

# TS 101 045 V5.0.1 (1997-04)

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*Technical Specification*

**Digital cellular telecommunications system (Phase 2+);  
Support of Optimal Routing (SOR);  
Technical Realization  
(GSM 03.79)**

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## Foreword

This Technical Specification (TS) has been produced by the Special Mobile Group (SMG) Technical Committee (TC) of the European Telecommunications Standards Institute (ETSI).

This TS specifies the technical realization of the first phase of the network feature Support of Optimal Routeing (SOR) within the digital cellular telecommunications system (Phase 2/Phase 2+).

The contents of this TS are subject to continuing work within TC-SMG and may change following formal TC-SMG approval. Should TC-SMG modify the contents of this TS it will then be republished by ETSI with an identifying change of release date and an increase in version number as follows:

Version 5.x.y

where:

- y the third digit is incremented when editorial only changes have been incorporated in the specification;
- x the second digit is incremented for all other types of changes, i.e. technical enhancements, corrections, updates, etc.

## 1 Scope

This TS specifies the technical realization of the first phase of the network feature Support of Optimal Routeing (SOR). The first phase of SOR provides:

- a method to route a call from one mobile subscriber directly to another mobile subscriber who is in the same country as the calling mobile subscriber or in the called mobile subscriber's home country, without needing to connect the call via the HPLMN of the called subscriber, even though the called mobile subscriber has roamed outside his HPLMN;
- a method to forward calls when a called mobile subscriber who has roamed outside his home country is busy, or is not reachable, or does not reply, to a forwarded-to destination in the HPLMN country of the called subscriber or the VPLMN country of the called subscriber, without needing to connect the forwarded call via the VPLMN of the called subscriber;
- a method to combine the optimal routeing described in the first bullet point above with the optimal routeing described in the second bullet point above.

OR of a call is permitted only if all entities involved in handling the call support OR.

Other cases of optimal routeing (e.g. calls where the calling and called subscribers are in different countries, forwarding to a mobile subscriber or multiple forwarding) will be considered for inclusion in later phases.

## 2 Normative references

- [1] GSM 01.04 (ETR 350): "Digital cellular telecommunications system (Phase 2+); Abbreviations and acronyms".
- [2] GSM 02.79: "Digital cellular telecommunications system (Phase 2+); Support of Optimal Routeing (SOR); Service definition (Stage 1)".
- [3] GSM 02.82: "Digital cellular telecommunications system (Phase 2+); Call Forwarding (CF) supplementary services - Stage 1".
- [4] GSM 03.03 (ETS 300 927): "Digital cellular telecommunications system (Phase 2+); Numbering, addressing and identification".
- [5] GSM 03.04: "Digital cellular telecommunications system; Signalling requirements relating to routeing of calls to mobile subscribers".
- [6] GSM 03.18 (TS 101 043): "Digital cellular telecommunications system (phase 2+); Basic call handling; Technical Realization".
- [7] GSM 03.78 (TS 101 044): "Digital cellular telecommunications system (phase 2+); Customized Applications for Mobile network Enhanced Logic (CAMEL) - Stage 2".
- [8] GSM 03.85: "Digital cellular telecommunications system; Closed User Group (CUG) supplementary services - Stage 2".

## 3 Definitions and abbreviations

### 3.1 Definitions

**A subscriber:** The calling subscriber, who may be fixed or mobile.

**B subscriber:** The mobile subscriber originally called by the A subscriber.

**C subscriber:** The subscriber to whom the B subscriber has requested that calls be forwarded. The C subscriber may be fixed or mobile.

**Destination address:** The address which GMSCA returns to VMSCA in the Note Call Destination message, to allow VMSCA to insert in the call data record an address to define the destination network.

**Direct route:** A call takes the direct route if the route from the serving PLMN of the A subscriber to the serving PLMN of the B subscriber is defined by the MSRN of the B subscriber rather than by the MSISDN of the B subscriber.

**Early call forwarding:** Call forwarding from the IPLMN before the call has been extended to the VPLMN of the forwarding subscriber.

**HPLMN leg:** The portion of the HPLMN route from the serving MSC of the A subscriber to an MSC in the HPLMN of the B subscriber.

**HPLMN route:** A call takes the HPLMN route if the route from the serving MSC of the A subscriber to the serving MSC of the B subscriber is defined by the MSISDN of the called subscriber. This forces the call to be routed via the HPLMN of the B subscriber.

**Interrogating PLMN (IPLMN):** The PLMN which interrogates the HPLMN of the B subscriber to obtain information to route the call to that subscriber or to the forwarded-to destination defined by the called mobile subscriber. The IPLMN is also the VPLMN of the A subscriber.

**Late call forwarding:** Call forwarding after the call has been extended to the VPLMN of the forwarding subscriber. Late call forwarding may be invoked in the IPLMN or the VPLMN of the forwarding subscriber.

**Reference address:** The address which defines the maximum charge which the A party is prepared to pay for the call leg which he originates.

**Routing address:** The address which the GMSC uses to route a call towards the B subscriber or the C subscriber.

### 3.2 Abbreviations

For the purpose of this TS, the following abbreviations apply in addition to those listed in GSM 01.04 [1]:

BOIZC	Barring of Outgoing InterZonal Calls
BOIZC-exHC	Barring of Outgoing InterZonal Calls except those directed to the HPLMN Country
CMN	CAMEL Modified Number
FTN	Forwarded-To Number
FTNW	Forwarded-To NetWork
GMSCA	The GMSC in the IPLMN, which may also be VMSCA
GMSCB	The GMSC in HPLMNB
GMSCC	The GMSC in HPLMNC
HLRB	The HLR of the B subscriber
HLRC	The HLR of the C subscriber
HPLMNB	The HPLMN of the B subscriber
HPLMNC	The HPLMN of the C subscriber
IAM	Initial Address Message
IPLMN	Interrogating PLMN
ORLCF	Optimal Routeing for Late Call Forwarding
PRN	Provide Roaming Number
PSI	Provide Subscriber Information
RCH	Resume Call Handling
SIFIC	Send Information For Incoming Call
SIFOC	Send Information For Outgoing Call
SRI(F)	Send Routeing Information (Forwarding information)
SRI(L)	Send Routeing Information (Location information)
SRI(R)	Send Routeing Information (Roaming number)
VLRA	The VLR of the A subscriber
VLRB	The VLR of the B subscriber
VMSCA	The VMSC of the A subscriber
VMSCB	The VMSC of the B subscriber

## 4 Architecture

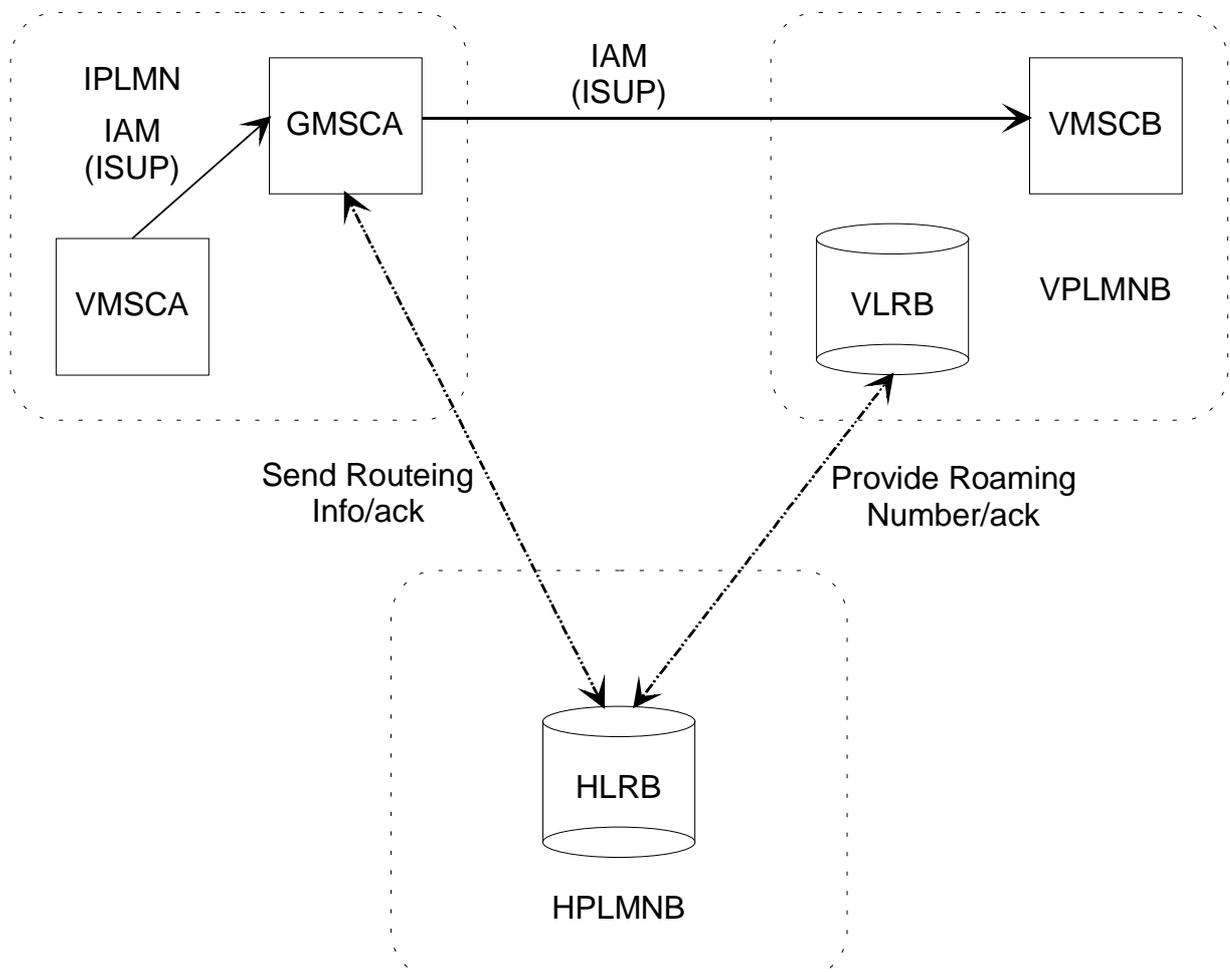
### 4.1 Optimal routing for basic mobile-to-mobile calls

The existing GSM architecture supports the primary technical requirement of optimal routing for mobile-to-mobile calls (basic OR): that a GMSC can interrogate an HLR in a different PLMN to obtain routing information for a mobile terminated call GSM 03.04 [5]. Three logically distinct PLMNs are involved in the handling of an optimally routed mobile-to-mobile call:

- the IPLMN, which is also the VPLMN of the calling mobile subscriber;
- the HPLMN of the called mobile subscriber (HPLMNB);
- the VPLMN of the called mobile subscriber (VPLMNB).

Any two or all three of these PLMNs may be identical; in figure 1 they are shown as distinct.

Figure 1 shows the communication between the IPLMN, HPLMNB and VPLMNB for an optimally routed mobile-to-mobile call.



**Figure 1: Architecture for optimal routing of basic mobile-to-mobile call**

In figure 1 and throughout this TS, the term ISUP is used to denote the telephony signalling system used between exchanges. In a given network, any telephony signalling system may be used; the only additional requirement is that GMSCA must be able to signal to VMSCA the destination address which it has used to route the call.

If the VMSC of the calling mobile subscriber (VMSCA) is distinct from the GMSC, it constructs an ISUP Initial Address Message (IAM) using the MSISDN of the called subscriber and sends it to the GMSC. If the GMSC, which may be distinct from the VMSC of the calling mobile subscriber but is in the VPLMN of the calling mobile subscriber, is in a different PLMN from HLRB, it requests routing information from HLRB using the MAP protocol. If HLRB determines that the call can be routed directly from the GMSC to VMSCB

without contravening the charging requirements for optimal routing given in subclause 9.1, it requests a roaming number from VLRB using the MAP protocol, and VLRB returns a roaming number in the Provide Roaming Number ack. HLRB returns the roaming number to the GMSC in the Send Routing Info ack. The GMSC uses the roaming number to construct an ISUP IAM, which it sends to VMSCB. The call is then handled according to the existing GSM procedures, except that if the call is answered GMSCA inserts in the ISUP Answer message the destination address which it used to route the call, to allow VMSCA to generate the correct charging record.

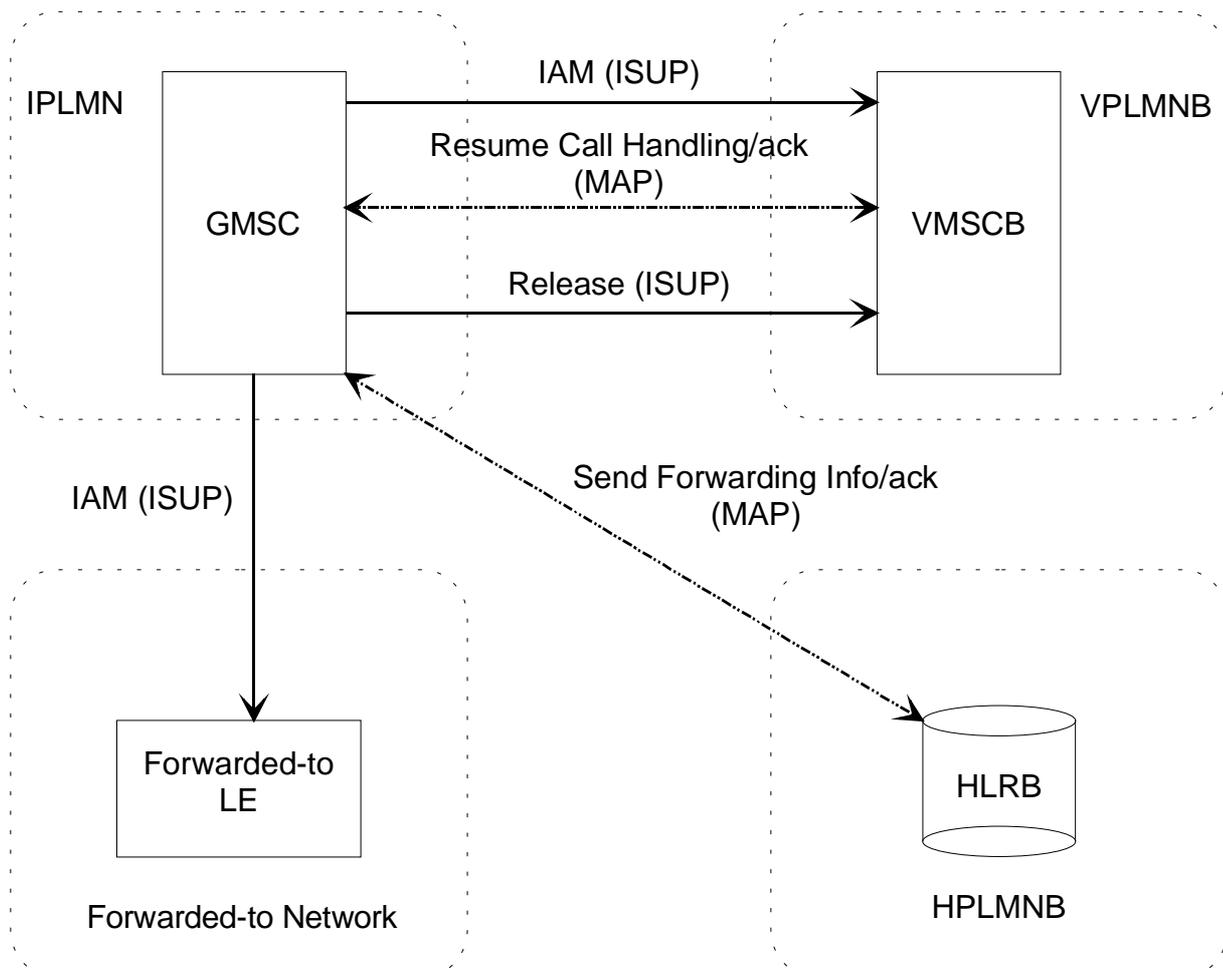
NOTE: If the GMSC returns an ISUP Answer message before it has received an Answer message from the final destination (e.g. because of an interaction with a Specialized Resource Function) an incorrect destination address (or no destination address) can be sent to VMSCA, even though the call is eventually optimally routed.

**4.2 Optimal routing for conditional call forwarding**

Some cases of call forwarding on mobile subscriber not reachable (CFNRc) are handled in the IPLMN, without the call being extended to the VPLMN of the forwarding subscriber. For these cases, referred to in this TS as early call forwarding, the forwarding is already optimally routed.

When a call has been extended from the GMSC to VMSCB, the current GSM procedures lead to any conditional call forwarding being routed from VMSCB to the forwarded-to destination; this is referred to in this TS as late call forwarding. Optimal routing for late call forwarding (ORLCF) allows VMSCB to return control of the call to the GMSC, which can then route the call to the forwarded-to destination.

Figure 2 shows the architecture for ORLCF. Phase 1 of SOR does not include optimal routing of forwarding to a mobile subscriber, so optimal routing of the forwarding leg is not considered.



**Figure 2: Architecture for optimal routing of late call forwarding**

After the call has been extended from the GMSC to VMSCB, if the VMSC/VLR determines that the call should be forwarded it requests the GMSC to resume call handling. The GMSC uses the forwarding information received in the request to resume call handling, or interrogates HLRB for forwarding information, depending on the indication received from the HLR with the roaming number. If the GMSC determines that the call can be routed directly to the forwarded-to destination without contravening the charging requirements for optimal routing given in subclause 9.1 it acknowledges the request, clears the traffic connection to VMSCB and sends an ISUP IAM to the forwarded-to local exchange.

