# TS 101 207-2 V1.1.1 (1997-07)

Technical Specification

Identification card systems; Telecommunications IC cards and terminals; Test methods and conformance testing for EN 726-7; Part 2: Test Suite Structure and Test Purposes (TSS&TP)



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## Intellectual Property Rights

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## Foreword

This Technical Specification (TS) has been produced by the ETSI Project Pay Terminal and Systems (PTS). The present document was handed over to the CEN Secretariat in order to become an EN through the CEN approval process. ETSI has produced a set of TSs which are not a copy of any CEN published EN. The TSs are complete and consistent documents with references among themselves. It has been made clear in these TSs that they are contributions to the CEN work for publication as EN (after re-editing the references). Once published by CEN as EN, ETSI will withdraw its TS.

The present document is part 2 of a multi-part document covering Identification card systems; Telecommunications IC cards and terminals; Test methods and conformance testing for EN 726-7, as identified below:

- Part 1: "Implementation Conformance Statement (ICS) proforma specification";
- Part 2: "Test Suite Structure and Test Purposes (TSS&TP)";
- Part 3: "Abstract Test Suite (ATS) and Implementation eXtra Information for Testing (IXIT)".

## **Overview of ETSI deliverables on EN 726 family**

TS 101 200-1	"EN 726-1: Identification card systems; Telecommunications IC cards and terminals; Part 1: System overview".
TS 101 200-2	"EN 726-2: Identification card systems; Telecommunications IC cards and terminals; Part 2: Security framework".
TS 101 200-3	"EN 726-3: Identification card systems; Telecommunications IC cards and terminals; Part 3: Application independent card requirements".
TS 101 200-4	"EN 726-4: Identification card systems; Telecommunications IC cards and terminals; Part 4: Application independent card related terminal requirements".
TS 101 200-5	"EN 726-5: Identification card systems; Telecommunications IC cards and terminals; Part 5: Payment methods".
TS 101 200-6	"EN 726-6: Identification card systems; Telecommunications IC cards and terminals; Part 6: Telecommunications features".
TS 101 200-7	"EN 726-7: Identification card systems; Telecommunications IC cards and terminals; Part 7: Security module".

## Overview of ETSI deliverables on EN 726 conformance testing family

TS 101 203-1	"Identification card systems; Telecommunications IC cards and terminals; Test methods and conformance testing for EN 726-3; Part 1: Implementation Conformance Statement (ICS) proforma specification".
TS 101 203-2	"Identification card systems; Telecommunications IC cards and terminals; Test methods and conformance testing for EN 726-3, Part 2: Test Suite Structure and Test Purposes (TSS&TP)".
TS 101 203-3	"Identification card systems; Telecommunications IC cards and terminals; Test methods and conformance testing for EN 726-3; Part 3: Abstract Test Suite (ATS) and Implementation eXtra Information for Testing (IXIT) proforma specification".
TS 101 204-1	"Identification card systems; Telecommunications IC cards and terminals; Test methods and conformance testing for EN 726-4; Part 1: Implementation Conformance Statement (ICS) proforma specification".
TS 101 204-2	"Identification card systems; Telecommunications IC cards and terminals; Test methods and conformance testing for EN 726-4, Part 2: Test Suite Structure and Test Purposes (TSS&TP)".
TS 101 204-3	"Identification card systems; Telecommunications IC cards and terminals; Test methods and conformance testing for EN 726-4; Part 3: Abstract Test Suite (ATS) and Implementation eXtra Information for Testing (IXIT) proforma specification".
TS 101 207-1	"Identification card systems; Telecommunications IC cards and terminals; Test methods and conformance testing for EN 726-7; Part 1: Implementation Conformance Statement (ICS) proforma specification".
TS 101 207-2	"Identification card systems; Telecommunications IC cards and terminals; Test methods and conformance testing for EN 726-7, Part 2: Test Suite Structure and Test Purposes (TSS&TP)".
TS 101 207-3	"Identification card systems; Telecommunications IC cards and terminals; Test methods and conformance testing for EN 726-7; Part 3: Abstract Test Suite (ATS) and Implementation eXtra Information for Testing (IXIT) proforma specification".

## 1 Scope

The present document provides Test Suite Structure and Test Purposes (TSS&TP). It applies to the Security Module (SM) defined in EN 726-7 [9] in compliance with the relevant requirements, and according to the relevant guidance given in ISO/IEC 9647-7 [14] and ETS 300 406 [12].

The set of test purposes described herein is intended to proof the compliance of a security module with the standard EN 726-7 [9] (about 140 tests) and it is seen as an extension of the test purposes made for TS 101 200-3 [8] (about 645 tests), however the number of tests and the depth of testing is not sufficient for a product qualification test.

For a product qualification at least the following tests should be added:

- User profile test

To test whether the SM suits the need for a specific application. Here all possible scenarios should be run.

- Life cycle test

To test the behaviour of a SM after it has been used for X transactions, where X is a multiple of the guaranteed life cycle of the programmable memory.

- Stress test

To test the behaviour of the SM at physical stress, such as under voltage, over voltage, too high or low frequencies and spikes on the VCC/VPP line.

- Performance test

To test whether the implementation is able to handle the defined scenarios within the defined time limits.

Key test

Each key in a SM should be used for its specified purpose at least once and the result should be checked.

- Additional file tests

Each file present in the SM should be selected and read out, if possible. The answer to SELECT and the contents of the file should be checked against the specification.

Tests for additional functions

For a compliance with the specification the SM should at least be tested with:

- each allowed parameter, or at least their extreme values;
- at least one invalid parameter;
- each return code should at least be provoked once;
- the successful operation of the function should be tested.

In addition to that, tests can be added for:

- all invalid parameter combinations;
- undefined situations; etc.

## 2 Normative references

References may be made to:

- a) specific versions of publications (identified by date of publication, edition number, version number, etc.), in which case, subsequent revisions to the referenced document do not apply; or
- b) all versions up to and including the identified version (identified by "up to and including" before the version identity); or
- c) all versions subsequent to and including the identified version (identified by "onwards" following the version identity); or
- d) publications without mention of a specific version, in which case the latest version applies.

A non-specific reference to an ETS shall also be taken to refer to later versions published as an EN with the same number.

[1]	EN 27811 (1989): "Identification cards - Recording Technique".
[2]	EN 27816-1 (1989): "Identification cards - Integrated circuit(s) cards with contacts - Part 1: Physical characteristics (ISO 7816-1; 1987, edition 1)".
[3]	EN 27816-2 (1989): "Identification cards - Integrated circuit(s) cards with contacts - Part 2: Dimensions and locations of the contacts (ISO 7816-2; 1988, edition 1)".
[4]	EN 27816-3 (1992): "Identification cards - Integrated circuit(s) cards with contacts - Part 3: Electronic signals and transmission protocols (ISO/IEC 7816-3; 1989, edition 1)".
[5]	EN 27816-3 (1992), Amendment 1 (1993): "Identification cards - Integrated circuit(s) cards with contacts - Part 3: Electronic signals and transmission protocols. Amendment 1: Protocol type $T = 1$ , asynchronous half duplex block transmission protocol (ISO/IEC 7816-3; 1989, Amendment 1: 1992)".
[6]	EN 27816-3 (1992), Amendment 2 (1995): "Identification cards - Integrated circuit(s) cards with contacts - Part 3: Electronic signals and transmission protocols. Amendment 2: Revision of protocol type selection (ISO/IEC 7816-3; 1989, Amendment. 2:1994)".
[7]	ISO/IEC 7816-4 (1995): "Information technology - Identification cards - Integrated circuit(s) cards with contacts - Part 4: Inter industry commands for interchange".
[8]	TS 101 200-3 version 1.2.1: "EN 726-3: "Identification card systems; Telecommunications IC cards and terminals; Part 3: Application independent card requirements".
[9]	TS 101 200-7 version 1.2.1: "prEN 726-7 : "Identification card systems; Telecommunications IC cards and terminals; Part 7: Security module".
[10]	TS 101 203-1: "Identification card systems; Telecommunications IC cards and terminals; Test methods and conformance testing for EN 726-3; Part 1: Implementation Conformance Statement (ICS) proforma specification".
[11]	TS 101 207-1: "Identification card systems; Telecommunications IC cards and terminals; Test methods and conformance testing for EN 726-7; Part 1: Implementation Conformance Statement (ICS) proforma specification".
[12]	ETS 300 406 (April 1995): "Methods for testing and Specification (MTS); Protocol and profile conformance testing specifications; Standardisation methodology".
[13]	ISO/IEC 9646-1 (1994): "Information technology - Open systems interconnection - Conformance testing methodology and framework - Part 1: General concepts".
[14]	ISO/IEC 9646-7 (1995): "Information technology - Open systems interconnection - Conformance testing methodology and framework - Part 7: Implementation Conformance Statements".

[15]	ISO/IEC 10202: "Financial Transaction cards: Security Architecture of financial transaction systems using Integrated Circuit Cards - Part 4: Secure Application Module".
[16]	ENV 1292 (April 1995): "Identification cards - Integrated circuit(s) cards and interface devices - Additional test methods".
[17]	GSM 11.10-1 (August 1996): "Digital cellular telecommunication system (Phase 2); Mobile Station (MS) conformance specification; Part 1: Conformance Specification".
[18]	ETS 300 759-1 (November 1995): "Radio Equipment and Systems(RES); Digital Enhanced Cordless Telecommunications (DECT); DECT Authentication Module (DAM); Part 1: Test Specification for DAM".
[19]	ENV 1375-1 (1994): "Identification card systems - Intersector integrated circuit(s) card additional formats; Part1 ID-000 card size and physical characteristics".
[20]	ENV 1375-2 (1994): "Identification card systems - Intersector integrated circuit(s) card additional formats; Part1 ID-00 card size and physical characteristics".
[21]	TS 101 207-3: "Identification card systems; Telecommunications IC cards and terminals; Test methods and conformance testing for EN 726-7; Part 3: Abstract Test Suite (ATS) and Implementation eXtra Information for Testing (IXIT) proforma specification".

#### 3 Definitions, symbols and abbreviations

#### Definitions 3.1

For the purposes of the present document, the following definitions apply:

- terms defined in ISO/IEC 7816 parts 1 to 3 [2],[3],[4],[5],[6];
- terms defined in TS 101 200-3 [8];
- terms defined in ISO/IEC 9646-1 [13] and in ISO/IEC 9646-7 [14].

In particular, the following terms defined in ISO/IEC 9646-1 [13] apply:

Implementation Conformance Statement (ICS): A statement made by the supplier of an implementation or system claimed to conform to a given specification, stating which capabilities have been implemented. The ICS can take several forms: protocol ICS, profile ICS, profile specific ICS, information object ICS, etc.

ICS proforma: A document, in the form of a questionnaire, which when completed for an implementation or system becomes an ICS.

#### 3.2 Symbol

For the purposes of the present document, the following symbol applies:

Optional data, for example "CLA, INS, P1, P2, P3 {, data}" indicates that data may or may not { } follow the CLA, INS, P1, P2, P3 bytes.

#### 3.3 Abbreviations

For the purposes of the present document, the following abbreviations apply:

AC	Access Condition(s)
ACK	Acknowledge
AP	ASK PARAMETER
ATC	Abstract Test Case
ATR	Answer To Reset

ATS	Abstract Test Suites
BCD	Binary Code Decimal
CAD	Card Accepting Device (this includes only the mechanics)
CHV	Card Holder Verification
CLA	CLAss
CO	Command
CS	Cyclic Structure
DF	Dedicated Files
DK	Downloading of Key
EF	Elementary Files
FU	Function
GR	GRaphical form (TTCN)
I/O	Input/Output
IC	Integrated Circuit
ICS	Implementation Conformance Statement
ID	IDentifier
IFD	Interface Device, used as short form for a terminal including CAD
INS	INStruction
IUT	Implementation Under Test
IV	Invalid behaviour test
IXIT	Implementation eXtra Information for Testing
LFS	Linear Fixed Structure
LM	Logical Model
LM	Logical Model
LVS	Linear Variable Structure
MAC	Message Authentication Code
MF	Master File
MP	Machine Processable form (TTCN)
PC	Physical Characteristics
PDU	Protocol Data Unit
RC	Return Code
RC	Return Code
RST	Reset
SCS	System Conformance Statement
SM	Security Module
SP	electronic Signals and transmission Protocols
SUT	System Under Test
SW	Status Word
TC	Test Case
TP	Test Purposes
TR	TRansparent
TSS	Test Suite Structure
TTCN	Tree and Tabular Combined Notation
UC	User Card
VA	Valid behaviour test
VCC	supply Voltage
VPP	programming Voltage

## 4 Test environment

This clause specifies several requirements, which shall be met, and a number of rules, which shall be adhered to before testing can proceed.

## 4.1 Test equipment

This subclause recommends a minimum specification for each of the items of test equipment referenced in the tests.

## 4.1.1 Card Accepting Device (CAD) simulator

This item of equipment shall allow T = 0 or T = 1 protocol implementations to take place on ID-1 SM cards. It shall be able to generate and send any command APDU and receive any of the possible responses. These commands will be generated by translation of the ATS in TS 101 207-3 [21].

The voltage level for VCC (contact C1) of the SM shall be adjustable between 0 V and 6,0 V to an accuracy of 0,1 V. The voltage level for I/O (contact C7) when sending data to the SM shall be adjustable between 0 V and 6,0 V to an accuracy of 0,1 V.

The CAD simulator shall be able to accept an external signal to drive RST (contact C3) of the SM.

It shall be possible to access all the card contacts either directly or through test points.

## 4.2 Default data formatting

All numeric data enclosed in single quotes ("99") in the present document is hexadecimal data.

Where "X" is used in place of a hexadecimal digit, X ranges from "0" to "F". For example, the data "6X" ranges from "60" to "6F" inclusive.

Where data is expressed as a group of bytes, it shall be in the following format: "XX XX XX... XX", indicating first byte, second byte, third byte etc. in that order.

## 4.3 Test procedure

The following statements are applicable to the test procedure clause for all test purposes contained within the present document:

- If there is a sequence control implemented, the commands have to be given in an allowed sequence. The sequence control is not tested.
- Positive return codes are SW1, SW2 = "90 00" or "9F XX", if the SM is an IC card, else every return code, that acknowledges the command.
- Negative return codes are all return codes that indicate an error has occurred.
- If "None." is stated as a precondition, that does not exclude the general statements made in this section.
- If variables are used in a table, they are only valid within that table and they have no relation with variables of the same name in other tables.

