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European Standard

Access, Terminals, Transmission and Multiplexing (ATTM); Third Generation Transmission Systems for Interactive Cable Television Services - IP Cable Modems; Part 3: Downstream Radio Frequency Interface; DOCSIS 3.0



Reference DEN/ATTM-003006-3

Keywords

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Foreword

This draft European Standard (EN) has been produced by ETSI Technical Committee Access, Terminals, Transmission and Multiplexing (ATTM), and is now submitted for the Public Enquiry phase of the ETSI standards Two-step Approval Procedure.

The present document is part 3 of a multi-part deliverable. Full details of the entire series can be found in part 1 [i.7].

Proposed national transposition dates			
Date of latest announcement of this EN (doa):	3 months after ETSI publication		
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1 Scope and purpose

1.1 Scope

The present document defines the RF characteristics required in the downstream transmitter(s) of DOCSIS 3.0 CMTSs and EQAMs, sufficiently enough to permit vendors to build devices that meet the needs of cable operators around the world.

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In addition to defining these requirements for a DOCSIS 3.0 device, the present document could also be applicable to other devices such as:

- an Edge QAM (EQAM) not being used for DOCSIS 3.0 services; or
- an integrated Cable Modem Termination System [CMTS] with multiple downstream channels per RF port previous to DOCSIS 3.0.

There are differences in the cable spectrum planning practices adopted for different networks in the world. Therefore two options for physical layer technology are included, which have equal priority and are not required to be interoperable. One technology option is based on the downstream multi-program television distribution that is deployed in North America using 6 MHz channelling. The other technology option is based on the corresponding European multi-program television distribution. Both options have the same status, notwithstanding that the document structure does not reflect this equal priority. The first of these options is defined in clauses 5, 6 and 7, whereas the second is defined by replacing the content of those clauses with the content of annex A. Correspondingly, [4] and [2] apply only to the first option, and EN 300 429 [8] only to the second. Compliance with the present document requires compliance with the one or the other of these implementations, not with both. It is not required that equipment built to one option shall interoperate with equipment built to the other.

A DRFI-compliant device may be a single-channel only device, or it may be a multiple-channel device capable of generating one or multiple downstream RF carriers simultaneously on one RF output port. An EQAM may be a module of a modular cable modem termination system (M-CMTS) and be used for delivering a high-speed data service or it may serve as a component of a digital video or Video-on-Demand (VoD) system, delivering high quality digital video to subscribers. These specifications are crafted to enable an EQAM to be used without restriction in either or both service delivery scenarios simultaneously. "Simultaneous" in the early deployments means that if a RF output port has multiple QAM channels, some channel(s) may be delivering high-speed data while some other channel(s) may be delivering digital video. The present document enables future uses, wherein a single QAM channel may share bandwidth between high-speed data and digital video in the same MPEG transport stream.

Conceptually, an EQAM will accept input via an Ethernet link, integrate the incoming data into an MPEG transport stream, modulate one of a plurality of RF carriers, per these specifications, and deliver the carrier to a single RF output connector shared in common with all modulators. Conceivably, a single EQAM RF channel could be used for data and video simultaneously. The reason that an EQAM RF channel can be used for either is that both digital video and DOCSIS data downstream channels are based on ITU-T Recommendation J.83 [4], annex B for cable networks in North America and EN 300 429 [8] for cable networks deployed in Europe. On downstream channels complying to ITU-T Recommendation J.83 [4], annex B, typically, the only difference between an EQAM RF channel operating in a video mode and an EQAM RF channel operating in DOCSIS data mode is the interleaver depth (see clauses 6.3.1 and 6.3.3). DOCSIS data runs in a low latency mode using a shallow interleaver depth at the cost of some burst protection. DOCSIS data can do this because if a transmission error occurs, the higher layer protocols will request re-transmission of the missing data. For video, the sequence of frames in the program is both time sensitive and order sensitive and cannot be re-transmitted. For this reason, video uses a deeper interleaver depth to provide more extensive burst protection and deliver more of the program content without loss. The penalty video pays is in latency. The entire program content is delayed by a few milliseconds, typically, and is invisible to the viewers of the program. The conflicting demands for interleaver depth are what prevent a single EQAM RF channel from being used optimally for video and DOCSIS data simultaneously. A traditional integrated CMTS, however, is used solely for DOCSIS data.

1.2 Purpose of Document

The purpose of the present document is to define the RF characteristics required in the downstream transmitter(s) of CMTSs and EQAMs, sufficiently enough to permit vendors to build devices that meet the needs of cable operators around the world.

1.3 Use of References in the present document

The present document will not attempt to wholly replicate the normative references provided in the document. However, it will use extracted portions of said documents where it adds clarity to the present document. For fuller understanding of the present document, the most recent versions of [4] annex B or EN 300 429 [8], respectively, as well as ES 202 488-2 [1] should be available for reference.

1.4 Requirements

Throughout the present document, the words that are used to define the significance of particular requirements are capitalized. These words are:

"MUST"	This word means that the item is an absolute requirement of this specification.				
"MUST NOT"	This phrase means that the item is an absolute prohibition of this specification.				
"SHOULD"	This word means that there may exist valid reasons in particular circumstances to ignore this item, but the full implications should be understood and the case carefully weighed before choosing a different course.				
"SHOULD NOT"	This phrase means that there may exist valid reasons in particular circumstances when the listed behaviour is acceptable or even useful, but the full implications should be understood and the case carefully weighed before implementing any behaviour described with this label.				
"MAY"	This word means that this item is truly optional. One vendor may choose to include the item because a particular marketplace requires it or because it enhances the product, for example; another vendor may omit the same item.				

2 References

References are either specific (identified by date of publication and/or edition number or version number) or non-specific. For specific references, only the cited version applies. For non-specific references, the latest version of the reference document (including any amendments) applies.

Referenced documents which are not found to be publicly available in the expected location might be found at http://docbox.etsi.org/Reference.

NOTE: While any hyperlinks included in this clause were valid at the time of publication ETSI cannot guarantee their long term validity.

2.1 Normative references

The following referenced documents are necessary for the application of the present document.

- [1] ETSI ES 202 488-2: "Access and Terminals (AT); Second Generation Transmission Systems for Interactive Cable Television Services - IP Cable Modems; Part 2: Radio frequency interface specification".
- [2] CEA-542-B (July 2003): "Cable Television Channel Identification Plan".
- [3] ANSI/SCTE 02 (2006): "Specification for "F" Port, Female Indoor".
- [4] ITU-T Recommendation J.83 (April 1997 Annex B): "Digital multi-programme systems for television, sound and data services for cable distribution".
- [5] ISO/IEC 13818-1 (2000): "Information Technology Generic Coding Of Moving Pictures and Associated Audio Systems Recommendation H.222.0".
- [6] Cable Television Laboratories, Inc. CM-SP-RFIv2.0-C02-090422 (April 2009): "Data-Over-Cable Service Interface Specifications DOCSIS 2.0 Radio Frequency Interface Specification".

- [7] IEC 61169-24 (2002): "Radio-frequency connectors Part 24: Sectional specification Radio frequency coaxial connectors with screw coupling, typically for use in 75 ohm cable networks (type F)".
- [8] ETSI EN 300 429: "Digital Video Broadcasting (DVB); Framing structure, channel coding and modulation for cable systems".

2.2 Informative references

The following referenced documents are not necessary for the application of the present document but they assist the user with regard to a particular subject area.

- [i.1] Cable Television Laboratories, Inc. SP-CMTS-NSII01-960702 (July 1996): "Data Over Cable Interface Specifications - Cable Modem Termination System - Network Side Interface Specification".
- [i.2] Cable Television Laboratories, Inc. CM-SP-M-OSSI-I08-081209 (December 2008): "Data-Over-Cable Service Interface Specifications - Modular Headend Architecture - M-CMTS Operations Support System Interface Specification".
- [i.3] Cable Television Laboratories, Inc. CM-SP-CMCI-C01-081104 (November 2008): "Data-Over-Cable Service Interface Specifications Cable Modem to Customer Premise Equipment Interface".
- [i.4] Cable Television Laboratories, Inc. CM-SP-DEPI-I08-100611 (June 2010): "Data-Over-Cable Service Interface Specifications - Modular Headend Architecture - Downstream External PHY Interface Specification".
- [i.5] Cable Television Laboratories, Inc. CM-SP-DTI-I05-081209 (December 2008): "Data-Over-Cable Service Interface Specifications - Modular Headend Architecture - DOCSIS Timing Interface Specification".
- [i.6] Cable Television Laboratories, Inc. CM-SP-ERMI-I03-081107 (November 2008): "Data-Over-Cable-Service-Interface Specifications - Modular Headend Architecture - Edge Resource Manager Interface Specification".
- [i.7] ETSI EN 302 878-1: "Access, Terminals, Transmission and Multiplexing (ATTM); Third Generation Transmission Systems for Interactive Cable Television Services - IP Cable Modems; Part 1: General; DOCSIS 3.0".

3 Definitions and abbreviations

3.1 Definitions

For the purposes of the present document, the following terms and definitions apply:

ceiling (ceil): returns the first integer that is greater than or equal to a given value

Cable Modem (CM): modulator-demodulator at subscriber locations intended for use in conveying data communications on a cable television system

Customer Premises Equipment (CPE): equipment at the end user's premises; may be provided by the service provider

Carrier-to-Noise Ratio (C/N or CNR): ratio of signal power to noise power in a defined measurement bandwidth. For digital modulation, CNR = Es/No, the energy-per symbol to noise-density ratio; the signal power is measured in the occupied bandwidth, and the noise power is normalized to the modulation-rate bandwidth. For analog NTSC video modulation, the noise measurement bandwidth is 4 MHz.

deciBels (dB): ratio of two power levels expressed mathematically as dB = 10log10(POUT/PIN)

deciBel-milliVolt (dBmV): unit of RF power expressed in decibels relative to 1 millivolt over 75 Ω , where dBmV = 20log10(value in mV/1 mV)

encompassed spectrum: spectrum ranging from the lower band-edge of the lowest active channel frequency to the upper band-edge of the highest active channel frequency on an RF output port

Electronic Industries Alliance (EIA): voluntary body of manufacturers which, among other activities, prepares and publishes standards

EdgeQAM Modulator (EQAM): head end or hub device that receives packets of digital video or data. It re-packetizes the video or data into an MPEG transport stream and digitally modulates the digital transport stream onto a downstream RF carrier using quadrature amplitude modulation (QAM).

Forward Error Correction (FEC): class of methods for controlling errors in a communication system. FEC sends parity information with the data which can be used by the receiver to check and correct the data.

gap channel: channel within the encompassed spectrum which is not active; this occurs with non-contiguous channel frequency assignments on an RF output port

GigaHertz (GHz): unit of frequency; 1,000,000,000 or 109 Hz

Hertz (Hz): unit of frequency; formerly cycles per second

Harmonic Related Carriers (HRC): method of spacing channels on a cable television system with all carriers related to a common reference

Hybrid Fibre/Coax system (HFC): broadband bidirectional shared-media transmission system using optical fibre trunks between the head-end and the fibre nodes, and coaxial cable distribution from the fibre nodes to the customer locations

Incremental Related Carriers (IRC): method of spacing NTSC television channels on a cable television system in which all channels are offset up 12,5 kHz with respect to the [2] standard channel plan except for channels 5 and 6

kiloHertz (kHz): unit of frequency; 1,000 or 103 Hz; formerly kilocycles per second

Media Access Control (MAC): used to refer to the layer 2 element of the system which would include DOCSIS framing and signalling

MegaHertz (MHz): unit of frequency; 1,000,000 or 106 Hz; formerly megacycles per second

Modulation Error Ratio (MER): ratio of the average symbol power to average error power

M/N: relationship of integer numbers M,N that represents the ratio of the downstream symbol clock rate to the DOCSIS master clock rate

non-contiguous channel assignment: encompassed spectrum on an RF output port contains gap channels (inactive channels)

National Television Systems Committee (NTSC): committee which defined the analog, colour television, broadcast standards in North America. The standards television 525-line video format for North American television transmission is named after this committee.

NGNA LLC: company formed by cable operators to define a next-generation network architecture for future cable industry market and business requirements

Physical Media Dependent sublayer (PMD): sublayer of the Physical layer which is concerned with transmitting bits or groups of bits over particular types of transmission link between open systems and which entails electrical, mechanical and handshaking procedures

QAM channel (QAM ch): analog RF channel that uses Quadrature Amplitude Modulation (QAM) to convey information

Quadrature Amplitude Modulation (QAM): modulation technique in which an analog signal's amplitude and phase vary to convey information, such as digital data

Radio Frequency (RF): portion of the electromagnetic spectrum from a few kilohertz to just below the frequency of infrared light

Radio Frequency Interface (RFI): term encompassing the downstream and the upstream radio frequency interfaces

Root Mean Square (RMS): square root of the mean value squared a function

self-aggregation: method used to compute the headend noise floor by summing measured noise from a single device over a specified output frequency range

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Standard Channel Plan (STD): method of spacing NTSC television channels on a cable television system defined in [3]

Upstream Channel Descriptor (UCD): MAC Management Message used to communicate the characteristics of the upstream physical layer to the cable modems

Video on Demand (VoD): system that enables individuals to select and watch video content over a network through an interactive television system

3.2 Abbreviations

For the purposes of the present document, the following abbreviations apply:

CM	Cable Modem		
CMCI	Cable Modem CPE Interface		
CMTS	Cable Modem Termination System		
CNR	Carrier-to-Noise Ratio		
CPE	Customer Premises Equipment		
CW	Continuous Wave		
dBc	Decibels relative to carrier power		
DEPI	Downstream External-PHY Interface		
DOCSIS®	Data-Over-Cable Service Interface Specifications		
DRFI	Downstream Radio Frequency Interface		
DTI	DOCSIS Timing Interface		
EIA	Electronic Industries Alliance		
EQAM	EdgeQAM Modulator		
ERMI	Edge Resource Manager Interface		
FCC	Federal Communications Commission		
FEC	Forward Error Correction		
HFC	Hybrid Fibre/Coax system		
HRC	Harmonic Related Carriers		
IRC	Incremental Related Carriers		
ISO	International Standards Organization		
ITU	International Telecommunications Union		
ITU-T	Telecommunication Standardization Sector of the ITU		
MAC	Media Access Control		
M-CMTS	Modular Cable Modem Termination System		
MER	Modulation Error Ratio		
MPEG	Moving Picture Experts Group		
Ms	Millisecond. 10 ⁻³ second		
NGNA	Next Generation Network Architecture, see NGNA LLC		
Ns	Nanosecond. 10 ⁻⁹ second		
NTSC	National Television Systems Committee		
OSSI	Operations System Support Interface		
PHY	Physical Layer		
PID	Package Identifier		
PMD	Physical Media Dependent sublayer		
ppm	Parts per Million		
PUSI	Payload Unit Start Indicator		
Q	Quadrature modulation component		
QAM	Quadrature Amplitude Modulation		
RF	Radio Frequency		
RFI	Radio Frequency Interface		
RMS	Root Mean Square		
S-CDMA	Synchronous Code Division Multiple Access		
STD	Standard Channel Plan		
UCD	Upstream Channel Descriptor		

VoD Video on Demand

4 Void

5 Functional Assumptions

This clause describes the characteristics of a cable television plant, assumed to be for the purpose of operating a data-over-cable system. It is not a description of EQAM or CMTS parameters. The data-over-cable system MUST be interoperable within the environment described in this clause.

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Whenever there is a reference in this clause to frequency plans or compatibility with other services, or conflicts with any legal requirement for the area of operation, the latter shall take precedence. Any reference to NTSC analog signals in 6 MHz channels does not imply that such signals are physically present.

5.1 Broadband Access Network

A coaxial-based broadband access network is assumed. This may take the form of either an all-coax or hybrid fibre/coax (HFC) network. The generic term "cable network" is used here to cover all cases.

A cable network uses a shared-medium, "tree-and-branch" architecture, with analog transmission. The key functional characteristics assumed in the present document are the following:

- Two-way transmission.
- A maximum optical/electrical spacing between the DRFI-compliant device and the most distant CM of 100 miles in each direction, although typical maximum separation may be 10 miles to 15 miles.
- A maximum differential optical/electrical spacing between the DRFI-compliant device and the closest and most distant modems of 100 miles in each direction, although this would typically be limited to 15 miles.

At a propagation velocity in fibre of approximately 1,5 ns/ft, 100 miles of fibre in each direction results in a round-trip delay of approximately 1,6 ms. For further information, see ES 202 488-2 [1], annex R.

5.2 Equipment Assumptions

5.2.1 Frequency Plan

In the downstream direction, the cable system is assumed to have a pass band with a lower edge between 50 MHz and 54 MHz and an upper edge that is implementation-dependent but is typically in the range of 300 MHz to 870 MHz. Within that pass band, NTSC analog television signals in 6-MHz channels are assumed present on the Standard (STD), HRC, or IRC frequency plans of [2], as well as other narrowband and wideband digital signals.

5.2.2 Compatibility with Other Services

The CM and EQAM or CMTS MUST coexist with the other services on the cable network, for example:

- 1) they MUST be interoperable in the cable spectrum assigned for EQAM or CMTS-CM interoperation, while the balance of the cable spectrum is occupied by any combination of television and other signals; and
- 2) they MUST NOT cause harmful interference to any other services that are assigned to the cable network in spectrum outside of that allocated to the EQAM or CMTS. The latter is understood as:
 - no measurable degradation (highest level of compatibility);
 - no degradation below the perceptible level of impairments for all services (standard or medium level of compatibility); or

- no degradation below the minimal standards accepted by the industry (for example, FCC for analog video services) or other service provider (minimal level of compatibility).

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5.2.3 Fault Isolation Impact on Other Users

As downstream transmissions are on a shared-media, point-to-multipoint system, fault-isolation procedures should take into account the potential harmful impact of faults and fault-isolation procedures on numerous users of the data-over-cable, video and other services.

For the interpretation of harmful impact, see clause 5.2.2.

5.3 Downstream Plant Assumptions

The present document has been developed with the downstream plant assumptions of this clause.

5.3.1 Transmission Levels

The nominal power level of the downstream RF signal(s) within a 6-MHz channel (average power) is targeted to be in the range: -10 dBc to -6 dBc, relative to analog video carrier level (peak power) and will normally not exceed analog video carrier level.

5.3.2 Frequency Inversion

There will be no frequency inversion in the transmission path in either the downstream or the upstream directions (i.e. a positive change in frequency at the input to the cable network will result in a positive change in frequency at the output).

