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**Digital cellular telecommunications system (Phase2+);  
Specification of the Subscriber Identity Module -  
Mobile Equipment (SIM - ME) interface  
(GSM 11.11 version 5.5.0)**

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## Foreword

This draft second edition European Telecommunication Standard (ETS) has been produced by the Special Mobile Group (SMG) Technical Committee (TC) of the European Telecommunications Standards Institute (ETSI) and is now submitted for the One-step Approval Procedure phase of the ETSI approval procedure.

This ETS defines the interface between the Subscriber Identity Module (SIM) and the Mobile Equipment (ME) for use during the network operation phase of GSM as well as those aspects of the internal organization of the SIM which are related to the network operation phase, within the digital cellular telecommunications system (Phase 2/Phase 2+).

This ETS is a GSM technical specification version 5 and is part of the 1996 release of the GSM Technical Specifications. The ETS from which this Phase 2+ ETS has evolved is Phase 2 GSM ETS 300 608 Edition 5 (GSM 11.11 version 4.18.2).

The specification from which this ETS has been derived was originally based on CEPT documentation, hence the presentation of this ETS may not be entirely in accordance with the ETSI/PNE rules.

<b>Proposed transposition dates</b>	
Date of latest announcement of this ETS (doa):	3 months after ETSI publication
Date of latest publication of new National Standard or endorsement of this ETS (dop/e):	6 months after doa
Date of withdrawal of any conflicting National Standard (dow):	6 months after doa

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## 1 Scope

This European Telecommunication Standard (ETS) defines the interface between the Subscriber Identity Module (SIM) and the Mobile Equipment (ME) for use during the network operation phase of GSM as well as those aspects of the internal organization of the SIM which are related to the network operation phase. This is to ensure interoperability between a SIM and an ME independently of the respective manufacturers and operators. The concept of a split of the Mobile Station (MS) into these elements as well as the distinction between the GSM network operation phase, which is also called GSM operations, and the administrative management phase are described in the GSM 02.17 [6].

This ETS defines:

- the requirements for the physical characteristics of the SIM, the electrical signals and the transmission protocols;
- the model which shall be used as a basis for the design of the logical structure of the SIM;
- the security features;
- the interface functions;
- the commands;
- the contents of the files required for the GSM application;
- the application protocol.

Unless otherwise stated, references to GSM also apply to DCS 1 800.

This ETS does not specify any aspects related to the administrative management phase. Any internal technical reallocation of either the SIM or the ME are only specified where these reflect over the interface. This ETS does not specify any of the security algorithms which may be used.

This ETS defines the SIM/ME interface for GSM Phase 2. While all attempts have been made to maintain phase compatibility, any issues that specifically relate to Phase 1 should be referenced from within the relevant Phase 1 specification.

## 2 Normative references

This European Telecommunication Standard (ETS) incorporates, by dated or undated reference, provisions from other publications. These normative references are cited at the appropriate places in the text and the publications are listed hereafter. For dated references, subsequent amendments to, or revisions of, any of these publications apply to this ETS only when incorporated in it by amendment or revision. For undated references the latest edition of the publication referred to applies.

- |     |   |
|-----|---|
| [1] | GSM 01.02: "Digital cellular telecommunications system (Phase 2+); General description of a GSM Public Land Mobile Network (PLMN)". |
| [2] | GSM 01.04 (ETR 350): "Digital cellular telecommunications system (Phase 2+); Abbreviations and acronyms".                           |
| [3] | GSM 02.07 (ETS 300 906): "Digital cellular telecommunications system (Phase 2+); Mobile Stations (MS) features".                    |
| [4] | GSM 02.09 (ETS 300 920): "Digital cellular telecommunications system; Security aspects".  |
| [5] | GSM 02.11 (ETS 300 921): "Digital cellular telecommunications system; Service accessibility".                                       |

- [6] GSM 02.17 (ETS 300 922): "Digital cellular telecommunications system; Subscriber Identity Modules (SIM) Functional characteristics".
- [7] GSM 02.24 (ETS 300 923): "Digital cellular telecommunications system; Description of Charge Advice Information (CAI)".
- [8] GSM 02.30 (ETS 300 907): "Digital cellular telecommunications system (Phase 2+); Man-Machine Interface (MMI) of the Mobile Station (MS)".
- [9] GSM 02.86: "Digital cellular telecommunications system; Advice of charge (AoC) Supplementary Services - Stage 1".
- [10] GSM 03.03 (ETS 300 927): "Digital cellular telecommunications system (Phase 2+); Numbering, addressing and identification".
- [11] GSM 03.20 (ETS 300 929): "Digital cellular telecommunications system (Phase 2+); Security related network functions".
- [12] GSM 03.38 (ETS 300 900): "Digital cellular telecommunications system (Phase 2+); Alphabets and language-specific information".
- [13] GSM 03.40 (ETS 300 901): "Digital cellular telecommunications system (Phase 2+); Technical realization of the Short Message Service (SMS); Point-to-Point (PP)".
- [14] GSM 03.41 (ETS 300 902): "Digital cellular telecommunications system (Phase 2+); Technical realization of Short Message Service Cell Broadcast (SMSCB)".
- [15] GSM 04.08 (ETS 300 940): "Digital cellular telecommunications system (Phase 2+); Mobile radio interface layer 3 specification".
- [16] GSM 04.11 (ETS 300 942): "Digital cellular telecommunications system (Phase 2+); Point-to-Point (PP) Short Message Service (SMS) support on mobile radio interface".
- [17] GSM 09.91 (ETR 174): "Digital cellular telecommunications system; Interworking aspects of the Subscriber Identity Module - Mobile Equipment (SIM - ME) interface between Phase 1 and Phase 2".
- [18] CCITT Recommendation E.118: "Automated international telephone credit card system".
- [19] CCITT Recommendation E.164: "Numbering plan for the ISDN era".
- [20] CCITT Recommendation T.50: "International Alphabet No. 5". (ISO 646: 1983, Information processing - ISO 7-bits coded characters set for information interchange).
- [21] ISO/IEC 7810 (1995): "Identification cards - Physical characteristics".
- [22] ISO/IEC 7811-1 (1995): "Identification cards - Recording technique - Part 1: Embossing".
- [23] ISO/IEC 7811-3 (1995): "Identification cards - Recording technique - Part 3: Location of embossed characters on ID-1 cards".
- [24] ISO 7816-1 (1987): "Identification cards - Integrated circuit(s) cards with contacts, Part 1: Physical characteristics".

- [25] ISO 7816-2 (1988): "Identification cards - Integrated circuit(s) cards with contacts, Part 2: Dimensions and locations of the contacts".
- [26] ISO/IEC 7816-3 (1989): "Identification cards - Integrated circuit(s) cards with contacts, Part 3: Electronic signals and transmission protocols".
- [27] GSM 11.14: "Digital cellular telecommunications system (Phase 2+); Specification of the SIM Application Toolkit for the Subscriber Identity Module - Mobile Equipment (SIM - ME) interface".
- [28] GSM 11.12 (ETS 300 641): "Digital cellular telecommunications system (Phase 2); Specification of the 3 Volt Subscriber Identity Module - Mobile Equipment (SIM - ME) interface".
- [29] GSM 02.22: "Digital cellular telecommunications system (Phase 2+); Personalization of GSM Mobile Equipment (ME) Mobile functionality specification".

### 3 Definitions, abbreviations and symbols

#### 3.1 Definitions

For the purposes of this ETS, the following definitions apply. For further information and definitions refer to GSM 01.02 [1].

**access conditions:** A set of security attributes associated with a file.

**application:** An application consists of a set of security mechanisms, files, data and protocols (excluding transmission protocols).

**application protocol:** The set of procedures required by the application.

**card session:** A link between the card and the external world starting with the ATR and ending with a subsequent reset or a deactivation of the card.

**current directory:** The latest MF or DF selected.

**current EF:** The latest EF selected.

**data field:** Obsolete term for Elementary File.

**Dedicated File (DF):** A file containing access conditions and, optionally, Elementary Files (EFs) or other Dedicated Files (DFs).

**directory:** General term for MF and DF.

**Elementary File (EF):** A file containing access conditions and data and no other files.

**file:** A directory or an organized set of bytes or records in the SIM.

**file identifier:** The 2 bytes which address a file in the SIM.

**GSM or DCS 1 800 application:** Set of security mechanisms, files, data and protocols required by GSM or DCS 1 800.

**GSM session:** That part of the card session dedicated to the GSM operation.

**IC card SIM:** Obsolete term for ID-1 SIM.

**ID-1 SIM:** The SIM having the format of an ID-1 card (see ISO 7816-1 [24]).

**Master File (MF):** The unique mandatory file containing access conditions and optionally DFs and/or EFs.

**normal GSM operation:** Relating to general, CHV related, GSM security related and subscription related procedures.

**padding:** One or more bits appended to a message in order to cause the message to contain the required number of bits or bytes.

**plug-in SIM:** A Second format of SIM (specified in clause 4).

**proactive SIM:** A SIM which is capable of issuing commands to the ME. Part of SIM Application Toolkit (see clause 11).

**record:** A string of bytes within an EF handled as a single entity (see clause 6).

**record number:** The number which identifies a record within an EF.

**record pointer:** The pointer which addresses one record in an EF.

**root directory:** Obsolete term for Master File.

**SIM application toolkit procedures:** Defined in GSM 11.14 [27].

### 3.2 Abbreviations

For the purposes of this ETS, the following abbreviations apply, in addition to those listed in GSM 01.04 [2]:

A3	Algorithm 3, authentication algorithm; used for authenticating the subscriber
A5	Algorithm 5, cipher algorithm; used for enciphering/deciphering data
A8	Algorithm 8, cipher key generator; used to generate $K_c$
A38	A single algorithm performing the functions of A3 and A8
ACM	Accumulated Call Meter
ADN	Abbreviated Dialling Number
ADM	Access condition to an EF which is under the control of the authority which creates this file
ALW	ALWays
AoC	Advice of Charge
APDU	Application Protocol Data Unit
ATR	Answer To Reset
BCCH	Broadcast Control CHannel
BCD	Binary Coded Decimal
BDN	Barred Dialling Number
BTS	Base Transmitter Station
CB	Cell Broadcast
CBMI	Cell Broadcast Message Identifier
CCITT	The International Telegraph and Telephone Consultative Committee (now also known as the ITU Telecommunications Standardization sector)
CCP	Capability/Configuration Parameter
CHV	Card Holder Verification information; access condition used by the SIM for the verification of the identity of the user
CLA	CLAss
CNL	Co-operative Network List
DCK	De-personalization Control Keys
DCS	Digital Cellular System
DF	Dedicated File (abbreviation formerly used for Data Field)
DTMF	Dual Tone Multiple Frequency
ECC	Emergency Call Code
EF	Elementary File

ETSI	European Telecommunications Standards Institute
eMLPP	enhanced Multi-Level Precedence and Pre-emption Service
etu	elementary time unit
FDN	Fixed Dialling Number
GSM	Global System for Mobile communications
HPLMN	Home PLMN
IC	Integrated Circuit
ICC	Integrated Circuit(s) Card
ID	IDentifier
IEC	International Electrotechnical Commission
IMSI	International Mobile Subscriber Identity
ISO	International Organization for Standardization
Kc	Cryptographic key; used by the cipher A5
Ki	Subscriber authentication key; the cryptographic key used by the authentication algorithm, A3, and cipher key generator, A8
LAI	Location Area Information; information indicating a cell or a set of cells
lgth	The (specific) length of a data unit
LND	Last Number Dialed
LSB	Least Significant Bit
MCC	Mobile Country Code
ME	Mobile Equipment
MF	Master File
MMI	Man Machine Interface
MNC	Mobile Network Code
MS	Mobile Station
MSISDN	Mobile Station international ISDN number
MSB	Most Significant Bit
NET	NETwork
NEV	NEVer
NPI	Numbering Plan Identifier
PIN/PIN2	Personal Identification Number / Personal Identification Number 2 (obsolete terms for CHV1 and CHV2, respectively)
PLMN	Public Land Mobile Network
PTS	Protocol Type Select (response to the ATR)
PUK/PUK2	PIN Unblocking Key / PIN2 Unblocking Key (obsolete terms for UNBLOCK CHV1 and UNBLOCK CHV2, respectively)
RAND	A RANDom challenge issued by the network
RFU	Reserved for Future Use
SDN	Service Dialling Number
SIM	Subscriber Identity Module
SMS	Short Message Service
SRES	Signed RESponse calculated by a SIM
SSC	Supplementary Service Control string
SW1/SW2	Status Word 1 / Status Word 2
TMSI	Temporary Mobile Subscriber Identity
TON	Type Of Number
TP	Transfer layer Protocol
TPDU	Transfer Protocol Data Unit
TS	Technical Specification
UNBLOCK CHV1/2	value to unblock CHV1/CHV2
VBS	Voice Broadcast Service
VGCS	Voice Group Call Service
VPLMN	Visited PLMN

### 3.3 Symbols

Vcc	Supply voltage
Vpp	Programming voltage
'0' to '9' and 'A' to 'F'	The sixteen hexadecimal digits

## 4 Physical characteristics

Two physical types of SIM are specified. These are the "ID-1 SIM" and the "Plug-in SIM".

The physical characteristics of both types of SIM shall be in accordance with ISO 7816-1, 2 [24, 25] unless otherwise specified. The following additional requirements shall be applied to ensure proper operation in the GSM environment.

### 4.1 Format and layout

The information on the exterior of either SIM should include at least the individual account identifier and the check digit of the IC Card Identification (see clause 10,  $EF_{ICCID}$ ).

#### 4.1.1 ID-1 SIM

Format and layout of the ID-1 SIM shall be in accordance with ISO 7816-1, 2 [24, 25].

The card shall have a polarization mark (see GSM 02.07 [3]) which indicates how the user should insert the card into the ME.

The ME shall accept embossed ID-1 cards. The embossing shall be in accordance with ISO/IEC 7811 [22, 23]. The contacts of the ID-1 SIM shall be located on the front (embossed face, see ISO/IEC 7810 [21]) of the card.

NOTE: Card warpage and tolerances are now specified for embossed cards in ISO/IEC 7810 [21].

#### 4.1.2 Plug-in SIM

The Plug-in SIM has a width of 25 mm, a height of 15 mm, a thickness the same as an ID-1 SIM and a feature for orientation. See figure A.1 in normative annex A for details of the dimensions of the card and the dimensions and location of the contacts.

Annexes A.1 and A.2 of ISO 7816-1 [24] do not apply to the Plug-in SIM.

Annex A of ISO 7816-2 [25] applies with the location of the reference points adapted to the smaller size. The three reference points P1, P2 and P3 measure 7,5 mm, 3,3 mm and 20,8 mm, respectively, from 0. The values in table A.1 of ISO 7816-2 [25] are replaced by the corresponding values of figure A.1.

### 4.2 Temperature range for card operation

The temperature range for full operational use shall be between -25°C and +70°C with occasional peaks of up to +85°C. "Occasional" means not more than 4 hours each time and not over 100 times during the life time of the card.

### 4.3 Contacts

#### 4.3.1 Provision of contacts

ME: Contacting elements in the ME in positions C4 and C8 are optional, and are not used in the GSM application. They shall present a high impedance to the SIM card in the GSM application. If it is determined that the SIM is a multi-application ICC, then these contacts may be used. Contact C6 need not be provided for Plug-in SIMs.

SIM: Contacts C4 and C8 need not be provided by the SIM, but if they are provided, then they shall not be connected internally in the SIM if the SIM only contains the GSM application. Contact C6 shall not be bonded in the SIM for any function other than supplying  $V_{pp}$ .



### 4.3.2 Activation and deactivation

The ME shall connect, activate and deactivate the SIM in accordance with the Operating Procedures specified in ISO/IEC 7816-3 [26].

For any voltage level, monitored during the activation sequence, or during the deactivation sequence following soft power-down, the order of the contact activation/deactivation shall be respected.

NOTE 1: Soft Power switching is defined in GSM 02.07 [3].

NOTE 2: It is recommended that whenever possible the deactivation sequence defined in ISO/IEC 7816-3 [26] should be followed by the ME on all occasions when the ME is powered down.

If the SIM clock is already stopped and is not restarted, the ME is allowed to deactivate all the contacts in any order, provided that all signals reach low level before Vcc leaves high level. If the SIM clock is already stopped and is restarted before the deactivation sequence, then the deactivation sequence specified in ISO/IEC 7816-3 [26] subclause 5.4 shall be followed.

When Vpp is connected to Vcc, as allowed by GSM (see clause 5), then Vpp will be activated and deactivated with Vcc, at the time of the Vcc activation/deactivation, as given in the sequences of ISO/IEC 7816-3 [26] subclauses 5.1 and 5.4.

The voltage level of Vcc, used by GSM, differs from that specified in ISO/IEC 7816-3 [26]. Vcc is powered when it has a value between 4,5 V and 5,5 V.

### 4.3.3 Inactive contacts

The voltages on contacts C1, C2, C3, C6 and C7 of the ME shall be between 0 and  $\pm 0,4$  volts referenced to ground (C5) when the ME is switched off with the power source connected to the ME. The measurement equipment shall have a resistance of 50 kohms when measuring the voltage on C2, C3, C6 and C7. The resistance shall be 10 kohms when measuring the voltage on C1.

### 4.3.4 Contact pressure

The contact pressure shall be large enough to ensure reliable and continuous contact (e.g. to overcome oxidisation and to prevent interruption caused by vibration). The radius of any curvature of the contacting elements shall be greater than or equal to 0,8 mm over the contact area.

Under no circumstances may a contact force be greater than 0,5 N per contact.

### 4.4 Precedence

For Mobile Equipment, which accepts both an ID-1 SIM and a Plug-in SIM, the ID-1 SIM shall take precedence over the Plug-in SIM (see GSM 02.17 [6]).

### 4.5 Static Protection

Considering that the SIM is a CMOS device, the ME manufacturer shall take adequate precautions (in addition to the protection diodes inherent in the SIM) to safeguard the ME, SIM and SIM/ME interface from static discharges at all times, and particularly during SIM insertion into the ME.

## 5 Electronic signals and transmission protocols

Electronic signals and transmission protocols shall be in accordance with ISO/IEC 7816-3 [26] unless specified otherwise. The following additional requirements shall be applied to ensure proper operation in the GSM environment.

The choice of the transmission protocol(s), to be used to communicate between the SIM and the ME, shall at least include that specified and denoted by T=0 in ISO/IEC 7816-3 [26].

The values given in the tables hereafter are derived from ISO/IEC 7816-3 [26], subclause 4.2 with the following considerations:

- $V_{OH}$  and  $V_{OL}$  always refer to the device (ME or SIM) which is driving the interface.  $V_{IH}$  and  $V_{IL}$  always refer to the device (ME or SIM) which is operating as a receiver on the interface.
- This convention is different to the one used in ISO/IEC 7816-3 [26], which specifically defines an ICC for which its current conventions apply. The following clauses define the specific core requirements for the SIM, which provide also the basis for Type Approval. For each state ( $V_{OH}$ ,  $V_{IH}$ ,  $V_{IL}$  and  $V_{OL}$ ) a positive current is defined as flowing out of the entity (ME or SIM) in that state.
- The high current options of ISO/IEC 7816-3 [26] for  $V_{IH}$  and  $V_{OH}$  are not specified for the SIM as they apply to NMOS technology requirements. No realization of the SIM using NMOS is foreseen.

### 5.1 Supply voltage Vcc (contact C1)

The SIM shall be operated within the following limits:

**Table 1: Electrical characteristics of Vcc under normal operating conditions**

Symbol	Minimum	Maximum	Unit
Vcc	4,5	5,5	V
Icc		10	mA

The current consumption of the SIM shall not exceed the value given in table 1 at any frequency accepted by the SIM.

When the SIM is in idle state (see below) the current consumption of the card shall not exceed 200  $\mu$ A at 1 MHz and 25°C.

The ME shall source the maximum current requirements defined above. It shall also be able to counteract spikes in the current consumption of the card up to a maximum charge of 40 nAs with no more than 400 ns duration and an amplitude of at most 200 mA, ensuring that the supply voltage stays in the specified range.

NOTE: A possible solution would be to place a capacitor (e.g. 100 nF, ceramic) as close as possible to the contacting elements.

### 5.2 Reset (RST) (contact C2)

The ME shall operate the SIM within the following limits:

**Table 2: Electrical characteristics of RST under normal operating conditions**

Symbol	Conditions	Minimum	Maximum
$V_{OH}$	$I_{OHmax} = +20 \mu$ A	Vcc-0,7	Vcc (note)
$V_{OL}$	$I_{OLmax} = -200 \mu$ A	0V (note)	0,6 V
$t_R$ $t_F$	$C_{out} = C_{in} = 30$ pF		400 $\mu$ s
NOTE: To allow for overshoot the voltage on RST shall remain between -0,3 V and Vcc+0,3 V during dynamic operation.			

### 5.3 Programming voltage Vpp (contact C6)

SIMs shall not require any programming voltage on Vpp. The ME need not provide contact C6. If the ME provides contact C6, then, in the case of the ID-1 SIM the same voltage shall be supplied on Vpp as on Vcc, while in the case of Plug-in SIMs the ME need not provide any voltage on C6. Contact C6 may be connected to Vcc in any ME but shall not be connected to ground.

#### 5.4 Clock CLK (contact C3)

The SIM shall support 1 to 5 MHz. The clock shall be supplied by the ME. No "internal clock" SIMs shall be used.

If a frequency of 13/4 MHz is needed by the SIM to run the authentication procedure in the allotted time (see GSM 03.20 [11]), or to process an ENVELOPE command used for SIM Data Download, bit 2 of byte 1 in the field characteristics shall be set to 1. Otherwise a minimum frequency of 13/8 MHz may be used.

The duty cycle shall be between 40 % and 60 % of the period during stable operation.

The ME shall operate the SIM within the following limits:

**Table 3: Electrical characteristics of CLK under normal operating conditions**

Symbol	Conditions	Minimum	Maximum
$V_{OH}$	$I_{OHmax} = +20 \mu A$	$0,7 \times V_{cc}$	$V_{cc}$ (note)
$V_{OL}$	$I_{OLmax} = -200 \mu A$	0 V (note)	0,5 V
$t_R t_F$	$C_{out} = C_{in} = 30 \text{ pF}$		9 % of period with a maximum of 0,5 $\mu s$
NOTE: To allow for overshoot the voltage on CLK shall remain between -0,3 V and $V_{cc}+0,3 \text{ V}$ during dynamic operation.			

#### 5.5 I/O (contact C7)

Table 4 defines the electrical characteristics of the I/O (contact C7). The values given in the table have the effect of defining the values of the pull-up resistor in the ME and the impedances of the drivers and receivers in the ME and SIM.

**Table 4: Electrical characteristics of I/O under normal operating conditions**

Symbol	Conditions	Minimum	Maximum
$V_{IH}$	$I_{IHmax} = \pm 20 \mu A$ (note 2)	$0,7 \times V_{cc}$	$V_{cc}+0,3 \text{ V}$
$V_{IL}$	$I_{ILmax} = +1 \text{ mA}$	-0,3 V	0,8 V
$V_{OH}$ (note 1)	$I_{OHmax} = + 20 \mu A$	3,8 V	$V_{cc}$ (note 3)
$V_{OL}$	$I_{OLmax} = -1 \text{ mA}$	0 V (note 3)	0,4 V
$t_R t_F$	$C_{out} = C_{in} = 30 \text{ pF}$		1 $\mu s$
NOTE 1: It is assumed that a pull-up resistor is used in the interface device (recommended value: 20 kohms).			
NOTE 2: During static conditions (idle state) only the positive value can apply. Under dynamic operating conditions (transmission) short term voltage spikes on the I/O line may cause a current reversal.			
NOTE 3: To allow for overshoot the voltage on I/O shall remain between -0,3 V and $V_{cc}+0,3 \text{ V}$ during dynamic operation.			

#### 5.6 States

There are two states for the SIM while the power supply is on:

- The SIM is in operating state when it executes a command. This state also includes transmission from and to the ME.
- The SIM is in idle state at any other time. It shall retain all pertinent data during this state.

The SIM may support a clock stop mode. The clock shall only be switched off subject to the conditions specified in the file characteristics (see clause 9).

Clock stop mode. An ME of Phase 2 or later shall wait at least 1 860 clock cycles after having received the last character, including the guard time (2 etu), of the response before it switches off the clock (if it is allowed to do so). It shall wait at least 744 clock cycles before it sends the first command after having started the clock.

To achieve phase compatibility, the following procedure shall be adhered to:

A SIM of Phase 2 or later shall always send the status information "normal ending of the command" after the successful interpretation of the command SLEEP received from a Phase 1 ME. An ME of Phase 2 or later shall not send a SLEEP command.

A Phase 1 ME shall wait at least 744 clock cycles after having received the compulsory acknowledgement SW1 SW2 of the SLEEP command before it switches off the clock (if it is allowed to do so). It shall wait at least 744 clock cycles before it sends the first command after having started the clock.

## 5.7 Baudrate

The initial baudrate (during ATR) shall be:  $(\text{clock frequency})/372$ . Subsequent baudrate shall be:  $(\text{clock frequency})/372$  unless the PTS procedure has been successfully performed. In that case the negotiated baudrate shall be applied according to subclause 5.8.2.

## 5.8 Answer To Reset (ATR)

The ATR is information presented by the SIM to the ME at the beginning of the card session and gives operational requirements.

### 5.8.1 Structure and contents

The following table gives an explanation of the characters specified in ISO/IEC 7816-3 [26] and the requirements for their use in GSM. The answer to reset consists of at most 33 characters. The ME shall be able to receive interface characters for transmission protocols other than T=0, historical characters and a check byte, even if only T=0 is used by the ME.

**Table 5: ATR**

Character	Contents	sent by the card	a) b)	evaluation by the ME reaction by the ME
1. Initial character TS	coding convention for all subsequent characters (direct or inverse convention)	always	a) b)	always using appropriate convention
2. Format character T0	subsequent interface characters, number of historical characters	always	a) b)	always identifying the subsequent characters accordingly
3. Interface character (global) TA1	parameters to calculate the work etu	optional	a) b)	always if present if TA1 is not '11', PTS procedure shall be used (see subclause 5.8.2)
4. Interface character (global) TB1	parameters to calculate the programming voltage and current	optional	a) b)	always if present if PI1 is not 0, then reject the SIM (in accordance with subclause 5.10)

(continued)

Table 5 (concluded): ATR

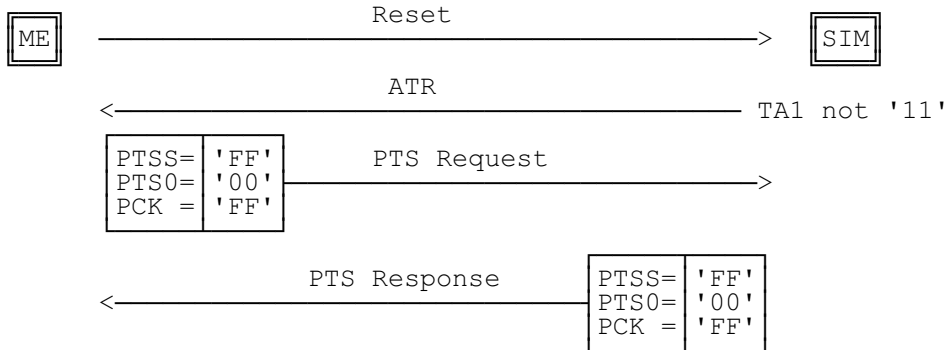
Character	Contents	sent by the card	a) b) evaluation by the ME reaction by the ME
5. Interface character (global) TC1	parameters to calculate the extra guardtime requested by the card; no extra guardtime is used to send characters from the card to the ME	optional	a) always if present b) if TC1 is neither 0 nor 255, then reject the SIM (in accordance with subclause 5.10); see note
6. Interface character TD1	protocol type; indicator for the presence of interface characters, specifying rules to be used for transmissions with the given protocol type	optional	a) always if present b) identifying the subsequent characters accordingly
7. Interface character (specific) TA2	not used for protocol T=0	optional	a) optional b) -----
8. Interface character (global) TB2	parameter to calculate the programming voltage	never	the allowed value of TB1 above defines that an external programming voltage is not applicable
9. Interface character (specific) TC2	parameters to calculate the work waiting time	optional	a) always if present b) using the work waiting time accordingly
10. Interface character TDi (i>1)	protocol type; indicator for the presence of interface characters, specifying rules to be used for transmissions with the given protocol type	optional	a) always if present b) identifying the subsequent characters accordingly
11. Interface character TAi, TBi, TCi (i>2)	characters which contain interface characters for other transmission protocols	optional	a) optional b) -----
12. Historical characters T1,...,TK	contents not specified in ISO/IEC	optional	a) optional b) -----
13. Check character TCK	check byte (exclusive -ORing)	not sent if only T=0 is indicated in the ATR; in all other cases TCK shall be sent	a) optional b) -----

NOTE: According to ISO/IEC 7816-3:1989/DAM2 (see annex D) N=255 indicates that the minimum delay is 12 etu for the asynchronous half-duplex character transmission protocol.

**5.8.2 PTS procedure**

Specifically related to this ETS the PTS procedure according to ISO/IEC 7816-3 [26], clause 7, is applied, only if TA1 is not equal to '11', as follows:

a) for MEs only supporting default speed (F=372, D=1)

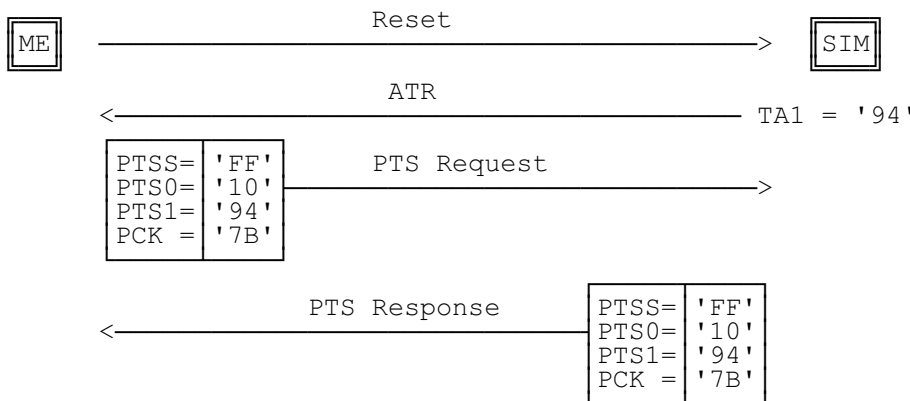


**Figure 1: PTS procedure**

PTS Request and PTS Response consist of the three (3) characters PTSS, PTSO and PCK of which PTSS is sent first.

After this procedure the protocol T=0 and the parameters F=372, D=1 and N=0 will be used.

b) for MEs only supporting enhanced speed (F=512, D=8)



**Figure 2: PTS procedure requesting enhanced speed values (F=512, D=8, see subclause 5.8.3)**

PTS Request and PTS Response consist of the four (4) characters PTSS, PTSO, PTS1 and PCK of which PTSS is sent first.

After this procedure the protocol T=0 and the parameters F=512, D=8 and N=0 will be used.

**5.8.3 Speed enhancement**

If speed enhancement is implemented the ME and the SIM shall at least support F=512 and D=8 in addition to F=372 and D=1. However, other values may also be supported. If the ME requests PTS using values other than those above then the PTS procedure shall be initiated accordingly.

The SIM shall support the default value (F=372 and D=1). If the speed enhancement is supported by the SIM it is mandatory that F=512 and D=8 is supported. However, the value in TA1 may even indicate a faster speed (F=512 and D=16). The SIM may also support other values between the default value (F=372 and D=1) and the values indicated in TA1. The SIM shall offer the negotiable mode, to ensure backwards compatibility with existing MEs. In the negotiable mode the SIM will use default values even if other parameters are offered in the ATR if the PTS procedure is not initiated.

The ME shall support the default value (F=372 and D=1). If the speed enhancement is supported in the ME it is mandatory to support F=512 and D=8. The ME may additionally support other values.

If the SIM does not answer the PTS request within the initial waiting time the ME shall reset the SIM. After two failed PTS attempts using F=512 and D=8 or values indicated in TA1, (no PTS response from the SIM) the ME shall initiate PTS procedure using default values. If this also fails (no PTS response from the SIM) the ME may proceed using default values without requesting PTS.

If the SIM does not support the values requested by the ME, the SIM shall respond to the PTS request indicating the use of default values.

**5.9 Bit/character duration and sampling time**

The bit/character duration and sampling time specified in ISO/IEC 7816-3 [26], subclauses 6.1.1 and 6.1.2, are valid for all communications.

**5.10 Error handling**

Following receipt of an ATR, which is not in accordance with this ETS, e.g. because of forbidden ATR characters or too few bytes being transmitted, the ME shall perform a Reset. The ME shall not reject the SIM until at least three consecutive wrong ATRs are received.

During the transmission of the ATR and the protocol type selection, the error detection and character repetition procedure specified in ISO/IEC 7816-3 [26], subclause 6.1.3, is optional for the ME. For the subsequent transmission on the basis of T=0 this procedure is mandatory for the ME.

