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**5G;  
NR;  
User Equipment (UE) conformance specification;  
Radio transmission and reception;  
Part 1: Range 1 Standalone  
(3GPP TS 38.521-1 version 15.0.0 Release 15)**



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# Foreword

This Technical Specification has been produced by the 3rd Generation Partnership Project (3GPP).

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The present document is one part of a multi-part Technical Specification (TS) covering the New Radio (NR) User Equipment (UE) conformance specification, which is divided in the following parts:

- 3GPP TS 38.521-1: " NR; User Equipment (UE) conformance specification; Radio transmission and reception; Part 1: Range 1 Standalone" (the present document).
- 3GPP TS 38.521-2 [13]: " NR; User Equipment (UE) conformance specification; Radio transmission and reception; Part 2: Range 2 Standalone".
- 3GPP TS 38.521-3 [14]: "NR; User Equipment (UE) conformance specification; Radio transmission and reception; Part 3: Range 1 and Range 2 Interworking operation with other radios"
- 3GPP TS 38.521-4 [15]: "NR; User Equipment (UE) conformance specification; Radio transmission and reception; Part 4: Performance".
- 3GPP TS 38.522 [16]: NR; User Equipment (UE) conformance specification; Applicability of RF and RRM test cases;
- 3GPP TS 38.533 [17]: NR; User Equipment (UE) conformance specification; Radio resource management;

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# 1 Scope

The present document specifies the measurement procedures for the conformance test of the user equipment (UE) that contain RF characteristics for frequency Range 1 as part of the 5G-NR.

The requirements are listed in different clauses only if the corresponding parameters deviate. More generally, tests are only applicable to those mobiles that are intended to support the appropriate functionality. To indicate the circumstances in which tests apply, this is noted in the "*definition and applicability*" part of the test.

For example only Release 15 and later UE declared to support 5G-NR shall be tested for this functionality. In the event that for some tests different conditions apply for different releases, this is indicated within the text of the test itself.

---

# 2 Reference

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

**Editor's note: intended to capture more references**

- [1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".
- [2] 3GPP TS 38.101-1: "NR; User Equipment (UE) radio transmission and reception; Part 1: Range 1 Standalone".
- [3] 3GPP TS 38.101-2: " NR; User Equipment (UE) radio transmission and reception; Part 2: Range 2 Standalone".
- [4] 3GPP TS 38.101-3: " NR; User Equipment (UE) radio transmission and reception; Part 3: Range 1 and Range 2 Interworking operation with other radios".
- [5] 3GPP TS 38.508-1: "5GS; User Equipment (UE) conformance specification; Part 1: Common test environment".
- [6] 3GPP TS 38.331: "NR; Radio Resource Control (RRC); Protocol specification".
- [7] Recommendation ITU-R M.1545: "Measurement uncertainty as it applies to test limits for the terrestrial component of International Mobile Telecommunications-2000".
- [8] 3GPP TS 38.211: "NR; Physical channels and modulation".
- [9] 3GPP TS 38.213: "NR; Physical layer procedures for control".
- [10] 3GPP TR 38.903: "NR; Derivation of test tolerances and measurement uncertainty for User Equipment (UE) conformance tests".
- [11] 3GPP TR 38.905: "NR; Derivation of test points for radio transmission and reception conformance test cases".
- [12] 3GPP TS 38.214: "NR; Physical layer procedures for data".
- [13] 3GPP TS 38.521-2: "NR; User Equipment (UE) conformance specification; Radio transmission and reception; Part 2: Range 2 Standalone".
- [14] 3GPP TS 38.521-3: "NR; User Equipment (UE) conformance specification; Radio transmission and reception; Part 3: Range 1 and Range 2 Interworking operation with other radios".

- [15] 3GPP TS 38.521-4: "NR; User Equipment (UE) conformance specification; Radio transmission and reception; Part 4: Performance".
- [16] 3GPP TS 38.522: "NR; User Equipment (UE) conformance specification; Applicability of RF and RRM test cases".
- [17] 3GPP TS 38.533: "NR; User Equipment (UE) conformance specification; Applicability of RF and RRM test cases".

## 3 Definitions, symbols and abbreviations

### 3.1 Definitions

For the purposes of the present document, the terms and definitions given in TR 21.905 [1] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in TR 21.905 [1].

**Aggregated Channel Bandwidth:** The RF bandwidth in which a UE transmits and receives multiple contiguously aggregated carriers.

**Carrier aggregation:** Aggregation of two or more component carriers in order to support wider transmission bandwidths.

**Carrier aggregation band:** A set of one or more operating bands across which multiple carriers are aggregated with a specific set of technical requirements.

**Carrier aggregation bandwidth class:** A class defined by the aggregated transmission bandwidth configuration and maximum number of component carriers supported by a UE.

**Carrier aggregation configuration:** A combination of CA operating band(s) and CA bandwidth class(es) supported by a UE.

**Contiguous carriers:** A set of two or more carriers configured in a spectrum block where there are no RF requirements based on co-existence for un-coordinated operation within the spectrum block.

**Contiguous resource allocation:** A resource allocation of consecutive resource blocks within one carrier or across contiguously aggregated carriers. The gap between contiguously aggregated carriers due to the nominal channel spacing is allowed.

**Contiguous spectrum:** Spectrum consisting of a contiguous block of spectrum with no sub-block gaps.

**Inter-band carrier aggregation:** Carrier aggregation of component carriers in different operating bands.

NOTE: Carriers aggregated in each band can be contiguous or non-contiguous.

**Intra-band contiguous carrier aggregation:** Contiguous carriers aggregated in the same operating band.

**Intra-band non-contiguous carrier aggregation:** Non-contiguous carriers aggregated in the same operating band.

**Sub-block:** This is one contiguous allocated block of spectrum for transmission and reception by the same UE. There may be multiple instances of sub-blocks within an RF bandwidth.

**Sub-block bandwidth:** The bandwidth of one sub-block.

**Sub-block gap:** A frequency gap between two consecutive sub-blocks within an RF bandwidth, where the RF requirements in the gap are based on co-existence for un-coordinated operation.

### 3.2 Symbols

For the purposes of the present document, the following symbols apply:

**Editor's note: intended to capture symbols**

$\Delta F_{\text{Global}}$	Granularity of the global frequency raster
$\Delta F_{\text{Raster}}$	Band dependent channel raster granularity

$\Delta f_{\text{OOB}}$	$\Delta$ Frequency of Out Of Band emission.
$\Delta F_{\text{TX-RX}}$	$\Delta$ Frequency of default TX-RX separation of the FDD operating band.
$\Delta \text{SUL}$	Channel raster offset for SUL
$\Delta T_{\text{IB},c}$	Allowed maximum configured output power relaxation due to support for inter-band CA operation, for serving cell $c$ .
$\text{BW}_{\text{Channel}}$	Channel bandwidth
$\text{BW}_{\text{Channel,block}}$	Sub-block bandwidth, expressed in MHz. $\text{BW}_{\text{Channel,block}} = F_{\text{edge,block,high}} - F_{\text{edge,block,low}}$
$\text{BW}_{\text{Channel\_CA}}$	Aggregated channel bandwidth, expressed in MHz.
$\text{BW}_{\text{Channel,max}}$	Maximum channel bandwidth supported among all bands in a release
$\text{Ceil}(x)$	Rounding upwards; $\text{ceil}(x)$ is the smallest integer such that $\text{ceil}(x) \geq x$
$\text{Floor}(x)$	Rounding downwards; $\text{floor}(x)$ is the greatest integer such that $\text{floor}(x) \leq x$
$F_{\text{C}}$	RF reference frequency on the channel raster, given in table 5.4.2.2-1
$F_{\text{C,block,high}}$	Fc of the highest transmitted/received carrier in a sub-block
$F_{\text{C,block,low}}$	Fc of the lowest transmitted/received carrier in a sub-block
$F_{\text{C,low}}$	The Fc of the lowest carrier, expressed in MHz
$F_{\text{C,high}}$	The Fc of the highest carrier, expressed in MHz
$F_{\text{DL,low}}$	The lowest frequency of the downlink operating band
$F_{\text{DL,high}}$	The highest frequency of the downlink operating band
$F_{\text{UL,low}}$	The lowest frequency of the uplink operating band
$F_{\text{UL,high}}$	The highest frequency of the uplink operating band
$F_{\text{edge,block,low}}$	The lower sub-block edge, where $F_{\text{edge,block,low}} = F_{\text{C,block,low}} - F_{\text{offset}}$ .
$F_{\text{edge,block,high}}$	The upper sub-block edge, where $F_{\text{edge,block,high}} = F_{\text{C,block,high}} + F_{\text{offset}}$ .
$F_{\text{edge,low}}$	The <i>lower edge</i> of aggregated channel bandwidth, expressed in MHz.
$F_{\text{edge,high}}$	The <i>higher edge</i> of aggregated channel bandwidth, expressed in MHz.
$F_{\text{offset}}$	Frequency offset from $F_{\text{C,high}}$ to the <i>higher edge</i> or $F_{\text{C,low}}$ to the <i>lower edge</i> .
$F_{\text{offset,block,low}}$	Separation between lower edge of a sub-block and the centre of the lowest component carrier within the sub-block
$F_{\text{offset,block,high}}$	Separation between higher edge of a sub-block and the centre of the highest component carrier within the sub-block
$F_{\text{OOB}}$	The boundary between the NR out of band emission and spurious emission domains
$F_{\text{REF}}$	RF reference frequency
$L_{\text{CRB}}$	Transmission bandwidth which represents the length of a contiguous resource block allocation expressed in units of resources blocks
$L_{\text{CRB,Max}}$	Maximum number of RB for a given Channel bandwidth and sub-carrier spacing
$\text{Min}()$	The smallest of given numbers
$\text{Max}()$	The largest of given numbers
$\text{NR}_{\text{ACLR}}$	NR ACLR
$\text{NR}_{\text{RB}}$	Transmission bandwidth configuration, expressed in units of resource blocks
$\text{RB}_{\text{START}}$	Indicates the lowest RB index of transmitted resource blocks

### 3.3 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [1].

**Editor's note: intended to capture abbreviations.**

SCS	Subcarrier spacing
SUL	Supplementary uplink
MPR	Allowed maximum power reduction
$\text{CA}_{\text{nX-nY}}$	Inter-band CA of component carrier(s) in one sub-block within Band X and component carrier(s) in one sub-block within Band Y where X and Y are the applicable NR operating band
CC	Component Carriers

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## 4 General

**Editor's note: Intended to capture more information in future**

## 4.1 Relationship between minimum requirements and test requirements

The TS 38.101-1 [2] is a Single-RAT specification for NR UE, covering RF characteristics and minimum performance requirements. Conformance to the TS 38.101-1 [2] is demonstrated by fulfilling the test requirements specified in the present document.

The Minimum Requirements given in TS 38.101-1 [2] make no allowance for measurement uncertainty. The present document defines test tolerances and measurement uncertainty. These test tolerances are individually calculated for each test. The test tolerances are used to relax the minimum requirements in this specification to create test requirements. For some requirements, including regulatory requirements, the test tolerance is set to zero.

The measurement results returned by the test system are compared - without any modification - against the test requirements as defined by the shared risk principle.

The shared risk principle is defined in Recommendation ITU-R M.1545 [7].

## 4.2 Applicability of minimum requirements

- a) In TS 38.101-1 [2] the Minimum Requirements are specified as general requirements and additional requirements. Where the Requirement is specified as a general requirement, the requirement is mandated to be met in all scenarios
- b) For specific scenarios for which an additional requirement is specified, in addition to meeting the general requirement, the UE is mandated to meet the additional requirements.
- c) The spurious emissions power requirements are for the long-term average of the power. For the purpose of reducing measurement uncertainty it is acceptable to average the measured power over a period of time sufficient to reduce the uncertainty due to the statistical nature of the signal.

## 4.3 Specification suffix information

Unless stated otherwise the following suffixes are used for indicating at 2<sup>nd</sup> level subclause, shown in Table 4.3-1.

**Table 4.3-1: Definition of suffixes**

Clause suffix	Variant
None	Single Carrier
A	Carrier Aggregation (CA)
B	Dual-Connectivity (DC)
C	Supplement Uplink (SUL)
D	UL MIMO

A terminal which supports the above features needs to meet both the general requirements and the additional requirement applicable to the additional subclause (suffix A, B, C and D) in clauses 5, 6 and 7. Where there is a difference in requirement between the general requirements and the additional subclause requirements (suffix A, B, C and D) in clauses 5, 6 and 7, the tighter requirements are applicable unless stated otherwise in the additional subclause.

A terminal which supports more than one feature in clauses 5, 6 and 7 shall meet all of the separate corresponding requirements.

For a terminal that supports SUL for the band combination specified in Table 5.2C-1, the current version of the specification assumes the terminal is configured with active transmission either on UL carrier or SUL carrier at any time in one serving cell and the UE requirements for single carrier shall apply for the active UL or SUL carrier accordingly.

## 4.4 Test points analysis

The information on test point analysis and test point selection including number of test points for each test case is shown in TR 38.905 [11] clause 4.1.

## 5 Operating bands and Channel arrangement

### 5.1 General

The channel arrangements presented in this clause are based on the operating bands and channel bandwidths defined in the present release of specifications.

NOTE: Other operating bands and channel bandwidths may be considered in future releases.

Requirements throughout the RF specifications are in many cases defined separately for different frequency ranges (FR). The frequency ranges in which NR can operate according to this version of the specification are identified as described in Table 5.1-1.

**Table 5.1-1: Definition of frequency ranges**

Frequency range designation	Corresponding frequency range
FR1	450 MHz – 6000 MHz
FR2	24250 MHz – 52600 MHz

The present specification covers FR1 operating bands.

### 5.2 Operating bands

NR is designed to operate in the FR1 operating bands defined in Table 5.2-1.

**Table 5.2-1: NR operating bands in FR1**

NR operating band	Uplink (UL) operating band BS receive / UE transmit $F_{UL\_low}$ – $F_{UL\_high}$	Downlink (DL) operating band BS transmit / UE receive $F_{DL\_low}$ – $F_{DL\_high}$	Duplex Mode
n1	1920 MHz – 1980 MHz	2110 MHz – 2170 MHz	FDD
n2	1850 MHz – 1910 MHz	1930 MHz – 1990 MHz	FDD
n3	1710 MHz – 1785 MHz	1805 MHz – 1880 MHz	FDD
n5	824 MHz – 849 MHz	869 MHz – 894 MHz	FDD
n7	2500 MHz – 2570 MHz	2620 MHz – 2690 MHz	FDD
n8	880 MHz – 915 MHz	925 MHz – 960 MHz	FDD
n12	699 MHz – 716 MHz	729 MHz – 746 MHz	FDD
n20	832 MHz – 862 MHz	791 MHz – 821 MHz	FDD
n25	1850 MHz – 1915 MHz	1930 MHz – 1995 MHz	FDD
n28	703 MHz – 748 MHz	758 MHz – 803 MHz	FDD
n34	2010 MHz – 2025 MHz	2010 MHz – 2025 MHz	TDD
n38	2570 MHz – 2620 MHz	2570 MHz – 2620 MHz	TDD
n39	1880 MHz – 1920 MHz	1880 MHz – 1920 MHz	TDD
n40	2300 MHz – 2400 MHz	2300 MHz – 2400 MHz	TDD
n41	2496 MHz – 2690 MHz	2496 MHz – 2690 MHz	TDD
n51	1427 MHz – 1432 MHz	1427 MHz – 1432 MHz	TDD
n66	1710 MHz – 1780 MHz	2110 MHz – 2200 MHz	FDD
n70	1695 MHz – 1710 MHz	1995 MHz – 2020 MHz	FDD
n71	663 MHz – 698 MHz	617 MHz – 652 MHz	FDD
n75	N/A	1432 MHz – 1517 MHz	SDL
n76	N/A	1427 MHz – 1432 MHz	SDL
n77	3300 MHz – 4200 MHz	3300 MHz – 4200 MHz	TDD
n78	3300 MHz – 3800 MHz	3300 MHz – 3800 MHz	TDD
n79	4400 MHz – 5000 MHz	4400 MHz – 5000 MHz	TDD
n80	1710 MHz – 1785 MHz	N/A	SUL
n81	880 MHz – 915 MHz	N/A	SUL
n82	832 MHz – 862 MHz	N/A	SUL
n83	703 MHz – 748 MHz	N/A	SUL
n84	1920 MHz – 1980 MHz	N/A	SUL
n86	1710 MHz – 1780MHz	N/A	SUL

## 5.2A Operating bands for CA

### 5.2A.1 Intra-band CA

NR intra-band contiguous carrier aggregation is designed to operate in the operating bands defined in Table 5.2A.1-1, where all operating bands are within FR1.

NR intra-band contiguous carrier aggregation is designed to operate in the operating bands defined in Table 5.2A.1-1, where all operating bands are within FR1.

**Table 5.2A.1-1: Intra-band contiguous CA operating bands in FR1**

NR CA Band	NR Band (Table 5.2-1)
CA_n77	n77
CA_n78	n78
CA_n79	n79

### 5.2A.2 Inter-band CA

NR inter-band carrier aggregation is designed to operate in the operating bands defined in Table 5.2A.2-1, where all operating bands are within FR1.

**Table 5.2A.2-1: Inter-band CA operating bands involving FR1 (two bands)**

NR CA Band	NR Band (Table 5.2-1)
CA_n3A-n77A	n3, n77
CA_n3A-n78A	n3, n78
CA_n3A-n79A	n3, n79
CA_n8A-n78A	n8, n78
CA_n8A-n79A	n8, n79
CA_n28A_n78A	n28, n78
CA_n41A-n78A	n41, n78
CA_n75A-n78A <sup>1</sup>	n75, n78
CA_n77A-n79A	n77, n79
CA_n78A-n79A	n78, n79
NOTE 1: Applicable for UE supporting inter-band carrier aggregation with mandatory simultaneous Rx/Tx capability.	

## 5.2B Operating bands for DC

### 5.2B.1 General

NR dual connectivity is designed to operate in the operating bands defined in Table 5.2B-1, where all operating bands are within FR1.

**Table 5.2B-1: Inter-band DC operating bands involving FR1 (two bands)**

NR DC Band	NR Band (Table 5.2-1)
FFS	FFS
NOTE: Applicable for UE supporting inter-band dual connectivity with mandatory simultaneous Rx/Tx capability.	

## 5.2C Operating band combination for SUL

NR operation is designed to operate in the operating band combination defined in Table 5.2C-1, where all operating bands are within FR1.

**Table 5.2C-1: Operating band combination for SUL in FR1**

NR Band combination for SUL	NR Band (Table 5.2-1)
SUL_n78-n80 <sup>2</sup>	n78, n80
SUL_n78-n81 <sup>2</sup>	n78, n81
SUL_n78-n82 <sup>2</sup>	n78, n82
SUL_n78-n83 <sup>2</sup>	n78, n83
SUL_n78-n84 <sup>2</sup>	n78, n84
SUL_n78-n86 <sup>2</sup>	n78, n86
SUL_n79-n80 <sup>2</sup>	n79, n80
SUL_n79-n81 <sup>2</sup>	n79, n81
NOTE 1: If a UE is configured with both NR UL and NR SUL carriers in a cell, the switching time between NR UL carrier and NR SUL carrier is 0us.	
NOTE 2: For UE supporting SUL band combination simultaneous Rx/Tx capability is mandatory.	

## 5.3 UE channel bandwidth

### 5.3.1 General

The UE channel bandwidth supports a single NR RF carrier in the uplink or downlink at the UE. From a BS perspective, different UE channel bandwidths may be supported within the same spectrum for transmitting to and receiving from UEs connected to the BS. Transmission of multiple carriers to the same UE (CA) or multiple carriers to different UEs within the BS channel bandwidth can be supported.

From a UE perspective, the UE is configured with one or more BWP / carriers, each with its own UE channel bandwidth. The UE does not need to be aware of the BS channel bandwidth or how the BS allocates bandwidth to different UEs.

The placement of the UE channel bandwidth for each UE carrier is flexible but can only be completely within the BS channel bandwidth.

### 5.3.2 Maximum transmission bandwidth configuration

The maximum transmission bandwidth configuration  $N_{RB}$  for each UE channel bandwidth and subcarrier spacing is specified in Table 5.3.2-1.

**Table 5.3.2-1: Maximum transmission bandwidth configuration  $N_{RB}$** 

SCS (kHz)	5MHz	10MHz	15MHz	20 MHz	25 MHz	30 MHz	40 MHz	50MHz	60 MHz	80 MHz	90 MHz	100 MHz
	$N_{RB}$											
15	25	52	79	106	133	160	216	270	N/A	N/A	N/A	N/A
30	11	24	38	51	65	78	106	133	162	217	245	273
60	N/A	11	18	24	31	38	51	65	79	107	121	135

### 5.3.3 Minimum guard band and transmission bandwidth configuration

The minimum guard band for each UE channel bandwidth and SCS is specified in Table 5.3.3-1. The relationship between the channel bandwidth, the guard band and the transmission bandwidth configuration is shown in Figure 5.3.3-1.

**Table 5.3.3-1: Minimum guard band for each UE channel bandwidth and SCS (kHz)**

SCS (kHz)	5 MHz	10 MHz	15 MHz	20 MHz	25 MHz	30 MHz	40 MHz	50MHz	60 MHz	80 MHz	90 MHz	100 MHz
15	242.5	312.5	382.5	452.5	522.5	592.5	552.5	692.5	N/A	N/A	N/A	N/A
30	505	665	645	805	785	945	905	1045	825	925	885	845

60	N/A	1010	990	1330	1310	1290	1610	1570	1530	1450	1410	1370
----	-----	------	-----	------	------	------	------	------	------	------	------	------

NOTE: The minimum guard bands have been calculated using the following equation:  $(CHBW \times 1000 \text{ (kHz)} - RB \text{ value} \times SCS \times 12) / 2 - SCS/2$ , where RB values are from Table 5.3.2-1.

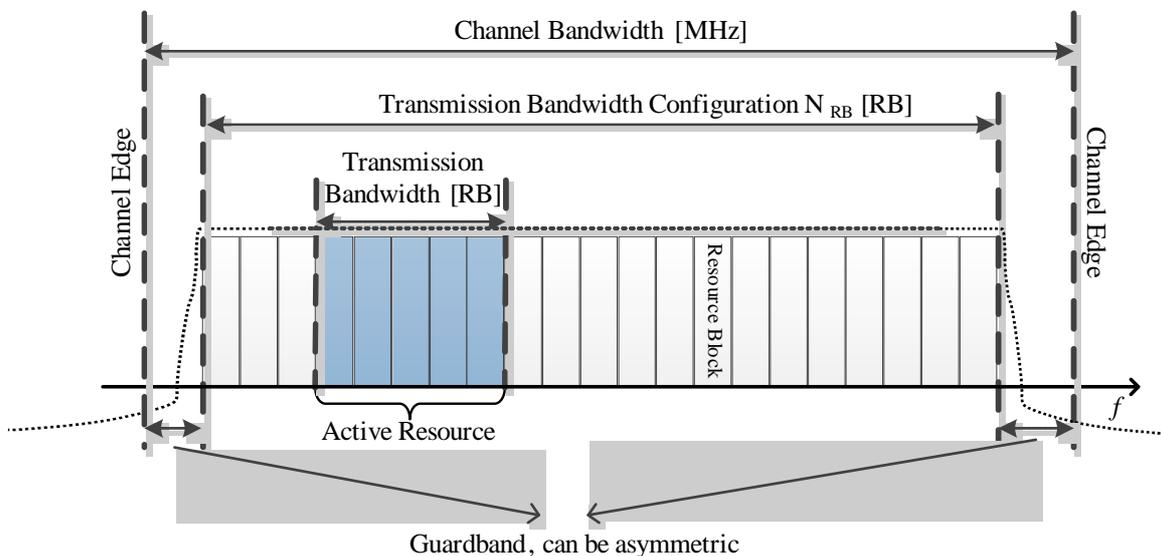


Figure 5.3.3-1: Definition of channel bandwidth and transmission bandwidth configuration for one NR channel

The number of RBs configured in any channel bandwidth shall ensure that the minimum guard band specified in this clause is met.

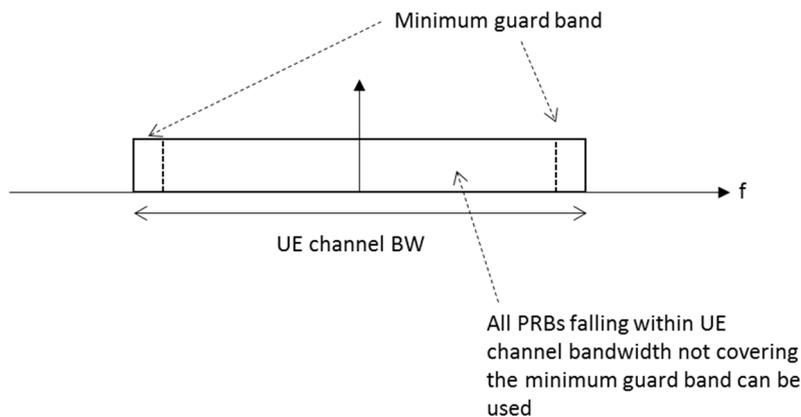
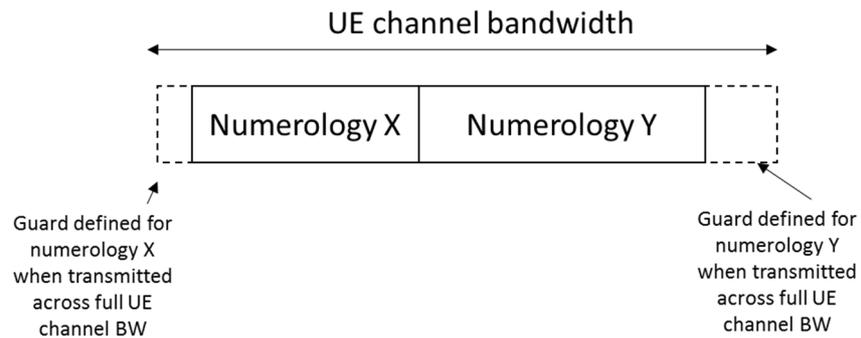


Figure 5.3.3-2: UE PRB utilization

In the case that multiple numerologies are multiplexed in the same symbol due to transmission of SSB, the minimum guard band on each side of the carrier is the guard band applied at the configured channel bandwidth for the numerology that is received immediately adjacent to the guard.

If multiple numerologies are multiplexed in the same symbol and the UE channel bandwidth is  $>50$  MHz, the minimum guard band applied adjacent to 15 kHz SCS shall be the same as the minimum guard band defined for 30 kHz SCS for the same UE channel bandwidth.



**Figure 5.3.3-3: Guard band definition when transmitting multiple numerologies**

NOTE: Figure 5.3.3-3 is not intended to imply the size of any guard between the two numerologies. Inter-numerology guard band within the carrier is implementation dependent.

### 5.3.4 RB alignment with different numerologies

For each numerology, its common resource blocks are specified in Section 4.4.4.3 in [9], and the starting point of its transmission bandwidth configuration on the common resource block grid for a given channel bandwidth is indicated by an offset to “Reference point A” in the unit of the numerology. The indicated transmission bandwidth configuration must fulfil the minimum guard band requirement specified in Section 5.3.3.

### 5.3.5 UE channel bandwidth per operating band

The requirements in this specification apply to the combination of channel bandwidths, SCS and operating bands shown in Table 5.3.5-1. The transmission bandwidth configuration in Table 5.3.2-1 shall be supported for each of the specified channel bandwidths. The channel bandwidths are specified for both the TX and RX path.

**Table 5.3.5-1: Channel Bandwidths for Each NR band**

NR band / SCS / UE Channel bandwidth													
NR Band	SCS kHz	5 MHz	10 <sup>1,2</sup> MHz	15 <sup>2</sup> MHz	20 <sup>2</sup> MHz	25 <sup>2</sup> MHz	30 MHz	40 MHz	50 MHz	60 MHz	80 MHz	90 MHz	100 MHz
n1	15	Yes	Yes	Yes	Yes								
	30		Yes	Yes	Yes								
	60		Yes	Yes	Yes								
n2	15	Yes	Yes	Yes	Yes								
	30		Yes	Yes	Yes								
	60		Yes	Yes	Yes								
n3	15	Yes	Yes	Yes	Yes	Yes	Yes						
	30		Yes	Yes	Yes	Yes	Yes						
	60		Yes	Yes	Yes	Yes	Yes						
n5	15	Yes	Yes	Yes	Yes								
	30		Yes	Yes	Yes								
	60												
n7	15	Yes	Yes	Yes	Yes								
	30		Yes	Yes	Yes								
	60		Yes	Yes	Yes								
n8	15	Yes	Yes	Yes	Yes								
	30		Yes	Yes	Yes								
	60												
n12	15	Yes	Yes	Yes									
	30		Yes	Yes									
	60												
n20	15	Yes	Yes	Yes	Yes								
	30		Yes	Yes	Yes								
	60												
n25	15	Yes	Yes	Yes	Yes								
	30		Yes	Yes	Yes								
	60		Yes	Yes	Yes								
n28	15	Yes	Yes	Yes	Yes								
	30		Yes	Yes	Yes								
	60												
n34	15	Yes	Yes	Yes									
	30		Yes	Yes									
	60		Yes	Yes									
n38	15	Yes	Yes	Yes	Yes								
	30		Yes	Yes	Yes								
	60		Yes	Yes	Yes								
n39	15	Yes	Yes	Yes	Yes	Yes	Yes	Yes					
	30		Yes	Yes	Yes	Yes	Yes	Yes					
	60		Yes	Yes	Yes	Yes	Yes	Yes					
n40	15	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes				
	30		Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes		
	60		Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes		
n41	15		Yes	Yes	Yes			Yes	Yes				
	30		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes
	60		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes
n50	15	Yes	Yes	Yes	Yes			Yes	Yes				
	30		Yes	Yes	Yes			Yes	Yes	Yes	Yes <sup>3</sup>		
	60		Yes	Yes	Yes			Yes	Yes	Yes	Yes <sup>3</sup>		
n51	15	Yes											
	30												
	60												
n66	15	Yes	Yes	Yes	Yes			Yes					
	30		Yes	Yes	Yes			Yes					
	60		Yes	Yes	Yes			Yes					
n70	15	Yes	Yes	Yes	Yes <sup>3</sup>	Yes <sup>3</sup>							
	30		Yes	Yes	Yes <sup>3</sup>	Yes <sup>3</sup>							
	60		Yes	Yes	Yes <sup>3</sup>	Yes <sup>3</sup>							

n71	15	Yes	Yes	Yes	Yes								
	30		Yes	Yes	Yes								
	60												
n74	15	Yes	Yes	Yes	Yes								
	30		Yes	Yes	Yes								
	60		Yes	Yes	Yes								
n75	15	Yes	Yes	Yes	Yes								
	30		Yes	Yes	Yes								
	60		Yes	Yes	Yes								
n76	15	Yes											
	30												
	60												
n77	15		Yes	Yes	Yes			Yes	Yes				
	30		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes
	60		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes
n78	15		Yes	Yes	Yes			Yes	Yes				
	30		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes
	60		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes
n79	15							Yes	Yes				
	30							Yes	Yes	Yes	Yes		Yes
	60							Yes	Yes	Yes	Yes		Yes
n80	15	Yes	Yes	Yes	Yes	Yes	Yes						
	30		Yes	Yes	Yes	Yes	Yes						
	60		Yes	Yes	Yes	Yes	Yes						
n81	15	Yes	Yes	Yes	Yes								
	30		Yes	Yes	Yes								
	60												
n82	15	Yes	Yes	Yes	Yes								
	30		Yes	Yes	Yes								
	60												
n83	15	Yes	Yes	Yes	Yes								
	30		Yes	Yes	Yes								
	60												
n84	15	Yes	Yes	Yes	Yes								
	30		Yes	Yes	Yes								
	60		Yes	Yes	Yes								
n86	15	Yes	Yes	Yes	Yes			Yes					
	30		Yes	Yes	Yes			Yes					
	60		Yes	Yes	Yes			Yes					
NOTE 1: 90% spectrum utilization may not be achieved for 30kHz SCS.													
NOTE 2: 90% spectrum utilization may not be achieved for 60kHz SCS.													
NOTE 3: This UE channel bandwidth is applicable only to downlink.													

### 5.3.6 Asymmetric channel bandwidths

The UE channel bandwidth can be asymmetric in downlink and uplink. In asymmetric channel bandwidth operation, the narrower carrier shall be confined within the frequency range of the wider channel bandwidth.

In FDD, the confinement is defined as a deviation to the default Tx-Rx carrier centre frequency separation (defined in Table 5.4.4-1) as following:

$$\Delta F_{TX-RX} = |(BW_{DL} - BW_{UL})/2|$$

The operating bands and supported asymmetric channel bandwidth combinations are defined in Table 5.3.6-1.

**Table 5.3.6-1: FDD asymmetric UL and DL channel bandwidth combinations**

NR Band	Channel bandwidths for UL (MHz)	Channel bandwidths for DL (MHz)
n66	5, 10	20, 40
	20	40
n70	5	10, 15
	5, 10, 15	20, 25

In TDD, the operating bands and supported asymmetric channel bandwidth combinations are defined in Table 5.3.6-2.

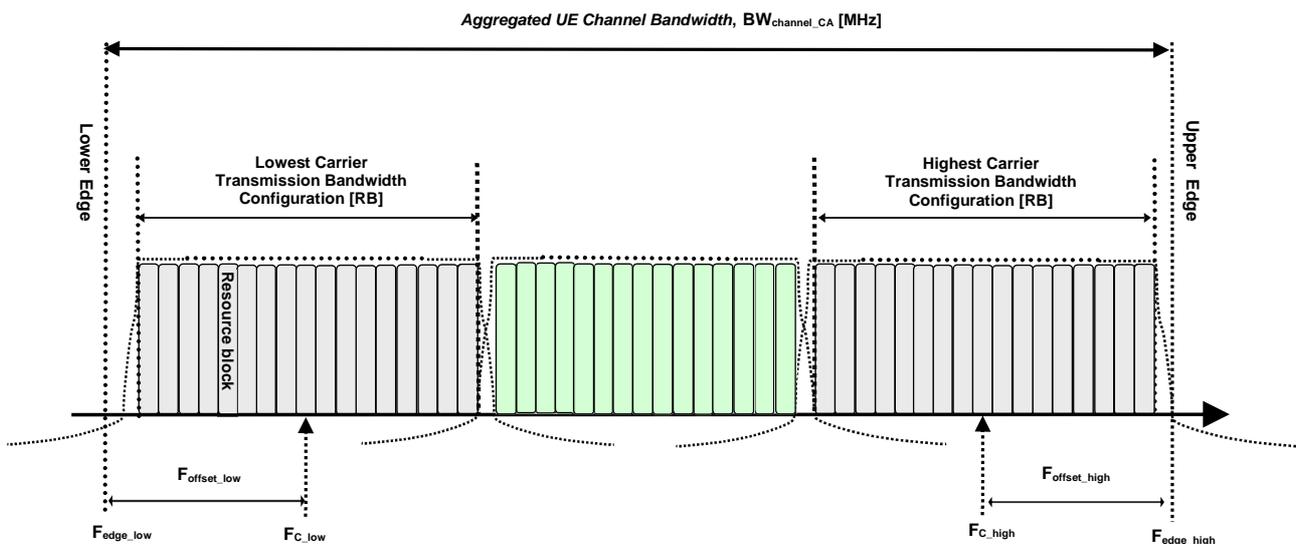
**Table 5.3.6-2: TDD asymmetric UL and DL channel bandwidth combinations**

NR Band	Channel bandwidths for UL (MHz)	Channel bandwidths for DL (MHz)

### 5.3A UE channel bandwidth for CA

#### 5.3A.1 General

For intra-band contiguous carrier aggregation, *Aggregated Channel Bandwidth* and *Guard Bands* are defined as follows, see Figure 5.3A.1-1.



**Figure 5.3A.1-1: Definition of *Aggregated Channel Bandwidth* for intra-band carrier aggregation**

The *aggregated channel bandwidth*,  $BW_{Channel\_CA}$ , is defined as

$$BW_{Channel\_CA} = F_{edge,high} - F_{edge,low} \text{ (MHz)}.$$

The lower bandwidth edge  $F_{edge,low}$  and the upper bandwidth edge  $F_{edge,high}$  of the aggregated channel bandwidth are used as frequency reference points for transmitter and receiver requirements and are defined by

$$F_{edge,low} = F_{C,low} - F_{offset,low}$$

$$F_{edge,high} = F_{C,high} + F_{offset,high}$$

The lower and upper frequency offsets depend on the transmission bandwidth configurations of the lowest and highest assigned edge component carrier and are defined as

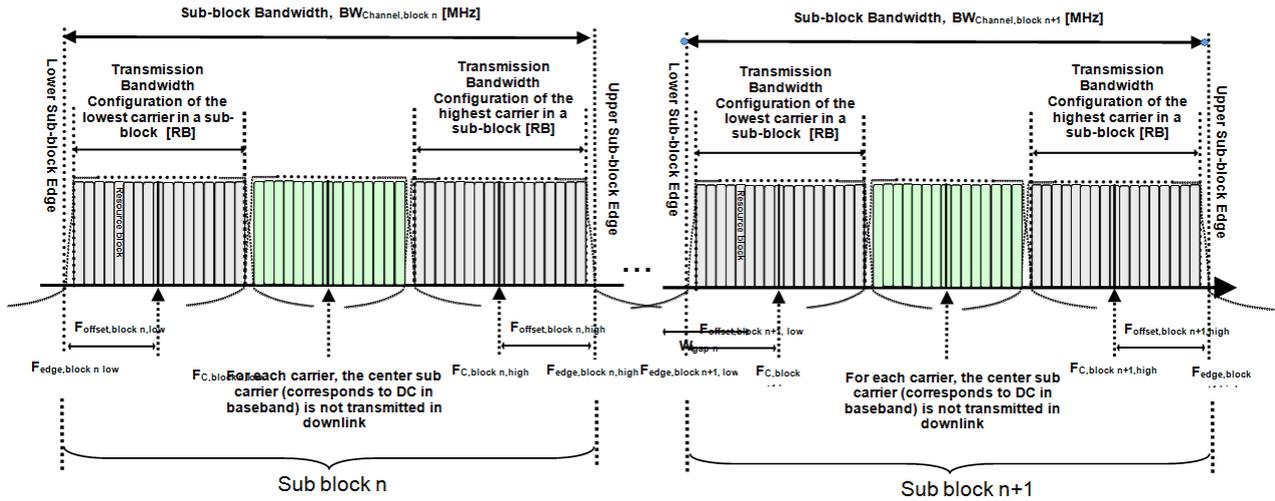
$$F_{\text{offset,low}} = (N_{\text{RB,low}} * 12 + 1) * \text{SCS}_{\text{low}} / 2 + \text{BW}_{\text{GB}} \text{ (MHz)}$$

$$F_{\text{offset,high}} = (N_{\text{RB,high}} * 12 - 1) * \text{SCS}_{\text{high}} / 2 + \text{BW}_{\text{GB}} \text{ (MHz)}$$

$$\text{BW}_{\text{GB}} = \max(\text{BW}_{\text{GB,Channel}(k)})$$

$\text{BW}_{\text{GB,Channel}(k)}$  is the minimum guard band defined in sub-clause 5.3.3 of carrier  $k$ , while  $N_{\text{RB,low}}$  and  $N_{\text{RB,high}}$  are the transmission bandwidth configurations according to Table 5.3.2-1 for the lowest and highest assigned component carrier, respectively.

For intra-band non-contiguous carrier aggregation *Sub-block Bandwidth* and *Sub-block edges* are defined as follows, see Figure 5.3A.1-2.



**Figure 5.3A.1-2: Definition of sub-block bandwidth for intra-band non-contiguous spectrum**

The lower sub-block edge of the Sub-block Bandwidth ( $\text{BW}_{\text{Channel,block}}$ ) is defined as

$$F_{\text{edge,block,low}} = F_{\text{C,block,low}} - F_{\text{offset,block,low}}$$

The upper sub-block edge of the Sub-block Bandwidth is defined as

$$F_{\text{edge,block,high}} = F_{\text{C,block,high}} + F_{\text{offset,block,high}}$$

The Sub-block Bandwidth,  $\text{BW}_{\text{Channel,block}}$ , is defined as follows:

$$\text{BW}_{\text{Channel,block}} = F_{\text{edge,block,high}} - F_{\text{edge,block,low}} \text{ (MHz)}$$

The lower and upper frequency offsets  $F_{\text{offset,block,low}}$  and  $F_{\text{offset,block,high}}$  depend on the transmission bandwidth configurations of the lowest and highest assigned edge component carriers within a sub-block and are defined as

$$F_{\text{offset,block,low}} = (N_{\text{RB,low}} * 12 + 1) * \text{SCS}_{\text{low}} / 2 + \text{BW}_{\text{GB,low}} \text{ (MHz)}$$

$$F_{\text{offset,block,high}} = (N_{\text{RB,high}} * 12 - 1) * \text{SCS}_{\text{high}} / 2 + \text{BW}_{\text{GB,high}} \text{ (MHz)}$$

where  $N_{\text{RB,low}}$  and  $N_{\text{RB,high}}$  are the transmission bandwidth configurations according to Table 5.3.2-1 for the lowest and highest assigned component carrier within a sub-block, respectively.  $\text{BW}_{\text{GB,low}}$ ,  $\text{BW}_{\text{GB,high}}$  are the minimum guard band defined in sub-clause 5.3.3 for the lowest and highest assigned component carrier respectively

The sub-block gap size between two consecutive sub-blocks  $W_{\text{gap}}$  is defined as

$$W_{\text{gap}} = F_{\text{edge,block n+1,low}} - F_{\text{edge,block n,high}} \text{ (MHz)}$$

## 5.3A.2 Maximum transmission bandwidth configuration for CA

## 5.3A.3 Minimum guard band and transmission bandwidth configuration for CA

## 5.3A.4 RB alignment with Different Numerologies for CA

## 5.3A.5 UE channel bandwidth per operating band for CA

The requirements for carrier aggregation in this specification are defined for carrier aggregation configurations.

For intra-band contiguous carrier aggregation, a carrier aggregation configuration is a single operating band supporting a carrier aggregation bandwidth class with associated bandwidth combination sets specified in clause 5.5A.1. For each carrier aggregation configuration, requirements are specified for all aggregated channel bandwidths contained in a bandwidth combination set. A UE can indicate support of several bandwidth combination sets per carrier aggregation configuration. For intra-band non-contiguous carrier aggregation, a carrier aggregation configuration is a single operating band supporting two or more sub-blocks, each supporting a carrier aggregation bandwidth class.

For inter-band carrier aggregation, a carrier aggregation configuration is a combination of operating bands, each supporting a carrier aggregation bandwidth class.

**Table 5.3A.4-1: CA bandwidth classes**

NR CA bandwidth class	Aggregated channel bandwidth	Number of contiguous CC	Fallback group
A	$BW_{\text{Channel\_CA}} \leq BW_{\text{Channel,max}}$	1	
B	$20 \text{ MHz} \leq CBW \leq 100 \text{ MHz}$	2	
C	$100 \text{ MHz} < BW_{\text{Channel\_CA}} \leq 2 \times BW_{\text{Channel,max}}$	2	1
D	$200 \text{ MHz} < BW_{\text{Channel\_CA}} \leq 3 \times BW_{\text{Channel,max}}$	3	
E	$300 \text{ MHz} < BW_{\text{Channel\_CA}} \leq 4 \times BW_{\text{Channel,max}}$	4	
F	$50 \text{ MHz} < BW_{\text{Channel\_CA}} \leq 100 \text{ MHz}$	2	2
G	$100 \text{ MHz} < BW_{\text{Channel\_CA}} \leq 150 \text{ MHz}$	3	
H	$150 \text{ MHz} < BW_{\text{Channel\_CA}} \leq 200 \text{ MHz}$	4	
I	$200 \text{ MHz} < BW_{\text{Channel\_CA}} \leq 250 \text{ MHz}$	5	
J	$250 \text{ MHz} < BW_{\text{Channel\_CA}} \leq 300 \text{ MHz}$	6	
K	$300 \text{ MHz} < BW_{\text{Channel\_CA}} \leq 350 \text{ MHz}$	7	
L	$350 \text{ MHz} < BW_{\text{Channel\_CA}} \leq 400 \text{ MHz}$	8	

NOTE:  $BW_{\text{Channel,max}}$  is maximum channel bandwidth supported among all bands in a release

## 5.4 Channel arrangement

### 5.4.1 Channel spacing

#### 5.4.1.1 Channel spacing for adjacent NR carriers

The spacing between carriers will depend on the deployment scenario, the size of the frequency block available and the channel bandwidths. The nominal channel spacing between two adjacent NR carriers is defined as following:

- For NR operating bands with 100 kHz channel raster,

$$\text{Nominal Channel spacing} = (BW_{\text{Channel}(1)} + BW_{\text{Channel}(2)})/2$$

- For NR operating bands with 15 kHz channel raster,

$$\text{Nominal Channel spacing} = (BW_{\text{Channel}(1)} + BW_{\text{Channel}(2)})/2 + \{-5\text{kHz}, 0\text{kHz}, 5\text{kHz}\}$$

where  $BW_{\text{Channel}(1)}$  and  $BW_{\text{Channel}(2)}$  are the channel bandwidths of the two respective NR carriers. The channel spacing can be adjusted depending on the channel raster to optimize performance in a particular deployment scenario.

## 5.4.2 Channel raster

### 5.4.2.1 NR-ARFCN and channel raster

The global frequency channel raster defines a set of RF reference frequencies  $F_{\text{REF}}$ . The RF reference frequency is used in signalling to identify the position of RF channels, SS blocks and other elements.

The global frequency raster is defined for all frequencies from 0 to 100 GHz. The granularity of the global frequency raster is  $\Delta F_{\text{Global}}$ .

RF reference frequencies are designated by an NR Absolute Radio Frequency Channel Number (NR-ARFCN) in the range (0.. 2016666] on the global frequency raster. The relation between the NR-ARFCN and the RF reference frequency  $F_{\text{REF}}$  in MHz is given by the following equation, where  $F_{\text{REF-Offs}}$  and  $N_{\text{Ref-Offs}}$  are given in Table 5.4.2.1-1 and  $N_{\text{REF}}$  is the NR-ARFCN.

$$F_{\text{REF}} = F_{\text{REF-Offs}} + \Delta F_{\text{Global}} (N_{\text{REF}} - N_{\text{REF-Offs}})$$

**Table 5.4.2.1-1: NR-ARFCN parameters for the global frequency raster**

Frequency range (MHz)	$\Delta F_{\text{Global}}$ (kHz)	$F_{\text{REF-Offs}}$ (MHz)	$N_{\text{REF-Offs}}$	Range of $N_{\text{REF}}$
0 – 3000	5	0	0	0 – 599999
3000 – 24250	15	3000	600000	600000 – 2016666

The channel raster defines a subset of RF reference frequencies that can be used to identify the RF channel position in the uplink and downlink. The RF reference frequency for an RF channel maps to a resource element on the carrier. For each operating band, a subset of frequencies from the global frequency raster are applicable for that band and forms a channel raster with a granularity  $\Delta F_{\text{Raster}}$ , which may be equal to or larger than  $\Delta F_{\text{Global}}$ . For SUL bands and Bands n1, n2, n3, n5, n7, n8, n20, n28, n66 and n71 defined in Table 5.2-1.

$$F_{\text{REF\_shift}} = F_{\text{REF}} + \Delta_{\text{shift}}, \Delta_{\text{shift}} = 0 \text{ kHz or } 7.5 \text{ kHz.}$$

where  $\Delta_{\text{shift}}$  is signalled by the network in higher layer parameter frequencyShift7p5khz [6].

The mapping between the channel raster and corresponding resource element is given in Section 5.4.2.2. The applicable entries for each operating band are defined in Section 5.4.2.3

### 5.4.2.2 Channel raster to resource element mapping

The mapping between the RF reference frequency on the channel raster and the corresponding resource element is given in Table 5.4.2.2-1 and can be used to identify the RF channel position. The mapping depends on the total number of RBs that are allocated in the channel and applies to both UL and DL. The mapping must apply to at least one numerology supported by the UE.

**Table 5.4.2.2-1: Channel raster to resource element mapping**

	$N_{\text{RB}} \bmod 2 = 0$	$N_{\text{RB}} \bmod 2 = 1$
Resource element index $k$	0	6
Physical resource block number $n_{\text{PRB}}$	$n_{\text{PRB}} = \left\lfloor \frac{N_{\text{RB}}}{2} \right\rfloor$	$n_{\text{PRB}} = \left\lfloor \frac{N_{\text{RB}}}{2} \right\rfloor$

$k$ ,  $n_{\text{PRB}}$ ,  $N_{\text{RB}}$  are as defined in TS 38.211[8].

### 5.4.2.3 Channel raster entries for each operating band

The RF channel positions on the channel raster in each NR operating band are given through the applicable NR-ARFCN in Table 5.4.2.3-1, using the channel raster to resource element mapping in subclause 5.4.2.2.

For NR operating bands with 100 kHz channel raster,  $\Delta F_{\text{Raster}} = 20 \times \Delta F_{\text{Global}}$ . In this case every 20<sup>th</sup> NR-ARFCN within the operating band are applicable for the channel raster within the operating band and the step size for the channel raster in Table 5.4.2.3-1 is given as <20>.

For NR operating bands with 15 kHz channel raster below 3GHz,  $\Delta F_{\text{Raster}} = 3 \times \Delta F_{\text{Global}}$ . In this case every 3rd NR ARFCN within the operating band are applicable for the channel raster within the operating band and the step size for the channel raster in Table 5.4.2.3-1 is given as <3>.

For NR operating bands with 15 kHz channel raster above 3GHz,  $\Delta F_{\text{Raster}} = \Delta F_{\text{Global}}$ . In this case all NR ARFCN within the operating band are applicable for the channel raster within the operating band and the step size for the channel raster in Table 5.4.2.3-1 is given as <1>.

In frequency bands with two  $\Delta F_{\text{Raster}}$ , the higher  $\Delta F_{\text{Raster}}$  applies to channels using only the SCS that equals the higher  $\Delta F_{\text{Raster}}$ .

**Table 5.4.2.3-1: Applicable NR-ARFCN per operating band**

NR Operating Band	$\Delta F_{\text{Raster}}$ (kHz)	Uplink Range of $N_{\text{REF}}$ (First – <Step size> – Last)	Downlink Range of $N_{\text{REF}}$ (First – <Step size> – Last)
n1	100	384000 – <20> – 396000	422000 – <20> – 434000
n2	100	370000 – <20> – 382000	386000 – <20> – 398000
n3	100	342000 – <20> – 357000	361000 – <20> – 376000
n5	100	164800 – <20> – 169800	173800 – <20> – 178800
n7	100	500000 – <20> – 514000	524000 – <20> – 538000
n8	100	176000 – <20> – 183000	185000 – <20> – 192000
n12	100	139800 – <20> – 143200	145800 – <20> – 149200
n20	100	166400 – <20> – 172400	158200 – <20> – 164200
n25	100	370000 – <20> – 383000	386000 – <20> – 399000
n28	100	140600 – <20> – 149600	151600 – <20> – 160600
n34	100	402000 – <20> – 405000	402000 – <20> – 405000
n38	100	514000 – <20> – 524000	514000 – <20> – 524000
n39	100	376000 – <20> – 384000	376000 – <20> – 384000
n40	100	460000 – <20> – 480000	460000 – <20> – 480000
n41	15	499200 – <3> – 537999	499200 – <3> – 537999
	30	499200 – <6> – 537996	499200 – <6> – 537996
n51	100	285400 – <20> – 286400	285400 – <20> – 286400
n66	100	342000 – <20> – 356000	422000 – <20> – 440000
n70	100	339000 – <20> – 342000	399000 – <20> – 404000
n71	100	132600 – <20> – 139600	123400 – <20> – 130400
n75	100	N/A	286400 – <20> – 303400
n76	100	N/A	285400 – <20> – 286400
n77	15	620000 – <1> – 680000	620000 – <1> – 680000
	30	620000 – <2> – 680000	620000 – <2> – 680000
n78	15	620000 – <1> – 653333	620000 – <1> – 653333
	30	620000 – <2> – 653332	620000 – <2> – 653332
n79	15	693334 – <1> – 733333	693334 – <1> – 733333
	30	693334 – <2> – 733332	693334 – <2> – 733332
n80	100	342000 – <20> – 357000	N/A
n81	100	176000 – <20> – 183000	N/A
n82	100	166400 – <20> – 172400	N/A
n83	100	140600 – <20> – 149600	N/A
n84	100	384000 – <20> – 396000	N/A
n86	100	342000 – <20> – 356000	N/A

## 5.4.3 Synchronization raster

### 5.4.3.1 Synchronization raster and numbering

The synchronization raster indicates the frequency positions of the synchronization block that can be used by the UE for system acquisition when explicit signalling of the synchronization block position is not present.

A global synchronization raster is defined for all frequencies. The frequency position of the SS block is defined as  $SS_{\text{REF}}$  with corresponding number GSCN. The parameters defining the  $SS_{\text{REF}}$  and GSCN for all the frequency ranges are in Table 5.4.3.1-1.

The resource element corresponding to the SS block reference frequency  $SS_{\text{REF}}$  is given in subclause 5.4.3.2. The synchronization raster and the subcarrier spacing of the synchronization block are defined separately for each band.

**Table 5.4.3.1-1: GSCN parameters for the global frequency raster**

Frequency range	SS Block frequency position $SS_{REF}$	GSCN	Range of GSCN
0 – 3000 MHz	$N * 1200\text{kHz} + M * 50\text{ kHz}$ , $N=1:2499, M \in \{1,3,5\}$ (Note 1)	$[3N + (M-3)/2]$	$[2 - 7498]$
3000-24250 MHz	$2400\text{ MHz} + N * 1.44\text{ MHz}$ $N = 0:14756$	$[7499 + N]$	$[7499 - 22255]$

NOTE 1: The default value for operating bands with SCS spaced channel raster is  $M=3$ .

### 5.4.3.2 Synchronization raster to synchronization block resource element mapping

The mapping between the synchronization raster and the corresponding resource element of the SS block is given in Table 5.4.3.2-1. The mapping depends on the total number of RBs that are allocated in the channel and applies to both UL and DL.

**Table 5.4.3.2-1: Synchronization raster to SS block resource element mapping**

Resource element index $k$	0
Physical resource block number $n_{PRB}$ of the SS block	$n_{PRB} = 10$

$k, n_{PRB}$  ,are as defined in TS 38.211[8].

### 5.4.3.3 Synchronization raster entries for each operating band

The synchronization raster for each band is given in Table 5.4.3.3-1. The distance between applicable GSCN entries is given by the <Step size> indicated in Table 5.4.3.3-1.

**Table 5.4.3.3-1: Applicable SS raster entries per operating band**

NR Operating Band	SS Block SCS	SS Block pattern <sup>1</sup>	Range of GSCN (First – <Step size> – Last)
n1	15kHz	Case A	5279 – <1> – 5419
n2	15kHz	Case A	4829 – <1> – 4969
n3	15kHz	Case A	4517 – <1> – 4693
n5	15kHz	Case A	2177 – <1> – 2230
	30kHz	Case B	2183 – <1> – 2224
n7	15kHz	Case A	6554 – <1> – 6718
n8	15kHz	Case A	2318 – <1> – 2395
n12	15kHz	Case A	1828 – <1> – 1858
n20	15kHz	Case A	1982 – <1> – 2047
n25	15 kHz	Case A	4829 – <1> – 4981
n28	15kHz	Case A	1901 – <1> – 2002
n34	15kHz	Case A	5030 – <1> – 5056
n38	15kHz	Case A	6431 – <1> – 6544
n39	15kHz	Case A	4706 – <1> – 4795
n40	15kHz	Case A	5756 – <1> – 5995
n41	15kHz	Case A	6246 – <9> – 6714
	30 kHz	Case C	6252 – <3> – 6714
n51	15kHz	Case A	3572 – <1> – 3574
n66	15kHz	Case A	5279 – <1> – 5494
	30kHz	Case B	5285 – <1> – 5488
n70	15kHz	Case A	4993 – <1> – 5044
n71	15kHz	Case A	1547 – <1> – 1624
n75	15kHz	Case A	3584 – <1> – 3787
n76	15kHz	Case A	3572 – <1> – 3574
n77	30kHz	Case C	7711 – <1> – 8329
n78	30kHz	Case C	7711 – <1> – 8051
n79	30kHz	Case C	8480 – <16> – 8880

NOTE 1: SS Block pattern is defined in section 4.1 in TS 38.213 [9]

## 5.4.4 TX–RX frequency separation

The default TX channel (carrier centre frequency) to RX channel (carrier centre frequency) separation for operating bands is specified in Table 5.4.4-1.

**Table 5.4.4-1: Default UE TX-RX frequency separation**

NR Operating Band	TX – RX carrier centre frequency separation
n1	190 MHz
n2	80 MHz
n3	95 MHz
n5	45 MHz
n7	120 MHz
n8	45 MHz
n12	30 MHz
n20	-41 MHz
n25	80 MHz
n28	55 MHz
n66	400 MHz
n70	295,300 MHz
n71	-46 MHz

NOTE 1: Default TX-RX carrier centre frequency separation.

## 5.4A Channel arrangement for CA

### 5.4A.1 Channel spacing for CA

For intra-band contiguous carrier aggregation with two or more component carriers, the nominal channel spacing between two adjacent NR component carriers is defined as the following unless stated otherwise:

For NR operating bands with 100 kHz channel raster:

$$\text{Nominal channel spacing} = \left\lfloor \frac{BW_{\text{Channel}(1)} + BW_{\text{Channel}(2)} - 2|GB_{\text{Channel}(1)} - GB_{\text{Channel}(2)}|}{0.6} \right\rfloor 0.3 [\text{MHz}]$$

For NR operating bands with 15 kHz channel raster:

$$\text{Nominal channel spacing} = \left\lfloor \frac{BW_{\text{Channel}(1)} + BW_{\text{Channel}(2)} - 2|GB_{\text{Channel}(1)} - GB_{\text{Channel}(2)}|}{0.015 * 2^{n+1}} \right\rfloor 0.015 * 2^n [\text{MHz}]$$

with

$$n = \max(\mu_1, \mu_2)$$

where  $BW_{\text{Channel}(1)}$  and  $BW_{\text{Channel}(2)}$  are the channel bandwidths of the two respective NR component carriers according to Table 5.3.2-1 with values in MHz. and the  $GB_{\text{Channel}(i)}$  is the minimum guard band defined in sub-clause 5.3.3, while  $\mu_1$  and  $\mu_2$  are the subcarrier spacing configurations of the component carriers as defined in TS 38.211. The channel spacing for intra-band contiguous carrier aggregation can be adjusted to any multiple of least common multiple of channel raster and sub-carrier spacing less than the nominal channel spacing to optimize performance in a particular deployment scenario.

For intra-band non-contiguous carrier aggregation the channel spacing between two NR component carriers in different sub-blocks shall be larger than the nominal channel spacing defined in this subclause

### 5.4A.2 Channel raster for CA

For inter-band carrier aggregation, the channel raster requirements in subclause 5.4.2 apply for each operating band.

### 5.4A.3 Synchronization raster for CA

For inter-band carrier aggregation, the synchronization raster requirements in subclause 5.4.3 apply for each operating band.

### 5.4A.4 Tx-Rx frequency separation for CA

For inter-band carrier aggregation, the Tx-Rx frequency separation requirements in subclause 5.4.4 apply for each operating band.

## 5.5 Configurations

### 5.5A Configurations for CA

#### 5.5A.1 Configurations for intra-band contiguous CA

**Table 5.5A.1-1: NR CA configurations and bandwidth combination sets defined for intra-band contiguous CA**

E-UTRA CA configuration / Bandwidth combination set								
NR CA configuration	Uplink CA configurations	Component carriers in order of increasing carrier frequency					Aggregated bandwidth (MHz)	Bandwidth combination set
		Channel bandwidths for carrier (MHz)	Channel bandwidths for carrier (MHz)	Channel bandwidths for carrier (MHz)	Channel bandwidths for carrier (MHz)	Channel bandwidths for carrier (MHz)		
CA_n77C CA_n78C CA_n79C		50	60				110	0
		60	60				120	
		50	80				130	
		60	80				140	
		50	100				150	
		60	100				160	
		80	80				180	
		80	100				200	
CA_n77D, CA_n78D, CA_n79D		50	60	100			210	
		60	60	100			220	
		50	80	100			230	
		60	80	100			240	
		50	100	100			250	
		80	80	100			260	
		80	90	100			270	
		80	100	100			280	
		90	100	100			290	
		100	100	100			300	

CA_n77E, CA_n78E, CA_n79E		50	60	100	100		310	
		60	60	100	100		320	
		50	80	100	100		330	
		60	80	100	100		340	
		50	100	100	100		350	
		80	80	100	100		360	
		80	90	100	100		370	
		80	100	100	100		380	
		90	100	100	100		390	
		100	100	100	100		400	

**Table 5.5A.1-2: NR CA configurations and bandwidth combination sets defined for intra-band contiguous CA for fallback group 2**

		E-UTRA CA configuration / Bandwidth combination set				
NR CA configuration	Uplink CA configurations	Component carriers in order of increasing carrier frequency			aggregated bandwidth (MHz)	Bandwidth combination set
		Channel bandwidths for carrier (MHz)	Channel bandwidths for carrier (MHz)	Channel bandwidths for the other carrier (MHz)		
CA_n77F, CA_n78F, CA_n79F		40	20		60	0
		50	20		70	
		40	40		80	
		40	50		90	
		50	50		100	
CA_n77G, CA_n78G, CA_n79G		40	20	50	110	
		50	20	50	120	
		40	40	50	130	
		40	50	50	140	
		50	50	50	150	
CA_n77H, CA_n78H, CA_n79H		40	20	50x2	160	
		50	20	50x2	170	
		40	40	50x2	180	
		40	50	50x2	190	
		50	50	50x2	200	
CA_n77I, CA_n78I, CA_n79I		40	20	50x3	210	
		50	20	50x3	220	
		40	40	50x3	230	
		40	50	50x3	240	
		50	50	50x3	250	
CA_n77J, CA_n78J, CA_n79J		40	20	50x4	260	
		50	20	50x4	270	
		40	40	50x4	280	
		40	50	50x4	290	
		50	50	50x4	300	
CA_n77K, CA_n78K, CA_n79K		40	20	50x5	310	
		50	20	50x5	320	
		40	40	50x5	330	
		40	50	50x5	340	
		50	50	50x5	350	
CA_n77L, CA_n78L, CA_n79L		40	20	50x6	360	
		50	20	50x6	370	
		40	40	50x6	380	
		40	50	50x6	390	
		50	50	50x6	400	

## 5.5A.2 Configurations for intra-band non-contiguous CA

Detailed structure of the subclause is TBD.

### 5.5A.3 Configurations for inter-band CA

**Table 5.5A.3-1: NR CA configurations and bandwidth combinations sets defined for inter-band CA (two bands)**

NR CA configuration	Uplink CA configuration	NR Band	SCS (kHz)	5 MHz	10 MHz	15 MHz	20 MHz	25 MHz	30 MHz	40 MHz	50 MHz	60 MHz	80 MHz	90 MHz	100 MHz	Bandwidth combination set	
CA_n3A-n77A	-	n3	15	Yes	Yes	Yes	Yes	Yes	Yes							0	
			30		Yes	Yes	Yes	Yes	Yes	Yes							
			60		Yes	Yes	Yes	Yes	Yes	Yes							
		n77	15		Yes	Yes	Yes				Yes	Yes					
			30		Yes	Yes	Yes				Yes	Yes	Yes	Yes	Yes		Yes
			60		Yes	Yes	Yes				Yes	Yes	Yes	Yes	Yes		Yes
CA_n3A-n78A	CA_n3A-n78A	n3	15	Yes	Yes	Yes	Yes	Yes	Yes							0	
			30		Yes	Yes	Yes	Yes	Yes	Yes							
			60		Yes	Yes	Yes	Yes	Yes	Yes							
		n78	15		Yes	Yes	Yes				Yes	Yes					
			30		Yes	Yes	Yes				Yes	Yes	Yes	Yes	Yes		Yes
			60		Yes	Yes	Yes				Yes	Yes	Yes	Yes	Yes		Yes
CA_n3A-n79A	-	n3	15	Yes	Yes	Yes	Yes	Yes	Yes							0	
			30		Yes	Yes	Yes	Yes	Yes	Yes							
			60		Yes	Yes	Yes	Yes	Yes	Yes							
		n79	15		Yes	Yes	Yes				Yes	Yes					
			30		Yes	Yes	Yes				Yes	Yes	Yes	Yes			Yes
			60		Yes	Yes	Yes				Yes	Yes	Yes	Yes			Yes
CA_n8A-n78A	CA_n8A-n78A	n8	15	Yes	Yes	Yes	Yes									0	
			30		Yes	Yes	Yes										
			60														
		n78	15		Yes	Yes	Yes				Yes	Yes					
			30		Yes	Yes	Yes				Yes	Yes	Yes	Yes	Yes		Yes
			60		Yes	Yes	Yes				Yes	Yes	Yes	Yes	Yes		Yes
CA_n8A-n79A	-	n8	15	Yes	Yes	Yes	Yes									0	
			30		Yes	Yes	Yes										
			60														
		n79	15		Yes	Yes	Yes				Yes	Yes					
			30		Yes	Yes	Yes				Yes	Yes	Yes	Yes			Yes
			60		Yes	Yes	Yes				Yes	Yes	Yes	Yes			Yes
CA_n28A-n78A	-	n28	15	Yes	Yes	Yes	Yes									0	
			30		Yes	Yes	Yes										
			60														
		n78	15		Yes	Yes	Yes				Yes	Yes					
			30		Yes	Yes	Yes				Yes	Yes	Yes	Yes	Yes		Yes
			60		Yes	Yes	Yes				Yes	Yes	Yes	Yes	Yes		Yes
CA_n41A-n78A	-	n41	15		Yes	Yes	Yes									0	
			30		Yes	Yes	Yes				Yes	Yes	Yes	Yes	Yes		
			60		Yes	Yes	Yes				Yes	Yes	Yes	Yes	Yes		
		n78	15		Yes	Yes	Yes				Yes	Yes					
			30		Yes	Yes	Yes				Yes	Yes	Yes	Yes	Yes		Yes
			60		Yes	Yes	Yes				Yes	Yes	Yes	Yes	Yes		Yes
CA_n75A-n78A	-	n75	15	Yes	Yes	Yes	Yes									0	
			30		Yes	Yes	Yes										
			60		Yes	Yes	Yes										
		n78	15		Yes	Yes	Yes				Yes	Yes					
			30		Yes	Yes	Yes				Yes	Yes	Yes	Yes	Yes		Yes
			60		Yes	Yes	Yes				Yes	Yes	Yes	Yes	Yes		Yes
CA_n76A-n78A	-	n76	15	Yes												0	
			30														
			60														
		n78	15		Yes	Yes	Yes				Yes	Yes					
			30		Yes	Yes	Yes				Yes	Yes	Yes	Yes	Yes		Yes
			60		Yes	Yes	Yes				Yes	Yes	Yes	Yes	Yes		Yes
CA_n77A-n79A	-	n77	15		Yes	Yes	Yes									0	
			30		Yes	Yes	Yes				Yes	Yes	Yes	Yes	Yes		
			60		Yes	Yes	Yes				Yes	Yes	Yes	Yes	Yes		
		n79	15								Yes	Yes					
			30								Yes	Yes	Yes	Yes			Yes
			60								Yes	Yes	Yes	Yes			Yes

SA_n78A-n79A	-	n78	15		Yes	Yes	Yes			Yes	Yes					0
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### 5.5B Configurations for DC

### 5.5C Configurations for SUL

Table 5.5C-1: Supported channel bandwidths per SUL band combination

SUL configuration	NR Band	Subcarrier spacing (kHz)	5 MHz	10 MHz	15 MHz	20 MHz	25 MHz	30 MHz	40 MHz	50 MHz	60 MHz	80 MHz	90 MHz	100 MHz
SUL_n78A-n80A	n78	15		Yes	Yes	Yes			Yes	Yes				
		30		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes
		60		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes
SUL_n78A-n81A	n78	15		Yes	Yes	Yes			Yes	Yes				
		30		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes
		60		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes
SUL_n78A-n82A	n78	15		Yes	Yes	Yes			Yes	Yes				
		30		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes
		60		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes
SUL_n78A-n83A	n78	15		Yes	Yes	Yes			Yes	Yes				
		30		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes
		60		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes
SUL_n78A-n84A	n78	15		Yes	Yes	Yes			Yes	Yes				
		30		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes
		60		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes
SUL_n78A-n86A	n78	15		Yes	Yes	Yes			Yes	Yes				
		30		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes
		60		Yes	Yes	Yes			Yes	Yes	Yes	Yes	Yes	Yes
SUL_n79A-n80A	n79	15							Yes	Yes				
		30							Yes	Yes	Yes	Yes		Yes
		60							Yes	Yes	Yes	Yes		Yes
SUL_n79A-n81A	n79	15							Yes	Yes				
		30							Yes	Yes	Yes	Yes		Yes
		60							Yes	Yes	Yes	Yes		Yes
SUL_n79A-n81A	n81	15	Yes	Yes	Yes	Yes								

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## 6 Transmitter characteristics

### 6.1 General

Unless otherwise stated, the transmitter characteristics are specified at the antenna connector of the UE with a single or multiple transmit antenna(s). For UE with integral antenna only, a reference antenna with a gain of 0 dBi is assumed.

Uplink RB allocations given in Table 6.1-1 are used throughout this section, unless otherwise stated by the test case.

**Table 6.1-1: Common uplink configuration**

Channel Bandwidth	SCS(kHz)	OFDM	RB allocation					
			Outer_Full	Outer_1RB_Left	Outer_1RB_Right	Inner_Full	Inner_1RB_Left	Inner_1RB_Right
5MHz	15	DFT-s	25@0	1@0	1@24	12@6	1@1	1@23
		CP	25@0	1@0	1@24	13@6	1@1	1@23
	30	DFT-s	10@0	1@0	1@9	5@2	1@1	1@8
		CP	11@0	1@0	1@10	6@3	1@1	1@9
	60	DFT-s	N/A	N/A	N/A	N/A	N/A	N/A
		CP	N/A	N/A	N/A	N/A	N/A	N/A
10MHz	15	DFT-s	50@0	1@0	1@49	25@12	1@1	1@48
		CP	52@0	1@0	1@51	26@13	1@1	1@50
	30	DFT-s	24@0	1@0	1@23	12@6	1@1	1@22
		CP	24@0	1@0	1@23	12@6	1@1	1@22
	60	DFT-s	10@0	1@0	1@9	5@2	1@1	1@8
		CP	11@0	1@0	1@10	6@3	1@1	1@9
15MHz	15	DFT-s	75@0	1@0	1@74	37@18	1@1	1@73
		CP	79@0	1@0	1@78	40@20	1@1	1@77
	30	DFT-s	36@0	1@0	1@35	18@9	1@1	1@34
		CP	38@0	1@0	1@37	19@9	1@1	1@36
	60	DFT-s	18@0	1@0	1@17	9@4	1@1	1@16
		CP	18@0	1@0	1@17	9@4	1@1	1@16
20MHz	15	DFT-s	100@0	1@0	1@99	50@25	1@1	1@98
		CP	106@0	1@0	1@105	53@26	1@1	1@104
	30	DFT-s	50@0	1@0	1@49	25@12	1@1	1@48
		CP	51@0	1@0	1@50	26@13	1@1	1@49
	60	DFT-s	24@0	1@0	1@23	12@6	1@1	1@22
		CP	24@0	1@0	1@23	12@6	1@1	1@22
25MHz	15	DFT-s	128@0	1@0	1@127	64@32	1@1	1@126
		CP	133@0	1@0	1@132	67@33	1@1	1@131
	30	DFT-s	64@0	1@0	1@63	32@16	1@1	1@62
		CP	65@0	1@0	1@64	33@16	1@1	1@63
	60	DFT-s	30@0	1@0	1@29	15@7	1@1	1@28
		CP	31@0	1@0	1@30	16@8	1@1	1@29
30MHz	15	DFT-s	160@0	1@0	1@159	80@40	1@1	1@158
		CP	160@0	1@0	1@159	80@40	1@1	1@158
	30	DFT-s	75@0	1@0	1@74	37@18	1@1	1@73
		CP	78@0	1@0	1@77	39@19	1@1	1@76
	60	DFT-s	36@0	1@0	1@35	18@9	1@1	1@34
		CP	38@0	1@0	1@37	19@10	1@1	1@36
40MHz	15	DFT-s	216@0	1@0	1@215	108@54	1@1	1@214
		CP	216@0	1@0	1@215	108@54	1@1	1@214
	30	DFT-s	100@0	1@0	1@99	50@25	1@1	1@98
		CP	106@0	1@0	1@105	53@26	1@1	1@104
	60	DFT-s	50@0	1@0	1@49	25@12	1@1	1@48
		CP	51@0	1@0	1@50	26@13	1@1	1@49
50MHz	15	DFT-s	270@0	1@0	1@269	135@67	1@1	1@268
		CP	270@0	1@0	1@269	135@67	1@1	1@268
	30	DFT-s	128@0	1@0	1@127	64@32	1@1	1@126
		CP	133@0	1@0	1@132	67@33	1@1	1@131
	60	DFT-s	64@0	1@0	1@63	32@16	1@1	1@62
		CP	65@0	1@0	1@64	33@16	1@1	1@63
60MHz	15	DFT-s	N/A	N/A	N/A	N/A	N/A	N/A
		CP	N/A	N/A	N/A	N/A	N/A	N/A
	30	DFT-s	162@0	1@0	1@161	81@40	1@1	1@160
		CP	162@0	1@0	1@161	81@40	1@1	1@160
	60	DFT-s	75@0	1@0	1@74	37@18	1@1	1@73
		CP	79@0	1@0	1@78	40@20	1@1	1@77
80MHz	15	DFT-s	N/A	N/A	N/A	N/A	N/A	N/A
		CP	N/A	N/A	N/A	N/A	N/A	N/A

	30	DFT-s	216@0	1@0	1@215	108@54	1@1	1@214
		CP	217@0	1@0	1@216	109@54	1@1	1@215
	60	DFT-s	100@0	1@0	1@99	50@25	1@1	1@98
		CP	107@0	1@0	1@106	54@27	1@1	1@105
90MHz	15	DFT-s	N/A	N/A	N/A	N/A	N/A	N/A
		CP	N/A	N/A	N/A	N/A	N/A	N/A
	30	DFT-s	240@0	1@0	1@239	120@60	1@1	1@238
		CP	245@0	1@0	1@244	122@61	1@1	1@243
	60	DFT-s	120@0	1@0	1@119	60@30	1@1	1@118
		CP	121@0	1@0	1@120	60@30	1@1	1@119
100MHz	15	DFT-s	N/A	N/A	N/A	N/A	N/A	N/A
		CP	N/A	N/A	N/A	N/A	N/A	N/A
	30	DFT-s	270@0	1@0	1@269	135@67	1@1	1@268
		CP	273@0	1@0	1@272	137@68	1@1	1@271
	60	DFT-s	135@0	1@0	1@134	68@34	1@1	1@133
		CP	135@0	1@0	1@134	68@34	1@1	1@133

## 6.2 Transmitter power

### 6.2.1 UE maximum output power

Editor's Note:

- SA Generic procedures with condition NR in TS 38.508-1 is FFS.

- SA message contents in TS 38.508-1[5] subclause 4.6 is FFS

#### 6.2.1.1 Test purpose

To verify that the error of the UE maximum output power does not exceed the range prescribed by the specified nominal maximum output power and tolerance.

An excess maximum output power has the possibility to interfere to other channels or other systems. A small maximum output power decreases the coverage area.

#### 6.2.1.2 Test applicability

This test case applies to all types of NR UE release 15 and forward.

#### 6.2.1.3 Minimum conformance requirements

The following UE Power Classes define the maximum output power for any transmission bandwidth within the channel bandwidth of NR carrier unless otherwise stated. The period of measurement shall be at least one sub frame (1ms).

Table 6.2.1.3-1: UE Power Class

EUTRA band	Class 1 (dBm)	Tolerance (dB)	Class 2 (dBm)	Tolerance (dB)	Class 3 (dBm)	Tolerance (dB)
n1					23	$\pm 2$
n2					23	$\pm 2^3$
n8					23	$\pm 2^3$
n12					23	$\pm 2^3$
n25					23	$\pm 2$
n34					23	$\pm 2$
n39					23	$\pm 2$
n40					23	$\pm 2$
n41			26	+2/-3 <sup>3</sup>	23	$\pm 2^3$
n66					23	$\pm 2$
n70					23	$\pm 2$
n71					23	+2/-2.5
n77			26	+2/-3	23	+2/-3
n78			26	+2/-3	23	+2/-3
n79			26	+2/-3	23	+2/-3
n80					23	$\pm 2$
n81					23	$\pm 2$
n82					23	$\pm 2$
n83					23	$\pm 2$ / $-2.5$
n84					23	$\pm 2$
n86					23	$\pm 2$
NOTE 1: $P_{PowerClass}$ is the maximum UE power specified without taking into account the tolerance						
NOTE 2: Power class 3 is default power class unless otherwise stated						
NOTE 3: Refers to the transmission bandwidths (Figure 5.3.3-1) confined within FUL_low and FUL_low + 4 MHz or FUL_high – 4 MHz and FUL_high, the maximum output power requirement is relaxed by reducing the lower tolerance limit by 1.5 dB						

If a UE supports a different power class than the default UE power class for the band and the supported power class enables the higher maximum output power than that of the default power class:

- if the field of UE capability *maxUplinkDutyCycle* is absent and the percentage of uplink symbols transmitted in a certain evaluation period is larger than 50% (The exact evaluation period is no less than one radio frame); or
- if the field of UE capability *maxUplinkDutyCycle* is not absent and the percentage of uplink symbols transmitted in a certain evaluation period is larger than *maxUplinkDutyCycle* as defined in TS 38.331 (The exact evaluation period is no less than one radio frame); or
- [may] apply all requirements for the default power class to the supported power class and set the configured transmitted power as specified in sub-clause 6.2.4;
- if the IE *P-Max* as defined in TS 38.331 [6] is not provided; or
- if the IE *P-Max* as defined in TS 38.331 [6] is provided and set to the maximum output power of the default power class or lower;
  - shall apply all requirements for the default power class to the supported power class and set the configured transmitted power as specified in sub-clause 6.2.4;
- else (i.e. the IE *P-Max* as defined in TS 38.331 [6] is provided and set to the higher value than the maximum output power of the default power class and the percentage of uplink symbols transmitted in a certain evaluation period is less than or equal to *maxUplinkDutyCycle* as defined in TS 38.331; or the IE *P-Max* as defined in TS 38.331 [6] is provided and set to the higher value than the maximum output power of the default power class and the percentage of uplink symbols transmitted in a certain evaluation period is less than or equal to 50% when *maxUplinkDutyCycle* is absent. The exact evaluation period is no less than one radio frame):
  - shall apply all requirements for the supported power class and set the configured transmitted power class as specified in sub-clause 6.2.4;

The normative reference for this requirement is TS 38.101-1 [2] clause 6.2.1.

## 6.2.1.4 Test description

## 6.2.1.4.1 Initial conditions

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and sub-carrier spacing based on NR operating bands specified in table 5.3.5-1. All of these configurations shall be tested with applicable test parameters for each combination of test channel bandwidth and sub-carrier spacing, and are shown in table 6.2.1.4.1-1. The details of the uplink reference measurement channels (RMCs) are specified in Annexes A.2. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

**Table 6.2.1.4.1-1: Test Configuration Table**

Initial Conditions			
Test Environment as specified in TS 38.508-1 [5] subclause 4.1		Normal, TL/VL, TL/VH, TH/VL, TH/VH	
Test Frequencies as specified in TS 38.508-1 [5] subclause 4.3.1		Low range, Mid range, High range	
Test Channel Bandwidths as specified in TS 38.508-1 [5] subclause 4.3.1		Lowest, Mid, Highest	
Test SCS as specified in Table 5.3.5-1		Lowest, Highest	
Test Parameters			
Test ID	Downlink Configuration	Uplink Configuration	
	N/A for maximum output power test case	Modulation (NOTE 2)	RB allocation (NOTE 1)
1		DFT-s-OFDM PI/2 BPSK	Inner Full
2		DFT-s-OFDM PI/2 BPSK	Inner 1RB Left
3		DFT-s-OFDM PI/2 BPSK	Inner 1RB Right
4		DFT-s-OFDM QPSK	Inner Full
5		DFT-s-OFDM QPSK	Inner 1RB Left
6		DFT-s-OFDM QPSK	Inner 1RB Right
NOTE 1: The specific configuration of each RB allocation is defined in Table 6.1-1.			
NOTE 2: DFT-s-OFDM PI/2 BPSK test applies only for UEs which supports half Pi BPSK in FR1.			

1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, Figure A.3.1.1.1 for TE diagram and section A.3.2.1 for UE diagram.
2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
3. Downlink signals are initially set up according to Annex C.0, C.1, C.2, and uplink signals according to Annex G.0, G.1, G.2, G.3.0.
4. The UL Reference Measurement Channel is set according to Table 6.2.1.4.1-1.
5. Propagation conditions are set according to Annex B.0.
6. Ensure the UE is in state RRC\_CONNECTED with generic procedure parameters Connectivity NR according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.2.1.4.3.

## 6.2.1.4.2 Test procedure

1. SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format 0\_1 for C\_RNTI to schedule the UL RMC according to Table 6.2.1.4.1-1. Since the UE has no payload and no loopback data to send the UE sends uplink MAC padding bits on the UL RMC.
2. Send continuously uplink power control "up" commands in every uplink scheduling information to the UE; allow at least 200ms starting from the first TPC command in this step for the UE to reach  $P_{UMAX}$  level.
3. Measure the mean power of the UE in the channel bandwidth of the radio access mode. The period of measurement shall be at least the continuous duration of one active sub-frame (1ms) and in the uplink symbols. For TDD slots with transient periods are not under test.

## 6.2.1.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6.

## 6.2.1.5 Test requirement

The maximum output power, derived in step 3 shall be within the range prescribed by the nominal maximum output power and tolerance in Table 6.2.1.5-1.

Table 6.2.1.5-1: UE Power Class

EUTRA band	Class 1 (dBm)	Tolerance (dB)	Class 2 (dBm)	Tolerance (dB)	Class 3 (dBm)	Tolerance (dB)
n1					23	$\pm 2 \pm TT$
n2					23	$\pm 2^3 \pm TT$
n8					23	$\pm 2^3 \pm TT$
n12					23	$\pm 2^3 \pm TT$
n25					23	$\pm 2 \pm TT$
n34					23	$\pm 2 \pm TT$
n39					23	$\pm 2 \pm TT$
n40					23	$\pm 2 \pm TT$
n41			26	$+2 \pm TT / -3^3 - TT$	23	$\pm 2^3 \pm TT$
n66					23	$\pm 2 \pm TT$
n70					23	$\pm 2 \pm TT$
n71					23	$+2 \pm TT / -2.5 - TT$
n77			26	$+2 \pm TT / -3 - TT$	23	$+2 \pm TT / -3 - TT$
n78			26	$+2 \pm TT / -3 - TT$	23	$+2 \pm TT / -3 - TT$
n79			26	$+2 \pm TT / -3 - TT$	23	$+2 \pm TT / -3 - TT$
n80					23	$\pm 2 \pm TT$
n81					23	$\pm 2 \pm TT$
n82					23	$\pm 2 \pm TT$
n83					23	$+2 \pm TT / -2.5 - TT$
n84					23	$\pm 2 \pm TT$
n86					23	$\pm 2 \pm TT$
NOTE 1: TT for each frequency and channel bandwidth is specified in Table 6.2.1.5-2						
NOTE 2: $P_{PowerClass}$ is the maximum UE power specified without taking into account the tolerance						
NOTE 3: Power class 3 is default power class unless otherwise stated						
NOTE 4: Refers to the transmission bandwidths (Figure 5.3.3-1) confined within FUL_low and FUL_low + 4 MHz or FUL_high – 4 MHz and FUL_high, the maximum output power requirement is relaxed by reducing the lower tolerance limit by 1.5 dB						

Table 6.2.1.5-2: Test Tolerance (UE maximum output power)

	$f \leq 3.0\text{GHz}$	$3.0\text{GHz} < f \leq 4.2\text{GHz}$	$4.2\text{GHz} < f \leq 6.0\text{GHz}$
<b>BW <math>\leq 40\text{MHz}</math></b>	0.7 dB	1.0 dB	1.0 dB
<b><math>40\text{MHz} &lt; \text{BW} \leq 100\text{MHz}</math></b>	1.0 dB	1.0 dB	1.0 dB

If a UE supports a different power class than the default UE power class for the band and the supported power class enables the higher maximum output power than that of the default power class:

- if the field of UE capability *maxUplinkDutyCycle* is absent and the percentage of uplink symbols transmitted in a certain evaluation period is larger than 50% (The exact evaluation period is no less than one radio frame); or
- if the field of UE capability *maxUplinkDutyCycle* is not absent and the percentage of uplink symbols transmitted in a certain evaluation period is larger than *maxUplinkDutyCycle* as defined in TS 38.331 (The exact evaluation period is no less than one radio frame); or
- [may] apply all requirements for the default power class to the supported power class and set the configured transmitted power as specified in sub-clause 6.2.4;
- if the IE P-Max as defined in TS 38.331 [6] is not provided; or

- if the IE *P-Max* as defined in TS 38.331 [6] is provided and set to the maximum output power of the default power class or lower;
  - shall apply all requirements for the default power class to the supported power class and set the configured transmitted power as specified in sub-clause 6.2.4;
- else (i.e. the IE *P-Max* as defined in TS 38.331 [6] is provided and set to the higher value than the maximum output power of the default power class and the percentage of uplink symbols transmitted in a certain evaluation period is less than or equal to *maxUplinkDutyCycle* as defined in TS 38.331; or the IE *P-Max* as defined in TS 38.331 [6] is provided and set to the higher value than the maximum output power of the default power class and the percentage of uplink symbols transmitted in a certain evaluation period is less than or equal to 50% when *maxUplinkDutyCycle* is absent. The exact evaluation period is no less than one radio frame):
  - shall apply all requirements for the supported power class and set the configured transmitted power class as specified in sub-clause 6.2.4

## 6.2.2 Maximum Power Reduction (MPR)

Editor's Note:

- PC 1 and PC 4 MPR is RAN4 pending (there are still brackets left for PC 2 in the minimum requirement).
- Stand alone message contents in TS 38.508-1[5] subclause 4.6 is TBD

### 6.2.2.1 Test purpose

The number of RB identified in Table 6.2.2.3-1 is based on meeting the requirements for adjacent channel leakage ratio and the maximum power reduction (MPR) due to Cubic Metric (CM).

### 6.2.2.2 Test applicability

The requirements of this test apply in test cases 6.5.2.2. 1 Adjacent Channel Leakage power Ratio to all types of NR Power Class [2] and 3 UE release 15 and forward

### 6.2.2.3 Minimum conformance requirements

UE is allowed to reduce the maximum output power due to higher order modulations and transmit bandwidth configurations. For UE Power Class [2] and 3, the allowed maximum power reduction (MPR) is defined in Table 6.2.2.3-2 and 6.2.2.3-1, respectively for channel bandwidths that meets both following criteria:

- Channel bandwidth  $\leq 100\text{MHz}$ .
- Relative channel bandwidth  $\leq 4\%$  for TDD bands and  $\leq 3\%$  for FDD bands.

Where relative channel bandwidth =  $2 \cdot \text{BW}_{\text{Channel}} / (\text{F}_{\text{UL\_low}} + \text{F}_{\text{UL\_high}})$ .