5.3.3 Analog and Digital Channel Line-up

In developing the present document, it was assumed that a maximum of 119 digital channels would be deployed in a headend. For the purposes of calculating CNR, protection for analog channels, it was assumed that analog channels are placed at lower frequencies in the channel line-up, versus digital channels.

5.3.4 Analog Protection Goal

One of the goals of the present document is to provide the minimum intended analog channel CNR protection of 60 dB for systems deploying up to 119 DRFI-compliant QAM channels.

The present document assumes that the transmitted power level of the digital channels will be 6 dB below the peak envelope power of the visual signal of analog channels, which is the typical condition for 256-QAM transmission. It is further assumed that the channel lineup will place analog channels at lower frequencies versus digital channels, and in systems deploying modulators capable of generating nine or more QAM channels on a single RF output port analog channels will be placed at centre frequencies below 600 MHz. An adjustment of $10*log_{10}$ (6 MHz/4 MHz) is used to account for the difference in noise bandwidth of digital channels versus analog channels. With the assumptions above, for a 119-QAM channel system, the specification in Item 5 of table 6-5 equates to an analog CNR protection of 60 dB. With more QAM channels the analog protection is less. With the stated assumptions, the analog protection is:

Analog Protection (dB) = $80,76 - 10*\log_{10}(\text{Number of QAM Channels})$.

For example, in a 143-QAM channel system, with the assumptions above, the specification equates to an analog CNR protection of 59,2 dB.

6 Physical Media Dependent Sublayer Specification

6.1 Scope

This clause applies to the first technology option referred to in clause 1. For the second option, refer to annex A.

The present document defines the electrical characteristics of the Downstream Radio Frequency Interface (DRFI) of a cable modem termination system (CMTS) or an edgeQAM (EQAM). It is the intent of this specification to define an interoperable DRFI-compliant device, such that any implementation of a CM can work with any EQAM or CMTS. It is not the intent of this specification to imply any specific implementation. Figure 6-1 shows the M-CMTS structure and interfaces.

Whenever a reference in this clause to spurious emissions conflicts with any legal requirement for the area of operation, the latter shall take precedence.



Figure 6-1: Logical View of Modular CMTS and Interfaces

The CMTS Network Side Interface [i.1], Modular CMTS Operation Support System Interface [i.3], Radio Frequency Interface (RFI), and the Cable Modem CPE Interface [i.3] are documented in existing DOCSIS specifications (see clause 2.2). The DOCSIS Timing Interface [i.5], Downstream External-PHY Interface [i.4], Downstream Radio Frequency Interface (the present document), and Edge Resource Manager Interface [i.6] require new specifications specific to the M-CMTS in a Next Generation Network Architecture (NGNA) environment.

6.2 EdgeQAM (EQAM) differences from CMTS

The EQAM is primarily the RF modulation and transmission module extracted from a consolidated CMTS. Because the CMTS has been divided into constituent parts into the modules, the EQAM needs to have a new interface to the Modular-CMTS (M-CMTS) MAC module. That new interface is an Ethernet interface, as specified in the [i.4], needed to communicate with the now remote EQAM. DEPI constructs, semantics, and syntax, as well as any new EQAM components and processing, are defined in the DEPI documentation.

EQAMs may also interface to video servers, via the Ethernet interface, and provide a downstream RF transmission to deliver digital video services. The protocols necessary to implement video services over EQAMs are out of the scope of the present document.

Several new features are supported in the present document. The DOCSIS 1.x and 2.0 specifications do not reflect the ability of vendors to support multiple RF channels per physical RF port. The present document presents the requirements and optional functions that enable an EQAM, or a CMTS, with multiple channels per RF port to be tested, measured and, if successful, qualified.

For an M-CMTS, module synchronization is not as easy as with an integrated CMTS. A DRFI-compliant EQAM has a timing port on it that enables a high precision (DTI) to be used to distribute a common clock and timing signals. This permits the EQAM to be used in all modes, including S-CDMA mode, because of the high stability and low jitter of the external clock and distribution system. The DOCSIS Timing Interface is defined in the [i.5] specification.

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6.3 Downstream

6.3.1 Downstream Protocol

The downstream PMD sublayer MUST conform to ITU-T Recommendation J.83 [4], annex B, except for clause B.6.2. Interleaver depths are defined in clause 6.3.3 of the present document. The applicability of a particular interleaver depth depends on the data service provided on a particular QAM RF channel. Applicability of interleaver depths for service delivery, other than DOCSIS high-speed data, is beyond the scope of the present document.

6.3.2 Spectrum Format

The downstream modulator for each QAM channel of the EQAM, or CMTS, MUST provide operation with the RF signal format of $S(t) = I(t) \cdot cos(wt) + Q(t) \cdot sin(wt)$, where t denotes time, w denotes RF angular frequency, and where I(t) and Q(t) are the respective Root-Nyquist filtered baseband quadrature components of the constellation, as specified in ITU-T Recommendation J.83 [4], annex B.

6.3.3 Scaleable Interleaving to Support Video and High-Speed Data Services

The CMTS or EQAM downstream PMD sublayer MUST support a variable-depth interleaver. ITU-T Recommendation J.83 [4] defines the variable interleaver depths in "table B.2/J.83 - Level 2 interleaving".

A CMTS or EQAM MUST support the set of interleaver depths described in tables 6-1 and 6-2. A multiple-channel CMTS or EQAM which is capable of producing up to N = 32 RF channels on a single RF output port MUST be capable of providing up to the longest interleaver depth on all N channels. A multiple-channel CMTS or EQAM which is capable of producing N > 32 RF channels on a single RF output port MUST be capable of providing interleaver depth I = 128, J = 8 on at least 32 channels, and up to I = 128, J = 4 on the remaining number of channels (see note). Further requirements for operational availability of interleaver depths are given in clause 6.3.5.1.2, item 1.

NOTE: This requirement provides that a DRFI modulator capable of producing N > 32 RF channels on a single RF output port is allowed to be limited in the total amount of interleaver depth it must support, where it must support no more than maximum interleaving depth on 32 channels and half that depth on the other N - 32 channels. This amount of required interleaving depth is less than that which is required for all channels to be interleaved with the maximum depth for a single channel, on all N channels.

Control Word	Interleaver Taps	Interleaver Increment	64-QAM 5,056941 Msym/sec 6 bits per symbol		256-QAM 5,360537 Msym/sec 8 bits per symbol	
Four Bits	I	J	Burst Protection	Latency	Burst Protection	Latency
1001	8	16	5,9 µs	0,22 ms	4,1 µs	0,15 ms
0111	16	8	12 µs	0,48 ms	8,2 µs	0,33 ms
0101	32	4	24 µs	0,98 ms	16 µs	0,68 ms
0011	64	2	47 µs	2,0 ms	33 µs	1,4 ms
0001	128	1	95 µs	4,0 ms	66 µs	2,8 ms

Control Word	Interleaver Taps	Interleaver Increment	64-Q/ 5,056941 I 6 bits per	64-QAM 256-0 5,056941 Msym/s 5,360537 6 bits per symbol 8 bits per		M sym/s ymbol
Four Bits	I	J	Burst Protection	Latency	Burst Protection	Latency
0000	128	1	95 µs	4,0 ms	66 µs	2,8 ms
0010	128	2	190 µs	8,0 ms	132 µs	5,6 ms
0100	128	3	285 µs	12 ms	198 µs	8,4 ms
0110	128	4	380 µs	16 ms	264 µs	11 ms
1000	128	5	475 µs	20 ms	330 µs	14 ms
1010	128	6	570 µs	24 ms	396 µs	17 ms
1100	128	7	664 µs	28 ms	462 µs	20 ms
1110	128	8	759 us	32 ms	528 us	22 ms

Table 6-2: Long Duration Burst Noise Protection Interleaver Depths

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The interleaver depth, which is coded in a 4-bit control word contained in the FEC frame synchronization trailer, always reflects the interleaving in the immediately following frame. In addition, errors are allowed while the interleaver memory is flushed after a change in interleaving is indicated.

Refer to [4] for the control bit specifications required to specify which interleaving mode is used.

6.3.4 Downstream Frequency Plan

The downstream frequency plan SHOULD comply with a Harmonic Related Carrier (HRC); Incremental Related Carrier (IRC), or Standard (STD) North American frequency plans, per [2] for digital QAM carriers. Operational frequencies MAY include all channels between, and including centre frequencies of 57 MHz to 999 MHz. Operational frequencies MUST include at least 91 MHz to 867 MHz.

6.3.5 DRFI Output Electrical

EQAMs and CMTSs may be available in three distinct versions. The terminology "multiple channel device" will apply to either of the latter two versions; requirements that apply to only one of the latter two versions will be clearly delineated in each case:

- Single channel devices that can only generate one RF channel per physical RF port.
- Multiple channel devices capable of generating more than one channel, but no more than eight, simultaneously per physical RF port. A multiple channel device could be used to generate a single channel; even so, it is still defined as a multiple channel device.
- Multiple channel devices capable of generating more than eight channels simultaneously per physical RF port. Such a multiple channel device could be used to generate eight or fewer channels; even so, it is still defined as a greater-than-eight multiple channel device.

An *N*-channel per RF port device capable of generating no more than eight channels per port MUST comply with all requirements operating with all *N* channels on the RF port, and. MUST comply with all requirements for an N'-channel per RF port device operating with N' channels on the RF port for all even values of N' less than N, and for N' = 1. An N-channel per RF port device capable of generating more than eight channels per port MUST comply with all requirements operating with all *N* channels on the RF port, and MUST comply with all requirements for an N'-channel per RF port device operating with all *N* channels on the RF port, and MUST comply with all requirements for an N'-channel per RF port device operating with N' channels on the RF port for all values of N' less than N.

For an N-channel per RF port device with $N \ge 9$ and N' < N/4, the applicable maximum power per channel and spurious emissions requirements are defined using a value of N'' = minimum(4N', ceiling[N/4]).

A single channel device MUST comply with all requirements for an N-channel device with N = 1.

These specifications assume that the DRFI device will be terminated with a 75 Ω load.

If more than one CMTS or EQAM is packaged in a chassis, each CMTS or EQAM MUST meet the appropriate parameters and definitions in this specification, regardless of the number of other CMTSs or EQAMs, their location in the chassis, or their configuration.

6.3.5.1 CMTS or EQAM Output Electrical

A CMTS or EQAM MUST output an RF modulated signal with the characteristics defined in tables 6-3, 6-4, 6-5, 6-6 and 6-7. The condition for these requirements is all *N'* combined channels, commanded to the same average power, except for the Single Channel Active Phase Noise, Diagnostic Carrier Suppression, and power difference (table 6-4) requirements, and except as described for Out-of-Band Noise and Spurious Requirements (table 6-5).

Parameter	Value			
Centre Frequency (fc) of any RF	MAY be 57 MHz to 999 MHz ±30 kHz (see note 1)			
channel of a CMTS or EQAM	MUST be at least 91 MHz to 867 MHz ±30 kHz			
Level	Adjustable (see table 6-4)			
Modulation Type	64-QAM , 256-QAM			
Symbol Rate (nominal)				
64-QAM	5,056941 Msym/s			
256-QAM	5,360537 Msym/s			
Nominal Channel Spacing	6 MHz			
Frequency response				
64-QAM	~ 0,18 Square Root Raised Cosine Shaping			
256-QAM	~ 0,12 Square Root Raised Cosine Shaping			
Inband Spurious, Distortion, and	Unequalized MER (see note 2) > 35 dB			
Noise	Equalized MER > 43 dB			
Inband Spurious and Noise	≤ -48 dBc; where channel spurious and noise includes all discrete spurious, noise, carrier leakage, clock lines, synthesizer products, and other undesired transmitter products. Spurious and noise within ±50 kHz of the carrier is excluded. When N > 1, noise outside the Nyquist bandwidth is excluded.			
Out of Band Spunous and Noise	See table 6.5			
Phase Noise				
Single Channel Active, <i>N</i> - 1 Channels Suppressed (see clause 6.3.5.1.2, item 6) 64-QAM and 256-QAM	1 kHz - 10 kHz: -33 dBc double sided noise power 10 kHz - 50 kHz: -51 dBc double sided noise power 50 kHz - 3 MHz: -51 dBc double sided noise power			
All N Channels Active (see	1 kHz - 10 kHz: -33 dBc double sided noise nower			
clause 6.3.5.1.2 item 7)	10 kHz - 50 kHz: -51 dBc double sided noise power			
64-QAM and 256-QAM				
Output Impedance	75 Q			
Output Return Loss (see note 3)	> 14 dB within an active output channel from 88 MHz to 750 MHz (see note 4)			
	 > 13 dB within an active output channel from 750 MHz to 870 MHz > 12 dB within an active output channel from 870 MHz to 1 002 MHz > 12 dB in every inactive channel from 54 MHz to 870 MHz > 10 dB in every inactive channel from 870 MHz to 1 002 MHz 			
Connector	F connector per [3]			
NOTE 1: 30 kHz includes an allo	wance of 25 kHz for the largest FCC frequency offset normally built into			
NOTE 2: MER (modulation error ratio) is determined by the cluster variance caused by the transmit waveform the output of the ideal receive matched filter. MER includes all discrete spurious, noise, carrier leaka clock lines, synthesizer products, distortion, and other undesired transmitter products. Unequalized MER also includes linear filtering distortion, which is compensated by a receive equalizer. Phase no up to ±50 kHz of the carrier is excluded from inband specification, to separate the phase noise and inband spurious requirements as much as possible. In measuring MER, record length or carrier trac loop bandwidth may be adjusted to exclude low frequency phase noise from the measurement. For equalized MER, receive equalizer coefficients are computed and applied with receiver operating wit device under test. For unequalized MER, receive equalize coefficients may be computed to flatten receiver response, if necessary, then are held fixed when device under test is connected. MER requirements assume measuring with a calibrated test instrument with its residual MER contribution removed.				
NOTE 3: Frequency ranges are e	edge-to-edge.			

Table 6-3: RF Output Electrical Requirements

NOTE 4: If the EQAM or CMTS provides service to a centre frequency of 57 MHz (see line 1 in table), then the EQAM or CMTS MUST provide a return loss of > 14 dB within an active output channel, from 54 MHz to 750 MHz (fedge).

6.3.5.1.1 Power per Channel CMTS or EQAM

An EQAM or CMTS MUST generate an RF output with power capabilities as defined in table 6-4. Channel RF power MAY be adjustable on a per channel basis with each channel independently meeting the power capabilities defined in table 6-4. Channel RF power MUST be adjustable on a per channel basis as stated in table 6-4. If the EQAM or CMTS has independent modulation capability on a per channel basis, then the channel RF power MUST be adjustable on a per channel basis, with each channel independently meeting the power capabilities defined in table 6-4.

Table 6-4: DRFI Device Out	put Power
----------------------------	-----------

Parameter	Value
Range of commanded transmit power per channel	≥ 8 dB below required power level specified below
	maintaining full fidelity over the 8 dB range
Range of commanded power per channel; adjusted on	MUST: 0 dBc to -2 dBc relative to highest
a per channel basis	commanded transmit power per channel, up to 8 dB below
	required power level (for modulators capable of generating
	9 or more channels per single RF output
	port)
	MAY: required power (in table below) to required power -
	8 dB, independently on each channel.
Commanded power per channel step size	≤ 0,2 dB Strictly monotonic
Power difference between any two adjacent channels	≤ 0,5 dB
in the 54 MHz to 1 002 MHz downstream spectrum	
(with commanded power difference removed if channel	
power is independently adjustable)	
Power difference between any two non-adjacent	≤ 1 dB
channels in a 48 MHz contiguous bandwidth block	
(with commanded power difference removed if channel	
power is independently adjustable)	
Power difference between any two non-adjacent	≤ 2 dB
channels in the 54 MHz to 1 002 MHz downstream	
spectrum (with commanded power difference removed	
if channel power is independently adjustable)	
Power per channel absolute accuracy	±2 dB
Diagnostic carrier suppression (3 modes)	
Mode 1: One channel suppressed	1) ≥ 50 dB carrier suppression within the Nyquist
	bandwidth in any one 6 MHz active channel. This
	MUST be accomplished without service impacting
Made 2: All channels suppressed executions	2) E0 dB corrier suppression within the Nyquiet
Node 2. All charmers suppressed except one	bandwidth in every 6 MHz active channel excent
	and This MUST he accomplished without convice
	impacting discontinuity or detriment to the remaining
	chapped for modulators with $N \leq 8$ where N equals
	the maximum number of channels per port
	For modulators with $N > 9$ the suppression is not
	required to be ditchless, and the remaining
	unsuppressed active channel is allowed to operate
	with increased power such as the total power of the
	N' active channels combined
Mode 3: All channels suppressed	3) 50 dB carrier suppression within the Nyquist
	bandwidth in every 6 MHz active channel.
	The power allowed in the 6 MHz suppressed
	channel(s) by this carrier suppression requirement is
	combined with the spurious emissions requirements
	of the remaining (not suppressed) active channels to
	produce the ultimate test limit for power in the 6 MHz
	suppressed channel(s).
	In all three modes the output return loss of the suppressed
	channel(s) MUST comply with the Output Return Loss
	requirements for active channels given in table 6-3.

Parameter	Value
RF output port muting	≥ 73 dB below the unmuted aggregate power of the RF modulated signal, in every 6 MHz channel from 54 MHz to 1 002 MHz.
	The specified limit applies with all active channels commanded to the same transmit power level. Commanding a reduction in the transmit level of any, or all but one, of the active channels does not change the specified limit for measured muted power in 6 MHz.
	The output return loss of the output port of the muted
	device MUST comply with the Output Return Loss
	requirements for inactive channels given in table 6-3.
Required power per channel for N channels combined onto a single RF port. for $N < 8$, where:	Required power in dBmV per channel
$N \equiv$ maximum number of combined channels per port	
and $N' \equiv$ number of active combined channels per port	
$(N' \leq N)$:	
N' = 1	60 dBmV
N' = 2	56 dBmV
N' = 3	54 dBmV
N' = 4	52 dBmV
4 < N' ≤ 8	60 - ceil [3,6*log2(<i>IV</i>)] dBmV
Required power per channel for <i>N</i> channels combined	Required power in dBmV per channel
onto a single RF port for $N' \ge N/4$ and $N \ge 9$:	
$N' \ge N/4$	$60 - \text{ceil} [3,6^{n}\log_2(N')] \text{dBmV}$
Required power per channel for N ' channels combined	Required power in dBmV per channel, where
onto a single RF port for $N' < N/4$ and $N \ge 9$:	$N'' \equiv \min \left[\frac{4N}{4} \right]$
$1 \le N' < N/4$	60 - ceil [3,6*log2(N")] dBmV

6.3.5.1.2 Independence of individual channel within the multiple channels on a single RF port

A potential use of a CMTS or an EQAM is to provide a universal platform that can be used for high-speed data services or for video services. For this reason, it is essential that interleaver depth be set on a per channel basis to provide a suitable transmission format for either video or data as needed in normal operation. Any *N*-channel block of a CMTS or EQAM MUST be configurable with at least two different interleaver depths, using any of the interleaver depths shown in table 6-1 and table 6-2. Although not as critical as per-channel interleaver depth control, there are strong benefits for the operator if the EQAM is provided with the ability to set RF power, centre frequency, and modulation type on a per-channel basis.