### 5 Optimal routing for basic mobile-to-mobile calls: message flows

This clause does not consider the handling of calls to a fixed network B subscriber.

The message flow for an optimally routed call from one mobile subscriber to another mobile subscriber is shown in figure 3. For simplicity of description, it is assumed that forwarding of calls from the B subscriber is not required. Solid lines indicate circuit-associated signalling; dashed lines indicate connectionless signalling.

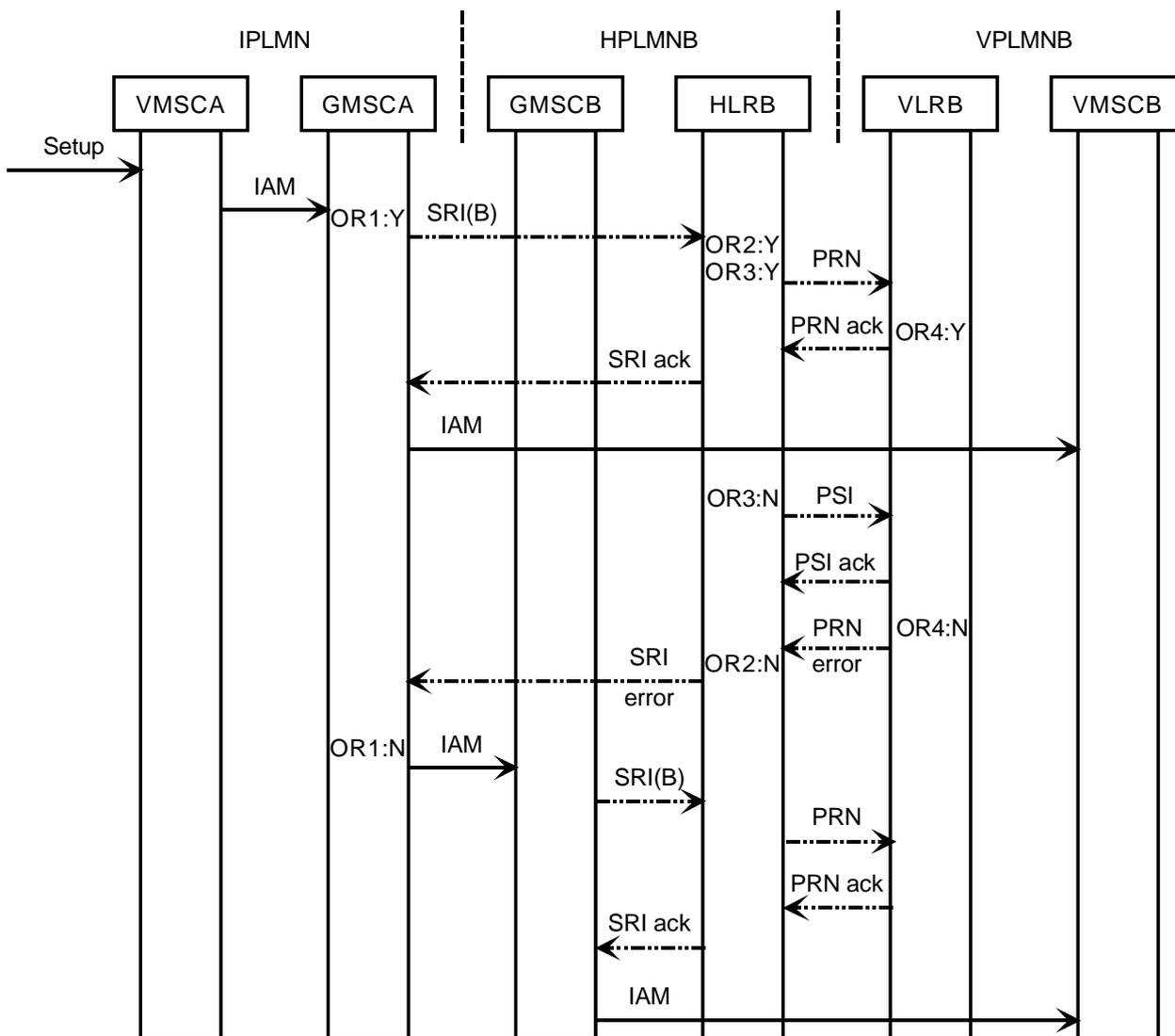


Figure 3: Message flow for optimal routing of basic mobile-to-mobile call

## 5.1 Successful outcome

When VMSCA receives a Setup message from the MS, it sends a request for information to handle the outgoing call to VLRA, according to the procedures described in GSM 03.18 [6]. If VLRA determines that the MS is allowed service, it returns a positive acknowledgement. When VMSCA receives the acknowledgement, it constructs an IAM using the B subscriber address and sends it to GMSCA.

If GMSCA recognizes the B subscriber address as belonging to a GSM PLMN (decision OR1:Y), it checks the identity of HPLMNB. If GMSCA is in a different PLMN from HLRB, it then sends a request for routing information (SRI(B)) to HLRB; this request contains an indication that it is an optimal routing enquiry for information to route a basic call. If HLRB is prepared to accept an optimal routing enquiry from GMSCA (decision OR2:Y), it checks whether at least one of the three conditions:

- the GMSC is in the same country as VMSCB;
- the HLR is in the same country as VMSCB;
- the GMSC is in the same PLMN as the HLR.

is met. If it is (decision OR3:Y), HLRB sends a request for a roaming number (PRN) to VLRB; the request contains an indication that it is for an optimally routed call. If VLRB supports optimal routing (decision OR4:Y), it returns the roaming number in the PRN ack, and HLRB relays the roaming number in the SRI ack to GMSCA. GMSCA constructs an ISUP IAM using the roaming number, and sends it to VMSCB, which processes the incoming IAM according to the procedures described in GSM 03.18 [6].

## 5.2 Unsuccessful outcome

Error situations which lead to failure of the call, rather than non-optimal routing, are not described in this subclause.

### 5.2.1 B subscriber address not recognized as belonging to a GSM PLMN

If GMSCA does not recognize the B subscriber address as belonging to a GSM PLMN (decision OR1:N), it constructs an IAM using the B subscriber address and sends it to GMSCB in HPLMNB. GMSCB analyses the address received in the IAM, and sends a request for routing information (SRI(R)) to HLRB; this request contains an indication that it is not an optimal routing enquiry. Because GMSCB is in the same PLMN as HLRB, it will always be able to derive an HLR address. HLRB sends a request for a roaming number (PRN) to VLRB. VLRB returns the roaming number in the PRN ack, and HLRB relays the roaming number in the SRI ack to GMSCB. GMSCB constructs an ISUP IAM using the roaming number, and sends it to VMSCB, which processes the incoming IAM according to the procedures described in GSM 03.18 [6].

### 5.2.2 HLRB or VLRB does not support optimal routing

If HLRB is not prepared to accept an optimal routing enquiry from GMSCA (decision OR2:N), it returns an SRI negative response (shown in figure 3 as "SRI error"). This causes GMSCA to construct an IAM using the B subscriber address and send it to GMSCB, as described in subclause 5.2.1.

If VLRB does not support optimal routing (decision OR4:N), it returns a PRN negative response (shown in figure 3 as "PRN error"). This causes HLRB to return an SRI negative response (shown in figure 3 as "SRI error"), which in turn causes GMSCA to construct an IAM using the B subscriber address and send it to GMSCB, as described in subclause 5.2.1.

### 5.2.3 OR charging requirements contravened

If HLRB determines that the call cannot be routed directly from GMSCA to VMSCB without contravening the charging requirements for optimal routing given in subclause 9.1 (decision OR3:N) it sends a request for subscriber information (PSI) to VLRB. VLRB sends a response indicating whether the B subscriber is detached or in some other state. If the B subscriber is not detached, HLRB sends an SRI negative response (shown in figure 3 as "SRI error") to GMSCA, which constructs an IAM using the B subscriber address and sends it to GMSCB, as described in subclause 5.2.1.

## 6 Optimal routeing for conditional call forwarding: message flows

Two cases of conditional call forwarding are described in this clause:

- Early call forwarding to a fixed destination.
- Late call forwarding to a fixed destination.

For phase 1 of SOR, no attempt is made to route a call directly from the GMSC to a forwarded-to mobile subscriber; if the forwarded-to subscriber is mobile, the call is routed from the GMSC to a GMSC in the HPLMN of the forwarded-to subscriber.

### 6.1 Early call forwarding

Early call forwarding is defined as call forwarding from the IPLMN **before** the call has been extended to the VPLMN of the forwarding subscriber. CFU and CFNRc when the forwarding mobile subscriber is IMSI detached are examples of early call forwarding. Early call forwarding is effectively optimally routed, because the call takes the most direct route possible from the IPLMN to the forwarded-to destination.

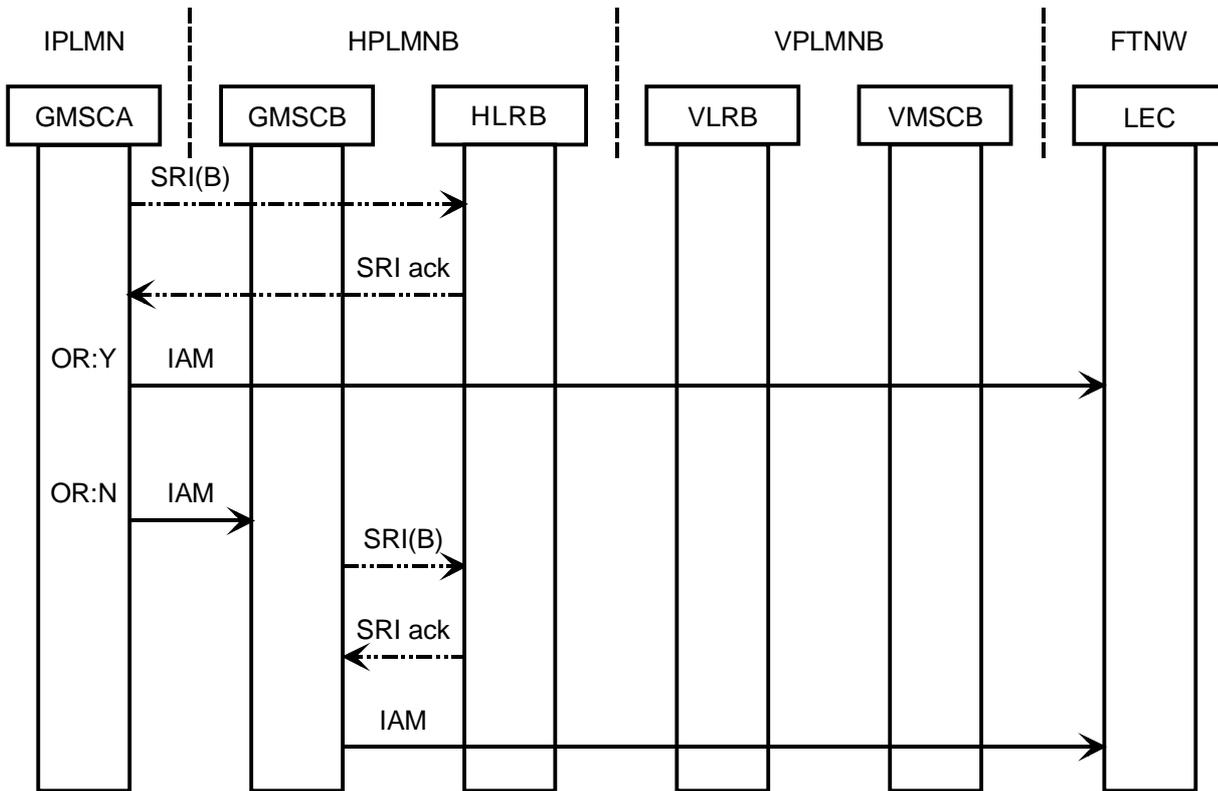
The message flows for early call forwarding to a fixed destination are shown in figure 4a (forwarding without VLR interrogation) and figure 4b (forwarding after VLR interrogation). The IPLMN is shown as distinct from HPLMNB, on the assumption that the original call towards the B subscriber was optimally routed. Solid lines indicate circuit-associated signalling; dashed lines indicate connectionless signalling.

#### 6.1.1 Forwarding without interrogation of VLRB

##### 6.1.1.1 Successful outcome

GMSCA sends a request for routeing information (SRI(B)) to HLRB. If HLRB determines that the call is to be forwarded without needing to signal to VLRB then HLRB returns the forwarded-to number (FTN) in the SRI ack.

If GMSCA determines that the call can be forwarded to LEC without contravening the charging requirements for optimal routeing given in subclause 9.1 (decision OR:Y) it constructs an ISUP IAM using the FTN and sends it to LEC.



**Figure 4a: Message flow for early call forwarding to a fixed destination - forwarding without interrogation of VLRB**

**6.1.1.2 Unsuccessful outcome**

Error situations which lead to failure of the call, rather than non-optimal routing, are not described in this subclause.

If GMSCA determines that the call cannot be forwarded to LEC without contravening the charging requirements for optimal routing given in subclause 9.1 (decision OR:N) it constructs an IAM using the B subscriber address and sends it to GMSCB.

GMSCB sends a request for routing information (SRI(B)) to HLRB. If HLRB determines that the call is to be forwarded, as described in subclause 6.1.1.1, it returns the FTN in the SRI ack.

GMSCB constructs an IAM using the FTN and sends it to LEC.

**6.1.2 Forwarding after interrogation of VLRB**

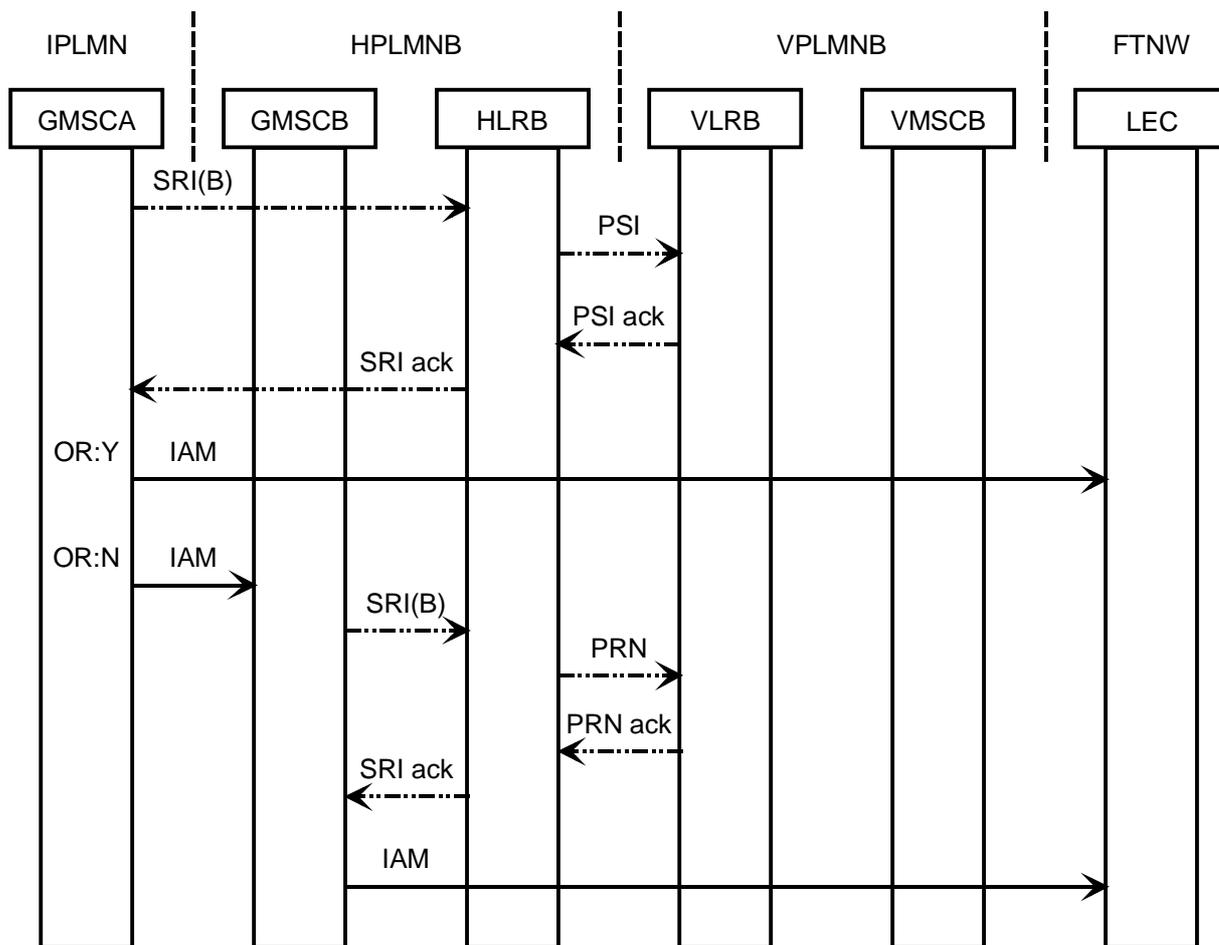
**6.1.2.1 Successful outcome**

GMSCA sends a request for routing information (SRI(B)) to HLRB. HLRB sends a request for the subscriber status (PSI) to VLRB. If the record in VLRB for the B subscriber shows that the B subscriber is IMSI detached, VLRB indicates this in the PSI ack. Alternatively, if HLRB determines that at least one of the three conditions:

- the GMSC is in the same country as VMSCB;
- the HLR is in the same country as VMSCB;
- the GMSC is in the same PLMN as the HLR.

is met, it sends a request for a roaming number (PRN) to VLRB. If the record in VLRB for the B subscriber shows that the B subscriber is IMSI detached, VLRB indicates this in a PRN negative response. If HLRB determines that CFNRC should be invoked, it returns the forwarded-to number (FTN) in the SRI ack.