**Table 6.2.2.3-1: Maximum Power Reduction (MPR) for Power 3**

Modulation	MPR (dB)	
	Outer RB allocations	Inner RB allocations
DFT-s-OFDM PI/2 BPSK	$\leq 0.5$	0
DFT-s-OFDM QPSK	$\leq 1$	0
DFT-s-OFDM 16 QAM	$\leq 2$	$\leq 1$
DFT-s-OFDM 64 QAM	$\leq 2.5$	
DFT-s-OFDM 256 QAM	4.5	
CP-OFDM QPSK	$\leq 3$	$\leq 1.5$
CP-OFDM 16 QAM	$\leq 3$	$\leq 2$
CP-OFDM 64 QAM	$\leq 3.5$	
CP-OFDM 256 QAM	$\leq 6.5$	

**Table 6.2.2.3-2: Maximum Power Reduction (MPR) for Power Class 2**

Modulation	MPR (dB)		
	Edge RB allocations	Outer RB allocations	Inner RB allocations

DFT-s-OFDM PI/2 BPSK	$\leq 3.5$	$\leq 0.5$	0
DFT-s-OFDM QPSK	$\leq 3.5$	$\leq 1$	0
DFT-s-OFDM 16 QAM	$\leq 3.5$	$\leq 2$	$\leq 1$
DFT-s-OFDM 64 QAM	$\leq 3.5$	$\leq 2.5$	
DFT-s-OFDM 256 QAM		$\leq 4.5$	
CP-OFDM QPSK	$\leq 3.5$	$\leq 3$	$\leq 1.5$
CP-OFDM 16 QAM	$\leq 3.5$	$\leq 3$	$\leq 2$
CP-OFDM 64 QAM		$\leq 3.5$	
CP-OFDM 256 QAM		$\leq 6.5$	

Where the following parameters are defined to specify valid RB allocation ranges for Outer and Inner RB allocations:

$N_{RB}$  is the maximum number of RBs for a given Channel bandwidth and sub-carrier spacing defined in Table 5.3.2-1.

$$RB_{Start,Low} = \max(1, \text{floor}(L_{CRB}/2))$$

where  $\max()$  indicates the largest value of all arguments and  $\text{floor}(x)$  is the greatest integer less than or equal to  $x$ .

$$RB_{Start,High} = L_{RB} - RB_{Start,Low} - L_{CRB}$$

The RB allocation is an Inner RB allocation if the following conditions are met:

$$RB_{Start,Low} \leq RB_{Start} \leq RB_{Start,High}, \text{ and}$$

$$L_{CRB} \leq \text{ceil}(N_{RB}/2)$$

where  $\text{ceil}(x)$  is the smallest integer greater than or equal to  $x$ .

For UE Power Class 2, an Edge RB allocation is one for which the RB's are allocated at the lowermost or uppermost edge of the channel with  $L_{CRB} \leq 2$  RB's.

The RB allocation is an Outer RB allocation for all other allocations which are not an Inner RB allocation or Edge RB allocation.

For the UE maximum output power modified by MPR, the power limits specified in subclause 6.2.5 apply.

The normative reference for this requirement is TS 38.101-1 [2] clause 6.2.2.

#### 6.2.2.4 Test description

##### 6.2.2.4.1 Initial conditions

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, channel bandwidths and sub-carrier spacing based on NR operating bands specified in table 5.3.5-1. All of these configurations shall be tested with applicable test parameters for each combination of test channel bandwidth and sub-carrier spacing, and are shown in table 6.2.2.4.1-1. The details of the uplink reference measurement channels (RMCs) are specified in Annexes A.2. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

**Table 6.2.2.4.1-1: Test Configuration Table for Power Class 3**

Initial Conditions					
Test Environment as specified in TS 38.508-1 [5] subclause 4.1		Normal, TL/VL, TL/VH, TH/VL, TH/VH			
Test Frequencies as specified in TS 38.508-1 [5] subclause 4.3.1		Low range, High range			
Test Channel Bandwidths as specified in TS 38.508-1 [5] subclause 4.3.1		Lowest, Highest			
Test SCS as specified in Table 5.3.5-1		Lowest and Highest			
Test Parameters for Channel Bandwidths					
Test ID	Freq	Downlink Configuration	Uplink Configuration		
		N/A for Maximum Power Reduction (MPR) test case	<b>Modulation (NOTE 2)</b> DFT-s-OFDM PI/2 BPSK	<b>RB allocation (NOTE 1)</b> Outer_1RB_Left	
1	Low		DFT-s-OFDM PI/2 BPSK DFT-s-OFDM PI/2 BPSK DFT-s-OFDM QPSK DFT-s-OFDM QPSK DFT-s-OFDM QPSK DFT-s-OFDM QPSK DFT-s-OFDM 16 QAM DFT-s-OFDM 16 QAM DFT-s-OFDM 16 QAM DFT-s-OFDM 16 QAM DFT-s-OFDM 64 QAM DFT-s-OFDM 256 QAM CP-OFDM QPSK CP-OFDM QPSK CP-OFDM QPSK CP-OFDM 16 QAM CP-OFDM 16 QAM CP-OFDM 16 QAM CP-OFDM 16 QAM CP-OFDM 64 QAM CP-OFDM 256 QAM	Outer_1RB_Right Outer Full Outer_1RB_Left Outer_1RB_Right Inner Full Outer_1RB_Left Outer_1RB_Right Outer Full Outer Full Inner Full Outer Full Inner Full Outer_1RB_Left Outer_1RB_Right Outer Full Outer Full Outer Full	
2	High				
3	Default				
4	Low				
5	High				
6	Default				
7	Default				
8	Low				
9	High				
10	Default				
11	Default				
12	Default				
13	Default				
14	Low				
15	High				
16	Default				
17	Default				
18	Low				
19	High				
20	Default				
21	Default				
22	Default				

NOTE 1: The specific configuration of each RB allocation is defined in Table 6.1-1.  
 NOTE 2: DFT-s-OFDM PI/2 BPSK test applies only for UEs which supports half Pi BPSK in FR1.

**Table 6.2.2.4.1-2: Test Configuration Table for Power Class 2**

TBD

1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, Figure A.3.1.2.1 for TE diagram and section A.3.2.1 for UE diagram.
2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
3. Downlink signals are initially set up according to Annex C.0, C.1, C.2 , and uplink signals according to Annex G.0, G.1, G.2, G.3.0 .
4. The UL Reference Measurement Channel is set according to Table 6.2.2.4.1-1.
5. Propagation conditions are set according to Annex B.0.
6. Ensure the UE is in State RRC\_CONNECTED with generic procedure parameters Connectivity NR according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.2.2.4.3.

**6.2.2.4.2 Test procedure**

1. SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format 0\_1 for C\_RNTI to schedule the UL RMC according to Table 6.2.2.4.1-1. Since the UE has no payload and no loopback data to send the UE sends uplink MAC padding bits on the UL RMC.

2. Send continuously uplink power control "up" commands in every uplink scheduling information to the UE; allow at least 200ms for the UE to reach  $P_{UMAX}$  level.
3. Measure the mean power of the UE in the channel bandwidth of the radio access mode. The period of measurement shall be at least the continuous duration of one active sub-frame (1 ms) and in the uplink symbols. For TDD slots with transient periods are not under test.

NOTE 1: When switching to DFT-s-OFDM waveform, as specified in the test configuration table 6.2.2.4.1-1, send an NR RRCReconfiguration message according to TS 38.508-1 [5] clause 4.6.3 Table 4.6.3-89 PUSCH-Config without CP-OFDM condition. When switching to CP-OFDM waveform, send an NR RRCReconfiguration message with CP-OFDM condition.

#### 6.2.2.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6.

#### 6.2.2.5 Test requirement

The maximum output power, derived in step 3 shall be within the range prescribed by the nominal maximum output power and tolerance in Table 6.2.2.5-1 and Table 6.2.2.5-4.

**Table 6.2.2.5-1: UE Power Class test requirements(for Bands n1, n2, n8, n12, n25, n34, n39, n40, n41, n66, n70, n80, n81, n82, n84, n86) for Power Class 3**

Test ID	$P_{PowerClass}$ (dBm)	MPR (dB)	$\Delta T_{C,c}$ (dB)	$P_{C_{MAX\_L,f,c}}$ (dBm)	$T(P_{C_{MAX\_L,f,c}})$ (dB)	$T_{L,c}$ (dB)	Upper limit (dBm)	Lower limit (dBm)
1	23	0.5	0	22.5	2.0	2	25.0 + TT	20.5 - TT
2	23	0.5	0	22.5	2.0	2	25.0 + TT	20.5 - TT
3	23	0.5	0	22.5	2.0	2	25.0 + TT	20.5 - TT
4	23	1	0	22	2.0	2	25.0 + TT	20.0 - TT
5	23	1	0	22	2.0	2	25.0 + TT	20.0 - TT
6	23	1	0	22	2.0	2	25.0 + TT	20.0 - TT
7	23	1	0	22	2.0	2	25.0 + TT	20.0 - TT
8	23	2	0	21	2.0	2	25.0 + TT	19.0 - TT
9	23	2	0	21	2.0	2	25.0 + TT	19.0 - TT
10	23	2	0	21	2.0	2	25.0 + TT	19.0 - TT
11	23	2.5	0	20.5	2.5	2	25.0 + TT	18.0 - TT
12	23	4.5	0	18.5	4.0	2	25.0 + TT	14.5 - TT
13	23	1.5	0	21.5	2.0	2	25.0 + TT	19.5 - TT
14	23	3	0	20	2.5	2	25.0 + TT	17.5 - TT
15	23	3	0	20	2.5	2	25.0 + TT	17.5 - TT
16	23	3	0	20	2.5	2	25.0 + TT	17.5 - TT
17	23	2	0	21	2.0	2	25.0 + TT	19.0 - TT
18	23	3	0	20	2.5	2	25.0 + TT	17.5 - TT
19	23	3	0	20	2.5	2	25.0 + TT	17.5 - TT
20	23	3	0	20	2.5	2	25.0 + TT	17.5 - TT
21	23	3.5	0	19.5	3.5	2	25.0 + TT	16.0 - TT
22	23	6.5	0	16.5	5.0	2	25.0 + TT	11.5 - TT

NOTE 1:  $P_{PowerClass}$  is the maximum UE power specified without taking into account the tolerance.

NOTE 2: For Band n41, refers to the transmission bandwidths (Figure 5.3.3-1) confined within  $FUL_{low}$  and  $FUL_{low} + 4$  MHz or  $FUL_{high} - 4$  MHz and  $FUL_{high}$ , the maximum output power requirement is relaxed by reducing the lower tolerance limit by 1.5 dB.

NOTE 3: TT=0.7dB for  $BW \leq 40$ MHz; TT=1.0 for  $40$ MHz <  $BW \leq 100$ MHz.

Table 6.2.2.5-2: UE Power Class test requirements(for Bands n71, n83) for Power Class 3

Test ID	$P_{\text{PowerClass}}$ (dBm)	MPR (dB)	$\Delta T_{C,c}$ (dB)	$P_{\text{CMAX\_L,f,c}}$ (dBm)	$T(P_{\text{CMAX\_L,f,c}})$ (dB)	$T_{L,c}$ (dB)	Upper limit (dBm)	Lower limit (dBm)
1	23	0.5	0	22.5	2.0	2.5	25.0 + TT	20.0 - TT
2	23	0.5	0	22.5	2.0	2.5	25.0 + TT	20.0 - TT
3	23	0.5	0	22.5	2.0	2.5	25.0 + TT	20.0 - TT
4	23	1	0	22	2.0	2.5	25.0 + TT	19.5 - TT
5	23	1	0	22	2.0	2.5	25.0 + TT	19.5 - TT
6	23	1	0	22	2.0	2.5	25.0 + TT	19.5 - TT
7	23	1	0	22	2.0	2.5	25.0 + TT	19.5 - TT
8	23	2	0	21	2.0	2.5	25.0 + TT	18.5 - TT
9	23	2	0	21	2.0	2.5	25.0 + TT	18.5 - TT
10	23	2	0	21	2.0	2.5	25.0 + TT	18.5 - TT
11	23	2.5	0	20.5	2.5	2.5	25.0 + TT	18.0 - TT
12	23	4.5	0	18.5	4.0	2.5	25.0 + TT	14.5 - TT
13	23	1.5	0	21.5	2.0	2.5	25.0 + TT	19.0 - TT
14	23	3	0	20	2.5	2.5	25.0 + TT	17.5 - TT
15	23	3	0	20	2.5	2.5	25.0 + TT	17.5 - TT
16	23	3	0	20	2.5	2.5	25.0 + TT	17.5 - TT
17	23	2	0	21	2.0	2.5	25.0 + TT	18.5 - TT
18	23	3	0	20	2.5	2.5	25.0 + TT	17.5 - TT
19	23	3	0	20	2.5	2.5	25.0 + TT	17.5 - TT
20	23	3	0	20	2.5	2.5	25.0 + TT	17.5 - TT
21	23	3.5	0	19.5	3.5	2.5	25.0 + TT	16.0 - TT
22	23	6.5	0	16.5	5.0	2.5	25.0 + TT	11.5 - TT

NOTE 1:  $P_{\text{PowerClass}}$  is the maximum UE power specified without taking into account the tolerance.

NOTE 2: TT=0.7dB.

Table 6.2.2.5-3: UE Power Class test requirements for Bands n77, n78, n79) for Power Class 3

Test ID	P <sub>PowerClass</sub> (dBm)	MPR (dB)	$\Delta T_{C,c}$ (dB)	P <sub>CMAX_L,f,c</sub> (dBm)	T(P <sub>CMAX_L,f,c</sub> ) (dB)	T <sub>L,c</sub> (dB)	Upper limit (dBm)	Lower limit (dBm)
1	23	0.5	0	22.5	2.0	3	25.0 + TT	19.5 - TT
2	23	0.5	0	22.5	2.0	3	25.0 + TT	19.5 - TT
3	23	0.5	0	22.5	2.0	3	25.0 + TT	19.5 - TT
4	23	1	0	22	2.0	3	25.0 + TT	19.0 - TT
5	23	1	0	22	2.0	3	25.0 + TT	19.0 - TT
6	23	1	0	22	2.0	3	25.0 + TT	19.0 - TT
7	23	1	0	22	2.0	3	25.0 + TT	19.0 - TT
8	23	2	0	21	2.0	3	25.0 + TT	18.0 - TT
9	23	2	0	21	2.0	3	25.0 + TT	18.0 - TT
10	23	2	0	21	2.0	3	25.0 + TT	18.0 - TT
11	23	2.5	0	20.5	2.5	3	25.0 + TT	17.5 - TT
12	23	4.5	0	18.5	4.0	3	25.0 + TT	14.5 - TT
13	23	1.5	0	21.5	2.0	3	25.0 + TT	18.5 - TT
14	23	3	0	20	2.5	3	25.0 + TT	17.0 - TT
15	23	3	0	20	2.5	3	25.0 + TT	17.0 - TT
16	23	3	0	20	2.5	3	25.0 + TT	17.0 - TT
17	23	2	0	21	2.0	3	25.0 + TT	18.0 - TT
18	23	3	0	20	2.5	3	25.0 + TT	17.0 - TT
19	23	3	0	20	2.5	3	25.0 + TT	17.0 - TT
20	23	3	0	20	2.5	3	25.0 + TT	17.0 - TT
21	23	3.5	0	19.5	3.5	3	25.0 + TT	16.0 - TT
22	23	6.5	0	16.5	5.0	3	25.0 + TT	11.5 - TT

NOTE 1: P<sub>PowerClass</sub> is the maximum UE power specified without taking into account the tolerance.  
NOTE 2: TT=1.0dB for Bands n77 and n78 when BW ≤ 40MHz; TT=1.0 for Band n79 when BW ≤ 40MHz;  
TT=1.0 for 40MHz < BW ≤ 100MHz.

Table 6.2.2.5-4: UE Power Class test requirements (for Bands n41, n77, n78, n79) for Power Class 2

TBD

### 6.2.3 UE additional maximum output power reduction

Editor's note: The following aspects are either missing or not yet determined:

- Initial condition is not complete, except for NS\_35.

SA message contents in TS 38.508-1[5] subclause 4.6 is FFS.

- Test case 6.5.3.3 Additional Spurious Emissions is not complete.

- UE Power Class not defined for NR operating band n3, n4, n5, n20 in TS 38.101-1.

- Tests for network signalling values NS\_03, NS\_04, NS\_05, NS\_10, NS\_05, NS\_08; NS\_07, NS\_40 and NS\_09 not complete.

#### 6.2.3.1 Test purpose

Additional emission requirements can be signalled by the network with network signalling value indicated by the field *additionalSpectrumEmission*. To meet these additional requirements, additional maximum power reduction (A-MPR) is allowed for the maximum output power as specified in Table [TBD]. Unless stated otherwise, an A-MPR of 0 dB shall be used.

## 6.2.3.2 Test applicability

The requirements of this test apply in test case 6.5.2.3 Additional Spectrum Emission mask for network signalled values NS\_03, NS\_04, NS\_06, NS\_35 and NS\_40 to all types of NR UE release 15 and forward.

The requirements of this test apply in test case 6.5.3.3 Additional Spurious Emissions for network signalled values NS\_04, NS\_05, NS\_07, NS\_08 and NS\_09 to all types of NR UE release 15 and forward.

## 6.2.3.3 Minimum conformance requirements

## 6.2.3.3.1 General

Table 6.2.3.3.1-1 specifies for UE Power Class 3 the additional requirements and allowed A-MPR with corresponding network signalling value and operating band. Unless otherwise stated, the allowed A-MPR is in addition to the allowed MPR specified in subclause 6.2.2.

**Table 6.2.3.3.1-1: Additional maximum power reduction (A-MPR)**

Network Signalling value	Requirements (subclause)	NR Band	Channel bandwidth (MHz)	Resources Blocks ( $N_{RB}$ )	A-MPR (dB)	Value of additional Spectrum Emission
NS_01					N/A	1
NS_02	6.5.2.2.3-1	n1, n2, n3, n4, n5, n8, n20, n25, n66, n80, n81, n82, n84, (Note 1)			Table 6.2.3.3.1-2	1
NS_03	6.5.2.3.3	n2, n25, n66, n70			Table 6.2.3.3.7-1	3
NS_04	6.5.2.3.3.2	n41	10, 15, 20, 40, 50, 60, 80, 100		Subclause 6.2.3.3.2	4
NS_06	6.5.2.3.3.4	n12	5, 10, 15	5.3.5	N/A	2
NS_10		n20, n82	15, 20	Table 6.2.3.3-1	Table 6.2.3.3.3-1	NS_xx
NS_05	[TBD]	n28, n83	5	$\geq 2$	$\leq 2^4$	
			10, 15, 20	$\geq 1$	$\leq 5^4$	
NS_08	[TBD]	n1, n 84	5, 10, 15, 20 <sup>5</sup>		Table 6.2.3.3.4-1	
NS_07	[TBD]	n28, n83	5, 10	Table 5.3.2-1	[1] <sup>3,4</sup>	
NS_35	6.5.2.3.3.1-1	n71	5, 10, 15, 20	Table 5.3.2-1	N/A	2
NS_40	6.5.2.3.3.7	n51	5		Table 6.2.3.3.5-1	35
NS_09	[TBD]	n8, n81	5, 10, 15		Table 6.2.3.3.6-1	

NOTE 1: This NS can be signalled for NR bands that have UTRA services deployed.

NOTE 2: The total maximum output power reduction for NS\_xx and NS\_yy is obtained by taking the maximum value of MPR + A-MPR specified in Table 6.2.3.3.1-1 and Table 6.2.4-1 in TS 36.101 and A-MPR specified in Table 6.2.3.3-1.

NOTE 3: The A-MPR is 0 dB for inner RB allocations for DFT-s-OFDM PI/2 BPSK and QPSK.

NOTE 4: The A-MPR for CP-OFDM shall also add the corresponding MPR specified in Table 6.2.2.3-1 and Table 6.2.2.3-2.

NOTE 5: No A-MPR is applied for 5 MHz CBW where the lower channel edge is  $\geq 1930$  MHz, 10 MHz CBW where the lower channel edge is  $\geq 1950$  MHz and 15 MHz CBW where the lower channel edge is  $\geq 1955$  MHz.

**Table 6.2.3.3.1-2: A-MPR for UTRA protections**

Modulation	A-MPR	
	Outer RB allocations	Inner RB allocations
DFT-s-OFDM PI/2 BPSK	$\leq 1.5$	0
DFT-s-OFDM QPSK	$\leq 1$	0
DFT-s-OFDM 16 QAM	$\leq 0.5$	0
DFT-s-OFDM 64 QAM	$\leq 0.5$	0
DFT-s-OFDM 256 QAM	0	0
CP-OFDM QPSK	$\leq 1$	0
CP-OFDM 16 QAM	$\leq 1$	0
CP-OFDM 64 QAM	$\leq 0.5$	0
CP-OFDM 256 QAM	0	0

NOTE 1: A-MPR defined in this Table is additive to MPR defined in Table 6.2.2.3-1  
NOTE 2: Outer and inner allocations are defined in clause 6.2.2

The normative reference for this requirement is TS 38.101-1 [2] clause 6.2.3.1.

#### 6.2.3.3.2 A-MPR for NS\_04

TBD

The normative reference for this requirement is TS 38.101-1 [2] clause 6.2.3.2.

#### 6.2.3.3.3 A-MPR for NS\_10

**Table 6.2.3.3.3-1: A-MPR for NS\_10**

TBD

The normative reference for this requirement is TS 38.101-1 [2] clause 6.2.3.3.

#### 6.2.3.3.4 A-MPR for NS\_08

**Table 6.2.3.3.4-1: A-MPR for NS\_08**

TBD

The normative reference for this requirement is TS 38.101-1 [2] clause 6.2.3.4.

#### 6.2.3.3.5 A-MPR for NS\_40

**Table 6.2.3.3.5-1: A-MPR for NS\_40**

TBD

The normative reference for this requirement is TS 38.101-1 [2] clause 6.2.3.5.

#### 6.2.3.3.6 A-MPR for NS\_09

**Table 6.2.3.3.6-1: A-MPR for NS\_09**

TBD

The normative reference for this requirement is TS 38.101-1 [2] clause 6.2.3.6.

#### 6.2.3.3.7 A-MPR for NS\_03

**Table 6.2.3.3.7-1: A-MPR for NS\_03**

TBD

The normative reference for this requirement is TS 38.101-1 [2] clause 6.2.3.7.

## 6.2.3.4 Test description

## 6.2.3.4.1 Initial conditions

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and sub-carrier spacing based on NR operating bands specified in table 5.3.5-1. All of these configurations shall be tested with applicable test parameters for each combination of test channel bandwidth and sub-carrier spacing, and are shown in table 6.2.3.4.1-1. The details of the uplink reference measurement channels (RMCs) are specified in Annex A.2. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2

**Table 6.2.3.4.1-1: Test Configuration table for NS\_35**

Initial Conditions						
Test Environment as specified in TS 38.508-1 [5] subclause 4.1				Normal		
Test Frequencies as specified in TS 38.508-1 [5] subclause 4.3.1				Low range and High range		
Test Channel Bandwidths as specified in TS 38.508-1 [5] subclause 4.3.1				Lowest and Highest		
Test SCS as specified in Table 5.3.5-1				Lowest and Highest		
A-MPR test parameters for NS_35						
Test ID	Freq	ChBw	SCS	Downlink Configuration	Uplink Configuration	
				N/A for A-MPR testing.	Modulation (NOTE 2)	RB allocation (NOTE 1)
1	Low	Default	Default		DFT-s-OFDM PI/2 BPSK	Outer_1RB_Left
2	High				DFT-s-OFDM PI/2 BPSK	Outer_1RB_Right
3	Default				DFT-s-OFDM PI/2 BPSK	Outer Full
4	Low				DFT-s-OFDM QPSK	Outer_1RB_Left
5	High				DFT-s-OFDM QPSK	Outer_1RB_Right
6	Default				DFT-s-OFDM QPSK	Outer Full
7	Low				DFT-s-OFDM 16 QAM	Outer_1RB_Left
8	High				DFT-s-OFDM 16 QAM	Outer_1RB_Right
9	Default				DFT-s-OFDM 16 QAM	Outer Full
10	Default				DFT-s-OFDM 64 QAM	Outer Full
11	Default				DFT-s-OFDM 256 QAM	Outer Full
12	Low				CP-OFDM QPSK	Outer_1RB_Left
13	High				CP-OFDM QPSK	Outer_1RB_Right
14	Default				CP-OFDM QPSK	Outer Full
15	Low				CP-OFDM 16 QAM	Outer_1RB_Left
16	High				CP-OFDM 16 QAM	Outer_1RB_Right
17	Default				CP-OFDM 16 QAM	Outer Full
18	Default				CP-OFDM 64 QAM	Outer Full
19	Default				CP-OFDM 256 QAM	Outer Full

NOTE 1: The specific configuration of each RB allocation is defined in Table 6.1-1.  
NOTE 2: DFT-s-OFDM PI/2 BPSK test applies only for UEs which supports half Pi BPSK in FR1.

**Editor's note:** The following lines belong at the end of subclause 6.2.3.4.1. As new tables are added to this section, these lines should always follow the tables

1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, Figure A.3.1.1.1 for TE diagram and section A.3.2.1 for UE diagram.
2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
3. Downlink signals are initially set up according to Annex C.0, C.1, C.2 and uplink signals according Annex G.0, G.1, G.2 and G.3.0.
4. The UL Reference Measurement channels are set according to the applicable table from Table 6.2.4.3.1-.
5. Propagation conditions are set according to Annex B.0.
6. Ensure the UE is in state RRC\_CONNECTED with generic procedure parameters Connectivity NR, DC bearer (FFS) according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.2.3.4.3.

#### 6.2.3.4.2 Test procedure

1. SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format [0\_1] for C\_RNTI to schedule the UL RMC according to the applicable table from Table 6.2.4.3.1-1 to Table 6.2.4.3.1-2. Since the UE has no payload data to send, the UE transmits uplink MAC padding bits on the UL RMC.
2. Send continuously uplink power control "up" commands in the uplink scheduling information to the UE. Allow at least 200ms starting from the first TPC command in this step for the UE to reach  $P_{UMAX}$  level.
3. Measure the mean power of the UE in the channel bandwidth of the radio access mode. The period of measurement shall be at least the continuous duration one sub-frame (1ms). [For TDD slots with transient periods are not under test.]

#### 6.2.3.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6.1, with the following exceptions for each network signalled value.

##### 6.2.3.4.3.1 Message contents exceptions (network signalled value "NS\_02")

1. Information element `additionalSpectrumEmission` is set to NS\_02. This can be set in the *SystemInformationBlockType2* as part of the cell broadcast message. This exception indicates that the UE shall meet the additional spurious emission requirement for a specific deployment scenario.

**Table 6.2.3.4.3.1-1: SystemInformationBlockType2: Additional spurious emissions test requirement for "NS\_02"**

Derivation Path: TS 38.508-1 [5] clause [TBD], Table [TBD]			
Information Element	Value/remark	Comment	Condition
<code>additionalSpectrumEmission</code>	2 (NS_02)		

##### 6.2.3.4.3.2 Message contents exceptions (network signalled value "NS\_35")

1. Information element `additionalSpectrumEmission` is set to NS\_35. This can be set in the *SystemInformationBlockType2* as part of the cell broadcast message. This exception indicates that the UE shall meet the additional spurious emission requirement for a specific deployment scenario.

**Table 6.2.3.4.3.2-1: SystemInformationBlockType2: Additional spurious emissions test requirement for "NS\_35"**

Derivation Path: TS 38.508-1 [5] clause [TBD], Table [TBD]			
Information Element	Value/remark	Comment	Condition
<code>additionalSpectrumEmission</code>	2 (NS_35)		

#### 6.2.3.5 Test requirement

The maximum output power, derived in step 3 shall be within the range prescribed by the nominal maximum output power and tolerance in the applicable table from table 6.2.3.5-1. The allowed A-MPR values specified in table 6.2.3.3-1 are in addition to the allowed MPR requirements specified in clause 6.2.2. For the UE maximum output power modified by MPR and/or A-MPR, the power limits specified in table 6.2.1.3-1 apply.

Table 6.2.3.5-1: UE Power Class 3 test requirements (NS\_35) for band n71.

Test ID	P <sub>PowerClass</sub> (dBm)	MPR (dB)	A-MPR (dB)	ΔT <sub>C,c</sub> (dB)	P <sub>C<sub>MAX,c</sub></sub> (dBm)	T(P <sub>C<sub>MAX,L,c</sub></sub> ) (dB)	T <sub>L,c</sub> (dB)	Upper limit (dBm)	Lower limit (dBm)
1	23	0.5	0	0	22.5	2	2.5	25.7	19.3
2	23	0.5	0	0	22.5	2	2.5	25.7	19.3
3	23	0.5	0	0	22.5	2	2.5	25.7	19.3
4	23	1	0	0	22	2	2.5	25.7	18.8
5	23	1	0	0	22	2	2.5	25.7	18.8
6	23	1	0	0	22	2	2.5	25.7	18.8
7	23	2	0	0	21	2	2.5	25.7	17.8
8	23	2	0	0	21	2	2.5	25.7	17.8
9	23	2	0	0	21	2	2.5	25.7	17.8
10	23	2.5	0	0	20.5	2.5	2.5	25.7	17.3
11	23	4.5	0	0	18.5	4	2.5	25.7	13.8
12	23	3	0	0	20	2.5	2.5	25.7	16.8
13	23	3	0	0	20	2.5	2.5	25.7	16.8
14	23	3	0	0	20	2.5	2.5	25.7	16.8
15	23	3	0	0	20	2.5	2.5	25.7	16.8
16	23	3	0	0	20	2.5	2.5	25.7	16.8
17	23	3	0	0	20	2.5	2.5	25.7	16.8
18	23	3.5	0	0	19.5	3.5	2.5	25.7	15.3
19	23	6.5	0	0	16.5	5	2.5	25.7	10.8

NOTE 1: P<sub>PowerClass</sub> is the maximum UE power specified without taking into account the tolerance.

NOTE 2: TT=0.7 dB for BW<sub>channel</sub> ≤ 40 MHz; TT=1.0 dB for 40 MHz < BW<sub>channel</sub> ≤ 100 MHz.

## 6.2.4 Configured transmitted power

Editor's Note:

- SA Generic procedures with condition NR in TS 38.508-1 is FFS.
- SA message contents in TS 38.508-1[5] subclause 4.6 is FFS

### 6.2.4.1 Test purpose

To verify the measured UE configured maximum output power P<sub>UMAX,f,c</sub> is within the specified bounds.

### 6.2.4.2 Test applicability

This test case applies to all types of NR UE release 15 and forward.

### 6.2.4.3 Minimum conformance requirements

The UE is allowed to set its configured maximum output power P<sub>C<sub>MAX,f,c</sub></sub> for carrier f of serving cell c in each slot. The configured maximum output power P<sub>C<sub>MAX,f,c</sub></sub> is set within the following bounds:

$$P_{C_{MAX,L,f,c}} \leq P_{C_{MAX,f,c}} \leq P_{C_{MAX,H,f,c}} \text{ with}$$

$$P_{C_{MAX,L,f,c}} = \text{MIN} \{ P_{EMAX,c} - \Delta T_{C,c}, (P_{PowerClass} - \Delta P_{PowerClass}) - \text{MAX}(MPR_c + A-MPR_c + \Delta T_{IB,c} + \Delta T_{C,c} + \Delta T_{RxsRS}, P-MPR_c) \}$$

$$P_{C_{MAX,H,f,c}} = \text{MIN} \{ P_{EMAX,c}, P_{PowerClass} - \Delta P_{PowerClass} \}$$

where

P<sub>EMAX,c</sub> is the value given by IE P-Max for serving cell c, defined in TS 38.331[6];

P<sub>PowerClass</sub> is the maximum UE power specified in Table 6.2.1.3-1 without taking into account the tolerance specified in the Table 6.2.1.3-1;

ΔP<sub>PowerClass</sub> = 3 dB for a power class 2 capable UE operating in Band n41, n77, n78 and n79, when P-max of 23 dBm or lower is indicated; or when the field of UE capability *maxUplinkDutyCycle* is absent and the percentage of uplink symbols transmitted in a certain evaluation period is larger than 50%; or when the field of UE capability *maxUplinkDutyCycle* is not absent and the percentage of uplink symbols transmitted in a certain evaluation period is

larger than  $maxUplinkDutyCycle$  as defined in TS 38.331 (The exact evaluation period is no less than one radio frame); or if  $P-Max$  is not indicated in the cell,  $\Delta P_{PowerClass} = 0$  dB;

$\Delta T_{IB,c}$  is the additional tolerance for serving cell  $c$  as specified in TS 38.101-3 [4] subclause 6.2.6 and 6.2.7;  $\Delta T_{IB,c} = 0$  dB otherwise;

$\Delta T_{C,c}$  is TBD;

$MPR_c$  and  $A-MPR_c$  for serving cell  $c$  are specified in subclause 6.2.2.3 and subclause 6.2.3.3, respectively;

$\Delta T_{RxSRS}$  is 3 dB and is applied when UE transmits SRS to the antenna port that is designated as Rx port. For other SRS transmissions  $\Delta T_{RxSRS}$  is zero

$P-MPR_c$  is the allowed maximum output power reduction for

- a) ensuring compliance with applicable electromagnetic energy absorption requirements and addressing unwanted emissions / self defence requirements in case of simultaneous transmissions on multiple RAT(s) for scenarios not in scope of 3GPP RAN specifications;
- b) ensuring compliance with applicable electromagnetic energy absorption requirements in case of proximity detection is used to address such requirements that require a lower maximum output power.

The UE shall apply  $P-MPR_c$  for serving cell  $c$  only for the above cases. For UE conducted conformance testing  $P-MPR_c$  shall be 0 dB

NOTE 1:  $P-MPR_c$  was introduced in the  $P_{CMAX,f,c}$  equation such that the UE can report to the eNB the available maximum output transmit power. This information can be used by the eNB for scheduling decisions.

NOTE 2:  $P-MPR_c$  may impact the maximum uplink performance for the selected UL transmission path.

The  $P_{CMAX,L,f,c}$  for carrier  $f$  of serving cell  $c$  is evaluated each slot.

The measured configured maximum output power  $P_{UMAX,f,c}$  shall be within the following bounds:

$$P_{CMAX,L,f,c} - \text{MAX}\{T_{L,c}, T(P_{CMAX,L,f,c})\} \leq P_{UMAX,f,c} \leq P_{CMAX,H,f,c} + T(P_{CMAX,H,f,c}).$$

where the tolerance  $T(P_{CMAX,f,c})$  for applicable values of  $P_{CMAX,f,c}$  is specified in Table 6.2.4.3-1. The tolerance  $T_{L,c}$  is the absolute value of the lower tolerance for the applicable operating band as specified in Table 6.2.1.3-1.

**Table 6.2.4.3-1:  $P_{CMAX}$  tolerance**

$P_{CMAX,f,c}$ (dBm)	Tolerance $T(P_{CMAX,f,c})$ (dB)
$23 < P_{CMAX,c} \leq 33$	2.0
$21 \leq P_{CMAX,c} \leq 23$	2.0
$20 \leq P_{CMAX,c} < 21$	2.5
$19 \leq P_{CMAX,c} < 20$	3.5
$18 \leq P_{CMAX,c} < 19$	4.0
$13 \leq P_{CMAX,c} < 18$	5.0
$8 \leq P_{CMAX,c} < 13$	6.0
$-40 \leq P_{CMAX,c} < 8$	7.0

The normative reference for this requirement is TS 38.101-1 [2] clause 6.2.4.

#### 6.2.4.4 Test description

##### 6.2.4.4.1 Initial condition

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and sub-carrier spacing based on NR operating bands specified in table 5.3.5-1. All of these configurations shall be tested with applicable test parameters for each combination of test channel bandwidth and sub-carrier spacing, and are shown in table 6.2.4.4.1-1. The details of the uplink reference measurement channels (RMCs) are specified in Annexes A.2. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

**Table 6.2.4.4.1-1: Test Configuration Table**

Initial Conditions			
Test Environment as specified in TS 38.508-1 [5] subclause 4.1		Normal, TL/VL, TL/VH, TH/VL, TH/VH	
Test Frequencies as specified in TS 38.508-1 [5] subclause 4.3.1		Low range, Mid range, High range	
Test Channel Bandwidths as specified in TS 38.508-1 [5] subclause 4.3.1		Lowest, Mid, Highest	
Test SCS as specified in Table 5.3.5-1		Lowest, Highest	
Test Parameters for Channel Bandwidths			
Test ID	Downlink Configuration	Uplink Configuration	
	N/A for minimum output power test case	Modulation (NOTE 2)	RB allocation (NOTE 1)
1		DFT-s-OFDM Pi/2 BPSK	Inner Full
2		DFT-s-OFDM QPSK	Inner Full
NOTE 1: The specific configuration of each RB allocation is defined in Table 6.1-1.			
NOTE 2: DFT-s-OFDM PI/2 BPSK test applies only for UEs which supports half Pi BPSK in FR1.			

1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, Figure A.3.1.1.1 for TE diagram and section A.3.2.1 for UE diagram.
2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
3. Downlink signals are initially set up according to Annex C.0, C.1, C.2, and uplink signals according to Annex G.0, G.1, G.2, G.3.0.
4. The UL Reference Measurement Channel is set according to Table 6.2.4.4.1-1.
5. Propagation conditions are set according to Annex B.0.
6. Ensure the UE is in State RRC\_CONNECTED with generic procedure parameters Connectivity NR according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.2.4.4.3.

**6.2.4.4.2 Test procedure**

1. SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format 0\_1 for C\_RNTI to schedule the UL RMC according to Table 6.2.4.4.1-1. Since the UE has no payload and no loopback data to send the UE sends uplink MAC padding bits on the UL RMC.
2. Send continuously uplink power control "up" commands in every uplink scheduling information to the UE; allow at least 200ms starting from the first TPC command in this step to ensure that the UE reaches the P<sub>max</sub> level of the test point.
3. Measure the mean power of the UE in the channel bandwidth for each test point in table 6.2.4.5-1 according to the test configuration from table 6.2.4.4.1-1. The period of measurement shall be at least the continuous duration of one active sub-frame (1ms) and in the uplink symbols. For TDD slots with transient periods are not under test.

**6.2.4.4.3 Message contents**

Message contents are according to TS 38.508-1 [5] subclause 4.6 with the following exceptions:

**Table 6.2.5.4.3-1: FrequencyInfoUL: Test point 1**

Derivation Path: TS 38.508-1 [5] Table 4.6.3-44 FrequencyInfoUL			
Information Element	Value/remark	Comment	Condition
p-Max	-10		

**Table 6.2.5.4.3-2: FrequencyInfoUL: Test point 2**

Derivation Path: TS 38.508-1 [5] Table 4.6.3-44 FrequencyInfoUL			
Information Element	Value/remark	Comment	Condition
p-Max	10		

**Table 6.2.5.4.3-3: FrequencyInfoUL: Test point 3**

Derivation Path: TS 38.508-1 [5] Table 4.6.3-44 FrequencyInfoUL			
Information Element	Value/remark	Comment	Condition
p-Max	15		

#### 6.2.4.5 Test requirement

The maximum output power measured shall not exceed the values specified in Table 6.2.4.5-1.

**Table 6.2.4.5-1: P<sub>C<sub>MAX</sub></sub> configured UE output power**

	maximum output power
Measured UE output power test point 1	-10 dBm ± (7+TT)
Measured UE output power test point 2	10 dBm ± (6+TT)
Measured UE output power test point 3	15 dBm ± (5+TT)
Note 1: TT for each frequency and channel bandwidth is specified in Table 6.2.4.5-2.	
Note 2: In addition note 2 in Table 6.2.1.3-1 shall apply to the tolerances.	

**Table 6.2.4.5-2: Test Tolerance (Minimum output power)**

	f ≤ 3.0GHz	3.0GHz < f ≤ 4.2GHz	4.2GHz < f ≤ 6.0GHz
BW ≤ 40MHz	0.7 dB	1.0 dB	1.0 dB
40MHz < BW ≤ 100MHz	1.0 dB	1.0 dB	1.0 dB

## 6.2A Transmitter power for CA

FFS

## 6.2B Transmitter power for DC

FFS

## 6.2C Transmitter power for SUL

### 6.2C.1 Configured transmitted power for SUL

**Editor's notes:**

- Connection diagram is TBD.

- SA Message contents reference clause number is TBD in 38.508

#### 6.2C.1.1 Test purpose

To verify the UE does not exceed the minimum between the P<sub>EMAX</sub> maximum allowed UL TX Power signalled by the E-UTRAN and the P<sub>UMAX</sub> maximum UE power the UE power class.

### 6.2C.1.2 Test applicability

This test applies to all types of NR UE release 15 and forward and support SUL.

### 6.2C.1.3 Minimum conformance requirements

Refer to clause 6.2.4.3, and with the following supplementary specification for UE configured with SUL

For single carrier configured transmit power, as the UL carrier and SUL carrier is a same cell, the configured transmit power is specified for each UL carrier in a serving cell. The configured transmit power requirement for serving cell is applied for each UL carrier.

For the UE which supports SUL band combination,  $\Delta T_{IB,c}$  in Table 6.2C.2-1 applies.

#### 6.2C.1.4.1 Initial conditions

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, and channel bandwidths based on NR operating bands specified in table 5.2C-1. All of these configurations shall be tested with applicable test parameters for each channel bandwidth, and are shown in table 6.2C.1.4.1-1. The details of the uplink reference measurement channels (RMCs) are specified in Annex A.2. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

**Table 6.2C.1.4.1-1: Test Configuration Table**

Initial Conditions				
Test Environment as specified in TS 38.508-1 [5] subclause 4.1		Normal, TL/VL, TL/VH, TH/VL, TH/VH		
Test Frequencies as specified in TS 38.508-1 [5] subclause 4.3.1		Mid range		
Test Channel Bandwidths as specified in TS 38.508-1 [5] subclause 4.3.1		Lowest, Mid, Highest		
Test SCS as specified in Table 5.3.5-1		15kHz		
Test Parameters for Channel Bandwidths				
	Downlink Configuration	UL Configuration	SUL Configuration	
Test ID	N/A for Configured UE transmitted Output Power test case		Modulation	RB allocation
1		NA	DFT-s-OFDM Pi/2 BPSK	Inner Full
2		NA	DFT-s-OFDM QPSK	Inner Full
Note 1:	Test Channel Bandwidths are checked separately for each SUL band combination, the applicable channel bandwidths are specified in Table 5.5C-1.			
Note 2:	DFT-s-OFDM PI/2 BPSK test applies only for UEs which supports half Pi BPSK in FR1.			

1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, in Figure [TBD].
2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
3. Downlink signals are initially set up according to Annex C.0, C.1, C.2 and uplink signals according to Annex G.0, G.1, G.2, G.3.0.
4. The UL Reference Measurement channel is set according to Table 6.2C.1.4.1-1
5. Propagation conditions are set according to Annex B.0.
6. Ensure the UE is in State RRC\_CONNECTED with generic procedure parameters Connectivity NR according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.2C.1.4.3.

#### 6.2.1.4.2 Test procedure

1. SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format 0\_1 for C\_RNTI to schedule the UL RMC according to Table 6.2C.1.4.1-1. Since the UE has no payload and no loopback data to send the UE sends uplink MAC padding bits on the UL RMC.

2. Send transmit uplink power control "up" commands in every uplink scheduling information to the UE; allow at least 200ms starting from the first TPC command for the UE to reach the  $P_{\text{umax}}$  level of the test point.
3. Measure the mean power of the UE in the channel bandwidth for each test point in table 6.2C.1.5-1 according to the test configuration from Table 6.2C.1.4.1-1. The period of measurement shall be at least continuous duration of one sub-frame (1ms). For TDD slots with transient periods are not under test.

6.2C.1.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6 with the following exceptions:

**Table 6.2C.1.4.3-1: SIB1: Test point 1**

Derivation Path: TS 38.508-1 [5] [Table 4.6.1-5] SIB1			
Information Element	Value/remark	Comment	Condition
supplementaryUplink ::= SEQUENCE {			
frequencyInfoUL SEQUENCE {			
p-Max	-10		
}			
}			

**Table 6.2C.1.4.3-2: SIB1: Test point 2**

Derivation Path: TS 38.508-1 [5] [Table 4.6.1-5] SIB1			
Information Element	Value/remark	Comment	Condition
supplementaryUplink ::= SEQUENCE {			
frequencyInfoUL SEQUENCE {			
p-Max	10		
}			
}			

**Table 6.2C.1.4.3-3: SIB1: Test point 3**

Derivation Path: TS 38.508-1 [5] [Table 4.6.1-5] SIB1			
Information Element	Value/remark	Comment	Condition
supplementaryUplink ::= SEQUENCE {			
frequencyInfoUL SEQUENCE {			
p-Max	15		
}			
}			

6.2C.1.5 Test requirement

The maximum output power measured shall not exceed the values specified in Table 6.2C.1.5-1.

**Table 6.2C.1.5-1:  $P_{\text{CMAX}}$  configured UE output power**

	Channel bandwidth / maximum output power					
	5 MHz	10 MHz	15 MHz	20 MHz	25 MHz	30 MHz
Measured UE output power test point 1	-10 dBm ± (7+TT)					
Measured UE output power test point 2	10 dBm ± (6+TT)					
Measured UE output power test point 3	15 dBm ± (5+TT)					
Note 1: TT for each frequency and channel bandwidth is specified in Table 6.2.4.5-2.						
Note 2: In addition note 3 in Table 6.2.1.3-1 shall apply to the tolerances.						

For the UE which supports SUL configurations with uplink assigned to one E-UTRA band and one supplementary E-UTRA band the  $\Delta T_{IB,c}$  in Tables 6.2C.2.3-1 shall be applied for applicable bands.

## 6.2C.2 $\Delta T_{IB,c}$

For the UE which supports SUL band combination,  $\Delta T_{IB,c}$  in Tables below applies. Unless otherwise stated,  $\Delta T_{IB,c}$  is set to zero.

**Table 6.2C.2-1:  $\Delta T_{IB,c}$  due to SUL**

Band combination for SUL	NR Band	$\Delta T_{IB,c}$ (dB)
SUL_n78-n80	n78	0.8
	n80	0.6
SUL_n78-n81	n78	0.8
	n81	0.6
SUL_n78-n82	n78	0.8
	n82	0.6
SUL_n78-n83	n78	0.8
	n83	0.5
SUL_n78-n84	n78	0.8
	n84	0.3
SUL_n78-n86	n78	0.8

## 6.3 Output power dynamics

### 6.3.1 Minimum output power

**Editor's Note:**

- SA Generic procedures with condition NR in TS 38.508-1 [5] is FFS.

- SA message contents in TS 38.508-1 [5] subclause 4.6 is FFS

#### 6.3.1.1 Test purpose

To verify the UE's ability to transmit with a broadband output power below the value specified in the test requirement when the power is set to a minimum value.

#### 6.3.1.2 Test applicability

This test case applies to all types of NR UE release 15 and forward.

#### 6.3.1.3 Minimum conformance requirements

The minimum controlled output power of the UE is defined as the power in the channel bandwidth for all transmit bandwidth configurations (resource blocks), when the power is set to a minimum value.

The minimum output power is defined as the mean power in one sub-frame TBD ms. The minimum output power shall not exceed the values specified in Table 6.3.1.3-1.

**Table 6.3.1.3-1: Minimum output power**

Channel bandwidth (MHz)	Minimum output power (dBm)	Measurement bandwidth (MHz)
5	-40	4.515
10	-40	9.375
15	-40	14.235
20	-40	19.095
25	-39	23.955
30	-38.2	28.815
40	-37	38.895
50	-36	48.615
60	-35.2	58.35
80	-34	78.15
90	-33.5	88.23
100	-33	98.31

The normative reference for this requirement is TS 38.101-1 [2] clause 6.3.1.

#### 6.3.1.4 Test description

##### 6.3.1.4.1 Initial condition

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and sub-carrier spacing based on NR operating bands specified in table 5.3.5-1. All of these configurations shall be tested with applicable test parameters for each combination of test channel bandwidth and sub-carrier spacing, and are shown in table 6.3.1.4.1-1. The details of the uplink reference measurement channels (RMCs) are specified in Annexes A.2. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

**Table 6.3.1.4.1-1: Test Configuration Table**

Initial Conditions			
Test Environment as specified in TS 38.508-1 [5] subclause 4.1		Normal, TL/VL, TL/VH, TH/VL, TH/VH	
Test Frequencies as specified in TS 38.508-1 [5] subclause 4.3.1		Low range, Mid range, High range	
Test Channel Bandwidths as specified in TS 38.508-1 [5] subclause 4.3.1		Lowest, Mid, Highest	
Test SCS as specified in Table 5.3.5-1		Lowest, Highest	
Test Parameters for Channel Bandwidths			
Test ID	Downlink Configuration	Uplink Configuration	
	N/A for minimum output power test case	Modulation	RB allocation (NOTE 1)
1		CP-OFDM QPSK	Outer Full
NOTE 1: The specific configuration of each RB allocation is defined in Table 6.1-1.			

1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, Figure A.3.1.1.1 for TE diagram and section A.3.2.1 for UE diagram.
2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
3. Downlink signals are initially set up according to Annex C.0, C.1, C.2, and uplink signals according to Annex G.0, G.1, G.2, G.3.0.
4. The UL Reference Measurement Channel is set according to Table 6.3.1.4.1-1.
5. Propagation conditions are set according to Annex B.0.
6. Ensure the UE is in State RRC\_CONNECTED with generic procedure parameters Connectivity NR according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.3.1.4.3.

### 6.3.1.4.2 Test procedure

1. SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format 0\_1 for C\_RNTI to schedule the UL RMC according to Table 6.3.1.4.1-1. Since the UE has no payload and no loopback data to send the UE sends uplink MAC padding bits on the UL RMC.
2. Send continuously uplink power control "down" commands in every uplink scheduling information to the UE; allow at least 200ms starting from the first TPC command in this step to ensure that the UE transmits at its minimum output power.
3. Measure the mean power of the UE in the associated measurement channel bandwidth specified in Table 6.3.1.5-1 ~ 6.3.1.5-3 for the specific channel bandwidth under test. The period of measurement shall be at least the continuous duration of one active sub-frame (1ms) and in the uplink symbols. For TDD slots with transient periods are not under test.

### 6.3.1.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6 with following exception.

**Table 6.3.1.4.3-1: PUSCH-Config**

Derivation Path: TS 38.508-1 [5], Table 4.6.3-89 with condition CP-OFDM

### 6.3.1.5 Test requirement

The minimum output power, derived in step 3 shall not exceed the values specified in Table 6.3.1.5-1.

**Table 6.3.1.5-1: Minimum output power**

Channel bandwidth (MHz)	Minimum output power (dBm)	Measurement bandwidth (MHz)
5	-40+TT	4.515
10	-40+TT	9.375
15	-40+TT	14.235
20	-40+TT	19.095
25	-39+TT	23.955
30	-38.2+TT	28.815
40	-37+TT	38.895
50	-36+TT	48.615
60	-35.2+TT	58.35
80	-34+TT	78.15
90	-33.5+TT	88.23
100	-33+TT	98.31

NOTE 1: TT for each frequency and channel bandwidth is specified in Table 6.3.1.5-2

**Table 6.3.1.5-2: Test Tolerance (Minimum output power)**

	$f \leq 3.0\text{GHz}$	$3.0\text{GHz} < f \leq 4.2\text{GHz}$	$4.2\text{GHz} < f \leq 6.0\text{GHz}$
$BW \leq 40\text{MHz}$	1.0 dB	1.3 dB	1.3 dB
$40\text{MHz} < BW \leq 100\text{MHz}$	1.3 dB	1.3 dB	1.3 dB

## 6.3.2 Transmit OFF power

*Editor's note: This test case is not complete. Following aspects are either missing or not yet determined;*

*- Measurement bandwidth and the test tolerance is left FFS.*

### 6.3.2.1 Test purpose

To verify that the UE transmit OFF power is lower than the value specified in the test requirement.

### 6.3.2.2 Test applicability

The requirements of this test apply in test cases 6.3.3 Transmit ON/OFF time mask to all types of NR UE release 15 and forward.

### 6.3.2.3 Minimum conformance requirements

The transmit OFF power is defined as the mean power in a duration of at least one sub-frame (1ms) excluding any transient periods. The requirement for the transmit OFF power shall not exceed the values specified in Table 6.3.2.3-1.

**Table 6.3.2.3-1: Transmit OFF power**

Channel bandwidth (MHz)	Transmit OFF power (dBm)	Measurement bandwidth (TBD)
5	-50	
10	-50	
15	-50	
20	-50	
25	-50	
30	-50	
40	-50	
50	-50	
60	-50	
80	-50	
100	-50	

Transmit OFF power is defined as the mean power in the channel bandwidth when the transmitter is OFF. The transmitter is considered OFF when the UE is not allowed to transmit or during periods when the UE is not transmitting a sub-frame. During DTX and measurements gaps, the transmitter is not considered OFF.

The normative reference for this requirement is TS 38.101-1 [2] clause 6.3.2.

An excess transmit OFF power potentially increases the Rise Over Thermal (RoT) and therefore reduces the cell coverage area for other UEs.

### 6.3.2.4 Test description

This test is covered by clause 6.3.3 Transmit ON/OFF time mask.

### 6.3.2.5 Test requirement

The requirement for the transmit OFF power shall not exceed the values specified in Table 6.3.2.5-1.

**Table 6.3.2.5-1: Transmit OFF power**

Channel bandwidth (MHz)	Transmit OFF power (dBm)	Measurement bandwidth (TBD)
5	-50+TT	
10	-50+TT	
15	-50+TT	
20	-50+TT	
25	-50+TT	
30	-50+TT	
40	-50+TT	
50	-50+TT	
60	-50+TT	
80	-50+TT	
100	-50+TT	

NOTE 1: TT for each frequency and channel bandwidth is specified in Table 6.3.2.5-2

Table 6.3.2.5-2: Test Tolerance (Transmit OFF power)

	$f \leq 3.0\text{GHz}$	$3.0\text{GHz} < f \leq 4.2\text{GHz}$	$4.2\text{GHz} < f \leq 6.0\text{GHz}$
$\text{BW} \leq 40\text{MHz}$	1.5 dB	1.8 dB	1.8 dB
$40\text{MHz} < \text{BW} \leq 100\text{MHz}$	1.8 dB	1.8 dB	1.8 dB

### 6.3.3 Transmit ON/OFF time mask

#### 6.3.3.1 General

The transmit [power] time mask defines the transient period(s) allowed

- between transmit OFF power as defined in sub-clause 6.3.2 and transmit ON power symbols (transmit ON/OFF)
- between continuous ON-power transmissions [...].

Unless otherwise stated the minimum requirements in clause 6 apply also in transient periods.

#### 6.3.3.2 General ON/OFF time mask

**Editor's Note:**

- Test procedure is not complete.
- Test tolerance is not complete.
- Test requirement is not complete.
- Whether to exclude transient period at both ends of an OFF slot depends on the specific UL configuration and is FFS.
- SA Generic procedures with condition NR in TS 38.508-1 [2] is FFS.
- SA message contents in TS 38.508-1[5] subclause 4.6 is FFS

##### 6.3.3.2.1 Test purpose

To verify that the general ON/OFF time mask meets the requirements given in 6.3.3.2.5.

The transmit power time mask for transmit ON/OFF defines the transient period(s) allowed between transmit OFF power as defined in sub-clause 6.3.2 and transmit ON power symbols (transmit ON/OFF)

Transmission of the wrong power increases interference to other channels, or increases transmission errors in the uplink channel.

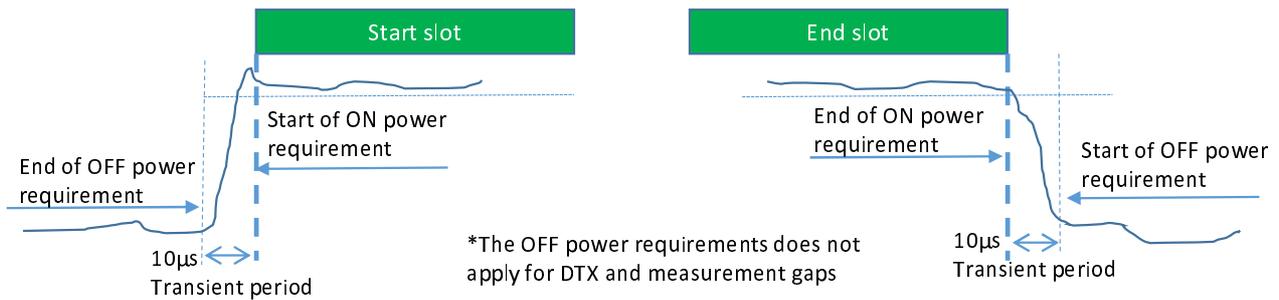
##### 6.3.3.2.2 Test applicability

This test case applies to all types of NR UE release 15 and forward.

##### 6.3.3.2.3 Minimum conformance requirements

The general ON/OFF time mask defines the observation period between transmit OFF and ON power and between transmit ON and OFF power for each SCS. ON/OFF scenarios include; the beginning or end of DTX, measurement gap, contiguous, and non contiguous transmission, etc

The OFF power measurement period is defined in a duration of at least one slot excluding any transient periods. The ON power is defined as the mean power over one slot excluding any transient period.



**Figure 6.3.3.2.3-1: General ON/OFF time mask for NR UL transmission in FR1**

The normative reference for this requirement is TS 38.101-1 [2] clause 6.3.3.2.

**6.3.3.2.4 Test description**

**6.3.3.2.4.1 Initial condition**

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and sub-carrier spacing based on NR operating bands specified in table 5.3.5-1. All of these configurations shall be tested with applicable test parameters for each combination of channel bandwidth and sub-carrier spacing, and are shown in table 6.3.3.2.4.1-1. The details of the uplink reference measurement channels (RMCs) are specified in Annex A.2. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

**Table 6.3.3.2.4.1-1: Test Configuration Table**

Initial Conditions			
Test Environment as specified in TS 38.508-1 [5] subclause 4.1		Normal, TL/VL, TL/VH, TH/VL, TH/VH	
Test Frequencies as specified in TS 38.508-1 [5] subclause 4.3.1		Low range, Mid range, High range	
Test Channel Bandwidths as specified in TS 38.508-1 [5] subclause 4.3.1		Lowest, Mid, Highest	
Test SCS as specified in Table 5.3.5-1		Lowest, Highest	
Test Parameters for Channel Bandwidths			
Test ID	Downlink Configuration	Uplink Configuration	
	N/A for minimum output power test case	Modulation	RB allocation (NOTE 1)
1		CP-OFDM QPSK	Outer Full
NOTE 1: The specific configuration of each RB allocation is defined in Table 6.1-1.			

1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, Figure A.3.1.1.1 for TE diagram and section A.3.2.1 for UE diagram.
2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
3. Downlink signals are initially set up according to Annex C.0, C.1, C.2, and uplink signals according to Annex G.0, G.1, G.2, G.3.0.
4. The UL Reference Measurement Channel is set according to Table 6.3.3.2.4.1-1.
5. Propagation conditions are set according to Annex B.0.
6. Ensure the UE is in State RRC\_CONNECTED with generic procedure parameters Connectivity NR according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.3.3.2.4.3.

## 6.3.3.2.4.2 Test procedure

1. SS sends uplink scheduling information via PDCCH DCI format 0\_1 with TPC command 0dB for C\_RNTI to schedule the UL RMC according to Table 6.3.3.2.4.1-1. Since the UE has no payload and no loopback data to send the UE sends uplink MAC padding bits on the UL RMC. The UL assignment is [FFS].
2. Measure the UE transmission OFF power during the slot prior to the PUSCH transmission, excluding a transient period of 10  $\mu$ s in the end of the slot.
3. Measure the output power of the UE PUSCH transmission during one slot.
4. Measure the UE transmission OFF power during the slot following the PUSCH transmission, excluding a transient period of 10  $\mu$ s at the beginning of the slot.

## 6.3.3.2.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6 with following exceptions.

**Table 6.3.3.2.4-1: PUSCH-ConfigCommon**

Derivation Path: TS 38.508-1[5], Table 4.6.3-90			
Information Element	Value/remark	Comment	Condition
PUSCH-ConfigCommon ::= SEQUENCE {			
p0-NominalWithGrant	-106		
}			

**Table 6.3.3.2.4-2: PUSCH-Config**

Derivation Path: TS 38.508-1 [5], Table 4.6.3-89 with condition CP-OFDM
---

## 6.3.3.2.5 Test requirement

The requirement for the power measured in steps 2, 3 and 4 of the test procedure shall not exceed the values specified in Table 6.3.3.2.5-1.

**Table 6.3.3.2.5-1: General ON/OFF time mask**

	SCS [kHz]	Channel bandwidth / minimum output power / measurement bandwidth											
		5 MHz	10 MHz	15 MHz	20 MHz	25 MHz	30 MHz	40 MHz	50 MHz	60 MHz	80 MHz	90 MHz	100 MHz
Transmit OFF power		For carrier frequency $f \leq 3.0\text{GHz}$ : $\leq -50+TT$ dBm For carrier frequency $3.0\text{GHz} < f \leq 4.2\text{GHz}$ : $\leq -50+TT$ dBm											
Transmission OFF Measurement bandwidth		4.515	9.375	14.235	19.095	23.955	28.815	38.895	48.615	58.35	78.15	88.23	98.31
Expected Transmission ON Measured power for CP-OFDM	15	-9.62±9.0 ±TT	-	-	-	-	-	-	0.71±9.0 ±TT	N/A	N/A	N/A	N/A
	30	-	-	-	-	-	-	-	0.65±9.0 ±TT	1.51±9.0 ±TT	2.77±9.0 ±TT	3.30±9.0 ±TT	3.77±9.0 ±TT
	60	N/A	-	-	-	-	-	-	0.55±9.0 ±TT	1.40±9.0 ±TT	2.71±9.0 ±TT	3.25±9.0 ±TT	3.72±9.0 ±TT
Expected Transmission ON Measured power for DFT-s-OFDM	15	-9.62±9.0 ±TT	-	-	-	-	-	-	0.71±9.0 ±TT	N/A	N/A	N/A	N/A
	30	-	-	-	-	-	-	-	0.48±9.0 ±TT	1.51±9.0 ±TT	2.75±9.0 ±TT	3.21±9.0 ±TT	3.72±9.0 ±TT
	60	N/A	-	-	-	-	-	-	0.48±9.0 ±TT	1.17±9.0 ±TT	2.42±9.0 ±TT	3.21±9.0 ±TT	3.72±9.0 ±TT

NOTE 1: TT for each frequency and channel bandwidth is specified in Table 6.3.3.2.5-2

**Table 6.3.3.2.5-2: Test Tolerance for OFF power**

	$f \leq 3.0\text{GHz}$	$3.0\text{GHz} < f \leq 4.2\text{GHz}$	$4.2\text{GHz} < f \leq 6.0\text{GHz}$
<b>BW <math>\leq 40\text{MHz}</math></b>	1.5 dB	1.8 dB	1.8 dB
<b>40MHz &lt; BW <math>\leq 100\text{MHz}</math></b>	1.8 dB	1.8 dB	1.8 dB

**Table 6.3.3.2.5-3: Test Tolerance for ON power**

	$f \leq 3.0\text{GHz}$	$3.0\text{GHz} < f \leq 4.2\text{GHz}$	$4.2\text{GHz} < f \leq 6.0\text{GHz}$
<b>BW <math>\leq 40\text{MHz}</math></b>	FFS	FFS	FFS
<b>40MHz &lt; BW <math>\leq 100\text{MHz}</math></b>	FFS	FFS	FFS

6.3.3.3 Transmit power time mask for slot and [mini-slot] boundaries

FFS

6.3.3.4 PRACH time mask

Editor’s Note:

- Minimum conformance requirements is not defined (missing in 38.101-1)
- Initial condition is not complete
- SA Message contents in TS 38.508-1 [5] subclause 4.6 is FFS.
- Measurement uncertainty and Test tolerance are not complete
- Test requirements are not complete
- PRACH configuration index is not complete
- Measurement periods of the slot need to be clarification in the test procedure

6.3.3.4.1 Test purpose

To verify that the PRACH time mask meets the requirements given in 6.3.3.4.5.

The time mask for PRACH time mask defines the ramping time allowed

between transmit OFF power as defined in sub-clause 6.3.2 and transmit ON power symbols (transmit ON/OFF) between continuous ON-power transmissions [...].

Transmission of the wrong power increases interference to other channels, or increases transmission errors in the uplink channel

6.3.3.4.2 Test applicability

This test case applies to all types of NR UE release 15 and forward.

6.3.3.4.3 Minimum conformance requirements

The PRACH ON power is specified as the mean power over the PRACH measurement period excluding any transient periods as shown in Figure 6.3.3.4.3-1. The measurement period for different PRACH preamble format is specified in Table 6.3.3.4.3-1.

Table 6.3.3.4.3-1: PRACH ON power measurement period

PRACH preamble format	Measurement period (ms)
TBD	TBD

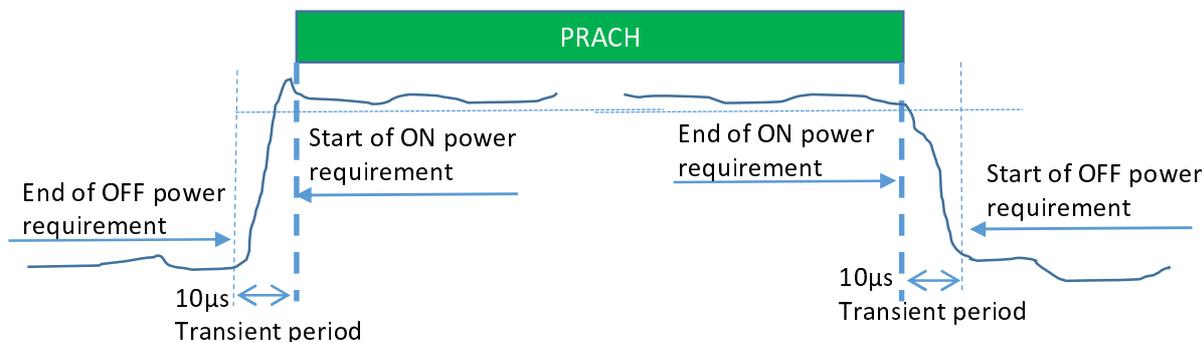


Figure 6.3.3.4.3-1: PRACH ON/OFF time mask

The normative reference for this requirement is TS 38.101-1 [2] clause 6.3.3.4.

#### 6.3.3.4.4 Test description

##### 6.3.3.4.4.1 Initial condition

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and sub-carrier spacing based on NR operating bands specified in table 5.2-1. All of these configurations shall be tested with applicable test parameters for each combination of test channel bandwidth and sub-carrier spacing, and are shown in table 6.3.3.4.4.1-1. The details of the uplink reference measurement channels (RMCs) are specified in Annexes [TBD]. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

**Table 6.3.3.4.4.1-1: Test Configuration Table**

Initial Conditions		
Test Environment as specified in TS 38.508-1 [5] subclause 4.1	Normal, TL/VL, TL/VH, TH/VL, TH/VH	
Test Frequencies as specified in TS 38.508-1 [5] subclause 4.3.1	Mid range	
Test Channel Bandwidths as specified in TS 38.508-1 [5] subclause 4.3.1	Lowest, Mid, Highest	
Test SCS as specified in Table 5.3.5-1	SCS defined in TS 38.211 [8] subclause 6.3.3.2	
PRACH preamble format		
	Paired Spectrum	Unpaired Spectrum
PRACH Configuration Index	[18, 161]	[0,71]

1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, Figure A.3.1.1.1 for TE diagram and section A.3.2.1 for UE diagram..
2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3 .
3. Downlink signals are initially set up according to Annex C.0, C.1, C.2, and uplink signals according to Annex G.0, G.1, G.2, G.3.0..
4. Propagation conditions are set according to Annex B.0.
5. Ensure the UE is in State RRC\_CONNECTED with generic procedure parameters Connectivity NR according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.3.3.4.4.3.

##### 6.3.3.4.4.2 Test procedure

1. The SS shall signal a Random Access Preamble ID via a PDCCH order to the UE and initiate a Non-contention based Random Access procedure.
2. The UE shall send the signalled preamble to the SS.
3. The SS measure the UE transmission OFF power during the slot preceding the PRACH preamble excluding a transient period of 10  $\mu$ s according to Figure 6.3.3.4.3-1.
4. Measure the output power of the transmitted PRACH preamble according to Figure 6.3.3.4.3-1.
5. Measure the UE transmission OFF power, starting 10  $\mu$ s after the PRACH preamble ends for a measurement period.

##### 6.3.3.4.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6.3 with the following exceptions:

[TBD]

### 6.3.3.4.5 Test requirement

The requirement for the power measured in steps (3), (4) and (5) of the test procedure shall not exceed the values specified in Table 6.3.3.4.5-1.

**Table 6.3.3.4.5-1: PRACH time mask**

	Channel bandwidth / Output Power [dBm] / measurement bandwidth										
	5 MHz	10 MHz	15 MHz	20 MHz	25 MHz	30 MHz	40 MHz	50 MHz	60 MHz	80 MHz	100 MHz
Transmit OFF power	[For carrier frequency $f \leq 3.0\text{GHz}$ : $\leq -50+TT$ dBm For carrier frequency $3.0\text{GHz} < f \leq 4.2\text{GHz}$ : $\leq -50+TT$ dBm For carrier frequency $4.2\text{GHz} < f \leq 6\text{GHz}$ : $\leq -50+TT$ dBm]										
Transmission OFF Measurement bandwidth	4.5	9.36	14.22	19.08	23.94	[28.8]	38.88	48.6	N/A	N/A	N/A
Expected PRACH Transmission ON Measured power	FFS	FFS	FFS	FFS	FFS	FFS	FFS	FFS	FFS	FFS	FFS
ON power tolerance [ $f \leq 3.0\text{GHz}$ $3.0\text{GHz} < f \leq 4.2\text{GHz}$ $4.2\text{GHz} < f \leq 6\text{GHz}$ ]	FFS	FFS	FFS	FFS	FFS	FFS	FFS	FFS	FFS	FFS	FFS

### 6.3.3.5 PUCCH time mask

#### 6.3.3.5.1 Long PUCCH time mask

FFS

#### 6.3.3.5.2 Short PUCCH time mask

FFS

### 6.3.3.6 SRS time mask

FFS

### 6.3.3.7 PUSCH-PUCCH and PUSCH-SRS time masks

FFS

## 6.3.4 Power control

### 6.3.4.1 General

The requirements on power control accuracy apply under normal conditions.

### 6.3.4.2 Absolute power tolerance

Editor's Note:

- Test purpose is not complete
- Minimum requirements is not defined (missing in 38.101-1)
- Test description is not complete, many TBD,
- Message Contents are TBD
- Test requirement is TBD
- Test Tolerance is TBD

#### 6.3.4.2.1 Test purpose

To verify the ability of the UE transmitter to set its initial output power to a specific value at the start of a contiguous transmission or non-contiguous transmission with a long transmission gap, i.e. transmission gap is larger than [TBD].

#### 6.3.4.2.2 Test applicability

This test case applies to all types of NR UE release 15 and forward.

#### 6.3.4.2.3 Minimum conformance requirements

The absolute power tolerance is the ability of the UE transmitter to set its initial output power to a specific value for the first [sub-frame] at the start of a contiguous transmission or non-contiguous transmission with a transmission gap larger than TBD. The tolerance includes the channel estimation error [RSRP estimate].

The minimum requirement specified in Table 6.3.4.2.3-1 apply in the power range bounded by the minimum output power as specified in sub-clause 6.3.1 and the maximum output power as specified in sub-clause 6.2.1.

**Table 6.3.4.2.3-1: Absolute power tolerance**

FFS	FFS

The normative reference for this requirement is TS 38.101-1 [2] clause 6.3.4.2

#### 6.3.4.2.4 Test description

##### 6.3.4.2.4.1 Initial conditions

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and sub-carrier spacing based on NR operating bands specified in table 5.3.5-1. All of these configurations shall be tested with applicable test parameters for each combination of test channel bandwidth and sub-carrier spacing, and are shown in table 6.3.4.2.4.1-1. The details of the uplink reference measurement channels (RMCs) are specified in Annexes [TBD]. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

**Table 6.3.4.2.4.1-1: Test Configuration Table**

Initial Conditions				
Test Environment as specified in TS 38.508-1 [5] subclause 4.1		Normal		
Test Frequencies as specified in TS 38.508-1 [5] subclause 4.3.1		Mid Range		
Test Channel Bandwidths as specified in TS 38.508-1 [5] subclause 4.3.1		Lowest, Mid and Highest		
Test SCS as specified in Table 5.3.5-1		Lowest and Highest		
Test Parameters				
Test ID	Downlink Configuration		Uplink Configuration	
	Modulation	RB Allocation	Modulation	RB allocation (NOTE 1)
1	N/A for Absolute power tolerance test case		CP-OFDM QPSK	Outer_Full
NOTE 1: The specific configuration of each RF allocation is defined in Table 6.1-1.				

1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, Figure A.3.1.1.1 for TE diagram and section A.3.2.1 for UE diagram.
2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
3. Downlink signals are initially set up according to Annex C.0, C.1, C.2, and uplink signals according to Annex G.0, G.1, G.2, G.3.0.
4. The UL Reference Measurement Channel is set according to Table 6.3.4.2.4.1-1 and Table 6.3.4.2.4.1-2

5. Propagation conditions are set according to Annex B.0.
6. Ensure the UE is in state RRC\_CONNECTED with generic procedure parameters Connectivity NR according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.3.4.2.4.3. Note that PDCCH DCI format [0\_1] sent after resetting uplink power with RRC Connection Reconfiguration, should have TPC command 0dB.

6.3.4.2.4.2 Test procedure

1. SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format [0\_1] for C\_RNTI to schedule the UL RMC according to Table 6.3.4.2.4.1-1 and Table 6.3.4.2.4.1-2. Since the UE has no payload and no loopback data to send the UE sends uplink MAC padding bits on the UL RMC.
2. Measure the initial output power of the first [sub-frame] of UE PUSCH first transmission. [The transient periods of 10us are excluded.]
3. Repeat for the two test points as indicated in section 6.3.4.2.4.3. The timing of the execution between the two test points shall be larger than 20ms.

6.3.4.2.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6 with the following exceptions:

**Table 6.3.4.2.4.3-1: UplinkPowerControlCommon: Test point 1**

Derivation Path: TS 38.508-1 [5] subclause [TBD]			
Information Element	Value/remark	Comment	Condition
[TBD]	[TBD]	Test point 1 to verify a UE relative low initial power transmission	

**Table 6.3.4.2.4.3-2: UplinkPowerControlCommon: Test point 2**

Derivation Path: TS 38.508-1 [5] subclause [TBD]			
Information Element	Value/remark	Comment	Condition
[TBD]	[TBD]	Test point 2 to verify a UE relative high initial power transmission	

6.3.4.2.5 Test requirement

The requirement for the power measured in step (2) of the test procedure is not to exceed the values specified in Table [TBD] and [TBD].

6.3.4.3 Power Control Relative power tolerance

Editor’s note: The following items are missing or incomplete

- Test purpose is not complete
- Minimum requirements is not defined (missing in 38.101-1)
- Test description is not complete, many TBD, power pattern missing etc.
- Test requirement is FFS

6.3.4.3.1 Test purpose

To verify the ability of the UE transmitter to set its output power in a target [sub-frame] relatively to the power of the most recently transmitted reference [sub-frame] if the transmission gap between these sub-frames is TBD.

6.3.4.3.2 Test applicability

This test applies to all types of NR UE release 15 and forward.

6.3.4.3.3 Minimum conformance requirement

The UE shall meet the requirements specified in Table 6.3.4.3.3-1.

The minimum requirements specified in Table 6.3.4.3.3-1 apply when the power of the target and reference sub-frames are within the power range bounded by the minimum output power as defined in sub-clause 6.3.1 and the measured [PUMAX] as defined in sub-clause [configured output power].

**Table 6.3.4.3.3-1: Relative Power Tolerance**

FFS

The normative reference for this requirement is TS 38.101-1 [2] clause 6.3.4.3.

6.3.4.3.4 Test description

6.3.4.3.4.1 Initial conditions

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and sub-carrier spacing based on NR operating bands specified in table 5.3.5-1. All of these configurations shall be tested with applicable test parameters for each combination of test channel bandwidth and sub-carrier spacing, and are shown in table 6.3.4.3.4.1-1 and table 6.3.4.3.4.1-2. The details of the uplink reference measurement channels (RMCs) are specified in Annexes A.2. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

**Table 6.3.4.3.4.1-1: Test Configuration Table**

Initial Conditions				
Test Environment as specified in TS 38.508-1 [5] subclause 4.1	Normal, TL/VL, TL/VH, TH/VL, TH/VH			
Test Frequencies as specified in TS 38.508-1 [5] subclause 4.3.1	FFS			
Test Channel Bandwidths as specified in TS 38.508-1 [5] subclause 4.3.1	FFS			
Test SCS as specified in Table 5.3.5-1	FFS			
Test Parameters for Channel Bandwidths				
	Downlink Configuration	Uplink Configuration		
Ch BW	N/A for Power Control Relative power tolerance test case	Mod'n	RB allocation (NOTE 1)	
			FDD	TDD
5MHz		TBD	TBD	TBD
10MHz		TBD	TBD	TBD
15MHz		TBD	TBD	TBD
20MHz		TBD	TBD	TBD
25MHz		TBD	TBD	TBD
40MHz		TBD	TBD	TBD
50MHz		TBD	TBD	TBD
60MHz		TBD	TBD	TBD
80MHz		TBD	TBD	TBD
100MHz		TBD	TBD	TBD
NOTE 1: The specific configuration of each RB allocation is defined in Table 6.3.4.3.4.1-2.				
NOTE 2: Test Channel Bandwidths are checked separately for each NR band, the applicable channel bandwidths are specified in Table TBD				
NOTE 3: [The starting resource block shall be RB# 0.]				

**Table 6.3.4.3.4.1-2: Uplink Configuration of each RB allocation**

FFS

1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, Figure A.3.1.1.1 for TE diagram and section A.3.2.1 for UE diagram..
2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
3. Downlink signals are initially set up according to Annex C.0, C.1, C.2, and uplink signals according to Annex G.0, G.1, G.2, G.3.0.
4. The UL Reference Measurement Channel is set according to Table 6.3.4.3.4.1-1 and Table 6.3.4.3.4.1-2.
5. Propagation conditions are set according to Annex B.0.
6. Ensure the UE is in state RRC\_CONNECTED with generic procedure parameters Connectivity (FFS), DC bearer (FFS) according to TS 38.508-1 [5] clause [TBD]. Message contents are defined in clause 6.3.4.3.4.3.

#### 6.3.4.3.4.2 Test procedure

The procedure is separated in various subtests to verify different aspects of relative power control. The power patterns of the subtests are described in figure TBD.

1. Sub test: [ramping up pattern]

FFS

2. Sub test: [ramping down pattern]

FFS

3. Sub test: [alternating pattern]

FFS

#### 6.3.4.3.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6.

#### 6.3.4.3.5 Test requirement

FFS

### 6.3.4.4 Aggregate power tolerance

**Editor's Note:**

- Test purpose is not complete
- Minimum requirements is not defined (missing in 38.101-1) – missing measurement length and requirement
- Test description is not complete, many TBD
- Test requirement is TBD
- Test Tolerance is TBD
- Definition of Outer\_full DL Allocation table is TBD

#### 6.3.4.4.1 Test purpose

To verify the ability of the UE transmitter to maintain its power during non-contiguous transmissions within TBD in response to [0 dB] commands with respect to the first UE transmission and all other power control parameters [as specified in 38.213] kept constant.

#### 6.3.4.4.2 Test applicability

This test case applies to all types of NR UE release 15 and forward.

6.3.4.4.3 Minimum conformance requirements

The aggregate power control tolerance is the ability of the UE transmitter to maintain its power during non-contiguous transmissions within TBD in response to [0 dB] commands with respect to the first UE transmission and all other power control parameters [as specified in 38.213] kept constant.

The minimum requirement specified in Table 6.3.4.4.3-1 apply in the power range bounded by the minimum output power as specified in sub-clause 6.3.1 and the maximum output power as specified in sub-clause 6.2.1.

**Table 6.3.4.4.3-1: Aggregate power tolerance**

<b>FFS</b>	

The normative reference for this requirement is TS 38.101-1 [2] clause 6.3.4.4

6.3.4.4.4 Test description

6.3.4.4.4.1 Initial conditions

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and sub-carrier spacing based on NR operating bands specified in table 5.3.5-1. All of these configurations shall be tested with applicable test parameters for each combination of test channel bandwidth and sub-carrier spacing, and are shown in table 6.3.4.4.4.1-1 and table 6.3.4.4.4.1-2. The details of the uplink reference measurement channels (RMCs) are specified in Annexes A.2. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

**Table 6.3.4.4.4.1-1: Test Configuration Table: PUCCH sub-test**

<b>Initial Conditions</b>			
Test Environment as specified in TS 38.508-1 [5] subclause 4.1		Normal	
Test Frequencies as specified in TS 38.508-1 [5] subclause 4.3.1		Mid range	
Test Channel Bandwidths as specified in TS 38.508-1 [5] subclause 4.3.1		Lowest, Mid and Highest	
Test SCS as specified in Table 5.3.5-1		Lowest and Highest	
<b>Test Parameters for Channel Bandwidths</b>			
Test ID	Downlink Configuration		Uplink Configuration
	Modulation	RB allocation	PUCCH format = Format 1 Length in OFDM symbols = 14
1	CP-OFDM QPSK	Outer_Full	
NOTE 1: The specific configuration of each RB allocation is defined in Table [TBD].			

**Table 6.3.4.4.4.1-2: Test Configuration Table: PUSCH sub-test**

<b>Initial Conditions</b>				
Test Environment as specified in TS 38.508-1 [5] subclause 4.1		Normal		
Test Frequencies as specified in TS 38.508-1 [5] subclause 4.3.1		Mid range		
Test Channel Bandwidths as specified in TS 38.508-1 [5] subclause 4.3.1		Lowest, Mid and Highest		
Test SCS as specified in Table 5.3.5-1		Lowest and Highest		
<b>Test Parameters for Channel Bandwidths</b>				
Test ID	Downlink Configuration		Uplink Configuration	
	Modulation	RB allocation	Modulation	RB allocation (NOTE 1)
1	CP-OFDM QPSK	Outer_Full	CP-OFDM QPSK	Outer_Full
NOTE 1: The specific configuration of each RF allocation is defined in Table 6.1-1.				

1. Connect the SS to the UE antenna connectors as shown in [TBD].
2. The parameter settings for the cell are set up according to [TBD].
3. Downlink signals are initially set up according to [TBD], and uplink signals according to [TBD].
4. The UL and DL Reference Measurement channels are set according to Table 6.3.4.4.4.1-1 (PUCCH sub-test) and Table 6.3.4.4.4.1-2 (PUSCH sub-test)
5. Propagation conditions are set according to [TBD].
6. Ensure the UE is in state RRC\_CONNECTED with generic procedure parameters Connectivity NR according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.3.4.4.4.3.

#### 6.3.4.4.4.2 Test procedure

The procedure is separated in two subtests to verify PUCCH and PUSCH aggregate power control tolerance respectively. The uplink transmission patterns are described in figure 6.3.4.4.4.2-1.

#### Figure 6.3.4.4.4.2-1 Test uplink transmission

[TBD]

##### 1. PUCCH sub test:

- 1.1 The SS transmits PDSCH via PDCCH DCI format [0\_1] for C\_RNTI to transmit the DL RMC according to Table 6.3.4.4.4.1-1. The SS sends downlink MAC padding bits on the DL RMC. The transmission of PDSCH will make the UE send uplink ACK/NACK using PUCCH. Send the appropriate TPC commands for PUCCH to the UE to ensure that the UE transmits PUCCH at 0dBm [+/- TBD] dB for carrier frequency  $f \leq 3.0\text{GHz}$  or at 0dBm [+/- TBD] dB for carrier frequency  $3.0\text{GHz} < f$ .
- 1.2. Every [TBD] slots transmit to the UE downlink PDSCH MAC padding bits as well as 0 dB TPC command for PUCCH via the PDCCH to make the UE transmit ACK/NACK on the PUCCH for [TBD] slots. The downlink transmission is scheduled in the appropriate slots to make the UE transmit PUCCH as described in figure 6.3.4.4.4.2-1
- 1.3. Measure the power of TBD consecutive PUCCH transmissions to verify the UE transmitted PUCCH power is maintained within TBD transmissions. [The transient periods of 10us are excluded from the power measurement.]

##### 2. PUSCH sub test:

- 2.1. The SS sends uplink scheduling information via PDCCH DCI format [0\_1] for C\_RNTI to schedule the PUSCH. Since the UE has no payload and no loopback data to send the UE sends uplink MAC padding bits on the UL RMC. Send the appropriate TPC commands for PUSCH to the UE to ensure that the UE transmits PUSCH at 0dBm [+/- TBD] dB for carrier frequency  $f \leq 3.0\text{GHz}$  or at 0dBm [+/- TBD] dB for carrier frequency  $3.0\text{GHz} < f$ .
- 2.2. Every [TBD] slots schedule the UE's PUSCH data transmission for [TBD] slots and transmit 0 dB TPC command for PUSCH via the PDCCH to make the UE transmit PUSCH. The uplink transmission patterns are described in figure 6.3.4.4.4.2-1,
- 2.3. Measure the power of TBD consecutive PUSCH transmissions to verify the UE transmitted PUSCH power is maintained within TBD transmissions. [The transient periods of 10us are excluded from the power measurement.]

#### 6.3.4.4.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause [TBD].

#### 6.3.4.4.5 Test requirement

The requirement for the power measurements made in step (1.3) and (2.3) of the test procedure shall not exceed the values specified in Table 6.3.4.4.5-1. The power measurement period shall be [TBD] slots [excluding transient periods].

Table 6.3.4.4.5-1: Power control tolerance

TPC command	UL channel	Test requirement measured power
0 dB	PUCCH	Given TBD power measurements in the pattern, the 2 <sup>nd</sup> , and later measurements shall be within [ $\pm$ TBD dB] of the 1 <sup>st</sup> measurement.
0 dB	PUSCH	Given TBD power measurements in the pattern, the 2 <sup>nd</sup> , and later measurements shall be within [ $\pm$ TBD dB] of the 1 <sup>st</sup> measurement.
Note 1: [TBD]		

## 6.4 Transmit signal quality

In this clause a multitude of results are derived, all using one common algorithm returning these results: Global In-Channels TX-Test Annex E. Each sub clause of this clause contains a procedure and test requirements described for a specific measurement. If all relevant test parameters in different sub clauses are the same, then the results, returned by the Global In-Channel TX-Test, may be used across the applicable sub clauses.

### 6.4.1 Frequency error

**Editor's note: This clause is incomplete. The following aspects are either missing or not yet determined:**

- SA message contents in TS 38.508-1[5] subclause 4.6 is FFS.
- SA generic procedures with condition NR in TS 38.508-1 is FFS.
- Window length in TS 38.101-1 Annex on Transmit modulation is TBD.
- Annex on Global In-Channel TX-Test contains TBDs.
- Whether for TDD slots with transient periods are tested is FFS.

#### 6.4.1.1 Test purpose

This test verifies the ability of both, the receiver and the transmitter, to process frequency correctly.

Receiver: to extract the correct frequency from the stimulus signal, offered by the System simulator, under ideal propagation conditions and low level.

Transmitter: to derive the correct modulated carrier frequency from the results, gained by the receiver.

#### 6.4.1.2 Test applicability

This test case applies to all types of NR UE release 15 and forward.

#### 6.4.1.3 Minimum conformance requirements

The UE modulated carrier frequency shall be accurate to within  $\pm 0.1$  PPM observed over a period of 1 ms compared to the carrier frequency received from the NR Node B.

