# ETSI TS 136 442 V12.0.0 (2014-09)



#### LTE;

Evolved Universal Terrestrial
Radio Access Network (E-UTRAN);
Signalling Transport for interfaces supporting Multimedia
Broadcast Multicast Service (MBMS) within E-UTRAN
(3GPP TS 36.442 version 12.0.0 Release 12)



# Reference RTS/TSGR-0336442vc00 Keywords

#### **ETSI**

650 Route des Lucioles F-06921 Sophia Antipolis Cedex - FRANCE

Tel.: +33 4 92 94 42 00 Fax: +33 4 93 65 47 16

Siret N° 348 623 562 00017 - NAF 742 C Association à but non lucratif enregistrée à la Sous-Préfecture de Grasse (06) N° 7803/88

#### Important notice

The present document can be downloaded from: <u>http://www.etsi.org</u>

The present document may be made available in electronic versions and/or in print. The content of any electronic and/or print versions of the present document shall not be modified without the prior written authorization of ETSI. In case of any existing or perceived difference in contents between such versions and/or in print, the only prevailing document is the print of the Portable Document Format (PDF) version kept on a specific network drive within ETSI Secretariat.

Users of the present document should be aware that the document may be subject to revision or change of status.

Information on the current status of this and other ETSI documents is available at

<a href="http://portal.etsi.org/tb/status/status.asp">http://portal.etsi.org/tb/status/status.asp</a></a>

If you find errors in the present document, please send your comment to one of the following services: http://portal.etsi.org/chaircor/ETSI\_support.asp

#### **Copyright Notification**

No part may be reproduced or utilized in any form or by any means, electronic or mechanical, including photocopying and microfilm except as authorized by written permission of ETSI.

The content of the PDF version shall not be modified without the written authorization of ETSI.

The copyright and the foregoing restriction extend to reproduction in all media.

© European Telecommunications Standards Institute 2014.
All rights reserved.

**DECT**<sup>TM</sup>, **PLUGTESTS**<sup>TM</sup>, **UMTS**<sup>TM</sup> and the ETSI logo are Trade Marks of ETSI registered for the benefit of its Members. **3GPP**<sup>TM</sup> and **LTE**<sup>TM</sup> are Trade Marks of ETSI registered for the benefit of its Members and of the 3GPP Organizational Partners.

GSM® and the GSM logo are Trade Marks registered and owned by the GSM Association.

#### Intellectual Property Rights

IPRs essential or potentially essential to the present document may have been declared to ETSI. The information pertaining to these essential IPRs, if any, is publicly available for **ETSI members and non-members**, and can be found in ETSI SR 000 314: "Intellectual Property Rights (IPRs); Essential, or potentially Essential, IPRs notified to ETSI in respect of ETSI standards", which is available from the ETSI Secretariat. Latest updates are available on the ETSI Web server (http://ipr.etsi.org).

Pursuant to the ETSI IPR Policy, no investigation, including IPR searches, has been carried out by ETSI. No guarantee can be given as to the existence of other IPRs not referenced in ETSI SR 000 314 (or the updates on the ETSI Web server) which are, or may be, or may become, essential to the present document.

#### **Foreword**

This Technical Specification (TS) has been produced by ETSI 3rd Generation Partnership Project (3GPP).

The present document may refer to technical specifications or reports using their 3GPP identities, UMTS identities or GSM identities. These should be interpreted as being references to the corresponding ETSI deliverables.

The cross reference between GSM, UMTS, 3GPP and ETSI identities can be found under http://webapp.etsi.org/key/queryform.asp.

#### Modal verbs terminology

In the present document "shall", "shall not", "should", "should not", "may", "may not", "need", "need not", "will", "will not", "can" and "cannot" are to be interpreted as described in clause 3.2 of the <a href="ETSI Drafting Rules">ETSI Drafting Rules</a> (Verbal forms for the expression of provisions).

"must" and "must not" are NOT allowed in ETSI deliverables except when used in direct citation.

