

# ETSI TS 102 226 V7.1.0 (2006-07)

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*Technical Specification*

## **Smart Cards; Remote APDU structure for UICC based applications (Release 7)**

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Reference

RTS/SCP-T02850R1

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Keywords

protocol, smart card

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## Foreword

This Technical Specification (TS) has been produced by ETSI Technical Committee Smart Card Platform (SCP).

It is based on work originally done in the 3GPP in TSG-terminals WG3 and ETSI SMG.

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# 1 Scope

The present document defines the remote management of the UICC based on the secured packet structure specified in TS 102 225 [1].

It specifies the APDU format for remote management.

- Furthermore the present document specifies: a set of commands coded according to this APDU structure and used in the remote file management on the UICC. This is based on TS 102 221 [2].
- A set of commands coded according to this APDU structure and used in the remote application management on the UICC. This is based on the GlobalPlatform Card Specification [4].

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# 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication and/or edition number or version number) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to an EP SCP document, a non-specific reference implicitly refers to the latest version of that document in the same Release as the present document.

Referenced documents which are not found to be publicly available in the expected location might be found at <http://docbox.etsi.org/Reference>.

[1] ETSI TS 102 225: "Smart Cards; Secured packet structure for UICC based applications (Release 7)".

[2] ETSI TS 102 221: "Smart Cards; UICC-Terminal interface; Physical and logical characteristics (Release 7)".

[3] ETSI TS 102 223: "Smart Cards; Card Application Toolkit (CAT) (Release 7)".

[4] GlobalPlatform (March 2003): "GlobalPlatform Card Specification, Version 2.1.1".

NOTE: See <http://www.globalplatform.org/>.

[5] ETSI TS 101 220: "Smart cards; ETSI numbering system for telecommunication application providers (Release 7)".

[6] ETSI TS 102 241: "Smart Cards; UICC Application Programming Interface (UICC API) for Java Card (TM) (Release 7)".

[7] GlobalPlatform: "GlobalPlatform Card Specification Version 2.0.1".

NOTE: See <http://www.globalplatform.org/>.

[8] GlobalPlatform: "Card Specification 2.1 Compliance Packages Version 1.1".

NOTE: See <http://www.globalplatform.org/>.

[9] ETSI TS 102 222: "Integrated Circuit Cards (ICC); Administrative commands for telecommunications applications (Release 6)".

[10] ETSI TS 123 048: "Digital cellular telecommunications system (Phase 2+); Universal Mobile Telecommunications System (UMTS); Security mechanisms for the (U)SIM application toolkit; Stage 2 (3GPP TS 23.048 version 5.9.0 Release 5)".

[11] ETSI TS 102 127: "Smart Cards; Transport protocol for CAT applications; Stage 2 (Release 6)".

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## 3 Definitions and abbreviations

### 3.1 Definitions

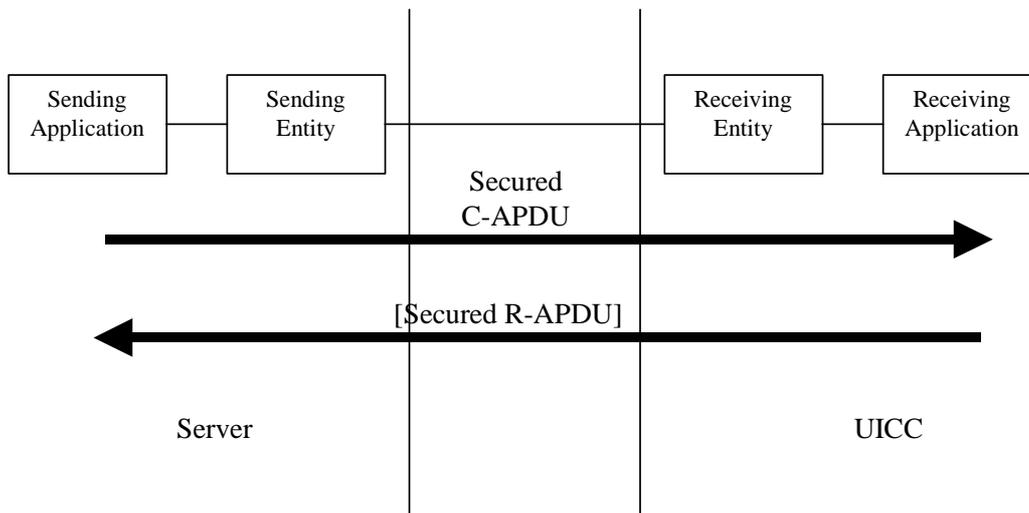
For the purposes of the present document, the terms and definitions given in TS 102 225 [1] and TS 101 220 [5] apply.

### 3.2 Abbreviations

For the purposes of the present document, the abbreviations given in TS 102 225 [1] and the following apply:

ACK	ACknowledge
ADD	Access Domain Data
ADF	Application Data File
ADP	Access Domain Parameter
AES	Advanced Encryption Standard
AID	Application IDentifier
APDU	Application Protocol Data Unit
API	Application Programming Interface
BER-TLV	Basic Encoding Rules - Tag, Length, Value
BIP	Bearer Independent Protocol
C-APDU	Command Application Protocol Data Unit
CBC	Cell Broadcast Centre
CLA	Class
DAP	Data Authentication Pattern
DEK	Data Encryption Key
DES	Data Encryption Standard
ICCID	Integrated Circuit Card IDentification
INS	INstruction
KID	Key and algorithm IDentifier for RC/CC/DS
PDU	Packet Data Unit
MAC	Message Authentication Code
MF	Management Field
MSL	Minimum Security Level
MSLD	Minimum Security Level Data
RAM	Remote Application Management
R-APDU	Response Application Protocol Data Unit
RFM	Remote File Management
RFU	Reserved for Future Use
SDU	Service Data Unit
TAR	Toolkit Application Reference
TLV	Tag Length Value

## 4 Overview of remote management



**Figure 4.1: Remote management**

All data exchanged between the Sending Entity and Receiving Entity shall be formatted as "Secured data" according to TS 102 225 [1]:

- 1) The parameter(s) in the "Secured data" is either a single command, or a list of commands, which shall be processed sequentially.
- 2) The Remote Management application shall take parameters from the "Secured data" and shall act upon the files or applications according to these parameters. A Remote Management application is the on-card Receiving Application that performs either Remote File Management (RFM) or Remote Application Management (RAM) as defined in the following clauses.
- 3) Remote Management commands shall be executed by the dedicated Remote Management Application (RAM). A Command "session" is defined as starting upon receipt of the parameter/command list, and ends when the parameter list in the "Secured data" is completed, or when an error (i.e. SW1 of the command indicates an error condition) is detected which shall halt further processing of the command list. Warnings or procedure bytes do not halt processing of the command list.
- 4) At the beginning and end of a Command "session" the logical state of the UICC as seen from the terminal shall not be changed to an extent sufficient to disrupt the behaviour of the terminal. If changes in the logical state have occurred that the terminal needs to be aware of, the application on the UICC may issue a REFRESH command according to TS 102 223 [3]. However, this is application dependent and therefore out of scope of the present document.