If GMSCA determines that the call can be forwarded to LEC without contravening the charging requirements for optimal routing given in subclause 9.1 (decision OR:Y) it constructs an ISUP IAM using the FTN and sends it to LEC.



NOTE: HLRB may send a PRN to VLRB, and receive a PRN negative response indicating absent subscriber, to determine that CFNRc should be invoked.

**Figure 4b: Message flow for early call forwarding to a fixed destination - forwarding after interrogation of VLRB**

### 6.1.2.2 Unsuccessful outcome

Error situations which lead to failure of the call, rather than non-optimal routing, are not described in this subclause.

If GMSCA determines that the call cannot be forwarded to LEC without contravening the charging requirements for optimal routing given in subclause 9.1 (decision OR:N), it constructs an ISUP IAM using the B subscriber address and sends it to GMSCB.

GMSCB sends a request for routing information (SRI(B)) to HLRB. HLRB sends a request for a roaming number (PRN) to VLRB. If the record in VLRB for the B subscriber shows that the B subscriber is IMSI detached, VLRB indicates this in the PRN ack. If HLRB determines that CFNRc should be invoked, it returns the forwarded-to number (FTN) in the SRI ack.

GMSCB constructs an IAM using the FTN and sends it to LEC.

6.2 Late call forwarding

Late call forwarding is defined as call forwarding **after** the call has been extended to the VPLMN of the forwarding subscriber. CFB, CFNRc on no response to paging and CFNRy are examples of late call forwarding. In the absence of OR, late call forwarding occurs in the VPLMN of the forwarding party; if OR applies, late call forwarding occurs in the IPLMN.

The message flow for optimal routing of late call forwarding to a fixed destination is shown in figure 5. The IPLMN may be distinct from HPLMNB or the same as HPLMNB, depending on whether or not the original call to VPLMNB was optimally routed, but this description assumes that the original call to VPLMNB was optimally routed. For simplicity of description, the separation of VMSCA and GMSCA (described in clause 5 and subclause 6.1) is omitted. Solid lines indicate circuit-associated signalling; dashed lines indicate connectionless signalling.

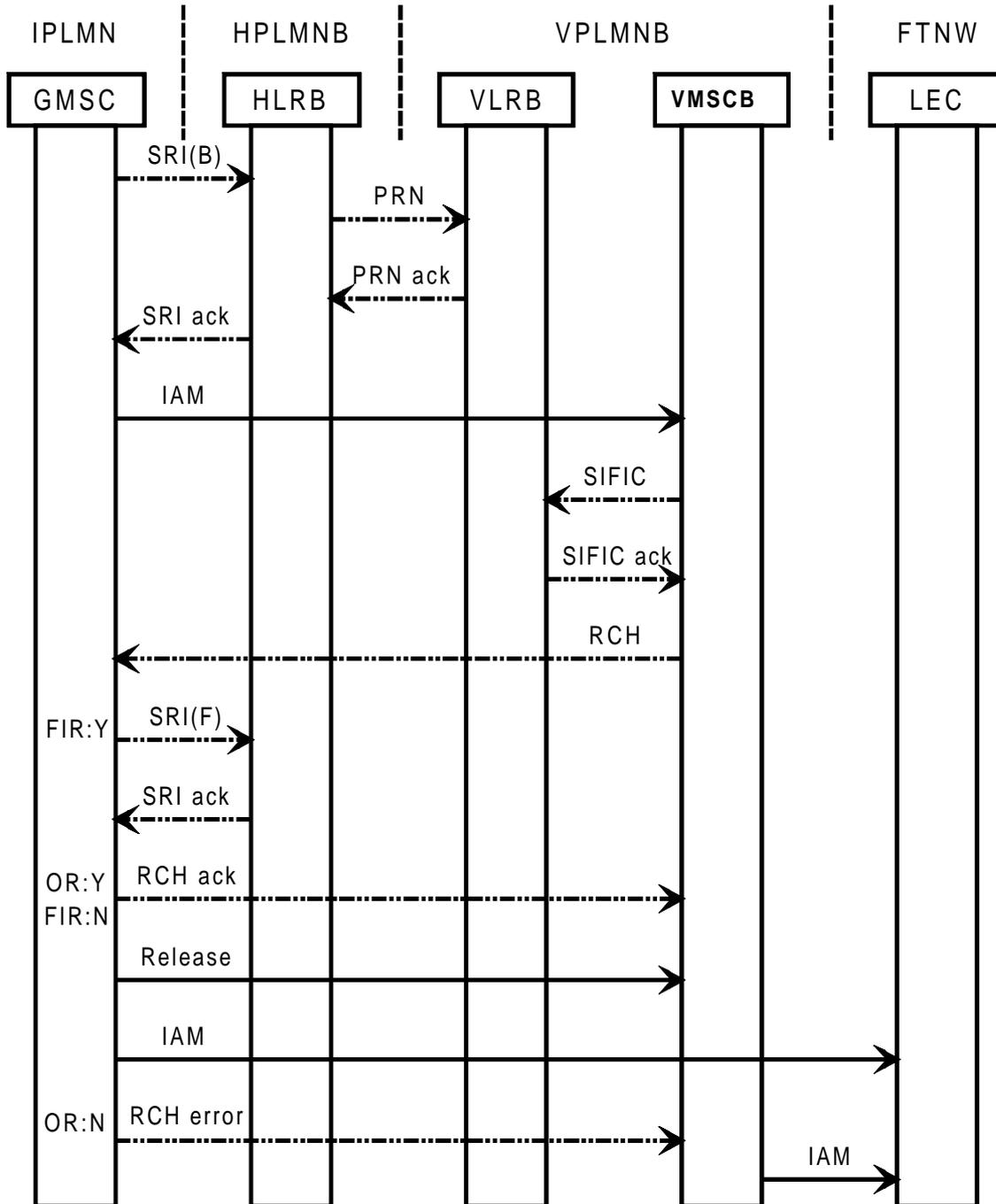


Figure 5: Message flow for optimal routing of late call forwarding to a fixed destination

### 6.2.1 Successful outcome

The GMSC obtains a roaming number from HLRB to route the call to VMSCB, as described in subclause 5.1. The SRI ack also includes an indication of whether the GMSC should interrogate the HLR for routing information for late call forwarding. The GMSC constructs an IAM using the roaming number, and sends it to VMSCB. When VMSCB receives the IAM, it requests subscriber information for the incoming call (SIFIC) from VLRB. If VLRB determines that the call should be forwarded, because the called mobile subscriber is busy, or is not reachable, or has not replied to the call before the no-reply call timer has expired, it returns a SIFIC ack containing the forwarded-to number, the forwarding reason, the GMSC address and the call reference number to VMSCB. VMSCB sends a request to resume call handling (RCH) to the GMSC; the RCH includes the forwarded-to number, the forwarding reason and the basic service information received in the SIFIC ack.

If the HLR indicated in the SRI ack which contained the MSRN that the GMSC should interrogate the HLR for forwarding information (FIR:Y), the GMSC then sends a request for forwarding information (SRI(F)), containing the forwarding reason and the basic service group which applies for this call, to HLRB. HLRB responds with the appropriate forwarded-to number.

If the HLR indicated in the SRI ack which contained the MSRN that the GMSC should not interrogate the HLR for forwarding information (FIR:N), the GMSC checks the forwarded-to number received in the RCH.

If the GMSC determines that the call can be forwarded to the forwarded-to destination without contravening the charging requirements for optimal routing given in subclause 9.1 (decision OR:Y) it:

- sends an RCH ack to VMSCB to indicate that control of the call has been accepted;
- sends an ISUP Release message indicating normal clearing to VMSCB to release the traffic circuit;
- constructs an IAM using the forwarded-to number, and sends it to LEC.

### 6.2.2 Unsuccessful outcome

Error situations which lead to failure of the call, rather than non-optimal routing, are not described in this subclause.

#### 6.2.2.1 GMSC does not support OR

If the GMSC does not support OR, it must be in the same PLMN as the HLR; it therefore omits its address and the call reference number from the SRI(B) request, so the GMSC address and call reference number are not relayed to VMSCB, and VMSCB cannot send the RCH to the GMSC. Instead, the call will be forwarded at VMSCB.

#### 6.2.2.2 HLRB does not support OR

If HLRB does not support OR, it does not relay the GMSC address and the call reference number which it received in the SRI(B), so VMSCB cannot send the RCH to the GMSC. Instead, the call will be forwarded at VMSCB.

#### 6.2.2.3 VMSCB/VLRB does not support OR

If VMSCB/VLRB does not support OR, VMSCB cannot send the RCH to the GMSC. Instead, the call will be forwarded at VMSCB.

#### 6.2.2.4 OR charging requirements contravened

If the original call to VMSCB was optimally routed, the GMSC can route the call to the forwarded-to destination only if the charge to do so is no more than the charge to route the call to HPLMNB. If this requirement, determined as described in subclause 9.1, is not met (decision OR:N) the GMSC returns an RCH negative response (shown in figure 5 as "RCH error") to VMSCB, which then forwards the call.

If the original call to VMSCB was not optimally routed, the GMSC can route the call directly to the forwarded-to destination only if the charge to do so is no more than the charge for the routing to VMSCB. If this requirement, determined as described in subclause 9.1, is not met (decision OR:N) the GMSC returns an RCH negative response (shown in figure 5 as "RCH error") to VMSCB, which then forwards the call.

## 7 Interactions between optimal routing and supplementary services

### 7.1 Call forwarding

If an optimally routed call encounters early call forwarding, GMSCA attempts to route the call to the forwarded-to destination. The forwarded-to destination is the C subscriber if the C subscriber is not a mobile subscriber, or the HPLMN of the C subscriber if the C subscriber is a mobile subscriber. If GMSCA cannot route the call to the forwarded-to destination without contravening the charging requirements for optimal routing given in subclause 9.1, the call is routed to a GMSC in the HPLMN of the B subscriber.

If an optimally routed call encounters late call forwarding, GMSCA attempts to route the call to the forwarded-to destination. The forwarded-to destination is the C subscriber if the C subscriber is not a mobile subscriber, or the HPLMN of the C subscriber if the C subscriber is a mobile subscriber. If GMSCA cannot route the call to the forwarded-to destination without contravening the charging requirements for optimal routing given in subclause 9.1, the call is routed from VMSCB to the forwarded-to destination.

The handling of call forwarding at HLRB for optimally routed calls is encapsulated in the procedures First\_Forwarding\_HLR, PRN\_Error\_HLR, Handle\_CFB, Handle\_CFNRc and Handle\_CFNRy, which are specified in GSM 03.18 [6].

### 7.2 Closed User Group (CUG)

The handling of CUG checking for outgoing calls at VLRA is encapsulated in the process OCH\_VLR, which is specified in GSM 03.18 [6].

The handling of CUG checking at HLRB is encapsulated in the procedures Subscription\_Check\_HLR and Forward\_CUG\_Check, which are specified in GSM 03.18 [6].

### 7.3 Advice of Charge

Advice of Charge (Information) and Advice of Charge (Charging) do not take account of whether a call has been optimally routed.

### 7.4 Call barring

It has been accepted in principle that all supplementary service call barring programmes except for BAIC are applied for cost control reasons, and that therefore barring should be applied on the basis of the cost of the actual route taken by the call. For phase 1 of optimal routing, this principle does not apply. Barring of outgoing calls is applied on the basis of the B subscriber number. Barring of all incoming calls will prevent a call to the served mobile subscriber, whether or not the call is optimally routed. If Barring of Incoming Calls when roaming outside the home PLMN country is active and operative it will prevent a call to the B subscriber even if the A subscriber pays to route the call to the VMSC of the B subscriber.

The handling of barring of outgoing calls at VLRA is encapsulated in the process OCH\_VLR, which is specified in GSM 03.18 [6].

The handling of barring of incoming calls at HLRB is encapsulated in the procedure Subscription\_Check\_HLR, which is specified in GSM 03.18 [6].

The interactions between barring of outgoing calls and call forwarding for phase 1 of optimal routing are defined in GSM 02.82 [3].

The interactions between BIC-Roam and call forwarding for phase 1 of optimal routing are defined in GSM 02.82 [3].

### 7.5 Other supplementary services

The effects of the following supplementary services on optimally routed calls are identical to their effects on non-optimally routed calls, so they are omitted from this TS:

- CLIP, CLIR, COLP, COLR (GSM 03.81);
- CW, HOLD (GSM 03.83);
- MPTY (GSM 03.84);
- ECT (GSM 03.91).

## 8 Interactions between optimal routing and other network features

### 8.1 Operator determined barring

The principles for the interactions between operator determined barring and optimal routing are the same as those for interactions between supplementary service barring and optimal routing.

### 8.2 CAMEL

The principles for interactions between CAMEL services and optimal routing are specified in this subclause. The interworking between CAMEL processing and optimal routing in the GMSC, the HLR and the terminating VMSC is specified in subclauses 9.4, 9.5 and 9.7 respectively.

If a mobile-originating CAMEL service modifies the number entered by the A subscriber, VMSCA treats the number returned by the CAMEL server in the same way as a number received in the SETUP message, i.e. it sends an IAM containing the modified number to the associated GMSC, which analyses it to find if it is an MSISDN.

If a mobile-terminating CAMEL service modifies the number received by the GMSC, the GMSC treats the number returned by the CAMEL server in the same way as a forwarded-to number, i.e. it checks it against the optimal routing criteria in subclause 9.1 but does not analyse it to find if it can derive an HLR address. If the number returned by the CAMEL server does not satisfy the optimal routing criteria in subclause 9.1 and the GMSC is not in the same PLMN as HLRB, the GMSC will route the call to a GMSC in the same PLMN as HLRB. This will lead to a repetition of the mobile terminating CAMEL interaction.

If the call is to be forwarded at the GMSC (whether by a GSM-standardized call forwarding service or by a CAMEL-based call forwarding service) and a mobile originating CAMEL service applies to the forwarding subscriber, the GMSC checks the number which results from the CAMEL service against the optimal routing criteria in subclause 9.1. If the number returned by the CAMEL server does not satisfy the optimal routing criteria in subclause 9.1, the GMSC will not route the call to the forwarded-to destination. For early call forwarding, the GMSC will route the call to a GMSC in the same PLMN as HLRB. This will lead to a repetition of the mobile originating CAMEL interaction. For optimal routing of late call forwarding, the GMSC will return a Resume Call Handling negative response towards VMSCB, which will forward the call. This will lead to a repetition of the mobile originating CAMEL interaction.

## 9 Functional requirements of entities performing optimal routing

### 9.1 Charging requirements for optimal routing

MoU have imposed two constraints for the charging of optimally routed calls:

- no subscriber shall pay more for a call which has been optimally routed than he would do under the present routing scheme described in GSM 03.04 [5] in the subclauses describing the call cases where the GMSC is in the same PLMN as the HLR;
- at least for the first phase of optimal routing, the charge for one leg of a call shall be paid for entirely by one subscriber.

These constraints mean that the direct route for a call cannot always be used. For example, if the calling mobile subscriber (the A subscriber) is in Germany, and the B subscriber's HPLMN is in Switzerland but he has roamed to Finland, the charge payable by the A subscriber to route the call by the direct route to Finland would be greater than the charge payable to route the call to HPLMNB, so the HPLMN route must be used.

In the first phase of optimal routing, it cannot be assumed that a GMSC is able to calculate the charge payable for the direct route and the charge payable for the HPLMN leg. The MoU requirements can be met by applying more stringent (but simpler) criteria for deciding whether the direct route may be used:

- if the country code of the destination exchange and the country code of the GMSC are the same, then the direct route may be used;

- otherwise, for a call leg which is chargeable to the A subscriber, if the country code of the destination exchange and the country code of HPLMNB are the same, then the direct route may be used;
- otherwise, the HPLMN route shall be used.

In certain cases, the second criterion above (equality of country codes for the HPLMN and the destination exchange) may not be enough to determine equality of the charges payable for the direct route and the HPLMN route. In these cases, analysis of the national destination code as well as the country code is required; however the principle is still that if the two numbers are the same to the depth of analysis required then the direct route may be used.

For optimal routing of late call forwarding, the constraints are satisfied if the following criteria are applied:

- if the country code of the forwarded-to exchange and the country code of the GMSC are the same, then the forwarded call may be routed directly from the GMSC to the forwarded-to exchange;
- otherwise, if the country code of the forwarded-to exchange and the country code of HPLMNB are the same, then the forwarded call may be routed directly from the GMSC to the forwarded-to exchange;
- otherwise, if the country code of the forwarded-to exchange and the country code of VPLMNB are the same, then the forwarded call may be routed directly from the GMSC to the forwarded-to exchange;
- Otherwise the forwarded call shall be routed through VPLMNB.

## 9.2 Functional behaviour of VMSCA

The functional behaviour of VMSCA is specified in GSM 03.18 [6]. The only function specific to optimal routing is the transfer of the destination address, if it is received in the ISUP Answer message, to the call data record, to allow the correct charge for the call to be made.

## 9.3 Functional behaviour of VLRA

The functional behaviour of VLRA is specified in GSM 03.18 [6].

## 9.4 Functional behaviour of GMSC

It should be noted that if a call is being forwarded from VMSCB rather than from the MSC which acted as GMSC for the original call then VMSCB may use the services of an associated GMSC for the forwarding leg, i.e. the associated GMSC requests routing information from HLRC. In this case, the forwarding leg is processed in the same way as a mobile-originated call from mobile subscriber B.

The functional behaviour of a GMSC is described in the SDL of figure 6.