## 5 Test suite structure

- Security Module
  - Physical characteristics
  - Electronic signals and transmission protocols
  - Logical model
    - Permanent secrets
      - EF<sub>KEY\_MAN</sub> (SM)
      - EF<sub>KEY OP</sub> (SM)
      - EF<sub>KEY MAN</sub> (SM)
      - $EF_{KEY OP}(SM)$
      - $EF_{KEY_{MAN}}(UCx)$
      - $EF_{KEY OP}(UCx)$
      - Temporary secrets
        - $EF_{DIK1}(UCx)$
        - EF<sub>DIK2</sub> (UCx)
    - Balance

-

- EF<sub>AMOUNT</sub>
- Operating system
  - MF
  - EF<sub>ICC</sub>
  - EF<sub>DIR</sub>
  - DF
  - EF<sub>KEYTABLE</sub>
- Functions
  - Functions without any MAC
    - SELECT KEYSET
    - DIVERSIFY KEYSET
    - ASK PARAMETER
  - Functions used to compute a MAC
    - COMPUTE LOAD KEY
    - COMPUTE MAC
    - COMPUTE CRYPTOGRAM
    - DECREASE (SM)
  - Functions used to verify a MAC
    - VERIFY MAC
    - UPDATE (SM)
    - INCREASE (SM)
    - VERIFY CRYPTOGRAM
  - Downloading of keys from SM to UC

## 6 Test Purposes (TP)

## 6.1 Introduction

For each test requirement a Test Purpose (TP) is defined.

## 6.1.1 TP naming convention

TPs are numbered, starting at 01, within each group. Groups are organized according to the TSS. Additional references are added to identify the actual Test Suite. See table 1.

Identifier:	<gre< th=""><th colspan="3"><group>_<subgroup>_<type>_<nnn></nnn></type></subgroup></group></th></gre<>	<group>_<subgroup>_<type>_<nnn></nnn></type></subgroup></group>		
<group></group>	=	major group	PC :	Physical characteristics
			SP:	Electronic Signals and
				transmission Protocols
			LM :	Logical Model
			RC :	Return Codes
			FU :	Functions
			CO :	Commands
			DK :	Downloading of Keys
<subgroup></subgroup>	=	function or file	two cha	racters to indicate the function,
			e.g.	AP for ASK PARAMETER
				XX if function independent.
<type></type>	=	type	one chara	acter field representing the type of test
			VA:	Valid behaviour test
			IV:	Invalid behaviour test
<nnn></nnn>	=	sequential number:	(01-99)	
		1	(,	

## Table 1: TP Identifier naming convention scheme

## 6.1.2 Source of TP definition

The TPs were developed based on EN 726-7 [9] and TS 101 207-1 [11].

## 6.1.3 TP structure

Each TP has been written in a manner, which is consistent with all other TPs. The intention of this is to make the TPs more readable and checkable. A particular structure has been used and this is illustrated in table 2. This table should be read in conjunction with any TP, that is, use a TP as an example to fully understand the table.

TP Part	Text	Example
Header	<ld>dentifier&gt; tab</ld>	see table 1
	<subclause base="" en="" in="" reference=""> tab</subclause>	subclause 0.0.0
	{ICS/IXIT limitation c_ <item no="">{.<subitem no="">}{, }}</subitem></item>	c9_3.1, c9_3.2
Stimulus	Ensure that the SM for <command/> or <file ef=""></file>	SELECT KEYSET EF <sub>KEY_MAN</sub>
Reaction	<action> <conditions></conditions></action>	results in, contains, after selecting, using parameter, if supported
NOTE: Text in italics will not appear in TPs and text between <> is filled in for each TP and may differ from one TP to the next.		

Table	2:	Structure	of	а	single	TΡ
-------	----	-----------	----	---	--------	----

## 6.1.4 Test strategy

As the base standard contained no explicit requirements for testing, the TPs were generated as a result of an analysis of the base standard and ICS.

## 6.1.5 Valid behaviour test

This type of test is used whenever it should be proved, that an implementation complies with the standard. The reaction on invalid stimuli or states is not the objective of this type of test.

## 6.1.6 Invalid behaviour test

Herewith invalid commands, parameters or states are tested to see, whether the implementation shows robustness against invalid stimuli and that the returned Status Words (SW) comply with the standard.

## 6.2 Security Module (SM)

## 6.2.1 Physical characteristics

The EN 726-7 [9] does not focus on physical characteristics. If, however, the SM is a card it gives reference to TS 101 200-3 [8], where a number of details, that should be similar to or slightly different from those defined in referenced standards, are pointed out.

Therefore the required tests, or references to them, for a SM as a chipcard are found in the test standard for TS 101 200-3 [10].

## 6.2.2 Electronic signals and transmission protocols

The EN 726-7 [9] does not focus on electrical signals and transmission protocols characteristics It does, however, give reference to TS 101 200-3 [8], where a number of details that should be similar to or slightly different from those defined in referenced standards are pointed out.

Therefore the required tests, or references to them, for a SM as a chipcard are found in the test standard for EN 726-3 (TS 101 203-1 [10]).

## 6.2.3 Logical Model (LM)

## 6.2.3.1 Permanent secrets

LM_PS_VA_01	subclause A.4.4	c1_1, c3_1, c12_1, c16_4
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## Table 3: Coding of EF<sub>KEY MAN</sub>(SM) at MF-Level

File ID : "00	) 11"	Mandatory
AC:		
UPDATE	E NEV	
LOAD K	EY FILE Application provider	
INVALID	ATE Application provider	
REHABI		
Bytes	Description	Length
1	Keyfile version	1
2	Keylength of key 1 (X)	1
3	Algorithm ID for key 1	1
4(3 + X)	KEY 1	Х
(4 + X)	Keylength of key 2 (Y)	1
(5 + X)	Algorithm ID for key 2	1
(6 + X)	KEY 2	Y
· · · ·		

#### Purpose

Ensure that the coding of the  $EF_{KEY_MAN}(SM)$  is valid at MF-Level.

#### Preconditions

- None.

#### Test

Perform a SELECT on  $\text{EF}_{\text{KEY}\_\text{MAN}}(\text{SM})$  and analyse the response of the SELECT command.

#### Result

SELECT response data should be in accordance with the expected values (loaded keys, their length and algorithms).

### LM\_PS\_VA\_02

#### subclause A.4.5

## c1\_1, c3\_1, c12\_1, c16\_5

## Table 4: Coding of EF<sub>KEY OP</sub>(SM) at MF-Level

File ID : "00 01" O		Optional
AC:		· ·
UPDATE	NEV	
LOAD KI	EY FILE Application provider	
INVALID		
REHABI	LITATE Application provider	
Bytes	Description	Length
1	Keyfile version	1
2	Keylength of key 1 (X)	1
3	Algorithm ID for key 1	1
4(3 + X)	KEY 1	Х
(4 + X)	Keylength of key 2 (Y)	1
(5 + X)	Algorithm ID for key 2	1
(6 + X)	KEY 2	Y
<b>*</b>		

## Purpose

Ensure that the coding of the  $EF_{KEY OP}(SM)$  is valid at MF-Level.

#### Preconditions

- None.

#### Test

Perform a SELECT on  $EF_{KEY_OP}(SM)$  and analyse the response of the SELECT command.

#### Result

SELECT response data should be in accordance with the expected values (loaded keys, their length and algorithms).

LM\_PS\_VA\_03

subclause A.3

c1\_1, c3\_1, c12\_1, c16\_4

## Table 5: Coding of EF<sub>KEY\_MAN</sub>(SM) at DF-Level

File ID : "00 11"		Mandatory	
AC:			
UPDATE	NEV		
LOAD KEY	FILE Application provider		
INVALIDATI	E Application provider		
REHABILIT	ATE Application provider		
Bytes	Description	Length	
1 Ke	eyfile version	1	
2 Ke	eylength of key 1 (X)	1	
3 AI	gorithm ID for key 1	1	
4(3 + X) KI	ĒY 1	X	
(4 + X) Ke	eylength of key 2 (Y)	1	
(5 + X) Al	gorithm ID for key 2	1	
(6 + X) KI	EY 2	Y	

#### Purpose

Ensure that the coding of the EF<sub>KEY\_MAN</sub>(SM) is valid at DF-Level.

#### Preconditions

- The DF containing the keyset is selected.

#### Test

Perform a SELECT on  $EF_{KEY MAN}(SM)$  and analyse the response of the SELECT command.

#### Result

SELECT response data should be in accordance with the expected values (loaded keys, their length and algorithms).

### LM\_PS\_VA\_04

## subclause A.3

## c1\_1, c3\_1, c12\_1, c16\_5

## Table 6: Coding of EF<sub>KEY OP</sub>(SM) at DF-Level

File ID : "00 01"		Optional	
AC:			
UPDATE	NEV		
LOAD KE	Y FILE Application provider		
INVALID	ATE Application provider		
REHABIL			
Bytes	Description	Length	
1	Keyfile version	1	
2	Keylength of key 1 (X)	1	
3	Algorithm ID for key 1	1	
4(3 + X)	KEY 1	Х	
(4 + X)	Keylength of key 2 (Y)	1	
(5 + X)	Algorithm ID for key 2	1	
(6 + X)	KEY 2	Y	

#### Purpose

Ensure that the coding of the  $EF_{KEY OP}(SM)$  is valid at DF-Level.

#### Preconditions

- The DF containing the keyset is selected.

#### Test

```
Perform a SELECT on EF_{KEY OP}(SM) and analyse the response of the SELECT command.
```

#### Result

SELECT response data should be in accordance with the expected values (loaded keys, their length and algorithms).

#### LM\_PS\_VA\_05

#### subclause A.4.7

#### c1\_1, c3\_1, c12\_1, c16\_7

#### File ID : "20 XX" Optional AC: UPDATE NEV LOAD KEY FILE Application provider INVALIDATE Application provider REHABILITATE Application provider Description Length Bytes Keyfile version 1 1 2 Keylength of key 1 (X) 1 Algorithm ID for key 1 3 1 4..(3 + X)KEY 1 Х (4 + X)Keylength of key 2 (Y) 1 Algorithm ID for key 2 (5 + X)1 (6 + X)... KEY 2 Υ

## Table 7: Coding of EF<sub>KEY MAN</sub>(UC)

#### Purpose

Ensure that the coding of the EF<sub>KEY MAN</sub>(UC) is valid.

#### Preconditions

- The DF containing the keyset is selected.

#### Test

Perform a SELECT on EF<sub>KEY MAN</sub>(UC) and analyse the response of the SELECT command.

#### Result

SELECT response data should be in accordance with the expected values (loaded keys, their length and algorithms).

LM\_PS\_VA\_06

subclause A.4.7

c1\_1, c3\_1, c12\_1, c16\_8

## Table 8: Coding of EF<sub>KEY OP</sub>(UC)

File ID : "21 YY"		Mandatory
AC:		
UPDATE	E NEV	
LOAD K	EY FILE Application provider	
INVALIE	DATE Application provider	
REHABI	LITATE Application provider	
	·	
Bytes	Description	Length
1	Keyfile version	1
2	Keylength of key 1 (X)	1
3	Algorithm ID for key 1	1
4(3 + X)	KEY 1	Х
(4 + X)	Keylength of key 2 (Y)	1
(5 + X)	Algorithm ID for key 2	1
(6 + X)	KEY 2	Y

#### Purpose

Ensure that the coding of the  $EF_{KEY_OP}(UC)$  is valid.

#### Preconditions

- The DF containing the keyset is selected.

#### Test

Perform a SELECT on  $EF_{KEY OP}(UC)$  and analyse the response of the SELECT command.

#### Result

SELECT response data should be in accordance with the expected values (loaded keys, their length and algorithms).