## 5 Remote APDU format

### 5.1 Compact Remote Application data format

#### 5.1.1 Compact Remote command structure

A command string may contain a single command or a sequence of commands. The structure of each command shall be according to the generalized structure defined below; each element other than the Data field is a single octet (see TS 102 221 [2]).

The format of the commands is the same as the one defined in TS 102 221 [2] for T = 0 TPDU commands.

Class byte (CLA)	Instruction code (INS)	P1	P2	P3	Data
------------------	------------------------	----	----	----	------

If the sending application needs to retrieve the Response parameters/data of a case 4 command, then a GET RESPONSE command shall follow this command in the command string.

The GET RESPONSE and any case 2 command (i.e. READ BINARY, READ RECORD) shall only occur once in a command string and, if present, shall be the last command in the string.

For all case 2 commands and for the GET RESPONSE command, if P3 = '00', then the UICC shall send back all available response parameters/data e.g. if a READ RECORD command has P3='00' the whole record shall be returned. The limitation of 256 bytes does not apply for the length of the response data. In case the data is truncated in the response, the remaining bytes are lost and the status words shall be set to '62 F1'.

## 5.1.2 Compact Remote response structure

If a proof of Receipt is required by the sending entity, the Additional Response Data sent by the Remote Management Application shall be formatted according to table 5.1.

**Table 5.1: Format of additional response data**

Length	Name
1	Number of commands executed within the command script (see note)
2	Status bytes or '61 xx' procedure bytes of last executed command / GET RESPONSE
X	Response data of last executed command / GET RESPONSE if available (i.e. if the last command was a case 2 command or a GET RESPONSE)
NOTE:	This field shall be set to '01' if one command was executed within the command script, '02' if two commands were executed, etc.

## 5.2 Expanded Remote Application data format

### 5.2.1 Expanded Remote command structure

The "Secured data" sent to a Remote Management Application shall be a BER-TLV data object formatted according to table 5.2.

**Table 5.2: Expanded format of Remote Management application command "secured data"**

Length in bytes	Name
1	Command Scripting template tag
L1	Length of Command Scripting template= A+B+...C
A	C-APDU
B	C-APDU
	...
C	C-APDU

The Command Scripting template is a BER-TLV data object as defined in TS 101 220 [5] and the tag of this TLV is defined in annex A.

A Remote Management application command string may contain a single or several C-APDU TLVs.

The structure of each C-APDU shall be a TLV structure coded according to the C-APDU COMPREHENSION-TLV data object coding defined in TS 102 223 [3]. The restriction on the length of the C-APDU mentioned in the note in TS 102 223 [3] shall not apply.

For all case 2 and case 4 C-APDUs, if Le='00' in the C-APDU, then the UICC shall send back all available response parameters/data in the R-APDU e.g. if a READ RECORD command has Le='00' the whole record shall be returned. The limitation of 256 bytes does not apply for the length of the response data.

In case the data is truncated in the response of a C-APDU, the status words for this C-APDU shall be set to '62 F1' in the corresponding R-APDU. This shall terminate the processing of the command list.

If a R-APDU fills the response buffer so that no further R-APDU can be included in the response scripting template, this shall terminate the processing of the command list.

If Le field is empty in the C-APDU, then no response data is expected in the R-APDU. In that case, no R-APDU shall be returned by the UICC in the application additional response data except if the corresponding C-APDU is the last command executed in the script.

NOTE: In this expanded format the GET RESPONSE command is not used.

## 5.2.2 Expanded Remote response structure

The additional response application data which may be sent by a Remote Management application is a BER-TLV data object and shall be formatted according to table 5.3.

**Table 5.3: Expanded Format of Remote Management application additional response data**

Length in bytes	Name
1	Response Scripting template tag
L2	Length of Response Scripting template= X+A+B...C
X	Number of executed C-APDUs TLV object
A	R-APDU of first executed case 2/ case 4 C-APDU in the script
B	R-APDU of second executed case 2/ case 4 C-APDU in the script
	...
C	R-APDU of last executed C-APDU (case 1, 2, 3 or 4) in the script
NOTE: If the last executed C-APDU is a case 2 or case 4 command, its corresponding R-APDU TLV shall only be present once in the Response Scripting template.	

The Response Scripting template is a BER-TLV data object as defined in TS 101 220 [5] and the tag of this TLV is defined in annex A.

The Number of executed C-APDUs TLV is a BER-TLV data object and shall be coded as shown in table 5.4.

**Table 5.4: Number of executed C-APDUs TLV**

Length in bytes	Description
1	Number of executed C-APDUs tag
1	Length=X
X	Number of executed C-APDUs

The Number of executed C-APDUs tag is defined in annex A. The Number of executed C-APDUs value corresponds to the number of C-APDUs executed within the command script.

The structure of each R-APDU shall be a TLV structure coded according to the R-APDU COMPREHENSION-TLV data object coding defined in TS 102 223 [3]. The restriction on the length of the R-APDU mentioned in the note in TS 102 223 [3] shall not apply. For Le='00', the length of the R-APDU may be coded on more than two bytes.

A Remote Management application response string may contain a single or several R-APDU TLVs.

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## 6 Security parameters assigned to applications

### 6.1 Minimum Security Level (MSL)

The Minimum Security Level (MSL) is used to specify the minimum level of security to be applied to Secured Packets sent to any Receiving Application. The Receiving Entity shall check the Minimum Security Level before processing the security of the Command Packet. If the check fails, the Receiving Entity shall reject the messages and a Response Packet with the "Insufficient Security Level" Response Status Code (see TS 102 225 [1]) shall be sent if required.