### 9.4.1 Basic OR: incoming IAM

When the GMSC receives an IAM, it analyses the called party number of the IAM; if the called party number indicates that the called party is a mobile subscriber served by an HLR in the same PLMN as the GMSC, the call will not be optimally routed and the GMSC will request routing information from an HLR in its own PLMN; otherwise the call may be optimally routed and the GMSC will not request routing information from an HLR in its own PLMN.

The GMSC then sets the reference address (which determines the maximum charge which the A subscriber will pay) to be equal to the called party address, and invokes the procedure Obtain\_Routing\_Address, passing as parameters the called party address, the reference address, the optimal routing indicator and the own PLMN indicator.

#### 9.4.2 Basic OR: successful outcome

If the result of the procedure Obtain\_Routeing\_Address is "Pass" (i.e. neither "Fail" nor "Forward"), the GMSC constructs an IAM using the routeing address returned by the procedure Obtain\_Routeing\_Address and sends the IAM to the appropriate exchange. The GMSC then waits for an Address Complete message.

If the GMSC receives an Address Complete message from the destination exchange, it relays the message to the originating exchange and waits for an Answer message.

If the GMSC receives an Answer message from the destination exchange, it checks whether the call has been optimally routed. If the call has been optimally routed, the GMSC sets the destination address parameter in the Answer message, sends the message to the originating exchange and waits for the call to be cleared.

If the GMSC receives a Connect message from the destination exchange, it checks whether the call has been optimally routed. If the call has been optimally routed, the GMSC sets the destination address parameter in the Connect message, sends the message to the originating exchange and waits for the call to be cleared.

#### 9.4.3 Early call forwarding

If the result of the procedure Obtain\_Routeing\_Address is "Forward", the GMSC constructs an IAM using the routeing address returned by the procedure Obtain\_Routeing\_Address and sends the IAM to the appropriate exchange. The GMSC then waits for an Address Complete message from the forwarded-to exchange.

If the GMSC receives an Address Complete message from the forwarded-to exchange, it relays the message to the originating exchange and waits for an Answer message.

If the GMSC receives an Answer message from the forwarded-to exchange, it checks whether the call has been optimally routed. If the call has been optimally routed, the GMSC sets the destination address parameter in the Answer message. The GMSC then invokes the procedure Handle\_COLP\_Forwarding\_Interaction to determine how the COLP presentation indicator should be set, sets the presentation indicator in the Answer message, sends the Answer message to the originating exchange and waits for the call to be cleared.

If the GMSC receives a Connect message from the destination exchange, it checks whether the call has been optimally routed. If the call has been optimally routed, the GMSC sets the destination address parameter in the Connect message. The GMSC then invokes the procedure Handle\_COLP\_Forwarding\_Interaction to determine how the COLP presentation indicator should be set, sets the presentation indicator in the Connect message, sends the Connect message to the originating exchange and waits for the call to be cleared.

#### 9.4.4 Optimal Routeing of Late Call Forwarding (ORLCF)

If the VMSC/VLR of the destination mobile subscriber determines that the call is to be forwarded on mobile subscriber busy, mobile subscriber not reachable or no mobile subscriber reply, it requests the GMSC to resume handling of the call; the request includes:

- the call reference number allocated by the GMSC;
- the basic service group which applies for this call;
- the forwarded-to number;
- the forwarding reason;
- possibly CUG information;
- possibly originating CAMEL subscription information.

When the GMSC receives a request to resume call handling, it checks whether the request included originating CAMEL subscription information.

If the request included originating CAMEL subscription information and the GMSC does not support CAMEL, the GMSC sends a Resume Call Handling negative response indicating that OR is not allowed to the VMSC, and waits for an address complete message from VMSCB.

If the request did not include originating CAMEL subscription information, or the GMSC supports CAMEL, the GMSC stores the information which was included in the Resume Call Handling request. If HLRB must be interrogated for routing information for the forwarded call, the GMSC constructs an SRI(F) containing the forwarding reason and the basic service group and any CUG information which was received in the original IAM, sends it to HLRB and waits for an answer. If the GMSC receives a positive response from HLRB, it stores the forwarding information received in the response. If HLRB must not be interrogated for routing information for the forwarded call, the GMSC uses the forwarding information received in the Resume Call Handling request.

If the Resume Call Handling request included originating CAMEL subscription information, the GMSC performs the interaction between CAMEL handling and call forwarding as specified in GSM 03.78 [7], process CAMEL\_CF\_MSC\_GMSC.

The GMSC then checks the optimal routing indicator. If the optimal routing indicator is set to false, indicating that the original call to the VMSC was not optimally routed, the GMSC sets the reference address to the routing address used for the call setup to VMSCB and sets the optimal routing indicator to TRUE.

The GMSC then sets the destination address equal to the forwarded-to number and invokes the procedure Route\_Permitted, passing as parameters the optimal routing indicator, the forwarded-to number and the reference address.

#### **9.4.4.1 ORLCF: successful outcome**

If the result of the procedure Route\_Permitted indicates that the call can be routed directly from the GMSC to the forwarded-to destination, the GMSC:

- sends a Resume Call Handling ack and an ISUP Release message to the VMSC;
- constructs an IAM using the forwarded-to number and any CUG information received in the Resume Call Handling request or the SRI(F) ack;
- sends the IAM to the appropriate exchange;
- waits for an address complete message from the forwarded-to exchange.

#### **9.4.4.2 ORLCF: unsuccessful outcome**

If the GMSC receives a negative response from HLRB, it:

- sends a Resume Call Handling negative response indicating that the forwarding failed, and an ISUP release, to the VMSC;
- sends an ISUP Release message to the originating MSC, with an appropriate cause value;
- releases any call resources which have been allocated;

and the process returns to the idle state.

If the result of the procedure Route\_Permitted indicates that the call cannot be routed directly from the GMSC to the forwarded-to destination, the GMSC sends a Resume Call Handling negative response indicating that OR is not allowed to the VMSC, and waits for an address complete message from VMSCB.

#### **9.4.5 Basic OR: unsuccessful outcome**

If the result of the procedure Obtain\_Routing\_Address is "fail", the GMSC returns an ISUP Release message to the originating MSC, with an appropriate cause value, and the process terminates.

#### **9.4.6 Call release**

At any stage, the call may be released from the originating end. In this case, the GMSC sends an ISUP Release message towards the destination VMSC, if a traffic channel has been established, and releases any call resources which have been allocated, and the process returns to the idle state.

If a traffic circuit has been established to the destination VMSC, the destination VMSC may return an ISUP Release message. In this case, the GMSC sends an ISUP Release message to the originating MSC via the process MSC\_Coord, with an appropriate cause value, and releases any call resources which have been allocated, and the process returns to the idle state.

#### **9.4.7 Relay of other messages**

When the GMSC is waiting for an Address Complete message, an Answer message, for the call to be cleared, or for forwarding information from the HLR, it relays any messages received from the originating exchange to the destination exchange, and relays any messages received from the destination exchange to the originating exchange.

#### **9.4.8 Procedure Obtain\_Routeing\_Address**

This procedure is described in the SDL of figure 7. It is invoked in the original GMSC, or in the VMSC if conditional call forwarding is to be invoked at the VMSC and the VMSC has GMSC capabilities. It takes as input parameters an input address, a reference address, an optimal routeing indicator and an own PLMN indicator. It returns as output parameters a routeing address, an address to identify the destination network and a result indicator.

The GMSC begins by sending a request for routeing information, indicating that this is an optimal routeing enquiry for information to route a basic call, to the HLR; the GMSC then waits for a response from the HLR.

##### **9.4.8.1 Send Routeing Info negative response**

If there is a negative response to the Send Routeing Info request (including the cases where the HLR rejects the request because the HLR does not support OR, and where the HLR cannot return a response to the request because of a protocol error), the GMSC checks whether the interrogation was to an HLR in the same PLMN as the GMSC. If the interrogation was within the PLMN of the GMSC, there is no point in repeating the interrogation, so the GMSC sets the result indicator to "fail", and returns. If the interrogation was not within the PLMN of the GMSC, the GMSC checks the negative response to see whether there is any point in repeating the interrogation from a GMSC in the same PLMN as the HLR. "Non-fatal" error situations, which cause the call to be routed through a GMSC in the same PLMN as the HLR, are:

- Send Routeing Info request rejected because the HLR does not support OR;
- Protocol error;
- System failure;
- Unexpected data value;
- Data missing;
- OR not allowed.

"Fatal" negative responses, which cause the GMSC to return a "fail" result, are:

- Unknown subscriber;
- Number changed;
- Bearer service not provisioned;
- Teleservice not provisioned;
- Call barred;
- CUG reject;
- Forwarding violation;
- Facility not supported;
- Absent subscriber.

If there is no point in repeating the interrogation, the GMSC sets the result indicator to "Fail", and returns; otherwise the GMSC returns the reference address as the routeing address and the destination address, sets the OR indicator to False and sets the result indicator to "Pass". This causes the parent process to construct an IAM using the reference address, and send it to a GMSC in the same PLMN as the HLR.

### 9.4.8.2 Send Routeing Info ack

If the HLR returns a Send Routeing Info ack including terminating CAMEL subscription information, the GMSC performs the CAMEL handling for a mobile terminating call as specified in GSM 03.78 [7], process CAMEL\_MT\_GMSC.

The GMSC then checks the routeing information in the Send Routeing Info ack.

#### 9.4.8.2.1 Routeing information is MSRN

If the Send Routeing Info ack contains an MSRN and a VMSC address, the GMSC stores the Forwarding Interrogation Required indicator, returns the MSRN as the routeing address and the VMSC address as the destination address, and sets the result to "Pass".

#### 9.4.8.2.2 Routeing information is forwarded-to number

If the Send Routeing Info ack contains a forwarded-to number, the GMSC invokes the procedure Route\_Permitted, passing as parameters the optimal routeing indicator, the forwarded-to number and the reference address. If the result indicates that the call can be directly routed to the forwarded-to number, the GMSC returns the forwarded-to number as the routeing address, sets the OR indicator to True and sets the result indicator to "Forward". If the result indicates that the call cannot be routed directly to the forwarded-to number, the GMSC returns the reference address as the routeing address and the destination address, sets the OR indicator to False and sets the result indicator to "Pass".

#### 9.4.8.2.3 Routeing information is CAMEL modified number

If the CAMEL handling for the mobile terminating call modified the destination address, giving a CAMEL modified number (CMN), the GMSC invokes the procedure Route\_Permitted, passing as parameters the optimal routeing indicator, the CAMEL modified number and the reference address. If the result indicates that the call can be directly routed to the CAMEL modified number, the GMSC returns the CAMEL modified number as the routeing address, sets the OR indicator to True and sets the result indicator to "Pass". If the result indicates that the call cannot be routed directly to the CAMEL modified number, the GMSC returns the reference address as the routeing address and the destination address, sets the OR indicator to False and sets the result indicator to "Pass".

#### 9.4.8.2.4 No routeing information

If the HLR returned a Send Routeing Info ack containing neither an MSRN nor a forwarded-to number, and CAMEL handling did not give a CAMEL modified number, the GMSC proceeds as defined in subclause 9.4.8.1 for an OR not allowed error.

### 9.4.9 Procedure Route\_Permitted

This procedure is described in the SDL of figure 8. It is invoked in the original GMSC, or in the GMSC associated with the VMSC if conditional call forwarding is to be invoked at the VMSC. It takes as input parameters the optimal routeing indicator, a requested address and a reference address. It returns as result a Boolean indication of whether the requested route is permitted.

If the optimal routeing indicator is set to false, the requested route is permitted.

If the optimal routeing indicator is set to true and the country code of the requested address matches the country code of the GMSC, the requested route is permitted.

If the optimal routeing indicator is set to true and the country code of the requested address matches the country code of the reference address, the requested route is permitted.

### 9.4.10 Procedure Handle\_COLP\_Forwarding\_Interaction

This procedure is specified in GSM 03.18 [6].

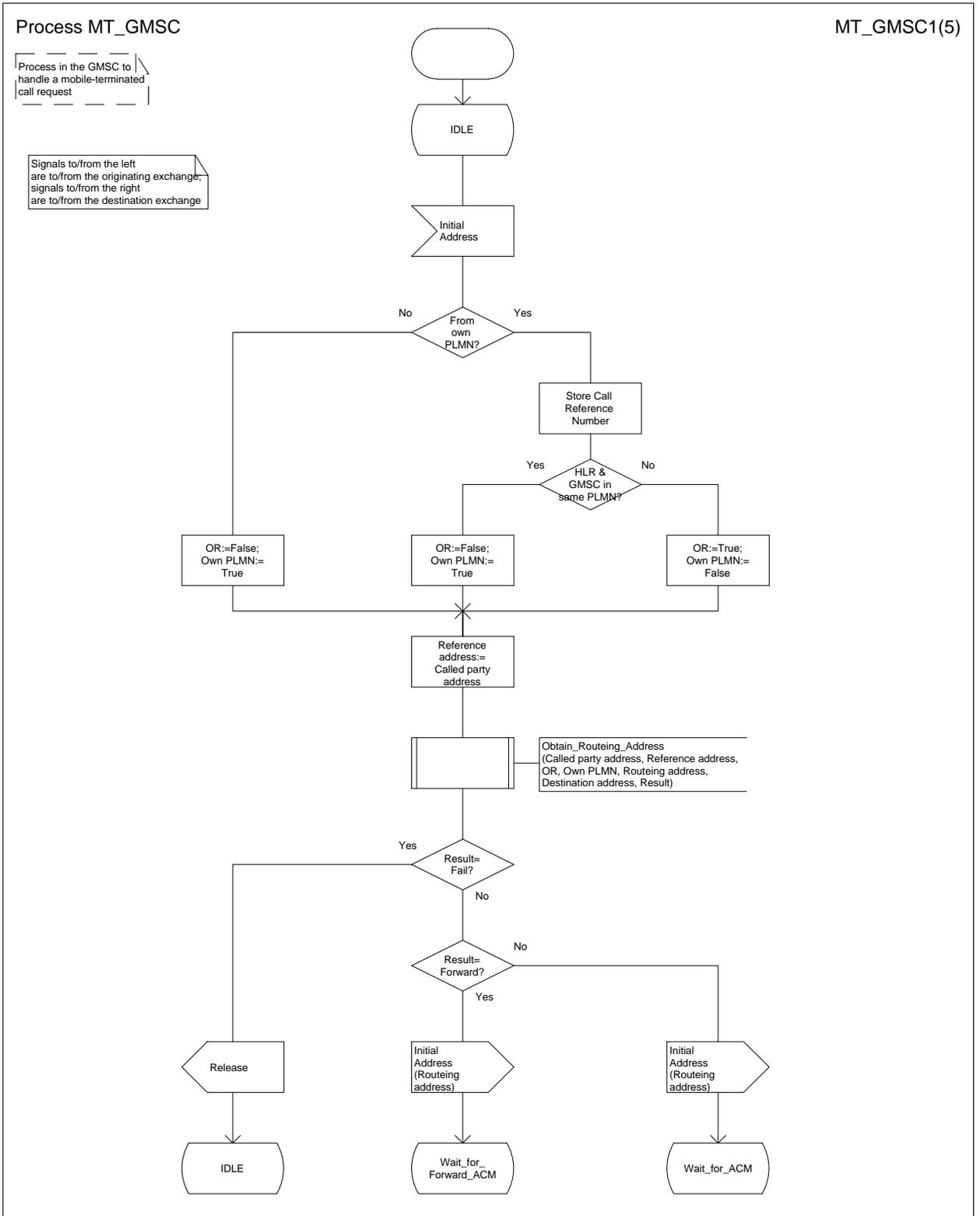


Figure 6a: Process MT\_GMSC (sheet 1)

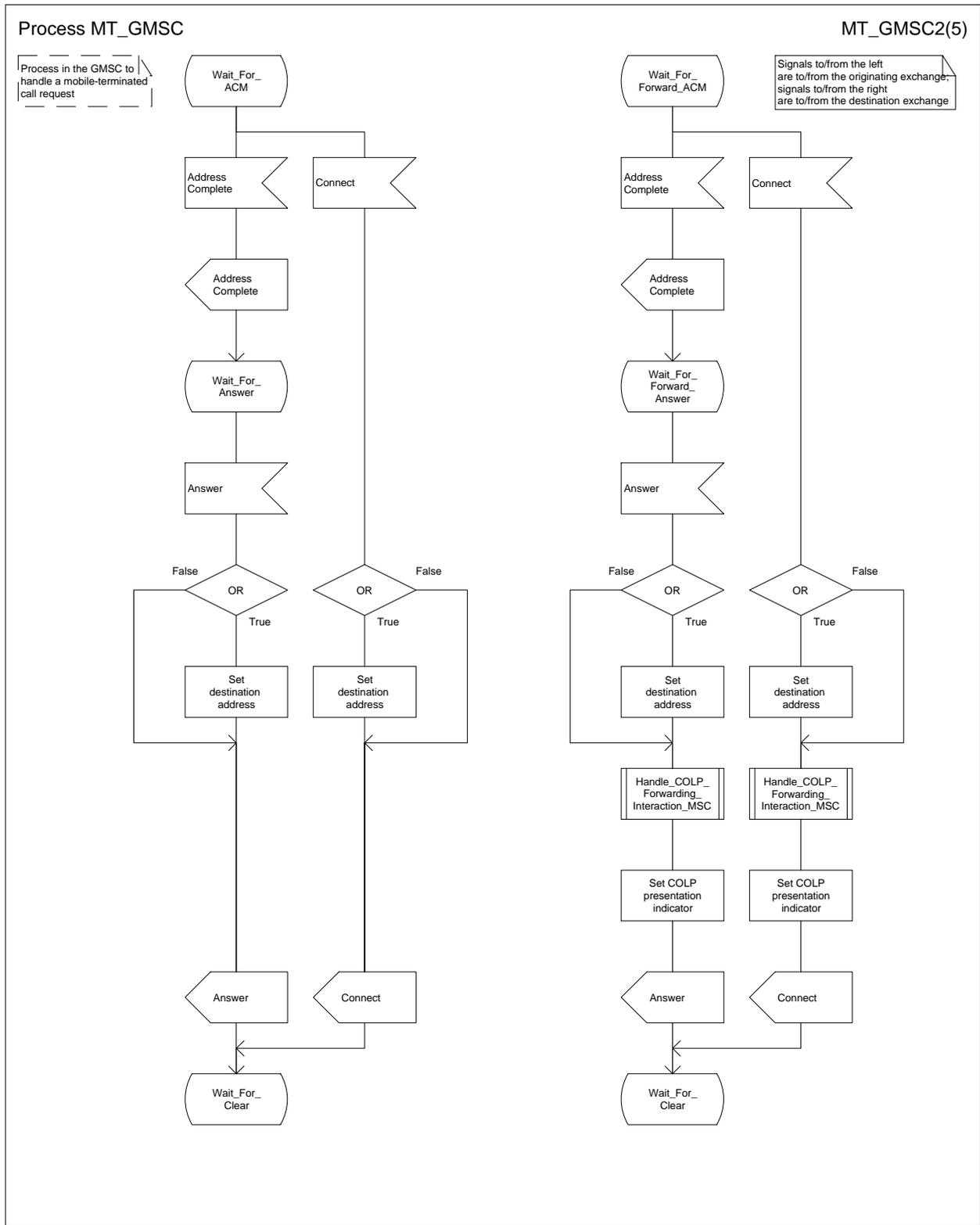


Figure 6b: Process MT\_GMSC (sheet 2)