- A multiple-channel CMTS or EQAM MUST be configurable with at least two different interleaver depths among the N channels on an RF output port, with each channel using one of the two (or more) interleaver depths, on a per channel basis, see table 6-1 - Low Latency Interleaver Depths and table 6-2 for information on interleaver depths.
- 2) A multiple-channel CMTS or EQAM MUST provide for 3 modes of carrier suppression of RF power for diagnostic and test purposes, see table 6-4, Item 6 for mode descriptions and carrier RF power suppression level.
- 3) A multiple-channel CMTS or EQAM MAY provide for independent adjustment of RF power in a per channel basis with each RF carrier independently meeting the requirements defined in table 6-4.

- 4) A multiple-channel CMTS or EQAM MAY provide for independent selection of centre frequency on a per channel basis, thus providing for non-contiguous channel frequency assignment with each channel independently meeting the requirements in table 6-3. A multiple-channel CMTS or EQAM capable of generating nine or more channels on a single RF output port MUST provide for independent selection of centre frequency with the ratio of number of active channels to gap channels in the encompassed spectrum being at least 2:1, and with each channel independently meeting the requirements in table 6-3 except for spurious emissions (including table 6-5). A multiple-channel CMTS or EQAM capable of generating nine or more channels on a single RF output port MUST meet the requirements of table 6-3 when the ratio of number of active channels to gap channels to gap channels in the encompassed spectrum is at least 4:1. (A ratio of number of active channels to gap channels of at least 4:1 provides that at least 80 % of the encompassed spectrum contains active channels, and the number of gap channels is at most 20 % of the encompassed spectrum.)
- 5) A multiple-channel CMTS or EQAM MAY provide for independent selection of modulation order, either 64-QAM or 256-QAM, on a per channel basis, with each channel independently meeting the requirements in table 6-3.
- 6) A CMTS or EQAM MUST provide a test mode of operation, for out-of-service testing, configured for N channels but generating one-CW-per-channel, one channel at a time at the centre frequency of the selected channel; all other combined channels are suppressed. One purpose for this test mode is to support one method for testing the phase noise requirements of table 6-3. As such, the generation of the CW test tone SHOULD exercise the signal generation chain to the fullest extent practicable, in such manner as to exhibit phase noise characteristics typical of actual operational performance; for example, repeated selection of a constellation symbol with power close to the constellation RMS level would seemingly exercise much of the modulation and up-conversion chain in a realistic manner. The test mode MUST be capable of generating the CW tone over the full range of Centre Frequency in table 6-3.
- 7) A CMTS or EQAM MUST provide a test mode of operation, for out-of-service testing, generating one-CW-per-channel, at the centre frequency of the selected channel, with all other N 1 of the combined channels active and containing valid data modulation at operational power levels. One purpose for this test mode is to support one method for testing the phase noise requirements of table 6-3. As such, the generation of the CW test tone SHOULD exercise the signal generation chain to the fullest extent practicable, in such manner as to exhibit phase noise characteristics typical of actual operational performance. For example, a repeated selection of a constellation symbol, with power close to the constellation RMS level, would seemingly exercise much of the modulation and upconversion chain in a realistic manner. For this test mode, it is acceptable that all channels operate at the same average power, including each of the N 1 channels in valid operation, and the single channel with a CW tone at its centre frequency. The test mode MUST be capable of generating the CW tone over the full range of Centre Frequency in table 6-3.
- A CMTS or EQAM capable of generating more than eight channels per physical RF port MUST be capable of 8) glitchless reconfiguration over a range of active channels from ceiling[7*N'max/8] to N'max. Channels which are undergoing configuration changes are referred to as the "changed channels." The channels which are active and are not being reconfigured are referred to as the "continuous channels". Each DRFI modulator capable of generating more than eight channels per physical RF port MUST accept a command setting N'max. Glitchless reconfiguration consists of any of the following actions while introducing no discontinuity or detriment to the continuous channels, where the modulator is operating in a valid DRFI-required mode both before and after the reconfiguration with an active number of channels staying in the range {ceiling[7*N'max/8], N'max}: adding and/or deleting one or more channels, and/or moving some channels to new RF carrier frequencies, and/or changing the interleaver depth, modulation, power level, or frequency on one or more channels. Any change in the modulation characteristics (power level, modulation density, interleaver parameters, centre frequency) of a channel excuses that channel from being required to operate in a glitchless manner. For example, changing the power per channel of a given channel means that channel is not considered a continuous channel for the purposes of the glitchless modulation requirements. Glitchless operation is not required when N'max is changed, even if no reconfigurations accompany the change in N'max.

If either centre frequency 4) or modulation type 5), or both are independently adjustable on a per channel basis, then the CMTS or EQAM MUST provide for independent adjustment of RF power 3) on a per channel basis, with each RF carrier independently meeting the requirements defined in table 6-3.

6.3.5.1.3 Out-of-Band Noise and Spurious Requirements for CMTS or EQAM

One of the goals of the DRFI specification is to provide the minimum intended analog channel CNR protection of 60 dB for systems deploying up to 119 DRFI-compliant QAM channels.

The specification assumes that the transmitted power level of the digital channels will be 6 dB below the peak envelope power of the visual signal of analog channels, which is the typical condition for 256-QAM transmission. It is further assumed that the channel lineup will place analog channels at lower frequencies than digital channels, and in systems deploying modulators capable of generating nine or more digital channels on a single RF port analog channels will be placed at centre frequencies below 600 MHz. An adjustment of $10*log_{10}$ (6 MHz/4 MHz) is used to account for the difference in noise bandwidth of digital channels, versus analog channels. With the assumptions above, for a 119-QAM channel system, the specification in item 5 of table 6-5 equates to an analog CNR protection of 60 dB.

Tables 6-5, 6-6 and 6-7 list the out-of-band spurious requirements. In cases where the N' combined channels are not commanded to the same power level, "dBc" denotes decibels relative to the strongest carrier among the active channels. When commanded to the same power level, "dBc" should be interpreted as the average channel power, averaged over the active channels, to mitigate the variation in channel power across the active channels (see table 6-4), which is allowed with all channels commanded to the same power.

Modulators capable of generating $N \le 8$ channels on a single RF output port MUST satisfy the out-of-band spurious emissions requirements of table 6-5 with a contiguous block of N' combined channels.

Modulators capable of generating $N \ge 9$ channels on a single RF output port MUST satisfy the out-of-band spurious emissions requirements of table 6-5 in channels below 600 MHz and outside the encompassed spectrum when the active channels are contiguous or when the ratio of active channels to gap channels within the encompassed spectrum is 4:1 or greater.

Modulators capable of generating $N \ge 9$ channels on a single RF output port MUST satisfy the out-of-band spurious emissions requirements of table 6-5, with 1 dB relaxation, in gap channels below 600 MHz and within the encompassed spectrum when the ratio of active channels to gap channels within the encompassed spectrum is 4:1 or greater.

Modulators capable of generating $N \ge 9$ channels on a single RF output port MUST satisfy the out-of-band spurious emissions requirements of table 6-5, with 3 dB relaxation, when the ratio of active channels to gap channels within the encompassed spectrum is 4:1 or greater, in channels with centre frequency at or above 600 MHz, outside the encompassed spectrum or in gap channels within the encompassed spectrum.

In cases where $N \ge 9$, and the N' combined active channels are not contiguous, and the ratio of active channels to gap channels within the encompassed spectrum is 4:1 or greater, the spurious emissions requirements are determined by summing the spurious emissions power allowed in a given measurement bandwidth by each of the contiguous sub-blocks among the active channels. In the gap channels within the encompassed spectrum and below 600 MHz there is a 1 dB relaxation in the spurious emissions requirements, so that within the encompassed spectrum the spurious emissions requirements (in absolute power) are 26 % higher power in the measurement band determined by the summing of the contiguous sub-blocks' spurious emissions requirements. In all channels above 600 MHz there is a 3 dB relaxation in the spurious emissions requirements, so that the spurious emissions requirements (in absolute power) are double the power in the measurement band determined by the summing of the contiguous sub-blocks' spurious emissions requirements. The following three paragraphs provide the details of the spurious emissions requirements for non-contiguous channel operation outside the encompassed spectrum; within the encompassed spectrum the same details apply except there is an additional 1 dB allowance below 600 MHz; and 3 dB allowance is applied above 600 MHz for all channels.

The full set of N' channels is referred to throughout this specification as the modulated channels or the active channels. However, for purposes of determining the spurious emissions requirements for non-contiguous transmitted channels, each separate contiguous sub-block of channels within the active channels is identified, and the number of channels in each contiguous sub-block is denoted as N_i, for i = 1 to K, where K is the number of contiguous sub-blocks. Therefore, $N' = \sum_{i=1 \text{ to } k} N_i$. Note that K = 1 when and only when the entire set of active channels is contiguous. Also note that an isolated transmit channel, i.e. a transmit channel with empty adjacent channels, is described by $N_i = 1$ and constitutes a sub-block of one contiguous channel. Any number of the "contiguous sub-blocks" may have such an isolated transmit channel; if each active channel was an isolated channel, then K = N'. 21

When $N' \ge N/4$, table 6-6 is used for determining the noise and spurious power requirements for each contiguous subblock, even if the sub-block contains fewer than N/4 active channels. When N' < N/4, table 6-7 is used for determining the noise and spurious power requirements for each contiguous sub-block. Thus, the noise and spurious power requirements for each contiguous sub-block. Thus, the noise and spurious power requirements for each contiguous sub-block. Thus, the noise and spurious power requirements for all contiguous sub-blocks of transmitted channels are determined entirely from table 6-6 or entirely from table 6-7, where the applicable table is determined by N' being greater than or equal to N/4, or not. The noise and spurious power requirements for the ith contiguous sub-block of transmitted channels is determined from table 6-6 or table 6-7 using the value N_i for the "number of active channels combined per RF port", and using "dBc" relative to the strongest carrier among all the active channels, and not just the strongest channel in the ith contiguous sub-block, in cases where the N' combined channels are not commanded to the same power. The noise and spurious emissions power in each measurement band, including harmonics, from all K contiguous sub-blocks, is summed (absolute power, NOT in dB) to determine the composite noise floor for the non-contiguous channel transmission condition.

For the measurement channels adjacent to a contiguous sub-block of channels, the spurious emissions requirements from the non-adjacent sub-blocks are divided on an equal "per Hz" basis for the narrow and wide adjacent measurement bands. For a measurement channel wedged between two contiguous sub-blocks, adjacent to each, the measurement channel is divided into three measurement bands, one wideband in the middle and two narrowbands each abutting one of the adjacent transmit channels. The wideband spurious and noise requirement is split into two parts, on an equal "per Hz" basis, to generate the allowed contribution of power to the middle band and to the farthest narrowband. The ceiling function is applied to the resulting sum of noise and spurious emissions, per table note 1 of tables 6-5, 6-6 and 6-7 to produce a requirement of 1/2 dB resolution.

Items 1 through 4 list the requirements in channels adjacent to the commanded channels.

Item 5 lists the requirements in all other channels further from the commanded channels. Some of these "other" channels are allowed to be excluded from meeting the Item 5 specification. All the exclusions, such as 2nd and 3rd harmonics of the commanded channel, are fully identified in the table.

Item 6 lists the requirements on the $2N' 2^{nd}$ harmonic channels and the $3N' 3^{rd}$ harmonic channels.

Table 6-5: EQAM or CMTS Output Out-of-Band Noise and
Spurious Emissions Requirements for $N \le 8$

	for $N \le 8$ Maximum Number of Combined Channels per RF Port $N \equiv$ Maximum Number of Combined Channels per RF Port						
	N' ≡ Number of Active Channels Combined per RF Port						
ltem	Band				N	•	
	Balla	1 (dBc)	2 (dBc)	3 (dBc)	4 (dBc)	<u>N' > 4</u>	
1	Adjacent channel up to 750 kHz from channel block edge	< -58	< -58	< -58	< -58	$(10^{-65/10} + (N'-2)^{*10} + (0,75/6)^{*})$	
2	Adjacent channel (750 kHz from channel block edge to 6 MHz from channel block edge)	< -62	< -60	< -60	< -60	<10*log ₁₀ [10 ^{-62/10} +(5,25/6)* (10 ^{-65/10} + (<i>N</i> '-2)*10 ^{-73/10})]	
3	Next-adjacent channel (6 MHz from channel block edge to 12 MHz from channel block edge)	< -65	< -64	< -63,5	< -63	<10*log ₁₀ [10 ^{-65/10} + (<i>N'</i> -1)*10 ^{-73/10}]	
4	Third-adjacent channel (12 MHz from channel block edge to 18 MHz from channel block edge)	< -73	< -70	< -67	< -65	For $N' = 5$: -64,5 dBc; For $N = 6$: -64 dBc; For $N' = 7$: -64 dBc; For $N \ge 8$: < -73 + 10*log ₁₀ (N')	
5	 Noise in other channels (47 MHz to 1 002 MHz) Measured in each 6 MHz channel excluding the following: a) Desired channel(s) b) 1st, 2nd and 3rd adjacent channels (see items 1, 2, 3, 4 in this table) c) Channels coinciding with 2nd and 3rd harmonics (see item 6 in this table) 	< -73	< -70	< -68	< -67	< -73 + 10*log ₁₀ (<i>N</i> ')	
6	6 In each of 2N' contiguous 6 MHz channels or in each of 3N' contiguous 6 MHz channels coinciding with 2 nd harmonic and with 3 rd harmonic components respectively (up to 1 002 MHz)						
7	 Zower out of band noise in the band of 5 MHz to 47 MHz Measured in 6 MHz channel bandwidth 						
8	8 Higher out of band noise in the band of 1 002 MHz to 3 000 MHz Measured in 6 MHz channel bandwidth						
NOTE NOTE NOTE	 NOTE 1: All equations are Ceiling(Power, 0,5) dBc. Use "Ceiling(2*Power) / 2" to get 0,5 steps from ceiling functions that return only integer values. For example Ceiling(-63,9, 0,5) = -63,5 dBc. NOTE 2: Add 3 dB relaxation to the values specified above for noise and spurious emissions requirements in all channels with centre frequency 600 MHz and above. For example -73 dBc becomes -70 dBc. NOTE 3: Add 1 dB relaxation to the values specified above for noise and spurious emissions requirements in gap channels with centre frequency 600 MHz. For example -73 dBc becomes -72 dBc 						

	for $N \ge 9$ Maximum Number of Combined Channels per RF Port						
	N′ ≥ N/4 Number of Act	ive Chann	els Combi	ned per R	F Port		
ltem	Band	2 (dBa)	2 (dBa)	4 (dPa)	N'		
1	Adjacent channel up to 750 kHz from channel block edge	< -58	<u>з (авс)</u> < -58	< -58	$\frac{N + 4}{(10^{10} \log_{10}[10^{-58/10} + (0,75/6)^{*}]}$		
2	Adjacent channel (750 kHz from channel block edge to 6 MHz from channel block edge)	< -60	< -60	< -60	< $10^{10} [10^{-62/10} + (5,25/6)^{*} (10^{-65/10} + (N'-2)^{*}10^{-73/10})]$		
3	Next-adjacent channel (6 MHz from channel block edge to 12 MHz from channel block edge)	< -64	< -63,5	< -63	< 10*log ₁₀ [10 ^{-65/10} +(<i>N</i> '-1)*10 ^{-73/10}]		
4	Third-adjacent channel (12 MHz from channel block edge to 18 MHz from channel block edge)	< -70	< -67	< -65	For $N' = 5$: -64,5 dBc; For $N' = 6$: -64 dBc; For $N' = 7$: -64 dBc; For $N' \ge 8$: < -73 + 10*log ₁₀ (N')		
5	 Noise in other channels (47 MHz to 1 002 MHz) Measured in each 6 MHz channel excluding the following: a) Desired channel(s) b) 1st, 2nd and 3rd adjacent channels (see items 1, 2, 3, 4 in this table) c) Channels coinciding with 2nd and 3rd harmonics (see item 6 in this table) 	< -70	< -68	< -67	< -73 + 10*log₁₀(<i>N</i> ')		
6	In each of 2N' contiguous 6 MHz channels or in each of 3N' contiguous 6 MHz channels coinciding with 2 nd harmonic and with 3 rd harmonic components respectively (up to 1 002 MHz)	< -73 + 10)*log₁₀(<i>N'</i>), ₀	or -63 dBc	, whichever is greater		
7	Lower out of band noise in the band of 5 MHz to 47 MHz Measured in 6 MHz channel bandwidth	< -50 + 10	•*log₁₀(<i>N'</i>)				
8	8Higher out of band noise in the band of 1 002 MHz to 3 000 MHz $< -55 + 10^* \log_{10}(N')$ for $N' \le 8$ $< -60 + 10^* \log_{10}(N')$ for $N' > 8$ Measured in 6 MHz channel bandwidth						
NOTE NOTE	 All equations are Ceiling(Power, 0,5) dBc. that return only integer values. For exampl Add 3 dB relaxation to the values specified channels with centre frequency 600 MHz a 	Use "Ceilir e Ceiling(-6 l above for ind above	g(2*Power 33,9, 0,5) = noise and For examp	r) / 2" to ge = -63,5 dBc spurious e ble -73 dBc	t 0,5 steps from ceiling functions missions requirements in all becomes -70 dBc.		

Table 6-6: EQAM or CMTS Output Out-of-Band Noise and Spurious Emissions Requirements N ≥ 9 and N' ≥ N/4

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channels with centre frequency 600 MHz and above. For example -73 dBc becomes -70 dBc. NOTE 3: Add 1 dB relaxation to the values specified above for noise and spurious emissions requirements in gap channels with centre frequency below 600 MHz. For example -73 dBc becomes -72 dBc.