A Minimum Security Level as described in clause 8.2.1.3.2.4 shall be assigned to each Remote Management application (RFM/RAM).

### 6.2 Access domain

The Access Domain is a parameter used to define the access rights granted to an Application allowing it to perform operations on UICC files specified in TS 102 221 [2]. Access Conditions of UICC Files shall be coded as defined in TS 102 221 [2].

The access rights granted to an application by its Access Domain shall be independent from the access rights granted at the UICC/Terminal interface.

NOTE: This implies in particular that the status of a secret code (e.g. disabled PIN1, blocked PIN2, etc.) at the UICC/Terminal interface does not affect the access rights granted to an application.

An Access Domain as described in clause 8.2.1.3.2.5 shall be assigned to each Remote File Management Application.

---

## 7 Remote File Management (RFM)

The concept of embedding APDUs in a command packet and the Additional Response data in a response packet shall be as defined in the previous clauses describing the Compact and expanded Remote Application data format.

The Compact and expanded Remote Application data formats shall be distinguished by different TAR values.

### 7.1 Commands

The standardized commands are listed in table 7.1. The commands are as defined in TS 102 221 [2] and TS 102 222 [9].

**Table 7.1: Remote File Management commands**

Operational command
SELECT (see below)
UPDATE BINARY
UPDATE RECORD
SEARCH RECORD
INCREASE
VERIFY PIN
CHANGE PIN
DISABLE PIN
ENABLE PIN
UNBLOCK PIN
DEACTIVATE FILE
ACTIVATE FILE
READ BINARY
READ RECORD
CREATE FILE
DELETE FILE
RESIZE FILE

The SELECT command shall not include the selection by DF name corresponding to P1='04' in the Command Parameters of SELECT (see TS 102 221 [2]).

The Response Data shall be placed in the Additional Response Data element of the Response Packet.

- If P3/Le ='00' in the READ RECORD command, then the UICC shall send back the whole record data.
- If P3/Le ='00' in the READ BINARY command, then the UICC shall send back all data until the end of the file, according to clause 5.1.

## 7.2 UICC Shared File System Remote File Management

A UICC Shared File System Remote File Management application shall have access only to the MF and all DFs and EFs that are located under the MF.

NOTE: ADFs are not considered to be files located under the MF.

The MF shall be implicitly selected and be the current directory at the beginning of a Command "session".

No ADF shall be accessed by the UICC Shared File System Remote File Management application.

All commands defined in clause 7.1 shall apply.

The TAR value of the UICC Shared File System Remote File Management application is defined in TS 101 220 [5].

## 7.3 ADF Remote File Management

An ADF Remote File Management application shall have access to the DFs and EFs located under the ADF.

The ADF shall be implicitly selected and be the current directory at the beginning of a Command "session".

The UICC Shared File System, i.e. the MF and all DFs and EFs that are located under the MF, may also be accessed, depending on the access rights granted to the ADF Remote File Management application.

NOTE: ADFs are not considered to be files located under the MF.

All commands defined in clause 7.1 shall apply.

The TAR of an ADF RFM application shall be linked to the AID of the application to which the ADF belongs.

The TAR value of an ADF Remote File Management application is defined in TS 101 220 [5].

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# 8 Remote Application Management (RAM)

Remote Application Management on a UICC card includes the ability to load, install, and remove applications. This management is under the control of the Issuer Security Domain or any Security Domain with delegated management privileges as described in GlobalPlatform Card Specification [4].

All GlobalPlatform features and functionality that are described in the present clause shall comply to GlobalPlatform Card Specification [4].

A RAM Application shall support the features and functionality described as mandatory in Package 0 "Core OP functionality" of GlobalPlatform Card Specification 2.1 Compliance Packages [8] unless they are specifically defined as not applicable or optional in the present clause.

A RAM Application shall support all features and functionality described in the present clause unless they are specifically described as optional.

The support of the APIs defined in GlobalPlatform Card Specification [4] (Package 0.A (Java Card API) or Package 0.B (Windows Powered Smart Cards API) in GlobalPlatform Card Specification 2.1 Compliance Packages [8] is optional.

Remote Application Management commands shall be executed according to table 9.1 of GlobalPlatform Card Specification [4].

The TAR value allocated for the Issuer Security Domain are defined in TS 101 220 [5].

The concept of embedding APDUs in a command packet and the Additional Response data in a response packet shall be as defined in the previous clauses describing the Compact and expanded Remote Application data format.

The Compact and expanded Remote Application data formats shall be distinguished by different TAR values.

The Minimum Security Level of a RAM Application shall require at least integrity using CC or DS.

A complying card shall support at least the DES CBC algorithm for cryptographic computations.

## 8.1 Remote application management application behaviour

Remote Load File loading, Application installation, Load File removal, Application removal, Application locking/unlocking, Application information retrieval shall be compliant to GlobalPlatform Card Specification [4].

As a RAM Application is a Receiving Application per clause 4, application selection (SELECT command) and command dispatching as described in GlobalPlatform Card Specification [4] do not apply to Remote Application Management.

## 8.2 Commands coding and description

Commands and responses shall be coded according to GlobalPlatform Card Specification [4] unless otherwise specified in the present document.

Secure messaging shall be based on TS 102 225 [1]. Therefore secure messaging as defined in GlobalPlatform Card Specification [4] shall not apply to RAM APDU commands and responses (e.g. MAC shall not be present in the command data field). In addition the class byte shall indicate that an APDU command includes no secure messaging.

The logical channel number indicated in the class byte shall be zero.

Command status words placed in the Additional Response Data element of the Response Packet shall be coded according to the GlobalPlatform Card Specification [4].

### 8.2.1 Commands

The standardized commands are listed in table 8.1.

**Table 8.1: Application management commands**

Operational command
DELETE
SET STATUS
INSTALL
LOAD
PUT KEY
GET STATUS
GET DATA as case 2 command GET DATA as case 4 command (for Menu parameters)

Support of the STORE DATA command described in GlobalPlatform Card Specification [4] is optional.

The Response Data shall be placed in the Additional Response Data element of the Response Packet.

### 8.2.1.1 DELETE

The removal of Applications, of Executable Load Files, and of Executable Load Files and its related Applications shall be supported.

The warning status word '6200' (Application has been logically deleted) as defined in Open Platform Card Specification 2.0.1 [7] may be returned.

