# ETSI EN 303 883-2 V1.2.1 (2021-02)



Short Range Devices (SRD) and Ultra Wide Band (UWB); Part 2: Measurement techniques for receiver requirements

#### Reference

#### REN/ERM-TGUWB-149-2

#### Keywords

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### **Foreword**

This European Standard (EN) has been produced by ETSI Technical Committee Electromagnetic compatibility and Radio spectrum Matters (ERM).

The present document is part 2 of a multi-part deliverable covering Short Range Devices (SRD) and Ultra Wide Band (UWB), as identified below:

Part 1: "Measurement techniques for transmitter requirements";

Part 2: "Measurement techniques for receiver requirements".

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### Introduction

The present document provides measurement procedures for receiver requirements to address the spectrum efficiency requirements of the RED [i.10].

The basis for this RX concept was developed by ETSI during two Special Task Forces.

**First Special Task Force:** ETSI STF 494; Update of the UWB related Harmonised Standards covering the essential requirements of article 3.2 of the RED [i.10].

#### The STF 494:

Started: 2015-05-25

• Ended: 2016-03-31

#### Outcome:

• ETSI TS 103 361 [i.4]

The second Special Task Force: ETSI STF 541; Signal interferer handling, a new RX requirement to cover the essential requirements of article 3.2 of the RED [i.10], was a continuation to implement and consider comments received after the publication of the ETSI TS 103 361 [i.4].

#### The STF 541:

Started: 2017-10-06

Ended: 2019-05-31

#### Outcome:

• ETSI TR 103 566 [i.2]

• ETSI TS 103 567 [i.3]

There is no specification of receiver parameter values within the present document. These values will be derived from technical specification defined by the responsible ETSI Technical Committees and/or the findings of regulatory studies conducted by the relevant bodies like CEPT ECC WG SE. The limits/values for the baseline RX-conformance requirements will be specified in the related standard.

In addition to the two receiver baseline requirements it can be necessary that the RX spurious emission requirement could be a further RX requirement in the related standard. This could be necessary if the EUT has a receive only mode or if it is not collocated to the transmitter. The present document specifies the receiver spurious emission requirement and the corresponding test and measurement procedure in clause 5.2.

The present document provides practical information and guidance for the compliance receiver tests of UWB and Short Range technology and devices.

It is recommended that, in drafting the related standards, a thorough analysis is conducted on all possible applicable receiver parameters (see annex C), selecting the most appropriate RX-requirements and having a robust reasoning for those that are disregarded.

### 1 Scope

The present document provides measurement procedures for receiver requirements to address the spectrum efficiency requirements of the RED [i.10].

The baseline receiver concept is a set of two parameters given in clause 5 of the present document providing guidance for HS development, which can be further refined by the responsible TB.

Baseline receiver concept comprises the following parameters:

- Receiver Baseline Sensitivity (RBS); and
- Receiver Baseline Resilience (RBR).

The Baseline receiver concept is a further development of the signal interferer handling concept, see ETSI TS 103 361 [i.4].

### 2 References

#### 2.1 Normative references

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The following referenced documents are necessary for the application of the present document.

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The following referenced documents are not necessary for the application of the present document but they assist the user with regard to a particular subject area.

[i.1]	ETSI EG 203 336 (V1.2.1): "Guide for the selection of technical parameters for the production of
	Harmonised Standards covering article 3.1(b) and article 3.2 of Directive 2014/53/EU".

- [i.2] ETSI TR 103 566 (V1.1.1): "Evaluation status on receiver requirement on Signal interferer handling".
- [i.3] ETSI TS 103 567 (V1.1.1): "Requirements on signal interferer handling".
- [i.4] ETSI TS 103 361 (V1.1.1): "Short Range Devices (SRD) using Ultra Wide Band technology (UWB); Receiver technical requirements, parameters and measurement procedures to fulfil the requirements of the Directive 2014/53/EU".

[i.5]	ERC REC 74-01: "Unwanted emissions in the spurious domain", approved 1998, amended
	29 May 2019.

- [i.6] ETSI EN 303 883-1 (V1.2.1): "Short Range Devices (SRD) and Ultra Wide Band (UWB); Part 1: Measurement techniques for transmitter requirements".
- [i.7] "RCS measurement results for automotive related objects at 23-27 GHz"; Tom Schipper; Joaquim Fortuny-Guasch; Dario Tarchi; Lars Reichardt; Thomas Zwick. Proceedings of the 5<sup>th</sup> European Conference on Antennas and Propagation (EUCAP).
- [i.8] EUR Scientific and Technical Research Reports: "Radar Cross Section Measurements of Pedestrian Dummies and Humans in the 24/77 GHz Frequency Band". FORTUNY GUASCH Joaquim and CHAREAU Jean-Marc, 2013.
- [i.9] ETSI TR 103 181-2 (V1.1.1): "Electromagnetic compatibility and Radio spectrum Matters (ERM); Short Range Devices (SRD) using Ultra Wide Band (UWB); Transmission characteristics Part 2: UWB mitigation techniques".
- [i.10] Directive 2014/53/EU of the European Parliament and of the Council of 16 April 2014 on the harmonisation of the laws of the Member States relating to the making available on the market of radio equipment and repealing Directive 1999/5/EC (RED).
- [i.11] European Communications Office: "EFIS: ECO Frequency Information System".

NOTE: Available at <a href="https://efis.cept.org/">https://efis.cept.org/</a>.

[i.12] ETSI TR 103 181-1 (V1.1.1): "Short Range Devices (SRD) using Ultra Wide Band (UWB); Technical Report Part 1: UWB signal characteristics and overview CEPT/ECC and EC regulation".

### 3 Definition of terms, symbols and abbreviations

#### 3.1 Terms

For the purposes of the present document, the following terms apply:

**antenna port:** physical port, for connection of an antenna used for intentional transmission and/or reception of radiated RF energy

co-located: receiver is located in the same device/EUT housing as the transmitter

event failure rate: ratio of failed tests compared to total number of tests

mainbeam: direction of maximum radiation

Received Power at the EUT ( $P_{@EUT}$ ): received power at the EUT and represents the signal the EUT is able to detect.  $P_{@EUT}$  is similar to the specified sensitivity level for the EUT as specified in the related standard (kind of power (e.g. dBm or dBm/MHz) and limit, see note)

NOTE: Sensitivity @  $EUT = P_{@EUT}$ .

**Receiver Baseline Resilience** (**RBR**): capability to maintain a pre-determined minimum acceptable level of performance in the presence of unwanted signals over the frequency band of operation, applicable adjacent and remote frequency bands

**Receiver Baseline Sensitivity (RBS):** capability to receive a wanted signal at application related defined input signal levels while providing a pre-determined minimum acceptable level of technical performance

NOTE 1: The pre-determined minimum acceptable level of technical performance is the basis for all other receiver parameters.

NOTE 2: The purpose of the sensitivity requirement is to assure a basic measure of efficient use of spectrum that strikes balance between sensitivity and the need to avoid being sensitive to interference.

### 3.2 Symbols

For the purposes of the present document, the following symbols apply:

σ Radar Cross Section

 $\Delta D$  degradation of the distance from RBR test

 $\Delta f$  additional frequency range to increase the range (ORF<sub>RBR</sub>) for the RBR interferer assessment

A size of the antenna aperture  $A_{eff}$  effective area of the antenna [m<sup>2</sup>]

att attenuation of the "Variable Attenuator" in [dB]

c the velocity of light [m/s]

ca cable attenuation

cf coupling factor of the coupler in [dB] degradation of the sensitivity in [dB]

dB decibel

dBi gain in decibels relative to an isotropic antenna dBm gain in decibels relative to one milliwatt dl attenuation of the "Delay Line" in [dB]
Dint distance between interfering antenna and EUT

D<sub>min</sub> minimum distance from EUT to a specified object the EUT is able to detect

D<sub>real</sub> real distance between EUT and target simulator in [m]

D<sub>sens</sub> minimum range from an ideal/companion source to the EUT (RX) value in [m]

D<sub>scal</sub> scaled distance for the RBS tests

D<sub>sim</sub> simulated target distance within target simulator in [m]

f test frequency in [GHz]

f<sub>C</sub> centre frequency of the EUT OFR

 $\begin{array}{ll} F_{LOWER} & lowest \ frequency \ of \ receiver \ spurious \ emission \ test \\ F_{UPPER} & highest \ frequency \ of \ receiver \ spurious \ emission \ test \\ g_{measure} & measurement \ antenna \ gain \ in \ [dBi] \ at \ test \ frequency \ f \\ g_t & measurement \ test \ antenna \ gain \ in \ [dBi] \ at \ test \ frequency \ f \end{array}$ 

g<sub>e</sub> EUT antenna gain in [dBi]

g<sub>rt</sub> measurement receiving test antenna gain in [dBi] at test frequency f

G<sub>int</sub> interfering transmit antenna

g<sub>int</sub> antenna gain of test antenna to transmit interfering signal [dBi]

 $\begin{array}{ll} G_{RX} & \text{gain of the receiving antenna} \\ G_{TX} & \text{gain of the transmitting antenna} \\ \text{il} & \text{insertion loss of the coupler in [dB]} \end{array}$ 

IP@EUT interfering Power@EUT

IP<sub>out</sub> output power of the interference signal source (generator)
ORF<sub>RBR</sub> Frequency range for the RBR interferer assessment

P@EUT Sensitivity @ EUT

P<sub>EUT</sub> measured transmitted power of the EUT [e.g. dBm/MHz] or in [W]

P<sub>meas</sub> measured received power with the spectrum analyser

P<sub>out</sub> output power of the signal generator A

 $P_{\text{reg}} \hspace{1cm} \text{maximum regulated radiated emission for ideal TX/companion device} \\$ 

 $P_{RX}$  power received back from the object by the EUT [W]  $P_{trans}$  measured transmitted power from the EUT in [dB]

P<sub>TX</sub> transmitter power [W]

 $RBR_{in\text{-}band}$  frequency range for the RBR interferer assessment (ORF<sub>RBR</sub> +  $2\Delta f$ )

 $\begin{array}{ll} RX_{ref} & \text{sensitivity limit at antenna port} \\ RX_{refsense} & \text{scaled sensitivity limit for the RBS test} \end{array}$ 

SCP Scaling factor (absolute value)

X<sub>VALUE</sub> symbol for a value/limit specified in the related standard

#### 3.3 Abbreviations

For the purposes of the present document, the following abbreviations apply:

ACS Adjacent Channel Selectivity
ADM Accuracy in Distance Measurement

BER Bit Error Rate

CATR Compliant And TRansportable far-field

CEPT European Conference of Postal and Telecommunications administrations

CW Continuous Wave DAA Detect And Avoid

ECC Electronic Communications Committee
ECO European Communications Office
EFIS ECO Frequency Information System

EFR Event Failure Ratio EN European Norm ENAP EN Approval Process

ERM Electromagnetic compatibility and Radio spectrum Matters

EUT Equipment Under Test

EUT-RX Receiver of the Equipment Under Test
FCC Federal Communications Commission
FMCW Frequency Modulated Continuous Wave

HH Horizontal - Horizontal

LAES Location tracking Applications for Emergency Services

LBT Listen Before Talk
LT1 Location Tracking type 1
LT2 Location Tracking type 2
MIMO Multiple Input Multiple Output

NA Not Applicable

ODP Object Detection Probability
OFR Operating Frequency Range

OOB Out Of Band
OTA Over The Air
PER Packet Error Rate

RBR Receiver Baseline Resilience
RBS Receiver Baseline Sensitivity
RBW Resolution BandWidth
RC Remote Consensus
RCS Radar Cross Section
RED Radio Equipment Directive

RF Radio Frequency
RMS Root Mean of Squares
RP Radiated Power

RX Receiver

SE Spectrum Engineering SRD Short Range Device

STF Special Task Force of ETSI

TB Technical Body
TG Technical Group
TPC Total Power Control
TX Transmitter

UWB Ultra Wide Band
VBW Video BandWidth
VV Vertical - Vertical
WG Working Group

### 4 General

The present document provides practical information and guidance for the compliance receiver tests of UWB and Short Range technology and devices.

The baseline receiver requirements were developed based on the findings of ETSI TR 103 566 [i.2] and ETSI TS 103 567 [i.3], where the signal interferer handling concept from ETSI TS 103 361 [i.4] has been analysed on its applicability for the RED [i.10].

The baseline receiver concept is a set of two parameters given in clause 5 of the present document providing guidance for harmonised standard development, which can be further refined by the responsible TB.

Baseline receiver concept comprises the following parameters:

- Receiver Baseline Sensitivity (RBS); see clause 5.4; and
- Receiver Baseline Resilience (RBR); see clause 5.5.

The baseline receiver concept is a further development of the signal interferer handling concept, see ETSI TS 103 361 [i.4].

In annex C these two parameters are put in relation to existing receiver parameters given in ETSI EG 203 336 [i.1] and the assessments prepared by ETSI STF 494 and 541. Annex C additionally provides the summary assessment/justification for this new baseline receiver concept described in the present document.

### 5 Receiver Requirements

### 5.1 General Guidance on RX measurement

Complementary information to the conformance tests in the clauses below are provided in annexes A and B of ETSI EN 303 883-1 [i.6], for example:

- test conditions, power supply and ambient temperatures (see clause A.5 of ETSI EN 303 883-1 [i.6]);
- measurement uncertainty and the interpretation of the measurement results (see clause A.8 of ETSI EN 303 883-1 [i.6]);
- test setups and radiated measurements (see annex B of ETSI EN 303 883-1 [i.6]).

