

**Electromagnetic compatibility
and Radio spectrum Matters (ERM);
Radio Frequency Identification Equipment operating in the
band 865 MHz to 868 MHz with power levels up to 2 W;
Part 2: Harmonized EN covering the essential requirements
of article 3.2 of the R&TTE Directive**



Reference

REN/ERM-TG34-013

Keywords

ID, radio, regulation, RFID, SRD

ETSI

650 Route des Lucioles
F-06921 Sophia Antipolis Cedex - FRANCE

Tel.: +33 4 92 94 42 00 Fax: +33 4 93 65 47 16

Siret N° 348 623 562 00017 - NAF 742 C
Association à but non lucratif enregistrée à la
Sous-Préfecture de Grasse (06) N° 7803/88

Important notice

Individual copies of the present document can be downloaded from:

<http://www.etsi.org>

The present document may be made available in more than one electronic version or in print. In any case of existing or perceived difference in contents between such versions, the reference version is the Portable Document Format (PDF). In case of dispute, the reference shall be the printing on ETSI printers of the PDF version kept on a specific network drive within ETSI Secretariat.

Users of the present document should be aware that the document may be subject to revision or change of status. Information on the current status of this and other ETSI documents is available at

<http://portal.etsi.org/tb/status/status.asp>

If you find errors in the present document, please send your comment to one of the following services:

http://portal.etsi.org/chaicor/ETSI_support.asp

Copyright Notification

No part may be reproduced except as authorized by written permission.
The copyright and the foregoing restriction extend to reproduction in all media.

© European Telecommunications Standards Institute 2011.
All rights reserved.

DECT™, **PLUGTESTS™**, **UMTS™** and the ETSI logo are Trade Marks of ETSI registered for the benefit of its Members.
3GPP™ and **LTE™** are Trade Marks of ETSI registered for the benefit of its Members and of the 3GPP Organizational Partners.
GSM® and the GSM logo are Trade Marks registered and owned by the GSM Association.

Contents

Intellectual Property Rights	4
Foreword.....	4
Introduction	4
1 Scope	5
2 References	6
2.1 Normative references	6
2.2 Informative references.....	6
3 Definitions, symbols and abbreviations	6
3.1 Definitions.....	6
3.2 Symbols.....	6
3.3 Abbreviations	6
4 Technical requirements specifications	7
4.1 Environmental profile.....	7
4.1.1 Choice of samples for test suite	7
4.2 Transmitter conformance requirements.....	7
4.2.1 Frequency error.....	7
4.2.2 Frequency stability under low voltage conditions	7
4.2.3 Effective radiated power	7
4.2.4 Transmitter antenna beamwidth.....	7
4.2.5 Transmitter spectrum mask.....	7
4.2.6 Transmitter spurious emissions.....	7
4.2.7 Transmission times	8
4.3 Receiver conformance requirements	8
4.3.1 Receiver spurious radiations	8
4.4 Tag conformance requirements	8
4.4.1 Radiated power	8
4.4.2 Unwanted emissions	8
5 Testing for compliance with technical requirements.....	8
5.1 Environmental conditions for testing	8
5.1.1 Normal and extreme test conditions.....	8
5.1.2 Test power sources.....	8
5.2 Interpretation of the measurement results	9
5.3 Essential transmitter test suites.....	9
5.3.1 Frequency error.....	9
5.3.2 Frequency stability under low voltage conditions	9
5.3.3 Effective radiated power	9
5.3.4 Transmitter antenna beamwidth.....	9
5.3.5 Transmitter spectrum mask.....	9
5.3.6 Transmitter spurious emissions.....	9
5.3.7 Transmission times	9
5.4 Essential receiver test suites	9
5.4.1 Receiver spurious radiations	9
5.5 Essential tag test suites	10
5.5.1 Radiated power	10
5.5.2 Unwanted emissions	10
Annex A (normative): HS Requirements and conformance Test specifications Table (HS-RTT).....	11
Annex B (informative): The EN title in the official languages	13
Annex C (informative): Bibliography.....	14
History	15

Intellectual Property Rights

IPRs essential or potentially essential to the present document may have been declared to ETSI. The information pertaining to these essential IPRs, if any, is publicly available for **ETSI members and non-members**, and can be found in ETSI SR 000 314: "*Intellectual Property Rights (IPRs); Essential, or potentially Essential, IPRs notified to ETSI in respect of ETSI standards*", which is available from the ETSI Secretariat. Latest updates are available on the ETSI Web server (<http://ipr.etsi.org>).

