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Foreword

This draft European Telecommunication Standard (ETS) has been produced by the Special Mobile Group (SMG) Technical Committee of the European Telecommunications Standards Institute (ETSI), and is now submitted for the One-step Approval Procedure phase of the ETSI standards approval procedure.

This ETS defines the principle purpose and use of International Mobile station Equipment Identities (IMEI) within the European digital cellular telecommunications system (Phase 2) and corresponds to GSM technical specification GSM 02.16 version 4.5.0.

The specification from which this ETS has been derived was originally based on CEPT documentation, hence the presentation of this ETS may not be entirely in accordance with the ETSI/PNE rules.

Reference is made within this ETS to GSM-TSs (NOTE).

NOTE:

TC-SMG has produced documents which give the technical specifications for the implementation of the European digital cellular telecommunications system. Historically, these documents have been identified as GSM Technical Specifications (GSM-TSs). These TSs may have subsequently become I-ETSs (phase 1), or ETSs (Phase 2), whilst others may become ETSI Technical Reports (ETRs). GSM-TSs are, for editorial reasons, still referred to in GSM ETSs.

Proposed transposition dates							
Date of latest announcement of this ETS (doa):	3 months after ETSI publication						
Date of latest publication of new National Standard or endorsement of this ETS (dop/e):	6 months after doa						
Date of withdrawal of any conflicting National Standard (dow):	6 months after doa						

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0 Introduction

0.1 Scope

The purpose of this specification is to define the principal purpose and use of International Mobile station Equipment Identities (IMEI).

The specification GSM 03.03 describes the technical manner of numbering, addressing and identification.

0.2 Normative references

This ETS incorporates by dated and undated reference, provisions from other publications. These normative references are cited at the appropriate places in the text and the publications are listed hereafter. For dated references, subsequent amendments to or revisions of any of these publications apply to this ETS only when incorporated in it by amendment or revision. For undated references, the latest edition of the publication referred to applies.

[1]	GSM 01.04 (ETR 100): "European digital cellular telecommunication system (Phase 2); Definitions, abbreviations and acronyms".
[2]	GSM 02.17 (ETS 300 509): "European digital cellular telecommunication system (Phase 2); Subscriber identity modules Functional characteristics".
[3]	GSM 03.03 (ETS 300 523): "European digital cellular telecommunication system (Phase 2); Numbering, addressing and identification".
[4]	ISO/IEC 7812 (1989): "Identification cards - Numbering system and registration procedure for issuer identifiers".

0.3 **Definitions and abbreviations**

In addition to the following, abbreviations used in this specification are listed in GSM 01.04.

International Mobile Station Equipment Identity (IMEI)

An "International Mobile Station Equipment Identity" is a unique number which shall be allocated to each individual mobile station equipment in the GSM system and shall be unconditionally implemented by the MS manufacturer.

1 Not used

2 General

As described in specification GSM 02.17, an MS can only be operated if a valid "International Mobile Subscriber Identity" (IMSI) is present. An IMSI is primarily intended for obtaining information on the use of the GSM network by subscribers for individual charging purposes.

Besides the IMSI, the implementation of IMEI is found necessary in order to obtain knowledge about the presence of specific mobile station equipment in the network, disregarding whatever subscribers are making use of these equipments.

The main objective is to be able to take measures against the use of stolen equipment or against equipment of which the use in the GSM system can not or no longer be tolerated for technical reasons.

The IMEI is incorporated in an MS module which is contained within the MS equipment. The IMEI shall not be changed after the ME's final production process. It shall resist tampering, i.e. manipulation and change, by any means (e.g. physical, electrical and software).

NOTE: This requirement is valid for new GSM Phase 2 and Release 96, 97, 98 and 99 MEs type approved after 1st June 2002.

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This implementation of each individual module should be carried out by the manufacturer who is also responsible for ascertaining that each IMEI is unique and keeping detailed records of produced and delivered MS.

3 Composition of IMEI

The composition of the IMEI shall be such that each individual mobile station equipment can be separately identified.

Information is contained in the IMEI by which the GSM PLMN, after requesting it, can immediately decide whether or not to accept calls made by means of this equipment.

Secondly, the IMEI shall directly or indirectly contain all information which is necessary for the network operator to make relations through its administrative system to trace the equipment to its origin of production. Specification GSM 03.03 describes the structure of the IMEI in detail.

The IMEI (14 digits) is complemented by a check digit. The check digit is not part of the digits transmitted at IMEI check occasions, as described below. The Check Digit shall avoid manual transmission errors, e.g. when customers register stolen MEs at the operators customer care desk. The Check Digit is defined according to the Luhn formula, as defined in Annex 1.