### 5.2 Receiver Spurious Emissions

### 5.2.1 Description

The RX spurious emissions shall be measured within the frequency range defined in table 2.

Only applicable for receive only EUT (TX not present) or for EUT which has a receive only mode (TX inactive).

#### 5.2.2 Limits

The limit for RX spurious emissions could be provided in the applicable related standard

If no limits for RX spurious emissions are provided in the related standard, then the limits in table 1 shall apply.

Table 1: Receiver spurious emission limits in line with ERC REC 74-01 [i.5]

Frequency range	Limit values
FLOWER to 1 000 MHz (see note)	-57 dBm
1 GHz < f ≤ F <sub>UPPER</sub> (see note)	-47 dBm
NOTE: Fupper and Flower are linked w	ith the OFR of the EUT, see table 2.

Table 2: Frequency range for the RX spurious emission test, linked with EUT OFR in line with ERC REC 74-01 [i.5]

Fundamental frequency range	Frequency range for measurements	
defined by f <sub>L</sub> and f <sub>H</sub> (see note 2)	Lower frequency (FLOWER) (see note 3)	Upper frequency (FUPPER)
300 - 600 MHz	30 MHz	3 GHz
600 MHz - 5,2 GHz	30 MHz	5 <sup>th</sup> harmonic (see note 1)
5,2 - 13 GHz	30 MHz	26 GHz
13 - 150 GHz	30 MHz	2 <sup>nd</sup> harmonic (see note 1)
150 - 300 GHz	30 MHz	300 GHz

- NOTE 1: Fupper is the stated harmonic of f<sub>H</sub> (the upper edge of the OFR, which is measured in ETSI EN 303 883-1 [i.6], clause 5.2).
- NOTE 2: FLOWER has to be selected based on fL and FUPPER based on fH (fL and fH can be measured according to ETSI EN 303 883-1 [i.6], clause 5.2); for receive only devices fH and fL of the related EUT/companion device shall be used.
- NOTE 3: For EUT operating below 300 MHz the spurious emissions limits below 30 MHz shall be specified in the related standard.

#### 5.2.3 Conformance

#### 5.2.3.1 General

The following conformance test shall be used for RX unwanted emissions (OOB and spurious emissions) if not otherwise specified in the related standard.

The conformance test shall be performed in two steps:

- step 1: pre-scan with peak detector (see clause 5.2.3.2);
- step 2: if necessary, measurement with RMS detector (see clause 5.2.3.3).

NOTE: The split in two steps is done because: a complete scan with RMS could take a long time. The measurement with peak detector is an "overestimation" of the emission and is only to find the frequencies with the highest emissions that will be verified in step 2.

#### 5.2.3.2 Step 1: Measurement with Peak Detector

The following spectrum analyser settings shall be used:

Start frequency: F<sub>LOWER</sub>
 Stop frequency: F<sub>UPPER</sub>

NOTE 1: There could be a need to split the measurement into different frequency ranges depending on the measurement set-up (e.g. external mixers, bandwidth of antennas and waveguides, RBW).

- Resolution BandWidth (RBW):
  - $\geq$  100 kHz between 30 MHz and 1 GHz
  - $\geq$  1 MHz above 1 GHz
- Video BandWidth (VBW):  $\geq$  RBW
- Detector mode: peak

• Trace mode: max hold

• Sweep time: wait until the reading in the display is stable

- NOTE 2: The peak detector is sensitive to corruption by events occurring only once or for a very small amount of time and/or caused by different devices than the EUT.
- NOTE 3: If the signal repetition of the EUT is known the measurement time per measurement point is equal or larger of the signal repetition time.
- NOTE 4: The number of measurement points has to be at least equal or higher than the span of the spectrum analyser divided by the RBW.

**Assessment of step 1:** Compare the measurement results with the limit (see related standard and table 1) and record the frequencies where the limit is exceeded. For these frequencies go to step 2 (clause 5.2.3.3).

#### 5.2.3.3 Step 2: Measurement with RMS Detector

- Set the spectrum analyser to zero span mode
- Resolution BandWidth (RBW):
  - 100 kHz between 30 MHz and 1 GHz
  - 1 MHz above 1 GHz
- Video BandWidth (VBW):  $\geq$  RBW
- Detector mode: peak
- Trace mode: clear write
- Sweep time: 1 s; if nothing different is specified in the related standard:
  - a) Set the spectrum analyser to the first recorded frequency from step 1 (clause 5.2.3.2).
  - b) Measure and record the spurious emission value over the sweep time.
  - c) Calculate the RMS value over the sweep time, using the post processing capability function of the spectrum analyser.
  - d) Record the calculated RMS value and compare it against the limit (see related standard and table 1).
  - e) Repeat b) d) for all frequencies from step 1.

# 5.3 Use-Case Specific Input Parameters for Receiver Baseline Requirements

#### 5.3.1 Introduction

A meaningful test of receiver requirements is only possible with knowledge of the intended use (use cases) of the EUT. Therefore, the related standard (which is mostly use case specific) needs to provide all required use case specific input parameters for the receiver tests, such as:

- Technical wanted performance criteria to quantify the EUT output (e.g. BER in [%], PER in [%], False alarm rate, detection probability in [%], distance degradation).
- Sensitivity or distance limit for a radio communication application and/or a radiodetermination application.
- The RCS and the movement/velocity of the reference target for a radiodetermination application.

More information on possible parameters to be made available in related standards are offered in clauses 5.3.2 and 5.3.3.

#### 5.3.2 Technical Wanted Performance Criteria

For radio communication applications the following technical wanted performance criteria might be possible:

- after demodulation, a raw data signal with a Bit Error Ratio of 10<sup>-3</sup> without correction; or
- after demodulation, a message acceptance ratio of 80 %; or
- Packet Error Ratio (PER) [X] in %.

NOTE 1: For communication devices.

• Event Failure Ratio (EFR) [X] in %.

NOTE 2: For location tracking devices.

For radiodetermination applications the following technical wanted performance criteria might be possible:

- a false alarm rate of X %; or
- a detection probability of X %;
- measurement accuracy in  $\pm X$  of the distance, speed, material parameter.

The lists of technical wanted performance criteria above are non-exhaustive lists, other requirements can be specified in the related standard.

### 5.3.3 Examples of Use-Case Specific Input Parameter for Receiver Tests

#### 5.3.3.1 Communication/Location Tracking Device

Examples of use case specific input parameters for receiver tests for all kind of communication are provided in table 3 below. Such systems could be for example:

- Generic communication devices.
- Location tracking applications including Location Tracking type 1 (LT1), Location Tracking type 2 (LT2), and Location tracking Applications for Emergency Services (LAES), see ETSI TR 103 181-1 [i.12].
- Active/comfort keyless entry systems.



Figure 1: Generic communication (test) scenario

Table 3: Examples of technical wanted performance criteria for communication and location tracking applications

Use-case related requirements			
D <sub>sense</sub>	Minimum range D <sub>sense</sub> from an id	deal/companion source to the	
	EUT (RX) value in [m]		
Preg	Maximum regulated radiated em	Maximum regulated radiated emission for ideal TX/companion	
	device		
	See note 1		
Signal information	Datarate, Bandwidth	Datarate, Bandwidth	
	See note 1		
Possible tec	hnical wanted performance criterio	n	
Packet Error Ratio (PER)	X <sub>VALUE</sub> , see note 2		
Event Failure Ratio (EFR)	X <sub>VALUE</sub> , see note 3	Alternative	
Sensitivity Limit at antenna port (RX <sub>ref</sub> )	X <sub>VALUE</sub> in [dBm or dBm/MHz]	Alternative	
NOTE 1: See TX-requirement in related standard.			
NOTE 2: For communication devices.			
NOTE 3: For location tracking devices.			

Each related standard should provide such table for each operational mode defined in the related standard.

NOTE: Only the relevant requirement for the use-case needs to be provided in the related standard.

#### 5.3.3.2 Radio Determination Device (non-contact based)

Examples of use case specific input parameters for receiver tests for all kind of radar such as determination devices, which are able to detect objects via air (non-contact base), are provided in table 4. Such systems could be for example:

- (Tank) level probing radar.
- Surveillance radars.
- Intrusion detection sensors.
- Through-free space non-contact vital signs sensor.

The technical wanted performance criteria of such EUT (see table 4) is the verification if the EUT is able to detect a specified object at a specified distance. This could be a static scenario (object quasi fixed relative to the EUT, see figure 2) or with a moving object (relative movements to the EUT, see figure 3).

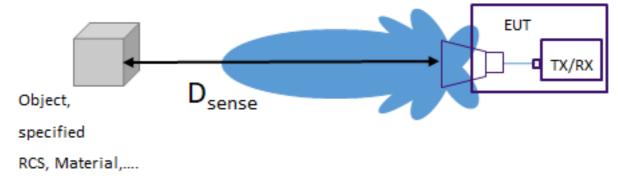


Figure 2: Generic static scenario

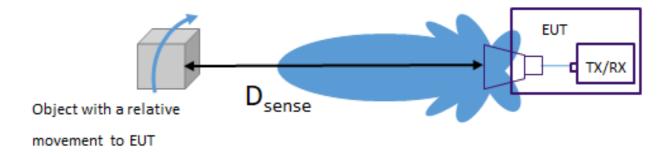


Figure 3: Generic scenario with movements

Table 4: Examples of technical wanted performance criteria for radio determination applications

Possible requirements related to the use-case/intended use (scope of the EN) for each RX-test			
Requirement	Remark	Limit	
	Possible technical wanted performance criteria		
Detection probability	Minimum value which each EUT shall fulfill (e.g. the detection	XVALUE in [%] over number	
	probability limit is 90 % and the measured detection	of measurements of the	
	probability shall be ≥ 90 %)	EUT	
False alarm rate	Maximum value which each EUT shall fulfill (e.g. false alarm	XVALUE in [%] over number	
	rate limit is 10 % and the measured false alarm rate shall be	of measurements of the	
	≤ 10 %)	EUT	
	Specification of distance and object for detection		
Distance/Sensitivity	Value of distance the EUT shall be able to detect the object	X <sub>VALUE</sub> in [m]	
	(e.g. the EUT shall be able to detect a RCS of = 10 dBsm in a		
	distance of = 10 m)		
RCS	Smallest object the EUT shall be able to detect	X <sub>VALUE</sub> in [m <sup>2</sup> ]	
RCS deviation		± X <sub>VALUE</sub> in [m <sup>2</sup> ]	
Distance deviation		± X <sub>VALUE</sub> in [m] or	
		± X <sub>VALUE</sub> in [%] per distance	
Object speed	If there is a speed dependent requirement, moving object information	X <sub>VALUE</sub> in [m/s]	
Object separation	Minimum distance between two object the EUT shall be able to differentiate	X <sub>VALUE</sub> in [m]	

Table 5: Example of technical wanted performance criteria for some specific radio determination application

Use-case related requirements			
D <sub>sense</sub>	Can be specified either:  • as distance (D <sub>sense</sub> )between EUT and specified object (see below) in [m]; or  • the field strength X <sub>VALUE</sub> in [V/m] of the receiving signal at the EUT.		
Object  To specify the smallest object the EUT shall be abled detect (RCS, object speed, object separation).  EXAMPLE: The EUT shall be able to detect a RC = 2 m² in a distance of D <sub>sens</sub> = 10 m v fulfilling the wanted technical perform criteria (see below).			
P <sub>reg</sub>	Maximum regulated emission for ideal EUT. See note 1.		
Scenario	Objects, Speed, object separation. See note 2.		
Possible technical wante	d performance criterion (see note 5)		
Object Detection Probability (ODP)	X <sub>VALUE</sub> in [%]. See note 3.		
	EXAMPLE: The detection probability limit is 90 % and the measured detection probability shall be ≥ 90 %).		
False alarm rate	XVALUE in [%]. See note 4.		
	EXAMPLE: False alarm rate limit is 10 % and the measured false alarm rate shall be ≤ 10 %.		
Accuracy in Distance Measurement (ADM)	In $\pm X_{VALUE}$ in [%] of the distance between EUT and object.		
NOTE 1: See TX-requirement in the related EN.  NOTE 2: Detailed scenario set-up shall be provided in related EN.  NOTE 3: During number of measurements of the EUT.  NOTE 4: During number of measurements of the EUT(alternative to ODP).			
NOTE 5: The related standard needs to specify at	NOTE 5: The related standard needs to specify at least one performance criteria for the specified intended use.		

Each related standard should provide such table for each operational mode defined in the standard.

NOTE: More information on object specification and RCS are provided in annex D.

#### 5.3.3.3 Material contact-based radio determination device

These technical wanted performance requirement criteria cover all kind of determination devices which are in contact with the material under investigation (e.g. humidity measurement of a wall) or which are developed to detect an object inside another material (e.g. stud finder) or a combination of both (e.g. building material analysis).

In other words, the technical wanted performance criteria of such EUT is the verification if the EUT is able to detect an object inside another material or to determine specific characteristics of a material.

NOTE 1: For such devices there may be a need to have a relative "movement" between the EUT and the material/object under investigation. This could be realized by:

- EUT moved over the material under investigation, see figure 4.
- The antenna characteristics of the EUT can be changed (e.g. scanning antenna pattern), see figure 4.
- The object which shall be detected has its own movement (e.g. heartbeat detection), see figure 5.