Pursuant to the ETSI IPR Policy, no investigation, including IPR searches, has been carried out by ETSI. No guarantee can be given as to the existence of other IPRs not referenced in ETSI SR 000 314 (or the updates on the ETSI Web server) which are, or may be, or may become, essential to the present document.

Foreword

This final draft Harmonized European Standard (EN) has been produced by ETSI Technical Committee Electromagnetic compatibility and Radio spectrum Matters (ERM), and is now submitted for the ETSI standards One-step Approval Procedure.

The present document has been produced by ETSI in response to a mandate from the European Commission issued under Council Directive 98/34/EC [i.3] (as amended) laying down a procedure for the provision of information in the field of technical standards and regulations.

The title and reference to the present document are intended to be included in the publication in the Official Journal of the European Union of titles and references of Harmonized Standard under the Directive 1999/5/EC [i.1].

See article 5.1 of Directive 1999/5/EC [i.1] for information on presumption of conformity and Harmonised Standards or parts thereof the references of which have been published in the Official Journal of the European Union.

The requirements relevant to Directive 1999/5/EC [i.1] are summarised in annex A.

The present document is part 2 of a multi-part deliverable covering Radio Frequency Identification Equipment operating in the band 865 MHz to 868 MHz with power levels up to 2 W, as identified below:

Part 1: "Technical requirements and methods of measurement";

Part 2: "Harmonized EN covering the essential requirements of article 3.2 of the R&TTE Directive".

The present document includes improvements to the previous version of the standard that take advantage of technical developments within the RFID industry. In particular this includes the ability for multiple interrogators to transmit simultaneously on the same channel. This provides significant improvements in spectrum efficiency and system performance. As a consequence "listen before talk" is no longer a requirement.

Proposed national transposition dates	
Date of latest announcement of this EN (doa):	3 months after ETSI publication
Date of latest publication of new National Standard or endorsement of this EN (dop/e):	6 months after doa
Date of withdrawal of any conflicting National Standard (dow):	18 months after doa

Introduction

The present document is part of a set of standards developed by ETSI and is designed to fit in a modular structure to cover all radio and telecommunications terminal equipment within the scope of the R&TTE Directive [i.1]. The modular structure is shown in EG 201 399 [i.2].

1 Scope

The present document applies to RFID interrogators and tags operating together as a system. The interrogators transmit in four specified channels of 200 kHz each using a modulated carrier. The tags preferably respond with a modulated signal in the adjacent low power channels. Interrogators may be used with either integral or external antennas.

The present document applies to RFID interrogators used in conjunction with their RFID transponders (tags). The interrogators operate in the dense interrogator mode in 200 kHz channels using a modulated carrier. The tags respond in the adjacent channels with a modulated signal. Interrogators may be used with either integral or external antennas.

The types of equipment covered by the present document are as follows:

- fixed interrogators;
- portable interrogators;
- batteryless tags;
- battery assisted tags;
- battery powered tags.

These radio equipment types are capable of operating in all or any part of the frequency band as specified below.

Table 1: Frequencies of operation

Equipment	Operating frequencies
Interrogator Transmit channel 4	865,6 MHz to 865,8 MHz
Interrogator Transmit channel 7	866,2 MHz to 866,4 MHz
Interrogator Transmit channel 10	866,8 MHz to 867,0 MHz
Interrogator Transmit channel 13	867,4 MHz to 867,6 MHz
Interrogator Receive	865,0 MHz to 868,0 MHz
Tag Transmit	865,0 MHz to 868,0 MHz

The present document is intended to cover the provisions of Directive 1999/5/EC [i.1] (R&TTE Directive), article 3.2, which states that "..... radio equipment shall be so constructed that it effectively uses the spectrum allocated to terrestrial/space radio communications and orbital resources so as to avoid harmful interference".

In addition to the present document, other ENs that specify technical requirements in respect of essential requirements under other parts of Article 3 of the R&TTE Directive [i.1] may apply to equipment within the scope of the present document.

NOTE: A list of such ENs is included on the web site <http://www.newapproach.org/>.

2 References

References are either specific (identified by date of publication and/or edition number or version number) or non-specific. For specific references, only the cited version applies. For non-specific references, the latest version of the referenced document (including any amendments) applies.

