

# ETSI TS 133 516 V16.2.0 (2021-01)



**5G;  
5G Security Assurance Specification (SCAS)  
for the Authentication Server Function (AUSF)  
network product class  
(3GPP TS 33.516 version 16.2.0 Release 16)**



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Reference

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## Modal verbs terminology

In the present document "**shall**", "**shall not**", "**should**", "**should not**", "**may**", "**need not**", "**will**", "**will not**", "**can**" and "**cannot**" are to be interpreted as described in clause 3.2 of the [ETSI Drafting Rules](#) (Verbal forms for the expression of provisions).

"**must**" and "**must not**" are **NOT** allowed in ETSI deliverables except when used in direct citation.

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# Foreword

This Technical Specification has been produced by the 3rd Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of the present document, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

Version x.y.z

where:

- x the first digit:
  - 1 presented to TSG for information;
  - 2 presented to TSG for approval;
  - 3 or greater indicates TSG approved document under change control.
- y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.
- z the third digit is incremented when editorial only changes have been incorporated in the document.

In the present document, modal verbs have the following meanings:

- shall** indicates a mandatory requirement to do something
- shall not** indicates an interdiction (prohibition) to do something

The constructions "shall" and "shall not" are confined to the context of normative provisions, and do not appear in Technical Reports.

The constructions "must" and "must not" are not used as substitutes for "shall" and "shall not". Their use is avoided insofar as possible, and they are not used in a normative context except in a direct citation from an external, referenced, non-3GPP document, or so as to maintain continuity of style when extending or modifying the provisions of such a referenced document.

- should** indicates a recommendation to do something
- should not** indicates a recommendation not to do something
- may** indicates permission to do something
- need not** indicates permission not to do something

The construction "may not" is ambiguous and is not used in normative elements. The unambiguous constructions "might not" or "shall not" are used instead, depending upon the meaning intended.

- can** indicates that something is possible
- cannot** indicates that something is impossible

The constructions "can" and "cannot" are not substitutes for "may" and "need not".

- will** indicates that something is certain or expected to happen as a result of action taken by an agency the behaviour of which is outside the scope of the present document
- will not** indicates that something is certain or expected not to happen as a result of action taken by an agency the behaviour of which is outside the scope of the present document
- might** indicates a likelihood that something will happen as a result of action taken by some agency the behaviour of which is outside the scope of the present document

**might not** indicates a likelihood that something will not happen as a result of action taken by some agency the behaviour of which is outside the scope of the present document

In addition:

**is** (or any other verb in the indicative mood) indicates a statement of fact

**is not** (or any other negative verb in the indicative mood) indicates a statement of fact

The constructions "is" and "is not" do not indicate requirements.

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# 1 Scope

The present document contains objectives, requirements and test cases that are specific to the AUSF network product class. It refers to the Catalogue of General Security Assurance Requirements and formulates specific adaptations of the requirements and test cases given there, as well as specifying requirements and test cases unique to the AUSF network product class.

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# 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

- [1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".
- [2] 3GPP TS 33.501 (Release 15): "Security architecture and procedures for 5G system".
- [3] 3GPP TS 33.117: "Catalogue of general security assurance requirements".
- [4] 3GPP TR 33.926: "Security Assurance Specification (SCAS) threats and critical assets in 3GPP network product classes".

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# 3 Definitions of terms, symbols and abbreviations

## 3.1 Terms

For the purposes of the present document, the terms given in 3GPP TR 21.905 [1] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in 3GPP TR 21.905 [1].

## 3.2 Symbols

Void.

## 3.3 Abbreviations

For the purposes of the present document, the abbreviations given in 3GPP TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in 3GPP TR 21.905 [1].

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# 4 AUSF-specific security requirements and related test cases

## 4.1 Introduction

AUSF specific security requirements include both requirements derived from AUSF specific security functional requirements in relevant specifications as well as security requirements introduced in the present document derived from the threats specific to AUSF as described in TR 33.926 [4].