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Table 6-7: EQAM or CMTS Output Out-of-Band Noise and Spurious Emissions Requirements $N \ge 9$ and N' < N/4

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		for $N \ge 9$ Maximum Number of Combined Channels per RF Port						
	N' < N/4 Number of Active Channels Combined per RF Port							
N	* = Effective Number of Active Channels for Sp	burious En	NISSIONS R	equireme	nts= minimum[4N ⁻ , ceii(N/4)			
ltem	Band	2 (dBc)	3 (dBc)	4 (dBc)	N" > 4			
1	Adjacent channel up to 750 kHz from channel block edge	< -58	< -58	<-58	$<10^{*}\log_{10}[10^{-58/10} + (0,75/6)^{*}(10^{-65/10} + (N''-2)^{*}10^{-73/10})]$			
2	Adjacent channel (750 kHz from channel block edge to 6 MHz from channel block edge)	< -60	< -60	<-60	$<10^{10}\log_{10}[10^{-62/10}+(5,25/6)^{*}(10^{-65/10}+(N''-2)^{*}10^{-73/10})]$			
3	Next-adjacent channel (6 MHz from channel block edge to 12 MHz from channel block edge)	< -64	< -63,5	<-63	<10*log ₁₀ [10 ^{-65/10} +(<i>N"</i> -1)*10 ⁻ ^{73/10}]			
4	Third-adjacent channel (12 MHz from channel block edge to 18 MHz from channel block edge)	< -70	< -67	<-65	For $N'' = 5$: -64,5 dBc; For $N'' = 6$: -64 dBc; For N'' = 7: -64 dBc; For $N'' \ge 8$: <-73 + 10*log ₁₀ (N)			
5	 Noise in other channels (47 MHz to 1 002 MHz) Measured in each 6 MHz channel excluding the following: a) Desired channel(s) b) 1st, 2nd and 3rd adjacent channels (see items 1, 2, 3, 4 in this table) c) Channels coinciding with 2nd and 3rd harmonics (see item 6 in this table) 	< -70	< -68	<-67	< -73 + 10*log₁₀(<i>N"</i>)			
6	6 In each of 2N' contiguous 6 MHz channels or in each of 3N' contiguous 6 MHz channels coinciding with 2 nd harmonic and with 3 rd harmonic components respectively (up to 1.002 MHz)							
7	7 Lower out of band noise in the band of 5 MHz < -50 + 10*log10(N") to 47 MHz Measured in 6 MHz channel bandwidth							
8 Higher out of band noise in the band of 1 002 MHz to 3 000 MHz Measured in 6 MHz channel bandwidth			*log10(N") *log10(N")	for N" ≤ 8 for N" > 8				
NOTI	E 1: All equations are Ceiling(Power, 0,5) dBc. U	se "Ceiling	(2*Power)	2" to get	0,5 steps from ceiling functions			
NOTI NOTI	 that return only integer values. For example Ceiling(-63,9, 0,5) = -63,5 dBc. NOTE 2: Add 3 dB relaxation to the values specified above for noise and spurious emissions requirements in all channels with centre frequency 600 MHz and above. For example -73 dBc becomes -70 dBc. NOTE 3: Add 1 dB relaxation to the values specified above for noise and spurious emissions requirements in gap 							

6.3.5.2 CMTS or EQAM Master Clock Jitter for Asynchronous Operation

An EQAM MUST implement a DTI client and client interface per the [i.5] specification. The Master Clock specifications are defined in the [i.5] specification. The DTI client provides the Master Clock. An integrated CMTS not actively serviced by a DTI server MUST include a Master Clock with the specifications as follows.

The 10,24 MHz Master Clock MUST have, over a temperature range of 0 °C to 40 °C and for up to ten years from date of manufacture (see note below):

- A frequency accuracy of $\leq \pm 5$ ppm;
- A drift rate $\leq 10^{-8}$ per second; and
- An edge jitter of ≤ 10 ns peak-to-peak (± 5 ns).

This requirement MAY also be met by synchronizing the DRFI device Master Clock oscillator to an external frequency reference source. If this approach is used, the internal DRFI device Master Clock MUST have a frequency accuracy of ± 20 ppm over a temperature range of 0 °C to 40 °C, up to 10 years from date of manufacture, when no frequency reference source is connected. The drift rate and edge jitter MUST be as specified above.

The drift rate and jitter requirements on the DRFI device Master Clock implies that the duration of two adjacent segments of 10,240,000 cycles will be within 30 ns, due to 10 ns jitter on each segments' duration, and 10 ns due to frequency drift. Durations of other counter lengths also may be deduced: adjacent 1,024,000 segments, ≤ 21 ns; 1,024,000 length segments separated by one 10,240,000-cycle segment, ≤ 30 ns; adjacent 102,400,000 segments, ≤ 120 ns. The DRFI device Master Clock MUST meet such test limits in 99 % or more measurements.

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6.3.5.3 CMTS or EQAM Master Clock Jitter for Synchronous Operation

In addition to the requirements in clause 6.3.5.2, the 10,24 MHz CMTS Master Clock MUST meet the following double sideband phase noise requirements over the specified frequency ranges:

- $< [-50 + 20*\log (f_{MC}/10,24)] \text{ dBc}$ (i.e. < 0,05 ns RMS) 10 Hz to 100 Hz.
- $< [-58 + 20*\log (f_{MC}/10,24)] dBc$ (i.e. < 0.02 ns RMS) 100 Hz to 1 kHz.
- $< [-50 + 20*\log (f_{MC}/10,24)] dBc$ (i.e. < 0,05 ns RMS) 1 kHz to 10 kHz.
- < $[-50 + 20*\log (f_{MC}/10,24)]$ dBc (i.e. < 0,05 ns RMS) 10 kHz to $f_{MC}/2$.

 f_{MC} is the frequency of the measured master clock in MHz. The value of f_{MC} MUST be either an integral multiple or divisor of 10,24 MHz. For example, if a 20,48 MHz oscillator is used as the master clock frequency source, and there is no explicit 10,24 MHz clock to test, the 20,48 MHz clock may be used with f_{MC} equal to 20,48 in the above expressions.

Specifications for EQAM Master Clock jitter in synchronous operation are contained in the [i.5] specification.

6.3.5.4 CMTS or EQAM Master Clock Frequency Drift for Synchronous Operation

The frequency of the CMTS Master Clock MUST NOT drift more than 10⁻⁸ per second.

Specifications for EQAM Master Clock frequency drift in synchronous operation is contained in the DTI specification.

6.3.6 CMTS or EQAM Clock Generation

This clause contains the EQAM and CMTS requirements for locking the Downstream Symbol Clock to the Master Clock.

6.3.6.1 CMTS Clock Generation

The CMTS MUST lock the Downstream Symbol Clock to the CMTS Master Clock using the M/N divisors provided in table 6-8.

6.3.6.2 EQAM Clock Generation

An EQAM operates with an active DTI interface which provides a 10,24 MHz Master Clock. An EQAM MUST lock the Downstream Symbol Clock to the Master Clock using the M/N divisors provided in table 6-8.

6.3.6.3 Downstream Symbol Rate

Let f_b ' represent the rate of the Downstream Symbol Clock which is locked to the Master Clock and let f_m ' represent the rate of the Master Clock locked to the Downstream Symbol Clock. Let f_b represent the nominal specified downstream symbol rate and let f_m represent the nominal Master Clock rate (10,24 MHz). With the Downstream Symbol Clock locked to the Master Clock, the following equation MUST hold:

$$f_b' = f_m * M/N$$

With the Master Clock locked to the Downstream Symbol Clock, the following equation MUST hold:

$$f_m' = f_b * N/M$$

Note that M and N in table 6-8 are unsigned integer values, each representable in 16 bits and result in a value of f_b or f_m that is not more than ± 1 ppm from its specified nominal value.

The standard deviation of the timing error of the EQAM/CMTS RF symbol clock, referenced to the Master Clock, MUST be less than 1,5 ns measured over 100 s.

Table 6-8 lists the downstream modes of operation, their associated nominal symbol rates, f_b , values for M and N, the resulting synchronized clock rates and their offsets from their nominal values.

 Table 6-8: Downstream symbol rates and parameters for synchronization with Master Clock

Downstream mode	Nominal Specified Symbol Rate, fb (MHz)	M/N	Master Clock Rate, fm' (MHz)	Downstream Symbol Rate, fb' (MHz)	Offset from Nominal
Annex B, 64-QAM	5,056941	401/812	10,239990	5,056945	0,95 ppm
Annex B, 256-QAM	5,360537	78/149	10,240000	5,360536	0,02 ppm

6.3.7 Downstream Symbol Clock Jitter for Synchronous Operation

The downstream symbol clock MUST meet the following double sideband phase noise requirements over the specified frequency ranges:

- $< [-53 + 20*\log (f_{DS}/5,057)] dBc$ (i.e. < 0,07 ns RMS) 10 Hz to 100 Hz.
- $< [-53 + 20*\log (f_{DS}/5,057)] dBc$ (i.e. < 0,07 ns RMS) 100 Hz to 1 kHz.
- $< [-53 + 20*\log (f_{DS}/5,057)] dBc$ (i.e. < 0,07 ns RMS) 1 kHz to 10 kHz.
- $< [-36 + 20*\log (f_{DS}/5,057)] dBc$ (i.e. < 0,5 ns RMS) 10 kHz to 100 kHz.
- < $[-30 + 20*\log (f_{DS}/5,057)]$ dBc (i.e. < 1 ns RMS) 100 kHz to $(f_{DS}/2)$.

 f_{DS} is the frequency of the measured clock in MHz. The value of f_{DS} MUST be an integral multiple or divisor of the downstream symbol clock. For example, an $f_{DS} = 20,227764$ MHz clock may be measured if there is no explicit 5,056941 MHz clock available.

A DRFI-compliant device MUST provide a means for clock testing in which:

• The device provides test points for direct access to the master clock and the downstream symbol clock.

Alternatively, a DRFI conformant device MUST provide a test mode in which:

- The downstream QAM symbol sequence is replaced with an alternating binary sequence (1, -1, 1, -1, 1, -1, ...) at nominal amplitude, on both I and Q.
- The device generates the downstream symbol clock from the 10,24 MHz reference clock as in normal synchronous operation.

If an explicit downstream symbol clock, which is capable of meeting the above phase noise requirements, is available (e.g. a smooth clock without clock domain jitter), this test mode is not required.

6.3.8 Downstream Symbol Clock Drift for Synchronous Operation

The frequency of the downstream symbol clock MUST NOT drift more than 10^{-8} per second.

6.3.9 Timestamp Jitter

The DOCSIS timestamp jitter MUST be less than 500 ns peak-to-peak at the output of the Downstream Transmission Convergence Sublayer. This jitter is relative to an ideal Downstream Transmission Convergence Sublayer that transfers the MPEG packet data to the Downstream Physical Media Dependent Sublayer with a perfectly continuous and smooth clock at the MPEG packet data rate. Downstream Physical Media Dependent Sublayer processing MUST NOT be considered in timestamp generation and transfer to the Downstream Physical Media Dependent Sublayer. Thus, any two timestamps N1 and N2 (N2 > N1) which were transferred to the Downstream Physical Media Dependent Sublayer at times T1 and T2 respectively must satisfy the following relationship:

$$|(N2-N1)/f_{CMTS} - (T2-T1)| < 500 \times 10^{-9}$$

In the equation, the value of (N2-N1) is assumed to account for the effect of rollover of the timebase counter, and T1 and T2 represent time in seconds. f_{CMTS} is the actual frequency of the CMTS master timebase and may include a fixed frequency offset from the nominal frequency of 10,24 MHz. This frequency offset is bounded by a requirement further below in this clause.

The jitter includes inaccuracy in timestamp value and the jitter in all clocks. The 500 nsec allocated for jitter at the Downstream Transmission Convergence Sublayer output MUST be reduced by any jitter that is introduced by the Downstream Physical Media Dependent Sublayer.

NOTE: Jitter is the error (i.e. measured) relative to the CMTS Master Clock. (The CMTS Master Clock is the 10,24 MHz clock used for generating the timestamps.)

7 Downstream Transmission Convergence Sublayer

7.1 Introduction

The downstream transmission convergence layer used in the M-CMTS is defined as a continuous series of 188-byte MPEG [5] packets. These packets consist of a 4-byte header followed by 184 bytes of payload. The header identifies the payload as belonging to the Data-Over-Cable MAC. Other values of the header may indicate other payloads. The mixture of MAC payloads and those of other services is optional and is controlled by the CMTS.

Figure 7-1 illustrates the interleaving of DOCSIS MAC bytes with other digital information (digital video in the example shown).

header=DOC	DOC MAC payload
header=video	digital video payload
header=video	digital video payload
header=DOC	DOC MAC payload
header=video	digital video payload
header=DOC	DOC MAC payload
header=video	digital video payload
header=video	digital video payload
header=video	digital video pavload

Figure 7-1: Example of Interleaving MPEG Packets in Downstream

7.2 MPEG Packet Format

The format of an MPEG Packet carrying DOCSIS data is shown in figure 7-2. The packet consists of a 4-byte MPEG Header, a pointer_field (not present in all packets), and the DOCSIS Payload.

MPEG Header	pointer_field	DOCSIS Payload
(4 bytes)	(1 byte)	(183 bytes or 184 bytes)

Figure 7-2: Format of an MPEG Packet

7.3 MPEG Header for DOCSIS Data-Over-Cable

The format of the MPEG Transport Stream header is defined in clause 2.4 of [5]. The particular field values that distinguish Data-Over-Cable MAC streams are defined in table 7-1. Field names are from the ITU specification.

The MPEG Header consists of 4 bytes that begin the 188-byte MPEG Packet. The format of the header for use on a DOCSIS Data-Over-Cable PID MUST be as shown in table 7-1. The header format conforms to the MPEG standard, but its use is restricted in this specification to not allow inclusion of an adaptation_field in the MPEG packets.

Field	Length (bits)	Description
sync_byte	8	0x47; MPEG Packet Sync byte.
transport_error_indicator	1	Indicates that an error has occurred in the reception of the packet.
		This bit is reset to zero by the sender, and set to one whenever an
		error occurs in the transmission of the packet.
payload_unit_start_indicator	1	A value of one indicates the presence of a pointer_field as the first
		byte of the payload (fifth byte of the packet).
transport_priority	1	Reserved; set to zero.
PID	13	DOCSIS Data-Over-Cable well-known PID (0x1FFE).
transport_scrambling_control	2	Reserved, set to '00'.
adaptation_field_control	2	'01'; use of the adaptation_field is not allowed on the DOCSIS PID.
continuity_counter	4	Cyclic counter within this PID.

Table 7-1: MPEG Header Format for DOCSIS Data-Over-Cable Packets

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7.4 MPEG Payload for DOCSIS Data-Over-Cable

The MPEG payload portion of the MPEG packet will carry the DOCSIS MAC frames. The first byte of the MPEG payload will be a 'pointer_field' if the payload_unit_start_indicator (PUSI) of the MPEG header is set.

7.4.1 stuff_byte

The present document defines a stuff_byte pattern having a value (0xFF) that is used within the DOCSIS payload to fill any gaps between the DOCSIS MAC frames. This value is chosen as an unused value for the first byte of the DOCSIS MAC frame. The 'FC' byte of the MAC Header will be defined to never contain this value. (FC_TYPE = '11' indicates a MAC-specific frame, and FC_PARM = '11111' is not currently used and, according to this specification, is defined as an illegal value for FC_PARM.)

7.4.2 pointer_field

The pointer_field is present as the fifth byte of the MPEG packet (first byte following the MPEG header) whenever the PUSI is set to one in the MPEG header. The interpretation of the pointer_field is as follows:

- The pointer_field contains the number of bytes in this packet that immediately follow the pointer_field that the CM decoder will skip past before looking for the beginning of an DOCSIS MAC Frame. A pointer field MUST be present if it is possible to begin a Data-Over-Cable MAC Frame in the packet, and MUST point to either:
 - the beginning of the first MAC frame to start in the packet; or
 - to any stuff_byte preceding the MAC frame.

7.5 Interaction with the MAC Sublayer

MAC frames may begin anywhere within an MPEG packet. MAC frames may span MPEG packets, and several MAC frames may exist within an MPEG packet.

The following figures show the format of the MPEG packets that carry DOCSIS MAC frames. In all cases, the PUSI flag indicates the presence of the pointer_field as the first byte of the MPEG payload.

Figure 7-3 shows a MAC frame that is positioned immediately after the pointer_field byte. In this case, the pointer_field is zero, and the DOCSIS decoder will begin searching for a valid FC byte, at the byte immediately following the pointer_field.

MPEG Header	pointer_field	MAC Frame	stuff_byte(s)
(PUSI = 1)	(= 0)	(up to 183 bytes)	(0 or more)

Figure 7-3: Packet Format where a MAC Frame Immediately Follows the Pointer Field

Figure 7-4 shows the more general case, where a MAC Frame is preceded by the tail of a previous MAC Frame and a sequence of stuffing bytes. In this case, the pointer_field still identifies the first byte after the tail of Frame #1 (a stuff_byte) as the position where the decoder should begin searching for a legal MAC sublayer FC value. This format allows the multiplexing operation in the CMTS to immediately insert a MAC frame that is available for transmission if that frame arrives after the MPEG header and pointer_field have been transmitted.

In order to facilitate multiplexing of the MPEG packet stream carrying DOCSIS data with other MPEG-encoded data, the CMTS SHOULD NOT transmit MPEG packets with the DOCSIS PID which contain only stuff_bytes in the payload area. MPEG null packets SHOULD be transmitted instead.

NOTE: There are timing relationships implicit in the DOCSIS MAC sublayer, which need to also be preserved by any MPEG multiplexing operation.

MPEG Header	pointer_field	Tail of MAC Frame #1	stuff_byte(s)	Start of MAC
(PUSI = 1)	(= M)	(M bytes)	(0 or more)	Frame #2

Figure 7-4: Packet Format with MAC Frame Preceded by Stuffing Bytes

Figure 7-5 shows that multiple MAC frames may be contained within the MPEG packet. The MAC frames may be concatenated one after the other or be separated by an optional sequence of stuffing bytes.

MPEG Header	pointer_field	MAC Frame	MAC Frame	stuff_byte(s)	MAC Frame
(PUSI = 1)	(= 0)	#1	#2	(0 or more)	#3

Figure 7-5: Packet Format Showing Multiple MAC Frames in a Single Packet

Figure 7-6 shows the case where a MAC frame spans multiple MPEG packets. In this case, the pointer_field of the succeeding frame points to the byte following the last byte of the tail of the first frame.