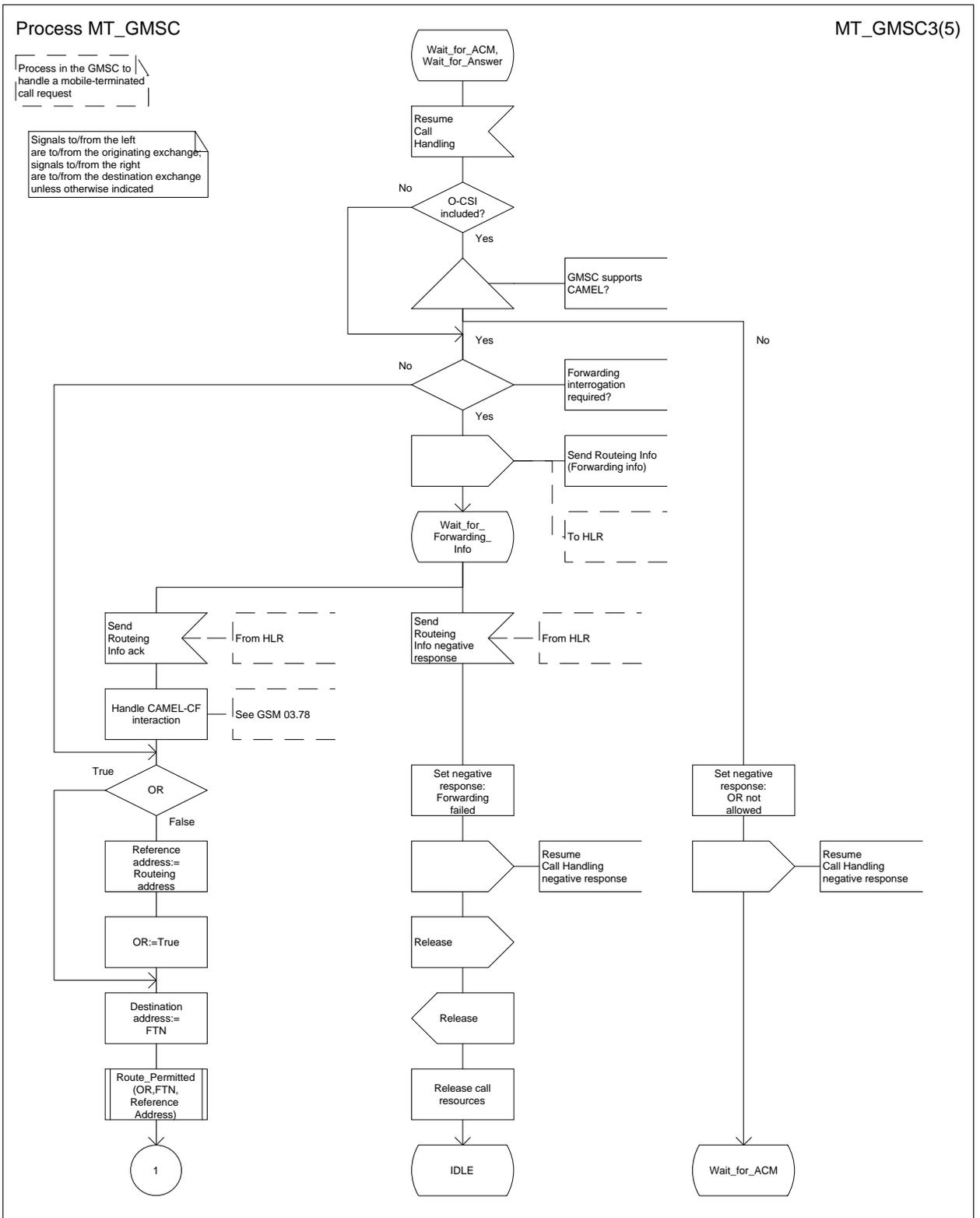


Figure 6c: Process MT\_GMSC (sheet 3)

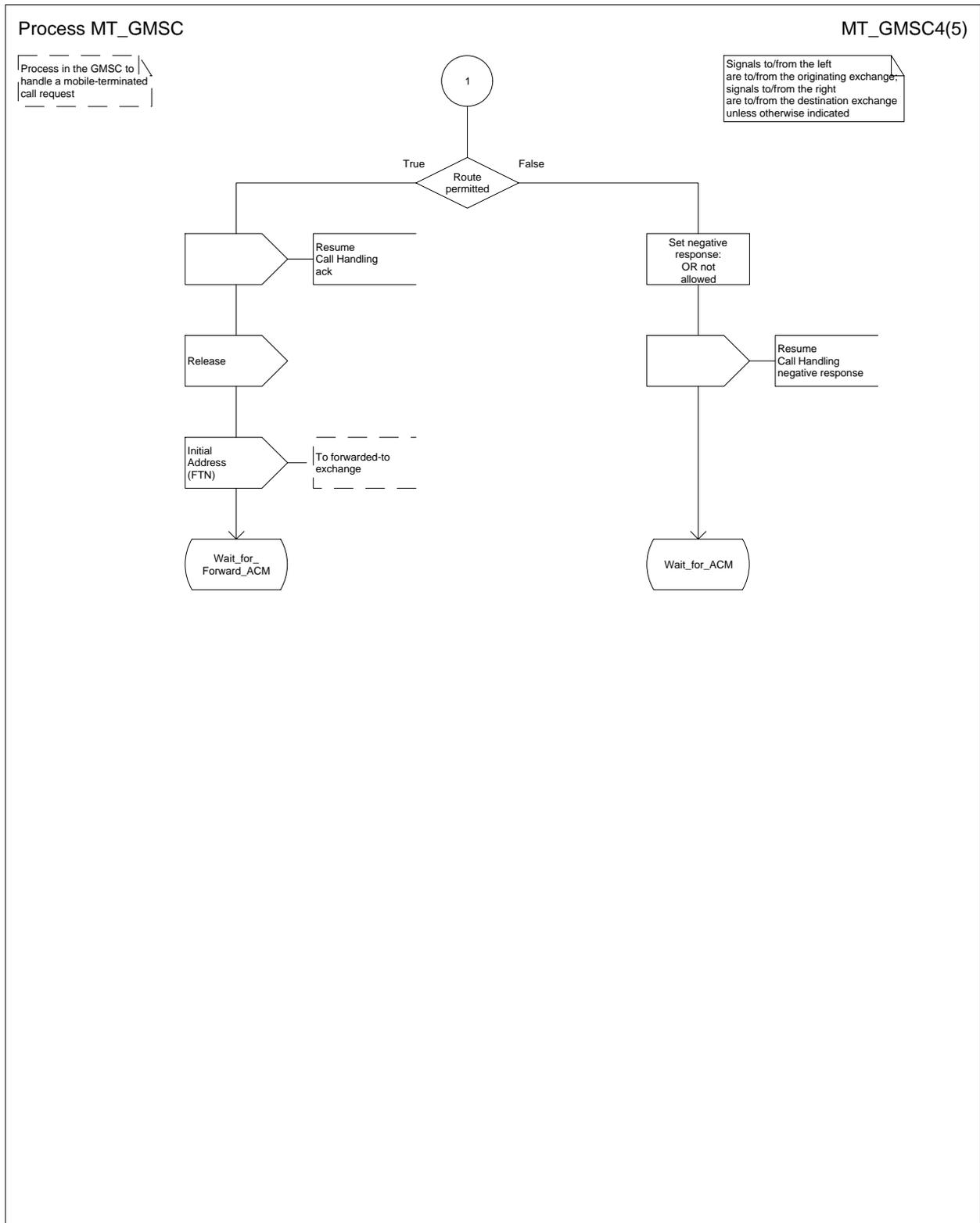


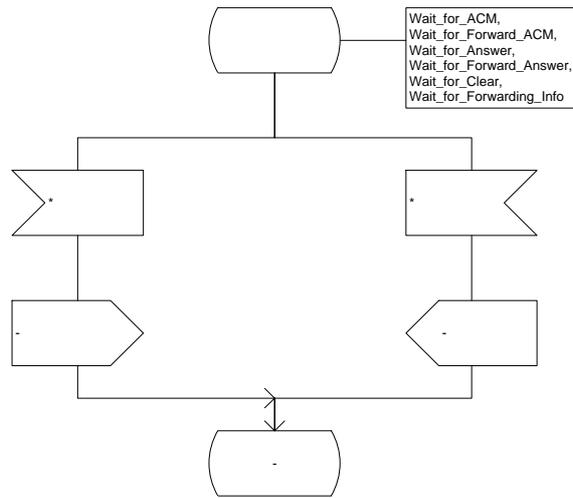
Figure 6d: Process MT\_GMSC (sheet 4)

Process MT\_GMSC

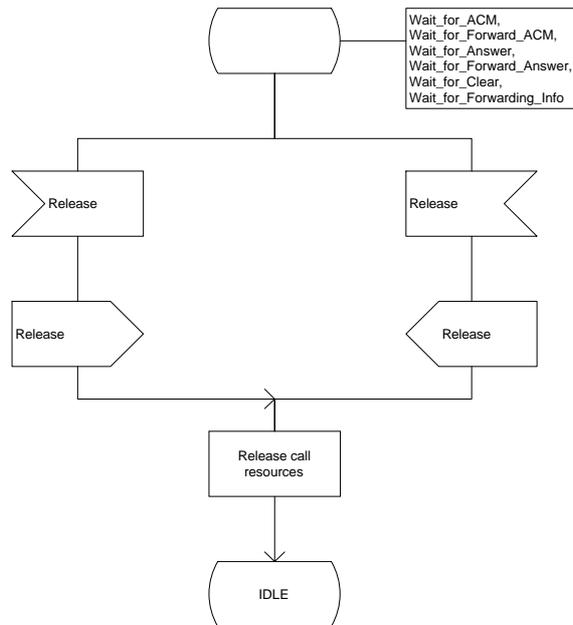
MT\_GMSC5(5)

Process in the GMSC to handle a mobile-terminated call request

Signals to/from the left are to/from the originating exchange; signals to/from the right are to/from the destination exchange



Wait\_for\_ACM,  
Wait\_for\_Forward\_ACM,  
Wait\_for\_Answer,  
Wait\_for\_Forward\_Answer,  
Wait\_for\_Clear,  
Wait\_for\_Forwarding\_Info



Wait\_for\_ACM,  
Wait\_for\_Forward\_ACM,  
Wait\_for\_Answer,  
Wait\_for\_Forward\_Answer,  
Wait\_for\_Clear,  
Wait\_for\_Forwarding\_Info

Figure 6e: Process MT\_GMSC (sheet 5)

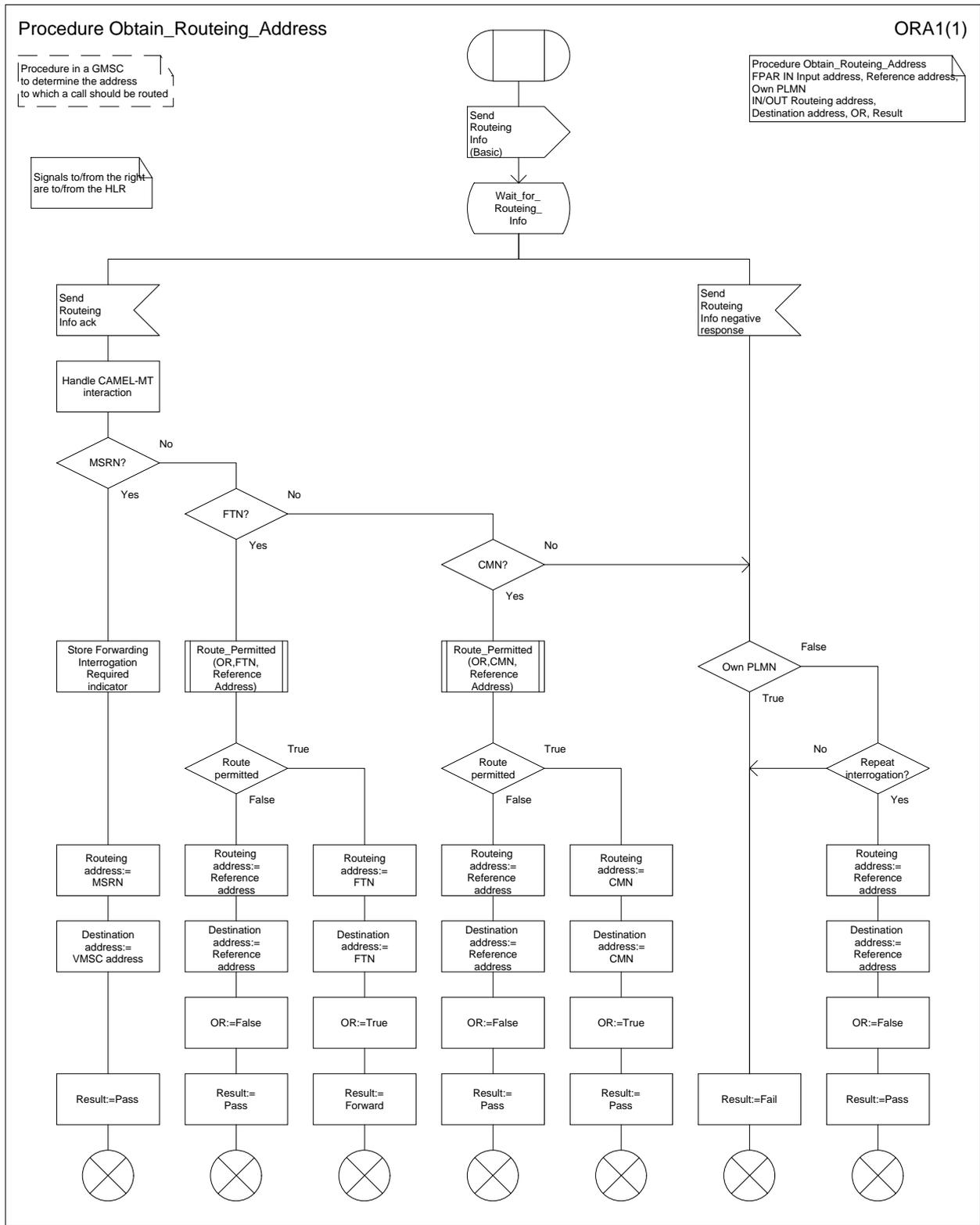


Figure 7: Procedure Obtain\_Routeing\_Address

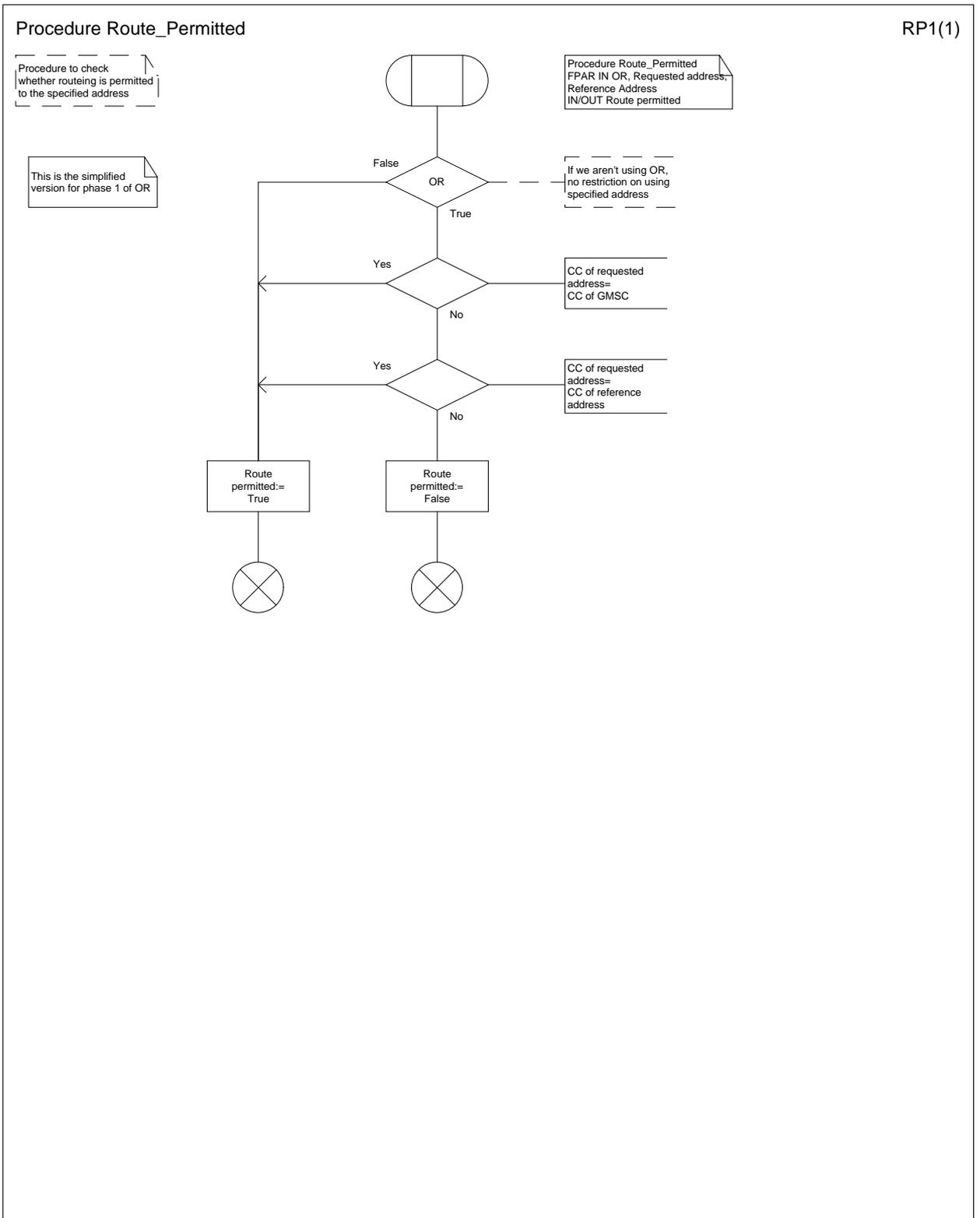


Figure 8: Procedure Route\_Permitted

## 9.5 Functional behaviour of HLR

The functional behaviour of an HLR is described in the SDL of figure 9.