### Contents

Intellectual Property Rights	2
Foreword	2
Modal verbs terminology	
Foreword	
1 Scope	5
2 References	5
3 Definitions and abbreviations	5
3.1 Definitions	
3.2 Abbreviations	5
4 M2 Interface	6
4.1 M2 signalling bearer	
4.1.1 Functions and protocol stack	
4.2 Data link layer	<i>6</i>
4.3 IP layer	
4.4 Transport layer	6
5 M3 Interface	
5.1 M3 signalling bearer	
5.1.1 Functions and protocol stack	
5.2 Data link layer	
5.3 IP layer	
5.4 Transport layer	
Annex A (informative): Change history	9
History	

#### Foreword

This Technical Specification has been produced by the 3<sup>rd</sup> Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of the present document, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

Version x.y.z

#### where:

- x the first digit:
  - 1 presented to TSG for information;
  - 2 presented to TSG for approval;
  - 3 or greater indicates TSG approved document under change control.
- y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.
- z the third digit is incremented when editorial only changes have been incorporated in the document.

#### 1 Scope

The present document specifies the standards for signalling transport to be used across M2 and M3 interfaces. M2 interface is a logical interface between the eNB and the MCE. M3 interface is a logical interface between the MCE and the MME. The present document describes how the M2-AP signalling messages are transported over M2, and how the M3-AP signalling messages are transported over M3.

#### 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.
- [1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".
  [2] IETF RFC 2460 (1998-12): "Internet Protocol, Version 6 (IPv6) Specification".
  [3] IETF RFC 791 (1981-09): "Internet Protocol".
  [4] IETF RFC 2474 (1998-12): "Definition of the Differentiated Services Field (DS Field) in the IPv4 and IPv6 Headers".
- [5] IETF RFC 4960 (2007-09): "Stream Control Transmission Protocol".

#### 3 Definitions and abbreviations

#### 3.1 Definitions

For the purposes of the present document, the terms and definitions given in TR 21.905 [1] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in TR 21.905 [1].

M2: E-UTRAN internal control plane interface between an eNB and an MCE, It is also considered as a reference point.

M3: Control plane interface between an E-UTRAN (MCE) and MME. It is also considered as a reference point.

#### 3.2 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [1].

eNB E-UTRAN Node B
DiffServ Differentiated Service
IP Internet Protocol

MME Mobility Management Entity
PPP Point to Point Protocol

SCTP Stream Control Transmission Protocol

#### 4 M2 Interface

#### 4.1 M2 signalling bearer

#### 4.1.1 Functions and protocol stack

M2 signalling bearer provides the following functions:

- Provision of reliable transfer of M2-AP message over M2 interface
- Provision of networking and routeing function
- Provision of redundancy in the signalling network

The protocol stack for M2 signalling bearer is shown in figure 4.1.1 and details on each protocol are described in the following clauses.

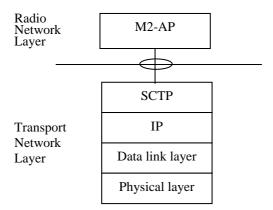


Figure 4.1.1: M2 signalling bearer protocol stack

The transport network layer is based on IP transport, comprising SCTP on top of IP.

#### 4.2 Data link layer

The support of any suitable data link layer protocol, e.g. PPP, Ethernet, etc., shall not be prevented.

#### 4.3 IP layer

The eNB and MCE shall support IPv6 (IETF RFC 2460 [2]) and/or IPv4 (IETF RFC 791 [3]).

The IP layer of M2 only supports point-to-point transmission for delivering M2-AP messages.

The eNB and MCE shall support the Diffserv Code Point marking as described in IETF RFC 2474 [4].

#### 4.4 Transport layer

SCTP (IETF RFC 4960 [5]) shall be supported as the transport layer of M2 signalling bearer. The Payload Protocol Identifier assigned by IANA to be used by SCTP for the application layer protocol M2-AP is 43.

SCTP refers to the Stream Control Transmission Protocol developed by the Sigtran working group of the IETF for the purpose of transporting various signalling protocols over IP network.