This "relative" movement is part of the intend use/technical performance criteria and shall be specified in the related harmonised standard.

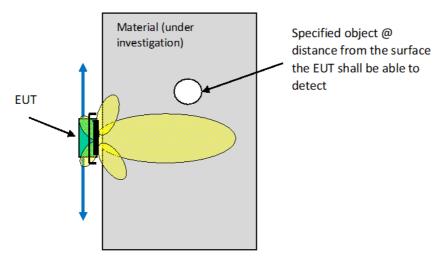


Figure 4: Scenario in which the EUT has a relative movement to the material under investigation

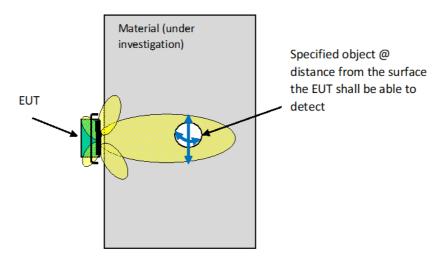


Figure 5: Scenario in which the object has a relative movement to the EUT

Examples of use case specific input parameters for receiver tests for these radio determination devices are provided in table 6.

NOTE 2: There are also use-case possible which area combination of clauses 5.3.3.2 and 5.3.3.3. This could be the case if the material investigation will be realized via air, e.g. vital sign monitoring.

Table 6: Examples of technical wanted performance criterion for material investigation applications

Use-cas	e related requirements	
D <sub>sense</sub>	Specified distance D <sub>sense</sub> between EUT and object (inside	
	the material under investigation, see below).	
Material parameters	Material characteristic information.	
	See note 1.	
Object	To specify the smallest object the EUT shall be able to detect (RCS, object size, object material, object speed, object separation).	
	EXAMPLE: The EUT shall be able to detect a defined object in a distance of D <sub>sense</sub> while fulfilling the technical wanted performance criteria (see below).	
	Maximum regulated emission for ideal EUT.	
	See note 2.	
Scenario	Description of test scenario.	
	See note 3.	
	hnical performance criteria	
Object Detection Probability (ODP)	[X] in %.	
	EXAMPLE: The detection probability limit is 90 % and the measured detection probability shall be ≥ 90 %.	
Accuracy in Distance Measurement (ADM)	In ± % of the distance between EUT and object.	
Object detection	Yes/No.	
NOTE 1: More information about RCS, see anne.	x D.	
NOTE 2: See TX-requirement in the related EN.		
NOTE 3: Detailed scenario set-up shall be provid	led in related EN (included if a trained operator is necessary for	

Each related standard should provide such a table for each operational mode defined in the standard.

the test to "realize" the relative movement between EUT and object).

NOTE 3: Only the relevant requirement for the use-case needs to be provided in the standard.

### 5.4 Receiver Baseline Sensitivity (RBS)

### 5.4.1 Description

Receiver Baseline Sensitivity is the capability to receive a wanted signal at application related defined input signal levels while providing a pre-determined minimum acceptable level of technical performance.

Two different use cases need to be differentiated due to the different test scenario:

- Radio communication applications: EUT (Receiver) and a TX-counterpart; or
- Radiodetermination applications: target with defined RCS in a distance to the Transceiver.

#### 5.4.2 Limits

The limit for receiver (baseline) sensitivity needs to be provided in the related standard for the use cases requirements described in clause 5.3.2.

Examples are provided for:

- communication/location tracking devices in clause 5.3.3.1;
- for radio determination device (non-contact based) in clause 5.3.3.2;

• for material contact based radio determination devices in clause 5.3.3.3.

The sensitivity limit could be coupled to the TX power of the EUT or companion device. An example of how to couple the sensitivity limit with the TX power is described in annex B. The related "scaling" parameter SCP needs to be specified in the related standard.

#### 5.4.3 Conformance

#### 5.4.3.1 General

Table 7 summarizes applicable conformance tests and limits for the baseline sensitivity requirement dependent on:

- the application (radio communication or radiodetermination);
- the availability of an antenna connector;
- the availability of an adjustable TX counterpart.

Table 7: Sensitivity measurement procedures and conformance tests

	Radio communication applications			Radiodetermination applications			
Antenna connector	available	Not available (integral antenna)		Not available	available		
(temporary or permanent)				(integral antenna)			
transmitter for Rx tests	NA	Available	Not available	NA	NA		
with adjustable Tx power							
(see note)							
Test scenario	Conducted	Radiated	Radiated	Radiated	Conducted		
Conformance tests	Clause 5.4.3.2	Clause 5.4.3.3	Clause 5.4.3.4	Clause 5.4.3.5	Clause 5.4.3.6		
NOTE: TX signal of the same EUT or separate signal generator/companion device.							

#### 5.4.3.2 Conducted measurements for radio communication devices

#### 5.4.3.2.0 General

A Signal generator A (or a companion transmitter) shall produce a test signal according to the modulation of the EUT (see related standard).

#### 5.4.3.2.1 Step 1: Technical Wanted Performance Criteria

Specifications for the technical performance criteria and the related parameters shall be taken from the related standard (see also clause 5.3.3.1 and table 3).

#### 5.4.3.2.2 Step 2: Sensitivity Requirement (Power)

The sensitivity limit shall be derived based on the approach in clause B.1 for the case that the scaling factor approach from annex B is used in the related standard. Otherwise the related standard shall provide the sensitivity limit at the EUT.

#### 5.4.3.2.3 Step 3: Measurement Procedure

The EUT shall be connected through a variable attenuator and a directional coupler to the output of a signal generator A (or a companion transmitter) according to figure 6.

The received power level at the EUT could be measured with a spectrum analyser at the output of the directional coupler according to figure 6.

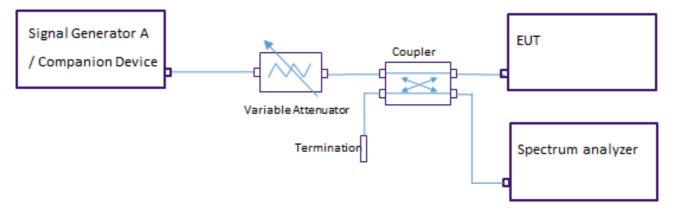


Figure 6: Conducted RBS measurement set-up for communication and location tracking applications

For Signal generator A: The output of signal generator A shall be switched on:

• at the operating frequency of the EUT.

For companion device: It shall be switched on:

• the output shall be set to the same mode and frequency as the EUT-RX.

The power at the EUT can be calculated as follows (see figure 7 and equation (1)) out of the measurement.

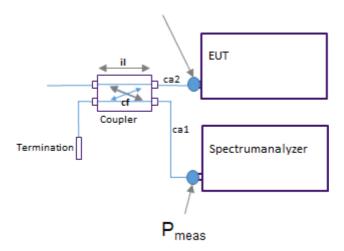


Figure 7: To calculate P@ EUT

$$P_{@EUT} = P_{meas} + ca1 + cf - il - ca2$$
 (1)

with:

• P@EUT: received power at the EUT (similar (type and limit) to sensitivity level of the EUT as specified in the related EN).

NOTE 1: Some devices could use dBm limits, other dBm/MHz.

- $P_{meas:}$  measured received power with the spectrum analyser in [see  $P_{@EUT}$ ].
- ca: cable attenuation in [dB].
- cf: coupling factor of the coupler in [dB].
- il: insertion loss of the coupler in [dB].

- NOTE 2: The usage of a coupler is from the technical point of view not necessary. The power at the EUT could be measured also by replacing the EUT with a spectrum analyser. But the usage of a coupler would allow the monitoring of the signal during the test and for the necessary "interferer tests" there would be no change in the signal path necessary to get an option to incorporate the interfering signal source into the test setup.
- NOTE 3: Possible RX antenna gain and/or temporary antenna connector influences need to be considered in the assessment of the RBS requirement in the related standard.

The output power and/or the variable attenuator (see figure 6) shall be adjusted according to one of the following options:

- Option 1: Adjust the power at the EUT with the variable attenuator until the received power at the EUT is equal to or less the sensitivity limit specified in the related standard. The technical performance criterion (which shall be provided in the related standard), shall be then measured and recorded.
- Option 2: Adjust the power at the EUT with the variable attenuator until the technical performance criterion is just achieved; then measure the power at the EUT antenna port.

#### 5.4.3.2.4 Step 4: Technical Wanted Performance Criteria Assessment

The test is passed, if the technical wanted performance criteria and the sensitivity limit are met, see related standard.

#### 5.4.3.3 Radiated Measurements for Radio Communication Devices with Power Limit

#### 5.4.3.3.0 General

A Signal generator A (or a companion transmitter) shall produce a test signal according to the modulation of the EUT (see related standard).

A test site shall be selected from those described in ETSI EN 303 883-1 [i.6], clause B.2.2.

#### 5.4.3.3.1 Step 1: Technical Wanted Performance Criteria

Specifications for the technical performance criteria and the related parameters shall be taken from the related standard (see also clause 5.3.3.1 and table 3).

#### 5.4.3.3.2 Step 2: Sensitivity Requirement (Power)

The sensitivity limit shall be derived based on the approach in clause B.2 for the case that the scaling factor approach from annex B is used in the related harmonised standard. Otherwise the related standard shall provide the sensitivity limit at the EUT.

#### 5.4.3.3.3 Step 3: Measurement Procedure

The principal set-up is shown in figure 8.

For the signal source two options are possible:

- With a signal generator: The output of a signal generator A shall be connected to a transmit test antenna. A transmit test antenna according to ETSI EN 303 883-1 [i.6], clause B.2.2.5 shall be placed to the test site pointing with its mainbeam to the EUT and at the same height as the EUT.
- With a companion transmitter: The companion transmitter with integral antenna shall be placed to the test site pointing with its mainbeam to the EUT and at the same height as the EUT.

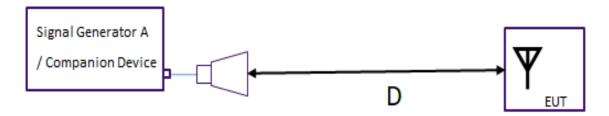


Figure 8: Radiated RBS test set-up for communication and location tracking use-cases

For Signal generator A: The output of signal generator A shall be switched on:

• at the operating frequency of the EUT.

For companion device: It shall be switched on:

• the output shall be set to the correct/similar mode than the EUT-RX.

Two options are possible to adjust the power at the EUT:

- Option 1 (recommended method): Adjust the power at the signal generator A/companion device and/or change the distance D until the received power at the EUT is equal to or less than the Sensitivity Requirement as assessed in step 2, (clause 5.4.3.3.2); record the power of signal generator A/companion device and distance D.
- Option 2: Adjust the power at the signal generator A/companion device and/or change the distance D until the technical performance criterion is just achieved; record the power of signal generator A/companion device and distance D.

The power received at the EUT antenna port cannot directly be measured due to the integral antenna. Therefore, the power received at the EUT antenna port will be derived based on an assumed antenna gain of the EUT of 0 dBi. It can then be calculated with the known radiated power e.i.r.p. of the signal generator A (or of the companion transmitter), the distance D to the EUT and the test frequency f, see figure 9 and equation (2).

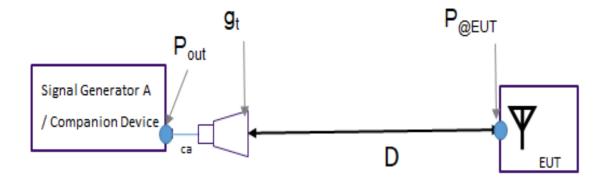


Figure 9: Calculation Pout for test the specified radiated sensitivity level of the EUT

$$P_{\text{@EUT}} = P_{\text{out}} + g_t - 32.5 - 20 \log(D) - 20 \log(f) - ca$$
 (2)

with:

- Pout: output power of the signal generator A (same dimension as sensitivity limit of the EUT).
- gt: measurement test antenna gain in [dBi] at test frequency f.
- D: distance in [m].
- f: test frequency in [GHz].
- ca: cable attenuation between Signal Generator A and test antenna in [dB].

• P@EUT: received power at the EUT (similar (kind and limit) to sensitivity level of the EUT as specified in the related standard).

The power received at the EUT antenna port can alternatively be measured directly by replacing the EUT by a measurement antenna (known antenna gain) with a spectrum analyser, see figure 10 and equation (3).

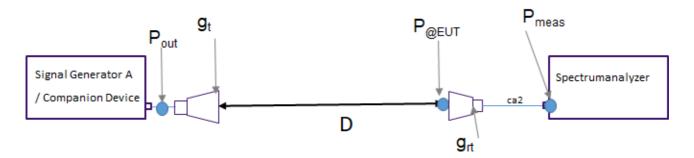


Figure 10: Measurement sensitivity level @ EUT

$$P_{\text{@EUT}} = P_{\text{meas}} + \text{ca2} - g_{\text{rt}}$$
 (3)

with:

- $P_{@EUT}$ : received power at the EUT (similar (kind and limit) to sensitivity level of the EUT as specified in the related EN).
- P<sub>meas</sub>: measured power at the spectrum analyser in [similar to sensitivity level of the EUT].
- g<sub>rt</sub>: measurement receiving test antenna gain in [dBi] at test frequency f.
- ca2: cable attenuation between receiving test antenna and spectrum analyser in [dB].

#### 5.4.3.3.4 Step 4: Technical Wanted Performance Criteria Assessment

The test is passed, if the technical wanted performance criteria and the sensitivity limit are met, see related standard.