### 8.2.1.2 SET STATUS

The management of Applications, Issuer Security Domain and Security Domains Life Cycle States shall be supported.

### 8.2.1.3 INSTALL

INSTALL [for load], INSTALL [for install] and INSTALL [for make selectable] commands shall be supported.

In addition the support of the combined [for install and make selectable] within the same INSTALL command is mandatory.

#### 8.2.1.3.1 INSTALL [for load]

A card supporting DAP verification shall support the Load File Data Block Hash according to GlobalPlatform Card Specification [4].

NOTE: The exact generation of the DAP was not defined in previous releases of the present document. Inter-operability with previous releases should be handled with care.

If present, the Load Parameter Field of the INSTALL [for load] command shall be coded according to GlobalPlatform Card Specification [4].

If the System Specific parameters "Non volatile code space limit" (Tag 'C6'), "Volatile data space limit" (Tag 'C7') and "Non volatile data space limit" (Tag 'C8') are present, the UICC shall be able to handle them.

#### 8.2.1.3.2 INSTALL [for install]

If present, the Install Parameter Field of the INSTALL [for install] command shall be coded according to GlobalPlatform Card Specification [4].

If the System Specific parameters "Volatile data space limit" (Tag 'C7') and "Non volatile data space limit" (Tag 'C8') are present, the UICC shall be able to handle them.

The application instance shall be registered with the instance AID present in the INSTALL [for install] command.

In case of JavaCard™ applications, the application may invoke the register(bArray, bOffset, bLength) or the register() method:

- If the register (bArray, bOffset, bLength) is invoked, the AID passed in the parameters shall be the instance AID provided in the install method buffer.
- If the register() method is invoked the instance AID present in the INSTALL [for install] command and the AID within the Load File, as specified in GlobalPlatform Card Specification [4], should be the same.

The "UICC System Specific Parameters" TLV object (Tag 'EA', as defined below) is included in the Install Parameter Field and shall be coded as follows:

Presence	Length	Name	Value
Optional	1	Tag of UICC System Specific Parameters constructed field	'EA'
	1	Length of UICC System Specific Parameters constructed field	
	0 to n	UICC System Specific Parameters constructed value field.	

## 8.2.1.3.2.1 Coding of the SIM File Access and Toolkit Application Specific Parameters

The "SIM File Access and Toolkit Application Specific Parameters" TLV object (Tag 'CA', as defined below) is included in the "System Specific Parameters" (Tag 'EF') and shall be coded as follows:

Presence	Length	Name	Value
Optional	1	Tag of SIM file access and toolkit application specific parameters field	'CA'
	1	Length of SIM file access and toolkit application specific parameters field	
	6 to n	SIM file access and toolkit Application specific Parameters	

The SIM file access and toolkit application specific parameters field is used to specify the terminal and UICC resources the application instance can use. These resources include the timers, the Bearer Independent protocol channels, menu items for the Set Up Menu, the Minimum Security Level and the TAR Value(s) field. The Network Operator or Service Provider can also define the menu position and the menu identifier of the menus activating the application.

The SIM file access and toolkit parameters are mandatory for applications using the *sim.toolkit.ToolkitInterface* or *sim.access.SIMView* interface as defined in TS 143 019 (see bibliography). The Access Domain is applicable to applications using the *sim.access.SIMView* interface as defined in TS 143 019 (see bibliography).

Length	Name	Value
1	Length of Access Domain field	
1 to p	Access Domain	
1	Priority level of the Toolkit application instance	
1	Maximum number of timers allowed for this application instance	
1	Maximum text length for a menu entry	
1	Maximum number of menu entries allowed for this application instance	= m
1	Position of the first menu entry	\
1	Identifier of the first menu entry ('00' means do not care)	
	....	= 2 × m bytes
1	Position of the last menu entry	
1	Identifier of the last menu entry ('00' means do not care)	/
1	Maximum number of channels for this application instance	
1	Length of Minimum Security Level field	
0 to q	Minimum Security Level (MSL)	
1	Length of TAR Value(s) field	
3 × y	TAR Value(s) of the Toolkit Application instance	

See the following clauses for the description of the parameters fields.

## 8.2.1.3.2.2 Coding of the UICC System Specific Parameters

If the SIM file access and toolkit parameters TLV object (tag 'CA') is present and the UICC System Specific Parameters TLV object (tag 'EA') is present, the card shall return the Status Word '6A80', incorrect parameters in data field, to the INSTALL [for install] command.

The UICC System Specific Parameters constructed value field of the INSTALL [for Install] command shall be coded as follows:

Presence	Length	Name	Value
Optional	1	Tag of UICC Toolkit Application specific parameters field	'80'
	1	Length of UICC Toolkit Application specific parameters field	
	N	UICC Toolkit Application specific parameters	
Optional	1	Tag of UICC Toolkit parameters DAP	'C3'
	1	Length of UICC Toolkit parameters DAP	
	N	UICC Toolkit parameters DAP	
Optional	1	Tag of UICC Access Application specific parameters field	'81'
	1	Length of UICC Access Application specific parameters field	
	N	UICC Access Application specific parameters	
Optional	1	Tag of UICC Administrative Access Application specific parameters field	'82'
	1	Length of UICC Administrative Access Application specific parameters field	
	N	UICC Administrative Access Application specific parameters	

Access parameters for the same ADF may be present in both the UICC Access Application specific parameters field and the UICC Administrative Access Application specific parameters field. The same applies for the UICC file system.

#### 8.2.1.3.2.2.1 UICC Toolkit Application specific parameters field

The UICC toolkit application specific parameters field is used to specify the terminal and UICC resources the application instance can use. These resources include the timers, the Bearer Independent Protocol channels, the services for local bearers, menu items for the Set Up Menu, the Minimum Security Level and the TAR Value(s) field. The Network Operator or Service Provider can also define the menu position and the menu identifier of the menus activating the application.

The UICC toolkit parameters are mandatory for applications using the *uicc.toolkit.ToolkitInterface* defined in TS 102 241 [6]. These parameters shall be coded as follows:

Length	Name	Value
1	Priority level of the Toolkit application instance	
1	Maximum number of timers allowed for this application instance	
1	Maximum text length for a menu entry	
1	Maximum number of menu entries allowed for this application instance	= m
1	Position of the first menu entry	\
1	Identifier of the first menu entry ('00' means do not care)	
	....	= 2 × m bytes
1	Position of the last menu entry	
1	Identifier of the last menu entry ('00' means do not care)	/
1	Maximum number of channels for this application instance	
1	Length of Minimum Security Level field	
0-q	Minimum Security Level (MSL)	
1	Length of TAR Value(s) field	
3 × y	TAR Value(s) of the Toolkit Application instance	
1	Maximum number of services for this application instance	

Any additional parameters shall be ignored by the card.

