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Technical Specification

Smart Cards; Connection Oriented Service API for the Java Card[™] platform (Release 7)



Reference DTS/SCP-T006a

Keywords

API, protocol, smart card, testing, transport

ETSI

650 Route des Lucioles F-06921 Sophia Antipolis Cedex - FRANCE

Tel.: +33 4 92 94 42 00 Fax: +33 4 93 65 47 16

Siret N° 348 623 562 00017 - NAF 742 C Association à but non lucratif enregistrée à la Sous-Préfecture de Grasse (06) N° 7803/88

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Contents

Intelle	ectual Property Rights		4	
Forew	ord		4	
1	Scope			
2	References			
2.1				
2.2	Informative reference	S	6	
3		iations		
3.1				
3.3	Abbreviations		6	
4	Overall description		6	
4.1		ept		
5				
5.1	API usage			
5.1.1		nnection		
5.1.1	• F • • • • • • • • • • • • • • • • • •			
5.1.2				
5.1.3				
5.1.4 5.2	Receiving data			
5.2 5.3		communication		
5.3.1		ile sending data		
5.3.2		e reception of data is ongoing		
5.3.3	Receiving data of	more than 1 connection simultaneously	12	
5.3.4		re applications returns from DataReceived		
5.4		er proactive commands		
Anne	x A (normative):	Connection API		
Anne	x B (normative):	Connection API identifiers	14	
Anne	x C (normative):	Connection API package version management	15	
Histor	ry		16	

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- z the third digit is incremented when editorial only changes have been incorporated in the document.

1 Scope

The present document defines an Application Programming Interface for the Java $Card^{TM}$ to use transport protocols (e.g. CAT_TP as defined in TS 102 127 [1]) for CAT applications.

This stage 2 document describes the interface functionalities, the interface working mechanisms and its information flow.

2 References

References are either specific (identified by date of publication and/or edition number or version number) or non-specific.

- For a specific reference, subsequent revisions do not apply.
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2.1 Normative references

The following referenced documents are indispensable for the application of the present document. For dated references, only the edition cited applies. For non-specific references, the latest edition of the referenced document (including any amendments) applies.

- [1] ETSI TS 102 127: "Smart Cards; Transport protocol for CAT applications; Stage 2 (Release 6)".
- [2] Sun Microsystems Java Card[™] Specification: "Java CardTM 2.2.2 Virtual Machine Specification".
- NOTE: SUN Java Card Specifications can be downloaded at http://java.sun.com/products/javacard.
- [3] ETSI TS 101 220: "Smart Cards; ETSI numbering system for telecommunication application providers".
- [4] ETSI TS 102 223: "Smart Cards; Card Application Toolkit (CAT)".

5

2.2 Informative references

- [5] Sun Microsystems Java CardTM Specification: "Java CardTM 2.2.2 Application Programming Interface".
- [6] Sun Microsystems Java CardTM Specification: "Java CardTM 2.2.2 Runtime Environment (JCRE) Specification".
- [7] ETSI TS 102 221: "Smart Cards; UICC-Terminal interface; Physical and logical characteristics".
- [8] ETSI TS 102 241: "Smart Cards; UICC Application Programming Interface (UICC API) for Java Card (TM)".

3 Definitions and abbreviations

3.1 Definitions

For the purposes of the present document, the following terms and definitions apply:

BIPLink: An interface to access the physical layer by means of the Bearer Independent Protocol according to TS 102 223 [4]

Transport Layer: instance within the card framework which implements the transport protocol, e.g. CAT_TP

3.3 Abbreviations

For the purposes of the present document, the following abbreviations apply:

AID	Application IDentifier
API	Application Programming Interface
BIP	Bearer Independent Protocol
NOTE:	See TS 102 223 [4].
CAT	Card Application Toolkit
NOTE:	See TS 102 223 [4].
CAT_TP NOTE:	Card Application Toolkit Transport Protocol See TS 102 127[1].
FFS	For Further Study
JCRE	Java Card™ Runtime Environment

4 Overall description

The present document describes an API that provides applications a set of Connection Oriented Services. This API provides either direct access to the transport protocols supported by the terminal (by using the BIP) or access to transport protocol layers provided by the UICC, e.g. the CAT_TP protocol layer in the UICC.

4.1 Connection API concept

The API is based on the concept of objects that encapsulate the features of connection service (e.g. opening a service, sending and receiving data, ...).

The present document provides two variants of connection services to the application. Both variants are based on the *Connection* interface which is an abstraction of the BIP channel which is used to exchange data.

One type of connection service is accessible through the *BIPLink* interface. It provides direct access to the transport protocol stack in the terminal. The other type of connection is accessible via the *UICCTransportLink* interface. It provides an interface to a transport protocol layer deployed on the UICC, e.g. CAT_TP. The transport protocol layer on the UICC uses BIP to transport its PDU to the terminal.

Implementations of *BIPLink* interface and *UICCTransportLink* interface are based on the *Observer* design pattern. I.e. the application is notified about state changes (e.g. data received, channel closed, etc ...) by means of events sent to the *Observer* interface.

5 API architecture

For a reference documentation of all classes and interfaces of the Connection oriented Services API refer to annex A.

5.1 API usage

5.1.1 Establishing a Connection

To perform operations on a specific link it is required to establish a *Connection*; a *Connection* can be considered as a pure point to point connection on which a link can be established.