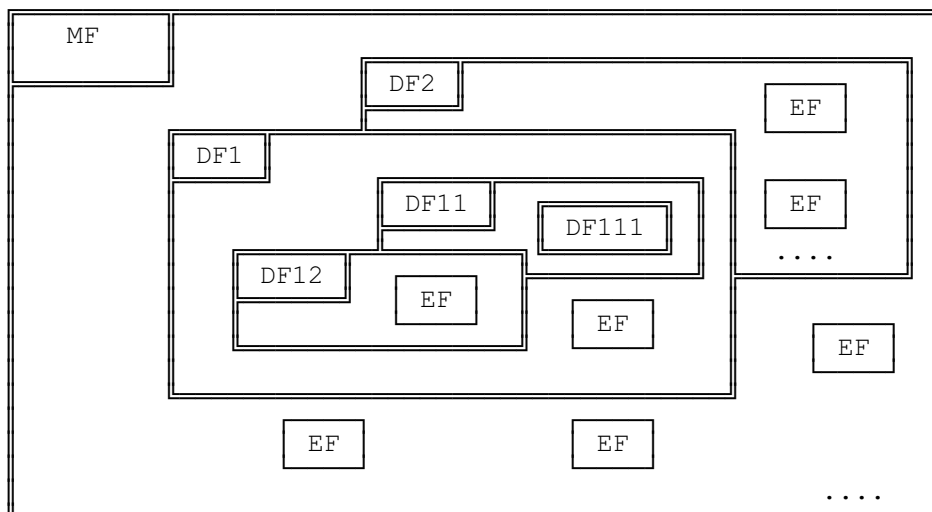
For the SIM the error detection and character repetition procedure is mandatory for all communications.

**6 Logical Model**

This clause describes the logical structure for a SIM, the code associated with it, and the structure of files used.

**6.1 General description**

Figure 3 shows the general structural relationships which may exist between files. The files are organized in a hierarchical structure and are of one of three types as defined below. These files may be either administrative or application specific. The operating system handles the access to the data stored in different files.



**Figure 3: Organization of memory**

Files are composed of a header, which is internally managed by the SIM, and optionally a body part. The information of the header is related to the structure and attributes of the file and may be obtained by using the commands GET RESPONSE or STATUS. This information is fixed during the administrative phase. The body part contains the data of the file.

## 6.2 File identifier

A file ID is used to address or identify each specific file. The file ID consists of two bytes and shall be coded in hexadecimal notation. They are specified in clause 10.

The first byte identifies the type of file, and for GSM is:

- '3F': Master File;
- '7F': 1<sup>st</sup> level Dedicated File;
- '5F': 2<sup>nd</sup> level Dedicated File;
- '2F': Elementary File under the Master File;
- '6F': Elementary File under a 1<sup>st</sup> level Dedicated File;
- '4F': Elementary File under 2<sup>nd</sup> level Dedicated File.

File IDs shall be subject to the following conditions:

- the file ID shall be assigned at the time of creation of the file concerned;
- no two files under the same parent shall have the same ID;
- a child and any parent, either immediate or remote in the hierarchy, e.g. grandparent, shall never have the same file ID.

In this way each file is uniquely identified.

## 6.3 Dedicated files

A Dedicated File (DF) is a functional grouping of files consisting of itself and all those files which contain this DF in their parental hierarchy (that is to say it consists of the DF and its complete "subtree"). A DF "consists" only of a header part.

Two 1<sup>st</sup> level DFs are defined in this ETS:

- DF<sub>GSM</sub> which contains the applications for both GSM and/or DCS 1 800;
- DF<sub>TELECOM</sub> which contains telecom service features.

Both files are immediate children of the Master File (MF) and may coexist on a multi-application card.

2<sup>nd</sup> level DFs are defined in this ETS under DF<sub>GSM</sub>.

All 2<sup>nd</sup> level DFs are immediate children of the DF<sub>GSM</sub> and may coexist on a multi-application card.

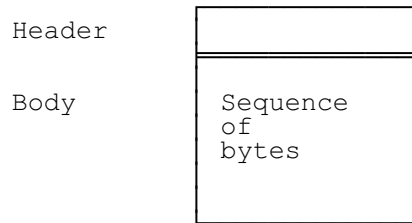
## 6.4 Elementary files

An Elementary File (EF) is composed of a header and a body part. The following three structures of an EF are used by GSM.

### 6.4.1 Transparent EF

An EF with a transparent structure consists of a sequence of bytes. When reading or updating, the sequence of bytes to be acted upon is referenced by a relative address (offset), which indicates the start position (in bytes), and the number of bytes to be read or updated. The first byte of a transparent EF has the relative address '00 00'. The total data length of the body of the EF is indicated in the header of the EF.



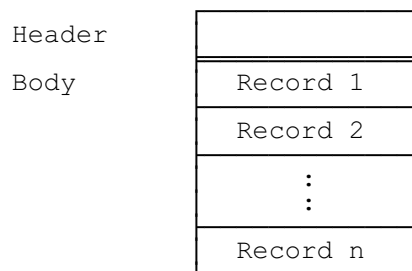


NOTE: This structure was previously referred to as "binary" in GSM.

**Figure 4: Structure of a transparent EF**

#### 6.4.2 Linear fixed EF

An EF with linear fixed structure consists of a sequence of records all having the same (fixed) length. The first record is record number 1. The length of a record as well as this value multiplied by the number of records are indicated in the header of the EF.



**Figure 5: Structure of a linear fixed file**

There are several methods to access records within an EF of this type:

- absolutely using the record number;
- when the record pointer is not set it shall be possible to perform an action on the first or the last record by using the NEXT or PREVIOUS mode;
- when the record pointer is set it shall be possible to perform an action on this record, the next record (unless the record pointer is set to the last record) or the previous record (unless the record pointer is set to the first record);
- by identifying a record using pattern seek starting:
  - forwards from the beginning of the file;
  - forwards from the record following the one at which the record pointer is set (unless the record pointer is set to the last record);
  - backwards from the end of the file;
  - backwards from the record preceding the one at which the record pointer is set (unless the record pointer is set to the first record).

If an action following selection of a record is aborted, then the record pointer shall remain set at the record at which it was set prior to the action.

NOTE 1: It is not possible, at present, to have more than 255 records in a file of this type, and each record cannot be greater than 255 bytes.

NOTE 2: This structure was previously referred to as "formatted" in GSM.

### 6.4.3 Cyclic EF

Cyclic files are used for storing records in chronological order. When all records have been used for storage, then the next storage of data shall overwrite the oldest information.

An EF with a cyclic structure consists of a fixed number of records with the same (fixed) length. In this file structure there is a link between the last record (n) and the first record. When the record pointer is set to the last record n, then the next record is record 1. Similarly, when the record pointer is set to record 1, then the previous record is record n. The last updated record containing the newest data is record number 1, and the oldest data is held in record number n.

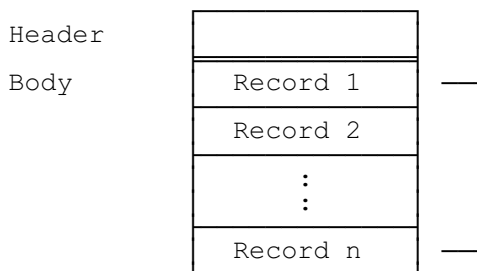


Figure 6: Structure of a cyclic file

For update operations only PREVIOUS record shall be used. For reading operations, the methods of addressing are Next, Previous, Current and Record Number.

After selection of a cyclic file (for either operation), the record pointer shall address the record updated or increased last. If an action following selection of a record is aborted, then the record pointer shall remain set at the record at which it was set prior to the action.

NOTE: It is not possible, at present, to have more than 255 records in a file of this type, and each record cannot be greater than 255 bytes.

### 6.5 Methods for selecting a file

After the Answer To Reset (ATR), the Master File (MF) is implicitly selected and becomes the Current Directory. Each file may then be selected by using the SELECT function in accordance with the following rules.

Selecting a DF or the MF sets the Current Directory. After such a selection there is no current EF. Selecting an EF sets the current EF and the Current Directory remains the DF or MF which is the parent of this EF. The current EF is always a child of the Current Directory.

Any application specific command shall only be operable if it is specific to the Current Directory.

The following files may be selected from the last selected file:

- any file which is an immediate child of the Current Directory;
- any DF which is an immediate child of the parent of the current DF;
- the parent of the Current Directory;
- the current DF;
- the MF.

This means in particular that a DF shall be selected prior to the selection of any of its EFs. All selections are made using the file ID.

The following figure gives the logical structure for the GSM application. GSM defines only two levels of DFs under the MF.

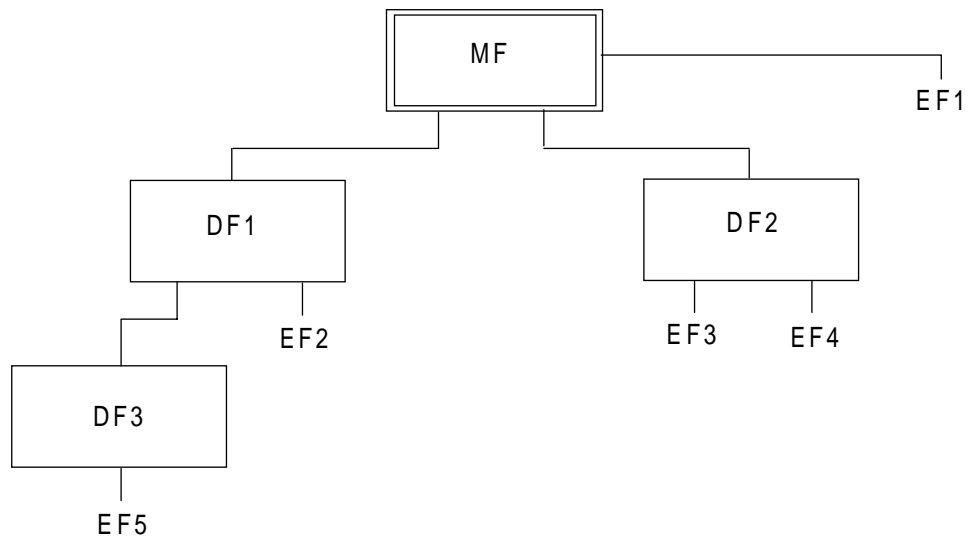


Figure 7: Logical structure

The following table gives the valid selections for GSM for the logical structure in figure 7. Reselection of the last selected file is also allowed but not shown.

Table 6: File selection

Last selected file	Valid Selections
MF	DF1, DF2, EF1
DF1	MF, DF2, DF3, EF2
DF2	MF, DF1, EF3, EF4
DF3	MF, DF1, EF5
EF1	MF, DF1, DF2
EF2	MF, DF1, DF2, DF3
EF3	MF, DF1, DF2, EF4
EF4	MF, DF1, DF2, EF3
EF5	MF, DF1, DF3

## 6.6 Reservation of file IDs

In addition to the identifiers used for the files specified in this ETS, the following file IDs are reserved for use by GSM.

### Dedicated Files:

- administrative use:  
'7F 4X', '5F1X', '5F2X'.
- operational use:  
'7F 10' (DF<sub>TELECOM</sub>), '7F 20' (DF<sub>GSM</sub>), '7F 21' (DF<sub>DCS1800</sub>), and '7F 2X', where X ranges from '2' to 'F'.
- reserved under '7F20':  
'5F30' (DF<sub>IRIDIUM</sub>), '5F31' (DF<sub>Globalstar</sub>), '5F32' (DF<sub>ICO</sub>), '5F33' (DF<sub>ACeS</sub>), '5F3X', where X ranges from '4' to 'F' for other MSS;  
'5F40'(DF<sub>PCS-1900</sub>), '5F4Y' where Y ranges from '1' to 'F' and;  
'5FYX' where Y ranges from '5' to 'F'.

### Elementary files:

- administrative use:  
'6F XX' in the DFs '7F 4X'; '4F XX' in the DFs '5F 1X', '5F2X';  
'6F 1X' in the DFs '7F 10', '7F 20', '7F 21';  
'4F 1X' in all 2<sup>nd</sup> level DFs;  
'2F 01', '2F EX' in the MF '3F 00';

- operational use:
  - '6F 2X', '6F 3X', '6F 4X' in '7F 10' and '7F 2X';
  - '4F YX', where Y ranges from '2' to 'F' in all 2<sup>nd</sup> level DFs;
  - '2F 1X' in the MF '3F 00'.

In all the above, X ranges, unless otherwise stated, from '0' to 'F'.

## 7 Security features

The security aspects of GSM are described in the normative references GSM 02.09 [4] and GSM 03.20 [11]. This clause gives information related to security features supported by the SIM to enable the following:

- authentication of the subscriber identity to the network;
- data confidentiality over the air interface;
- file access conditions.

### 7.1 Authentication and cipher key generation procedure

This subclause describes the authentication mechanism and cipher key generation which are invoked by the network. For the specification of the corresponding procedures across the SIM/ME interface see clause 11.

The network sends a Random Number (RAND) to the MS. The ME passes the RAND to the SIM in the command RUN GSM ALGORITHM. The SIM returns the values SRES and Kc to the ME which are derived using the algorithms and processes given below. The ME sends SRES to the network. The network compares this value with the value of SRES which it calculates for itself. The comparison of these SRES values provides the authentication. The value Kc is used by the ME in any future enciphered communications with the network until the next invocation of this mechanism.

A subscriber authentication key Ki is used in this procedure. This key Ki has a length of 128 bits and is stored within the SIM for use in the algorithms described below.

### 7.2 Algorithms and processes

The names and parameters of the algorithms supported by the SIM are defined in GSM 03.20 [11]. These are:

- Algorithm A3 to authenticate the MS to the network;
- Algorithm A8 to generate the encryption key.

These algorithms may exist either discretely or combined (into A38) within the SIM. In either case the output on the SIM/ME interface is 12 bytes. The inputs to both A3 and A8, or A38, are Ki (128 bits) internally derived in the SIM, and RAND (128 bits) across the SIM/ME interface. The output is SRES (32 bits)/Kc (64 bits) the coding of which is defined in the command RUN GSM ALGORITHM in clause 9.

### 7.3 File access conditions

Every file has its own specific access condition for each command. The relevant access condition of the last selected file shall be fulfilled before the requested action can take place.

For each file:

- the access conditions for the commands READ and SEEK are identical;
- the access conditions for the commands SELECT and STATUS are ALWAYS.

No file access conditions are currently assigned by GSM to the MF and the DFs.

The access condition levels are defined in the following table:

**Table 7: Access condition level coding**

Level	Access Condition
0	ALWays
1	CHV1
2	CHV2
3	Reserved for GSM Future Use
4 to 14	ADM
15	NEVer

The meaning of the file access conditions is as follows:

**ALWAYS:** The action can be performed without any restriction;

**CHV1** (card holder verification 1): The action shall only be possible if one of the following three conditions is fulfilled:

- a correct CHV1 value has already been presented to the SIM during the current session;
- the CHV1 enabled/disabled indicator is set to "disabled";
- UNBLOCK CHV1 has been successfully performed during the current session;

**CHV2:** The action shall only be possible if one of the following two conditions is fulfilled:

- a correct CHV2 value has already been presented to the SIM during the current session;
- UNBLOCK CHV2 has been successfully performed during the current session;

**ADM:** Allocation of these levels and the respective requirements for their fulfilment are the responsibility of the appropriate administrative authority

The definition of access condition ADM does not preclude the administrative authority from using ALW, CHV1, CHV2 and NEV if required.

**NEVER:** The action cannot be performed over the SIM/ME interface. The SIM may perform the action internally.

Condition levels are not hierarchical. For instance, correct presentation of CHV2 does not allow actions to be performed which require presentation of CHV1. A condition level which has been satisfied remains valid until the end of the GSM session as long as the corresponding secret code remains unblocked, i.e. after three consecutive wrong attempts, not necessarily in the same card session, the access rights previously granted by this secret code are lost immediately. A satisfied CHV condition level applies to both DF<sub>GSM</sub> and DF<sub>TELECOM</sub>.

The ME shall determine whether CHV2 is available by using the response to the STATUS command. If CHV2 is "not initialized" then CHV2 commands, e.g. VERIFY CHV2, shall not be executable.

## 8 Description of the functions

This clause gives a functional description of the commands and their respective responses. Associated status conditions, error codes and their corresponding coding are specified in clause 9.

It shall be mandatory for all cards complying with this Standard to support all functions described in this Standard. The command GET RESPONSE which is needed for the protocol T=0 is specified in clause 9.

The following table lists the file types and structures together with the functions which may act on them during a GSM session. These are indicated by an asterisk (\*).

**Table 8: Functions on files in GSM session**

Function	File				
	MF	DF	EF transparent	EF linear fixed	EF cyclic
SELECT	*	*	*	*	*
STATUS	*	*	*	*	*
READ BINARY			*		
UPDATE BINARY			*		
READ RECORD				*	*
UPDATE RECORD				*	*
SEEK				*	
INCREASE					*
INVALIDATE			*	*	*
REHABILITATE			*	*	*

### 8.1 SELECT

This function selects a file according to the methods described in clause 6. After a successful selection the record pointer in a linear fixed file is undefined. The record pointer in a cyclic file shall address the last record which has been updated or increased.

Input:

- file ID.

Output:

- if the selected file is the MF or a DF:  
file ID, total memory space available, CHV enabled/disabled indicator, CHV status and other GSM specific data;
- if the selected file is an EF:  
file ID, file size, access conditions, invalidated/not invalidated indicator, structure of EF and length of the records in case of linear fixed structure or cyclic structure.

### 8.2 STATUS

This function returns information concerning the current directory. A current EF is not affected by the STATUS function. It is also used to give an opportunity for a pro-active SIM to indicate that the SIM wants to issue a SIM Application Toolkit command to the ME.

Input:

- none.

Output:

- file ID, total memory space available, CHV enabled/disabled indicator, CHV status and other GSM specific data (identical to SELECT above).

### 8.3 READ BINARY

This function reads a string of bytes from the current transparent EF. This function shall only be performed if the READ access condition for this EF is satisfied.

Input:

- relative address and the length of the string.

Output:

- string of bytes.

### 8.4 UPDATE BINARY

This function updates the current transparent EF with a string of bytes. This function shall only be performed if the UPDATE access condition for this EF is satisfied. An update can be considered as a replacement of the string already present in the EF by the string given in the update command.

Input:

- relative address and the length of the string;
- string of bytes.

Output:

- none.

### 8.5 READ RECORD

This function reads one complete record in the current linear fixed or cyclic EF. The record to be read is described by the modes below. This function shall only be performed if the READ access condition for this EF is satisfied. The record pointer shall not be changed by an unsuccessful READ RECORD function.

Four modes are defined:

**CURRENT:** The current record is read. The record pointer is not affected.

**ABSOLUTE:** The record given by the record number is read. The record pointer is not affected.

**NEXT:** The record pointer is incremented before the READ RECORD function is performed and the pointed record is read. If the record pointer has not been previously set within the selected EF, then READ RECORD (next) shall read the first record and set the record pointer to this record.

If the record pointer addresses the last record in a linear fixed EF, READ RECORD (next) shall not cause the record pointer to be changed, and no data shall be read.

If the record pointer addresses the last record in a cyclic EF, READ RECORD (next) shall set the record pointer to the first record in this EF and this record shall be read.

**PREVIOUS:** The record pointer is decremented before the READ RECORD function is performed and the pointed record is read. If the record pointer has not been previously set within the selected EF, then READ RECORD (previous) shall read the last record and set the record pointer to this record.

If the record pointer addresses the first record in a linear fixed EF, READ RECORD (previous) shall not cause the record pointer to be changed, and no data shall be read.

If the record pointer addresses the first record in a cyclic EF, READ RECORD (previous) shall set the record pointer to the last record in this EF and this record shall be read.

Input:

- mode, record number (absolute mode only) and the length of the record.

Output:

- the record.

## 8.6 UPDATE RECORD

This function updates one complete record in the current linear fixed or cyclic EF. This function shall only be performed if the UPDATE access condition for this EF is satisfied. The UPDATE can be considered as a replacement of the relevant record data of the EF by the record data given in the command. The record pointer shall not be changed by an unsuccessful UPDATE RECORD function.

The record to be updated is described by the modes below. Four modes are defined of which only PREVIOUS is allowed for cyclic files:

**CURRENT:** The current record is updated. The record pointer is not affected.

**ABSOLUTE:** The record given by the record number is updated. The record pointer is not affected.

**NEXT:** The record pointer is incremented before the UPDATE RECORD function is performed and the pointed record is updated. If the record pointer has not been previously set within the selected EF, then UPDATE RECORD (next) shall set the record pointer to the first record in this EF and this record shall be updated. If the record pointer addresses the last record in a linear fixed EF, UPDATE RECORD (next) shall not cause the record pointer to be changed, and no record shall be updated.

**PREVIOUS:** For a linear fixed EF the record pointer is decremented before the UPDATE RECORD function is performed and the pointed record is updated. If the record pointer has not been previously set within the selected EF, then UPDATE RECORD (previous) shall set the record pointer to the last record in this EF and this record shall be updated. If the record pointer addresses the first record in a linear fixed EF, UPDATE RECORD (previous) shall not cause the record pointer to be changed, and no record shall be updated.

For a cyclic EF the record containing the oldest data is updated, the record pointer is set to this record and this record becomes record number 1.

Input:

- mode, record number (absolute mode only) and the length of the record;
- the data used for updating the record.

Output:

- none.

## 8.7 SEEK

This function searches through the current linear fixed EF to find a record starting with the given pattern. This function shall only be performed if the READ access condition for this EF is satisfied. Two types of SEEK are defined:

**Type 1** The record pointer is set to the record containing the pattern, no output is available.

**Type 2** The record pointer is set to the record containing the pattern, the output is the record number.

**NOTE:** A Phase 1 SIM only executes type 1 of the SEEK function.

The SIM shall be able to accept any pattern length from 1 to 16 bytes inclusive. The length of the pattern shall not exceed the record length.

Four modes are defined:

- from the beginning forwards;
- from the end backwards;
- from the next location forwards;
- from the previous location backwards.



If the record pointer has not been previously set (its status is undefined) within the selected linear fixed EF, then the search begins:

- with the first record in the case of SEEK from the next location forwards; or
- with the last record in the case of SEEK from the previous location backwards.

After a successful SEEK, the record pointer is set to the record in which the pattern was found. The record pointer shall not be changed by an unsuccessful SEEK function.

Input:

- type and mode;
- pattern;
- length of the pattern.

Output:

- type 1: none;
- type 2: status/record number.

## 8.8 INCREASE

This function adds the value given by the ME to the value of the last increased/updated record of the current cyclic EF, and stores the result into the oldest record. The record pointer is set to this record and this record becomes record number 1. This function shall be used only if this EF has an INCREASE access condition assigned and this condition is fulfilled (see bytes 8 and 10 in the response parameters/data of the current EF, clause 9). The SIM shall not perform the increase if the result would exceed the maximum value of the record (represented by all bytes set to 'FF').

Input:

- the value to be added.

Output:

- value of the increased record;
- value which has been added.

## 8.9 VERIFY CHV

This function verifies the CHV presented by the ME by comparing it with the relevant one stored in the SIM. The verification process is subject to the following conditions being fulfilled:

- CHV is not disabled;
- CHV is not blocked.

If the access condition for a function to be performed on the last selected file is CHV1 or CHV2, then a successful verification of the relevant CHV is required prior to the use of the function on this file unless the CHV is disabled.

If the CHV presented is correct, the number of remaining CHV attempts for that CHV shall be reset to its initial value 3.

If the CHV presented is false, the number of remaining CHV attempts for that CHV shall be decremented. After 3 consecutive false CHV presentations, not necessarily in the same card session, the respective CHV shall be blocked and the access condition can never be fulfilled until the UNBLOCK CHV function has been successfully performed on the respective CHV.

Input:

- indication CHV1/CHV2, CHV.

Output:

- none.

## 8.10 CHANGE CHV

This function assigns a new value to the relevant CHV subject to the following conditions being fulfilled:

- CHV is not disabled;
- CHV is not blocked.

The old and new CHV shall be presented.

If the old CHV presented is correct, the number of remaining CHV attempts for that CHV shall be reset to its initial value 3 and the new value for the CHV becomes valid.

If the old CHV presented is false, the number of remaining CHV attempts for that CHV shall be decremented and the value of the CHV is unchanged. After 3 consecutive false CHV presentations, not necessarily in the same card session, the respective CHV shall be blocked and the access condition can never be fulfilled until the UNBLOCK CHV function has been performed successfully on the respective CHV.

Input:

- indication CHV1/CHV2, old CHV, new CHV.

Output:

- none.

## 8.11 DISABLE CHV

This function may only be applied to CHV1. The successful execution of this function has the effect that files protected by CHV1 are now accessible as if they were marked "ALWAYS". The function DISABLE CHV shall not be executed by the SIM when CHV1 is already disabled or blocked.

If the CHV1 presented is correct, the number of remaining CHV1 attempts shall be reset to its initial value 3 and CHV1 shall be disabled.

If the CHV1 presented is false, the number of remaining CHV1 attempts shall be decremented and CHV1 remains enabled. After 3 consecutive false CHV1 presentations, not necessarily in the same card session, CHV1 shall be blocked and the access condition can never be fulfilled until the UNBLOCK CHV function has been successfully performed on CHV1.

Input:

- CHV1.

Output:

- none.

## 8.12 ENABLE CHV

This function may only be applied to CHV1. It is the reverse function of DISABLE CHV. The function ENABLE CHV shall not be executed by the SIM when CHV1 is already enabled or blocked.

If the CHV1 presented is correct, the number of remaining CHV1 attempts shall be reset to its initial value 3 and CHV1 shall be enabled.

If the CHV1 presented is false, the number of remaining CHV1 attempts shall be decremented and CHV1 remains disabled. After 3 consecutive false CHV1 presentations, not necessarily in the same card session, CHV1 shall be blocked and the access condition can never be fulfilled until the UNBLOCK CHV function has been successfully performed on CHV1.

Input:

- CHV1.

Output:

- none.

### 8.13 UNBLOCK CHV

This function unblocks a CHV which has been blocked by 3 consecutive wrong CHV presentations. This function may be performed whether or not the relevant CHV is blocked.

If the UNBLOCK CHV presented is correct, the value of the CHV, presented together with the UNBLOCK CHV, is assigned to that CHV, the number of remaining UNBLOCK CHV attempts for that UNBLOCK CHV is reset to its initial value 10 and the number of remaining CHV attempts for that CHV is reset to its initial value 3. After a successful unblocking attempt the CHV is enabled and the relevant access condition level is satisfied.

If the presented UNBLOCK CHV is false, the number of remaining UNBLOCK CHV attempts for that UNBLOCK CHV shall be decremented. After 10 consecutive false UNBLOCK CHV presentations, not necessarily in the same card session, the respective UNBLOCK CHV shall be blocked. A false UNBLOCK CHV shall have no effect on the status of the respective CHV itself.

Input:

- indication CHV1/CHV2, the UNBLOCK CHV and the new CHV.

Output:

- none.

### 8.14 INVALIDATE

This function invalidates the current EF. After an INVALIDATE function the respective flag in the file status shall be changed accordingly. This function shall only be performed if the INVALIDATE access condition for the current EF is satisfied.

An invalidated file shall no longer be available within the application for any function except for the SELECT and the REHABILITATE functions unless the file status of the EF indicates that READ and UPDATE may also be performed.

Input:

- none.

Output:

- none.

### 8.15 REHABILITATE

This function rehabilitates the invalidated current EF. After a REHABILITATE function the respective flag in the file status shall be changed accordingly. This function shall only be performed if the REHABILITATE access condition for the current EF is satisfied.

If BDN is enabled (see subclause 11.5.1) then the REHABILITATE function shall not rehabilitate the invalidated  $EF_{MSI}$  and  $EF_{LOCI}$  until the PROFILE DOWNLOAD procedure is performed indicating that the ME supports the "Call control by SIM" facility (see GSM 11.14 [27]).

Input:

- none.

Output:

- none.

### 8.16 RUN GSM ALGORITHM

This function is used during the procedure for authenticating the SIM to a GSM network and to calculate a cipher key. The card runs the specified algorithms A3 and A8 using a 16 byte random number and the subscriber authentication key  $K_i$ , which is stored in the SIM. The function returns the calculated response SRES and the cipher key  $K_c$ .

The function shall not be executable unless  $DF_{GSM}$  has been selected as the Current Directory and a successful CHV1 verification procedure has been performed (see subclause 11.3.1).

Input:

- RAND.

Output:

- SRES, Kc.

The contents of Kc shall be presented to algorithm A5 by the ME in its full 64 bit format as delivered by the SIM.

### 8.17 SLEEP

This is an obsolete GSM function which was issued by Phase 1 MEs. The function shall not be used by an ME of Phase 2 or later.

### 8.18 TERMINAL PROFILE

This function is used by the ME to transmit to the SIM its capabilities concerning the SIM Application Toolkit functionality.

Input:

- terminal profile.

Output:

- none.

### 8.19 ENVELOPE

This function is used to transfer data to the SIM Application Toolkit applications in the SIM.

Input:

- data string.

Output:

- 1 - 16 bytes of acknowledgement data in the case where the ENVELOPE command is used during SIM Data Download Point-to-Point or none depending on the response of the SIM.

### 8.20 FETCH

This function is used to transfer an Application Toolkit command from the SIM to the ME.

Input:

- none.

Output:

- data string containing an SIM Application Toolkit command for the ME.

### 8.21 TERMINAL RESPONSE

This function is used to transfer from the ME to the SIM the response to a previously fetched SIM Application Toolkit command.

Input:

- data string containing the response.

Output:

- none.

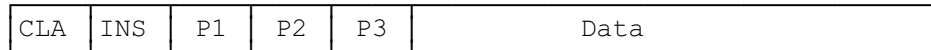
## 9 Description of the commands

This clause states the general principles for mapping the functions described in clause 8 onto Application Protocol Data Units which are used by the transmission protocol.

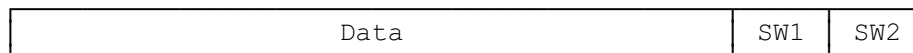
### 9.1 Mapping principles

An APDU can be a command APDU or a response APDU.

A command APDU has the following general format:



The response APDU has the following general format:



An APDU is transported by the T=0 transmission protocol without any change. Other protocols might embed an APDU into their own transport structure (ISO/IEC 7816-3 [26]).

The bytes have the following meaning:

- CLA is the class of instruction (ISO/IEC 7816-3 [26]), 'A0' is used in the GSM application;
- INS is the instruction code (ISO/IEC 7816-3 [26]) as defined in this subclause for each command;
- P1, P2, P3 are parameters for the instruction. They are specified in table 9. 'FF' is a valid value for P1, P2 and P3. P3 gives the length of the data element. P3='00' introduces a 256 byte data transfer from the SIM in an outgoing data transfer command (response direction). In an ingoing data transfer command (command direction), P3='00' introduces no transfer of data.
- SW1 and SW2 are the status words indicating the successful or unsuccessful outcome of the command.

For some of the functions described in clause 8 it is necessary for T=0 to use a supplementary transport service command (GET RESPONSE) to obtain the output data. For example, the SELECT function needs the following two commands:

- the first command (SELECT) has both parameters and data serving as input for the function;
- the second command (GET RESPONSE) has a parameter indicating the length of the data to be returned.

If the length of the response data is not known beforehand, then its correct length may be obtained by applying the first command and interpreting the status words. SW1 shall be '9F' and SW2 shall give the total length of the data. Other status words may be present in case of an error. The various cases are:

#### Case 1: No input / No output

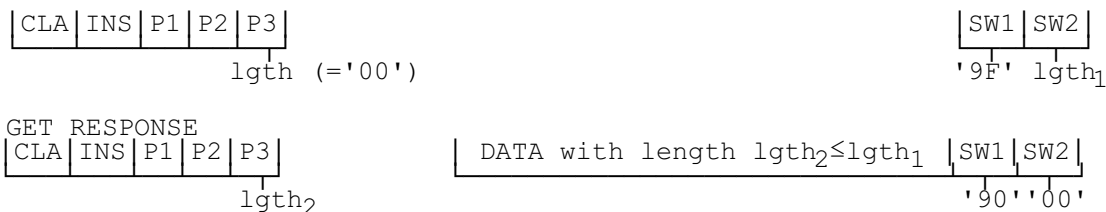


#### Case 2: No input / Output of known length

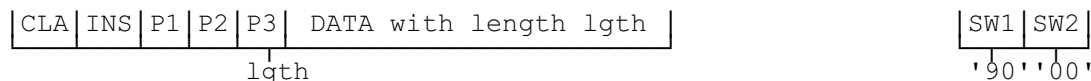


NOTE 1: lgth='00' causes a data transfer of 256 bytes.

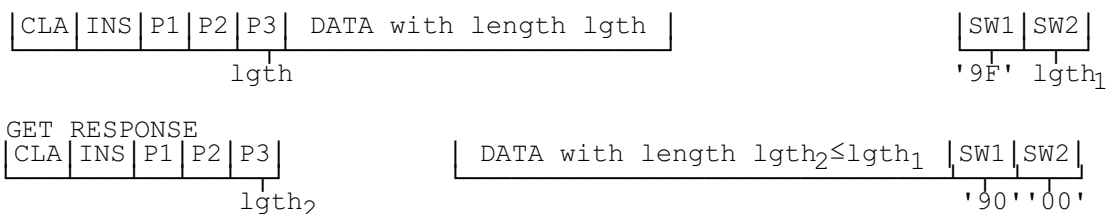
**Case 3: No Input / Output of unknown length**



**Case 4: Input / No output**



**Case 5: Input / Output of known or unknown length**



For cases 3 and 5, when SW1/SW2 indicates there is response data (i.e. SW1/SW2 = '9FXX'), then, if the ME requires to get this response data, it shall send a GET RESPONSE command as described in the relevant case above.

If the GSM application is one of several applications in a multi-application card, other commands with CLA not equal to 'A0' may be sent by the terminal. This shall not influence the state of the GSM application.

The following diagrams show how the five cases of transmission protocol identified in the above diagrams can all be used to send pro-active SIM commands. For further information on the diagrams below see GSM 11.14 [27].

**Case 1: No input / "OK" response with no output, plus additional command from SIM**



[Possible "normal GSM operation" command/response pairs]



NOTE 2: lgth<sub>1</sub>='00' causes a data transfer of 256 bytes.