## 6.2.3.2 Temporary secrets

## LM\_TS\_VA\_01 subclause A.4.10 c1\_1, c3\_2, c12\_1, c16\_11

## Table 9: Coding of EF<sub>DIK1</sub> (UC)

File ID : "10 00" Opt		Optional
AC:		-
UPDATE	NEV	
LOAD KE	Y FILE Application provider	
INVALID	ATE Application provider	
REHABIL	ITATE Application provider	
Bytes	Description	Length
1	Keyset version	1
2	Keylength of key 1 (X)	1
3	Algorithm ID for key 1	1
4(3 + X)	KEY 1	Х
(4 + X)	Keylength of key 2 (Y)	1
(5 + X)	Algorithm ID for key 2	1
(6 + X)	KEY 2	Y
``` <b>`</b>		

#### Purpose

Ensure that the coding of the  $EF_{DIK1}(UC)$  is valid.

#### Preconditions

- The DF containing the keyset is selected.
  - EF<sub>KEYMAN</sub> is diversified using EF<sub>DIK1</sub> as a destination

#### Test

Perform a SELECT on  $\text{EF}_{\text{DIK1}}(\text{UC})$  and analyse the response of the SELECT command.

#### Result

SELECT response data should be in accordance with the expected values (loaded keys, their length and algorithms).

#### LM\_TS\_VA\_02

#### subclause A.4.10

### c1\_1, c3\_2, c12\_1, c16\_12

File ID : "11	00"	Mandatory
AC:		
UPDATE	NEV	
LOAD KE	Y FILE Application provider	
INVALIDA		
REHABIL	ITATE Application provider	
Bytes	Description	Length
1	Keyset version	1
2	Keylength of key 1 (X)	1
3	Algorithm ID for key 1	1
4(3 + X)	KEY 1	Х
(4 + X)	Keylength of key 2 (Y)	1
(5 + X)	Algorithm ID for key 2	1
(6 + X)	KEY 2	Y

## Table 10: Coding of EF<sub>DIK2</sub>(UC)

## Purpose

Ensure that the coding of the  $EF_{DIK2}(UC)$  is valid.

#### Preconditions

- The DF containing the keyset is selected.
- $EF_{KEYOP}$  is diversified using  $EF_{DIK2}$  as a destination

#### Test

Perform a SELECT on  $\mathrm{EF}_{\mathrm{DIK2}}(\mathrm{UC})$  and analyse the response of the SELECT command.

#### Result

SELECT response data should be in accordance with the expected values (loaded keys, their length and algorithms).

## 6.2.3.3 Balance

LM\_BA\_VA\_01 subclause A.4.9

#### c1\_1, c3\_3, c12\_1, c16\_10

## Table 11: Coding of EF<sub>AMOUNT</sub>(SM)

File ID : "12	XX"	Optional
AC: READ CREATE DECREA INCREA INVALID REHABII	SE PRO ATE PRO	
Bytes	Description	Length
13	Counter value	3

#### Purpose

Ensure that the contents of the  $\text{EF}_{\text{AMOUNT}}(\text{SM})$  is valid.

#### Preconditions

- None.

#### Test

Perform a SELECT on  $EF_{AMOUNT}(SM)$  and analyse the response of the SELECT command. Perfom a READ on that file and check the contents.

#### Result

SELECT response data should be in accordance with the expected values (size and access conditions), the contents shall have the expected values.

## 6.2.3.4 Operating system

### LM\_OS\_VA\_01 subclause A.4.1 c1\_1, c3\_4, c12\_1, c16\_1

#### Table 12: Coding of MF

File ID : "3F 00"	Mandatory
AC: DELETE FILE Application provider CREATE/EXTEND FILE Application provider INVALIDATE Application provider REHABILITATE Application provider	

#### Purpose

Ensure that the coding of the MF is valid.

#### Preconditions

- None.

## Test

Apply a SELECT command [8] to the MF.

#### Result

The successful execution of the SELECT command shall return valid coding for a MF.

c1\_1, c3\_4, c12\_1, c16\_2

File ID : "00	02"	Mand	atory
AC:			-
READ	ALW		
CREATE	EXECUTE NEV		
UPDATE	NEV		
WRITE	NEV		
INVALID	ATE NEV		
REHABILITATE NEV			
Bytes	Description	M/O	Length
1	Clockstop	М	1
25	IC card serial number	М	4
69	IC card manufacturing references	M	4
10	Card personalizer ID	М	1
1115	Embedder/IC assembler ID	M	5
1617	IC identifier	0	2
18	Card profile	0	1
19	Type of selection	0	1

Table 13: Coding of EF<sub>ICC</sub>

#### Purpose

Check for existence, settings and contents of EF<sub>ICC</sub>.

#### Preconditions

- None.

### Test

Apply a SELECT and a READ BINARY to EF<sub>ICC</sub>.

#### Result

The response to SELECT and READ BINARY shall be in accordance with defined values in TS 101 200-3 [8]. Ensure that the contents of the  $EF_{ICC}$  is valid.

LM\_OS\_VA\_03

subclause A.4.3

## c1\_1, c3\_4, c12\_1, c16\_3

## Table 14: Coding of EF<sub>DIR</sub>

File ID : "2F 00" Option		ional	
AC:			
READ	issuer/application provider		
CREAT	E EXECUTE NEV		
UPDAT	E issuer/application provider		
WRITE	issuer/application provider		
INVALIE	DATE issuer/application provider		
REHAB	ILITATE issuer/application provider		
Bytes	Description	M/O	Length
1	Application identifier tag "4F"	М	1
2	Application identifier length	М	1
3	Application identifier	М	1-16
	Application label tag "50"	М	1
	Application label length	М	1
	Application label (Verbal description)	М	0-16
	Path tag "51"	М	1
	Path length	М	1
	Path	М	Х

#### Purpose

Check for existence, settings and possibly contents of  $\text{EF}_{\text{DIR}}$ .

#### Preconditions

- None.

#### Test

SELECT  $EF_{DIR}$  and if possible READ the complete contents, and try to SELECT with AID each of the DFs of the applications in  $EF_{DIR}$ .

#### Result

SELECT response data should be in accordance with defined values in base standard. If the file was readable then all DFs of the applications in  $EF_{DIR}$  shall be selectable.

#### LM\_OS\_VA\_04 subclause A.4.6 c1\_1, c3\_4, c12\_1, c16\_6

#### Table 15: Coding of DFx

le ID : "XX XX"		Mandatory
C:		
DELETE FILE	Application provider	
CREATE/EXTEND FILE	Application provider	
INVALIDATE A	oplication provider	
REHABILITATE	Application provider	

#### Purpose

Ensure that the DFx exists.

#### Preconditions

- None.

### Test

Apply a SELECT command [8] to the DF.

#### Result

The successful execution of the SELECT command shall return valid coding for a DF.

c1\_1, c3\_4, c12\_1, c16\_9

File ID : "02	XX"	Mandatory
AC:		
READ	NEV	
CREATE	EXECUTE PRO	
UPDATE	NEV	
WRITE	NEV	
INVALIDA	ATE NEV	
REHABIL	ITATE NEV	
Bytes	Description	Length
1	INS of the SM command	1
2	INS of the UC command which is included in the cryptogram	1
3	Key number linked to the SM command	1
45	File ID of the relevant file in the SM	2
6	INS of the SM command	1
7	INS of the UC command which is included in the cryptogram	1
8	Key number linked to the SM command	1
910	File ID of the relevant file in the SM	2

## Table 16: Coding of EF<sub>KEYTABLE</sub>

#### Purpose

Ensure that the contents of the EF<sub>KEYTABLE</sub> is valid.

#### Preconditions

- None.

### Test

Apply a SELECT to EF<sub>KEYTABLE</sub>.

#### Result

The result of SELECT shall return a correct size and the expected access conditions.

## 6.2.4 Functions

## 6.2.6.1 General tests

The following tests do not apply to any specific instruction, but are common to all.

### RC\_XX\_IV\_01 subclause 9.3.1.4 c1\_1, c15\_10

#### Purpose

Ensure that the SM recognizes a not allowed instruction class. See IXIT for a not allowed instruction class.

#### Preconditions

- None.

### Test

Send any supported command such as ASK PARAMETER, with the not allowed CLA-Byte.

### Result

The expected status word is "6E XX".

#### RC\_XX\_IV\_02 subclause 9.3.1.4 c1\_1, c15\_11

#### Purpose

Ensure that the SM recognizes a not allowed instruction code. See IXIT for a not allowed instruction code.

#### Preconditions

- None.

## Test

Send any byte combination with a not allowed INS-Byte, but valid CLA-Byte.

#### Result

The expected status word is "6D XX".

RC XX IV 03	subclause 9.3.1.4	c1 1, c15 12

#### Purpose

Ensure that the SM returns "6F XX" on technical problems.

#### Preconditions

- None.

### Test

No test can be given for a generic implementation, so nothing is tested for technical problems.

#### Result

The expected status word is "6F XX".

RC\_XX\_IV\_04 subclause 9.3.1.4 c1\_1, c15\_3

#### Purpose

Ensure that the SM checks the sequence of the commands.

#### Preconditions

- None.

## Test

No test can be given for a generic implementation, so nothing is tested for a sequence control.

#### Result

The expected status word is "98 AD".

## 6.2.4.1 Without MAC

## 6.2.4.1.1 SELECT KEYSET

## FU\_SK\_VA\_01 subclause 8.1.1 c9\_1, c14\_1.8, c15\_15

#### Table 17: Key qualifier (in case of a MF in the UC)

Bytes	Description	M/O	Length
14	IC card manufacturing references (coded according to EN726-3 [8])	М	4
5	Card personalizer ID (coded according to TS 101 200-3 [8])	М	1
67	File ID of the EF <sub>KEY</sub>	М	2
8	Keyfile version (coded according to TS 101 200-3 [8])	М	1

#### Purpose

Ensure that the SM with SELECT KEYSET is able to select a keyset from the MF in the SM.

#### Preconditions

- A keyset for the MF of the UC exists in the SM.

#### Test

Send a SELECT KEYSET command with a parameter field containing the keyset description for the MF.

#### Result

The expected status word is "90 00".

#### FU\_SK\_VA\_02 subclause 8.1.1 c9\_1, c14\_1.8, c15\_15

#### Table 18: Key qualifier (in case of a DF in the UC)

Bytes	Description	M/O	Length
1X	AID (coded according to TS 101 200-3 [8])	М	1 - 16
(X + 1)	File ID of the EF <sub>KEY</sub>	М	2
(X + 2)			
X + 3	Keyfile version (coded according to TS 101 200-3 [8])	М	1

#### Purpose

Ensure that the SM with SELECT KEYSET is able to select a keyset from a DF in the SM.

#### Preconditions

– A keyset for the DF of the UC exists in the SM.

#### Test

Send a SELECT KEYSET command with a parameter field containing the keyset description for the DF.

### Result

The expected status word is "90 00".

#### FU\_SK\_VA\_03 subclause 8.1.1 c9\_1.1, c14\_1.8, c15\_15

#### Purpose

\_

Ensure that the SM with SELECT KEYSET is able to select a specific keyset from a DF of the SM.

#### Preconditions

A second keyset for the DF of the UC exists in the SM.

#### Test

Send a SELECT KEYSET command with a parameter field containing the keyset description for the second keyset in the DF.

#### Result

The expected status word is "90 00".

CLA	Class byte
INS	"50"
P1	"00"
P2	"00"
L <sub>c</sub> field	Length of data field
Data field	Key qualifier (see above)
L <sub>e</sub> field	Empty

## Table 19: Coding of the SELECT KEYSET command

#### CO\_SK\_IV\_01 subclause A.5.2.1, 8.1.1 c1\_1, c9\_1, c18\_1, c14\_1.2, c15\_8

#### Purpose

Ensure that the SM checks the availability of a file, when using the SELECT KEYSET command and that it fails when a not existing application identifier is used.

#### Preconditions

- A description for a not existing keyset exists (Wrong AID).

#### Test

Send a SELECT KEYSET command with a parameter field containing the not existing keyset.

#### Result

The expected status word is "94 04".

## CO\_SK\_IV\_02 subclause A.5.2.1, 8.1.1 c1\_1, c9\_1, c18\_1, c14\_1.2, c15\_8

#### Purpose

Ensure that the SM checks the availability of a file, when using the SELECT KEYSET command and that it fails when a not existing file identifier is used.

#### Preconditions

A keyset exists.

#### Test

Send a SELECT KEYSET command with a parameter field containing a different file ID.

#### Result

The expected status word is "94 04".

CO SK IV 03	subclause A.5.2.1, 8.1.1	c1 1, c9	1, c18 1	, c14_1.2, c15_8

#### Purpose

Ensure that the SM checks the availability of a file, when using the SELECT KEYSET command and that it fails when containing not existing manufacturing references are used.

#### Preconditions

- A keyset exists on MF level.

#### Test

Send a SELECT KEYSET command with a parameter field containing not existing manufacturing references.

#### Result

The expected status word is "94 04".

CO\_SK\_IV\_04 subclause A.5.2.1, 8.1.1 c1\_1, c9\_1, c18\_1, c14\_1.2, c15\_8

#### Purpose

Ensure that the SM checks the availability of a file, when using the SELECT KEYSET command and that it fails when containing a not existing Card personalizer ID is used.

#### Preconditions

A keyset exists on MF level.

#### Test

Send a SELECT KEYSET command with a parameter field containing not existing Card personalizer ID.

#### Result

The expected status word is "94 04".

#### CO\_SK\_IV\_05 subclause A.5.2.1, 8.1.1 c1\_1, c9\_1, c18\_1, c14\_1.2, c15\_8

#### Purpose

Ensure that the SM checks the availability of a file, when using the SELECT KEYSET command and that it fails when containing a not existing keyfile version number is used.

## Preconditions

A keyset exists.

#### Test

Send a SELECT KEYSET command with a parameter field containing not existing keyfile version number.

#### Result

The expected status word is "94 04".

#### Table 20: Return codes for SELECT KEYSET

Return	Error description	
Code		
98 AD	Command out of sequence	
94 04	- File ID not found	
6E XX	<ul> <li>Wrong instruction class given in the command</li> </ul>	
6D XX	Unknown instruction code given in the command	
6F XX	Technical problem with no diagnostic given (command aborted)	
6B XX	P1 ≠ "00" or P2 ≠ "00"	
67 XX	- $L_{C} \neq$ "08" in case of a key qualifier for the MF	
	- $L_{c} < "04"$ or $L_{c} > "13"$ in case of a key qualifier for a DF	
90 00	- Normal ending (ACK) of the command	

### RC\_SK\_IV\_01 subclause A.5.2.1, 8.1.1 c1\_1, c9\_1, c18\_1, c14\_1.6, c15\_13

### Purpose

Ensure that the SM checks the value of P1 and P2 to be "00".

#### Preconditions

- A keyset exists.

#### Test

Send a SELECT KEYSET command with a parameter  $P1 \neq 0$  or  $P2 \neq 0$ .

#### Result

The expected status word is "6B XX".

RC\_SK\_IV\_02 subclause A.5.2.1, 8.1.1 c1\_1, c9\_1, c18\_1, c14\_1.7, c15\_14

#### Purpose

Ensure that the SM checks the value of Lc to be "08" for a keyfile in the MF.

#### Preconditions

– A keyset exists.

#### Test

Send a SELECT KEYSET command with a parameter  $Lc \neq "08"$ .

#### Result

The expected status word is "67 XX".

RC_SK_IV_03	subclause A.5.2.1, 8.1.1	c1_1, c9_1, c18_1, c14_1.7, c15_14
-------------	--------------------------	------------------------------------

