# ETSI TS 138 523-2 V16.8.0 (2021-09)



5G; LTE; 5GS; User Equipment (UE) conformance specification; Part 2: Applicability of protocol test cases (3GPP TS 38.523-2 version 16.8.0 Release 16)



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### Foreword

This Technical Specification has been produced by the 3rd Generation Partnership Project (3GPP).

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where:

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  - 1 presented to TSG for information;
  - 2 presented to TSG for approval;
  - 3 or greater indicates TSG approved document under change control.
- y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.
- z the third digit is incremented when editorial only changes have been incorporated in the document.

The present document is part 2 of a multi-part deliverable covering the 5G System (5GS) User Equipment (UE) protocol conformance specification, as identified below:

- 3GPP TS 38.523-1 [2]: "5GS; User Equipment (UE) conformance specification; Part 1: Protocol".
- 3GPP TS 38.523-2: "5GS; User Equipment (UE) conformance specification; Part 2: Applicability of protocol test cases" (the present document).
- 3GPP TS 38.523-3 [3]: "5GS; User Equipment (UE) conformance specification; Part 3: Protocol Test Suites".

### 1 Scope

The present document provides the applicability of protocol test cases proforma for 5G New Radio (NR) User Equipment (UE), in compliance with the relevant requirements.

The present document specifies the recommended applicability statement for the test cases included in 3GPP TS 38.523-1 [2] and 3GPP TS 38.523-3 [3]. These applicability statements are based on the features implemented in the UE.

Special conformance testing functions can be found in 3GPP TS 38.509 [5] and 3GPP TS 36.509 [7] and the common test environments are included in 3GPP TS 38.508-1 [4] and 3GPP TS 36.508 [6].

The present document is valid for UE implemented according to 3GPP Releases starting from Release 15 up to the Release indicated on the cover page of the present document.

### 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.
- [1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".
- [2] 3GPP TS 38.523-1: "5GS; User Equipment (UE) conformance specification; Part 1: Protocol".
- [3] 3GPP TS 38.523-3: "5GS; User Equipment (UE) conformance specification; Part 3: Protocol Test Suites".
- [4] 3GPP TS 38.508-1: "5GS; User Equipment (UE) conformance specification; Part 1: Common test environment".
- [5] 3GPP TS 38.508-2: "5GS; User Equipment (UE) conformance specification; Part 2: Common Implementation Conformance Statement (ICS) proforma".
- [6] 3GPP TS 38.509: "5GS; Special conformance testing functions for User Equipment (UE)".
- [7] 3GPP TS 36.508: "Evolved Universal Terrestrial Radio Access (E-UTRA) and Evolved Universal Terrestrial Radio Access (E-UTRAN); Common Test Environments for User Equipment (UE) Conformance Testing".
- [8] 3GPP TS 36.509: "Evolved Universal Terrestrial Radio Access (E-UTRA) and Evolved Universal Terrestrial Radio Access Network (E-UTRAN); Special conformance testing functions for User Equipment (UE)".
- [9] 3GPP TS 34.229-2: "Internet Protocol (IP) multimedia call control protocol based on Session Initiation Protocol (SIP) and Session Description Protocol (SDP);User Equipment (UE) conformance specification; Part 2: Implementation Conformance Statement (ICS) specification".
- [10] 3GPP TS 36.523-2: "Evolved Universal Terrestrial Radio Access (E-UTRA) and Evolved Universal Terrestrial Radio Access (E-UTRAN); User Equipment (UE) conformance specification; Part 2: Implementation Conformance Statement (ICS) proforma specification".

### 3 Definitions, symbols and abbreviations

### 3.1 Definitions

For the purposes of the present document, the terms and definitions given in TR 21.905 [5] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in TR 21.905 [5].

**Implementation Conformance Statement (ICS):** statement made by the supplier of an implementation or system claimed to conform to a given specification, stating which capabilities have been implemented

**ICS proforma:** document, in the form of a questionnaire, which when completed for an implementation or system becomes an ICS

**Implementation extra Information for Testing (IXIT):** A statement made by a supplier or implementer of an UEUT which contains or references all of the information (in addition to that given in the ICS) related to the UEUT and its testing environment, which will enable the test laboratory to run an appropriate test suite against the UEUT

IXIT proforma: A document, in the form of a questionnaire, which when completed for an UEUT becomes an IXIT

**Protocol Implementation Conformance Statement (PICS):** An ICS for an implementation or system claimed to conform to a given protocol specification

**Protocol Implementation extra Information for Testing (PIXIT):** An IXIT related to testing for conformance to a given protocol specification

**Static conformance review**: A review of the extent to which the static conformance requirements are claimed to be supported by the UEUT, by comparing the answers in the ICS(s) with the static conformance requirements expressed in the relevant specification(s)

### 3.2 Symbols

For the purposes of the present document, the following symbols apply:

<symbol> <Explanation>

### 3.3 Abbreviations

For the purposes of the present document, the abbreviations given in 3GPP TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in 3GPP TR 21.905 [1].

For the purposes of the present document, the following abbreviations apply:

| FFS   | For Further Study                                     |
|-------|---|
| ICS   | Implementation Conformance Statement                  |
| IXIT  | Implementation extra Information for Testing          |
| PICS  | Protocol Implementation Conformance Statement         |
| PIXIT | Protocol Implementation extra Information for Testing |
| SCS   | System Conformance Statement                          |
| TC    | Test Case   |
| UEUT  | User Equipment Under Test                             |

### 4 Recommended Test Case Applicability

#### 4.0 Introduction

The applicability of each individual test is identified in subclause 4.1. This is just a recommendation based on the purpose for which the test case was written.

The applicability of every test is formally expressed by the use of Boolean expressions that are based on parameters (ICS). The parameters (ICS) included in TS 38.508-2 [5] are used in the test case applicability condition without reference. Parameters (ICS) specified in 3GPP TS 36.523-2 [10] and 3GPP TS 34.229-2 [9] shall be referred with proper reference.

Additional information related to the Test Case (TC), e.g. affecting its dynamic behaviour or its execution may be provided as well

The columns in subclause 4.1 have the following meaning:

#### Clause

The clause column indicates the clause number in TS 38.523-1 [2] that contains the test body.

#### Title

The title column describes the name of the test and contains the clause title of the clause in TS 38.523-1 [2] that contains the test body.

#### Release

The release column indicates the earliest release from which the test case is applicable. In some specific cases it may indicate the release(s) for which the TC is **only** applicable.

Note: Some exceptions to this interpretation may be indicated in Notes in column 'Number of TC Executions'.

#### Applicability - Condition

The following notations are used for the applicability column:

| R   | recommended - the test case is recommended  |
|-----|---|
| 0   | optional – the test case is optional  |
| N/A | not applicable - in the given context, the test case is not recommended.  |
| Ci  | conditional - the test is recommended ("R") or not ("N/A") depending on the support of other items. "i" is an integer identifying a unique conditional status expression which is defined immediately following the table. For nested conditional expressions, the syntax "IF THEN (IF THEN ELSE) ELSE" is used to avoid ambiguities. |

NOTE: The conditions are defined in subclause 4.2.

#### Applicability - Comments

This column contains a verbal description of the condition.

#### Additional Information - Specific ICS

This column contains the mnemonics of ICS(s) affecting the dynamic behaviour of the TC.

#### Additional Information - Specific IXIT

This column contains the mnemonics of IXIT(s) affecting the dynamic behaviour of the TC.

#### Additional Information - Number of TC Executions

This column contains, wherever applicable, the recommended for certification purposes number of TC executions. It may contain also other information e.g. exceptions to the release applicable to the test. Clarifying notes are listed at the end of the same Table.

#### Additional Information - Release other RAT

In regard to a particular test case, this column provides information on the release which is used by the simulated network in the other (i.e. non 5GS) RAT(s) where applicable. For each applicable RAT the release shall be indicated in the format 'Rel-X RAT'. When multiple RATs are applicable the entries per RAT shall be separated by a comma. When a value for a 3GPP RAT is not provided but the RAT is in the scope of the test case then for this RAT the release indicated in the Release column applies (per default).

### 4.1 Protocol conformance test cases applicability

#### Table 4.1-1a: Applicability of Protocol conformance Idle mode test cases, ref. TS 38.523-1 [2]

| Clause   | TC Title   | Release |           | Applicability  |
|----------|--|---------|-----------|--|
|          |  |         | Condition | Comment  |
| 6        | Idle mode operations   |         |           |  |
| 6.1      | NR idle mode operations  |         |           |  |
| 6.1.1    | NG-RAN Only PLMN Selection   |         |           |  |
| 6.1.1.1  | PLMN selection of RPLMN, HPLMN/EHPLMN,<br>UPLMN and OPLMN / Automatic mode   | Rel-15  | C21       | UEs supporting 5G Core   |
| 6.1.1.2  | PLMN selection of "Other PLMN/access technology combinations" / Automatic mode   | Rel-15  | C21       | UEs supporting 5G Core   |
| 6.1.1.3  | Cell reselection of ePLMN in manual mode   | Rel-15  | C21       | UEs supporting 5G Core   |
| 6.1.1.4  | PLMN selection in shared network environment<br>/ Automatic mode   | Rel-15  | C21       | UEs supporting 5G Core   |
| 6.1.1.5  | PLMN selection of RPLMN, HPLMN/EHPLMN,<br>UPLMN and OPLMN / Automatic mode / User<br>reselection                                   | Rel-15  | C36       | UEs supporting 5G Core and user initiated PLMN reselection in automatic mode on NR |
| 6.1.1.6  | PLMN selection / Periodic reselection /<br>MinimumPeriodicSearchTimer  | Rel-15  | C34       | UEs supporting 5G Core and<br>MinimumPeriodicSearchTimer                           |
| 6.1.1.7  | PLMN selection of RPLMN or (E)HPLMN;<br>Automatic mode   | Rel-15  | C21       | UEs supporting 5G Core   |
| 6.1.1.8  | PLMN selection of RPLMN or (E)HPLMN;<br>Manual mode  | Rel-15  | C91       | UEs supporting 5G Core and<br>ManualModeNetworkSelectionException                  |
| 6.1.2    | NG-RAN Only Cell Selection   |         |           |  |
| 6.1.2.1  | Cell selection / Qrxlevmin & Cell reselection<br>(Intra NR)  | Rel-15  | C21       | UEs supporting 5G Core   |
| 6.1.2.2  | Cell selection / Qqualmin / Intra NR / Serving<br>cell becomes non-suitable (Srxlev > 0, Squal <<br>0)                             | Rel-15  | C21       | UEs supporting 5G Core   |
| 6.1.2.3  | Cell selection / Intra NR / Serving cell becomes<br>non-suitable (S<0, MIB Indicated barred)                                       | Rel-15  | C21       | UEs supporting 5G Core   |
| 6.1.2.4  | Cell reselection for interband operation   | Rel-15  | C37       | UEs supporting 5G Core and more than 1 FDD or TDD NR band                          |
| 6.1.2.5  | Cell reselection for interband operation using<br>Pcompensation / Between FDD and TDD  | Rel-15  | C38       | UEs supporting 5G Core and NR FDD and NR TDD                                       |
| 6.1.2.7  | Cell reselection / Equivalent PLMN   | Rel-15  | C21       | UEs supporting 5G Core   |
| 6.1.2.8  | Cell reselection / Equivalent PLMN / Single<br>Frequency operation   | Rel-15  | C21       | UEs supporting 5G Core   |
| 6.1.2.9  | Cell reselection using Qhyst, Qoffset and<br>Treselection  | Rel-15  | C21       | UEs supporting 5G Core   |
| 6.1.2.11 | Area Specific SIBs using<br>systemInformationAreaID  | Rel-15  | C21       | UEs supporting 5G Core   |
| 6.1.2.12 | Cell reselection using cell status and cell<br>reservations / cellReservedForOtherUse  | Rel-15  | C21       | UEs supporting 5G Core.  |
| 6.1.2.13 | Cell reselection using cell status and cell<br>reservations / Access Identity 0, 1, 2 and 12 to<br>14 - cellReservedForOperatorUse | Rel-15  | C21       | UEs supporting 5G Core   |
| 6.1.2.14 | Cell reselection using cell status and cell<br>reservations / Access Identity 11 or 15 -<br>cellReservedForOperatorUse             | Rel-15  | C21       | UEs supporting 5G Core.  |
| 6.1.2.15 | Cell reselection in shared network environment   | Rel-15  | C21       | UEs supporting 5G Core   |
| 6.1.2.16 | Inter-frequency cell reselection (equal priority)  | Rel-15  | C21       | UEs supporting 5G Core   |
| 6.1.2.17 | Cell reselection / Cell-specific reselection<br>parameters provided by the network in a<br>neighbouring cell list                  | Rel-15  | C21       | UEs supporting 5G Core   |
| 6.1.2.18 | Cell reselection, Sintrasearch, Snonintrasearch  | Rel-15  | C21       | UEs supporting 5G Core   |
| 6.1.2.19 | Speed dependent cell reselection   | Rel-15  | C21       | UEs supporting 5G Core   |
| 6.1.2.20 | Inter-frequency cell reselection according to cell reselection priority provided by SIBs   | Rel-15  | C21       | UEs supporting 5G Core   |
| 6.1.2.21 | Cell reselection, SIntraSearchQ and SnonIntraSearchQ   | Rel-15  | C21       | UEs supporting 5G Core   |

| Clause   | TC Title   | Release        |           | Applicability                     |
|----------|--|----------------|-----------|-----------------------------------|
| 0.4.0.00 |  | <b>D</b> 1 / - | Condition | Comment                           |
| 6.1.2.22 | Inter-frequency cell reselection based on<br>common priority information with parameters<br>ThreshX, HighQ, ThreshX, LowQ and<br>ThreshServing, LowQ     | Rel-15         | C21       | UEs supporting 5G Core            |
| 6.1.2.23 | Cell reselection / MFBI  | Rel-15         | C21       | UEs supporting 5G Core            |
| 6.2      | Multi-mode environment   |                |           |                                   |
| 6.2.1    | Inter-RAT PLMN selection   |                |           |                                   |
| 6.2.1.1  | Inter-RAT PLMN Selection / Selection of<br>correct RAT for OPLMN / Automatic mode  | Rel-15         | C32       | UEs supporting 5G Core and E-UTRA |
| 6.2.1.2  | Inter-RAT PLMN Selection / Selection of<br>correct RAT for UPLMN / Automatic mode  | Rel-15         | C32       | UEs supporting 5G Core and E-UTRA |
| 6.2.1.3  | Inter-RAT PLMN Selection / Selection of<br>correct PLMN and RAT in shared network<br>environment / Automatic mode  | Rel-15         | C32       | UEs supporting 5G Core and E-UTRA |
| 6.2.1.4  | Inter-RAT PLMN Selection / Selection of<br>correct RAT from the OPLMN list / Manual<br>mode  | Rel-15         | C32       | UEs supporting 5G Core and E-UTRA |
| 6.2.1.5  | Inter-RAT Background HPLMN Search /<br>Search for correct RAT for HPLMN / Automatic<br>mode  | Rel-15         | C32       | UEs supporting 5G Core and E-UTRA |
| 6.2.2    | Inter-RAT Cell Selection   |                |           |                                   |
| 6.2.2.1  | Inter-RAT cell selection / From NR RRC_IDLE<br>to EUTRA_Idle / Serving cell becomes non-<br>suitable   | Rel-15         | C32       | UEs supporting 5G Core and E-UTRA |
| 6.2.2.2  | Inter-RAT cell selection / From E-UTRA_Idle to<br>NR RRC_IDLE / Serving cell becomes non-<br>suitable  | Rel-15         | C32       | UEs supporting 5G Core and E-UTRA |
| 6.2.3    | Inter-RAT Cell Reselection   |                |           |                                   |
| 6.2.3.1  | Inter-RAT cell reselection / From E-<br>UTRA_IDLE to NR RRC_IDLE (lower priority &<br>higher priority, Srxlev based)                                     | Rel-15         | C32       | UEs supporting 5G Core and E-UTRA |
| 6.2.3.2  | Inter-RAT cell reselection / From E-<br>UTRA_IDLE to NR RRC_IDLE (lower priority &<br>higher priority, Squal based)                                      | Rel-15         | C32       | UEs supporting 5G Core and E-UTRA |
| 6.2.3.3  | Inter-RAT cell reselection / From NR<br>RRC_IDLE to E-UTRA_IDLE (lower priority &<br>higher priority, Srxlev based)                                      | Rel-15         | C32       | UEs supporting 5G Core and E-UTRA |
| 6.2.3.4  | Inter-RAT cell reselection / From NR<br>RRC_IDLE to E-UTRA_IDLE (lower priority &<br>higher priority, Squal based)                                       | Rel-15         | C32       | UEs supporting 5G Core and E-UTRA |
| 6.2.3.5  | Inter-RAT cell reselection / From NR<br>RRC_IDLE to E-UTRA_IDLE according to RAT<br>priority provided by dedicated signalling<br>(RRCRelease)            | Rel-15         | C32       | UEs supporting 5G Core and E-UTRA |
| 6.2.3.6  | Inter-RAT cell reselection / From E-<br>UTRA_IDLE to NR RRC_IDLE according to<br>RAT priority provided by dedicated signalling<br>(RRConnRelease)        | Rel-15         | C32       | UEs supporting 5G Core and E-UTRA |
| 6.2.3.7  | Inter-RAT cell reselection / From NR<br>RRC_IDLE to E-UTRA RRC_IDLE,<br>Snonintrasearch  | Rel-15         | C32       | UEs supporting 5G Core and E-UTRA |
| 6.2.3.8  | Inter-RAT cell reselection / From E-UTRA<br>RRC_IDLE to NR RRC_Idle, Snonintrasearch   | Rel-15         | C32       | UEs supporting 5G Core and E-UTRA |
| 6.2.3.9  | Void   | D 1 / -        | 000       |                                   |
| 6.2.3.10 | Inter-RAT cell reselection / From E-<br>UTRA_IDLE to NR RRC_IDLE /<br>schedulingInfoList-v12j0   | Rel-15         | C32       | UEs supporting 5G Core and E-UTRA |
| 6.2.3.11 | Inter-RAT cell reselection / From E-<br>UTRA_IDLE to NR RRC_IDLE /   | Rel-15         | C32       | UEs supporting 5G Core and E-UTRA |
|          | schedulingInfoListExt-r12  |                |           |                                   |
| 6.3      | 5GS Steering of Roaming  |                |           |                                   |
| 6.3.1    | Steering of Roaming  | <b>D</b> · / = | 0.01      |                                   |
| 6.3.1.1  | Steering of UE in roaming during<br>registration/security check successful using List<br>Type 1  | Rel-15         | C21       | UEs supporting 5G Core            |
| 6.3.1.2  | Steering of UE in roaming during<br>registration/security check successful but SOR<br>Transparent container indicates ACK has been<br>NOT been requested | Rel-15         | C21       | UEs supporting 5G Core            |
| 6.3.1.3  | Steering of UE in roaming during<br>registration/security check<br>unsuccessful/Automatic mode   | Rel-15         | C21       | UEs supporting 5G Core            |

| Clause   | TC Title  | Release | Applicability     |   |  |
|----------|---|---------|-------------------|---|--|
|          |   |         | Condition Comment |   |  |
| 6.3.1.4  | Steering of UE in roaming during<br>registration/security check<br>unsuccessful/Manual mode   | Rel-15  | C21               | UEs supporting 5G Core                                |  |
| 6.3.1.5  | Steering of UE in roaming during<br>registration/UE configured to receive Steering<br>of Roaming information but does not receive<br>Steering of Roaming from Network | Rel-15  | C21               | UEs supporting 5G Core                                |  |
| 6.3.1.7  | Steering of UE in roaming during<br>registration/security check unsuccessful but<br>emergency service pending to be activated   | Rel-15  | C21               | UEs supporting 5G Core                                |  |
| 6.3.1.8  | Steering of UE in roaming after<br>registration/Automatic PLMN selection mode   | Rel-15  | C21               | UEs supporting 5G Core                                |  |
| 6.3.1.9  | Steering of UE in roaming after<br>registration/Manual PLMN selection mode  | Rel-15  | C21               | UEs supporting 5G Core                                |  |
| 6.3.1.10 | Steering of UE in roaming during mobility update registration   | Rel-15  | C21               | UEs supporting 5G Core                                |  |
| 6.4      | UE Procedures in RRC_INACTIVE state   |         |                   |   |  |
| 6.4.1    | NG-RAN Only PLMN Selection in<br>RRC_INACTIVE state   |         |                   |   |  |
| 6.4.1.1  | PLMN Selection / Higher priority/HPLMN in<br>Automatic PLMN Selection mode  | Rel-15  | C109              | UEs supporting 5G Core and RRC_INACTIVE               |  |
| 6.4.1.2  | Cell reselection of ePLMN in manual mode  | Rel-15  | C109              | UEs supporting 5G Core and RRC_INACTIVE               |  |
| 6.4.2    | Cell Selection / Qrxlevmin & Cell<br>Reselection (Intra NR in RRC_INACTIVE<br>state   |         |                   |   |  |
| 6.4.2.1  | Cell Selection / Qrxlevmin & Cell Reselection<br>(Intra NR in RRC_INACTIVE state)   | Rel-15  | C109              | UEs supporting 5G Core and RRC_INACTIVE               |  |
| 6.4.2.2  | Inter-frequency cell reselection according to<br>cell reselection priority provided by SIBs in<br>RRC_INACTIVE state  | Rel-15  | C109              | UEs supporting 5G Core and RRC_INACTIVE               |  |
| 6.4.3    | Inter-RAT Cell Reselection  |         |                   |   |  |
| 6.4.3.1  | Inter-RAT cell reselection From NR<br>RRC_INACTIVE to E-UTRA RRC_IDLE (lower<br>priority & higher priority, Srxlev based)   | Rel-15  | C110              | UEs supporting 5G Core and E-UTRA and<br>RRC_INACTIVE |  |
| 6.5      | SNPN and CAG Selection  |         |                   |   |  |
| 6.5.1    | SNPN Only Selection   |         |                   |   |  |
| 6.5.1.1  | SNPN Selection in Manual Mode   | Rel-16  | C131              | UEs supporting 5G Core and SNPN                       |  |
| 6.5.1.2  | SNPN Selection in Automatic Mode  | Rel-16  | C131              | UEs supporting 5G Core and SNPN                       |  |
| 6.5.2    | CAG (Closed Acccess Group)  |         |                   |   |  |
| 6.5.2.1  | CAG Selection in Manual Mode  | Rel-16  | C132              | UEs supporting 5G Core and CAG                        |  |
| 6.5.2.2  | CAG Selection in Automatic Mode   | Rel-16  | C132              | UEs supporting 5G Core and CAG                        |  |

| Clause   | Specific ICS                           | Specific IXIT                              | Number of TC<br>Executions  | Release other RAT |
|----------|--|--|---|-------------------|
| 6        |  |  |   |                   |
| 6.1      |  |  |   |                   |
| 6.1.2.8  |  |  | If test case 6.1.2.7 has<br>been executed then test<br>case 6.1.2.8 needs not<br>to be executed |                   |
| 6.1.2.23 |  | px_NR_OverlappingNotSupp<br>ortedBand_MFBI |   |                   |
| 6.2      |  |  |   |                   |
| 6.2.1    |  |  |   |                   |
| 6.2.1.1  |  |  |   | Rel-15 E-UTRA     |
| 6.2.1.2  |  |  |   | Rel-15 E-UTRA     |
| 6.2.1.3  |  |  |   | Rel-15 E-UTRA     |
| 6.2.1.4  | [10]<br>pc_Available_PLMNs_AcT_In<br>d |  |   | Rel-15 E-UTRA     |
| 6.2.1.5  |  |  |   | Rel-15 E-UTRA     |
| 6.2.2    |  |  |   |                   |
| 6.2.3    |  |  |   |                   |
| 6.2.3.1  |  |  |   | Rel-15 E-UTRA     |
| 6.2.3.2  |  |  |   | Rel-15 E-UTRA     |
| 6.2.3.3  |  |  |   | Rel-15 E-UTRA     |
| 6.2.3.4  |  |  |   | Rel-15 E-UTRA     |
| 6.2.3.5  |  |  |   | Rel-15 E-UTRA     |
| 6.2.3.6  |  |  |   | Rel-15 E-UTRA     |
| 6.2.3.7  |  |  |   | Rel-15 E-UTRA     |
| 6.2.3.8  |  |  |   | Rel-15 E-UTRA     |
| 6.3      |  |  |   |                   |
| 6.3.1    |  |  |   |                   |
| 6.3.1.2  | pc_SOR_ACKNotReqLocalRel               |  |   |                   |
| 6.4      |  |  |   |                   |
| 6.4.1    |  |  |   |                   |
| 6.4.2    |  |  |   |                   |
| 6.4.3    |  |  |   |                   |
| 6.4.3.1  |  |  |   | Rel-15 E-UTRA     |

## Table 4.1-1b: Additional Information of Applicability of Protocol conformance Idle mode test cases, ref. TS 38.523-1 [2]

#### Table 4.1-2a: Applicability of Protocol conformance Layer 2 test cases, ref. TS 38.523-1 [2]

| Clause     | TC Title   | Release | Applicability |  |
|------------|--|---------|---------------|--|
|            |  |         | Condition     | Comment  |
| 7          | Layer 2  |         |               |  |
| 7.1        | NR Layer 2   |         |               |  |
| 7.1.1      | MAC  |         |               |  |
| 7.1.1.1    | Random Access Procedures   |         |               |  |
| 7.1.1.1.1  | Correct selection of RACH parameters /<br>Random access preamble and PRACH<br>resource explicitly signalled to the UE by RRC /<br>contention free random access procedure            | Rel-15  | R             | UEs supporting 5GS   |
| 7.1.1.1.1a | Correct selection of RACH parameters /<br>Random access preamble and PRACH<br>resource explicitly signalled to the UE by<br>PDCCH Order / contention free random access<br>procedure | Rel-15  | R             | UEs supporting 5GS   |
| 7.1.1.1.2  | Random access procedure / Successful / C-<br>RNTI Based / Preamble selected by MAC itself  | Rel-15  | R             | UEs supporting 5GS   |
| 7.1.1.1.3  | Random access procedure / Successful / SI request  | Rel-15  | R             | UEs supporting 5GS   |
| 7.1.1.1.4  | Random access procedure / Successful / Beam<br>Failure / Preamble selected by MAC itself /<br>non-Contention Free RACH procedure   | Rel-15  | R             | UEs supporting 5GS   |
| 7.1.1.1.5  | Random access procedure / Successful /<br>Supplementary Uplink   | Rel-15  | C28           | UEs supporting 5GS and supplemental uplink with dynamic switch |
| 7.1.1.1.6  | Random access procedure / Successful /<br>Temporary C-RNTI Based / Preamble selected<br>by MAC itself  | Rel-15  | R             | UEs supporting 5GS   |
| 7.1.1.1.7  | Random access procedure / 2-step RACH /<br>RA_TYPE selection   | Rel-16  | C135          | UEs Supporting 2-Step RACH                                     |

| Clause      | TC Title  | Release |           | Applicability   |
|-------------|---|---------|-----------|---|
|             |   |         | Condition | Comment   |
| 7.1.1.1.8   | Correct selection of RACH parameters / 2-step<br>RACH/MSGA and PRACH resource explicitly<br>signalled to the UE by RRC / contention free<br>random access procedure | Rel-16  | C135      | UEs Supporting 2-Step RACH  |
| 7.1.1.2     | Downlink Data Transfer  |         |           |   |
| 7.1.1.2.1   | Correct Handling of DL MAC PDU /<br>Assignment / HARQ process   | Rel-15  | R         | UEs supporting 5GS  |
| 7.1.1.2.2   | Correct Handling of DL HARQ process PDSCH<br>Aggregation  | Rel-15  | C20       | UEs supporting 5GS and PDSCH aggregation  |
| 7.1.1.2.3   | Correct HARQ process handling / CCCH  | Rel-15  | R         | UEs supporting 5GS  |
| 7.1.1.2.4   | Correct HARQ process handling / BCCH  | Rel-15  | R         | UEs supporting 5GS  |
| 7.1.1.3     | Uplink Data Transfer  | D 1 45  |           |   |
| 7.1.1.3.1   | Correct Handling of UL MAC PDU /<br>Assignment / HARQ process   | Rel-15  | R         | UEs supporting 5GS  |
| 7.1.1.3.2   | Logical channel prioritization handling   | Rel-15  | C02       | UEs supporting 5GS and RLC UM Mode  |
| 7.1.1.3.2b  | Logical channel prioritization handling with<br>Mapping restrictions  | Rel-15  | R         | UEs supporting 5GS  |
| 7.1.1.3.3   | Correct handling of MAC control information /<br>Scheduling requests  | Rel-15  | C53       | UEs supporting 5GS and Logical Channel SR-<br>Delay Timer   |
| 7.1.1.3.4   | Correct handling of MAC control information /<br>Buffer status / UL data arrive in the UE Tx<br>buffer / Regular BSR  | Rel-15  | R         | UEs supporting 5GS  |
| 7.1.1.3.5   | Correct handling of MAC control information /<br>Buffer Status / UL resources are allocated /<br>Padding BSR  | Rel-15  | R         | UEs supporting 5GS  |
| 7.1.1.3.6   | Correct handling of MAC control information /<br>Buffer status / Periodic BSR timer expires   | Rel-15  | R         | UEs supporting 5GS  |
| 7.1.1.3.7   | UE power headroom reporting / Periodic<br>reporting / DL pathloss change reporting  | Rel-15  | R         | UEs supporting 5GS  |
| 7.1.1.3.8   | UE power headroom reporting / SCell<br>activation / DL pathloss change reporting  |         |           |   |
| 7.1.1.3.8.1 | UE power headroom reporting / SCell<br>activation / DL pathloss change reporting /<br>Intra-band Contiguous CA  | Rel-15  | C81       | UEs supporting 5GS and intra-band contiguous CA and UL NR CA with 2 carriers  |
| 7.1.1.3.8.2 | UE power headroom reporting / SCell<br>activation / DL pathloss change reporting /<br>Inter-band CA   | Rel-15  | C82       | UEs supporting 5GS and inter-band CA and UL<br>NR CA with 2 carriers  |
| 7.1.1.3.8.3 | UE power headroom reporting / SCell<br>activation / DL pathloss change reporting /<br>Intra-band non Contiguous CA  | Rel-15  | C83       | UEs supporting 5GS and intra-band non-<br>contiguous CA and UL NR CA with 2 carriers  |
| 7.1.1.3.9   | Correct Handling of UL HARQ process /<br>PUSCH Aggregation  | Rel-15  | C51       | UEs supporting 5GS and PUSCH aggregation  |
| 7.1.1.3.10  | Correct Handling of HARQ process / Multiple<br>CORESETPoolIndex   | Rel-16  | C107      | UEs supporting 5GS and multi-DCI based Multi-<br>TRP  |
| 7.1.1.3.11  | Correct handling of UL grant prioritization   | Rel-16  | C114      | UEs supporting 5GS and LCH-based UL grant prioritization  |
| 7.1.1.3.12  | Correct Handling of UL HARQ process /<br>PUSCH Repetition Type B  | Rel-16  | C134      | UEs supporting PUSCH repetition type B  |
| 7.1.1.4     | Transport Size Selection  |         |           |   |
| 7.1.1.4.1   | DL-SCH Transport Block Size Selection   |         |           |   |
| 7.1.1.4.1.1 | DL-SCH Transport Block Size selection / DCI<br>format 1_0   | Rel-15  | C64       | UEs supporting 5GS  |
| 7.1.1.4.1.2 | Void  |         |           |   |
| 7.1.1.4.1.3 | DL-SCH transport block size selection / DCI<br>format 1_1 / RA type 0/RA Type 1 / 2<br>Codewords enabled  | Rel-15  | R         | UEs supporting 5GS and The maximum number<br>of spatial multiplexing layer(s) supported by the<br>UE for DL reception. For single CC standalone<br>NR, it is mandatory with capability signalling to<br>support at least 4 MIMO layers in the bands<br>where 4Rx is specified as mandatory for the<br>given UE and at least 2 MIMO layers in FR2. If<br>absent, the UE doesn't support MIMO on this<br>carrier                      |
| 7.1.1.4.1.4 | DL-SCH transport block size selection / DCI<br>format 1_1 / RA type 0/RA Type 1 / 2<br>Codewords enabled / 256QAM   | Rel-15  | C65       | UEs supporting 5GS and The maximum number<br>of spatial multiplexing layer(s) supported by the<br>UE for DL reception. For single CC standalone<br>NR, it is mandatory with capability signalling to<br>support at least 4 MIMO layers in the bands<br>where 4Rx is specified as mandatory for the<br>given UE and at least 2 MIMO layers in FR2. If<br>absent, the UE doesn't support MIMO on this<br>carrier and 256QAM for PUSCH |