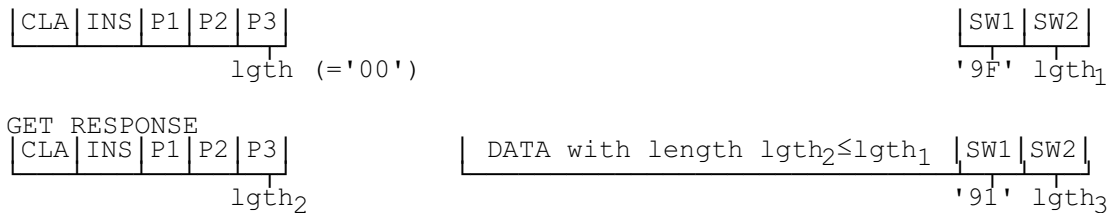
**Case 2: No input / "OK" response with data of known length, plus additional command from SIM**



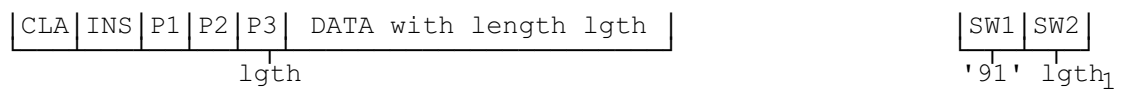
[Possible "normal GSM operation" command/response pairs]



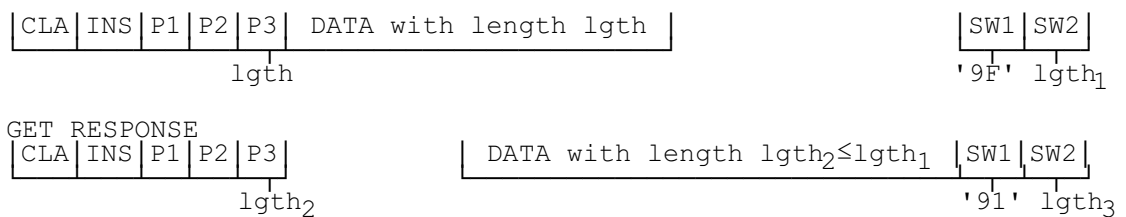
NOTE 3: lgth='00' causes a data transfer of 256 bytes. The same applies to lgth<sub>1</sub>.

**Case 3: No Input / "OK" response with data of unknown length, plus additional command from SIM**

[Possible "normal GSM operation" command/response pairs]

**Case 4: Input / "OK" response with no output data, plus additional command from SIM**

[Possible "normal GSM operation" command/response pairs]

**Case 5: Input / "OK" response with data of known or unknown length, plus additional command from SIM**

[Possible "normal GSM operation" command/response pairs]

**9.2 Coding of the commands**

Table 9 below gives the coding of the commands. The direction of the data is indicated by (S) and (R), where (S) stands for data sent by the ME while (R) stands for data received by the ME. Offset is coded on 2 bytes where P1 gives the high order byte and P2 the low order byte. '00 00' means no offset and reading/updating starts with the first byte while an offset of '00 01' means that reading/updating starts with the second byte.

In addition to the instruction codes specified in table 9 the following codes are reserved:

GSM operational phase:

'1X' with X even, from X=6 to X=E.

Administrative management phase:

'2A', 'D0', 'D2', 'DE', 'C4', 'C6', 'C8', 'CA', 'CC', 'B4', 'B6', 'B8', 'BA' and 'BC'.

Table 9: Coding of the commands

COMMAND	INS	P1	P2	P3	S/R
SELECT	'A4'	'00'	'00'	'02'	S/R
STATUS	'F2'	'00'	'00'	lgth	R
READ BINARY	'B0'	offset high	offset low	lgth	R
UPDATE BINARY	'D6'	offset high	offset low	lgth	S
READ RECORD	'B2'	rec No.	mode	lgth	R
UPDATE RECORD	'DC'	rec No.	mode	lgth	S
SEEK	'A2'	'00'	type/mode	lgth	S/R
INCREASE	'32'	'00'	'00'	'03'	S/R
VERIFY CHV	'20'	'00'	CHV No.	'08'	S
CHANGE CHV	'24'	'00'	CHV No.	'10'	S
DISABLE CHV	'26'	'00'	'01'	'08'	S
ENABLE CHV	'28'	'00'	'01'	'08'	S
UNBLOCK CHV	'2C'	'00'	see note	'10'	S
INVALIDATE	'04'	'00'	'00'	'00'	-
REHABILITATE	'44'	'00'	'00'	'00'	-
RUN GSM ALGORITHM	'88'	'00'	'00'	'10'	S/R
SLEEP	'FA'	'00'	'00'	'00'	-
GET RESPONSE	'C0'	'00'	'00'	lgth	R
TERMINAL PROFILE	'10'	'00'	'00'	lgth	S
ENVELOPE	'C2'	'00'	'00'	lgth	S/R
FETCH	'12'	'00'	'00'	lgth	R
TERMINAL RESPONSE	'14'	'00'	'00'	lgth	S
NOTE:	If the UNBLOCK CHV command applies to CHV1 then P2 is coded '00'; if it applies to CHV2 then P2 is coded '02'.				

Definitions and codings used in the response parameters/data of the commands are given in subclause 9.3.

### 9.2.1 SELECT

COMMAND	CLASS	INS	P1	P2	P3
SELECT	'A0'	'A4'	'00'	'00'	'02'

Command parameters/data:

Byte(s)	Description	Length
1 - 2	File ID	2



Response parameters/data in case of an MF or DF:

Byte(s)	Description	Length
1 - 2	RFU	2
3 - 4	Total amount of memory of the selected directory which is not allocated to any of the DFs or EFs under the selected directory	2
5 - 6	File ID	2
7	Type of file (see subclause 9.3)	1
8 - 12	RFU	5
13	Length of the following data (byte 14 to the end)	1
14 - 34	GSM specific data	21

GSM specific data:

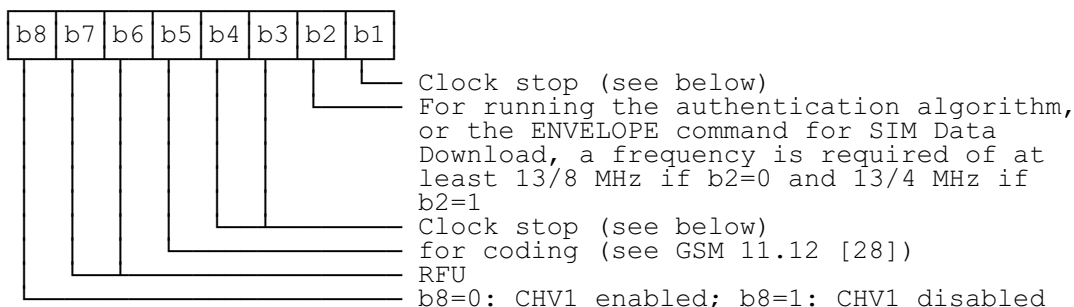
Byte(s)	Description	Length
14	File characteristics (see detail 1)	1
15	Number of DFs which are a direct child of the current directory	1
16	Number of EFs which are a direct child of the current directory	1
17	Number of CHVs, UNBLOCK CHVs and administrative codes	1
18	RFU	1
19	CHV1 status (see detail 2)	1
20	UNBLOCK CHV1 status (see detail 2)	1
21	CHV2 status (see detail 2)	1
22	UNBLOCK CHV2 status (see detail 2)	1
23	RFU	1
24 - 34	Reserved for the administrative management (optional)	$0 \leq \text{lgth} \leq 11$

NOTE 1: Byte 35 and following are RFU.

NOTE 2: The STATUS information of the MF, DF<sub>GSM</sub> and DF<sub>TELECOM</sub> provide some identical application specific data, e.g. CHV status. On a multi-application card the MF should not contain any application specific data. Such data is obtained by terminals from the specific application directories. ME manufacturers should take this into account and therefore not use application specific data which may exist in the MF of a mono-application SIM.

Similarly, the Verify CHV command should not be executed in the MF but in the relevant application directory (e.g. DF<sub>GSM</sub>).

Detail 1: File characteristics



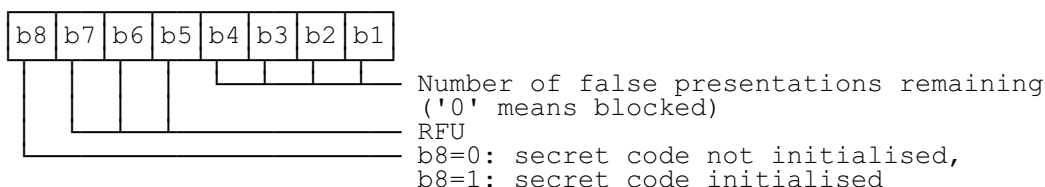
The coding of the conditions for stopping the clock is as follows:

Bit b1	Bit b3	Bit b4	
1	0	0	clock stop allowed, no preferred level
1	1	0	clock stop allowed, high level preferred
1	0	1	clock stop allowed, low level preferred
0	0	0	clock stop not allowed
0	1	0	clock stop not allowed, unless at high level
0	0	1	clock stop not allowed, unless at low level

If bit b1 (column 1) is coded 1, stopping the clock is allowed at high or low level. In this case columns 2 (bit b3) and 3 (bit b4) give information about the preferred level (high or low, resp.) at which the clock may be stopped.

If bit b1 is coded 0, the clock may be stopped only if the mandatory condition in column 2 (b3=1, i.e. stop at high level) or column 3 (b4=1, i.e. stop at low level) is fulfilled. If all 3 bits are coded 0, then the clock shall not be stopped.

Detail 2: Status byte of a secret code



Response parameters/data in case of an EF:

Byte(s)	Description	Length
1 - 2	RFU	2
3 - 4	File size (for transparent EF: the length of the body part of the EF) (for linear fixed or cyclic EF: record length multiplied by the number of records of the EF)	2
5 - 6	File ID	2
7	Type of file (see subclause 9.3)	1
8	see detail 3	1
9 - 11	Access conditions (see subclause 9.3)	3
12	File status (see subclause 9.3)	1
13	Length of the following data (byte 14 to the end)	1
14	Structure of EF (see subclause 9.3)	1
15	Length of a record (see detail 4)	1
NOTE:	Byte 16 and following are RFU.	

## Detail 3: Byte 8

For transparent and linear fixed EFs this byte is RFU. For a cyclic EF all bits except bit 7 are RFU; b7=1 indicates that the INCREASE command is allowed on the selected cyclic file.

## Detail 4: Byte 15

For cyclic and linear fixed EFs this byte denotes the length of a record. For a transparent EF, this byte shall be coded '00', if this byte is sent by the SIM.

## 9.2.2 STATUS

COMMAND	CLASS	INS	P1	P2	P3
STATUS	'A0'	'F2'	'00'	'00'	lgth

The response parameters/data are identical to the response parameters/data of the SELECT command in case of an MF or DF.

## 9.2.3 READ BINARY

COMMAND	CLASS	INS	P1	P2	P3
READ BINARY	'A0'	'B0'	offset high	offset low	lgth

Response parameters/data:

Byte(s)	Description	Length
1 - lgth	Data to be read	lgth

## 9.2.4 UPDATE BINARY

COMMAND	CLASS	INS	P1	P2	P3
UPDATE BINARY	'A0'	'D6'	offset high	offset low	lgth

Command parameters/data:

Byte(s)	Description	Length
1 - lgth	Data	lgth

## 9.2.5 READ RECORD

COMMAND	CLASS	INS	P1	P2	P3
READ RECORD	'A0'	'B2'	Rec.No.	Mode	lgth

Parameter P2 specifies the mode:

- '02' = next record;
- '03' = previous record;
- '04' = absolute mode/current mode, the record number is given in P1 with P1='00' denoting the current record.

For the modes "next" and "previous" P1 has no significance and shall be set to '00' by the ME. To ensure phase compatibility between Phase 2 SIMs and Phase 1 MEs, the SIM shall not interpret the value given by the ME.

Response parameters/data:

Byte(s)	Description	Length
1 - lgth	The data of the record	lgth

### 9.2.6 UPDATE RECORD

COMMAND	CLASS	INS	P1	P2	P3
UPDATE RECORD	'A0'	'DC'	Rec.No.	Mode	lgth

Parameter P2 specifies the mode:

- '02' = next record;
- '03' = previous record;
- '04' = absolute mode/current mode; the record number is given in P1 with P1='00' denoting the current record.

For the modes "next" and "previous" P1 has no significance and shall be set to '00' by the ME. To ensure phase compatibility between Phase 2 SIMs and Phase 1 MEs, the SIM shall not interpret the value given by the ME.

Command parameters/data:

Byte(s)	Description	Length
1 - lgth	Data	lgth

### 9.2.7 SEEK

COMMAND	CLASS	INS	P1	P2	P3
SEEK	'A0'	'A2'	'00'	Type/Mode	lgth

Parameter P2 specifies type and mode:

- 'x0' = from the beginning forward;
  - 'x1' = from the end backward;
  - 'x2' = from the next location forward;
  - 'x3' = from the previous location backward;
- with x='0' specifies type 1 and x='1' specifies type 2 of the SEEK command.

Command parameters/data:

Byte(s)	Description	Length
1 - lgth	Pattern	lgth

There are no response parameters/data for a type 1 SEEK. A type 2 SEEK returns the following response parameters/data:

Byte(s)	Description	Length
1	Record number	1

### 9.2.8 INCREASE

COMMAND	CLASS	INS	P1	P2	P3
INCREASE	'A0'	'32'	'00'	'00'	'03'

Command parameters/data:

Byte(s)	Description	Length
1 - 3	Value to be added	3

Response parameters/data:

Byte(s)	Description	Length
1 - X	Value of the increased record	X
X+1 - X+3	Value which has been added	3
NOTE: X denotes the length of the record.		

### 9.2.9 VERIFY CHV

COMMAND	CLASS	INS	P1	P2	P3
VERIFY CHV	'A0'	'20'	'00'	CHV No.	'08'

Parameter P2 specifies the CHV:

- '01' = CHV1;
- '02' = CHV2.

Command parameters/data:

Byte(s)	Description	Length
1 - 8	CHV value	8

### 9.2.10 CHANGE CHV

COMMAND	CLASS	INS	P1	P2	P3
CHANGE CHV	'A0'	'24'	'00'	CHV No.	'10'

Parameter P2 specifies the CHV:

- '01' = CHV1;
- '02' = CHV2.

Command parameters/data:

Byte(s)	Description	Length
1 - 8	Old CHV value	8
9 - 16	New CHV value	8

### 9.2.11 DISABLE CHV

COMMAND	CLASS	INS	P1	P2	P3
DISABLE CHV	'A0'	'26'	'00'	'01'	'08'

Command parameters/data:

Byte(s)	Description	Length
1 - 8	CHV1 value	8

## 9.2.12 ENABLE CHV

COMMAND	CLASS	INS	P1	P2	P3
ENABLE CHV	'A0'	'28'	'00'	'01'	'08'

Command parameters/data:

Byte(s)	Description	Length
1 - 8	CHV1 value	8

## 9.2.13 UNBLOCK CHV

COMMAND	CLASS	INS	P1	P2	P3
UNBLOCK CHV	'A0'	'2C'	'00'	CHV No.	'10'

Parameter P2 specifies the CHV:

- 00 = CHV1;
- 02 = CHV2.

NOTE: The coding '00' for CHV1 differs from the coding of CHV1 used for other commands.

Command parameters/data:

Byte(s)	Description	Length
1 - 8	UNBLOCK CHV value	8
9 - 16	New CHV value	8

## 9.2.14 INVALIDATE

COMMAND	CLASS	INS	P1	P2	P3
INVALIDATE	'A0'	'04'	'00'	'00'	'00'

## 9.2.15 REHABILITATE

COMMAND	CLASS	INS	P1	P2	P3
REHABILITATE	'A0'	'44'	'00'	'00'	'00'

## 9.2.16 RUN GSM ALGORITHM

COMMAND	CLASS	INS	P1	P2	P3
RUN GSM ALGORITHM	'A0'	'88'	'00'	'00'	'10'

Command parameters/data:

Byte(s)	Description	Length
1 - 16	RAND	16

Response parameters/data:

Byte(s)	Description	Length
1 - 4	SRES	4
5 - 12	Cipher Key Kc	8

The most significant bit of SRES is coded on bit 8 of byte 1. The most significant bit of Kc is coded on bit 8 of byte 5.

### 9.2.17 SLEEP

COMMAND	CLASS	INS	P1	P2	P3
SLEEP	'A0'	'FA'	'00'	'00'	'00'
NOTE: This command is used by Phase 1 MEs only.					

### 9.2.18 GET RESPONSE

COMMAND	CLASS	INS	P1	P2	P3
GET RESPONSE	'A0'	'C0'	'00'	'00'	lgth

The response data depends on the preceding command. Response data is available after the commands RUN GSM ALGORITHM, SEEK (type 2), SELECT, and INCREASE. If the command GET RESPONSE is executed, it is required that it is executed immediately after the command it is related to (no other command shall come between the command/response pair and the command GET RESPONSE). If the sequence is not respected, the SIM shall send the status information "technical problem with no diagnostic given" as a reaction to the GET RESPONSE.

Since the MF is implicitly selected after activation of the SIM, GET RESPONSE is also allowed as the first command after activation.

The response data itself is defined in the subclause for the corresponding command.

### 9.2.19 TERMINAL PROFILE

COMMAND	CLASS	INS	P1	P2	P3
TERMINAL PROFILE	'A0'	'10'	'00'	'00'	lgth

Command parameters/data:

length *lgth*. The structure of the command parameters is defined in GSM 11.14 [27].

Response parameters/data:

none available

### 9.2.20 ENVELOPE

COMMAND	CLASS	INS	P1	P2	P3
ENVELOPE	'A0'	'C2'	'00'	'00'	lgth

Command parameters/data:

length *lgth*. The structure of the command parameters is defined in GSM 11.14 [27].

Response parameters/data:

Response parameters are only returned when the Envelope command is used for SIM data download point-to-point. In that case, if the SIM responds with '90 00' then no response parameter will be available, otherwise the SIM responds with '9F XX'.

Byte(s)	Description	Length
1-X (X≤16)	SIM Acknowledgement	X

9.2.21 FETCH

COMMAND	CLASS	INS	P1	P2	P3
FETCH	'A0'	'12'	'00'	'00'	lgth

Command parameters/data:

none.

Response parameters/data:

length *lgth*. The structure of the data is defined in GSM 11.14 [27].

9.2.22 TERMINAL RESPONSE

COMMAND	CLASS	INS	P1	P2	P3
TERMINAL RESPONSE	'A0'	'14'	'00'	'00'	lgth

Command parameters/data:

length *lgth*. The structure of the command parameters is defined in GSM 11.14 [27].

Response parameters/data:

none available.

9.3 Definitions and coding

The following definitions and coding are used in the response parameters/data of the commands.

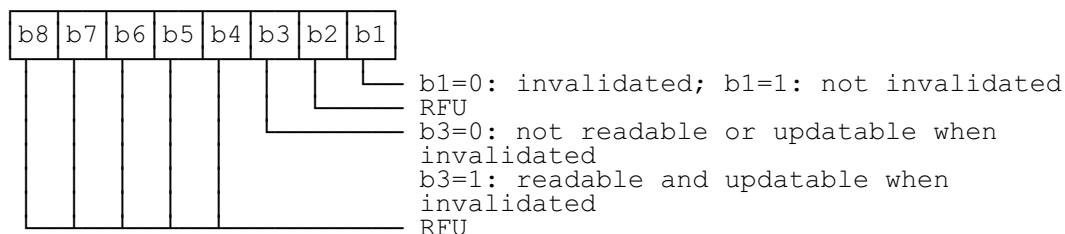
Coding

Each byte is represented by bits b8 to b1, where b8 is the most significant bit (MSB) and b1 is the least significant bit (LSB). In each representation the leftmost bit is the MSB.

RFU

In a GSM specific card all bytes which are RFU shall be set to '00' and RFU bits to 0. Where the GSM application exists on a multiapplication card or is built on a generic telecommunications card (e.g. TE9) then other values may apply. The values will be defined in the appropriate specifications for such cards. These bytes and bits shall not be interpreted by an ME in a GSM session.

File status



Bit b3 may be set to 1 in special circumstances when it is required that the EF can be read and updated even if the EF is invalidated, e.g. reading and updating the EF<sub>ADN</sub> when the FDN feature is enabled, or reading and updating the EF<sub>BDN</sub> when the BDN feature is disabled.



**Structure of file**

- '00' transparent;
- '01' linear fixed;
- '03' cyclic.

**Type of File**

- '00' RFU;
- '01' MF;
- '02' DF;
- '04' EF.

**Coding of CHVs and UNBLOCK CHVs**

A CHV is coded on 8 bytes. Only (decimal) digits (0-9) shall be used, coded in CCITT T.50 [20] with bit 8 set to zero. The minimum number of digits is 4. If the number of digits presented by the user is less than 8 then the ME shall pad the presented CHV with 'FF' before sending it to the SIM.

The coding of the UNBLOCK CHVs is identical to the coding of the CHVs. However, the number of (decimal) digits is always 8.

**Coding of Access Conditions**

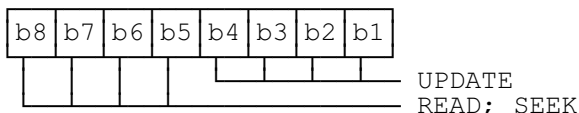
The access conditions for the commands are coded on bytes 9, 10 and 11 of the response data of the SELECT command. Each condition is coded on 4 bits as shown in table 10.

**Table 10: Access conditions**

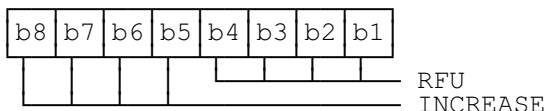
ALW	'0'*
CHV1	'1'*
CHV2	'2'*
RFU	'3'
ADM	'4'
.....	..
ADM	'E'
NEV	'F'*

Entries marked "\*" in the table above, are also available for use as administrative codes in addition to the ADM access levels '4' to 'E' (refer to subclause 7.3) if required by the appropriate administrative authority. If any of these access conditions are used, the code returned in the Access Condition bytes in the response data shall be the code applicable to that particular level.

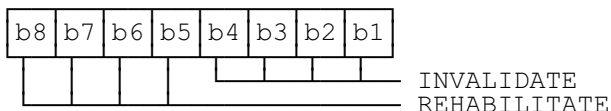
Byte 9:



Byte 10:



Byte 11:



## 9.4 Status conditions returned by the card

This subclause specifies the coding of the status words SW1 and SW2.

### 9.4.1 Responses to commands which are correctly executed

SW1	SW2	Description
'90'	'00'	- normal ending of the command
'91'	'XX'	- normal ending of the command, with extra information from the proactive SIM containing a command for the ME. Length 'XX' of the response data
'9F'	'XX'	- length 'XX' of the response data

### 9.4.2 Responses to commands which are postponed

SW1	SW2	Error description
'93'	'00'	- SIM Application Toolkit is busy. Command cannot be executed at present, further normal commands are allowed

### 9.4.3 Memory management

SW1	SW2	Error description
'92'	'0X'	- command successful but after using an internal update retry routine 'X' times
'92'	'40'	- memory problem

### 9.4.4 Referencing management

SW1	SW2	Error description
'94'	'00'	- no EF selected
'94'	'02'	- out of range (invalid address)
'94'	'04'	- file ID not found - pattern not found
'94'	'08'	- file is inconsistent with the command

### 9.4.5 Security management

SW1	SW2	Error description
'98'	'02'	- no CHV initialized
'98'	'04'	- access condition not fulfilled - unsuccessful CHV verification, at least one attempt left - unsuccessful UNBLOCK CHV verification, at least one attempt left - authentication failed (see note)
'98'	'08'	- in contradiction with CHV status
'98'	'10'	- in contradiction with invalidation status
'98'	'40'	- unsuccessful CHV verification, no attempt left - unsuccessful UNBLOCK CHV verification, no attempt left - CHV blocked - UNBLOCK CHV blocked
'98'	'50'	- increase cannot be performed, Max value reached
NOTE:		A Phase 1 SIM may send this error code after the third consecutive unsuccessful CHV verification attempt or the tenth consecutive unsuccessful unblocking attempt.

#### 9.4.6 Application independent errors

SW1	SW2	Error description
'67'	'XX'	- incorrect parameter P3 (see note)
'6B'	'XX#'	- incorrect parameter P1 or P2 (see ##)
'6D'	'XX#'	- unknown instruction code given in the command
'6E'	'XX#'	- wrong instruction class given in the command
'6F'	'XX#'	- technical problem with no diagnostic given

# These values of 'XX' are specified by ISO/IEC; at present the default value 'XX'='00' is the only one defined.

## When the error in P1 or P2 is caused by the addressed record being out of range, then the return code '94 02' shall be used.

NOTE: 'XX' gives the correct length or states that no additional information is given ('XX' = '00').

#### 9.4.7 Commands versus possible status responses

The following table shows for each command the possible status conditions returned (marked by an asterisk \*).

Table 11: Commands and status words

Commands	OK			B u s y	M e m S t a	Refer. Status				Security Status					Applic. Independ. Errors						
	9 0	9 1	9 F			9 3	9 2	9 2	9 4	9 4	9 4	9 4	9 8	9 8	9 8	9 8	9 8	9 8	6 7	6 B	6 D
	0	X	X	0	0	4	0	0	0	0	0	0	0	1	4	5	X	X	X	X	X
	0	X	X	0	X	0	0	2	4	8	2	4	8	0	0	0	X	X	X	X	X
Select Status	*	*	*			*			*								*	*		*	*
Update Binary	*	*			*	*	*		*		*	*	*				*	*		*	*
Update Record	*	*			*	*	*	*	*		*	*	*				*	*		*	*
Read Binary	*	*			*	*	*	*	*		*	*	*				*	*		*	*
Read Record	*	*			*	*	*	*	*		*	*	*				*	*		*	*
Seek	*		*		*	*	*	*	*		*	*	*				*	*		*	*
Increase			*		*	*	*	*	*		*	*	*		*		*	*		*	*
Verify CHV	*	*			*	*	*	*	*		*	*	*		*		*	*		*	*
Change CHV	*	*			*	*	*	*	*		*	*	*		*		*	*		*	*
Disable CHV	*	*			*	*	*	*	*		*	*	*		*		*	*		*	*
Enable CHV	*	*			*	*	*	*	*		*	*	*		*		*	*		*	*
Unblock CHV	*	*			*	*	*	*	*		*	*	*		*		*	*		*	*
Invalidate	*	*			*	*	*	*	*		*	*	*		*		*	*		*	*
Rehabilitate	*	*			*	*	*	*	*		*	*	*		*		*	*		*	*
Run GSM Algorithm			*		*	*	*	*	*		*	*	*		*		*	*		*	*
Sleep	*																*	*		*	*
Get Response	*	*			*	*	*	*	*		*	*	*		*		*	*		*	*
Terminal Profile	*	*			*	*	*	*	*		*	*	*		*		*	*		*	*
Envelope	*	*	*	*	*	*	*	*	*		*	*	*		*		*	*		*	*
Fetch	*	*			*	*	*	*	*		*	*	*		*		*	*		*	*
Terminal Response	*	*			*	*	*	*	*		*	*	*		*		*	*		*	*

The responses '91 XX' and '93 00' can only be given by a SIM supporting SIM Application Toolkit, to an ME also supporting SIM Application Toolkit.

For the SEEK command the response '91 XX' can be given directly after a Type 1 SEEK command. Following the Type 2 SEEK command the SIM can give the response '91 XX' only after the GET RESPONSE command.

## 10 Contents of the Elementary Files (EF)

This clause specifies the EFs for the GSM session defining access conditions, data items and coding. A data item is a part of an EF which represents a complete logical entity, e.g. the alpha tag in a EF<sub>ADN</sub> record.

EFs or data items having an unassigned value, or, which during the GSM session, are cleared by the ME, shall have their bytes set to 'FF'. After the administrative phase all data items shall have a defined value or have their bytes set to 'FF'. If a data item is 'deleted' during a GSM session by the allocation of a value specified in another GSM TS, then this value shall be used, and the data item is not unassigned; e.g. for a deleted LAI in EF<sub>LOCI</sub> the last byte takes the value 'FE' (GSM 04.08 [15] refers).

EFs are mandatory (M) or optional (O). The file size of an optional EF may be zero. All implemented EFs with a file size greater than zero shall contain all mandatory data items. Optional data items may either be filled with 'F', or, if located at the end of an EF, need not exist.

When the coding is according to CCITT Recommendation T.50 [20], bit 8 of every byte shall be set to 0.

For an overview containing all files see figure 8.

### 10.1 Contents of the EFs at the MF level

There is only one EF at the MF level.

#### 10.1.1 EF<sub>ICCID</sub> (ICC Identification)

This EF provides a unique identification number for the SIM.

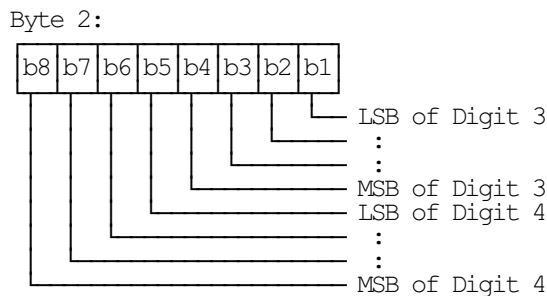
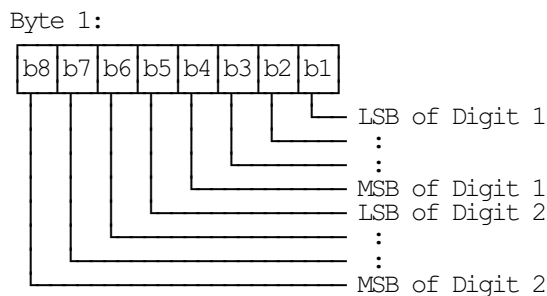
Identifier: '2FE2'		Structure: transparent		Mandatory	
File size: 10 bytes			Update activity: low		
Access Conditions:					
READ		ALWAYS			
UPDATE		NEVER			
INVALIDATE		ADM			
REHABILITATE		ADM			
Bytes	Description	M/O	Length		
1 - 10	Identification number	M	10 bytes		

- Identification number

Contents: according to CCITT Recommendation E.118 [18]. However, network operators who are already issuing Phase 1 SIM cards with an identification number length of 20 digits may retain this length.

Purpose: card identification number.

Coding: BCD, left justified and padded with 'F'; after padding the digits within a byte are swapped (see below). However, network operators who are already issuing Phase 1 SIM cards where the digits within a byte are not swapped may retain this configuration.



etc.

**10.2 DFs at the GSM application level**

For compatibility with other systems based on the GSM switching platform, DFs may be present as child directories of DF<sub>GSM</sub>. The following have been defined.

DF <sub>IRIDIUM</sub>	'5F30'
DF <sub>GLOBALSTAR</sub>	'5F31'
DF <sub>ICO</sub>	'5F32'
DF <sub>ACeS</sub>	'5F33'
DF <sub>PCS1900</sub>	'5F40'

**10.3 Contents of files at the GSM application level**

The EFs in the Dedicated File DF<sub>GSM</sub> contain network related information.

**10.3.1 EF<sub>LP</sub> (Language preference)**

This EF contains the codes for one or more languages. This information, determined by the user/operator, defines the preferred languages of the user in order of priority. This information may be used by the ME for MMI purposes and for short message handling (e.g. screening of preferred languages in SMS-CB).

When the CB Message Identifier capability is both allocated and activated the ME selects only those CB messages the language of which corresponds to one of the languages given in this EF. The CB message language is recognized according to GSM 03.41 by its data coding scheme.

Identifier: '6F05'		Structure: transparent		Mandatory
File size: 1-n bytes		Update activity: low		
Access Conditions:				
READ		ALW		
UPDATE		CHV1		
INVALIDATE		ADM		
REHABILITATE		ADM		
Bytes	Description	M/O	Length	
1	1st language code (highest prior.)	M	1 byte	
2	2nd language code	O	1 byte	
n	nth language code (lowest prior.)	O	1 byte	

Coding: according to GSM 03.41 [14].

Using the command GET RESPONSE, the ME can determine the size of the EF.

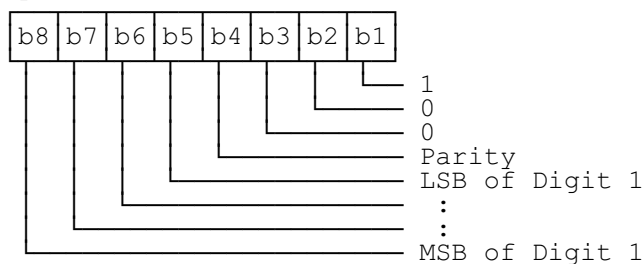
### 10.3.2 EF<sub>IMSI</sub> (IMSI)

This EF contains the International Mobile Subscriber Identity (IMSI).

Identifier: '6F07'		Structure: transparent		Mandatory
File size: 9 bytes		Update activity: low		
Access Conditions:				
READ		CHV1		
UPDATE		ADM		
INVALIDATE		ADM		
REHABILITATE		CHV1		
Bytes	Description	M/O	Length	
1	length of IMSI	M	1 byte	
2 - 9	IMSI	M	8 bytes	

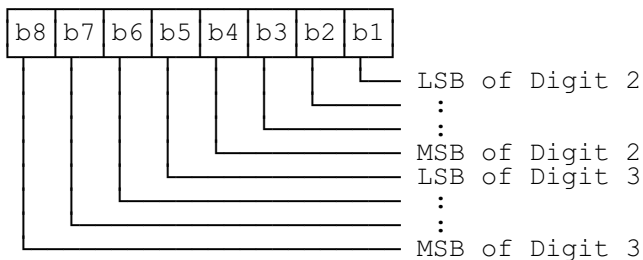
- length of IMSI  
 Contents: The length indicator refers to the number of significant bytes, not including this length byte, required for the IMSI.  
 Coding: according to GSM 04.08 [15].
- IMSI  
 Contents: International Mobile Subscriber Identity.  
 Coding: This information element is of variable length. If a network operator chooses an IMSI of less than 15 digits, unused nibbles shall be set to 'F'.