#### Purpose

Ensure that the SM checks the value of Lc to be between "04" and "13" for a keyfile in the DF.

#### Preconditions

A keyset exists.

#### Test

Send a SELECT KEYSET command with a parameter Lc < "04" or Lc > "13".

#### Result

The expected status word is "67 XX".

## 6.2.4.1.2 DIVERSIFY KEYSET

FU\_DK\_VA\_01 subclause 8.1.2 c9\_1, c9\_2,c14\_2.9,c15\_15

#### Table 21: Diversification data

Bytes	Description	M/O	Length
1X	Diversification data (application dependent)	М	1 - 16

#### Purpose

Ensure that the SM with DIVERSIFY KEYSET is able to derive temporary keys from all the master keys of the previously selected keyset.

#### Preconditions

- A SELECT KEYSET on a keyset containing master keys has been done successfully.

#### Test

Send a DIVERSIFY KEYSET command with a parameter field containing valid diversification data.

#### Result

The expected status word is "90 00".

#### FU\_DK\_IV\_01 subclause 8.1.2 c9\_2.2, c14\_2.2, c15\_6

#### Purpose

Ensure that the SM requires a SELECT KEYSET before a DIVERSIFY KEYSET can be performed.

#### Preconditions

- A SELECT KEYSET has not been done.

#### Test

Send a DIVERSIFY KEYSET command with a parameter field containing valid diversification data.

## Result

The expected status word is "94 00".

CLA	Class byte
INS	"52"
P1	"00" EF <sub>DIK</sub> number 1 temporary keys selected as active keyset for all
	following commands
	"01" EF <sub>DIK</sub> number 2 temporary keys to be downloaded
P2	"00"
L <sub>c</sub> field	Length of data field
Data field	Algorithm ID for diversification
	diversification data
L <sub>e</sub> field	Empty

#### CO\_DK\_VA\_01 subclause 8.1.2 c1\_1, c9\_1, c9\_2, c14\_2.9, c15\_15

#### Purpose

Ensure that the SM with DIVERSIFY KEYSET is able to derive temporary keys from all the master keys of the previously selected keyset and uses  $EF_{DIK1}$  for storing the diversified keys.

#### Preconditions

A SELECT KEYSET on a keyset containing master keys has been done successfully.

#### Test

\_

Send a DIVERSIFY KEYSET command with a parameter field containing valid diversification data and P1 = "00".

#### Result

The expected status word is "90 00".

## CO\_DK\_VA\_02 subclause 8.1.2 c1\_1, c9\_1, c9\_2,c14\_2.9,c15\_15

#### Purpose

Ensure that the SM with DIVERSIFY KEYSET is able to derive temporary keys from all the master keys of the previously selected keyset and uses  $EF_{DIK2}$  for storing the diversified keys.

#### Preconditions

- A SELECT KEYSET on a keyset containing master keys has been done successfully.

#### Test

Send a DIVERSIFY KEYSET command with a parameter field containing valid diversification data and P1 = "01".

#### Result

The expected status word is "90 00".

Return Code	Error description	
98 AD	<ul> <li>Command out of sequence</li> </ul>	
94 00	- No EF selected	
94 08	<ul> <li>Current file-type is inconsistent with the command</li> </ul>	
6E XX	<ul> <li>Wrong instruction class given in the command</li> </ul>	
6D XX	<ul> <li>Unknown instruction code given in the command</li> </ul>	
6F XX	<ul> <li>Technical problem with no diagnostic given (command aborted)</li> </ul>	
6B XX	<ul> <li>P1 ≠ "00" and P1 ≠ "01" or P2 ≠ "00"</li> </ul>	
67 XX	<ul> <li>No test is foreseen for this status word</li> </ul>	
90 00	<ul> <li>Normal ending (ACK) of the command</li> </ul>	

#### Table 23: Return codes for DIVERSIFY KEYSET

### RC\_DK\_IV\_01 subclause A.5.2.2, 8.1.2 c1\_1, c9\_1, c9\_2, c14\_2.3, c15\_9, c18\_1, c18\_2

#### Purpose

Ensure that the SM checks the type of the selected EF.

#### Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done successfully.

#### Test

Send a DIVERSIFY KEYSET command with a parameter field containing valid diversification data.

#### Result

The expected status word is "94 08".

RC\_DK\_IV\_02 subclause A.5.2.2, 8.1.2 c1\_1, c9\_1, c9\_2, c18\_1, c18\_2, c14\_2.7, c15\_13

#### Purpose

Ensure that the SM checks the value of P1 to be "00" or "01" and P2 to be "00"

#### Preconditions

- A SELECT KEYSET on a keyset containing master keys has been done successfully.

#### Test

Send a DIVERSIFY KEYSET command with a parameter field containing valid diversification data, but  $P1 \neq "00"$  and "01" or  $P2 \neq "00"$ .

#### Result

The expected status word is "6B XX".

## 6.2.4.1.3 ASK PARAMETER

#### FU\_AP\_VA\_01 subclause 8.1.3 c9\_1, c9\_3, c11\_4, c14\_3.8, c15\_15

#### Table 24: Returned value

Bytes	Description	M/O	Length
1 - X	Challenge	М	Х

#### Purpose

Ensure that the SM keeps the challenge until the next function requires it.

#### Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done successfully.

#### Test

Send an ASK PARAMETER command, followed by a VERIFY CRYPTOGRAM or any other command requiring an ASK PARAMETER.

#### Result

The expected status word is "90 00" for all commands.

#### FU\_AP\_VA\_02 subclause 8.1.3 c9\_1, c9\_3.1, c11\_1,c14\_3.8, c14\_8.9, c15\_15

#### Purpose

Ensure that the SM keeps the challenge as long only VERIFY MAC is used.

#### Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done successfully.

#### Test

Send an ASK PARAMETER command, followed by two VERIFY MAC commands.

#### Result

The expected status word is "90 00" for all commands.

## FU\_AP\_VA\_03 subclause 8.1.3 c9\_1, c9\_3.1, c10\_2, c11\_3, c12\_1, c14\_3.8, c14\_6.8, c14\_10.12, c15\_15

For a SM supporting the INCREASE (SM) command it is necessary to keep the random number at least during a GIVE RANDOM and the COMPUTE MAC command.

The following scenario is tested for the SM :



#### Purpose

Ensure that the SM keeps the challenge until a function uses it.

#### Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done successfully.

#### Test

Send an ASK PARAMETER command, asking for a random. Send a GIVE RANDOM, with a random number from a UC. Send a COMPUTE MAC with a data field for DECREASE STAMPED. Send a INCREASE (SM) command, with the data returned from the UC.

#### Result

The expected status word is "90 00" for all commands.

## FU\_AP\_VA\_04subclause 8.1.3c9\_1, c9\_3.1, c10\_4, c11\_1, c12\_1, c14\_3.8, c14\_8.9,c14\_11.11, c15\_15

For a SM supporting the DECREASE (SM) command it is necessary to keep the random number at least during a GIVE RANDOM and the DECREASE (SM) command.

The following scenario is tested for the SM :



#### Purpose

Ensure that the SM keeps the challenge until a function uses it.

#### Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done successfully.

#### Test

Send an ASK PARAMETER command, asking for a random. Send a GIVE RANDOM, with a random number from a UC. Send a DECREASE (SM) with a data field for INCREASE STAMPED. Send a VERIFY MAC command, with the data returned from the UC.

#### Result

The expected status word is "90 00" for all commands.

FU\_AP\_VA\_05 subclause 8.1.3 c9\_3, c14\_3.8, c15\_15

#### Purpose

Ensure that the SM sends different random values.

#### Preconditions

- An ASK PARAMETER to ask for a random has been done.

#### Test

Send an ASK PARAMETER to ask for a random.

#### Result

The expected status word is "90 00". The returned random values shall be different.

FU\_AP\_VA\_06 subclause 8.1.3 c9\_1, c9\_3.2.1, c14\_3.8, c15\_15

#### Purpose

Ensure that the SM uses separate counters for individual keysets.

#### Preconditions

- An ASK PARAMETER to ask for a counter value has been done.
- A SELECT KEYSET has been done successfully.

#### Test

Send a SELECT KEYSET for another keyset.

Send an ASK PARAMETER to ask for a counter.

#### Result

The expected status words are "90 00". The returned counter value shall not be the successor of the counter value returned before.

#### FU\_AP\_VA\_07 subclause 8.1.3 c9\_1, c9\_3.3, c14\_3.8, c15\_15

#### Purpose

Ensure that the SM uses the same counter for individual keysets.

#### Preconditions

- An ASK PARAMETER to ask for a counter value has been done.
- A SELECT KEYSET has been done successfully.

#### Test

Send a SELECT KEYSET for another keyset.

Send an ASK PARAMETER to ask for a counter.

#### Result

The expected status words are "90 00". The returned counter value shall be the successor of the counter value returned before.

FU AP IV 01 subclause 8.1.3 c9 1, c9 1	3.1, c11 4	1_4, c14_8.3, c15	52
----------------------------------------	------------	-------------------	----

#### Purpose

Ensure that the SM keeps the challenge only until the next function requires it.

#### Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done successfully.
- An ASK PARAMETER has been done.
- A VERIFY CRYPTOGRAM command or any other command requiring an ASK PARAMETER except VERIFY MAC has been given.

#### Test

Send a VERIFY CRYPTOGRAM command or any other command requiring an ASK PARAMETER except VERIFY MAC commands.

#### Result

The expected status word is "98 35".

CLA	Class byte
INS	"54"
P1	"00" random number
	"01" counter related to the selected keyset containing masterkeys
P2	"00"
L <sub>c</sub> field	Empty
Data field	Empty
L <sub>e</sub> field	Maximum length of data expected in response

### Table 25: Coding of the ASK PARAMETER command

CO\_AP\_IV\_01 subclause A.5.3.1, 8.1.3 c1\_1, c9\_1, c9\_3, c18\_1, c18\_3, c14\_3.2, c15\_7

#### Purpose

Ensure that the SM checks the range of the counter.

#### Preconditions

- A SELECT KEYSET on a keyset containing master keys has been done successfully.
- The counter value is "FF".

#### Test

Send an ASK PARAMETER (counter) with a response length of one byte.

#### Result

The expected status word is "94 02".

#### Table 26: Return codes for ASK PARAMETER

Return Code	Error description
98 AD	- Command out of sequence
92 0X	- Update successful but after using internal retry routine X times
92 40	- Update impossible (memory problem)
94 02	- Out of range (invalid address)
6E XX	- Wrong instruction class given in the command
6D XX	- Unknown instruction code given in the command
6F XX	- Technical problem with no diagnostic given (command aborted)
6B XX	- P1 ≠ "00" and P1 ≠ "01" or P2 ≠ "00"
67 XX	- Le = 0
90 00	- Normal ending (ACK) of the command

RC\_AP\_IV\_01 subclause A.5.3.1, 8.1.3 c1\_1, c9\_3, c18\_3, c14\_3.6, c15\_13

#### Purpose

Ensure that the SM checks the value of P1  $\neq$  "00" and P1  $\neq$  "01" or P2  $\neq$  "00".

#### Preconditions

- None.

### Test

Send an ASK PARAMETER with  $P1 \neq "00"$  and  $P1 \neq "01"$  or  $P2 \neq "00"$ .

### Result

The expected status word is "6B XX".

## 6.2.4.2 To compute a MAC

## 6.2.4.2.1 COMPUTE LOAD KEY

## Table 27: Structure of COMPUTE LOAD KEY

Bytes	Description	M/O	Length
-X0	Command Header		X + 1
1	INS byte of the following LOAD KEY FILE command sent to the UC (coded according to TS 101 200-3 [8])	М	1
2	P1 of the following LOAD KEY FILE command sent to the UC (coded according to TS 101 200-3 [8])	Μ	1
3	P2 of the following LOAD KEY FILE command sent to the UC (coded according to TS 101 200-3 [8])	Μ	1
4	Lc of the following LOAD KEY FILE command sent to the UC (coded according to TS 101 200-3 [8])	Μ	1
5	Key file version (only present if key number 1)	М	1
6	Key length	М	1
7	Algorithm identifier	М	1
8Y	Command Trailer		Y-7

### Table 28: Answer to COMPUTE LOAD KEY

Bytes	Description	M/O	Length
1 16	Enciphered key	М	16
17 24	MAC	М	8

## FU\_CL\_VA\_01 subclause 8.2.1 c9\_1, c10\_1.1, c10\_1.2, c12\_1, c14\_12.8, c15\_15

#### Purpose

Ensure that the SM is able to calculate the proper answer to load a key into a UC.

#### Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done successfully.
- A GIVE RANDOM has been sent.

#### Test

Send a COMPUTE LOAD KEY command.

#### Result

The expected status word is "90 00". The returned value is checked against the expected values.
# FU\_CL\_VA\_02 subclause 8.2.1 c2\_2, c9\_1, c10\_1.1, c10\_1.2, c12\_1, c14\_12.9, c15\_16

#### Purpose

Ensure that the SM is able to calculate the proper answer to load a key into a UC.

### Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done successfully.
- A GIVE RANDOM has been sent.

## Test

Send a COMPUTE LOAD KEY command.

# Result

The expected status word is "9F XX". The returned value is checked against the expected values.

FU CL IV 01	subclause 8.2.1	c9_1, c12_1	l, c10 1.3	, c14 12.2, e	c15 2

#### Purpose

Ensure that the SM requires a Random number to compute the load key.

## Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done successfully.
- A GIVE RANDOM has not been sent.

# Test

Send a COMPUTE LOAD KEY command.

## Result

The expected status word is "98 35".

FU\_CL\_IV\_02 subclause 8.2.1 c10\_1.4, c12\_1, c15\_6

#### Purpose

Ensure that the SM checks that a keyset has been selected.

# Preconditions

- A SELECT KEYSET has not been done.
- A GIVE RANDOM has been sent.

# Test

Send a COMPUTE LOAD KEY command.

# Result

The expected status word is "94 00".

# FU\_CL\_IV\_03 subclause 8.2.1 c9\_1, c10\_1.4.1, c12\_1, c15\_9

## Purpose

Ensure that the SM checks that the keyset contains diversified keys.

## Preconditions

- A SELECT KEYSET on a keyset containing master keys has been done.
- A GIVE RANDOM has been sent.

## Test

Send a COMPUTE LOAD KEY command.

## Result

The expected status word is "94 08".

# Table 29: Coding of the COMPUTE LOAD KEY command

CLA	Class byte		
INS	"70"		
P1	"00" EF <sub>DIK</sub> number 1 is the source for the keys to download		
	"01" EF <sub>DIK</sub> number 2 is the source for the keys to download		
P2	Key number of the load key in the active keyset		
L <sub>c</sub> field	"07" key number 1 is computed		