NOTE: The Check Digit is not applied to the Software Version Number.

4 Use of the equipment identity register

A network operator can make administrative use of the IMEI in the following manner:

- Three registers are defined, known as "white lists", "grey lists" and "black lists". The use of such lists is at the operators' discretion.
- The white list is composed of all number series of equipment identities that are permitted for use.
- The black list contains all equipment identities that belong to equipment that need to be barred.
- Besides the black and white list, administrations have the possibility to use a <u>grey list</u>. Equipments on the grey list are not barred (unless on the black list or not on the white list), but are tracked by the network (for evaluation or other purposes).

5 Procedure

It shall be possible to perform the IMEI check at any access attempt, except IMSI detach, and during an established call at any time when a dedicated radio resource is available, in accordance with the security policy of the PLMN operator.

The network shall terminate any access attempt or ongoing call when receiving any of the answers "black-listed" (ie, on the black list) or "unknown" equipment (ie, not on the white list) from the EIR. An indication of "illegal ME" shall in these cases be given to the user. Furthermore this is equivalent to an authentication failure hence any call establishment or any location updating is forbidden for the MS, it cannot answer to paging, it is just allowed to perform Emergency Calls. Emergency calls must never be terminated as a result of the IMEI check procedure.

6 Use of IMEI in case of emergency calls

Emergency calls can in some PLMNs be made without having to send the subscriber identity (IMSI) to the network. In this case the misuse of MS equipments after placing invalid emergency calls can be restrained by using the equipment identity.

The network request for the equipment identity is sent to the MS after the emergency call has been set-up. The procedure is the same as for normal call set-up.

7 MS Software Version Number (SVN)

A Software Version Number (SVN) field shall be provided. This allows the ME manufacturer to identify different software versions of a given type approved mobile.

The SVN is a separate field from the IMEI, although it is associated with the IMEI, and when the network requests the IMEI from the MS, the SVN (if present) is also sent towards the network. It comprises 2 decimal digits.

The white list shall use the IMEI, The Black and Grey Lists may also use the SVN.

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Annex A (normative): IMEI Check Digit computation

A.1 Representation of IMEI

The International Mobile station Equipment Identity and Software Version Number (IMEISV), as defined in TS GSM 03.03, is a 16 digit decimal number composed of four distinct elements:

- a 6 digit Type Approval Code (TAC);
- a 2 digit Final Assembly Code (FAC);
- a 6 digit Serial Number (SNR); and
- a 2 digit Software Version Number (SVN).

The IMEISV is formed by concatenating these four elements as illustrated below:



Figure A.1: Composition of the IMEISV

The IMEI is complemented by a check digit as defined in section 3. The Luhn Check Digit (CD) is computed on the 14 most significant digits of the IMEISV, that is on the value obtained by ignoring the SVN digits.

The method for computing the Luhn check is defined in Annex B of the International Standard "Identification cards - Numbering system and registration procedure for issuer identifiers" (ISO/IEC 7812).

In order to specify precisely how the CD is computed for the IMEI, it is necessary to label the individual digits of the IMEISV, excluding the SVN. This is done as follows:

The (14 most significant) digits of the IMEISV are labelled D14 D13 ... D1, where:

TAC = D14 D13 ... D9 (with D9 the least significant digit of TAC);
 FAC = D8 D7 (with D7 the least significant digit of FAC); and
 SNR = D6 D5 ... D1 (with D1 the least significant digit of SNR).

A.2 Computation of CD for an IMEI

Computation of CD from the IMEI proceeds as follows:

- Step 1: Double the values of the odd labelled digits D1, D3, D5 ... D13 of the IMEI.
- Step 2: Add together the individual digits of all the seven numbers obtained in Step 1, and then add this sum to the sum of all the even labelled digits D2, D4, D6 ... D14 of the IMEI.
- Step 3: If the number obtained in Step 2 ends in 0, then set CD to be 0. If the number obtained in Step 2 does not end in 0, then set CD to be that number subtracted from the next higher number which does end in 0.

A.3 Example of computation

IMEI (14 most significant digits):

Step 1:

2	6	0	5	3	1	7	9	3	1	1	3	8	3
	x2												
	12		10		2		18		2		6		6

Step 2:

$$2+1+2+0+1+0+3+2+7+1+8+3+2+1+6+8+6=53$$

Step 3:

$$CD = 60 - 53 = 7$$

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History

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November 1993	Public Enquiry	PE 51:	1993-11-01 to 1994-02-25					
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