The normative reference for this requirement is TS 38.101-1 [2] clause 6.4.1

#### 6.4.1.4 Test description

##### 6.4.1.4.1 Initial condition

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and sub-carrier spacing based on NR operating bands specified in Table 5.3.5-1. All of these configurations shall be tested with applicable test parameters for each combination of channel bandwidth and sub-carrier spacing, are shown in table 6.4.1.4.1-1. The details of the uplink and downlink reference measurement channels (RMCs) are specified in Annexes A.2 and A.3. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

**Table 6.4.1.4.1-1: Test Configuration Table**

Initial Conditions				
Test Environment as specified in TS 38.508-1 [5] subclause 4.1		Normal, TL/VL, TL/VH, TH/VL, TH/VH		
Test Frequencies as specified in TS 38.508-1 [5] subclause 4.3.1		Mid range		
Test Channel Bandwidths as specified in TS 38.508-1 [5] subclause 4.3.1		Highest		
Test SCS as specified in Table 5.3.5-1		Smallest supported SCS per Channel Bandwidth		
Test Parameters				
Test ID	Downlink Configuration		Uplink Configuration	
	Modulation	RB allocation	Modulation	RB allocation
1	CP-OFDM QPSK	Full RB (NOTE 1)	DFT-s-OFDM QPSK	REFSENS (NOTE 2)
NOTE 1: Full RB allocation shall be used per each SCS and channel BW as specified in Table 7.3.2.4.1-2				
NOTE 2: REFSENS refers to Table 7.3.2.4.1-3 which defines uplink RB configuration and start RB location for each SCS, channel BW and NR band.				

1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, in Figure A.3.1.1.1 for TE diagram and section A.3.2.1 for UE diagram.
2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
3. Downlink signals are initially set up according to Annex C.0, C.1, C.2, and uplink signals according to Annex G.0, G.1, G.2, G.3.0.
4. The DL and UL Reference Measurement channels are set according to Table 6.4.1.4.1-1.
5. Propagation conditions are set according to Annex B.0.
6. Ensure the UE is in state RRC\_CONNECTED with generic procedure parameters Connectivity NR according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.4.1.4.3

#### 6.4.1.4.2 Test procedure

1. SS transmits PDSCH via PDCCH DCI format [1\_0] for C\_RNTI to transmit the DL RMC according to Table 6.4.1.4.1-1. The SS sends downlink MAC padding bits on the DL RMC.
2. SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format [0\_1] for C\_RNTI to schedule the UL RMC according to Table 6.4.1.4.1-1. Since the UL has no payload and no loopback data to send the UE sends uplink MAC padding bits on the UL RMC.
3. Set the Downlink signal level to the appropriate REFSENS value defined in Table 7.3.2.5-1. Send continuously uplink power control "up" commands to the UE in every uplink scheduling information to the UE so that the UE transmits at P<sub>UMAX</sub> level for the duration of the test. Allow at least 200ms starting from the first TPC command in this step for the UE to reach P<sub>UMAX</sub> level.
4. Measure the Frequency Error using Global In-Channel Tx-Test (Annex E). [For TDD slots with transient periods are not under test.]

#### 6.4.1.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6.

#### 6.4.1.5 Test requirement

The 20 frequency error  $\Delta f$  results must fulfil the test requirement:

$$|\Delta f| \leq (0.1 \text{ PPM} + 15 \text{ Hz})$$

### 6.4.2 Transmit modulation quality

Transmit modulation defines the modulation quality for expected in-channel RF transmissions from the UE. This transmit modulation limit is specified in terms of:

- Error Vector Magnitude (EVM) for the allocated resources blocks (RB),
- EVM equalizer spectrum flatness derived from the equalizer coefficients generated by the EVM measurement process
- Carrier leakage
- In-band emissions for the non-allocated RB

All the parameters defined in subclause 6.4.2 are defined using the measurement methodology specified in Annex E.

### 6.4.2.1 Error Vector Magnitude

**Editor's note: This clause is incomplete. The following aspects are either missing or not yet determined:**

- SA message contents in TS 38.508-1[5] subclause 4.6 is FFS.
- SA generic procedures with condition NR in TS 38.508-1 [5] is FFS.
- 38.101-1 [2] Clause 6.4.2.1: UE Output Power for 256 QAM is TBD.
- 38.101-1 Clause 6.3.4.3: Relative power tolerances are in square brackets.
- Window length in TS 38.101-1 [2] Annex on Transmit modulation is TBD.
- Annex on Global In-Channel TX-Test contains TBDs.

#### 6.4.2.1.1 Test Purpose

The Error Vector Magnitude is a measure of the difference between the reference waveform and the measured waveform. This difference is called the error vector. Before calculating the EVM the measured waveform is corrected by the sample timing offset and RF frequency offset. Then the carrier leakage shall be removed from the measured waveform before calculating the EVM.

The measured waveform is further equalised using the channel estimates subjected to the EVM equaliser spectrum flatness requirement specified in sub-clause 6.4.2.4.3. For DFT-s-OFDM waveforms, the EVM result is defined after the front-end FFT and IDFT as the square root of the ratio of the mean error vector power to the mean reference power expressed as a %. For CP-OFDM waveforms, the EVM result is defined after the front-end FFT as the square root of the ratio of the mean error vector power to the mean reference power expressed as a %.

The basic EVM measurement interval in the time domain is one preamble sequence for the PRACH and the duration of PUCCH/PUSCH channel, or one hop, if frequency hopping is enabled for PUCCH and PUSCH in the time domain. The EVM measurement interval is reduced by any symbols that contains an allowable power transient as defined in subclause 6.3.3.3.

#### 6.4.2.1.2 Test applicability

This test case applies to all types of NR UE release 15 and forward.

#### 6.4.2.1.3 Minimum conformance requirements

The RMS average of the basic EVM measurements for 10 sub-frames excluding any transient period for the average EVM case, and 60 sub-frames excluding any transient period for the reference signal EVM case, for the different modulations schemes shall not exceed the values specified in Table 6.4.2.1.3-1 for the parameters defined in Table 6.4.2.1.3-2. For EVM evaluation purposes, all PRACH preamble formats 0-4 and all PUCCH formats 1, 1a, 1b, 2, 2a and 2b are considered to have the same EVM requirement as QPSK modulated.

**Table 6.4.2.1.3-1: Requirements for Error Vector Magnitude**

Parameter	Unit	Average EVM Level
Pi/2-BPSK	%	30
QPSK	%	17.5
16 QAM	%	12.5
64 QAM	%	8
256 QAM	%	3.5

**Table 6.4.2.1.3-2: Parameters for Error Vector Magnitude**

Parameter	Unit	Level
UE Output Power	dBm	≥Table 6.3.1.3-1
UE Output Power for 256 QAM	dBm	≥ TBD
Operating conditions		Normal conditions

The normative reference for this requirement is TS 38.101 [2] clause 6.4.2.1.

#### 6.4.2.1.4 Test description

##### 6.4.2.1.4.1 Initial conditions

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and sub-carrier spacing based on NR operating bands specified in Table 5.3.5-1. All of these configurations shall be tested with applicable test parameters for each combination of channel bandwidth and sub-carrier spacing, are shown in table 6.4.2.1.4.1-1. The details of the uplink and downlink reference measurement channels (RMCs) are specified in Annexes A.2 and A.3. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

**Table 6.4.2.1.4.1-1: Test Configuration Table for PUSCH**

Initial Conditions			
Test Environment as specified in TS 38.508-1 [5] subclause 4.1		Normal	
Test Frequencies as specified in TS 38.508-1 [5] subclause 4.3.1		Low range, Mid range, High range	
Test Channel Bandwidths as specified in TS 38.508-1 [5] subclause 4.3.1		Lowest, Highest	
Test SCS as specified in Table 5.3.5-1		Lowest, mid and highest SCS per Channel Bandwidth	
Test Parameters			
Test ID	Downlink Configuration	Uplink Configuration	
	N/A	Modulation (NOTE 3)	RB allocation (NOTE 1)
1 <sup>3</sup>		DFT-s-OFDM PI/2 BPSK	Inner Full
2 <sup>3</sup>		DFT-s-OFDM PI/2 BPSK	Outer Full
3		DFT-s-OFDM QPSK	Inner Full
4		DFT-s-OFDM QPSK	Outer Full
5		DFT-s-OFDM 16 QAM	Inner Full
6		DFT-s-OFDM 16 QAM	Outer Full
7		DFT-s-OFDM 64 QAM	Outer Full
8		DFT-s-OFDM 256 QAM	Outer Full
9		CP-OFDM QPSK	Inner Full
10		CP-OFDM QPSK	Outer Full
11		CP-OFDM 16 QAM	Inner Full
12		CP-OFDM 16 QAM	Outer Full
13		CP-OFDM 64 QAM	Outer Full
14		CP-OFDM 256 QAM	Outer Full
NOTE 1: The specific configuration of each RB allocation is defined in Table 6.1-1.			
NOTE 2: Test Channel Bandwidths are checked separately for each NR band, which applicable channel bandwidths are specified in Table 5.3.5-1.			
NOTE 3: DFT-s-OFDM PI/2 BPSK test applies only for UEs which supports half Pi BPSK in FR1.			

**Table 6.4.2.1.4.1-2: Test Configuration Table for PUCCH**

Initial Conditions			
Test Environment as specified in TS 38.508-1 [5] subclause 4.1		Normal	
Test Frequencies as specified in TS 38.508-1 [5] subclause 4.3.1		See Table 6.4.2.1.4.1-1	
Test Channel Bandwidths as specified in TS 38.508-1 [5] subclause 4.3.1		See Table 6.4.2.1.4.1-1	
Test SCS as specified in Table 5.3.5-1		See Table 6.4.2.1.4.1-1	
Test Parameters			
ID	Downlink Configuration	Uplink Configuration	
		Waveform	PUCCH format
	N/A		
1		CP-OFDM	FDD: PUCCH format = Format 1a TDD: PUCCH format = Format 1a / 1b
2		DFT-s-OFDM	FDD: PUCCH format = Format 1a TDD: PUCCH format = Format 1a / 1b
NOTE 1: Test Channel Bandwidths are checked separately for each NR band, which applicable channel bandwidths are specified in Table 5.3.5-1.			
NOTE 2: DFT-s-OFDM PI/2 BPSK test applies only for UEs which supports half Pi BPSK in FR1.			

**Table 6.4.2.1.4.1-3: Test Configuration for PRACH**

Initial Conditions			
Test Environment as specified in TS 38.508-1 [5] subclause 4.1		Normal	
Test Frequencies as specified in TS 38.508-1 [5] subclause 4.3.1		See Table 6.4.2.1.4.1-1	
Test Channel Bandwidths as specified in TS 38.508-1 [5] subclause 4.3.1		See Table 6.4.2.1.4.1-1	
Test SCS as specified in Table 5.3.5-1		See Table 6.4.2.1.4.1-1	
PRACH preamble format			
		FDD	TDD
PRACH Configuration Index		17	52
RS EPRE setting for test point 1 (dBm/15kHz)		-71	-65
RS EPRE setting for test point 2 (dBm/15kHz)		-86	-80

1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, in Figure A.3.1.1.1 for TE diagram and section A.3.2.1 for UE diagram.
2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
3. Downlink signals are initially set up according to Annex C.0, C.1, C.2, and uplink signals according to Annex G.0, G.1, G.2, G.3.0.
4. The UL Reference Measurement channels are set according to Table 6.4.2.1.4.1-1.
5. Propagation conditions are set according to Annex B.0.
6. Ensure the UE is in state RRC\_CONNECTED with generic procedure parameters Connectivity NR according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.4.2.1.4.3

#### 6.4.2.1.4.2 Test procedure

Test procedure for PUSCH:

- 1.1 SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format [0\_1] for C\_RNTI to schedule the UL RMC according to Table 6.4.2.1.4.1-1. Since the UE has no payload data to send, the UE transmits uplink MAC padding bits on the UL RMC.
- 1.2 Send continuously uplink power control "up" commands in the uplink scheduling information to the UE until the UE transmits at  $P_{UMAX}$  level, allow at least 200ms starting from the first TPC command in this step for the UE to reach  $P_{UMAX}$  level.

- 1.3 Measure the EVM and  $\overline{EVM}_{DMRS}$  using Global In-Channel Tx-Test (Annex E).
- 1.4 For modulations except 256QAM, send the appropriate TPC commands in the uplink scheduling information to the UE until UE output power is in the range  $P_{\min} + P_W \pm P_W$ , where  $P_{\min}$  is the minimum output power according to Table 6.3.1.3-1 and  $P_W$  is the power window according to Table 6.4.2.1.4.2-1 for the carrier frequency  $f$  and the channel bandwidth BW.  
For 256 QAM, send the appropriate TPC commands in the uplink scheduling information to the UE until UE output power is in the range  $TBD \pm TBD$ .
- 1.5 Measure the EVM and  $\overline{EVM}_{DMRS}$  using Global In-Channel Tx-Test (Annex E).

NOTE1: When switching to DFT-s-OFDM waveform, as specified in the test configuration table 6.4.2.1.4.1-1, send an NR RRCReconfiguration message according to TS 38.508-1 [5] clause 4.6.3 Table 4.6.3-89 PUSCH-Config without CP-OFDM condition. When switching to CP-OFDM waveform, send an NR RRCReconfiguration message with CP-OFDM condition..

**Table 6.4.2.1.4.2-1: Power Window (dB) for EVM PUSCH and PUCCH except 256QAM**

	$f \leq 3\text{GHz}$	$3\text{GHz} < f \leq 4.2\text{GHz}$	$4.2\text{GHz} < f \leq 6\text{GHz}$
$BW \leq 40\text{MHz}$	[1.7]	[2.0]	[2.2]
$40\text{MHz} < BW \leq 100\text{MHz}$	[2.1]	[2.3]	[2.5]

Test procedure for PUCCH:

- 2.1 PUCCH is set according to Table 6.4.2.1.4.1-2.
- 2.2 SS transmits PDSCH via PDCCH DCI format [0\_1] for C\_RNTI to transmit the DL RMC according to Table 6.4.2.1.4.1-2. The SS sends downlink MAC padding bits on the DL RMC. The transmission of PDSCH will make the UE send uplink ACK/NACK using PUCCH. There is no PUSCH transmission.
- 2.3 SS send appropriate TPC commands for PUCCH to the UE until the UE transmit PUCCH at  $P_{UMAX}$  level. Allow at least 200ms starting from the first TPC command in this step for the UE to reach  $P_{UMAX}$  level.
- 2.4 Measure PUCCH EVM using Global In-Channel Tx-Test (Annex E).
- 2.5 Send the appropriate TPC commands for PUCCH to the UE until the UE transmits PUCCH at  $P_{\min} + P_W \pm P_W$ , where  $P_{\min}$  is the minimum output power according to Table 6.3.1.3-1 and  $P_W$  is the power window according to Table 6.4.2.1.4.2-1 for the carrier frequency  $f$  and the channel bandwidth BW.
- 2.6 Measure PUCCH EVM using Global In-Channel Tx-Test (Annex E).
- NOTE1: When switching to DFT-s-OFDM waveform, as specified in the test configuration table 6.4.2.1.4.1-2, send an NR RRCReconfiguration message according to TS 38.508-1 [5] clause 4.6.3 Table 4.6.3-89 PUSCH-Config without CP-OFDM condition. When switching to CP-OFDM waveform, send an NR RRCReconfiguration message with CP-OFDM condition.

Test procedure for PRACH:

- 3.1 The SS shall set RS EPRE according to Table 6.4.2.1.4.1-3.
- 3.2 PRACH is set according to Table 6.4.2.1.4.1-3.
- 3.3 The SS shall signal a Random Access Preamble ID via a PDCCH order to the UE and initiate a Non-contention based Random Access procedure.
- 3.4 The UE shall send the signalled preamble to the SS.
- 3.5 In response to the preamble, the SS shall transmit a random access response not corresponding to the transmitted random access preamble, or send no response.
- 3.6 The UE shall consider the random access response reception not successful then re-transmit the preamble with the calculated PRACH transmission power.

3.7 Repeat step 5 and 6 until the SS collect enough PRACH preambles ([2] preambles for format 0 and [10] preambles for format 4). Measure the EVM in PRACH channel using Global In-Channel Tx-Test (Annex E).

#### 6.4.2.1.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6.

#### 6.4.2.1.5 Test requirement

The PUSCH EVM, derived in Annex E.4.2, shall not exceed the values in Table 6.4.2.1.5-1.

The PUSCH  $\overline{EVM}_{DMRS}$ , derived in Annex E.4.6.2, shall not exceed the values in Table 6.4.2.1.5-1 when embedded with data symbols of the respective modulation scheme.

**Table 6.4.2.1.5-1: Test requirements for Error Vector Magnitude**

Parameter	Unit	Average EVM Level
Pi/2-BPSK	%	30
QPSK	%	17.5
16 QAM	%	12.5
64 QAM	%	8
256 QAM	%	3.8 for $15 \text{ dBm} < P_{UL}$ 4.3 for $-25 \text{ dBm} < P_{UL} \leq 15 \text{ dBm}$ 4.6 for $-40 \text{ dBm} \leq P_{UL} \leq -25 \text{ dBm}$

The PUCCH EVM derived in Annex E.5.9.2 shall not exceed 17.5 %.

The PRACH EVM derived in Annex E.6.9.2 shall not exceed 17.5%.

#### 6.4.2.2 Carrier leakage

**Editor's note: This clause is incomplete. The following aspects are either missing or not yet determined:**

- SA message contents in TS 38.508-1[5] subclause 4.6 is FFS
- SA generic procedures with condition NR in TS 38.508-1 [5] is FFS
- 38.101-1 [2] Clause 6.3.4.3: Relative power tolerances are in square brackets.
- Missing minimum conformance requirement in 38.101-1 [2].
- Window length in TS 38.101-1 [2] Annex on Transmit modulation is TBD
- Annex on Global In-Channel TX-Test contains TBDs

##### 6.4.2.2.1 Test purpose

Carrier leakage expresses itself as unmodulated sine wave with the carrier frequency or centre frequency of aggregated transmission bandwidth configuration. It is an interference of approximately constant amplitude and independent of the amplitude of the wanted signal. Carrier leakage interferes with the centre sub carriers of the UE under test (if allocated), especially, when their amplitude is small. The measurement interval is defined over one slot in the time domain.

The purpose of this test is to exercise the UE transmitter to verify its modulation quality in terms of carrier leakage.

##### 6.4.2.2.2 Test applicability

This test case applies to all types of NR UE release 15 and forward.

##### 6.4.2.2.3 Minimum conformance requirements

In the case that uplink sharing, the carrier leakage may have 7.5 kHz shift with the carrier frequency.

**Table 6.4.2.2.3-1: Requirements for in carrier leakage**

Parameter description	Unit	Limit		Applicable Frequencies
Carrier leakage	dBc	-28	Output power > 10 dBm	Carrier leakage frequency (NOTES 1, 2)
		-25	0 dBm ≤ Output power ≤ 10 dBm	
		-20	-30 dBm ≤ Output power ≤ 0 dBm	
		-10	-40 dBm ≤ Output power < -30 dBm	
NOTE 1: The measurement bandwidth is 1 RB and the limit is expressed as a ratio of measured power in one non-allocated RB to the measured total power in all allocated RBs.				
NOTE 2: The applicable frequencies for this limit are those that are enclosed in the RBs containing the carrier leakage frequency if $N_{RB}$ is odd, or in the two RBs immediately adjacent to the carrier leakage frequency if $N_{RB}$ is even but excluding any allocated RB.				
NOTE 3: $N_{RB}$ is the Transmission Bandwidth Configuration (see Figure 5.3.3).				
NOTE 4: $P_{RB}$ is the transmitted power normalized by the number of allocated RBs, measured in dBm.				

The normative reference for this requirement is TS 38.101-1 [2] clauses 6.4.2.2 and 6.4.2.3.

#### 6.4.2.2.4 Test description

##### 6.4.2.2.4.1 Initial condition

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and sub-carrier spacing based on NR operating bands specified in Table 5.3.5-1. All of these configurations shall be tested with applicable test parameters for each combination of channel bandwidth and sub-carrier spacing, are shown in table 6.4.2.2.4.1-1. The details of the uplink and downlink reference measurement channels (RMCs) are specified in Annexes A.2 and A.3. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

**Table 6.4.2.2.4.1-1: Test Configuration**

Initial Conditions			
Test Environment as specified in TS 38.508-1 [5] subclause 4.1		Normal	
Test Frequencies as specified in TS 38.508-1 [5] subclause 4.3.1		Low range, Mid range, High range	
Test Channel Bandwidths as specified in TS 38.508-1 [5] subclause 4.3.1		Mid	
Test SCS as specified in Table 5.3.5-1		Smallest supported SCS per Channel Bandwidth	
Test Parameters			
Test ID	Downlink Configuration	Uplink Configuration	
	N/A	Modulation	RB allocation (NOTE 1, 3)
1		DFT-s-OFDM QPSK	Inner_1RB_Left
NOTE 1: The specific configuration of each RB allocation is defined in Table 6.1-1.			
NOTE 2: Test Channel Bandwidths are checked separately for each NR band, which applicable channel bandwidths are specified in Table 5.3.5-1.			
NOTE 3: When the signalled DC carrier position is at Inner_1RB_Left, use Inner_1RB_Right for UL RB allocation.			

1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, in Figure A.3.1.1.1 for TE diagram and section A.3.2.1 for UE diagram.
2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
3. Downlink signals are initially set up according to Annex C.0, C.1, C.2 and uplink signals according to Annex G.0, G.1, G.2, G.3.0.
4. The UL Reference Measurement channels are set according to Table 6.4.2.2.4.1-1.
5. Propagation conditions are set according to Annex B.0.

6. Ensure the UE is in state RRC\_CONNECTED with generic procedure parameters Connectivity NR according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.4.2.2.4.3

#### 6.4.2.2.4.2 Test procedure

1. SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format [0\_1] for C\_RNTI to schedule the UL RMC according to Table 6.4.2.2.4.1-1. Since the UE has no payload and no loopback data to send the UE sends uplink MAC padding bits on the UL RMC.
2. Send the appropriate TPC commands in the uplink scheduling information to the UE until UE output power is  $10 + P_W$  dBm  $\pm P_W$  dB where  $P_W$  is the power window according to Table 6.4.2.2.4.2-1 for the carrier frequency  $f$  and the channel bandwidth BW.
3. Measure carrier leakage using Global In-Channel Tx-Test (Annex E). For TDD slots with transient periods are not under test.
4. Send the appropriate TPC commands in the uplink scheduling information to the UE until UE output power is  $0 + P_W$  dBm  $\pm P_W$  dB where  $P_W$  is the power window according to Table 6.4.2.2.4.2-1 for the carrier frequency  $f$  and the channel bandwidth BW.
5. Measure carrier leakage using Global In-Channel Tx-Test (Annex E). For TDD slots with transient periods are not under test.
6. Send the appropriate TPC commands in the uplink scheduling information to the UE until UE output power is  $-30 + P_W$  dBm  $\pm P_W$  dB where  $P_W$  is the power window according to Table 6.4.2.2.4.2-2 for the carrier frequency  $f$  and the channel bandwidth BW.
7. Measure carrier leakage using Global In-Channel Tx-Test (Annex E). For TDD slots with transient periods are not under test
8. Send the appropriate TPC commands in the uplink scheduling information to the UE until UE output power is  $-40 + P_W$  dBm  $\pm P_W$  dB where  $P_W$  is the power window according to Table 6.4.2.2.4.2-2 for the carrier frequency  $f$  and the channel bandwidth BW.
9. Measure carrier leakage using Global In-Channel Tx-Test (Annex E). For TDD slots with transient periods are not under test

**Table 6.4.2.2.4.2-1: Power Window (dB) for carrier leakage (step 2 and step 4)**

	$f \leq 3\text{GHz}$	$3\text{GHz} < f \leq 4.2\text{GHz}$	$4.2\text{GHz} < f \leq 6\text{GHz}$
$BW \leq 20\text{MHz}$	[1.4]	[1.7]	[2]
$20\text{MHz} < BW \leq 40\text{MHz}$	[1.4]	[1.7]	[2.2]
$40\text{MHz} < BW \leq 100\text{MHz}$	[2.1]	[2.3]	[2.3]

**Table 6.4.2.1.4.2-2: Power Window (dB) for carrier leakage (step 6 and step 8)**

	$f \leq 3\text{GHz}$	$3\text{GHz} < f \leq 4.2\text{GHz}$	$4.2\text{GHz} < f \leq 6\text{GHz}$
$BW \leq 40\text{MHz}$	[1.7]	[2.0]	[2.2]
$40\text{MHz} < BW \leq 100\text{MHz}$	[2.1]	[2.3]	[2.5]

#### 6.4.2.2.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6.

#### 6.4.2.2.5 Test requirement

Each of the [20] carrier leakage results, derived in Annex E.3.1, shall not exceed the values in table 6.4.2.2.5-1. Allocated RBs are not under test.

**Table 6.4.2.2.5-1: Test requirements for Relative Carrier Leakage Power**

LO Leakage	Parameters UE output power	Relative limit (dBc)
	$10 + P_W \text{ dBm} \pm P_W \text{ dB}^5$	-27.2
	$0 + P_W \text{ dBm} \pm P_W \text{ dB}^5$	-24.2
	$-30 + P_W \text{ dBm} \pm P_W \text{ dB}^6$	-19.2
	$-40 + P_W \text{ dBm} \pm P_W \text{ dB}^6$	-9.2
<p>NOTE 1: The measurement bandwidth is 1 RB and the limit is expressed as a ratio of measured power in one non-allocated RB to the measured total power in all allocated RBs.</p> <p>NOTE 2: The applicable frequencies for this limit are those that are enclosed in the RBs containing the carrier leakage frequency if <math>N_{RB}</math> is odd, or in the two RBs immediately adjacent to the carrier leakage frequency if <math>N_{RB}</math> is even but excluding any allocated RB.</p> <p>NOTE 3: <math>N_{RB}</math> is the Transmission Bandwidth Configuration (see Figure 5.3.3).</p> <p>NOTE 4: <math>P_{RB}</math> is the transmitted power normalized by the number of allocated RBs, measured in dBm.</p> <p>NOTE 5: <math>P_W</math> is the power window according to Table 6.4.2.2.4.2-1 for the carrier frequency <math>f</math> and the channel bandwidth BW.</p> <p>NOTE 6: <math>P_W</math> is the power window according to Table 6.4.2.2.4.2-2 for the carrier frequency <math>f</math> and the channel bandwidth BW.</p>		

### 6.4.2.3 In-band emissions

**Editor's note: This clause is incomplete. The following aspects are either missing or not yet determined:**

- SA message contents in TS 38.508-1[5] subclause 4.6 is FFS
- SA generic procedures with condition NR in TS 38.508-1 [5] is FFS
- 38.101-1 [2] Clause 6.3.4.3: Relative power tolerances are in square brackets.
- Window length in TS 38.101-1 [2] Annex on Transmit modulation is TBD
- Annex on Global In-Channel TX-Test contains TBDs.

#### 6.4.2.3.1 Test purpose

The in-band emissions are a measure of the interference falling into the non-allocated resources blocks

The in-band emission is defined as the average emission across 12 sub-carriers and as a function of the RB offset from the edge of the allocated UL transmission bandwidth. The in-band emission is measured as the ratio of the UE output power in a non-allocated RB to the UE output power in an allocated RB.

The basic in-band emissions measurement interval is defined over one slot in the time domain, however, the minimum requirement applies when the in-band emission measurement is averaged over 10 sub-frames. When the PUSCH or PUCCH transmission slot is shortened due to multiplexing with SRS, the in-band emissions measurement interval is reduced by one or more symbols, accordingly.

The purpose of this test is to exercise the UE transmitter to verify its modulation quality in terms of in-band emissions.

#### 6.4.2.3.2 Test applicability

This test case applies to all types of NR UE release 15 and forward.

#### 6.4.2.3.3 Minimum conformance requirements

The average of the basic in-band emission measurement over 10 sub-frames shall not exceed the values specified in Table 6.4.2.3.3-1.

Table 6.4.2.3.3-1: Requirements for in-band emissions

Parameter description	Unit	Limit (NOTE 1)		Applicable Frequencies
General	dB	$\max \left\{ -25 - 10 \cdot \log_{10} (N_{RB} / L_{CRB}), \right.$ $20 \cdot \log_{10} EVM - 3 - 5 \cdot ( \Delta_{RB}  - 1) / L_{CRB},$ $\left. -57 \text{ dBm} + 10 \log_{10} (SCS / 15 \text{ kHz}) - P_{RB} \right\}$		Any non-allocated (NOTE 2)
IQ Image	dB	-28	Image frequencies when output power > 10 dBm	Image frequencies (NOTES 2, 3)
		-25	Image frequencies when output power ≤ 10 dBm	
Carrier leakage	dBc	-28	Output power > 10 dBm	Carrier leakage frequency (NOTES 4, 5)
		-25	0 dBm ≤ Output power ≤ 10 dBm	
		-20	-30 dBm ≤ Output power ≤ 0 dBm	
		-10	-40 dBm ≤ Output power < -30 dBm	
<p>NOTE 1: An in-band emissions combined limit is evaluated in each non-allocated RB. For each such RB, the minimum requirement is calculated as the higher of <math>P_{RB} - 30</math> dB and the power sum of all limit values (General, IQ Image or Carrier leakage) that apply. <math>P_{RB}</math> is defined in NOTE 10.</p> <p>NOTE 2: The measurement bandwidth is 1 RB and the limit is expressed as a ratio of measured power in one non-allocated RB to the measured average power per allocated RB, where the averaging is done across all allocated RBs.</p> <p>NOTE 3: The applicable frequencies for this limit are those that are enclosed in the reflection of the allocated bandwidth, based on symmetry with respect to the carrier leakage frequency, but excluding any allocated RBs.</p> <p>NOTE 4: The measurement bandwidth is 1 RB and the limit is expressed as a ratio of measured power in one non-allocated RB to the measured total power in all allocated RBs.</p> <p>NOTE 5: The applicable frequencies for this limit are those that are enclosed in the RBs containing the carrier leakage frequency if <math>N_{RB}</math> is odd, or in the two RBs immediately adjacent to the carrier leakage frequency if <math>N_{RB}</math> is even but excluding any allocated RB.</p> <p>NOTE 6: <math>L_{CRB}</math> is the Transmission Bandwidth (see Figure 5.3.3).</p> <p>NOTE 7: <math>N_{RB}</math> is the Transmission Bandwidth Configuration (see Figure 5.3.3).</p> <p>NOTE 8: <math>EVM</math> is the limit specified in Table 6.4.2.1.3-1 for the modulation format used in the allocated RBs.</p> <p>NOTE 9: <math>\Delta_{RB}</math> is the starting frequency offset between the allocated RB and the measured non-allocated RB (e.g. <math>\Delta_{RB} = 1</math> or <math>\Delta_{RB} = -1</math> for the first adjacent RB outside of the allocated bandwidth).</p> <p>NOTE 10: <math>P_{RB}</math> is the transmitted power normalized by the number of allocated RBs, measured in dBm.</p>				

The normative reference for this requirement is TS 38.101-1 [2] clause 6.4.2.3.

#### 6.4.2.3.4 Test description

##### 6.4.2.3.4.1 Initial condition

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and sub-carrier spacing based on NR operating bands specified in Table 5.3.5-1. All of these configurations shall be tested with applicable test parameters for each combination of channel bandwidth and sub-carrier spacing, are shown in table 6.4.2.3.4.1-1. The details of the uplink and downlink reference measurement channels (RMCs) are specified in Annexes A.2 and A.3. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

**Table 6.4.2.3.4.1-1: Test Configuration Table for PUSCH**

Initial Conditions			
Test Environment as specified in TS 38.508-1 [5] subclause 4.1		Normal	
Test Frequencies as specified in TS 38.508-1 [5] subclause 4.3.1		Low range, Mid range, High range	
Test Channel Bandwidths as specified in TS 38.508-1 [5] subclause 4.3.1		Lowest, Mid, Highest	
Test SCS as specified in Table 5.3.5-1		Smallest supported SCS per Channel Bandwidth	
Test Parameters			
Test ID	Downlink Configuration	Uplink Configuration	
	N/A	Modulation	RB allocation (NOTE 1)
1		DFT-s-OFDM QPSK	Inner_1RB_Left
2		DFT-s-OFDM QPSK	Inner_1RB_Right
3		CP-OFDM QPSK	Inner_1RB_Left
4		CP-OFDM QPSK	Inner_1RB_Right
NOTE 1: The specific configuration of each RB allocation is defined in Table 6.1-1.			
NOTE 2: Test Channel Bandwidths are checked separately for each NR band, which applicable channel bandwidths are specified in Table 5.3.5-1.			

**Table 6.4.2.3.4.1-2: Test Configuration Table for PUCCH**

Initial Conditions			
Test Environment as specified in TS 38.508-1 [5] subclause 4.1		See Table 6.4.2.3.4.1-1	
Test Frequencies as specified in TS 38.508-1 [5] subclause 4.3.1		See Table 6.4.2.3.4.1-1	
Test Channel Bandwidths as specified in TS 38.508-1 [5] subclause 4.3.1		See Table 6.4.2.3.4.1-1	
Test SCS as specified in Table 5.3.5-1		See Table 6.4.2.3.4.1-1	
Test Parameters			
ID	Downlink Configuration	Uplink Configuration	
	N/A	Waveform	PUCCH format
1		DFT-s-OFDM	FDD: PUCCH format = Format 1a TDD: PUCCH format = Format 1a / 1b
2		CP-OFDM	FDD: PUCCH format = Format 1a TDD: PUCCH format = Format 1a / 1b
NOTE 1: Test Channel Bandwidths are checked separately for each NR band, which applicable channel bandwidths are specified in Table 5.3.5-1.			

1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, in Figure A.3.1.1.1 for TE diagram and section A.3.2.1 for UE diagram.
2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
3. Downlink signals are initially set up according to Annex C.0, C.1, C.2, and uplink signals according to Annex G.0, G.1, G.2, G.3.0.
4. The UL Reference Measurement channels are set according to Table 6.4.2.3.4.1-1.
5. Propagation conditions are set according to Annex B.0.
6. Ensure the UE is in state RRC\_CONNECTED with generic procedure parameters Connectivity NR according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.4.2.3.4.3

**6.4.2.3.4.2 Test procedure**

Test procedure for PUSCH:

- 1.1 SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format [1\_0] for C\_RNTI to schedule the UL RMC according to Table 6.4.2.3.4.1-1. Since the UE has no payload and no loopback data to send the UE sends uplink MAC padding bits on the UL RMC.

- 1.2 Send the appropriate TPC commands in the uplink scheduling information to the UE until UE output power is  $10 + P_W$  dBm  $\pm P_W$  dB where  $P_W$  is the power window according to Table 6.4.2.3.4.2-1 for the carrier frequency  $f$  and the channel bandwidth BW.
- 1.3 Measure In-band emission using Global In-Channel Tx-Test (Annex E)
- 1.4 Send the appropriate TPC commands in the uplink scheduling information to the UE until UE output power is  $0 + P_W$  dBm  $\pm P_W$  dB where  $P_W$  is the power window according to Table 6.4.2.3.4.2-1 for the carrier frequency  $f$  and the channel bandwidth BW.
- 1.5 Measure In-band emission using Global In-Channel Tx-Test (Annex E)
- 1.6 Send the appropriate TPC commands in the uplink scheduling information to the UE until UE output power is  $-30 + P_W$  dBm  $\pm P_W$  dB where  $P_W$  is the power window according to Table 6.4.2.3.4.2-2 for the carrier frequency  $f$  and the channel bandwidth BW.
- 1.7 Measure In-band emission using Global In-Channel Tx-Test (Annex E). For TDD slots with transient periods are not under test
- 1.8 Send the appropriate TPC commands in the uplink scheduling information to the UE until UE output power is  $-40 + P_W$  dBm  $\pm P_W$  dB where  $P_W$  is the power window according to Table 6.4.2.3.4.2-2 for the carrier frequency  $f$  and the channel bandwidth BW.
- 1.9 Measure In-band emission using Global In-Channel Tx-Test (Annex E). For TDD slots with transient periods are not under test

NOTE1: When switching to DFT-s-OFDM waveform, as specified in the test configuration table 6.4.2.3.4.1-1, send an NR RRCReconfiguration message according to TS 38.508-1 [5] clause 4.6.3 Table 4.6.3-89 PUSCH-Config without CP-OFDM condition. When switching to CP-OFDM waveform, send an NR RRCReconfiguration message with CP-OFDM condition

Test procedure for PUCCH:

- 2.1 PUCCH is set according to Table 6.4.2.3.4.1-2. SS transmits PDSCH via PDCCH DCI format [1A] for  $C_{RNTI}$  to transmit the DL RMC according to Table 6.4.2.3.4.1-2. The SS sends downlink MAC padding bits on the DL RMC. The transmission of PDSCH will make the UE send uplink ACK/NACK using PUCCH.
- 2.2 Send the appropriate TPC commands in the uplink scheduling information for PUCCH to the UE until UE output power is  $10 + P_W$  dBm  $\pm P_W$  dB where  $P_W$  is the power window according to Table 6.4.2.3.4.2-1 for the carrier frequency  $f$  and the channel bandwidth BW.
- 2.3 Measure In-band emission using Global In-Channel Tx-Test (Annex E)
- 2.4 Send the appropriate TPC commands in the uplink scheduling information for PUCCH to the UE until UE output power is  $0 + P_W$  dBm  $\pm P_W$  dB where  $P_W$  is the power window according to Table 6.4.2.3.4.2-1 for the carrier frequency  $f$  and the channel bandwidth BW.
- 2.5 Measure In-band emission using Global In-Channel Tx-Test (Annex E)
- 2.6 Send the appropriate TPC commands for PUCCH in the uplink scheduling information to the UE until UE output power is  $-30 + P_W$  dBm  $\pm P_W$  dB where  $P_W$  is the power window according to Table 6.4.2.3.4.2-2 for the carrier frequency  $f$  and the channel bandwidth BW.
- 2.7 Measure In-band emission using Global In-Channel Tx-Test (Annex E)
- 2.8 Send the appropriate TPC commands for PUCCH in the uplink scheduling information to the UE until UE output power is  $-40 + P_W$  dBm  $\pm P_W$  dB where  $P_W$  is the power window according to Table 6.4.2.3.4.2-2 for the carrier frequency  $f$  and the channel bandwidth BW.
- 2.9 Measure In-band emission using Global In-Channel Tx-Test (Annex E)

NOTE1: When switching to DFT-s-OFDM waveform, as specified in the test configuration table 6.4.2.3.4.1-2, send an NR RRCReconfiguration message according to TS 38.508-1 [5] clause 4.6.3 Table 4.6.3-89 PUSCH-Config without CP-OFDM condition. When switching to CP-OFDM waveform, send an NR RRCReconfiguration message with CP-OFDM condition

**Table 6.4.2.3.4.2-1: Power Window (dB) for carrier leakage (steps 1.2, 1.4, 2.2, and 2.4)**

	$f \leq 3\text{GHz}$	$3\text{GHz} < f \leq 4.2\text{GHz}$	$4.2\text{GHz} < f \leq 6\text{GHz}$
$BW \leq 20\text{MHz}$	[1.4]	[1.7]	[2]
$20\text{MHz} < BW \leq 40\text{MHz}$	[1.4]	[1.7]	[2.2]
$40\text{MHz} < BW \leq 100\text{MHz}$	[2.1]	[2.3]	[2.3]

**Table 6.4.2.3.4.2-2: Power Window (dB) for carrier leakage (steps 1.6, 1.8, 2.6, and 2.8)**

	$f \leq 3\text{GHz}$	$3\text{GHz} < f \leq 4.2\text{GHz}$	$4.2\text{GHz} < f \leq 6\text{GHz}$
$BW \leq 40\text{MHz}$	[1.7]	[2.0]	[2.2]
$40\text{MHz} < BW \leq 100\text{MHz}$	[2.1]	[2.3]	[2.5]

#### 6.4.2.3.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6.

#### 6.4.2.3.5 Test requirement

Each of the [20] In-band emissions results, derived in Annex E.4.3 shall not exceed the corresponding values in Tables 6.4.2.3.5-1.

**Table 6.4.2.3.5-1: Test requirements for in-band emissions**

Parameter description	Unit	Limit (NOTE 1)		Applicable Frequencies
General	dB	$\max \left\{ -25 - 10 \cdot \log_{10} (N_{RB} / L_{CRB}), \right.$ $20 \cdot \log_{10} EVM - 3 - 5 \cdot ( \Delta_{RB}  - 1) / L_{CRB}, \quad + 0.8$ $\left. - 57 \text{ dBm} + 10 \log_{10} (SCS / 15 \text{ kHz}) - P_{RB} \right\}$		Any non-allocated (NOTE 2)
IQ Image	dB	-27.2	Image frequencies when output power > 10 dBm	Image frequencies (NOTES 2, 3)
		-24.2	Image frequencies when output power ≤ 10 dBm	
Carrier leakage	dBc	-27.2	Output power > 10 dBm	Carrier leakage frequency (NOTES 4, 5)
		-24.2	0 dBm ≤ Output power ≤ 10 dBm	
		-19.2	-30 dBm ≤ Output power ≤ 0 dBm	
		-9.2	-40 dBm ≤ Output power < -30 dBm	
<p>NOTE 1: An in-band emissions combined limit is evaluated in each non-allocated RB. For each such RB, the minimum requirement is calculated as the higher of <math>P_{RB} - 30</math> dB and the power sum of all limit values (General, IQ Image or Carrier leakage) that apply. <math>P_{RB}</math> is defined in NOTE 10.</p> <p>NOTE 2: The measurement bandwidth is 1 RB and the limit is expressed as a ratio of measured power in one non-allocated RB to the measured average power per allocated RB, where the averaging is done across all allocated RBs.</p> <p>NOTE 3: The applicable frequencies for this limit are those that are enclosed in the reflection of the allocated bandwidth, based on symmetry with respect to the carrier leakage frequency, but excluding any allocated RBs.</p> <p>NOTE 4: The measurement bandwidth is 1 RB and the limit is expressed as a ratio of measured power in one non-allocated RB to the measured total power in all allocated RBs.</p> <p>NOTE 5: The applicable frequencies for this limit are those that are enclosed in the RBs containing the carrier leakage frequency if <math>N_{RB}</math> is odd, or in the two RBs immediately adjacent to the carrier leakage frequency if <math>N_{RB}</math> is even, but excluding any allocated RB.</p> <p>NOTE 6: <math>L_{CRB}</math> is the Transmission Bandwidth (see Figure 5.3.3).</p> <p>NOTE 7: <math>N_{RB}</math> is the Transmission Bandwidth Configuration (see Figure 5.3.3).</p> <p>NOTE 8: <math>EVM</math> is the limit specified in Table 6.4.2.1.3-1 for the modulation format used in the allocated RBs.</p> <p>NOTE 9: <math>\Delta_{RB}</math> is the starting frequency offset between the allocated RB and the measured non-allocated RB (e.g. <math>\Delta_{RB} = 1</math> or <math>\Delta_{RB} = -1</math> for the first adjacent RB outside of the allocated bandwidth).</p> <p>NOTE 10: <math>P_{RB}</math> is the transmitted power normalized by the number of allocated RBs, measured in dBm.</p>				

#### 6.4.2.4 EVM equalizer spectrum flatness

Editor's note: This clause is incomplete. The following aspects are either missing or not yet determined:

- SA message contents in TS 38.508-1[5] subclause 4.6 is FFS.
- SA generic procedures with condition NR in TS 38.508-1 [5] is FFS.
- For shaped Pi/2-BPSK modulated waveforms, the minimum requirements are TBD in minimum conformance requirement in 38.101-1 [2].
- 38.101-1 [2] Clause 6.3.4.3: Relative power tolerances are in square brackets.
- Window length in TS 38.101-1 Annex on Transmit modulation is TBD.
- Annex on Global In-Channel TX-Test contains TBDs.
- Addition of dft-s-OFDM test point.

##### 6.4.2.4.1 Test purpose

The zero-forcing equalizer correction applied in the EVM measurement process (as described in Annex E) must meet a spectral flatness requirement for the EVM measurement to be valid. The EVM equalizer spectrum flatness is defined in terms of the maximum peak-to-peak ripple of the equalizer coefficients (dB) across the allocated uplink block, at which the equalizer coefficients are generated by the EVM measurement process. The basic measurement interval is the same as for EVM.

The EVM equalizer spectrum flatness requirement does not limit the correction applied to the signal in the EVM measurement process but for the EVM result to be valid, the equalizer correction that was applied must meet the EVM equalizer spectrum flatness minimum requirements.

##### 6.4.2.4.2 Test applicability

This test case applies to all types of NR UE release 15 and forward.

##### 6.4.2.4.3 Minimum conformance requirements

For shaped Pi/2-BPSK modulated waveforms, the minimum requirements are TBD.

For unshaped modulated waveforms, the peak-to-peak variation of the EVM equalizer coefficients contained within the frequency range of the uplink allocation shall not exceed the maximum ripple specified in Table 6.4.2.4.3-1 for normal conditions. For uplink allocations contained within both Range 1 and Range 2, the coefficients evaluated within each of these frequency ranges shall meet the corresponding ripple requirement and the following additional requirement: the relative difference between the maximum coefficient in Range 1 and the minimum coefficient in Range 2 must not be larger than 5 dB, and the relative difference between the maximum coefficient in Range 2 and the minimum coefficient in Range 1 must not be larger than 7 dB (see Figure 6.4.2.4.3-1).

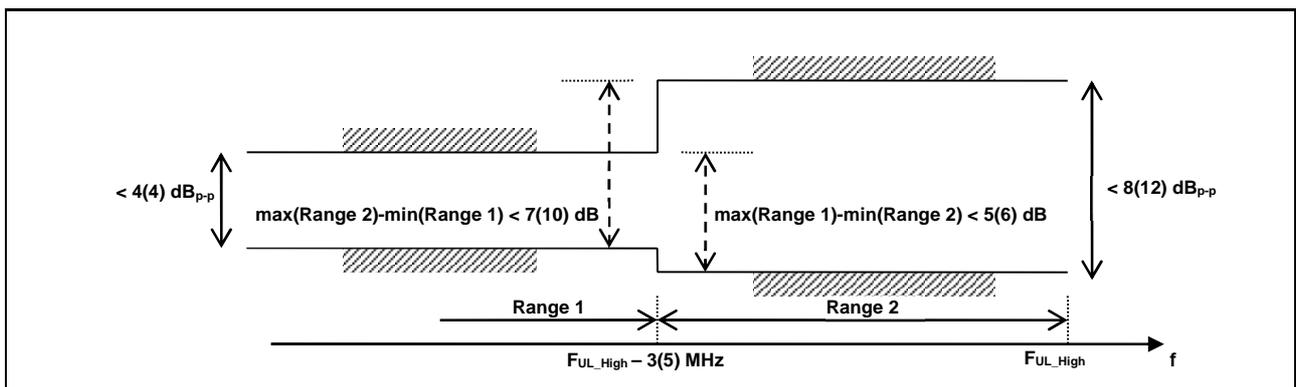
The EVM equalizer spectral flatness shall not exceed the values specified in Table 6.4.2.4.3-2 for extreme conditions. For uplink allocations contained within both Range 1 and Range 2, the coefficients evaluated within each of these frequency ranges shall meet the corresponding ripple requirement and the following additional requirement: the relative difference between the maximum coefficient in Range 1 and the minimum coefficient in Range 2 must not be larger than 6 dB, and the relative difference between the maximum coefficient in Range 2 and the minimum coefficient in Range 1 must not be larger than 10 dB (see Figure 6.4.2.4.3-1).

**Table 6.4.2.4.3-1: Requirements for EVM equalizer spectrum flatness for unshaped modulations (normal conditions)**

Frequency range	Maximum ripple [dB]
$F_{UL\_Meas} - F_{UL\_Low} \geq 3$ MHz and $F_{UL\_High} - F_{UL\_Meas} \geq 3$ MHz (Range 1)	4 (p-p)
$F_{UL\_Meas} - F_{UL\_Low} < 3$ MHz or $F_{UL\_High} - F_{UL\_Meas} < 3$ MHz (Range 2)	8 (p-p)
NOTE 1: $F_{UL\_Meas}$ refers to the sub-carrier frequency for which the equalizer coefficient is evaluated	
NOTE 2: $F_{UL\_Low}$ and $F_{UL\_High}$ refer to each E-UTRA frequency band specified in Table 5.5-1	

**Table 6.4.2.4.3-2: Minimum requirements for EVM equalizer spectrum flatness for unshaped modulations (extreme conditions)**

Frequency range	Maximum Ripple [dB]
$F_{UL\_Meas} - F_{UL\_Low} \geq 5$ MHz and $F_{UL\_High} - F_{UL\_Meas} \geq 5$ MHz (Range 1)	4 (p-p)
$F_{UL\_Meas} - F_{UL\_Low} < 5$ MHz or $F_{UL\_High} - F_{UL\_Meas} < 5$ MHz (Range 2)	12 (p-p)
NOTE 1: $F_{UL\_Meas}$ refers to the sub-carrier frequency for which the equalizer coefficient is evaluated	
NOTE 2: $F_{UL\_Low}$ and $F_{UL\_High}$ refer to each E-UTRA frequency band specified in Table 5.5-1	



**Figure 6.4.2.4.3-1: The limits for EVM equalizer spectral flatness with the maximum allowed variation of the coefficients indicated for unshaped modulations (the ETC minimum requirement are within brackets)**

The normative reference for this requirement is TS 38.101-1 [2] clause 6.4.2.4.

6.4.2.4.4 Test description

6.4.2.4.4.1 Initial condition

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and sub-carrier spacing based on NR operating bands specified in Table 5.3.5-1. All of these configurations shall be tested with applicable test parameters for each combination of channel bandwidth and sub-carrier spacing, are shown in table 6.4.2.4.4.1-1. The details of the uplink and downlink reference measurement channels (RMCs) are specified in Annexes A.2 and A.3. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

**Table 6.4.2.4.4.1-1: Test Configuration**

Initial Conditions			
Test Environment as specified in TS 38.508-1 [5] subclause 4.1		Normal, TL/VL, TL/VH, TH/VL, TH/VH	
Test Frequencies as specified in TS 38.508-1 [5] subclause 4.3.1		Low range, Mid range, High range	
Test Channel Bandwidths as specified in TS 38.508-1 [5] subclause 4.3.1		Lowest, Mid, Highest	
Test SCS as specified in Table 5.3.5-1		Lowest SCS per Channel Bandwidth	
Test Parameters			
Test ID	Downlink Configuration	Uplink Configuration	
	N/A	Modulation	RB allocation (NOTE 1)
1		CP-OFDM QPSK	Outer Full
NOTE 1: The specific configuration of each RB allocation is defined in Table 6.1-1.			
NOTE 2: Test Channel Bandwidths are checked separately for each NR band, which applicable channel bandwidths are specified in Table 5.3.5-1.			

1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, in Figure A.3.1.1.1 for TE diagram and section A.3.2.1 for UE diagram.
2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
3. Downlink signals are initially set up according to Annex C.0, C.1, C.2, and uplink signals according to Annex G.0, G.1, G.2, G.3.0.
4. The UL Reference Measurement channels are set according to Table 6.4.2.4.4.1-1.
5. Propagation conditions are set according to Annex B.0.
6. Ensure the UE is in state RRC\_CONNECTED with generic procedure parameters Connectivity NR according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.4.2.4.4.3

SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format [0\_1] for C\_RNTI to schedule the UL RMC according to Table 6.4.2.4.4.1-1. Since the UE has no payload and no loopback data to send the UE sends uplink MAC padding bits on the UL RMC

2. Send continuously uplink power control "up" commands in the uplink scheduling information to the UE until the UE transmits at  $P_{UMAX}$  level. Allow at least 200ms starting from the first TPC command in this step for the UE to reach  $P_{UMAX}$  level.
3. Measure spectrum flatness using Global In-Channel Tx-Test (Annex E). For TDD slots with transient periods are not under test.

#### 6.4.2.4.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6 with following exception.

**Table 6.3.1.4.3-1: PUSCH-Config**

Derivation Path: TS 38.508-1 [5], Table 4.6.3-89 with condition CP-OFDM
---

#### 6.4.2.4.5 Test requirement

Each of the [20] spectrum flatness functions, shall derive four ripple results in Annex E.4.4. The derived results shall not exceed the values in Figure 6.4.2.4.5-1:

For shaped Pi/2-BPSK modulated waveforms, the test requirements are TBD.

For normal conditions and unshaped modulated waveforms, the maximum ripple in Range 1 and Range 2 shall not exceed the values specified in Table 6.4.2.4.5-1 and the following additional requirement: the relative difference between the maximum coefficient in Range 1 and the minimum coefficient in Range 2 must not be larger than 6.4 dB, and the relative difference between the maximum coefficient in Range 2 and the minimum coefficient in Range 1 must not be larger than 8.4 dB (see Figure 6.4.2.4.5-1).

For normal conditions and for unshaped modulated waveforms, the peak-to-peak variation of the EVM equalizer coefficients contained within the frequency range of the uplink allocation shall not exceed the maximum ripple specified in Table 6.4.2.4.5-1. For uplink allocations contained within both Range 1 and Range 2, the coefficients evaluated within each of these frequency ranges shall meet the corresponding ripple requirement and the following additional requirement: the relative difference between the maximum coefficient in Range 1 and the minimum coefficient in Range 2 must not be larger than 6.4 dB, and the relative difference between the maximum coefficient in Range 2 and the minimum coefficient in Range 1 must not be larger than 8.4 dB (see Figure 6.4.2.4.5-1).

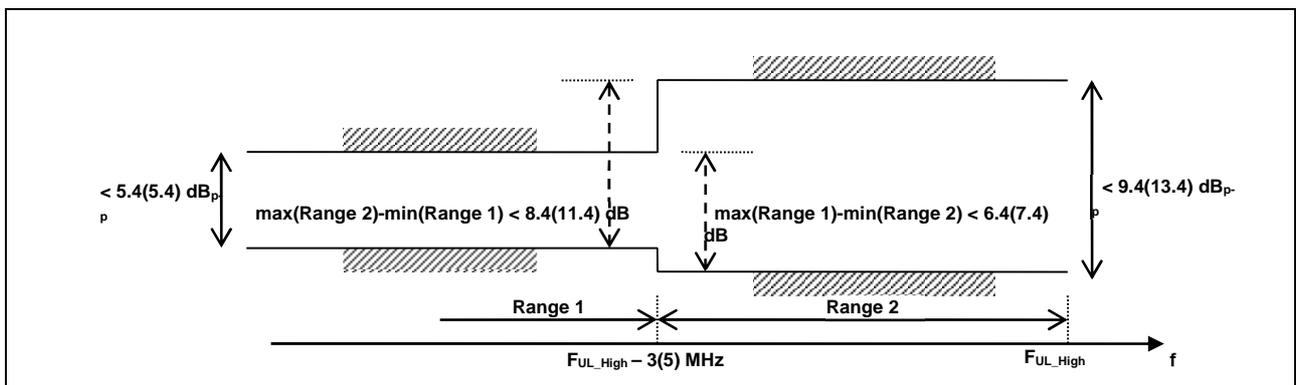
For extreme conditions, the EVM equalizer spectral flatness shall not exceed the values specified in Table 6.4.2.4.5-2. For uplink allocations contained within both Range 1 and Range 2, the coefficients evaluated within each of these frequency ranges shall meet the corresponding ripple requirement and the following additional requirement: the relative difference between the maximum coefficient in Range 1 and the minimum coefficient in Range 2 must not be larger than 7.4 dB, and the relative difference between the maximum coefficient in Range 2 and the minimum coefficient in Range 1 must not be larger than 11.4 dB (see Figure 6.4.2.4.5-1).

**Table 6.4.2.4.5-1: Requirements for EVM equalizer spectrum flatness for unshaped modulations (normal conditions)**

Frequency range	Maximum ripple [dB]
$F_{UL\_Meas} - F_{UL\_Low} \geq 3 \text{ MHz}$ and $F_{UL\_High} - F_{UL\_Meas} \geq 3 \text{ MHz}$ (Range 1)	5.4 (p-p)
$F_{UL\_Meas} - F_{UL\_Low} < 3 \text{ MHz}$ or $F_{UL\_High} - F_{UL\_Meas} < 3 \text{ MHz}$ (Range 2)	9.4 (p-p)
NOTE 1: $F_{UL\_Meas}$ refers to the sub-carrier frequency for which the equalizer coefficient is evaluated	
NOTE 2: $F_{UL\_Low}$ and $F_{UL\_High}$ refer to each E-UTRA frequency band specified in Table 5.5-1	

**Table 6.4.2.4.5-2: Minimum requirements for EVM equalizer spectrum flatness for unshaped modulations (extreme conditions)**

Frequency range	Maximum Ripple [dB]
$F_{UL\_Meas} - F_{UL\_Low} \geq 5 \text{ MHz}$ and $F_{UL\_High} - F_{UL\_Meas} \geq 5 \text{ MHz}$ (Range 1)	5.4 (p-p)
$F_{UL\_Meas} - F_{UL\_Low} < 5 \text{ MHz}$ or $F_{UL\_High} - F_{UL\_Meas} < 5 \text{ MHz}$ (Range 2)	13.4 (p-p)
NOTE 1: $F_{UL\_Meas}$ refers to the sub-carrier frequency for which the equalizer coefficient is evaluated	
NOTE 2: $F_{UL\_Low}$ and $F_{UL\_High}$ refer to each E-UTRA frequency band specified in Table 5.5-1	



**Figure 6.4.2.4.5-1: The test requirements for EVM equalizer spectral flatness with the maximum allowed variation of the coefficients indicated for unshaped modulations (the ETC test requirements are within brackets)**

## 6.5 Output RF spectrum emissions

Unwanted emissions are divided into "Out-of-band emission" and "Spurious emissions" in 3GPP RF specifications. This notation is in line with ITU-R recommendations such as SM.329 [TBD] and the Radio Regulations [TBD].

ITU defines:

Out-of-band emission = Emission on a frequency or frequencies immediately outside the necessary bandwidth which results from the modulation process, but excluding spurious emissions.

Spurious emission = Emission on a frequency, or frequencies, which are outside the necessary bandwidth and the level of which may be reduced without affecting the corresponding transmission of information. Spurious emissions include harmonic emissions, parasitic emissions, intermodulation products and frequency conversion products but exclude out-of-band emissions.

Unwanted emissions = Consist of spurious emissions and out-of-band emissions.

The UE transmitter spectrum emission consists of the three components; the occupied bandwidth (channel bandwidth), the Out Of Band (OOB) emissions and the far out spurious emission domain.

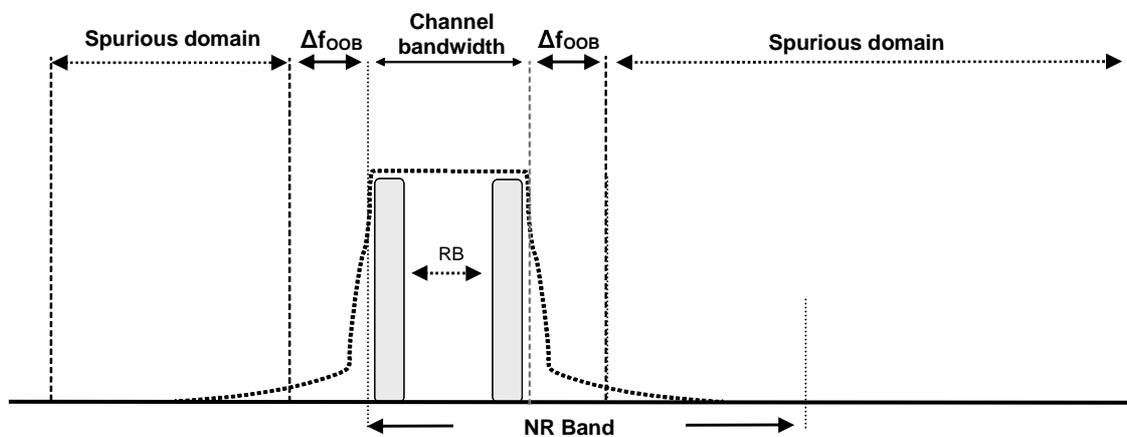


Figure 6.5-1: Transmitter RF spectrum

### 6.5.1 Occupied bandwidth

**Editor's note: This clause is incomplete. The following aspects are either missing or not yet determined:**

- SA message contents in TS 38.508-1 [5] subclause 4.6 is FFS

#### 6.5.1.1 Test purpose

To verify that the UE occupied bandwidth for all transmission bandwidth configurations supported by the UE are less than their specific limits

#### 6.5.1.2 Test applicability

This test applies to all types of NR UE release 15 and forward.

#### 6.5.1.3 Minimum conformance requirements

Occupied bandwidth is defined as the bandwidth containing 99 % of the total integrated mean power of the transmitted spectrum on the assigned channel. The occupied bandwidth for all transmission bandwidth configurations (Resources Blocks) shall be less than the channel bandwidth specified in Table 6.5.1.3-1

**Table 6.5.1.3-1: Occupied channel bandwidth**

	Occupied channel bandwidth / NR Channel bandwidth											
	5 MHz	10 MHz	15 MHz	20 MHz	25 MHz	30 MHz	40 MHz	50 MHz	60 MHz	80 MHz	90 MHz	100 MHz
<b>Channel bandwidth (MHz)</b>	5	10	15	20	25	30	40	50	60	80	90	100

The normative reference for this requirement is TS 38.101-1 [2] clause 6.5.1.

#### 6.5.1.4 Test description

##### 6.5.1.4.1 Initial conditions

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and sub-carrier spacing based on NR operating bands specified in table 5.3.5-1. All of these configurations shall be tested with applicable test parameters for each combination of channel bandwidth and sub-carrier spacing, are shown in table 6.5.1.4.1-1. The details of the uplink reference measurement channels (RMCs) are specified in Annexes A.2. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

**Table 6.5.1.4.1-1: Test Configuration Table**

Initial Conditions			
Test Environment as specified in TS 38.508-1 [5] subclause 4.1		Normal	
Test Frequencies as specified in TS 38.508-1 [5] subclause 4.3.1		Mid range by default, exceptions listed in Table 6.5.1.4.1-2	
Test Channel Bandwidths as specified in TS 38.508-1 [5] subclause 4.3.1		All	
Test SCS as specified in Table 5.3.5-1		Lowest SCS per Channel Bandwidth	
Test Parameters			
Test ID	Downlink Configuration	Uplink Configuration	
	N/A for occupied bandwidth test case	Modulation	RB allocation (NOTE 1)
1		CP-OFDM QPSK	Outer_full
NOTE 1: The specific configuration of each RB allocation is defined in Table 6.1-1.			

**Table 6.5.1.4.1-2: Test frequency exceptions for Occupied Bandwidth**

5G NR Band	Test Frequency
n77	Low Range, Mid Range, High Range
n78	Low Range, Mid Range, High Range
n79	Low Range, Mid Range, High Range

1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, Figure A.3.1.1.1 for TE diagram and section A.3.2.1 for UE diagram.
2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
3. Downlink signals are initially set up according to Annex C.0, C.1, C.2, and uplink signals according to Annex G.0, G.1, G.2, G.3.0.
4. The UL Reference Measurement channels are set according to Table 6.5.1.4.1-1.
5. Propagation conditions are set according to Annex B.0 -
6. Ensure the UE is in state RRC\_CONNECTED with generic procedure parameters Connectivity NR according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.5.1.4.3

#### 6.5.1.4.2 Test procedure

1. SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format [0\_1] for C\_RNTI to schedule the UL RMC according to Table 6.5.1.4.1-1. Since the UL has no payload and no loopback data to send the UE sends uplink MAC padding bits on the UL RMC.
2. Send continuously power control “up” commands to the UE until the UE transmits at PUMAX level. Allow at least 200ms for the UE to reach PUMAX level.
3. Measure the power spectrum distribution within two times or more range over the requirement for Occupied Bandwidth specification centring on the current carrier frequency. The characteristics of the filter shall be approximately Gaussian (typical spectrum analyser filter). Other methods to measure the power spectrum distribution are allowed. The measuring duration is one active uplink subframe.
4. Calculate the total power within the range of all frequencies measured in step 3 and save this value as “Total power”.
5. Sum up the power upward from the lower boundary of the measured frequency range in step 3 and seek the limit frequency point by which this sum becomes 0.5% of “Total power” and save this point as “Lower Frequency”.
6. Sum up the power downward from the upper boundary of the measured frequency range in step 3 and seek the limit frequency point by which this sum becomes 0.5% of “Total power” and save this point as “Upper Frequency”.
7. Calculate the difference “Upper Frequency” – “Lower Frequency” = “Occupied Bandwidth” between the two limit frequencies obtained in step 5 and step 6.

#### 6.5.1.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6.

#### 6.5.1.5 Test requirement

The measured Occupied Bandwidth shall not exceed values in Table 6.5.1.5-1.

**Table 6.5.1.5-1: Occupied channel bandwidth**

	Occupied channel bandwidth / NR Channel bandwidth											
	5 MHz	10 MHz	15 MHz	20 MHz	25 MHz	30 MHz	40 MHz	50 MHz	60 MHz	80 MHz	90 MHz	100 MHz
<b>Channel bandwidth (MHz)</b>	5	10	15	20	25	30	40	50	60	80	90	100

## 6.5.2 Out of band emission

The Out of band emissions are unwanted emissions immediately outside the assigned channel bandwidth resulting from the modulation process and non-linearity in the transmitter but excluding spurious emissions. This out of band emission limit is specified in terms of a spectrum emission mask and an adjacent channel leakage power ratio.

To improve measurement accuracy, sensitivity and efficiency, the resolution bandwidth may be smaller than the measurement bandwidth. When the resolution bandwidth is smaller than the measurement bandwidth, the result should be integrated over the measurement bandwidth in order to obtain the equivalent noise bandwidth of the measurement bandwidth.

### 6.5.2.1 General

FFS

### 6.5.2.2 Spectrum Emission Mask

The spectrum emission mask of the UE applies to frequencies ( $\Delta f_{\text{OoB}}$ ) starting from the  $\pm$  edge of the assigned NR channel bandwidth. For frequencies greater than ( $\Delta f_{\text{OoB}}$ ) the spurious requirements in subclause 6.5.3 are applicable.

NOTE: For measurement conditions at the edge of each frequency range, the lowest frequency of the measurement position in each frequency range should be set at the lowest boundary of the frequency range plus MBW/2. The highest frequency of the measurement position in each frequency range should be set at the highest boundary of the frequency range minus MBW/2. MBW denotes the measurement bandwidth defined for the protected band.

Editor's note: This clause is incomplete. The following aspects are either missing or not yet determined:

- SA message contents in TS 38.508-1[5] subclause 4.6 is FFS

#### 6.5.2.2.1 Test purpose

To verify that the power of any UE emission shall not exceed specified level for the specified channel bandwidth.

#### 6.5.2.2.2 Test applicability

This test case applies to all types of NR UE release 15 and forward.

#### 6.5.2.2.3 Minimum conformance requirements

The power of any UE emission shall not exceed the levels specified in Table 6.5.2.2.3-1 for the specified channel bandwidth.

**Table 6.5.2.2.3-1: NR General spectrum emission mask**

Spectrum emission limit (dBm) / Channel bandwidth													
$\Delta f_{\text{sub}} \text{ (MHz)}$	5 MHz	10 MHz	15 MHz	20 MHz	25 MHz	30 MHz	40 MHz	50 MHz	60 MHz	80 MHz	90 MHz	100 MHz	Measurement bandwidth
$\pm 0-1$	-13	-13	-13	-13	-13	-13	-13						1 % channel bandwidth
$\pm 0-1$	-15	-18	-20	-21	-22	-23	-24	-24	-24	-24	-24	-24	30 kHz
$\pm 1-5$	-10	-10	-10	-10	-10	-10	-10	-10	-10	-10	-10	-10	1 MHz
$\pm 5-6$	-13	-13	-13	-13	-13	-13	-13	-13	-13	-13	-13	-13	
$\pm 6-10$	-25												
$\pm 10-15$		-25											
$\pm 15-20$			-25										
$\pm 20-25$				-25									
$\pm 25-30$					-25								
$\pm 30-35$						-25							
$\pm 35-40$													
$\pm 40-45$							-25						
$\pm 45-50$													
$\pm 50-55$								-25					
$\pm 55-60$													
$\pm 60-65$									-25				
$\pm 65-80$													
$\pm 80-90$										-25			
$\pm 90-95$											-25		
$\pm 95-100$													
$\pm 100-105$												-25	

The normative reference for this requirement is TS 38.101-1 [2] clause 6.5.2.2

#### 6.5.2.2.4 Test description

##### 6.5.2.2.4.1 Initial conditions

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and sub-carrier spacing based on NR operating bands specified in table 5.3.5-1. All of these configurations shall be tested with applicable test parameters for each combination of channel bandwidth and sub-carrier spacing, are shown in table

6.5.2.2.4.1-1. The details of the uplink reference measurement channels (RMCs) are specified in Annexes A.2. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2

**Table 6.5.2.2.4.1-1: Test Configuration Table**

Default Conditions						
Test Environment as specified in TS 38.508-1 [5] subclause 4.1				Normal		
Test Frequencies as specified in TS 38.508-1 [5] subclause 4.3.1				Low range, High range		
Test Channel Bandwidths as specified in TS 38.508-1 [5] subclause 4.3.1				Lowest, Highest		
Test SCS as specified in Table 5.3.5-1				Lowest and Highest		
Test Parameters for Channel Bandwidths						
Test ID	Freq	ChBw	SCS	Downlink Configuration	Uplink Configuration	
		Default	Default	N/A for Spectrum Emission Mask test case	Modulation (NOTE 2)	RB allocation (NOTE 1)
1	Low				DFT-s-OFDM PI/2 BPSK	Outer_1RB_Left
2	High				DFT-s-OFDM PI/2 BPSK	Outer_1RB_Right
3	Default				DFT-s-OFDM PI/2 BPSK	Outer_Full
4	Low				DFT-s-OFDM QPSK	Outer_1RB_Left
5	High				DFT-s-OFDM QPSK	Outer_1RB_Right
6	Default				DFT-s-OFDM QPSK	Outer_Full
7	Low				DFT-s-OFDM 16 QAM	Outer_1RB_Left
8	High				DFT-s-OFDM 16 QAM	Outer_1RB_Right
9	Default				DFT-s-OFDM 16 QAM	Outer_Full
10	Default				DFT-s-OFDM 64 QAM	Outer_Full
11	Default				DFT-s-OFDM 256 QAM	Outer_Full
12	Low				CP-OFDM QPSK	Outer_1RB_Left
13	High				CP-OFDM QPSK	Outer_1RB_Right
14	Default				CP-OFDM QPSK	Outer_Full
15	Low				CP-OFDM 16 QAM	Outer_1RB_Left
16	High				CP-OFDM 16 QAM	Outer_1RB_Right
17	Default				CP-OFDM 16 QAM	Outer_Full
18	Default				CP-OFDM 64 QAM	Outer_Full
19	Default			CP-OFDM 256 QAM	Outer_Full	
NOTE 1: The specific configuration of each RF allocation is defined in Table 6.1-1.						
NOTE 2: DFT-s-OFDM PI/2 BPSK test applies only for UEs which supports half Pi BPSK in FR1.						

1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, Figure A.3.1.1.1 for TE diagram and section A.3.2.1 for UE diagram.
2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
3. Downlink signals are initially set up according to Annex C.0, C.1, C.2 , and uplink signals according to Annex G.0, G.1, G.2, G.3.0.
4. The UL Reference Measurement channels are set according to Table 6.5.2.2.4.1-1.

5. Propagation conditions are set according to Annex B.0.
6. Ensure the UE is in state RRC\_CONNECTED with generic procedure parameters Connectivity NR according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.5.2.2.4.3

#### 6.5.2.2.4.2 Test procedure

1. SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format [0\_1] for C\_RNTI to schedule the UL RMC according to Table 6.5.2.2.1.4.1-1. Since the UL has no payload and no loopback data to send the UE sends uplink MAC padding bits on the UL RMC.
2. Send continuously power control “up” commands to the UE until the UE transmits at PUMAX level. Allow at least 200ms for the UE to reach PUMAX level.
3. Measure the mean power of the UE in the channel bandwidth of the radio access mode according to the test configuration, which shall meet the requirements described in Table 6.2.1.5-1 for 6.2.2.5-1. The period of the measurement shall be at least the continuous duration of one active sub-frame (1ms) and in the uplink symbols. For TDD slots with transient periods are not under test.
4. Measure the power of the transmitted signal with a measurement filter of bandwidths according to table 6.5.2.2.5-1. The centre frequency of the filter shall be stepped in continuous steps according to the same table. The measured power shall be recorded for each step. The measurement period shall capture the active TSs.

NOTE 1: When switching to DFT-s-OFDM waveform, as specified in the test configuration table 6.5.2.2.4.1-1, send an NR RRCReconfiguration message according to TS 38.508-1 [5] clause 4.6.3 Table 4.6.3-89 PUSCH-Config without CP-OFDM condition. When switching to CP-OFDM waveform, send an NR RRCReconfiguration message with CP-OFDM condition.

#### 6.5.2.2.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6.

#### 6.5.2.2.5 Test requirement

The measured UE mean power in the channel bandwidth, derived in step 3, shall fulfil requirements in Tables 6.2.1.5-1 or 6.2.2.5-1 as appropriate, and the power of any UE emission shall fulfil requirements in Table 6.5.2.2.5-1.

Table 6.5.2.2.5-1: NR General spectrum emission mask

Spectrum emission limit (dBm) / Channel bandwidth													
$\Delta f_{OOB}$ (MHz)	5 MHz	10 MHz	15 MHz	20 MHz	25 MHz	30 MHz	40 MHz	50 MHz	60 MHz	80 MHz	90 MHz	100 MHz	Measurement bandwidth
$\pm 0-1$	-13 + TT						1 % channel bandwidth						
$\pm 0-1$	-15 + TT	-18 + TT	-20 + TT	-21 + TT	-22 + TT	-23 + TT	-24 + TT	30 kHz					
$\pm 1-5$	-10 + TT	1 MHz											
$\pm 5-6$	-13 + TT												
$\pm 6-10$	-25 + TT	-13 + TT											
$\pm 10-15$		-25 + TT	-13 + TT										
$\pm 15-20$			-25 + TT	-13 + TT									
$\pm 20-25$				-25 + TT	-13 + TT								
$\pm 25-30$					-25 + TT	-13 + TT							
$\pm 30-35$						-25 + TT	-13 + TT						
$\pm 35-40$							-25 + TT	-13 + TT					
$\pm 40-45$								-25 + TT	-13 + TT	-13 + TT	-13 + TT	-13 + TT	
$\pm 45-50$									-13 + TT	-13 + TT	-13 + TT	-13 + TT	
$\pm 50-55$								-25 + TT	-13 + TT	-13 + TT	-13 + TT	-13 + TT	
$\pm 55-60$									-25 + TT	-13 + TT	-13 + TT	-13 + TT	
$\pm 60-65$										-25 + TT	-13 + TT	-13 + TT	
$\pm 65-80$											-25 + TT	-13 + TT	
$\pm 80-90$										-25 + TT	-13 + TT	-13 + TT	
$\pm 90-95$											-25 + TT	-13 + TT	
$\pm 95-100$												-13 + TT	
$\pm 100-105$												-25 + TT	

Note 1: The first and last measurement position with a 30 kHz filter is at  $\Delta f_{OOB}$  equals to 0.015 MHz and 0.985 MHz.  
 Note 2: At the boundary of spectrum emission limit, the first and last measurement position with a 1 MHz filter is the inside of +0.5MHz and -0.5MHz, respectively.  
 Note 3: The measurements are to be performed above the upper edge of the channel and below the lower edge of the channel.  
 Note 4: TT = 1.5 dB for  $f \leq 3\text{GHz}$ , TT = 1.8 dB for  $3\text{GHz} < f \leq 4.2\text{GHz}$ , TT = 1.8 dB for  $4.2\text{GHz} < f \leq 6.0\text{GHz}$ .

NOTE: As a general rule, the resolution bandwidth of the measuring equipment should be equal to the measurement bandwidth. However, to improve measurement accuracy, sensitivity and efficiency, the resolution bandwidth may be smaller than the measurement bandwidth. When the resolution bandwidth is smaller than the measurement bandwidth, the result should be integrated over the measurement bandwidth in order to obtain the equivalent noise bandwidth of the measurement bandwidth.

### 6.5.2.3 Additional spectrum emission mask

Editor’s note: The following aspects are either missing or not yet determined:

- Initial condition is not complete.
- SA Message contents in TS 38.508-1[5] subclause 4.6 are not complete.
- SA Generic procedures with condition NR in TS 38.508-1 [5] is FFS.

- Test tolerance is not complete.

#### 6.5.2.3.1 Test purpose

To verify that the power of any UE emission shall not exceed specified level for the specified channel bandwidth under the deployment scenarios where additional requirements are specified.

#### 6.5.2.3.2 Test applicability

This test case applies to all types of NR UE release 15 and forward.

#### 6.5.2.3.3 Minimum conformance requirements

##### 6.5.2.3.3.1 Minimum requirement (network signalled value "[NS\_35]")

Additional spectrum emission requirements are signalled by the network to indicate that the UE shall meet an additional requirement for a specific deployment scenario as part of the cell handover/broadcast message.

When "[NS\_35]" is indicated in the cell, the power of any UE emission shall not exceed the levels specified in Table 6.5.2.2.3.3.1-1.

**Table 6.5.2.2.3.3.1-1: Additional requirements**

$\Delta f_{\text{OoB}}$ (MHz)	5 MHz	10 MHz	15 MHz	20 MHz	Measurement bandwidth (unless otherwise stated)
$\pm 0-0.1$	-15	-18	-20	-21	30 kHz
$\pm 0.1-6$	-13	-13	-13	-13	100 kHz
$\pm 6-10$	-25 <sup>1</sup>	-13	-13	-13	100 kHz
$\pm 10-15$		-25 <sup>1</sup>	-13	-13	100 kHz
$\pm 15-20$			-25 <sup>1</sup>	-13	100 kHz
$\pm 20-25$				-25	1 MHz
NOTE 1: The measurement bandwidth shall be 1 MHz					

NOTE: As a general rule, the resolution bandwidth of the measuring equipment should be equal to the measurement bandwidth. However, to improve measurement accuracy, sensitivity and efficiency, the resolution bandwidth may be smaller than the measurement bandwidth. When the resolution bandwidth is smaller than the measurement bandwidth, the result should be integrated over the measurement bandwidth in order to obtain the equivalent noise bandwidth of the measurement bandwidth.

The normative reference for this requirement is TS 38.101-1 [2] clause 6.5.2.3.1-1.

#### 6.5.2.3.4 Test description

##### 6.5.2.3.4.1 Initial conditions

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and sub-carrier spacing based on NR operating bands specified in table 5.3.5-1. All of these configurations shall be tested with applicable test parameters for each combination of test channel bandwidth and sub-carrier spacing, and are shown in table 6.2.3.4.1-2 for "[NS-35]". The details of the uplink reference measurement channels (RMCs) are specified in Annexes [TBD]. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, Figure A.3.1.1.1 for TE diagram and section A.3.2.1 for UE diagram..
2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3..
3. Downlink signals are initially set up according to Annex C.0, C.1, C.2 and uplink signals according Annex G.0, G.1, G.2, G.3.0..

4. The UL Reference Measurement channels are set according to the applicable table from Table 6.2.4.3.1-1 to Table 6.2.4.3.1-2.
5. Propagation conditions are set according to Annex B.0.
6. Ensure the UE is in state RRC\_CONNECTED with generic procedure parameters Connectivity (FFS), DC bearer (FFS) according to TS 38.508-1 [5] clause [TBD]. Message contents are defined in clause 6.2.3.4.3.

#### 6.5.2.3.4.2 Test procedure

1. SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format [0\_1] for C\_RNTI to schedule the UL RMC according to the applicable table from table 6.2.4.3.1-1 to table 6.2.4.3.1-2.1. Since the UE has no payload data to send, the UE transmits uplink MAC padding bits on the UL RMC.
2. Send continuously uplink power control "up" commands in the uplink scheduling information to the UE. Allow at least 200ms starting from the first TPC command in this step for the UE to reach  $P_{UMAX}$  level.
3. Measure the mean power of the UE in the channel bandwidth of the radio access mode. The period of measurement shall be at least the continuous duration one sub-frame (1ms). For TDD slots with transient periods are not under test.

#### 6.5.2.3.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause [TBD], with the following exceptions for each network signalled value.

##### 6.5.2.3.4.3.1 Message contents exceptions (network signalled value "[NS\_35]")

For "[NS\_35]" see A-MPR test case in table 6.2.3.4.3.2-1.

#### 6.5.2.3.5 Test requirement

##### 6.5.2.3.5.1 Test requirements (network signalled value "[NS\_35]")

When "[NS\_35]" is indicated in the cell:

- the measured UE mean power in the channel bandwidth, derived in step 3, shall fulfil requirements in table 6.5.2.3.5.1-1 as appropriate for a NR UE.

and

- the power of any UE emission shall fulfil requirements in table [TBD], as applicable.

**Table 6.5.2.3.5.1-1: Additional requirements (network signalled value "[NS\_35]")**

$\Delta f_{00B}$ (MHz)	5 MHz	10 MHz	15 MHz	20 MHz	Measurement bandwidth (unless otherwise stated)
$\pm 0-0.1$	TBD	TBD	TBD	TBD	30 kHz
$\pm 0.1-6$	TBD	TBD	TBD	TBD	100 kHz
$\pm 6-10$	TBD <sup>1</sup>	TBD	TBD	TBD	100 kHz
$\pm 10-15$		TBD <sup>1</sup>	TBD	TBD	100 kHz
$\pm 15-20$			TBD <sup>1</sup>	TBD	100 kHz
$\pm 20-25$				TBD	1 MHz
NOTE 1: The measurement bandwidth shall be 1 MHz					

#### 6.5.2.4 Adjacent channel leakage ratio

Adjacent channel leakage power Ratio (ACLR) is the ratio of the filtered mean power centred on the assigned channel frequency to the filtered mean power centred on an adjacent channel frequency.

##### 6.5.2.4.1 NR ACLR

**Editor's note: This clause is incomplete. The following aspects are either missing or not yet determined:**

- SA message contents in TS 38.508-1[5] subclause 4.6 is FFS

- PC1 and PC4 requirements are missing in TS 38.101-1 [2].

#### 6.5.2.4.1.1 Test purpose

To verify that UE transmitter does not cause unacceptable interference to adjacent channels in terms of Adjacent Channel Leakage power Ratio (ACLR).

#### 6.5.2.4.1.2 Test applicability

This test case applies to all types of NR UE release 15 and forward.

#### 6.5.2.4.1.3 Minimum conformance requirements

NR adjacent channel leakage power ratio ( $NR_{ACLR}$ ) is the ratio of the filtered mean power centred on the assigned NR channel frequency to the filtered mean power centred on an adjacent NR channel frequency at nominal channel spacing.

The assigned NR channel power and adjacent NR channel power are measured with rectangular filters with measurement bandwidths specified in Table 6.5.2.4.1.3-1.

If the measured adjacent channel power is greater than  $[-50\text{dBm}]$  then the  $NR_{ACLR}$  shall be higher than the value specified in Table 6.5.2.4.1.3-2.

**Table 6.5.2.4.1.3-1: NR ACLR measurement bandwidth**

NR channel bandwidth / NR ACLR measurement bandwidth												
	5 MHz	10 MHz	15 MHz	20 MHz	25 MHz	30 MHz	40 MHz	50 MHz	60 MHz	80 MHz	90 MHz	100 MHz
<b>NR ACLR measurement bandwidth</b>	4.515	9.375	14.235	19.095	23.955	28.815	38.895	48.615	58.35	78.15	88.23	98.31

**Table 6.5.2.4.1.3-2: NR ACLR requirement**

	Power class 1	Power class 2	Power class 3
<b>NR ACLR</b>		31 dB	30 dB

The normative reference for this requirement is TS 38.101-1 [2] clause 6.5.2.4.1.

#### 6.5.2.4.1.4 Test description

##### 6.5.2.4.1.4.1 Initial conditions

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and sub-carrier spacing based on NR operating bands specified in table 5.3.5-1. All of these configurations shall be tested with applicable test parameters for each combination of channel bandwidth and sub-carrier spacing, are shown in table 6.5.2.4.1.4.1-1. The details of the uplink reference measurement channels (RMCs) are specified in Annexes A.2. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2

**Table 6.5.2.4.1.4.1-1: Test Configuration Table**

Default Conditions						
Test Environment as specified in TS 38.508-1 [5] subclause 4.1				NC, TL/VL, TL/VH, TH/VL, TH/VH		
Test Frequencies as specified in TS 38.508-1 [5] subclause 4.3.1				Low range, High range		
Test Channel Bandwidths as specified in TS 38.508-1 [5] subclause 4.3.1				Lowest, Highest		
Test SCS as specified in Table 5.3.5-1				Lowest and Highest		
Test Parameters for Channel Bandwidths						
Test ID	Freq	ChBw	SCS	Downlink Configuration	Uplink Configuration	
		Default	Default	N/A for Adjacent Channel Leakage Ratio test case	Modulation (NOTE 2)	RB allocation (NOTE 1)
1	Low				DFT-s-OFDM PI/2 BPSK	Outer_1RB_Left
2	High				DFT-s-OFDM PI/2 BPSK	Outer_1RB_Right
3	Default				DFT-s-OFDM PI/2 BPSK	Outer_Full
4	Low				DFT-s-OFDM QPSK	Outer_1RB_Left
5	High				DFT-s-OFDM QPSK	Outer_1RB_Right
6	Default				DFT-s-OFDM QPSK	Outer_Full
7	Default				DFT-s-OFDM 16 QAM	Inner_Full
8	Low				DFT-s-OFDM 16 QAM	Outer_1RB_Left
9	High				DFT-s-OFDM 16 QAM	Outer_1RB_Right
10	Default				DFT-s-OFDM 16 QAM	Outer_Full
11	Default				DFT-s-OFDM 64 QAM	Outer_Full
12	Default				DFT-s-OFDM 256 QAM	Outer_Full
13	Default				CP-OFDM QPSK	Inner_Full
14	Low				CP-OFDM QPSK	Outer_1RB_Left
15	High				CP-OFDM QPSK	Outer_1RB_Right
16	Default				CP-OFDM QPSK	Outer_Full
17	Default				CP-OFDM 16 QAM	Inner_Full
18	Low				CP-OFDM 16 QAM	Outer_1RB_Left
19	High				CP-OFDM 16 QAM	Outer_1RB_Right
20	Default				CP-OFDM 16 QAM	Outer_Full
21	Default				CP-OFDM 64 QAM	Outer_Full
22	Default				CP-OFDM 256 QAM	Outer_Full
NOTE 1: The specific configuration of each RF allocation is defined in Table 6.1-1.						
NOTE 2: DFT-s-OFDM PI/2 BPSK test applies only for UEs which supports half Pi BPSK in FR1.						

1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, Figure A.3.1.1.1 for TE diagram and section A.3.2.1 for UE diagram.
2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
3. Downlink signals are initially set up according to Annex C.0, C.1, C.2 , and uplink signals according to Annex G.0, G.1, G.2, G.3.0 .
4. The UL Reference Measurement channels are set according to Table 6.5.2.4.1.4.1-1.
5. Propagation conditions are set according to Annex B.0
6. Ensure the UE is in state RRC\_CONNECTED with generic procedure parameters Connectivity NR according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.5.2.4.1.4.3

**6.5.2.4.1.4.2 Test procedure**

1. SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format [0\_1] for C\_RNTI to schedule the UL RMC according to Table 6.5.2.2.1.4.1-1. Since the UL has no payload and no loopback data to send the UE sends uplink MAC padding bits on the UL RMC.

2. Send continuously power control “up” commands to the UE until the UE transmits at  $P_{UMAX}$  level. Allow at least 200ms for the UE to reach  $P_{UMAX}$  level.
3. Measure the mean power of the UE in the channel bandwidth of the radio access mode according to the test configuration, which shall meet the requirements described in Tables 6.2.2.5-1 and 6.2.2.5-5 as appropriate. The period of the measurement shall be at least the continuous duration of one active sub-frame (1ms) and in the uplink symbols. For TDD slots with transient periods are not under test.
4. Measure the rectangular filtered mean power for the assigned NR channel.
5. Measure the rectangular filtered mean power of the first NR adjacent channel on both lower and upper side of the assigned NR channel, respectively.
6. Calculate the ratios of the power between the values measured in step 4 over step 5 for lower and upper NR ACLR, respectively.