Byte 2:



For the parity bit, see GSM 04.08 [15].

Byte 3:



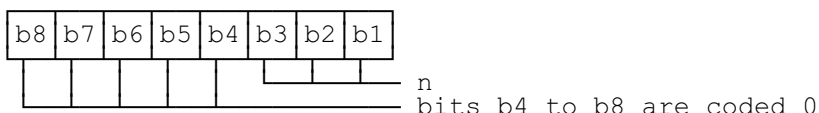
etc.

**10.3.3 EF<sub>Kc</sub> (CIPHERING KEY Kc)**

This EF contains the ciphering key Kc and the ciphering key sequence number n.

Identifier: '6F20'		Structure: transparent		Mandatory
File size: 9 bytes			Update activity: high	
Access Conditions:				
READ		CHV1		
UPDATE		CHV1		
INVALIDATE		ADM		
REHABILITATE		ADM		
Bytes	Description	M/O	Length	
1 - 8	Ciphering key Kc	M	8 bytes	
9	Ciphering key sequence number n	M	1 byte	

- Ciphering key Kc  
Coding: The least significant bit of Kc is the least significant bit of the eighth byte. The most significant bit of Kc is the most significant bit of the first byte.
- Ciphering key sequence number n  
Coding:



NOTE: GSM 04.08 [15] defines the value of n=111 as "key not available". Therefore the value '07' and not 'FF' should be present following the administrative phase.

**10.3.4 EF<sub>PLMNsel</sub> (PLMN selector)**

This EF contains the coding for n PLMNs, where n is at least eight. This information determined by the user/operator defines the preferred PLMNs of the user in priority order.

Identifier: '6F30'		Structure: transparent		Optional	
File size: 3n (n ≥ 8) bytes			Update activity: low		
Access Conditions:					
READ		CHV1			
UPDATE		CHV1			
INVALIDATE		ADM			
REHABILITATE		ADM			
Bytes	Description	M/O	Length		
1 - 3	1st PLMN (highest priority)	M	3 bytes		
22 - 24	8th PLMN	M	3 bytes		
25 - 27	9th PLMN	O	3 bytes		
(3n-2)-3n	nth PLMN (lowest priority)	O	3 bytes		

- PLMN

Contents: Mobile Country Code (MCC) followed by the Mobile Network Code (MNC).

Coding: according to GSM 04.08 [15].

If storage for fewer than the maximum possible number n is required, the excess bytes shall be set to 'FF'.

For instance, using 246 for the MCC and 81 for the MNC and if this is the first and only PLMN, the contents reads as follows:

Bytes 1-3: '42' 'F6' '18'

Bytes 4-6: 'FF' 'FF' 'FF'

etc.

### 10.3.5 EF<sub>HPLMN</sub> (HPLMN search period)

This EF contains the interval of time between searches for the HPLMN (see GSM 02.11 [5]).

Identifier: '6F31'		Structure: transparent		Mandatory	
File size: 1 byte			Update activity: low		
Access Conditions:					
READ		CHV1			
UPDATE		ADM			
INVALIDATE		ADM			
REHABILITATE		ADM			
Bytes	Description	M/O	Length		
1	Time interval	M	1 byte		

- Time interval

Contents: The time interval between two searches.

Coding: The time interval is coded in integer multiples of n minutes. The range is from n minutes to a maximum value. The value '00' indicates that no attempts shall be made to search for the HPLMN. The encoding is:

- '00': No HPLMN search attempts
- '01': n minutes
- '02': 2n minutes
- :
- 'YZ': (16Y+Z)n minutes (maximum value)

All other values shall be interpreted by the ME as a default period.

For specification of the integer timer interval n, the maximum value and the default period refer to GSM 02.11 [5].



**10.3.6 EF<sub>ACMmax</sub> (ACM maximum value)**

This EF contains the maximum value of the accumulated call meter. This EF shall always be allocated if EF<sub>ACM</sub> is allocated.

Identifier: '6F37'		Structure: transparent		Optional
File size: 3 bytes			Update activity: low	
Access Conditions:				
READ		CHV1		
UPDATE		CHV1/CHV2 (fixed during administrative management)		
INVALIDATE		ADM		
REHABILITATE		ADM		
Bytes	Description		M/O	Length
1 - 3	Maximum value		M	3 bytes

- Maximum value  
Contents: maximum value of the Accumulated Call Meter (ACM)  
Coding:

First byte:

b8	b7	b6	b5	b4	b3	b2	b1
↓ <sub>23</sub>	↓ <sub>22</sub>	↓ <sub>21</sub>	↓ <sub>20</sub>	↓ <sub>19</sub>	↓ <sub>18</sub>	↓ <sub>17</sub>	↓ <sub>16</sub>

Second byte:

b8	b7	b6	b5	b4	b3	b2	b1
↓ <sub>15</sub>	↓ <sub>14</sub>	↓ <sub>13</sub>	↓ <sub>12</sub>	↓ <sub>11</sub>	↓ <sub>10</sub>	↓ <sub>9</sub>	↓ <sub>8</sub>

Third byte:

b8	b7	b6	b5	b4	b3	b2	b1
↓ <sub>7</sub>	↓ <sub>6</sub>	↓ <sub>5</sub>	↓ <sub>4</sub>	↓ <sub>3</sub>	↓ <sub>2</sub>	↓ <sub>1</sub>	↓ <sub>0</sub>

For instance, '00' '00' '30' represents  $2^5+2^4$ .

All ACM data is stored in the SIM and transmitted over the SIM/ME interface as binary.

ACMmax is not valid, as defined in GSM 02.24 [7], if it is coded '000000'.

10.3.7 EF<sub>SST</sub> (SIM service table)

This EF indicates which services are allocated, and whether, if allocated, the service is activated. If a service is not allocated or not activated in the SIM, the ME shall not select this service.

Identifier: '6F38'		Structure: transparent		Mandatory
File size: X bytes, $X \geq 2$		Update activity: low		
Access Conditions:				
READ		CHV1		
UPDATE		ADM		
INVALIDATE		ADM		
REHABILITATE		ADM		
Bytes	Description	M/O	Length	
1	Services n° 1 to n° 4	M	1 byte	
2	Services n° 5 to n° 8	M	1 byte	
3	Services n° 9 to n° 12	O	1 byte	
4	Services n° 13 to n° 16	O	1 byte	
5	Services n° 17 to n° 20	O	1 byte	
6	Services n° 21 to n° 24	O	1 byte	
7	Services n° 25 to n° 28	O	1 byte	
8	Services n° 29 to n° 32	O	1 byte	
etc.				
X	Services (4X-3) to (4X)	O	1 byte	

## - Services

## Contents:

Service n°1:	CHV1 disable function
Service n°2:	Abbreviated Dialling Numbers (ADN)
Service n°3:	Fixed Dialling Numbers (FDN)
Service n°4:	Short Message Storage (SMS)
Service n°5:	Advice of Charge (AoC)
Service n°6:	Capability Configuration Parameters (CCP)
Service n°7:	PLMN selector
Service n°8:	RFU
Service n°9:	MSISDN
Service n°10:	Extension1
Service n°11:	Extension2
Service n°12:	SMS Parameters
Service n°13:	Last Number Dialed (LND)
Service n°14:	Cell Broadcast Message Identifier
Service n°15:	Group Identifier Level 1
Service n°16:	Group Identifier Level 2
Service n°17:	Service Provider Name
Service n°18:	Service Dialling Numbers (SDN)
Service n°19:	Extension3
Service n°20:	RFU
Service n°21:	VCGS Group Identifier List (EF <sub>VGCS</sub> and EF <sub>VGCSS</sub> )
Service n°22:	VBS Group Identifier List (EF <sub>VBS</sub> and EF <sub>VBSS</sub> )
Service n°23:	enhanced Multi-Level Precedence and Pre-emption Service
Service n°24:	Automatic Answer for eMLPP
Service n°25:	Data download via SMS-CB
Service n°26:	Data download via SMS-PP
Service n°27:	Menu selection
Service n°28:	Call control
Service n°29:	Proactive SIM
Service n°30:	Cell Broadcast Message Identifier Ranges
Service n°31:	Barred Dialling Numbers (BDN)
Service n°32:	Extension4

Service n°33      De-personalization Control Keys  
 Service n°33      Co-operative Network List

For a phase 2 SIM, the EF shall contain at least two bytes which correspond to the Phase 1 services. Further bytes may be included, but if the EF includes an optional byte, then it is mandatory for the EF to also contain all bytes before that byte. Other services are possible in the future and will be coded on further bytes in the EF. The coding falls under the responsibility of ETSI.

NOTE 1: Service N°8 was used in Phase 1 for Called Party Subaddress. To prevent any risk of incompatibility Service N°8 should not be reallocated.

NOTE 2: As the BDN service relies on the Call Control feature, service n°31 (BDN) should only be allocated and activated if service n°28 (Call control) is allocated and activated.

Coding:

2 bits are used to code each service:

first bit = 1: service allocated

first bit = 0: service not allocated

where the first bit is b1, b3, b5 or b7;

second bit = 1: service activated

second bit = 0: service not activated

where the second bit is b2, b4, b6 or b8.

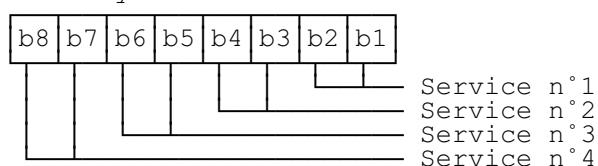
Service allocated means that the SIM has the capability to support the service. Service activated means that the service is available for the card holder (only valid if the service is allocated).

The following codings are possible:

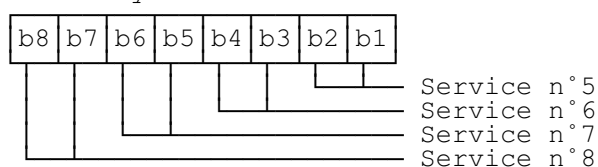
- first bit = 0: service not allocated, second bit has no meaning;
- first bit = 1 and second bit = 0: service allocated but not activated;
- first bit = 1 and second bit = 1: service allocated and activated.

The bits for services not yet defined shall be set to RFU. For coding of RFU see subclause 9.3.

First byte:

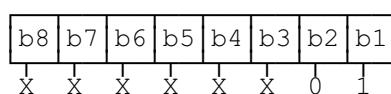


Second byte:



etc.

The following example of coding for the first byte means that service n°1 "CHV1-Disabling" is allocated but not activated:



If the SIM supports the FDN feature (FDN allocated and activated) a special mechanism shall exist in the SIM which invalidates both  $EF_{IMSI}$  and  $EF_{LOC1}$  once during each GSM session. This mechanism shall be invoked by the SIM automatically if FDN is enabled. This invalidation shall occur at least before the next command following selection of either EF. FDN is enabled when the ADN is invalidated or not activated.

If the SIM supports the BDN feature (BDN allocated and activated) a special mechanism shall exist in the SIM which invalidates both  $EF_{IMSI}$  and  $EF_{LOCI}$  once during each GSM session and which forbids the REHABILITATE command to rehabilitate both  $EF_{IMSI}$  and  $EF_{LOCI}$  until the PROFILE DOWNLOAD procedure is performed indicating that the ME supports the "Call control by SIM" facility. This mechanism shall be invoked by the SIM automatically if BDN is enabled. The invalidation of  $EF_{IMSI}$  and  $EF_{LOCI}$  shall occur at least before the next command following selection of either EF. BDN is enabled when the  $EF_{BDN}$  is not invalidated.

### 10.3.8 $EF_{ACM}$ (Accumulated call meter)

This EF contains the total number of units for both the current call and the preceding calls.

NOTE: The information may be used to provide an indication to the user for advice or as a basis for the calculation of the monetary cost of calls (see GSM 02.86 [9]).

Identifier: '6F39'		Structure: cyclic		Optional	
Record length: 3 bytes			Update activity: high		
Access Conditions:					
READ		CHV1			
UPDATE		CHV1/CHV2 (fixed during administrative management)			
INCREASE		CHV1			
INVALIDATE		ADM			
REHABILITATE		ADM			
Bytes	Description	M/O	Length		
1 - 3	Accumulated count of units	M	3 bytes		

- Accumulated count of units
- Contents: value of the ACM
- Coding: see the coding of  $EF_{ACMmax}$

### 10.3.9 $EF_{GID1}$ (Group Identifier Level 1)

This EF contains identifiers for particular SIM-ME associations. It can be used to identify a group of SIMs for a particular application.

Identifier: '6F3E'		Structure: transparent		Optional	
File size: 1-n bytes			Update activity: low		
Access Conditions:					
READ		CHV1			
UPDATE		ADM			
INVALIDATE		ADM			
REHABILITATE		ADM			
Bytes	Description	M/O	Length		
1 - n	SIM group identifier(s)	O	n bytes		

**10.3.10 EF<sub>GID2</sub> (Group Identifier Level 2)**

This EF contains identifiers for particular SIM-ME associations. It can be used to identify a group of SIMs for a particular application.

Identifier: '6F3F'		Structure: transparent		Optional	
File size: 1-n bytes			Update activity: low		
Access Conditions:					
READ		CHV1			
UPDATE		ADM			
INVALIDATE		ADM			
REHABILITATE		ADM			
Bytes	Description			M/O	Length
1 - n	SIM group identifier(s)			O	n bytes

NOTE: The structure of EF<sub>GID1</sub> and EF<sub>GID2</sub> are identical. They are provided to allow the network operator to enforce different levels of security dependant on application.

**10.3.11 EF<sub>SPN</sub> (Service Provider Name)**

This EF contains the service provider name and appropriate requirements for the display by the ME.

Identifier: '6F46'		Structure: transparent		Optional	
File Size: 17 bytes			Update activity: low		
Access Conditions:					
READ		ALWAYS			
UPDATE		ADM			
INVALIDATE		ADM			
REHABILITATE		ADM			
Bytes	Description			M/O	Length
1	Display Condition			M	1 byte
2 - 17	Service Provider Name			M	16 bytes

- Display Condition
  - Contents: display condition for the service provider name in respect to the registered PLMN (see GSM 02.07 [3]).
  - Coding: see below
  - Byte 1:
    - Bit            b1
    - 0       : display of registered PLMN not required
    - 1       : display of registered PLMN required
  - Bits b2 to b8 are RFU (see subclause 9.3)
- Service Provider Name
  - Contents: service provider string to be displayed
  - Coding: the string shall use the SMS default 7-bit coded alphabet as defined in GSM 03.38 [12] with bit 8 set to 0. The string shall be left justified. Unused bytes shall be set to 'FF'.

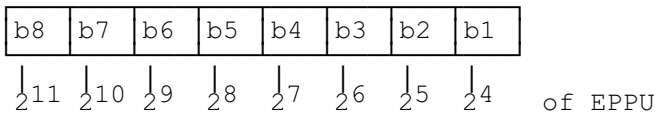
10.3.12 EF<sub>PUCT</sub> (Price per unit and currency table)

This EF contains the Price per Unit and Currency Table (PUCT). The PUCT is Advice of Charge related information which may be used by the ME in conjunction with EF<sub>ACM</sub> to compute the cost of calls in the currency chosen by the subscriber, as specified in GSM 02.24 [7]. This EF shall always be allocated if EF<sub>ACM</sub> is allocated.

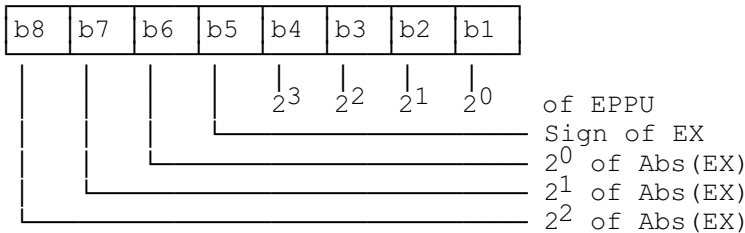
Identifier: '6F41'		Structure: transparent		Optional	
File size: 5 bytes			Update activity: low		
Access Conditions:					
READ		CHV1			
UPDATE		CHV1/CHV2 (fixed during administrative management)			
INVALIDATE		ADM			
REHABILITATE		ADM			
Bytes	Description	M/O	Length		
1 - 3	Currency code	M	3 bytes		
4 - 5	Price per unit	M	2 bytes		

- Currency code  
 Contents: the alpha-identifier of the currency code.  
 Coding: bytes 1, 2 and 3 are the respective first, second and third character of the alpha identifier.  
 This alpha-tagging shall use the SMS default 7-bit coded alphabet as defined in GSM 03.38 [12] with bit 8 set to 0.
- Price per unit  
 Contents: price per unit expressed in the currency coded by bytes 1-3.  
 Coding: byte 4 and bits b1 to b4 of byte 5 represent the Elementary Price per Unit (EPPU) in the currency coded by bytes 1-3. Bits b5 to b8 of byte 5 are the decimal logarithm of the multiplicative factor represented by the absolute value of its decimal logarithm (EX) and the sign of EX, which is coded 0 for a positive sign and 1 for a negative sign.

Byte 4:



Byte 5:



The computation of the price per unit value is made by the ME in compliance with GSM 02.24 [7] by the following formula:

$$\text{price per unit} = \text{EPPU} * 10^{\text{EX}}$$

The price has to be understood as expressed in the coded currency.

**10.3.13 EF<sub>CBMI</sub> (Cell broadcast message identifier selection)**

This EF contains the Message Identifier Parameters which specify the type of content of the cell broadcast messages that the subscriber wishes the MS to accept.

Any number of CB Message Identifier Parameters may be stored in the SIM. No order of priority is applicable.

Identifier: '6F45'		Structure: transparent		Optional
File size: 2n bytes		Update activity: low		
Access Conditions:				
READ		CHV1		
UPDATE		CHV1		
INVALIDATE		ADM		
REHABILITATE		ADM		
Bytes	Description	M/O	Length	
1 - 2	CB Message Identifier 1	O	2 bytes	
3 - 4	CB Message Identifier 2	O	2 bytes	
2n-1 - 2n	CB Message Identifier n	O	2 bytes	

- Cell Broadcast Message Identifier  
Coding: as in GSM 03.41, "Message Format on BTS-MS Interface - Message Identifier".  
Values listed show the types of message which shall be accepted by the MS.  
Unused entries shall be set to 'FF FF'.

**10.3.14 EF<sub>BCCH</sub> (Broadcast control channels)**

This EF contains information concerning the BCCH according to GSM 04.08 [15].

BCCH storage may reduce the extent of a Mobile Station's search of BCCH carriers when selecting a cell. The BCCH carrier lists in an MS shall be in accordance with the procedures specified in GSM 04.08 [15]. The MS shall only store BCCH information from the System Information 2 message and not the 2bis extension message.

Identifier: '6F74'		Structure: transparent		Mandatory
File size: 16 bytes		Update activity: high		
Access Conditions:				
READ		CHV1		
UPDATE		CHV1		
INVALIDATE		ADM		
REHABILITATE		ADM		
Bytes	Description	M/O	Length	
1 - 16	BCCH information	M	16 bytes	

- BCCH information  
Coding: The information is coded as octets 2-17 of the "neighbour cells description information element" in GSM 04.08 [15].

**10.3.15 EF<sub>ACC</sub> (Access control class)**

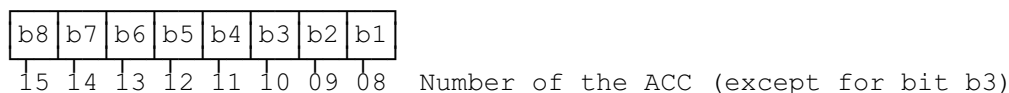
This EF contains the assigned access control class(es). GSM 02.11 [5] refers. The access control class is a parameter to control the RACH utilization. 15 classes are split into 10 classes randomly allocated to normal subscribers and 5 classes allocated to specific high priority users. For more information see GSM 02.11 [5].

Identifier: '6F78'		Structure: transparent		Mandatory	
File size: 2 bytes			Update activity: low		
Access Conditions:					
READ		CHV1			
UPDATE		ADM			
INVALIDATE		ADM			
REHABILITATE		ADM			
Bytes	Description			M/O	Length
1 - 2	Access control classes			M	2 bytes

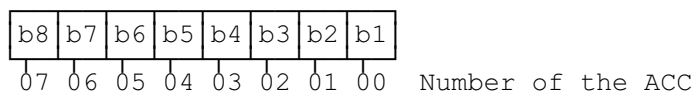
## - Access control classes

Coding: Each ACC is coded on one bit. An ACC is "allocated" if the corresponding bit is set to 1 and "not allocated" if this bit is set to 0. Bit b3 of byte 1 is set to 0.

Byte 1:



Byte 2:

**10.3.16 EF<sub>FPLMN</sub> (Forbidden PLMNs)**

This EF contains the coding for four Forbidden PLMNs (FPLMN). It is read by the ME as part of the SIM initialization procedure and indicates PLMNs which the MS shall not automatically attempt to access.

A PLMN is written to the EF if a network rejects a Location Update with the cause "PLMN not allowed". The ME shall manage the list as follows.

When four FPLMNs are held in the EF, and rejection of a further PLMN is received by the ME from the network, the ME shall modify the EF using the UPDATE command. This new PLMN shall be stored in the fourth position, and the existing list "shifted" causing the previous contents of the first position to be lost.

When less than four FPLMNs exist in the EF, storage of an additional FPLMN shall not cause any existing FPLMN to be lost.

Dependent upon procedures used to manage storage and deletion of FPLMNs in the EF, it is possible, when less than four FPLMNs exist in the EF, for 'FFFFFF' to occur in any position. The ME shall analyse all the EF for FPLMNs in any position, and not regard 'FFFFFF' as a termination of valid data.



Identifier: '6F7B'		Structure: transparent		Mandatory
File size: 12 bytes		Update activity: low		
Access Conditions:				
READ		CHV1		
UPDATE		CHV1		
INVALIDATE		ADM		
REHABILITATE		ADM		
Bytes	Description	M/O	Length	
1 - 3	PLMN 1	M	3 bytes	
4 - 6	PLMN 2	M	3 bytes	
7 - 9	PLMN 3	M	3 bytes	
10 - 12	PLMN 4	M	3 bytes	

- PLMN

Contents: Mobile Country Code (MCC) followed by the Mobile Network Code (MNC).

Coding: according to GSM 04.08 [15].

For instance, using 246 for the MCC and 81 for the MNC and if this is stored in PLMN 3 the contents is as follows:

Bytes 7-9: '42' 'F6' '18'

If storage for fewer than 4 PLMNs is required, the unused bytes shall be set to 'FF'.

### 10.3.17 EF<sub>LOCI</sub> (Location information)

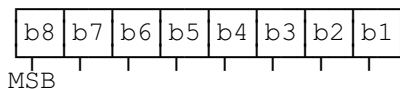
This EF contains the following Location Information:

- Temporary Mobile Subscriber Identity (TMSI)
- Location Area Information (LAI)
- TMSI TIME
- Location update status

Identifier: '6F7E'		Structure: transparent		Mandatory
File size: 11 bytes		Update activity: high		
Access Conditions:				
READ		CHV1		
UPDATE		CHV1		
INVALIDATE		ADM		
REHABILITATE		CHV1		
Bytes	Description	M/O	Length	
1 - 4	TMSI	M	4 bytes	
5 - 9	LAI	M	5 bytes	
10	TMSI TIME	M	1 byte	
11	Location update status	M	1 byte	

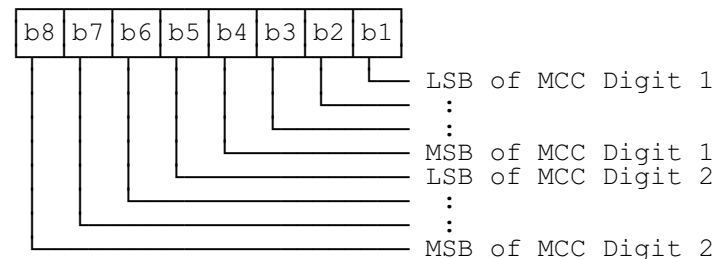
- TMSI  
 Contents: Temporary Mobile Subscriber Identity  
 Coding: according to GSM 04.08 [15].

Byte 1: first byte of TMSI

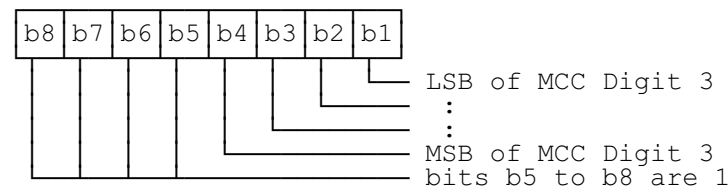


- LAI  
 Contents: Location Area Information  
 Coding: according to GSM 04.08 [15].

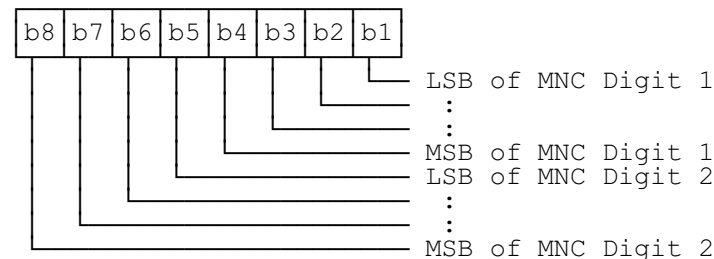
Byte 5: first byte of LAI (MCC)



Byte 6: second byte of LAI (MCC continued)



Byte 7: third byte of LAI (MNC)



Byte 8: fourth byte of LAI (LAC)

Byte 9: fifth byte of LAI (LAC continued)

- TMSI TIME  
 Contents: Current value of Periodic Location Updating Timer (T3212).  
 This byte is used by Phase 1 MEs, but it shall not be used by Phase 2 MEs.

- Location update status  
 Contents: status of location update according to GSM 04.08 [15].  
 Coding:

Byte 11:

Bits:	b3	b2	b1	
	0	0	0	: updated
	0	0	1	: not updated
	0	1	0	: PLMN not allowed
	0	1	1	: Location Area not allowed
	1	1	1	: reserved

Bits b4 to b8 are RFU (see subclause 9.3).

**10.3.18 EF<sub>AD</sub> (Administrative data)**

This EF contains information concerning the mode of operation according to the type of SIM, such as normal (to be used by PLMN subscribers for GSM operations), type approval (to allow specific use of the ME during type approval procedures of e.g. the radio equipment), cell testing (to allow testing of a cell before commercial use of this cell), manufacturer specific (to allow the ME manufacturer to perform specific proprietary auto-test in its ME during e.g. maintenance phases).

It also provides an indication of whether some ME features should be activated during normal operation.

Identifier: '6FAD'		Structure: transparent		Mandatory
File size: 3+X bytes			Update activity: low	
Access Conditions:				
READ		ALW		
UPDATE		ADM		
INVALIDATE		ADM		
REHABILITATE		ADM		
Bytes	Description	M/O	Length	
1	MS operation mode	M	1 byte	
2 - 3	Additional information	M	2 bytes	
4 - 3+X	RFU	O	X bytes	

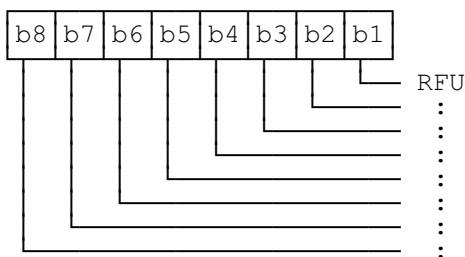
- MS operation mode  
 Contents: mode of operation for the MS  
 Coding:

Initial value

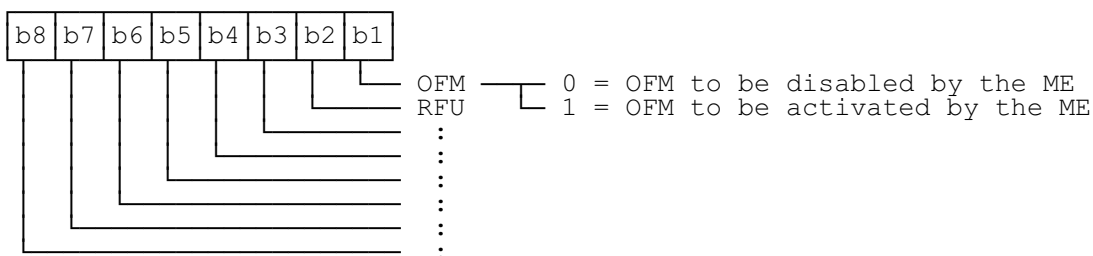
- normal operation	'00'
- type approval operations	'80'
- normal operation + specific facilities	'01'
- type approval operations + specific facilities	'81'
- maintenance (off line)	'02'
- cell test operation	'04'

- Additional information  
 Coding:  
 - specific facilities (if b1=1 in byte 1);

Byte 2 (first byte of additional information):



Byte 3:



- ME manufacturer specific information (if b2=1 in byte 1).

**10.3.19 EF<sub>Phase</sub> (Phase identification)**

This EF contains information concerning the phase of the SIM.

Identifier: '6FAE'		Structure: transparent		Mandatory
File size: 1 byte		Update activity: low		
Access Conditions:				
READ		ALW		
UPDATE		ADM		
INVALIDATE		ADM		
REHABILITATE		ADM		
Bytes	Description	M/O	Length	
1	SIM Phase	M	1 byte	

## - SIM Phase

Coding:

Phase 1: '00'

Phase 2: '02'

Phase 2+: '03' (PROFILE DOWNLOAD required)

All other codings are reserved for specification by ETSI TC SMG. Codings '04' to '0F' indicate that the SIM supports, as a minimum, the mandatory requirements defined in this ETS.

If EF<sub>Phase</sub> is coded '00', it may be assumed by the ME that some Phase 2 features are supported by this SIM. However, the services n°3 (FDN) and/or n°5 (AoC) shall only be allocated and activated in SIMs of phase 2 or later with EF<sub>Phase</sub> being coded '02' or greater.

If EF<sub>Phase</sub> is coded '03' or greater, an ME supporting SIM Application Toolkit shall perform the PROFILE DOWNLOAD procedure, as defined in GSM 11.14 [27].

**10.3.20 EF<sub>VGCS</sub> (Voice Group Call Service)**

This EF contains a list of those VGCS group identifiers the user has subscribed to. The elementary file is used by the ME for group call establishment and group call reception.

Identifier: '6FB1'		Structure: transparent		Optional
File size: 4n bytes (n <= 50)		Update activity: low		
Access Conditions:				
READ		CHV1		
UPDATE		ADM		
INVALIDATE		ADM		
REHABILITATE		ADM		
Bytes	Description	M/O	Length	
1 - 4	Group ID 1	M	4 bytes	
5 - 8	Group ID 2	O	4 bytes	
:	:	:	:	
(4n-3)-4n	Group ID n	O	4 bytes	

## - Group ID

Contents: VGCS Group Id

Coding: according to GSM 03.03 [10]

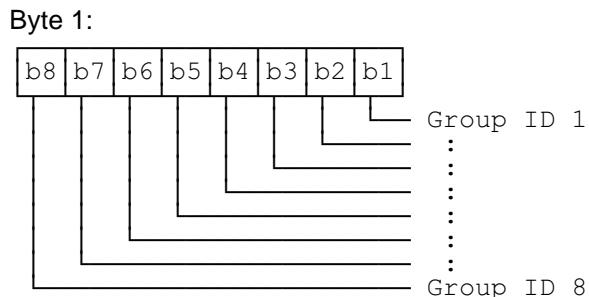
If storage for fewer than the maximum possible number of n is required, the excess bytes shall be set to 'FF'.

**10.3.21 EF<sub>VGCS</sub> (Voice Group Call Service Status)**

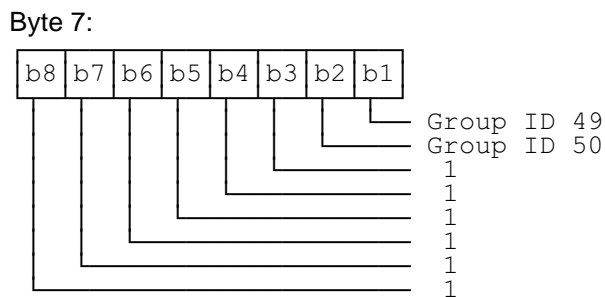
This EF contains the status of activation for the VGCS group identifiers. The elementary file is directly related to the EF<sub>VGCS</sub>. This EF shall always be allocated if EF<sub>VGCS</sub> is allocated.

Identifier: '6FB2'		Structure: transparent		Optional	
File size: 7 bytes			Update activity: low		
Access Conditions:					
READ		CHV1			
UPDATE		ADM			
INVALIDATE		ADM			
REHABILITATE		ADM			
Bytes	Description			M/O	Length
1 - 7	Activation/Deactivation Flags			M	7 bytes

- Activation/Deactivation Flags  
 Contents: Activation/Deactivation Flags of the appropriate Group IDs  
 Coding:  
 bit = 0 means - Group ID deactivated  
 bit = 1 means - Group ID activated



: : : : : : : :  
 : : : : : : : :



**10.3.22 EF<sub>VBS</sub> (Voice Broadcast Service)**

This EF contains a list of those VBS group identifiers the user has subscribed to. The elementary file is used by the ME for broadcast call establishment and broadcast call reception.

Identifier: '6FB3'		Structure: transparent		Optional
File size: 4n bytes (n <= 50)		Update activity: low		
Access Conditions:				
READ		CHV1		
UPDATE		ADM		
INVALIDATE		ADM		
REHABILITATE		ADM		
Bytes	Description	M/O	Length	
1 - 4	Group ID 1	M	4 bytes	
5 - 2	Group ID 2	O	4 bytes	
:	:	:	:	
(4n-3)-4n	Group ID n	O	4 bytes	

- Group ID  
 Contents: VBS Group Id  
 Coding: according to GSM 03.03 [10]  
 If storage for fewer than the maximum possible number of n is required, the excess bytes shall be set to 'FF'.