#### 5.4.3.4 Radiated measurements for radio communication devices with distance limit

#### 5.4.3.4.0 General

A Signal generator A (or a companion transmitter) shall produce a test signal according to the modulation of the EUT (see related standard).

A test site shall be selected from those described in ETSI EN 303 883-1 [i.6], clause B.2.2.

#### 5.4.3.4.1 Step 1: Technical Wanted Performance Criteria

Specifications for the technical performance criteria and the related parameters shall be taken from the related standard (see also clause 5.3.3.1 and table 3).

#### 5.4.3.4.2 Step 2: Sensitivity Requirement (Distance)

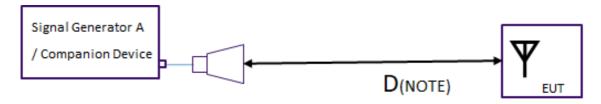
The sensitivity limit shall be derived based on the approach in clause B.3 for the case that the scaling factor approach from annex B is used in the related standard ( $D_{scal}$ ) [m]. Otherwise the related harmonised standard shall provide the distance limit ( $D_{sens}$ ) [m].

#### 5.4.3.4.3 Step 3: Measurement Procedure

The principal set-up is shown in figure 11.

For the signal source two options are possible:

- The output of a signal generator A shall be connected to a transmit test antenna. The transmit test antenna according to ETSI EN 303 883-1 [i.6], clause B.2.2.5 shall be placed on the test site pointing to the EUT and at the same height as the EUT.
- Alternatively, a companion transmitter could be used with the antenna mainbeam pointing to the EUT.



NOTE: Distance (D) see step 2, clause 5.4.3.4.2.

Figure 11: Radiated RBS test set-up for communication & location tracking use-cases; distance limit

The TX power of the signal generator A with test antenna and of the companion device shall be at the same level as the EUT. This shall be verified by the TX measurement specified in the related standard.

For Signal generator A: The output of signal generator A (or companion transmitter) shall be switched on:

- at the operating frequency of the EUT;
- the radiated power of the companion device or of signal generator plus test antenna shall be equal to the radiated power of the EUT (see related standard).

For companion device:

• the output shall be set to the correct/similar mode than the EUT-RX.

Two methods are now possible:

- Option 1 (recommended method): Adjust the distance D to the distance limit assessed in step 2 (clause 5.4.3.4.2); measure and record the technical wanted performance criteria.
- Option 2: Adjust the distance D until the technical wanted performance criteria is just achieved; record distance D. For this option the measured distance shall be larger than the distance limit in step 2 (clause 5.4.3.4.2).

#### 5.4.3.4.4 Step 4: Technical Wanted Performance Criteria Assessment

The test is passed, if the technical wanted performance criteria and the sensitivity limit are met, see related standard.

# 5.4.3.5 Radiated Measurements for Radiodetermination Applications with Distance Limit

#### 5.4.3.5.0 General

A test site shall be selected from those described in ETSI EN 303 883-1 [i.6], clause B.2.2.

#### 5.4.3.5.1 Step 1: Technical Performance Criteria

Specifications for the technical performance criteria and the related parameters shall be taken from the applicable standard (see also clauses 5.3.3.2 and 5.3.3.3).

The test scenario shall be set up as described in the related standard.

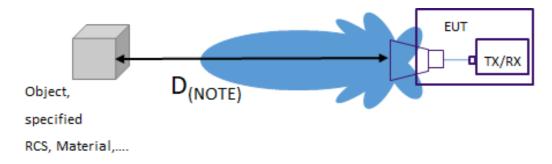
#### 5.4.3.5.2 Step 2: Sensitivity Requirement (Distance)

The sensitivity limit shall be derived based on the approach in clause B.3 for the case that the scaling factor approach from annex B is used in the related standard ( $D_{scal}$ ) [m]. Otherwise the related standard shall define the distance of the detection range of the EUT as distance for the sensitivity requirement,  $D_{sens}$  [m].

#### 5.4.3.5.3 Step 3: Measurement Procedure

The EUT shall be placed with its operational direction pointing to the reference target/object (to be taken from the related standard, see also clauses 5.3.3.2 and 5.3.3.3) in a given distance. The target could also be simulated with a target simulator (see below and annex D).

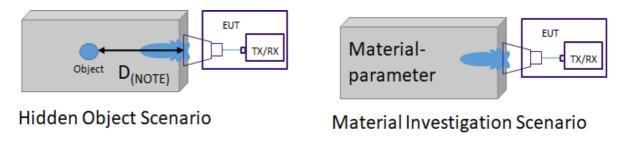
In figure 12 a generic determination use case "via" free space is shown. Examples of other possible use-cases are described in clauses 5.3.3.2 and 5.3.3.3.



NOTE: Distance (D) see step 2, clause 5.4.3.5.2.

Figure 12: Radiated RBS test set-up for radio determination via free space

For radio determination applications which work on close contact with a "material" the EUT (see clause 5.3.3.3 and figure 13) shall be placed as described in the user manual to the reference material/object. The detailed test procedure shall be described in the related standard.



NOTE: Distance (D) see step 2, clause 5.4.3.5.2.

Figure 13: Radiated RBS test set-up for radio determination in contact with material

For the case that the usage of a target simulator (see annex D) is specified within the related standard (e.g. if  $D_{sense}$  is too large for realization within test environment), then the distance of  $D_{sense}$  can be assessed as shown in figure 14 and equation (4).

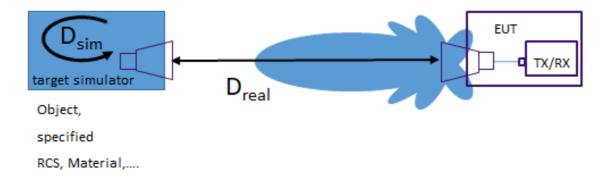


Figure 14: Radiated RBS test set-up for radio determination via free space and target simulator

$$D_{\text{sense}} = D_{\text{real}} + D_{\text{sim}} \tag{4}$$

with:

- D<sub>sens</sub>: specified distance in the related standard for the RBS test [m], see clause 5.4.3.5.2 and related standard.
- D<sub>real</sub>: real distance between EUT and target simulator in [m].
- D<sub>sim</sub>: simulated target distance within target simulator in [m].

The EUT shall be switched on.

Two methods are now possible:

- Option 1 (recommended method): Adjust the distance D to the distance limit assessed in step 2 (clause 5.4.3.5.2); measure and record the technical wanted performance criteria.
- Option 2: Adjust the distance D until the technical wanted performance criteria is just achieved; record distance D. For this option the measured distance shall be larger than the distance limit in step 2 (clause 5.4.3.5.2).

#### 5.4.3.5.4 Step 4: Technical Wanted Performance Criteria Assessment

The test is passed, if the technical wanted performance criteria and the sensitivity limit are met, see related standard.

#### 5.4.3.6 Conducted measurements for radio determination devices

#### 5.4.3.6.0 General

A specific test site is not required as the measurement is conducted.

#### 5.4.3.6.1 Step 1: Technical Wanted Performance Criteria

The information on the technical performance criteria and the related parameters shall be taken from the related harmonised standard (see also clauses 5.3.3.2 and 5.3.3.3).

#### 5.4.3.6.2 Step 2: Sensitivity Requirement (Power)

The sensitivity limit shall be derived based on the approach in clause B.2 for the case that the scaling factor approach from annex B is used in the related harmonised standard. Otherwise the related harmonised standard shall provide the sensitivity limit in dBm at the EUT antenna port.

#### 5.4.3.6.3 Step 3: Measurement Procedure

The EUT shall be connected through a coupler, a variable attenuator and a delay line to a short-circuited cable. The short-circuited cable is to create a defined RX-signal. The minimum length of the cable (specific for the delay line) shall be specified in the related standard. By adapting the variable attenuator, the reflected power can be adjusted and thus also the received power at the EUT antenna port. The coupler is not necessary for RBS assessment but later required for the RBR test (see clause 5.5). A test set-up is shown in figure 15. The spectrum analyser is optional and not mandatory.

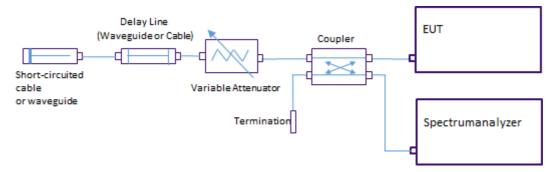


Figure 15: Conducted RBS test setup for radiodetermination applications

The power at the EUT (P@EUT) can be calculated (see (equation (5)) as follows (see figure 16) out of the measurement.

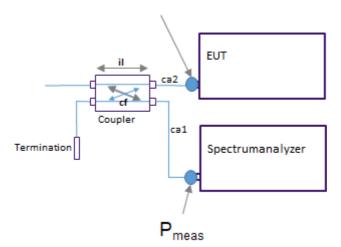


Figure 16: Calculation of the sensitivity @ EUT

$$P_{@EUT} = P_{meas} + ca1 + cf - il - ca2$$
 (5)

with:

- P<sub>meas</sub>: measured received power in (similar to sensitivity level of the EUT or transmit power of the EUT).
- ca: cable attenuation in [dB].
- cf: coupling factor of the coupler in [dB].
- il: insertion loss of the coupler in [dB].
- $P_{@EUT}$ : received power at the EUT (similar (type and limit) to sensitivity level of the EUT as specified in the related standard).

An alternative assessment/calculation without the use of a spectrum analyser is given in equation (6) and figure 17.

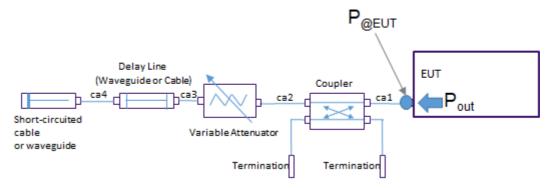


Figure 17: Calculation of the sensitivity @ EUT

$$P_{@EUT} = P_{out} - 2 x (ca1 + ca2 + ca3 + ca4 + il + dl + att)$$
 (6)

with:

- P<sub>out</sub>: measured transmitted power in (dBm/MHz or dBm).
- caX: cable attenuation in [dB].
- il: insertion loss of the coupler in [dB].
- dl: attenuation of the "Delay Line" in [dB].
- att: attenuation of the "Variable Attenuator" in [dB].

The variable attenuator shall be adjusted according to one of the following options:

- Option 1: Adjust the power at the EUT with the variable attenuator until the received power at the EUT is equal to or less the Sensitivity Requirement as assessed in step 2 (clause 5.4.3.6.2) specified in the related standard. The technical performance criterion (which shall be provided in the related standard), shall be then measured and recorded.
- Option 2: Adjust the power at the EUT with the variable attenuator until the technical performance criterion is just achieved; then measure the power at the EUT antenna port.

#### 5.4.3.6.4 Step 4: Technical Wanted Performance Criteria Assessment

The test is passed, if the technical wanted performance criteria and the sensitivity limit are met, see related standard.

### 5.5 Receiver Baseline Resilience (RBR)

### 5.5.1 Description

Receiver Baseline Resilience (RBR) is defined as the capability to maintain a pre-determined minimum acceptable level of performance in the presence of unwanted signals in the frequency band of operation, applicable adjacent and remote frequency bands.

### 5.5.2 RBR Requirements

#### 5.5.2.1 Interferer test signal

If nothing is specified in the related standard, then the test signals described in clause A.2 shall be used.

#### 5.5.2.2 RBR wanted performance criteria

The RBR wanted performance criteria shall be specified in the related standard.

#### 5.5.3 Conformance

#### 5.5.3.1 General

For the RBR conformance test the RBS measurement shall be used as starting point. Table 8 below shows the relationship between RBS (see clause 5.4.3.1; table 7) and RBR tests.

Table 8: Overview of RBS and RBR measurement procedures

	Radio communication applications			Radiodetermination applications			
Antenna connector (temporary or permanent)	Available	[		Not available (integral antenna)	Available		
Transmitter for RX tests with adjustable TX power. See note.	NA	Available	Not available	NA	NA		
Test scenario	Conducted	Radiated	Radiated	Radiated	Conducted		
RBS Conformance tests	Clause 5.4.3.2	Clause 5.4.3.3	Clause 5.4.3.4	Clause 5.4.3.5	Clause 5.4.3.6		
RBR Conformance tests	Clause 5.5.3.2	Clause 5.5.3.3	Clause 5.5.3.4	Clause 5.5.3.5	Clause 5.5.3.6		
NOTE: TX signal of the same EUT or separate signal generator/companion device.							

#### 5.5.3.2 Conducted Measurements for Radio Communication Devices

#### 5.5.3.2.1 Step 1: Start with the RBS Setup

The RBR measurement should continue where the RBS measurement has stopped in clause 5.4.3.2.

#### 5.5.3.2.2 Step 2: Adding Interfering Signal Source

Add the interfering signal source to the conformance RBS test set-up (see figure 18).

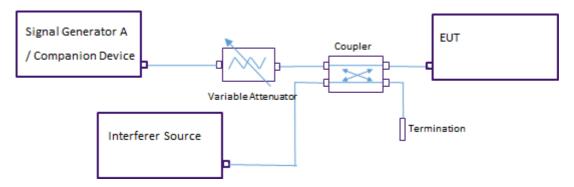


Figure 18: Conducted RBR test set up for communication

The output power of the interfering source shall be calculated that the interferer power level at the EUT is as specified in clause 5.5.2. For power level calculation, see clause A.3.

