ETSI TS 125 412 V3.2.0 (2000-01)

**Universal Mobile Telecommunications System (UMTS);
UTRAN Iu Interface Signalling Transport
(3G TS 25.412 version 3.2.0 Release 1999)**

Reference
DTS/TSGR-0325412U

Keywords
UMTS

ETSI

Postal address
F-06921 Sophia Antipolis Cedex - FRANCE

Office address
650 Route des Lucioles - Sophia Antipolis

Valbonne - FRANCE
Tel.: +33 4 92 94 42 00   Fax: +33 4 93 65 47 16
Siret N° 348 623 562 00017 - NAF 742 C
Association à but non lucratif enregistrée à la
Sous-Préfecture de Grasse (06) N° 7803/88

Internet
secretariat@etsi.fr

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Foreword

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Contents

Foreword

The 3GPP have produced this Technical Specification.

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of this TS, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

Version 3.y.z

where:

x   the first digit:

1 presented to TSG for information;

2 presented to TSG for approval;

3 Indicates TSG approved document under change control.

y   the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.

z   the third digit is incremented when editorial only changes have been incorporated in the specification.

1   Scope

The present document specifies the standards for Signalling Transport to be used across Iu Interface. Iu Interface is a logical interface between the RNC and the UTRAN Core Network. This document describes how the RANAP signalling messages are transported over Iu.

2   References

The following documents contain provisions, which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.

- For a specific reference, subsequent revisions do not apply.

- For a non-specific reference, the latest version applies.

- A non-specific reference to an ETS shall also be taken to refer to later versions published as an EN with the same number.

[1]   **Q.2100** (7/94)   B-ISDN Signalling ATM Adaptation Layer (SAAL) – overview description.

[2]   **Q.2110** (7/94)   B-ISDN ATM Adaptation Layer – Service Specific Connection Oriented Protocol (SSCOP).

[3]   **Q.2140** (2/95)   B-ISDN ATM adaptation layer – Service Specific Co-ordination Function for signalling at the Network Node Interface (SSCF AT NNI).

[4]   **Q.2210** (7/96)   Message transfer part level 3 functions and messages using the services of ITU-T Recommendation Q.2140.

[5]   **I.361** (11/95) B-ISDN ATM layer specification.

[6]   **I.363.5** (8/96)   B-ISDN ATM Adaptation Layer Type 5.

[7]   **Q.711** (7/96) Functional description of the signalling connection control part

[8]   **Q.712** (7/96) Definition and function of Signalling connection control part messages

[9]   **Q.713** (7/96) Signalling connection control part formats and codes

[10]   **Q.714** (7/96) Signalling connection control part procedures

[11]   **Q.715** (7/96) Signalling connection control part user guide

[12]   **Q.716** (3/93) Signalling Connection Control Part (SCCP) performance

13]   ITU-T Rec. **I.630** (2/99) ATM Protection Switching

[14]   **IETF RFC 791** (9/1981): Internet Protocol

[15]   IETF RFC 1483 (7/1993): "Multim Protocol Encapsulation over ATM Adaptation Layer 5"

[16]   IETF RFC 2225 (4/1998): "Classical IP and ARP over ATM"

[17]   IETF RFC 768 (8/1980): "User Datagram Protocol"

[18]   R. Stewart et al, "Simple Control Transmission Protocol", draft-ieft-sigtran-sctp-v0.txt (Work In Progress), IETF, September 1999

[19]   G. Sidebottom et al, "SS7 ISUP Tunnelling", draft-ietf-sigtran-itun-00.txt (Work In Progress), IETF, June 1999

3 Definitions, symbols and abbreviations

3.1 Definitions

3.2 Symbols

3.3 Abbreviations

For the purposes of the present document, the following abbreviations apply:

AAL	ATM Adaptation Layer
AAL5	ATM Adaptation Layer 5
ATM	Asynchronous Transfer Mode
CS	Circuit Switched
IP	Internet Protocol
ITUN	SS7 ISUP Tunnelling (Adaptation layer for ISUP and SCCP for SCTP)
MTP3-B	Message Transfer Part
PS	Packet Switched
RANAP	Radio Access Network Application Part
RNC	Radio Network Controller
SAAL-NNI	Signalling ATM Adaptation Layer – Network Node Interface
SSCF	Service Specific Co-ordination Function
SCCP	Signalling Connection Control Part
SCTP	Simple Control Transmission Protocol
SSCOP	Service Specific Connection Oriented Protocol

4 ATM Layer

4.1 General

ATM shall be used in the radio network control plane according to I.361 [5].

4.2 Protection Switching at ATM Layer

If redundancy of pathways at ATM layer between CN and RNC is supported, it shall be implemented using ATM Protection Switching according to I.630 [13].

5 RANAP Signalling Bearer

5.1 Introduction

This chapter specifies the Signaling Bearer protocol stack that supports the RANAP signaling protocol.

The following requirements on the Signalling Bearer can be stated:

- Provide reliable transfer of control plane signalling messages in both connectionless mode and connection-oriented mode;

- Provide separate independent connections for distinguishing transactions with individual UE's;

- Supervise the 'UE connections' and provide connection status information to the Upper Layers for individual UE's;

- Provide networking and routing functions;

- Provide redundancy in the signalling network;

- Provide load sharing.

