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**Universal Personal Telecommunication (UPT);  
UPT phase 2;  
Functional specification of the interface of a UPT  
Integrated Circuit Card (ICC) and  
Public Switched Telephone Network (PSTN),  
Integrated Services Digital Network (ISDN) and  
Global System for Mobile communications (GSM) terminals  
(one pass and multiple pass authentication)**

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## Contents

Foreword .....	5
1 Scope .....	7
2 Normative references .....	8
3 Definitions, symbols and abbreviations .....	8
3.1 Definitions .....	8
3.2 Symbols .....	8
3.3 Abbreviations .....	8
4 Physical characteristics .....	8
5 Electronic signals and transmission protocols .....	8
6 Logical model .....	9
7 Security services and facilities .....	9
7.1 Authentication key .....	9
7.2 Algorithms and processes .....	9
7.2.1 Card Holder Verification (CHV) .....	9
7.2.2 Strong authentication .....	9
7.3 File access conditions .....	9
7.4 Function access condition .....	10
7.5 Identification, keying and algorithm information .....	10
8 Description of the functions .....	10
9 Description of the commands .....	10
10 Contents of the EFs .....	10
11 Application protocol .....	11
11.1 General procedures .....	11
11.2 PIM management procedures .....	11
11.3 CHV related procedures .....	11
11.4 UPT security related procedures .....	11
11.4.1 Two-pass strong authentication (M) .....	12
11.5 Telecommunication procedures .....	12
11.6 General information procedures .....	12
Annex A (normative): Plug-in UPT card .....	13
Annex B (normative): Implementation Conformance Statement (ICS) for the PIM2 .....	14
B.1 ICS proforma for the PIM2 .....	14
B.2 Identification of the implementation, product supplier and test laboratory client .....	14
B.3 Identification of the standard .....	14
B.4 Global statement of conformance .....	15
B.5 Interpretation of the tables .....	15
B.6 Physical characteristics .....	15

B.6.1	ID-1 size .....	16
B.6.2	Plug-in size .....	16
B.6.3	Contacts .....	16
B.7	Electronic signals and transmission protocols.....	17
B.7.1	Supply voltage VCC (contact C1).....	17
B.7.2	Reset RST (contact C2).....	17
B.7.3	Clock CLK (contact C3).....	17
B.7.4	I/O (contact C7).....	18
B.7.5	States .....	18
B.7.6	Answer To Reset (ATR).....	19
B.8	Logical model.....	20
B.9	Security features and facilities .....	20
B.10	Description of functions .....	21
B.11	Contents of the EFs .....	21
Annex C (normative):	Implementation Conformance Statement (ICS) for the CAD <sub>UPT</sub> .....	22
C.1	ICS proforma for the CAD <sub>UPT</sub> .....	22
C.2	Identification of the implementation, product supplier and test laboratory client .....	22
C.3	Identification of the standard.....	22
C.4	Global statement of conformance .....	23
C.5	Interpretation of the tables .....	23
C.6	Physical characteristics .....	24
C.7	Electronic signals and transmission protocols.....	24
C.7.1	Supply voltage VCC (contact C1).....	25
C.7.2	Reset RST (contact C2).....	25
C.7.3	Clock CLK (contact C3).....	25
C.7.4	I/O (contact C7).....	25
C.7.5	States .....	26
C.7.6	Answer To Reset (ATR).....	26
C.8	Security features and facilities .....	26
C.9	Coding of the commands.....	26
C.10	Application protocol .....	27
History	.....	28

## Foreword

This final draft European Telecommunication Standard (ETS) has been produced by the Network Aspects (NA) Technical Committee of the European Telecommunications Standards Institute (ETSI), and is now submitted for the Voting phase of the ETSI standards approval procedure.

<b>Proposed transposition dates</b>	
Date of latest announcement of this ETS (doa):	3 months after ETSI publication
Date of latest publication of new National Standard or endorsement of this ETS (dop/e):	6 months after doa
Date of withdrawal of any conflicting National Standard (dow):	6 months after doa

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## 1 Scope

This European Telecommunication Standard (ETS) in combination with ETS 300 477 [1] defines the interface between the Universal Personal Telecommunication (UPT) card and the Card Accepting Device (CAD) for the operational phase. It also defines those aspects of the internal organization of the UPT card which are related to the operational phase.

This ETS relates to the interface between a UPT card and Public Switched Telephone Network (PSTN), Integrated Services Digital Network (ISDN) and Global System for Mobile (GSM) communications terminals. These interfaces are completely described by ETS 300 477 [1] plus the additions and modifications contained in this ETS; i.e. this ETS is a delta document.

The following clauses from ETS 300 477 [1] are amended or modified in this ETS:

- logical model (combined PIM1/PIM2);
- security (two pass strong authentication);
- functions (internal authentication);
- commands (internal authentication);
- Elementary Files (EF<sub>SEQ</sub>, EF<sub>DIR</sub>);
- Application Protocol (AP) (two pass strong authentication);
- Implementation Conformance Statement (ICS) proformas.

The clause numbering of ETS 300 477 [1] is kept in order to ease comparisons. Unmodified clauses and subclauses are marked appropriately.