#### 5.5.3.2.3 Step 3: Degradation of Sensitivity Requirement

A degradation of the sensitivity  $d_g$  in dB should be specified in the related standard. If nothing is specified in the related standard a value of 3 dB shall be used.

#### 5.5.3.2.4 Step 4: Test of Technical Wanted Performance Criteria

- Switch on EUT and Signal generator A/companion device.
- Check if EUT is working as intended (see RBS test).
- Switching on Interferer source with signals as specified in clause 5.5.2.

• Measure and record the technical performance criteria at the EUT.

Two methods are now possible:

- Option 1: Increase the power at the EUT with the variable attenuator to a value of sensitivity + dg from step 3 (see clause 5.5.3.2.3).
- Option 2: Adjust the power at the EUT with the variable attenuator until the technical wanted performance criteria is just achieved; then measure the power at the EUT antenna port.

#### 5.5.3.2.5 Step 5: Measurement Assessment

The test is passed, if the technical wanted performance criteria and the RBR limits are met, see related standard.

#### 5.5.3.2.6 Step 6: Repetition of steps 4 and 5

Repetition of steps 4 and 5 for each interfering signal as specified in clause 5.5.2.

#### 5.5.3.3 Radiated Measurements for Radio Communication Devices with Power Limit

#### 5.5.3.3.1 Step 1: Start with the RBS Setup

The RBR measurement should continue where the RBS measurement has stopped in clause 5.4.3.3.

#### 5.5.3.3.2 Step 2: Adding Interfering Signal Source

Add the interfering signal source to the conformance RBS test set-up (see figure 19).

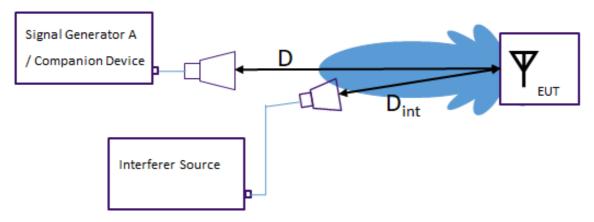


Figure 19: Radiated RBR test set up for communication

The antenna causing the interfering signal shall be adjusted/placed in such way that:

- the mainbeam is pointing towards the EUT;
- the interfering signal is within the mainbeam of the EUT;
- D<sub>int</sub> is large enough that the EUT is within the farfield of the interfering antenna.

The output power of the interfering source and  $D_{int}$  shall be calculated that the interferer power level at the EUT is as specified in clause 5.5.2. For power level calculation, see clause A.3.

#### 5.5.3.3.3 Step 3: Degradation of Sensitivity Requirement

A degradation of the sensitivity  $d_g$  in dB should be specified in the related standard. If nothing is specified in the related standard a value of 3 dB shall be used.

#### 5.5.3.3.4 Step 4: Test of Technical Wanted Performance Criteria

- Switch on EUT and Signal generator A/companion device.
- Check if EUT is working as intended (see RBS test).
- Switch on Interferer source with signals as specified in clause 5.5.2.
- Measure and record the technical performance criteria at the EUT.

#### Assessment:

• Increase the power at the EUT to a value of sensitivity + dg from step 3 (e.g. at the signal generator A/companion device and/or by changing the distance D).

#### 5.5.3.3.5 Step 5: Measurement Assessment

The test is passed, if the technical wanted performance criteria and the RBR limits are met, see related standard.

#### 5.5.3.3.6 Step 6: Repetition of steps 4 and 5

Repetition of steps 4 and 5 for each interfering signal as specified in clause 5.5.2.

# 5.5.3.4 Radiated Measurements for Radio Communication Devices with Distance Limit

#### 5.5.3.4.1 Step 1: Start with the RBS setup

The RBR measurement should continue where the RBS measurement has stopped in clause 5.4.3.4.

#### 5.5.3.4.2 Step 2: Adding interfering signal source

Add the interfering signal source to the conformance RBS test set-up (see figure 20).

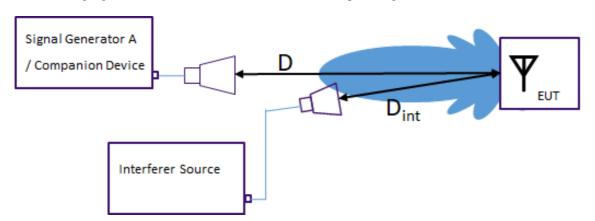


Figure 20: Radiated RBR test set up for communication

The antenna causing the interfering signal shall be adjusted/placed in such way that:

- the mainbeam is pointing towards the EUT;
- the interfering signal is within the mainbeam of the EUT;
- D<sub>int</sub> is large enough that the EUT is within the farfield of the interfering antenna.

The output power of the interfering source and D<sub>int</sub> shall be calculated that the interferer power level at the EUT is as specified in clause 5.5.2. For power level calculation, see clause A.3.

#### 5.5.3.4.3 Step 3: Degradation of Sensitivity Requirement

A degradation  $\Delta D$  of the distance as assessed in the related step 2 of the RBS test (see clause 5.4.3.4.2) should be specified in the related standard. If nothing is specified in the related standard a value of 30 % from the distance in step 2 of the RBS (clause 5.4.3.4.2) test shall be used as  $\Delta D$ .

The context for the distance is shown in equation (7):

$$D = D_{\text{sense/scal}} - \Delta D \tag{7}$$

with:

D: Distance for the test.

D<sub>sense/scal</sub>: Distance from RBS measurement see clause 5.4.3.4.2.

ΔD: Degradation of the distance from RBR test, measurement see clause 5.5.3.4.3.

#### 5.5.3.4.4 Step 4: Test of Technical Wanted Performance Criteria

- Switch on EUT and Signal generator A/companion device.
- Check if EUT is working as intended (see RBS test).
- Switch on Interferer source with signals as specified in clause 5.5.2.
- Measure and record the technical wanted performance criteria at the EUT.

Two methods are now possible:

- Option 1 (recommended method): Decrease the distance D, see equation (7); measure and record the technical wanted performance criteria.
- Option 2: Adjust the distance D until the technical performance criterion is just achieved; record distance D. For this option the measured distance shall be larger than the distance limit in step 3 of the test (see clause 5.5.3.4.3).

#### 5.5.3.4.5 Step 5: Measurement Assessment

The test is passed, if the technical wanted performance criteria and the RBR limits are met, see related standard.

#### 5.5.3.4.6 Step 6: Repetition of steps 4 and 5

Repetition of steps 4 and 5 for each interfering signal as specified in clause 5.5.2.

# 5.5.3.5 Radiated Measurements for Radiodetermination Applications with Distance Limit

#### 5.5.3.5.1 Step 1: Start with the RBS Setup

The RBR measurement should continue where the RBS measurement has stopped in clause 5.4.3.5.

#### 5.5.3.5.2 Step 2: Adding interfering signal source

Add the interfering signal source to the conformance RBS test set-up (see figures 21 and 22).

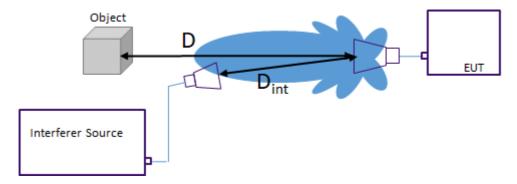


Figure 21: Radiated RBR test set up for radiodetermination via free space

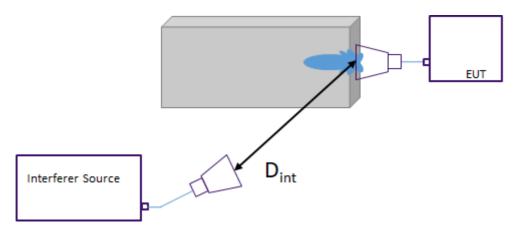


Figure 22: Radiated RBR test set up for contact-based radiodetermination

The antenna causing the interfering signal shall be adjusted/placed in such way that:

- The mainbeam is pointing towards the EUT.
- The interfering signal is within the mainbeam of the EUT.
- For contact-based radio determination EUT (see figure 23), the "position" of the Interfering Source shall be specified in the related standard.
- D<sub>int</sub> is large enough that the EUT is within the farfield of the interfering antenna.

The output power of the interfering source and the  $D_{int}$  shall be calculated that the interferer power level at the EUT is as specified in clause 5.5.2. For power level calculation, see clause A.3.

#### 5.5.3.5.3 Step 3: Degradation of Sensitivity Requirement

A degradation  $\Delta D$  of the distance as assessed in the related step 2 of the RBS (see clause 5.4.3.5.2) test should be specified in the related standard. If nothing is specified in the related standard a value of 30 % shall be used as  $\Delta D$ .

The context for the distance is shown in equation (8):

$$D = D_{\text{sense/scal}} - \Delta D \tag{8}$$

with:

D: Distance for the test.

D<sub>sens/scal</sub>: Distance from RBS measurement see clause 5.4.3.5.2.

ΔD: Degradation of the distance from RBR test, measurement see clause 5.5.3.5.3.

#### 5.5.3.5.4 Step 4: Test of Technical Wanted Performance Criteria

- Switch on EUT.
- Check if EUT is working as intended (see RBS test).
- Switch on Interferer source with signals as specified in clause 5.5.2.
- Measure and record the technical performance criteria at the EUT.

Two methods are now possible:

- Option 1 (recommended method): Decrease the distance D to  $D_{sense/scal} \Delta D$  (see equation (8)); measure and record the performance criterion.
- Option 2: Adjust the distance D until the technical performance criterion is just achieved; record distance D. For this option the measured distance shall be larger than the distance limit in step 3.

#### 5.5.3.5.5 Step 5: Measurement Assessment

The test is passed, if the technical wanted performance criteria and the RBR limits are met, see related standard.

#### 5.5.3.5.6 Step 6: Repetition of steps 4 and 5

Repetition of steps 4 and 5 for each interfering signal as specified in clause 5.5.2.

#### 5.5.3.6 Conducted Measurements for Radio Determination Devices

#### 5.5.3.6.1 Step 1: Start with the RBS Setup

The RBR measurement should continue where the RBS measurement has stopped in clause 5.4.3.6.

#### 5.5.3.6.2 Step 2: Adding Interfering Signal Source

Add the interfering signal source to the conformance RBS test set-up (see figure 23).

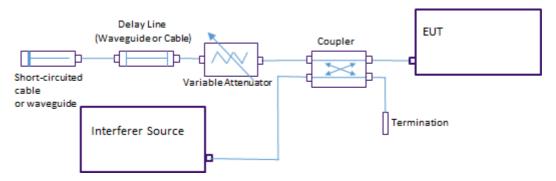


Figure 23: Conducted RBR test set up for radiodetermination

The output power of the interfering source shall be calculated that the interferer power level at the EUT is as specified in clause 5.5.2. For power level calculation, see clause A.3.

#### 5.5.3.6.3 Step 3: Degradation of Sensitivity Requirement

A degradation of the sensitivity  $d_g$  in dB should be specified in the related standard. If nothing is specified in the related standard a value of 3 dB shall be used.

### 5.5.3.6.4 Step 4: Test of Technical Performance Criteria

- Switch on EUT.
- Check if EUT is working as intended (see RBS test).
- Switch on Interferer source with signals as specified in clause 5.5.2.
- Measure and record the technical performance criteria at the EUT.

#### Two methods are now possible:

- Option 1: Increase the power at the EUT with the variable attenuator to a value of the sensitivity requirement as assessed in step 3, (clause 5.5.3.6.3).
- Option 2: Adjust the power at the EUT with the variable attenuator until the technical performance criterion is just achieved; then measure the power at the EUT antenna port.

#### 5.5.3.6.5 Step 5: Measurement Assessment

The test is passed, if the technical wanted performance criteria and the RBR limits are met, see related standard.

#### 5.5.3.6.6 Step 6: Repetition of steps 4 and 5

Repetition of steps 4 and 5 for each interfering signal as specified in clause 5.5.2.

# Annex A (normative): Choose interferer for RBR

## A.1 Introduction

Annex A provides a procedure to select the necessary "interfering" test signals for the RBR assessment. The justification for the selected receiver requirements and interfering signals is provided in annex C.

The RBR tests are split into tests within OFR (see clause A.2.1) and outside OFR (see clause A.2.2).

For the RBR in-band tests (see clause A.2.1) the number of interfering test signals is dependent on the OFR of the EUT:

- for EUT with OFR < 500 MHz: at least one interferer, see figure A.1;
- for EUT with OFR  $\geq$  500 MHz: three interferer, see figure A.2.

The reason for this approach is that the probability of a radio receiver to get interfered from other radio users is closely linked with the OFR of the EUT. The larger the OFR of the EUT is, the higher the probability that the EUT will be interfered. To account for that the number of interfering signals was increased to three for a higher OFR.

This approach was developed in ETSI TS 103 361 [i.4] and is still valid. The 500 MHz was chosen because of the FCC definition of UWB having an OFR of at least 500 MHz (see clause 4.2 of ETSI EN 303 883-1 [i.6]). For the RBR-test the OFR of the TX measurement shall be taken (see clause 5.2 in ETSI EN 303 883-1 [i.6]).

For the RBR tests outside OFR the test signals are generally put to  $f_C \pm OFR$  (to account for adjacent interferers, e.g. similar to ACS) and  $f_C + 2x OFR$  (to account for further away interferers, e.g. similar to blocking).