| Clause                      | TC Title   | Release          |             | Applicability  |
|-----------------------------|--|------------------|-------------|--|
|                             |  |                  | Condition   | Comment  |
| 7.1.1.4.2.1                 | UL-SCH Transport Block Size selection / DCI<br>format 0_0 / Transform precoding disabled   | Rel-15           | R           | UEs supporting 5GS   |
| 7.1.1.4.2.2                 | Void   | D-145            |             |  |
| 7.1.1.4.2.3                 | UL-SCH transport block size selection / DCI<br>format 0_1 / RA type 0/RA Type 1 / Transform<br>precoding disabled  | Rel-15           | R           | UEs supporting 5GS   |
| 7.1.1.4.2.4                 | UL-SCH transport block size selection / DCI<br>format 0_1 / RA type 0/RA Type 1 / 256QAM /<br>Transform precoding disabled                                 | Rel-15           | C11         | UEs supporting 5GS and 256QAM for PDSCH for FR1/FR2  |
| 7.1.1.4.2.5                 | UL-SCH Transport Block Size selection / DCI<br>format 0_0 / Transform precoding and 64QAM  | Rel-15           | R           | UEs supporting 5GS   |
| 7.1.1.5                     | Discontinuous reception  |                  |             |  |
| 7.1.1.5.1                   | DRX operation / Short cycle not configured /<br>Parameters configured by RRC   | Rel-15           | C03         | UEs supporting 5GS and long DRX cycle  |
| 7.1.1.5.2                   | DRX operation / Short cycle not configured /<br>Long DRX command MAC control element<br>reception  | Rel-15           | C03         | UEs supporting 5GS and long DRX cycle  |
| 7.1.1.5.3                   | DRX operation / Short cycle configured /<br>Parameters configured by RRC   | Rel-15           | C04         | UEs supporting 5GS and short DRX cycle   |
| 7.1.1.5.4                   | DRX operation / Short cycle configured / DRX command MAC control element reception   | Rel-15           | C04         | UEs supporting 5GS and short DRX cycle   |
| 7.1.1.5.5                   | DRX operation / Short cycle configured / Long<br>DRX command MAC control element reception   | Rel-15           | C70         | UEs supporting 5GS and long DRX cycle and<br>short DRX cycle   |
| 7.1.1.6                     | Semi-Persistent Scheduling   | <b>D</b> 1 / -   | 0.17        |  |
| 7.1.1.6.1                   | Correct handling of DL assignment / Semi-<br>persistent case   | Rel-15           | C17         | UEs supporting 5GS and PDSCH reception<br>based on semi-persistent scheduling  |
| 7.1.1.6.2                   | Correct handling of UL grant / configured grant<br>Type 1  | Rel-15           | C18         | UEs supporting 5GS and Type 1 PUSCH<br>transmissions with configured grant   |
| 7.1.1.6.3                   | Correct handling of UL grant / configured grant<br>Type 2  | Rel-15           | C19         | UEs supporting 5GS and Type 2 PUSCH<br>transmissions with configured grant   |
| 7.1.1.6.4                   | Correct handling of DL assignment / Multi<br>Semi-persistent configuration   | Rel-16           | C113        | UEs supporting 5GS and PDSCH reception<br>based on multiple semi-persistent scheduling   |
| 7.1.1.7                     | Activation/Deactivation of SCells  |                  | -           |  |
| 7.1.1.7.1                   | Activation/Deactivation of SCells /<br>Activation/Deactivation MAC control<br>element reception / sCellDeactivationTimer                                   |                  |             |  |
| 7.1.1.7.1.1                 | Activation/Deactivation of SCells /<br>Activation/Deactivation MAC control element<br>reception / sCellDeactivationTimer / Intra-band<br>Contiguous CA     | Rel-15           | C44         | UEs supporting 5GS and intra-band contiguous CA  |
| 7.1.1.7.1.2                 | Activation/Deactivation of SCells /<br>Activation/Deactivation MAC control element<br>reception / sCellDeactivationTimer / Inter-band<br>CA                | Rel-15           | C45         | UEs supporting 5GS and inter-band CA   |
| 7.1.1.7.1.3                 | Activation/Deactivation of SCells /<br>Activation/Deactivation MAC control element<br>reception / sCellDeactivationTimer / Intra-band<br>non-Contiguous CA | Rel-15           | C46         | UEs supporting 5GS and intra-band non-<br>contiguous CA  |
| <b>7.1.1.8</b><br>7.1.1.8.1 | Bandwidth Part (BWP) operation<br>Bandwidth Part (BWP) operation UL/DL   | Rel-15           | C66         | UEs supporting 5GS and (DCI and timer based active BWP switching delay type1 or type2) and (Support of BWP adaptation upto2 or up to 4)                            |
| 7.1.1.9                     | MAC Reconfiguration and Reset  |                  |             |  |
| 7.1.1.9.1                   | MAC Reset  | Rel-15           | R           | UEs supporting 5GS   |
| 7.1.1.10                    | Other Procedures   | Del 45           | 004         | LIFe supporting EQ Core  |
| 7.1.1.10.1<br>7.1.1.10.2    | DataInactivityTimer expiry<br>Recommended Bit Rate   | Rel-15<br>Rel-15 | C21<br>C100 | UEs supporting 5G Core<br>UEs supporting 5G Core and MTSI speech   |
| 7.1.1.10.2<br>7.1.1.11      | NR Dual Connectivity   | Rei-15           | 0100        |  |
| 7.1.1.11.1                  | DC power headroom reporting / PSCell activation and DL pathloss change reporting   | Rel-15           | C80         | UEs supporting NR-DC   |
| 7.1.1.12                    | UE Power Saving  |                  |             |  |
| 7.1.1.12.1<br>7.1.1.12.3    | Void<br>DRX adaptation / UE wakeup indication  | Rel-16           | C103        | UEs supporting 5GS and Long DRX Cycle and  |
| 7.1.1.12.4.1                | DRX adaptation / SCell dormancy indication /<br>Intra-band Contiguous CA   | Rel-16           | C118        | DRX adaptation<br>UEs supporting 5GS and Long DRX Cycle and<br>DRX adaptation and SCell Dormancy indication<br>outside active time and intra-band contiguous<br>CA |
| 7.1.1.12.4.2                | DRX adaptation / SCell dormancy indication /<br>Intra-band non Contiguous CA   | Rel-16           | C119        | UEs supporting 5GS and Long DRX Cycle and<br>DRX adaptation and SCell Dormancy indication<br>outside active time and intra-band non-<br>contiguous CA              |

| Clause                      | TC Title  | Release  |           | Applicability  |
|-----------------------------|---|----------|-----------|--|
|                             |   |          | Condition | Comment  |
| 7.1.1.12.4.3                | DRX adaptation / SCell dormancy indication /<br>Inter-band CA | Rel-16   | C120      | UEs supporting 5GS and Long DRX Cycle and<br>DRX adaptation and SCell Dormancy indication<br>outside active time and inter-band CA |
| 7.1.2                       | RLC   |          |           |  |
| 7.1.2.2                     | RLC Unacknowledged Mode                                       |          |           |  |
| 7.1.2.2.1                   | UM RLC / Segmentation and reassembly / 6-bit                  |          | C05       | UEs supporting 5GS and RLC UM with 6-bit   |
|                             | SN / Segmentation Info (SI) field                             | Rel-15   |           | length of RLC sequence number  |
| 7.1.2.2.2                   | UM RLC / Segmentation and reassembly / 12-                    | Del 45   | C06       | UEs supporting 5GS and RLC UM with 12-bit  |
|                             | bit SN / Segmentation Info (SI) field                         | Rel-15   |           | length of RLC sequence number  |
| 7.1.2.2.3                   | UM RLC / 6-bit SN / Correct use of sequence                   | Rel-15   | C05       | UEs supporting 5GS and RLC UM with 6-bit   |
| 1.1.2.2.3                   | numbering   | Rel-15   |           | length of RLC sequence number  |
| 7.1.2.2.4                   | UM RLC / 12-bit SN / Correct use of sequence                  | Rel-15   | C06       | UEs supporting 5GS and RLC UM with 12-bit  |
| 1.1.2.2.4                   | numbering   | Kel-15   |           | length of RLC sequence number  |
| 7.1.2.2.5                   | UM RLC / Receive Window operation and t-                      | Rel-15   | C02       | UEs supporting 5GS and RLC UM Mode   |
|                             | Reassembly expiry   |          |           |  |
| 7.1.2.2.6                   | UM RLC / RLC re-establishment procedure                       | Rel-15   | C02       | UEs supporting 5GS and RLC UM Mode   |
| 7.1.2.3                     | RLC Acknowledged Mode   |          |           |  |
| 7.1.2.3.1                   | AM RLC / 12-bit SN / Segmentation and                         | Rel-15   | C07       | UEs supporting 5GS and RLC AM with 12-bit  |
| 1.1.2.0.1                   | reassembly / Segmentation Info (SI) field                     |          |           | length of RLC sequence number  |
| 7.1.2.3.2                   | AM RLC / 18-bit SN / Segmentation and                         | Rel-15   | R         | UEs supporting 5GS   |
|                             | reassembly / Segmentation Info (SI) field                     |          | 0.07      |  |
| 7.1.2.3.3                   | AM RLC / 12-bit SN / Correct use of sequence                  | Rel-15   | C07       | UEs supporting 5GS and RLC AM with 12-bit  |
|                             | numbering   |          |           | length of RLC sequence number  |
| 7.1.2.3.4                   | AM RLC / 18-bit SN / Correct use of sequence<br>numbering     | Rel-15   | R         | UEs supporting 5GS and RLC   |
|                             | AM RLC / 12-bit SN / Control of transmit                      |          | C07       | UEs supporting 5GS and RLC AM with 12-bit  |
| 7.1.2.3.5                   | window / Control of receive window                            | Rel-15   | 007       | length of RLC sequence number  |
|                             | AM RLC / 18-bit SN / Control of transmit                      | ł        | R         | UEs supporting 5GS   |
| 7.1.2.3.5a                  | window / Control of receive window                            | Rel-15   | К         | Des supporting 565   |
| 7.1.2.3.6                   | AM RLC / Polling for status                                   | Rel-15   | R         | UEs supporting 5GS   |
| 7.1.2.3.7                   | AM RLC / Receiver status triggers                             | Rel-15   | R         | UEs supporting 5GS   |
|                             | AM RLC / Reconfiguration of RLC parameters                    |          | R         | UEs supporting 5GS   |
| 7.1.2.3.8                   | by upper layers   | Rel-15   |           |  |
| 7.1.2.3.9                   | AM RLC / Reassembling of AMD PDUs                             | Rel-15   | R         | UEs supporting 5GS   |
|                             | AM RLC / Re-transmission of RLC PDU with                      |          | R         | UEs supporting 5GS   |
| 7.1.2.3.10                  | and without re-segmentation                                   | Rel-15   |           | 0_0 0.4pp  |
| 7.1.2.3.11                  | AM RLC / RLC re-establishment procedure                       | Rel-15   | R         | UEs supporting 5GS   |
| 7.1.3                       | PDCP  |          |           |  |
| 7404                        | Maintenance of PDCP sequence numbers                          |          |           |  |
| 7.1.3.1                     | for radio bearers   |          |           |  |
| 7.1.3.1.1                   | Maintenance of PDCP sequence numbers /                        | Rel-15   | C08       | UEs supporting 5GS and 12-bit length of PDCP   |
| 7.1.3.1.1                   | User plane / 12-bit SN  | Rel-15   |           | sequence number  |
| 7.1.3.1.2                   | Maintenance of PDCP sequence numbers /                        | Rel-15   | R         | UEs supporting 5GS   |
|                             | User plane / 18-bit SN  | Kel-15   |           |  |
| 7.1.3.2                     | PDCP Integrity protection                                     |          |           |  |
| 7.1.3.2.1                   | Integrity protection / Correct functionality of               | Rel-15   | R         | UEs supporting 5GS   |
| 7.1.0.2.1                   | integrity algorithm SNOW3G / SRB / DRB                        | IKCI-15  |           |  |
| 7.1.3.2.2                   | Integrity protection / Correct functionality of               | Rel-15   | R         | UEs supporting 5GS   |
|                             | integrity algorithm AES / SRB / DRB                           | 1.01.10  |           |  |
| 7.1.3.2.3                   | Integrity protection / Correct functionality of               | Rel-15   | C09       | UEs supporting 5GS and ZUC algorithm   |
|                             | integrity algorithm ZUC / SRB / DRB                           |          |           |  |
| 7.1. <b>3.3</b>             | PDCP Ciphering and deciphering                                |          | 5         |  |
| 74004                       | Ciphering and deciphering / Correct                           | Del 45   | R         | UEs supporting 5GS   |
| 7.1.3.3.1                   | functionality of encryption algorithm SNOW3G /                | Rel-15   |           |  |
|                             | SRB / DRB<br>Ciphering and deciphering / Correct              |          | R         | UEs supporting 5GS   |
| 7.1.3.3.2                   | functionality of encryption algorithm AES / SRB               | Rel-15   | ĸ         | ors subbound and   |
| 1.1.3.3.2                   | / DRB   | 1.61-1.2 |           |  |
|                             | Ciphering and deciphering / Correct                           |          | C09       | UEs supporting 5GS and ZUC algorithm   |
| 7.1.3.3.3                   | functionality of encryption algorithm ZUC / SRB               | Rel-15   | 003       |  |
|                             | / DRB   |          |           |  |
| 7.1.3.4                     | PDCP Handover   |          |           |  |
|                             | PDCP handover / Lossless handover / PDCP                      |          | R         | UEs supporting 5GS   |
|                             | sequence number maintenance / PDCP status                     |          |           |  |
| 7.1.3.4.1                   | report to convey the information on missing or                | Rel-15   |           |  |
| 1.1.3.4.1                   | acknowledged PDCP SDUs at handover / In-                      | 1.61-1.2 |           |  |
|                             | order delivery and duplicate elimination in the               |          |           |  |
|                             | downlink  |          |           |  |
| 7.1.3.4.2                   | PDCP handover / Non-lossless handover /                       | Rel-15   | R         | UEs supporting 5GS   |
|                             | PDCP sequence number maintenance                              | 1.01-1.0 |           |  |
|                             | PDCP handover / DAPS handover with key                        | Dal 40   | C101      | UEs supporting 5G Core and intra-frequency   |
| 7.1.3.4.3                   |   | Rei-1n   |           |  |
| 7.1.3.4.3<br><b>7.1.3.5</b> | change / Status reporting / Intra-frequency                   | Rel-16   |           | DAPS handover  |

| Clause TC Title |  | Release | Applicability |   |
|-----------------|--|---------|---------------|---|
|                 |  |         | Condition     | Comment   |
| 7.1.3.5.1       | PDCP Discard   | Rel-15  | C02           | UEs supporting 5GS and RLC UM Mode  |
| 7.1.3.5.2       | PDCP Uplink Routing / Split DRB  | Rel-15  | C10           | UEs supporting EN-DC and UL transmission via<br>both MCG path and SCG path for the split DRB  |
| 7.1.3.3.2       |  | Ker 15  | C97           | UEs supporting NR-DC and UL transmission via<br>both MCG path and SCG path for the split DRB  |
| 7.1.3.5.3       | PDCP Data Recovery   | Rel-15  | C01           | UEs supporting EN-DC  |
| 7.1.3.3.3       | PDCP Data Recovery   | Rel-15  | C80           | UEs supporting NR-DC  |
| 7.1.3.5.4       | PDCP reordering / Maximum re-ordering delay<br>below t-Reordering / t-Reordering timer<br>operations                   | Rel-15  | R             | UEs supporting 5GS  |
| 7.1.3.5.5       |  | Rel-15  | C62           | UEs supporting EN-DC and PDCP duplication<br>over split DRB   |
| 7.1.3.5.5       | PDCP Duplication   | Rel-15  | C98           | UEs supporting NR-DC and PDCP duplication<br>over split DRB   |
| 7.1.3.5.6       | PDCP Duplication / 3 RLC entities  | Rel-16  | C104          | UEs supporting 5GC and Intra-band contiguous<br>CA and DL NR CA with 3 carriers and PDCP<br>duplication with more than two RLC entities |
| 7.1.3.5.7       | Ethernet header compression and decompression / Correct functionality of ethernet header compression and decompression | Rel-16  | C105          | UEs supporting 5GS and RLC UM Mode and<br>PDCP ethernet header compression  |
| 7.1.4           | SDAP   |         |               |   |
| 7.1.4.1         | SDAP Data Transfer and PDU Header<br>Handling UL/DL  | Rel-15  | C21A          | UEs supporting 5G Core and reflective QoS   |
| 7.1.4.2         | SDAP Data Transfer handling without Header UL/DL   | Rel-15  | C21           | UEs supporting 5G Core  |

# Table 4.1-2b: Additional Information of Applicability of Protocol conformance Layer 2 test cases, ref.TS 38.523-1 [2]

| Clause      | Specific ICS                         | Specific IXIT | Number of TC<br>Executions | Release other RAT |
|-------------|--------------------------------------|---------------|----------------------------|-------------------|
| 7           |                                      |               |                            |                   |
| 7.1         |                                      |               |                            |                   |
| 7.1.1       |                                      |               |                            |                   |
| 7.1.1.1     |                                      |               |                            |                   |
| 7.1.1.1.4   | pc_csi_RS_CFRA_ForHO                 |               |                            |                   |
| 7.1.1.4     |                                      |               |                            |                   |
| 7.1.1.4.1   |                                      |               |                            |                   |
| 7.1.1.4.1.3 | pc_dynamicSwitchRA_Type0_<br>1_PDSCH |               |                            |                   |
| 7.1.1.4.1.4 | pc_dynamicSwitchRA_Type0_<br>1_PDSCH |               |                            |                   |
| 7.1.1.4.2   |                                      |               |                            |                   |
| 7.1.1.4.2.3 | pc_dynamicSwitchRA_Type0_<br>1 PUSCH |               |                            |                   |
| 7.1.1.4.2.4 | pc_dynamicSwitchRA_Type0_<br>1_PUSCH |               |                            |                   |
| 7.1.1.6     |                                      |               |                            |                   |
| 7.1.1.6.4   | pc_um_WithShortSN                    |               |                            |                   |
| 7.1.1.7     |                                      |               |                            |                   |
| 7.1.1.7.1   |                                      |               |                            |                   |
| 7.1.1.7.1.1 | pc_UL_NR_CA_2CC                      |               |                            |                   |
| 7.1.1.7.1.2 | pc_UL_NR_CA_2CC                      |               |                            |                   |
| 7.1.1.7.1.3 | pc_UL_NR_CA_2CC                      |               |                            |                   |
| 7.1.2       |                                      |               |                            |                   |
| 7.1.2.2     |                                      |               |                            |                   |
| 7.1.2.2.5   | pc_um_WithShortSN                    |               |                            |                   |
| 7.1.2.2.6   | pc_um_WithShortSN                    |               |                            |                   |
| 7.1.3       |                                      |               |                            |                   |
| 7.1.3.2.1   | pc_srb3                              |               |                            |                   |

#### Table 4.1-3a: Applicability of Protocol conformance RRC test cases, ref. TS 38.523-1 [2]

| Clause | TC Title | Release |           | Applicability |
|--------|----------|---------|-----------|---------------|
|        |          |         | Condition | Comment       |
| 8      | RRC      |         |           |               |
| 8.1    | NR RRC   |         |           |               |

| Clause      | TC Title  | Release        |           | Applicability   |
|-------------|---|----------------|-----------|---|
|             |   |                | Condition | Comment   |
| 8.1.1       | RRC connection management procedures  |                |           |   |
| 8.1.1.1     | Paging  |                |           |   |
| 8.1.1.1.1   | RRC / Paging for connection / Multiple paging records   | Rel-15         | C21       | UEs supporting 5G Core  |
| 8.1.1.1.2   | RRC / Paging for connection / Shared network environment  | Rel-15         | C21       | UEs supporting 5G Core  |
| 8.1.1.2     | RRC connection establishment  |                |           |   |
| 8.1.1.2.1   | RRC connection establishment / Return to idle<br>state after T300 expiry  | Rel-15         | C21       | UEs supporting 5G Core  |
| 8.1.1.2.2   | Void  |                |           |   |
| 8.1.1.2.3   | RRC connection establishment / RRC Reject<br>with wait time   | Rel-15         | C21       | UEs supporting 5G Core  |
| 8.1.1.2.4   | RRC connection establishment / Extended and spare fields in SI  | Rel-15<br>only | C21       | UEs supporting 5G Core  |
| 8.1.1.3     | RRC release   | 0.1.)          |           |   |
| 8.1.1.3.1   | RRC connection release / Redirection to another NR frequency  | Rel-15         | C21       | UEs supporting 5G Core  |
| 8.1.1.3.2   | RRC connection release / Redirection from NR<br>to E-UTRA   | Rel-15         | C32       | UEs supporting 5G Core and E-UTRA                                       |
| 8.1.1.3.3   | RRC connection release / Success / With priority information  | Rel-15         | C21       | UEs supporting 5G Core  |
| 8.1.1.3.4   | RRC connection release / Success / With<br>priority information / E-UTRA  | Rel-15         | C26       | UEs supporting 5GS and E-UTRA   |
| 8.1.1.3.5   | Void  | 1              |           |   |
| 8.1.1.3.6   | Void  |                |           |   |
| 8.1.1.3.7   | RRC connection release / Success /<br>Deprioritisation / T325 expiry  | Rel-15         | C133      | UEs supporting 5G Core and RRC connection release with Deprioritisation |
| 8.1.1.4     | RRC resume  |                |           |   |
| 8.1.1.4.1   | RRC resume / Suspend-Resume / RNA update / Success  | Rel-15         | C109      | UEs supporting 5G Core and RRC_INACTIVE                                 |
| 8.1.1.4.2   | RRC resume / Suspend-Resume / RRC setup /<br>T319 expiry  | Rel-15         | C109      | UEs supporting 5G Core and RRC_INACTIVE                                 |
| 8.1.1.4.3   | Void  |                |           |   |
| 8.1.2       | RRC reconfiguration   |                |           |   |
| 8.1.2.1     | Radio bearer establishment /  |                |           |   |
| 8.1.2.1.1   | reconfiguration / release           RRC reconfiguration / DRB / SRB /   | Del 15         | C21       |   |
| 0.1.2.1.1   | Establishment / Modification / Release /<br>Success   | Rel-15         | 021       | UEs supporting 5G Core  |
| 8.1.2.1.2   | RRC reconfiguration / RRC bearer<br>establishment / uplinkTxDirectCurrentList   | Rel-15         | C21       | UEs supporting 5G Core  |
| 8.1.2.1.3   | Void  |                |           |   |
| 8.1.2.1.4   | RRC reconfiguration / Dedicated RLF timer   | Rel-15         | R         | UEs supporting 5GS  |
| 8.1.2.1.5   | NR CA / RRC reconfiguration / SCell<br>addition / modification / release / Success  |                |           |   |
| 8.1.2.1.5.1 | NR CA / RRC reconfiguration / SCell addition /<br>modification / release / Success / Intra-band<br>Contiguous CA                      | Rel-15         | C41       | UEs supporting 5G Core and intra-band<br>contiguous CA                  |
| 8.1.2.1.5.2 | NR CA / RRC reconfiguration / SCell addition /<br>modification / release / Success / Inter-band<br>CA                                 | Rel-15         | C42       | UEs supporting 5G Core and inter-band CA                                |
| 8.1.2.1.5.3 | NR CA / RRC reconfiguration / SCell addition /<br>modification / release / Success / Intra-band<br>non-contiguous CA                  | Rel-15         | C43       | UEs supporting 5G Core and intra-band non-<br>contiguous CA             |
| 8.1.3       | Measurement configuration control and reporting   |                |           |   |
| 8.1.3.1     | Intra NR measurements   |                |           |   |
| 8.1.3.1.1   | Measurement configuration control and   | Rel-15         | C21       | UEs supporting 5G Core  |
|             | reporting / Intra NR measurements / Event A1 / Event A2   |                |           |   |
| 8.1.3.1.2   | Measurement configuration control and<br>reporting / Event A3 / Measurement of<br>Neighbour NR cell / Intra-frequency<br>measurements | Rel-15         | C21       | UEs supporting 5G Core  |
| 8.1.3.1.3   | Measurement configuration control and<br>reporting / Event A3 / Measurement of<br>Neighbour NR cell / Inter-frequency<br>measurements | Rel-15         | C21       | UEs supporting 5G Core  |
| 8.1.3.1.4   | Measurement configuration control and<br>reporting / Event A3 / Measurement of<br>Neighbour NR cell / Inter-band measurements         | Rel-15         | C94       | UEs supporting 5G Core and multiple NR bands                            |
| 8.1.3.1.5   | Measurement configuration control and   | Rel-15         | C21       | UEs supporting 5G Core  |
|             | reporting / Event A4 / Measurement of   | ļ              | ļ         |   |

| Clause       | TC Title   | Release |           | Applicability  |
|--------------|--|---------|-----------|--|
|              |  |         | Condition | Comment  |
|              | Neighbour NR cell / Intra-frequency measurements   |         |           |  |
| 8.1.3.1.6    | Measurement configuration control and<br>reporting / Event A4 / Measurement of<br>Neighbour NR cell / Inter-frequency<br>measurements  | Rel-15  | C21       | UEs supporting 5G Core   |
| 8.1.3.1.7    | Measurement configuration control and<br>reporting / Event A4 / Measurement of<br>Neighbour NR cell / Inter-band measurements  | Rel-15  | C94       | UEs supporting 5G Core and multiple NR bands   |
| 8.1.3.1.8    | Measurement configuration control and<br>reporting / Event A5 / Measurement of<br>Neighbour NR cell / Intra-frequency<br>measurements  | Rel-15  | C21       | UEs supporting 5G Core   |
| 8.1.3.1.9    | Measurement configuration control and<br>reporting / Event A5 / Measurement of<br>Neighbour NR cell / Inter-frequency<br>measurements  | Rel-15  | C21       | UEs supporting 5G Core   |
| 8.1.3.1.10   | Measurement configuration control and<br>reporting / Event A5 / Measurement of<br>Neighbour NR cell / Inter-band measurements  | Rel-15  | C94       | UEs supporting 5G Core and multiple NR bands   |
| 8.1.3.1.11   | Measurement configuration control and<br>reporting / Intra NR measurements / Two<br>simultaneous events A3 (intra and inter-<br>frequency measurements) / RSRQ based<br>measurements | Rel-15  | C21       | UEs supporting 5GCore  |
| 8.1.3.1.12   | Measurement configuration control and<br>reporting / Intra NR measurements / Two<br>simultaneous events A5 (intra and inter-<br>frequency measurements) / SINR based<br>measurements | Rel-15  | C40       | UEs supporting 5G Core and SS-SINR measurements  |
| 8.1.3.1.13   | Measurement configuration control and<br>reporting / SS/PBCH block based / CSI-RS<br>based intra-frequency measurements /<br>Measurement of Neighbour NR cell                        | Rel-15  | C52       | UEs supporting 5G Core and NR measurements<br>and Event A triggered reporting and (NR Intra-<br>frequency and Inter frequency measurements<br>and at least periodical reporting) and CSI-RSRP<br>and CSI-RSRQmeasurement |
| 8.1.3.1.14   | Void   |         |           |  |
| 8.1.3.1.14A  | Measurement configuration control and<br>reporting / SS/PBCH block based / CSI-RS<br>based inter-frequency measurements /<br>Measurement of Neighbour NR cell                        | Rel-15  | C52       | UEs supporting 5G Core and NR measurements<br>and Event A triggered reporting and (NR Intra-<br>frequency and Inter frequency measurements<br>and at least periodical reporting) and CSI-RSRP<br>and CSI-RSRQmeasurement |
| 8.1.3.1.15   | Void   | _       |           |  |
| 8.1.3.1.15A  | Measurement configuration control and<br>reporting / Intra NR measurements /<br>Blacklisting   | Rel-15  | C21       | UEs supporting 5G Core   |
| 8.1.3.1.16   | Measurement configuration control and<br>reporting / Intra NR measurements /<br>Whitelisting   | Rel-15  | C21       | UEs supporting 5G Core   |
| 8.1.3.1.17   | NR CA / Measurement configuration control<br>and reporting / Intra NR measurements /<br>Event A6   |         |           |  |
| 8.1.3.1.17.1 | NR CA / Measurement configuration control<br>and reporting / Intra NR measurements / Event<br>A6 / Intra-band Contiguous CA  | Rel-15  | C41       | UEs supporting 5G Core and intra-band contiguous CA  |
| 8.1.3.1.17.2 | NR CA / Measurement configuration control<br>and reporting / Intra NR measurements / Event<br>A6 / Inter-band CA   | Rel-15  | C42       | UEs supporting 5G Core and inter-band CA   |
| 8.1.3.1.17.3 | NR CA / Measurement configuration control<br>and reporting / Intra NR measurements / Event<br>A6 / Intra-band non-Contiguous CA  | Rel-15  | C43       | UEs supporting 5G Core and intra-band non-<br>contiguous CA  |
| 8.1.3.1.18   | NR CA / Measurement configuration control<br>and reporting / Intra NR measurements /<br>Additional measurement reporting   |         |           |  |
| 8.1.3.1.18.1 | NR CA / Measurement configuration control<br>and reporting / Intra NR measurements /<br>Additional measurement reporting / Intra-band<br>Contiguous CA                               | Rel-15  | C41       | UEs supporting 5G Core and intra-band contiguous CA  |
| 8.1.3.1.18.2 | NR CA / Measurement configuration control<br>and reporting / Intra NR measurements /<br>Additional measurement reporting / Inter-band<br>CA  | Rel-15  | C42       | UEs supporting 5G Core and inter-band CA   |
| 8.1.3.1.18.3 | NR CA / Measurement configuration control<br>and reporting / Intra NR measurements /   | Rel-15  | C43       | UEs supporting 5G Core and intra-band non-<br>contiguous CA  |