### 10.3.23 EF<sub>VBS</sub> (Voice Broadcast Service Status)

This EF contains the status of activation for the VBS group identifiers. The elementary file is directly related to the EF<sub>VBS</sub>. This EF shall always be allocated if EF<sub>VBS</sub> is allocated.

Identifier: '6FB4'		Structure: transparent		Optional
File size: 7 bytes		Update activity: low		
Access Conditions:				
READ		CHV1		
UPDATE		ADM		
INVALIDATE		ADM		
REHABILITATE		ADM		
Bytes	Description	M/O	Length	
1 - 7	Activation/Deactivation Flags	M	7 bytes	

- Activation/Deactivation Flags  
 Contents: Activation/Deactivation Flags of the appropriate Group IDs  
 Coding:  
 see coding of EF<sub>VGCS</sub>

### 10.3.24 EF<sub>eMLPP</sub> (enhanced Multi Level Pre-emption and Priority)

This EF contains information about priority levels and fast call set-up conditions for the enhanced Multi Level Pre-emption and Priority service that which can be used by the subscriber.

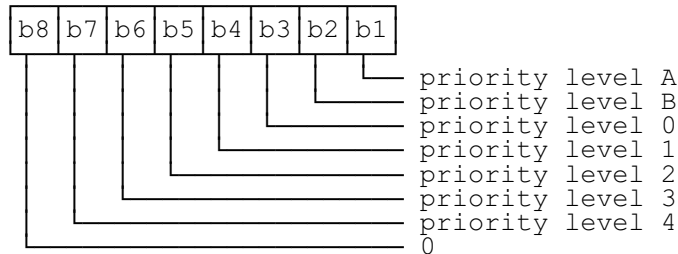
Identifier: '6FB5'		Structure: transparent		Optional
File size: 2 bytes		Update activity: low		
Access Conditions:				
READ		CHV1		
UPDATE		ADM		
INVALIDATE		ADM		
REHABILITATE		ADM		
Bytes	Description	M/O	Length	
1	Priority levels	M	1 byte	
2	Fast call set-up conditions	M	1 byte	

- Priority levels

Contents: The eMLPP priority levels subscribed to.

Coding: Each eMLPP priority level is coded on one bit. Priority levels subscribed to have their corresponding bits set to 1. Priority levels not subscribed to have their corresponding bits set to 0. Bit b8 is reserved and set to 0.

Byte 1:



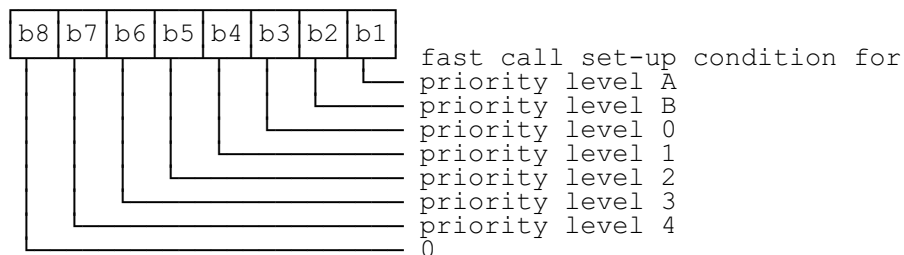
Example: If priority levels B and 2 are subscribed to,  $EF_{eMLPP}$  shall be coded '12'.

- Fast call set-up conditions

Contents: For each eMLPP priority level, the capability to use a fast call set-up procedure.

Coding: Each eMLPP priority level is coded on one bit. Priority levels for which fast call set-up is allowed have their corresponding bits set to 1. Priority levels for which fast call set-up is not allowed have their corresponding bits set to 0. Bit b8 is reserved and set to 0.

Byte 2:



Example: If fast call set-up is allowed for priority levels B, 0 and 2, then byte 2 of  $EF_{eMLPP}$  is coded '16'.

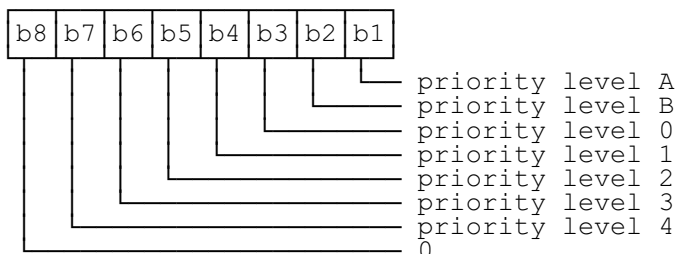
### 10.3.25 $EF_{AAeM}$ (Automatic Answer for eMLPP Service)

This EF contains those priority levels (of the Multi Level Pre-emption and Priority service) for which the mobile station shall answer automatically to incoming calls.

Identifier: '6FB6'	Structure: transparent	Optional	
File size: 1 byte	Update activity: low		
Access Conditions:			
READ	CHV1		
UPDATE	CHV1		
INVALIDATE	ADM		
REHABILITATE	ADM		
Bytes	Description	M/O	Length
1	Automatic answer priority levels	M	1 byte

- Automatic answer priority levels  
 Contents: For each eMLPP priority level, the capability for the mobile station to answer automatically to incoming calls (with the corresponding eMLPP priority level).  
 Coding: Each eMLPP priority level is coded on one bit. Priority levels allowing an automatic answer from the mobile station have their corresponding bits set to 1. Priority levels not allowing an automatic answer from the mobile station have their corresponding bits set to 0. Bit b8 is reserved and set to 0.

Byte 1:



Example: If automatic answer is allowed for incoming calls with priority levels A, 0 and 1, then  $EF_{AAeMLPP}$  is coded '0D'.

**10.3.26  $EF_{CBMID}$  (Cell Broadcast Message Identifier for Data Download)**

This EF contains the message identifier parameters which specify the type of content of the cell broadcast messages which are to be passed to the SIM.

Any number of CB message identifier parameters may be stored in the SIM. No order of priority is applicable.

Identifier: '6F48'		Structure: transparent		Optional
File size: 2n bytes		Update activity: low		
Access Conditions:				
READ		CHV1		
UPDATE		ADM		
INVALIDATE		ADM		
REHABILITATE		ADM		
Bytes	Description	M/O	Length	
1-2	CB Message Identifier 1	O	2 bytes	
3-4	CB Message Identifier 2	O	2 bytes	
2n-1-2n	CB Message Identifier n	O	2 bytes	

- Cell Broadcast Message Identifier  
 Coding: as in GSM 03.41 [14]. Values listed show the identifiers of messages which shall be accepted by the MS to be passed to the SIM.

Unused entries shall be set to 'FF FF'.

**10.3.27  $EF_{ECC}$  (Emergency Call Codes)**

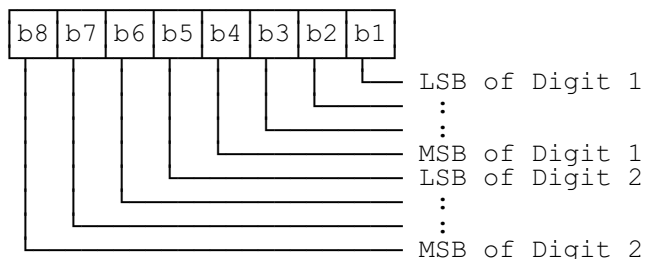
This EF contains up to 5 emergency call codes.



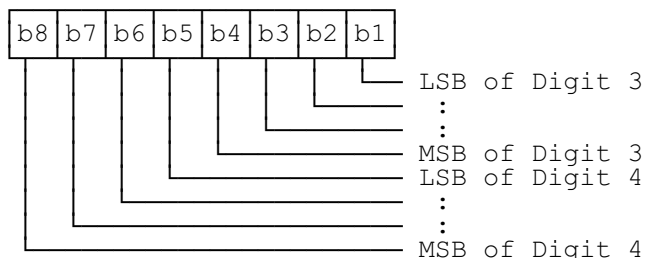
Identifier: '6FB7'		Structure: transparent		Optional
File size: 3n (n ≤ 5) bytes		Update activity: low		
Access Conditions:				
READ		ALW		
UPDATE		ADM		
INVALIDATE		ADM		
REHABILITATE		ADM		
Bytes	Description	M/O	Length	
1 - 3	Emergency Call Code 1	O	3 bytes	
4 - 6	Emergency Call Code 2	O	3 bytes	
(3n-2) - 3n	Emergency Call Code n	O	3 bytes	

- Emergency Call Code  
 Contents: Emergency Call Code  
 Coding: The emergency call code is of a variable length with a maximum length of 6 digits. Each emergency call code is coded on three bytes, with each digit within the code being coded on four bits as shown below. If a code of less than 6 digits is chosen, then the unused nibbles shall be set to 'F'.

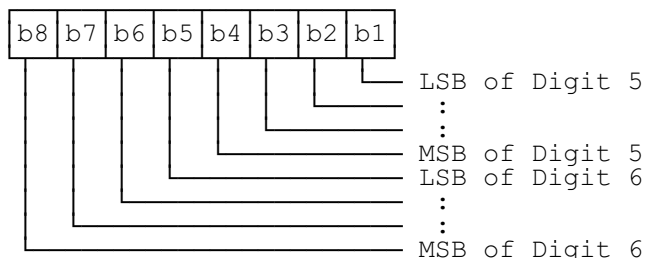
Byte 1:



Byte 2:



Byte 3:



**10.3.28 EF<sub>CBMIR</sub> (Cell broadcast message identifier range selection)**

This EF contains ranges of cell broadcast message identifiers that the subscriber wishes the MS to accept.

Any number of CB Message Identifier Parameter ranges may be stored in the SIM. No order of priority is applicable.

Identifier: '6F50'		Structure: transparent		Optional
File size: 4n bytes		Update activity: low		
Access Conditions:				
READ		CHV1		
UPDATE		CHV1		
INVALIDATE		ADM		
REHABILITATE		ADM		
Bytes	Description	M/O	Length	
1 - 4	CB Message Identifier Range 1	O	4 bytes	
5 - 8	CB Message Identifier Range 2	O	4 bytes	
(4n-3) - 4n	CB Message Identifier Range n	O	4 bytes	

- Cell Broadcast Message Identifier Ranges

Contents:

CB Message Identifier ranges:

Coding:

bytes one and two of each range identifier equal the lower value of a cell broadcast range, bytes three and four equal the upper value of a cell broadcast range, both values are coded as in GSM 03.41 [14] "Message Format on BTS-MS Interface - Message Identifier". Values listed show the ranges of messages which shall be accepted by the MS.

Unused entries shall be set to 'FF FF FF FF'.

### 10.3.29 EF<sub>DCK</sub> De-personalization Control Keys

This EF provides storage for the de-personalization control keys associated with the OTA de-personalization cycle of GSM 02.22 [29].

Identifier: '6F2C'		Structure: transparent		Optional
File size: 16 bytes		Update activity: low		
Access Conditions:				
READ		CHV1		
UPDATE		ADM		
INVALIDATE		ADM		
REHABILITATE		ADM		
Bytes	Description	M/O	Length	
1 to 4	8 digits of network de-personalization control key	M	4 bytes	
5 to 8	8 digits of network subset de-personalization control key	M	4 bytes	
9 to 12	8 digits of service provider de-personalization control key	M	4 bytes	
13 to 16	8 digits of corporate de-personalization control key	M	4 bytes	

Empty control key records shall be coded 'FFFFFFF'.

### 10.3.30 EF<sub>CNL</sub> (Co-operative Network List)

This EF contains the Co-operative Network List for the multiple network personalization services defined in GSM 02.22 [29].

Identifier: '6F32'		Structure: transparent		Optional	
File size: 6n bytes			Update activity: low		
Access Conditions:					
READ		CHV1			
UPDATE		ADM			
INVALIDATE		ADM			
REHABILITATE		ADM			
Bytes	Description	M/O	Length		
1 to 6	Element 1 of co-operative net list	O	6 bytes		
6n-5 to 6n	Element n of co-operative net list	O	6 bytes		

- Co-operative Network List

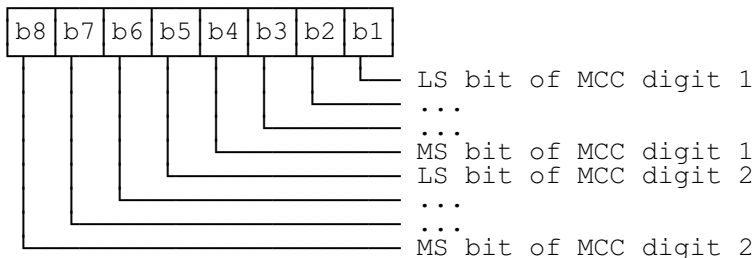
Contents:

MCC, MNC, network subset, service provider ID and corporate ID of co-operative networks.

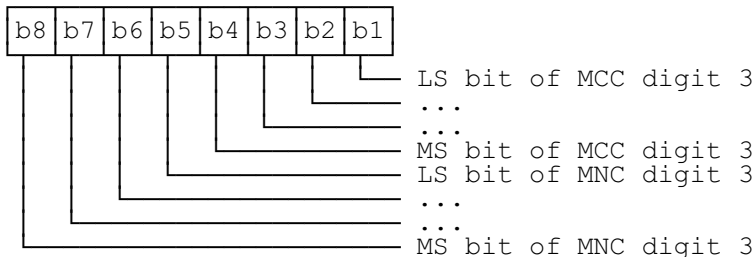
Coding:

For each 6 byte list element

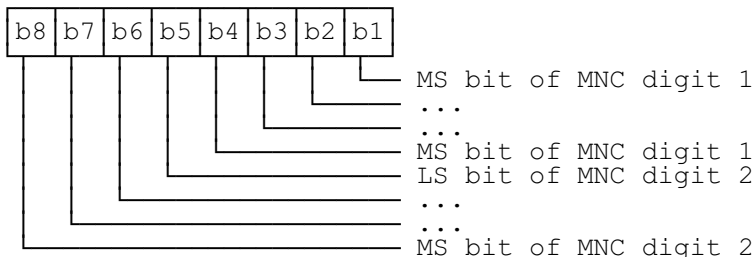
Byte 1:



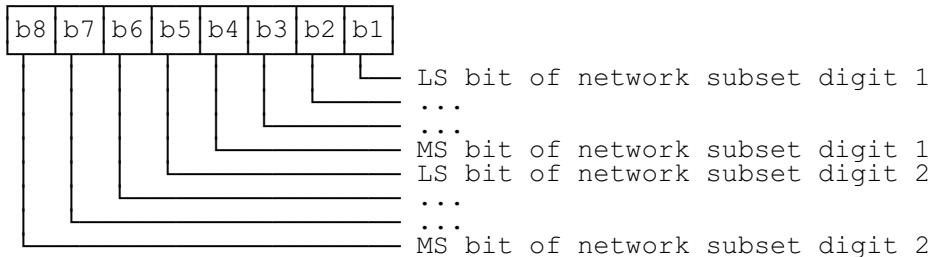
Byte 2:



Byte 3:

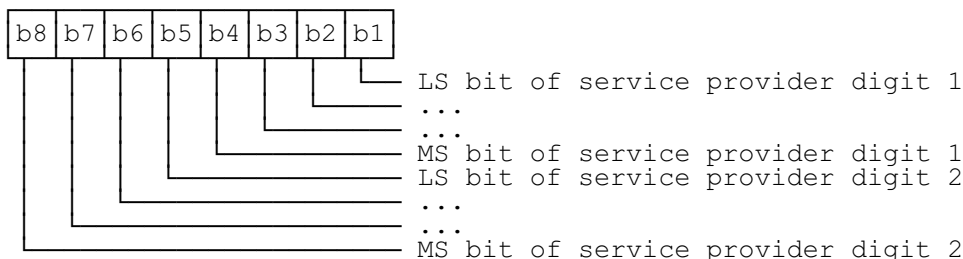


Byte 4:

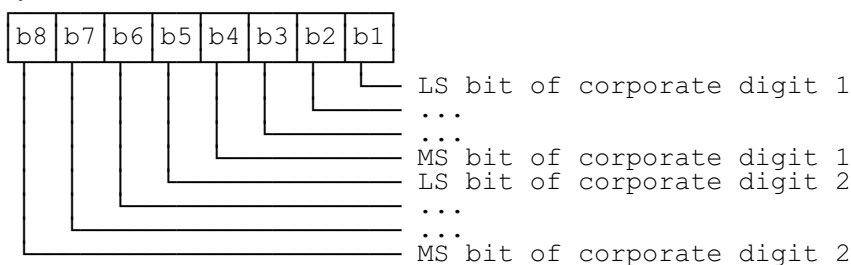


NOTE: Digit 3 of the MNC is placed directly after the MCC fields for compatibility between GSM and PCS 1 900 PLMN structures.

Byte 5:



Byte 6:



For 2 digit MNCs digit 3 of this field shall be 'F'.  
 For 1 digit network subsets digit 2 of this field shall be 0.  
 Empty fields shall be coded with 'FF'.  
 The end of the list is delimited by the first MCC field coded 'FFF'.

#### 10.4 Contents of files at the telecom level

The EFs in the Dedicated File DF<sub>TELECOM</sub> contain service related information.

##### 10.4.1 EF<sub>ADN</sub> (Abbreviated dialling numbers)

This EF contains Abbreviated Dialling Numbers (ADN) and/or Supplementary Service Control strings (SSC). In addition it contains identifiers of associated network/bearer capabilities and identifiers of extension records. It may also contain an associated alpha-tagging.

Identifier: '6F3A'		Structure: linear fixed		Optional
Record length: X+14 bytes		Update activity: low		
Access Conditions:				
READ		CHV1		
UPDATE		CHV1		
INVALIDATE		CHV2		
REHABILITATE		CHV2		
Bytes	Description	M/O	Length	
1 to X	Alpha Identifier	O	X bytes	
X+1	Length of BCD number/SSC contents	M	1 byte	
X+2	TON and NPI	M	1 byte	
X+3 to X+12	Dialling Number/SSC String	M	10 bytes	
X+13	Capability/Configuration Identifier	M	1 byte	
X+14	Extension1 Record Identifier	M	1 byte	

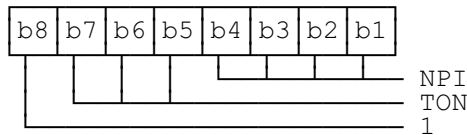
- Alpha Identifier  
 Contents: Alpha-tagging of the associated dialling number.  
 Coding: this alpha-tagging shall use the SMS default 7-bit coded alphabet as defined in GSM 03.38 [12] with bit 8 set to 0. The alpha identifier shall be left justified. Unused bytes shall be set to 'FF'.

NOTE 1: The value of X may be from zero to 241. Using the command GET RESPONSE the ME can determine the value of X.

- Length of BCD number/SSC contents  
 Contents: this byte gives the number of bytes of the following two data items containing actual BCD number/SSC information. This means that the maximum value is 11, even when the actual ADN/SSC information length is greater than 11. When an ADN/SSC requires more than 20 digits it is indicated by the extension1 identifier being unequal to 'FF'. The remainder is stored in the EF<sub>EXT1</sub> with the remaining length of the overflow data being coded in the appropriate overflow record itself (see subclause 10.4.10).  
 Coding: according to GSM 04.08 [15].

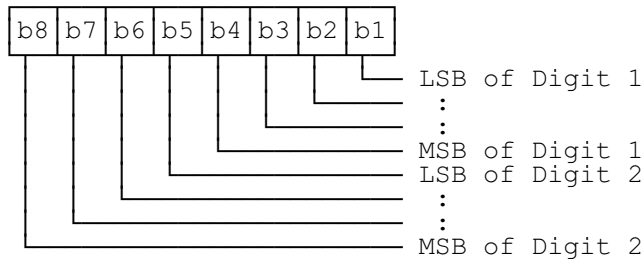
- TON and NPI  
 Contents: Type of number (TON) and numbering plan identification (NPI).  
 Coding: according to GSM 04.08 [15]. If the Dialling Number/SSC String does not contain a dialling number, e.g. a control string deactivating a service, the TON/NPI byte shall be set to 'FF' by the ME (see note 2).

NOTE 2: If a dialling number is absent, no TON/NPI byte is transmitted over the air interface (see GSM 04.08 [15]). Accordingly, the ME should not interpret the value 'FF' and not send it over the air interface.

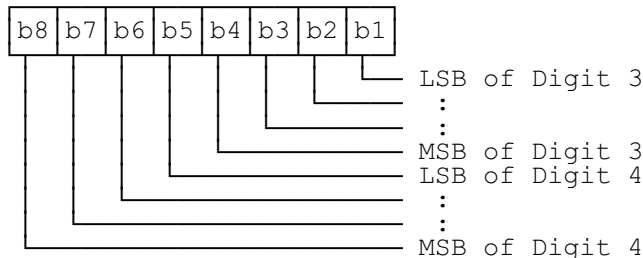


- Dialling Number/SSC String  
 Contents: up to 20 digits of the telephone number and/or SSC information.  
 Coding: according to GSM 04.08 [15], GSM 02.30 [8] and the extended BCD-coding (see table 12). If the telephone number or SSC is longer than 20 digits, the first 20 digits are stored in this data item and the overflow data is stored in an associated record in the EF<sub>EXT1</sub>. The record is identified by the Extension1 Record Identifier. If ADN/SSC require less than 20 digits, excess nibbles at the end of the data item shall be set to 'F'.

Byte X+3



Byte X+4:



etc.

- Capability/Configuration Identifier  
Contents: capability/configuration identification byte. This byte identifies the number of a record in the EF<sub>CCP</sub> containing associated capability/configuration parameters required for the call. The use of this byte is optional. If it is not used it shall be set to 'FF'.  
Coding: binary.
- Extension1 Record Identifier  
Contents: extension1 record identification byte. This byte identifies the number of a record in the EF<sub>EXT1</sub> containing an associated called party subaddress or an overflow. The use of this byte is optional. If it is not used it shall be set to 'FF'.  
If the ADN/SSC requires both overflow and called party subaddress, this byte identifies the overflow record. A chaining mechanism inside EF<sub>EXT1</sub> identifies the record of the appropriate called party subaddress (see subclause 10.4.10).  
Coding: binary.

NOTE 3: As EF<sub>ADN</sub> is part of the DF<sub>TELECOM</sub> it may be used by GSM and also other applications in a multi-application card. If the non-GSM application does not recognize the use of Type of Number (TON) and Number Plan Identification (NPI), then the information relating to the national dialling plan must be held within the data item dialling number/SSC and the TON and NPI fields set to UNKNOWN. This format would be acceptable for GSM operation and also for the non-GSM application where the TON and NPI fields shall be ignored.

Example: SIM storage of an International Number using E.164 [19] numbering plan

	TON	NPI	Digit field
GSM application	001	0001	abc...
Other application compatible with GSM	000	0000	xxx...abc...

where "abc..." denotes the subscriber number digits (including its country code), and "xxx..." denotes escape digits or a national prefix replacing TON and NPI.

NOTE 4: When the ME acts upon the EF<sub>ADN</sub> with a SEEK command in order to identify a character string in the alpha-identifier, it is the responsibility of the ME to ensure that the number of characters used as SEEK parameters are less than or equal to the value of X if the MMI allows the user to offer a greater number.

**Table 12: Extended BCD coding**

BCD Value	Character/Meaning
'0'	"0"
'1'	"1"
'2'	"2"
'3'	"3"
'4'	"4"
'5'	"5"
'6'	"6"
'7'	"7"
'8'	"8"
'9'	"9"
'A'	"*"
'B'	"#"
'C'	DTMF Control digit separator (GSM 02.07 [3])
'D'	"Wild" value This will cause the MMI to prompt the user for a single digit (see GSM 02.07 [3]).
'E'	Expansion digit ("Shift Key"). It has the effect of adding '10' to the following digit. The following BCD digit will hence be interpreted in the range of '10'-'1E'. The purpose of digits in this range is for further study.
'F'	Endmark e.g. in case of an odd number of digits

BCD values 'C', 'D' and 'E' are never sent across the air interface.

NOTE 5: The interpretation of values 'D', 'E' and 'F' as DTMF digits is for further study.

NOTE 6: A second or subsequent 'C' BCD value will be interpreted as a 3 second PAUSE (see GSM 02.07 [3]).

#### 10.4.2 EF<sub>FDN</sub> (Fixed dialling numbers)

This EF contains Fixed Dialling Numbers (FDN) and/or Supplementary Service Control strings (SSC). In addition it contains identifiers of associated network/bearer capabilities and identifiers of extension records. It may also contain an associated alpha-tagging.

Identifier: '6F3B'		Structure: linear fixed		Optional
Record length: X+14 bytes		Update activity: low		
Access Conditions:				
READ		CHV1		
UPDATE		CHV2		
INVALIDATE		ADM		
REHABILITATE		ADM		
Bytes	Description	M/O	Length	
1 to X	Alpha Identifier	O	X bytes	
X+1	Length of BCD number/SSC contents	M	1 byte	
X+2	TON and NPI	M	1 byte	
X+3 to X+12	Dialling Number/SSC String	M	10 bytes	
X+13	Capability/Configuration Identifier	M	1 byte	
X+14	Extension2 Record Identifier	M	1 byte	

For contents and coding of all data items see the respective data items of the EF<sub>ADN</sub> (subclause 10.4.1), with the exception that extension records are stored in the EF<sub>EXT2</sub>.

NOTE: The value of X (the number of bytes in the alpha-identifier) may be different to the length denoted X in EF<sub>ADN</sub>.

#### 10.4.3 EF<sub>SMS</sub> (Short messages)

This EF contains information in accordance with GSM 03.40 [13] comprising short messages (and associated parameters) which have either been received by the MS from the network, or are to be used as an MS originated message.

Identifier: '6F3C'		Structure: linear fixed		Optional
Record length: 176 bytes		Update activity: low		
Access Conditions:				
READ		CHV1		
UPDATE		CHV1		
INVALIDATE		ADM		
REHABILITATE		ADM		
Bytes	Description	M/O	Length	
1	Status	M	1 byte	
2 to 176	Remainder	M	175 bytes	

- Status

Contents: Status byte of the record which can be used as a pattern in the SEEK command.

Coding:

b8	b7	b6	b5	b4	b3	b2	b1	
					0	0	0	free space
					x	x	1	used space
					0	0	1	message received by MS from network; message read
					0	1	1	message received by MS from network; message to be read
					1	0	1	MS originating message; message sent to the network
					1	1	1	MS originating message; message to be sent
								RFU (see subclause 9.3)

- Remainder

Contents: This data item commences with the TS-Service-Centre-Address as specified in GSM 04.11 [16]. The bytes immediately following the TS-Service-Centre-Address contain an appropriate short message TPDU as specified in GSM 03.40 [13], with identical coding and ordering of parameters.

Coding: according to GSM 03.40 [13] and GSM 04.11 [16]. Any TP-message reference contained in an MS originated message stored in the SIM, shall have a value as follows:

	Value of the TP-message-reference
message to be sent:	'FF'
message sent to the network:	the value of TP-Message-Reference used in the message sent to the network.

Any bytes in the record following the TPDU shall be filled with 'FF'.

It is possible for a TS-Service-Centre-Address of maximum permitted length, e.g. containing more than 18 address digits, to be associated with a maximum length TPDU such that their combined length is 176 bytes. In this case the ME shall store in the SIM the TS-Service-Centre-Address and the TPDU in bytes 2-176 without modification, except for the last byte of the TPDU, which shall not be stored.

**10.4.4 EF<sub>CCP</sub> (Capability configuration parameters)**

This EF contains parameters of required network and bearer capabilities and ME configurations associated with a call established using an abbreviated dialling number, a fixed dialling number, MSISDN or a last number dialled.

Identifier: '6F3D'		Structure: linear fixed		Optional	
Record length: 14 bytes			Update activity: low		
Access Conditions:					
READ		CHV1			
UPDATE		CHV1			
INVALIDATE		ADM			
REHABILITATE		ADM			
Bytes	Description			M/O	Length
1 to 10	Bearer capability information element			M	10 bytes
11 to 14	Bytes reserved - see below			M	4 bytes

- Bearer capability information element

Contents and Coding: see GSM 04.08 [15].

- Bytes 11-14 shall be set to 'FF' and shall not be interpreted by the ME.



**10.4.5 EF<sub>MSISDN</sub> (MSISDN)**

This EF contains MSISDN(s) related to the subscriber. In addition it contains identifiers of associated network/bearer capabilities and identifiers of extension records. It may also contain an associated alpha-tagging.

Identifier: '6F40'		Structure: linear fixed		Optional
Record length: X+14 bytes		Update activity: low		
Access Conditions:				
READ		CHV1		
UPDATE		CHV1		
INVALIDATE		ADM		
REHABILITATE		ADM		
Bytes	Description	M/ O	Length	
1 to X	Alpha Identifier	O	X bytes	
X+1	Length of BCD number/SSC contents	M	1 byte	
X+2	TON and NPI	M	1 byte	
X+3 to X+12	Dialling Number/SSC String	M	10 bytes	
X+13	Capability/Configuration Identifier	M	1 byte	
X+14	Extension1 Record Identifier	M	1 byte	

For contents and coding of all data items see the respective data items of EF<sub>ADN</sub>.

NOTE 1: If the SIM stores more than one MSISDN number and the ME displays the MSISDN number(s) within the initialization procedure then the one stored in the first record shall be displayed with priority.

NOTE 2: The value of X (the number of bytes in the alpha-identifier) may be different to the length denoted X in EF<sub>ADN</sub>.

**10.4.6 EF<sub>SMSP</sub> (Short message service parameters)**

This EF contains values for Short Message Service header Parameters (SMSP), which can be used by the ME for user assistance in preparation of mobile originated short messages. For example, a service centre address will often be common to many short messages sent by the subscriber.

The EF consists of one or more records, with each record able to hold a set of SMS parameters. The first (or only) record in the EF shall be used as a default set of parameters, if no other record is selected.

To distinguish between records, an alpha-identifier may be included within each record, coded on Y bytes.

The SMS parameters stored within a record may be present or absent independently. When a short message is to be sent from the MS, the parameter in the SIM record, if present, shall be used when a value is not supplied by the user.

Identifier: '6F42'		Structure: linear fixed		Optional
Record length: 28+Y bytes		Update activity: low		
Access Conditions:				
READ		CHV1		
UPDATE		CHV1		
INVALIDATE		ADM		
REHABILITATE		ADM		
Bytes	Description	M/ O	Length	
1 to Y	Alpha-Identifier	O	Y bytes	
Y+1	Parameter Indicators	M	1 byte	
Y+2 to Y+13	TP-Destination Address	M	12 bytes	
Y+14 to Y+25	TS-Service Centre Address	M	12 bytes	
Y+26	TP-Protocol Identifier	M	1 byte	
Y+27	TP-Data Coding Scheme	M	1 byte	
Y+28	TP-Validity Period	M	1 byte	

Storage is allocated for all of the possible SMS parameters, regardless of whether they are present or absent. Any bytes unused, due to parameters not requiring all of the bytes, or due to absent parameters, shall be set to 'FF'.

- Alpha-Identifier  
Contents: Alpha Tag of the associated SMS-parameter.  
Coding: see 10.4.1 (EF<sub>ADN</sub>).

NOTE: The value of Y may be zero, i.e. the alpha-identifier facility is not used. By using the command GET RESPONSE the ME can determine the value of Y.

- Parameter Indicators  
Contents: Each of the default SMS parameters which can be stored in the remainder of the record are marked absent or present by individual bits within this byte.

Coding: Allocation of bits:

Bit number	Parameter indicated
1	TP-Destination Address
2	TS-Service Centre Address
3	TP-Protocol Identifier
4	TP-Data Coding Scheme
5	TP-Validity Period
6	reserved, set to 1
7	reserved, set to 1
8	reserved, set to 1

Bit value	Meaning
0	Parameter present
1	Parameter absent

- TP-Destination Address  
Contents and Coding: As defined for SM-TL address fields in GSM 03.40 [13].
- TP-Service Centre Address  
Contents and Coding: As defined for RP-Destination address Centre Address in GSM 04.11 [16].
- TP-Protocol Identifier  
Contents and Coding: As defined in GSM 03.40 [13].
- TP-Data Coding Scheme  
Contents and Coding: As defined in GSM 03.38 [12].
- TP-Validity Period  
Contents and Coding: As defined in GSM 03.40 [13] for the relative time format.

**10.4.7 EF<sub>SMSS</sub> (SMS status)**

This EF contains status information relating to the short message service.

The provision of this EF is associated with EF<sub>SMS</sub>. Both files shall be present together, or both absent from the SIM.

Identifier: '6F43'		Structure: transparent		Optional	
File size: 2+X bytes			Update activity: low		
Access Conditions:					
READ		CHV1			
UPDATE		CHV1			
INVALIDATE		ADM			
REHABILITATE		ADM			
Bytes	Description	M/O	Length		
1	Last Used TP-MR	M	1 byte		
2	SMS "Memory Cap. Exceeded" Not. Flag	M	1 byte		
3 to 2+X	RFU	O	X bytes		

- Last Used TP-MR.  
Contents: the value of the TP-Message-Reference parameter in the last mobile originated short message, as defined in GSM 03.40 [13].  
Coding: as defined in GSM 03.40 [13].
- SMS "Memory Capacity Exceeded" Notification Flag.  
Contents: This flag is required to allow a process of flow control, so that as memory capacity in the MS becomes available, the Network can be informed. The process for this is described in GSM 03.40 [13].  
Coding:  
b1=1 means flag unset; memory capacity available  
b1=0 means flag set  
b2 to b8 are reserved and set to 1.

**10.4.8 EF<sub>LND</sub> (Last number dialled)**

This EF contains the last numbers dialled (LND) and/or the respective supplementary service control strings (SSC). In addition it contains identifiers of associated network/bearer capabilities and identifiers of extension records. It may also contain associated alpha-tagging.