There shall be only one SCTP association established between one MCE and eNB pair.

The eNB shall establish the SCTP association. The SCTP Destination Port number value assigned by IANA to be used for M2-AP is 36443.

Within the SCTP association established between one MCE and eNB pair:

- a single pair of stream identifiers shall be reserved for the use of M2-AP elementary procedures.

Transport network redundancy may be achieved by SCTP multi-homing between two end-points, of which one or both is assigned with multiple IP addresses. SCTP end-points shall support a multi-homed remote SCTP end-point. For SCTP endpoint redundancy an INIT may be sent from MCE or eNB, at any time for an already established SCTP association, which shall be handled as defined in IETF RFC 4960 [5] in § 5.2.

#### 5 M3 Interface

#### 5.1 M3 signalling bearer

#### 5.1.1 Functions and protocol stack

M3 signalling bearer provides the following functions:

- Provision of reliable transfer of M3-AP message over M3 interface
- Provision of networking and routeing function
- Provision of redundancy in the signalling network

The protocol stack for M3 signalling bearer is shown in figure 5.1.1 and details on each protocol are described in the following clauses.

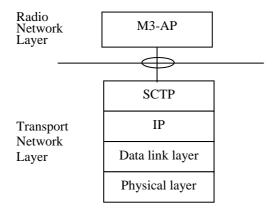


Figure 5.1.1: M3 signalling bearer protocol stack

The transport network layer is based on IP transport, comprising SCTP on top of IP.

#### 5.2 Data link layer

The support of any suitable data link layer protocol, e.g. PPP, Ethernet, etc., shall not be prevented.

#### 5.3 IP layer

The E-UTRAN and MME shall support IPv6 (IETF RFC 2460 [2]) and/or IPv4 (IETF RFC 791 [3]).

The IP layer of M3 only supports point-to-point transmission for delivering M3-AP messages.

The MCE and MME shall support the Diffserv Code Point marking as described in IETF RFC 2474 [4].

#### 5.4 Transport layer

SCTP (IETF RFC 4960 [5]) shall be supported as the transport layer of M3 signalling bearer. The Payload Protocol Identifier assigned by IANA to be used by SCTP for the application layer protocol M3-AP is 44.

SCTP refers to the Stream Control Transmission Protocol developed by the Sigtran working group of the IETF for the purpose of transporting various signalling protocols over IP network.

There shall be only one SCTP association established between one MCE and MME pair.

The MCE shall establish the SCTP association. The SCTP Destination Port number value assigned by IANA to be used for M3-AP is 36444.

Within the SCTP association established between one MCE and MME pair:

- a single pair of stream identifiers shall be reserved for the use of M3-AP elementary procedures.

Transport network redundancy may be achieved by SCTP multi-homing between two end-points, of which one or both is assigned with multiple IP addresses. SCTP end-points shall support a multi-homed remote SCTP end-point. For SCTP endpoint redundancy an INIT may be sent from MME or MCE, at any time for an already established SCTP association, which shall be handled as defined in IETF RFC 4960 [5] in § 5.2.

# Annex A (informative): Change history

TSG #	TSG Doc.	CR	Rev	Subject/Comment	New
2009-10				Update to take into account comments raised at RAN3 #65bis	0.0.2
2009-12				Stepping the version to 2.0.0 for approval at RAN#46	2.0.0
46	RP-091210			Approved at RAN#46	9.0.0
47	RP-100226	0001	2	Editorial corrections	9.1.0
2010-12				Created Rel-10 version based on v. 9.1.0	10.0.0
SP-49	SP-100629			Clarification on the use of References (TS 21.801 CR#0030)	10.0.1
52	RP-110685	0002		Reference review outcome in TS 36.442	10.1.0
53	RP-111195	0003		Introduction of SCTP PPI and Destination Port number for MBMS	10.2.0
2012-09				Update to Rel-11 version (MCC)	11.0.0
2014-09				Update to Rel-12 version (MCC)	12.0.0

## History

Document history							
V12.0.0	September 2014	Publication					