| Clause                 | TC Title   | Release |           | Applicability   |
|------------------------|--|---------|-----------|---|
|                        |  |         | Condition | Comment   |
|                        | Additional measurement reporting / Intra-band non-Contiguous CA  |         |           |   |
| 8.1.3.1.20             | Measurement configuration control and<br>reporting / Measurement Gaps / gapFR1   | Rel-15  | C49       | UE supporting 5G Core and two independent<br>measurement gap configurations for FR1 and<br>FR2  |
| 8.1.3.1.21             | Measurement configuration control and reporting / Measurement Gaps / gapFR2  | Rel-15  | C49       | UE supporting 5G Core and two independent measurement gap configurations for FR1 and  |
|                        |  |         |           | FR2   |
| 8.1.3.1.23             | Measurement configuration control and<br>reporting / Intra NR measurements /<br>Continuation of the measurements after RRC<br>Resume                 | Rel-15  | C21       | UEs supporting 5G Core  |
| 8.1.3.2                | Inter-RAT measurements   |         |           |   |
| 8.1.3.2.1              | Measurement configuration control and<br>reporting / Inter-RAT measurements / Event B1<br>/ Measurement of E-UTRA cells                              | Rel-15  | C31       | UEs supporting 5G Core and Inter-RAT E-UTRA<br>measurements and Event B triggered reporting   |
| 8.1.3.2.2              | Measurement configuration control and<br>reporting / Inter-RAT measurements / Event B2<br>/ Measurement of E-UTRA cells                              | Rel-15  | C31       | UEs supporting 5G Core and Inter-RAT E-UTRA<br>measurements and Event B triggered reporting   |
| 8.1.3.2.3              | Measurement configuration control and<br>reporting / Inter-RAT measurements / Event B2<br>/ Measurement of E-UTRA cells / RSRQ based<br>measurements | Rel-15  | C31       | UEs supporting 5G Core and Inter-RAT E-UTRA<br>measurements and Event B triggered reporting   |
| 8.1.3.2.4              | Measurement configuration control and<br>reporting / Inter-RAT measurements / Event B2<br>/ Measurement of E-UTRA cells / SINR based<br>measurements | Rel-15  | C50       | UEs supporting 5G Core and Inter-RAT E-UTRA<br>measurements and Event B triggered reporting<br>and SS-SINR measurements   |
| 8.1.3.2.5              | Void   |         |           |   |
| 8.1.3.2.6              | Measurement configuration control and<br>reporting / Inter-RAT measurements / Event B1<br>/ NR to UTRA   | Rel-16  | C127      | UEs supporting 5G Core and UTRA and NR to<br>UTRA-FDD CELL_DCH CS handover  |
| 8.1.3.2.7              | Measurement configuration control and<br>reporting / Inter-RAT measurements / Event B2<br>/ NR to UTRA   | Rel-16  | C127      | UEs supporting 5G Core and UTRA and NR to<br>UTRA-FDD CELL_DCH CS handover  |
| 8.1.3.2.8              | Measurement configuration control and<br>reporting / Inter-RAT measurements / Periodic<br>reporting / NR to UTRA                                     | Rel-16  | C127      |   |
| 8.1.3.3                | Measurement for self-optimized networks  |         |           |   |
| 8.1.3.3.1              | Measurement configuration control and<br>reporting / CGI reporting of NR cell  | Rel-15  | C59       | UEs supporting 5G Core and Support<br>acquisition of relevant information from a<br>neighbouring intra-frequency or inter-frequency<br>NR cell by reading the SI of the neighbouring<br>cell and reporting the acquired information to the<br>network as specified in TS 38.331 [9] when EN-<br>DC is not configured. |
| 8.1.3.3.2              | Measurement configuration control and<br>reporting / CGI reporting of E-UTRA cell  | Rel-15  | C60       | UEs supporting 5G Core and Support<br>acquisition of relevant information from a<br>neighbouring E-UTRA cell by reading the SI of<br>the neighbouring cell and reporting the acquired<br>information to the network as specified in TS<br>38.331 [9] when the EN-DC is not configured.                                |
| 8.1.4<br>8.1.4.1       | Handover<br>Intra NR handover  |         |           |   |
| 8.1.4.1.1              | Void   |         |           |   |
| 8.1.4.1.2              | Intra NR handover / Success / Inter-frequency  | Rel-15  | C21       | UEs supporting 5G Core  |
| 8.1.4.1.3              | Void   |         |           |   |
| 8.1.4.1.4<br>8.1.4.1.5 | Void<br>Intra NR handover / Failure / Re-establishment<br>successful   | Rel-15  | C21       | UEs supporting 5G Core  |
| 8.1.4.1.6              | Intra NR handover / Failure / Re-establishment failure   | Rel-15  | C21       | UEs supporting 5G Core  |
| 8.1.4.1.7              | NR CA / Intra NR handover / Success / PCell<br>Change and SCell addition / SCell release   |         |           |   |
| 8.1.4.1.7.1            | NR CA / Intra NR handover / Success / PCell<br>Change and SCell addition / SCell release /<br>Intra-band Contiguous CA                               | Rel-15  | C41       | UEs supporting 5G Core and intra-band<br>contiguous CA  |
| 8.1.4.1.7.2            | NR CA / Intra NR handover / Success / PCell<br>Change and SCell addition / SCell release /<br>Inter-band CA  | Rel-15  | C42       | UEs supporting 5G Core and inter-band CA  |
| 8.1.4.1.7.3            | NR CA / Intra NR handover / Success / PCell<br>Change and SCell addition / SCell release /<br>Intra-band non-contiguous CA                           | Rel-15  | C43       | UEs supporting 5G Core and intra-band non-<br>contiguous CA   |

| Change / S8.1.4.1.8.1NR CA / Int<br>Change / S8.1.4.1.8.2NR CA / Int<br>Change / S8.1.4.1.8.3NR CA / Int<br>Change / S8.1.4.1.9NR CA / Int<br>establishme8.1.4.1.9.1NR CA / Int<br>establishme8.1.4.1.9.2NR CA / Int<br>establishme8.1.4.1.9.3NR CA / Int<br>establishme8.1.4.1.9.2NR CA / Int<br>establishme8.1.4.1.9.3NR CA / Int<br>establishme8.1.4.1.9.3NR CA / Int<br>establishme8.1.4.1.9.3Inter-RAT I<br>Success8.1.4.2.1Inter-RAT I<br>Success8.1.4.2.1.2Inter-RAT I<br>Success8.1.4.2.1.3Inter-RAT I<br>Success8.1.4.2.1.4Inter-RAT I<br>Success8.1.4.2.2.1Inter-RAT I<br>Success8.1.4.3.1DAPS hand<br>Success8.1.4.3.1DAPS hand<br>Success8.1.4.3.1DAPS hand<br>Success8.1.4.4.3Conditiona<br>A3+A58.1.4.4.3Conditiona<br>A3+A58.1.5.1UE capabil<br>Short mess<br>RRC_CON8.1.5.2SI change<br>Short mess<br>RRC_IDLE8.1.5.3.1PWS notific<br>RRC_INAC<br>RRC_INAC<br>Short mess<br>RRC_CON8.1.5.3.4PWS notific<br>RRC_INAC<br>RRC_INAC<br>Short mess<br>RRC_CON8.1.5.3.4Counter ct  | TC Title   | Release |           | Applicability  |
|--|--|---------|-----------|--|
| Change / S8.1.4.1.8.1NR CA / Int<br>Change / S8.1.4.1.8.2NR CA / Int<br>Change / S8.1.4.1.8.3NR CA / Int<br>Change / S8.1.4.1.9NR CA / Int<br>establishme8.1.4.1.9.1NR CA / Int<br>establishme8.1.4.1.9.2NR CA / Int<br>establishme8.1.4.1.9.3NR CA / Int<br>establishme8.1.4.1.9.1NR CA / Int<br>establishme8.1.4.1.9.2NR CA / Int<br>establishme8.1.4.1.9.3NR CA / Int<br>establishme8.1.4.1.9.3NR CA / Inter-RAT I<br>success8.1.4.2.1Inter-RAT I<br>Success8.1.4.2.1.1Inter-RAT I<br>Success8.1.4.2.1.2Inter-RAT I<br>Success8.1.4.2.1.1Inter-RAT I<br>Success8.1.4.2.1.2Inter-RAT I<br>Success8.1.4.2.1Inter-RAT I<br>Success8.1.4.2.2.1Inter-RAT I<br>Success8.1.4.3.1DAPS hand<br>Success8.1.4.3.1DAPS hand<br>Success8.1.4.3.1DAPS hand<br>Success8.1.4.3.1DAPS hand<br>Success8.1.4.4.2Conditiona<br>A3+A58.1.5.1UE capabil<br>Success8.1.5.2SI change<br>Short mess<br>RRC_CON8.1.5.3.1PWS notific<br>RRC_INAC<br>Short mess<br>RRC_CON8.1.5.3.2PWS notific<br>RRC_INAC<br>Success8.1.5.3.4PWS notific<br>RRC_CON8.1.5.3.4PWS notific<br>RRC_CON8.1.5.3.4PWS notific<br>RRC_CON  |  |         | Condition | Comment  |
| Change / S<br>Contiguous8.1.4.1.8.2NR CA / Int<br>Change / S8.1.4.1.8.3NR CA / Int<br>Change / S<br>contiguous8.1.4.1.9NR CA / Int<br>establishme8.1.4.1.9.1NR CA / Int<br>establishme8.1.4.1.9.2NR CA / Int<br>establishme8.1.4.1.9.3NR CA / Int<br>establishme8.1.4.1.9.3NR CA / Int<br>establishme8.1.4.1.9.3NR CA / Int<br>establishme8.1.4.1.9.3NR CA / Int<br>establishme8.1.4.1.9.3Inter-RAT I<br>Success8.1.4.2.1Inter-RAT I<br>Success8.1.4.2.1.2Inter-RAT I<br>Success8.1.4.2.1.4Inter-RAT I<br>Success8.1.4.2.2.1Inter-RAT I<br>Success8.1.4.2.2.1Inter-RAT I<br>Success8.1.4.3.1DAPS hand<br>Success8.1.4.3.1DAPS hand<br>Success8.1.4.3.1DAPS hand<br>Success8.1.4.3.1DAPS hand<br>Success8.1.4.3.1DAPS hand<br>Success8.1.4.3.1DAPS hand<br>Success8.1.4.3.1DAPS hand<br>Success8.1.4.3.2Conditiona<br>A3+A58.1.4.4.3Conditiona<br>Success8.1.5.1UE capabil<br>Short mess<br>RRC_CON8.1.5.2SI change<br>Short mess<br>RRC_IDLE8.1.5.3.1PWS notific<br>RRC_IDLE8.1.5.3.2PWS notific<br>RRC_INAC<br>Short mess<br>RRC_CON8.1.5.3.4PWS notific<br>RRC_INAC<br>Short mess<br>RRC_CON8.1.5.3.4PWS notific<br>RRC_CON8.1.5.4Counter ct<br>Counter ct | ntra NR handover / Success / PCell<br>SCell no Change  |         |           |  |
| Change / S8.1.4.1.8.3NR CA / Int<br>Change / S<br>contiguous8.1.4.1.9NR CA / Int<br>establishme<br>  | ntra NR handover / Success / PCell<br>SCell no Change / Intra-band<br>ıs CA                                    | Rel-15  | C41       | UEs supporting 5G Core and intra-band<br>contiguous CA   |
| Change / S<br>contiguous8.1.4.1.9NR CA / Intestablishme<br>establishme<br>Contiguous8.1.4.1.9.1NR CA / Intestablishme<br>establishme<br>stablishme8.1.4.1.9.2NR CA / Intestablishme<br>establishme<br>contiguous8.1.4.1.9.3NR CA / Intestablishme<br>establishme<br>contiguous8.1.4.1.9.3NR CA / Intestablishme<br>  | ntra NR handover / Success / PCell<br>SCell no Change / Inter-band CA  | Rel-15  | C42       | UEs supporting 5G Core and inter-band CA   |
| 8.1.4.1.9       NR CA / Intestablishme         8.1.4.1.9.1       NR CA / Intestablishme         8.1.4.1.9.2       NR CA / Intestablishme         8.1.4.1.9.2       NR CA / Intestablishme         8.1.4.1.9.3       NR CA / Intestablishme         contiguous       stablishme         8.1.4.2       Inter-RAT I         8.1.4.2.1       Inter-RAT I         8.1.4.2.1.2       Inter-RAT I         8.1.4.2.1       Inter-RAT I         Success       State         8.1.4.3       DAPS hand         8.1.4.4.1       Conditiona         8.1.4.3       DAPS hand         8.1.4.4.1       Conditiona         A1.4.4.2       Conditiona         8.1.5.1       UE capabil   | ntra NR handover / Success / PCell<br>SCell no Change / Intra-band non-<br>s CA                                | Rel-15  | C43       | UEs supporting 5G Core and intra-band non-<br>contiguous CA  |
| establishme<br>Contiguous<br>8.1.4.1.9.2 NR CA / Int<br>establishme<br>8.1.4.1.9.3 NR CA / Int<br>establishme<br>8.1.4.2 Inter-RAT I<br>8.1.4.2.1 Inter-RAT I<br>8.1.4.2.1.1 Inter-RAT I<br>8.1.4.2.1.2 Inter-RAT I<br>8.1.4.2.2 Inter-RAT I<br>8.1.4.2.2 Inter-RAT I<br>8.1.4.2.2.1 Inter-RAT I<br>8.1.4.3.1 DAPS hand<br>8.1.4.3.1 DAPS hand<br>8.1.4.3.1 DAPS hand<br>8.1.4.3.1 DAPS hand<br>8.1.4.3.1 DAPS hand<br>8.1.4.3.1 DAPS hand<br>8.1.4.3.1 DAPS hand<br>8.1.4.3.2 Conditiona<br>A3+A5<br>8.1.4.4.1 Conditiona<br>A3+A5<br>8.1.4.4.2 Conditiona<br>bandover of<br>8.1.5.1 UE capabil<br>8.1.5.2 SI change<br>8.1.5.2 SI change<br>8.1.5.2 SI change<br>8.1.5.3.1 PWS notific<br>RRC_IDLE<br>8.1.5.3.2 PWS notific<br>RRC_INAC<br>8.1.5.3.3 PWS notific<br>RRC_INAC<br>8.1.5.3.4 PWS notific<br>RRC_CON<br>8.1.5.3.4 PWS notific<br>RRC_CON<br>8.1.5.3.4 PWS notific<br>RRC_CON<br>8.1.5.3.4 PWS notific<br>RRC_CON   | ntra NR handover / Failure / Re-<br>ment successful  |         |           |  |
| establishme         8.1.4.1.9.3       NR CA / Intestablishme         contiguous         8.1.4.2       Inter-RAT I         8.1.4.2.1       Inter-RAT I         8.1.4.2.1.2       Inter-RAT I         8.1.4.2.1.2       Inter-RAT I         8.1.4.2.1.2       Inter-RAT I         8.1.4.2.1.1       Inter-RAT I         8.1.4.2.1.2       Inter-RAT I         8.1.4.2.1.1       Inter-RAT I         8.1.4.2.2       Inter-RAT I         8.1.4.2.2.1       Inter-RAT I         8.1.4.2.2.1       Inter-RAT I         8.1.4.2.2.1       Inter-RAT I         8.1.4.3.1       DAPS hand         8.1.4.3       Conditiona         handover of       8.1.4.4.3         Saltandover of       8.1.4.4.3         Saltandover of       8.1.5.1         UE capabil       8.1.5.1.1         UE capabil       8.1.5.1.1         UE capabil       8.1.5.2.2         SI change       Short mess         RRC_IDLE       RRC_INAC   | ntra NR handover / Failure / Re-<br>nent successful / Intra-band<br>ıs CA                                      | Rel-15  | C41       | UEs supporting 5G Core and intra-band contiguous CA  |
| establishme<br>contiguous8.1.4.28.1.4.2.18.1.4.2.1.2Inter-RAT ISuccessS8.1.4.2.1.2Inter-RAT I8.1.4.2.2Inter-RAT I8.1.4.2.2.1Inter-RAT I8.1.4.2.2.1Inter-RAT I8.1.4.2.2.1Inter-RAT I8.1.4.3.1DAPS hand8.1.4.3.1DAPS hand8.1.4.3.1DAPS hand8.1.4.4Conditiona8.1.4.4.1Conditiona8.1.4.4.2ConditionaA3+A5S8.1.4.4.3Conditionahandover dS8.1.5.1UE capabil8.1.5.2SI change8.1.5.2.1Void8.1.5.3.1PWS notific<br>RRC_IDLE8.1.5.3.2PWS notific<br>RRC_IDLE8.1.5.3.3PWS notific<br>RRC_INAC8.1.5.3.4PWS notific<br>RRC_CON8.1.5.3.4PWS notific<br>RRC_CON8.1.5.4Counter ch  | ntra NR handover / Failure / Re-<br>nent successful / Inter-band CA  | Rel-15  | C42       | UEs supporting 5G Core and inter-band CA   |
| 8.1.4.2.1         Inter-RAT I           8.1.4.2.1.1         Inter-RAT h           Success         8.1.4.2.1.2           Inter-RAT h         Success           8.1.4.2.1.2         Inter-RAT h           Success         8.1.4.2.2           Inter-RAT h         Success           8.1.4.2.2         Inter-RAT h           Success         8.1.4.2.2           Inter-RAT h         Success           8.1.4.2.2.1         Inter-RAT h           Success         Success           8.1.4.3.1         DAPS hand           8.1.4.3.1         DAPS hand           8.1.4.3.1         DAPS hand           8.1.4.3.1         DAPS hand           8.1.4.4.2         Conditiona           A3+A5         Sinter Same           8.1.4.4.2         Conditiona           A1.4.4.3         Conditiona           A1.4.4.3         Conditiona           B.1.5.1         UE capabil           8.1.5.2         SI change           8.1.5.2         SI change           8.1.5.2         SI change           Short mess         RRC_CON           8.1.5.3.1         PWS notific           RRC_INAC         RRC_INAC  | ntra NR handover / Failure / Re-<br>nent successful / Intra-band non-<br>s CA                                  | Rel-15  | C43       | UEs supporting 5G Core and intra-band non-<br>contiguous CA  |
| 8.1.4.2.1.1         Inter-RAT h<br>Success           8.1.4.2.1.2         Inter-RAT h<br>Success           8.1.4.2.2         Inter-RAT h<br>Success           8.1.4.2.2         Inter-RAT h<br>Success           8.1.4.2.2.1         Inter-RAT h<br>Success           8.1.4.2.2.1         Inter-RAT h<br>Success           8.1.4.3         DAPS hand           8.1.4.3.1         DAPS hand           8.1.4.3.1         DAPS hand           8.1.4.3.1         DAPS hand           8.1.4.3.1         DAPS hand           8.1.4.4.2         Conditiona<br>A3+A5           8.1.4.4.1         Conditiona<br>handover d           8.1.4.4.2         Conditiona<br>handover d           8.1.5.1         UE capabil           8.1.5.2         SI change           8.1.5.2         SI change /<br>Short mess<br>RRC_CON           8.1.5.3.1         PWS notific<br>RRC_IDLE           8.1.5.3.2         PWS notific<br>RRC_INAC           8.1.5.3.3         PWS notific<br>RRC_CON           8.1.5.3.4         PWS notific<br>dedicatedS           8.1.5.4         Counter cf  |  |         |           |  |
| Success           8.1.4.2.1.2         Inter-RAT h<br>Success           8.1.4.2.2         Inter-RAT h<br>Success           8.1.4.2.2.1         Inter-RAT h<br>Success           8.1.4.2.2.1         Inter-RAT h<br>Success           8.1.4.3.1         DAPS hand           8.1.4.3.1         DAPS hand           8.1.4.3.1         DAPS hand           8.1.4.3.1         DAPS hand           8.1.4.3.4         DAPS hand           8.1.4.4.3         Conditiona           8.1.4.4.1         Conditiona           8.1.4.4.2         Conditiona           8.1.4.4.3         Conditiona           8.1.5.1         UE capabil           8.1.5.1         UE capabil           8.1.5.2         SI change           8.1.5.2         SI change           8.1.5.2         SI change           8.1.5.2         SI change           8.1.5.3.1         PWS notific           RRC_IDLE         Short mess<br>RRC_IDLE           8.1.5.3.2         PWS notific           RRC_INAC         RRC_INAC           8.1.5.3.3         PWS notific           RRC_CON         Sh.15.3.4  | handover from NR   | <b></b> | 0.7.7     |  |
| Success           8.1.4.2.2         Inter-RAT I           8.1.4.2.2.1         Inter-RAT I           Success         Success           8.1.4.2.2.1         Inter-RAT I           Success         Success           8.1.4.2.2.1         Inter-RAT I           Success         Success           8.1.4.3.1         DAPS hand           8.1.4.3.1         DAPS hand           8.1.4.3.1         DAPS hand           8.1.4.3.1         DAPS hand           8.1.4.4.1         Conditiona           A3+A5         S.1.4.4.2           S.1.4.4.3         Conditiona           handover of         S.1.4.4.3           Conditiona         Sandover of           8.1.5.1         UE capabil           8.1.5.2         SI change           8.1.5.2         SI change           8.1.5.2.1         Void           8.1.5.2         SI change           8.1.5.3.1         PWS notific           RRC_IDLE         Short mess           RRC_IDLE         Sant mess           RRC_IDLE         RRC_INAC           8.1.5.3.2         PWS notific           RRC_INAC         RRC           Santificanteds  | handover / From NR to E-UTRA /   | Rel-15  | C32       | UEs supporting 5G Core and E-UTRA  |
| 8.1.4.2.2.1         Inter-RAT h<br>Success           8.1.4.3         DAPS hand           8.1.4.3.1         DAPS hand           8.1.4.4.3         Conditiona           A3+A5         A3+A5           8.1.4.4.2         Conditiona           handover of         8.1.4.4.3           Conditiona         A3+A5           8.1.5         RRC other           8.1.5.1         UE capabil           8.1.5.2         SI change           8.1.5.2.1         Void           8.1.5.2.2         SI change /<br>Short mess<br>RRC_CON           8.1.5.3         PWS notific<br>RRC_IDLE           8.1.5.3.1         PWS notific<br>RRC_INAC           8.1.5.3.2         PWS notific<br>RRC_INAC           8.1.5.3.3         PWS notific<br>RRC_CON           8.1.5.3.4         PWS notific<br>RRC_CON   | handover / From NR to EN-DC /  | Rel-16  | C96       | UEs supporting 5G Core and EN-DC and inter-<br>RAT Handover from NR to EN-DC   |
| 8.1.4.3.1       DAPS hand         8.1.4.3.4       DAPS hand         8.1.4.3.4       DAPS hand         8.1.4.4       Conditiona         8.1.4.4.1       Conditiona         A3+A5       A3+A5         8.1.4.4.2       Conditiona         handover of       B.1.4.4.3         Conditiona       handover of         8.1.4.4.3       Conditiona         handover of       B.1.5.1         B.1.5.1       UE capabil         8.1.5.2       SI change         8.1.5.2       SI change /         8.1.5.2       SI change /         Short mess<br>RRC_CON       Short mess<br>RRC_IDLE         8.1.5.3       PWS notific         RRC_IDLE       RRC_INAC         8.1.5.3.3       PWS notific         RRC_CON       RRC_CON         8.1.5.3.4       PWS notific         R1.5.3.4       PWS notific  | handover to NR<br>handover / From E-UTRA to NR /   | Rel-15  | C99       | UEs supporting 5GS and E-UTRA and (inter-<br>RAT Handover to NR FR1 TDD from EUTRA<br>connected to EPC or inter-RAT Handover to NR<br>FR1 FDD from EUTRA connected to EPC or<br>inter-RAT Handover to NR FR2 TDD from<br>EUTRA connected to EPC) |
| 8.1.4.3.1       DAPS hand         8.1.4.3.4       DAPS hand         8.1.4.3.4       DAPS hand         8.1.4.4       Conditiona         A3+A5       A3+A5         8.1.4.4.1       Conditiona         handover of       A3+A5         8.1.4.4.2       Conditiona         handover of       B.1.4.4.3         Conditiona       handover of         8.1.5.1       UE capabil         8.1.5.1       UE capabil         8.1.5.2       SI change         8.1.5.2.1       Void         8.1.5.2       SI change / Short mess         RRC_CON       Short mess         RRC_IDLE       RRC_IDLE         8.1.5.3.1       PWS notific         RRC_IDLE       RRC_INAC         8.1.5.3.3       PWS notific         RRC_CON       RRC_CON         8.1.5.3.4       PWS notific         RRC_CON       Sh.15.3.4   | ndover   |         |           |  |
| 8.1.4.4         Conditiona           8.1.4.4.1         Conditiona           A3+A5         A3+A5           8.1.4.4.2         Conditiona           handover of         A3+A5           8.1.4.4.3         Conditiona           handover of         Bandover of           8.1.4.4.3         Conditiona           handover of         Bandover of           8.1.5         RRC other           8.1.5         RRC other           8.1.5.1         UE capabil           8.1.5.2         SI change           8.1.5.2.1         Void           8.1.5.2.2         SI change /<br>Short mess<br>RRC_CON           8.1.5.2.1         Void           8.1.5.2.2         SI change /<br>Short mess<br>RRC_IDLE           8.1.5.3.1         PWS notific<br>RRC_IDLE           8.1.5.3.2         PWS notific<br>RRC_INAC           8.1.5.3.3         PWS notific<br>RRC_CON           8.1.5.3.4         PWS notific<br>RRC_CON           8.1.5.4         Counter ct  | ndover / Success / Intra-frequency   | Rel-16  | C101      | UEs supporting 5G Core and intra-frequency<br>DAPS handover  |
| 8.1.4.4.1       Conditiona         A3+A5       A3+A5         8.1.4.4.2       Conditiona         handover of       Bandover of         8.1.4.4.3       Conditiona         handover of       Bandover of         8.1.4.4.3       Conditiona         handover of       Bandover of         8.1.5       RRC other         8.1.5       I UE capabil         8.1.5.1       UE capabil         8.1.5.2       SI change         8.1.5.2.1       Void         8.1.5.2.2       SI change /<br>Short mess<br>RRC_CON         8.1.5.3       PWS notific         RRC_IDLE       RRC_IDLE         8.1.5.3.2       PWS notific         RRC_INAC       RRC_INAC         8.1.5.3.3       PWS notific         RRC_CON       RRC_CON         8.1.5.3.4       PWS notific         RRC_CON       RRC_CON  | ndover / Success / Inter-frequency   | Rel-16  | C130      | UEs supporting 5G Core and inter-frequency<br>DAPS handover  |
| A3+A58.1.4.4.2Conditional<br>handover of8.1.4.4.3Conditional8.1.4.4.3Conditional8.1.5RRC other8.1.5UE capabil8.1.5.1UE capabil8.1.5.2SI change8.1.5.2.1Void8.1.5.2.2SI change /<br>Short mess<br>RRC_CON8.1.5.3.1PWS notific<br>RRC_IDLE8.1.5.3.2PWS notific<br>RRC_INAC8.1.5.3.3PWS notific<br>RRC_CON8.1.5.3.4PWS notific<br>RRC_CON8.1.5.3.4Counter ch  | nal handover   |         |           |  |
| handover of         8.1.4.4.3       Conditional         8.1.5       RRC other         8.1.5.1       UE capabil         8.1.5.1       UE capabil         8.1.5.2       SI change         8.1.5.2.1       Void         8.1.5.2.2       SI change /<br>Short mess<br>RRC_CON         8.1.5.3.1       PWS notific<br>RRC_IDLE         8.1.5.3.2       PWS notific<br>RRC_INAC         8.1.5.3.3       PWS notific<br>RRC_CON         8.1.5.3.4       PWS notific<br>RRC_CON         8.1.5.3.4       PWS notific<br>Counter ch  | al handover / Success / A3 / A5 /  | Rel-16  | C116      | UEs supporting 5G Core and conditional<br>handover and supporting 2 trigger events for<br>same execution condition   |
| 8.1.5         RRC other           8.1.5.1         UE capabil           8.1.5.1         UE capabil           8.1.5.2         SI change           8.1.5.2         SI change           8.1.5.2.1         Void           8.1.5.2.2         SI change /<br>Short mess<br>RRC_CON           8.1.5.3         PWS notific           8.1.5.3.1         PWS notific           8.1.5.3.2         PWS notific           RRC_IDLE         RRC_INAC           8.1.5.3.3         PWS notific           RRC_CON         RRC_CON           8.1.5.3.4         PWS notific           R1.5.3.4         PWS notific           R1.5.3.4         Counter cf   | al handover / modify conditional   | Rel-16  | C115      | UEs supporting 5G Core and conditional<br>handover   |
| 8.1.5.1         UE capabil           8.1.5.1.1         UE Capabil           8.1.5.2         SI change           8.1.5.2.1         Void           8.1.5.2.2         SI change /<br>Short mess<br>RRC_CON           8.1.5.3.1         PWS notific<br>RRC_IDLE           8.1.5.3.2         PWS notific<br>RRC_IDLE           8.1.5.3.3         PWS notific<br>RRC_CON           8.1.5.3.4         PWS notific<br>RRC_CON           8.1.5.3.4         PWS notific<br>RRC_CON           8.1.5.3.4         PWS notific<br>RC dedicatedS           8.1.5.4         Counter ch   | al handover / Failure  | Rel-16  | C117      | UEs supporting 5G Core and conditional<br>handover and conditional handover during re-<br>establishment procedure when the selected cell<br>is configured as candidate cell for condition<br>handover  |
| 8.1.5.1.1       UE Capabil         8.1.5.2       SI change         8.1.5.2.1       Void         8.1.5.2.2       SI change /<br>Short mess<br>RRC_CON         8.1.5.3       PWS notific<br>RRC_IDLE         8.1.5.3.2       PWS notific<br>RRC_IDLE         8.1.5.3.3       PWS notific<br>RRC_INAC         8.1.5.3.3       PWS notific<br>RRC_CON         8.1.5.3.4       PWS notific<br>RRC_CON         8.1.5.3.4       PWS notific<br>RRC_CON         8.1.5.3.4       Counter cf   |  |         |           |  |
| 8.1.5.2         SI change           8.1.5.2.1         Void           8.1.5.2.2         SI change /<br>Short mess<br>RRC_CON           8.1.5.3         PWS notific<br>RRC_IDLE           8.1.5.3.2         PWS notific<br>RRC_INAC           8.1.5.3.3         PWS notific<br>RRC_CON           8.1.5.3.4         PWS notific<br>RRC_CON           8.1.5.3.4         PWS notific<br>RRC_CON           8.1.5.3.4         PWS notific<br>RCCT           8.1.5.3.4         PWS notific<br>RCCT   | bility transfer  | Del 15  | 001       |  |
| 8.1.5.2.1       Void         8.1.5.2.2       SI change /<br>Short mess<br>RRC_CON         8.1.5.3       PWS notific<br>RRC_IDLE         8.1.5.3.2       PWS notific<br>RRC_INAC         8.1.5.3.3       PWS notific<br>RRC_INAC         8.1.5.3.4       PWS notific<br>RRC_CON         8.1.5.3.4       PWS notific<br>RCCIDLE         8.1.5.3.4       PWS notific<br>RCCICN         8.1.5.3.4       PWS notific<br>Counter ch  | bility transfer / Success<br>e / On-demand SIB   | Rel-15  | C21       | UEs supporting 5G Core   |
| 8.1.5.2.2       SI change /<br>Short mess<br>RRC_CON         8.1.5.3       PWS notific<br>RRC_IDLE         8.1.5.3.1       PWS notific<br>RRC_IDLE         8.1.5.3.2       PWS notific<br>RRC_INAC         8.1.5.3.3       PWS notific<br>RRC_CON         8.1.5.3.4       PWS notific<br>RRC_CON         8.1.5.3.4       PWS notific<br>dedicatedS         8.1.5.4       Counter ch  |  |         |           |  |
| 8.1.5.3     PWS notifie       8.1.5.3.1     PWS notifie       RRC_IDLE       8.1.5.3.2     PWS notifie       RRC_INAC       8.1.5.3.3     PWS notifie       RRC_CON       8.1.5.3.4     PWS notifie       dedicatedS       8.1.5.4     Counter ch  | <ul> <li>/ Notification of BCCH modification /<br/>ssage for SI update in NR</li> <li>NNECTED state</li> </ul> | Rel-15  | R         | UEs supporting 5GS   |
| 8.1.5.3.1       PWS notific         RRC_IDLE         8.1.5.3.2       PWS notific         RRC_INAC         8.1.5.3.3       PWS notific         RRC_CON         8.1.5.3.4       PWS notific         dedicatedS         8.1.5.4       Counter ch  |  |         |           |  |
| RRC_INAC<br>8.1.5.3.3 PWS notific<br>RRC_CON<br>8.1.5.3.4 PWS notific<br>dedicatedS<br>8.1.5.4 Counter ch  | fication / PWS reception in NR<br>E state  | Rel-15  | C35       | UEs supporting 5G Core and (ETWS reception<br>or CMAS reception)   |
| RRC_CON<br>8.1.5.3.4 PWS notific<br>dedicatedS<br>8.1.5.4 Counter ch   | fication / PWS reception in NR<br>CTIVE state  | Rel-15  | C111      | UEs supporting 5G Core and (ETWS reception<br>or CMAS reception) and RRC_INACTIVE  |
| dedicatedS<br>8.1.5.4 Counter ch   | fication / PWS reception in NR<br>NNECTED state  | Rel-15  | C35       | UEs supporting 5G Core and (ETWS reception<br>or CMAS reception)   |
|  | fication / PWS reception using<br>SystemInformationDelivery  | Rel-15  | C35       | UEs supporting 5G Core and (ETWS reception<br>or CMAS reception)   |
|  |  |         |           |  |
| message by   |  | Rel-15  | C21       | UEs supporting 5G Core   |
| 8.1.5.5 Redirection  |  | Del 45  | 001       |  |
| 8.1.5.5.1 Redirection<br>8.1.5.6 Radio link  | on to NR / From E-UTRA / Success   | Rel-15  | C21       | UEs supporting 5G Core   |