NOTE 1: When switching to DFT-s-OFDM waveform, as specified in the test configuration table 6.5.2.4.1.4.1-1, send an NR RRCReconfiguration message according to TS 38.508-1 [5] clause 4.6.3 Table 4.6.3-89 PUSCH-Config without CP-OFDM condition. When switching to CP-OFDM waveform, send an NR RRCReconfiguration message with CP--OFDM condition

#### 6.5.2.4.1.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6.

#### 6.5.2.4.1.5 Test requirement

The measured UE mean power in the channel bandwidth, derived in step 3, shall fulfil requirements in Tables 6.2.2.5-1 and 6.2.2.5-5 as appropriate, and if the measured adjacent channel power is greater than  $-50$  dBm then the measured NR ACLR, derived in step 6, shall be higher than the limits in Table 6.5.2.4.1.5-2.

**Table 6.5.2.4.1.5-1: NR ACLR measurement bandwidth**

NR channel bandwidth / NR ACLR measurement bandwidth												
	5 MHz	10 MHz	15 MHz	20 MHz	25 MHz	30 MHz	40 MHz	50 MHz	60 MHz	80 MHz	90 MHz	100 MHz
<b>NR ACLR measurement bandwidth</b>	4.515	9.375	14.235	19.095	23.955	28.815	38.895	48.615	58.35	78.15	88.23	98.31

**Table 6.5.2.4.1.5-2: NR ACLR requirement**

	Power class 1	Power class 2	Power class 3
<b>NR ACLR</b>		31 + TT dB	30 + TT dB
NOTE 1: TT = 0.8 dB for $f \leq 4.0\text{GHz}$ , TT = 1.0 dB for $4.0\text{GHz} < f \leq 6.0\text{GHz}$ ,			

#### 6.5.2.4.2 UTRA ACLR

FFS

### 6.5.3 Spurious emissions

Spurious emissions are emissions which are caused by unwanted transmitter effects such as harmonics emission, parasitic emissions, intermodulation products and frequency conversion products, but exclude out of band emissions unless otherwise stated. The spurious emission limits are specified in terms of general requirements in line with SM.329 and NR operating band requirement to address UE co-existence.

To improve measurement accuracy, sensitivity and efficiency, the resolution bandwidth may be smaller than the measurement bandwidth. When the resolution bandwidth is smaller than the measurement bandwidth, the result should be integrated over the measurement bandwidth in order to obtain the equivalent noise bandwidth of the measurement bandwidth.

NOTE: For measurement conditions at the edge of each frequency range, the lowest frequency of the measurement position in each frequency range should be set at the lowest boundary of the frequency range plus MBW/2. The highest frequency of the measurement position in each frequency range should be set at the highest boundary of the frequency range minus MBW/2. MBW denotes the measurement bandwidth defined for the protected band.

### 6.5.3.1 General spurious emissions

Editor's Note:

- SA message contents in TS 38.508-1[5] subclause 4.6 is FFS.

#### 6.5.3.1.1 Test purpose

To verify that UE transmitter does not cause unacceptable interference to other channels or other systems in terms of transmitter spurious emissions.

#### 6.5.3.1.2 Test applicability

This test case applies to all types of NR UE release 15 and forward.

#### 6.5.3.1.3 Minimum conformance requirements

This clause specifies the requirements for the specified NR band for Transmitter Spurious emissions requirement with frequency range as indicated in table 6.5.3.1.3-2.

Unless otherwise stated, the spurious emission limits apply for the frequency ranges that are more than  $\Delta f_{\text{OOB}}$  (MHz) in Table 6.5.3.1.3-1 from the edge of the channel bandwidth. The spurious emission limits in Table 6.5.3.1.3-2 apply for all transmitter band configurations ( $N_{\text{RB}}$ ) and channel bandwidths.

NOTE: For measurement conditions at the edge of each frequency range, the lowest frequency of the measurement position in each frequency range should be set at the lowest boundary of the frequency range plus MBW/2. The highest frequency of the measurement position in each frequency range should be set at the highest boundary of the frequency range minus MBW/2. MBW denotes the measurement bandwidth defined for the protected band.

**Table 6.5.3.1.3-1: Boundary between NR out of band and general spurious emission domain**

Channel bandwidth	OOB boundary $\Delta f_{\text{OOB}}$ (MHz)
$BW_{\text{Channel}}$	$BW_{\text{Channel}} + 5$

**Table 6.5.3.1.3-2: Requirement for general spurious emissions limits**

Frequency Range	Maximum Level	Measurement bandwidth	NOTE
$9 \text{ kHz} \leq f < 150 \text{ kHz}$	-36 dBm	1 kHz	
$150 \text{ kHz} \leq f < 30 \text{ MHz}$	-36 dBm	10 kHz	
$30 \text{ MHz} \leq f < 1000 \text{ MHz}$	-36 dBm	100 kHz	
$1 \text{ GHz} \leq f < 12.75 \text{ GHz}$	-30 dBm	1 MHz	
$12.75 \text{ GHz} \leq f < 5\text{th}$ harmonic of the upper frequency edge of the UL operating band in GHz	-30 dBm	1 MHz	1
$12.75 \text{ GHz} < f < 26 \text{ GHz}$	-30 dBm	1 MHz	2
NOTE 1: Applies for Band that the upper frequency edge of the UL Band more than 2.69 GHz			
NOTE 2: Applies for Band that the upper frequency edge of the UL Band more than 5.2 GHz			

The normative reference for this requirement is TS 38.101-1 [2] subclause 6.5.3.1

## 6.5.3.1.4 Test description

## 6.5.3.1.4.1 Initial conditions

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and sub-carrier spacing based on NR operating bands specified in Table 5.3.5-1. All of these configurations shall be tested with applicable test parameters for each combination of channel bandwidth and sub-carrier spacing, are shown in table 6.5.3.1.4.1-1. The details of the uplink reference measurement channels (RMCs) are specified in Annexes A.2. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

**Table 6.5.3.1.4.1-1: Test Configuration Table**

Initial Conditions			
Test Environment as specified in TS 38.508-1 [5] subclause 4.1.		Normal	
Test Frequencies as specified in TS 38.508-1 [5] subclause 4.3.1.		Low range, Mid range, High range	
Test Channel Bandwidths as specified in TS 38.508-1 [5] subclause 4.3.1.		Lowest, Mid, Highest	
Test SCS as specified in Table 5.3.5-1		Lowest, Highest	
Test Parameters			
Test ID	Downlink Configuration	Uplink Configuration	
	N/A for Spurious Emissions testing	Modulation	RB allocation (NOTE 1)
1		CP-OFDM QPSK	Outer_Full
2		CP-OFDM QPSK	Outer_1RB_Left
3		CP-OFDM QPSK	Outer_1RB_Right
NOTE 1: The specific configuration of each RB allocation is defined in Table 6.1-1 Common UL configuration			

1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex [A, Figure A.3.1.1.1 for TE diagram and section A.3.2.1 for UE diagram.
2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
3. Downlink signals are initially set up according to Annex C.0, C.1 and C.2, and uplink signals according to Annex G.0, G.1, G.2, G.3.0.
4. The UL Reference Measurement channels are set according to Table 6.5.3.1.4.1-1.
5. Propagation conditions are set according to Annex B.0.
6. Ensure the UE is in state RRC\_CONNECTED with generic procedure parameters Connectivity NR according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.5.3.1.4.3.

## 6.5.3.1.4.2 Test procedure

1. SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format [0\_1] for C\_RNTI to schedule the UL RMC according to Table 6.5.3.1.4.1-1. Since the UE has no payload data to send, the UE transmits uplink MAC padding bits on the UL RMC.
2. Send continuously uplink power control "up" commands in the uplink scheduling information to the UE until the UE transmits at  $P_{UMAX}$  level.
3. Measure the power of the transmitted signal with a measurement filter of bandwidths according to table 6.5.3.1.5-1. The centre frequency of the filter shall be stepped in contiguous steps according to table 6.5.3.1.5-1. The measured power shall be verified for each step. The measurement period shall capture the active time slots.

## 6.5.3.1.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause [TBD].

### 6.5.3.1.5 Test requirement

This clause specifies the requirements for the specified NR band for Transmitter Spurious emissions requirement with frequency range as indicated in table 6.5.3.1.5-1.

Unless otherwise stated, the spurious emission limits apply for the frequency ranges that are more than  $\Delta f_{\text{OOB}}$  (MHz) in Table 6.5.3.1.3-1 from the edge of the channel bandwidth. The spurious emission limits in Table 6.5.3.1.5-1 apply for all transmitter band configurations (NRB) and channel bandwidths.

The measured average power of spurious emission, derived in step 3, shall not exceed the described value in Table 6.5.3.1.5-1.

**Table 6.5.3.1.5-1: General spurious emissions test requirements**

Frequency Range	Maximum Level	Measurement bandwidth	NOTE
$9 \text{ kHz} \leq f < 150 \text{ kHz}$	-36 dBm	1 kHz	
$150 \text{ kHz} \leq f < 30 \text{ MHz}$	-36 dBm	10 kHz	
$30 \text{ MHz} \leq f < 1000 \text{ MHz}$	-36 dBm	100 kHz	
$1 \text{ GHz} \leq f < 12.75 \text{ GHz}$	-30 dBm	1 MHz	
$12.75 \text{ GHz} \leq f < 5\text{th}$ harmonic of the upper frequency edge of the UL operating band in GHz	-30 dBm	1 MHz	1
$12.75 \text{ GHz} < f < 26 \text{ GHz}$	-30 dBm	1 MHz	2
NOTE 1: Applies for Band that the upper frequency edge of the UL Band more than 2.69 GHz			
NOTE 2: Applies for Band that the upper frequency edge of the UL Band more than 5.2 GHz			

### 6.5.3.2 Spurious emission for UE co-existence

#### Editor's note

- SA message contents in TS 38.508-1[5] subclause 4.6 is FFS.

- Initial conditions is incomplete.

#### 6.5.3.2.1 Test purpose

To verify that UE transmitter does not cause unacceptable interference to co-existing systems for the specified bands which has specific requirements in terms of transmitter spurious emissions.

#### 6.5.3.2.2 Test applicability

This test case applies to all types of NR UE release 15 and forward.

#### 6.5.3.2.3 Minimum conformance requirements

This clause specifies the requirements for the specified NR band for coexistence with protected bands as indicated in Table 6.5.3.2.3-1.

NOTE: For measurement conditions at the edge of each frequency range, the lowest frequency of the measurement position in each frequency range should be set at the lowest boundary of the frequency range plus MBW/2. The highest frequency of the measurement position in each frequency range should be set at the highest boundary of the frequency range minus MBW/2. MBW denotes the measurement bandwidth defined for the protected band.

**Table 6.5.3.2.3-1: Requirements for spurious emissions for UE co-existence**

NR Band	Spurious emission for UE co-existence						
	Protected band	Frequency range (MHz)			Maximum Level (dBm)	MBW (MHz)	NOTE
n1, n84	E-UTRA Band 1, 5, 7, 8, 11, 18, 19, 20, 21, 22, 26, 27, 28, 31, 32, 38, 40, 41, 42, 43, 44, 45, 50, 51, 65, 67, 68, 69, 72, 73, 74, 75, 76	F <sub>DL_low</sub>	--	F <sub>DL_high</sub>	-50	1	
	E-UTRA Band 3, 34	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	15
	Frequency range	1880	-	1895	-40	1	15, 27
	Frequency range	1895	-	1915	-15.5	5	15, 26, 27
	Frequency range	1915	-	1920	+1.6	5	15, 26, 27
n2	E-UTRA Band 4, 5, 10, 12, 13, 14, 17, 24, 26, 27, 28, 29, 30, 41, 42, 48, 50, 51, 66, 70, 71, 74	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	
	E-UTRA Band 2, 25	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	15
	E-UTRA Band 43	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	2
n3, n80	E-UTRA Band 1, 5, 7, 8, 20, 26, 27, 28, 31, 32, 33, 34, 38, 39, 40, 41, 43, 44, 45, 50, 51, 65, 67, 68, 69, 72, 73, 74, 75, 76	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	
	E-UTRA Band 3	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	15
	E-UTRA Band 11, 18, 19, 21	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	13
	E-UTRA Band 22, 42	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	2
	Frequency range	1884.5	-	1915.7	-41	0.3	13
n5	E-UTRA Band 1, 2, 3, 4, 5, 7, 8, 10, 12, 13, 14, 17, 18, 19, 24, 25, 26, 28, 29, 30, 31, 34, 38, 40, 42, 43, 45, 48, 50, 51, 65, 66, 70, 71, 73, 74, 85	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50		
	E-UTRA Band 41, 52	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	2
	E-UTRA Band 11, 21	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	39
	Frequency range	1884.5	-	1915.7	-41	0.3	8, 39
n7	E-UTRA Band 1, 2, 3, 4, 5, 7, 8, 10, 12, 13, 14, 17, 20, 22, 26, 27, 28, 29, 30, 31, 32, 33, 34, 40, 42, 43, 50, 51, 65, 66, 67, 68, 72, 74, 75, 76	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	
	Frequency range	2570	-	2575	+1.6	5	15, 21, 26
	Frequency range	2575	-	2595	-15.5	5	15, 21, 26
	Frequency range	2595	-	2620	-40	1	15, 21
n8, n81	E-UTRA Band 1, 20, 28, 31, 32, 33, 34, 38, 39, 40, 45, 50, 51, 65, 67, 68, 69, 72, 73, 74, 75, 76	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	
	E-UTRA band 3, 7, 22, 41, 42, 43	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	2
	E-UTRA 8	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	15
	E-UTRA Band 11, 21	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	23
	Frequency range	1884.5	-	1915.7	-41	0.3	8
	Frequency range						
n12	E-UTRA Band 2, 5, 13, 14, 17, 24, 25, 26, 27, 30, 41, 48, 50, 51, 71, 74	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	
	E-UTRA Band 4, 10, 66, 70	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	2
	E-UTRA Band 12, 85	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	15
n20, n82	E-UTRA Band 1, 3, 7, 8, 22, 31, 32, 33, 34, 40, 43, 50, 51, 65, 67, 68, 72, 74, 75, 76	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	

	E-UTRA Band 20	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	15
	E-UTRA Band 38, 42, 69	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	2
	Frequency range	758	-	788	-50	1	
n25	E-UTRA Band 4, 5, 10, 12, 13, 14, 17, 24, 26, 27, 28, 29, 30, 41, 42, 48, 66, 70, 71, 85	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	
	E-UTRA Band 2	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	15
	E-UTRA Band 25	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	15
	E-UTRA Band 43	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	2
n28, n83	E-UTRA Band 1, 4, 10, 22, 42, 43, 50, 51, 65, 73, 74, 75, 76	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	2
	E-UTRA Band 1	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	19, 25
	E-UTRA Band 2, 3, 5, 7, 8, 18, 19, 20, 25, 26, 27, 31, 34, 38, 40, 41, 66, 72	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	
	E-UTRA Band 11, 21	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	19, 24
	Frequency range	470	-	694	-42	8	15, 35
	Frequency range	470	-	710	-26.2	6	34
	Frequency range	662	-	694	-26.2	6	15
	Frequency range	758	-	773	-32	1	15
	Frequency range	773	-	803	-50	1	
	Frequency range	1884.5	-	1915.7	-41	0.3	8, 19
n34	E-UTRA Band 1, 3, 7, 8, 11, 18, 19, 20, 21, 22, 26, 28, 31, 32, 33, 38, 39, 40, 41, 42, 43, 44, 45, 50, 51, 65, 67, 69, 72, 74, 75, 76	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	5
	Frequency range	1884.5	-	1915.7	-41	0.3	8
n38	E-UTRA Band 1, 2, 3, 4, 5, 8, 10, 12, 13, 14, 17, 20, 22, 27, 28, 29, 30, 31, 32, 33, 34, 40, 42, 43, 50, 51, 65, 66, 67, 68, 72, 74, 75, 76	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	
	Frequency range	2620	-	2645	-15.5	5	15, 22, 26
	Frequency range	2645	-	2690	-40	1	15, 22
n39	E-UTRA Band 1, 8, 22, 26, 34, 40, 41, 42, 44, 45, 50, 51, 74	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50		
	Frequency range	1805	-	1855	-40	1	33
	Frequency range	1855	-	1880	-15.5	5	15, 26, 33
n40	E-UTRA Band 1, 3, 5, 7, 8, 20, 22, 26, 27, 28, 31, 32, 33, 34, 38, 39, 41, 42, 43, 44, 45, 50, 51, 65, 67, 68, 69, 72, 74, 75, 76	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	
n41	E-UTRA Band 1, 2, 3, 4, 5, 8, 10, 12, 13, 14, 17, 24, 25, 26, 27, 28, 29, 30, 34, 39, 40, 42, 44, 45, 48, 50, 51, 65, 66, 70, 71, 73, 74	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	
	E-UTRA Band 9, 11, 18, 19, 21	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	30
	Frequency range	1884.5		1915.7	-41	0.3	8, 30
n51	E-UTRA Band 1, 2, 3, 4, 5, 7, 8, 12, 13, 17, 20, 26, 28, 29, 31, 34, 38, 39, 40, 41, 42, 43, 48, 65, 66, 67, 68	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	
n66, n86	E-UTRA Band 1, 2, 3, 4, 5, 7, 8, 12, 13, 17, 20, 26, 28, 29, 31, 34, 38, 39, 40, 41, 42, 43, 48, 65, 66, 67, 68, 70, 71	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	
	E-UTRA Band 42, 48	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	2
n70	E-UTRA Band 2, 4, 5, 10, 12, 13, 14, 17, 24, 25, 29, 30, 41, 48, 66, 70, 71	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	2

n71	E-UTRA Band 4, 5, 12, 13, 14, 17, 24, 26, 29, 30, 48, 66, 85	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	
	E-UTRA Band 2, 25, 41, 70	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	2
	E-UTRA Band 29	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-38	1	15
	E-UTRA Band 71	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	15
n77, n78	E-UTRA Band 1, 3, 5, 7, 8, 11, 18, 19, 20, 21, 26, 28, 34, 39, 40, 41, 65	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	
	Frequency range	1884.5	-	1915.7	-41	0.3	8
	NR Band n257	26500	-	29500	-5	100	
n79	E-UTRA Band 1, 3, 5, 8, 11, 18, 19, 21, 28, 34, 39, 40, 41, 42, 65	F <sub>DL_low</sub>	-	F <sub>DL_high</sub>	-50	1	
	Frequency range	1884.5	-	1915.7	-41	0.3	8
	NR Band n257	26500	-	29500	-5	100	
n80	See n3						
n81	See n8						
n82	See n20						
n83	See n28						
n84	See n1						
n86	See n66						

- NOTE 1:  $F_{DL\_low}$  and  $F_{DL\_high}$  refer to each frequency band specified in Table 5.2-1 or Table 5.5-1 in TS 36.101
- NOTE 2: As exceptions, measurements with a level up to the applicable requirements defined in Table 6.6.3.1-2 are permitted for each assigned NR carrier used in the measurement due to 2nd, 3rd, 4th [or 5th] harmonic spurious emissions. Due to spreading of the harmonic emission the exception is also allowed for the first 1 MHz frequency range immediately outside the harmonic emission on both sides of the harmonic emission. This results in an overall exception interval centred at the harmonic emission of  $(2\text{MHz} + N \times \text{LCRB} \times 180\text{kHz})$ , where N is 2, 3, 4, [5] for the 2nd, 3rd, 4th [or 5th] harmonic respectively. The exception is allowed if the measurement bandwidth (MBW) totally or partially overlaps the overall exception interval.
- NOTE 3: 15 kHz SCS is assumed when RB is mentioned in the note.
- NOTE 4: N/A
- NOTE 5: For non synchronised TDD operation to meet these requirements some restriction will be needed for either the operating band or protected band
- NOTE 6: N/A
- NOTE 7: Applicable when co-existence with PHS system operating in 1884.5-1919.6MHz.
- NOTE 8: Applicable when co-existence with PHS system operating in 1884.5 -1915.7MHz.
- NOTE 9: N/A
- NOTE 10: N/A
- NOTE 11: Whether the applicable frequency range should be 793-805MHz instead of 799-805MHz is TBD
- NOTE 12: The emissions measurement shall be sufficiently power averaged to ensure a standard deviation  $< 0.5$  dB
- NOTE 13: This requirement applies for 5, 10, 15 and 20 MHz NR channel bandwidth allocated within 1744.9MHz and 1784.9MHz.
- NOTE 14: N/A
- NOTE 15: These requirements also apply for the frequency ranges that are less than FOOB (MHz) in Table 6.6.3.1.3-1 and Table 6.6A.3.1.3-1 from the edge of the channel bandwidth.
- NOTE 16: N/A
- NOTE 17: N/A
- NOTE 18: N/A
- NOTE 19: Applicable when the assigned NR carrier is confined within 718 MHz and 748 MHz and when the channel bandwidth used is 5 or 10 MHz.
- NOTE 20: N/A
- NOTE 21: This requirement is applicable for any channel bandwidths within the range 2500 - 2570 MHz with the following restriction: for carriers of 15 MHz bandwidth when carrier centre frequency is within the range 2560.5 - 2562.5 MHz and for carriers of 20 MHz bandwidth when carrier centre frequency is within the range 2552 - 2560 MHz the requirement is applicable only for an uplink transmission bandwidth less than or equal to 54 RB.
- NOTE 22: This requirement is applicable for power class 3 UE for any channel bandwidths within the range 2570 - 2615 MHz with the following restriction: for carriers of 15 MHz bandwidth when carrier centre frequency is within the range 2605.5 - 2607.5 MHz and for carriers of 20 MHz bandwidth when carrier centre frequency is within the range 2597 - 2605 MHz the requirement is applicable only for an uplink transmission bandwidth less than or equal to 54 RB. For power class 2 UE for any channel bandwidths within the range 2570 - 2615 MHz, NS\_44 shall apply. For power class 2 or 3 UE for carriers with channel bandwidth overlapping the frequency range 2615 - 2620 MHz the requirement applies with the maximum output power configured to +19 dBm in the IE P-Max.
- NOTE 23: Void.
- NOTE 24: As exceptions, measurements with a level up to the applicable requirement of -38 dBm/MHz is permitted for each assigned NR carrier used in the measurement due to 2nd harmonic spurious emissions. An exception is allowed if there is at least one individual RB within the transmission bandwidth (see Figure 5.3.3-1) for which the 2nd harmonic totally or partially overlaps the measurement bandwidth (MBW).
- NOTE 25: As exceptions, measurements with a level up to the applicable requirement of -36 dBm/MHz is permitted for each assigned NR carrier used in the measurement due to 3rd harmonic spurious emissions. An exception is allowed if there is at least one individual RB within the transmission bandwidth (see Figure 5.3.3-1) for which the 3rd harmonic totally or partially overlaps the measurement bandwidth (MBW).
- NOTE 26: For these adjacent bands, the emission limit could imply risk of harmful interference to UE(s) operating in the protected operating band.
- NOTE 27: This requirement is applicable for any channel bandwidths within the range 1920 - 1980 MHz with the following restriction: for carriers of 15 MHz bandwidth when carrier centre frequency is within the range 1927.5 - 1929.5 MHz and for carriers of 20 MHz bandwidth when carrier centre frequency is within the range 1930 - 1938 MHz the requirement is applicable only for an uplink transmission bandwidth less than or equal to 54 RB.
- NOTE 28: N/A
- NOTE 29: N/A
- NOTE 30: This requirement applies when the NR carrier is confined within 2545-2575MHz or 2595-2645MHz and the channel bandwidth is 10 or 20 MHz

NOTE 31: N/A  
 NOTE 32: Void  
 NOTE 33: This requirement is only applicable for carriers with bandwidth confined within 1885-1920 MHz (requirement for carriers with at least 1RB confined within 1880 - 1885 MHz is not specified). This requirement applies for an uplink transmission bandwidth less than or equal to 54 RB for carriers of 15 MHz bandwidth when carrier centre frequency is within the range 1892.5 - 1894.5 MHz and for carriers of 20 MHz bandwidth when carrier centre frequency is within the range 1895 - 1903 MHz.  
 NOTE 34: This requirement is applicable for 5 and 10 MHz NR channel bandwidth allocated within 718-728MHz. For carriers of 10 MHz bandwidth, this requirement applies for an uplink transmission bandwidth less than or equal to 30 RB with Restart > 1 and Restart<48.  
 NOTE 35: This requirement is applicable in the case of a 10 MHz NR carrier confined within 703 MHz and 733 MHz, otherwise the requirement of -25 dBm with a measurement bandwidth of 8 MHz applies.  
 NOTE 36: This requirement is applicable for NR channel bandwidth allocated within 1920-1980 MHz.  
 NOTE 37: Applicable when the upper edge of the channel bandwidth frequency is greater than 1980MHz.  
 NOTE 38: Applicable when NS\_33 or NS\_34 is configured by the pre-configured radio parameters.  
 NOTE 39: Void.  
 NOTE 40: In the frequency range x-5950MHz, SE requirement of -30dBm/MHz should be applied; where x = max (5925, face + 15), where face is the channel centre frequency.  
 NOTE 41: Applicable for 1.4 MHz bandwidth, and when the lower edge of the assigned NR UL channel bandwidth frequency is greater than or equal to 1427 MHz + the channel BW assigned for 3, 5 and 10 MHz bandwidth, and when the lower edge of the assigned E-UTRA UL channel bandwidth frequency is greater than or equal to 1440 MHz for 15 and 20 MHz bandwidth.  
 NOTE 42: Applicable for 1.4 , 3 and 5 MHz bandwidth, and when the upper edge of the assigned NR UL channel bandwidth frequency is less than or equal to 1467 MHz assigned for 10 MHz bandwidth, and when the upper edge of the assigned NR UL channel bandwidth frequency is less than or equal to 1463.8 MHz for 15 MHz bandwidth, and when the upper edge of the assigned NR UL channel bandwidth frequency is less than or equal to 1460.8 MHz for 20 MHz bandwidth.

Note: To simplify Table 6.5.3.2.3-1, E-UTRA band numbers are listed for bands which are specified only for E-UTRA operation or both E-UTRA and NR operation. NR band numbers are listed for bands which are specified only for NR operation.

The normative reference for this requirement is TS 38.101-1 [2] subclause 6.5.3.2.

#### 6.5.3.2.4 Test description

##### 6.5.3.2.4.1 Initial conditions

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and sub-carrier spacing based on NR operating bands specified in Table 5.3.5-1. All of these configurations shall be tested with applicable test parameters for each combination of channel bandwidth and sub-carrier spacing, are shown in table 6.5.3.2.4.1-1. The details of the uplink reference measurement channels (RMCs) are specified in Annexes [A.2]. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

**Table 6.5.3.2.4.1-1: Test Configuration Table**

Initial Conditions			
Test Environment as specified in TS 38.508-1 [5] subclause 4.1.		Normal	
Test Frequencies as specified in TS 38.508-1 [5] subclause 4.3.1.		Low range, Mid range, High range	
Test Channel Bandwidths as specified in TS 38.508-1 [5] subclause 4.3.1.		Lowest, Mid, Highest	
Test SCS as specified in Table 5.3.5-1		Lowest supported SCS per test channel BW, Highest supported SCS per test channel BW	
Test Parameters			
Test ID	Downlink Configuration	Uplink Configuration	
		Modulation	RB allocation (NOTE 1)
1	N/A for Spurious Emissions testing	CP-OFDM QPSK	Outer_Full
2		CP-OFDM QPSK	Outer_1RB_Left
3		CP-OFDM QPSK	Outer_1RB_Right
NOTE 1: The specific configuration of each RB allocation is defined in Table 6.1-1 Common UL configuration.			
NOTE 2: The channel conditions for specific scenarios listed in Table 6.5.3.2.3-1 is FFS.			

1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex [A, Figure A.3.1.1.1 for TE diagram and section A.3.2.1 for UE diagram.
2. The parameter settings for the cell are set up according to TS 38.508-1 [6] subclause 4.4.3. .
3. Downlink signals are initially set up according to Annex C.0, C.1, C.2, and uplink signals according to Annex G.0, G.1, G.2, G.3.0.
4. The UL Reference Measurement channels are set according to Table 6.5.3.2.4.1-1.
5. Propagation conditions are set according to Annex B.0.
6. Ensure the UE is in state RRC\_CONNECTED with generic procedure parameters Connectivity NR according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.5.3.2.4.3.

#### 6.5.3.2.4.2 Test procedure

1. SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format [0\_1] for C\_RNTI to schedule the UL RMC according to Table 6.5.3.2.4.1-1. Since the UE has no payload data to send, the UE transmits uplink MAC padding bits on the UL RMC.
2. Send continuously uplink power control "up" commands in the uplink scheduling information to the UE until the UE transmits at PUMAX level.
3. Measure the power of the transmitted signal with a measurement filter of bandwidths according to table 6.5.3.2.3-1. The centre frequency of the filter shall be stepped in contiguous steps according to table 6.5.3.2.3-1. The measured power shall be verified for each step. The measurement period shall capture the active time slots.

#### 6.5.3.2.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6.

#### 6.5.3.2.5 Test requirement

Test requirements for Spurious Emissions UE Co-existence are the same as the minimum requirements and are not repeated in this section.

Unless otherwise stated, the spurious emission limits apply for the frequency ranges that are more than  $\Delta f_{\text{OoB}}$  (MHz) in Table 6.5.3.2.3-1 from the edge of the channel bandwidth. The spurious emission limits in Table 6.5.3.2.3-1 apply for all transmitter band configurations (NRB) and channel bandwidths.

The measured average power of spurious emission, derived in step [3], shall not exceed the described value in Table 6.5.3.2.3-1.

### 6.5.3.3 Additional spurious emissions

- Initial condition for NS value is incomplete. TP analysis is pending.

- SA message contents in TS 38.508-1[5] subclause 4.6 is FFS.

#### 6.5.3.3.3.1 Minimum conformance requirements (network signalled value "NS\_04")

When "NS 04" is indicated in the cell, the power of any UE emission shall not exceed the levels specified in Table 6.5.3.3.3.1-1. This requirement also applies for the frequency ranges that are less than  $F_{OOB}$  (MHz) in Table 6.5.3.1.3-1 from the edge of the channel bandwidth.

**Table 6.5.3.3.3.1-1: Additional requirements**

Frequency band (MHz)	Channel bandwidth / Spectrum emission limit (dBm)	Measurement bandwidth
	10, 15, 20, 40, 50, 60, 80, 90, 100 MHz	
$2495 \leq f < 2496$	-13	1% of Channel BW
$2490.5 \leq f < 2495$	-13	1 MHz
$0 < f < 2490.5$	-25	1 MHz

The normative reference for this requirement is TS 38.101-1 [2] subclause 6.5.3.3.1.

#### 6.5.3.3.3.2 Minimum conformance requirements (network signalled value "NS\_07")

When "NS\_07" is indicated in the cell, the power of any UE emission shall not exceed the levels specified in Table 6.5.3.3.3.2-1. This requirement also applies for the frequency ranges that are less than  $F_{OOB}$  (MHz) in Table 6.5.3.1.3-1 from the edge of the channel bandwidth.

**Table 6.5.3.3.3.2-1: Additional requirements**

Frequency band (MHz)	Channel bandwidth / Spectrum emission limit (dBm)	Measurement bandwidth	NOTE
	5, 10 MHz		
$470 \leq f \leq 710$	-26.2	6 MHz	1
NOTE 1: Applicable when the assigned E-UTRA carrier is confined within 718 MHz and 748 MHz and when the channel bandwidth used is 5 or 10 MHz.			

The normative reference for this requirement is TS 38.101-1 [2] subclause 6.5.3.3.2.

#### 6.5.3.3.3.3 Minimum conformance requirements (network signalled value "NS\_05")

When "NS\_05" is indicated in the cell, the power of any UE emission shall not exceed the levels specified in Table 6.5.3.3.3.3-1. This requirement also applies for the frequency ranges that are less than  $F_{OOB}$  (MHz) in Table 6.5.3.1.3-1 from the edge of the channel bandwidth.

**Table 6.5.3.3.3.3-1: Additional requirements**

Frequency band (MHz)	Channel bandwidth / Spectrum emission limit (dBm)	Measurement bandwidth	NOTE
	5, 10, 15, 20 MHz		
692-698	-26.2	6 MHz	

The normative reference for this requirement is TS 38.101-1 [2] subclause 6.5.3.3.3.

#### 6.5.3.3.3.4 Minimum conformance requirements (network signalled value "NS\_08")

When "NS\_08" is indicated in the cell, the power of any UE emission shall not exceed the levels specified in Table 6.5.3.3.3.4-1. This requirement also applies for the frequency ranges that are less than  $F_{OOB}$  (MHz) in Table 6.5.3.1.3-1 from the edge of the channel bandwidth.

**Table 6.5.3.3.4-1: Additional requirements**

Frequency band (MHz)	Channel bandwidth / Spectrum emission limit (dBm)				Measurement bandwidth	NOTE
	5 MHz	10 MHz	15 MHz	20MHz		
1884.5 ≤ f ≤ 1915.7	-41	-41	-41	-41	300 KHz	

The normative reference for this requirement is TS 38.101-1 [2] subclause 6.5.3.3.4.

**6.5.3.3.3.5 Minimum conformance requirements (network signalled value "NS\_09")**

When “NS\_09” is indicated in the cell, the power of any UE emission shall not exceed the levels specified in Table 6.5.3.3.3.5-1. This requirement also applies for the frequency ranges that are less than F<sub>OOB</sub> (MHz) in Table 6.5.3.1.3-1 from the edge of the channel bandwidth.

**Table 6.5.3.3.3.5-1: Additional requirement**

Frequency band (MHz)	Channel bandwidth / Spectrum emission limit (dBm)			Measurement bandwidth
	5 MHz	10 MHz	15 MHz	
860 ≤ f ≤ 890	-40	-40	-40	1 MHz

The normative reference for this requirement is TS 38.101-1 [2] subclause 6.5.3.3.5.

**6.5.3.3.4 Test description**

**6.5.3.3.4.1 Initial conditions**

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and sub-carrier spacing based on NR operating bands specified in Table 5.3.5-1. All these configurations shall be tested with applicable test parameters for each combination of channel bandwidth and sub-carrier spacing, are shown in Table 6.5.3.3.4.1-1 through Table 6.5.3.3.4.1-xx [TBD]. The details of the uplink reference measurement channels (RMCs) are specified in Annex A.2.2. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

**Table 6.5.3.3.4.1-1: Test Configuration Table (network signalled value "NS\_04")**

Initial Conditions			
Test Environment as specified in TS 38.508-1 [5] subclause 4.1		Normal	
Test Frequencies as specified in TS 38.508-1 [5] subclause 4.3.1		[TBD]	
Test Channel Bandwidths as specified in TS 38.508-1 [5] subclause 4.3.1		[TBD]	
Test SCS as specified in Table 5.3.5-1		[TBD]	
Test Parameters			
Test ID	Downlink Configuration	Uplink Configuration	
	N/A for Spurious Emissions testing	Modulation	RB allocation (NOTE 1)
1		FFS	FFS
2		FFS	FFS
3		FFS	FFS
NOTE 1: The specific configuration of each RB allocation is defined in Table 6.1-1 Common UL configuration			

1. Connect the SS to the UE to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, Figure A.3.1.1.1 for TE diagram and section A.3.2.1 for UE diagram.
2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
3. Downlink signals are initially set up according to Annex C.0, C.1, C.2, and uplink signals according to Annex G.0, G.1, G.2, G.3.0.
4. The UL Reference Measurement channels are set according to Table 6.5.3.3.4.1-1 through Table [TBD]
5. Propagation conditions are set according to Annex B.0.
6. Ensure the UE is in state RRC\_CONNECTED with generic procedure parameters Connectivity NR according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.5.3.3.4.3.

#### 6.5.3.3.4.2 Test procedure

- 1 SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format [0\_1] for C\_RNTI to schedule the UL RMC according to Table 6.5.3.3.4.1-1 through Table [TBD]. Since the UE has no payload data to send, the UE transmits uplink MAC padding bits on the UL RMC.
2. Send continuously uplink power control "up" commands in the uplink scheduling information to the UE until the UE transmits at P<sub>UMAX</sub> level.
3. Measure the power of the transmitted signal with a measurement filter of bandwidths according to table 6.5.3.3.5-1. The centre frequency of the filter shall be stepped in contiguous steps according to table 6.5.3.3.5-1 [TBD]. The measured power shall be verified for each step. The measurement period shall capture the active time slots.
4. Measure the power of the transmitted signal with a measurement filter of bandwidths according to Tables [TBD] as appropriate. The centre frequency of the filter shall be stepped in contiguous steps according to the same table. For NS\_07 measurements made in a bandwidth of 6.25kHz, measurement parameter settings defined in table [TBD] shall be used. The measured power shall be verified for each step. The measurement period shall capture the active time slots.

#### 6.5.3.3.4.3 Message contents

##### 6.6.3.3.4.3.1 Message contents exceptions (network signalled value "NS\_04")

1. Information element additionalSpectrumEmission is set to NS\_04. This can be set in the *SystemInformationBlockType2* as part of the cell broadcast message. This exception indicates that the UE shall meet the additional spurious emission requirement for a specific deployment scenario.

**Table 6.6.3.3.4.3.1-1: SystemInformationBlockType2: Additional spurious emissions test requirement for "NS\_04" for Power Class 2**

Derivation Path: TS 38.508-1 [5] clause 4.6.3, Table 4.6.3-1			
Information Element	Value/remark	Comment	Condition
additionalSpectrumEmission	4 (NS_04)		

#### 6.5.3.3.5 Test requirement

This clause specifies the requirements for the specified NR band for an additional spectrum emission requirement with protected bands as indicated from table 6.5.3.3.5-1.

- NOTE: For measurement conditions at the edge of each frequency range, the lowest frequency of the measurement position in each frequency range should be set at the lowest boundary of the frequency range plus MBW/2. The highest frequency of the measurement position in each frequency range should be set at the highest boundary of the frequency range minus MBW/2. MBW denotes the measurement bandwidth defined for the protected band.

#### 6.5.3.3.5.1 Test requirement (network signalled value "NS\_04")

When "NS 04" is indicated in the cell, the power of any UE emission shall not exceed the levels specified in Table 6.5.3.3.5.1-1. This requirement also applies for the frequency ranges that are less than  $F_{OOB}$  (MHz) in Table 6.5.3.3.5.1-1 from the edge of the channel bandwidth.

**Table 6.5.3.3.5.1-1: Additional requirements**

Frequency band (MHz)	Channel bandwidth / Spectrum emission limit (dBm)	Measurement bandwidth
	10, 15, 20, 40, 50, 60, 80, 90 100 MHz	
$2495 \leq f < 2496$	-13	1% of Channel BW
$2490.5 \leq f < 2495$	-13	1 MHz
$0 < f < 2490.5$	-25	1 MHz

## 6.5.4 Transmit intermodulation

**Editor's Note:**

- SA message contents in TS 38.508-1 [5] subclause 4.6 is FFS

- How to deal with TDD slots with transient periods is FFS

### 6.5.4.1 Test purpose

To verify that the UE transmit intermodulation does not exceed the described value in the test requirement.

The transmit intermodulation performance is a measure of the capability of the transmitter to inhibit the generation of signals in its non linear elements caused by presence of the wanted signal and an interfering signal reaching the transmitter via the antenna.

### 6.5.4.2 Test applicability

This test case applies to all types of NR UE release 15 and forward.

### 6.5.4.3 Minimum conformance requirements

UE transmit intermodulation is defined by the ratio of the mean power of the wanted signal to the mean power of the intermodulation product when an interfering CW signal is added at a level below the wanted signal at each transmitter antenna port with the other antenna port(s) if any terminated. Both the wanted signal power and the intermodulation product power are measured through NR rectangular filter with measurement bandwidth shown in Table 6.5.4.3-1.

The requirement of transmit intermodulation is specified in Table 6.5.4.3-1.

**Table 6.5.4.3-1: Transmit Intermodulation**

Wanted signal channel bandwidth	$BW_{Channel}$	
Interference signal frequency offset from channel centre	$BW_{Channel}$	$2 * BW_{Channel}$
Interference CW signal level	-40dBc	
Intermodulation product	< -29dBc	< -35dBc
Measurement bandwidth	The maximum transmission bandwidth configuration among the different SCSs for the channel BW as defined in Table 6.5.2.2.3-1	
Measurement offset from channel centre	$BW_{Channel}$ and $2 * BW_{Channel}$	$2 * BW_{Channel}$ and $4 * BW_{Channel}$

The normative reference for this requirement is TS 38.101-1 [2] clause 6.5.4.

#### 6.5.4.4 Test description

##### 6.5.4.4.1 Initial conditions

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and sub-carrier spacing based on NR operating bands specified in table 5.3.5-1. All of these configurations shall be tested with applicable test parameters for each combination of channel bandwidth and sub-carrier spacing, and are shown in table 6.5.4.4.1-1. The details of the uplink reference measurement channels (RMCs) are specified in Annexes A.2. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

**Table 6.5.4.4.1-1: Test Configuration Table**

Initial Conditions			
Test Environment as specified in TS 38.508-1 [5] subclause 4.1		Normal	
Test Frequencies as specified in TS 38.508-1 [5] subclause 4.3.1		Mid range	
Test Channel Bandwidths as specified in TS 38.508-1 [5] subclause 4.3.1		Mid, Highest	
Test SCS as specified in Table 5.3.5-1		Lowest, Highest	
Test Parameters			
Test ID	Downlink Configuration	Uplink Configuration	
	N/A for transmit intermodulation test case	Modulation	RB allocation (NOTE 1)
1		DFT-s-OFDM PI/2 BPSK	Inner Full
2		DFT-s-OFDM QPSK	Inner Full
NOTE 1: The specific configuration of each RB allocation is defined in Table 6.1-1.			

1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, in Figure [A.3.1.3] for TE diagram and section A.3.2.1 for UE diagram.
2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
3. Downlink signals are initially set up according to Annex C.0, C.1, C.2, and uplink signals according to Annex G.0, G.1, G.2, G.3.0.
4. The UL Reference Measurement channels are set according to Table 6.5.4.4.1-1.
5. Propagation conditions are set according to Annex B.0.
6. Ensure the UE is in State RRC\_CONNECTED with generic procedure parameters Connectivity NR according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 6.5.4.4.3.

##### 6.5.4.4.2 Test procedure

1. SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format [0\_1] for C\_RNTI to schedule the UL RMC according to Table 6.5.4.4.1-1. Since the UE has no payload and no loopback data to send the UE sends uplink MAC padding bits on the UL RMC.
2. Send continuously uplink power control "up" commands to the UE until the UE transmits at its  $P_{UMAX}$  level.
3. Measure the rectangular filtered mean power of the UE. [For TDD slots with transient periods are not under test for the wanted signal and for the intermodulation product.]
4. Set the interference signal frequency below the UL carrier frequency using the first offset in table 6.5.4.5-1.
5. Set the interference CW signal level according to table 6.5.4.5-1.
6. Search the intermodulation product signals below and above the UL carrier frequency, then measure the rectangular filtered mean power of transmitting intermodulation for both signals, and calculate the ratios with the power measured in step 3.
7. Set the interference signal frequency above the UL carrier frequency using the first offset in table 6.5.4.5-1.

8. Search the intermodulation product signals below and above the UL carrier frequency, then measure the rectangular filtered mean power of transmitting intermodulation for both signals, and calculate the ratios with the power measured in step 3.

9. Repeat the measurement using the second offset in table 6.5.4.5-1.

#### 6.5.4.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6.

#### 6.5.4.5 Test requirement

The ratio derived in step 6 and 8, shall not exceed the described value in table 6.5.4.5-1.

**Table 6.5.4.5-1: Transmit Intermodulation**

Wanted signal channel bandwidth	$BW_{\text{Channel}}$	
Interference signal frequency offset from channel centre	$BW_{\text{Channel}}$	$2 \cdot BW_{\text{Channel}}$
Interference CW signal level	-40dBc	
Intermodulation product	< -29dBc	< -35dBc
Measurement bandwidth	The maximum transmission bandwidth configuration among the different SCSs for the channel BW as defined in Table 6.5.2.2.3-1	
Measurement offset from channel centre	$BW_{\text{Channel}}$ and $2 \cdot BW_{\text{Channel}}$	$2 \cdot BW_{\text{Channel}}$ and $4 \cdot BW_{\text{Channel}}$

## 7 Receiver characteristics

TBD

### 7.1 General

Unless otherwise stated the receiver characteristics are specified at the antenna connector(s) of the UE. For UE(s) with an integral antenna only, a reference antenna(s) with a gain of 0 dBi is assumed for each antenna port(s). UE with an integral antenna(s) may be taken into account by converting these power levels into field strength requirements, assuming a 0 dBi gain antenna. For UEs with more than one receiver antenna connector, identical interfering signals shall be applied to each receiver antenna port if more than one of these is used (diversity).

The levels of the test signal applied to each of the antenna connectors shall be as defined in the respective sections below.

With the exception of subclause 7.3, the requirements shall be verified with the network signalling value NS\_01 configured (Table 6.2.3.3-1).

All the parameters in clause 7 are defined using the UL reference measurement channels specified in Annexes A.2.2 and A.2.3, the DL reference measurement channels specified in Annex A.3.2 and using the set-up specified in Annex C.3.1.

### 7.2 Diversity characteristics

The UE is required to be equipped with a minimum of two Rx antenna ports in all operating bands except for the bands n7, n38, n41, n77, n78, n79 where the UE is required to be equipped with a minimum of four Rx antenna ports. This requirement applies when the band is used as a standalone band or as part of a band combination.

For the requirements in Section 7, the UE shall be verified with two Rx antenna ports in all supported frequency bands. Additional requirements for four Rx ports shall be verified in operating bands where the UE is equipped with four Rx antenna ports.

The above rules apply for all subclasses with the exception of subclause 7.9.

## 7.3 Reference sensitivity

### 7.3.1 General

FFS

### 7.3.2 Reference sensitivity power level

**Editor's note:**

- SA message contents in TS 38.508-1[5] subclause 4.6 is FFS.

#### 7.3.2.1 Test purpose

The test purpose is to verify the ability of the UE to receive data with a given average throughput for a specified reference measurement channel, under conditions of low signal level, ideal propagation and no added noise.

#### 7.3.2.2 Test applicability

This test case applies to all types of NR UE release 15 and forward

#### 7.3.2.3 Minimum conformance requirements

The reference sensitivity power level REFSENS is the minimum mean power applied to each one of the UE antenna ports for all UE categories, at which the throughput shall meet or exceed the requirements for the specified reference measurement channel.

The normative reference for this requirement is TS 38.101-1 [2] clause 7.3.2.

The throughput shall be  $\geq 95\%$  of the maximum throughput of the reference measurement channels as specified in Annexes A.2.2, A.2.3 and A.3.2 (with one sided dynamic OCNG Pattern OP.1 FDD/TDD for the DL-signal as described in Annex A.5.1.1/A.5.2.1) with parameters specified in Table 7.3.2.3-1 and Table 7.3.2.3-2.

**Table 7.3.2.3-1: Two antenna port reference sensitivity QPSK  $P_{\text{REFSENS}}$**

Operating band / SCS / Channel bandwidth / Duplex-mode														
Operating Band	SCS kHz	5 MHz (dBm)	10 MHz (dBm)	15 MHz (dBm)	20 MHz (dBm)	25 MHz (dBm)	30 MHz (dBm)	40 MHz (dBm)	50 MHz (dBm)	60 MHz (dBm)	80 MHz (dBm)	90 MHz (dBm)	100 MHz (dBm)	Duplex Mode
n1	15	-100.0	-96.8	-95.0	-93.8									FDD
	30		-97.1	-95.1	-94.0									
	60		-97.5	-95.4	-94.2									
n2	15	-98.0	-94.8	-93.0	-91.8									FDD
	30		-95.1	-93.1	-92.0									
	60		-95.5	-93.4	-92.2									
n3	15	-97.0	-93.8	-92.0	-90.8	-89.7	-88.9							FDD
	30		-94.1	-92.1	-91.0	-89.8	-89.0							
	60		-94.5	-92.4	-91.2	-90.0	-89.1							
n5	15	-98.0	-94.8	-93.0	-90.8									FDD
	30		-95.1	-93.1	-91.0									
	60													
n7 <sup>1</sup>	15	-98.0	-94.8	-93.0	-91.8									FDD
	30		-95.1	-93.1	-92.0									
	60		-95.5	-93.4	-92.2									
n8	15	-97.0	-93.8	-92.0	-90.0									FDD
	30		-94.1	-92.1	-90.2									
	60													
n12	15	-97.0	-93.8	-92.0										FDD
	30		-94.1	-92.1										
	60													
n20	15	-97.0	-93.8	-91.0	-89.8									FDD
	30		-94.1	-91.1	-90.0									
	60													
n25	15	-96.5	-93.3	-91.5	-90.3									FDD
	30		-93.6	-91.6	-90.5									
	60		-94.0	-91.9	-90.7									
n28	15	-98.5	-95.5	-93.5	-90.8									FDD
	30		-95.6	-93.6	-91.0									
	60													
n34	15	-100.0	-96.8	-95.0										TDD
	30		-97.1	-95.1										
	60		-97.5	-95.4										
n38	15	-100.0	-96.8	-95.0	-93.8									TDD
	30		-97.1	-95.1	-94.0									
	60		-97.5	-95.4	-94.2									
n39	15	-100.0	-96.8	-95.0	-93.8	-92.7	-91.9	-90.6						TDD
	30		-97.1	-95.1	-94.0	-92.8	-92.0	-90.7						
	60		-97.5	-95.4	-94.2	-93.0	-92.1	-90.9						
n40	15	-100.0	-96.8	-95.0	-93.8	-92.7	-91.9	-90.6	-89.6					TDD
	30		-97.1	-95.1	-94.0	-92.8	-92.0	-90.7	-89.7	-88.9	-87.6			
	60		-97.5	-95.4	-94.2	-93.0	-92.1	-90.9	-89.8	-89.1	-87.6			
n41 <sup>1</sup>	15		-94.8	-93.0	-91.8			-88.6	-87.6					TDD
	30		-95.1	-93.1	-92.0			-88.7	-87.7	-86.9	-85.6	-85.1	-84.7	
	60		-95.5	-93.4	-92.2			-88.9	-87.8	-87.1	-85.6	-85.1	-84.7	
n51	15	-100.0												TDD
	30													
	60													
n66	15	-99.5	-96.3	-94.5	-93.3			-90.1						FDD
	30		-96.6	-94.6	-93.5			-90.2						

	60		-97.0	-94.9	-93.7			-90.4						
n70	15	-100.0	-96.8	-95.0	-93.8	-92.7								
	30		-97.1	-95.1	-94.0	-92.8								
	60		-97.5	-95.4	-94.2	-93.0								
n71	15	-97.2	-94.0	-91.6	-86.0									
	30		-94.3	-91.9	-87.4									
	60	-												
n77 <sup>1</sup>	15		-95.8	-94.0	-92.7			-89.6	-88.6					
	30		-96.1	-94.1	-92.9			-89.7	-88.7	-87.9	-86.6	-86.1	-85.6	
	60	-	-96.5	-94.4	-93.1			-89.9	-88.8	-88.0	-86.7	-86.2	-85.7	
n77 (3.8 to 4.2 GHz) <sup>1</sup>	15		-95.3	-93.5	-92.2			-89.1	-88.1					
	30		-95.6	-93.6	-92.4			-89.2	-88.2	-87.4	-86.1	-85.6	-85.1	
	60	-	-96.0	-93.9	-92.6			-89.4	-88.3	-87.5	-86.2	-85.7	-85.2	
n78 <sup>1</sup>	15		-95.8	-94.0	-92.7			-89.6	-88.6					
	30		-96.1	-94.1	-92.9			-89.7	-88.7	-87.9	-86.6	-86.1	-85.6	
	60		-96.5	-94.4	-93.1			-89.9	-88.8	-88.0	-86.7	-86.2	-85.7	
n79 <sup>1</sup>	15							-89.6	-88.6					
	30							-89.7	-88.7	-87.9	-86.6		-85.6	
	60							-89.9	-88.8	-88.0	-86.7		-85.7	

NOTE 1: Four Rx antenna ports shall be the baseline for this operating band

NOTE 2: The transmitter shall be set to  $P_{UMAX}$  as defined in subclause 6.2.4

The reference receive sensitivity (REFSENS) requirement specified in Table 7.3.2.3-1 and Table 7.3.2.3-2 shall be met for an uplink transmission using QPSK DFT-s-OFDM waveforms and for uplink transmission bandwidth less than or equal to that specified in Table 7.3.2.3-1.

**Table 7.3.2.3-3: Uplink configuration for reference sensitivity**

Operating Band	SCS kHz	5 MHz	10 MHz	15 MHz	20 MHz	25 MHz	30 MHz	40 MHz	50 MHz	60 MHz	80 MHz	90 MHz	100 MHz	Duplex Mode
n1	15	25	50 <sup>1</sup>	75 <sup>1</sup>	100 <sup>1</sup>									FDD
	30		24	36 <sup>1</sup>	50 <sup>1</sup>									
	60		10 <sup>1</sup>	18	24									
n2	15	25	50 <sup>1</sup>	50 <sup>1</sup>	50 <sup>1</sup>									FDD
	30	10 <sup>1</sup>	24	24 <sup>1</sup>	24 <sup>1</sup>									
	60		10 <sup>1</sup>	10 <sup>1</sup>	10 <sup>1</sup>									
n3	15	25	50 <sup>1</sup>	50 <sup>1</sup>	50 <sup>1</sup>	50 <sup>1</sup>	50 <sup>1</sup>							FDD
	30		24	24 <sup>1</sup>	24 <sup>1</sup>	24 <sup>1</sup>	24 <sup>1</sup>							
	60		10 <sup>1</sup>	10 <sup>1</sup>	10 <sup>1</sup>	10 <sup>1</sup>	10 <sup>1</sup>							
n5	15	25	25 <sup>1</sup>	25 <sup>1</sup>	25 <sup>1</sup>									FDD
	30		10 <sup>1</sup>	10 <sup>1</sup>	10 <sup>1</sup>									
	60													
n7	15	25	50 <sup>1</sup>	75 <sup>1</sup>	75 <sup>1</sup>									FDD
	30		24	36 <sup>1</sup>	36 <sup>1</sup>									
	60		10 <sup>1</sup>	18	18 <sup>1</sup>									
n8	15	25	25 <sup>1</sup>	25 <sup>1</sup>	25 <sup>1</sup>									FDD
	30		10 <sup>1</sup>	10 <sup>1</sup>	10 <sup>1</sup>									
	60													
n12	15	20 <sup>1</sup>	20 <sup>1</sup>	20 <sup>1</sup>										FDD
	30		10 <sup>1</sup>	10 <sup>1</sup>										
	60													
n20	15	25	20 <sup>1</sup>	20 <sup>2</sup>	20 <sup>2</sup>									FDD
	30		10 <sup>1</sup>	10 <sup>2</sup>	10 <sup>2</sup>									
	60													
n25	15	25	50	50 <sup>1</sup>	50 <sup>1</sup>									FDD
	30		24	24 <sup>1</sup>	24 <sup>1</sup>									
	60		10	10 <sup>1</sup>	10 <sup>1</sup>									
n28	15	25	25 <sup>1</sup>	25 <sup>1</sup>	25 <sup>1</sup>									FDD
	30		10 <sup>1</sup>	10 <sup>1</sup>	10 <sup>1</sup>									
	60													
n34	15	25	50 <sup>1</sup>	75 <sup>1</sup>										
	30		24	36 <sup>1</sup>										
	60		10 <sup>1</sup>	18										
n38	15	25	50 <sup>1</sup>	75 <sup>1</sup>	100 <sup>1</sup>									TDD
	30		24	36 <sup>1</sup>	50 <sup>1</sup>									
	60		10 <sup>1</sup>	18	24									
n39	15	25	50 <sup>1</sup>	75 <sup>1</sup>	100 <sup>1</sup>	128 <sup>1</sup>	160	216						TDD
	30		24	36 <sup>1</sup>	50 <sup>1</sup>	64 <sup>1</sup>	75 <sup>1</sup>	100 <sup>1</sup>						
	60		10 <sup>1</sup>	18	24	30 <sup>1</sup>	36 <sup>1</sup>	50 <sup>1</sup>						
n40	15	25	50 <sup>1</sup>	75 <sup>1</sup>	100 <sup>1</sup>	128 <sup>1</sup>	160	216	270					TDD
	30		24	36 <sup>1</sup>	50 <sup>1</sup>	64 <sup>1</sup>	75 <sup>1</sup>	100 <sup>1</sup>	128 <sup>1</sup>	162	216 <sup>1</sup>			
	60		10 <sup>1</sup>	18	24	30 <sup>1</sup>	36 <sup>1</sup>	50 <sup>1</sup>	64 <sup>1</sup>	75 <sup>1</sup>	100 <sup>1</sup>			
n41	15		50 <sup>1</sup>	75 <sup>1</sup>	100 <sup>1</sup>			216	270					TDD
	30		24	36 <sup>1</sup>	50 <sup>1</sup>			100 <sup>1</sup>	128 <sup>1</sup>	162	216 <sup>1</sup>	243 <sup>1</sup>	270 <sup>1</sup>	
	60		10 <sup>1</sup>	18	24			50 <sup>1</sup>	64 <sup>1</sup>	75 <sup>1</sup>	100 <sup>1</sup>	120 <sup>1</sup>	135	
n51	15	25												TDD
	30													
	60													
n66	15	25	50 <sup>1</sup>	75 <sup>1</sup>	100 <sup>1</sup>			216						FDD
	30		24	36 <sup>1</sup>	50 <sup>1</sup>			100 <sup>1</sup>						
	60		10 <sup>1</sup>	18	24									

n70	15	25	50 <sup>1</sup>	75 <sup>1</sup>	NOTE 3	NOTE 3								FDD
	30		24	36 <sup>1</sup>	NOTE 3	NOTE 3								
	60		10 <sup>1</sup>	18	NOTE 3	NOTE 3								
n71	15	25	25 <sup>1</sup>	20 <sup>1</sup>	20 <sup>1</sup>									FDD
	30		12 <sup>1</sup>	10 <sup>1</sup>	10 <sup>1</sup>									
	60													
n77	15		50 <sup>1</sup>	75 <sup>1</sup>	100 <sup>1</sup>			216	270					TDD
	30		24	36 <sup>1</sup>	50 <sup>1</sup>			100 <sup>1</sup>	128 <sup>1</sup>	162	216 <sup>1</sup>	243 <sup>1</sup>	270 <sup>1</sup>	
	60	-	10 <sup>1</sup>	18	24			50 <sup>1</sup>	64 <sup>1</sup>	75 <sup>1</sup>	100 <sup>1</sup>	120 <sup>1</sup>	135	
n77 (3.8 to 4.2 GHz)	15		50 <sup>1</sup>	75 <sup>1</sup>	100 <sup>1</sup>			216	270					TDD
	30		24	38	51			100 <sup>1</sup>	128 <sup>1</sup>	162	216 <sup>1</sup>	243 <sup>1</sup>	270 <sup>1</sup>	
	60		10 <sup>1</sup>	18	24			50 <sup>1</sup>	64 <sup>1</sup>	75 <sup>1</sup>	100 <sup>1</sup>	120 <sup>1</sup>	135	
n78	15		50 <sup>1</sup>	75 <sup>1</sup>	100 <sup>1</sup>			216	270					TDD
	30		24	36 <sup>1</sup>	50 <sup>1</sup>			100 <sup>1</sup>	128 <sup>1</sup>	162	216 <sup>1</sup>	243 <sup>1</sup>	270 <sup>1</sup>	
	60		10 <sup>1</sup>	18	24			50 <sup>1</sup>	64 <sup>1</sup>	75 <sup>1</sup>	100 <sup>1</sup>	120 <sup>1</sup>	135	
n79	15							216	270					TDD
	30							100 <sup>1</sup>	128 <sup>1</sup>	162	216 <sup>1</sup>		270 <sup>1</sup>	
	60							50 <sup>1</sup>	64 <sup>1</sup>	75 <sup>1</sup>	100 <sup>1</sup>		135	
<p>NOTE 1: <sup>1</sup> Refers to the UL resource blocks shall be located as close as possible to the downlink operating band but confined within the transmission bandwidth configuration for the channel bandwidth (Table 5.3.2-1).</p> <p>NOTE 2: <sup>2</sup> refers to Band 20; for 15kHz SCS, in the case of 15MHz channel bandwidth, the UL resource blocks shall be located at Restart 11 and in the case of 20MHz channel bandwidth, the UL resource blocks shall be located at Restart 16; for 30kHz SCS, in the case of 15MHz channel bandwidth, the UL resource blocks shall be located at Restart 6 and in the case of 20MHz channel bandwidth, the UL resource blocks shall be located at Restart 8; for 60kHz SCS, in the case of 15MHz channel bandwidth, the UL resource blocks shall be located at Restart 3 and in the case of 20MHz channel bandwidth, the UL resource blocks shall be located at Restart 4.</p> <p>NOTE 3: For DL channel bandwidths that do not have symmetric UL channel bandwidth, highest valid UL configuration with lowest duplex distance shall be used.</p>														

Unless given by Table 7.3.2.3-4, the minimum requirements specified in Tables 7.3.2.3-1 and 7.3.2.3-2 shall be verified with the network signalling value NS\_01 (Table 6.2.3.3-1) configured.

**Table 7.3.2.3-4: Network signalling value for reference sensitivity**

Operating band	Network Signalling value
n2	NS_03
n12	NS_06
n25	NS_03
n66	NS_03
n70	NS_03
n71	NS_35

For the UE which supports inter-band carrier aggregation, the minimum requirement for reference sensitivity in Table 7.3.2.3-1 shall be increased by the amount given in  $\Delta R_{IB,c}$  defined in subclause 7.3.3 for the applicable operating bands.

7.3.2.4 Test description

7.3.2.4.1 Initial conditions

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, and channel bandwidths based on NR operating bands specified in table Table 5.2-1. All of these configurations shall be tested with applicable test parameters for each channel bandwidth, and are shown in Table 7.3.2.4.1-1, Table 7.3.2.4.1-2, and Table 7.3.2.4.1-3

The details of the uplink reference measurement channels (RMCs) are specified in Annex A2.2. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

**Table 7.3.2.4.1-1: Test Configuration Table**

<b>Initial Conditions</b>				
Test Environment as specified in TS 38.508-1 [5] subclause 4.1		Normal, TL/VL, TL/VH, TH/VL, TH/VH		
Test Frequencies as specified in TS 38.508-1 [5] subclause 4.3.1		Low range, Mid range, High range		
Test Channel Bandwidths as specified in TS 38.508-1 [5] subclause 4.3.1		Lowest, Mid, Highest		
Test SCS as specified in Table 5.3.5-1		Lowest supported SCS per test channel BW		
<b>Test Parameters</b>				
Test ID	Downlink Configuration		Uplink Configuration	
	Modulation	RB allocation	Modulation	RB allocation
1	CP-OFDM QPSK	Full RB (NOTE 1)	DFT-s-OFDM QPSK	REFSENS (NOTE 2)
NOTE 1: Full RB allocation shall be used per each SCS and channel BW as specified in Table 7.3.2.4.1-2.				
NOTE 2: REFSENS refers to Table 7.3.2.4.1-3 which defines uplink RB configuration and start RB location for each SCS, channel BW and NR band.				

Table 7.3.2.4.1-2: Downlink Configuration of each RB allocation

Channel Bandwidth	SCS(kHz)	LCRBmax	Outer RB allocation / Normal RB allocation
5MHz	15	25	25@0
	30	11	11@0
	60	N/A	N/A
10MHz	15	52	52@0
	30	24	24@0
	60	11	11@0
15MHz	15	79	79@0
	30	38	38@0
	60	18	18@0
20MHz	15	106	106@0
	30	51	51@0
	60	24	24@0
25MHz	15	133	133@0
	30	65	65@0
	60	31	31@0
30MHz	15	160	160@0
	30	78	78@0
	60	38	38@0
40MHz	15	216	216@0
	30	106	106@0
	60	51	51@0
50MHz	15	270	270@0
	30	133	133@0
	60	65	65@0
60MHz	15	N/A	N/A
	30	162	162@0
	60	79	79@0
80MHz	15	N/A	N/A
	30	217	217@0
	60	107	107@0
90MHz	15	N/A	N/A
	30	245	245@0
	60	121	121@0
100MHz	15	N/A	N/A
	30	273	273@0
	60	135	135@0

NOTE 1: Test Channel Bandwidths are checked separately for each NR band, the applicable channel bandwidths are specified in Table 5.3.5-1.

Table 7.3.2.4.1-3: Uplink configuration for reference sensitivity, LCRB @ Restart format

Operating Band	SCS kHz	5 MHz	10 MHz	15 MHz	20 MHz	25 MHz	30 MHz	40 MHz	50 MHz	60 MHz	80 MHz	90 MHz	100 MHz	Duplex Mode
n1	15	25@0	50@0 <sup>1</sup>	75@0 <sup>1</sup>	100@0 <sup>1</sup>									FDD
	30		24@0	36@0 <sup>1</sup>	50@0 <sup>1</sup>									
	60		10@0 <sup>1</sup>	18@0	24@0									
n2	15	25@0	50@0 <sup>1</sup>	50@25 <sup>1</sup>	50@50 <sup>1</sup>									FDD
	30	10 <sup>1</sup>	24@0	24@12 <sup>1</sup>	24@26 <sup>1</sup>									
	60		10@0 <sup>1</sup>	10@8 <sup>1</sup>	10@14 <sup>1</sup>									
n3	15	25@0	50@0 <sup>1</sup>	50@25 <sup>1</sup>	50@50 <sup>1</sup>	50@78 <sup>1</sup>	50@110 <sup>1</sup>							FDD
	30		24@0	24@12 <sup>1</sup>	24@26 <sup>1</sup>	24@40 <sup>1</sup>	24@51 <sup>1</sup>							
	60		10@0 <sup>1</sup>	10@8 <sup>1</sup>	10@14 <sup>1</sup>	10@20 <sup>1</sup>	10@26 <sup>1</sup>							
n5	15	25@0	25@25 <sup>1</sup>	25@50 <sup>1</sup>	25@75 <sup>1</sup>									FDD
	30		10@14 <sup>1</sup>	10@26 <sup>1</sup>	10@40 <sup>1</sup>									
	60													
n7	15	25@0	50@0 <sup>1</sup>	75@0 <sup>1</sup>	75@25 <sup>1</sup>									FDD
	30		24@0	36@0 <sup>1</sup>	36@14 <sup>1</sup>									
	60		10@0 <sup>1</sup>	18@0	18@8 <sup>1</sup>									
n8	15	25@0	25@25 <sup>1</sup>	25@50 <sup>1</sup>	25@75 <sup>1</sup>									FDD
	30		10@14 <sup>1</sup>	10@26 <sup>1</sup>	10@40 <sup>1</sup>									
	60													
n12	15	20@5 <sup>1</sup>	20@30 <sup>1</sup>	20@55 <sup>1</sup>										
	30		10@14 <sup>1</sup>	10@26 <sup>1</sup>										
	60													
n20	15	25@0	20@30 <sup>1</sup>	20@11 <sup>2</sup>	20@16 <sup>2</sup>									FDD
	30		10@14 <sup>1</sup>	10@6 <sup>2</sup>	10@8 <sup>2</sup>									
	60													
n25	15	25@0	50@0	50@0 <sup>1</sup>	50@50 <sup>1</sup>									FDD
	30		24@0	24@12 <sup>1</sup>	24@26 <sup>1</sup>									
	60		10@0	10@8 <sup>1</sup>	10@14 <sup>1</sup>									
n28	15	25@0	25@25 <sup>1</sup>	25@50 <sup>1</sup>	25@75 <sup>1</sup>									FDD
	30		10@14 <sup>1</sup>	10@26 <sup>1</sup>	10@40 <sup>1</sup>									
	60													
n34	15	25@0	50@0 <sup>1</sup>	75@0 <sup>1</sup>										TDD
	30		24@0	36@0 <sup>1</sup>										
	60		10@0 <sup>1</sup>	18@0										

n38	15	25@0	50@0 <sup>1</sup>	75@0 <sup>1</sup>	100@0 <sup>1</sup>									TDD
	30		24@0	36@0 <sup>1</sup>	50@0 <sup>1</sup>									
	60		10@0 <sup>1</sup>	18@0	24@0									
n39	15	25@0	50@0 <sup>1</sup>	75@0 <sup>1</sup>	100@0 <sup>1</sup>	128@0 <sup>1</sup>	160@0	216@0						TDD
	30		24@0	36@0 <sup>1</sup>	50@0 <sup>1</sup>	64@0 <sup>1</sup>	75@0 <sup>1</sup>	100@0 <sup>1</sup>						
	60		10@0 <sup>1</sup>	18@0	24@0	30@0 <sup>1</sup>	36@0 <sup>1</sup>	50@0 <sup>1</sup>						
n40	15	25@0	50@0 <sup>1</sup>	75@0 <sup>1</sup>	100@0 <sup>1</sup>	128@0 <sup>1</sup>	160@0	216@0	270@0					TDD
	30		24@0	36@0 <sup>1</sup>	50@0 <sup>1</sup>	64@0 <sup>1</sup>	75@0 <sup>1</sup>	100@0 <sup>1</sup>	128@0 <sup>1</sup>	162@0	216@0 <sup>1</sup>			
	60		10@0 <sup>1</sup>	18@0	24@0	30@0 <sup>1</sup>	36@0 <sup>1</sup>	50@0 <sup>1</sup>	64@0 <sup>1</sup>	75@0 <sup>1</sup>	100@0 <sup>1</sup>			
n41	15		50@0 <sup>1</sup>	75@0 <sup>1</sup>	100@0 <sup>1</sup>			216@0	270@0					TDD
	30		24@0	36@0 <sup>1</sup>	50@0 <sup>1</sup>			100@0 <sup>1</sup>	128@0 <sup>1</sup>	162@0	216@0 <sup>1</sup>	243@0 <sup>1</sup>	270@0 <sup>1</sup>	
	60		10@0 <sup>1</sup>	18@0	24@0			50@0 <sup>1</sup>	64@0 <sup>1</sup>	75@0 <sup>1</sup>	100@0 <sup>1</sup>	120@0 <sup>1</sup>	135@0	
n51	15	25@0												TDD
	30													
	60													
n66	15	25@0	50@0 <sup>1</sup>	75@0 <sup>1</sup>	100 <sup>1</sup>			216@0						FDD
	30		24@0	36@0 <sup>1</sup>	50@0 <sup>1</sup>			100@0 <sup>1</sup>						
	60		10@0 <sup>1</sup>	18@0	24@0			50@0 <sup>1</sup>						
n70	15	25@0	50@0 <sup>1</sup>	75@0 <sup>1</sup>	NOTE 3	NOTE 3								FDD
	30		24@0	36@0 <sup>1</sup>	NOTE 3	NOTE 3								
	60		10@0 <sup>1</sup>	18@0	NOTE 3	NOTE 3								
n71	15	25@0	25@25 <sup>1</sup>	20@55 <sup>1</sup>	20@80 <sup>1</sup>									FDD
	30		12@12 <sup>1</sup>	10@26 <sup>1</sup>	10@40 <sup>1</sup>									
	60													
n77	15		50@0 <sup>1</sup>	75@0 <sup>1</sup>	100@0 <sup>1</sup>			216@0	270@0					TDD
	30		24@0	36@0 <sup>1</sup>	50@0 <sup>1</sup>			100@0 <sup>1</sup>	128@0 <sup>1</sup>	162@0	216@0 <sup>1</sup>	243@0 <sup>1</sup>	270@0 <sup>1</sup>	
	60	-	10@0 <sup>1</sup>	18@0	24@0			50@0 <sup>1</sup>	64@0 <sup>1</sup>	75@0 <sup>1</sup>	100@0 <sup>1</sup>	120@0 <sup>1</sup>	135@0	
n77 (3.8 to 4.2 GHz)	15		50@0 <sup>1</sup>	75@0 <sup>1</sup>	100@0 <sup>1</sup>			216@0	270@0					TDD
	30		24@0	36@0	50@0			100@0 <sup>1</sup>	128@0 <sup>1</sup>	162@0	216@0 <sup>1</sup>	243@0 <sup>1</sup>	270@0 <sup>1</sup>	
	60		10@0 <sup>1</sup>	18@0	24@0			50@0 <sup>1</sup>	64@0 <sup>1</sup>	75@0 <sup>1</sup>	100@0 <sup>1</sup>	120@0 <sup>1</sup>	135@0	
n78	15		50@0 <sup>1</sup>	75@0 <sup>1</sup>	100@0 <sup>1</sup>			216@0	270@0					TDD
	30		24@0	36@0 <sup>1</sup>	50@0 <sup>1</sup>			100@0 <sup>1</sup>	128@0 <sup>1</sup>	162@0	216@0 <sup>1</sup>	243@0 <sup>1</sup>	270@0 <sup>1</sup>	
	60		10@0 <sup>1</sup>	18@0	24@0			50@0 <sup>1</sup>	64@0 <sup>1</sup>	75@0 <sup>1</sup>	100@0 <sup>1</sup>	120@0 <sup>1</sup>	135@0	
n79	15							216@0	270@0					TDD
	30							100@0 <sup>1</sup>	128@0 <sup>1</sup>	162@0	216@0 <sup>1</sup>		270@0 <sup>1</sup>	

	60							50@0 <sup>1</sup>	64@0 <sup>1</sup>	75@0 <sup>1</sup>	100@0 <sup>1</sup>		135@0	
NOTE 1:	<sup>1</sup> Refers to the UL resource blocks shall be located as close as possible to the downlink operating band but confined within the transmission bandwidth configuration for the channel bandwidth (Table 5.3.2-1).													
NOTE 2:	<sup>2</sup> refers to Band 20; for 15kHz SCS, in the case of 15MHz channel bandwidth, the UL resource blocks shall be located at Restart 11 and in the case of 20MHz channel bandwidth, the UL resource blocks shall be located at Restart 16; for 30kHz SCS, in the case of 15MHz channel bandwidth, the UL resource blocks shall be located at Restart 6 and in the case of 20MHz channel bandwidth, the UL resource blocks shall be located at Restart 8; for 60kHz SCS, in the case of 15MHz channel bandwidth, the UL resource blocks shall be located at Restart 3 and in the case of 20MHz channel bandwidth, the UL resource blocks shall be located at Restart 4.													
NOTE 3:	For DL channel bandwidths that do not have symmetric UL channel bandwidth, highest valid UL configuration with lowest duplex distance shall be used.													

1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex [A, Figure A.3.1.1.1 for TE diagram and section A.3.2.1 for UE diagram.
2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
3. Downlink signals are initially set up according to Annex C.0, C.1, C.2 , and C.3.1, and uplink signals according to Annex G.0, G.1, G.2, and G.3.1.
4. The UL and Reference Measurement Channel is set according to Table 7.3.2.4.1-1, Table 7.3.2.4.1-2, and Table 7.3.2.4.1-3.
5. Propagation conditions are set according to Annex B.0.
6. Ensure the UE is in State RRC\_CONNECTED with generic procedure parameters Connectivity NR according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 7.3.2.4.3.

7.3.2.4.2 Test procedure

1. SS transmits PDSCH via PDCCH DCI format [1\_1] for C\_RNTI to transmit the DL RMC according to Table 7.3.2.4.1-1. The SS sends downlink MAC padding bits on the DL RMC.
2. SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format [0\_1] for C\_RNTI to schedule the UL RMC according to Tables 7.3.2.4.1-1. Since the UE has no payload data to send, the UE transmits uplink MAC padding bits on the UL RMC.
3. Set the Downlink signal level to the appropriate REFSSENS value defined in Table 7.3.2.5-1. Send continuously uplink power control "up" commands in the uplink scheduling information to the UE to ensure the UE transmits PUMAX level for at least the duration of the Throughput measurement.
4. Measure the average throughput for a duration sufficient to achieve statistical significance according to Annex H.2

7.3.2.4.3 Message contents

Message contents are according to TS 38.508-1[5] subclause 4.6 with the following exceptions.

7.3.2.4.3.1 Message contents exceptions (network signalled value "NS\_01")

Message contents according to TS 38.508-1 [5] subclause 4.6 can be used without exceptions.

7.3.2.4.3.2 Message contents exceptions (network signalled value "NS\_35")

1. Information element additionalSpectrumEmission is set to NS\_35. This can be set in the SystemInformationBlockType2 as part of the cell broadcast message. This exception indicates that the UE shall meet the additional spurious emission requirement for a specific deployment scenario.

**Table 7.3.2.4.3.2-1: SystemInformationBlockType2: Additional spurious emissions test requirement for "NS\_35"**

Derivation Path: TS 38.508-1 [5] clause 4.6.3, Table 4.6.3-1			
Information Element	Value/remark	Comment	Condition
additionalSpectrumEmission	[35 (NS_35)]		

7.3.2.5 Test requirement

The throughput shall be  $\geq 95\%$  of the maximum throughput of the reference measurement channels as specified in Annex A3.2 with reference receive power level specified in Tables 7.3.2.5-1 and parameters specified Tables 7.3.2.4.1-1, Tables 7.3.2.4.1-2 and Tables 7.3.2.4.1-3.

**Table 7.3.2.5-1: Reference sensitivity QPSK  $P_{REFSENS}$**

Operating band / SCS / Channel bandwidth / Duplex-mode														
Operating Band	SCS kHz	5 MHz (dBm)	10 MHz (dBm)	15 MHz (dBm)	20 MHz (dBm)	25 MHz (dBm)	30 MHz (dBm)	40 MHz (dBm)	50 MHz (dBm)	60 MHz (dBm)	80 MHz (dBm)	90 MHz (dBm)	100 MHz (dBm)	Duplex Mode

n1	15	-100.0 +TT	-96.8 +TT	-95.0 +TT	-93.8 +TT									FDD
	30		-97.1 +TT	-95.1 +TT	-94.0 +TT									
	60		-97.5 +TT	-95.4 +TT	-94.2 +TT									
n2	15	-98.0 +TT	-94.8 +TT	-93.0 +TT	-91.8 +TT									FDD
	30		-95.1 +TT	-93.1 +TT	-92.0 +TT									
	60		-95.5 +TT	-93.4 +TT	-92.2 +TT									
n3	15	-97.0 +TT	-93.8 +TT	-92.0 +TT	-90.8 +TT	-89.7 +TT	-88.9 +TT							FDD
	30		-94.1 +TT	-92.1 +TT	-91.0 +TT	-89.8 +TT	-89.0 +TT							
	60		-94.5 +TT	-92.4 +TT	-91.2 +TT	-90.0 +TT	-89.1 +TT							
n5	15	-98.0 +TT	-94.8 +TT	-93.0 +TT	-90.8 +TT									FDD

	30		-95.1 +TT	-93.1 +TT	-91.0 +TT									
	60													
n7 <sup>1</sup>	15	-98.0 +TT	-94.8 +TT	-93.0 +TT	-91.8 +TT									FDD
	30		-95.1 +TT	-93.1 +TT	-92.0 +TT									
	60		-95.5 +TT	-93.4 +TT	-92.2 +TT									
n8	15	-97.0 +TT	-93.8 +TT	-92.0 +TT	-90.0 +TT									FDD
	30		-94.1 +TT	-92.1 +TT	-90.2 +TT									
	60													
n12	15	-97.0 +TT	-93.8 +TT	-92.0 +TT										FDD
	30		-94.1 +TT	-92.1 +TT										
	60													
n20	15	-97.0 +TT	-93.8 +TT	-91.0 +TT	-89.8 +TT									FDD
	30		-94.1 +TT	-91.1 +TT	-90.0 +TT									
	60													
n25	15	-96.5 +TT	-93.3 +TT	-91.5 +TT	-90.3 +TT									FDD
	30		-93.6 +TT	-91.6 +TT	-90.5 +TT									
	60		-94.0 +TT	-91.9 +TT	-90.7 +TT									
n28	15	-98.5 +TT	-95.5 +TT	-93.5 +TT	-90.8 +TT									FDD
	30		-95.6 +TT	-93.6 +TT	-91.0 +TT									
	60													
n34	15	-100.0 +TT	-96.8 +TT	-95.0 +TT										TDD
	30		-97.1 +TT	-95.1 +TT										
	60		-97.5 +TT	-95.4 +TT										
n38	15	-100.0 +TT	-96.8 +TT	-95.0 +TT	-93.8 +TT									TDD
	30		-97.1 +TT	-95.1 +TT	-94.0 +TT									
	60		-97.5 +TT	-95.4 +TT	-94.2 +TT									
n39	15	-100.0 +TT	-96.8 +TT	-95.0 +TT	-93.8 +TT	-92.7 +TT	-91.9 +TT	-90.6 +TT						TDD
	30		-97.1 +TT	-95.1 +TT	-94.0 +TT	-92.8 +TT	-92.0 +TT	-90.7 +TT						
	60		-97.5 +TT	-95.4 +TT	-94.2 +TT	-93.0 +TT	-92.1 +TT	-90.9 +TT						
n40	15	-100.0 +TT	-96.8 +TT	-95.0 +TT	-93.8 +TT	-92.7 +TT	-91.9 +TT	-90.6 +TT	-89.6 +TT					TDD
	30		-97.1 +TT	-95.1 +TT	-94.0 +TT	-92.8 +TT	-92.0 +TT	-90.7 +TT	-89.7 +TT	-88.9 +TT	-87.6 +TT			
	60		-97.5 +TT	-95.4 +TT	-94.2 +TT	-93.0 +TT	-92.1 +TT	-90.9 +TT	-89.8 +TT	-89.1 +TT	-87.6 +TT			
n41 <sup>1</sup>	15		-94.8 +TT	-93.0 +TT	-91.8 +TT			-88.6 +TT	-87.6 +TT					TDD
	30		-95.1 +TT	-93.1 +TT	-92.0 +TT			-88.7 +TT	-87.7 +TT	-86.9 +TT	-85.6 +TT	-85.1 +TT	-84.7 +TT	
	60		-95.5 +TT	-93.4 +TT	-92.2 +TT			-88.9 +TT	-87.8 +TT	-87.1 +TT	-85.6 +TT	-85.1 +TT	-84.7 +TT	
n51	15	-100.0 +TT											TDD	

	30													
	60													
n66	15	-99.5 +TT	-96.3 +TT	-94.5 +TT	-93.3 +TT			-90.1 +TT						
	30		-96.6 +TT	-94.6 +TT	-93.5 +TT			-90.2 +TT						
	60		-97.0 +TT	-94.9 +TT	-93.7 +TT			-90.4 +TT						
n70	15	-100.0 +TT	-96.8 +TT	-95.0 +TT	-93.8 +TT	-92.7 +TT								
	30		-97.1 +TT	-95.1 +TT	-94.0 +TT	-92.8 +TT								
	60		-97.5 +TT	-95.4 +TT	-94.2 +TT	-93.0 +TT								
n71	15	-97.2 +TT	-94.0 +TT	-91.6 +TT	-86.0 +TT									
	30		-94.3 +TT	-91.9 +TT	-87.4 +TT									
	60	-												
n77 <sup>1</sup>	15		-95.8 +TT	-94.0 +TT	-92.7 +TT			-89.6 +TT	-88.6 +TT					
	30		-96.1 +TT	-94.1 +TT	-92.9 +TT			-89.7 +TT	-88.7 +TT	-87.9 +TT	-86.6 +TT	-86.1 +TT	-85.6 +TT	
	60	-	-96.5 +TT	-94.4 +TT	-93.1 +TT			-89.9 +TT	-88.8 +TT	-88.0 +TT	-86.7 +TT	-86.2 +TT	-85.7 +TT	
n77 (3.8 to 4.2 GHz) <sup>1</sup>	15		-95.3 +TT	-93.5 +TT	-92.2 +TT			-89.1 +TT	-88.1 +TT					
	30		-95.6 +TT	-93.6 +TT	-92.4 +TT			-89.2 +TT	-88.2 +TT	-87.4 +TT	-86.1 +TT	-85.6 +TT	-85.1 +TT	
	60	-	-96.0 +TT	-93.9 +TT	-92.6 +TT			-89.4 +TT	-88.3 +TT	-87.5 +TT	-86.2 +TT	-85.7 +TT	-85.2 +TT	
n78 <sup>1</sup>	15		-95.8 +TT	-94.0 +TT	-92.7 +TT			-89.6 +TT	-88.6 +TT					
	30		-96.1 +TT	-94.1 +TT	-92.9 +TT			-89.7 +TT	-88.7 +TT	-87.9 +TT	-86.6 +TT	-86.1 +TT	-85.6 +TT	
	60		-96.5 +TT	-94.4 +TT	-93.1 +TT			-89.9 +TT	-88.8 +TT	-88.0 +TT	-86.7 +TT	-86.2 +TT	-85.7 +TT	
n79 <sup>1</sup>	15							-89.6 +TT	-88.6 +TT					
	30							-89.7 +TT	-88.7 +TT	-87.9 +TT	-86.6 +TT		-85.6 +TT	
	60							-89.9 +TT	-88.8 +TT	-88.0 +TT	-86.7 +TT		-85.7 +TT	

NOTE 1: Four Rx antenna ports shall be the baseline for this operating band

NOTE 2: The transmitter shall be set to  $P_{UMAX}$  as defined in subclause 6.2.4

NOTE 3: TT for each frequency and channel bandwidth is specified in Table 7.3.2.5-2

**Table 7.3.2.5-2: Test Tolerance (TT) for RX sensitivity level**

$f \leq 3.0\text{GHz}$	$3.0\text{GHz} < f \leq 4.2\text{GHz}$	$4.2\text{GHz} < f \leq 6.0\text{GHz}$
0.7 dB	1.0 dB	1.5 dB

For the UE which supports inter-band carrier aggregation, the minimum requirement for reference sensitivity in Table 7.3.2.5-1 shall be increased by the amount given in  $\Delta R_{IB,c}$  defined in subclause 7.3.3 for the applicable operating bands

## 7.3.2\_1 Reference sensitivity level with 4 Rx antenna ports

**Editor's Note**

- Connection diagram for 4-Rx port is FFS.

### 7.3.2\_1.1 Test purpose

To verify the ability of UE that supports 4 Rx antenna ports to receive data with a given average throughput for a specified reference measurement channel, under conditions of low signal level, ideal propagation and no added noise.

A UE unable to meet the throughput requirement under these conditions will decrease the effective coverage area.

### 7.3.2\_1.2 Test applicability

This test applies to all types of NR UE release 15 and forward that supports 4 Rx antenna ports.

### 7.3.2\_1.3 Minimum conformance requirements

For UE(s) equipped with 4 Rx antenna ports, reference sensitivity for 2Rx antenna ports in Table 7.3.2.5-1 shall be modified by the amount given in  $\Delta R_{IB,4R}$  in Table 7.3.2\_1.3-1 for the applicable operating bands.

**Table 7.3.2\_1.3-1: Four antenna port reference sensitivity allowance  $\Delta R_{IB,4R}$**

Operating band	$\Delta R_{IB,4R}$ (dB)
n7, n38, n41	-2.7
n77, n78, n79	-2.2

The minimum conformance requirements are defined in TS 38.101-1 [2] clause 7.3.2.3.

### 7.3.2\_1.4 Test description

#### 7.3.2\_1.4.1 Initial conditions

Same as in clause 7.3.2.4.1 with following exceptions:

- Instead of Figure A.3.2.1.3.2 → use Figure [TBD].
- Instead of clause 7.3.2.4.3 → use clause 7.3.2\_1.4.3.

#### 7.3.2\_1.4.2 Test procedure

Same as in clause 7.3.2.4.2.

#### 7.3.2\_1.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6 subclause 4.6 with the following exceptions.

##### 7.3.2\_1.4.3.1 Message contents exceptions (network signalled value "NS\_01")

Message contents according to TS 38.508-1 [5] subclause 4.6 subclause 4.6 can be used without exceptions.

##### 7.3.2\_1.4.3.2 Message contents exceptions (network signalled value "NS\_35")

1. Information element `additionalSpectrumEmission` is set to NS\_35. This can be set in the `SystemInformationBlockType2` as part of the cell broadcast message. This exception indicates that the UE shall meet the additional spurious emission requirement for a specific deployment scenario.