Identifier: '6F44'		Structure: cyclic		Optional	
Record length: X+14 bytes			Update activity: low		
Access Conditions:					
READ		CHV1			
UPDATE		CHV1			
INCREASE		NEVER			
INVALIDATE		ADM			
REHABILITATE		ADM			
Bytes	Description	M/O	Length		
1 to X	Alpha Identifier	O	X bytes		
X+1	Length of BCD number/SSC contents	M	1 byte		
X+2	TON and NPI	M	1 byte		
X+3 to X+12	Dialling Number/SSC String	M	10 bytes		
X+13	Capability/Configuration Identifier	M	1 byte		
X+14	Extension1 Record Identifier	M	1 byte		

Contents and coding: see EF<sub>ADN</sub>.

The value of X in EF<sub>LND</sub> may be different to both the value of X in EF<sub>ADN</sub> and of X in EF<sub>FDN</sub>.

If the value of X in EF<sub>LND</sub> is longer than the length of the  $\alpha$ -tag of the number to be stored, then the ME shall pad the  $\alpha$ -tag with 'FF'. If the value of X in EF<sub>LND</sub> is shorter than the length of the  $\alpha$ -tag of the number to be stored, then the ME shall cut off excessive bytes.

#### 10.4.9 EF<sub>SDN</sub> (Service Dialling Numbers)

This EF contains special service numbers (SDN) and/or the respective supplementary service control strings (SSC). In addition it contains identifiers of associated network/bearer capabilities and identifiers of extension records. It may also contain associated alpha-tagging.

Identifier: '6F49'		Structure: linear fixed		Optional
Record length: X+14 bytes		Update activity: low		
Access Conditions:				
READ		CHV1		
UPDATE		ADM		
INVALIDATE		ADM		
REHABILITATE		ADM		
Bytes	Description	M/O	Length	
1-X	Alpha identifier	O	X bytes	
X+1	Length of BCD number/SSC contents	M	1 bytes	
X+2	TON and NPI	M	1 byte	
X+3-X+12	Dialling Number/SSC String	M	10 bytes	
X+13	Capability/Configuration Identifier	M	1 byte	
X+14	Extension3 Record Identifier	M	1 byte	

For contents and coding of all data items see the respective data items of the EF<sub>ADN</sub> (subclause 10.4.1), with the exception that extension records are stored in the EF<sub>EXT3</sub>.

NOTE: The value of X (the number of bytes in the alpha-identifier) may be different to the length denoted X in EF<sub>ADN</sub>.

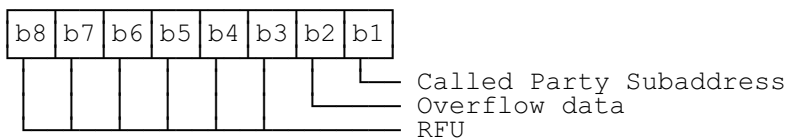
#### 10.4.10 EF<sub>EXT1</sub> (Extension1)

This EF contains extension data of an ADN/SSC, an MSISDN, or an LND. Extension data is caused by:

- an ADN/SSC (MSISDN, LND) which is greater than the 20 digit capacity of the ADN/SSC (MSISDN, LND) Elementary File. The remainder is stored in this EF as a record, which is identified by a specified identification byte inside the ADN/SSC (MSISDN, LND) Elementary File. The EXT1 record in this case is specified as overflow data;
- an associated called party subaddress. The EXT1 record in this case is specified as subaddress data.

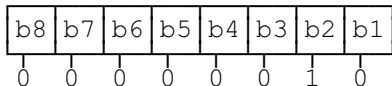
Identifier: '6F4A'		Structure: linear fixed		Optional
Record length: 13 bytes		Update activity: low		
Access Conditions:				
READ		CHV1		
UPDATE		CHV1		
INVALIDATE		ADM		
REHABILITATE		ADM		
Bytes	Description	M/O	Length	
1	Record type	M	1 byte	
2 to 12	Extension data	M	11 bytes	
13	Identifier	M	1 byte	

- Record type  
Contents: type of the record  
Coding:



b3-b8 are reserved and set to 0;  
a bit set to 1 identifies the type of record;  
only one type can be set;  
'00' indicates the type "unknown".

The following example of coding means that the type of extension data is "overflow data":



- Extension data  
 Contents: Overflow data or Called Party Subaddress depending on record type.  
 Coding:  
 Case 1, Extension1 record is overflow data:  
 The first byte of the extension data gives the number of bytes of the remainder of ADN/SSC (resp. MSISDN, LND). The coding of remaining bytes is BCD, according to the coding of ADN/SSC (MSISDN, LND). Unused nibbles at the end have to be set to 'F'. It is possible if the number of overflow digits exceeds the capacity of the overflow record to chain another record inside the EXT1 Elementary File by the identifier in byte 13.  
 Case 2, Extension1 record is Called Party Subaddress:  
 The subaddress data contains information as defined for this purpose in GSM 04.08 [15]. All information defined in GSM 04.08, except the information element identifier, shall be stored in the SIM. The length of this subaddress data can be up to 22 bytes. In those cases where two extension records are needed, these records are chained by the identifier field. The extension record containing the first part of the called party subaddress points to the record which contains the second part of the subaddress.
- Identifier  
 Contents: identifier of the next extension record to enable storage of information longer than 11 bytes.  
 Coding: record number of next record. 'FF' identifies the end of the chain.

Example of a chain of extension records being associated to an ADN/SSC. The extension1 record identifier (Byte 14+X) of ADN/SSC is set to 3.

No of Record	Type	Extension Data	Next	Record
.	.	.	.	.
Record 3	'02'	xx .....xx	'06'	
Record 4	'xx'	xx .....xx	'xx'	
Record 5	'01'	xx .....xx	'FF'	
Record 6	'01'	xx .....xx	'05'	
.	.	.	.	.

In this example ADN/SSC is associated to an overflow (record 3) and a called party subaddress whose length is more than 11 bytes (records 6 and 5).

**10.4.11 EF<sub>EXT2</sub> (Extension2)**

This EF contains extension data of an FDN/SSC (see EXT2 in subclause 10.4.2).

Identifier: '6F4B'		Structure: linear fixed		Optional
Record length: 13 bytes		Update activity: low		
Access Conditions:				
READ		CHV1		
UPDATE		CHV2		
INVALIDATE		ADM		
REHABILITATE		ADM		
Bytes	Description	M/O	Length	
1	Record type	M	1 byte	
2 to 12	Extension data	M	11 bytes	
13	Identifier	M	1 byte	

For contents and coding see subclause 10.4.10 EF<sub>EXT1</sub>.

#### 10.4.12 EF<sub>EXT3</sub> (Extension3)

This EF contains extension data of an SDN (see EXT3 in subclause 10.4.9).

Identifier: '6F4C'		Structure: linear fixed		Optional
Record length: 13 bytes		Update activity: low		
Access Conditions:				
READ		CHV1		
UPDATE		ADM		
INVALIDATE		ADM		
REHABILITATE		ADM		
Bytes	Description	M/O	Length	
1	Record type	M	1 byte	
2 to 12	Extension data	M	11 bytes	
13	Identifier	M	1 byte	

For contents and coding see subclause 10.4.10 EF<sub>EXT1</sub>.

#### 10.4.13 EF<sub>BDN</sub> (Barred Dialling Numbers)

This EF contains Barred Dialling Numbers (BDN) and/or Supplementary Service Control strings (SSC). In addition it contains identifiers of associated network/bearer capabilities and identifiers of extension records. It may also contain an associated alpha-tagging.

Identifier: '6F4D'		Structure: linear fixed		Optional
Record length: X+15 bytes		Update activity: low		
Access Conditions:				
READ		CHV1		
UPDATE		CHV2		
INVALIDATE		CHV2		
REHABILITATE		CHV2		
Bytes	Description	M/O	Length	
1 to X	Alpha Identifier	O	X bytes	
X+1	Length of BCD number/SSC contents	M	1 byte	
X+2	TON and NPI	M	1 byte	
X+3 to X+12	Dialling Number/SSC String	M	10 bytes	
X+13	Capability/Configuration Identifier	M	1 byte	
X+14	Extension4 Record Identifier	M	1 byte	
X+15	Comparison Method Information	M	1 byte	

For contents and coding of all data items, except for the Comparison Method Information, see the respective data items of the EF<sub>ADN</sub> (subclause 10.4.1), with the exception that extension records are stored in the EF<sub>EXT4</sub>.

NOTE: The value of X (the number of bytes in the alpha-identifier) may be different to the length denoted X in EF<sub>ADN</sub>.

- Comparison Method Information  
 Contents: this byte describes the comparison method which is associated with that BDN. Its interpretation is not specified but it shall be defined by the operators implementing the BDN feature on their SIMs.  
 Coding: binary; values from 0 to 255 are allowed.

#### 10.4.14 EF<sub>EXT4</sub> (Extension4)

This EF contains extension data of an BDN/SSC (see EXT4 in subclause 10.4.13).

Identifier: '6F4E'		Structure: linear fixed		Optional
Record length: 13 bytes		Update activity: low		
Access Conditions:				
READ		CHV1		
UPDATE		CHV2		
INVALIDATE		ADM		
REHABILITATE		ADM		
Bytes	Description	M/O	Length	
1	Record type	M	1 byte	
2 to 12	Extension data	M	11 bytes	
13	Identifier	M	1 byte	

For contents and coding see subclause 10.4.10 EF<sub>EXT1</sub>.

#### 10.5 Files of GSM (figure 8)

This subclause contains a figure depicting the file structure of the SIM. DF<sub>GSM</sub> shall be selected using the identifier '7F20'. If selection by this means fails, then DCS 1 800 MEs shall, and optionally GSM MEs may then select DF<sub>GSM</sub> with '7F21'.

NOTE 1: The selection of the GSM application using the identifier '7F21', if selection by means of the identifier '7F20' fails, is to ensure backwards compatibility with those Phase 1 SIMs which only support the DCS 1 800 application using the Phase 1 directory DF<sub>DCS1800</sub> coded '7F21'.

NOTE 2: To ensure backwards compatibility with those Phase 1 DCS 1 800 MEs which have no means to select DF<sub>GSM</sub> two options have been specified. These options are given in GSM 09.91 [17].

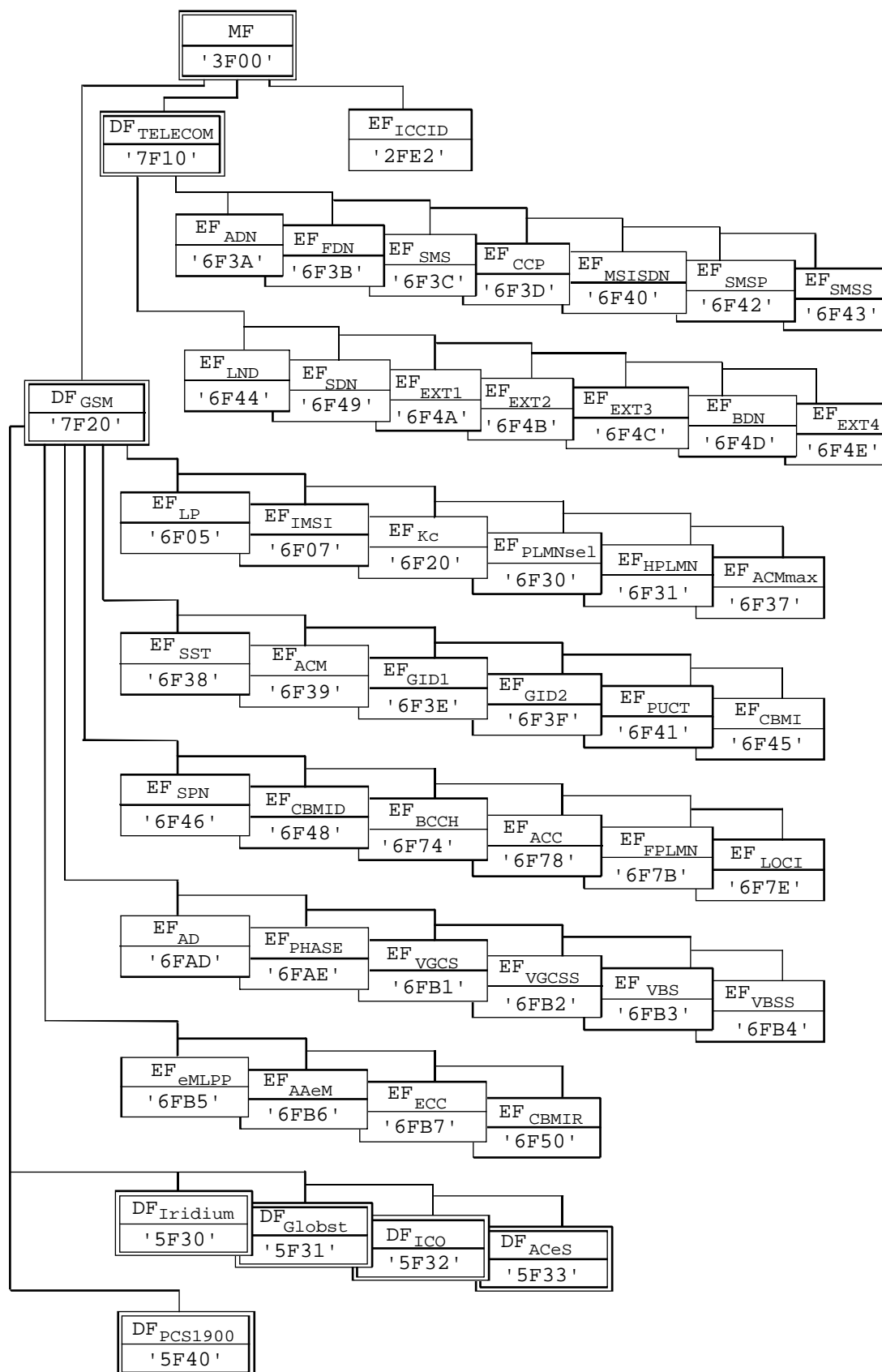


Figure 8: File identifiers and directory structures of GSM



## 11 Application protocol

When involved in GSM administrative management operations, the SIM interfaces with appropriate terminal equipment. These operations are outside the scope of this standard.

When involved in GSM network operations the SIM interfaces with an ME with which messages are exchanged. A message can be a command or a response.

- A GSM command/response pair is a sequence consisting of a command and the associated response.
- A GSM procedure consists of one or more GSM command/response pairs which are used to perform all or part of an application-oriented task. A procedure shall be considered as a whole, that is to say that the corresponding task is achieved if and only if the procedure is completed. The ME shall ensure that, when operated according to the manufacturer's manual, any unspecified interruption of the sequence of command/response pairs which realize the procedure, leads to the abortion of the procedure itself.
- A GSM session of the SIM in the GSM application is the interval of time starting at the completion of the SIM initialization procedure and ending either with the start of the GSM session termination procedure, or at the first instant the link between the SIM and the ME is interrupted.

During the GSM network operation phase, the ME plays the role of the master and the SIM plays the role of the slave.

Some procedures at the SIM/ME interface require MMI interactions. The descriptions hereafter do not intend to infer any specific implementation of the corresponding MMI. When MMI interaction is required, it is marked "MMI" in the list given below.

Some procedures are not clearly user dependent. They are directly caused by the interaction of the MS and the network. Such procedures are marked "NET" in the list given below.

Some procedures are automatically initiated by the ME. They are marked "ME" in the list given below.

The list of procedures at the SIM/ME interface in GSM network operation is as follows:

### General Procedures:

- |   |                  |    |
|---|------------------|----|
| - | Reading an EF    | ME |
| - | Updating an EF   | ME |
| - | Increasing an EF | ME |

### SIM management procedures:

- |   |                                    |    |
|---|------------------------------------|----|
| - | SIM initialization                 | ME |
| - | GSM session termination            | ME |
| - | Emergency call codes request       | ME |
| - | Language preference request        | ME |
| - | Administrative information request | ME |
| - | SIM service table request          | ME |
| - | SIM phase request                  | ME |

### CHV related procedures:

- |   |                        |     |
|---|------------------------|-----|
| - | CHV verification       | MMI |
| - | CHV value substitution | MMI |
| - | CHV disabling          | MMI |
| - | CHV enabling           | MMI |
| - | CHV unblocking         | MMI |

GSM security related procedures:

- GSM algorithms computation	NET
- IMSI request	NET
- Access control information request	NET
- HPLMN search period request	NET
- Location Information	NET
- Cipher key	NET
- BCCH information	NET
- Forbidden PLMN information	NET

Subscription related procedures:

- Dialling Numbers (ADN, FDN, MSISDN, LND, SDN, BDN)	MMI/ME
- Short messages (SMS)	MMI
- Advice of Charge (AoC)	MMI
- Capability Configuration Parameters (CCP)	MMI
- PLMN Selector	MMI
- Cell Broadcast Message Identifier (CBMI)	MMI
- Group Identifier Level 1 (GID1)	MMI/ME
- Group Identifier Level 2 (GID2)	MMI/ME
- Service Provider Name (SPN)	ME
- Voice Group Call Service (VGCS)	MMI/ME
- Voice Broadcast Service (VBS)	MMI/ME
- Enhanced Multi Level Pre-emption and Priority (eMLPP)	MMI/ME
- Depersonalisation Control Keys	ME

SIM Application Toolkit related procedures:

- Data Download via SMS-CB (CBMID)	NET
- Data Download via SMS-PP	NET
- Menu selection	MMI
- Call Control	MMI/ME/NET
- Proactive SIM	MMI/ME/NET

The procedures listed in subclause 11.2 are basically required for execution of the procedures in subclauses 11.3, 11.4 and 11.5. The procedures listed in subclauses 11.3 and 11.4 are mandatory (see GSM 02.17 [6]). The procedures listed in 11.5 are only executable if the associated services, which are optional, are provided in the SIM. However, if the procedures are implemented, it shall be in accordance with subclause 11.5.

If a procedure is related to a specific service indicated in the SIM Service Table, it shall only be executed if the corresponding bits denote this service as "allocated and activated" (see subclause 10.3.7). In all other cases this procedure shall not start.

## 11.1 General procedures

### 11.1.1 Reading an EF

The ME selects the EF and sends a READ command. This contains the location of the data to be read. If the access condition for READ is fulfilled, the SIM sends the requested data contained in the EF to the ME. If the access condition is not fulfilled, no data will be sent and an error code will be returned.

### 11.1.2 Updating an EF

The ME selects the EF and sends an UPDATE command. This contains the location of the data to be updated and the new data to be stored. If the access condition for UPDATE is fulfilled, the SIM updates the selected EF by replacing the existing data in the EF with that contained in the command. If the access condition is not fulfilled, the data existing in the EF will be unchanged, the new data will not be stored, and an error code will be returned.

### 11.1.3 Increasing an EF

The ME selects the EF and sends an INCREASE command. This contains the value which has to be added to the contents of the last updated/increased record. If the access condition for INCREASE is fulfilled, the SIM increases the existing value of the EF by the data contained in the command, and stores the result. If the access condition is not fulfilled, the data existing in the EF will be unchanged and an error code will be returned.

NOTE: The identification of the data within an EF to be acted upon by the above procedures is specified within the command. For the procedures in subclauses 11.1.1 and 11.1.2 this data may have been previously identified using a SEEK command, e.g. searching for an alphanumeric pattern.

## 11.2 SIM management procedures

Phase 2 MEs shall support all SIMs which comply with the mandatory requirements of Phase 1, even if these SIMs do not comply with all the mandatory requirements of Phase 2. Furthermore, Phase 2 MEs shall take care of potential incompatibilities with Phase 1 SIMs which could arise through use of inappropriate commands or misinterpretation of response data. Particular note should be taken of making a false interpretation of RFU bytes in a Phase 1 SIM having contradictory meaning in Phase 2; e.g. indication of EF invalidation state.

### 11.2.1 SIM initialization

After SIM activation (see subclause 4.3.2), the ME selects the Dedicated File  $DF_{GSM}$  and optionally attempts to select  $EF_{ECC}$ . If  $EF_{ECC}$  is available, the ME requests the emergency call codes. The ME requests the Language Preference. If this EF is not available or the languages in the EF are not supported then the ME selects a default language. It then runs the CHV1 verification procedure.

If the CHV1 verification procedure is performed successfully, the ME then runs the SIM Phase request procedure.

For a SIM requiring PROFILE DOWNLOAD, then the ME shall perform the PROFILE DOWNLOAD procedure in accordance with GSM 11.14 [27]. When BDN is enabled on a SIM, the PROFILE DOWNLOAD procedure is used to indicate to the SIM whether the ME supports the "Call Control by SIM" facility. If so, then the SIM is able to allow the REHABILITATE command to rehabilitate  $EF_{IMSI}$  and  $EF_{LOCI}$ .

If the ME detects a SIM of Phase 1, it shall omit the following procedures relating to FDN and continue with the Administrative Information request. The ME may omit procedures not defined in Phase 1 such as HPLMN Search Period request.

For a SIM of Phase 2 or greater, GSM operation shall only start if one of the two following conditions is fulfilled:

- if  $EF_{IMSI}$  and  $EF_{LOCI}$  are not invalidated, the GSM operation shall start immediately;
- if  $EF_{IMSI}$  and  $EF_{LOCI}$  are invalidated, the ME rehabilitates these two EFs.

MEs without FDN capability but with Call control by SIM facility shall not rehabilitate  $EF_{IMSI}$  and/or  $EF_{LOCI}$  if FDN is enabled in the SIM and therefore have no access to these EFs. GSM operation will therefore be prohibited;

MEs without FDN capability and without Call control by SIM facility shall not rehabilitate  $EF_{IMSI}$  and/or  $EF_{LOCI}$  and therefore have no access to these EFs. GSM operation will therefore be prohibited.

It is these mechanisms which are used for control of services n°3 and n°31 by the use of SIMs for these services which always invalidate these two EFs at least before the next command following selection of either EF.

NOTE: When FDN and BDN are both enabled, and if the ME supports FDN but does not support the Call control by SIM facility, the rehabilitation of EF<sub>IMSI</sub> and EF<sub>LOCI</sub> will not be successful because of a restriction mechanism of the REHABILITATE command linked to the BDN feature.

When EF<sub>IMSI</sub> and EF<sub>LOCI</sub> are successfully rehabilitated, if the FDN capability procedure indicates that:

- i) FDN is allocated and activated in the SIM; and FDN is set "enabled", i.e. ADN "invalidated" or not activated; and the ME supports FDN;
- or ii) FDN is allocated and activated in the SIM; and FDN is set "disabled", i.e. ADN "not invalidated";
- or iii) FDN is not allocated or not activated;

then GSM operation shall start.

In all other cases GSM operation shall not start.

Afterwards, the ME runs the following procedures:

- Administrative Information request;
- SIM Service Table request;
- IMSI request;
- Access Control request;
- HPLMN Search Period request;
- PLMN selector request;
- Location Information request;
- Cipher Key request;
- BCCH information request;
- Forbidden PLMN request;
- CBMID request;
- Depersonalisation Control Keys request

If the SIM service table indicates that the proactive SIM service is active, then from this point onwards, the ME, if it supports the proactive SIM service, shall send STATUS commands at least every 30 s during idle mode as well as during calls, in order to enable the proactive SIM to respond with a command. The SIM may send proactive commands (see GSM 11.14 [27]), including a command to change the interval between STATUS commands from the ME, when in idle mode. In-call requirements for STATUS for SIM Presence Detection are unchanged by this command.

After the SIM initialization has been completed successfully, the MS is ready for a GSM session.

### 11.2.2 GSM session termination

NOTE 1: This procedure is not to be confused with the deactivation procedure in subclause 4.3.2.

The GSM session is terminated by the ME as follows:

The ME runs all the procedures which are necessary to transfer the following subscriber related information to the SIM:

- Location Information update;
- Cipher Key update;
- BCCH information update;
- Advice of Charge increase;
- Forbidden PLMN update.

As soon as the SIM indicates that these procedures are completed, the ME/SIM link may be deactivated.

Finally, the ME deletes all these subscriber related information elements from its memory.

NOTE 2: If the ME has already updated any of the subscriber related information during the GSM Session, and the value has not changed until GSM session termination, the ME may omit the respective update procedure.

### 11.2.3 Emergency Call Codes

Request: The ME performs the reading procedure with EF<sub>ECC</sub>.

Update: The ME performs the updating procedure with EF<sub>ECC</sub>.

NOTE: The update procedure is only applicable when access conditions of ADM for update is set to ALW, CHV1 or CHV2.

### 11.2.4 Language preference

Request: The ME performs the reading procedure with EF<sub>LP</sub>.

Update: The ME performs the updating procedure with EF<sub>LP</sub>.

### 11.2.5 Administrative information request;

The ME performs the reading procedure with EF<sub>AD</sub>.

### 11.2.6 SIM service table request

The ME performs the reading procedure with EF<sub>SST</sub>.

### 11.2.7 SIM phase request

The ME performs the reading procedure with EF<sub>PHASE</sub>.

### 11.2.8 SIM Presence Detection and Proactive Polling

As an additional mechanism, to ensure that the SIM has not been removed during a card session, the ME sends, at frequent intervals, a STATUS command during each call. A STATUS command shall be issued within all 30 second periods of inactivity on the SIM-ME interface during a call. Inactivity in this case is defined as starting at the end of the last communication or the last issued STATUS command. If the response data is not that of the current DF, the call shall be terminated immediately. This procedure shall be used in addition to a mechanical or other device used to detect the removal of a SIM.

If the ME supports the proactive SIM service, and the SIM has this service activated in its Service Table, then during idle mode the ME shall send STATUS commands to the SIM at intervals no longer than the interval negotiated with the SIM (see GSM 11.14 [27]).

## 11.3 CHV related procedures

A successful completion of one of the following procedures grants the access right of the corresponding CHV for the GSM session. This right is valid for all files within the GSM application protected by this CHV.

After a third consecutive presentation of a wrong CHV to the SIM, not necessarily in the same GSM session, the CHV status becomes "blocked" and the access right previously granted by this CHV is lost immediately.

An access right is not granted if any of the following procedures are unsuccessfully completed or aborted.

### 11.3.1 CHV verification

The ME checks the CHV status. If the CHV status is "blocked", the procedure ends and is finished unsuccessfully.

If the CHV status is not "blocked", the ME reads the CHV enabled/disabled indicator. If this is "disabled", the procedure is finished successfully.

If the CHV status is not "blocked" and the enabled/disabled indicator is set "enabled", the ME uses the VERIFY CHV function. If the CHV presented by the ME is equal to the corresponding CHV stored in the SIM, the procedure is finished successfully. If the CHV presented by the ME is not equal to the corresponding CHV stored in the SIM, the procedure ends and is finished unsuccessfully.

### 11.3.2 CHV value substitution

The ME checks the CHV status. If the CHV status is "blocked" or "disabled", the procedure ends and is finished unsuccessfully.

If the CHV status is not "blocked" and the enabled/disabled indicator is set "enabled", the ME uses the CHANGE CHV function. If the old CHV presented by the ME is equal to the corresponding CHV stored in the SIM, the new CHV presented by the ME is stored in the SIM and the procedure is finished successfully.

If the old CHV and the CHV in memory are not identical, the procedure ends and is finished unsuccessfully.

### 11.3.3 CHV disabling

Requirement: Service n°1 "allocated and activated".

The ME checks the CHV1 status. If the CHV1 status is "blocked", the procedure ends and is finished unsuccessfully.

If the CHV1 status is not "blocked", the ME reads the CHV1 enabled/disabled indicator. If this is set "disabled", the procedure ends and is finished unsuccessfully.

If the CHV1 status is not "blocked" and the enabled/disabled indicator is set "enabled", the ME uses the DISABLE CHV function. If the CHV1 presented by the ME is equal to the CHV1 stored in the SIM, the status of CHV1 is set "disabled" and the procedure is finished successfully. If the CHV1 presented by the ME is not equal to the CHV1 stored in the SIM, the procedure ends and is finished unsuccessfully.

### 11.3.4 CHV enabling

The ME checks the CHV1 status. If the CHV1 status is "blocked", the procedure ends and is finished unsuccessfully.

If the CHV1 status is not "blocked", the ME reads the CHV1 enabled/disabled indicator. If this is set "enabled", the procedure ends and is finished unsuccessfully.

If the CHV1 status is not "blocked" and the enabled/disabled indicator is set "disabled", the ME uses the ENABLE CHV function. If the CHV1 presented by the ME is equal to the CHV1 stored in the SIM, the status of CHV1 is set "enabled" and the procedure is finished successfully. If the CHV presented by the ME is not equal to the CHV1 stored in the SIM, the procedure ends and is finished unsuccessfully.

### 11.3.5 CHV unblocking

The execution of the CHV unblocking procedure is independent of the corresponding CHV status, i.e. being blocked or not.

The ME checks the UNBLOCK CHV status. If the UNBLOCK CHV status is "blocked", the procedure ends and is finished unsuccessfully.

If the UNBLOCK CHV status is not "blocked", the ME uses the UNBLOCK CHV function. If the UNBLOCK CHV presented by the ME is equal to the corresponding UNBLOCK CHV stored in the SIM, the relevant CHV status becomes "unblocked" and the procedure is finished successfully. If the UNBLOCK CHV presented by the ME is not equal to the corresponding UNBLOCK CHV stored in the SIM, the procedure ends and is finished unsuccessfully.

## 11.4 GSM security related procedures

### 11.4.1 GSM algorithms computation

The ME selects  $DF_{GSM}$  and uses the RUN GSM ALGORITHM function (see subclause 8.16). The response SRES-Kc is sent to the ME when requested by a subsequent GET RESPONSE command.

### 11.4.2 IMSI request

The ME performs the reading procedure with  $EF_{IMSI}$ .

### 11.4.3 Access control request

The ME performs the reading procedure with  $EF_{ACC}$ .

### 11.4.4 HPLMN search period request

The ME performs the reading procedure with  $EF_{HPLMN}$ .

### 11.4.5 Location information

Request: The ME performs the reading procedure with  $EF_{LOC}$ .

Update: The ME performs the updating procedure with  $EF_{LOC}$ .

### 11.4.6 Cipher key

Request: The ME performs the reading procedure with  $EF_{KC}$ .

Update: The ME performs the updating procedure with  $EF_{KC}$ .

### 11.4.7 BCCH information

Request: The ME performs the reading procedure with  $EF_{BCCH}$ .

Update: The ME performs the updating procedure with  $EF_{BCCH}$ .

### 11.4.8 Forbidden PLMN

Request: The ME performs the reading procedure with  $EF_{PLMN}$ .

Update: The ME performs the updating procedure with  $EF_{PLMN}$ .

## 11.5 Subscription related procedures

### 11.5.1 Dialling numbers

The following procedures may not only be applied to  $EF_{ADN}$  and its associated extension files  $EF_{CCP}$  and  $EF_{EXT1}$  as described in the procedures below, but also to  $EF_{FDN}$ ,  $EF_{MSISDN}$ ,  $EF_{LND}$ ,  $EF_{BDN}$  and  $EF_{SDN}$  and their associated extension files. If these files are not allocated and activated, as denoted in the SIM service table, the current procedure shall be aborted and the appropriate EFs shall remain unchanged.

As an example, the following procedures are described as applied to ADN.

Requirement: Service n°2 "allocated and activated"  
(Service n°3 for FDN;  
Service n°9 for MSISDN;  
Service n°13 for LND;  
Service n°18 for SDN);  
Service n°31 for BDN.)

Update: The ME analyses and assembles the information to be stored as follows (the byte identifiers used below correspond to those in the description of the EFs in subclauses 10.4.1, 10.4.4 and 10.4.10):

- i) The ME identifies the Alpha-tagging, Capability/Configuration Identifier and Extension1 Record Identifier.
- ii) The dialling number/SSC string shall be analysed and allocated to the bytes of the EF as follows:
  - if a "+" is found, the TON identifier is set to "International";
  - if 20 or less "digits" remain, they shall form the dialling number/SSC string;
  - if more than 20 "digits" remain, the procedure shall be as follows:

Requirement:

Service n°10 "allocated and activated"  
(Service n°10 applies also for MSISDN and LND;  
Service n°11 for FDN;  
Service n°19 for FDN;  
Service n°32 for BDN.)

The ME seeks for a free record in EF<sub>EXT1</sub>. If an Extension1 record is not marked as "free", the ME runs the Purge procedure. If an Extension1 record is still unavailable, the procedure is aborted.

The first 20 "digits" are stored in the dialling number/SSC string. The value of the length of BCD number/SSC contents is set to the maximum value, which is 11. The Extension1 record identifier is coded with the associated record number in the EF<sub>EXT1</sub>. The remaining digits are stored in the selected Extension1 record where the type of the record is set to "overflow data". The first byte of the Extension1 record is set with the number of bytes of the remaining overflow data. The number of bytes containing digit information is the sum of the length of BCD number/SSC contents of EF<sub>ADN</sub> and byte 2 of all associated chained Extension1 records containing overflow data (see subclauses 10.4.1 and 10.4.10).

- iii) If a called party subaddress is associated to the ADN/SSC the procedure shall proceed as follows:

Requirement:

Service n°10 "allocated and activated"  
(Service n°10 applies also for MSISDN and LND;  
Service n°11 for FDN;  
Service n°19 for SDN;  
Service n°32 for BDN.)

If the length of the called party subaddress is less than or equal to 11 bytes (see GSM 04.08 [15] for coding):

The ME seeks for a free record in EF<sub>EXT1</sub>. If an Extension1 record is not marked as "free", the ME runs the Purge procedure. If an Extension1 record is still unavailable, the procedure is aborted.

The ME stores the called party subaddress in the Extension1 record, and sets the Extension1 record type to "called party subaddress".



If the length of the called party subaddress is greater than 11 bytes (see GSM 04.08 [15] for coding):

The ME seeks for two free records in EF<sub>EXT1</sub>. If no such two records are found, the ME runs the Purge procedure. If two Extension1 records are still unavailable, the procedure is aborted.

The ME stores the called party subaddress in the two Extension1 records. The identifier field in the Extension1 record containing the first part of the subaddress data is coded with the associated EF<sub>EXT1</sub> record number containing the second part of the subaddress data. Both Extension1 record types are set to "called party subaddress".