MPEG Header		pointer_field stuff_byter		Start of MAC Frame #1
(PUSI = 1)		(= 0) (0 or more		(up to 183 bytes)
MPEG Header	Continuation of MAC Frame #1			
(PUSI = 0)	(184 bytes)			
MPEG Header	pointer_field	Tail of MAC Frame #1	stuff_byte(s)	Start of MAC Frame #2
(PUSI = 1)	(= M)	(M bytes)	(0 or more)	(M bytes)

Figure 7-6: Packet Format where a MAC Frame Spans Multiple Packets

The Transmission Convergence sublayer must operate closely with the MAC sublayer in providing an accurate timestamp to be inserted into the Time Synchronization message.

7.6 Interaction with the Physical Layer

The MPEG-2 packet stream MUST be encoded according to [4], including MPEG-2 transport framing using a parity checksum as described in [4].

Annex A (normative): Additions and Modifications for European Specification

This annex applies to the second technology option referred to in clause 1.1. For the first option, refer to clauses 5, 6 and 7.

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This annex describes the physical layer specifications required for the EuroDOCSIS integrated CMTS and EuroDOCSIS EQAM. This is an optional annex and in no way affects certification of equipment adhering to the North American technology option described in the clauses referenced above.

The numbering of the paragraphs has been maintained such that the suffix after the letter for the annex refers to the part of the specification where the described changes apply. As a consequence, some heading numbers might be missing in this annex, since no change is required to the relevant paragraph in the main body of the document.

A.1 Scope and purpose

See clause 1.

A.2 Void

A.3 Terms and definitions

See clause 3.1.

A.4 Acronyms and abbreviations

See clause 3.2.

A.5 Functional Assumptions

This clause describes the characteristics of a cable television plant, assumed to be for the purpose of operating a dataover-cable system. It is not a description of EQAM or CMTS parameters. The data-over-cable system MUST be interoperable within the environment described in this clause.

Whenever a reference to frequency plans or to compatibility with other services in this clause conflicts with a legal requirement for the area of operation, the latter shall take precedence. Any reference to analog TV signals in a particular frequency band does not imply that such signals are physically present.

A.5.1 Broadband Access Network

A coaxial-based broadband access network is assumed. This may take the form of either an all-coax or hybrid fibre/coax (HFC) network. The generic term "cable network" is used here to cover all cases.

A cable network uses a shared-medium, "tree-and-branch" architecture, with analog transmission. The key functional characteristics assumed in the present document are the following:

• Two-way transmission.

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• A maximum differential optical/electrical spacing between the DRFI-compliant device and the closest and most distant modems of 160 km (route meters) in each direction.

At a propagation velocity in fibre of approximately 5 ns/m, 160 km of fibre in each direction results in a round-trip delay of approximately 1,6 ms. For further information, see ES 202 488-2 [1], annex R.

A.5.2 Equipment Assumptions

A.5.2.1 Frequency Plan

In the downstream direction, the cable system is assumed to have a pass band with a typical lower edge between 47 MHz and 87,5 MHz, and an upper edge that is implementation-dependent, but is typically in the range of 300 MHz to 862 MHz. Within that pass band, PAL/SECAM analog television signals in 7/8 MHz channels and FM radio signals are assumed to be present, as well as other narrowband and wideband digital signals. 8 MHz channels are used for data communication.

A.5.2.2 Compatibility with Other Services

The CM and EQAM or CMTS MUST coexist with the other services on the cable network, for example:

- a) they MUST be interoperable in the cable spectrum assigned for EQAM- or CMTS-CM interoperation while the balance of the cable spectrum is occupied by any combination of television and other signals; and
- b) they MUST NOT cause harmful interference to any other services that are assigned to the cable network in spectrum outside of that allocated to the EQAM or CMTS. The latter is understood as:
 - no measurable degradation (highest level of compatibility);
 - no degradation below the perceptible level of impairments for all services (standard or medium level of compatibility); or
 - no degradation below the minimal standards accepted by the industry or other service provider (minimal level of compatibility).

A.5.2.3 Fault Isolation Impact on Other Users

See clause 5.2.3.

A.5.3 Downstream Plant Assumptions

See clause 5.3.

A.5.3.1 Transmission Levels

The nominal average power level of the downstream RF signal(s) within an 8 MHz channel is targeted to be in the range of -13 dBc to 0 dBc, relative to analog peak video carrier level and will normally not exceed analog peak video carrier level, (typically between -10 dBc to -6 dBc for 64-QAM and between -6 dBc to -4 dBc for 256-QAM).

A.5.3.2 Frequency Inversion

See clause 5.3.2.

A.5.3.3 Analog and Digital Channel Line-up

In developing this technology option, it was assumed that a maximum of 85 digital channels would be deployed in a headend. For the purposes of calculating CNR protection for analog channels, it was assumed that analog channels are placed at lower frequencies in the channel line-up than digital channels.

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A.5.3.4 Analog Protection Goal

One of the goals of the DRFI specification is to provide the minimum intended analog channel CNR protection of 59 dB measured in a 5,08 MHz wide frequency band for systems deploying up to 85 DRFI-compliant QAM channels.

For purposes of calculation, it is assumed that the transmitted power level of the digital channels will be 5 dB below the peak envelope power of the visual signal of analog channels, which is within the range of typical conditions for 256-QAM transmission. It is further assumed, for the purpose of calculation, that the channel line-up will place analog channels at lower frequencies than digital channels, and that in systems deploying modulators capable of generating nine or more channels on a single RF output port analog channels will be placed at centre frequencies below 600 MHz. An adjustment of $10*log_{10}$ (8 MHz/5,08 MHz) is used to account for the difference in bandwidth used to define the noise requirements for DRFI-compliant digital QAM channels, versus analog PAL channels. With the assumptions above, for an 85-QAM channel system, the specification in item 5 of table A-4 equates to an analog CNR protection of 59 dB.

A.6 Physical Media Dependent Sublayer Specification

A.6.1 Scope

This clause applies to the second technology option referred to in clause 1.1. In cases where the requirements for both technology options are identical, a reference is provided to the main text.

For the remainder of this clause see clause 6.1.

A.6.2 EdgeQAM (EQAM) differences from CMTS

See clause 6.2.

A.6.3 Downstream

A.6.3.1 Downstream Protocol

The downstream PMD sublayer MUST conform to EN 300 429 [8].

A.6.3.2 Spectrum Format

The downstream modulator for each QAM channel of the EQAM or CMTS MUST provide operation with the RF signal format of $S(t) = I(t) \cdot cos(\omega t) + Q(t) \cdot sin(\omega t)$, where t denotes time, ω denotes RF angular frequency and where I(t) and Q(t) are the respective Root-Nyquist filtered baseband quadrature components of the constellation, as specified in EN 300 429 [8].

A.6.3.3 Scaleable Interleaving to Support Video and High-Speed Data Services

The CMTS or EQAM downstream PMD sublayer MUST support the interleaver with the characteristics defined in table A-1. This interleaver mode fully complies with EN 300 429 [8].

Table A-1: Interleaver characteristics

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A.6.3.4 Downstream Frequency Plan

It is up to the operator to decide which frequencies to use to meet national and network requirements.

A.6.3.5 DRFI Output Electrical

See clause 6.3.5.

A.6.3.5.1 CMTS or EQAM Output Electrical

A CMTS or EQAM MUST output an RF-modulated signal with the characteristics defined in tables A-2, A-3 and A-4. The condition for these requirements is that all N' channels delivered to a single RF output port are commanded to the same average power. That condition does not apply to the requirement on Single Channel Active Phase Noise (table A-2), Diagnostic Carrier Suppression and Power Difference (table A-3), and except as described for Out-of-Band Noise and Spurious Requirements (table A-4).

A.6.3.5.1.1 Output Electrical per RF Port

Table A-2 shows the electrical output requirements per RF port.

Parameter	Value
Centre Frequency (fc) of any RF channel	MAY be 85 MHz to 999 MHz ±30 kHz at 250 kHz increments
of a CMTS or EQAM	MUST be 112 MHz to 858 MHz ±30 kHz at 250 kHz increments
Level	Adjustable (see table A-3)
Modulation Type	64-QAM, 256-QAM
Symbol Rate (nominal)	
64-QAM	6,952 Msym/s
256-QAM	6,952 Msym/s
Nominal Channel Spacing	8 MHz
Frequency response	
64-QAM	~ 0,15 Square Root Raised Cosine Shaping
256-QAM	~ 0,15 Square Root Raised Cosine Shaping
Inband Spurious, Distortion, and Noise	Unequalized MER (see note 1) > 35 dB
	Equalized MER > 43 dB
Inband Spurious and Noise ($f_c \pm 4 \text{ MHz}$)	≤ -46,7 dBc; where channel spurious and noise includes all discrete
	spurious, noise, carrier leakage, clock lines, synthesizer products, and
	other undesired transmitter products. Spurious and noise within ±50 kHz
	of the carrier is excluded. When $N > 1$, noise outside the Nyquist
	bandwidth is excluded.
Out of Band Spurious and Noise	See table A-4.
Phase Noise	1 kHz - 10 kHz: -33 dBc double sided noise power
Single Channel Active, N - 1 Channels	10 kHz - 50 kHz: -51 dBc double sided noise power
Suppressed (see clause 6.3.5.1.2,	50 kHz - 3 MHz: -51 dBc double sided noise power
item 5)	
64-QAM and 256-QAM	
	1 kHz - 10 kHz: -33 dBc double sided noise power
	10 KHZ - 50 KHZ: -51 aBC double sided holse power
Clause 6.3.5.1.2, ITEM 6)	
joutput impedance	

Table A-2: Output Electrical Requirements per RF Port

Parameter	Value						
Output Return Loss	> 14 dB within an active output channel in the frequency range from						
	108 MHz to 862 MHz (see note 2)						
	> 12 dB in every inactive channel from 81 MHz to 862 MHz						
	> 10 dB in every inactive channel above 862 MHz						
Connector	F connector per IEC-61169-24 [7]						
NOTE 1: MER (modulation error ratio) is	determined by the cluster variance caused by the transmit waveform at						
the output of the ideal receive	matched filter. MER includes all discrete spurious, noise, carrier leakage,						
clock lines, synthesizer produc	ts, distortion, and other undesired transmitter products. Unequalized MER						
also includes linear filtering dis	tortion, which is compensated by a receive equalizer. Phase noise up to						
±50 kHz of the carrier is exclud	led from inband specification, to separate the phase noise and inband						
spurious requirements as muc	h as possible. In measuring MER, record length or carrier tracking loop						
bandwidth may be adjusted to	exclude low frequency phase noise from the measurement. For equalized						
MER, receive equalizer coeffic	ients are computed and applied with receiver operating with device under						
test. For unequalized MER, red	ceive equalize coefficients may be computed to flatten receiver response,						
if necessary, then are held fixe	d when device under test is connected. MER requirements assume						
measuring with a calibrated tes	st instrument with its residual MER contribution removed.						
NOTE 2: If the EQAM or CMTS provides	E 2: If the EQAM or CMTS provides service to a centre frequency of 85 MHz (see line 1 in table) and above						
then the EQAM or CMTS MUS	then the EQAM or CMTS MUST provide a return loss > 14 dB within an active output channel in the						
frequency range from 81 MHz	to 108 MHz. If the EQAM or CMTS provides service to a centre frequency						
of 999 MHz (see line 1 in table) and below then the EQAM or CMTS MUST provide a return loss > 14 dB						
within an active output channe	I in the frequency range from 862 MHz to 1 003 MHz.						

A.6.3.5.1.2 Power per Channel CMTS or EQAM

An EQAM or CMTS MUST generate an RF output with power capabilities as defined in table A-3. Channel RF power MAY be adjustable on a per channel basis with each channel independently meeting the power capabilities defined in table A-3. If the EQAM or CMTS has independent modulation capability on a per channel basis, then the channel RF power MUST be adjustable on a per channel basis with each channel independently meeting the power capabilities defined in table A-3.

Parameter	Value	
Range of commanded transmit power per channel	≥ 8 dB below required power level specified below	
	maintaining full fidelity over the 8 dB range.	
Commanded power per channel step size	≤ 0,2 dB strictly monotonic	
Power difference between any two adjacent	≤ 0,5 dB	
channels in a block (with commanded power		
difference removed if channel power is		
independently adjustable)		
Power difference between any two non-adjacent	≤ 1 dB	
channels in a block (with commanded power		
difference removed if channel power is		
independently adjustable)		
Power per channel absolute accuracy	±2 dB	
Diagnostic carrier suppression (3 modes)		
Mode 1: One channel suppressed	 ≥ 50 dB carrier suppression within the Nyquist bandwidth in any one 8 MHz active channel. This MUST be accomplished without service-impacting discontinuity or detriment to the unsuppressed channels. 	
Mode 2: All channels suppressed except one	 ≥ 50 dB carrier suppression within the Nyquist bandwidth in every 8 MHz active channel except one. This MUST be accomplished without service-impacting discontinuity or detriment to the remaining channel for modulators with N ≤ 8, where N equals the maximum number of channels per port. For modulators with N ≥ 9 the suppression is not required to be glitchless, and the remaining unsuppressed active channel is allowed to operate with increased power such as the total power of the N' active channels combined. 	

Table A-3: DRFI Device Output Power

Parameter	Value
Mode 3: All channels suppressed	3) ≥ 50 dB carrier suppression within the Nyquist
	bandwidth in every 8 MHz active channel.
	The power allowed in the 8 MHz suppressed channel(s)
	by this carrier suppression requirement is combined with
	the spurious emissions requirements of the remaining
	(not suppressed) active channels to produce the
	ultimate test limit for power in the 8 MHz suppressed
	channel(s).
	In all three modes the output return loss of the suppressed
	channel(s) MUST comply with the Output Return Loss
	requirements for active channels given in table A-2.
RF output port muting	\geq 71,5 dB below the unmuted aggregate power of the RF
	modulated signal, in every 8 MHz channel from 86 MHz to
	1 006 MHZ.
	The specified limit applies with all active channels
	commanded to the same transmit nower level. Commanding
	a reduction in the transmit level of any or all but one of the
	active channels does not change the specified limit for
	measured muted power in 8 MHz.
	The output return loss of the output port of the muted device
	MUST comply with the Output Return Loss requirements for
	inactive channels given in table A-2.
Required power per channel for N channels	Required power in dBmV per channel
combined onto a single RF port with $N < 8$, where N	
= maximum number of combined channels per port	
and $N' \equiv$ number of active combined channels per	
port (N' \leq N):	
N' = 1	60 dBmV
N' = 2	56 dBmV
N = 3	
$ \mathbf{N} = 4$	52 (DBITIV
4 < N < 0 Required power per channel for NI' channels	Boguired power in dBmV per channel
combined onto a single PE port with $N' > N/4$ and N'	
> 5. N' > N/4	60 - ceil[3 6*log ₂ (N')] dBmV
Required power per channel for N' channels	Required power in dBmV per channel where
combined onto a single RF port with N' $<$ N/4 and N	N'' = min[4*N', ceil(N/4)]
> 9:	[,()]
1 < N' < N/4	60 - ceil[3,6*log ₂ (N")] dBmV

A.6.3.5.1.3 Independence of individual channel within the multiple channels on a single RF port

A potential use of a CMTS or an EQAM is to provide a universal platform that can be used for high-speed data services or for video services. There are strong benefits for the operator if the multiple-channel CMTS or the EQAM is provided with the ability to set RF power, centre frequency and modulation type on a per-channel basis.

- 1) A multiple-channel CMTS or EQAM MUST provide for 3 modes of carrier suppression of RF power for diagnostic and test purposes. See table A-2 for mode descriptions and carrier RF power suppression levels.
- 2) A multiple-channel CMTS or EQAM MAY provide for independent adjustment of RF power in a per channel basis with each RF carrier independently meeting the requirements defined in table A-3.

- 3) A multiple-channel CMTS or EQAM MAY provide for independent selection of centre frequency on a per channel basis, thus providing for non-contiguous channel frequency assignment, with each channel independently meeting the requirements in table A-3 A multiple-channel CMTS or EQAM capable of generating nine or more channels on a single RF output port MUST provide for independent selection of centre frequency with the ratio of number of active channels to gap channels in the encompassed spectrum being at least 2:1, and with each channel independently meeting the requirements in table A-3 except for spurious emissions (including table A-4) A multiple-channel CMTS or EQAM capable of generating nine or more channels on a single RF output port MUST meet the requirements of table A-3 when the ratio of number of active channels to gap channels to gap channels in the encompassed spectrum is at least 4:1. (A ratio of number of active channels to gap channels of at least 4:1 provides that at least 80 % of the encompassed spectrum.)
- 4) A multiple-channel CMTS or EQAM MAY provide for independent selection of modulation order, either 64-QAM or 256-QAM, on a per channel basis, with each channel independently meeting the requirements in table A-2.
- 5) A CMTS or EQAM MUST provide a test mode of operation, for out-of-service testing, configured for N channels, but generating one-CW-per-channel, one channel at a time at the centre frequency of the selected channel; all other of the combined channels are suppressed. One purpose for this test mode is to support one method for testing the phase noise requirements of table A-2. As such, the generation of the CW test tone SHOULD exercise the signal generation chain to the fullest extent practicable, in such manner as to exhibit phase noise characteristics typical of actual operational performance; for example, repeated selection of a constellation symbol with power close to the constellation RMS level would seemingly exercise much of the modulation and up-conversion chain in a realistic manner. The test mode MUST be capable of generating the CW tone over the full range of Centre Frequency in table A-2.
- 6) A CMTS or EQAM MUST provide a test mode of operation for out-of-service testing, generating one-CWper-channel, at the centre frequency of the selected channel, with all other N - 1 of the combined channels active and containing valid data modulation at operational power levels. One purpose for this test mode is to support one method for testing the phase noise requirements of table A-2. As such, the generation of the CW test tone SHOULD exercise the signal generation chain to the fullest extent practicable, in such manner as to exhibit phase noise characteristics typical of actual operational performance. For example, a repeated selection of a constellation symbol, with power close to the constellation RMS level, would seemingly exercise much of the modulation and up conversion chain in a realistic manner. For this test mode, it is acceptable that all channels operate at the same average power, including each of the N - 1 channels in valid operation, and the single channel with a CW tone at its centre frequency. The test mode MUST be capable of generating the CW tone over the full range of Centre Frequency in table A-2.
- A CMTS or EQAM capable of generating more than eight channels per physical RF port MUST be capable of 7) glitchless reconfiguration over a range of active channels from ceiling[7*N'_max/8] to N'_max. Channels which are undergoing configuration changes are referred to as the "changed channels". The channels which are active and are not being reconfigured are referred to as the "continuous channels". Each DRFI modulator capable of generating more than eight channels per physical RF port MUST accept a command setting N'_max. Glitchless reconfiguration consists of any of the following actions while introducing no discontinuity or detriment to the continuous channels, where the modulator is operating in a valid DRFI-required mode both before and after the reconfiguration with an active number of channels staying in the range {ceiling[7*N'_max/8], N'_max}: adding and/or deleting one or more channels, and/or moving some channels to new RF carrier frequencies, and/or changing the modulation, power level, or frequency on one or more channels. Any change in the modulation characteristics (power level, modulation density, centre frequency) of a channel excuses that channel from being required to operate in a glitchless manner. For example, changing the power per channel of a given channel means that channel is not considered a continuous channel for the purposes of the glitchless modulation requirements. Glitchless operation is not required when N'_max is changed, even if no reconfigurations accompany the change in N'_max.

