FI98/00237		TS 45.003
64040/98	733218	TS 45.003
KR 99-7009285		TS 45.003
9950051		TS 45.003
1846/99		TS 45.003

On 21 August 2007, Nokia Corp. has informed ETSI that it no longer considers the EP family members of the following Patent/Patent application to be essential under UMTS Project only:

Application No	Standard No.
99911826.8	TS 25.212
00901129.7	TS 29.007

On 21 August 2007, Nokia Corp. has informed ETSI that it no longer considers the following US patents to be essential under UMTS Project only:

Application No.	Patent No.	Standard No.
09/628868		TS 25.212
08/619701	5722074	TS 25.402; TR 25.990

On 21 August 2007, Nokia Corp. has informed ETSI that it no longer considers the EP family members of the following Patent/Patent application to be essential under UMTS Project only:

Application No.	Patent No.	Standard No.
99303192		TS 25.211; TS 2302; TS 25.331
93920867	720805	TS 25.402; TR 25.990

On 21 August 2007, Nokia Corp. has informed ETSI that it no longer considers the following patent family to be essential under UMTS Project only:

Application No.	Standard No
2002-7010678	3GPP TS 22.016
2002-6321	3GPP TS 22.016
200204830-4	3GPP TS 22.016
09/783917	3GPP TS 22.016
1920995.6	3GPP TS 22.016
1805183.9	3GPP TS 22.016
PCT/US01/40141	3GPP TS 22.016
PI0108270-1	3GPP TS 22.016
2001-560153	3GPP TS 22.016

On 21 August 2007, Nokia Corp. has informed ETSI that it no longer considers the EP family members Germany, France and United Kingdom of Patent No. 0771092 to be essential under UMTS Project only.

On 22 October 2007, Nokia Corp. has informed ETSI that it no longer considers the following patents/patent applications family members declared to ETSI on 24 July 2002 to be essential:

Application No.	Standard No.
96198322.1	05.03
96934871.3	05.03
955206	05.03
98.1941	05.03
09/066359	05.03

On 22 October 2007, Nokia Corp. has informed ETSI that it no longer considers the following US and EP patents/patent applications family members declared to ETSI on 1 July 2004 to be essential for UMTS Project only:

Application No.	Standard No.
02772680.1	3GPP TS 32.215, TS 29.060; ETSI TS 132 215, TS 129 060
PCT/IB02/04079	3GPP TS 32.215, TS 29.060; ETSI TS 132 215, TS 129 060

On 22 October 2007, Nokia Corp. has informed ETSI that it no longer considers:

- the China family member of the patent application number 96198322.1as being essential.
- The European Patent Convention family member of the patent application number 96934871.3 as being essential.
- the Finland family member of the patent application number 955206 as being essential.
- the Norway family member of the patent application number 98.1941 as being essential.
- the United States family member of the patent application number 09/066359 as being essential.
- the European Patent Convention family member of the patent application number 02772680.1 for project UMTS as being essential.

• the United States family member of the patent application number PCT/IB02/04079 for project UMTS as being essential.

For the sake of clarity, Nokia has informed that the change of position on the above patents will not affect Nokia's FRAND commitments under the ETSI IPR Policy.

On 18 March 2008, Nokia Corp. has informed ETSI that it no longer considers the following patent/patent application declared to ETSI on 21 December 2001 to be essential with regard to the UMTS standard.

Patent No.	Application No.	Standard No.
665454	76588/94	3GPP TS 25.331, 3GPP TS 25.321, 3GPP TS 25.211
611502	93917815.3	3GPP TS 25.331, 3GPP TS 25.321, 3GPP TS 25.211
91701	923669	3GPP TS 25.331, 3GPP TS 25.321, 3GPP TS 25.211
	6-505939	3GPP TS 25.331, 3GPP TS 25.321, 3GPP TS 25.211
306970	941330	3GPP TS 25.331, 3GPP TS 25.321, 3GPP TS 25.211
	08/211739	3GPP TS 25.331, 3GPP TS 25.321, 3GPP TS 25.211
WO9405095	PCT/FI93/00321	3GPP TS 25.331, 3GPP TS 25.321, 3GPP TS 25.211

For the sake of clarity, Nokia informs that the change of position on the above patents will not affect Nokia's FRAND commitments under the ETSI IPR Policy.

Nortel Neworks Ltd:

has requested ETSI (letter of 22 July 2005) and further specified by email of 14 October 2005, to withdraw from the ETSI IPR online database its IPRs declared in its Information Statement and Licensing Declaration (1 July, 2004) related to 3GPP Multiplexing and Channel Coding/FDD (TS 25.212) and Physical Layer (TS 25.201) concerning European patent no. 1117203 and its identified associated foreign counterparts, on the basis that relevant requirements have been removed from the 3GPP standards by corrigenda revisions:

- RP-050215, TS 25.133, change request n° 750, Feature Clean Up: Removal of Compressed mode by puncturing;
- RP-050249, TS 25.211, change request n° 218, Feature Clean Up: Removal of Compressed mode by puncturing;
- RP-050249, TS 25.212, change request n° 213 rev. 1, Feature Clean Up: Removal of Compressed mode by puncturing;
- RP-050249, TS 25.214, change request n° 388, Feature Clean Up: Removal of Compressed mode by puncturing;
- RP-050249, TS 25.215, change request n° 164, Feature Clean Up: Removal of Compressed mode by puncturing;
- RP-050249, TS 25.331, change request n° 2596, Feature Clean Up: Removal of Compressed mode by puncturing;
- RP-050224, TS 25.423, change request n° 1064 rev. 1, Feature Clean Up: Removal of Compressed mode by puncturing;
- RP-050224, TS 25.433, change request n° 1109 rev. 1, Feature Clean Up: Removal of Compressed mode by puncturing.