Referenced documents which are not found to be publicly available in the expected location might be found at <http://docbox.etsi.org/Reference>.

NOTE: While any hyperlinks included in this clause were valid at the time of publication, ETSI cannot guarantee their long term validity.

2.1 Normative references

The following referenced documents are necessary for the application of the present document.

- [1] ETSI EN 302 208-1 (V1.4.1): "Electromagnetic compatibility and Radio spectrum Matters (ERM); Radio Frequency Identification Equipment operating in the band 865 MHz to 868 MHz with power levels up to 2 W; Part 1: Technical requirements and methods of measurement".
- [2] ETSI TR 100 028 (V1.4.1) (all parts): "Electromagnetic compatibility and Radio spectrum Matters (ERM); Uncertainties in the measurement of mobile radio equipment characteristics".

2.2 Informative references

The following referenced documents are not necessary for the application of the present document but they assist the user with regard to a particular subject area.

- [i.1] Directive 1999/5/EC of the European Parliament and of the Council of 9 March 1999 on radio equipment and telecommunications terminal equipment and the mutual recognition of their conformity (R&TTE Directive).
- [i.2] ETSI EG 201 399 (V2.1.1): "Electromagnetic compatibility and Radio spectrum Matters (ERM); A guide to the production of candidate Harmonized Standards for application under the R&TTE Directive".
- [i.3] Directive 98/34/EC of the European Parliament and of the Council of 22 June 1998 laying down a procedure for the provision of information in the field of technical standards and regulations.

3 Definitions, symbols and abbreviations

3.1 Definitions

For the purposes of the present document, the terms and definitions given in the R&TTE Directive [i.1] and EN 302 208-1 [1] apply.

3.2 Symbols

For the purposes of the present document, the symbols given in EN 302 208-1 [1] apply.

3.3 Abbreviations

For the purposes of the present document, the abbreviations given in EN 302 208-1 [1] apply.

4 Technical requirements specifications

4.1 Environmental profile

The technical requirements of the present document apply under the environmental profile for operation of the equipment, which shall be declared by the supplier. The equipment shall comply with all the technical requirements of the present document at all times when operating within the boundary limits of the declared operational environmental profile.

4.1.1 Choice of samples for test suite

Measurement shall be performed according to the present document on samples of equipment defined in EN 302 208-1 [1], clause 4.2.3.

4.2 Transmitter conformance requirements

4.2.1 Frequency error

This requirement applies only to interrogators.

The frequency error, as defined in EN 302 208-1 [1], clause 8.1.1 shall not exceed the limits in EN 302 208-1 [1], clause 8.1.3.

4.2.2 Frequency stability under low voltage conditions

This requirement applies only to battery- powered interrogators.

The frequency stability under low voltage conditions as defined in EN 302 208-1 [1], clause 8.2.1 shall comply with the conditions given in EN 302 208-1 [1], clause 8.2.3.

4.2.3 Effective radiated power

This requirement applies only to interrogators.

The effective radiated power, as defined in EN 302 208-1 [1], clause 8.3.1 shall not exceed the limits in EN 302 208-1 [1], clause 8.3.3.

4.2.4 Transmitter antenna beamwidth

This requirement applies only to antennas of interrogators.

The transmitter antenna beamwidth shall comply with the limits in EN 302 208-1 [1], clause 8.3.3.

4.2.5 Transmitter spectrum mask

This requirement applies only to interrogators.

The transmitter spectrum mask, as defined in EN 302 208-1 [1], clause 8.4.1 shall not exceed the limits in EN 302 208-1 [1], clause 8.4.3.

4.2.6 Transmitter spurious emissions

This requirement applies only to interrogators.

The transmitter spurious emissions, as defined in EN 302 208-1 [1], clause 8.5.1 shall not exceed the limits in EN 302 208-1 [1], clause 8.5.3.

4.2.7 Transmission times

This requirement applies only to interrogators.

Transmission times, as defined in EN 302 208-1 [1], clause 8.6.1 shall comply with the conditions in EN 302 208-1 [1], clause 8.6.3.

4.3 Receiver conformance requirements

4.3.1 Receiver spurious radiations

This requirement applies only to interrogators.

Spurious radiations from the receiver of an interrogator, as defined in EN 302 208-1 [1], clause 9.4.1 shall not exceed the limits in EN 302 208-1 [1], clause 9.4.3.