	"06" for all other keys		
Data field	"D8" (coded according to TS 101 200-3 [8])		
	EF <sub>KEY</sub> type (coded according to TS 101 200-3 [8])		
	Key to download (coded according to TS 101 200-3 [8])		
	L <sub>C</sub> of the command sent to the UC (coded according to TS 101 200-3 [8])		
	Key file version (only present if key number 1)		
	Key length Algorithm identifier		
L <sub>e</sub> field	Maximum length of data expected in response		

CO\_CL\_IV\_01 subclause A.5.4.1, 8.2.1 c1\_1, c9\_1, c10\_1, c12\_1, c14\_12.7, c15\_14, c18\_1, c18\_4

## Purpose

Ensure that the SM checks the value of  $L_c$  to be "07", if key number 1 is to be computed.

## Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- A GIVE RANDOM has been sent.

## Test

Send a COMPUTE LOAD KEY command with a data field and  $L_c$  longer than 7 bytes, but the first 7 bytes contain a valid coding.

## Result

The expected status word is "67 XX".

CO\_CL\_IV\_02 subclause A.5.4.1, 8.2.1 c1\_1, c9\_1, c10\_1, c12\_1, c14\_12.7, c15\_14, c18\_1, c18\_4

## Purpose

Ensure that the SM checks the value of  $L_c$  to be "06", if any other key than key 1 is to be computed.

## Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- A GIVE RANDOM has been sent.

#### Test

Send a COMPUTE LOAD KEY command with a data field and  $L_c$  longer than 6 byte, but the first 6 bytes contain a valid coding.

## Result

The expected status word is "67 XX".

# CO\_CL\_IV\_03 subclause A.5.4.1, 8.2.1 c1\_1, c9\_1, c10\_1, c12\_1, c15\_8, c18\_1, c18\_4

## Purpose

Ensure that the SM checks the coding of the data field (key number).

#### Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- A GIVE RANDOM has been sent.

#### Test

Send a COMPUTE LOAD KEY command with a data field containing a not existing key number.

#### Result

The expected status word is "94 04".

CO\_CL\_IV\_04 subclause A.5.4.1, 8.2.1 c1\_1, c9\_1, c10\_1, c12\_1, c15\_8, c18\_1, c18\_4

#### Purpose

Ensure that the SM checks the coding of the data field (key version).

# Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- A GIVE RANDOM has been sent.

# Test

Send a COMPUTE LOAD KEY command with a data field containing a not existing key version.

## Result

The expected status word is "94 04".

CO\_CL\_IV\_05 subclause A.5.4.1, 8.2.1 c1\_1, c9\_1, c10\_1, c12\_1, c15\_8, c18\_1, c18\_4

## Purpose

Ensure that the SM checks the coding of the data field (algo ID).

## Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- A GIVE RANDOM has been sent.

## Test

Send a COMPUTE LOAD KEY command with a data field containing a not existing algo ID.

## Result

The expected status word is "94 04".

# CO\_CL\_IV\_06 subclause A.5.4.1, 8.2.1 c1\_1, c9\_1, c10\_1, c15\_8, c12\_1, c18\_1, c18\_4

#### Purpose

Ensure that the SM checks the coding of the data field (key length).

## Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- A GIVE RANDOM has been sent.

# Test

Send a COMPUTE LOAD KEY command with a data field containing an existing key with an invalid length.

## Result

The expected status word is "94 04".

Return	Error description
Code	
98 35	- No ASK PARAMETER/GIVE RANDOM before
98 AD	- Command out of sequence
94 00	- No EF selected
94 08	<ul> <li>Current file-type is inconsistent with the command</li> </ul>
6E XX	- Wrong instruction class given in the command
6D XX	<ul> <li>Unknown instruction code given in the command</li> </ul>
6F XX	<ul> <li>Technical problem with no diagnostic given (command aborted)</li> </ul>
6B XX	- P1 ≠ "00" and P1 ≠ "01"
67 XX	- L <sub>C</sub> ≠ "06" and L <sub>C</sub> ≠ "07"
90 00	- Normal ending (ACK) of the command
9F XX	- Length "XX" of the response data

## Table 30: Return codes for COMPUTE LOAD KEY

RC\_CL\_IV\_01 subclause A.5.4.1, 8.2.1 c1\_1, c9\_1, c10\_1, c12\_1, c18\_1, c18\_4, c14\_12.6, c15\_13

# Purpose

Ensure that the SM checks the value of P1 to be "00" or "01".

## Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- A GIVE RANDOM has been sent.

## Test

Send a COMPUTE LOAD KEY command with a parameter  $P1 \neq "00"$  and  $P1 \neq "01"$ .

# Result

The expected status word is "6B XX".

# 6.2.4.2.2 COMPUTE MAC

# Table 31: Structure of COMPUTE MAC

Bytes	Description	M/O	Length
-X0	Command Header		X + 1
1	INS byte of the following command sent to the UC (coded according to TS 101 200-3 [8])	M	1
2	P1 of the following command sent to the UC (coded according to TS 101 200-3 [8])	M	1
3	P2 of the following command sent to the UC (coded according to TS 101 200-3 [8])	M	1
4	Lc of the following command sent to the UC (coded according to TS 101 200-3 [8])	М	1
5 (4 + Z)	The data field of the following command sent to the UC (coded according to TS 101 200-3 [8])	М	Z
(5 + Z)	Command Trailer		

# Table 32: Answer to COMPUTE MAC

Bytes	Description	M/O	Length
1 X	MAC	М	Х

# FU\_CM\_VA\_01 subclause 8.2.2 c9\_1, c12\_1, c10\_2.1, c14\_12.8, c15\_15

## Purpose

Ensure that the SM is able to calculate a proper MAC for the UC.

## Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- A GIVE RANDOM has been sent.

## Test

Send a COMPUTE MAC command with an allowed UC command.

## Result

The expected status word is "90 00". The returned value is checked against the expected values.

	FU CM VA 02	subclause 8.2.2	c2_2, c9_1, c12_1, c10_2.1, c14_6.9, c15_16
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#### Purpose

Ensure that the SM is able to calculate a proper MAC for the UC.

## Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- A GIVE RANDOM has been sent.

# Test

Send a COMPUTE MAC command with an allowed UC command.

#### Result

The expected status word is "9F XX". The returned value is checked against the expected values.

FU\_CM\_IV\_01 subclause 8.2.2 c9\_1, c10\_2.2, c14\_6.2, c15\_2

#### Purpose

Ensure that the SM requires a Random number to compute the MAC.

## Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- A GIVE RANDOM has not been sent.

# Test

Send a COMPUTE MAC command with an allowed UC command.

# Result

The expected status word is "98 35".

# FU\_CM\_IV\_02 subclause 8.2.2 c12\_1, c10\_2.3, c15\_6

#### Purpose

Ensure that the SM checks that a keyset has been selected.

## Preconditions

- A SELECT KEYSET has not been done.
- A GIVE RANDOM has been sent.

## Test

Send a COMPUTE MAC command with an allowed UC command.

## Result

The expected status word is "94 00".

# FU\_CM\_IV\_03 subclause 8.2.2 c9\_1, c12\_1, c10\_2.3.1, c15\_9

#### Purpose

Ensure that the SM checks that the keyset contains diversified keys.

## Preconditions

- A SELECT KEYSET on a keyset containing master keys has been done.
- A GIVE RANDOM has been sent.

# Test

Send a COMPUTE MAC command with an allowed UC command.

## Result

The expected status word is "94 08".

FU\_CM\_IV\_04 subclause 8.2.2 c9\_1, c12\_1, c10\_2, c15\_9

## Purpose

Ensure that the SM checks that the given function is allowed.

## Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- A GIVE RANDOM has been sent.

# Test

Send a COMPUTE MAC command with a not allowed UC command.

# Result

The expected status word is "94 08".

CLA	Class byte
INS	"8A"
P1	"00"
P2	Key number
L <sub>c</sub> field	Length of the data field ( $\geq$ "04")
Data field	<ul> <li>INS of following UC command (coded according to TS 101 200-3 [8])</li> <li>P1 of following UC command (coded according to TS 101 200-3 [8])</li> <li>P2 of following UC command (coded according to TS 101 200-3 [8])</li> <li>L<sub>c</sub> of following UC command (coded according to TS 101 200-3 [8])</li> <li>data field of following UC command (coded according to TS 101 200-3 [8])</li> </ul>
L <sub>e</sub> field	Maximum length of data expected in response

# Table 33: Coding of the COMPUTE MAC command

# Table 34: Return codes for COMPUTE MAC

Return	Error description
Code	
98 35	- No ASK PARAMETER/GIVE RANDOM before
98 AD	- Command out of sequence
6E XX	<ul> <li>Wrong instruction class given in the command</li> </ul>
6D XX	<ul> <li>Unknown instruction code given in the command</li> </ul>
6F XX	<ul> <li>Technical problem with no diagnostic given (command aborted)</li> </ul>
6B XX	- P1 ≠ "00"
67 XX	- L <sub>C</sub> < "04"
90 00	- Normal ending (ACK) of the command
9F XX	- Length "XX" of the response data

# RC\_CM\_IV\_01 subclause A.5.4.2, 8.2.2 c1\_1, c9\_1, c12\_1, c10\_2, c18\_1, c18\_5, c14\_6.6, c15\_13

# Purpose

Ensure that the SM checks the value of P1 to be "00".

## Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- A GIVE RANDOM has been sent.

# Test

Send a COMPUTE MAC command with a parameter  $P1 \neq "00"$ .

# Result

The expected status word is "6B XX".

RC\_CM\_IV\_02 subclause A.5.4.2, 8.2.2 c1\_1, c9\_1, c12\_1, c10\_2, c14\_6.7, c15\_14, c18\_1, c18\_5

## Purpose

Ensure that the SM checks the value of  $L_c \ge "04"$ .

## Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- A GIVE RANDOM has been sent.

## Test

Send a COMPUTE MAC command with a  $L_c$  of less than 4 and a matching data field.

#### Result

The expected status word is "67 XX".

# 6.2.4.2.3 COMPUTE CRYPTOGRAM

The command COMPUTE CRYPTOGRAM corresponds to the UC command EXTERNAL AUTHENTICATION.

# Table 35: Answer to COMPUTE CRYPTOGRAM

Bytes	Description	M/O	Length
1 X	Cryptogram	М	Х

FU CC VA 01	subclause 8.2.3	c9_1, c12_1, c10_3.1, c14_4.8, c15_15

# Purpose

Ensure that the SM is able to calculate a proper cryptogram for the UC.

#### Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- A GIVE RANDOM has been sent.

# Test

Send a COMPUTE CRYPTOGRAM command.

# Result

The expected status word is "90 00". The returned value is checked against the expected values.

# FU\_CC\_VA\_02 subclause 8.2.3 c2\_2, c9\_1, c12\_1, c10\_3.1, c14\_12.9, c15\_16, c14\_4.9, c15\_16 c15\_16 c14\_4.9, c15\_16

# Purpose

Ensure that the SM is able to calculate a proper cryptogram for the UC.

# Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- A GIVE RANDOM has been sent.

# Test

Send a COMPUTE CRYPTOGRAM command.

# Result

The expected status word is "9F XX". The returned value is checked against the expected values.

# FU\_CC\_IV\_01 subclause 8.2.3 c9\_1, c10\_3.2, c14\_4.2, c15\_2

## Purpose

Ensure that the SM requires a Random number to compute the cryptogram.

# Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- A GIVE RANDOM has not been sent.

# Test

Send a COMPUTE CRYPTOGRAM command.

## Result

The expected status word is "98 35".

FU\_CC\_IV\_02 subclause 8.2.3 c12\_1, c10\_3.3, c15\_6

# Purpose

Ensure that the SM checks that a keyset has been selected.

# Preconditions

- A SELECT KEYSET has not been done.
- A GIVE RANDOM has been sent.

# Test

Send a COMPUTE CRYPTOGRAM command.

## Result

The expected status word is "94 00".

# FU\_CC\_IV\_03 subclause 8.2.3 c9\_1, c12\_1, c10\_3.3.1, c15\_9

## Purpose

Ensure that the SM checks that the keyset contains diversified keys.

## Preconditions

- A SELECT KEYSET on a keyset containing master keys has been done.
- A GIVE RANDOM has been sent.

## Test

Send a COMPUTE CRYPTOGRAM command.

## Result

The expected status word is "94 08".

# Table 36: Coding of the COMPUTE CRYPTOGRAM command

CLA	Class byte
INS	"56"
P1	"00"
P2	Key number
L <sub>c</sub> field	Empty
Data field	Empty
L <sub>e</sub> field	Maximum length of data expected in response

# Table 37: Return codes for COMPUTE CRYPTOGRAM

Return Code	Error description	
98 35	<ul> <li>No ASK PARAMETER/GIVE RANDOM before</li> </ul>	
98 AD	- Command out of sequence	
6E XX	<ul> <li>Wrong instruction class given in the command</li> </ul>	
6D XX	<ul> <li>Unknown instruction code given in the command</li> </ul>	
6F XX	- Technical problem with no diagnostic given (command aborted)	
6B XX	- P1 ≠ "00"	
67 XX	<ul> <li>No test is foreseen for this status word</li> </ul>	
90 00	<ul> <li>Normal ending (ACK) of the command</li> </ul>	
9F XX	<ul> <li>Length "XX" of the response data</li> </ul>	

# RC\_CC\_IV\_01 subclause A.5.4.2, 8.2.2 c1\_1, c9\_1, c12\_1, c10\_3, c18\_1, c18\_6, c14\_4.6, c15\_13

# Purpose

Ensure that the SM checks the value of P1 to be "00".

# Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- A GIVE RANDOM has been sent.

# Test

Send a COMPUTE CRYPTOGRAM command with a parameter P1  $\neq$  "00".

# Result

The expected status word is "6B XX".

# 6.2.4.2.4 DECREASE (SM)

Bytes	Description	M/O	Length
-X0	Command Header		X + 1
1	INS byte of the following INCREASE or INCREASE STAMPED command sent to the UC (coded according to TS 101 200-3 [8])	М	1
2	P1 of the following INCREASE or INCREASE STAMPED command sent to the UC (coded according to TS 101 200-3 [8])	М	1
3	P2 of the following INCREASE or INCREASE STAMPED command sent to the UC (coded according to TS 101 200-3 [8])	М	1
4	Lc of the following INCREASE or INCREASE STAMPED command sent to the UC (coded according to TS 101 200-3 [8])	М	1
5 (4 + Z)	The data field of the following INCREASE or INCREASE STAMPED command sent to the UC (coded according to TS 101 200-3 [8])	М	Z
(5 + Z)	Command Trailer		

# Table 38: Structure of DECREASE (SM)

## Table 39: Answer to DECREASE (SM)

Bytes	Description	M/O	Length
1 X	MAC	М	Х

# FU\_DS\_VA\_01 subclause 8.3.4 c9\_1, c12\_1, c10\_4.5, c14\_11.11, c15\_15

# Purpose

Ensure that the SM is able to calculate a MAC for the UC and to decrease the amount stored in the counter of the SM.

## Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- A GIVE RANDOM has been sent.
- A SELECT on the counter to be decreased has been performed.

## Test

Send a DECREASE (SM) command with valid data. Send a READ RECORD command to read the contents.