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| 8.1.5.6.1                       | Radio link failure / RRC connection re-<br>establishment success   | Rel-15  | C21       | UEs supporting 5G Core  |
| 8.1.5.6.2<br>8.1.5.6.3          | Void<br>Radio link failure / T311 expiry   | Rel-15  | C21       | UEs supporting 5G Core  |
| 8.1.5.6.4                       | Void   | Rel-15  | 621       |   |
| 8.1.5.6.5                       | NR CA / No Radio Link Failure on SCell /<br>RRC Connection Continues on Pcell  |         |           |   |
| 8.1.5.6.5.1                     | NR CA / No Radio Link Failure on SCell / RRC<br>Connection Continues on PCell / Intra-band<br>Contiguous CA                      | Rel-15  | C41       | UEs supporting 5G Core and intra-band contiguous CA   |
| 8.1.5.6.5.2                     | NR CA / No Radio Link Failure on SCell / RRC<br>Connection Continues on PCell / Inter-band CA                                    | Rel-15  | C42       | UEs supporting 5G Core and inter-band CA  |
| 8.1.5.6.5.3                     | NR CA / No Radio Link Failure on SCell / RRC<br>Connection Continues on PCell / Intra-band<br>non-Contiguous CA                  | Rel-15  | C43       | UEs supporting 5G Core and intra-band non-<br>contiguous CA   |
| 8.1.5.7                         | Failure information  |         |           |   |
| 8.1.5.7.1                       | Failure information / RLC failure / MCG  |         |           |   |
| 8.1.5.7.1.1                     | Failure information / RLC failure / MCG / Intra-<br>band Contiguous CA   | Rel-15  | C72       | UEs supporting 5G Core and intra-band<br>contiguous CA and CA-based PDCP duplication<br>over MCG or SCG DRB   |
| 8.1.5.7.1.2                     | Failure information / RLC failure / MCG / Inter-<br>band CA  | Rel-15  | C73       | UEs supporting 5G Core and inter-band<br>contiguous CA and CA-based PDCP duplication<br>over MCG or SCG DRB   |
| 8.1.5.7.1.3                     | Failure information / RLC failure / MCG / Intra-<br>band non Contiguous CA   | Rel-15  | C74       | UEs supporting 5G Core and intra-band non-<br>contiguous CA and CA-based PDCP duplication<br>over MCG or SCG DRB  |
| 8.1.5.8                         | Processing delay   | Del 45  | 004       |   |
| 8.1.5.8.1                       | Processing delay / RRC_Idle to<br>RRC_Connected / RRC_Inactive to<br>RRC_Connected / Success / Latency check                     | Rel-15  | C21       | UEs supporting 5G Core  |
| 8.1.5.8.2                       | Processing delay / RRC_Inactive to<br>RRC_Connected / Success / Latency check /<br>SCell addition                                |         |           |   |
| 8.1.5.8.2.1                     | Processing delay / RRC_Inactive to<br>RRC_Connected / Success / Latency check /<br>SCell addition / Intra-band Contiguous CA     | Rel-15  | C41       | UEs supporting 5G Core and intra-band contiguous CA   |
| 8.1.5.8.2.2                     | Processing delay / RRC_Inactive to<br>RRC_Connected / Success / Latency check /<br>SCell addition / Inter-band CA                | Rel-15  | C42       | UEs supporting 5G Core and inter-band CA  |
| 8.1.5.8.2.3                     | Processing delay / RRC_Inactive to<br>RRC_Connected / Success / Latency check /<br>SCell addition / Intra-band non-Contiguous CA | Rel-15  | C43       | UEs supporting 5G Core and intra-band non-<br>contiguous CA   |
| 8.1.5.9                         | RACS / UL Message Segment transfer   |         |           |   |
| 8.1.5.9.1                       | RACS / UL Message Segment transfer /<br>UECapabilityInformation  | Rel-16  | C129      | UEs supporting 5G Core and RRC message<br>Segmentation in the UL and support of test<br>function for using a preconfigured UE<br>capability container over NR |
| 8.1.6                           | SON and MDT support for NR   |         |           |   |
| 8.1.6.1                         | Intra NR MDT   |         |           |   |
| <b>8.1.6.1.1</b><br>8.1.6.1.1.1 | Immediate MDT<br>Immediate MDT / Measurement reporting /<br>Location information   | Rel-16  | C121      | UEs supporting 5G Core and standalone GNSS receiver to provide detailed location information  |
| 8.1.6.1.1.2                     | Immediate MDT / Measurement / Latency<br>metrics for UL PDCP Packet Delay per DRB  | Rel-16  | C122      | UEs supporting 5G Core and UL PDCP Packet<br>Delay per DRB  |
| 8.1.6.1.2                       | Logged MDT   |         |           |   |
| 8.1.6.1.2.1                     | Logged MDT / RRC_IDLE / Logging and reporting / Intra-frequency measurement  | Rel-16  | C123      | UEs supporting 5G core and logged<br>measurements in RRC_IDLE and<br>RRC_INACTIVE.  |
| 8.1.6.1.2.2                     | Logged MDT / RRC_INACTIVE / Logging and reporting / Inter-frequency measurement  | Rel-16  | C125      | UEs supporting 5G core and RRC_INACTIVE<br>and logged measurements in RRC_IDLE and<br>RRC_INACTIVE.   |
| 8.1.6.1.2.3                     | Logged MDT / RRC_IDLE / Logging and reporting / Limiting area scope  | Rel-16  | C123      | UEs supporting 5G core and logged<br>measurements in RRC_IDLE and<br>RRC_INACTIVE.  |
| 8.1.6.1.2.4                     | logged MDT/ RRC_IDLE / Logging and reporting / periodic measurement trigger  | Rel-16  | C123      | UEs supporting 5G core and logged<br>measurements in RRC_IDLE and<br>RRC_INACTIVE.  |
| 8.1.6.1.2.5                     | logged MDT/ RRC_IDLE / Logging and reporting / event-based trigger   | Rel-16  | C123      | UEs supporting 5G core and logged<br>measurements in RRC_IDLE and<br>RRC_INACTIVE.  |
| 8.1.6.1.2.6                     | logged MDT/ RRC_IDLE / Logging and<br>reporting / event-based trigger / out-of-<br>coverage                                      | Rel-16  | C123      | UEs supporting 5G core and logged<br>measurements in RRC_IDLE and<br>RRC_INACTIVE.  |

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| 8.1.6.1.2.7                     | Logged MDT / RRC_IDLE / Logging and<br>reporting / Reporting at NR re-establishment  | Rel-16           | C123       | UEs supporting 5G core and logged<br>measurements in RRC_IDLE and<br>RRC_INACTIVE.   |
| 8.1.6.1.2.8                     | Logged MDT / Logging and reporting /<br>Reporting at RRC reconfiguration   | Rel-16           | C123       | UEs supporting 5G core and logged<br>measurements in RRC_IDLE and<br>RRC_INACTIVE.   |
| 8.1.6.1.2.9                     | Logged MDT / Location information  | Rel-16           | C124       | UEs supporting 5G core and logged<br>measurements in RRC_IDLE and<br>RRC_INACTIVE and equipped with a GNSS<br>receiver to provide detailed location information.   |
| 8.1.6.1.2.10                    | Logged MDT / Maintaining logged<br>measurement configuration / UE mobility   | Rel-16           | C123       | UEs supporting 5G core and logged<br>measurements in RRC_IDLE and<br>RRC_INACTIVE.   |
| 8.1.6.1.2.11                    | Logged MDT / Maintaining logged<br>measurement configuration / UE state<br>transitions   | Rel-16           | C123       | UEs supporting 5G core and logged<br>measurements in RRC_IDLE and<br>RRC_INACTIVE.   |
| 8.1.6.1.2.12                    | Logged MDT / Release of logged MDT<br>measurement configuration / Expire of duration<br>timer                                  | Rel-16           | C123       | UEs supporting 5G core and logged<br>measurements in RRC_IDLE and<br>RRC_INACTIVE.   |
| 8.1.6.1.2.13                    | Logged MDT / Release of logged MDT<br>measurement configuration / Reception of new<br>logged measurement configuration         | Rel-16           | C123       | UEs supporting 5G core and logged<br>measurements in RRC_IDLE and<br>RRC_INACTIVE.   |
| 8.1.6.1.3                       | Radio Link Failure report  |                  |            |  |
| 8.1.6.1.3.1<br>8.1.6.1.3.2      | Radio Link Failure / Reporting of Intra-<br>frequency measurements<br>Radio Link Failure / Reporting of Inter-                 | Rel-16<br>Rel-16 | C21<br>C21 | UEs supporting 5G Core<br>UEs supporting 5G Core   |
| 8.1.6.1.3.2                     | frequency measurements<br>Radio Link Failure / Reporting at RRC  | Rel-16           | C21        | UEs supporting 5G Core   |
| 0                               | connection establishment and reestablishment   |                  |            |  |
| 8.1.6.1.3.4                     | Radio Link Failure / Reporting at NR handover  | Rel-16           | C21        | UEs supporting 5G Core   |
| 8.1.6.1.3.5                     | Radio Link Failure / Location information  | Rel-16           | C121       | UEs supporting 5G Core and standalone GNSS receiver to provide detailed location information   |
| 8.1.6.1.3.6                     | Radio Link Failure / RACH failure report   | Rel-16           | C21        | UEs supporting 5G Core   |
| 8.1.6.1.3.7<br><b>8.1.6.1.4</b> | Radio Link Failure / Logging and reporting /<br>Reporting at intra NR handover / PLMN list<br>Connection Establishment Failure | Rel-16           | C21        | UEs supporting 5G Core   |
| 8.1.6.1.4.1                     | Connection Establishment Failure / Logging<br>and reporting / T300 expiry  | Rel-16           | C126       | UEs supporting 5G Core, NR measurements<br>and CEF (Connection Establishment Failure)<br>logging and reporting.  |
| 8.1.6.1.4.2                     | Connection Establishment Failure / Logging<br>and reporting / RRC Resume   | Rel-16           | C126       | UEs supporting 5G Core, NR measurements<br>and CEF (Connection Establishment Failure)<br>logging and reporting.  |
| 8.1.6.1.4.3                     | Connection Establishment Failure / Logging<br>and reporting / Reporting at intra-NR handover                                   | Rel-16           | C21        | UEs supporting 5G Core.  |
| 8.1.6.1.4.4                     | Connection Establishment Failure / Logging<br>and reporting / Reporting at RRC connection<br>re-establishment                  | Rel-16           | C21        | UEs supporting 5G Core.  |
| 8.1.6.1.4.5                     | Connection Establishment Failure / Logging<br>and reporting / Location Information   | Rel-16           | C126       | UEs supporting 5G Core and equipped with a GNSS or A-GNSS receiver to provide detailed location information.   |
| 8.1.6.1.4.6                     | Connection Establishment Failure / Logging<br>and reporting / Reporting of Intra-frequency<br>measurements                     | Rel-16           | C21        | UEs supporting 5G Core   |
| 8.1.6.1.4.7                     | Connection Establishment Failure / Logging<br>and reporting / Reporting of Inter-frequency<br>measurements                     | Rel-16           | C21        | UEs supporting 5G Core.  |
| 8.1.6.1.4.8                     | Connection Establishment Failure / Logging<br>and reporting / RACH failure report  | Rel-16           | C136       | UEs supporting 5G Core and delivery of rachReport upon request from the network, NR measurements and CEF (Connection Establishment Failure) logging and reporting. |
| 8.1.6.2                         | Inter-RAT MDT  |                  |            |  |
| 8.1.6.3                         | Inter-System MDT   |                  | +          |  |
| 8.1.6.3.1<br>8.1.6.3.1.1        | Inter-System MDT / Immediate MDT<br>Inter-System MDT / Immediate MDT /<br>Measurement reporting / Bluetooth                    | Rel-16           | C140       | UEs supporting 5G core and Blluetooth<br>Measurement Collection in Immediate MDT   |
| 8.1.6.3.1.2                     | measurement collection<br>Inter-System MDT / Immediate MDT /<br>Measurement reporting / WLAN measurement<br>collection         | Rel-16           | C141       | UEs supporting 5G core and WLAN<br>Measurement Collection in Immediate MDT   |
| 8.1.6.3.1.3                     | Inter-System MDT / Immediate MDT /<br>Measurement reporting / sensor information   | Rel-16           | C139       | UEs supporting 5G Core and collection of sensor<br>information such as Barometeric pressure, UE  |

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|                             |   |         |           | speed, and UE orientation information as defined in TS 37.355.   |
| 8.1.6.3.2                   | Inter-System MDT / Logged MDT   | _       |           |  |
| 8.1.6.3.2.1                 | Inter-System MDT / Logged MDT / Logging and reporting / Bluetooth measurement collection                          | Rel-16  | C137      | UEs supporting 5G coer and Bluetooth<br>measurements in RRC_IDLE and<br>RRC_INACTIVE state   |
| 8.1.6.3.2.2                 | Inter-System MDT / Logged MDT / Logging and reporting / WLAN measurement collection                               | Rel-16  | C138      | UEs supporting 5G coer and WLAN<br>measurements in RRC_IDLE and<br>RRC_INACTIVE state  |
| 8.1.6.3.2.3                 | Inter-System MDT / Logged MDT / Logging and reporting / sensor information  | Rel-16  | C139      | UEs supporting 5G Core and collection of sensor<br>information such as Barometeric pressure, UE<br>speed, and UE orientation information as defined<br>in TS 37.355. |
| 8.1.6.3.3                   | Inter-System MDT / Radio Link Failure   | _       |           |  |
| 8.1.6.3.3.1                 | Inter-System MDT / Radio Link Failure /<br>Logging and reporting / Bluetooth<br>measurement collection            | Rel-16  | C137      | UEs supporting 5G coer and Bluetooth<br>measurements in RRC_IDLE and<br>RRC_INACTIVE state   |
| 8.1.6.3.3.2                 | Inter-System MDT / Radio Link Failure /<br>Logging and reporting / WLAN measurement<br>collection                 | Rel-16  | C138      | UEs supporting 5G coer and WLAN<br>measurements in RRC_IDLE and<br>RRC_INACTIVE state  |
| 8.1.6.3.3.3                 | Inter-System MDT / Radio Link Failure /<br>Logging and reporting / sensor information                             | Rel-16  | C139      | UEs supporting 5G Core and collection of sensor<br>information such as Barometeric pressure, UE<br>speed, and UE orientation information as defined<br>in TS 37.355. |
| 8.2                         | MR-DC RRC   |         |           |  |
| 8.2.1                       | UE Capability   |         |           |  |
| 8.2.1.1                     | UE capability transfer / Success  |         | -         |  |
| 8.2.1.1.1                   | UE capability transfer / Success / EN-DC  | Rel-15  | C01       | UEs supporting EN-DC   |
| 8.2.1.2<br><b>8.2.2</b>     | Void<br>Radio Bearer Addition, Modification and<br>Release  |         |           |  |
| 8.2.2.1                     | Radio Bearer Addition, Modification and<br>Release / SRB  |         |           |  |
| 8.2.2.1.1                   | SRB3 Establishment, Reconfiguration and<br>Release / NR addition, modification and<br>release / EN-DC             | Rel-15  | C22       | UEs supporting EN-DC and SRB3  |
| 8.2.2.1.2                   | SRB3 Establishment, Reconfiguration and<br>Release / NR addition, modification and<br>release / NR-DC             | Rel-15  | C86       | UEs supporting NR-DC and SRB3  |
| 8.2.2.2                     | Split SRB Establishment and Release   |         |           |  |
| 8.2.2.2.1                   | Split SRB Establishment and Release / EN-DC   | Rel-15  | C61       | UEs supporting EN-DC and PDCP duplication<br>over split SRB1/2   |
| 8.2.2.3                     | Simultaneous SRB3 and Split SRB /<br>Sequential message flow on SRB3 and Split<br>SRB                             |         |           |  |
| 8.2.2.3.1                   | Simultaneous SRB3 and Split SRB / Sequential<br>message flow on SRB3 and Split SRB with one<br>UL path / EN-DC    | Rel-15  | C23       | UEs supporting EN-DC and SRB3 and (UL<br>transmission via either MCG path or SCG path<br>for the split SRB)  |
| 8.2.2.4                     | PSCell Addition, Modification and Release /<br>SCG DRB  |         |           |  |
| 8.2.2.4.1                   | PSCell addition, modification and release /<br>SCG DRB / EN-DC  | Rel-15  | C01       | UEs supporting EN-DC   |
| 8.2.2.4.2                   | PSCell addition, modification and release /<br>SCG DRB / NR-DC  | Rel-15  | C80       | UEs supporting NR-DC   |
| <b>8.2.2.5</b><br>8.2.2.5.1 | PSCell Addition, Modification and Release /<br>Split DRB<br>PSCell addition, modification and release / Split     | Rel-15  | C01       | UEs supporting EN-DC   |
| 8.2.2.5.2                   | DRB / EN-DC<br>PSCell addition, modification and release / Split  | Rel-15  | C80       | UEs supporting NR-DC   |
| 8.2.2.6                     | DRB / NR-DC<br>Bearer Modification / MCG DRB  |         |           |  |
| 8.2.2.6.1<br><b>8.2.2.7</b> | Bearer Modification / MCG DRB / SRB / PDCP<br>version change / EN-DC<br>Bearer Modification / Handling for bearer | Rel-15  | C01       | UEs supporting EN-DC   |
| 8.2.2.7.1                   | type change without security key change<br>Bearer Modification / Handling for bearer type                         | Rel-15  | C01       | UEs supporting EN-DC   |
| 8.2.2.7.2                   | change without security key change / EN-DC<br>Bearer Modification / Handling for bearer type                      | Rel-15  | C80       | UEs supporting NR-DC   |
| 8.2.2.8                     | change without security key change / NR-DC<br>Bearer Modification / Handling for bearer                           |         |           |  |
| 0.0.0.1                     | type change with security key change  | D.1.1=  | 001       |  |
| 8.2.2.8.1                   | Bearer Modification / Handling for bearer type change with security key change / EN-DC                            | Rel-15  | C01       | UEs supporting EN-DC   |

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| 8.2.2.8.2 | Bearer Modification / Handling for bearer type<br>change with security key change / NR-DC  | Rel-15 | C80       | UEs supporting NR-DC |
| 8.2.2.9   | Bearer Modification / Uplink data path / Split<br>DRB Reconfiguration  |        |           |                      |
| 8.2.2.9.1 | Bearer Modification / Uplink data path / Split<br>DRB Reconfiguration / EN-DC  | Rel-15 | C01       | UEs supporting EN-DC |
| 8.2.2.9.2 | Bearer Modification / Uplink data path / Split<br>DRB Reconfiguration / NR-DC  | Rel-15 | C80       | UEs supporting NR-DC |
| 8.2.3     | Measurement Configuration Control and<br>Reporting / Handovers   |        |           |                      |
| 8.2.3.1   | Measurement configuration control and<br>reporting / Inter-RAT measurements / Event<br>B1 / Measurement of NR cells                              |        |           |                      |
| 8.2.3.1.1 | Measurement configuration control and<br>reporting / Inter-RAT measurements / Event B1<br>/ Measurement of NR cells / EN-DC                      | Rel-15 | C01       | UEs supporting EN-DC |
| 8.2.3.2   | Measurement configuration control and<br>reporting / Inter-RAT measurements / Event<br>B1 / Measurement of NR cells / RSRQ based<br>measurements |        |           |                      |

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| 8.2.3.2.1  | Measurement configuration control and<br>reporting / Inter-RAT measurements / Event B1<br>/ Measurement of NR cells / RSRQ based<br>measurements / EN-DC               | Rel-15  | C01       | UEs supporting EN-DC  |
| 8.2.3.3    | Measurement configuration control and<br>reporting / Inter-RAT measurements /<br>Periodic reporting / Measurement of NR<br>cells                                       |         |           |   |
| 8.2.3.3.1  | Measurement configuration control and<br>reporting / Inter-RAT measurements / Periodic<br>reporting / Measurement of NR cells / EN-DC                                  | Rel-15  | C01       | UEs supporting EN-DC  |
| 8.2.3.4    | Measurement configuration control and<br>reporting / Event A1 / Measurement of NR<br>PSCell  |         |           |   |
| 8.2.3.4.1  | Measurement configuration control and<br>reporting / Event A1 / Measurement of NR<br>PSCell / EN-DC  | Rel-15  | C13       | UEs supporting EN-DC and NR measurements<br>and Event A triggered reporting   |
| 8.2.3.5    | Measurement configuration control and<br>reporting / Event A2 / Measurement of NR<br>PSCell  |         |           |   |
| 8.2.3.5.1  | Measurement configuration control and<br>reporting / Event A2 / Measurement of NR<br>PSCell / EN-DC  | Rel-15  | C14       | UEs supporting EN-DC and NR measurements<br>and Event A triggered reporting and (NR Intra-<br>frequency and NR-Inter frequency<br>measurements and at least periodical reporting)                           |
| 8.2.3.6    | Measurement configuration control and<br>reporting / Event A3 / Measurement of<br>Neighbour NR cells   |         |           |   |
| 8.2.3.6.1  | Measurement configuration control and<br>reporting / Event A3 / Measurement of<br>Neighbour NR cells / Intra-frequency<br>measurements / EN-DC                         | Rel-15  | C14       | UEs supporting EN-DC and NR measurements<br>and Event A triggered reporting and (NR intra-<br>frequency and inter-frequency measurements<br>and at least periodical reporting)                              |
| 8.2.3.6.1a | Measurement configuration control and<br>reporting / Event A3 / Measurement of<br>Neighbour NR cell / Inter-frequency<br>measurements / EN-DC                          | Rel-15  | C14       | UEs supporting EN-DC and NR measurements<br>and Event A triggered reporting and (NR intra-<br>frequency and inter-frequency measurements<br>and at least periodical reporting)                              |
| 8.2.3.6.1b | Measurement configuration control and<br>reporting / Event A3 / Measurement of<br>Neighbour NR cell / Inter-band measurements /<br>EN-DC                               | Rel-15  | C93       | UEs supporting EN-DC and NR measurements<br>and Event A triggered reporting and (NR Intra-<br>frequency and NR-Inter frequency<br>measurements and at least periodical reporting)<br>and multiple NR bands. |
| 8.2.3.7    | Measurement configuration control and<br>reporting / Event A4 (intra-frequency, inter-<br>frequency and inter-band measurements) /<br>Measurement of Neighbour NR cell |         |           |   |
| 8.2.3.7.1  | Measurement configuration control and<br>reporting / Event A4 / Measurement of<br>Neighbour NR cell / Intra-frequency<br>measurements / EN-DC                          | Rel-15  | C14       | UEs supporting EN-DC and NR measurements<br>and Event A triggered reporting and (NR intra-<br>frequency and inter-frequency measurements<br>and at least periodical reporting)                              |
| 8.2.3.7.1a | Measurement configuration control and<br>reporting / Event A4 / Measurement of<br>Neighbour NR cell / Inter-frequency<br>measurements / EN-DC                          | Rel-15  | C14       | UEs supporting EN-DC and NR measurements<br>and Event A triggered reporting and (NR intra-<br>frequency and inter-frequency measurements<br>and at least periodical reporting)                              |
| 8.2.3.7.1b | Measurement configuration control and<br>reporting / Event A4 / Measurement of<br>Neighbour NR cell / Inter-band measurements /<br>EN-DC                               | Rel-15  | C93       | UEs supporting EN-DC and NR measurements<br>and Event A triggered reporting and (NR Intra-<br>frequency and NR-Inter frequency<br>measurements and at least periodical reporting)<br>and multiple NR bands. |
| 8.2.3.8    | Measurement configuration control and<br>reporting / Event A5 / Measurement of<br>Neighbour NR cell  |         |           |   |
| 8.2.3.8.1  | Measurement configuration control and<br>reporting / Event A5 / Measurement of<br>Neighbour NR cell / Intra-frequency<br>measurements / EN-DC                          | Rel-15  | C14       | UEs supporting EN-DC and NR measurements<br>and Event A triggered reporting and (NR intra-<br>frequency and inter-frequency measurements<br>and at least periodical reporting)                              |
| 8.2.3.8.1a | Measurement configuration control and<br>reporting / Event A5 / Measurement of<br>Neighbour NR cell / Inter-frequency<br>measurements / EN-DC                          | Rel-15  | C14       | UEs supporting EN-DC and NR measurements<br>and Event A triggered reporting and (NR intra-<br>frequency and inter-frequency measurements<br>and at least periodical reporting)                              |
| 8.2.3.8.1b | Measurement configuration control and<br>reporting / Event A5 / Measurement of<br>Neighbour NR cell / Inter-band measurements /<br>EN-DC                               | Rel-15  | C93       | UEs supporting EN-DC and NR measurements<br>and Event A triggered reporting and (NR Intra-<br>frequency and NR-Inter frequency<br>measurements and at least periodical reporting)<br>and multiple NR bands. |