4.4 Tag conformance requirements

4.4.1 Radiated power

This requirement applies only to tags.

Tag emissions as defined in EN 302 208-1 [1], clause 10.1.1 shall not exceed the limits in EN 302 208-1 [1], clause 10.1.3.

4.4.2 Unwanted emissions

This requirement applies only to tags.

Unwanted emissions as defined in EN 302 208-1 [1], clause 10.2.1 shall not exceed the limits in EN 302 208-1 [1], clause 10.2.3.

5 Testing for compliance with technical requirements

5.1 Environmental conditions for testing

Tests defined in the present document shall be carried out at representative points within the boundary limits of the declared operational environmental profile.

Where technical performance varies subject to environmental conditions, tests shall be carried out under a sufficient variety of environmental conditions (within the boundary limits of the declared operational environmental profile) to give confidence of compliance for the affected technical requirements.

5.1.1 Normal and extreme test conditions

Tests shall be made under normal test conditions, and also where stated, under extreme test conditions. The test procedures shall be as specified in EN 302 208-1 [1], clauses 5.3 and 5.4.

5.1.2 Test power sources

The test power sources shall meet the requirements of EN 302 208-1 [1], clause 5.2.

5.2 Interpretation of the measurement results

The interpretation of the results recorded in a test report for the measurements described in the present document shall be as follows:

- the measured value related to the corresponding limit shall be used to decide whether an equipment meets the requirements of the present document;
- the value of the measurement uncertainty for the measurement of each parameter shall be included in the test report;
- the recorded value of the measurement uncertainty, for each measurement, shall comply with the values in clause 7, table 3 of EN 302 208-1 [1].

For the test methods, according to the present document, the measurement uncertainty figures shall be calculated in accordance with the principles contained within TR 100 028 [2] and shall correspond to an expansion factor (coverage factor) $k = \pm 1,96$ or $k = \pm 2$ (which provide confidence levels of respectively 95 % and 95,45 % in the case where the distributions characterizing the actual measurement uncertainties are normal (Gaussian)).

The particular expansion factor used for the evaluation of the measurement uncertainty shall be stated.

5.3 Essential transmitter test suites

5.3.1 Frequency error

The test specified in EN 302 208-1 [1], clause 8.1 shall be carried out.

5.3.2 Frequency stability under low voltage conditions

The test specified in EN 302 208-1 [1], clause 8.2 shall be carried out.

5.3.3 Effective radiated power

The test specified in EN 302 208-1 [1], clause 8.3 shall be carried out.

5.3.4 Transmitter antenna beamwidth

The test specified in EN 302 208-1 [1], clause 8.3 shall be carried out.

5.3.5 Transmitter spectrum mask

The test specified in EN 302 208-1 [1], clause 8.4 shall be carried out.

5.3.6 Transmitter spurious emissions

The test specified in EN 302 208-1 [1], clause 8.5 shall be carried out.

5.3.7 Transmission times

The test specified in EN 302 208-1 [1], clause 8.6 shall be carried out.

5.4 Essential receiver test suites

5.4.1 Receiver spurious radiations

The test specified in EN 302 208-1 [1], clause 9.4 shall be carried out.

5.5 Essential tag test suites

5.5.1 Radiated power

The test specified in EN 302 208-1 [1], clause 10.1 shall be carried out.

5.5.2 Unwanted emissions

The test specified in EN 302 208-1 [1], clause 10.2 shall be carried out.

Annex A (normative): HS Requirements and conformance Test specifications Table (HS-RTT)

The HS Requirements and conformance Test specifications Table (HS-RTT) in table A.1 serves a number of purposes, as follows:

- it provides a statement of all the requirements in words and by cross reference to (a) specific clause(s) in the present document or to (a) specific clause(s) in (a) specific referenced document(s);
- it provides a statement of all the test procedures corresponding to those requirements by cross reference to (a) specific clause(s) in the present document or to (a) specific clause(s) in (a) specific referenced document(s);
- it qualifies each requirement to be either:
 - Unconditional: meaning that the requirement applies in all circumstances; or
 - Conditional: meaning that the requirement is dependant on the manufacturer having chosen to support optional functionality defined within the schedule.
- in the case of Conditional requirements, it associates the requirement with the particular optional service or functionality;
- it qualifies each test procedure to be either:
 - Essential: meaning that it is included with the Essential Radio Test Suite and therefore the requirement shall be demonstrated to be met in accordance with the referenced procedures;
 - Other: meaning that the test procedure is illustrative but other means of demonstrating compliance with the requirement are permitted.