This ETS together with ETS 300 477 [1] defines:

- the requirements for the physical characteristics of the UPT card, the electrical signals and the transmission protocol;
- the model which shall be used as a basis for the design of the logical structure of the UPT card;
- the security features;
- the interface functions;
- the commands for operating the interface functions;
- the contents of the files required for the UPT application;
- the service set to be supported in the UPT card;
- the application protocol (security, services, etc.);
- the Implementation Conformance Statement (ICS) proformas.

This ETS does not specify any aspects related to the administrative management phase. Any internal technical realization of either the UPT card or the CAD are only specified where these reflect over the interface. This ETS does not specify any of the security algorithms which may be used.

The information flow between the CAD<sub>UPT</sub> and the network is outside the scope of this ETS.

## 2 Normative references

This ETS incorporates by dated and undated reference, provisions from other publications. These normative references are cited at the appropriate places in the text and the publications are listed hereafter. For dated references, subsequent amendments to or revisions of any of these publications apply to this ETS only when incorporated in it by amendment or revision. For undated references the latest edition of the publication referred to applies.

- [1] ETS 300 477: "Universal Personal Telecommunication (UPT); UPT Phase 2; Functional specification of the interface of a UPT Integrated Circuit Card (ICC) and Card Accepting Devices (CADs); UPT card accepting Dual Tone Multiple Frequency (DTMF) device".
- [2] ETS 300 790: "Universal Personal Telecommunication (UPT); Security architecture for UPT phase 2; Specification".
- [3] CCITT Recommendation E.164: "Numbering plan for the ISDN era".

## 3 Definitions, symbols and abbreviations

### 3.1 Definitions

For the purposes of this ETS, the following definitions apply, together with those contained in ETS 300 477 [1]:

**PIM1:** Personal Identification Module according to ETS 300 477 [1].

**PIM2:** Personal Identification Module according to this ETS.

### 3.2 Symbols

For the purposes of this ETS, the symbols contained in ETS 300 477 [1] apply.

### 3.3 Abbreviations

For the purposes of this ETS, the following abbreviations apply, together with those of ETS 300 477 [1]:

AE	Application Entity
AP	Application Protocol
CT	Cordless Telephone
ICS	Implementation Conformance Statement
ISDN	Integrated Services Digital Network
PSTN	Public Switched Telephone Network
RAND	Random challenge sent by the network to be used for authentication

## 4 Physical characteristics

The same text as in ETS 300 477 [1] is valid.

## 5 Electronic signals and transmission protocols

The same text as in ETS 300 477 [1] is valid.



## 6 Logical model

The same text as in ETS 300 477 [1] is valid with the following modifications:

In subclause 6.4, "DF<sub>UPT</sub>" is replaced by "DF<sub>UPT2</sub>", and the following note is added:

NOTE: Both PIM1 and PIM2 can be implemented in one card, each representing its own application.

## 7 Security services and facilities

The same text as in ETS 300 477 [1], clause 7 is valid with the following modifications:

PIM is replaced by PIM2, and "ETS 300 391-1" is replaced by "ETS 300 790 [2]".

### 7.1 Authentication key

The same text as in ETS 300 477 [1] subclause 7.1 is valid with the following addition:

If both PIM1 and PIM2 are implemented in the same card, then they shall use a different authentication key.

### 7.2 Algorithms and processes

The same text is valid with reference "ETS 300 790 [2]" instead of "ETS 300 391-1".

#### 7.2.1 Card Holder Verification (CHV)

The same text as in ETS 300 477 [1] subclause 7.2.1 is valid, with the addition of the following note:

NOTE: If both PIM1 and PIM2 are implemented in the same card, for security reasons, two different CHVs should be used for PIM1 and PIM2.

#### 7.2.2 Strong authentication

The two pass strong authentication process works as follows:

- 1) a successful card holder verification is performed;
- 2) a timer is started in the CAD<sub>UPT</sub>. If a time-out occurs the PIM shall be RESET by the CAD<sub>UPT</sub>. No further authentication attempts can be made until a new card holder verification has been performed;
- 3) the authentication procedure is activated by the user (if the time-out has not been reached), whereby the following steps take place;
- 4) the PUI and the CT are obtained from the PIM and are sent to the Authenticating Entity (AE) in an authentication request;
- 5) the AE sends a random number RAND to the CAD<sub>UPT</sub> in an authentication request;
- 6) the RAND is given to the PIM, which calculates an Authentication Code (AC) and returns it to the CAD<sub>UPT</sub>;
- 7) the CAD<sub>UPT</sub> sends the PUI, CT and AC to the authenticating entity;
- 8) if the authentication fails, steps 3) to 7) can be repeated, as long as the time-out has not been reached.

### 7.3 File access conditions

The same text as in ETS 300 477 [1] subclause 7.3 is valid.

#### 7.4 Function access condition

The same text as in ETS 300 477 [1] subclause 7.4 is valid.

#### 7.5 Identification, keying and algorithm information

The following data used for identification and secret keys are stored in the PIM:

- PUI (for identification of a UPT subscriber);
- LPIN (for card holder verification);
- SLPIN (for unblocking of the relevant CHV1);
- K (secret key for the authentication algorithm).