**Table 7.3.2\_1.4.3.2-1: SystemInformationBlockType2: Additional spurious emissions test requirement for "NS\_35"**

Derivation Path: TS 38.508-1 [5] clause 4.6.3, Table 4.6.3-1			
Information Element	Value/remark	Comment	Condition
<code>additionalSpectrumEmission</code>	35 (NS_35)		

### 7.3.2\_1.5 Test requirement

Same as in clause 7.3.2.5 with the following exceptions:

- Instead of Table 7.3.2.5-1 → use Table 7.3.2\_1.5-1.

Table 7.3.2\_1.5-1: Reference sensitivity QPSK  $P_{REFSENS}$ 

Operating band / SCS / Channel bandwidth / Duplex-mode														
Operating Band	SCS kHz	5 MHz (dBm)	10 MHz (dBm)	15 MHz (dBm)	20 MHz (dBm)	25 MHz (dBm)	30 MHz (dBm)	40 MHz (dBm)	50 MHz (dBm)	60 MHz (dBm)	80 MHz (dBm)	90 MHz (dBm)	100 MHz (dBm)	Duplex Mode
n7	15	-100.7 +TT	-97.5 +TT	-95.7 +TT	-94.5 +TT									FDD
	30		-97.8 +TT	-95.8 +TT	-94.7 +TT									
	60		-98.2 +TT	-97.1 +TT	-94.9 +TT									
n38	15	-102.7 +TT	-99.5 +TT	-97.7 +TT	-96.5 +TT									TDD
	30		-99.8 +TT	-97.8 +TT	-96.7 +TT									
	60		-100.2 +TT	-98.1 +TT	-96.9 +TT									
n41	15		-97.5 +TT	-95.7 +TT	-94.5 +TT			-91.3 +TT	-90.3 +TT					TDD
	30		-97.8 +TT	-95.8 +TT	-94.7 +TT			-91.4 +TT	-90.4 +TT	-89.6 +TT	-88.3 +TT	-87.8 +TT	-87.4 +TT	
	60		-98.2 +TT	-96.1 +TT	-94.9 +TT			-91.6 +TT	-90.5 +TT	-89.8 +TT	-88.3 +TT	-87.8 +TT	-87.4 +TT	
n77	15		-98.0 +TT	-96.2 +TT	-94.9 +TT			-91.8 +TT	-90.8 +TT					TDD
	30		-98.3 +TT	-96.3 +TT	-95.1 +TT			-91.9 +TT	-90.9 +TT	-90.1 +TT	-88.8 +TT	-88.3 +TT	-87.8 +TT	
	60	-	-98.7 +TT	-96.6 +TT	-95.3 +TT			-92.1 +TT	-91.0 +TT	-90.2 +TT	-88.9 +TT	-88.4 +TT	-87.9 +TT	
n78	15		-98.0 +TT	-96.2 +TT	-94.9 +TT			-91.8 +TT	-90.8 +TT					TDD
	30		-98.3 +TT	-96.3 +TT	-95.1 +TT			-91.9 +TT	-90.9 +TT	-90.1 +TT	-88.8 +TT	-88.3 +TT	-87.8 +TT	
	60		-98.7 +TT	-96.6 +TT	-95.3 +TT			-92.1 +TT	-91.0 +TT	-90.2 +TT	-88.9 +TT	-88.4 +TT	-87.9 +TT	
n79								-91.8 +TT	-90.8 +TT					TDD
								-91.9 +TT	-90.9 +TT	-90.1 +TT	-88.8 +TT		-87.8 +TT	

### 7.3.3 $\Delta$ RIB,c

<Editor's note: Text to be added >

## 7.3A Reference sensitivity for CA

Editor's Note:

- Intra-band non-contiguous CA is FFS
- Inter-band CA is not complete.
- Initial condition is not complete.
- Test procedure is not complete
- Message contents is not complete.
- Choice of UL Modulation scheme need to be investigation further.

### 7.3A.1 General

The reference sensitivity power level REFSENS is the minimum mean power applied to each one of the UE antenna ports for all UE categories, at which the throughput shall meet or exceed the requirements for the specified reference measurement channel.

### 7.3A.2 Reference sensitivity power level for CA

#### 7.3A.2.1 Intra-band contiguous CA

##### 7.3A.2.1.0 Minimum requirements of reference sensitivity for CA

FFS

##### 7.3A.2.1.1 Intra-band contiguous CA 2CC

###### 7.3A.2.1.1.1 Test purpose

To verify the ability of UE that support CA to receive data with a given average throughput for a specified reference measurement channel, under conditions of low signal level, ideal propagation and no added noise.

A UE unable to meet the throughput requirement under these conditions will decrease the effective coverage area.

###### 7.3A.2.1.1.2 Test applicability

This test case applies to all types of NR UE release 15 and forward that support intra-band contiguous NR DL CA

###### 7.3A.2.1.1.3 Minimum conformance requirements

For intra-band contiguous carrier aggregation, the throughput of each component carrier shall be  $\geq 95\%$  of the maximum throughput of the reference measurement channels as specified in [Annex A] (with one sided dynamic OCNG Pattern OP.1 FDD/TDD for the DL-signal) with peak reference sensitivity specified in Table 7.3.2.3-1.

The throughput shall be  $\geq 95\%$  of the maximum throughput of the reference measurement channels as specified in Annexes A.2.2, A.2.3 and A.3.2 (with one sided dynamic OCNG Pattern OP.1 FDD/TDD for the DL-signal as described in Annex A.5.1.1/A.5.2.1) with parameters specified in Table 7.3.2.3-1 and Table 7.3.2.3-2.

###### 7.3A.2.1.1.4 Test description

###### 7.3A.2.1.1.4.1 Initial conditions

FFS

#### 7.3A.2.1.1.4.2 Test procedure

FFS

#### 7.3A.2.1.1.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause [TBD].

#### 7.3A.2.1.1.5 Test requirement

The throughput shall be  $\geq 95\%$  of the maximum throughput of the reference measurement channels as specified in Annexes A.2.2, A.2.3 and A.3.2 (with one sided dynamic OCNG Pattern OP.1 FDD/TDD for the DL-signal as described in Annex A.5.1.1/A.5.2.1) with parameters specified in Table 7.3.2.3-1 and Table 7.3.2.3-2.

### 7.3A.2.2 Intra-band non-contiguous CA

FFS

#### 7.3A.2.3 Inter-band CA

##### 7.3A.2.3.1 Test purpose

To verify the ability of UE that support inter-band CA to receive data with a given average throughput for a specified reference measurement channel, under conditions of low signal level, ideal propagation and no added noise.

A UE unable to meet the throughput requirement under these conditions will decrease the effective coverage area.

##### 7.3A.2.3.2 Test applicability

This test case applies to all types of NR UE release 15 and forward that support inter-band contiguous NR DL CA

##### 7.3A.2.3.3 Minimum conformance requirements

FFS

##### 7.3A.2.3.4 Test description

FFS

##### 7.3A.2.3.4 Test requirement

FFS

For the UE which supports inter-band carrier aggregation, the minimum requirement for reference sensitivity in Table 7.3.2.3-1 shall be increased by the amount given in  $\Delta R_{IB,c}$  defined in subclause 7.3A.2.1.1.3.1 for the applicable operating bands.

### 7.3A.3 $\Delta R_{IB,c}$ for CA

#### 7.3A.3.1 General

For a UE supporting a CA configuration, the  $\Delta R_{IB,c}$  applies for both SC and CA operation.

#### 7.3A.3.2 Inter-band CA

##### 7.3A.3.2.1 $\Delta R_{IB,c}$ for two bands

**Table 7.3A.3.2.1-1:  $\Delta R_{IB,c}$  due to CA (two bands)**

Inter-band CA configuration	E-UTRA Band	$\Delta R_{IB,c}$ [dB]
CA_n3A-n78A	n3	0.2
	n78	0.5
CA_n28A-n78A	n28	0.2
	n78	0.5
CA_n41A-n78A <sup>1</sup>	n78	0.5
NOTE 1: Synchronization of sub-frame and Tx-Rx timing is assumed.		

##### 7.3A.3.2.2 $\Delta R_{IB,c}$ for three bands

**Table 7.3A.3.2.2-1:  $\Delta R_{IB,c}$  due to CA (three bands)**

Inter-band CA configuration	E-UTRA Band	$\Delta R_{IB,c}$ [dB]

### 7.3A.4 Reference sensitivity exceptions due to UL harmonic interference for CA

Sensitivity degradation is allowed for a band in frequency range 1 if it is impacted by UL harmonic interference from another band in frequency range 1 of the same CA configuration. Reference sensitivity exceptions are specified in Table 7.3A.4-1 with uplink configuration specified in Table 7.3A.4-2.

**Table 7.3A.4-1: Reference sensitivity exceptions due to UL harmonic for NR CA FR1**

UL band	DL band	MSD due to harmonic exception for the DL band										
		5 MHz dB	10 MHz dB	15 MHz dB	20 MHz dB	25 MHz dB	30 MHz dB	40 MHz dB	50 MHz dB	60 MHz dB	80 MHz dB	100 MHz dB
n3	n78 <sup>1,2</sup>		23.9	22.1	20.9			17.9	16.9	16.1		
	n78 <sup>3</sup>		1.1	0.8	0.3							
n28	n78 <sup>1,2</sup>		[10.4]	[8.9]	[7.8]			[4.7]	[3.7]	[3]	[1.7]	[0.7]
<p>NOTE 1: These requirements apply when there is at least one individual RE within the uplink transmission bandwidth of the aggressor (lower) band for which the 2nd transmitter harmonic is within the downlink transmission bandwidth of a victim (higher) band.</p> <p>NOTE 2: The requirements should be verified for UL EARFCN of the aggressor (lower) band (superscript LB) such that <math>f_{UL}^{LB} = \lfloor f_{DL}^{HB} / 0.2 \rfloor \cdot 0.1</math> in MHz and <math>F_{UL\_low}^{LB} + BW_{Channel}^{LB} / 2 \leq f_{UL}^{LB} \leq F_{UL\_high}^{LB} - BW_{Channel}^{LB} / 2</math> with <math>f_{DL}^{HB}</math> carrier frequency in the victim (higher) band in MHz and <math>BW_{Channel}^{LB}</math> the channel bandwidth configured in the lower band.</p> <p>NOTE 3: The requirements are only applicable to channel bandwidths with a carrier frequency at <math>\pm (20 + BW_{Channel}^{HB} / 2)</math> MHz offset from <math>2f_{UL}^{LB}</math> in the victim (higher band) with <math>F_{UL\_low}^{LB} + BW_{Channel}^{LB} / 2 \leq f_{UL}^{LB} \leq F_{UL\_high}^{LB} - BW_{Channel}^{LB} / 2</math>, where <math>BW_{Channel}^{LB}</math> and <math>BW_{Channel}^{HB}</math> are the channel bandwidths configured in the aggressor (lower) and victim (higher) bands in MHz, respectively.</p>												

**Table 7.3A.4-2: Uplink configuration for reference sensitivity exceptions due to UL harmonic interference for NR CA, FR1**

UL band	NR Band / Channel bandwidth of the high band											
	DL band	5 MHz	10 MHz	15 MHz	20 MHz	25 MHz	30 MHz	40 MHz	50 MHz	60 MHz	80 MHz	100 MHz
n3	n78		26	39	53			106	133	160		
n28	n78	5	10	15	20							

For unsynchronized operation, Rx de-sensing in one band will be caused by another band due to lack of isolation in the band filters. Reference sensitivity exceptions for cross band are specified in Table 7.3A.2.1.3.2-3 with uplink configuration specified in Table 7.3A.2.1.3.2-4.

**Table 7.3A.2.1.3.2-3: MSD for the CA configuration for asynchronous operation and cross band**

NR CA Configuration	NR band	Channel bandwidth										Duplex mode
		5 MHz	10 MHz	15 MHz	20 MHz	40 MHz	50 MHz	60 MHz	80 MHz	100 MHz		
CA_n41A-n78A	n41		-90.3	-88.5	-87.3	-84.1	-83.1					TDD
	n78 SCS =30 kHz		-87.8	-85.8	-84.6	-81.4	-80.4	-79.6	-78.3	-77.3		TDD

**Table 7.3A.2.1.3.2-4: Harmonic mixing MSD**

NR CA Configuration	NR band	NR Band / Channel bandwidth / N <sub>RB</sub> / Duplex mode							Source of IMD
		UL F <sub>c</sub> (MHz)	UL/DL BW (MHz)	UL C <sub>LRB</sub>	DL F <sub>c</sub> (MHz)	MSD (dB)	Duplex mode		
CA_n41A-n78A <sup>1</sup>	n41	2496 <sup>2</sup>	20	100	2496	10.4	TDD	Harmonic mixing	
	n78	3744 <sup>2</sup>	10	25	3744	NA	TDD	N/A	
CA_n41A-n78A <sup>1</sup>	n41	2534 <sup>2</sup>	20	100	2534	10.4	TDD	Harmonic mixing	
	n78	3800 <sup>2</sup>	10	25	3800	NA	TDD	N/A	

NOTE 1: 2x n78 Tx = 3x n41 Rx  
NOTE 2: All channel frequencies between those specified also are de-sensing the same amount.

## 7.3B Reference sensitivity for DC

## 7.3C Reference sensitivity for SUL

### 7.3C.1 General

The reference sensitivity power level REFSENS is the minimum mean power applied to each one of the UE antenna ports for all UE categories, at which the throughput shall meet or exceed the requirements for the specified reference measurement channel.

### 7.3C.2 Reference sensitivity power level

**Editor's Note:**

- Setup SUL operation is not complete.
- SA message contents in TS 38.508-1[5] subclause 4.6 is FFS.
- Test point selection needs further analysis.

### 7.3C.2.1 Test purpose

The test purpose is to verify the ability of the UE to receive data with a given average throughput for a specified reference measurement channel, under SUL operation and conditions of low signal level, ideal propagation and no added noise.

### 7.3C.2.2 Test applicability

This test case applies to all types of NR UE release 15 and forward that supports SUL operation.

### 7.3C.2.3 Minimum conformance requirements

For SUL operation, the reference receive sensitivity (REFSENS) requirement for downlink bands specified in Table 7.3.2.3-1 shall be met for an uplink transmission bandwidth less than or equal to that specified in Table 7.3.2.3-1 or supplementary uplink transmission bandwidth less than or equal to that specified in Table 7.3C.2.3-1.

**Table 7.3C.2.3-1: Supplementary Uplink configuration for reference sensitivity**

Downlink band/ Uplink band /Channel bandwidth / N <sub>RB</sub>					
Downlink band	Uplink band	5 MHz	10 MHz	15 MHz	20 MHz
n78	n80	25	52	79	106
n78	n81	25	52	79	106
n78	n82	25	52	79	106
n78	n83	25	52	79	106
n78	n84	25	52	79	106
n78	n86	25	52	79	106
n79	n80	25	52	79	106
n79	n81	25	52	79	106

For the UE that supports any of the SUL operation given in Table 7.3C.2.3-2, exceptions to the requirements specified in Table 7.3.2.3-1 are allowed when the uplink is active in a lower frequency band and is within a specified frequency range such that transmitter harmonics fall within the downlink transmission bandwidth assigned in a higher band as noted in Table 7.3C.2.3-2. For these exceptions, the UE shall meet the requirements specified in Table 7.3C.2.3-2 and Supplementary Uplink configuration (exceptions due to harmonic issue given in Table 7.3C.2.3-3).

**Table 7.3C.2.3-2: Reference sensitivity for SUL operation (exceptions due to harmonic issue)**

UL band	DL band	5 MHz	10 MHz	15 MHz	20 MHz	25 MHz	30 MHz	40 MHz	50 MHz	60 MHz	80 MHz	90 MHz	100 MHz
		dB	dB	dB	dB	dB	dB	dB	dB	dB	dB	dB	dB
n80	n78 <sup>1,2</sup>		23.9	22.1	20.9			17.9					
	n78 <sup>3</sup>		1.1	0.8	0.3								
n82	n78 <sup>4,5</sup>		10.8	9.1	8			6					
n81	n78 <sup>1,2</sup>		10.8	9.1	8			5.1	4.2	3.5	2.3		1.4
n81	n78 <sup>6,7</sup>		10.4	8.9	7.8			4.7	3.7	3	1.7	1.2	0.7
n86	n78 <sup>1,2</sup>		23.9	22.1	20.9			17.9					
	n78 <sup>3</sup>		1.1	0.8	0.3								
n81	n79 <sup>6,7</sup>							[6.8]	6.2	[5.6]	4.9		4.4

NOTE 1: These requirements apply when there is at least one individual RE within the uplink transmission bandwidth of the aggressor (lower) band for which the 2nd transmitter harmonic is within the downlink transmission bandwidth of a victim (higher) band.

NOTE 2: The requirements should be verified for UL EARFCN of the aggressor (lower) band (superscript LB) such that  $f_{UL}^{LB} = \lfloor f_{DL}^{HB} / 0.2 \rfloor \cdot 0.1$  in MHz and  $F_{UL\_low}^{LB} + BW_{Channel}^{LB} / 2 \leq f_{UL}^{LB} \leq F_{UL\_high}^{LB} - BW_{Channel}^{LB} / 2$  with  $f_{DL}^{HB}$  carrier frequency in the victim (higher) band in MHz and  $BW_{Channel}^{LB}$  the channel bandwidth configured in the lower band.

NOTE 3: The requirements are only applicable to channel bandwidths with a carrier frequency at  $\pm (20 + BW_{Channel}^{HB} / 2)$  MHz offset from  $2f_{UL}^{LB}$  in the victim (higher) band with  $F_{UL\_low}^{LB} + BW_{Channel}^{LB} / 2 \leq f_{UL}^{LB} \leq F_{UL\_high}^{LB} - BW_{Channel}^{LB} / 2$ , where  $BW_{Channel}^{LB}$  and  $BW_{Channel}^{HB}$  are the channel bandwidths configured in the aggressor (lower) and victim (higher) bands in MHz, respectively.

NOTE 4: These requirements apply when there is at least one individual RE within the uplink transmission bandwidth of the aggressor (lower) band for which the 4th transmitter harmonic is within the downlink transmission bandwidth of a victim (higher) band.

NOTE 5: The requirements should be verified for UL EARFCN of the aggressor (lower) band (superscript LB) such that  $f_{UL}^{LB} = \lfloor f_{DL}^{HB} / 0.4 \rfloor \cdot 0.1$  in MHz and  $F_{UL\_low}^{LB} + BW_{Channel}^{LB} / 2 \leq f_{UL}^{LB} \leq F_{UL\_high}^{LB} - BW_{Channel}^{LB} / 2$  with  $f_{DL}^{HB}$  carrier frequency in the victim (higher) band in MHz and  $BW_{Channel}^{LB}$  the channel bandwidth configured in the lower band.

NOTE 6: The requirements should be verified for UL EARFCN of the aggressor (lower) band (superscript LB) such that  $f_{UL}^{LB} = \lfloor f_{DL}^{HB} / 0.5 \rfloor \cdot 0.1$  in MHz and  $F_{UL\_low}^{LB} + BW_{Channel}^{LB} / 2 \leq f_{UL}^{LB} \leq F_{UL\_high}^{LB} - BW_{Channel}^{LB} / 2$  with  $f_{DL}^{HB}$  carrier frequency in the victim (higher) band in MHz and  $BW_{Channel}^{LB}$  the channel bandwidth configured in the lower band.

NOTE 7: These requirements apply when there is at least one individual RE within the uplink transmission bandwidth of the aggressor (lower) band for which the 4th transmitter harmonic is within the downlink transmission bandwidth of a victim (higher) band

**Table 7.3C.2.3-3: Supplementary Uplink configuration (exceptions due to harmonic issue)**

UL band	DL band	5 MHz (NRB)	10 MHz (NRB)	15 MHz (NRB)	20 MHz (NRB)	25 MHz (NRB)	30 MHz (NRB)	40 MHz (NRB)	50 MHz (NRB)	60 MHz (NRB)	80 MHz (NRB)	90 MHz (NRB)	100 MHz (NRB)
n80	n78		25	36	50			100					
n81	n78		16	25	25			25	25	25	25	25	25
n81	n79							25	25	25	25	25	25
n83	n78		10	15	20			25	25	25	25	25	25
n86	n78		26	39	53			100					

NOTE 1: The configuration is used for measurement of MSD for NR channel bandwidth of 20MHz.

NOTE 2: The configuration is used for measurement of MSD for NR channel bandwidth of 40MHz.

For the UE which supports SUL band combination, the minimum requirement for reference sensitivity in Table 7.3.2.3-1 shall be increased by the amount given in  $\Delta R_{IB,c}$  defined in subclause 7.3C.3 for the applicable NR bands. The normative reference for this requirement is TS 38.101-1 [2] clause 7.3C.2

### 7.3C.2.4 Test description

#### 7.3C.2.4.1 Initial conditions

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, and channel bandwidths based on NR operating bands specified in table 5.2-1. All of these configurations shall be tested with applicable test parameters for each channel bandwidth, and are shown in Table 7.3.2.4.1-1, Table 7.3.2.4.1-2, and Table 7.3.2.4.1-3. The details of the uplink reference measurement channels (RMCs) are specified in A2.2. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.2.

1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex [A, Figure [TBD]].
2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
3. Downlink signals are initially set up according to Annex C0, C.1, C.2, C3.1, and uplink signals according to Annex G.0, G.1, G.2, G.3.1.
4. The UL and DL Reference Measurement Channel shall be set according to Table 7.3.2.4.1-1, Table 7.3.2.4.1-2, and Table 7.3.2.4.1-3.
5. The UL Reference Measurement Channel shall be set according to Table 7.3C.2.3-2 and 7.3C.2.3-3 when testing is performed with UL/DL band combination listed in Table 7.3C.2.3-2 for exceptions due to harmonic issue.
5. Propagation conditions are set according to Annex B.0.
6. Ensure the UE is in State RRC\_CONNECTED with generic procedure parameters Connectivity NR according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 7.3C.2.4.3

#### 7.3C.2.4.2 Test procedure

- 1 SS transmits PDSCH via PDCCH DCI format [1\_1] for C\_RNTI to transmit the DL RMC according to Table 7.3.4.1-1. The SS sends downlink MAC padding bits on the DL RMC.
2. SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format [0\_1] for C\_RNTI to schedule the UL RMC according to Tables 7.3.4.1-1. Since the UE has no payload data to send, the UE transmits uplink MAC padding bits on the UL RMC.
3. Set the Downlink signal level to the appropriate REFSENS value defined in Table 7.3.3.1. Send continuously uplink power control "up" commands in the uplink scheduling information to the UE to ensure the UE transmits P<sub>UMAX</sub> level for at least the duration of the Throughput measurement.
4. Setup SUL operation [TBD].
5. Measure the average throughput for a duration sufficient to achieve statistical significance according to Annex H.2.

#### 7.3C.2.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6.

#### 7.3C.2.5 Test requirement

The throughput shall be  $\geq 95\%$  of the maximum throughput of the reference measurement channels as specified in Annex A3.2 with parameters specified in Tables 7.3.2.3-1 and Tables 7.3.2.3-2.

For SUL operation, the reference receive sensitivity (REFSENS) requirement for downlink bands specified in Table 7.3.2.5-1 shall be met for an uplink transmission bandwidth less than or equal to that specified in Table 7.3.2.4.1-3 with exceptions listed in clause 7.3C.2.5.1.

#### 7.3C.2.5.1 Reference sensitivity exceptions due to harmonic issue

For SUL operation with DL band listed in Table 7.3C.2.3-2 with supplementary uplink transmission bandwidth less than or equal to that specified in Table 7.3C.2.3-1, the reference receive sensitivity (REFSENS) requirement for downlink bands specified in Table 7.3C.2.5.1-1 due to harmonic exceptions.

**Table 7.3C.2.5.1-1: Reference sensitivity for SUL operation (exceptions due to harmonic issue)**

UL band	DL band	SCS	5 MHz	10 MHz	15 MHz	20 MHz	25 MHz	30 MHz	40 MHz	50 MHz	60 MHz	80 MHz	100 MHz
		kHz	dBm	dBm	dBm	dBm	dBm	dBm	dBm	dBm	dBm	dBm	dBm
n80	n78 <sup>1,2</sup>	15		-70.9	-70.9	-70.8			-70.7				
		30		-71.2	-71.0	-70.9			-70.8				
		60		-71.6	-71.3	-71.2			-71.0				
n80	n78 <sup>3</sup>	15		-93.7	-92.2	-91.4							
		30		-94.0	-92.3	-91.5							
		60		-94.4	-92.6	-91.8							

NOTE 1: These requirements apply when there is at least one individual RE within the uplink transmission bandwidth of the aggressor (lower) band for which the 2nd transmitter harmonic is within the downlink transmission bandwidth of a victim (higher) band.

NOTE 2: The requirements should be verified for UL EARFCN of the aggressor (lower) band (superscript LB) such that  $f_{UL}^{LB} = \lfloor f_{DL}^{HB} / 0.2 \rfloor \cdot 0.1$  in MHz and  $F_{UL\_low}^{LB} + BW_{Channel}^{LB} / 2 \leq f_{UL}^{LB} \leq F_{UL\_high}^{LB} - BW_{Channel}^{LB} / 2$  with  $f_{DL}^{HB}$  carrier frequency in the victim (higher) band in MHz and  $BW_{Channel}^{LB}$  the channel bandwidth configured in the lower band.

NOTE 3: The requirements are only applicable to channel bandwidths with a carrier frequency at  $\pm (20 + BW_{Channel}^{HB} / 2)$  MHz offset from  $2f_{UL}^{LB}$  in the victim (higher band) with  $F_{UL\_low}^{LB} + BW_{Channel}^{LB} / 2 \leq f_{UL}^{LB} \leq F_{UL\_high}^{LB} - BW_{Channel}^{LB} / 2$ , where  $BW_{Channel}^{LB}$  and  $BW_{Channel}^{HB}$  are the channel bandwidths configured in the aggressor (lower) and victim (higher) bands in MHz, respectively.

For the UE which supports SUL band combination, the minimum requirement for reference sensitivity in Table 7.3C.2.3-1 shall be increased by the amount given in  $\Delta R_{IB,c}$  defined in subclause 7.3C.3.

### 7.3C.3 $\Delta R_{IB,c}$ for SUL

#### 7.3C.3.1 General

For a UE supporting a SUL configuration, the  $\Delta R_{IB,c}$  applies for both SC and SUL operation.

#### 7.3C.3.2 SUL band combination

For the UE which supports SUL band combination, the minimum requirement for reference sensitivity in Table 7.3.2.3-1 shall be increased by the amount given in  $\Delta R_{IB,c}$  defined in Table 7.3C.3.2-1 for the applicable operating bands.

**Table 7.3C.3.2-1:  $\Delta R_{IB,c}$  due to SUL (two bands)**

Band combination for SUL	NR Band	$\Delta R_{IB,c}$ [dB]
SUL_n78-n80	n78	0.5
	n80	0.2
SUL_n78-n81	n78	0.2
	n81	0.2
SUL_n78-n82	n78	0.5
SUL_n78-n83	n78	0.5
	n83	0.2
SUL_n78-n84	n78	0.5
SUL_n78-n86	n78	0.5
	n86	0.2

## 7.4 Maximum input level

FFS

### 7.4A Maximum input level for CA

FFS

## 7.5 Adjacent channel selectivity

Editor's note: This clause is incomplete. The following aspects are either missing or not yet determined:

- UL power level configuration is TBD in TS 38.101-1 [2].
- SA message contents in TS 38.508-1[5] subclause 4.6 is FFS

### 7.5.1 Test purpose

Adjacent channel selectivity (ACS) is a measure of a receiver's ability to receive an NR signal at its assigned channel frequency in the presence of an adjacent channel signal at a given frequency offset from the centre frequency of the assigned channel. ACS is the ratio of the receive filter attenuation on the assigned channel frequency to the receive filter attenuation on the adjacent channel(s).

### 7.5.2 Test applicability

This test applies to all types of NR UE release 15 and forward.

### 7.5.3 Minimum conformance requirements

The UE shall fulfil the minimum requirements specified in Table 7.5.3-1 for NR bands with  $F_{DL\_high} < 2700$  MHz and  $F_{UL\_high} < 2700$  MHz and the minimum requirements specified in Table 7.5.3-2. for NR bands with  $F_{DL\_low} \geq 3300$  MHz and  $F_{UL\_low} \geq 3300$  MHz. These requirements apply for all values of an adjacent channel interferer up to -25 dBm and for any SCS specified for the channel bandwidth of the wanted signal. However, it is not possible to directly measure the ACS; instead the lower and upper range of test parameters are chosen as in Table 7.5.3-3 and Table 7.5.3-4 for verification of the requirements specified in Table 7.5.3-1 and as in Table 7.5.3-5, and Table 7.5.3-6 for verification of the requirements specified in Table 7.5.3-2. For these test parameters, the throughput shall be  $\geq 95\%$  of the maximum throughput of the reference measurement channels as specified in [Annexes A.2.2, A.2.3 and A.3.2(with one sided dynamic OCNG Pattern OP.1 FDD/TDD for the DL-signal as described in Annex A.5)]. For operating bands with an unpaired DL part (as noted in Table 5.2-1), the requirements only apply for carriers assigned in the paired part.

**Table 7.5.3-1: ACS for NR bands with  $F_{DL\_high} < 2700$  MHz and  $F_{UL\_high} < 2700$  MHz**

RX parameter	Units	Channel bandwidth				
		5 MHz	10 MHz	15 MHz	20 MHz	25 MHz
ACS	dB	[33]	[33]	[30]	[27]	[26]
RX parameter	Units	Channel bandwidth				
		30 MHz	40 MHz	50 MHz	60 MHz	80 MHz
ACS	dB	[25.5]	[24]	[23]	[22.5]	[21]
RX parameter	Units	Channel bandwidth				
		90 MHz	100 MHz			
ACS	dB	[20.5]	[20]			

**Table 7.5.3-2: ACS for NR bands with  $F_{DL\_low} \geq 3300$  MHz and  $F_{UL\_low} \geq 3300$  MHz**

RX parameter	Units	Channel bandwidth				
		10 MHz	15 MHz	20 MHz	40 MHz	50 MHz
ACS	dB	[33]	[33]	[33]	[33]	[33]
RX parameter	Units	Channel bandwidth				
		60 MHz	80 MHz	90 MHz	100 MHz	
ACS	dB	[33]	[33]	[33]	[33]	

Table 7.5.3-3: Test parameters for NR bands with  $F_{DL\_high} < 2700$  MHz and  $F_{UL\_high} < 2700$  MHz, case 1

RX parameter	Units	Channel bandwidth				
		5 MHz	10 MHz	15 MHz	20 MHz	25 MHz
Power in transmission bandwidth configuration	dBm	REFSENS + 14 dB				
$P_{interferer}$	dBm	REFSENS + [45.5] dB	REFSENS + [45.5] dB	REFSENS + [42.5] dB	REFSENS + [39.5] dB	REFSENS + [38.5] dB
$BW_{interferer}$	MHz	5	5	5	5	5
$F_{interferer}$ (offset)	MHz	5 / -5	7.5 / -7.5	10 / -10	12.5 / -12.5	15 / -15
RX parameter	Units	Channel bandwidth				
		30 MHz	40 MHz	50 MHz	60 MHz	80 MHz
Power in transmission bandwidth configuration	dBm	REFSENS + 14 dB				
$P_{interferer}$	dBm	REFSENS + [38] dB	REFSENS + [36.5] dB	REFSENS + [35.5] dB	REFSENS + [35] dB	REFSENS + [33.5] dB
$BW_{interferer}$	MHz	5	5	5	5	5
$F_{interferer}$ (offset)	MHz	17.5 / -17.5	22.5 / -22.5	27.5 / -27.5	32.5 / -32.5	42.5 / -42.5
RX parameter	Units	Channel bandwidth				
		90 MHz	100 MHz			
Power in transmission bandwidth configuration	dBm	REFSENS + 14 dB				
$P_{interferer}$	dBm	REFSENS + [33] dB	REFSENS + [32.5] dB			
$BW_{interferer}$	MHz	5	5			
$F_{interferer}$ (offset)	MHz	47.5 / -47.5	52.5 / -52.5			
NOTE 1: The transmitter shall be set to 4dB below [...].						
NOTE 2: The absolute value of the interferer offset $F_{interferer}$ (offset) shall be further adjusted to $(\lceil F_{interferer} / SCS \rceil + 0.5) SCS$ MHz with SCS the sub-carrier spacing of the wanted signal in MHz. The interferer is an NR signal with an SCS equal to that of the wanted signal.						
NOTE 3: The interferer consists of the NR interferer RMC specified in [...]						

Table 7.5.3-4: Test parameters for NR bands with  $F_{DL\_high} < 2700$  MHz and  $F_{UL\_high} < 2700$  MHz, case 2

RX parameter	Units	Channel bandwidth				
		5 MHz	10 MHz	15 MHz	20 MHz	25 MHz
Power in transmission bandwidth configuration	dBm	[-56.5]	[-56.5]	[-53.5]	[-50.5]	[-49.5]
$P_{interferer}$	dBm	-25				
$BW_{interferer}$	MHz	5	5	5	5	5
$F_{interferer}$ (offset)	MHz	5 / -5	7.5 / -7.5	10 / -10	12.5 / -12.5	15 / -15
RX parameter	Units	Channel bandwidth				
		30 MHz	40 MHz	50 MHz	60 MHz	80 MHz
Power in transmission bandwidth configuration	dBm	[-49]	[-47]	[-46.5]	[-46]	[-44.5]
$P_{interferer}$	dBm	-25				
$BW_{interferer}$	MHz	5	5	5	5	5
$F_{interferer}$ (offset)	MHz	17.5 / -17.5	22.5 / -22.5	27.5 / -27.5	32.5 / -32.5	42.5 / -42.5
RX parameter	Units	Channel bandwidth				
		90 MHz	100 MHz			
Power in transmission bandwidth configuration	dBm	[-44]	[-43.5]			
$P_{interferer}$	dBm	-25				
$BW_{interferer}$	MHz	5	5			
$F_{interferer}$ (offset)	MHz	52.5 / -52.5	52.5 / -52.5			
NOTE 1: The transmitter shall be set to 24 dB below [...].						
NOTE 2: The absolute value of the interferer offset $F_{interferer}$ (offset) shall be further adjusted to $(\lceil  F_{interferer}  / SCS \rceil + 0.5) SCS$ MHz with SCS the sub-carrier spacing of the wanted signal in MHz. The interferer is an NR signal with an SCS equal to that of the wanted signal.						
NOTE 3: The interferer consists of the RMC specified in [...].						

**Table 7.5.3-5: Test parameters for NR bands with  $F_{DL\_low} \geq 3300$  MHz and  $F_{UL\_low} \geq 3300$  MHz, case 1**

RX parameter	Units	Channel bandwidth				
		10 MHz	15 MHz	20 MHz	40 MHz	50 MHz
Power in transmission bandwidth configuration	dBm	REFSENS + 14 dB				
$P_{interferer}$	dBm	REFSENS + [45.5] dB				
$BW_{interferer}$	MHz	10	15	20	40	50
$F_{interferer}$ (offset)	MHz	10	15	20	40	50
		/	/	/	/	/
		-10	-15	-20	-40	-50
RX parameter	Units	Channel bandwidth				
		60 MHz	80 MHz	90 MHz	100 MHz	
Power in transmission bandwidth configuration	dBm	REFSENS + 14 dB				
$P_{interferer}$	dBm	REFSENS + [45.5] dB				
$BW_{interferer}$	MHz	60	80	90	100	
$F_{interferer}$ (offset)	MHz	60	80	90	100	
		/	/	/	/	
		-60	-80	-90	-100	
NOTE 1: The transmitter shall be set to 4dB below [...].						
NOTE 2: The absolute value of the interferer offset $F_{interferer}$ (offset) shall be further adjusted to $(\lceil  F_{interferer}  / SCS \rceil + 0.5) SCS$ MHz with SCS the sub-carrier spacing of the wanted signal in MHz. The interferer is an NR signal with an SCS equal to that of the wanted signal.						
NOTE 3: The interferer consists of the RMC specified in [...].						

**Table 7.5.3-6: Test parameters for NR bands with  $F_{DL\_low} \geq 3300$  MHz and  $F_{UL\_low} \geq 3300$  MHz, case 2**

RX parameter	Units	Channel bandwidth				
		10 MHz	20 MHz	40 MHz	60 MHz	80 MHz
Power in transmission bandwidth configuration	dBm	[-56.5]				
$P_{interferer}$	dBm	-25				
$BW_{interferer}$	MHz	10	20	40	60	80
$F_{interferer}$ (offset)	MHz	10	20	40	60	80
		/	/	/	/	/
		-10	-20	-40	-60	-80
RX parameter	Units	Channel bandwidth				
		60 MHz	80 MHz	90 MHz	100 MHz	
Power in transmission bandwidth configuration	dBm	[-56.5]				
$P_{interferer}$	dBm	-25	-25	-25	-25	
$BW_{interferer}$	MHz	60	80	90	100	
$F_{interferer}$ (offset)	MHz	60	80	90	100	
		/	/	/	/	
		-60	-80	-90	-100	
NOTE 1: The transmitter shall be set to 24 dB below [...].						
NOTE 2: The absolute value of the interferer offset $F_{interferer}$ (offset) shall be further adjusted to $(\lceil  F_{interferer}  / SCS \rceil + 0.5) SCS$ MHz with SCS the sub-carrier spacing of the wanted signal in MHz. The interferer is an NR signal with an SCS equal to that of the wanted signal.						
NOTE 3: The interferer consists of the RMC specified in [...].						

The normative reference for this requirement is TS 38.101-1 [2] clause 7.5.

## 7.5.4 Test description

### 7.5.4.1 Initial conditions

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and sub-carrier spacing based on NR operating bands specified in Table 5.3.5-1. All of these configurations shall be tested with applicable test parameters for each combination of channel bandwidth and sub-carrier spacing, are shown in table 7.5.4.1-1. The details of the uplink and downlink reference measurement channels (RMC) are specified in Annexes A.2 and A.3. Configuration of PDSCH and PDCCH before measurement are specified in Annex C.2.

**Table 7.5.4.1-1: Test Configuration Table**

Default Conditions				
Test Environment as specified in TS 38.508-1 [5] subclause 4.1			Normal	
Test Frequencies as specified in TS 38.508-1 [5] subclause 4.3.1			Mid range	
Test Channel Bandwidths as specified in TS 38.508-1 [5] subclause 4.3.1			Lowest, Mid and Highest	
Test SCS as specified in Table 5.3.5-1			Lowest	
Test Parameters				
Downlink Configuration			Uplink Configuration	
Test ID	Mod'n	RB allocation	Mod'n	RB allocation
1	CP-OFDM QPSK	NOTE 1	DFT-s-OFDM QPSK	NOTE 1
NOTE 1: The specific configuration of uplink and downlink are defined in Table 7.3.2.4.1-1.				

1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, in Figure A.3.1.4.1 for TE diagram and section A.3.2.1 for UE diagram.
2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
3. Downlink signals are initially set up according to Annex C.0, C.1, C.2, C.3.1, and uplink signals according to Annex G.0, G.1, G.2, G.3.1.
4. The DL and UL Reference Measurement channels are set according to Table 7.5.4.1-1.
5. Propagation conditions are set according to Annex B.0.
6. Ensure the UE is in state RRC\_CONNECTED with generic procedure parameters Connectivity NR according to TS 38.508-1 [5] clause 4.5. Message content are defined in clause 7.5.4.3.

### 7.5.4.2 Test procedure

1. SS transmits PDSCH via PDCCH DCI format [1\_1] for C\_RNTI to transmit the DL RMC according to Table 7.5.4.1-1. The SS sends downlink MAC padding bits on the DL RMC.
2. SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format [0\_1] for C\_RNTI to schedule the UL RMC according to Table 7.5.4.1-1. Since the UL has no payload and no loopback data to send the UE sends uplink MAC padding bits on the UL RMC.
3. Set the Downlink signal level to the value as defined in Table 7.5.5-2 or Table 7.5.5-5 as appropriate (Case 1). Send Uplink power control commands to the UE (less or equal to TBD dB step size should be used), to ensure that the UE output power is within [TBD] dB of the target power level in Table 7.5.5-2 or Table 7.5.5-5, for at least the duration of the Throughput measurement.
4. Set the Interferer signal level to the value as defined in Table 7.5.5-2 or Table 7.5.5-5 as appropriate (Case 1) and frequency below the wanted signal, using a modulated interferer bandwidth as defined in Annex [TBD].
5. Measure the average throughput for a duration sufficient to achieve statistical significance according to Annex H.
6. Repeat steps from 3 to 5, using an interfering signal above the wanted signal in Case 1 at step 4.

7. Set the Downlink signal level to the value as defined in Table 7.5.5-3 or Table 7.5.5-6 as appropriate (Case 2). Send Uplink power control commands to the UE (less or equal to 1dB step size should be used), to ensure that the UE output power is within [TBD] dB of the target power level in Table [TBD], for at least the duration of the Throughput measurement.
8. Set the Interferer signal level to the value as defined in Table 7.5.5-3 or Table 7.5.5-6 as appropriate (Case 2) and frequency below the wanted signal, using a modulated interferer bandwidth as defined in Annex [TBD].
9. Measure the average throughput for a duration sufficient to achieve statistical significance according to Annex H.
10. Repeat steps from 7 to 9, using an interfering signal above the wanted signal in Case 2 at step 8.
11. Repeat for applicable channel bandwidths and operating band combinations in both Case 1 and Case 2.

#### 7.5.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6 with DFT-s-OFDM condition in Table 4.6.3-89 PUSCH-Config.

#### 7.5.5 Test requirement

For NR bands with  $F_{DL\_high} < 2700$  MHz and  $F_{UL\_high} < 2700$  MHz, the throughput measurement derived in test procedure shall be  $\geq 95\%$  of the maximum throughput of the reference measurement channels as specified in [Annexes A.2.2, A.2.3 and A.3.2 (with one sided dynamic OCNG Pattern OP.1 FDD/TDD for the DL-signal as described in Annex A.5.1.1/A.5.2.1)] with parameters specified in Tables 7.5.5-2 and 7.5.5-3.

**Table 7.5.5-1: ACS for NR bands with  $F_{DL\_high} < 2700$  MHz and  $F_{UL\_high} < 2700$  MHz**

RX parameter	Units	Channel bandwidth				
		5 MHz	10 MHz	15 MHz	20 MHz	25 MHz
ACS	dB	[33]	[33]	[30]	[27]	[26]
RX parameter	Units	Channel bandwidth				
		30 MHz	40 MHz	50 MHz	60 MHz	80 MHz
ACS	dB	[25.5]	[24]	[23]	[22.5]	[21]
RX parameter	Units	Channel bandwidth				
		90 MHz	100 MHz			
ACS	dB	[20.5]	[20]			

Table 7.5.5-2: Test parameters for NR bands with  $F_{DL\_high} < 2700$  MHz and  $F_{UL\_high} < 2700$  MHz, case 1

RX parameter	Units	Channel bandwidth				
		5 MHz	10 MHz	15 MHz	20 MHz	25 MHz
Power in transmission bandwidth configuration	dBm	REFSENS + 14 dB				
$P_{interferer}$	dBm	REFSENS + [45.5] dB	REFSENS + [45.5] dB	REFSENS + [42.5] dB	REFSENS + [39.5] dB	REFSENS + [38.5] dB
$BW_{interferer}$	MHz	5	5	5	5	5
$F_{interferer}$ (offset)	MHz	5 / -5	7.5 / -7.5	10 / -10	12.5 / -12.5	15 / -15
RX parameter	Units	Channel bandwidth				
		30 MHz	40 MHz	50 MHz	60 MHz	80 MHz
Power in transmission bandwidth configuration	dBm	REFSENS + 14 dB				
$P_{interferer}$	dBm	REFSENS + [38] dB	REFSENS + [36.5] dB	REFSENS + [35.5] dB	REFSENS + [35] dB	REFSENS + [33.5] dB
$BW_{interferer}$	MHz	5	5	5	5	5
$F_{interferer}$ (offset)	MHz	17.5 / -17.5	22.5 / -22.5	27.5 / -27.5	32.5 / -32.5	42.5 / -42.5
RX parameter	Units	Channel bandwidth				
		90 MHz	100 MHz			
Power in transmission bandwidth configuration	dBm	REFSENS + 14 dB				
$P_{interferer}$	dBm	REFSENS + [33] dB	REFSENS + [32.5] dB			
$BW_{interferer}$	MHz	5	5			
$F_{interferer}$ (offset)	MHz	47.5 / -47.5	52.5 / -52.5			
NOTE 1: The transmitter shall be set to 4dB below [...].						
NOTE 2: The absolute value of the interferer offset $F_{interferer}$ (offset) shall be further adjusted to $(\lceil  F_{interferer}  / SCS \rceil + 0.5) \cdot SCS$ MHz with SCS the sub-carrier spacing of the wanted signal in MHz. The interferer is an NR signal with an SCS equal to that of the wanted signal.						
NOTE 3: The interferer consists of the NR interferer RMC specified in [...]						

**Table 7.5.5-3: Test parameters for NR bands with  $F_{DL\_high} < 2700$  MHz and  $F_{UL\_high} < 2700$  MHz, case 2**

RX parameter	Units	Channel bandwidth				
		5 MHz	10 MHz	15 MHz	20 MHz	25 MHz
Power in transmission bandwidth configuration	dBm	[-56.5]	[-56.5]	[-53.5]	[-50.5]	[-49.5]
$P_{interferer}$	dBm	-25				
$BW_{interferer}$	MHz	5	5	5	5	5
$F_{interferer}$ (offset)	MHz	5 / -5	7.5 / -7.5	10 / -10	12.5 / -12.5	15 / -15
RX parameter	Units	Channel bandwidth				
		30 MHz	40 MHz	50 MHz	60 MHz	80 MHz
Power in transmission bandwidth configuration	dBm	[-49]	[-47]	[-46.5]	[-46]	[-44.5]
$P_{interferer}$	dBm	-25				
$BW_{interferer}$	MHz	5	5	5	5	5
$F_{interferer}$ (offset)	MHz	17.5 / -17.5	22.5 / -22.5	27.5 / -27.5	32.5 / -32.5	42.5 / -42.5
RX parameter	Units	Channel bandwidth				
		90 MHz	100 MHz			
Power in transmission bandwidth configuration	dBm	[-44]	[-43.5]			
$P_{interferer}$	dBm	-25				
$BW_{interferer}$	MHz	5	5			
$F_{interferer}$ (offset)	MHz	52.5 / -52.5	52.5 / -52.5			
NOTE 1: The transmitter shall be set to 24 dB below [...].						
NOTE 2: The absolute value of the interferer offset $F_{interferer}$ (offset) shall be further adjusted to $(\lceil  F_{interferer}  / SCS \rceil + 0.5) SCS$ MHz with SCS the sub-carrier spacing of the wanted signal in MHz. The interferer is an NR signal with an SCS equal to that of the wanted signal.						
NOTE 3: The interferer consists of the RMC specified in [...].						

For NR bands with  $F_{DL\_high} < 3300$  MHz and  $F_{UL\_high} < 3300$  MHz, the throughput measurement derived in test procedure shall be  $\geq 95\%$  of the maximum throughput of the reference measurement channels as specified in [Annexes A.2.2, A.2.3 and A.3.2 (with one sided dynamic OCNG Pattern OP.1 FDD/TDD for the DL-signal as described in Annex A.5.1.1/A.5.2.1)] with parameters specified in Tables 7.5.5-5 and 7.5.5-6.

**Table 7.5.5-4: ACS for NR bands with  $F_{DL\_low} \geq 3300$  MHz and  $F_{UL\_low} \geq 3300$  MHz**

RX parameter	Units	Channel bandwidth				
		10 MHz	15 MHz	20 MHz	40 MHz	50 MHz
ACS	dB	[33]	[33]	[33]	[33]	[33]
RX parameter	Units	Channel bandwidth				
		60 MHz	80 MHz	90 MHz	100 MHz	
ACS	dB	[33]	[33]	[33]	[33]	

**Table 7.5.5-5: Test parameters for NR bands with  $F_{DL\_low} \geq 3300$  MHz and  $F_{UL\_low} \geq 3300$  MHz, case 1**

RX parameter	Units	Channel bandwidth				
		10 MHz	15 MHz	20 MHz	40 MHz	50 MHz
Power in transmission bandwidth configuration	dBm	REFSENS + 14 dB				
$P_{interferer}$	dBm	REFSENS + [45.5] dB				
$BW_{interferer}$	MHz	10	15	20	40	50
$F_{interferer}$ (offset)	MHz	10	15	20	40	50
		/	/	/	/	/
		-10	-15	-20	-40	-50
RX parameter	Units	Channel bandwidth				
		60 MHz	80 MHz	90 MHz	100 MHz	
Power in transmission bandwidth configuration	dBm	REFSENS + 14 dB				
$P_{interferer}$	dBm	REFSENS + [45.5] dB				
$BW_{interferer}$	MHz	60	80	90	100	
$F_{interferer}$ (offset)	MHz	60	80	90	100	
		/	/	/	/	
		-60	-80	-90	-100	
NOTE 1: The transmitter shall be set to 4dB below [...].						
NOTE 2: The absolute value of the interferer offset $F_{interferer}$ (offset) shall be further adjusted to $(\lceil  F_{interferer}  / SCS \rceil + 0.5) SCS$ MHz with SCS the sub-carrier spacing of the wanted signal in MHz. The interferer is an NR signal with an SCS equal to that of the wanted signal.						
NOTE 3: The interferer consists of the RMC specified in [...].						

**Table 7.5.5-6: Test parameters for NR bands with  $F_{DL\_low} \geq 3300$  MHz and  $F_{UL\_low} \geq 3300$  MHz, case 2**

RX parameter	Units	Channel bandwidth				
		10 MHz	20 MHz	40 MHz	60 MHz	80 MHz
Power in transmission bandwidth configuration	dBm	[-56.5]				
$P_{interferer}$	dBm	-25				
$BW_{interferer}$	MHz	10	20	40	60	80
$F_{interferer}$ (offset)	MHz	10	20	40	60	80
		/	/	/	/	/
		-10	-20	-40	-60	-80
RX parameter	Units	Channel bandwidth				
		60 MHz	80 MHz	90 MHz	100 MHz	
Power in transmission bandwidth configuration	dBm	[-56.5]				
$P_{interferer}$	dBm	-25	-25	-25	-25	
$BW_{interferer}$	MHz	60	80	90	100	
$F_{interferer}$ (offset)	MHz	60	80	90	100	
		/	/	/	/	
		-60	-80	-90	-100	
NOTE 1: The transmitter shall be set to 24 dB below [...].						
NOTE 2: The absolute value of the interferer offset $F_{interferer}$ (offset) shall be further adjusted to $(\lceil  F_{interferer}  / SCS \rceil + 0.5) SCS$ MHz with SCS the sub-carrier spacing of the wanted signal in MHz. The interferer is an NR signal with an SCS equal to that of the wanted signal.						
NOTE 3: The interferer consists of the RMC specified in [...].						

## 7.5A Adjacent channel selectivity for CA

FFS

## 7.6 Blocking characteristics

The blocking characteristic is a measure of the receiver's ability to receive a wanted signal at its assigned channel frequency in the presence of an unwanted interferer on frequencies other than those of the spurious response or the adjacent channels, without this unwanted input signal causing a degradation of the performance of the receiver beyond a specified limit. The blocking performance shall apply at all frequencies except those at which a spurious response occurs.

### 7.6.1 General

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### 7.6.2 Inband Blocking

**Editor's note: This clause is incomplete. The following aspects are either missing or not yet determined:**

- **UL power level configuration is TBD in TS 38.101-1 [2].**
- **SA message contents in TS 38.508-1[5] subclause 4.6 is FFS**

#### 7.6.2.1 Test purpose

Inband blocking is defined for an unwanted interfering signal falling into the range from 15 MHz below to 15 MHz above the UE receive band, with  $F_{DL\_high} < 2700$  MHz and  $F_{UL\_high} < 2700$  MHz, or into an immediately adjacent frequency range up 3CBW below or above the UE receive band, with  $F_{DL\_high} < 3300$  MHz and  $F_{UL\_high} < 3300$  MHz, at which the relative throughput shall meet or exceed the requirement for the specified measurement channel.

#### 7.6.2.2 Test applicability

This test applies to all types of NR UE release 15 and forward.

#### 7.6.2.3 Minimum conformance requirements

For NR bands with  $F_{DL\_high} < 2700$  MHz and  $F_{UL\_high} < 2700$  MHz, in-band blocking (IBB) is defined for an unwanted interfering signal falling into the UE receive band or into the first 15 MHz below or above the UE receive band. The throughput of the wanted signal shall be  $\geq 95\%$  of the maximum throughput of the reference measurement channels as specified in Annexes A.2.2, A.2.3 and A.3.2 (with one sided dynamic OCNG Pattern OP.1 FDD/TDD for the DL signal as described in Annex A.5) with parameters specified in Table 7.6.2.3-1 and Table 7.6.2.3-2. The said relative throughput shall be met for any SCS specified for the channel bandwidth of the wanted signal. For operating bands with an unpaired DL part (as noted in Table 5.2-1), the requirements only apply for carriers assigned in the paired part.

**Table 7.6.2.3-1: In-band blocking parameters for NR bands with FDL\_high < 2700 MHz and FUL\_high < 2700 MHz**

RX parameter	Units	Channel bandwidth				
		5 MHz	10 MHz	15 MHz	20 MHz	25 MHz
Power in transmission bandwidth configuration	dBm	REFSENS + channel specific value below				
	dB	6	6	7	9	10
BW <sub>interferer</sub>	MHz	5				
F <sub>offset, case 1</sub>	MHz	7.5				
F <sub>offset, case 2</sub>	MHz	12.5				
RX parameter	Units	Channel bandwidth				
		30 MHz	40 MHz	50 MHz	60 MHz	80 MHz
Power in transmission bandwidth configuration	dBm	REFSENS + channel specific value below				
	dB	11	12	13	14	15
BW <sub>interferer</sub>	MHz	5				
F <sub>offset, case 1</sub>	MHz	7.5				
F <sub>offset, case 2</sub>	MHz	12.5				
RX parameter	Units	Channel bandwidth				
		90 MHz	100 MHz			
Power in transmission bandwidth configuration	dBm	REFSENS + channel specific value below				
	dB	15.5	16			
BW <sub>interferer</sub>	MHz	5				
F <sub>offset, case 1</sub>	MHz	7.5				
F <sub>offset, case 2</sub>	MHz	12.5				

NOTE 1: The transmitter shall be set to 4dB below [TBD].  
 NOTE 2: The interferer consists of the RMC specified in Annexes A.2.2, A.2.3 and A.3.2 (with one sided dynamic OCNG Pattern OP.1 FDD/TDD as described in Annex A.5.1.1/A.5.2.1 and set up according to Annex [TBD])

**Table 7.6.2.3-2: In-band blocking for NR bands with FDL\_high < 2700 MHz and FUL\_high < 2700 MHz**

NR band	Parameter	Unit	Case 1	Case 2	Case 3
	P <sub>interferer</sub>	dBm	-56	-44	-15
n1, n2, n3, n5, n7, n8, n12, n20, n25, n28, n34, n38, n39, n40, n41, n51, n66, n70, n71, n75, n76	F <sub>interferer (offset)</sub>	MHz	-CBW/2 – F <sub>offset, case 1</sub> and CBW/2 + F <sub>offset, case 1</sub>	≤ -CBW/2 – F <sub>offset, case 2</sub> and ≥ CBW/2 + F <sub>offset, case 2</sub>	
	F <sub>interferer</sub>	MHz	NOTE 2	F <sub>DL_low</sub> – 15 to F <sub>DL_high</sub> + 15	
n71	F <sub>interferer</sub>	MHz	NOTE 2	F <sub>DL_low</sub> – 12 to F <sub>DL_high</sub> + 15	F <sub>DL_low</sub> – 12

NOTE 1: The absolute value of the interferer offset F<sub>interferer (offset)</sub> shall be further adjusted to  $(\lceil F_{interferer} / SCS \rceil + 0.5) SCS$  MHz with SCS the sub-carrier spacing of the wanted signal in MHz. The interferer is an NR signal with an SCS equal to that of the wanted signal.  
 NOTE 2: For each carrier frequency, the requirement applies for two interferer carrier frequencies: a: -CBW/2 – F<sub>offset, case 1</sub>; b: CBW/2 + F<sub>offset, case 1</sub>

For NR bands with F<sub>DL\_low</sub> ≥ 3300 MHz and F<sub>UL\_low</sub> ≥ 3300 MHz, in-band blocking (IBB) is defined for an unwanted interfering signal falling into the UE receive band or into an immediately adjacent frequency range up 3CBW below or above the UE receive band with CBW is the bandwidth of the wanted signal. The throughput of the wanted signal shall be ≥ 95% of the maximum throughput of the reference measurement channels as specified in [Annexes A.2.2, A.2.3 and A.3.2 (with one sided dynamic OCNG Pattern OP.1 FDD/TDD for the DL-signal as described in Annex

A.5.1.1/A.5.2.1)] with parameters specified in Table 7.6.2.3-3 and Table 7.6.2.3-4. The said relative throughput requirement shall be met for any SCS specified for the channel bandwidth of the wanted signal.

**Table 7.6.2.3-3: In-band blocking parameters for NR bands with  $F_{DL\_low} \geq 3300$  MHz and  $F_{UL\_low} \geq 3300$  MHz**

RX parameter	Units	Channel bandwidth				
		10 MHz	15 MHz	20 MHz	40 MHz	50 MHz
Power in transmission bandwidth configuration	dBm	REFSENS + channel specific value below				
	dB	6				
$BW_{interferer}$	MHz	10	20	40	60	80
$F_{offset, case 1}$	MHz	15	30	60	90	120
$F_{offset, case 2}$	MHz	25	50	100	150	200
RX parameter	Units	Channel bandwidth				
		60 MHz	80 MHz	90 MHz	100 MHz	
Power in transmission bandwidth configuration	dBm	REFSENS + channel specific value below				
	dB	6				
$BW_{interferer}$	MHz	60	80	90	100	
$F_{offset, case 1}$	MHz	90	120	135	150	
$F_{offset, case 2}$	MHz	150	200	225	250	
NOTE 1: The transmitter shall be set to 4dB below [TBD].						
NOTE 2: The interferer consists of the RMC specified in Annexes A.2.2, A.2.3 and A.3.2 (with one sided dynamic OCNB Pattern OP.1 FDD/TDD as described in Annex A.5.1.1/A.5.2.1 and set up according to Annex [TBD])						

**Table 7.6.2.3-4: In-band blocking for NR bands with  $F_{DL\_low} \geq 3300$  MHz and  $F_{UL\_low} \geq 3300$  MHz**

NR band	Parameter	Unit	Case 1	Case 2
		$P_{interferer}$	dBm	-56
n77, n78, n79	$F_{interferer}$ (offset)	MHz	$-CBW/2 - F_{offset, case 1}$ and $BW/2 + F_{offset, case 1}$	$\leq -CBW/2 - F_{offset, case 2}$ and $\geq CBW/2 + F_{offset, case 2}$
	$F_{interferer}$		NOTE 2	$F_{DL\_low} - 3CBW$ to $F_{DL\_high} + 3CBW$
NOTE 1: The absolute value of the interferer offset $F_{interferer}$ (offset) shall be further adjusted to $(\lceil  F_{interferer}  / SCS \rceil + 0.5) SCS$ MHz with SCS the sub-carrier spacing of the wanted signal in MHz. The interferer is an NR signal with an SCS equal to that of the wanted signal.				
NOTE 2: For each carrier frequency, the requirement applies for two interferer carrier frequencies: a: $-CBW/2 - F_{offset, case 1}$ ; b: $CBW/2 + F_{offset, case 1}$				
NOTE 3: CBW denotes the channel bandwidth of the wanted signal				

The normative reference for this requirement is TS 38.101-1 [2] clause 7.6.2.

7.6.2.4 Test description

7.6.2.4.1 Initial conditions

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, test channel bandwidths and sub-carrier spacing based on NR operating bands specified in Table 5.3.5-1. All of these configurations shall be tested with applicable test parameters for each combination of channel bandwidth and sub-carrier spacing, are shown in table 7.6.2.4.1-1. The details of the uplink and downlink reference measurement channels (RMC) are specified in Annexes A.2 and A.3. Configuration of PDSCH and PDCCH before measurement are specified in Annex C.2.

**Table 7.6.2.4.1-1: Test Configuration Table**

Default Conditions				
Test Environment as specified in TS 38.508-1 [5] subclause 4.1		Normal		
Test Frequencies as specified in TS 38.508-1 [5] subclause 4.3.1		Mid range		
Test Channel Bandwidths as specified in TS 38.508-1 [5] subclause 4.3.1		Lowest, Mid and Highest		
Test SCS as specified in Table 5.3.5-1		Lowest		
Test Parameters				
Test ID	Downlink Configuration		Uplink Configuration	
	Mod'n	RB allocation	Mod'n	RB allocation
1	CP-OFDM QPSK	NOTE 1	DFT-s-OFDM QPSK	NOTE 1
NOTE 1: The specific configuration of uplink and downlink are defined in Table 7.3.2.4.1-1.				

1. Connect the SS to the UE antenna connectors as shown in TS 38.508-1 [5] Annex A, in Figure A.3.1.4.1 for TE diagram and section A.3.2.1 for UE diagram.
2. The parameter settings for the cell are set up according to TS 38.508-1 [5] subclause 4.4.3.
3. Downlink signals are initially set up according to Annex C.0, C.1, C.2 , C.3.1 , and uplink signals according to Annex G.0, G.1, G.2, G.3.1.
4. The DL and UL Reference Measurement channels are set according to Table 7.6.2.4.1-1.
5. Propagation conditions are set according to Annex B.0 .
6. Ensure the UE is in state RRC\_CONNECTED with generic procedure parameters Connectivity NR according to TS 38.508-1 [5] clause 4.5. Message content are defined in clause 7.6.2.4.3.

#### 7.6.2.4.2 Test procedure

1. SS transmits PDSCH via PDCCH DCI format [1\_1] for C\_RNTI to transmit the DL RMC according to Table 7.6.2.4.1-1. The SS sends downlink MAC padding bits on the DL RMC.
2. SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format [0\_1] for C\_RNTI to schedule the UL RMC according to Table 7.6.2.4.1-1. Since the UL has no payload and no loopback data to send the UE sends uplink MAC padding bits on the UL RMC.
3. Set the parameters of the signal generator for an interfering signal below the wanted signal in Case 1 according to Tables 7.6.2.5-1 and 7.6.2.5-2 or Tables 7.6.2.5-3 and 7.6.2.5-4 as appropriate depending on NR band.
4. Set the downlink signal level according to the table 7.6.2.5-1 or 7.6.2.5-3 as appropriate. Send uplink power control commands to the UE (less or equal to TBD dB step size should be used), to ensure that the UE output power is within TBD dB of the target level in table 7.6.2.5-1 for NR bands with  $F_{DL\_high} < 2700$  MHz and  $F_{UL\_high} < 2700$  MHz or within TBD dB of the target level in table 7.6.2.5-3 for NR bands with  $F_{DL\_low} \geq 3300$  MHz and  $F_{UL\_low} \geq 3300$  MHz, for at least the duration of the throughput measurement.
5. Measure the average throughput for a duration sufficient to achieve statistical significance according to Annex H.
6. Repeat steps from 3 to 5, using an interfering signal above the wanted signal in Case 1 at step 3.
7. Repeat steps from 3 to 6, using interfering signals in Case 2 at step 3 and 6. The ranges of case 2 are covered in steps equal to the interferer bandwidth.

#### 7.6.2.4.3 Message contents

Message contents are according to TS 38.508-1 [5] subclause 4.6 with DFT-s-OFDM condition in Table 4.6.3-89 PUSCH-Config.

7.6.1.5 Test requirement

For NR bands with  $F_{DL\_high} < 2700$  MHz and  $F_{UL\_high} < 2700$  MHz, the throughput measurement derived in test procedure shall be  $\geq 95\%$  of the maximum throughput of the reference measurement channels as specified in Annex in Annexes A.2.2, A.2.3 and A.3.2 with parameters specified in Tables 7.6.2.5-1 and 7.6.2.5-2.

**Table 7.6.2.5-1: In-band blocking parameters for NR bands with  $F_{DL\_high} < 2700$  MHz and  $F_{UL\_high} < 2700$  MHz**

RX parameter	Units	Channel bandwidth				
		5 MHz	10 MHz	15 MHz	20 MHz	25 MHz
Power in transmission bandwidth configuration	dBm	REFSENS + channel specific value below				
	dB	6	6	7	9	10
$BW_{interferer}$	MHz	5				
$F_{offset, case 1}$	MHz	7.5				
$F_{offset, case 2}$	MHz	12.5				
RX parameter	Units	Channel bandwidth				
		30 MHz	40 MHz	50 MHz	60 MHz	80 MHz
Power in transmission bandwidth configuration	dBm	REFSENS + channel specific value below				
	dB	11	12	13	14	15
$BW_{interferer}$	MHz	5				
$F_{offset, case 1}$	MHz	7.5				
$F_{offset, case 2}$	MHz	12.5				
RX parameter	Units	Channel bandwidth				
		90 MHz	100 MHz			
Power in transmission bandwidth configuration	dBm	REFSENS + channel specific value below				
	dB	15.5	16			
$BW_{interferer}$	MHz	5				
$F_{offset, case 1}$	MHz	7.5				
$F_{offset, case 2}$	MHz	12.5				

NOTE 1: The transmitter shall be set to 4dB below [TBD].  
 NOTE 2: The interferer consists of the RMC specified in Annexes A.2.2, A.2.3 and A.3.2 (with one sided dynamic OCN Pattern OP.1 FDD/TDD as described in Annex A.5.1.1/A.5.2.1 and set up according to Annex [TBD])

**Table 7.6.2.5-2: In-band blocking for NR bands with  $F_{DL\_high} < 2700$  MHz and  $F_{UL\_high} < 2700$  MHz**

NR band	Parameter	Unit	Case 1	Case 2	Case 3
	$P_{interferer}$	dBm	-56	-44	-15
n1, n2, n3, n5, n7, n8, n12, n20, n28, n38, n39, n40, n41, n51, n66, n70, n71, n75, n76	$F_{interferer}$ (offset)	MHz	$-CBW/2 - F_{offset, case 1}$ and $CBW/2 + F_{offset, case 1}$	$\leq -CBW/2 - F_{offset, case 2}$ and $\geq CBW/2 + F_{offset, case 2}$	
	$F_{interferer}$	MHz	NOTE 2	$F_{DL\_low} - 15$ to $F_{DL\_high} + 15$	
n71	$F_{interferer}$	MHz	NOTE 2	$F_{DL\_low} - 12$ to $F_{DL\_high} + 15$	$F_{DL\_low} - 12$

NOTE 1: The absolute value of the interferer offset  $F_{interferer}$  (offset) shall be further adjusted to  $(\lceil |F_{interferer}| / SCS \rceil + 0.5) SCS$  MHz with SCS the sub-carrier spacing of the wanted signal in MHz. The interferer is an NR signal with an SCS equal to that of the wanted signal.  
 NOTE 2: For each carrier frequency, the requirement applies for two interferer carrier frequencies: a:  $-CBW/2 - F_{offset, case 1}$ ; b:  $CBW/2 + F_{offset, case 1}$

For NR bands with  $F_{DL\_low} \geq 3300$  MHz and  $F_{UL\_low} \geq 3300$  MHz, the throughput measurement derived in test procedure shall be  $\geq 95\%$  of the maximum throughput of the reference measurement channels as specified in Annex A.2 and A.3 with parameters specified in Tables 7.6.2.5-3 and 7.6.2.5-4.

**Table 7.6.2.5-3: In-band blocking parameters for NR bands with  $F_{DL\_low} \geq 3300$  MHz and  $F_{UL\_low} \geq 3300$  MHz**

RX parameter	Units	Channel bandwidth				
		10 MHz	15 MHz	20 MHz	40 MHz	50 MHz
Power in transmission bandwidth configuration	dBm	REFSENS + channel specific value below				
	dB	6				
$BW_{interferer}$	MHz	10	20	40	60	80
$F_{offset, case 1}$	MHz	15	30	60	90	120
$F_{offset, case 2}$	MHz	25	50	100	150	200
RX parameter	Units	Channel bandwidth				
		60 MHz	80 MHz	90 MHz	100 MHz	
Power in transmission bandwidth configuration	dBm	REFSENS + channel specific value below				
	dB	6				
$BW_{interferer}$	MHz	60	80	90	100	
$F_{offset, case 1}$	MHz	90	120	135	150	
$F_{offset, case 2}$	MHz	150	200	225	250	
NOTE 1: The transmitter shall be set to 4dB below [TBD].						
NOTE 2: The interferer consists of the RMC specified in Annexes A.2.2, A.2.3 and A.3.2 (with one sided dynamic OCNB Pattern OP.1 FDD/TDD as described in Annex A.5.1.1/A.5.2.1 and set up according to Annex [TBD])						

**Table 7.6.2.5-4: In-band blocking for NR bands with  $F_{DL\_low} \geq 3300$  MHz and  $F_{UL\_low} \geq 3300$  MHz**

NR band	Parameter	Unit	Case 1	Case 2
		$P_{interferer}$	dBm	-56
n77, n78, n79	$F_{interferer}$ (offset)	MHz	-CBW/2 – $F_{offset, case 1}$ and $BW/2 + F_{offset, case 1}$	$\leq -CBW/2 - F_{offset, case 2}$ and $\geq CBW/2 + F_{offset, case 2}$
	$F_{interferer}$		NOTE 2	$F_{DL\_low} - 3CBW$ to $F_{DL\_high} + 3CBW$
NOTE 1: The absolute value of the interferer offset $F_{interferer}$ (offset) shall be further adjusted to $(\lceil  F_{interferer}  / SCS \rceil + 0.5) SCS$ MHz with SCS the sub-carrier spacing of the wanted signal in MHz. The interferer is an NR signal with an SCS equal to that of the wanted signal.				
NOTE 2: For each carrier frequency, the requirement applies for two interferer carrier frequencies: a: $-CBW/2 - F_{offset, case 1}$ ; b: $CBW/2 + F_{offset, case 1}$				
NOTE 3: CBW denotes the channel bandwidth of the wanted signal				

### 7.6.3 Out-of-band blocking

FFS

### 7.6.4 Narrow band blocking

Editor’s note: This clause is incomplete. The following aspects are either missing or not yet determined:

- UL power level configuration is TBD in RAN 4 38.101-1.
- Stand alone message contents in TS 38.508-1[5] subclause 4.6 is TBD

7.6.3.1 Test Purpose

Out-of-band band blocking is defined for an unwanted CW interfering signal falling outside a frequency range 15 MHz below or above the UE receive band, with  $F_{DL\_high} < 2700$  MHz and  $F_{UL\_high} < 2700$  MHz, or falling outside a frequency range up to 3CBW below or from 3CBW above the UE receive band, with  $F_{DL\_low} \geq 3300$  MHz and  $F_{UL\_low} \geq 3300$  MHz, at which a given average throughput shall meet or exceed the requirement for the specified measurement channels.

7.6.3.2 Test Applicability

This test applies to all types of NR UE release 15 and forward.

7.6.3.3 Minimum Conformance Requirements

For NR bands with  $F_{DL\_high} < 2700$  MHz and  $F_{UL\_high} < 2700$  MHz out-of-band band blocking is defined for an unwanted CW interfering signal falling outside a frequency range 15 MHz below or above the UE receive band. The throughput of the wanted signal shall be  $\geq 95\%$  of the maximum throughput of the reference measurement channels as specified in Annexes A.2.2, A.2.3 and A.3.2 (with one sided dynamic OCNG Pattern OP.1 FDD/TDD for the DL-signal as described in Annex A.5.1.1/A.5.2.1) with parameters specified in Table 7.6.3-1 and Table 7.6.3-2. The said relative throughput requirement shall be met for any SCS specified for the channel bandwidth of the wanted signal. For operating bands with an unpaired DL part (as noted in Table 5.5-1), the requirements only apply for carriers assigned in the paired part.

**Table 7.6.3-1: Out-of-band blocking parameters for NR bands with  $F_{DL\_high} < 2700$  MHz and  $F_{UL\_high} < 2700$  MHz**

RX parameter	Units	Channel bandwidth				
		5 MHz	10 MHz	15 MHz	20 MHz	25 MHz
Power in transmission bandwidth configuration	dBm	REFSENS + channel specific value below				
	dB	6	6	7	9	10
RX parameter	Units	Channel bandwidth				
		30 MHz	40 MHz	50 MHz	60 MHz	80 MHz
Power in transmission bandwidth configuration	dBm	REFSENS + channel specific value below				
	dB	11	12	13	14	15
RX parameter	Units	Channel bandwidth				
		90 MHz	100 MHz			
Power in transmission bandwidth configuration	dBm	REFSENS + channel specific value below				
	dB	15.5	16			
NOTE: The transmitter shall be set to 4dB below ....						

**Table 7.6.3-2: Out of-band blocking for NR bands with  $F_{DL\_high} < 2700$  MHz and  $F_{UL\_high} < 2700$  MHz**

NR band	Parameter	Unit	Range 1	Range 2	Range 3
n1, n2, n3, n5, n7, n8, n12, n20, n25, n28, n34, n38, n39, n40, n41, n51, n66, n70, n71, n75, n76	$P_{interferer}$	dBm	-44	-30	-15
	$F_{interferer}$ (CW)	MHz	$-60 < f - F_{DL\_low} < -15$ or $15 < f - F_{DL\_high} < 60$	$-85 < f - F_{DL\_low} \leq -60$ or $60 \leq f - F_{DL\_high} < 85$	$1 \leq f \leq F_{DL\_low} - 85$ or $F_{DL\_high} + 85 \leq f \leq 12750$
NOTE: The power level of the interferer ( $P_{interferer}$ ) for Range 3 shall be modified to -20 dBm for $F_{interferer} > 6000$ MHz.					

For interferer frequencies across ranges 1, 2 and 3 in Table 7.6.3-2, a maximum of

$$\lfloor \max\{24,6 \cdot \lceil n \cdot N_{RB} / 6 \rceil\} / \min\{\lfloor n \cdot N_{RB} / 10 \rfloor, 5\} \rfloor$$

exceptions are allowed for spurious response frequencies in each assigned frequency channel when measured using a step size of  $\min(\lfloor CBW / 2 \rfloor, 5)$  MHz with  $N_{RB}$  the number of resource blocks in the downlink transmission bandwidth configuration,  $CBW$  the bandwidth of the frequency channel in MHz and  $n = 1,2,3$  for SCS = 15,30,60 kHz, respectively. For these exceptions, the requirements in sub-clause 7.7 apply.

For NR bands with  $F_{DL\_low} \geq 3300$  MHz and  $F_{UL\_low} \geq 3300$  MHz out-of-band band blocking is defined for an unwanted CW interfering signal falling outside a frequency range up to 3CBW below or from 3CBW above the UE receive band, where  $CBW$  is the channel bandwidth. The throughput of the wanted signal shall be  $\geq 95\%$  of the maximum throughput of the reference measurement channels as specified in Annexes A.2.2, A.2.3 and A.3.2 (with one sided dynamic OCNB Pattern OP.1 FDD/TDD for the DL-signal as described in Annex A.5.1.1/A.5.2.1) with parameters specified in Table 7.6.3-3 and Table 7.6.3-4. The said relative throughput requirement shall be met for any SCS specified for the channel bandwidth of the wanted signal.

**Table 7.6.3-3: Out-of-band blocking parameters for NR bands with  $F_{DL\_low} \geq 3300$  MHz and  $F_{UL\_low} \geq 3300$  MHz**

RX parameter	Units	Channel bandwidth				
		10 MHz	15 MHz	20 MHz	40 MHz	50 MHz
Power in transmission bandwidth configuration	dBm	REFSENS + channel specific value below				
	dB	6	7	9	9	9
RX parameter	Units	Channel bandwidth				
		60 MHz	80 MHz	90 MHz	100 MHz	
Power in transmission bandwidth configuration	dBm	REFSENS + channel specific value below				
	dB	9	9	9	9	
NOTE: The transmitter shall be set to 4dB below....						

**Table 7.6.3-4: Out of-band blocking for NR bands with  $F_{DL\_low} \geq 3300$  MHz and  $F_{UL\_low} \geq 3300$  MHz**

NR band	Parameter	Unit	Range1	Range 2	Range 3
n77, n78 (NOTE 3)	$P_{interferer}$	dBm	-44	-30	-15
	$F_{interferer}$ (CW)	MHz	$-60 < f - F_{DL\_low} \leq -3CBW$ or $3CBW \leq f - F_{DL\_high} < 60$	$-200 < f - F_{DL\_low} \leq -MAX(60,3CBW)$ or $MAX(60,3CBW) \leq f - F_{DL\_high} < 200$	$1 \leq f \leq F_{DL\_low} - MAX(200,3CBW)$ or $F_{DL\_high} + MAX(200,3CBW) \leq f \leq 12750$
n79 (NOTE 4)	$F_{interferer}$ (CW)	MHz	N/A	$-150 < f - F_{DL\_low} \leq -MAX(60,3CBW)$ or $MAX(60,3CBW) \leq f - F_{DL\_high} < 150$	$1 \leq f \leq F_{DL\_low} - MAX(150,3CBW)$ or $F_{DL\_high} + MAX(150,3CBW) \leq f \leq 12750$
NOTE 1: The power level of the interferer ( $P_{interferer}$ ) for Range 3 shall be modified to -20 dBm for $F_{interferer} > 6000$ MHz.					
NOTE 2: CBW denotes the channel bandwidth of the wanted signal					
NOTE 3: The power level of the interferer ( $P_{interferer}$ ) for Range 3 shall be modified to -20 dBm, for $F_{interferer} > 2700$ MHz and $F_{interferer} < 4800$ MHz. For $CBW > 15$ MHz, the requirement for Range 1 is not applicable and Range 2 applies from the frequency offset of 3CBW from the band edge. For $CBW$ larger than 60 MHz, the requirement for Range 2 is not applicable and Range 3 applies from the frequency offset of 3CBW from the band edge.					
NOTE 4: The power level of the interferer ( $P_{interferer}$ ) for Range 3 shall be modified to -20 dBm, for $F_{interferer} > 3650$ MHz and $F_{interferer} < 5750$ MHz. For $CBW \geq 40$ MHz, the requirement for Range 2 is not applicable and Range 3 applies from the frequency offset of 3CBW from the band edge.					

For interferer frequencies across ranges 1, 2 and 3 in Table 7.6.3-4, a maximum of

$$\lfloor \max\{24,6 \cdot \lceil n \cdot N_{RB} / 6 \rceil\} / \min\{\lfloor n \cdot N_{RB} / 10 \rfloor, 5\} \rfloor$$

exceptions are allowed for spurious response frequencies in each assigned frequency channel when measured using a step size of  $\min(\lfloor CBW / 2 \rfloor, 5)$  MHz with  $N_{RB}$  the number of resource blocks in the downlink transmission bandwidth configuration,  $CBW$  the bandwidth of the frequency channel in MHz and  $n = 1, 2, 3$  for SCS = 15, 30, 60 kHz, respectively. For these exceptions, the requirements in sub-clause 7.7 apply.

The normative reference for this requirement is TS 38.101-1 [2] clause 7.6.3.

#### 7.6.3.4 Test Description

##### 7.6.3.4.1 Initial Conditions

Initial conditions are a set of test configurations the UE needs to be tested in and the steps for the SS to take with the UE to reach the correct measurement state.

The initial test configurations consist of environmental conditions, test frequencies, and channel bandwidths based on NR operating bands specified in table 5.3.5-1. All of these configurations shall be tested with applicable test parameters for each combination of channel bandwidth and sub-carrier spacing, and are shown in table 7.6.3.4.1-1. The details of the uplink and downlink reference measurement channels (RMCs) are specified in Annexes A.2 and A.3 respectively. The details of the OCNG patterns used are specified in Annex A.5. Configurations of PDSCH and PDCCH before measurement are specified in Annex C.3.

**Table 7.6.3.4.1-1: Test Configuration Table**

Default Conditions				
Test Environment as specified in TS 38.508-1 [5] subclause 4.1			Normal	
Test Frequencies as specified in TS 38.508-1 [5] subclause 4.3.1			One frequency chosen arbitrarily from low or high range	
Test Channel Bandwidths as specified in TS 38.508-1 [5] subclause 4.3.1			Lowest, Mid and Highest	
Test SCS as specified in TS 38.508-1 [5] subclause 4.3.1			Lowest	
Test Parameters				
Test ID	Downlink Configuration		Uplink Configuration	
	Mod'n	RB allocation	Mod'n	RB allocation
1	CP-OFDM QPSK	NOTE 1	DFT-s-OFDM QPSK	NOTE 1
NOTE 1: The specific configuration of uplink and downlink are defined in Table 7.3.2.4.1-1.				

1. Connect the SS to the UE antenna connectors as shown in TS 38.508 [5] Annex A, in Figure A.3.1.4.1 for TE diagram and section A.3.2.1 for UE diagram.
2. The parameter settings for the cell are set up according to TS 38.508 [5] subclause 4.4.3.
3. Downlink signals are initially set up according to Annex C.3.1 and TS 38.508-1 [5] subclause 5.2.1.1.1, and uplink signals according to Annex [TBD].
4. The UL and DL Reference Measurement channels are set according to Table 7.6.3.4.1-1.
5. Propagation conditions are set according to Annex B.0.
6. Ensure the UE is in State RRC\_CONNECTED with generic procedure parameters Connectivity NR according to TS 38.508-1 [5] clause 4.5. Message contents are defined in clause 7.6.3.4.3.

##### 7.6.3.4.2 Test Procedure

1. SS transmits PDSCH via PDCCH DCI format [1\_1] for C\_RNTI to transmit the DL RMC according to Table 7.6.3.4.1-1. The SS sends downlink MAC padding bits on the DL RMC.
2. SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format [0\_1] for C\_RNTI to schedule the UL RMC according to Table 7.6.3.4.1-1. Since the UE has no payload data to send, the UE transmits uplink MAC padding bits on the UL RMC.
3. Set the parameters of the CW signal generator for an interfering signal below the wanted signal according to Table 7.6.3.5-2 or 7.6.3.5-4. The frequency step size is  $\min(\lfloor CBW / 2 \rfloor, 5)$  MHz.

4. Set the downlink signal level according to the table 7.6.3.5-1 or 7.6.3.5-3. Send uplink power control commands to the UE (less or equal to 1 dB step size should be used), to ensure that the UE output power is within 4dB below  $P_{CMAX\_L,f,c}$  of the target level in table 7.6.3.5-1 for NR bands with  $F_{DL\_high} < 2700$  MHz and  $F_{UL\_high} < 2700$  MHz or within 4dB below  $P_{CMAX\_L,f,c}$  dB of the target level in table 7.6.2.5-3 for NR bands with  $F_{DL\_low} \geq 3300$  MHz and  $F_{UL\_low} \geq 3300$  MHz, for at least the duration of the throughput measurement.
5. Measure the average throughput for a duration sufficient to achieve statistical significance according to Annex H.
6. Record the frequencies for which the throughput doesn't meet the requirements.
7. Repeat steps from 3 to 6, using an interfering signal above the wanted signal at step 3.

7.6.3.4.3 Message Contents

Message contents are according to TS 38.508-1 [5] subclause 4.6.

7.6.3.5 Test Requirement

For NR bands with  $F_{DL\_high} < 2700$  MHz and  $F_{UL\_high} < 2700$  MHz, the throughput measurement derived in test procedure shall be  $\geq 95\%$  of the maximum throughput of the reference measurement channels as specified in Annex A.3.2 with parameters specified in Tables 7.6.3.5-1 and 7.6.3.5-2.

For NR bands with  $F_{DL\_high} < 2700$  MHz and  $F_{UL\_high} < 2700$  MHz, the number of spurious response frequencies recorded in the final step of test procedure shall not exceed  $\lfloor \max\{24,6 \cdot \lceil n \cdot N_{RB} / 6 \rceil\} / \min\{\lfloor n \cdot N_{RB} / 10 \rfloor, 5\} \rfloor$  in each assigned frequency channel when measured using a  $\min(\lfloor CBW / 2 \rfloor, 5)$  MHz step size. For these exceptions the requirements of clause 7.7 Spurious Response are applicable.

**Table 7.6.3.5-1: Out-of-band blocking parameters for NR bands with  $F_{DL\_high} < 2700$  MHz and  $F_{UL\_high} < 2700$  MHz**

RX parameter	Units	Channel bandwidth				
		5 MHz	10 MHz	15 MHz	20 MHz	25 MHz
Power in transmission bandwidth configuration	dBm	REFSENS + channel specific value below				
	dB	6	6	7	9	10
RX parameter	Units	Channel bandwidth				
		30 MHz	40 MHz	50 MHz	60 MHz	80 MHz
Power in transmission bandwidth configuration	dBm	REFSENS + channel specific value below				
	dB	11	12	13	14	15
RX parameter	Units	Channel bandwidth				
		90 MHz	100 MHz			
Power in transmission bandwidth configuration	dBm	REFSENS + channel specific value below				
	dB	15.5	16			
NOTE: The transmitter shall be set to 4dB below ....						

**Table 7.6.3.5-2: Out of-band blocking for NR bands with  $F_{DL\_high} < 2700$  MHz and  $F_{UL\_high} < 2700$  MHz**

NR band	Parameter	Unit	Range 1	Range 2	Range 3
n1, n2, n3, n5, n7, n8, n12, n20, n25, n28, n34, n38, n39, n40, n41, n51, n66, n70, n71, n75, n76	$P_{interferer}$	dBm	-44	-30	-15
	$F_{interferer}$ (CW)	MHz	$-60 < f - F_{DL\_low} < -15$ or $15 < f - F_{DL\_high} < 60$	$-85 < f - F_{DL\_low} \leq -60$ or $60 \leq f - F_{DL\_high} < 85$	$1 \leq f \leq F_{DL\_low} - 85$ or $F_{DL\_high} + 85 \leq f \leq 12750$
NOTE: The power level of the interferer ( $P_{interferer}$ ) for Range 3 shall be modified to -20 dBm for $F_{interferer} > 6000$ MHz.					

For NR bands with  $F_{DL\_low} \geq 3300$  MHz and  $F_{UL\_low} \geq 3300$  MHz, the throughput measurement derived in test procedure shall be  $\geq 95\%$  of the maximum throughput of the reference measurement channels as specified in Annex 3.2 with parameters specified in Tables 7.6.3.5-3 and 7.6.3.5-4.

For NR bands with  $F_{DL\_low} \geq 3300$  MHz and  $F_{UL\_low} \geq 3300$  MHz, the number of spurious response frequencies recorded in the final step of test procedure shall not exceed  $\lfloor \max\{24, 6 \cdot \lceil n \cdot N_{RB} / 6 \rceil\} / \min\{\lfloor n \cdot N_{RB} / 10 \rfloor, 5\} \rfloor$  in each assigned frequency channel when measured using a  $\min(\lfloor CBW / 2 \rfloor, 5)$  MHz step size. For these exceptions the requirements of clause 7.7 Spurious Response are applicable.

**Table 7.6.3.5-3: Out-of-band blocking parameters for NR bands with  $F_{DL\_low} \geq 3300$  MHz and  $F_{UL\_low} \geq 3300$  MHz**

RX parameter	Units	Channel bandwidth				
		10 MHz	15 MHz	20 MHz	40 MHz	50 MHz
Power in transmission bandwidth configuration	dBm	REFSENS + channel specific value below				
	dB	6	7	9	9	9
RX parameter	Units	Channel bandwidth				
		60 MHz	80 MHz	90 MHz	100 MHz	
Power in transmission bandwidth configuration	dBm	REFSENS + channel specific value below				
	dB	9	9	9	9	
NOTE: The transmitter shall be set to 4dB below ....						