| Clause                 | TC Title  | Release | Applicability |  |  |
|------------------------|---|---------|---------------|--|--|
|                        |   |         | Condition     | Comment  |  |
| 8.2.3.9                | Measurement configuration control and<br>reporting / SS/PBCH block based / CSI-RS<br>based intra-frequency measurements /<br>Measurement of Neighbour NR cell           |         |               |  |  |
| 8.2.3.9.1              | Measurement configuration control and<br>reporting / SS/PBCH block based / CSI-RS<br>based intra-frequency measurements /<br>Measurement of Neighbour NR Cell / EN-DC   | Rel-15  | C15           | UEs supporting EN-DC and NR measurements<br>and Event A triggered reporting and (NR Intra-<br>frequency and Inter frequency measurements<br>and at least periodical reporting) and CSI-RSRP<br>and CSI-RSRQ measurement              |  |
| 8.2.3.10               | Measurement configuration control and<br>reporting / SS/PBCH block based / CSI-RS<br>based inter-frequency measurements /<br>Measurement of Neighbour NR cell           |         |               |  |  |
| 8.2.3.10.1<br>8.2.3.11 | Measurement configuration control and<br>reporting / SS/PBCH block based / CSI-RS<br>based inter-frequency measurements /<br>Measurement of Neighbour NR Cell / EN-DC   | Rel-15  | C15           | UEs supporting EN-DC and NR measurements<br>and Event A triggered reporting and (NR Intra-<br>frequency and Inter frequency measurements)<br>and CSI-RSRP and CSI-RSRQ measurement   |  |
| 8.2.3.11               | Measurement configuration control and<br>reporting / Measurement Gaps   |         |               |  |  |
| 8.2.3.11.1             | Measurement configuration control and<br>reporting / Measurement Gaps / NR FR1 / EN-<br>DC  | Rel-15  | C24           | UEs supporting EN-DC and (NR intra-frequency<br>and inter-frequency measurements and at least<br>periodical reporting) and (two independent<br>measurement gap configurations for FR1 and<br>FR2) and Inter-Band EN-DC within FR1    |  |
| 8.2.3.11.2             | Measurement configuration control and<br>reporting / Measurement Gaps / NR FR2 / EN-<br>DC  | Rel-15  | C25           | UEs supporting EN-DC and (NR intra-frequency<br>and inter-frequency measurements and at least<br>periodical reporting) and (two independent<br>measurement gap configurations for FR1 and<br>FR2) and Inter-Band EN-DC including FR2 |  |
| 8.2.3.12               | Measurement configuration control and<br>reporting / Inter-RAT measurements / Event<br>B2 / Measurement of NR cells   |         |               |  |  |
| 8.2.3.12.1             | Measurement configuration control and<br>reporting / Inter-RAT measurements / Event B2<br>/ Measurement of NR cells / EN-DC   | Rel-15  | C01           | UEs supporting EN-DC   |  |
| 8.2.3.13               | PCell Handover with SCG change /  |         |               |  |  |
| 8.2.3.13.1             | Reconfiguration with sync / SCG DRB           PCell Handover with SCG change /           Reconfiguration with sync / SCG DRB / EN-DC                                    | Rel-15  | C01           | UEs supporting EN-DC   |  |
| 8.2.3.14               | SCG change / Reconfiguration with sync /<br>Split DRB   |         |               |  |  |
| 8.2.3.14.1             | SCG change / Reconfiguration with sync / Split<br>DRB / EN-DC   | Rel-15  | C01           | UEs supporting EN-DC   |  |
| 8.2.3.14.2             | SCG change / Reconfiguration with sync / Split<br>DRB / NR-DC   | Rel-15  | C80           | UEs supporting NR-DC   |  |
| 8.2.3.15               | Measurement configuration control and<br>reporting / Two simultaneous events A2 and<br>A3 (intra-frequency measurements) /<br>Measurement of Neighbour NR cells         |         |               |  |  |
| 8.2.3.15.1             | Measurement configuration control and<br>reporting / Two simultaneous events A2 and A3<br>(intra-frequency measurements) /<br>Measurement of Neighbour NR cells / EN-DC | Rel-15  | C14           | UEs supporting EN-DC and NR measurements<br>and Event A triggered reporting and (NR Intra-<br>frequency and NR-Inter frequency<br>measurements and at least periodical reporting)  |  |
| 8.2.3.16               | Measurement configuration control and reporting / SRB3  |         |               |  |  |
| 8.2.3.16.1             | Measurement configuration control and<br>reporting / SRB3 / Intra NR measurements /<br>EN-DC  | Rel-15  | C71           | UEs supporting EN-DC and SRB3 and NR intra-<br>frequency and inter-frequency measurements<br>and at least periodical reporting   |  |
| 8.2.3.16.2             | Measurement configuration control and<br>reporting / SRB3 / Intra NR measurements /<br>NR-DC  | Rel-15  | C87           | UEs supporting NR-DC and SRB3 and NR intra-<br>frequency and inter-frequency measurements<br>and at least periodical reporting   |  |
| 8.2.4<br>8.2.4.1       | Carrier Aggregation<br>NR CA / NR SCell addition / modification /   |         |               |  |  |
| 8.2.4.1.1              | release / Success<br>NR CA / NR SCell addition / modification /   |         |               |  |  |
| 8.2.4.1.1.1            | release / Success / EN-DC           NR CA / NR SCell addition / modification /<br>release / Success / EN-DC / Intra-band<br>Contiguous CA                               | Rel-15  | C67           | UEs supporting EN-DC and Intra-Band<br>Contiguous CA   |  |
| 8.2.4.1.1.2            | NR CA / NR SCell addition / modification /<br>release / Success / EN-DC / Intra-band non-<br>Contiguous CA  | Rel-15  | C68           | UEs supporting EN-DC and Intra-Band Non-<br>Contiguous CA  |  |
| 8.2.4.1.1.3            | NR CA / NR SCell addition / modification /<br>release / Success / EN-DC / Inter-band CA   | Rel-15  | C69           | UEs supporting EN-DC and Inter-Band CA   |  |

| Clause                      | TC Title  | Release | -         | Applicability   |  |
|-----------------------------|---|---------|-----------|---|--|
|                             |   |         | Condition | Comment   |  |
| 8.2.4.2                     | NR CA / Simultaneous PSCell and SCell<br>addition / PSCell and SCell change / CA<br>Release                         |         |           |   |  |
| 8.2.4.2.1                   | NR CA / Simultaneous PSCell and SCell<br>addition / PSCell and SCell change / CA<br>Release / EN-DC                 |         |           |   |  |
| 8.2.4.2.1.1                 | NR CA / Simultaneous PSCell and SCell<br>addition / PSCell and SCell change / CA                                    | Rel-15  | C67       | UEs supporting EN-DC and Intra-Band<br>Contiguous CA  |  |
| 8.2.4.2.1.2                 | Release / EN-DC / Intra-band Contiguous CA<br>NR CA / Simultaneous PSCell and SCell                                 |         | C68       | UEs supporting EN-DC and Intra-Band Non-  |  |
|                             | addition / PSCell and SCell change / CA<br>Release / EN-DC / Intra-band non-Contiguous<br>CA                        | Rel-15  |           | Contiguous CA   |  |
| 8.2.4.2.1.3                 | NR CA / Simultaneous PSCell and SCell<br>addition / PSCell and SCell change / CA<br>Release / EN-DC / Inter-band CA | Rel-15  | C69       | UEs supporting EN-DC and Inter-Band CA  |  |
| 8.2.4.3                     | NR CA / SCell change / Intra-NR<br>measurement event A6 / SRB3  |         |           |   |  |
| 3.2.4.3.1                   | NR CA / SCell change / Intra-NR<br>measurement event A6 / SRB3 / EN-DC  |         |           |   |  |
| 8.2.4.3.1.1                 | NR CA / SCell change / Intra-NR measurement<br>event A6 / SRB3 / EN-DC / Intra-band<br>Contiguous CA                | Rel-15  | C55       | UEs supporting EN-DC and NR measurements<br>and Event A triggered reporting and intra-band<br>contiguous CA             |  |
| 8.2.4.3.1.2                 | NR CA / SCell change / Intra-NR measurement<br>event A6 / SRB3 / EN-DC / Intra-band non-<br>Contiguous CA           | Rel-15  | C57       | UEs supporting EN-DC and NR measurements<br>and Event A triggered reporting and intra-band<br>non-contiguous CA         |  |
| 8.2.4.3.1.3                 | NR CA / SCell change / Intra-NR measurement<br>event A6 / SRB3 / EN-DC / Inter-band CA                              | Rel-15  | C56       | UEs supporting EN-DC and NR measurements<br>and Event A triggered reporting and inter-band<br>CA                        |  |
| 8.2.5                       | Reconfiguration Failure / Radio link failure  |         |           |   |  |
| <b>3.2.5.1</b><br>3.2.5.1.1 | Radio link failure / PSCell addition failure<br>Radio link failure / Random access problem /                        | Rel-15  | C01       | UEs supporting EN-DC  |  |
| 8.2.5.1.2                   | EN-DC<br>Radio link failure / Random access problem /<br>NR-DC  | Rel-15  | C80       | UEs supporting NR-DC  |  |
| 8.2.5.2                     | Radio link failure / PSCell out of sync<br>indication   |         |           |   |  |
| 8.2.5.2.1                   | Radio link failure / PSCell out of sync indication / EN-DC  | Rel-15  | C01       | UEs supporting EN-DC  |  |
| 8.2.5.2.2                   | Radio link failure / PSCell out of sync indication<br>/ NR-DC   | Rel-15  | C80       | UEs supporting NR-DC  |  |
| <b>8.2.5.3</b><br>8.2.5.3.1 | Radio link failure / rlc-MaxNumRetx failure<br>Radio link failure / rlc-MaxNumRetx failure /                        | Rel-15  | C01       | UEs supporting EN-DC  |  |
| 8.2.5.3.2                   | EN-DC<br>Radio link failure / rlc-MaxNumRetx failure /<br>NR-DC   | Rel-15  | C80       | UEs supporting NR-DC  |  |
| 3.2.5.4                     | Reconfiguration failure / SCG change failure  |         |           |   |  |
| 8.2.5.4.1                   | Reconfiguration failure / SCG change failure / EN-DC  | Rel-15  | C01       | UEs supporting EN-DC  |  |
| 3.2.5.4.2                   | Reconfiguration failure / SCG change failure / NR-DC  | Rel-15  | C80       | UEs supporting NR-DC  |  |
| <b>8.2.5.5</b><br>8.2.5.5.1 | Reconfiguration failure / SCG<br>Reconfiguration failure / SRB3<br>Void   |         |           |   |  |
| 8.2.5.6                     | Reconfiguration failure / SCG<br>Reconfiguration failure / SRB1   |         |           |   |  |
| 8.2.5.6.1                   | Void  |         |           |   |  |
| 3.2.6                       | MR-DC RRC others  |         |           |   |  |
| 3.2.6.1                     | Failure information / RLC failure / SCG   |         |           |   |  |
| 3.2.6.1.1                   | Failure information / RLC failure / SCG / EN-<br>DC   |         |           |   |  |
| 3.2.6.1.1.1                 | Failure information / RLC failure / SCG / EN-<br>DC / Intra-band Contiguous CA                                      | Rel-15  | C75       | UEs supporting EN-DC and SRB3 and intra-<br>band contiguous CA and CA-based PDCP<br>duplication over MCG or SCG DRB     |  |
| 8.2.6.1.1.2                 | Failure information / RLC failure / SCG / EN-<br>DC / Inter-band CA   | Rel-15  | C76       | UEs supporting EN-DC and SRB3 and inter-<br>band CA and CA-based PDCP duplication over<br>MCG or SCG DRB                |  |
| 8.2.6.1.1.3                 | Failure information / RLC failure / SCG / EN-<br>DC / Intra-band non Contiguous CA                                  | Rel-15  | C77       | UEs supporting EN-DC and SRB3 and intra-<br>band non-contiguous CA and CA-based PDCP<br>duplication over MCG or SCG DRB |  |
| 8.2.6.1.2                   | Failure information / RLC failure / SCG / NR-<br>DC   |         |           |   |  |

| Clause      | TC Title   | Release | Applicability |   |  |
|-------------|--|---------|---------------|---|--|
|             |  |         | Condition     | Comment   |  |
| 8.2.6.1.2.1 | Failure information / RLC failure / SCG / NR-<br>DC / Intra-band Contiguous CA     | Rel-15  | C88           | UEs supporting NR-DC and SRB3 and intra-<br>band contiguous CA and CA-based PDCP<br>duplication over MCG or SCG DRB     |  |
| 8.2.6.1.2.2 | Failure information / RLC failure / SCG / NR-<br>DC / Inter-band CA                | Rel-15  | C89           | UEs supporting NR-DC and SRB3 and inter-<br>band CA and CA-based PDCP duplication over<br>MCG or SCG DRB                |  |
| 8.2.6.1.2.3 | Failure information / RLC failure / SCG / NR-<br>DC / Intra-band non Contiguous CA | Rel-15  | C90           | UEs supporting NR-DC and SRB3 and intra-<br>band non-contiguous CA and CA-based PDCP<br>duplication over MCG or SCG DRB |  |
| 8.2.6.2     | Processing delay   |         |               |   |  |
| 8.2.6.2.1   | Processing delay / PSCell addition / SCG DRB<br>/ Success / Latency check / EN-DC  | Rel-15  | C01           | UEs supporting EN-DC  |  |

Table 4.1-3b: Additional Information of Applicability of Protocol conformance RRC test cases, ref. TS38.523-1 [2]

| Clause                | Specific ICS               | Specific IXIT  | Number of TC<br>Executions   | Release other RAT |
|-----------------------|----------------------------|--|--|-------------------|
| 8.1.1                 |                            |  |  |                   |
| 8.1.1.1               |                            |  |  |                   |
| 8.1.1.1.1             | pc_inactiveState           |  |  |                   |
| 8.1.1.1.2<br>8.1.1.3  | pc_inactiveState           |  |  |                   |
| 8.1.1.3.2             |                            |  |  | Rel-15 E-UTRA     |
| 8.1.1.3.4             |                            |  |  | Rel-15 E-UTRA     |
| 8.1.3                 |                            |  |  |                   |
| 8.1.3.1               |                            |  |  |                   |
| 8.1.3.1.2             |                            |  |  |                   |
| 8.1.3.1.3             |                            |  | If 8.1.3.1.2 is executed<br>this test case is optional<br>(Note 2)               |                   |
| 8.1.3.1.4             |                            |  | If 8.1.3.1.2 or 8.1.3.1.3<br>is executed this test<br>case is optional (Note 2)  |                   |
| 8.1.3.1.5             |                            |  | If 8.1.3.1.6 is executed this test case is optional                              |                   |
| 8.1.3.1.6             |                            |  | (Note 2)   |                   |
| 8.1.3.1.7             |                            |  | If 8.1.3.1.5 or 8.1.3.1.6<br>is executed this test<br>case is optional (Note 2)  |                   |
| 8.1.3.1.8             |                            |  | If 8.1.3.1.9 or 8.1.3.1.10<br>is executed this test<br>case is optional (Note 2) |                   |
| 8.1.3.1.9             |                            |  | If 8.1.3.1.10 is executed<br>this test case is optional<br>(Note 2)              |                   |
| 8.1.3.1.10            |                            |  |  |                   |
| 8.1.3.1.23<br>8.1.3.2 | pc_inactiveState           |  |  |                   |
| 8.1.3.2.6             |                            |  |  | Rel-16 UTRA       |
| 8.1.3.2.7             |                            |  |  | Rel-16 UTRA       |
| 8.1.4                 |                            |  |  |                   |
| 8.1.4.1               |                            |  |  |                   |
| 8.1.4.1.2             |                            | px_NAS_5GC_CipheringAlgo<br>rithm<br>px_NAS_5GC_IntegrityAlgo<br>rithm |  |                   |
| 8.1.4.2               |                            |  |  |                   |
| 8.1.4.2.1             |                            |  |  |                   |
| 8.1.4.2.1.1           |                            |  |  | Rel-15 E-UTRA     |
| 8.1.4.2.1.2           |                            |  |  | Rel-16 EN-DC      |
| 8.1.4.2.2             |                            |  |  |                   |
| 8.1.4.2.2.1<br>8.1.5  |                            |  |  | Rel-15 E-UTRA     |
| 8.1.5.7               |                            |  |  |                   |
| 8.1.5.7.1             |                            |  |  |                   |
| 8.1.5.7.1.1           |                            |  | If 8.1.5.7.1.2 or<br>8.1.5.7.1.3 is executed<br>this test case is optional       |                   |
| 8.1.5.7.1.2           |                            |  | If 8.1.5.7.1.1 or<br>8.1.5.7.1.3 is executed<br>this test case is optional       |                   |
| 8.1.5.7.1.3           |                            |  | If 8.1.5.7.1.1 or<br>8.1.5.7.1.2 is executed<br>this test case is optional       |                   |
| 8.1.5.8               |                            |  |  |                   |
| 8.1.5.8.1             | pc_inactiveState           |  |  |                   |
| 8.1.5.8.2             |                            |  | If 0 1 5 0 0 0 er  |                   |
| 8.1.5.8.2.1           | pc_inactiveState           |  | If 8.1.5.8.2.2 or<br>8.1.5.8.2.3 is executed<br>this test case is optional       |                   |
| 8.1.5.8.2.2           | pc_inactiveState           |  | If 8.1.5.8.2.1 or<br>8.1.5.8.2.3 is executed<br>this test case is optional       |                   |
| 8.1.5.8.2.3           | pc_inactiveState           |  | If 8.1.5.8.2.1 or<br>8.1.5.8.2.2 is executed<br>this test case is optional       |                   |
| 8.1.5.9               |                            |  |  |                   |
| 8.1.5.9.1             | [10] pc_Set_UE_Cap_Info_NR |  |  |                   |
| 8.1.6                 |                            |  |  |                   |

|  |  |                               | If 8.1.6.1.3.5 is executed this test case is optional.   |  |
|--|--|-------------------------------|--|--|
| 8.1.6.1.3.2<br>8.1.6.1.3.3<br>8.1.6.1.3.4<br>8.1.6.1.3.5<br>8.1.6.1.3.6<br>8.1.6.1.3.7<br>8.2.1<br>8.2.2<br>8.2.2.1<br>8.2.2.1.1<br>8.2.2.1.2<br>8.2.3 |  |                               |  |  |
| 8.1.6.1.3.3<br>8.1.6.1.3.4<br>8.1.6.1.3.5<br>8.1.6.1.3.6<br>8.1.6.1.3.7<br>8.2.1<br>8.2.2<br>8.2.2.1<br>8.2.2.1.1<br>8.2.2.1.2<br>8.2.2.1.2<br>8.2.3   |  |                               |  |  |
| 8.1.6.1.3.4<br>8.1.6.1.3.5<br>8.1.6.1.3.6<br>8.1.6.1.3.7<br>8.2.1<br>8.2.2<br>8.2.2.1<br>8.2.2.1.1<br>8.2.2.1.1<br>8.2.2.1.2<br>8.2.3                  |  |                               |  |  |
| 8.1.6.1.3.5<br>8.1.6.1.3.6<br>8.1.6.1.3.7<br>8.2.1<br>8.2.2<br>8.2.2.1<br>8.2.2.1.1<br>8.2.2.1.2<br>8.2.2.1.2<br>8.2.3                                 |  |                               |  |  |
| 8.1.6.1.3.6<br>8.1.6.1.3.7<br>8.2.1<br>8.2.2<br>8.2.2.1<br>8.2.2.1.1<br>8.2.2.1.1<br>8.2.2.1.2<br>8.2.3  |  |                               |  |  |
| 8.1.6.1.3.7<br>8.2.1<br>8.2.2<br>8.2.2.1<br>8.2.2.1.1<br>8.2.2.1.2<br>8.2.3  |  |                               |  |  |
| 8.2.1<br>8.2.2<br>8.2.2.1<br>8.2.2.1.1<br>8.2.2.1.1<br>8.2.2.1.2<br>8.2.3  |  |                               |  |  |
| 8.2.2<br>8.2.2.1<br>8.2.2.1.1<br>8.2.2.1.2<br>8.2.3  |  |                               |  |  |
| 8.2.2.1<br>8.2.2.1.1<br>8.2.2.1.2<br>8.2.3   |  |                               |  |  |
| 8.2.2.1.1<br>8.2.2.1.2<br>8.2.3  |  |                               |  |  |
| 8.2.2.1.2<br>8.2.3   |  |                               |  |  |
|  |  |                               | Only executed if test<br>case 8.2.2.3.1 is not<br>applicable (Note 1)<br>Only executed if test |  |
| 8.2.3  |  |                               | case 8.2.2.3.2 is not applicable (Note 1)  |  |
|  |  |                               |  |  |
| 8.2.3.6  |  |                               |  |  |
| 8.2.3.6.1  |  |                               |  |  |
| 8.2.3.6.1a   |  |                               | If 8.2.3.6.1 is executed<br>this test case is optional<br>(Note 3)                             |  |
| 8.2.3.6.1b   |  |                               | If 8.2.3.6.1 or 8.2.3.6.1a<br>is executed this test<br>case is optional (Note 3)               |  |
| 8.2.3.7  |  |                               |  |  |
| 8.2.3.7.1  |  |                               |  |  |
| 8.2.3.7.1a   |  |                               | If 8.2.3.7.1 is executed<br>this test case is optional<br>(Note 3)                             |  |
| 8.2.3.7.1b   |  |                               | If 8.2.3.7.1 or 8.2.3.7.1a<br>is executed this test<br>case is optional (Note 3)               |  |
| 8.2.3.8  |  |                               |  |  |
| 8.2.3.8.1  |  |                               |  |  |
| 8.2.3.8.1a   |  |                               | If 8.2.3.8.1 is executed<br>this test case is optional<br>(Note 3)                             |  |
| 8.2.3.8.1b   |  |                               | If 8.2.3.8.1 or 8.2.3.8.1a<br>is executed this test<br>case is optional (Note 3)               |  |
| 8.2.6  |  |                               |  |  |
| 8.2.6.1  |  |                               |  |  |
| 8.2.6.1.1  |  |                               |  |  |
| 8.2.6.1.1.1  |  |                               | If 8.2.6.1.1.2 or<br>8.2.6.1.1.3 is executed<br>this test case is optional                     |  |
| 8.2.6.1.1.2  |  |                               | If 8.2.6.1.1.1 or<br>8.2.6.1.1.3 is executed<br>this test case is optional                     |  |
| 8.2.6.1.1.3  |  |                               | If 8.2.6.1.1.1 or<br>8.2.6.1.1.2 is executed<br>this test case is optional                     |  |
| 8.2.6.1.2<br>8.2.6.1.2.1   |  |                               | If 8.2.6.1.2.2 or<br>8.2.6.1.2.3 is executed<br>this test case is optional                     |  |
| 8.2.6.1.2.2  |  |                               | If 8.2.6.1.2.1 or<br>8.2.6.1.2.3 is executed<br>this test case is optional                     |  |
| 8.2.6.1.2.3  |  |                               | If 8.2.6.1.2.1 or<br>8.2.6.1.2.2 is executed<br>this test case is optional                     |  |
|  | t cases 8.2.2.3.1 also verifies<br>Test case 8.2.2.3.2 and 8.2.2   |                               | ered by test case 8.2.2.1.   | 1 but it is not applicable to all              |
| Note 2: Only   | y one among the three intra-fi<br>king sure all three variants are | requency, inter-frequency a   | nd inter-band variants is  | required to be executed<br>3/A4/A5.            |
| Note 3: Only   | y intra frequency among the t<br>cuted for measurement event       | hree (intra-frequency, inter- | frequency and inter-banc   | <ol> <li>variants is required to be</li> </ol> |