#### 8 Description of the functions

The same text as in ETS 300 477 [1] is valid with the following modifications:

"DF<sub>UPT</sub>" is replaced by "DF<sub>UPT2</sub>".

In subclause 8.10, the input is "challenge (RAND)" instead of "challenge (n)".

#### 9 Description of the commands

The same text as in ETS 300 477 [1] is valid with the following modification:

In subclause 9.3.10, "challenge (sequence number)" is replaced by "challenge (RAND)".

#### 10 Contents of the EFs

The same text as in ETS 300 477 [1] is valid with the following modifications:

"DF<sub>UPT</sub>" is replaced by "DF<sub>UPT2</sub>".

EF<sub>SEQ</sub> is deleted from figure 9.

In subclause 10.2.3, "UPT application" is replaced by "PIM2 application".

In subclause 10.2.3, the following note is added:

NOTE 1: The PIM2 application identifier is different from the UPT application identifier.

Subclause 10.3.3 is deleted.

In subclause 10.4, note 2 is replaced by the following text:

NOTE 2: The  $CAD_{UPT}$  should interpret the TON and NPI information.

As  $EF_{ADN}$  is part of the  $DF_{TELECOM}$  it may be used by UPT and also other applications in a multi-application card. If the other application does not recognize the use of TON and NPI, then the information relating to the national dialling plan should be held within the data item dialling number and the TON and NPI fields set to UNKNOWN. This format would be acceptable for UPT operation and also for the other application where the TON and NPI fields should be ignored.

Example: PIM storage of an International Number using CCITT Recommendation E.164 [3] numbering plan.

	TON	NPI	Digit field
UPT application	001	0001	abc...
Other application compatible with UPT	000	0000	xxx...abc...

where "abc..." denotes the subscriber number digits (including its country code), and "xxx..." denotes escape digits or a national prefix replacing TON and NPI.

## 11 Application protocol

The same text as in ETS 300 477 [1], clause 11, is valid except that "one-pass strong authentication" is replaced by "two-pass strong authentication".

### 11.1 General procedures

The same text as in ETS 300 477 [1], subclause 11.1, is valid.

### 11.2 PIM management procedures

The same text as in ETS 300 477 [1], subclause 11.2, is valid except that "one-pass strong authentication" is replaced by "two-pass strong authentication", "UPT application selection" by "PIM2 application selection", "UPT session" by "PIM2 session", and " $DF_{UPT}$ " by " $DF_{UPT2}$ ".

### 11.3 CHV related procedures

The same text as in ETS 300 477 [1], clause 11, is valid except that "UPT application" is replaced by "PIM2 application".

### 11.4 UPT security related procedures

The following UPT security related procedure is recognized for the PIM2:

- two-pass strong authentication.

The mechanism is specified in clause 7.

The specification of the data elements used in the authentication procedure and in the secure answer procedure can be found in ETS 300 790 [2].

NOTE: It is possible to select  $EF_{ADN}$  and to read out the service provider's telephone number before running the two-pass strong authentication procedure. This makes it possible to automatically dial the service provider by use of the telecommunication features in the PIM.

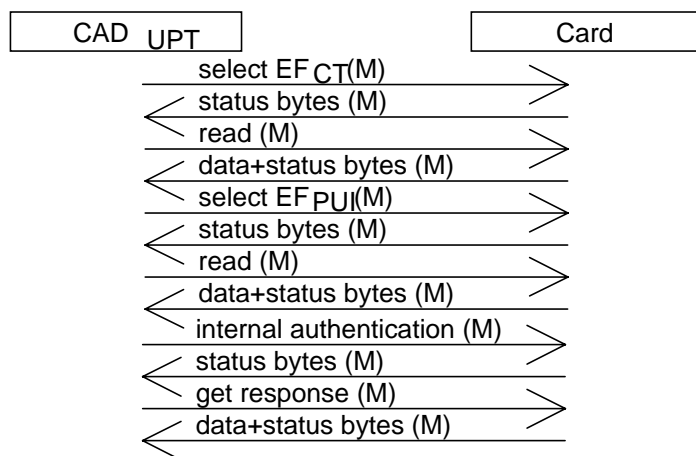
### 11.4.1 Two-pass strong authentication (M)

This procedure is used by the PIM to authenticate itself to the network.

Before this procedure can be performed, a successful CHV1 procedure shall be completed:

- 1) the CAD<sub>UPT</sub> selects and reads EF<sub>CT</sub>;
- 2) the CAD<sub>UPT</sub> selects and reads EF<sub>PUI</sub>;
- 3) the CAD<sub>UPT</sub> gives an INTERNAL AUTHENTICATION command with the random number RAND received from the AE as a challenge to the command. Then the PIM calculates an AC, which is returned in the response;
- 4) the CAD<sub>UPT</sub> sends PUI, CT and AC to the network.

Step 4 is not part of the protocol between the CAD<sub>UPT</sub> and the PIM, but is included for clarification.



NOTE: Regarding the interface between the PIM2 and the CAD<sub>UPT</sub>, the procedure "secure answer", which may be activated by the calling party or by the called party, is the same procedure as the two-pass strong authentication.