**Table 7.6.3.5-4: Out of-band blocking for NR bands with  $F_{DL\_low} \geq 3300$  MHz and  $F_{UL\_low} \geq 3300$  MHz**

NR band	Parameter	Unit	Range1	Range 2	Range 3
n77, n78 (NOTE 3)	$P_{interferer}$	dBm	-44	-30	-15
	$F_{interferer}$ (CW)	MHz	$-60 < f - F_{DL\_low} \leq -3CBW$ or $3CBW \leq f - F_{DL\_high} < 60$	$-200 < f - F_{DL\_low} \leq -MAX(60,3CBW)$ or $MAX(60,3CBW) \leq f - F_{DL\_high} < 200$	$1 \leq f \leq F_{DL\_low} - MAX(200,3CBW)$ or $F_{DL\_high} + MAX(200,3CBW) \leq f \leq 12750$
n79 (NOTE 4)	$F_{interferer}$ (CW)	MHz	N/A	$-150 < f - F_{DL\_low} \leq -MAX(60,3CBW)$ or $MAX(60,3CBW) \leq f - F_{DL\_high} < 150$	$1 \leq f \leq F_{DL\_low} - MAX(150,3CBW)$ or $F_{DL\_high} + MAX(150,3CBW) \leq f \leq 12750$
<p>NOTE 1: The power level of the interferer (<math>P_{interferer}</math>) for Range 3 shall be modified to -20 dBm for <math>F_{interferer} &gt; 6000</math> MHz.</p> <p>NOTE 2: CBW denotes the channel bandwidth of the wanted signal</p> <p>NOTE 3: The power level of the interferer (<math>P_{interferer}</math>) for Range 3 shall be modified to -20 dBm, for <math>F_{interferer} &gt; 2700</math> MHz and <math>F_{interferer} &lt; 4800</math> MHz. For <math>CBW &gt; 15</math> MHz, the requirement for Range 1 is not applicable and Range 2 applies from the frequency offset of 3CBW from the band edge. For <math>CBW</math> larger than 60 MHz, the requirement for Range 2 is not applicable and Range 3 applies from the frequency offset of 3CBW from the band edge.</p> <p>NOTE 4: The power level of the interferer (<math>P_{interferer}</math>) for Range 3 shall be modified to -20 dBm, for <math>F_{interferer} &gt; 3650</math> MHz and <math>F_{interferer} &lt; 5750</math> MHz. For <math>CBW \geq 40</math> MHz, the requirement for Range 2 is not applicable and Range 3 applies from the frequency offset of 3CBW from the band edge.</p>					

## 7.6A Blocking characteristics for CA

### 7.6A.1 General

### 7.6A.2 Inband blocking for CA

#### 7.6A.2.1 Intra-band contiguous CA

FFS

### 7.6A.3 Out-of-band blocking for CA

#### 7.6A.3.1 Intra-band contiguous CA

FFS

### 7.6A.4 Narrow band blocking for CA

## 7.7 Spurious response

FFS

## 7.8 Intermodulation characteristics

FFS

## 7.9 Spurious emissions

FFS

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## Annex A (normative): Measurement channels

### A.1 General

The throughput values defined in the measurement channels specified in Annex A, are calculated and are valid per data stream (codeword). For multi-stream (more than one codeword) transmissions, the throughput referenced in the minimum requirements is the sum of throughputs of all data streams (code words).

The UE category entry in the definition of the reference measurement channel in Annex A is only informative and reveals the UE categories, which can support the corresponding measurement channel. Whether the measurement channel is used for testing a certain UE category or not is specified in the individual minimum requirements.

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### A.2 UL reference measurement channels

#### A.2.1 General

The measurement channels in the following subclauses are defined to derive the requirements in clause 6 (Transmitter Characteristics) and clause 7 (Receiver Characteristics). The measurement channels represent example configurations of physical channels for different data rates.

## A.2.2 Reference measurement channels for FDD

### A.2.2.1 DFT-s-OFDM Pi/2-BPSK

Table A.2.2.1-1: Reference Channels for DFT-s-OFDM Pi/2-BPSK for 15kHz SCS

Parameter	Channel bandwidth	Subcarrier Spacing	Allocated resource blocks	DFT-s-OFDM Symbols per slot (Note 1)	Modulation	MCS Index (Note 2)	Target Coding Rate	Payload size	Transport block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulated symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	5-50	15	1	11	pi/2 BPSK	0	1/4	32	16	2	1	132	132
	5	15	12	11	pi/2 BPSK	0	1/4	384	16	2	1	1584	1584
	5	15	25	11	pi/2 BPSK	0	1/4	808	16	2	1	3300	3300
	10	15	25	11	pi/2 BPSK	0	1/4	808	16	2	1	3300	3300
	10	15	50	11	pi/2 BPSK	0	1/4	1544	16	2	1	6600	6600
	15	15	36	11	pi/2 BPSK	0	1/4	1128	16	2	1	4752	4752
	15	15	75	11	pi/2 BPSK	0	1/4	2408	16	2	1	9900	9900
	20	15	50	11	pi/2 BPSK	0	1/4	1544	16	2	1	6600	6600
	20	15	100	11	pi/2 BPSK	0	1/4	3104	16	2	1	13200	13200
	25	15	64	11	pi/2 BPSK	0	1/4	2024	16	2	1	8448	8448
	25	15	128	11	pi/2 BPSK	0	1/4	3976	24	2	2	16896	16896
	30	15	80	11	pi/2 BPSK	0	1/4	2472	16	2	1	10560	10560
	30	15	160	11	pi/2 BPSK	0	1/4	4872	24	2	2	21120	21120
	40	15	108	11	pi/2 BPSK	0	1/4	3368	16	2	1	14256	14256
	40	15	216	11	pi/2 BPSK	0	1/4	6664	24	2	2	28512	28512
	50	15	135	11	pi/2 BPSK	0	1/4	4104	24	2	2	17820	17820
	50	15	270	11	pi/2 BPSK	0	1/4	8448	24	2	3	35640	35640

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 6.1.4.1-1 defined in TS 38.214 [12].

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.2.1-2: Reference Channels for DFT-s-OFDM Pi/2-BPSK for 30kHz SCS

Parameter	Channel bandwidth	Subcarrier Spacing	Allocated resource blocks	DFT-s-OFDM Symbols per slot (Note 1)	Modulation	MCS Index (Note 2)	Target Coding Rate	Payload size	Transport block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulated symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	5-50	30	1	11	pi/2 BPSK	0	1/4	32	16	2	1	132	132
	5	30	5	11	pi/2 BPSK	0	1/4	160	16	2	1	660	660
	5	30	10	11	pi/2 BPSK	0	1/4	320	16	2	1	1320	1320
	10	30	12	11	pi/2 BPSK	0	1/4	384	16	2	1	1584	1584
	10	30	24	11	pi/2 BPSK	0	1/4	768	16	2	1	3168	3168
	15	30	18	11	pi/2 BPSK	0	1/4	576	16	2	1	2376	2376
	15	30	36	11	pi/2 BPSK	0	1/4	1128	16	2	1	4752	4752
	20	30	25	11	pi/2 BPSK	0	1/4	808	16	2	1	3300	3300
	20	30	50	11	pi/2 BPSK	0	1/4	1544	16	2	1	6600	6600
	25	30	32	11	pi/2 BPSK	0	1/4	1032	16	2	1	4224	4224
	25	30	64	11	pi/2 BPSK	0	1/4	2024	16	2	1	8448	8448
	30	30	36	11	pi/2 BPSK	0	1/4	1128	16	2	1	4752	4752
	30	30	75	11	pi/2 BPSK	0	1/4	2408	16	2	1	9900	9900
	40	30	50	11	pi/2 BPSK	0	1/4	1544	16	2	1	6600	6600
	40	30	100	11	pi/2 BPSK	0	1/4	3104	16	2	1	13200	13200
	50	30	64	11	pi/2 BPSK	0	1/4	2024	16	2	1	8448	8448
	50	30	128	11	pi/2 BPSK	0	1/4	3976	24	2	2	16896	16896
	60	30	81	11	pi/2 BPSK	0	1/4	2536	16	2	1	10692	10692
	60	30	162	11	pi/2 BPSK	0	1/4	5000	24	2	2	21384	21384
	80	30	108	11	pi/2 BPSK	0	1/4	3368	16	2	1	14256	14256
	80	30	216	11	pi/2 BPSK	0	1/4	6664	24	2	2	28512	28512
	90	30	120	11	pi/2 BPSK	0	1/4	3752	16	2	1	15840	15840
	90	30	243	11	pi/2 BPSK	0	1/4	7560	24	2	2	32076	32076
	100	30	135	11	pi/2 BPSK	0	1/4	4104	24	2	2	17820	17820
	100	30	270	11	pi/2 BPSK	0	1/4	8448	24	2	3	35640	35640

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 6.1.4.1-1 defined in TS 38.214 [12].

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.2.1-3: Reference Channels for DFT-s-OFDM Pi/2-BPSK for 60kHz SCS

Parameter	Channel bandwidth	Subcarrier Spacing	Allocated resource blocks	DFT-s-OFDM Symbols per slot (Note 1)	Modulation	MCS Index (Note 2)	Target Coding Rate	Payload size	Transport block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulated symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	10-100	60	1	11	pi/2 BPSK	0	1/4	32	16	2	1	132	132
	10	60	5	11	pi/2 BPSK	0	1/4	160	16	2	1	660	660
	10	60	10	11	pi/2 BPSK	0	1/4	320	16	2	1	1320	1320
	15	60	9	11	pi/2 BPSK	0	1/4	288	16	2	1	1188	1188
	15	60	18	11	pi/2 BPSK	0	1/4	576	16	2	1	2376	2376
	20	60	12	11	pi/2 BPSK	0	1/4	384	16	2	1	1584	1584
	20	60	24	11	pi/2 BPSK	0	1/4	768	16	2	1	3168	3168
	25	60	15	11	pi/2 BPSK	0	1/4	480	16	2	1	1980	1980
	25	60	30	11	pi/2 BPSK	0	1/4	984	16	2	1	3960	3960
	30	60	18	11	pi/2 BPSK	0	1/4	576	16	2	1	2376	2376
	30	60	36	11	pi/2 BPSK	0	1/4	1128	16	2	1	4752	4752
	40	60	25	11	pi/2 BPSK	0	1/4	808	16	2	1	3300	3300
	40	60	50	11	pi/2 BPSK	0	1/4	1544	16	2	1	6600	6600
	50	60	32	11	pi/2 BPSK	0	1/4	1032	16	2	1	4224	4224
	50	60	64	11	pi/2 BPSK	0	1/4	2024	16	2	1	8448	8448
	60	60	36	11	pi/2 BPSK	0	1/4	1128	16	2	1	4752	4752
	60	60	75	11	pi/2 BPSK	0	1/4	2408	16	2	1	9900	9900
	80	60	50	11	pi/2 BPSK	0	1/4	1544	16	2	1	6600	6600
	80	60	100	11	pi/2 BPSK	0	1/4	3104	16	2	1	13200	13200
	90	60	60	11	pi/2 BPSK	0	1/4	1864	16	2	1	7920	7920
	90	60	120	11	pi/2 BPSK	0	1/4	3752	16	2	1	15840	15840
	100	60	64	11	pi/2 BPSK	0	1/4	2024	16	2	1	8448	8448
	100	60	135	11	pi/2 BPSK	0	1/4	4104	24	2	2	17820	17820

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 6.1.4.1-1 defined in TS 38.214 [12].

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

## A.2.2.2 DFT-s-OFDM QPSK

Table A.2.2.2-1: Reference Channels for DFT-s-OFDM QPSK for 15kHz SCS

Parameter	Channel bandwidth	Subcarrier Spacing	Allocated resource blocks	DFT-s-OFDM Symbols per slot (Note 1)	Modulation	MCS Index (Note 2)	Target Coding Rate	Payload size	Transport block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulated symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	5-50	15	1	11	QPSK	2	1/6	56	16	2	1	264	132
	5	15	12	11	QPSK	2	1/6	608	16	2	1	3168	1584
	5	15	20	11	QPSK	2	1/6	1032	16	2	1	5280	2640
	5	15	25	11	QPSK	2	1/6	1256	16	2	1	6600	3300
	10	15	20	11	QPSK	2	1/6	1032	16	2	1	5280	2640
	10	15	25	11	QPSK	2	1/6	1256	16	2	1	6600	3300
	10	15	50	11	QPSK	2	1/6	2472	16	2	1	13200	6600
	15	15	20	11	QPSK	2	1/6	1032	16	2	1	5280	2640
	15	15	25	11	QPSK	2	1/6	1256	16	2	1	6600	3300
	15	15	36	11	QPSK	2	1/6	1800	16	2	1	9504	4752
	15	15	50	11	QPSK	2	1/6	2472	16	2	1	13200	6600
	15	15	75	11	QPSK	2	1/6	3752	16	2	1	19800	9900
	20	15	20	11	QPSK	2	1/6	1032	16	2	1	5280	2640
	20	15	25	11	QPSK	2	1/6	1256	16	2	1	6600	3300
	20	15	50	11	QPSK	2	1/6	2472	16	2	1	13200	6600
	20	15	100	11	QPSK	2	1/6	5000	24	2	2	26400	13200
	25	15	50	11	QPSK	2	1/6	2472	16	2	1	13200	6600
	25	15	64	11	QPSK	2	1/6	3240	16	2	1	16896	8448
	25	15	128	11	QPSK	2	1/6	6408	24	2	2	33792	16896
	30	15	50	11	QPSK	2	1/6	2472	16	2	1	13200	6600
	30	15	80	11	QPSK	2	1/6	3976	24	2	2	21120	10560
	30	15	160	11	QPSK	2	1/6	7944	24	2	3	42240	21120
	40	15	108	11	QPSK	2	1/6	5384	24	2	2	28512	14256
	40	15	216	11	QPSK	2	1/6	10752	24	2	3	57024	28512

	50	15	135	11	QPSK	2	1/6	6664	24	2	2	35640	17820
	50	15	270	11	QPSK	2	1/6	13320	24	2	4	71280	35640
Note 1:	PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.												
Note 2:	MCS Index is based on MCS table 6.1.4.1-1 defined in TS 38.214 [12].												
Note 3:	If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)												

Table A.2.2.2-2: Reference Channels for DFT-s-OFDM QPSK for 30kHz SCS

Parameter	Channel bandwidth	Subcarrier Spacing	Allocated resource blocks	DFT-s-OFDM Symbols per slot (Note 1)	Modulation	MCS Index (Note 2)	Target Coding Rate	Payload size	Transport block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulated symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	5-50	30	1	11	QPSK	2	1/6	56	16	2	1	264	132
	5	30	5	11	QPSK	2	1/6	256	16	2	1	1320	660
	5	30	10	11	QPSK	2	1/6	504	16	2	1	2640	1320
	10	30	12	11	QPSK	2	1/6	608	16	2	1	3168	1584
	10	30	24	11	QPSK	2	1/6	1192	16	2	1	6336	3168
	15	30	18	11	QPSK	2	1/6	928	16	2	1	4752	2376
	15	30	36	11	QPSK	2	1/6	1800	16	2	1	9504	4752
	20	30	25	11	QPSK	2	1/6	1256	16	2	1	6600	3300
	20	30	50	11	QPSK	2	1/6	2472	16	2	1	13200	6600
	25	30	32	11	QPSK	2	1/6	1608	16	2	1	8448	4224
	25	30	64	11	QPSK	2	1/6	3240	16	2	1	16896	8448
	30	30	36	11	QPSK	2	1/6	1800	16	2	1	9504	4752
	30	30	75	11	QPSK	2	1/6	3752	16	2	1	19800	9900
	40	30	50	11	QPSK	2	1/6	2472	16	2	1	13200	6600
	40	30	100	11	QPSK	2	1/6	5000	24	2	2	26400	13200
	50	30	64	11	QPSK	2	1/6	3240	16	2	1	16896	8448
	50	30	128	11	QPSK	2	1/6	6408	24	2	2	33792	16896
	60	30	81	11	QPSK	2	1/6	4040	24	2	2	21384	10692
	60	30	162	11	QPSK	2	1/6	8064	24	2	3	42768	21384
	80	30	108	11	QPSK	2	1/6	5384	24	2	2	28512	14256
	80	30	216	11	QPSK	2	1/6	10752	24	2	3	57024	28512
	90	30	120	11	QPSK	2	1/6	5896	24	2	2	31680	15840
	90	30	243	11	QPSK	2	1/6	12040	24	2	4	64152	32076
	100	30	135	11	QPSK	2	1/6	6664	24	2	2	35640	17820
	100	30	270	11	QPSK	2	1/6	13320	24	2	4	71280	35640

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.  
 Note 2: MCS Index is based on MCS table 6.1.4.1-1 defined in TS 38.214 [12].  
 Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.2.2-3: Reference Channels for DFT-s-OFDM QPSK for 60kHz SCS

Parameter	Channel bandwidth	Subcarrier Spacing	Allocated resource blocks	DFT-s-OFDM Symbols per slot (Note 1)	Modulation	MCS Index (Note 2)	Target Coding Rate	Payload size	Transport block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulated symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	10-100	60	1	11	QPSK	2	1/6	56	16	2	1	264	132
	10	60	5	11	QPSK	2	1/6	256	16	2	1	1320	660
	10	60	10	11	QPSK	2	1/6	504	16	2	1	2640	1320
	15	60	9	11	QPSK	2	1/6	456	16	2	1	2376	1188
	15	60	18	11	QPSK	2	1/6	928	16	2	1	4752	2376
	20	60	12	11	QPSK	2	1/6	608	16	2	1	3168	1584
	20	60	24	11	QPSK	2	1/6	1192	16	2	1	6336	3168
	25	60	15	11	QPSK	2	1/6	768	16	2	1	3960	1980
	25	60	30	11	QPSK	2	1/6	1544	16	2	1	7920	3960
	30	60	18	11	QPSK	2	1/6	928	16	2	1	4752	2376
	30	60	36	11	QPSK	2	1/6	1800	16	2	1	9504	4752
	40	60	25	11	QPSK	2	1/6	1256	16	2	1	6600	3300
	40	60	50	11	QPSK	2	1/6	2472	16	2	1	13200	6600
	50	60	32	11	QPSK	2	1/6	1608	16	2	1	8448	4224
	50	60	64	11	QPSK	2	1/6	3240	16	2	1	16896	8448
	60	60	36	11	QPSK	2	1/6	1800	16	2	1	9504	4752
	60	60	75	11	QPSK	2	1/6	3752	16	2	1	19800	9900
	80	60	50	11	QPSK	2	1/6	2472	16	2	1	13200	6600
	80	60	100	11	QPSK	2	1/6	5000	24	2	2	26400	13200
	90	60	60	11	QPSK	2	1/6	3104	16	2	1	15840	7920
	90	60	120	11	QPSK	2	1/6	5896	24	2	2	31680	15840
	100	60	64	11	QPSK	2	1/6	3240	16	2	1	16896	8448
	100	60	135	11	QPSK	2	1/6	6664	24	2	2	35640	17820

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.  
 Note 2: MCS Index is based on MCS table 6.1.4.1-1 defined in TS 38.214 [12].  
 Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

## A.2.2.3 DFT-s-OFDM 16QAM

Table A.2.2.3-1: Reference Channels for DFT-s-OFDM 16QAM for 15kHz SCS

Parameter	Channel bandwidth	Subcarrier Spacing	Allocated resource blocks	DFT-s-OFDM Symbols per slot (Note 1)	Modulation	MCS Index (Note 2)	Target Coding Rate	Payload size	Transport block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulated symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	5-50	15	1	11	16QAM	10	1/3	176	16	2	1	528	132
	5	15	12	11	16QAM	10	1/3	2088	16	2	1	6336	1584
	5	15	25	11	16QAM	10	1/3	4352	24	1	1	13200	3300
	10	15	25	11	16QAM	10	1/3	4352	24	1	1	13200	3300
	10	15	50	11	16QAM	10	1/3	8712	24	1	2	26400	6600
	15	15	36	11	16QAM	10	1/3	6272	24	1	1	19008	4752
	15	15	75	11	16QAM	10	1/3	13064	24	1	2	39600	9900
	20	15	50	11	16QAM	10	1/3	8712	24	1	2	26400	6600
	20	15	100	11	16QAM	10	1/3	17424	24	1	3	52800	13200
	25	15	64	11	16QAM	10	1/3	11272	24	1	2	33792	8448
	25	15	128	11	16QAM	10	1/3	22536	24	1	3	67584	16896
	30	15	80	11	16QAM	10	1/3	14088	24	1	2	42240	10560
	30	15	160	11	16QAM	10	1/3	28168	24	1	4	84480	21120
	40	15	108	11	16QAM	10	1/3	18960	24	1	3	57024	14256
	40	15	216	11	16QAM	10	1/3	37896	24	1	5	114048	28512
	50	15	135	11	16QAM	10	1/3	23568	24	1	3	71280	17820
	50	15	270	11	16QAM	10	1/3	47112	24	1	6	142560	35640

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 6.1.4.1-1 defined in TS 38.214 [12].

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.2.3-2: Reference Channels for DFT-s-OFDM 16QAM for 30kHz SCS

Parameter	Channel bandwidth	Subcarrier Spacing	Allocated resource blocks	DFT-s-OFDM Symbols per slot (Note 1)	Modulation	MCS Index (Note 2)	Target Coding Rate	Payload size	Transport block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulated symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	5-50	30	1	11	16QAM	10	1/3	176	16	2	1	528	132
	5	30	5	11	16QAM	10	1/3	888	16	2	1	2640	660
	5	30	10	11	16QAM	10	1/3	1800	16	2	1	5280	1320
	10	30	12	11	16QAM	10	1/3	2088	16	2	1	6336	1584
	10	30	24	11	16QAM	10	1/3	4224	24	1	1	12672	3168
	15	30	18	11	16QAM	10	1/3	3240	16	2	1	9504	2376
	15	30	36	11	16QAM	10	1/3	6272	24	1	1	19008	4752
	20	30	25	11	16QAM	10	1/3	4352	24	1	1	13200	3300
	20	30	50	11	16QAM	10	1/3	8712	24	1	2	26400	6600
	25	30	32	11	16QAM	10	1/3	5632	24	1	1	16896	4224
	25	30	64	11	16QAM	10	1/3	11272	24	1	2	33792	8448
	30	30	36	11	16QAM	10	1/3	6272	24	1	1	19008	4752
	30	30	75	11	16QAM	10	1/3	13064	24	1	2	39600	9900
	40	30	50	11	16QAM	10	1/3	8712	24	1	2	26400	6600
	40	30	100	11	16QAM	10	1/3	17424	24	1	3	52800	13200
	50	30	64	11	16QAM	10	1/3	11272	24	1	2	33792	8448
	50	30	128	11	16QAM	10	1/3	22536	24	1	3	67584	16896
	60	30	81	11	16QAM	10	1/3	14088	24	1	2	42768	10692
	60	30	162	11	16QAM	10	1/3	28168	24	1	4	85536	21384
	80	30	108	11	16QAM	10	1/3	18960	24	1	3	57024	14256
	80	30	216	11	16QAM	10	1/3	37896	24	1	5	114048	28512
	90	30	120	11	16QAM	10	1/3	21000	24	1	3	63360	15840
	90	30	243	11	16QAM	10	1/3	43032	24	1	6	128304	32076
	100	30	135	11	16QAM	10	1/3	23568	24	1	3	71280	17820
	100	30	270	11	16QAM	10	1/3	47112	24	1	6	142560	35640

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 6.1.4.1-1 defined in TS 38.214 [12].

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.2.3-3: Reference Channels for DFT-s-OFDM 16QAM for 60kHz SCS

Parameter	Channel bandwidth	Subcarrier Spacing	Allocated resource blocks	DFT-s-OFDM Symbols per slot (Note 1)	Modulation	MCS Index (Note 2)	Target Coding Rate	Payload size	Transport block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulated symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	10-100	60	1	11	16QAM	10	1/3	176	16	2	1	528	132
	10	60	5	11	16QAM	10	1/3	888	16	2	1	2640	660
	10	60	10	11	16QAM	10	1/3	1800	16	2	1	5280	1320
	15	60	9	11	16QAM	10	1/3	1608	16	2	1	4752	1188
	15	60	18	11	16QAM	10	1/3	3240	16	2	1	9504	2376
	20	60	12	11	16QAM	10	1/3	2088	16	2	1	6336	1584
	20	60	24	11	16QAM	10	1/3	4224	24	1	1	12672	3168
	25	60	15	11	16QAM	10	1/3	2664	16	2	1	7920	1980
	25	60	30	11	16QAM	10	1/3	5248	24	1	1	15840	3960
	30	60	18	11	16QAM	10	1/3	3240	16	2	1	9504	2376
	30	60	36	11	16QAM	10	1/3	6272	24	1	1	19008	4752
	40	60	25	11	16QAM	10	1/3	4352	24	1	1	13200	3300
	40	60	50	11	16QAM	10	1/3	8712	24	1	2	26400	6600
	50	60	32	11	16QAM	10	1/3	5632	24	1	1	16896	4224
	50	60	64	11	16QAM	10	1/3	11272	24	1	2	33792	8448
	60	60	36	11	16QAM	10	1/3	6272	24	1	1	19008	4752
	60	60	75	11	16QAM	10	1/3	13064	24	1	2	39600	9900
	80	60	50	11	16QAM	10	1/3	8712	24	1	2	26400	6600
	80	60	100	11	16QAM	10	1/3	17424	24	1	3	52800	13200
	90	60	60	11	16QAM	10	1/3	10504	24	1	2	31680	7920
	90	60	120	11	16QAM	10	1/3	21000	24	1	3	63360	15840
	100	60	64	11	16QAM	10	1/3	11272	24	1	2	33792	8448
	100	60	135	11	16QAM	10	1/3	23568	24	1	3	71280	17820

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 6.1.4.1-1 defined in TS 38.214 [12].

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

## A.2.2.4 DFT-s-OFDM 64QAM

Table A.2.2.4-1: Reference Channels for DFT-s-OFDM 64QAM for 15kHz SCS

Parameter	Channel bandwidth	Subcarrier Spacing	Allocated resource blocks	DFT-s-OFDM Symbols per slot (Note 1)	Modulation	MCS Index (Note 2)	Target Coding Rate	Payload size	Transport block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulated symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	5	15	25	11	64QAM	18	1/2	9992	24	1	2	19800	3300
	10	15	50	11	64QAM	18	1/2	19968	24	1	3	39600	6600
	15	15	75	11	64QAM	18	1/2	30216	24	1	4	59400	9900
	20	15	100	11	64QAM	18	1/2	39936	24	1	5	79200	13200
	25	15	128	11	64QAM	18	1/2	51216	24	1	7	101376	16896
	30	15	160	11	64QAM	18	1/2	63528	24	1	8	126720	21120
	40	15	216	11	64QAM	18	1/2	86040	24	1	11	171072	28512
	50	15	270	11	64QAM	18	1/2	108552	24	1	13	213840	35640

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 6.1.4.1-1 defined in TS 38.214 [12].

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.2.4-2: Reference Channels for DFT-s-OFDM 64QAM for 30kHz SCS

Parameter	Channel bandwidth	Subcarrier Spacing	Allocated resource blocks	DFT-s-OFDM Symbols per slot (Note 1)	Modulation	MCS Index (Note 2)	Target Coding Rate	Payload size	Transport block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulated symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	5	30	10	11	64QAM	18	1/2	3968	24	1	1	7920	1320
	10	30	24	11	64QAM	18	1/2	9480	24	1	2	19008	3168
	15	30	36	11	64QAM	18	1/2	14344	24	1	2	28512	4752
	20	30	50	11	64QAM	18	1/2	19968	24	1	3	39600	6600
	25	30	64	11	64QAM	18	1/2	25608	24	1	4	50688	8448
	30	30	75	11	64QAM	18	1/2	30216	24	1	4	59400	9900
	40	30	100	11	64QAM	18	1/2	39936	24	1	5	79200	13200
	50	30	128	11	64QAM	18	1/2	51216	24	1	7	101376	16896
	60	30	162	11	64QAM	18	1/2	64552	24	1	8	128304	21384
	80	30	216	11	64QAM	18	1/2	86040	24	1	11	171072	28512
	90	30	243	11	64QAM	18	1/2	96264	24	1	12	192456	32076
	100	30	270	11	64QAM	18	1/2	108552	24	1	13	213840	35640

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 6.1.4.1-1 defined in TS 38.214 [12].

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.2.4-3: Reference Channels for DFT-s-OFDM 64QAM for 60kHz SCS

Parameter	Channel bandwidth	Subcarrier Spacing	Allocated resource blocks	DFT-s-OFDM Symbols per slot (Note 1)	Modulation	MCS Index (Note 2)	Target Coding Rate	Payload size	Transport block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulated symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	10	60	10	11	64QAM	18	1/2	3968	24	1	1	7920	1320
	15	60	18	11	64QAM	18	1/2	7168	24	1	1	14256	2376
	20	60	24	11	64QAM	18	1/2	9480	24	1	2	19008	3168
	25	60	30	11	64QAM	18	1/2	12040	24	1	2	23760	3960
	30	60	36	11	64QAM	18	1/2	14344	24	1	2	28512	4752
	40	60	50	11	64QAM	18	1/2	19968	24	1	3	39600	6600
	50	60	64	11	64QAM	18	1/2	25608	24	1	4	50688	8448
	60	60	75	11	64QAM	18	1/2	30216	24	1	4	59400	9900
	80	60	100	11	64QAM	18	1/2	39936	24	1	5	79200	13200
	90	60	120	11	64QAM	18	1/2	48168	24	1	6	95040	15840
	100	60	135	11	64QAM	18	1/2	54296	24	1	7	106920	17820

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 6.1.4.1-1 defined in TS 38.214 [12].

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

## A.2.2.5 DFT-s-OFDM 256QAM

Table A.2.2.5-1: Reference Channels for DFT-s-OFDM 256QAM for 15kHz SCS

Parameter	Channel bandwidth	Subcarrier Spacing	Allocated resource blocks	DFT-s-OFDM Symbols per slot (Note 1)	Modulation	MCS Index (Note 2)	Target Coding Rate	Payload size	Transport block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulated symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	5	15	25	11	256QAM	20	2/3	17424	24	1	3	26400	3300
	10	15	50	11	256QAM	20	2/3	34816	24	1	5	52800	6600
	15	15	75	11	256QAM	20	2/3	53288	24	1	7	79200	9900
	20	15	100	11	256QAM	20	2/3	69672	24	1	9	105600	13200
	25	15	128	11	256QAM	20	2/3	90176	24	1	11	135168	16896
	30	15	160	11	256QAM	20	2/3	112648	24	1	14	168960	21120
	40	15	216	11	256QAM	20	2/3	151608	24	1	18	228096	28512
	50	15	270	11	256QAM	20	2/3	188576	24	1	23	285120	35640

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 5.1.3.1-2 defined in TS 38.214 [12].

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.2.5-2: Reference Channels for DFT-s-OFDM 256QAM for 30kHz SCS

Parameter	Channel bandwidth	Subcarrier Spacing	Allocated resource blocks	DFT-s-OFDM Symbols per slot (Note 1)	Modulation	MCS Index (Note 2)	Target Coding Rate	Payload size	Transport block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulated symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	5	30	10	11	256QAM	20	2/3	7040	24	1	1	10560	1320
	10	30	24	11	256QAM	20	2/3	16896	24	1	3	25344	3168
	15	30	36	11	256QAM	20	2/3	25104	24	1	3	38016	4752
	20	30	50	11	256QAM	20	2/3	34816	24	1	5	52800	6600
	25	30	64	11	256QAM	20	2/3	45096	24	1	6	67584	8448
	30	30	75	11	256QAM	20	2/3	53288	24	1	7	79200	9900
	40	30	100	11	256QAM	20	2/3	69672	24	1	9	105600	13200
	50	30	128	11	256QAM	20	2/3	90176	24	1	11	135168	16896
	60	30	162	11	256QAM	20	2/3	114776	24	1	14	171072	21384
	80	30	216	11	256QAM	20	2/3	151608	24	1	18	228096	28512
	90	30	243	11	256QAM	20	2/3	172176	24	1	21	256608	32076
	100	30	270	11	256QAM	20	2/3	188576	24	1	23	285120	35640

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 5.1.3.1-2 defined in TS 38.214 [12].

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.2.5-3: Reference Channels for DFT-s-OFDM 256QAM for 60kHz SCS

Parameter	Channel bandwidth	Subcarrier Spacing	Allocated resource blocks	DFT-s-OFDM Symbols per slot (Note 1)	Modulation	MCS Index (Note 2)	Target Coding Rate	Payload size	Transport block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulated symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	10	60	10	11	256QAM	20	2/3	7040	24	1	1	10560	1320
	15	60	18	11	256QAM	20	2/3	12552	24	1	2	19008	2376
	20	60	24	11	256QAM	20	2/3	16896	24	1	3	25344	3168
	25	60	30	11	256QAM	20	2/3	21000	24	1	3	31680	3960
	30	60	36	11	256QAM	20	2/3	25104	24	1	3	38016	4752
	40	60	50	11	256QAM	20	2/3	34816	24	1	5	52800	6600
	50	60	64	11	256QAM	20	2/3	45096	24	1	6	67584	8448
	60	60	75	11	256QAM	20	2/3	53288	24	1	7	79200	9900
	80	60	100	11	256QAM	20	2/3	69672	24	1	9	105600	13200
	90	60	120	11	256QAM	20	2/3	83976	24	1	10	126720	15840
	100	60	135	11	256QAM	20	2/3	94248	24	1	12	142560	17820

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 5.1.3.1-2 defined in TS 38.214 [12].

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

## A.2.2.6 CP-OFDM QPSK

Table A.2.2.6-1: Reference Channels for CP-OFDM QPSK for 15kHz SCS

Parameter	Channel bandwidth	Subcarrier Spacing	Allocated resource blocks	CP-OFDM Symbols per slot (Note 1)	Modulation	MCS Index (Note 2)	Target Coding Rate	Payload size	Transport block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulated symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	5-50	15	1	11	QPSK	2	1/6	56	16	2	1	264	132
	5	15	13	11	QPSK	2	1/6	672	16	2	1	3432	1716
	5	15	25	11	QPSK	2	1/6	1256	16	2	1	6600	3300
	10	15	26	11	QPSK	2	1/6	1288	16	2	1	6864	3432
	10	15	52	11	QPSK	2	1/6	2600	16	2	1	13728	6864
	15	15	40	11	QPSK	2	1/6	2024	16	2	1	10560	5280
	15	15	79	11	QPSK	2	1/6	3912	24	2	2	20856	10428
	20	15	53	11	QPSK	2	1/6	2664	16	2	1	13992	6996
	20	15	106	11	QPSK	2	1/6	5256	24	2	2	27984	13992
	25	15	67	11	QPSK	2	1/6	3368	16	2	1	17688	8844
	25	15	133	11	QPSK	2	1/6	6664	24	2	2	35112	17556
	30	15	80	11	QPSK	2	1/6	3976	24	2	2	21120	10560
	30	15	160	11	QPSK	2	1/6	7944	24	2	3	42240	21120
	40	15	108	11	QPSK	2	1/6	5384	24	2	2	28512	14256
	40	15	216	11	QPSK	2	1/6	10752	24	2	3	57024	28512
	50	15	135	11	QPSK	2	1/6	6664	24	2	2	35640	17820
	50	15	270	11	QPSK	2	1/6	13320	24	2	4	71280	35640

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 5.1.3.1-1 defined in TS 38.214 [12].

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.2.6-2: Reference Channels for CP-OFDM QPSK for 30kHz SCS

Parameter	Channel bandwidth	Subcarrier Spacing	Allocated resource blocks	CP-OFDM Symbols per slot (Note 1)	Modulation	MCS Index (Note 2)	Target Coding Rate	Payload size	Transport block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulated symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	5-50	30	1	11	QPSK	2	1/6	56	16	2	1	264	132
	5	30	6	11	QPSK	2	1/6	304	16	2	1	1584	792
	5	30	11	11	QPSK	2	1/6	552	16	2	1	2904	1452
	10	30	12	11	QPSK	2	1/6	608	16	2	1	3168	1584
	10	30	24	11	QPSK	2	1/6	1192	16	2	1	6336	3168
	15	30	19	11	QPSK	2	1/6	984	16	2	1	5016	2508
	15	30	38	11	QPSK	2	1/6	1928	16	2	1	10032	5016
	20	30	26	11	QPSK	2	1/6	1288	16	2	1	6864	3432
	20	30	51	11	QPSK	2	1/6	2536	16	2	1	13464	6732
	25	30	33	11	QPSK	2	1/6	1672	16	2	1	8712	4356
	25	30	65	11	QPSK	2	1/6	3240	16	2	1	17160	8580
	30	30	39	11	QPSK	2	1/6	2024	16	2	1	10296	5148
	30	30	78	11	QPSK	2	1/6	3848	24	2	2	20592	10296
	40	30	53	11	QPSK	2	1/6	2664	16	2	1	13992	6996
	40	30	106	11	QPSK	2	1/6	5256	24	2	2	27984	13992
	50	30	67	11	QPSK	2	1/6	3368	16	2	1	17688	8844
	50	30	133	11	QPSK	2	1/6	6664	24	2	2	35112	17556
	60	30	81	11	QPSK	2	1/6	4040	24	2	2	21384	10692
	60	30	162	11	QPSK	2	1/6	8064	24	2	3	42768	21384
	80	30	109	11	QPSK	2	1/6	5384	24	2	2	28776	14388
	80	30	217	11	QPSK	2	1/6	10752	24	2	3	57288	28644
	90	30	123	11	QPSK	2	1/6	6152	24	2	2	32472	16236
	90	30	245	11	QPSK	2	1/6	12296	24	2	4	64680	32340
	100	30	137	11	QPSK	2	1/6	6792	24	2	2	36168	18084
	100	30	273	11	QPSK	2	1/6	13576	24	2	4	72072	36036

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 5.1.3.1-1 defined in TS 38.214 [12].

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.2.6-3: Reference Channels for CP-OFDM QPSK for 60kHz SCS

Parameter	Channel bandwidth	Subcarrier Spacing	Allocated resource blocks	CP-OFDM Symbols per slot (Note 1)	Modulation	MCS Index (Note 2)	Target Coding Rate	Payload size	Transport block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulated symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	10-100	60	1	11	QPSK	2	1/6	56	16	2	1	264	132
	10	60	6	11	QPSK	2	1/6	304	16	2	1	1584	792
	10	60	11	11	QPSK	2	1/6	552	16	2	1	2904	1452
	15	60	9	11	QPSK	2	1/6	456	16	2	1	2376	1188
	15	60	18	11	QPSK	2	1/6	928	16	2	1	4752	2376
	20	60	12	11	QPSK	2	1/6	608	16	2	1	3168	1584
	20	60	24	11	QPSK	2	1/6	1192	16	2	1	6336	3168
	25	60	16	11	QPSK	2	1/6	808	16	2	1	4224	2112
	25	60	31	11	QPSK	2	1/6	1544	16	2	1	8184	4092
	30	60	19	11	QPSK	2	1/6	984	16	2	1	5016	2508
	30	60	38	11	QPSK	2	1/6	1928	16	2	1	10032	5016
	40	60	26	11	QPSK	2	1/6	1288	16	2	1	6864	3432
	40	60	51	11	QPSK	2	1/6	2536	16	2	1	13464	6732
	50	60	33	11	QPSK	2	1/6	1672	16	2	1	8712	4356
	50	60	65	11	QPSK	2	1/6	3240	16	2	1	17160	8580
	60	60	40	11	QPSK	2	1/6	2024	16	2	1	10560	5280
	60	60	79	11	QPSK	2	1/6	3912	24	2	2	20856	10428
	80	60	54	11	QPSK	2	1/6	2664	16	2	1	14256	7128
	80	60	107	11	QPSK	2	1/6	5256	24	2	2	28248	14124
	90	60	61	11	QPSK	2	1/6	3104	16	2	1	16104	8052
	90	60	121	11	QPSK	2	1/6	6024	24	2	2	31944	15972
	100	60	68	11	QPSK	2	1/6	3368	16	2	1	17952	8976
	100	60	135	11	QPSK	2	1/6	6664	24	2	2	35640	17820

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 5.1.3.1-1 defined in TS 38.214 [12].

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

## A.2.2.7 CP-OFDM 16QAM

Table A.2.2.7-1: Reference Channels for CP-OFDM 16QAM for 15kHz SCS

Parameter	Channel bandwidth	Subcarrier Spacing	Allocated resource blocks	CP-OFDM Symbols per slot (Note 1)	Modulation	MCS Index (Note 2)	Target Coding Rate	Payload size	Transport block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulated symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	5-50	15	1	11	16QAM	10	1/3	176	16	2	1	528	132
	5	15	13	11	16QAM	10	1/3	2280	16	2	1	6864	1716
	5	15	25	11	16QAM	10	1/3	4352	24	1	1	13200	3300
	10	15	26	11	16QAM	10	1/3	4480	24	1	1	13728	3432
	10	15	52	11	16QAM	10	1/3	9224	24	1	2	27456	6864
	15	15	40	11	16QAM	10	1/3	7040	24	1	1	21120	5280
	15	15	79	11	16QAM	10	1/3	13832	24	1	2	41712	10428
	20	15	53	11	16QAM	10	1/3	9224	24	1	2	27984	6996
	20	15	106	11	16QAM	10	1/3	18432	24	1	3	55968	13992
	25	15	67	11	16QAM	10	1/3	11784	24	1	2	35376	8844
	25	15	133	11	16QAM	10	1/3	23040	24	1	3	70224	17556
	30	15	80	11	16QAM	10	1/3	14088	24	1	2	42240	10560
	30	15	160	11	16QAM	10	1/3	28168	24	1	4	84480	21120
	40	15	108	11	16QAM	10	1/3	18960	24	1	3	57024	14256
	40	15	216	11	16QAM	10	1/3	37896	24	1	5	114048	28512
	50	15	135	11	16QAM	10	1/3	23568	24	1	3	71280	17820
	50	15	270	11	16QAM	10	1/3	47112	24	1	6	142560	35640

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 5.1.3.1-1 defined in TS 38.214 [12].

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.2.7-2: Reference Channels for CP-OFDM 16QAM for 30kHz SCS

Parameter	Channel bandwidth	Subcarrier Spacing	Allocated resource blocks	CP-OFDM Symbols per slot (Note 1)	Modulation	MCS Index (Note 2)	Target Coding Rate	Payload size	Transport block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulated symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	5-50	30	1	11	16QAM	10	1/3	176	16	2	1	528	132
	5	30	6	11	16QAM	10	1/3	1064	16	2	1	3168	792
	5	30	11	11	16QAM	10	1/3	1928	16	2	1	5808	1452
	10	30	12	11	16QAM	10	1/3	2088	16	2	1	6336	1584
	10	30	24	11	16QAM	10	1/3	4224	24	1	1	12672	3168
	15	30	19	11	16QAM	10	1/3	3368	16	2	1	10032	2508
	15	30	38	11	16QAM	10	1/3	6656	24	1	1	20064	5016
	20	30	26	11	16QAM	10	1/3	4480	24	1	1	13728	3432
	20	30	51	11	16QAM	10	1/3	8968	24	1	2	26928	6732
	25	30	33	11	16QAM	10	1/3	5760	24	1	1	17424	4356
	25	30	65	11	16QAM	10	1/3	11272	24	1	2	34320	8580
	30	30	39	11	16QAM	10	1/3	6784	24	1	1	20592	5148
	30	30	78	11	16QAM	10	1/3	13576	24	1	2	41184	10296
	40	30	53	11	16QAM	10	1/3	9224	24	1	2	27984	6996
	40	30	106	11	16QAM	10	1/3	18432	24	1	3	55968	13992
	50	30	67	11	16QAM	10	1/3	11784	24	1	2	35376	8844
	50	30	133	11	16QAM	10	1/3	23040	24	1	3	70224	17556
	60	30	81	11	16QAM	10	1/3	14088	24	1	2	42768	10692
	60	30	162	11	16QAM	10	1/3	28168	24	1	4	85536	21384
	80	30	109	11	16QAM	10	1/3	18960	24	1	3	57552	14388
	80	30	217	11	16QAM	10	1/3	37896	24	1	5	114576	28644
	90	30	123	11	16QAM	10	1/3	21504	24	1	3	64944	16236
	90	30	245	11	16QAM	10	1/3	43032	24	1	6	129360	32340
	100	30	137	11	16QAM	10	1/3	24072	24	1	3	72336	18084
	100	30	273	11	16QAM	10	1/3	48168	24	1	6	144144	36036

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 5.1.3.1-1 defined in TS 38.214 [12].

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.2.7-3: Reference Channels for CP-OFDM 16QAM for 60kHz SCS

Parameter	Channel bandwidth	Subcarrier Spacing	Allocated resource blocks	CP-OFDM Symbols per slot (Note 1)	Modulation	MCS Index (Note 2)	Target Coding Rate	Payload size	Transport block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulated symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	10-100	60	1	11	16QAM	10	1/3	176	16	2	1	528	132
	10	60	6	11	16QAM	10	1/3	1064	16	2	1	3168	792
	10	60	11	11	16QAM	10	1/3	1928	16	2	1	5808	1452
	15	60	9	11	16QAM	10	1/3	1608	16	2	1	4752	1188
	15	60	18	11	16QAM	10	1/3	3240	16	2	1	9504	2376
	20	60	12	11	16QAM	10	1/3	2088	16	2	1	6336	1584
	20	60	24	11	16QAM	10	1/3	4224	24	1	1	12672	3168
	25	60	16	11	16QAM	10	1/3	2792	16	2	1	8448	2112
	25	60	31	11	16QAM	10	1/3	5376	24	1	1	16368	4092
	30	60	19	11	16QAM	10	1/3	3368	16	2	1	10032	2508
	30	60	38	11	16QAM	10	1/3	6656	24	1	1	20064	5016
	40	60	26	11	16QAM	10	1/3	4480	24	1	1	13728	3432
	40	60	51	11	16QAM	10	1/3	8968	24	1	2	26928	6732
	50	60	33	11	16QAM	10	1/3	5760	24	1	1	17424	4356
	50	60	65	11	16QAM	10	1/3	11272	24	1	2	34320	8580
	60	60	40	11	16QAM	10	1/3	7040	24	1	1	21120	5280
	60	60	79	11	16QAM	10	1/3	13832	24	1	2	41712	10428
	80	60	54	11	16QAM	10	1/3	9480	24	1	2	28512	7128
	80	60	107	11	16QAM	10	1/3	18960	24	1	3	56496	14124
	90	60	61	11	16QAM	10	1/3	10760	24	1	2	32208	8052
	90	60	121	11	16QAM	10	1/3	21000	24	1	3	63888	15972
	100	60	68	11	16QAM	10	1/3	11784	24	1	2	35904	8976
	100	60	135	11	16QAM	10	1/3	23568	24	1	3	71280	17820

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 5.1.3.1-1 defined in TS 38.214 [12].

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

## A.2.2.8 CP-OFDM 64QAM

Table A.2.2.8-1: Reference Channels for CP-OFDM 64QAM for 15kHz SCS

Parameter	Channel bandwidth	Subcarrier Spacing	Allocated resource blocks	CP-OFDM Symbols per slot (Note 1)	Modulation	MCS Index (Note 2)	Target Coding Rate	Payload size	Transport block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulated symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	5	15	25	11	64QAM	19	1/2	9992	24	1	2	19800	3300
	10	15	52	11	64QAM	19	1/2	21000	24	1	3	41184	6864
	15	15	79	11	64QAM	19	1/2	31752	24	1	4	62568	10428
	20	15	106	11	64QAM	19	1/2	42016	24	1	5	83952	13992
	25	15	133	11	64QAM	19	1/2	53288	24	1	7	105336	17556
	30	15	160	11	64QAM	19	1/2	63528	24	1	8	126720	21120
	40	15	216	11	64QAM	19	1/2	86040	24	1	11	171072	28512
	50	15	270	11	64QAM	19	1/2	108552	24	1	13	213840	35640

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 5.1.3.1-1 defined in TS 38.214 [12].

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.2.8-2: Reference Channels for CP-OFDM 64QAM for 30kHz SCS

Parameter	Channel bandwidth	Subcarrier Spacing	Allocated resource blocks	CP-OFDM Symbols per slot (Note 1)	Modulation	MCS Index (Note 2)	Target Coding Rate	Payload size	Transport block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulated symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	5	30	11	11	64QAM	19	1/2	4352	24	1	1	8712	1452
	10	30	24	11	64QAM	19	1/2	9480	24	1	2	19008	3168
	15	30	38	11	64QAM	19	1/2	15112	24	1	2	30096	5016
	20	30	51	11	64QAM	19	1/2	20496	24	1	3	40392	6732
	25	30	65	11	64QAM	19	1/2	26120	24	1	4	51480	8580
	30	30	78	11	64QAM	19	1/2	31240	24	1	4	61776	10296
	40	30	106	11	64QAM	19	1/2	42016	24	1	5	83952	13992
	50	30	133	11	64QAM	19	1/2	53288	24	1	7	105336	17556
	60	30	162	11	64QAM	19	1/2	64552	24	1	8	128304	21384
	80	30	217	11	64QAM	19	1/2	86040	24	1	11	171864	28644
	90	30	245	11	64QAM	19	1/2	98376	24	1	12	194040	32340
	100	30	273	11	64QAM	19	1/2	108552	24	1	13	216216	36036

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 5.1.3.1-1 defined in TS 38.214 [12].

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.2.8-3: Reference Channels for CP-OFDM 64QAM for 60kHz SCS

Parameter	Channel bandwidth	Subcarrier Spacing	Allocated resource blocks	CP-OFDM Symbols per slot (Note 1)	Modulation	MCS Index (Note 2)	Target Coding Rate	Payload size	Transport block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulated symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	10	60	11	11	64QAM	19	1/2	4352	24	1	1	8712	1452
	15	60	18	11	64QAM	19	1/2	7168	24	1	1	14256	2376
	20	60	24	11	64QAM	19	1/2	9480	24	1	2	19008	3168
	25	60	31	11	64QAM	19	1/2	12296	24	1	2	24552	4092
	30	60	38	11	64QAM	19	1/2	15112	24	1	2	30096	5016
	40	60	51	11	64QAM	19	1/2	20496	24	1	3	40392	6732
	50	60	65	11	64QAM	19	1/2	26120	24	1	4	51480	8580
	60	60	79	11	64QAM	19	1/2	31752	24	1	4	62568	10428
	80	60	107	11	64QAM	19	1/2	43032	24	1	6	84744	14124
	90	60	121	11	64QAM	19	1/2	48168	24	1	6	95832	15972
	100	60	135	11	64QAM	19	1/2	54296	24	1	7	106920	17820

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 5.1.3.1-1 defined in TS 38.214 [12].

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

## A.2.2.9 CP-OFDM 256QAM

Table A.2.2.9-1: Reference Channels for CP-OFDM 256QAM for 15kHz SCS

Parameter	Channel bandwidth	Subcarrier Spacing	Allocated resource blocks	CP-OFDM Symbols per slot (Note 1)	Modulation	MCS Index (Note 2)	Target Coding Rate	Payload size	Transport block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulated symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	5	15	25	11	256QAM	20	2/3	17424	24	1	3	26400	3300
	10	15	52	11	256QAM	20	2/3	36896	24	1	5	54912	6864
	15	15	79	11	256QAM	20	2/3	55304	24	1	7	83424	10428
	20	15	106	11	256QAM	20	2/3	73776	24	1	9	111936	13992
	25	15	133	11	256QAM	20	2/3	94248	24	1	12	140448	17556
	30	15	160	11	256QAM	20	2/3	112648	24	1	14	168960	21120
	40	15	216	11	256QAM	20	2/3	151608	24	1	18	228096	28512
	50	15	270	11	256QAM	20	2/3	188576	24	1	23	285120	35640

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 5.1.3.1-2 defined in TS 38.214 [12].

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.2.9-2: Reference Channels for CP-OFDM 256QAM for 30kHz SCS

Parameter	Channel bandwidth	Subcarrier Spacing	Allocated resource blocks	CP-OFDM Symbols per slot (Note 1)	Modulation	MCS Index (Note 2)	Target Coding Rate	Payload size	Transport block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulated symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	5	30	11	11	256QAM	20	2/3	7680	24	1	1	11616	1452
	10	30	24	11	256QAM	20	2/3	16896	24	1	3	25344	3168
	15	30	38	11	256QAM	20	2/3	26632	24	1	4	40128	5016
	20	30	51	11	256QAM	20	2/3	35856	24	1	5	53856	6732
	25	30	65	11	256QAM	20	2/3	46104	24	1	6	68640	8580
	30	30	78	11	256QAM	20	2/3	55304	24	1	7	82368	10296
	40	30	106	11	256QAM	20	2/3	73776	24	1	9	111936	13992
	50	30	133	11	256QAM	20	2/3	94248	24	1	12	140448	17556
	60	30	162	11	256QAM	20	2/3	114776	24	1	14	171072	21384
	80	30	217	11	256QAM	20	2/3	151608	24	1	18	229152	28644
	90	30	245	11	256QAM	20	2/3	172176	24	1	21	258720	32340
	100	30	273	11	256QAM	20	2/3	192624	24	1	23	288288	36036

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 5.1.3.1-2 defined in TS 38.214 [12].

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.2.9-3: Reference Channels for CP-OFDM 256QAM for 60kHz SCS

Parameter	Channel bandwidth	Subcarrier Spacing	Allocated resource blocks	CP-OFDM Symbols per slot (Note 1)	Modulation	MCS Index (Note 2)	Target Coding Rate	Payload size	Transport block CRC	LDPC Base Graph	Number of code blocks per slot (Note 3)	Total number of bits per slot	Total modulated symbols per slot
Unit	MHz	KHz						Bits	Bits			Bits	
	10	60	11	11	256QAM	20	2/3	7680	24	1	1	11616	1452
	15	60	18	11	256QAM	20	2/3	12552	24	1	2	19008	2376
	20	60	24	11	256QAM	20	2/3	16896	24	1	3	25344	3168
	25	60	31	11	256QAM	20	2/3	22032	24	1	3	32736	4092
	30	60	38	11	256QAM	20	2/3	26632	24	1	4	40128	5016
	40	60	51	11	256QAM	20	2/3	35856	24	1	5	53856	6732
	50	60	65	11	256QAM	20	2/3	46104	24	1	6	68640	8580
	60	60	79	11	256QAM	20	2/3	55304	24	1	7	83424	10428
	80	60	107	11	256QAM	20	2/3	75792	24	1	9	112992	14124
	90	60	121	11	256QAM	20	2/3	86040	24	1	11	127776	15972
	100	60	135	11	256QAM	20	2/3	94248	24	1	12	142560	17820

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 5.1.3.1-2 defined in TS 38.214 [12].

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

### A.2.3 Reference measurement channels for TDD

TDD slot patterns defined for reference sensitivity tests will be used for UL RMCs defined below.

## A.2.3.1 DFT-s-OFDM Pi/2-BPSK

Table A.2.3.1-1: Reference Channels for DFT-s-OFDM Pi/2-BPSK for 15kHz SCS

Parameter	Channel bandwidth	Subcarrier Spacing	Allocated resource blocks	DFT-s-OFDM Symbols per slot (Note 1)	Modulation	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 4 and 9	Transport block CRC	LDPC Base Graph	Number of code blocks per slot for slots 4 and 9 (Note 3)	Total number of bits per slot for slots 4 and 9	Total modulated symbols per slot for slots 4 and 9
Unit	MHz	KHz						Bits	Bits			Bits	
	5-50	15	1	11	pi/2 BPSK	0	1/4	32	16	2	1	132	132
	5	15	12	11	pi/2 BPSK	0	1/4	384	16	2	1	1584	1584
	5	15	25	11	pi/2 BPSK	0	1/4	808	16	2	1	3300	3300
	10	15	25	11	pi/2 BPSK	0	1/4	808	16	2	1	3300	3300
	10	15	50	11	pi/2 BPSK	0	1/4	1544	16	2	1	6600	6600
	15	15	36	11	pi/2 BPSK	0	1/4	1128	16	2	1	4752	4752
	15	15	75	11	pi/2 BPSK	0	1/4	2408	16	2	1	9900	9900
	20	15	50	11	pi/2 BPSK	0	1/4	1544	16	2	1	6600	6600
	20	15	100	11	pi/2 BPSK	0	1/4	3104	16	2	1	13200	13200
	25	15	64	11	pi/2 BPSK	0	1/4	2024	16	2	1	8448	8448
	25	15	128	11	pi/2 BPSK	0	1/4	3976	24	2	2	16896	16896
	30	15	80	11	pi/2 BPSK	0	1/4	2472	16	2	1	10560	10560
	30	15	160	11	pi/2 BPSK	0	1/4	4872	24	2	2	21120	21120
	40	15	108	11	pi/2 BPSK	0	1/4	3368	16	2	1	14256	14256
	40	15	216	11	pi/2 BPSK	0	1/4	6664	24	2	2	28512	28512
	50	15	135	11	pi/2 BPSK	0	1/4	4104	24	2	2	17820	17820
	50	15	270	11	pi/2 BPSK	0	1/4	8448	24	2	3	35640	35640

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 6.1.4.1-1 defined in TS 38.214 [12].

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.3.1-2: Reference Channels for DFT-s-OFDM Pi/2-BPSK for 30kHz SCS

Parameter	Channel bandwidth	Subcarrier Spacing	Allocated resource blocks	DFT-s-OFDM Symbols per slot (Note 1)	Modulation	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 8, 9, 18 and 19	Transport block CRC	LDPC Base Graph	Number of code blocks per slot for slots 8, 9, 18 and 19 (Note 3)	Total number of bits per slot for slots 8, 9, 18 and 19	Total modulated symbols per slot for slots 8, 9, 18 and 19
Unit	MHz	KHz						Bits	Bits			Bits	
	5-50	30	1	11	pi/2 BPSK	0	1/4	32	16	2	1	132	132
	5	30	5	11	pi/2 BPSK	0	1/4	160	16	2	1	660	660
	5	30	10	11	pi/2 BPSK	0	1/4	320	16	2	1	1320	1320
	10	30	12	11	pi/2 BPSK	0	1/4	384	16	2	1	1584	1584
	10	30	24	11	pi/2 BPSK	0	1/4	768	16	2	1	3168	3168
	15	30	18	11	pi/2 BPSK	0	1/4	576	16	2	1	2376	2376
	15	30	36	11	pi/2 BPSK	0	1/4	1128	16	2	1	4752	4752
	20	30	25	11	pi/2 BPSK	0	1/4	808	16	2	1	3300	3300
	20	30	50	11	pi/2 BPSK	0	1/4	1544	16	2	1	6600	6600
	25	30	32	11	pi/2 BPSK	0	1/4	1032	16	2	1	4224	4224
	25	30	64	11	pi/2 BPSK	0	1/4	2024	16	2	1	8448	8448
	30	30	36	11	pi/2 BPSK	0	1/4	1128	16	2	1	4752	4752
	30	30	75	11	pi/2 BPSK	0	1/4	2408	16	2	1	9900	9900
	40	30	50	11	pi/2 BPSK	0	1/4	1544	16	2	1	6600	6600
	40	30	100	11	pi/2 BPSK	0	1/4	3104	16	2	1	13200	13200
	50	30	64	11	pi/2 BPSK	0	1/4	2024	16	2	1	8448	8448
	50	30	128	11	pi/2 BPSK	0	1/4	3976	24	2	2	16896	16896
	60	30	81	11	pi/2 BPSK	0	1/4	2536	16	2	1	10692	10692
	60	30	162	11	pi/2 BPSK	0	1/4	5000	24	2	2	21384	21384
	80	30	108	11	pi/2 BPSK	0	1/4	3368	16	2	1	14256	14256
	80	30	216	11	pi/2 BPSK	0	1/4	6664	24	2	2	28512	28512
	90	30	120	11	pi/2 BPSK	0	1/4	3752	16	2	1	15840	15840
	90	30	243	11	pi/2 BPSK	0	1/4	7560	24	2	2	32076	32076
	100	30	135	11	pi/2 BPSK	0	1/4	4104	24	2	2	17820	17820
	100	30	270	11	pi/2 BPSK	0	1/4	8448	24	2	3	35640	35640

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 6.1.4.1-1 defined in TS 38.214 [12].

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.3.1-3: Reference Channels for DFT-s-OFDM Pi/2-BPSK for 60kHz SCS

Parameter	Channel bandwidth	Subcarrier Spacing	Allocated resource blocks	DFT-s-OFDM Symbols per slot (Note 1)	Modulation	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 4, 9, 14, 19, 24, 29, 34 and 39	Transport block CRC	LDPC Base Graph	Number of code blocks per slot for slots 4, 9, 14, 19, 24, 29, 34 and 39 (Note 3)	Total number of bits per slot for slots 4, 9, 14, 19, 24, 29, 34 and 39	Total modulated symbols per slot for slots 4, 9, 14, 19, 24, 29, 34 and 39
Unit	MHz	KHz						Bits	Bits			Bits	
	10-100	60	1	11	pi/2 BPSK	0	1/4	32	16	2	1	132	132
	10	60	5	11	pi/2 BPSK	0	1/4	160	16	2	1	660	660
	10	60	10	11	pi/2 BPSK	0	1/4	320	16	2	1	1320	1320
	15	60	9	11	pi/2 BPSK	0	1/4	288	16	2	1	1188	1188
	15	60	18	11	pi/2 BPSK	0	1/4	576	16	2	1	2376	2376
	20	60	12	11	pi/2 BPSK	0	1/4	384	16	2	1	1584	1584
	20	60	24	11	pi/2 BPSK	0	1/4	768	16	2	1	3168	3168
	25	60	15	11	pi/2 BPSK	0	1/4	480	16	2	1	1980	1980
	25	60	30	11	pi/2 BPSK	0	1/4	984	16	2	1	3960	3960
	30	60	18	11	pi/2 BPSK	0	1/4	576	16	2	1	2376	2376
	30	60	36	11	pi/2 BPSK	0	1/4	1128	16	2	1	4752	4752
	40	60	25	11	pi/2 BPSK	0	1/4	808	16	2	1	3300	3300
	40	60	50	11	pi/2 BPSK	0	1/4	1544	16	2	1	6600	6600
	50	60	32	11	pi/2 BPSK	0	1/4	1032	16	2	1	4224	4224
	50	60	64	11	pi/2 BPSK	0	1/4	2024	16	2	1	8448	8448
	60	60	36	11	pi/2 BPSK	0	1/4	1128	16	2	1	4752	4752
	60	60	75	11	pi/2 BPSK	0	1/4	2408	16	2	1	9900	9900
	80	60	50	11	pi/2 BPSK	0	1/4	1544	16	2	1	6600	6600
	80	60	100	11	pi/2 BPSK	0	1/4	3104	16	2	1	13200	13200
	90	60	60	11	pi/2 BPSK	0	1/4	1864	16	2	1	7920	7920
	90	60	120	11	pi/2 BPSK	0	1/4	3752	16	2	1	15840	15840
	100	60	64	11	pi/2 BPSK	0	1/4	2024	16	2	1	8448	8448
	100	60	135	11	pi/2 BPSK	0	1/4	4104	24	2	2	17820	17820

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 6.1.4.1-1 defined in TS 38.214 [12].

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

## A.2.3.2 DFT-s-OFDM QPSK

Table A.2.3.2-1: Reference Channels for DFT-s-OFDM QPSK for 15kHz SCS

Parameter	Channel bandwidth	Subcarrier Spacing	Allocated resource blocks	DFT-s-OFDM Symbols per slot (Note 1)	Modulation	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 4 and 9	Transport block CRC	LDPC Base Graph	Number of code blocks per slot for slots 4 and 9 (Note 3)	Total number of bits per slot for slots 4 and 9	Total modulated symbols per slot for slots 4 and 9
Unit	MHz	KHz						Bits	Bits			Bits	
	5-50	15	1	11	QPSK	2	1/6	56	16	2	1	264	132
	5	15	12	11	QPSK	2	1/6	608	16	2	1	3168	1584
	5	15	20	11	QPSK	2	1/6	1032	16	2	1	5280	2640
	5	15	25	11	QPSK	2	1/6	1256	16	2	1	6600	3300
	10	15	20	11	QPSK	2	1/6	1032	16	2	1	5280	2640
	10	15	25	11	QPSK	2	1/6	1256	16	2	1	6600	3300
	10	15	50	11	QPSK	2	1/6	2472	16	2	1	13200	6600
	15	15	20	11	QPSK	2	1/6	1032	16	2	1	5280	2640
	15	15	25	11	QPSK	2	1/6	1256	16	2	1	6600	3300
	15	15	36	11	QPSK	2	1/6	1800	16	2	1	9504	4752
	15	15	50	11	QPSK	2	1/6	2472	16	2	1	13200	6600
	15	15	75	11	QPSK	2	1/6	3752	16	2	1	19800	9900
	20	15	20	11	QPSK	2	1/6	1032	16	2	1	5280	2640
	20	15	25	11	QPSK	2	1/6	1256	16	2	1	6600	3300
	20	15	50	11	QPSK	2	1/6	2472	16	2	1	13200	6600
	20	15	100	11	QPSK	2	1/6	5000	24	2	2	26400	13200
	25	15	50	11	QPSK	2	1/6	2472	16	2	1	13200	6600
	25	15	64	11	QPSK	2	1/6	3240	16	2	1	16896	8448
	25	15	128	11	QPSK	2	1/6	6408	24	2	2	33792	16896
	30	15	50	11	QPSK	2	1/6	2472	16	2	1	13200	6600
	30	15	80	11	QPSK	2	1/6	3976	24	2	2	21120	10560
	30	15	160	11	QPSK	2	1/6	7944	24	2	3	42240	21120
	40	15	108	11	QPSK	2	1/6	5384	24	2	2	28512	14256
	40	15	216	11	QPSK	2	1/6	10752	24	2	3	57024	28512
	50	15	135	11	QPSK	2	1/6	6664	24	2	2	35640	17820
	50	15	270	11	QPSK	2	1/6	13320	24	2	4	71280	35640

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 6.1.4.1-1 defined in TS 38.214 [12].

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.3.2-2: Reference Channels for DFT-s-OFDM QPSK for 30kHz SCS

Parameter	Channel bandwidth	Subcarrier Spacing	Allocated resource blocks	DFT-s-OFDM Symbols per slot (Note 1)	Modulation	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 8, 9, 18 and 19	Transport block CRC	LDPC Base Graph	Number of code blocks per slot for slots 8, 9, 18 and 19 (Note 3)	Total number of bits per slot for slots 8, 9, 18 and 19	Total modulated symbols per slot for slots 8, 9, 18 and 19
Unit	MHz	KHz						Bits	Bits			Bits	
	5-50	30	1	11	QPSK	2	1/6	56	16	2	1	264	132
	5	30	5	11	QPSK	2	1/6	256	16	2	1	1320	660
	5	30	10	11	QPSK	2	1/6	504	16	2	1	2640	1320
	10	30	12	11	QPSK	2	1/6	608	16	2	1	3168	1584
	10	30	24	11	QPSK	2	1/6	1192	16	2	1	6336	3168
	15	30	18	11	QPSK	2	1/6	928	16	2	1	4752	2376
	15	30	36	11	QPSK	2	1/6	1800	16	2	1	9504	4752
	20	30	25	11	QPSK	2	1/6	1256	16	2	1	6600	3300
	20	30	50	11	QPSK	2	1/6	2472	16	2	1	13200	6600
	25	30	32	11	QPSK	2	1/6	1608	16	2	1	8448	4224
	25	30	64	11	QPSK	2	1/6	3240	16	2	1	16896	8448
	30	30	36	11	QPSK	2	1/6	1800	16	2	1	9504	4752
	30	30	75	11	QPSK	2	1/6	3752	16	2	1	19800	9900
	40	30	50	11	QPSK	2	1/6	2472	16	2	1	13200	6600
	40	30	100	11	QPSK	2	1/6	5000	24	2	2	26400	13200
	50	30	64	11	QPSK	2	1/6	3240	16	2	1	16896	8448
	50	30	128	11	QPSK	2	1/6	6408	24	2	2	33792	16896
	60	30	81	11	QPSK	2	1/6	4040	24	2	2	21384	10692
	60	30	162	11	QPSK	2	1/6	8064	24	2	3	42768	21384
	80	30	108	11	QPSK	2	1/6	5384	24	2	2	28512	14256
	80	30	216	11	QPSK	2	1/6	10752	24	2	3	57024	28512
	90	30	120	11	QPSK	2	1/6	5896	24	2	2	31680	15840
	90	30	243	11	QPSK	2	1/6	12040	24	2	4	64152	32076
	100	30	135	11	QPSK	2	1/6	6664	24	2	2	35640	17820
	100	30	270	11	QPSK	2	1/6	13320	24	2	4	71280	35640

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 6.1.4.1-1 defined in TS 38.214 [12].

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.3.2-3: Reference Channels for DFT-s-OFDM QPSK for 60kHz SCS

Parameter	Channel bandwidth	Subcarrier Spacing	Allocated resource blocks	DFT-s-OFDM Symbols per slot (Note 1)	Modulation	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 4, 9, 14, 19, 24, 29, 34 and 39	Transport block CRC	LDPC Base Graph	Number of code blocks per slot for slots 4, 9, 14, 19, 24, 29, 34 and 39 (Note 3)	Total number of bits per slot for slots 4, 9, 14, 19, 24, 29, 34 and 39	Total modulated symbols per slot for slots 4, 9, 14, 19, 24, 29, 34 and 39
Unit	MHz	KHz						Bits	Bits			Bits	
	10-100	60	1	11	QPSK	2	1/6	56	16	2	1	264	132
	10	60	5	11	QPSK	2	1/6	256	16	2	1	1320	660
	10	60	10	11	QPSK	2	1/6	504	16	2	1	2640	1320
	15	60	9	11	QPSK	2	1/6	456	16	2	1	2376	1188
	15	60	18	11	QPSK	2	1/6	928	16	2	1	4752	2376
	20	60	12	11	QPSK	2	1/6	608	16	2	1	3168	1584
	20	60	24	11	QPSK	2	1/6	1192	16	2	1	6336	3168
	25	60	15	11	QPSK	2	1/6	768	16	2	1	3960	1980
	25	60	30	11	QPSK	2	1/6	1544	16	2	1	7920	3960
	30	60	18	11	QPSK	2	1/6	928	16	2	1	4752	2376
	30	60	36	11	QPSK	2	1/6	1800	16	2	1	9504	4752
	40	60	25	11	QPSK	2	1/6	1256	16	2	1	6600	3300
	40	60	50	11	QPSK	2	1/6	2472	16	2	1	13200	6600
	50	60	32	11	QPSK	2	1/6	1608	16	2	1	8448	4224
	50	60	64	11	QPSK	2	1/6	3240	16	2	1	16896	8448
	60	60	36	11	QPSK	2	1/6	1800	16	2	1	9504	4752
	60	60	75	11	QPSK	2	1/6	3752	16	2	1	19800	9900
	80	60	50	11	QPSK	2	1/6	2472	16	2	1	13200	6600
	80	60	100	11	QPSK	2	1/6	5000	24	2	2	26400	13200
	90	60	60	11	QPSK	2	1/6	3104	16	2	1	15840	7920
	90	60	120	11	QPSK	2	1/6	5896	24	2	2	31680	15840
	100	60	64	11	QPSK	2	1/6	3240	16	2	1	16896	8448
	100	60	135	11	QPSK	2	1/6	6664	24	2	2	35640	17820

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.  
 Note 2: MCS Index is based on MCS table 6.1.4.1-1 defined in TS 38.214 [12].  
 Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

## A.2.3.3 DFT-s-OFDM 16QAM

Table A.2.3.3-1: Reference Channels for DFT-s-OFDM 16QAM for 15kHz SCS

Parameter	Channel bandwidth	Subcarrier Spacing	Allocated resource blocks	DFT-s-OFDM Symbols per slot (Note 1)	Modulation	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 4 and 9	Transport block CRC	LDPC Base Graph	Number of code blocks per slot for slots 4 and 9 (Note 3)	Total number of bits per slot for slots 4 and 9	Total modulated symbols per slot for slots 4 and 9
Unit	MHz	KHz						Bits	Bits			Bits	
	5-50	15	1	11	16QAM	10	1/3	176	16	2	1	528	132
	5	15	12	11	16QAM	10	1/3	2088	16	2	1	6336	1584
	5	15	25	11	16QAM	10	1/3	4352	24	1	1	13200	3300
	10	15	25	11	16QAM	10	1/3	4352	24	1	1	13200	3300
	10	15	50	11	16QAM	10	1/3	8712	24	1	2	26400	6600
	15	15	36	11	16QAM	10	1/3	6272	24	1	1	19008	4752
	15	15	75	11	16QAM	10	1/3	13064	24	1	2	39600	9900
	20	15	50	11	16QAM	10	1/3	8712	24	1	2	26400	6600
	20	15	100	11	16QAM	10	1/3	17424	24	1	3	52800	13200
	25	15	64	11	16QAM	10	1/3	11272	24	1	2	33792	8448
	25	15	128	11	16QAM	10	1/3	22536	24	1	3	67584	16896
	30	15	80	11	16QAM	10	1/3	14088	24	1	2	42240	10560
	30	15	160	11	16QAM	10	1/3	28168	24	1	4	84480	21120
	40	15	108	11	16QAM	10	1/3	18960	24	1	3	57024	14256
	40	15	216	11	16QAM	10	1/3	37896	24	1	5	114048	28512
	50	15	135	11	16QAM	10	1/3	23568	24	1	3	71280	17820
	50	15	270	11	16QAM	10	1/3	47112	24	1	6	142560	35640

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 6.1.4.1-1 defined in TS 38.214 [12].

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.3.3-2: Reference Channels for DFT-s-OFDM 16QAM for 30kHz SCS

Parameter	Channel bandwidth	Subcarrier Spacing	Allocated resource blocks	DFT-s-OFDM Symbols per slot (Note 1)	Modulation	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 8, 9, 18 and 19	Transport block CRC	LDPC Base Graph	Number of code blocks per slot for slots 8, 9, 18 and 19 (Note 3)	Total number of bits per slot for slots 8, 9, 18 and 19	Total modulated symbols per slot for slots 8, 9, 18 and 19
Unit	MHz	KHz						Bits	Bits			Bits	
	5-50	30	1	11	16QAM	10	1/3	176	16	2	1	528	132
	5	30	5	11	16QAM	10	1/3	888	16	2	1	2640	660
	5	30	10	11	16QAM	10	1/3	1800	16	2	1	5280	1320
	10	30	12	11	16QAM	10	1/3	2088	16	2	1	6336	1584
	10	30	24	11	16QAM	10	1/3	4224	24	1	1	12672	3168
	15	30	18	11	16QAM	10	1/3	3240	16	2	1	9504	2376
	15	30	36	11	16QAM	10	1/3	6272	24	1	1	19008	4752
	20	30	25	11	16QAM	10	1/3	4352	24	1	1	13200	3300
	20	30	50	11	16QAM	10	1/3	8712	24	1	2	26400	6600
	25	30	32	11	16QAM	10	1/3	5632	24	1	1	16896	4224
	25	30	64	11	16QAM	10	1/3	11272	24	1	2	33792	8448
	30	30	36	11	16QAM	10	1/3	6272	24	1	1	19008	4752
	30	30	75	11	16QAM	10	1/3	13064	24	1	2	39600	9900
	40	30	50	11	16QAM	10	1/3	8712	24	1	2	26400	6600
	40	30	100	11	16QAM	10	1/3	17424	24	1	3	52800	13200
	50	30	64	11	16QAM	10	1/3	11272	24	1	2	33792	8448
	50	30	128	11	16QAM	10	1/3	22536	24	1	3	67584	16896
	60	30	81	11	16QAM	10	1/3	14088	24	1	2	42768	10692
	60	30	162	11	16QAM	10	1/3	28168	24	1	4	85536	21384
	80	30	108	11	16QAM	10	1/3	18960	24	1	3	57024	14256
	80	30	216	11	16QAM	10	1/3	37896	24	1	5	114048	28512
	90	30	120	11	16QAM	10	1/3	21000	24	1	3	63360	15840
	90	30	243	11	16QAM	10	1/3	43032	24	1	6	128304	32076
	100	30	135	11	16QAM	10	1/3	23568	24	1	3	71280	17820
	100	30	270	11	16QAM	10	1/3	47112	24	1	6	142560	35640

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 6.1.4.1-1 defined in TS 38.214 [12].

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.3.3-3: Reference Channels for DFT-s-OFDM 16QAM for 60kHz SCS

Parameter	Channel bandwidth	Subcarrier Spacing	Allocated resource blocks	DFT-s-OFDM Symbols per slot (Note 1)	Modulation	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 4, 9, 14, 19, 24, 29, 34 and 39	Transport block CRC	LDPC Base Graph	Number of code blocks per slot for slots 4, 9, 14, 19, 24, 29, 34 and 39 (Note 3)	Total number of bits per slot for slots 4, 9, 14, 19, 24, 29, 34 and 39	Total modulated symbols per slot for slots 4, 9, 14, 19, 24, 29, 34 and 39
Unit	MHz	KHz						Bits	Bits			Bits	
	10-100	60	1	11	16QAM	10	1/3	176	16	2	1	528	132
	10	60	5	11	16QAM	10	1/3	888	16	2	1	2640	660
	10	60	10	11	16QAM	10	1/3	1800	16	2	1	5280	1320
	15	60	9	11	16QAM	10	1/3	1608	16	2	1	4752	1188
	15	60	18	11	16QAM	10	1/3	3240	16	2	1	9504	2376
	20	60	12	11	16QAM	10	1/3	2088	16	2	1	6336	1584
	20	60	24	11	16QAM	10	1/3	4224	24	1	1	12672	3168
	25	60	15	11	16QAM	10	1/3	2664	16	2	1	7920	1980
	25	60	30	11	16QAM	10	1/3	5248	24	1	1	15840	3960
	30	60	18	11	16QAM	10	1/3	3240	16	2	1	9504	2376
	30	60	36	11	16QAM	10	1/3	6272	24	1	1	19008	4752
	40	60	25	11	16QAM	10	1/3	4352	24	1	1	13200	3300
	40	60	50	11	16QAM	10	1/3	8712	24	1	2	26400	6600
	50	60	32	11	16QAM	10	1/3	5632	24	1	1	16896	4224
	50	60	64	11	16QAM	10	1/3	11272	24	1	2	33792	8448
	60	60	36	11	16QAM	10	1/3	6272	24	1	1	19008	4752
	60	60	75	11	16QAM	10	1/3	13064	24	1	2	39600	9900
	80	60	50	11	16QAM	10	1/3	8712	24	1	2	26400	6600
	80	60	100	11	16QAM	10	1/3	17424	24	1	3	52800	13200
	90	60	60	11	16QAM	10	1/3	10504	24	1	2	31680	7920
	90	60	120	11	16QAM	10	1/3	21000	24	1	3	63360	15840
	100	60	64	11	16QAM	10	1/3	11272	24	1	2	33792	8448
	100	60	135	11	16QAM	10	1/3	23568	24	1	3	71280	17820

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 6.1.4.1-1 defined in TS 38.214 [12].

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

## A.2.3.4 DFT-s-OFDM 64QAM

Table A.2.3.4-1: Reference Channels for DFT-s-OFDM 64QAM for 15kHz SCS

Parameter	Channel bandwidth	Subcarrier Spacing	Allocated resource blocks	DFT-s-OFDM Symbols per slot (Note 1)	Modulation	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 4 and 9	Transport block CRC	LDPC Base Graph	Number of code blocks per slot for slots 4 and 9 (Note 3)	Total number of bits per slot for slots 4 and 9	Total modulated symbols per slot for slots 4 and 9
Unit	MHz	KHz						Bits	Bits			Bits	
	5	15	25	11	64QAM	18	1/2	9992	24	1	2	19800	3300
	10	15	50	11	64QAM	18	1/2	19968	24	1	3	39600	6600
	15	15	75	11	64QAM	18	1/2	30216	24	1	4	59400	9900
	20	15	100	11	64QAM	18	1/2	39936	24	1	5	79200	13200
	25	15	128	11	64QAM	18	1/2	51216	24	1	7	101376	16896
	30	15	160	11	64QAM	18	1/2	63528	24	1	8	126720	21120
	40	15	216	11	64QAM	18	1/2	86040	24	1	11	171072	28512
	50	15	270	11	64QAM	18	1/2	108552	24	1	13	213840	35640

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 6.1.4.1-1 defined in TS 38.214 [12].

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.3.4-2: Reference Channels for DFT-s-OFDM 64QAM for 30kHz SCS

Parameter	Channel bandwidth	Subcarrier Spacing	Allocated resource blocks	DFT-s-OFDM Symbols per slot (Note 1)	Modulation	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 8, 9, 18 and 19	Transport block CRC	LDPC Base Graph	Number of code blocks per slot for slots 8, 9, 18 and 19 (Note 3)	Total number of bits per slot for slots 8, 9, 18 and 19	Total modulated symbols per slot for slots 8, 9, 18 and 19
Unit	MHz	KHz						Bits	Bits			Bits	
	5	30	10	11	64QAM	18	1/2	3968	24	1	1	7920	1320
	10	30	24	11	64QAM	18	1/2	9480	24	1	2	19008	3168
	15	30	36	11	64QAM	18	1/2	14344	24	1	2	28512	4752
	20	30	50	11	64QAM	18	1/2	19968	24	1	3	39600	6600
	25	30	64	11	64QAM	18	1/2	25608	24	1	4	50688	8448
	30	30	75	11	64QAM	18	1/2	30216	24	1	4	59400	9900
	40	30	100	11	64QAM	18	1/2	39936	24	1	5	79200	13200
	50	30	128	11	64QAM	18	1/2	51216	24	1	7	101376	16896
	60	30	162	11	64QAM	18	1/2	64552	24	1	8	128304	21384
	80	30	216	11	64QAM	18	1/2	86040	24	1	11	171072	28512
	90	30	243	11	64QAM	18	1/2	96264	24	1	12	192456	32076
	100	30	270	11	64QAM	18	1/2	108552	24	1	13	213840	35640

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 6.1.4.1-1 defined in TS 38.214 [12].

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.3.4-3: Reference Channels for DFT-s-OFDM 64QAM for 60kHz SCS

Parameter	Channel bandwidth	Subcarrier Spacing	Allocated resource blocks	DFT-s-OFDM Symbols per slot (Note 1)	Modulation	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 4, 9, 14, 19, 24, 29, 34 and 39	Transport block CRC	LDPC Base Graph	Number of code blocks per slot for slots 4, 9, 14, 19, 24, 29, 34 and 39 (Note 3)	Total number of bits per slot for slots 4, 9, 14, 19, 24, 29, 34 and 39	Total modulated symbols per slot for slots 4, 9, 14, 19, 24, 29, 34 and 39
Unit	MHz	KHz						Bits	Bits			Bits	
	10	60	10	11	64QAM	18	1/2	3968	24	1	1	7920	1320
	15	60	18	11	64QAM	18	1/2	7168	24	1	1	14256	2376
	20	60	24	11	64QAM	18	1/2	9480	24	1	2	19008	3168
	25	60	30	11	64QAM	18	1/2	12040	24	1	2	23760	3960
	30	60	36	11	64QAM	18	1/2	14344	24	1	2	28512	4752
	40	60	50	11	64QAM	18	1/2	19968	24	1	3	39600	6600
	50	60	64	11	64QAM	18	1/2	25608	24	1	4	50688	8448
	60	60	75	11	64QAM	18	1/2	30216	24	1	4	59400	9900
	80	60	100	11	64QAM	18	1/2	39936	24	1	5	79200	13200
	90	60	120	11	64QAM	18	1/2	48168	24	1	6	95040	15840
	100	60	135	11	64QAM	18	1/2	54296	24	1	7	106920	17820

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 6.1.4.1-1 defined in TS 38.214 [12].

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

## A.2.3.5 DFT-s-OFDM 256QAM

Table A.2.3.5-1: Reference Channels for DFT-s-OFDM 256QAM for 15kHz SCS

Parameter	Channel bandwidth	Subcarrier Spacing	Allocated resource blocks	DFT-s-OFDM Symbols per slot (Note 1)	Modulation	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 4 and 9	Transport block CRC	LDPC Base Graph	Number of code blocks per slot for slots 4 and 9 (Note 3)	Total number of bits per slot for slots 4 and 9	Total modulated symbols per slot for slots 4 and 9
Unit	MHz	KHz						Bits	Bits			Bits	
	5	15	25	11	256QAM	20	2/3	17424	24	1	3	26400	3300
	10	15	50	11	256QAM	20	2/3	34816	24	1	5	52800	6600
	15	15	75	11	256QAM	20	2/3	53288	24	1	7	79200	9900
	20	15	100	11	256QAM	20	2/3	69672	24	1	9	105600	13200
	25	15	128	11	256QAM	20	2/3	90176	24	1	11	135168	16896
	30	15	160	11	256QAM	20	2/3	112648	24	1	14	168960	21120
	40	15	216	11	256QAM	20	2/3	151608	24	1	18	228096	28512
	50	15	270	11	256QAM	20	2/3	188576	24	1	23	285120	35640

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 5.1.3.1-2 defined in TS 38.214 [12].

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.3.5-2: Reference Channels for DFT-s-OFDM 256QAM for 30kHz SCS

Parameter	Channel bandwidth	Subcarrier Spacing	Allocated resource blocks	DFT-s-OFDM Symbols per slot (Note 1)	Modulation	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 8, 9, 18 and 19	Transport block CRC	LDPC Base Graph	Number of code blocks per slot for slots 8, 9, 18 and 19 (Note 3)	Total number of bits per slot for slots 8, 9, 18 and 19	Total modulated symbols per slot for slots 8, 9, 18 and 19
Unit	MHz	KHz						Bits	Bits			Bits	
	5	30	10	11	256QAM	20	2/3	7040	24	1	1	10560	1320
	10	30	24	11	256QAM	20	2/3	16896	24	1	3	25344	3168
	15	30	36	11	256QAM	20	2/3	25104	24	1	3	38016	4752
	20	30	50	11	256QAM	20	2/3	34816	24	1	5	52800	6600
	25	30	64	11	256QAM	20	2/3	45096	24	1	6	67584	8448
	30	30	75	11	256QAM	20	2/3	53288	24	1	7	79200	9900
	40	30	100	11	256QAM	20	2/3	69672	24	1	9	105600	13200
	50	30	128	11	256QAM	20	2/3	90176	24	1	11	135168	16896
	60	30	162	11	256QAM	20	2/3	114776	24	1	14	171072	21384
	80	30	216	11	256QAM	20	2/3	151608	24	1	18	228096	28512
	90	30	243	11	256QAM	20	2/3	172176	24	1	21	256608	32076
	100	30	270	11	256QAM	20	2/3	188576	24	1	23	285120	35640

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 5.1.3.1-2 defined in TS 38.214 [12].

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.3.5-3: Reference Channels for DFT-s-OFDM 256QAM for 60kHz SCS

Parameter	Channel bandwidth	Subcarrier Spacing	Allocated resource blocks	DFT-s-OFDM Symbols per slot (Note 1)	Modulation	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 4, 9, 14, 19, 24, 29, 34 and 39	Transport block CRC	LDPC Base Graph	Number of code blocks per slot for slots 4, 9, 14, 19, 24, 29, 34 and 39 (Note 3)	Total number of bits per slot for slots 4, 9, 14, 19, 24, 29, 34 and 39	Total modulated symbols per slot for slots 4, 9, 14, 19, 24, 29, 34 and 39
Unit	MHz	KHz						Bits	Bits			Bits	
	10	60	10	11	256QAM	20	2/3	7040	24	1	1	10560	1320
	15	60	18	11	256QAM	20	2/3	12552	24	1	2	19008	2376
	20	60	24	11	256QAM	20	2/3	16896	24	1	3	25344	3168
	25	60	30	11	256QAM	20	2/3	21000	24	1	3	31680	3960
	30	60	36	11	256QAM	20	2/3	25104	24	1	3	38016	4752
	40	60	50	11	256QAM	20	2/3	34816	24	1	5	52800	6600
	50	60	64	11	256QAM	20	2/3	45096	24	1	6	67584	8448
	60	60	75	11	256QAM	20	2/3	53288	24	1	7	79200	9900
	80	60	100	11	256QAM	20	2/3	69672	24	1	9	105600	13200
	90	60	120	11	256QAM	20	2/3	83976	24	1	10	126720	15840
	100	60	135	11	256QAM	20	2/3	94248	24	1	12	142560	17820

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 5.1.3.1-2 defined in TS 38.214 [12].