# Table 4.1-4a: Applicability of Protocol conformance Mobility and Session management test cases,ref. TS 38.523-1 [2]

| Clause   | TC Title  | Release  | Applicability  |  |  |
|--|---|--|--|--|--|
|  |   |  | Condition  | Comment  |  |
| 9  | Mobility management   |  |  |  |  |
| 9.1  | 5GS mobility management   |  |  |  |  |
| <b>9.1.1</b><br>9.1.1.1  | Primary authentication and key agreement  | Pol 15   | C21  | LIEs supporting EC Core  |  |
| 9.1.1.1  | EAP based primary authentication and key<br>agreement / EAP-AKA' related procedures   | Rel-15   | 621  | UEs supporting 5G Core   |  |
| 9.1.1.2  | EAP based primary authentication and key  | Rel-15   | C21  | UEs supporting 5G Core   |  |
|  | agreement / Reject  |  |  |  |  |
| 9.1.1.3  | EAP based primary authentication and key  | Rel-15   | C21  | UEs supporting 5G Core   |  |
|  | agreement / EAP message transport /   |  |  |  |  |
| 0.4.4.4  | Abnormal  | Del 45   | 001  |  |  |
| 9.1.1.4  | 5G AKA based primary authentication and key<br>agreement / 5G-AKA related procedures  | Rel-15   | C21  | UEs supporting 5G Core   |  |
| 9.1.1.5  | 5G AKA based primary authentication and key   | Rel-15   | C21  | UEs supporting 5G Core   |  |
|  | agreement / Reject  |  | 011  |  |  |
| 9.1.1.6  | 5G AKA based primary authentication and key   | Rel-15   | C21  | UEs supporting 5G Core   |  |
|  | agreement / Abnormal  |  |  |  |  |
| 9.1.2  | Security mode control   |  |  |  |  |
| 9.1.2.1  | NAS security mode command   | Rel-15   | C21  | UEs supporting 5G Core   |  |
| 9.1.2.2<br>9.1.2.3   | Protection of initial NAS signalling messages<br>Integrity protection / Correct functionality of 5G   | Rel-15<br>Rel-15   | C21<br>C21   | UEs supporting 5G Core<br>UEs supporting 5G Core   |  |
| 0.1.2.0  | NAS integrity algorithm / SNOW3G  | 1.0-10   | 021  |  |  |
| 9.1.2.4  | Integrity protection / Correct functionality of 5G  | Rel-15   | C21  | UEs supporting 5G Core   |  |
|  | NAS integrity algorithm / AES   |  |  |  |  |
| 9.1.2.5  | Integrity protection / Correct functionality of 5G  | Rel-15   | C84  | UEs supporting 5G Core and ZUC algorithm   |  |
|  | NAS integrity algorithm / ZUC   |  |  |  |  |
| 9.1.2.6  | Ciphering and deciphering / Correct   | Rel-15   | C21  | UEs supporting 5G Core   |  |
|  | functionality of 5G NAS encryption algorithm / SNOW3G   |  |  |  |  |
| 9.1.2.7  | Ciphering and deciphering / Correct   | Rel-15   | C21  | UEs supporting 5G Core   |  |
| 0.1.2.1  | functionality of 5G NAS encryption algorithm /  |  | 021  |  |  |
|  | AES   |  |  |  |  |
| 9.1.2.8  | Ciphering and deciphering / Correct   | Rel-15   | C84  | UEs supporting 5G Core and ZUC algorithm   |  |
|  | functionality of 5G NAS encryption algorithm / ZUC  |  |  |  |  |
| 9.1.3  | Identification  |  |  |  |  |
| 9.1.3.1  | Identification procedure  | Rel-15   | C21  | UEs supporting 5G Core   |  |
| 9.1.4  | Generic UE configuration update   |  | -  |  |  |
| 9.1.4.1  | Generic UE configuration update / New 5G-   | Rel-15   | C21  | UEs supporting 5G Core   |  |
|  | GUTI, NITZ, registration requested, network   |  |  |  |  |
|  | slicing indication, new allowed NSSAI /<br>Acknowledgement from the UE  |  |  |  |  |
| 9.1.5  | Registration  |  |  |  |  |
| 9.1.5.1  | Initial registration  |  |  |  |  |
| 9.1.5.1.1  | Initial registration / Success / 5G-GUTI  | Rel-15   | C21  | UEs supporting 5G Core   |  |
|  | reallocation, last visited TAI  |  |  |  |  |
| 9.1.5.1.2  | Initial registration / 5GS services / Equivalent  | Rel-15   | C21  | UEs supporting 5G Core   |  |
| 04540  | PLMN list handling  | Del 45   | 001  |  |  |
| 9.1.5.1.3  | Initial registration / 5GS services / NSSAI handling  | Rel-15   | C21  | UEs supporting 5G Core   |  |
|  |   |  |  |  |  |
| 9.1.5 1.3a   |   | Rel-15   | C21  | UEs supporting 5G Core   |  |
| 9.1.5.1.3a   | Initial registration / 5GS services / NSSAI   | Rel-15   | C21  | UEs supporting 5G Core   |  |
| 9.1.5.1.3a<br>9.1.5.1.4  |   | Rel-15<br>Rel-15   | C21<br>C21   | UEs supporting 5G Core<br>UEs supporting 5G Core   |  |
| 9.1.5.1.4  | Initial registration / 5GS services / NSSAI<br>handling / NSSAI storage<br>Initial registration / 5GS services / MICO mode<br>/ TAI list handling   | Rel-15   | C21  | UEs supporting 5G Core   |  |
|  | Initial registration / 5GS services / NSSAI<br>handling / NSSAI storage<br>Initial registration / 5GS services / MICO mode<br>/ TAI list handling<br>Initial registration / Abnormal / Failure after 5  |  |  |  |  |
| 9.1.5.1.4<br>9.1.5.1.5   | Initial registration / 5GS services / NSSAI<br>handling / NSSAI storage<br>Initial registration / 5GS services / MICO mode<br>/ TAI list handling<br>Initial registration / Abnormal / Failure after 5<br>attempts  | Rel-15<br>Rel-15   | C21<br>C21   | UEs supporting 5G Core<br>UEs supporting 5G Core   |  |
| 9.1.5.1.4<br>9.1.5.1.5<br>9.1.5.1.6  | Initial registration / 5GS services / NSSAI<br>handling / NSSAI storage<br>Initial registration / 5GS services / MICO mode<br>/ TAI list handling<br>Initial registration / Abnormal / Failure after 5<br>attempts<br>Initial registration / Rejected / Illegal UE  | Rel-15   | C21  | UEs supporting 5G Core   |  |
| 9.1.5.1.4<br>9.1.5.1.5<br>9.1.5.1.6<br>9.1.5.1.7   | Initial registration / 5GS services / NSSAI<br>handling / NSSAI storage<br>Initial registration / 5GS services / MICO mode<br>/ TAI list handling<br>Initial registration / Abnormal / Failure after 5<br>attempts<br>Initial registration / Rejected / Illegal UE<br>Void  | Rel-15<br>Rel-15<br>Rel-15   | C21<br>C21<br>C21                                    | UEs supporting 5G Core<br>UEs supporting 5G Core<br>UEs supporting 5G Core   |  |
| 9.1.5.1.4<br>9.1.5.1.5<br>9.1.5.1.6  | Initial registration / 5GS services / NSSAI<br>handling / NSSAI storage<br>Initial registration / 5GS services / MICO mode<br>/ TAI list handling<br>Initial registration / Abnormal / Failure after 5<br>attempts<br>Initial registration / Rejected / Illegal UE<br>Void<br>Initial registration / Rejected / Serving network<br>not authorized   | Rel-15<br>Rel-15   | C21<br>C21   | UEs supporting 5G Core<br>UEs supporting 5G Core   |  |
| 9.1.5.1.4<br>9.1.5.1.5<br>9.1.5.1.6<br>9.1.5.1.7   | Initial registration / 5GS services / NSSAI<br>handling / NSSAI storage<br>Initial registration / 5GS services / MICO mode<br>/ TAI list handling<br>Initial registration / Abnormal / Failure after 5<br>attempts<br>Initial registration / Rejected / Illegal UE<br>Void<br>Initial registration / Rejected / Serving network<br>not authorized<br>Initial registration / Abnormal / Change of cell   | Rel-15<br>Rel-15<br>Rel-15   | C21<br>C21<br>C21                                    | UEs supporting 5G Core<br>UEs supporting 5G Core<br>UEs supporting 5G Core   |  |
| 9.1.5.1.4<br>9.1.5.1.5<br>9.1.5.1.6<br>9.1.5.1.7<br>9.1.5.1.8<br>9.1.5.1.9                             | Initial registration / 5GS services / NSSAI<br>handling / NSSAI storage<br>Initial registration / 5GS services / MICO mode<br>/ TAI list handling<br>Initial registration / Abnormal / Failure after 5<br>attempts<br>Initial registration / Rejected / Illegal UE<br>Void<br>Initial registration / Rejected / Serving network<br>not authorized<br>Initial registration / Abnormal / Change of cell<br>into a new tracking area   | Rel-15<br>Rel-15<br>Rel-15<br>Rel-15<br>Rel-15                     | C21<br>C21<br>C21<br>C21<br>C21<br>C21               | UEs supporting 5G Core<br>UEs supporting 5G Core<br>UEs supporting 5G Core<br>UEs supporting 5G Core<br>UEs supporting 5G Core   |  |
| 9.1.5.1.4<br>9.1.5.1.5<br>9.1.5.1.6<br>9.1.5.1.7<br>9.1.5.1.8  | Initial registration / 5GS services / NSSAI<br>handling / NSSAI storage<br>Initial registration / 5GS services / MICO mode<br>/ TAI list handling<br>Initial registration / Abnormal / Failure after 5<br>attempts<br>Initial registration / Rejected / Illegal UE<br>Void<br>Initial registration / Rejected / Serving network<br>not authorized<br>Initial registration / Abnormal / Change of cell<br>into a new tracking area<br>Initial registration / Rejected / PLMN not   | Rel-15<br>Rel-15<br>Rel-15<br>Rel-15                               | C21<br>C21<br>C21<br>C21<br>C21                      | UEs supporting 5G Core<br>UEs supporting 5G Core<br>UEs supporting 5G Core<br>UEs supporting 5G Core   |  |
| 9.1.5.1.4<br>9.1.5.1.5<br>9.1.5.1.6<br>9.1.5.1.7<br>9.1.5.1.8<br>9.1.5.1.9<br>9.1.5.1.10               | Initial registration / 5GS services / NSSAI<br>handling / NSSAI storage<br>Initial registration / 5GS services / MICO mode<br>/ TAI list handling<br>Initial registration / Abnormal / Failure after 5<br>attempts<br>Initial registration / Rejected / Illegal UE<br>Void<br>Initial registration / Rejected / Serving network<br>not authorized<br>Initial registration / Abnormal / Change of cell<br>into a new tracking area<br>Initial registration / Rejected / PLMN not<br>allowed  | Rel-15<br>Rel-15<br>Rel-15<br>Rel-15<br>Rel-15<br>Rel-15           | C21<br>C21<br>C21<br>C21<br>C21<br>C21<br>C21        | UEs supporting 5G Core<br>UEs supporting 5G Core                           |  |
| 9.1.5.1.4<br>9.1.5.1.5<br>9.1.5.1.6<br>9.1.5.1.7<br>9.1.5.1.8<br>9.1.5.1.9                             | Initial registration / 5GS services / NSSAI<br>handling / NSSAI storage<br>Initial registration / 5GS services / MICO mode<br>/ TAI list handling<br>Initial registration / Abnormal / Failure after 5<br>attempts<br>Initial registration / Rejected / Illegal UE<br>Void<br>Initial registration / Rejected / Serving network<br>not authorized<br>Initial registration / Abnormal / Change of cell<br>into a new tracking area<br>Initial registration / Rejected / PLMN not<br>allowed<br>Initial registration / Rejected / Tracking area not   | Rel-15<br>Rel-15<br>Rel-15<br>Rel-15<br>Rel-15                     | C21<br>C21<br>C21<br>C21<br>C21<br>C21               | UEs supporting 5G Core<br>UEs supporting 5G Core<br>UEs supporting 5G Core<br>UEs supporting 5G Core<br>UEs supporting 5G Core   |  |
| 9.1.5.1.4<br>9.1.5.1.5<br>9.1.5.1.7<br>9.1.5.1.7<br>9.1.5.1.8<br>9.1.5.1.9<br>9.1.5.1.10<br>9.1.5.1.11 | Initial registration / 5GS services / NSSAI<br>handling / NSSAI storage<br>Initial registration / 5GS services / MICO mode<br>/ TAI list handling<br>Initial registration / Abnormal / Failure after 5<br>attempts<br>Initial registration / Rejected / Illegal UE<br>Void<br>Initial registration / Rejected / Serving network<br>not authorized<br>Initial registration / Abnormal / Change of cell<br>into a new tracking area<br>Initial registration / Rejected / PLMN not<br>allowed<br>Initial registration / Rejected / Tracking area not<br>allowed  | Rel-15<br>Rel-15<br>Rel-15<br>Rel-15<br>Rel-15<br>Rel-15<br>Rel-15 | C21<br>C21<br>C21<br>C21<br>C21<br>C21<br>C21<br>C21 | UEs supporting 5G Core<br>UEs supporting 5G Core |  |
| 9.1.5.1.4<br>9.1.5.1.5<br>9.1.5.1.6<br>9.1.5.1.7<br>9.1.5.1.8<br>9.1.5.1.9<br>9.1.5.1.10               | Initial registration / 5GS services / NSSAI<br>handling / NSSAI storage<br>Initial registration / 5GS services / MICO mode<br>/ TAI list handling<br>Initial registration / Abnormal / Failure after 5<br>attempts<br>Initial registration / Rejected / Illegal UE<br>Void<br>Initial registration / Rejected / Serving network<br>not authorized<br>Initial registration / Abnormal / Change of cell<br>into a new tracking area<br>Initial registration / Rejected / PLMN not<br>allowed<br>Initial registration / Rejected / Tracking area not   | Rel-15<br>Rel-15<br>Rel-15<br>Rel-15<br>Rel-15<br>Rel-15           | C21<br>C21<br>C21<br>C21<br>C21<br>C21<br>C21        | UEs supporting 5G Core<br>UEs supporting 5G Core                           |  |
| 9.1.5.1.4<br>9.1.5.1.5<br>9.1.5.1.6<br>9.1.5.1.7<br>9.1.5.1.8<br>9.1.5.1.9<br>9.1.5.1.10<br>9.1.5.1.11 | Initial registration / 5GS services / NSSAI<br>handling / NSSAI storage<br>Initial registration / 5GS services / MICO mode<br>/ TAI list handling<br>Initial registration / Abnormal / Failure after 5<br>attempts<br>Initial registration / Rejected / Illegal UE<br>Void<br>Initial registration / Rejected / Serving network<br>not authorized<br>Initial registration / Abnormal / Change of cell<br>into a new tracking area<br>Initial registration / Rejected / PLMN not<br>allowed<br>Initial registration / Rejected / Tracking area not<br>allowed<br>Initial registration / Rejected / Roaming not | Rel-15<br>Rel-15<br>Rel-15<br>Rel-15<br>Rel-15<br>Rel-15<br>Rel-15 | C21<br>C21<br>C21<br>C21<br>C21<br>C21<br>C21<br>C21 | UEs supporting 5G Core<br>UEs supporting 5G Core |  |

| Clause     | TC Title   | Release        | Applicability |  |  |
|------------|--|----------------|---------------|--|--|
|            |  |                | Condition     | Comment  |  |
| 9.1.5.1.14 | Initial registration / Rejected / Congestion /<br>Abnormal cases / T3346   | Rel-15         | C21           | UEs supporting 5G Core   |  |
| 9.1.5.1.15 | Initial registration / Success / Extended and<br>spare fields in UE network capability   | Rel-15<br>only | C21           | UEs supporting 5G Core   |  |
| 9.1.5.2    | Mobility and periodic registration update  | Unity Charles  |               |  |  |
| 9.1.5.2.1  | Mobility registration update / TAI list handling   | Rel-15         | C21           | UEs supporting 5G Core   |  |
| 9.1.5.2.2  | Periodic registration update / Accepted  | Rel-15         | C21           | UEs supporting 5G Core   |  |
| 9.1.5.2.4  | Mobility registration update / The lower layer<br>requests NAS signalling connection recovery  | Rel-15         | C21           | UEs supporting 5G Core   |  |
| 9.1.5.2.5  | Void   |                |               |  |  |
| 9.1.5.2.7  | Mobility and periodic registration update /<br>Rejected / UE identity cannot be derived by the<br>network  | Rel-15         | C21           | UEs supporting 5G Core   |  |
| 9.1.5.2.8  | Mobility and periodic registration update /<br>Rejected / Implicitly de-registered   | Rel-15         | C21           | UEs supporting 5G Core   |  |
| 9.1.5.2.9  | Void   |                |               |  |  |
| 9.1.6      | De-registration  |                |               |  |  |
| 9.1.6.1    | UE-initiated de-registration   |                |               |  |  |
| 9.1.6.1.1  | UE-initiated de-registration / Switch off /<br>Abnormal / De-registration and 5GMM common<br>procedure collision   | Rel-15         | C21           | UEs supporting 5G Core   |  |
| 9.1.6.1.2  | UE-initiated de-registration / Normal de-<br>registration / Abnormal / Transmission failure<br>without TAI change from lower layers, de-<br>registration and 5GMM common procedure<br>collision, T3521 timeout | Rel-15         | C21           | UEs supporting 5G Core   |  |
| 9.1.6.1.3  | UE-initiated de-registration / Abnormal /<br>Change of cell into a new tracking area   | Rel-15         | C21           | UEs supporting 5G Core   |  |
| 9.1.6.1.4  | Void   |                |               |  |  |
| 9.1.6.2    | Network-initiated de-registration  |                |               |  |  |
| 9.1.6.2.1  | Network-initiated de-registration / De-<br>registration for 3GPP access / Re-registration<br>required  | Rel-15         | C21           | UEs supporting 5G Core   |  |
| 9.1.6.2.2  | Network-initiated de-registration / De-<br>registration for 3GPP access / Re-registration<br>not required  | Rel-15         | C21           | UEs supporting 5G Core   |  |
| 9.1.7      | Service request  |                |               |  |  |
| 9.1.7.1    | Service request / Idle mode uplink user data<br>transport / Rejected / Restricted service area,<br>abnormal / T3517, T3525   | Rel-15         | C21           | UEs supporting 5G Core   |  |
| 9.1.7.2    | Service request / Connected mode user data transport / Abnormal / T3517  | Rel-15         | C21           | UEs supporting 5G Core   |  |
| 9.1.8      | SMS over NAS   |                |               |  |  |
| 9.1.8.1    | SMS over NAS / MO and MT SMS over NAS /<br>Idle mode   | Rel-15         | C33           | UEs supporting 5G Core and SMS over NAS<br>and UE configured to not use SMSoIP |  |
| 9.1.8.2    | SMS over NAS / Multiple MO and MT SMS<br>over NAS / Connected mode   | Rel-15         | C33           | UEs supporting 5G Core and SMS over NAS<br>and UE configured to not use SMSoIP |  |
| 9.1.9      | RACS   |                |               |  |  |
| 9.1.9.1    | RACS / Network assigned UE radio capability<br>ID  | Rel-16         | C108          | UEs supporting 5G Core and RACS  |  |
| 9.1.9.2    | RACS / UE configuration update / UE radio<br>capability ID   | Rel-16         | C108          | UEs supporting 5G Core and RACS  |  |
| 9.1.9.5    | RACS / Handling of delete indication for NW assigned UE radio capability ID  | Rel-16         | C108          | UEs supporting 5G Core and RACS  |  |

| Clause                  | TC Title   | Release |           | Applicability  |
|-------------------------|--|---------|-----------|--|
| • •                     |  |         | Condition | Comment  |
| 9.2                     | 5GS Non-3GPP Access Mobility<br>Management   |         |           |  |
| 9.2.1                   | Primary authentication and key agreement procedure   |         |           |  |
| 9.2.1.1                 | EAP based primary authentication and key<br>agreement  | Rel-15  | C29       | UEs supporting 5G core over non-3GPP Access<br>Network and WLAN  |
| 9.2.1.2                 | 5G AKA based primary authentication and key agreement  | Rel-15  | C29       | UEs supporting 5G core over non-3GPP Access<br>Network and WLAN  |
| 9.2.2                   | Security Mode Control  |         |           |  |
| 9.2.2.1                 | NAS security mode command  | Rel-15  | C29       | UEs supporting 5G core over non-3GPP Access<br>Network and WLAN  |
| 9.2.2.2                 | Protection of initial NAS signalling messages  | Rel-15  | C29       | UEs supporting 5G core over non-3GPP Access<br>Network and WLAN  |
| 9.2.3                   | Void   |         |           |  |
| 9.2.4                   | Generic UE configuration   | 1       |           |  |
| 9.2.4.1                 | Generic UE configuration update  | Rel-15  | C29       | UEs supporting 5G core over non-3GPP Access<br>Network and WLAN  |
| 9.2.5                   | Registration   | 1       |           |  |
| 9.2.5.1                 | Initial Registration   | 1       |           |  |
| 9.2.5.1.1               | Initial registration / Success / 5G-GUTI<br>reallocation, Last visited TAI   | Rel-15  | C29       | UEs supporting 5G core over non-3GPP Access<br>Network and WLAN  |
| 9.2.5.1.2               | Initial registration / 5GS services / NSSAI handling   | Rel-15  | C29       | UEs supporting 5G core over non-3GPP Access<br>Network and WLAN  |
| 9.2.5.1.3               | Void   |         |           |  |
| 9.2.5.1.4               | Initial registration / Rejected / Congestion /<br>Abnormal cases / T3346   | Rel-15  | C29       | UEs supporting 5G core over non-3GPP Access<br>Network and WLAN  |
| 9.2.5.2                 | Mobility Registration  |         |           |  |
| 9.2.5.2.1               | Void   |         |           |  |
| 9.2.5.2.2               | Mobility registration update/Change of SMS<br>over NAS capability  | Rel-15  | C29       | UEs supporting 5G core over non-3GPP Access<br>Network and WLAN  |
| 9.2.6                   | De-registration  |         |           |  |
| 9.2.6.1                 | UE-initiated de-registration   |         |           |  |
| 9.2.6.1.1               | UE-initiated de-registration / switch off  | Rel-15  | C29       | UEs supporting 5G core over non-3GPP Access<br>Network and WLAN  |
| 9.2.6.2                 | Network-initiated de-registration  |         |           |  |
| 9.2.6.2.1               | Network-initiated de-registration / De-<br>registration for Non-3GPP access / Re-<br>registration required   | Rel-15  | C29       | UEs supporting 5G core over non-3GPP Access<br>Network and WLAN  |
| 9.2.6.2.2               | Network-initiated de-registration / De-<br>registration for Non 3GPP access / Re-<br>registration not required                                       | Rel-15  | C29       | UEs supporting 5G core over non-3GPP Access<br>Network and WLAN  |
| 9.2.7                   | Service request  |         |           |  |
| 9.2.7.1                 | Service request / IDLE mode uplink user data<br>transport / Rejected / Restricted service area,<br>Abnormal / T3517                                  | Rel-15  | C29       | UEs supporting 5G core over non-3GPP Access<br>Network and WLAN  |
| 9.2.7.2                 | Service request / CMM CONNECTED<br>mode/uplink user data transport / Abnormal /  | Rel-15  | C58       | UEs supporting 5G core over non-3GPP Access<br>Network, WLAN and (ICMP or ICMP IPv6)                   |
|                         | T3517  |         |           |  |
| <b>9.2.8</b><br>9.2.8.1 | SMS over NAS<br>SMS over NAS / MO SMS over NAS - 5GMM-   | Rel-15  | C30       | UEs supporting 5G core over non-3GPP Access  |
|                         | Idle mode  |         |           | Network SMS over NAS and WLAN  |
| 9.3                     | Inter-system mobility  |         |           |  |
| <b>9.3.1</b><br>9.3.1.1 | 5GS-EPC Inter-system mobility<br>Inter-system mobility registration update /<br>Single-registration mode with N26 / 5GMM-                            | Rel-15  | C26       | UEs supporting 5GS and E-UTRA  |
| 9.3.1.2                 | IDLE / 5GC to EPC<br>Inter-system mobility registration update /   | Rel-15  | C26       | UEs supporting 5GS and E-UTRA  |
|                         | Single-registration mode with N26 / 5GMM-<br>IDLE / EPC to 5GC   |         |           |  |
| 9.3.1.3                 | Inter-system mobility and periodic registration<br>update / Rejected / Single-registration mode<br>with N26 / Handling of EPC relevant<br>parameters | Rel-15  | C26       | UEs supporting 5GS and E-UTRA  |
| 10                      | Session management   |         |           |  |
| 10.1                    | 5GS session management   |         |           |  |
| 10.1.1                  | PDU session authentication and authorization   |         |           |  |
| 10.1.1.1                | PDU session authentication and authorization /<br>During the UE-requested PDU session<br>procedure   | Rel-15  | C39       | UEs supporting 5G Core and additional UE-<br>requested PDU establishment                               |
| 10.1.1.2                | PDU session authentication and authorization /<br>After the UE-requested PDU session procedure   | Rel-15  | C48       | UEs supporting 5G Core and Number of UE-<br>requested PDU session establishments after<br>REGISTRATION |

| Clause   | TC Title  | Release | Applicability |  |  |
|----------|---|---------|---------------|--|--|
|          |   |         | Condition     | Comment  |  |
| 10.1.2   | Network-requested PDU session<br>modification   |         |               |  |  |
| 10.1.2.1 | Network-requested PDU session modification /<br>Accepted  | Rel-15  | C21           | UEs supporting 5G Core   |  |
| 10.1.2.2 | Network-requested PDU session modification /<br>Abnormal / PDU session in state PDU<br>SESSION INACTIVE   | Rel-15  | C21           | UEs supporting 5G Core   |  |
| 10.1.3   | Network-requested PDU session release   |         |               |  |  |
| 10.1.3.1 | Void  |         |               |  |  |
| 10.1.3.2 | Network-requested PDU session release /<br>Insufficient resources, insufficient resources for<br>specific slice and DNN, abnormal / Invalid PDU<br>session identity | Rel-15  | C21           | UEs supporting 5G Core   |  |
| 10.1.4   | UE-requested PDU session establishment  |         |               |  |  |
| 10.1.4.1 | UE-requested PDU session establishment /<br>Abnormal / T3580  | Rel-15  | C21           | UEs supporting 5G Core   |  |
| 10.1.5   | UE-requested PDU session modification   |         |               |  |  |
| 10.1.5.1 | UE-requested PDU session modification   | Rel-15  | C63           | UEs supporting 5G Core and UE requested PDU session modification procedure                         |  |
| 10.1.6   | UE-requested PDU session release  |         |               |  |  |
| 10.1.6.1 | UE-requested PDU session release / Abnormal<br>/ Collision with network-requested PDU session<br>modification procedure   | Rel-15  | C21           | UEs supporting 5G Core   |  |
| 10.1.6.2 | UE-requested PDU session release / Abnormal<br>/ Collision with network-requested PDU session<br>release procedure  | Rel-15  | C21           | UEs supporting 5G Core   |  |
| 10.2     | EN-DC session management  |         |               |  |  |
| 10.2.1   | Network initiated procedures  |         |               |  |  |
| 10.2.1.1 | Default EPS bearer context activation   | Rel-15  | C01           | UEs supporting EN-DC   |  |
| 10.2.1.2 | Dedicated EPS bearer context activation   | Rel-15  | C01           | UEs supporting EN-DC   |  |
| 10.2.2   | UE initiated procedures   |         |               |  |  |
| 10.2.2.1 | EPS bearer resource allocation / modification   | Rel-15  | C16           | UEs supporting EN-DC and UE requested<br>bearer resource allocation and modification<br>procedures |  |
| 10.3     | 5GS Non-3GPP Access Session   |         |               |  |  |
| 10.3.1   | Management<br>PDU session authentication and<br>authorization   |         |               |  |  |
| 10.3.1.1 | PDU session authentication and authorization /<br>during the UE-requested PDU session<br>procedure  | Rel-15  | C29           | UEs supporting 5G core over non-3GPP Access<br>Network and WLAN                                    |  |
| 10.3.2   | Network-requested PDU session<br>modification   |         |               |  |  |
| 10.3.2.1 | Network-requested PDU session modification<br>/Accepted/Rejected  | Rel-15  | C29           | UEs supporting 5G core over non-3GPP Access<br>Network and WLAN                                    |  |
| 10.3.3   | Network-requested PDU session Release   |         |               |  |  |
| 10.3.3.1 | Network-requested PDU session release / accepted/ with and without reactivation   | Rel-15  | C29           | UEs supporting 5G core over non-3GPP Access<br>Network and WLAN                                    |  |
| 10.3.4   | UE-requested PDU session establishment  |         |               |  |  |
| 10.3.4.1 | UE-requested PDU session establishment /<br>Abnormal / T3580  | Rel-15  | C29           | UEs supporting 5G core over non-3GPP Access<br>Network and WLAN                                    |  |
| 10.3.5   | UE-requested PDU session modification   |         |               |  |  |
| 10.3.5.1 | UE-requested PDU session<br>modification/Success  | Rel-15  | C29           | UEs supporting 5G core over non-3GPP Access<br>Network and WLAN                                    |  |
| 10.3.6   | UE-requested PDU session release  |         |               |  |  |
| 10.3.6.1 | UE-requested PDU session release / Abnormal<br>/ Collision with network-requested PDU session<br>modification procedure   | Rel-15  | C29           | UEs supporting 5G core over non-3GPP Access<br>Network and WLAN                                    |  |
|          |   |         |               |  |  |

# Table 4.1-4b: Additional Information of Applicability of Protocol conformance Mobility and Session Management test cases, ref. TS 38.523-1 [2]

| Clause    | Specific ICS                 | Specific IXIT | Number of TC<br>Executions | Release other RAT |
|-----------|------------------------------|---------------|----------------------------|-------------------|
| 9         |                              |               |                            |                   |
| 9.1       |                              |               |                            |                   |
| 9.1.6     |                              |               |                            |                   |
| 9.1.6.1   |                              |               |                            |                   |
| 9.1.6.1.1 | [10] pc_USIM_Removal         |               |                            |                   |
| 9.2       |                              |               |                            |                   |
| 9.2.6     |                              |               |                            |                   |
| 9.2.6.1   |                              |               |                            |                   |
| 9.2.6.1.1 | [10] pc_USIM_Removal         |               |                            |                   |
| 9.2.7     |                              |               |                            |                   |
| 9.2.7.2   | [10] pc_IPv4<br>[10] pc_IPv6 |               |                            |                   |
| 9.3       |                              |               |                            |                   |
| 9.3.1     |                              |               |                            |                   |
| 9.3.1.1   |                              |               |                            | Rel-15 E-UTRA     |
| 9.3.1.2   |                              |               |                            | Rel-15 E-UTRA     |
| 9.3.1.3   |                              |               |                            | Rel-15 E-UTRA     |
| 10        |                              |               |                            |                   |
| 10.1      |                              |               |                            |                   |

#### Table 4.1-5a: Applicability of Protocol conformance Multi-layer test cases, ref. TS 38.523-1 [2]

| Clause | TC Title  | Release | Applicability |   |  |
|--------|---|---------|---------------|---|--|
|        |   |         | Condition     | Comment   |  |
| 11     | Multi-layer and Services  |         |               |   |  |
| 11.1   | 5GS / EPS Fallback  |         |               |   |  |
| 11.1.1 | MO MMTEL voice call setup from NR<br>RRC_IDLE / EPS Fallback with redirection /<br>Single registration mode with N26 interface /<br>Success   | Rel-15  | C54           | UEs supporting 5G Core and E-UTRA and EPS<br>IMS Voice (VoLTE in GSMA PRD IR.92: "IMS<br>Profile for Voice and SMS") and EPS fallback   |  |
| 11.1.2 | MO MMTEL voice call setup from NR<br>RRC_IDLE / EPS Fallback with redirection /<br>Single registration mode without N26 interface /<br>Success  | Rel-15  | C54           | UEs supporting 5G Core and E-UTRA and EPS<br>IMS Voice (VoLTE in GSMA PRD IR.92: "IMS<br>Profile for Voice and SMS") and EPS fallback   |  |
| 11.1.3 | MO MMTEL voice call setup from NR<br>RRC_CONNECTED / EPS Fallback with<br>handover / Single registration mode with N26<br>interface / Success   | Rel-15  | C54           | UEs supporting 5G Core and E-UTRA and EPS<br>IMS Voice (VoLTE in GSMA PRD IR.92: "IMS<br>Profile for Voice and SMS") and EPS fallback   |  |
| 11.1.4 | MO MMTEL voice call setup from NR<br>RRC_CONNECTED / EPS Fallback with<br>redirection / Single registration mode with N26<br>interface / E-UTRAN cell selection using cell<br>status barred / Success         | Rel-15  | C54           | UEs supporting 5G Core and E-UTRA and EPS<br>IMS Voice (VoLTE in GSMA PRD IR.92: "IMS<br>Profile for Voice and SMS") and EPS fallback   |  |
| 11.1.5 | MO MMTEL voice call setup from NR<br>RRC_CONNECTED / EPS Fallback with<br>redirection / Single registration mode without<br>N26 interface / E-UTRAN cell selection using<br>cell status reservation / Success | Rel-15  | C54           | UEs supporting 5G Core and E-UTRA and EPS<br>IMS Voice (VoLTE in GSMA PRD IR.92: "IMS<br>Profile for Voice and SMS") and EPS fallback   |  |
| 11.1.6 | MT MMTEL voice call setup from NR<br>RRC_IDLE / EPS Fallback with redirection /<br>Single registration mode without N26 interface /<br>Success  | Rel-15  | C54           | UEs supporting 5G Core and E-UTRA and EPS<br>IMS (VoLTE in GSMA PRD IR.92: "IMS Profile<br>for Voice and SMS") Voice and EPS fallback   |  |
| 11.1.7 | Emergency call setup from NR RRC_IDLE /<br>Emergency Services Fallback to EPS with<br>redirection / Single registration mode with N26<br>interface / Success  | Rel-15  | C47           | UEs supporting 5G Core and E-UTRA and EPS<br>IMS emergency call (VoLTE in GSMA PRD<br>IR.92: "IMS Profile for Voice and SMS") and<br>Emergency Services Fallback in NR connected<br>to 5GCN |  |
| 11.1.8 | MO MMTEL voice call setup from NR<br>RRC_CONNECTED / EPS Fallback with<br>handover / Single registration mode with N26<br>interface / voiceFallbackIndication   | Rel-16  | C95           | UEs supporting 5G Core and E-UTRA and EPS<br>IMS (VoLTE in GSMA PRD IR.92: "IMS Profile<br>for Voice and SMS") Voice and EPS fallback<br>and voiceFallbackIndication                        |  |
| 11.1.9 | MO MMTEL voice call setup from NR<br>RRC_IDLE / EPS Fallback with redirection /<br>Single registration mode with N26 interface /<br>voiceFallbackIndication   | Rel-16  | C95           | UEs supporting 5G Core and E-UTRA and EPS<br>IMS (VoLTE in GSMA PRD IR.92: "IMS Profile<br>for Voice and SMS") Voice and EPS fallback<br>and voiceFallbackIndication                        |  |
| 11.2   | 5G-SRVCC  |         |               |   |  |
| 11.2.1 | 5G-SRVCC from NG-RAN to 3GPP UTRAN  | Rel-16  | C127          | UEs supporting 5G Core and UTRA and NR to<br>UTRA-FDD CELL_DCH CS handover  |  |
| 11.3    | Unified Access Control (UAC)  |        |      |   |
|---------|---|--------|------|---|
| 11.3.1  | UAC / Access Identity 0 / 0% access probability<br>/ MTSI MO speech call/SMSoIP/Uplink User<br>data transfer  | Rel-15 | C78  | UEs supporting 5G Core and Initiating session<br>and MTSI speech and SMS over IP  |
| 11.3.2  | UAC / Access Identity 0 / 0% access probability<br>/ Paging for MT Access/Emergency Call  | Rel-15 | C21  | UEs supporting 5G Core  |
| 11.3.3  | UAC / Access Identity 0 / AC8 /<br>RRC_INACTIVE / RNAUpdate/RRC Resume  | Rel-15 | C109 | UEs supporting 5G Core and RRC_INACTIVE   |
| 11.3.4  | UAC / Access Identity 0 / Registration<br>procedure for mobility and periodic registration<br>update / BarringPerPLMN/Implicit AC Barring<br>List   | Rel-15 | C21  | UEs supporting 5G Core  |
| 11.3.5  | UAC / Access Identity 1 / New cell not in the<br>country of its HPLMN/EHPLMN 0% access<br>probability/MPS indicator / HPLMN/0%/100%<br>accessibility AC5/MMTEL-Video call                               | Rel-15 | C79  | UEs supporting 5G Core and Initiating session<br>and MTSI video   |
| 11.3.6  | UAC / Access Identity 2 / New cell not in the<br>country of its HPLMN/EHPLMN 0% access<br>probability/MCS indicator / HPLMN/0%/100%<br>accessibility AC7/RRC_INACTIVE                                   | Rel-15 | C21  | UEs supporting 5G Core  |
| 11.3.7  | UAC / Access Identity 1115 / High Priority<br>Access / HPLMN/0% accessibility<br>AC2/Emergency call   | Rel-15 | C21  | UEs supporting 5G Core  |
| 11.3.8  | UAC / Access Identity 0 / NR RRC_IDLE / Cell<br>re-selection while T390 is running  | Rel-15 | C21  | UEs supporting 5G Core  |
| 11.3.9  | UAC / Access Identity 0 / ODAC / PLMN /<br>RPLMN / not EPLMN  | Rel-15 | C21  | UEs supporting 5G Core  |
| 11.4    | Emergency Services  |        |      |   |
| 11.4.1  | 5GMM-REGISTERED.NORMAL-SERVICE /<br>5GMM-IDLE / Emergency call / Utilising<br>emergency number stored on the USIM / New<br>emergency PDU session / Network failing the<br>authentication check (5G AKA) | Rel-15 | C92  | UEs supporting 5G Core and emergency<br>services in NR connected to 5GCN  |
| 11.4.2  | 5GMM-DEREGISTERED.LIMITED-SERVICE /<br>Emergency call / Utilisation of emergency<br>numbers stored on the ME / Initial registration<br>for emergency services / Handling of forbidden<br>PLMNs          | Rel-15 | C92  | UEs supporting 5G Core and emergency services in NR connected to 5GCN   |
| 11.4.3  | 5GMM-DEREGISTERED.NO-SUPI /<br>Emergency call / Utilisation of emergency<br>numbers stored on the ME / Initial registration<br>for emergency services   | Rel-15 | C92  | UEs supporting 5G Core and emergency<br>services in NR connected to 5GCN  |
| 11.4.4  | 5GMM-REGISTERED.ATTEMPTING-<br>REGISTRATION-UPDATE T3346 running /<br>Emergency call establishment / 5GMM-<br>REGISTERED.NORMAL-SERVICE /<br>Emergency call establishment before T3396<br>expiry        | Rel-15 | C92  | UEs supporting 5G Core and emergency<br>services in NR connected to 5GCN  |
| 11.4.5  | 5GMM-REGISTERED.LIMITED-SERVICE /<br>5GMM-IDLE / Emergency call establishment<br>and release / Handling of 5GS forbidden<br>tracking areas for roaming  | Rel-15 | C92  | UEs supporting 5G Core and emergency<br>services in NR connected to 5GCN  |
| 11.4.6  | 5GMM-REGISTERED.NON-ALLOWED-<br>SERVICE / Emergency call establishment and<br>release / Handling of non-allowed tracking<br>areas   | Rel-15 | C92  | UEs supporting 5G Core and emergency<br>services in NR connected to 5GCN  |
| 11.4.7  | Handling of Local and Extended emergency<br>numbers / Mobility  | Rel-15 | C92  | UEs supporting 5G Core and emergency<br>services in NR connected to 5GCN  |
| 11.4.8  | Handling of Local and extended emergency<br>numbers / Switch-off and maximum local<br>numbers storage   | Rel-15 | C92  | UEs supporting 5G Core and emergency<br>services in NR connected to 5GCN  |
| 11.4.9  | 5GMM-DEREGISTERED.LIMITED-SERVICE<br>No suitable cells in tracking area / Emergency<br>call establishment and release   | Rel-15 | C92  | UEs supporting 5G Core and emergency<br>services in NR connected to 5GCN  |
| 11.4.10 | 5GMM-REGISTERED.NORMAL-SERVICE /<br>N26 interface not supported / N1 mode to S1<br>mode transfer of an existing emergency PDU<br>session  | Rel-15 | C85  | UEs supporting 5G core and Emergency PDU<br>session transfer from N1 mode to S1 mode<br>when network does not support N26 interface,<br>and, E-UTRA and EPS IMS emergency call<br>(VoLTE in GSMA PRD IR.92: "IMS Profile for<br>Voice and SMS") and emergency services in NR<br>connected to 5GCN |

