

Draft **ETSI EN 301 489-33** V1.1.1 (2008-04)

Harmonized European Standard (Telecommunications series)

**Electromagnetic compatibility
and Radio spectrum Matters (ERM);
ElectroMagnetic Compatibility (EMC)
standard for radio equipment and services;
Part 33: Harmonized EN covering the essential requirements
of Article 3.1 (b) of the R&TTE Directive for
Ultra Wide Band (UWB) communications devices**



Reference

DEN/ERM-EMC-230-33

Keywords

EMC, radio, UWB

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Sous-Préfecture de Grasse (06) N° 7803/88

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Foreword

This Harmonized European Standard (Telecommunications series) has been produced by ETSI Technical Committee Electromagnetic compatibility and Radio spectrum Matters (ERM), and is now submitted for the Public Enquiry phase of the ETSI standards Two-step Approval Procedure.

The present document has been produced by ETSI in response to a mandate from the European Commission issued under Council Directive 98/34/EC [3] (as amended) laying down a procedure for the provision of information in the field of technical standards and regulations.

The present document is intended to become a Harmonized Standard, the reference of which will be published in the Official Journal of the European Communities referencing the Directive 1999/5/EC [2] of the European Parliament and of the Council of 9 March 1999 on radio equipment and telecommunications terminal equipment and the mutual recognition of their conformity ("the R&TTE Directive").

The present document is part 33 of a multi-part deliverable. Full details of the entire series can be found in part 1 [1].

Proposed national transposition dates	
Date of latest announcement of this EN (doa):	3 months after ETSI publication
Date of latest publication of new National Standard or endorsement of this EN (dop/e):	6 months after doa
Date of withdrawal of any conflicting National Standard (dow):	18 months after doa

Introduction

The present document is part of a set of standards developed by ETSI and is designed to fit in a modular structure to cover all radio and telecommunications terminal equipment within the scope of the R&TTE Directive. The modular structure is shown in EG 201 399 [5].

1 Scope

The present document applies to transceivers, transmitters and receivers utilizing Ultra Wide Band (UWB) technologies and used for short range communication purposes.

The present document applies to impulse, modified impulse and RF carrier based UWB communication technologies.

The present document applies to fixed (indoor only), mobile or portable applications, e.g.:

- stand-alone radio equipment with or without its own control provisions;
- plug-in radio devices intended for use with, or within, a variety of host systems, e.g. personal computers, hand-held terminals, etc.;
- plug-in radio devices intended for use within combined equipment, e.g. cable modems, set-top boxes, access points, etc.;
- combined equipment or a combination of a plug-in radio device and a specific type of host equipment;
- equipment for use in road and rail vehicles.

The present document does not cover UWB transmitter equipment to be installed at a fixed outdoor location or for use in flying models, aircraft and other forms of aviation as per the ECC/DEC/(06)04 [6].

The present document applies to UWB equipment with an output connection used with a dedicated antenna or UWB equipment with an integral antenna.

These radio equipment types are capable of operating in all or part of the frequency bands given in table 1.

Table 1: Radiocommunications frequency bands

Radiocommunications frequency bands	
Transmit	3,4 GHz to 4,8 GHz
Receive	3,4 GHz to 4,8 GHz
Transmit	6,0 GHz to 8,5 GHz
Receive	6,0 GHz to 8,5 GHz

The present document is intended to cover the provisions of Directive 1999/5/EC [2] (R&TTE Directive), article 3.1(b).

In addition to the present document, other ENs that specify technical requirements in respect of essential requirements under other parts of article 3 of the R&TTE Directive may apply to equipment within the scope of the present document.

NOTE: A list of such ENs is included on the web site <http://www.newapproach.org>.

2 References

References are either specific (identified by date of publication and/or edition number or version number) or non-specific.

- For a specific reference, subsequent revisions do not apply.
- Non-specific reference may be made only to a complete document or a part thereof and only in the following cases:
 - if it is accepted that it will be possible to use all future changes of the referenced document for the purposes of the referring document;
 - for informative references.

Referenced documents which are not found to be publicly available in the expected location might be found at <http://docbox.etsi.org/Reference>.

For online referenced documents, information sufficient to identify and locate the source shall be provided. Preferably, the primary source of the referenced document should be cited, in order to ensure traceability. Furthermore, the reference should, as far as possible, remain valid for the expected life of the document. The reference shall include the method of access to the referenced document and the full network address, with the same punctuation and use of upper case and lower case letters.

NOTE: While any hyperlinks included in this clause were valid at the time of publication ETSI cannot guarantee their long term validity.

2.1 Normative references

The following referenced documents are indispensable for the application of the present document. For dated references, only the edition cited applies. For non-specific references, the latest edition of the referenced document (including any amendments) applies.