Depending on the nature of the link, the *Connection* may be shared among different links or can be used exclusively by one link. In case of sharing, all the *Connection* shall have the same parameters. Even if the links allow to share the same *Connection*, it is possible, at *Connection* instantiation time, to specify that a *Connection* cannot be shared among several links.

The *Connection* may require some specific Toolkit resources to be available to the application, e.g., in case of BIP connections, at least one BIP channel must be available to the application when the *Connection* is opened.

5.1.1 Opening a BIPLink

A *BIPLink* requires the usage of an underlying *Connection* to transport data according to the BIP protocol. The used *Connection* must be a BIP connection.



Figure 1: Opening a BIPLink

Transport Applet User Equipement Server Layer ConnectionServer.getConnection(...) Connection ConnectionServer.CreateUICCTransportLink(Connection) UICCTransportLink Short:=UICCTransporLink.Connect(...) Open Channel (UDP Parameters) **Terminal Response** Send Data(SYN) SYN **Terminal Response** SYN-ACK Envelope(Event download - data available) Receive Data Terminal Response(SYN-ACK) Send Data(ACK) ACK Terminal Response UICCTranspor Link Open

9

5.1.2 Creating an UICC Transport link

Figure 2: Creating a reliable link

The API is considered as a blocking API which means that no other applet is able to use the *uicc.toolkit.ProactiveHandler* while the call to the method *UICCTransportLink.connect()* is ongoing, i.e. the three way handshake is performed.



5.1.3 Sending data over UICC Transport Link

Figure 3: Sending data over Reliable Link

No other applet is able to use the *uicc.toolkit.ProactiveHandler* while the call to the method *UICCTransportLink.sendSDU*(...) is ongoing, i.e. that each PDU has been acknowledged.

After UICCTransportLink.sendSDU method returns, the uicc.toolkit.ProactiveHandler shall be available to the calling applet if it was available before the method invocation; however, handler content may be affected by the system during method execution.

5.1.4 Receiving data



11

Figure 4: Receiving data

Upon reception of an ENVELOPE (Event Download – Data available), the <u>uicc.toolkit.ProactiveHandler</u> is locked by the Transport Layer to perform RECEIVE DATA proactive commands; once the terminal indicates that all the available data have been received, the <u>uicc.toolkit.ProactiveHandler</u> is released by the Transport Layer to let other applications to perform proactive commands.

This behaviour might be affected by other applications, as e.g. a SMS data download which causes a proactive session might result in a CAT_TP time-out.

5.2 Multiplexing through one BIP connection

If a Connection object has been created with the multiplexingAllowed property, it can be used by several reliable links.

The Connection status is shared by all ReliableLink objects using it.

Multiplexing is not allowed for BIPLink objects.

5.3 Behaviour in duplex communication

5.3.1 Receiving data while sending data

Expected behaviour when terminal sends Envelope (Event Download- data available), either on the same BIP channel where the sending of data is ongoing or for another BIP channel:

- The reliable link layer shall receive data and handle the transport protocol (e.g. send ACK). The reliable link layer shall not trigger any application on reception of a SDU before the SDU has not been acknowledged.
- The reliable link protocol implementation (e.g. CAT_TP protocol implementation) handles buffer space by adjusting window size for active CAT_TP connections.

5.3.2 Sending data while reception of data is ongoing

In line with the behaviour defined in the previous clause sending while receiving is possible and shall be supported.

5.3.3 Receiving data of more than 1 connection simultaneously

This shall be supported by the CAT_TP API implementation.

5.3.4 Sending data before applications returns from DataReceived

This case shall be allowed. Note that no conflict can occur because the application will not be triggered while a call to the blocking ReliableLink.send() method is ongoing.

5.4 Interference with other proactive commands

Proactive command (like e.g. Select Item) sent from any application may block the toolkit protocol and thus prevent the card from receiving PDUs. This may result in timeouts in the CAT-TP protocol. This behaviour is caused by limitations of the Toolkit protocol and cannot be avoided.

While sending data the CAT_TP layer shall lock the Proactive Handler to avoid that other applications interfere with the sending of CAT_TP data. This behaviour is required in order to be able to receive the ACK PDU from the server in order to avoid retransmissions.

Annex A (normative): Connection API

The source files for the uicc.connection package (102267_Annex_A_Java.zip and 102267_Annex_A_HTML.zip) are contained in ts_102267v070000p0.zip which accompanies the present document.

13

Annex B (normative): Connection API identifiers

The export files for the uicc.connection package (102267_Annex_B_Export_Files.zip) are contained in $ts_102267v070000p0.zip$ which accompanies the present document.

NOTE: See the "Java Card[™] 2.2.2 Virtual Machine Specification" [2].

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Annex C (normative): Connection API package version management

Table C.1 describes the relationship between each TS 102 267 specification version and its Connection Oriented Service API packages AID and Major, Minor versions defined in the export files.

Table C.1

TS 102 267 uicc.connection pack		
	AID	Major, Minor
	A0 00 00 00 09 00 05 FF FF FF FF 89 14 00 00 00	1.0

The package AID coding is defined in TS 101 220 [3]. The Connection Oriented Service API package AID is not modified by changes to Major or Minor Version.

The Major Version shall be incremented if a change to the specification introduces byte code incompatibility with the previous version.

The Minor Version shall be incremented if a change to the specification does not introduce byte code incompatibility with the previous version.

History

Document history					
V7.0.0	August 2007	Publication			