5.2 Signalling Bearer for Circuit Switched Domain

The following figure 1 illustrates the protocol model having Broadband Signalling System No.7 as the signalling bearer for RANAP over the Iu interface that fulfils the requirements. Figure 1 shows, for the CS domain, the point at which the service primitives are invoked. The SAP provides the SCCP primitives.
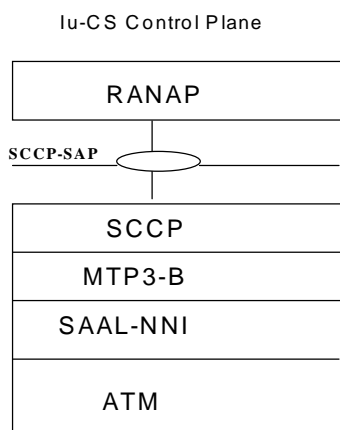
Iu-CS Control Plane

Figure 1: SAP between RANAP and its transport for Iu – CS Domain

1. **SCCP** [7] provides connectionless service, class 0, connection oriented service, class 2, separation of the connections mobile by mobile basis on the connection oriented link and establishment of a connection oriented link mobile by mobile basis.

2. **MTP3-B** [4] provides message routing, discrimination and distribution (for point-to-point link only), signalling link management load sharing and changeover/back between link within one link-set. The need for multiple link-sets is precluded.

3. **SAAL-NNI** [1] consists of the following sub-layers: - **SSCF** [3], - **SSCOP** [2] and – **AAL5** [6]. The SSCF maps the requirements of the layer above to the requirements of SSCOP. Also SAAL connection management, link status and remote processor status mechanisms are provided. SSCOP provides mechanisms for the establishment and release of connections and the reliable exchange of signalling information between signalling entities. Adapts the upper layer protocol to the requirements of the Lower ATM cells.

4. **ATM** [5]

5.3 Signalling Bearer for Packet Switched Domain

The protocol stacks for the PS Domain is shown in figure 2. The standard allows operators to chose one out of two standardised protocol to suites for transport of SCCP messages.
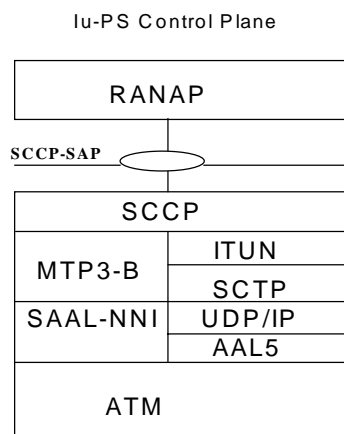
Iu-PS Control Plane

```
        ┌─────────────────────────────┐
        │           RANAP             │
        └──────────────┬──────────────┘
                       │
SCCP-SAP   ───⬭────────┴──────────────
        ┌─────────────────────────────┐
        │           SCCP              │
        ├──────────────┬──────────────┤
        │              │    ITUN      │
        │    MTP3-B    ├──────────────┤
        │              │    SCTP      │
        ├──────────────┼──────────────┤
        │   SAAL-NNI   │   UDP/IP     │
        │              ├──────────────┤
        │              │    AAL5      │
        ├──────────────┴──────────────┤
        │           ATM               │
        └─────────────────────────────┘
```

Figure 2: SAP between RANAP and its transport for the Iu –IP domain

Figure 2 shows, for the Iu IP domain, the point at which the service primitives are invoked. A single SAP is defined independently of the signalling bearer. The SAP provides the SCCP primitives. The figure is not intended to constrain the architecture.

1. **SCCP** [7] provides connectionless service, class 0, connection oriented service, class 2, separation of the connections mobile by mobile basis on the connection oriented link and establishment of a connection oriented link mobile by mobile basis.

2. **MTP3-B** [4] provides message routing, discrimination and distribution (for point-to-point link only), signalling link management load sharing and changeover/back between link within one link-set. The need for multiple link-sets is precluded.

3. **SAAL-NNI** [1] consists of the following sub-layers: - **SSCF-NNI** [3], - **SSCOP** [2] and – **AAL5** [6]. The SSCF maps the requirements of the layer above to the requirements of SSCOP. Also SAAL connection management, link status and remote processor status mechanisms are provided. SSCOP provides mechanisms for the establishment and release of connections and the reliable exchange of signalling information between signalling entities. Adapts the upper layer protocol to the requirements of the Lower ATM cells.

4. **ATM** [5]

5. **SCTP** [18] refers to the Simple Control Transmission Protocol [18] developed by the Sigtran working group of the IETF for the purpose of transporting various signalling protocols over IP networks. ITUN refers to the SCCP adaptation layer "SS7 ISUP Tunnelling " [19] also developed by the Sigtran working group of the IETF.

6. **UDP** [16] /**IP** [14] over ATM are defined in [15] and [16]

5.4 Services Provided by the Signalling Bearer

When considering the requirements that the upper layers, i.e. RANAP, have on the Signalling Bearer, there are a number of services it has to provide and a number of functions to perform. These numbers of services that the signalling bearer shall provide, to the upper layers, are stated in the references [7] to [12].

Annex A (informative): Change History

Change history					
TSG RAN#	Version	CR	Tdoc RAN	New Version	Subject/Comment
RAN_04	-	-	-	3.0.0	Approved at TSG RAN #4 by correspondence and placed under Change Control
RAN_05	3.0.0	-	-	3.1.0	Approved at TSG RAN #5
RAN_06	3.1.0	001	RP-99744	3.2.0	Approved at TSG RAN #6

Rapporteur for TS25.412 is:

Ms. Kiran Thakare

Telecom MODUS UK Ltd

Tel: +44-1372-804826

Fax: +44-1372-804804

Email: kiran.thakare@t-modus.nec.co.uk

History

Document history		
V3.2.0	January 2000	Publication