### 9.5.1 Receipt of a request for routing information

When the HLR receives a request for routing information, it invokes the procedure `Subscription_Check_HLR` to determine whether the B subscriber's subscription permits the requested call to be offered.

If the result indicates that the call is allowed, the HLR checks whether this is a forwarding enquiry.

If it is a forwarding enquiry, the HLR proceeds as defined in subclause 9.5.5.

If it is not a forwarding enquiry, the HLR checks whether this is an optimal routing enquiry. If the HLR is prepared to accept an optimal routing enquiry from the GMSC which sent it, or this is not an optimal routing enquiry, the HLR checks whether it supports CAMEL.

If the HLR supports CAMEL, it performs the necessary handling for the terminating CAMEL service, as specified in GSM 03.78 [7], process `CAMEL_SRI_HLR`, starting at entry point `CAMEL_1`.

If the HLR does not support CAMEL, it invokes the procedure `First_Forwarding_HLR`, to determine whether the call should be forwarded before VLRB is interrogated. If the procedure `First_Forwarding_HLR` returns a Fail result, the HLR proceeds as defined in subclause 9.5.6.4.

If the procedure `First_Forwarding_HLR` returns a Continue result, the HLR continues as described in subclause 9.5.3.

### 9.5.2 Call forwarding before VLR interrogation

If the result of the procedure `First_Forwarding_HLR` indicates that the call is to be forwarded, the HLR invokes the procedure `Forward_CUG_Check` to determine whether Closed User Group authorization of the forwarded call is required.

If the result indicates that the forwarded call is allowed, the HLR constructs an ack to the routing information request, inserts the values returned by the procedure `First_Forwarding_HLR`:

- forwarding options;
- forwarded-to number as the routing address;

and returns the ack, and the process returns to the idle state.

### 9.5.3 Basic call enquiry

If the procedure `First_Forwarding_HLR` returns a Continue result, the HLR checks whether this is an optimal routing enquiry.

If it is not an optimal routing enquiry, the HLR constructs a Provide Roaming Number request. If the Send Routing Info request included a call reference number, the HLR includes the GMSC address and the call reference number in the Provide Roaming Number request.

If it is an optimal routing enquiry, the HLR checks whether the charging requirements for optimal routing given in subclause 9.1 are contravened. If the charging requirements are not contravened, the HLR constructs a Provide Roaming Number request including the GMSC address, the call reference number and an indication that this is a request for an OR call, sends the request to the VLR and waits for a response. Otherwise, the HLR requests subscriber information from the VLR, and awaits a response.

When the HLR receives the subscriber information, it checks the subscriber state received.

If the state is network determined not reachable, the HLR proceeds as defined in subclause 9.5.5.2.

Otherwise, the HLR proceeds as defined in subclause 9.5.6.3.

## **9.5.4 Response to Provide Roaming Number request**

### **9.5.4.1 Response is MSRN**

If the VLR returns an ack containing an MSRN, the HLR constructs an ack to the routing information request, inserts the MSRN as the routing address and returns the ack, and the process returns to the idle state.

### **9.5.4.2 Response is negative**

If the VLR returns a negative response to the Provide Roaming Number request, the HLR checks the negative response. If the negative response was OR not allowed, the HLR proceeds as defined in subclause 9.5.6.3.

Otherwise, the HLR invokes the procedure PRN\_Error\_HLR to handle the negative response.

If the procedure PRN\_Error\_HLR returns a Fail result, the HLR proceeds as defined in subclause 9.5.6.5.

If the procedure PRN\_Error\_HLR returns a Forward result, the HLR invokes the procedure Forward\_CUG\_Check to determine whether Closed User Group authorization of the forwarded call is required.

If the result indicates that the forwarded call is allowed, the HLR constructs an ack to the routing information request, inserts the values returned by the procedure First\_Forwarding\_HLR:

- forwarding options;
- forwarded-to number as the routing address;

and returns the ack, and the process returns to the idle state.

## **9.5.5 Forwarding information enquiry**

If this is an enquiry for forwarding information and the HLR supports interrogation for forwarding information, it checks the forwarding reason.

If the HLR does not support interrogation for forwarding information, it proceeds as defined in subclause 9.5.6.2.

### **9.5.5.1 Forwarding on busy**

If the forwarding reason is busy, the HLR invokes the procedure Handle\_CFB to determine whether the call should be forwarded.

If the procedure Handle\_CFB returns a Fail result, the HLR proceeds as defined in subclause 9.5.6.6.

If the procedure Handle\_CFB returns a Forward result, the HLR continues as defined in subclause 9.5.5.4.

If the procedure Handle\_CFB returns a Continue result, the HLR proceeds as defined in subclause 9.5.6.7.

### **9.5.5.2 Forwarding on mobile subscriber not reachable**

If the forwarding reason is not reachable, the HLR invokes the procedure Handle\_CFNRc to determine whether the call should be forwarded.

If the procedure Handle\_CFNRc returns a Fail result, the HLR proceeds as defined in subclause 9.5.6.6.

If the procedure Handle\_CFNRc returns a Forward result, the HLR continues as defined in subclause 9.5.5.4.

If the procedure Handle\_CFNRc returns a Continue result, the HLR proceeds as defined in subclause 9.5.6.8.

### 9.5.5.3 Forwarding on no subscriber reply

If the forwarding reason is no subscriber reply, the HLR invokes the procedure Handle\_CFNRy to determine whether the call should be forwarded.

If the procedure Handle\_CFNRy returns a Fail result, the HLR proceeds as defined in subclause 9.5.6.6.

If the procedure Handle\_CFNRy returns a Forward result, the HLR continues as defined in subclause 9.5.5.4.

### 9.5.5.4 Handling of a forwarded-to number

If the HLR supports CAMEL, it continues the necessary handling for the mobile terminating call, as specified in GSM 03.78 [7], process CAMEL\_SRI\_HLR, starting at entry point CAMEL\_2.

If the HLR does not support CAMEL, it invokes the procedure Forward\_CUG\_Check to determine whether Closed User Group authorization of the forwarded call is required.

If the result indicates that the forwarded call is allowed, the HLR constructs an ack to the routeing information request, inserts the values returned by the procedure Handle\_CFNRc:

- forwarding options;
- forwarded-to number as the routeing address;

and returns the ack, and the process returns to the idle state.

## 9.5.6 Unsuccessful outcome

### 9.5.6.1 Subscription check failure

If the procedure Subscription\_Check\_HLR returns a Fail result, the HLR relays the negative response returned by the procedure to the GMSC and the process returns to the idle state.

### 9.5.6.2 Forwarding interrogation not supported

If the enquiry is an enquiry for forwarding information and the HLR does not support forwarding interrogation, the HLR returns a Facility not supported negative response to the GMSC and the process returns to the idle state.

### 9.5.6.3 Optimal Routeing not allowed

If the enquiry is an optimal routeing enquiry and the HLR determines that it is not prepared to accept an optimal routeing enquiry for the called subscriber from the GMSC which sent it, the HLR returns an OR not allowed negative response to the GMSC and the process returns to the idle state.

If the enquiry is an optimal routeing enquiry and the HLR determines that the charging requirements for optimal routeing given in subclause 9.1 are contravened and the Provide Subscriber Info ack indicates that the called mobile subscriber is not network determined not reachable, the HLR returns an OR not allowed negative response to the GMSC and the process returns to the idle state.

If the enquiry is an optimal routeing enquiry and the HLR requires a roaming number to route the call and the VLR serving the called mobile subscriber returns an OR not allowed negative response to the Provide Roaming Number request, the HLR returns an OR not allowed negative response to the GMSC and the process returns to the idle state.

### 9.5.6.4 First forwarding failure

If the procedure First\_Forwarding\_HLR returns a Fail result, the HLR relays the negative response returned by the procedure to the GMSC and the process returns to the idle state.

**9.5.6.5 Provide Roaming Number negative response - no forwarding**

If the procedure PRN\_Error\_HLR returns a Fail result, the HLR relays the negative response returned by the procedure to the GMSC and the process returns to the idle state.

**9.5.6.6 Forwarding violation**

If forwarding is requested but the call has already been forwarded as many times as permitted by the operator, the HLR returns a Forwarding violation negative response to the GMSC and the process returns to the idle state.

**9.5.6.7 Mobile subscriber busy**

If the B subscriber is busy but call forwarding on busy is not active and operative for the requested basic service, the HLR returns a Busy subscriber negative response to the GMSC and the process returns to the idle state.

**9.5.6.8 Mobile subscriber not reachable**

If the B subscriber is not reachable but call forwarding on not reachable is not active and operative for the requested basic service, the HLR returns an Absent subscriber negative response to the GMSC and the process returns to the idle state.

**9.5.6.9 No subscriber reply**

If the B subscriber does not reply but call forwarding on no reply is not active and operative for the requested basic service, the HLR returns a No subscriber reply negative response to the GMSC and the process returns to the idle state.

**9.5.7 Procedure Subscription\_Check\_HLR**

This procedure is specified in GSM 03.18 [6].

**9.5.8 Procedure First\_Forwarding\_HLR**

This procedure is specified in GSM 03.18 [6].

**9.5.9 Procedure PRN\_Error\_HLR**

This procedure is specified in GSM 03.18 [6].

**9.5.10 Procedure Handle\_CFB**

This procedure is specified in GSM 03.18 [6].

**9.5.11 Procedure Handle\_CFNRc**

This procedure is specified in GSM 03.18 [6].

**9.5.12 Procedure Handle\_CFNRy**

This procedure is specified in GSM 03.18 [6].

**9.5.13 Procedure Forward\_CUG\_Check**

This procedure is specified in GSM 03.18 [6].

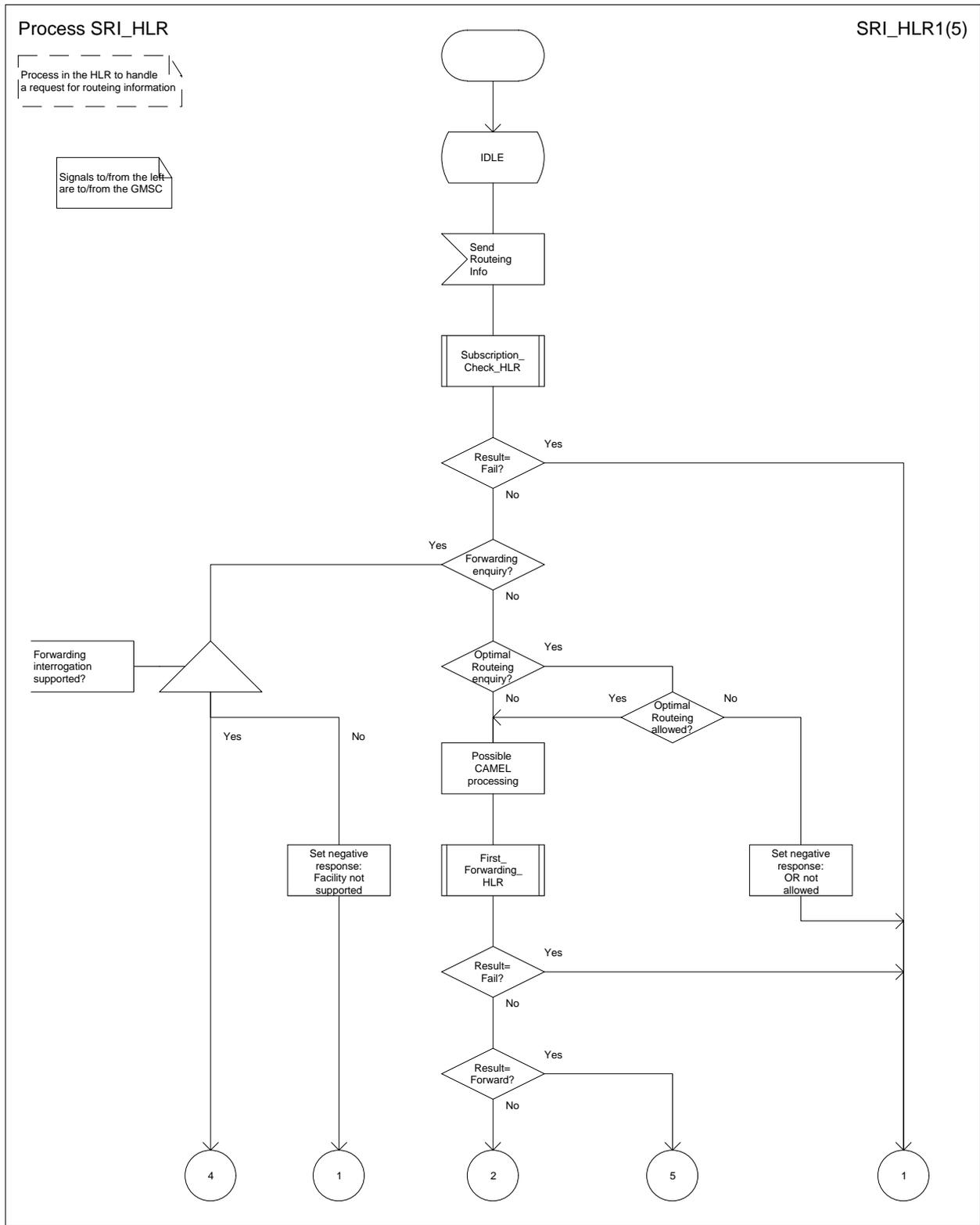


Figure 9a: Process SRI\_HLR (sheet 1)