Once i), ii), and iii) have been considered the ME performs the updating procedure with EF<sub>ADN</sub>. If the SIM has no available empty space to store the received ADN/SSC, or if the procedure has been aborted, the ME advises the user.

NOTE 1: For reasons of memory efficiency the ME is allowed to analyse all Extension1 records to recognize if the overflow or subaddress data to be stored is already existing in EF<sub>EXT1</sub>. In this case the ME may use the existing chain or the last part of the existing chain from more than one ADN (LND, MSISDN). The ME is only allowed to store extension data in unused records. If existing records are used for multiple access, the ME shall not change any data in those records to prevent corruption of existing chains.

Erasure: The ME sends the identification of the information to be erased. The content of the identified record in EF<sub>ADN</sub> is marked as "free".

Request: The ME sends the identification of the information to be read. The ME shall analyse the data of EF<sub>ADN</sub> (see subclause 10.4.1) to ascertain, whether additional data is associated in EF<sub>EXT1</sub> or EF<sub>CCP</sub>. If necessary, then the ME performs the reading procedure on these EFs to assemble the complete ADN/SSC.

Purge: The ME shall access each EF which references EF<sub>EXT1</sub> (EF<sub>EXT2</sub>) for storage and shall identify records in these files using extension data (overflow data or called party subaddress). Note that existing chains have to be followed to the end. All referred Extension1 (Extension2) records are noted by the ME. All Extension1 (Extension2) records not noted are then marked by the ME as "free" by setting the whole record to 'FF'.

NOTE 2: Dependent upon the implementation of the ME, and in particular the possibility of erasure of ADN/SSC records by Phase 1 MEs, which have no knowledge of the EF<sub>EXT1</sub>, it is possible for Extension1 records to be marked as "used space" (not equal to 'FF'), although in fact they are no longer associated with an ADN/SSC record.

The following three procedures are only applicable to service n°3 (FDN).

FDN capability request. The ME has to check the state of service n°3, i.e. if FDN is "enabled" or "disabled". In case of enabled FDN, the ME has to switch to a restrictive terminal mode (see GSM 02.07). To ascertain the state of FDN, the ME checks in EF<sub>SST</sub> whether or not ADN is activated. If ADN is not activated, service n°3 is enabled. If ADN is activated, the ME checks the response data of EF<sub>ADN</sub>. If EF<sub>ADN</sub> is invalidated, service n°3 is enabled. In all other cases service n°3 is disabled.

FDN disabling. The FDN disabling procedure requires that CHV2 verification procedure has been performed successfully and that ADN is activated. If not, FDN disabling procedure will not be executed successfully. To disable FDN capability, the ME rehabilitates EF<sub>ADN</sub>. The invalidate/rehabilitate flag of EF<sub>ADN</sub>, which is implicitly set by the REHABILITATE command, is at the same time the indicator for the state of the service n°3. If ADN is not activated, disabling of FDN is not possible and thus service n°3 is always enabled (see FDN capability request).

NOTE 3: If FDN is disabled (by rehabilitating  $EF_{ADN}$ ) using an administrative terminal then the FDN disabling procedure of this administrative terminal need also to rehabilitate  $EF_{IMSI}$  and  $EF_{LOCI}$  to ensure normal operation of the SIM in a phase 1 ME or a phase 2 ME which does not support FDN.

FDN enabling. The FDN enabling procedure requires that CHV2 verification procedure has been performed successfully. If not, FDN enabling procedure will not be executed successfully. To enable FDN capability, the ME invalidates  $EF_{ADN}$ . The invalidate/rehabilitate flag of  $EF_{ADN}$ , which is implicitly cleared by the INVALIDATE command, is at the same time the indicator for the state of the service n°3 (see FDN capability request). If ADN is not activated, service n°3 is always enabled.

Invalidated ADNs may optionally still be readable and updatable depending on the file status (see subclause 9.3)

The following three procedures are only applicable to service n°31 (BDN).

BDN capability request. The ME has to check the state of service n°31, i.e. if BDN is "enabled" or "disabled". BDN service is "enabled" only if service n°31 is allocated and activated, and  $EF_{BDN}$  is not invalidated. In all other cases, the BDN service is "disabled".

BDN disabling. The BDN disabling procedure requires that CHV2 verification procedure has been performed successfully. If not, BDN disabling procedure will not be executed successfully. To disable BDN capability, the ME invalidates  $EF_{BDN}$ . The invalidate/rehabilitate flag of  $EF_{BDN}$ , which is implicitly cleared by the INVALIDATE command, is at the same time the indicator for the state of the service n°31 (see BDN capability request).

BDN enabling. The BDN enabling procedure requires that CHV2 verification procedure has been performed successfully. If not, BDN enabling procedure will not be executed successfully. To enable BDN capability, the ME rehabilitates  $EF_{BDN}$ . The invalidate/rehabilitate flag of  $EF_{BDN}$ , which is implicitly set by the REHABILITATE command, is at the same time the indicator for the state of the service n°31 (see BDN capability request).

Invalidated BDNs (when BDN capability is disabled) may optionally still be readable and updatable depending on the file status (see subclause 9.3).

### 11.5.2 Short messages

- Requirement: Service n°4 "allocated and activated".
- Request: The SIM seeks for the identified short message. If this message is found, the ME performs the reading procedure with  $EF_{SMS}$ .  
If this message is not found within the SIM memory, the SIM indicates that to the ME.
- Update: The ME looks for the next available area to store the short message. If such an area is available, it performs the updating procedure with  $EF_{SMS}$ .  
If there is no available empty space in the SIM to store the received short message, a specific MMI will have to take place in order not to loose the message.
- Erase: The ME will select in the SIM the message area to be erased. Depending on the MMI, the message may be read before the area is marked as "free". After performing the updating procedure with  $EF_{SMS}$ , the memory allocated to this short message in the SIM is made available for a new incoming message. The memory of the SIM may still contain the old message until a new message is stored in this area.

**11.5.3 Advice of Charge (AoC)**

Requirement: Service n°5 "allocated and activated".

Accumulated Call Meter.

Request: The ME performs the reading procedure with  $EF_{ACM}$ . The SIM returns the last updated value of the ACM.

Initialization: The ME performs the updating procedure with  $EF_{ACM}$  using the new initial value.

Increasing: The ME performs the increasing procedure with  $EF_{ACM}$  sending the value which has to be added.

Accumulated Call Meter Maximum Value.

Request: The ME performs the reading procedure with  $EF_{ACMmax}$ .

Initialization: The ME performs the updating procedure with  $EF_{ACMmax}$  using the new initial maximum value.

Price per Unit and Currency Table (PUCT).

Request: The ME performs the reading procedure with  $EF_{PUCT}$ .

Update: The ME performs the updating procedure with  $EF_{PUCT}$ .

**11.5.4 Capability configuration parameters**

Requirement: Service n°6 "allocated and activated".

Request: The ME performs the reading procedure with  $EF_{CCP}$ .

Update: The ME performs the updating procedure with  $EF_{CCP}$ .

Erasure: The ME sends the identification of the requested information to be erased. The content of the identified record in  $EF_{CCP}$  is marked as "free".

**11.5.5 PLMN selector**

Requirement: Service n°7 "allocated and activated".

Request: The ME performs the reading procedure with  $EF_{PLMNsel}$ .

Update: The ME performs the updating procedure with  $EF_{PLMNsel}$ .

**11.5.6 Cell broadcast message identifier**

Requirement: Service n°14 "allocated and activated".

Request: The ME performs the reading procedure with  $EF_{CBMI}$ .

Update: The ME performs the updating procedure with  $EF_{CBMI}$ .

**11.5.7 Group identifier level 1**

Requirement: Service n°15 "allocated and activated".

Request: The ME performs the reading procedure with  $EF_{GID1}$ .

### 11.5.8 Group identifier level 2

Requirement: Service n°16 "allocated and activated".

Request: The ME performs the reading procedure with EF<sub>GID2</sub>.

### 11.5.9 Service Provider Name

Requirement: Service n°17 "allocated and activated".

Request: The ME performs the reading procedure with EF<sub>SPN</sub>.

### 11.5.10 Voice Group Call Services

Requirement: Service n°18 "allocated and activated".

Voice Group Call Service

Request: The ME performs the reading procedure with EF<sub>VGCS</sub>.

Voice Group Call Service Status

Request: The ME performs the reading procedure with EF<sub>VGCS</sub>.

Update: The ME performs the updating procedure with EF<sub>VGCS</sub>.

### 11.5.11 Voice Broadcast Services

Requirement: Service n°19 "allocated and activated".

Voice Broadcast Service

Request: The ME performs the reading procedure with EF<sub>VBS</sub>.

Voice Broadcast Service Status

Request: The ME performs the reading procedure with EF<sub>VBSS</sub>.

Update: The ME performs the updating procedure with EF<sub>VBSS</sub>.

### 11.5.12 Enhanced Multi Level Pre-emption and Priority Service

Requirement: Service n°18 "allocated and activated".

Enhanced Multi Level Pre-emption and Priority

Request: The ME performs the reading procedure with EF<sub>eMLPP</sub>.

Automatic Answer on eMLPP service

Request: The ME performs the reading procedure with EF<sub>AAeM</sub>.

Update: The ME performs the updating procedure with EF<sub>AAeM</sub>.

### 11.5.13 Cell Broadcast Message range identifier

Requirement: Service n°30 "allocated and activated".

Request: The ME performs the reading procedure with EF<sub>CBMIR</sub>.

Update: The ME performs the updating procedure with EF<sub>CBMIR</sub>.

### 11.5.14 Depersonalisation Control Keys

Requirement: Service n°33 "allocated and activated".

Request: The ME performs the reading procedure with EF<sub>DCK</sub>.

## 11.6 SIM Application Toolkit related procedures

SIM Application Toolkit is an optional feature. The higher level procedures, and contents and coding of the commands, are given in GSM 11.14 [27]. Procedures relating to the transmission of commands and responses across the SIM/ME interface are given in this subclause. A SIM or ME supporting SIM Application Toolkit shall conform to the requirements given in this subclause.

### 11.6.1 Initialization procedure

A SIM supporting SIM Application Toolkit shall indicate this through relevant data in EF<sub>Phase</sub> and EF<sub>SST</sub>, as defined in the relevant subclauses above.

An ME supporting SIM Application Toolkit shall perform initialization as defined in the SIM Initialization subclause above.

### 11.6.2 Proactive polling

An ME supporting proactive SIM (part of SIM Application Toolkit) shall support the polling procedure as defined above.

### 11.6.3 Support of commands

A SIM or ME supporting SIM Application Toolkit shall support the commands TERMINAL PROFILE, ENVELOPE, FETCH and TERMINAL RESPONSE.

These commands shall never be used if either the SIM or ME does not support SIM Application Toolkit. Therefore standard SIMs and MEs do not need to support these commands.

### 11.6.4 Support of response codes

A SIM or ME supporting SIM Application Toolkit shall support the response status words (SW1 SW2) '91 XX' and '93 00'.

These responses shall never be used if either the SIM or ME does not support SIM Application Toolkit. Therefore standard SIMs and MEs do not need to support them.

### 11.6.5 Command-response pairs

Using the terminology where the ME issues a command and the SIM a response, ending in status words SW1 SW2, a command-response pair is considered as a single transaction. Each transaction is initiated by the ME and terminated by the SIM. One transaction must be completed before the next one can be initiated. This protocol applies to SIM Application Toolkit in the same way as it does to normal operation.

### 11.6.6 Independence of normal GSM and SIM Application Toolkit tasks

Normal GSM operation (relating to general, CHV related, GSM security related, and subscription related procedures) and SIM Application Toolkit operation shall be logically independent, both in the SIM and in the ME.

Specifically, this means:

- The currently selected EF and current record pointer in the normal GSM task shall remain unchanged, if still valid, as seen by the ME, irrespective of any SIM Application Toolkit activity.
- Between successive SIM Application Toolkit related command-response pairs, other normal GSM related command-response pairs can occur. The SIM Application Toolkit task status shall remain unchanged by these command-response pairs.

### 11.6.7 Use of BUSY status response

If for any reason the SIM Application Toolkit task of the SIM cannot process an ENVELOPE command issued by the ME at present (e.g. other SIM Application Toolkit processes are already running, and this additional one would cause an overload), the SIM can respond with a status response of '93 00'. The ME may re-issue the command at a later stage.

The BUSY status response has no impact on normal GSM operation.

### 11.6.8 Use of NULL procedure byte

The NULL procedure byte provides a mechanism for the SIM to obtain more time before supplying the response part of a command-response pair, during which time the ME is unable to send further commands to the SIM.

If a SIM Application Toolkit activity in the SIM runs for too long, this may prevent the ME from sending "normal GSM" commands which are time-critical, e.g. RUN GSM ALGORITHM. A MORE TIME command is defined in GSM 11.14 [27], which ensures that the SIM Application Toolkit task in the SIM gets more processing time, while at the same time freeing the SIM/ME interface. This should be used in preference to NULL procedure bytes ('60').

### 11.6.9 Using the TERMINAL PROFILE, ENVELOPE, and TERMINAL RESPONSE commands

These commands are part of the set used by SIM Application Toolkit. The use of these commands, the occasions where they are required, and the command and response parameters associated with the commands, are specified in GSM 11.14 [27]. The ME completes the command parameters/data of the relevant command and sends the command to the SIM. The transmitted data is processed by the SIM in a specific way depending on the tag value in the command parameters.

A SIM or ME not supporting SIM Application Toolkit does not need to support these commands.

### 11.6.10 Using the FETCH command

This command is used by SIM Application Toolkit. The use of this command, the occasions where it is required, and the command and response parameters associated with the command, are specified in GSM 11.14 [27]. It is similar in function to GET RESPONSE, in that it requests response parameters from the SIM, following a '91 XX' status response. The transmitted response data from the SIM is processed by the ME in a specific way depending on the tag value in the response parameters.

A SIM or ME not supporting SIM Application Toolkit does not need to support this command.

#### **11.6.11 Data Download via SMS-CB**

Requirement: Service n°25 "allocated and activated".

The ME shall perform the reading procedure with  $EF_{CBMID}$ . On receiving a cell broadcast message with an identifier which matches an identifier in  $EF_{CBMID}$ , the ME shall pass the CB message to the SIM using the ENVELOPE command. If a match is not found and service no. 14 is "allocated and activated", then the message identifier is checked against those in  $EF_{CBMI}$ .

#### **11.6.12 Data Download via SMS-PP**

Requirement: Service n°26 "allocated and activated".

The procedures and commands for Data Download via SMS-PP are defined in GSM 11.14 [27].

#### **11.6.13 Menu selection**

Requirement: Service n°27 "allocated and activated".

The procedures and commands for Menu Selection are defined in GSM 11.14 [27].

#### **11.6.14 Call Control**

Requirement: Service n°28 "allocated and activated".

The procedures and commands for Call Control are defined in GSM 11.14 [27]. It is mandatory for the ME to perform the procedures if it has indicated that it supports Call Control in the TERMINAL PROFILE command. When BDN is enabled, the Call control facility of the ME is used by the SIM to support the BDN service.

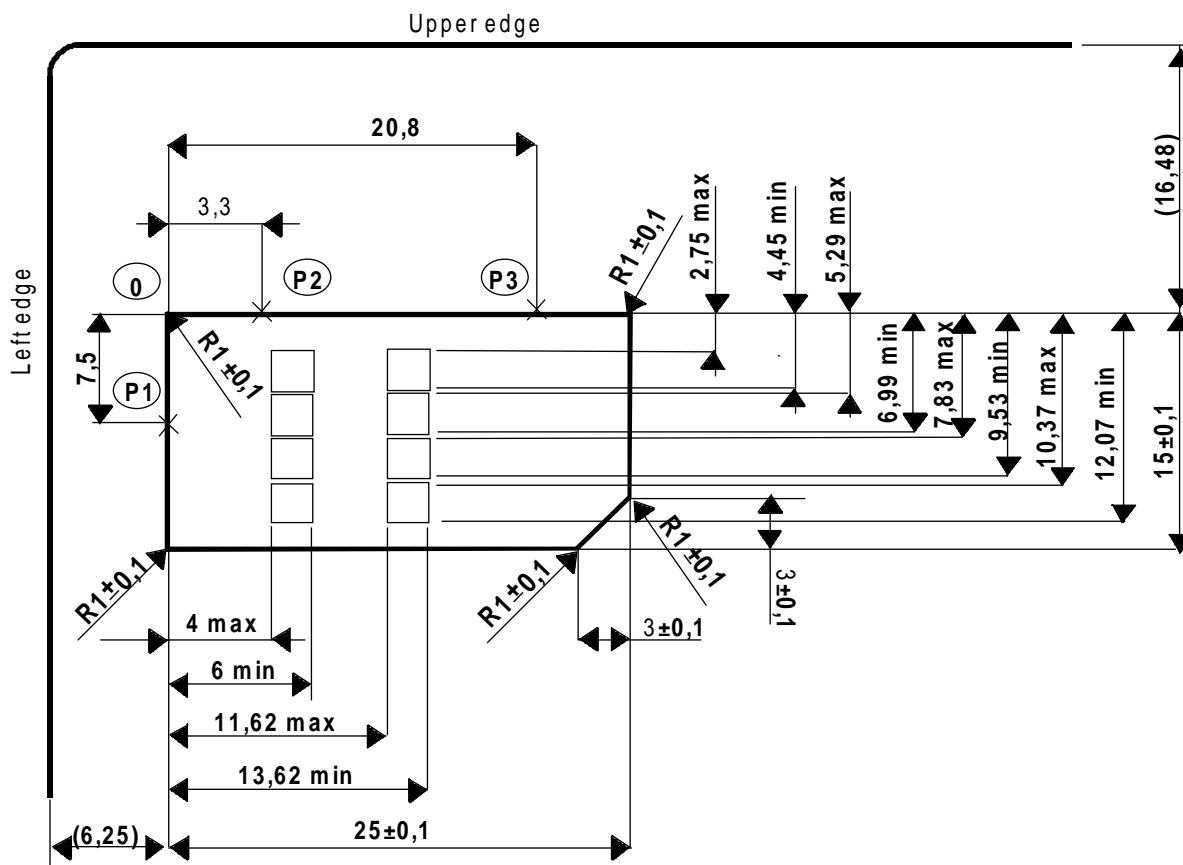
#### **11.6.15 Proactive SIM**

Requirement: Service n°29 "allocated and activated".

The procedures and commands for Proactive SIM, at the application level, are defined in GSM 11.14 [27].

### Annex A (normative): Plug-in SIM

This annex specifies the dimensions of the Plug-in SIM as well as the dimensions and location of the contacts of the Plug-in SIM. For further details of the Plug-in SIM see clause 4.

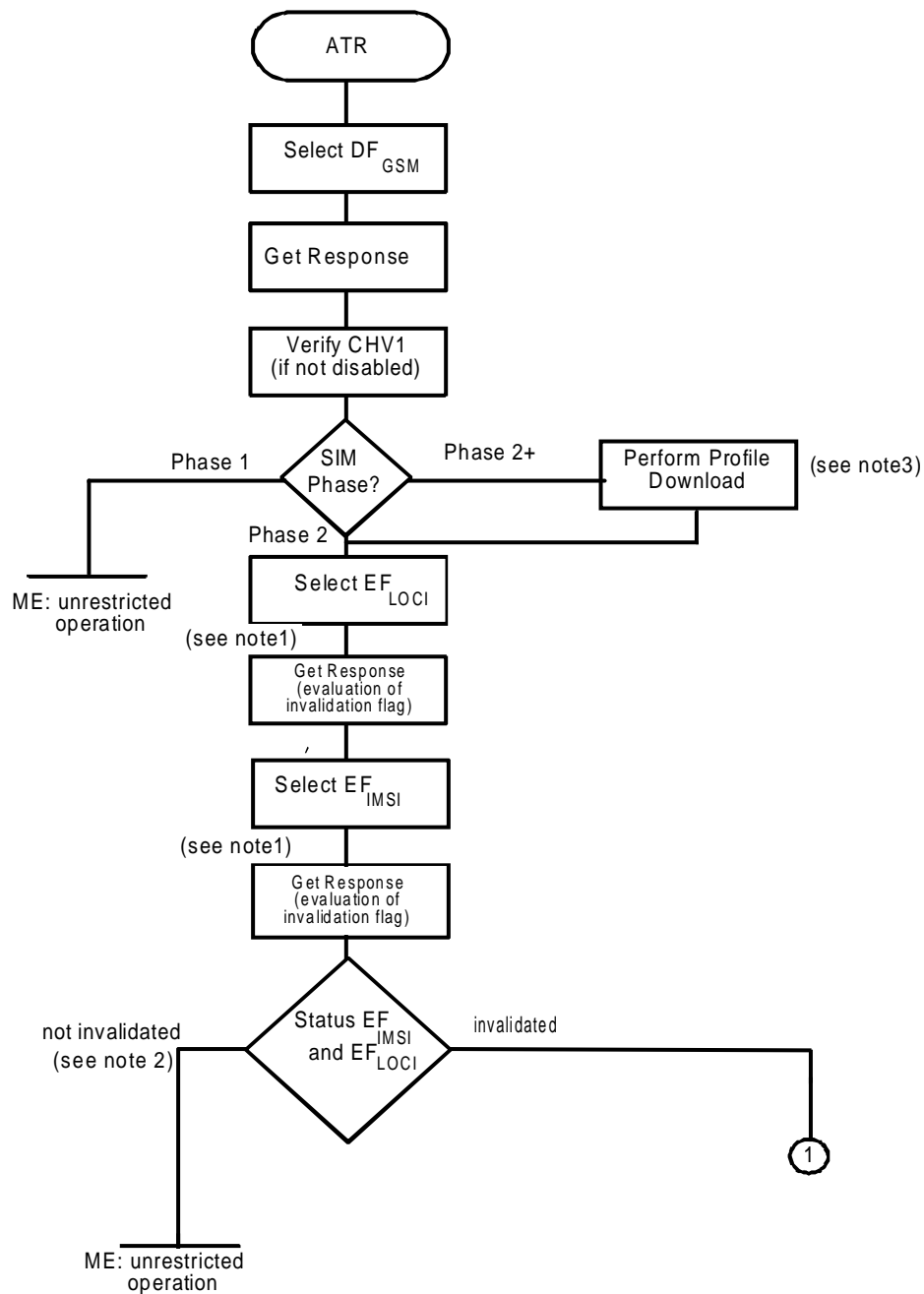


NOTE: The Plug-in SIM may be "obtained" by cutting away excessive plastic of an ID-1 SIM. The values in parenthesis in figure A.1 show the positional relationship between the Plug-in and the ID-1 SIM and are for information only.

Figure A.1: Plug-in SIM



**Annex B (informative): FDN/BDN Procedures**

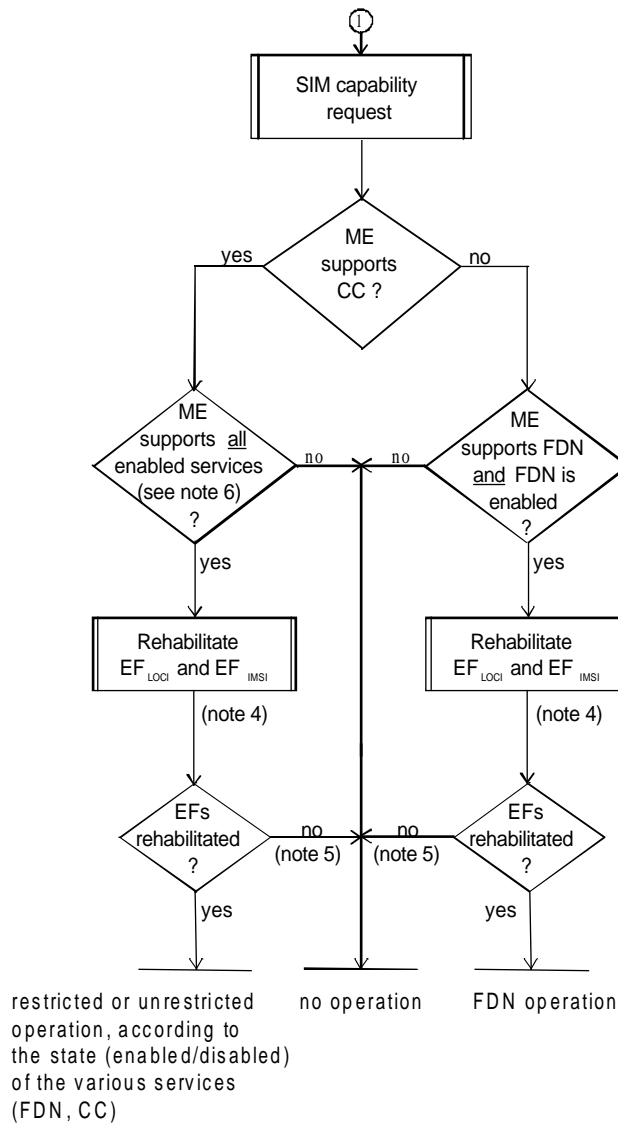


NOTE 1: In case of enabled FDN and/or enabled BDN, the EF has been invalidated by the SIM at no later than this stage.

NOTE 2: Invalidation of only one of the two EFs is not allowed for FDN and BDN.

NOTE 3: For SIMs with enabled BDN this procedure is used to check whether the ME supports the Call Control by the SIM facility.

**Example of an Initialization Procedure of a FDN/BDN SIM (see subclause 11.2.1)**



NOTE 4: In case of "BDN enabled", the SIM only allows rehabilitation of the EF<sub>IMSI</sub> and EF<sub>LOCI</sub>, if the ME has indicated its CC-capability to the SIM (by PROFILE\_DOWNLOAD).

NOTE 5: Possibility for future "restricting" services to use the internal SIM mechanism of invalidation of EF<sub>IMSI</sub> and EF<sub>LOCI</sub>.

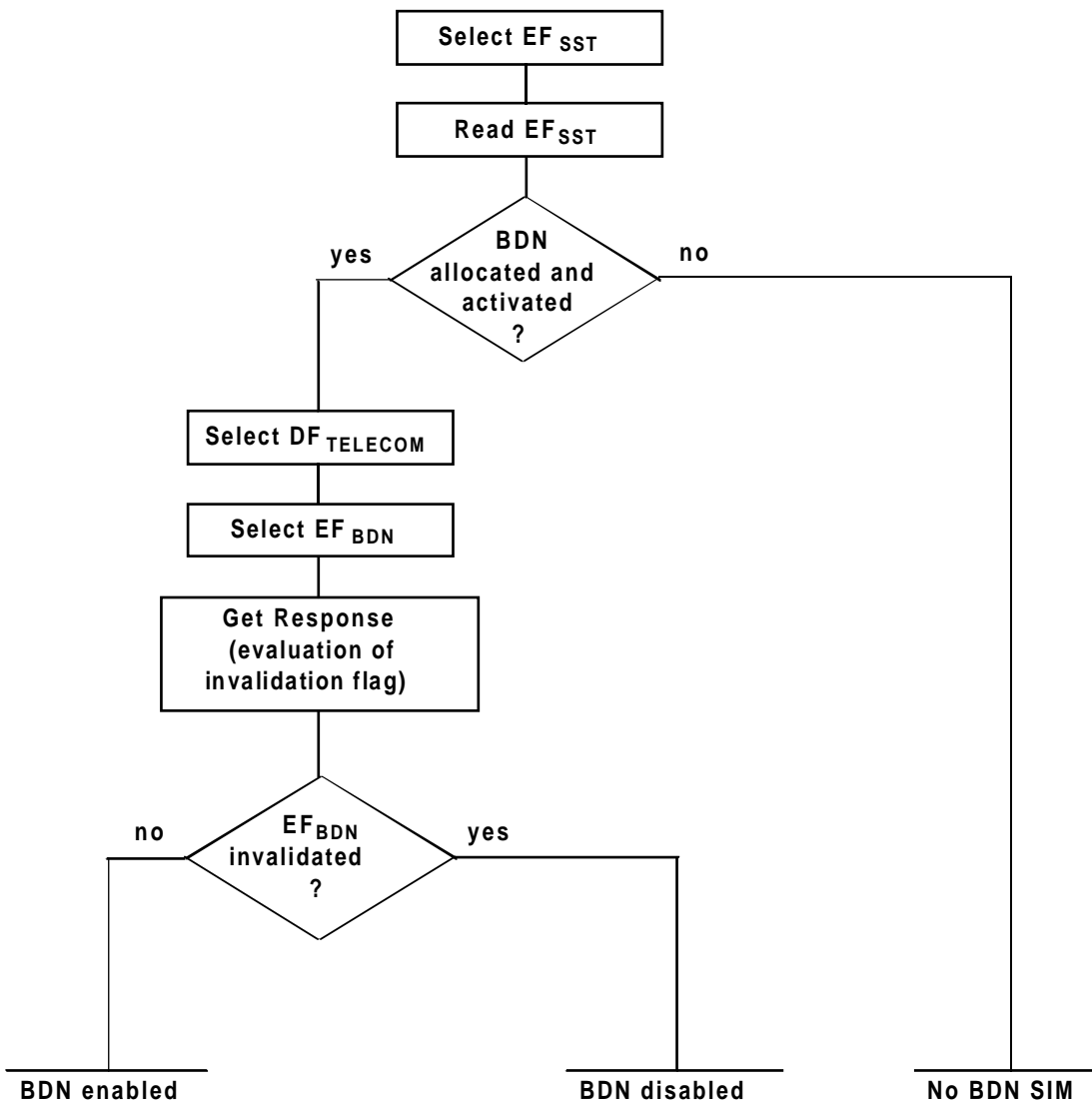
NOTE 6: If the ME does not support all enabled services (e.g. FDN, BDN), it does not operate. In case of enabled BDN, the support of the "Call Control Feature" by the ME is sufficient for operation. For future use, there may be additional "restricting" services, which are not known to the ME. In that case the ME will perform the subsequent rehabilitation procedure but will fail to rehabilitate EF<sub>IMSI</sub> and EF<sub>LOCI</sub> (see note 4).

**Example of an initialization Procedure of a FDN/BDN SIM (continued)**

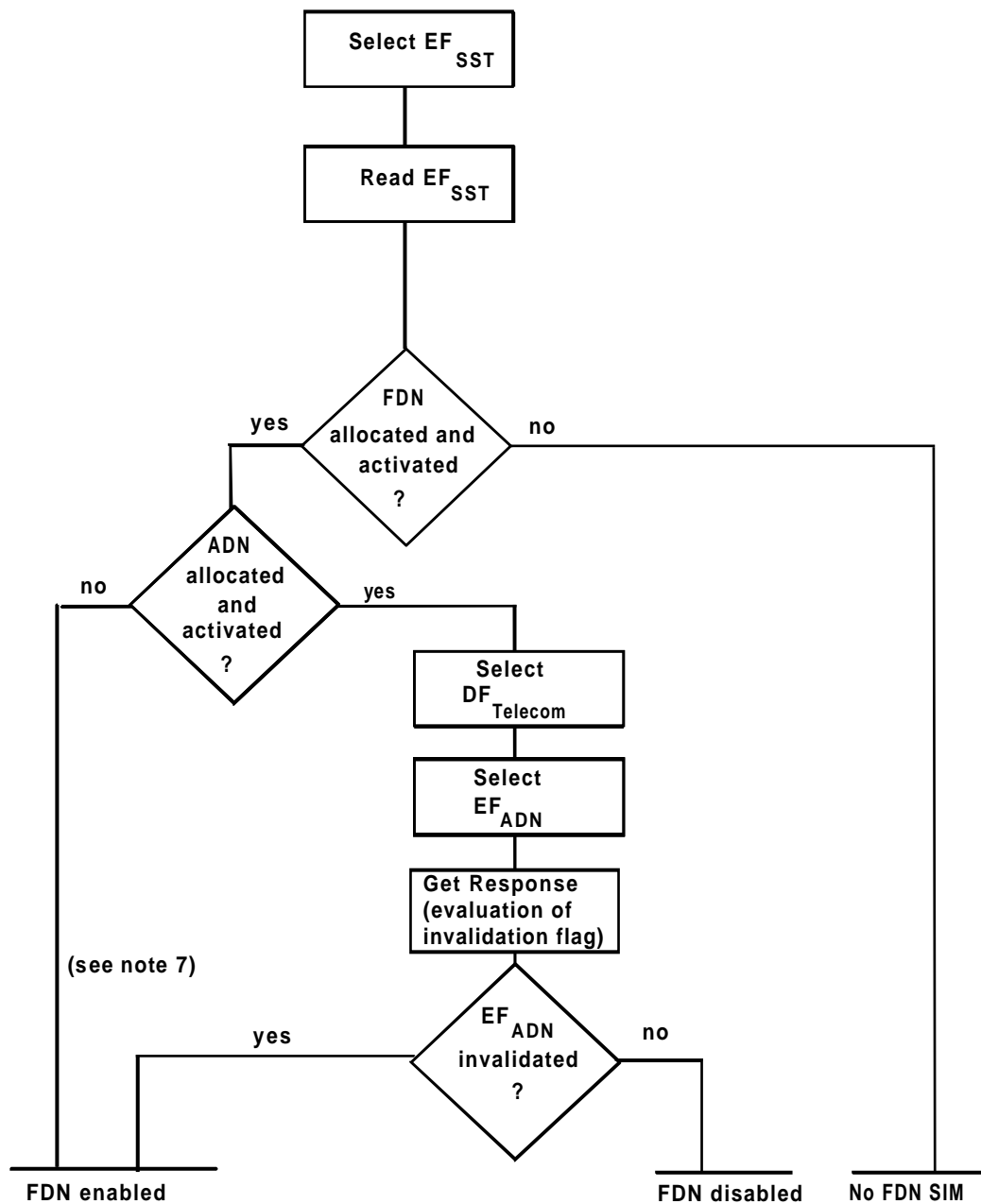
BDN capability request

FDN capability request

SIM capability request

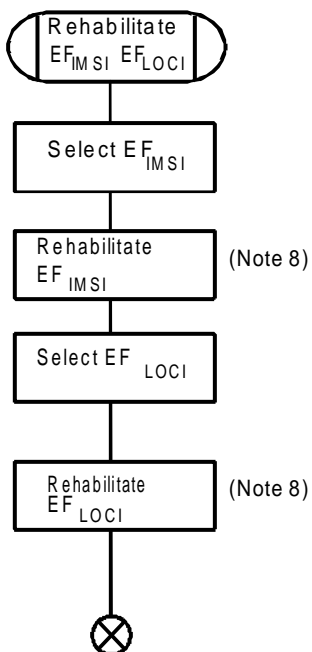


BDN capability request (see subclause 11.5.1)



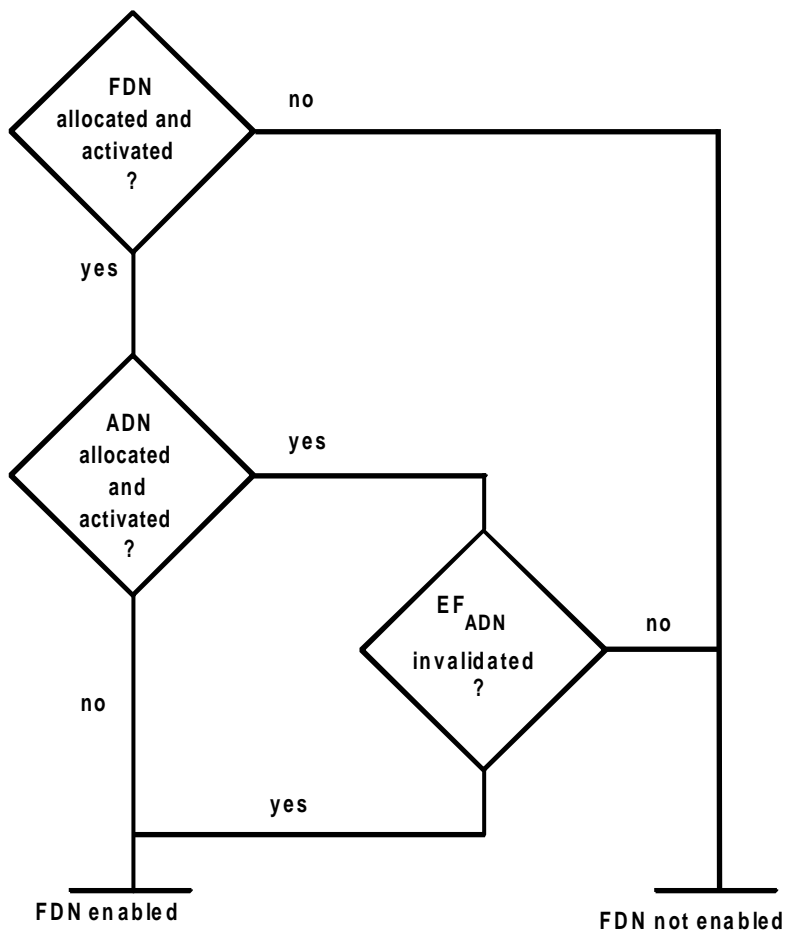
NOTE 7: In this case FDN is enabled without the possibility of disabling.