If either centre frequency 0 or modulation type 0, or both are independently adjustable on a per channel basis, then the CMTS or EQAM MUST provide for independent adjustment of RF power 0 on a per channel basis, with each RF carrier independently meeting the requirements defined in table A-2.

A.6.3.5.1.4 Out-of-Band Noise and Spurious Requirements for CMTS or EQAM

One of the goals of the DRFI specification is to provide the minimum intended analog channel CNR protection of 59 dB measured in a 5,08 MHz wide frequency band for systems deploying up to 85 DRFI-compliant QAM channels.

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For purposes of calculation, it is assumed that the transmitted power level of the digital channels will be 5 dB below the peak envelope power of the visual signal of analog channels, which is within the range of typical conditions for 256-QAM transmission. It is further assumed, for the purpose of calculation, that the channel lineup will place analog channels at lower frequencies than digital channels, and that in systems deploying modulators capable of generating nine or more channels on a single RF output port analog channels will be placed at centre frequencies below 600 MHz. An adjustment of $10*log_{10}$ (8 MHz/5,08 MHz) is used to account for the difference in bandwidth used to define the noise requirements for DRFI-compliant digital QAM channels, versus analog PAL channels. With the assumptions above, for a 85-QAM channel system, the specification in item 5 of table A-4 equates to an analog CNR protection of 59 dB.

Table A-4 lists the out-of-band spurious requirements. In cases where the N' combined channels are not commanded to the same power level, "dBc" denotes the logarithmic power ratio relative to the strongest carrier among the active channels. When commanded to the same power level, "dBc" should be interpreted as the average channel power, averaged over the active channels, to mitigate the variation (see table A-3) in channel power across the active channels, which is allowed with all channels commanded to the same power.

Modulators capable of generating $N \le 8$ channels on a single RF output port MUST satisfy the out-of-band spurious emissions requirements of table A-4 with a contiguous block of N' combined channels.

Modulators capable of generating $N \ge 9$ channels on a single RF output port MUST satisfy the out-of-band spurious emissions requirements of table A-4 in channels with centre frequencies below 600 MHz and outside the encompassed spectrum when the active channels are contiguous or when the ratio of active channels to gap channels within the encompassed spectrum is 4:1 or greater.

Modulators capable of generating $N \ge 9$ channels on a single RF output port MUST satisfy the out-of-band spurious emissions requirements of table A-4, with 1 dB relaxation, in gap channels within the encompassed spectrum when the ratio of active channels to gap channels within the encompassed spectrum is 4:1 or greater and when the centre frequency of the channel is below 600 MHz.

Modulators capable of generating $N \ge 9$ channels on a single RF output port MUST satisfy the out-of-band spurious emissions requirements of table A-4, with 3 dB relaxation, in gap channels within the encompassed spectrum and in channels outside the encompassed spectrum when the ratio of active channels to gap channels within the encompassed spectrum is 4:1 or greater and when the centre frequency of the channel is at or above 600 MHz.

In cases where $N \ge 9$, and the N' combined active channels are not contiguous, and the ratio of active channels to gap channels within the encompassed spectrum is 4:1 or greater, the spurious emissions requirements are determined by summing the spurious emissions power allowed in a given measurement bandwidth by each of the contiguous sub-blocks among the active channels. In the gap channels within the encompassed spectrum and with centre frequency below 600 MHz there is a 1 dB relaxation in the spurious emissions requirements, so that within the encompassed spectrum the spurious emissions requirements (in absolute power) are 26 % higher power in the measurement band determined by the summing of the contiguous sub-blocks' spurious emissions requirements. In all channels with centre frequencies at or above 600 MHz there is a 3 dB relaxation in the spurious emissions requirements, so that the spurious emissions requirements (in absolute power) are double the power in the measurement band determined by the summing of the contiguous sub-blocks' spurious emissions requirements, so that the spurious emissions requirements (in absolute power) are double the power in the measurement band determined by the summing of the contiguous sub-blocks' spurious emissions requirements. The following three paragraphs provide the details of the spurious emissions requirements for non-contiguous channel operation outside the encompassed spectrum; within the encompassed spectrum the same details apply except there is an additional 1 dB allowance in channels with centre frequencies below 600 MHz; 3 dB allowance is applied to all channels with centre frequencies above 600 MHz.

The full set of N' channels is referred to throughout this specification as the modulated channels or the active channels. However, for purposes of determining the spurious emissions requirements for non-contiguous transmitted channels, each separate contiguous sub-block of channels within the active channels is identified, and the number of channels in each contiguous sub-block is denoted as N_i, for i = 1 to K, where K is the number of contiguous sub-blocks. Therefore, $N' = \sum_{i=1 \text{ to } k} N_i$. Note that K = 1 when and only when the entire set of active channels is contiguous. Also note that an isolated transmit channel, i.e. a transmit channel with empty adjacent channels, is described by N_i = 1 and constitutes a sub-block of one contiguous channel. Any number of the "contiguous sub-blocks" may have such an isolated transmit channel; if each active channel was an isolated channel, then K = N'.

When $N' \ge N/4$, table A-5 is used for determining the noise and spurious power requirements for each contiguous subblock, even if the sub-block contains fewer than N/4 active channels. When N' < N/4, table A-6 is used for determining the noise and spurious power requirements for each contiguous sub-block. Thus, the noise and spurious power requirements for all contiguous sub-blocks of transmitted channels are determined entirely from table A-5 or entirely from table A-6, where the applicable table is determined by N' being greater than or equal to N/4, or not. The noise and spurious power requirements for the ith contiguous sub-block of transmitted channels is determined from table A-5 or table A-6 using the value N_i for the "number of active channels combined per RF port", and using "dBc" relative to the strongest carrier among all the active channels, and not just the strongest channel in the ith contiguous sub-block, in cases where the N' combined channels are not commanded to the same power. The noise and spurious emissions power in each measurement band, including harmonics, from all K contiguous sub-blocks, is summed (absolute power, NOT in dB) to determine the composite noise floor for the non-contiguous channel transmission condition.

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For the measurement channels adjacent to a contiguous sub-block of channels, the spurious emissions requirements from the non-adjacent sub-blocks are divided on an equal "per Hz" basis for the narrow and wide adjacent measurement bands. For a measurement channel wedged between two contiguous sub-blocks, adjacent to each, the measurement channel is divided into three measurement bands, one wideband in the middle and two narrowbands each abutting one of the adjacent transmit channels. The wideband spurious and noise requirement is split into two parts, on an equal "per Hz" basis, to generate the allowed contribution of power to the middle band and to the farthest narrowband. The ceiling function is applied to the resulting sum of noise and spurious emissions, per table note 1 of tables A-4, A-5 and A-6 to produce a requirement of 1/2 dB resolution.

Items 1 through 4 list the requirements in channels adjacent to the commanded channels.

Item 5 lists the requirements in all other channels further from the commanded channels. Some of these "other" channels are allowed to be excluded from meeting the Item 5 specification. All the exclusions, such as 2nd and 3rd harmonics of the commanded channel, are fully identified in the table.

Item 6 lists the requirements on the $2N' 2^{nd}$ harmonic channels and the $3N' 3^{rd}$ harmonic channels.

Table A-4: EQAM or CMTS Output Out-of-Band Noise and Spurious Emissions Requirements for N ≤ 8 with N ≡ Maximum Number of Combined Channels per RF Port and N' ≡ Number of Active Channels Combined per RF Port

Itom	Pand	N' (for N ≤ 8)				
nem	Ballu	1 (dBc)	2 (dBc)	3 (dBc)	4 (dBc)	N > 4
1	Adjacent channel up to 750 kHz from channel block edge	< -58	< -58	< -58	< -58	<10*log ₁₀ [10 ^{-58/10} +(0,75/8)* (10 ^{-63.5/10} + (<i>N</i> -2)*10 ^{-71.5/10})]
2	Adjacent channel (750 kHz from channel block edge to 8 MHz from channel block edge)	< -60,5	< -59	< -58,5	< -58,5	$<10*\log_{10} [10^{-60.5/10} + (7,25/8)*$ (10 ^{-63.5/10} + (<i>N</i> -2)*10 ^{-71.5/10})]
3	Next-adjacent channel (8 MHz from channel block edge to 16 MHz from channel block edge)	< -63,5	< -63	< -62,5	< -62	<10*log ₁₀ [10 ^{-63.5/10} +(N-1)*10 ^{-71.5/10}]
4	Third-adjacent channel (16 MHz from channel block edge to 24 MHz from channel block edge)	< -71,5	< -68,5	< -65,5	< -64	For $N = 5$: < -63 dBc; For $N = 6$: < 62,5 dBc; For $N' = 7$; < 62,5 dBc; For $N \ge 8$: < -71,5 + 10*log ₁₀ (N)
5	 Noise in other channels (80 MHz to 998 MHz) Measured in each 8 MHz channel excluding the following: a) Desired channel(s) b) 1st, 2nd and 3rd adjacent channels (see items 1, 2, 3, 4 in this table) c) Channels coinciding with 2nd and 3rd harmonics (see item 6 in this table) 	< -71,5	< -68,5	< -66,5	< -65,5	< -71,5 + 10*log ₁₀ (N)
6	6 In each of 2N contiguous 8 MHz channels or in each of 3N contiguous 8 MHz channels coinciding with 2nd harmonic and with 3 rd harmonic components					
7	7 Lower out-of-band noise in the band of 5 MHz to 65 MHz Measured in 8 MHz channel bandwidth					
8	8 Higher out-of-band noise in the band of 998 MHz to 3 000 MHz Measured in 8 MHz channel bandwidth					
NOTE 1: All equations are Ceiling(Power, 0,5) dBc. Use "Ceiling(2*Power) / 2" to get 0,5 steps from ceiling functions						
 NOTE 2: Add 3 dB relaxation to the values specified above for noise and spurious emissions requirements in all channels with centre frequency at 600 MHz and above. For example -71,5 dBc becomes -68,5 dBc. NOTE 3: Add 1 dB relaxation to the values specified above for noise and spurious emissions requirements in gap channels with centre frequency below 600 MHz. For example: -71,5 dBc becomes -70,5 dBc. 						

Table A-5: EQAM or CMTS Output Out-of-Band Noise and Spurious Emissions Requirements for N ≥ 9 and N' ≥ N/4 with N ≡ Maximum Number of Combined Channels per RF Port and N' ≡ Number of Active Channels Combined per RF Port

ltam	Band	N' (for N ≥ 9 and N' ≥ N		≥ 9 and N' ≥ N/4)		
item	Balld		3 (dBc)	4 (dBc)	N' > 4	
1	Adjacent channel up to 750 kHz from channel block edge	< -58	< -58	< -58	$<10^{*}\log_{10}[10^{-58/10} + (0,75/8)^{*}(10^{-63,5/10} + (N'-2)^{*}10^{-71,5/10}]$	
2	Adjacent channel (750 kHz from channel block edge to 8 MHz from channel block edge)	< -59	< -58,5	< -58,5	$<10*\log_{10} [10^{-60.5/10} + (7,25/8)*(10^{-63,5/10} + (N'-2)*10^{-71,5/10})]$	
3	Next-adjacent channel (8 MHz from channel block edge to 16 MHz from channel block edge)	< -63	< -62,5	< -62	<10*log ₁₀ [10- ^{63,5/10} + (N'-1)*10- ^{71,5/10}]	
4	Third-adjacent channel (16 MHz from channel block edge to 24 MHz from channel block edge)	< -68,5	< -65,5	< -64	For $N' = 5$: < -63 dBc; For $N' = 6$: < -62,5 dBc; For $N' = 7$: < -62,5 dBc; For $N' \ge 8$: < -71,5 + 10 [*] log ₁₀ (N')	
5	 Noise in other channels (80 MHz to 998 MHz) Measured in each 8 MHz channel excluding the following: a) Desired channel(s) b) 1st, 2nd and 3rd adjacent channels (see items 1, 2, 3, 4 in this table) c) Channels coinciding with 2nd and 3rd harmonics (see item 6 in this table) 	< -68,5	< -66,5	< -65,5	< -71,5 + 10*log ₁₀ (<i>N</i>)	
6	6 In each of 2N' contiguous 8 MHz channels or in each of 3N' contiguous 8 MHz channels or coinciding with 2nd harmonic and with 3 rd harmonic components respectively (up to					
7	 Zero Control Contro Control Control Control Control Control Control Control Contr					
8	8 Higher out of band noise in the band of 998 MHz to 3 000 MHz $< -55 + 10^*\log_{10} (N)$ for $N' \le 8$ Measured in 8 MHz channel bandwidth					
NOTE 1	: All equations are Ceiling(Power, 0,5) dBc. Us	se "Ceiling	g(2*Power) / 2" to g	et 0,5 steps from ceiling functions	
NOTE 2	 Add 3 dB relaxation to the values specified a channels with centre frequency at 600 MHz a 	bove for r	3,9, 0,5) = ioise and . For exai	: -63,5 dB spurious (mple -71.5	c. emissions requirements in all 5 dBc becomes -68.5 dBc.	
NOTE 3	NOTE 3: Add 1 dB relaxation to the values specified above for noise and spurious emissions requirements in gap channels with centre frequency below 600 MHz. For example: -71,5 dBc becomes -70,5 dBc.					

Table A-6: EQAM or CMTS Output Out-of-Band Noise and Spurious Emissions Requirements for N ≥ 9 and N' < N/4 with N ≡ Maximum Number of Combined Channels per RF Port and N' ≡ Number of Active Channels Combined per RF Port and N" ≡ Effective Number of Active Channels for Spurious Emissions Requirements

Item Band N" = minimu (for N 2 2 (dBc) 3 (dBc) 4 (dBc)		= minimun (for N ≥ 9	n[4*N', ceiling(N/4)] ∂ and N' < N/4)			
		2 (dBc)	3 (dBc)	4 (dBc)	N" > 4	
1	Adjacent channel up to 750 kHz from channel block edge	< -58	< -58	< -58	$<10^{*}\log_{10}[10^{-58/10} + (0,75/8)^{*}(10^{-63,5/10} + (N'-2)^{*}10^{-71,5/10})]$	
2	Adjacent channel (750 kHz from channel block edge to 8 MHz from channel block edge)	< -59	< -58,5	< -58,5	<10*log ₁₀ [10- ^{60.5/10} + (7,25/8)* (10- ^{63,5/10} + (<i>N</i> '-2)*10- ^{71,5/10})]	
3	Next-adjacent channel (8 MHz from channel block edge to 16 MHz from channel block edge)	< -63	< -62,5	< -62	<10*log ₁₀ [10- ^{63,5/10} + (<i>N</i> '-1)*10- ^{71,5/10}]	
4	Third-adjacent channel (16 MHz from channel block edge to 24 MHz from channel block edge)	< -68,5	< -65,5	< -64	For $N' = 5$: < -63 dBc; For $N' = 6$: < -62,5 dBc; For $N' = 7$: < -62,5 dBc; For $N' \ge 8$: < -71,5 + 10*log ₁₀ (N')	
5	 Noise in other channels (80 MHz to 998 MHz) Measured in each 8 MHz channel excluding the following: a) Desired channel(s) b) 1st, 2nd and 3rd adjacent channels (see items 1, 2, 3, 4 in this table) c) Channels coinciding with 2nd and 3rd harmonics (see item 6 in this table) 	< -68,5	< -66,5	< -65,5	< -71,5 + 10*log10 (<i>N</i>)	
6 In each of 2N' contiguous 8 MHz channels or in each of 3N' contiguous 8 MHz channels coinciding with 2 nd harmonic and with 3 rd harmonic components respectively (up to			10*log ₁₀ (N	/), or -63 dl	Bc, whichever is greater	
7	7 Lower out of band noise in the band of 5 MHz to 65 MHz Measured in 8 MHz channel bandwidth					
8	8 Higher out of band noise in the band of 998 MHz to 3 000 MHz $< -55 + 10^*\log_{10} (N')$ for $N' \le 8$ Measured in 8 MHz channel bandwidth					
NOTE 1	NOTE 1: All equations are Ceiling(Power, 0,5) dBc. Use "Ceiling(2*Power) / 2" to get 0,5 steps from ceiling functions that					
NOTE 2:	 Add 3 dB relaxation to the values specified a with centre frequency at 600 MHz and above 	above for n 5. For exan	o,5) = -63,5 oise and sp nple: -71,5	o aBC. purious em dBc becor	issions requirements in all channels nes -68,5 dBc.	
NOTE 3:	IOTE 3: Add 1 dB relaxation to the values specified above for noise and spurious emissions requirements in gap channels with centre frequency below 600 MHz. For example: -71,5 dBc becomes -70,5 dBc.					

A.6.3.5.2 CMTS or EQAM Master Clock Jitter for Asynchronous Operation

See clause 6.3.5.2.

A.6.3.5.3 CMTS or EQAM Master Clock Jitter for Synchronous Operation

See clause 6.3.5.3.

A.6.3.5.4 CMTS or EQAM Master Clock Frequency Drift for Synchronous Operation

See clause 6.3.5.4.

A.6.3.6 CMTS or EQAM Clock Generation

When the 10,24 MHz Master Clock is provided by the DTI interface, a DRFI-compliant device MUST lock the Downstream Symbol Clock to the 10,24 MHz Master Clock using the M/N divisors provided in table A-7.

A.6.3.6.1 CMTS Clock Generation

The CMTS MUST lock the Downstream Symbol Clock to the CMTS Master Clock using the M/N divisors provided in table A-7.