- [1] ETSI EN 301 489-1 (V1.8.1): "Electromagnetic compatibility and Radio spectrum Matters (ERM); ElectroMagnetic Compatibility (EMC) standard for radio equipment and services; Part 1: Common technical requirements".

2.2 Informative references

The following referenced documents are not essential to the use of the present document but they assist the user with regard to a particular subject area. For non-specific references, the latest version of the referenced document (including any amendments) applies.

- [2] Directive 1999/5/EC of the European Parliament and of the Council of 9 March 1999 on radio equipment and telecommunications terminal equipment and the mutual recognition of their conformity (R&TTE Directive).
- [3] Directive 98/34/EC of the European Parliament and of the Council of 22 June 1998 laying down a procedure for the provision of information in the field of technical standards and regulations and of rules on information society services.
- [4] Void.
- [5] ETSI EG 201 399: "Electromagnetic compatibility and Radio spectrum Matters (ERM); A guide to the production of candidate Harmonized Standards for application under the R&TTE Directive".
- [6] ECC Decision ECC/DEC/(06)04 of 24 March 2006 amended 6 July 2007 at Constanta on the harmonised conditions for devices using UWB technology in bands below 10,6 GHz.

3 Definitions and abbreviations

3.1 Definitions

For the purposes of the present document, the terms and definitions given in EN 301 489-1 [1], clause 3 and the following apply:

Equipment Under Test (EUT): equipment under test and subject to the performance requirements of the present document

fixed station: equipment intended for use in a fixed location and fitted with one or more antennas

NOTE: The equipment may be fitted with either antenna socket(s) or integral antenna(s) or both.

host: any equipment which has complete user functionality when not connected to the radio equipment part and to which the radio equipment part provides additional functionality and to which connection is necessary for the radio equipment part to offer functionality

plug-in radio device: equipment, including slide-in radio cards, intended to be used with or within a variety of host systems, using their control functions and power supply

stand-alone radio equipment: equipment that is intended primarily as communications equipment and that is normally used on a stand-alone basis

3.2 Abbreviations

For the purposes of the present document, the following abbreviations apply:

ACK	ACKnowledgement
ARQ	Automatic Retransmission reQuest
CR	Continuous phenomena applied to Receivers
CT	Continuous phenomena applied to Transmitters
EMC	ElectroMagnetic Compatibility
EUT	Equipment Under Test
NACK	Not ACKnowledgement
RF	Radio Frequency
TR	Transient phenomena applied to Receivers
TT	Transient phenomena applied to Transmitters
UWB	Ultra Wide Band

4 Test conditions

4.1 General

For the purposes of the present document, the test conditions of EN 301 489-1 [1], clause 4, shall apply as appropriate. Further product related test conditions for UWB communications systems are specified in clauses 4.2 to 4.5.

The radio equipment may take forms which may require special software and/or test fixtures. Equipment which requires connection to a host equipment to function shall use the test configuration as defined by the manufacturer. In all cases the EUT shall be exercised in a manner representative of normal intended use.

4.2 Arrangements for test signals

The provisions of EN 301 489-1 [1], clause 4.2 shall apply.

4.2.1 Arrangements for test signals at the input of transmitters

The provisions of EN 301 489-1 [1], clause 4.2.1 shall apply with the following modifications.

The wanted signals and/or controls required to establish a communications link shall be defined by the manufacturer. The transmitter shall be operated at maximum rated power.

4.2.2 Arrangements for test signals at the output of transmitters

The provisions of EN 301 489-1 [1], clause 4.2.2 shall apply with the following modifications.

The manufacturer may provide a suitable companion receiver that can be used to receive messages or to set up a communication link.

4.2.3 Arrangements for test signals at the input of receivers

The provisions of EN 301 489-1 [1], clause 4.2.3 shall apply with the following modifications.

The provider shall provide a suitable transmitter that can be used to set up the UWB communications link representing intended use of the equipment.

The wanted signals required to establish a communications link shall be defined by the manufacturer.

The distance between the transmitter and the receiver shall be one meter, except in cases where the intended communications range is shorter than 1,5 meter. In such cases, a shorter distance may be used and shall be defined by the manufacturer.

4.2.4 Arrangements for test signals at the output of receivers

The measuring equipment for the output signal from the receiver under test shall be located outside the test environment.

It shall be possible to assess the performance of the equipment by appropriately monitoring the receiver output.

If the receiver has an output connector or port providing the wanted output signal, then this port shall be used via a cable, consistent with the standard cable used in normal operation, connected to the external measuring equipment outside the test environment. The measuring equipment may be supplied by the manufacturer.

Precautions shall be taken to ensure that any effect on the test due to the coupling means is minimized.