#### 8.2.1.3.2.2.2 UICC Access Application specific parameters field

The UICC access application specific parameters field is used to specify the access rights. The application instance is granted access rights to files only according to these UICC access parameters.

The UICC access parameters are applicable to applications using the *uicc.access.FileView* defined in TS 102 241 [6]. These parameters shall be coded as follows:

Presence	Name	Length
○	Length of UICC file system AID	1
	Empty UICC file system AID	0
	Length of Access Domain for UICC file system	1
	Access Domain for UICC file system	n
	Length of Access Domain DAP	1
	Access Domain DAP	0 or n
○	Length of ADF #1 AID	1
	ADF #1 AID	5 to 16
	Length of Access Domain for ADF #1	1
	Access Domain for ADF #1	N
	Length of Access Domain DAP #1	1
	Access Domain DAP #1	0 or n
...	...	...
...	...	...
○	Length of ADF #n AID	1
	ADF #n AID	5 to 16
	Length of Access Domain for ADF #n	1
	Access Domain for ADF #n	n
	Length of Access Domain DAP #n	1
	Access Domain DAP #n	0 or n

See the following clauses for the description of the parameters fields.

#### 8.2.1.3.2.2.3 UICC Toolkit Parameters DAP

The UICC toolkit parameters DAP is an optional signature. The card issuer's security policy may require the presence of this DAP.

The input data used to compute this DAP is the concatenation of the following data:

Description	Length
Length of instance AID	1
Instance AID	5 to 16
Length of UICC Toolkit parameters	1
UICC Toolkit parameters	n

The key used to compute this DAP is: Key identifier '02' of Key Version number '11' in the Issuer Security Domain.

Depending on the key type:

- If padding is required by the algorithm, the data is appended by '80' and filled up with zero or more '00'.
- If DES or AES is used, MAC in CBC mode shall be used (with initial chaining value set to zero).

#### 8.2.1.3.2.2.4 UICC Administrative Access Application specific parameters field

The UICC Administrative access application specific parameters field is used to specify the access rights. The application instance is granted access rights to administrate files only according to these UICC Administrative access parameters.

The UICC Administrative access parameters are applicable to applications using the *uicc.access.fileadministration.AdminFileView* defined in TS 102 241 [6]. These parameters shall be coded as defined in clause 8.2.1.3.2.2.2.

#### 8.2.1.3.2.3 Description of Toolkit Application Specific Parameters

If the maximum number of timers required is greater than '08' (maximum numbers of timers specified in TS 102 223 [3]), the card shall return the Status Word '6A80', incorrect parameters in data field, to the INSTALL [for install] command.

If the maximum number of channels required is greater than '07' (maximum numbers of channels specified in TS 102 223 [3]), the card shall return the Status Word '6A80', incorrect parameters in data field, to the INSTALL [for install] command.

If the maximum number of services requested is greater than '08' (maximum numbers of services specified in TS 102 223 [3]), the card shall return the Status Word '6A80', incorrect parameters in data field, to the INSTALL [for install] command.

The mechanism to manage the position of the Menu Entries is defined in TS 102 241 [6].

A part of the item identifier shall be under the control of the card system and the other part under the control of the card issuer. Item identifiers are split in two ranges:

- [1,127] under control of the card issuer;
- [128,255] under the control of the toolkit framework.

If the requested item identifier is already allocated, or in the range [128,255], then the card shall reject the INSTALL command. If the requested item identifier is '00', the card shall take the first free value in the range [128,255].

#### 8.2.1.3.2.4 Coding of the Minimum Security Level (MSL)

If the length of the Minimum Security Level (MSL) field is zero, no minimum security level check shall be performed by the Receiving Entity.

If the length of the Minimum Security Level (MSL) field is greater than zero, the Minimum Security Level (MSL) field shall be coded according to the following table.

Length	Name
1	MSL Parameter
q to 1	MSL Data

The MSL Data coding and length is defined for each MSL Parameter.

#### 8.2.1.3.2.4.1 MSL Parameter

The possible values for the MSL Parameter are:

Value	Name	Support	MSL Data length
'00'	RFU	RFU	N/A
'01'	Minimum SPI1	Optional	1
'02' to '7F'	RFU	RFU	N/A
'80' to 'FE'	Reserved for Proprietary Mechanisms	Optional	N/A
'FF'	RFU	RFU	N/A

#### 8.2.1.3.2.4.2 Minimum SPI1

The Minimum Security Level Data (MSLD) for the Minimum SPI1 MSL parameter shall use the same coding as the first octet of the SPI of a command packet (see clause 5.1.1 of TS 102 225 [1]).

The first octet of the SPI field in the incoming message Command Packet (SPI1) shall be checked against the Minimum Security Level Data (MSLD) byte by the receiving entity according to the following rules:

- if SPI1.b2b1 is equal to or greater than MSLD.b2b1; and
- if SPI1.b3 is equal to or greater than MSLD.b3; and
- if SPI1.b5b4 is equal to or greater than MSLD.b5b4.

then the Message Security Level is sufficient and the check is successful, otherwise the check is failed.

#### 8.2.1.3.2.5 Coding of the Access domain

The Access Domain field is formatted as follows:

Length	Name
1	Access Domain Parameter (ADP)
p to 1	Access Domain Data (ADD)

The Access Domain Data (ADD) coding and length is defined for each Access Domain Parameter (ADP).

#### 8.2.1.3.2.5.1 Access Domain Parameter

This parameter indicates the mechanism used to control the application instance access to the File System.

Value	Name	Support	ADD length
'00'	Full access to the File System	Mandatory	0
'01'	Reserved (for APDU access mechanism)	-	-
'02'	UICC access mechanism	Mandatory	3
'03' to '7F'	RFU	RFU	RFU
'80' to 'FE'	Proprietary mechanism	-	-
'FF'	No access to the File System	Mandatory	0

The access rights granted to an application and defined in the access domain parameter shall be independent from the access rights granted at the UICC/Terminal interface.