Table A.1: HS Requirements and conformance Test specifications Table (HS-RTT)

Harmonized Standard EN 302 208-2						
The following requirements and test specifications are relevant to the presumption of conformity under the article 3.2 of the R&TTE Directive						
Requirement			Requirement Conditionality		Test Specification	
No	Description	Reference: Clause No	U/C	Condition	E/O	Reference: Clause No
1	Frequency error	4.2.1	C	Applies to interrogators	E	5.3.1
2	Frequency stability under low voltage conditions	4.2.2	C	Applies to battery powered interrogators	E	5.3.2
3	Effective radiated power	4.2.3	C	Applies to interrogators	E	5.3.3
4	Transmitter antenna beamwidth	4.2.4	C	Applies to antennas of antennas	E	5.3.4
5	Transmission spectrum mask	4.2.5	C	Applies to interrogators	E	5.3.5
6	Transmitter spurious emissions	4.2.6	C	Applies to interrogators	E	5.3.6
7	Transmission times	4.2.7	C	Applies to interrogators	E	5.3.7
8	Receiver spurious emissions	4.3.1	C	Applies to interrogators	E	5.4.1
9	Tag radiated power	4.4.1	C	Applies to tags	E	5.5.1
10	Tag unwanted emissions	4.4.2	C	Applies to tags	E	5.5.2

Key to columns:

Requirement:

No A unique identifier for one row of the table which may be used to identify a requirement or its test specification.

Description A textual reference to the requirement.

Clause Number Identification of clause(s) defining the requirement in the present document unless another document is referenced explicitly.

Requirement Conditionality:

U/C Indicates whether the requirement is to be *unconditionally* applicable (U) or is *conditional* upon the manufacturers claimed functionality of the equipment (C).

Condition Explains the conditions when the requirement shall or shall not be applicable for a technical requirement which is classified "conditional".

Test Specification:

E/O Indicates whether the test specification forms part of the Essential Radio Test Suite (E) or whether it is one of the Other Test Suite (O).

NOTE: All tests whether "E" or "O" are relevant to the requirements. Rows designated "E" collectively make up the Essential Radio Test Suite; those designated "O" make up the Other Test Suite; for those designated "X" there is no test specified corresponding to the requirement. The completion of all tests classified "E" as specified with satisfactory outcomes is a necessary condition for a presumption of conformity. Compliance with requirements associated with tests classified "O" or "X" is a necessary condition for presumption of conformity, although conformance with the requirement may be claimed by an equivalent test or by manufacturer's assertion supported by appropriate entries in the technical construction file.

Clause Number Identification of clause(s) defining the test specification in the present document unless another document is referenced explicitly. Where no test is specified (that is, where the previous field is "X") this field remains blank.

Annex B (informative): The EN title in the official languages

The enlargement of the European Union (EU) resulted in a requirement from the EU for a larger number of languages for the translation of the titles of Harmonized Standards and mandated ENs that are to be listed in the Official Journal to support the implementation of this legislation.

For this reason the title translation concerning the present document can be consulted via the [e-approval](#) application.

Annex C (informative): Bibliography

- Commission Decision on harmonization of the radio spectrum for Radio Frequency IDentification (RFID) devices operating in the Ultra High Frequency (UHF) band; Brussels, (2006/804/EG), 25th of Nov. 2006, Official Journal of the European Union, L 329/64.
- Directive 2004/108/EC of the European Parliament and of the Council of 15 December 2004 on the approximation of the laws of the Member States relating to electromagnetic compatibility and repealing Directive 89/336/EEC (EMC Directive).
- ITU-R Recommendation SM.329-10 (2003): "Unwanted emissions in the spurious domain".
- Council Directive 73/23/EEC of 19 February 1973 on the harmonization of the laws of Member States relating to electrical equipment designed for use within certain voltage limits (LV Directive).
- Directive 2006/95/EC of the European Parliament and of the Council of 12 December 2006 on the harmonisation of the laws of Member States relating to electrical equipment designed for use within certain voltage limits (LV Directive).

History

Document history		
V1.1.1	September 2004	Publication
V1.2.1	April 2008	Publication
V1.3.1	February 2010	Publication
V1.4.1	July 2011	One-step Approval Procedure OAP 20111105: 2011-07-08 to 2011-11-07