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

## A.2.3.6 CP-OFDM QPSK

Table A.2.3.6-1: Reference Channels for CP-OFDM QPSK for 15kHz SCS

Parameter	Channel bandwidth	Subcarrier Spacing	Allocated resource blocks	CP-OFDM Symbols per slot (Note 1)	Modulation	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 4 and 9	Transport block CRC	LDPC Base Graph	Number of code blocks per slot for slots 4 and 9 (Note 3)	Total number of bits per slot for slots 4 and 9	Total modulated symbols per slot for slots 4 and 9
Unit	MHz	KHz						Bits	Bits			Bits	
	5-50	15	1	11	QPSK	2	1/6	56	16	2	1	264	132
	5	15	13	11	QPSK	2	1/6	672	16	2	1	3432	1716
	5	15	25	11	QPSK	2	1/6	1256	16	2	1	6600	3300
	10	15	26	11	QPSK	2	1/6	1288	16	2	1	6864	3432
	10	15	52	11	QPSK	2	1/6	2600	16	2	1	13728	6864
	15	15	40	11	QPSK	2	1/6	2024	16	2	1	10560	5280
	15	15	79	11	QPSK	2	1/6	3912	24	2	2	20856	10428
	20	15	53	11	QPSK	2	1/6	2664	16	2	1	13992	6996
	20	15	106	11	QPSK	2	1/6	5256	24	2	2	27984	13992
	25	15	67	11	QPSK	2	1/6	3368	16	2	1	17688	8844
	25	15	133	11	QPSK	2	1/6	6664	24	2	2	35112	17556
	30	15	80	11	QPSK	2	1/6	3976	24	2	2	21120	10560
	30	15	160	11	QPSK	2	1/6	7944	24	2	3	42240	21120
	40	15	108	11	QPSK	2	1/6	5384	24	2	2	28512	14256
	40	15	216	11	QPSK	2	1/6	10752	24	2	3	57024	28512
	50	15	135	11	QPSK	2	1/6	6664	24	2	2	35640	17820
	50	15	270	11	QPSK	2	1/6	13320	24	2	4	71280	35640

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 5.1.3.1-1 defined in TS 38.214 [12].

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.3.6-2: Reference Channels for CP-OFDM QPSK for 30kHz SCS

Parameter	Channel bandwidth	Subcarrier Spacing	Allocated resource blocks	CP-OFDM Symbols per slot (Note 1)	Modulation	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 8, 9, 18 and 19	Transport block CRC	LDPC Base Graph	Number of code blocks per slot for slots 8, 9, 18 and 19 (Note 3)	Total number of bits per slot for slots 8, 9, 18 and 19	Total modulated symbols per slot for slots 8, 9, 18 and 19
Unit	MHz	KHz						Bits	Bits			Bits	
	5-50	30	1	11	QPSK	2	1/6	56	16	2	1	264	132
	5	30	6	11	QPSK	2	1/6	304	16	2	1	1584	792
	5	30	11	11	QPSK	2	1/6	552	16	2	1	2904	1452
	10	30	12	11	QPSK	2	1/6	608	16	2	1	3168	1584
	10	30	24	11	QPSK	2	1/6	1192	16	2	1	6336	3168
	15	30	19	11	QPSK	2	1/6	984	16	2	1	5016	2508
	15	30	38	11	QPSK	2	1/6	1928	16	2	1	10032	5016
	20	30	26	11	QPSK	2	1/6	1288	16	2	1	6864	3432
	20	30	51	11	QPSK	2	1/6	2536	16	2	1	13464	6732
	25	30	33	11	QPSK	2	1/6	1672	16	2	1	8712	4356
	25	30	65	11	QPSK	2	1/6	3240	16	2	1	17160	8580
	30	30	39	11	QPSK	2	1/6	2024	16	2	1	10296	5148
	30	30	78	11	QPSK	2	1/6	3848	24	2	2	20592	10296
	40	30	53	11	QPSK	2	1/6	2664	16	2	1	13992	6996
	40	30	106	11	QPSK	2	1/6	5256	24	2	2	27984	13992
	50	30	67	11	QPSK	2	1/6	3368	16	2	1	17688	8844
	50	30	133	11	QPSK	2	1/6	6664	24	2	2	35112	17556
	60	30	81	11	QPSK	2	1/6	4040	24	2	2	21384	10692
	60	30	162	11	QPSK	2	1/6	8064	24	2	3	42768	21384
	80	30	109	11	QPSK	2	1/6	5384	24	2	2	28776	14388
	80	30	217	11	QPSK	2	1/6	10752	24	2	3	57288	28644
	90	30	123	11	QPSK	2	1/6	6152	24	2	2	32472	16236
	90	30	245	11	QPSK	2	1/6	12296	24	2	4	64680	32340
	100	30	137	11	QPSK	2	1/6	6792	24	2	2	36168	18084
	100	30	273	11	QPSK	2	1/6	13576	24	2	4	72072	36036

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 5.1.3.1-1 defined in TS 38.214 [12].

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.3.6-3: Reference Channels for CP-OFDM QPSK for 60kHz SCS

Parameter	Channel bandwidth	Subcarrier Spacing	Allocated resource blocks	CP-OFDM Symbols per slot (Note 1)	Modulation	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 4, 9, 14, 19, 24, 29, 34 and 39	Transport block CRC	LDPC Base Graph	Number of code blocks per slot for slots 4, 9, 14, 19, 24, 29, 34 and 39 (Note 3)	Total number of bits per slot for slots 4, 9, 14, 19, 24, 29, 34 and 39	Total modulated symbols per slot for slots 4, 9, 14, 19, 24, 29, 34 and 39
Unit	MHz	KHz						Bits	Bits			Bits	
	10-100	60	1	11	QPSK	2	1/6	56	16	2	1	264	132
	10	60	6	11	QPSK	2	1/6	304	16	2	1	1584	792
	10	60	11	11	QPSK	2	1/6	552	16	2	1	2904	1452
	15	60	9	11	QPSK	2	1/6	456	16	2	1	2376	1188
	15	60	18	11	QPSK	2	1/6	928	16	2	1	4752	2376
	20	60	12	11	QPSK	2	1/6	608	16	2	1	3168	1584
	20	60	24	11	QPSK	2	1/6	1192	16	2	1	6336	3168
	25	60	16	11	QPSK	2	1/6	808	16	2	1	4224	2112
	25	60	31	11	QPSK	2	1/6	1544	16	2	1	8184	4092
	30	60	19	11	QPSK	2	1/6	984	16	2	1	5016	2508
	30	60	38	11	QPSK	2	1/6	1928	16	2	1	10032	5016
	40	60	26	11	QPSK	2	1/6	1288	16	2	1	6864	3432
	40	60	51	11	QPSK	2	1/6	2536	16	2	1	13464	6732
	50	60	33	11	QPSK	2	1/6	1672	16	2	1	8712	4356
	50	60	65	11	QPSK	2	1/6	3240	16	2	1	17160	8580
	60	60	40	11	QPSK	2	1/6	2024	16	2	1	10560	5280
	60	60	79	11	QPSK	2	1/6	3912	24	2	2	20856	10428
	80	60	54	11	QPSK	2	1/6	2664	16	2	1	14256	7128
	80	60	107	11	QPSK	2	1/6	5256	24	2	2	28248	14124
	90	60	61	11	QPSK	2	1/6	3104	16	2	1	16104	8052
	90	60	121	11	QPSK	2	1/6	6024	24	2	2	31944	15972
	100	60	68	11	QPSK	2	1/6	3368	16	2	1	17952	8976
	100	60	135	11	QPSK	2	1/6	6664	24	2	2	35640	17820

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 5.1.3.1-1 defined in TS 38.214 [12].

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

## A.2.3.7 CP-OFDM 16QAM

Table A.2.3.7-1: Reference Channels for CP-OFDM 16QAM for 15kHz SCS

Parameter	Channel bandwidth	Subcarrier Spacing	Allocated resource blocks	CP-OFDM Symbols per slot (Note 1)	Modulation	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 4 and 9	Transport block CRC	LDPC Base Graph	Number of code blocks per slot for slots 4 and 9 (Note 3)	Total number of bits per slot for slots 4 and 9	Total modulated symbols per slot for slots 4 and 9
Unit	MHz	KHz						Bits	Bits			Bits	
	5-50	15	1	11	16QAM	10	1/3	176	16	2	1	528	132
	5	15	13	11	16QAM	10	1/3	2280	16	2	1	6864	1716
	5	15	25	11	16QAM	10	1/3	4352	24	1	1	13200	3300
	10	15	26	11	16QAM	10	1/3	4480	24	1	1	13728	3432
	10	15	52	11	16QAM	10	1/3	9224	24	1	2	27456	6864
	15	15	40	11	16QAM	10	1/3	7040	24	1	1	21120	5280
	15	15	79	11	16QAM	10	1/3	13832	24	1	2	41712	10428
	20	15	53	11	16QAM	10	1/3	9224	24	1	2	27984	6996
	20	15	106	11	16QAM	10	1/3	18432	24	1	3	55968	13992
	25	15	67	11	16QAM	10	1/3	11784	24	1	2	35376	8844
	25	15	133	11	16QAM	10	1/3	23040	24	1	3	70224	17556
	30	15	80	11	16QAM	10	1/3	14088	24	1	2	42240	10560
	30	15	160	11	16QAM	10	1/3	28168	24	1	4	84480	21120
	40	15	108	11	16QAM	10	1/3	18960	24	1	3	57024	14256
	40	15	216	11	16QAM	10	1/3	37896	24	1	5	114048	28512
	50	15	135	11	16QAM	10	1/3	23568	24	1	3	71280	17820
	50	15	270	11	16QAM	10	1/3	47112	24	1	6	142560	35640

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 5.1.3.1-1 defined in TS 38.214 [12].

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.3.7-2: Reference Channels for CP-OFDM 16QAM for 30kHz SCS

Parameter	Channel bandwidth	Subcarrier Spacing	Allocated resource blocks	CP-OFDM Symbols per slot (Note 1)	Modulation	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 8, 9, 18 and 19	Transport block CRC	LDPC Base Graph	Number of code blocks per slot for slots 8, 9, 18 and 19 (Note 3)	Total number of bits per slot for slots 8, 9, 18 and 19	Total modulated symbols per slot for slots 8, 9, 18 and 19
Unit	MHz	KHz						Bits	Bits			Bits	
	5-50	30	1	11	16QAM	10	1/3	176	16	2	1	528	132
	5	30	6	11	16QAM	10	1/3	1064	16	2	1	3168	792
	5	30	11	11	16QAM	10	1/3	1928	16	2	1	5808	1452
	10	30	12	11	16QAM	10	1/3	2088	16	2	1	6336	1584
	10	30	24	11	16QAM	10	1/3	4224	24	1	1	12672	3168
	15	30	19	11	16QAM	10	1/3	3368	16	2	1	10032	2508
	15	30	38	11	16QAM	10	1/3	6656	24	1	1	20064	5016
	20	30	26	11	16QAM	10	1/3	4480	24	1	1	13728	3432
	20	30	51	11	16QAM	10	1/3	8968	24	1	2	26928	6732
	25	30	33	11	16QAM	10	1/3	5760	24	1	1	17424	4356
	25	30	65	11	16QAM	10	1/3	11272	24	1	2	34320	8580
	30	30	39	11	16QAM	10	1/3	6784	24	1	1	20592	5148
	30	30	78	11	16QAM	10	1/3	13576	24	1	2	41184	10296
	40	30	53	11	16QAM	10	1/3	9224	24	1	2	27984	6996
	40	30	106	11	16QAM	10	1/3	18432	24	1	3	55968	13992
	50	30	67	11	16QAM	10	1/3	11784	24	1	2	35376	8844
	50	30	133	11	16QAM	10	1/3	23040	24	1	3	70224	17556
	60	30	81	11	16QAM	10	1/3	14088	24	1	2	42768	10692
	60	30	162	11	16QAM	10	1/3	28168	24	1	4	85536	21384
	80	30	109	11	16QAM	10	1/3	18960	24	1	3	57552	14388
	80	30	217	11	16QAM	10	1/3	37896	24	1	5	114576	28644
	90	30	123	11	16QAM	10	1/3	21504	24	1	3	64944	16236
	90	30	245	11	16QAM	10	1/3	43032	24	1	6	129360	32340
	100	30	137	11	16QAM	10	1/3	24072	24	1	3	72336	18084
	100	30	273	11	16QAM	10	1/3	48168	24	1	6	144144	36036

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 5.1.3.1-1 defined in TS 38.214 [12].

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.3.7-3: Reference Channels for CP-OFDM 16QAM for 60kHz SCS

Parameter	Channel bandwidth	Subcarrier Spacing	Allocated resource blocks	CP-OFDM Symbols per slot (Note 1)	Modulation	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 4, 9, 14, 19, 24, 29, 34 and 39	Transport block CRC	LDPC Base Graph	Number of code blocks per slot for slots 4, 9, 14, 19, 24, 29, 34 and 39 (Note 3)	Total number of bits per slot for slots 4, 9, 14, 19, 24, 29, 34 and 39	Total modulated symbols per slot for slots 4, 9, 14, 19, 24, 29, 34 and 39
Unit	MHz	KHz						Bits	Bits			Bits	
	10-100	60	1	11	16QAM	10	1/3	176	16	2	1	528	132
	10	60	6	11	16QAM	10	1/3	1064	16	2	1	3168	792
	10	60	11	11	16QAM	10	1/3	1928	16	2	1	5808	1452
	15	60	9	11	16QAM	10	1/3	1608	16	2	1	4752	1188
	15	60	18	11	16QAM	10	1/3	3240	16	2	1	9504	2376
	20	60	12	11	16QAM	10	1/3	2088	16	2	1	6336	1584
	20	60	24	11	16QAM	10	1/3	4224	24	1	1	12672	3168
	25	60	16	11	16QAM	10	1/3	2792	16	2	1	8448	2112
	25	60	31	11	16QAM	10	1/3	5376	24	1	1	16368	4092
	30	60	19	11	16QAM	10	1/3	3368	16	2	1	10032	2508
	30	60	38	11	16QAM	10	1/3	6656	24	1	1	20064	5016
	40	60	26	11	16QAM	10	1/3	4480	24	1	1	13728	3432
	40	60	51	11	16QAM	10	1/3	8968	24	1	2	26928	6732
	50	60	33	11	16QAM	10	1/3	5760	24	1	1	17424	4356
	50	60	65	11	16QAM	10	1/3	11272	24	1	2	34320	8580
	60	60	40	11	16QAM	10	1/3	7040	24	1	1	21120	5280
	60	60	79	11	16QAM	10	1/3	13832	24	1	2	41712	10428
	80	60	54	11	16QAM	10	1/3	9480	24	1	2	28512	7128
	80	60	107	11	16QAM	10	1/3	18960	24	1	3	56496	14124
	90	60	61	11	16QAM	10	1/3	10760	24	1	2	32208	8052
	90	60	121	11	16QAM	10	1/3	21000	24	1	3	63888	15972
	100	60	68	11	16QAM	10	1/3	11784	24	1	2	35904	8976
	100	60	135	11	16QAM	10	1/3	23568	24	1	3	71280	17820

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.  
 Note 2: MCS Index is based on MCS table 5.1.3.1-1 defined in TS 38.214 [12].  
 Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

## A.2.3.8 CP-OFDM 64QAM

Table A.2.3.8-1: Reference Channels for CP-OFDM 64QAM for 15kHz SCS

Parameter	Channel bandwidth	Subcarrier Spacing	Allocated resource blocks	CP-OFDM Symbols per slot (Note 1)	Modulation	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 4 and 9	Transport block CRC	LDPC Base Graph	Number of code blocks per slot for slots 4 and 9 (Note 3)	Total number of bits per slot for slots 4 and 9	Total modulated symbols per slot for slots 4 and 9
Unit	MHz	KHz						Bits	Bits			Bits	
	5	15	25	11	64QAM	19	1/2	9992	24	1	2	19800	3300
	10	15	52	11	64QAM	19	1/2	21000	24	1	3	41184	6864
	15	15	79	11	64QAM	19	1/2	31752	24	1	4	62568	10428
	20	15	106	11	64QAM	19	1/2	42016	24	1	5	83952	13992
	25	15	133	11	64QAM	19	1/2	53288	24	1	7	105336	17556
	30	15	160	11	64QAM	19	1/2	63528	24	1	8	126720	21120
	40	15	216	11	64QAM	19	1/2	86040	24	1	11	171072	28512
	50	15	270	11	64QAM	19	1/2	108552	24	1	13	213840	35640

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 5.1.3.1-1 defined in TS 38.214 [12].

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.3.8-2: Reference Channels for CP-OFDM 64QAM for 30kHz SCS

Parameter	Channel bandwidth	Subcarrier Spacing	Allocated resource blocks	CP-OFDM Symbols per slot (Note 1)	Modulation	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 8, 9, 18 and 19	Transport block CRC	LDPC Base Graph	Number of code blocks per slot for slots 8, 9, 18 and 19 (Note 3)	Total number of bits per slot for slots 8, 9, 18 and 19	Total modulated symbols per slot for slots 8, 9, 18 and 19
Unit	MHz	KHz						Bits	Bits			Bits	
	5	30	11	11	64QAM	19	1/2	4352	24	1	1	8712	1452
	10	30	24	11	64QAM	19	1/2	9480	24	1	2	19008	3168
	15	30	38	11	64QAM	19	1/2	15112	24	1	2	30096	5016
	20	30	51	11	64QAM	19	1/2	20496	24	1	3	40392	6732
	25	30	65	11	64QAM	19	1/2	26120	24	1	4	51480	8580
	30	30	78	11	64QAM	19	1/2	31240	24	1	4	61776	10296
	40	30	106	11	64QAM	19	1/2	42016	24	1	5	83952	13992
	50	30	133	11	64QAM	19	1/2	53288	24	1	7	105336	17556
	60	30	162	11	64QAM	19	1/2	64552	24	1	8	128304	21384
	80	30	217	11	64QAM	19	1/2	86040	24	1	11	171864	28644
	90	30	245	11	64QAM	19	1/2	98376	24	1	12	194040	32340
	100	30	273	11	64QAM	19	1/2	108552	24	1	13	216216	36036

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 5.1.3.1-1 defined in TS 38.214 [12].

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.3.8-3: Reference Channels for CP-OFDM 64QAM for 60kHz SCS

Parameter	Channel bandwidth	Subcarrier Spacing	Allocated resource blocks	CP-OFDM Symbols per slot (Note 1)	Modulation	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 4, 9, 14, 19, 24, 29, 34 and 39	Transport block CRC	LDPC Base Graph	Number of code blocks per slot for slots 4, 9, 14, 19, 24, 29, 34 and 39 (Note 3)	Total number of bits per slot for slots 4, 9, 14, 19, 24, 29, 34 and 39	Total modulated symbols per slot for slots 4, 9, 14, 19, 24, 29, 34 and 39
Unit	MHz	KHz						Bits	Bits			Bits	
	10	60	11	11	64QAM	19	1/2	4352	24	1	1	8712	1452
	15	60	18	11	64QAM	19	1/2	7168	24	1	1	14256	2376
	20	60	24	11	64QAM	19	1/2	9480	24	1	2	19008	3168
	25	60	31	11	64QAM	19	1/2	12296	24	1	2	24552	4092
	30	60	38	11	64QAM	19	1/2	15112	24	1	2	30096	5016
	40	60	51	11	64QAM	19	1/2	20496	24	1	3	40392	6732
	50	60	65	11	64QAM	19	1/2	26120	24	1	4	51480	8580
	60	60	79	11	64QAM	19	1/2	31752	24	1	4	62568	10428
	80	60	107	11	64QAM	19	1/2	43032	24	1	6	84744	14124
	90	60	121	11	64QAM	19	1/2	48168	24	1	6	95832	15972
	100	60	135	11	64QAM	19	1/2	54296	24	1	7	106920	17820

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 5.1.3.1-1 defined in TS 38.214 [12].

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

## A.2.3.9 CP-OFDM 256QAM

Table A.2.3.9-1: Reference Channels for CP-OFDM 256QAM for 15kHz SCS

Parameter	Channel bandwidth	Subcarrier Spacing	Allocated resource blocks	CP-OFDM Symbols per slot (Note 1)	Modulation	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 4 and 9	Transport block CRC	LDPC Base Graph	Number of code blocks per slot for slots 4 and 9 (Note 3)	Total number of bits per slot for slots 4 and 9	Total modulated symbols per slot for slots 4 and 9
Unit	MHz	KHz						Bits	Bits			Bits	
	5	15	25	11	256QAM	20	2/3	17424	24	1	3	26400	3300
	10	15	52	11	256QAM	20	2/3	36896	24	1	5	54912	6864
	15	15	79	11	256QAM	20	2/3	55304	24	1	7	83424	10428
	20	15	106	11	256QAM	20	2/3	73776	24	1	9	111936	13992
	25	15	133	11	256QAM	20	2/3	94248	24	1	12	140448	17556
	30	15	160	11	256QAM	20	2/3	112648	24	1	14	168960	21120
	40	15	216	11	256QAM	20	2/3	151608	24	1	18	228096	28512
	50	15	270	11	256QAM	20	2/3	188576	24	1	23	285120	35640
<p>Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.</p> <p>Note 2: MCS Index is based on MCS table 5.1.3.1-2 defined in TS 38.214 [12].</p> <p>Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)</p>													

Table A.2.3.9-2: Reference Channels for CP-OFDM 256QAM for 30kHz SCS

Parameter	Channel bandwidth	Subcarrier Spacing	Allocated resource blocks	CP-OFDM Symbols per slot (Note 1)	Modulation	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 8, 9, 18 and 19	Transport block CRC	LDPC Base Graph	Number of code blocks per slot for slots 8, 9, 18 and 19 (Note 3)	Total number of bits per slot for slots 8, 9, 18 and 19	Total modulated symbols per slot for slots 8, 9, 18 and 19
Unit	MHz	KHz						Bits	Bits			Bits	
	5	30	11	11	256QAM	20	2/3	7680	24	1	1	11616	1452
	10	30	24	11	256QAM	20	2/3	16896	24	1	3	25344	3168
	15	30	38	11	256QAM	20	2/3	26632	24	1	4	40128	5016
	20	30	51	11	256QAM	20	2/3	35856	24	1	5	53856	6732
	25	30	65	11	256QAM	20	2/3	46104	24	1	6	68640	8580
	30	30	78	11	256QAM	20	2/3	55304	24	1	7	82368	10296
	40	30	106	11	256QAM	20	2/3	73776	24	1	9	111936	13992
	50	30	133	11	256QAM	20	2/3	94248	24	1	12	140448	17556
	60	30	162	11	256QAM	20	2/3	114776	24	1	14	171072	21384
	80	30	217	11	256QAM	20	2/3	151608	24	1	18	229152	28644
	90	30	245	11	256QAM	20	2/3	172176	24	1	21	258720	32340
	100	30	273	11	256QAM	20	2/3	192624	24	1	23	288288	36036

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 5.1.3.1-2 defined in TS 38.214 [12].

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.3.9-3: Reference Channels for CP-OFDM 256QAM for 60kHz SCS

Parameter	Channel bandwidth	Subcarrier Spacing	Allocated resource blocks	CP-OFDM Symbols per slot (Note 1)	Modulation	MCS Index (Note 2)	Target Coding Rate	Payload size for slots 4, 9, 14, 19, 24, 29, 34 and 39	Transport block CRC	LDPC Base Graph	Number of code blocks per slot for slots 4, 9, 14, 19, 24, 29, 34 and 39 (Note 3)	Total number of bits per slot for slots 4, 9, 14, 19, 24, 29, 34 and 39	Total modulated symbols per slot for slots 4, 9, 14, 19, 24, 29, 34 and 39
Unit	MHz	KHz						Bits	Bits			Bits	
	10	60	11	11	256QAM	20	2/3	7680	24	1	1	11616	1452
	15	60	18	11	256QAM	20	2/3	12552	24	1	2	19008	2376
	20	60	24	11	256QAM	20	2/3	16896	24	1	3	25344	3168
	25	60	31	11	256QAM	20	2/3	22032	24	1	3	32736	4092
	30	60	38	11	256QAM	20	2/3	26632	24	1	4	40128	5016
	40	60	51	11	256QAM	20	2/3	35856	24	1	5	53856	6732
	50	60	65	11	256QAM	20	2/3	46104	24	1	6	68640	8580
	60	60	79	11	256QAM	20	2/3	55304	24	1	7	83424	10428
	80	60	107	11	256QAM	20	2/3	75792	24	1	9	112992	14124
	90	60	121	11	256QAM	20	2/3	86040	24	1	11	127776	15972
	100	60	135	11	256QAM	20	2/3	94248	24	1	12	142560	17820

Note 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data.

Note 2: MCS Index is based on MCS table 5.1.3.1-2 defined in TS 38.214 [12].

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

## A.3 DL reference measurement channels

### A.3.1 General

**Table A.3.1-1: Common reference channel parameters**

Parameter	Unit	Value
CORESET frequency domain allocation		Full BW
CORESET time domain allocation		2 OFDM symbols at the begin of each slot
PDSCH mapping type		Type A
PDSCH start symbol index (S)		2
Number of consecutive PDSCH symbols (L)		12
PDSCH PRB bundling	PRBs	2
Dynamic PRB bundling		false
MCS table for TBS determination		64QAM
Overhead value for TBS determination		0
First DMRS position for Type A PDSCH mapping		2
DMRS type		Type 1
Number of additional DMRS		2
FDM between DMRS and PDSCH		Disable
TRS configuration		1 slot, periodicity 10 ms, offset 0
PTRS configuration		PTRS is not configured

### A.3.2 DL reference measurement channels for FDD

#### A.3.2.1 General

[FRC applicability TBA]

**Table A.3.2.1-1: Additional reference channels parameters for FDD**

Parameter	Unit	Value
Number of HARQ Processes		4
K1 value		2 for all slots

## A.3.2.2 FRC for receiver requirements for QPSK

Table A.3.2.2-1: Fixed reference channel for receiver requirements (SCS 15 kHz, FDD, QPSK 1/3)

Parameter	Unit	Value							
		5	10	15	20	25	30	40	50
Channel bandwidth	MHz	5	10	15	20	25	30	40	50
Subcarrier spacing	kHz	15	15	15	15	15	15	15	15
Subcarrier spacing configuration $\mu$		0	0	0	0	0	0	0	0
Allocated resource blocks		25	52	79	106	133	[160]	216	270
Subcarriers per resource block		12	12	12	12	12	12	12	12
Allocated slots per Frame		9	9	9	9	9	9	9	9
MCS Index		4	4	4	4	4	4	4	4
Modulation		QPSK	QPSK						
Target Coding Rate		1/3	1/3	1/3	1/3	1/3	1/3	1/3	1/3
Maximum number of HARQ transmissions		1	1	1	1	1	1	1	1
Information Bit Payload per Slot									
For Slot 0	Bits	N/A	N/A						
For Slots 1,2,3,4,5,6,7,8,9	Bits	1672	3368	5120	6912	8712	10504	14088	17424
Transport block CRC	Bits	16	16	24	24	24	24	24	24
LDPC base graph		2	2	1	1	1	1	1	1
Number of Code Blocks per Slot									
For Slot 0	CBs	N/A	N/A						
For Slots 1,2,3,4,5,6,7,8,9	CBs	1	1	1	1	2	2	2	3
Binary Channel Bits per Slot									
For Slot 0	Bits	N/A	N/A						
For Slots 1,2,3,4,5,6,7,8,9	Bits	5400	11232	17064	22896	28728	34560	46656	58320
Max. Throughput averaged over 1 frame	Mbps	1.504	3.031	4.608	6.220	7.841	9.454	12.67 9	15.68 2
Note 1:	Additional parameters are specified in Table A.3.1-1 and Table A.3.2.1-1.								
Note 2:	If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit).								
Note 3:	SS/PBCH block is transmitted in slot #0 of each frame.								
Note 4:	Slot i is slot index per frame,								

Table A.3.2.2-2: Fixed reference channel for receiver requirements (SCS 30 kHz, FDD, QPSK 1/3)

Parameter	Unit	Value										
		5	10	15	20	25	30	40	50	60	80	100
Channel bandwidth	MHz	5	10	15	20	25	30	40	50	60	80	100
Subcarrier spacing configuration $\mu$		1	1	1	1	1	1	1	1	1	1	1
Allocated resource blocks		11	24	38	51	65	[78]	106	133	162	217	273
Subcarriers per resource block		12	12	12	12	12	12	12	12	12	12	12
Allocated slots per Frame		19	19	19	19	19	19	19	19	19	19	19
MCS Index		4	4	4	4	4	4	4	4	4	4	4
Modulation		QPSK	QPSK	QPSK	QPSK	QPSK						
Target Coding Rate		1/3	1/3	1/3	1/3	1/3	1/3	1/3	1/3	1/3	1/3	1/3
Maximum number of HARQ transmissions		1	1	1	1	1	1	1	1	1	1	1
Information Bit Payload per Slot												
For Slot 0	Bits	N/A	N/A	N/A	N/A	N/A						
For Slots 1,...,19	Bits	736	1608	2472	3368	4224	4992	6912	8712	10504	14088	17928
Transport block CRC	Bits	16	16	16	16	24	24	24	24	24	24	24
LDPC base graph		2	2	2	2	1	1	1	1	1	1	1
Number of Code Blocks per Slot												
For Slot 0	CBs	N/A	N/A	N/A	N/A	N/A						
For Slots 1,...,19	CBs	1	1	1	1	1	1	1	2	2	2	3
Binary Channel Bits per Slot												
For Slot 0	Bits	N/A	N/A	N/A	N/A	N/A						
For Slots 1,...,19	Bits	2376	5184	8208	11016	14040	16848	22896	28728	34992	46872	58968
Max. Throughput averaged over 1 frame	Mbps	1.398	3.055	4.697	6.399	8.025	9.485	13.133	16.553	19.958	26.767	34.063
Note 1: Additional parameters are specified in Table A.3.1-1 and Table A.3.2.1-1. Note 2: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit). Note 3: SS/PBCH block is transmitted in slot #0 of each frame. Note 4: Slot i is slot index per frame,												

Table A.3.2.2-3: Fixed reference channel for receiver requirements (SCS 60 kHz, FDD, QPSK 1/3)

Parameter	Unit	Value									
		10	15	20	25	30	40	50	60	80	100
Channel bandwidth	MHz	10	15	20	25	30	40	50	60	80	100
Subcarrier spacing configuration $\mu$		2	2	2	2	2	2	2	2	2	2
Allocated resource blocks		11	18	24	31	[38]	51	65	79	107	135
Subcarriers per resource block		12	12	12	12	12	12	12	12	12	12
Allocated slots per Frame		338	338	338	338	338	338	338	338	338	338
MCS Index		4	4	4	4	4	4	4	4	4	4
Modulation		QPSK	QPSK	QPSK	QPSK	QPSK	QPSK	QPSK	QPSK	QPSK	QPSK
Target Coding Rate		1/3	1/3	1/3	1/3	1/3	1/3	1/3	1/3	1/3	1/3
Maximum number of HARQ transmissions		1	1	1	1	1	1	1	1	1	1
Information Bit Payload per Slot											
For Slot 0,1	Bits	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
For Slots 2,...,39	Bits	736	1192	1608	2024	2472	3368	4224	5120	6912	8712
Transport block CRC	Bits	16	16	16	16	16	16	24	24	24	24
LDPC base graph		2	2	2	2	2	2	1	1	1	1
Number of Code Blocks per Slot											
For Slot 0,1	CBs	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
For Slots 2,...,39	CBs	1	1	1	1	1	1	1	1	1	2
Binary Channel Bits per Slot											
For Slot 0,1	Bits	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
For Slots 2,...,39	Bits	2376	3888	5184	6696	8208	11016	14040	17064	23112	29160
Max. Throughput averaged over 1 frame	Mbps	2.870	4.649	6.271	7.894	9.641	13.135	16.474	19.968	26.957	33.977
Note 1:	Additional parameters are specified in Table A.3.1-1 and Table A.3.2.1-1.										
Note 2:	If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit).										
Note 3:	SS/PBCH block is transmitted in slot #0 of each frame.										
Note 4:	Slot i is slot index per frame.										

### A.3.2.3 FRC for maximum input level for 64QAM

**Table A.3.2.3-1: Fixed reference channel for maximum input level receiver requirements (SCS 15 kHz, FDD, 64QAM)**

Parameter	Unit	Value							
		5	10	15	20	25	30	40	50
Channel bandwidth	MHz	5	10	15	20	25	30	40	50
Subcarrier spacing	kHz	15	15	15	15	15	15	15	15
Subcarrier spacing configuration <sup>μ</sup>		0	0	0	0	0	0	0	0
Allocated resource blocks		25	52	79	106	133	[160]	216	270
Subcarriers per resource block		12	12	12	12	12	12	12	12
Allocated slots per Frame		9	9	9	9	9	9	9	9
MCS Index		24	24	24	24	24	24	24	24
Modulation		64 QAM							
Target Coding Rate		3/4	3/4	3/4	3/4	3/4	3/4	3/4	3/4
Maximum number of HARQ transmissions		1	1	1	1	1	1	1	1
Information Bit Payload per Slot									
For Slot 0	Bits	N/A							
For Slots 1,2,3,4,5,6,7,8,9	Bits	12296	25608	38936	52224	64552	77896	10657 6	13117 6
Transport block CRC	Bits	24	24	24	24	24	24	24	24
LDPC base graph		1	1	1	1	1	1	1	1
Number of Code Blocks per Slot									
For Slot 0	CBs	N/A							
For Slots 1,2,3,4,5,6,7,8,9	CBs	2	4	5	7	8	10	13	16
Binary Channel Bits per Slot									
For Slot 0	Bits	N/A							
For Slots 1,2,3,4,5,6,7,8,9	Bits	16200	33696	51192	68688	86184	10368 0	13996 8	17496 0
Max. Throughput averaged over 1 frame	Mbps	11.06 6	23.04 7	35.04 2	47.00 2	58.09 7	70.10 6	95.91 8	118.0 58
NOTE 1: Additional parameters are specified in Table A.3.1-1 and Table A.3.2.1-1.									
NOTE 2: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit).									
NOTE 3: SS/PBCH block is transmitted in slot 0 of each frame									
NOTE 4: Slot i is slot index per frame									

Table A.3.2.3-2: Fixed reference channel for maximum input level receiver requirements (SCS 30 kHz, FDD, 64QAM)

Parameter	Unit	Value										
		5	10	15	20	25	30	40	50	60	80	100
Channel bandwidth	MHz	5	10	15	20	25	30	40	50	60	80	100
Subcarrier spacing configuration $\mu$		1	1	1	1	1	1	1	1	1	1	1
Allocated resource blocks		11	24	38	51	65	[78]	106	133	162	217	273
Subcarriers per resource block		12	12	12	12	12	12	12	12	12	12	12
Allocated slots per Frame		19	19	19	19	19	19	19	19	19	19	19
MCS Index		24	24	24	24	24	24	24	24	24	24	24
Modulation		64 QAM	64 QAM	64 QAM	64 QAM							
Target Coding Rate		3/4	3/4	3/4	3/4	3/4	3/4	3/4	3/4	3/4	3/4	3/4
Maximum number of HARQ transmissions		1	1	1	1	1	1	1	1	1	1	1
Information Bit Payload per Slot												
For Slot 0	Bits	N/A	N/A	N/A	N/A							
For Slots 1,...,19	Bits	5376	11784	18432	25104	31752	37896	52224	64552	79896	106576	135296
Transport block CRC	Bits	24	24	24	24	24	24	24	24	24	24	24
LDPC base graph		1	1	1	1	1	1	1	1	1	1	1
Number of Code Blocks per Slot												
For Slot 0	CBs	N/A	N/A	N/A	N/A							
For Slots 1,...,19	CBs	1	2	3	3	4	5	7	8	10	13	17
Binary Channel Bits per Slot												
For Slot 0	Bits	N/A	N/A	N/A	N/A							
For Slots 1,...,19	Bits	7128	15552	24624	33048	42120	50544	68688	86184	104976	140616	176904
Max. Throughput averaged over 1 frame	Mbps	10.214	22.390	35.021	47.698	60.329	72.002	99.226	122.64 9	151.80 2	202.49 4	257.06 2
Note 1:	Additional parameters are specified in Table A.3.1-1 and Table A.3.2.1-1											
Note 2:	If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)											
Note 3:	SS/PBCH block is transmitted in slot 0 of each frame.											
Note 4:	Slot i is slot index per frame.											

Table A.3.2.3-3: Fixed Reference Channel for Maximum input level receiver requirements (SCS 60 kHz, FDD, 64QAM)

Parameter	Unit	Value									
		10	15	20	25	30	40	50	60	80	100
Channel bandwidth	MHz	10	15	20	25	30	40	50	60	80	100
Subcarrier spacing configuration $\mu$		2	2	2	2	2	2	2	2	2	2
Allocated resource blocks		11	18	24	31	[38]	51	65	79	107	135
Subcarriers per resource block		12	12	12	12	12	12	12	12	12	12
Allocated slots per Frame		38	38	38	38	38	38	38	38	38	38
MCS Index		24	24	24	24	24	24	24	24	24	24
Modulation		64 QAM	64 QAM	64 QAM	64 QAM						
Target Coding Rate		3/4	3/4	3/4	3/4	3/4	3/4	3/4	3/4	3/4	3/4
Maximum number of HARQ transmissions		1	1	1	1	1	1	1	1	1	1
Information Bit Payload per Slot											
For Slot 0,1	Bits	N/A	N/A	N/A	N/A						
For Slots 2,...,39	Bits	5376	8712	11784	15112	18432	25104	31752	38936	52224	65576
Transport block CRC	Bits	24	24	24	24	24	24	24	24	24	24
LDPC base graph		1	1	1	1	1	1	1	1	1	1
Number of Code Blocks per Slot											
For Slot 0,1	CBs	N/A	N/A	N/A	N/A						
For Slots 2,...,39	CBs	1	2	2	2	3	3	4	5	7	8
Binary Channel Bits per Slot											
For Slot 0,1	Bits	N/A	N/A	N/A	N/A						
For Slots 2,...,39	Bits	7128	11664	15552	20088	24624	33048	42120	51192	69336	87480
Max. Throughput averaged over 1 frame	Mbps	20.429	33.106	44.779	57.426	70.042	95.395	120.65 8	147.95 7	198.45 1	249.18 9
<p>Note 1: Additional parameters are specified in Table A.3.1-1 and Table A.3.2.1-1.</p> <p>Note 2: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)</p> <p>Note 3: SS/PBCH block is transmitted in slot #0 of each frame.</p> <p>Note 4: Slot i is slot index per frame.</p>											

## A.3.2.4 FRC for maximum input level for 256 QAM

Table A.3.2.4-1: Fixed reference channel for maximum input level receiver requirements (SCS 15 kHz, FDD, 256QAM)

Parameter	Unit	Value							
		5	10	15	20	25	30	40	50
Channel bandwidth	MHz	5	10	15	20	25	30	40	50
Subcarrier spacing	kHz	15	15	15	15	15	15	15	15
Subcarrier spacing configuration $\mu$		0	0	0	0	0	0	0	0
Allocated resource blocks		25	52	79	106	133	[160]	216	270
Subcarriers per resource block		12	12	12	12	12	12	12	12
Allocated slots per Frame		9	9	9	9	9	9	9	9
MCS Index		23	23	23	23	23	23	23	23
Modulation		256 QAM	256 QAM						
Target Coding Rate		4/5	4/5	4/5	4/5	4/5	4/5	4/5	4/5
Maximum number of HARQ transmissions		1	1	1	1	1	1	1	1
Information Bit Payload per Slot									
For Slot 0	Bits	N/A	N/A						
For Slots 1,2,3,4,5,6,7,8,9	Bits	16896	34816	53288	71688	90176	108552	143400	180376
Transport block CRC	Bits	24	24	24	24	24	24	24	24
LDPC base graph		1	1	1	1	1	1	1	1
Number of Code Blocks per Slot									
For Slot 0	CBs	N/A	N/A						
For Slots 1,2,3,4,5,6,7,8,9	CBs	3	5	7	9	12	14	18	23
Binary Channel Bits per Slot									
For Slot 0	Bits	N/A	N/A						
For Slots 1,2,3,4,5,6,7,8,9	Bits	21600	44928	68256	91584	114912	138240	186624	233280
Max. Throughput averaged over 1 frame	Mbps	15.20 6	31.33 4	47.95 9	64.51 9	81.15 8	97.69 7	129.0 60	162.3 38
Note 1:	Additional parameters are specified in Table A.3.1-1 and Table A.3.2.1-1.								
Note 2:	If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)								
Note 3:	SS/PBCH block is transmitted in slot 0 of each frame.								
Note 4:	Slot i is slot index per frame.								

Table A.3.2.4-2: Fixed reference channel for maximum input level receiver requirements (SCS 30 kHz, FDD, 256QAM)

Parameter	Unit	Value										
		5	10	15	20	25	30	40	50	60	80	100
Channel bandwidth	MHz	5	10	15	20	25	30	40	50	60	80	100
Subcarrier spacing configuration $\mu$		1	1	1	1	1	1	1	1	1	1	1
Allocated resource blocks		11	24	38	51	65	[78]	106	133	162	217	273
Subcarriers per resource block		12	12	12	12	12	12	12	12	12	12	12
Allocated slots per Frame		19	19	19	19	19	19	19	19	19	19	19
MCS Index		23	23	23	23	23	23	23	23	23	23	23
Modulation		256 QAM	256 QAM	256 QAM	256 QAM	256 QAM						
Target Coding Rate		4/5	4/5	4/5	4/5	4/5	4/5	4/5	4/5	4/5	4/5	4/5
Maximum number of HARQ transmissions		1	1	1	1	1	1	1	1	1	1	1
Information Bit Payload per Slot												
For Slot 0	Bits	N/A	N/A	N/A	N/A	N/A						
For Slots 1,...,19	Bits	7424	16136	25608	33816	44040	52224	71688	90176	108552	147576	184424
Transport block CRC	Bits	24	24	24	24	24	24	24	24	24	24	24
LDPC base graph		1	1	1	1	1	1	1	1	1	1	1
Number of Code Blocks per Slot												
For Slot 0	CBs	N/A	N/A	N/A	N/A	N/A						
For Slots 1,...,19	CBs	1	3	4	5	6	7	9	12	14	19	23
Binary Channel Bits per Slot												
For Slot 0	Bits	N/A	N/A	N/A	N/A	N/A						
For Slots 1,...,19	Bits	9504	20736	32832	44064	56160	67392	91584	114912	139968	187488	235872
Max. Throughput averaged over 1 frame	Mbps	14.106	30.658	48.655	64.250	83.676	99.226	136.20 7	171.33 4	206.24 9	280.39 4	350.40 6
Note 1:	Additional parameters are specified in Table A.3.1-1 and Table A.3.2.1-1.											
Note 2:	If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)											
Note 3:	SS/PBCH block is transmitted in slot 0 of each frame.											
Note 4:	Slot i is slot index per frame.											

Table A.3.2.4-3: Fixed reference channel for maximum input level receiver requirements (SCS 60 kHz, FDD, 256QAM)

Parameter	Unit	Value									
		10	15	20	25	30	40	50	60	80	100
Channel bandwidth	MHz	10	15	20	25	30	40	50	60	80	100
Subcarrier spacing configuration $\mu$		2	2	2	2	2	2	2	2	2	2
Allocated resource blocks		11	18	24	31	[38]	51	65	79	107	135
Subcarriers per resource block		12	12	12	12	12	12	12	12	12	12
Allocated slots per Frame		38	38	38	38	38	38	38	38	38	38
MCS Index		23	23	23	23	23	23	23	23	23	23
Modulation		256 QAM	256 QAM	256 QAM	256 QAM	256 QAM	256 QAM	256 QAM	256 QAM	256 QAM	256 QAM
Target Coding Rate		4/5	4/5	4/5	4/5	4/5	4/5	4/5	4/5	4/5	4/5
Maximum number of HARQ transmissions		1	1	1	1	1	1	1	1	1	1
Information Bit Payload per Slot											
For Slot 0,1	Bits	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
For Slots 2,...,39	Bits	7424	12040	16136	21000	25608	33816	44040	53288	71688	90176
Transport block CRC	Bits	24	24	24	24	24	24	24	24	24	24
LDPC base graph		1	1	1	1	1	1	1	1	1	1
Number of Code Blocks per Slot											
For Slot 0,1	CBs	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
For Slots 2,...,39	CBs	1	2	3	3	4	5	6	7	9	12
Binary Channel Bits per Slot											
For Slot 0,1	Bits	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
For Slots 2,...,39	Bits	9504	15552	20736	26784	32832	44064	56160	68256	92448	116640
Max. Throughput averaged over 1 frame	Mbps	28.211	45.752	61.317	79.800	97.310	128.50 1	167.35 2	202.49 4	272.41 4	342.66 9
<p>Note 1: Additional parameters are specified in Table A.3.1-1 and Table A.3.2.1-1.</p> <p>Note 2: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)</p> <p>Note 3: SS/PBCH block is transmitted in slot #0 of each frame.</p> <p>Note 4: Slot i is slot index per frame.</p>											

## A.3.3 DL reference measurement channels for TDD

### A.3.3.1 General

Table A.3.3.1-1: Additional reference channels parameters for TDD

Parameter		Value		
		SCS 15 kHz ( $\mu=0$ )	SCS 30 kHz ( $\mu=1$ )	SCS 60 kHz ( $\mu=2$ )
UL-DL configuration	referenceSubcarrierSpacing	15 kHz	30 kHz	60 kHz
	dl-UL-TransmissionPeriodicity	5 ms	5 ms	1.25 ms
	nrofDownlinkSlots	3	7	3
	nrofDownlinkSymbols	10	6	6
	nrofUplinkSlot	1	2	1
	nrofUplinkSymbols	2	4	4
Number of HARQ Processes		8	8	8
K1 value		K1 = 4 if $\text{mod}(i,5) = 0$ K1 = 3 if $\text{mod}(i,5) = 1$ K1 = 2 if $\text{mod}(i,5) = 2$ where $i$ is slot index per frame; $i = \{0, \dots, 9\}$	K1 = 8 if $\text{mod}(i,10) = 0$ K1 = 7 if $\text{mod}(i,10) = 1$ K1 = 6 if $\text{mod}(i,10) = 2$ K1 = 5 if $\text{mod}(i,10) = 3$ K1 = 4 if $\text{mod}(i,10) = 4$ K1 = 3 if $\text{mod}(i,10) = 5$ K1 = 2 if $\text{mod}(i,10) = 6$ where $i$ is slot index per frame; $i = \{0, \dots, 19\}$	K1 = 4 if $\text{mod}(i,5) = 0$ K1 = 3 if $\text{mod}(i,5) = 1$ K1 = 2 if $\text{mod}(i,5) = 2$ where $i$ is slot index per frame; $i = \{0, \dots, 39\}$

### A.3.3.2 FRC for receiver requirements for QPSK

Table A.3.3.2-1: Fixed reference channel for receiver requirements (SCS 15 kHz, TDD, QPSK 1/3)

Parameter	Unit	Value							
		5	10	15	20	25	30	40	50
Channel bandwidth	MHz	5	10	15	20	25	30	40	50
Subcarrier spacing	kHz	15	15	15	15	15	15	15	15
Subcarrier spacing configuration $\mu$		0	0	0	0	0	0	0	0
Allocated resource blocks		25	52	79	106	133	[160]	216	270
Subcarriers per resource block		12	12	12	12	12	12	12	12
Allocated slots per Frame		5	5	5	5	5	5	5	5
MCS Index		4	4	4	4	4	4	4	4
Modulation		QPSK							
Target Coding Rate		1/3	1/3	1/3	1/3	1/3	1/3	1/3	1/3
Maximum number of HARQ transmissions		1	1	1	1	1	1	1	1
Information Bit Payload per Slot									
For Slots 0,3,4,8,9	Bits	N/A							
For Slots 1,2,5,6,7	Bits	1672	3368	5120	6912	8712	10504	14088	17424
Transport block CRC	Bits	16	16	24	24	24	24	24	24
LDPC base graph		2	2	1	1	1	1	1	1
Number of Code Blocks per Slot									
For Slots 0,3,4,8,9	CBs	N/A							
For Slots 1,2,5,6,7	CBs	1	1	1	1	2	2	2	3
Binary Channel Bits per Slot									
For Slots 0,3,4,8,9	Bits	N/A							
For Slots 1,2,5,6,7	Bits	5400	11232	17064	22896	28728	34560	46656	58320
Max. Throughput averaged over 1 frame	Mbps	0.836	1.684	2.560	3.456	4.356	5.252	7.044	8.712

Note 1: Additional parameters are specified in Table A.3.1-1 and Table A.3.3.1-1.

Note 2: If more than one Code Block is present, an additional CRC sequence of  $L = 24$  Bits is attached to each Code Block (otherwise  $L = 0$  Bit)

Note 3: SS/PBCH block is transmitted in slot 0 of each frame.

Note 4: Slot  $i$  is slot index per frame.

Table A.3.3.2-2: Fixed reference channel for receiver requirements (SCS 30 kHz, TDD, QPSK 1/3)

Parameter	Unit	Value										
		5	10	15	20	25	30	40	50	60	80	100
Channel bandwidth	MHz	5	10	15	20	25	30	40	50	60	80	100
Subcarrier spacing configuration $\mu$		1	1	1	1	1	1	1	1	1	1	1
Allocated resource blocks		11	24	38	51	65	78	106	133	162	217	273
Subcarriers per resource block		12	12	12	12	12	12	12	12	12	12	12
Allocated slots per Frame		13	13	13	13	13	13	13	13	13	13	13
MCS Index		4	4	4	4	4	4	4	4	4	4	4
Modulation		QPSK	QPSK	QPSK	QPSK							
Target Coding Rate		1/3	1/3	1/3	1/3	1/3	1/3	1/3	1/3	1/3	1/3	1/3
Maximum number of HARQ transmissions		1	1	1	1	1	1	1	1	1	1	1
Information Bit Payload per Slot												
For Slots 0 and Slot i, if $\text{mod}(i, 10) = \{7,8,9\}$ for i from $\{0, \dots, 19\}$	Bits	N/A	N/A	N/A	N/A							
For Slot i, if $\text{mod}(i, 10) = \{0,1,2,3,4,5,6\}$ for i from $\{1, \dots, 19\}$	Bits	736	1608	2472	3368	4224	4992	6912	8712	10504	14088	17928
Transport block CRC	Bits	16	16	16	16	24	24	24	24	24	24	24
LDPC base graph		2	2	2	2	1	1	1	1	1	1	1
Number of Code Blocks per Slot												
For Slots 0 and Slot i, if $\text{mod}(i, 10) = \{7,8,9\}$ for i from $\{0, \dots, 19\}$	CBs	N/A	N/A	N/A	N/A							
For Slot i, if $\text{mod}(i, 10) = \{0,1,2,3,4,5,6\}$ for i from $\{1, \dots, 19\}$	CBs	1	1	1	1	1	1	1	2	2	2	3
Binary Channel Bits per Slot												
For Slots 0 and Slot i, if $\text{mod}(i, 10) = \{7,8,9\}$ for i from $\{0, \dots, 19\}$	Bits	N/A	N/A	N/A	N/A							
For Slot i, if $\text{mod}(i, 10) = \{0,1,2,3,4,5,6\}$ for i from $\{1, \dots, 19\}$	Bits	2376	5184	8208	11016	14040	16848	22896	28728	34992	46872	58968
Max. Throughput averaged over 1 frame	Mbps	0.957	2.090	3.214	4.378	5.491	6.490	8.986	11.326	13.655	18.314	23.306
Note 1:	Additional parameters are specified in Table A.3.1-1 and Table A.3.3.1-1.											
Note 2:	If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)											
Note 3:	SS/PBCH block is transmitted in slot #0 of each frame.											
Note 4:	Slot i is slot index per frame.											

Table A.3.3.2-3: Fixed reference channel for receiver requirements (SCS 60 kHz, TDD, QPSK 1/3)

Parameter	Unit	Value									
		10	15	20	25	30	40	50	60	80	100
Channel bandwidth	MHz	10	15	20	25	30	40	50	60	80	100
Subcarrier spacing configuration $\mu$		2	2	2	2	2	2	2	2	2	2
Allocated resource blocks		11	18	24	31	38	51	65	79	107	135
Subcarriers per resource block		12	12	12	12	12	12	12	12	12	12
Allocated slots per Frame		22	22	22	22	22	22	22	22	22	22
MCS Index		4	4	4	4	4	4	4	4	4	4
Modulation		QPSK	QPSK	QPSK							
Target Coding Rate		1/3	1/3	1/3	1/3	1/3	1/3	1/3	1/3	1/3	1/3
Maximum number of HARQ transmissions		1	1	1	1	1	1	1	1	1	1
Information Bit Payload per Slot											
For Slots 0,1 and Slot i, if $\text{mod}(i, 5) = \{3,4\}$ for i from $\{0, \dots, 39\}$	Bits	N/A	N/A	N/A							
For Slot i, if $\text{mod}(i, 5) = \{0,1,2\}$ for i from $\{2, \dots, 39\}$	Bits	736	1192	1608	2024	2472	3368	4224	5120	6912	8712
Transport block CRC	Bits	16	16	16	16	16	16	24	24	24	24
LDPC base graph		2	2	2	2	2	2	1	1	1	1
Number of Code Blocks per Slot											
For Slots 0,1 and Slot i, if $\text{mod}(i, 5) = \{3,4\}$ for i from $\{0, \dots, 39\}$	CBs	N/A	N/A	N/A							
For Slot i, if $\text{mod}(i, 5) = \{0,1,2\}$ for i from $\{2, \dots, 39\}$	CBs	1	1	1	1	1	1	1	1	1	2
Binary Channel Bits per Slot											
For Slots 0,1 and Slot i, if $\text{mod}(i, 5) = \{3,4\}$ for i from $\{0, \dots, 39\}$	Bits	N/A	N/A	N/A							
For Slot i, if $\text{mod}(i, 5) = \{0,1,2\}$ for i from $\{2, \dots, 39\}$	Bits	2376	3888	5184	6696	8208	11016	14040	17064	23112	29160
Max. Throughput averaged over 1 frame	Mbps	1.619	2.622	3.538	4.453	5.438	7.410	9.293	11.264	15.206	19.166
Note 1:	Additional parameters are specified in Table A.3.1-1 and Table A.3.3.1-1.										
Note 2:	If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)										
Note 3:	SS/PBCH block is transmitted in slot #0 of each frame.										
Note 4:	Slot i is slot index per frame.										

### A.3.3.3 FRC for maximum input level for 64QAM

**Table A.3.3.3-1: Fixed reference channel for maximum input level receiver requirements (SCS 15 kHz, TDD, 64QAM)**

Parameter	Unit	Value							
		5	10	15	20	25	30	40	50
Channel bandwidth	MHz	5	10	15	20	25	30	40	50
Subcarrier spacing	kHz	15	15	15	15	15	15	15	15
Subcarrier spacing configuration $\mu$		0	0	0	0	0	0	0	0
Allocated resource blocks		25	52	79	106	133	[160]	216	270
Subcarriers per resource block		12	12	12	12	12	12	12	12
Allocated slots per Frame		5	5	5	5	5	5	5	5
MCS Index		24	24	24	24	24	24	24	24
Modulation		64 QAM	64 QAM	64 QAM	64 QAM	64 QAM	64 QAM	64 QAM	64 QAM
Target Coding Rate		3/4	3/4	3/4	3/4	3/4	3/4	3/4	3/4
Maximum number of HARQ transmissions		1	1	1	1	1	1	1	1
Information Bit Payload per Slot									
For Slots 0,3,4,8,9	Bits	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
For Slots 1,2,5,6,7	Bits	12296	25608	38936	52224	64552	77896	10657 6	13117 6
Transport block CRC	Bits	24	24	24	24	24	24	24	24
LDPC base graph		1	1	1	1	1	1	1	1
Number of Code Blocks per Slot									
For Slots 0,3,4,8,9	CBs	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
For Slots 1,2,5,6,7	CBs	2	4	5	7	8	10	13	16
Binary Channel Bits per Slot									
For Slots 0,3,4,8,9	Bits	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
For Slots 1,2,5,6,7	Bits	16200	33696	51192	68688	86184	10368 0	13996 8	17496 0
Max. Throughput averaged over 1 frame	Mbps	6.148	12.80 4	19.46 8	26.11 2	32.27 6	38.94 8	53.28 8	65.58 8
Note 1:	Additional parameters are specified in Table A.3.1-1 and Table A.3.3.1-1.								
Note 2:	If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)								
Note 3:	SS/PBCH block is transmitted in slot 0 of each frame.								
Note 4:	Slot i is slot index per frame.								

Table A.3.3.3-2: Fixed reference channel for maximum input level receiver requirements (SCS 30 kHz, TDD, 64QAM)

Parameter	Unit	Value										
		5	10	15	20	25	30	40	50	60	80	100
Channel bandwidth	MHz	5	10	15	20	25	30	40	50	60	80	100
Subcarrier spacing configuration $\mu$		1	1	1	1	1	1	1	1	1	1	1
Allocated resource blocks		11	24	38	51	65	78	106	133	162	217	273
Subcarriers per resource block		12	12	12	12	12	12	12	12	12	12	12
Allocated slots per Frame		13	13	13	13	13	13	13	13	13	13	13
MCS Index		24	24	24	24	24	24	24	24	24	24	24
Modulation		64 QAM	64 QAM	64 QAM								
Target Coding Rate		3/4	3/4	3/4	3/4	3/4	3/4	3/4	3/4	3/4	3/4	3/4
Maximum number of HARQ transmissions		1	1	1	1	1	1	1	1	1	1	1
Information Bit Payload per Slot												
For Slots 0 and Slot i, if $\text{mod}(i, 10) = \{7,8,9\}$ for i from $\{0, \dots, 19\}$	Bits	N/A	N/A	N/A								
For Slot i, if $\text{mod}(i, 10) = \{0,1,2,3,4,5,6\}$ for i from $\{1, \dots, 19\}$	Bits	5376	11784	18432	25104	31752	37896	52224	64552	79896	106576	135296
Transport block CRC	Bits	24	24	24	24	24	24	24	24	24	24	24
LDPC base graph		1	1	1	1	1	1	1	1	1	1	1
Number of Code Blocks per Slot												
For Slots 0 and Slot i, if $\text{mod}(i, 10) = \{7,8,9\}$ for i from $\{0, \dots, 19\}$	CBs	N/A	N/A	N/A								
For Slot i, if $\text{mod}(i, 10) = \{0,1,2,3,4,5,6\}$ for i from $\{1, \dots, 19\}$	CBs	1	2	3	3	4	5	7	8	10	13	17
Binary Channel Bits per Slot												
For Slots 0 and Slot i, if $\text{mod}(i, 10) = \{7,8,9\}$ for i from $\{0, \dots, 19\}$	Bits	N/A	N/A	N/A								
For Slot i, if $\text{mod}(i, 10) = \{0,1,2,3,4,5,6\}$ for i from $\{1, \dots, 19\}$	Bits	7128	15552	24624	33048	42120	50544	68688	86184	104976	140616	176904
Max. Throughput averaged over 1 frame	Mbps	6.989	15.319	23.962	32.635	41.278	49.265	67.891	83.918	103.86 5	138.54 9	175.88 5
Note 1:	Additional parameters are specified in Table A.3.1-1 and Table A.3.3.1-1.											
Note 2:	If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)											
Note 3:	SS/PBCH block is transmitted in slot #0 of each frame.											
Note 4:	Slot i is slot index per frame.											

Table A.3.3.3-3: Fixed reference channel for maximum input level receiver requirements (SCS 60 kHz, TDD, 64QAM)

Parameter	Unit	Value									
		10	15	20	25	30	40	50	60	80	100
Channel bandwidth	MHz	10	15	20	25	30	40	50	60	80	100
Subcarrier spacing configuration $\mu$		2	2	2	2	2	2	2	2	2	2
Allocated resource blocks		11	18	24	31	38	51	65	79	107	135
Subcarriers per resource block		12	12	12	12	12	12	12	12	12	12
Allocated slots per Frame		22	22	22	22	22	22	22	22	22	22
MCS Index		24	24	24	24	24	24	24	24	24	24
Modulation		64 QAM	64 QAM								
Target Coding Rate		3/4	3/4	3/4	3/4	3/4	3/4	3/4	3/4	3/4	3/4
Maximum number of HARQ transmissions		1	1	1	1	1	1	1	1	1	1
Information Bit Payload per Slot											
For Slots 0,1 and Slot i, if $\text{mod}(i, 5) = \{3,4\}$ for i from $\{0, \dots, 39\}$	Bits	N/A	N/A								
For Slot i, if $\text{mod}(i, 5) = \{0,1,2\}$ for i from $\{2, \dots, 39\}$	Bits	5376	8712	11784	15112	18432	25104	31752	38936	52224	65576
Transport block CRC	Bits	24	24	24	24	24	24	24	24	24	24
LDPC base graph		1	1	1	1	1	1	1	1	1	1
Number of Code Blocks per Slot											
For Slots 0,1 and Slot i, if $\text{mod}(i, 5) = \{3,4\}$ for i from $\{0, \dots, 39\}$	CBs	N/A	N/A								
For Slot i, if $\text{mod}(i, 5) = \{0,1,2\}$ for i from $\{2, \dots, 39\}$	CBs	1	2	2	2	3	3	4	5	7	8
Binary Channel Bits per Slot											
For Slots 0,1 and Slot i, if $\text{mod}(i, 5) = \{3,4\}$ for i from $\{0, \dots, 39\}$	Bits	N/A	N/A								
For Slot i, if $\text{mod}(i, 5) = \{0,1,2\}$ for i from $\{2, \dots, 39\}$	Bits	7128	11664	15552	20088	24624	33048	42120	51192	69336	87480
Max. Throughput averaged over 1 frame	Mbps	11.827	19.166	25.925	33.246	40.550	55.229	69.854	85.659	114.89 3	144.26 7
Note 1:	Additional parameters are specified in Table A.3.1-1 and Table A.3.3.1-1.										
Note 2:	If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)										
Note 3:	SS/PBCH block is transmitted in slot #0 of each frame.										
Note 4:	Slot i is slot index per frame.										

## A.3.3.4 FRC for maximum input level for 256 QAM

Table A.3.3.4-1: Fixed reference channel for maximum input level receiver requirements (SCS 15 kHz, TDD, 256QAM)

Parameter	Unit	Value							
		5	10	15	20	25	30	40	50
Channel bandwidth	MHz	5	10	15	20	25	30	40	50
Subcarrier spacing	kHz	15	15	15	15	15	15	15	15
Subcarrier spacing configuration $\mu$		0	0	0	0	0	0	0	0
Allocated resource blocks		25	52	79	106	133	[160]	216	270
Subcarriers per resource block		12	12	12	12	12	12	12	12
Allocated slots per Frame		5	5	5	5	5	5	5	5
MCS Index		23	23	23	23	23	23	23	23
Modulation		256 QAM							
Target Coding Rate		4/5	4/5	4/5	4/5	4/5	4/5	4/5	4/5
Maximum number of HARQ transmissions		1	1	1	1	1	1	1	1
Information Bit Payload per Slot									
For Slots 0,3,4,8,9	Bits	N/A							
For Slots 1,2,5,6,7	Bits	16896	34816	53288	71688	90176	10855 2	14340 0	18037 6
Transport block CRC	Bits	24	24	24	24	24	24	24	24
LDPC base graph		1	1	1	1	1	1	1	1
Number of Code Blocks per Slot									
For Slots 0,3,4,8,9	CBs	N/A							
For Slots 1,2,5,6,7	CBs	3	5	7	9	12	14	18	23
Binary Channel Bits per Slot									
For Slots 0,3,4,8,9	Bits	N/A							
For Slots 1,2,5,6,7	Bits	21600	44928	68256	91584	11491 2	13824 0	18662 4	23328 0
Max. Throughput averaged over 1 frame	Mbps	8.448	17.40 8	26.64 4	35.84 4	45.08 8	54.27 6	71.70 0	90.18 8
Note 1:	Additional parameters are specified in Table A.3.1-1 and Table A.3.3.1-1.								
Note 2:	If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)								
Note 3:	SS/PBCH block is transmitted in slot 0 of each frame.								
Note 4:	Slot i is slot index per frame.								

Table A.3.3.4-2: Fixed Reference channel for maximum input level receiver requirements (SCS 30 kHz, TDD, 256QAM)

Parameter	Unit	Value										
		5	10	15	20	25	30	40	50	60	80	100
Channel bandwidth	MHz	5	10	15	20	25	30	40	50	60	80	100
Subcarrier spacing configuration $\mu$		1	1	1	1	1	1	1	1	1	1	1
Allocated resource blocks		11	24	38	51	65	78	106	133	162	217	273
Subcarriers per resource block		12	12	12	12	12	12	12	12	12	12	12
Allocated slots per Frame		13	13	13	13	13	13	13	13	13	13	13
MCS Index		23	23	23	23	23	23	23	23	23	23	23
Modulation		256 QAM	256 QAM	256 QAM	256 QAM							
Target Coding Rate		4/5	4/5	4/5	4/5	4/5	4/5	4/5	4/5	4/5	4/5	4/5
Maximum number of HARQ transmissions		1	1	1	1	1	1	1	1	1	1	1
Information Bit Payload per Slot												
For Slots 0 and Slot i, if $\text{mod}(i, 10) = \{7,8,9\}$ for i from $\{0, \dots, 19\}$	Bits	N/A	N/A	N/A	N/A							
For Slot i, if $\text{mod}(i, 10) = \{0,1,2,3,4,5,6\}$ for i from $\{1, \dots, 19\}$	Bits	7424	16136	25608	33816	44040	52224	71688	90176	108552	147576	184424
Transport block CRC	Bits	24	24	24	24	24	24	24	24	24	24	24
LDPC base graph		1	1	1	1	1	1	1	1	1	1	1
Number of Code Blocks per Slot												
For Slots 0 and Slot i, if $\text{mod}(i, 10) = \{7,8,9\}$ for i from $\{0, \dots, 19\}$	CBs	N/A	N/A	N/A	N/A							
For Slot i, if $\text{mod}(i, 10) = \{0,1,2,3,4,5,6\}$ for i from $\{1, \dots, 19\}$	CBs	1	1	1	1	1	1	1	2	2	2	3
Binary Channel Bits per Slot												
For Slots 0 and Slot i, if $\text{mod}(i, 10) = \{7,8,9\}$ for i from $\{0, \dots, 19\}$	Bits	N/A	N/A	N/A	N/A							
For Slot i, if $\text{mod}(i, 10) = \{0,1,2,3,4,5,6\}$ for i from $\{1, \dots, 19\}$	Bits	9504	20736	32832	44064	56160	67392	91584	114912	139968	187488	235872
Max. Throughput averaged over 1 frame	Mbps	9.651	20.977	33.290	43.961	57.252	67.891	93.194	117.22 9	141.11 8	191.84 9	239.75 1
Note 1:	Additional parameters are specified in Table A.3.1-1 and Table A.3.3.1-1.											
Note 2:	If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)											
Note 3:	SS/PBCH block is transmitted in slot #0 of each frame.											
Note 4:	Slot i is slot index per frame.											

Table A.3.3.4-3: Fixed reference channel for maximum input level receiver requirements (SCS 60 kHz, TDD, 256QAM)

Parameter	Unit	Value									
		10	15	20	25	30	40	50	60	80	100
Channel bandwidth	MHz	10	15	20	25	30	40	50	60	80	100
Subcarrier spacing configuration $\mu$		2	2	2	2	2	2	2	2	2	2
Allocated resource blocks		11	18	24	31	38	51	65	79	107	135
Subcarriers per resource block		12	12	12	12	12	12	12	12	12	12
Allocated slots per Frame		22	22	22	22	22	22	22	22	22	22
MCS Index		23	23	23	23	23	23	23	23	23	23
Modulation		256 QAM	256 QAM	256 QAM							
Target Coding Rate		4/5	4/5	4/5	4/5	4/5	4/5	4/5	4/5	4/5	4/5
Maximum number of HARQ transmissions		1	1	1	1	1	1	1	1	1	1
Information Bit Payload per Slot											
For Slots 0,1 and Slot i, if $\text{mod}(i, 5) = \{3,4\}$ for i from $\{0, \dots, 39\}$	Bits	N/A	N/A	N/A							
For Slot i, if $\text{mod}(i, 5) = \{0,1,2\}$ for i from $\{2, \dots, 39\}$	Bits	7424	12040	16136	21000	25608	33816	44040	53288	71688	90176
Transport block CRC	Bits	24	24	24	24	24	24	24	24	24	24
LDPC base graph		1	1	1	1	1	1	1	1	1	1
Number of Code Blocks per Slot											
For Slots 0,1 and Slot i, if $\text{mod}(i, 5) = \{3,4\}$ for i from $\{0, \dots, 39\}$	CBs	N/A	N/A	N/A							
For Slot i, if $\text{mod}(i, 5) = \{0,1,2\}$ for i from $\{2, \dots, 39\}$	CBs	1	2	3	3	4	5	6	7	9	12
Binary Channel Bits per Slot											
For Slots 0,1 and Slot i, if $\text{mod}(i, 5) = \{3,4\}$ for i from $\{0, \dots, 39\}$	Bits	N/A	N/A	N/A							
For Slot i, if $\text{mod}(i, 5) = \{0,1,2\}$ for i from $\{2, \dots, 39\}$	Bits	9504	15552	20736	26784	32832	44064	56160	68256	92448	116640
Max. Throughput averaged over 1 frame	Mbps	16.333	26.488	35.499	46.200	56.338	74.395	96.888	117.23 4	157.71 4	198.38 7
Note 1:	Additional parameters are specified in Table A.3.1-1 and Table A.3.3.1-1.										
Note 2:	If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)										
Note 3:	SS/PBCH block is transmitted in slot #0 of each frame.										
Note 4:	Slot i is slot index per frame.										

## A.4 CSI reference measurement channels

TBD

## A.5 OFDMA Channel Noise Generator (OCNG)

### A.5.1 OCNG Patterns for FDD

#### A.5.1.1 OCNG FDD pattern 1: Generic OCNG FDD Pattern for all unused REs

**Table A.5.1.1-1: OP.1 FDD: Generic OCNG FDD Pattern for all unused REs**

<b>OCNG Distribution</b>	<b>Control Region (Core Set)</b>	<b>Data Region</b>
<b>OCNG Parameters</b>		
Resources allocated	All unused REs (Note 1)	All unused REs (Note 2)
Structure	PDCCH	PDSCH
Content	Uncorrelated pseudo random QPSK modulated data	Uncorrelated pseudo random QPSK modulated data
Transmission scheme for multiple antennas ports transmission	Single Tx port transmission	Spatial multiplexing using any precoding matrix with dimensions same as the precoding matrix for PDSCH
Subcarrier Spacing	Same as for RMC PDCCH in the active BWP	Same as for RMC PDSCH in the active BWP
Power Level	Same as for RMC PDCCH	Same as for RMC PDSCH
Note 1:	All unused REs in the active CORESETS appointed by the search spaces in use.	
Note 2:	Unused available REs refer to REs in PRBs not allocated for any physical channels, CORESETS, synchronization signals or reference signals in channel bandwidth.	

### A.5.2 OCNG Patterns for TDD

#### A.5.2.1 OCNG TDD pattern 1: Generic OCNG TDD Pattern for all unused REs

**Table A.5.2.1-1: OP.1 TDD: Generic OCNG TDD Pattern for all unused REs**

<b>OCNG Distribution</b>	<b>Control Region (Core Set)</b>	<b>Data Region</b>
<b>OCNG Parameters</b>		
Resources allocated	All unused REs (Note 1)	All unused REs (Note 2)
Structure	PDCCH	PDSCH
Content	Uncorrelated pseudo random QPSK modulated data	Uncorrelated pseudo random QPSK modulated data
Transmission scheme for multiple antennas ports transmission	Single Tx port transmission	Spatial multiplexing using any precoding matrix with dimensions same as the precoding matrix for PDSCH
Subcarrier Spacing	Same as for RMC PDCCH in the active BWP	Same as for RMC PDSCH in the active BWP
Power Level	Same as for RMC PDCCH	Same as for RMC PDSCH
Note 1:	All unused REs in the active CORESETS appointed by the search spaces in use.	
Note 2:	Unused available REs refer to REs in PRBs not allocated for any physical channels, CORESETS, synchronization signals or reference signals in channel bandwidth.	

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## Annex B (normative): Propagation Conditions

The propagation conditions and channel models for various environments are specified. For each environment a propagation model is used to evaluate the propagation pathloss due to the distance. Channel models are formed by combining delay profiles with a Doppler spectrum, with the addition of correlation properties in the case of a multi-antenna scenario.

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### B.0 No interference

The downlink connection between the System Simulator and the UE is without Additive White Gaussian Noise, and has no fading or multipath effects.

# Annex C (normative): Downlink physical channels

## C.0 Downlink signal levels

The downlink power settings in Table C.0-1 is used unless otherwise specified in a test case.

If the UE has more than one Rx antenna, the downlink signal is applied to each one. All UE Rx antennas shall be connected.

If the UE has one Rx antenna, the downlink signal is applied to it.

**Table C.0-1: Default Downlink power levels for NR**

SCS (kHz)		Unit	Channel bandwidth											
			5 MHz	10 MHz	15 MHz	20 MHz	25 MHz	30 MHz	40 MHz	50 MHz	60 MHz	80 MHz	90 MHz	100 MHz
15	Number of RBs		25	50	75	100	128	160	215	270	N/A	N/A	N/A	N/A
	Channel BW power	dBm	-60	-57	-55	-54	-53	-52	-51	-50	N/A	N/A	N/A	N/A
30	Number of RBs		10	24	36	50	64	75	100	128	162	216	243	270
	Channel BW power	dBm	-61	-57	-55	-54	-53	-52	-51	-50	-49	-48	-47	-47
60	Number of RBs		N/A	10	18	24	30	36	50	64	75	100	120	135
	Channel BW power	dBm	N/A	-58	-56	-54	-53	-52	-51	-50	-49	-48	-47	-47
	RS EPRE	dBm/ 15kHz z	-85	-85	-85	-85	-85	-85	-85	-85	-85	-85	-85	-85
Note 1:		The channel bandwidth powers are informative, based on -85dBm/15kHz SS/PBCH SSS EPRE, then scaled according to the number of RBs and rounded to the nearest integer dBm value. Full RE allocation with no boost or deboost is assumed.												
Note 2:		The power level is specified at each UE Rx antenna.												
Note 3:		DL level is applied for any of the Subcarrier Spacing configuration ( ) with the same power spectrum density of -85dBm/15kHz.												

The default signal level uncertainty is +/-3dB at each test port, for any level specified. If the uncertainty value is critical for the test purpose, a tighter uncertainty is specified for the related test case in Annex F

## C.1 General

The following clauses, describes the downlink Physical Channels that are transmitted during a connection i.e., when measurements are done.

## C.2 Setup

Table C.2-1 describes the downlink Physical Channels that are required for connection set up.

Table C.2-1: Downlink Physical Channels required for connection set-up
PBCH
PSS
SSS
PDCCH
PDSCH
DMRS
CSI-RS

## C.3 Connection

### C.3.1 Measurement of Receiver Characteristics

Unless otherwise stated, Table C.3.1-1 is applicable for measurements on the Receiver Characteristics (clause 7).

**Table C.3.1-1: Downlink Physical Channels transmitted during a connection (FDD and TDD)**

Parameter	Unit	Value
SSS transmit power	W	Test specific
EPRE ratio of PSS to SSS	dB	0
EPRE ratio of PBCH DMRS to SSS	dB	0
EPRE ratio of PBCH to PBCH DMRS	dB	0
EPRE ratio of PDCCH DMRS to SSS	dB	0
EPRE ratio of PDCCH to PDCCH DMRS	dB	0
EPRE ratio of PDSCH DMRS to SSS (Note 1)	dB	3
EPRE ratio of PDSCH to PDSCH DMRS (Note 1)	dB	-3
EPRE ratio of CSI-RS to SSS	dB	0
EPRE ratio of PTRS to PDSCH	dB	Test specific
EPRE ratio of OCNG DMRS to SSS	dB	0
EPRE ratio of OCNG to OCNG DMRS (Note 1)	dB	0
Note 1:	No boosting is applied to any of the channels except PDSCH DMRS. For PDSCH DMRS, 3 dB power boosting is applied assuming DMRS Type 1 configuration when DMRS and PDSCH are TDM'ed and only half of the DMRS REs are occupied.	
Note 2:	Number of DMRS CDM groups without data for PDSCH DMRS configuration for OCNG is set to 1.	

## Annex E (normative): Global In-Channel TX-Test

Editor's note: This clause is incomplete. The following aspects are either missing or not yet determined:

- 38.101-1 Annex on Transmit modulation contains TBDs.
- Sampling rate is TBD.

Note: Clauses E.2.2 to E.5.9.3 are descriptions, which assume no power ramping adjacent to the measurement period.

### E.1 General

The global in-channel TX test enables the measurement of all relevant parameters that describe the in-channel quality of the output signal of the TX under test in a single measurement process.

The parameters describing the in-channel quality of a transmitter, however, are not necessarily independent. The algorithm chosen for description inside this annex places particular emphasis on the exclusion of all interdependencies among the parameters.

### E.2 Signals and results

#### E.2.1 Basic principle

The process is based on the comparison of the actual **output signal of the TX under test**, received by an ideal receiver, with a **reference signal**, that is generated by the measuring equipment and represents an ideal error free received signal. All signals are represented as equivalent (generally complex) baseband signals.

The description below uses numbers as examples. These numbers are taken from FDD with normal CP length and 20 MHz bandwidth. The application of the text below, however, is not restricted to this frame structure and bandwidth.

#### E.2.2 Output signal of the TX under test

The output signal of the TX under test is acquired by the measuring equipment and stored for further processing. It is sampled at a sampling rate of TBD. In the time domain it comprises at least 10 uplink subframes. The measurement period is derived by concatenating the correct number of individual uplink slots until the correct measurement period is reached. The output signal is named  $z(v)$ . Each slot is modelled as a signal with the following parameters: demodulated data content, carrier frequency, amplitude and phase for each subcarrier, timing, carrier leakage.

NOTE 1: TDD

Since the uplink subframes are not continuous,  $n$  slots should be extracted from more than 1 continuous radio frame where

$$n = \begin{cases} 10, & \text{for 15 kHz SCS} \\ 20, & \text{for 30 kHz SCS} \\ 30, & \text{for 60 kHz SCS} \end{cases}$$

#### E.2.3 Reference signal

Two types of reference signal are defined:

The reference signal  $i_1(v)$  is constructed by the measuring equipment according to the relevant TX specifications, using the following parameters: demodulated data content, nominal carrier frequency, nominal amplitude and phase for each

subcarrier, nominal timing, no carrier leakage. It is represented as a sequence of samples at a sampling rate of TBD in the time domain.

The reference signal  $i_2(v)$  is constructed by the measuring equipment according to the relevant TX specifications, using the following parameters: restricted data content: nominal reference symbols, (all modulation symbols for user data symbols are set to 0V), nominal carrier frequency, nominal amplitude and phase for each applicable subcarrier, nominal timing, no carrier leakage. It is represented as a sequence of samples at a sampling rate of TBD Msps in the time domain.

NOTE: The PUCCH is off during the time under test.

## E.2.4 Measurement results

The measurement results, achieved by the global in channel TX test are the following:

- Carrier Frequency error
- EVM (Error Vector Magnitude)
- Carrier leakage
- Unwanted emissions, falling into non allocated resource blocks.
- EVM equalizer spectrum flatness

## E.2.5 Measurement points

The unwanted emission falling into non-allocated RB(s) is calculated directly after the FFT as described below. In contrast to this, the EVM for the allocated RB(s) is calculated after the IDFT for DFT-s-OFDM or after the Tx-Rx chain equalizer for CP-OFDM. The samples after the TX-RX chain equalizer are used to calculate EVM equalizer spectrum flatness. Carrier frequency error and carrier leakage is calculated in the block "RF correction".

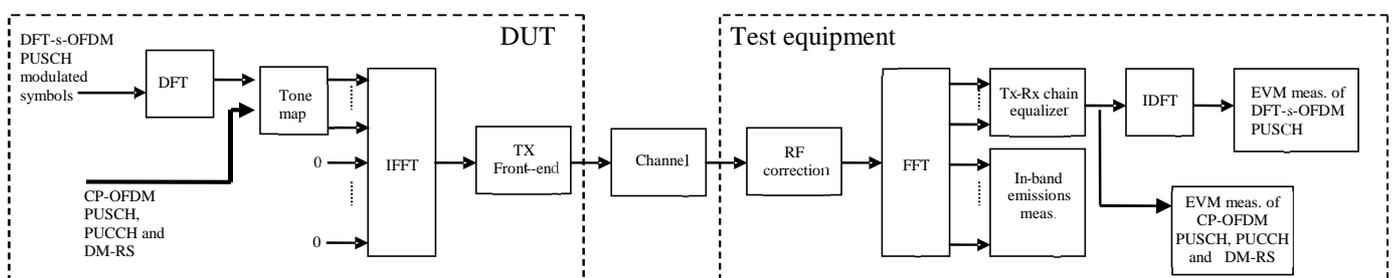


Figure E.2.5-1: EVM measurement points

## E.3 Signal processing

### E.3.1 Pre FFT minimization process

Before applying the pre-FFT minimization process,  $z(v)$  and  $i(v)$  are portioned into  $n$  pieces, comprising one slot each, where

$$n = \begin{cases} 10, & \text{for 15 kHz SCS} \\ 20, & \text{for 30 kHz SCS} \\ 30, & \text{for 60 kHz SCS} \end{cases}$$

Each slot is processed separately. Sample timing, Carrier frequency and carrier leakage in  $z(v)$  are jointly varied in order to minimise the difference between  $z(v)$  and  $i(v)$ . Best fit (minimum difference) is achieved when the RMS difference value between  $z(v)$  and  $i(v)$  is an absolute minimum.

The carrier frequency variation and the IQ variation are the measurement results: Carrier Frequency Error and Carrier leakage.

From the acquired samples  $n$  carrier frequencies and  $n$  carrier leakages can be derived.

NOTE 1: The minimisation process, to derive carrier leakage and RF error can be supported by Post FFT operations. However the minimisation process defined in the pre FFT domain comprises all acquired samples (i.e. it does not exclude the samples in between the FFT widths and it does not exclude the bandwidth outside the transmission bandwidth configuration)

NOTE 2: The algorithm would allow deriving Carrier Frequency error and Sample Frequency error of the TX under test separately. However there are no requirements for Sample Frequency error. Hence the algorithm models the RF and the sample frequency commonly (not independently). It returns one error and does not distinguish between both.

After this process the samples  $z(v)$  are called  $z^0(v)$ .

### E.3.2 Timing of the FFT window

The FFT window length is TBD samples per OFDM symbol. TBD FFTs (TBD samples) cover less than the acquired number of samples (TBD samples). The position in time for FFT must be determined.

In an ideal signal, the FFT may start at any instant within the cyclic prefix without causing an error. The TX filter, however, reduces the window. The EVM requirements shall be met within a window  $W < CP$ . There are three different instants for FFT:

Centre of the reduced window, called  $\Delta\tilde{c}$ ,  $\Delta\tilde{c} - W/2$  and  $\Delta\tilde{c} + W/2$ .

The timing of the measured signal is determined in the pre FFT domain as follows, using  $z^0(v)$  and  $i_2(v)$  :

1. The measured signal is delay spread by the TX filter. Hence the distinct borders between the OFDM symbols and between Data and CP are also spread and the timing is not obvious.
2. In the Reference Signal  $i_2(v)$  the timing is known.
3. Correlation between (1.) and (2.) will result in a correlation peak. The meaning of the correlation peak is approx. the “impulse response” of the TX filter. The meaning of “impulse response” assumes that the autocorrelation of the reference signal  $i_2(v)$  is a Dirac peak and that the correlation between the reference signal  $i_2(v)$  and the data in the measured signal is 0. The correlation peak, (the highest, or in case of more than one, the earliest) indicates the timing in the measured signal.

From the acquired samples  $n$  timings can be derived.

For all calculations, except EVM, the number of samples in  $z^0(v)$  is reduced to TBD blocks of samples, comprising TBD samples (FFT width) and starting with  $\Delta\tilde{c}$  in each OFDM symbol including the demodulation reference signal.

For the EVM calculation the output signal under test is reduced to TBD blocks of samples, comprising TBD samples (FFT width) and starting with  $\Delta\tilde{c} - W/2$  and  $\Delta\tilde{c} + W/2$  in each OFDM symbol including the demodulation reference signal.

The number of samples, used for FFT is reduced compared to  $z^0(v)$ . This subset of samples is called  $z'(v)$ .

The timing of the centre  $\Delta\tilde{c}$  with respect to the different CP length in a slot is as follows: (FDD, normal CP length)

$\Delta\tilde{c}$  is on  $T_f = \text{TBD}$  within the CP of length 144, 72, 36 (in OFDM symbol except 0 and  $7 \cdot 2^\mu$ ) for SCS = 15 kHz, 30 kHz, 60 kHz, respectively, where

$$\mu = \begin{cases} 0, & \text{for 15 kHz SCS} \\ 1, & \text{for 30 kHz SCS} \\ 2, & \text{for 60 kHz SCS.} \end{cases}$$

$\Delta\tilde{c}$  is on  $T_f = \text{TBD}$  within the CP of length 160, 88, 52 (in OFDM symbol 0 and  $7 \cdot 2^\mu$ ) for SCS = 15 kHz, 30 kHz, 60 kHz, respectively.

### E.3.3 Post FFT equalisation

Perform 14 FFTs on  $z'(v)$ , one for each OFDM symbol in a slot using the timing  $\Delta\tilde{c}$ , including the demodulation reference symbol. The result is an array of samples, 14 in the time axis  $t$  times TBD in the frequency axis  $f$ . The samples represent the DFT coded data symbols (in OFDM-symbol 0,1,3,4,5,6,8,9,10,12,13 in each slot) and demodulation reference symbols (OFDM symbol 2, 7, 11 in each slot) in the allocated RBs and inband emissions in the non allocated RBs within the transmission BW.

Only the allocated resource blocks in the frequency domain are used for equalisation.

The nominal demodulation reference symbols and nominal DFT coded data symbols are used to equalize the measured data symbols. (Location for equalization see Figure E.2.5-1)

NOTE: The nomenclature inside this note is local and not valid outside.

The nominal DFT coded data symbols are created by a demodulation process. The location to gain the demodulated data symbols is "EVM" in Figure E.2.5-1. For CP-OFDM, the process described in Annex E.5 can be applied. A demodulation process as follows is recommended for DFT-s-OFDM:

1. Equalize the measured DFT coded data symbols using the reference symbols for equalisation. Result: Equalized DFT coded data symbols
2. iDFT transform the equalized DFT coded data symbols: Result: Equalized data symbols
3. Decide for the nearest constellation point: Result: Nominal data symbols
4. DFT transform the nominal data symbols: Result: Nominal DFT coded data symbols

At this stage we have an array of Measured DFT coded data-Symbols and reference-Symbols ( $MS(f,t)$ )

versus an array of Nominal DFT coded data-Symbols and reference Symbols ( $NS(f,t)$ )

(complex, the arrays comprise 11 DFT coded data symbols and 3 demodulation reference symbol in the time axis and the number of allocated subcarriers in the frequency axis.)

$MS(f,t)$  and  $NS(f,t)$  are processed with a least square (LS) estimator, to derive one equalizer coefficient per time slot and per allocated subcarrier.  $EC(f)$  is defined as

$$EC(f) = \frac{\sum_{t=0}^{13} NS(f,t)^* NS(f,t)}{\sum_{t=0}^{13} NS(f,t)^* MS(f,t)}$$

With  $*$  denoting complex conjugation.