NOTE: This implies in particular that the status of a secret code (e.g. disabled PIN1, blocked PIN2, etc.) at the UICC/Terminal interface does not affect the access rights granted to an application.

If an application with Access Domain Parameter (ADP) 'FF' (i.e. No Access to the File System) tries to access a file the framework shall throw an exception.

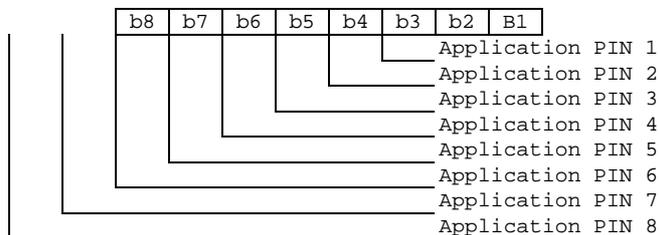
If an application has Access Domain Parameter (ADP) '00' (i.e. Full Access to the File System), all actions can be performed on a file except the ones with NEVER access condition.

If the Access Domain Parameter (ADP) requested is not supported, the card shall return the Status Word '6A80', incorrect parameters in data field, to the INSTALL [for install] command.

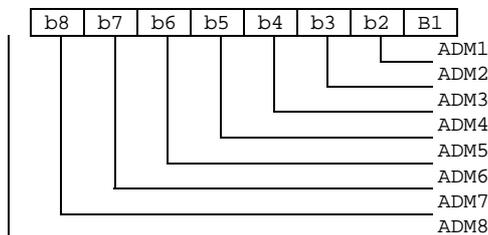
8.2.1.3.2.5.2 Access Domain Data: for UICC access mechanism

The UICC access mechanism shall be coded as follows:

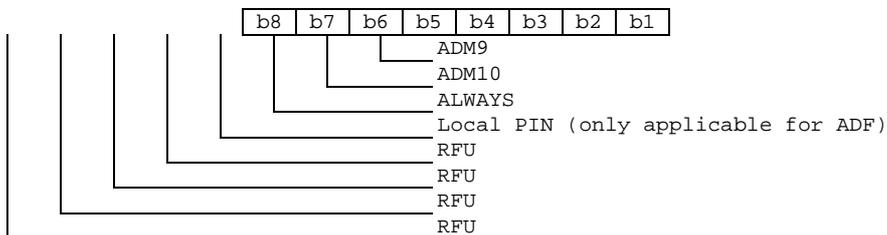
Byte 1:



Byte 2:



Byte 3:



These access rights shall be checked against SE ID 01 access rules as defined in TS 102 221 [2].

## 8.2.1.3.2.5.3 Access Domain DAP

The Access Domain DAP is an optional signature. The security policy of the provider of the application to which the file system belongs may require the presence of this DAP.

The input data used to compute this DAP is the concatenation of the following data:

Description	Length
Length of instance AID	1
Instance AID	5 to 16
Length of File System AID	1
File System AID	0 or n
Length of Access Domain	1
Access Domain	n

In case of UICC shared File system, the Length of File System AID is 0 and the File System AID is not present.

The key used to compute this DAP is: Key identifier '02' of Key Version number '11' in the Security Domain associated to the application to which the File System belongs. In case of UICC shared file system, the associated Security Domain may be the Issuer Security Domain or another Security Domain, depending on the card issuer's security policy.

Depending on the key type:

- If padding is required by the algorithm, the data is appended by '80' and filled up with zero or more '00'.
- The initial chaining value for CBC modes shall be zero.
- If DES or AES is used, MAC in CBC mode shall be used.

## 8.2.1.3.2.6 Priority level of the toolkit application

The priority specifies the order of activation of an application compared to the other application registered to, the same event. If two or more applications are registered to the same event and have the same priority level, the applications are activated according to their installation date (i.e. the most recent application is activated first). The following values are defined for priority:

- '00': RFU.
- '01': Highest priority level.
- ...
- 'FF': Lowest priority level.

## 8.2.1.3.2.7 Coding of TAR Value(s) field

The TAR is defined and coded according to TS 101 220 [5].

It is possible to define several TAR Values at the installation of a Toolkit Application.

The TAR Value(s) field shall be coded according to the following table.

Bytes	Description	Length
1 to 3	TAR Value 1	3
4 to 6	TAR Value 2	3
...	...	...
$3 \times y - 2$ to $3 \times y$	TAR Value y	3

If the length of TAR Value(s) is zero, the TAR may be taken out of the AID if any.

If the length of the TAR Value(s) is greater than zero then the application instance shall be installed with the TAR Value(s) field defined above and the TAR indicated in the AID if any shall be ignored.

If a TAR Value(s) is already assigned on the card for a Toolkit Application instance or if the length of TAR Value(s) field is incorrect, the card shall return the Status Word '6A80', incorrect parameters in data field, to the INSTALL [for install] command.

#### 8.2.1.4 LOAD

A card supporting DAP verification shall support at least DES scheme for Load File Data Block Signature computation according to GlobalPlatform Card Specification [4].

#### 8.2.1.5 PUT KEY

Key version number and key identifiers of Kic, KID and DEK shall be defined according to TS 102 225 [1].

The key used for ciphering the key values (e.g. Kic, KID or DEK) of the PUT KEY command is the key with identifier 3 (i.e. DEK). It is a static key.

When replacing or adding key(s) within the same key set, or when updating the key version number of a key set, the encrypting key to be used is the DEK of the same key version number as the changed key(s).

When creating key set(s), the encrypting key to be used is the DEK of the same key version number as Kic and KID in the Command Packet containing the PUT KEY command.

The key version number of Kic and KID used to secure the Response Packet shall be the same as the key version number indicated in the Command Packet.

The transport security keys (i.e. Kic/KID) used to secure the Response Packet shall be the same as the ones of the Command Packet containing the PUT KEY command.

#### 8.2.1.6 GET STATUS

In addition to the mandatory values of the P1 parameter defined in GlobalPlatform Card Specification [4], combinations of the P1 parameter, as defined in Open Platform Card Specification 2.0.1 [7] may be supported.

The LOGICALLY\_DELETED Life Cycle State may be returned as defined in Open Platform Card Specification 2.0.1 [7].

If bit 2 of the P2 parameter is set, the returned GlobalPlatform Registry Data TLV shall include an SCP Registry Data TLV (see table 8.2 for coding) which includes a Menu Parameters TLV for Issuer Security Domain, Security Domains and Applications.