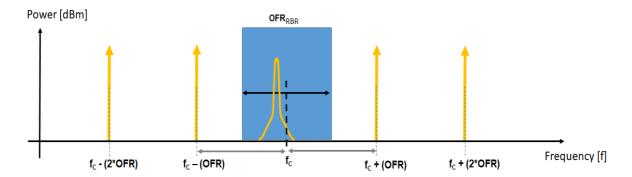


Figure A.1: Frequencies for the RBR tests based on option 1

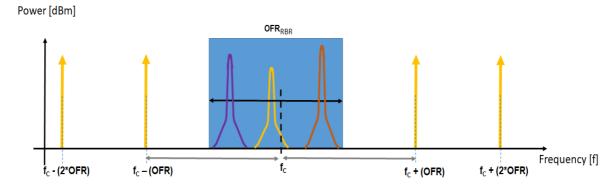


Figure A.2: Frequencies for the RBR tests based on option 2

# A.2 Limits for the Interfering Signals

### A.2.1 Interferer within OFR

#### A.2.1.0 Introduction

If not otherwise specified in the related standard, then one of the following two approaches shall be used in the related standard:

- Option 1 in clause A.2.1.1 uses the approach from ETSI TS 103 361 [i.4].
- Option 2 in clause A.2.1.2 is a simplified approach which was developed based on the experience with ETSI TS 103 361 [i.4], where the selection of frequency and power for the test signals requires a huge effort and the availability of very complex lists of all possible interfering signals and for all possible applications. The selection of interferer frequencies and power levels in this clause are applicable for all frequency ranges and applications. The assumed interferer power level in this clause (100 mW e.i.r.p. at 2 m under line of sight conditions with 10 dB additional losses) was derived from a detailed analysis of ETSI TS 103 361 [i.4] and it represents a worst case interfering signal compared to ETSI TS 103 361 [i.4].

## A.2.1.1 Option 1

The assessment procedure in ETSI TS 103 361 [i.4] shall be used in the following way:

- The interferer test frequency range shall be the OFR (as derived from the TX requirements of the EUT) and not as specified in clause 9.1.2 of ETSI TS 103 361 [i.4].
- Depending the OFR (see clause A.1):
  - For OFR < 500 MHz: at least the highest interferer shall be assessed.
  - For OFR  $\geq$  500 MHz: the three highest interferer shall be assessed.

NOTE: Depending on the assessment and the allocations within the OFR it could that there are less than possible three interferers present. In this case, all possible interferers are assessed.

- The assessment/selection of interferers (frequency, power, modulation, duty cycle) shall be as given in clause 9.3 of ETSI TS 103 361 [i.4]. If not otherwise specified in the related standard, then the configuration of each test signal shall be as provided in clause 8 of ETSI TS 103 361 [i.4] and the related standard shall specify which option in that clause (CW, wideband, duty-cycled or real interferer) shall be used for the test signal.
- The related standard shall specify which application class in clause 7 of ETSI TS 103 361 [i.4] is relevant to the device under test (i.e. indoor, outdoor, mobile (indoor/outdoor), level-probing/tank-level-probing or automotive)

If in ETSI TS 103 361 [i.4] no information is available for radio application within OFR, then the related standard shall provide this information (e.g. the EFIS [i.11] database could be consulted at <a href="https://efis.cept.org/">https://efis.cept.org/</a>).

The result of the interferer assessment shall be documented inside the technical documentation file, see Directive 2014/53/EU [i.10], article 21.

## A.2.1.2 Option 2

If not otherwise specified in the related standard, then the following values shall be used:

- Interferer test frequencies are dependent on the OFR (see further information in clause A.1):
  - For OFR < 500 MHz: at  $f_C$ .
  - For OFR  $\geq$  500 MHz: at f<sub>C</sub> and f<sub>C</sub>  $\pm$  0,3 x OFR.

- Level of Interferer signal:
  - For equipment with integral antenna and without access to the EUT antenna port the field strength at EUT shall be 0,27 V/m.

NOTE 1: The above value was calculated with the following equation (A.1):

$$E\left[\frac{V}{m}\right] = \sqrt{\frac{0.1 [W] \times 0.1 \times 377 [Ohm]}{4 \times \pi \times 2 [m]^2}}$$
(A.1)

it assumes an interferer with 100 mW e.i.r.p. at 2 m distance under line of sight conditions with 10 dB additional losses.

- For equipment with access to the EUT antenna port the power at EUT shall be calculated with the following equation (A.2):.

$$P_{e}[dBm] = 20 [dBm] - 32,5 d - 20log(f[GHz]) - 20log(2[m]) - 10[dB]$$
(A.2)

NOTE 2: The above equation (A.2) assumes an interferer with 100 mW e.i.r.p. at 2 m distance under line of sight conditions with 10 dB additional losses with an assumed Rx antenna gain of 0 dBi; the formula gives -28.5 dBm at 1 GHz, -48.5 dBm at 10 GHz, -68.5 dBm at 100 GHz.

NOTE 3: The above limits are equivalent for an RX antenna gain of 0 dBi.

• Interferer modulation: CW.

The result of the interferer assessment shall be documented inside the technical documentation file.

### A.2.2 Interferer outside OFR

If nothing different is specified in the related standard the following values shall be taken:

- Interfering signal @  $f_C \pm (X)$  with X = 1 & 2.
- Modulation of interfering signal: CW.
- Level of interfering signal: the same power level from the worst case interferer within OFR shall be used (see clause A.2.1).
- For the calculated frequency of interferer below  $f_C$  (Interfering signal @  $f_C$   $(X \times OFR)$ ) it could be that the test frequency is very low or even below 0 Hz. Only test frequencies above 30 MHz shall be considered. For frequencies below 30 MHz, the immunity of the EUT will be assessed based on the required assessment outlined in Directive 2014/53/EU [i.10], article 3.1(b).

# A.3 Guidance to Setup the Power Level of the Interfering Signals @ EUT for RBR tests

### A.3.1 Radiated tests

The radiated "interfering" path could be seen as shown in figure A.3.

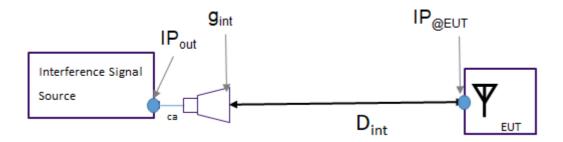


Figure A.3: Radiated scenario for RBR interferer assessment

A certain interfering Power@EUT ( $IP_{@EUT}$ ) with a interfering transmit antenna ( $G_{int}$ ) with gain  $g_{int}$  and a distance  $D_{int}$  between interfering transmit antenna and EUT can be achieved by setting the interference signal source generator to the power  $IP_{out}$  (see figure A.3) according to equation (A.3).

$$IP_{out}[dBm] = IP_{@EUT}[dBm] + 20log\left(4 \times \pi \times D_{int} \times \frac{f_c}{c}\right) - g_{int}[dBi] + ca[dB]$$
(A.3)

where:

- f<sub>C</sub>: is the centre frequency of the interferer [Hz].
- c: the velocity of light [m/s].
- D<sub>int</sub>: distance between interfering signal source and the EUT during the test [m].
- g<sub>int</sub>: antenna gain of test antenna to transmit interfering signal [dBi].
- ca: are cable losses in the test-set-up [dB].

NOTE 1: The above approach assumes an EUT antenna gain of 0 dBi.

The calculated output power for interfering signal source could be tested if the EUT will replaced by a typical TX-emission set-up (measurement antenna and receiver). More information is available in ETSI EN 303 883-1 [i.6].

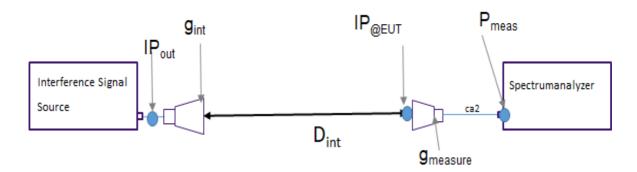


Figure A.4: Radiated set-up to evaluate interferer level at EUT

The correct interfering power levels @ EUT can checked in the following way:

Replace EUT by a known measurement antenna g<sub>measure</sub> and a spectrum analyser (see figure A.4).

NOTE 2: For measurement of radiated power see for information ETSI EN 303 883-1 [i.6], clause 5.3.

- Pointing the measurement antenna (with the antenna gain  $g_{measure}$ ) to the interfering signal source (antenna gain  $g_{int}$ ).
- Set up the interfering signals source with the interfering signals at D<sub>int.</sub>

To assess the interfering power level @ EUT (see equation (A.4)) following correction shall be considered:

$$IP_{@EUT} = P_{meas} + ca2 - g_{measure}$$
 (A.4)

with:

- P<sub>meas</sub>: measured power at the spectrum analyser in [dBm].
- g<sub>measure</sub>: measurement receiving test antenna gain in [dBi] at test frequency f.
- ca2: cable attenuation between receiving test antenna and spectrum analyser in [dB].

## A.3.2 Conducted tests

- The received signal level from the interferer source could be checked with the test set up for the sensitivity test in clauses 5.3.3.2 (communication) and 5.4.3.6 (radio determination).
- The output power of the interfering source shall be adjusted taking into account the coupling attenuation of the coupler and the cable attenuation, see figure A.5 and equation (A.5).

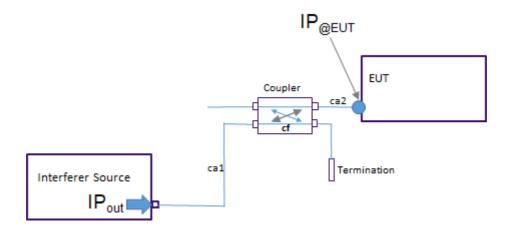


Figure A.5: Conducted RBR test set up for radiodetermination

$$IP_{out} = IP_{@EUT} + ca1 + cf + ca2$$
(A.5)

NOTE: The interfering power at the EUT could also be tested by replacing the EUT with a measurement receiver (e.g. spectrum analyser).

# Annex B (informative): Guidance on Scaling Receiver Sensitivity

## B.1 General

The receiver sensitivity limit of a EUT can be scaled with the TX power of the same device or companion device according to the receiver baseline sensitivity in ETSI TS 103 567 [i.3].

This concept is proposed to be used to calculate the sensitivity limit either by scaling the power or the distance for devices which have lower transmit power for the function to comply the technical performance criteria specified in the related harmonised standard compared with the regulated limit. The detailed description how this approach can be considered in a harmonised standard is provided below.

## B.2 Scaling of power at the EUT

The harmonised standard offers the following information:

- P<sub>reg</sub>: regulated Tx power limit, related EUT regulation considered in the related standard (e.g. dBm/MHz, W, or dBm).
- RX<sub>ref</sub>: reference sensitivity limit at the antenna port of the EUT [dBm].
- SCP: scaling factor (absolute value).

In addition, the actual emission of the EUT needs to be considered:

• P<sub>EUT</sub>: measured transmitted power of the EUT (e.g. dBm/MHz, W, or dBm).

NOTE 1: P<sub>EUT</sub> will be measured/considered based on the related (harmonised) standard (e.g. radio determination use-cases) or the transmitted power of a companion device (e.g. communication or tracking systems).

The scaled sensitivity limit (RX<sub>refsens</sub>) for the RBS test is calculated according to equation (B.1) by using the TX power measurement result of the EUT ( $P_{EUT}$ ):

$$RX_{refsens}[dBm] = RX_{ref}[dBm] + SCP \times 10log\left(\frac{P_{reg}[W]}{P_{EUT}[W]}\right) = RX_{ref}[dBm] + SCP \times \left(P_{reg}[dBm] - P_{EUT}[dBm]\right)(B.1)$$

NOTE 2: The related standard specifies which power limit and measurement result is used. If nothing is specified, the mean power spectral density in dBm/MHz e.i.r.p. limit and measurement result is used.

#### **EXAMPLE:**

- $RX_{ref} = -70 \text{ dBm for } P_{reg} \text{ limit of } -41,3 \text{ dBm/MHz e.i.r.p.}$
- SCP = 2.
- With a P<sub>EUT</sub> of -44,3 dBm/MHz.

With this information and equation (B.1) RX<sub>refsens</sub> for the RBS test would be:

$$RX_{refsems}dBm = -70 dBm + 2 \times (-41,3 dBm/MHz + 44,3 dBm/MHz) = -64 dBm$$
 (B.2)

# B.3 Scaling distance

The harmonised standard offers the following information:

- P<sub>reg</sub>: regulated Tx power limit, related EUT regulation considered in the related standard (e.g. dBm/MHz, W, or dBm).
- D<sub>sense</sub>: reference distance between object or companion device and the EUT in [m].
- SCP: scaling factor (absolute value).

In addition, the actual emission of the EUT needs to be considered:

• P<sub>EUT</sub>: measured transmitted power of the EUT [e.g. dBm/MHz, W, or dBm].

NOTE 1: P<sub>EUT</sub> will be measured/considered based on the related (harmonised) standard (e.g. radio determination use-cases) or the transmitted power of a companion device (e.g. communication or tracking systems).

The scaled distance ( $D_{scal}$ ) for the RBS test is calculated according to equation (B.3) by using the RP power measurement result of the EUT ( $P_{EUT}$ ):

$$D_{scal}[m] = D_{sense}[m] \times 10^{\frac{-(P_{reg}[dBm] - P_{EUT}[dBm])}{SCP}}$$
(B.3)

NOTE 2: The related standard specifies which power limit and measurement result is used. If nothing is specified, the mean power spectral density in dBm/MHz e.i.r.p. limit and measurement result is used.