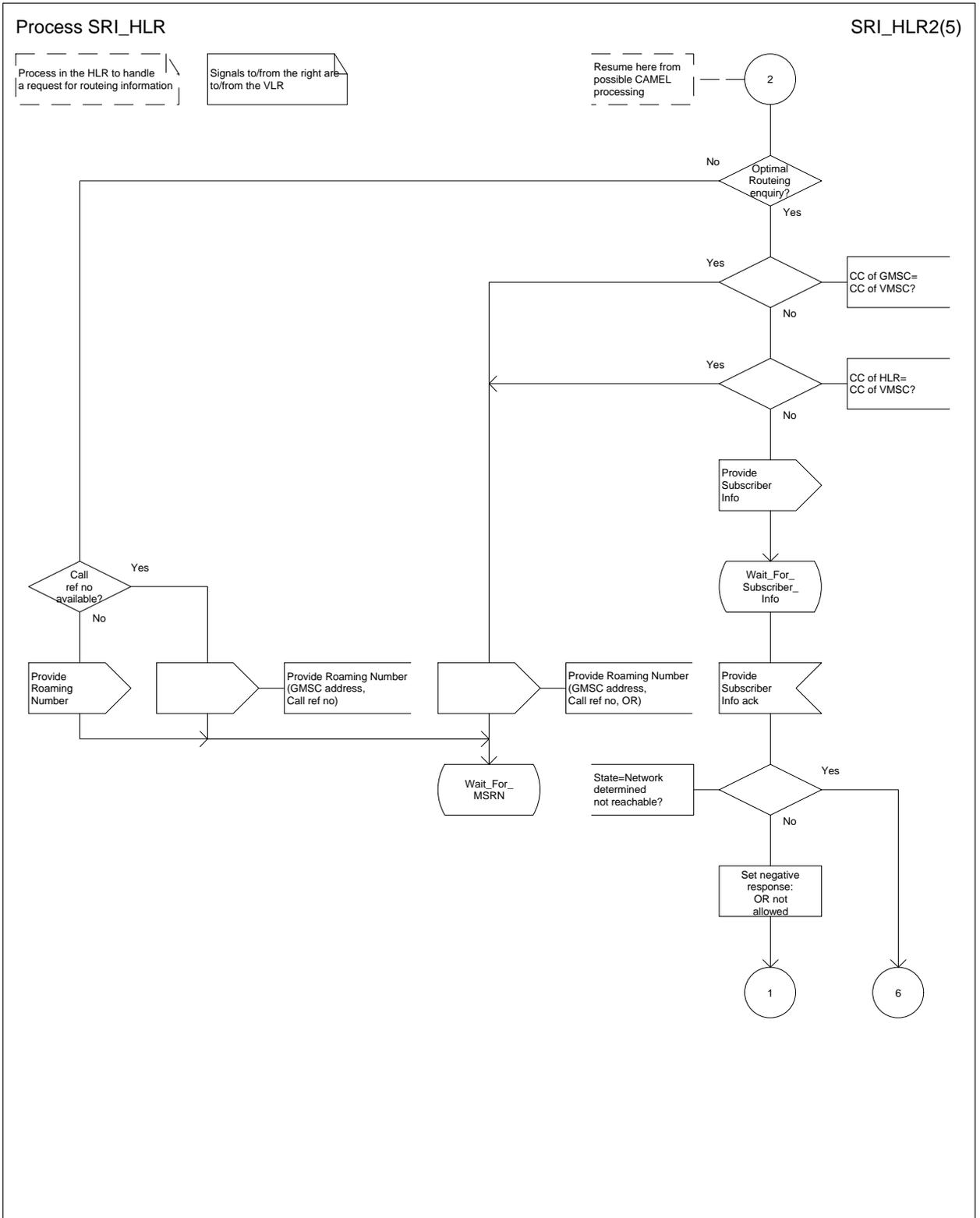


Figure 9b: Process SRI\_HLR (sheet 2)

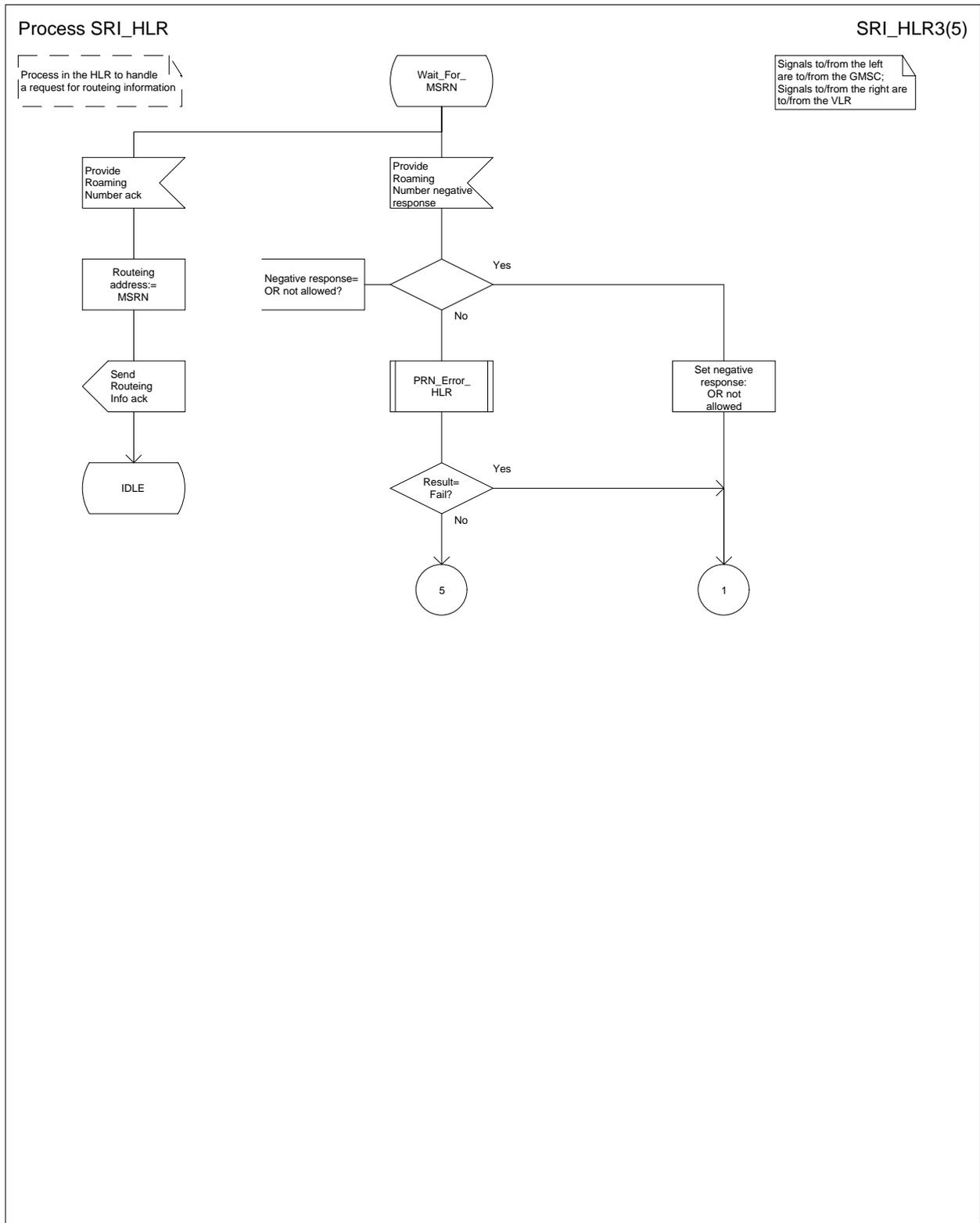


Figure 9c: Process SRI\_HLR (sheet 3)

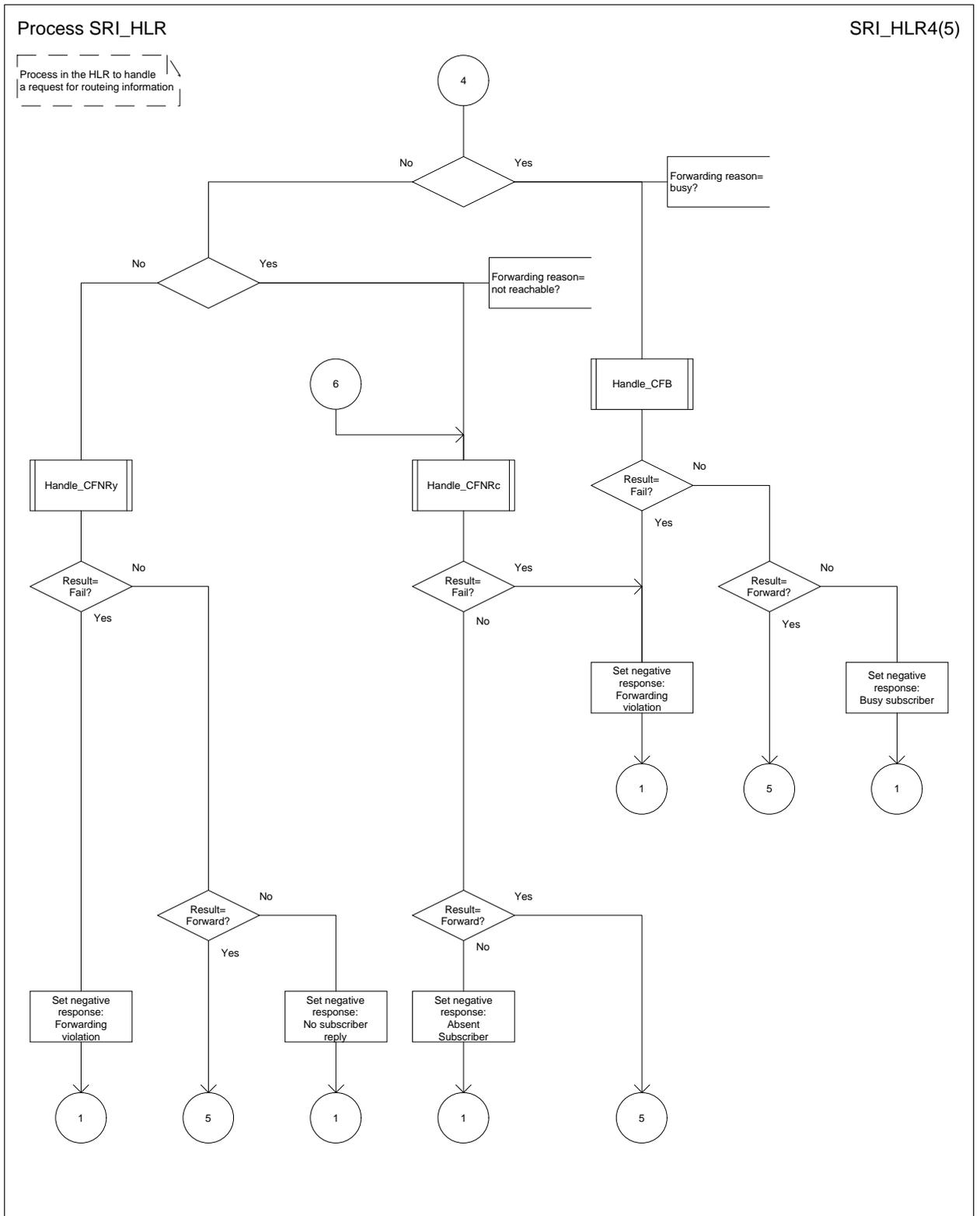


Figure 9d: Process SRI\_HLR (sheet 4)

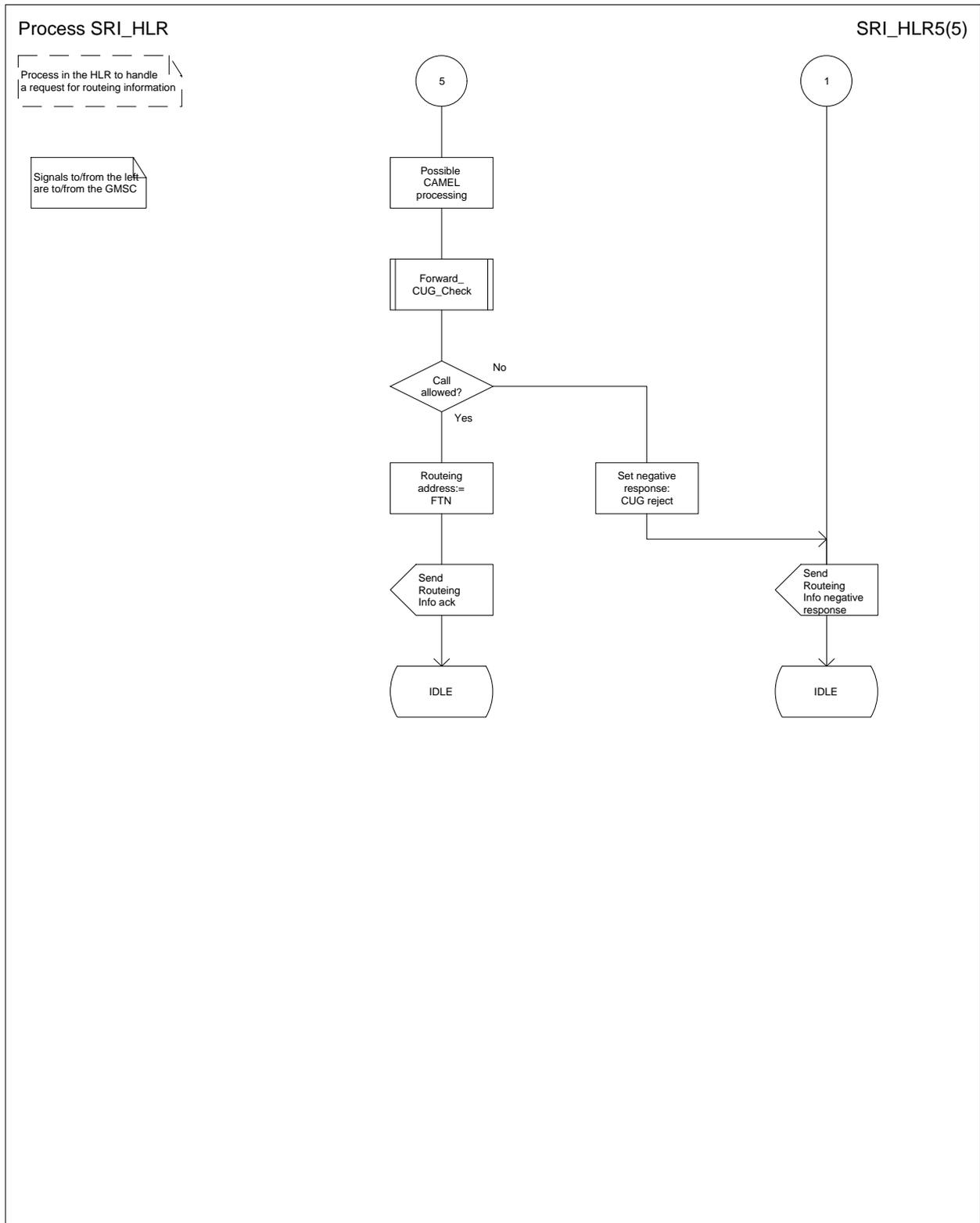


Figure 9e: Process SRI\_HLR (sheet 5)

## 9.6 Functional behaviour of VLRB

### 9.6.1 Functional behaviour of VLRB for provision of subscriber information

The functional behaviour of VLRB for provision of subscriber information is specified in GSM 03.18 [6].

### 9.6.2 Functional behaviour of VLRB for roaming number allocation

The functional behaviour of VLRB for roaming number allocation is specified in GSM 03.18 [6]. The only function specific to optimal routing is the storage of the OR indicator, the GMSC address and the call reference number if VLRB receives them in the Provide Roaming Number request.

### 9.6.3 Functional behaviour of VLRB when handling an incoming call

The functional behaviour of VLRB when handling a request for information to handle an incoming call is specified in GSM 03.18 [6]. The only functions specific to optimal routing are:

- the inclusion in the Complete Call or Process Call Waiting, if the call is to be offered to the B subscriber, of the OR indicator and the GMSC address if VLRB received them in the Provide Roaming Number request;
- the inclusion in the Send Info For Incoming Call response, if the call is to be forwarded, of:
  - the OR indicator, the GMSC address and the call reference number if VLRB received them in the Provide Roaming Number request;
  - the basic service which applies for this call.

## 9.7 Functional behaviour of VMSCB

The functional behaviour of VMSCB when it handles an incoming call is described in the SDL of figure 10. Note that the details of paging the MS and the handling of call waiting are not shown, as these have no effect on the operation of OR.

The handling of signalling if the call is to be forwarded at VMSCB from the point where VMSCB has sent the IAM containing the forwarded-to number is specified in GSM 03.18 [6], as is the procedure for releasing the call.

### 9.7.1 Receipt of Initial Address Message

When VMSCB receives an IAM, it analyses any ISDN compatibility information included in the IAM in an attempt to derive a basic service. If VMSCB can derive a basic service, it includes this in the Send Info For Incoming Call (SIFIC) request which it sends to VLRB; VMSCB then waits for the SIFIC ack from VLRB.

### 9.7.2 Successful outcome

#### 9.7.2.1 Completion of call

If VMSCB receives a Complete Call request from VLRB, it invokes the procedure Complete\_Call\_In\_MSC. If the procedure Complete\_Call\_In\_MSC returns a "Pass" result, VMSCB waits for the call to be cleared.

#### 9.7.2.2 Conditional call forwarding at the GMSC

If the procedure Complete\_Call\_In\_MSC returns a "Fail" result, VMSCB waits for the SIFIC result from VLRB. If VLRB returns a SIFIC ack indicating that the call is to be forwarded and the GMSC address is available, VMSCB constructs a Resume Call Handling request, containing:

- the call reference number;
- the basic service group which applies for this call;
- the forwarding information;
- possibly CUG information;
- possibly originating CAMEL subscription information;

as received in the SIFIC ack, sends it to the GMSC, and waits for the result.

If the GMSC accepts the request to resume call handling, it sends a Resume Call Handling ack and an ISUP Release message to VMSCB; these are potentially sent over different routes, so the ISUP Release may arrive before the Resume Call Handling ack. If the ISUP Release arrives before the Resume Call Handling ack, VMSCB releases any call resources which have been allocated and the process terminates.

If the Resume Call Handling ack arrives before the ISUP Release message, VMSCB waits for the ISUP Release message.

### **9.7.2.3 Call release**

At any stage, the call may be released from the originating end. The handling for this is specified in GSM 03.18 [6].

If a traffic circuit has been established to the destination exchange, the destination exchange may return an ISUP Release message. The handling for this is specified in GSM 03.18 [6].

### **9.7.3 Unsuccessful outcome**

If the GMSC address is not available, or the GMSC returns a Resume Call Handling negative response indicating OR not allowed, the call has to be forwarded from VMSCB. If the forwarded-to destination is a mobile subscriber, the forwarding leg from VMSCB may be optimally routed, in the same way as a call from subscriber B to subscriber C.

If the Send Info for Incoming Call ack included originating CAMEL subscription information, VMSCB performs the interaction between CAMEL handling and call forwarding as specified in GSM 03.78 [7], process CAMEL\_CF\_MSC\_GMSC.

If the GMSC returns a Resume Call Handling negative response indicating forwarding failure, the call cannot be forwarded at VMSCB. VMSCB waits for the ISUP Release message from the GMSC.