## 4.2 AUSF-specific adaptations of security functional requirements and related test cases

### 4.2.1 Introduction

The present clause describes the security functional requirements and the corresponding test cases for AUSF network product class. The proposed security requirements are classified in two groups:

- Security functional requirements derived from TS 33.501 [2] and detailed in clause 4.2.2.
- General security functional requirements which include requirements not already addressed in TS 33.501 [2] but whose support is also important to ensure that AUSF conforms to a common security baseline detailed in clause 4.2.3.

### 4.2.2 Security functional requirements on the AUSF deriving from 3GPP specifications and related test cases

The general approach in TS 33.117 [3] clause 4.2.2.1 and all the requirements and test cases in TS 33.117 [3] clause 4.2.2.2 related to SBA/SBI aspect apply to the AUSF network product class.

There are no AUSF-specific test cases according to the security functional requirements on the AUSF deriving from TS 33.501 [2] and security requirements derived from the threats specific to AUSF as described in TR 33.926 [4].

### 4.2.3 Technical Baseline

#### 4.2.3.1 Introduction

The present clause provides baseline technical requirements.

#### 4.2.3.2 Protecting data and information

##### 4.2.3.2.1 Protecting data and information – general

There are no AUSF-specific additions to clause 4.2.3.2.1 of TS 33.117 [3].

##### 4.2.3.2.2 Protecting data and information – unauthorized viewing

There are no AUSF-specific additions to clause 4.2.3.2.2 of TS 33.117 [3].

#### 4.2.3.2.3 Protecting data and information in storage

There are no AUSF-specific additions to clause 4.2.3.2.3 of TS 33.117 [3].

#### 4.2.3.2.4 Protecting data and information in transfer

There are no AUSF-specific additions to clause 4.2.3.2.4 of TS 33.117 [3].

#### 4.2.3.2.5 Logging access to personal data

There are no AUSF-specific additions to clause 4.2.3.2.5 of TS 33.117 [3].

#### 4.2.3.3 Protecting availability and integrity

There are no AUSF-specific additions to clause 4.2.3.3 of TS 33.117 [3].

#### 4.2.3.4 Authentication and authorization

There are no AUSF-specific additions to clause 4.2.3.4 of TS 33.117 [3].

#### 4.2.3.5 Protecting sessions

There are no AUSF-specific additions to clause 4.2.3.5 of TS 33.117 [3].

#### 4.2.3.6 Logging

There are no AUSF-specific additions to clause 4.2.3.6 of TS 33.117 [3].

### 4.2.4 Operating Systems

There are no AUSF -specific additions to clause 4.2.4 of TS 33.117 [3].

### 4.2.5 Web Servers

There are no AUSF -specific additions to clause 4.2.5 of TS 33.117 [3].

### 4.2.6 Network Devices

There are no AUSF-specific additions to clause 4.2.6 of TS 33.117 [3].

## 4.3 AUSF-specific adaptations of hardening requirements and related test cases

### 4.3.1 Introduction

The present clause contains AUSF-specific adaptations of hardening requirements and related test cases.

### 4.3.2 Technical baseline

There are no AUSF-specific additions to clause 4.3.2 of TS 33.117 [3].

### 4.3.3 Operating systems

There are no AUSF-specific additions to clause 4.3.3 of TS 33.117 [3].

#### 4.3.4 Web servers

There are no AUSF-specific additions to clause 4.3.4 of TS 33.117 [3].

#### 4.3.5 Network devices

There are no AUSF-specific additions to clause 4.3.5 of TS 33.117 [3].

#### 4.3.6 Network functions in service-based architecture

There are no AUSF-specific additions to clause 4.3.6 in TS 33.117 [3].

### 4.4 AUSF-specific adaptations of basic vulnerability testing requirements and related test cases

There are no AUSF-specific additions to clause 4.4 of TS 33.117 [3].

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## Annex A (informative): Change history

Change history							
date	Meeting	TDoc	CR	Rev	Cat	Subject/Comment	New version
2019-09	SA#85					Change control version	16.0.0
2019-10						EditHelp review	16.0.1
2019-12	SA#86	SP-191138	0001	-	F	Correction for alignment	16.1.0
2020-12	SA#90e	SP-201004	0002	-	F	Reference of general SBA/SBI aspect in 33.516	16.2.0

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# History

<b>Document history</b>		
V16.1.0	October 2020	Publication
V16.2.0	January 2021	Publication