# Result

The expected status word for DECREASE (SM) is "90 00". The MAC shall be correct. The returned value of the READ RECORD command shall be the expected one.

# FU\_DS\_VA\_02 subclause 8.3.4 c2\_2, c9\_1, c12\_1, c10\_4.5, c14\_11.12, c15\_16

## Purpose

Ensure that the SM is able to calculate a MAC for the UC and to decrease the amount stored in the counter of the SM.

## Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- A GIVE RANDOM has been sent.
- A SELECT on the counter to be decreased has been performed.
- The contents should be known

## Test

Send a DECREASE (SM) command with valid data. Send a READ RECORD command to read the contents.

#### Result

The expected status word for DECREASE (SM) is "9F XX". The MAC shall be correct. The returned value of the READ RECORD command shall be the expected one.

## FU\_DS\_IV\_01 subclause 8.3.4 c9\_1, c12\_1, c10\_4.1, c14\_11.5, c15\_9

## Purpose

Ensure that the SM checks the indicated key.

# Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- A GIVE RANDOM has been sent.
- A SELECT on the counter to be decreased has been performed.

## Test

Send a DECREASE (SM) command with valid data, but invalid key.

## Result

The expected status word is "94 08".

# FU\_DS\_IV\_02 subclause 8.3.4 c9\_1, c12\_1, c10\_4, c14\_11.5, c15\_9

## Purpose

Ensure that the SM checks that an INCREASE or INCREASE STAMPED will be used.

## Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- A GIVE RANDOM has been sent.
- A SELECT on the counter to be decreased has been performed.

## Test

Send a DECREASE (SM) command with valid data, but invalid INS for the UC command.

## Result

The expected status word is "94 08".

# FU\_DS\_IV\_03 subclause 8.3.4 c9\_1, c12\_1, c10\_4.2, c14\_11.2, c15\_2

## Purpose

Ensure that the SM requires a Random number to compute the MAC.

## Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- A GIVE RANDOM has not been sent.
- A SELECT on the counter to be decreased has been performed.

# Test

Send a DECREASE (SM) command with valid data.

# Result

The expected status word is "98 35".

## FU\_DS\_IV\_04 subclause 8.3.4 c9\_1, c12\_1, c10\_4.3, c14\_11.4, c15\_6

#### Purpose

Ensure that the SM checks that a keyset has been selected.

## Preconditions

- A SELECT KEYSET has not been done.
- A GIVE RANDOM has been sent.
- A SELECT on the counter to be decreased has been performed.

## Test

Send a DECREASE (SM) command with valid data.

## Result

The expected status word is "94 00".

# FU\_DS\_IV\_05 subclause 8.3.4 c9\_1, c12\_1, c10\_4.3.1, c14\_11.5, c15\_9

## Purpose

Ensure that the SM checks that the keyset contains diversified keys.

## Preconditions

- A SELECT KEYSET on a keyset containing master keys has been done.
- A GIVE RANDOM has been sent.
- A SELECT on the counter to be decreased has been performed.

## Test

Send a DECREASE (SM) command with valid data.

## Result

The expected status word is "94 08".

# FU\_DS\_IV\_06 subclause 8.3.4 c9\_1, c12\_1, c10\_4.4, c14\_11.4, c15\_6

# Purpose

Ensure that the SM checks that a counter to be decreased is selected.

## Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- A GIVE RANDOM has been sent.
- A SELECT on the counter to be decreased has not been performed.

## Test

Send a DECREASE (SM) command with valid data.

# Result

The expected status word is "94 00".

# Table 40: Coding of the DECREASE (SM) command

CLA	Class byte
INS	"5E"
P1	"00"
P2	Key number
L <sub>c</sub> field	Length of the data field ( $\geq$ "04")
Data field	<ul> <li>INS of following INCREASE or INCREASE STAMPED command sent to the UC (coded according to TS 101 200-3 [8])</li> <li>P1 of following INCREASE or INCREASE STAMPED command sent to the UC (coded according to TS 101 200-3 [8])</li> <li>P2 of following INCREASE or INCREASE STAMPED command sent to the UC (coded according to TS 101 200-3 [8])</li> <li>L<sub>c</sub> of following INCREASE or INCREASE STAMPED command sent to the UC (coded according to TS 101 200-3 [8])</li> <li>data field of following INCREASE or INCREASE STAMPED command sent to the UC (coded according to TS 101 200-3 [8])</li> <li>data field of following INCREASE or INCREASE STAMPED command sent to the UC (coded according to TS 101 200-3 [8])</li> </ul>
L <sub>e</sub> field	Maximum length of data expected in response

Return Code	Error description
98 35	<ul> <li>No ASK PARAMETER/GIVE RANDOM before</li> </ul>
98 AD	- Command out of sequence
92 0X	<ul> <li>Update successful but after using internal retry routine X times</li> </ul>
92 40	- Update impossible (memory problem)
94 00	- No EF selected
94 08	<ul> <li>Current file-type is inconsistent with the command</li> </ul>
6E XX	<ul> <li>Wrong instruction class given in the command</li> </ul>
6D XX	<ul> <li>Unknown instruction code given in the command</li> </ul>
6F XX	<ul> <li>Technical problem with no diagnostic given (command aborted)</li> </ul>
6B XX	- P1 ≠ "00"
67 XX	- L <sub>C</sub> < "04"
90 00	- Normal ending (ACK) of the command
9F XX	- Length "XX" of the response data

# Table 41: Return codes for DECREASE (SM)

# RC\_DS\_IV\_01 subclause A.5.6.2, 8.3.4 c1\_1, c9\_1, c12\_1, c10\_4, c18\_1, c18\_11, c14\_11.9, c15\_13

# Purpose

Ensure that the SM checks the value of P1 to be "00".

## Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- A GIVE RANDOM has been sent.
- A SELECT on the counter to be decreased has been performed.

# Test

Send a DECREASE (SM) command with a parameter  $P1 \neq "00"$ .

## Result

The expected status word is "6B XX".

RC_DS_IV_02	subclause A.5.6.2, 8.3.4	c1_1, c9_1, c12_1, c10_4, c14_11.10, c15_14, c18_1, c18_11	

# Purpose

Ensure that the SM checks the value of  $L_c \ge "04"$ .

#### Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- A GIVE RANDOM has been sent.
- A SELECT on the counter to be decreased has been performed.

## Test

Send a DECREASE (SM) command with a  $L_c$  of less than 4 and a matching data field.

# Result

The expected status word is "67 XX".

# RC\_DS\_IV\_03 subclause A.5.6.2, 8.3.4 c9\_1, c12\_1, c10\_4, c15\_4

## Purpose

Ensure that the SM is able to report the memory problems, when writing.

# Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- A GIVE RANDOM has been sent.
- A SELECT on the counter to be decreased has been performed.
- The memory can be written, after using the internal retry routine X times.

# Test

Send a DECREASE (SM) command with valid data.

# Result

The expected status word for DECREASE (SM) is "92 0X". The MAC shall be correct.

# RC\_DS\_IV\_04 subclause A.5.6.2, 8.3.4 c9\_1, c12\_1, c10\_4, c15\_5

## Purpose

Ensure that the SM is able to report the memory problems, when writing.

# Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- A GIVE RANDOM has been sent.
- A SELECT on the counter to be decreased has been performed.
- The memory can not be written.

## Test

Send a DECREASE (SM) command with valid data.

# Result

The expected status word for DECREASE (SM) is "92 40".

# 6.2.4.2.5 COMPUTE MAC EW

# Table 42: Structure of COMPUTE MAC EW

Bytes	Description	M/O	Length
-X0	Command Header		X + 1
1 Z	Data	М	Z
(Z + 1)	Command Trailer		

# Table 43: Answer to COMPUTE MAC EW

Γ	Bytes	Description	M/O	Length
Ī	1 X	MAC	М	Х

## FU\_CME\_VA\_01 subclause 8.2.3 c9\_1, c12\_1, c10\_5.1, c14\_7.8, c15\_15

# Purpose

Ensure that the SM is able to calculate a proper MAC for the data.

## Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- The selected key has the flag "AUTHENTICATION ALLOWED" set.
- An ASK PARAMETER command has been used.

## Test

Send a COMPUTE MAC EW command with any data without chaining.

## Result

The expected status word is "90 00". The returned value is checked against the expected values.

## FU\_CME\_VA\_02 subclause 8.2.3 c2\_2, c9\_1, c12\_1, c10\_5.1, c14\_7.9, c15\_16

## Purpose

Ensure that the SM is able to calculate a proper MAC for the data.

#### Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- The selected key has the flag "AUTHENTICATION ALLOWED" set.
- An ASK PARAMETER command has been used.

#### Test

Send a COMPUTE MAC EW command with any data without chaining.

# Result

The expected status word is "9F XX". The returned value is checked against the expected values.

# FU\_CME\_VA\_03 subclause 8.2.3 c9\_1, c12\_1, c10\_5.1, c10\_5.2, c14\_7.8, c14\_7.9, c15\_15, c15\_16

# Purpose

Ensure that the SM is able to calculate a proper MAC for the data using chaining.

## Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- The selected key has the flag "AUTHENTICATION ALLOWED" set.
- An ASK PARAMETER command has been used.

# Test

Send a COMPUTE MAC EW command with the first part of the data with chaining. Send a COMPUTE MAC EW command with the second part of the data without chaining.

## Result

The expected status word is "90 00" for both commands, only the second response includes the MAC. The returned value is checked against the expected values.

FU_CME_VA_04	subclause 8.2.3	c2_2, c9_1, c12_1, c10_5.1, c10_5.2, c14_7.8, c14_7.9,
c15_15, c15_16		

## Purpose

Ensure that the SM is able to calculate a proper MAC for the data using chaining.

## Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- The selected key has the flag "AUTHENTICATION ALLOWED" set.
- An ASK PARAMETER command has been used.

# Test

Send a COMPUTE MAC EW command with the first part of the data with chaining. Send a COMPUTE MAC EW command with the second part of the data without chaining.

## Result

The expected status word is "90 00" for the first command and "9F XX" for the second command. The returned value is checked against the expected values.

# FU\_CME\_IV\_01 subclause 8.2.3 c9\_1, c10\_5.2, c14\_7.2, c15\_2

#### Purpose

Ensure that the SM requires a Random number to compute the MAC.

## Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- The selected key has the flag "AUTHENTICATION ALLOWED" set.
- An ASK PARAMETER command has not been used.

## Test

Send a COMPUTE MAC EW command with any data without chaining.

## Result

The expected status word is "98 35".

# FU\_CME\_IV\_02 subclause 8.2.3 c12\_1, c10\_5.3, c15\_6

## Purpose

Ensure that the SM checks that a keyset has been selected.

## Preconditions

- A SELECT KEYSET has not been done.
- The selected key has the flag "AUTHENTICATION ALLOWED" set.
- An ASK PARAMETER command has been used.

# Test

Send a COMPUTE MAC EW command with any data without chaining.

# Result

The expected status word is "94 00".

## FU\_CME\_IV\_03 subclause 8.2.3 c9\_1, c12\_1, c10\_5.3, c15\_9

#### Purpose

Ensure that the SM checks that the keyset contains diversified keys.

## Preconditions

- A SELECT KEYSET on a keyset containing master keys has been done.
- The selected key has the flag "AUTHENTICATION ALLOWED" set.
- An ASK PARAMETER command has been used.

## Test

Send a COMPUTE MAC command with any data without chaining.

## Result

The expected status word is "94 08".

# FU\_CME\_IV\_04 subclause 8.2.3 c9\_1, c12\_1, c10\_2, c15\_9

#### Purpose

Ensure that the SM checks that the selected key is allowed for authentication.

## Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- The selected key has the flag "AUTHENTICATION ALLOWED" cleared.
- An ASK PARAMETER command has been used.

## Test

Send a COMPUTE MAC EW command with any data without chaining.

#### Result

The expected status word is "94 08" (key-type is inconsistent with the command).

# Table 44: Coding of the COMPUTE MAC EW command

CLA	Class byte
INS	"XX"
P1	"00" No chaining or last block "01" Chaining
P2	Key number
L <sub>c</sub> field	Length of the data field
Data field	Any data
L <sub>e</sub> field	Maximum length of data expected in response

## Table 45: Return codes for COMPUTE MAC EW

Return Code	Error description
98 35	<ul> <li>No ASK PARAMETER/GIVE RANDOM before</li> </ul>
98 AD	- Command out of sequence
6E XX	- Wrong instruction class given in the command
6D XX	- Unknown instruction code given in the command
6F XX	- Technical problem with no diagnostic given (command aborted)
6B XX	- P1 ≠ "00" and P1≠ "01"
67 XX	- No test is foreseen for this status word
90 00	- Normal ending (ACK) of the command
9F XX	- Length "XX" of the response data

# RC\_CME\_IV\_01 subclause A.5.4.3, 8.2.3 c1\_1, c9\_1, c12\_1, c10\_5, c18\_1, c18\_12, c14\_7.6, c15\_13

## Purpose

Ensure that the SM checks the value of P1 to be "00" or "01".

## Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- An ASK PARAMETER command has been used.
- The selected key has the flag "AUTHENTICATION ALLOWED" set.

## Test

Send a COMPUTE MAC EW command with a parameter P1  $\neq$  "00" and P1  $\neq$  "01".

## Result

The expected status word is "6B XX".

# 6.2.4.3 To verify a MAC

6.2.4.3.1 VERIFY MAC

# Table 46: Structure of VERIFY MAC

Bytes	Description	M/O	Length
-X0	Command Header		X + 1
1	INS byte of the previous command sent to the UC (coded according to TS 101 200-3 [8])	М	1
2	P1 of the previous command sent to the UC (coded according to TS 101 200-3 [8])	М	1
3	P2 of the previous command sent to the UC (coded according to TS 101 200-3 [8])	М	1
4	Le of the previous command sent to the UC (coded according to TS 101 200-3 [8])	М	1
5 (4 + Z)	The data field of the previous response sent by the UC (coded according to TS 101 200-3 [8])	М	Z
(5 + Z)	Command Trailer		

# FU\_VM\_VA\_01 subclause 8.3.1 c9\_1, c9\_3, c11\_1, c14\_8.9, c15\_15

#### Purpose

Ensure that the SM is able to verify a MAC from the UC.

## Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- An ASK PARAMETER command has been used.

## Test

Send a VERIFY MAC command with valid data.

# Result

The expected status word is "90 00".

# FU\_VM\_IV\_01 subclause 8.3.1 c9\_1, c9\_3, c11\_1, c14\_8.2, c15\_1

#### Purpose

Ensure that the SM really verifies the MAC from the UC.

## Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- An ASK PARAMETER command has been used.

# Test

Send a VERIFY MAC command with valid data, but invalid MAC.

## Result

The expected status word is "98 04".

FU\_VM\_IV\_02 subclause 8.3.1 c9\_1, c9\_3, c11\_1.1, c15\_8

#### Purpose

Ensure that the SM checks the indicated key.

## Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- An ASK PARAMETER command has been used.

# Test

Send a VERIFY MAC command with valid data, but an invalid key.

# Result

The expected status word "94 04".

# FU\_VM\_IV\_03 subclause 8.3.1 c9\_1, c9\_3, c11\_1, c15\_9

#### Purpose

Ensure that the SM checks the function codes.

## Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- An ASK PARAMETER command has been used.

## Test

Send a VERIFY MAC command with valid data, but an invalid INS for the UC command.

# Result

The expected status word "94 08". (INS-code inconsistent with the command)

FU_VM_IV_04 subclause 8.3.1 c9_1, c1	1_1.2, c14_8.3, c15_2
--------------------------------------	-----------------------

#### Purpose

Ensure that the SM requires a Random number to verify the MAC.

## Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- An ASK PARAMETER command has not been used.

## Test

Send a VERIFY MAC command with valid data.

## Result

The expected status word is "98 35".

FU\_VM\_IV\_05 subclause 8.3.1 c9\_3, c11\_1.3, c15\_8

## Purpose

Ensure that the SM checks that a keyset has been selected.

# Preconditions

- A SELECT KEYSET has not been done.
- An ASK PARAMETER command has been used.

# Test

Send a VERIFY MAC command with valid data.

# Result

The expected status word is "94 04".

# FU\_VM\_IV\_06 subclause 8.3.1 c9\_1, c9\_3, c11\_1.3.1, c15\_9

# Purpose

Ensure that the SM checks that the keyset contains diversified keys.

## Preconditions

- A SELECT KEYSET on a keyset containing master keys has been done.
- An ASK PARAMETER command has been used.

## Test

Send a VERIFY MAC command with valid data.

## Result

The expected status word is "94 08".

# Table 47: Coding of the VERIFY MAC command

CLA	Class byte
INS	"8E"
P1	"00"
P2	Key number
L <sub>c</sub> field	Length of data field (L <sub>c</sub> > "04")
Data field	INS of previous UC command (coded according to TS 101 200-3 [8]) P1 of previous UC command (coded according to TS 101 200-3 [8]) P2 of previous UC command (coded according to TS 101 200-3 [8]) L <sub>e</sub> of previous UC command (coded according to TS 101 200-3 [8]) response of previous UC command (coded according to TS 101 200-3 [8])
L <sub>e</sub> field	Empty

# Table 48: Return codes for VERIFY MAC

Return	Error description	
Code		
98 04	- Wrong cryptogram verification	
98 35	- No ASK PARAMETER/GIVE RANDOM before	
98 AD	- Command out of sequence	
6E XX	- Wrong instruction class given in the command	
6D XX	- Unknown instruction code given in the command	
6F XX	- Technical problem with no diagnostic given (command aborted)	
6B XX	- P1 ≠ "00"	
67 XX	- L <sub>C</sub> < "04"	
90 00	- Normal ending (ACK) of the command	

# RC\_VM\_IV\_01 subclause A.5.5.1, 8.3.1 c1\_1, c9\_1, c9\_3, c11\_1.3, c14\_8.7, c15\_13, c18\_1, c18\_3, c18\_7

# Purpose

Ensure that the SM checks the value of P1 to be "00".

# Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- An ASK PARAMETER command has been used.

# Test

Send a VERIFY MAC command with a parameter  $P1 \neq "00"$ .

# Result

The expected status word is "6B XX".

# RC\_VM\_IV\_02 subclause A.5.5.1, 8.3.1 c1\_1, c9\_1, c9\_3, c11\_1.3, c14\_8.8, c15\_14, c18\_1, c18\_3, c18\_7

# Purpose

Ensure that the SM checks the value of  $L_c \ge "04"$ .

## Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- An ASK PARAMETER command has been used.

# Test

Send a VERIFY MAC command with a  $L_{\rm c}$  of less than 4 and a matching data field.

## Result

The expected status word is "67 XX".

# 6.2.4.3.2 UPDATE (SM)

Bytes	Description	M/O	Length
-X0	Command Header		X + 1
1	INS byte of the previous READ RECORD STAMPED command sent to the UC (coded according to TS 101 200-3 [8])	Μ	1
2	P1 of the previous READ RECORD STAMPED command sent to the UC (coded according to TS 101 200-3 [8])	Μ	1
3	P2 of the previous READ RECORD STAMPED command sent to the UC (coded according to TS 101 200-3 [8])	М	1
4	Le of the previous READ RECORD STAMPED command sent to the UC (coded according to TS 101 200-3 [8])	М	1
5 (4 + Z)	The data field of the of the previous READ RECORD STAMPED response sent by the UC (coded according to TS 101 200-3 [8])	М	Z
(5 + Z)	Command Trailer		

# Table 49: Structure of UPDATE (SM)

# FU\_US\_VA\_01subclause 8.3.2c9\_1, c9\_3, c12\_1, c11\_2, c14\_9.13, c15\_15

## Purpose

Ensure that the SM is able to verify a MAC from the UC and to update the information in the SM.

## Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- An ASK PARAMETER command has been used.
- A SELECT on the file to be updated has been performed.

# Test

Send an UPDATE (SM) command with valid data. Send a READ RECORD command.

# Result

The expected status word is "90 00". The read contents should be the one expected (5 .. (4 + Z)).

# FU\_US\_IV\_01 subclause 8.3.2 c9\_1, c9\_3, c12\_1, c11\_2.1, c14\_9.2, c15\_1

## Purpose

Ensure that the SM really verifies the MAC from the UC.

#### Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- An ASK PARAMETER command has been used.
- A SELECT on the file to be updated has been performed.

# Test

Send an UPDATE (SM) command with valid data, but invalid MAC.

## Result

The expected status word is "98 04".

# FU\_US\_IV\_02 subclause 8.3.2 c9\_1, c9\_3, c12\_1, c11\_2.1, c14\_9.2, c15\_1

## Purpose

Ensure that the SM does not update the information, if used with invalid data.

## Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- An ASK PARAMETER command has been used.
- A SELECT on the file to be updated has been performed.
- The contents should be known.

#### Test

Send an UPDATE (SM) command with valid data, but invalid MAC. Send a READ RECORD command.

# Result

The expected status word is "98 04" on UPDATE (SM). The read contents should be the original contents.

# FU\_US\_IV\_03 subclause 8.3.2 c9\_1, c9\_3, c12\_1, c11\_2.2, c15\_8

#### Purpose

Ensure that the SM checks the indicated key.

## Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- An ASK PARAMETER command has been used.
- A SELECT on the file to be updated has been performed.

## Test

Send an UPDATE (SM) command with valid data, but invalid key.

#### Result

The expected status word is "94 04".

# FU\_US\_IV\_04 subclause 8.3.2 c9\_1, c9\_3, c12\_1, c11\_2, c14\_9.7, c15\_9

## Purpose

Ensure that the SM checks that a READ RECORD STAMPED was used.

## Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- An ASK PARAMETER command has been used.
- A SELECT on the file to be updated has been performed.

# Test

Send an UPDATE (SM) command with valid data, but an invalid INS for the UC command and a valid MAC.

## Result

The expected status word is "94 08".

## FU\_US\_IV\_05 subclause 8.3.2 c9\_1, c12\_1, c11\_2.3, c14\_9.3, c15\_2

#### Purpose

Ensure that the SM requires a Random number to verify the MAC.

## Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- An ASK PARAMETER command has not been used.
- A SELECT on the file to be updated has been performed.

## Test

Send an UPDATE (SM) command with valid data.

## Result

The expected status word is "98 35".

# FU\_US\_IV\_06 subclause 8.3.2 c9\_3, c12\_1, c11\_2.4, c14\_9.6, c15\_6

## Purpose

Ensure that the SM checks that a keyset has been selected.

## Preconditions

- A SELECT KEYSET has not been done.
- An ASK PARAMETER command has been used.
- A SELECT on the file to be updated has been performed.

## Test

Send an UPDATE (SM) command with valid data.

## Result

The expected status word is "94 00".

# FU\_US\_IV\_07 subclause 8.3.2 c9\_1, c9\_3, c12\_1, c11\_2.4.1, c14\_9.7, c15\_9

## Purpose

Ensure that the SM checks that the keyset contains diversified keys.

## Preconditions

- A SELECT KEYSET on a keyset containing master keys has been done.
- An ASK PARAMETER command has been used.
- A SELECT on the file to be updated has been performed.

# Test

Send an UPDATE (SM) command with valid data.

# Result

The expected status word is "94 08".

## FU\_US\_IV\_08 subclause 8.3.2 c9\_1, c9\_3, c12\_1, c11\_2.5, c14\_9.6, c15\_6

#### Purpose

Ensure that the SM checks that an EF to be updated is selected.

## Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- An ASK PARAMETER command has been used.
- No EF is selected.

## Test

Send an UPDATE (SM) command with valid data.

## Result

The expected status word is "94 00".

CLA	Class byte	Class byte		
INS	"5A"			
P1	"00"			
P2	Key number	ſ.		
L <sub>c</sub> field	Length of da	ata field		
Data field	"B6" Record no. Mode L <sub>e</sub> data field	(coded according to TS 101 200-3 [8]) (coded according to TS 101 200-3 [8]) (coded according to TS 101 200-3 [8]) of previous command (coded according to TS 101 200-3 [8]) of previous READ RECORD STAMPED response (coded according to TS 101 200-3 [8])		
L <sub>e</sub> field	Empty	· · · · · · · · · · · · · · · · · · ·		

# Table 50: Coding of the UPDATE (SM) command

# Table 51: Return codes for UPDATE (SM)

Return	Error description		
Code			
98 04	- Wrong cryptogram verification		
98 35	- No ASK PARAMETER/GIVE RANDOM before		
98 AD	- Command out of sequence		
92 0X	<ul> <li>Update successful but after using internal retry routine X times</li> </ul>		
92 40	- Update impossible (memory problem)		
94 00	- No EF selected		
94 08	- Current file-type is inconsistent with the command		
6E XX	<ul> <li>Wrong instruction class given in the command</li> </ul>		
6D XX	<ul> <li>Unknown instruction code given in the command</li> </ul>		
6F XX	<ul> <li>Technical problem with no diagnostic given (command aborted)</li> </ul>		
6B XX	- P1 ≠ "00"		
67 XX	- L <sub>C</sub> < "04"		
90 00	- Normal ending (ACK) of the command		

RC\_US\_IV\_01 subclause A.5.5.2, 8.3.2 c1\_1, c9\_1, c9\_3, c11\_2, c12\_1, c18\_1, c18\_3, c18\_8, c14\_9.11, c15\_13

# Purpose

Ensure that the SM checks the value of P1 to be "00".

## Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- An ASK PARAMETER command has been used.
- A SELECT on the file to be updated has been performed.

# Test

Send an UPDATE (SM) command with a parameter  $P1 \neq "00"$ 

# Result

The expected status word is "6B XX".

# RC\_US\_IV\_02 subclause A.5.5.2, 8.3.2 c1\_1, c9\_3, c11\_2, c12\_1, c14\_9.12, c15\_14, c18\_1, c18\_3, c18\_8, c14\_9.12, c15\_14

# Purpose

Ensure that the SM checks the value of  $L_c \ge "04"$ .

# Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- An ASK PARAMETER command has been used.
- A SELECT on the file to be updated has been performed.

# Test

Send an UPDATE (SM) command with a  $\rm L_{c}$  of less than 4 and a matching data field.

# Result

The expected status word is "67 XX".

RC_US_IV_03	subclause A.5.5.2, 8.3.2	c1_1, c9_1, c9_3, c11_2, c12_1, c14_9.2, c15_1, c18_1,
c18_3, c18_8, c15_1		

## Purpose

Ensure that the SM checks the AC of the file to update.

## Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- An ASK PARAMETER command has been used.
- A SELECT on the file to be updated has been performed.
- The AC of the file for UPDATE is NEV.

## Test

Send an UPDATE (SM) command with valid data.

## Result

The expected status word is "98 04".

# RC\_US\_IV\_04 subclause A.5.5.2, 8.3.2 c1\_1, c9\_1, c9\_3, c11\_2, c12\_1, c18\_1, c18\_3, c18\_8, c14\_9.4, c15\_4

# Purpose

Ensure that the SM returns "92 0X", if the internal retry routine has been used.

# Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- An ASK PARAMETER command has been used.
- A SELECT on the file to be updated has been performed.
- The memory can be written, after using the internal retry routine X times.

# Test

Send an UPDATE (SM) command with valid data.

# Result

The expected status word is "92 0X".

# RC\_US\_IV\_05 subclause A.5.5.2, 8.3.2 c1\_1, c9\_1, c9\_3, c11\_2, c12\_1, c18\_1, c18\_3, c18\_8, c14\_9.5, c15\_5

# Purpose

Ensure that the SM returns "92 40", if the memory can not be written.

## Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- An ASK PARAMETER command has been used.
- A SELECT on the file to be updated has been performed.
- The memory can not be written.

## Test

Send an UPDATE (SM) command with valid data.

## Result

The expected status word is "92 40".

# 6.2.4.3.3 INCREASE (SM)

Bytes	Description	M/O	Length
-X0	Command Header		X + 1
1	INS byte of the previous DECREASE STAMPED sent to the UC (coded according to TS 101 200-3 [8])	Μ	1
2	P1 of the previous DECREASE STAMPED sent to the UC (coded according to TS 101 200-3 [8])	Μ	1
3	P2 of the previous DECREASE STAMPED sent to the UC (coded according to TS 101 200-3 [8])	Μ	1
4	Le of the previous DECREASE STAMPED sent to the UC (coded according to TS 101 200-3 [8])	Μ	1
5 (4 + Z)	The data field of the of the previous DECREASE STAMPED reponse sent by the UC (coded according to TS 101 200-3 [8])	М	Z
(5 + Z)	Command Trailer		

# Table 52: Structure of INCREASE (SM)

# FU\_IS\_VA\_01 subclause 8.3.3 c9\_1, c9\_3, c12\_1, c11\_3.6, c14\_10.12, c15\_15

## Purpose

Ensure that the SM is able to verify a MAC from the UC and to increase the amount stored in the counter of the SM.

# Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- An ASK PARAMETER command has been used.
- A SELECT on the counter to be increased has been performed.
- The contents should be known.

# Test

Send an INCREASE (SM) command with valid data. Send a READ RECORD command.

# Result

The expected status word is "90 00". The read contents should be the one expected (original contents increased by the value decreased from the UC).

# FU\_IS\_VA\_02 subclause 8.3.3 c2\_2, c9\_1, c9\_3, c12\_1, c11\_3.6, c14\_10.13, c15\_16

## Purpose

Ensure that the SM is able to verify a MAC from the UC and to increase the amount stored in the counter of the SM.

## Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- An ASK PARAMETER command has been used.
- A SELECT on the counter to be increased has been performed.
- The contents should be known.

## Test

Send an INCREASE (SM) command with valid data. Send a READ RECORD command.

## Result

The expected status word is "9F XX". The read contents should be the one expected (original contents increased by the value decreased from the UC).

# FU\_IS\_IV\_01 subclause 8.3.3 c9\_1, c9\_3, c12\_1, c11\_3, c14\_10.2, c15\_1

# Purpose

Ensure that the SM really verifies the MAC from the UC.

# Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- An ASK PARAMETER command has been used.
- A SELECT on the counter to be increased has been performed.

## Test

Send an INCREASE (SM) command with valid data, but invalid MAC.

## Result

The expected status word is "98 04".

# FU\_IS\_IV\_02 subclause 8.3.3 c9\_1, c9\_3, c12\_1, c11\_3.5, c14\_10.2, c15\_1

## Purpose

Ensure that the SM does not increase the counter, if used with invalid data.

## Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- An ASK PARAMETER command has been used.
- A SELECT on the counter to be increased has been performed.
- The contents should be known.

### Test

Send an INCREASE (SM) command with valid data, but invalid MAC. Send a READ RECORD command.

# Result

The expected status word is "98 04" for INCREASE (SM). The read contents should be the original contents.

FU\_IS\_IV\_03 subclause 8.3.3 c9\_1, c9\_3, c12\_1, c11\_3.1, c15\_8

## Purpose

Ensure that the SM checks the indicated key.

## Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- An ASK PARAMETER command has been used.
- A SELECT on the counter to be increased has been performed.

# Test

Send an INCREASE (SM) command with valid data, but invalid key.

# Result

The expected status word is "94 04".

# FU\_IS\_IV\_04 subclause 8.3.3 c9\_1, c9\_3, c12\_1, c11\_3.5, c14\_10.6, c15\_9

## Purpose

Ensure that the SM checks that a DECREASE STAMPED was used.

## Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- An ASK PARAMETER command has been used.
- A SELECT on the counter to be increased has been performed.

## Test

Send an INCREASE (SM) command with valid data, but invalid INS for the UC command.

#### Result

The expected status word is "94 08".

# FU\_IS\_IV\_05 subclause 8.3.3 c9\_1, c12\_1, c11\_3.2, c14\_10.3, c15\_2

## Purpose

Ensure that the SM requires a Random number to verify the MAC.

## Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- An ASK PARAMETER command has not been used.
- A SELECT on the counter to be increased has been performed.

# Test

Send an INCREASE (SM) command with valid data.

# Result

The expected status word is "98 35".

## FU\_IS\_IV\_06 subclause 8.3.3 c9\_3, c12\_1, c11\_3.3

#### Purpose

Ensure that the SM checks that a keyset has been selected.

## Preconditions

- A SELECT KEYSET has not been done.
- An ASK PARAMETER command has been used.
- A SELECT on the counter to be increased has been performed.

## Test

Send an INCREASE (SM) command with valid data.

## Result

The expected status word is "94 04".

# FU\_IS\_IV\_07 subclause 8.3.3 c9\_1, c9\_3, c12\_1, c11\_3.3.1, c14\_10.6, c15\_9

## Purpose

Ensure that the SM checks that the keyset contains diversified keys.

## Preconditions

- A SELECT KEYSET on a keyset containing master keys has been done.
- An ASK PARAMETER command has been used.
- A SELECT on the counter to be increased has been performed.

# Test

Send an INCREASE (SM) command with valid data.

## Result

The expected status word is "94 08".

# FU\_IS\_IV\_08 subclause 8.3.3 c9\_1, c9\_3, c11\_3.4, c15\_8

# Purpose

Ensure that the SM checks that a counter to be increased is selected.

## Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- An ASK PARAMETER command has been used.
- A SELECT on the counter to be increased has not been performed.

## Test

Send an INCREASE (SM) command with valid data.

# Result

The expected status word is "94 04".

# Table 53: Coding of the INCREASE (SM) command

CLA	Class byte			
INS	"8E"			
P1	"00"			
P2	Key number			
L <sub>c</sub> field	Length of data	Length of data field		
Data field	"34" Output mode "00" L <sub>e</sub> data field	(coded according to TS 101 200-3 [8]) (coded according to TS 101 200-3 [8]) (coded according to TS 101 200-3 [8]) of previous command (coded according to TS 101 200-3 [8]) of previous DECREASE STAMPED response (coded according to TS 101 200-3 [8])		
L <sub>e</sub> field	Empty			

Return	Error description		
Code			
98 04	- AC not fulfilled		
	<ul> <li>Wrong cryptogram verification</li> </ul>		
98 35	<ul> <li>No ASK PARAMETER/GIVE RANDOM before</li> </ul>		
98 AD	- Command out of sequence		
92 0X	<ul> <li>Update successful but after using internal retry routine X times</li> </ul>		
92 40	<ul> <li>Update impossible (memory problem)</li> </ul>		
94 00	- No EF selected		
94 02	- Out of range (invalid address)		
94 04	- File ID not found		
94 08	<ul> <li>Current file-type is inconsistent with the command</li> </ul>		
6E XX	<ul> <li>Wrong instruction class given in the command</li> </ul>		
6D XX	<ul> <li>Unknown instruction code given in the command</li> </ul>		
6F XX	<ul> <li>Technical problem with no diagnostic given (command aborted)</li> </ul>		
6B XX	- P1 ≠ "00"		
67 XX	- Lc < "04"		
90 00	- Normal ending (ACK) of the command		

# Table 54: Return codes for SELECT KEYSET

RC\_IS\_IV\_01 subclause A.5.6.1, 8.3.3 c1\_1, c9\_1, c9\_3, c12\_1, c11\_3, c14\_10.10, c15\_13, c18\_1, c18\_3, c18\_10

# Purpose

Ensure that the SM checks the value of P1 to be "00".

# Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- An ASK PARAMETER command has been used.
- A SELECT on the counter to be increased has been performed.

# Test

Send an INCREASE (SM) command with a parameter  $P1 \neq "00"$ 

# Result

The expected status word is "6B XX".

# RC\_IS\_IV\_02 subclause A.5.6.1, 8.3.3 c1\_1, c9\_1, c9\_3, c12\_1, c11\_3, c14\_10.11, c15\_14, c18\_1, c18\_3, c18\_10

# Purpose

Ensure that the SM checks the value of  $L_c \ge "04"$ .

## Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- An ASK PARAMETER command has been used.
- A SELECT on the counter to be increased has been performed.

# Test

Send an INCREASE (SM) command with a  $\rm L_{c}$  of less than 4 and a matching data field.

## Result

The expected status word is "67 XX".

# RC\_IS\_IV\_03 subclause A.5.6.1, 8.3.3 c1\_1, c9\_1, c9\_3, c12\_1, c11\_3, c18\_1, c18\_3, c18\_10, c15\_4

## Purpose

Ensure that the SM returns "92 0X", if the internal retry routine has been used.

## Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- An ASK PARAMETER command has been used.
- A SELECT on the counter to be increased has been performed.
- The memory can be written, after using the internal retry routine X times.

# Test

Send an INCREASE (SM) command with valid data.

## Result

The expected status word is "92 0X".

# RC\_IS\_IV\_04 subclause A.5.6.1, 8.3.3 c1\_1, c9\_1, c9\_3, c12\_1, c11\_3, c18\_1, c18\_3, c18\_10, c15\_5

## Purpose

Ensure that the SM returns "92 40", if the memory can not be written.

#### Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- An ASK PARAMETER command has been used.
- A SELECT on the counter to be increased has been performed.
- The memory can not be written.

# Test

Send an INCREASE (SM) command with valid data.

#### Result

The expected status word is "92 40".

# 6.2.4.3.5 VERIFY CRYPTOGRAM

The command VERIFY CRYPTOGRAM corresponds to the UC command INTERNAL AUTHENTICATION.

FU\_VC\_VA\_01 subclause 8.3.5 c9\_1, c9\_3, c11\_4, c14\_5.9, c15\_15

# Purpose

Ensure that the SM is able to verify a cryptogram from the UC.

## Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- An ASK PARAMETER command has been used.

## Test

Send an VERIFY CRYPTOGRAM command with valid data.

#### Result

The expected status word is "90 00".

# FU\_VC\_IV\_01 subclause 8.3.5 c9\_1, c9\_3, c11\_4, c14\_5.2, c15\_1

## Purpose

Ensure that the SM really verifies the cryptogram from the UC.

#### Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- An ASK PARAMETER command has been used.

# Test

Send an VERIFY CRYPTOGRAM command with valid data, but invalid cryptogram.

# Result

The expected status word is "98 04".

# FU\_VC\_IV\_02 subclause 8.3.5 c9\_1, c9\_3, c11\_4, c15\_8

# Purpose

Ensure that the SM checks the indicated key.

# Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- An ASK PARAMETER command has been used.

# Test

Send an VERIFY CRYPTOGRAM command with valid data, but invalid key.

# Result

The expected status word is "94 04".

# FU\_VC\_IV\_03 subclause 8.3.5 c9\_1, c9\_3, c11\_4, c14\_5.3, c15\_2

# Purpose

Ensure that the SM requires a Random number to verify the cryptogram.

# Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- An ASK PARAMETER command has not been used.

# Test

Send an VERIFY CRYPTOGRAM command with valid data, but invalid key.

## Result

The expected status word is "98 35".

FU\_VC\_IV\_04 subclause 8.3.5 c9\_1, c9\_3, c11\_4, c15\_8

## Purpose

Ensure that the SM checks that a keyset has been selected.

# Preconditions

- A SELECT KEYSET has not been done.
- An ASK PARAMETER command has been used.

# Test

Send an VERIFY CRYPTOGRAM command with valid data, but invalid key.

# Result

The expected status word is "94 04".

# FU\_VC\_IV\_05 subclause 8.3.5 c9\_1, c9\_3, c11\_4, c15\_9

## Purpose

Ensure that the SM checks that the keyset contains diversified keys.

## Preconditions

- A SELECT KEYSET on a keyset containing master keys has been done.
- An ASK PARAMETER command has been used.

### Test

Send an VERIFY CRYPTOGRAM command with valid data, but invalid key.

# Result

The expected status word is "94 08".

# Table 55: Coding of the VERIFY CRYPTOGRAM command

CLA	Class byte
INS	"8E"
P1	"00"
P2	Key number
L <sub>C</sub> field	Length of data field
Data field	Cryptogram
L <sub>e</sub> field	Empty

# Table 56: Return codes for VERIFY CRYPTOGRAM

Return Code	Error description		
98 04	- Wrong cryptogram verification		
98 35	- No ASK PARAMETER/GIVE RANDOM before		
98 AD	- Command out of sequence		
6E XX	- Wrong instruction class given in the command		
6D XX	Unknown instruction code given in the command		
6F XX	- Technical problem with no diagnostic given (command aborted)		
6B XX	- P1 ≠ "00"		
67 XX	- No test is foreseen for this status word		
90 00	- Normal ending (ACK) of the command		

RC\_VC\_IV\_01 subclause A.5.5.3, 8.3.5 c1\_1, c9\_1, c9\_3, c11\_4, c14\_5.7, c15\_13, c18\_1, c18\_3, c18\_9

## Purpose

Ensure that the SM checks the value of P1 to be "00".

## Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- An ASK PARAMETER command has been used.

# Test

Send a VERIFY CRYPTOGRAM command with a parameter P1  $\neq$  "00"

## Result

The expected status word is "6B XX".

# 6.2.6 Downloading of keys from SM to UC

# DK\_XX\_VA\_01 subclause A.7.1 c1\_1, c9\_1, c9\_2, c10\_1, c18\_1, c18\_2, c18\_4, c15\_15

# Purpose

Ensure that the SM is able to download keys to an empty  $EF_{KEY MAN}$  in UC.

# Preconditions

- None.

# Test

SELECT KEYSET on the EF<sub>KEY MAN</sub> on the next higher level.

DIVERSIFY KEYSET with destination EF<sub>DIK1</sub>.

SELECT KEYSET on the  $EF_{KEY MAN}$  containing the keys to download.

DIVERSIFY KEYSET with destination EF <sub>DIK2</sub>.

COMPUTE LOAD KEY for each key to be downloaded.

# Result

Each command shall execute successfully and the result at each COMPUTE LOAD KEY shall be correct.

## DK\_XX\_VA\_02 subclause A.7.2 c1\_1, c9\_1, c9\_2, c10\_1, c18\_1, c18\_2, c18\_4, c15\_15

## Purpose

Ensure that the SM is able to exchange keys in the  $EF_{KEY MAN}$  of the UC.

## Preconditions

- None.

# Test

SELECT KEYSET on the  $EF_{KEY MAN}$  with version n.

DIVERSIFY KEYSET with destination EF<sub>DIK1</sub>.

SELECT KEYSET on the  $EF_{KEY\_MAN}$  with version (n + 1).

DIVERSIFY KEYSET with destination EF DIK2.

COMPUTE LOAD KEY for each key to be downloaded.

## Result

Each command shall execute successfully and the result at each COMPUTE LOAD KEY shall be correct.

# K\_XX\_VA\_03 subclause A.7.3 c1\_1, c9\_1, c9\_2, c10\_1, c18\_1, c18\_2, c18\_4, c15\_15

## Purpose

Ensure that the SM is able to exchange keys in the  $EF_{KEY_{OP}}$  of the UC.

# Preconditions

- None.

## Test

SELECT KEYSET on the EF<sub>KEY\_MAN</sub>.

DIVERSIFY KEYSET with destination  $EF_{DIK1}$ .

SELECT KEYSET to the  $EF_{KEY_OP}$  with version (n + 1).

DIVERSIFY KEYSET with destination EF DIK2.

COMPUTE LOAD KEY for each key to be downloaded.

#### Result

Each command shall execute successfully and the result at each COMPUTE LOAD KEY shall be correct.

# History

Document history		
V1.1.1	July 1997	Publication