Figure 1

### 11.5 Telecommunication procedures

The same text as in ETS 300 477 [1], subclause 11.5, is valid except that the half sentence "this is only possible with the last numbers dialled by the DTMF device" is obsolete for the PIM2 since it is inserted directly into a card reading terminal.

### 11.6 General information procedures

The same text as in ETS 300 477 [1], subclause 11.6, is valid.

**Annex A (normative): Plug-in UPT card**

The same text as in ETS 300 477 [1] is valid.

## **Annex B (normative): Implementation Conformance Statement (ICS) for the PIM2**

Notwithstanding the provisions of the copyright clause related to the text of this ETS, ETSI grants that users of this ETS may freely reproduce the ICS proforma in this annex so that it can be used for its intended purposes and may further publish the completed ICS.

A supplier of implementations of PIMs that are claimed to conform to this ETS is required to complete a copy of the relevant ICS proforma provided in this annex and to provide the information necessary to identify both the supplier and the implementation.

### **B.1 ICS proforma for the PIM2**

The purpose of the ICS proforma is to submit suppliers and implementers with a questionnaire or checklist. This should be completed in order to state conformance with the requirements of this ETS.

### **B.2 Identification of the implementation, product supplier and test laboratory client**

To be filled in by the involved parties:

<b>Date:</b>	
<b>Implementation:</b>	
Application name: Personal Identification Module version 2 (PIM2) for UPT	
Phase: UPT phase 2	
Specification: ETS 300 823	Edition 1
<b>Supplier:</b>	<b>Test laboratory client:</b>
Company:	Company:
Address:	Address:
Country:	Country:
Contact person:	Contact person:
Telephone:	Telephone:
Facsimile:	Facsimile:

### **B.3 Identification of the standard**

This ICS proforma applies to the PIM requirements in this ETS.

## B.4 Global statement of conformance

The implementation described in this ICS meets all the mandatory requirements of this ETS.

( ) Yes

( ) No

NOTE: Answering "No" to this question indicates non-conformance to the PIM2 interface specification. Non-supported mandatory capabilities are to be identified in the ICS, with an explanation of why the implementation is non-conforming.

## B.5 Interpretation of the tables

Each item in the following tables corresponds to a requirement specified in the standard. The columns of the tables have the following meaning:

**Item:** Numbers the requirements within a table.

**Feature:** Short verbal description of a requirement in the standard.

**Reference:** Reference to (sub)clause number, where the requirement can be found in the standard.

**Status:** Indicates if the requirement is:

- mandatory (M);
- optional (O);
- prohibited (X); or
- conditional(Cn), description of condition "n" follows below the table.

**Support:** To be filled in by the implementer. If the item is supported this is indicated by "Yes", if the item is not supported, this is indicated by "No". In some cases additional information shall be given in this column.

## B.6 Physical characteristics

**Table B.1**

Item	Feature	Reference	Status	Support
1	Physical characteristics in accordance with ISO/IEC 7816-1 (reference [9] in ETS 300 477 [1]) and ISO/IEC 7816-2 (reference [10] in ETS 300 477 [1]).	4	M	
2	ID-1 size	4.1.1	C1	
3	Plug-in size	4.1.2	C1	
4	Temperature range -25°C to +70°C with occasional peaks up to 85°C.	4.2	M	
5	Contact pressure up to 0,5 N per contact.	4.3.3	M	

C1 = It is mandatory to fulfil one of items 2 or 3.

**B.6.1 ID-1 size**

This table shall only be filled in if item 2 in table B.1 is fulfilled.

**Table B.2**

Item	Feature	Reference	Status	Support
1	Identification number on the card.	4.1	M	
2	Format and layout in accordance with ISO/IEC 7816-1 (reference [9] in ETS 300 477 [1]) and ISO/IEC 7816-2 (reference [10] in ETS 300 477 [1]).	4.1.1	M	
3	Polarization mark provided.	4.1.1	M	
4	Embossing provided in accordance with ISO 7811-1 (reference [7] in ETS 300 477 [1]) and ISO 7811-3 (reference [8] in ETS 300 477 [1]).	4.1.1	O	
5	Contacts and embossing located on the same side.	4.1.1	C2	

C2 = Mandatory if item 4 is fulfilled.

**B.6.2 Plug-in size**

This table shall only be filled in if item 3 in table B.1 is fulfilled.

**Table B.3**

Item	Feature	Reference	Status	Support
1	Individual account identifier and check digit on the card.	4.1	M	
2	Format and layout in accordance with ENV 1375-1.	4.1.1	M	

**B.6.3 Contacts**

**Table B.4**

Item	Feature	Reference	Status	Support
1	Contact C4 provided	4.3.1	O	
2	Contact C6 not bonded	4.3.1	M	
3	Contact C6 provided	4.3.1	O	



## B.7 Electronic signals and transmission protocols

Table B.5: Major capabilities

Item	Feature	Reference	Status	Support
1	Electronic signals and transmission protocols in accordance with ISO/IEC 7816-3 (reference [11] in ETS 300 477 [1]).	5	M	
2	T = 0 provided.	5	M	
3	Other protocols.	5	O	
4	Baud rate = (clock frequency)/372.	5.7	M	
5	Error detection and character repetition procedure in accordance with ISO/IEC 7816-3 [11] in ETS 300 477 [1]).	5.9	M	