| 11.4.11 | 5GMM-REGISTERED.NORMAL-SERVICE /<br>N26 interface not supported / S1 mode to N1<br>mode transfer of an existing emergency PDN<br>connection | Rel-15 | C85A | UEs supporting 5G core and Emergency PDN<br>connection transfer from S1 mode to N1 mode<br>when network does not support N26 interface,<br>and, E-UTRA and EPS IMS emergency call<br>(VoLTE in GSMA PRD IR.92: "IMS Profile for<br>Voice and SMS") and emergency services in NR<br>connected to 5GCN |
|---------|---|--------|------|--|
|---------|---|--------|------|--|

## Table 4.1-5b: Additional Information of Applicability of Protocol conformance Multi-layer test cases,ref. TS 38.523-1 [2]

| Clause  | Specific ICS     | Specific IXIT | Number of TC<br>Executions | Release other RAT |
|---------|------------------|---------------|----------------------------|-------------------|
| 11      |                  |               |                            |                   |
| 11.1    |                  |               |                            |                   |
| 11.1.1  |                  |               |                            | Rel-15 E-UTRA     |
| 11.1.2  |                  |               |                            | Rel-15 E-UTRA     |
| 11.1.3  |                  |               |                            | Rel-15 E-UTRA     |
| 11.1.4  |                  |               |                            | Rel-15 E-UTRA     |
| 11.1.5  |                  |               |                            | Rel-15 E-UTRA     |
| 11.1.6  |                  |               |                            | Rel-15 E-UTRA     |
| 11.1.7  |                  |               |                            | Rel-15 E-UTRA     |
| 11.1.8  |                  |               |                            | Rel-16 E-UTRA     |
| 11.1.9  |                  |               |                            | Rel-16 E-UTRA     |
| 11.2    |                  |               |                            |                   |
| 11.2.1  |                  |               |                            | Rel-16 UTRA       |
| 11.3    |                  |               |                            |                   |
| 11.3.1  | pc_inactiveState |               |                            |                   |
| 11.3.6  | pc_inactiveState |               |                            |                   |
| 11.4    | •                |               |                            |                   |
| 11.4.10 |                  |               |                            | Rel-15 E-UTRA     |
| 11.4.11 |                  |               |                            | Rel-15 E-UTRA     |

### Table 4.1-6a: Applicability of Protocol conformance NR sidelink test cases, ref. TS 38.523-1 [2]

| Clause   | TC Title   | Release |           | Applicability  |
|----------|--|---------|-----------|--|
|          |  |         | Condition | Comment  |
| 12       | NR sidelink  |         |           |  |
| 12.1     | PC5-only operation   |         |           |  |
| 12.1.7   | PC5-only operation / Sidelink UE capability<br>transfer via PC5 RRC  |         |           |  |
| 12.1.7.1 | PC5-only operation / Sidelink UE capability<br>transfer via PC5 RRC / One-way and two-way<br>transfer  | Rel-16  | C128      | UE supporting 5G core and NR sidelink<br>transmission mode 2 |
| 12.2     | Inter-carrier concurrent operation   |         |           |  |
| 12.2.1   | Inter-carrier concurrent operation / Sidelink communication  |         |           |  |
| 12.2.1.3 | Inter-carrier concurrent operation / Sidelink<br>communication / RRC_CONNECTED /<br>Transmission / Network scheduling                          | Rel-16  | C106      | UE supporting 5G core and NR sidelink mode 1 transmission    |
| 12.2.2   | Inter-carrier concurrent operation / Sidelink synchronization related procedure  |         |           |  |
| 12.2.3   | Inter-carrier concurrent operation /<br>Measurement configuration and reporting<br>via Uu RRC  |         |           |  |
| 12.2.3.1 | Inter-carrier concurrent operation /<br>Measurement configuration and reporting via<br>Uu RRC / CBR measurement reporting / Event<br>C1 and C2 | Rel-16  | C106      | UE supporting 5G core and NR sidelink mode 1 transmission    |

# Table 4.1-6b: Additional Information of Applicability of Protocol conformance NR sidelink test cases, ref. TS 38.523-1 [2]

| Clause | Specific ICS | Specific IXIT | Number of TC<br>Executions | Release other RAT |
|--------|--------------|---------------|----------------------------|-------------------|
| TBD    |              |               |                            |                   |

### 4.2 Protocol conformance test cases Applicability Condition

Table 4.2-1: Applicability of Protocol conformance test cases Conditions

| Condition | Test case Selection Expression  | Comment  |
|-----------|---|--|
| C01       | IF A.4.1-3/2 THEN R ELSE N/A  | UEs supporting EN-DC   |
| C02       | IF (A.4.3.4-1/2 OR A.4.3.4-1/3) THEN R ELSE N/A   | UEs supporting 5GS and RLC UM Mode   |
| C03       | IF A.4.3.5-1/1 THEN R ELSE N/A  | UEs supporting 5GS and Long DRX Cycle  |
| C04       | IF A.4.3.5-1/2 THEN R ELSE N/A  | UEs supporting 5GS and short DRX cycle   |
| C05       | IF A.4.3.4-1/3 THEN R ELSE N/A  | UEs supporting 5GS and RLC UM with 6-bit length of RLC sequence number   |
| C06       | IF A.4.3.4-1/2 THEN R ELSE N/A  | UEs supporting 5GS and RLC UM with 12-bit length of RLC sequence number  |
| C07       | IF A.4.3.4-1/1 THEN R ELSE N/A  | UEs supporting 5GS and RLC AM with 12-bit length of RLC sequence number  |
| C08       | IF A.4.3.3-1/1 THEN R ELSE N/A  | UEs supporting 5GS and 12-bit length of PDCP sequence number   |
| C09       | IF [10] A.4.4-1/99 THEN R ELSE N/A  | UEs supporting 5GS and ZUC Algorithm   |
| C10       | IF A.4.1-3/2 AND A.4.3.7-1/2 THEN R ELSE N/A  | UEs supporting EN-DC and UL transmission via both MCG path<br>and SCG path for the split DRB   |
| C11       | IF (A.4.3.2-1/2 OR A.4.3.2-1/3) THEN R ELSE N/A   | UEs supporting 5GS and 256QAM for PDSCH for FR1/FR2  |
| C12       | IF (A.4.3.2-1/4) THEN R ELSE N/A  | UEs supporting 5GS and 256QAM for PUSCH  |
| C13       | IF A.4.1-3/2 AND A.4.3.6-1/1 THEN R ELSE N/A  | UEs supporting EN-DC and NR measurements and Event A triggered reporting   |
| C14       | IF A.4.1-3/2 AND A.4.3.6-1/1 AND A.4.3.6-1/3 THEN R<br>ELSE N/A                                   | UEs supporting EN-DC and NR measurements and Event A<br>triggered reporting and (NR Intra-frequency and NR-Inter<br>frequency measurements and at least periodical reporting)  |
| C15       | IF A.4.1-3/2 AND A.4.3.6-1/1 AND A.4.3.6-1/3 AND<br>(A.4.3.6-1/4 OR A.4.3.6-1/40) THEN R ELSE N/A | UEs supporting EN-DC and NR measurements and Event A<br>triggered reporting and (NR Intra-frequency and Inter frequency<br>measurements and at least periodical reporting) and CSI-RSRP<br>and CSI-RSRQ measurement                |
| C16       | IF A.4.1-3/2 AND [10] A.4.4-1/18 AND [10] A.4.4-1/19 THEN<br>R ELSE N/A                           | UEs supporting EN-DC and UE requested bearer resource allocation and modification procedures   |
| C17       | IF A.4.3.2-1/1 THEN R ELSE N/A  | UEs supporting 5GS and PDSCH reception based on semi-<br>persistent scheduling   |
| C18       | IF A.4.3.2-1/10 THEN R ELSE N/A   | UEs supporting 5GS and Type 1 PUSCH transmissions with<br>configured grant   |
| C19       | IF A.4.3.2-1/11 THEN R ELSE N/A   | UEs supporting 5GS and Type 2 PUSCH transmissions with<br>configured grant   |
| C20       | IF A.4.3.2-1/12 THEN R ELSE N/A   | UEs supporting 5GS and PDSCH aggregation   |
| C21       | IF A.4.1-5/1 THEN R ELSE N/A  | UEs supporting 5G Core   |
| C21A      | IF A.4.1-5/1 AND A.4.3.7-1/4 THEN R ELSE N/A  | UEs supporting 5G Core and reflective QoS  |
| C22       | IF A.4.1-3/2 AND A.4.3.7-1/3 THEN R ELSE N/A  | UEs supporting EN-DC and SRB3  |
| C23       | IF A.4.1-3/2 AND A.4.3.7-1/3 AND A.4.3.7-1/1 THEN R<br>IELSE N/A                                  | UEs supporting EN-DC and SRB3 and (UL transmission via either MCG path or SCG path for the split SRB)  |
| C24       | IF A.4.1-3/2 AND A.4.3.6-1/3 AND A.4.3.6-1/2 AND A.4.1-<br>4/3 THEN R ELSE N/A                    | UEs supporting EN-DC and (NR intra-frequency and inter-<br>frequency measurements and at least periodical reporting) and<br>(two independent measurement gap configurations for FR1 and<br>FR2) and Inter-Band EN-DC within FR1    |
| C25       | IF A.4.1-3/2 AND A.4.3.6-1/3 AND A.4.3.6-1/2 AND A.4.1-<br>4/4 THEN R ELSE N/A                    | UEs supporting EN-DC and (NR intra-frequency and inter-<br>frequency measurements and at least periodical reporting) and<br>(two independent measurement gap configurations for FR1 and<br>FR2) and Inter-Band EN-DC including FR2 |
| C26       | IF ([10] A.4.1-1/1 OR [10] A.4.1-1/2) THEN R ELSE N/A   | UEs supporting 5GS and E-UTRA  |
| C27       | IF A.4.1-5/1 AND A.4.3.6-1/1 THEN R ELSE N/A  | UEs supporting 5G Core and NR measurements and Event A triggered reporting   |
| C28       | IF A.4.3.2-1/13 THEN R ELSE N/A   | UEs supporting 5GS and supplemental uplink with dynamic switch   |
| C29       | IF A.4.1-5/2 AND [10] A.4.1-1/5.  | UEs supporting 5G core over non-3GPP Access Network and WLAN   |
| C30       | IF A.4.1-5/2 AND A.4.3.7-1/1 AND [10] A.4.1-1/5.  | UEs supporting 5G core over non-3GPP Access Network, SMS<br>over NAS and WLAN  |
| C31       | IF A.4.1-5/1 AND A.4.3.6-1/5 THEN R ELSE N/A  | UEs supporting 5G Core and Inter-RAT E-UTRA measurements<br>and Event B triggered reporting  |
| C32       | IF A.4.1-5/1 AND ([10] A.4.1-1/1 OR [10] A.4.1-1/2) THEN R<br>ELSE N/A                            | UEs supporting 5G Core and E-UTRA  |
| C33       | IF A.4.1-5/1 AND A.4.3.7-1/6 AND NOT [10] A.4.4-2/32<br>THEN R ELSE N/A                           | UEs supporting 5G Core and SMS over NAS and UE configured<br>to not use SMSoIP   |
| C34       | IF A.4.1-5/1 AND [10] A.4.4-1/84 THEN R ELSE N/A  | UEs supporting 5G Core and MinimumPeriodicSearchTimer  |
| C35       | IF A.4.1-5/1 AND (A.4.3.7-1/8 OR A.4.3.7-1/7) THEN R<br>ELSE N/A                                  | UEs supporting 5G Core and (ETWS reception or CMAS reception)  |
| C36       | IF A.4.1-5/1 AND [10] A.4.4-1/69 THEN R ELSE N/A  | UEs supporting 5G Core and user initiated PLMN reselection in automatic mode on NR   |
| C37       | IF A.4.1-5/1 AND (A.4.1-2/1 OR A.4.1-2/2) THEN R ELSE N/A   | UEs supporting 5G Core and more than 1 FDD or TDD NR band  |
| C38       | IF A.4.1-5/1 AND A.4.1-1/1 AND A.4.1-1/2 THEN R ELSE  | UEs supporting 5G Core and NR FDD and NR TDD   |
| C39       | IF A.4.1-5/1 AND A.4.3.7-1/1 AND A.4.3.7-1/10 THEN R<br>ELSE N/A                                  | UEs supporting 5G Core additional UE-requested PDU<br>establishment and the UE includes the SM PDU DN request<br>container IE in the PDU SESSION ESTABLISHMENT REQUEST<br>message.   |

| Condition  | Test case Selection Expression   | Comment  |
|------------|--|--|
| C40        | IF A.4.1-5/1 AND A.4.3.6-1/6 THEN R ELSE N/A   | UEs supporting 5G Core and SS-SINR measurements  |
| C41        | IF A.4.1-5/1 AND (A.4.1-4A/1 OR A.4.1-4A/3) THEN R<br>ELSE N/A   | UEs supporting 5G Core and intra-band contiguous CA  |
| C42        | IF A.4.1-5/1 AND (A.4.1-4A/5 OR A.4.1-4A/6 OR A.4.1-<br>4A/7) THEN R ELSE N/A                                  | UEs supporting 5G Core and inter-band CA   |
| C43        | IF A.4.1-5/1 AND (A.4.1-4A/2 OR A.4.1-4A/4) THEN R<br>ELSE N/A   | UEs supporting 5G Core and intra-band non-contiguous CA  |
| C44        | IF (A.4.1-4A/1 OR A.4.1.4A/3) THEN R ELSE N/A  | UEs supporting 5GS and intra-band contiguous CA  |
| C45        | IF (A.4.1-4A/5 OR A.4.1-4A/6 OR A.4.1-4A/7) THEN R<br>ELSE N/A   | UEs supporting 5GS and inter-band CA   |
| C46        | IF (A.4.1-4A/2 OR A.4.1.4A/4) THEN R ELSE N/A  | UEs supporting 5GS and intra-band non-contiguous CA  |
| C47        | IF A.4.1-5/1 AND ([10] A.4.1-1/1 OR [10] A.4.1-1/2) AND<br>[10] A.4.2.1.1-1/4 AND A.4.3.7-1/11 THEN R ELSE N/A | UEs supporting 5G Core and E-UTRA and EPS IMS emergency<br>call (VoLTE in GSMA PRD IR.92: "IMS Profile for Voice and<br>SMS") and Emergency Services Fallback in NR connected to<br>5GCN   |
| C48        | IF A.4.1-5/1 AND A.4.4.2-1/3 THEN R ELSE N/A   | UEs supporting 5G Core and Number of UE-requested PDU<br>session establishments after REGISTRATION   |
| C49        | IF A.4.1-5/1 AND A.4.3.6-1/2 THEN R ELSE N/A   | UE supporting 5G Core and two independent measurement gap<br>configurations for FR1 and FR2  |
| C50        | IF A.4.1-5/1 AND A.4.3.6-1/5 AND A.4.3.6-1/6 THEN R<br>ELSE N/A  | UEs supporting 5G Core and Inter-RAT E-UTRA measurements<br>and Event B triggered reporting and SS-SINR measurements   |
| C51        | IF A.4.3.2-1/21 THEN R ELSE N/A  | UEs supporting 5GS and PUSCH aggregation   |
| C52        | IF A.4.1-5/1 AND A.4.3.6-1/1 AND A.4.3.6-1/3 AND<br>(A.4.3.6-1/4 OR A.4.3.6-1/40) THEN R ELSE N/A              | UEs supporting 5G Core and NR measurements and Event A<br>triggered reporting and (NR Intra-frequency and Inter frequency<br>measurements and at least periodical reporting) and CSI-RSRP<br>and CSI-RSRQ measurement  |
| C53        | IF A.4.3.5-1/4 THEN R ELSE N/A   | UEs supporting 5GS and Logical Channel SR-Delay Timer  |
| C54        | IF A.4.1-5/1 AND ([10] A.4.1-1/1 OR [10] A.4.1-1/2) AND<br>[10] A.4.4-1/33 AND A.4.3.7-1/12 THEN R ELSE N/A    | UEs supporting 5G Core and E-UTRA and EPS IMS Voice<br>(VoLTE in GSMA PRD IR.92: "IMS Profile for Voice and SMS")<br>and EPS fallback  |
| C55        | IF A.4.1-3/2 AND A.4.3.6-1/1 AND (A.4.1-4A/1 OR A.4.1-<br>4A/3) THEN R ELSE N/A                                | UEs supporting EN-DC and NR measurements and Event A triggered reporting and intra-band contiguous CA  |
| C56        | IF A.4.1-3/2 AND A.4.3.6-1/1 AND (A.4.1-4A/5 OR A.4.1-<br>4A/6 OR A.4.1-4A/7) THEN R ELSE N/A                  | UEs supporting EN-DC and NR measurements and Event A<br>triggered reporting and inter-band CA  |
| C57        | IF A.4.1-3/2 AND A.4.3.6-1/1 AND (A.4.1-4A/2 OR A.4.1-<br>4A/4) THEN R ELSE N/A                                | UEs supporting EN-DC and NR measurements and Event A<br>triggered reporting and intra-band non-contiguous CA   |
| C58        | IF A.4.1-5/2 AND [10] A.4.1-1/5.AND A.4.4-1/1  | UEs supporting 5G core over non-3GPP Access Network, WLAN<br>and (ICMP or ICMP IPv6)   |
| C59        | IF A.4.1-5/1 AND A.4.3.6-1/8 THEN R ELSE N/A   | UEs supporting 5G Core and Support acquisition of relevant<br>information from a neighbouring intra-frequency or inter-frequency<br>NR cell by reading the SI of the neighbouring cell and reporting<br>the acquired information to the network as specified in TS 38.331<br>[9] when EN-DC is not configured.   |
| C60        | IF A.4.1-5/1 AND A.4.3.6-1/7 THEN R ELSE N/A   | UEs supporting 5G Core and Support acquisition of relevant information from a neighbouring E-UTRA cell by reading the SI of the neighbouring cell and reporting the acquired information to the network as specified in TS 38.331 [9] when the EN-DC is not configured.  |
| C61        | IF A.4.1-3/2 AND A.4.3.3-1/5 THEN R ELSE N/A   | UEs supporting EN-DC and PDCP duplication over split SRB1/2  |
| C62<br>C63 | IF A.4.1-3/2 AND A.4.3.3-1/4 THEN R ELSE N/A<br>IF A.4.1-5/1 AND A.4.3.7-1/13 THEN R ELSE N/A                  | UEs supporting EN-DC and PDCP duplication over split DRB<br>UEs supporting 5G Core and UE requested PDU session<br>modification procedure  |
| C64        | IF A.4.3.2-1/23 THEN R ELSE N/A  | UEs supporting 5GS and The maximum number of spatial<br>multiplexing layer(s) supported by the UE for DL reception. For<br>single CC standalone NR, it is mandatory with capability signalling<br>to support at least 4 MIMO layers in the bands where 4Rx is<br>specified as mandatory for the given UE and at least 2 MIMO<br>layers in FR2. If absent, the UE doesn't support MIMO on this<br>carrier |
| C65        | IF A.4.3.2-1/23 AND (A.4.3.2-1/4) THEN R ELSE N/A  | UEs supporting 5GS and The maximum number of spatial<br>multiplexing layer(s) supported by the UE for DL reception. For<br>single CC standalone NR, it is mandatory with capability signalling<br>to support at least 4 MIMO layers in the bands where 4Rx is<br>specified as mandatory for the given UE and at least 2 MIMO<br>layers in FR2. If absent, the UE doesn't support MIMO on this<br>carrier |
| C66        | IF (A.4.3.2-1/24 OR A.4.3.2-1/24A) AND (A.4.3.2-1/24 OR<br>A.4.3.2-1/24A) THEN R ELSE N/A                      | UEs supporting 5GS and (DCI and timer based active BWP switching delay type1 or type2) and (Support of BWP adaptation up to 2 or up to 4)  |
| C67        | IF A.4.1-3/2 AND (A.4.1-4A/1 OR A.4.1-4A/3) THEN R<br>ELSE N/A   | UEs supporting EN-DC and Intra-Band Contiguous CA  |
| C68        | IF A.4.1-3/2 AND (A.4.1-4A/2 OR A.4.1-4A/4) THEN R<br>ELSE N/A   | UEs supporting EN-DC and Intra-Band Non-Contiguous CA  |

| Condition | Test case Selection Expression   | Comment  |
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| C69       | IF A.4.1-3/2 AND (A.4.1-4A/5 OR A.4.1-4A/6 OR A.4.1-<br>4A/7) THEN R ELSE N/A  | UEs supporting EN-DC and Inter-Band CA   |
| C70       | IF A.4.3.5-1/1 AND A.4.3.5-1/2 THEN R ELSE N/A   | UEs supporting 5GS and Long DRX Cycle and Short DRX Cycle  |
| C71       | IF A.4.1-3/2 AND A.4.3.7-1/3 AND A.4.3.6-1/3 THEN R<br>ELSE N/A  | UEs supporting EN-DC and SRB3 and NR intra-frequency and inter-frequency measurements and at least periodical reporting  |
| C72       | IF A.4.1-5/1 AND (A.4.1-4A/1 OR A.4.1-4A/3) AND A.4.3.3-<br>1/3 THEN R ELSE N/A  | UEs supporting 5G Core and intra-band contiguous CA and CA-<br>based PDCP duplication over MCG or SCG DRB  |
| C73       | IF A.4.1-5/1 AND (A.4.1-4A/5 OR A.4.1-4A/6 OR A.4.1-<br>4A/7) AND A.4.3.3-1/3 THEN R ELSE N/A                                    | UEs supporting 5G Core and inter-band contiguous CA and CA-<br>based PDCP duplication over MCG or SCG DRB  |
| C74       | IF A.4.1-5/1 AND (A.4.1-4A/2 OR A.4.1-4A/4) AND A.4.3.3-<br>1/3 THEN R ELSE N/A  | UEs supporting 5G Core and intra-band non-contiguous CA and CA-based PDCP duplication over MCG or SCG DRB  |
| C75       | IF A.4.1-3/2 AND A.4.3.7-1/3 AND (A.4.1-4A/1 OR A.4.1-<br>4A/3) AND A.4.3.3-1/3 THEN R ELSE N/A                                  | UEs supporting EN-DC and SRB3 and intra-band contiguous CA<br>and CA-based PDCP duplication over MCG or SCG DRB  |
| C76       | IF A.4.1-3/2 AND A.4.3.7-1/3 AND (A.4.1-4A/5 OR A.4.1-<br>4A/6 OR A.4.1-4A/7) AND A.4.3.3-1/3 THEN R ELSE N/A                    | UEs supporting EN-DC and SRB3 and inter-band CA and CA-<br>based PDCP duplication over MCG or SCG DRB  |
| C77       | IF A.4.1-3/2 AND A.4.3.7-1/3 AND (A.4.1-4A/2 OR A.4.1-<br>4A/4) AND A.4.3.3-1/3 THEN R ELSE N/A                                  | UEs supporting EN-DC and SRB3 and intra-band non-contiguous CA and CA-based PDCP duplication over MCG or SCG DRB   |
| C78       | IF A.4.1-5/1 AND [9] A.3A/50 AND [9] A.4/2B AND [9]<br>A.15/1 AND [9] A.3A/61 THEN R ELSE N/A                                    | UEs supporting 5G Core and Initiating session and MTSI speech<br>and SMS over IP   |
| C79       | IF A.4.1-5/1 AND [9] A.3A/50 AND [9] A.4/2B AND [9]<br>A.15/3 THEN R ELSE N/A  | UEs supporting 5G Core and Initiating session and MTSI video   |
| C80       | IF A.4.1-4/6 THEN R ELSE N/A   | UEs supporting NR-DC   |
| C81       | IF A.4.1-4A/1 OR A.4.1.4A/3 AND A.4.3.2A.1-2/1 THEN R<br>ELSE N/A  | UEs supporting 5GS and intra-band contiguous CA and UL NR<br>CA with 2 carriers  |
| C82       | IF A.4.1-4A/5 OR A.4.1-4A/6 OR A.4.1-4A/7 AND<br>A.4.3.2A.1-2/1 THEN R ELSE N/A  | UEs supporting 5GS and inter-band CA and UL NR CA with 2 carriers  |
| C83       | IF A.4.1-4A/2 OR A.4.1.4A/4 AND A.4.3.2A.1-2/1 THEN R<br>IELSE N/A   | UEs supporting 5GS and intra-band non-contiguous CA and UL<br>NR CA with 2 carriers  |
| C84       | IF A.4.1-5/1 AND [10] A.4.4-1/99 THEN R ELSE N/A   | UEs supporting 5G Core and ZUC algorithm   |
| C85       | IF (A.4.1-5/1 AND A.4.4-2/8) AND ([10] A.4.1-1/1 OR [10]<br>A.4.1-1/2) AND [10] A.4.2.1.1-1/4 THEN R ELSE N/A                    | UEs supporting 5G core and Emergency PDU session transfer<br>from N1 mode to S1 mode when network does not support N26<br>interface, and, E-UTRA and EPS IMS emergency call (VoLTE in<br>GSMA PRD IR.92: "IMS Profile for Voice and SMS")        |
| C85A      | IF (A.4.1-5/1 AND A.4.4-2/9) AND ([10] A.4.1-1/1 OR [10]<br>A.4.1-1/2) AND [10] A.4.2.1.1-1/4 THEN R ELSE N/A                    | UEs supporting 5G core and Emergency PDN connection transfer<br>from S1 mode to N1 mode when network does not support N26<br>interface, and, E-UTRA and EPS IMS emergency call (VoLTE in<br>GSMA PRD IR.92: "IMS Profile for Voice and SMS")     |
| C86       | IF A.4.1-4/6 AND A.4.3.7-1/3 THEN R ELSE N/A   | UEs supporting NR-DC and SRB3  |
| C87       | IF A.4.1-4/6 AND A.4.3.7-1/3 AND A.4.3.6-1/3 THEN R<br>ELSE N/A  | UEs supporting NR-DC and SRB3 and NR intra-frequency and inter-frequency measurements and at least periodical reporting  |
| C88       | IF A.4.1-4/6 AND A.4.3.7-1/3 AND (A.4.1-4A/1 OR A.4.1-<br>4A/3) AND A.4.3.3-1/3 THEN R ELSE N/A                                  | UEs supporting NR-DC and SRB3 and intra-band contiguous CA<br>and CA-based PDCP duplication over MCG or SCG DRB  |
| C89       | IF A.4.1-4/6 AND A.4.3.7-1/3 AND (A.4.1-4A/5 OR A.4.1-<br>4A/6 OR A.4.1-4A/7) AND A.4.3.3-1/3 THEN R ELSE N/A                    | UEs supporting NR-DC and SRB3 and inter-band CA and CA-<br>based PDCP duplication over MCG or SCG DRB  |
| C90       | IF A.4.1-4/6 AND A.4.3.7-1/3 AND (A.4.1-4A/2 OR A.4.1-<br>4A/4) AND A.4.3.3-1/3 THEN R ELSE N/A                                  | UEs supporting NR-DC and SRB3 and intra-band non-contiguous<br>CA and CA-based PDCP duplication over MCG or SCG DRB  |
| C91       | IF A.4.1-5/1 AND [10] A.4.4-1/98 THEN R ELSE N/A   | UEs supporting 5G Core and<br>ManualModeNetworkSelectionException  |
| C92       | IF A.4.1-5/1 AND A.4.3.7-1/14 THEN R ELSE N/A  | UEs supporting 5G Core and emergency services in NR<br>connected to 5GCN   |
| C93       | IF A.4.1-3/2 AND A.4.3.6-1/1 AND A.4.3.6-1/3 AND (A.4.1-<br>2/1 OR A.4.1-2/2 OR (A.4.1-1/1 AND A.4.1-1/2)) THEN R<br>ELSE N/A    | UEs supporting EN-DC and NR measurements and Event A triggered reporting and (NR Intra-frequency and NR-Inter frequency measurements and at least periodical reporting) and multiple NR bands.   |
| C94       | IF A.4.1-5/1 AND (A.4.1-2/1 OR A.4.1-2/2 OR (A.4.1-1/1<br>AND A.4.1-1/2)) THEN R ELSE N/A  | UEs supporting 5G Core and multiple NR bands   |
| C95       | IF A.4.1-5/1 AND ([10] A.4.1-1/1 OR [10] A.4.1-1/2) AND<br>[10] A.4.4-1/33 AND A.4.3.7-1/12 AND A.4.3.7-1/15 THEN<br>IR ELSE N/A | UEs supporting 5G Core and E-UTRA and EPS IMS Voice<br>(VoLTE in GSMA PRD IR.92: "IMS Profile for Voice and SMS")<br>and EPS fallback and voiceFallbackIndication  |
| C96       | IF A.4.1-5/1 AND A.4.1-3/2 AND A.4.3.8-1/10 THEN R<br>ELSE N/A   | UEs supporting 5G Core and EN-DC and inter-RAT Handover<br>from NR to EN-DC  |
| C97       | IF A.4.1-4/6 AND A.4.3.7-1/2 THEN R ELSE N/A   | UEs supporting NR-DC and UL transmission via both MCG path and SCG path for the split DRB  |
| C98       | IF A.4.1-4/6 AND A.4.3.3-1/4 THEN R ELSE N/A   | UEs supporting NR-DC and PDCP duplication over split DRB   |
| C99       | IF A.4.1-5/1 AND ([10] A.4.1-1/1 OR [10] A.4.1-1/2) AND<br>(A.4.3.8-1/6 OR A.4.3.8-1/7 OR A.4.3.8-1/8)THEN R ELSE<br>N/A         | UEs supporting 5G Core and E-UTRA and (inter-RAT Handover to<br>NR FR1 TDD from EUTRA connected to EPC or inter-RAT<br>Handover to NR FR1 FDD from EUTRA connected to EPC or<br>inter-RAT Handover to NR FR2 TDD from EUTRA connected to<br>EPC) |