If the procedure Complete\_Call\_In\_MSC returns an "Aborted" result, VMSCB releases any call resources which have been allocated for this call, and the process returns to the idle state.

If VLRB returns a SIFIC negative response, VMSCB releases any call resources which have been allocated for this call, and the process returns to the idle state.

### **9.7.4 Procedure Complete\_Call\_In\_MSC**

This procedure is specified in GSM 03.18 [6].

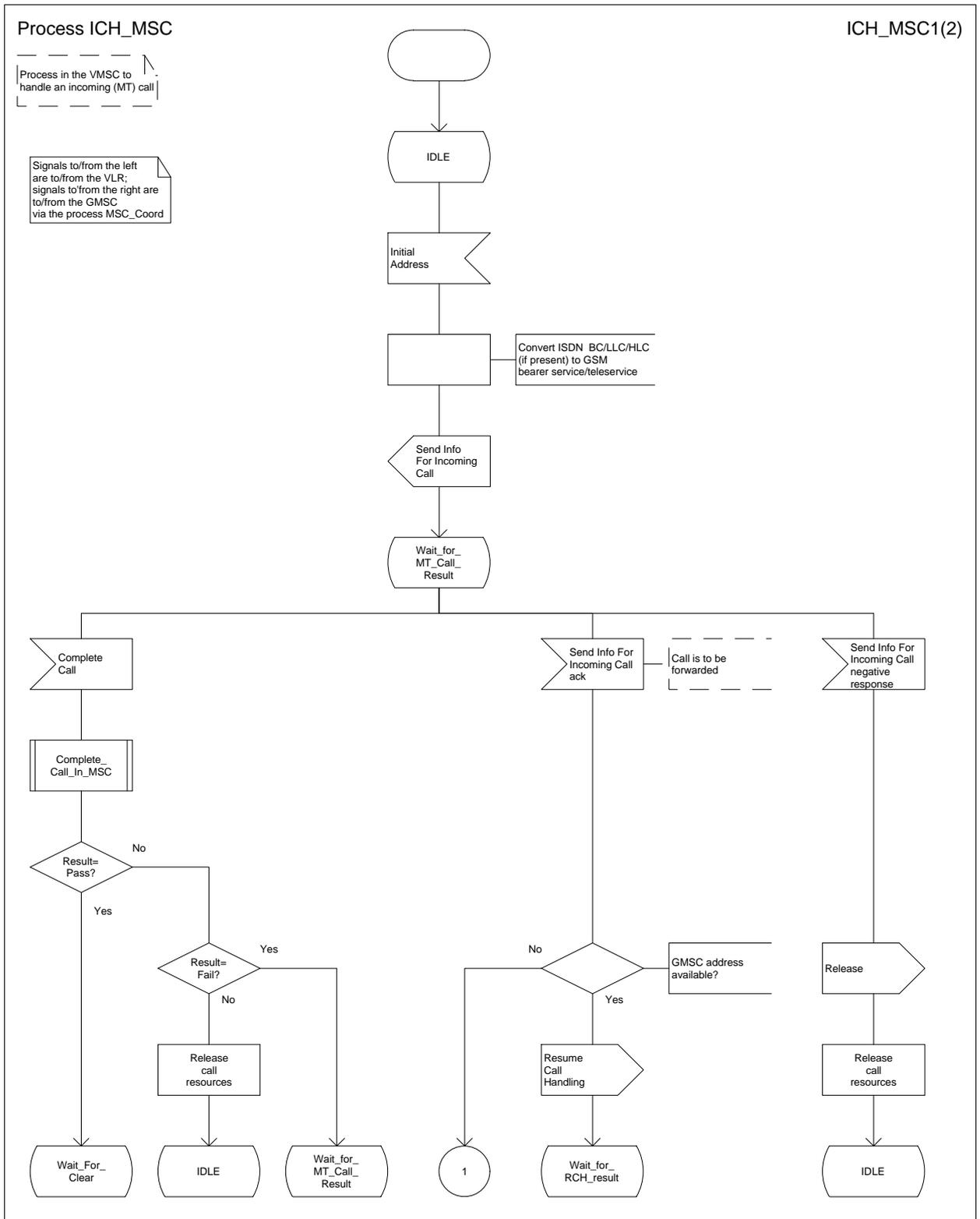


Figure 10a: Process ICH\_MSC (sheet 1)

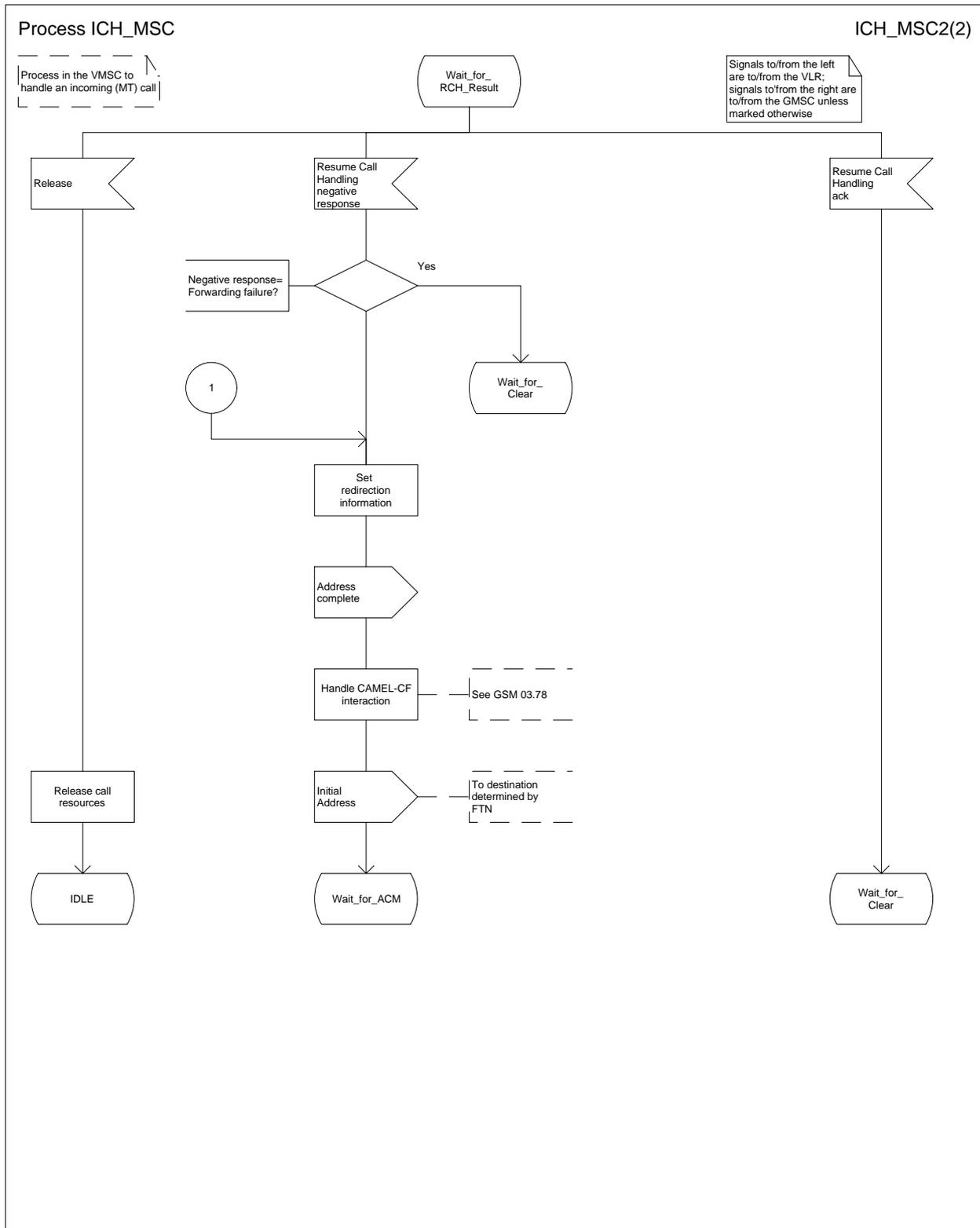


Figure 10b: Process ICH\_MSC (sheet 2)

## 10 Contents of messages

This clause specifies the changes to the content of each message shown in clauses 5, 6 and 9, including those messages which are already specified for GSM Phase 2 but which require changes for Optimal Routing. It should be read as a "delta" on the corresponding clause of GSM 03.18; those information elements which are the same for SOR as for the basic call without OR are not specified in this clause.

In the tables which follow, information elements are shown as mandatory (M) or conditional (C). A mandatory information element shall always be present. A conditional information element shall be present if certain conditions are fulfilled; if those conditions are not fulfilled it shall be absent.

### 10.1 Messages on the B interface (MSC-VLR)

#### 10.1.1 Send Info For Outgoing Call

This message is specified in GSM 03.18 [6].

#### 10.1.2 Send Info For Outgoing Call negative response

This message is specified in GSM 03.18 [6].

#### 10.1.3 Send Info For Incoming Call

This message is specified in GSM 03.18 [6].

#### 10.1.4 Send Info For Incoming Call ack

This message is specified in GSM 03.18 [6]. The following additional information elements are required:

Information element name	Required	Description
OR indicator	C	Indicates whether the call has been routed directly from a GMSC not in the same PLMN as the HLR. Shall be present if it was received in the Provide Roaming Number, otherwise shall be absent.
GMSC address	C	E.164 address of the GMSC. Shall be present if it was received in the Provide Roaming Number, otherwise shall be absent.
Call reference number	C	Call reference number used by the GMSC for this call. Shall be present if it was received in the Provide Roaming Number, otherwise shall be absent.
Originating CSI	C	Originating CAMEL subscription information. Shall be present if the B subscriber has subscribed to originating CAMEL service and VMSCB supports CAMEL; otherwise shall be absent.

#### 10.1.5 Send Info For Incoming Call negative response

This message is specified in GSM 03.18 [6].

#### 10.1.6 Complete Call

This message is specified in GSM 03.18 [6]. The following additional information elements are required:

Information element name	Required	Description
OR indicator	C	Indicates whether the call has been routed directly from a GMSC not in the same PLMN as the HLR. Shall be present if it was received in the Provide Roaming Number, otherwise shall be absent.
GMSC address	C	E.164 address of the GMSC. Shall be present if it was received in the Provide Roaming Number, otherwise shall be absent.

### 10.1.7 Process Call Waiting

This message is specified in GSM 03.18 [6]. The following additional information elements are required:

Information element name	Required	Description
OR indicator	C	Indicates whether the call has been routed directly from a GMSC not in the same PLMN as the HLR. Shall be present if it was received in the Provide Roaming Number, otherwise shall be absent.
GMSC address	C	E.164 address of the GMSC. Shall be present if it was received in the Provide Roaming Number, otherwise shall be absent.

## 10.2 Messages on the C interface (MSC-HLR)

### 10.2.1 Send Routeing Info

This message is specified in GSM 03.18 [6]. The following additional information elements are required:

Information element name	Required	Description
Interrogation type	M	Indicates the type of interrogation: basic(for routeing information for an MT call) or forwarding (when the GMSC has been asked to resume call handling for OR of late call forwarding).
OR interrogation indicator	C	Indicates that the interrogation is from a GMSC not in the same PLMN as the HLR. Shall be present if the interrogation is from a GMSC not in the same PLMN as the HLR, otherwise shall be absent.
OR capability	C	Indicates the phase of OR which the GMSC supports. Shall be present if the GMSC supports OR, otherwise shall be absent.
GMSC address	M	E.164 address of the GMSC.
Call reference number	C	Call reference number used by the GMSC for this call. Shall be present if the interrogation type=location or if the interrogation type=roaming number, otherwise shall be absent.
Forwarding reason	C	Indicates the reason for forwarding (on busy, on no subscriber reply, or on mobile subscriber not reachable). Shall be present if the Interrogation type=forwarding, otherwise shall be absent.
Basic service group	C	Basic service group which applies for this call. Shall be present if the Interrogation type=forwarding, otherwise shall be absent.

### 10.2.2 Send Routeing Info ack

This message is specified in GSM 03.18 [6]. Two new information elements are required, and the condition for the presence of one existing information element is changed, as shown in the following table.

Information element name	Required	Description
Forwarding interrogation required	C	Indicates whether the GMSC shall interrogate the HLR for routing information for late call forwarding. Shall be present if the SRI ack contains an MSRN, otherwise shall be absent.
VMSC address	C	E.164 address of the VMSC in whose area the B subscriber is currently registered. Shall be present in the Send Routing Info ack if the OR interrogation indicator in the Send Routing Info was set to True and the HLR has not determined that the call is to be forwarded, otherwise shall be absent.
Roaming number	C	E.164 address required to route the call to the VMSC of the B party. Shall be present in the Send Routing Info ack which is sent in response to a Send Routing Info with Interrogation type=basic if the HLR has determined that the charging requirements for optimal routing are not contravened and that the call is not to be forwarded, otherwise shall be absent.

### 10.2.3 Send Routing Info negative response

This message is specified in GSM 03.18 [6]. The negative response information element can take the following values in addition to those specified in GSM 03.18 [6]:

- OR not allowed.
- Busy subscriber.
- No subscriber reply.

## 10.3 Messages on the D interface (VLR-HLR)

### 10.3.1 Provide Roaming Number

This message is specified in GSM 03.18 [6]. The following additional information elements are required:

Information element name	Required	Description
GMSC address	C	E.164 address of the GMSC. Shall be present if it was received by the HLR in the Send Routing Info, otherwise shall be absent.
Call reference number	C	Call reference number used by the GMSC for this call. Shall be present if it was received by the HLR in the Send Routing Info, otherwise shall be absent.
OR interrogation indicator	C	Indicates that the HLR received the corresponding Send Routing Info from a GMSC not in the same PLMN as the HLR. Shall be present if the HLR received the Send Routing Info from a GMSC not in the same PLMN as the HLR, otherwise shall be absent.

### 10.3.2 Provide Roaming Number ack

This message is specified in GSM 03.18 [6].

### 10.3.3 Provide Roaming Number negative response

This message is specified in GSM 03.18 [6].

### 10.3.4 Provide Subscriber Information

This message is specified in GSM 03.18 [6].

### 10.3.5 Provide Subscriber Information ack

This message is specified in GSM 03.18 [6].

## 10.4 Messages on the E interface (MSC-MSC)

### 10.4.1 Resume Call Handling

The following information elements are required:

Information element name	Required	Description
Call reference number	M	Call reference number used by the GMSC for this call.
Forwarding reason	M	Indicates the reason for forwarding (on busy, on no subscriber reply, or on mobile subscriber not reachable).
Basic service group	M	Basic service group which applies for this call.
IMSI	M	IMSI of the B subscriber.
Forwarded-to number	M	E.164 number of the C subscriber.
Notification to calling party	M	Indication of whether the calling party is to be notified that the call has been forwarded.
Forwarded-to subaddress	C	Subaddress of the C subscriber (see GSM 03.03 [4]). Shall be present if a forwarded-to subaddress is stored in the VLR in association with the forwarded-to number; otherwise shall be absent.
CUG interlock	C	For the definition of this IE, see GSM 03.85 [8]. Shall be present if the VLR has determined that the forwarded call is to be treated as a CUG call in accordance with the rules in GSM 03.85 [8], otherwise shall be absent.
CUG outgoing access	C	For the definition of this IE, see GSM 03.85 [8]. Shall be present if the VLR has determined that the forwarded call is to be treated as a CUG call with outgoing access in accordance with the rules in GSM 03.85 [8], otherwise shall be absent.
Originating CSI	C	Originating CAMEL subscription information. Shall be present if the B subscriber has subscribed to originating CAMEL service and VMSCB supports CAMEL; otherwise shall be absent.

### 10.4.2 Resume Call Handling ack

This message contains no information elements.

### 10.4.3 Resume Call Handling negative response

The negative response information element can take the following values:

- OR not allowed.
- Forwarding failed.

## **Annex A (informative): Handling of an IAM at an MSC**

An MSC which receives an IAM from an originating exchange may react in three different ways:

- it acts as a transit exchange, i.e. it relays the IAM to a destination exchange determined by analysis of the called party address, and thereafter relays other ISUP signalling between the originating and destination exchange until the connection is released. This behaviour is not specific to GSM;
- it acts as a terminating exchange, i.e. it attempts to connect the call to an MS currently registered in the service area of the MSC;
- it acts as a GMSC, i.e. it interrogates an HLR for information to route the call. If the HLR returns routing information, the MSC uses the routing information from the HLR to construct an IAM, which it sends to a destination exchange determined by analysis of the routing information from the HLR.

The method which the MSC uses to determine how to handle the IAM is described in GSM 03.18 [6]. However, the number analysis required to derive the address of an HLR in a different PLMN from the MSC is much more extensive than that required to derive the address of an HLR in the same PLMN as the MSC - the MSC needs to be able to recognize the combination of country code and national destination code for every subscriber of every PLMN to which calls are to be optimally routed.

A PLMN operator may decide to implement the ability to recognize a called party address as belonging to a GSM PLMN which is not the PLMN of the MSC in only a subset of the MSCs in his PLMN. Other MSCs will route international calls to one of the MSCs which have the capability for extra number analysis.

When a GMSC has interrogated an HLR and received an MSRN, the GMSC may need to route the call to the HPLMN of the called subscriber. If the call is routed through an MSC which has the capability to analyse an address to derive an HLR address, a method must be provided to prevent the transit MSC from performing a further interrogation of the HLR, using the MSRN as an MSISDN. The method used to prevent this further interrogation is a matter for the PLMN operator.

**History**

<b>Document history</b>		
V5.0.1	April 1997	Publication