The manufacturer may provide a suitable companion transmitter that can be used to transmit messages or to set up a communication link.

4.2.5 Arrangements for testing transmitter and receiver together (as a system)

The provisions of EN 301 489-1 [1], clause 4.2.5 shall apply.

The manufacturer may provide a suitable companion transceiver or transmitter and receiver that can be used to send and receive messages or to set up a communication link.

Both the EUT and the companion equipment shall transmit the normal test modulation. Further, the output of the radio equipment under test shall be monitored by the test system.

4.3 Exclusion bands

The frequencies on which the transmitter part of the EUT is intended to operate shall be excluded from conducted and radiated immunity measurements when performed in transmit mode of operation.

There shall be no frequency exclusion band applied to immunity measurements of the receiver part of transceivers or the stand alone receiver under test, and/or associated ancillary equipment.

The immunity test exclusions are referred to as "exclusion bands" and are defined in EN 301 489-1 [1], clause 4.3.

4.4 Narrow band responses on receivers or receivers which are part of transceivers

The provision of EN 301 489-1 [1], clause 4.4 shall apply.

4.5 Normal test modulation

The modulated test signal shall represent normal intended use, and may contain data formatting, error detection and correction information.

5 Performance assessment

5.1 General

The provision of EN 301 489-1 [1], clause 5.1 shall apply with the following modification.

The manufacturer shall supply at the time of submission of the equipment for test, the information required in EN 301 489-1 [1], clause 5.1 and the following which shall be recorded in the test report:

- the operating frequency range(s) of the equipment and, where applicable, band(s) of operation;
- the type of the equipment, for example: stand-alone or plug-in radio device;
- the host equipment to be combined with the radio equipment for testing;
- the minimum performance level under the application of EMC stress (see clause 6.2);
- the normal test modulation, the format, the type of error correction and any control signals e.g. ACKnowledgement (ACK)/Not ACKnowledgement (NACK) or Automatic Retransmission reQuest (ARQ).

5.2 Arrangements for the assessment of host dependant equipment and plug-in cards

For equipment parts for which integration with a host equipment is necessary in order to offer functionality, two alternative approaches defined in clauses 5.2.1 and 5.2.2 may be used. The manufacturer shall declare which alternative shall be used.

5.2.1 Alternative A: composite equipment

A combination of the radio equipment part and a specific type of host equipment may be used for assessment according to the present document.

Where a specific combination of host equipment and a radio equipment part is tested as a composite system for compliance, repeat testing shall not be required for:

- those other combinations of hosts and radio equipment parts which are based on substantially similar host models in the circumstance that the variations in mechanical and electrical properties between such host models are unlikely to significantly influence the intrinsic immunity and unwanted emissions of the radio equipment part;
- the radio equipment part which cannot be used without mechanical, electrical, or software modification in variations of host equipment different from those represented by the units for which compliance to the present document has been demonstrated.

For all other combinations, each combination shall be tested separately.

5.2.2 Alternative B: use of a test jig or host

Where the radio equipment part is intended for use with a variety of host systems, the manufacturer shall supply a suitable test configuration consisting of either a host system intended for normal use or a test jig that is representative of the range of host systems in which the device may be used. The test jig shall allow the radio equipment part to be powered and stimulated in a way similar to the way it would be powered and stimulated when connected to or inserted into host equipment.

5.3 Assessment procedures

The performance assessment shall be based upon:

- maintenance of function(s);
- the way the eventual loss of function(s) can be recovered;
- unintentional behaviour of the EUT.

The test system shall set up a communications link in the same manner as the Equipment Under Test's (EUT) normal intended use.

Any user defined data fields in the memory or storage of the EUT shall be filled in a way representative of normal intended use.

The assessment procedure shall verify that the communications link is maintained and that there is no loss of user control functions as declared by the manufacturer or loss of the stored user defined data.

5.4 Ancillary equipment

The provision of EN 301 489-1 [1], clause 5.4 shall apply.

5.5 Equipment classification

Hand portable equipment, or combinations of equipment, declared as capable of being powered by the main battery of a vehicle, shall additionally be considered as vehicular mobile equipment.

Hand portable or mobile equipment, or combinations of equipment, declared as capable of being powered by ac mains shall additionally be considered as fixed station equipment.

6 Performance criteria

6.1 General performance criteria

The performance criteria are:

- performance criteria A for immunity tests with phenomena of a continuous nature;
- performance criteria B for immunity tests with phenomena of a transient nature;
- performance criteria C for immunity tests with power interruptions exceeding a certain time.

The equipment shall meet the minimum performance criteria as specified in the following clauses.