| Condition | Test case Selection Expression   | Comment  |
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| C100      | IF A.4.1-5/1 AND [9] A.15/1 THEN R ELSE N/A  | UEs supporting 5G Core and MTSI speech   |
| C101      | IF A.4.1-5/1 AND A.4.3.8-1/6 THEN R ELSE N/A   | UEs supporting 5G Core and intra-frequency DAPS handover   |
| C102      | IF A.4.3.2-1/30 THEN R ELSE N/A  | UEs supporting 5GS and cross slot scheduling   |
|           |  |  |
| C103      | IF A.4.3.5-1/1 AND A.4.3.5-1/5 THEN R ELSE N/A   | UEs supporting 5GS and Long DRX Cycle and DRX adaptation   |
| C104      | IF (A.4.1-4A/1 OR A.4.1-4A/3) AND A.4.3.2A.1-1/2 AND<br>A.4.3.3-1/5 THEN R ELSE N/A                                | UEs supporting 5GC and Intra-band contiguous CA and DL NR<br>CA with 3 carriers and PDCP duplication with more than two RLC<br>entities  |
| C105      | IF (A.4.3.4-1/2 OR A.4.3.4-1/3) AND A.4.3.3-1/7 THEN R<br>ELSE N/A   | UEs supporting 5GS and RLC UM mode and PDCP ethernet header compression  |
| C106      | IF A.4.1-5/1 AND A.4.3.10-1/1 THEN R ELSE N/A  | UE supporting 5G core and NR sidelink mode 1 transmission  |
| C107      | IF A.4.3.2-1/32 THEN R ELSE N/A  | UE's supporting multi-DCI based multi-TRP  |
| C108      | IF A.4.1-5/1 AND A.4.3.7-1/17 THEN R ELSE N/A  | UEs supporting 5G Core and RACS  |
| C109      | IF A.4.1-5/1 AND A.4.3.7-1/19 THEN R ELSE N/A  | UEs supporting 5G Core and RRC_INACTIVE  |
| C110      | IF A.4.1-5/1 AND ([10] A.4.1-1/1 OR [10] A.4.1-1/2) AND<br>A.4.3.7-1/19 THEN R ELSE N/A                            | UEs supporting 5G Core and E-UTRA and RRC_INACTIVE   |
| C111      | IF A.4.1-5/1 AND (A.4.3.7-1/8 OR A.4.3.7-1/7) AND A.4.3.7-<br>1/19 THEN R ELSE N/A                                 | UEs supporting 5G Core and (ETWS reception or CMAS reception) and RRC_INACTIVE   |
| C112      | Void   |  |
| C113      | IF A.4.1-5/1 AND A.4.3.2/1 THEN R ELSE N/A   | UEs 5GS and PDSCH reception based on multiple semi-<br>persistent scheduling   |
| C114      | IF A.4.1-5/1 AND A.4.3.5-1/6 THEN R ELSE N/A   | UEs supporting 5GS and LCH-based UL grant prioritization   |
| C115      | IF A.4.1-5/1 AND A.4.3.8-1/11 THEN R ELSE N/A  | UEs supporting 5G Core and conditional handover  |
| C116      | IF A.4.1-5/1 AND A.4.3.8-1/11 AND A.4.3.8-1/13 THEN R<br>ELSE N/A  | UEs supporting 5G Core and conditional handover and supporting 2 trigger events for same execution condition   |
| C117      | IF A.4.1-5/1 AND A.4.3.8-1/11 AND A.4.3.8-1/12 THEN R<br>ELSE N/A  | UEs supporting 5G Core and conditional handover and conditional handover during re-establishment procedure when the selected cell is configured as candidate cell for condition handover |
| C118      | IF A.4.3.5-1/1 AND A.4.3.5-1/5 AND A.4.3.2-1/35 AND (A.4.1-4A/1 OR A.4.1-4A/3) THEN R ELSE N/A                     | UEs supporting 5GS and Long DRX Cycle and DRX adaptation<br>and SCell Dormancy indication outside active time and intra-band<br>contiguous CA  |
| C119      | IF A.4.3.5-1/1 AND A.4.3.5-1/5 AND A.4.3.2-1/35 AND (A.4.1-4A/2 OR A.4.1-4A/4) THEN R ELSE N/A                     | UEs supporting 5GS and Long DRX Cycle and DRX adaptation<br>and SCell Dormancy indication outside active time and intra-band<br>non-contiguous CA  |
| C120      | IF A.4.3.5-1/1 AND A.4.3.5-1/5 AND A.4.3.2-1/35 AND<br>(A.4.1-4A/5 OR A.4.1-4A/6 OR A.4.1-4A/7) THEN R ELSE<br>N/A | UEs supporting 5GS and Long DRX Cycle and DRX adaptation<br>and SCell Dormancy indication outside active time and inter-band<br>CA   |
| C121      | IF A.4.4-1/4 THEN R ELSE N/A   | UEs supporting 5G Core and standalone GNSS receiver to provide detailed location information   |
| C122      | IF A.4.4-1/5 THEN R ELSE N/A   | UEs supporting 5G Core and UL PDCP Packet Delay per DRB  |
| C123      | IF A.4.4-1/6 THEN R ELSE N/A   | UEs supporting 5G core and logged measurements in RRC_IDLE and RRC_INACTIVE.   |
| C124      | IF A.4.4-1/4 AND A4.4-1/6 THEN R ELSE N/A  | UEs supporting 5G core and logged measurements in RRC_IDLE<br>and RRC_INACTIVE and equipped with a GNSS receiver to<br>provide detailed location information                             |
| C125      | IF A.4.4-1/6 AND A.4.3.7-1/19 THEN R ELSE N/A  | UEs supporting 5G core and RRC_INACTIVE and logged measurements in RRC_IDLE and RRC_INACTIVE.  |
| C126      | IF A.4.1-5/1 AND AND A.4.4-1/4 THEN R ELSE N/A   | UEs supporting 5G Core and equipped with a GNSS or A-GNSS receiver to provide detailed location information.   |
| C127      | IF A.4.1-5/1 AND [10] A.4.1-1/6 AND A.4.3.8-1/11 THEN R<br>ELSE N/A  | UEs supporting 5G Core and UTRA and NR to UTRA-FDD<br>CELL_DCH CS handover   |
| C128      | IF A.4.1-5/1 AND A.4.3.10-1/2 THEN R ELSE N/A  | UE supporting 5G core and NR sidelink transmission mode 2  |

| Condition | Test case Selection Expression  | Comment   |
|-----------|---|---|
| C129      | IF A.4.1-5/1 AND A.4.3.7-1/18 AND A.4.3.7-1/25 THEN R<br>ELSE N/A         | UEs supporting 5G Core and RRC message Segmentation in the UL and Support of test function for using a preconfigured UE capability container over NR              |
| C130      | IF A.4.1-5/1 AND A.4.3.8-1/15 THEN R ELSE N/A                             | UEs supporting 5G Core and inter-frequency DAPS handover  |
| C131      | IF A.4.1-5/1 AND A.4.3.7-1/24 THEN R ELSE N/A                             | UEs supporting 5G Core and SNPN   |
| C132      | IF A.4.1-5/1 AND A.4.3.7-1/23 THEN R ELSE N/A                             | UEs supporting 5G Core and CAG  |
| C133      | IF A.4.1-5/1 AND A.4.3.7-1/21 THEN R ELSE N/A                             | UEs supporting 5G Core and RRC connection release with<br>Deprioritisation  |
| C134      | IF A.4.3.2-1/45 THEN R ELSE N/A   | UEs supporting PUSCH repetition type B  |
| C135      | IF A.4.3.2-1/46 THEN R ELSE N/A   | UEs supporting 2-Step RACH  |
| C136      | IF A.4.1-5/1 AND A.4.4-1/3 THEN R ELSE N/A                                | UEs supporting 5G Core and delivery of rachReport upon request from the network.  |
| C137      | IF A.4.1-5/1 AND A.4.4-1/12 THEN R ELSE N/A                               | UEs supporting 5G coer and Bluetooth measurements in<br>RRC_IDLE and RRC_INACTIVE state   |
| C138      | IF A.4.1-5/1 AND A.4.4-1/13 THEN R ELSE N/A                               | UEs supporting 5G coer and WLAN measurements in RRC_IDLE<br>and RRC_INACTIVE state  |
| C139      | IF A.4.1-5/1 AND (A.4.4-1/7 OR A.4.4-1/8 OR A.4.4-1/9)<br>THEN R ELSE N/A | UEs supporting 5G Core and collection of sensor information such<br>as Barometeric pressure, UE speed, and UE orientation<br>information as defined in TS 37.355. |
| C140      | IF A.4.1-5/1 AND A.4.4-1/10 THEN R ELSE N/A                               | UEs supporting 5G core and Bluetooth Measurement Collection in<br>Immediate MDT   |
| C141      | IF A.4.1-5/1 AND A.4.4-1/11 THEN R ELSE N/A                               | UEs supporting 5G core and WLAN Measurement Collection in<br>Immediate MDT  |

Annex A (informative): Change history

|                    | T                |                        | -            |         |        | Change history  | -                |
|--------------------|------------------|------------------------|--------------|---------|--------|---|------------------|
| Date               | Meeting          | TDoc                   | CR           | R<br>ev | Cat    | Subject/Comment   | New<br>version   |
| 2017-08            | RAN5#76          | R5-174402              | -            | -       | -      | Introduction of TS 38.523-2   | 0.0.1            |
| 2018-03            |                  | R5-181762              | -            | -       | -      | Draft TS 38.523-2 v0.1.0  | 0.1.0            |
| 2018-04            |                  | R5-181837              | -            | -       | -      | Draft TS 38.523-2 v0.2.0  | 0.2.0            |
| 2018-04            |                  | R5-181838              | -            | -       | -      | Addition of applicability for new 5GS test cases  | 0.2.0            |
| 2018-04            |                  | R5-181210              | -            | -       | -      | Add applicability for new NR testcases  | 0.2.0            |
| 2018-04            |                  | R5-180922              | -            | -       | -      | Addition of applicability of new NR test cases 7.1.3.2 and 7.3.4.2  | 0.2.0            |
| 2018-04            |                  | R5-180974              | -            | -       | -      | Addition of New Layer 2 NR Test Case Applicability  | 0.2.0            |
| 2018-05            | RAN5#79          | R5-182897              | -            | -       | -      | Update to NR test cases applicability   | 1.0.0            |
| 2018-05            | RAN5#79          | R5-183158              | -            | -       | -      | Update to NR Test case applicability  | 1.0.0            |
| 2018-05            |                  | R5-183159              | -            | -       | -      | Addition of Layer 2 test case applicabilities and selection<br>expressions  | 1.0.0            |
| 2018-05            |                  | R5-183235              | -            | -       | -      | Correction to applicability of NR testcases   | 1.0.0            |
| 2018-05            |                  | R5-183236              | -            | -       | -      | Updates to applicability for session management TCs   | 1.0.0            |
| 2018-06            | RAN#80           | RP-181211              | -            | -       | -      | put under revision control as v15.0.0 with small editorial changes  | 15.0.0           |
| 2018-09<br>2018-09 | RAN#81<br>RAN#81 | R5-184682<br>R5-185157 | 0004         | -       | F      | Update of test case title for TC 8.2.5.1.1<br>Update of NR test cases title and applicability   | 15.1.0<br>15.1.0 |
| 2018-09            | RAN#81           | R5-185162              | 0003         | 1       | F      | Addition of missing and new test cases applicabilities  | 15.1.0           |
| 2018-03            | RAN#82           | R5-186875              | 0003         | -       | F      | Removal of applicability for RRC SCG failure tests  | 15.2.0           |
| 2018-12            | RAN#82           | R5-188196              | 0027         | 1       | F      | Addition of test applicabilities for 5GC testcases  | 15.2.0           |
| 2018-12            | RAN#82           | R5-187499              | 0029         | -       | F      | Adding applicability of test cases 8.2.2.1.1 and 8.2.2.3.1  | 15.2.0           |
| 2018-12            | RAN#82           | R5-187799              | 0022         | 1       | F      | Adding applicability for 5G TC TA registration update   | 15.2.0           |
| 2018-12            | RAN#82           | R5-188103              | 0033         | -       | F      | Update of applicability and selection expressions   | 15.2.0           |
| 2018-12            | RAN#82           | R5-188104              | 0030         | 1       | F      | Adding new test case applicability  | 15.2.0           |
| 2018-12            | RAN#82           | R5-188197              | 0031         | 3       | F      | Update of 5G-NR test cases applicability  | 15.2.0           |
| 2019-03<br>2019-03 | RAN#83<br>RAN#83 | R5-192033<br>R5-192707 | 0043         | -<br>1  | F<br>F | Addition of applicability of new 5GC test case 9.1.2.2<br>Introduction of Non 3GPP Access over WLAN test case<br>applicabilities            | 15.3.0<br>15.3.0 |
| 2019-03            | RAN#83           | R5-192809              | 0040         | 1       | F      | Addition of applicability for Inter-RAT measurement and handover  | 15.3.0           |
| 2019-03            | RAN#83           | R5-192856              | 0039         | 2       | F      | Addition of applicability for NR test case  | 15.3.0           |
| 2019-03            | RAN#83           | R5-192857              | 0042         | 3       | F      | Update of 5G-NR test cases applicability  | 15.3.0           |
| 2019-06            | RAN#84           | R5-194891              | 0054         | 1       | F      | Introduction of Non 3GPP Access over WLAN test case applicabilities   | 15.4.0           |
| 2019-06            | RAN#84           | R5-195371              | 0046         | 2       | F      | Addition of Applicability for test cases  | 15.4.0           |
| 2019-06            | RAN#84           | R5-195372              | 0051         | 2       | F      | Update of 5G-NR test cases applicability  | 15.4.0           |
| 2019-06            | RAN#84           | -                      | -            | -       | -      | Administrative release upgrade to match the release of 3GPP TS 38.508-1 which was upgraded at RAN#84 to Rel-16 due to Rel-16 relevant CR(s) | 16.0.0           |
| 2019-09            | RAN#85           | R5-197228              | 0057         | 1       | F      | Non 3GPP Access over WLAN test case applicabilities   | 16.1.0           |
| 2019-09            | RAN#85           | R5-197291              | 0062         | 1       | F      | Removal of applicability of Radio Link Failure test cases   | 16.1.0           |
| 2019-09            | RAN#85           | R5-197667              | 0055         | 2       | F      | Addition of applicability for RRC test cases  | 16.1.0           |
| 2019-09            | RAN#85           | R5-197668              | 0056         | 2       | F      | Update of 5G-NR test cases applicability  | 16.1.0           |
| 2019-12<br>2019-12 | RAN#86<br>RAN#86 | R5-198496<br>R5-199040 | 0074<br>0070 | -       | F      | Non 3GPP Access over WLAN test cases applicability<br>Addition of applicability for test cases  | 16.2.0<br>16.2.0 |
| 2019-12            | RAN#86           | R5-199040<br>R5-199060 | 0070         | 1       | F      | Update of 5G-NR test cases applicability  | 16.2.0           |
| 2019-12            | RAN#87           | R5-200235              | 0072         | +       | F      | Adding and modifying test applicability IMS Emergency Services  | 16.3.0           |
| 2020-03            | RAN#87           | R5-201147              | 0076         | 1       | F      | Correction to NR TC applicability-Split SRB   | 16.3.0           |
| 2020-03            | RAN#87           | R5-201233              | 0080         | 3       | F      | Update of 5G-NR test cases applicability  | 16.3.0           |
| 2020-06            | RAN#88           | R5-201381              | 0081         | -       | F      | Addition of applicability for NR Idle TCs   | 16.4.0           |
| 2020-06            | RAN#88           | R5-202141              | 0086         | -       | F      | Addition of new test applicability for DRX TC 7.1.1.5.5   | 16.4.0           |
| 2020-06            | RAN#88           | R5-202673              | 0082         | 1       | F      | Addition of applicability for NR RRC TCs  | 16.4.0           |
| 2020-06            | RAN#88           | R5-202674              | 0083         | 1       | F      | Addition of applicability for NR Multi Layer TCs  | 16.4.0           |
| 2020-06            | RAN#88           | R5-202675              | 0084         | 1       | F      | Update of 5G-NR test cases applicability  | 16.4.0           |
| 2020-06            | RAN#88           | R5-203120              | 0085         | 2       | F      | Introduction of applicability for new 5G IMS emergency test cases<br>and corrections  | 16.4.0           |
| 2020-09            | RAN#89           | R5-203542              | 0092         | -<br> - | F      | Splitting and updates to applicability of NR RLC test case 7.1.2.3.5  | 16.5.0           |
| 2020-09            | RAN#89           | R5-204469              | 0088         | 1       | F      | Addition of applicability for NR TCs  | 16.5.0           |
| 2020-09            | RAN#89           | R5-204470              | 0089         | 1       | F      | Correction to applicability of NR TCs   | 16.5.0           |
| 2020-09            | RAN#89           | R5-204471              | 0090         | 11      | F      | Update of 5G-NR test cases applicability  | 16.5.0           |

| 2020-09   | RAN#89                               | R5-204472  | 0094                         | 1           | F           | Addition of new RRC TC for checking extended / spare field handling in SI   | 16.5.0                     |
|---|--------------------------------------|--|------------------------------|-------------|-------------|---|----------------------------|
| 2020-09   | RAN#89                               | R5-204473  | 0095                         | 1           | F           | Removal of void test case and correction of condition for Inter-band measurements test cases  | 16.5.0                     |
| 2020-09   | RAN#89                               | R5-204519  | 0091                         | 1           | F           | Addition of test applicabilities of test cases for voice fallback indication  | 16.5.0                     |
| 2020-09   | RAN#89                               | R5-204520  | 0093                         | 1           | F           | Update applicability of Inter-RAT handover from NR to EN-DC test case   | 16.5.0                     |
| 2020-12   | RAN#90                               | R5-205287  | 0099                         | -           | F           | Addition of test applicabilities of test cases for UE power saving in NR  | 16.6.0                     |
| 2020-12   | RAN#90                               | R5-205389  | 0101                         | -           | F           | Correction to NR TC applicability   | 16.6.0                     |
| 2020-12   | RAN#90                               | R5-206367  | 0098                         | 1           | F           | Update of 5G-NR test cases applicability  | 16.6.0                     |
| 2020-12   | RAN#90                               | R5-206368  | 0103                         | 1           | F           | Addition of applicability for NR TCs  | 16.6.0                     |
| 2020-12   | RAN#90                               | R5-206399  | 0104                         | 1           | F           | Applicability statement for new test case for PDCP Duplication for Rel-16   | 16.6.0                     |
| 2020-12   | RAN#90                               | R5-206400  | 0108                         | 1           | F           | Applicability for ethernet header compression and decompression for NR  |                            |
| 2020-12   | RAN#90                               | R5-206406  | 0106                         | 1           | F           | Add applicability for NR MobEnc TCs   | 16.6.0                     |
| 2020-12   | RAN#90                               | R5-206413  | 0105                         | 1           | F           | Add applicability for NR V2X TCs  | 16.6.0                     |
| 2020-12   | RAN#90                               | R5-206416  | 0107                         | 1           | F           | Addition of applicability for eMIMO Test Cases  | 16.6.0                     |
| 2020-12   | RAN#90                               | R5-206432  | 0100                         | 1           | F           | Update applicability of Inter-RAT handover from NR to EN-DC test case 8.1.4.2.1.2   | 16.6.0                     |
| 2021-03   | RAN#91                               | R5-210161  | 0111                         |             | F           | Aligning content of 38.523-2 with 38.523-1  | 16.7.0                     |
| 2021-03   | RAN#91                               | R5-210513  | 0120                         | -           | F           | Addition of applicability for new NAS Test case 9.1.9.2   | 16.7.0                     |
| 2021-03   | RAN#91                               | R5-210801  | 0128                         | -           | F           | Adding applicability for new MDT test cases   | 16.7.0                     |
| 2021-03   | RAN#91                               | R5-210998  | 0129                         | -           | F           | Correction to applicability conditions of test cases 8.1.4.2.1.2 and 11.1.9   | 16.7.0                     |
| 2021-03   | RAN#91                               | R5-211327  | 0130                         | -           | F           | Remove applicability of 5GS Non-3GPP Access Test Case 9.2.5.2.1   | 16.7.0                     |
| 2021-03   | RAN#91                               | R5-211412  | 0109                         | 1           | F           | Update release applicability of RRC TC 8.1.1.2.4  | 16.7.0                     |
| 2021-03   | RAN#91                               | R5-211413  | 0112                         | 1           | F           | Adding missing applicability for TC 6.1.2.7 and 8.1.5.2.2   | 16.7.0                     |
| 2021-03   | RAN#91                               | R5-211414  | 0113                         | 1           | F           | Adding applicability for new IMS emergency TC 11.4.11   | 16.7.0                     |
| 2021-03   | RAN#91                               | R5-211415  | 0115                         | 1           | F           | Update of 5G-NR test cases applicability  | 16.7.0                     |
| 2021-03   | RAN#91                               | R5-211416  | 0123                         | 1           | F           | Correction to NR TC applicability for 5GS   | 16.7.0                     |
| 2021-03   | RAN#91                               | R5-211455  | 0124                         | 1           | F           | Correction to NR TC applicability for IIoT  | 16.7.0                     |
| 2021-03   | RAN#91                               | R5-211461  | 0127                         | 1           | F           | Correction to applicability for NR MobEnc   | 16.7.0                     |
| 2021-03   | RAN#91                               | R5-211464  | 0117                         | 1           | F           | Addition of test applicabilities for UE power saving in NR  | 16.7.0                     |
| 2021-03   | RAN#91                               | R5-211487  | 0110                         | 1           | F           | Applicability statement for new test cases for NR Immediate MDT   | 16.7.0                     |
| 2021-03   | RAN#91                               | R5-211488  | 0116                         | 1           | F           | Adding applicability for new logged MDT test cases  | 16.7.0                     |
| 2021-03   | RAN#91                               | R5-211489  | 0125                         | 1           | F           | Correction to NR TC applicability for MDT   | 16.7.0                     |
| 2021-03   | RAN#91                               | R5-211496  | 0121                         | 1           | F           | Introduction of applicability for SRVCC from NG-RAN to 3GPP<br>UTRAN  | 16.7.0                     |
| 2021-03   | RAN#91                               | R5-211504  | 0118                         | 1           | F           | Update to applicabilities for the EPS fallback test cases   | 16.7.0                     |
| 2021-06   | RAN#92                               | R5-212040  | 0131                         | -           | F           | Applicability statement for new test cases for Connection<br>Establishment Failure in NR MDT  | 16.8.0                     |
| 2021-06   |                                      | R5-212041  | 0132                         | -           | F           | Applicability statement for new test cases for Inter-System<br>Immediate MDT  | 16.8.0                     |
| 2021-06   | RAN#92                               | R5-212380  | 0137                         | -           | F           | Correcting applicability condition for C36 used in TS 38.523 TC 6.1.1.5   | 16.8.0                     |
| 2021-06   | RAN#92                               | R5-212386  | 0138                         |             | F           | Update to applicability of TC 11.4.10 and 11.4.11   | 16.8.0                     |
| 2021-06   | RAN#92                               | R5-212438  | 0139                         | -           | F           | Correction to applicability for Multi-Layer TCs   | 16.8.0                     |
| 2021-06   | RAN#92                               | R5-212539  | 0143                         | -           | F           | Remove cross slot scheduling test case applicability  | 16.8.0                     |
| 2021-06   | RAN#92                               | R5-212549  | 0144                         |             | F           | Addition of applicability for new 5G SRVCC test case  | 16.8.0                     |
| 2021-06   | RAN#92                               | R5-212808  | 0147                         | -           | F           | Addition of applicability for NPN test cases  | 16.8.0                     |
| 2021-06   | RAN#92                               | R5-213375  | 0153                         | -           | F           | Adding applicability for new 2-Step RACH test cases   | 16.8.0                     |
| 2021-06   | RAN#92                               | R5-213385  | 0154                         | -           | F           | Correction of test applicability for TC 9.1.5.1.15  | 16.8.0                     |
| 2021-06   | RAN#92                               | R5-213513  | 0134                         | 1           |             | Update of 5G-NR test cases applicability  | 16.8.0                     |
|   | RAN#92                               | R5-213514  | 0149                         | 1           | F           | Update of test case titles of 5GC in applicability table  | 16.8.0<br>16.8.0           |
| 2021-06   |                                      |  | 0151                         | 1           |             | Addition of applicability for NR5G RRC TC 8.1.1.3.7   |                            |
| 2021-06   | RAN#92                               | R5-213515  |                              | 1           |             |   |                            |
| 2021-06<br>2021-06                                  | RAN#92                               | R5-213556  | 0140                         | 1           | F           | Correction to applicability for NR MobEnc   | 16.8.0                     |
| 2021-06<br>2021-06<br>2021-06                       | RAN#92<br>RAN#92                     | R5-213556<br>R5-213572                           | 0140<br>0155                 | 1           | F           | Applicability of NR V2X test cases 12.1.7.1 and 12.1.7.2  | 16.8.0                     |
| 2021-06<br>2021-06<br>2021-06<br>2021-06            | RAN#92<br>RAN#92<br>RAN#92           | R5-213556<br>R5-213572<br>R5-213586              | 0140<br>0155<br>0146         | 1<br>1      | F<br>F      | Applicability of NR V2X test cases 12.1.7.1 and 12.1.7.2<br>Addition of applicability for RACS test cases   | 16.8.0<br>16.8.0           |
| 2021-06<br>2021-06<br>2021-06<br>2021-06<br>2021-06 | RAN#92<br>RAN#92<br>RAN#92<br>RAN#92 | R5-213556<br>R5-213572<br>R5-213586<br>R5-213634 | 0140<br>0155<br>0146<br>0133 | 1<br>1<br>1 | F<br>F<br>F | Applicability of NR V2X test cases 12.1.7.1 and 12.1.7.2<br>Addition of applicability for RACS test cases<br>Addition of applicability for new MDT TC 8.1.6.1.3.x | 16.8.0<br>16.8.0<br>16.8.0 |
| 2021-06<br>2021-06<br>2021-06<br>2021-06            | RAN#92<br>RAN#92<br>RAN#92           | R5-213556<br>R5-213572<br>R5-213586              | 0140<br>0155<br>0146         | 1<br>1      | F<br>F      | Applicability of NR V2X test cases 12.1.7.1 and 12.1.7.2<br>Addition of applicability for RACS test cases   | 16.8.0<br>16.8.0           |

# History

| Document history |                |             |
|------------------|----------------|-------------|
| V16.4.0          | July 2020      | Publication |
| V16.5.0          | November 2020  | Publication |
| V16.6.0          | January 2021   | Publication |
| V16.7.0          | May 2021       | Publication |
| V16.8.0          | September 2021 | Publication |