**Table 8.2: Format of SCP Registry Data**

TAG	Length	Value
'EA'	Variable	SCP Registry Data
'80'	Variable	Menu parameters (see clause 8.2.1.6.1)

##### 8.2.1.6.1 Menu parameters

**Table 8.3: Format of Menu parameters**

Description	Length
First menu entry position	1
First menu entry identifier	1
First menu entry state	1
...	...
Last menu entry position	1
Last menu entry identifier	1
Last menu entry state	1

The menu entry identifiers and positions shall be the ones provided in the Menu Entries list defined in TS 102 241 [6], and shall be returned regardless of the menu entry state as well as regardless of the Application life cycle state (e.g. Selectable/Locked, etc.).

The menu entry state is defined as follows:

'00':	menu entry is disabled
'01':	menu entry is enabled
other values	RFU

### 8.2.1.7 GET DATA

The value '80' for the CLA byte shall be supported. The value '00' for the CLA byte is optional.

The Issuer Security Domain shall support at least the following data object tags:

- Tag '66': Card Data.
- Tag 'E0': Key Information Template.

An Application Provider Security Domain shall support at least the following data object tags:

- Tag 'E0': Key Information Template.

The Tag values '42' and '45' are not applicable to the present document.

The command Get Data is extended to retrieve specific card information with tag values in P1 and P2. The following values have been defined:

- 'FF 1F': Reserved for TS 123 048 [10].
- 'FF 20': Reserved for TS 123 048 [10].
- 'FF 21': Extended Card Resources Tag, this retrieves information on the card resources used and available.
- 'FF 22' to 'FF 3F': reserved for allocation in the present document.

#### 8.2.1.7.1 Void

#### 8.2.1.7.2 Extended Card resources information

After the successful execution of the command, the GET DATA response data field shall be coded as defined in GlobalPlatform [4]. The value of the TLV coded data object referred to in reference control parameters P1 and P2 of the command message is:

Length	Description	Value
1	Number of installed application tag	'81'
1	Number of installed application length	X
X	Number of installed application	
1	Free non volatile memory tag	'82'
1	Free non volatile memory length	Y
Y	Free non volatile memory	
1	Free volatile memory tag	'83'
1	Free volatile memory length	Z
Z	Free volatile memory	

The free memory indicated shall be at least available for applications to be loaded onto the UICC.

## 9 Additional command for push

The PUSH command enables an application to open a BIP channel and/or to establish a CAT\_TP link upon a remote entity request.

### 9.1 Push command behaviour

#### 9.1.1 Request for open channel

The request for open channel allows a remote entity to ask an application on the UICC to open a BIP channel using the OPEN CHANNEL proactive command specified in TS 102 223 [3].

The PUSH command shall be considered completed once the terminal response to the OPEN CHANNEL proactive command has been received by the application.

#### 9.1.2 Request for CAT\_TP link establishment

The request for link establishment allows a remote entity to ask an application on the UICC to establish a CAT\_TP link as defined in TS 102 127 [11].

The PUSH command shall be considered completed once the link reaches the OPEN state in CAT\_TP or the link establishment is terminated due to an error condition.

#### 9.1.3 Behaviour for responses

It is mandatory for applications that process PUSH commands to support additional response data management. The additional response data shall be coded as defined below.

When defining how to send response packets, it shall be taken into account that the processing of the PUSH command will result in proactive commands being issued.

## 9.2 Commands coding

Each command is coded as an APDU. This table extends the command tables defined in clauses 7 and 8 for applications supporting BIP and/or CAT\_TP.

**Table 9.1: Commands**

Operational command
PUSH

The PUSH command shall be coded as follows:

Code	Value
CLA	'80'
INS	'EC'
P1	'01' "80" reserved for application specific usage
P2	'01': Request for BIP channel opening '02': Request for CAT_TP link establishment see note
Lc	Length of subsequent data field
Data	Described below
NOTE : These values only apply for P1 = '01'	

## 9.2.1 Data for BIP channel opening

### Command data:

Any COMPREHENSION-TLV data objects as defined for OPEN CHANNEL in TS 102 223 [3] can be present in the data field of the PUSH command. In addition, the application may define default values for one or more of these data objects. The application shall use the data objects provided by both means to construct the OPEN CHANNEL command, whereby the objects provided in the PUSH command take precedence.

### Response parameters/data:

If the OPEN CHANNEL command was successful (general result < '10'), the status word of the PUSH command shall be set to '90 00'.

If the OPEN CHANNEL command fails (general result ≥ '10'), the status word of the PUSH command shall be set to '6F 00' and the Result TLV of the TERMINAL RESPONSE shall be used as response data in the additional response data.

## 9.2.2 Data for CAT\_TP link establishment

### Command data:

Description	Format from TS 102 223 [3]	M/O/C
CAT_TP Destination Port	UICC/terminal interface transport level	M
Max SDU size	Buffer size	O
Identification data	Channel data	O

For CAT\_TP Destination Port the transport protocol type is insignificant and shall be set to zero. For the PUSH command, an allocable port number shall be used.

If the Max SDU size data object is present in the command data field of the PUSH command and is non null data object, and if the size is available on the UICC, then the UICC shall use the requested size.

If the Max SDU size data object is not present in the command data field of the PUSH command or is null data object, or if the UICC is not able to provide the requested size, then the UICC shall use another appropriate value.

NOTE: Max PDU length is already defined in the OPEN CHANNEL proactive command and the TERMINAL RESPONSE to it.

The identification data object present in the command data field of the PUSH command shall be used as identification data in the SYN PDU sent from the UICC. If it is of zero length, the length of the identification data in the SYN PDU shall also be zero. If identification data is not present, the ICCID shall be used as identification data in the SYN PDU. The SYN/ACK PDU sent from the remote entity shall have a null identification data field.

### Response parameters/data:

If the link reaches the OPEN state in CAT\_TP, the status word of the PUSH command shall be set to '90 00'.

If the CAT\_TP OPEN state is not reached, the PUSH command shall be considered as failed and the status word of the PUSH command shall be set to '6F 00'. The response data in the additional response data shall be coded as follows :

- '01': SYN sent failed.
- '02': SYN/ACK not received.
- '03': ACK sent failed (first ACK).

## 9.3 Closing of the BIP channel

The BIP channel shall be closed using the CLOSE CHANNEL proactive command specified in TS 102 223 [3] once the only or last link using the channel has been closed.