### B.7.1 Supply voltage VCC (contact C1)

Table B.6: Electrical characteristics of Vcc

Item	Feature	Reference	Status	Support
1	Operating voltage range = 5 V $\pm$ 10 %.	5.1	M	
2	Current consumption $\leq$ 10 mA at any frequency accepted by the PIM2.	5.1	M	
3	Idle current consumption $\leq$ 200 $\mu$ A at 1 MHz and 25°C.	5.1	M	

### B.7.2 Reset RST (contact C2)

Table B.7: Electrical characteristics of RST

Item	Feature	Reference	Status	Support
1	$(V_{cc}-0,7) \leq V_{OH} \leq V_{cc}$ with $I_{OHmax} = +20 \mu A$	5.2	M	
2	$0V \leq V_{OL} \leq 0,6 V$ with $I_{OLmax} = -200 \mu A$	5.2	M	
3	$t_R t_F \leq 400 \mu S$ with $C_{out} = C_{in} = 30 pF$	5.2	M	

### B.7.3 Clock CLK (contact C3)

Table B.8: Electrical characteristics of CLK

Item	Feature	Reference	Status	Support
1	1 MHz $\leq$ (clock frequency) $\leq$ 5 MHz.	5.4	M	
2	Duty cycle between 40 % and 60 % of the period during stable operation.	5.4	M	
3	$(0,7 \times V_{cc}) \leq V_{OH} \leq V_{cc}$ , with $I_{OHmax} = +20 \mu A$	5.4	M	
4	$0 V \leq V_{OL} \leq 0,5 V$ , with $I_{OLmax} = -200 \mu A$	5.4	M	
5	$t_R t_F \leq 9 \%$ of period (0,5 $\mu S$ max), with $C_{out} = C_{in} = 30 pF$	5.4	M	
6	Internal clock	5.4	X	

## B.7.4 I/O (contact C7)

Table B.9: Electrical characteristics of I/O

Item	Feature	Reference	Status	Support
1	$(0,7 \times V_{CC}) \leq V_{IH} \leq V_{CC} + 0,3 \text{ V}$ , $I_{IHmax} = \pm 20 \mu\text{A}$	5.5	M	
2	$-0,3 \text{ V} \leq V_{IL} \leq 0,8 \text{ V}$ , with $I_{ILmax} = +1 \text{ mA}$	5.5	M	
3	$3,8 \text{ V} \leq V_{OH} \leq V_{CC}$ , with $I_{OHmax} = +20 \mu\text{A}$	5.5	M	
4	$0 \text{ V} \leq V_{OL} \leq 0,4 \text{ V}$ , with $I_{OLmax} = -1 \text{ mA}$	5.5	M	
5	$t_R t_F \leq 1 \mu\text{S}$ with $C_{out} = C_{in} = 30 \text{ pF}$	5.5	M	

## B.7.5 States

Table B.10: Clock stop modes

Item	Feature	Reference	Status	Support
1	Clockstop allowed, no preferred level.	5.6, 9.3.1	C3	
2	Clockstop allowed, high level preferred.	5.6, 9.3.1	C3	
3	Clockstop allowed, low level preferred.	5.6, 9.3.1	C3	
4	Clockstop allowed, only on high level.	5.6, 9.3.1	C3	
5	Clockstop allowed, only on low level.	5.6, 9.3.1	C3	

C3 = Optional, but only one of items 1 to 5 at the time.

**B.7.6 Answer To Reset (ATR)**

**Table B.11: Structure, contents and PTS procedure**

Item	Feature	Reference	Status	Support
1	The length of the ATR $\leq 33$	5.8.1	M	
2	ATR: TS is sent	5.8.1	M	
3	ATR: T0 is sent	5.8.1	M	
4	ATR: TA1 is sent	5.8.1	O	
5	ATR: TB1 is sent	5.8.1	O	
6	ATR: PI1 = 0	5.8.1	C4	
7	ATR: TC1 is sent	5.8.1	O	
8	ATR: TC1 = 0	5.8.1	C5	
9	ATR: TC1 = 255	5.8.1	C5	
10	ATR: TD1 is sent	5.8.1	O	
11	ATR: TD1 coded that TB2 is not sent	5.8.1	C6	
12	ATR: TA2 is sent	5.8.1	C7	
13	ATR: TB2 not sent	5.8.1	M	
14	ATR: TC2 is sent	5.8.1	O	
15	ATR: TDi is/are sent	5.8.1	O	
16	ATR: Optional interface characters T <sub>Ai</sub> , T <sub>Bi</sub> , T <sub>Ci</sub> , i > 2 (indicate which characters in the support column).	5.8.1	O	
17	ATR: Historical characters sent, T <sub>1</sub> , ..., T <sub>K</sub> (indicate which characters in the support column).	5.8.1	O	
18	Check character	5.8.1	C7	
19	PTS procedure	5.8.2	C8	
20	Error detection and character repetition procedure.	5.9	M	

C4 = Mandatory if item 5 is fulfilled.

C5 = Mandatory to fulfil one of items 8 or 9.

C6 = Mandatory if item 10 is fulfilled.

C7 = Mandatory if other protocol(s) than T = 0 is/are provided.