6.2 Performance table

Table 2: Performance criteria

Criteria	During test	After test
A	Shall operate as intended with a permissible degradation of performance (see note 1) Shall be no loss of function Shall be no unintentional transmissions	Shall operate as intended Shall be no degradation of performance (see notes 1 and 2) Shall be no loss of function Shall be no loss of stored data or user programmable functions
B	May show loss of function (one or more) May show degradation of performance (see note 1) No unintentional transmissions	Functions shall be self-recoverable Shall operate as intended after recovering Shall be no degradation of performance (see notes 1 and 2) Shall be no loss of stored data or user programmable functions
C	May be loss of function (one or more)	Functions shall be recoverable by the operator Shall operate as intended after recovering Shall be no degradation of performance (see notes 1 and 2)
NOTE 1: Degradation of performance during or after the test is understood as a degradation to a level not below a minimum performance level specified by the manufacturer for the use of the apparatus as intended. In some cases the specified minimum performance level may be replaced by a permissible degradation of performance. If the minimum performance level or the permissible performance degradation is not specified by the manufacturer then either of these may be derived from the product description and documentation (including leaflets and advertising) and what the user may reasonably expect from the apparatus if used as intended.		
NOTE 2: After the test no change of actual operating data or user retrievable data is allowed.		

6.3 Performance criteria for Continuous phenomena applied to Transmitters (CT)

The performance criteria A shall apply.

Tests shall be repeated with the EUT in standby mode (if applicable) to ensure that unintentional transmission does not occur. In systems using acknowledgement signals, it is recognized that an ACKnowledgement (ACK) or Not ACKnowledgement (NACK) transmission may occur, and steps should be taken to ensure that any transmission resulting from the application of the test is correctly interpreted.

6.4 Performance criteria for Transient phenomena applied to Transmitters (TT)

The performance criteria B shall apply, except for voltage dips of 100 ms and voltage interruptions of 5 000 ms duration, for which performance criteria C shall apply.

Tests shall be repeated with the EUT in standby mode (if applicable) to ensure that unintentional transmission does not occur. In systems using acknowledgement signals, it is recognized that an acknowledgement (ACK) or not-acknowledgement (NACK) transmission may occur, and steps should be taken to ensure that any transmission resulting from the application of the test is correctly interpreted.

6.5 Performance criteria for Continuous phenomena applied to Receivers (CR)

The performance criteria A shall apply.

Where the EUT is a transceiver, under no circumstances, shall the transmitter operate unintentionally during the test. In systems using acknowledgement signals, it is recognized that an ACK or NACK transmission may occur, and steps should be taken to ensure that any transmission resulting from the application of the test is correctly interpreted.

6.6 Performance criteria for Transient phenomena applied to Receivers (TR)

The performance criteria B shall apply, except for voltage dips of 100 ms and voltage interruptions of 5 000 ms duration for which performance criteria C shall apply.

Where the EUT is a transceiver, under no circumstances, shall the transmitter operate unintentionally during the test. In systems using acknowledgement signals, it is recognized that an ACK or NACK transmission may occur, and steps should be taken to ensure that any transmission resulting from the application of the test is correctly interpreted.

7 Applicability overview

7.1 Emission

7.1.1 General

EN 301 489-1 [1], table 1 contains the applicability of EMC emission measurements to the relevant ports of radio equipment.

7.1.2 Special conditions

No special conditions shall apply to radio equipment in the scope of the present document.

7.2 Immunity

7.2.1 General

EN 301 489-1 [1], table 2, contains the applicability of EMC immunity measurements to the relevant ports of radio equipment.

7.2.2 Special conditions

No special conditions are relevant for products covered in the present document.

Annex A (informative): The EN title in the official languages

Language	EN title
Bulgarian	
Czech	
Danish	
Dutch	
English	Electromagnetic compatibility and Radio spectrum Matters (ERM); ElectroMagnetic Compatibility (EMC) standard for radio equipment and services; Part 33: Harmonized EN covering the essential requirements of Article 3.1 (b) of the R&TTE Directive for Ultra Wide Band (UWB) communications devices
Estonian	
Finnish	
French	
German	
Greek	
Hungarian	
Icelandic	
Italian	
Latvian	
Lithuanian	
Maltese	
Norwegian	
Polish	
Portuguese	
Romanian	
Slovak	
Slovenian	
Spanish	
Swedish	

Annex B (informative): Bibliography

- Council Directive 72/245/EEC of 20 June 1972 on the approximation of the laws of the Member States relating to the suppression of radio interference produced by spark-ignition engines fitted to motor vehicles (contains amendments and corrections up to and including 2006/96/EC).

History

Document history			
V1.1.1	April 2008	Public Enquiry	PE 20080815: 2008-04-16 to 2008-08-15