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## Annex A (normative): BER-TLV tags

**Table A.1: BER-TLV tags**

<b>Description</b>	<b>Length of tag</b>	<b>Value</b>
Command Scripting template tag	1	Defined in TS 101 220 [5]
Response Scripting template tag	1	Defined in TS 101 220 [5]
Number of executed C-APDUs tag	1	Defined in TS 101 220 [5]

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## Annex B (informative): Bibliography

- ETSI TS 143 019: "Digital cellular telecommunications system (Phase 2+); Subscriber Identity Module Application Programming Interface (SIM API) for Java Card; Stage 2 (3GPP TS 43.019 version 6.0.0 Release 6)".

## Annex C (informative): Change history

This annex lists all changes made to the present document.

Change History								
Date	Meeting	Tdoc	CR	Rv	Cat	Changes	Old	New
2001-07	T3 API -7	T3a010114				Initial version is based on 3GPP TS 23.048 V4.0.0. Submitted to EP SCP#7 for information.	-	0.0.0
2001-10	SCP2-1	SCP2-010004				Alignment with 3GPP TS 23.048 V5.1.0 and editorial enhancements.	0.0.0	0.1.0
2001-10	T3 API -9	T3a010196				Updated to include the results of EP SCP WG2 #1.	0.1.0	0.2.0
2001-11	T3-21/ SCP-8	T3-010670/ SCP-010346				Submitted to 3GPP T3#21 - EP SCP#8. Editorial changes.	0.2.0	0.2.1
2001-11	SCP-8	SCP-010377				Editorial and formatting enhancements. Version number raised to 1.0.0 in line with decision at EP SCP #8.	0.2.1	1.0.0
2002-01	SCP2-2	SCP2-020019				Updated to include the results of TSG-T#14 and editorial changes.	1.0.0	1.1.0
2002-03	SCP-9	SCP-020049				Updated to include the results of TSG-T#15 and editorial changes. Submitted to SCP#9 for approval.	1.1.0	2.0.0
2002-03	SCP-9	SCP-020057				Editorial changes after discussion at SCP#9. This version has been sent to the ETSI secretariat for publication in March 2002 as TS 102 226 V6.0.0. No technical changes compared to V2.0.0.	2.0.0	6.0.0
2002-06	SCP-10	SCP-020169	001	1	B	Definition of the TAR Value(s) parameter in the Application Specific Parameters of the Install(Install) command.	6.0.0	6.1.0
2002-09	SCP-11	SCP-020232	003		A	Toolkit Access with modified secret code status	6.1.0	6.2.0
			004		A	Minimum Security Level for the Remote Management Applications and Access conditions for Remote File Management Application.		
			005		A	Clarification on Put Key command		
			006		A	Maximum number of channels allowed for this applet instance		
			007		A	Clarification on letter 'n' describing the length of parameters of the Install(Install) command		
		SCP-020237						
2003-01	SCP-12	SCP-030022	008		D	Deletion of the load command example.	6.2.0	6.3.0
2003-05	SCP-13	SCP-030173	009	1	A	Clarification of the Install(Install) command in case of installing a non Toolkit Applet	6.3.0	6.4.0
2003-09	SCP-14	SCP-030225	010		B	Modification of commands for remote application management	6.4.0	6.5.0
			011		B	Menu Entries Position		
2003-12	SCP-15	SCP-030464	016	1	F	Clarification on case 4 command handling	6.5.0	6.6.0
		SCP-030465	015	2	C	Remote command coding with P3="00"		
		SCP-030466	017	1	B	Addition of Push for CAT_TP		
		SCP2-030247	013	1	B	Update of TS 102 226 to GlobalPlatform Card Specification version 2.1.1		
		SCP2-030248	014		F	Clarification of the description of Remote Management Applications		
		SCP2-030268	018		B	Addition of the CREATE command for Remote File Management.		
2004-02	SCP-16	SCP2-040040	022		B	Remote File Management definitions	6.6.0	6.7.0
		SCP-040094	023		B	Introduction of UICC toolkit and access domain parameters		
		SCP-040100	024	2	F	Clarification for READ BINARY with P3='00'		
		SCP2-040052	026		B	Addition of the DELETE FILE command for Remote File Management		
		SCP2-040053	027		B	Addition of the RESIZE command for Remote File Management.		
		SCP2-040058	030		F	Correction of behaviour for responses in Push for CAT_TP		
2004-05	SCP-17	SCP-040219	031	2	B	Expanded Remote Application data format	6.7.0	6.8.0
		SCP-040219	032		D	Renaming of Resize command to Resize File		
		SCP-040266	033	1	D	Editorial corrections after integration of change requests		
		SCP-040267	034	1	F	Alignment of Get Data command with GP		
		SCP-040219	035		B	Clarify Access Domain DAP for UICC Shared File System		
		SCP-040219	036		B	Specification of the UICC Toolkit parameters DAP		
		SCP-040272	037	2	B	Introduction of SCP Registry Data (TLV) for Get Status		

Change History								
Date	Meeting	Tdoc	CR	Rv	Cat	Changes	Old	New
2004-09	SCP#18	SCP-040324	039		F	Correction to the commands coding description of the PUSH command	6.8.0	6.9.0
			040		F	Clarification on Max SDU size		
			041		B	Introduction of Administrative Access Domain		
		SCP-040370	038	1	F	Wrong values of BER-TLV tags in annex A		
2004-09	SCP#19	SCP-040418	042		F	Clarification for non-specific references	6.9.0	6.10.0
			043		F	Correction of SW and clarification for truncated responses		
2005-01	SCP#20	SCP-050018	044		F	Clarification of presence of access parameters	6.10.0	6.11.0
2005-09	SCP#22	SCP-050243	045		F	Correction to status words sent back in the Remote Management Application response data in case data is truncated	6.11.0	6.12.0
			046		F	Clarification of data sent in compact remote response		
2006-05	SCP#25	SCP-060132	048		B	Mandatory support of responses to push commands	6.12.0	7.0.0
2006-07	SCP#26	SCP-060257	048		A	Clarification of the presence of the last R-APDU	7.0.0	7.1.0
			049	1	D	Correction of abbreviation		
		SCP-060280	050	1	B	Reservation of an application specific P1 value in the PUSH command		

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## History

<b>Document history</b>		
V7.0.0	May 2006	Publication
V7.1.0	July 2006	Publication