C8 = Mandatory if item 4 is fulfilled and TA1 is not "11".

## B.8 Logical model

Table B.12

Item	Feature	Reference	Status	Support
1	File ID of the MF = "3 F00"	6.2	M	
2	Two files under the same parent never have the same file ID.	6.2	M	
3	A child and its parent never have the same file ID.	6.2	M	
4	A child and its grandparent never have the same file ID.	6.2	M	
5	A child and its grandparent's child, if it is a DF, never have the same file ID.	6.2	M	
6	DF <sub>UPT2</sub> provided	6.4	M	
7	DF <sub>TELECOM</sub> provided	6.4	O	
8	Transparent EFs supported	6.5.1	M	
9	Linear fixed EFs supported	6.5.2	C9	
10	Cyclic EFs supported	6.5.3	C10	
10	File IDs "7 F1X" and "6 FXX" are not used under DF <sub>UPT2</sub> .	6.7	M	
11	No DFs except "7 F4X" are used for administrative purposes under DF <sub>UPT2</sub> .	6.7	M	
12	No EFs except "2 FXX" are used for administrative purposes under DF <sub>UPT2</sub> .	6.7	M	

C9 = Mandatory if item 7 is fulfilled.

C10 = Mandatory if item 14 in table B.11 is fulfilled.

## B.9 Security features and facilities

Table B.13

Item	Feature	Reference	Status	Support
1	TESA- 7 authentication algorithm	7.2, 10.2.1	C11	
2	USA- 4 authentication algorithm	7.2, 10.2.1	C11	
3	Proprietary authentication algorithm (indicate the name of the algorithm in the support column).	7.2, 10.2.1	C11	
4	Card holder verification	7.2.1	M	
5	It is not possible to disable the relevant CHV1 of DF <sub>UPT2</sub> .	7.2.1	M	
6	File access condition ALWAYS is supported.	7.3	M	
7	File access condition CHV1 is supported.	7.3	M	
8	File access condition NEVER is supported.	7.3	M	
9	The INTERNAL AUTHENTICATION command cannot be used without a previous successful card holder verification.	7.4	M	
10	PIM1 and PIM2 use different authentication keys if implemented in the same card.	7.1	M	

C11 = Mandatory to fulfil one of items 1, 2 or 3.

## B.10 Description of functions

Table B.14

Item	Feature	Reference	Status	Support
1	SELECT	8.1, 9.3.1	M	
2	READ BINARY	8.2, 9.3.2	M	
3	UPDATE BINARY	8.3, 9.3.3	M	
4	READ RECORD	8.4, 9.3.4	C12	
5	UPDATE RECORD	8.5, 9.3.5	C12	
6	SEEK	8.6, 9.3.6	C12	
7	VERIFY CHV	8.7, 9.3.7	M	
8	CHANGE CHV	8.8, 9.3.8	M	
9	UNBLOCK CHV	8.9, 9.3.9	M	
10	INTERNAL AUTHENTICATION	8.10, 9.3.10	M	
11	GET RESPONSE	9.3.11	M	
12	SW1 and SW2 returned after each command	9.4	M	

C12 = Mandatory if item 7 in table B.12 is fulfilled.

## B.11 Contents of the EFs

Table B.15

Item	Feature	Reference	Status	Support
1	EF <sub>CHV1</sub>	10.1	M	
2	EF <sub>ID</sub>	10.2.1	O	
2a	Date of activation	10.2.1	O	
2b	Card expiry date	10.2.1	O	
2c	Card sequence number	10.2.1	O	
2d	Country code	10.2.1	O	
3	EF <sub>ICC</sub>	10.2.2	O	
3a	IC identifier	10.2.2	O	
3b	Card profile	10.2.2	O	
3c	Type of selection	10.2.2	O	
4	EF <sub>DIR</sub>	10.2.3	M	
5	EF <sub>LANG</sub>	10.2.4	O	
5a	2nd language preference	10.2.4	O	
5b	3rd language preference	10.2.4	O	
5c	4th language preference	10.2.4	O	
6	EF <sub>NAME</sub>	10.2.5	O	
7	EF <sub>CT</sub>	10.3.1	M	
8	EF <sub>PUI</sub>	10.3.2	M	
9	EF <sub>PST</sub>	10.3.4	O	
10	EF <sub>TV</sub>	10.3.5	M	
11	EF <sub>MTV</sub>	10.3.6	O	
12	EF <sub>ADN</sub>	10.4.1	O	
13	EF <sub>LND</sub>	10.4.2	O	
14	EF <sub>EXT1</sub>	10.4.3	O	

## Annex C (normative): Implementation Conformance Statement (ICS) for the CAD<sub>UPT</sub>

Notwithstanding the provisions of the copyright clause related to the text of this ETS, ETSI grants that users of this ETS may freely reproduce the ICS proforma in this annex so that it can be used for its intended purposes and may further publish the completed ICS.

A supplier of implementations of CAD<sub>UPTs</sub> that are claimed to conform to this ETS is required to complete a copy of the relevant ICS proforma provided in this annex and is required to provide the information necessary to identify both the supplier and the implementation.