**FDN capability request (see subclause 11.5.1)**



NOTE 8: If BDN is enabled in the SIM, and if the Profile download procedure has not indicated that the ME supports Call Control, the EF is not rehabilitated by the SIM.

**Procedure to rehabilitate GSM files**



Boolean equation:

$$FD = FDA \cdot (\text{NOT}(ADA) + ADA \cdot ADI)$$

with

- FD = FDN enabled
- FDA = FDN allocated and activated
- ADA = ADN allocated and activated
- ADI = EF<sub>ADN</sub> invalidated

**Coding for state of FDN**

**Annex C (informative): Suggested contents of the EFs at pre-personalization**

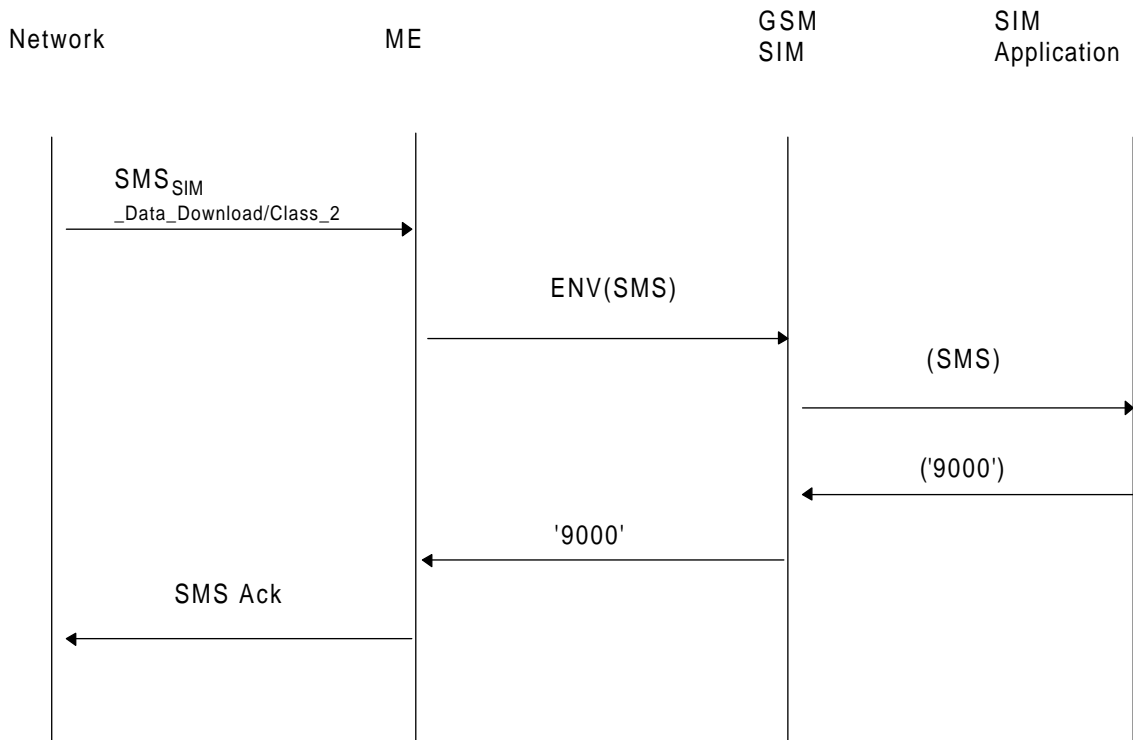
If EFs have an unassigned value, it may not be clear from the main text what this value should be. This annex suggests values in these cases.

File Identification	Description	Value
'2F E2'	ICC identification	operator dependant (see 10.1.1)
'6F 05'	Language preference	'FF'
'6F 07'	IMSI	operator dependant (see 10.3.2)
'6F 20'	Ciphering key Kc	'FF...FF07'
'6F 30'	PLMN selector	'FF...FF'
'6F 31'	HPLMN search period	'FF'
'6F 37'	ACM maximum value	'000000' (see note 1)
'6F 38'	SIM service table	operator dependant (see 10.3.7)
'6F 39'	Accumulated call meter	'000000'
'6F 3E'	Group identifier level 1	operator dependant
'6F 3F'	Group identifier level 2	operator dependant
'6F 41'	PUCT	'FFFFFF0000'
'6F 45'	CBMI	'FF...FF'
'6F 46'	Service provider name	'FF...FF'
'6F 48'	CBMID	'FF...FF'
'6F 49'	Service Dialling Numbers	'FF...FF'
'6F 74'	BCCH	'FF...FF'
'6F 78'	Access control class	operator dependant (see 10.1.12)
'6F 7B'	Forbidden PLMNs	'FF...FF'
'6F 7E'	Location information	'FFFFFFFF xxFxxx 0000 FF 01' (see note 2)
'6F AD'	Administrative data	operator dependant (see 10.3.15)
'6F AE'	Phase identification	see subclause 10.3.16
'6F 3A'	Abbreviated dialling numbers	'FF...FF'
'6F 3B'	Fixed dialling numbers	'FF...FF'
'6F 3C'	Short messages	'00FF...FF'
'6F 3D'	Capability configuration parameters	'FF...FF'
'6F 40'	MSISDN storage	'FF...FF'
'6F 42'	SMS parameters	'FF...FF'
'6F 43'	SMS status	'FF...FF'
'6F 44'	Last number dialled	'FF...FF'
'6F 4A'	Extension 1	'FF...FF'
'6F 4B'	Extension 2	'FF...FF'
'6F 4C'	Extension 3	'FF...FF'
'6F 4D'	Barred dialling numbers	'FF...FF'
'6F 4E'	Extension 4	'FF...FF'
NOTE 1:	The value '000000' means that ACMmax is not valid, i.e. there is no restriction on the ACM. When assigning a value to ACMmax, care should be taken not to use values too close to the maximum possible value 'FFFFFF', because the INCREASE command does not update EF <sub>ACM</sub> if the units to be added would exceed 'FFFFFF'. This could affect the call termination procedure of the Advice of Charge function.	
NOTE 2:	xxFxxx stands for any valid MCC and MNC, coded according to GSM 04.08 [15].	

### Annex D (informative): SIM application Toolkit protocol diagrams

The diagrams in this annex are intended to illustrate the data protocols of the SIM toolkit application in various situations. The SIM application is shown as initiated by SMS Data Download messages. Other possibilities exist (as defined in GSM 11.14) such as data entry from a menu selection.

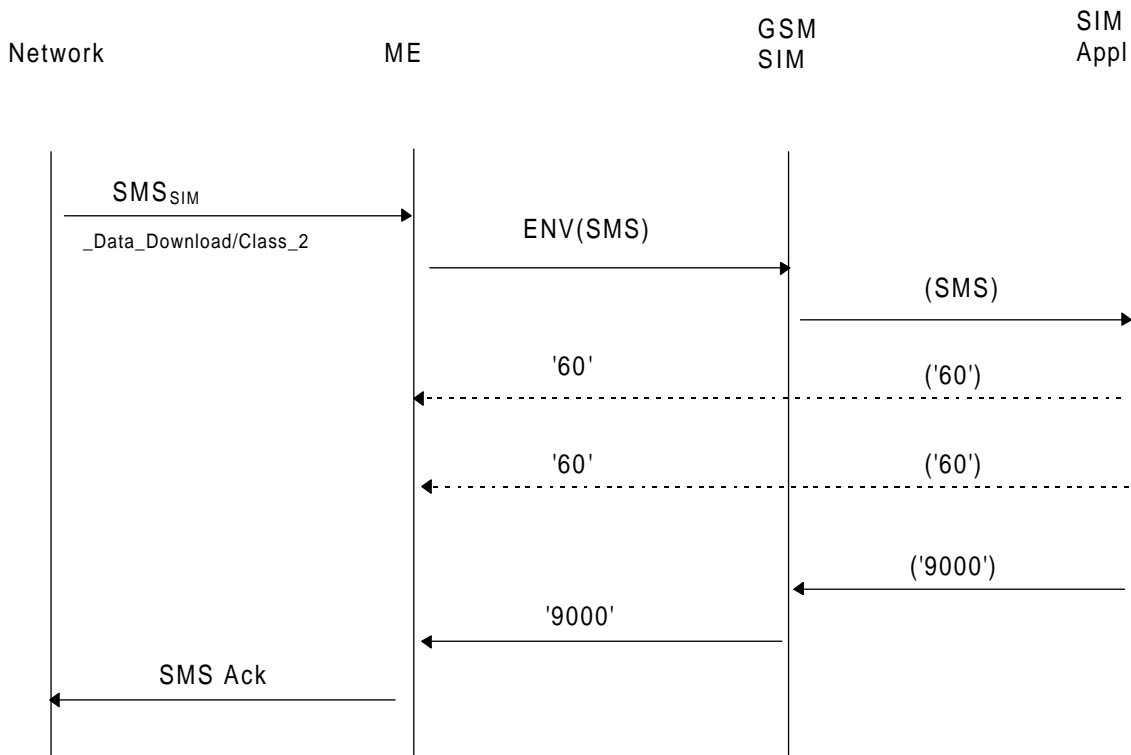
#### Case 1: Simple



This shows the simple case where an SMS for SIM updating is received from the network, passed to the SIM by the ME and processed immediately by the SIM application. This requires no ME action except to acknowledge the SMS.



**Case 2: Simple with short delay**

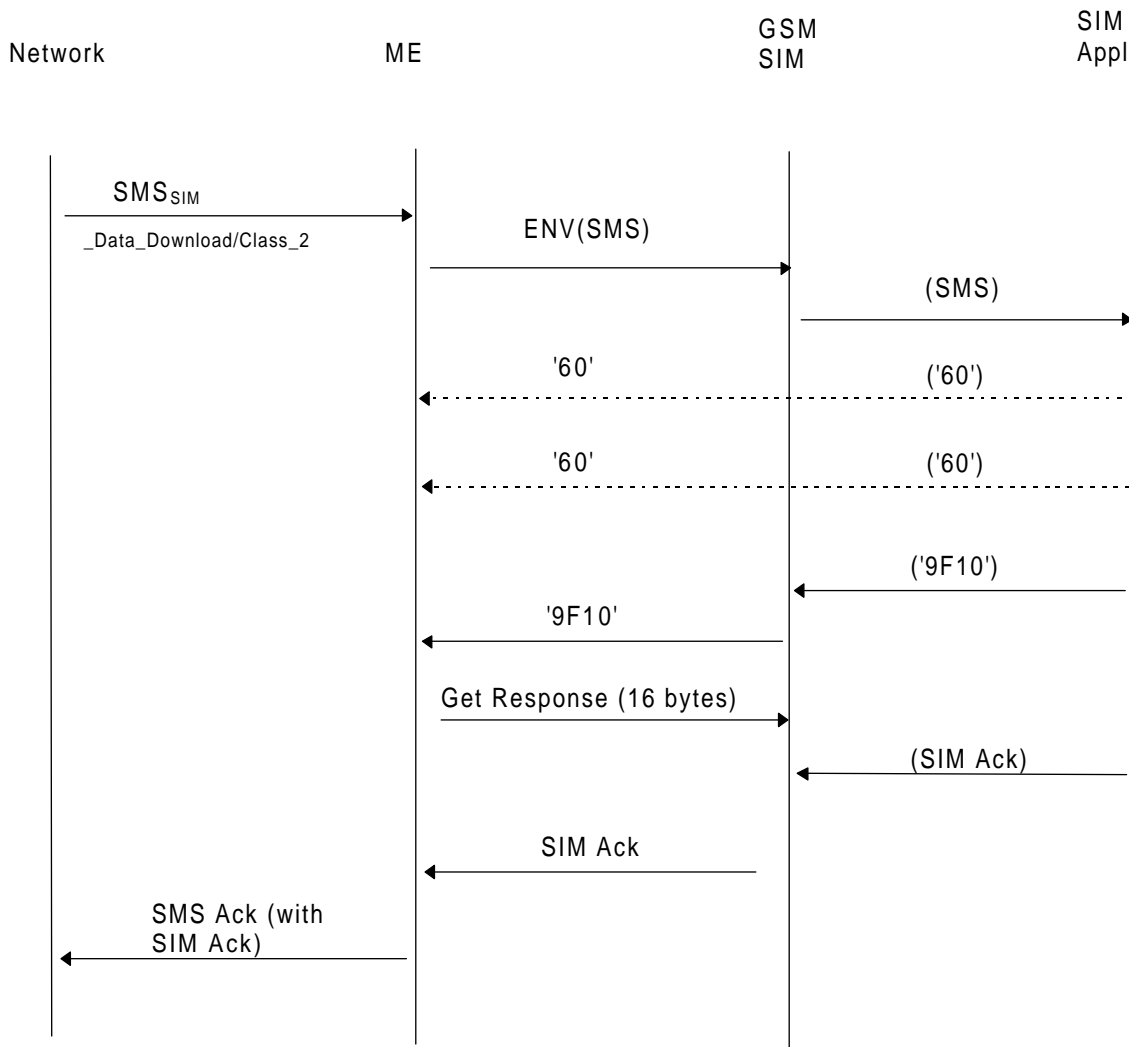


This shows the simple case where an SMS for SIM updating is received from the network, passed to the SIM by the ME and which requires some time to process by the SIM application. The processing time is "not long" and is obtained by the SIM application sending "null procedure bytes" to the ME. Each byte has the effect of restarting the work waiting time so that the ME does not abort the transaction before the SIM application has finished processing the command(s) sent in the SMS.

**Guidelines on timings:**

1. The SMS Ack must be sent back before the network times out and sends the SMS again.
2. Use of null procedure bytes must not be excessive as during this time the ME is unable to issue normal GSM commands to the SIM.

Case 3: Simple with short delay and SIM Acknowledgement

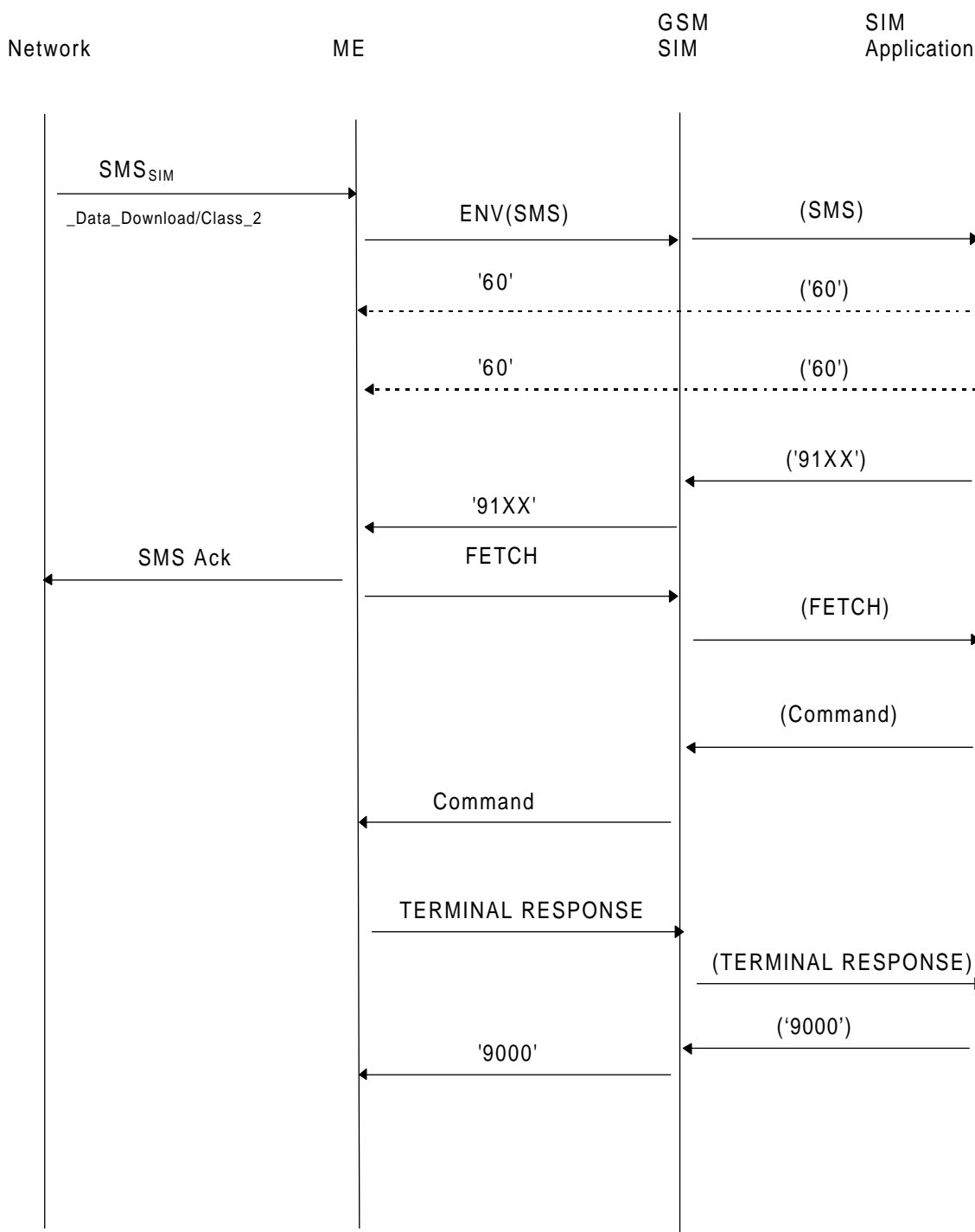


This shows the same case as previously where an SMS for SIM updating is received from the network, passed to the SIM by the ME and which requires some time to process by the SIM application. However in this case the SIM application has SIM acknowledgement data to include in the SMS acknowledgement being returned to the network by the ME.

**Guideline on timings:**

The SMS Ack must be sent back before the network times out and sends the SMS again.

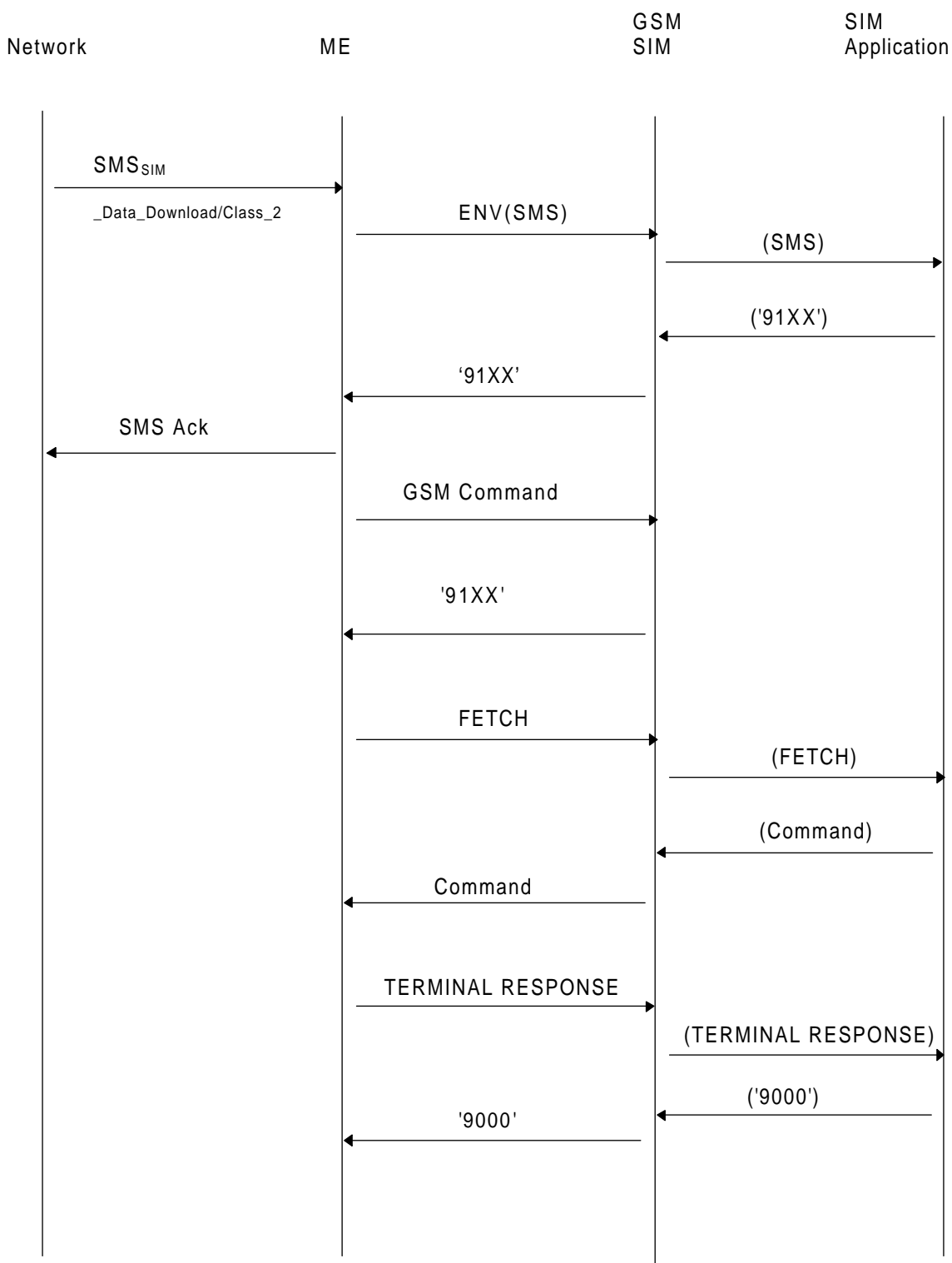
**Case 4: A Toolkit command generated by the SIM application as a result of an SMS from the network**



This shows the case where an SMS for SIM updating is received from the network, passed to the SIM by the ME and processed by the SIM application which then generates a command for action by the ME (e.g. PLAYTONE).

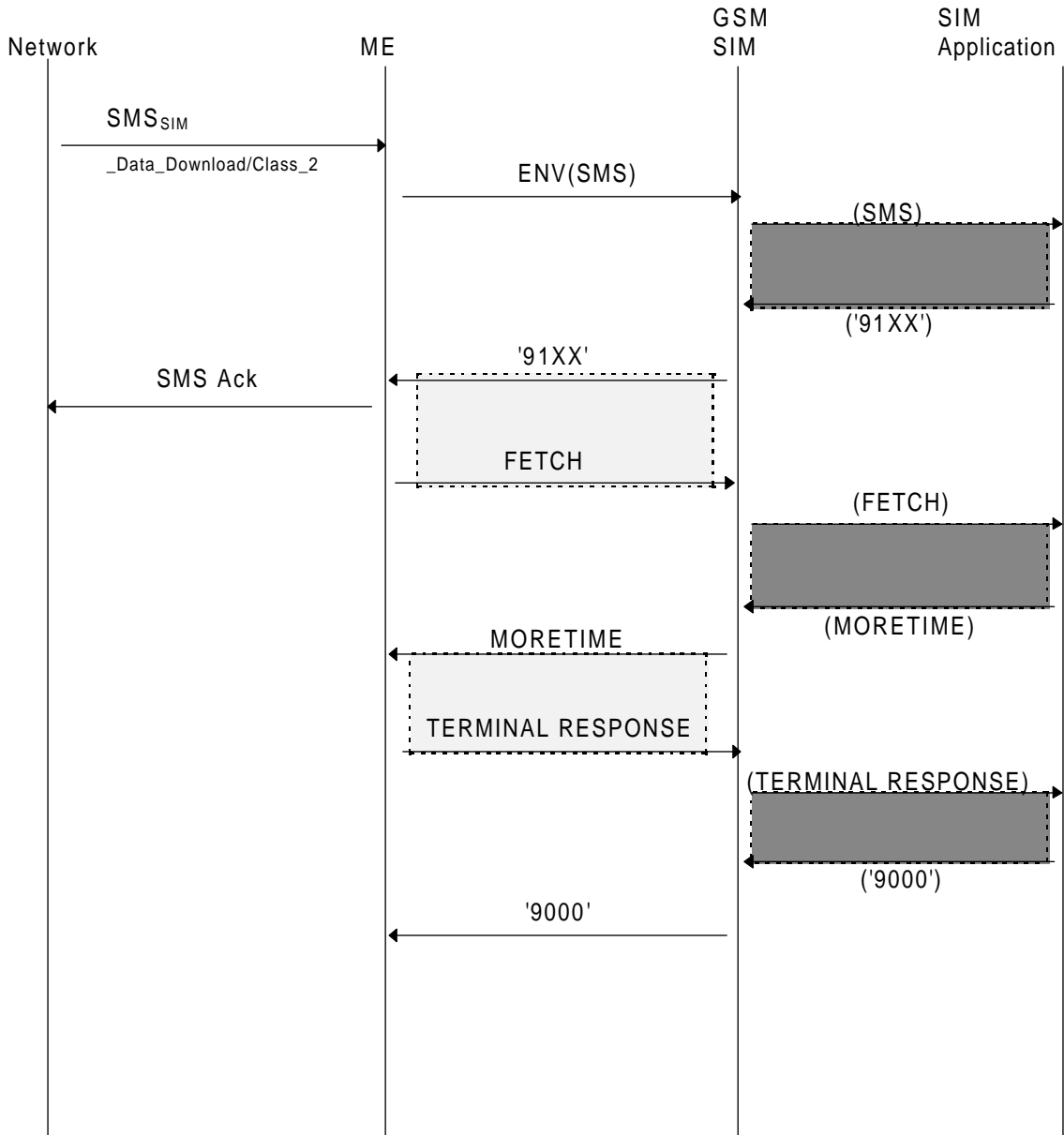
**NOTE:** If a positive acknowledgement to the network of completion of execution of the instructions given in the SMS message is required then the SIM application can issue a command to the ME to send a MO SMS.

**Case 5: A normal GSM command requires processing before the ME can respond to the 91XX from the SIM**



This shows the case where an SMS for SIM updating is received from the network, passed to the SIM by the ME and processed by the SIM application which then generates a command for action by the ME (e.g. PLAYTONE). However a normal GSM command requires processing before the ME can FETCH the command which the SIM is waiting to give it. The response to the normal GSM command is '91XX' in this case to remind the ME of the outstanding SIM application command request.

Case 6: MORE TIME Command



This shows the case where an SMS for SIM updating is received from the network, passed to the SIM by the ME and requires a considerable period of time to be processed by the SIM application. In this case the use of null procedure bytes only is inappropriate as the ME must be given the opportunity to process normal GSM commands. The opportunities gained by the SIM application for processing, and the opportunities for normal GSM commands are shown in the diagram above. The sequence of 91XX, FETCH and MORETIME commands can be repeated if required.

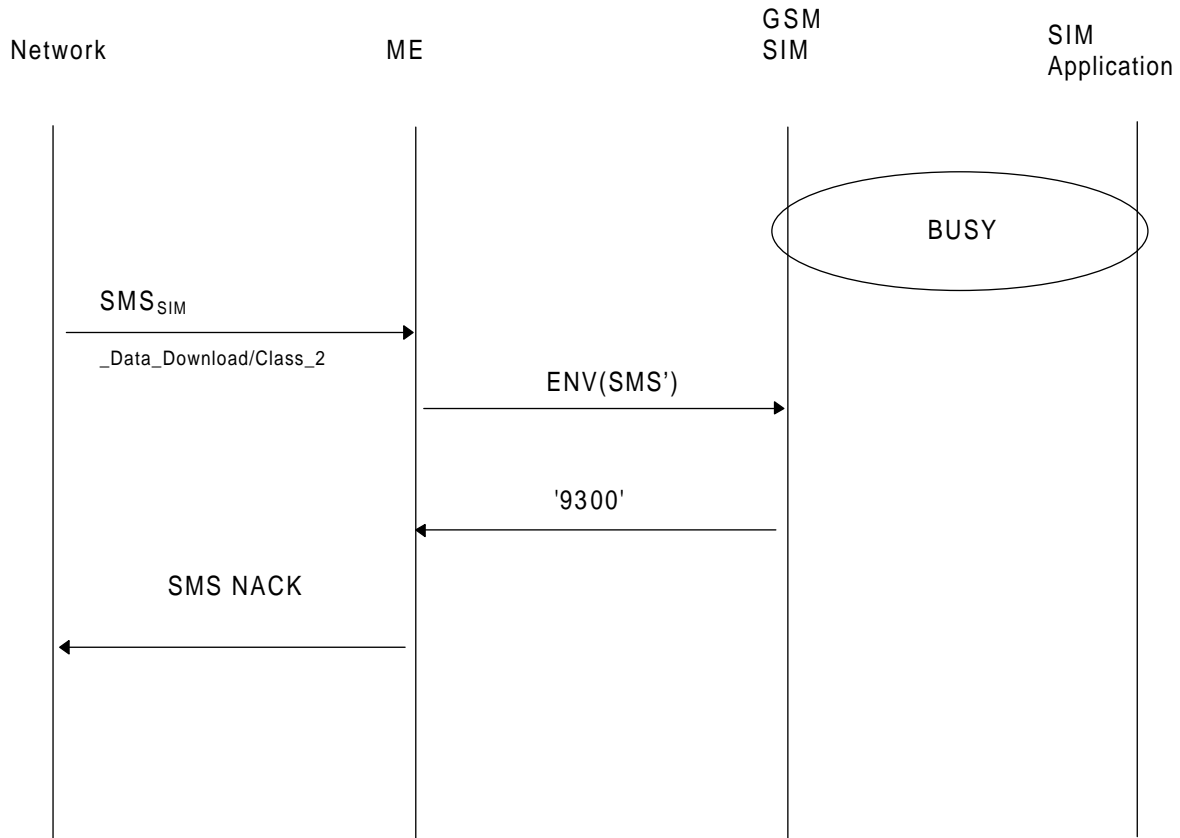
Opportunities to process normal GSM commands are shown thus:



Opportunities for SIM application processing are shown thus:



Case 7: SIM Application Busy



While the SIM application is busy processing a SMS for the SIM application arrives from the network and is sent to the SIM by the ME in the usual manner. The SIM operating system recognizes that the SIM application is busy, and it sends a busy response ('9300') to the ME. The ME then sends negative acknowledgement to the network. The responsibility for a retry rests with the network.

**Annex E (informative): Bibliography**

- EN 726-3 (1994): "Terminal Equipment (TE); Requirements for IC cards and terminals for telecommunication use Part 3: Application independent card requirements".
- EN 726-4 (1994): "Terminal Equipment (TE); Requirements for IC cards and terminals for telecommunication use Part 4: Application independent card related terminal requirements".
- ISO/IEC 7816-3/A2 (1994): "Identification cards - Integrated circuit(s) cards with contacts, Part 3: Electronic signals and transmission protocols": "Protocol type select".

**History**

<b>Document history</b>			
December 1996	Unified Approval Procedure	UAP 62:	1996-12-30 to 1997-04-25
April 1997	One-step Approval Procedure (Second Edition)	OAP 9742:	1997-05-23 to 1997-10-17