A.6.3.6.2 EQAM Clock Generation

Because it operates with an active DTI interface, an EQAM MUST lock the Downstream Symbol Clock to the Master Clock using the M/N divisors provided in table A-7.

A.6.3.6.3 Downstream Symbol Rate

Let f_b ' represent the rate of the Downstream Symbol Clock, which is locked to the Master Clock, and let f_m ' represent the rate of the Master Clock locked to the Downstream Symbol Clock. Let f_b represent the nominal specified downstream symbol rate and let f_m represent the nominal Master Clock rate (10,24 MHz). With the Downstream Symbol Clock locked to the Master Clock, the following equation MUST hold:

$$f_b' = f_m * M/N$$

With the Master Clock locked to the Downstream Symbol Clock, the following equation MUST hold:

i

$$f_m' = f_b * N/M$$

Note that M and N in table A-7 are unsigned integer values, each representable in 16 bits, and result in a value of f_b or f_m that is not more than ± 1 ppm from its specified nominal value.

The standard deviation of the timing error of the EQAM/CMTS RF symbol clock, referenced to the DTI Server Master Clock, MUST be less than 1,5 ns measured over 100 s.

Table A-7 lists the downstream modes of operation, their associated nominal symbol rates, f_b , values for M and N, the resulting synchronized clock rates and their offsets from their nominal values.

Downstream mode	Nominal Specified Symbol Rate, f _b (MHz)	M/N	Master Clock Rate, f _m ' (MHz)	Downstream Symbol Rate, f₀' (MHz)	Offset from Nominal
EN 300 429 [8], 64-QAM	6,952	869/1280	10,240	6,952	0 ppm
EN 300 429 [8], 256-QAM	6,952	869/1280	10,240	6,952	0 ppm

Table A-7: Downstream symbol rates & parameters for synchronization with the Master Clock

A.6.3.7 Downstream Symbol Clock Jitter for Synchronous Operation

The downstream symbol clock MUST meet the following double sideband phase noise requirements over the specified frequency ranges:

- $< [-53 + 20*\log (f_{DS}/6,952)] dBc$ (i.e. < 0,07 ns RMS) 10 Hz to 100 Hz.
- $< [-53 + 20*\log (f_{DS}/6,952)] dBc$ (i.e. < 0,07 ns RMS) 100 Hz to 1 kHz.
- $< [-53 + 20*\log (f_{DS}/6,952)] dBc$ (i.e. < 0,07 ns RMS) 1 kHz to 10 kHz.
- $< [-36 + 20*\log (f_{DS}/6,952)] dBc$ (i.e. < 0,5 ns RMS) 10 kHz to 100 kHz.
- < $[-30 + 20*\log (f_{DS}/6,952)]$ dBc (i.e. < 1 ns RMS) 100 kHz to $(f_{DS}/2)$.

 f_{DS} is the frequency of the measured clock in MHz. The value of f_{DS} MUST be an integral multiple or divisor of the downstream symbol clock. For example, an $f_{DS} = 27,808$ MHz clock may be measured if there is no explicit 6,952 MHz clock available.

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A DRFI-compliant device MUST provide a means for clock testing in which:

• The device provides test points for direct access to the master clock and the downstream symbol clock.

Alternatively, a DRFI-conformant device MUST provide a test mode in which:

- The downstream QAM symbol sequence is replaced with an alternating binary sequence (1, -1, 1, -1, 1, -1, etc.) at nominal amplitude, on both I and Q.
- The device generates the downstream symbol clock from the 10,24 MHz reference clock as in normal synchronous operation.

If an explicit downstream symbol clock, which is capable of meeting the above phase noise requirements, is available (e.g. a smooth clock without clock domain jitter), this test mode is not required.

A.6.3.8 Downstream Symbol Clock Drift for Synchronous Operation

See clause 6.3.8.

A.6.3.9 Timestamp Jitter

See clause 6.3.9.

A.7 Downstream Transmission Convergence Sublayer

A.7.1 Introduction

See clause 7.1.

A.7.2 MPEG Packet Format

See clause 7.2.

A.7.3 MPEG Header for DOCSIS Data-Over-Cable

See clause 7.3.

A.7.4 MPEG Payload for DOCSIS Data-Over-Cable

See clause 7.4.

A.7.5 Interaction with the MAC Sublayer

See clause 7.5.

A.7.6 Interaction with the Physical Layer

The MPEG-2 packet stream MUST be encoded according to EN 300 429 [8].

Annex B (normative): DOCS-DRF-MIB

DOCS-DRF-MIB DEFINITIONS ::= BEGIN

```
IMPORTS
  MODULE-IDENTITY,
   OBJECT-IDENTITY,
   OBJECT-TYPE,
   Unsigned32
                     FROM SNMPv2-SMI
   TruthValue,
   AutonomousType
                     FROM SNMPv2-TC
   OBJECT-GROUP,
   MODULE-COMPLIANCE
                     FROM SNMPv2-CONF
   PhysicalIndexOrZero,
   PhysicalIndex
                     FROM ENTITY-MIB
   ifIndex,
   InterfaceIndexOrZero
                     FROM IF-MIB
   docsIfDownstreamChannelEntry
                     FROM DOCS-IF-MIB
   clabProjDocsis
                     FROM CLAB-DEF-MIB;
docsDrfMib MODULE-IDENTITY
               "200812090000Z" -- December 9, 2008
   LAST-UPDATED
   ORGANIZATION
               "Cable Television Laboratories, Inc"
   CONTACT-INFO
         "Postal: Cable Television Laboratories, Inc.
858 Coal Creek Circle
         Louisville, Colorado 80027-9750
         U.S.A.
         Phone: +1 303-661-9100
             +1 303-661-9199
         Fax:
         E-mail: mibs@cablelabs.com"
   DESCRIPTION
         "This MIB module contains the management objects for the
         management of the Downstream RF Interface specification.
         Copyright 1999-2008 Cable Television Laboratories, Inc.
         All rights reserved."
   ::= { clabProjDocsis 23 }
__ ____
-- Textual Conventions
                  _____
_____
-- Main Groups
docsDrfNotifications OBJECT IDENTIFIER ::= { docsDrfMib 0 }
docsDrfObjects
               OBJECT IDENTIFIER ::= { docsDrfMib 1 }
                  _____
-- DOCSIS DRF objects
__ ____
  _____
- -
-- PHY Parameters dependencies OBJECT-IDENTITY definitions
- -
  _____
docsDrfRegistry OBJECT-IDENTITY
   STATUS
            current
   DESCRIPTION
         "Registration point for M-CMTS characterization of PHY
         parameters dependencies."
   ::= { docsDrfObjects 1 }
```

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```
docsDrfPhyParamFixValue OBJECT-IDENTITY
   STATUS
            current
   DESCRIPTION
           "Indicates that this PHY parameter is fixed and cannot
           be changed."
   ::= { docsDrfRegistry 1 }
docsDrfPhyParamSameValue OBJECT-IDENTITY
   STATUS
              current
   DESCRIPTION
           "Indicates that the PHY parameter value is the same for
           the elements in a dependency group; thus, a change in
           the PHY parameter of an element in the group will change
           the PHY parameter value in the other elements of the
           dependency group."
   ::= { docsDrfRegistry 2 }
docsDrfPhyParamAdjacentValues OBJECT-IDENTITY
   STATUS
              current
   DESCRIPTION
           "Indicates that the PHY parameter has an adjacency or
           sequence pattern for the elements in a dependency group
           e.g. A group of channels all using J.83 Annex A, may set
           frequencies in the group by setting a 6 MHz spacing
           between the channels in the group. Vendors may rather
           use a more detailed vendor-specific OBJECT-IDENTITY or a
           table pointer to describe this type of PHY parameter
           dependency."
   ::= { docsDrfRegistry 3 }
docsDrfPhyParamFrequencyRange OBJECT-IDENTITY
   STATUS
             current
   DESCRIPTION
           "This object indicates that the frequency in a TSID Group
           is constrained to a frequency range. Vendors may extend
           the MIB construct containing this reference to detail such
           constraints or rather use a more detailed vendor-specific
           OBJECT-IDENTITY or a table pointer to describe the
           frequency range supported."
   ::= { docsDrfRegistry 4 }
_____
-- DOCSIS DRF Interface Extension objects
docsDrfDownstreamTable OBJECT-TYPE
   SYNTAX SEQUENCE OF DocsDrfDownstreamEntry
MAX-ACCESS not-accessible
   STATUS
              current
   DESCRIPTION
           "Extensions for the DOCSIS RFI Downstream
           docsIfDownstreamChannelTable."
   ::= { docsDrfObjects 2 }
docsDrfDownstreamEntry OBJECT-TYPE
   SYNTAX DocsDrfDownstreamEntry
   MAX-ACCESS not-accessible
   STATUS
              current
   DESCRIPTION
           "A conceptual row for this table.
           There is a corresponding entry for each entry of
           docsIfDownstreamChannelTable."
   AUGMENTS { docsIfDownstreamChannelEntry }
   ::= { docsDrfDownstreamTable 1 }
DocsDrfDownstreamEntry ::= SEQUENCE
   docsDrfDownstreamPhyDependencies
                                           BITS
```

```
docsDrfDownstreamPhyDependencies OBJECT-TYPE
   SYNTAX BITS {
       frequency(0),
       bandwidth(1),
       power(2),
       modulation(3),
       interleaver(4).
       i83Annex(5)
       symbolRate(6),
       mute(7)
   MAX-ACCESS read-only
   STATUS
              current
   DESCRIPTION
           "The summary of the Downstream Interface
           dependencies based on the constraints of
           docsDrfGroupDependencyEntry.
           A BIT position set to '1' indicates the PHY parameter
           belongs to a dependency group.
           A BIT position set to '0', indicates the PHY parameter
           does not belong to a dependency group.
           For Interfaces configured via DEPI this information is
           reflected in the DEPI session as DEPI TSID group
           dependencies.
           If this object value is the zero-length string, it indicates
           this interface is configurable via DEPI but no session is
           currently active."
   ::= { docsDrfDownstreamEntry 1 }
_____
-- DRF Downstream Interface Capabilities
      _____
docsDrfDownstreamCapabilitiesTable OBJECT-TYPE
            SEQUENCE OF DocsDrfDownstreamCapabilitiesEntry
   SYNTAX
   MAX-ACCESS not-accessible
   DESCRIPTION
           "This table contains the QAM channel capabilities
           for the Downstream Interface PHY parameters."
   ::= { docsDrfObjects 3 }
docsDrfDownstreamCapabilitiesEntry OBJECT-TYPE
   SYNTAX
              DocsDrfDownstreamCapabilitiesEntry
   MAX-ACCESS not-accessible
   STATUS
             current
   DESCRIPTION
          "A conceptual row for this table."
   INDEX { ifIndex }
   ::= { docsDrfDownstreamCapabilitiesTable 1 }
DocsDrfDownstreamCapabilitiesEntry ::= SEQUENCE
   docsDrfDownstreamCapabFrequency
                                                   BITS.
   {\tt docsDrfDownstreamCapabBandwidth}
                                                   BITS,
   docsDrfDownstreamCapabPower
                                                   BITS,
   docsDrfDownstreamCapabModulation
                                                   BITS,
   docsDrfDownstreamCapabInterleaver
                                                   BITS
   docsDrfDownstreamCapabJ83Annex
                                                   BITS,
   docsDrfDownstreamCapabConcurrentServices
                                                   BITS,
   docsDrfDownstreamCapabServicesTransport
                                                   BITS,
   docsDrfDownstreamCapabMuting
                                                   BITS
```

}

docsDrfDownstreamCapabFrequency OBJECT-TYPE SYNTAX BITS { qamDependency(0), adjacentChannel(1), adjacentChannelOrder(2) MAX-ACCESS read-only STATUS current DESCRIPTION "The QAM channel frequency capabilities. 'qamDependency' BIT set to '1' indicates the QAM channel frequency value has dependencies with other QAM channels and an entry that includes this QAM channel is in in docsDrfGroupDependencyTable for the PHY parameter 'frequency'. 'adjacentChannel' BIT set to '1' indicates the QAM channel frequencies in the dependency group (DEPI TSID group) are adjacent and constrained in a frequency range based on the number of QAM channels in the dependency group. 'adjacentChannelOrder' BIT set to '1' indicates the QAM channel frequency adjacency is based in the QAM channel sequence like entPhysicalParentRelPos in EntPhysicalTable or other vendor sequence. e.g. a dependency group of four QAM channels with 'adjacentChannelOrder' BIT set to '1': The 4th QAM channel in the sequence gets a frequency assignment f + 1*bandwidth when the frequency value of the 3rd QAM channel in the sequence is set to f. Similarly the 1st QAM channel in the sequence gets a frequency assignment of f - 2*bandwidth and the 2nd QAM channels gets a frequency of f -1*bandwidth. 'adjacentChannel' 'adjacentChannelOrder' BITs may be set to '1' when a dependency group includes the QAM channel of this M-CMTS Downstream interface and the value of the object docsDrfGroupDependencyType is docsDrfPhyParamAdjacentValues. 'adjacentChannel' BIT may be set to '1' if 'qamDependency' BIT is set to '1'. The same way, 'adjacentChannelOrder' BIT may be set to '1' and implies 'adjacentChannel' BIT is set to '1'." ::= { docsDrfDownstreamCapabilitiesEntry 1 } docsDrfDownstreamCapabBandwidth OBJECT-TYPE SYNTAX BITS { qamDependency(0), chan6Mhz(1), chan8Mhz(2) MAX-ACCESS read-only STATUS current DESCRIPTION "The QAM channel Bandwidth capabilities. 'qamDependency' BIT set to '1' indicates the QAM channel bandwidth value has dependencies with other QAM channels as indicated in docsDrfGroupDependencyTable. 'chan6Mhz' set to '1' indicates 6 MHz channel width support. 'chan8Mhz' set to '1' indicates 8 MHz channel width support. When 'qamDependency' BIT is set to '1', a set to the channel bandwidth PHY parameter of a QAM channels in a dependency group (with docsDrfGroupDependencyType set to docsDrfPhyParamSameValue), sets the same channel bandwidth value to all QAM channels in the dependency group.

```
::= { docsDrfDownstreamCapabilitiesEntry 2 }
```

```
docsDrfDownstreamCapabPower OBJECT-TYPE
    SYNTAX
              BITS {
        qamDependency(0)
    MAX-ACCESS read-only
    STATUS
               current
    DESCRIPTION
            "The QAM channel Power capabilities.
            'qamDependency' BIT set to '1' indicates the QAM channel
            power value has dependencies with other QAM channels
            as indicated in docsDrfGroupDependencyTable.
            When 'qamDependency' BIT is set to '1', a set to the
            power level PHY parameter of a QAM channels in a
            dependency group (with docsDrfGroupDependencyType
            set to docsDrfPhyParamSameValue), sets the same power
level to all QAM channels in the dependency group."
    ::= { docsDrfDownstreamCapabilitiesEntry 3 }
docsDrfDownstreamCapabModulation OBJECT-TYPE
               BITS {
    SYNTAX
        qamDependency(0),
        qam64(1),
        qam256(2)
    MAX-ACCESS read-only
    STATUS
               current
    DESCRIPTION
            "The QAM channel Modulation capabilities.
            'qamDependency' BIT set to '1' indicates the QAM channel
            modulation value has dependencies with other QAM channels
            as indicated in docsDrfGroupDependencyTable.
            'qam64' set to '1' indicates 64-QAM modulation support.
            'qam256' set to '1' indicates 256-QAM modulation support.
            When 'gamDependency' BIT is set to '1', a set to the
            modulation PHY parameter of a QAM channels in a
            dependency group (with docsDrfGroupDependencyType
            set to docsDrfPhyParamSameValue), sets the same modulation
            type to all QAM channels in the dependency group."
    ::= { docsDrfDownstreamCapabilitiesEntry 4 }
docsDrfDownstreamCapabInterleaver OBJECT-TYPE
    SYNTAX BITS {
        qamDependency(0)
        taps8Increment16(1),
        taps16Increment8(2),
        taps32Increment4(3),
        taps64Increment2(4),
        taps128Increment1(5),
        taps12increment17(6),
        taps128increment2(7),
        taps128increment3(8),
        taps128increment4(9),
        taps128increment5(10),
        taps128increment6(11),
        taps128increment7(12),
        taps128increment8(13)
    MAX-ACCESS read-only
    STATUS
                current
    DESCRIPTION
            "The QAM channel Interleaver capabilities.
            'qamDependency' BIT set to '1' indicates the QAM channel
            interleave value has dependencies with other QAM channels
            as indicated in docsDrfGroupDependencyTable.
             'taps8Increment16' set to '1' indicates the support of
                                  j = 8, i = 16 interleave.
             'taps16Increment8' set to '1' indicates the support of
                                 j = 16, i = 8 interleave.
             'taps32Increment4' set to '1' indicates the support of
                                 j = 32, i = 4 interleave.
             'taps64Increment2' set to '1' indicates the support of
```

j = 64, i = 2 interleave. 'taps128Increment1' set to '1' indicates the support of j = 128, i = 1 interleave. 'taps12increment17' set to '1' indicates the support of j = 12, i = 17 interleave. 'taps128increment2' set to '1' indicates the support of j = 128, i = 2 interleave. 'taps128increment3' set to '1' indicates the support of j = 128, i = 3 interleave. 'taps128increment4' set to '1' indicates the support of j = 128, i = 4 interleave. 'taps128increment5' set to '1' indicates the support of j = 128, i = 5 interleave. 'taps128increment6' set to '1' indicates the support of j = 128, i = 6 interleave. 'taps128increment7' set to '1' indicates the support of j = 128, i = 7 interleave. 'taps128increment8' set to '1' indicates the support of j = 128, i = 8 interleave. When 'qamDependency' BIT is set to '1', a set to the interleave PHY parameter of a QAM channels in a dependency group (with docsDrfGroupDependencyType set to docsDrfPhyParamSameValue), sets the same Interleave value to all QAM channels in the dependency group." ::= { docsDrfDownstreamCapabilitiesEntry 5 } docsDrfDownstreamCapabJ83Annex OBJECT-TYPE SYNTAX BITS { qamDependency(0), annexA(1), annexB(2), annexC(3) MAX-ACCESS read-only STATUS current DESCRIPTION "The QAM channel J.83 Annex Capabilities. 'qamDependency' BIT set to '1' indicates the QAM channel J.83 Annex value has dependencies with other QAM channels as indicated in docsDrfGroupDependencyTable. 'annexA' set to '1' indicates J.83 Annex A support. 'annexB' set to '1' indicates J.83 Annex B support. 'annexC' set to '1' indicates J.83 Annex C support. When 'qamDependency' BIT is set to 'l', a set to the J.83 Annex PHY parameter of a QAM channels in a dependency group (with docsDrfGroupDependencyType set to docsDrfPhyParamSameValue), sets the same J.83 Annex value to all QAM channels in the dependency group." ::= { docsDrfDownstreamCapabilitiesEntry 6 } docsDrfDownstreamCapabConcurrentServices OBJECT-TYPE SYNTAX BITS { qamDependency(0) videoAndDocsis(1) MAX-ACCESS read-only STATUS current DESCRIPTION "The QAM channel Concurrent Services Capabilities. 'gamDependency' BIT set to '1' indicates the QAM channel is part of a dependency group that supports concurrent services mode as indicated in docsDrfGroupDependencyTable.