### C.1 ICS proforma for the CAD<sub>UPT</sub>

The purpose of the ICS proforma is to submit suppliers and implementers with a questionnaire or checklist. This should be completed in order to state conformance with the requirements of this ETS.

### C.2 Identification of the implementation, product supplier and test laboratory client

To be filled in by the involved parties:

<b>Date:</b>	
<b>Implementation:</b>	
Application name: UPT card accepting terminal	
Phase: UPT phase 2	
Specification: ETS 300 823	Edition 1.
<b>Supplier:</b>	<b>Test laboratory client:</b>
Company:	Company:
Address:	Address:
Country:	Country:
Contact person:	Contact person:
Telephone:	Telephone:
Facsimile:	Facsimile:

### C.3 Identification of the standard

This ICS proforma applies to the CAD<sub>UPT</sub> requirements in this ETS.

## C.4 Global statement of conformance

The implementation described in this ICS meets all the mandatory requirements of this ETS.

- Yes
- No

NOTE: Answering "No" to this question indicates non-conformance to the CAD<sub>UPT</sub> interface specification. Non-supported mandatory capabilities are to be identified in the ICS, with an explanation of why the implementation is non-conforming.

## C.5 Interpretation of the tables

Each item in the following tables corresponds to a requirement specified in the standard. The columns of the tables have the following meaning:

- Item:** Numbers the requirements within a table.
- Feature:** Short verbal description of a requirement in the standard.
- Reference:** Reference to (sub)clause number, where the requirement can be found in the standard.
- Status:** Indicates if the requirement is:
- mandatory (M);
  - optional (O); or
  - conditional(Cn), description of condition "n" follows below the table.
- Support:** To be filled in by the implementer. If the item is supported, this is indicated by "Yes", if the item is not supported, this is indicated by "No". In some cases additional information shall be given in this column.

## C.6 Physical characteristics

Table C.1

Item	Feature	Reference	Status	Support
1	Accepts ID-1 size cards	4.1.1	C1	
2	Accepts plug-in size cards	4.1.2	C1	
3	Accepts embossed ID-1 size cards	4.1.1	C2	
4	Provision of contacts in accordance with ISO/IEC 7816-2 (reference [10] in ETS 300 477 [1]).	4.3	M	
5	Contact C4 provided	4.3.1	O	
6	Contact C6 provided	4.3.1	O	
7	Contact C8 provided	4.3.1	O	
8	Operating procedures in accordance with ISO/IEC 7816-3 (reference [11] in ETS 300 477 [1]).	4.3.2	M	
9	Activation and deactivation order respected at any voltage level.	4.3.2	M	
10	Radius of curvature contacting elements $\geq 0,8$ mm.	4.3.3	M	
11	Contact pressure $\leq 0,5$ N	4.3.3	M	
12	ID-1 size card takes precedence over plug-in size card.	4.4	C3	

C1 = It is mandatory to fulfil at least one of items 1 and 2.

C2 = Mandatory only if item 1 is fulfilled.

C3 = Mandatory if both item 1 and 2 are fulfilled.

## C.7 Electronic signals and transmission protocols

Table C.2

Item	Feature	Reference	Status	Support
1	Electronic signals and transmission protocols in accordance with ISO/IEC 7816-3 (reference [11] in ETS 300 477 [1]).	5	M	
2	T = 0 provided	5	M	
3	Contact C6 not wired	5.3	M	
4	Baud rate = (clock frequency)/372	5.6	M	
5	Perform a Reset on receipt of an ATR which is not in accordance with this ETS.	5.9	M	
6	Rejection of the PIM2 does not occur until at least three consecutive wrong ATRs are received.	5.9	M	
7	Error detection and character repetition procedure during ATR/PTS in accordance with ISO/IEC 7816-3.	5.9	O	
8	Error detection and character repetition procedure following ATR/PTS in accordance with ISO/IEC 7816-3, using T = 0.	5.9	M	



**C.7.1 Supply voltage VCC (contact C1)****Table C.3: Electrical characteristics of Vcc**

Item	Feature	Reference	Status	Support
1	Supply voltage range = $5\text{ V} \pm 10\%$ .	5.1	M	
2	Supply current up to 10 mA at any frequency accepted by the PIM2.	5.1	M	
3	Counteract current consumption spikes as specified.	5.1	M	

**C.7.2 Reset RST (contact C2)****Table C.4: Electrical characteristics of RST**

Item	Feature	Reference	Status	Support
1	$(V_{CC} - 0,7) \leq V_{OH} \leq V_{CC}$ with $I_{OHmax} = +20\ \mu\text{A}$	5.2	M	
2	$0\text{V} \leq V_{OL} \leq 0,6\text{ V}$ with $I_{OLmax} = -200\ \mu\text{A}$	5.2	M	
3	$t_R t_F \leq 400\ \mu\text{S}$ with $C_{out} = C_{in} = 30\ \text{pF}$	5.2	M	