Qualcomm Inc.:

has requested ETSI to withdraw (On 29 November 2006) from the ETSI IPR Online Database the following Patent Nos:

- EP 0695482 (declared to ETSI on 14 October 2005 for GERAN and on 13 June 2006 for GPRS, 3GPP, UMTS)
- DE 69520812.8 (declared to ETSI on 14 October 2005 for GERAN and on 13 June 2006 for 3GPP and UMTS)
- US 5,452,473 (declared to ETSI on 19 October 2001 for GERAN and on 14 October 2005 for UMTS)
- ZL95190118.4 (declared to ETSI on 19 October 2001for GERAN and on 14 October 2005 for UMTS)

Qualcomm Inc. has been caused to re-examine thoroughly the essentiality of these patents being part of the patent families US 5,590,408 and US 5,655,220 in the course of patent litigation and as a result does not consider that these patent are Essential IPRs.

On 10 December 2008, Qualcomm Incorporated has requested ETSI to withdraw from the ETSI IPR Online Database patents DE 629324, declared to ETSI on 15 May 2006, and patent GB 629324 declared to ETSI on 15 May 2006. Qualcomm Incorporated has been caused to re-examine thoroughly the essentiality of these patent being part of the patent families US 5,590,408 and US 5,655,220 in the course of patent litigation and as a result, do not consider that these patents are Essential IPR.

SES ASTRA

On 24 July 2007, SES ASTRA has informed ETSI that, to their knowledge, the following IPRs are not essential to the ETSI TR 101 790 and have, therefore, asked ETSI to remove their IPR declaration of 20 July 2001 from the ETSI IPR database. SES ASTRA's intent is not to deny licenses:

Application No: PCT/EP00/01037 EP99107496.4 HK00106169.2

TERACOM AB

On 20 August 2007, Teracom AB has informed ETSI that the patent application number EP1334579 which had been declared to be essential to ETSI EN 301 192 has been refused by the EPO, and that it is, therefore, no longer essential to this standard.

TruePosition Inc.:

has requested ETSI (on 29 April 2003) to withdraw from the ETSI IPR online database and the present document the IPRs in relation to 3GPP TSG RAN Work Item 57: LCS-128 Pos, declared to ETSI on 9 August 2002, on the basis that "these are not required to practice the standard as currently written".

4.3 Other declarations

Deutsche Telekom AG: has informed ETSI that it does not own, or control, any IPRs which are essential, or potentially essential to the ETSI GSM standards.

InterDigital Technologies

Corporation: On 25 January 2006, InterDigital Technologies Corporation informed ETSI that the

initial reference to the Deliverable 3GPP TS 41 101 Release 5 - ETSI TS 141 101, of their IPR declaration of 8 April 2004, for UMTS, should be read as referring to

3GPP TS 21 101 Release 5 - ETSI TS 121 101.

Siemens AG: has informed ETSI that it does not own any IPRs which are or are likely to become

essential IPRs in the Adaptive Multi-Rate (AMR) speech codec (GSM standard).

Vyyo Inc.: has informed ETSI that it does not have any essential IPRs in relation to

ETSI ES 201 488 V1.1.1.

J J

History

Document history			
Edition 1	July 1996	Publication as ETR 314 (Withdrawn)	
Edition 2	July 1997	Publication as ETR 314 (Withdrawn)	
V1.3.1	June 1998	Publication (Withdrawn)	
V1.4.1	November 1999	Publication (Withdrawn)	
V1.5.1	December 2000	Publication (Withdrawn)	
V1.6.1	August 2001	Publication (Withdrawn)	
V1.7.1	December 2001	Publication (Withdrawn)	
V1.8.1	April 2002	Publication (Withdrawn)	
V1.9.1	November 2002	Publication (Withdrawn)	
V1.10.1	June 2003	Publication (Withdrawn)	
V1.11.1	December 2003	Publication (Withdrawn)	
V1.12.1	May 2004	Publication (Withdrawn)	
V1.13.1	November 2004	Publication (Withdrawn)	
V1.14.1	March 2005	Publication (Withdrawn)	
V1.15.1	November 2005	Publication (Withdrawn)	
V2.1.1	November 2006	Publication (Withdrawn)	
V2.2.1	March 2007	Publication (Withdrawn)	
V2.3.1	November 2007	Publication (Withdrawn)	
V2.4.1	March 2008	Publication (Withdrawn)	
V2.5.1	November 2008	Publication (Withdrawn)	
V2.6.1	April 2009	Publication (Withdrawn)	
V2.7.1	November 2009	Publication	