```
'videoAndDocsis' BIT set to '1' indicates video transport
            and DOCSIS transport can be supported simultaneously.
            Video and DOCSIS transport service types are described in
            docsDrfDownstreamCapabServicesTransport."
    ::= { docsDrfDownstreamCapabilitiesEntry 7 }
docsDrfDownstreamCapabServicesTransport OBJECT-TYPE
    SYNTAX
               BITS {
        qamDependency(0),
        mpeg2OverIP(1),
        dmpt(2),
        psp(3)
    MAX-ACCESS read-only
    STATUS
               current
    DESCRIPTION
            "The QAM channel Services transports modes Capabilities.
            'qamDependency' BIT set to '1' indicates the QAM channel
            Service transport type has dependencies with other QAM
            channels as indicated in
            docsDrfGroupDependencyTable.
            'mpeq20verIP' set to '1' indicates video transports as
            conventional VoD is supported (known as MPT mode, MPEG-2
            transport).
            'dmpt' set to 1 indicates DOCSIS MPT mode (D-MPT) support.
            'psp' set to 1 indicates DOCSIS Packet Streaming Protocol
            mode (PSP) support.
            When 'qamDependency' BIT is set to '1', a request to set
            a QAM channel to a service type in a dependency group
            (with docsDrfGroupDependencyType set to
            docsDrfPhyParamSameValue) may be rejected."
    ::= { docsDrfDownstreamCapabilitiesEntry 8 }
docsDrfDownstreamCapabMuting OBJECT-TYPE
              BITS {
    SYNTAX
        qamDependency(0)
    MAX-ACCESS read-only
   Lius current
DESCRIPTION
            "The QAM channel muting capabilities.
            'qamDependency' BIT set to '1' indicates the QAM Mute
state has dependencies with other QAM channels as
            indicated in docsDrfGroupDependencyTable.
            When 'qamDependency' BIT is set to '1', a request to
            mute a QAM channels in a dependency group (with
            docsDrfGroupDependencyType set to
           docsDrfPhyParamSameValue), sets all QAM channels in the
dependency group to mute."
    ::= { docsDrfDownstreamCapabilitiesEntry 9 }
          _____
-- DRF Group Dependency of PHY parameters Definitions
-- Defines the group of QAM channels that may be impacted for
-- individual QAM channels PHY parameters changes. Extends ENTITY-MIB
_____
docsDrfGroupDependencyTable OBJECT-TYPE
   SYNTAX SEQUENCE OF DocsDrfGroupDependencyEntry
MAX-ACCESS not-accessible
    STATUS
               current
    DESCRIPTION
            "This table describes the rules that identify groups of
            QAM channels with PHY parameters dependencies.
            A PHY parameter dependency group means that a set to
            a QAM channel parameter may affect the value of
            other QAM Channels in the group.
```

TSID is a broadcast term borrowed by the M-CMTS architecture to represent a unique identifier of QAM channels in the M-CMTS architecture. TSID Group is the DEPI concept of a set of QAM channels with a PHY parameter dependency. This module refers to TSID group as a PHY dependency Group. This table uses the ENTITY-MIB physical component structure to allows the managed system to describe the QAM channels PHY parameters dependencies. A management entity can use the information from this table to generate the DEPI TSID Groups. Examples of PHY dependencies could be usage of adjacent frequencies, or QAM channels of RF ports restricted, or same interleaver value, modulation and J.83 Annex value. Additional details and rules to describe the PHY parameter dependency is indicated in ${\tt docsDrfGroupDependencyType.}$ Vendors may extend via other MIB modules the usage of docsDrfGroupDependencyType." ::= { docsDrfObjects 4 } docsDrfGroupDependencyEntry OBJECT-TYPE SYNTAX DocsDrfGroupDependencyEntry MAX-ACCESS not-accessible STATUS current DESCRIPTION "A conceptual row of this table. QAM channels are modeled as PhysicalClass 'port' from the ENTITY-MIB. An QAM channel can be represented as part of an entity MIB containment tree as follows: chassis(device) .container(Slot) .module(field-replaceable-module) .module (Physical RF spigot) . port (QAM channel) PhysicalClass 'stack' is left optional and not included as a reference or examples for this table. Based on the hardware capabilities the Agent will create entries in this table including the entPhysicalEntry of the close element to the root (e.g. up to 'chassis' or 'stack') including the PHY parameter of the dependency as part of the entry index The Aggregation is then defined as all the QAM channels (entity PhysicalClass = 'port') below the tree as indicated in entyPhysicalContainsTable. Logical or software dependencies of the QAM channels $\ensuremath{\mathsf{PHY}}$ parameters in addition to the hardware dependency entries can be present and MUST persist during system re-initialization. The storage realization of hardware dependent entries are 'permanent' or 'readOnly'. The storage realization of logical dependency entries is 'nonVolatile' PHY parameter dependencies that are logically defined may be present in this table but its configuration is outside of the scope of this MIB Module, including the definition of simulated Physical components such backplane types or modules accomplish its logical grouping. PHY parameters with no Physical entities associated in this table indicate no PHY dependencies for certain groups of QAM channels. Administrative changes to the docsDrfGroupDependencyPhyParamLock are preserved in non-volatile memory upon system re-initialization.

Note that any change in the system due to the

```
insertion or removal or components will reset to factory
            default the entries associated with those components.
            An entry in this table is reflected in the MIB object
            docsIfMExtDownstreamTSIDGroupPhyParamFlag for individual
            QAM channels.
            A recursive method to find the PHY dependency group of an
            QAM channel A, PHY parameter X is as follows:
            The parent tree of QAM channel A is recursively calculated
            by navigating entyPhysicalContainsTable from bottom to top
            Pi(P1..Pn)
            The list Mj (M1..Mn) of
            docsDrfGroupDependencyPhysicalIndex represents the
            values from this table with PHY parameter
            docsDrfGroupDependencyPhyParam X and/or 'all'
            The list Qi (Q1..n) is the list of matches of Mi in Pi
            Qi with the lower position in the entyPhysicalContainsTable
            is selected Qy and My is the group criteria selected.
            All QAM channels Bi below My are candidates for inclusion
            in the dependency group.
            Each Bi is verified as A for its own BPi parent tree to
            verify that in effect My is the lowest denominator in Mi
            BPi intersection to become part of the Dependency Group
            of A."
   INDEX { docsDrfGroupDependencyPhyParam,
            docsDrfGroupDependencyPhysicalIndex }
    ::= { docsDrfGroupDependencyTable 1 }
DocsDrfGroupDependencyEntry ::= SEQUENCE
   docsDrfGroupDependencyPhyParam
                                           INTEGER,
   docsDrfGroupDependencyPhysicalIndex
                                           PhysicalIndexOrZero,
   docsDrfGroupDependencyGroupID
                                           Unsigned32,
    docsDrfGroupDependencyType
                                           AutonomousTvpe
{\tt docsDrfGroupDependencyPhyParam \ OBJECT-TYPE}
   SYNTAX
              INTEGER {
       noDependencies(0),
       all(1),
        frequency(2),
       bandwidth(3),
       power(4),
        modulation(5),
        interleave(6),
        annex(7)
        symbolRate(8),
       mute(9)
   MAX-ACCESS not-accessible
               current
   DESCRIPTION
            "This object represents the type of PHY parameter
            that may have dependencies when setting an individual
            object in the dependency group.
```

```
The value 'all' may be used as a wildcard to indicate
all PHY parameters have dependencies. The other
enumeration values are common QAM PHY parameters.
The opposite to 'all' is 'noDependencies', which indicates
```

STATUS

```
no dependencies in PHY parameters, but is only used to
        indicate no dependencies across all the device. Thus, when used, 'noDependencies' is accompanied by
        docsDrfGroupDependencyPhysicalIndex '0' as the only
        entry in the table.
        In this way it is clearly distinguished when a device
        has dependencies instead of an empty table."
::= { docsDrfGroupDependencyEntry 1 }
```

```
docsDrfGroupDependencyPhysicalIndex OBJECT-TYPE
   SYNTAX
               PhysicalIndexOrZero
   MAX-ACCESS not-accessible
   STATUS
              current
   DESCRIPTION
           "Indicates the physical element from where the PHY
           parameter dependency for QAM channels is derived.
           All the QAM channels elements under this Physical index
           will belong to a dependency group of the specified PHY
           parameter.'
    ::= { docsDrfGroupDependencyEntry 2 }
docsDrfGroupDependencyGroupID OBJECT-TYPE
   SYNTAX Unsigned32 (1..127)
MAX-ACCESS read-only
   STATUS
              current
   DESCRIPTION
           "The internal ID assigned for the QAM channels in the
           dependency group.
           The value of this object is unique in the scope of the
           PHY parameter being mapped."
    ::= { docsDrfGroupDependencyEntry 3 }
docsDrfGroupDependencyType OBJECT-TYPE
   SYNTAX AutonomousType
MAX-ACCESS read-only
   STATUS
              current
   DESCRIPTION
           "The description of the type of dependency associated
           with this dependency group.
           Basic type of dependencies are docsDrfPhyParamSameValue,
           docsDrfPhyParamAdjacentValues, docsDrfPhyParamFrequencyRange.
           Vendors may define their own rules and policies to describe
           their implementation dependency definitions such as
           RowPointers to table entries or OBJECT-IDENTITY clauses.
           If the dependency is not described this object is set to
           zeroDotZero, although the dependency does exist."
    ::= { docsDrfGroupDependencyEntry 4 }
__ ____
-- DRF Channel Block configuration
-- Configuration and diagnostic of block Channels.
-- This table is only for Channels Blocks Physical containments
-- Other configuration parameters (PHY) applicable to all channels in a
-- QAM Channel Block are set through the DS (QAM) channel interface and
-- configuration objects
                                   docsDrfChannelBlockTable OBJECT-TYPE
             SEQUENCE OF DocsDrfChannelBlockEntry
   SYNTAX
   MAX-ACCESS not-accessible
   STATUS
               current
   DESCRIPTION
           "This table configure attributes of block channels and
            Controls channel Block Tests.
           A channel block is an ENTITY-MIB containment of
           PhysicalClass 'module' that represent an RF connector."
    ::= { docsDrfObjects 5 }
docsDrfChannelBlockEntry OBJECT-TYPE
   SYNTAX DocsDrfChannelBlockEntry
MAX-ACCESS not-accessible
   STATUS
              current
   DESCRIPTION
           "The conceptual row of this table.
           Entries in this table are created at system
           Initialization for Block Channels compliant to DRFI
           Specification.
           Sets in entries of this table persist after system
           initialization."
   INDEX { docsDrfChannelBlockPhysicalIndex }
    ::= { docsDrfChannelBlockTable 1 }
```

DocsDrfChannelBlockEntry::= SEQUENCE docsDrfChannelBlockPhysicalIndex PhysicalIndex, docsDrfChannelBlockNumberChannels Unsigned32, docsDrfChannelBlockCfgNumberChannels Unsigned32, docsDrfChannelBlockMute TruthValue, docsDrfChannelBlockTestType INTEGER, InterfaceIndexOrZero docsDrfChannelBlockTestIfIndex } docsDrfChannelBlockPhysicalIndex OBJECT-TYPE PhysicalIndex SYNTAX MAX-ACCESS not-accessible STATUS current DESCRIPTION "The Physical Index of the QAM Channel Block." ::= { docsDrfChannelBlockEntry 1 } docsDrfChannelBlockNumberChannels OBJECT-TYPE SYNTAX Unsigned32 MAX-ACCESS read-only STATUS current DESCRIPTION "The Number of QAM Channels N associated with this entry. The value of this attribute corresponds to the number of channels that can be transmitted from this block" ::= { docsDrfChannelBlockEntry 2 } docsDrfChannelBlockCfgNumberChannels OBJECT-TYPE SYNTAX Unsigned32 MAX-ACCESS read-write STATUS current DESCRIPTION "The Number of QAM Channels N' to configure for the QAM block. This number corresponds to the number of channels that are configured to be currently transmitted by the block. The maximum number of channels per block follows the consideration of maximum number of digital channels in a headend described in the DRFI specification. As a rule N' is valid if it is less than or equal to N. In addition N minimal requirements consist of even numbers and 1 (one QAM channel per Block Channel). Odd number of QAM channels per Block Channel are optional for implementation. A Set to an invalid value or not supported value returns Error 'wrongValue'." ::= { docsDrfChannelBlockEntry 3 } docsDrfChannelBlockMute OBJECT-TYPE SYNTAX TruthValue MAX-ACCESS read-write STATUS current DESCRIPTION "The Mute control object for the Block Channel. A set to this object to 'true' is reflected in ifOperStatus set to 'down' of the QAM channels associated to the block Channel. The opposite, a set to this object to 'false', is not necessarily reflected as ifOperStatus set to 'up' since other interface conditions might prevent such status."

::= { docsDrfChannelBlockEntry 4 }

55

```
docsDrfChannelBlockTestType OBJECT-TYPE
   SYNTAX
                INTEGER {
                noTest(1),
                 offOthersNormal(2),
                 allOff(3),
                 onOthersOff(4)
                 cwOnOthersOff(5),
                 cwOnOthersNormal(6),
                 clockTest(7)
                }
   MAX-ACCESS
               read-write
   STATUS
                current
   DESCRIPTION
            "A set of in-service and out-of-service test modes.
             The value 'noTest'(1) is the normal condition after
             reinitialization where the QAM channels are expected in
             operation.
             'noTest'
             It is also used to take out of testing mode
             a QAM channel within the block.
             In-service tests modes:
             'offOthersNormal'
             It is the condition where the QAM Channel indicated in
             docsDrfChannelBlockTestIfIndex has its carrier
             suppressed and the other channels in the
             Block Channel are operational.
             'allOff'
             All QAM channel carriers in the channel block are
             Suppressed.
             'onOthersOff'
             It is the condition where the QAM channel indicated in
             docsDrfChannelBlockTestIfIndex is in operation
             and the other QAM channels in the channel Block have
             their carriers suppressed.
             Out-of-service test modes:
             'cwOnOthersOff'
             It is the condition where the QAM channel indicated in
             docsDrfChannelBlockTestIfIndex transmits a
             continuous wave (CW) while the other QAM
             channels in the channel Block have their carriers
             suppressed.
             'cwOnOthersNormal'
             It is the condition where the QAM channel indicated
             in docsDrfChannelBlockTestIfIndex transmits a
             continuous wave (CW) while the other QAM channels in
             the channel Block are operational.
             'clockTest'
             It is the condition where the QAM channel indicated in
             docsDrfChannelBlockTestIfIndex transmits a sequence
             of alternating -1 and 1 symbols.
             This object value does not persist after system
             Reinitialization.
             The value of this object is meaningless if
             docsDrfChannelBlockTestIfIndex is set to zero."
    ::= { docsDrfChannelBlockEntry 5 }
docsDrfChannelBlockTestIfIndex OBJECT-TYPE
   SYNTAX InterfaceIndexOrZero
   MAX-ACCESS read-write
   STATUS
                current
   DESCRIPTION
            "The ifIndex of the QAM channel to perform the QAM
             channel test.
             A Set to a value that does not correspond to a QAM
             Channel within the Block channel returns error
             'wrongValue'.
             A set to zero stops a current test execution."
    ::= { docsDrfChannelBlockEntry 6 }
```

```
_____
-- Conformance definitions
_____
docsDrfConformance OBJECT IDENTIFIER ::= { docsDrfMib 2 }
docsDrfCompliances OBJECT IDENTIFIER ::= { docsDrfConformance 1 }
docsDrfGroups OBJECT IDENTIFIER ::= { docsDrfConformance 2 }
docsDrfDeviceCompliance MODULE-COMPLIANCE
    STATUS
                current
    DESCRIPTION
            "The compliance statement DOCSIS DRFI compliant
            devices."
    MODULE -- this MODULE
-- conditionally mandatory groups
    MANDATORY-GROUPS {
        docsDrfGroup
        }
::= { docsDrfCompliances 1}
docsDrfCmtsCompliance MODULE-COMPLIANCE
    STATUS
                current
    DESCRIPTION
            "The compliance statement for CMTS compliant
            devices."
MODULE -- this MODULE
-- conditionally mandatory groups
MANDATORY-GROUPS {
        docsDrfGroup,
        docsDrfCmtsGroup
    }
    ::= { docsDrfCompliances 2}
docsDrfGroup OBJECT-GROUP
    OBJECTS {
        docsDrfDownstreamCapabFrequency,
        docsDrfDownstreamCapabBandwidth,
        docsDrfDownstreamCapabPower,
        docsDrfDownstreamCapabModulation,
        docsDrfDownstreamCapabInterleaver,
        docsDrfDownstreamCapabJ83Annex,
        docsDrfDownstreamCapabConcurrentServices,
        docsDrfDownstreamCapabServicesTransport,
        docsDrfDownstreamCapabMuting,
        docsDrfGroupDependencyGroupID,
        docsDrfGroupDependencyType,
        docsDrfChannelBlockNumberChannels,
        docsDrfChannelBlockCfgNumberChannels,
        docsDrfChannelBlockMute,
        docsDrfChannelBlockTestType,
        docsDrfChannelBlockTestIfIndex
    STATUS
                current
    DESCRIPTION
            "Group of objects implemented in M-CMTS compliant devices."
    ::= { docsDrfGroups 1 }
docsDrfCmtsGroup OBJECT-GROUP
    OBJECTS {
        docsDrfDownstreamPhyDependencies
    STATUS
                current
    DESCRIPTION
            "Group of objects specific for CMTS."
    ::= { docsDrfGroups 2 }
```

```
END
```

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History

Document history					
V1.1.0	April 2011	Public Enquiry	PE 20110818:	2011-04-20 to 2011-08-18	

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