$EC(f)$  are used to equalize the DFT-coded data symbols. The measured DFT-coded data and the references symbols are equalized by:

$$Z'(f,t) = MS(f,t) \cdot EC(f)$$

With  $\cdot$  denoting multiplication.

$Z'(f,t)$ , restricted to the data symbol (excluding  $t=2,7,11$ ) is used to calculate EVM, as described in E.4.1.

$EC(f)$  is used in E.4.4 to calculate EVM equalizer spectral flatness.

NOTE: The post FFT minimisation process is done over 14 symbols (11 DFT-coded data symbols and 3 reference symbols).

The samples of the non allocated resource blocks within the transmission bandwidth configuration in the post FFT domain are called  $Y(f,t)$  ( $f$  covering the non allocated subcarriers within the transmission bandwidth configuration,  $t$  covering the OFDM symbols during 1 slot).

## E.4 Derivation of the results

### E.4.1 EVM

For EVM create two sets of  $Z'(f,t)$ , according to the timing " $\Delta\tilde{c} -W/2$  and  $\Delta\tilde{c} +W/2$ " using the equalizer coefficients from E.3.3.

Perform the iDFTs on  $Z'(f,t)$  in the case of DFT-s-OFDM waveform. The IDFT-decoding preserves the meaning of  $t$  but transforms the variable  $f$  (representing the allocated sub carriers) into another variable  $g$ , covering the same count and representing the demodulated symbols. The samples in the post IDFT domain are called  $iZ'(g, t)$ . The equivalent ideal samples are called  $iI(g,t)$ . Those samples of  $Z'(f,t)$ , carrying the reference symbols (=symbol 2,7,11) are not iDFT processed.

The EVM is the difference between the ideal waveform and the measured and equalized waveform for the allocated RB(s)

$$EVM = \sqrt{\frac{\sum_{t \in T} \sum_{g \in G} |iZ'(g, t) - iI(g, t)|^2}{|G| \cdot |T| \cdot P_0}},$$

where

$t$  covers the count of demodulated symbols with the considered modulation scheme being active within the measurement period, (i.e. symbol 0,1,3,4,5,6,8,9,10,12,13 in each slot,  $\rightarrow |T|=11$ )

$g$  covers the count of demodulated symbols with the considered modulation scheme being active within the allocated bandwidth. ( $|G|=12 * L_{CRBs}$  (with  $L_{CRBs}$  : number of allocated resource blocks)).

$iZ'(g, t)$  are the samples of the signal evaluated for the EVM.

$iI(g, t)$  is the ideal signal reconstructed by the measurement equipment, and

$P_0$  is the average power of the ideal signal. For normalized modulation symbols  $P_0$  is equal to 1.

From the acquired samples  $2n$  EVM values can be derived,  $n$  values for the timing  $\Delta\tilde{c} -W/2$  and  $n$  values for the timing  $\Delta\tilde{c} +W/2$

### E.4.2 Averaged EVM

EVM is averaged over all basic EVM measurements.

The averaging comprises  $n$  UL slots

$$\overline{EVM} = \sqrt{\frac{1}{n} \sum_{i=1}^n EVM_i^2}$$

where

$$n = \begin{cases} 10, & \text{for 15 kHz SCS} \\ 20, & \text{for 30 kHz SCS} \\ 30, & \text{for 60 kHz SCS} \end{cases}$$

for PUCCH, PUSCH.

The averaging is done separately for timing!  $\Delta\tilde{c} -W/2$  and  $\Delta\tilde{c} +W/2$  leading to  $\overline{EVM}_l$  and  $\overline{EVM}_h$

$EVM_{final} = \max(\overline{EVM}_l, \overline{EVM}_h)$  is compared against the test requirements.

### E.4.3 In-band emissions measurement

The in-band emissions are a measure of the interference falling into the non-allocated resources blocks.

Explanatory Note:

The inband emission measurement is only meaningful with allocated RB(s) next to non allocated RB. The allocated RB(s) are necessary but not under test. The non allocated RBs are under test. The RB allocation for this test is as follows: The allocated RB(s) are at one end of the channel BW, leaving the other end unallocated. The number of allocated RB(s) is smaller than half of the number of RBs, available in the channel BW. This means that the vicinity of the carrier in the centre is unallocated.

There are 3 types of inband emissions:

1. General
2. IQ image
3. Carrier leakage

*Carrier leakage* are inband emissions next to the carrier.

*IQ image* are inband emissions symmetrically (with respect to the carrier) on the other side of the allocated RBs.

*General* are applied to all unallocated RBs.

For each evaluated RB, the minimum requirement is calculated as the higher of  $P_{RB} - 30$  dB and the power sum of all limit values (General, IQ Image or Carrier leakage) that apply.

In specific the following combinations:

- Power (General)
- Power (General + Carrier leakage)
- Power (General + IQ Image)

1 and 2 is expressed in terms of power in one non allocated RB under test, normalized to the average power of an allocated RB (unit dB).

3 is expressed in terms of power in one non allocated RB, normalized to the power of all allocated RBs. (unit dBc).

This is the reason for two formulas *Emissions relative*.

Create one set of  $Y(t,f)$  per slot according to the timing “ $\Delta\tilde{c}$ ”

For the non-allocated RBs below the in-band emissions are calculated as follows

$$Emissions_{absolute}(\Delta_{RB}) = \begin{cases} \frac{1}{|T_s|} \sum_{t \in T_s} \sum_{c_l + (12 \cdot \Delta_{RB} + 1) \cdot \Delta f}^{\max(f_{min}, (c_l + 12 \cdot \Delta_{RB} \cdot \Delta f))} |Y(t, f)|^2, \Delta_{RB} < 0 \\ \frac{1}{|T_s|} \sum_{t \in T_s} \sum_{c_h + (12 \cdot \Delta_{RB} - 1) \cdot \Delta f}^{\min(f_{max}, (c_h + 12 \cdot \Delta_{RB} \cdot \Delta f))} |Y(t, f)|^2, \Delta_{RB} > 0 \end{cases}$$

where

the upper formula represents the in band emissions below the allocated frequency block and the lower one the in band emissions above the allocated frequency block.

$T_s$  is a set of  $|T_s|$  DFT-s-OFDM symbols with the considered modulation scheme being active within the measurement period,

$\Delta_{RB}$  is the starting frequency offset between the allocated RB and the measured non-allocated RB (e.g.  $\Delta_{RB} = 1$  for the first upper or  $\Delta_{RB} = -1$  for the first lower adjacent RB),

$f_{\min}$  and  $f_{\max}$  are the lower and upper edge of the UL transmission BW configuration,

$c_l$  and  $c_h$  are the lower and upper edge of the allocated BW,

$\Delta f$  is the SCS, and

$Y(t, f)$  is the frequency domain signal evaluated for in-band emissions as defined in the subsection E.3.3

The allocated RB power per RB and the total allocated RB power are given by:

$$P_{RB} = \frac{1}{|T_s| \cdot L_{CRBS}} \sum_{t \in T_s} \sum_{c_1}^{c_1 + (12 \cdot L_{CRBS} - 1) \cdot \Delta f} |MS(t, f)|^2 [\text{dBm}/(12\Delta f)]$$

$$P_{All-RBs} = \frac{1}{|T_s|} \sum_{t \in T_s} \sum_{c_1}^{c_1 + (12 \cdot L_{CRBS} - 1) \cdot \Delta f} |MS(t, f)|^2 [\text{dBm}]$$

The relative in-band emissions, applicable for General and IQ image, are given by:

$$Emissions_{relative}(\Delta_{RB}) = 10 \cdot \log_{10} \left( \frac{Emissions_{absolute}(\Delta_{RB})}{\frac{1}{|T_s| \cdot L_{CRBS}} \sum_{t \in T_s} \sum_{c_l}^{c_l + (12 \cdot L_{CRBS} - 1) \cdot \Delta f} |MS(t, f)|^2} \right) [\text{dB}] =$$

$$= Emissions_{absolute}(\Delta_{RB}) [\text{dBm}/12\Delta f] - P_{RB} [\text{dBm}/12\Delta f]$$

where

$L_{CRBS}$  is the number of allocated resource blocks,

and

$MS(t, f)$  is the frequency domain samples for the allocated bandwidth, as defined in the subsection E.3.3.

The relative in-band emissions, applicable for carrier leakage, is given by:

$$Emissions_{relative} = 10 \cdot \log_{10} \left( \frac{Emissions_{absolute}(RBnextDC)}{\frac{1}{|T_s|} \sum_{t \in T_s} \sum_{c_l}^{c_l + (12 \cdot L_{CRBS} - 1) \cdot \Delta f} |MS(t, f)|^2} \right) [\text{dBc}]$$

$$= Emissions_{absolute}(RBnextDC) [\text{dBm}/12\Delta f] - P_{All\ RBs} [\text{dBm}]$$

where RBnextDC means: Resource Block next to the carrier.

This can be one RB or one pair of RBs, depending whether the DC carrier is inside an RB or in between two RBs.

Although an exclusion period may be applicable in the time domain, when evaluating EVM, the inband emissions measurement interval is defined over one complete slot in the time domain.

From the acquired samples  $n$  functions for general in band emissions and IQ image inband emissions can be derived.  $n$  values or  $n$  pairs of carrier leakage inband emissions can be derived. They are compared against different limits.

#### E.4.4 EVM equalizer spectrum flatness

For EVM equalizer spectrum flatness use  $EC(f)$  as defined in E.3.3. Note,  $EC(f)$  represents equalizer coefficient  $f \in F$ ,  $f$  is the allocated subcarriers within the transmission bandwidth ( $|F|=12 \cdot L_{CRBS}$ )

From the acquired samples  $n$  functions  $EC(f)$  can be derived.

$EC(f)$  is broken down to 2 functions:

$$EC_1(f), f \in \text{Range } 1$$

$$EC_2(f), f \in \text{Range } 2$$

Where Range 1 and Range 2 are as defined in Table 6.5.2.4.5-1 for normal condition and Table 6.5.2.4.5-2 for extreme condition

The following peak to peak ripple is calculated:

$$RP_1 = 20 \cdot \log (\max (|EC_1(f)|) / \min (|EC_1(f)|)) , \text{ which denote the maximum ripple in Range 1}$$

$$RP_2 = 20 \cdot \log (\max (|EC_2(f)|) / \min (|EC_2(f)|)) , \text{ which denote the maximum ripple in Range 2}$$

$RP_{12} = 20 \cdot \log (\max (|EC_1(f)|) / \min (|EC_2(f)|))$  , which denote the maximum ripple between the upper side of Range 1 and lower side of Range 2

$RP_{21} = 20 \cdot \log (\max (|EC_2(f)|) / \min (|EC_1(f)|))$  , which denote the maximum ripple between the upper side of Range 2 and lower side of Range 1

#### E.4.5 Frequency error and Carrier leakage

See E.3.1.

#### E.4.6 EVM of Demodulation reference symbols ( $EVM_{DMRS}$ )

For the purpose of  $EVM_{DMRS}$ , the steps E.2.2 to E.4.2 are repeated 6 times, constituting 6  $EVM_{DMRS}$  sub-periods. The only purpose of the repetition is to cover the longer gross measurement period of  $EVM_{DMRS}$  ( $6 \cdot n$  time slots) and to derive the FFT window timing per sub-period.

The bigger of the EVM results in one  $n$  TS period corresponding to the timing!  $\Delta\tilde{c} - W/2$  or  $\Delta\tilde{c} + W/2$  is compared against the limit. (Clause E.4.2) This timing is re-used for  $EVM_{DMRS}$  in the equivalent  $EVM_{DMRS}$  sub-period.

For EVM the demodulation reference symbols are excluded, while the data symbols are used. For  $EVM_{DMRS}$  the data symbols are excluded, while the demodulation references symbols are used. This is illustrated in figure E.4.6-1

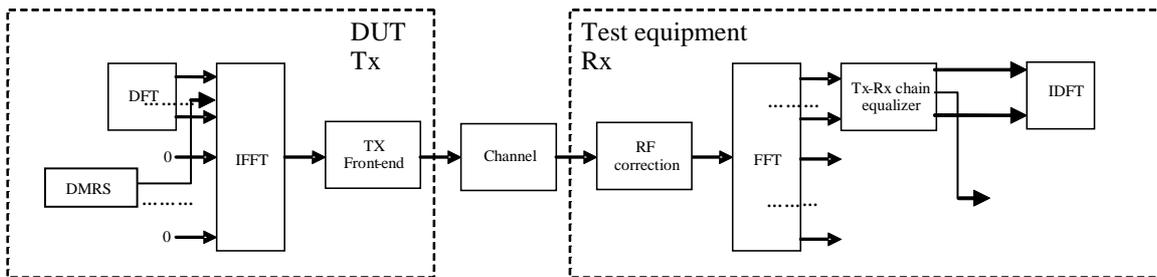


Figure E.4.6-1: EVM<sub>DMRS</sub> measurement points

Re-use the following formula from E.3.3:

$$Z'(f,t) = MS(f,t) \cdot EC(f)$$

To calculate EVM<sub>DMRS</sub>, the data symbol ( t=0,1,3,4,5,6,8,9,10,12,13) in Z'(f,t) are excluded and only the reference symbols (t=2,7,11) is used.

The EVM<sub>DMRS</sub> is the difference between the ideal waveform and the measured and equalized waveform for the allocated RB(s)

$$EVM_{DMRS} = \sqrt{\frac{\sum_{t \in T} \sum_{f \in F} |Z'(f,t) - I(f,t)|^2}{|T| \cdot P_0 \cdot |F|}},$$

where

t covers the count of demodulation reference symbols (i.e. symbols 2,7,11 in each slot, so count=3)

f covers the count of demodulation reference symbols within the allocated bandwidth. (|F|=12 \* L<sub>CRBs</sub> (with L<sub>CRBs</sub> : number of allocated resource blocks)).

Z'(f,t) are the samples of the signal evaluated for the EVM<sub>DMRS</sub>

I(f,t) is the ideal signal reconstructed by the measurement equipment, and

P<sub>0</sub> is the average power of the ideal signal. For normalized modulation symbols P<sub>0</sub> is equal to 1.

n such results are generated per measurement sub-period.

### E.4.6.1 1<sup>st</sup> average for EVM<sub>DMRS</sub>

EVM<sub>DMRS</sub> is averaged over all basic EVM<sub>DMRS</sub> measurements in one sub-period

The averaging comprises n UL slots

$$1stEVM_{DMRS} = \sqrt{\frac{1}{n} \sum_{i=1}^n (EVM_{DMRS,i})^2}$$

The timing is taken from the EVM for the data. 6 of those results are achieved from the samples. In general the timing is not the same for each result.

### E.4.6.2 Final average for EVM<sub>DMRS</sub>

$$finalEVM_{DMRS} = \sqrt{\frac{1}{6} \sum_{i=1}^6 (1stEVM_{DMRS,i})^2}$$

---

## E.5 EVM and inband emissions for PUCCH

For the purpose of worst case testing, the PUCCH shall be located on the edges of the Transmission Bandwidth Configuration (6,15,25,50,75,100 RBs).

The EVM for PUCCH (EVM<sub>PUCCH</sub>) is averaged over  $n$  slots, where

$$n = \begin{cases} 10, & \text{for 15 kHz SCS} \\ 20, & \text{for 30 kHz SCS} \\ 30, & \text{for 60 kHz SCS} \end{cases}$$

At least  $n$  TSs shall be transmitted by the UE without power change. SRS multiplexing shall be avoided during this period. The following transition periods are applicable: One OFDM symbol on each side of the slot border (instant of band edge alternation).

The description below is generic in the sense that all 5 PUCCH formats are covered. Although the number of OFDM symbols in one slot can be different from 7 (depending on the format, configuration and cyclic prefix length), the text below uses 7 without excluding the others.

### E.5.1 Basic principle

The basis principle is the same as described in E.2.1

### E.5.2 Output signal of the TX under test

The output signal of the TX under test is processed same as described in E.2.2

### E.5.3 Reference signal

The reference signal is defined same as in E.2.3. Same as in E.2.3,  $i_1(v)$  is the ideal reference for EVM<sub>PUCCH</sub> and  $i_2(v)$  is used to estimate the FFT window timing.

Note PUSCH is off during the PUCCH measurement period.

### E.5.4 Measurement results

The measurement results are:

- EVM<sub>PUCCH</sub>
- Inband emissions with the sub-results: General in-band emission, IQ image (according to: 38.101. Annex F.4, Clause starting with: "At this stage the ....")

### E.5.5 Measurement points

The measurement points are illustrated in the figure below:

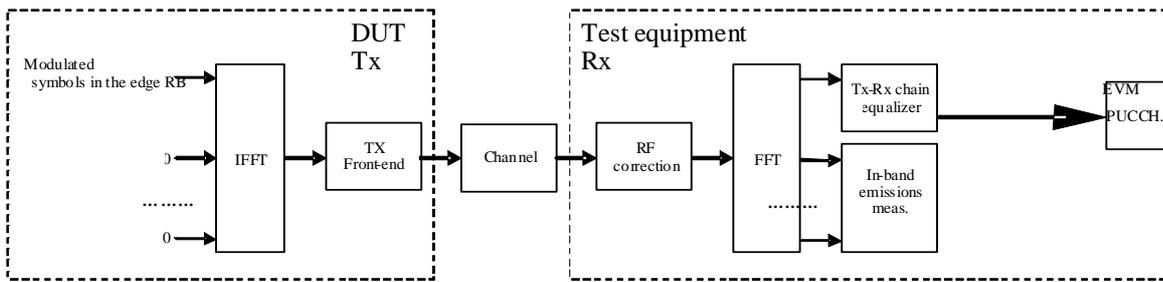


Figure E.5.5-1: Measurement points

## E.5.6 Pre FFT minimization process

The pre FFT minimisation process is the same as describes in clause E.3.1.

NOTE: although an exclusion period for  $EVM_{PUCCH}$  is applicable in E.5.9.1, the pre FFT minimisation process is done over the complete slot.

RF error, and carrier leakage are necessary for best fit of the measured signal towards the ideal signal in the pre FFT domain. However they are not used to compare them against the limits.

## E.5.7 Timing of the FFT window

Timing of the FFT window is estimated with the same method as described in E.3.2.

## E.5.8 Post FFT equalisation

The post FFT equalisation is described separately without reference to E.3.3:

Perform 7 FFTs on  $z'(v)$ , one for each OFDM symbol in a slot using the timing  $\Delta\tilde{c}$ , including the demodulation reference symbol. The result is an array of samples, 7 in the time axis  $t$  times TBD in the frequency axis  $f$ . The samples represent the OFDM symbols (data and reference symbols) in the allocated RBs and inband emissions in the non allocated RBs within the transmission BW.

Only the allocated resource blocks in the frequency domain are used for equalisation.

The nominal reference symbols and **nominal** OFDM data symbols are used to equalize the measured data symbols.

Note: (The nomenclature inside this note is local and not valid outside)

The nominal OFDM data symbols are created by a demodulation process. A demodulation process as follows is recommended:

1. Equalize the measured OFDM data symbols using the reference symbols for equalisation. Result: Equalized OFDM data symbols
2. Decide for the nearest constellation point, however not independent for each subcarrier in the RB. 12 constellation points are decided dependent, using the applicable CAZAC sequence. Result: Nominal OFDM data symbols

At this stage we have an array of Measured data-Symbols and reference-Symbols ( $MS(f,t)$ )

versus an array of Nominal data-Symbols and reference Symbols ( $NS(f,t)$ )

The arrays comprise in sum 7 data and reference symbols, depending on the PUCCH format, in the time axis and the number of allocated sub-carriers in the frequency axis.

$MS(f,t)$  and  $NS(f,t)$  are processed with a least square (LS) estimator, to derive one equalizer coefficient per time slot and per allocated subcarrier.  $EC(f)$

$$EC(f) = \frac{\sum_{t=0}^6 NS(f,t) * NS(f,t)}{\sum_{t=0}^6 MS(f,t) * NS(f,t)}$$

With \* denoting complex conjugation.

EC(f) are used to equalize the OFDM data together with the demodulation reference symbols by:

$$Z'(f,t) = MS(f,t) \cdot EC(f)$$

With · denoting multiplication.

Z'(f,t) is used to calculate EVM<sub>PUCCH</sub>, as described in E.5.9.1

NOTE: although an exclusion period for EVM<sub>PUCCH</sub> is applicable in E.5.9.1, the post FFT minimisation process is done over 7 OFDM symbols.

The samples of the non allocated resource blocks within the transmission bandwidth configuration in the post FFT domain are called Y(f,t) (f covering the non allocated subcarriers within the transmission bandwidth configuration, t covering the OFDM symbols during 1 slot).

## E.5.9 Derivation of the results

### E.5.9.1 EVM<sub>PUCCH</sub>

For EVM<sub>PUCCH</sub> create two sets of Z'(f,t), according to the timing "Δc̃ -W/2 and Δc̃ +W/2" using the equalizer coefficients from E.5.8

The EVM<sub>PUCCH</sub> is the difference between the ideal waveform and the measured and equalized waveform for the allocated RB(s)

$$EVM_{PUCCH} = \sqrt{\frac{\sum_{t \in T} \sum_{f \in F} |Z'(f,t) - I(f,t)|^2}{|T| \cdot P_0 \cdot |F|}},$$

where

the OFDM symbols next to slot borders (instant of band edge alternation) are excluded:

t covers less than the count of demodulated symbols in the slot (|T|= 5)

f covers the count of subcarriers within the allocated bandwidth. (|F|=12)

Z'(f,t) are the samples of the signal evaluated for the EVM<sub>PUCCH</sub>

I(f,t) is the ideal signal reconstructed by the measurement equipment, and

P<sub>0</sub> is the average power of the ideal signal. For normalized modulation symbols P<sub>0</sub> is equal to 1.

From the acquired samples 2n EVM<sub>PUCCH</sub> value can be derived, n values for the timing Δc̃ -W/2 and n values for the timing Δc̃ +W/2

### E.5.9.2 Averaged EVM<sub>PUCCH</sub>

EVM<sub>PUCCH</sub> is averaged over all basic EVM<sub>PUCCH</sub> measurements

The averaging comprises n UL slots

$$\overline{EVM}_{PUCCH} = \sqrt{\frac{1}{n} \sum_{i=1}^n (EVM_{PUCCH,i})^2}$$

The averaging is done separately for timing!  $\Delta\tilde{c} -W/2$  and  $\Delta\tilde{c} +W/2$  leading to  $\overline{EVM}_{PUCCH,low}$  and  $\overline{EVM}_{PUCCH,high}$

$EVM_{PUCCH,final} = \max(\overline{EVM}_{PUCCH,low}, \overline{EVM}_{PUCCH,high})$  is compared against the test requirements.

### E.5.9.3 In-band emissions measurement

The in-band emissions are a measure of the interference falling into the non-allocated resources blocks

Create one set of  $Y(t,f)$  per slot according to the timing “ $\Delta\tilde{c}$ ”

For the non-allocated RBs the in-band emissions are calculated as follows

$$Emissions_{absolute}(\Delta_{RB}) = \begin{cases} \frac{1}{|T_s|} \sum_{t \in T_s} \sum_{c_l + (12 \cdot \Delta_{RB} + 1) \cdot \Delta f}^{c_l + (12 \cdot \Delta_{RB} + 11) \cdot \Delta f} |Y(t, f)|^2, \Delta_{RB} < 0 \\ \frac{1}{|T_s|} \sum_{t \in T_s} \sum_{c_h + (12 \cdot \Delta_{RB} - 11) \cdot \Delta f}^{\min(f_{max}, (c_h + 12 \cdot \Delta_{RB} \cdot \Delta f))} |Y(t, f)|^2, \Delta_{RB} > 0 \end{cases},$$

where

the upper formula represents the inband emissions below the allocated frequency block and the lower one the inband emissions above the allocated frequency block.

$T_s$  is a set of  $|T_s|$  OFDM symbols in the measurement period,

$\Delta_{RB}$  is the starting frequency offset between the allocated RB and the measured non-allocated RB (e.g.  $\Delta_{RB} = 1$  for the first upper or  $\Delta_{RB} = -1$  for the first lower adjacent RB),

$f_{min}$  and  $f_{max}$  are the lower and upper edge of the UL system BW,

$c_l$  and  $c_h$  are the lower and upper edge of the allocated BW,

$\Delta f$  is the SCS, and

$Y(t, f)$  is the frequency domain signal evaluated for in-band emissions as defined in the subsection E.5.8

The relative in-band emissions are, given by

$$Emissions_{relative}(\Delta_{RB}) = 10 * \log_{10} \frac{Emissions_{absolute}(\Delta_{RB})}{\frac{1}{|T_s|} \cdot L_{CRBs} \sum_{t \in T_s} \sum_{c_1}^{c_1 + (12 \cdot L_{CRBs} - 1) \cdot \Delta f} |MS(t, f)|^2} [dB]$$

where

$L_{CRBs}$  is the number of allocated RBs,

and  $MS(t, f)$  is the frequency domain samples for the allocated bandwidth, as defined in the subsection E.5.8

Although an exclusion period for EVM is applicable in E.5.9.1, the inband emissions measurement interval is defined over one complete slot in the time domain.

From the acquired samples  $n$  functions for inband emissions can be derived.

Since the PUCCH allocation is always on the upper or lower band-edge, the opposite of the allocated one represents the IQ image, and the remaining inner RBs represent the general inband emissions. They are compared against different limits.

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## E.6 EVM for PRACH

The description below is generic in the sense that all PRACH formats are covered. The numbers, used in the text below are taken from PRACH format#0 without excluding the other formats. The sampling rate for the PUSCH, TBD Msps in the time domain, is re-used for the PRACH. The carrier spacing of the PUSCH is up to 48 times higher than that of PRACH depending on the PRACH format and SCS. This results in an oversampling factor  $ovf$  of up to 48, when acquiring the time samples for the PRACH. The pre-FFT algorithms (clauses E.6.6 and E.6.7) use all time samples, although oversampled. For the FFT the time samples are decimated by the  $ovf$ , resulting in the same FFT size as for the other transmit modulation tests. Decimation requires a decision, which samples are used and which ones are rejected. The algorithm in E.6.6, Timing of the FFT window, can also be used to decide about the used samples.

### E.6.1 Basic principle

The basis principle is the same as described in E.2.1

### E.6.2 Output signal of the TX under test

The output signal of the TX under test is processed same as described in E.2.2

The measurement period is TBD.

### E.6.3 Reference signal

The test description in 6.4.2.1.4.1 is based on non-contention based access:

- PRACH configuration index (responsible for Preamble format, System frame number and subframe number)
- Preamble ID
- Preamble power

signalled to the UE, defines the reference signal unambiguously, such that no demodulation process is necessary to gain the reference signal.

The reference signal  $i(v)$  is constructed by the measuring equipment according to the relevant TX specifications, using the following parameters: the applicable Zadoff Chu sequence, nominal carrier frequency, nominal amplitude and phase for each subcarrier, nominal timing, no carrier leakage. It is represented as a sequence of samples at a sampling rate of TBD Msps in the time domain.

### E.6.4 Measurement results

The measurement result is:

- EVM<sub>PRACH</sub>

### E.6.5 Measurement points

The measurement points are illustrated in the figure below:

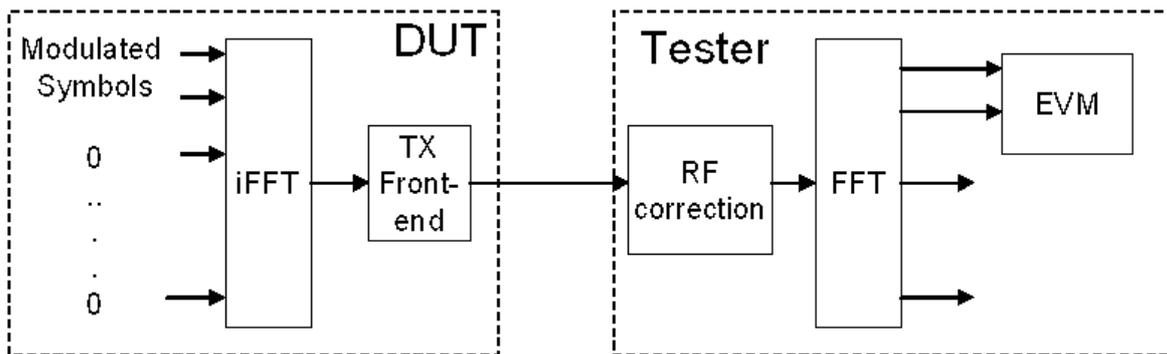


Figure E.6.5-1: Measurement points

### E.6.6 Pre FFT minimization process

The pre-FFT minimization process is applied to each PRACH preamble separately. The time period for the pre-FFT minimisation process includes the complete CP and Zadoff-Chu sequence (in other words, the power transition period is per definition outside of this time period) Sample timing, Carrier frequency and carrier leakage in  $z(v)$  are jointly varied in order to minimise the difference between  $z(v)$  and  $i(v)$ . Best fit (minimum difference) is achieved when the RMS difference value between  $z(v)$  and  $i(v)$  is an absolute minimum.

After this process the samples  $z(v)$  are called  $z^0(v)$ .

RF error, and carrier leakage are necessary for best fit of the measured signal towards the ideal signal in the pre-FFT domain. However they are not used to compare them against the limits.

### E.6.7 Timing of the FFT window

The FFT window length is TBD samples for preamble format 0, however in the measurement period at least TBD samples are taken. The position in time for FFT must be determined.

In an ideal signal, the FFT may start at any instant within the cyclic prefix without causing an error. The TX filter, however, reduces the window. The EVM requirements shall be met within a window  $W < CP$ .

The reference instant for the FFT start is the centre of the reduced window, called  $\Delta\tilde{c}$ ,

EVM is measured at the following two instants:  $\Delta\tilde{c} - W/2$  and  $\Delta\tilde{c} + W/2$ .

The timing of the measured signal  $z^0(v)$  with respect to the ideal signal  $i(v)$  is determined in the pre-FFT domain as follows:

Correlation between  $z^0(v)$  and  $i(v)$  will result in a correlation peak. The meaning of the correlation peak is approx. the “impulse response” of the TX filter. The correlation peak, (the highest, or in case of more than one, the earliest) indicates the timing in the measured signal with respect to the ideal signal.

W is different for different preamble formats and shown in Table E.6.7-1.

Table E.6.7-1 EVM window length for PRACH

TBD

The number of samples, used for FFT is reduced compared to  $z^0(v)$ . This subset of samples is called  $z''(v)$ .

The sample frequency TBD MHz is oversampled with respect to the PRACH-subcarrier spacing of 1.25kHz (format 0 to 3) and 5kHz (format 4). EVM is based on TBD samples per PRACH preamble and requires decimation of the time samples by the factor of  $12 \cdot 2^\mu$  (format 0 to 3) and factor  $3 \cdot 2^\mu$  (format 4). The final number of samples per PRACH preamble, used for FFT is reduced compared to  $z''(v)$  by the same factor. This subset of samples is called  $z'(v)$ .

## E.6.8 Post FFT equalisation

Equalisation is not applicable for the PRACH.

## E.6.9 Derivation of the results

### E.6.9.1 $EVM_{PRACH}$

Perform FFT on  $z'(v)$  and  $i(v)$  using the FFT timing  $\Delta\tilde{c} - W/2$  and  $\Delta\tilde{c} + W/2$ .

[For format 2 and 3 the first and the repeated preamble sequence are FFT-converted separately. using the standard FFT length of TBD.]

The  $EVM_{PRACH}$  is the difference between the ideal waveform and the measured and equalized waveform for the allocated RB(s).

$$EVM_{PRACH} = \sqrt{\frac{\sum_{f \in F} |Z'(f) - I(f)|^2}{N_{ZC} \cdot P_0}},$$

where

$f$  covers the count of demodulated symbols within the allocated bandwidth.

$Z'(f)$  are the samples of the signal evaluated for the  $EVM_{PRACH}$

$I(f)$  is the ideal signal reconstructed by the measurement equipment, and

$P_0$  is the average power of the ideal signal. For normalized modulation symbols  $P_0$  is equal to 1.

$N_{ZC}$  is random access preamble sequence length.

From the acquired samples TBD  $EVM_{PRACH}$  values can be derived, TBD values for the timing  $\Delta\tilde{c} - W/2$  and TBD values for the timing  $\Delta\tilde{c} + W/2$ .

### E.6.9.2 Averaged $EVM_{PRACH}$

The PRACH EVM,  $EVM_{PRACH}$ , is averaged over TBD preamble sequence measurements.

$$\overline{EVM}_{PRACH} = \sqrt{\frac{1}{m} \sum_{i=1}^m (EVM_{PUCCH,i})^2}$$

where  $m$  is TBD.

The averaging is done separately for timing!  $\Delta\tilde{c} - W/2$  and  $\Delta\tilde{c} + W/2$  leading to  $\overline{EVM}_{PRACH,low}$  and  $\overline{EVM}_{PRACH,high}$

$EVM_{PRACH,final} = \max(\overline{EVM}_{PRACH,low}, \overline{EVM}_{PRACH,high})$  is compared against the test requirements.

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## Annex F (normative): Measurement uncertainties and Test Tolerances

### F.1 Acceptable uncertainty of Test System (normative)

The maximum acceptable uncertainty of the Test System is specified below for each test, where appropriate. The Test System shall enable the stimulus signals in the test case to be adjusted to within the specified range, and the equipment under test to be measured with an uncertainty not exceeding the specified values. All ranges and uncertainties are absolute values, and are valid for a confidence level of 95 %, unless otherwise stated.

A confidence level of 95 % is the measurement uncertainty tolerance interval for a specific measurement that contains 95 % of the performance of a population of test equipment.

For RF tests it should be noted that the uncertainties in clause F.1 apply to the Test System operating into a nominal 50 ohm load and do not include system effects due to mismatch between the DUT and the Test System.

The downlink signal uncertainties apply at each receiver antenna connector.

#### F.1.1 Measurement of test environments

The measurement accuracy of the UE test environments defined in TS 38.508-1 [5] subclause 4.1, Test environments shall be

- Pressure  $\pm 5$  kPa.
- Temperature  $\pm 2$  degrees.
- Relative Humidity  $\pm 5$  %.
- DC Voltage  $\pm 1,0$  %.
- AC Voltage  $\pm 1,5$  %.
- Vibration 10 %.
- Vibration frequency 0,1 Hz.

The above values shall apply unless the test environment is otherwise controlled and the specification for the control of the test environment specifies the uncertainty for the parameter.

## F.1.2 Measurement of transmitter

**Table F.1.2-1: Maximum Test System Uncertainty for transmitter tests**

Subclause	Maximum Test System Uncertainty	Derivation of Test System Uncertainty
6.2.1 UE maximum output power	$f \leq 3.0\text{GHz}$ $\pm 0.7\text{ dB, BW} \leq 40\text{MHz}$ $\pm 1.4\text{ dB, } 40\text{MHz} < \text{BW} \leq 100\text{MHz}$  $3.0\text{GHz} < f \leq 4.2\text{GHz}$ $\pm 1.0\text{ dB, BW} \leq 40\text{MHz}$ $\pm 1.6\text{ dB, } 40\text{MHz} < \text{BW} \leq 100\text{MHz}$  $4.2\text{GHz} < f \leq 6.0\text{GHz}$ $\pm 1.3\text{ dB, BW} \leq 20\text{MHz}$ $\pm 1.5\text{ dB, } 20\text{MHz} < \text{BW} \leq 40\text{MHz}$ $\pm 1.6\text{ dB, } 40\text{MHz} < \text{BW} \leq 100\text{MHz}$	
6.2.2 Maximum Power Reduction (MPR)	$f \leq 3.0\text{GHz}$ $\pm 0.7\text{ dB, BW} \leq 40\text{MHz}$ $\pm 1.4\text{ dB, } 40\text{MHz} < \text{BW} \leq 100\text{MHz}$  $3.0\text{GHz} < f \leq 4.2\text{GHz}$ $\pm 1.0\text{ dB, BW} \leq 40\text{MHz}$ $\pm 1.6\text{ dB, } 40\text{MHz} < \text{BW} \leq 100\text{MHz}$  $4.2\text{GHz} < f \leq 6.0\text{GHz}$ $\pm 1.3\text{ dB, BW} \leq 20\text{MHz}$ $\pm 1.5\text{ dB, } 20\text{MHz} < \text{BW} \leq 40\text{MHz}$ $\pm 1.6\text{ dB, } 40\text{MHz} < \text{BW} \leq 100\text{MHz}$	
6.2.3 UE additional maximum output power reduction	$f \leq 3.0\text{GHz}$ $\pm 0.7\text{ dB, BW} \leq 40\text{MHz}$ $\pm 1.4\text{ dB, } 40\text{MHz} < \text{BW} \leq 100\text{MHz}$  $3.0\text{GHz} < f \leq 4.2\text{GHz}$ $\pm 1.0\text{ dB, BW} \leq 40\text{MHz}$ $\pm 1.6\text{ dB, } 40\text{MHz} < \text{BW} \leq 100\text{MHz}$  $4.2\text{GHz} < f \leq 6.0\text{GHz}$ $\pm 1.3\text{ dB, BW} \leq 20\text{MHz}$ $\pm 1.5\text{ dB, } 20\text{MHz} < \text{BW} \leq 40\text{MHz}$ $\pm 1.6\text{ dB, } 40\text{MHz} < \text{BW} \leq 100\text{MHz}$	
6.2.4 Configured transmitted power	$f \leq 3.0\text{GHz}$ $\pm 0.7\text{ dB, BW} \leq 40\text{MHz}$ $\pm 1.4\text{ dB, } 40\text{MHz} < \text{BW} \leq 100\text{MHz}$  $3.0\text{GHz} < f \leq 4.2\text{GHz}$ $\pm 1.0\text{ dB, BW} \leq 40\text{MHz}$ $\pm 1.6\text{ dB, } 40\text{MHz} < \text{BW} \leq 100\text{MHz}$  $4.2\text{GHz} < f \leq 6.0\text{GHz}$ $\pm 1.3\text{ dB, BW} \leq 20\text{MHz}$ $\pm 1.5\text{ dB, } 20\text{MHz} < \text{BW} \leq 40\text{MHz}$ $\pm 1.6\text{ dB, } 40\text{MHz} < \text{BW} \leq 100\text{MHz}$	
6.3.1 Minimum output power	$f \leq 3.0\text{GHz}$ $\pm 1.0\text{ dB, BW} \leq 40\text{MHz}$ $\pm 1.4\text{ dB, } 40\text{MHz} < \text{BW} \leq 100\text{MHz}$  $3.0\text{GHz} < f \leq 4.2\text{GHz}$ $\pm 1.3\text{ dB, BW} \leq 40\text{MHz}$ $\pm 1.6\text{ dB, } 40\text{MHz} < \text{BW} \leq 100\text{MHz}$  $4.2\text{GHz} < f \leq 6.0\text{GHz}$ $\pm 1.5\text{ dB, BW} \leq 40\text{MHz}$ $\pm 1.8\text{ dB, } 40\text{MHz} < \text{BW} \leq 100\text{MHz}$	

6.3.2 Transmit OFF power	<p><math>f \leq 3.0\text{GHz}</math>  <math>\pm 1.5\text{ dB}</math>, <math>\text{BW} \leq 40\text{MHz}</math>  <math>\pm 1.7\text{ dB}</math>, <math>40\text{MHz} &lt; \text{BW} \leq 100\text{MHz}</math></p> <p><math>3.0\text{GHz} &lt; f \leq 4.2\text{GHz}</math>  <math>\pm 1.8\text{ dB}</math>, <math>\text{BW} \leq 40\text{MHz}</math>  <math>\pm 1.9\text{ dB}</math>, <math>40\text{MHz} &lt; \text{BW} \leq 80\text{MHz}</math>  <math>\pm 2.2\text{ dB}</math>, <math>80\text{MHz} &lt; \text{BW} \leq 100\text{MHz}</math></p> <p><math>4.2\text{GHz} &lt; f \leq 6.0\text{GHz}</math>  <math>\pm 2.0\text{ dB}</math>, <math>\text{BW} \leq 20\text{MHz}</math>  <math>\pm 2.1\text{ dB}</math>, <math>20\text{MHz} &lt; \text{BW} \leq 80\text{MHz}</math>  <math>\pm 2.2\text{ dB}</math>, <math>80\text{MHz} &lt; \text{BW} \leq 100\text{MHz}</math></p>	
6.3.3.2 General ON/OFF time mask	<p><math>f \leq 3.0\text{GHz}</math>  <math>\pm 1.5\text{ dB}</math>, <math>\text{BW} \leq 40\text{MHz}</math>  <math>\pm 1.7\text{ dB}</math>, <math>40\text{MHz} &lt; \text{BW} \leq 100\text{MHz}</math></p> <p><math>3.0\text{GHz} &lt; f \leq 4.2\text{GHz}</math>  <math>\pm 1.8\text{ dB}</math>, <math>\text{BW} \leq 40\text{MHz}</math>  <math>\pm 1.9\text{ dB}</math>, <math>40\text{MHz} &lt; \text{BW} \leq 80\text{MHz}</math>  <math>\pm 2.2\text{ dB}</math>, <math>80\text{MHz} &lt; \text{BW} \leq 100\text{MHz}</math></p> <p><math>4.2\text{GHz} &lt; f \leq 6.0\text{GHz}</math>  <math>\pm 2.0\text{ dB}</math>, <math>\text{BW} \leq 20\text{MHz}</math>  <math>\pm 2.1\text{ dB}</math>, <math>20\text{MHz} &lt; \text{BW} \leq 80\text{MHz}</math>  <math>\pm 2.2\text{ dB}</math>, <math>80\text{MHz} &lt; \text{BW} \leq 100\text{MHz}</math></p>	
6.3.4.2 Absolute power tolerance	<p><math>f \leq 3.0\text{GHz}</math>  <math>\pm 1.0\text{ dB}</math>, <math>\text{BW} \leq 40\text{MHz}</math>  <math>\pm 1.6\text{ dB}</math>, <math>40\text{MHz} &lt; \text{BW} \leq 100\text{MHz}</math></p> <p><math>3.0\text{GHz} &lt; f \leq 4.2\text{GHz}</math>  <math>\pm 1.4\text{ dB}</math>, <math>\text{BW} \leq 40\text{MHz}</math>  <math>\pm 1.9\text{ dB}</math>, <math>40\text{MHz} &lt; \text{BW} \leq 100\text{MHz}</math></p> <p><math>4.2\text{GHz} &lt; f \leq 6.0\text{GHz}</math>  <math>\pm 2.0\text{ dB}</math>, <math>\text{BW} \leq 20\text{MHz}</math>  <math>\pm 2.1\text{ dB}</math>, <math>20\text{MHz} &lt; \text{BW} \leq 40\text{MHz}</math>  <math>\pm 2.2\text{ dB}</math>, <math>80\text{MHz} &lt; \text{BW} \leq 100\text{MHz}</math></p>	Test System uncertainty = $\text{SQRT}(\text{UL Meas Uncer}^2 + \text{DL Meas Uncer}^2)$
6.3.4.3 Power Control Relative power tolerance	$\pm 0.7\text{ dB}$ , $\text{BW} \leq 40\text{MHz}$ $\pm 1.0\text{ dB}$ , $40\text{MHz} < f \leq 100\text{MHz}$	
6.3.4.4 Aggregate power tolerance	$\pm 0.7\text{ dB}$ , $\text{BW} \leq 40\text{MHz}$ $\pm 1.0\text{ dB}$ , $40\text{MHz} < f \leq 100\text{MHz}$	
6.4.1 Frequency Error	$\pm 15\text{ Hz}$ , $f \leq 3.0\text{GHz}$ $\pm 36\text{ Hz}$ , $f > 3.0\text{GHz}$	
6.4.2.1 Error Vector Magnitude	<p>For up to 256QAM:  <math>f \leq 6.0\text{GHz}</math>, <math>\text{BW} \leq 100\text{MHz}</math></p> <p><math>15\text{ dBm} &lt; P_{\text{UL}}</math>  PUSCH, PUCCH, PRACH: <math>\pm 1.5\%</math>  <math>-25\text{ dBm} &lt; P_{\text{UL}} \leq 15\text{ dBm}</math>  PUSCH, PUCCH, PRACH: <math>\pm 2.5\%</math>  <math>-40\text{ dBm} \leq P_{\text{UL}} \leq -25\text{ dBm}</math>  PUSCH, PUCCH, PRACH: <math>\pm 3.0\%</math></p>	

6.4.2.2 Carrier Leakage	$f \leq 3.0\text{GHz}$ $\pm 0.8\text{ dB, BW} \leq 40\text{MHz}$ $\pm 1.5\text{ dB, } 40\text{MHz} < \text{BW} \leq 100\text{MHz}$  $3.0\text{GHz} < f \leq 4.2\text{GHz}$ $\pm 0.8\text{ dB, BW} \leq 40\text{MHz}$ $\pm 1.6\text{ dB, } 40\text{MHz} < \text{BW} \leq 100\text{MHz}$  $4.2\text{GHz} < f \leq 6.0\text{GHz}$ $\pm 1.0\text{ dB, BW} \leq 40\text{MHz}$ $\pm 1.6\text{ dB, } 40\text{MHz} < \text{BW} \leq 100\text{MHz}$	
6.4.2.3 In-band emissions	$f \leq 3.0\text{GHz}$ $\pm 0.8\text{ dB, BW} \leq 40\text{MHz}$ $\pm 1.5\text{ dB, } 40\text{MHz} < \text{BW} \leq 100\text{MHz}$  $3.0\text{GHz} < f \leq 4.2\text{GHz}$ $\pm 0.8\text{ dB, BW} \leq 40\text{MHz}$ $\pm 1.6\text{ dB, } 40\text{MHz} < \text{BW} \leq 100\text{MHz}$  $4.2\text{GHz} < f \leq 6.0\text{GHz}$ $\pm 1.0\text{ dB, BW} \leq 40\text{MHz}$ $\pm 1.6\text{ dB, } 40\text{MHz} < \text{BW} \leq 100\text{MHz}$	
6.4.2.4 EVM equalizer spectrum flatness	$\pm 1.4\text{ dB, BW} \leq 40\text{MHz}$ $\pm 1.6\text{ dB, } 40\text{MHz} < f \leq 100\text{MHz}$	
6.5.1 Occupied bandwidth	TBD	
6.5.2.2 Spectrum Emission Mask	$\pm 1.5\text{ dB, } f \leq 3.0\text{GHz}$ $\pm 1.8\text{ dB, } 3.0\text{GHz} < f \leq 4.2\text{GHz}$ $\pm 2.0\text{ dB, } 4.2\text{GHz} < f \leq 6.0\text{GHz}$	
6.5.2.3 Additional spectrum emission mask	$\pm 1.5\text{ dB, } f \leq 3.0\text{GHz}$ $\pm 1.8\text{ dB, } 3.0\text{GHz} < f \leq 4.2\text{GHz}$ $\pm 2.0\text{ dB, } 4.2\text{GHz} < f \leq 6.0\text{GHz}$	
6.5.2.4.1 NR ACLR	$\pm 0.8\text{ dB, } f \leq 4.0\text{GHz}$ $\pm 1.0\text{ dB, } 4.0\text{GHz} < f \leq 6.0\text{GHz}$	
6.5.2.4.2 UTRA ACLR	$\pm 0.8\text{ dB, } f \leq 4.0\text{GHz}$ $\pm 1.0\text{ dB, } 4.0\text{GHz} < f \leq 6.0\text{GHz}$	
6.5.3.1 General spurious emissions	for results $> -60\text{ dBm}$ : $\pm 2.0\text{ dB, } 9\text{kHz} < f \leq 3\text{GHz}$ $\pm 2.5\text{ dB, } 3\text{GHz} < f \leq 4\text{GHz}$ $\pm 4.0\text{ dB, } 4\text{GHz} < f \leq 19\text{GHz}$ $\pm 6.0\text{ dB, } 19\text{GHz} < f \leq 26\text{GHz}$	
6.5.3.2 Spurious emission for UE co-existence	for results $> -60\text{ dBm}$ : $\pm 2.0\text{ dB, } 9\text{kHz} < f \leq 3\text{GHz}$ $\pm 2.5\text{ dB, } 3\text{GHz} < f \leq 4\text{GHz}$ $\pm 4.0\text{ dB, } 4\text{GHz} < f \leq 19\text{GHz}$ $\pm 6.0\text{ dB, } 19\text{GHz} < f \leq 26\text{GHz}$	
6.5.3.3 Additional spurious emissions	for results $> -60\text{ dBm}$ : $\pm 2.0\text{ dB, } 9\text{kHz} < f \leq 3\text{GHz}$ $\pm 2.5\text{ dB, } 3\text{GHz} < f \leq 4\text{GHz}$ $\pm 4.0\text{ dB, } 4\text{GHz} < f \leq 19\text{GHz}$ $\pm 6.0\text{ dB, } 19\text{GHz} < f \leq 26\text{GHz}$	

<p>6.5.4 Transmit intermodulation</p>	<p>f ≤ 3.0GHz  ±2.7 dB, BW ≤ 40MHz  ±3.1 dB, 40MHz &lt; BW ≤ 100MHz</p> <p>3.0GHz &lt; f ≤ 4.2GHz  ±3.7 dB, BW ≤ 40MHz  ±4.0 dB, 40MHz &lt; BW ≤ 100MHz</p> <p>4.2GHz &lt; f ≤ 6.0GHz  ±5.1 dB, BW ≤ 40MHz  ±5.3 dB, 40MHz &lt; BW ≤ 100MHz</p>	<p>Overall system uncertainty comprises four quantities:</p> <ol style="list-style-type: none"> <li>1. Wanted signal setting error</li> <li>2. CW Interferer level error</li> <li>3. Wanted signal meas. error</li> <li>4. Intermodulation product measurement error</li> </ol> <p>The relative level of the wanted signal and the CW interferer has 2 x effect on the intermodulation product.</p> <p>Items 1, 2, 3 and 4 are assumed to be uncorrelated so can be root sum squared to provide the combined effect.</p> <p>Test System uncertainty = <math>\text{SQRT} [(2 \times \text{SQRT} (\text{Wanted\_setting\_error}^2 + \text{CW\_level\_error}^2))^2 + \text{Wanted\_level\_meas\_error}^2 + \text{Intermodulation\_product\_measurement\_error}^2]</math></p>
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## F.1.3 Measurement of receiver

**Table F.1.3-1: Maximum Test System Uncertainty for receiver tests**

Subclause	Maximum Test System Uncertainty	Derivation of Test System Uncertainty
7.3.2 Reference sensitivity power level	$\pm 0.7$ dB, $f \leq 3.0$ GHz $\pm 1.0$ dB, $3.0$ GHz $< f \leq 4.2$ GHz $\pm 1.5$ dB, $4.2$ GHz $< f \leq 6$ GHz	
7.4 Maximum input level	Downlink power $\pm 0.7$ dB, $f \leq 3.0$ GHz $\pm 1.0$ dB, $3.0$ GHz $< f \leq 4.2$ GHz $\pm 1.5$ dB, $4.2$ GHz $< f \leq 6$ GHz  Uplink power measurement $f \leq 3.0$ GHz $\pm 0.7$ dB, $BW \leq 40$ MHz $\pm 1.4$ dB, $40$ MHz $< BW \leq 100$ MHz  $3.0$ GHz $< f \leq 4.2$ GHz $\pm 1.0$ dB, $BW \leq 40$ MHz $\pm 1.6$ dB, $40$ MHz $< BW \leq 100$ MHz  $4.2$ GHz $< f \leq 6.0$ GHz $\pm 1.3$ dB, $BW \leq 20$ MHz $\pm 1.5$ dB, $20$ MHz $< BW \leq 40$ MHz $\pm 1.6$ dB, $40$ MHz $< BW \leq 100$ MHz	
7.5 Adjacent channel selectivity	ACS value $\pm 1.6$ dB, $f \leq 3.0$ GHz $\pm 2.3$ dB, $3.0$ GHz $< f \leq 4.2$ GHz $\pm 3.0$ dB, $4.2$ GHz $< f \leq 6.0$ GHz  Uplink power measurement $f \leq 3.0$ GHz $\pm 0.7$ dB, $BW \leq 40$ MHz $\pm 1.4$ dB, $40$ MHz $< BW \leq 100$ MHz  $3.0$ GHz $< f \leq 4.2$ GHz $\pm 1.0$ dB, $BW \leq 40$ MHz $\pm 1.6$ dB, $40$ MHz $< BW \leq 100$ MHz  $4.2$ GHz $< f \leq 6.0$ GHz $\pm 1.3$ dB, $BW \leq 20$ MHz $\pm 1.5$ dB, $20$ MHz $< BW \leq 40$ MHz $\pm 1.6$ dB, $40$ MHz $< BW \leq 100$ MHz	Overall ACS uncertainty comprises three quantities: 1. Wanted signal level error 2. Interferer signal level error 3. Additional impact of interferer ACLR  Items 1 and 2 are assumed to be uncorrelated so can be root sum squared to provide the ratio error of the two signals. The interferer ACLR effect is systematic, and is added arithmetically.  Test System uncertainty = [SQRT (wanted_level_error <sup>2</sup> + interferer_level_error <sup>2</sup> )] + ACLR effect.
7.6.2 Inband Blocking	Blocking $\pm 1.6$ dB, $f \leq 3.0$ GHz $\pm 2.3$ dB, $3.0$ GHz $< f \leq 4.2$ GHz $\pm 3.0$ dB, $4.2$ GHz $< f \leq 6.0$ GHz  Uplink power measurement $f \leq 3.0$ GHz $\pm 0.7$ dB, $BW \leq 40$ MHz $\pm 1.4$ dB, $40$ MHz $< BW \leq 100$ MHz  $3.0$ GHz $< f \leq 4.2$ GHz $\pm 1.0$ dB, $BW \leq 40$ MHz $\pm 1.6$ dB, $40$ MHz $< BW \leq 100$ MHz  $4.2$ GHz $< f \leq 6.0$ GHz $\pm 1.3$ dB, $BW \leq 20$ MHz $\pm 1.5$ dB, $20$ MHz $< BW \leq 40$ MHz $\pm 1.6$ dB, $40$ MHz $< BW \leq 100$ MHz	Overall blocking uncertainty can have these contributions: 1. Wanted signal level error 2. Interferer signal level error 3. Interferer ACLR 4. Interferer broadband noise Items 1 and 2 are assumed to be uncorrelated so can be root sum squared to provide the ratio error of the two signals. The Interferer ACLR or Broadband noise effect is systematic, and is added arithmetically. Test System uncertainty = [SQRT (wanted_level_error <sup>2</sup> + interferer_level_error <sup>2</sup> )] + ACLR effect + Broadband noise effect. <u>In-band blocking, using modulated interferer:</u>  Broadband noise not applicable

7.6.3 Out-of-band blocking	<p>Wanted signal, <math>f \leq 3.0\text{GHz}</math>  <math>\pm 2.0\text{ dB}</math>, Blocking, <math>1\text{MHz} &lt; f_{\text{interferer}} \leq 3\text{GHz}</math>  <math>\pm 3.9\text{ dB}</math>, Blocking, <math>3\text{GHz} &lt; f_{\text{interferer}} \leq 12.75\text{GHz}</math></p> <p>Wanted signal, <math>3.0\text{GHz} &lt; f \leq 4.2\text{GHz}</math>  <math>\pm 2.2\text{ dB}</math>, Blocking, <math>1\text{MHz} &lt; f_{\text{interferer}} \leq 3\text{GHz}</math>  <math>\pm 4.0\text{ dB}</math>, Blocking, <math>3\text{GHz} &lt; f_{\text{interferer}} \leq 12.75\text{GHz}</math></p> <p>Wanted signal, <math>4.2\text{GHz} &lt; f \leq 6\text{GHz}</math>  <math>\pm 2.6\text{ dB}</math>, Blocking, <math>1\text{MHz} &lt; f_{\text{interferer}} \leq 3\text{GHz}</math>  <math>\pm 4.2\text{ dB}</math>, Blocking, <math>3\text{GHz} &lt; f_{\text{interferer}} \leq 12.75\text{GHz}</math></p> <p>Uplink power measurement  <math>f \leq 3.0\text{GHz}</math>  <math>\pm 0.7\text{ dB}</math>, <math>\text{BW} \leq 40\text{MHz}</math>  <math>\pm 1.4\text{ dB}</math>, <math>40\text{MHz} &lt; \text{BW} \leq 100\text{MHz}</math></p> <p><math>3.0\text{GHz} &lt; f \leq 4.2\text{GHz}</math>  <math>\pm 1.0\text{ dB}</math>, <math>\text{BW} \leq 40\text{MHz}</math>  <math>\pm 1.6\text{ dB}</math>, <math>40\text{MHz} &lt; \text{BW} \leq 100\text{MHz}</math></p> <p><math>4.2\text{GHz} &lt; f \leq 6.0\text{GHz}</math>  <math>\pm 1.3\text{ dB}</math>, <math>\text{BW} \leq 20\text{MHz}</math>  <math>\pm 1.5\text{ dB}</math>, <math>20\text{MHz} &lt; \text{BW} \leq 40\text{MHz}</math>  <math>\pm 1.6\text{ dB}</math>, <math>40\text{MHz} &lt; \text{BW} \leq 100\text{MHz}</math></p>	<p>Out of band blocking, using CW interferer:</p> <p>Interferer ACLR not applicable  Impact of interferer Broadband noise 0.8dB</p> <p>Figures are combined to give Test System uncertainty, using formula given for 7.6.2</p>
7.6.4 Narrow band blocking	<p>Blocking  <math>\pm 2.0\text{dB}</math>, <math>f \leq 3.0\text{GHz}</math>  <math>\pm 2.4\text{dB}</math>, <math>3.0\text{GHz} &lt; f \leq 4.2\text{GHz}</math>  <math>\pm 3.1\text{dB}</math>, <math>4.2\text{GHz} &lt; f \leq 6.0\text{GHz}</math></p> <p>Uplink power measurement  <math>f \leq 3.0\text{GHz}</math>  <math>\pm 0.7\text{ dB}</math>, <math>\text{BW} \leq 40\text{MHz}</math>  <math>\pm 1.4\text{ dB}</math>, <math>40\text{MHz} &lt; \text{BW} \leq 100\text{MHz}</math></p> <p><math>3.0\text{GHz} &lt; f \leq 4.2\text{GHz}</math>  <math>\pm 1.0\text{ dB}</math>, <math>\text{BW} \leq 40\text{MHz}</math>  <math>\pm 1.6\text{ dB}</math>, <math>40\text{MHz} &lt; \text{BW} \leq 100\text{MHz}</math></p> <p><math>4.2\text{GHz} &lt; f \leq 6.0\text{GHz}</math>  <math>\pm 1.3\text{ dB}</math>, <math>\text{BW} \leq 20\text{MHz}</math>  <math>\pm 1.5\text{ dB}</math>, <math>20\text{MHz} &lt; \text{BW} \leq 40\text{MHz}</math>  <math>\pm 1.6\text{ dB}</math>, <math>40\text{MHz} &lt; \text{BW} \leq 100\text{MHz}</math></p>	<p>Narrow band blocking, using CW interferer:</p> <p>Interferer ACLR not applicable  Impact of interferer Broadband noise 0.8dB</p> <p>Figures are combined to give Test System uncertainty, using formula given for 7.6.2</p>
7.7 Spurious response	Same as 7.6.3	Same as 7.6.3
7.8.2 Wide band Intermodulation	<p>Intermodulation  <math>\pm 2.3\text{dB}</math>, <math>f \leq 3.0\text{GHz}</math>  <math>\pm 3.1\text{dB}</math>, <math>3.0\text{GHz} &lt; f \leq 4.2\text{GHz}</math>  <math>\pm 4.3\text{dB}</math>, <math>4.2\text{GHz} &lt; f \leq 6.0\text{GHz}</math></p> <p>Uplink power measurement  <math>f \leq 3.0\text{GHz}</math>  <math>\pm 0.7\text{ dB}</math>, <math>\text{BW} \leq 40\text{MHz}</math>  <math>\pm 1.4\text{ dB}</math>, <math>40\text{MHz} &lt; \text{BW} \leq 100\text{MHz}</math></p> <p><math>3.0\text{GHz} &lt; f \leq 4.2\text{GHz}</math>  <math>\pm 1.0\text{ dB}</math>, <math>\text{BW} \leq 40\text{MHz}</math>  <math>\pm 1.6\text{ dB}</math>, <math>40\text{MHz} &lt; \text{BW} \leq 100\text{MHz}</math></p> <p><math>4.2\text{GHz} &lt; f \leq 6.0\text{GHz}</math>  <math>\pm 1.3\text{ dB}</math>, <math>\text{BW} \leq 20\text{MHz}</math>  <math>\pm 1.5\text{ dB}</math>, <math>20\text{MHz} &lt; \text{BW} \leq 40\text{MHz}</math>  <math>\pm 1.6\text{ dB}</math>, <math>40\text{MHz} &lt; \text{BW} \leq 100\text{MHz}</math></p>	<p>Overall intermodulation uncertainty comprises three quantities:</p> <ol style="list-style-type: none"> <li>1. Wanted signal level error</li> <li>2. CW Interferer level error</li> <li>3. Modulated Interferer level error</li> </ol> <p>Effect of interferer ACLR has not been included as modulated interferer has larger frequency offset</p> <p>The effect of the closer CW signal has twice the effect.</p> <p>Items 1, 2 and 3 are assumed to be uncorrelated so can be root sum squared to provide the combined effect of the three signals.</p> <p>Test System uncertainty =  <math>\text{SQRT} [(2 \times \text{CW\_level\_error})^2 + (\text{mod interferer\_level\_error})^2 + (\text{wanted signal\_level\_error})^2]</math></p>

7.9 Spurious emissions	for results > -60 dBm: ±2.0 dB, 9kHz < f ≤ 3GHz ±2.5 dB, 3GHz < f ≤ 4GHz ±4.0 dB, 4GHz < f ≤ 19GHz ±6.0 dB, 19GHz < f ≤ 26GHz	
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## F.2 Interpretation of measurement results (normative)

The measurement results returned by the Test System are compared – without any modification – against the Test Requirements. The Test Requirement is defined as a threshold considered in a test to assess compliance of the device; it might be either equal or relaxed compared to the corresponding core specification value by an amount defined in Annex F.3 as Test Tolerance.

The “Shared Risk” principle is defined in Rec. ITU-R M.1545.

The actual measurement uncertainty of the Test System for the measurement of each parameter shall be included in the test report.

The recorded value for the Test System uncertainty shall be, for each measurement, equal to or lower than the appropriate figure in clause F.1 of the present document.

If the Test System for a test is known to have a measurement uncertainty greater than that specified in clause F.1, it is still permitted to use this apparatus provided that an adjustment is made value as follows:

Any additional uncertainty in the Test System over and above that specified in clause F.1 shall be used to tighten the Test Requirement, making the test harder to pass. For some tests, for example receiver tests, this may require modification of stimulus signals. This procedure will ensure that a Test System not compliant with clause F.1 does not increase the chance of passing a device under test where that device would otherwise have failed the test if a Test System compliant with clause F.1 had been used.

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## F.3 Test Tolerance and Derivation of Test Requirements (informative)

The Test Requirements in the present document have been calculated by relaxing the Minimum Requirements of the core specification using the Test Tolerances defined in this clause. When the Test Tolerance is zero, the Test Requirement will be the same as the Minimum Requirement. When the Test Tolerance is non-zero, the Test Requirements will differ from the Minimum Requirements, and the formula used for the relaxation is given in this clause.

The Test Tolerances are derived from Test System uncertainties, regulatory requirements and criticality to system performance. As a result, the Test Tolerances may sometimes be set to zero.

The test tolerances should not be modified for any reason e.g. to take account of commonly known test system errors (such as mismatch, cable loss, etc.).

The downlink Test Tolerances apply at each receiver antenna connector.

### F.3.1 Measurement of test environments

The UE test environments are set to the values defined in TS 38.508-1 subclause 4.1, without any relaxation. The applied Test Tolerance is therefore zero.

## F.3.2 Measurement of transmitter

**Table F.3.2-1: Derivation of Test Requirements (Transmitter tests)**

Sub clause	Test Tolerance (TT)	Formula for test requirement
6.2.1 UE maximum output power	$f \leq 3.0\text{GHz}$ 0.7 dB, $BW \leq 40\text{MHz}$ 1.0 dB, $40\text{MHz} < BW \leq 100\text{MHz}$  $3.0\text{GHz} < f \leq 6.0\text{GHz}$ 1.0 dB, $BW \leq 100\text{MHz}$	Upper limit + TT, Lower limit - TT
6.2.2 Maximum Power Reduction (MPR)	$f \leq 3.0\text{GHz}$ 0.7 dB, $BW \leq 40\text{MHz}$ 1.0 dB, $40\text{MHz} < BW \leq 100\text{MHz}$  $3.0\text{GHz} < f \leq 6.0\text{GHz}$ 1.0 dB, $BW \leq 100\text{MHz}$	Upper limit + TT, Lower limit - TT
6.2.3 UE additional maximum output power reduction	$f \leq 3.0\text{GHz}$ 0.7 dB, $BW \leq 40\text{MHz}$ 1.0 dB, $40\text{MHz} < BW \leq 100\text{MHz}$  $3.0\text{GHz} < f \leq 6.0\text{GHz}$ 1.0 dB, $BW \leq 100\text{MHz}$	Upper limit + TT, Lower limit - TT
6.2.4 Configured transmitted power	$f \leq 3.0\text{GHz}$ 0.7 dB, $BW \leq 40\text{MHz}$ 1.0 dB, $40\text{MHz} < BW \leq 100\text{MHz}$  $3.0\text{GHz} < f \leq 6.0\text{GHz}$ 1.0 dB, $BW \leq 100\text{MHz}$	Upper limit + TT, Lower limit - TT
6.3.1 Minimum output power	$f \leq 3.0\text{GHz}$ 1.0 dB, $BW \leq 40\text{MHz}$ 1.3 dB, $40\text{MHz} < BW \leq 100\text{MHz}$  $3.0\text{GHz} < f \leq 6.0\text{GHz}$ 1.3 dB, $BW \leq 100\text{MHz}$	Minimum requirement + TT
6.3.2 Transmit OFF power	$f \leq 3.0\text{GHz}$ 1.5 dB, $BW \leq 40\text{MHz}$ 1.7 dB, $40\text{MHz} < BW \leq 100\text{MHz}$  $3.0\text{GHz} < f \leq 6.0\text{GHz}$ 1.8 dB, $BW \leq 100\text{MHz}$	Minimum requirement + TT
6.3.3.2 General ON/OFF time mask	$f \leq 3.0\text{GHz}$ 1.5 dB, $BW \leq 40\text{MHz}$ 1.7 dB, $40\text{MHz} < BW \leq 100\text{MHz}$  $3.0\text{GHz} < f \leq 6.0\text{GHz}$ 1.8 dB, $BW \leq 100\text{MHz}$	<u>OFF Power:</u> Minimum requirement + TT  <u>ON Power:</u> Upper limit + TT, Lower limit - TT
6.3.4.2 Absolute power tolerance	<u>UL Power <math>\geq 0\text{dBm}</math></u> $f \leq 3.0\text{GHz}$ [1.0] dB, $BW \leq 40\text{MHz}$ [1.4] dB, $40\text{MHz} < BW \leq 100\text{MHz}$  $3.0\text{GHz} < f \leq 6.0\text{GHz}$ [1.4] dB, $BW \leq 100\text{MHz}$	Upper limit + TT, Lower limit – TT  Core requirement is still FFS.
6.3.4.3 Power Control Relative power tolerance	[0.7] dB, $BW \leq 100\text{MHz}$	Upper limit + TT, Lower limit – TT  Core requirement is still FFS.
6.3.4.4 Aggregate power tolerance	[0.7] dB, $BW \leq 100\text{MHz}$	Upper limit + TT, Lower limit – TT  Core requirement is still FFS.
6.4.1 Frequency Error	15 Hz	<u>Modulated carrier frequency:</u> Upper limit + TT, Lower limit – TT  <u>DL power:</u> REFSSENS + TT

6.4.2.1 Error Vector Magnitude	For up to 64QAM 0%  For 256QAM $f \leq 6.0\text{GHz}$ , $BW \leq 100\text{MHz}$ 0.3%, $15\text{dBm} < P_{UL}$ 0.8%, $-25\text{dBm} < P_{UL} \leq 15\text{dBm}$ , 1.1%, $-40\text{dBm} \leq P_{UL} \leq -25\text{dBm}$	Minimum requirement + TT
6.4.2.2 Carrier Leakage	0.8 dB, $BW \leq 100\text{MHz}$	Minimum requirement + TT
6.4.2.3 In-band emissions	0.8 dB, $BW \leq 100\text{MHz}$	Minimum requirement + TT
6.4.2.4 EVM equalizer spectrum flatness	1.4 dB, $BW \leq 100\text{MHz}$	Minimum requirement + TT
6.5.1 Occupied bandwidth	FFS	Minimum requirement + TT
6.5.2.2 Spectrum Emission Mask	1.5 dB, $f \leq 3.0\text{GHz}$ 1.8 dB, $3.0\text{GHz} < f \leq 6.0\text{GHz}$	Minimum requirement + TT
6.5.2.3 Additional spectrum emission mask	1.5 dB, $f \leq 3.0\text{GHz}$ 1.8 dB, $3.0\text{GHz} < f \leq 6.0\text{GHz}$	Minimum requirement + TT
6.5.2.4.1 NR ACLR	<u>Absolute requirement</u> 0 dB  <u>Relative requirement</u> 0.8 dB	<u>Absolute requirement</u> ACLR Minimum Requirement + TT  <u>Relative requirement</u> ACLR Minimum Requirement + TT
6.5.2.4.2 UTRA ACLR	Same as 6.5.2.4.1	Same as 6.5.2.4.1
6.5.3.1 General spurious emissions	0 dB	Minimum requirement + TT
6.5.3.2 Spurious emission for UE co-existence	0 dB	Minimum requirement + TT
6.5.3.3 Additional spurious emissions	0 dB	Minimum requirement + TT
6.5.4 Transmit intermodulation	0 dB	CW interferer Minimum Requirement - TT

### F.3.3 Measurement of receiver

**Table F.3.3-1: Derivation of Test Requirements (Receiver tests)**

Sub clause	Test Tolerance (TT)	Formula for test requirement
7.3.2 Reference sensitivity power level	0.7 dB, $f \leq 3.0\text{GHz}$ 1.0 dB, $3.0\text{GHz} < f \leq 6.0\text{GHz}$	Reference sensitivity power level + TT  T-put limit unchanged
7.4 Maximum input level	0.7 dB, $f \leq 3.0\text{GHz}$ 1.0 dB, $3.0\text{GHz} < f \leq 6.0\text{GHz}$	Maximum input level - TT
7.5 Adjacent channel selectivity	0 dB  <u>Uplink power</u> <u><math>f \leq 3.0\text{GHz}</math></u> 0.7 dB, $\text{BW} \leq 40\text{MHz}$ 1.0 dB, $40\text{MHz} < \text{BW} \leq 100\text{MHz}$  <u><math>3.0\text{GHz} &lt; f \leq 6.0\text{GHz}</math></u> 1.0 dB, $\text{BW} \leq 100\text{MHz}$	Wanted signal power + TT  Interferer signal power unchanged T-put limit unchanged
7.6.2 Inband Blocking	0 dB  <u>Uplink power</u> <u><math>f \leq 3.0\text{GHz}</math></u> 0.7 dB, $\text{BW} \leq 40\text{MHz}$ 1.0 dB, $40\text{MHz} < \text{BW} \leq 100\text{MHz}$  <u><math>3.0\text{GHz} &lt; f \leq 6.0\text{GHz}</math></u> 1.0 dB, $\text{BW} \leq 100\text{MHz}$	Wanted signal power + TT  Interferer signal power unchanged T-put limit unchanged
7.6.3 Out-of-band blocking	0 dB	Wanted signal power + TT  Interferer signal power unchanged T-put limit unchanged
7.6.4 Narrow band blocking	0 dB	Wanted signal power + TT  Interferer signal power unchanged T-put limit unchanged
7.7 Spurious response	0 dB	Wanted signal power + TT  Interferer signal power unchanged T-put limit unchanged
7.8.2 Wide band Intermodulation	0 dB	Wanted signal power + TT  CW Interferer signal power unchanged Modulated Interferer signal power unchanged T-put limit unchanged
7.9 Spurious emissions	0 dB	Minimum requirement + TT

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# Annex G (normative): Uplink Physical Channels

## G.0 Uplink Signal Levels

Uplink signal power is a UE figure, which is configured by the Test System by means of:

RRC messages (IE-s), such as:

- PUSCH-PowerControl
- PUCCH-PowerControl
- RACH-ConfigGeneric
- SRS-Config

and L1/2 Power control commands (TPC).

The uplink power settings are specified in the test case.

Otherwise, the uplink power settings result from the default RRC messages described in 3GPP TS 38.508 [5], and appropriate TPC-s, which are sent to the UE to transmit with an UL power level necessary for maintaining the call during the test.

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## G.1 General

This annex specifies the uplink physical channels that are needed for setting a connection and channels that are needed during a connection. Table G.1-1 describes the mapping of uplink physical channels and signals to physical resources

**Table G.1-1: Mapping of uplink physical channels and signals to physical resources**

Physical channel	Time Domain Location	Frequency Domain Location	Note
PRACH	Allowed by the parameter prach-ConfigurationIndex provided by higher layers	Allowed by the parameter msg1-FrequencyStart provided by higher layers	Mapping rule is specified in TS 38.211 [8] Section 6.3.3
DMRS	For DMRS on PUCCH format 1: Every other symbols i.e., 0, 2, 4... For DMRS on PUCCH format 2: All the PUCCH symbols For DMRS on PUCCH format 3,4: PUCCH length dependent  For One symbol DMRS on PUSCH: Symbol 0 of each slot	DMRS on CP-OFDM PUSCH: Specified by the parameters <i>dmrs-Type</i> provided by higher layers.  DMRS on DFT-OFDM PUSCH: Allowed for DMRS configuration type1  DMRS on PUCCH: PUCCH bandwidth dependent.	Mapping rule of DMRS for PUCCH is specified in TS 38.211 [8] Section 6.4.1.3  Mapping rule of DMRS for PUSCH is specified in TS 38.211 [8] Sections 6.4.1.1, 6.4.1.2
PUCCH	For PUCCH Format 0: 1 ~ 2 symbols each slot, specified by the parameters of <i>nrofSymbols</i> and <i>startingSymbolIndex</i> in PUCCH-format0 provided by the higher layer.  For PUCCH Format 1: 4 ~ 14 symbols each slot, specified by the parameters of <i>nrofSymbols</i> and those of <i>startingSymbolIndex</i> of PUCCH-format1 provided by the higher layer.  For PUCCH Format 2, 1 ~ 2 symbols each slot, specified by the parameters of <i>nrofSymbols</i> and <i>startingSymbolIndex</i> in PUCCH-format2 provided by the higher layer.  For PUCCH Format 3: 4 ~ 14 symbols each slot, allowed by the parameters of <i>nrofSymbols</i> and <i>startingSymbolIndex</i> in PUCCH-format3, provided by the higher layer.  For PUCCH Format 4: 4 ~ 14 symbols each slot, specified by the parameters of <i>nrofSymbols</i> and <i>startingSymbolIndex</i> in PUCCH-format4, provided by higher layer.	For PUCCH Format 0, 1 1 RB, the position specified by the parameters of <i>startingPRB</i> and <i>intraSlotFrequencyHopping</i> in the corresponding PUCCH-Resource provided by the higher layer.  For PUCCH Format 2, 3: 1~16 RBs, specified by the parameter of <i>nrofPRBs</i> in PUCCH-format2 and PUCCH-format3 respectively; additionally the position specified by the parameters of <i>startingPRB</i> and <i>intraSlotFrequencyHopping</i> in the corresponding PUCCH-Resource provided by the higher layer.  For PUCCH Format 4 1 RB, the position specified by the parameters of <i>startingPRB</i> and <i>intraSlotFrequencyHopping</i> in the corresponding PUCCH-Resource provided by the higher layer	Mapping rule is specified in TS 38.211 [8] Section 6.3.2 and 38.213 [9] Section 9.2
PUSCH	All remaining uplink symbols of each slot not allocated to DMRS	RBs allocated according to Reference Measurement channel in Annex A.2	Mapping rule is specified in TS 38.211 [8] Section 6.3 and 38.214 [12] Section 6.1
SRS	1, 2, or 4 symbols among the last 6 symbols in each SRS transmission slot specified by the parameters of <i>resourceMapping</i> , and <i>resourceType</i> in SRS-Config provided by the higher layer.	RBs specified by the ue-specific parameters of <i>freqDomainPosition</i> , <i>freqDomainShift</i> and <i>freqHopping</i> in SRS-Config provided by the higher layer.	Mapping rule is specified in TS 38.211 [8] Section 6.4.1.4.3

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## G.2 Set-up

Table G.2-1 describes the uplink physical channels that are required for connection set up.

**Table G.2-1: Uplink Physical Channels required for connection set-up**

Physical Channel
PRACH
DMRS
PUCCH
PUSCH

---

## G.3 Connection

The following clauses describes the uplink physical channels that are transmitted during a connection i.e., when measurements are done.

### G.3.0 Measurement of Transmitter Characteristics

As specified in the test case. Otherwise:

- PUSCH + DMRS for PUSCH (and DMRS) measurements.
- PUCCH + DMRS for PUCCH (and DMRS) measurements.
- PRACH for PRACH measurements.

SRS for SRS measurements.

### G.3.1 Measurement of Receiver Characteristics

As specified in the test case. Otherwise:

- PUSCH + DMRS for measurements with uplink interference configured.
- PUCCH + DMRS for measurements without uplink interference configured.

### G.3.2 Measurement of Performance Requirements

As specified in the test case. Otherwise:

PUCCH + DMRS for measurements without CSI feedback, or with CSI feedback in PUCCH mode.

PUSCH + DMRS for measurements with CSI feedback in PUSCH mode.

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# Annex H (normative): Statistical Testing

## H.1 General

FFS.

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## H.2 Statistical testing of receiver characteristics

### H.2.1 General

The test of receiver characteristics is two fold.

1. A signal or a combination of signals is offered to the RX port(s) of the receiver.
2. The ability of the receiver to demodulate /decode this signal is verified by measuring the throughput.

In (2) is the statistical aspect of the test and is treated here.

The minimum requirement for all receiver tests is >95% of the maximum throughput.

All receiver tests are performed in static propagation conditions. No fading conditions are applied.

### H.2.2 Mapping throughput to error ratio

- a) The measured information bit throughput  $R$  is defined as the sum (in kilobits) of the information bit payloads successfully received during the test interval, divided by the duration of the test interval (in seconds).
- b) In measurement practice the UE indicates successfully received information bit payload by signalling an ACK to the SS.  
If payload is received, but damaged and cannot be decoded, the UE signals a NACK.
- c) Only the ACK and NACK signals, not the data bits received, are accessible to the SS.  
The number of bits is known in the SS from knowledge of what payload was sent.
- d) For the reference measurement channel, applied for testing, the number of bits is different in different subframes, however in a radio frame it is fixed during one test.
- e) The time in the measurement interval is composed of successfully received subframes (ACK), unsuccessfully received subframes (NACK) and no reception at all (DTX-subframes).
- f) DTX-subframes may occur regularly according the applicable reference measurement channel (regDTX).  
In real live networks this is the time when other UEs are served. In TDD these are the UL and special subframes. regDTX vary from test to test but are fixed within the test.
- g) Additional DTX-subframes occur statistically when the UE is not responding ACK or NACK where it should. (statDTX)  
This may happen when the UE was not expecting data or decided that the data were not intended for it.

The pass / fail decision is done by observing the:

- number of NACKs
- number of ACKs and
- number of statDTXs (regDTX is implicitly known to the SS)

The ratio  $(\text{NACK} + \text{statDTX}) / (\text{NACK} + \text{statDTX} + \text{ACK})$  is the Error Ratio (ER). Taking into account the time consumed by the ACK, NACK, and DTX-TTIs (regular and statistical), ER can be mapped unambiguously to throughput for any single reference measurement channel test.

## H.2.3 Design of the test

The test is defined by the following design principles (see clause H.x, Theory...):

1. The early decision concept is applied.
2. A second limit is introduced: Bad DUT factor  $M > 1$
3. To decide the test pass:  
Supplier risk is applied based on the Bad DUT quality  
To decide the test fail  
Customer Risk is applied based on the specified DUT quality

The test is defined by the following parameters:

1. Limit ER = 0.05 (Throughput limit = 95%)
2. Bad DUT factor  $M = 1.5$  (selectivity)
3. Confidence level CL = 95% (for specified DUT and Bad DUT-quality)

## H.2.4 Numerical definition of the pass fail limits

Table H.2.4-1: pass fail limits

ne	ns <sub>p</sub>	ns <sub>f</sub>	ne	ns <sub>p</sub>	ns <sub>f</sub>	ne	ns <sub>p</sub>	ns <sub>f</sub>	ne	ns <sub>p</sub>	ns <sub>f</sub>
0	67	NA	39	763	500	78	1366	1148	117	1951	1828
1	95	NA	40	778	516	79	1381	1166	118	1965	1845
2	119	NA	41	794	532	80	1396	1183	119	1980	1863
3	141	NA	42	810	548	81	1412	1200	120	1995	1881
4	162	NA	43	826	564	82	1427	1217	121	2010	1899
5	183	NA	44	842	580	83	1442	1234	122	2025	1916
6	202	NA	45	858	596	84	1457	1252	123	2039	1934
7	222	NA	46	873	612	85	1472	1269	124	2054	1952
8	241	NA	47	889	629	86	1487	1286	125	2069	1969
9	259	NA	48	905	645	87	1502	1303	126	2084	1987
10	278	76	49	920	661	88	1517	1321	127	2099	2005
11	296	88	50	936	678	89	1532	1338	128	2113	2023
12	314	100	51	952	694	90	1547	1355	129	2128	2040
13	332	113	52	967	711	91	1562	1373	130	2143	2058
14	349	126	53	983	727	92	1577	1390	131	2158	2076
15	367	140	54	998	744	93	1592	1407	132	2172	2094
16	384	153	55	1014	760	94	1607	1425	133	2187	2111
17	401	167	56	1029	777	95	1623	1442	134	2202	2129
18	418	181	57	1045	793	96	1637	1459	135	2217	2147
19	435	195	58	1060	810	97	1652	1477	136	2231	2165
20	452	209	59	1076	827	98	1667	1494	137	2246	2183
21	469	224	60	1091	844	99	1682	1512	138	2261	2201
22	486	238	61	1106	860	100	1697	1529	139	2275	2218
23	503	253	62	1122	877	101	1712	1547	140	2290	2236
24	519	268	63	1137	894	102	1727	1564	141	2305	2254
25	536	283	64	1153	911	103	1742	1582	142	2320	2272
26	552	298	65	1168	928	104	1757	1599	143	2334	2290
27	569	313	66	1183	944	105	1772	1617	144	2349	2308
28	585	328	67	1199	961	106	1787	1634	145	2364	2326
29	602	343	68	1214	978	107	1802	1652	146	2378	2344
30	618	359	69	1229	995	108	1817	1669	147	2393	2361
31	634	374	70	1244	1012	109	1832	1687	148	2408	2379
32	650	389	71	1260	1029	110	1847	1704	149	2422	2397
33	667	405	72	1275	1046	111	1861	1722	150	2437	2415
34	683	421	73	1290	1063	112	1876	1740	151	2452	2433
35	699	436	74	1305	1080	113	1891	1757	152	2466	2451
36	715	452	75	1321	1097	114	1906	1775	153*)	NA	2469
37	731	468	76	1336	1114	115	1921	1793			
38	747	484	77	1351	1131	116	1936	1810	*) note 2 in H.2.5		

NOTE 1: The first column is the number of errors (ne = number of NACK + statDTX)

NOTE 2: The second column is the number of samples for the pass limit (ns<sub>p</sub>, ns=Number of Samples= number of NACK + statDTX + ACK)

NOTE 3: The third column is the number of samples for the fail limit (ns<sub>f</sub>)

## H.2.5 Pass fail decision rules

The pass fail decision rules apply for a single test, comprising one component in the test vector. The over all Pass /Fail conditions are defined in clause H.2.6 and H.2.A.6

Having observed 0 errors, pass the test at 67+ samples, otherwise continue

Having observed 1 error, pass the test at 95+ otherwise continue

Having observed 2 errors, pass the test at 119+ samples, otherwise continue

Etc. etc.

Having observed 151 errors, pass the test at 2452+ samples, fail the test at 2433- samples, otherwise continue

Having observed 152 errors, pass the test at 2466+ samples, fail the test at 2451- samples.

Where x+ means: x or more, x- means x or less

NOTE 1: an ideal DUT passes after 67 samples. The maximum test time is 2466 samples.

NOTE 2: It is allowed to deviate from the early decision concept by postponing the decision (pass/fail or continue). Postponing the decision to or beyond the end of Table H.2.4-1 requires a pass fail decision against the test limit: pass the DUT for  $ER < 0.0618$ , otherwise fail.

## Annex I: Change history

Change history							
Date	Meeting	TDoc	CR	Rev	Cat	Subject/Comment	New version
2017-08	RAN5#76	R5-175705	-	-	-	Draft skeleton	0.0.1
2018-01	RAN5#1-5G-NR Adhoc	R5-180068 R5-180069 R5-180070 R5-180071 R5-180072 R5-180073 R5-180075 R5-180076 R5-180077 R5-180078 R5-180079	-	-	-	Implementation of pCRs to TS 38.521-1 V0.1.0	0.1.0
2018-01	RAN5#78	R5-181506 R5-181507 R5-181670 R5-181671 R5-181672 R5-181676 R5-181677 R5-181678 R5-181679 R5-181685 R5-181686 R5-181698 R5-181699 R5-181700	-	-	-	Implementation of pCRs to TS 38.521-1 V0.2.0	0.2.0
2018-03	RAN5#2-5G-NR Adhoc	R5-181759	-	-	-	Update TS 38.521-1 to align with new structure of TS 38.101-1 based on endorsed CR R4-1802403	0.3.0
2018-04	RAN5#2-5G-NR Adhoc	R5-81976	-	-	-	3GU mismatch	0.3.1
2018-04	RAN5#2-5G-NR Adhoc	R5-181771 R5-181833 R5-181842 R5-182000 R5-182002 R5-182003 R5-182004 R5-182005 R5-182020 R5-182021 R5-182026	-	-	-	Implementation of pCRs to TS 38.521-1 V0.4.0 Add clause 4.4 Test point analysis	0.4.0
2018-07	RAN5#79	R5-182768 R5-182973 R5-183702 R5-183703 R5-183704 R5-183705 R5-183906 R5-183936 R5-183280 R5-183923 R5-183953 R5-183954 R5-183955 R5-183956 R5-183957 R5-183958 R5-183959 R5-183960	-	-	-	Implementation of pCRs to TS 38.521-1 V0.5.0	0.5.0
2018-07	RAN5#79	R5-183960 R5-183279	-	-	-	Corrected Table numbering issues in subclause 6.5.2.4.1.4.2 Test procedure to capture R5-183960 changes into draft TS 38.521-1 v0.5.1	0.5.1
2018-07	RAN5#79	R5-182363	-	-	-	withdrawn	1.0.0

2018-08	RAN5#80	R5-185321 R5-184298 R5-185305 R5-185322 R5-185323 R5-185495 R5-185444 R5-185565 R5-185445 R5-185524 R5-184572 R5-185390 R5-184574 R5-185521 R5-185408 R5-184822 R5-185446 R5-185324 R5-185447 R5-185411 R5-185413 R5-185496 R5-185414 R5-185415 R5-185325 R5-185500 R5-185501 R5-185312 R5-185326 R5-185315 R5-185317 R5-185327 R5-185320	-	-	-	Implementation of pCRs to TS 38.521-1 V1.0.1	1.0.1
2018-09	RAN#81	-	-	-	-	raised to v15.0.0 with editorial changes only	15.0.0

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# History

<b>Document history</b>		
V15.0.0	October 2018	Publication