**C.7.3 Clock CLK (contact C3)****Table C.5: Electrical characteristics of CLK**

Item	Feature	Reference	Status	Support
1	$1\text{MHz} \leq (\text{clock frequency}) \leq 5\text{MHz}$	5.4	M	
2	Duty cycle between 40 % and 60 % of the period during stable operation.	5.4	M	
3	$(0,7 \times V_{CC}) \leq V_{OH} \leq V_{CC}$ , with $I_{OHmax} = +20\ \mu\text{A}$	5.4	M	
4	$0\text{V} \leq V_{OL} \leq 0,5\text{ V}$ , with $I_{OLmax} = -200\ \mu\text{A}$	5.4	M	
5	$t_R t_F \leq 9\%$ of period ( $0,5\ \mu\text{S}$ max), with $C_{out} = C_{in} = 30\ \text{pF}$	5.4	M	

**C.7.4 I/O (contact C7)****Table C.6: Electrical characteristics of I/O**

Item	Feature	Reference	Status	Support
1	$(0,7 \times V_{CC}) \leq V_{IH} \leq V_{CC} + 0,3\text{ V}$ , $I_{IHmax} = \pm 20\ \mu\text{A}$	5.5	M	
2	$-0,3\text{ V} \leq V_{IL} \leq 0,8\text{ V}$ , with $I_{ILmax} = +1\text{mA}$	5.5	M	
3	$3,8\text{ V} \leq V_{OH} \leq V_{CC}$ , with $I_{OHmax} = +20\ \mu\text{A}$	5.5	M	
4	$0\text{V} \leq V_{OL} \leq 0,4\text{ V}$ , with $I_{OLmax} = -1\text{mA}$	5.5	M	
5	$t_R t_F \leq 1\ \mu\text{S}$ with $C_{out} = C_{in} = 30\ \text{pF}$	5.5	M	

**C.7.5 States**

**Table C.7: Clock stop modes**

Item	Feature	Reference	Status	Support
1	Clockstop	5.6	O	

**C.7.6 Answer To Reset (ATR)**

**Table C.8: Structure, contents and PTS procedure**

Item	Feature	Reference	Status	Support
1	Length of the ATR up to 33 characters	5.8.1	M	
2	ATR: TS	5.8.1	M	
3	ATR: T0	5.8.1	M	
4	ATR: TA1, if present	5.8.1	M	
5	ATR: TB1, if present	5.8.1	M	
6	ATR: TC1, if present	5.8.1	M	
7	ATR: TD1, if present	5.8.1	M	
8	ATR: TA2, if present	5.8.1	O	
9	ATR: TC2, if present	5.8.1	M	
10	ATR: TD <sub>i</sub> (i > 1), if present	5.8.1	M	
11	ATR: Optional interface characters TA <sub>i</sub> , TB <sub>i</sub> , TC <sub>i</sub> , i > 2, if present.	5.8.1	O	
12	ATR: Historical characters, T1, ..., TK, if present.	5.8.1	O	
13	Check character, if present	5.8.1	O	
14	PTS procedure	5.8.2	C4	

C4 = Mandatory if item 4 is fulfilled and TA1 is not "11".

**C.8 Security features and facilities**

**Table C.9**

Item	Feature	Reference	Status	support
1	Timer provided	7.2.2	M	

**C.9 Coding of the commands**

**Table C.10**

Item	Feature	Reference	Status	support
1	SELECT	8.1, 9.3.1	M	
2	READ BINARY	8.2, 9.3.2	M	
3	UPDATE BINARY	8.3, 9.3.3	M	
4	READ RECORD	8.4, 9.3.4	C5	
5	UPDATE RECORD	8.5, 9.3.5	C5	
6	SEEK	8.6, 9.3.6	C5	
7	VERIFY CHV	8.7, 9.3.7	M	
8	CHANGE CHV	8.8, 9.3.8	M	
9	UNBLOCK CHV	8.9, 9.3.9	M	
10	INTERNAL AUTHENTICATION	8.10, 9.3.10	M	
11	GET RESPONSE	9.3.11	M	
12	RFU bits and bytes are not interpreted	9.2	M	

C5 = Mandatory if one of the items 13 or 14 in table C.11 is fulfilled.

## C.10 Application protocol

Table C.11

Item	Feature	Reference	Status	Support
1	Reading an EF	11.1.1	M	
2	Updating an EF	11.1.2	M	
3	Seeking in an EF	11.1.3	O	
4	Selecting an EF or DF	11.1.4	M	
5	PIM2 initialization	11.2.2	M	
6	PIM2 session	11.2.3	M	
7	PIM2 session termination	11.2.4	M	
8	Start timer	11.2.5	M	
9	Timer value substitution	11.2.6	M	
10	CHV verification	11.3.1	M	
11	CHV value substitution	11.3.2	O	
12	CHV unblocking	11.3.3	O	
13	Two pass strong authentication	11.4.1	M	
14	ADNs	11.5.1	O	
15	LND	11.5.1	O	
16	Updating of ADN and LND	11.5.1.1	O	
17	Erasure of ADN and LND	11.5.1.2	O	
18	Request of ADN and LND	11.5.1.3	O	
19	Purge of ADN and LND	11.5.1.4	O	
20	Application selection procedure	11.6.1	M	
21	NAME request procedure	11.6.2	O	
22	Language preference procedures	11.6.3	O	

## History

Document history			
December 1996	Public Enquiry	PE 120:	1996-12-16 to 1997-04-11
September 1997	Vote	V 9746:	1997-09-16 to 1997-11-14