#### **EXAMPLE:**

- $D_{sense} = 10 \text{ m}$  for  $P_{reg}$  limit of -41,3 dBm/MHz e.i.r.p.
- SCP = 20.
- With a P<sub>EUT</sub> of -44,3 dBm/MHz.

With this information and equation (B.3) D<sub>scal</sub> for the RBS test would be:

$$D_{\text{scal}}[m] = 10 \text{ m} \times 10^{\frac{-\left(-41.3\frac{\text{dB m}}{\text{MHz}} + 44.3\frac{\text{dB m}}{\text{MHz}}\right)}{20}} = 7,07 \text{ m}$$
(B.4)

# Annex C (informative): Justification of receiver requirements from ETSI EG 203 336

## C.1 General

Parameter of the (baseline) RX requirement concept:

- Receiver Baseline Sensitivity (RBS): is the capability to receive a wanted signal at application related defined input signal levels while providing a pre-determined minimum acceptable level of technical performance.
- Receiver Baseline Resilience (RBR): is defined as the capability to maintain a pre-determined minimum acceptable level of performance in the presence of unwanted signals over the frequency band of operation, applicable adjacent and remote frequency bands.

#### Application notes:

- The purpose of the RBR requirement is to assure a basic measure of interference robustness; its value should be consistent with the state-of-the-art technologies making use of RF transmission.
- The underlying assumption is that a poor RBR value at any frequency indicates a poor receiver design that is not spectrum efficient.
- The level(s) of the unwanted signal(s) and the frequency of their sources is usually given in radio compatibility studies; such studies usually make assumptions about the robustness of victim receivers.
- The unwanted signal levels can be specified as an absolute value or as relative values, e.g. as the difference between the wanted and the unwanted signals. The specification is the responsibility of the relevant Technical Body and includes the assumed coexistence scenarios for the application covered in the related (harmonised) standard.

## C.2 Justification

These parameters were assessed compared to the RX-requirements in ETSI EG 203 336 [i.1] and the outcome of ETSI STF 494 (ETSI TS 103 361 [i.4]) and ETSI STF 541 (ETSI TS 103 567 [i.3]). The assessment result and summary for a justification of the baseline receiver concept is provided in table C.1.

Table C.1: Justification of RX-requirements in relation to new baseline Rx-requirement

RX parameter in ETSI EG 203 336 [i.1]	Applicable/tested	d Justification	
Sensitivity	Covered by RBS	See Baseline Sensitivity requirement. A justification for radio determination applications is provided in clause C.4.	
Co-channel rejection	Covered by RBR	Based on ETSI TS 103 567 [i.3], this requirement/effect is covered by a specified "interfering" signal within OFR (see annex A) or specified signal in the related standard.  This in-band interfering test with a realistic signal can be seen as the worst case for intermodulation, spurious response rejection and reciprocal mixing. The used interfered power is significantly higher than any expected effect caused by intermodulation, spurious response rejection and reciprocal mixing within the receiver.	
Adjacent channel selectivity (ACS)	Covered by RBR	Based on ETSI TS 103 567 [i.3], this requirement/effect is covered by a specified "interfering" signal adjacent to OFR (see annex A e.g. @ fc ± OFR) or specified signal in the related standard.	
Blocking	Covered by RBR	Based on ETSI TS 103 567 [i.3], this requirement/effect is covered by a specified "interfering" signal at specified frequencies (see annex A) or directly specified signal in the related standard.	
Intermodulation	Covered by RBR	Based on ETSI TS 103 567 [i.3] and the definition of Intermodulation the effect is not relevant for EUT using this RX-concept (RBS/RBR). In ETSI Guide there was direct in-band test. Only the intermodulation requirement was for evaluating interfering signals @ fc of RX (within OFR).  But with the direct in-band interferer test in the RBR requirement it will be checked in which way the EUT can handle signals within OFR. Therefore, the effect of intermodulation is tested with the in-band interferer.	
Spurious response rejection	Covered by RBR	With the direct in-band interferer test in the RBR requirement it will be checked in which way the EUT can handle signals within OFR. RBR requirement require tests with the highest "interfering" signal within the OFR and the physical effect that a spurious response rejection of signals within the RX will be not higher (in power) compared to the highest interfering signals this test is not necessary. Therefore, effects based on the spurious response are tested with the in-band interferer tests.  See ETSI TS 103 567 [i.3].	
Dynamic range	Partly covered by RBS		
Desensitization (In- band signals)	Covered by RBR	Covered by an interfering signal in-band (within OFR).	
Reciprocal Mixing	Covered by RBR	With the direct in-band interferer test in the RBR requirement it will be checked in which way the EUT can handle signals within OFR. RBR requirement require tests with the highest "interfering" signal within the OFR and the physical effect that a intermodulation of signals within the RX will be not higher (in power) compared to the highest interfering signals this test is not necessary. Therefore, effects based on the reciprocal mixing are tested with the in-band interferer tests. See ETSI TS 103 567 [i.3].	

## C.3 Summary for the RBR requirement

The highest interfering signal **within** OFR during the RBR test could replace following receiver requirements from the ETSI EG 203 336 [i.1]:

- Desensitization;
- Co-Channel Rejection;
- Intermodulation;

NOTE 1: The three highest interfering signals (see annex A) could have a higher power level compared to a "similar" signal use for RX-requirements in the ETSI EG 203 336 [i.1] and therefore the impact/interference to the receiver could be larger.

- Reciprocal Mixing; and
- Spurious Response Rejection.

NOTE 2: In-band interfering test with a realistic signal can be seen as the worst case for intermodulation, spurious response rejection and reciprocal mixing. The used interfered power is significantly higher than any expected effect caused by intermodulation, spurious response rejection and reciprocal mixing within the receiver.

Specified CW signals @ specified frequencies (see figure A.1 and figure A.2) during the RBR test could replace following requirements from the ETSI EG 203 336 [i.1] and could therefore replace:

- Adjacent Signal Selectivity;
- Blocking.

NOTE 3: The power of the CW interferer and @ which frequency the interferer has to be placed will be specified in the related standard or as an alternative a typical interfering signal could be taken see procedure in annex A.

Summary mapping/replacement (see table C.2) of the baseline receiver requirements in relation with the RX-requirements described in ETSI EG 203 336 [i.1] and the assessment in ETSI TS 103 567 [i.3].

Table C.2: Mapping RX - requirement from ETSI EG 203 336 [i.1] and ETSI TS 103 567 [i.3] with baseline RX-requirements

RX-requirements ETSI	nts ETSI Baseline RX Requirement		Comment	
EG 203 336 [i.1]	RBS	RBR	7	
Sensitivity	Х		Sensitivity test could be replaced by dynamic range assessment, is specified in the related standard	
Co-channel rejection		X	Interferer within OFR	
Adjacent channel selectivity		X	Interferer @ fc ± OFR	
Blocking		X	Interferer @ f <sub>C</sub> ± 2 x OFR	
Intermodulation		X	Interferer within OFR	
Spurious response rejection		Х	Interferer within OFR	
Desensitization (In- band signals)		X	Interferer within OFR	
Reciprocal Mixing		X	Interferer within OFR	

NOTE 4: If Active Mitigation, like LBT, DAA or TPC (for more info see ETSI TR 103 181-2 [i.9]) is used there is no need for a specific RX-test within OFR.

# C.4 Justification RBS-requirement for radio determination devices

The clause provides the technical theoretical background to justify the RBS requirement for radio determination usecases based on a specified object (with radar cross section) @ a specified distance. These specifications are directly linked with the "estimated" received power at the EUT.

The RCS of a radar target is the hypothetical area required to intercept the transmitted power density at the target such that if the total intercepted power were re-radiated isotropically, the power density actually observed at the receiver is produced. This is a complex statement that can be understood by examining the monostatic (radar transmitter and receiver co-located, see figure C.1).

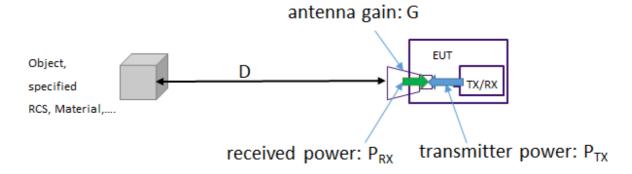


Figure C.1: Scenario for RBS justification for radio determination applications

The related radar equation (see equation (C.1)) could be written as:

$$P_{RX} = \frac{P_{TX} \times G_{TX}}{4 \times \pi \times D^2} \times RCS \times \frac{1}{4 \times \pi \times D^2} \times A_{eff}$$
 (C.1)

with:

- P<sub>TX</sub>: transmitter power [W]
- G<sub>TX</sub>: gain of the transmit antenna
- D: distance between EUT and object [m]
- RCS: radar cross section [m²]
- P<sub>RX</sub>: power received back from the object by the EUT [W]
- A<sub>eff</sub>: effective area of the receiving antenna [m²], see equation (C.2):

$$A_{eff} = \frac{G_{RX} \times \lambda^2}{4 \times \pi} \tag{C.2}$$

with:

- G<sub>RX</sub>: gain of the receiving antenna
- λ: wavelength of the radio signal [m]

and provided that the transmitter and the receiver are co-located, and the same antenna is used for transmitting and receiving  $(G_{TX} = G_{RX} = G)$ :

$$P_{RX} = \frac{P_{TX} \times G_{TX}}{4 \times \pi \times D^2} \times RCS \times \frac{1}{4 \times \pi \times D^2} \times \frac{G_{RX} \times \lambda^2}{4 \times \pi}$$
 (C.3)

$$P_{RX} = \frac{P_{TX} \times G^2 \times \lambda^2}{(4 \times \pi)^3 \times D^4} \times RCS$$
 (C.4)

A radio determination device (EUT) is only able to detect a signal reflected from an object (target) if the signal is above the sensitivity level of the EUT receiver. The level "above" the sensitivity is necessary to guarantee an object detection (detection probability):

$$P_{RX} \ge Sensitivity of RX$$
 (C.5)

For the RBS requirement (sensitivity) for radiodetermination in a harmonised standard it is therefore sufficient to specify:

- the object (kind of) or a representative RCS (which could be realized by e.g. triple mirror, see trihedral in table D.1);
- a minimum distance of the object to the EUT; and
- a technical wanted performance criteria: e.g. detection probability.

The antenna gain and transmit power is given by the TX-requirements in the harmonised standard (part of the radio regulation).

With these set of specified requirements/parameters in the related standard each EUT has to fulfil a clear minimum level of sensitivity to guarantee a level of detection.

# Annex D (informative): Object and Radar Cross Section

## D.1 Wanted objects

In radar measurements power is transmitted towards an object and the reflected power is received. The received power depends - among others - on the radar cross section  $\sigma$  of the object:

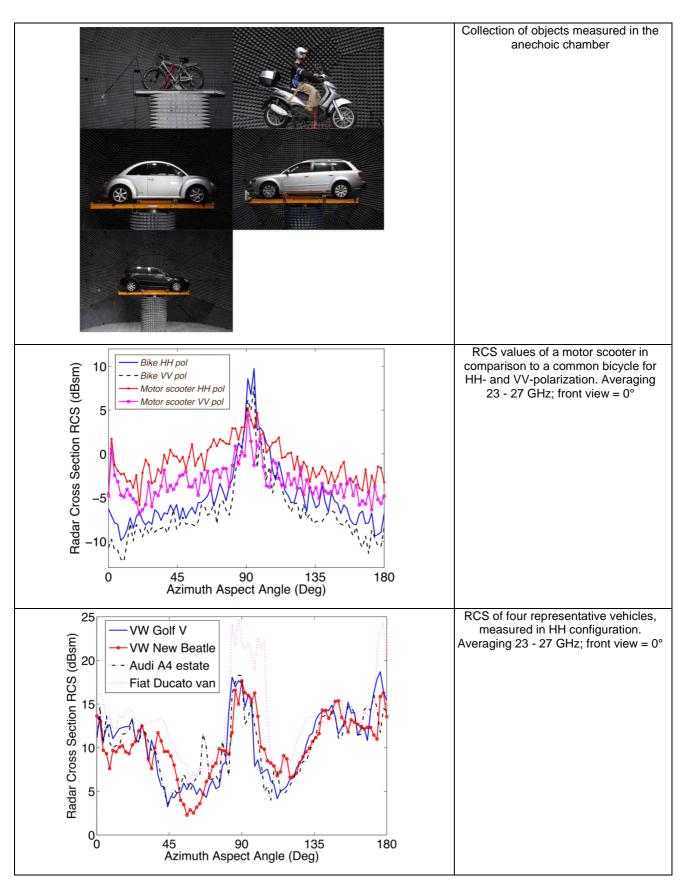
$$P_Rx \propto \sigma$$
 (D.1)

The radar cross section  $\sigma$  in turn depends on several parameters:

- Frequency of radar signal.
- Object material.
- Object shape.
- Object size.
- Object motion.

For an object in a real world traffic scenario, like a vehicle or a pedestrian, the material, shape and size vary with the incident angle so that the radar cross section varies as well, see figures D.1 and D.2.

NOTE: The measurements have been performed at the European Microwave Signature Laboratory at the European Commission Joint Research Centre together with the Karlsruhe Institute of Technology.



NOTE: Figure taken from [i.7]; copyright EurAAP; used with permission.

Figure D.1: Exemplary radar cross section of a vehicle at 24 GHz

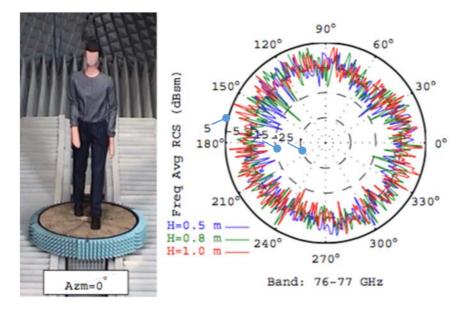


Figure D.2: Exemplary radar cross section of a pedestrian at 77 GHz [i.8]

For stable radar test results an object reflector with less variability in radar cross section is preferred.

The intelligent "radar echo generator" (see clause D.4) has the following advantages:

- controlled environment;
- arbitrary defined radar cross section;
- range could be larger than the physical dimension of an anechoic chamber;
- reproducible test compared to physical reflector (see clause D.3).

# D.2 Direct Object Reflectors

By using well conducting metal as material and by limiting the complexity of the geometric shape and by avoiding motion, reflectors can be realized for which the reflection behavior is simple enough to be analytically computed.

See table D.1 for examples.

Table D.1: Examples RCS for simple geometries

Object		Aspect	RCS	with
Sphere	any	<b>→</b>	$\sigma_{max} = \pi \times r^2$	• r: Radius
Cone	axial	→ • • • • • • • • • • • • • • • • • • •	$\sigma_{\text{max}} = \frac{\lambda^2}{16 \times \pi} \times \tan^4 \theta$	• θ: Cone half angle
Cylinder	normal to axis		$\sigma_{\text{max}} = \frac{2 \times \pi \times r \times L}{\lambda}$	r: Radius     L: Length
Large flat plate	normal	A	$\sigma_{\max} = \frac{4 \times \pi \times A^2}{\lambda^2}$	A: plate area
Square plate	angle to normal	a a	$\sigma_{\text{max}} = \frac{4 \times \pi \times a^4}{\lambda^2} \times \left[ \frac{\sin(k \times a \times \sin \theta)}{k \times a \times \sin \theta} \right]$	• a: length of side   • k: wave vector $k = \frac{2 \times \pi}{\lambda}$
Dihedral	maximum direction	h	$\sigma_{\text{max}} = \frac{8 \times \pi \times h^2 \times w^2}{\lambda^2}$	<ul><li>h: height</li><li>w: visible width</li></ul>
Trihedral	maximum direction	L	$\sigma_{max} = \frac{4 \times \pi \times L^4}{3 \times \lambda^2}$	• L: edge length
Square trihedral	maximum direction		$\sigma_{max} = \frac{12 \times \pi \times L^4}{\lambda^2}$	• L: edge length

By adding motion to a direct object reflector, the occurring Doppler effect causes the reflected power to be shifted in frequency  $(f_C + f_D)$ :

$$f_{D} = \frac{2 \times v_{r}}{\lambda} \tag{D.2}$$

Motion towards  $(v_r)$  the radar sensor gives a positive frequency shift while motion away from the radar sensor gives a negative frequency shift.

An example of such a reflector is a rotating fan.

# D.3 Delay Line Object Reflectors

To realize an object reflector at a distance larger than the size of a test lab, a wound-up waveguide can be used as shown in figure D.3.

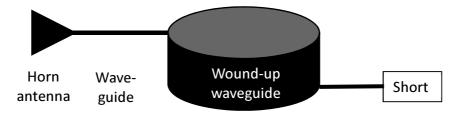


Figure D.3: Schematic diagram of a delay line object reflector with wound-up waveguide

The effective length is equal to the waveguide length multiplied by the ratio of speed of light in free space and phase velocity in the waveguide.

## D.4 Electronic Object Reflectors

Using analogue electronic components like amplifiers, attenuators, analogue delay lines (e.g. fibre optical delay lines or coaxial delay lines) and modulators or phase shifters, then the radar cross section, distance and Doppler frequency shift can be adjusted (see for example figure D.4). Delay and modulation are typically done at an intermediate frequency after a down conversion of the RF signal. Analogue radar echo generators have one or multiple fixed delay lines implemented, such that one or multiple radar echo signals can be generated for the radar under test.

Figure D.4 shows a schematic of a bistatic frontend (one receiver and one transmit antenna). There are also radar echo generators with a monostatic frontend and circulator (one antenna for simultaneous receive and transmit). Depending on the radar echo signal requirements, a bistatic frontend is typically chosen if a high isolation and low coupling between the antennas is required to generate targets with high RCS. On the other hand, the monostatic antenna concept is recommended from manufacturers if radars with MIMO antenna concepts are tested as the phase centre of the echo generator is known well.

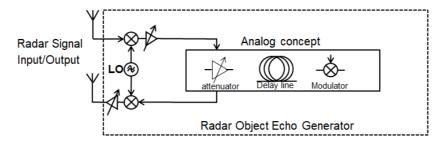


Figure D.4: Schematic diagram of an analogue electronic object echo generator

Instead of using fixed delay lines, digital radar echo generators exist. These devices follow a similar concept as the analogue echo generators but use digital electronic components like ADCs, DACs and processors to generate delay and Doppler. The object reflector properties can be adjusted in a very general way (see for example figure D.5). These radar object echo generators allow to change range, RCS and Doppler according to the motion of an object. The flexibility to generate radar echo signals with digital concepts is higher.

In all echo generators there exists typically a minimum range of a signal that can be generated due to the inherent delay of these devices. Analogue concepts have a lower minimum range compared to digital echo generators.

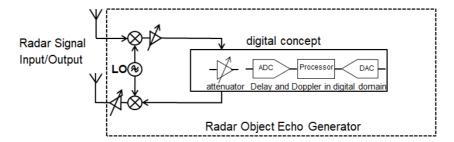


Figure D.5: Schematic diagram of a digital electronic object echo generator

## D.5 Test Setup with Conventional RCS within Chamber

To measure the performance of a radar sensor in a reproducible environment with reduced noise anechoic chambers are used, see figure D.6. The radar sensor is mounted inside the chamber which causes radar echo signals to be absorbed by specific absorption material in form of pyramids.

Placing a corner reflector with great RCS at a certain distance, the radar measures the corresponding object at the certain range. Due to the physical size limits of anechoic chambers the radar can only measure the maximum distance at which the corner reflector can be placed at.

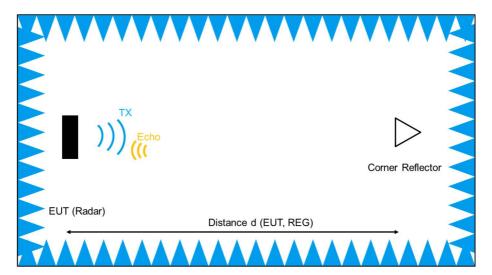


Figure D.6: Radar corner reflector inside an anechoic chamber

In addition, near-field and far-field considerations have to be taken into account, see figure D.7. The Fresnel region, is the region up to the far field in which a quadratic phase approximation can be used in the vector potential integral. Far field: radial dependence of electric and magnetic fields varies approximately as  $\frac{e^{-i\omega D}}{d}$  (dependency on distance D only).

The zones depend on the wavelength and antenna size of the radar under test. Close to the radar up to  $\frac{\lambda}{2\pi}$  the reactive zone is present, which leads to the near-field zone and then far-field starting approximately from  $\frac{2A^2}{\lambda}$ . This clarifies that a radar operating at 77 GHz with 5 cm antenna aperture A would require 1,3 m distance to be in far field according to theory.

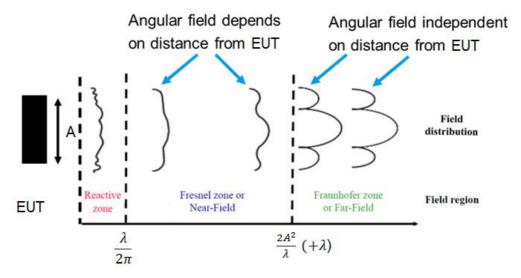


Figure D.7: Near-field and far-field considerations

Figure D.8 shows an antenna array of a base station at 2,7 GHz and that theory is only an approximation. Electromagnetic wave properties do not change at sharp borders at certain distances, but this is rather a constantly adapting process.

However, one can see that due to high frequency and small aperture size, automotive radar can be tested at comparably small ranges, e.g. 1,3 m.

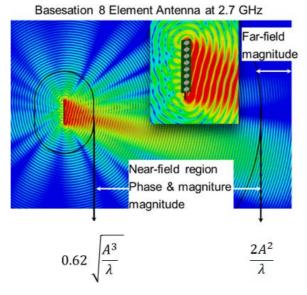


Figure D.8: Example of an 8 element antenna at 2,7 GHz, near-field and far-field effects

## D.6 Test Setup with Radar Object Generator

### D.6.1 General

Instead of using a corner reflector it is possible to use radar object echo generators as reflectors. These measurement devices allow to generate radar echo signals at far distances although the instrument is located in far-field (only 1,3 m) from the radar under test.

The radar object echo generator receives the radar signal, down converts it to intermediate frequency and changes its RCS, delay and Doppler frequency according to the user settings.

In addition, a vector signal generator with arbitrary waveform generator is able to generate high frequency interference signals.

For simple CW signals, an analogue signal generator is required.

For more complex modulated waveforms like FMCW, phase coded, amplitude modulated or even communication signals, a vector signal generator that allows arbitrary signal generator is required. This interference signal (e.g. a vector signal generator) generator is then able to simulate signals of e.g. other radar sensors.

The dimension of the anechoic chamber depends mainly on the size of the antenna aperture and the anechoic chamber concept. It is necessary to calculate the requirements for the radar sensor under test as mentioned in clause D.5. A typical distance of 1,3 m for the exemplary automotive radar sensor operating at 77 GHz with 5 cm antenna aperture is sufficient when using standard anechoic chambers.

Anechoic chambers exist that are specifically designed to reach far field conditions at a low footprint. These CATR (Compliant And TRansportable far-field) OTA (Over The Air) test systems use specifically designed mirrors to transform the radio waves into far field.

The described test set-up is shown in figure D.9.

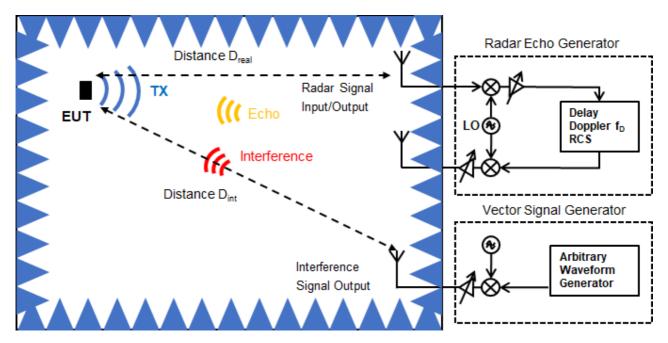


Figure D.9: Interference test setup with radar echo generator and vector signal generator

# Annex E (informative): Change History

Date	Version	Information about changes	
11/2018	0.0.1	Initial draft	
11/2018	0.0.2	Outcome rapporteur meeting 14 <sup>th</sup> of November	
12/2018	0.0.3	Drafting during TG UWB#47	
	0.0.4	Outcome TG UWB#47 (3rd to 5th of December)	
03/2019	0.0.5	Working document prepared by the rapporteur	
04/2019	0.0.6	Outcome rapporteur 18 <sup>th</sup> of April 2019	
04/2019	0.0.7	Input for TG UWB#49	
05/2019	0.0.8	Outcome TG UWB#49 + drafting	
05/2019	0.0.9	Additional editorial work by the rapporteur	
07/2019	0.0.10	Rapporteurs meeting 9 <sup>th</sup> of July (Outcome)	
09/2019	0.0.11	Outcome TG UWB#50 first day (12th of September)	
09/2019	0.0.12	Outcome TG UWB#50 second day (13th of September)	
11/2019	0.0.13	Rapporteur editorial update of the figures	
11/2019	0.0.14	Rapporteur meeting 6 <sup>th</sup> of November	
11/2019	0.0.15	Editorial update of main body by rapporteur	
11/2019	0.0.16	Editorial update annex by rapporteur	
11/2019	0.0.17	Outcome TG UWB#51	
12/2019	0.1.0	Clean version based on 0.0.17	
01/2020	0.1.1	Draft version after TG UWB remote consensus "collecting comments"	
02/2020	0.1.2	Outcome TG UWB#52	
02/2020	0.1.3	Clean version for internal RC to collect comments	
04/2020	0.1.4	Revised version including comments received in ERMTGUWB(20)053005 and ERMTGUWB(20)053006 + editorial updates by the rapporteur	
04/2020	0.1.5	Update annex D by the rapporteur	
05/2020	0.1.6	Outcome TG UWB#53 (ERMTGUWB(20)053024r21) + editorial based on actions from TG UWB#53	
05/2020	0.1.7	Clean version based on 0.1.6. For TG UWB RC for approval	
06/2020	0.1.8	Outcome TG UWB RC and Resolution Meeting, for ERM approval for ENAP	

# History

Document history				
V1.1.1	September 2016	Publication as ETSI EN 303 883		
V1.2.0	July 2020	EN Approval Procedure	AP 20201008:	2020-07-10 to 2020-10-08
V1.2.1	December 2020	Vote	V 20210220:	2020-12-22 to 2021-02-22
V1.2.1	February 2021	Publication		